

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0011D

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
17JUL17	B	2	PD17OCT17	5960.00	6859.00	USD	44,950.00	
17JUL17	S	2	PD17OCT17	5984.00	6859.00	USD	-43,750.00	
17JUL17	B	5	PD17OCT17	5994.50	6859.00	USD	108,062.50	
17JUL17	B	5	PD17OCT17	5997.00	6859.00	USD	107,750.00	
09AUG17	S	10	PD17OCT17	6448.75	6859.00	USD	-102,562.50	
	NET	0AVG BGHT 5989.79	SOLD 6371.29				114,450.00	0.00
18JUL17	S	3	PD18OCT17	5987.00	6859.50	USD	-65,437.50	
18JUL17	S	2	PD18OCT17	5993.00	6859.50	USD	-43,325.00	
18JUL17	B	2	PD18OCT17	6002.00	6859.50	USD	42,875.00	
18JUL17	B	1	PD18OCT17	6007.00	6859.50	USD	21,312.50	
18JUL17	B	2	PD18OCT17	6004.00	6859.50	USD	42,775.00	
18JUL17	B	7	PD18OCT17	6004.50	6859.50	USD	149,625.00	
18JUL17	B	3	PD18OCT17	6005.00	6859.50	USD	64,087.50	
18JUL17	B	5	PD18OCT17	6007.50	6859.50	USD	106,500.00	
09AUG17	S	15	PD18OCT17	6449.00	6859.50	USD	-153,937.50	
	NET	0AVG BGHT 6005.15	SOLD 6334.10				164,475.00	0.00
20JUL17	S	2	PD20OCT17	5976.00	6857.50	USD	-44,075.00	
20JUL17	S	3	PD20OCT17	5976.50	6857.50	USD	-66,075.00	
20JUL17	B	1	PD20OCT17	5979.00	6857.50	USD	21,962.50	
20JUL17	B	1	PD20OCT17	5980.00	6857.50	USD	21,937.50	
20JUL17	B	1	PD20OCT17	5981.00	6857.50	USD	21,912.50	
20JUL17	B	2	PD20OCT17	5989.50	6857.50	USD	43,400.00	
20JUL17	B	3	PD20OCT17	5975.00	6857.50	USD	66,187.50	
20JUL17	B	2	PD20OCT17	5977.00	6857.50	USD	44,025.00	
09AUG17	S	5	PD20OCT17	6447.00	6857.50	USD	-51,312.50	
	NET	0AVG BGHT 5979.80	SOLD 6211.65				57,962.50	0.00
25JUL17	S	1	PD25OCT17	6092.00	6857.50	USD	-19,137.50	
25JUL17	S	1	PD25OCT17	6093.00	6857.50	USD	-19,112.50	
25JUL17	S	2	PD25OCT17	6182.00	6857.50	USD	-33,775.00	
25JUL17	B	2	PD25OCT17	6124.50	6857.50	USD	36,650.00	
25JUL17	B	2	PD25OCT17	6181.50	6857.50	USD	33,800.00	
25JUL17	B	2	PD25OCT17	6258.50	6857.50	USD	29,950.00	
25JUL17	B	1	PD25OCT17	6254.00	6857.50	USD	15,087.50	
25JUL17	B	1	PD25OCT17	6260.00	6857.50	USD	14,937.50	
25JUL17	B	1	PD25OCT17	6272.00	6857.50	USD	14,637.50	
09AUG17	S	5	PD25OCT17	6449.14	6857.50	USD	-51,045.00	
	NET	0AVG BGHT 6212.78	SOLD 6310.52				21,992.50	0.00
26JUL17	S	3	PD26OCT17	6328.00	6857.50	USD	-39,712.50	
26JUL17	S	2	PD26OCT17	6332.00	6857.50	USD	-26,275.00	
26JUL17	S	3	PD26OCT17	6354.00	6857.50	USD	-37,762.50	
26JUL17	S	2	PD26OCT17	6379.50	6857.50	USD	-23,900.00	
26JUL17	S	3	PD26OCT17	6381.50	6857.50	USD	-35,700.00	
26JUL17	S	2	PD26OCT17	6356.00	6857.50	USD	-25,075.00	
26JUL17	B	2	PD26OCT17	6277.50	6857.50	USD	29,000.00	
26JUL17	B	3	PD26OCT17	6265.50	6857.50	USD	44,400.00	
26JUL17	B	2	PD26OCT17	6275.00	6857.50	USD	29,125.00	
26JUL17	B	1	PD26OCT17	6277.00	6857.50	USD	14,512.50	
26JUL17	B	6	PD26OCT17	6276.00	6857.50	USD	87,225.00	
26JUL17	B	1	PD26OCT17	6276.50	6857.50	USD	14,525.00	
26JUL17	B	3	PD26OCT17	6272.00	6857.50	USD	43,912.50	
26JUL17	B	2	PD26OCT17	6274.00	6857.50	USD	29,175.00	
26JUL17	S	2	PD26OCT17	6321.50	6857.50	USD	-26,800.00	
26JUL17	S	3	PD26OCT17	6327.00	6857.50	USD	-39,787.50	
	NET	0AVG BGHT 6273.75	SOLD 6347.48				36,862.50	0.00
27JUL17	B	2	PD27OCT17	6351.00	6857.50	USD	25,325.00	
27JUL17	B	1	PD27OCT17	6352.00	6857.50	USD	12,637.50	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
27JUL17	B	1	PD27OCT17	6350.00	6857.50	USD	12,687.50	
27JUL17	B	1	PD27OCT17	6356.00	6857.50	USD	12,537.50	
27JUL17	S	3	PD27OCT17	6335.00	6857.50	USD	-39,187.50	
27JUL17	B	3	PD27OCT17	6337.00	6857.50	USD	39,037.50	
28JUL17	S	2	PD27OCT17	6284.00	6857.50	USD	-28,675.00	
28JUL17	S	1	PD27OCT17	6285.50	6857.50	USD	-14,300.00	
28JUL17	S	1	PD27OCT17	6286.50	6857.50	USD	-14,275.00	
28JUL17	S	1	PD27OCT17	6291.00	6857.50	USD	-14,162.50	
28JUL17	S	2	PD27OCT17	6319.00	6857.50	USD	-26,925.00	
28JUL17	S	1	PD27OCT17	6319.50	6857.50	USD	-13,450.00	
28JUL17	S	1	PD27OCT17	6321.50	6857.50	USD	-13,400.00	
28JUL17	S	1	PD27OCT17	6324.50	6857.50	USD	-13,325.00	
09AUG17	B	5	PD27OCT17	6450.20	6857.50	USD	50,912.50	
	NET	0 AVG BGHT 6386.31	SOLD 6310.73				-24,562.50	0.00
31JUL17	S	3	PD31OCT17	6380.00	6858.27	USD	-35,870.25	
31JUL17	S	2	PD31OCT17	6376.00	6858.27	USD	-24,113.50	
31JUL17	S	3	PD31OCT17	6377.00	6858.27	USD	-36,095.25	
31JUL17	S	4	PD31OCT17	6374.00	6858.27	USD	-48,427.00	
31JUL17	S	2	PD31OCT17	6364.00	6858.27	USD	-24,713.50	
31JUL17	S	3	PD31OCT17	6366.00	6858.27	USD	-36,920.25	
31JUL17	S	3	PD31OCT17	6370.00	6858.27	USD	-36,620.25	
31JUL17	S	3	PD31OCT17	6369.00	6858.27	USD	-36,695.25	
31JUL17	S	2	PD31OCT17	6371.00	6858.27	USD	-24,363.50	
31JUL17	S	2	PD31OCT17	6373.50	6858.27	USD	-24,238.50	
31JUL17	S	2	PD31OCT17	6373.00	6858.27	USD	-24,263.50	
31JUL17	S	1	PD31OCT17	6376.50	6858.27	USD	-12,044.25	
09AUG17	B	30	PD31OCT17	6451.74	6858.27	USD	304,897.50	
	NET	0 AVG BGHT 6451.74	SOLD 6372.45				-59,467.50	0.00
01AUG17	B	2	PD01NOV17	6378.00	6859.05	USD	24,052.50	
01AUG17	B	3	PD01NOV17	6380.00	6859.05	USD	35,928.75	
01AUG17	S	2	PD01NOV17	6354.00	6859.05	USD	-25,252.50	
01AUG17	S	3	PD01NOV17	6356.00	6859.05	USD	-37,728.75	
01AUG17	B	2	PD01NOV17	6348.00	6859.05	USD	25,552.50	
01AUG17	B	1	PD01NOV17	6346.00	6859.05	USD	12,826.25	
01AUG17	B	2	PD01NOV17	6336.00	6859.05	USD	26,152.50	
01AUG17	S	2	PD01NOV17	6335.00	6859.05	USD	-26,202.50	
01AUG17	S	3	PD01NOV17	6335.50	6859.05	USD	-39,266.25	
01AUG17	S	3	PD01NOV17	6341.00	6859.05	USD	-38,853.75	
01AUG17	S	2	PD01NOV17	6343.00	6859.05	USD	-25,802.50	
09AUG17	B	5	PD01NOV17	6452.12	6859.05	USD	50,866.25	
	NET	0 AVG BGHT 6391.37	SOLD 6344.10				-17,727.50	0.00
02AUG17	S	1	PD02NOV17	6326.00	6859.82	USD	-13,345.50	
02AUG17	S	3	PD02NOV17	6326.50	6859.82	USD	-39,999.00	
02AUG17	S	1	PD02NOV17	6327.00	6859.82	USD	-13,320.50	
02AUG17	B	3	PD02NOV17	6330.50	6859.82	USD	39,699.00	
02AUG17	B	2	PD02NOV17	6331.50	6859.82	USD	26,416.00	
02AUG17	B	2	PD02NOV17	6336.00	6859.82	USD	26,191.00	
02AUG17	B	1	PD02NOV17	6337.00	6859.82	USD	13,070.50	
02AUG17	B	2	PD02NOV17	6338.00	6859.82	USD	26,091.00	
02AUG17	B	3	PD02NOV17	6335.00	6859.82	USD	39,361.50	
02AUG17	B	2	PD02NOV17	6336.50	6859.82	USD	26,166.00	
09AUG17	S	10	PD02NOV17	6452.31	6859.82	USD	-101,877.50	
	NET	0 AVG BGHT 6334.50	SOLD 6410.37				28,452.50	0.00
03AUG17	S	2	PD03NOV17	6306.00	6860.59	USD	-27,729.50	
03AUG17	S	1	PD03NOV17	6308.00	6860.59	USD	-13,814.75	
03AUG17	S	1	PD03NOV17	6314.50	6860.59	USD	-13,652.25	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
03AUG17	S	2	PD03NOV17	6315.00	6860.59	USD	-27,279.50	
03AUG17	S	2	PD03NOV17	6317.50	6860.59	USD	-27,154.50	
03AUG17	S	2	PD03NOV17	6318.50	6860.59	USD	-27,104.50	
03AUG17	S	2	PD03NOV17	6352.50	6860.59	USD	-25,404.50	
03AUG17	S	1	PD03NOV17	6353.00	6860.59	USD	-12,689.75	
03AUG17	S	1	PD03NOV17	6355.50	6860.59	USD	-12,627.25	
03AUG17	S	1	PD03NOV17	6349.00	6860.59	USD	-12,789.75	
04AUG17	B	1	PD03NOV17	6342.50	6860.59	USD	12,952.25	
04AUG17	B	1	PD03NOV17	6344.50	6860.59	USD	12,902.25	
04AUG17	B	2	PD03NOV17	6349.00	6860.59	USD	25,579.50	
04AUG17	B	2	PD03NOV17	6360.00	6860.59	USD	25,029.50	
04AUG17	B	1	PD03NOV17	6365.00	6860.59	USD	12,389.75	
04AUG17	B	3	PD03NOV17	6371.00	6860.59	USD	36,719.25	
04AUG17	B	1	PD03NOV17	6373.00	6860.59	USD	12,189.75	
04AUG17	B	2	PD03NOV17	6380.00	6860.59	USD	24,029.50	
04AUG17	B	1	PD03NOV17	6368.00	6860.59	USD	12,314.75	
04AUG17	B	1	PD03NOV17	6353.00	6860.59	USD	12,689.75	
04AUG17	S	2	PD03NOV17	6326.00	6860.59	USD	-26,729.50	
04AUG17	S	3	PD03NOV17	6326.50	6860.59	USD	-40,056.75	
09AUG17	B	5	PD03NOV17	6452.89	6860.59	USD	50,962.50	
	NET	0 AVG BGHT 6385.07	SOLD 6326.53				-29,273.75	0.00
07AUG17	S	2	PD07NOV17	6349.50	6863.68	USD	-25,709.00	
07AUG17	S	3	PD07NOV17	6352.00	6863.68	USD	-38,376.00	
07AUG17	B	1	PD07NOV17	6379.00	6863.68	USD	12,117.00	
07AUG17	B	1	PD07NOV17	6382.50	6863.68	USD	12,029.50	
07AUG17	B	1	PD07NOV17	6383.50	6863.68	USD	12,004.50	
07AUG17	B	2	PD07NOV17	6385.50	6863.68	USD	23,909.00	
07AUG17	B	5	PD07NOV17	6386.00	6863.68	USD	59,710.00	
07AUG17	B	1	PD07NOV17	6428.00	6863.68	USD	10,892.00	
07AUG17	B	1	PD07NOV17	6435.00	6863.68	USD	10,717.00	
07AUG17	B	3	PD07NOV17	6438.00	6863.68	USD	31,926.00	
07AUG17	S	3	PD07NOV17	6410.00	6863.68	USD	-34,026.00	
07AUG17	S	1	PD07NOV17	6411.50	6863.68	USD	-11,304.50	
07AUG17	S	1	PD07NOV17	6412.00	6863.68	USD	-11,292.00	
07AUG17	S	1	PD07NOV17	6412.50	6863.68	USD	-11,279.50	
07AUG17	S	2	PD07NOV17	6413.00	6863.68	USD	-22,534.00	
07AUG17	S	1	PD07NOV17	6414.00	6863.68	USD	-11,242.00	
07AUG17	S	1	PD07NOV17	6414.50	6863.68	USD	-11,229.50	
07AUG17	S	2	PD07NOV17	6415.00	6863.68	USD	-22,434.00	
07AUG17	S	1	PD07NOV17	6415.50	6863.68	USD	-11,204.50	
07AUG17	S	2	PD07NOV17	6416.00	6863.68	USD	-22,384.00	
09AUG17	B	5	PD07NOV17	6454.43	6863.68	USD	51,156.25	
	NET	0 AVG BGHT 6414.76	SOLD 6397.65				-8,553.75	0.00
08AUG17	B	1	PD08NOV17	6398.00	6864.45	USD	11,661.25	
08AUG17	B	1	PD08NOV17	6405.00	6864.45	USD	11,486.25	
08AUG17	B	2	PD08NOV17	6406.50	6864.45	USD	22,897.50	
08AUG17	B	1	PD08NOV17	6402.00	6864.45	USD	11,561.25	
08AUG17	S	2	PD08NOV17	6398.00	6864.45	USD	-23,322.50	
08AUG17	S	1	PD08NOV17	6407.00	6864.45	USD	-11,436.25	
08AUG17	S	1	PD08NOV17	6406.50	6864.45	USD	-11,448.75	
08AUG17	S	1	PD08NOV17	6411.00	6864.45	USD	-11,336.25	
08AUG17	B	1	PD08NOV17	6436.00	6864.45	USD	10,711.25	
08AUG17	B	1	PD08NOV17	6438.50	6864.45	USD	10,648.75	
08AUG17	B	2	PD08NOV17	6440.50	6864.45	USD	21,197.50	
08AUG17	B	5	PD08NOV17	6443.00	6864.45	USD	52,681.25	
08AUG17	B	1	PD08NOV17	6467.50	6864.45	USD	9,923.75	
08AUG17	B	1	PD08NOV17	6479.50	6864.45	USD	9,623.75	

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08AUG17	B	2	PD08NOV17	6483.50	6864.45	USD	19,047.50	
08AUG17	B	1	PD08NOV17	6481.00	6864.45	USD	9,586.25	
08AUG17	B	2	PD08NOV17	6485.00	6864.45	USD	18,972.50	
08AUG17	B	2	PD08NOV17	6480.00	6864.45	USD	19,222.50	
08AUG17	B	1	PD08NOV17	6482.00	6864.45	USD	9,561.25	
08AUG17	B	1	PD08NOV17	6479.00	6864.45	USD	9,636.25	
	NET	+20 AVG BGHT 6450.98	SOLD 6404.10				200,875.00	0.00
09AUG17	B	2	PD09NOV17	6502.00	6865.23	USD	18,161.50	
09AUG17	B	1	PD09NOV17	6502.50	6865.23	USD	9,068.25	
09AUG17	B	1	PD09NOV17	6503.50	6865.23	USD	9,043.25	
09AUG17	B	1	PD09NOV17	6491.00	6865.23	USD	9,355.75	
	NET	+5 AVG BGHT 6500.20					45,628.75	0.00
11AUG17	S	4	PD10NOV17	6363.00	6866.00	USD	-50,300.00	
11AUG17	S	1	PD10NOV17	6366.00	6866.00	USD	-12,500.00	
11AUG17	B	1	PD10NOV17	6395.50	6866.00	USD	11,762.50	
11AUG17	S	1	PD10NOV17	6396.00	6866.00	USD	-11,750.00	
11AUG17	S	2	PD10NOV17	6423.00	6866.00	USD	-22,150.00	
11AUG17	S	1	PD10NOV17	6418.00	6866.00	USD	-11,200.00	
11AUG17	S	2	PD10NOV17	6415.50	6866.00	USD	-22,525.00	
11AUG17	S	2	PD10NOV17	6415.00	6866.00	USD	-22,550.00	
11AUG17	S	3	PD10NOV17	6416.00	6866.00	USD	-33,750.00	
	NET	-15 AVG BGHT 6395.50	SOLD 6399.19				-174,962.50	0.00
14AUG17	S	2	PD14NOV17	6423.50	6864.67	USD	-22,058.50	
14AUG17	S	1	PD14NOV17	6427.00	6864.67	USD	-10,941.75	
14AUG17	S	2	PD14NOV17	6428.00	6864.67	USD	-21,833.50	
14AUG17	B	5	PD14NOV17	6406.00	6864.67	USD	57,333.75	
14AUG17	B	2	PD14NOV17	6402.00	6864.67	USD	23,133.50	
14AUG17	B	1	PD14NOV17	6404.00	6864.67	USD	11,516.75	
14AUG17	S	1	PD14NOV17	6408.00	6864.67	USD	-11,416.75	
14AUG17	B	2	PD14NOV17	6407.00	6864.67	USD	22,883.50	
14AUG17	B	1	PD14NOV17	6407.50	6864.67	USD	11,429.25	
	NET	+5 AVG BGHT 6405.41	SOLD 6423.00				60,046.25	0.00
16AUG17	S	1	PD16NOV17	6377.00	6863.00	USD	-12,150.00	
16AUG17	S	4	PD16NOV17	6378.00	6863.00	USD	-48,500.00	
16AUG17	S	2	PD16NOV17	6378.50	6863.00	USD	-24,225.00	
16AUG17	S	3	PD16NOV17	6380.00	6863.00	USD	-36,225.00	
16AUG17	B	1	PD16NOV17	6467.50	6863.00	USD	9,887.50	
16AUG17	B	2	PD16NOV17	6469.00	6863.00	USD	19,700.00	
16AUG17	B	1	PD16NOV17	6468.00	6863.00	USD	9,875.00	
16AUG17	B	1	PD16NOV17	6467.00	6863.00	USD	9,900.00	
16AUG17	B	3	PD16NOV17	6491.00	6863.00	USD	27,900.00	
16AUG17	B	2	PD16NOV17	6494.00	6863.00	USD	18,450.00	
16AUG17	B	2	PD16NOV17	6484.00	6863.00	USD	18,950.00	
16AUG17	B	1	PD16NOV17	6486.00	6863.00	USD	9,425.00	
16AUG17	B	1	PD16NOV17	6487.00	6863.00	USD	9,400.00	
16AUG17	B	5	PD16NOV17	6490.00	6863.00	USD	46,625.00	
16AUG17	B	2	PD16NOV17	6489.00	6863.00	USD	18,700.00	
16AUG17	S	1	PD16NOV17	6489.00	6863.00	USD	-9,350.00	
16AUG17	B	2	PD16NOV17	6526.00	6863.00	USD	16,850.00	
16AUG17	B	3	PD16NOV17	6527.00	6863.00	USD	25,200.00	
	NET	+15 AVG BGHT 6492.44	SOLD 6388.64				110,412.50	0.00
17AUG17	S	2	PD17NOV17	6490.50	6863.47	USD	-18,648.50	
17AUG17	S	1	PD17NOV17	6490.00	6863.47	USD	-9,336.75	
17AUG17	S	1	PD17NOV17	6491.50	6863.47	USD	-9,299.25	
17AUG17	S	1	PD17NOV17	6492.00	6863.47	USD	-9,286.75	
18AUG17	B	2	PD17NOV17	6469.00	6863.47	USD	19,723.50	

OPEN POSITION STATEMENT



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SAP0011D

13th October 2017

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backoffice@sapiencap.com

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
18AUG17	B	1	PD17NOV17	6470.50	6863.47	USD	9,824.25	
18AUG17	S	2	PD17NOV17	6490.00	6863.47	USD	-18,673.50	
18AUG17	S	2	PD17NOV17	6492.00	6863.47	USD	-18,573.50	
18AUG17	S	2	PD17NOV17	6466.00	6863.47	USD	-19,873.50	
18AUG17	S	1	PD17NOV17	6470.00	6863.47	USD	-9,836.75	
18AUG17	S	6	PD17NOV17	6491.00	6863.47	USD	-55,870.50	
18AUG17	S	3	PD17NOV17	6500.00	6863.47	USD	-27,260.25	
18AUG17	S	2	PD17NOV17	6503.00	6863.47	USD	-18,023.50	
18AUG17	S	2	PD17NOV17	6507.00	6863.47	USD	-17,823.50	
18AUG17	S	3	PD17NOV17	6511.00	6863.47	USD	-26,435.25	
18AUG17	B	2	PD17NOV17	6489.50	6863.47	USD	18,698.50	
18AUG17	B	1	PD17NOV17	6501.00	6863.47	USD	9,061.75	
18AUG17	B	1	PD17NOV17	6505.00	6863.47	USD	8,961.75	
18AUG17	B	1	PD17NOV17	6507.50	6863.47	USD	8,899.25	
18AUG17	B	2	PD17NOV17	6512.00	6863.47	USD	17,573.50	
18AUG17	B	2	PD17NOV17	6528.00	6863.47	USD	16,773.50	
18AUG17	B	1	PD17NOV17	6511.50	6863.47	USD	8,799.25	
18AUG17	B	3	PD17NOV17	6460.00	6863.47	USD	30,260.25	
18AUG17	B	2	PD17NOV17	6461.00	6863.47	USD	20,123.50	
	NET	-10 AVG BGHT 6488.58	SOLD 6493.55				-90,242.50	0.00
21AUG17	B	3	PD21NOV17	6540.00	6865.33	USD	24,399.75	
21AUG17	B	2	PD21NOV17	6565.00	6865.33	USD	15,016.50	
21AUG17	B	1	PD21NOV17	6613.00	6865.33	USD	6,308.25	
21AUG17	B	2	PD21NOV17	6615.00	6865.33	USD	12,516.50	
21AUG17	B	1	PD21NOV17	6592.00	6865.33	USD	6,833.25	
21AUG17	B	1	PD21NOV17	6621.00	6865.33	USD	6,108.25	
	NET	+10 AVG BGHT 6580.60					71,182.50	0.00
22AUG17	B	2	PD22NOV17	6635.00	6865.80	USD	11,540.00	
22AUG17	B	1	PD22NOV17	6592.00	6865.80	USD	6,845.00	
22AUG17	B	1	PD22NOV17	6618.00	6865.80	USD	6,195.00	
22AUG17	B	1	PD22NOV17	6604.00	6865.80	USD	6,545.00	
22AUG17	B	5	PD22NOV17	6574.00	6865.80	USD	36,475.00	
	NET	+10 AVG BGHT 6595.40					67,600.00	0.00
23AUG17	B	2	PD24NOV17	6542.00	6866.73	USD	16,236.50	
23AUG17	S	2	PD24NOV17	6557.50	6866.73	USD	-15,461.50	
25AUG17	S	2	PD24NOV17	6721.50	6866.73	USD	-7,261.50	
25AUG17	S	2	PD24NOV17	6722.00	6866.73	USD	-7,236.50	
25AUG17	S	1	PD24NOV17	6724.00	6866.73	USD	-3,568.25	
	NET	-5 AVG BGHT 6542.00	SOLD 6675.14				-17,291.25	0.00
29AUG17	S	1	PD29NOV17	6769.00	6869.07	USD	-2,501.75	
29AUG17	S	2	PD29NOV17	6766.50	6869.07	USD	-5,128.50	
29AUG17	S	2	PD29NOV17	6770.50	6869.07	USD	-4,928.50	
29AUG17	S	1	PD29NOV17	6771.00	6869.07	USD	-2,451.75	
29AUG17	S	1	PD29NOV17	6771.50	6869.07	USD	-2,439.25	
29AUG17	S	1	PD29NOV17	6775.00	6869.07	USD	-2,351.75	
29AUG17	S	7	PD29NOV17	6785.00	6869.07	USD	-14,712.25	
	NET	-15 AVG SOLD 6777.03					-34,513.75	0.00
01SEP17	S	1	PD01DEC17	6835.00	6870.00	USD	-875.00	
01SEP17	S	1	PD01DEC17	6835.50	6870.00	USD	-862.50	
01SEP17	S	1	PD01DEC17	6837.00	6870.00	USD	-825.00	
01SEP17	S	1	PD01DEC17	6837.50	6870.00	USD	-812.50	
01SEP17	S	1	PD01DEC17	6838.00	6870.00	USD	-800.00	
	NET	-5 AVG SOLD 6836.60					-4,175.00	0.00
04SEP17	S	3	PD04DEC17	6882.50	6871.20	USD	847.50	
04SEP17	S	2	PD04DEC17	6888.00	6871.20	USD	840.00	
04SEP17	S	2	PD04DEC17	6906.00	6871.20	USD	1,740.00	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>					
04SEP17	S 3 PD04DEC17	6908.50	6871.20	USD	2,797.50	
	NET -10 AVG SOLD 6896.10				6,225.00	0.00
05SEP17	B 1 PD05DEC17	6953.00	6871.60	USD	-2,035.00	
05SEP17	B 1 PD05DEC17	6956.00	6871.60	USD	-2,110.00	
05SEP17	B 1 PD05DEC17	6957.00	6871.60	USD	-2,135.00	
05SEP17	B 5 PD05DEC17	6958.00	6871.60	USD	-10,800.00	
05SEP17	B 1 PD05DEC17	6960.00	6871.60	USD	-2,210.00	
05SEP17	B 1 PD05DEC17	6952.00	6871.60	USD	-2,010.00	
05SEP17	S 3 PD05DEC17	6847.00	6871.60	USD	-1,845.00	
05SEP17	S 2 PD05DEC17	6860.50	6871.60	USD	-555.00	
	NET +5 AVG BGHT 6956.80 SOLD 6852.40				-23,700.00	0.00
06SEP17	S 5 PD06DEC17	6884.50	6872.00	USD	1,562.50	
06SEP17	S 5 PD06DEC17	6886.50	6872.00	USD	1,812.50	
	NET -10 AVG SOLD 6885.50				3,375.00	0.00
08SEP17	B 3 PD08DEC17	6827.00	6872.83	USD	3,437.25	
08SEP17	B 2 PD08DEC17	6828.00	6872.83	USD	2,241.50	
08SEP17	B 2 PD08DEC17	6789.00	6872.83	USD	4,191.50	
08SEP17	S 2 PD08DEC17	6794.50	6872.83	USD	-3,916.50	
08SEP17	B 2 PD08DEC17	6760.00	6872.83	USD	5,641.50	
08SEP17	B 2 PD08DEC17	6765.00	6872.83	USD	5,391.50	
08SEP17	B 1 PD08DEC17	6768.00	6872.83	USD	2,620.75	
	NET +10 AVG BGHT 6794.42 SOLD 6794.50				19,607.50	0.00
11SEP17	B 2 PD11DEC17	6700.00	6874.08	USD	8,704.00	
11SEP17	B 1 PD11DEC17	6707.00	6874.08	USD	4,177.00	
11SEP17	B 2 PD11DEC17	6719.50	6874.08	USD	7,729.00	
11SEP17	B 1 PD11DEC17	6721.00	6874.08	USD	3,827.00	
11SEP17	B 1 PD11DEC17	6734.00	6874.08	USD	3,502.00	
11SEP17	B 2 PD11DEC17	6714.00	6874.08	USD	8,004.00	
11SEP17	B 1 PD11DEC17	6735.00	6874.08	USD	3,477.00	
	NET +10 AVG BGHT 6716.40				39,420.00	0.00
12SEP17	B 1 PD12DEC17	6710.00	6874.50	USD	4,112.50	
12SEP17	B 1 PD12DEC17	6712.00	6874.50	USD	4,062.50	
12SEP17	B 1 PD12DEC17	6733.50	6874.50	USD	3,525.00	
12SEP17	B 2 PD12DEC17	6735.00	6874.50	USD	6,975.00	
12SEP17	B 1 PD12DEC17	6738.00	6874.50	USD	3,412.50	
12SEP17	B 1 PD12DEC17	6740.00	6874.50	USD	3,362.50	
12SEP17	B 3 PD12DEC17	6652.00	6874.50	USD	16,687.50	
12SEP17	B 2 PD12DEC17	6663.50	6874.50	USD	10,550.00	
12SEP17	B 3 PD12DEC17	6715.50	6874.50	USD	11,925.00	
12SEP17	B 2 PD12DEC17	6645.00	6874.50	USD	11,475.00	
12SEP17	B 1 PD12DEC17	6649.00	6874.50	USD	5,637.50	
12SEP17	B 2 PD12DEC17	6648.50	6874.50	USD	11,300.00	
	NET +20 AVG BGHT 6688.45				93,025.00	0.00
13SEP17	B 2 PD13DEC17	6567.00	6874.92	USD	15,396.00	
13SEP17	B 3 PD13DEC17	6568.00	6874.92	USD	23,019.00	
	NET +5 AVG BGHT 6567.60				38,415.00	0.00
18SEP17	S 5 PD18DEC17	6550.00	6877.00	USD	-40,875.00	
18SEP17	S 2 PD18DEC17	6552.00	6877.00	USD	-16,250.00	
18SEP17	S 1 PD18DEC17	6553.00	6877.00	USD	-8,100.00	
18SEP17	S 1 PD18DEC17	6554.00	6877.00	USD	-8,075.00	
18SEP17	S 1 PD18DEC17	6554.50	6877.00	USD	-8,062.50	
18SEP17	S 2 PD18DEC17	6537.00	6877.00	USD	-17,000.00	
18SEP17	S 3 PD18DEC17	6538.00	6877.00	USD	-25,425.00	
18SEP17	S 2 PD18DEC17	6510.50	6877.00	USD	-18,325.00	
18SEP17	S 1 PD18DEC17	6514.50	6877.00	USD	-9,062.50	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
18SEP17	S	1	PD18DEC17	6516.00	6877.00	USD	-9,025.00	
18SEP17	S	1	PD18DEC17	6515.00	6877.00	USD	-9,050.00	
	NET	-20 AVG	SOLD 6538.50				-169,250.00	0.00
19SEP17	S	5	PD19DEC17	6540.00	6877.00	USD	-42,125.00	
19SEP17	S	3	PD19DEC17	6528.00	6877.00	USD	-26,175.00	
19SEP17	S	1	PD19DEC17	6534.00	6877.00	USD	-8,575.00	
19SEP17	S	1	PD19DEC17	6536.00	6877.00	USD	-8,525.00	
19SEP17	B	5	PD19DEC17	6513.00	6877.00	USD	45,500.00	
19SEP17	B	2	PD19DEC17	6540.50	6877.00	USD	16,825.00	
19SEP17	B	1	PD19DEC17	6536.50	6877.00	USD	8,512.50	
19SEP17	B	1	PD19DEC17	6534.00	6877.00	USD	8,575.00	
19SEP17	B	1	PD19DEC17	6533.00	6877.00	USD	8,600.00	
19SEP17	B	2	PD19DEC17	6529.00	6877.00	USD	17,400.00	
19SEP17	B	1	PD19DEC17	6529.50	6877.00	USD	8,687.50	
19SEP17	B	2	PD19DEC17	6530.00	6877.00	USD	17,350.00	
	NET	+5 AVG	BGHT 6526.47	SOLD 6535.40			46,050.00	0.00
21SEP17	S	1	PD21DEC17	6454.00	6876.00	USD	-10,550.00	
21SEP17	S	1	PD21DEC17	6456.00	6876.00	USD	-10,500.00	
21SEP17	S	2	PD21DEC17	6457.00	6876.00	USD	-20,950.00	
21SEP17	S	1	PD21DEC17	6458.50	6876.00	USD	-10,437.50	
21SEP17	S	1	PD21DEC17	6462.50	6876.00	USD	-10,337.50	
21SEP17	S	1	PD21DEC17	6463.00	6876.00	USD	-10,325.00	
21SEP17	S	1	PD21DEC17	6463.50	6876.00	USD	-10,312.50	
21SEP17	S	1	PD21DEC17	6464.00	6876.00	USD	-10,300.00	
21SEP17	S	1	PD21DEC17	6464.50	6876.00	USD	-10,287.50	
21SEP17	B	2	PD21DEC17	6472.00	6876.00	USD	20,200.00	
21SEP17	B	1	PD21DEC17	6478.00	6876.00	USD	9,950.00	
21SEP17	B	2	PD21DEC17	6473.00	6876.00	USD	20,150.00	
	NET	-5 AVG	BGHT 6473.60	SOLD 6460.00			-53,700.00	0.00
22SEP17	B	2	PD22DEC17	6415.50	6875.00	USD	22,975.00	
22SEP17	S	2	PD22DEC17	6420.00	6875.00	USD	-22,750.00	
22SEP17	B	5	PD22DEC17	6448.00	6875.00	USD	53,375.00	
	NET	+5 AVG	BGHT 6438.71	SOLD 6420.00			53,600.00	0.00
25SEP17	S	2	PD27DEC17	6453.00	6876.67	USD	-21,183.50	
25SEP17	S	1	PD27DEC17	6450.00	6876.67	USD	-10,666.75	
25SEP17	S	1	PD27DEC17	6451.00	6876.67	USD	-10,641.75	
25SEP17	S	1	PD27DEC17	6452.00	6876.67	USD	-10,616.75	
26SEP17	S	2	PD27DEC17	6519.50	6876.67	USD	-17,858.50	
26SEP17	S	2	PD27DEC17	6521.00	6876.67	USD	-17,783.50	
26SEP17	S	1	PD27DEC17	6523.50	6876.67	USD	-8,829.25	
26SEP17	S	1	PD27DEC17	6410.50	6876.67	USD	-11,654.25	
26SEP17	S	1	PD27DEC17	6440.50	6876.67	USD	-10,904.25	
26SEP17	S	1	PD27DEC17	6442.00	6876.67	USD	-10,866.75	
26SEP17	S	2	PD27DEC17	6443.00	6876.67	USD	-21,683.50	
27SEP17	S	1	PD27DEC17	6440.00	6876.67	USD	-10,916.75	
27SEP17	S	3	PD27DEC17	6441.00	6876.67	USD	-32,675.25	
27SEP17	S	1	PD27DEC17	6443.50	6876.67	USD	-10,829.25	
27SEP17	B	5	PD27DEC17	6465.00	6876.67	USD	51,458.75	
27SEP17	B	5	PD27DEC17	6471.50	6876.67	USD	50,646.25	
	NET	-10 AVG	BGHT 6468.25	SOLD 6462.45			-105,005.00	0.00
28SEP17	B	2	PD28DEC17	6513.00	6877.00	USD	18,200.00	
28SEP17	S	2	PD28DEC17	6511.00	6877.00	USD	-18,300.00	
28SEP17	B	2	PD28DEC17	6533.00	6877.00	USD	17,200.00	
28SEP17	B	1	PD28DEC17	6525.00	6877.00	USD	8,800.00	
28SEP17	B	1	PD28DEC17	6530.00	6877.00	USD	8,675.00	
28SEP17	B	1	PD28DEC17	6531.00	6877.00	USD	8,650.00	

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28SEP17	B	1	PD28DEC17	6565.00	6877.00	USD	7,800.00	
28SEP17	B	1	PD28DEC17	6567.00	6877.00	USD	7,750.00	
28SEP17	B	2	PD28DEC17	6567.50	6877.00	USD	15,475.00	
28SEP17	B	1	PD28DEC17	6559.00	6877.00	USD	7,950.00	
	NET	+10 AVG BGHT	6542.00				82,200.00	0.00
29SEP17	B	2	PD29DEC17	6528.00	6877.33	USD	17,466.50	
29SEP17	B	1	PD29DEC17	6530.00	6877.33	USD	8,683.25	
29SEP17	B	2	PD29DEC17	6532.00	6877.33	USD	17,266.50	
29SEP17	S	1	PD29DEC17	6532.00	6877.33	USD	-8,633.25	
29SEP17	S	2	PD29DEC17	6532.50	6877.33	USD	-17,241.50	
29SEP17	S	2	PD29DEC17	6534.00	6877.33	USD	-17,166.50	
	NET	0 AVG BGHT	6530.00				375.00	0.00
02OCT17	B	1	PD02JAN18	6529.50	6878.67	USD	8,729.25	
02OCT17	B	2	PD02JAN18	6531.00	6878.67	USD	17,383.50	
02OCT17	B	1	PD02JAN18	6510.00	6878.67	USD	9,216.75	
02OCT17	B	1	PD02JAN18	6519.00	6878.67	USD	8,991.75	
02OCT17	B	1	PD02JAN18	6519.50	6878.67	USD	8,979.25	
02OCT17	S	1	PD02JAN18	6529.00	6878.67	USD	-8,741.75	
	NET	+5 AVG BGHT	6523.33				44,558.75	0.00
03OCT17	S	5	PD03JAN18	6456.00	6879.00	USD	-52,875.00	
03OCT17	S	1	PD03JAN18	6466.00	6879.00	USD	-10,325.00	
03OCT17	S	2	PD03JAN18	6467.00	6879.00	USD	-20,600.00	
03OCT17	S	2	PD03JAN18	6467.50	6879.00	USD	-20,575.00	
	NET	-10 AVG SOLD	6461.50				-104,375.00	0.00
04OCT17	B	2	PD04JAN18	6524.00	6879.33	USD	17,766.50	
04OCT17	S	2	PD04JAN18	6523.50	6879.33	USD	-17,791.50	
04OCT17	S	1	PD04JAN18	6513.50	6879.33	USD	-9,145.75	
04OCT17	S	1	PD04JAN18	6514.50	6879.33	USD	-9,120.75	
04OCT17	S	3	PD04JAN18	6515.50	6879.33	USD	-27,287.25	
	NET	-5 AVG BGHT	6524.00				-45,578.75	0.00
05OCT17	B	1	PD05JAN18	6642.50	6879.67	USD	5,929.25	
05OCT17	B	3	PD05JAN18	6641.50	6879.67	USD	17,862.75	
05OCT17	B	1	PD05JAN18	6643.00	6879.67	USD	5,916.75	
05OCT17	B	1	PD05JAN18	6689.50	6879.67	USD	4,754.25	
05OCT17	B	2	PD05JAN18	6690.00	6879.67	USD	9,483.50	
05OCT17	B	2	PD05JAN18	6691.00	6879.67	USD	9,433.50	
05OCT17	B	2	PD05JAN18	6683.00	6879.67	USD	9,833.50	
05OCT17	B	1	PD05JAN18	6682.00	6879.67	USD	4,941.75	
05OCT17	B	2	PD05JAN18	6684.00	6879.67	USD	9,783.50	
	NET	+15 AVG BGHT	6671.83				77,938.75	0.00
09OCT17	S	5	PD09JAN18	6677.00	6881.00	USD	-25,500.00	
09OCT17	S	1	PD09JAN18	6665.00	6881.00	USD	-5,400.00	
09OCT17	S	1	PD09JAN18	6666.50	6881.00	USD	-5,362.50	
09OCT17	S	2	PD09JAN18	6676.00	6881.00	USD	-10,250.00	
09OCT17	S	1	PD09JAN18	6665.50	6881.00	USD	-5,387.50	
09OCT17	S	1	PD09JAN18	6671.00	6881.00	USD	-5,250.00	
09OCT17	S	1	PD09JAN18	6657.50	6881.00	USD	-5,587.50	
09OCT17	S	1	PD09JAN18	6670.00	6881.00	USD	-5,275.00	
09OCT17	S	1	PD09JAN18	6655.50	6881.00	USD	-5,637.50	
09OCT17	S	1	PD09JAN18	6661.50	6881.00	USD	-5,487.50	
09OCT17	S	2	PD09JAN18	6662.00	6881.00	USD	-10,950.00	
09OCT17	S	2	PD09JAN18	6663.50	6881.00	USD	-10,875.00	
09OCT17	S	1	PD09JAN18	6667.00	6881.00	USD	-5,350.00	
09OCT17	S	5	PD09JAN18	6675.50	6881.00	USD	-25,687.50	
	NET	-25 AVG SOLD	6669.80				-132,000.00	0.00

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0011D

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
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backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>					
11OCT17	B 2 PD11JAN18	6795.50	6881.67	USD	4,308.50	
11OCT17	B 1 PD11JAN18	6797.00	6881.67	USD	2,116.75	
11OCT17	B 2 PD11JAN18	6798.50	6881.67	USD	4,158.50	
	NET +5 AVG BGHT 6797.00				10,583.75	0.00
13OCT17	B 1 PD12JAN18	6865.00	6882.00	USD	425.00	
13OCT17	B 3 PD12JAN18	6865.50	6882.00	USD	1,237.50	
13OCT17	B 1 PD12JAN18	6866.00	6882.00	USD	400.00	
	NET +5 AVG BGHT 6865.50				2,062.50	0.00
	<u>CMX COPPER 25,000 CMX</u>					
11OCT17	S 5 DEC17	308.750	313.350	USD	-5,750.00	
11OCT17	S 4 DEC17	308.900	313.350	USD	-4,450.00	
11OCT17	S 2 DEC17	308.850	313.350	USD	-2,250.00	
13OCT17	S 5 DEC17	311.900	313.350	USD	-1,812.50	
13OCT17	S 6 DEC17	311.950	313.350	USD	-2,100.00	
	NET -22 AVG SOLD 310.375				-16,362.50	0.00
08SEP17	S 5 MAR18	309.600	315.300	USD	-7,125.00	
08SEP17	S 2 MAR18	309.900	315.300	USD	-2,700.00	
08SEP17	S 2 MAR18	309.800	315.300	USD	-2,750.00	
08SEP17	S 1 MAR18	309.750	315.300	USD	-1,387.50	
08SEP17	S 1 MAR18	308.900	315.300	USD	-1,600.00	
12SEP17	S 2 MAR18	307.300	315.300	USD	-4,000.00	
12SEP17	S 2 MAR18	307.250	315.300	USD	-4,025.00	
12SEP17	S 7 MAR18	307.500	315.300	USD	-13,650.00	
12SEP17	S 10 MAR18	305.000	315.300	USD	-25,750.00	
	NET -32 AVG SOLD 307.427				-62,987.50	0.00
12SEP17	B 10 MAY18	306.250	316.500	USD	25,625.00	
	NET +10 AVG BGHT 306.250				25,625.00	0.00

OPEN POSITION VALUE

		USD
LME Copper Grade A 25	FUTURES	-3,025,715.00
CMX Copper	FUTURES	3,400,850.00

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options	Amount	Profit / Loss	Total Amount	Total P&L
COPR - Futures USD	-53,725.00	-53,725.00	-53,725.00	-53,725.00
Unrealised Profits & Losses - Forwards	Amount	Profit / Loss	Total Amount	Total P&L
COPL - Futures USD	402,997.50	402,997.50	402,997.50	402,997.50

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ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	-314,454.80	-314,454.80
Variation Margins	-53,725.00	-53,725.00
- Futures	0.00	0.00
- Options	-368,179.80	-368,179.80
TOTAL EQUITY		
Initial Margins	-139,600.00	-139,600.00
Excess/(Shortage)	-507,779.80	-507,779.80
FOR INFORMATION ONLY		
Forward Unrealised P&L	402,997.50	402,997.50
Net Liquidating Value	34,817.70	34,817.70

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
21JUL17	B	1	PD200CT17	6050.00	6857.50	USD	20,187.50	
21JUL17	B	2	PD200CT17	6034.50	6857.50	USD	41,150.00	
020CT17	S	3	PD200CT17	6462.25	6857.50	USD	-29,643.75	
	NET	0 AVG BGHT 6039.67	SOLD 6462.25				31,693.75	0.00
25JUL17	B	1	PD250CT17	6267.50	6857.50	USD	14,750.00	
25JUL17	B	2	PD250CT17	6266.50	6857.50	USD	29,550.00	
25JUL17	B	1	PD250CT17	6265.50	6857.50	USD	14,800.00	
25JUL17	B	1	PD250CT17	6266.50	6857.50	USD	14,775.00	
020CT17	S	5	PD250CT17	6465.79	6857.50	USD	-48,963.75	
	NET	0 AVG BGHT 6266.50	SOLD 6465.79				24,911.25	0.00
26JUL17	S	1	PD260CT17	6340.50	6857.50	USD	-12,925.00	
26JUL17	S	1	PD260CT17	6344.00	6857.50	USD	-12,837.50	
26JUL17	S	1	PD260CT17	6378.00	6857.50	USD	-11,987.50	
26JUL17	S	1	PD260CT17	6381.00	6857.50	USD	-11,912.50	
26JUL17	S	1	PD260CT17	6396.50	6857.50	USD	-11,525.00	
26JUL17	B	3	PD260CT17	6275.00	6857.50	USD	43,687.50	
26JUL17	B	1	PD260CT17	6274.00	6857.50	USD	14,587.50	
26JUL17	B	1	PD260CT17	6273.00	6857.50	USD	14,612.50	
26JUL17	S	1	PD260CT17	6331.00	6857.50	USD	-13,162.50	
26JUL17	S	1	PD260CT17	6341.00	6857.50	USD	-12,912.50	
26JUL17	S	1	PD260CT17	6342.00	6857.50	USD	-12,887.50	
26JUL17	S	1	PD260CT17	6324.50	6857.50	USD	-13,325.00	
26JUL17	S	1	PD260CT17	6323.50	6857.50	USD	-13,350.00	
09AUG17	B	5	PD260CT17	6449.77	6857.50	USD	50,966.25	
	NET	0 AVG BGHT 6362.09	SOLD 6350.20				-2,971.25	0.00
27JUL17	S	1	PD270CT17	6355.00	6857.50	USD	-12,562.50	
27JUL17	B	1	PD270CT17	6317.00	6857.50	USD	13,512.50	
	NET	0 AVG BGHT 6317.00	SOLD 6355.00				950.00	0.00
31JUL17	S	2	PD310CT17	6343.00	6858.27	USD	-25,763.50	
31JUL17	S	3	PD310CT17	6344.00	6858.27	USD	-38,570.25	
09AUG17	B	5	PD310CT17	6451.74	6858.27	USD	50,816.25	
	NET	0 AVG BGHT 6451.74	SOLD 6343.60				-13,517.50	0.00
02AUG17	S	1	PD02NOV17	6331.00	6859.82	USD	-13,220.50	
02AUG17	S	1	PD02NOV17	6327.50	6859.82	USD	-13,308.00	
02AUG17	S	1	PD02NOV17	6313.00	6859.82	USD	-13,670.50	
02AUG17	S	2	PD02NOV17	6314.50	6859.82	USD	-27,266.00	
02AUG17	S	1	PD02NOV17	6335.50	6859.82	USD	-13,108.00	
02AUG17	S	1	PD02NOV17	6340.50	6859.82	USD	-12,983.00	
02AUG17	S	1	PD02NOV17	6341.00	6859.82	USD	-12,970.50	
02AUG17	S	2	PD02NOV17	6341.50	6859.82	USD	-25,916.00	
02AUG17	B	5	PD02NOV17	6331.50	6859.82	USD	66,040.00	
02AUG17	B	1	PD02NOV17	6364.50	6859.82	USD	12,383.00	
09AUG17	B	4	PD02NOV17	6452.51	6859.82	USD	40,731.00	
	NET	0 AVG BGHT 6383.20	SOLD 6330.05				-13,288.50	0.00
03AUG17	S	1	PD03NOV17	6314.00	6860.59	USD	-13,664.75	
03AUG17	S	1	PD03NOV17	6316.50	6860.59	USD	-13,602.25	
03AUG17	S	2	PD03NOV17	6318.50	6860.59	USD	-27,104.50	
03AUG17	S	1	PD03NOV17	6319.50	6860.59	USD	-13,527.25	
04AUG17	S	1	PD03NOV17	6321.50	6860.59	USD	-13,477.25	
04AUG17	S	1	PD03NOV17	6327.00	6860.59	USD	-13,339.75	
04AUG17	S	2	PD03NOV17	6339.50	6860.59	USD	-26,054.50	
04AUG17	S	2	PD03NOV17	6338.00	6860.59	USD	-26,129.50	
09AUG17	B	11	PD03NOV17	6452.89	6860.59	USD	112,117.50	
	NET	0 AVG BGHT 6452.89	SOLD 6326.41				-34,782.25	0.00
07AUG17	S	2	PD07NOV17	6347.50	6863.68	USD	-25,809.00	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
07AUG17	S	2	PD07NOV17	6348.50	6863.68	USD	-25,759.00	
07AUG17	S	1	PD07NOV17	6355.50	6863.68	USD	-12,704.50	
07AUG17	B	1	PD07NOV17	6367.00	6863.68	USD	12,417.00	
07AUG17	B	1	PD07NOV17	6364.50	6863.68	USD	12,479.50	
07AUG17	B	1	PD07NOV17	6363.50	6863.68	USD	12,504.50	
07AUG17	B	1	PD07NOV17	6365.50	6863.68	USD	12,454.50	
07AUG17	B	1	PD07NOV17	6356.00	6863.68	USD	12,692.00	
	NET	0 AVG	BGHT 6363.30	SOLD 6349.50			-1,725.00	0.00
08AUG17	S	1	PD08NOV17	6408.00	6864.45	USD	-11,411.25	
09AUG17	B	1	PD08NOV17	6454.82	6864.45	USD	10,240.75	
	NET	0 AVG	BGHT 6454.82	SOLD 6408.00			-1,170.50	0.00
10AUG17	S	1	PD10NOV17	6387.00	6866.00	USD	-11,975.00	
11AUG17	S	1	PD10NOV17	6368.00	6866.00	USD	-12,450.00	
11AUG17	S	1	PD10NOV17	6370.50	6866.00	USD	-12,387.50	
11AUG17	S	1	PD10NOV17	6369.50	6866.00	USD	-12,412.50	
11AUG17	S	1	PD10NOV17	6365.50	6866.00	USD	-12,512.50	
11AUG17	S	2	PD10NOV17	6363.50	6866.00	USD	-25,125.00	
11AUG17	S	2	PD10NOV17	6364.50	6866.00	USD	-25,075.00	
11AUG17	S	1	PD10NOV17	6378.00	6866.00	USD	-12,200.00	
11AUG17	S	1	PD10NOV17	6410.00	6866.00	USD	-11,400.00	
11AUG17	S	1	PD10NOV17	6431.00	6866.00	USD	-10,875.00	
02OCT17	B	12	PD10NOV17	6473.91	6866.00	USD	117,627.00	
	NET	0 AVG	BGHT 6473.91	SOLD 6377.96			-28,785.50	0.00
14AUG17	B	2	PD14NOV17	6397.50	6864.67	USD	23,358.50	
14AUG17	B	1	PD14NOV17	6409.50	6864.67	USD	11,379.25	
14AUG17	B	1	PD14NOV17	6415.00	6864.67	USD	11,241.75	
14AUG17	B	1	PD14NOV17	6400.00	6864.67	USD	11,616.75	
14AUG17	B	2	PD14NOV17	6399.00	6864.67	USD	23,283.50	
14AUG17	B	3	PD14NOV17	6402.00	6864.67	USD	34,700.25	
02OCT17	S	10	PD14NOV17	6475.14	6864.67	USD	-97,382.50	
	NET	0 AVG	BGHT 6402.35	SOLD 6475.14			18,197.50	0.00
16AUG17	S	1	PD16NOV17	6364.50	6863.00	USD	-12,462.50	
16AUG17	S	2	PD16NOV17	6369.00	6863.00	USD	-24,700.00	
16AUG17	S	2	PD16NOV17	6367.00	6863.00	USD	-24,800.00	
02OCT17	B	5	PD16NOV17	6475.20	6863.00	USD	48,475.00	
	NET	0 AVG	BGHT 6475.20	SOLD 6367.30			-13,487.50	0.00
21AUG17	S	1	PD21NOV17	6521.00	6865.33	USD	-8,608.25	
21AUG17	S	1	PD21NOV17	6508.50	6865.33	USD	-8,920.75	
21AUG17	B	1	PD21NOV17	6608.50	6865.33	USD	6,420.75	
21AUG17	B	8	PD21NOV17	6619.00	6865.33	USD	49,266.00	
21AUG17	B	1	PD21NOV17	6620.00	6865.33	USD	6,133.25	
21AUG17	B	3	PD21NOV17	6591.00	6865.33	USD	20,574.75	
21AUG17	B	2	PD21NOV17	6590.00	6865.33	USD	13,766.50	
21AUG17	B	1	PD21NOV17	6593.00	6865.33	USD	6,808.25	
21AUG17	B	1	PD21NOV17	6592.00	6865.33	USD	6,833.25	
21AUG17	B	1	PD21NOV17	6595.50	6865.33	USD	6,745.75	
21AUG17	B	1	PD21NOV17	6596.50	6865.33	USD	6,720.75	
21AUG17	B	1	PD21NOV17	6597.50	6865.33	USD	6,695.75	
02OCT17	S	18	PD21NOV17	6477.75	6865.33	USD	-174,411.00	
	NET	0 AVG	BGHT 6605.40	SOLD 6481.45			-61,975.00	0.00
23AUG17	B	5	PD24NOV17	6550.00	6866.73	USD	39,591.25	
23AUG17	B	2	PD24NOV17	6551.00	6866.73	USD	15,786.50	
23AUG17	B	3	PD24NOV17	6553.50	6866.73	USD	23,492.25	
23AUG17	B	1	PD24NOV17	6546.50	6866.73	USD	8,005.75	
23AUG17	S	1	PD24NOV17	6549.00	6866.73	USD	-7,943.25	

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02OCT17	S	10	PD24NOV17	6479.40	6866.73	USD	-96,832.50	
	NET	0 AVG	BGHT 6550.82	SOLD 6485.73			-17,900.00	0.00
29AUG17	S	1	PD29NOV17	6808.50	6869.07	USD	-1,514.25	
29AUG17	S	1	PD29NOV17	6806.50	6869.07	USD	-1,564.25	
29AUG17	S	3	PD29NOV17	6812.00	6869.07	USD	-4,280.25	
02OCT17	B	5	PD29NOV17	6482.35	6869.07	USD	48,340.00	
	NET	0 AVG	BGHT 6482.35	SOLD 6810.20			40,981.25	0.00
30AUG17	B	1	PD30NOV17	6784.00	6869.53	USD	2,138.25	
30AUG17	B	1	PD30NOV17	6792.50	6869.53	USD	1,925.75	
30AUG17	B	2	PD30NOV17	6786.00	6869.53	USD	4,176.50	
30AUG17	B	1	PD30NOV17	6783.50	6869.53	USD	2,150.75	
31AUG17	S	2	PD30NOV17	6801.00	6869.53	USD	-3,426.50	
31AUG17	S	2	PD30NOV17	6800.00	6869.53	USD	-3,476.50	
31AUG17	S	1	PD30NOV17	6864.50	6869.53	USD	-125.75	
31AUG17	B	2	PD30NOV17	6841.50	6869.53	USD	1,401.50	
31AUG17	S	1	PD30NOV17	6810.00	6869.53	USD	-1,488.25	
31AUG17	S	2	PD30NOV17	6791.50	6869.53	USD	-3,901.50	
31AUG17	S	2	PD30NOV17	6793.50	6869.53	USD	-3,801.50	
31AUG17	S	1	PD30NOV17	6786.50	6869.53	USD	-2,075.75	
31AUG17	S	1	PD30NOV17	6783.50	6869.53	USD	-2,150.75	
02OCT17	B	5	PD30NOV17	6482.90	6869.53	USD	48,328.75	
	NET	0 AVG	BGHT 6669.13	SOLD 6801.38			39,675.00	0.00
01SEP17	S	1	PD01DEC17	6805.50	6870.00	USD	-1,612.50	
01SEP17	S	1	PD01DEC17	6806.50	6870.00	USD	-1,587.50	
01SEP17	S	1	PD01DEC17	6817.50	6870.00	USD	-1,312.50	
01SEP17	S	1	PD01DEC17	6816.50	6870.00	USD	-1,337.50	
01SEP17	S	1	PD01DEC17	6817.00	6870.00	USD	-1,325.00	
01SEP17	S	1	PD01DEC17	6831.00	6870.00	USD	-975.00	
02OCT17	B	6	PD01DEC17	6483.45	6870.00	USD	57,982.50	
	NET	0 AVG	BGHT 6483.45	SOLD 6815.67			49,832.50	0.00
06SEP17	S	5	PD06DEC17	6911.00	6872.00	USD	4,875.00	
06SEP17	S	5	PD06DEC17	6908.50	6872.00	USD	4,562.50	
02OCT17	B	10	PD06DEC17	6484.62	6872.00	USD	96,845.00	
	NET	0 AVG	BGHT 6484.62	SOLD 6909.75			106,282.50	0.00
08SEP17	S	5	PD08DEC17	6820.50	6872.83	USD	-6,541.25	
08SEP17	S	5	PD08DEC17	6720.00	6872.83	USD	-19,103.75	
02OCT17	B	10	PD08DEC17	6485.45	6872.83	USD	96,845.00	
	NET	0 AVG	BGHT 6485.45	SOLD 6770.25			71,200.00	0.00
15SEP17	S	1	PD15DEC17	6531.00	6875.75	USD	-8,618.75	
15SEP17	S	1	PD15DEC17	6534.00	6875.75	USD	-8,543.75	
15SEP17	S	1	PD15DEC17	6536.50	6875.75	USD	-8,481.25	
15SEP17	S	1	PD15DEC17	6538.50	6875.75	USD	-8,431.25	
15SEP17	S	1	PD15DEC17	6539.50	6875.75	USD	-8,406.25	
02OCT17	B	5	PD15DEC17	6488.37	6875.75	USD	48,422.50	
	NET	0 AVG	BGHT 6488.37	SOLD 6535.90			5,941.25	0.00
18SEP17	S	1	PD18DEC17	6554.50	6877.00	USD	-8,062.50	
18SEP17	S	1	PD18DEC17	6555.50	6877.00	USD	-8,037.50	
18SEP17	S	2	PD18DEC17	6551.00	6877.00	USD	-16,300.00	
18SEP17	S	1	PD18DEC17	6556.50	6877.00	USD	-8,012.50	
18SEP17	S	1	PD18DEC17	6564.50	6877.00	USD	-7,812.50	
18SEP17	S	2	PD18DEC17	6574.00	6877.00	USD	-15,150.00	
18SEP17	S	2	PD18DEC17	6567.00	6877.00	USD	-15,500.00	
18SEP17	S	4	PD18DEC17	6572.50	6877.00	USD	-30,450.00	
18SEP17	S	2	PD18DEC17	6570.50	6877.00	USD	-15,325.00	
02OCT17	B	6	PD18DEC17	6489.62	6877.00	USD	58,107.00	

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+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>					
	NET -10 AVG BGHT 6489.62 SOLD 6565.38				-66,543.00	0.00
09OCT17	B 2 PD09JAN18	6659.00	6881.00	USD	11,100.00	
09OCT17	B 2 PD09JAN18	6658.00	6881.00	USD	11,150.00	
09OCT17	B 3 PD09JAN18	6660.00	6881.00	USD	16,575.00	
09OCT17	B 3 PD09JAN18	6666.00	6881.00	USD	16,125.00	
	NET +10 AVG BGHT 6661.20				54,950.00	0.00
10OCT17	B 2 PD10JAN18	6678.00	6881.33	USD	10,166.50	
10OCT17	B 3 PD10JAN18	6681.50	6881.33	USD	14,987.25	
10OCT17	B 5 PD10JAN18	6697.00	6881.33	USD	23,041.25	
	NET +10 AVG BGHT 6688.55				48,195.00	0.00
11OCT17	B 5 PD11JAN18	6731.50	6881.67	USD	18,771.25	
	NET +5 AVG BGHT 6731.50				18,771.25	0.00
	<u>CMX COPPER 25,000 CMX</u>					
10OCT17	S 4 DEC17	303.350	313.350	USD	-10,000.00	
10OCT17	S 7 DEC17	303.500	313.350	USD	-17,237.50	
10OCT17	S 8 DEC17	304.150	313.350	USD	-18,400.00	
10OCT17	S 3 DEC17	304.100	313.350	USD	-6,937.50	
11OCT17	S 1 DEC17	305.800	313.350	USD	-1,887.50	
11OCT17	S 10 DEC17	305.750	313.350	USD	-19,000.00	
	NET -33 AVG SOLD 304.445				-73,462.50	0.00

OPEN POSITION VALUE

		USD
LME Copper Grade A 25	FUTURES	-2,326,106.00
CMX Copper	FUTURES	2,511,675.00

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options	Amount	Profit / Loss	Total Amount	Total P&L
COPR - Futures USD	-73,462.50	-73,462.50	-73,462.50	-73,462.50
Unrealised Profits & Losses - Forwards	Amount	Profit / Loss	Total Amount	Total P&L
COPL - Futures USD	255,435.25	255,435.25	255,435.25	255,435.25

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0011E

13th October 2017

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ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	-174,725.74	-174,725.74
Variation Margins		
- Futures	-73,462.50	-73,462.50
- Options	0.00	0.00
TOTAL EQUITY	-248,188.24	-248,188.24
Initial Margins	-103,950.00	-103,950.00
Excess/(Shortage)	-352,138.24	-352,138.24
FOR INFORMATION ONLY		
Forward Unrealised P&L	255,435.25	255,435.25
Net Liquidating Value	7,247.01	7,247.01

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
17JUL17	B	3	PD17OCT17	6002.50	6859.00	USD	64,237.50	
17JUL17	B	2	PD17OCT17	6003.00	6859.00	USD	42,800.00	
09AUG17	S	5	PD17OCT17	6448.75	6859.00	USD	-51,281.25	
	NET	0AVG BGHT 6002.70	SOLD 6448.75				55,756.25	0.00
18JUL17	S	2	PD18OCT17	5991.00	6859.50	USD	-43,425.00	
18JUL17	B	2	PD18OCT17	5980.00	6859.50	USD	43,975.00	
18JUL17	B	1	PD18OCT17	6006.00	6859.50	USD	21,337.50	
18JUL17	B	1	PD18OCT17	6007.00	6859.50	USD	21,312.50	
18JUL17	B	2	PD18OCT17	6008.00	6859.50	USD	42,575.00	
18JUL17	B	1	PD18OCT17	6004.00	6859.50	USD	21,387.50	
09AUG17	S	5	PD18OCT17	6449.00	6859.50	USD	-51,312.50	
	NET	0AVG BGHT 5999.00	SOLD 6318.14				55,850.00	0.00
19JUL17	B	3	PD19OCT17	5989.50	6857.50	USD	65,100.00	
19JUL17	B	2	PD19OCT17	5989.00	6857.50	USD	43,425.00	
19JUL17	B	2	PD19OCT17	6001.00	6857.50	USD	42,825.00	
19JUL17	B	3	PD19OCT17	5988.00	6857.50	USD	65,212.50	
19JUL17	S	1	PD19OCT17	5970.50	6857.50	USD	-22,175.00	
19JUL17	S	2	PD19OCT17	5983.00	6857.50	USD	-43,725.00	
19JUL17	S	1	PD19OCT17	5973.50	6857.50	USD	-22,100.00	
19JUL17	S	1	PD19OCT17	5968.00	6857.50	USD	-22,237.50	
19JUL17	S	2	PD19OCT17	5969.00	6857.50	USD	-44,425.00	
19JUL17	S	1	PD19OCT17	5969.50	6857.50	USD	-22,200.00	
19JUL17	S	1	PD19OCT17	5970.00	6857.50	USD	-22,187.50	
19JUL17	S	1	PD19OCT17	5965.00	6857.50	USD	-22,312.50	
	NET	0AVG BGHT 5991.25	SOLD 5972.05				-4,800.00	0.00
21JUL17	B	2	PD20OCT17	6016.50	6857.50	USD	42,050.00	
21JUL17	B	1	PD20OCT17	6036.50	6857.50	USD	20,525.00	
21JUL17	B	2	PD20OCT17	6038.00	6857.50	USD	40,975.00	
21JUL17	B	2	PD20OCT17	6032.50	6857.50	USD	41,250.00	
21JUL17	B	1	PD20OCT17	6028.00	6857.50	USD	20,737.50	
21JUL17	B	2	PD20OCT17	6030.50	6857.50	USD	41,350.00	
21JUL17	S	2	PD20OCT17	6002.00	6857.50	USD	-42,775.00	
21JUL17	S	3	PD20OCT17	6002.50	6857.50	USD	-64,125.00	
09AUG17	S	5	PD20OCT17	6447.00	6857.50	USD	-51,312.50	
	NET	0AVG BGHT 6029.95	SOLD 6224.65				48,675.00	0.00
26JUL17	S	5	PD26OCT17	6350.00	6857.50	USD	-63,437.50	
26JUL17	B	3	PD26OCT17	6291.00	6857.50	USD	42,487.50	
26JUL17	B	2	PD26OCT17	6295.00	6857.50	USD	28,125.00	
26JUL17	B	2	PD26OCT17	6284.00	6857.50	USD	28,675.00	
26JUL17	B	3	PD26OCT17	6286.00	6857.50	USD	42,862.50	
26JUL17	B	2	PD26OCT17	6274.50	6857.50	USD	29,150.00	
26JUL17	B	2	PD26OCT17	6279.50	6857.50	USD	28,900.00	
26JUL17	B	1	PD26OCT17	6280.00	6857.50	USD	14,437.50	
26JUL17	S	2	PD26OCT17	6326.50	6857.50	USD	-26,550.00	
26JUL17	S	3	PD26OCT17	6329.00	6857.50	USD	-39,637.50	
26JUL17	S	2	PD26OCT17	6334.00	6857.50	USD	-26,175.00	
26JUL17	S	3	PD26OCT17	6341.00	6857.50	USD	-38,737.50	
	NET	0AVG BGHT 6285.13	SOLD 6338.73				20,100.00	0.00
27JUL17	B	1	PD27OCT17	6334.00	6857.50	USD	13,087.50	
27JUL17	B	2	PD27OCT17	6335.00	6857.50	USD	26,125.00	
27JUL17	B	2	PD27OCT17	6337.50	6857.50	USD	26,000.00	
27JUL17	B	2	PD27OCT17	6354.50	6857.50	USD	25,150.00	
27JUL17	B	5	PD27OCT17	6356.50	6857.50	USD	62,625.00	
27JUL17	B	3	PD27OCT17	6350.00	6857.50	USD	38,062.50	
27JUL17	S	3	PD27OCT17	6346.00	6857.50	USD	-38,362.50	
27JUL17	S	2	PD27OCT17	6352.00	6857.50	USD	-25,275.00	

OPEN POSITION STATEMENT



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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
28JUL17	S	2	PD27OCT17	6269.00	6857.50	USD	-29,425.00	
28JUL17	S	3	PD27OCT17	6270.50	6857.50	USD	-44,025.00	
09AUG17	S	5	PD27OCT17	6450.00	6857.50	USD	-50,937.50	
	NET	0 AVG BGHT 6348.03	SOLD 6356.10				3,025.00	0.00
31JUL17	B	1	PD31OCT17	6413.50	6858.27	USD	11,119.25	
31JUL17	B	1	PD31OCT17	6414.00	6858.27	USD	11,106.75	
31JUL17	S	5	PD31OCT17	6386.50	6858.27	USD	-58,971.25	
31JUL17	B	1	PD31OCT17	6411.00	6858.27	USD	11,181.75	
31JUL17	B	2	PD31OCT17	6397.00	6858.27	USD	23,063.50	
31JUL17	S	1	PD31OCT17	6395.00	6858.27	USD	-11,581.75	
31JUL17	S	3	PD31OCT17	6371.50	6858.27	USD	-36,507.75	
31JUL17	S	1	PD31OCT17	6375.00	6858.27	USD	-12,081.75	
31JUL17	S	5	PD31OCT17	6377.00	6858.27	USD	-60,158.75	
31JUL17	S	2	PD31OCT17	6364.50	6858.27	USD	-24,688.50	
31JUL17	S	3	PD31OCT17	6362.50	6858.27	USD	-37,182.75	
31JUL17	S	2	PD31OCT17	6374.00	6858.27	USD	-24,213.50	
31JUL17	S	3	PD31OCT17	6374.50	6858.27	USD	-36,282.75	
09AUG17	B	20	PD31OCT17	6451.74	6858.27	USD	203,265.00	
	NET	0 AVG BGHT 6442.69	SOLD 6375.60				-41,932.50	0.00
01AUG17	B	3	PD01NOV17	6379.00	6859.05	USD	36,003.75	
01AUG17	B	2	PD01NOV17	6380.00	6859.05	USD	23,952.50	
01AUG17	S	2	PD01NOV17	6352.00	6859.05	USD	-25,352.50	
01AUG17	S	3	PD01NOV17	6357.00	6859.05	USD	-37,653.75	
01AUG17	S	3	PD01NOV17	6339.50	6859.05	USD	-38,966.25	
01AUG17	S	2	PD01NOV17	6340.50	6859.05	USD	-25,927.50	
09AUG17	B	5	PD01NOV17	6452.12	6859.05	USD	50,866.25	
	NET	0 AVG BGHT 6415.76	SOLD 6347.45				-17,077.50	0.00
03AUG17	S	2	PD03NOV17	6318.00	6860.59	USD	-27,129.50	
03AUG17	S	3	PD03NOV17	6319.00	6860.59	USD	-40,619.25	
03AUG17	B	1	PD03NOV17	6313.50	6860.59	USD	13,677.25	
03AUG17	B	2	PD03NOV17	6314.50	6860.59	USD	27,304.50	
03AUG17	B	2	PD03NOV17	6316.50	6860.59	USD	27,204.50	
03AUG17	B	3	PD03NOV17	6355.00	6860.59	USD	37,919.25	
03AUG17	S	5	PD03NOV17	6353.50	6860.59	USD	-63,386.25	
03AUG17	S	2	PD03NOV17	6355.50	6860.59	USD	-25,254.50	
03AUG17	B	2	PD03NOV17	6352.00	6860.59	USD	25,429.50	
03AUG17	S	1	PD03NOV17	6340.50	6860.59	USD	-13,002.25	
03AUG17	S	3	PD03NOV17	6341.50	6860.59	USD	-38,931.75	
03AUG17	S	1	PD03NOV17	6343.50	6860.59	USD	-12,927.25	
03AUG17	B	2	PD03NOV17	6353.00	6860.59	USD	25,379.50	
04AUG17	B	2	PD03NOV17	6348.00	6860.59	USD	25,629.50	
04AUG17	B	1	PD03NOV17	6354.00	6860.59	USD	12,664.75	
04AUG17	B	3	PD03NOV17	6374.00	6860.59	USD	36,494.25	
04AUG17	B	2	PD03NOV17	6378.00	6860.59	USD	24,129.50	
04AUG17	S	3	PD03NOV17	6356.50	6860.59	USD	-37,806.75	
04AUG17	S	2	PD03NOV17	6365.50	6860.59	USD	-24,754.50	
04AUG17	S	5	PD03NOV17	6362.50	6860.59	USD	-62,261.25	
04AUG17	B	2	PD03NOV17	6354.50	6860.59	USD	25,304.50	
04AUG17	B	3	PD03NOV17	6366.50	6860.59	USD	37,056.75	
04AUG17	B	1	PD03NOV17	6369.00	6860.59	USD	12,289.75	
04AUG17	B	1	PD03NOV17	6358.00	6860.59	USD	12,564.75	
04AUG17	S	5	PD03NOV17	6324.00	6860.59	USD	-67,073.75	
04AUG17	B	4	PD03NOV17	6383.00	6860.59	USD	47,759.00	
04AUG17	B	1	PD03NOV17	6383.50	6860.59	USD	11,927.25	
	NET	0 AVG BGHT 6357.17	SOLD 6344.16				-10,412.50	0.00
09AUG17	B	2	PD09NOV17	6490.50	6865.23	USD	18,736.50	

OPEN POSITION STATEMENT



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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
09AUG17	B	3	PD09NOV17	6492.00	6865.23	USD	27,992.25	
09AUG17	B	3	PD09NOV17	6486.50	6865.23	USD	28,404.75	
09AUG17	B	1	PD09NOV17	6487.00	6865.23	USD	9,455.75	
09AUG17	B	3	PD09NOV17	6484.00	6865.23	USD	28,592.25	
09AUG17	B	3	PD09NOV17	6487.50	6865.23	USD	28,329.75	
	NET	+15 AVG BGHT 6487.87					141,511.25	0.00
11AUG17	B	2	PD10NOV17	6372.00	6866.00	USD	24,700.00	
11AUG17	B	1	PD10NOV17	6373.00	6866.00	USD	12,325.00	
11AUG17	S	3	PD10NOV17	6373.00	6866.00	USD	-36,975.00	
	NET	0 AVG BGHT 6372.33	SOLD 6373.00				50.00	0.00
14AUG17	S	2	PD14NOV17	6408.00	6864.67	USD	-22,833.50	
14AUG17	S	2	PD14NOV17	6417.50	6864.67	USD	-22,358.50	
14AUG17	S	1	PD14NOV17	6406.00	6864.67	USD	-11,466.75	
14AUG17	S	3	PD14NOV17	6415.00	6864.67	USD	-33,725.25	
14AUG17	S	2	PD14NOV17	6418.00	6864.67	USD	-22,333.50	
14AUG17	S	2	PD14NOV17	6418.50	6864.67	USD	-22,308.50	
14AUG17	S	3	PD14NOV17	6419.50	6864.67	USD	-33,387.75	
14AUG17	B	2	PD14NOV17	6409.00	6864.67	USD	22,783.50	
14AUG17	B	1	PD14NOV17	6409.50	6864.67	USD	11,379.25	
14AUG17	B	2	PD14NOV17	6411.00	6864.67	USD	22,683.50	
14AUG17	B	5	PD14NOV17	6403.00	6864.67	USD	57,708.75	
	NET	-5 AVG BGHT 6406.45	SOLD 6415.57				-53,858.75	0.00
15AUG17	S	2	PD15NOV17	6370.00	6864.00	USD	-24,700.00	
15AUG17	B	2	PD15NOV17	6364.50	6864.00	USD	24,975.00	
	NET	0 AVG BGHT 6364.50	SOLD 6370.00				275.00	0.00
16AUG17	S	7	PD16NOV17	6382.00	6863.00	USD	-84,175.00	
16AUG17	S	3	PD16NOV17	6383.00	6863.00	USD	-36,000.00	
16AUG17	B	2	PD16NOV17	6454.00	6863.00	USD	20,450.00	
16AUG17	B	1	PD16NOV17	6459.50	6863.00	USD	10,087.50	
16AUG17	B	2	PD16NOV17	6458.00	6863.00	USD	20,250.00	
16AUG17	B	2	PD16NOV17	6485.50	6863.00	USD	18,875.00	
16AUG17	B	1	PD16NOV17	6487.50	6863.00	USD	9,387.50	
16AUG17	B	3	PD16NOV17	6491.50	6863.00	USD	27,862.50	
16AUG17	B	3	PD16NOV17	6491.00	6863.00	USD	27,900.00	
16AUG17	B	1	PD16NOV17	6494.00	6863.00	USD	9,225.00	
16AUG17	S	1	PD16NOV17	6491.00	6863.00	USD	-9,300.00	
16AUG17	B	3	PD16NOV17	6487.00	6863.00	USD	28,200.00	
16AUG17	B	3	PD16NOV17	6481.00	6863.00	USD	28,650.00	
16AUG17	B	3	PD16NOV17	6483.00	6863.00	USD	28,500.00	
16AUG17	B	2	PD16NOV17	6490.50	6863.00	USD	18,625.00	
	NET	+15 AVG BGHT 6481.44	SOLD 6392.18				118,537.50	0.00
17AUG17	B	2	PD17NOV17	6523.50	6863.47	USD	16,998.50	
17AUG17	B	1	PD17NOV17	6525.00	6863.47	USD	8,461.75	
17AUG17	B	2	PD17NOV17	6526.00	6863.47	USD	16,873.50	
17AUG17	S	2	PD17NOV17	6473.00	6863.47	USD	-19,523.50	
17AUG17	S	3	PD17NOV17	6475.00	6863.47	USD	-29,135.25	
18AUG17	S	1	PD17NOV17	6489.50	6863.47	USD	-9,349.25	
18AUG17	S	1	PD17NOV17	6490.50	6863.47	USD	-9,324.25	
18AUG17	S	6	PD17NOV17	6491.50	6863.47	USD	-55,795.50	
18AUG17	S	2	PD17NOV17	6492.00	6863.47	USD	-18,573.50	
18AUG17	S	3	PD17NOV17	6493.00	6863.47	USD	-27,785.25	
18AUG17	S	2	PD17NOV17	6494.50	6863.47	USD	-18,448.50	
18AUG17	S	2	PD17NOV17	6499.00	6863.47	USD	-18,223.50	
18AUG17	S	3	PD17NOV17	6500.50	6863.47	USD	-27,222.75	
18AUG17	S	2	PD17NOV17	6502.00	6863.47	USD	-18,073.50	
18AUG17	S	3	PD17NOV17	6503.00	6863.47	USD	-27,035.25	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
18AUG17	B	2	PD17NOV17	6501.00	6863.47	USD	18,123.50	
18AUG17	B	3	PD17NOV17	6502.00	6863.47	USD	27,110.25	
18AUG17	B	1	PD17NOV17	6486.50	6863.47	USD	9,424.25	
18AUG17	B	2	PD17NOV17	6487.00	6863.47	USD	18,823.50	
18AUG17	B	1	PD17NOV17	6487.50	6863.47	USD	9,399.25	
18AUG17	B	1	PD17NOV17	6488.00	6863.47	USD	9,386.75	
	NET	-15 AVG	BGHT 6504.53	SOLD 6492.15			-143,888.75	0.00
21AUG17	B	2	PD21NOV17	6574.00	6865.33	USD	14,566.50	
21AUG17	B	1	PD21NOV17	6585.50	6865.33	USD	6,995.75	
21AUG17	B	1	PD21NOV17	6591.00	6865.33	USD	6,858.25	
21AUG17	B	1	PD21NOV17	6591.50	6865.33	USD	6,845.75	
	NET	+5 AVG	BGHT 6583.20				35,266.25	0.00
22AUG17	B	1	PD22NOV17	6628.00	6865.80	USD	5,945.00	
22AUG17	B	2	PD22NOV17	6631.00	6865.80	USD	11,740.00	
22AUG17	B	3	PD22NOV17	6632.00	6865.80	USD	17,535.00	
22AUG17	B	1	PD22NOV17	6627.50	6865.80	USD	5,957.50	
22AUG17	B	1	PD22NOV17	6626.50	6865.80	USD	5,982.50	
22AUG17	B	1	PD22NOV17	6615.00	6865.80	USD	6,270.00	
22AUG17	B	1	PD22NOV17	6616.00	6865.80	USD	6,245.00	
22AUG17	B	4	PD22NOV17	6620.00	6865.80	USD	24,580.00	
22AUG17	B	1	PD22NOV17	6621.00	6865.80	USD	6,120.00	
22AUG17	B	2	PD22NOV17	6638.00	6865.80	USD	11,390.00	
22AUG17	B	3	PD22NOV17	6639.00	6865.80	USD	17,010.00	
	NET	+20 AVG	BGHT 6628.25				118,775.00	0.00
25AUG17	S	3	PD24NOV17	6723.00	6866.73	USD	-10,779.75	
25AUG17	S	2	PD24NOV17	6724.00	6866.73	USD	-7,136.50	
25AUG17	S	2	PD24NOV17	6729.50	6866.73	USD	-6,861.50	
25AUG17	S	1	PD24NOV17	6730.50	6866.73	USD	-3,405.75	
25AUG17	S	2	PD24NOV17	6731.50	6866.73	USD	-6,761.50	
25AUG17	B	1	PD24NOV17	6719.00	6866.73	USD	3,693.25	
25AUG17	B	1	PD24NOV17	6721.00	6866.73	USD	3,643.25	
25AUG17	B	3	PD24NOV17	6718.00	6866.73	USD	11,154.75	
	NET	-5 AVG	BGHT 6718.80	SOLD 6726.95			-16,453.75	0.00
29AUG17	S	3	PD29NOV17	6780.00	6869.07	USD	-6,680.25	
29AUG17	S	2	PD29NOV17	6787.00	6869.07	USD	-4,103.50	
29AUG17	S	3	PD29NOV17	6790.50	6869.07	USD	-5,892.75	
29AUG17	S	2	PD29NOV17	6791.50	6869.07	USD	-3,878.50	
29AUG17	S	2	PD29NOV17	6775.00	6869.07	USD	-4,703.50	
29AUG17	S	3	PD29NOV17	6773.00	6869.07	USD	-7,205.25	
29AUG17	S	2	PD29NOV17	6792.50	6869.07	USD	-3,828.50	
29AUG17	S	3	PD29NOV17	6794.50	6869.07	USD	-5,592.75	
29AUG17	S	1	PD29NOV17	6803.50	6869.07	USD	-1,639.25	
29AUG17	S	3	PD29NOV17	6805.00	6869.07	USD	-4,805.25	
29AUG17	S	1	PD29NOV17	6805.50	6869.07	USD	-1,589.25	
29AUG17	B	2	PD29NOV17	6810.00	6869.07	USD	2,953.50	
29AUG17	B	3	PD29NOV17	6811.00	6869.07	USD	4,355.25	
29AUG17	B	1	PD29NOV17	6822.00	6869.07	USD	1,176.75	
29AUG17	B	1	PD29NOV17	6824.50	6869.07	USD	1,114.25	
29AUG17	B	2	PD29NOV17	6827.00	6869.07	USD	2,103.50	
29AUG17	B	1	PD29NOV17	6791.00	6869.07	USD	1,951.75	
29AUG17	B	2	PD29NOV17	6794.00	6869.07	USD	3,753.50	
29AUG17	B	3	PD29NOV17	6794.50	6869.07	USD	5,592.75	
	NET	-10 AVG	BGHT 6807.73	SOLD 6789.20			-26,917.50	0.00
30AUG17	S	1	PD30NOV17	6785.00	6869.53	USD	-2,113.25	
30AUG17	S	1	PD30NOV17	6786.50	6869.53	USD	-2,075.75	
30AUG17	S	1	PD30NOV17	6787.00	6869.53	USD	-2,063.25	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0011F

13th October 2017

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
30AUG17	S	2	PD30NOV17	6788.00	6869.53	USD	-4,076.50	
30AUG17	S	1	PD30NOV17	6790.00	6869.53	USD	-1,988.25	
30AUG17	S	2	PD30NOV17	6790.50	6869.53	USD	-3,951.50	
30AUG17	S	1	PD30NOV17	6796.00	6869.53	USD	-1,838.25	
30AUG17	S	1	PD30NOV17	6777.00	6869.53	USD	-2,313.25	
31AUG17	S	3	PD30NOV17	6806.00	6869.53	USD	-4,764.75	
31AUG17	S	2	PD30NOV17	6807.50	6869.53	USD	-3,101.50	
31AUG17	B	4	PD30NOV17	6854.00	6869.53	USD	1,553.00	
31AUG17	B	1	PD30NOV17	6838.00	6869.53	USD	788.25	
31AUG17	S	2	PD30NOV17	6792.00	6869.53	USD	-3,876.50	
31AUG17	S	3	PD30NOV17	6794.00	6869.53	USD	-5,664.75	
	NET	-15 AVG BGHT 6850.80	SOLD 6793.88				-35,486.25	0.00
01SEP17	B	3	PD01DEC17	6802.00	6870.00	USD	5,100.00	
01SEP17	B	2	PD01DEC17	6802.50	6870.00	USD	3,375.00	
01SEP17	S	2	PD01DEC17	6818.00	6870.00	USD	-2,600.00	
01SEP17	S	3	PD01DEC17	6824.00	6870.00	USD	-3,450.00	
01SEP17	B	1	PD01DEC17	6825.50	6870.00	USD	1,112.50	
01SEP17	B	2	PD01DEC17	6826.00	6870.00	USD	2,200.00	
01SEP17	S	3	PD01DEC17	6840.50	6870.00	USD	-2,212.50	
	NET	0 AVG BGHT 6811.06	SOLD 6828.69				3,525.00	0.00
05SEP17	B	2	PD05DEC17	6955.00	6871.60	USD	-4,170.00	
05SEP17	B	2	PD05DEC17	6956.00	6871.60	USD	-4,220.00	
05SEP17	B	1	PD05DEC17	6956.50	6871.60	USD	-2,122.50	
05SEP17	B	2	PD05DEC17	6958.00	6871.60	USD	-4,320.00	
05SEP17	B	3	PD05DEC17	6951.00	6871.60	USD	-5,955.00	
05SEP17	S	2	PD05DEC17	6919.50	6871.60	USD	2,395.00	
05SEP17	S	1	PD05DEC17	6926.50	6871.60	USD	1,372.50	
05SEP17	S	1	PD05DEC17	6927.00	6871.60	USD	1,385.00	
05SEP17	S	1	PD05DEC17	6926.00	6871.60	USD	1,360.00	
	NET	+5 AVG BGHT 6954.75	SOLD 6923.70				-14,275.00	0.00
06SEP17	S	2	PD06DEC17	6897.00	6872.00	USD	1,250.00	
06SEP17	S	3	PD06DEC17	6903.00	6872.00	USD	2,325.00	
06SEP17	S	2	PD06DEC17	6908.00	6872.00	USD	1,800.00	
06SEP17	S	3	PD06DEC17	6910.00	6872.00	USD	2,850.00	
	NET	-10 AVG SOLD 6904.90					8,225.00	0.00
11SEP17	B	2	PD11DEC17	6708.50	6874.08	USD	8,279.00	
11SEP17	B	2	PD11DEC17	6710.00	6874.08	USD	8,204.00	
11SEP17	B	1	PD11DEC17	6710.50	6874.08	USD	4,089.50	
11SEP17	B	3	PD11DEC17	6725.00	6874.08	USD	11,181.00	
11SEP17	B	4	PD11DEC17	6727.00	6874.08	USD	14,708.00	
11SEP17	B	3	PD11DEC17	6728.00	6874.08	USD	10,956.00	
11SEP17	B	1	PD11DEC17	6733.00	6874.08	USD	3,527.00	
11SEP17	B	2	PD11DEC17	6733.50	6874.08	USD	7,029.00	
11SEP17	B	2	PD11DEC17	6734.00	6874.08	USD	7,004.00	
11SEP17	B	2	PD11DEC17	6754.00	6874.08	USD	6,004.00	
11SEP17	B	3	PD11DEC17	6753.00	6874.08	USD	9,081.00	
	NET	+25 AVG BGHT 6729.98					90,062.50	0.00
12SEP17	B	1	PD12DEC17	6723.00	6874.50	USD	3,787.50	
12SEP17	B	2	PD12DEC17	6724.00	6874.50	USD	7,525.00	
12SEP17	B	2	PD12DEC17	6721.00	6874.50	USD	7,675.00	
12SEP17	B	2	PD12DEC17	6687.00	6874.50	USD	9,375.00	
12SEP17	B	3	PD12DEC17	6691.00	6874.50	USD	13,762.50	
12SEP17	B	5	PD12DEC17	6729.00	6874.50	USD	18,187.50	
12SEP17	S	5	PD12DEC17	6652.00	6874.50	USD	-27,812.50	
	NET	+10 AVG BGHT 6713.67	SOLD 6652.00				32,500.00	0.00
13SEP17	B	1	PD13DEC17	6604.00	6874.92	USD	6,773.00	

Group No: SAPAB011

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ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT



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13th October 2017

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
13SEP17	B	2	PD13DEC17	6607.00	6874.92	USD	13,396.00	
13SEP17	B	2	PD13DEC17	6593.00	6874.92	USD	14,096.00	
	NET	+5 AVG	BGHT 6600.80				34,265.00	0.00
18SEP17	S	2	PD18DEC17	6530.00	6877.00	USD	-17,350.00	
18SEP17	S	3	PD18DEC17	6531.50	6877.00	USD	-25,912.50	
18SEP17	S	5	PD18DEC17	6527.00	6877.00	USD	-43,750.00	
	NET	-10 AVG	SOLD 6528.95				-87,012.50	0.00
19SEP17	S	2	PD19DEC17	6528.00	6877.00	USD	-17,450.00	
19SEP17	S	3	PD19DEC17	6526.00	6877.00	USD	-26,325.00	
19SEP17	B	2	PD19DEC17	6531.00	6877.00	USD	17,300.00	
19SEP17	B	3	PD19DEC17	6527.50	6877.00	USD	26,212.50	
	NET	0 AVG	BGHT 6528.90	SOLD 6526.80			-262.50	0.00
21SEP17	S	3	PD21DEC17	6439.50	6876.00	USD	-32,737.50	
21SEP17	S	3	PD21DEC17	6440.50	6876.00	USD	-32,662.50	
21SEP17	S	4	PD21DEC17	6442.00	6876.00	USD	-43,400.00	
	NET	-10 AVG	SOLD 6440.80				-108,800.00	0.00
26SEP17	S	2	PD27DEC17	6504.50	6876.67	USD	-18,608.50	
26SEP17	S	3	PD27DEC17	6507.50	6876.67	USD	-27,687.75	
27SEP17	S	2	PD27DEC17	6461.50	6876.67	USD	-20,758.50	
27SEP17	S	3	PD27DEC17	6458.00	6876.67	USD	-31,400.25	
	NET	-10 AVG	SOLD 6482.85				-98,455.00	0.00
28SEP17	B	1	PD28DEC17	6446.00	6877.00	USD	10,775.00	
28SEP17	B	2	PD28DEC17	6447.00	6877.00	USD	21,500.00	
28SEP17	B	5	PD28DEC17	6454.00	6877.00	USD	52,875.00	
28SEP17	B	2	PD28DEC17	6458.00	6877.00	USD	20,950.00	
28SEP17	B	2	PD28DEC17	6470.00	6877.00	USD	20,350.00	
28SEP17	B	3	PD28DEC17	6474.00	6877.00	USD	30,225.00	
28SEP17	B	3	PD28DEC17	6562.00	6877.00	USD	23,625.00	
28SEP17	B	2	PD28DEC17	6562.50	6877.00	USD	15,725.00	
	NET	+20 AVG	BGHT 6484.95				196,025.00	0.00
29SEP17	S	1	PD29DEC17	6531.50	6877.33	USD	-8,645.75	
29SEP17	S	3	PD29DEC17	6530.00	6877.33	USD	-26,049.75	
29SEP17	S	1	PD29DEC17	6531.00	6877.33	USD	-8,658.25	
29SEP17	B	2	PD29DEC17	6521.00	6877.33	USD	17,816.50	
29SEP17	B	3	PD29DEC17	6516.50	6877.33	USD	27,062.25	
	NET	0 AVG	BGHT 6518.30	SOLD 6530.50			1,525.00	0.00
03OCT17	S	5	PD03JAN18	6456.00	6879.00	USD	-52,875.00	
	NET	-5 AVG	SOLD 6456.00				-52,875.00	0.00
05OCT17	B	3	PD05JAN18	6669.00	6879.67	USD	15,800.25	
05OCT17	B	1	PD05JAN18	6683.50	6879.67	USD	4,904.25	
05OCT17	B	1	PD05JAN18	6684.00	6879.67	USD	4,891.75	
05OCT17	B	3	PD05JAN18	6706.00	6879.67	USD	13,025.25	
05OCT17	B	2	PD05JAN18	6698.50	6879.67	USD	9,058.50	
	NET	+10 AVG	BGHT 6688.95				47,680.00	0.00
09OCT17	S	5	PD09JAN18	6657.50	6881.00	USD	-27,937.50	
09OCT17	S	2	PD09JAN18	6662.50	6881.00	USD	-10,925.00	
09OCT17	S	1	PD09JAN18	6664.50	6881.00	USD	-5,412.50	
09OCT17	S	2	PD09JAN18	6663.50	6881.00	USD	-10,875.00	
09OCT17	S	1	PD09JAN18	6658.00	6881.00	USD	-5,575.00	
09OCT17	S	4	PD09JAN18	6659.00	6881.00	USD	-22,200.00	
09OCT17	S	2	PD09JAN18	6660.00	6881.00	USD	-11,050.00	
09OCT17	S	3	PD09JAN18	6661.00	6881.00	USD	-16,500.00	
	NET	-20 AVG	SOLD 6660.05				-110,475.00	0.00
11OCT17	B	2	PD11JAN18	6796.50	6881.67	USD	4,258.50	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>					
11OCT17	B 1 PD11JAN18	6798.00	6881.67	USD	2,091.75	
11OCT17	B 1 PD11JAN18	6798.50	6881.67	USD	2,079.25	
11OCT17	B 1 PD11JAN18	6799.00	6881.67	USD	2,066.75	
	NET +5 AVG BGHT 6797.70				10,496.25	0.00
	<u>CMX COPPER 25,000 CMX</u>					
11OCT17	S 5 DEC17	308.800	313.350	USD	-5,687.50	
11OCT17	S 6 DEC17	308.900	313.350	USD	-6,675.00	
	NET -11 AVG SOLD 308.855				-12,362.50	0.00
11SEP17	S 4 MAR18	308.000	315.300	USD	-7,300.00	
11SEP17	S 1 MAR18	308.050	315.300	USD	-1,812.50	
11SEP17	S 5 MAR18	308.400	315.300	USD	-8,625.00	
11SEP17	S 4 MAR18	308.200	315.300	USD	-7,100.00	
11SEP17	S 2 MAR18	308.150	315.300	USD	-3,575.00	
12SEP17	S 7 MAR18	307.950	315.300	USD	-12,862.50	
12SEP17	S 4 MAR18	307.850	315.300	USD	-7,450.00	
28SEP17	S 6 MAR18	295.250	315.300	USD	-30,075.00	
	NET -33 AVG SOLD 305.748				-78,800.00	0.00

OPEN POSITION VALUE

		<u>USD</u>
LME Copper Grade A 25	FUTURES	-3,232,516.25
CMX Copper	FUTURES	3,371,775.00

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options	Amount	Profit / Loss	Total Amount	Total P&L
COPR - Futures USD	-91,162.50	-91,162.50	-91,162.50	-91,162.50
Unrealised Profits & Losses - Forwards	Amount	Profit / Loss	Total Amount	Total P&L
COPL - Futures USD	199,142.50	199,142.50	199,142.50	199,142.50

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	-88,464.00	-88,464.00
Variation Margins - Futures	-91,162.50	-91,162.50
- Options	0.00	0.00
TOTAL EQUITY	-179,626.50	-179,626.50
Initial Margins	-212,855.50	-212,855.50
Excess/(Shortage)	-392,482.00	-392,482.00
<u>FOR INFORMATION ONLY</u>		
Forward Unrealised P&L	199,142.50	199,142.50
Net Liquidating Value	19,516.00	19,516.00

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17JUL17	B	4	PD17OCT17	5998.00	6859.00	USD	86,100.00	
17JUL17	B	1	PD17OCT17	5999.50	6859.00	USD	21,487.50	
10AUG17	S	5	PD17OCT17	6416.00	6859.00	USD	-55,375.00	
	NET	0 AVG BGHT 5998.30	SOLD 6416.00				52,212.50	0.00
18JUL17	S	2	PD18OCT17	5991.00	6859.50	USD	-43,425.00	
18JUL17	S	2	PD18OCT17	5991.50	6859.50	USD	-43,400.00	
18JUL17	B	1	PD18OCT17	5993.00	6859.50	USD	21,662.50	
18JUL17	B	1	PD18OCT17	5995.00	6859.50	USD	21,612.50	
18JUL17	B	2	PD18OCT17	5996.00	6859.50	USD	43,175.00	
18JUL17	B	1	PD18OCT17	6011.00	6859.50	USD	21,212.50	
18JUL17	B	3	PD18OCT17	6007.50	6859.50	USD	63,900.00	
18JUL17	B	6	PD18OCT17	6005.00	6859.50	USD	128,175.00	
18JUL17	B	1	PD18OCT17	6005.50	6859.50	USD	21,350.00	
18JUL17	B	2	PD18OCT17	6007.00	6859.50	USD	42,625.00	
18JUL17	B	2	PD18OCT17	6004.50	6859.50	USD	42,750.00	
10AUG17	S	15	PD18OCT17	6416.25	6859.50	USD	-166,218.75	
	NET	0 AVG BGHT 6003.79	SOLD 6326.78				153,418.75	0.00
21JUL17	B	2	PD20OCT17	6031.50	6857.50	USD	41,300.00	
21JUL17	B	3	PD20OCT17	6032.00	6857.50	USD	61,912.50	
10AUG17	S	5	PD20OCT17	6414.25	6857.50	USD	-55,406.25	
	NET	0 AVG BGHT 6031.80	SOLD 6414.25				47,806.25	0.00
24JUL17	S	2	PD24OCT17	6014.00	6857.50	USD	-42,175.00	
24JUL17	S	1	PD24OCT17	6010.00	6857.50	USD	-21,187.50	
24JUL17	S	2	PD24OCT17	6021.00	6857.50	USD	-41,825.00	
10AUG17	B	5	PD24OCT17	6416.16	6857.50	USD	55,167.50	
	NET	0 AVG BGHT 6416.16	SOLD 6016.00				-50,020.00	0.00
25JUL17	S	3	PD25OCT17	6065.00	6857.50	USD	-59,437.50	
25JUL17	S	5	PD25OCT17	6066.00	6857.50	USD	-98,937.50	
25JUL17	S	3	PD25OCT17	6126.00	6857.50	USD	-54,862.50	
25JUL17	S	2	PD25OCT17	6064.00	6857.50	USD	-39,675.00	
25JUL17	S	2	PD25OCT17	6124.50	6857.50	USD	-36,650.00	
25JUL17	B	1	PD25OCT17	6199.50	6857.50	USD	16,450.00	
25JUL17	B	3	PD25OCT17	6201.00	6857.50	USD	49,237.50	
25JUL17	B	2	PD25OCT17	6232.50	6857.50	USD	31,250.00	
25JUL17	B	1	PD25OCT17	6218.00	6857.50	USD	15,987.50	
25JUL17	B	5	PD25OCT17	6224.00	6857.50	USD	79,187.50	
25JUL17	B	4	PD25OCT17	6212.00	6857.50	USD	64,550.00	
25JUL17	B	2	PD25OCT17	6212.50	6857.50	USD	32,250.00	
25JUL17	B	2	PD25OCT17	6213.00	6857.50	USD	32,225.00	
25JUL17	S	1	PD25OCT17	6207.00	6857.50	USD	-16,262.50	
25JUL17	B	1	PD25OCT17	6217.50	6857.50	USD	16,000.00	
25JUL17	B	2	PD25OCT17	6228.00	6857.50	USD	31,475.00	
25JUL17	B	1	PD25OCT17	6221.00	6857.50	USD	15,912.50	
25JUL17	B	1	PD25OCT17	6222.00	6857.50	USD	15,887.50	
25JUL17	B	1	PD25OCT17	6223.00	6857.50	USD	15,862.50	
10AUG17	S	10	PD25OCT17	6416.39	6857.50	USD	-110,277.50	
	NET	0 AVG BGHT 6217.08	SOLD 6217.34				172.50	0.00
26JUL17	S	1	PD26OCT17	6354.00	6857.50	USD	-12,587.50	
26JUL17	S	2	PD26OCT17	6376.50	6857.50	USD	-24,050.00	
26JUL17	S	2	PD26OCT17	6337.00	6857.50	USD	-26,025.00	
26JUL17	S	1	PD26OCT17	6338.00	6857.50	USD	-12,987.50	
26JUL17	S	4	PD26OCT17	6355.00	6857.50	USD	-50,250.00	
26JUL17	B	3	PD26OCT17	6275.50	6857.50	USD	43,650.00	
26JUL17	B	2	PD26OCT17	6276.00	6857.50	USD	29,075.00	
26JUL17	B	3	PD26OCT17	6273.00	6857.50	USD	43,837.50	
26JUL17	B	2	PD26OCT17	6274.00	6857.50	USD	29,175.00	

OPEN POSITION STATEMENT



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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
26JUL17	B	8	PD26OCT17	6277.00	6857.50	USD	116,100.00	
26JUL17	B	2	PD26OCT17	6276.50	6857.50	USD	29,050.00	
10AUG17	S	10	PD26OCT17	6416.82	6857.50	USD	-110,170.00	
	NET	0AVG BGHT 6275.73	SOLD 6385.36				54,817.50	0.00
27JUL17	S	2	PD27OCT17	6339.50	6857.50	USD	-25,900.00	
27JUL17	S	1	PD27OCT17	6345.50	6857.50	USD	-12,800.00	
27JUL17	S	1	PD27OCT17	6346.00	6857.50	USD	-12,787.50	
27JUL17	S	1	PD27OCT17	6347.00	6857.50	USD	-12,762.50	
27JUL17	B	5	PD27OCT17	6353.50	6857.50	USD	63,000.00	
27JUL17	B	2	PD27OCT17	6356.50	6857.50	USD	25,050.00	
27JUL17	B	1	PD27OCT17	6359.00	6857.50	USD	12,462.50	
27JUL17	B	1	PD27OCT17	6361.00	6857.50	USD	12,412.50	
27JUL17	B	1	PD27OCT17	6362.00	6857.50	USD	12,387.50	
27JUL17	S	2	PD27OCT17	6348.00	6857.50	USD	-25,475.00	
27JUL17	S	2	PD27OCT17	6349.00	6857.50	USD	-25,425.00	
27JUL17	S	1	PD27OCT17	6355.50	6857.50	USD	-12,550.00	
28JUL17	S	3	PD27OCT17	6283.00	6857.50	USD	-43,087.50	
28JUL17	S	1	PD27OCT17	6285.00	6857.50	USD	-14,312.50	
28JUL17	S	1	PD27OCT17	6286.00	6857.50	USD	-14,287.50	
28JUL17	S	3	PD27OCT17	6288.00	6857.50	USD	-42,712.50	
28JUL17	S	2	PD27OCT17	6289.00	6857.50	USD	-28,425.00	
10AUG17	B	10	PD27OCT17	6417.45	6857.50	USD	110,012.50	
	NET	0AVG BGHT 6386.85	SOLD 6316.45				-35,200.00	0.00
31JUL17	S	5	PD31OCT17	6382.50	6858.27	USD	-59,471.25	
31JUL17	S	2	PD31OCT17	6377.50	6858.27	USD	-24,038.50	
31JUL17	S	1	PD31OCT17	6374.00	6858.27	USD	-12,106.75	
31JUL17	S	2	PD31OCT17	6368.50	6858.27	USD	-24,488.50	
31JUL17	S	1	PD31OCT17	6362.50	6858.27	USD	-12,394.25	
31JUL17	S	2	PD31OCT17	6360.00	6858.27	USD	-24,913.50	
31JUL17	S	2	PD31OCT17	6362.00	6858.27	USD	-24,813.50	
31JUL17	S	1	PD31OCT17	6363.50	6858.27	USD	-12,369.25	
31JUL17	S	4	PD31OCT17	6364.00	6858.27	USD	-49,427.00	
10AUG17	B	20	PD31OCT17	6419.09	6858.27	USD	219,590.00	
	NET	0AVG BGHT 6419.09	SOLD 6370.23				-24,432.50	0.00
02AUG17	S	2	PD02NOV17	6316.00	6859.82	USD	-27,191.00	
02AUG17	S	1	PD02NOV17	6317.00	6859.82	USD	-13,570.50	
02AUG17	S	2	PD02NOV17	6324.00	6859.82	USD	-26,791.00	
02AUG17	B	2	PD02NOV17	6334.00	6859.82	USD	26,291.00	
02AUG17	B	1	PD02NOV17	6335.00	6859.82	USD	13,120.50	
02AUG17	S	1	PD02NOV17	6339.50	6859.82	USD	-13,008.00	
02AUG17	S	1	PD02NOV17	6343.50	6859.82	USD	-12,908.00	
02AUG17	S	1	PD02NOV17	6344.50	6859.82	USD	-12,883.00	
10AUG17	B	5	PD02NOV17	6419.91	6859.82	USD	54,988.75	
	NET	0AVG BGHT 6387.82	SOLD 6328.06				-11,951.25	0.00
03AUG17	S	5	PD03NOV17	6307.00	6860.59	USD	-69,198.75	
03AUG17	S	2	PD03NOV17	6316.00	6860.59	USD	-27,229.50	
03AUG17	S	3	PD03NOV17	6317.00	6860.59	USD	-40,769.25	
03AUG17	S	2	PD03NOV17	6348.00	6860.59	USD	-25,629.50	
03AUG17	S	2	PD03NOV17	6351.00	6860.59	USD	-25,479.50	
03AUG17	S	1	PD03NOV17	6347.00	6860.59	USD	-12,839.75	
04AUG17	B	3	PD03NOV17	6357.50	6860.59	USD	37,731.75	
04AUG17	B	2	PD03NOV17	6358.00	6860.59	USD	25,129.50	
04AUG17	B	2	PD03NOV17	6368.00	6860.59	USD	24,629.50	
04AUG17	B	1	PD03NOV17	6363.50	6860.59	USD	12,427.25	
04AUG17	B	1	PD03NOV17	6364.00	6860.59	USD	12,414.75	
04AUG17	B	1	PD03NOV17	6366.00	6860.59	USD	12,364.75	

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04AUG17	S	3	PD03NOV17	6359.00	6860.59	USD	-37,619.25	
04AUG17	S	1	PD03NOV17	6355.50	6860.59	USD	-12,627.25	
04AUG17	S	1	PD03NOV17	6336.50	6860.59	USD	-13,102.25	
10AUG17	B	10	PD03NOV17	6420.33	6860.59	USD	110,065.00	
	NET	0 AVG BGHT 6391.07	SOLD 6331.60				-29,732.50	0.00
07AUG17	B	2	PD07NOV17	6425.00	6863.68	USD	21,934.00	
07AUG17	B	1	PD07NOV17	6428.00	6863.68	USD	10,892.00	
07AUG17	B	3	PD07NOV17	6421.50	6863.68	USD	33,163.50	
07AUG17	B	1	PD07NOV17	6422.00	6863.68	USD	11,042.00	
07AUG17	B	3	PD07NOV17	6423.50	6863.68	USD	33,013.50	
07AUG17	B	1	PD07NOV17	6418.00	6863.68	USD	11,142.00	
07AUG17	B	1	PD07NOV17	6424.00	6863.68	USD	10,992.00	
07AUG17	S	5	PD07NOV17	6412.50	6863.68	USD	-56,397.50	
07AUG17	S	1	PD07NOV17	6413.50	6863.68	USD	-11,254.50	
07AUG17	S	1	PD07NOV17	6414.50	6863.68	USD	-11,229.50	
07AUG17	S	5	PD07NOV17	6415.50	6863.68	USD	-56,022.50	
	NET	0 AVG BGHT 6423.08	SOLD 6414.00				-2,725.00	0.00
08AUG17	B	2	PD08NOV17	6436.00	6864.45	USD	21,422.50	
08AUG17	B	1	PD08NOV17	6440.00	6864.45	USD	10,611.25	
08AUG17	B	1	PD08NOV17	6441.00	6864.45	USD	10,586.25	
08AUG17	B	1	PD08NOV17	6444.50	6864.45	USD	10,498.75	
08AUG17	B	2	PD08NOV17	6437.50	6864.45	USD	21,347.50	
08AUG17	B	1	PD08NOV17	6447.00	6864.45	USD	10,436.25	
08AUG17	B	1	PD08NOV17	6450.00	6864.45	USD	10,361.25	
08AUG17	B	1	PD08NOV17	6455.50	6864.45	USD	10,223.75	
08AUG17	B	2	PD08NOV17	6460.00	6864.45	USD	20,222.50	
08AUG17	B	3	PD08NOV17	6462.00	6864.45	USD	30,183.75	
08AUG17	B	2	PD08NOV17	6481.00	6864.45	USD	19,172.50	
08AUG17	B	1	PD08NOV17	6473.00	6864.45	USD	9,786.25	
08AUG17	B	1	PD08NOV17	6474.50	6864.45	USD	9,748.75	
08AUG17	B	1	PD08NOV17	6477.00	6864.45	USD	9,686.25	
	NET	+20 AVG BGHT 6455.88					204,287.50	0.00
14AUG17	S	1	PD14NOV17	6420.50	6864.67	USD	-11,104.25	
14AUG17	S	1	PD14NOV17	6417.50	6864.67	USD	-11,179.25	
14AUG17	S	2	PD14NOV17	6422.00	6864.67	USD	-22,133.50	
14AUG17	S	2	PD14NOV17	6419.00	6864.67	USD	-22,283.50	
14AUG17	S	3	PD14NOV17	6415.00	6864.67	USD	-33,725.25	
14AUG17	S	3	PD14NOV17	6417.00	6864.67	USD	-33,575.25	
14AUG17	S	4	PD14NOV17	6419.50	6864.67	USD	-44,517.00	
14AUG17	S	1	PD14NOV17	6405.00	6864.67	USD	-11,491.75	
14AUG17	S	1	PD14NOV17	6406.00	6864.67	USD	-11,466.75	
14AUG17	S	2	PD14NOV17	6418.00	6864.67	USD	-22,333.50	
14AUG17	B	2	PD14NOV17	6405.50	6864.67	USD	22,958.50	
14AUG17	B	1	PD14NOV17	6406.50	6864.67	USD	11,454.25	
14AUG17	B	1	PD14NOV17	6407.50	6864.67	USD	11,429.25	
14AUG17	B	2	PD14NOV17	6404.00	6864.67	USD	23,033.50	
14AUG17	B	2	PD14NOV17	6405.00	6864.67	USD	22,983.50	
14AUG17	B	2	PD14NOV17	6403.00	6864.67	USD	23,083.50	
14AUG17	B	4	PD14NOV17	6412.00	6864.67	USD	45,267.00	
14AUG17	B	1	PD14NOV17	6413.00	6864.67	USD	11,291.75	
	NET	-5 AVG BGHT 6407.33	SOLD 6417.05				-52,308.75	0.00
16AUG17	S	1	PD16NOV17	6379.00	6863.00	USD	-12,100.00	
16AUG17	S	1	PD16NOV17	6380.00	6863.00	USD	-12,075.00	
16AUG17	S	2	PD16NOV17	6380.50	6863.00	USD	-24,125.00	
16AUG17	S	1	PD16NOV17	6381.00	6863.00	USD	-12,050.00	
16AUG17	S	2	PD16NOV17	6388.00	6863.00	USD	-23,750.00	

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16AUG17	S	2	PD16NOV17	6383.50	6863.00	USD	-23,975.00	
16AUG17	S	3	PD16NOV17	6384.50	6863.00	USD	-35,887.50	
16AUG17	S	3	PD16NOV17	6385.50	6863.00	USD	-35,812.50	
16AUG17	B	1	PD16NOV17	6454.00	6863.00	USD	10,225.00	
16AUG17	B	1	PD16NOV17	6455.00	6863.00	USD	10,200.00	
16AUG17	B	1	PD16NOV17	6458.00	6863.00	USD	10,125.00	
16AUG17	B	1	PD16NOV17	6460.50	6863.00	USD	10,062.50	
16AUG17	B	1	PD16NOV17	6461.00	6863.00	USD	10,050.00	
16AUG17	B	1	PD16NOV17	6467.00	6863.00	USD	9,900.00	
16AUG17	B	1	PD16NOV17	6465.50	6863.00	USD	9,937.50	
16AUG17	B	1	PD16NOV17	6468.00	6863.00	USD	9,875.00	
16AUG17	B	1	PD16NOV17	6472.00	6863.00	USD	9,775.00	
16AUG17	B	1	PD16NOV17	6462.50	6863.00	USD	10,012.50	
16AUG17	B	1	PD16NOV17	6494.00	6863.00	USD	9,225.00	
16AUG17	B	1	PD16NOV17	6494.50	6863.00	USD	9,212.50	
16AUG17	B	5	PD16NOV17	6490.00	6863.00	USD	46,625.00	
16AUG17	B	3	PD16NOV17	6491.50	6863.00	USD	27,862.50	
16AUG17	B	4	PD16NOV17	6512.00	6863.00	USD	35,100.00	
16AUG17	B	1	PD16NOV17	6515.00	6863.00	USD	8,700.00	
16AUG17	B	1	PD16NOV17	6545.00	6863.00	USD	7,950.00	
16AUG17	B	2	PD16NOV17	6549.00	6863.00	USD	15,700.00	
16AUG17	B	1	PD16NOV17	6544.50	6863.00	USD	7,962.50	
16AUG17	B	1	PD16NOV17	6546.00	6863.00	USD	7,925.00	
	NET	+15 AVG BGHT 6494.43	SOLD 6383.60				96,650.00	0.00
18AUG17	S	3	PD17NOV17	6465.00	6863.47	USD	-29,885.25	
18AUG17	S	1	PD17NOV17	6466.00	6863.47	USD	-9,936.75	
18AUG17	S	5	PD17NOV17	6464.50	6863.47	USD	-49,871.25	
18AUG17	S	3	PD17NOV17	6492.00	6863.47	USD	-27,860.25	
18AUG17	S	1	PD17NOV17	6493.50	6863.47	USD	-9,249.25	
18AUG17	S	1	PD17NOV17	6496.50	6863.47	USD	-9,174.25	
18AUG17	S	1	PD17NOV17	6501.00	6863.47	USD	-9,061.75	
18AUG17	S	3	PD17NOV17	6507.00	6863.47	USD	-26,735.25	
18AUG17	S	2	PD17NOV17	6509.50	6863.47	USD	-17,698.50	
18AUG17	S	4	PD17NOV17	6493.00	6863.47	USD	-37,047.00	
18AUG17	B	2	PD17NOV17	6508.00	6863.47	USD	17,773.50	
18AUG17	B	1	PD17NOV17	6500.00	6863.47	USD	9,086.75	
18AUG17	B	1	PD17NOV17	6498.50	6863.47	USD	9,124.25	
18AUG17	B	1	PD17NOV17	6503.00	6863.47	USD	9,011.75	
18AUG17	B	1	PD17NOV17	6512.00	6863.47	USD	8,786.75	
18AUG17	B	1	PD17NOV17	6519.00	6863.47	USD	8,611.75	
18AUG17	S	2	PD17NOV17	6465.50	6863.47	USD	-19,898.50	
18AUG17	S	1	PD17NOV17	6470.50	6863.47	USD	-9,824.25	
18AUG17	B	1	PD17NOV17	6485.50	6863.47	USD	9,449.25	
18AUG17	B	2	PD17NOV17	6486.00	6863.47	USD	18,873.50	
18AUG17	B	1	PD17NOV17	6483.00	6863.47	USD	9,511.75	
18AUG17	B	1	PD17NOV17	6489.00	6863.47	USD	9,361.75	
	NET	-15 AVG BGHT 6498.17	SOLD 6483.85				-146,651.25	0.00
21AUG17	B	2	PD21NOV17	6594.00	6865.33	USD	13,566.50	
21AUG17	B	3	PD21NOV17	6595.50	6865.33	USD	20,237.25	
21AUG17	B	3	PD21NOV17	6598.00	6865.33	USD	20,049.75	
21AUG17	B	2	PD21NOV17	6600.00	6865.33	USD	13,266.50	
21AUG17	B	1	PD21NOV17	6585.00	6865.33	USD	7,008.25	
21AUG17	B	1	PD21NOV17	6588.00	6865.33	USD	6,933.25	
21AUG17	B	3	PD21NOV17	6586.00	6865.33	USD	20,949.75	
	NET	+15 AVG BGHT 6593.30					102,011.25	0.00
22AUG17	B	2	PD22NOV17	6615.00	6865.80	USD	12,540.00	

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22AUG17	B	2	PD22NOV17	6626.00	6865.80	USD	11,990.00	
22AUG17	B	1	PD22NOV17	6592.00	6865.80	USD	6,845.00	
22AUG17	B	1	PD22NOV17	6585.00	6865.80	USD	7,020.00	
22AUG17	B	3	PD22NOV17	6587.00	6865.80	USD	20,910.00	
22AUG17	B	1	PD22NOV17	6584.00	6865.80	USD	7,045.00	
	NET	+10 AVG BGHT 6600.40					66,350.00	0.00
25AUG17	S	2	PD24NOV17	6722.00	6866.73	USD	-7,236.50	
25AUG17	S	1	PD24NOV17	6725.50	6866.73	USD	-3,530.75	
25AUG17	S	1	PD24NOV17	6724.00	6866.73	USD	-3,568.25	
25AUG17	S	1	PD24NOV17	6724.50	6866.73	USD	-3,555.75	
	NET	-5 AVG SOLD 6723.60					-17,891.25	0.00
29AUG17	S	5	PD29NOV17	6784.00	6869.07	USD	-10,633.75	
29AUG17	S	1	PD29NOV17	6774.50	6869.07	USD	-2,364.25	
29AUG17	S	3	PD29NOV17	6777.00	6869.07	USD	-6,905.25	
29AUG17	S	1	PD29NOV17	6785.50	6869.07	USD	-2,089.25	
29AUG17	S	1	PD29NOV17	6786.00	6869.07	USD	-2,076.75	
29AUG17	S	1	PD29NOV17	6787.00	6869.07	USD	-2,051.75	
29AUG17	S	1	PD29NOV17	6775.00	6869.07	USD	-2,351.75	
29AUG17	S	1	PD29NOV17	6776.00	6869.07	USD	-2,326.75	
29AUG17	S	2	PD29NOV17	6776.50	6869.07	USD	-4,628.50	
29AUG17	S	2	PD29NOV17	6773.00	6869.07	USD	-4,803.50	
29AUG17	S	1	PD29NOV17	6772.00	6869.07	USD	-2,426.75	
29AUG17	S	3	PD29NOV17	6778.50	6869.07	USD	-6,792.75	
29AUG17	S	3	PD29NOV17	6770.00	6869.07	USD	-7,430.25	
29AUG17	S	3	PD29NOV17	6789.00	6869.07	USD	-6,005.25	
29AUG17	S	2	PD29NOV17	6771.00	6869.07	USD	-4,903.50	
	NET	-30 AVG SOLD 6778.68					-67,790.00	0.00
31AUG17	S	1	PD30NOV17	6795.50	6869.53	USD	-1,850.75	
31AUG17	S	1	PD30NOV17	6781.50	6869.53	USD	-2,200.75	
31AUG17	B	1	PD30NOV17	6797.50	6869.53	USD	1,800.75	
31AUG17	B	1	PD30NOV17	6799.50	6869.53	USD	1,750.75	
	NET	0 AVG BGHT 6798.50	SOLD 6788.50				-500.00	0.00
04SEP17	S	1	PD04DEC17	6889.00	6871.20	USD	445.00	
04SEP17	S	1	PD04DEC17	6890.00	6871.20	USD	470.00	
04SEP17	S	1	PD04DEC17	6894.50	6871.20	USD	582.50	
04SEP17	S	1	PD04DEC17	6895.50	6871.20	USD	607.50	
04SEP17	S	1	PD04DEC17	6898.00	6871.20	USD	670.00	
	NET	-5 AVG SOLD 6893.40					2,775.00	0.00
05SEP17	B	4	PD05DEC17	6940.00	6871.60	USD	-6,840.00	
05SEP17	B	1	PD05DEC17	6941.00	6871.60	USD	-1,735.00	
05SEP17	B	1	PD05DEC17	6950.00	6871.60	USD	-1,960.00	
05SEP17	B	1	PD05DEC17	6952.00	6871.60	USD	-2,010.00	
05SEP17	B	1	PD05DEC17	6953.00	6871.60	USD	-2,035.00	
05SEP17	B	1	PD05DEC17	6957.00	6871.60	USD	-2,135.00	
05SEP17	B	1	PD05DEC17	6959.50	6871.60	USD	-2,197.50	
05SEP17	S	1	PD05DEC17	6847.00	6871.60	USD	-615.00	
05SEP17	S	1	PD05DEC17	6853.50	6871.60	USD	-452.50	
05SEP17	S	1	PD05DEC17	6860.00	6871.60	USD	-290.00	
05SEP17	S	2	PD05DEC17	6853.00	6871.60	USD	-930.00	
	NET	+5 AVG BGHT 6947.25	SOLD 6853.30				-21,200.00	0.00
06SEP17	S	3	PD06DEC17	6888.00	6872.00	USD	1,200.00	
06SEP17	S	2	PD06DEC17	6889.00	6872.00	USD	850.00	
	NET	-5 AVG SOLD 6888.40					2,050.00	0.00
08SEP17	B	1	PD08DEC17	6826.50	6872.83	USD	1,158.25	
08SEP17	B	1	PD08DEC17	6821.00	6872.83	USD	1,295.75	

OPEN POSITION STATEMENT



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SAP0011G

13th October 2017

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
08SEP17	B	1	PD08DEC17	6815.00	6872.83	USD	1,445.75	
08SEP17	B	1	PD08DEC17	6819.00	6872.83	USD	1,345.75	
08SEP17	B	2	PD08DEC17	6822.00	6872.83	USD	2,541.50	
08SEP17	B	1	PD08DEC17	6822.50	6872.83	USD	1,258.25	
08SEP17	B	1	PD08DEC17	6825.00	6872.83	USD	1,195.75	
08SEP17	B	1	PD08DEC17	6828.00	6872.83	USD	1,120.75	
08SEP17	B	1	PD08DEC17	6828.50	6872.83	USD	1,108.25	
	NET	+10 AVG BGHT 6822.95					12,470.00	0.00
11SEP17	B	5	PD11DEC17	6708.00	6874.08	USD	20,760.00	
11SEP17	B	4	PD11DEC17	6728.50	6874.08	USD	14,558.00	
11SEP17	B	1	PD11DEC17	6740.00	6874.08	USD	3,352.00	
	NET	+10 AVG BGHT 6719.40					38,670.00	0.00
12SEP17	B	1	PD12DEC17	6710.00	6874.50	USD	4,112.50	
12SEP17	B	1	PD12DEC17	6720.00	6874.50	USD	3,862.50	
12SEP17	B	1	PD12DEC17	6721.00	6874.50	USD	3,837.50	
12SEP17	B	1	PD12DEC17	6716.00	6874.50	USD	3,962.50	
12SEP17	B	2	PD12DEC17	6729.00	6874.50	USD	7,275.00	
12SEP17	B	1	PD12DEC17	6733.00	6874.50	USD	3,537.50	
12SEP17	B	1	PD12DEC17	6688.50	6874.50	USD	4,650.00	
12SEP17	B	1	PD12DEC17	6689.50	6874.50	USD	4,625.00	
12SEP17	B	1	PD12DEC17	6687.00	6874.50	USD	4,687.50	
12SEP17	B	1	PD12DEC17	6687.50	6874.50	USD	4,675.00	
12SEP17	B	4	PD12DEC17	6690.00	6874.50	USD	18,450.00	
12SEP17	B	1	PD12DEC17	6692.50	6874.50	USD	4,550.00	
12SEP17	B	2	PD12DEC17	6718.00	6874.50	USD	7,825.00	
12SEP17	B	1	PD12DEC17	6725.00	6874.50	USD	3,737.50	
12SEP17	B	1	PD12DEC17	6689.00	6874.50	USD	4,637.50	
	NET	+20 AVG BGHT 6705.65					84,425.00	0.00
13SEP17	B	2	PD13DEC17	6568.50	6874.92	USD	15,321.00	
13SEP17	B	2	PD13DEC17	6564.50	6874.92	USD	15,521.00	
13SEP17	B	1	PD13DEC17	6565.50	6874.92	USD	7,735.50	
	NET	+5 AVG BGHT 6566.30					38,577.50	0.00
18SEP17	S	10	PD18DEC17	6550.00	6877.00	USD	-81,750.00	
18SEP17	S	1	PD18DEC17	6538.00	6877.00	USD	-8,475.00	
18SEP17	S	2	PD18DEC17	6528.00	6877.00	USD	-17,450.00	
18SEP17	S	2	PD18DEC17	6529.00	6877.00	USD	-17,400.00	
18SEP17	S	4	PD18DEC17	6527.50	6877.00	USD	-34,950.00	
18SEP17	S	1	PD18DEC17	6519.00	6877.00	USD	-8,950.00	
	NET	-20 AVG SOLD 6539.05					-168,975.00	0.00
19SEP17	S	2	PD19DEC17	6536.00	6877.00	USD	-17,050.00	
19SEP17	S	1	PD19DEC17	6534.50	6877.00	USD	-8,562.50	
19SEP17	S	1	PD19DEC17	6537.00	6877.00	USD	-8,500.00	
19SEP17	S	1	PD19DEC17	6538.00	6877.00	USD	-8,475.00	
19SEP17	B	1	PD19DEC17	6507.00	6877.00	USD	9,250.00	
19SEP17	B	1	PD19DEC17	6508.00	6877.00	USD	9,225.00	
19SEP17	B	1	PD19DEC17	6509.00	6877.00	USD	9,200.00	
19SEP17	B	2	PD19DEC17	6510.00	6877.00	USD	18,350.00	
19SEP17	B	3	PD19DEC17	6536.50	6877.00	USD	25,537.50	
19SEP17	B	1	PD19DEC17	6531.00	6877.00	USD	8,650.00	
19SEP17	B	1	PD19DEC17	6532.50	6877.00	USD	8,612.50	
	NET	+5 AVG BGHT 6521.70 SOLD 6536.30					46,237.50	0.00
21SEP17	S	1	PD21DEC17	6453.00	6876.00	USD	-10,575.00	
21SEP17	S	1	PD21DEC17	6458.50	6876.00	USD	-10,437.50	
21SEP17	S	1	PD21DEC17	6436.00	6876.00	USD	-11,000.00	
21SEP17	S	1	PD21DEC17	6439.00	6876.00	USD	-10,925.00	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
21SEP17	S	1	PD21DEC17	6440.50	6876.00	USD	-10,887.50	
21SEP17	S	1	PD21DEC17	6442.50	6876.00	USD	-10,837.50	
21SEP17	S	1	PD21DEC17	6446.50	6876.00	USD	-10,737.50	
21SEP17	S	3	PD21DEC17	6457.00	6876.00	USD	-31,425.00	
	NET	-10 AVG	SOLD 6448.70				-106,825.00	0.00
22SEP17	B	1	PD22DEC17	6390.00	6875.00	USD	12,125.00	
22SEP17	B	5	PD22DEC17	6391.00	6875.00	USD	60,500.00	
22SEP17	B	2	PD22DEC17	6392.00	6875.00	USD	24,150.00	
22SEP17	B	1	PD22DEC17	6395.00	6875.00	USD	12,000.00	
22SEP17	B	1	PD22DEC17	6388.50	6875.00	USD	12,162.50	
	NET	+10 AVG	BGHT 6391.25				120,937.50	0.00
25SEP17	S	1	PD27DEC17	6451.00	6876.67	USD	-10,641.75	
25SEP17	S	1	PD27DEC17	6452.00	6876.67	USD	-10,616.75	
25SEP17	S	1	PD27DEC17	6449.00	6876.67	USD	-10,691.75	
25SEP17	S	2	PD27DEC17	6454.00	6876.67	USD	-21,133.50	
26SEP17	S	1	PD27DEC17	6436.00	6876.67	USD	-11,016.75	
26SEP17	S	1	PD27DEC17	6438.50	6876.67	USD	-10,954.25	
26SEP17	S	1	PD27DEC17	6439.50	6876.67	USD	-10,929.25	
26SEP17	S	1	PD27DEC17	6440.50	6876.67	USD	-10,904.25	
26SEP17	S	2	PD27DEC17	6441.50	6876.67	USD	-21,758.50	
26SEP17	S	1	PD27DEC17	6429.50	6876.67	USD	-11,179.25	
26SEP17	S	1	PD27DEC17	6435.00	6876.67	USD	-11,041.75	
26SEP17	S	2	PD27DEC17	6442.50	6876.67	USD	-21,708.50	
27SEP17	B	2	PD27DEC17	6465.00	6876.67	USD	20,583.50	
27SEP17	B	2	PD27DEC17	6462.50	6876.67	USD	20,708.50	
27SEP17	B	1	PD27DEC17	6459.00	6876.67	USD	10,441.75	
	NET	-10 AVG	BGHT 6462.80 SOLD 6443.13				-110,842.50	0.00
28SEP17	B	2	PD28DEC17	6564.50	6877.00	USD	15,625.00	
28SEP17	B	1	PD28DEC17	6568.00	6877.00	USD	7,725.00	
28SEP17	B	2	PD28DEC17	6572.00	6877.00	USD	15,250.00	
	NET	+5 AVG	BGHT 6568.20				38,600.00	0.00
29SEP17	B	1	PD29DEC17	6561.00	6877.33	USD	7,908.25	
29SEP17	B	2	PD29DEC17	6565.00	6877.33	USD	15,616.50	
29SEP17	B	1	PD29DEC17	6569.00	6877.33	USD	7,708.25	
29SEP17	B	1	PD29DEC17	6559.00	6877.33	USD	7,958.25	
29SEP17	B	3	PD29DEC17	6546.00	6877.33	USD	24,849.75	
29SEP17	B	3	PD29DEC17	6549.00	6877.33	USD	24,624.75	
29SEP17	B	1	PD29DEC17	6543.00	6877.33	USD	8,358.25	
29SEP17	B	3	PD29DEC17	6544.00	6877.33	USD	24,999.75	
29SEP17	S	1	PD29DEC17	6532.00	6877.33	USD	-8,633.25	
29SEP17	S	1	PD29DEC17	6536.50	6877.33	USD	-8,520.75	
29SEP17	S	1	PD29DEC17	6530.00	6877.33	USD	-8,683.25	
29SEP17	S	1	PD29DEC17	6530.50	6877.33	USD	-8,670.75	
29SEP17	S	2	PD29DEC17	6531.00	6877.33	USD	-17,316.50	
29SEP17	S	2	PD29DEC17	6533.00	6877.33	USD	-17,216.50	
29SEP17	S	1	PD29DEC17	6525.00	6877.33	USD	-8,808.25	
29SEP17	S	1	PD29DEC17	6529.00	6877.33	USD	-8,708.25	
	NET	+5 AVG	BGHT 6551.93 SOLD 6531.10				35,466.25	0.00
03OCT17	S	1	PD03JAN18	6463.50	6879.00	USD	-10,387.50	
03OCT17	S	1	PD03JAN18	6464.00	6879.00	USD	-10,375.00	
03OCT17	S	1	PD03JAN18	6465.00	6879.00	USD	-10,350.00	
03OCT17	S	1	PD03JAN18	6466.00	6879.00	USD	-10,325.00	
03OCT17	S	1	PD03JAN18	6467.00	6879.00	USD	-10,300.00	
	NET	-5 AVG	SOLD 6465.10				-51,737.50	0.00
05OCT17	B	1	PD05JAN18	6692.50	6879.67	USD	4,679.25	
05OCT17	B	1	PD05JAN18	6691.50	6879.67	USD	4,704.25	

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05OCT17	B 1 PD05JAN18	6693.00	6879.67	USD	4,666.75	
05OCT17	B 2 PD05JAN18	6682.00	6879.67	USD	9,883.50	
05OCT17	B 1 PD05JAN18	6683.50	6879.67	USD	4,904.25	
05OCT17	B 1 PD05JAN18	6687.00	6879.67	USD	4,816.75	
05OCT17	B 2 PD05JAN18	6683.00	6879.67	USD	9,833.50	
05OCT17	B 1 PD05JAN18	6684.50	6879.67	USD	4,879.25	
	NET +10 AVG BGHT 6686.20				48,367.50	0.00
09OCT17	S 2 PD09JAN18	6663.00	6881.00	USD	-10,900.00	
09OCT17	S 5 PD09JAN18	6663.50	6881.00	USD	-27,187.50	
09OCT17	S 3 PD09JAN18	6664.00	6881.00	USD	-16,275.00	
09OCT17	S 5 PD09JAN18	6680.00	6881.00	USD	-25,125.00	
	NET -15 AVG SOLD 6669.03				-79,487.50	0.00
10OCT17	S 1 PD10JAN18	6686.00	6881.33	USD	-4,883.25	
10OCT17	S 1 PD10JAN18	6688.00	6881.33	USD	-4,833.25	
10OCT17	S 1 PD10JAN18	6693.00	6881.33	USD	-4,708.25	
10OCT17	S 1 PD10JAN18	6698.00	6881.33	USD	-4,583.25	
10OCT17	S 1 PD10JAN18	6706.00	6881.33	USD	-4,383.25	
10OCT17	S 2 PD10JAN18	6707.00	6881.33	USD	-8,716.50	
10OCT17	S 1 PD10JAN18	6710.00	6881.33	USD	-4,283.25	
10OCT17	S 2 PD10JAN18	6711.50	6881.33	USD	-8,491.50	
	NET -10 AVG SOLD 6701.80				-44,882.50	0.00
11OCT17	B 1 PD11JAN18	6801.00	6881.67	USD	2,016.75	
11OCT17	B 3 PD11JAN18	6799.00	6881.67	USD	6,200.25	
11OCT17	B 1 PD11JAN18	6802.00	6881.67	USD	1,991.75	
	NET +5 AVG BGHT 6800.00				10,208.75	0.00
	<u>CMX COPPER 25,000 CMX</u>					
11OCT17	S 2 DEC17	308.950	313.350	USD	-2,200.00	
11OCT17	S 1 DEC17	308.850	313.350	USD	-1,125.00	
11OCT17	S 4 DEC17	308.900	313.350	USD	-4,450.00	
11OCT17	S 1 DEC17	309.050	313.350	USD	-1,075.00	
11OCT17	S 3 DEC17	309.000	313.350	USD	-3,262.50	
	NET -11 AVG SOLD 308.945				-12,112.50	0.00
12SEP17	S 1 MAR18	307.450	315.300	USD	-1,962.50	
12SEP17	S 1 MAR18	307.550	315.300	USD	-1,937.50	
12SEP17	S 2 MAR18	307.300	315.300	USD	-4,000.00	
12SEP17	S 3 MAR18	307.600	315.300	USD	-5,775.00	
12SEP17	S 4 MAR18	307.700	315.300	USD	-7,600.00	
12SEP17	S 4 MAR18	306.300	315.300	USD	-9,000.00	
12SEP17	S 3 MAR18	306.400	315.300	USD	-6,675.00	
12SEP17	S 3 MAR18	306.550	315.300	USD	-6,562.50	
12SEP17	S 1 MAR18	306.450	315.300	USD	-2,212.50	
	NET -22 AVG SOLD 306.986				-45,725.00	0.00

OPEN POSITION VALUE

		<u>USD</u>
LME Copper Grade A 25	FUTURES	-2,336,346.25
CMX Copper	FUTURES	2,538,025.00

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MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options	Amount	Profit / Loss	Total Amount	Total P&L
COPR - Futures USD	-57,837.50	-57,837.50	-57,837.50	-57,837.50
Unrealised Profits & Losses - Forwards	Amount	Profit / Loss	Total Amount	Total P&L
COPL - Futures USD	233,358.75	233,358.75	233,358.75	233,358.75

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	-140,477.05	-140,477.05
Variation Margins - Futures	-57,837.50	-57,837.50
- Options	0.00	0.00
TOTAL EQUITY	-198,314.55	-198,314.55
Initial Margins	-103,950.00	-103,950.00
Excess/(Shortage)	-302,264.55	-302,264.55
FOR INFORMATION ONLY		
Forward Unrealised P&L	233,358.75	233,358.75
Net Liquidating Value	35,044.20	35,044.20

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19JUL17	B	2	PD19OCT17	5992.00	6857.50	USD	43,275.00	
19JUL17	B	5	PD19OCT17	5990.00	6857.50	USD	108,437.50	
19JUL17	B	1	PD19OCT17	5997.50	6857.50	USD	21,500.00	
19JUL17	B	1	PD19OCT17	5999.00	6857.50	USD	21,462.50	
19JUL17	B	1	PD19OCT17	5995.00	6857.50	USD	21,562.50	
19JUL17	S	1	PD19OCT17	6000.50	6857.50	USD	-21,425.00	
19JUL17	B	1	PD19OCT17	5993.50	6857.50	USD	21,600.00	
19JUL17	S	2	PD19OCT17	5972.50	6857.50	USD	-44,250.00	
19JUL17	S	2	PD19OCT17	5963.50	6857.50	USD	-44,700.00	
19JUL17	S	1	PD19OCT17	5964.00	6857.50	USD	-22,337.50	
10AUG17	S	5	PD19OCT17	6415.25	6857.50	USD	-55,281.25	
	NET	0AVG BGHT 5992.64	SOLD 6173.89				49,843.75	0.00
20JUL17	S	2	PD20OCT17	5969.50	6857.50	USD	-44,400.00	
20JUL17	S	1	PD20OCT17	5971.50	6857.50	USD	-22,150.00	
20JUL17	S	1	PD20OCT17	5967.50	6857.50	USD	-22,250.00	
20JUL17	S	3	PD20OCT17	5970.50	6857.50	USD	-66,525.00	
20JUL17	S	3	PD20OCT17	5973.50	6857.50	USD	-66,300.00	
21JUL17	B	3	PD20OCT17	6031.00	6857.50	USD	61,987.50	
21JUL17	B	5	PD20OCT17	6032.00	6857.50	USD	103,187.50	
21JUL17	B	2	PD20OCT17	6033.00	6857.50	USD	41,225.00	
	NET	0AVG BGHT 6031.90	SOLD 5971.00				-15,225.00	0.00
25JUL17	S	3	PD25OCT17	6045.50	6857.50	USD	-60,900.00	
25JUL17	S	4	PD25OCT17	6046.00	6857.50	USD	-81,150.00	
25JUL17	S	2	PD25OCT17	6046.50	6857.50	USD	-40,550.00	
25JUL17	S	1	PD25OCT17	6047.00	6857.50	USD	-20,262.50	
25JUL17	S	1	PD25OCT17	6062.50	6857.50	USD	-19,875.00	
25JUL17	S	3	PD25OCT17	6066.50	6857.50	USD	-59,325.00	
25JUL17	S	1	PD25OCT17	6067.00	6857.50	USD	-19,762.50	
25JUL17	B	5	PD25OCT17	6181.50	6857.50	USD	84,500.00	
25JUL17	B	1	PD25OCT17	6225.50	6857.50	USD	15,800.00	
25JUL17	B	2	PD25OCT17	6227.00	6857.50	USD	31,525.00	
25JUL17	B	2	PD25OCT17	6227.50	6857.50	USD	31,500.00	
25JUL17	B	1	PD25OCT17	6228.50	6857.50	USD	15,725.00	
25JUL17	B	4	PD25OCT17	6230.00	6857.50	USD	62,750.00	
25JUL17	B	1	PD25OCT17	6221.50	6857.50	USD	15,900.00	
25JUL17	B	4	PD25OCT17	6226.00	6857.50	USD	63,150.00	
25JUL17	B	2	PD25OCT17	6226.50	6857.50	USD	31,550.00	
25JUL17	B	1	PD25OCT17	6231.50	6857.50	USD	15,650.00	
25JUL17	B	2	PD25OCT17	6233.50	6857.50	USD	31,200.00	
25JUL17	B	1	PD25OCT17	6223.00	6857.50	USD	15,862.50	
25JUL17	B	4	PD25OCT17	6236.00	6857.50	USD	62,150.00	
25JUL17	B	1	PD25OCT17	6242.50	6857.50	USD	15,375.00	
25JUL17	B	9	PD25OCT17	6224.00	6857.50	USD	142,537.50	
10AUG17	S	25	PD25OCT17	6416.39	6857.50	USD	-275,693.75	
	NET	0AVG BGHT 6222.33	SOLD 6279.98				57,656.25	0.00
26JUL17	S	2	PD26OCT17	6297.00	6857.50	USD	-28,025.00	
26JUL17	S	2	PD26OCT17	6300.00	6857.50	USD	-27,875.00	
26JUL17	S	1	PD26OCT17	6301.50	6857.50	USD	-13,900.00	
26JUL17	S	2	PD26OCT17	6302.00	6857.50	USD	-27,775.00	
26JUL17	S	2	PD26OCT17	6303.00	6857.50	USD	-27,725.00	
26JUL17	S	1	PD26OCT17	6345.00	6857.50	USD	-12,812.50	
26JUL17	S	1	PD26OCT17	6356.00	6857.50	USD	-12,537.50	
26JUL17	S	2	PD26OCT17	6371.50	6857.50	USD	-24,300.00	
26JUL17	S	2	PD26OCT17	6387.00	6857.50	USD	-23,525.00	
26JUL17	S	1	PD26OCT17	6390.00	6857.50	USD	-11,687.50	
26JUL17	S	2	PD26OCT17	6392.00	6857.50	USD	-23,275.00	

OPEN POSITION STATEMENT



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26JUL17	S	4	PD260CT17	6351.00	6857.50	USD	-50,650.00	
26JUL17	S	2	PD260CT17	6352.00	6857.50	USD	-25,275.00	
26JUL17	S	1	PD260CT17	6354.00	6857.50	USD	-12,587.50	
26JUL17	B	4	PD260CT17	6306.00	6857.50	USD	55,150.00	
26JUL17	B	1	PD260CT17	6307.00	6857.50	USD	13,762.50	
26JUL17	B	2	PD260CT17	6275.50	6857.50	USD	29,100.00	
26JUL17	B	1	PD260CT17	6282.50	6857.50	USD	14,375.00	
26JUL17	B	1	PD260CT17	6269.00	6857.50	USD	14,712.50	
26JUL17	B	2	PD260CT17	6273.00	6857.50	USD	29,225.00	
26JUL17	B	2	PD260CT17	6278.00	6857.50	USD	28,975.00	
26JUL17	B	2	PD260CT17	6278.50	6857.50	USD	28,950.00	
26JUL17	B	3	PD260CT17	6276.50	6857.50	USD	43,575.00	
26JUL17	B	2	PD260CT17	6277.50	6857.50	USD	29,000.00	
26JUL17	B	2	PD260CT17	6281.00	6857.50	USD	28,825.00	
26JUL17	B	6	PD260CT17	6279.00	6857.50	USD	86,775.00	
26JUL17	B	2	PD260CT17	6277.00	6857.50	USD	29,025.00	
26JUL17	S	2	PD260CT17	6328.00	6857.50	USD	-26,475.00	
26JUL17	S	2	PD260CT17	6332.50	6857.50	USD	-26,250.00	
26JUL17	S	1	PD260CT17	6335.00	6857.50	USD	-13,062.50	
26JUL17	S	2	PD260CT17	6340.50	6857.50	USD	-25,850.00	
26JUL17	S	2	PD260CT17	6342.00	6857.50	USD	-25,775.00	
26JUL17	S	1	PD260CT17	6337.00	6857.50	USD	-13,012.50	
10AUG17	B	5	PD260CT17	6417.02	6857.50	USD	55,060.00	
	NET	0AVG BGHT 6301.49	SOLD 6340.50				34,135.00	0.00
27JUL17	S	1	PD270CT17	6339.00	6857.50	USD	-12,962.50	
27JUL17	S	1	PD270CT17	6341.00	6857.50	USD	-12,912.50	
27JUL17	S	1	PD270CT17	6348.50	6857.50	USD	-12,725.00	
27JUL17	S	1	PD270CT17	6349.50	6857.50	USD	-12,700.00	
27JUL17	S	1	PD270CT17	6350.50	6857.50	USD	-12,675.00	
27JUL17	B	2	PD270CT17	6348.50	6857.50	USD	25,450.00	
27JUL17	B	5	PD270CT17	6350.50	6857.50	USD	63,375.00	
27JUL17	B	3	PD270CT17	6351.50	6857.50	USD	37,950.00	
27JUL17	B	4	PD270CT17	6352.00	6857.50	USD	50,550.00	
27JUL17	B	1	PD270CT17	6352.50	6857.50	USD	12,625.00	
28JUL17	B	5	PD270CT17	6329.50	6857.50	USD	66,000.00	
28JUL17	S	1	PD270CT17	6317.50	6857.50	USD	-13,500.00	
28JUL17	S	4	PD270CT17	6324.50	6857.50	USD	-53,300.00	
28JUL17	S	1	PD270CT17	6325.50	6857.50	USD	-13,300.00	
28JUL17	S	1	PD270CT17	6326.50	6857.50	USD	-13,275.00	
28JUL17	S	1	PD270CT17	6327.00	6857.50	USD	-13,262.50	
28JUL17	S	2	PD270CT17	6327.50	6857.50	USD	-26,500.00	
28JUL17	S	5	PD270CT17	6328.50	6857.50	USD	-66,125.00	
28JUL17	S	5	PD270CT17	6329.00	6857.50	USD	-66,062.50	
28JUL17	S	5	PD270CT17	6329.50	6857.50	USD	-66,000.00	
10AUG17	B	10	PD270CT17	6417.45	6857.50	USD	110,012.50	
	NET	0AVG BGHT 6369.55	SOLD 6330.43				-29,337.50	0.00
31JUL17	S	1	PD310CT17	6362.50	6858.27	USD	-12,394.25	
31JUL17	S	3	PD310CT17	6377.50	6858.27	USD	-36,057.75	
31JUL17	S	2	PD310CT17	6364.50	6858.27	USD	-24,688.50	
31JUL17	S	2	PD310CT17	6365.50	6858.27	USD	-24,638.50	
31JUL17	S	2	PD310CT17	6362.00	6858.27	USD	-24,813.50	
31JUL17	S	1	PD310CT17	6364.00	6858.27	USD	-12,356.75	
31JUL17	S	1	PD310CT17	6367.50	6858.27	USD	-12,269.25	
31JUL17	S	1	PD310CT17	6369.00	6858.27	USD	-12,231.75	
31JUL17	S	2	PD310CT17	6370.00	6858.27	USD	-24,413.50	
31JUL17	S	2	PD310CT17	6366.00	6858.27	USD	-24,613.50	
31JUL17	S	3	PD310CT17	6367.00	6858.27	USD	-36,845.25	

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10AUG17	B	20	PD31OCT17	6419.09	6858.27	USD	219,590.00	
	NET	0 AVG	BGHT 6419.09				-25,732.50	0.00
02AUG17	S	1	PD02NOV17	6333.50	6859.82	USD	-13,158.00	
02AUG17	S	1	PD02NOV17	6334.00	6859.82	USD	-13,145.50	
02AUG17	S	1	PD02NOV17	6334.50	6859.82	USD	-13,133.00	
02AUG17	S	2	PD02NOV17	6335.50	6859.82	USD	-26,216.00	
02AUG17	B	2	PD02NOV17	6331.00	6859.82	USD	26,441.00	
02AUG17	B	1	PD02NOV17	6332.00	6859.82	USD	13,195.50	
02AUG17	B	1	PD02NOV17	6336.00	6859.82	USD	13,095.50	
02AUG17	B	1	PD02NOV17	6337.00	6859.82	USD	13,070.50	
	NET	0 AVG	BGHT 6333.40				150.00	0.00
03AUG17	S	2	PD03NOV17	6312.50	6860.59	USD	-27,404.50	
03AUG17	S	2	PD03NOV17	6313.50	6860.59	USD	-27,354.50	
03AUG17	S	1	PD03NOV17	6314.50	6860.59	USD	-13,652.25	
03AUG17	S	1	PD03NOV17	6328.50	6860.59	USD	-13,302.25	
03AUG17	S	2	PD03NOV17	6330.00	6860.59	USD	-26,529.50	
03AUG17	S	2	PD03NOV17	6332.00	6860.59	USD	-26,429.50	
03AUG17	B	5	PD03NOV17	6311.00	6860.59	USD	68,698.75	
03AUG17	S	3	PD03NOV17	6348.50	6860.59	USD	-38,406.75	
03AUG17	S	1	PD03NOV17	6349.50	6860.59	USD	-12,777.25	
03AUG17	S	1	PD03NOV17	6348.00	6860.59	USD	-12,814.75	
04AUG17	B	1	PD03NOV17	6360.00	6860.59	USD	12,514.75	
04AUG17	B	1	PD03NOV17	6372.00	6860.59	USD	12,214.75	
04AUG17	B	1	PD03NOV17	6373.00	6860.59	USD	12,189.75	
04AUG17	B	1	PD03NOV17	6374.00	6860.59	USD	12,164.75	
04AUG17	B	1	PD03NOV17	6379.00	6860.59	USD	12,039.75	
04AUG17	B	1	PD03NOV17	6380.00	6860.59	USD	12,014.75	
04AUG17	B	2	PD03NOV17	6381.00	6860.59	USD	23,979.50	
04AUG17	S	1	PD03NOV17	6361.00	6860.59	USD	-12,489.75	
04AUG17	S	3	PD03NOV17	6359.00	6860.59	USD	-37,619.25	
04AUG17	B	1	PD03NOV17	6358.50	6860.59	USD	12,552.25	
04AUG17	S	2	PD03NOV17	6326.00	6860.59	USD	-26,729.50	
04AUG17	S	1	PD03NOV17	6329.00	6860.59	USD	-13,289.75	
04AUG17	S	2	PD03NOV17	6331.00	6860.59	USD	-26,479.50	
10AUG17	B	10	PD03NOV17	6420.13	6860.59	USD	110,115.00	
	NET	0 AVG	BGHT 6379.78				-26,795.00	0.00
07AUG17	B	2	PD07NOV17	6348.00	6863.68	USD	25,784.00	
07AUG17	B	1	PD07NOV17	6349.00	6863.68	USD	12,867.00	
07AUG17	B	1	PD07NOV17	6346.00	6863.68	USD	12,942.00	
07AUG17	B	1	PD07NOV17	6346.50	6863.68	USD	12,929.50	
07AUG17	B	3	PD07NOV17	6418.00	6863.68	USD	33,426.00	
07AUG17	B	2	PD07NOV17	6419.00	6863.68	USD	22,234.00	
07AUG17	B	4	PD07NOV17	6420.00	6863.68	USD	44,368.00	
07AUG17	B	2	PD07NOV17	6425.00	6863.68	USD	21,934.00	
07AUG17	B	1	PD07NOV17	6428.00	6863.68	USD	10,892.00	
07AUG17	B	1	PD07NOV17	6436.00	6863.68	USD	10,692.00	
07AUG17	B	2	PD07NOV17	6441.00	6863.68	USD	21,134.00	
10AUG17	S	10	PD07NOV17	6421.57	6863.68	USD	-110,527.50	
	NET	+10 AVG	BGHT 6405.28				118,675.00	0.00
08AUG17	S	4	PD08NOV17	6395.00	6864.45	USD	-46,945.00	
08AUG17	S	1	PD08NOV17	6395.50	6864.45	USD	-11,723.75	
08AUG17	B	2	PD08NOV17	6472.00	6864.45	USD	19,622.50	
08AUG17	B	3	PD08NOV17	6474.50	6864.45	USD	29,246.25	
08AUG17	B	2	PD08NOV17	6476.00	6864.45	USD	19,422.50	
08AUG17	B	1	PD08NOV17	6475.00	6864.45	USD	9,736.25	
08AUG17	B	1	PD08NOV17	6473.00	6864.45	USD	9,786.25	

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08AUG17	B	1	PD08NOV17	6474.00	6864.45	USD	9,761.25	
08AUG17	B	2	PD08NOV17	6483.00	6864.45	USD	19,072.50	
08AUG17	B	2	PD08NOV17	6480.50	6864.45	USD	19,197.50	
08AUG17	B	1	PD08NOV17	6480.00	6864.45	USD	9,611.25	
	NET	+10 AVG BGHT 6476.57	SOLD 6395.10				86,787.50	0.00
09AUG17	S	4	PD09NOV17	6455.00	6865.23	USD	-41,023.00	
09AUG17	S	1	PD09NOV17	6456.00	6865.23	USD	-10,230.75	
10AUG17	B	5	PD09NOV17	6422.79	6865.23	USD	55,305.00	
	NET	0 AVG BGHT 6422.79	SOLD 6455.20				4,051.25	0.00
14AUG17	S	4	PD14NOV17	6418.00	6864.67	USD	-44,667.00	
14AUG17	S	3	PD14NOV17	6420.00	6864.67	USD	-33,350.25	
14AUG17	S	3	PD14NOV17	6419.00	6864.67	USD	-33,425.25	
14AUG17	S	1	PD14NOV17	6401.50	6864.67	USD	-11,579.25	
14AUG17	S	2	PD14NOV17	6402.00	6864.67	USD	-23,133.50	
14AUG17	S	2	PD14NOV17	6403.00	6864.67	USD	-23,083.50	
14AUG17	B	2	PD14NOV17	6402.00	6864.67	USD	23,133.50	
14AUG17	B	3	PD14NOV17	6403.00	6864.67	USD	34,625.25	
14AUG17	B	5	PD14NOV17	6410.00	6864.67	USD	56,833.75	
	NET	-5 AVG BGHT 6406.30	SOLD 6413.37				-54,646.25	0.00
16AUG17	S	2	PD16NOV17	6378.00	6863.00	USD	-24,250.00	
16AUG17	S	1	PD16NOV17	6379.50	6863.00	USD	-12,087.50	
16AUG17	S	5	PD16NOV17	6380.00	6863.00	USD	-60,375.00	
16AUG17	S	2	PD16NOV17	6380.50	6863.00	USD	-24,125.00	
16AUG17	S	2	PD16NOV17	6388.50	6863.00	USD	-23,725.00	
16AUG17	S	3	PD16NOV17	6391.00	6863.00	USD	-35,400.00	
16AUG17	B	5	PD16NOV17	6488.00	6863.00	USD	46,875.00	
16AUG17	B	2	PD16NOV17	6489.00	6863.00	USD	18,700.00	
16AUG17	B	3	PD16NOV17	6493.00	6863.00	USD	27,750.00	
16AUG17	B	3	PD16NOV17	6513.00	6863.00	USD	26,250.00	
16AUG17	B	2	PD16NOV17	6515.00	6863.00	USD	17,400.00	
16AUG17	B	3	PD16NOV17	6521.00	6863.00	USD	25,650.00	
16AUG17	B	2	PD16NOV17	6527.00	6863.00	USD	16,800.00	
16AUG17	B	3	PD16NOV17	6547.00	6863.00	USD	23,700.00	
16AUG17	B	2	PD16NOV17	6548.50	6863.00	USD	15,725.00	
	NET	+10 AVG BGHT 6512.84	SOLD 6383.10				38,887.50	0.00
17AUG17	S	1	PD17NOV17	6489.50	6863.47	USD	-9,349.25	
17AUG17	S	3	PD17NOV17	6490.00	6863.47	USD	-28,010.25	
17AUG17	S	1	PD17NOV17	6491.00	6863.47	USD	-9,311.75	
18AUG17	S	3	PD17NOV17	6462.50	6863.47	USD	-30,072.75	
18AUG17	S	2	PD17NOV17	6466.00	6863.47	USD	-19,873.50	
18AUG17	S	5	PD17NOV17	6465.50	6863.47	USD	-49,746.25	
18AUG17	S	2	PD17NOV17	6490.00	6863.47	USD	-18,673.50	
18AUG17	S	3	PD17NOV17	6491.00	6863.47	USD	-27,935.25	
18AUG17	S	2	PD17NOV17	6493.00	6863.47	USD	-18,523.50	
18AUG17	S	3	PD17NOV17	6494.00	6863.47	USD	-27,710.25	
18AUG17	B	2	PD17NOV17	6510.00	6863.47	USD	17,673.50	
18AUG17	B	2	PD17NOV17	6500.00	6863.47	USD	18,173.50	
18AUG17	B	1	PD17NOV17	6502.00	6863.47	USD	9,036.75	
18AUG17	B	2	PD17NOV17	6517.00	6863.47	USD	17,323.50	
18AUG17	B	1	PD17NOV17	6526.00	6863.47	USD	8,436.75	
18AUG17	B	1	PD17NOV17	6528.00	6863.47	USD	8,386.75	
18AUG17	B	1	PD17NOV17	6529.00	6863.47	USD	8,361.75	
18AUG17	B	2	PD17NOV17	6487.00	6863.47	USD	18,823.50	
18AUG17	S	2	PD17NOV17	6487.00	6863.47	USD	-18,823.50	
	NET	-15 AVG BGHT 6509.42	SOLD 6481.20				-151,813.75	0.00
21AUG17	S	5	PD21NOV17	6524.50	6865.33	USD	-42,603.75	

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21AUG17	B	1	PD21NOV17	6579.00	6865.33	USD	7,158.25	
21AUG17	B	1	PD21NOV17	6583.00	6865.33	USD	7,058.25	
21AUG17	B	2	PD21NOV17	6538.00	6865.33	USD	16,366.50	
21AUG17	B	1	PD21NOV17	6577.00	6865.33	USD	7,208.25	
21AUG17	S	2	PD21NOV17	6543.00	6865.33	USD	-16,116.50	
21AUG17	B	1	PD21NOV17	6548.00	6865.33	USD	7,933.25	
21AUG17	B	2	PD21NOV17	6550.50	6865.33	USD	15,741.50	
21AUG17	B	2	PD21NOV17	6552.00	6865.33	USD	15,666.50	
21AUG17	B	3	PD21NOV17	6590.00	6865.33	USD	20,649.75	
21AUG17	B	2	PD21NOV17	6596.00	6865.33	USD	13,466.50	
21AUG17	B	4	PD21NOV17	6585.00	6865.33	USD	28,033.00	
21AUG17	B	3	PD21NOV17	6586.00	6865.33	USD	20,949.75	
21AUG17	B	1	PD21NOV17	6570.00	6865.33	USD	7,383.25	
21AUG17	B	1	PD21NOV17	6580.00	6865.33	USD	7,133.25	
21AUG17	B	1	PD21NOV17	6570.50	6865.33	USD	7,370.75	
21AUG17	B	1	PD21NOV17	6573.00	6865.33	USD	7,308.25	
21AUG17	B	1	PD21NOV17	6574.00	6865.33	USD	7,283.25	
	NET	+20 AVG BGHT 6573.91	SOLD 6529.79				137,990.00	0.00
22AUG17	B	3	PD22NOV17	6579.00	6865.80	USD	21,510.00	
22AUG17	B	2	PD22NOV17	6580.00	6865.80	USD	14,290.00	
	NET	+5 AVG BGHT 6579.40					35,800.00	0.00
23AUG17	B	3	PD24NOV17	6542.00	6866.73	USD	24,354.75	
23AUG17	B	2	PD24NOV17	6544.00	6866.73	USD	16,136.50	
25AUG17	S	1	PD24NOV17	6706.00	6866.73	USD	-4,018.25	
25AUG17	S	4	PD24NOV17	6706.50	6866.73	USD	-16,023.00	
25AUG17	S	1	PD24NOV17	6723.00	6866.73	USD	-3,593.25	
25AUG17	S	3	PD24NOV17	6724.00	6866.73	USD	-10,704.75	
25AUG17	S	1	PD24NOV17	6728.50	6866.73	USD	-3,455.75	
	NET	-5 AVG BGHT 6542.80	SOLD 6715.55				2,696.25	0.00
29AUG17	S	2	PD29NOV17	6766.00	6869.07	USD	-5,153.50	
29AUG17	S	4	PD29NOV17	6769.00	6869.07	USD	-10,007.00	
29AUG17	S	4	PD29NOV17	6770.00	6869.07	USD	-9,907.00	
29AUG17	S	2	PD29NOV17	6785.00	6869.07	USD	-4,203.50	
29AUG17	S	1	PD29NOV17	6786.00	6869.07	USD	-2,076.75	
29AUG17	S	1	PD29NOV17	6788.00	6869.07	USD	-2,026.75	
29AUG17	S	3	PD29NOV17	6775.50	6869.07	USD	-7,017.75	
29AUG17	S	1	PD29NOV17	6771.50	6869.07	USD	-2,439.25	
29AUG17	S	1	PD29NOV17	6772.00	6869.07	USD	-2,426.75	
29AUG17	S	1	PD29NOV17	6776.50	6869.07	USD	-2,314.25	
	NET	-20 AVG SOLD 6773.93					-47,572.50	0.00
31AUG17	S	2	PD30NOV17	6799.00	6869.53	USD	-3,526.50	
31AUG17	S	3	PD30NOV17	6800.00	6869.53	USD	-5,214.75	
	NET	-5 AVG SOLD 6799.60					-8,741.25	0.00
01SEP17	B	2	PD01DEC17	6806.00	6870.00	USD	3,200.00	
01SEP17	B	1	PD01DEC17	6801.50	6870.00	USD	1,712.50	
01SEP17	B	1	PD01DEC17	6802.50	6870.00	USD	1,687.50	
01SEP17	B	1	PD01DEC17	6803.00	6870.00	USD	1,675.00	
01SEP17	S	2	PD01DEC17	6836.50	6870.00	USD	-1,675.00	
01SEP17	S	3	PD01DEC17	6837.50	6870.00	USD	-2,437.50	
	NET	0 AVG BGHT 6803.80	SOLD 6837.10				4,162.50	0.00
04SEP17	S	2	PD04DEC17	6907.00	6871.20	USD	1,790.00	
04SEP17	S	2	PD04DEC17	6908.00	6871.20	USD	1,840.00	
04SEP17	S	1	PD04DEC17	6910.50	6871.20	USD	982.50	
	NET	-5 AVG SOLD 6908.10					4,612.50	0.00
05SEP17	B	1	PD05DEC17	6940.00	6871.60	USD	-1,710.00	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0011H

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
05SEP17	B	4	PD05DEC17	6941.50	6871.60	USD	-6,990.00	
05SEP17	B	2	PD05DEC17	6934.00	6871.60	USD	-3,120.00	
05SEP17	B	1	PD05DEC17	6948.00	6871.60	USD	-1,910.00	
05SEP17	B	2	PD05DEC17	6950.00	6871.60	USD	-3,920.00	
05SEP17	B	2	PD05DEC17	6952.00	6871.60	USD	-4,020.00	
05SEP17	S	2	PD05DEC17	6938.50	6871.60	USD	3,345.00	
05SEP17	B	1	PD05DEC17	6953.00	6871.60	USD	-2,035.00	
05SEP17	B	4	PD05DEC17	6954.00	6871.60	USD	-8,240.00	
	NET	+15 AVG BGHT 6946.76	SOLD 6938.50				-28,600.00	0.00
06SEP17	S	2	PD06DEC17	6883.50	6872.00	USD	575.00	
06SEP17	S	1	PD06DEC17	6885.50	6872.00	USD	337.50	
06SEP17	S	3	PD06DEC17	6886.00	6872.00	USD	1,050.00	
06SEP17	S	5	PD06DEC17	6886.50	6872.00	USD	1,812.50	
06SEP17	S	2	PD06DEC17	6887.00	6872.00	USD	750.00	
06SEP17	S	2	PD06DEC17	6888.00	6872.00	USD	800.00	
	NET	-15 AVG SOLD 6886.20					5,325.00	0.00
08SEP17	B	3	PD08DEC17	6825.00	6872.83	USD	3,587.25	
08SEP17	B	1	PD08DEC17	6828.00	6872.83	USD	1,120.75	
08SEP17	B	1	PD08DEC17	6832.00	6872.83	USD	1,020.75	
08SEP17	B	3	PD08DEC17	6685.00	6872.83	USD	14,087.25	
08SEP17	B	1	PD08DEC17	6686.00	6872.83	USD	4,670.75	
08SEP17	B	1	PD08DEC17	6683.00	6872.83	USD	4,745.75	
	NET	+10 AVG BGHT 6755.90					29,232.50	0.00
11SEP17	B	2	PD11DEC17	6705.50	6874.08	USD	8,429.00	
11SEP17	B	1	PD11DEC17	6709.00	6874.08	USD	4,127.00	
11SEP17	B	1	PD11DEC17	6710.00	6874.08	USD	4,102.00	
11SEP17	B	1	PD11DEC17	6713.00	6874.08	USD	4,027.00	
11SEP17	B	5	PD11DEC17	6753.00	6874.08	USD	15,135.00	
	NET	+10 AVG BGHT 6730.80					35,820.00	0.00
12SEP17	B	5	PD12DEC17	6713.50	6874.50	USD	20,125.00	
12SEP17	B	1	PD12DEC17	6714.50	6874.50	USD	4,000.00	
12SEP17	B	7	PD12DEC17	6715.00	6874.50	USD	27,912.50	
12SEP17	B	1	PD12DEC17	6731.00	6874.50	USD	3,587.50	
12SEP17	B	2	PD12DEC17	6734.00	6874.50	USD	7,025.00	
12SEP17	B	2	PD12DEC17	6735.00	6874.50	USD	6,975.00	
12SEP17	B	2	PD12DEC17	6709.50	6874.50	USD	8,250.00	
	NET	+20 AVG BGHT 6718.75					77,875.00	0.00
13SEP17	B	2	PD13DEC17	6569.50	6874.92	USD	15,271.00	
13SEP17	B	1	PD13DEC17	6569.00	6874.92	USD	7,648.00	
13SEP17	B	1	PD13DEC17	6565.00	6874.92	USD	7,748.00	
13SEP17	B	1	PD13DEC17	6567.00	6874.92	USD	7,698.00	
	NET	+5 AVG BGHT 6568.00					38,365.00	0.00
18SEP17	S	1	PD18DEC17	6553.50	6877.00	USD	-8,087.50	
18SEP17	S	1	PD18DEC17	6551.50	6877.00	USD	-8,137.50	
18SEP17	S	1	PD18DEC17	6553.00	6877.00	USD	-8,100.00	
18SEP17	S	2	PD18DEC17	6552.50	6877.00	USD	-16,225.00	
	NET	-5 AVG SOLD 6552.60					-40,550.00	0.00
09OCT17	S	2	PD09JAN18	6676.00	6881.00	USD	-10,250.00	
09OCT17	S	1	PD09JAN18	6677.00	6881.00	USD	-5,100.00	
09OCT17	S	1	PD09JAN18	6677.50	6881.00	USD	-5,087.50	
09OCT17	S	1	PD09JAN18	6678.00	6881.00	USD	-5,075.00	
	NET	-5 AVG SOLD 6676.90					-25,512.50	0.00
	<u>CMX COPPER 25,000 CMX</u>							
08SEP17	S	7	MAR18	312.500	315.300	USD	-4,900.00	
08SEP17	S	2	MAR18	312.600	315.300	USD	-1,350.00	

OPEN POSITION STATEMENT



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London W1J 7NJ UK
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backoffice@sapiencap.com

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Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	CMX COPPER 25,000 CMX					
08SEP17	S 2 MAR18	312.400	315.300	USD	-1,450.00	
08SEP17	S 7 MAR18	306.150	315.300	USD	-16,012.50	
08SEP17	S 4 MAR18	306.050	315.300	USD	-9,250.00	
12SEP17	S 3 MAR18	307.000	315.300	USD	-6,225.00	
12SEP17	S 2 MAR18	307.100	315.300	USD	-4,100.00	
12SEP17	S 4 MAR18	307.400	315.300	USD	-7,900.00	
12SEP17	S 13 MAR18	307.350	315.300	USD	-25,837.50	
12SEP17	S 11 MAR18	307.450	315.300	USD	-21,587.50	
12SEP17	S 5 MAR18	308.450	315.300	USD	-8,562.50	
12SEP17	S 4 MAR18	308.400	315.300	USD	-6,900.00	
12SEP17	S 2 MAR18	308.250	315.300	USD	-3,525.00	
13SEP17	S 5 MAR18	301.000	315.300	USD	-17,875.00	
13SEP17	S 2 MAR18	300.900	315.300	USD	-7,200.00	
13SEP17	S 2 MAR18	300.800	315.300	USD	-7,250.00	
13SEP17	S 2 MAR18	300.950	315.300	USD	-7,175.00	
	NET -77 AVG SOLD 307.139				-157,100.00	0.00

OPEN POSITION VALUE

		USD
LME Copper Grade A 25	FUTURES	-5,702,223.75
CMX Copper	FUTURES	5,912,425.00

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options	Amount	Profit / Loss	Total Amount	Total P&L
COPR - Futures USD	-157,100.00	-157,100.00	-157,100.00	-157,100.00
Unrealised Profits & Losses - Forwards	Amount	Profit / Loss	Total Amount	Total P&L
COPL - Futures USD	307,538.75	307,538.75	307,538.75	307,538.75

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	-123,042.51	-123,042.51
Variation Margins - Futures	-157,100.00	-157,100.00
- Options	0.00	0.00
TOTAL EQUITY	-280,142.51	-280,142.51
Initial Margins	-389,069.25	-389,069.25
Excess/(Shortage)	-669,211.76	-669,211.76
FOR INFORMATION ONLY		
Forward Unrealised P&L	307,538.75	307,538.75
Net Liquidating Value	27,396.24	27,396.24

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0011K

13th October 2017

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London W1J 7NJ UK
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Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>					
28SEP17	B 5 PD28DEC17	6517.00	6877.00	USD	45,000.00	
28SEP17	B 5 PD28DEC17	6518.00	6877.00	USD	44,875.00	
28SEP17	B 5 PD28DEC17	6571.00	6877.00	USD	38,250.00	
	NET +15 AVG BGHT 6535.33				128,125.00	0.00
11OCT17	B 5 PD11JAN18	6732.00	6881.67	USD	18,708.75	
	NET +5 AVG BGHT 6732.00				18,708.75	0.00
13OCT17	B 5 PD12JAN18	6884.00	6882.00	USD	-250.00	
	NET +5 AVG BGHT 6884.00				-250.00	0.00
	<u>CMX COPPER 25,000 CMX</u>					
28SEP17	S 11 DEC17	296.250	313.350	USD	-47,025.00	
28SEP17	S 11 DEC17	296.200	313.350	USD	-47,162.50	
28SEP17	S 11 DEC17	298.750	313.350	USD	-40,150.00	
	NET -33 AVG SOLD 297.067				-134,337.50	0.00
11OCT17	S 11 MAR18	307.750	315.300	USD	-20,762.50	
13OCT17	S 3 MAR18	314.700	315.300	USD	-450.00	
13OCT17	S 8 MAR18	314.650	315.300	USD	-1,300.00	
	NET -22 AVG SOLD 311.207				-22,512.50	0.00

OPEN POSITION VALUE

		USD
LME Copper Grade A 25	FUTURES	-4,152,750.00
CMX Copper	FUTURES	4,162,437.50

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options	Amount	Profit / Loss	Total Amount	Total P&L
COPR - Futures USD	-156,850.00	-156,850.00	-156,850.00	-156,850.00
Unrealised Profits & Losses - Forwards	Amount	Profit / Loss	Total Amount	Total P&L
COPL - Futures USD	146,583.75	146,583.75	146,583.75	146,583.75

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
CASH BALANCE	(1.00000)	(1.00000)
Variation Margins	-253.26	-253.26
- Futures	-156,850.00	-156,850.00
- Options	0.00	0.00
TOTAL EQUITY	-157,103.26	-157,103.26
Initial Margins	-338,936.25	-338,936.25
Excess/(Shortage)	-496,039.51	-496,039.51
FOR INFORMATION ONLY		
Forward Unrealised P&L	146,583.75	146,583.75
Net Liquidating Value	-10,519.51	-10,519.51

OPEN POSITION STATEMENT



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SAP0011L

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
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backoffice@sapiencap.com

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Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>					
28SEP17	B 1 PD28DEC17	6553.00	6877.00	USD	8,100.00	
28SEP17	B 1 PD28DEC17	6553.50	6877.00	USD	8,087.50	
28SEP17	B 1 PD28DEC17	6555.50	6877.00	USD	8,037.50	
28SEP17	B 1 PD28DEC17	6556.00	6877.00	USD	8,025.00	
28SEP17	B 1 PD28DEC17	6556.50	6877.00	USD	8,012.50	
	NET +5 AVG BGHT 6554.90				40,262.50	0.00
29SEP17	B 2 PD29DEC17	6565.00	6877.33	USD	15,616.50	
29SEP17	B 1 PD29DEC17	6567.00	6877.33	USD	7,758.25	
29SEP17	B 1 PD29DEC17	6572.00	6877.33	USD	7,633.25	
29SEP17	B 1 PD29DEC17	6572.50	6877.33	USD	7,620.75	
29SEP17	B 1 PD29DEC17	6573.00	6877.33	USD	7,608.25	
29SEP17	B 1 PD29DEC17	6574.00	6877.33	USD	7,583.25	
29SEP17	B 1 PD29DEC17	6558.50	6877.33	USD	7,970.75	
29SEP17	B 1 PD29DEC17	6563.00	6877.33	USD	7,858.25	
29SEP17	B 1 PD29DEC17	6560.00	6877.33	USD	7,933.25	
	NET +10 AVG BGHT 6567.00				77,582.50	0.00
	<u>CMX COPPER 25,000 CMX</u>					
28SEP17	S 5 DEC17	297.950	313.350	USD	-19,250.00	
28SEP17	S 2 DEC17	298.050	313.350	USD	-7,650.00	
28SEP17	S 2 DEC17	298.100	313.350	USD	-7,625.00	
28SEP17	S 2 DEC17	298.000	313.350	USD	-7,675.00	
29SEP17	S 4 DEC17	298.500	313.350	USD	-14,850.00	
29SEP17	S 2 DEC17	298.800	313.350	USD	-7,275.00	
29SEP17	S 3 DEC17	298.950	313.350	USD	-10,800.00	
29SEP17	S 2 DEC17	298.600	313.350	USD	-7,375.00	
29SEP17	S 4 DEC17	298.900	313.350	USD	-14,450.00	
29SEP17	S 2 DEC17	298.450	313.350	USD	-7,450.00	
29SEP17	S 2 DEC17	298.250	313.350	USD	-7,550.00	
29SEP17	S 2 DEC17	298.350	313.350	USD	-7,500.00	
29SEP17	S 1 DEC17	297.750	313.350	USD	-3,900.00	
	NET -33 AVG SOLD 298.398				-123,350.00	0.00

OPEN POSITION VALUE

		USD
LME Copper Grade A 25	FUTURES	-2,461,112.50
CMX Copper	FUTURES	2,461,787.50

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options	Amount	Profit / Loss	Total Amount	Total P&L
COPR - Futures USD	-123,350.00	-123,350.00	-123,350.00	-123,350.00
Unrealised Profits & Losses - Forwards	Amount	Profit / Loss	Total Amount	Total P&L
COPL - Futures USD	117,845.00	117,845.00	117,845.00	117,845.00

OPEN POSITION STATEMENT



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SAP0011L

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

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ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	-151.56	-151.56
Variation Margins		
- Futures	-123,350.00	-123,350.00
- Options	0.00	0.00
TOTAL EQUITY	-123,501.56	-123,501.56
Initial Margins	-173,503.00	-173,503.00
Excess/(Shortage)	-297,004.56	-297,004.56
FOR INFORMATION ONLY		
Forward Unrealised P&L	117,845.00	117,845.00
Net Liquidating Value	-5,656.56	-5,656.56

OPEN POSITION STATEMENT



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13th October 2017

Sapien Capital Limited
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London W1J 7NJ UK
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Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>					
20SEP17	B 2 PD20DEC17	6541.00	6877.00	USD	16,800.00	
20SEP17	B 2 PD20DEC17	6542.00	6877.00	USD	16,750.00	
20SEP17	B 1 PD20DEC17	6543.00	6877.00	USD	8,350.00	
	NET +5 AVG BGHT 6541.80				41,900.00	0.00
27SEP17	B 2 PD27DEC17	6468.00	6876.67	USD	20,433.50	
27SEP17	B 2 PD27DEC17	6469.00	6876.67	USD	20,383.50	
27SEP17	B 1 PD27DEC17	6463.00	6876.67	USD	10,341.75	
	NET +5 AVG BGHT 6467.40				51,158.75	0.00
28SEP17	B 2 PD28DEC17	6467.00	6877.00	USD	20,500.00	
28SEP17	B 3 PD28DEC17	6452.00	6877.00	USD	31,875.00	
28SEP17	B 3 PD28DEC17	6563.50	6877.00	USD	23,512.50	
28SEP17	B 2 PD28DEC17	6564.00	6877.00	USD	15,650.00	
	NET +10 AVG BGHT 6510.85				91,537.50	0.00
29SEP17	B 5 PD29DEC17	6547.00	6877.33	USD	41,291.25	
	NET +5 AVG BGHT 6547.00				41,291.25	0.00
09OCT17	S 2 PD09JAN18	6670.00	6881.00	USD	-10,550.00	
09OCT17	S 2 PD09JAN18	6658.50	6881.00	USD	-11,125.00	
09OCT17	S 1 PD09JAN18	6660.00	6881.00	USD	-5,525.00	
	NET -5 AVG SOLD 6663.40				-27,200.00	0.00
	<u>CMX COPPER 25,000 CMX</u>					
27SEP17	S 4 DEC17	293.950	313.350	USD	-19,400.00	
27SEP17	S 7 DEC17	293.800	313.350	USD	-34,212.50	
28SEP17	S 4 DEC17	293.900	313.350	USD	-19,450.00	
28SEP17	S 7 DEC17	293.250	313.350	USD	-35,175.00	
29SEP17	S 8 DEC17	297.750	313.350	USD	-31,200.00	
29SEP17	S 3 DEC17	297.650	313.350	USD	-11,775.00	
	NET -33 AVG SOLD 295.021				-151,212.50	0.00
28SEP17	S 11 MAR18	300.300	315.300	USD	-41,250.00	
	NET -11 AVG SOLD 300.300				-41,250.00	0.00

OPEN POSITION VALUE

		USD
LME Copper Grade A 25	FUTURES	-3,239,312.50
CMX Copper	FUTURES	3,259,750.00

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options	Amount	Profit / Loss	Total Amount	Total P&L
COPR - Futures USD	-192,462.50	-192,462.50	-192,462.50	-192,462.50
Unrealised Profits & Losses - Forwards	Amount	Profit / Loss	Total Amount	Total P&L
COPL - Futures USD	198,687.50	198,687.50	198,687.50	198,687.50

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0011M

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	-14,783.95	-14,783.95
Variation Margins		
- Futures	-192,462.50	-192,462.50
- Options	0.00	0.00
TOTAL EQUITY	-207,246.45	-207,246.45
Initial Margins	-190,699.50	-190,699.50
Excess/(Shortage)	-397,945.95	-397,945.95
FOR INFORMATION ONLY		
Forward Unrealised P&L	198,687.50	198,687.50
Net Liquidating Value	-8,558.95	-8,558.95

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0011N

13th October 2017

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Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>					
27SEP17	B 2 PD27DEC17	6483.00	6876.67	USD	19,683.50	
27SEP17	B 2 PD27DEC17	6485.00	6876.67	USD	19,583.50	
27SEP17	B 1 PD27DEC17	6486.50	6876.67	USD	9,754.25	
	NET +5 AVG BGHT 6484.50				49,021.25	0.00
28SEP17	B 2 PD28DEC17	6460.00	6877.00	USD	20,850.00	
28SEP17	B 2 PD28DEC17	6473.00	6877.00	USD	20,200.00	
28SEP17	B 1 PD28DEC17	6475.00	6877.00	USD	10,050.00	
28SEP17	B 2 PD28DEC17	6461.00	6877.00	USD	20,800.00	
28SEP17	S 2 PD28DEC17	6454.00	6877.00	USD	-21,150.00	
28SEP17	B 1 PD28DEC17	6565.00	6877.00	USD	7,800.00	
28SEP17	B 2 PD28DEC17	6566.00	6877.00	USD	15,550.00	
28SEP17	B 2 PD28DEC17	6568.00	6877.00	USD	15,450.00	
	NET +10 AVG BGHT 6508.00 SOLD 6454.00				89,550.00	0.00
29SEP17	B 2 PD29DEC17	6537.00	6877.33	USD	17,016.50	
29SEP17	B 2 PD29DEC17	6536.00	6877.33	USD	17,066.50	
29SEP17	B 1 PD29DEC17	6542.00	6877.33	USD	8,383.25	
	NET +5 AVG BGHT 6537.60				42,466.25	0.00
09OCT17	S 2 PD09JAN18	6667.00	6881.00	USD	-10,700.00	
09OCT17	S 2 PD09JAN18	6668.50	6881.00	USD	-10,625.00	
09OCT17	S 1 PD09JAN18	6670.00	6881.00	USD	-5,275.00	
	NET -5 AVG SOLD 6668.20				-26,600.00	0.00
	<u>CMX COPPER 25,000 CMX</u>					
28SEP17	S 4 DEC17	294.300	313.350	USD	-19,050.00	
28SEP17	S 3 DEC17	294.050	313.350	USD	-14,475.00	
28SEP17	S 4 DEC17	293.650	313.350	USD	-19,700.00	
28SEP17	S 4 DEC17	298.650	313.350	USD	-14,700.00	
28SEP17	S 7 DEC17	298.500	313.350	USD	-25,987.50	
29SEP17	S 4 DEC17	297.250	313.350	USD	-16,100.00	
29SEP17	S 4 DEC17	297.200	313.350	USD	-16,150.00	
29SEP17	S 3 DEC17	297.400	313.350	USD	-11,962.50	
	NET -33 AVG SOLD 296.608				-138,125.00	0.00

OPEN POSITION VALUE

		USD
LME Copper Grade A 25	FUTURES	-2,423,937.50
CMX Copper	FUTURES	2,447,012.50

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options	Amount	Profit / Loss	Total Amount	Total P&L
COPR - Futures USD	-138,125.00	-138,125.00	-138,125.00	-138,125.00
Unrealised Profits & Losses - Forwards	Amount	Profit / Loss	Total Amount	Total P&L
COPL - Futures USD	154,437.50	154,437.50	154,437.50	154,437.50

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0011N

13th October 2017

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London W1J 7NJ UK
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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	-22,523.96	-22,523.96
Variation Margins		
- Futures	-138,125.00	-138,125.00
- Options	0.00	0.00
TOTAL EQUITY	-160,648.96	-160,648.96
Initial Margins	-137,814.50	-137,814.50
Excess/(Shortage)	-298,463.46	-298,463.46
FOR INFORMATION ONLY		
Forward Unrealised P&L	154,437.50	154,437.50
Net Liquidating Value	-6,211.46	-6,211.46

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP00110

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
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Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>					
030CT17	B 1 PD03JAN18	6512.50	6879.00	USD	9,162.50	
030CT17	B 1 PD03JAN18	6513.00	6879.00	USD	9,150.00	
030CT17	B 1 PD03JAN18	6512.00	6879.00	USD	9,175.00	
030CT17	B 1 PD03JAN18	6529.00	6879.00	USD	8,750.00	
030CT17	B 1 PD03JAN18	6522.50	6879.00	USD	8,912.50	
	NET +5 AVG BGHT 6517.80				45,150.00	0.00
050CT17	B 1 PD05JAN18	6563.00	6879.67	USD	7,916.75	
050CT17	B 1 PD05JAN18	6563.50	6879.67	USD	7,904.25	
050CT17	B 1 PD05JAN18	6560.00	6879.67	USD	7,991.75	
050CT17	B 1 PD05JAN18	6554.00	6879.67	USD	8,141.75	
050CT17	B 1 PD05JAN18	6631.00	6879.67	USD	6,216.75	
	NET +5 AVG BGHT 6574.30				38,171.25	0.00
120CT17	B 1 PD12JAN18	6857.00	6882.00	USD	625.00	
120CT17	B 1 PD12JAN18	6854.50	6882.00	USD	687.50	
120CT17	B 1 PD12JAN18	6854.00	6882.00	USD	700.00	
120CT17	B 1 PD12JAN18	6852.50	6882.00	USD	737.50	
120CT17	B 1 PD12JAN18	6853.00	6882.00	USD	725.00	
	NET +5 AVG BGHT 6854.20				3,475.00	0.00
	<u>CMX COPPER 25,000 CMX</u>					
030CT17	S 1 DEC17	296.000	313.350	USD	-4,337.50	
030CT17	S 2 DEC17	296.800	313.350	USD	-8,275.00	
030CT17	S 5 DEC17	296.050	313.350	USD	-21,625.00	
030CT17	S 3 DEC17	296.500	313.350	USD	-12,637.50	
050CT17	S 2 DEC17	298.350	313.350	USD	-7,500.00	
050CT17	S 2 DEC17	298.200	313.350	USD	-7,575.00	
050CT17	S 2 DEC17	298.150	313.350	USD	-7,600.00	
050CT17	S 2 DEC17	297.950	313.350	USD	-7,700.00	
050CT17	S 2 DEC17	301.400	313.350	USD	-5,975.00	
050CT17	S 1 DEC17	301.300	313.350	USD	-3,012.50	
120CT17	S 2 DEC17	311.500	313.350	USD	-925.00	
120CT17	S 2 DEC17	311.400	313.350	USD	-975.00	
120CT17	S 7 DEC17	311.300	313.350	USD	-3,587.50	
	NET -33 AVG SOLD 302.232				-91,725.00	0.00

OPEN POSITION VALUE

		USD
LME Copper Grade A 25	FUTURES	-2,493,287.50
CMX Copper	FUTURES	2,493,412.50

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options	Amount	Profit / Loss	Total Amount	Total P&L
COPR - Futures USD	-91,725.00	-91,725.00	-91,725.00	-91,725.00
Unrealised Profits & Losses - Forwards	Amount	Profit / Loss	Total Amount	Total P&L
COPL - Futures USD	86,796.25	86,796.25	86,796.25	86,796.25

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP00110

13th October 2017

Sapien Capital Limited
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London W1J 7NJ UK
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ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		-136.29	-136.29
Variation Margins	- Futures	-91,725.00	-91,725.00
	- Options	0.00	0.00
TOTAL EQUITY		-91,861.29	-91,861.29
Initial Margins		-204,488.75	-204,488.75
Excess/(Shortage)		-296,350.04	-296,350.04
FOR INFORMATION ONLY			
Forward Unrealised P&L		86,796.25	86,796.25
Net Liquidating Value		-5,065.04	-5,065.04

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED
SAP0011 ARBS
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

GROUP NO: **SAPAB011**

13th Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343
Authorised and regulated by the Australian Prudential
Regulation Authority. Authorised by the Prudential
Regulation Authority. Subject to regulation by the Financial
Conduct Authority and limited regulation by the
Prudential Regulation Authority. Details about the extent
of our regulation by the Prudential Regulation Authority are
available from us on request.

GROUP FINANCIAL SUMMARY

		USD	NET USD
		(1.00000)	(1.00000)
CASH BALANCE		-879,013.12	-879,013.12
Variation Margins	- Futures	-1,135,800.00	-1,135,800.00
	- Options	0.00	0.00
TOTAL EQUITY		-2,014,813.12	-2,014,813.12
Initial Margins		-1,775,850.25	-1,775,850.25
Excess/(Shortage)		-3,790,663.37	-3,790,663.37
<u>FOR INFORMATION ONLY</u>			
Forward Unrealised P&L		2,102,822.75	2,102,822.75
Net Liquidating Value		88,009.63	88,009.63

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0025L

13th October 2017

backoffice@sapiencap.com

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
17JUL17	B	2	PD17OCT17	6003.00	6859.00	USD	42,800.00	
17JUL17	B	1	PD17OCT17	6006.50	6859.00	USD	21,312.50	
17JUL17	B	2	PD17OCT17	6013.00	6859.00	USD	42,300.00	
17JUL17	B	5	PD17OCT17	6002.00	6859.00	USD	107,125.00	
10AUG17	S	5	PD17OCT17	6416.00	6859.00	USD	-55,375.00	
23AUG17	S	5	PD17OCT17	6554.75	6859.00	USD	-38,031.25	
	NET	0 AVG BGHT 6004.85	SOLD 6485.38				120,131.25	0.00
19JUL17	B	5	PD19OCT17	6001.00	6857.50	USD	107,062.50	
19JUL17	B	5	PD19OCT17	6003.00	6857.50	USD	106,812.50	
19JUL17	S	3	PD19OCT17	5967.00	6857.50	USD	-66,787.50	
19JUL17	S	2	PD19OCT17	5968.00	6857.50	USD	-44,475.00	
23AUG17	S	5	PD19OCT17	6554.00	6857.50	USD	-37,937.50	
	NET	0 AVG BGHT 6002.00	SOLD 6260.70				64,675.00	0.00
20JUL17	S	5	PD20OCT17	5952.50	6857.50	USD	-113,125.00	
20JUL17	S	2	PD20OCT17	5961.50	6857.50	USD	-44,800.00	
20JUL17	S	3	PD20OCT17	5962.00	6857.50	USD	-67,162.50	
21JUL17	B	10	PD20OCT17	6035.00	6857.50	USD	205,625.00	
21JUL17	S	5	PD20OCT17	5995.50	6857.50	USD	-107,750.00	
10AUG17	B	5	PD20OCT17	6414.45	6857.50	USD	55,381.25	
	NET	0 AVG BGHT 6161.48	SOLD 5969.93				-71,831.25	0.00
24JUL17	S	5	PD24OCT17	5997.00	6857.50	USD	-107,562.50	
24JUL17	S	5	PD24OCT17	5998.50	6857.50	USD	-107,375.00	
24JUL17	B	5	PD24OCT17	6027.00	6857.50	USD	103,812.50	
24JUL17	B	5	PD24OCT17	6027.50	6857.50	USD	103,750.00	
	NET	0 AVG BGHT 6027.25	SOLD 5997.75				-7,375.00	0.00
25JUL17	B	5	PD25OCT17	6155.00	6857.50	USD	87,812.50	
25JUL17	B	5	PD25OCT17	6179.00	6857.50	USD	84,812.50	
25JUL17	B	4	PD25OCT17	6224.50	6857.50	USD	63,300.00	
25JUL17	B	6	PD25OCT17	6225.00	6857.50	USD	94,875.00	
25JUL17	B	3	PD25OCT17	6227.00	6857.50	USD	47,287.50	
25JUL17	B	2	PD25OCT17	6227.50	6857.50	USD	31,500.00	
25JUL17	B	5	PD25OCT17	6260.00	6857.50	USD	74,687.50	
23AUG17	S	10	PD25OCT17	6555.92	6857.50	USD	-75,395.00	
	NET	+20 AVG BGHT 6211.80	SOLD 6555.92				408,880.00	0.00
26JUL17	S	5	PD26OCT17	6384.00	6857.50	USD	-59,187.50	
26JUL17	B	3	PD26OCT17	6273.00	6857.50	USD	43,837.50	
26JUL17	B	2	PD26OCT17	6275.00	6857.50	USD	29,125.00	
	NET	0 AVG BGHT 6273.80	SOLD 6384.00				13,775.00	0.00
02AUG17	S	5	PD02NOV17	6333.50	6859.82	USD	-65,790.00	
02AUG17	B	5	PD02NOV17	6350.00	6859.82	USD	63,727.50	
	NET	0 AVG BGHT 6350.00	SOLD 6333.50				-2,062.50	0.00
03AUG17	S	5	PD03NOV17	6354.00	6860.59	USD	-63,323.75	
10AUG17	B	5	PD03NOV17	6420.33	6860.59	USD	55,032.50	
	NET	0 AVG BGHT 6420.33	SOLD 6354.00				-8,291.25	0.00
07AUG17	S	4	PD07NOV17	6364.00	6863.68	USD	-49,968.00	
07AUG17	S	1	PD07NOV17	6366.50	6863.68	USD	-12,429.50	
10AUG17	B	5	PD07NOV17	6421.97	6863.68	USD	55,213.75	
	NET	0 AVG BGHT 6421.97	SOLD 6364.50				-7,183.75	0.00
08AUG17	B	1	PD08NOV17	6439.00	6864.45	USD	10,636.25	
08AUG17	B	4	PD08NOV17	6439.50	6864.45	USD	42,495.00	
08AUG17	B	4	PD08NOV17	6484.00	6864.45	USD	38,045.00	
08AUG17	B	1	PD08NOV17	6487.50	6864.45	USD	9,423.75	
	NET	+10 AVG BGHT 6462.05					100,600.00	0.00
14AUG17	S	4	PD14NOV17	6408.00	6864.67	USD	-45,667.00	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0025L

13th October 2017

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FINANCIAL CONDUCT AUTHORITY

Date	Open Position				Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>								
14AUG17	S	1	PD14NOV17		6407.00	6864.67	USD	-11,441.75	
23AUG17	B	5	PD14NOV17		6565.70	6864.67	USD	37,371.25	
	NET	0 AVG	BGHT 6565.70	SOLD 6407.80				-19,737.50	0.00
15AUG17	S	1	PD15NOV17		6362.50	6864.00	USD	-12,537.50	
15AUG17	S	3	PD15NOV17		6363.00	6864.00	USD	-37,575.00	
15AUG17	S	1	PD15NOV17		6363.50	6864.00	USD	-12,512.50	
15AUG17	S	5	PD15NOV17		6365.00	6864.00	USD	-62,375.00	
23AUG17	B	10	PD15NOV17		6565.70	6864.00	USD	74,575.00	
	NET	0 AVG	BGHT 6565.70	SOLD 6364.00				-50,425.00	0.00
16AUG17	B	5	PD16NOV17		6536.00	6863.00	USD	40,875.00	
16AUG17	B	10	PD16NOV17		6537.50	6863.00	USD	81,375.00	
16AUG17	B	5	PD16NOV17		6544.00	6863.00	USD	39,875.00	
16AUG17	S	5	PD16NOV17		6541.00	6863.00	USD	-40,250.00	
	NET	+15 AVG	BGHT 6538.75	SOLD 6541.00				121,875.00	0.00
18AUG17	S	5	PD17NOV17		6500.00	6863.47	USD	-45,433.75	
23AUG17	B	5	PD17NOV17		6563.89	6863.47	USD	37,447.50	
	NET	0 AVG	BGHT 6563.89	SOLD 6500.00				-7,986.25	0.00
21AUG17	B	1	PD21NOV17		6588.50	6865.33	USD	6,920.75	
21AUG17	B	4	PD21NOV17		6593.00	6865.33	USD	27,233.00	
21AUG17	B	5	PD21NOV17		6595.00	6865.33	USD	33,791.25	
	NET	+10 AVG	BGHT 6593.55					67,945.00	0.00
22AUG17	B	5	PD22NOV17		6582.50	6865.80	USD	35,412.50	
	NET	+5 AVG	BGHT 6582.50					35,412.50	0.00
07SEP17	S	5	PD07DEC17		6871.00	6872.42	USD	-177.50	
	NET	-5 AVG	SOLD 6871.00					-177.50	0.00
08SEP17	S	8	PD08DEC17		6821.00	6872.83	USD	-10,366.00	
08SEP17	S	2	PD08DEC17		6821.50	6872.83	USD	-2,566.50	
08SEP17	S	5	PD08DEC17		6682.50	6872.83	USD	-23,791.25	
08SEP17	S	5	PD08DEC17		6679.00	6872.83	USD	-24,228.75	
08SEP17	S	14	PD08DEC17		6678.00	6872.83	USD	-68,190.50	
08SEP17	S	1	PD08DEC17		6681.00	6872.83	USD	-4,795.75	
	NET	-35 AVG	SOLD 6719.76					-133,938.75	0.00
14SEP17	B	5	PD14DEC17		6482.00	6875.33	USD	49,166.25	
	NET	+5 AVG	BGHT 6482.00					49,166.25	0.00
15SEP17	S	5	PD15DEC17		6500.50	6875.75	USD	-46,906.25	
15SEP17	S	5	PD15DEC17		6503.50	6875.75	USD	-46,531.25	
15SEP17	S	5	PD15DEC17		6508.00	6875.75	USD	-45,968.75	
15SEP17	S	5	PD15DEC17		6509.50	6875.75	USD	-45,781.25	
15SEP17	S	5	PD15DEC17		6510.00	6875.75	USD	-45,718.75	
15SEP17	S	10	PD15DEC17		6501.00	6875.75	USD	-93,687.50	
15SEP17	S	5	PD15DEC17		6502.00	6875.75	USD	-46,718.75	
15SEP17	S	5	PD15DEC17		6505.00	6875.75	USD	-46,343.75	
	NET	-45 AVG	SOLD 6504.50					-417,656.25	0.00
19SEP17	B	5	PD19DEC17		6537.50	6877.00	USD	42,437.50	
19SEP17	B	1	PD19DEC17		6539.00	6877.00	USD	8,450.00	
19SEP17	B	2	PD19DEC17		6539.50	6877.00	USD	16,875.00	
19SEP17	B	2	PD19DEC17		6540.50	6877.00	USD	16,825.00	
19SEP17	B	2	PD19DEC17		6527.50	6877.00	USD	17,475.00	
19SEP17	B	2	PD19DEC17		6528.00	6877.00	USD	17,450.00	
19SEP17	B	1	PD19DEC17		6530.00	6877.00	USD	8,675.00	
	NET	+15 AVG	BGHT 6535.17					128,187.50	0.00
28SEP17	B	5	PD28DEC17		6443.50	6877.00	USD	54,187.50	
28SEP17	B	5	PD28DEC17		6440.00	6877.00	USD	54,625.00	
28SEP17	B	5	PD28DEC17		6568.50	6877.00	USD	38,562.50	

OPEN POSITION STATEMENT



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SAP0025L

13th October 2017

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London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>					
28SEP17	B 5 PD28DEC17	6572.00	6877.00	USD	38,125.00	
28SEP17	B 15 PD28DEC17	6563.00	6877.00	USD	117,750.00	
28SEP17	B 5 PD28DEC17	6565.50	6877.00	USD	38,937.50	
	NET +40 AVG BGHT 6534.81				342,187.50	0.00
05OCT17	B 5 PD05JAN18	6684.00	6879.67	USD	24,458.75	
05OCT17	B 5 PD05JAN18	6676.00	6879.67	USD	25,458.75	
05OCT17	B 5 PD05JAN18	6677.00	6879.67	USD	25,333.75	
05OCT17	B 5 PD05JAN18	6682.00	6879.67	USD	24,708.75	
	NET +20 AVG BGHT 6679.75				99,960.00	0.00
09OCT17	S 5 PD09JAN18	6647.50	6881.00	USD	-29,187.50	
	NET -5 AVG SOLD 6647.50				-29,187.50	0.00
	<u>CMX COPPER 25,000 CMX</u>					
28SEP17	S 6 DEC17	298.400	313.350	USD	-22,425.00	
28SEP17	S 16 DEC17	298.450	313.350	USD	-59,600.00	
28SEP17	S 11 DEC17	298.600	313.350	USD	-40,562.50	
28SEP17	S 11 DEC17	298.900	313.350	USD	-39,737.50	
28SEP17	S 11 DEC17	298.300	313.350	USD	-41,387.50	
05OCT17	S 11 DEC17	303.500	313.350	USD	-27,087.50	
05OCT17	S 11 DEC17	303.550	313.350	USD	-26,950.00	
05OCT17	S 22 DEC17	303.800	313.350	USD	-52,525.00	
	NET -99 AVG SOLD 300.814				-310,275.00	0.00
28SEP17	S 11 MAR18	300.200	315.300	USD	-41,525.00	
	NET -11 AVG SOLD 300.200				-41,525.00	0.00

OPEN POSITION VALUE

		<u>USD</u>
LME Copper Grade A 25	FUTURES	-7,783,106.25
CMX Copper	FUTURES	8,270,687.50

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options	Amount	Profit / Loss	Total Amount	Total P&L
COPR - Futures USD	-351,800.00	-351,800.00	-351,800.00	-351,800.00
Unrealised Profits & Losses - Forwards	Amount	Profit / Loss	Total Amount	Total P&L
COPL - Futures USD	796,942.50	796,942.50	796,942.50	796,942.50

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0025L

13th October 2017

backoffice@sapiencap.com

Sapien Capital Limited
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London W1J 7NJ UK
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ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	-460,028.80	-460,028.80
Variation Margins	-351,800.00	-351,800.00
- Futures	0.00	0.00
- Options	-811,828.80	-811,828.80
TOTAL EQUITY		
Initial Margins	-346,500.00	-346,500.00
Excess/(Shortage)	-1,158,328.80	-1,158,328.80
FOR INFORMATION ONLY		
Forward Unrealised P&L	796,942.50	796,942.50
Net Liquidating Value	-14,886.30	-14,886.30

OPEN POSITION STATEMENT



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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
17JUL17	B	5	PD17OCT17	5981.00	6859.00	USD	109,750.00	
17JUL17	B	2	PD17OCT17	6008.50	6859.00	USD	42,525.00	
17JUL17	B	3	PD17OCT17	6009.50	6859.00	USD	63,712.50	
17JUL17	B	2	PD17OCT17	6001.00	6859.00	USD	42,900.00	
17JUL17	B	3	PD17OCT17	6001.50	6859.00	USD	64,312.50	
10AUG17	S	5	PD17OCT17	6416.00	6859.00	USD	-55,375.00	
23AUG17	S	10	PD17OCT17	6554.75	6859.00	USD	-76,062.50	
	NET	0 AVG BGHT 5997.13	SOLD 6508.50				191,762.50	0.00
21JUL17	B	2	PD20OCT17	6033.00	6857.50	USD	41,225.00	
21JUL17	B	1	PD20OCT17	6036.00	6857.50	USD	20,537.50	
21JUL17	B	1	PD20OCT17	6037.00	6857.50	USD	20,512.50	
21JUL17	B	1	PD20OCT17	6040.50	6857.50	USD	20,425.00	
21JUL17	S	1	PD20OCT17	5995.00	6857.50	USD	-21,562.50	
21JUL17	S	2	PD20OCT17	5996.50	6857.50	USD	-43,050.00	
21JUL17	S	2	PD20OCT17	5998.00	6857.50	USD	-42,975.00	
	NET	0 AVG BGHT 6035.90	SOLD 5996.80				-4,887.50	0.00
24JUL17	S	1	PD24OCT17	6000.00	6857.50	USD	-21,437.50	
24JUL17	S	1	PD24OCT17	6001.00	6857.50	USD	-21,412.50	
24JUL17	S	3	PD24OCT17	6004.50	6857.50	USD	-63,975.00	
24JUL17	B	2	PD24OCT17	6029.50	6857.50	USD	41,400.00	
24JUL17	B	3	PD24OCT17	6029.00	6857.50	USD	62,137.50	
	NET	0 AVG BGHT 6029.20	SOLD 6002.90				-3,287.50	0.00
25JUL17	B	5	PD25OCT17	6155.00	6857.50	USD	87,812.50	
25JUL17	B	2	PD25OCT17	6219.00	6857.50	USD	31,925.00	
25JUL17	B	3	PD25OCT17	6217.50	6857.50	USD	48,000.00	
25JUL17	B	1	PD25OCT17	6204.00	6857.50	USD	16,337.50	
25JUL17	B	1	PD25OCT17	6205.50	6857.50	USD	16,300.00	
25JUL17	B	3	PD25OCT17	6205.00	6857.50	USD	48,937.50	
25JUL17	B	5	PD25OCT17	6263.00	6857.50	USD	74,312.50	
23AUG17	S	10	PD25OCT17	6555.92	6857.50	USD	-75,395.00	
	NET	+10 AVG BGHT 6210.25	SOLD 6555.92				248,230.00	0.00
26JUL17	S	2	PD26OCT17	6383.00	6857.50	USD	-23,725.00	
26JUL17	S	2	PD26OCT17	6383.50	6857.50	USD	-23,700.00	
26JUL17	S	1	PD26OCT17	6387.00	6857.50	USD	-11,762.50	
26JUL17	B	2	PD26OCT17	6279.00	6857.50	USD	28,925.00	
26JUL17	B	1	PD26OCT17	6270.50	6857.50	USD	14,675.00	
26JUL17	B	2	PD26OCT17	6272.00	6857.50	USD	29,275.00	
26JUL17	B	2	PD26OCT17	6275.00	6857.50	USD	29,125.00	
26JUL17	B	3	PD26OCT17	6277.00	6857.50	USD	43,537.50	
	NET	+5 AVG BGHT 6275.35	SOLD 6384.00				86,350.00	0.00
02AUG17	S	2	PD02NOV17	6334.50	6859.82	USD	-26,266.00	
02AUG17	S	3	PD02NOV17	6335.50	6859.82	USD	-39,324.00	
02AUG17	B	3	PD02NOV17	6332.00	6859.82	USD	39,586.50	
02AUG17	B	5	PD02NOV17	6336.00	6859.82	USD	65,477.50	
02AUG17	B	2	PD02NOV17	6337.00	6859.82	USD	26,141.00	
	NET	+5 AVG BGHT 6335.00	SOLD 6335.10				65,615.00	0.00
03AUG17	S	2	PD03NOV17	6341.50	6860.59	USD	-25,954.50	
03AUG17	S	3	PD03NOV17	6342.00	6860.59	USD	-38,894.25	
10AUG17	B	5	PD03NOV17	6420.33	6860.59	USD	55,032.50	
	NET	0 AVG BGHT 6420.33	SOLD 6341.80				-9,816.25	0.00
07AUG17	S	5	PD07NOV17	6366.00	6863.68	USD	-62,210.00	
10AUG17	B	5	PD07NOV17	6421.97	6863.68	USD	55,213.75	
	NET	0 AVG BGHT 6421.97	SOLD 6366.00				-6,996.25	0.00
08AUG17	B	2	PD08NOV17	6478.00	6864.45	USD	19,322.50	
08AUG17	B	1	PD08NOV17	6479.00	6864.45	USD	9,636.25	

OPEN POSITION STATEMENT



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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>					
08AUG17	B 2 PD08NOV17	6479.50	6864.45	USD	19,247.50	
	NET +5 AVG BGHT 6478.80				48,206.25	0.00
14AUG17	B 1 PD14NOV17	6407.50	6864.67	USD	11,429.25	
14AUG17	B 1 PD14NOV17	6409.50	6864.67	USD	11,379.25	
14AUG17	B 3 PD14NOV17	6408.00	6864.67	USD	34,250.25	
	NET +5 AVG BGHT 6408.20				57,058.75	0.00
15AUG17	S 2 PD15NOV17	6418.00	6864.00	USD	-22,300.00	
15AUG17	B 1 PD15NOV17	6419.00	6864.00	USD	11,125.00	
15AUG17	S 2 PD15NOV17	6418.50	6864.00	USD	-22,275.00	
15AUG17	S 2 PD15NOV17	6419.00	6864.00	USD	-22,250.00	
15AUG17	S 5 PD15NOV17	6368.00	6864.00	USD	-62,000.00	
23AUG17	B 10 PD15NOV17	6565.70	6864.00	USD	74,575.00	
	NET 0 AVG BGHT 6552.36 SOLD 6395.55				-43,125.00	0.00
16AUG17	B 3 PD16NOV17	6535.50	6863.00	USD	24,562.50	
16AUG17	B 1 PD16NOV17	6536.50	6863.00	USD	8,162.50	
16AUG17	B 1 PD16NOV17	6537.00	6863.00	USD	8,150.00	
16AUG17	B 2 PD16NOV17	6537.50	6863.00	USD	16,275.00	
16AUG17	B 1 PD16NOV17	6539.50	6863.00	USD	8,087.50	
16AUG17	B 1 PD16NOV17	6540.50	6863.00	USD	8,062.50	
16AUG17	B 1 PD16NOV17	6542.00	6863.00	USD	8,025.00	
	NET +10 AVG BGHT 6537.70				81,325.00	0.00
18AUG17	S 1 PD17NOV17	6482.00	6863.47	USD	-9,536.75	
18AUG17	S 1 PD17NOV17	6482.50	6863.47	USD	-9,524.25	
18AUG17	S 1 PD17NOV17	6484.00	6863.47	USD	-9,486.75	
18AUG17	S 2 PD17NOV17	6484.50	6863.47	USD	-18,948.50	
18AUG17	S 1 PD17NOV17	6500.00	6863.47	USD	-9,086.75	
18AUG17	S 2 PD17NOV17	6500.50	6863.47	USD	-18,148.50	
18AUG17	S 2 PD17NOV17	6501.50	6863.47	USD	-18,098.50	
23AUG17	B 10 PD17NOV17	6563.89	6863.47	USD	74,895.00	
	NET 0 AVG BGHT 6563.89 SOLD 6492.15				-17,935.00	0.00
21AUG17	B 2 PD21NOV17	6589.00	6865.33	USD	13,816.50	
21AUG17	B 3 PD21NOV17	6592.50	6865.33	USD	20,462.25	
21AUG17	B 3 PD21NOV17	6590.00	6865.33	USD	20,649.75	
21AUG17	B 2 PD21NOV17	6597.00	6865.33	USD	13,416.50	
	NET +10 AVG BGHT 6591.95				68,345.00	0.00
24AUG17	S 2 PD24NOV17	6703.00	6866.73	USD	-8,186.50	
24AUG17	S 2 PD24NOV17	6706.00	6866.73	USD	-8,036.50	
24AUG17	S 1 PD24NOV17	6706.50	6866.73	USD	-4,005.75	
	NET -5 AVG SOLD 6704.90				-20,228.75	0.00
29AUG17	S 1 PD29NOV17	6767.00	6869.07	USD	-2,551.75	
29AUG17	S 1 PD29NOV17	6767.50	6869.07	USD	-2,539.25	
29AUG17	S 2 PD29NOV17	6763.50	6869.07	USD	-5,278.50	
29AUG17	S 1 PD29NOV17	6761.00	6869.07	USD	-2,701.75	
	NET -5 AVG SOLD 6764.50				-13,071.25	0.00
05SEP17	B 2 PD05DEC17	6938.50	6871.60	USD	-3,345.00	
05SEP17	B 1 PD05DEC17	6939.00	6871.60	USD	-1,685.00	
05SEP17	B 2 PD05DEC17	6940.00	6871.60	USD	-3,420.00	
	NET +5 AVG BGHT 6939.20				-8,450.00	0.00
06SEP17	S 1 PD06DEC17	6908.50	6872.00	USD	912.50	
06SEP17	S 1 PD06DEC17	6909.00	6872.00	USD	925.00	
06SEP17	S 1 PD06DEC17	6909.50	6872.00	USD	937.50	
06SEP17	S 2 PD06DEC17	6910.00	6872.00	USD	1,900.00	
	NET -5 AVG SOLD 6909.40				4,675.00	0.00
08SEP17	S 2 PD08DEC17	6822.00	6872.83	USD	-2,541.50	

OPEN POSITION STATEMENT



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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
08SEP17	S	2	PD08DEC17	6823.00	6872.83	USD	-2,491.50	
08SEP17	S	1	PD08DEC17	6823.50	6872.83	USD	-1,233.25	
08SEP17	S	2	PD08DEC17	6827.50	6872.83	USD	-2,266.50	
08SEP17	S	3	PD08DEC17	6828.00	6872.83	USD	-3,362.25	
08SEP17	S	1	PD08DEC17	6682.00	6872.83	USD	-4,770.75	
08SEP17	S	1	PD08DEC17	6682.50	6872.83	USD	-4,758.25	
08SEP17	S	2	PD08DEC17	6678.50	6872.83	USD	-9,716.50	
08SEP17	S	5	PD08DEC17	6683.00	6872.83	USD	-23,728.75	
08SEP17	S	1	PD08DEC17	6679.00	6872.83	USD	-4,845.75	
	NET	-20 AVG SOLD 6753.40					-59,715.00	0.00
14SEP17	S	2	PD14DEC17	6502.00	6875.33	USD	-18,666.50	
14SEP17	S	3	PD14DEC17	6496.00	6875.33	USD	-28,449.75	
	NET	-5 AVG SOLD 6498.40					-47,116.25	0.00
15SEP17	S	2	PD15DEC17	6494.00	6875.75	USD	-19,087.50	
15SEP17	S	2	PD15DEC17	6495.50	6875.75	USD	-19,012.50	
15SEP17	S	1	PD15DEC17	6496.00	6875.75	USD	-9,493.75	
15SEP17	S	4	PD15DEC17	6501.00	6875.75	USD	-37,475.00	
15SEP17	S	1	PD15DEC17	6508.00	6875.75	USD	-9,193.75	
15SEP17	S	3	PD15DEC17	6510.00	6875.75	USD	-27,431.25	
15SEP17	S	2	PD15DEC17	6513.00	6875.75	USD	-18,137.50	
	NET	-15 AVG SOLD 6502.87					-139,831.25	0.00
28SEP17	B	4	PD28DEC17	6459.00	6877.00	USD	41,800.00	
28SEP17	B	3	PD28DEC17	6460.00	6877.00	USD	31,275.00	
28SEP17	B	2	PD28DEC17	6461.50	6877.00	USD	20,775.00	
28SEP17	S	4	PD28DEC17	6460.00	6877.00	USD	-41,700.00	
28SEP17	B	2	PD28DEC17	6466.00	6877.00	USD	20,550.00	
28SEP17	B	3	PD28DEC17	6467.00	6877.00	USD	30,750.00	
28SEP17	B	2	PD28DEC17	6559.50	6877.00	USD	15,875.00	
28SEP17	B	4	PD28DEC17	6560.50	6877.00	USD	31,650.00	
28SEP17	B	1	PD28DEC17	6562.00	6877.00	USD	7,875.00	
28SEP17	B	3	PD28DEC17	6564.00	6877.00	USD	23,475.00	
	NET	+20 AVG BGHT 6503.63 SOLD 6460.00					182,325.00	0.00
05OCT17	B	7	PD05JAN18	6643.00	6879.67	USD	41,417.25	
05OCT17	S	2	PD05JAN18	6642.50	6879.67	USD	-11,858.50	
05OCT17	B	5	PD05JAN18	6664.50	6879.67	USD	26,896.25	
05OCT17	B	5	PD05JAN18	6667.00	6879.67	USD	26,583.75	
05OCT17	B	2	PD05JAN18	6701.50	6879.67	USD	8,908.50	
05OCT17	B	5	PD05JAN18	6697.00	6879.67	USD	22,833.75	
05OCT17	B	3	PD05JAN18	6699.00	6879.67	USD	13,550.25	
	NET	+25 AVG BGHT 6671.98 SOLD 6642.50					128,331.25	0.00
09OCT17	S	3	PD09JAN18	6644.00	6881.00	USD	-17,775.00	
09OCT17	S	2	PD09JAN18	6646.00	6881.00	USD	-11,750.00	
	NET	-5 AVG SOLD 6644.80					-29,525.00	0.00
13OCT17	B	2	PD12JAN18	6890.00	6882.00	USD	-400.00	
13OCT17	B	3	PD12JAN18	6886.50	6882.00	USD	-337.50	
	NET	+5 AVG BGHT 6887.90					-737.50	0.00
	<u>CMX COPPER 25,000 CMX</u>							
28SEP17	S	2	DEC17	293.850	313.350	USD	-9,750.00	
28SEP17	S	9	DEC17	293.900	313.350	USD	-43,762.50	
28SEP17	S	4	DEC17	298.250	313.350	USD	-15,100.00	
28SEP17	S	5	DEC17	298.200	313.350	USD	-18,937.50	
28SEP17	S	2	DEC17	298.450	313.350	USD	-7,450.00	
05OCT17	S	11	DEC17	301.950	313.350	USD	-31,350.00	
05OCT17	S	11	DEC17	303.000	313.350	USD	-28,462.50	
05OCT17	S	11	DEC17	302.900	313.350	USD	-28,737.50	

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Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	CMX COPPER 25,000 CMX					
05OCT17	S 2 DEC17	304.650	313.350	USD	-4,350.00	
05OCT17	S 3 DEC17	304.500	313.350	USD	-6,637.50	
05OCT17	S 6 DEC17	304.550	313.350	USD	-13,200.00	
05OCT17	S 11 DEC17	304.450	313.350	USD	-24,475.00	
	NET -77 AVG SOLD 301.287				-232,212.50	0.00
28SEP17	S 4 MAR18	300.100	315.300	USD	-15,200.00	
28SEP17	S 7 MAR18	300.200	315.300	USD	-26,425.00	
13OCT17	S 5 MAR18	314.800	315.300	USD	-625.00	
13OCT17	S 6 MAR18	314.700	315.300	USD	-900.00	
	NET -22 AVG SOLD 307.455				-43,150.00	0.00

OPEN POSITION VALUE

		USD
LME Copper Grade A 25	FUTURES	-6,966,917.50
CMX Copper	FUTURES	7,490,775.00

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options	Amount	Profit / Loss	Total Amount	Total P&L
COPR - Futures USD	-275,362.50	-275,362.50	-275,362.50	-275,362.50
Unrealised Profits & Losses - Forwards	Amount	Profit / Loss	Total Amount	Total P&L
COPL - Futures USD	757,501.25	757,501.25	757,501.25	757,501.25

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
CASH BALANCE	(1.000000)	(1.000000)
Variation Margins - Futures	-495,858.60	-495,858.60
- Options	0.00	0.00
TOTAL EQUITY	-771,221.10	-771,221.10
Initial Margins	-311,850.00	-311,850.00
Excess/(Shortage)	-1,083,071.10	-1,083,071.10
FOR INFORMATION ONLY		
Forward Unrealised P&L	757,501.25	757,501.25
Net Liquidating Value	-13,719.85	-13,719.85

OPEN POSITION STATEMENT



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FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
17JUL17	B	5	PD17OCT17	6001.00	6859.00	USD	107,250.00	
17JUL17	B	5	PD17OCT17	5997.50	6859.00	USD	107,687.50	
10AUG17	S	10	PD17OCT17	6416.00	6859.00	USD	-110,750.00	
	NET	0 AVG BGHT 5999.25	SOLD 6416.00				104,187.50	0.00
18JUL17	B	5	PD18OCT17	6003.50	6859.50	USD	107,000.00	
18JUL17	B	5	PD18OCT17	6007.50	6859.50	USD	106,500.00	
10AUG17	S	10	PD18OCT17	6416.25	6859.50	USD	-110,812.50	
	NET	0 AVG BGHT 6005.50	SOLD 6416.25				102,687.50	0.00
19JUL17	S	5	PD19OCT17	5968.00	6857.50	USD	-111,187.50	
20JUL17	B	5	PD19OCT17	5959.70	6857.50	USD	112,225.00	
	NET	0 AVG BGHT 5959.70	SOLD 5968.00				1,037.50	0.00
20JUL17	S	5	PD20OCT17	5984.00	6857.50	USD	-109,187.50	
21JUL17	B	5	PD20OCT17	6040.00	6857.50	USD	102,187.50	
21JUL17	S	5	PD20OCT17	5996.00	6857.50	USD	-107,687.50	
10AUG17	B	5	PD20OCT17	6414.45	6857.50	USD	55,381.25	
	NET	0 AVG BGHT 6227.23	SOLD 5990.00				-59,306.25	0.00
24JUL17	B	5	PD24OCT17	6001.00	6857.50	USD	107,062.50	
24JUL17	S	5	PD24OCT17	5996.00	6857.50	USD	-107,687.50	
24JUL17	S	5	PD24OCT17	5998.00	6857.50	USD	-107,437.50	
24JUL17	B	10	PD24OCT17	6029.00	6857.50	USD	207,125.00	
23AUG17	S	5	PD24OCT17	6555.33	6857.50	USD	-37,771.25	
	NET	0 AVG BGHT 6019.67	SOLD 6183.11				61,291.25	0.00
25JUL17	B	5	PD25OCT17	6148.00	6857.50	USD	88,687.50	
25JUL17	B	5	PD25OCT17	6174.00	6857.50	USD	85,437.50	
25JUL17	B	5	PD25OCT17	6222.00	6857.50	USD	79,437.50	
23AUG17	S	15	PD25OCT17	6555.92	6857.50	USD	-113,092.50	
	NET	0 AVG BGHT 6181.33	SOLD 6555.92				140,470.00	0.00
31JUL17	S	5	PD31OCT17	6370.00	6858.27	USD	-61,033.75	
10AUG17	B	5	PD31OCT17	6419.09	6858.27	USD	54,897.50	
	NET	0 AVG BGHT 6419.09	SOLD 6370.00				-6,136.25	0.00
03AUG17	S	5	PD03NOV17	6348.00	6860.59	USD	-64,073.75	
10AUG17	B	5	PD03NOV17	6420.33	6860.59	USD	55,032.50	
	NET	0 AVG BGHT 6420.33	SOLD 6348.00				-9,041.25	0.00
07AUG17	S	1	PD07NOV17	6360.00	6863.68	USD	-12,592.00	
07AUG17	S	4	PD07NOV17	6362.00	6863.68	USD	-50,168.00	
07AUG17	S	5	PD07NOV17	6358.50	6863.68	USD	-63,147.50	
10AUG17	B	10	PD07NOV17	6421.97	6863.68	USD	110,427.50	
	NET	0 AVG BGHT 6421.97	SOLD 6360.05				-15,480.00	0.00
08AUG17	B	5	PD08NOV17	6474.50	6864.45	USD	48,743.75	
08AUG17	B	5	PD08NOV17	6483.50	6864.45	USD	47,618.75	
08AUG17	B	5	PD08NOV17	6481.00	6864.45	USD	47,931.25	
08AUG17	B	5	PD08NOV17	6475.00	6864.45	USD	48,681.25	
08AUG17	B	5	PD08NOV17	6480.00	6864.45	USD	48,056.25	
	NET	+25 AVG BGHT 6478.80					241,031.25	0.00
15AUG17	S	5	PD15NOV17	6360.00	6864.00	USD	-63,000.00	
15AUG17	S	2	PD15NOV17	6365.00	6864.00	USD	-24,950.00	
15AUG17	S	3	PD15NOV17	6368.50	6864.00	USD	-37,162.50	
23AUG17	B	10	PD15NOV17	6565.70	6864.00	USD	74,575.00	
	NET	0 AVG BGHT 6565.70	SOLD 6363.55				-50,537.50	0.00
16AUG17	B	1	PD16NOV17	6535.50	6863.00	USD	8,187.50	
16AUG17	B	3	PD16NOV17	6541.50	6863.00	USD	24,112.50	
16AUG17	B	2	PD16NOV17	6542.00	6863.00	USD	16,050.00	
16AUG17	B	4	PD16NOV17	6540.00	6863.00	USD	32,300.00	
	NET	+10 AVG BGHT 6540.40					80,650.00	0.00

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0025N

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>					
18AUG17	S 7 PD17NOV17	6500.00	6863.47	USD	-63,607.25	
18AUG17	S 3 PD17NOV17	6501.00	6863.47	USD	-27,185.25	
23AUG17	B 10 PD17NOV17	6563.89	6863.47	USD	74,895.00	
	NET 0 AVG BGHT 6563.89 SOLD 6500.30				-15,897.50	0.00
21AUG17	B 5 PD21NOV17	6587.00	6865.33	USD	34,791.25	
21AUG17	B 5 PD21NOV17	6593.50	6865.33	USD	33,978.75	
	NET +10 AVG BGHT 6590.25				68,770.00	0.00
22AUG17	B 5 PD22NOV17	6613.00	6865.80	USD	31,600.00	
	NET +5 AVG BGHT 6613.00				31,600.00	0.00
07SEP17	S 5 PD07DEC17	6868.00	6872.42	USD	-552.50	
	NET -5 AVG SOLD 6868.00				-552.50	0.00
08SEP17	S 5 PD08DEC17	6822.50	6872.83	USD	-6,291.25	
08SEP17	S 5 PD08DEC17	6683.00	6872.83	USD	-23,728.75	
08SEP17	S 3 PD08DEC17	6680.50	6872.83	USD	-14,424.75	
08SEP17	S 6 PD08DEC17	6679.00	6872.83	USD	-29,074.50	
08SEP17	S 6 PD08DEC17	6678.00	6872.83	USD	-29,224.50	
08SEP17	S 5 PD08DEC17	6680.00	6872.83	USD	-24,103.75	
	NET -30 AVG SOLD 6703.70				-126,847.50	0.00
15SEP17	S 5 PD15DEC17	6489.00	6875.75	USD	-48,343.75	
15SEP17	S 5 PD15DEC17	6496.50	6875.75	USD	-47,406.25	
15SEP17	S 5 PD15DEC17	6472.00	6875.75	USD	-50,468.75	
15SEP17	S 5 PD15DEC17	6475.50	6875.75	USD	-50,031.25	
	NET -20 AVG SOLD 6483.25				-196,250.00	0.00
28SEP17	B 3 PD28DEC17	6435.00	6877.00	USD	33,150.00	
28SEP17	B 2 PD28DEC17	6436.50	6877.00	USD	22,025.00	
28SEP17	B 5 PD28DEC17	6437.00	6877.00	USD	55,000.00	
28SEP17	B 5 PD28DEC17	6440.00	6877.00	USD	54,625.00	
28SEP17	B 5 PD28DEC17	6567.00	6877.00	USD	38,750.00	
28SEP17	B 5 PD28DEC17	6563.00	6877.00	USD	39,250.00	
	NET +25 AVG BGHT 6488.52				242,800.00	0.00
05OCT17	B 5 PD05JAN18	6698.50	6879.67	USD	22,646.25	
05OCT17	B 5 PD05JAN18	6698.00	6879.67	USD	22,708.75	
05OCT17	B 5 PD05JAN18	6696.00	6879.67	USD	22,958.75	
06OCT17	B 5 PD05JAN18	6713.50	6879.67	USD	20,771.25	
06OCT17	B 5 PD05JAN18	6710.50	6879.67	USD	21,146.25	
	NET +25 AVG BGHT 6703.30				110,231.25	0.00
09OCT17	S 1 PD09JAN18	6646.00	6881.00	USD	-5,875.00	
09OCT17	S 4 PD09JAN18	6646.50	6881.00	USD	-23,450.00	
	NET -5 AVG SOLD 6646.40				-29,325.00	0.00
13OCT17	B 1 PD12JAN18	6883.00	6882.00	USD	-25.00	
13OCT17	B 4 PD12JAN18	6885.00	6882.00	USD	-300.00	
	NET +5 AVG BGHT 6884.60				-325.00	0.00
	<u>CMX COPPER 25,000 CMX</u>					
28SEP17	S 11 DEC17	292.750	313.350	USD	-56,650.00	
28SEP17	S 11 DEC17	298.550	313.350	USD	-40,700.00	
05OCT17	S 11 DEC17	304.500	313.350	USD	-24,337.50	
	NET -33 AVG SOLD 298.600				-121,687.50	0.00
28SEP17	S 11 MAR18	300.100	315.300	USD	-41,800.00	
05OCT17	S 11 MAR18	306.300	315.300	USD	-24,750.00	
05OCT17	S 11 MAR18	306.200	315.300	USD	-25,025.00	
06OCT17	S 11 MAR18	307.100	315.300	USD	-22,550.00	
06OCT17	S 11 MAR18	307.050	315.300	USD	-22,687.50	
13OCT17	S 11 MAR18	314.650	315.300	USD	-1,787.50	
	NET -66 AVG SOLD 306.900				-138,600.00	0.00

OPEN POSITION STATEMENT



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SAP0025N

13th October 2017

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London W1J 7NJ UK
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Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	CMX COPPER 25,000 CMX					

OPEN POSITION VALUE

		USD
LME Copper Grade A 25	FUTURES	-7,052,025.00
CMX Copper	FUTURES	7,527,300.00

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options	Amount	Profit / Loss	Total Amount	Total P&L
COPR - Futures USD	-260,287.50	-260,287.50	-260,287.50	-260,287.50
Unrealised Profits & Losses - Forwards	Amount	Profit / Loss	Total Amount	Total P&L
COPL - Futures USD	675,057.50	675,057.50	675,057.50	675,057.50

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
CASH BALANCE	(1.00000)	(1.00000)
Variation Margins - Futures	-429,168.23	-429,168.23
- Options	0.00	0.00
TOTAL EQUITY	-689,455.73	-689,455.73
Initial Margins	-311,850.00	-311,850.00
Excess/(Shortage)	-1,001,305.73	-1,001,305.73
FOR INFORMATION ONLY		
Forward Unrealised P&L	675,057.50	675,057.50
Net Liquidating Value	-14,398.23	-14,398.23

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP00250

13th October 2017

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
17JUL17	B	1	PD17OCT17	6008.00	6859.00	USD	21,275.00	
17JUL17	B	1	PD17OCT17	6010.00	6859.00	USD	21,225.00	
17JUL17	B	1	PD17OCT17	6012.00	6859.00	USD	21,175.00	
17JUL17	B	1	PD17OCT17	6013.00	6859.00	USD	21,150.00	
17JUL17	B	1	PD17OCT17	6001.50	6859.00	USD	21,437.50	
17JUL17	B	2	PD17OCT17	6007.50	6859.00	USD	42,575.00	
17JUL17	B	1	PD17OCT17	5999.00	6859.00	USD	21,500.00	
17JUL17	B	2	PD17OCT17	6000.00	6859.00	USD	42,950.00	
	NET	+10 AVG BGHT	6005.85				213,287.50	0.00
24JUL17	S	2	PD24OCT17	6000.00	6857.50	USD	-42,875.00	
24JUL17	S	1	PD24OCT17	6001.00	6857.50	USD	-21,412.50	
24JUL17	S	2	PD24OCT17	6004.00	6857.50	USD	-42,675.00	
10AUG17	B	5	PD24OCT17	6416.16	6857.50	USD	55,167.50	
	NET	0 AVG BGHT	6416.16	SOLD 6001.80			-51,795.00	0.00
25JUL17	B	1	PD25OCT17	6124.00	6857.50	USD	18,337.50	
25JUL17	B	2	PD25OCT17	6126.00	6857.50	USD	36,575.00	
25JUL17	B	2	PD25OCT17	6123.50	6857.50	USD	36,700.00	
25JUL17	B	1	PD25OCT17	6116.00	6857.50	USD	18,537.50	
25JUL17	B	1	PD25OCT17	6117.00	6857.50	USD	18,512.50	
25JUL17	B	2	PD25OCT17	6115.50	6857.50	USD	37,100.00	
25JUL17	B	1	PD25OCT17	6187.00	6857.50	USD	16,762.50	
25JUL17	B	1	PD25OCT17	6191.00	6857.50	USD	16,662.50	
25JUL17	B	1	PD25OCT17	6192.00	6857.50	USD	16,637.50	
25JUL17	B	1	PD25OCT17	6186.00	6857.50	USD	16,787.50	
25JUL17	B	1	PD25OCT17	6189.00	6857.50	USD	16,712.50	
	NET	+14 AVG BGHT	6145.14				249,325.00	0.00
27JUL17	B	2	PD27OCT17	6358.00	6857.50	USD	24,975.00	
27JUL17	B	1	PD27OCT17	6347.00	6857.50	USD	12,762.50	
27JUL17	B	2	PD27OCT17	6357.50	6857.50	USD	25,000.00	
	NET	+5 AVG BGHT	6355.60				62,737.50	0.00
07AUG17	S	1	PD07NOV17	6368.00	6863.68	USD	-12,392.00	
07AUG17	S	4	PD07NOV17	6371.00	6863.68	USD	-49,268.00	
10AUG17	B	5	PD07NOV17	6421.97	6863.68	USD	55,213.75	
	NET	0 AVG BGHT	6421.97	SOLD 6370.40			-6,446.25	0.00
08AUG17	B	4	PD08NOV17	6439.00	6864.45	USD	42,545.00	
08AUG17	B	1	PD08NOV17	6452.50	6864.45	USD	10,298.75	
08AUG17	B	1	PD08NOV17	6469.00	6864.45	USD	9,886.25	
08AUG17	B	1	PD08NOV17	6467.00	6864.45	USD	9,936.25	
08AUG17	B	1	PD08NOV17	6478.50	6864.45	USD	9,648.75	
08AUG17	B	2	PD08NOV17	6483.00	6864.45	USD	19,072.50	
	NET	+10 AVG BGHT	6458.90				101,387.50	0.00
15AUG17	S	1	PD15NOV17	6365.00	6864.00	USD	-12,475.00	
15AUG17	S	1	PD15NOV17	6368.00	6864.00	USD	-12,400.00	
15AUG17	S	2	PD15NOV17	6368.50	6864.00	USD	-24,775.00	
15AUG17	S	1	PD15NOV17	6370.00	6864.00	USD	-12,350.00	
15AUG17	S	1	PD15NOV17	6370.50	6864.00	USD	-12,337.50	
15AUG17	S	2	PD15NOV17	6373.00	6864.00	USD	-24,550.00	
15AUG17	S	1	PD15NOV17	6373.50	6864.00	USD	-12,262.50	
15AUG17	S	1	PD15NOV17	6374.00	6864.00	USD	-12,250.00	
23AUG17	B	10	PD15NOV17	6565.70	6864.00	USD	74,575.00	
	NET	0 AVG BGHT	6565.70	SOLD 6370.40			-48,825.00	0.00
16AUG17	B	2	PD16NOV17	6534.00	6863.00	USD	16,450.00	
16AUG17	B	1	PD16NOV17	6536.50	6863.00	USD	8,162.50	
16AUG17	B	2	PD16NOV17	6536.00	6863.00	USD	16,350.00	
	NET	+5 AVG BGHT	6535.30				40,962.50	0.00

OPEN POSITION STATEMENT



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SAP00250

13th October 2017

Sapien Capital Limited
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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
17AUG17	B	1	PD17NOV17	6526.00	6863.47	USD	8,436.75	
17AUG17	B	1	PD17NOV17	6527.00	6863.47	USD	8,411.75	
17AUG17	B	1	PD17NOV17	6528.00	6863.47	USD	8,386.75	
17AUG17	B	1	PD17NOV17	6529.00	6863.47	USD	8,361.75	
17AUG17	B	1	PD17NOV17	6531.50	6863.47	USD	8,299.25	
18AUG17	S	1	PD17NOV17	6494.00	6863.47	USD	-9,236.75	
18AUG17	S	1	PD17NOV17	6494.50	6863.47	USD	-9,224.25	
18AUG17	S	1	PD17NOV17	6497.00	6863.47	USD	-9,161.75	
18AUG17	S	1	PD17NOV17	6499.50	6863.47	USD	-9,099.25	
18AUG17	S	1	PD17NOV17	6502.00	6863.47	USD	-9,036.75	
	NET	0 AVG	BGHT 6528.30				-3,862.50	0.00
			SOLD 6497.40					
21AUG17	B	1	PD21NOV17	6589.00	6865.33	USD	6,908.25	
21AUG17	B	1	PD21NOV17	6590.00	6865.33	USD	6,883.25	
21AUG17	B	1	PD21NOV17	6597.00	6865.33	USD	6,708.25	
21AUG17	B	1	PD21NOV17	6598.50	6865.33	USD	6,670.75	
21AUG17	B	1	PD21NOV17	6600.00	6865.33	USD	6,633.25	
	NET	+5 AVG	BGHT 6594.90				33,803.75	0.00
24AUG17	S	1	PD24NOV17	6711.00	6866.73	USD	-3,893.25	
24AUG17	S	1	PD24NOV17	6719.50	6866.73	USD	-3,680.75	
24AUG17	S	2	PD24NOV17	6721.00	6866.73	USD	-7,286.50	
24AUG17	S	1	PD24NOV17	6723.00	6866.73	USD	-3,593.25	
	NET	-5 AVG	SOLD 6719.10				-18,453.75	0.00
29AUG17	B	1	PD29NOV17	6818.50	6869.07	USD	1,264.25	
29AUG17	B	4	PD29NOV17	6815.00	6869.07	USD	5,407.00	
29AUG17	B	1	PD29NOV17	6816.00	6869.07	USD	1,326.75	
	NET	+6 AVG	BGHT 6815.75				7,998.00	0.00
07SEP17	S	2	PD07DEC17	6868.00	6872.42	USD	-221.00	
07SEP17	S	1	PD07DEC17	6868.50	6872.42	USD	-98.00	
07SEP17	S	1	PD07DEC17	6869.50	6872.42	USD	-73.00	
07SEP17	S	1	PD07DEC17	6872.00	6872.42	USD	-10.50	
	NET	-5 AVG	SOLD 6869.20				-402.50	0.00
08SEP17	S	5	PD08DEC17	6823.00	6872.83	USD	-6,228.75	
08SEP17	S	1	PD08DEC17	6678.50	6872.83	USD	-4,858.25	
08SEP17	S	5	PD08DEC17	6680.50	6872.83	USD	-24,041.25	
08SEP17	S	9	PD08DEC17	6678.00	6872.83	USD	-43,836.75	
08SEP17	S	10	PD08DEC17	6680.00	6872.83	USD	-48,207.50	
	NET	-30 AVG	SOLD 6703.27				-127,172.50	0.00
15SEP17	S	3	PD15DEC17	6505.00	6875.75	USD	-27,806.25	
15SEP17	S	2	PD15DEC17	6505.50	6875.75	USD	-18,512.50	
	NET	-5 AVG	SOLD 6505.20				-46,318.75	0.00
28SEP17	B	1	PD28DEC17	6562.50	6877.00	USD	7,862.50	
28SEP17	B	3	PD28DEC17	6564.00	6877.00	USD	23,475.00	
28SEP17	B	15	PD28DEC17	6565.00	6877.00	USD	117,000.00	
28SEP17	B	1	PD28DEC17	6561.50	6877.00	USD	7,887.50	
	NET	+20 AVG	BGHT 6564.55				156,225.00	0.00
29SEP17	B	1	PD29DEC17	6526.00	6877.33	USD	8,783.25	
29SEP17	B	1	PD29DEC17	6529.50	6877.33	USD	8,695.75	
29SEP17	B	1	PD29DEC17	6523.00	6877.33	USD	8,858.25	
29SEP17	B	1	PD29DEC17	6521.00	6877.33	USD	8,908.25	
29SEP17	B	1	PD29DEC17	6522.00	6877.33	USD	8,883.25	
	NET	+5 AVG	BGHT 6524.30				44,128.75	0.00
06OCT17	B	1	PD05JAN18	6691.00	6879.67	USD	4,716.75	
06OCT17	B	2	PD05JAN18	6693.00	6879.67	USD	9,333.50	
06OCT17	B	1	PD05JAN18	6695.00	6879.67	USD	4,616.75	
06OCT17	B	1	PD05JAN18	6694.00	6879.67	USD	4,641.75	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP00250

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>					
06OCT17	B 2 PD05JAN18	6683.50	6879.67	USD	9,808.50	
06OCT17	B 2 PD05JAN18	6685.00	6879.67	USD	9,733.50	
06OCT17	B 1 PD05JAN18	6687.00	6879.67	USD	4,816.75	
	NET +10 AVG BGHT 6689.00				47,667.50	0.00
09OCT17	S 5 PD09JAN18	6649.00	6881.00	USD	-29,000.00	
	NET -5 AVG SOLD 6649.00				-29,000.00	0.00
	<u>CMX COPPER 25,000 CMX</u>					
29AUG17	S 11 DEC17	310.850	313.350	USD	-6,875.00	
28SEP17	S 10 DEC17	298.200	313.350	USD	-37,875.00	
28SEP17	S 11 DEC17	298.300	313.350	USD	-41,387.50	
28SEP17	S 12 DEC17	298.450	313.350	USD	-44,700.00	
	NET -44 AVG SOLD 301.456				-130,837.50	0.00
28SEP17	S 11 MAR18	300.300	315.300	USD	-41,250.00	
29SEP17	S 2 MAR18	298.450	315.300	USD	-8,425.00	
29SEP17	S 2 MAR18	298.500	315.300	USD	-8,400.00	
29SEP17	S 2 MAR18	298.750	315.300	USD	-8,275.00	
29SEP17	S 2 MAR18	298.300	315.300	USD	-8,500.00	
29SEP17	S 3 MAR18	298.350	315.300	USD	-12,712.50	
06OCT17	S 2 MAR18	306.000	315.300	USD	-4,650.00	
06OCT17	S 2 MAR18	306.100	315.300	USD	-4,600.00	
06OCT17	S 2 MAR18	306.150	315.300	USD	-4,575.00	
06OCT17	S 5 MAR18	306.200	315.300	USD	-11,375.00	
06OCT17	S 2 MAR18	305.950	315.300	USD	-4,675.00	
06OCT17	S 3 MAR18	305.800	315.300	USD	-7,125.00	
06OCT17	S 6 MAR18	305.850	315.300	USD	-14,175.00	
	NET -44 AVG SOLD 302.688				-138,737.50	0.00

OPEN POSITION VALUE

		<u>USD</u>
LME Copper Grade A 25	FUTURES	-6,235,803.75
CMX Copper	FUTURES	6,645,575.00

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options	Amount	Profit / Loss	Total Amount	Total P&L
COPR - Futures USD	-269,575.00	-269,575.00	-269,575.00	-269,575.00
Unrealised Profits & Losses - Forwards	Amount	Profit / Loss	Total Amount	Total P&L
COPL - Futures USD	625,246.75	625,246.75	625,246.75	625,246.75

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ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		-364,168.69	-364,168.69
Variation Margins	- Futures	-269,575.00	-269,575.00
	- Options	0.00	0.00
TOTAL EQUITY		-633,743.69	-633,743.69
Initial Margins		-277,200.00	-277,200.00
Excess/(Shortage)		-910,943.69	-910,943.69
FOR INFORMATION ONLY			
Forward Unrealised P&L		625,246.75	625,246.75
Net Liquidating Value		-8,496.94	-8,496.94

OPEN POSITION STATEMENT



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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
17JUL17	S	5	PD17OCT17	5927.00	6859.00	USD	-116,500.00	
17JUL17	B	2	PD17OCT17	5980.50	6859.00	USD	43,925.00	
17JUL17	B	1	PD17OCT17	5981.00	6859.00	USD	21,950.00	
17JUL17	B	1	PD17OCT17	5981.50	6859.00	USD	21,937.50	
17JUL17	B	1	PD17OCT17	5982.00	6859.00	USD	21,925.00	
17JUL17	B	5	PD17OCT17	6000.00	6859.00	USD	107,375.00	
17JUL17	B	5	PD17OCT17	6019.00	6859.00	USD	105,000.00	
17JUL17	B	5	PD17OCT17	5995.00	6859.00	USD	108,000.00	
20JUL17	S	5	PD17OCT17	5960.08	6859.00	USD	-112,365.00	
10AUG17	S	10	PD17OCT17	6416.00	6859.00	USD	-110,750.00	
	NET	0 AVG BGHT 5998.78	SOLD 6179.77				90,497.50	0.00
18JUL17	S	5	PD18OCT17	5989.50	6859.50	USD	-108,750.00	
18JUL17	B	5	PD18OCT17	6004.50	6859.50	USD	106,875.00	
	NET	0 AVG BGHT 6004.50	SOLD 5989.50				-1,875.00	0.00
19JUL17	S	3	PD19OCT17	5996.50	6857.50	USD	-64,575.00	
19JUL17	S	1	PD19OCT17	5998.00	6857.50	USD	-21,487.50	
19JUL17	S	1	PD19OCT17	5998.50	6857.50	USD	-21,475.00	
19JUL17	B	3	PD19OCT17	6003.50	6857.50	USD	64,050.00	
19JUL17	B	2	PD19OCT17	6004.50	6857.50	USD	42,650.00	
19JUL17	S	5	PD19OCT17	5988.00	6857.50	USD	-108,687.50	
19JUL17	S	5	PD19OCT17	5972.50	6857.50	USD	-110,625.00	
20JUL17	B	10	PD19OCT17	5959.70	6857.50	USD	224,450.00	
	NET	0 AVG BGHT 5974.43	SOLD 5985.90				4,300.00	0.00
20JUL17	B	5	PD20OCT17	5979.00	6857.50	USD	109,812.50	
20JUL17	S	5	PD20OCT17	5970.00	6857.50	USD	-110,937.50	
21JUL17	B	5	PD20OCT17	6004.50	6857.50	USD	106,625.00	
21JUL17	B	5	PD20OCT17	6032.00	6857.50	USD	103,187.50	
21JUL17	S	5	PD20OCT17	5987.00	6857.50	USD	-108,812.50	
10AUG17	S	5	PD20OCT17	6414.25	6857.50	USD	-55,406.25	
	NET	0 AVG BGHT 6005.17	SOLD 6123.75				44,468.75	0.00
24JUL17	S	5	PD24OCT17	6001.50	6857.50	USD	-107,000.00	
10AUG17	B	5	PD24OCT17	6416.16	6857.50	USD	55,167.50	
	NET	0 AVG BGHT 6416.16	SOLD 6001.50				-51,832.50	0.00
25JUL17	B	1	PD25OCT17	6114.50	6857.50	USD	18,575.00	
25JUL17	B	1	PD25OCT17	6117.50	6857.50	USD	18,500.00	
25JUL17	B	1	PD25OCT17	6123.50	6857.50	USD	18,350.00	
25JUL17	B	3	PD25OCT17	6125.00	6857.50	USD	54,937.50	
25JUL17	B	4	PD25OCT17	6128.50	6857.50	USD	72,900.00	
25JUL17	B	3	PD25OCT17	6147.50	6857.50	USD	53,250.00	
25JUL17	B	1	PD25OCT17	6153.50	6857.50	USD	17,600.00	
25JUL17	B	1	PD25OCT17	6197.00	6857.50	USD	16,512.50	
25JUL17	B	5	PD25OCT17	6217.50	6857.50	USD	80,000.00	
10AUG17	S	20	PD25OCT17	6416.39	6857.50	USD	-220,555.00	
	NET	0 AVG BGHT 6156.25	SOLD 6416.39				130,070.00	0.00
26JUL17	S	5	PD26OCT17	6350.00	6857.50	USD	-63,437.50	
26JUL17	S	1	PD26OCT17	6329.50	6857.50	USD	-13,200.00	
26JUL17	S	2	PD26OCT17	6336.00	6857.50	USD	-26,075.00	
26JUL17	S	2	PD26OCT17	6336.50	6857.50	USD	-26,050.00	
26JUL17	S	2	PD26OCT17	6344.50	6857.50	USD	-25,650.00	
26JUL17	S	3	PD26OCT17	6350.50	6857.50	USD	-38,025.00	
26JUL17	B	5	PD26OCT17	6305.00	6857.50	USD	69,062.50	
26JUL17	B	2	PD26OCT17	6278.00	6857.50	USD	28,975.00	
26JUL17	B	1	PD26OCT17	6279.00	6857.50	USD	14,462.50	
26JUL17	B	2	PD26OCT17	6270.50	6857.50	USD	29,350.00	
26JUL17	B	1	PD26OCT17	6280.00	6857.50	USD	14,437.50	
26JUL17	B	4	PD26OCT17	6278.50	6857.50	USD	57,900.00	

OPEN POSITION STATEMENT



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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
26JUL17	B	4	PD26OCT17	6279.50	6857.50	USD	57,800.00	
26JUL17	B	1	PD26OCT17	6289.00	6857.50	USD	14,212.50	
26JUL17	S	3	PD26OCT17	6320.00	6857.50	USD	-40,312.50	
26JUL17	S	2	PD26OCT17	6330.00	6857.50	USD	-26,375.00	
26JUL17	S	3	PD26OCT17	6331.00	6857.50	USD	-39,487.50	
26JUL17	S	5	PD26OCT17	6334.00	6857.50	USD	-65,437.50	
26JUL17	S	1	PD26OCT17	6339.50	6857.50	USD	-12,950.00	
26JUL17	S	1	PD26OCT17	6333.00	6857.50	USD	-13,112.50	
26JUL17	S	1	PD26OCT17	6308.00	6857.50	USD	-13,737.50	
26JUL17	S	1	PD26OCT17	6308.50	6857.50	USD	-13,725.00	
26JUL17	S	1	PD26OCT17	6306.50	6857.50	USD	-13,775.00	
10AUG17	B	13	PD26OCT17	6416.82	6857.50	USD	143,221.00	
	NET	0 AVG BGHT 6336.99	SOLD 6334.65				-1,929.00	0.00
27JUL17	B	2	PD27OCT17	6317.00	6857.50	USD	27,025.00	
27JUL17	B	1	PD27OCT17	6319.00	6857.50	USD	13,462.50	
27JUL17	B	2	PD27OCT17	6357.00	6857.50	USD	25,025.00	
27JUL17	B	1	PD27OCT17	6354.00	6857.50	USD	12,587.50	
27JUL17	B	1	PD27OCT17	6358.00	6857.50	USD	12,487.50	
27JUL17	B	1	PD27OCT17	6359.00	6857.50	USD	12,462.50	
27JUL17	S	2	PD27OCT17	6336.50	6857.50	USD	-26,050.00	
27JUL17	S	1	PD27OCT17	6338.50	6857.50	USD	-12,975.00	
27JUL17	S	3	PD27OCT17	6331.00	6857.50	USD	-39,487.50	
27JUL17	S	4	PD27OCT17	6331.50	6857.50	USD	-52,600.00	
28JUL17	S	2	PD27OCT17	6283.00	6857.50	USD	-28,725.00	
28JUL17	S	1	PD27OCT17	6283.50	6857.50	USD	-14,350.00	
28JUL17	S	1	PD27OCT17	6289.50	6857.50	USD	-14,200.00	
28JUL17	S	1	PD27OCT17	6290.00	6857.50	USD	-14,187.50	
28JUL17	S	1	PD27OCT17	6311.00	6857.50	USD	-13,662.50	
28JUL17	S	3	PD27OCT17	6315.50	6857.50	USD	-40,650.00	
28JUL17	S	1	PD27OCT17	6313.50	6857.50	USD	-13,600.00	
28JUL17	B	1	PD27OCT17	6319.00	6857.50	USD	13,462.50	
28JUL17	B	1	PD27OCT17	6320.00	6857.50	USD	13,437.50	
28JUL17	B	1	PD27OCT17	6321.00	6857.50	USD	13,412.50	
28JUL17	B	7	PD27OCT17	6319.50	6857.50	USD	94,150.00	
28JUL17	B	2	PD27OCT17	6320.50	6857.50	USD	26,850.00	
28JUL17	B	2	PD27OCT17	6322.00	6857.50	USD	26,775.00	
28JUL17	B	1	PD27OCT17	6324.50	6857.50	USD	13,325.00	
10AUG17	S	3	PD27OCT17	6417.05	6857.50	USD	-33,033.75	
	NET	0 AVG BGHT 6328.00	SOLD 6329.64				941.25	0.00
31JUL17	S	1	PD31OCT17	6345.00	6858.27	USD	-12,831.75	
31JUL17	S	2	PD31OCT17	6380.00	6858.27	USD	-23,913.50	
31JUL17	S	1	PD31OCT17	6380.50	6858.27	USD	-11,944.25	
31JUL17	S	1	PD31OCT17	6383.00	6858.27	USD	-11,881.75	
31JUL17	S	1	PD31OCT17	6383.50	6858.27	USD	-11,869.25	
31JUL17	S	4	PD31OCT17	6344.00	6858.27	USD	-51,427.00	
10AUG17	B	10	PD31OCT17	6419.09	6858.27	USD	109,795.00	
	NET	0 AVG BGHT 6419.09	SOLD 6362.80				-14,072.50	0.00
01AUG17	B	4	PD01NOV17	6380.00	6859.05	USD	47,905.00	
01AUG17	B	1	PD01NOV17	6380.50	6859.05	USD	11,963.75	
01AUG17	B	2	PD01NOV17	6355.00	6859.05	USD	25,202.50	
01AUG17	B	2	PD01NOV17	6355.50	6859.05	USD	25,177.50	
01AUG17	B	1	PD01NOV17	6356.00	6859.05	USD	12,576.25	
10AUG17	S	10	PD01NOV17	6419.10	6859.05	USD	-109,987.50	
	NET	0 AVG BGHT 6367.75	SOLD 6419.10				12,837.50	0.00
02AUG17	S	1	PD02NOV17	6310.50	6859.82	USD	-13,733.00	
02AUG17	S	4	PD02NOV17	6311.00	6859.82	USD	-54,882.00	

OPEN POSITION STATEMENT



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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
02AUG17	S	2	PD02NOV17	6312.00	6859.82	USD	-27,391.00	
02AUG17	S	2	PD02NOV17	6314.50	6859.82	USD	-27,266.00	
02AUG17	S	1	PD02NOV17	6316.50	6859.82	USD	-13,583.00	
02AUG17	S	2	PD02NOV17	6317.00	6859.82	USD	-27,141.00	
02AUG17	S	1	PD02NOV17	6321.00	6859.82	USD	-13,470.50	
02AUG17	S	1	PD02NOV17	6321.50	6859.82	USD	-13,458.00	
02AUG17	S	1	PD02NOV17	6322.00	6859.82	USD	-13,445.50	
02AUG17	B	2	PD02NOV17	6330.00	6859.82	USD	26,491.00	
02AUG17	B	1	PD02NOV17	6330.50	6859.82	USD	13,233.00	
02AUG17	B	2	PD02NOV17	6331.00	6859.82	USD	26,441.00	
02AUG17	B	1	PD02NOV17	6332.50	6859.82	USD	13,183.00	
02AUG17	B	2	PD02NOV17	6334.00	6859.82	USD	26,291.00	
02AUG17	B	1	PD02NOV17	6333.50	6859.82	USD	13,158.00	
02AUG17	B	2	PD02NOV17	6336.00	6859.82	USD	26,191.00	
02AUG17	B	6	PD02NOV17	6337.00	6859.82	USD	78,423.00	
02AUG17	B	3	PD02NOV17	6339.00	6859.82	USD	39,061.50	
02AUG17	B	1	PD02NOV17	6362.50	6859.82	USD	12,433.00	
02AUG17	S	1	PD02NOV17	6360.00	6859.82	USD	-12,495.50	
02AUG17	B	2	PD02NOV17	6348.00	6859.82	USD	25,591.00	
02AUG17	B	2	PD02NOV17	6350.00	6859.82	USD	25,491.00	
02AUG17	B	2	PD02NOV17	6352.00	6859.82	USD	25,391.00	
02AUG17	S	1	PD02NOV17	6353.00	6859.82	USD	-12,670.50	
10AUG17	S	10	PD02NOV17	6419.71	6859.82	USD	-110,027.50	
	NET	0 AVG BGHT 6339.26	SOLD 6356.76				11,815.00	0.00
03AUG17	S	1	PD03NOV17	6306.50	6860.59	USD	-13,852.25	
03AUG17	S	1	PD03NOV17	6309.00	6860.59	USD	-13,789.75	
03AUG17	S	1	PD03NOV17	6314.50	6860.59	USD	-13,652.25	
03AUG17	S	1	PD03NOV17	6316.50	6860.59	USD	-13,602.25	
03AUG17	S	3	PD03NOV17	6326.00	6860.59	USD	-40,094.25	
03AUG17	S	2	PD03NOV17	6347.50	6860.59	USD	-25,654.50	
03AUG17	S	2	PD03NOV17	6348.50	6860.59	USD	-25,604.50	
03AUG17	S	1	PD03NOV17	6350.50	6860.59	USD	-12,752.25	
03AUG17	S	1	PD03NOV17	6318.00	6860.59	USD	-13,564.75	
03AUG17	S	4	PD03NOV17	6319.00	6860.59	USD	-54,159.00	
03AUG17	S	1	PD03NOV17	6321.00	6860.59	USD	-13,489.75	
03AUG17	S	1	PD03NOV17	6321.50	6860.59	USD	-13,477.25	
03AUG17	S	2	PD03NOV17	6322.00	6860.59	USD	-26,929.50	
03AUG17	S	1	PD03NOV17	6322.50	6860.59	USD	-13,452.25	
03AUG17	B	1	PD03NOV17	6311.50	6860.59	USD	13,727.25	
03AUG17	B	3	PD03NOV17	6314.50	6860.59	USD	40,956.75	
03AUG17	B	1	PD03NOV17	6315.50	6860.59	USD	13,627.25	
03AUG17	S	3	PD03NOV17	6315.50	6860.59	USD	-40,881.75	
04AUG17	B	1	PD03NOV17	6361.00	6860.59	USD	12,489.75	
04AUG17	B	1	PD03NOV17	6362.00	6860.59	USD	12,464.75	
04AUG17	B	1	PD03NOV17	6365.00	6860.59	USD	12,389.75	
04AUG17	B	2	PD03NOV17	6381.50	6860.59	USD	23,954.50	
04AUG17	S	3	PD03NOV17	6362.00	6860.59	USD	-37,394.25	
04AUG17	S	2	PD03NOV17	6363.00	6860.59	USD	-24,879.50	
04AUG17	S	3	PD03NOV17	6357.00	6860.59	USD	-37,769.25	
04AUG17	S	2	PD03NOV17	6358.00	6860.59	USD	-25,129.50	
04AUG17	B	1	PD03NOV17	6340.50	6860.59	USD	13,002.25	
04AUG17	S	2	PD03NOV17	6338.50	6860.59	USD	-26,104.50	
04AUG17	S	2	PD03NOV17	6339.50	6860.59	USD	-26,054.50	
04AUG17	B	1	PD03NOV17	6341.00	6860.59	USD	12,989.75	
04AUG17	B	1	PD03NOV17	6341.50	6860.59	USD	12,977.25	
04AUG17	B	3	PD03NOV17	6348.50	6860.59	USD	38,406.75	
04AUG17	B	1	PD03NOV17	6323.50	6860.59	USD	13,427.25	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
04AUG17	B	2	PD03NOV17	6331.00	6860.59	USD	26,479.50	
04AUG17	B	1	PD03NOV17	6331.50	6860.59	USD	13,227.25	
04AUG17	S	1	PD03NOV17	6326.50	6860.59	USD	-13,352.25	
04AUG17	S	3	PD03NOV17	6330.00	6860.59	USD	-39,794.25	
04AUG17	S	1	PD03NOV17	6331.00	6860.59	USD	-13,239.75	
04AUG17	S	1	PD03NOV17	6328.00	6860.59	USD	-13,314.75	
04AUG17	B	2	PD03NOV17	6365.50	6860.59	USD	24,754.50	
04AUG17	B	2	PD03NOV17	6366.00	6860.59	USD	24,729.50	
04AUG17	S	2	PD03NOV17	6360.00	6860.59	USD	-25,029.50	
04AUG17	S	2	PD03NOV17	6362.50	6860.59	USD	-24,904.50	
10AUG17	B	20	PD03NOV17	6420.33	6860.59	USD	220,130.00	
10AUG17	B	5	PD03NOV17	6420.13	6860.59	USD	55,057.50	
	NET	0 AVG BGHT 6383.21	SOLD 6336.57				-57,131.25	0.00
07AUG17	S	5	PD07NOV17	6368.00	6863.68	USD	-61,960.00	
07AUG17	B	2	PD07NOV17	6355.50	6863.68	USD	25,409.00	
07AUG17	B	2	PD07NOV17	6364.00	6863.68	USD	24,984.00	
07AUG17	B	1	PD07NOV17	6366.00	6863.68	USD	12,442.00	
07AUG17	B	1	PD07NOV17	6367.50	6863.68	USD	12,404.50	
07AUG17	B	3	PD07NOV17	6365.50	6863.68	USD	37,363.50	
07AUG17	B	2	PD07NOV17	6366.50	6863.68	USD	24,859.00	
07AUG17	B	4	PD07NOV17	6367.00	6863.68	USD	49,668.00	
07AUG17	B	1	PD07NOV17	6383.00	6863.68	USD	12,017.00	
07AUG17	B	2	PD07NOV17	6386.00	6863.68	USD	23,884.00	
07AUG17	B	1	PD07NOV17	6386.50	6863.68	USD	11,929.50	
07AUG17	B	1	PD07NOV17	6425.50	6863.68	USD	10,954.50	
07AUG17	B	2	PD07NOV17	6426.00	6863.68	USD	21,884.00	
07AUG17	B	2	PD07NOV17	6428.00	6863.68	USD	21,784.00	
07AUG17	B	1	PD07NOV17	6384.50	6863.68	USD	11,979.50	
07AUG17	S	2	PD07NOV17	6409.50	6863.68	USD	-22,709.00	
07AUG17	S	3	PD07NOV17	6410.00	6863.68	USD	-34,026.00	
07AUG17	S	5	PD07NOV17	6415.50	6863.68	USD	-56,022.50	
10AUG17	S	5	PD07NOV17	6421.57	6863.68	USD	-55,263.75	
23AUG17	S	5	PD07NOV17	6562.50	6863.68	USD	-37,647.50	
	NET	0 AVG BGHT 6381.18	SOLD 6435.47				33,933.75	0.00
08AUG17	B	4	PD08NOV17	6402.50	6864.45	USD	46,195.00	
08AUG17	B	4	PD08NOV17	6399.50	6864.45	USD	46,495.00	
08AUG17	S	3	PD08NOV17	6402.00	6864.45	USD	-34,683.75	
08AUG17	B	1	PD08NOV17	6444.50	6864.45	USD	10,498.75	
08AUG17	B	4	PD08NOV17	6445.00	6864.45	USD	41,945.00	
08AUG17	B	2	PD08NOV17	6450.50	6864.45	USD	20,697.50	
08AUG17	B	2	PD08NOV17	6454.00	6864.45	USD	20,522.50	
08AUG17	B	1	PD08NOV17	6456.50	6864.45	USD	10,198.75	
08AUG17	B	3	PD08NOV17	6469.00	6864.45	USD	29,658.75	
08AUG17	B	1	PD08NOV17	6478.50	6864.45	USD	9,648.75	
08AUG17	B	1	PD08NOV17	6473.00	6864.45	USD	9,786.25	
23AUG17	S	20	PD08NOV17	6563.00	6864.45	USD	-150,725.00	
	NET	0 AVG BGHT 6437.24	SOLD 6542.00				60,237.50	0.00
09AUG17	B	3	PD09NOV17	6496.00	6865.23	USD	27,692.25	
09AUG17	B	2	PD09NOV17	6499.50	6865.23	USD	18,286.50	
09AUG17	B	3	PD09NOV17	6470.50	6865.23	USD	29,604.75	
09AUG17	S	3	PD09NOV17	6473.50	6865.23	USD	-29,379.75	
09AUG17	S	1	PD09NOV17	6476.00	6865.23	USD	-9,730.75	
09AUG17	S	1	PD09NOV17	6477.50	6865.23	USD	-9,693.25	
09AUG17	S	1	PD09NOV17	6478.00	6865.23	USD	-9,680.75	
09AUG17	S	1	PD09NOV17	6482.50	6865.23	USD	-9,568.25	
09AUG17	S	6	PD09NOV17	6483.50	6865.23	USD	-57,259.50	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0025T

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
09AUG17	S	3	PD09NOV17	6487.50	6865.23	USD	-28,329.75	
09AUG17	S	2	PD09NOV17	6485.50	6865.23	USD	-18,986.50	
10AUG17	B	10	PD09NOV17	6422.79	6865.23	USD	110,610.00	
	NET	0AVG BGHT 6451.47	SOLD 6481.61				13,565.00	0.00
10AUG17	B	1	PD10NOV17	6460.00	6866.00	USD	10,150.00	
10AUG17	B	1	PD10NOV17	6461.00	6866.00	USD	10,125.00	
10AUG17	B	8	PD10NOV17	6461.50	6866.00	USD	80,900.00	
10AUG17	B	1	PD10NOV17	6450.00	6866.00	USD	10,400.00	
10AUG17	S	1	PD10NOV17	6457.00	6866.00	USD	-10,225.00	
10AUG17	S	2	PD10NOV17	6459.50	6866.00	USD	-20,325.00	
10AUG17	S	1	PD10NOV17	6460.00	6866.00	USD	-10,150.00	
10AUG17	S	2	PD10NOV17	6452.00	6866.00	USD	-20,700.00	
11AUG17	B	7	PD10NOV17	6394.00	6866.00	USD	82,600.00	
11AUG17	S	3	PD10NOV17	6364.50	6866.00	USD	-37,612.50	
11AUG17	S	3	PD10NOV17	6367.00	6866.00	USD	-37,425.00	
11AUG17	S	1	PD10NOV17	6368.50	6866.00	USD	-12,437.50	
11AUG17	S	1	PD10NOV17	6371.50	6866.00	USD	-12,362.50	
11AUG17	S	2	PD10NOV17	6346.50	6866.00	USD	-25,975.00	
11AUG17	S	2	PD10NOV17	6350.50	6866.00	USD	-25,775.00	
11AUG17	S	1	PD10NOV17	6351.50	6866.00	USD	-12,862.50	
11AUG17	B	3	PD10NOV17	6396.50	6866.00	USD	35,212.50	
11AUG17	S	2	PD10NOV17	6367.50	6866.00	USD	-24,925.00	
	NET	0AVG BGHT 6429.07	SOLD 6388.33				-21,387.50	0.00
14AUG17	S	1	PD14NOV17	6432.00	6864.67	USD	-10,816.75	
14AUG17	S	1	PD14NOV17	6426.00	6864.67	USD	-10,966.75	
14AUG17	S	1	PD14NOV17	6427.00	6864.67	USD	-10,941.75	
14AUG17	S	2	PD14NOV17	6427.50	6864.67	USD	-21,858.50	
14AUG17	S	1	PD14NOV17	6426.50	6864.67	USD	-10,954.25	
14AUG17	S	2	PD14NOV17	6438.50	6864.67	USD	-21,308.50	
14AUG17	S	2	PD14NOV17	6442.50	6864.67	USD	-21,108.50	
14AUG17	S	5	PD14NOV17	6414.50	6864.67	USD	-56,271.25	
14AUG17	B	1	PD14NOV17	6396.00	6864.67	USD	11,716.75	
14AUG17	B	3	PD14NOV17	6402.00	6864.67	USD	34,700.25	
14AUG17	B	1	PD14NOV17	6399.00	6864.67	USD	11,641.75	
14AUG17	B	3	PD14NOV17	6406.50	6864.67	USD	34,362.75	
14AUG17	B	1	PD14NOV17	6407.50	6864.67	USD	11,429.25	
14AUG17	B	1	PD14NOV17	6409.00	6864.67	USD	11,391.75	
23AUG17	B	5	PD14NOV17	6565.70	6864.67	USD	37,371.25	
	NET	0AVG BGHT 6457.70	SOLD 6426.73				-11,612.50	0.00
15AUG17	B	3	PD15NOV17	6400.00	6864.00	USD	34,800.00	
15AUG17	B	2	PD15NOV17	6401.50	6864.00	USD	23,125.00	
15AUG17	S	2	PD15NOV17	6371.50	6864.00	USD	-24,625.00	
15AUG17	S	3	PD15NOV17	6380.50	6864.00	USD	-36,262.50	
15AUG17	S	3	PD15NOV17	6363.50	6864.00	USD	-37,537.50	
15AUG17	S	2	PD15NOV17	6368.00	6864.00	USD	-24,800.00	
15AUG17	S	5	PD15NOV17	6381.00	6864.00	USD	-60,375.00	
23AUG17	B	10	PD15NOV17	6565.70	6864.00	USD	74,575.00	
	NET	0AVG BGHT 6510.67	SOLD 6374.40				-51,100.00	0.00
16AUG17	S	1	PD16NOV17	6363.00	6863.00	USD	-12,500.00	
16AUG17	S	1	PD16NOV17	6363.50	6863.00	USD	-12,487.50	
16AUG17	S	3	PD16NOV17	6365.50	6863.00	USD	-37,312.50	
16AUG17	S	2	PD16NOV17	6380.50	6863.00	USD	-24,125.00	
16AUG17	S	3	PD16NOV17	6379.50	6863.00	USD	-36,262.50	
16AUG17	B	5	PD16NOV17	6400.00	6863.00	USD	57,875.00	
16AUG17	B	5	PD16NOV17	6434.00	6863.00	USD	53,625.00	
16AUG17	B	5	PD16NOV17	6435.00	6863.00	USD	53,500.00	

OPEN POSITION STATEMENT



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SAP0025T

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
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backoffice@sapiencap.com

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
16AUG17	B	1	PD16NOV17	6467.50	6863.00	USD	9,887.50	
16AUG17	B	1	PD16NOV17	6469.50	6863.00	USD	9,837.50	
16AUG17	B	1	PD16NOV17	6470.50	6863.00	USD	9,812.50	
16AUG17	B	2	PD16NOV17	6471.50	6863.00	USD	19,575.00	
16AUG17	B	3	PD16NOV17	6489.50	6863.00	USD	28,012.50	
16AUG17	B	2	PD16NOV17	6490.00	6863.00	USD	18,650.00	
16AUG17	B	5	PD16NOV17	6516.50	6863.00	USD	43,312.50	
16AUG17	B	5	PD16NOV17	6540.00	6863.00	USD	40,375.00	
16AUG17	B	5	PD16NOV17	6536.00	6863.00	USD	40,875.00	
23AUG17	S	15	PD16NOV17	6563.50	6863.00	USD	-112,312.50	
	NET	+15 AVG BGHT 6477.66	SOLD 6487.00				150,337.50	0.00
17AUG17	S	2	PD17NOV17	6469.50	6863.47	USD	-19,698.50	
17AUG17	S	3	PD17NOV17	6472.50	6863.47	USD	-29,322.75	
18AUG17	S	3	PD17NOV17	6453.50	6863.47	USD	-30,747.75	
18AUG17	S	1	PD17NOV17	6454.50	6863.47	USD	-10,224.25	
18AUG17	S	1	PD17NOV17	6456.00	6863.47	USD	-10,186.75	
18AUG17	S	1	PD17NOV17	6456.50	6863.47	USD	-10,174.25	
18AUG17	S	4	PD17NOV17	6457.50	6863.47	USD	-40,597.00	
18AUG17	S	2	PD17NOV17	6461.00	6863.47	USD	-20,123.50	
18AUG17	S	1	PD17NOV17	6462.50	6863.47	USD	-10,024.25	
18AUG17	S	1	PD17NOV17	6463.50	6863.47	USD	-9,999.25	
18AUG17	S	1	PD17NOV17	6467.00	6863.47	USD	-9,911.75	
18AUG17	S	3	PD17NOV17	6505.00	6863.47	USD	-26,885.25	
18AUG17	S	4	PD17NOV17	6505.50	6863.47	USD	-35,797.00	
18AUG17	S	1	PD17NOV17	6506.00	6863.47	USD	-8,936.75	
18AUG17	S	1	PD17NOV17	6506.50	6863.47	USD	-8,924.25	
18AUG17	S	1	PD17NOV17	6507.50	6863.47	USD	-8,899.25	
18AUG17	S	3	PD17NOV17	6509.00	6863.47	USD	-26,585.25	
18AUG17	S	2	PD17NOV17	6509.50	6863.47	USD	-17,698.50	
18AUG17	B	5	PD17NOV17	6489.50	6863.47	USD	46,746.25	
18AUG17	B	1	PD17NOV17	6490.00	6863.47	USD	9,336.75	
18AUG17	B	1	PD17NOV17	6497.00	6863.47	USD	9,161.75	
18AUG17	B	1	PD17NOV17	6492.00	6863.47	USD	9,286.75	
18AUG17	B	2	PD17NOV17	6492.50	6863.47	USD	18,548.50	
18AUG17	B	1	PD17NOV17	6494.50	6863.47	USD	9,224.25	
18AUG17	B	1	PD17NOV17	6495.00	6863.47	USD	9,211.75	
18AUG17	B	3	PD17NOV17	6496.00	6863.47	USD	27,560.25	
18AUG17	S	1	PD17NOV17	6480.50	6863.47	USD	-9,574.25	
18AUG17	S	1	PD17NOV17	6481.50	6863.47	USD	-9,549.25	
18AUG17	S	1	PD17NOV17	6482.00	6863.47	USD	-9,536.75	
18AUG17	S	2	PD17NOV17	6483.00	6863.47	USD	-19,023.50	
23AUG17	B	25	PD17NOV17	6563.89	6863.47	USD	187,237.50	
	NET	0AVG BGHT 6537.16	SOLD 6481.05				-56,106.25	0.00
21AUG17	S	2	PD21NOV17	6476.00	6865.33	USD	-19,466.50	
21AUG17	S	2	PD21NOV17	6478.50	6865.33	USD	-19,341.50	
21AUG17	S	1	PD21NOV17	6479.00	6865.33	USD	-9,658.25	
21AUG17	B	1	PD21NOV17	6546.00	6865.33	USD	7,983.25	
21AUG17	B	1	PD21NOV17	6545.00	6865.33	USD	8,008.25	
21AUG17	B	2	PD21NOV17	6545.50	6865.33	USD	15,991.50	
21AUG17	B	1	PD21NOV17	6542.50	6865.33	USD	8,070.75	
21AUG17	B	2	PD21NOV17	6552.00	6865.33	USD	15,666.50	
21AUG17	B	1	PD21NOV17	6554.50	6865.33	USD	7,770.75	
21AUG17	B	1	PD21NOV17	6566.00	6865.33	USD	7,483.25	
21AUG17	B	1	PD21NOV17	6568.00	6865.33	USD	7,433.25	
21AUG17	S	1	PD21NOV17	6558.00	6865.33	USD	-7,683.25	
21AUG17	B	1	PD21NOV17	6555.50	6865.33	USD	7,745.75	
21AUG17	B	3	PD21NOV17	6623.00	6865.33	USD	18,174.75	

OPEN POSITION STATEMENT



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SAP0025T

13th October 2017

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21AUG17	B	2	PD21NOV17	6612.00	6865.33	USD	12,666.50	
21AUG17	B	1	PD21NOV17	6572.00	6865.33	USD	7,333.25	
21AUG17	B	1	PD21NOV17	6574.50	6865.33	USD	7,270.75	
21AUG17	B	1	PD21NOV17	6575.50	6865.33	USD	7,245.75	
21AUG17	B	2	PD21NOV17	6576.00	6865.33	USD	14,466.50	
	NET	+15 AVG BGHT 6573.31	SOLD 6491.00				97,161.25	0.00
22AUG17	B	2	PD22NOV17	6548.50	6865.80	USD	15,865.00	
22AUG17	B	3	PD22NOV17	6550.50	6865.80	USD	23,647.50	
22AUG17	S	1	PD22NOV17	6572.00	6865.80	USD	-7,345.00	
22AUG17	S	4	PD22NOV17	6574.50	6865.80	USD	-29,130.00	
22AUG17	B	4	PD22NOV17	6590.00	6865.80	USD	27,580.00	
22AUG17	B	1	PD22NOV17	6591.50	6865.80	USD	6,857.50	
22AUG17	B	1	PD22NOV17	6614.50	6865.80	USD	6,282.50	
22AUG17	B	1	PD22NOV17	6615.50	6865.80	USD	6,257.50	
22AUG17	B	3	PD22NOV17	6616.50	6865.80	USD	18,697.50	
22AUG17	B	1	PD22NOV17	6631.50	6865.80	USD	5,857.50	
22AUG17	B	1	PD22NOV17	6632.00	6865.80	USD	5,845.00	
22AUG17	B	2	PD22NOV17	6644.50	6865.80	USD	11,065.00	
22AUG17	B	1	PD22NOV17	6635.50	6865.80	USD	5,757.50	
22AUG17	B	1	PD22NOV17	6641.50	6865.80	USD	5,607.50	
22AUG17	B	3	PD22NOV17	6634.50	6865.80	USD	17,347.50	
22AUG17	B	1	PD22NOV17	6635.00	6865.80	USD	5,770.00	
22AUG17	S	2	PD22NOV17	6610.00	6865.80	USD	-12,790.00	
22AUG17	S	2	PD22NOV17	6612.00	6865.80	USD	-12,690.00	
22AUG17	S	1	PD22NOV17	6616.00	6865.80	USD	-6,245.00	
22AUG17	B	2	PD22NOV17	6579.00	6865.80	USD	14,340.00	
22AUG17	B	3	PD22NOV17	6580.00	6865.80	USD	21,435.00	
	NET	+20 AVG BGHT 6601.52	SOLD 6593.00				130,012.50	0.00
23AUG17	B	1	PD24NOV17	6592.00	6866.73	USD	6,868.25	
23AUG17	S	1	PD24NOV17	6592.50	6866.73	USD	-6,855.75	
23AUG17	B	5	PD24NOV17	6567.00	6866.73	USD	37,466.25	
23AUG17	B	5	PD24NOV17	6559.50	6866.73	USD	38,403.75	
23AUG17	B	1	PD24NOV17	6540.00	6866.73	USD	8,168.25	
23AUG17	B	1	PD24NOV17	6552.50	6866.73	USD	7,855.75	
23AUG17	B	2	PD24NOV17	6553.00	6866.73	USD	15,686.50	
23AUG17	B	1	PD24NOV17	6553.50	6866.73	USD	7,830.75	
23AUG17	B	1	PD24NOV17	6554.00	6866.73	USD	7,818.25	
23AUG17	B	1	PD24NOV17	6558.00	6866.73	USD	7,718.25	
23AUG17	B	1	PD24NOV17	6560.00	6866.73	USD	7,668.25	
23AUG17	S	1	PD24NOV17	6568.50	6866.73	USD	-7,455.75	
23AUG17	S	1	PD24NOV17	6571.00	6866.73	USD	-7,393.25	
23AUG17	S	2	PD24NOV17	6572.50	6866.73	USD	-14,711.50	
23AUG17	S	2	PD24NOV17	6579.50	6866.73	USD	-14,361.50	
23AUG17	S	1	PD24NOV17	6576.00	6866.73	USD	-7,268.25	
23AUG17	S	1	PD24NOV17	6578.00	6866.73	USD	-7,218.25	
23AUG17	S	1	PD24NOV17	6586.00	6866.73	USD	-7,018.25	
23AUG17	S	1	PD24NOV17	6587.50	6866.73	USD	-6,980.75	
23AUG17	S	7	PD24NOV17	6588.00	6866.73	USD	-48,777.75	
23AUG17	S	1	PD24NOV17	6589.00	6866.73	USD	-6,943.25	
23AUG17	B	5	PD24NOV17	6579.00	6866.73	USD	35,966.25	
23AUG17	B	1	PD24NOV17	6571.50	6866.73	USD	7,380.75	
23AUG17	B	1	PD24NOV17	6582.00	6866.73	USD	7,118.25	
23AUG17	B	1	PD24NOV17	6584.00	6866.73	USD	7,068.25	
23AUG17	B	1	PD24NOV17	6560.50	6866.73	USD	7,655.75	
23AUG17	B	1	PD24NOV17	6565.00	6866.73	USD	7,543.25	
23AUG17	S	4	PD24NOV17	6570.50	6866.73	USD	-29,623.00	
23AUG17	S	1	PD24NOV17	6571.50	6866.73	USD	-7,380.75	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0025T

13th October 2017

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backoffice@sapiencap.com

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
24AUG17	B	1	PD24NOV17	6601.50	6866.73	USD	6,630.75	
24AUG17	B	1	PD24NOV17	6609.50	6866.73	USD	6,430.75	
24AUG17	B	1	PD24NOV17	6610.50	6866.73	USD	6,405.75	
24AUG17	B	1	PD24NOV17	6611.50	6866.73	USD	6,380.75	
24AUG17	B	1	PD24NOV17	6627.50	6866.73	USD	5,980.75	
24AUG17	S	1	PD24NOV17	6610.50	6866.73	USD	-6,405.75	
24AUG17	S	1	PD24NOV17	6611.00	6866.73	USD	-6,393.25	
24AUG17	S	3	PD24NOV17	6613.00	6866.73	USD	-19,029.75	
24AUG17	S	1	PD24NOV17	6616.50	6866.73	USD	-6,255.75	
24AUG17	B	1	PD24NOV17	6606.00	6866.73	USD	6,518.25	
24AUG17	S	1	PD24NOV17	6620.50	6866.73	USD	-6,155.75	
24AUG17	S	2	PD24NOV17	6631.50	6866.73	USD	-11,761.50	
24AUG17	S	1	PD24NOV17	6629.50	6866.73	USD	-5,930.75	
24AUG17	S	1	PD24NOV17	6634.00	6866.73	USD	-5,818.25	
24AUG17	S	2	PD24NOV17	6634.50	6866.73	USD	-11,611.50	
24AUG17	S	2	PD24NOV17	6639.00	6866.73	USD	-11,386.50	
24AUG17	S	1	PD24NOV17	6658.50	6866.73	USD	-5,205.75	
24AUG17	S	2	PD24NOV17	6670.00	6866.73	USD	-9,836.50	
24AUG17	S	2	PD24NOV17	6681.50	6866.73	USD	-9,261.50	
24AUG17	B	1	PD24NOV17	6668.50	6866.73	USD	4,955.75	
24AUG17	B	2	PD24NOV17	6669.00	6866.73	USD	9,886.50	
24AUG17	S	2	PD24NOV17	6689.50	6866.73	USD	-8,861.50	
24AUG17	B	2	PD24NOV17	6693.50	6866.73	USD	8,661.50	
24AUG17	S	2	PD24NOV17	6700.50	6866.73	USD	-8,311.50	
24AUG17	S	2	PD24NOV17	6710.00	6866.73	USD	-7,836.50	
24AUG17	S	2	PD24NOV17	6711.50	6866.73	USD	-7,761.50	
24AUG17	S	2	PD24NOV17	6712.00	6866.73	USD	-7,736.50	
24AUG17	S	2	PD24NOV17	6709.00	6866.73	USD	-7,886.50	
24AUG17	S	2	PD24NOV17	6714.00	6866.73	USD	-7,636.50	
24AUG17	S	2	PD24NOV17	6715.00	6866.73	USD	-7,586.50	
24AUG17	S	3	PD24NOV17	6717.50	6866.73	USD	-11,192.25	
24AUG17	S	2	PD24NOV17	6718.50	6866.73	USD	-7,411.50	
24AUG17	S	2	PD24NOV17	6720.50	6866.73	USD	-7,311.50	
24AUG17	S	2	PD24NOV17	6729.50	6866.73	USD	-6,861.50	
24AUG17	S	1	PD24NOV17	6693.00	6866.73	USD	-4,343.25	
25AUG17	B	1	PD24NOV17	6703.00	6866.73	USD	4,093.25	
25AUG17	B	2	PD24NOV17	6704.00	6866.73	USD	8,136.50	
25AUG17	B	2	PD24NOV17	6705.50	6866.73	USD	8,061.50	
25AUG17	B	5	PD24NOV17	6724.50	6866.73	USD	17,778.75	
25AUG17	B	3	PD24NOV17	6729.50	6866.73	USD	10,292.25	
25AUG17	B	2	PD24NOV17	6730.50	6866.73	USD	6,811.50	
25AUG17	S	4	PD24NOV17	6707.00	6866.73	USD	-15,973.00	
25AUG17	S	1	PD24NOV17	6703.50	6866.73	USD	-4,080.75	
25AUG17	B	1	PD24NOV17	6699.50	6866.73	USD	4,180.75	
25AUG17	B	1	PD24NOV17	6700.00	6866.73	USD	4,168.25	
25AUG17	S	2	PD24NOV17	6700.00	6866.73	USD	-8,336.50	
	NET	-20 AVG BGHT 6625.61	SOLD 6650.54				-72,577.50	0.00
29AUG17	B	1	PD29NOV17	6757.00	6869.07	USD	2,801.75	
29AUG17	S	5	PD29NOV17	6789.00	6869.07	USD	-10,008.75	
29AUG17	B	1	PD29NOV17	6754.00	6869.07	USD	2,876.75	
29AUG17	B	4	PD29NOV17	6755.50	6869.07	USD	11,357.00	
29AUG17	S	4	PD29NOV17	6790.00	6869.07	USD	-7,907.00	
29AUG17	B	1	PD29NOV17	6783.50	6869.07	USD	2,139.25	
29AUG17	S	1	PD29NOV17	6799.00	6869.07	USD	-1,751.75	
29AUG17	B	3	PD29NOV17	6765.50	6869.07	USD	7,767.75	
29AUG17	B	1	PD29NOV17	6785.00	6869.07	USD	2,101.75	
29AUG17	S	1	PD29NOV17	6773.00	6869.07	USD	-2,401.75	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
29AUG17	S	2	PD29NOV17	6785.50	6869.07	USD	-4,178.50	
29AUG17	B	1	PD29NOV17	6752.00	6869.07	USD	2,926.75	
29AUG17	B	1	PD29NOV17	6754.50	6869.07	USD	2,864.25	
29AUG17	S	1	PD29NOV17	6762.50	6869.07	USD	-2,664.25	
29AUG17	S	2	PD29NOV17	6783.50	6869.07	USD	-4,278.50	
29AUG17	S	4	PD29NOV17	6791.00	6869.07	USD	-7,807.00	
29AUG17	S	4	PD29NOV17	6798.50	6869.07	USD	-7,057.00	
29AUG17	B	2	PD29NOV17	6780.50	6869.07	USD	4,428.50	
29AUG17	S	2	PD29NOV17	6769.50	6869.07	USD	-4,978.50	
29AUG17	S	3	PD29NOV17	6770.00	6869.07	USD	-7,430.25	
29AUG17	S	2	PD29NOV17	6784.50	6869.07	USD	-4,228.50	
29AUG17	S	3	PD29NOV17	6786.50	6869.07	USD	-6,192.75	
29AUG17	S	1	PD29NOV17	6805.00	6869.07	USD	-1,601.75	
29AUG17	B	3	PD29NOV17	6815.00	6869.07	USD	4,055.25	
29AUG17	S	3	PD29NOV17	6813.50	6869.07	USD	-4,167.75	
29AUG17	S	1	PD29NOV17	6817.50	6869.07	USD	-1,289.25	
29AUG17	B	1	PD29NOV17	6812.00	6869.07	USD	1,426.75	
29AUG17	B	1	PD29NOV17	6814.50	6869.07	USD	1,364.25	
29AUG17	B	1	PD29NOV17	6779.00	6869.07	USD	2,251.75	
29AUG17	B	2	PD29NOV17	6780.00	6869.07	USD	4,453.50	
29AUG17	B	1	PD29NOV17	6781.00	6869.07	USD	2,201.75	
29AUG17	B	5	PD29NOV17	6817.00	6869.07	USD	6,508.75	
29AUG17	B	5	PD29NOV17	6819.00	6869.07	USD	6,258.75	
29AUG17	B	3	PD29NOV17	6801.50	6869.07	USD	5,067.75	
29AUG17	B	2	PD29NOV17	6802.50	6869.07	USD	3,328.50	
29AUG17	B	10	PD29NOV17	6804.00	6869.07	USD	16,267.50	
29AUG17	B	5	PD29NOV17	6799.50	6869.07	USD	8,696.25	
29AUG17	B	5	PD29NOV17	6792.00	6869.07	USD	9,633.75	
29AUG17	B	5	PD29NOV17	6790.50	6869.07	USD	9,821.25	
29AUG17	B	4	PD29NOV17	6800.50	6869.07	USD	6,857.00	
29AUG17	B	1	PD29NOV17	6802.00	6869.07	USD	1,676.75	
	NET	+30 AVG BGHT 6794.21	SOLD 6789.13				51,190.00	0.00
30AUG17	B	2	PD30NOV17	6775.50	6869.53	USD	4,701.50	
30AUG17	B	1	PD30NOV17	6782.00	6869.53	USD	2,188.25	
30AUG17	B	1	PD30NOV17	6785.50	6869.53	USD	2,100.75	
30AUG17	B	1	PD30NOV17	6794.50	6869.53	USD	1,875.75	
30AUG17	S	4	PD30NOV17	6785.50	6869.53	USD	-8,403.00	
30AUG17	S	4	PD30NOV17	6788.00	6869.53	USD	-8,153.00	
30AUG17	S	2	PD30NOV17	6789.00	6869.53	USD	-4,026.50	
30AUG17	S	2	PD30NOV17	6790.50	6869.53	USD	-3,951.50	
30AUG17	S	2	PD30NOV17	6792.50	6869.53	USD	-3,851.50	
30AUG17	S	2	PD30NOV17	6786.00	6869.53	USD	-4,176.50	
30AUG17	S	1	PD30NOV17	6786.50	6869.53	USD	-2,075.75	
30AUG17	S	2	PD30NOV17	6787.00	6869.53	USD	-4,126.50	
30AUG17	S	1	PD30NOV17	6791.50	6869.53	USD	-1,950.75	
31AUG17	S	1	PD30NOV17	6785.00	6869.53	USD	-2,113.25	
31AUG17	S	2	PD30NOV17	6786.00	6869.53	USD	-4,176.50	
31AUG17	S	1	PD30NOV17	6795.50	6869.53	USD	-1,850.75	
31AUG17	S	1	PD30NOV17	6796.00	6869.53	USD	-1,838.25	
31AUG17	S	2	PD30NOV17	6806.00	6869.53	USD	-3,176.50	
31AUG17	S	3	PD30NOV17	6806.50	6869.53	USD	-4,727.25	
31AUG17	B	5	PD30NOV17	6836.00	6869.53	USD	4,191.25	
31AUG17	B	5	PD30NOV17	6834.00	6869.53	USD	4,441.25	
31AUG17	B	6	PD30NOV17	6834.50	6869.53	USD	5,254.50	
31AUG17	B	4	PD30NOV17	6835.00	6869.53	USD	3,453.00	
31AUG17	B	5	PD30NOV17	6825.50	6869.53	USD	5,503.75	
31AUG17	S	2	PD30NOV17	6788.50	6869.53	USD	-4,051.50	

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31AUG17	S	2	PD30NOV17	6789.50	6869.53	USD	-4,001.50	
31AUG17	S	1	PD30NOV17	6797.50	6869.53	USD	-1,800.75	
31AUG17	B	1	PD30NOV17	6781.00	6869.53	USD	2,213.25	
31AUG17	B	1	PD30NOV17	6781.50	6869.53	USD	2,200.75	
31AUG17	B	2	PD30NOV17	6790.50	6869.53	USD	3,951.50	
31AUG17	B	1	PD30NOV17	6793.50	6869.53	USD	1,900.75	
	NET	0 AVG BGHT 6819.27	SOLD 6791.30				-24,475.00	0.00
01SEP17	B	3	PD01DEC17	6803.50	6870.00	USD	4,987.50	
01SEP17	B	1	PD01DEC17	6804.00	6870.00	USD	1,650.00	
01SEP17	B	1	PD01DEC17	6805.00	6870.00	USD	1,625.00	
01SEP17	S	5	PD01DEC17	6824.50	6870.00	USD	-5,687.50	
	NET	0 AVG BGHT 6803.90	SOLD 6824.50				2,575.00	0.00
04SEP17	B	5	PD04DEC17	6860.00	6871.20	USD	1,400.00	
04SEP17	S	1	PD04DEC17	6884.50	6871.20	USD	332.50	
04SEP17	B	1	PD04DEC17	6910.50	6871.20	USD	-982.50	
04SEP17	S	5	PD04DEC17	6882.50	6871.20	USD	1,412.50	
04SEP17	S	5	PD04DEC17	6890.00	6871.20	USD	2,350.00	
04SEP17	S	5	PD04DEC17	6890.50	6871.20	USD	2,412.50	
04SEP17	S	4	PD04DEC17	6891.00	6871.20	USD	1,980.00	
04SEP17	S	1	PD04DEC17	6891.50	6871.20	USD	507.50	
04SEP17	B	4	PD04DEC17	6880.00	6871.20	USD	-880.00	
04SEP17	B	1	PD04DEC17	6881.50	6871.20	USD	-257.50	
04SEP17	B	1	PD04DEC17	6885.50	6871.20	USD	-357.50	
04SEP17	B	9	PD04DEC17	6886.00	6871.20	USD	-3,330.00	
04SEP17	B	1	PD04DEC17	6887.00	6871.20	USD	-395.00	
04SEP17	S	5	PD04DEC17	6880.00	6871.20	USD	1,100.00	
04SEP17	S	1	PD04DEC17	6888.50	6871.20	USD	432.50	
04SEP17	S	5	PD04DEC17	6905.00	6871.20	USD	4,225.00	
04SEP17	B	3	PD04DEC17	6909.00	6871.20	USD	-2,835.00	
04SEP17	B	1	PD04DEC17	6910.00	6871.20	USD	-970.00	
04SEP17	B	1	PD04DEC17	6915.00	6871.20	USD	-1,095.00	
	NET	-5 AVG BGHT 6885.57	SOLD 6889.64				5,050.00	0.00
05SEP17	B	5	PD05DEC17	6927.50	6871.60	USD	-6,987.50	
05SEP17	B	1	PD05DEC17	6928.50	6871.60	USD	-1,422.50	
05SEP17	B	1	PD05DEC17	6929.00	6871.60	USD	-1,435.00	
05SEP17	B	1	PD05DEC17	6930.00	6871.60	USD	-1,460.00	
05SEP17	B	1	PD05DEC17	6929.50	6871.60	USD	-1,447.50	
05SEP17	B	6	PD05DEC17	6930.50	6871.60	USD	-8,835.00	
	NET	+15 AVG BGHT 6929.17					-21,587.50	0.00
06SEP17	S	1	PD06DEC17	6879.50	6872.00	USD	187.50	
06SEP17	S	7	PD06DEC17	6880.50	6872.00	USD	1,487.50	
06SEP17	S	1	PD06DEC17	6881.00	6872.00	USD	225.00	
06SEP17	S	1	PD06DEC17	6881.50	6872.00	USD	237.50	
06SEP17	S	1	PD06DEC17	6885.00	6872.00	USD	325.00	
06SEP17	B	2	PD06DEC17	6899.50	6872.00	USD	-1,375.00	
06SEP17	B	1	PD06DEC17	6900.00	6872.00	USD	-700.00	
06SEP17	B	1	PD06DEC17	6900.50	6872.00	USD	-712.50	
06SEP17	B	1	PD06DEC17	6901.00	6872.00	USD	-725.00	
06SEP17	S	5	PD06DEC17	6887.00	6872.00	USD	1,875.00	
06SEP17	S	2	PD06DEC17	6889.00	6872.00	USD	850.00	
06SEP17	S	1	PD06DEC17	6890.50	6872.00	USD	462.50	
06SEP17	S	5	PD06DEC17	6911.50	6872.00	USD	4,937.50	
06SEP17	B	1	PD06DEC17	6891.50	6872.00	USD	-487.50	
06SEP17	B	1	PD06DEC17	6892.50	6872.00	USD	-512.50	
06SEP17	B	2	PD06DEC17	6894.00	6872.00	USD	-1,100.00	
06SEP17	B	1	PD06DEC17	6894.50	6872.00	USD	-562.50	

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06SEP17	S	5	PD06DEC17		6872.50	6872.00	USD	62.50	
06SEP17	S	2	PD06DEC17		6874.00	6872.00	USD	100.00	
06SEP17	S	2	PD06DEC17		6874.50	6872.00	USD	125.00	
06SEP17	S	1	PD06DEC17		6875.50	6872.00	USD	87.50	
06SEP17	S	3	PD06DEC17		6886.50	6872.00	USD	1,087.50	
06SEP17	S	2	PD06DEC17		6891.50	6872.00	USD	975.00	
06SEP17	S	1	PD06DEC17		6902.00	6872.00	USD	750.00	
06SEP17	S	4	PD06DEC17		6888.00	6872.00	USD	1,600.00	
06SEP17	S	5	PD06DEC17		6893.50	6872.00	USD	2,687.50	
06SEP17	S	6	PD06DEC17		6885.50	6872.00	USD	2,025.00	
06SEP17	S	2	PD06DEC17		6878.50	6872.00	USD	325.00	
06SEP17	S	1	PD06DEC17		6879.00	6872.00	USD	175.00	
06SEP17	S	7	PD06DEC17		6886.00	6872.00	USD	2,450.00	
	NET	-55 AVG BGHT 6896.70	SOLD 6886.18					16,862.50	0.00
07SEP17	B	2	PD07DEC17		6926.50	6872.42	USD	-2,704.00	
07SEP17	B	5	PD07DEC17		6927.50	6872.42	USD	-6,885.00	
07SEP17	B	3	PD07DEC17		6928.00	6872.42	USD	-4,168.50	
07SEP17	B	2	PD07DEC17		6912.00	6872.42	USD	-1,979.00	
07SEP17	B	1	PD07DEC17		6922.00	6872.42	USD	-1,239.50	
07SEP17	B	4	PD07DEC17		6923.00	6872.42	USD	-5,058.00	
07SEP17	B	2	PD07DEC17		6925.50	6872.42	USD	-2,654.00	
07SEP17	B	6	PD07DEC17		6922.50	6872.42	USD	-7,512.00	
07SEP17	S	5	PD07DEC17		6858.00	6872.42	USD	-1,802.50	
07SEP17	S	5	PD07DEC17		6858.50	6872.42	USD	-1,740.00	
07SEP17	S	2	PD07DEC17		6859.00	6872.42	USD	-671.00	
07SEP17	S	6	PD07DEC17		6860.00	6872.42	USD	-1,863.00	
07SEP17	S	2	PD07DEC17		6862.00	6872.42	USD	-521.00	
07SEP17	S	5	PD07DEC17		6863.00	6872.42	USD	-1,177.50	
07SEP17	S	2	PD07DEC17		6864.00	6872.42	USD	-421.00	
07SEP17	S	1	PD07DEC17		6866.50	6872.42	USD	-148.00	
07SEP17	S	3	PD07DEC17		6870.50	6872.42	USD	-144.00	
07SEP17	S	2	PD07DEC17		6875.50	6872.42	USD	154.00	
07SEP17	S	2	PD07DEC17		6878.50	6872.42	USD	304.00	
07SEP17	S	5	PD07DEC17		6864.50	6872.42	USD	-990.00	
07SEP17	B	5	PD07DEC17		6864.50	6872.42	USD	990.00	
07SEP17	B	5	PD07DEC17		6865.00	6872.42	USD	927.50	
07SEP17	B	5	PD07DEC17		6860.50	6872.42	USD	1,490.00	
07SEP17	S	5	PD07DEC17		6903.00	6872.42	USD	3,822.50	
07SEP17	S	5	PD07DEC17		6890.50	6872.42	USD	2,260.00	
07SEP17	S	5	PD07DEC17		6872.50	6872.42	USD	10.00	
	NET	-15 AVG BGHT 6901.21	SOLD 6870.29					-31,720.00	0.00
08SEP17	B	5	PD08DEC17		6924.50	6872.83	USD	-6,458.75	
08SEP17	B	4	PD08DEC17		6852.00	6872.83	USD	2,083.00	
08SEP17	B	2	PD08DEC17		6860.00	6872.83	USD	641.50	
08SEP17	B	3	PD08DEC17		6860.50	6872.83	USD	924.75	
08SEP17	B	5	PD08DEC17		6843.50	6872.83	USD	3,666.25	
08SEP17	S	5	PD08DEC17		6852.00	6872.83	USD	-2,603.75	
08SEP17	S	5	PD08DEC17		6851.50	6872.83	USD	-2,666.25	
08SEP17	S	2	PD08DEC17		6854.50	6872.83	USD	-916.50	
08SEP17	S	2	PD08DEC17		6858.50	6872.83	USD	-716.50	
08SEP17	S	4	PD08DEC17		6824.50	6872.83	USD	-4,833.00	
08SEP17	S	5	PD08DEC17		6832.50	6872.83	USD	-5,041.25	
08SEP17	B	5	PD08DEC17		6824.00	6872.83	USD	6,103.75	
08SEP17	B	5	PD08DEC17		6826.00	6872.83	USD	5,853.75	
08SEP17	S	5	PD08DEC17		6812.00	6872.83	USD	-7,603.75	
08SEP17	S	5	PD08DEC17		6820.50	6872.83	USD	-6,541.25	
08SEP17	S	1	PD08DEC17		6836.00	6872.83	USD	-920.75	

OPEN POSITION STATEMENT



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SAP0025T

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
08SEP17	S	6	PD08DEC17	6829.50	6872.83	USD	-6,499.50	
08SEP17	B	1	PD08DEC17	6818.00	6872.83	USD	1,370.75	
08SEP17	B	4	PD08DEC17	6818.50	6872.83	USD	5,433.00	
08SEP17	B	5	PD08DEC17	6823.00	6872.83	USD	6,228.75	
08SEP17	B	1	PD08DEC17	6851.50	6872.83	USD	533.25	
08SEP17	B	1	PD08DEC17	6683.50	6872.83	USD	4,733.25	
08SEP17	B	3	PD08DEC17	6683.00	6872.83	USD	14,237.25	
08SEP17	B	1	PD08DEC17	6679.00	6872.83	USD	4,845.75	
08SEP17	B	4	PD08DEC17	6678.00	6872.83	USD	19,483.00	
08SEP17	B	1	PD08DEC17	6680.00	6872.83	USD	4,820.75	
	NET	+10 AVG BGHT 6813.23	SOLD 6834.49				36,157.50	0.00
11SEP17	B	5	PD11DEC17	6702.00	6874.08	USD	21,510.00	
11SEP17	B	2	PD11DEC17	6705.50	6874.08	USD	8,429.00	
11SEP17	B	3	PD11DEC17	6706.50	6874.08	USD	12,568.50	
11SEP17	S	4	PD11DEC17	6698.00	6874.08	USD	-17,608.00	
11SEP17	S	1	PD11DEC17	6699.00	6874.08	USD	-4,377.00	
11SEP17	S	2	PD11DEC17	6706.00	6874.08	USD	-8,404.00	
11SEP17	S	3	PD11DEC17	6703.50	6874.08	USD	-12,793.50	
11SEP17	B	1	PD11DEC17	6727.50	6874.08	USD	3,664.50	
11SEP17	B	1	PD11DEC17	6728.00	6874.08	USD	3,652.00	
11SEP17	B	1	PD11DEC17	6729.00	6874.08	USD	3,627.00	
11SEP17	B	1	PD11DEC17	6730.00	6874.08	USD	3,602.00	
11SEP17	B	1	PD11DEC17	6730.50	6874.08	USD	3,589.50	
11SEP17	S	3	PD11DEC17	6747.50	6874.08	USD	-9,493.50	
	NET	+2 AVG BGHT 6712.37	SOLD 6712.00				7,966.50	0.00
12SEP17	S	1	PD12DEC17	6715.00	6874.50	USD	-3,987.50	
12SEP17	S	1	PD12DEC17	6718.00	6874.50	USD	-3,912.50	
12SEP17	S	5	PD12DEC17	6700.00	6874.50	USD	-21,812.50	
12SEP17	B	5	PD12DEC17	6707.50	6874.50	USD	20,875.00	
12SEP17	B	2	PD12DEC17	6714.50	6874.50	USD	8,000.00	
12SEP17	B	5	PD12DEC17	6733.50	6874.50	USD	17,625.00	
12SEP17	S	2	PD12DEC17	6707.50	6874.50	USD	-8,350.00	
12SEP17	B	3	PD12DEC17	6729.50	6874.50	USD	10,875.00	
12SEP17	B	2	PD12DEC17	6730.00	6874.50	USD	7,225.00	
12SEP17	B	2	PD12DEC17	6723.00	6874.50	USD	7,575.00	
12SEP17	B	3	PD12DEC17	6724.50	6874.50	USD	11,250.00	
12SEP17	B	2	PD12DEC17	6675.00	6874.50	USD	9,975.00	
12SEP17	B	3	PD12DEC17	6676.00	6874.50	USD	14,887.50	
12SEP17	B	5	PD12DEC17	6655.00	6874.50	USD	27,437.50	
12SEP17	B	1	PD12DEC17	6650.50	6874.50	USD	5,600.00	
12SEP17	B	2	PD12DEC17	6651.00	6874.50	USD	11,175.00	
12SEP17	B	3	PD12DEC17	6651.50	6874.50	USD	16,725.00	
12SEP17	B	4	PD12DEC17	6652.50	6874.50	USD	22,200.00	
12SEP17	B	1	PD12DEC17	6647.50	6874.50	USD	5,675.00	
12SEP17	S	3	PD12DEC17	6643.00	6874.50	USD	-17,362.50	
12SEP17	S	2	PD12DEC17	6644.00	6874.50	USD	-11,525.00	
12SEP17	S	6	PD12DEC17	6649.50	6874.50	USD	-33,750.00	
12SEP17	S	2	PD12DEC17	6645.00	6874.50	USD	-11,475.00	
12SEP17	S	1	PD12DEC17	6646.00	6874.50	USD	-5,712.50	
12SEP17	S	1	PD12DEC17	6637.00	6874.50	USD	-5,937.50	
12SEP17	S	3	PD12DEC17	6642.50	6874.50	USD	-17,400.00	
12SEP17	S	3	PD12DEC17	6643.50	6874.50	USD	-17,325.00	
12SEP17	S	6	PD12DEC17	6656.50	6874.50	USD	-32,700.00	
12SEP17	S	3	PD12DEC17	6655.50	6874.50	USD	-16,425.00	
12SEP17	B	1	PD12DEC17	6663.50	6874.50	USD	5,275.00	
12SEP17	B	2	PD12DEC17	6673.50	6874.50	USD	10,050.00	
12SEP17	S	3	PD12DEC17	6663.50	6874.50	USD	-15,825.00	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
12SEP17	S	1	PD12DEC17	6667.50	6874.50	USD	-5,175.00	
12SEP17	S	2	PD12DEC17	6668.50	6874.50	USD	-10,300.00	
12SEP17	S	2	PD12DEC17	6677.00	6874.50	USD	-9,875.00	
12SEP17	S	3	PD12DEC17	6669.00	6874.50	USD	-15,412.50	
12SEP17	S	7	PD12DEC17	6671.50	6874.50	USD	-35,525.00	
12SEP17	S	2	PD12DEC17	6654.50	6874.50	USD	-11,000.00	
12SEP17	S	1	PD12DEC17	6651.50	6874.50	USD	-5,575.00	
12SEP17	S	1	PD12DEC17	6654.00	6874.50	USD	-5,512.50	
12SEP17	S	2	PD12DEC17	6653.00	6874.50	USD	-11,075.00	
12SEP17	B	5	PD12DEC17	6670.00	6874.50	USD	25,562.50	
12SEP17	B	5	PD12DEC17	6671.00	6874.50	USD	25,437.50	
12SEP17	B	3	PD12DEC17	6668.00	6874.50	USD	15,487.50	
12SEP17	B	2	PD12DEC17	6668.50	6874.50	USD	10,300.00	
	NET	-2 AVG BGHT 6684.85	SOLD 6663.10				-43,737.50	0.00
13SEP17	B	5	PD13DEC17	6605.00	6874.92	USD	33,740.00	
13SEP17	B	3	PD13DEC17	6595.50	6874.92	USD	20,956.50	
13SEP17	B	2	PD13DEC17	6597.00	6874.92	USD	13,896.00	
13SEP17	B	10	PD13DEC17	6590.00	6874.92	USD	71,230.00	
13SEP17	B	5	PD13DEC17	6595.00	6874.92	USD	34,990.00	
13SEP17	B	5	PD13DEC17	6590.50	6874.92	USD	35,552.50	
13SEP17	B	5	PD13DEC17	6578.50	6874.92	USD	37,052.50	
13SEP17	B	5	PD13DEC17	6587.00	6874.92	USD	35,990.00	
13SEP17	B	5	PD13DEC17	6579.00	6874.92	USD	36,990.00	
13SEP17	B	5	PD13DEC17	6570.00	6874.92	USD	38,115.00	
13SEP17	B	5	PD13DEC17	6560.50	6874.92	USD	39,302.50	
13SEP17	B	5	PD13DEC17	6561.00	6874.92	USD	39,240.00	
13SEP17	S	5	PD13DEC17	6550.50	6874.92	USD	-40,552.50	
13SEP17	S	5	PD13DEC17	6555.50	6874.92	USD	-39,927.50	
13SEP17	S	5	PD13DEC17	6542.00	6874.92	USD	-41,615.00	
	NET	+45 AVG BGHT 6583.55	SOLD 6549.33				314,960.00	0.00
14SEP17	B	5	PD14DEC17	6531.00	6875.33	USD	43,041.25	
14SEP17	B	5	PD14DEC17	6516.00	6875.33	USD	44,916.25	
14SEP17	B	5	PD14DEC17	6480.00	6875.33	USD	49,416.25	
14SEP17	S	1	PD14DEC17	6467.00	6875.33	USD	-10,208.25	
14SEP17	B	1	PD14DEC17	6457.00	6875.33	USD	10,458.25	
14SEP17	S	2	PD14DEC17	6459.00	6875.33	USD	-20,816.50	
14SEP17	S	3	PD14DEC17	6462.00	6875.33	USD	-30,999.75	
14SEP17	S	1	PD14DEC17	6495.50	6875.33	USD	-9,495.75	
14SEP17	S	2	PD14DEC17	6496.00	6875.33	USD	-18,966.50	
14SEP17	S	1	PD14DEC17	6496.50	6875.33	USD	-9,470.75	
14SEP17	S	1	PD14DEC17	6501.00	6875.33	USD	-9,358.25	
14SEP17	S	4	PD14DEC17	6506.00	6875.33	USD	-36,933.00	
14SEP17	S	1	PD14DEC17	6506.50	6875.33	USD	-9,220.75	
14SEP17	S	5	PD14DEC17	6514.50	6875.33	USD	-45,103.75	
14SEP17	S	5	PD14DEC17	6515.00	6875.33	USD	-45,041.25	
14SEP17	S	5	PD14DEC17	6524.50	6875.33	USD	-43,853.75	
14SEP17	B	1	PD14DEC17	6517.50	6875.33	USD	8,945.75	
14SEP17	B	5	PD14DEC17	6518.00	6875.33	USD	44,666.25	
14SEP17	B	2	PD14DEC17	6519.50	6875.33	USD	17,791.50	
14SEP17	S	10	PD14DEC17	6522.00	6875.33	USD	-88,332.50	
14SEP17	S	4	PD14DEC17	6523.00	6875.33	USD	-35,233.00	
14SEP17	S	1	PD14DEC17	6523.50	6875.33	USD	-8,795.75	
14SEP17	S	3	PD14DEC17	6525.00	6875.33	USD	-26,274.75	
14SEP17	S	5	PD14DEC17	6517.50	6875.33	USD	-44,728.75	
14SEP17	S	5	PD14DEC17	6513.50	6875.33	USD	-45,228.75	
14SEP17	S	5	PD14DEC17	6510.50	6875.33	USD	-45,603.75	
14SEP17	S	5	PD14DEC17	6503.00	6875.33	USD	-46,541.25	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>								
14SEP17	S	5	PD14DEC17		6502.00	6875.33	USD	-46,666.25	
14SEP17	S	5	PD14DEC17		6503.50	6875.33	USD	-46,478.75	
	NET	-55 AVG	BGHT 6509.94	SOLD 6509.08				-504,116.25	0.00
15SEP17	B	5	PD15DEC17		6504.50	6875.75	USD	46,406.25	
15SEP17	B	5	PD15DEC17		6505.00	6875.75	USD	46,343.75	
15SEP17	S	2	PD15DEC17		6497.50	6875.75	USD	-18,912.50	
15SEP17	S	2	PD15DEC17		6493.50	6875.75	USD	-19,112.50	
15SEP17	S	1	PD15DEC17		6494.00	6875.75	USD	-9,543.75	
15SEP17	S	5	PD15DEC17		6483.00	6875.75	USD	-49,093.75	
	NET	0 AVG	BGHT 6504.75	SOLD 6489.10				-3,912.50	0.00
19SEP17	B	2	PD19DEC17		6553.50	6877.00	USD	16,175.00	
19SEP17	B	3	PD19DEC17		6550.50	6877.00	USD	24,487.50	
19SEP17	B	3	PD19DEC17		6532.00	6877.00	USD	25,875.00	
19SEP17	B	5	PD19DEC17		6515.00	6877.00	USD	45,250.00	
19SEP17	B	5	PD19DEC17		6518.00	6877.00	USD	44,875.00	
19SEP17	B	2	PD19DEC17		6522.50	6877.00	USD	17,725.00	
19SEP17	B	3	PD19DEC17		6524.50	6877.00	USD	26,437.50	
19SEP17	S	5	PD19DEC17		6519.50	6877.00	USD	-44,687.50	
19SEP17	B	5	PD19DEC17		6515.50	6877.00	USD	45,187.50	
19SEP17	B	5	PD19DEC17		6508.00	6877.00	USD	46,125.00	
19SEP17	B	5	PD19DEC17		6517.00	6877.00	USD	45,000.00	
19SEP17	B	1	PD19DEC17		6527.00	6877.00	USD	8,750.00	
19SEP17	B	2	PD19DEC17		6528.50	6877.00	USD	17,425.00	
19SEP17	B	2	PD19DEC17		6529.00	6877.00	USD	17,400.00	
19SEP17	S	5	PD19DEC17		6540.00	6877.00	USD	-42,125.00	
19SEP17	S	2	PD19DEC17		6542.50	6877.00	USD	-16,725.00	
19SEP17	B	1	PD19DEC17		6534.50	6877.00	USD	8,562.50	
19SEP17	B	3	PD19DEC17		6535.50	6877.00	USD	25,612.50	
19SEP17	B	4	PD19DEC17		6538.00	6877.00	USD	33,900.00	
19SEP17	B	2	PD19DEC17		6530.00	6877.00	USD	17,350.00	
19SEP17	B	1	PD19DEC17		6536.00	6877.00	USD	8,525.00	
19SEP17	B	1	PD19DEC17		6533.00	6877.00	USD	8,600.00	
19SEP17	B	1	PD19DEC17		6531.50	6877.00	USD	8,637.50	
19SEP17	B	1	PD19DEC17		6537.00	6877.00	USD	8,500.00	
	NET	+45 AVG	BGHT 6525.84	SOLD 6531.88				396,862.50	0.00
20SEP17	S	1	PD20DEC17		6555.50	6877.00	USD	-8,037.50	
20SEP17	S	2	PD20DEC17		6557.00	6877.00	USD	-16,000.00	
20SEP17	S	2	PD20DEC17		6559.00	6877.00	USD	-15,900.00	
20SEP17	B	1	PD20DEC17		6564.50	6877.00	USD	7,812.50	
20SEP17	B	1	PD20DEC17		6567.00	6877.00	USD	7,750.00	
20SEP17	B	2	PD20DEC17		6568.50	6877.00	USD	15,425.00	
20SEP17	S	1	PD20DEC17		6564.50	6877.00	USD	-7,812.50	
20SEP17	S	4	PD20DEC17		6567.00	6877.00	USD	-31,000.00	
20SEP17	B	3	PD20DEC17		6542.50	6877.00	USD	25,087.50	
20SEP17	B	1	PD20DEC17		6545.00	6877.00	USD	8,300.00	
20SEP17	S	2	PD20DEC17		6551.50	6877.00	USD	-16,275.00	
20SEP17	S	6	PD20DEC17		6556.50	6877.00	USD	-48,075.00	
20SEP17	S	5	PD20DEC17		6526.50	6877.00	USD	-43,812.50	
20SEP17	B	2	PD20DEC17		6513.50	6877.00	USD	18,175.00	
20SEP17	S	10	PD20DEC17		6512.50	6877.00	USD	-91,125.00	
20SEP17	S	1	PD20DEC17		6513.50	6877.00	USD	-9,087.50	
20SEP17	S	1	PD20DEC17		6520.50	6877.00	USD	-8,912.50	
	NET	-25 AVG	BGHT 6546.80	SOLD 6538.67				-213,487.50	0.00
21SEP17	S	5	PD21DEC17		6488.00	6876.00	USD	-48,500.00	
21SEP17	S	5	PD21DEC17		6462.50	6876.00	USD	-51,687.50	
21SEP17	B	3	PD21DEC17		6465.00	6876.00	USD	30,825.00	

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21SEP17	B	1	PD21DEC17	6465.50	6876.00	USD	10,262.50	
21SEP17	B	1	PD21DEC17	6466.00	6876.00	USD	10,250.00	
21SEP17	B	2	PD21DEC17	6457.00	6876.00	USD	20,950.00	
21SEP17	B	2	PD21DEC17	6463.50	6876.00	USD	20,625.00	
21SEP17	S	1	PD21DEC17	6450.00	6876.00	USD	-10,650.00	
21SEP17	B	2	PD21DEC17	6448.50	6876.00	USD	21,375.00	
21SEP17	B	1	PD21DEC17	6447.00	6876.00	USD	10,725.00	
21SEP17	B	5	PD21DEC17	6435.50	6876.00	USD	55,062.50	
21SEP17	B	3	PD21DEC17	6438.50	6876.00	USD	32,812.50	
21SEP17	B	1	PD21DEC17	6441.50	6876.00	USD	10,862.50	
21SEP17	B	3	PD21DEC17	6446.00	6876.00	USD	32,250.00	
21SEP17	B	3	PD21DEC17	6444.50	6876.00	USD	32,362.50	
21SEP17	B	3	PD21DEC17	6449.00	6876.00	USD	32,025.00	
21SEP17	B	2	PD21DEC17	6475.50	6876.00	USD	20,025.00	
21SEP17	B	3	PD21DEC17	6482.00	6876.00	USD	29,550.00	
21SEP17	B	2	PD21DEC17	6468.00	6876.00	USD	20,400.00	
21SEP17	B	3	PD21DEC17	6469.00	6876.00	USD	30,525.00	
21SEP17	B	5	PD21DEC17	6432.00	6876.00	USD	55,500.00	
21SEP17	B	4	PD21DEC17	6450.00	6876.00	USD	42,600.00	
21SEP17	B	5	PD21DEC17	6453.00	6876.00	USD	52,875.00	
21SEP17	B	2	PD21DEC17	6454.00	6876.00	USD	21,100.00	
21SEP17	B	1	PD21DEC17	6455.50	6876.00	USD	10,512.50	
21SEP17	B	2	PD21DEC17	6451.00	6876.00	USD	21,250.00	
21SEP17	B	2	PD21DEC17	6456.00	6876.00	USD	21,000.00	
	NET	+50 AVG BGHT 6452.57	SOLD 6472.95				534,887.50	0.00
22SEP17	S	5	PD22DEC17	6404.00	6875.00	USD	-58,875.00	
22SEP17	S	5	PD22DEC17	6404.50	6875.00	USD	-58,812.50	
	NET	-10 AVG SOLD 6404.25					-117,687.50	0.00
25SEP17	S	1	PD27DEC17	6460.00	6876.67	USD	-10,416.75	
25SEP17	S	1	PD27DEC17	6460.50	6876.67	USD	-10,404.25	
25SEP17	S	1	PD27DEC17	6461.00	6876.67	USD	-10,391.75	
25SEP17	S	1	PD27DEC17	6461.50	6876.67	USD	-10,379.25	
25SEP17	S	1	PD27DEC17	6463.50	6876.67	USD	-10,329.25	
25SEP17	S	1	PD27DEC17	6440.00	6876.67	USD	-10,916.75	
25SEP17	S	4	PD27DEC17	6445.50	6876.67	USD	-43,117.00	
26SEP17	B	1	PD27DEC17	6479.50	6876.67	USD	9,929.25	
26SEP17	B	2	PD27DEC17	6477.50	6876.67	USD	19,958.50	
26SEP17	B	1	PD27DEC17	6493.00	6876.67	USD	9,591.75	
26SEP17	B	1	PD27DEC17	6479.00	6876.67	USD	9,941.75	
26SEP17	S	1	PD27DEC17	6407.50	6876.67	USD	-11,729.25	
26SEP17	S	1	PD27DEC17	6411.00	6876.67	USD	-11,641.75	
26SEP17	S	1	PD27DEC17	6411.50	6876.67	USD	-11,629.25	
26SEP17	S	1	PD27DEC17	6412.50	6876.67	USD	-11,604.25	
26SEP17	S	1	PD27DEC17	6409.50	6876.67	USD	-11,679.25	
26SEP17	S	5	PD27DEC17	6410.50	6876.67	USD	-58,271.25	
26SEP17	S	1	PD27DEC17	6410.00	6876.67	USD	-11,666.75	
26SEP17	S	1	PD27DEC17	6417.00	6876.67	USD	-11,491.75	
26SEP17	S	2	PD27DEC17	6417.50	6876.67	USD	-22,958.50	
26SEP17	S	1	PD27DEC17	6418.00	6876.67	USD	-11,466.75	
27SEP17	B	1	PD27DEC17	6438.00	6876.67	USD	10,966.75	
27SEP17	B	2	PD27DEC17	6437.50	6876.67	USD	21,958.50	
27SEP17	B	1	PD27DEC17	6439.00	6876.67	USD	10,941.75	
27SEP17	B	1	PD27DEC17	6439.50	6876.67	USD	10,929.25	
27SEP17	B	1	PD27DEC17	6440.00	6876.67	USD	10,916.75	
27SEP17	S	1	PD27DEC17	6440.00	6876.67	USD	-10,916.75	
27SEP17	B	3	PD27DEC17	6441.00	6876.67	USD	32,675.25	
27SEP17	B	2	PD27DEC17	6442.00	6876.67	USD	21,733.50	

OPEN POSITION STATEMENT



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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
	NET	-10 AVG BGHT 6452.81	SOLD 6428.96				-121,467.50	0.00
28SEP17	B	1	PD28DEC17	6470.00	6877.00	USD	10,175.00	
28SEP17	B	1	PD28DEC17	6470.50	6877.00	USD	10,162.50	
28SEP17	B	3	PD28DEC17	6471.50	6877.00	USD	30,412.50	
28SEP17	B	1	PD28DEC17	6514.50	6877.00	USD	9,062.50	
28SEP17	S	1	PD28DEC17	6516.50	6877.00	USD	-9,012.50	
28SEP17	B	1	PD28DEC17	6527.00	6877.00	USD	8,750.00	
28SEP17	B	1	PD28DEC17	6531.50	6877.00	USD	8,637.50	
28SEP17	B	1	PD28DEC17	6525.00	6877.00	USD	8,800.00	
28SEP17	B	1	PD28DEC17	6526.50	6877.00	USD	8,762.50	
28SEP17	B	1	PD28DEC17	6527.50	6877.00	USD	8,737.50	
28SEP17	B	2	PD28DEC17	6529.00	6877.00	USD	17,400.00	
28SEP17	B	2	PD28DEC17	6529.50	6877.00	USD	17,375.00	
28SEP17	B	1	PD28DEC17	6530.00	6877.00	USD	8,675.00	
28SEP17	B	1	PD28DEC17	6532.00	6877.00	USD	8,625.00	
28SEP17	B	1	PD28DEC17	6544.00	6877.00	USD	8,325.00	
28SEP17	B	1	PD28DEC17	6554.50	6877.00	USD	8,062.50	
28SEP17	B	1	PD28DEC17	6559.50	6877.00	USD	7,937.50	
28SEP17	B	1	PD28DEC17	6562.00	6877.00	USD	7,875.00	
	NET	+20 AVG BGHT 6519.33	SOLD 6516.50				178,762.50	0.00
04OCT17	S	5	PD04JAN18	6515.00	6879.33	USD	-45,541.25	
04OCT17	S	5	PD04JAN18	6507.50	6879.33	USD	-46,478.75	
04OCT17	S	5	PD04JAN18	6509.00	6879.33	USD	-46,291.25	
	NET	-15 AVG SOLD 6510.50					-138,311.25	0.00
05OCT17	B	5	PD05JAN18	6563.00	6879.67	USD	39,583.75	
05OCT17	B	2	PD05JAN18	6555.50	6879.67	USD	16,208.50	
05OCT17	B	2	PD05JAN18	6559.00	6879.67	USD	16,033.50	
05OCT17	B	1	PD05JAN18	6554.50	6879.67	USD	8,129.25	
05OCT17	B	2	PD05JAN18	6626.50	6879.67	USD	12,658.50	
05OCT17	B	5	PD05JAN18	6630.50	6879.67	USD	31,146.25	
05OCT17	B	5	PD05JAN18	6631.50	6879.67	USD	31,021.25	
05OCT17	B	5	PD05JAN18	6633.50	6879.67	USD	30,771.25	
05OCT17	S	2	PD05JAN18	6627.50	6879.67	USD	-12,608.50	
05OCT17	B	5	PD05JAN18	6640.00	6879.67	USD	29,958.75	
05OCT17	B	5	PD05JAN18	6669.50	6879.67	USD	26,271.25	
05OCT17	S	5	PD05JAN18	6682.00	6879.67	USD	-24,708.75	
05OCT17	S	5	PD05JAN18	6686.50	6879.67	USD	-24,146.25	
05OCT17	B	1	PD05JAN18	6671.00	6879.67	USD	5,216.75	
05OCT17	B	1	PD05JAN18	6674.50	6879.67	USD	5,129.25	
05OCT17	B	1	PD05JAN18	6675.50	6879.67	USD	5,104.25	
05OCT17	B	2	PD05JAN18	6677.50	6879.67	USD	10,108.50	
05OCT17	B	5	PD05JAN18	6679.50	6879.67	USD	25,021.25	
05OCT17	B	5	PD05JAN18	6682.00	6879.67	USD	24,708.75	
	NET	+40 AVG BGHT 6635.77	SOLD 6674.79				255,607.50	0.00
09OCT17	S	5	PD09JAN18	6677.00	6881.00	USD	-25,500.00	
09OCT17	S	5	PD09JAN18	6679.50	6881.00	USD	-25,187.50	
09OCT17	S	5	PD09JAN18	6673.00	6881.00	USD	-26,000.00	
09OCT17	S	10	PD09JAN18	6678.50	6881.00	USD	-50,625.00	
09OCT17	S	5	PD09JAN18	6681.50	6881.00	USD	-24,937.50	
09OCT17	S	10	PD09JAN18	6661.50	6881.00	USD	-54,875.00	
09OCT17	S	10	PD09JAN18	6661.00	6881.00	USD	-55,000.00	
09OCT17	S	5	PD09JAN18	6679.00	6881.00	USD	-25,250.00	
09OCT17	S	10	PD09JAN18	6660.00	6881.00	USD	-55,250.00	
09OCT17	S	5	PD09JAN18	6680.50	6881.00	USD	-25,062.50	
09OCT17	S	5	PD09JAN18	6664.50	6881.00	USD	-27,062.50	
09OCT17	B	3	PD09JAN18	6659.50	6881.00	USD	16,612.50	

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09OCT17	B	1	PD09JAN18	6660.00	6881.00	USD	5,525.00	
09OCT17	B	1	PD09JAN18	6660.50	6881.00	USD	5,512.50	
09OCT17	B	5	PD09JAN18	6658.50	6881.00	USD	27,812.50	
09OCT17	S	5	PD09JAN18	6667.00	6881.00	USD	-26,750.00	
09OCT17	S	5	PD09JAN18	6643.50	6881.00	USD	-29,687.50	
09OCT17	S	5	PD09JAN18	6650.00	6881.00	USD	-28,875.00	
09OCT17	B	3	PD09JAN18	6651.00	6881.00	USD	17,250.00	
09OCT17	B	5	PD09JAN18	6654.00	6881.00	USD	28,375.00	
09OCT17	B	1	PD09JAN18	6653.00	6881.00	USD	5,700.00	
09OCT17	S	8	PD09JAN18	6653.50	6881.00	USD	-45,500.00	
09OCT17	S	6	PD09JAN18	6660.50	6881.00	USD	-33,075.00	
09OCT17	S	2	PD09JAN18	6662.00	6881.00	USD	-10,950.00	
09OCT17	S	3	PD09JAN18	6665.00	6881.00	USD	-16,200.00	
09OCT17	S	5	PD09JAN18	6659.00	6881.00	USD	-27,750.00	
	NET	-95 AVG BGHT 6656.18	SOLD 6665.72				-506,750.00	0.00
10OCT17	B	1	PD10JAN18	6703.50	6881.33	USD	4,445.75	
10OCT17	B	4	PD10JAN18	6704.00	6881.33	USD	17,733.00	
10OCT17	B	5	PD10JAN18	6719.50	6881.33	USD	20,228.75	
10OCT17	B	5	PD10JAN18	6722.00	6881.33	USD	19,916.25	
10OCT17	B	1	PD10JAN18	6729.50	6881.33	USD	3,795.75	
10OCT17	B	4	PD10JAN18	6730.00	6881.33	USD	15,133.00	
10OCT17	S	5	PD10JAN18	6712.00	6881.33	USD	-21,166.25	
10OCT17	S	5	PD10JAN18	6720.00	6881.33	USD	-20,166.25	
10OCT17	S	5	PD10JAN18	6726.50	6881.33	USD	-19,353.75	
10OCT17	S	5	PD10JAN18	6729.50	6881.33	USD	-18,978.75	
	NET	0 AVG BGHT 6718.83	SOLD 6722.00				1,587.50	0.00
11OCT17	B	5	PD11JAN18	6727.00	6881.67	USD	19,333.75	
11OCT17	S	5	PD11JAN18	6750.00	6881.67	USD	-16,458.75	
11OCT17	B	5	PD11JAN18	6790.00	6881.67	USD	11,458.75	
11OCT17	B	1	PD11JAN18	6792.00	6881.67	USD	2,241.75	
11OCT17	B	1	PD11JAN18	6792.50	6881.67	USD	2,229.25	
11OCT17	B	4	PD11JAN18	6793.00	6881.67	USD	8,867.00	
11OCT17	B	4	PD11JAN18	6793.50	6881.67	USD	8,817.00	
11OCT17	B	5	PD11JAN18	6794.50	6881.67	USD	10,896.25	
11OCT17	B	3	PD11JAN18	6769.50	6881.67	USD	8,412.75	
11OCT17	B	5	PD11JAN18	6770.00	6881.67	USD	13,958.75	
11OCT17	B	1	PD11JAN18	6771.00	6881.67	USD	2,766.75	
11OCT17	B	1	PD11JAN18	6773.50	6881.67	USD	2,704.25	
11OCT17	B	5	PD11JAN18	6775.50	6881.67	USD	13,271.25	
11OCT17	B	5	PD11JAN18	6779.00	6881.67	USD	12,833.75	
11OCT17	B	5	PD11JAN18	6780.00	6881.67	USD	12,708.75	
11OCT17	B	5	PD11JAN18	6795.00	6881.67	USD	10,833.75	
11OCT17	B	2	PD11JAN18	6796.50	6881.67	USD	4,258.50	
11OCT17	B	7	PD11JAN18	6797.00	6881.67	USD	14,817.25	
11OCT17	B	1	PD11JAN18	6797.50	6881.67	USD	2,104.25	
11OCT17	B	5	PD11JAN18	6799.50	6881.67	USD	10,271.25	
11OCT17	B	2	PD11JAN18	6801.00	6881.67	USD	4,033.50	
11OCT17	B	3	PD11JAN18	6801.50	6881.67	USD	6,012.75	
	NET	+70 AVG BGHT 6784.16	SOLD 6750.00				166,372.50	0.00
12OCT17	B	5	PD12JAN18	6835.00	6882.00	USD	5,875.00	
12OCT17	B	5	PD12JAN18	6842.50	6882.00	USD	4,937.50	
12OCT17	B	5	PD12JAN18	6858.00	6882.00	USD	3,000.00	
12OCT17	S	5	PD12JAN18	6866.50	6882.00	USD	-1,937.50	
12OCT17	S	5	PD12JAN18	6868.00	6882.00	USD	-1,750.00	
13OCT17	B	5	PD12JAN18	6863.50	6882.00	USD	2,312.50	
13OCT17	B	5	PD12JAN18	6865.50	6882.00	USD	2,062.50	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
13OCT17	S	5	PD12JAN18	6878.00	6882.00	USD	-500.00	
13OCT17	S	5	PD12JAN18	6880.00	6882.00	USD	-250.00	
13OCT17	S	2	PD12JAN18	6883.50	6882.00	USD	75.00	
13OCT17	S	3	PD12JAN18	6890.00	6882.00	USD	600.00	
13OCT17	S	4	PD12JAN18	6890.50	6882.00	USD	850.00	
13OCT17	S	1	PD12JAN18	6891.00	6882.00	USD	225.00	
13OCT17	B	5	PD12JAN18	6912.00	6882.00	USD	-3,750.00	
13OCT17	B	5	PD12JAN18	6875.00	6882.00	USD	875.00	
	NET	+5 AVG BGHT 6864.50	SOLD 6878.42				12,625.00	0.00
	<u>CMX COPPER 25,000 CMX</u>							
11OCT17	S	11	DEC17	308.450	313.350	USD	-13,475.00	
11OCT17	S	22	DEC17	308.600	313.350	USD	-26,125.00	
11OCT17	S	11	DEC17	308.650	313.350	USD	-12,925.00	
11OCT17	S	11	DEC17	307.950	313.350	USD	-14,850.00	
11OCT17	S	22	DEC17	307.550	313.350	USD	-31,900.00	
11OCT17	S	10	DEC17	307.850	313.350	USD	-13,750.00	
11OCT17	S	1	DEC17	307.700	313.350	USD	-1,412.50	
11OCT17	S	11	DEC17	308.050	313.350	USD	-14,575.00	
11OCT17	S	7	DEC17	308.750	313.350	USD	-8,050.00	
11OCT17	S	11	DEC17	308.900	313.350	USD	-12,237.50	
11OCT17	S	26	DEC17	308.800	313.350	USD	-29,575.00	
11OCT17	S	11	DEC17	309.000	313.350	USD	-11,962.50	
12OCT17	S	11	DEC17	311.550	313.350	USD	-4,950.00	
	NET	-165 AVG SOLD 308.604					-195,787.50	0.00

OPEN POSITION VALUE

		<u>USD</u>
LME Copper Grade A 25	FUTURES	-12,190,180.25
CMX Copper	FUTURES	12,729,900.00

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options	Amount	Profit / Loss	Total Amount	Total P&L
COPR - Futures USD	-195,787.50	-195,787.50	-195,787.50	-195,787.50
Unrealised Profits & Losses - Forwards	Amount	Profit / Loss	Total Amount	Total P&L
COPL - Futures USD	694,767.50	694,767.50	694,767.50	694,767.50

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ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	-514,546.96	-514,546.96
Variation Margins		
- Futures	-195,787.50	-195,787.50
- Options	0.00	0.00
TOTAL EQUITY	-710,334.46	-710,334.46
Initial Margins	-818,549.50	-818,549.50
Excess/(Shortage)	-1,528,883.96	-1,528,883.96
FOR INFORMATION ONLY		
Forward Unrealised P&L	694,767.50	694,767.50
Net Liquidating Value	-15,566.96	-15,566.96

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17JUL17	S	5	PD17OCT17	5929.50	6859.00	USD	-116,187.50	
17JUL17	B	1	PD17OCT17	5978.00	6859.00	USD	22,025.00	
17JUL17	B	1	PD17OCT17	5981.00	6859.00	USD	21,950.00	
17JUL17	B	1	PD17OCT17	5983.50	6859.00	USD	21,887.50	
17JUL17	B	1	PD17OCT17	5977.50	6859.00	USD	22,037.50	
17JUL17	B	1	PD17OCT17	5979.00	6859.00	USD	22,000.00	
17JUL17	B	5	PD17OCT17	5995.50	6859.00	USD	107,937.50	
17JUL17	B	5	PD17OCT17	6000.00	6859.00	USD	107,375.00	
17JUL17	B	10	PD17OCT17	6010.00	6859.00	USD	212,250.00	
20JUL17	S	10	PD17OCT17	5960.08	6859.00	USD	-224,730.00	
10AUG17	S	10	PD17OCT17	6416.00	6859.00	USD	-110,750.00	
	NET	0AVG BGHT 5999.06	SOLD 6136.33				85,795.00	0.00
18JUL17	S	1	PD18OCT17	5985.50	6859.50	USD	-21,850.00	
18JUL17	S	1	PD18OCT17	5986.00	6859.50	USD	-21,837.50	
18JUL17	S	1	PD18OCT17	5987.00	6859.50	USD	-21,812.50	
18JUL17	S	1	PD18OCT17	5980.50	6859.50	USD	-21,975.00	
18JUL17	S	1	PD18OCT17	5982.00	6859.50	USD	-21,937.50	
18JUL17	S	4	PD18OCT17	5988.00	6859.50	USD	-87,150.00	
18JUL17	S	1	PD18OCT17	5988.50	6859.50	USD	-21,775.00	
18JUL17	B	4	PD18OCT17	5998.50	6859.50	USD	86,100.00	
18JUL17	B	1	PD18OCT17	5999.00	6859.50	USD	21,512.50	
20JUL17	B	5	PD18OCT17	5960.70	6859.50	USD	112,350.00	
	NET	0AVG BGHT 5979.65	SOLD 5986.15				1,625.00	0.00
19JUL17	S	2	PD19OCT17	5994.00	6857.50	USD	-43,175.00	
19JUL17	S	1	PD19OCT17	5994.50	6857.50	USD	-21,575.00	
19JUL17	S	1	PD19OCT17	5995.00	6857.50	USD	-21,562.50	
19JUL17	S	1	PD19OCT17	5995.50	6857.50	USD	-21,550.00	
19JUL17	B	5	PD19OCT17	6003.50	6857.50	USD	106,750.00	
19JUL17	S	5	PD19OCT17	5987.00	6857.50	USD	-108,812.50	
19JUL17	S	5	PD19OCT17	5970.00	6857.50	USD	-110,937.50	
20JUL17	B	10	PD19OCT17	5959.70	6857.50	USD	224,450.00	
	NET	0AVG BGHT 5974.30	SOLD 5983.87				3,587.50	0.00
20JUL17	B	1	PD20OCT17	5976.50	6857.50	USD	22,025.00	
20JUL17	B	1	PD20OCT17	5979.00	6857.50	USD	21,962.50	
20JUL17	B	1	PD20OCT17	5975.50	6857.50	USD	22,050.00	
20JUL17	B	1	PD20OCT17	5977.00	6857.50	USD	22,012.50	
20JUL17	B	1	PD20OCT17	5980.00	6857.50	USD	21,937.50	
20JUL17	S	5	PD20OCT17	5975.50	6857.50	USD	-110,250.00	
21JUL17	B	3	PD20OCT17	6002.00	6857.50	USD	64,162.50	
21JUL17	B	2	PD20OCT17	6003.00	6857.50	USD	42,725.00	
21JUL17	B	1	PD20OCT17	6030.00	6857.50	USD	20,687.50	
21JUL17	B	4	PD20OCT17	6036.00	6857.50	USD	82,150.00	
21JUL17	B	5	PD20OCT17	6031.50	6857.50	USD	103,250.00	
21JUL17	S	5	PD20OCT17	5988.00	6857.50	USD	-108,687.50	
10AUG17	S	10	PD20OCT17	6414.25	6857.50	USD	-110,812.50	
	NET	0AVG BGHT 6011.58	SOLD 6198.00				93,212.50	0.00
24JUL17	S	10	PD24OCT17	6001.00	6857.50	USD	-214,125.00	
10AUG17	B	10	PD24OCT17	6416.16	6857.50	USD	110,335.00	
	NET	0AVG BGHT 6416.16	SOLD 6001.00				-103,790.00	0.00
25JUL17	B	2	PD25OCT17	6085.50	6857.50	USD	38,600.00	
25JUL17	B	1	PD25OCT17	6088.00	6857.50	USD	19,237.50	
25JUL17	B	1	PD25OCT17	6103.00	6857.50	USD	18,862.50	
25JUL17	B	5	PD25OCT17	6114.00	6857.50	USD	92,937.50	
25JUL17	B	4	PD25OCT17	6116.50	6857.50	USD	74,100.00	
25JUL17	B	2	PD25OCT17	6116.00	6857.50	USD	37,075.00	
25JUL17	B	1	PD25OCT17	6228.50	6857.50	USD	15,725.00	

OPEN POSITION STATEMENT



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SAP0025U

13th October 2017

Sapien Capital Limited
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backoffice@sapiencap.com

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
25JUL17	B	2	PD250CT17	6229.00	6857.50	USD	31,425.00	
25JUL17	B	2	PD250CT17	6229.50	6857.50	USD	31,400.00	
10AUG17	S	20	PD250CT17	6416.39	6857.50	USD	-220,555.00	
	NET	0AVG BGHT 6138.78	SOLD 6416.39				138,807.50	0.00
26JUL17	S	5	PD260CT17	6378.50	6857.50	USD	-59,875.00	
26JUL17	S	1	PD260CT17	6338.50	6857.50	USD	-12,975.00	
26JUL17	S	1	PD260CT17	6346.00	6857.50	USD	-12,787.50	
26JUL17	S	1	PD260CT17	6349.50	6857.50	USD	-12,700.00	
26JUL17	S	2	PD260CT17	6350.00	6857.50	USD	-25,375.00	
26JUL17	B	5	PD260CT17	6305.00	6857.50	USD	69,062.50	
26JUL17	B	1	PD260CT17	6277.00	6857.50	USD	14,512.50	
26JUL17	B	1	PD260CT17	6278.00	6857.50	USD	14,487.50	
26JUL17	B	1	PD260CT17	6284.00	6857.50	USD	14,337.50	
26JUL17	B	1	PD260CT17	6282.00	6857.50	USD	14,387.50	
26JUL17	B	2	PD260CT17	6276.50	6857.50	USD	29,050.00	
26JUL17	B	1	PD260CT17	6275.00	6857.50	USD	14,562.50	
26JUL17	B	1	PD260CT17	6281.50	6857.50	USD	14,400.00	
26JUL17	B	2	PD260CT17	6273.00	6857.50	USD	29,225.00	
26JUL17	S	1	PD260CT17	6310.00	6857.50	USD	-13,687.50	
26JUL17	S	1	PD260CT17	6311.00	6857.50	USD	-13,662.50	
26JUL17	S	1	PD260CT17	6311.50	6857.50	USD	-13,650.00	
26JUL17	S	1	PD260CT17	6313.50	6857.50	USD	-13,600.00	
26JUL17	S	1	PD260CT17	6316.00	6857.50	USD	-13,537.50	
26JUL17	S	1	PD260CT17	6330.00	6857.50	USD	-13,187.50	
26JUL17	S	1	PD260CT17	6336.50	6857.50	USD	-13,025.00	
26JUL17	S	1	PD260CT17	6340.00	6857.50	USD	-12,937.50	
26JUL17	S	1	PD260CT17	6333.50	6857.50	USD	-13,100.00	
26JUL17	S	1	PD260CT17	6334.00	6857.50	USD	-13,087.50	
26JUL17	S	3	PD260CT17	6308.00	6857.50	USD	-41,212.50	
26JUL17	S	1	PD260CT17	6306.50	6857.50	USD	-13,775.00	
26JUL17	S	1	PD260CT17	6304.50	6857.50	USD	-13,825.00	
10AUG17	B	10	PD260CT17	6417.02	6857.50	USD	110,120.00	
	NET	0AVG BGHT 6338.87	SOLD 6335.90				-1,855.00	0.00
27JUL17	B	1	PD270CT17	6333.00	6857.50	USD	13,112.50	
27JUL17	B	1	PD270CT17	6333.50	6857.50	USD	13,100.00	
27JUL17	B	1	PD270CT17	6336.00	6857.50	USD	13,037.50	
27JUL17	B	2	PD270CT17	6334.00	6857.50	USD	26,175.00	
27JUL17	S	1	PD270CT17	6330.50	6857.50	USD	-13,175.00	
27JUL17	S	1	PD270CT17	6331.50	6857.50	USD	-13,150.00	
27JUL17	S	1	PD270CT17	6332.00	6857.50	USD	-13,137.50	
27JUL17	S	1	PD270CT17	6337.00	6857.50	USD	-13,012.50	
27JUL17	S	1	PD270CT17	6337.50	6857.50	USD	-13,000.00	
27JUL17	S	2	PD270CT17	6331.00	6857.50	USD	-26,325.00	
27JUL17	S	2	PD270CT17	6339.00	6857.50	USD	-25,925.00	
27JUL17	S	1	PD270CT17	6339.50	6857.50	USD	-12,950.00	
28JUL17	B	1	PD270CT17	6323.50	6857.50	USD	13,350.00	
28JUL17	B	1	PD270CT17	6316.00	6857.50	USD	13,537.50	
28JUL17	B	1	PD270CT17	6318.00	6857.50	USD	13,487.50	
28JUL17	B	2	PD270CT17	6320.00	6857.50	USD	26,875.00	
28JUL17	B	2	PD270CT17	6324.00	6857.50	USD	26,675.00	
28JUL17	B	2	PD270CT17	6324.50	6857.50	USD	26,650.00	
28JUL17	S	1	PD270CT17	6325.50	6857.50	USD	-13,300.00	
28JUL17	S	3	PD270CT17	6327.50	6857.50	USD	-39,750.00	
28JUL17	S	3	PD270CT17	6329.00	6857.50	USD	-39,637.50	
28JUL17	S	2	PD270CT17	6328.00	6857.50	USD	-26,475.00	
28JUL17	B	1	PD270CT17	6339.50	6857.50	USD	12,950.00	
28JUL17	B	1	PD270CT17	6340.00	6857.50	USD	12,937.50	

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28JUL17	B	1	PD27OCT17	6344.00	6857.50	USD	12,837.50	
28JUL17	B	3	PD27OCT17	6334.50	6857.50	USD	39,225.00	
28JUL17	S	12	PD27OCT17	6334.00	6857.50	USD	-157,050.00	
28JUL17	B	2	PD27OCT17	6335.00	6857.50	USD	26,125.00	
28JUL17	B	4	PD27OCT17	6336.00	6857.50	USD	52,150.00	
10AUG17	B	5	PD27OCT17	6417.45	6857.50	USD	55,006.25	
	NET	0 AVG BGHT 6344.94	SOLD 6332.48				-9,656.25	0.00
31JUL17	S	1	PD31OCT17	6384.00	6858.27	USD	-11,856.75	
31JUL17	S	1	PD31OCT17	6385.50	6858.27	USD	-11,819.25	
31JUL17	S	1	PD31OCT17	6383.50	6858.27	USD	-11,869.25	
31JUL17	B	1	PD31OCT17	6383.00	6858.27	USD	11,881.75	
31JUL17	B	1	PD31OCT17	6383.50	6858.27	USD	11,869.25	
31JUL17	B	1	PD31OCT17	6385.00	6858.27	USD	11,831.75	
31JUL17	S	1	PD31OCT17	6370.00	6858.27	USD	-12,206.75	
31JUL17	S	2	PD31OCT17	6371.00	6858.27	USD	-24,363.50	
31JUL17	S	1	PD31OCT17	6374.50	6858.27	USD	-12,094.25	
31JUL17	S	1	PD31OCT17	6370.50	6858.27	USD	-12,194.25	
10AUG17	B	5	PD31OCT17	6418.89	6858.27	USD	54,922.50	
	NET	0 AVG BGHT 6405.74	SOLD 6376.25				-5,898.75	0.00
01AUG17	B	2	PD01NOV17	6379.00	6859.05	USD	24,002.50	
01AUG17	B	1	PD01NOV17	6380.00	6859.05	USD	11,976.25	
01AUG17	B	1	PD01NOV17	6380.50	6859.05	USD	11,963.75	
01AUG17	B	1	PD01NOV17	6381.00	6859.05	USD	11,951.25	
01AUG17	B	1	PD01NOV17	6354.50	6859.05	USD	12,613.75	
01AUG17	B	1	PD01NOV17	6355.00	6859.05	USD	12,601.25	
01AUG17	B	2	PD01NOV17	6356.00	6859.05	USD	25,152.50	
01AUG17	B	1	PD01NOV17	6356.50	6859.05	USD	12,563.75	
10AUG17	S	10	PD01NOV17	6419.30	6859.05	USD	-109,937.50	
	NET	0 AVG BGHT 6367.75	SOLD 6419.30				12,887.50	0.00
02AUG17	B	1	PD02NOV17	6323.50	6859.82	USD	13,408.00	
02AUG17	S	1	PD02NOV17	6310.50	6859.82	USD	-13,733.00	
02AUG17	S	1	PD02NOV17	6311.00	6859.82	USD	-13,720.50	
02AUG17	S	1	PD02NOV17	6314.50	6859.82	USD	-13,633.00	
02AUG17	S	3	PD02NOV17	6318.00	6859.82	USD	-40,636.50	
02AUG17	S	3	PD02NOV17	6318.50	6859.82	USD	-40,599.00	
02AUG17	S	1	PD02NOV17	6320.00	6859.82	USD	-13,495.50	
02AUG17	S	1	PD02NOV17	6321.00	6859.82	USD	-13,470.50	
02AUG17	B	4	PD02NOV17	6328.50	6859.82	USD	53,132.00	
02AUG17	B	1	PD02NOV17	6331.00	6859.82	USD	13,220.50	
02AUG17	B	1	PD02NOV17	6332.50	6859.82	USD	13,183.00	
02AUG17	B	1	PD02NOV17	6333.00	6859.82	USD	13,170.50	
02AUG17	B	3	PD02NOV17	6334.00	6859.82	USD	39,436.50	
02AUG17	B	1	PD02NOV17	6336.50	6859.82	USD	13,083.00	
02AUG17	B	2	PD02NOV17	6337.00	6859.82	USD	26,141.00	
02AUG17	B	1	PD02NOV17	6338.00	6859.82	USD	13,045.50	
02AUG17	B	1	PD02NOV17	6338.50	6859.82	USD	13,033.00	
02AUG17	B	1	PD02NOV17	6335.50	6859.82	USD	13,108.00	
02AUG17	S	1	PD02NOV17	6338.00	6859.82	USD	-13,045.50	
02AUG17	B	3	PD02NOV17	6361.00	6859.82	USD	37,411.50	
02AUG17	B	1	PD02NOV17	6363.50	6859.82	USD	12,408.00	
02AUG17	B	1	PD02NOV17	6354.50	6859.82	USD	12,633.00	
10AUG17	S	5	PD02NOV17	6419.51	6859.82	USD	-55,038.75	
23AUG17	S	5	PD02NOV17	6560.00	6859.82	USD	-37,477.50	
	NET	0 AVG BGHT 6339.07	SOLD 6396.46				31,563.75	0.00
03AUG17	S	1	PD03NOV17	6303.50	6860.59	USD	-13,927.25	
03AUG17	S	1	PD03NOV17	6305.00	6860.59	USD	-13,889.75	

OPEN POSITION STATEMENT



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03AUG17	S	2	PD03NOV17	6307.50	6860.59	USD	-27,654.50	
03AUG17	S	5	PD03NOV17	6312.00	6860.59	USD	-68,573.75	
03AUG17	S	3	PD03NOV17	6318.00	6860.59	USD	-40,694.25	
03AUG17	S	2	PD03NOV17	6319.00	6860.59	USD	-27,079.50	
03AUG17	S	1	PD03NOV17	6344.00	6860.59	USD	-12,914.75	
03AUG17	S	3	PD03NOV17	6348.00	6860.59	USD	-38,444.25	
03AUG17	S	1	PD03NOV17	6350.00	6860.59	USD	-12,764.75	
03AUG17	S	1	PD03NOV17	6324.50	6860.59	USD	-13,402.25	
03AUG17	S	3	PD03NOV17	6322.00	6860.59	USD	-40,394.25	
03AUG17	S	1	PD03NOV17	6324.00	6860.59	USD	-13,414.75	
03AUG17	B	1	PD03NOV17	6310.00	6860.59	USD	13,764.75	
03AUG17	B	1	PD03NOV17	6311.00	6860.59	USD	13,739.75	
03AUG17	B	1	PD03NOV17	6317.50	6860.59	USD	13,577.25	
03AUG17	B	2	PD03NOV17	6318.00	6860.59	USD	27,129.50	
03AUG17	S	1	PD03NOV17	6304.00	6860.59	USD	-13,914.75	
04AUG17	B	3	PD03NOV17	6357.50	6860.59	USD	37,731.75	
04AUG17	B	1	PD03NOV17	6358.50	6860.59	USD	12,552.25	
04AUG17	B	1	PD03NOV17	6359.00	6860.59	USD	12,539.75	
04AUG17	S	2	PD03NOV17	6362.00	6860.59	USD	-24,929.50	
04AUG17	S	3	PD03NOV17	6363.00	6860.59	USD	-37,319.25	
04AUG17	S	4	PD03NOV17	6355.00	6860.59	USD	-50,559.00	
04AUG17	S	1	PD03NOV17	6355.50	6860.59	USD	-12,627.25	
04AUG17	B	2	PD03NOV17	6359.50	6860.59	USD	25,054.50	
04AUG17	B	1	PD03NOV17	6361.00	6860.59	USD	12,489.75	
04AUG17	S	2	PD03NOV17	6333.50	6860.59	USD	-26,354.50	
04AUG17	S	3	PD03NOV17	6334.50	6860.59	USD	-39,456.75	
04AUG17	B	2	PD03NOV17	6362.50	6860.59	USD	24,904.50	
04AUG17	B	1	PD03NOV17	6363.50	6860.59	USD	12,427.25	
04AUG17	B	2	PD03NOV17	6365.00	6860.59	USD	24,779.50	
04AUG17	B	1	PD03NOV17	6367.50	6860.59	USD	12,327.25	
04AUG17	B	2	PD03NOV17	6376.00	6860.59	USD	24,229.50	
04AUG17	B	1	PD03NOV17	6381.50	6860.59	USD	11,977.25	
04AUG17	B	1	PD03NOV17	6382.00	6860.59	USD	11,964.75	
04AUG17	B	2	PD03NOV17	6364.50	6860.59	USD	24,804.50	
10AUG17	B	15	PD03NOV17	6420.33	6860.59	USD	165,097.50	
	NET	0 AVG BGHT 6379.50	SOLD 6332.28				-47,223.75	0.00
07AUG17	S	1	PD07NOV17	6339.50	6863.68	USD	-13,104.50	
07AUG17	S	1	PD07NOV17	6336.50	6863.68	USD	-13,179.50	
07AUG17	S	1	PD07NOV17	6337.50	6863.68	USD	-13,154.50	
07AUG17	S	1	PD07NOV17	6347.00	6863.68	USD	-12,917.00	
07AUG17	S	1	PD07NOV17	6349.50	6863.68	USD	-12,854.50	
07AUG17	S	3	PD07NOV17	6341.00	6863.68	USD	-39,201.00	
07AUG17	S	3	PD07NOV17	6344.00	6863.68	USD	-38,976.00	
07AUG17	S	1	PD07NOV17	6344.50	6863.68	USD	-12,979.50	
07AUG17	S	1	PD07NOV17	6346.50	6863.68	USD	-12,929.50	
07AUG17	S	1	PD07NOV17	6347.50	6863.68	USD	-12,904.50	
07AUG17	B	1	PD07NOV17	6366.00	6863.68	USD	12,442.00	
07AUG17	S	1	PD07NOV17	6341.50	6863.68	USD	-13,054.50	
07AUG17	B	3	PD07NOV17	6365.00	6863.68	USD	37,401.00	
07AUG17	B	1	PD07NOV17	6365.50	6863.68	USD	12,454.50	
07AUG17	B	1	PD07NOV17	6366.50	6863.68	USD	12,429.50	
07AUG17	B	3	PD07NOV17	6367.00	6863.68	USD	37,251.00	
07AUG17	B	3	PD07NOV17	6367.50	6863.68	USD	37,213.50	
07AUG17	B	3	PD07NOV17	6368.00	6863.68	USD	37,176.00	
07AUG17	B	1	PD07NOV17	6418.50	6863.68	USD	11,129.50	
07AUG17	B	2	PD07NOV17	6419.00	6863.68	USD	22,234.00	
07AUG17	B	1	PD07NOV17	6419.50	6863.68	USD	11,104.50	

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07AUG17	B	1	PD07NOV17	6424.50	6863.68	USD	10,979.50	
07AUG17	B	2	PD07NOV17	6420.50	6863.68	USD	22,159.00	
07AUG17	B	1	PD07NOV17	6421.50	6863.68	USD	11,054.50	
07AUG17	B	1	PD07NOV17	6422.50	6863.68	USD	11,029.50	
07AUG17	B	1	PD07NOV17	6421.00	6863.68	USD	11,067.00	
07AUG17	S	2	PD07NOV17	6411.50	6863.68	USD	-22,609.00	
07AUG17	S	1	PD07NOV17	6412.00	6863.68	USD	-11,292.00	
07AUG17	B	1	PD07NOV17	6408.50	6863.68	USD	11,379.50	
07AUG17	S	2	PD07NOV17	6412.50	6863.68	USD	-22,559.00	
07AUG17	S	6	PD07NOV17	6413.00	6863.68	USD	-67,602.00	
	NET	0 AVG BGHT 6389.06	SOLD 6372.42				-10,812.50	0.00
08AUG17	B	1	PD08NOV17	6415.50	6864.45	USD	11,223.75	
08AUG17	B	4	PD08NOV17	6418.50	6864.45	USD	44,595.00	
08AUG17	S	5	PD08NOV17	6395.00	6864.45	USD	-58,681.25	
08AUG17	B	1	PD08NOV17	6400.50	6864.45	USD	11,598.75	
08AUG17	B	1	PD08NOV17	6401.50	6864.45	USD	11,573.75	
08AUG17	B	2	PD08NOV17	6402.50	6864.45	USD	23,097.50	
08AUG17	B	1	PD08NOV17	6398.00	6864.45	USD	11,661.25	
08AUG17	B	1	PD08NOV17	6437.00	6864.45	USD	10,686.25	
08AUG17	B	5	PD08NOV17	6444.00	6864.45	USD	52,556.25	
08AUG17	B	1	PD08NOV17	6456.00	6864.45	USD	10,211.25	
08AUG17	B	2	PD08NOV17	6458.00	6864.45	USD	20,322.50	
08AUG17	B	1	PD08NOV17	6462.00	6864.45	USD	10,061.25	
08AUG17	B	1	PD08NOV17	6473.00	6864.45	USD	9,786.25	
08AUG17	B	4	PD08NOV17	6475.00	6864.45	USD	38,945.00	
23AUG17	S	20	PD08NOV17	6563.00	6864.45	USD	-150,725.00	
	NET	0 AVG BGHT 6438.34	SOLD 6529.40				56,912.50	0.00
09AUG17	S	1	PD09NOV17	6477.00	6865.23	USD	-9,705.75	
09AUG17	S	3	PD09NOV17	6479.00	6865.23	USD	-28,967.25	
09AUG17	S	1	PD09NOV17	6482.50	6865.23	USD	-9,568.25	
09AUG17	B	1	PD09NOV17	6499.00	6865.23	USD	9,155.75	
09AUG17	B	2	PD09NOV17	6494.00	6865.23	USD	18,561.50	
09AUG17	B	2	PD09NOV17	6494.50	6865.23	USD	18,536.50	
09AUG17	S	1	PD09NOV17	6483.50	6865.23	USD	-9,543.25	
09AUG17	S	3	PD09NOV17	6484.00	6865.23	USD	-28,592.25	
09AUG17	S	1	PD09NOV17	6481.50	6865.23	USD	-9,593.25	
09AUG17	S	4	PD09NOV17	6489.00	6865.23	USD	-37,623.00	
09AUG17	S	1	PD09NOV17	6490.00	6865.23	USD	-9,380.75	
10AUG17	B	10	PD09NOV17	6422.79	6865.23	USD	110,610.00	
	NET	0 AVG BGHT 6446.93	SOLD 6483.97				13,890.00	0.00
10AUG17	B	1	PD10NOV17	6457.50	6866.00	USD	10,212.50	
10AUG17	B	1	PD10NOV17	6459.50	6866.00	USD	10,162.50	
10AUG17	B	1	PD10NOV17	6461.00	6866.00	USD	10,125.00	
10AUG17	B	2	PD10NOV17	6463.50	6866.00	USD	20,125.00	
10AUG17	B	2	PD10NOV17	6471.00	6866.00	USD	19,750.00	
10AUG17	B	1	PD10NOV17	6471.50	6866.00	USD	9,862.50	
10AUG17	B	1	PD10NOV17	6476.50	6866.00	USD	9,737.50	
10AUG17	B	1	PD10NOV17	6478.00	6866.00	USD	9,700.00	
10AUG17	S	1	PD10NOV17	6447.00	6866.00	USD	-10,475.00	
10AUG17	B	1	PD10NOV17	6456.50	6866.00	USD	10,237.50	
10AUG17	S	1	PD10NOV17	6409.50	6866.00	USD	-11,412.50	
10AUG17	S	2	PD10NOV17	6410.00	6866.00	USD	-22,800.00	
10AUG17	S	2	PD10NOV17	6410.50	6866.00	USD	-22,775.00	
10AUG17	B	1	PD10NOV17	6420.50	6866.00	USD	11,137.50	
10AUG17	B	1	PD10NOV17	6421.00	6866.00	USD	11,125.00	
10AUG17	B	1	PD10NOV17	6418.00	6866.00	USD	11,200.00	

OPEN POSITION STATEMENT



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SAP0025U

13th October 2017

Sapien Capital Limited
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London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
10AUG17	B	1	PD10NOV17	6423.50	6866.00	USD	11,062.50	
10AUG17	B	2	PD10NOV17	6424.50	6866.00	USD	22,075.00	
10AUG17	S	1	PD10NOV17	6422.00	6866.00	USD	-11,100.00	
11AUG17	S	1	PD10NOV17	6355.50	6866.00	USD	-12,762.50	
11AUG17	S	1	PD10NOV17	6363.00	6866.00	USD	-12,575.00	
11AUG17	S	2	PD10NOV17	6363.50	6866.00	USD	-25,125.00	
11AUG17	S	1	PD10NOV17	6364.00	6866.00	USD	-12,550.00	
11AUG17	S	1	PD10NOV17	6351.00	6866.00	USD	-12,875.00	
11AUG17	S	1	PD10NOV17	6357.50	6866.00	USD	-12,712.50	
11AUG17	S	1	PD10NOV17	6360.00	6866.00	USD	-12,650.00	
11AUG17	S	1	PD10NOV17	6366.50	6866.00	USD	-12,487.50	
11AUG17	S	1	PD10NOV17	6368.50	6866.00	USD	-12,437.50	
11AUG17	S	3	PD10NOV17	6420.00	6866.00	USD	-33,450.00	
11AUG17	S	1	PD10NOV17	6420.50	6866.00	USD	-11,137.50	
11AUG17	S	1	PD10NOV17	6421.50	6866.00	USD	-11,112.50	
23AUG17	B	5	PD10NOV17	6564.20	6866.00	USD	37,725.00	
	NET	0 AVG BGHT 6476.48	SOLD 6392.48				-46,200.00	0.00
14AUG17	S	4	PD14NOV17	6415.00	6864.67	USD	-44,967.00	
14AUG17	S	2	PD14NOV17	6426.50	6864.67	USD	-21,908.50	
14AUG17	S	1	PD14NOV17	6429.00	6864.67	USD	-10,891.75	
14AUG17	S	1	PD14NOV17	6438.50	6864.67	USD	-10,654.25	
14AUG17	S	2	PD14NOV17	6442.00	6864.67	USD	-21,133.50	
14AUG17	S	1	PD14NOV17	6402.00	6864.67	USD	-11,566.75	
14AUG17	B	4	PD14NOV17	6382.00	6864.67	USD	48,267.00	
14AUG17	B	1	PD14NOV17	6380.00	6864.67	USD	12,116.75	
14AUG17	S	1	PD14NOV17	6440.50	6864.67	USD	-10,604.25	
14AUG17	S	3	PD14NOV17	6441.50	6864.67	USD	-31,737.75	
14AUG17	B	1	PD14NOV17	6396.50	6864.67	USD	11,704.25	
14AUG17	B	1	PD14NOV17	6398.50	6864.67	USD	11,654.25	
14AUG17	B	1	PD14NOV17	6401.50	6864.67	USD	11,579.25	
14AUG17	B	2	PD14NOV17	6402.00	6864.67	USD	23,133.50	
14AUG17	B	2	PD14NOV17	6406.00	6864.67	USD	22,933.50	
14AUG17	B	1	PD14NOV17	6406.50	6864.67	USD	11,454.25	
14AUG17	B	1	PD14NOV17	6409.00	6864.67	USD	11,391.75	
14AUG17	B	1	PD14NOV17	6408.50	6864.67	USD	11,404.25	
14AUG17	B	2	PD14NOV17	6408.00	6864.67	USD	22,833.50	
14AUG17	B	3	PD14NOV17	6407.50	6864.67	USD	34,287.75	
23AUG17	S	5	PD14NOV17	6565.50	6864.67	USD	-37,396.25	
	NET	0 AVG BGHT 6399.15	SOLD 6462.95				31,900.00	0.00
15AUG17	S	1	PD15NOV17	6368.00	6864.00	USD	-12,400.00	
15AUG17	S	1	PD15NOV17	6369.00	6864.00	USD	-12,375.00	
15AUG17	S	1	PD15NOV17	6366.00	6864.00	USD	-12,450.00	
15AUG17	S	3	PD15NOV17	6367.50	6864.00	USD	-37,237.50	
15AUG17	S	1	PD15NOV17	6368.50	6864.00	USD	-12,387.50	
15AUG17	S	2	PD15NOV17	6371.00	6864.00	USD	-24,650.00	
15AUG17	S	3	PD15NOV17	6371.50	6864.00	USD	-36,937.50	
15AUG17	S	1	PD15NOV17	6379.50	6864.00	USD	-12,112.50	
15AUG17	B	1	PD15NOV17	6373.00	6864.00	USD	12,275.00	
15AUG17	B	1	PD15NOV17	6375.50	6864.00	USD	12,212.50	
15AUG17	S	3	PD15NOV17	6367.00	6864.00	USD	-37,275.00	
15AUG17	S	1	PD15NOV17	6364.50	6864.00	USD	-12,487.50	
23AUG17	B	15	PD15NOV17	6565.70	6864.00	USD	111,862.50	
	NET	0 AVG BGHT 6543.18	SOLD 6369.15				-73,962.50	0.00
16AUG17	S	1	PD16NOV17	6362.00	6863.00	USD	-12,525.00	
16AUG17	S	1	PD16NOV17	6364.50	6863.00	USD	-12,462.50	
16AUG17	S	2	PD16NOV17	6365.00	6863.00	USD	-24,900.00	

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16AUG17	S	1	PD16NOV17	6376.50	6863.00	USD	-12,162.50	
16AUG17	S	1	PD16NOV17	6378.00	6863.00	USD	-12,125.00	
16AUG17	S	1	PD16NOV17	6379.50	6863.00	USD	-12,087.50	
16AUG17	S	1	PD16NOV17	6385.00	6863.00	USD	-11,950.00	
16AUG17	B	2	PD16NOV17	6377.50	6863.00	USD	24,275.00	
16AUG17	B	1	PD16NOV17	6379.00	6863.00	USD	12,100.00	
16AUG17	B	1	PD16NOV17	6383.50	6863.00	USD	11,987.50	
16AUG17	S	1	PD16NOV17	6382.50	6863.00	USD	-12,012.50	
16AUG17	B	1	PD16NOV17	6390.50	6863.00	USD	11,812.50	
16AUG17	B	1	PD16NOV17	6432.50	6863.00	USD	10,762.50	
16AUG17	B	1	PD16NOV17	6433.00	6863.00	USD	10,750.00	
16AUG17	B	1	PD16NOV17	6434.00	6863.00	USD	10,725.00	
16AUG17	B	5	PD16NOV17	6434.50	6863.00	USD	53,562.50	
16AUG17	B	2	PD16NOV17	6435.00	6863.00	USD	21,400.00	
16AUG17	B	4	PD16NOV17	6436.00	6863.00	USD	42,700.00	
16AUG17	B	1	PD16NOV17	6436.50	6863.00	USD	10,662.50	
16AUG17	S	1	PD16NOV17	6363.50	6863.00	USD	-12,487.50	
16AUG17	B	2	PD16NOV17	6489.50	6863.00	USD	18,675.00	
16AUG17	B	2	PD16NOV17	6490.00	6863.00	USD	18,650.00	
16AUG17	B	1	PD16NOV17	6491.50	6863.00	USD	9,287.50	
16AUG17	B	1	PD16NOV17	6514.50	6863.00	USD	8,712.50	
16AUG17	B	1	PD16NOV17	6515.00	6863.00	USD	8,700.00	
16AUG17	B	1	PD16NOV17	6515.50	6863.00	USD	8,687.50	
16AUG17	B	1	PD16NOV17	6516.00	6863.00	USD	8,675.00	
16AUG17	B	1	PD16NOV17	6517.50	6863.00	USD	8,637.50	
16AUG17	B	1	PD16NOV17	6539.00	6863.00	USD	8,100.00	
16AUG17	B	1	PD16NOV17	6542.00	6863.00	USD	8,025.00	
16AUG17	B	1	PD16NOV17	6543.50	6863.00	USD	7,987.50	
16AUG17	B	1	PD16NOV17	6532.50	6863.00	USD	8,262.50	
16AUG17	B	1	PD16NOV17	6537.50	6863.00	USD	8,137.50	
16AUG17	B	3	PD16NOV17	6538.50	6863.00	USD	24,337.50	
16AUG17	B	1	PD16NOV17	6517.00	6863.00	USD	8,650.00	
16AUG17	B	1	PD16NOV17	6523.50	6863.00	USD	8,487.50	
23AUG17	S	15	PD16NOV17	6563.50	6863.00	USD	-112,312.50	
	NET	+15 AVG BGHT 6470.25	SOLD 6486.96				157,725.00	0.00
17AUG17	S	1	PD17NOV17	6474.50	6863.47	USD	-9,724.25	
17AUG17	S	1	PD17NOV17	6483.00	6863.47	USD	-9,511.75	
17AUG17	S	1	PD17NOV17	6478.00	6863.47	USD	-9,636.75	
17AUG17	S	1	PD17NOV17	6480.50	6863.47	USD	-9,574.25	
17AUG17	S	1	PD17NOV17	6484.50	6863.47	USD	-9,474.25	
18AUG17	S	1	PD17NOV17	6446.50	6863.47	USD	-10,424.25	
18AUG17	S	2	PD17NOV17	6449.00	6863.47	USD	-20,723.50	
18AUG17	S	3	PD17NOV17	6450.00	6863.47	USD	-31,010.25	
18AUG17	S	2	PD17NOV17	6452.00	6863.47	USD	-20,573.50	
18AUG17	S	1	PD17NOV17	6454.50	6863.47	USD	-10,224.25	
18AUG17	S	1	PD17NOV17	6455.00	6863.47	USD	-10,211.75	
18AUG17	S	1	PD17NOV17	6456.50	6863.47	USD	-10,174.25	
18AUG17	S	1	PD17NOV17	6458.50	6863.47	USD	-10,124.25	
18AUG17	S	3	PD17NOV17	6459.00	6863.47	USD	-30,335.25	
18AUG17	S	2	PD17NOV17	6499.50	6863.47	USD	-18,198.50	
18AUG17	S	1	PD17NOV17	6500.50	6863.47	USD	-9,074.25	
18AUG17	S	2	PD17NOV17	6501.50	6863.47	USD	-18,098.50	
18AUG17	S	2	PD17NOV17	6505.00	6863.47	USD	-17,923.50	
18AUG17	S	2	PD17NOV17	6505.50	6863.47	USD	-17,898.50	
18AUG17	S	1	PD17NOV17	6506.00	6863.47	USD	-8,936.75	
18AUG17	S	1	PD17NOV17	6506.50	6863.47	USD	-8,924.25	
18AUG17	S	1	PD17NOV17	6509.00	6863.47	USD	-8,861.75	

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18AUG17	S	2	PD17NOV17	6509.50	6863.47	USD	-17,698.50	
18AUG17	S	1	PD17NOV17	6510.00	6863.47	USD	-8,836.75	
18AUG17	B	5	PD17NOV17	6489.50	6863.47	USD	46,746.25	
18AUG17	B	1	PD17NOV17	6499.00	6863.47	USD	9,111.75	
18AUG17	B	1	PD17NOV17	6500.00	6863.47	USD	9,086.75	
18AUG17	B	1	PD17NOV17	6500.50	6863.47	USD	9,074.25	
18AUG17	B	1	PD17NOV17	6502.50	6863.47	USD	9,024.25	
18AUG17	B	1	PD17NOV17	6496.50	6863.47	USD	9,174.25	
18AUG17	B	1	PD17NOV17	6485.50	6863.47	USD	9,449.25	
18AUG17	B	1	PD17NOV17	6487.50	6863.47	USD	9,399.25	
18AUG17	S	1	PD17NOV17	6480.50	6863.47	USD	-9,574.25	
18AUG17	S	1	PD17NOV17	6481.00	6863.47	USD	-9,561.75	
23AUG17	B	25	PD17NOV17	6563.89	6863.47	USD	187,237.50	
	NET	0 AVG	BGHT 6540.98	SOLD 6479.35			-57,006.25	0.00
21AUG17	S	2	PD21NOV17	6476.00	6865.33	USD	-19,466.50	
21AUG17	S	2	PD21NOV17	6478.00	6865.33	USD	-19,366.50	
21AUG17	S	1	PD21NOV17	6480.00	6865.33	USD	-9,633.25	
21AUG17	B	1	PD21NOV17	6576.50	6865.33	USD	7,220.75	
21AUG17	B	1	PD21NOV17	6583.50	6865.33	USD	7,045.75	
21AUG17	B	1	PD21NOV17	6587.00	6865.33	USD	6,958.25	
21AUG17	B	1	PD21NOV17	6547.50	6865.33	USD	7,945.75	
21AUG17	B	1	PD21NOV17	6545.50	6865.33	USD	7,995.75	
21AUG17	S	1	PD21NOV17	6546.00	6865.33	USD	-7,983.25	
21AUG17	S	1	PD21NOV17	6549.50	6865.33	USD	-7,895.75	
21AUG17	B	2	PD21NOV17	6558.00	6865.33	USD	15,366.50	
21AUG17	B	2	PD21NOV17	6557.50	6865.33	USD	15,391.50	
21AUG17	B	1	PD21NOV17	6558.50	6865.33	USD	7,670.75	
21AUG17	B	1	PD21NOV17	6562.50	6865.33	USD	7,570.75	
21AUG17	B	1	PD21NOV17	6621.00	6865.33	USD	6,108.25	
21AUG17	B	4	PD21NOV17	6621.50	6865.33	USD	24,383.00	
21AUG17	B	2	PD21NOV17	6586.00	6865.33	USD	13,966.50	
21AUG17	B	1	PD21NOV17	6568.00	6865.33	USD	7,433.25	
21AUG17	B	1	PD21NOV17	6576.00	6865.33	USD	7,233.25	
21AUG17	B	2	PD21NOV17	6577.00	6865.33	USD	14,416.50	
	NET	+15 AVG	BGHT 6580.41	SOLD 6497.64			92,361.25	0.00
22AUG17	B	1	PD22NOV17	6552.00	6865.80	USD	7,845.00	
22AUG17	S	1	PD22NOV17	6568.00	6865.80	USD	-7,445.00	
22AUG17	B	2	PD22NOV17	6576.00	6865.80	USD	14,490.00	
22AUG17	B	1	PD22NOV17	6578.00	6865.80	USD	7,195.00	
22AUG17	B	1	PD22NOV17	6578.50	6865.80	USD	7,182.50	
22AUG17	B	1	PD22NOV17	6587.00	6865.80	USD	6,970.00	
22AUG17	B	1	PD22NOV17	6612.00	6865.80	USD	6,345.00	
22AUG17	B	2	PD22NOV17	6619.00	6865.80	USD	12,340.00	
22AUG17	B	1	PD22NOV17	6617.50	6865.80	USD	6,207.50	
22AUG17	B	1	PD22NOV17	6620.00	6865.80	USD	6,145.00	
22AUG17	B	1	PD22NOV17	6589.50	6865.80	USD	6,907.50	
22AUG17	B	2	PD22NOV17	6580.00	6865.80	USD	14,290.00	
22AUG17	B	1	PD22NOV17	6582.50	6865.80	USD	7,082.50	
22AUG17	B	1	PD22NOV17	6584.50	6865.80	USD	7,032.50	
22AUG17	B	1	PD22NOV17	6574.00	6865.80	USD	7,295.00	
22AUG17	B	1	PD22NOV17	6577.00	6865.80	USD	7,220.00	
22AUG17	B	1	PD22NOV17	6581.50	6865.80	USD	7,107.50	
22AUG17	B	1	PD22NOV17	6582.00	6865.80	USD	7,095.00	
22AUG17	B	1	PD22NOV17	6583.00	6865.80	USD	7,070.00	
	NET	+20 AVG	BGHT 6588.05	SOLD 6568.00			138,375.00	0.00
23AUG17	S	1	PD24NOV17	6575.00	6866.73	USD	-7,293.25	

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23AUG17	S	1	PD24NOV17	6573.00	6866.73	USD	-7,343.25	
23AUG17	S	1	PD24NOV17	6569.00	6866.73	USD	-7,443.25	
23AUG17	S	1	PD24NOV17	6570.50	6866.73	USD	-7,405.75	
23AUG17	S	1	PD24NOV17	6573.50	6866.73	USD	-7,330.75	
23AUG17	B	2	PD24NOV17	6568.00	6866.73	USD	14,936.50	
23AUG17	B	1	PD24NOV17	6579.50	6866.73	USD	7,180.75	
23AUG17	B	1	PD24NOV17	6570.50	6866.73	USD	7,405.75	
23AUG17	B	1	PD24NOV17	6575.00	6866.73	USD	7,293.25	
24AUG17	S	1	PD24NOV17	6611.50	6866.73	USD	-6,380.75	
24AUG17	S	1	PD24NOV17	6612.50	6866.73	USD	-6,355.75	
24AUG17	S	2	PD24NOV17	6613.50	6866.73	USD	-12,661.50	
24AUG17	S	1	PD24NOV17	6614.00	6866.73	USD	-6,318.25	
24AUG17	S	2	PD24NOV17	6616.50	6866.73	USD	-12,511.50	
24AUG17	B	1	PD24NOV17	6606.50	6866.73	USD	6,505.75	
24AUG17	B	1	PD24NOV17	6607.00	6866.73	USD	6,493.25	
24AUG17	S	1	PD24NOV17	6625.50	6866.73	USD	-6,030.75	
24AUG17	S	1	PD24NOV17	6626.50	6866.73	USD	-6,005.75	
24AUG17	S	1	PD24NOV17	6627.50	6866.73	USD	-5,980.75	
24AUG17	S	1	PD24NOV17	6628.00	6866.73	USD	-5,968.25	
24AUG17	S	1	PD24NOV17	6629.00	6866.73	USD	-5,943.25	
25AUG17	B	1	PD24NOV17	6714.00	6866.73	USD	3,818.25	
25AUG17	B	2	PD24NOV17	6714.50	6866.73	USD	7,611.50	
25AUG17	B	1	PD24NOV17	6716.00	6866.73	USD	3,768.25	
25AUG17	B	1	PD24NOV17	6724.00	6866.73	USD	3,568.25	
25AUG17	S	5	PD24NOV17	6712.00	6866.73	USD	-19,341.25	
25AUG17	S	1	PD24NOV17	6671.00	6866.73	USD	-4,893.25	
25AUG17	S	1	PD24NOV17	6671.50	6866.73	USD	-4,880.75	
25AUG17	S	1	PD24NOV17	6679.00	6866.73	USD	-4,693.25	
25AUG17	S	1	PD24NOV17	6680.50	6866.73	USD	-4,655.75	
25AUG17	B	2	PD24NOV17	6682.00	6866.73	USD	9,236.50	
25AUG17	B	2	PD24NOV17	6687.00	6866.73	USD	8,986.50	
	NET	-10 AVG BGHT 6649.72	SOLD 6636.83				-62,632.50	0.00
29AUG17	S	2	PD29NOV17	6791.00	6869.07	USD	-3,903.50	
29AUG17	S	2	PD29NOV17	6795.00	6869.07	USD	-3,703.50	
29AUG17	S	1	PD29NOV17	6782.00	6869.07	USD	-2,176.75	
29AUG17	S	1	PD29NOV17	6792.00	6869.07	USD	-1,926.75	
29AUG17	S	1	PD29NOV17	6794.00	6869.07	USD	-1,876.75	
29AUG17	S	1	PD29NOV17	6781.50	6869.07	USD	-2,189.25	
29AUG17	S	3	PD29NOV17	6788.00	6869.07	USD	-6,080.25	
29AUG17	S	1	PD29NOV17	6793.00	6869.07	USD	-1,901.75	
29AUG17	S	3	PD29NOV17	6784.50	6869.07	USD	-6,342.75	
29AUG17	S	4	PD29NOV17	6786.00	6869.07	USD	-8,307.00	
29AUG17	S	2	PD29NOV17	6807.50	6869.07	USD	-3,078.50	
29AUG17	S	2	PD29NOV17	6808.00	6869.07	USD	-3,053.50	
29AUG17	S	1	PD29NOV17	6812.00	6869.07	USD	-1,426.75	
29AUG17	S	1	PD29NOV17	6813.50	6869.07	USD	-1,389.25	
29AUG17	B	1	PD29NOV17	6816.50	6869.07	USD	1,314.25	
29AUG17	B	4	PD29NOV17	6817.00	6869.07	USD	5,207.00	
29AUG17	B	2	PD29NOV17	6817.50	6869.07	USD	2,578.50	
29AUG17	B	1	PD29NOV17	6822.00	6869.07	USD	1,176.75	
29AUG17	B	1	PD29NOV17	6804.00	6869.07	USD	1,626.75	
29AUG17	B	2	PD29NOV17	6804.50	6869.07	USD	3,228.50	
29AUG17	B	1	PD29NOV17	6808.00	6869.07	USD	1,526.75	
29AUG17	B	2	PD29NOV17	6802.00	6869.07	USD	3,353.50	
29AUG17	B	1	PD29NOV17	6803.00	6869.07	USD	1,651.75	
29AUG17	B	1	PD29NOV17	6811.50	6869.07	USD	1,439.25	
29AUG17	B	1	PD29NOV17	6806.00	6869.07	USD	1,576.75	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0025U

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
29AUG17	B	1	PD29NOV17	6807.50	6869.07	USD	1,539.25	
29AUG17	B	1	PD29NOV17	6813.00	6869.07	USD	1,401.75	
29AUG17	B	2	PD29NOV17	6825.00	6869.07	USD	2,203.50	
29AUG17	B	1	PD29NOV17	6788.50	6869.07	USD	2,014.25	
29AUG17	B	1	PD29NOV17	6794.00	6869.07	USD	1,876.75	
29AUG17	S	1	PD29NOV17	6803.00	6869.07	USD	-1,651.75	
29AUG17	S	2	PD29NOV17	6803.50	6869.07	USD	-3,278.50	
29AUG17	S	1	PD29NOV17	6804.00	6869.07	USD	-1,626.75	
29AUG17	B	3	PD29NOV17	6803.50	6869.07	USD	4,917.75	
29AUG17	S	1	PD29NOV17	6806.00	6869.07	USD	-1,576.75	
29AUG17	B	3	PD29NOV17	6793.00	6869.07	USD	5,705.25	
29AUG17	S	4	PD29NOV17	6804.50	6869.07	USD	-6,457.00	
	NET	-5 AVG BGHT 6807.91	SOLD 6796.19				-17,608.75	0.00
30AUG17	B	5	PD30NOV17	6790.00	6869.53	USD	9,941.25	
30AUG17	B	1	PD30NOV17	6776.00	6869.53	USD	2,338.25	
30AUG17	S	1	PD30NOV17	6787.50	6869.53	USD	-2,050.75	
30AUG17	B	1	PD30NOV17	6813.50	6869.53	USD	1,400.75	
30AUG17	B	2	PD30NOV17	6814.00	6869.53	USD	2,776.50	
30AUG17	B	1	PD30NOV17	6814.50	6869.53	USD	1,375.75	
30AUG17	B	1	PD30NOV17	6816.00	6869.53	USD	1,338.25	
30AUG17	S	5	PD30NOV17	6786.00	6869.53	USD	-10,441.25	
30AUG17	S	4	PD30NOV17	6787.00	6869.53	USD	-8,253.00	
30AUG17	S	1	PD30NOV17	6789.50	6869.53	USD	-2,000.75	
30AUG17	S	1	PD30NOV17	6790.00	6869.53	USD	-1,988.25	
30AUG17	S	4	PD30NOV17	6790.50	6869.53	USD	-7,903.00	
30AUG17	B	1	PD30NOV17	6773.00	6869.53	USD	2,413.25	
30AUG17	B	1	PD30NOV17	6774.50	6869.53	USD	2,375.75	
30AUG17	B	3	PD30NOV17	6775.50	6869.53	USD	7,052.25	
31AUG17	B	1	PD30NOV17	6805.00	6869.53	USD	1,613.25	
31AUG17	S	1	PD30NOV17	6784.00	6869.53	USD	-2,138.25	
31AUG17	S	3	PD30NOV17	6785.00	6869.53	USD	-6,339.75	
31AUG17	S	1	PD30NOV17	6785.50	6869.53	USD	-2,100.75	
31AUG17	S	2	PD30NOV17	6805.50	6869.53	USD	-3,201.50	
31AUG17	S	1	PD30NOV17	6806.50	6869.53	USD	-1,575.75	
31AUG17	B	1	PD30NOV17	6803.50	6869.53	USD	1,650.75	
31AUG17	S	4	PD30NOV17	6804.50	6869.53	USD	-6,503.00	
31AUG17	B	1	PD30NOV17	6807.00	6869.53	USD	1,563.25	
31AUG17	B	1	PD30NOV17	6808.00	6869.53	USD	1,538.25	
31AUG17	B	3	PD30NOV17	6809.00	6869.53	USD	4,539.75	
31AUG17	B	1	PD30NOV17	6836.00	6869.53	USD	838.25	
31AUG17	B	1	PD30NOV17	6836.50	6869.53	USD	825.75	
31AUG17	B	1	PD30NOV17	6825.50	6869.53	USD	1,100.75	
31AUG17	B	1	PD30NOV17	6827.00	6869.53	USD	1,063.25	
31AUG17	B	1	PD30NOV17	6827.50	6869.53	USD	1,050.75	
31AUG17	B	1	PD30NOV17	6832.50	6869.53	USD	925.75	
31AUG17	B	1	PD30NOV17	6833.50	6869.53	USD	900.75	
31AUG17	B	2	PD30NOV17	6834.50	6869.53	USD	1,751.50	
31AUG17	B	3	PD30NOV17	6835.00	6869.53	USD	2,589.75	
31AUG17	B	3	PD30NOV17	6835.50	6869.53	USD	2,552.25	
31AUG17	B	1	PD30NOV17	6826.50	6869.53	USD	1,075.75	
31AUG17	B	1	PD30NOV17	6840.00	6869.53	USD	738.25	
31AUG17	B	1	PD30NOV17	6842.00	6869.53	USD	688.25	
31AUG17	S	2	PD30NOV17	6832.50	6869.53	USD	-1,851.50	
31AUG17	S	1	PD30NOV17	6825.50	6869.53	USD	-1,100.75	
31AUG17	B	1	PD30NOV17	6820.00	6869.53	USD	1,238.25	
31AUG17	S	1	PD30NOV17	6824.00	6869.53	USD	-1,138.25	
31AUG17	S	1	PD30NOV17	6783.00	6869.53	USD	-2,163.25	

OPEN POSITION STATEMENT



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Sapien Capital Limited
Suite A, 105 Piccadilly
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+44 (0) 20 7340 9081
backoffice@sapiencap.com

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
31AUG17	S	1	PD30NOV17	6786.00	6869.53	USD	-2,088.25	
31AUG17	S	2	PD30NOV17	6787.50	6869.53	USD	-4,101.50	
31AUG17	S	1	PD30NOV17	6792.00	6869.53	USD	-1,938.25	
31AUG17	S	1	PD30NOV17	6795.50	6869.53	USD	-1,850.75	
31AUG17	B	1	PD30NOV17	6800.00	6869.53	USD	1,738.25	
31AUG17	B	5	PD30NOV17	6801.00	6869.53	USD	8,566.25	
	NET	+10 AVG BGHT 6811.56	SOLD 6795.08				-1,167.50	0.00
01SEP17	B	1	PD01DEC17	6812.50	6870.00	USD	1,437.50	
01SEP17	B	2	PD01DEC17	6815.00	6870.00	USD	2,750.00	
01SEP17	B	1	PD01DEC17	6815.50	6870.00	USD	1,362.50	
01SEP17	B	1	PD01DEC17	6816.00	6870.00	USD	1,350.00	
01SEP17	S	1	PD01DEC17	6813.00	6870.00	USD	-1,425.00	
01SEP17	S	1	PD01DEC17	6815.50	6870.00	USD	-1,362.50	
01SEP17	S	1	PD01DEC17	6817.00	6870.00	USD	-1,325.00	
01SEP17	S	1	PD01DEC17	6818.50	6870.00	USD	-1,287.50	
01SEP17	S	1	PD01DEC17	6819.00	6870.00	USD	-1,275.00	
01SEP17	B	1	PD01DEC17	6828.50	6870.00	USD	1,037.50	
01SEP17	S	1	PD01DEC17	6840.00	6870.00	USD	-750.00	
01SEP17	S	1	PD01DEC17	6831.50	6870.00	USD	-962.50	
01SEP17	S	1	PD01DEC17	6834.50	6870.00	USD	-887.50	
01SEP17	S	1	PD01DEC17	6835.00	6870.00	USD	-875.00	
01SEP17	S	3	PD01DEC17	6830.50	6870.00	USD	-2,962.50	
01SEP17	B	2	PD01DEC17	6836.50	6870.00	USD	1,675.00	
01SEP17	S	1	PD01DEC17	6833.00	6870.00	USD	-925.00	
	NET	-5 AVG BGHT 6821.94	SOLD 6826.81				-4,425.00	0.00
04SEP17	S	4	PD04DEC17	6883.50	6871.20	USD	1,230.00	
04SEP17	S	1	PD04DEC17	6889.00	6871.20	USD	445.00	
04SEP17	B	1	PD04DEC17	6895.50	6871.20	USD	-607.50	
04SEP17	B	1	PD04DEC17	6897.50	6871.20	USD	-657.50	
04SEP17	B	2	PD04DEC17	6900.00	6871.20	USD	-1,440.00	
04SEP17	B	1	PD04DEC17	6908.00	6871.20	USD	-920.00	
04SEP17	B	1	PD04DEC17	6909.50	6871.20	USD	-957.50	
04SEP17	B	1	PD04DEC17	6911.50	6871.20	USD	-1,007.50	
04SEP17	S	1	PD04DEC17	6880.00	6871.20	USD	220.00	
04SEP17	S	1	PD04DEC17	6880.50	6871.20	USD	232.50	
04SEP17	S	2	PD04DEC17	6882.00	6871.20	USD	540.00	
04SEP17	S	1	PD04DEC17	6885.50	6871.20	USD	357.50	
04SEP17	S	1	PD04DEC17	6886.00	6871.20	USD	370.00	
04SEP17	S	1	PD04DEC17	6887.00	6871.20	USD	395.00	
04SEP17	S	1	PD04DEC17	6888.00	6871.20	USD	420.00	
04SEP17	S	1	PD04DEC17	6888.50	6871.20	USD	432.50	
04SEP17	S	1	PD04DEC17	6889.50	6871.20	USD	457.50	
04SEP17	S	1	PD04DEC17	6890.00	6871.20	USD	470.00	
04SEP17	S	3	PD04DEC17	6891.00	6871.20	USD	1,485.00	
04SEP17	S	2	PD04DEC17	6891.50	6871.20	USD	1,015.00	
04SEP17	B	3	PD04DEC17	6888.00	6871.20	USD	-1,260.00	
04SEP17	B	2	PD04DEC17	6888.50	6871.20	USD	-865.00	
04SEP17	S	2	PD04DEC17	6883.00	6871.20	USD	590.00	
04SEP17	B	1	PD04DEC17	6890.50	6871.20	USD	-482.50	
04SEP17	B	1	PD04DEC17	6894.00	6871.20	USD	-570.00	
04SEP17	B	1	PD04DEC17	6894.50	6871.20	USD	-582.50	
04SEP17	B	1	PD04DEC17	6905.00	6871.20	USD	-845.00	
04SEP17	B	1	PD04DEC17	6909.00	6871.20	USD	-945.00	
04SEP17	S	1	PD04DEC17	6905.00	6871.20	USD	845.00	
04SEP17	S	2	PD04DEC17	6905.50	6871.20	USD	1,715.00	
04SEP17	S	1	PD04DEC17	6907.50	6871.20	USD	907.50	
04SEP17	S	1	PD04DEC17	6908.00	6871.20	USD	920.00	

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04SEP17	S	1	PD04DEC17	6908.50	6871.20	USD	932.50	
04SEP17	S	1	PD04DEC17	6911.00	6871.20	USD	995.00	
04SEP17	S	1	PD04DEC17	6914.00	6871.20	USD	1,070.00	
04SEP17	S	1	PD04DEC17	6917.50	6871.20	USD	1,157.50	
04SEP17	B	1	PD04DEC17	6893.00	6871.20	USD	-545.00	
04SEP17	S	1	PD04DEC17	6898.00	6871.20	USD	670.00	
04SEP17	B	1	PD04DEC17	6899.50	6871.20	USD	-707.50	
04SEP17	B	1	PD04DEC17	6901.50	6871.20	USD	-757.50	
04SEP17	S	1	PD04DEC17	6906.00	6871.20	USD	870.00	
04SEP17	S	2	PD04DEC17	6907.00	6871.20	USD	1,790.00	
04SEP17	B	2	PD04DEC17	6889.50	6871.20	USD	-915.00	
04SEP17	B	4	PD04DEC17	6890.00	6871.20	USD	-1,880.00	
04SEP17	B	1	PD04DEC17	6918.50	6871.20	USD	-1,182.50	
04SEP17	B	1	PD04DEC17	6919.50	6871.20	USD	-1,207.50	
04SEP17	B	1	PD04DEC17	6921.00	6871.20	USD	-1,245.00	
04SEP17	B	1	PD04DEC17	6915.00	6871.20	USD	-1,095.00	
04SEP17	B	1	PD04DEC17	6916.00	6871.20	USD	-1,120.00	
	NET	-5 AVG BGHT 6899.32	SOLD 6894.01				-1,262.50	0.00
05SEP17	B	3	PD05DEC17	6927.50	6871.60	USD	-4,192.50	
05SEP17	B	1	PD05DEC17	6928.00	6871.60	USD	-1,410.00	
05SEP17	B	3	PD05DEC17	6929.00	6871.60	USD	-4,305.00	
05SEP17	B	1	PD05DEC17	6929.50	6871.60	USD	-1,447.50	
05SEP17	B	2	PD05DEC17	6930.00	6871.60	USD	-2,920.00	
05SEP17	B	1	PD05DEC17	6930.50	6871.60	USD	-1,472.50	
05SEP17	B	1	PD05DEC17	6932.00	6871.60	USD	-1,510.00	
05SEP17	B	1	PD05DEC17	6932.50	6871.60	USD	-1,522.50	
05SEP17	B	3	PD05DEC17	6937.50	6871.60	USD	-4,942.50	
05SEP17	B	1	PD05DEC17	6938.00	6871.60	USD	-1,660.00	
05SEP17	B	1	PD05DEC17	6938.50	6871.60	USD	-1,672.50	
05SEP17	B	2	PD05DEC17	6927.00	6871.60	USD	-2,770.00	
05SEP17	S	3	PD05DEC17	6847.00	6871.60	USD	-1,845.00	
05SEP17	S	1	PD05DEC17	6850.50	6871.60	USD	-527.50	
05SEP17	S	1	PD05DEC17	6852.00	6871.60	USD	-490.00	
	NET	+15 AVG BGHT 6931.25	SOLD 6848.70				-32,687.50	0.00
06SEP17	S	4	PD06DEC17	6879.50	6872.00	USD	750.00	
06SEP17	S	10	PD06DEC17	6885.50	6872.00	USD	3,375.00	
06SEP17	B	1	PD06DEC17	6888.00	6872.00	USD	-400.00	
06SEP17	B	3	PD06DEC17	6899.00	6872.00	USD	-2,025.00	
06SEP17	B	1	PD06DEC17	6899.50	6872.00	USD	-687.50	
06SEP17	B	1	PD06DEC17	6901.00	6872.00	USD	-725.00	
06SEP17	S	1	PD06DEC17	6890.50	6872.00	USD	462.50	
06SEP17	S	1	PD06DEC17	6909.50	6872.00	USD	937.50	
06SEP17	S	2	PD06DEC17	6911.50	6872.00	USD	1,975.00	
06SEP17	B	1	PD06DEC17	6890.00	6872.00	USD	-450.00	
06SEP17	B	1	PD06DEC17	6888.50	6872.00	USD	-412.50	
06SEP17	B	1	PD06DEC17	6889.50	6872.00	USD	-437.50	
06SEP17	B	1	PD06DEC17	6891.00	6872.00	USD	-475.00	
06SEP17	B	1	PD06DEC17	6892.00	6872.00	USD	-500.00	
06SEP17	B	1	PD06DEC17	6892.50	6872.00	USD	-512.50	
06SEP17	B	4	PD06DEC17	6893.00	6872.00	USD	-2,100.00	
06SEP17	B	4	PD06DEC17	6894.00	6872.00	USD	-2,200.00	
06SEP17	B	1	PD06DEC17	6893.50	6872.00	USD	-537.50	
06SEP17	S	2	PD06DEC17	6912.50	6872.00	USD	2,025.00	
06SEP17	S	1	PD06DEC17	6880.00	6872.00	USD	200.00	
06SEP17	S	1	PD06DEC17	6882.50	6872.00	USD	262.50	
06SEP17	S	1	PD06DEC17	6884.00	6872.00	USD	300.00	
06SEP17	S	1	PD06DEC17	6886.50	6872.00	USD	362.50	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0025U

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
06SEP17	S	1	PD06DEC17	6869.00	6872.00	USD	-75.00	
06SEP17	S	1	PD06DEC17	6870.00	6872.00	USD	-50.00	
06SEP17	S	1	PD06DEC17	6870.50	6872.00	USD	-37.50	
06SEP17	S	1	PD06DEC17	6873.00	6872.00	USD	25.00	
06SEP17	S	1	PD06DEC17	6874.00	6872.00	USD	50.00	
06SEP17	S	1	PD06DEC17	6875.50	6872.00	USD	87.50	
06SEP17	S	1	PD06DEC17	6876.00	6872.00	USD	100.00	
06SEP17	S	2	PD06DEC17	6883.00	6872.00	USD	550.00	
06SEP17	S	1	PD06DEC17	6887.50	6872.00	USD	387.50	
06SEP17	S	1	PD06DEC17	6892.00	6872.00	USD	500.00	
06SEP17	S	5	PD06DEC17	6888.00	6872.00	USD	2,000.00	
06SEP17	S	2	PD06DEC17	6889.00	6872.00	USD	850.00	
06SEP17	S	1	PD06DEC17	6889.50	6872.00	USD	437.50	
06SEP17	S	1	PD06DEC17	6893.50	6872.00	USD	537.50	
06SEP17	S	2	PD06DEC17	6890.00	6872.00	USD	900.00	
06SEP17	S	2	PD06DEC17	6885.00	6872.00	USD	650.00	
06SEP17	S	1	PD06DEC17	6883.50	6872.00	USD	287.50	
06SEP17	S	5	PD06DEC17	6886.00	6872.00	USD	1,750.00	
06SEP17	S	1	PD06DEC17	6887.00	6872.00	USD	375.00	
06SEP17	S	1	PD06DEC17	6896.00	6872.00	USD	600.00	
06SEP17	S	1	PD06DEC17	6896.50	6872.00	USD	612.50	
06SEP17	S	1	PD06DEC17	6898.00	6872.00	USD	650.00	
06SEP17	B	1	PD06DEC17	6907.50	6872.00	USD	-887.50	
06SEP17	S	2	PD06DEC17	6905.00	6872.00	USD	1,650.00	
06SEP17	S	1	PD06DEC17	6905.50	6872.00	USD	837.50	
06SEP17	S	1	PD06DEC17	6897.00	6872.00	USD	625.00	
	NET	-40 AVG BGHT	6894.45	SOLD 6888.10			12,600.00	0.00
07SEP17	B	3	PD07DEC17	6925.00	6872.42	USD	-3,943.50	
07SEP17	B	4	PD07DEC17	6927.50	6872.42	USD	-5,508.00	
07SEP17	B	1	PD07DEC17	6928.00	6872.42	USD	-1,389.50	
07SEP17	B	2	PD07DEC17	6911.00	6872.42	USD	-1,929.00	
07SEP17	B	1	PD07DEC17	6912.50	6872.42	USD	-1,002.00	
07SEP17	B	1	PD07DEC17	6913.00	6872.42	USD	-1,014.50	
07SEP17	B	1	PD07DEC17	6920.50	6872.42	USD	-1,202.00	
07SEP17	B	3	PD07DEC17	6924.00	6872.42	USD	-3,868.50	
07SEP17	B	2	PD07DEC17	6925.50	6872.42	USD	-2,654.00	
07SEP17	B	1	PD07DEC17	6921.00	6872.42	USD	-1,214.50	
07SEP17	B	4	PD07DEC17	6922.50	6872.42	USD	-5,008.00	
07SEP17	B	2	PD07DEC17	6923.00	6872.42	USD	-2,529.00	
07SEP17	S	1	PD07DEC17	6898.50	6872.42	USD	652.00	
07SEP17	S	1	PD07DEC17	6899.50	6872.42	USD	677.00	
07SEP17	S	1	PD07DEC17	6900.00	6872.42	USD	689.50	
07SEP17	S	1	PD07DEC17	6901.00	6872.42	USD	714.50	
07SEP17	S	2	PD07DEC17	6901.50	6872.42	USD	1,454.00	
07SEP17	S	5	PD07DEC17	6902.00	6872.42	USD	3,697.50	
07SEP17	S	1	PD07DEC17	6857.50	6872.42	USD	-373.00	
07SEP17	S	3	PD07DEC17	6859.00	6872.42	USD	-1,006.50	
07SEP17	S	1	PD07DEC17	6859.50	6872.42	USD	-323.00	
07SEP17	S	1	PD07DEC17	6866.50	6872.42	USD	-148.00	
07SEP17	S	1	PD07DEC17	6867.50	6872.42	USD	-123.00	
07SEP17	S	2	PD07DEC17	6870.50	6872.42	USD	-96.00	
07SEP17	S	1	PD07DEC17	6871.00	6872.42	USD	-35.50	
07SEP17	S	2	PD07DEC17	6871.50	6872.42	USD	-46.00	
07SEP17	S	1	PD07DEC17	6874.00	6872.42	USD	39.50	
07SEP17	S	3	PD07DEC17	6876.50	6872.42	USD	306.00	
07SEP17	B	1	PD07DEC17	6858.00	6872.42	USD	360.50	
07SEP17	B	1	PD07DEC17	6862.50	6872.42	USD	248.00	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>								
07SEP17	B	1	PD07DEC17		6863.00	6872.42	USD	235.50	
07SEP17	B	3	PD07DEC17		6864.50	6872.42	USD	594.00	
07SEP17	B	1	PD07DEC17		6864.00	6872.42	USD	210.50	
07SEP17	B	1	PD07DEC17		6866.00	6872.42	USD	160.50	
07SEP17	B	3	PD07DEC17		6873.00	6872.42	USD	-43.50	
07SEP17	B	3	PD07DEC17		6863.50	6872.42	USD	669.00	
07SEP17	S	1	PD07DEC17		6884.50	6872.42	USD	302.00	
07SEP17	S	1	PD07DEC17		6887.00	6872.42	USD	364.50	
07SEP17	S	1	PD07DEC17		6889.00	6872.42	USD	414.50	
07SEP17	S	1	PD07DEC17		6883.00	6872.42	USD	264.50	
07SEP17	S	2	PD07DEC17		6872.00	6872.42	USD	-21.00	
07SEP17	S	1	PD07DEC17		6889.50	6872.42	USD	427.00	
07SEP17	S	2	PD07DEC17		6890.50	6872.42	USD	904.00	
07SEP17	S	1	PD07DEC17		6865.00	6872.42	USD	-185.50	
07SEP17	S	1	PD07DEC17		6875.50	6872.42	USD	77.00	
07SEP17	S	5	PD07DEC17		6878.00	6872.42	USD	697.50	
07SEP17	S	2	PD07DEC17		6878.50	6872.42	USD	304.00	
07SEP17	B	1	PD07DEC17		6894.50	6872.42	USD	-552.00	
07SEP17	B	2	PD07DEC17		6897.50	6872.42	USD	-1,254.00	
07SEP17	B	1	PD07DEC17		6896.50	6872.42	USD	-602.00	
07SEP17	S	4	PD07DEC17		6898.00	6872.42	USD	2,558.00	
07SEP17	S	2	PD07DEC17		6899.00	6872.42	USD	1,329.00	
07SEP17	S	2	PD07DEC17		6902.50	6872.42	USD	1,504.00	
	NET	-10 AVG	BGHT 6901.48	SOLD 6883.75				-16,217.50	0.00
08SEP17	B	3	PD08DEC17		6925.50	6872.83	USD	-3,950.25	
08SEP17	B	2	PD08DEC17		6926.50	6872.83	USD	-2,683.50	
08SEP17	B	1	PD08DEC17		6928.00	6872.83	USD	-1,379.25	
08SEP17	S	1	PD08DEC17		6925.50	6872.83	USD	1,316.75	
08SEP17	S	1	PD08DEC17		6821.00	6872.83	USD	-1,295.75	
08SEP17	S	1	PD08DEC17		6821.50	6872.83	USD	-1,283.25	
08SEP17	S	2	PD08DEC17		6822.00	6872.83	USD	-2,541.50	
08SEP17	S	1	PD08DEC17		6825.50	6872.83	USD	-1,183.25	
08SEP17	S	2	PD08DEC17		6832.50	6872.83	USD	-2,016.50	
08SEP17	S	1	PD08DEC17		6833.00	6872.83	USD	-995.75	
08SEP17	S	2	PD08DEC17		6835.50	6872.83	USD	-1,866.50	
08SEP17	S	2	PD08DEC17		6836.50	6872.83	USD	-1,816.50	
08SEP17	S	1	PD08DEC17		6837.50	6872.83	USD	-883.25	
08SEP17	S	1	PD08DEC17		6839.00	6872.83	USD	-845.75	
08SEP17	B	1	PD08DEC17		6825.50	6872.83	USD	1,183.25	
08SEP17	S	1	PD08DEC17		6823.50	6872.83	USD	-1,233.25	
08SEP17	B	1	PD08DEC17		6783.50	6872.83	USD	2,233.25	
08SEP17	B	3	PD08DEC17		6784.50	6872.83	USD	6,624.75	
08SEP17	B	1	PD08DEC17		6787.00	6872.83	USD	2,145.75	
08SEP17	B	1	PD08DEC17		6788.00	6872.83	USD	2,120.75	
08SEP17	B	1	PD08DEC17		6802.00	6872.83	USD	1,770.75	
08SEP17	B	1	PD08DEC17		6805.00	6872.83	USD	1,695.75	
08SEP17	B	1	PD08DEC17		6805.50	6872.83	USD	1,683.25	
08SEP17	B	1	PD08DEC17		6806.00	6872.83	USD	1,670.75	
08SEP17	S	1	PD08DEC17		6837.00	6872.83	USD	-895.75	
08SEP17	B	2	PD08DEC17		6793.00	6872.83	USD	3,991.50	
08SEP17	B	1	PD08DEC17		6799.50	6872.83	USD	1,833.25	
08SEP17	B	1	PD08DEC17		6804.00	6872.83	USD	1,720.75	
08SEP17	B	1	PD08DEC17		6807.00	6872.83	USD	1,645.75	
08SEP17	S	1	PD08DEC17		6738.00	6872.83	USD	-3,370.75	
08SEP17	S	2	PD08DEC17		6739.00	6872.83	USD	-6,691.50	
08SEP17	S	1	PD08DEC17		6740.00	6872.83	USD	-3,320.75	
08SEP17	S	1	PD08DEC17		6742.50	6872.83	USD	-3,258.25	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>								
	NET	0 AVG	BGHT 6832.27	SOLD 6814.32				-9,875.00	0.00
11SEP17	B	1	PD11DEC17		6679.00	6874.08	USD	4,877.00	
11SEP17	B	1	PD11DEC17		6689.00	6874.08	USD	4,627.00	
11SEP17	B	1	PD11DEC17		6693.50	6874.08	USD	4,514.50	
11SEP17	B	1	PD11DEC17		6689.50	6874.08	USD	4,614.50	
11SEP17	B	1	PD11DEC17		6691.50	6874.08	USD	4,564.50	
11SEP17	B	1	PD11DEC17		6692.00	6874.08	USD	4,552.00	
11SEP17	B	4	PD11DEC17		6675.00	6874.08	USD	19,908.00	
11SEP17	B	5	PD11DEC17		6703.00	6874.08	USD	21,385.00	
11SEP17	B	2	PD11DEC17		6757.50	6874.08	USD	5,829.00	
11SEP17	B	3	PD11DEC17		6758.50	6874.08	USD	8,668.50	
11SEP17	B	2	PD11DEC17		6730.50	6874.08	USD	7,179.00	
11SEP17	B	3	PD11DEC17		6732.00	6874.08	USD	10,656.00	
11SEP17	S	1	PD11DEC17		6746.00	6874.08	USD	-3,202.00	
11SEP17	S	5	PD11DEC17		6745.00	6874.08	USD	-16,135.00	
11SEP17	S	4	PD11DEC17		6747.00	6874.08	USD	-12,708.00	
	NET	+15 AVG	BGHT 6711.88	SOLD 6745.90				69,330.00	0.00
12SEP17	S	2	PD12DEC17		6695.00	6874.50	USD	-8,975.00	
12SEP17	S	1	PD12DEC17		6696.00	6874.50	USD	-4,462.50	
12SEP17	S	1	PD12DEC17		6698.00	6874.50	USD	-4,412.50	
12SEP17	S	1	PD12DEC17		6700.00	6874.50	USD	-4,362.50	
12SEP17	S	3	PD12DEC17		6700.50	6874.50	USD	-13,050.00	
12SEP17	S	1	PD12DEC17		6701.00	6874.50	USD	-4,337.50	
12SEP17	S	1	PD12DEC17		6701.50	6874.50	USD	-4,325.00	
12SEP17	B	1	PD12DEC17		6721.50	6874.50	USD	3,825.00	
12SEP17	B	1	PD12DEC17		6725.00	6874.50	USD	3,737.50	
12SEP17	B	2	PD12DEC17		6728.50	6874.50	USD	7,300.00	
12SEP17	B	4	PD12DEC17		6729.50	6874.50	USD	14,500.00	
12SEP17	B	1	PD12DEC17		6730.00	6874.50	USD	3,612.50	
12SEP17	B	1	PD12DEC17		6735.50	6874.50	USD	3,475.00	
12SEP17	B	1	PD12DEC17		6736.00	6874.50	USD	3,462.50	
12SEP17	B	1	PD12DEC17		6737.00	6874.50	USD	3,437.50	
12SEP17	B	1	PD12DEC17		6737.50	6874.50	USD	3,425.00	
12SEP17	B	1	PD12DEC17		6738.00	6874.50	USD	3,412.50	
12SEP17	B	1	PD12DEC17		6739.00	6874.50	USD	3,387.50	
12SEP17	B	1	PD12DEC17		6674.00	6874.50	USD	5,012.50	
12SEP17	B	1	PD12DEC17		6676.50	6874.50	USD	4,950.00	
12SEP17	B	1	PD12DEC17		6690.50	6874.50	USD	4,600.00	
12SEP17	B	1	PD12DEC17		6692.00	6874.50	USD	4,562.50	
12SEP17	B	1	PD12DEC17		6676.00	6874.50	USD	4,962.50	
12SEP17	B	1	PD12DEC17		6646.00	6874.50	USD	5,712.50	
12SEP17	B	1	PD12DEC17		6646.50	6874.50	USD	5,700.00	
12SEP17	B	1	PD12DEC17		6645.50	6874.50	USD	5,725.00	
12SEP17	B	1	PD12DEC17		6648.00	6874.50	USD	5,662.50	
12SEP17	B	1	PD12DEC17		6651.50	6874.50	USD	5,575.00	
12SEP17	B	1	PD12DEC17		6653.00	6874.50	USD	5,537.50	
12SEP17	S	1	PD12DEC17		6642.00	6874.50	USD	-5,812.50	
12SEP17	S	2	PD12DEC17		6643.00	6874.50	USD	-11,575.00	
12SEP17	S	1	PD12DEC17		6644.00	6874.50	USD	-5,762.50	
12SEP17	S	1	PD12DEC17		6648.00	6874.50	USD	-5,662.50	
12SEP17	S	3	PD12DEC17		6645.00	6874.50	USD	-17,212.50	
12SEP17	S	1	PD12DEC17		6646.00	6874.50	USD	-5,712.50	
12SEP17	S	1	PD12DEC17		6646.50	6874.50	USD	-5,700.00	
12SEP17	S	1	PD12DEC17		6647.00	6874.50	USD	-5,687.50	
12SEP17	S	1	PD12DEC17		6650.00	6874.50	USD	-5,612.50	
12SEP17	S	1	PD12DEC17		6651.00	6874.50	USD	-5,587.50	
12SEP17	S	2	PD12DEC17		6652.00	6874.50	USD	-11,125.00	

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12SEP17	S	1	PD12DEC17	6680.00	6874.50	USD	-4,862.50	
12SEP17	S	1	PD12DEC17	6682.50	6874.50	USD	-4,800.00	
12SEP17	S	2	PD12DEC17	6661.50	6874.50	USD	-10,650.00	
12SEP17	S	2	PD12DEC17	6668.00	6874.50	USD	-10,325.00	
12SEP17	S	1	PD12DEC17	6668.50	6874.50	USD	-5,150.00	
12SEP17	S	1	PD12DEC17	6670.50	6874.50	USD	-5,100.00	
12SEP17	S	2	PD12DEC17	6659.50	6874.50	USD	-10,750.00	
12SEP17	S	2	PD12DEC17	6655.00	6874.50	USD	-10,975.00	
12SEP17	S	1	PD12DEC17	6656.00	6874.50	USD	-5,462.50	
12SEP17	S	2	PD12DEC17	6656.50	6874.50	USD	-10,900.00	
12SEP17	S	1	PD12DEC17	6657.00	6874.50	USD	-5,437.50	
12SEP17	S	1	PD12DEC17	6659.00	6874.50	USD	-5,387.50	
12SEP17	B	1	PD12DEC17	6667.50	6874.50	USD	5,175.00	
12SEP17	B	1	PD12DEC17	6669.00	6874.50	USD	5,137.50	
12SEP17	B	1	PD12DEC17	6672.00	6874.50	USD	5,062.50	
12SEP17	B	4	PD12DEC17	6670.00	6874.50	USD	20,450.00	
12SEP17	B	1	PD12DEC17	6670.50	6874.50	USD	5,100.00	
12SEP17	B	1	PD12DEC17	6671.00	6874.50	USD	5,087.50	
12SEP17	B	2	PD12DEC17	6673.00	6874.50	USD	10,075.00	
	NET	-5 AVG BGHT 6693.24	SOLD 6665.76				-51,512.50	0.00
13SEP17	B	2	PD13DEC17	6607.00	6874.92	USD	13,396.00	
13SEP17	B	1	PD13DEC17	6611.00	6874.92	USD	6,598.00	
13SEP17	B	1	PD13DEC17	6594.00	6874.92	USD	7,023.00	
13SEP17	B	2	PD13DEC17	6591.50	6874.92	USD	14,171.00	
13SEP17	B	5	PD13DEC17	6590.50	6874.92	USD	35,552.50	
13SEP17	B	3	PD13DEC17	6592.00	6874.92	USD	21,219.00	
13SEP17	B	1	PD13DEC17	6591.00	6874.92	USD	7,098.00	
13SEP17	B	3	PD13DEC17	6590.00	6874.92	USD	21,369.00	
13SEP17	B	3	PD13DEC17	6593.00	6874.92	USD	21,144.00	
13SEP17	B	1	PD13DEC17	6595.50	6874.92	USD	6,985.50	
13SEP17	B	3	PD13DEC17	6593.50	6874.92	USD	21,106.50	
13SEP17	B	4	PD13DEC17	6596.00	6874.92	USD	27,892.00	
13SEP17	B	1	PD13DEC17	6579.50	6874.92	USD	7,385.50	
13SEP17	B	1	PD13DEC17	6580.00	6874.92	USD	7,373.00	
13SEP17	B	1	PD13DEC17	6581.00	6874.92	USD	7,348.00	
13SEP17	B	1	PD13DEC17	6562.50	6874.92	USD	7,810.50	
13SEP17	B	3	PD13DEC17	6563.00	6874.92	USD	23,394.00	
13SEP17	B	1	PD13DEC17	6561.00	6874.92	USD	7,848.00	
13SEP17	B	1	PD13DEC17	6559.00	6874.92	USD	7,898.00	
13SEP17	B	4	PD13DEC17	6560.00	6874.92	USD	31,492.00	
13SEP17	B	1	PD13DEC17	6564.50	6874.92	USD	7,760.50	
13SEP17	B	1	PD13DEC17	6572.00	6874.92	USD	7,573.00	
13SEP17	B	1	PD13DEC17	6574.50	6874.92	USD	7,510.50	
13SEP17	B	1	PD13DEC17	6565.50	6874.92	USD	7,735.50	
13SEP17	B	3	PD13DEC17	6570.00	6874.92	USD	22,869.00	
13SEP17	B	1	PD13DEC17	6602.00	6874.92	USD	6,823.00	
13SEP17	S	1	PD13DEC17	6544.00	6874.92	USD	-8,273.00	
13SEP17	B	1	PD13DEC17	6550.50	6874.92	USD	8,110.50	
13SEP17	B	1	PD13DEC17	6552.50	6874.92	USD	8,060.50	
13SEP17	S	1	PD13DEC17	6553.00	6874.92	USD	-8,048.00	
13SEP17	S	1	PD13DEC17	6555.00	6874.92	USD	-7,998.00	
13SEP17	S	1	PD13DEC17	6549.00	6874.92	USD	-8,148.00	
13SEP17	B	1	PD13DEC17	6550.00	6874.92	USD	8,123.00	
13SEP17	S	4	PD13DEC17	6554.00	6874.92	USD	-32,092.00	
13SEP17	S	2	PD13DEC17	6554.50	6874.92	USD	-16,021.00	
13SEP17	B	2	PD13DEC17	6557.00	6874.92	USD	15,896.00	
	NET	+45 AVG BGHT 6580.69	SOLD 6552.60				323,985.00	0.00

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0025U

13th October 2017

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
14SEP17	B	1	PD14DEC17	6529.50	6875.33	USD	8,645.75	
14SEP17	B	2	PD14DEC17	6530.00	6875.33	USD	17,266.50	
14SEP17	B	1	PD14DEC17	6538.00	6875.33	USD	8,433.25	
14SEP17	B	1	PD14DEC17	6543.00	6875.33	USD	8,308.25	
14SEP17	S	1	PD14DEC17	6456.00	6875.33	USD	-10,483.25	
14SEP17	S	1	PD14DEC17	6456.50	6875.33	USD	-10,470.75	
14SEP17	S	2	PD14DEC17	6457.00	6875.33	USD	-20,916.50	
14SEP17	S	1	PD14DEC17	6457.50	6875.33	USD	-10,445.75	
14SEP17	S	1	PD14DEC17	6458.50	6875.33	USD	-10,420.75	
14SEP17	S	1	PD14DEC17	6459.00	6875.33	USD	-10,408.25	
14SEP17	S	3	PD14DEC17	6460.00	6875.33	USD	-31,149.75	
14SEP17	B	1	PD14DEC17	6465.00	6875.33	USD	10,258.25	
14SEP17	S	1	PD14DEC17	6471.50	6875.33	USD	-10,095.75	
14SEP17	S	1	PD14DEC17	6512.50	6875.33	USD	-9,070.75	
14SEP17	S	1	PD14DEC17	6514.00	6875.33	USD	-9,033.25	
14SEP17	S	1	PD14DEC17	6527.00	6875.33	USD	-8,708.25	
14SEP17	S	1	PD14DEC17	6522.00	6875.33	USD	-8,833.25	
14SEP17	S	1	PD14DEC17	6523.00	6875.33	USD	-8,808.25	
14SEP17	S	1	PD14DEC17	6524.50	6875.33	USD	-8,770.75	
14SEP17	S	1	PD14DEC17	6517.50	6875.33	USD	-8,945.75	
14SEP17	S	1	PD14DEC17	6520.00	6875.33	USD	-8,883.25	
14SEP17	S	4	PD14DEC17	6506.00	6875.33	USD	-36,933.00	
14SEP17	S	2	PD14DEC17	6500.00	6875.33	USD	-18,766.50	
14SEP17	S	3	PD14DEC17	6502.50	6875.33	USD	-27,962.25	
14SEP17	S	3	PD14DEC17	6508.50	6875.33	USD	-27,512.25	
14SEP17	S	1	PD14DEC17	6509.50	6875.33	USD	-9,145.75	
14SEP17	S	3	PD14DEC17	6497.00	6875.33	USD	-28,374.75	
14SEP17	S	1	PD14DEC17	6498.50	6875.33	USD	-9,420.75	
14SEP17	S	1	PD14DEC17	6499.00	6875.33	USD	-9,408.25	
14SEP17	S	3	PD14DEC17	6499.50	6875.33	USD	-28,187.25	
14SEP17	S	3	PD14DEC17	6500.50	6875.33	USD	-28,112.25	
14SEP17	S	2	PD14DEC17	6501.50	6875.33	USD	-18,691.50	
14SEP17	S	1	PD14DEC17	6503.00	6875.33	USD	-9,308.25	
14SEP17	S	7	PD14DEC17	6504.50	6875.33	USD	-64,895.25	
14SEP17	S	2	PD14DEC17	6502.00	6875.33	USD	-18,666.50	
14SEP17	S	4	PD14DEC17	6504.00	6875.33	USD	-37,133.00	
14SEP17	S	2	PD14DEC17	6505.50	6875.33	USD	-18,491.50	
	NET	-55 AVG BGHT 6522.58	SOLD 6497.33				-523,541.25	0.00
15SEP17	B	1	PD15DEC17	6505.50	6875.75	USD	9,256.25	
15SEP17	B	1	PD15DEC17	6508.00	6875.75	USD	9,193.75	
15SEP17	B	1	PD15DEC17	6509.00	6875.75	USD	9,168.75	
15SEP17	B	2	PD15DEC17	6506.00	6875.75	USD	18,487.50	
15SEP17	B	2	PD15DEC17	6507.00	6875.75	USD	18,437.50	
15SEP17	B	1	PD15DEC17	6501.00	6875.75	USD	9,368.75	
15SEP17	S	3	PD15DEC17	6494.00	6875.75	USD	-28,631.25	
15SEP17	S	1	PD15DEC17	6483.50	6875.75	USD	-9,806.25	
15SEP17	S	1	PD15DEC17	6488.00	6875.75	USD	-9,693.75	
15SEP17	S	2	PD15DEC17	6488.50	6875.75	USD	-19,362.50	
15SEP17	S	3	PD15DEC17	6491.00	6875.75	USD	-28,856.25	
15SEP17	S	1	PD15DEC17	6492.00	6875.75	USD	-9,593.75	
15SEP17	S	1	PD15DEC17	6492.50	6875.75	USD	-9,581.25	
15SEP17	S	1	PD15DEC17	6493.00	6875.75	USD	-9,568.75	
	NET	-5 AVG BGHT 6506.19	SOLD 6490.85				-51,181.25	0.00
18SEP17	S	1	PD18DEC17	6518.00	6877.00	USD	-8,975.00	
18SEP17	S	1	PD18DEC17	6519.00	6877.00	USD	-8,950.00	
18SEP17	S	2	PD18DEC17	6516.00	6877.00	USD	-18,050.00	
18SEP17	B	4	PD18DEC17	6515.00	6877.00	USD	36,200.00	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
18SEP17	B	1	PD18DEC17	6548.00	6877.00	USD	8,225.00	
18SEP17	B	1	PD18DEC17	6549.00	6877.00	USD	8,200.00	
18SEP17	B	1	PD18DEC17	6550.50	6877.00	USD	8,162.50	
18SEP17	B	1	PD18DEC17	6551.00	6877.00	USD	8,150.00	
18SEP17	B	1	PD18DEC17	6552.50	6877.00	USD	8,112.50	
18SEP17	B	3	PD18DEC17	6538.50	6877.00	USD	25,387.50	
18SEP17	B	2	PD18DEC17	6544.00	6877.00	USD	16,650.00	
18SEP17	B	1	PD18DEC17	6531.50	6877.00	USD	8,637.50	
18SEP17	B	2	PD18DEC17	6536.00	6877.00	USD	17,050.00	
18SEP17	B	1	PD18DEC17	6537.00	6877.00	USD	8,500.00	
18SEP17	B	1	PD18DEC17	6537.50	6877.00	USD	8,487.50	
18SEP17	S	2	PD18DEC17	6530.50	6877.00	USD	-17,325.00	
18SEP17	S	1	PD18DEC17	6524.50	6877.00	USD	-8,812.50	
18SEP17	S	2	PD18DEC17	6524.00	6877.00	USD	-17,650.00	
18SEP17	S	1	PD18DEC17	6536.00	6877.00	USD	-8,525.00	
18SEP17	S	1	PD18DEC17	6537.50	6877.00	USD	-8,487.50	
18SEP17	S	1	PD18DEC17	6538.50	6877.00	USD	-8,462.50	
18SEP17	S	1	PD18DEC17	6537.00	6877.00	USD	-8,500.00	
18SEP17	S	1	PD18DEC17	6540.00	6877.00	USD	-8,425.00	
	NET	+5 AVG BGHT 6536.45	SOLD 6527.96				39,600.00	0.00
19SEP17	B	5	PD19DEC17	6542.50	6877.00	USD	41,812.50	
19SEP17	S	1	PD19DEC17	6525.00	6877.00	USD	-8,800.00	
19SEP17	S	1	PD19DEC17	6528.00	6877.00	USD	-8,725.00	
19SEP17	S	1	PD19DEC17	6528.50	6877.00	USD	-8,712.50	
19SEP17	S	1	PD19DEC17	6530.50	6877.00	USD	-8,662.50	
19SEP17	S	1	PD19DEC17	6532.50	6877.00	USD	-8,612.50	
19SEP17	B	2	PD19DEC17	6541.00	6877.00	USD	16,800.00	
19SEP17	B	1	PD19DEC17	6541.50	6877.00	USD	8,387.50	
19SEP17	B	1	PD19DEC17	6543.00	6877.00	USD	8,350.00	
19SEP17	B	1	PD19DEC17	6544.50	6877.00	USD	8,312.50	
19SEP17	B	1	PD19DEC17	6522.50	6877.00	USD	8,862.50	
19SEP17	B	1	PD19DEC17	6523.00	6877.00	USD	8,850.00	
19SEP17	S	1	PD19DEC17	6525.50	6877.00	USD	-8,787.50	
19SEP17	S	1	PD19DEC17	6527.00	6877.00	USD	-8,750.00	
19SEP17	B	1	PD19DEC17	6524.50	6877.00	USD	8,812.50	
19SEP17	B	2	PD19DEC17	6525.50	6877.00	USD	17,575.00	
19SEP17	B	1	PD19DEC17	6538.00	6877.00	USD	8,475.00	
19SEP17	B	1	PD19DEC17	6539.00	6877.00	USD	8,450.00	
19SEP17	B	1	PD19DEC17	6540.00	6877.00	USD	8,425.00	
19SEP17	B	3	PD19DEC17	6534.50	6877.00	USD	25,687.50	
19SEP17	B	2	PD19DEC17	6535.00	6877.00	USD	17,100.00	
19SEP17	B	1	PD19DEC17	6526.50	6877.00	USD	8,762.50	
19SEP17	B	1	PD19DEC17	6527.50	6877.00	USD	8,737.50	
19SEP17	B	1	PD19DEC17	6516.50	6877.00	USD	9,012.50	
19SEP17	B	1	PD19DEC17	6515.00	6877.00	USD	9,050.00	
19SEP17	B	2	PD19DEC17	6517.50	6877.00	USD	17,975.00	
19SEP17	B	1	PD19DEC17	6518.50	6877.00	USD	8,962.50	
19SEP17	B	5	PD19DEC17	6525.00	6877.00	USD	44,000.00	
19SEP17	B	1	PD19DEC17	6526.00	6877.00	USD	8,775.00	
19SEP17	B	1	PD19DEC17	6529.00	6877.00	USD	8,700.00	
19SEP17	B	1	PD19DEC17	6530.50	6877.00	USD	8,662.50	
19SEP17	B	2	PD19DEC17	6532.00	6877.00	USD	17,250.00	
19SEP17	B	1	PD19DEC17	6532.50	6877.00	USD	8,612.50	
19SEP17	B	3	PD19DEC17	6529.50	6877.00	USD	26,062.50	
19SEP17	S	1	PD19DEC17	6538.00	6877.00	USD	-8,475.00	
19SEP17	B	3	PD19DEC17	6528.50	6877.00	USD	26,137.50	
19SEP17	B	2	PD19DEC17	6530.00	6877.00	USD	17,350.00	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
19SEP17	B	2	PD19DEC17	6531.00	6877.00	USD	17,300.00	
19SEP17	B	2	PD19DEC17	6531.50	6877.00	USD	17,275.00	
19SEP17	B	1	PD19DEC17	6533.50	6877.00	USD	8,587.50	
	NET	+46 AVG BGHT 6530.99	SOLD 6529.38				397,587.50	0.00
20SEP17	S	1	PD20DEC17	6544.00	6877.00	USD	-8,325.00	
20SEP17	S	1	PD20DEC17	6553.50	6877.00	USD	-8,087.50	
20SEP17	S	1	PD20DEC17	6556.00	6877.00	USD	-8,025.00	
20SEP17	S	2	PD20DEC17	6558.00	6877.00	USD	-15,950.00	
20SEP17	S	1	PD20DEC17	6564.50	6877.00	USD	-7,812.50	
20SEP17	S	1	PD20DEC17	6565.50	6877.00	USD	-7,787.50	
20SEP17	S	3	PD20DEC17	6569.00	6877.00	USD	-23,100.00	
20SEP17	S	2	PD20DEC17	6561.00	6877.00	USD	-15,800.00	
20SEP17	S	1	PD20DEC17	6562.00	6877.00	USD	-7,875.00	
20SEP17	S	1	PD20DEC17	6563.00	6877.00	USD	-7,850.00	
20SEP17	S	1	PD20DEC17	6564.00	6877.00	USD	-7,825.00	
20SEP17	S	1	PD20DEC17	6566.50	6877.00	USD	-7,762.50	
20SEP17	S	1	PD20DEC17	6523.00	6877.00	USD	-8,850.00	
20SEP17	S	1	PD20DEC17	6523.50	6877.00	USD	-8,837.50	
20SEP17	S	2	PD20DEC17	6524.00	6877.00	USD	-17,650.00	
20SEP17	S	1	PD20DEC17	6525.00	6877.00	USD	-8,800.00	
	NET	-21 AVG SOLD 6552.55					-170,337.50	0.00
21SEP17	S	1	PD21DEC17	6466.50	6876.00	USD	-10,237.50	
21SEP17	S	3	PD21DEC17	6473.00	6876.00	USD	-30,225.00	
21SEP17	S	1	PD21DEC17	6474.00	6876.00	USD	-10,050.00	
21SEP17	S	1	PD21DEC17	6475.50	6876.00	USD	-10,012.50	
21SEP17	S	3	PD21DEC17	6476.00	6876.00	USD	-30,000.00	
21SEP17	S	1	PD21DEC17	6479.50	6876.00	USD	-9,912.50	
21SEP17	S	1	PD21DEC17	6483.50	6876.00	USD	-9,812.50	
21SEP17	S	1	PD21DEC17	6485.00	6876.00	USD	-9,775.00	
21SEP17	S	1	PD21DEC17	6457.50	6876.00	USD	-10,462.50	
21SEP17	S	1	PD21DEC17	6459.50	6876.00	USD	-10,412.50	
21SEP17	S	1	PD21DEC17	6462.50	6876.00	USD	-10,337.50	
21SEP17	S	1	PD21DEC17	6463.00	6876.00	USD	-10,325.00	
21SEP17	S	1	PD21DEC17	6463.50	6876.00	USD	-10,312.50	
21SEP17	S	1	PD21DEC17	6464.50	6876.00	USD	-10,287.50	
21SEP17	S	1	PD21DEC17	6467.50	6876.00	USD	-10,212.50	
21SEP17	S	1	PD21DEC17	6454.50	6876.00	USD	-10,537.50	
21SEP17	S	1	PD21DEC17	6451.00	6876.00	USD	-10,625.00	
21SEP17	S	1	PD21DEC17	6454.00	6876.00	USD	-10,550.00	
21SEP17	S	1	PD21DEC17	6455.00	6876.00	USD	-10,525.00	
21SEP17	B	1	PD21DEC17	6457.50	6876.00	USD	10,462.50	
21SEP17	B	1	PD21DEC17	6451.50	6876.00	USD	10,612.50	
21SEP17	B	1	PD21DEC17	6453.50	6876.00	USD	10,562.50	
21SEP17	B	1	PD21DEC17	6458.00	6876.00	USD	10,450.00	
21SEP17	B	3	PD21DEC17	6458.50	6876.00	USD	31,312.50	
21SEP17	B	2	PD21DEC17	6446.00	6876.00	USD	21,500.00	
21SEP17	B	1	PD21DEC17	6446.50	6876.00	USD	10,737.50	
21SEP17	B	1	PD21DEC17	6447.00	6876.00	USD	10,725.00	
21SEP17	B	1	PD21DEC17	6448.00	6876.00	USD	10,700.00	
21SEP17	B	2	PD21DEC17	6448.50	6876.00	USD	21,375.00	
21SEP17	B	2	PD21DEC17	6454.00	6876.00	USD	21,100.00	
21SEP17	B	2	PD21DEC17	6456.50	6876.00	USD	20,975.00	
21SEP17	B	2	PD21DEC17	6445.00	6876.00	USD	21,550.00	
21SEP17	S	1	PD21DEC17	6465.00	6876.00	USD	-10,275.00	
21SEP17	B	1	PD21DEC17	6487.00	6876.00	USD	9,725.00	
21SEP17	B	1	PD21DEC17	6483.00	6876.00	USD	9,825.00	
21SEP17	B	1	PD21DEC17	6464.50	6876.00	USD	10,287.50	

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21SEP17	B	1	PD21DEC17	6465.00	6876.00	USD	10,275.00	
21SEP17	B	1	PD21DEC17	6472.00	6876.00	USD	10,100.00	
21SEP17	B	2	PD21DEC17	6474.00	6876.00	USD	20,100.00	
21SEP17	B	1	PD21DEC17	6477.00	6876.00	USD	9,975.00	
21SEP17	B	2	PD21DEC17	6482.00	6876.00	USD	19,700.00	
21SEP17	B	2	PD21DEC17	6471.00	6876.00	USD	20,250.00	
21SEP17	B	2	PD21DEC17	6475.00	6876.00	USD	20,050.00	
21SEP17	B	2	PD21DEC17	6480.00	6876.00	USD	19,800.00	
21SEP17	B	8	PD21DEC17	6469.50	6876.00	USD	81,300.00	
21SEP17	B	6	PD21DEC17	6470.00	6876.00	USD	60,900.00	
21SEP17	B	1	PD21DEC17	6459.00	6876.00	USD	10,425.00	
21SEP17	B	1	PD21DEC17	6455.50	6876.00	USD	10,512.50	
21SEP17	B	2	PD21DEC17	6453.00	6876.00	USD	21,150.00	
21SEP17	B	3	PD21DEC17	6480.50	6876.00	USD	29,662.50	
21SEP17	B	1	PD21DEC17	6427.00	6876.00	USD	11,225.00	
21SEP17	B	1	PD21DEC17	6451.00	6876.00	USD	10,625.00	
	NET	+35 AVG BGHT 6463.83	SOLD 6467.85				363,062.50	0.00
22SEP17	S	1	PD22DEC17	6399.00	6875.00	USD	-11,900.00	
22SEP17	S	3	PD22DEC17	6400.00	6875.00	USD	-35,625.00	
22SEP17	S	1	PD22DEC17	6403.00	6875.00	USD	-11,800.00	
22SEP17	S	1	PD22DEC17	6385.00	6875.00	USD	-12,250.00	
22SEP17	S	1	PD22DEC17	6393.50	6875.00	USD	-12,037.50	
22SEP17	S	2	PD22DEC17	6395.50	6875.00	USD	-23,975.00	
22SEP17	S	1	PD22DEC17	6396.50	6875.00	USD	-11,962.50	
22SEP17	S	1	PD22DEC17	6446.00	6875.00	USD	-10,725.00	
22SEP17	B	1	PD22DEC17	6449.00	6875.00	USD	10,650.00	
22SEP17	S	5	PD22DEC17	6470.00	6875.00	USD	-50,625.00	
	NET	-15 AVG BGHT 6449.00	SOLD 6422.75				-170,250.00	0.00
25SEP17	B	1	PD27DEC17	6475.50	6876.67	USD	10,029.25	
25SEP17	B	1	PD27DEC17	6479.50	6876.67	USD	9,929.25	
25SEP17	B	2	PD27DEC17	6476.50	6876.67	USD	20,008.50	
25SEP17	B	1	PD27DEC17	6473.50	6876.67	USD	10,079.25	
25SEP17	S	1	PD27DEC17	6458.00	6876.67	USD	-10,466.75	
25SEP17	S	1	PD27DEC17	6459.00	6876.67	USD	-10,441.75	
25SEP17	S	1	PD27DEC17	6459.50	6876.67	USD	-10,429.25	
25SEP17	S	1	PD27DEC17	6456.00	6876.67	USD	-10,516.75	
25SEP17	S	1	PD27DEC17	6460.00	6876.67	USD	-10,416.75	
25SEP17	S	2	PD27DEC17	6463.50	6876.67	USD	-20,658.50	
25SEP17	S	1	PD27DEC17	6464.50	6876.67	USD	-10,304.25	
25SEP17	S	1	PD27DEC17	6466.00	6876.67	USD	-10,266.75	
25SEP17	S	1	PD27DEC17	6451.00	6876.67	USD	-10,641.75	
25SEP17	S	4	PD27DEC17	6452.00	6876.67	USD	-42,467.00	
25SEP17	S	2	PD27DEC17	6453.00	6876.67	USD	-21,183.50	
25SEP17	B	1	PD27DEC17	6453.00	6876.67	USD	10,591.75	
25SEP17	B	1	PD27DEC17	6446.00	6876.67	USD	10,766.75	
25SEP17	S	1	PD27DEC17	6445.50	6876.67	USD	-10,779.25	
26SEP17	B	1	PD27DEC17	6479.00	6876.67	USD	9,941.75	
26SEP17	B	1	PD27DEC17	6479.50	6876.67	USD	9,929.25	
26SEP17	B	8	PD27DEC17	6480.00	6876.67	USD	79,334.00	
26SEP17	B	1	PD27DEC17	6497.50	6876.67	USD	9,479.25	
26SEP17	B	1	PD27DEC17	6501.50	6876.67	USD	9,379.25	
26SEP17	S	1	PD27DEC17	6496.00	6876.67	USD	-9,516.75	
26SEP17	S	2	PD27DEC17	6501.00	6876.67	USD	-18,783.50	
26SEP17	S	1	PD27DEC17	6503.50	6876.67	USD	-9,329.25	
26SEP17	S	3	PD27DEC17	6504.50	6876.67	USD	-27,912.75	
26SEP17	S	1	PD27DEC17	6516.50	6876.67	USD	-9,004.25	
26SEP17	S	2	PD27DEC17	6517.50	6876.67	USD	-17,958.50	

OPEN POSITION STATEMENT



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SAP0025U

13th October 2017

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
26SEP17	S	1	PD27DEC17	6522.00	6876.67	USD	-8,866.75	
26SEP17	S	1	PD27DEC17	6525.00	6876.67	USD	-8,791.75	
26SEP17	S	1	PD27DEC17	6510.00	6876.67	USD	-9,166.75	
26SEP17	S	1	PD27DEC17	6512.00	6876.67	USD	-9,116.75	
26SEP17	B	2	PD27DEC17	6500.00	6876.67	USD	18,833.50	
26SEP17	B	1	PD27DEC17	6505.00	6876.67	USD	9,291.75	
26SEP17	B	2	PD27DEC17	6508.50	6876.67	USD	18,408.50	
26SEP17	B	1	PD27DEC17	6512.00	6876.67	USD	9,116.75	
26SEP17	B	2	PD27DEC17	6513.50	6876.67	USD	18,158.50	
26SEP17	B	1	PD27DEC17	6498.50	6876.67	USD	9,454.25	
26SEP17	B	1	PD27DEC17	6499.00	6876.67	USD	9,441.75	
26SEP17	B	1	PD27DEC17	6501.00	6876.67	USD	9,391.75	
26SEP17	B	2	PD27DEC17	6503.00	6876.67	USD	18,683.50	
26SEP17	B	2	PD27DEC17	6507.00	6876.67	USD	18,483.50	
26SEP17	B	2	PD27DEC17	6508.00	6876.67	USD	18,433.50	
26SEP17	B	1	PD27DEC17	6509.00	6876.67	USD	9,191.75	
26SEP17	B	2	PD27DEC17	6502.00	6876.67	USD	18,733.50	
26SEP17	B	1	PD27DEC17	6502.50	6876.67	USD	9,354.25	
26SEP17	B	1	PD27DEC17	6503.50	6876.67	USD	9,329.25	
26SEP17	S	1	PD27DEC17	6415.00	6876.67	USD	-11,541.75	
26SEP17	S	2	PD27DEC17	6407.00	6876.67	USD	-23,483.50	
26SEP17	S	2	PD27DEC17	6407.50	6876.67	USD	-23,458.50	
26SEP17	S	1	PD27DEC17	6408.50	6876.67	USD	-11,704.25	
26SEP17	S	1	PD27DEC17	6409.00	6876.67	USD	-11,691.75	
26SEP17	S	1	PD27DEC17	6410.50	6876.67	USD	-11,654.25	
26SEP17	S	1	PD27DEC17	6416.00	6876.67	USD	-11,516.75	
26SEP17	S	1	PD27DEC17	6416.50	6876.67	USD	-11,504.25	
26SEP17	S	1	PD27DEC17	6409.50	6876.67	USD	-11,679.25	
26SEP17	S	1	PD27DEC17	6410.00	6876.67	USD	-11,666.75	
26SEP17	S	7	PD27DEC17	6411.00	6876.67	USD	-81,492.25	
26SEP17	S	1	PD27DEC17	6404.50	6876.67	USD	-11,804.25	
26SEP17	S	1	PD27DEC17	6417.00	6876.67	USD	-11,491.75	
26SEP17	S	1	PD27DEC17	6419.00	6876.67	USD	-11,441.75	
26SEP17	S	1	PD27DEC17	6420.00	6876.67	USD	-11,416.75	
26SEP17	B	1	PD27DEC17	6418.00	6876.67	USD	11,466.75	
26SEP17	B	2	PD27DEC17	6424.50	6876.67	USD	22,608.50	
27SEP17	S	1	PD27DEC17	6425.00	6876.67	USD	-11,291.75	
27SEP17	S	4	PD27DEC17	6426.00	6876.67	USD	-45,067.00	
27SEP17	B	3	PD27DEC17	6474.00	6876.67	USD	30,200.25	
27SEP17	B	4	PD27DEC17	6473.00	6876.67	USD	40,367.00	
27SEP17	B	1	PD27DEC17	6459.00	6876.67	USD	10,441.75	
27SEP17	B	1	PD27DEC17	6461.00	6876.67	USD	10,391.75	
27SEP17	B	1	PD27DEC17	6462.50	6876.67	USD	10,354.25	
27SEP17	B	2	PD27DEC17	6437.50	6876.67	USD	21,958.50	
27SEP17	B	1	PD27DEC17	6438.50	6876.67	USD	10,954.25	
27SEP17	B	1	PD27DEC17	6439.00	6876.67	USD	10,941.75	
27SEP17	B	1	PD27DEC17	6441.00	6876.67	USD	10,891.75	
27SEP17	B	3	PD27DEC17	6445.00	6876.67	USD	32,375.25	
27SEP17	B	1	PD27DEC17	6446.00	6876.67	USD	10,766.75	
27SEP17	B	1	PD27DEC17	6447.50	6876.67	USD	10,729.25	
	NET	+5 AVG BGHT 6477.78	SOLD 6448.92				7,296.25	0.00
28SEP17	S	4	PD28DEC17	6426.00	6877.00	USD	-45,100.00	
28SEP17	B	5	PD28DEC17	6453.50	6877.00	USD	52,937.50	
28SEP17	B	1	PD28DEC17	6463.50	6877.00	USD	10,337.50	
28SEP17	B	3	PD28DEC17	6454.50	6877.00	USD	31,687.50	
28SEP17	B	3	PD28DEC17	6522.50	6877.00	USD	26,587.50	
28SEP17	B	1	PD28DEC17	6523.50	6877.00	USD	8,837.50	

OPEN POSITION STATEMENT



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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>					
28SEP17	B 1 PD28DEC17	6526.00	6877.00	USD	8,775.00	
	NET +10 AVG BGHT 6479.39 SOLD 6426.00				94,062.50	0.00
29SEP17	S 1 PD29DEC17	6527.00	6877.33	USD	-8,758.25	
29SEP17	S 4 PD29DEC17	6528.00	6877.33	USD	-34,933.00	
29SEP17	B 1 PD29DEC17	6562.00	6877.33	USD	7,883.25	
29SEP17	B 4 PD29DEC17	6564.50	6877.33	USD	31,283.00	
29SEP17	S 2 PD29DEC17	6530.00	6877.33	USD	-17,366.50	
29SEP17	S 2 PD29DEC17	6531.00	6877.33	USD	-17,316.50	
29SEP17	S 1 PD29DEC17	6532.00	6877.33	USD	-8,633.25	
29SEP17	S 1 PD29DEC17	6540.00	6877.33	USD	-8,433.25	
29SEP17	S 4 PD29DEC17	6541.00	6877.33	USD	-33,633.00	
29SEP17	S 5 PD29DEC17	6503.00	6877.33	USD	-46,791.25	
	NET -15 AVG BGHT 6564.00 SOLD 6525.60				-136,698.75	0.00
02OCT17	B 4 PD02JAN18	6525.50	6878.67	USD	35,317.00	
02OCT17	B 5 PD02JAN18	6526.00	6878.67	USD	44,083.75	
02OCT17	B 1 PD02JAN18	6537.50	6878.67	USD	8,529.25	
02OCT17	B 1 PD02JAN18	6538.00	6878.67	USD	8,516.75	
02OCT17	B 1 PD02JAN18	6539.00	6878.67	USD	8,491.75	
02OCT17	B 1 PD02JAN18	6540.50	6878.67	USD	8,454.25	
02OCT17	B 1 PD02JAN18	6528.50	6878.67	USD	8,754.25	
02OCT17	B 1 PD02JAN18	6539.50	6878.67	USD	8,479.25	
	NET +15 AVG BGHT 6530.33				130,626.25	0.00
04OCT17	S 2 PD04JAN18	6507.50	6879.33	USD	-18,591.50	
04OCT17	S 1 PD04JAN18	6509.50	6879.33	USD	-9,245.75	
04OCT17	S 1 PD04JAN18	6511.00	6879.33	USD	-9,208.25	
04OCT17	S 5 PD04JAN18	6515.00	6879.33	USD	-45,541.25	
04OCT17	S 3 PD04JAN18	6515.50	6879.33	USD	-27,287.25	
04OCT17	S 2 PD04JAN18	6517.50	6879.33	USD	-18,091.50	
04OCT17	S 3 PD04JAN18	6508.00	6879.33	USD	-27,849.75	
04OCT17	S 3 PD04JAN18	6509.00	6879.33	USD	-27,774.75	
	NET -20 AVG SOLD 6512.15				-183,590.00	0.00
05OCT17	B 2 PD05JAN18	6552.50	6879.67	USD	16,358.50	
05OCT17	B 2 PD05JAN18	6554.50	6879.67	USD	16,258.50	
05OCT17	B 1 PD05JAN18	6555.50	6879.67	USD	8,104.25	
05OCT17	B 1 PD05JAN18	6552.00	6879.67	USD	8,191.75	
05OCT17	B 2 PD05JAN18	6553.00	6879.67	USD	16,333.50	
05OCT17	B 2 PD05JAN18	6555.00	6879.67	USD	16,233.50	
05OCT17	B 5 PD05JAN18	6634.00	6879.67	USD	30,708.75	
05OCT17	B 1 PD05JAN18	6640.50	6879.67	USD	5,979.25	
05OCT17	B 1 PD05JAN18	6642.00	6879.67	USD	5,941.75	
05OCT17	B 2 PD05JAN18	6642.50	6879.67	USD	11,858.50	
05OCT17	B 1 PD05JAN18	6641.50	6879.67	USD	5,954.25	
05OCT17	B 1 PD05JAN18	6684.00	6879.67	USD	4,891.75	
05OCT17	B 2 PD05JAN18	6684.50	6879.67	USD	9,758.50	
05OCT17	B 1 PD05JAN18	6675.50	6879.67	USD	5,104.25	
05OCT17	B 1 PD05JAN18	6676.00	6879.67	USD	5,091.75	
05OCT17	B 1 PD05JAN18	6677.00	6879.67	USD	5,066.75	
05OCT17	B 2 PD05JAN18	6677.50	6879.67	USD	10,108.50	
05OCT17	B 1 PD05JAN18	6680.00	6879.67	USD	4,991.75	
05OCT17	B 1 PD05JAN18	6685.50	6879.67	USD	4,854.25	
06OCT17	S 1 PD05JAN18	6663.00	6879.67	USD	-5,416.75	
06OCT17	S 3 PD05JAN18	6660.00	6879.67	USD	-16,475.25	
06OCT17	S 1 PD05JAN18	6664.50	6879.67	USD	-5,379.25	
06OCT17	S 1 PD05JAN18	6655.50	6879.67	USD	-5,604.25	
06OCT17	S 1 PD05JAN18	6658.00	6879.67	USD	-5,541.75	
06OCT17	S 2 PD05JAN18	6657.00	6879.67	USD	-11,133.50	

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06OCT17	S 1 PD05JAN18	6656.50	6879.67	USD	-5,579.25	
	NET +20 AVG BGHT 6623.95 SOLD 6659.15				136,660.00	0.00
09OCT17	S 1 PD09JAN18	6676.00	6881.00	USD	-5,125.00	
09OCT17	S 2 PD09JAN18	6674.00	6881.00	USD	-10,350.00	
09OCT17	S 2 PD09JAN18	6674.50	6881.00	USD	-10,325.00	
09OCT17	S 3 PD09JAN18	6677.00	6881.00	USD	-15,300.00	
09OCT17	S 2 PD09JAN18	6679.50	6881.00	USD	-10,075.00	
09OCT17	S 1 PD09JAN18	6666.00	6881.00	USD	-5,375.00	
09OCT17	S 1 PD09JAN18	6678.50	6881.00	USD	-5,062.50	
09OCT17	S 3 PD09JAN18	6655.00	6881.00	USD	-16,950.00	
09OCT17	S 2 PD09JAN18	6665.00	6881.00	USD	-10,800.00	
09OCT17	S 1 PD09JAN18	6668.00	6881.00	USD	-5,325.00	
09OCT17	S 1 PD09JAN18	6677.50	6881.00	USD	-5,087.50	
09OCT17	S 1 PD09JAN18	6657.00	6881.00	USD	-5,600.00	
09OCT17	S 1 PD09JAN18	6656.50	6881.00	USD	-5,612.50	
09OCT17	S 4 PD09JAN18	6660.00	6881.00	USD	-22,100.00	
09OCT17	S 2 PD09JAN18	6661.50	6881.00	USD	-10,975.00	
09OCT17	S 1 PD09JAN18	6671.50	6881.00	USD	-5,237.50	
09OCT17	S 4 PD09JAN18	6670.50	6881.00	USD	-21,050.00	
09OCT17	S 3 PD09JAN18	6654.50	6881.00	USD	-16,987.50	
09OCT17	S 1 PD09JAN18	6664.00	6881.00	USD	-5,425.00	
09OCT17	S 1 PD09JAN18	6666.50	6881.00	USD	-5,362.50	
09OCT17	S 2 PD09JAN18	6667.00	6881.00	USD	-10,700.00	
09OCT17	S 1 PD09JAN18	6673.00	6881.00	USD	-5,200.00	
09OCT17	B 1 PD09JAN18	6656.00	6881.00	USD	5,625.00	
09OCT17	B 1 PD09JAN18	6660.50	6881.00	USD	5,512.50	
09OCT17	B 2 PD09JAN18	6661.50	6881.00	USD	10,975.00	
09OCT17	B 2 PD09JAN18	6657.50	6881.00	USD	11,175.00	
09OCT17	S 2 PD09JAN18	6653.50	6881.00	USD	-11,375.00	
09OCT17	S 5 PD09JAN18	6658.50	6881.00	USD	-27,812.50	
09OCT17	S 1 PD09JAN18	6659.50	6881.00	USD	-5,537.50	
09OCT17	S 1 PD09JAN18	6661.00	6881.00	USD	-5,500.00	
09OCT17	S 3 PD09JAN18	6662.00	6881.00	USD	-16,425.00	
09OCT17	S 4 PD09JAN18	6657.50	6881.00	USD	-22,350.00	
09OCT17	S 1 PD09JAN18	6662.50	6881.00	USD	-5,462.50	
09OCT17	S 5 PD09JAN18	6658.00	6881.00	USD	-27,875.00	
09OCT17	S 4 PD09JAN18	6659.00	6881.00	USD	-22,200.00	
	NET -60 AVG BGHT 6659.08 SOLD 6663.69				-325,275.00	0.00
10OCT17	B 1 PD10JAN18	6719.00	6881.33	USD	4,058.25	
10OCT17	B 4 PD10JAN18	6719.50	6881.33	USD	16,183.00	
10OCT17	B 2 PD10JAN18	6725.50	6881.33	USD	7,791.50	
10OCT17	B 2 PD10JAN18	6728.00	6881.33	USD	7,666.50	
10OCT17	B 3 PD10JAN18	6728.50	6881.33	USD	11,462.25	
10OCT17	B 5 PD10JAN18	6730.00	6881.33	USD	18,916.25	
10OCT17	S 1 PD10JAN18	6693.00	6881.33	USD	-4,708.25	
10OCT17	S 1 PD10JAN18	6694.00	6881.33	USD	-4,683.25	
10OCT17	S 2 PD10JAN18	6697.50	6881.33	USD	-9,191.50	
10OCT17	S 1 PD10JAN18	6701.00	6881.33	USD	-4,508.25	
10OCT17	S 2 PD10JAN18	6704.00	6881.33	USD	-8,866.50	
10OCT17	S 1 PD10JAN18	6704.50	6881.33	USD	-4,420.75	
10OCT17	S 2 PD10JAN18	6705.50	6881.33	USD	-8,791.50	
10OCT17	S 2 PD10JAN18	6729.00	6881.33	USD	-7,616.50	
10OCT17	S 1 PD10JAN18	6731.00	6881.33	USD	-3,758.25	
10OCT17	S 2 PD10JAN18	6731.50	6881.33	USD	-7,491.50	
10OCT17	S 2 PD10JAN18	6732.00	6881.33	USD	-7,466.50	
	NET 0 AVG BGHT 6725.85 SOLD 6713.09				-5,425.00	0.00

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11OCT17	B	5	PD11JAN18	6728.00	6881.67	USD	19,208.75	
11OCT17	B	5	PD11JAN18	6732.00	6881.67	USD	18,708.75	
11OCT17	S	1	PD11JAN18	6734.50	6881.67	USD	-3,679.25	
11OCT17	S	1	PD11JAN18	6735.50	6881.67	USD	-3,654.25	
11OCT17	S	3	PD11JAN18	6736.50	6881.67	USD	-10,887.75	
11OCT17	S	1	PD11JAN18	6745.00	6881.67	USD	-3,416.75	
11OCT17	S	2	PD11JAN18	6747.50	6881.67	USD	-6,708.50	
11OCT17	B	1	PD11JAN18	6788.50	6881.67	USD	2,329.25	
11OCT17	B	4	PD11JAN18	6790.00	6881.67	USD	9,167.00	
11OCT17	B	1	PD11JAN18	6790.50	6881.67	USD	2,279.25	
11OCT17	B	1	PD11JAN18	6785.00	6881.67	USD	2,416.75	
11OCT17	B	1	PD11JAN18	6766.50	6881.67	USD	2,879.25	
11OCT17	B	5	PD11JAN18	6767.00	6881.67	USD	14,333.75	
11OCT17	B	1	PD11JAN18	6777.00	6881.67	USD	2,616.75	
11OCT17	B	1	PD11JAN18	6782.00	6881.67	USD	2,491.75	
11OCT17	B	2	PD11JAN18	6789.00	6881.67	USD	4,633.50	
11OCT17	B	5	PD11JAN18	6779.00	6881.67	USD	12,833.75	
11OCT17	B	1	PD11JAN18	6780.50	6881.67	USD	2,529.25	
11OCT17	B	5	PD11JAN18	6781.00	6881.67	USD	12,583.75	
11OCT17	B	5	PD11JAN18	6799.00	6881.67	USD	10,333.75	
11OCT17	B	5	PD11JAN18	6798.00	6881.67	USD	10,458.75	
	NET	+40 AVG BGHT 6773.50	SOLD 6739.94				101,457.50	0.00
12OCT17	B	2	PD12JAN18	6799.50	6882.00	USD	4,125.00	
12OCT17	B	3	PD12JAN18	6800.00	6882.00	USD	6,150.00	
12OCT17	B	1	PD12JAN18	6832.50	6882.00	USD	1,237.50	
12OCT17	B	1	PD12JAN18	6838.50	6882.00	USD	1,087.50	
12OCT17	B	1	PD12JAN18	6839.00	6882.00	USD	1,075.00	
12OCT17	B	1	PD12JAN18	6836.00	6882.00	USD	1,150.00	
12OCT17	B	1	PD12JAN18	6837.50	6882.00	USD	1,112.50	
12OCT17	B	2	PD12JAN18	6840.50	6882.00	USD	2,075.00	
12OCT17	B	1	PD12JAN18	6841.00	6882.00	USD	1,025.00	
12OCT17	B	7	PD12JAN18	6841.50	6882.00	USD	7,087.50	
12OCT17	S	1	PD12JAN18	6881.50	6882.00	USD	-12.50	
12OCT17	S	3	PD12JAN18	6878.00	6882.00	USD	-300.00	
12OCT17	B	2	PD12JAN18	6885.50	6882.00	USD	-175.00	
12OCT17	S	1	PD12JAN18	6866.00	6882.00	USD	-400.00	
12OCT17	S	1	PD12JAN18	6866.50	6882.00	USD	-387.50	
12OCT17	S	1	PD12JAN18	6867.00	6882.00	USD	-375.00	
12OCT17	S	2	PD12JAN18	6868.00	6882.00	USD	-700.00	
12OCT17	S	2	PD12JAN18	6880.50	6882.00	USD	-75.00	
12OCT17	S	6	PD12JAN18	6877.50	6882.00	USD	-675.00	
13OCT17	B	1	PD12JAN18	6879.00	6882.00	USD	75.00	
13OCT17	B	1	PD12JAN18	6879.50	6882.00	USD	62.50	
13OCT17	B	1	PD12JAN18	6881.00	6882.00	USD	25.00	
13OCT17	B	1	PD12JAN18	6883.00	6882.00	USD	-25.00	
13OCT17	B	1	PD12JAN18	6888.50	6882.00	USD	-162.50	
13OCT17	B	1	PD12JAN18	6866.00	6882.00	USD	400.00	
13OCT17	B	3	PD12JAN18	6868.50	6882.00	USD	1,012.50	
13OCT17	B	1	PD12JAN18	6869.50	6882.00	USD	312.50	
13OCT17	B	3	PD12JAN18	6872.50	6882.00	USD	712.50	
13OCT17	B	1	PD12JAN18	6873.00	6882.00	USD	225.00	
13OCT17	S	2	PD12JAN18	6883.00	6882.00	USD	50.00	
13OCT17	S	2	PD12JAN18	6884.50	6882.00	USD	125.00	
13OCT17	S	1	PD12JAN18	6887.00	6882.00	USD	125.00	
13OCT17	B	5	PD12JAN18	6906.50	6882.00	USD	-3,062.50	
13OCT17	S	1	PD12JAN18	6897.00	6882.00	USD	375.00	
13OCT17	S	1	PD12JAN18	6898.00	6882.00	USD	400.00	

OPEN POSITION STATEMENT



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SAP0025U

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Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>					
13OCT17	S 1 PD12JAN18	6899.00	6882.00	USD	425.00	
13OCT17	S 1 PD12JAN18	6903.50	6882.00	USD	537.50	
13OCT17	S 1 PD12JAN18	6904.50	6882.00	USD	562.50	
13OCT17	B 1 PD12JAN18	6882.50	6882.00	USD	-12.50	
13OCT17	B 2 PD12JAN18	6884.00	6882.00	USD	-100.00	
13OCT17	B 2 PD12JAN18	6886.50	6882.00	USD	-225.00	
13OCT17	B 1 PD12JAN18	6869.00	6882.00	USD	325.00	
	NET +20 AVG BGHT 6860.29 SOLD 6881.52				25,187.50	0.00
	<u>CMX COPPER 25,000 CMX</u>					
11OCT17	S 4 DEC17	305.800	313.350	USD	-7,550.00	
11OCT17	S 7 DEC17	308.350	313.350	USD	-8,750.00	
11OCT17	S 11 DEC17	308.450	313.350	USD	-13,475.00	
11OCT17	S 2 DEC17	308.200	313.350	USD	-2,575.00	
11OCT17	S 1 DEC17	307.900	313.350	USD	-1,362.50	
11OCT17	S 2 DEC17	307.350	313.350	USD	-3,000.00	
11OCT17	S 11 DEC17	307.400	313.350	USD	-16,362.50	
11OCT17	S 11 DEC17	307.950	313.350	USD	-14,850.00	
11OCT17	S 7 DEC17	308.050	313.350	USD	-9,275.00	
11OCT17	S 10 DEC17	308.000	313.350	USD	-13,375.00	
11OCT17	S 11 DEC17	308.900	313.350	USD	-12,237.50	
11OCT17	S 11 DEC17	308.850	313.350	USD	-12,375.00	
12OCT17	S 3 DEC17	310.800	313.350	USD	-1,912.50	
12OCT17	S 4 DEC17	310.750	313.350	USD	-2,600.00	
12OCT17	S 4 DEC17	312.750	313.350	USD	-600.00	
13OCT17	S 4 DEC17	312.050	313.350	USD	-1,300.00	
13OCT17	S 7 DEC17	312.200	313.350	USD	-2,012.50	
13OCT17	S 11 DEC17	313.850	313.350	USD	1,375.00	
13OCT17	S 4 DEC17	312.800	313.350	USD	-550.00	
13OCT17	S 5 DEC17	312.700	313.350	USD	-812.50	
13OCT17	S 2 DEC17	312.600	313.350	USD	-375.00	
	NET -132 AVG SOLD 309.593				-123,975.00	0.00

OPEN POSITION VALUE

		USD
LME Copper Grade A 25	FUTURES	-9,868,686.25
CMX Copper	FUTURES	10,216,575.00

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options	Amount	Profit / Loss	Total Amount	Total P&L
COPR - Futures USD	-123,975.00	-123,975.00	-123,975.00	-123,975.00
Unrealised Profits & Losses - Forwards	Amount	Profit / Loss	Total Amount	Total P&L
COPL - Futures USD	440,005.00	440,005.00	440,005.00	440,005.00

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ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	-331,202.56	-331,202.56
Variation Margins		
- Futures	-123,975.00	-123,975.00
- Options	0.00	0.00
TOTAL EQUITY	-455,177.56	-455,177.56
Initial Margins	-775,116.00	-775,116.00
Excess/(Shortage)	-1,230,293.56	-1,230,293.56
FOR INFORMATION ONLY		
Forward Unrealised P&L	440,005.00	440,005.00
Net Liquidating Value	-15,172.56	-15,172.56

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
02AUG17	S	5	PD02NOV17	6319.50	6859.82	USD	-67,540.00	
02AUG17	B	2	PD02NOV17	6333.50	6859.82	USD	26,316.00	
02AUG17	B	2	PD02NOV17	6337.50	6859.82	USD	26,116.00	
02AUG17	B	1	PD02NOV17	6336.00	6859.82	USD	13,095.50	
02AUG17	B	1	PD02NOV17	6336.50	6859.82	USD	13,083.00	
02AUG17	B	4	PD02NOV17	6337.00	6859.82	USD	52,282.00	
02AUG17	B	2	PD02NOV17	6331.00	6859.82	USD	26,441.00	
02AUG17	B	3	PD02NOV17	6338.00	6859.82	USD	39,136.50	
02AUG17	B	3	PD02NOV17	6334.50	6859.82	USD	39,399.00	
02AUG17	B	2	PD02NOV17	6335.50	6859.82	USD	26,216.00	
10AUG17	S	15	PD02NOV17	6419.71	6859.82	USD	-165,041.25	
	NET	0AVG BGHT 6335.65	SOLD 6394.66				29,503.75	0.00
03AUG17	S	2	PD03NOV17	6304.00	6860.59	USD	-27,829.50	
03AUG17	S	1	PD03NOV17	6307.00	6860.59	USD	-13,839.75	
03AUG17	S	1	PD03NOV17	6309.00	6860.59	USD	-13,789.75	
03AUG17	S	1	PD03NOV17	6312.50	6860.59	USD	-13,702.25	
03AUG17	S	1	PD03NOV17	6317.50	6860.59	USD	-13,577.25	
03AUG17	S	1	PD03NOV17	6318.50	6860.59	USD	-13,552.25	
03AUG17	S	2	PD03NOV17	6319.50	6860.59	USD	-27,054.50	
03AUG17	S	1	PD03NOV17	6320.00	6860.59	USD	-13,514.75	
03AUG17	B	1	PD03NOV17	6311.00	6860.59	USD	13,739.75	
03AUG17	B	1	PD03NOV17	6317.50	6860.59	USD	13,577.25	
03AUG17	B	1	PD03NOV17	6328.50	6860.59	USD	13,302.25	
03AUG17	B	1	PD03NOV17	6333.50	6860.59	USD	13,177.25	
03AUG17	B	1	PD03NOV17	6342.50	6860.59	USD	12,952.25	
04AUG17	S	1	PD03NOV17	6355.50	6860.59	USD	-12,627.25	
04AUG17	S	1	PD03NOV17	6357.50	6860.59	USD	-12,577.25	
04AUG17	S	1	PD03NOV17	6358.50	6860.59	USD	-12,552.25	
04AUG17	S	1	PD03NOV17	6358.00	6860.59	USD	-12,564.75	
04AUG17	B	5	PD03NOV17	6359.50	6860.59	USD	62,636.25	
04AUG17	S	1	PD03NOV17	6331.00	6860.59	USD	-13,239.75	
04AUG17	S	1	PD03NOV17	6334.50	6860.59	USD	-13,152.25	
04AUG17	S	1	PD03NOV17	6337.00	6860.59	USD	-13,089.75	
04AUG17	S	1	PD03NOV17	6339.50	6860.59	USD	-13,027.25	
04AUG17	S	1	PD03NOV17	6341.00	6860.59	USD	-12,989.75	
04AUG17	B	2	PD03NOV17	6364.00	6860.59	USD	24,829.50	
04AUG17	B	2	PD03NOV17	6364.50	6860.59	USD	24,804.50	
04AUG17	B	1	PD03NOV17	6366.00	6860.59	USD	12,364.75	
04AUG17	S	4	PD03NOV17	6361.00	6860.59	USD	-49,959.00	
04AUG17	S	2	PD03NOV17	6359.50	6860.59	USD	-25,054.50	
10AUG17	B	5	PD03NOV17	6420.33	6860.59	USD	55,032.50	
10AUG17	B	5	PD03NOV17	6420.13	6860.59	USD	55,057.50	
	NET	0AVG BGHT 6378.23	SOLD 6336.28				-26,220.00	0.00
07AUG17	S	3	PD07NOV17	6346.50	6863.68	USD	-38,788.50	
07AUG17	S	1	PD07NOV17	6344.50	6863.68	USD	-12,979.50	
07AUG17	S	1	PD07NOV17	6345.00	6863.68	USD	-12,967.00	
07AUG17	B	1	PD07NOV17	6368.00	6863.68	USD	12,392.00	
07AUG17	B	2	PD07NOV17	6365.00	6863.68	USD	24,934.00	
07AUG17	B	1	PD07NOV17	6366.50	6863.68	USD	12,429.50	
07AUG17	B	1	PD07NOV17	6367.00	6863.68	USD	12,417.00	
07AUG17	B	2	PD07NOV17	6367.50	6863.68	USD	24,809.00	
07AUG17	B	2	PD07NOV17	6375.00	6863.68	USD	24,434.00	
07AUG17	B	1	PD07NOV17	6376.50	6863.68	USD	12,179.50	
07AUG17	B	1	PD07NOV17	6418.00	6863.68	USD	11,142.00	
07AUG17	B	1	PD07NOV17	6420.00	6863.68	USD	11,092.00	
07AUG17	B	1	PD07NOV17	6417.50	6863.68	USD	11,154.50	
07AUG17	B	1	PD07NOV17	6419.00	6863.68	USD	11,117.00	

OPEN POSITION STATEMENT



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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
07AUG17	B	1	PD07NOV17	6419.50	6863.68	USD	11,104.50	
07AUG17	S	1	PD07NOV17	6409.00	6863.68	USD	-11,367.00	
07AUG17	S	1	PD07NOV17	6412.50	6863.68	USD	-11,279.50	
07AUG17	S	1	PD07NOV17	6413.00	6863.68	USD	-11,267.00	
07AUG17	S	1	PD07NOV17	6413.50	6863.68	USD	-11,254.50	
07AUG17	S	1	PD07NOV17	6417.00	6863.68	USD	-11,167.00	
10AUG17	S	5	PD07NOV17	6421.57	6863.68	USD	-55,263.75	
	NET	0 AVG BGHT 6385.80	SOLD 6393.46				2,871.25	0.00
08AUG17	S	1	PD08NOV17	6401.50	6864.45	USD	-11,573.75	
08AUG17	B	1	PD08NOV17	6399.50	6864.45	USD	11,623.75	
08AUG17	B	1	PD08NOV17	6401.00	6864.45	USD	11,586.25	
08AUG17	B	3	PD08NOV17	6400.50	6864.45	USD	34,796.25	
08AUG17	B	1	PD08NOV17	6401.50	6864.45	USD	11,573.75	
08AUG17	B	1	PD08NOV17	6437.50	6864.45	USD	10,673.75	
08AUG17	B	1	PD08NOV17	6438.00	6864.45	USD	10,661.25	
08AUG17	B	3	PD08NOV17	6440.00	6864.45	USD	31,833.75	
08AUG17	B	1	PD08NOV17	6477.00	6864.45	USD	9,686.25	
08AUG17	B	1	PD08NOV17	6475.00	6864.45	USD	9,736.25	
08AUG17	B	1	PD08NOV17	6480.00	6864.45	USD	9,611.25	
08AUG17	B	2	PD08NOV17	6474.50	6864.45	USD	19,497.50	
23AUG17	S	15	PD08NOV17	6563.00	6864.45	USD	-113,043.75	
	NET	0 AVG BGHT 6436.25	SOLD 6552.91				46,662.50	0.00
09AUG17	S	2	PD09NOV17	6477.00	6865.23	USD	-19,411.50	
09AUG17	S	1	PD09NOV17	6480.00	6865.23	USD	-9,630.75	
09AUG17	S	1	PD09NOV17	6483.50	6865.23	USD	-9,543.25	
09AUG17	S	1	PD09NOV17	6488.50	6865.23	USD	-9,418.25	
09AUG17	B	1	PD09NOV17	6487.00	6865.23	USD	9,455.75	
09AUG17	B	1	PD09NOV17	6490.00	6865.23	USD	9,380.75	
09AUG17	B	1	PD09NOV17	6492.00	6865.23	USD	9,330.75	
09AUG17	B	3	PD09NOV17	6482.00	6865.23	USD	28,742.25	
09AUG17	S	2	PD09NOV17	6484.50	6865.23	USD	-19,036.50	
09AUG17	S	1	PD09NOV17	6485.50	6865.23	USD	-9,493.25	
09AUG17	S	2	PD09NOV17	6482.50	6865.23	USD	-19,136.50	
09AUG17	S	2	PD09NOV17	6489.50	6865.23	USD	-18,786.50	
09AUG17	S	1	PD09NOV17	6490.00	6865.23	USD	-9,380.75	
09AUG17	B	1	PD09NOV17	6483.50	6865.23	USD	9,543.25	
09AUG17	S	2	PD09NOV17	6483.00	6865.23	USD	-19,111.50	
09AUG17	S	1	PD09NOV17	6477.50	6865.23	USD	-9,693.25	
09AUG17	S	1	PD09NOV17	6482.00	6865.23	USD	-9,580.75	
10AUG17	B	10	PD09NOV17	6422.79	6865.23	USD	110,610.00	
	NET	0 AVG BGHT 6448.61	SOLD 6483.53				14,840.00	0.00
10AUG17	B	2	PD10NOV17	6455.50	6866.00	USD	20,525.00	
10AUG17	B	2	PD10NOV17	6470.50	6866.00	USD	19,775.00	
10AUG17	B	1	PD10NOV17	6476.00	6866.00	USD	9,750.00	
10AUG17	B	1	PD10NOV17	6455.00	6866.00	USD	10,275.00	
10AUG17	S	1	PD10NOV17	6446.00	6866.00	USD	-10,500.00	
10AUG17	S	3	PD10NOV17	6413.50	6866.00	USD	-33,937.50	
10AUG17	S	6	PD10NOV17	6410.00	6866.00	USD	-68,400.00	
10AUG17	S	1	PD10NOV17	6411.50	6866.00	USD	-11,362.50	
10AUG17	B	3	PD10NOV17	6423.00	6866.00	USD	33,225.00	
10AUG17	B	4	PD10NOV17	6427.00	6866.00	USD	43,900.00	
10AUG17	S	2	PD10NOV17	6418.50	6866.00	USD	-22,375.00	
11AUG17	S	1	PD10NOV17	6371.00	6866.00	USD	-12,375.00	
11AUG17	S	1	PD10NOV17	6368.00	6866.00	USD	-12,450.00	
11AUG17	S	1	PD10NOV17	6369.50	6866.00	USD	-12,412.50	
11AUG17	S	1	PD10NOV17	6365.50	6866.00	USD	-12,512.50	

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11AUG17	S	1	PD10NOV17	6360.50	6866.00	USD	-12,637.50	
23AUG17	B	5	PD10NOV17	6564.20	6866.00	USD	37,725.00	
	NET	0AVG	BGHT 6476.72				SOLD 6401.64	0.00
14AUG17	S	1	PD14NOV17	6413.00	6864.67	USD	-11,291.75	
14AUG17	S	1	PD14NOV17	6418.00	6864.67	USD	-11,166.75	
14AUG17	S	1	PD14NOV17	6412.50	6864.67	USD	-11,304.25	
14AUG17	S	1	PD14NOV17	6417.00	6864.67	USD	-11,191.75	
14AUG17	S	1	PD14NOV17	6416.00	6864.67	USD	-11,216.75	
14AUG17	B	1	PD14NOV17	6402.00	6864.67	USD	11,566.75	
14AUG17	B	1	PD14NOV17	6404.00	6864.67	USD	11,516.75	
14AUG17	B	1	PD14NOV17	6411.50	6864.67	USD	11,329.25	
14AUG17	B	2	PD14NOV17	6408.50	6864.67	USD	22,808.50	
14AUG17	B	2	PD14NOV17	6407.50	6864.67	USD	22,858.50	
14AUG17	B	1	PD14NOV17	6412.00	6864.67	USD	11,316.75	
14AUG17	B	1	PD14NOV17	6410.50	6864.67	USD	11,354.25	
14AUG17	B	1	PD14NOV17	6408.00	6864.67	USD	11,416.75	
	NET	+5AVG	BGHT 6408.00				SOLD 6415.30	0.00
16AUG17	S	1	PD16NOV17	6364.50	6863.00	USD	-12,462.50	
16AUG17	S	1	PD16NOV17	6365.00	6863.00	USD	-12,450.00	
16AUG17	S	1	PD16NOV17	6366.50	6863.00	USD	-12,412.50	
16AUG17	S	1	PD16NOV17	6370.50	6863.00	USD	-12,312.50	
16AUG17	S	1	PD16NOV17	6371.50	6863.00	USD	-12,287.50	
16AUG17	B	1	PD16NOV17	6385.50	6863.00	USD	11,937.50	
16AUG17	S	1	PD16NOV17	6380.00	6863.00	USD	-12,075.00	
16AUG17	S	1	PD16NOV17	6381.00	6863.00	USD	-12,050.00	
16AUG17	S	1	PD16NOV17	6381.50	6863.00	USD	-12,037.50	
16AUG17	S	2	PD16NOV17	6382.00	6863.00	USD	-24,050.00	
16AUG17	S	1	PD16NOV17	6384.00	6863.00	USD	-11,975.00	
16AUG17	B	2	PD16NOV17	6436.00	6863.00	USD	21,350.00	
16AUG17	B	1	PD16NOV17	6438.50	6863.00	USD	10,612.50	
16AUG17	B	1	PD16NOV17	6434.50	6863.00	USD	10,712.50	
16AUG17	B	1	PD16NOV17	6435.00	6863.00	USD	10,700.00	
16AUG17	B	3	PD16NOV17	6457.00	6863.00	USD	30,450.00	
16AUG17	B	2	PD16NOV17	6453.00	6863.00	USD	20,500.00	
16AUG17	B	3	PD16NOV17	6512.50	6863.00	USD	26,287.50	
16AUG17	B	2	PD16NOV17	6515.00	6863.00	USD	17,400.00	
16AUG17	B	3	PD16NOV17	6550.00	6863.00	USD	23,475.00	
16AUG17	B	2	PD16NOV17	6550.50	6863.00	USD	15,625.00	
	NET	+10AVG	BGHT 6483.86				SOLD 6375.32	0.00
17AUG17	S	2	PD17NOV17	6476.50	6863.47	USD	-19,348.50	
17AUG17	B	1	PD17NOV17	6484.00	6863.47	USD	9,486.75	
17AUG17	B	1	PD17NOV17	6485.00	6863.47	USD	9,461.75	
17AUG17	S	2	PD17NOV17	6487.00	6863.47	USD	-18,823.50	
17AUG17	S	5	PD17NOV17	6490.00	6863.47	USD	-46,683.75	
17AUG17	S	1	PD17NOV17	6490.50	6863.47	USD	-9,324.25	
17AUG17	S	1	PD17NOV17	6489.00	6863.47	USD	-9,361.75	
17AUG17	S	1	PD17NOV17	6493.50	6863.47	USD	-9,249.25	
18AUG17	S	2	PD17NOV17	6495.00	6863.47	USD	-18,423.50	
18AUG17	S	2	PD17NOV17	6496.50	6863.47	USD	-18,348.50	
18AUG17	S	1	PD17NOV17	6498.00	6863.47	USD	-9,136.75	
18AUG17	B	1	PD17NOV17	6498.50	6863.47	USD	9,124.25	
18AUG17	B	1	PD17NOV17	6499.00	6863.47	USD	9,111.75	
18AUG17	B	3	PD17NOV17	6499.50	6863.47	USD	27,297.75	
18AUG17	S	3	PD17NOV17	6470.00	6863.47	USD	-29,510.25	
18AUG17	B	1	PD17NOV17	6470.00	6863.47	USD	9,836.75	
18AUG17	B	2	PD17NOV17	6470.50	6863.47	USD	19,648.50	

OPEN POSITION STATEMENT



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SAP0025V

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
23AUG17	B	10	PD17NOV17	6563.89	6863.47	USD	74,895.00	
	NET	0 AVG BGHT 6525.75	SOLD 6487.05				-19,347.50	0.00
21AUG17	S	1	PD21NOV17	6478.00	6865.33	USD	-9,683.25	
21AUG17	S	1	PD21NOV17	6478.50	6865.33	USD	-9,670.75	
21AUG17	B	1	PD21NOV17	6481.00	6865.33	USD	9,608.25	
21AUG17	S	4	PD21NOV17	6479.00	6865.33	USD	-38,633.00	
21AUG17	B	1	PD21NOV17	6557.50	6865.33	USD	7,695.75	
21AUG17	B	1	PD21NOV17	6559.00	6865.33	USD	7,658.25	
21AUG17	B	2	PD21NOV17	6552.00	6865.33	USD	15,666.50	
21AUG17	B	1	PD21NOV17	6558.00	6865.33	USD	7,683.25	
21AUG17	B	1	PD21NOV17	6571.00	6865.33	USD	7,358.25	
21AUG17	B	1	PD21NOV17	6571.50	6865.33	USD	7,345.75	
21AUG17	B	1	PD21NOV17	6572.50	6865.33	USD	7,320.75	
21AUG17	B	2	PD21NOV17	6576.00	6865.33	USD	14,466.50	
21AUG17	B	5	PD21NOV17	6591.50	6865.33	USD	34,228.75	
	NET	+10 AVG BGHT 6567.75	SOLD 6478.75				61,045.00	0.00
22AUG17	S	2	PD22NOV17	6589.00	6865.80	USD	-13,840.00	
22AUG17	B	2	PD22NOV17	6597.00	6865.80	USD	13,440.00	
22AUG17	B	2	PD22NOV17	6623.00	6865.80	USD	12,140.00	
22AUG17	B	1	PD22NOV17	6624.00	6865.80	USD	6,045.00	
22AUG17	B	1	PD22NOV17	6625.50	6865.80	USD	6,007.50	
22AUG17	B	1	PD22NOV17	6625.00	6865.80	USD	6,020.00	
22AUG17	B	3	PD22NOV17	6642.00	6865.80	USD	16,785.00	
22AUG17	B	2	PD22NOV17	6637.00	6865.80	USD	11,440.00	
22AUG17	B	5	PD22NOV17	6579.50	6865.80	USD	35,787.50	
22AUG17	B	1	PD22NOV17	6584.50	6865.80	USD	7,032.50	
22AUG17	B	4	PD22NOV17	6585.00	6865.80	USD	28,080.00	
22AUG17	B	5	PD22NOV17	6581.50	6865.80	USD	35,537.50	
	NET	+25 AVG BGHT 6601.63	SOLD 6589.00				164,475.00	0.00
23AUG17	S	2	PD24NOV17	6582.00	6866.73	USD	-14,236.50	
23AUG17	S	2	PD24NOV17	6583.00	6866.73	USD	-14,186.50	
23AUG17	S	1	PD24NOV17	6586.00	6866.73	USD	-7,018.25	
23AUG17	B	2	PD24NOV17	6551.00	6866.73	USD	15,786.50	
23AUG17	B	3	PD24NOV17	6569.50	6866.73	USD	22,292.25	
24AUG17	S	2	PD24NOV17	6711.50	6866.73	USD	-7,761.50	
24AUG17	S	3	PD24NOV17	6705.00	6866.73	USD	-12,129.75	
25AUG17	S	2	PD24NOV17	6714.00	6866.73	USD	-7,636.50	
25AUG17	S	2	PD24NOV17	6714.50	6866.73	USD	-7,611.50	
25AUG17	S	1	PD24NOV17	6702.50	6866.73	USD	-4,105.75	
	NET	-10 AVG BGHT 6562.10	SOLD 6667.57				-36,607.50	0.00
29AUG17	S	2	PD29NOV17	6782.00	6869.07	USD	-4,353.50	
29AUG17	S	1	PD29NOV17	6778.50	6869.07	USD	-2,264.25	
29AUG17	S	2	PD29NOV17	6765.50	6869.07	USD	-5,178.50	
29AUG17	S	2	PD29NOV17	6766.50	6869.07	USD	-5,128.50	
29AUG17	S	1	PD29NOV17	6771.00	6869.07	USD	-2,451.75	
29AUG17	S	1	PD29NOV17	6779.50	6869.07	USD	-2,239.25	
29AUG17	S	1	PD29NOV17	6780.50	6869.07	USD	-2,214.25	
29AUG17	S	3	PD29NOV17	6790.00	6869.07	USD	-5,930.25	
29AUG17	S	2	PD29NOV17	6775.00	6869.07	USD	-4,703.50	
29AUG17	S	1	PD29NOV17	6778.00	6869.07	USD	-2,276.75	
29AUG17	S	3	PD29NOV17	6779.00	6869.07	USD	-6,755.25	
29AUG17	S	1	PD29NOV17	6782.50	6869.07	USD	-2,164.25	
29AUG17	S	1	PD29NOV17	6792.50	6869.07	USD	-1,914.25	
29AUG17	B	3	PD29NOV17	6806.50	6869.07	USD	4,692.75	
29AUG17	S	5	PD29NOV17	6806.00	6869.07	USD	-7,883.75	
29AUG17	S	2	PD29NOV17	6808.00	6869.07	USD	-3,053.50	

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29AUG17	B	4	PD29NOV17	6809.00	6869.07	USD	6,007.00	
29AUG17	B	2	PD29NOV17	6805.00	6869.07	USD	3,203.50	
29AUG17	S	10	PD29NOV17	6805.00	6869.07	USD	-16,017.50	
29AUG17	S	2	PD29NOV17	6801.50	6869.07	USD	-3,378.50	
29AUG17	B	2	PD29NOV17	6776.50	6869.07	USD	4,628.50	
29AUG17	B	1	PD29NOV17	6790.00	6869.07	USD	1,976.75	
29AUG17	B	5	PD29NOV17	6815.00	6869.07	USD	6,758.75	
29AUG17	S	7	PD29NOV17	6809.00	6869.07	USD	-10,512.25	
29AUG17	B	1	PD29NOV17	6823.00	6869.07	USD	1,151.75	
29AUG17	B	2	PD29NOV17	6810.50	6869.07	USD	2,928.50	
29AUG17	B	2	PD29NOV17	6797.50	6869.07	USD	3,578.50	
29AUG17	B	1	PD29NOV17	6800.50	6869.07	USD	1,714.25	
29AUG17	B	9	PD29NOV17	6810.00	6869.07	USD	13,290.75	
29AUG17	B	3	PD29NOV17	6785.50	6869.07	USD	6,267.75	
29AUG17	B	2	PD29NOV17	6798.50	6869.07	USD	3,528.50	
	NET	-10 AVG BGHT	6804.50	SOLD	6793.82		-28,692.50	0.00
30AUG17	B	5	PD30NOV17	6815.00	6869.53	USD	6,816.25	
30AUG17	B	2	PD30NOV17	6816.00	6869.53	USD	2,676.50	
30AUG17	B	1	PD30NOV17	6819.50	6869.53	USD	1,250.75	
30AUG17	B	2	PD30NOV17	6820.00	6869.53	USD	2,476.50	
30AUG17	B	3	PD30NOV17	6822.00	6869.53	USD	3,564.75	
30AUG17	B	2	PD30NOV17	6823.00	6869.53	USD	2,326.50	
30AUG17	S	5	PD30NOV17	6786.00	6869.53	USD	-10,441.25	
30AUG17	S	5	PD30NOV17	6780.50	6869.53	USD	-11,128.75	
30AUG17	S	5	PD30NOV17	6786.50	6869.53	USD	-10,378.75	
31AUG17	B	2	PD30NOV17	6804.50	6869.53	USD	3,251.50	
31AUG17	B	2	PD30NOV17	6806.50	6869.53	USD	3,151.50	
31AUG17	S	2	PD30NOV17	6801.00	6869.53	USD	-3,426.50	
31AUG17	S	2	PD30NOV17	6802.50	6869.53	USD	-3,351.50	
31AUG17	B	1	PD30NOV17	6835.50	6869.53	USD	850.75	
31AUG17	B	1	PD30NOV17	6837.50	6869.53	USD	800.75	
31AUG17	B	1	PD30NOV17	6826.00	6869.53	USD	1,088.25	
31AUG17	B	1	PD30NOV17	6832.00	6869.53	USD	938.25	
31AUG17	B	1	PD30NOV17	6833.00	6869.53	USD	913.25	
31AUG17	B	1	PD30NOV17	6833.50	6869.53	USD	900.75	
31AUG17	B	2	PD30NOV17	6834.00	6869.53	USD	1,776.50	
31AUG17	B	1	PD30NOV17	6835.00	6869.53	USD	863.25	
31AUG17	B	1	PD30NOV17	6840.50	6869.53	USD	725.75	
31AUG17	S	1	PD30NOV17	6787.50	6869.53	USD	-2,050.75	
31AUG17	S	4	PD30NOV17	6795.50	6869.53	USD	-7,403.00	
31AUG17	B	5	PD30NOV17	6788.00	6869.53	USD	10,191.25	
31AUG17	B	4	PD30NOV17	6788.50	6869.53	USD	8,103.00	
31AUG17	S	1	PD30NOV17	6788.00	6869.53	USD	-2,038.25	
31AUG17	B	2	PD30NOV17	6795.00	6869.53	USD	3,726.50	
	NET	+15 AVG BGHT	6813.14	SOLD	6789.18		6,173.75	0.00
04SEP17	S	1	PD04DEC17	6883.00	6871.20	USD	295.00	
04SEP17	B	1	PD04DEC17	6870.00	6871.20	USD	30.00	
04SEP17	S	9	PD04DEC17	6882.00	6871.20	USD	2,430.00	
04SEP17	S	1	PD04DEC17	6882.50	6871.20	USD	282.50	
04SEP17	S	5	PD04DEC17	6886.00	6871.20	USD	1,850.00	
04SEP17	B	2	PD04DEC17	6888.00	6871.20	USD	-840.00	
04SEP17	S	2	PD04DEC17	6886.50	6871.20	USD	765.00	
04SEP17	S	3	PD04DEC17	6908.00	6871.20	USD	2,760.00	
04SEP17	S	2	PD04DEC17	6889.50	6871.20	USD	915.00	
04SEP17	B	2	PD04DEC17	6889.50	6871.20	USD	-915.00	
04SEP17	B	3	PD04DEC17	6890.00	6871.20	USD	-1,410.00	
04SEP17	B	2	PD04DEC17	6911.50	6871.20	USD	-2,015.00	

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04SEP17	B	3	PD04DEC17	6914.00	6871.20	USD	-3,210.00	
04SEP17	B	5	PD04DEC17	6915.00	6871.20	USD	-5,475.00	
04SEP17	B	5	PD04DEC17	6916.00	6871.20	USD	-5,600.00	
	NET	0 AVG BGHT 6905.00	SOLD 6887.37				-10,137.50	0.00
05SEP17	B	1	PD05DEC17	6938.50	6871.60	USD	-1,672.50	
05SEP17	S	1	PD05DEC17	6940.50	6871.60	USD	1,722.50	
05SEP17	B	1	PD05DEC17	6964.50	6871.60	USD	-2,322.50	
05SEP17	B	4	PD05DEC17	6965.50	6871.60	USD	-9,390.00	
05SEP17	B	1	PD05DEC17	6937.00	6871.60	USD	-1,635.00	
05SEP17	S	1	PD05DEC17	6938.50	6871.60	USD	1,672.50	
	NET	+5 AVG BGHT 6957.43	SOLD 6939.50				-11,625.00	0.00
06SEP17	S	6	PD06DEC17	6887.00	6872.00	USD	2,250.00	
06SEP17	S	4	PD06DEC17	6888.00	6872.00	USD	1,600.00	
06SEP17	S	2	PD06DEC17	6895.00	6872.00	USD	1,150.00	
06SEP17	B	1	PD06DEC17	6906.00	6872.00	USD	-850.00	
06SEP17	B	8	PD06DEC17	6893.00	6872.00	USD	-4,200.00	
06SEP17	B	4	PD06DEC17	6894.00	6872.00	USD	-2,200.00	
06SEP17	B	5	PD06DEC17	6892.00	6872.00	USD	-2,500.00	
06SEP17	B	4	PD06DEC17	6904.00	6872.00	USD	-3,200.00	
06SEP17	B	5	PD06DEC17	6886.50	6872.00	USD	-1,812.50	
06SEP17	S	10	PD06DEC17	6885.50	6872.00	USD	3,375.00	
06SEP17	S	10	PD06DEC17	6886.50	6872.00	USD	3,625.00	
06SEP17	S	2	PD06DEC17	6870.00	6872.00	USD	-100.00	
06SEP17	S	3	PD06DEC17	6878.00	6872.00	USD	450.00	
06SEP17	S	7	PD06DEC17	6885.00	6872.00	USD	2,275.00	
06SEP17	S	2	PD06DEC17	6897.00	6872.00	USD	1,250.00	
06SEP17	S	1	PD06DEC17	6889.00	6872.00	USD	425.00	
06SEP17	S	1	PD06DEC17	6898.50	6872.00	USD	662.50	
06SEP17	S	4	PD06DEC17	6900.00	6872.00	USD	2,800.00	
06SEP17	B	2	PD06DEC17	6917.00	6872.00	USD	-2,250.00	
06SEP17	S	1	PD06DEC17	6916.00	6872.00	USD	1,100.00	
06SEP17	S	5	PD06DEC17	6923.00	6872.00	USD	6,375.00	
06SEP17	S	1	PD06DEC17	6914.00	6872.00	USD	1,050.00	
	NET	-30 AVG BGHT 6895.47	SOLD 6891.18				11,275.00	0.00
07SEP17	B	2	PD07DEC17	6912.00	6872.42	USD	-1,979.00	
07SEP17	B	2	PD07DEC17	6919.00	6872.42	USD	-2,329.00	
07SEP17	B	3	PD07DEC17	6920.00	6872.42	USD	-3,568.50	
07SEP17	B	3	PD07DEC17	6922.00	6872.42	USD	-3,718.50	
07SEP17	B	5	PD07DEC17	6923.00	6872.42	USD	-6,322.50	
07SEP17	S	1	PD07DEC17	6882.50	6872.42	USD	252.00	
07SEP17	S	2	PD07DEC17	6890.00	6872.42	USD	879.00	
07SEP17	S	7	PD07DEC17	6898.00	6872.42	USD	4,476.50	
07SEP17	S	2	PD07DEC17	6901.00	6872.42	USD	1,429.00	
07SEP17	S	1	PD07DEC17	6902.00	6872.42	USD	739.50	
07SEP17	S	2	PD07DEC17	6904.00	6872.42	USD	1,579.00	
07SEP17	S	5	PD07DEC17	6859.00	6872.42	USD	-1,677.50	
07SEP17	S	1	PD07DEC17	6865.00	6872.42	USD	-185.50	
07SEP17	S	5	PD07DEC17	6869.00	6872.42	USD	-427.50	
07SEP17	S	2	PD07DEC17	6872.00	6872.42	USD	-21.00	
07SEP17	S	1	PD07DEC17	6875.00	6872.42	USD	64.50	
07SEP17	S	2	PD07DEC17	6879.00	6872.42	USD	329.00	
07SEP17	S	5	PD07DEC17	6860.00	6872.42	USD	-1,552.50	
07SEP17	B	1	PD07DEC17	6864.00	6872.42	USD	210.50	
07SEP17	B	2	PD07DEC17	6864.50	6872.42	USD	396.00	
07SEP17	B	1	PD07DEC17	6865.00	6872.42	USD	185.50	
07SEP17	B	1	PD07DEC17	6873.00	6872.42	USD	-14.50	

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07SEP17	B	1	PD07DEC17	6862.50	6872.42	USD	248.00	
07SEP17	S	1	PD07DEC17	6873.00	6872.42	USD	14.50	
07SEP17	S	1	PD07DEC17	6878.00	6872.42	USD	139.50	
07SEP17	S	2	PD07DEC17	6878.50	6872.42	USD	304.00	
07SEP17	S	1	PD07DEC17	6884.00	6872.42	USD	289.50	
	NET	-20 AVG BGHT 6904.60	SOLD 6878.89				-10,260.00	0.00
08SEP17	B	2	PD08DEC17	6928.00	6872.83	USD	-2,758.50	
08SEP17	B	3	PD08DEC17	6929.00	6872.83	USD	-4,212.75	
08SEP17	S	5	PD08DEC17	6843.00	6872.83	USD	-3,728.75	
08SEP17	S	1	PD08DEC17	6828.00	6872.83	USD	-1,120.75	
08SEP17	S	2	PD08DEC17	6832.00	6872.83	USD	-2,041.50	
08SEP17	S	2	PD08DEC17	6837.50	6872.83	USD	-1,766.50	
08SEP17	B	2	PD08DEC17	6794.00	6872.83	USD	3,941.50	
08SEP17	B	1	PD08DEC17	6794.50	6872.83	USD	1,958.25	
08SEP17	B	2	PD08DEC17	6795.50	6872.83	USD	3,866.50	
08SEP17	B	5	PD08DEC17	6785.00	6872.83	USD	10,978.75	
08SEP17	S	5	PD08DEC17	6785.00	6872.83	USD	-10,978.75	
	NET	0 AVG BGHT 6836.10	SOLD 6820.47				-5,862.50	0.00
11SEP17	B	2	PD11DEC17	6722.00	6874.08	USD	7,604.00	
11SEP17	B	2	PD11DEC17	6724.00	6874.08	USD	7,504.00	
11SEP17	B	1	PD11DEC17	6724.50	6874.08	USD	3,739.50	
11SEP17	B	2	PD11DEC17	6729.00	6874.08	USD	7,254.00	
11SEP17	B	2	PD11DEC17	6733.50	6874.08	USD	7,029.00	
11SEP17	B	3	PD11DEC17	6734.00	6874.08	USD	10,506.00	
11SEP17	S	2	PD11DEC17	6724.50	6874.08	USD	-7,479.00	
11SEP17	B	2	PD11DEC17	6759.00	6874.08	USD	5,754.00	
11SEP17	S	2	PD11DEC17	6758.00	6874.08	USD	-5,804.00	
11SEP17	B	2	PD11DEC17	6703.00	6874.08	USD	8,554.00	
11SEP17	B	3	PD11DEC17	6703.50	6874.08	USD	12,793.50	
11SEP17	B	5	PD11DEC17	6750.00	6874.08	USD	15,510.00	
11SEP17	B	7	PD11DEC17	6747.00	6874.08	USD	22,239.00	
11SEP17	S	2	PD11DEC17	6737.50	6874.08	USD	-6,829.00	
11SEP17	S	2	PD11DEC17	6746.00	6874.08	USD	-6,404.00	
11SEP17	B	2	PD11DEC17	6744.00	6874.08	USD	6,504.00	
	NET	+25 AVG BGHT 6734.70	SOLD 6741.50				88,475.00	0.00
12SEP17	S	1	PD12DEC17	6708.00	6874.50	USD	-4,162.50	
12SEP17	S	2	PD12DEC17	6710.00	6874.50	USD	-8,225.00	
12SEP17	S	2	PD12DEC17	6712.00	6874.50	USD	-8,125.00	
12SEP17	S	3	PD12DEC17	6700.00	6874.50	USD	-13,087.50	
12SEP17	S	2	PD12DEC17	6701.00	6874.50	USD	-8,675.00	
12SEP17	B	5	PD12DEC17	6715.00	6874.50	USD	19,937.50	
12SEP17	B	1	PD12DEC17	6676.00	6874.50	USD	4,962.50	
12SEP17	B	1	PD12DEC17	6675.50	6874.50	USD	4,975.00	
12SEP17	B	3	PD12DEC17	6678.00	6874.50	USD	14,737.50	
12SEP17	S	1	PD12DEC17	6646.00	6874.50	USD	-5,712.50	
12SEP17	S	1	PD12DEC17	6647.00	6874.50	USD	-5,687.50	
12SEP17	S	1	PD12DEC17	6648.50	6874.50	USD	-5,650.00	
12SEP17	B	2	PD12DEC17	6651.00	6874.50	USD	11,175.00	
12SEP17	B	5	PD12DEC17	6655.00	6874.50	USD	27,437.50	
12SEP17	S	2	PD12DEC17	6642.00	6874.50	USD	-11,625.00	
12SEP17	S	1	PD12DEC17	6663.00	6874.50	USD	-5,287.50	
12SEP17	S	1	PD12DEC17	6659.00	6874.50	USD	-5,387.50	
12SEP17	S	6	PD12DEC17	6661.00	6874.50	USD	-32,025.00	
12SEP17	S	15	PD12DEC17	6650.00	6874.50	USD	-84,187.50	
12SEP17	S	4	PD12DEC17	6655.00	6874.50	USD	-21,950.00	
	NET	-25 AVG BGHT 6678.68	SOLD 6665.18				-136,562.50	0.00

OPEN POSITION STATEMENT



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SAP0025V

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
13SEP17	B	4	PD13DEC17	6625.00	6874.92	USD	24,992.00	
13SEP17	B	1	PD13DEC17	6625.50	6874.92	USD	6,235.50	
13SEP17	B	2	PD13DEC17	6626.00	6874.92	USD	12,446.00	
13SEP17	B	2	PD13DEC17	6615.00	6874.92	USD	12,996.00	
13SEP17	B	2	PD13DEC17	6620.50	6874.92	USD	12,721.00	
13SEP17	B	3	PD13DEC17	6623.00	6874.92	USD	18,894.00	
13SEP17	B	3	PD13DEC17	6611.00	6874.92	USD	19,794.00	
13SEP17	B	3	PD13DEC17	6614.00	6874.92	USD	19,569.00	
13SEP17	B	2	PD13DEC17	6587.00	6874.92	USD	14,396.00	
13SEP17	B	2	PD13DEC17	6591.00	6874.92	USD	14,196.00	
13SEP17	B	1	PD13DEC17	6594.00	6874.92	USD	7,023.00	
13SEP17	B	5	PD13DEC17	6595.50	6874.92	USD	34,927.50	
13SEP17	B	1	PD13DEC17	6588.00	6874.92	USD	7,173.00	
13SEP17	B	2	PD13DEC17	6589.00	6874.92	USD	14,296.00	
13SEP17	B	7	PD13DEC17	6590.50	6874.92	USD	49,773.50	
13SEP17	B	5	PD13DEC17	6570.00	6874.92	USD	38,115.00	
	NET	+45 AVG BGHT 6601.54					307,547.50	0.00
14SEP17	B	3	PD14DEC17	6550.00	6875.33	USD	24,399.75	
14SEP17	S	3	PD14DEC17	6550.00	6875.33	USD	-24,399.75	
14SEP17	B	2	PD14DEC17	6507.50	6875.33	USD	18,391.50	
14SEP17	B	1	PD14DEC17	6483.50	6875.33	USD	9,795.75	
14SEP17	B	1	PD14DEC17	6488.00	6875.33	USD	9,683.25	
14SEP17	B	1	PD14DEC17	6490.50	6875.33	USD	9,620.75	
14SEP17	B	2	PD14DEC17	6491.00	6875.33	USD	19,216.50	
14SEP17	B	2	PD14DEC17	6492.00	6875.33	USD	19,166.50	
14SEP17	B	1	PD14DEC17	6492.50	6875.33	USD	9,570.75	
14SEP17	S	1	PD14DEC17	6500.50	6875.33	USD	-9,370.75	
14SEP17	S	2	PD14DEC17	6498.00	6875.33	USD	-18,866.50	
14SEP17	S	2	PD14DEC17	6506.50	6875.33	USD	-18,441.50	
14SEP17	S	8	PD14DEC17	6505.00	6875.33	USD	-74,066.00	
14SEP17	S	7	PD14DEC17	6500.00	6875.33	USD	-65,682.75	
	NET	-10 AVG BGHT 6506.58		SOLD 6508.67			-90,982.50	0.00
15SEP17	B	1	PD15DEC17	6508.50	6875.75	USD	9,181.25	
15SEP17	S	3	PD15DEC17	6500.50	6875.75	USD	-28,143.75	
15SEP17	S	1	PD15DEC17	6501.00	6875.75	USD	-9,368.75	
15SEP17	S	3	PD15DEC17	6502.50	6875.75	USD	-27,993.75	
15SEP17	S	1	PD15DEC17	6511.00	6875.75	USD	-9,118.75	
15SEP17	S	1	PD15DEC17	6515.00	6875.75	USD	-9,018.75	
15SEP17	S	1	PD15DEC17	6530.00	6875.75	USD	-8,643.75	
15SEP17	S	4	PD15DEC17	6532.00	6875.75	USD	-34,375.00	
15SEP17	S	4	PD15DEC17	6533.00	6875.75	USD	-34,275.00	
15SEP17	S	5	PD15DEC17	6534.00	6875.75	USD	-42,718.75	
15SEP17	S	5	PD15DEC17	6519.00	6875.75	USD	-44,593.75	
15SEP17	S	6	PD15DEC17	6507.50	6875.75	USD	-55,237.50	
15SEP17	S	1	PD15DEC17	6504.00	6875.75	USD	-9,293.75	
15SEP17	S	1	PD15DEC17	6505.00	6875.75	USD	-9,268.75	
	NET	-35 AVG BGHT 6508.50		SOLD 6517.92			-312,868.75	0.00
18SEP17	B	1	PD18DEC17	6536.00	6877.00	USD	8,525.00	
18SEP17	B	2	PD18DEC17	6537.00	6877.00	USD	17,000.00	
18SEP17	B	2	PD18DEC17	6538.00	6877.00	USD	16,950.00	
18SEP17	S	2	PD18DEC17	6539.00	6877.00	USD	-16,900.00	
18SEP17	S	3	PD18DEC17	6541.00	6877.00	USD	-25,200.00	
	NET	0 AVG BGHT 6537.20		SOLD 6540.20			375.00	0.00
19SEP17	B	1	PD19DEC17	6540.00	6877.00	USD	8,425.00	
19SEP17	B	2	PD19DEC17	6543.00	6877.00	USD	16,700.00	
19SEP17	B	2	PD19DEC17	6544.50	6877.00	USD	16,625.00	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
19SEP17	B	2	PD19DEC17	6540.50	6877.00	USD	16,825.00	
19SEP17	B	1	PD19DEC17	6547.50	6877.00	USD	8,237.50	
19SEP17	B	3	PD19DEC17	6545.00	6877.00	USD	24,900.00	
19SEP17	B	1	PD19DEC17	6550.00	6877.00	USD	8,175.00	
19SEP17	B	1	PD19DEC17	6539.50	6877.00	USD	8,437.50	
19SEP17	B	1	PD19DEC17	6541.00	6877.00	USD	8,400.00	
19SEP17	B	1	PD19DEC17	6542.00	6877.00	USD	8,375.00	
19SEP17	B	1	PD19DEC17	6532.00	6877.00	USD	8,625.00	
19SEP17	B	1	PD19DEC17	6531.00	6877.00	USD	8,650.00	
19SEP17	B	1	PD19DEC17	6534.00	6877.00	USD	8,575.00	
19SEP17	B	2	PD19DEC17	6535.00	6877.00	USD	17,100.00	
19SEP17	B	3	PD19DEC17	6525.50	6877.00	USD	26,362.50	
19SEP17	B	1	PD19DEC17	6526.50	6877.00	USD	8,762.50	
19SEP17	B	2	PD19DEC17	6519.00	6877.00	USD	17,900.00	
19SEP17	B	1	PD19DEC17	6515.50	6877.00	USD	9,037.50	
19SEP17	B	4	PD19DEC17	6515.00	6877.00	USD	36,200.00	
19SEP17	B	3	PD19DEC17	6516.00	6877.00	USD	27,075.00	
19SEP17	S	1	PD19DEC17	6507.50	6877.00	USD	-9,237.50	
19SEP17	B	2	PD19DEC17	6517.00	6877.00	USD	18,000.00	
19SEP17	B	5	PD19DEC17	6527.50	6877.00	USD	43,687.50	
	NET	+40 AVG BGHT 6530.59	SOLD 6507.50				345,837.50	0.00
20SEP17	S	2	PD20DEC17	6560.00	6877.00	USD	-15,850.00	
20SEP17	S	2	PD20DEC17	6561.00	6877.00	USD	-15,800.00	
20SEP17	S	3	PD20DEC17	6562.00	6877.00	USD	-23,625.00	
20SEP17	S	3	PD20DEC17	6562.50	6877.00	USD	-23,587.50	
20SEP17	S	5	PD20DEC17	6515.00	6877.00	USD	-45,250.00	
20SEP17	S	5	PD20DEC17	6516.00	6877.00	USD	-45,125.00	
20SEP17	S	5	PD20DEC17	6517.50	6877.00	USD	-44,937.50	
	NET	-25 AVG SOLD 6534.32					-214,175.00	0.00
21SEP17	S	5	PD21DEC17	6480.00	6876.00	USD	-49,500.00	
21SEP17	S	5	PD21DEC17	6455.00	6876.00	USD	-52,625.00	
21SEP17	S	5	PD21DEC17	6470.00	6876.00	USD	-50,750.00	
21SEP17	B	3	PD21DEC17	6445.50	6876.00	USD	32,287.50	
21SEP17	B	2	PD21DEC17	6442.50	6876.00	USD	21,675.00	
21SEP17	B	5	PD21DEC17	6480.50	6876.00	USD	49,437.50	
21SEP17	B	5	PD21DEC17	6467.00	6876.00	USD	51,125.00	
21SEP17	B	1	PD21DEC17	6479.50	6876.00	USD	9,912.50	
21SEP17	B	4	PD21DEC17	6480.00	6876.00	USD	39,600.00	
21SEP17	B	5	PD21DEC17	6470.00	6876.00	USD	50,750.00	
21SEP17	B	5	PD21DEC17	6430.00	6876.00	USD	55,750.00	
21SEP17	B	5	PD21DEC17	6451.00	6876.00	USD	53,125.00	
21SEP17	B	5	PD21DEC17	6459.00	6876.00	USD	52,125.00	
21SEP17	B	5	PD21DEC17	6454.00	6876.00	USD	52,750.00	
21SEP17	B	5	PD21DEC17	6450.00	6876.00	USD	53,250.00	
21SEP17	B	5	PD21DEC17	6435.00	6876.00	USD	55,125.00	
	NET	+40 AVG BGHT 6456.43	SOLD 6468.33				424,037.50	0.00
22SEP17	B	5	PD22DEC17	6422.50	6875.00	USD	56,562.50	
22SEP17	S	5	PD22DEC17	6400.50	6875.00	USD	-59,312.50	
	NET	0 AVG BGHT 6422.50	SOLD 6400.50				-2,750.00	0.00
25SEP17	S	2	PD27DEC17	6450.00	6876.67	USD	-21,333.50	
25SEP17	S	2	PD27DEC17	6452.00	6876.67	USD	-21,233.50	
25SEP17	S	1	PD27DEC17	6456.00	6876.67	USD	-10,516.75	
25SEP17	S	1	PD27DEC17	6455.50	6876.67	USD	-10,529.25	
25SEP17	S	1	PD27DEC17	6460.00	6876.67	USD	-10,416.75	
25SEP17	S	1	PD27DEC17	6460.50	6876.67	USD	-10,404.25	
25SEP17	S	1	PD27DEC17	6467.00	6876.67	USD	-10,241.75	

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25SEP17	S	1	PD27DEC17	6462.50	6876.67	USD	-10,354.25	
26SEP17	B	1	PD27DEC17	6479.50	6876.67	USD	9,929.25	
26SEP17	B	1	PD27DEC17	6481.50	6876.67	USD	9,879.25	
26SEP17	B	2	PD27DEC17	6482.00	6876.67	USD	19,733.50	
26SEP17	S	1	PD27DEC17	6481.00	6876.67	USD	-9,891.75	
26SEP17	S	2	PD27DEC17	6502.50	6876.67	USD	-18,708.50	
26SEP17	S	2	PD27DEC17	6503.50	6876.67	USD	-18,658.50	
26SEP17	S	1	PD27DEC17	6504.50	6876.67	USD	-9,304.25	
26SEP17	S	5	PD27DEC17	6520.00	6876.67	USD	-44,583.75	
26SEP17	B	2	PD27DEC17	6480.00	6876.67	USD	19,833.50	
26SEP17	S	5	PD27DEC17	6410.00	6876.67	USD	-58,333.75	
26SEP17	S	5	PD27DEC17	6419.50	6876.67	USD	-57,146.25	
26SEP17	B	3	PD27DEC17	6416.00	6876.67	USD	34,550.25	
26SEP17	B	2	PD27DEC17	6417.00	6876.67	USD	22,983.50	
26SEP17	S	5	PD27DEC17	6433.00	6876.67	USD	-55,458.75	
27SEP17	S	5	PD27DEC17	6427.50	6876.67	USD	-56,146.25	
27SEP17	B	5	PD27DEC17	6472.00	6876.67	USD	50,583.75	
27SEP17	B	10	PD27DEC17	6440.00	6876.67	USD	109,167.50	
27SEP17	B	2	PD27DEC17	6444.00	6876.67	USD	21,633.50	
27SEP17	B	3	PD27DEC17	6445.00	6876.67	USD	32,375.25	
	NET	-10 AVG BGHT 6450.00	SOLD 6453.98				-102,592.50	0.00
28SEP17	S	5	PD28DEC17	6400.00	6877.00	USD	-59,625.00	
28SEP17	B	3	PD28DEC17	6453.00	6877.00	USD	31,800.00	
28SEP17	B	5	PD28DEC17	6467.00	6877.00	USD	51,250.00	
28SEP17	B	5	PD28DEC17	6470.00	6877.00	USD	50,875.00	
28SEP17	B	2	PD28DEC17	6454.00	6877.00	USD	21,150.00	
28SEP17	B	2	PD28DEC17	6523.00	6877.00	USD	17,700.00	
28SEP17	B	2	PD28DEC17	6528.00	6877.00	USD	17,450.00	
28SEP17	B	1	PD28DEC17	6561.00	6877.00	USD	7,900.00	
28SEP17	S	2	PD28DEC17	6564.50	6877.00	USD	-15,625.00	
28SEP17	S	3	PD28DEC17	6563.50	6877.00	USD	-23,512.50	
	NET	+10 AVG BGHT 6480.75	SOLD 6481.95				99,362.50	0.00
29SEP17	B	2	PD29DEC17	6537.50	6877.33	USD	16,991.50	
29SEP17	B	2	PD29DEC17	6540.50	6877.33	USD	16,841.50	
29SEP17	B	1	PD29DEC17	6551.50	6877.33	USD	8,145.75	
29SEP17	S	5	PD29DEC17	6534.00	6877.33	USD	-42,916.25	
29SEP17	S	5	PD29DEC17	6528.00	6877.33	USD	-43,666.25	
29SEP17	S	5	PD29DEC17	6534.50	6877.33	USD	-42,853.75	
29SEP17	B	5	PD29DEC17	6560.00	6877.33	USD	39,666.25	
29SEP17	S	1	PD29DEC17	6503.00	6877.33	USD	-9,358.25	
29SEP17	B	1	PD29DEC17	6502.50	6877.33	USD	9,370.75	
	NET	-5 AVG BGHT 6546.36	SOLD 6530.34				-47,778.75	0.00
03OCT17	B	3	PD03JAN18	6510.00	6879.00	USD	27,675.00	
03OCT17	B	2	PD03JAN18	6522.00	6879.00	USD	17,850.00	
03OCT17	S	2	PD03JAN18	6519.50	6879.00	USD	-17,975.00	
03OCT17	S	3	PD03JAN18	6506.50	6879.00	USD	-27,937.50	
	NET	0 AVG BGHT 6514.80	SOLD 6511.70				-387.50	0.00
04OCT17	S	5	PD04JAN18	6515.00	6879.33	USD	-45,541.25	
04OCT17	S	2	PD04JAN18	6508.50	6879.33	USD	-18,541.50	
04OCT17	S	3	PD04JAN18	6510.50	6879.33	USD	-27,662.25	
	NET	-10 AVG SOLD 6512.35					-91,745.00	0.00
05OCT17	B	3	PD05JAN18	6557.50	6879.67	USD	24,162.75	
05OCT17	B	2	PD05JAN18	6558.50	6879.67	USD	16,058.50	
05OCT17	B	3	PD05JAN18	6562.00	6879.67	USD	23,825.25	
05OCT17	B	2	PD05JAN18	6559.50	6879.67	USD	16,008.50	
05OCT17	B	5	PD05JAN18	6550.00	6879.67	USD	41,208.75	

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05OCT17	B	5	PD05JAN18	6646.00	6879.67	USD	29,208.75	
05OCT17	B	5	PD05JAN18	6674.50	6879.67	USD	25,646.25	
05OCT17	B	5	PD05JAN18	6680.00	6879.67	USD	24,958.75	
05OCT17	S	4	PD05JAN18	6677.00	6879.67	USD	-20,267.00	
05OCT17	S	1	PD05JAN18	6680.00	6879.67	USD	-4,991.75	
05OCT17	B	1	PD05JAN18	6696.00	6879.67	USD	4,591.75	
05OCT17	B	4	PD05JAN18	6701.00	6879.67	USD	17,867.00	
06OCT17	B	5	PD05JAN18	6684.00	6879.67	USD	24,458.75	
06OCT17	S	2	PD05JAN18	6655.50	6879.67	USD	-11,208.50	
06OCT17	S	3	PD05JAN18	6656.00	6879.67	USD	-16,775.25	
06OCT17	S	2	PD05JAN18	6663.50	6879.67	USD	-10,808.50	
06OCT17	S	1	PD05JAN18	6664.00	6879.67	USD	-5,391.75	
06OCT17	S	2	PD05JAN18	6665.00	6879.67	USD	-10,733.50	
	NET	+25 AVG BGHT 6631.68	SOLD 6665.87				167,818.75	0.00
09OCT17	S	2	PD09JAN18	6670.50	6881.00	USD	-10,525.00	
09OCT17	S	1	PD09JAN18	6677.50	6881.00	USD	-5,087.50	
09OCT17	S	2	PD09JAN18	6679.00	6881.00	USD	-10,100.00	
09OCT17	S	2	PD09JAN18	6665.50	6881.00	USD	-10,775.00	
09OCT17	S	2	PD09JAN18	6667.00	6881.00	USD	-10,700.00	
09OCT17	S	7	PD09JAN18	6680.00	6881.00	USD	-35,175.00	
09OCT17	S	3	PD09JAN18	6668.50	6881.00	USD	-15,937.50	
09OCT17	S	7	PD09JAN18	6677.00	6881.00	USD	-35,700.00	
09OCT17	S	4	PD09JAN18	6678.00	6881.00	USD	-20,300.00	
09OCT17	S	5	PD09JAN18	6673.00	6881.00	USD	-26,000.00	
09OCT17	S	2	PD09JAN18	6658.50	6881.00	USD	-11,125.00	
09OCT17	S	5	PD09JAN18	6655.00	6881.00	USD	-28,250.00	
09OCT17	S	1	PD09JAN18	6665.00	6881.00	USD	-5,400.00	
09OCT17	S	3	PD09JAN18	6658.00	6881.00	USD	-16,725.00	
09OCT17	S	5	PD09JAN18	6661.00	6881.00	USD	-27,500.00	
09OCT17	S	2	PD09JAN18	6667.50	6881.00	USD	-10,675.00	
09OCT17	S	10	PD09JAN18	6670.00	6881.00	USD	-52,750.00	
09OCT17	B	4	PD09JAN18	6661.50	6881.00	USD	21,950.00	
09OCT17	S	2	PD09JAN18	6662.00	6881.00	USD	-10,950.00	
09OCT17	B	1	PD09JAN18	6656.00	6881.00	USD	5,625.00	
09OCT17	B	2	PD09JAN18	6657.00	6881.00	USD	11,200.00	
09OCT17	S	4	PD09JAN18	6650.00	6881.00	USD	-23,100.00	
09OCT17	S	1	PD09JAN18	6650.50	6881.00	USD	-5,762.50	
09OCT17	B	2	PD09JAN18	6674.00	6881.00	USD	10,350.00	
09OCT17	S	4	PD09JAN18	6674.00	6881.00	USD	-20,700.00	
	NET	-65 AVG BGHT 6662.67	SOLD 6668.44				-344,112.50	0.00
10OCT17	B	2	PD10JAN18	6718.00	6881.33	USD	8,166.50	
10OCT17	B	2	PD10JAN18	6719.00	6881.33	USD	8,116.50	
10OCT17	B	1	PD10JAN18	6720.50	6881.33	USD	4,020.75	
10OCT17	B	5	PD10JAN18	6724.00	6881.33	USD	19,666.25	
10OCT17	S	2	PD10JAN18	6703.50	6881.33	USD	-8,891.50	
10OCT17	S	2	PD10JAN18	6707.50	6881.33	USD	-8,691.50	
10OCT17	S	1	PD10JAN18	6709.00	6881.33	USD	-4,308.25	
10OCT17	S	1	PD10JAN18	6728.50	6881.33	USD	-3,820.75	
10OCT17	S	2	PD10JAN18	6729.00	6881.33	USD	-7,616.50	
10OCT17	S	2	PD10JAN18	6732.00	6881.33	USD	-7,466.50	
	NET	0 AVG BGHT 6721.45	SOLD 6718.15				-825.00	0.00
11OCT17	B	1	PD11JAN18	6723.00	6881.67	USD	3,966.75	
11OCT17	B	4	PD11JAN18	6724.00	6881.67	USD	15,767.00	
11OCT17	B	2	PD11JAN18	6765.50	6881.67	USD	5,808.50	
11OCT17	B	2	PD11JAN18	6768.50	6881.67	USD	5,658.50	
11OCT17	B	1	PD11JAN18	6773.00	6881.67	USD	2,716.75	

OPEN POSITION STATEMENT



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SAP0025V

13th October 2017

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backoffice@sapiencap.com

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
11OCT17	B	2	PD11JAN18	6774.00	6881.67	USD	5,383.50	
11OCT17	B	1	PD11JAN18	6778.50	6881.67	USD	2,579.25	
11OCT17	B	3	PD11JAN18	6781.00	6881.67	USD	7,550.25	
11OCT17	B	2	PD11JAN18	6771.00	6881.67	USD	5,533.50	
11OCT17	S	6	PD11JAN18	6778.50	6881.67	USD	-15,475.50	
11OCT17	B	2	PD11JAN18	6777.00	6881.67	USD	5,233.50	
11OCT17	B	2	PD11JAN18	6777.50	6881.67	USD	5,208.50	
11OCT17	S	2	PD11JAN18	6783.50	6881.67	USD	-4,908.50	
11OCT17	B	1	PD11JAN18	6778.00	6881.67	USD	2,591.75	
11OCT17	B	1	PD11JAN18	6783.00	6881.67	USD	2,466.75	
11OCT17	B	1	PD11JAN18	6783.50	6881.67	USD	2,454.25	
11OCT17	B	3	PD11JAN18	6810.00	6881.67	USD	5,375.25	
11OCT17	B	5	PD11JAN18	6809.50	6881.67	USD	9,021.25	
11OCT17	B	2	PD11JAN18	6795.50	6881.67	USD	4,308.50	
11OCT17	B	2	PD11JAN18	6799.00	6881.67	USD	4,133.50	
11OCT17	B	1	PD11JAN18	6801.00	6881.67	USD	2,016.75	
11OCT17	B	4	PD11JAN18	6800.00	6881.67	USD	8,167.00	
11OCT17	B	1	PD11JAN18	6802.00	6881.67	USD	1,991.75	
	NET	+35 AVG BGHT 6781.27	SOLD 6779.75				87,548.75	0.00
12OCT17	B	10	PD12JAN18	6800.00	6882.00	USD	20,500.00	
12OCT17	B	5	PD12JAN18	6807.00	6882.00	USD	9,375.00	
12OCT17	B	2	PD12JAN18	6790.50	6882.00	USD	4,575.00	
12OCT17	B	3	PD12JAN18	6794.00	6882.00	USD	6,600.00	
12OCT17	B	5	PD12JAN18	6818.00	6882.00	USD	8,000.00	
12OCT17	B	5	PD12JAN18	6845.00	6882.00	USD	4,625.00	
12OCT17	S	5	PD12JAN18	6817.00	6882.00	USD	-8,125.00	
12OCT17	S	2	PD12JAN18	6863.00	6882.00	USD	-950.00	
12OCT17	S	3	PD12JAN18	6870.00	6882.00	USD	-900.00	
12OCT17	S	2	PD12JAN18	6895.00	6882.00	USD	650.00	
12OCT17	S	3	PD12JAN18	6897.00	6882.00	USD	1,125.00	
12OCT17	S	1	PD12JAN18	6883.00	6882.00	USD	25.00	
12OCT17	B	1	PD12JAN18	6882.00	6882.00	USD	0.00	
13OCT17	B	5	PD12JAN18	6873.50	6882.00	USD	1,062.50	
13OCT17	B	5	PD12JAN18	6875.50	6882.00	USD	812.50	
13OCT17	B	1	PD12JAN18	6863.00	6882.00	USD	475.00	
13OCT17	B	1	PD12JAN18	6865.00	6882.00	USD	425.00	
13OCT17	B	2	PD12JAN18	6871.00	6882.00	USD	550.00	
13OCT17	S	5	PD12JAN18	6875.00	6882.00	USD	-875.00	
13OCT17	S	4	PD12JAN18	6889.00	6882.00	USD	700.00	
13OCT17	S	2	PD12JAN18	6902.50	6882.00	USD	1,025.00	
13OCT17	S	1	PD12JAN18	6903.50	6882.00	USD	537.50	
13OCT17	B	3	PD12JAN18	6891.50	6882.00	USD	-712.50	
13OCT17	B	5	PD12JAN18	6870.00	6882.00	USD	1,500.00	
13OCT17	B	1	PD12JAN18	6866.50	6882.00	USD	387.50	
13OCT17	S	1	PD12JAN18	6909.00	6882.00	USD	675.00	
13OCT17	S	5	PD12JAN18	6897.00	6882.00	USD	1,875.00	
13OCT17	S	5	PD12JAN18	6897.50	6882.00	USD	1,937.50	
	NET	+15 AVG BGHT 6838.91	SOLD 6879.64				55,875.00	0.00
	<u>CMX COPPER 25,000 CMX</u>							
11OCT17	S	1	DEC17	307.500	313.350	USD	-1,462.50	
11OCT17	S	3	DEC17	307.700	313.350	USD	-4,237.50	
11OCT17	S	4	DEC17	307.600	313.350	USD	-5,750.00	
11OCT17	S	4	DEC17	307.750	313.350	USD	-5,600.00	
11OCT17	S	7	DEC17	308.050	313.350	USD	-9,275.00	
11OCT17	S	7	DEC17	307.850	313.350	USD	-9,625.00	
11OCT17	S	4	DEC17	307.900	313.350	USD	-5,450.00	
11OCT17	S	3	DEC17	307.950	313.350	USD	-4,050.00	

OPEN POSITION STATEMENT



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	CMX COPPER 25,000 CMX					
11OCT17	S 4 DEC17	308.150	313.350	USD	-5,200.00	
11OCT17	S 1 DEC17	309.450	313.350	USD	-975.00	
11OCT17	S 17 DEC17	309.400	313.350	USD	-16,787.50	
11OCT17	S 7 DEC17	308.750	313.350	USD	-8,050.00	
11OCT17	S 2 DEC17	308.850	313.350	USD	-2,250.00	
11OCT17	S 6 DEC17	308.900	313.350	USD	-6,675.00	
11OCT17	S 4 DEC17	308.950	313.350	USD	-4,400.00	
11OCT17	S 3 DEC17	309.000	313.350	USD	-3,262.50	
12OCT17	S 11 DEC17	308.950	313.350	USD	-12,100.00	
12OCT17	S 7 DEC17	308.650	313.350	USD	-8,225.00	
12OCT17	S 4 DEC17	308.450	313.350	USD	-4,900.00	
12OCT17	S 11 DEC17	311.000	313.350	USD	-6,462.50	
	NET -110 AVG SOLD 308.814				-124,737.50	0.00

OPEN POSITION VALUE

		USD
LME Copper Grade A 25	FUTURES	-8,077,565.00
CMX Copper	FUTURES	8,492,387.50

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options	Amount	Profit / Loss	Total Amount	Total P&L
COPR - Futures USD	-124,737.50	-124,737.50	-124,737.50	-124,737.50
Unrealised Profits & Losses - Forwards	Amount	Profit / Loss	Total Amount	Total P&L
COPL - Futures USD	509,337.50	509,337.50	509,337.50	509,337.50

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	-398,345.54	-398,345.54
Variation Margins - Futures	-124,737.50	-124,737.50
- Options	0.00	0.00
TOTAL EQUITY	-523,083.04	-523,083.04
Initial Margins	-509,242.50	-509,242.50
Excess/(Shortage)	-1,032,325.54	-1,032,325.54
FOR INFORMATION ONLY		
Forward Unrealised P&L	509,337.50	509,337.50
Net Liquidating Value	-13,745.54	-13,745.54

OPEN POSITION STATEMENT



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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
15SEP17	B	2	PD15DEC17	6499.00	6875.75	USD	18,837.50	
15SEP17	S	2	PD15DEC17	6494.00	6875.75	USD	-19,087.50	
	NET	0 AVG BGHT 6499.00	SOLD 6494.00				-250.00	0.00
18SEP17	B	1	PD18DEC17	6536.00	6877.00	USD	8,525.00	
18SEP17	B	1	PD18DEC17	6536.50	6877.00	USD	8,512.50	
18SEP17	B	1	PD18DEC17	6537.00	6877.00	USD	8,500.00	
18SEP17	B	2	PD18DEC17	6538.00	6877.00	USD	16,950.00	
18SEP17	S	1	PD18DEC17	6536.00	6877.00	USD	-8,525.00	
18SEP17	S	1	PD18DEC17	6541.50	6877.00	USD	-8,387.50	
18SEP17	S	1	PD18DEC17	6536.50	6877.00	USD	-8,512.50	
18SEP17	S	1	PD18DEC17	6542.00	6877.00	USD	-8,375.00	
18SEP17	S	1	PD18DEC17	6526.00	6877.00	USD	-8,775.00	
	NET	0 AVG BGHT 6537.10	SOLD 6536.40				-87.50	0.00
19SEP17	B	1	PD19DEC17	6548.00	6877.00	USD	8,225.00	
19SEP17	B	1	PD19DEC17	6550.00	6877.00	USD	8,175.00	
19SEP17	B	1	PD19DEC17	6545.00	6877.00	USD	8,300.00	
19SEP17	B	1	PD19DEC17	6539.00	6877.00	USD	8,450.00	
19SEP17	B	1	PD19DEC17	6541.00	6877.00	USD	8,400.00	
19SEP17	B	1	PD19DEC17	6521.00	6877.00	USD	8,900.00	
19SEP17	B	1	PD19DEC17	6527.50	6877.00	USD	8,737.50	
19SEP17	B	1	PD19DEC17	6529.00	6877.00	USD	8,700.00	
19SEP17	B	1	PD19DEC17	6522.00	6877.00	USD	8,875.00	
19SEP17	B	1	PD19DEC17	6514.00	6877.00	USD	9,075.00	
19SEP17	B	4	PD19DEC17	6517.50	6877.00	USD	35,950.00	
19SEP17	B	2	PD19DEC17	6518.00	6877.00	USD	17,950.00	
19SEP17	B	4	PD19DEC17	6526.50	6877.00	USD	35,050.00	
	NET	+20 AVG BGHT 6527.43					174,787.50	0.00
20SEP17	S	4	PD20DEC17	6562.50	6877.00	USD	-31,450.00	
20SEP17	S	1	PD20DEC17	6565.00	6877.00	USD	-7,800.00	
	NET	-5 AVG SOLD 6563.00					-39,250.00	0.00
21SEP17	S	1	PD21DEC17	6471.50	6876.00	USD	-10,112.50	
21SEP17	S	1	PD21DEC17	6473.50	6876.00	USD	-10,062.50	
21SEP17	S	1	PD21DEC17	6466.50	6876.00	USD	-10,237.50	
21SEP17	S	2	PD21DEC17	6467.50	6876.00	USD	-20,425.00	
21SEP17	S	1	PD21DEC17	6469.50	6876.00	USD	-10,162.50	
21SEP17	B	1	PD21DEC17	6457.00	6876.00	USD	10,475.00	
21SEP17	B	1	PD21DEC17	6445.00	6876.00	USD	10,775.00	
21SEP17	B	2	PD21DEC17	6447.00	6876.00	USD	21,450.00	
21SEP17	B	1	PD21DEC17	6448.00	6876.00	USD	10,700.00	
21SEP17	B	1	PD21DEC17	6448.50	6876.00	USD	10,687.50	
21SEP17	B	2	PD21DEC17	6442.00	6876.00	USD	21,700.00	
21SEP17	B	1	PD21DEC17	6468.50	6876.00	USD	10,187.50	
21SEP17	B	1	PD21DEC17	6467.00	6876.00	USD	10,225.00	
21SEP17	S	2	PD21DEC17	6465.50	6876.00	USD	-20,525.00	
21SEP17	B	1	PD21DEC17	6468.00	6876.00	USD	10,200.00	
21SEP17	B	2	PD21DEC17	6474.00	6876.00	USD	20,100.00	
21SEP17	B	2	PD21DEC17	6456.50	6876.00	USD	20,975.00	
21SEP17	B	3	PD21DEC17	6459.00	6876.00	USD	31,275.00	
21SEP17	B	5	PD21DEC17	6454.00	6876.00	USD	52,750.00	
	NET	+15 AVG BGHT 6456.00	SOLD 6468.38				159,975.00	0.00
22SEP17	S	1	PD22DEC17	6428.00	6875.00	USD	-11,175.00	
22SEP17	S	1	PD22DEC17	6433.50	6875.00	USD	-11,037.50	
22SEP17	S	1	PD22DEC17	6434.00	6875.00	USD	-11,025.00	
22SEP17	S	1	PD22DEC17	6438.00	6875.00	USD	-10,925.00	
22SEP17	S	1	PD22DEC17	6431.50	6875.00	USD	-11,087.50	
	NET	-5 AVG SOLD 6433.00					-55,250.00	0.00

OPEN POSITION STATEMENT



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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
25SEP17	S	1	PD27DEC17	6462.50	6876.67	USD	-10,354.25	
25SEP17	S	1	PD27DEC17	6461.50	6876.67	USD	-10,379.25	
25SEP17	S	1	PD27DEC17	6463.00	6876.67	USD	-10,341.75	
25SEP17	S	2	PD27DEC17	6451.50	6876.67	USD	-21,258.50	
25SEP17	S	1	PD27DEC17	6452.00	6876.67	USD	-10,616.75	
25SEP17	S	3	PD27DEC17	6453.00	6876.67	USD	-31,775.25	
25SEP17	S	3	PD27DEC17	6455.00	6876.67	USD	-31,625.25	
25SEP17	S	1	PD27DEC17	6455.50	6876.67	USD	-10,529.25	
25SEP17	S	1	PD27DEC17	6456.50	6876.67	USD	-10,504.25	
25SEP17	S	1	PD27DEC17	6457.00	6876.67	USD	-10,491.75	
26SEP17	B	1	PD27DEC17	6478.00	6876.67	USD	9,966.75	
26SEP17	B	1	PD27DEC17	6479.50	6876.67	USD	9,929.25	
26SEP17	B	1	PD27DEC17	6480.00	6876.67	USD	9,916.75	
26SEP17	B	2	PD27DEC17	6483.00	6876.67	USD	19,683.50	
26SEP17	S	1	PD27DEC17	6502.00	6876.67	USD	-9,366.75	
26SEP17	S	1	PD27DEC17	6504.50	6876.67	USD	-9,304.25	
26SEP17	S	1	PD27DEC17	6516.50	6876.67	USD	-9,004.25	
26SEP17	S	1	PD27DEC17	6519.00	6876.67	USD	-8,941.75	
26SEP17	S	1	PD27DEC17	6521.00	6876.67	USD	-8,891.75	
26SEP17	B	2	PD27DEC17	6512.00	6876.67	USD	18,233.50	
26SEP17	B	2	PD27DEC17	6507.00	6876.67	USD	18,483.50	
26SEP17	B	1	PD27DEC17	6504.00	6876.67	USD	9,316.75	
26SEP17	B	2	PD27DEC17	6507.50	6876.67	USD	18,458.50	
26SEP17	B	2	PD27DEC17	6493.00	6876.67	USD	19,183.50	
26SEP17	B	1	PD27DEC17	6488.00	6876.67	USD	9,716.75	
26SEP17	B	2	PD27DEC17	6460.50	6876.67	USD	20,808.50	
26SEP17	B	1	PD27DEC17	6461.00	6876.67	USD	10,391.75	
26SEP17	B	1	PD27DEC17	6461.50	6876.67	USD	10,379.25	
26SEP17	B	1	PD27DEC17	6462.00	6876.67	USD	10,366.75	
26SEP17	S	1	PD27DEC17	6407.00	6876.67	USD	-11,741.75	
26SEP17	S	1	PD27DEC17	6409.00	6876.67	USD	-11,691.75	
26SEP17	S	1	PD27DEC17	6410.50	6876.67	USD	-11,654.25	
26SEP17	S	1	PD27DEC17	6413.00	6876.67	USD	-11,591.75	
26SEP17	S	3	PD27DEC17	6410.00	6876.67	USD	-35,000.25	
26SEP17	S	1	PD27DEC17	6408.50	6876.67	USD	-11,704.25	
26SEP17	S	2	PD27DEC17	6418.00	6876.67	USD	-22,933.50	
27SEP17	B	1	PD27DEC17	6453.50	6876.67	USD	10,579.25	
27SEP17	S	1	PD27DEC17	6451.00	6876.67	USD	-10,641.75	
27SEP17	B	1	PD27DEC17	6468.50	6876.67	USD	10,204.25	
27SEP17	B	1	PD27DEC17	6462.00	6876.67	USD	10,366.75	
27SEP17	B	2	PD27DEC17	6463.00	6876.67	USD	20,683.50	
27SEP17	B	1	PD27DEC17	6459.00	6876.67	USD	10,441.75	
27SEP17	S	1	PD27DEC17	6464.00	6876.67	USD	-10,316.75	
27SEP17	B	1	PD27DEC17	6444.50	6876.67	USD	10,804.25	
27SEP17	B	1	PD27DEC17	6433.00	6876.67	USD	11,091.75	
27SEP17	B	1	PD27DEC17	6434.00	6876.67	USD	11,066.75	
27SEP17	B	1	PD27DEC17	6436.00	6876.67	USD	11,016.75	
27SEP17	B	1	PD27DEC17	6438.50	6876.67	USD	10,954.25	
27SEP17	B	1	PD27DEC17	6439.00	6876.67	USD	10,941.75	
	NET	0 AVG BGHT 6472.94	SOLD 6450.84				-17,675.00	0.00
28SEP17	B	1	PD28DEC17	6454.00	6877.00	USD	10,575.00	
28SEP17	B	1	PD28DEC17	6452.00	6877.00	USD	10,625.00	
28SEP17	B	1	PD28DEC17	6467.50	6877.00	USD	10,237.50	
28SEP17	B	1	PD28DEC17	6468.50	6877.00	USD	10,212.50	
28SEP17	B	1	PD28DEC17	6469.50	6877.00	USD	10,187.50	
	NET	+5 AVG BGHT 6462.30					51,837.50	0.00
04OCT17	S	3	PD04JAN18	6515.00	6879.33	USD	-27,324.75	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0025W

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
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Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>					
04OCT17	S 2 PD04JAN18	6516.00	6879.33	USD	-18,166.50	
	NET -5 AVG SOLD 6515.40				-45,491.25	0.00
05OCT17	B 5 PD05JAN18	6630.00	6879.67	USD	31,208.75	
05OCT17	B 1 PD05JAN18	6642.00	6879.67	USD	5,941.75	
05OCT17	B 10 PD05JAN18	6642.50	6879.67	USD	59,292.50	
05OCT17	B 5 PD05JAN18	6643.00	6879.67	USD	29,583.75	
05OCT17	B 10 PD05JAN18	6654.50	6879.67	USD	56,292.50	
05OCT17	B 1 PD05JAN18	6655.50	6879.67	USD	5,604.25	
05OCT17	B 5 PD05JAN18	6657.00	6879.67	USD	27,833.75	
05OCT17	B 4 PD05JAN18	6659.00	6879.67	USD	22,067.00	
05OCT17	S 3 PD05JAN18	6663.00	6879.67	USD	-16,250.25	
05OCT17	B 5 PD05JAN18	6650.00	6879.67	USD	28,708.75	
05OCT17	B 2 PD05JAN18	6659.50	6879.67	USD	11,008.50	
	NET +45 AVG BGHT 6648.39 SOLD 6663.00				261,291.25	0.00
09OCT17	S 1 PD09JAN18	6676.00	6881.00	USD	-5,125.00	
09OCT17	S 3 PD09JAN18	6680.50	6881.00	USD	-15,037.50	
09OCT17	S 1 PD09JAN18	6673.50	6881.00	USD	-5,187.50	
09OCT17	S 1 PD09JAN18	6678.50	6881.00	USD	-5,062.50	
09OCT17	S 1 PD09JAN18	6681.00	6881.00	USD	-5,000.00	
09OCT17	S 1 PD09JAN18	6662.00	6881.00	USD	-5,475.00	
09OCT17	S 3 PD09JAN18	6658.00	6881.00	USD	-16,725.00	
09OCT17	S 2 PD09JAN18	6677.50	6881.00	USD	-10,175.00	
09OCT17	S 3 PD09JAN18	6678.00	6881.00	USD	-15,225.00	
09OCT17	S 5 PD09JAN18	6676.50	6881.00	USD	-25,562.50	
09OCT17	S 1 PD09JAN18	6674.50	6881.00	USD	-5,162.50	
09OCT17	S 2 PD09JAN18	6657.50	6881.00	USD	-11,175.00	
09OCT17	S 1 PD09JAN18	6661.50	6881.00	USD	-5,487.50	
09OCT17	S 1 PD09JAN18	6679.00	6881.00	USD	-5,050.00	
09OCT17	S 1 PD09JAN18	6669.00	6881.00	USD	-5,300.00	
09OCT17	S 1 PD09JAN18	6680.00	6881.00	USD	-5,025.00	
09OCT17	S 9 PD09JAN18	6670.00	6881.00	USD	-47,475.00	
09OCT17	S 5 PD09JAN18	6657.00	6881.00	USD	-28,000.00	
09OCT17	S 1 PD09JAN18	6658.50	6881.00	USD	-5,562.50	
09OCT17	S 5 PD09JAN18	6660.50	6881.00	USD	-27,562.50	
09OCT17	S 1 PD09JAN18	6662.50	6881.00	USD	-5,462.50	
09OCT17	S 5 PD09JAN18	6670.50	6881.00	USD	-26,312.50	
09OCT17	S 5 PD09JAN18	6673.00	6881.00	USD	-26,000.00	
09OCT17	S 1 PD09JAN18	6661.00	6881.00	USD	-5,500.00	
	NET -60 AVG SOLD 6669.23				-317,650.00	0.00
10OCT17	S 5 PD10JAN18	6699.50	6881.33	USD	-22,728.75	
10OCT17	S 5 PD10JAN18	6703.00	6881.33	USD	-22,291.25	
	NET -10 AVG SOLD 6701.25				-45,020.00	0.00
11OCT17	B 5 PD11JAN18	6731.50	6881.67	USD	18,771.25	
11OCT17	B 5 PD11JAN18	6769.00	6881.67	USD	14,083.75	
11OCT17	B 1 PD11JAN18	6770.50	6881.67	USD	2,779.25	
11OCT17	B 4 PD11JAN18	6771.00	6881.67	USD	11,067.00	
11OCT17	B 1 PD11JAN18	6778.50	6881.67	USD	2,579.25	
11OCT17	B 4 PD11JAN18	6779.00	6881.67	USD	10,267.00	
11OCT17	B 3 PD11JAN18	6796.00	6881.67	USD	6,425.25	
11OCT17	B 2 PD11JAN18	6797.00	6881.67	USD	4,233.50	
11OCT17	B 5 PD11JAN18	6799.50	6881.67	USD	10,271.25	
11OCT17	B 5 PD11JAN18	6800.00	6881.67	USD	10,208.75	
	NET +35 AVG BGHT 6778.03				90,686.25	0.00
12OCT17	B 5 PD12JAN18	6799.50	6882.00	USD	10,312.50	
12OCT17	B 5 PD12JAN18	6834.00	6882.00	USD	6,000.00	
12OCT17	B 5 PD12JAN18	6839.50	6882.00	USD	5,312.50	

OPEN POSITION STATEMENT



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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
120CT17	B	5	PD12JAN18	6844.50	6882.00	USD	4,687.50	
120CT17	B	5	PD12JAN18	6852.50	6882.00	USD	3,687.50	
120CT17	B	5	PD12JAN18	6858.00	6882.00	USD	3,000.00	
130CT17	B	2	PD12JAN18	6879.00	6882.00	USD	150.00	
130CT17	S	2	PD12JAN18	6876.50	6882.00	USD	-275.00	
130CT17	S	5	PD12JAN18	6887.00	6882.00	USD	625.00	
130CT17	S	2	PD12JAN18	6897.00	6882.00	USD	750.00	
130CT17	S	3	PD12JAN18	6897.50	6882.00	USD	1,162.50	
130CT17	S	3	PD12JAN18	6906.00	6882.00	USD	1,800.00	
130CT17	S	1	PD12JAN18	6906.50	6882.00	USD	612.50	
130CT17	S	1	PD12JAN18	6907.00	6882.00	USD	625.00	
130CT17	S	5	PD12JAN18	6898.00	6882.00	USD	2,000.00	
130CT17	S	5	PD12JAN18	6901.00	6882.00	USD	2,375.00	
130CT17	S	5	PD12JAN18	6902.50	6882.00	USD	2,562.50	
130CT17	B	2	PD12JAN18	6894.00	6882.00	USD	-600.00	
130CT17	B	4	PD12JAN18	6890.00	6882.00	USD	-800.00	
130CT17	B	1	PD12JAN18	6888.00	6882.00	USD	-150.00	
130CT17	B	2	PD12JAN18	6889.50	6882.00	USD	-375.00	
130CT17	S	3	PD12JAN18	6900.00	6882.00	USD	1,350.00	
130CT17	S	1	PD12JAN18	6904.00	6882.00	USD	550.00	
130CT17	S	1	PD12JAN18	6888.00	6882.00	USD	150.00	
130CT17	S	4	PD12JAN18	6888.50	6882.00	USD	650.00	
130CT17	B	5	PD12JAN18	6892.50	6882.00	USD	-1,312.50	
	NET	+5 AVG BGHT 6855.99	SOLD 6896.57				44,850.00	0.00
	<u>CMX COPPER 25,000 CMX</u>							
110CT17	S	6	DEC17	308.900	313.350	USD	-6,675.00	
110CT17	S	8	DEC17	308.850	313.350	USD	-9,000.00	
110CT17	S	6	DEC17	308.950	313.350	USD	-6,600.00	
110CT17	S	2	DEC17	309.000	313.350	USD	-2,175.00	
120CT17	S	5	DEC17	308.850	313.350	USD	-5,625.00	
120CT17	S	3	DEC17	308.900	313.350	USD	-3,337.50	
120CT17	S	3	DEC17	308.950	313.350	USD	-3,300.00	
120CT17	S	11	DEC17	310.450	313.350	USD	-7,975.00	
120CT17	S	11	DEC17	310.700	313.350	USD	-7,287.50	
120CT17	S	11	DEC17	310.950	313.350	USD	-6,600.00	
120CT17	S	11	DEC17	311.550	313.350	USD	-4,950.00	
120CT17	S	5	DEC17	311.250	313.350	USD	-2,625.00	
120CT17	S	3	DEC17	311.300	313.350	USD	-1,537.50	
120CT17	S	3	DEC17	311.350	313.350	USD	-1,500.00	
	NET	-88 AVG SOLD 310.205					-69,187.50	0.00

OPEN POSITION VALUE

		USD
LME Copper Grade A 25	FUTURES	-6,614,462.50
CMX Copper	FUTURES	6,824,512.50

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0025W

13th October 2017

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London W1J 7NJ UK
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MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options	Amount	Profit / Loss	Total Amount	Total P&L
COPR - Futures USD	-69,187.50	-69,187.50	-69,187.50	-69,187.50
Unrealised Profits & Losses - Forwards	Amount	Profit / Loss	Total Amount	Total P&L
COPL - Futures USD	262,753.75	262,753.75	262,753.75	262,753.75

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
CASH BALANCE	(1.00000)	(1.00000)
Variation Margins - Futures	-205,549.22	-205,549.22
- Options	0.00	0.00
TOTAL EQUITY	-274,736.72	-274,736.72
Initial Margins	-529,091.25	-529,091.25
Excess/(Shortage)	-803,827.97	-803,827.97
FOR INFORMATION ONLY		
Forward Unrealised P&L	262,753.75	262,753.75
Net Liquidating Value	-11,982.97	-11,982.97

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED
SAP0025 ARB
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

GROUP NO: **SAPAB025**

13th Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343
Authorised and regulated by the Australian Prudential
Regulation Authority. Authorised by the Prudential
Regulation Authority. Subject to regulation by the Financial
Conduct Authority and limited regulation by the
Prudential Regulation Authority. Details about the extent
of our regulation by the Prudential Regulation Authority are
available from us on request.

GROUP FINANCIAL SUMMARY

	USD	NET USD
	(1.00000)	(1.00000)
CASH BALANCE	-3,198,868.60	-3,198,868.60
Variation Margins		
- Futures	-1,670,712.50	-1,670,712.50
- Options	0.00	0.00
TOTAL EQUITY	-4,869,581.10	-4,869,581.10
Initial Margins	-3,318,631.25	-3,318,631.25
Excess/(Shortage)	-8,188,212.35	-8,188,212.35
<u>FOR INFORMATION ONLY</u>		
Forward Unrealised P&L	4,761,611.75	4,761,611.75
Net Liquidating Value	-107,969.35	-107,969.35

OPEN POSITION STATEMENT



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SAP0038A

13th October 2017

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
17JUL17	S	1	PD17OCT17	5980.50	6859.00	USD	-21,962.50	
17JUL17	B	1	PD17OCT17	5989.50	6859.00	USD	21,737.50	
17JUL17	S	1	PD17OCT17	5984.50	6859.00	USD	-21,862.50	
17JUL17	S	1	PD17OCT17	6008.00	6859.00	USD	-21,275.00	
17JUL17	B	1	PD17OCT17	6009.00	6859.00	USD	21,250.00	
17JUL17	B	1	PD17OCT17	6009.50	6859.00	USD	21,237.50	
17JUL17	B	1	PD17OCT17	6012.50	6859.00	USD	21,162.50	
17JUL17	B	1	PD17OCT17	5999.50	6859.00	USD	21,487.50	
17JUL17	B	1	PD17OCT17	5992.50	6859.00	USD	21,662.50	
21JUL17	S	2	PD17OCT17	6005.56	6859.00	USD	-42,672.00	
27JUL17	S	1	PD17OCT17	6329.68	6859.00	USD	-13,233.00	
	NET	0 AVG BGHT 6002.08	SOLD 6052.30				7,532.50	0.00
18JUL17	S	1	PD18OCT17	5997.50	6859.50	USD	-21,550.00	
18JUL17	S	1	PD18OCT17	5988.00	6859.50	USD	-21,787.50	
18JUL17	B	1	PD18OCT17	5994.00	6859.50	USD	21,637.50	
18JUL17	B	1	PD18OCT17	5992.50	6859.50	USD	21,675.00	
	NET	0 AVG BGHT 5993.25	SOLD 5992.75				-25.00	0.00
19JUL17	S	1	PD19OCT17	5999.50	6857.50	USD	-21,450.00	
19JUL17	S	1	PD19OCT17	6002.50	6857.50	USD	-21,375.00	
19JUL17	B	1	PD19OCT17	5985.50	6857.50	USD	21,800.00	
19JUL17	S	1	PD19OCT17	5985.00	6857.50	USD	-21,812.50	
19JUL17	B	1	PD19OCT17	5991.00	6857.50	USD	21,662.50	
19JUL17	S	1	PD19OCT17	5973.00	6857.50	USD	-22,112.50	
19JUL17	S	1	PD19OCT17	5973.50	6857.50	USD	-22,100.00	
19JUL17	S	1	PD19OCT17	5968.50	6857.50	USD	-22,225.00	
21JUL17	B	4	PD19OCT17	6005.20	6857.50	USD	85,230.00	
	NET	0 AVG BGHT 5999.55	SOLD 5983.67				-2,382.50	0.00
20JUL17	S	1	PD20OCT17	5954.00	6857.50	USD	-22,587.50	
20JUL17	S	1	PD20OCT17	5954.50	6857.50	USD	-22,575.00	
21JUL17	B	1	PD20OCT17	6009.00	6857.50	USD	21,212.50	
21JUL17	B	1	PD20OCT17	6005.00	6857.50	USD	21,312.50	
21JUL17	B	1	PD20OCT17	6024.50	6857.50	USD	20,825.00	
21JUL17	B	1	PD20OCT17	6025.00	6857.50	USD	20,812.50	
21JUL17	B	1	PD20OCT17	6026.00	6857.50	USD	20,787.50	
21JUL17	B	1	PD20OCT17	6037.50	6857.50	USD	20,500.00	
21JUL17	B	1	PD20OCT17	6037.00	6857.50	USD	20,512.50	
21JUL17	B	1	PD20OCT17	6037.00	6857.50	USD	20,512.50	
21JUL17	S	1	PD20OCT17	6045.50	6857.50	USD	-20,300.00	
21JUL17	S	1	PD20OCT17	6016.50	6857.50	USD	-21,025.00	
21JUL17	S	1	PD20OCT17	6014.00	6857.50	USD	-21,087.50	
21JUL17	S	1	PD20OCT17	6010.00	6857.50	USD	-21,187.50	
21JUL17	S	1	PD20OCT17	6000.50	6857.50	USD	-21,425.00	
21JUL17	S	2	PD20OCT17	6001.00	6857.50	USD	-42,825.00	
21JUL17	B	2	PD20OCT17	6004.20	6857.50	USD	42,665.00	
27JUL17	S	1	PD20OCT17	6328.00	6857.50	USD	-13,237.50	
	NET	0 AVG BGHT 6020.94	SOLD 6032.50				2,890.00	0.00
24JUL17	S	1	PD24OCT17	5998.50	6857.50	USD	-21,475.00	
24JUL17	S	1	PD24OCT17	5995.00	6857.50	USD	-21,562.50	
27JUL17	B	2	PD24OCT17	6329.34	6857.50	USD	26,408.00	
	NET	0 AVG BGHT 6329.34	SOLD 5996.75				-16,629.50	0.00
25JUL17	B	1	PD25OCT17	6118.50	6857.50	USD	18,475.00	
25JUL17	B	1	PD25OCT17	6142.00	6857.50	USD	17,887.50	
25JUL17	B	1	PD25OCT17	6141.50	6857.50	USD	17,900.00	
25JUL17	B	1	PD25OCT17	6182.50	6857.50	USD	16,875.00	
25JUL17	B	1	PD25OCT17	6210.50	6857.50	USD	16,175.00	
25JUL17	B	1	PD25OCT17	6198.00	6857.50	USD	16,487.50	

OPEN POSITION STATEMENT



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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
25JUL17	B	1	PD250CT17	6197.50	6857.50	USD	16,500.00	
25JUL17	B	1	PD250CT17	6197.00	6857.50	USD	16,512.50	
25JUL17	B	1	PD250CT17	6196.50	6857.50	USD	16,525.00	
25JUL17	B	2	PD250CT17	6195.00	6857.50	USD	33,125.00	
25JUL17	B	1	PD250CT17	6194.50	6857.50	USD	16,575.00	
25JUL17	S	1	PD250CT17	6199.50	6857.50	USD	-16,450.00	
25JUL17	S	1	PD250CT17	6203.00	6857.50	USD	-16,362.50	
25JUL17	S	1	PD250CT17	6279.50	6857.50	USD	-14,450.00	
25JUL17	S	1	PD250CT17	6279.00	6857.50	USD	-14,462.50	
25JUL17	B	1	PD250CT17	6260.50	6857.50	USD	14,925.00	
27JUL17	S	4	PD250CT17	6329.43	6857.50	USD	-52,807.00	
31JUL17	S	2	PD250CT17	6366.75	6857.50	USD	-24,537.50	
11AUG17	S	3	PD250CT17	6404.90	6857.50	USD	-33,945.00	
	NET	0 AVG BGHT 6186.85	SOLD 6325.15				44,948.00	0.00
26JUL17	S	1	PD260CT17	6347.00	6857.50	USD	-12,762.50	
26JUL17	S	1	PD260CT17	6348.50	6857.50	USD	-12,725.00	
26JUL17	S	1	PD260CT17	6350.00	6857.50	USD	-12,687.50	
26JUL17	S	1	PD260CT17	6351.50	6857.50	USD	-12,650.00	
26JUL17	S	1	PD260CT17	6351.00	6857.50	USD	-12,662.50	
26JUL17	S	1	PD260CT17	6353.00	6857.50	USD	-12,612.50	
26JUL17	S	1	PD260CT17	6357.00	6857.50	USD	-12,512.50	
26JUL17	S	1	PD260CT17	6395.50	6857.50	USD	-11,550.00	
26JUL17	S	1	PD260CT17	6396.00	6857.50	USD	-11,537.50	
26JUL17	B	1	PD260CT17	6310.50	6857.50	USD	13,675.00	
26JUL17	B	1	PD260CT17	6311.50	6857.50	USD	13,650.00	
26JUL17	B	1	PD260CT17	6313.50	6857.50	USD	13,600.00	
26JUL17	B	1	PD260CT17	6301.00	6857.50	USD	13,912.50	
26JUL17	B	1	PD260CT17	6301.50	6857.50	USD	13,900.00	
26JUL17	B	1	PD260CT17	6298.00	6857.50	USD	13,987.50	
26JUL17	B	1	PD260CT17	6271.00	6857.50	USD	14,662.50	
26JUL17	B	1	PD260CT17	6272.50	6857.50	USD	14,625.00	
26JUL17	B	1	PD260CT17	6275.00	6857.50	USD	14,562.50	
26JUL17	S	1	PD260CT17	6302.50	6857.50	USD	-13,875.00	
26JUL17	S	1	PD260CT17	6315.00	6857.50	USD	-13,562.50	
26JUL17	S	1	PD260CT17	6316.00	6857.50	USD	-13,537.50	
26JUL17	S	1	PD260CT17	6316.50	6857.50	USD	-13,525.00	
27JUL17	B	4	PD260CT17	6329.91	6857.50	USD	52,759.00	
	NET	0 AVG BGHT 6305.70	SOLD 6346.12				13,134.00	0.00
27JUL17	S	1	PD270CT17	6369.50	6857.50	USD	-12,200.00	
27JUL17	S	1	PD270CT17	6372.00	6857.50	USD	-12,137.50	
27JUL17	B	1	PD270CT17	6353.50	6857.50	USD	12,600.00	
27JUL17	S	1	PD270CT17	6377.50	6857.50	USD	-12,000.00	
27JUL17	B	1	PD270CT17	6377.00	6857.50	USD	12,012.50	
27JUL17	B	1	PD270CT17	6350.50	6857.50	USD	12,675.00	
27JUL17	B	1	PD270CT17	6340.50	6857.50	USD	12,925.00	
27JUL17	S	1	PD270CT17	6332.00	6857.50	USD	-13,137.50	
27JUL17	S	1	PD270CT17	6342.50	6857.50	USD	-12,875.00	
28JUL17	S	1	PD270CT17	6329.00	6857.50	USD	-13,212.50	
31JUL17	B	2	PD270CT17	6367.95	6857.50	USD	24,477.50	
	NET	0 AVG BGHT 6359.57	SOLD 6353.75				-872.50	0.00
31JUL17	S	1	PD310CT17	6388.00	6858.27	USD	-11,756.75	
31JUL17	B	1	PD310CT17	6414.50	6858.27	USD	11,094.25	
31JUL17	S	1	PD310CT17	6412.50	6858.27	USD	-11,144.25	
31JUL17	S	1	PD310CT17	6397.50	6858.27	USD	-11,519.25	
31JUL17	S	1	PD310CT17	6398.50	6858.27	USD	-11,494.25	
11AUG17	B	3	PD310CT17	6407.39	6858.27	USD	33,816.00	

OPEN POSITION STATEMENT



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SAP0038A

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
	NET	0 AVG BGHT 6409.17	SOLD 6399.13				-1,004.25	0.00
01AUG17	B	1 PD01NOV17		6340.50	6859.05	USD	12,963.75	
01AUG17	B	1 PD01NOV17		6337.50	6859.05	USD	13,038.75	
01AUG17	B	1 PD01NOV17		6337.00	6859.05	USD	13,051.25	
11AUG17	S	3 PD01NOV17		6407.57	6859.05	USD	-33,861.00	
	NET	0 AVG BGHT 6338.33	SOLD 6407.57				5,192.75	0.00
02AUG17	S	1 PD02NOV17		6331.00	6859.82	USD	-13,220.50	
02AUG17	S	1 PD02NOV17		6323.00	6859.82	USD	-13,420.50	
02AUG17	S	1 PD02NOV17		6330.50	6859.82	USD	-13,233.00	
02AUG17	B	1 PD02NOV17		6334.00	6859.82	USD	13,145.50	
02AUG17	B	1 PD02NOV17		6331.00	6859.82	USD	13,220.50	
02AUG17	B	1 PD02NOV17		6359.00	6859.82	USD	12,520.50	
	NET	0 AVG BGHT 6341.33	SOLD 6328.17				-987.50	0.00
03AUG17	S	1 PD03NOV17		6309.00	6860.59	USD	-13,789.75	
03AUG17	S	1 PD03NOV17		6313.00	6860.59	USD	-13,689.75	
03AUG17	S	1 PD03NOV17		6314.00	6860.59	USD	-13,664.75	
11AUG17	B	3 PD03NOV17		6408.53	6860.59	USD	33,904.50	
	NET	0 AVG BGHT 6408.53	SOLD 6312.00				-7,239.75	0.00
16AUG17	S	1 PD16NOV17		6412.50	6863.00	USD	-11,262.50	
16AUG17	B	1 PD16NOV17		6465.50	6863.00	USD	9,937.50	
16AUG17	B	1 PD16NOV17		6479.50	6863.00	USD	9,587.50	
16AUG17	B	1 PD16NOV17		6479.00	6863.00	USD	9,600.00	
16AUG17	B	1 PD16NOV17		6478.00	6863.00	USD	9,625.00	
16AUG17	B	1 PD16NOV17		6482.00	6863.00	USD	9,525.00	
16AUG17	B	1 PD16NOV17		6482.50	6863.00	USD	9,512.50	
16AUG17	B	1 PD16NOV17		6484.00	6863.00	USD	9,475.00	
16AUG17	B	1 PD16NOV17		6487.50	6863.00	USD	9,387.50	
16AUG17	B	1 PD16NOV17		6489.00	6863.00	USD	9,350.00	
16AUG17	B	1 PD16NOV17		6529.00	6863.00	USD	8,350.00	
16AUG17	B	1 PD16NOV17		6536.00	6863.00	USD	8,175.00	
16AUG17	B	1 PD16NOV17		6537.00	6863.00	USD	8,150.00	
16AUG17	S	1 PD16NOV17		6574.50	6863.00	USD	-7,212.50	
30AUG17	S	10 PD16NOV17		6765.50	6863.00	USD	-24,375.00	
	NET	0 AVG BGHT 6494.08	SOLD 6720.17				67,825.00	0.00
17AUG17	B	1 PD17NOV17		6516.50	6863.47	USD	8,674.25	
17AUG17	B	1 PD17NOV17		6515.50	6863.47	USD	8,699.25	
17AUG17	S	1 PD17NOV17		6492.00	6863.47	USD	-9,286.75	
17AUG17	S	1 PD17NOV17		6475.00	6863.47	USD	-9,711.75	
18AUG17	S	2 PD17NOV17		6466.00	6863.47	USD	-19,873.50	
18AUG17	S	1 PD17NOV17		6476.00	6863.47	USD	-9,686.75	
18AUG17	S	1 PD17NOV17		6487.00	6863.47	USD	-9,411.75	
18AUG17	S	2 PD17NOV17		6486.50	6863.47	USD	-18,848.50	
18AUG17	S	1 PD17NOV17		6490.00	6863.47	USD	-9,336.75	
18AUG17	S	2 PD17NOV17		6491.00	6863.47	USD	-18,623.50	
18AUG17	S	1 PD17NOV17		6492.00	6863.47	USD	-9,286.75	
18AUG17	B	1 PD17NOV17		6490.50	6863.47	USD	9,324.25	
18AUG17	S	1 PD17NOV17		6493.50	6863.47	USD	-9,249.25	
18AUG17	S	2 PD17NOV17		6493.00	6863.47	USD	-18,523.50	
18AUG17	S	1 PD17NOV17		6505.50	6863.47	USD	-8,949.25	
18AUG17	B	2 PD17NOV17		6530.50	6863.47	USD	16,648.50	
18AUG17	B	2 PD17NOV17		6517.00	6863.47	USD	17,323.50	
18AUG17	B	1 PD17NOV17		6499.00	6863.47	USD	9,111.75	
18AUG17	B	1 PD17NOV17		6498.50	6863.47	USD	9,124.25	
18AUG17	B	3 PD17NOV17		6490.00	6863.47	USD	28,010.25	
18AUG17	B	2 PD17NOV17		6491.50	6863.47	USD	18,598.50	
18AUG17	B	1 PD17NOV17		6464.50	6863.47	USD	9,974.25	

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18AUG17	S	1	PD17NOV17	6470.00	6863.47	USD	-9,836.75	
18AUG17	S	3	PD17NOV17	6470.50	6863.47	USD	-29,472.75	
18AUG17	B	1	PD17NOV17	6476.00	6863.47	USD	9,686.75	
18AUG17	B	2	PD17NOV17	6489.00	6863.47	USD	18,723.50	
18AUG17	B	1	PD17NOV17	6488.50	6863.47	USD	9,374.25	
30AUG17	B	1	PD17NOV17	6765.95	6863.47	USD	2,438.00	
	NET	0 AVG BGHT 6512.05	SOLD 6483.28				-14,386.25	0.00
21AUG17	S	1	PD21NOV17	6537.50	6865.33	USD	-8,195.75	
21AUG17	S	1	PD21NOV17	6537.00	6865.33	USD	-8,208.25	
21AUG17	B	1	PD21NOV17	6573.50	6865.33	USD	7,295.75	
21AUG17	B	1	PD21NOV17	6594.50	6865.33	USD	6,770.75	
21AUG17	B	2	PD21NOV17	6594.00	6865.33	USD	13,566.50	
21AUG17	B	1	PD21NOV17	6582.00	6865.33	USD	7,083.25	
21AUG17	B	2	PD21NOV17	6581.50	6865.33	USD	14,191.50	
21AUG17	S	1	PD21NOV17	6569.50	6865.33	USD	-7,395.75	
21AUG17	S	1	PD21NOV17	6573.50	6865.33	USD	-7,295.75	
21AUG17	S	2	PD21NOV17	6576.00	6865.33	USD	-14,466.50	
30AUG17	S	1	PD21NOV17	6766.75	6865.33	USD	-2,464.50	
	NET	0 AVG BGHT 6585.86	SOLD 6590.89				881.25	0.00
22AUG17	B	1	PD22NOV17	6639.50	6865.80	USD	5,657.50	
22AUG17	S	2	PD22NOV17	6628.00	6865.80	USD	-11,890.00	
22AUG17	B	1	PD22NOV17	6637.50	6865.80	USD	5,707.50	
22AUG17	B	1	PD22NOV17	6643.00	6865.80	USD	5,570.00	
22AUG17	B	1	PD22NOV17	6645.50	6865.80	USD	5,507.50	
22AUG17	B	2	PD22NOV17	6615.50	6865.80	USD	12,515.00	
22AUG17	S	1	PD22NOV17	6613.50	6865.80	USD	-6,307.50	
22AUG17	B	2	PD22NOV17	6581.50	6865.80	USD	14,215.00	
22AUG17	S	1	PD22NOV17	6613.50	6865.80	USD	-6,307.50	
22AUG17	B	3	PD22NOV17	6585.00	6865.80	USD	21,060.00	
05SEP17	S	7	PD22NOV17	6894.75	6865.80	USD	5,066.25	
	NET	0 AVG BGHT 6610.41	SOLD 6795.11				50,793.75	0.00
23AUG17	B	3	PD24NOV17	6542.00	6866.73	USD	24,354.75	
23AUG17	B	1	PD24NOV17	6542.50	6866.73	USD	8,105.75	
23AUG17	B	1	PD24NOV17	6543.50	6866.73	USD	8,080.75	
23AUG17	S	2	PD24NOV17	6578.50	6866.73	USD	-14,411.50	
23AUG17	S	1	PD24NOV17	6579.50	6866.73	USD	-7,180.75	
24AUG17	S	2	PD24NOV17	6614.00	6866.73	USD	-12,636.50	
24AUG17	S	1	PD24NOV17	6617.00	6866.73	USD	-6,243.25	
24AUG17	S	2	PD24NOV17	6645.50	6866.73	USD	-11,061.50	
24AUG17	S	1	PD24NOV17	6646.00	6866.73	USD	-5,518.25	
24AUG17	B	1	PD24NOV17	6673.00	6866.73	USD	4,843.25	
24AUG17	S	1	PD24NOV17	6695.50	6866.73	USD	-4,280.75	
24AUG17	S	2	PD24NOV17	6707.00	6866.73	USD	-7,986.50	
24AUG17	S	1	PD24NOV17	6716.50	6866.73	USD	-3,755.75	
25AUG17	B	1	PD24NOV17	6726.00	6866.73	USD	3,518.25	
25AUG17	S	1	PD24NOV17	6711.50	6866.73	USD	-3,880.75	
25AUG17	B	1	PD24NOV17	6671.50	6866.73	USD	4,880.75	
30AUG17	B	6	PD24NOV17	6767.70	6866.73	USD	14,854.50	
	NET	0 AVG BGHT 6670.62	SOLD 6646.86				-8,317.50	0.00
29AUG17	S	1	PD29NOV17	6806.50	6869.07	USD	-1,564.25	
29AUG17	S	2	PD29NOV17	6783.00	6869.07	USD	-4,303.50	
29AUG17	S	1	PD29NOV17	6786.00	6869.07	USD	-2,076.75	
29AUG17	S	2	PD29NOV17	6788.00	6869.07	USD	-4,053.50	
29AUG17	B	2	PD29NOV17	6792.00	6869.07	USD	3,853.50	
30AUG17	B	4	PD29NOV17	6768.95	6869.07	USD	10,012.00	
	NET	0 AVG BGHT 6776.63	SOLD 6789.08				1,867.50	0.00

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30AUG17	S	2	PD30NOV17	6787.00	6869.53	USD	-4,126.50	
31AUG17	S	1	PD30NOV17	6861.00	6869.53	USD	-213.25	
31AUG17	S	2	PD30NOV17	6869.00	6869.53	USD	-26.50	
31AUG17	B	3	PD30NOV17	6866.50	6869.53	USD	227.25	
31AUG17	B	2	PD30NOV17	6826.00	6869.53	USD	2,176.50	
31AUG17	S	2	PD30NOV17	6784.50	6869.53	USD	-4,251.50	
31AUG17	S	1	PD30NOV17	6796.50	6869.53	USD	-1,825.75	
31AUG17	S	2	PD30NOV17	6786.50	6869.53	USD	-4,151.50	
31AUG17	B	1	PD30NOV17	6789.00	6869.53	USD	2,013.25	
31AUG17	B	2	PD30NOV17	6787.50	6869.53	USD	4,101.50	
31AUG17	B	2	PD30NOV17	6788.50	6869.53	USD	4,051.50	
31AUG17	B	2	PD30NOV17	6776.00	6869.53	USD	4,676.50	
05SEP17	S	2	PD30NOV17	6897.98	6869.53	USD	1,422.50	
	NET	0 AVG	BGHT 6812.04	SOLD 6825.62			4,074.00	0.00
01SEP17	S	1	PD01DEC17	6807.00	6870.00	USD	-1,575.00	
01SEP17	S	1	PD01DEC17	6807.50	6870.00	USD	-1,562.50	
01SEP17	S	1	PD01DEC17	6818.00	6870.00	USD	-1,300.00	
05SEP17	B	3	PD01DEC17	6898.58	6870.00	USD	-2,143.50	
	NET	0 AVG	BGHT 6898.58	SOLD 6810.83			-6,581.00	0.00
04SEP17	S	1	PD04DEC17	6898.50	6871.20	USD	682.50	
04SEP17	S	1	PD04DEC17	6903.00	6871.20	USD	795.00	
04SEP17	S	1	PD04DEC17	6905.00	6871.20	USD	845.00	
04SEP17	S	1	PD04DEC17	6907.50	6871.20	USD	907.50	
04SEP17	S	1	PD04DEC17	6907.00	6871.20	USD	895.00	
04SEP17	S	1	PD04DEC17	6896.50	6871.20	USD	632.50	
04SEP17	B	1	PD04DEC17	6922.00	6871.20	USD	-1,270.00	
05SEP17	B	5	PD04DEC17	6899.80	6871.20	USD	-3,575.00	
	NET	0 AVG	BGHT 6903.50	SOLD 6902.92			-87.50	0.00
05SEP17	S	1	PD05DEC17	6941.50	6871.60	USD	1,747.50	
05SEP17	B	1	PD05DEC17	6900.20	6871.60	USD	-715.00	
	NET	0 AVG	BGHT 6900.20	SOLD 6941.50			1,032.50	0.00
07SEP17	B	1	PD07DEC17	6862.50	6872.42	USD	248.00	
07SEP17	B	1	PD07DEC17	6864.00	6872.42	USD	210.50	
07SEP17	B	1	PD07DEC17	6862.00	6872.42	USD	260.50	
07SEP17	S	1	PD07DEC17	6886.00	6872.42	USD	339.50	
15SEP17	S	2	PD07DEC17	6503.69	6872.42	USD	-18,436.50	
	NET	0 AVG	BGHT 6862.83	SOLD 6631.13			-17,378.00	0.00
08SEP17	S	1	PD08DEC17	6939.00	6872.83	USD	1,654.25	
08SEP17	S	1	PD08DEC17	6892.50	6872.83	USD	491.75	
15SEP17	B	2	PD08DEC17	6504.30	6872.83	USD	18,426.50	
	NET	0 AVG	BGHT 6504.30	SOLD 6915.75			20,572.50	0.00
13SEP17	B	1	PD13DEC17	6567.00	6874.92	USD	7,698.00	
13SEP17	B	1	PD13DEC17	6571.50	6874.92	USD	7,585.50	
13SEP17	B	1	PD13DEC17	6559.00	6874.92	USD	7,898.00	
13SEP17	B	1	PD13DEC17	6548.50	6874.92	USD	8,160.50	
15SEP17	S	1	PD13DEC17	6506.17	6874.92	USD	-9,218.75	
06OCT17	S	3	PD13DEC17	6660.50	6874.92	USD	-16,081.50	
	NET	0 AVG	BGHT 6561.50	SOLD 6621.92			6,041.75	0.00
14SEP17	B	1	PD14DEC17	6517.00	6875.33	USD	8,958.25	
14SEP17	B	1	PD14DEC17	6519.50	6875.33	USD	8,895.75	
14SEP17	B	1	PD14DEC17	6506.00	6875.33	USD	9,233.25	
14SEP17	B	1	PD14DEC17	6505.50	6875.33	USD	9,245.75	
14SEP17	B	1	PD14DEC17	6484.50	6875.33	USD	9,770.75	
14SEP17	B	1	PD14DEC17	6484.00	6875.33	USD	9,783.25	
14SEP17	B	2	PD14DEC17	6463.00	6875.33	USD	20,616.50	

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14SEP17	S	1	PD14DEC17	6470.00	6875.33	USD	-10,133.25	
14SEP17	S	1	PD14DEC17	6456.50	6875.33	USD	-10,470.75	
14SEP17	S	1	PD14DEC17	6473.50	6875.33	USD	-10,045.75	
14SEP17	S	1	PD14DEC17	6495.50	6875.33	USD	-9,495.50	
14SEP17	S	1	PD14DEC17	6504.00	6875.33	USD	-9,283.25	
14SEP17	S	1	PD14DEC17	6504.50	6875.33	USD	-9,270.75	
14SEP17	S	2	PD14DEC17	6508.00	6875.33	USD	-18,366.50	
14SEP17	S	1	PD14DEC17	6524.00	6875.33	USD	-8,783.25	
14SEP17	S	1	PD14DEC17	6525.00	6875.33	USD	-8,758.25	
14SEP17	B	1	PD14DEC17	6522.50	6875.33	USD	8,820.75	
15SEP17	B	1	PD14DEC17	6506.79	6875.33	USD	9,213.50	
	NET	0 AVG BGHT 6497.18	SOLD 6496.90				-69.75	0.00
15SEP17	S	1	PD15DEC17	6454.50	6875.75	USD	-10,531.25	
15SEP17	S	1	PD15DEC17	6455.00	6875.75	USD	-10,518.75	
06OCT17	B	2	PD15DEC17	6661.10	6875.75	USD	10,732.50	
	NET	0 AVG BGHT 6661.10	SOLD 6454.75				-10,317.50	0.00
18SEP17	S	1	PD18DEC17	6554.00	6877.00	USD	-8,075.00	
18SEP17	S	1	PD18DEC17	6535.00	6877.00	USD	-8,550.00	
18SEP17	S	1	PD18DEC17	6535.50	6877.00	USD	-8,537.50	
18SEP17	S	2	PD18DEC17	6549.50	6877.00	USD	-16,375.00	
18SEP17	S	1	PD18DEC17	6548.00	6877.00	USD	-8,225.00	
18SEP17	B	1	PD18DEC17	6530.50	6877.00	USD	8,662.50	
18SEP17	B	1	PD18DEC17	6532.00	6877.00	USD	8,625.00	
18SEP17	B	1	PD18DEC17	6529.00	6877.00	USD	8,700.00	
18SEP17	B	1	PD18DEC17	6528.00	6877.00	USD	8,725.00	
18SEP17	S	1	PD18DEC17	6537.00	6877.00	USD	-8,500.00	
18SEP17	S	1	PD18DEC17	6528.00	6877.00	USD	-8,725.00	
06OCT17	B	1	PD18DEC17	6661.70	6877.00	USD	5,382.50	
06OCT17	B	3	PD18DEC17	6661.50	6877.00	USD	16,162.50	
	NET	0 AVG BGHT 6595.71	SOLD 6542.06				-10,730.00	0.00
19SEP17	S	1	PD19DEC17	6529.00	6877.00	USD	-8,700.00	
19SEP17	S	1	PD19DEC17	6526.00	6877.00	USD	-8,775.00	
19SEP17	S	1	PD19DEC17	6532.50	6877.00	USD	-8,612.50	
19SEP17	B	1	PD19DEC17	6520.00	6877.00	USD	8,925.00	
19SEP17	B	1	PD19DEC17	6519.50	6877.00	USD	8,937.50	
19SEP17	B	1	PD19DEC17	6509.00	6877.00	USD	9,200.00	
	NET	0 AVG BGHT 6516.17	SOLD 6529.17				975.00	0.00
20SEP17	S	1	PD20DEC17	6529.00	6877.00	USD	-8,700.00	
20SEP17	S	1	PD20DEC17	6528.50	6877.00	USD	-8,712.50	
20SEP17	S	1	PD20DEC17	6526.50	6877.00	USD	-8,762.50	
20SEP17	S	1	PD20DEC17	6531.50	6877.00	USD	-8,637.50	
06OCT17	B	3	PD20DEC17	6662.50	6877.00	USD	16,087.50	
	NET	-1 AVG BGHT 6662.50	SOLD 6528.88				-18,725.00	0.00
21SEP17	S	1	PD21DEC17	6469.00	6876.00	USD	-10,175.00	
21SEP17	S	2	PD21DEC17	6469.50	6876.00	USD	-20,325.00	
21SEP17	S	1	PD21DEC17	6443.00	6876.00	USD	-10,825.00	
	NET	-4 AVG SOLD 6462.75					-41,325.00	0.00
22SEP17	S	1	PD22DEC17	6449.00	6875.00	USD	-10,650.00	
22SEP17	B	1	PD22DEC17	6478.00	6875.00	USD	9,925.00	
22SEP17	B	1	PD22DEC17	6477.50	6875.00	USD	9,937.50	
06OCT17	S	1	PD22DEC17	6660.30	6875.00	USD	-5,367.50	
	NET	0 AVG BGHT 6477.75	SOLD 6554.65				3,845.00	0.00
25SEP17	S	1	PD27DEC17	6444.00	6876.67	USD	-10,816.75	
26SEP17	S	1	PD27DEC17	6513.00	6876.67	USD	-9,091.75	
26SEP17	S	1	PD27DEC17	6464.00	6876.67	USD	-10,316.75	

OPEN POSITION STATEMENT



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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>					
26SEP17	S 1 PD27DEC17	6408.50	6876.67	USD	-11,704.25	
	NET -4 AVG SOLD 6457.38				-41,929.50	0.00
28SEP17	S 1 PD28DEC17	6473.00	6877.00	USD	-10,100.00	
28SEP17	B 1 PD28DEC17	6466.50	6877.00	USD	10,262.50	
28SEP17	B 1 PD28DEC17	6502.00	6877.00	USD	9,375.00	
28SEP17	B 1 PD28DEC17	6502.50	6877.00	USD	9,362.50	
28SEP17	B 1 PD28DEC17	6524.50	6877.00	USD	8,812.50	
28SEP17	B 1 PD28DEC17	6570.00	6877.00	USD	7,675.00	
06OCT17	S 4 PD28DEC17	6663.63	6877.00	USD	-21,337.00	
	NET 0 AVG BGHT 6513.10 SOLD 6625.50				14,050.50	0.00
29SEP17	S 1 PD29DEC17	6519.50	6877.33	USD	-8,945.75	
	NET -1 AVG SOLD 6519.50				-8,945.75	0.00
02OCT17	B 1 PD02JAN18	6498.00	6878.67	USD	9,516.75	
02OCT17	S 1 PD02JAN18	6478.00	6878.67	USD	-10,016.75	
	NET 0 AVG BGHT 6498.00 SOLD 6478.00				-500.00	0.00
03OCT17	S 1 PD03JAN18	6458.50	6879.00	USD	-10,512.50	
03OCT17	S 1 PD03JAN18	6480.00	6879.00	USD	-9,975.00	
03OCT17	S 1 PD03JAN18	6480.50	6879.00	USD	-9,962.50	
03OCT17	S 1 PD03JAN18	6481.00	6879.00	USD	-9,950.00	
03OCT17	B 1 PD03JAN18	6482.50	6879.00	USD	9,912.50	
03OCT17	B 1 PD03JAN18	6502.00	6879.00	USD	9,425.00	
	NET -2 AVG BGHT 6492.25 SOLD 6475.00				-21,062.50	0.00
04OCT17	S 1 PD04JAN18	6513.50	6879.33	USD	-9,145.75	
	NET -1 AVG SOLD 6513.50				-9,145.75	0.00
05OCT17	B 1 PD05JAN18	6550.00	6879.67	USD	8,241.75	
05OCT17	B 1 PD05JAN18	6550.50	6879.67	USD	8,229.25	
05OCT17	S 1 PD05JAN18	6589.50	6879.67	USD	-7,254.25	
05OCT17	S 1 PD05JAN18	6590.50	6879.67	USD	-7,229.25	
05OCT17	B 2 PD05JAN18	6594.00	6879.67	USD	14,283.50	
05OCT17	B 1 PD05JAN18	6592.50	6879.67	USD	7,179.25	
05OCT17	S 1 PD05JAN18	6643.50	6879.67	USD	-5,904.25	
05OCT17	B 1 PD05JAN18	6668.50	6879.67	USD	5,279.25	
05OCT17	B 1 PD05JAN18	6670.00	6879.67	USD	5,241.75	
05OCT17	S 1 PD05JAN18	6687.00	6879.67	USD	-4,816.75	
05OCT17	S 1 PD05JAN18	6700.00	6879.67	USD	-4,491.75	
05OCT17	S 1 PD05JAN18	6700.50	6879.67	USD	-4,479.25	
06OCT17	B 1 PD05JAN18	6682.50	6879.67	USD	4,929.25	
06OCT17	B 1 PD05JAN18	6682.00	6879.67	USD	4,941.75	
06OCT17	S 1 PD05JAN18	6659.00	6879.67	USD	-5,516.75	
06OCT17	S 1 PD05JAN18	6666.30	6879.67	USD	-5,334.25	
	NET +1 AVG BGHT 6620.44 SOLD 6654.54				13,299.25	0.00
09OCT17	S 1 PD09JAN18	6656.50	6881.00	USD	-5,612.50	
09OCT17	S 1 PD09JAN18	6657.00	6881.00	USD	-5,600.00	
09OCT17	S 1 PD09JAN18	6659.00	6881.00	USD	-5,550.00	
09OCT17	S 1 PD09JAN18	6656.00	6881.00	USD	-5,625.00	
09OCT17	S 1 PD09JAN18	6671.50	6881.00	USD	-5,237.50	
09OCT17	S 1 PD09JAN18	6649.50	6881.00	USD	-5,787.50	
09OCT17	S 1 PD09JAN18	6650.00	6881.00	USD	-5,775.00	
	NET -7 AVG SOLD 6657.07				-39,187.50	0.00
11OCT17	S 2 PD11JAN18	6765.00	6881.67	USD	-5,833.50	
11OCT17	B 1 PD11JAN18	6785.50	6881.67	USD	2,404.25	
11OCT17	B 1 PD11JAN18	6769.50	6881.67	USD	2,804.25	
11OCT17	B 1 PD11JAN18	6773.00	6881.67	USD	2,716.75	
	NET +1 AVG BGHT 6776.00 SOLD 6765.00				2,091.75	0.00

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<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>						
12OCT17	S 1 PD12JAN18	6856.50	6882.00	USD	-637.50	
12OCT17	S 1 PD12JAN18	6856.00	6882.00	USD	-650.00	
12OCT17	B 1 PD12JAN18	6855.00	6882.00	USD	675.00	
13OCT17	B 1 PD12JAN18	6907.00	6882.00	USD	-625.00	
13OCT17	B 3 PD12JAN18	6887.00	6882.00	USD	-375.00	
13OCT17	S 1 PD12JAN18	6881.00	6882.00	USD	-25.00	
13OCT17	S 1 PD12JAN18	6905.00	6882.00	USD	575.00	
	NET +1AVG BGHT 6884.60 SOLD 6874.63				-1,062.50	0.00
<u>CMX COPPER 25,000 CMX</u>						
21SEP17	B 2 DEC17	292.800	313.350	USD	10,275.00	
25SEP17	B 2 DEC17	292.850	313.350	USD	10,250.00	
26SEP17	B 2 DEC17	295.950	313.350	USD	8,700.00	
26SEP17	B 2 DEC17	293.700	313.350	USD	9,825.00	
26SEP17	B 2 DEC17	291.150	313.350	USD	11,100.00	
29SEP17	B 2 DEC17	296.250	313.350	USD	8,550.00	
03OCT17	B 4 DEC17	294.750	313.350	USD	18,600.00	
04OCT17	B 2 DEC17	295.900	313.350	USD	8,725.00	
09OCT17	B 2 DEC17	302.400	313.350	USD	5,475.00	
09OCT17	B 2 DEC17	302.350	313.350	USD	5,500.00	
09OCT17	B 4 DEC17	302.300	313.350	USD	11,050.00	
09OCT17	B 2 DEC17	302.950	313.350	USD	5,200.00	
09OCT17	B 2 DEC17	301.900	313.350	USD	5,725.00	
09OCT17	B 2 DEC17	302.000	313.350	USD	5,675.00	
12OCT17	B 2 DEC17	311.550	313.350	USD	900.00	
	NET +34 AVG BGHT 298.579				125,550.00	0.00

OPEN POSITION VALUE

		USD
LME Copper Grade A 25	FUTURES	2,905,305.00
CMX Copper	FUTURES	-2,537,925.00

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options	Amount	Profit / Loss	Total Amount	Total P&L
COPR - Futures USD	125,550.00	125,550.00	125,550.00	125,550.00
Unrealised Profits & Losses - Forwards	Amount	Profit / Loss	Total Amount	Total P&L
COPL - Futures USD	-17,845.00	-17,845.00	-17,845.00	-17,845.00

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ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		-81,571.76	-81,571.76
Variation Margins	- Futures	125,550.00	125,550.00
	- Options	0.00	0.00
TOTAL EQUITY		43,978.24	43,978.24
Initial Margins		-337,846.00	-337,846.00
Excess/(Shortage)		-293,867.76	-293,867.76
FOR INFORMATION ONLY			
Forward Unrealised P&L		-17,845.00	-17,845.00
Net Liquidating Value		26,133.24	26,133.24

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
17JUL17	S	1	PD17OCT17	5980.00	6859.00	USD	-21,975.00	
17JUL17	S	1	PD17OCT17	5980.50	6859.00	USD	-21,962.50	
17JUL17	S	1	PD17OCT17	5950.00	6859.00	USD	-22,725.00	
17JUL17	B	1	PD17OCT17	5990.00	6859.00	USD	21,725.00	
17JUL17	B	1	PD17OCT17	5989.50	6859.00	USD	21,737.50	
17JUL17	B	1	PD17OCT17	5987.50	6859.00	USD	21,787.50	
17JUL17	S	1	PD17OCT17	5984.00	6859.00	USD	-21,875.00	
17JUL17	S	1	PD17OCT17	5984.50	6859.00	USD	-21,862.50	
17JUL17	S	1	PD17OCT17	5988.50	6859.00	USD	-21,762.50	
17JUL17	B	1	PD17OCT17	5997.00	6859.00	USD	21,550.00	
17JUL17	S	1	PD17OCT17	6007.50	6859.00	USD	-21,287.50	
17JUL17	S	1	PD17OCT17	6008.00	6859.00	USD	-21,275.00	
17JUL17	B	1	PD17OCT17	6007.50	6859.00	USD	21,287.50	
17JUL17	B	1	PD17OCT17	6009.00	6859.00	USD	21,250.00	
17JUL17	B	1	PD17OCT17	6008.00	6859.00	USD	21,275.00	
17JUL17	B	1	PD17OCT17	6017.50	6859.00	USD	21,037.50	
17JUL17	B	1	PD17OCT17	6011.50	6859.00	USD	21,187.50	
17JUL17	B	1	PD17OCT17	5999.50	6859.00	USD	21,487.50	
17JUL17	B	1	PD17OCT17	5993.50	6859.00	USD	21,637.50	
21JUL17	S	2	PD17OCT17	6005.56	6859.00	USD	-42,672.00	
27JUL17	S	1	PD17OCT17	6329.68	6859.00	USD	-13,233.00	
	NET	0 AVG BGHT 6000.95	SOLD 6020.35				5,332.50	0.00
18JUL17	S	1	PD18OCT17	5997.50	6859.50	USD	-21,550.00	
18JUL17	S	1	PD18OCT17	5998.00	6859.50	USD	-21,537.50	
18JUL17	B	1	PD18OCT17	5998.50	6859.50	USD	21,525.00	
18JUL17	B	1	PD18OCT17	5992.50	6859.50	USD	21,675.00	
	NET	0 AVG BGHT 5995.50	SOLD 5997.75				112.50	0.00
19JUL17	S	1	PD19OCT17	6002.00	6857.50	USD	-21,387.50	
19JUL17	S	1	PD19OCT17	6002.50	6857.50	USD	-21,375.00	
19JUL17	B	1	PD19OCT17	5985.50	6857.50	USD	21,800.00	
19JUL17	S	1	PD19OCT17	5987.00	6857.50	USD	-21,762.50	
19JUL17	B	1	PD19OCT17	5991.00	6857.50	USD	21,662.50	
19JUL17	S	1	PD19OCT17	5978.50	6857.50	USD	-21,975.00	
19JUL17	S	1	PD19OCT17	5973.50	6857.50	USD	-22,100.00	
19JUL17	S	1	PD19OCT17	5968.50	6857.50	USD	-22,225.00	
19JUL17	S	1	PD19OCT17	5969.50	6857.50	USD	-22,200.00	
21JUL17	B	5	PD19OCT17	6005.20	6857.50	USD	106,537.50	
	NET	0 AVG BGHT 6000.36	SOLD 5983.07				-3,025.00	0.00
20JUL17	S	1	PD20OCT17	5954.50	6857.50	USD	-22,575.00	
21JUL17	B	1	PD20OCT17	6009.00	6857.50	USD	21,212.50	
21JUL17	B	1	PD20OCT17	6000.50	6857.50	USD	21,425.00	
21JUL17	B	1	PD20OCT17	6025.00	6857.50	USD	20,812.50	
21JUL17	B	1	PD20OCT17	6024.00	6857.50	USD	20,837.50	
21JUL17	B	1	PD20OCT17	6039.00	6857.50	USD	20,462.50	
21JUL17	B	1	PD20OCT17	6038.00	6857.50	USD	20,487.50	
21JUL17	B	1	PD20OCT17	6037.00	6857.50	USD	20,512.50	
21JUL17	B	1	PD20OCT17	6038.50	6857.50	USD	20,475.00	
21JUL17	S	1	PD20OCT17	6045.50	6857.50	USD	-20,300.00	
21JUL17	S	1	PD20OCT17	6016.50	6857.50	USD	-21,025.00	
21JUL17	S	1	PD20OCT17	6014.00	6857.50	USD	-21,087.50	
21JUL17	S	1	PD20OCT17	6010.00	6857.50	USD	-21,187.50	
21JUL17	S	1	PD20OCT17	5987.50	6857.50	USD	-21,750.00	
21JUL17	S	1	PD20OCT17	6000.50	6857.50	USD	-21,425.00	
21JUL17	S	1	PD20OCT17	6001.00	6857.50	USD	-21,412.50	
21JUL17	B	1	PD20OCT17	6004.20	6857.50	USD	21,332.50	
27JUL17	S	1	PD20OCT17	6328.00	6857.50	USD	-13,237.50	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
	NET	0 AVG BGHT 6023.91	SOLD 6039.72				3,557.50	0.00
24JUL17	S	1 PD240CT17		5998.50	6857.50	USD	-21,475.00	
24JUL17	S	1 PD240CT17		5995.00	6857.50	USD	-21,562.50	
27JUL17	B	2 PD240CT17		6329.34	6857.50	USD	26,408.00	
	NET	0 AVG BGHT 6329.34	SOLD 5996.75				-16,629.50	0.00
25JUL17	B	1 PD250CT17		6118.50	6857.50	USD	18,475.00	
25JUL17	B	1 PD250CT17		6142.00	6857.50	USD	17,887.50	
25JUL17	B	1 PD250CT17		6141.50	6857.50	USD	17,900.00	
25JUL17	S	1 PD250CT17		6196.00	6857.50	USD	-16,537.50	
25JUL17	B	1 PD250CT17		6179.00	6857.50	USD	16,962.50	
25JUL17	B	1 PD250CT17		6182.50	6857.50	USD	16,875.00	
25JUL17	B	1 PD250CT17		6210.50	6857.50	USD	16,175.00	
25JUL17	B	1 PD250CT17		6226.00	6857.50	USD	15,787.50	
25JUL17	B	1 PD250CT17		6198.00	6857.50	USD	16,487.50	
25JUL17	B	1 PD250CT17		6197.00	6857.50	USD	16,512.50	
25JUL17	B	1 PD250CT17		6196.50	6857.50	USD	16,525.00	
25JUL17	B	1 PD250CT17		6195.00	6857.50	USD	16,562.50	
25JUL17	B	2 PD250CT17		6194.50	6857.50	USD	33,150.00	
25JUL17	S	1 PD250CT17		6203.00	6857.50	USD	-16,362.50	
25JUL17	S	2 PD250CT17		6279.00	6857.50	USD	-28,925.00	
27JUL17	S	5 PD250CT17		6329.43	6857.50	USD	-66,008.75	
31JUL17	S	1 PD250CT17		6366.75	6857.50	USD	-12,268.75	
11AUG17	S	3 PD250CT17		6404.90	6857.50	USD	-33,945.00	
	NET	0 AVG BGHT 6182.73	SOLD 6321.97				45,252.50	0.00
26JUL17	S	1 PD260CT17		6345.50	6857.50	USD	-12,800.00	
26JUL17	S	1 PD260CT17		6347.50	6857.50	USD	-12,750.00	
26JUL17	S	1 PD260CT17		6348.00	6857.50	USD	-12,737.50	
26JUL17	S	1 PD260CT17		6351.00	6857.50	USD	-12,662.50	
26JUL17	S	1 PD260CT17		6353.00	6857.50	USD	-12,612.50	
26JUL17	S	1 PD260CT17		6357.00	6857.50	USD	-12,512.50	
26JUL17	S	1 PD260CT17		6373.00	6857.50	USD	-12,112.50	
26JUL17	S	1 PD260CT17		6396.00	6857.50	USD	-11,537.50	
26JUL17	B	1 PD260CT17		6319.00	6857.50	USD	13,462.50	
26JUL17	B	1 PD260CT17		6317.50	6857.50	USD	13,500.00	
26JUL17	B	1 PD260CT17		6310.00	6857.50	USD	13,687.50	
26JUL17	B	1 PD260CT17		6309.50	6857.50	USD	13,700.00	
26JUL17	B	1 PD260CT17		6301.00	6857.50	USD	13,912.50	
26JUL17	B	1 PD260CT17		6298.00	6857.50	USD	13,987.50	
26JUL17	B	1 PD260CT17		6266.50	6857.50	USD	14,775.00	
26JUL17	B	1 PD260CT17		6265.50	6857.50	USD	14,800.00	
26JUL17	B	1 PD260CT17		6275.00	6857.50	USD	14,562.50	
26JUL17	S	1 PD260CT17		6346.00	6857.50	USD	-12,787.50	
26JUL17	S	1 PD260CT17		6302.50	6857.50	USD	-13,875.00	
26JUL17	S	1 PD260CT17		6315.00	6857.50	USD	-13,562.50	
26JUL17	S	1 PD260CT17		6316.00	6857.50	USD	-13,537.50	
26JUL17	S	1 PD260CT17		6327.50	6857.50	USD	-13,250.00	
26JUL17	S	1 PD260CT17		6331.50	6857.50	USD	-13,150.00	
27JUL17	B	5 PD260CT17		6329.91	6857.50	USD	65,948.75	
	NET	0 AVG BGHT 6307.97	SOLD 6343.54				12,448.75	0.00
27JUL17	S	1 PD270CT17		6367.50	6857.50	USD	-12,250.00	
27JUL17	S	1 PD270CT17		6369.50	6857.50	USD	-12,200.00	
27JUL17	B	1 PD270CT17		6375.00	6857.50	USD	12,062.50	
27JUL17	B	1 PD270CT17		6353.50	6857.50	USD	12,600.00	
27JUL17	B	1 PD270CT17		6350.50	6857.50	USD	12,675.00	
27JUL17	B	1 PD270CT17		6341.00	6857.50	USD	12,912.50	
27JUL17	B	1 PD270CT17		6340.00	6857.50	USD	12,937.50	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP038AA

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
27JUL17	S	1	PD27OCT17	6345.50	6857.50	USD	-12,800.00	
27JUL17	S	1	PD27OCT17	6340.00	6857.50	USD	-12,937.50	
27JUL17	S	1	PD27OCT17	6342.50	6857.50	USD	-12,875.00	
28JUL17	S	1	PD27OCT17	6329.00	6857.50	USD	-13,212.50	
31JUL17	B	1	PD27OCT17	6367.95	6857.50	USD	12,238.75	
	NET	0 AVG BGHT 6354.66	SOLD 6349.00				-848.75	0.00
31JUL17	S	1	PD31OCT17	6388.00	6858.27	USD	-11,756.75	
31JUL17	B	1	PD31OCT17	6414.50	6858.27	USD	11,094.25	
31JUL17	B	1	PD31OCT17	6414.00	6858.27	USD	11,106.75	
31JUL17	S	1	PD31OCT17	6404.00	6858.27	USD	-11,356.75	
31JUL17	S	1	PD31OCT17	6412.50	6858.27	USD	-11,144.25	
31JUL17	S	1	PD31OCT17	6397.50	6858.27	USD	-11,519.25	
31JUL17	S	1	PD31OCT17	6398.50	6858.27	USD	-11,494.25	
11AUG17	B	3	PD31OCT17	6407.39	6858.27	USD	33,816.00	
	NET	0 AVG BGHT 6410.13	SOLD 6400.10				-1,254.25	0.00
01AUG17	B	1	PD01NOV17	6340.50	6859.05	USD	12,963.75	
01AUG17	B	1	PD01NOV17	6337.50	6859.05	USD	13,038.75	
01AUG17	B	1	PD01NOV17	6337.00	6859.05	USD	13,051.25	
01AUG17	S	1	PD01NOV17	6345.50	6859.05	USD	-12,838.75	
01AUG17	B	1	PD01NOV17	6352.00	6859.05	USD	12,676.25	
11AUG17	S	3	PD01NOV17	6407.57	6859.05	USD	-33,861.00	
	NET	0 AVG BGHT 6341.75	SOLD 6392.05				5,030.25	0.00
02AUG17	S	1	PD02NOV17	6331.00	6859.82	USD	-13,220.50	
02AUG17	S	1	PD02NOV17	6323.00	6859.82	USD	-13,420.50	
02AUG17	S	1	PD02NOV17	6330.50	6859.82	USD	-13,233.00	
02AUG17	B	1	PD02NOV17	6334.00	6859.82	USD	13,145.50	
02AUG17	B	1	PD02NOV17	6331.00	6859.82	USD	13,220.50	
02AUG17	B	1	PD02NOV17	6359.00	6859.82	USD	12,520.50	
	NET	0 AVG BGHT 6341.33	SOLD 6328.17				-987.50	0.00
03AUG17	S	1	PD03NOV17	6309.00	6860.59	USD	-13,789.75	
03AUG17	S	1	PD03NOV17	6313.00	6860.59	USD	-13,689.75	
03AUG17	S	1	PD03NOV17	6314.00	6860.59	USD	-13,664.75	
11AUG17	B	3	PD03NOV17	6408.53	6860.59	USD	33,904.50	
	NET	0 AVG BGHT 6408.53	SOLD 6312.00				-7,239.75	0.00

OPEN POSITION VALUE

LME Copper Grade A 25 FUTURES USD 41,749.25

MARKET REVALUATION BREAKDOWN

Unrealised Profits & Losses - Forwards	Amount	Profit / Loss	Total Amount	Total P&L
COPL - Futures USD	41,749.25	41,749.25	41,749.25	41,749.25

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP038AA

13th October 2017

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London W1J 7NJ UK
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backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
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ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		-34,253.67	-34,253.67
Variation Margins	- Futures	0.00	0.00
	- Options	0.00	0.00
TOTAL EQUITY		-34,253.67	-34,253.67
Initial Margins		0.00	0.00
Excess/(Shortage)		-34,253.67	-34,253.67
FOR INFORMATION ONLY			
Forward Unrealised P&L		41,749.25	41,749.25
Net Liquidating Value		7,495.58	7,495.58

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP038AB

13th October 2017

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London W1J 7NJ UK
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ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	-85.00	-85.00
Variation Margins		
- Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	-85.00	-85.00
Initial Margins	0.00	0.00
Excess/(Shortage)	-85.00	-85.00
FOR INFORMATION ONLY		
Net Liquidating Value	-85.00	-85.00

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED
SAP0038 ARBS
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

GROUP NO: **SAPAB038**

13th Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343
Authorised and regulated by the Australian Prudential
Regulation Authority. Authorised by the Prudential
Regulation Authority. Subject to regulation by the Financial
Conduct Authority and limited regulation by the
Prudential Regulation Authority. Details about the extent
of our regulation by the Prudential Regulation Authority are
available from us on request.

GROUP FINANCIAL SUMMARY

	USD	NET USD
	(1.00000)	(1.00000)
CASH BALANCE	-115,910.43	-115,910.43
Variation Margins		
- Futures	125,550.00	125,550.00
- Options	0.00	0.00
TOTAL EQUITY	9,639.57	9,639.57
Initial Margins	-296,096.75	-296,096.75
Excess/(Shortage)	-286,457.18	-286,457.18
<u>FOR INFORMATION ONLY</u>		
Forward Unrealised P&L	23,904.25	23,904.25
Net Liquidating Value	33,543.82	33,543.82

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0054M

13th October 2017

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
24JUL17	S	1	PD24OCT17	6007.00	6857.50	USD	-21,262.50	
24JUL17	S	2	PD24OCT17	6006.00	6857.50	USD	-42,575.00	
24JUL17	S	2	PD24OCT17	6010.00	6857.50	USD	-42,375.00	
15AUG17	B	5	PD24OCT17	6370.53	6857.50	USD	60,871.25	
	NET	0 AVG BGHT 6370.53	SOLD 6007.80				-45,341.25	0.00
15AUG17	B	20	PD01NOV17	6374.30	6859.05	USD	242,375.00	
16AUG17	S	20	PD01NOV17	6527.73	6859.05	USD	-165,660.00	
	NET	0 AVG BGHT 6374.30	SOLD 6527.73				76,715.00	0.00
10AUG17	S	1	PD10NOV17	6449.00	6866.00	USD	-10,425.00	
10AUG17	S	3	PD10NOV17	6447.50	6866.00	USD	-31,387.50	
10AUG17	S	1	PD10NOV17	6448.00	6866.00	USD	-10,450.00	
10AUG17	S	2	PD10NOV17	6452.50	6866.00	USD	-20,675.00	
10AUG17	S	1	PD10NOV17	6455.50	6866.00	USD	-10,262.50	
10AUG17	S	2	PD10NOV17	6456.00	6866.00	USD	-20,500.00	
10AUG17	S	1	PD10NOV17	6452.00	6866.00	USD	-10,350.00	
10AUG17	S	5	PD10NOV17	6450.50	6866.00	USD	-51,937.50	
10AUG17	S	2	PD10NOV17	6451.00	6866.00	USD	-20,750.00	
10AUG17	S	2	PD10NOV17	6450.00	6866.00	USD	-20,800.00	
10AUG17	S	1	PD10NOV17	6455.00	6866.00	USD	-10,275.00	
10AUG17	S	3	PD10NOV17	6453.00	6866.00	USD	-30,975.00	
10AUG17	S	3	PD10NOV17	6454.00	6866.00	USD	-30,900.00	
10AUG17	S	1	PD10NOV17	6451.00	6866.00	USD	-10,375.00	
10AUG17	S	1	PD10NOV17	6455.00	6866.00	USD	-10,275.00	
10AUG17	S	1	PD10NOV17	6452.00	6866.00	USD	-10,350.00	
11AUG17	B	4	PD10NOV17	6423.00	6866.00	USD	44,300.00	
11AUG17	B	2	PD10NOV17	6425.00	6866.00	USD	22,050.00	
11AUG17	B	1	PD10NOV17	6426.00	6866.00	USD	11,000.00	
11AUG17	B	2	PD10NOV17	6425.50	6866.00	USD	22,025.00	
11AUG17	B	1	PD10NOV17	6424.00	6866.00	USD	11,050.00	
16AUG17	B	20	PD10NOV17	6532.45	6866.00	USD	166,775.00	
	NET	0 AVG BGHT 6496.40	SOLD 6451.75				-33,487.50	0.00
14AUG17	B	1	PD14NOV17	6399.00	6864.67	USD	11,641.75	
14AUG17	B	3	PD14NOV17	6396.50	6864.67	USD	35,112.75	
14AUG17	B	3	PD14NOV17	6397.50	6864.67	USD	35,037.75	
14AUG17	B	1	PD14NOV17	6399.50	6864.67	USD	11,629.25	
14AUG17	B	4	PD14NOV17	6403.00	6864.67	USD	46,167.00	
14AUG17	B	1	PD14NOV17	6402.50	6864.67	USD	11,554.25	
14AUG17	B	1	PD14NOV17	6400.00	6864.67	USD	11,616.75	
14AUG17	B	1	PD14NOV17	6401.00	6864.67	USD	11,591.75	
15SEP17	S	15	PD14NOV17	6495.25	6864.67	USD	-138,532.50	
	NET	0 AVG BGHT 6399.73	SOLD 6495.25				35,818.75	0.00
15AUG17	S	1	PD15NOV17	6387.50	6864.00	USD	-11,912.50	
15AUG17	S	2	PD15NOV17	6389.00	6864.00	USD	-23,750.00	
15AUG17	S	1	PD15NOV17	6387.00	6864.00	USD	-11,925.00	
15AUG17	S	1	PD15NOV17	6390.50	6864.00	USD	-11,837.50	
15AUG17	S	1	PD15NOV17	6392.00	6864.00	USD	-11,800.00	
15AUG17	S	2	PD15NOV17	6391.00	6864.00	USD	-23,650.00	
15AUG17	S	1	PD15NOV17	6388.50	6864.00	USD	-11,887.50	
15AUG17	S	1	PD15NOV17	6386.50	6864.00	USD	-11,937.50	
16AUG17	B	10	PD15NOV17	6533.70	6864.00	USD	82,575.00	
	NET	0 AVG BGHT 6533.70	SOLD 6389.20				-36,125.00	0.00
16AUG17	S	2	PD16NOV17	6417.00	6863.00	USD	-22,300.00	
16AUG17	S	2	PD16NOV17	6418.50	6863.00	USD	-22,225.00	
16AUG17	S	1	PD16NOV17	6419.50	6863.00	USD	-11,087.50	
16AUG17	B	1	PD16NOV17	6469.50	6863.00	USD	9,837.50	
16AUG17	B	2	PD16NOV17	6469.00	6863.00	USD	19,700.00	

OPEN POSITION STATEMENT



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SAP0054M

13th October 2017

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
16AUG17	B	1	PD16NOV17	6468.50	6863.00	USD	9,862.50	
16AUG17	B	1	PD16NOV17	6468.00	6863.00	USD	9,875.00	
16AUG17	B	1	PD16NOV17	6487.50	6863.00	USD	9,387.50	
16AUG17	B	1	PD16NOV17	6487.00	6863.00	USD	9,400.00	
16AUG17	B	3	PD16NOV17	6488.00	6863.00	USD	28,125.00	
16AUG17	B	2	PD16NOV17	6489.50	6863.00	USD	18,675.00	
16AUG17	B	1	PD16NOV17	6488.50	6863.00	USD	9,362.50	
16AUG17	B	2	PD16NOV17	6490.00	6863.00	USD	18,650.00	
16AUG17	B	1	PD16NOV17	6491.50	6863.00	USD	9,287.50	
16AUG17	B	1	PD16NOV17	6490.50	6863.00	USD	9,312.50	
16AUG17	B	2	PD16NOV17	6492.00	6863.00	USD	18,550.00	
16AUG17	B	2	PD16NOV17	6495.00	6863.00	USD	18,400.00	
16AUG17	B	1	PD16NOV17	6495.50	6863.00	USD	9,187.50	
16AUG17	B	1	PD16NOV17	6493.50	6863.00	USD	9,237.50	
16AUG17	B	1	PD16NOV17	6493.00	6863.00	USD	9,250.00	
16AUG17	B	1	PD16NOV17	6492.00	6863.00	USD	9,275.00	
15SEP17	S	20	PD16NOV17	6495.00	6863.00	USD	-184,000.00	
	NET	0AVG BGHT 6486.40	SOLD 6479.62				-4,237.50	0.00
06SEP17	S	1	PD06DEC17	6887.50	6872.00	USD	387.50	
06SEP17	S	1	PD06DEC17	6888.50	6872.00	USD	412.50	
06SEP17	S	1	PD06DEC17	6889.00	6872.00	USD	425.00	
06SEP17	S	1	PD06DEC17	6887.00	6872.00	USD	375.00	
06SEP17	S	1	PD06DEC17	6886.50	6872.00	USD	362.50	
06SEP17	S	1	PD06DEC17	6881.50	6872.00	USD	237.50	
06SEP17	S	1	PD06DEC17	6880.50	6872.00	USD	212.50	
06SEP17	S	3	PD06DEC17	6882.00	6872.00	USD	750.00	
06SEP17	S	1	PD06DEC17	6894.50	6872.00	USD	562.50	
06SEP17	S	1	PD06DEC17	6895.50	6872.00	USD	587.50	
06SEP17	S	1	PD06DEC17	6897.50	6872.00	USD	637.50	
06SEP17	S	1	PD06DEC17	6898.00	6872.00	USD	650.00	
06SEP17	S	1	PD06DEC17	6895.50	6872.00	USD	587.50	
15SEP17	B	15	PD06DEC17	6503.48	6872.00	USD	138,195.00	
	NET	0AVG BGHT 6503.48	SOLD 6888.50				144,382.50	0.00
07SEP17	S	1	PD07DEC17	6862.00	6872.42	USD	-260.50	
07SEP17	S	1	PD07DEC17	6862.50	6872.42	USD	-248.00	
07SEP17	S	1	PD07DEC17	6861.50	6872.42	USD	-273.00	
07SEP17	S	1	PD07DEC17	6859.50	6872.42	USD	-323.00	
07SEP17	S	1	PD07DEC17	6861.00	6872.42	USD	-285.50	
07SEP17	S	1	PD07DEC17	6892.00	6872.42	USD	489.50	
07SEP17	S	1	PD07DEC17	6890.00	6872.42	USD	439.50	
07SEP17	S	1	PD07DEC17	6892.50	6872.42	USD	502.00	
07SEP17	S	2	PD07DEC17	6891.00	6872.42	USD	929.00	
07SEP17	S	1	PD07DEC17	6886.50	6872.42	USD	352.00	
07SEP17	S	2	PD07DEC17	6887.00	6872.42	USD	729.00	
07SEP17	S	1	PD07DEC17	6882.00	6872.42	USD	239.50	
07SEP17	S	2	PD07DEC17	6902.00	6872.42	USD	1,479.00	
07SEP17	S	2	PD07DEC17	6900.50	6872.42	USD	1,404.00	
07SEP17	S	1	PD07DEC17	6901.00	6872.42	USD	714.50	
07SEP17	S	1	PD07DEC17	6883.00	6872.42	USD	264.50	
15SEP17	B	20	PD07DEC17	6503.89	6872.42	USD	184,265.00	
	NET	0AVG BGHT 6503.89	SOLD 6884.73				190,417.50	0.00
08SEP17	S	1	PD08DEC17	6682.50	6872.83	USD	-4,758.25	
08SEP17	S	2	PD08DEC17	6683.00	6872.83	USD	-9,491.50	
08SEP17	S	1	PD08DEC17	6679.00	6872.83	USD	-4,845.75	
08SEP17	B	1	PD08DEC17	6675.00	6872.83	USD	4,945.75	
08SEP17	B	1	PD08DEC17	6679.50	6872.83	USD	4,833.25	

OPEN POSITION STATEMENT



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SAP0054M

13th October 2017

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
08SEP17	S	1	PD08DEC17	6679.50	6872.83	USD	-4,833.25	
08SEP17	S	1	PD08DEC17	6678.50	6872.83	USD	-4,858.25	
15SEP17	B	4	PD08DEC17	6504.10	6872.83	USD	36,873.00	
	NET	0AVG BGHT 6561.82	SOLD 6680.92				17,865.00	0.00
11SEP17	B	1	PD11DEC17	6736.00	6874.08	USD	3,452.00	
11SEP17	B	1	PD11DEC17	6735.00	6874.08	USD	3,477.00	
11SEP17	B	1	PD11DEC17	6735.50	6874.08	USD	3,464.50	
11SEP17	B	1	PD11DEC17	6734.50	6874.08	USD	3,489.50	
15SEP17	S	4	PD11DEC17	6505.14	6874.08	USD	-36,894.00	
	NET	0AVG BGHT 6735.25	SOLD 6505.14				-23,011.00	0.00
12SEP17	S	1	PD12DEC17	6708.00	6874.50	USD	-4,162.50	
12SEP17	S	1	PD12DEC17	6708.50	6874.50	USD	-4,150.00	
12SEP17	S	1	PD12DEC17	6709.00	6874.50	USD	-4,137.50	
12SEP17	S	1	PD12DEC17	6709.50	6874.50	USD	-4,125.00	
12SEP17	S	1	PD12DEC17	6711.00	6874.50	USD	-4,087.50	
12SEP17	S	1	PD12DEC17	6712.50	6874.50	USD	-4,050.00	
12SEP17	S	2	PD12DEC17	6713.50	6874.50	USD	-8,050.00	
12SEP17	S	1	PD12DEC17	6714.50	6874.50	USD	-4,000.00	
12SEP17	B	1	PD12DEC17	6736.50	6874.50	USD	3,450.00	
12SEP17	B	1	PD12DEC17	6735.00	6874.50	USD	3,487.50	
12SEP17	B	1	PD12DEC17	6738.00	6874.50	USD	3,412.50	
12SEP17	B	2	PD12DEC17	6737.00	6874.50	USD	6,875.00	
12SEP17	B	4	PD12DEC17	6735.50	6874.50	USD	13,900.00	
12SEP17	S	1	PD12DEC17	6708.00	6874.50	USD	-4,162.50	
12SEP17	B	1	PD12DEC17	6737.00	6874.50	USD	3,437.50	
12SEP17	S	1	PD12DEC17	6643.00	6874.50	USD	-5,787.50	
12SEP17	S	1	PD12DEC17	6645.00	6874.50	USD	-5,737.50	
12SEP17	S	1	PD12DEC17	6645.50	6874.50	USD	-5,725.00	
12SEP17	S	2	PD12DEC17	6647.00	6874.50	USD	-11,375.00	
12SEP17	S	1	PD12DEC17	6644.50	6874.50	USD	-5,750.00	
12SEP17	S	1	PD12DEC17	6639.00	6874.50	USD	-5,887.50	
12SEP17	S	2	PD12DEC17	6638.50	6874.50	USD	-11,800.00	
12SEP17	S	1	PD12DEC17	6637.50	6874.50	USD	-5,925.00	
12SEP17	B	1	PD12DEC17	6670.00	6874.50	USD	5,112.50	
12SEP17	B	3	PD12DEC17	6670.50	6874.50	USD	15,300.00	
12SEP17	B	1	PD12DEC17	6673.50	6874.50	USD	5,025.00	
12SEP17	B	3	PD12DEC17	6673.00	6874.50	USD	15,112.50	
12SEP17	B	1	PD12DEC17	6672.50	6874.50	USD	5,050.00	
12SEP17	S	1	PD12DEC17	6671.00	6874.50	USD	-5,087.50	
12SEP17	S	1	PD12DEC17	6674.00	6874.50	USD	-5,012.50	
12SEP17	S	2	PD12DEC17	6675.50	6874.50	USD	-9,950.00	
15SEP17	B	5	PD12DEC17	6505.76	6874.50	USD	46,092.50	
	NET	0AVG BGHT 6664.08	SOLD 6676.23				7,292.50	0.00
13SEP17	B	2	PD13DEC17	6595.50	6874.92	USD	13,971.00	
13SEP17	B	1	PD13DEC17	6595.00	6874.92	USD	6,998.00	
13SEP17	B	2	PD13DEC17	6594.00	6874.92	USD	14,046.00	
13SEP17	B	2	PD13DEC17	6586.00	6874.92	USD	14,446.00	
13SEP17	B	1	PD13DEC17	6583.50	6874.92	USD	7,285.50	
13SEP17	B	1	PD13DEC17	6582.00	6874.92	USD	7,323.50	
13SEP17	B	1	PD13DEC17	6564.50	6874.92	USD	7,760.50	
13SEP17	B	1	PD13DEC17	6566.50	6874.92	USD	7,710.50	
13SEP17	B	3	PD13DEC17	6565.00	6874.92	USD	23,244.00	
13SEP17	B	1	PD13DEC17	6585.50	6874.92	USD	7,235.50	
13SEP17	S	2	PD13DEC17	6553.50	6874.92	USD	-16,071.00	
13SEP17	S	4	PD13DEC17	6556.00	6874.92	USD	-31,892.00	
13SEP17	S	2	PD13DEC17	6555.50	6874.92	USD	-15,971.00	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0054M

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
13SEP17	S	1	PD13DEC17	6554.00	6874.92	USD	-8,023.00	
13SEP17	S	1	PD13DEC17	6556.50	6874.92	USD	-7,960.50	
15SEP17	S	5	PD13DEC17	6505.97	6874.92	USD	-46,118.75	
	NET	0 AVG BGHT 6581.53	SOLD 6538.82				-16,016.25	0.00
14SEP17	B	1	PD14DEC17	6516.00	6875.33	USD	8,983.25	
14SEP17	B	2	PD14DEC17	6515.00	6875.33	USD	18,016.50	
14SEP17	B	2	PD14DEC17	6514.50	6875.33	USD	18,041.50	
14SEP17	B	1	PD14DEC17	6503.00	6875.33	USD	9,308.25	
14SEP17	B	1	PD14DEC17	6502.50	6875.33	USD	9,320.75	
14SEP17	B	1	PD14DEC17	6501.50	6875.33	USD	9,345.75	
14SEP17	B	3	PD14DEC17	6502.00	6875.33	USD	27,999.75	
14SEP17	S	1	PD14DEC17	6499.00	6875.33	USD	-9,408.25	
14SEP17	S	1	PD14DEC17	6496.50	6875.33	USD	-9,470.75	
14SEP17	S	5	PD14DEC17	6497.00	6875.33	USD	-47,291.25	
14SEP17	S	1	PD14DEC17	6497.50	6875.33	USD	-9,445.75	
14SEP17	S	1	PD14DEC17	6496.00	6875.33	USD	-9,483.25	
14SEP17	S	2	PD14DEC17	6493.50	6875.33	USD	-19,091.50	
14SEP17	S	1	PD14DEC17	6517.00	6875.33	USD	-8,958.25	
14SEP17	S	1	PD14DEC17	6515.00	6875.33	USD	-9,008.25	
14SEP17	S	1	PD14DEC17	6513.00	6875.33	USD	-9,058.25	
14SEP17	S	2	PD14DEC17	6513.50	6875.33	USD	-18,091.50	
14SEP17	S	1	PD14DEC17	6509.00	6875.33	USD	-9,158.25	
14SEP17	S	1	PD14DEC17	6508.50	6875.33	USD	-9,170.75	
14SEP17	S	1	PD14DEC17	6510.00	6875.33	USD	-9,133.25	
14SEP17	S	2	PD14DEC17	6509.50	6875.33	USD	-18,291.50	
03OCT17	B	10	PD14DEC17	6515.38	6875.33	USD	89,987.50	
	NET	0 AVG BGHT 6511.51	SOLD 6503.79				-4,057.50	0.00
18SEP17	S	1	PD18DEC17	6526.00	6877.00	USD	-8,775.00	
18SEP17	S	1	PD18DEC17	6527.00	6877.00	USD	-8,750.00	
18SEP17	S	1	PD18DEC17	6524.50	6877.00	USD	-8,812.50	
18SEP17	S	3	PD18DEC17	6525.00	6877.00	USD	-26,400.00	
18SEP17	S	1	PD18DEC17	6521.50	6877.00	USD	-8,887.50	
18SEP17	S	1	PD18DEC17	6523.00	6877.00	USD	-8,850.00	
18SEP17	S	2	PD18DEC17	6524.00	6877.00	USD	-17,650.00	
03OCT17	B	10	PD18DEC17	6516.96	6877.00	USD	90,010.00	
	NET	0 AVG BGHT 6516.96	SOLD 6524.50				1,885.00	0.00
28SEP17	B	1	PD28DEC17	6521.50	6877.00	USD	8,887.50	
28SEP17	B	2	PD28DEC17	6522.00	6877.00	USD	17,750.00	
28SEP17	B	1	PD28DEC17	6521.00	6877.00	USD	8,900.00	
28SEP17	B	1	PD28DEC17	6524.00	6877.00	USD	8,825.00	
28SEP17	B	2	PD28DEC17	6523.50	6877.00	USD	17,675.00	
28SEP17	B	3	PD28DEC17	6524.50	6877.00	USD	26,437.50	
28SEP17	B	4	PD28DEC17	6527.00	6877.00	USD	35,000.00	
28SEP17	B	2	PD28DEC17	6528.50	6877.00	USD	17,425.00	
28SEP17	B	2	PD28DEC17	6529.50	6877.00	USD	17,375.00	
28SEP17	B	2	PD28DEC17	6533.50	6877.00	USD	17,175.00	
03OCT17	S	20	PD28DEC17	6517.68	6877.00	USD	-179,660.00	
	NET	0 AVG BGHT 6526.10	SOLD 6517.68				-4,210.00	0.00

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0054M

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

OPEN POSITION VALUE

LME Copper Grade A 25	FUTURES	<u>USD</u> 307,890.25
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MARKET REVALUATION BREAKDOWN

Unrealised Profits & Losses - Forwards	Amount	Profit / Loss	Total Amount	Total P&L
COPL - Futures USD	307,890.25	307,890.25	307,890.25	307,890.25

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
CASH BALANCE	(1.00000)	(1.00000)
Variation Margins	-303,919.55	-303,919.55
- Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	-303,919.55	-303,919.55
Initial Margins	0.00	0.00
Excess/(Shortage)	-303,919.55	-303,919.55
FOR INFORMATION ONLY		
Forward Unrealised P&L	307,890.25	307,890.25
Net Liquidating Value	3,970.70	3,970.70

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED
SAP0054 ARBS
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

GROUP NO: **SAPAB054**

13th Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343
Authorised and regulated by the Australian Prudential
Regulation Authority. Authorised by the Prudential
Regulation Authority. Subject to regulation by the Financial
Conduct Authority and limited regulation by the
Prudential Regulation Authority. Details about the extent
of our regulation by the Prudential Regulation Authority are
available from us on request.

GROUP FINANCIAL SUMMARY

	USD	NET USD
	(1.00000)	(1.00000)
CASH BALANCE	-303,919.55	-303,919.55
Variation Margins		
- Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	-303,919.55	-303,919.55
Initial Margins	0.00	0.00
Excess/(Shortage)	-303,919.55	-303,919.55
FOR INFORMATION ONLY		
Forward Unrealised P&L	307,890.25	307,890.25
Net Liquidating Value	3,970.70	3,970.70

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0066A

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	91,487.60	91,487.60
Variation Margins		
- Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	91,487.60	91,487.60
Initial Margins	0.00	0.00
Excess/(Shortage)	91,487.60	91,487.60
FOR INFORMATION ONLY		
Net Liquidating Value	91,487.60	91,487.60

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED
SAP0066 ARBS
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

GROUP NO: **SAPAB066**

13th Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343
Authorised and regulated by the Australian Prudential
Regulation Authority. Authorised by the Prudential
Regulation Authority. Subject to regulation by the Financial
Conduct Authority and limited regulation by the
Prudential Regulation Authority. Details about the extent
of our regulation by the Prudential Regulation Authority are
available from us on request.

GROUP FINANCIAL SUMMARY

	USD	NET USD
	(1.00000)	(1.00000)
CASH BALANCE	91,487.60	91,487.60
Variation Margins		
- Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	91,487.60	91,487.60
Initial Margins	0.00	0.00
Excess/(Shortage)	91,487.60	91,487.60
<u>FOR INFORMATION ONLY</u>		
Net Liquidating Value	91,487.60	91,487.60

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0072A

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position				Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>								
07AUG17	B	3	PD07NOV17		6416.00	6863.68	USD	33,576.00	
07AUG17	B	2	PD07NOV17		6415.50	6863.68	USD	22,409.00	
08SEP17	S	5	PD07NOV17		6792.13	6863.68	USD	-8,943.75	
	NET	0 AVG	BGHT 6415.80	SOLD 6792.13				47,041.25	0.00
08SEP17	S	2	PD08DEC17		6806.00	6872.83	USD	-3,341.50	
08SEP17	S	3	PD08DEC17		6805.00	6872.83	USD	-5,087.25	
08SEP17	B	5	PD08DEC17		6804.66	6872.83	USD	8,521.25	
	NET	0 AVG	BGHT 6804.66	SOLD 6805.40				92.50	0.00

OPEN POSITION VALUE

LME Copper Grade A 25 FUTURES USD 47,133.75

MARKET REVALUATION BREAKDOWN

Unrealised Profits & Losses - Forwards	Amount	Profit / Loss	Total Amount	Total P&L
COPL - Futures USD	47,133.75	47,133.75	47,133.75	47,133.75

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
CASH BALANCE	(1.00000)	(1.00000)
Variation Margins - Futures	-46,424.75	-46,424.75
- Options	0.00	0.00
TOTAL EQUITY	-46,424.75	-46,424.75
Initial Margins	0.00	0.00
Excess/(Shortage)	-46,424.75	-46,424.75
FOR INFORMATION ONLY		
Forward Unrealised P&L	47,133.75	47,133.75
Net Liquidating Value	709.00	709.00

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED
SAP0072 ARBS
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

GROUP NO: **SAPAB072**

13th Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343
Authorised and regulated by the Australian Prudential
Regulation Authority. Authorised by the Prudential
Regulation Authority. Subject to regulation by the Financial
Conduct Authority and limited regulation by the
Prudential Regulation Authority. Details about the extent
of our regulation by the Prudential Regulation Authority are
available from us on request.

GROUP FINANCIAL SUMMARY

	USD	NET USD
	(1.00000)	(1.00000)
CASH BALANCE	-46,424.75	-46,424.75
Variation Margins		
- Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	-46,424.75	-46,424.75
Initial Margins	0.00	0.00
Excess/(Shortage)	-46,424.75	-46,424.75
<u>FOR INFORMATION ONLY</u>		
Forward Unrealised P&L	47,133.75	47,133.75
Net Liquidating Value	709.00	709.00

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP083GB

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		-425.00	-425.00
Variation Margins	- Futures	0.00	0.00
	- Options	0.00	0.00
TOTAL EQUITY		-425.00	-425.00
Initial Margins		0.00	0.00
Excess/(Shortage)		-425.00	-425.00
FOR INFORMATION ONLY			
Net Liquidating Value		-425.00	-425.00

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP083LB

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
17JUL17	S	1	PD17OCT17	5982.00	6859.00	USD	-21,925.00	
17JUL17	S	1	PD17OCT17	5988.50	6859.00	USD	-21,762.50	
17JUL17	S	2	PD17OCT17	5989.00	6859.00	USD	-43,500.00	
17JUL17	S	1	PD17OCT17	5986.50	6859.00	USD	-21,812.50	
19SEP17	B	5	PD17OCT17	6508.45	6859.00	USD	43,818.75	
	NET	0 AVG BGHT 6508.45	SOLD 5987.00				-65,181.25	0.00
25JUL17	B	2	PD25OCT17	6227.50	6857.50	USD	31,500.00	
25JUL17	B	1	PD25OCT17	6223.00	6857.50	USD	15,862.50	
25JUL17	B	1	PD25OCT17	6223.50	6857.50	USD	15,850.00	
25JUL17	B	1	PD25OCT17	6224.00	6857.50	USD	15,837.50	
25JUL17	B	5	PD25OCT17	6228.00	6857.50	USD	78,687.50	
19SEP17	S	10	PD25OCT17	6513.11	6857.50	USD	-86,097.50	
	NET	0 AVG BGHT 6226.55	SOLD 6513.11				71,640.00	0.00
28JUL17	S	1	PD27OCT17	6325.50	6857.50	USD	-13,300.00	
28JUL17	S	2	PD27OCT17	6329.00	6857.50	USD	-26,425.00	
28JUL17	S	2	PD27OCT17	6329.50	6857.50	USD	-26,400.00	
19SEP17	B	5	PD27OCT17	6514.02	6857.50	USD	42,935.00	
	NET	0 AVG BGHT 6514.02	SOLD 6328.50				-23,190.00	0.00
31JUL17	S	1	PD31OCT17	6385.00	6858.27	USD	-11,831.75	
31JUL17	S	1	PD31OCT17	6383.50	6858.27	USD	-11,869.25	
31JUL17	S	1	PD31OCT17	6384.00	6858.27	USD	-11,856.75	
31JUL17	S	2	PD31OCT17	6386.00	6858.27	USD	-23,613.50	
31JUL17	S	1	PD31OCT17	6387.00	6858.27	USD	-11,781.75	
31JUL17	S	1	PD31OCT17	6387.50	6858.27	USD	-11,769.25	
31JUL17	S	1	PD31OCT17	6388.00	6858.27	USD	-11,756.75	
31JUL17	S	1	PD31OCT17	6389.00	6858.27	USD	-11,731.75	
31JUL17	S	1	PD31OCT17	6390.00	6858.27	USD	-11,706.75	
19SEP17	B	10	PD31OCT17	6515.45	6858.27	USD	85,705.00	
	NET	0 AVG BGHT 6515.45	SOLD 6386.60				-32,212.50	0.00
23AUG17	B	1	PD24NOV17	6582.00	6866.73	USD	7,118.25	
23AUG17	B	1	PD24NOV17	6572.00	6866.73	USD	7,368.25	
23AUG17	B	1	PD24NOV17	6575.00	6866.73	USD	7,293.25	
23AUG17	B	1	PD24NOV17	6575.50	6866.73	USD	7,280.75	
23AUG17	B	1	PD24NOV17	6567.00	6866.73	USD	7,493.25	
23AUG17	B	1	PD24NOV17	6583.00	6866.73	USD	7,093.25	
23AUG17	B	2	PD24NOV17	6583.50	6866.73	USD	14,161.50	
23AUG17	S	1	PD24NOV17	6582.00	6866.73	USD	-7,118.25	
19SEP17	S	7	PD24NOV17	6527.72	6866.73	USD	-59,326.75	
	NET	0 AVG BGHT 6577.69	SOLD 6534.51				-8,636.50	0.00
04SEP17	B	2	PD04DEC17	6917.50	6871.20	USD	-2,315.00	
04SEP17	B	1	PD04DEC17	6918.50	6871.20	USD	-1,182.50	
19SEP17	S	3	PD04DEC17	6532.50	6871.20	USD	-25,402.50	
	NET	0 AVG BGHT 6917.83	SOLD 6532.50				-28,900.00	0.00
08SEP17	S	1	PD08DEC17	6942.00	6872.83	USD	1,729.25	
08SEP17	S	3	PD08DEC17	6943.00	6872.83	USD	5,262.75	
08SEP17	S	1	PD08DEC17	6944.50	6872.83	USD	1,791.75	
08SEP17	S	1	PD08DEC17	6803.00	6872.83	USD	-1,745.75	
08SEP17	S	4	PD08DEC17	6801.00	6872.83	USD	-7,183.00	
19SEP17	B	10	PD08DEC17	6534.85	6872.83	USD	84,495.00	
	NET	0 AVG BGHT 6534.85	SOLD 6872.25				84,350.00	0.00

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP083LB

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

OPEN POSITION VALUE

LME Copper Grade A 25	FUTURES	<u>USD</u> -2,130.25
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MARKET REVALUATION BREAKDOWN

Unrealised Profits & Losses - Forwards	Amount	Profit / Loss	Total Amount	Total P&L
COPL - Futures USD	-2,130.25	-2,130.25	-2,130.25	-2,130.25

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
CASH BALANCE	(1.00000)	(1.00000)
Variation Margins	91,313.32	91,313.32
- Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	91,313.32	91,313.32
Initial Margins	-2,130.25	-2,130.25
Excess/(Shortage)	89,183.07	89,183.07
FOR INFORMATION ONLY		
Forward Unrealised P&L	-2,130.25	-2,130.25
Net Liquidating Value	89,183.07	89,183.07

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED
SAP0083 ARBS
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

GROUP NO: **SAPAB083**

13th Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343
Authorised and regulated by the Australian Prudential
Regulation Authority. Authorised by the Prudential
Regulation Authority. Subject to regulation by the Financial
Conduct Authority and limited regulation by the
Prudential Regulation Authority. Details about the extent
of our regulation by the Prudential Regulation Authority are
available from us on request.

GROUP FINANCIAL SUMMARY

	USD	NET USD
	(1.00000)	(1.00000)
CASH BALANCE	90,888.32	90,888.32
Variation Margins		
- Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	90,888.32	90,888.32
Initial Margins	-2,130.25	-2,130.25
Excess/(Shortage)	88,758.07	88,758.07
FOR INFORMATION ONLY		
Forward Unrealised P&L	-2,130.25	-2,130.25
Net Liquidating Value	88,758.07	88,758.07

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0086A

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
17JUL17	B	1	PD17OCT17	6010.00	6859.00	USD	21,225.00	
17JUL17	B	1	PD17OCT17	6013.50	6859.00	USD	21,137.50	
17JUL17	B	1	PD17OCT17	6006.50	6859.00	USD	21,312.50	
17JUL17	B	7	PD17OCT17	6008.00	6859.00	USD	148,925.00	
17JUL17	B	1	PD17OCT17	6005.50	6859.00	USD	21,337.50	
17JUL17	B	3	PD17OCT17	6003.50	6859.00	USD	64,162.50	
17JUL17	B	1	PD17OCT17	5999.00	6859.00	USD	21,500.00	
17JUL17	B	1	PD17OCT17	6001.00	6859.00	USD	21,450.00	
17JUL17	B	1	PD17OCT17	6002.50	6859.00	USD	21,412.50	
17JUL17	B	2	PD17OCT17	6000.00	6859.00	USD	42,950.00	
17JUL17	S	1	PD17OCT17	6004.00	6859.00	USD	-21,375.00	
17JUL17	B	4	PD17OCT17	6009.00	6859.00	USD	85,000.00	
17JUL17	B	1	PD17OCT17	6002.50	6859.00	USD	21,412.50	
17JUL17	B	1	PD17OCT17	6000.00	6859.00	USD	21,475.00	
17JUL17	B	1	PD17OCT17	6008.00	6859.00	USD	21,275.00	
03AUG17	S	3	PD17OCT17	6349.00	6859.00	USD	-38,250.00	
04AUG17	S	22	PD17OCT17	6369.25	6859.00	USD	-269,362.50	
	NET	0AVG BGHT 6005.81	SOLD 6352.87				225,587.50	0.00
19JUL17	S	2	PD19OCT17	5968.50	6857.50	USD	-44,450.00	
19JUL17	S	1	PD19OCT17	5969.50	6857.50	USD	-22,200.00	
24JUL17	B	3	PD19OCT17	6026.70	6857.50	USD	62,310.00	
	NET	0AVG BGHT 6026.70	SOLD 5968.83				-4,340.00	0.00
20JUL17	S	12	PD20OCT17	5979.00	6857.50	USD	-263,550.00	
20JUL17	S	2	PD20OCT17	5977.00	6857.50	USD	-44,025.00	
20JUL17	S	8	PD20OCT17	5975.50	6857.50	USD	-176,400.00	
20JUL17	B	4	PD20OCT17	5975.00	6857.50	USD	88,250.00	
20JUL17	B	1	PD20OCT17	5980.00	6857.50	USD	21,937.50	
20JUL17	B	2	PD20OCT17	5983.00	6857.50	USD	43,725.00	
20JUL17	B	5	PD20OCT17	5987.50	6857.50	USD	108,750.00	
20JUL17	B	1	PD20OCT17	5986.50	6857.50	USD	21,775.00	
20JUL17	B	3	PD20OCT17	5979.00	6857.50	USD	65,887.50	
20JUL17	B	1	PD20OCT17	5978.00	6857.50	USD	21,987.50	
20JUL17	B	1	PD20OCT17	5975.50	6857.50	USD	22,050.00	
20JUL17	B	1	PD20OCT17	5968.00	6857.50	USD	22,237.50	
20JUL17	S	1	PD20OCT17	5974.50	6857.50	USD	-22,075.00	
20JUL17	S	2	PD20OCT17	5971.00	6857.50	USD	-44,325.00	
20JUL17	S	3	PD20OCT17	5970.00	6857.50	USD	-66,562.50	
20JUL17	S	2	PD20OCT17	5969.00	6857.50	USD	-44,425.00	
20JUL17	S	1	PD20OCT17	5972.00	6857.50	USD	-22,137.50	
20JUL17	S	1	PD20OCT17	5977.50	6857.50	USD	-22,000.00	
21JUL17	B	2	PD20OCT17	6000.00	6857.50	USD	42,875.00	
21JUL17	B	1	PD20OCT17	6010.00	6857.50	USD	21,187.50	
21JUL17	B	1	PD20OCT17	6015.50	6857.50	USD	21,050.00	
21JUL17	B	1	PD20OCT17	6017.50	6857.50	USD	21,000.00	
21JUL17	B	1	PD20OCT17	6016.50	6857.50	USD	21,025.00	
21JUL17	B	1	PD20OCT17	6006.50	6857.50	USD	21,275.00	
21JUL17	B	1	PD20OCT17	6001.00	6857.50	USD	21,412.50	
21JUL17	B	7	PD20OCT17	6004.50	6857.50	USD	149,275.00	
21JUL17	B	1	PD20OCT17	6003.00	6857.50	USD	21,362.50	
04AUG17	S	3	PD20OCT17	6367.25	6857.50	USD	-36,768.75	
	NET	0AVG BGHT 5992.29	SOLD 6009.19				14,793.75	0.00
24JUL17	S	2	PD24OCT17	6004.50	6857.50	USD	-42,650.00	
24JUL17	S	2	PD24OCT17	6003.50	6857.50	USD	-42,700.00	
24JUL17	S	1	PD24OCT17	6001.00	6857.50	USD	-21,412.50	
24JUL17	S	3	PD24OCT17	5999.00	6857.50	USD	-64,387.50	
24JUL17	S	17	PD24OCT17	6000.00	6857.50	USD	-364,437.50	

OPEN POSITION STATEMENT



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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
27JUL17	B	25	PD240CT17	6329.34	6857.50	USD	330,100.00	
	NET	0 AVG	BGHT 6329.34				-205,487.50	0.00
25JUL17	B	1	PD250CT17	6122.00	6857.50	USD	18,387.50	
25JUL17	B	1	PD250CT17	6116.50	6857.50	USD	18,525.00	
25JUL17	B	4	PD250CT17	6145.50	6857.50	USD	71,200.00	
25JUL17	B	1	PD250CT17	6147.50	6857.50	USD	17,750.00	
25JUL17	B	1	PD250CT17	6146.50	6857.50	USD	17,775.00	
25JUL17	B	1	PD250CT17	6148.50	6857.50	USD	17,725.00	
25JUL17	B	1	PD250CT17	6155.50	6857.50	USD	17,550.00	
25JUL17	B	1	PD250CT17	6197.00	6857.50	USD	16,512.50	
25JUL17	B	1	PD250CT17	6196.00	6857.50	USD	16,537.50	
25JUL17	B	3	PD250CT17	6195.00	6857.50	USD	49,687.50	
25JUL17	B	1	PD250CT17	6190.50	6857.50	USD	16,675.00	
25JUL17	B	1	PD250CT17	6189.50	6857.50	USD	16,700.00	
25JUL17	B	2	PD250CT17	6188.50	6857.50	USD	33,450.00	
25JUL17	B	2	PD250CT17	6178.50	6857.50	USD	33,950.00	
25JUL17	B	2	PD250CT17	6177.50	6857.50	USD	34,000.00	
25JUL17	B	1	PD250CT17	6175.00	6857.50	USD	17,062.50	
25JUL17	B	1	PD250CT17	6200.50	6857.50	USD	16,425.00	
25JUL17	B	1	PD250CT17	6196.50	6857.50	USD	16,525.00	
25JUL17	B	3	PD250CT17	6194.00	6857.50	USD	49,762.50	
25JUL17	B	1	PD250CT17	6202.00	6857.50	USD	16,387.50	
25JUL17	B	1	PD250CT17	6226.00	6857.50	USD	15,787.50	
25JUL17	B	2	PD250CT17	6227.00	6857.50	USD	31,525.00	
25JUL17	B	1	PD250CT17	6228.50	6857.50	USD	15,725.00	
25JUL17	B	1	PD250CT17	6231.50	6857.50	USD	15,650.00	
25JUL17	B	1	PD250CT17	6204.50	6857.50	USD	16,325.00	
25JUL17	B	3	PD250CT17	6206.00	6857.50	USD	48,862.50	
25JUL17	B	6	PD250CT17	6205.00	6857.50	USD	97,875.00	
25JUL17	B	1	PD250CT17	6204.00	6857.50	USD	16,337.50	
25JUL17	B	2	PD250CT17	6207.00	6857.50	USD	32,525.00	
25JUL17	B	4	PD250CT17	6208.00	6857.50	USD	64,950.00	
25JUL17	B	2	PD250CT17	6210.00	6857.50	USD	32,375.00	
25JUL17	B	16	PD250CT17	6209.50	6857.50	USD	259,200.00	
25JUL17	B	5	PD250CT17	6221.00	6857.50	USD	79,562.50	
25JUL17	B	1	PD250CT17	6275.50	6857.50	USD	14,550.00	
25JUL17	B	1	PD250CT17	6264.00	6857.50	USD	14,837.50	
25JUL17	B	3	PD250CT17	6256.50	6857.50	USD	45,075.00	
04AUG17	S	80	PD250CT17	6369.39	6857.50	USD	-976,220.00	
	NET	0 AVG	BGHT 6200.63				337,530.00	0.00
26JUL17	S	3	PD260CT17	6333.50	6857.50	USD	-39,300.00	
26JUL17	S	4	PD260CT17	6335.50	6857.50	USD	-52,200.00	
26JUL17	S	6	PD260CT17	6338.00	6857.50	USD	-77,925.00	
26JUL17	S	3	PD260CT17	6339.00	6857.50	USD	-38,887.50	
26JUL17	B	9	PD260CT17	6305.00	6857.50	USD	124,312.50	
26JUL17	B	1	PD260CT17	6305.50	6857.50	USD	13,800.00	
26JUL17	B	7	PD260CT17	6306.50	6857.50	USD	96,425.00	
26JUL17	B	1	PD260CT17	6303.50	6857.50	USD	13,850.00	
26JUL17	B	7	PD260CT17	6304.50	6857.50	USD	96,775.00	
26JUL17	S	1	PD260CT17	6326.50	6857.50	USD	-13,275.00	
26JUL17	S	3	PD260CT17	6327.50	6857.50	USD	-39,750.00	
26JUL17	S	3	PD260CT17	6329.00	6857.50	USD	-39,637.50	
26JUL17	S	1	PD260CT17	6331.00	6857.50	USD	-13,162.50	
26JUL17	S	11	PD260CT17	6332.00	6857.50	USD	-144,512.50	
26JUL17	S	4	PD260CT17	6334.50	6857.50	USD	-52,300.00	
26JUL17	S	1	PD260CT17	6337.50	6857.50	USD	-13,000.00	
26JUL17	S	6	PD260CT17	6340.00	6857.50	USD	-77,625.00	

OPEN POSITION STATEMENT



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13th October 2017

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
26JUL17	S	2	PD260CT17	6336.50	6857.50	USD	-26,050.00	
26JUL17	S	1	PD260CT17	6332.00	6857.50	USD	-13,137.50	
26JUL17	S	1	PD260CT17	6334.50	6857.50	USD	-13,075.00	
26JUL17	B	1	PD260CT17	6334.50	6857.50	USD	13,075.00	
26JUL17	S	1	PD260CT17	6324.00	6857.50	USD	-13,337.50	
27JUL17	B	25	PD260CT17	6329.91	6857.50	USD	329,743.75	
	NET	0 AVG BGHT 6317.91	SOLD 6334.23				20,806.25	0.00
27JUL17	B	1	PD270CT17	6341.00	6857.50	USD	12,912.50	
27JUL17	B	1	PD270CT17	6334.50	6857.50	USD	13,075.00	
27JUL17	B	1	PD270CT17	6333.50	6857.50	USD	13,100.00	
27JUL17	B	1	PD270CT17	6335.50	6857.50	USD	13,050.00	
27JUL17	S	1	PD270CT17	6356.00	6857.50	USD	-12,537.50	
27JUL17	B	1	PD270CT17	6376.50	6857.50	USD	12,025.00	
27JUL17	B	10	PD270CT17	6356.50	6857.50	USD	125,250.00	
27JUL17	B	3	PD270CT17	6355.50	6857.50	USD	37,650.00	
27JUL17	B	1	PD270CT17	6354.50	6857.50	USD	12,575.00	
27JUL17	B	1	PD270CT17	6352.00	6857.50	USD	12,637.50	
27JUL17	B	3	PD270CT17	6353.50	6857.50	USD	37,800.00	
27JUL17	B	1	PD270CT17	6353.50	6857.50	USD	12,600.00	
27JUL17	B	2	PD270CT17	6352.00	6857.50	USD	25,275.00	
27JUL17	S	1	PD270CT17	6344.00	6857.50	USD	-12,837.50	
27JUL17	S	1	PD270CT17	6352.50	6857.50	USD	-12,625.00	
27JUL17	B	1	PD270CT17	6340.00	6857.50	USD	12,937.50	
27JUL17	S	1	PD270CT17	6333.00	6857.50	USD	-13,112.50	
27JUL17	S	2	PD270CT17	6335.00	6857.50	USD	-26,125.00	
27JUL17	S	3	PD270CT17	6331.50	6857.50	USD	-39,450.00	
27JUL17	S	1	PD270CT17	6338.50	6857.50	USD	-12,975.00	
27JUL17	S	1	PD270CT17	6339.50	6857.50	USD	-12,950.00	
27JUL17	S	1	PD270CT17	6342.50	6857.50	USD	-12,875.00	
27JUL17	S	5	PD270CT17	6340.50	6857.50	USD	-64,625.00	
28JUL17	S	4	PD270CT17	6328.50	6857.50	USD	-52,900.00	
28JUL17	S	4	PD270CT17	6327.50	6857.50	USD	-53,000.00	
28JUL17	S	2	PD270CT17	6326.00	6857.50	USD	-26,575.00	
28JUL17	S	1	PD270CT17	6336.50	6857.50	USD	-13,025.00	
28JUL17	S	2	PD270CT17	6338.50	6857.50	USD	-25,950.00	
28JUL17	S	1	PD270CT17	6339.50	6857.50	USD	-12,950.00	
28JUL17	S	2	PD270CT17	6333.00	6857.50	USD	-26,225.00	
28JUL17	S	8	PD270CT17	6334.00	6857.50	USD	-104,700.00	
28JUL17	S	9	PD270CT17	6335.00	6857.50	USD	-117,562.50	
28JUL17	S	1	PD270CT17	6338.00	6857.50	USD	-12,987.50	
28JUL17	S	1	PD270CT17	6340.50	6857.50	USD	-12,925.00	
03AUG17	B	25	PD270CT17	6350.20	6857.50	USD	317,062.50	
	NET	0 AVG BGHT 6351.38	SOLD 6335.26				-20,962.50	0.00
31JUL17	S	1	PD310CT17	6383.50	6858.27	USD	-11,869.25	
31JUL17	S	3	PD310CT17	6382.50	6858.27	USD	-35,682.75	
31JUL17	S	14	PD310CT17	6381.50	6858.27	USD	-166,869.50	
31JUL17	S	5	PD310CT17	6380.50	6858.27	USD	-59,721.25	
31JUL17	S	2	PD310CT17	6386.00	6858.27	USD	-23,613.50	
03AUG17	B	25	PD310CT17	6351.34	6858.27	USD	316,831.25	
	NET	0 AVG BGHT 6351.34	SOLD 6381.86				19,075.00	0.00
01AUG17	B	1	PD01NOV17	6340.00	6859.05	USD	12,976.25	
01AUG17	B	4	PD01NOV17	6332.50	6859.05	USD	52,655.00	
01AUG17	B	2	PD01NOV17	6334.50	6859.05	USD	26,227.50	
01AUG17	B	12	PD01NOV17	6336.00	6859.05	USD	156,915.00	
01AUG17	B	2	PD01NOV17	6341.50	6859.05	USD	25,877.50	
01AUG17	B	1	PD01NOV17	6342.50	6859.05	USD	12,913.75	

OPEN POSITION STATEMENT



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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>								
01AUG17	B	3	PD01NOV17		6346.00	6859.05	USD	38,478.75	
04AUG17	S	25	PD01NOV17		6371.50	6859.05	USD	-304,718.75	
	NET	0AVG	BGHT 6337.38	SOLD 6371.50				21,325.00	0.00
02AUG17	S	1	PD02NOV17		6353.00	6859.82	USD	-12,670.50	
02AUG17	S	1	PD02NOV17		6354.00	6859.82	USD	-12,645.50	
02AUG17	S	4	PD02NOV17		6350.50	6859.82	USD	-50,932.00	
02AUG17	S	2	PD02NOV17		6349.50	6859.82	USD	-25,516.00	
02AUG17	S	2	PD02NOV17		6347.00	6859.82	USD	-25,641.00	
02AUG17	S	1	PD02NOV17		6348.00	6859.82	USD	-12,795.50	
02AUG17	S	1	PD02NOV17		6344.00	6859.82	USD	-12,895.50	
02AUG17	S	2	PD02NOV17		6339.50	6859.82	USD	-26,016.00	
02AUG17	S	2	PD02NOV17		6335.00	6859.82	USD	-26,241.00	
02AUG17	S	5	PD02NOV17		6336.00	6859.82	USD	-65,477.50	
02AUG17	S	3	PD02NOV17		6337.00	6859.82	USD	-39,211.50	
02AUG17	S	1	PD02NOV17		6339.00	6859.82	USD	-13,020.50	
02AUG17	B	1	PD02NOV17		6341.50	6859.82	USD	12,958.00	
02AUG17	B	7	PD02NOV17		6336.00	6859.82	USD	91,668.50	
02AUG17	B	4	PD02NOV17		6332.50	6859.82	USD	52,732.00	
02AUG17	B	10	PD02NOV17		6331.50	6859.82	USD	132,080.00	
02AUG17	B	3	PD02NOV17		6330.50	6859.82	USD	39,699.00	
02AUG17	B	1	PD02NOV17		6359.00	6859.82	USD	12,520.50	
02AUG17	S	1	PD02NOV17		6352.00	6859.82	USD	-12,695.50	
	NET	0AVG	BGHT 6334.19	SOLD 6343.27				5,900.00	0.00
03AUG17	S	2	PD03NOV17		6313.00	6860.59	USD	-27,379.50	
03AUG17	S	1	PD03NOV17		6319.00	6860.59	USD	-13,539.75	
03AUG17	S	6	PD03NOV17		6319.50	6860.59	USD	-81,163.50	
03AUG17	S	4	PD03NOV17		6317.50	6860.59	USD	-54,309.00	
03AUG17	S	11	PD03NOV17		6318.50	6860.59	USD	-149,074.75	
03AUG17	S	1	PD03NOV17		6316.50	6860.59	USD	-13,602.25	
03AUG17	S	1	PD03NOV17		6352.00	6860.59	USD	-12,714.75	
03AUG17	S	3	PD03NOV17		6340.50	6860.59	USD	-39,006.75	
03AUG17	S	1	PD03NOV17		6339.00	6860.59	USD	-13,039.75	
03AUG17	S	3	PD03NOV17		6341.00	6860.59	USD	-38,969.25	
03AUG17	S	1	PD03NOV17		6334.00	6860.59	USD	-13,164.75	
03AUG17	S	2	PD03NOV17		6333.00	6860.59	USD	-26,379.50	
03AUG17	S	1	PD03NOV17		6346.00	6860.59	USD	-12,864.75	
03AUG17	S	4	PD03NOV17		6345.00	6860.59	USD	-51,559.00	
03AUG17	S	2	PD03NOV17		6344.00	6860.59	USD	-25,829.50	
03AUG17	S	9	PD03NOV17		6342.50	6860.59	USD	-116,570.25	
03AUG17	S	7	PD03NOV17		6341.50	6860.59	USD	-90,840.75	
03AUG17	S	3	PD03NOV17		6339.50	6860.59	USD	-39,081.75	
03AUG17	S	50	PD03NOV17		6337.00	6860.59	USD	-654,487.50	
03AUG17	S	7	PD03NOV17		6336.00	6860.59	USD	-91,803.25	
03AUG17	S	1	PD03NOV17		6335.50	6860.59	USD	-13,127.25	
03AUG17	S	4	PD03NOV17		6335.00	6860.59	USD	-52,559.00	
03AUG17	S	6	PD03NOV17		6338.50	6860.59	USD	-78,313.50	
04AUG17	B	130	PD03NOV17		6372.20	6860.59	USD	1,587,267.50	
	NET	0AVG	BGHT 6372.20	SOLD 6334.63				-122,112.50	0.00

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0086A

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

OPEN POSITION VALUE

LME Copper Grade A 25	FUTURES	USD 292,115.00
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MARKET REVALUATION BREAKDOWN

Unrealised Profits & Losses - Forwards	Amount	Profit / Loss	Total Amount	Total P&L
COPL - Futures USD	292,115.00	292,115.00	292,115.00	292,115.00

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
CASH BALANCE	(1.00000)	(1.00000)
Variation Margins	-46,139.70	-46,139.70
- Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	-46,139.70	-46,139.70
Initial Margins	0.00	0.00
Excess/(Shortage)	-46,139.70	-46,139.70
FOR INFORMATION ONLY		
Forward Unrealised P&L	292,115.00	292,115.00
Net Liquidating Value	245,975.30	245,975.30

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0086L

13th October 2017

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
25JUL17	B	4	PD25OCT17	6209.50	6857.50	USD	64,800.00	
25JUL17	B	4	PD25OCT17	6220.50	6857.50	USD	63,700.00	
25JUL17	B	8	PD25OCT17	6218.50	6857.50	USD	127,800.00	
25JUL17	B	4	PD25OCT17	6228.50	6857.50	USD	62,900.00	
31AUG17	S	20	PD25OCT17	6775.19	6857.50	USD	-41,155.00	
	NET	0 AVG	BGHT 6219.10	SOLD 6775.19			278,045.00	0.00
28JUL17	S	6	PD27OCT17	6327.50	6857.50	USD	-79,500.00	
28JUL17	S	8	PD27OCT17	6335.50	6857.50	USD	-104,400.00	
28JUL17	S	3	PD27OCT17	6337.50	6857.50	USD	-39,000.00	
28JUL17	S	3	PD27OCT17	6336.50	6857.50	USD	-39,075.00	
03AUG17	B	20	PD27OCT17	6350.20	6857.50	USD	253,650.00	
	NET	0 AVG	BGHT 6350.20	SOLD 6333.55			-8,325.00	0.00
08AUG17	S	1	PD08NOV17	6408.00	6864.45	USD	-11,411.25	
14AUG17	B	1	PD08NOV17	6395.07	6864.45	USD	11,734.50	
	NET	0 AVG	BGHT 6395.07	SOLD 6408.00			323.25	0.00
10AUG17	S	3	PD10NOV17	6410.00	6866.00	USD	-34,200.00	
10AUG17	S	1	PD10NOV17	6410.00	6866.00	USD	-11,400.00	
11AUG17	S	1	PD10NOV17	6370.50	6866.00	USD	-12,387.50	
11AUG17	S	1	PD10NOV17	6369.50	6866.00	USD	-12,412.50	
11AUG17	S	3	PD10NOV17	6368.00	6866.00	USD	-37,350.00	
14AUG17	B	9	PD10NOV17	6395.78	6866.00	USD	105,799.50	
	NET	0 AVG	BGHT 6395.78	SOLD 6387.11			-1,950.50	0.00
14AUG17	S	2	PD14NOV17	6392.50	6864.67	USD	-23,608.50	
14AUG17	S	8	PD14NOV17	6393.50	6864.67	USD	-94,234.00	
14AUG17	B	5	PD14NOV17	6408.00	6864.67	USD	57,083.75	
14AUG17	B	5	PD14NOV17	6407.00	6864.67	USD	57,208.75	
	NET	0 AVG	BGHT 6407.50	SOLD 6393.30			-3,550.00	0.00
16AUG17	S	1	PD16NOV17	6363.50	6863.00	USD	-12,487.50	
16AUG17	S	1	PD16NOV17	6364.50	6863.00	USD	-12,462.50	
16AUG17	S	4	PD16NOV17	6369.00	6863.00	USD	-49,400.00	
16AUG17	S	4	PD16NOV17	6367.00	6863.00	USD	-49,600.00	
16AUG17	B	4	PD16NOV17	6529.00	6863.00	USD	33,400.00	
16AUG17	B	2	PD16NOV17	6531.00	6863.00	USD	16,600.00	
16AUG17	B	1	PD16NOV17	6536.50	6863.00	USD	8,162.50	
16AUG17	B	2	PD16NOV17	6540.00	6863.00	USD	16,150.00	
16AUG17	B	1	PD16NOV17	6537.50	6863.00	USD	8,137.50	
	NET	0 AVG	BGHT 6533.20	SOLD 6367.20			-41,500.00	0.00
23AUG17	B	10	PD24NOV17	6541.00	6866.73	USD	81,432.50	
23AUG17	B	3	PD24NOV17	6542.50	6866.73	USD	24,317.25	
23AUG17	B	7	PD24NOV17	6542.00	6866.73	USD	56,827.75	
24AUG17	S	1	PD24NOV17	6617.00	6866.73	USD	-6,243.25	
24AUG17	S	3	PD24NOV17	6614.00	6866.73	USD	-18,954.75	
24AUG17	S	6	PD24NOV17	6609.50	6866.73	USD	-38,584.50	
24AUG17	S	2	PD24NOV17	6608.50	6866.73	USD	-12,911.50	
24AUG17	S	3	PD24NOV17	6610.50	6866.73	USD	-19,217.25	
25AUG17	S	1	PD24NOV17	6744.50	6866.73	USD	-3,055.75	
25AUG17	S	4	PD24NOV17	6742.50	6866.73	USD	-12,423.00	
25AUG17	S	1	PD24NOV17	6710.50	6866.73	USD	-3,905.75	
25AUG17	S	1	PD24NOV17	6712.00	6866.73	USD	-3,868.25	
25AUG17	S	2	PD24NOV17	6711.50	6866.73	USD	-7,761.50	
25AUG17	B	3	PD24NOV17	6679.00	6866.73	USD	14,079.75	
25AUG17	B	3	PD24NOV17	6677.50	6866.73	USD	14,192.25	
25AUG17	B	1	PD24NOV17	6676.00	6866.73	USD	4,768.25	
25AUG17	B	2	PD24NOV17	6674.00	6866.73	USD	9,636.50	
31AUG17	S	5	PD24NOV17	6786.50	6866.73	USD	-10,028.75	
	NET	0 AVG	BGHT 6583.62	SOLD 6677.83				0.00

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0086L

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position				Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							68,300.00	
29AUG17	S	4	PD29NOV17		6790.00	6869.07	USD	-7,907.00	
29AUG17	S	4	PD29NOV17		6793.00	6869.07	USD	-7,607.00	
29AUG17	S	4	PD29NOV17		6795.00	6869.07	USD	-7,407.00	
29AUG17	S	4	PD29NOV17		6781.00	6869.07	USD	-8,807.00	
29AUG17	S	4	PD29NOV17		6785.50	6869.07	USD	-8,357.00	
30AUG17	B	20	PD29NOV17		6768.95	6869.07	USD	50,060.00	
	NET	0 AVG	BGHT 6768.95	SOLD 6788.90				9,975.00	0.00
30AUG17	S	4	PD30NOV17		6777.00	6869.53	USD	-9,253.00	
30AUG17	S	7	PD30NOV17		6782.50	6869.53	USD	-15,230.25	
30AUG17	S	3	PD30NOV17		6764.00	6869.53	USD	-7,914.75	
30AUG17	S	1	PD30NOV17		6766.50	6869.53	USD	-2,575.75	
30AUG17	S	17	PD30NOV17		6770.50	6869.53	USD	-42,087.75	
30AUG17	S	2	PD30NOV17		6772.00	6869.53	USD	-4,876.50	
30AUG17	S	1	PD30NOV17		6769.50	6869.53	USD	-2,500.75	
31AUG17	B	35	PD30NOV17		6788.20	6869.53	USD	71,163.75	
	NET	0 AVG	BGHT 6788.20	SOLD 6773.03				-13,275.00	0.00

OPEN POSITION VALUE

LME Copper Grade A 25 FUTURES USD
288,042.75

MARKET REVALUATION BREAKDOWN

Unrealised Profits & Losses - Forwards	Amount	Profit / Loss	Total Amount	Total P&L
COPL - Futures USD	288,042.75	288,042.75	288,042.75	288,042.75

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
(1.00000)	(1.00000)	
CASH BALANCE	18,035.06	18,035.06
Variation Margins - Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	18,035.06	18,035.06
Initial Margins	0.00	0.00
Excess/(Shortage)	18,035.06	18,035.06
<u>FOR INFORMATION ONLY</u>		
Forward Unrealised P&L	288,042.75	288,042.75
Net Liquidating Value	306,077.81	306,077.81

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0086N

13th October 2017

Sapien Capital Limited
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London W1J 7NJ UK
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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
25JUL17	B	1	PD25OCT17	6209.50	6857.50	USD	16,200.00	
25JUL17	B	2	PD25OCT17	6220.50	6857.50	USD	31,850.00	
25JUL17	B	4	PD25OCT17	6218.50	6857.50	USD	63,900.00	
25JUL17	B	3	PD25OCT17	6228.50	6857.50	USD	47,175.00	
31AUG17	S	10	PD25OCT17	6775.19	6857.50	USD	-20,577.50	
	NET	0 AVG	BGHT 6221.00	SOLD 6775.19			138,547.50	0.00
28JUL17	S	4	PD27OCT17	6327.50	6857.50	USD	-53,000.00	
28JUL17	S	4	PD27OCT17	6335.50	6857.50	USD	-52,200.00	
28JUL17	S	1	PD27OCT17	6336.50	6857.50	USD	-13,025.00	
28JUL17	S	1	PD27OCT17	6337.50	6857.50	USD	-13,000.00	
03AUG17	B	10	PD27OCT17	6350.20	6857.50	USD	126,825.00	
	NET	0 AVG	BGHT 6350.20	SOLD 6332.60			-4,400.00	0.00
10AUG17	S	1	PD10NOV17	6410.00	6866.00	USD	-11,400.00	
11AUG17	S	2	PD10NOV17	6368.00	6866.00	USD	-24,900.00	
11AUG17	S	2	PD10NOV17	6370.50	6866.00	USD	-24,775.00	
14AUG17	B	5	PD10NOV17	6395.78	6866.00	USD	58,777.50	
	NET	0 AVG	BGHT 6395.78	SOLD 6377.40			-2,297.50	0.00
14AUG17	S	1	PD14NOV17	6392.50	6864.67	USD	-11,804.25	
14AUG17	S	4	PD14NOV17	6393.50	6864.67	USD	-47,117.00	
14AUG17	B	4	PD14NOV17	6411.50	6864.67	USD	45,317.00	
14AUG17	B	1	PD14NOV17	6412.50	6864.67	USD	11,304.25	
	NET	0 AVG	BGHT 6411.70	SOLD 6393.30			-2,300.00	0.00
16AUG17	S	1	PD16NOV17	6369.00	6863.00	USD	-12,350.00	
16AUG17	S	2	PD16NOV17	6367.00	6863.00	USD	-24,800.00	
16AUG17	S	2	PD16NOV17	6364.50	6863.00	USD	-24,925.00	
16AUG17	B	2	PD16NOV17	6529.00	6863.00	USD	16,700.00	
16AUG17	B	1	PD16NOV17	6531.00	6863.00	USD	8,300.00	
16AUG17	B	1	PD16NOV17	6536.50	6863.00	USD	8,162.50	
16AUG17	B	1	PD16NOV17	6540.00	6863.00	USD	8,075.00	
	NET	0 AVG	BGHT 6533.10	SOLD 6366.40			-20,837.50	0.00
23AUG17	B	2	PD24NOV17	6542.50	6866.73	USD	16,211.50	
23AUG17	B	3	PD24NOV17	6541.00	6866.73	USD	24,429.75	
23AUG17	B	1	PD24NOV17	6540.50	6866.73	USD	8,155.75	
23AUG17	B	1	PD24NOV17	6543.00	6866.73	USD	8,093.25	
23AUG17	B	3	PD24NOV17	6542.00	6866.73	USD	24,354.75	
24AUG17	S	3	PD24NOV17	6614.00	6866.73	USD	-18,954.75	
24AUG17	S	3	PD24NOV17	6609.50	6866.73	USD	-19,292.25	
24AUG17	S	2	PD24NOV17	6610.50	6866.73	USD	-12,811.50	
24AUG17	S	2	PD24NOV17	6608.50	6866.73	USD	-12,911.50	
25AUG17	S	3	PD24NOV17	6741.50	6866.73	USD	-9,392.25	
25AUG17	S	3	PD24NOV17	6742.50	6866.73	USD	-9,317.25	
25AUG17	S	2	PD24NOV17	6710.50	6866.73	USD	-7,811.50	
25AUG17	S	1	PD24NOV17	6711.00	6866.73	USD	-3,893.25	
25AUG17	B	3	PD24NOV17	6691.50	6866.73	USD	13,142.25	
25AUG17	B	3	PD24NOV17	6691.00	6866.73	USD	13,179.75	
25AUG17	B	3	PD24NOV17	6690.50	6866.73	USD	13,217.25	
	NET	0 AVG	BGHT 6612.45	SOLD 6668.03			26,400.00	0.00
29AUG17	S	3	PD29NOV17	6790.00	6869.07	USD	-5,930.25	
29AUG17	S	3	PD29NOV17	6793.00	6869.07	USD	-5,705.25	
29AUG17	S	3	PD29NOV17	6781.00	6869.07	USD	-6,605.25	
29AUG17	S	3	PD29NOV17	6795.00	6869.07	USD	-5,555.25	
29AUG17	S	3	PD29NOV17	6785.50	6869.07	USD	-6,267.75	
30AUG17	B	15	PD29NOV17	6768.95	6869.07	USD	37,545.00	
	NET	0 AVG	BGHT 6768.95	SOLD 6788.90			7,481.25	0.00
30AUG17	S	1	PD30NOV17	6777.00	6869.53	USD	-2,313.25	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0086N

13th October 2017

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FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
30AUG17	S	3	PD30NOV17	6782.50	6869.53	USD	-6,527.25	
30AUG17	S	1	PD30NOV17	6770.50	6869.53	USD	-2,475.75	
30AUG17	S	1	PD30NOV17	6773.00	6869.53	USD	-2,413.25	
30AUG17	S	2	PD30NOV17	6774.00	6869.53	USD	-4,776.50	
30AUG17	S	2	PD30NOV17	6777.50	6869.53	USD	-4,601.50	
30AUG17	S	1	PD30NOV17	6778.50	6869.53	USD	-2,275.75	
30AUG17	S	4	PD30NOV17	6776.00	6869.53	USD	-9,353.00	
31AUG17	B	15	PD30NOV17	6788.20	6869.53	USD	30,498.75	
	NET	0 AVG BGHT 6788.20	SOLD 6776.90				-4,237.50	0.00

OPEN POSITION VALUE

LME Copper Grade A 25 FUTURES USD
138,356.25

MARKET REVALUATION BREAKDOWN

Unrealised Profits & Losses - Forwards	Amount	Profit / Loss	Total Amount	Total P&L
COPL - Futures USD	138,356.25	138,356.25	138,356.25	138,356.25

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
CASH BALANCE	(1.00000)	(1.00000)
Variation Margins	-31,195.45	-31,195.45
- Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	-31,195.45	-31,195.45
Initial Margins	0.00	0.00
Excess/(Shortage)	-31,195.45	-31,195.45
<u>FOR INFORMATION ONLY</u>		
Forward Unrealised P&L	138,356.25	138,356.25
Net Liquidating Value	107,160.80	107,160.80

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED
SAP0086 ARBS
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

GROUP NO: **SAPAB086**

13th Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343
Authorised and regulated by the Australian Prudential
Regulation Authority. Authorised by the Prudential
Regulation Authority. Subject to regulation by the Financial
Conduct Authority and limited regulation by the
Prudential Regulation Authority. Details about the extent
of our regulation by the Prudential Regulation Authority are
available from us on request.

GROUP FINANCIAL SUMMARY

	USD	NET USD
	(1.00000)	(1.00000)
CASH BALANCE	-59,300.09	-59,300.09
Variation Margins		
- Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	-59,300.09	-59,300.09
Initial Margins	0.00	0.00
Excess/(Shortage)	-59,300.09	-59,300.09
<u>FOR INFORMATION ONLY</u>		
Forward Unrealised P&L	718,514.00	718,514.00
Net Liquidating Value	659,213.91	659,213.91

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0089

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
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backoffice@sapiencap.com

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ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	38,630.93	38,630.93
Variation Margins		
- Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	38,630.93	38,630.93
Initial Margins	0.00	0.00
Excess/(Shortage)	38,630.93	38,630.93
FOR INFORMATION ONLY		
Net Liquidating Value	38,630.93	38,630.93

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0089A

13th October 2017

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
17JUL17	B	1	PD17OCT17	6011.50	6859.00	USD	21,187.50	
17JUL17	B	8	PD17OCT17	6010.50	6859.00	USD	169,700.00	
17JUL17	B	8	PD17OCT17	6009.50	6859.00	USD	169,900.00	
17JUL17	B	3	PD17OCT17	6008.50	6859.00	USD	63,787.50	
17JUL17	B	2	PD17OCT17	6017.00	6859.00	USD	42,100.00	
17JUL17	B	3	PD17OCT17	6018.50	6859.00	USD	63,037.50	
17JUL17	B	15	PD17OCT17	6004.00	6859.00	USD	320,625.00	
17JUL17	B	2	PD17OCT17	6003.00	6859.00	USD	42,800.00	
17JUL17	B	1	PD17OCT17	6004.50	6859.00	USD	21,362.50	
17JUL17	B	5	PD17OCT17	5996.50	6859.00	USD	107,812.50	
17JUL17	B	2	PD17OCT17	5997.50	6859.00	USD	43,075.00	
29SEP17	S	50	PD17OCT17	6447.25	6859.00	USD	-514,687.50	
	NET	0 AVG BGHT 6006.69	SOLD 6447.25				550,700.00	0.00
21JUL17	B	3	PD20OCT17	6035.00	6857.50	USD	61,687.50	
21JUL17	B	7	PD20OCT17	6034.00	6857.50	USD	144,112.50	
21JUL17	S	1	PD20OCT17	6000.50	6857.50	USD	-21,425.00	
29SEP17	S	9	PD20OCT17	6448.92	6857.50	USD	-91,930.50	
	NET	0 AVG BGHT 6034.30	SOLD 6404.08				92,444.50	0.00
24JUL17	S	2	PD24OCT17	5993.50	6857.50	USD	-43,200.00	
24JUL17	S	8	PD24OCT17	5993.00	6857.50	USD	-172,900.00	
24JUL17	S	1	PD24OCT17	5998.50	6857.50	USD	-21,475.00	
24JUL17	S	1	PD24OCT17	5999.00	6857.50	USD	-21,462.50	
24JUL17	S	1	PD24OCT17	5999.50	6857.50	USD	-21,450.00	
24JUL17	S	1	PD24OCT17	6000.00	6857.50	USD	-21,437.50	
29AUG17	B	14	PD24OCT17	6781.12	6857.50	USD	26,733.00	
	NET	0 AVG BGHT 6781.12	SOLD 5994.86				-275,192.00	0.00
25JUL17	B	3	PD25OCT17	6146.50	6857.50	USD	53,325.00	
25JUL17	B	3	PD25OCT17	6145.50	6857.50	USD	53,400.00	
25JUL17	B	1	PD25OCT17	6147.00	6857.50	USD	17,762.50	
25JUL17	B	2	PD25OCT17	6166.00	6857.50	USD	34,575.00	
25JUL17	B	1	PD25OCT17	6169.00	6857.50	USD	17,212.50	
25JUL17	B	1	PD25OCT17	6169.50	6857.50	USD	17,200.00	
25JUL17	B	4	PD25OCT17	6170.00	6857.50	USD	68,750.00	
25JUL17	B	1	PD25OCT17	6194.50	6857.50	USD	16,575.00	
25JUL17	B	4	PD25OCT17	6182.00	6857.50	USD	67,550.00	
29SEP17	S	20	PD25OCT17	6452.86	6857.50	USD	-202,320.00	
	NET	0 AVG BGHT 6164.80	SOLD 6452.86				144,030.00	0.00
26JUL17	S	4	PD26OCT17	6351.00	6857.50	USD	-50,650.00	
26JUL17	S	1	PD26OCT17	6348.00	6857.50	USD	-12,737.50	
26JUL17	B	1	PD26OCT17	6273.50	6857.50	USD	14,600.00	
26JUL17	B	4	PD26OCT17	6274.50	6857.50	USD	58,300.00	
26JUL17	S	1	PD26OCT17	6327.00	6857.50	USD	-13,262.50	
29AUG17	B	1	PD26OCT17	6782.08	6857.50	USD	1,885.50	
	NET	0 AVG BGHT 6358.93	SOLD 6346.50				-1,864.50	0.00
28JUL17	S	1	PD27OCT17	6328.00	6857.50	USD	-13,237.50	
28JUL17	S	1	PD27OCT17	6337.00	6857.50	USD	-13,012.50	
28JUL17	S	1	PD27OCT17	6334.50	6857.50	USD	-13,075.00	
28JUL17	S	4	PD27OCT17	6333.50	6857.50	USD	-52,400.00	
28JUL17	S	3	PD27OCT17	6338.00	6857.50	USD	-38,962.50	
28JUL17	S	7	PD27OCT17	6336.00	6857.50	USD	-91,262.50	
28JUL17	S	2	PD27OCT17	6341.50	6857.50	USD	-25,800.00	
28JUL17	S	5	PD27OCT17	6342.50	6857.50	USD	-64,375.00	
28JUL17	S	5	PD27OCT17	6343.50	6857.50	USD	-64,250.00	
29AUG17	B	29	PD27OCT17	6782.56	6857.50	USD	54,331.50	
	NET	0 AVG BGHT 6782.56	SOLD 6338.36				-322,043.50	0.00
02AUG17	S	5	PD02NOV17	6331.00	6859.82	USD	-66,102.50	

OPEN POSITION STATEMENT



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SAP0089A

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Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
02AUG17	S	1	PD02NOV17	6340.00	6859.82	USD	-12,995.50	
02AUG17	S	3	PD02NOV17	6339.50	6859.82	USD	-39,024.00	
02AUG17	S	1	PD02NOV17	6339.00	6859.82	USD	-13,020.50	
02AUG17	B	2	PD02NOV17	6334.00	6859.82	USD	26,291.00	
02AUG17	B	2	PD02NOV17	6332.00	6859.82	USD	26,391.00	
02AUG17	B	4	PD02NOV17	6333.00	6859.82	USD	52,682.00	
02AUG17	B	1	PD02NOV17	6338.50	6859.82	USD	13,033.00	
02AUG17	B	2	PD02NOV17	6337.50	6859.82	USD	26,116.00	
02AUG17	B	8	PD02NOV17	6336.50	6859.82	USD	104,664.00	
02AUG17	B	3	PD02NOV17	6336.00	6859.82	USD	39,286.50	
02AUG17	B	1	PD02NOV17	6335.00	6859.82	USD	13,120.50	
02AUG17	B	2	PD02NOV17	6335.50	6859.82	USD	26,216.00	
05OCT17	S	15	PD02NOV17	6677.38	6859.82	USD	-68,415.00	
	NET	0 AVG BGHT 6335.34	SOLD 6540.53				128,242.50	0.00
04AUG17	S	2	PD03NOV17	6333.00	6860.59	USD	-26,379.50	
04AUG17	S	2	PD03NOV17	6339.50	6860.59	USD	-26,054.50	
04AUG17	S	4	PD03NOV17	6341.50	6860.59	USD	-51,909.00	
29AUG17	B	8	PD03NOV17	6785.92	6860.59	USD	14,934.00	
	NET	0 AVG BGHT 6785.92	SOLD 6338.88				-89,409.00	0.00
07AUG17	B	1	PD07NOV17	6368.00	6863.68	USD	12,392.00	
07AUG17	B	1	PD07NOV17	6364.50	6863.68	USD	12,479.50	
07AUG17	B	2	PD07NOV17	6367.50	6863.68	USD	24,809.00	
07AUG17	B	4	PD07NOV17	6366.50	6863.68	USD	49,718.00	
07AUG17	B	2	PD07NOV17	6363.00	6863.68	USD	25,034.00	
07AUG17	B	2	PD07NOV17	6365.00	6863.68	USD	24,934.00	
07AUG17	B	1	PD07NOV17	6364.00	6863.68	USD	12,492.00	
07AUG17	B	2	PD07NOV17	6381.50	6863.68	USD	24,109.00	
07AUG17	B	2	PD07NOV17	6379.00	6863.68	USD	24,234.00	
07AUG17	B	1	PD07NOV17	6380.00	6863.68	USD	12,092.00	
07AUG17	B	1	PD07NOV17	6418.50	6863.68	USD	11,129.50	
07AUG17	B	1	PD07NOV17	6417.50	6863.68	USD	11,154.50	
07AUG17	B	1	PD07NOV17	6416.50	6863.68	USD	11,179.50	
07AUG17	B	1	PD07NOV17	6415.50	6863.68	USD	11,204.50	
07AUG17	B	1	PD07NOV17	6413.00	6863.68	USD	11,267.00	
05OCT17	S	23	PD07NOV17	6681.44	6863.68	USD	-104,788.00	
	NET	0 AVG BGHT 6379.80	SOLD 6681.44				173,440.50	0.00
24AUG17	S	1	PD24NOV17	6615.00	6866.73	USD	-6,293.25	
24AUG17	S	3	PD24NOV17	6616.50	6866.73	USD	-18,767.25	
24AUG17	S	2	PD24NOV17	6615.50	6866.73	USD	-12,561.50	
24AUG17	S	4	PD24NOV17	6614.50	6866.73	USD	-25,223.00	
29AUG17	B	10	PD24NOV17	6790.74	6866.73	USD	18,997.50	
	NET	0 AVG BGHT 6790.74	SOLD 6615.35				-43,847.50	0.00
30AUG17	S	3	PD30NOV17	6772.50	6869.53	USD	-7,277.25	
30AUG17	S	4	PD30NOV17	6771.50	6869.53	USD	-9,803.00	
30AUG17	S	2	PD30NOV17	6770.50	6869.53	USD	-4,951.50	
30AUG17	S	7	PD30NOV17	6783.50	6869.53	USD	-15,055.25	
30AUG17	S	6	PD30NOV17	6785.50	6869.53	USD	-12,604.50	
30AUG17	S	6	PD30NOV17	6780.50	6869.53	USD	-13,354.50	
30AUG17	S	2	PD30NOV17	6781.50	6869.53	USD	-4,401.50	
30AUG17	S	4	PD30NOV17	6781.00	6869.53	USD	-8,853.00	
30AUG17	S	5	PD30NOV17	6783.00	6869.53	USD	-10,816.25	
30AUG17	B	1	PD30NOV17	6787.50	6869.53	USD	2,050.75	
30AUG17	B	22	PD30NOV17	6791.50	6869.53	USD	42,916.50	
30AUG17	B	1	PD30NOV17	6790.00	6869.53	USD	1,988.25	
30AUG17	B	8	PD30NOV17	6790.50	6869.53	USD	15,806.00	
30AUG17	B	7	PD30NOV17	6788.50	6869.53	USD	14,180.25	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
30AUG17	B	2	PD30NOV17	6789.00	6869.53	USD	4,026.50	
30AUG17	B	6	PD30NOV17	6789.50	6869.53	USD	12,004.50	
30AUG17	S	1	PD30NOV17	6772.00	6869.53	USD	-2,438.25	
30AUG17	B	3	PD30NOV17	6790.50	6869.53	USD	5,927.25	
	NET	+10 AVG BGHT 6790.41	SOLD 6779.98				9,345.00	0.00
07SEP17	S	5	PD07DEC17	6891.00	6872.42	USD	2,322.50	
07SEP17	S	2	PD07DEC17	6883.50	6872.42	USD	554.00	
07SEP17	S	1	PD07DEC17	6884.00	6872.42	USD	289.50	
07SEP17	S	1	PD07DEC17	6884.50	6872.42	USD	302.00	
07SEP17	S	1	PD07DEC17	6882.50	6872.42	USD	252.00	
07SEP17	S	5	PD07DEC17	6906.50	6872.42	USD	4,260.00	
07SEP17	S	1	PD07DEC17	6905.50	6872.42	USD	827.00	
07SEP17	S	2	PD07DEC17	6905.00	6872.42	USD	1,629.00	
07SEP17	S	1	PD07DEC17	6904.50	6872.42	USD	802.00	
07SEP17	S	1	PD07DEC17	6904.00	6872.42	USD	789.50	
25SEP17	B	20	PD07DEC17	6443.45	6872.42	USD	214,485.00	
	NET	0 AVG BGHT 6443.45	SOLD 6896.48				226,512.50	0.00
08SEP17	S	2	PD08DEC17	6829.00	6872.83	USD	-2,191.50	
08SEP17	S	3	PD08DEC17	6828.00	6872.83	USD	-3,362.25	
08SEP17	S	5	PD08DEC17	6826.00	6872.83	USD	-5,853.75	
08SEP17	S	2	PD08DEC17	6824.00	6872.83	USD	-2,441.50	
08SEP17	S	8	PD08DEC17	6825.00	6872.83	USD	-9,566.00	
08SEP17	S	4	PD08DEC17	6824.50	6872.83	USD	-4,833.00	
08SEP17	S	5	PD08DEC17	6823.50	6872.83	USD	-6,166.25	
08SEP17	S	3	PD08DEC17	6815.50	6872.83	USD	-4,299.75	
08SEP17	S	3	PD08DEC17	6816.50	6872.83	USD	-4,224.75	
08SEP17	S	1	PD08DEC17	6819.00	6872.83	USD	-1,345.75	
08SEP17	S	1	PD08DEC17	6822.00	6872.83	USD	-1,270.75	
08SEP17	S	1	PD08DEC17	6821.50	6872.83	USD	-1,283.25	
08SEP17	S	2	PD08DEC17	6822.50	6872.83	USD	-2,516.50	
25SEP17	B	40	PD08DEC17	6443.91	6872.83	USD	428,920.00	
	NET	0 AVG BGHT 6443.91	SOLD 6823.48				379,565.00	0.00
18SEP17	S	1	PD18DEC17	6553.00	6877.00	USD	-8,100.00	
18SEP17	S	2	PD18DEC17	6547.00	6877.00	USD	-16,500.00	
18SEP17	S	1	PD18DEC17	6548.00	6877.00	USD	-8,225.00	
18SEP17	S	1	PD18DEC17	6559.50	6877.00	USD	-7,937.50	
18SEP17	S	1	PD18DEC17	6556.50	6877.00	USD	-8,012.50	
18SEP17	S	1	PD18DEC17	6554.00	6877.00	USD	-8,075.00	
18SEP17	S	8	PD18DEC17	6558.50	6877.00	USD	-63,700.00	
18SEP17	S	1	PD18DEC17	6551.50	6877.00	USD	-8,137.50	
18SEP17	S	3	PD18DEC17	6552.00	6877.00	USD	-24,375.00	
18SEP17	S	2	PD18DEC17	6550.50	6877.00	USD	-16,325.00	
18SEP17	S	2	PD18DEC17	6550.00	6877.00	USD	-16,350.00	
18SEP17	S	12	PD18DEC17	6552.50	6877.00	USD	-97,350.00	
18SEP17	S	2	PD18DEC17	6553.50	6877.00	USD	-16,175.00	
18SEP17	S	1	PD18DEC17	6545.00	6877.00	USD	-8,300.00	
18SEP17	S	11	PD18DEC17	6554.50	6877.00	USD	-88,687.50	
18SEP17	S	2	PD18DEC17	6555.50	6877.00	USD	-16,075.00	
18SEP17	S	2	PD18DEC17	6551.00	6877.00	USD	-16,300.00	
18SEP17	S	2	PD18DEC17	6556.00	6877.00	USD	-16,050.00	
18SEP17	S	3	PD18DEC17	6555.00	6877.00	USD	-24,150.00	
18SEP17	S	3	PD18DEC17	6570.50	6877.00	USD	-22,987.50	
18SEP17	S	1	PD18DEC17	6569.00	6877.00	USD	-7,700.00	
18SEP17	S	3	PD18DEC17	6572.50	6877.00	USD	-22,837.50	
18SEP17	S	5	PD18DEC17	6573.50	6877.00	USD	-37,937.50	
18SEP17	S	2	PD18DEC17	6557.00	6877.00	USD	-16,000.00	

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18SEP17	S	2	PD18DEC17	6546.00	6877.00	USD	-16,550.00	
18SEP17	S	1	PD18DEC17	6532.50	6877.00	USD	-8,612.50	
18SEP17	S	3	PD18DEC17	6523.50	6877.00	USD	-26,512.50	
18SEP17	S	1	PD18DEC17	6522.00	6877.00	USD	-8,875.00	
18SEP17	S	1	PD18DEC17	6533.50	6877.00	USD	-8,587.50	
18SEP17	S	1	PD18DEC17	6534.50	6877.00	USD	-8,562.50	
18SEP17	S	5	PD18DEC17	6535.50	6877.00	USD	-42,687.50	
18SEP17	S	1	PD18DEC17	6537.00	6877.00	USD	-8,500.00	
18SEP17	S	1	PD18DEC17	6540.00	6877.00	USD	-8,425.00	
18SEP17	S	1	PD18DEC17	6535.00	6877.00	USD	-8,550.00	
18SEP17	S	1	PD18DEC17	6529.50	6877.00	USD	-8,687.50	
18SEP17	S	1	PD18DEC17	6530.50	6877.00	USD	-8,662.50	
18SEP17	S	1	PD18DEC17	6527.00	6877.00	USD	-8,750.00	
18SEP17	S	3	PD18DEC17	6524.50	6877.00	USD	-26,437.50	
25SEP17	B	6	PD18DEC17	6448.49	6877.00	USD	64,276.50	
29SEP17	B	86	PD18DEC17	6478.52	6877.00	USD	856,732.00	
05OCT17	B	3	PD18DEC17	6699.70	6877.00	USD	13,297.50	
	NET	0 AVG BGHT 6483.61	SOLD 6550.82				159,618.50	0.00
19SEP17	S	3	PD19DEC17	6537.00	6877.00	USD	-25,500.00	
19SEP17	S	1	PD19DEC17	6537.50	6877.00	USD	-8,487.50	
19SEP17	S	1	PD19DEC17	6536.50	6877.00	USD	-8,512.50	
19SEP17	S	6	PD19DEC17	6532.00	6877.00	USD	-51,750.00	
19SEP17	S	4	PD19DEC17	6533.00	6877.00	USD	-34,400.00	
19SEP17	S	2	PD19DEC17	6542.50	6877.00	USD	-16,725.00	
19SEP17	S	2	PD19DEC17	6543.50	6877.00	USD	-16,675.00	
19SEP17	S	1	PD19DEC17	6546.50	6877.00	USD	-8,262.50	
19SEP17	S	1	PD19DEC17	6547.50	6877.00	USD	-8,237.50	
19SEP17	B	1	PD19DEC17	6514.50	6877.00	USD	9,062.50	
19SEP17	B	2	PD19DEC17	6513.50	6877.00	USD	18,175.00	
19SEP17	B	2	PD19DEC17	6525.00	6877.00	USD	17,600.00	
19SEP17	B	2	PD19DEC17	6521.50	6877.00	USD	17,775.00	
19SEP17	B	9	PD19DEC17	6524.00	6877.00	USD	79,425.00	
19SEP17	B	1	PD19DEC17	6523.00	6877.00	USD	8,850.00	
19SEP17	B	1	PD19DEC17	6528.50	6877.00	USD	8,712.50	
19SEP17	B	2	PD19DEC17	6520.50	6877.00	USD	17,825.00	
19SEP17	B	2	PD19DEC17	6513.00	6877.00	USD	18,200.00	
19SEP17	B	1	PD19DEC17	6510.50	6877.00	USD	9,162.50	
19SEP17	B	11	PD19DEC17	6509.50	6877.00	USD	101,062.50	
19SEP17	B	2	PD19DEC17	6508.50	6877.00	USD	18,425.00	
19SEP17	B	1	PD19DEC17	6507.50	6877.00	USD	9,237.50	
19SEP17	B	2	PD19DEC17	6511.50	6877.00	USD	18,275.00	
19SEP17	B	7	PD19DEC17	6515.00	6877.00	USD	63,350.00	
19SEP17	B	5	PD19DEC17	6514.00	6877.00	USD	45,375.00	
19SEP17	B	1	PD19DEC17	6519.50	6877.00	USD	8,937.50	
19SEP17	B	14	PD19DEC17	6518.50	6877.00	USD	125,475.00	
19SEP17	B	7	PD19DEC17	6517.00	6877.00	USD	63,000.00	
19SEP17	B	8	PD19DEC17	6516.00	6877.00	USD	72,200.00	
	NET	+60 AVG BGHT 6516.44	SOLD 6536.90				551,575.00	0.00
26SEP17	S	1	PD27DEC17	6461.00	6876.67	USD	-10,391.75	
26SEP17	S	1	PD27DEC17	6463.00	6876.67	USD	-10,341.75	
26SEP17	S	4	PD27DEC17	6442.00	6876.67	USD	-43,467.00	
26SEP17	S	1	PD27DEC17	6441.00	6876.67	USD	-10,891.75	
26SEP17	S	2	PD27DEC17	6437.00	6876.67	USD	-21,983.50	
26SEP17	S	3	PD27DEC17	6436.50	6876.67	USD	-33,012.75	
26SEP17	S	1	PD27DEC17	6435.50	6876.67	USD	-11,029.25	
26SEP17	S	2	PD27DEC17	6431.50	6876.67	USD	-22,258.50	
26SEP17	S	17	PD27DEC17	6431.00	6876.67	USD	-189,409.75	

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SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0089A

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
26SEP17	S	1	PD27DEC17	6432.50	6876.67	USD	-11,104.25	
26SEP17	S	1	PD27DEC17	6434.00	6876.67	USD	-11,066.75	
26SEP17	S	3	PD27DEC17	6435.00	6876.67	USD	-33,125.25	
26SEP17	S	1	PD27DEC17	6436.00	6876.67	USD	-11,016.75	
26SEP17	S	7	PD27DEC17	6440.00	6876.67	USD	-76,417.25	
26SEP17	S	5	PD27DEC17	6441.50	6876.67	USD	-54,396.25	
26SEP17	S	4	PD27DEC17	6439.00	6876.67	USD	-43,767.00	
26SEP17	S	6	PD27DEC17	6410.00	6876.67	USD	-70,000.50	
26SEP17	S	2	PD27DEC17	6411.00	6876.67	USD	-23,283.50	
26SEP17	S	1	PD27DEC17	6412.50	6876.67	USD	-11,604.25	
26SEP17	S	1	PD27DEC17	6413.50	6876.67	USD	-11,579.25	
26SEP17	S	1	PD27DEC17	6441.50	6876.67	USD	-10,879.25	
27SEP17	B	2	PD27DEC17	6458.50	6876.67	USD	20,908.50	
27SEP17	B	1	PD27DEC17	6464.00	6876.67	USD	10,316.75	
27SEP17	B	4	PD27DEC17	6459.50	6876.67	USD	41,717.00	
27SEP17	B	3	PD27DEC17	6460.50	6876.67	USD	31,212.75	
27SEP17	B	3	PD27DEC17	6453.00	6876.67	USD	31,775.25	
27SEP17	B	1	PD27DEC17	6456.00	6876.67	USD	10,516.75	
27SEP17	B	1	PD27DEC17	6437.50	6876.67	USD	10,979.25	
27SEP17	B	1	PD27DEC17	6433.00	6876.67	USD	11,091.75	
27SEP17	B	2	PD27DEC17	6441.00	6876.67	USD	21,783.50	
27SEP17	B	1	PD27DEC17	6442.00	6876.67	USD	10,866.75	
27SEP17	B	1	PD27DEC17	6446.00	6876.67	USD	10,766.75	
27SEP17	B	3	PD27DEC17	6443.00	6876.67	USD	32,525.25	
27SEP17	B	7	PD27DEC17	6444.00	6876.67	USD	75,717.25	
05OCT17	B	35	PD27DEC17	6699.20	6876.67	USD	155,286.25	
	NET	0AVG BGHT 6584.08	SOLD 6432.96				-245,562.50	0.00
28SEP17	B	7	PD28DEC17	6429.50	6877.00	USD	78,312.50	
28SEP17	B	1	PD28DEC17	6428.00	6877.00	USD	11,225.00	
28SEP17	B	1	PD28DEC17	6426.00	6877.00	USD	11,275.00	
28SEP17	B	1	PD28DEC17	6425.00	6877.00	USD	11,300.00	
28SEP17	S	4	PD28DEC17	6400.50	6877.00	USD	-47,650.00	
28SEP17	S	1	PD28DEC17	6414.00	6877.00	USD	-11,575.00	
28SEP17	S	1	PD28DEC17	6428.00	6877.00	USD	-11,225.00	
28SEP17	B	1	PD28DEC17	6467.50	6877.00	USD	10,237.50	
28SEP17	B	1	PD28DEC17	6470.50	6877.00	USD	10,162.50	
28SEP17	B	4	PD28DEC17	6468.50	6877.00	USD	40,850.00	
28SEP17	B	1	PD28DEC17	6472.50	6877.00	USD	10,112.50	
28SEP17	B	1	PD28DEC17	6473.50	6877.00	USD	10,087.50	
28SEP17	B	3	PD28DEC17	6471.50	6877.00	USD	30,412.50	
28SEP17	B	5	PD28DEC17	6459.50	6877.00	USD	52,187.50	
28SEP17	B	1	PD28DEC17	6514.50	6877.00	USD	9,062.50	
28SEP17	B	1	PD28DEC17	6515.50	6877.00	USD	9,037.50	
28SEP17	B	6	PD28DEC17	6513.50	6877.00	USD	54,525.00	
28SEP17	B	5	PD28DEC17	6512.00	6877.00	USD	45,625.00	
28SEP17	B	1	PD28DEC17	6516.00	6877.00	USD	9,025.00	
28SEP17	B	4	PD28DEC17	6516.50	6877.00	USD	36,050.00	
28SEP17	B	1	PD28DEC17	6526.00	6877.00	USD	8,775.00	
28SEP17	B	3	PD28DEC17	6527.00	6877.00	USD	26,250.00	
28SEP17	B	1	PD28DEC17	6525.00	6877.00	USD	8,800.00	
28SEP17	B	3	PD28DEC17	6524.00	6877.00	USD	26,475.00	
28SEP17	B	2	PD28DEC17	6522.50	6877.00	USD	17,725.00	
28SEP17	B	1	PD28DEC17	6521.50	6877.00	USD	8,887.50	
28SEP17	B	2	PD28DEC17	6522.00	6877.00	USD	17,750.00	
28SEP17	B	4	PD28DEC17	6521.00	6877.00	USD	35,600.00	
28SEP17	B	2	PD28DEC17	6517.50	6877.00	USD	17,975.00	
28SEP17	B	3	PD28DEC17	6519.00	6877.00	USD	26,850.00	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
28SEP17	B	1	PD28DEC17	6527.50	6877.00	USD	8,737.50	
28SEP17	B	3	PD28DEC17	6528.00	6877.00	USD	26,175.00	
28SEP17	B	1	PD28DEC17	6529.00	6877.00	USD	8,700.00	
28SEP17	B	5	PD28DEC17	6532.50	6877.00	USD	43,062.50	
28SEP17	B	3	PD28DEC17	6534.00	6877.00	USD	25,725.00	
28SEP17	B	1	PD28DEC17	6533.00	6877.00	USD	8,600.00	
28SEP17	B	8	PD28DEC17	6524.50	6877.00	USD	70,500.00	
28SEP17	B	4	PD28DEC17	6523.50	6877.00	USD	35,350.00	
28SEP17	B	8	PD28DEC17	6531.50	6877.00	USD	69,100.00	
28SEP17	B	1	PD28DEC17	6542.00	6877.00	USD	8,375.00	
28SEP17	B	1	PD28DEC17	6541.00	6877.00	USD	8,400.00	
28SEP17	B	1	PD28DEC17	6553.50	6877.00	USD	8,087.50	
28SEP17	B	4	PD28DEC17	6553.00	6877.00	USD	32,400.00	
28SEP17	B	5	PD28DEC17	6560.00	6877.00	USD	39,625.00	
28SEP17	B	1	PD28DEC17	6522.50	6877.00	USD	8,862.50	
28SEP17	B	2	PD28DEC17	6512.00	6877.00	USD	18,250.00	
28SEP17	B	1	PD28DEC17	6533.00	6877.00	USD	8,600.00	
	NET	+110 AVG BGHT 6510.41	SOLD 6407.33				992,675.00	0.00
29SEP17	B	7	PD29DEC17	6549.00	6877.33	USD	57,457.75	
29SEP17	B	2	PD29DEC17	6547.00	6877.33	USD	16,516.50	
29SEP17	B	1	PD29DEC17	6546.00	6877.33	USD	8,283.25	
29SEP17	B	2	PD29DEC17	6543.50	6877.33	USD	16,691.50	
29SEP17	B	4	PD29DEC17	6542.50	6877.33	USD	33,483.00	
29SEP17	S	1	PD29DEC17	6530.50	6877.33	USD	-8,670.75	
29SEP17	S	2	PD29DEC17	6533.50	6877.33	USD	-17,191.50	
29SEP17	S	1	PD29DEC17	6534.50	6877.33	USD	-8,570.75	
29SEP17	S	2	PD29DEC17	6533.00	6877.33	USD	-17,216.50	
29SEP17	S	6	PD29DEC17	6534.00	6877.33	USD	-51,499.50	
29SEP17	S	1	PD29DEC17	6536.50	6877.33	USD	-8,520.75	
29SEP17	B	4	PD29DEC17	6545.00	6877.33	USD	33,233.00	
29SEP17	S	1	PD29DEC17	6525.00	6877.33	USD	-8,808.25	
29SEP17	S	3	PD29DEC17	6524.00	6877.33	USD	-26,499.75	
29SEP17	S	1	PD29DEC17	6528.50	6877.33	USD	-8,720.75	
29SEP17	S	3	PD29DEC17	6535.00	6877.33	USD	-25,674.75	
29SEP17	S	2	PD29DEC17	6537.00	6877.33	USD	-17,016.50	
29SEP17	S	2	PD29DEC17	6532.00	6877.33	USD	-17,266.50	
29SEP17	S	4	PD29DEC17	6500.50	6877.33	USD	-37,683.00	
	NET	-9 AVG BGHT 6546.00	SOLD 6527.90				-87,674.25	0.00
02OCT17	B	1	PD02JAN18	6534.50	6878.67	USD	8,604.25	
02OCT17	B	2	PD02JAN18	6536.50	6878.67	USD	17,108.50	
02OCT17	B	2	PD02JAN18	6535.50	6878.67	USD	17,158.50	
	NET	+5 AVG BGHT 6535.70					42,871.25	0.00
03OCT17	S	6	PD03JAN18	6463.50	6879.00	USD	-62,325.00	
	NET	-6 AVG SOLD 6463.50					-62,325.00	0.00
05OCT17	S	10	PD05JAN18	6541.50	6879.67	USD	-84,542.50	
05OCT17	B	4	PD05JAN18	6657.00	6879.67	USD	22,267.00	
05OCT17	B	6	PD05JAN18	6658.00	6879.67	USD	33,250.50	
05OCT17	B	5	PD05JAN18	6675.50	6879.67	USD	25,521.25	
05OCT17	B	5	PD05JAN18	6676.00	6879.67	USD	25,458.75	
05OCT17	B	10	PD05JAN18	6672.00	6879.67	USD	51,917.50	
	NET	+20 AVG BGHT 6668.45	SOLD 6541.50				73,872.50	0.00
09OCT17	S	2	PD09JAN18	6657.50	6881.00	USD	-11,175.00	
09OCT17	S	1	PD09JAN18	6656.50	6881.00	USD	-5,612.50	
09OCT17	S	1	PD09JAN18	6658.50	6881.00	USD	-5,562.50	
09OCT17	S	1	PD09JAN18	6655.50	6881.00	USD	-5,637.50	
09OCT17	S	1	PD09JAN18	6661.00	6881.00	USD	-5,500.00	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>					
09OCT17	S 1 PD09JAN18	6662.50	6881.00	USD	-5,462.50	
09OCT17	S 2 PD09JAN18	6663.50	6881.00	USD	-10,875.00	
09OCT17	S 7 PD09JAN18	6660.00	6881.00	USD	-38,675.00	
09OCT17	S 4 PD09JAN18	6659.00	6881.00	USD	-22,200.00	
	NET -20 AVG SOLD 6659.60				-110,700.00	0.00
10OCT17	B 5 PD10JAN18	6741.00	6881.33	USD	17,541.25	
10OCT17	B 1 PD10JAN18	6739.50	6881.33	USD	3,545.75	
10OCT17	B 3 PD10JAN18	6738.50	6881.33	USD	10,712.25	
10OCT17	B 1 PD10JAN18	6737.50	6881.33	USD	3,595.75	
10OCT17	B 1 PD10JAN18	6749.50	6881.33	USD	3,295.75	
10OCT17	B 1 PD10JAN18	6748.50	6881.33	USD	3,320.75	
10OCT17	B 1 PD10JAN18	6747.50	6881.33	USD	3,345.75	
10OCT17	B 4 PD10JAN18	6752.00	6881.33	USD	12,933.00	
10OCT17	B 2 PD10JAN18	6754.00	6881.33	USD	6,366.50	
10OCT17	B 1 PD10JAN18	6754.00	6881.33	USD	3,183.25	
	NET +20 AVG BGHT 6745.65				67,840.00	0.00
11OCT17	B 1 PD11JAN18	6741.50	6881.67	USD	3,504.25	
11OCT17	B 1 PD11JAN18	6742.50	6881.67	USD	3,479.25	
11OCT17	B 1 PD11JAN18	6738.00	6881.67	USD	3,591.75	
11OCT17	B 2 PD11JAN18	6737.00	6881.67	USD	7,233.50	
11OCT17	B 4 PD11JAN18	6736.00	6881.67	USD	14,567.00	
11OCT17	B 1 PD11JAN18	6736.00	6881.67	USD	3,641.75	
	NET +10 AVG BGHT 6737.60				36,017.50	0.00
	<u>CMX COPPER 25,000 CMX</u>					
19SEP17	S 2 DEC17	296.400	313.350	USD	-8,475.00	
19SEP17	S 2 DEC17	296.450	313.350	USD	-8,450.00	
19SEP17	S 6 DEC17	296.350	313.350	USD	-25,500.00	
19SEP17	S 4 DEC17	296.300	313.350	USD	-17,050.00	
27SEP17	S 5 DEC17	293.550	313.350	USD	-24,750.00	
27SEP17	S 2 DEC17	293.750	313.350	USD	-9,800.00	
27SEP17	S 4 DEC17	293.650	313.350	USD	-19,700.00	
27SEP17	S 4 DEC17	293.300	313.350	USD	-20,050.00	
27SEP17	S 2 DEC17	293.250	313.350	USD	-10,050.00	
27SEP17	S 2 DEC17	293.400	313.350	USD	-9,975.00	
27SEP17	S 12 DEC17	293.600	313.350	USD	-59,250.00	
27SEP17	S 2 DEC17	292.550	313.350	USD	-10,400.00	
27SEP17	S 2 DEC17	292.300	313.350	USD	-10,525.00	
27SEP17	S 4 DEC17	292.750	313.350	USD	-20,600.00	
27SEP17	S 5 DEC17	292.800	313.350	USD	-25,687.50	
27SEP17	S 2 DEC17	292.950	313.350	USD	-10,200.00	
27SEP17	S 6 DEC17	292.850	313.350	USD	-30,750.00	
27SEP17	S 14 DEC17	292.900	313.350	USD	-71,575.00	
28SEP17	S 2 DEC17	292.200	313.350	USD	-10,575.00	
28SEP17	S 2 DEC17	292.100	313.350	USD	-10,625.00	
28SEP17	S 4 DEC17	292.050	313.350	USD	-21,300.00	
28SEP17	S 2 DEC17	292.000	313.350	USD	-10,675.00	
28SEP17	S 2 DEC17	293.900	313.350	USD	-9,725.00	
28SEP17	S 8 DEC17	294.000	313.350	USD	-38,700.00	
28SEP17	S 1 DEC17	293.950	313.350	USD	-4,850.00	
28SEP17	S 2 DEC17	294.050	313.350	USD	-9,650.00	
28SEP17	S 2 DEC17	294.200	313.350	USD	-9,575.00	
28SEP17	S 2 DEC17	294.150	313.350	USD	-9,600.00	
28SEP17	S 7 DEC17	294.100	313.350	USD	-33,687.50	
28SEP17	S 10 DEC17	293.600	313.350	USD	-49,375.00	
28SEP17	S 1 DEC17	293.650	313.350	USD	-4,925.00	
28SEP17	S 12 DEC17	296.050	313.350	USD	-51,900.00	

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	CMX COPPER 25,000 CMX							
28SEP17	S	5	DEC17	296.100	313.350	USD	-21,562.50	
28SEP17	S	9	DEC17	296.000	313.350	USD	-39,037.50	
28SEP17	S	6	DEC17	295.950	313.350	USD	-26,100.00	
28SEP17	S	1	DEC17	295.900	313.350	USD	-4,362.50	
28SEP17	S	11	DEC17	296.200	313.350	USD	-47,162.50	
28SEP17	S	4	DEC17	296.700	313.350	USD	-16,650.00	
28SEP17	S	2	DEC17	296.650	313.350	USD	-8,350.00	
28SEP17	S	14	DEC17	296.450	313.350	USD	-59,150.00	
28SEP17	S	5	DEC17	296.400	313.350	USD	-21,187.50	
28SEP17	S	3	DEC17	296.250	313.350	USD	-12,825.00	
28SEP17	S	4	DEC17	296.350	313.350	USD	-17,000.00	
28SEP17	S	4	DEC17	296.300	313.350	USD	-17,050.00	
28SEP17	S	9	DEC17	296.750	313.350	USD	-37,350.00	
28SEP17	S	3	DEC17	296.800	313.350	USD	-12,412.50	
28SEP17	S	5	DEC17	297.050	313.350	USD	-20,375.00	
28SEP17	S	19	DEC17	296.600	313.350	USD	-79,562.50	
28SEP17	S	16	DEC17	296.550	313.350	USD	-67,200.00	
28SEP17	S	5	DEC17	296.500	313.350	USD	-21,062.50	
28SEP17	S	22	DEC17	296.950	313.350	USD	-90,200.00	
28SEP17	S	4	DEC17	296.900	313.350	USD	-16,450.00	
28SEP17	S	9	DEC17	297.000	313.350	USD	-36,787.50	
28SEP17	S	2	DEC17	297.450	313.350	USD	-7,950.00	
28SEP17	S	2	DEC17	297.400	313.350	USD	-7,975.00	
28SEP17	S	2	DEC17	298.000	313.350	USD	-7,675.00	
28SEP17	S	8	DEC17	297.950	313.350	USD	-30,800.00	
28SEP17	S	1	DEC17	297.850	313.350	USD	-3,875.00	
28SEP17	S	10	DEC17	298.300	313.350	USD	-37,625.00	
28SEP17	S	1	DEC17	298.350	313.350	USD	-3,750.00	
02OCT17	S	6	DEC17	297.200	313.350	USD	-24,225.00	
02OCT17	S	2	DEC17	297.150	313.350	USD	-8,100.00	
05OCT17	S	10	DEC17	303.500	313.350	USD	-24,625.00	
05OCT17	S	18	DEC17	303.350	313.350	USD	-45,000.00	
05OCT17	S	2	DEC17	303.300	313.350	USD	-5,025.00	
05OCT17	S	14	DEC17	303.450	313.350	USD	-34,650.00	
10OCT17	S	2	DEC17	306.200	313.350	USD	-3,575.00	
10OCT17	S	8	DEC17	306.150	313.350	USD	-14,400.00	
10OCT17	S	6	DEC17	306.100	313.350	USD	-10,875.00	
10OCT17	S	2	DEC17	306.050	313.350	USD	-3,650.00	
10OCT17	S	2	DEC17	305.850	313.350	USD	-3,750.00	
10OCT17	S	2	DEC17	306.600	313.350	USD	-3,375.00	
10OCT17	S	2	DEC17	306.450	313.350	USD	-3,450.00	
10OCT17	S	2	DEC17	306.400	313.350	USD	-3,475.00	
10OCT17	S	4	DEC17	306.650	313.350	USD	-6,700.00	
10OCT17	S	4	DEC17	306.700	313.350	USD	-6,650.00	
10OCT17	S	3	DEC17	306.300	313.350	USD	-5,287.50	
10OCT17	S	4	DEC17	306.800	313.350	USD	-6,550.00	
10OCT17	S	2	DEC17	306.750	313.350	USD	-3,300.00	
10OCT17	S	1	DEC17	306.500	313.350	USD	-1,712.50	
11OCT17	S	2	DEC17	306.150	313.350	USD	-3,600.00	
11OCT17	S	2	DEC17	306.200	313.350	USD	-3,575.00	
11OCT17	S	1	DEC17	306.000	313.350	USD	-1,837.50	
11OCT17	S	1	DEC17	306.050	313.350	USD	-1,825.00	
11OCT17	S	10	DEC17	305.950	313.350	USD	-18,500.00	
11OCT17	S	4	DEC17	305.900	313.350	USD	-7,450.00	
11OCT17	S	2	DEC17	305.650	313.350	USD	-3,850.00	
NET	-441 AVG SOLD 297.945						-1,698,450.00	0.00

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OPEN POSITION VALUE

		USD
LME Copper Grade A 25	FUTURES	-31,995,503.00
CMX Copper	FUTURES	32,848,387.50

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options	Amount	Profit / Loss	Total Amount	Total P&L
COPR - Futures USD	-1,698,450.00	-1,698,450.00	-1,698,450.00	-1,698,450.00
Unrealised Profits & Losses - Forwards	Amount	Profit / Loss	Total Amount	Total P&L
COPL - Futures USD	2,390,131.50	2,390,131.50	2,390,131.50	2,390,131.50

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
CASH BALANCE	(1,000,000)	(1,000,000)
Variation Margins - Futures	1,856,391.06	1,856,391.06
- Options	-1,698,450.00	-1,698,450.00
	0.00	0.00
TOTAL EQUITY	157,941.06	157,941.06
Initial Margins	-1,504,046.50	-1,504,046.50
Excess/(Shortage)	-1,346,105.44	-1,346,105.44
FOR INFORMATION ONLY		
Forward Unrealised P&L	2,390,131.50	2,390,131.50
Net Liquidating Value	2,548,072.56	2,548,072.56

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0089D

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
08AUG17	B	1	PD08NOV17	6397.50	6864.45	USD	11,673.75	
08AUG17	B	1	PD08NOV17	6403.00	6864.45	USD	11,536.25	
08AUG17	B	8	PD08NOV17	6404.00	6864.45	USD	92,090.00	
08AUG17	B	3	PD08NOV17	6399.50	6864.45	USD	34,871.25	
08AUG17	B	10	PD08NOV17	6398.50	6864.45	USD	116,487.50	
08AUG17	B	1	PD08NOV17	6402.00	6864.45	USD	11,561.25	
08AUG17	B	1	PD08NOV17	6408.00	6864.45	USD	11,411.25	
08AUG17	B	9	PD08NOV17	6409.00	6864.45	USD	102,476.25	
08AUG17	B	1	PD08NOV17	6407.00	6864.45	USD	11,436.25	
08AUG17	B	1	PD08NOV17	6410.50	6864.45	USD	11,348.75	
08AUG17	B	2	PD08NOV17	6416.00	6864.45	USD	22,422.50	
08AUG17	B	1	PD08NOV17	6420.00	6864.45	USD	11,111.25	
08AUG17	B	4	PD08NOV17	6419.00	6864.45	USD	44,545.00	
08AUG17	B	1	PD08NOV17	6419.50	6864.45	USD	11,123.75	
08AUG17	B	5	PD08NOV17	6429.00	6864.45	USD	54,431.25	
08AUG17	B	1	PD08NOV17	6404.00	6864.45	USD	11,511.25	
29AUG17	S	50	PD08NOV17	6788.12	6864.45	USD	-95,412.50	
	NET	0AVG BGHT 6408.42	SOLD 6788.12				474,625.00	0.00
24AUG17	S	1	PD24NOV17	6703.50	6866.73	USD	-4,080.75	
24AUG17	S	1	PD24NOV17	6709.50	6866.73	USD	-3,930.75	
24AUG17	S	3	PD24NOV17	6712.00	6866.73	USD	-11,604.75	
24AUG17	S	1	PD24NOV17	6703.50	6866.73	USD	-4,080.75	
29AUG17	B	6	PD24NOV17	6790.74	6866.73	USD	11,398.50	
	NET	0AVG BGHT 6790.74	SOLD 6708.75				-12,298.50	0.00
29AUG17	S	1	PD29NOV17	6762.50	6869.07	USD	-2,664.25	
29AUG17	S	1	PD29NOV17	6780.00	6869.07	USD	-2,226.75	
29AUG17	S	1	PD29NOV17	6785.50	6869.07	USD	-2,089.25	
29AUG17	S	1	PD29NOV17	6781.50	6869.07	USD	-2,189.25	
29AUG17	S	2	PD29NOV17	6783.00	6869.07	USD	-4,303.50	
29AUG17	S	1	PD29NOV17	6776.00	6869.07	USD	-2,326.75	
29AUG17	S	1	PD29NOV17	6774.50	6869.07	USD	-2,364.25	
29AUG17	S	1	PD29NOV17	6780.50	6869.07	USD	-2,214.25	
29AUG17	S	3	PD29NOV17	6787.50	6869.07	USD	-6,117.75	
29AUG17	S	6	PD29NOV17	6786.50	6869.07	USD	-12,385.50	
29AUG17	S	1	PD29NOV17	6789.00	6869.07	USD	-2,001.75	
29AUG17	S	2	PD29NOV17	6790.50	6869.07	USD	-3,928.50	
29AUG17	S	4	PD29NOV17	6792.50	6869.07	USD	-7,657.00	
29AUG17	S	4	PD29NOV17	6796.00	6869.07	USD	-7,307.00	
29AUG17	S	1	PD29NOV17	6797.00	6869.07	USD	-1,801.75	
29AUG17	S	3	PD29NOV17	6798.00	6869.07	USD	-5,330.25	
29AUG17	S	2	PD29NOV17	6807.00	6869.07	USD	-3,103.50	
29AUG17	S	1	PD29NOV17	6805.50	6869.07	USD	-1,589.25	
29AUG17	S	1	PD29NOV17	6809.00	6869.07	USD	-1,501.75	
29AUG17	S	1	PD29NOV17	6802.50	6869.07	USD	-1,664.25	
29AUG17	S	1	PD29NOV17	6802.00	6869.07	USD	-1,676.75	
29AUG17	S	1	PD29NOV17	6814.50	6869.07	USD	-1,364.25	
29AUG17	S	1	PD29NOV17	6816.50	6869.07	USD	-1,314.25	
29AUG17	S	1	PD29NOV17	6821.00	6869.07	USD	-1,201.75	
29AUG17	S	1	PD29NOV17	6814.00	6869.07	USD	-1,376.75	
29AUG17	S	1	PD29NOV17	6798.00	6869.07	USD	-1,776.75	
29AUG17	B	44	PD29NOV17	6791.70	6869.07	USD	85,107.00	
	NET	0AVG BGHT 6791.70	SOLD 6793.18				1,630.00	0.00
30AUG17	B	1	PD30NOV17	6828.50	6869.53	USD	1,025.75	
30AUG17	B	2	PD30NOV17	6830.00	6869.53	USD	1,976.50	
30AUG17	B	2	PD30NOV17	6829.50	6869.53	USD	2,001.50	
30AUG17	B	1	PD30NOV17	6827.00	6869.53	USD	1,063.25	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
30AUG17	B	4	PD30NOV17	6826.00	6869.53	USD	4,353.00	
30AUG17	B	1	PD30NOV17	6832.50	6869.53	USD	925.75	
30AUG17	B	1	PD30NOV17	6831.50	6869.53	USD	950.75	
30AUG17	B	3	PD30NOV17	6830.50	6869.53	USD	2,927.25	
30AUG17	B	1	PD30NOV17	6812.00	6869.53	USD	1,438.25	
30AUG17	B	1	PD30NOV17	6814.50	6869.53	USD	1,375.75	
30AUG17	B	4	PD30NOV17	6813.50	6869.53	USD	5,603.00	
30AUG17	B	9	PD30NOV17	6811.00	6869.53	USD	13,169.25	
30AUG17	B	3	PD30NOV17	6807.00	6869.53	USD	4,689.75	
30AUG17	B	2	PD30NOV17	6808.00	6869.53	USD	3,076.50	
30AUG17	B	1	PD30NOV17	6805.00	6869.53	USD	1,613.25	
30AUG17	B	2	PD30NOV17	6799.50	6869.53	USD	3,501.50	
30AUG17	B	2	PD30NOV17	6802.00	6869.53	USD	3,376.50	
31AUG17	B	1	PD30NOV17	6805.00	6869.53	USD	1,613.25	
31AUG17	B	3	PD30NOV17	6804.00	6869.53	USD	4,914.75	
31AUG17	B	2	PD30NOV17	6806.00	6869.53	USD	3,176.50	
31AUG17	B	1	PD30NOV17	6807.00	6869.53	USD	1,563.25	
31AUG17	B	1	PD30NOV17	6820.00	6869.53	USD	1,238.25	
31AUG17	B	2	PD30NOV17	6820.50	6869.53	USD	2,451.50	
12SEP17	S	10	PD30NOV17	6668.30	6869.53	USD	-50,307.50	
15SEP17	S	15	PD30NOV17	6498.02	6869.53	USD	-139,316.25	
21SEP17	S	25	PD30NOV17	6474.30	6869.53	USD	-247,018.75	
	NET	0 AVG BGHT 6815.11	SOLD 6520.22				-368,617.50	0.00
11SEP17	S	10	PD01DEC17	6723.97	6870.00	USD	-36,507.50	
12SEP17	B	10	PD01DEC17	6669.16	6870.00	USD	50,210.00	
	NET	0 AVG BGHT 6669.16	SOLD 6723.97				13,702.50	0.00
07SEP17	S	1	PD07DEC17	6875.50	6872.42	USD	77.00	
07SEP17	S	1	PD07DEC17	6872.50	6872.42	USD	2.00	
07SEP17	S	2	PD07DEC17	6860.00	6872.42	USD	-621.00	
07SEP17	S	1	PD07DEC17	6858.00	6872.42	USD	-360.50	
07SEP17	S	1	PD07DEC17	6862.50	6872.42	USD	-248.00	
07SEP17	S	1	PD07DEC17	6861.50	6872.42	USD	-273.00	
07SEP17	S	1	PD07DEC17	6864.00	6872.42	USD	-210.50	
07SEP17	S	2	PD07DEC17	6866.00	6872.42	USD	-321.00	
07SEP17	S	5	PD07DEC17	6868.00	6872.42	USD	-552.50	
15SEP17	B	15	PD07DEC17	6501.20	6872.42	USD	139,207.50	
	NET	0 AVG BGHT 6501.20	SOLD 6865.73				136,700.00	0.00
08SEP17	S	2	PD08DEC17	6826.50	6872.83	USD	-2,316.50	
08SEP17	S	8	PD08DEC17	6825.00	6872.83	USD	-9,566.00	
11SEP17	B	10	PD08DEC17	6726.79	6872.83	USD	36,510.00	
	NET	0 AVG BGHT 6726.79	SOLD 6825.30				24,627.50	0.00
11SEP17	B	2	PD11DEC17	6728.50	6874.08	USD	7,279.00	
11SEP17	B	1	PD11DEC17	6726.00	6874.08	USD	3,702.00	
11SEP17	B	2	PD11DEC17	6730.50	6874.08	USD	7,179.00	
11SEP17	B	3	PD11DEC17	6732.50	6874.08	USD	10,618.50	
11SEP17	B	2	PD11DEC17	6731.50	6874.08	USD	7,129.00	
18SEP17	S	1	PD11DEC17	6542.89	6874.08	USD	-8,279.75	
18SEP17	S	9	PD11DEC17	6541.64	6874.08	USD	-74,799.00	
	NET	0 AVG BGHT 6730.45	SOLD 6541.77				-47,171.25	0.00
13SEP17	B	1	PD13DEC17	6595.50	6874.92	USD	6,985.50	
13SEP17	B	1	PD13DEC17	6592.50	6874.92	USD	7,060.50	
13SEP17	B	3	PD13DEC17	6584.50	6874.92	USD	21,781.50	
15SEP17	S	5	PD13DEC17	6466.39	6874.92	USD	-51,066.25	
	NET	0 AVG BGHT 6588.30	SOLD 6466.39				-15,238.75	0.00
14SEP17	B	1	PD14DEC17	6502.00	6875.33	USD	9,333.25	
14SEP17	B	4	PD14DEC17	6503.00	6875.33	USD	37,233.00	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
14SEP17	B	5	PD14DEC17	6504.00	6875.33	USD	46,416.25	
14SEP17	S	10	PD14DEC17	6502.00	6875.33	USD	-93,332.50	
	NET	0AVG BGHT 6503.40	SOLD 6502.00				-350.00	0.00
15SEP17	S	1	PD15DEC17	6524.00	6875.75	USD	-8,793.75	
15SEP17	S	1	PD15DEC17	6523.00	6875.75	USD	-8,818.75	
15SEP17	S	3	PD15DEC17	6522.00	6875.75	USD	-26,531.25	
15SEP17	S	1	PD15DEC17	6459.00	6875.75	USD	-10,418.75	
15SEP17	B	5	PD15DEC17	6467.53	6875.75	USD	51,027.50	
18SEP17	B	1	PD15DEC17	6544.95	6875.75	USD	8,270.00	
	NET	0AVG BGHT 6480.43	SOLD 6512.00				4,735.00	0.00
18SEP17	S	3	PD18DEC17	6544.00	6877.00	USD	-24,975.00	
18SEP17	S	1	PD18DEC17	6545.00	6877.00	USD	-8,300.00	
18SEP17	S	1	PD18DEC17	6546.00	6877.00	USD	-8,275.00	
18SEP17	S	4	PD18DEC17	6547.00	6877.00	USD	-33,000.00	
18SEP17	B	2	PD18DEC17	6547.00	6877.00	USD	16,500.00	
18SEP17	B	4	PD18DEC17	6545.00	6877.00	USD	33,200.00	
18SEP17	B	2	PD18DEC17	6543.50	6877.00	USD	16,675.00	
18SEP17	B	2	PD18DEC17	6541.50	6877.00	USD	16,775.00	
18SEP17	B	2	PD18DEC17	6540.50	6877.00	USD	16,825.00	
18SEP17	B	1	PD18DEC17	6539.50	6877.00	USD	8,437.50	
18SEP17	B	1	PD18DEC17	6538.50	6877.00	USD	8,462.50	
18SEP17	B	1	PD18DEC17	6536.00	6877.00	USD	8,525.00	
18SEP17	B	2	PD18DEC17	6534.00	6877.00	USD	17,150.00	
18SEP17	B	2	PD18DEC17	6535.00	6877.00	USD	17,100.00	
18SEP17	B	1	PD18DEC17	6545.00	6877.00	USD	8,300.00	
18SEP17	B	9	PD18DEC17	6544.90	6877.00	USD	74,722.50	
19SEP17	S	17	PD18DEC17	6538.60	6877.00	USD	-143,820.00	
25SEP17	S	3	PD18DEC17	6448.29	6877.00	USD	-32,153.25	
	NET	0AVG BGHT 6542.28	SOLD 6531.45				-7,850.75	0.00
19SEP17	S	1	PD19DEC17	6535.50	6877.00	USD	-8,537.50	
19SEP17	S	1	PD19DEC17	6523.50	6877.00	USD	-8,837.50	
19SEP17	S	1	PD19DEC17	6524.50	6877.00	USD	-8,812.50	
19SEP17	S	2	PD19DEC17	6512.50	6877.00	USD	-18,225.00	
19SEP17	S	3	PD19DEC17	6520.00	6877.00	USD	-26,775.00	
19SEP17	S	1	PD19DEC17	6532.50	6877.00	USD	-8,612.50	
19SEP17	S	2	PD19DEC17	6528.00	6877.00	USD	-17,450.00	
19SEP17	S	1	PD19DEC17	6530.00	6877.00	USD	-8,675.00	
19SEP17	S	1	PD19DEC17	6533.50	6877.00	USD	-8,587.50	
19SEP17	S	3	PD19DEC17	6525.50	6877.00	USD	-26,362.50	
19SEP17	S	1	PD19DEC17	6527.00	6877.00	USD	-8,750.00	
19SEP17	B	1	PD19DEC17	6536.00	6877.00	USD	8,525.00	
19SEP17	B	1	PD19DEC17	6541.50	6877.00	USD	8,387.50	
19SEP17	B	3	PD19DEC17	6537.00	6877.00	USD	25,500.00	
19SEP17	B	1	PD19DEC17	6532.50	6877.00	USD	8,612.50	
19SEP17	B	1	PD19DEC17	6533.50	6877.00	USD	8,587.50	
19SEP17	B	4	PD19DEC17	6531.50	6877.00	USD	34,550.00	
19SEP17	B	1	PD19DEC17	6529.50	6877.00	USD	8,687.50	
19SEP17	B	3	PD19DEC17	6530.50	6877.00	USD	25,987.50	
19SEP17	B	7	PD19DEC17	6535.00	6877.00	USD	59,850.00	
19SEP17	B	17	PD19DEC17	6539.20	6877.00	USD	143,565.00	
26SEP17	S	22	PD19DEC17	6409.93	6877.00	USD	-256,888.50	
	NET	0AVG BGHT 6536.23	SOLD 6460.06				-74,261.00	0.00
21SEP17	S	1	PD21DEC17	6455.50	6876.00	USD	-10,512.50	
21SEP17	S	7	PD21DEC17	6461.00	6876.00	USD	-72,625.00	
21SEP17	S	7	PD21DEC17	6460.00	6876.00	USD	-72,800.00	
21SEP17	S	1	PD21DEC17	6460.50	6876.00	USD	-10,387.50	

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21SEP17	S	5	PD21DEC17	6461.50	6876.00	USD	-51,812.50	
21SEP17	S	2	PD21DEC17	6462.50	6876.00	USD	-20,675.00	
21SEP17	S	2	PD21DEC17	6461.50	6876.00	USD	-20,725.00	
21SEP17	B	25	PD21DEC17	6480.20	6876.00	USD	247,375.00	
	NET	0AVG BGHT 6480.20	SOLD 6460.74				-12,162.50	0.00
22SEP17	S	1	PD22DEC17	6469.50	6875.00	USD	-10,137.50	
26SEP17	B	1	PD22DEC17	6409.87	6875.00	USD	11,628.25	
	NET	0AVG BGHT 6409.87	SOLD 6469.50				1,490.75	0.00
25SEP17	S	1	PD27DEC17	6443.50	6876.67	USD	-10,829.25	
25SEP17	S	1	PD27DEC17	6453.50	6876.67	USD	-10,579.25	
25SEP17	S	1	PD27DEC17	6453.00	6876.67	USD	-10,591.75	
25SEP17	B	3	PD27DEC17	6450.20	6876.67	USD	31,985.25	
26SEP17	B	1	PD27DEC17	6496.00	6876.67	USD	9,516.75	
26SEP17	B	1	PD27DEC17	6508.00	6876.67	USD	9,216.75	
26SEP17	B	1	PD27DEC17	6506.00	6876.67	USD	9,266.75	
26SEP17	B	1	PD27DEC17	6503.00	6876.67	USD	9,341.75	
26SEP17	B	5	PD27DEC17	6495.00	6876.67	USD	47,708.75	
26SEP17	B	1	PD27DEC17	6493.50	6876.67	USD	9,579.25	
26SEP17	S	1	PD27DEC17	6458.50	6876.67	USD	-10,454.25	
26SEP17	S	1	PD27DEC17	6459.50	6876.67	USD	-10,429.25	
26SEP17	S	1	PD27DEC17	6435.00	6876.67	USD	-11,041.75	
26SEP17	S	2	PD27DEC17	6432.00	6876.67	USD	-22,233.50	
26SEP17	S	1	PD27DEC17	6434.50	6876.67	USD	-11,054.25	
26SEP17	S	1	PD27DEC17	6435.50	6876.67	USD	-11,029.25	
26SEP17	S	1	PD27DEC17	6439.50	6876.67	USD	-10,929.25	
26SEP17	S	2	PD27DEC17	6438.50	6876.67	USD	-21,908.50	
26SEP17	S	2	PD27DEC17	6436.50	6876.67	USD	-22,008.50	
26SEP17	S	2	PD27DEC17	6440.50	6876.67	USD	-21,808.50	
26SEP17	S	2	PD27DEC17	6433.50	6876.67	USD	-22,158.50	
26SEP17	S	5	PD27DEC17	6432.50	6876.67	USD	-55,521.25	
26SEP17	S	1	PD27DEC17	6431.50	6876.67	USD	-11,129.25	
26SEP17	S	4	PD27DEC17	6430.50	6876.67	USD	-44,617.00	
26SEP17	S	2	PD27DEC17	6429.50	6876.67	USD	-22,358.50	
26SEP17	S	2	PD27DEC17	6428.50	6876.67	USD	-22,408.50	
26SEP17	S	1	PD27DEC17	6428.50	6876.67	USD	-11,204.25	
26SEP17	B	21	PD27DEC17	6413.20	6876.67	USD	243,321.75	
27SEP17	B	1	PD27DEC17	6450.50	6876.67	USD	10,654.25	
27SEP17	B	4	PD27DEC17	6451.50	6876.67	USD	42,517.00	
27SEP17	B	10	PD27DEC17	6454.00	6876.67	USD	105,667.50	
27SEP17	B	1	PD27DEC17	6456.00	6876.67	USD	10,516.75	
27SEP17	B	2	PD27DEC17	6448.50	6876.67	USD	21,408.50	
27SEP17	B	1	PD27DEC17	6459.00	6876.67	USD	10,441.75	
27SEP17	B	2	PD27DEC17	6458.00	6876.67	USD	20,933.50	
27SEP17	B	1	PD27DEC17	6449.50	6876.67	USD	10,679.25	
27SEP17	B	3	PD27DEC17	6455.00	6876.67	USD	31,625.25	
27SEP17	B	1	PD27DEC17	6483.00	6876.67	USD	9,841.75	
27SEP17	B	2	PD27DEC17	6474.00	6876.67	USD	20,133.50	
27SEP17	B	1	PD27DEC17	6472.50	6876.67	USD	10,104.25	
27SEP17	B	1	PD27DEC17	6475.00	6876.67	USD	10,041.75	
27SEP17	B	1	PD27DEC17	6471.50	6876.67	USD	10,129.25	
27SEP17	B	2	PD27DEC17	6471.00	6876.67	USD	20,283.50	
27SEP17	B	2	PD27DEC17	6470.00	6876.67	USD	20,333.50	
27SEP17	B	1	PD27DEC17	6469.50	6876.67	USD	10,179.25	
27SEP17	B	1	PD27DEC17	6463.00	6876.67	USD	10,341.75	
27SEP17	B	3	PD27DEC17	6458.50	6876.67	USD	31,362.75	
27SEP17	B	2	PD27DEC17	6431.00	6876.67	USD	22,283.50	
27SEP17	B	1	PD27DEC17	6444.00	6876.67	USD	10,816.75	

OPEN POSITION STATEMENT



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SAP0089D

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backoffice@sapiencap.com

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
27SEP17	B	2	PD27DEC17	6443.00	6876.67	USD	21,683.50	
27SEP17	B	1	PD27DEC17	6443.50	6876.67	USD	10,829.25	
27SEP17	B	4	PD27DEC17	6445.00	6876.67	USD	43,167.00	
28SEP17	S	10	PD27DEC17	6521.33	6876.67	USD	-88,835.00	
	NET	+40 AVG BGHT 6450.05	SOLD 6455.64				432,782.50	0.00
28SEP17	S	1	PD28DEC17	6425.00	6877.00	USD	-11,300.00	
28SEP17	S	1	PD28DEC17	6424.00	6877.00	USD	-11,325.00	
28SEP17	S	2	PD28DEC17	6426.50	6877.00	USD	-22,525.00	
28SEP17	S	1	PD28DEC17	6429.00	6877.00	USD	-11,200.00	
28SEP17	S	1	PD28DEC17	6439.00	6877.00	USD	-10,950.00	
28SEP17	S	1	PD28DEC17	6440.00	6877.00	USD	-10,925.00	
28SEP17	S	1	PD28DEC17	6436.50	6877.00	USD	-11,012.50	
28SEP17	B	1	PD28DEC17	6425.50	6877.00	USD	11,287.50	
28SEP17	S	1	PD28DEC17	6401.50	6877.00	USD	-11,887.50	
28SEP17	S	1	PD28DEC17	6400.00	6877.00	USD	-11,925.00	
28SEP17	S	1	PD28DEC17	6399.00	6877.00	USD	-11,950.00	
28SEP17	B	2	PD28DEC17	6467.50	6877.00	USD	20,475.00	
28SEP17	B	1	PD28DEC17	6461.50	6877.00	USD	10,387.50	
28SEP17	B	1	PD28DEC17	6460.50	6877.00	USD	10,412.50	
28SEP17	B	1	PD28DEC17	6559.50	6877.00	USD	7,937.50	
28SEP17	B	1	PD28DEC17	6554.50	6877.00	USD	8,062.50	
28SEP17	B	1	PD28DEC17	6553.50	6877.00	USD	8,087.50	
28SEP17	B	1	PD28DEC17	6553.00	6877.00	USD	8,100.00	
28SEP17	B	10	PD28DEC17	6522.20	6877.00	USD	88,700.00	
28SEP17	B	2	PD28DEC17	6522.00	6877.00	USD	17,750.00	
02OCT17	S	10	PD28DEC17	6490.84	6877.00	USD	-96,540.00	
	NET	0 AVG BGHT 6512.81	SOLD 6455.02				-30,340.00	0.00
29SEP17	S	1	PD29DEC17	6539.00	6877.33	USD	-8,458.25	
29SEP17	S	1	PD29DEC17	6502.00	6877.33	USD	-9,383.25	
29SEP17	S	1	PD29DEC17	6503.00	6877.33	USD	-9,358.25	
29SEP17	S	7	PD29DEC17	6503.50	6877.33	USD	-65,420.25	
02OCT17	B	10	PD29DEC17	6491.47	6877.33	USD	96,465.00	
	NET	0 AVG BGHT 6491.47	SOLD 6506.85				3,845.00	0.00
02OCT17	B	1	PD02JAN18	6525.00	6878.67	USD	8,841.75	
02OCT17	B	3	PD02JAN18	6525.50	6878.67	USD	26,487.75	
02OCT17	B	6	PD02JAN18	6526.00	6878.67	USD	52,900.50	
02OCT17	B	1	PD02JAN18	6531.00	6878.67	USD	8,691.75	
02OCT17	B	2	PD02JAN18	6528.00	6878.67	USD	17,533.50	
02OCT17	B	2	PD02JAN18	6527.50	6878.67	USD	17,558.50	
02OCT17	B	2	PD02JAN18	6529.00	6878.67	USD	17,483.50	
02OCT17	B	6	PD02JAN18	6529.50	6878.67	USD	52,375.50	
02OCT17	B	1	PD02JAN18	6531.50	6878.67	USD	8,679.25	
02OCT17	B	1	PD02JAN18	6533.50	6878.67	USD	8,629.25	
02OCT17	B	2	PD02JAN18	6534.50	6878.67	USD	17,208.50	
02OCT17	B	3	PD02JAN18	6537.00	6878.67	USD	25,625.25	
02OCT17	B	2	PD02JAN18	6536.00	6878.67	USD	17,133.50	
02OCT17	B	3	PD02JAN18	6535.00	6878.67	USD	25,775.25	
02OCT17	B	5	PD02JAN18	6530.00	6878.67	USD	43,583.75	
02OCT17	B	3	PD02JAN18	6527.00	6878.67	USD	26,375.25	
02OCT17	B	3	PD02JAN18	6532.50	6878.67	USD	25,962.75	
02OCT17	B	2	PD02JAN18	6532.00	6878.67	USD	17,333.50	
02OCT17	B	2	PD02JAN18	6530.50	6878.67	USD	17,408.50	
03OCT17	S	28	PD02JAN18	6519.65	6878.67	USD	-251,314.00	
04OCT17	S	7	PD02JAN18	6520.38	6878.67	USD	-62,700.75	
05OCT17	S	4	PD02JAN18	6683.58	6878.67	USD	-19,509.00	
	NET	+11 AVG BGHT 6530.20	SOLD 6536.59				102,063.75	0.00

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03OCT17	S	1	PD03JAN18	6463.50	6879.00	USD	-10,387.50	
03OCT17	S	1	PD03JAN18	6463.00	6879.00	USD	-10,400.00	
03OCT17	S	1	PD03JAN18	6462.00	6879.00	USD	-10,425.00	
03OCT17	S	4	PD03JAN18	6458.00	6879.00	USD	-42,100.00	
03OCT17	S	3	PD03JAN18	6462.50	6879.00	USD	-31,237.50	
03OCT17	S	5	PD03JAN18	6464.00	6879.00	USD	-51,875.00	
03OCT17	S	2	PD03JAN18	6468.00	6879.00	USD	-20,550.00	
03OCT17	S	1	PD03JAN18	6461.50	6879.00	USD	-10,437.50	
03OCT17	S	2	PD03JAN18	6464.50	6879.00	USD	-20,725.00	
03OCT17	S	1	PD03JAN18	6450.00	6879.00	USD	-10,725.00	
03OCT17	S	1	PD03JAN18	6447.50	6879.00	USD	-10,787.50	
03OCT17	S	3	PD03JAN18	6445.00	6879.00	USD	-32,550.00	
03OCT17	S	1	PD03JAN18	6452.00	6879.00	USD	-10,675.00	
03OCT17	S	1	PD03JAN18	6457.50	6879.00	USD	-10,537.50	
03OCT17	S	1	PD03JAN18	6468.50	6879.00	USD	-10,262.50	
03OCT17	B	28	PD03JAN18	6520.20	6879.00	USD	251,160.00	
	NET	0AVG BGHT 6520.20	SOLD 6459.46				-42,515.00	0.00
04OCT17	S	1	PD04JAN18	6524.00	6879.33	USD	-8,883.25	
04OCT17	B	1	PD04JAN18	6518.00	6879.33	USD	9,033.25	
04OCT17	S	1	PD04JAN18	6506.50	6879.33	USD	-9,320.75	
04OCT17	S	1	PD04JAN18	6513.00	6879.33	USD	-9,158.25	
04OCT17	S	2	PD04JAN18	6512.00	6879.33	USD	-18,366.50	
04OCT17	S	1	PD04JAN18	6522.00	6879.33	USD	-8,933.25	
04OCT17	S	1	PD04JAN18	6529.50	6879.33	USD	-8,745.75	
04OCT17	S	1	PD04JAN18	6532.50	6879.33	USD	-8,670.75	
04OCT17	S	1	PD04JAN18	6511.50	6879.33	USD	-9,195.75	
04OCT17	B	7	PD04JAN18	6521.20	6879.33	USD	62,672.75	
04OCT17	S	3	PD04JAN18	6510.50	6879.33	USD	-27,662.25	
05OCT17	B	4	PD04JAN18	6684.32	6879.33	USD	19,501.00	
	NET	0AVG BGHT 6575.31	SOLD 6516.21				-17,729.50	0.00
05OCT17	B	1	PD05JAN18	6565.50	6879.67	USD	7,854.25	
05OCT17	B	1	PD05JAN18	6563.50	6879.67	USD	7,904.25	
05OCT17	B	1	PD05JAN18	6562.00	6879.67	USD	7,941.75	
05OCT17	B	2	PD05JAN18	6559.00	6879.67	USD	16,033.50	
05OCT17	B	1	PD05JAN18	6557.50	6879.67	USD	8,054.25	
05OCT17	B	1	PD05JAN18	6555.50	6879.67	USD	8,104.25	
05OCT17	B	2	PD05JAN18	6554.50	6879.67	USD	16,258.50	
05OCT17	B	1	PD05JAN18	6558.50	6879.67	USD	8,029.25	
05OCT17	B	1	PD05JAN18	6560.00	6879.67	USD	7,991.75	
05OCT17	B	2	PD05JAN18	6630.50	6879.67	USD	12,458.50	
05OCT17	B	1	PD05JAN18	6631.50	6879.67	USD	6,204.25	
05OCT17	B	1	PD05JAN18	6638.50	6879.67	USD	6,029.25	
05OCT17	B	1	PD05JAN18	6645.00	6879.67	USD	5,866.75	
05OCT17	B	1	PD05JAN18	6659.00	6879.67	USD	5,516.75	
05OCT17	B	1	PD05JAN18	6660.50	6879.67	USD	5,479.25	
05OCT17	B	1	PD05JAN18	6667.00	6879.67	USD	5,316.75	
05OCT17	B	1	PD05JAN18	6661.50	6879.67	USD	5,454.25	
05OCT17	B	2	PD05JAN18	6666.00	6879.67	USD	10,683.50	
05OCT17	B	1	PD05JAN18	6673.50	6879.67	USD	5,154.25	
05OCT17	B	1	PD05JAN18	6683.50	6879.67	USD	4,904.25	
05OCT17	B	1	PD05JAN18	6684.50	6879.67	USD	4,879.25	
05OCT17	B	3	PD05JAN18	6682.50	6879.67	USD	14,787.75	
05OCT17	B	3	PD05JAN18	6681.50	6879.67	USD	14,862.75	
05OCT17	B	1	PD05JAN18	6679.00	6879.67	USD	5,016.75	
05OCT17	B	2	PD05JAN18	6677.00	6879.67	USD	10,133.50	
05OCT17	B	1	PD05JAN18	6679.50	6879.67	USD	5,004.25	
05OCT17	B	1	PD05JAN18	6683.50	6879.67	USD	4,904.25	

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05OCT17	B	1	PD05JAN18	6666.00	6879.67	USD	5,341.75	
05OCT17	B	1	PD05JAN18	6680.50	6879.67	USD	4,979.25	
05OCT17	B	3	PD05JAN18	6690.00	6879.67	USD	14,225.25	
05OCT17	B	1	PD05JAN18	6686.00	6879.67	USD	4,841.75	
05OCT17	B	2	PD05JAN18	6675.00	6879.67	USD	10,233.50	
06OCT17	S	2	PD05JAN18	6694.50	6879.67	USD	-9,258.50	
06OCT17	S	1	PD05JAN18	6699.50	6879.67	USD	-4,504.25	
06OCT17	S	1	PD05JAN18	6700.00	6879.67	USD	-4,491.75	
06OCT17	S	1	PD05JAN18	6711.00	6879.67	USD	-4,216.75	
06OCT17	S	1	PD05JAN18	6707.00	6879.67	USD	-4,316.75	
06OCT17	S	1	PD05JAN18	6706.50	6879.67	USD	-4,329.25	
06OCT17	B	1	PD05JAN18	6701.00	6879.67	USD	4,466.75	
06OCT17	B	1	PD05JAN18	6689.50	6879.67	USD	4,754.25	
06OCT17	S	1	PD05JAN18	6657.00	6879.67	USD	-5,566.75	
06OCT17	S	1	PD05JAN18	6661.00	6879.67	USD	-5,466.75	
06OCT17	S	1	PD05JAN18	6659.50	6879.67	USD	-5,504.25	
06OCT17	S	1	PD05JAN18	6657.50	6879.67	USD	-5,554.25	
06OCT17	S	2	PD05JAN18	6658.50	6879.67	USD	-11,058.50	
06OCT17	S	2	PD05JAN18	6658.00	6879.67	USD	-11,083.50	
06OCT17	S	3	PD05JAN18	6663.50	6879.67	USD	-16,212.75	
06OCT17	S	2	PD05JAN18	6664.50	6879.67	USD	-10,758.50	
06OCT17	S	6	PD05JAN18	6659.00	6879.67	USD	-33,100.50	
06OCT17	S	3	PD05JAN18	6660.00	6879.67	USD	-16,475.25	
06OCT17	S	3	PD05JAN18	6656.50	6879.67	USD	-16,737.75	
	NET	+14 AVG BGHT 6645.17	SOLD 6668.88				101,034.50	0.00
09OCT17	S	1	PD09JAN18	6672.00	6881.00	USD	-5,225.00	
09OCT17	S	1	PD09JAN18	6676.50	6881.00	USD	-5,112.50	
09OCT17	S	3	PD09JAN18	6681.00	6881.00	USD	-15,000.00	
09OCT17	S	1	PD09JAN18	6658.00	6881.00	USD	-5,575.00	
09OCT17	S	2	PD09JAN18	6679.00	6881.00	USD	-10,100.00	
09OCT17	S	2	PD09JAN18	6665.00	6881.00	USD	-10,800.00	
09OCT17	S	3	PD09JAN18	6674.50	6881.00	USD	-15,487.50	
09OCT17	S	1	PD09JAN18	6650.50	6881.00	USD	-5,762.50	
09OCT17	S	3	PD09JAN18	6664.00	6881.00	USD	-16,275.00	
09OCT17	S	1	PD09JAN18	6673.50	6881.00	USD	-5,187.50	
09OCT17	S	2	PD09JAN18	6681.50	6881.00	USD	-9,975.00	
09OCT17	S	3	PD09JAN18	6668.50	6881.00	USD	-15,937.50	
09OCT17	S	1	PD09JAN18	6674.00	6881.00	USD	-5,175.00	
09OCT17	S	1	PD09JAN18	6670.50	6881.00	USD	-5,262.50	
09OCT17	S	2	PD09JAN18	6682.50	6881.00	USD	-9,925.00	
09OCT17	S	1	PD09JAN18	6650.00	6881.00	USD	-5,775.00	
	NET	-28 AVG SOLD 6671.61					-146,575.00	0.00
10OCT17	B	1	PD10JAN18	6739.50	6881.33	USD	3,545.75	
10OCT17	B	3	PD10JAN18	6743.00	6881.33	USD	10,374.75	
10OCT17	B	7	PD10JAN18	6742.50	6881.33	USD	24,295.25	
10OCT17	B	2	PD10JAN18	6741.00	6881.33	USD	7,016.50	
10OCT17	B	6	PD10JAN18	6739.00	6881.33	USD	21,349.50	
10OCT17	B	4	PD10JAN18	6741.50	6881.33	USD	13,983.00	
10OCT17	B	5	PD10JAN18	6740.50	6881.33	USD	17,603.75	
10OCT17	B	2	PD10JAN18	6738.00	6881.33	USD	7,166.50	
10OCT17	B	7	PD10JAN18	6740.00	6881.33	USD	24,732.75	
10OCT17	B	1	PD10JAN18	6737.50	6881.33	USD	3,595.75	
10OCT17	B	3	PD10JAN18	6737.00	6881.33	USD	10,824.75	
10OCT17	B	2	PD10JAN18	6742.00	6881.33	USD	6,966.50	
10OCT17	B	2	PD10JAN18	6743.00	6881.33	USD	6,916.50	
10OCT17	B	1	PD10JAN18	6748.00	6881.33	USD	3,333.25	
10OCT17	B	1	PD10JAN18	6747.50	6881.33	USD	3,345.75	

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100CT17	B	1	PD10JAN18	6752.50	6881.33	USD	3,220.75	
100CT17	B	1	PD10JAN18	6753.50	6881.33	USD	3,195.75	
100CT17	B	3	PD10JAN18	6752.00	6881.33	USD	9,699.75	
100CT17	B	1	PD10JAN18	6754.00	6881.33	USD	3,183.25	
	NET	+53 AVG	BGHT 6742.20				184,349.75	0.00
110CT17	B	1	PD11JAN18	6809.50	6881.67	USD	1,804.25	
110CT17	B	1	PD11JAN18	6793.00	6881.67	USD	2,216.75	
	NET	+2 AVG	BGHT 6801.25				4,021.00	0.00
120CT17	S	1	PD12JAN18	6812.50	6882.00	USD	-1,737.50	
	NET	-1 AVG	SOLD 6812.50				-1,737.50	0.00
	<u>CMX COPPER 25,000 CMX</u>							
050CT17	S	2	DEC17	297.950	313.350	USD	-7,700.00	
050CT17	S	2	DEC17	298.100	313.350	USD	-7,625.00	
050CT17	S	2	DEC17	298.150	313.350	USD	-7,600.00	
050CT17	S	2	DEC17	301.300	313.350	USD	-6,025.00	
050CT17	S	1	DEC17	301.250	313.350	USD	-3,025.00	
050CT17	S	4	DEC17	301.400	313.350	USD	-11,950.00	
050CT17	S	1	DEC17	301.750	313.350	USD	-2,900.00	
050CT17	S	1	DEC17	301.800	313.350	USD	-2,887.50	
050CT17	S	2	DEC17	302.050	313.350	USD	-5,650.00	
050CT17	S	2	DEC17	302.650	313.350	USD	-5,350.00	
050CT17	S	1	DEC17	302.700	313.350	USD	-2,662.50	
050CT17	S	2	DEC17	302.750	313.350	USD	-5,300.00	
050CT17	S	2	DEC17	302.800	313.350	USD	-5,275.00	
050CT17	S	4	DEC17	303.050	313.350	USD	-10,300.00	
050CT17	S	5	DEC17	303.000	313.350	USD	-12,937.50	
050CT17	S	2	DEC17	303.350	313.350	USD	-5,000.00	
050CT17	S	4	DEC17	303.900	313.350	USD	-9,450.00	
050CT17	S	5	DEC17	303.850	313.350	USD	-11,875.00	
050CT17	S	2	DEC17	303.950	313.350	USD	-4,700.00	
050CT17	S	6	DEC17	303.800	313.350	USD	-14,325.00	
050CT17	S	5	DEC17	303.750	313.350	USD	-12,000.00	
050CT17	S	2	DEC17	303.650	313.350	USD	-4,850.00	
050CT17	S	2	DEC17	303.600	313.350	USD	-4,875.00	
050CT17	S	4	DEC17	303.550	313.350	USD	-9,800.00	
050CT17	S	3	DEC17	303.500	313.350	USD	-7,387.50	
050CT17	S	4	DEC17	303.700	313.350	USD	-9,650.00	
050CT17	S	6	DEC17	304.150	313.350	USD	-13,800.00	
050CT17	S	1	DEC17	304.250	313.350	USD	-2,275.00	
100CT17	S	3	DEC17	306.000	313.350	USD	-5,512.50	
100CT17	S	19	DEC17	306.250	313.350	USD	-33,725.00	
100CT17	S	12	DEC17	306.200	313.350	USD	-21,450.00	
100CT17	S	12	DEC17	306.050	313.350	USD	-21,900.00	
100CT17	S	29	DEC17	306.150	313.350	USD	-52,200.00	
100CT17	S	16	DEC17	306.100	313.350	USD	-29,000.00	
100CT17	S	6	DEC17	305.950	313.350	USD	-11,100.00	
100CT17	S	2	DEC17	305.900	313.350	USD	-3,725.00	
100CT17	S	5	DEC17	306.450	313.350	USD	-8,625.00	
100CT17	S	4	DEC17	306.650	313.350	USD	-6,700.00	
100CT17	S	7	DEC17	306.700	313.350	USD	-11,637.50	
100CT17	S	2	DEC17	306.800	313.350	USD	-3,275.00	
110CT17	S	2	DEC17	309.350	313.350	USD	-2,000.00	
110CT17	S	2	DEC17	308.650	313.350	USD	-2,350.00	
	NET	-200 AVG	SOLD 304.943				-420,375.00	0.00

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0089D

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
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OPEN POSITION VALUE

		USD
LME Copper Grade A 25	FUTURES	-14,940,524.50
CMX Copper	FUTURES	15,247,125.00

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options	Amount	Profit / Loss	Total Amount	Total P&L
COPR - Futures USD	-420,375.00	-420,375.00	-420,375.00	-420,375.00
Unrealised Profits & Losses - Forwards	Amount	Profit / Loss	Total Amount	Total P&L
COPL - Futures USD	708,760.00	708,760.00	708,760.00	708,760.00

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
CASH BALANCE	(1.00000)	(1.00000)
Variation Margins - Futures	-298,012.95	-298,012.95
- Options	0.00	0.00
TOTAL EQUITY	-718,387.95	-718,387.95
Initial Margins	-1,062,981.00	-1,062,981.00
Excess/(Shortage)	-1,781,368.95	-1,781,368.95
FOR INFORMATION ONLY		
Forward Unrealised P&L	708,760.00	708,760.00
Net Liquidating Value	-9,627.95	-9,627.95

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
17JUL17	B	3	PD17OCT17	6007.50	6859.00	USD	63,862.50	
17JUL17	B	1	PD17OCT17	6008.50	6859.00	USD	21,262.50	
29SEP17	S	4	PD17OCT17	6447.25	6859.00	USD	-41,175.00	
	NET	0 AVG BGHT 6007.75	SOLD 6447.25				43,950.00	0.00
18JUL17	B	1	PD18OCT17	6001.00	6859.50	USD	21,462.50	
18JUL17	B	2	PD18OCT17	6004.00	6859.50	USD	42,775.00	
18JUL17	B	3	PD18OCT17	6006.50	6859.50	USD	63,975.00	
18JUL17	B	4	PD18OCT17	6007.00	6859.50	USD	85,250.00	
29SEP17	S	10	PD18OCT17	6447.25	6859.50	USD	-103,062.50	
	NET	0 AVG BGHT 6005.65	SOLD 6447.25				110,400.00	0.00
24JUL17	S	5	PD24OCT17	6001.50	6857.50	USD	-107,000.00	
24JUL17	S	2	PD24OCT17	5999.50	6857.50	USD	-42,900.00	
24JUL17	S	3	PD24OCT17	5998.50	6857.50	USD	-64,425.00	
14AUG17	B	10	PD24OCT17	6389.62	6857.50	USD	116,970.00	
	NET	0 AVG BGHT 6389.62	SOLD 6000.20				-97,355.00	0.00
25JUL17	B	10	PD25OCT17	6173.00	6857.50	USD	171,125.00	
29SEP17	S	10	PD25OCT17	6452.86	6857.50	USD	-101,160.00	
	NET	0 AVG BGHT 6173.00	SOLD 6452.86				69,965.00	0.00
28JUL17	S	1	PD27OCT17	6323.50	6857.50	USD	-13,350.00	
28JUL17	S	1	PD27OCT17	6327.50	6857.50	USD	-13,250.00	
28JUL17	S	1	PD27OCT17	6326.50	6857.50	USD	-13,275.00	
28JUL17	S	2	PD27OCT17	6325.50	6857.50	USD	-26,600.00	
28JUL17	S	4	PD27OCT17	6329.00	6857.50	USD	-52,850.00	
28JUL17	S	1	PD27OCT17	6328.00	6857.50	USD	-13,237.50	
28JUL17	S	1	PD27OCT17	6331.50	6857.50	USD	-13,150.00	
28JUL17	S	2	PD27OCT17	6332.50	6857.50	USD	-26,250.00	
28JUL17	S	1	PD27OCT17	6333.50	6857.50	USD	-13,100.00	
28JUL17	S	3	PD27OCT17	6336.00	6857.50	USD	-39,112.50	
28JUL17	S	2	PD27OCT17	6337.00	6857.50	USD	-26,025.00	
28JUL17	S	1	PD27OCT17	6329.00	6857.50	USD	-13,212.50	
14AUG17	B	20	PD27OCT17	6390.81	6857.50	USD	233,345.00	
	NET	0 AVG BGHT 6390.81	SOLD 6330.68				-30,067.50	0.00
01AUG17	B	4	PD01NOV17	6382.00	6859.05	USD	47,705.00	
01AUG17	B	1	PD01NOV17	6381.50	6859.05	USD	11,938.75	
05OCT17	S	5	PD01NOV17	6676.56	6859.05	USD	-22,811.25	
	NET	0 AVG BGHT 6381.90	SOLD 6676.56				36,832.50	0.00
02AUG17	S	1	PD02NOV17	6329.50	6859.82	USD	-13,258.00	
02AUG17	S	2	PD02NOV17	6330.50	6859.82	USD	-26,466.00	
02AUG17	S	2	PD02NOV17	6334.00	6859.82	USD	-26,291.00	
02AUG17	S	1	PD02NOV17	6332.50	6859.82	USD	-13,183.00	
02AUG17	S	4	PD02NOV17	6332.00	6859.82	USD	-52,782.00	
02AUG17	B	10	PD02NOV17	6333.50	6859.82	USD	131,580.00	
02AUG17	B	1	PD02NOV17	6334.00	6859.82	USD	13,145.50	
02AUG17	B	2	PD02NOV17	6335.00	6859.82	USD	26,241.00	
02AUG17	B	1	PD02NOV17	6334.50	6859.82	USD	13,133.00	
02AUG17	B	8	PD02NOV17	6335.50	6859.82	USD	104,864.00	
02AUG17	B	3	PD02NOV17	6336.50	6859.82	USD	39,249.00	
05OCT17	S	15	PD02NOV17	6677.38	6859.82	USD	-68,415.00	
	NET	0 AVG BGHT 6334.68	SOLD 6539.19				127,817.50	0.00
04AUG17	S	1	PD03NOV17	6365.00	6860.59	USD	-12,389.75	
04AUG17	S	1	PD03NOV17	6361.50	6860.59	USD	-12,477.25	
04AUG17	S	1	PD03NOV17	6360.00	6860.59	USD	-12,514.75	
04AUG17	S	1	PD03NOV17	6359.00	6860.59	USD	-12,539.75	
04AUG17	S	3	PD03NOV17	6362.00	6860.59	USD	-37,394.25	
04AUG17	S	4	PD03NOV17	6361.00	6860.59	USD	-49,959.00	

OPEN POSITION STATEMENT



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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
04AUG17	S	2	PD03NOV17	6362.50	6860.59	USD	-24,904.50	
04AUG17	S	2	PD03NOV17	6363.50	6860.59	USD	-24,854.50	
04AUG17	S	10	PD03NOV17	6364.50	6860.59	USD	-124,022.50	
14AUG17	B	25	PD03NOV17	6393.29	6860.59	USD	292,062.50	
	NET	0 AVG BGHT 6393.29	SOLD 6362.90				-18,993.75	0.00
07AUG17	B	1	PD07NOV17	6371.00	6863.68	USD	12,317.00	
07AUG17	B	2	PD07NOV17	6368.50	6863.68	USD	24,759.00	
07AUG17	B	3	PD07NOV17	6367.50	6863.68	USD	37,213.50	
07AUG17	B	4	PD07NOV17	6365.50	6863.68	USD	49,818.00	
07AUG17	B	2	PD07NOV17	6364.00	6863.68	USD	24,984.00	
07AUG17	B	3	PD07NOV17	6363.00	6863.68	USD	37,551.00	
07AUG17	B	2	PD07NOV17	6427.00	6863.68	USD	21,834.00	
07AUG17	B	2	PD07NOV17	6425.00	6863.68	USD	21,934.00	
07AUG17	B	6	PD07NOV17	6426.00	6863.68	USD	65,652.00	
05OCT17	S	25	PD07NOV17	6681.44	6863.68	USD	-113,900.00	
	NET	0 AVG BGHT 6389.98	SOLD 6681.44				182,162.50	0.00
14AUG17	B	37	PD14NOV17	6397.20	6864.67	USD	432,409.75	
05OCT17	S	37	PD14NOV17	6682.68	6864.67	USD	-168,340.75	
	NET	0 AVG BGHT 6397.20	SOLD 6682.68				264,069.00	0.00
25AUG17	B	4	PD24NOV17	6666.20	6866.73	USD	20,053.00	
05OCT17	S	4	PD24NOV17	6686.78	6866.73	USD	-17,995.00	
	NET	0 AVG BGHT 6666.20	SOLD 6686.78				2,058.00	0.00
29AUG17	S	1	PD29NOV17	6821.50	6869.07	USD	-1,189.25	
29AUG17	S	2	PD29NOV17	6802.50	6869.07	USD	-3,328.50	
29AUG17	S	4	PD29NOV17	6800.00	6869.07	USD	-6,907.00	
29AUG17	S	3	PD29NOV17	6803.50	6869.07	USD	-4,917.75	
29AUG17	S	1	PD29NOV17	6804.50	6869.07	USD	-1,614.25	
29AUG17	S	1	PD29NOV17	6797.00	6869.07	USD	-1,801.75	
29AUG17	S	2	PD29NOV17	6798.00	6869.07	USD	-3,553.50	
29AUG17	S	1	PD29NOV17	6794.00	6869.07	USD	-1,876.75	
29AUG17	S	1	PD29NOV17	6792.50	6869.07	USD	-1,914.25	
29AUG17	S	3	PD29NOV17	6793.50	6869.07	USD	-5,667.75	
29AUG17	S	2	PD29NOV17	6799.50	6869.07	USD	-3,478.50	
29AUG17	S	29	PD29NOV17	6799.00	6869.07	USD	-50,800.75	
29AUG17	S	3	PD29NOV17	6800.50	6869.07	USD	-5,142.75	
29AUG17	S	2	PD29NOV17	6801.50	6869.07	USD	-3,378.50	
20SEP17	B	20	PD29NOV17	6518.83	6869.07	USD	175,120.00	
25SEP17	B	20	PD29NOV17	6439.02	6869.07	USD	215,025.00	
29SEP17	B	15	PD29NOV17	6470.53	6869.07	USD	149,452.50	
	NET	0 AVG BGHT 6476.64	SOLD 6799.56				444,026.25	0.00
30AUG17	S	2	PD30NOV17	6778.00	6869.53	USD	-4,576.50	
30AUG17	S	6	PD30NOV17	6777.00	6869.53	USD	-13,879.50	
30AUG17	S	3	PD30NOV17	6775.50	6869.53	USD	-7,052.25	
30AUG17	S	1	PD30NOV17	6775.00	6869.53	USD	-2,363.25	
30AUG17	S	2	PD30NOV17	6774.50	6869.53	USD	-4,751.50	
30AUG17	S	2	PD30NOV17	6779.00	6869.53	USD	-4,526.50	
30AUG17	S	4	PD30NOV17	6781.00	6869.53	USD	-8,853.00	
30AUG17	S	1	PD30NOV17	6782.50	6869.53	USD	-2,175.75	
30AUG17	S	1	PD30NOV17	6779.50	6869.53	USD	-2,250.75	
30AUG17	S	3	PD30NOV17	6777.50	6869.53	USD	-6,902.25	
30AUG17	B	7	PD30NOV17	6785.00	6869.53	USD	14,792.75	
30AUG17	B	7	PD30NOV17	6786.00	6869.53	USD	14,617.75	
30AUG17	B	6	PD30NOV17	6789.50	6869.53	USD	12,004.50	
30AUG17	B	4	PD30NOV17	6787.00	6869.53	USD	8,253.00	
30AUG17	B	4	PD30NOV17	6791.00	6869.53	USD	7,853.00	
30AUG17	B	1	PD30NOV17	6790.00	6869.53	USD	1,988.25	

OPEN POSITION STATEMENT



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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
30AUG17	B	2	PD30NOV17	6787.50	6869.53	USD	4,101.50	
30AUG17	B	3	PD30NOV17	6786.50	6869.53	USD	6,227.25	
30AUG17	B	5	PD30NOV17	6788.00	6869.53	USD	10,191.25	
30AUG17	B	1	PD30NOV17	6789.00	6869.53	USD	2,013.25	
30AUG17	B	2	PD30NOV17	6792.50	6869.53	USD	3,851.50	
30AUG17	B	19	PD30NOV17	6791.50	6869.53	USD	37,064.25	
30AUG17	B	14	PD30NOV17	6790.50	6869.53	USD	27,660.50	
30AUG17	B	1	PD30NOV17	6788.00	6869.53	USD	2,038.25	
30AUG17	B	1	PD30NOV17	6789.00	6869.53	USD	2,013.25	
30AUG17	B	1	PD30NOV17	6790.50	6869.53	USD	1,975.75	
30AUG17	B	2	PD30NOV17	6791.50	6869.53	USD	3,901.50	
	NET	+55 AVG BGHT 6789.26	SOLD 6777.80				103,216.25	0.00
07SEP17	S	1	PD07DEC17	6865.00	6872.42	USD	-185.50	
07SEP17	S	4	PD07DEC17	6866.00	6872.42	USD	-642.00	
07SEP17	S	2	PD07DEC17	6867.00	6872.42	USD	-271.00	
07SEP17	S	7	PD07DEC17	6868.00	6872.42	USD	-773.50	
07SEP17	S	1	PD07DEC17	6868.00	6872.42	USD	-110.50	
07SEP17	S	3	PD07DEC17	6880.00	6872.42	USD	568.50	
07SEP17	S	7	PD07DEC17	6881.00	6872.42	USD	1,501.50	
29SEP17	B	9	PD07DEC17	6473.38	6872.42	USD	89,784.00	
05OCT17	B	16	PD07DEC17	6693.74	6872.42	USD	71,472.00	
	NET	0 AVG BGHT 6614.41	SOLD 6872.56				161,343.50	0.00
08SEP17	S	1	PD08DEC17	6833.50	6872.83	USD	-983.25	
08SEP17	S	1	PD08DEC17	6832.50	6872.83	USD	-1,008.25	
08SEP17	S	4	PD08DEC17	6834.50	6872.83	USD	-3,833.00	
08SEP17	S	7	PD08DEC17	6835.50	6872.83	USD	-6,532.75	
08SEP17	S	1	PD08DEC17	6832.00	6872.83	USD	-1,020.75	
08SEP17	S	3	PD08DEC17	6835.00	6872.83	USD	-2,837.25	
08SEP17	S	2	PD08DEC17	6836.50	6872.83	USD	-1,816.50	
08SEP17	S	1	PD08DEC17	6839.00	6872.83	USD	-845.75	
05OCT17	B	20	PD08DEC17	6694.20	6872.83	USD	89,315.00	
	NET	0 AVG BGHT 6694.20	SOLD 6835.08				70,437.50	0.00
18SEP17	S	1	PD18DEC17	6553.00	6877.00	USD	-8,100.00	
18SEP17	S	1	PD18DEC17	6550.00	6877.00	USD	-8,175.00	
18SEP17	S	3	PD18DEC17	6554.00	6877.00	USD	-24,225.00	
18SEP17	S	3	PD18DEC17	6556.50	6877.00	USD	-24,037.50	
18SEP17	S	1	PD18DEC17	6553.50	6877.00	USD	-8,087.50	
18SEP17	S	3	PD18DEC17	6552.00	6877.00	USD	-24,375.00	
18SEP17	S	1	PD18DEC17	6551.50	6877.00	USD	-8,137.50	
18SEP17	S	2	PD18DEC17	6548.50	6877.00	USD	-16,425.00	
18SEP17	S	1	PD18DEC17	6548.00	6877.00	USD	-8,225.00	
18SEP17	S	7	PD18DEC17	6546.50	6877.00	USD	-57,837.50	
18SEP17	S	1	PD18DEC17	6546.00	6877.00	USD	-8,275.00	
18SEP17	S	1	PD18DEC17	6538.00	6877.00	USD	-8,475.00	
18SEP17	S	10	PD18DEC17	6539.00	6877.00	USD	-84,500.00	
18SEP17	S	4	PD18DEC17	6536.00	6877.00	USD	-34,100.00	
18SEP17	S	1	PD18DEC17	6537.50	6877.00	USD	-8,487.50	
18SEP17	S	1	PD18DEC17	6540.50	6877.00	USD	-8,412.50	
18SEP17	S	6	PD18DEC17	6541.50	6877.00	USD	-50,325.00	
18SEP17	S	1	PD18DEC17	6543.50	6877.00	USD	-8,337.50	
18SEP17	S	2	PD18DEC17	6545.50	6877.00	USD	-16,575.00	
18SEP17	S	1	PD18DEC17	6545.00	6877.00	USD	-8,300.00	
18SEP17	S	2	PD18DEC17	6538.50	6877.00	USD	-16,925.00	
18SEP17	S	2	PD18DEC17	6543.00	6877.00	USD	-16,700.00	
18SEP17	S	2	PD18DEC17	6539.50	6877.00	USD	-16,875.00	
18SEP17	S	2	PD18DEC17	6537.00	6877.00	USD	-17,000.00	

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18SEP17	S	1	PD18DEC17	6541.00	6877.00	USD	-8,400.00	
18SEP17	S	4	PD18DEC17	6542.50	6877.00	USD	-33,450.00	
18SEP17	S	3	PD18DEC17	6544.50	6877.00	USD	-24,937.50	
18SEP17	S	2	PD18DEC17	6555.50	6877.00	USD	-16,075.00	
18SEP17	S	1	PD18DEC17	6557.50	6877.00	USD	-7,987.50	
18SEP17	S	4	PD18DEC17	6566.50	6877.00	USD	-31,050.00	
18SEP17	S	1	PD18DEC17	6562.00	6877.00	USD	-7,875.00	
18SEP17	S	2	PD18DEC17	6563.00	6877.00	USD	-15,700.00	
18SEP17	S	3	PD18DEC17	6564.50	6877.00	USD	-23,437.50	
18SEP17	S	4	PD18DEC17	6565.50	6877.00	USD	-31,150.00	
18SEP17	S	1	PD18DEC17	6565.00	6877.00	USD	-7,800.00	
18SEP17	S	1	PD18DEC17	6566.00	6877.00	USD	-7,775.00	
18SEP17	S	3	PD18DEC17	6563.50	6877.00	USD	-23,512.50	
18SEP17	S	2	PD18DEC17	6567.00	6877.00	USD	-15,500.00	
18SEP17	S	1	PD18DEC17	6569.50	6877.00	USD	-7,687.50	
18SEP17	S	1	PD18DEC17	6575.00	6877.00	USD	-7,550.00	
18SEP17	S	3	PD18DEC17	6579.00	6877.00	USD	-22,350.00	
18SEP17	S	1	PD18DEC17	6570.50	6877.00	USD	-7,662.50	
18SEP17	S	2	PD18DEC17	6572.50	6877.00	USD	-15,225.00	
18SEP17	S	1	PD18DEC17	6573.50	6877.00	USD	-7,587.50	
18SEP17	S	2	PD18DEC17	6571.50	6877.00	USD	-15,275.00	
18SEP17	S	2	PD18DEC17	6568.00	6877.00	USD	-15,450.00	
18SEP17	S	1	PD18DEC17	6538.00	6877.00	USD	-8,475.00	
05OCT17	B	50	PD18DEC17	6699.70	6877.00	USD	221,625.00	
	NET	-55 AVG BGHT 6699.70	SOLD 6552.11				-631,200.00	0.00
19SEP17	B	1	PD19DEC17	6518.50	6877.00	USD	8,962.50	
19SEP17	B	4	PD19DEC17	6519.50	6877.00	USD	35,750.00	
19SEP17	B	9	PD19DEC17	6520.50	6877.00	USD	80,212.50	
19SEP17	B	6	PD19DEC17	6521.50	6877.00	USD	53,325.00	
19SEP17	B	8	PD19DEC17	6523.00	6877.00	USD	70,800.00	
19SEP17	B	11	PD19DEC17	6524.00	6877.00	USD	97,075.00	
19SEP17	B	4	PD19DEC17	6525.00	6877.00	USD	35,200.00	
19SEP17	B	1	PD19DEC17	6526.00	6877.00	USD	8,775.00	
19SEP17	B	1	PD19DEC17	6527.00	6877.00	USD	8,750.00	
	NET	+45 AVG BGHT 6522.47					398,850.00	0.00
21SEP17	S	2	PD21DEC17	6452.00	6876.00	USD	-21,200.00	
21SEP17	S	2	PD21DEC17	6454.50	6876.00	USD	-21,075.00	
21SEP17	S	4	PD21DEC17	6456.50	6876.00	USD	-41,950.00	
21SEP17	S	1	PD21DEC17	6457.50	6876.00	USD	-10,462.50	
21SEP17	S	1	PD21DEC17	6459.00	6876.00	USD	-10,425.00	
21SEP17	S	1	PD21DEC17	6462.00	6876.00	USD	-10,350.00	
21SEP17	S	1	PD21DEC17	6465.50	6876.00	USD	-10,262.50	
21SEP17	S	1	PD21DEC17	6466.50	6876.00	USD	-10,237.50	
21SEP17	S	4	PD21DEC17	6467.50	6876.00	USD	-40,850.00	
21SEP17	S	2	PD21DEC17	6463.00	6876.00	USD	-20,650.00	
21SEP17	S	1	PD21DEC17	6470.00	6876.00	USD	-10,150.00	
21SEP17	B	1	PD21DEC17	6487.50	6876.00	USD	9,712.50	
21SEP17	B	3	PD21DEC17	6482.00	6876.00	USD	29,550.00	
21SEP17	B	1	PD21DEC17	6483.00	6876.00	USD	9,825.00	
21SEP17	B	14	PD21DEC17	6481.00	6876.00	USD	138,250.00	
21SEP17	B	2	PD21DEC17	6454.50	6876.00	USD	21,075.00	
21SEP17	B	5	PD21DEC17	6453.50	6876.00	USD	52,812.50	
21SEP17	B	1	PD21DEC17	6451.00	6876.00	USD	10,625.00	
21SEP17	B	1	PD21DEC17	6449.00	6876.00	USD	10,675.00	
21SEP17	B	8	PD21DEC17	6450.00	6876.00	USD	85,200.00	
21SEP17	B	1	PD21DEC17	6486.50	6876.00	USD	9,737.50	
21SEP17	B	1	PD21DEC17	6451.00	6876.00	USD	10,625.00	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>								
21SEP17	B	1	PD21DEC17		6450.00	6876.00	USD	10,650.00	
21SEP17	B	1	PD21DEC17		6449.00	6876.00	USD	10,675.00	
	NET	+20 AVG	BGHT 6466.59	SOLD 6460.78				201,800.00	0.00
25SEP17	S	1	PD27DEC17		6462.50	6876.67	USD	-10,354.25	
25SEP17	S	1	PD27DEC17		6461.50	6876.67	USD	-10,379.25	
25SEP17	S	2	PD27DEC17		6463.50	6876.67	USD	-20,658.50	
25SEP17	S	1	PD27DEC17		6459.00	6876.67	USD	-10,441.75	
25SEP17	S	1	PD27DEC17		6458.00	6876.67	USD	-10,466.75	
25SEP17	S	1	PD27DEC17		6457.00	6876.67	USD	-10,491.75	
25SEP17	S	3	PD27DEC17		6454.50	6876.67	USD	-31,662.75	
25SEP17	S	1	PD27DEC17		6447.50	6876.67	USD	-10,729.25	
25SEP17	S	1	PD27DEC17		6442.00	6876.67	USD	-10,866.75	
25SEP17	S	2	PD27DEC17		6444.50	6876.67	USD	-21,608.50	
25SEP17	S	1	PD27DEC17		6441.00	6876.67	USD	-10,891.75	
25SEP17	S	1	PD27DEC17		6451.00	6876.67	USD	-10,641.75	
25SEP17	S	1	PD27DEC17		6452.00	6876.67	USD	-10,616.75	
26SEP17	S	1	PD27DEC17		6511.50	6876.67	USD	-9,129.25	
26SEP17	S	2	PD27DEC17		6512.50	6876.67	USD	-18,208.50	
26SEP17	S	3	PD27DEC17		6505.00	6876.67	USD	-27,875.25	
26SEP17	S	1	PD27DEC17		6491.50	6876.67	USD	-9,629.25	
26SEP17	S	1	PD27DEC17		6461.50	6876.67	USD	-10,379.25	
26SEP17	S	2	PD27DEC17		6462.50	6876.67	USD	-20,708.50	
26SEP17	S	1	PD27DEC17		6465.50	6876.67	USD	-10,279.25	
26SEP17	S	2	PD27DEC17		6461.00	6876.67	USD	-20,783.50	
26SEP17	S	1	PD27DEC17		6463.00	6876.67	USD	-10,341.75	
26SEP17	S	1	PD27DEC17		6464.50	6876.67	USD	-10,304.25	
26SEP17	S	8	PD27DEC17		6464.00	6876.67	USD	-82,534.00	
26SEP17	S	1	PD27DEC17		6452.00	6876.67	USD	-10,616.75	
26SEP17	S	2	PD27DEC17		6451.00	6876.67	USD	-21,283.50	
26SEP17	S	2	PD27DEC17		6447.50	6876.67	USD	-21,458.50	
26SEP17	S	3	PD27DEC17		6435.00	6876.67	USD	-33,125.25	
26SEP17	S	7	PD27DEC17		6436.00	6876.67	USD	-77,117.25	
26SEP17	S	1	PD27DEC17		6439.50	6876.67	USD	-10,929.25	
26SEP17	S	2	PD27DEC17		6438.50	6876.67	USD	-21,908.50	
26SEP17	S	1	PD27DEC17		6446.00	6876.67	USD	-10,766.75	
26SEP17	S	1	PD27DEC17		6442.50	6876.67	USD	-10,854.25	
26SEP17	S	2	PD27DEC17		6441.50	6876.67	USD	-21,758.50	
26SEP17	S	3	PD27DEC17		6440.50	6876.67	USD	-32,712.75	
27SEP17	B	1	PD27DEC17		6453.00	6876.67	USD	10,591.75	
27SEP17	B	1	PD27DEC17		6452.00	6876.67	USD	10,616.75	
27SEP17	B	1	PD27DEC17		6443.00	6876.67	USD	10,841.75	
27SEP17	B	1	PD27DEC17		6444.00	6876.67	USD	10,816.75	
27SEP17	B	1	PD27DEC17		6445.00	6876.67	USD	10,791.75	
27SEP17	B	1	PD27DEC17		6445.50	6876.67	USD	10,779.25	
	NET	-59 AVG	BGHT 6447.08	SOLD 6456.66				-618,075.75	0.00
28SEP17	B	10	PD28DEC17		6425.50	6877.00	USD	112,875.00	
28SEP17	B	8	PD28DEC17		6424.50	6877.00	USD	90,500.00	
28SEP17	B	2	PD28DEC17		6427.50	6877.00	USD	22,475.00	
28SEP17	S	2	PD28DEC17		6407.50	6877.00	USD	-23,475.00	
28SEP17	S	3	PD28DEC17		6408.50	6877.00	USD	-35,137.50	
28SEP17	S	1	PD28DEC17		6410.00	6877.00	USD	-11,675.00	
28SEP17	B	1	PD28DEC17		6470.50	6877.00	USD	10,162.50	
28SEP17	B	2	PD28DEC17		6468.50	6877.00	USD	20,425.00	
28SEP17	B	1	PD28DEC17		6464.00	6877.00	USD	10,325.00	
28SEP17	B	7	PD28DEC17		6469.50	6877.00	USD	71,312.50	
28SEP17	B	3	PD28DEC17		6472.00	6877.00	USD	30,375.00	
28SEP17	B	5	PD28DEC17		6471.00	6877.00	USD	50,750.00	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
28SEP17	B	1	PD28DEC17	6465.50	6877.00	USD	10,287.50	
28SEP17	B	1	PD28DEC17	6514.50	6877.00	USD	9,062.50	
28SEP17	B	1	PD28DEC17	6512.50	6877.00	USD	9,112.50	
28SEP17	B	4	PD28DEC17	6513.50	6877.00	USD	36,350.00	
28SEP17	B	1	PD28DEC17	6517.00	6877.00	USD	9,000.00	
28SEP17	B	4	PD28DEC17	6516.00	6877.00	USD	36,100.00	
28SEP17	B	8	PD28DEC17	6525.00	6877.00	USD	70,400.00	
28SEP17	B	1	PD28DEC17	6519.00	6877.00	USD	8,950.00	
28SEP17	B	1	PD28DEC17	6526.00	6877.00	USD	8,775.00	
28SEP17	B	2	PD28DEC17	6523.50	6877.00	USD	17,675.00	
28SEP17	B	2	PD28DEC17	6522.50	6877.00	USD	17,725.00	
28SEP17	B	2	PD28DEC17	6524.00	6877.00	USD	17,650.00	
28SEP17	B	1	PD28DEC17	6523.00	6877.00	USD	8,850.00	
28SEP17	B	3	PD28DEC17	6521.50	6877.00	USD	26,662.50	
28SEP17	B	6	PD28DEC17	6520.50	6877.00	USD	53,475.00	
28SEP17	B	3	PD28DEC17	6520.00	6877.00	USD	26,775.00	
28SEP17	B	1	PD28DEC17	6518.50	6877.00	USD	8,962.50	
28SEP17	B	6	PD28DEC17	6522.00	6877.00	USD	53,250.00	
28SEP17	B	3	PD28DEC17	6521.00	6877.00	USD	26,700.00	
28SEP17	B	2	PD28DEC17	6519.50	6877.00	USD	17,875.00	
28SEP17	B	5	PD28DEC17	6560.00	6877.00	USD	39,625.00	
28SEP17	B	1	PD28DEC17	6563.00	6877.00	USD	7,850.00	
28SEP17	B	1	PD28DEC17	6561.00	6877.00	USD	7,900.00	
28SEP17	B	7	PD28DEC17	6565.50	6877.00	USD	54,512.50	
28SEP17	B	3	PD28DEC17	6564.50	6877.00	USD	23,437.50	
28SEP17	B	6	PD28DEC17	6564.00	6877.00	USD	46,950.00	
28SEP17	B	1	PD28DEC17	6566.50	6877.00	USD	7,762.50	
28SEP17	B	1	PD28DEC17	6568.50	6877.00	USD	7,712.50	
28SEP17	B	1	PD28DEC17	6572.00	6877.00	USD	7,625.00	
28SEP17	B	2	PD28DEC17	6573.00	6877.00	USD	15,200.00	
28SEP17	B	1	PD28DEC17	6574.00	6877.00	USD	7,575.00	
28SEP17	B	5	PD28DEC17	6573.50	6877.00	USD	37,937.50	
28SEP17	B	1	PD28DEC17	6520.50	6877.00	USD	8,912.50	
28SEP17	B	1	PD28DEC17	6520.00	6877.00	USD	8,925.00	
28SEP17	B	1	PD28DEC17	6521.50	6877.00	USD	8,887.50	
28SEP17	B	1	PD28DEC17	6525.50	6877.00	USD	8,787.50	
28SEP17	B	1	PD28DEC17	6525.00	6877.00	USD	8,800.00	
28SEP17	B	3	PD28DEC17	6522.00	6877.00	USD	26,625.00	
28SEP17	B	1	PD28DEC17	6512.50	6877.00	USD	9,112.50	
28SEP17	B	2	PD28DEC17	6521.00	6877.00	USD	17,800.00	
28SEP17	B	1	PD28DEC17	6565.00	6877.00	USD	7,800.00	
28SEP17	B	1	PD28DEC17	6573.00	6877.00	USD	7,600.00	
28SEP17	B	1	PD28DEC17	6574.00	6877.00	USD	7,575.00	
	NET	+134 AVG BGHT 6511.93	SOLD 6408.42				1,207,462.50	0.00
29SEP17	S	2	PD29DEC17	6535.50	6877.33	USD	-17,091.50	
29SEP17	S	2	PD29DEC17	6495.00	6877.33	USD	-19,116.50	
	NET	-4 AVG SOLD 6515.25					-36,208.00	0.00
03OCT17	S	2	PD03JAN18	6468.50	6879.00	USD	-20,525.00	
03OCT17	S	1	PD03JAN18	6470.00	6879.00	USD	-10,225.00	
03OCT17	S	2	PD03JAN18	6464.50	6879.00	USD	-20,725.00	
03OCT17	S	1	PD03JAN18	6465.00	6879.00	USD	-10,350.00	
	NET	-6 AVG SOLD 6466.83					-61,825.00	0.00
04OCT17	B	1	PD04JAN18	6525.50	6879.33	USD	8,845.75	
04OCT17	B	5	PD04JAN18	6527.00	6879.33	USD	44,041.25	
04OCT17	S	2	PD04JAN18	6508.00	6879.33	USD	-18,566.50	
04OCT17	S	3	PD04JAN18	6509.00	6879.33	USD	-27,774.75	

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04OCT17	B	4	PD04JAN18	6526.00	6879.33	USD	35,333.00	
04OCT17	S	1	PD04JAN18	6512.50	6879.33	USD	-9,170.75	
04OCT17	S	4	PD04JAN18	6513.50	6879.33	USD	-36,583.00	
	NET	0 AVG	BGHT 6526.45				-3,875.00	0.00
05OCT17	S	1	PD05JAN18	6530.00	6879.67	USD	-8,741.75	
05OCT17	S	4	PD05JAN18	6532.00	6879.67	USD	-34,767.00	
05OCT17	B	2	PD05JAN18	6557.00	6879.67	USD	16,133.50	
05OCT17	B	6	PD05JAN18	6556.00	6879.67	USD	48,550.50	
05OCT17	B	1	PD05JAN18	6554.50	6879.67	USD	8,129.25	
05OCT17	B	6	PD05JAN18	6555.00	6879.67	USD	48,700.50	
05OCT17	B	5	PD05JAN18	6642.50	6879.67	USD	29,646.25	
05OCT17	B	3	PD05JAN18	6641.50	6879.67	USD	17,862.75	
05OCT17	B	1	PD05JAN18	6642.50	6879.67	USD	5,929.25	
05OCT17	B	1	PD05JAN18	6641.50	6879.67	USD	5,954.25	
	NET	+20 AVG	BGHT 6590.22				137,397.50	0.00
09OCT17	S	1	PD09JAN18	6665.00	6881.00	USD	-5,400.00	
09OCT17	S	2	PD09JAN18	6660.50	6881.00	USD	-11,025.00	
09OCT17	S	2	PD09JAN18	6663.00	6881.00	USD	-10,900.00	
09OCT17	S	5	PD09JAN18	6664.00	6881.00	USD	-27,125.00	
09OCT17	S	5	PD09JAN18	6650.00	6881.00	USD	-28,875.00	
09OCT17	S	5	PD09JAN18	6649.00	6881.00	USD	-29,000.00	
09OCT17	S	1	PD09JAN18	6655.00	6881.00	USD	-5,650.00	
09OCT17	S	7	PD09JAN18	6662.50	6881.00	USD	-38,237.50	
09OCT17	S	1	PD09JAN18	6659.00	6881.00	USD	-5,550.00	
09OCT17	S	2	PD09JAN18	6660.00	6881.00	USD	-11,050.00	
	NET	-31 AVG	SOLD 6658.02				-172,812.50	0.00
10OCT17	B	1	PD10JAN18	6692.50	6881.33	USD	4,720.75	
10OCT17	B	1	PD10JAN18	6691.50	6881.33	USD	4,745.75	
10OCT17	B	3	PD10JAN18	6694.00	6881.33	USD	14,049.75	
10OCT17	B	5	PD10JAN18	6695.00	6881.33	USD	23,291.25	
10OCT17	B	6	PD10JAN18	6696.00	6881.33	USD	27,799.50	
10OCT17	B	1	PD10JAN18	6740.50	6881.33	USD	3,520.75	
10OCT17	B	1	PD10JAN18	6739.50	6881.33	USD	3,545.75	
10OCT17	B	3	PD10JAN18	6743.00	6881.33	USD	10,374.75	
	NET	+21 AVG	BGHT 6706.00				92,048.25	0.00
11OCT17	B	1	PD11JAN18	6732.00	6881.67	USD	3,741.75	
11OCT17	B	2	PD11JAN18	6731.00	6881.67	USD	7,533.50	
11OCT17	B	6	PD11JAN18	6730.00	6881.67	USD	22,750.50	
11OCT17	B	1	PD11JAN18	6737.50	6881.67	USD	3,604.25	
	NET	+10 AVG	BGHT 6731.15				37,630.00	0.00
	<u>CMX COPPER 25,000 CMX</u>							
28SEP17	S	2	DEC17	296.000	313.350	USD	-8,675.00	
28SEP17	S	4	DEC17	296.100	313.350	USD	-17,250.00	
28SEP17	S	6	DEC17	296.050	313.350	USD	-25,950.00	
28SEP17	S	5	DEC17	296.150	313.350	USD	-21,500.00	
28SEP17	S	4	DEC17	296.700	313.350	USD	-16,650.00	
28SEP17	S	18	DEC17	296.650	313.350	USD	-75,150.00	
28SEP17	S	4	DEC17	296.550	313.350	USD	-16,800.00	
28SEP17	S	40	DEC17	296.450	313.350	USD	-169,000.00	
28SEP17	S	21	DEC17	296.400	313.350	USD	-88,987.50	
28SEP17	S	4	DEC17	296.600	313.350	USD	-16,750.00	
28SEP17	S	10	DEC17	296.500	313.350	USD	-42,125.00	
28SEP17	S	11	DEC17	296.350	313.350	USD	-46,750.00	
28SEP17	S	7	DEC17	296.200	313.350	USD	-30,012.50	
28SEP17	S	13	DEC17	298.300	313.350	USD	-48,912.50	
28SEP17	S	1	DEC17	298.350	313.350	USD	-3,750.00	

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	CMX COPPER 25,000 CMX							
28SEP17	S	23	DEC17	298.500	313.350	USD	-85,387.50	
28SEP17	S	4	DEC17	298.450	313.350	USD	-14,900.00	
28SEP17	S	2	DEC17	298.600	313.350	USD	-7,375.00	
28SEP17	S	2	DEC17	298.650	313.350	USD	-7,350.00	
28SEP17	S	6	DEC17	298.950	313.350	USD	-21,600.00	
28SEP17	S	1	DEC17	298.850	313.350	USD	-3,625.00	
28SEP17	S	15	DEC17	298.900	313.350	USD	-54,187.50	
28SEP17	S	14	DEC17	298.550	313.350	USD	-51,800.00	
05OCT17	S	3	DEC17	298.050	313.350	USD	-11,475.00	
05OCT17	S	3	DEC17	298.000	313.350	USD	-11,512.50	
05OCT17	S	16	DEC17	297.950	313.350	USD	-61,600.00	
05OCT17	S	7	DEC17	301.950	313.350	USD	-19,950.00	
05OCT17	S	14	DEC17	301.900	313.350	USD	-40,075.00	
05OCT17	S	1	DEC17	302.450	313.350	USD	-2,725.00	
10OCT17	S	2	DEC17	303.900	313.350	USD	-4,725.00	
10OCT17	S	2	DEC17	303.850	313.350	USD	-4,750.00	
10OCT17	S	4	DEC17	303.950	313.350	USD	-9,400.00	
10OCT17	S	10	DEC17	304.000	313.350	USD	-23,375.00	
10OCT17	S	8	DEC17	304.100	313.350	USD	-18,500.00	
10OCT17	S	9	DEC17	304.050	313.350	USD	-20,925.00	
10OCT17	S	2	DEC17	306.100	313.350	USD	-3,625.00	
10OCT17	S	2	DEC17	306.050	313.350	USD	-3,650.00	
10OCT17	S	7	DEC17	306.250	313.350	USD	-12,425.00	
11OCT17	S	2	DEC17	305.800	313.350	USD	-3,775.00	
11OCT17	S	11	DEC17	305.700	313.350	USD	-21,037.50	
11OCT17	S	6	DEC17	305.650	313.350	USD	-11,550.00	
11OCT17	S	3	DEC17	306.050	313.350	USD	-5,475.00	
	NET	-329 AVG SOLD 299.185					-1,165,037.50	0.00

OPEN POSITION VALUE

		USD
LME Copper Grade A 25	FUTURES	-23,758,754.50
CMX Copper	FUTURES	24,608,000.00

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options	Amount	Profit / Loss	Total Amount	Total P&L
COPR - Futures USD	-1,165,037.50	-1,165,037.50	-1,165,037.50	-1,165,037.50
Unrealised Profits & Losses - Forwards	Amount	Profit / Loss	Total Amount	Total P&L
COPL - Futures USD	2,021,053.75	2,021,053.75	2,021,053.75	2,021,053.75

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0089E

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		-95,482.60	-95,482.60
Variation Margins	- Futures	-1,165,037.50	-1,165,037.50
	- Options	0.00	0.00
TOTAL EQUITY		-1,260,520.10	-1,260,520.10
Initial Margins		-1,036,350.00	-1,036,350.00
Excess/(Shortage)		-2,296,870.10	-2,296,870.10
FOR INFORMATION ONLY			
Forward Unrealised P&L		2,021,053.75	2,021,053.75
Net Liquidating Value		760,533.65	760,533.65

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
08AUG17	B	2	PD08NOV17	6394.00	6864.45	USD	23,522.50	
08AUG17	B	4	PD08NOV17	6400.50	6864.45	USD	46,395.00	
08AUG17	B	2	PD08NOV17	6402.50	6864.45	USD	23,097.50	
08AUG17	B	1	PD08NOV17	6399.50	6864.45	USD	11,623.75	
08AUG17	B	1	PD08NOV17	6396.50	6864.45	USD	11,698.75	
08AUG17	B	9	PD08NOV17	6397.00	6864.45	USD	105,176.25	
08AUG17	B	6	PD08NOV17	6398.00	6864.45	USD	69,967.50	
08AUG17	B	4	PD08NOV17	6406.50	6864.45	USD	45,795.00	
08AUG17	B	1	PD08NOV17	6413.50	6864.45	USD	11,273.75	
08AUG17	B	5	PD08NOV17	6416.00	6864.45	USD	56,056.25	
08AUG17	B	1	PD08NOV17	6420.00	6864.45	USD	11,111.25	
08AUG17	B	2	PD08NOV17	6418.00	6864.45	USD	22,322.50	
08AUG17	B	2	PD08NOV17	6419.00	6864.45	USD	22,272.50	
08AUG17	B	1	PD08NOV17	6419.50	6864.45	USD	11,123.75	
08AUG17	B	1	PD08NOV17	6425.00	6864.45	USD	10,986.25	
08AUG17	B	1	PD08NOV17	6427.50	6864.45	USD	10,923.75	
08AUG17	B	2	PD08NOV17	6429.50	6864.45	USD	21,747.50	
08AUG17	B	1	PD08NOV17	6434.00	6864.45	USD	10,761.25	
08AUG17	B	1	PD08NOV17	6433.00	6864.45	USD	10,786.25	
08AUG17	B	3	PD08NOV17	6436.50	6864.45	USD	32,096.25	
19SEP17	S	10	PD08NOV17	6520.32	6864.45	USD	-86,032.50	
20SEP17	S	1	PD08NOV17	6527.95	6864.45	USD	-8,412.50	
21SEP17	S	10	PD08NOV17	6441.02	6864.45	USD	-105,857.50	
21SEP17	S	29	PD08NOV17	6440.52	6864.45	USD	-307,349.25	
	NET	0 AVG BGHT 6409.46	SOLD 6458.33				61,085.75	0.00
24AUG17	S	1	PD24NOV17	6717.00	6866.73	USD	-3,743.25	
24AUG17	S	1	PD24NOV17	6717.50	6866.73	USD	-3,730.75	
24AUG17	S	1	PD24NOV17	6723.00	6866.73	USD	-3,593.25	
24AUG17	S	1	PD24NOV17	6725.00	6866.73	USD	-3,543.25	
24AUG17	S	1	PD24NOV17	6726.00	6866.73	USD	-3,518.25	
24AUG17	S	4	PD24NOV17	6716.00	6866.73	USD	-15,073.00	
24AUG17	S	1	PD24NOV17	6715.00	6866.73	USD	-3,793.25	
19SEP17	B	10	PD24NOV17	6527.92	6866.73	USD	84,702.50	
	NET	0 AVG BGHT 6527.92	SOLD 6718.75				47,707.50	0.00
29AUG17	S	1	PD29NOV17	6786.50	6869.07	USD	-2,064.25	
29AUG17	S	1	PD29NOV17	6787.50	6869.07	USD	-2,039.25	
29AUG17	S	1	PD29NOV17	6789.00	6869.07	USD	-2,001.75	
29AUG17	S	1	PD29NOV17	6790.50	6869.07	USD	-1,964.25	
29AUG17	S	1	PD29NOV17	6789.50	6869.07	USD	-1,989.25	
29AUG17	S	1	PD29NOV17	6791.00	6869.07	USD	-1,951.75	
29AUG17	S	1	PD29NOV17	6793.00	6869.07	USD	-1,901.75	
29AUG17	S	1	PD29NOV17	6796.50	6869.07	USD	-1,814.25	
29AUG17	S	1	PD29NOV17	6794.50	6869.07	USD	-1,864.25	
29AUG17	S	2	PD29NOV17	6796.00	6869.07	USD	-3,653.50	
29AUG17	S	1	PD29NOV17	6798.00	6869.07	USD	-1,776.75	
29AUG17	S	1	PD29NOV17	6797.00	6869.07	USD	-1,801.75	
29AUG17	S	1	PD29NOV17	6803.50	6869.07	USD	-1,639.25	
29AUG17	S	2	PD29NOV17	6807.00	6869.07	USD	-3,103.50	
29AUG17	S	1	PD29NOV17	6792.50	6869.07	USD	-1,914.25	
29AUG17	S	4	PD29NOV17	6805.00	6869.07	USD	-6,407.00	
29AUG17	S	4	PD29NOV17	6804.00	6869.07	USD	-6,507.00	
29AUG17	S	4	PD29NOV17	6804.50	6869.07	USD	-6,457.00	
29AUG17	S	2	PD29NOV17	6803.00	6869.07	USD	-3,303.50	
29AUG17	S	3	PD29NOV17	6800.50	6869.07	USD	-5,142.75	
29AUG17	S	2	PD29NOV17	6802.50	6869.07	USD	-3,328.50	
29AUG17	S	3	PD29NOV17	6805.50	6869.07	USD	-4,767.75	
29AUG17	S	1	PD29NOV17	6808.00	6869.07	USD	-1,526.75	

OPEN POSITION STATEMENT



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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
29AUG17	B	3	PD29NOV17	6807.00	6869.07	USD	4,655.25	
29AUG17	B	5	PD29NOV17	6808.00	6869.07	USD	7,633.75	
29AUG17	B	13	PD29NOV17	6807.50	6869.07	USD	20,010.25	
29AUG17	B	2	PD29NOV17	6806.00	6869.07	USD	3,153.50	
29AUG17	B	1	PD29NOV17	6802.50	6869.07	USD	1,664.25	
29AUG17	B	1	PD29NOV17	6800.00	6869.07	USD	1,726.75	
29AUG17	B	3	PD29NOV17	6801.00	6869.07	USD	5,105.25	
29AUG17	B	1	PD29NOV17	6801.50	6869.07	USD	1,689.25	
29AUG17	B	4	PD29NOV17	6804.00	6869.07	USD	6,507.00	
29AUG17	B	2	PD29NOV17	6807.00	6869.07	USD	3,103.50	
29AUG17	B	1	PD29NOV17	6816.00	6869.07	USD	1,326.75	
29AUG17	B	1	PD29NOV17	6815.00	6869.07	USD	1,351.75	
29AUG17	B	1	PD29NOV17	6809.50	6869.07	USD	1,489.25	
29AUG17	B	1	PD29NOV17	6808.50	6869.07	USD	1,514.25	
29AUG17	B	2	PD29NOV17	6810.00	6869.07	USD	2,953.50	
29AUG17	B	3	PD29NOV17	6811.00	6869.07	USD	4,355.25	
29AUG17	B	1	PD29NOV17	6811.50	6869.07	USD	1,439.25	
29AUG17	B	2	PD29NOV17	6812.00	6869.07	USD	2,853.50	
29AUG17	B	3	PD29NOV17	6813.00	6869.07	USD	4,205.25	
07SEP17	S	10	PD29NOV17	6895.45	6869.07	USD	6,595.00	
20SEP17	B	1	PD29NOV17	6537.84	6869.07	USD	8,280.75	
21SEP17	B	10	PD29NOV17	6451.03	6869.07	USD	104,510.00	
21SEP17	B	29	PD29NOV17	6450.53	6869.07	USD	303,441.50	
21SEP17	S	40	PD29NOV17	6473.85	6869.07	USD	-395,220.00	
	NET	0 AVG BGHT 6649.97	SOLD 6665.72				35,424.75	0.00
31AUG17	B	2	PD30NOV17	6819.00	6869.53	USD	2,526.50	
31AUG17	B	4	PD30NOV17	6817.50	6869.53	USD	5,203.00	
31AUG17	B	1	PD30NOV17	6806.00	6869.53	USD	1,588.25	
31AUG17	B	1	PD30NOV17	6805.00	6869.53	USD	1,613.25	
31AUG17	B	1	PD30NOV17	6802.50	6869.53	USD	1,675.75	
31AUG17	B	2	PD30NOV17	6804.00	6869.53	USD	3,276.50	
31AUG17	B	10	PD30NOV17	6794.50	6869.53	USD	18,757.50	
31AUG17	B	1	PD30NOV17	6796.50	6869.53	USD	1,825.75	
31AUG17	B	1	PD30NOV17	6794.00	6869.53	USD	1,888.25	
31AUG17	B	1	PD30NOV17	6793.00	6869.53	USD	1,913.25	
31AUG17	B	1	PD30NOV17	6791.00	6869.53	USD	1,963.25	
31AUG17	B	4	PD30NOV17	6790.00	6869.53	USD	7,953.00	
31AUG17	B	1	PD30NOV17	6780.50	6869.53	USD	2,225.75	
31AUG17	B	1	PD30NOV17	6782.50	6869.53	USD	2,175.75	
31AUG17	B	1	PD30NOV17	6783.50	6869.53	USD	2,150.75	
31AUG17	B	2	PD30NOV17	6785.00	6869.53	USD	4,226.50	
31AUG17	B	3	PD30NOV17	6795.50	6869.53	USD	5,552.25	
31AUG17	B	5	PD30NOV17	6797.00	6869.53	USD	9,066.25	
31AUG17	B	1	PD30NOV17	6799.00	6869.53	USD	1,763.25	
31AUG17	B	3	PD30NOV17	6798.00	6869.53	USD	5,364.75	
31AUG17	B	4	PD30NOV17	6820.00	6869.53	USD	4,953.00	
15SEP17	B	1	PD30NOV17	6466.65	6869.53	USD	10,072.00	
26SEP17	S	40	PD30NOV17	6399.03	6869.53	USD	-470,500.00	
29SEP17	S	11	PD30NOV17	6470.92	6869.53	USD	-109,617.75	
	NET	0 AVG BGHT 6792.88	SOLD 6414.54				-482,383.25	0.00
07SEP17	S	1	PD07DEC17	6872.00	6872.42	USD	-10.50	
07SEP17	S	2	PD07DEC17	6866.50	6872.42	USD	-296.00	
07SEP17	S	2	PD07DEC17	6867.50	6872.42	USD	-246.00	
07SEP17	S	1	PD07DEC17	6869.00	6872.42	USD	-85.50	
07SEP17	S	1	PD07DEC17	6868.00	6872.42	USD	-110.50	
07SEP17	S	1	PD07DEC17	6872.50	6872.42	USD	2.00	
07SEP17	S	1	PD07DEC17	6871.50	6872.42	USD	-23.00	

OPEN POSITION STATEMENT



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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
07SEP17	S	1	PD07DEC17	6878.00	6872.42	USD	139.50	
07SEP17	B	10	PD07DEC17	6898.70	6872.42	USD	-6,570.00	
	NET	0AVG BGHT 6898.70	SOLD 6869.90				-7,200.00	0.00
08SEP17	S	2	PD08DEC17	6832.00	6872.83	USD	-2,041.50	
08SEP17	S	2	PD08DEC17	6831.00	6872.83	USD	-2,091.50	
08SEP17	S	3	PD08DEC17	6827.50	6872.83	USD	-3,399.75	
08SEP17	S	1	PD08DEC17	6829.00	6872.83	USD	-1,095.75	
08SEP17	S	7	PD08DEC17	6825.50	6872.83	USD	-8,282.75	
08SEP17	S	3	PD08DEC17	6826.50	6872.83	USD	-3,474.75	
08SEP17	S	1	PD08DEC17	6820.50	6872.83	USD	-1,308.25	
08SEP17	S	3	PD08DEC17	6821.50	6872.83	USD	-3,849.75	
08SEP17	S	1	PD08DEC17	6823.50	6872.83	USD	-1,233.25	
08SEP17	S	1	PD08DEC17	6829.00	6872.83	USD	-1,095.75	
08SEP17	S	1	PD08DEC17	6827.50	6872.83	USD	-1,133.25	
21SEP17	B	25	PD08DEC17	6478.53	6872.83	USD	246,437.50	
	NET	0AVG BGHT 6478.53	SOLD 6826.42				217,431.25	0.00
11SEP17	B	4	PD11DEC17	6753.50	6874.08	USD	12,058.00	
11SEP17	B	1	PD11DEC17	6758.00	6874.08	USD	2,902.00	
15SEP17	S	5	PD11DEC17	6478.59	6874.08	USD	-49,436.25	
	NET	0AVG BGHT 6754.40	SOLD 6478.59				-34,476.25	0.00
12SEP17	B	2	PD12DEC17	6673.00	6874.50	USD	10,075.00	
12SEP17	B	3	PD12DEC17	6675.50	6874.50	USD	14,925.00	
19SEP17	S	5	PD12DEC17	6536.23	6874.50	USD	-42,283.75	
	NET	0AVG BGHT 6674.50	SOLD 6536.23				-17,283.75	0.00
13SEP17	B	1	PD13DEC17	6564.50	6874.92	USD	7,760.50	
13SEP17	B	3	PD13DEC17	6568.00	6874.92	USD	23,019.00	
15SEP17	S	4	PD13DEC17	6502.66	6874.92	USD	-37,226.00	
	NET	0AVG BGHT 6567.13	SOLD 6502.66				-6,446.50	0.00
14SEP17	B	2	PD14DEC17	6482.00	6875.33	USD	19,666.50	
14SEP17	B	1	PD14DEC17	6481.00	6875.33	USD	9,858.25	
14SEP17	B	2	PD14DEC17	6473.00	6875.33	USD	20,116.50	
14SEP17	B	6	PD14DEC17	6474.50	6875.33	USD	60,124.50	
14SEP17	B	1	PD14DEC17	6475.50	6875.33	USD	9,995.75	
14SEP17	B	3	PD14DEC17	6476.00	6875.33	USD	29,949.75	
14SEP17	B	4	PD14DEC17	6476.50	6875.33	USD	39,883.00	
14SEP17	B	2	PD14DEC17	6477.00	6875.33	USD	19,916.50	
14SEP17	S	1	PD14DEC17	6498.00	6875.33	USD	-9,433.25	
14SEP17	S	8	PD14DEC17	6504.50	6875.33	USD	-74,166.00	
14SEP17	S	1	PD14DEC17	6503.50	6875.33	USD	-9,295.75	
14SEP17	S	3	PD14DEC17	6506.00	6875.33	USD	-27,699.75	
14SEP17	S	4	PD14DEC17	6505.50	6875.33	USD	-36,983.00	
14SEP17	S	2	PD14DEC17	6504.00	6875.33	USD	-18,566.50	
14SEP17	S	2	PD14DEC17	6504.50	6875.33	USD	-18,541.50	
	NET	0AVG BGHT 6476.26	SOLD 6504.50				14,825.00	0.00
15SEP17	B	1	PD15DEC17	6504.50	6875.75	USD	9,281.25	
15SEP17	B	1	PD15DEC17	6505.00	6875.75	USD	9,268.75	
15SEP17	S	3	PD15DEC17	6507.50	6875.75	USD	-27,618.75	
15SEP17	S	1	PD15DEC17	6504.00	6875.75	USD	-9,293.75	
15SEP17	S	2	PD15DEC17	6472.00	6875.75	USD	-20,187.50	
15SEP17	S	3	PD15DEC17	6471.50	6875.75	USD	-30,318.75	
15SEP17	B	5	PD15DEC17	6480.53	6875.75	USD	49,402.50	
15SEP17	S	1	PD15DEC17	6472.35	6875.75	USD	-10,085.00	
15SEP17	B	4	PD15DEC17	6503.78	6875.75	USD	37,197.00	
21SEP17	S	1	PD15DEC17	6480.67	6875.75	USD	-9,877.00	
	NET	0AVG BGHT 6493.39	SOLD 6485.27				-2,231.25	0.00

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
18SEP17	B	4	PD18DEC17	6544.50	6877.00	USD	33,250.00	
18SEP17	B	2	PD18DEC17	6545.50	6877.00	USD	16,575.00	
18SEP17	B	4	PD18DEC17	6543.50	6877.00	USD	33,350.00	
18SEP17	B	2	PD18DEC17	6538.50	6877.00	USD	16,925.00	
18SEP17	B	13	PD18DEC17	6534.50	6877.00	USD	111,312.50	
18SEP17	B	2	PD18DEC17	6535.50	6877.00	USD	17,075.00	
18SEP17	B	1	PD18DEC17	6537.50	6877.00	USD	8,487.50	
05OCT17	S	24	PD18DEC17	6559.51	6877.00	USD	-190,494.00	
	NET	+4 AVG BGHT 6538.46	SOLD 6559.51				46,481.00	0.00
19SEP17	S	2	PD19DEC17	6539.00	6877.00	USD	-16,900.00	
19SEP17	S	1	PD19DEC17	6548.00	6877.00	USD	-8,225.00	
19SEP17	S	1	PD19DEC17	6546.50	6877.00	USD	-8,262.50	
19SEP17	S	1	PD19DEC17	6543.50	6877.00	USD	-8,337.50	
19SEP17	B	1	PD19DEC17	6535.00	6877.00	USD	8,550.00	
19SEP17	B	2	PD19DEC17	6533.00	6877.00	USD	17,200.00	
19SEP17	B	1	PD19DEC17	6531.50	6877.00	USD	8,637.50	
19SEP17	B	1	PD19DEC17	6530.50	6877.00	USD	8,662.50	
19SEP17	B	5	PD19DEC17	6539.20	6877.00	USD	42,225.00	
	NET	+5 AVG BGHT 6535.90	SOLD 6543.20				43,550.00	0.00
20SEP17	S	1	PD20DEC17	6531.00	6877.00	USD	-8,650.00	
20SEP17	S	1	PD20DEC17	6529.50	6877.00	USD	-8,687.50	
20SEP17	S	1	PD20DEC17	6526.50	6877.00	USD	-8,762.50	
20SEP17	S	7	PD20DEC17	6526.00	6877.00	USD	-61,425.00	
20SEP17	S	4	PD20DEC17	6525.00	6877.00	USD	-35,200.00	
20SEP17	S	1	PD20DEC17	6525.50	6877.00	USD	-8,787.50	
21SEP17	B	15	PD20DEC17	6482.20	6877.00	USD	148,050.00	
	NET	0 AVG BGHT 6482.20	SOLD 6526.30				16,537.50	0.00
21SEP17	B	1	PD21DEC17	6458.00	6876.00	USD	10,450.00	
21SEP17	B	7	PD21DEC17	6457.00	6876.00	USD	73,325.00	
21SEP17	B	1	PD21DEC17	6456.00	6876.00	USD	10,500.00	
21SEP17	B	1	PD21DEC17	6456.00	6876.00	USD	10,500.00	
21SEP17	S	1	PD21DEC17	6447.00	6876.00	USD	-10,725.00	
21SEP17	B	1	PD21DEC17	6480.20	6876.00	USD	9,895.00	
	NET	+10 AVG BGHT 6459.02	SOLD 6447.00				103,945.00	0.00
25SEP17	S	1	PD27DEC17	6452.00	6876.67	USD	-10,616.75	
25SEP17	S	1	PD27DEC17	6451.00	6876.67	USD	-10,641.75	
25SEP17	S	1	PD27DEC17	6449.50	6876.67	USD	-10,679.25	
25SEP17	S	1	PD27DEC17	6440.50	6876.67	USD	-10,904.25	
25SEP17	S	2	PD27DEC17	6443.00	6876.67	USD	-21,683.50	
25SEP17	S	6	PD27DEC17	6442.50	6876.67	USD	-65,125.50	
25SEP17	S	6	PD27DEC17	6441.50	6876.67	USD	-65,275.50	
25SEP17	S	2	PD27DEC17	6454.00	6876.67	USD	-21,133.50	
26SEP17	S	1	PD27DEC17	6465.50	6876.67	USD	-10,279.25	
26SEP17	S	1	PD27DEC17	6460.50	6876.67	USD	-10,404.25	
26SEP17	S	1	PD27DEC17	6461.00	6876.67	USD	-10,391.75	
26SEP17	S	1	PD27DEC17	6464.00	6876.67	USD	-10,316.75	
26SEP17	S	1	PD27DEC17	6463.50	6876.67	USD	-10,329.25	
26SEP17	S	7	PD27DEC17	6430.50	6876.67	USD	-78,079.75	
26SEP17	S	3	PD27DEC17	6431.50	6876.67	USD	-33,387.75	
26SEP17	S	1	PD27DEC17	6417.50	6876.67	USD	-11,479.25	
26SEP17	S	1	PD27DEC17	6418.00	6876.67	USD	-11,466.75	
26SEP17	B	1	PD27DEC17	6424.00	6876.67	USD	11,316.75	
26SEP17	B	2	PD27DEC17	6423.00	6876.67	USD	22,683.50	
26SEP17	B	3	PD27DEC17	6419.00	6876.67	USD	34,325.25	
26SEP17	B	4	PD27DEC17	6421.50	6876.67	USD	45,517.00	
26SEP17	B	1	PD27DEC17	6418.50	6876.67	USD	11,454.25	

OPEN POSITION STATEMENT



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Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
26SEP17	B	3	PD27DEC17	6414.00	6876.67	USD	34,700.25	
26SEP17	B	1	PD27DEC17	6413.50	6876.67	USD	11,579.25	
26SEP17	B	2	PD27DEC17	6415.00	6876.67	USD	23,083.50	
26SEP17	B	3	PD27DEC17	6416.50	6876.67	USD	34,512.75	
26SEP17	B	40	PD27DEC17	6413.20	6876.67	USD	463,470.00	
26SEP17	S	3	PD27DEC17	6418.00	6876.67	USD	-34,400.25	
27SEP17	B	1	PD27DEC17	6477.50	6876.67	USD	9,979.25	
27SEP17	B	8	PD27DEC17	6478.00	6876.67	USD	79,734.00	
27SEP17	B	1	PD27DEC17	6473.50	6876.67	USD	10,079.25	
27SEP17	B	1	PD27DEC17	6474.50	6876.67	USD	10,054.25	
27SEP17	B	1	PD27DEC17	6472.50	6876.67	USD	10,104.25	
27SEP17	B	2	PD27DEC17	6464.00	6876.67	USD	20,633.50	
27SEP17	B	2	PD27DEC17	6474.50	6876.67	USD	20,108.50	
27SEP17	B	2	PD27DEC17	6445.50	6876.67	USD	21,558.50	
	NET	+38 AVG BGHT 6428.01	SOLD 6440.08				438,299.00	0.00
28SEP17	B	1	PD28DEC17	6472.00	6877.00	USD	10,125.00	
28SEP17	B	2	PD28DEC17	6470.50	6877.00	USD	20,325.00	
28SEP17	B	3	PD28DEC17	6464.00	6877.00	USD	30,975.00	
28SEP17	S	2	PD28DEC17	6474.00	6877.00	USD	-20,150.00	
28SEP17	S	2	PD28DEC17	6475.00	6877.00	USD	-20,100.00	
28SEP17	S	1	PD28DEC17	6478.50	6877.00	USD	-9,962.50	
28SEP17	S	1	PD28DEC17	6477.50	6877.00	USD	-9,987.50	
28SEP17	B	1	PD28DEC17	6518.50	6877.00	USD	8,962.50	
28SEP17	B	1	PD28DEC17	6520.00	6877.00	USD	8,925.00	
28SEP17	B	1	PD28DEC17	6526.00	6877.00	USD	8,775.00	
28SEP17	B	1	PD28DEC17	6523.50	6877.00	USD	8,837.50	
28SEP17	B	2	PD28DEC17	6521.00	6877.00	USD	17,800.00	
28SEP17	B	1	PD28DEC17	6520.00	6877.00	USD	8,925.00	
28SEP17	B	1	PD28DEC17	6525.00	6877.00	USD	8,800.00	
	NET	+8 AVG BGHT 6498.57	SOLD 6475.67				72,250.00	0.00
29SEP17	S	1	PD29DEC17	6526.00	6877.33	USD	-8,783.25	
29SEP17	S	1	PD29DEC17	6528.00	6877.33	USD	-8,733.25	
29SEP17	S	1	PD29DEC17	6527.00	6877.33	USD	-8,758.25	
29SEP17	S	2	PD29DEC17	6530.50	6877.33	USD	-17,341.50	
29SEP17	S	1	PD29DEC17	6531.50	6877.33	USD	-8,645.75	
29SEP17	S	2	PD29DEC17	6534.00	6877.33	USD	-17,166.50	
29SEP17	S	1	PD29DEC17	6533.50	6877.33	USD	-8,595.75	
29SEP17	S	1	PD29DEC17	6535.00	6877.33	USD	-8,558.25	
29SEP17	S	1	PD29DEC17	6536.50	6877.33	USD	-8,520.75	
29SEP17	B	11	PD29DEC17	6481.20	6877.33	USD	108,935.75	
29SEP17	S	1	PD29DEC17	6503.50	6877.33	USD	-9,345.75	
02OCT17	B	1	PD29DEC17	6484.95	6877.33	USD	9,809.50	
	NET	0 AVG BGHT 6481.51	SOLD 6529.17				14,296.25	0.00
02OCT17	B	2	PD02JAN18	6531.50	6878.67	USD	17,358.50	
02OCT17	B	1	PD02JAN18	6531.00	6878.67	USD	8,691.75	
02OCT17	B	2	PD02JAN18	6532.50	6878.67	USD	17,308.50	
02OCT17	B	1	PD02JAN18	6529.00	6878.67	USD	8,741.75	
02OCT17	B	4	PD02JAN18	6530.50	6878.67	USD	34,817.00	
02OCT17	B	2	PD02JAN18	6532.00	6878.67	USD	17,333.50	
02OCT17	S	1	PD02JAN18	6497.50	6878.67	USD	-9,529.25	
02OCT17	S	1	PD02JAN18	6497.00	6878.67	USD	-9,541.75	
02OCT17	S	1	PD02JAN18	6499.00	6878.67	USD	-9,491.75	
02OCT17	S	1	PD02JAN18	6500.50	6878.67	USD	-9,454.25	
02OCT17	S	3	PD02JAN18	6504.00	6878.67	USD	-28,100.25	
02OCT17	S	2	PD02JAN18	6505.00	6878.67	USD	-18,683.50	
02OCT17	S	1	PD02JAN18	6503.00	6878.67	USD	-9,391.75	

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02OCT17	S	1	PD02JAN18		6486.47	6878.67	USD	-9,805.00	
02OCT17	S	1	PD02JAN18		6498.50	6878.67	USD	-9,504.25	
	NET	0AVG	BGHT 6531.17	SOLD 6500.33				-9,250.75	0.00
03OCT17	S	1	PD03JAN18		6478.50	6879.00	USD	-10,012.50	
03OCT17	S	1	PD03JAN18		6484.00	6879.00	USD	-9,875.00	
03OCT17	S	1	PD03JAN18		6508.00	6879.00	USD	-9,275.00	
03OCT17	B	1	PD03JAN18		6517.00	6879.00	USD	9,050.00	
03OCT17	B	2	PD03JAN18		6517.50	6879.00	USD	18,075.00	
03OCT17	B	3	PD03JAN18		6524.50	6879.00	USD	26,587.50	
03OCT17	B	1	PD03JAN18		6521.00	6879.00	USD	8,950.00	
03OCT17	B	1	PD03JAN18		6520.00	6879.00	USD	8,975.00	
03OCT17	S	1	PD03JAN18		6525.50	6879.00	USD	-8,837.50	
03OCT17	S	1	PD03JAN18		6527.50	6879.00	USD	-8,787.50	
04OCT17	S	3	PD03JAN18		6520.69	6879.00	USD	-26,873.25	
	NET	0AVG	BGHT 6520.81	SOLD 6510.70				-2,023.25	0.00
04OCT17	S	3	PD04JAN18		6501.00	6879.33	USD	-28,374.75	
04OCT17	S	2	PD04JAN18		6525.50	6879.33	USD	-17,691.50	
04OCT17	B	1	PD04JAN18		6520.50	6879.33	USD	8,970.75	
04OCT17	S	1	PD04JAN18		6517.50	6879.33	USD	-9,045.75	
04OCT17	S	3	PD04JAN18		6515.50	6879.33	USD	-27,287.25	
04OCT17	S	1	PD04JAN18		6514.00	6879.33	USD	-9,133.25	
04OCT17	S	2	PD04JAN18		6512.50	6879.33	USD	-18,341.50	
04OCT17	S	2	PD04JAN18		6513.00	6879.33	USD	-18,316.50	
04OCT17	S	4	PD04JAN18		6511.00	6879.33	USD	-36,833.00	
04OCT17	S	5	PD04JAN18		6510.00	6879.33	USD	-46,166.25	
04OCT17	S	1	PD04JAN18		6509.00	6879.33	USD	-9,258.25	
04OCT17	B	3	PD04JAN18		6521.20	6879.33	USD	26,859.75	
04OCT17	S	2	PD04JAN18		6508.00	6879.33	USD	-18,566.50	
04OCT17	S	1	PD04JAN18		6508.50	6879.33	USD	-9,270.75	
05OCT17	B	24	PD04JAN18		6560.39	6879.33	USD	191,364.00	
	NET	+1AVG	BGHT 6554.77	SOLD 6511.50				-21,090.75	0.00
05OCT17	B	1	PD05JAN18		6555.50	6879.67	USD	8,104.25	
05OCT17	B	1	PD05JAN18		6555.00	6879.67	USD	8,116.75	
05OCT17	B	3	PD05JAN18		6554.00	6879.67	USD	24,425.25	
05OCT17	B	6	PD05JAN18		6556.50	6879.67	USD	48,475.50	
05OCT17	B	2	PD05JAN18		6557.50	6879.67	USD	16,108.50	
05OCT17	B	1	PD05JAN18		6654.00	6879.67	USD	5,641.75	
05OCT17	B	2	PD05JAN18		6659.50	6879.67	USD	11,008.50	
05OCT17	B	1	PD05JAN18		6661.00	6879.67	USD	5,466.75	
05OCT17	B	1	PD05JAN18		6662.00	6879.67	USD	5,441.75	
05OCT17	B	1	PD05JAN18		6667.00	6879.67	USD	5,316.75	
05OCT17	B	2	PD05JAN18		6668.50	6879.67	USD	10,558.50	
05OCT17	B	1	PD05JAN18		6666.00	6879.67	USD	5,341.75	
05OCT17	B	1	PD05JAN18		6670.00	6879.67	USD	5,241.75	
05OCT17	B	1	PD05JAN18		6669.00	6879.67	USD	5,266.75	
05OCT17	B	1	PD05JAN18		6680.00	6879.67	USD	4,991.75	
05OCT17	B	3	PD05JAN18		6679.00	6879.67	USD	15,050.25	
05OCT17	B	3	PD05JAN18		6676.00	6879.67	USD	15,275.25	
05OCT17	B	2	PD05JAN18		6680.00	6879.67	USD	9,983.50	
05OCT17	B	1	PD05JAN18		6679.00	6879.67	USD	5,016.75	
06OCT17	S	1	PD05JAN18		6694.50	6879.67	USD	-4,629.25	
06OCT17	S	2	PD05JAN18		6700.50	6879.67	USD	-8,958.50	
06OCT17	S	2	PD05JAN18		6701.00	6879.67	USD	-8,933.50	
06OCT17	B	1	PD05JAN18		6689.50	6879.67	USD	4,754.25	
06OCT17	B	1	PD05JAN18		6690.50	6879.67	USD	4,729.25	
06OCT17	B	1	PD05JAN18		6687.00	6879.67	USD	4,816.75	

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06OCT17	B	1	PD05JAN18	6686.00	6879.67	USD	4,841.75	
06OCT17	B	1	PD05JAN18	6684.00	6879.67	USD	4,891.75	
06OCT17	S	1	PD05JAN18	6676.00	6879.67	USD	-5,091.75	
06OCT17	S	1	PD05JAN18	6648.00	6879.67	USD	-5,791.75	
06OCT17	S	1	PD05JAN18	6658.00	6879.67	USD	-5,541.75	
06OCT17	S	4	PD05JAN18	6661.50	6879.67	USD	-21,817.00	
06OCT17	S	2	PD05JAN18	6665.00	6879.67	USD	-10,733.50	
06OCT17	S	3	PD05JAN18	6658.50	6879.67	USD	-16,587.75	
06OCT17	S	5	PD05JAN18	6659.50	6879.67	USD	-27,521.25	
06OCT17	S	3	PD05JAN18	6664.50	6879.67	USD	-16,137.75	
06OCT17	S	2	PD05JAN18	6665.50	6879.67	USD	-10,708.50	
06OCT17	S	5	PD05JAN18	6660.50	6879.67	USD	-27,396.25	
06OCT17	S	1	PD05JAN18	6657.00	6879.67	USD	-5,566.75	
06OCT17	S	1	PD05JAN18	6655.50	6879.67	USD	-5,604.25	
06OCT17	S	3	PD05JAN18	6655.00	6879.67	USD	-16,850.25	
	NET	+2 AVG BGHT 6634.68	SOLD 6665.76				40,996.00	0.00
09OCT17	S	1	PD09JAN18	6641.00	6881.00	USD	-6,000.00	
09OCT17	S	1	PD09JAN18	6653.00	6881.00	USD	-5,700.00	
09OCT17	S	1	PD09JAN18	6635.50	6881.00	USD	-6,137.50	
09OCT17	S	1	PD09JAN18	6650.00	6881.00	USD	-5,775.00	
09OCT17	S	1	PD09JAN18	6679.00	6881.00	USD	-5,050.00	
09OCT17	S	1	PD09JAN18	6658.00	6881.00	USD	-5,575.00	
09OCT17	S	1	PD09JAN18	6673.50	6881.00	USD	-5,187.50	
09OCT17	S	1	PD09JAN18	6671.50	6881.00	USD	-5,237.50	
09OCT17	B	1	PD09JAN18	6681.50	6881.00	USD	4,987.50	
09OCT17	S	1	PD09JAN18	6663.50	6881.00	USD	-5,437.50	
09OCT17	S	1	PD09JAN18	6674.50	6881.00	USD	-5,162.50	
09OCT17	S	1	PD09JAN18	6660.50	6881.00	USD	-5,512.50	
09OCT17	S	1	PD09JAN18	6662.50	6881.00	USD	-5,462.50	
09OCT17	S	1	PD09JAN18	6660.00	6881.00	USD	-5,525.00	
09OCT17	S	1	PD09JAN18	6654.00	6881.00	USD	-5,675.00	
09OCT17	B	2	PD09JAN18	6682.00	6881.00	USD	9,950.00	
09OCT17	S	1	PD09JAN18	6642.00	6881.00	USD	-5,975.00	
09OCT17	S	3	PD09JAN18	6661.50	6881.00	USD	-16,462.50	
09OCT17	S	1	PD09JAN18	6636.00	6881.00	USD	-6,125.00	
09OCT17	B	2	PD09JAN18	6682.50	6881.00	USD	9,925.00	
09OCT17	B	1	PD09JAN18	6675.00	6881.00	USD	5,150.00	
09OCT17	B	3	PD09JAN18	6674.00	6881.00	USD	15,525.00	
09OCT17	B	1	PD09JAN18	6673.00	6881.00	USD	5,200.00	
	NET	-9 AVG BGHT 6678.05	SOLD 6657.84				-55,262.50	0.00
10OCT17	S	1	PD10JAN18	6686.00	6881.33	USD	-4,883.25	
10OCT17	S	1	PD10JAN18	6687.00	6881.33	USD	-4,858.25	
10OCT17	S	2	PD10JAN18	6688.00	6881.33	USD	-9,666.50	
10OCT17	B	1	PD10JAN18	6691.00	6881.33	USD	4,758.25	
10OCT17	B	1	PD10JAN18	6690.00	6881.33	USD	4,783.25	
10OCT17	B	1	PD10JAN18	6693.00	6881.33	USD	4,708.25	
10OCT17	B	1	PD10JAN18	6692.00	6881.33	USD	4,733.25	
10OCT17	B	1	PD10JAN18	6696.00	6881.33	USD	4,633.25	
10OCT17	B	1	PD10JAN18	6698.50	6881.33	USD	4,570.75	
10OCT17	B	1	PD10JAN18	6697.00	6881.33	USD	4,608.25	
10OCT17	B	1	PD10JAN18	6691.50	6881.33	USD	4,745.75	
10OCT17	B	2	PD10JAN18	6690.50	6881.33	USD	9,541.50	
10OCT17	B	1	PD10JAN18	6692.50	6881.33	USD	4,720.75	
10OCT17	S	1	PD10JAN18	6698.50	6881.33	USD	-4,570.75	
10OCT17	S	1	PD10JAN18	6697.50	6881.33	USD	-4,595.75	
10OCT17	S	1	PD10JAN18	6699.00	6881.33	USD	-4,558.25	
10OCT17	S	1	PD10JAN18	6690.50	6881.33	USD	-4,770.75	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0089T

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
10OCT17	S	1	PD10JAN18	6684.00	6881.33	USD	-4,933.25	
10OCT17	S	1	PD10JAN18	6685.00	6881.33	USD	-4,908.25	
10OCT17	S	2	PD10JAN18	6692.50	6881.33	USD	-9,441.50	
10OCT17	S	1	PD10JAN18	6694.00	6881.33	USD	-4,683.25	
10OCT17	S	1	PD10JAN18	6701.00	6881.33	USD	-4,508.25	
10OCT17	S	1	PD10JAN18	6701.50	6881.33	USD	-4,495.75	
10OCT17	S	2	PD10JAN18	6700.00	6881.33	USD	-9,066.50	
10OCT17	S	1	PD10JAN18	6697.00	6881.33	USD	-4,608.25	
10OCT17	B	2	PD10JAN18	6740.50	6881.33	USD	7,041.50	
10OCT17	B	1	PD10JAN18	6738.00	6881.33	USD	3,583.25	
10OCT17	B	3	PD10JAN18	6739.00	6881.33	USD	10,674.75	
10OCT17	B	1	PD10JAN18	6737.00	6881.33	USD	3,608.25	
10OCT17	B	3	PD10JAN18	6752.00	6881.33	USD	9,699.75	
10OCT17	B	1	PD10JAN18	6750.50	6881.33	USD	3,270.75	
10OCT17	S	1	PD10JAN18	6743.00	6881.33	USD	-3,458.25	
10OCT17	S	1	PD10JAN18	6749.50	6881.33	USD	-3,295.75	
10OCT17	S	1	PD10JAN18	6747.50	6881.33	USD	-3,345.75	
10OCT17	S	1	PD10JAN18	6750.50	6881.33	USD	-3,270.75	
10OCT17	S	1	PD10JAN18	6752.00	6881.33	USD	-3,233.25	
10OCT17	B	1	PD10JAN18	6739.00	6881.33	USD	3,558.25	
	NET	0AVG BGHT 6719.17	SOLD 6705.41				-7,912.50	0.00
11OCT17	B	2	PD11JAN18	6731.50	6881.67	USD	7,508.50	
11OCT17	B	2	PD11JAN18	6777.00	6881.67	USD	5,233.50	
11OCT17	B	2	PD11JAN18	6771.50	6881.67	USD	5,508.50	
11OCT17	B	2	PD11JAN18	6765.00	6881.67	USD	5,833.50	
11OCT17	B	1	PD11JAN18	6767.00	6881.67	USD	2,866.75	
11OCT17	B	5	PD11JAN18	6767.50	6881.67	USD	14,271.25	
11OCT17	B	2	PD11JAN18	6766.00	6881.67	USD	5,783.50	
11OCT17	B	1	PD11JAN18	6768.00	6881.67	USD	2,841.75	
11OCT17	B	1	PD11JAN18	6773.50	6881.67	USD	2,704.25	
11OCT17	B	1	PD11JAN18	6778.00	6881.67	USD	2,591.75	
11OCT17	B	2	PD11JAN18	6781.00	6881.67	USD	5,033.50	
11OCT17	B	1	PD11JAN18	6767.00	6881.67	USD	2,866.75	
11OCT17	B	3	PD11JAN18	6773.00	6881.67	USD	8,150.25	
	NET	+25AVG BGHT 6767.76					71,193.75	0.00
13OCT17	S	1	PD12JAN18	6873.50	6882.00	USD	-212.50	
13OCT17	S	2	PD12JAN18	6888.50	6882.00	USD	325.00	
	NET	-3AVG SOLD 6883.50					112.50	0.00
	<u>CMX COPPER 25,000 CMX</u>							
27SEP17	S	1	DEC17	294.350	313.350	USD	-4,750.00	
27SEP17	S	2	DEC17	294.100	313.350	USD	-9,625.00	
27SEP17	S	2	DEC17	294.250	313.350	USD	-9,550.00	
27SEP17	S	4	DEC17	294.300	313.350	USD	-19,050.00	
27SEP17	S	2	DEC17	294.150	313.350	USD	-9,600.00	
27SEP17	S	3	DEC17	294.200	313.350	USD	-14,362.50	
27SEP17	S	4	DEC17	293.750	313.350	USD	-19,600.00	
27SEP17	S	4	DEC17	292.950	313.350	USD	-20,400.00	
28SEP17	S	2	DEC17	296.350	313.350	USD	-8,500.00	
28SEP17	S	1	DEC17	296.850	313.350	USD	-4,125.00	
28SEP17	S	2	DEC17	296.650	313.350	USD	-8,350.00	
28SEP17	S	2	DEC17	296.500	313.350	USD	-8,425.00	
28SEP17	S	8	DEC17	296.400	313.350	USD	-33,900.00	
28SEP17	S	1	DEC17	296.450	313.350	USD	-4,225.00	
28SEP17	S	2	DEC17	296.600	313.350	USD	-8,375.00	
02OCT17	S	2	DEC17	296.900	313.350	USD	-8,225.00	
03OCT17	S	1	DEC17	296.550	313.350	USD	-4,200.00	

OPEN POSITION STATEMENT



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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	CMX COPPER 25,000 CMX							
030CT17	S	2	DEC17	296.400	313.350	USD	-8,475.00	
030CT17	S	3	DEC17	296.350	313.350	USD	-12,750.00	
050CT17	S	5	DEC17	297.950	313.350	USD	-19,250.00	
050CT17	S	7	DEC17	297.900	313.350	USD	-27,037.50	
050CT17	S	8	DEC17	298.000	313.350	USD	-30,700.00	
050CT17	S	6	DEC17	298.050	313.350	USD	-22,950.00	
050CT17	S	2	DEC17	298.100	313.350	USD	-7,625.00	
050CT17	S	1	DEC17	298.150	313.350	USD	-3,800.00	
050CT17	S	2	DEC17	302.450	313.350	USD	-5,450.00	
050CT17	S	2	DEC17	302.750	313.350	USD	-5,300.00	
050CT17	S	2	DEC17	302.700	313.350	USD	-5,325.00	
050CT17	S	5	DEC17	302.800	313.350	USD	-13,187.50	
050CT17	S	2	DEC17	303.100	313.350	USD	-5,125.00	
050CT17	S	3	DEC17	303.000	313.350	USD	-7,762.50	
050CT17	S	2	DEC17	303.200	313.350	USD	-5,075.00	
050CT17	S	6	DEC17	303.150	313.350	USD	-15,300.00	
050CT17	S	2	DEC17	303.750	313.350	USD	-4,800.00	
050CT17	S	1	DEC17	303.800	313.350	USD	-2,387.50	
050CT17	S	12	DEC17	303.700	313.350	USD	-28,950.00	
050CT17	S	2	DEC17	303.500	313.350	USD	-4,925.00	
050CT17	S	4	DEC17	303.550	313.350	USD	-9,800.00	
050CT17	S	1	DEC17	303.600	313.350	USD	-2,437.50	
110CT17	S	2	DEC17	305.850	313.350	USD	-3,750.00	
110CT17	S	2	DEC17	305.800	313.350	USD	-3,775.00	
110CT17	S	2	DEC17	307.650	313.350	USD	-2,850.00	
110CT17	S	2	DEC17	307.600	313.350	USD	-2,875.00	
110CT17	S	1	DEC17	307.500	313.350	USD	-1,462.50	
110CT17	S	2	DEC17	307.300	313.350	USD	-3,025.00	
110CT17	S	4	DEC17	307.350	313.350	USD	-6,000.00	
110CT17	S	1	DEC17	307.250	313.350	USD	-1,525.00	
110CT17	S	10	DEC17	307.400	313.350	USD	-14,875.00	
110CT17	S	9	DEC17	307.450	313.350	USD	-13,275.00	
110CT17	S	5	DEC17	307.700	313.350	USD	-7,062.50	
110CT17	S	5	DEC17	307.750	313.350	USD	-7,000.00	
110CT17	S	6	DEC17	307.850	313.350	USD	-8,250.00	
110CT17	S	4	DEC17	308.050	313.350	USD	-5,300.00	
NET							-524,700.00	0.00

OPEN POSITION VALUE

			USD
LME Copper Grade A 25	FUTURES	-13,348,622.50	
CMX Copper	FUTURES	13,419,375.00	

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options	Amount	Profit / Loss	Total Amount	Total P&L
COPR - Futures USD	-524,700.00	-524,700.00	-524,700.00	-524,700.00
Unrealised Profits & Losses - Forwards	Amount	Profit / Loss	Total Amount	Total P&L
COPL - Futures USD	578,574.50	578,574.50	578,574.50	578,574.50

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ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	-57,550.41	-57,550.41
Variation Margins	-524,700.00	-524,700.00
- Futures	0.00	0.00
- Options	-582,250.41	-582,250.41
TOTAL EQUITY		
Initial Margins	-996,179.50	-996,179.50
Excess/(Shortage)	-1,578,429.91	-1,578,429.91
FOR INFORMATION ONLY		
Forward Unrealised P&L	578,574.50	578,574.50
Net Liquidating Value	-3,675.91	-3,675.91

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED
SAP0089 ARBS
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

GROUP NO: **SAPAB089**

13th Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343
Authorised and regulated by the Australian Prudential
Regulation Authority. Authorised by the Prudential
Regulation Authority. Subject to regulation by the Financial
Conduct Authority and limited regulation by the
Prudential Regulation Authority. Details about the extent
of our regulation by the Prudential Regulation Authority are
available from us on request.

GROUP FINANCIAL SUMMARY

	USD	NET USD
	(1.00000)	(1.00000)
CASH BALANCE	1,443,976.03	1,443,976.03
Variation Margins		
- Futures	-3,808,562.50	-3,808,562.50
- Options	0.00	0.00
TOTAL EQUITY	-2,364,586.47	-2,364,586.47
Initial Margins	-4,469,138.25	-4,469,138.25
Excess/(Shortage)	-6,833,724.72	-6,833,724.72
<u>FOR INFORMATION ONLY</u>		
Forward Unrealised P&L	5,698,519.75	5,698,519.75
Net Liquidating Value	3,333,933.28	3,333,933.28

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0090B

13th October 2017

Sapien Capital Limited
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London W1J 7NJ UK
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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
17JUL17	B	3	PD17OCT17	6008.50	6859.00	USD	63,787.50	
17JUL17	B	2	PD17OCT17	6009.50	6859.00	USD	42,475.00	
30AUG17	S	5	PD17OCT17	6755.32	6859.00	USD	-12,960.00	
	NET	0 AVG	BGHT 6008.90	SOLD 6755.32			93,302.50	0.00
02AUG17	S	1	PD02NOV17	6333.00	6859.82	USD	-13,170.50	
02AUG17	S	2	PD02NOV17	6335.00	6859.82	USD	-26,241.00	
02AUG17	S	1	PD02NOV17	6334.00	6859.82	USD	-13,145.50	
02AUG17	S	1	PD02NOV17	6332.00	6859.82	USD	-13,195.50	
02AUG17	B	4	PD02NOV17	6337.50	6859.82	USD	52,232.00	
02AUG17	B	1	PD02NOV17	6339.00	6859.82	USD	13,020.50	
	NET	0 AVG	BGHT 6337.80	SOLD 6333.80			-500.00	0.00
29AUG17	S	3	PD29NOV17	6804.50	6869.07	USD	-4,842.75	
29AUG17	S	1	PD29NOV17	6793.00	6869.07	USD	-1,901.75	
29AUG17	S	1	PD29NOV17	6792.00	6869.07	USD	-1,926.75	
29AUG17	S	1	PD29NOV17	6801.00	6869.07	USD	-1,701.75	
29AUG17	S	5	PD29NOV17	6791.50	6869.07	USD	-9,696.25	
29AUG17	S	1	PD29NOV17	6799.00	6869.07	USD	-1,751.75	
29AUG17	S	8	PD29NOV17	6800.00	6869.07	USD	-13,814.00	
29AUG17	S	4	PD29NOV17	6787.00	6869.07	USD	-8,207.00	
29AUG17	S	2	PD29NOV17	6804.00	6869.07	USD	-3,253.50	
29AUG17	S	3	PD29NOV17	6803.50	6869.07	USD	-4,917.75	
29AUG17	S	2	PD29NOV17	6802.50	6869.07	USD	-3,328.50	
29AUG17	S	3	PD29NOV17	6788.00	6869.07	USD	-6,080.25	
29AUG17	S	2	PD29NOV17	6794.00	6869.07	USD	-3,753.50	
29AUG17	S	4	PD29NOV17	6786.00	6869.07	USD	-8,307.00	
29AUG17	S	10	PD29NOV17	6806.00	6869.07	USD	-15,767.50	
30AUG17	B	50	PD29NOV17	6768.95	6869.07	USD	125,150.00	
	NET	0 AVG	BGHT 6768.95	SOLD 6797.67			35,900.00	0.00

OPEN POSITION VALUE

LME Copper Grade A 25 FUTURES USD
128,702.50

MARKET REVALUATION BREAKDOWN

Unrealised Profits & Losses - Forwards	Amount	Profit / Loss	Total Amount	Total P&L
COPL - Futures USD	128,702.50	128,702.50	128,702.50	128,702.50

OPEN POSITION STATEMENT



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SAP0090B

13th October 2017

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London W1J 7NJ UK
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ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	12,022.38	12,022.38
Variation Margins		
- Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	12,022.38	12,022.38
Initial Margins	0.00	0.00
Excess/(Shortage)	12,022.38	12,022.38
FOR INFORMATION ONLY		
Forward Unrealised P&L	128,702.50	128,702.50
Net Liquidating Value	140,724.88	140,724.88

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED
SAP0090 ARBS
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

GROUP NO: **SAPAB090**

13th Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343
Authorised and regulated by the Australian Prudential
Regulation Authority. Authorised by the Prudential
Regulation Authority. Subject to regulation by the Financial
Conduct Authority and limited regulation by the
Prudential Regulation Authority. Details about the extent
of our regulation by the Prudential Regulation Authority are
available from us on request.

GROUP FINANCIAL SUMMARY

	USD	NET USD
	(1.00000)	(1.00000)
CASH BALANCE	12,022.38	12,022.38
Variation Margins		
- Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	12,022.38	12,022.38
Initial Margins	0.00	0.00
Excess/(Shortage)	12,022.38	12,022.38
<u>FOR INFORMATION ONLY</u>		
Forward Unrealised P&L	128,702.50	128,702.50
Net Liquidating Value	140,724.88	140,724.88

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0096H

13th October 2017

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
17JUL17	B	1	PD17OCT17	6012.50	6859.00	USD	21,162.50	
17JUL17	B	2	PD17OCT17	6014.50	6859.00	USD	42,225.00	
17JUL17	B	2	PD17OCT17	6015.00	6859.00	USD	42,200.00	
17JUL17	B	2	PD17OCT17	6009.00	6859.00	USD	42,500.00	
17JUL17	B	3	PD17OCT17	6011.00	6859.00	USD	63,600.00	
05OCT17	S	10	PD17OCT17	6666.56	6859.00	USD	-48,110.00	
	NET	0 AVG	BGHT 6012.25	SOLD 6666.56			163,577.50	0.00
18JUL17	B	2	PD18OCT17	5978.50	6859.50	USD	44,050.00	
18JUL17	B	1	PD18OCT17	5981.00	6859.50	USD	21,962.50	
18JUL17	B	3	PD18OCT17	5982.00	6859.50	USD	65,812.50	
18JUL17	B	1	PD18OCT17	5983.00	6859.50	USD	21,912.50	
18JUL17	B	1	PD18OCT17	5986.00	6859.50	USD	21,837.50	
18JUL17	B	1	PD18OCT17	5988.00	6859.50	USD	21,787.50	
18JUL17	B	1	PD18OCT17	5987.00	6859.50	USD	21,812.50	
05OCT17	S	10	PD18OCT17	6667.75	6859.50	USD	-47,937.50	
	NET	0 AVG	BGHT 5982.80	SOLD 6667.75			171,237.50	0.00
25JUL17	B	3	PD25OCT17	6210.00	6857.50	USD	48,562.50	
25JUL17	B	2	PD25OCT17	6210.50	6857.50	USD	32,350.00	
05OCT17	S	5	PD25OCT17	6673.18	6857.50	USD	-23,040.00	
	NET	0 AVG	BGHT 6210.20	SOLD 6673.18			57,872.50	0.00
26JUL17	S	1	PD26OCT17	6330.50	6857.50	USD	-13,175.00	
26JUL17	S	2	PD26OCT17	6331.50	6857.50	USD	-26,300.00	
26JUL17	S	1	PD26OCT17	6332.00	6857.50	USD	-13,137.50	
26JUL17	B	2	PD26OCT17	6303.50	6857.50	USD	27,700.00	
26JUL17	B	3	PD26OCT17	6306.00	6857.50	USD	41,362.50	
26JUL17	S	1	PD26OCT17	6333.00	6857.50	USD	-13,112.50	
	NET	0 AVG	BGHT 6305.00	SOLD 6331.70			3,337.50	0.00
31JUL17	S	2	PD31OCT17	6385.50	6858.27	USD	-23,638.50	
31JUL17	S	2	PD31OCT17	6386.50	6858.27	USD	-23,588.50	
31JUL17	S	1	PD31OCT17	6385.00	6858.27	USD	-11,831.75	
05OCT17	B	5	PD31OCT17	6675.95	6858.27	USD	22,790.00	
	NET	0 AVG	BGHT 6675.95	SOLD 6385.80			-36,268.75	0.00
01AUG17	B	2	PD01NOV17	6351.50	6859.05	USD	25,377.50	
01AUG17	B	1	PD01NOV17	6352.00	6859.05	USD	12,676.25	
01AUG17	B	1	PD01NOV17	6350.50	6859.05	USD	12,713.75	
01AUG17	B	1	PD01NOV17	6351.00	6859.05	USD	12,701.25	
05OCT17	S	5	PD01NOV17	6676.56	6859.05	USD	-22,811.25	
	NET	0 AVG	BGHT 6351.30	SOLD 6676.56			40,657.50	0.00
02AUG17	S	1	PD02NOV17	6338.00	6859.82	USD	-13,045.50	
02AUG17	S	3	PD02NOV17	6338.50	6859.82	USD	-39,099.00	
02AUG17	S	1	PD02NOV17	6340.50	6859.82	USD	-12,983.00	
05OCT17	B	5	PD02NOV17	6677.58	6859.82	USD	22,780.00	
	NET	0 AVG	BGHT 6677.58	SOLD 6338.80			-42,347.50	0.00
14AUG17	S	1	PD14NOV17	6401.00	6864.67	USD	-11,591.75	
14AUG17	S	2	PD14NOV17	6400.00	6864.67	USD	-23,233.50	
14AUG17	S	2	PD14NOV17	6402.00	6864.67	USD	-23,133.50	
05OCT17	B	5	PD14NOV17	6682.88	6864.67	USD	22,723.75	
	NET	0 AVG	BGHT 6682.88	SOLD 6401.00			-35,235.00	0.00
15AUG17	S	2	PD15NOV17	6367.00	6864.00	USD	-24,850.00	
15AUG17	S	2	PD15NOV17	6367.50	6864.00	USD	-24,825.00	
15AUG17	S	1	PD15NOV17	6368.50	6864.00	USD	-12,387.50	
05OCT17	B	5	PD15NOV17	6682.95	6864.00	USD	22,631.25	
	NET	0 AVG	BGHT 6682.95	SOLD 6367.50			-39,431.25	0.00
18AUG17	S	2	PD17NOV17	6501.50	6863.47	USD	-18,098.50	
18AUG17	S	3	PD17NOV17	6503.00	6863.47	USD	-27,035.25	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0096H

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
18AUG17	S	1	PD17NOV17	6505.00	6863.47	USD	-8,961.75	
18AUG17	S	3	PD17NOV17	6506.00	6863.47	USD	-26,810.25	
18AUG17	S	1	PD17NOV17	6505.50	6863.47	USD	-8,949.25	
18AUG17	S	2	PD17NOV17	6467.50	6863.47	USD	-19,798.50	
18AUG17	S	1	PD17NOV17	6468.50	6863.47	USD	-9,874.25	
18AUG17	S	1	PD17NOV17	6469.00	6863.47	USD	-9,861.75	
18AUG17	S	1	PD17NOV17	6470.50	6863.47	USD	-9,824.25	
18AUG17	S	1	PD17NOV17	6468.00	6863.47	USD	-9,886.75	
18AUG17	S	4	PD17NOV17	6469.50	6863.47	USD	-39,397.00	
18SEP17	B	20	PD17NOV17	6512.43	6863.47	USD	175,520.00	
	NET	0 AVG BGHT 6512.43	SOLD 6486.48				-12,977.50	0.00
08SEP17	S	1	PD08DEC17	6823.00	6872.83	USD	-1,245.75	
08SEP17	S	2	PD08DEC17	6823.50	6872.83	USD	-2,466.50	
08SEP17	S	1	PD08DEC17	6824.00	6872.83	USD	-1,220.75	
08SEP17	S	1	PD08DEC17	6827.50	6872.83	USD	-1,133.25	
05OCT17	B	5	PD08DEC17	6694.20	6872.83	USD	22,328.75	
	NET	0 AVG BGHT 6694.20	SOLD 6824.30				16,262.50	0.00
12SEP17	S	3	PD12DEC17	6660.00	6874.50	USD	-16,087.50	
12SEP17	S	1	PD12DEC17	6660.50	6874.50	USD	-5,350.00	
12SEP17	S	1	PD12DEC17	6661.00	6874.50	USD	-5,337.50	
05OCT17	B	5	PD12DEC17	6696.40	6874.50	USD	22,262.50	
	NET	0 AVG BGHT 6696.40	SOLD 6660.30				-4,512.50	0.00

OPEN POSITION VALUE

		<u>USD</u>
LME Copper Grade A 25	FUTURES	282,172.50

MARKET REVALUATION BREAKDOWN

Unrealised Profits & Losses - Forwards	Amount	Profit / Loss	Total Amount	Total P&L
COPL - Futures USD	282,172.50	282,172.50	282,172.50	282,172.50

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	-282,474.50	-282,474.50
Variation Margins - Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	-282,474.50	-282,474.50
Initial Margins	0.00	0.00
Excess/(Shortage)	-282,474.50	-282,474.50
FOR INFORMATION ONLY		
Forward Unrealised P&L	282,172.50	282,172.50
Net Liquidating Value	-302.00	-302.00

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED
SAP0096 ARBS
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

GROUP NO: **SAPAB096**

13th Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343
Authorised and regulated by the Australian Prudential
Regulation Authority. Authorised by the Prudential
Regulation Authority. Subject to regulation by the Financial
Conduct Authority and limited regulation by the
Prudential Regulation Authority. Details about the extent
of our regulation by the Prudential Regulation Authority are
available from us on request.

GROUP FINANCIAL SUMMARY

	USD	NET USD
	(1.00000)	(1.00000)
CASH BALANCE	-282,474.50	-282,474.50
Variation Margins		
- Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	-282,474.50	-282,474.50
Initial Margins	0.00	0.00
Excess/(Shortage)	-282,474.50	-282,474.50
<u>FOR INFORMATION ONLY</u>		
Forward Unrealised P&L	282,172.50	282,172.50
Net Liquidating Value	-302.00	-302.00

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0097

13th October 2017

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ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	2,626,080.89	2,626,080.89
Variation Margins		
- Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	2,626,080.89	2,626,080.89
Initial Margins	0.00	0.00
Excess/(Shortage)	2,626,080.89	2,626,080.89
FOR INFORMATION ONLY		
Net Liquidating Value	2,626,080.89	2,626,080.89

OPEN POSITION STATEMENT



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SAP0097B

13th October 2017

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
17JUL17	B	1	PD17OCT17	6003.50	6859.00	USD	21,387.50	
17JUL17	B	1	PD17OCT17	6005.00	6859.00	USD	21,350.00	
17JUL17	B	1	PD17OCT17	6006.00	6859.00	USD	21,325.00	
17JUL17	B	2	PD17OCT17	6007.00	6859.00	USD	42,600.00	
17JUL17	B	1	PD17OCT17	6007.50	6859.00	USD	21,287.50	
17JUL17	B	1	PD17OCT17	6009.00	6859.00	USD	21,250.00	
17JUL17	B	1	PD17OCT17	5999.50	6859.00	USD	21,487.50	
17JUL17	B	2	PD17OCT17	6003.00	6859.00	USD	42,800.00	
17JUL17	B	5	PD17OCT17	6000.00	6859.00	USD	107,375.00	
17JUL17	B	1	PD17OCT17	6000.50	6859.00	USD	21,462.50	
17JUL17	B	2	PD17OCT17	6001.50	6859.00	USD	42,875.00	
17JUL17	B	2	PD17OCT17	6002.00	6859.00	USD	42,850.00	
17JUL17	B	4	PD17OCT17	6001.00	6859.00	USD	85,800.00	
17JUL17	B	1	PD17OCT17	6008.00	6859.00	USD	21,275.00	
26JUL17	S	25	PD17OCT17	6329.48	6859.00	USD	-330,950.00	
	NET	0AVG BGHT 6002.80	SOLD 6329.48				204,175.00	0.00
18JUL17	B	1	PD18OCT17	6002.50	6859.50	USD	21,425.00	
18JUL17	B	1	PD18OCT17	6003.50	6859.50	USD	21,400.00	
18JUL17	B	2	PD18OCT17	6004.00	6859.50	USD	42,775.00	
18JUL17	B	1	PD18OCT17	6004.50	6859.50	USD	21,375.00	
18JUL17	B	1	PD18OCT17	6005.00	6859.50	USD	21,362.50	
18JUL17	B	1	PD18OCT17	6005.50	6859.50	USD	21,350.00	
18JUL17	B	1	PD18OCT17	6009.00	6859.50	USD	21,262.50	
18JUL17	B	4	PD18OCT17	6006.00	6859.50	USD	85,350.00	
18JUL17	B	1	PD18OCT17	6006.50	6859.50	USD	21,325.00	
18JUL17	B	4	PD18OCT17	6007.00	6859.50	USD	85,250.00	
18JUL17	B	3	PD18OCT17	6008.00	6859.50	USD	63,862.50	
18JUL17	B	1	PD18OCT17	6011.50	6859.50	USD	21,200.00	
18JUL17	B	1	PD18OCT17	6012.00	6859.50	USD	21,187.50	
18JUL17	B	1	PD18OCT17	6013.00	6859.50	USD	21,162.50	
18JUL17	B	1	PD18OCT17	6013.50	6859.50	USD	21,150.00	
18JUL17	B	1	PD18OCT17	6014.00	6859.50	USD	21,137.50	
26JUL17	S	25	PD18OCT17	6329.80	6859.50	USD	-331,062.50	
	NET	0AVG BGHT 6007.38	SOLD 6329.80				201,512.50	0.00
20JUL17	B	4	PD20OCT17	5973.00	6857.50	USD	88,450.00	
20JUL17	B	2	PD20OCT17	5974.50	6857.50	USD	44,150.00	
20JUL17	B	1	PD20OCT17	5966.00	6857.50	USD	22,287.50	
20JUL17	B	1	PD20OCT17	5968.00	6857.50	USD	22,237.50	
20JUL17	B	1	PD20OCT17	5969.00	6857.50	USD	22,212.50	
20JUL17	B	6	PD20OCT17	5969.50	6857.50	USD	133,200.00	
20JUL17	B	2	PD20OCT17	5970.00	6857.50	USD	44,375.00	
20JUL17	B	2	PD20OCT17	5971.00	6857.50	USD	44,325.00	
20JUL17	B	1	PD20OCT17	5971.50	6857.50	USD	22,150.00	
20JUL17	B	5	PD20OCT17	5972.00	6857.50	USD	110,687.50	
20JUL17	B	5	PD20OCT17	5972.50	6857.50	USD	110,625.00	
26JUL17	S	30	PD20OCT17	6327.80	6857.50	USD	-397,275.00	
	NET	0AVG BGHT 5971.23	SOLD 6327.80				267,425.00	0.00
24JUL17	S	4	PD24OCT17	5991.00	6857.50	USD	-86,650.00	
24JUL17	S	1	PD24OCT17	5992.00	6857.50	USD	-21,637.50	
24JUL17	S	1	PD24OCT17	5993.00	6857.50	USD	-21,612.50	
24JUL17	S	1	PD24OCT17	5996.00	6857.50	USD	-21,537.50	
24JUL17	S	1	PD24OCT17	5998.50	6857.50	USD	-21,475.00	
24JUL17	S	1	PD24OCT17	6000.50	6857.50	USD	-21,425.00	
24JUL17	S	1	PD24OCT17	6002.00	6857.50	USD	-21,387.50	
24JUL17	S	1	PD24OCT17	6011.50	6857.50	USD	-21,150.00	
24JUL17	S	1	PD24OCT17	6012.50	6857.50	USD	-21,125.00	

OPEN POSITION STATEMENT



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SAP0097B

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
24JUL17	S	1	PD240CT17	6014.00	6857.50	USD	-21,087.50	
24JUL17	S	3	PD240CT17	6011.00	6857.50	USD	-63,487.50	
24JUL17	S	2	PD240CT17	6012.00	6857.50	USD	-42,275.00	
24JUL17	S	1	PD240CT17	6013.00	6857.50	USD	-21,112.50	
24JUL17	S	1	PD240CT17	6014.50	6857.50	USD	-21,075.00	
24JUL17	S	1	PD240CT17	6020.50	6857.50	USD	-20,925.00	
24JUL17	S	4	PD240CT17	6021.50	6857.50	USD	-83,600.00	
24JUL17	S	3	PD240CT17	6022.00	6857.50	USD	-62,662.50	
24JUL17	S	1	PD240CT17	6026.00	6857.50	USD	-20,787.50	
24JUL17	S	1	PD240CT17	6023.00	6857.50	USD	-20,862.50	
27JUL17	B	30	PD240CT17	6329.14	6857.50	USD	396,270.00	
	NET	0AVG BGHT 6329.14	SOLD 6009.67				-239,605.00	0.00
25JUL17	B	1	PD250CT17	6225.50	6857.50	USD	15,800.00	
25JUL17	B	1	PD250CT17	6196.50	6857.50	USD	16,525.00	
25JUL17	B	1	PD250CT17	6197.00	6857.50	USD	16,512.50	
25JUL17	B	1	PD250CT17	6205.00	6857.50	USD	16,312.50	
25JUL17	B	2	PD250CT17	6206.00	6857.50	USD	32,575.00	
25JUL17	B	1	PD250CT17	6225.00	6857.50	USD	15,812.50	
25JUL17	B	1	PD250CT17	6209.00	6857.50	USD	16,212.50	
25JUL17	B	1	PD250CT17	6209.50	6857.50	USD	16,200.00	
25JUL17	B	3	PD250CT17	6213.00	6857.50	USD	48,337.50	
25JUL17	B	1	PD250CT17	6214.00	6857.50	USD	16,087.50	
25JUL17	B	2	PD250CT17	6222.50	6857.50	USD	31,750.00	
25JUL17	B	1	PD250CT17	6223.00	6857.50	USD	15,862.50	
25JUL17	B	1	PD250CT17	6223.50	6857.50	USD	15,850.00	
25JUL17	B	2	PD250CT17	6226.00	6857.50	USD	31,575.00	
25JUL17	B	1	PD250CT17	6226.50	6857.50	USD	15,775.00	
27JUL17	S	20	PD250CT17	6329.23	6857.50	USD	-264,135.00	
	NET	0AVG BGHT 6215.13	SOLD 6329.23				57,052.50	0.00
26JUL17	B	5	PD260CT17	6351.50	6857.50	USD	63,250.00	
26JUL17	B	1	PD260CT17	6355.50	6857.50	USD	12,550.00	
26JUL17	B	4	PD260CT17	6356.00	6857.50	USD	50,150.00	
26JUL17	S	2	PD260CT17	6349.00	6857.50	USD	-25,425.00	
26JUL17	S	2	PD260CT17	6352.50	6857.50	USD	-25,250.00	
26JUL17	B	2	PD260CT17	6305.50	6857.50	USD	27,600.00	
26JUL17	B	1	PD260CT17	6306.00	6857.50	USD	13,787.50	
26JUL17	B	1	PD260CT17	6307.50	6857.50	USD	13,750.00	
26JUL17	B	1	PD260CT17	6308.50	6857.50	USD	13,725.00	
26JUL17	S	1	PD260CT17	6352.00	6857.50	USD	-12,637.50	
26JUL17	B	5	PD260CT17	6354.00	6857.50	USD	62,937.50	
26JUL17	B	1	PD260CT17	6279.00	6857.50	USD	14,462.50	
26JUL17	B	1	PD260CT17	6280.00	6857.50	USD	14,437.50	
26JUL17	B	1	PD260CT17	6285.00	6857.50	USD	14,312.50	
26JUL17	B	1	PD260CT17	6286.00	6857.50	USD	14,287.50	
27JUL17	S	11	PD260CT17	6329.51	6857.50	USD	-145,197.25	
09AUG17	S	8	PD260CT17	6449.57	6857.50	USD	-81,586.00	
	NET	0AVG BGHT 6332.08	SOLD 6374.01				25,154.25	0.00
28JUL17	S	2	PD270CT17	6319.00	6857.50	USD	-26,925.00	
28JUL17	S	1	PD270CT17	6320.50	6857.50	USD	-13,425.00	
28JUL17	S	1	PD270CT17	6321.00	6857.50	USD	-13,412.50	
28JUL17	S	3	PD270CT17	6322.00	6857.50	USD	-40,162.50	
28JUL17	S	1	PD270CT17	6323.50	6857.50	USD	-13,350.00	
28JUL17	S	1	PD270CT17	6324.50	6857.50	USD	-13,325.00	
28JUL17	S	1	PD270CT17	6328.00	6857.50	USD	-13,237.50	
28JUL17	S	1	PD270CT17	6331.00	6857.50	USD	-13,162.50	
28JUL17	S	3	PD270CT17	6326.50	6857.50	USD	-39,825.00	

OPEN POSITION STATEMENT



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SAP0097B

13th October 2017

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
28JUL17	S	3	PD27OCT17	6327.00	6857.50	USD	-39,787.50	
28JUL17	S	1	PD27OCT17	6329.00	6857.50	USD	-13,212.50	
28JUL17	S	2	PD27OCT17	6329.50	6857.50	USD	-26,400.00	
28JUL17	S	2	PD27OCT17	6330.00	6857.50	USD	-26,375.00	
28JUL17	S	2	PD27OCT17	6330.50	6857.50	USD	-26,350.00	
04AUG17	B	12	PD27OCT17	6370.25	6857.50	USD	146,175.00	
09AUG17	B	8	PD27OCT17	6450.20	6857.50	USD	81,460.00	
10AUG17	B	4	PD27OCT17	6417.45	6857.50	USD	44,005.00	
	NET	0AVG BGHT 6404.77	SOLD 6325.92				-47,310.00	0.00
31JUL17	S	1	PD31OCT17	6363.00	6858.27	USD	-12,381.75	
31JUL17	S	1	PD31OCT17	6367.50	6858.27	USD	-12,269.25	
31JUL17	S	4	PD31OCT17	6368.50	6858.27	USD	-48,977.00	
31JUL17	S	2	PD31OCT17	6369.00	6858.27	USD	-24,463.50	
31JUL17	S	5	PD31OCT17	6369.50	6858.27	USD	-61,096.25	
31JUL17	S	1	PD31OCT17	6371.00	6858.27	USD	-12,181.75	
31JUL17	S	1	PD31OCT17	6372.00	6858.27	USD	-12,156.75	
31JUL17	S	1	PD31OCT17	6365.00	6858.27	USD	-12,331.75	
31JUL17	S	1	PD31OCT17	6366.00	6858.27	USD	-12,306.75	
31JUL17	S	1	PD31OCT17	6367.00	6858.27	USD	-12,281.75	
31JUL17	S	2	PD31OCT17	6366.50	6858.27	USD	-24,588.50	
10AUG17	B	20	PD31OCT17	6419.09	6858.27	USD	219,590.00	
	NET	0AVG BGHT 6419.09	SOLD 6368.20				-25,445.00	0.00
01AUG17	B	1	PD01NOV17	6339.50	6859.05	USD	12,988.75	
01AUG17	B	1	PD01NOV17	6341.50	6859.05	USD	12,938.75	
01AUG17	B	3	PD01NOV17	6342.50	6859.05	USD	38,741.25	
04AUG17	S	5	PD01NOV17	6371.30	6859.05	USD	-60,968.75	
	NET	0AVG BGHT 6341.70	SOLD 6371.30				3,700.00	0.00
02AUG17	B	1	PD02NOV17	6357.50	6859.82	USD	12,558.00	
02AUG17	B	1	PD02NOV17	6357.00	6859.82	USD	12,570.50	
02AUG17	B	5	PD02NOV17	6359.50	6859.82	USD	62,540.00	
04AUG17	S	7	PD02NOV17	6371.55	6859.82	USD	-85,447.25	
	NET	0AVG BGHT 6358.86	SOLD 6371.55				2,221.25	0.00
07AUG17	S	1	PD07NOV17	6412.00	6863.68	USD	-11,292.00	
07AUG17	S	1	PD07NOV17	6413.00	6863.68	USD	-11,267.00	
14AUG17	B	2	PD07NOV17	6394.71	6863.68	USD	23,448.50	
	NET	0AVG BGHT 6394.71	SOLD 6412.50				889.50	0.00
08AUG17	S	1	PD08NOV17	6424.50	6864.45	USD	-10,998.75	
08AUG17	S	1	PD08NOV17	6426.50	6864.45	USD	-10,948.75	
08AUG17	S	1	PD08NOV17	6427.00	6864.45	USD	-10,936.25	
08AUG17	S	2	PD08NOV17	6428.00	6864.45	USD	-21,822.50	
14AUG17	B	5	PD08NOV17	6395.07	6864.45	USD	58,672.50	
	NET	0AVG BGHT 6395.07	SOLD 6426.80				3,966.25	0.00
09AUG17	B	1	PD09NOV17	6484.00	6865.23	USD	9,530.75	
09AUG17	B	1	PD09NOV17	6484.50	6865.23	USD	9,518.25	
09AUG17	B	1	PD09NOV17	6485.50	6865.23	USD	9,493.25	
09AUG17	B	2	PD09NOV17	6486.00	6865.23	USD	18,961.50	
09AUG17	B	1	PD09NOV17	6487.50	6865.23	USD	9,443.25	
09AUG17	B	1	PD09NOV17	6488.00	6865.23	USD	9,430.75	
09AUG17	B	1	PD09NOV17	6488.50	6865.23	USD	9,418.25	
09AUG17	B	4	PD09NOV17	6489.50	6865.23	USD	37,573.00	
09AUG17	B	3	PD09NOV17	6490.00	6865.23	USD	28,142.25	
09AUG17	B	1	PD09NOV17	6490.50	6865.23	USD	9,368.25	
09AUG17	B	4	PD09NOV17	6491.00	6865.23	USD	37,423.00	
09AUG17	B	3	PD09NOV17	6491.50	6865.23	USD	28,029.75	
09AUG17	B	1	PD09NOV17	6492.00	6865.23	USD	9,330.75	
09AUG17	B	1	PD09NOV17	6492.50	6865.23	USD	9,318.25	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0097B

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
30AUG17	S	5	PD09NOV17	6764.80	6865.23	USD	-12,553.75	
07SEP17	S	20	PD09NOV17	6889.40	6865.23	USD	12,085.00	
	NET	0AVG	BGHT 6489.26	SOLD 6864.48			234,512.50	0.00
11AUG17	B	2	PD10NOV17	6426.50	6866.00	USD	21,975.00	
11AUG17	B	3	PD10NOV17	6420.00	6866.00	USD	33,450.00	
11AUG17	B	5	PD10NOV17	6421.00	6866.00	USD	55,625.00	
11AUG17	B	1	PD10NOV17	6422.00	6866.00	USD	11,100.00	
11AUG17	B	3	PD10NOV17	6423.00	6866.00	USD	33,225.00	
11AUG17	B	1	PD10NOV17	6423.50	6866.00	USD	11,062.50	
11AUG17	B	1	PD10NOV17	6424.50	6866.00	USD	11,037.50	
11AUG17	B	7	PD10NOV17	6425.00	6866.00	USD	77,175.00	
11AUG17	B	2	PD10NOV17	6421.50	6866.00	USD	22,225.00	
18SEP17	S	15	PD10NOV17	6508.92	6866.00	USD	-133,905.00	
26SEP17	S	5	PD10NOV17	6387.75	6866.00	USD	-59,781.25	
11OCT17	S	5	PD10NOV17	6777.50	6866.00	USD	-11,062.50	
	NET	0AVG	BGHT 6423.00	SOLD 6538.40			72,126.25	0.00
14AUG17	S	2	PD14NOV17	6422.00	6864.67	USD	-22,133.50	
14AUG17	S	1	PD14NOV17	6425.00	6864.67	USD	-10,991.75	
14AUG17	S	2	PD14NOV17	6423.00	6864.67	USD	-22,083.50	
14AUG17	S	1	PD14NOV17	6420.50	6864.67	USD	-11,104.25	
14AUG17	S	2	PD14NOV17	6421.00	6864.67	USD	-22,183.50	
14AUG17	S	2	PD14NOV17	6425.50	6864.67	USD	-21,958.50	
14AUG17	S	1	PD14NOV17	6393.50	6864.67	USD	-11,779.25	
14AUG17	S	1	PD14NOV17	6391.00	6864.67	USD	-11,841.75	
14AUG17	S	2	PD14NOV17	6392.00	6864.67	USD	-23,633.50	
14AUG17	S	1	PD14NOV17	6381.00	6864.67	USD	-12,091.75	
17AUG17	B	10	PD14NOV17	6491.95	6864.67	USD	93,180.00	
21AUG17	B	5	PD14NOV17	6586.70	6864.67	USD	34,746.25	
	NET	0AVG	BGHT 6523.53	SOLD 6411.87			-41,875.00	0.00
16AUG17	S	1	PD16NOV17	6371.00	6863.00	USD	-12,300.00	
16AUG17	S	2	PD16NOV17	6382.50	6863.00	USD	-24,025.00	
16AUG17	S	2	PD16NOV17	6383.00	6863.00	USD	-24,000.00	
16AUG17	S	1	PD16NOV17	6384.00	6863.00	USD	-11,975.00	
16AUG17	S	2	PD16NOV17	6384.50	6863.00	USD	-23,925.00	
16AUG17	S	1	PD16NOV17	6385.50	6863.00	USD	-11,937.50	
16AUG17	S	1	PD16NOV17	6386.00	6863.00	USD	-11,925.00	
16AUG17	S	1	PD16NOV17	6386.50	6863.00	USD	-11,912.50	
16AUG17	S	2	PD16NOV17	6390.00	6863.00	USD	-23,650.00	
16AUG17	S	1	PD16NOV17	6410.00	6863.00	USD	-11,325.00	
16AUG17	S	1	PD16NOV17	6418.00	6863.00	USD	-11,125.00	
16AUG17	S	1	PD16NOV17	6420.50	6863.00	USD	-11,062.50	
16AUG17	S	1	PD16NOV17	6420.00	6863.00	USD	-11,075.00	
16AUG17	B	1	PD16NOV17	6546.50	6863.00	USD	7,912.50	
16AUG17	B	2	PD16NOV17	6548.00	6863.00	USD	15,750.00	
16AUG17	B	1	PD16NOV17	6558.00	6863.00	USD	7,625.00	
16AUG17	B	1	PD16NOV17	6558.50	6863.00	USD	7,612.50	
21AUG17	B	12	PD16NOV17	6584.70	6863.00	USD	83,490.00	
	NET	0AVG	BGHT 6575.02	SOLD 6391.85			-77,847.50	0.00
17AUG17	B	1	PD17NOV17	6525.50	6863.47	USD	8,449.25	
17AUG17	B	1	PD17NOV17	6526.00	6863.47	USD	8,436.75	
17AUG17	B	2	PD17NOV17	6528.00	6863.47	USD	16,773.50	
17AUG17	B	1	PD17NOV17	6529.00	6863.47	USD	8,361.75	
17AUG17	B	1	PD17NOV17	6530.50	6863.47	USD	8,324.25	
17AUG17	B	3	PD17NOV17	6531.00	6863.47	USD	24,935.25	
17AUG17	B	1	PD17NOV17	6532.50	6863.47	USD	8,274.25	
17AUG17	B	1	PD17NOV17	6533.00	6863.47	USD	8,261.75	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
17AUG17	B	1	PD17NOV17	6536.00	6863.47	USD	8,186.75	
18AUG17	S	1	PD17NOV17	6448.00	6863.47	USD	-10,386.75	
18AUG17	S	1	PD17NOV17	6449.00	6863.47	USD	-10,361.75	
18AUG17	S	1	PD17NOV17	6452.50	6863.47	USD	-10,274.25	
18AUG17	S	3	PD17NOV17	6453.00	6863.47	USD	-30,785.25	
18AUG17	S	1	PD17NOV17	6453.50	6863.47	USD	-10,249.25	
18AUG17	S	1	PD17NOV17	6454.50	6863.47	USD	-10,224.25	
18AUG17	S	3	PD17NOV17	6455.50	6863.47	USD	-30,597.75	
18AUG17	S	2	PD17NOV17	6454.00	6863.47	USD	-20,473.50	
18AUG17	S	1	PD17NOV17	6457.50	6863.47	USD	-10,149.25	
18AUG17	S	1	PD17NOV17	6487.50	6863.47	USD	-9,399.25	
18AUG17	S	4	PD17NOV17	6491.50	6863.47	USD	-37,197.00	
18AUG17	S	1	PD17NOV17	6492.50	6863.47	USD	-9,274.25	
18AUG17	S	2	PD17NOV17	6493.00	6863.47	USD	-18,523.50	
18AUG17	S	3	PD17NOV17	6489.50	6863.47	USD	-28,047.75	
18AUG17	S	1	PD17NOV17	6490.50	6863.47	USD	-9,324.25	
18AUG17	S	3	PD17NOV17	6491.00	6863.47	USD	-27,935.25	
18AUG17	S	3	PD17NOV17	6492.00	6863.47	USD	-27,860.25	
18AUG17	S	1	PD17NOV17	6494.00	6863.47	USD	-9,236.75	
18AUG17	S	1	PD17NOV17	6494.50	6863.47	USD	-9,224.25	
18AUG17	S	1	PD17NOV17	6496.00	6863.47	USD	-9,186.75	
18AUG17	S	1	PD17NOV17	6496.50	6863.47	USD	-9,174.25	
18AUG17	S	2	PD17NOV17	6497.50	6863.47	USD	-18,298.50	
18AUG17	S	1	PD17NOV17	6499.50	6863.47	USD	-9,099.25	
18AUG17	S	3	PD17NOV17	6451.00	6863.47	USD	-30,935.25	
18AUG17	S	3	PD17NOV17	6451.50	6863.47	USD	-30,897.75	
21AUG17	B	3	PD17NOV17	6585.00	6863.47	USD	20,885.25	
23AUG17	B	20	PD17NOV17	6563.89	6863.47	USD	149,790.00	
30AUG17	B	10	PD17NOV17	6765.75	6863.47	USD	24,430.00	
	NET	0 AVG BGHT 6601.15	SOLD 6474.92				-142,007.50	0.00
21AUG17	S	2	PD21NOV17	6507.00	6865.33	USD	-17,916.50	
21AUG17	S	2	PD21NOV17	6507.50	6865.33	USD	-17,891.50	
21AUG17	S	2	PD21NOV17	6508.00	6865.33	USD	-17,866.50	
21AUG17	S	1	PD21NOV17	6509.00	6865.33	USD	-8,908.25	
21AUG17	S	1	PD21NOV17	6506.50	6865.33	USD	-8,970.75	
21AUG17	S	2	PD21NOV17	6508.50	6865.33	USD	-17,841.50	
21AUG17	B	1	PD21NOV17	6593.50	6865.33	USD	6,795.75	
21AUG17	B	1	PD21NOV17	6598.00	6865.33	USD	6,683.25	
21AUG17	B	1	PD21NOV17	6598.50	6865.33	USD	6,670.75	
21AUG17	B	1	PD21NOV17	6595.50	6865.33	USD	6,745.75	
21AUG17	B	1	PD21NOV17	6596.50	6865.33	USD	6,720.75	
21AUG17	B	2	PD21NOV17	6597.00	6865.33	USD	13,416.50	
21AUG17	B	2	PD21NOV17	6600.00	6865.33	USD	13,266.50	
21AUG17	B	1	PD21NOV17	6617.00	6865.33	USD	6,208.25	
	NET	0 AVG BGHT 6599.30	SOLD 6507.75				-22,887.50	0.00
22AUG17	B	2	PD22NOV17	6588.50	6865.80	USD	13,865.00	
22AUG17	B	1	PD22NOV17	6589.50	6865.80	USD	6,907.50	
22AUG17	B	1	PD22NOV17	6590.50	6865.80	USD	6,882.50	
22AUG17	B	1	PD22NOV17	6605.00	6865.80	USD	6,520.00	
22AUG17	B	1	PD22NOV17	6606.00	6865.80	USD	6,495.00	
22AUG17	B	1	PD22NOV17	6607.00	6865.80	USD	6,470.00	
22AUG17	B	1	PD22NOV17	6607.50	6865.80	USD	6,457.50	
22AUG17	B	1	PD22NOV17	6621.50	6865.80	USD	6,107.50	
22AUG17	B	1	PD22NOV17	6622.00	6865.80	USD	6,095.00	
22AUG17	B	1	PD22NOV17	6624.00	6865.80	USD	6,045.00	
22AUG17	B	1	PD22NOV17	6626.00	6865.80	USD	5,995.00	
22AUG17	B	1	PD22NOV17	6626.50	6865.80	USD	5,982.50	

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22AUG17	B	1	PD22NOV17	6628.00	6865.80	USD	5,945.00	
22AUG17	B	1	PD22NOV17	6628.50	6865.80	USD	5,932.50	
22AUG17	B	2	PD22NOV17	6629.00	6865.80	USD	11,840.00	
22AUG17	B	1	PD22NOV17	6629.50	6865.80	USD	5,907.50	
22AUG17	B	1	PD22NOV17	6581.00	6865.80	USD	7,120.00	
22AUG17	B	2	PD22NOV17	6585.50	6865.80	USD	14,015.00	
22AUG17	B	2	PD22NOV17	6586.00	6865.80	USD	13,990.00	
22AUG17	B	1	PD22NOV17	6586.50	6865.80	USD	6,982.50	
22AUG17	B	2	PD22NOV17	6585.00	6865.80	USD	14,040.00	
22AUG17	B	1	PD22NOV17	6587.00	6865.80	USD	6,970.00	
22AUG17	B	1	PD22NOV17	6584.00	6865.80	USD	7,045.00	
22AUG17	B	2	PD22NOV17	6584.50	6865.80	USD	14,065.00	
30AUG17	S	10	PD22NOV17	6766.80	6865.80	USD	-24,750.00	
26SEP17	S	9	PD22NOV17	6394.44	6865.80	USD	-106,056.00	
11OCT17	S	11	PD22NOV17	6779.45	6865.80	USD	-23,746.25	
	NET	0AVG BGHT 6602.23	SOLD 6659.73				43,122.75	0.00
25AUG17	S	1	PD24NOV17	6736.00	6866.73	USD	-3,268.25	
25AUG17	S	1	PD24NOV17	6736.50	6866.73	USD	-3,255.75	
25AUG17	S	1	PD24NOV17	6739.00	6866.73	USD	-3,193.25	
25AUG17	S	1	PD24NOV17	6739.50	6866.73	USD	-3,180.75	
25AUG17	S	1	PD24NOV17	6740.50	6866.73	USD	-3,155.75	
30AUG17	B	5	PD24NOV17	6767.70	6866.73	USD	12,378.75	
	NET	0AVG BGHT 6767.70	SOLD 6738.30				-3,675.00	0.00
07SEP17	S	1	PD07DEC17	6905.00	6872.42	USD	814.50	
07SEP17	S	1	PD07DEC17	6906.00	6872.42	USD	839.50	
07SEP17	S	2	PD07DEC17	6906.50	6872.42	USD	1,704.00	
07SEP17	S	2	PD07DEC17	6908.00	6872.42	USD	1,779.00	
07SEP17	S	2	PD07DEC17	6908.50	6872.42	USD	1,804.00	
07SEP17	S	3	PD07DEC17	6909.00	6872.42	USD	2,743.50	
07SEP17	S	3	PD07DEC17	6910.00	6872.42	USD	2,818.50	
07SEP17	S	2	PD07DEC17	6910.50	6872.42	USD	1,904.00	
07SEP17	S	2	PD07DEC17	6911.50	6872.42	USD	1,954.00	
07SEP17	S	1	PD07DEC17	6912.00	6872.42	USD	989.50	
07SEP17	S	2	PD07DEC17	6913.00	6872.42	USD	2,029.00	
07SEP17	S	4	PD07DEC17	6912.50	6872.42	USD	4,008.00	
07SEP17	S	2	PD07DEC17	6859.50	6872.42	USD	-646.00	
07SEP17	S	2	PD07DEC17	6862.00	6872.42	USD	-521.00	
07SEP17	S	1	PD07DEC17	6865.00	6872.42	USD	-185.50	
07SEP17	S	2	PD07DEC17	6865.50	6872.42	USD	-346.00	
07SEP17	S	1	PD07DEC17	6862.50	6872.42	USD	-248.00	
07SEP17	S	1	PD07DEC17	6866.00	6872.42	USD	-160.50	
07SEP17	S	2	PD07DEC17	6866.50	6872.42	USD	-296.00	
07SEP17	S	3	PD07DEC17	6867.00	6872.42	USD	-406.50	
07SEP17	S	2	PD07DEC17	6867.50	6872.42	USD	-246.00	
07SEP17	S	4	PD07DEC17	6868.00	6872.42	USD	-442.00	
07SEP17	S	1	PD07DEC17	6869.50	6872.42	USD	-73.00	
07SEP17	S	2	PD07DEC17	6870.00	6872.42	USD	-121.00	
07SEP17	S	3	PD07DEC17	6870.50	6872.42	USD	-144.00	
07SEP17	S	2	PD07DEC17	6871.50	6872.42	USD	-46.00	
07SEP17	S	1	PD07DEC17	6872.00	6872.42	USD	-10.50	
07SEP17	S	1	PD07DEC17	6873.50	6872.42	USD	27.00	
07SEP17	S	1	PD07DEC17	6875.50	6872.42	USD	77.00	
07SEP17	S	1	PD07DEC17	6877.00	6872.42	USD	114.50	
07SEP17	B	20	PD07DEC17	6898.70	6872.42	USD	-13,140.00	
18SEP17	B	15	PD07DEC17	6521.96	6872.42	USD	131,422.50	
26SEP17	B	11	PD07DEC17	6403.24	6872.42	USD	129,024.50	
11OCT17	B	11	PD07DEC17	6787.43	6872.42	USD	23,372.25	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
	NET	0 AVG BGHT 6682.47	SOLD 6886.25				290,393.25	0.00
08SEP17	S	1 PD08DEC17		6743.50	6872.83	USD	-3,233.25	
08SEP17	S	1 PD08DEC17		6744.00	6872.83	USD	-3,220.75	
08SEP17	S	1 PD08DEC17		6745.50	6872.83	USD	-3,183.25	
18SEP17	B	2 PD08DEC17		6522.23	6872.83	USD	17,530.00	
26SEP17	B	1 PD08DEC17		6403.82	6872.83	USD	11,725.25	
	NET	0 AVG BGHT 6482.76	SOLD 6744.33				19,618.00	0.00
15SEP17	B	2 PD15DEC17		6496.50	6875.75	USD	18,962.50	
18SEP17	S	2 PD15DEC17		6525.37	6875.75	USD	-17,519.00	
	NET	0 AVG BGHT 6496.50	SOLD 6525.37				1,443.50	0.00
18SEP17	S	1 PD18DEC17		6534.50	6877.00	USD	-8,562.50	
18SEP17	S	2 PD18DEC17		6536.50	6877.00	USD	-17,025.00	
18SEP17	S	2 PD18DEC17		6535.50	6877.00	USD	-17,075.00	
26SEP17	B	2 PD18DEC17		6409.55	6877.00	USD	23,372.50	
11OCT17	B	3 PD18DEC17		6792.70	6877.00	USD	6,322.50	
	NET	0 AVG BGHT 6639.44	SOLD 6535.70				-12,967.50	0.00
19SEP17	B	1 PD19DEC17		6527.00	6877.00	USD	8,750.00	
19SEP17	B	2 PD19DEC17		6530.50	6877.00	USD	17,325.00	
19SEP17	B	3 PD19DEC17		6532.00	6877.00	USD	25,875.00	
19SEP17	B	1 PD19DEC17		6532.50	6877.00	USD	8,612.50	
19SEP17	B	1 PD19DEC17		6534.00	6877.00	USD	8,575.00	
19SEP17	B	1 PD19DEC17		6536.50	6877.00	USD	8,512.50	
19SEP17	B	1 PD19DEC17		6537.50	6877.00	USD	8,487.50	
19SEP17	B	1 PD19DEC17		6539.00	6877.00	USD	8,450.00	
19SEP17	B	1 PD19DEC17		6540.00	6877.00	USD	8,425.00	
19SEP17	B	3 PD19DEC17		6538.50	6877.00	USD	25,387.50	
19SEP17	B	1 PD19DEC17		6533.50	6877.00	USD	8,587.50	
19SEP17	B	2 PD19DEC17		6525.50	6877.00	USD	17,575.00	
19SEP17	B	3 PD19DEC17		6526.00	6877.00	USD	26,325.00	
19SEP17	B	2 PD19DEC17		6526.50	6877.00	USD	17,525.00	
19SEP17	B	1 PD19DEC17		6524.50	6877.00	USD	8,812.50	
19SEP17	B	1 PD19DEC17		6525.00	6877.00	USD	8,800.00	
11OCT17	S	25 PD19DEC17		6792.50	6877.00	USD	-52,812.50	
	NET	0 AVG BGHT 6531.36	SOLD 6792.50				163,212.50	0.00
27SEP17	S	1 PD27DEC17		6466.00	6876.67	USD	-10,266.75	
27SEP17	S	2 PD27DEC17		6467.00	6876.67	USD	-20,483.50	
27SEP17	S	3 PD27DEC17		6467.50	6876.67	USD	-30,687.75	
27SEP17	S	4 PD27DEC17		6468.00	6876.67	USD	-40,867.00	
27SEP17	S	4 PD27DEC17		6468.50	6876.67	USD	-40,817.00	
27SEP17	S	4 PD27DEC17		6469.00	6876.67	USD	-40,767.00	
27SEP17	S	2 PD27DEC17		6469.50	6876.67	USD	-20,358.50	
27SEP17	S	1 PD27DEC17		6470.50	6876.67	USD	-10,154.25	
27SEP17	S	2 PD27DEC17		6463.50	6876.67	USD	-20,658.50	
27SEP17	S	1 PD27DEC17		6464.00	6876.67	USD	-10,316.75	
27SEP17	S	3 PD27DEC17		6470.00	6876.67	USD	-30,500.25	
11OCT17	B	27 PD27DEC17		6793.20	6876.67	USD	56,342.25	
	NET	0 AVG BGHT 6793.20	SOLD 6467.96				-219,535.00	0.00

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0097B

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

OPEN POSITION VALUE

LME Copper Grade A 25	FUTURES	<u>USD</u> 757,370.00
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MARKET REVALUATION BREAKDOWN

Unrealised Profits & Losses - Forwards	Amount	Profit / Loss	Total Amount	Total P&L
COPL - Futures USD	757,370.00	757,370.00	757,370.00	757,370.00

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
CASH BALANCE	(1.00000)	(1.00000)
Variation Margins	-757,335.90	-757,335.90
- Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	-757,335.90	-757,335.90
Initial Margins	0.00	0.00
Excess/(Shortage)	-757,335.90	-757,335.90
FOR INFORMATION ONLY		
Forward Unrealised P&L	757,370.00	757,370.00
Net Liquidating Value	34.10	34.10

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0097C

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
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AUTHORISED AND REGULATED BY THE
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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
24AUG17	S	4	PD24NOV17	6630.50	6866.73	USD	-23,623.00	
24AUG17	S	1	PD24NOV17	6631.00	6866.73	USD	-5,893.25	
24AUG17	S	2	PD24NOV17	6629.00	6866.73	USD	-11,886.50	
24AUG17	S	1	PD24NOV17	6629.50	6866.73	USD	-5,930.75	
24AUG17	S	2	PD24NOV17	6630.00	6866.73	USD	-11,836.50	
24AUG17	S	2	PD24NOV17	6704.50	6866.73	USD	-8,111.50	
24AUG17	S	1	PD24NOV17	6705.50	6866.73	USD	-4,030.75	
24AUG17	S	2	PD24NOV17	6706.50	6866.73	USD	-8,011.50	
18SEP17	B	5	PD24NOV17	6515.76	6866.73	USD	43,871.25	
26SEP17	B	10	PD24NOV17	6395.79	6866.73	USD	117,735.00	
	NET	0 AVG BGHT 6435.78	SOLD 6655.20				82,282.50	0.00
29AUG17	S	1	PD29NOV17	6792.50	6869.07	USD	-1,914.25	
29AUG17	S	1	PD29NOV17	6793.50	6869.07	USD	-1,889.25	
29AUG17	S	1	PD29NOV17	6794.00	6869.07	USD	-1,876.75	
29AUG17	S	1	PD29NOV17	6795.50	6869.07	USD	-1,839.25	
29AUG17	S	1	PD29NOV17	6796.50	6869.07	USD	-1,814.25	
26SEP17	B	5	PD29NOV17	6398.66	6869.07	USD	58,801.25	
	NET	0 AVG BGHT 6398.66	SOLD 6794.40				49,467.50	0.00
31AUG17	B	1	PD30NOV17	6799.50	6869.53	USD	1,750.75	
31AUG17	S	1	PD30NOV17	6797.50	6869.53	USD	-1,800.75	
31AUG17	S	1	PD30NOV17	6798.50	6869.53	USD	-1,775.75	
31AUG17	S	1	PD30NOV17	6799.00	6869.53	USD	-1,763.25	
31AUG17	S	3	PD30NOV17	6799.50	6869.53	USD	-5,252.25	
26SEP17	B	5	PD30NOV17	6399.23	6869.53	USD	58,787.50	
	NET	0 AVG BGHT 6465.94	SOLD 6798.92				49,946.25	0.00

OPEN POSITION VALUE

LME Copper Grade A 25 FUTURES USD 181,696.25

MARKET REVALUATION BREAKDOWN

Unrealised Profits & Losses - Forwards	Amount	Profit / Loss	Total Amount	Total P&L
COPL - Futures USD	181,696.25	181,696.25	181,696.25	181,696.25

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0097C

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

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ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		-181,696.25	-181,696.25
Variation Margins	- Futures	0.00	0.00
	- Options	0.00	0.00
TOTAL EQUITY		-181,696.25	-181,696.25
Initial Margins		0.00	0.00
Excess/(Shortage)		-181,696.25	-181,696.25
FOR INFORMATION ONLY			
Forward Unrealised P&L		181,696.25	181,696.25
Net Liquidating Value		0.00	0.00

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0097D

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
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Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	CMX GOLD 100 CMX					
09OCT17	B 5 DEC17	1282.2	1304.6	USD	11,200.00	
09OCT17	B 15 DEC17	1282.1	1304.6	USD	33,750.00	
09OCT17	B 1 DEC17	1281.9	1304.6	USD	2,270.00	
09OCT17	B 4 DEC17	1281.8	1304.6	USD	9,120.00	
	NET +25 AVG BGHT 1282.1				56,340.00	0.00

OPEN POSITION VALUE

CMX Gold	FUTURES	USD -3,205,160.00
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MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options	Amount	Profit / Loss	Total Amount	Total P&L
GOLD - Futures USD	56,340.00	56,340.00	56,340.00	56,340.00

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	91,480.50	91,480.50
Variation Margins - Futures	56,340.00	56,340.00
- Options	0.00	0.00
TOTAL EQUITY	147,820.50	147,820.50
Initial Margins	-122,500.00	-122,500.00
Excess/(Shortage)	25,320.50	25,320.50
FOR INFORMATION ONLY		
Net Liquidating Value	147,820.50	147,820.50

OPEN POSITION STATEMENT



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London W1J 7NJ UK
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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
17JUL17	S	2	PD17OCT17	5926.50	6859.00	USD	-46,625.00	
17JUL17	S	2	PD17OCT17	5927.50	6859.00	USD	-46,575.00	
30AUG17	B	4	PD17OCT17	6755.72	6859.00	USD	10,328.00	
	NET	0 AVG BGHT 6755.72	SOLD 5927.00				-82,872.00	0.00
19JUL17	B	1	PD19OCT17	5980.50	6857.50	USD	21,925.00	
30AUG17	S	1	PD19OCT17	6755.00	6857.50	USD	-2,562.50	
	NET	0 AVG BGHT 5980.50	SOLD 6755.00				19,362.50	0.00
20JUL17	B	1	PD20OCT17	5960.50	6857.50	USD	22,425.00	
30AUG17	S	1	PD20OCT17	6754.00	6857.50	USD	-2,587.50	
	NET	0 AVG BGHT 5960.50	SOLD 6754.00				19,837.50	0.00
25JUL17	S	1	PD25OCT17	6090.50	6857.50	USD	-19,175.00	
25JUL17	S	1	PD25OCT17	6181.00	6857.50	USD	-16,912.50	
25JUL17	B	5	PD25OCT17	6200.00	6857.50	USD	82,187.50	
25JUL17	B	3	PD25OCT17	6229.50	6857.50	USD	47,100.00	
25JUL17	B	2	PD25OCT17	6230.00	6857.50	USD	31,375.00	
25JUL17	B	2	PD25OCT17	6232.50	6857.50	USD	31,250.00	
25JUL17	S	2	PD25OCT17	6228.00	6857.50	USD	-31,475.00	
30AUG17	S	8	PD25OCT17	6756.70	6857.50	USD	-20,160.00	
	NET	0 AVG BGHT 6217.79	SOLD 6565.09				104,190.00	0.00
26JUL17	B	2	PD26OCT17	6301.50	6857.50	USD	27,800.00	
26JUL17	B	2	PD26OCT17	6317.00	6857.50	USD	27,025.00	
26JUL17	S	1	PD26OCT17	6335.00	6857.50	USD	-13,062.50	
30AUG17	S	3	PD26OCT17	6757.24	6857.50	USD	-7,519.50	
	NET	0 AVG BGHT 6309.25	SOLD 6651.68				34,243.00	0.00
28JUL17	S	3	PD27OCT17	6326.50	6857.50	USD	-39,825.00	
28JUL17	S	5	PD27OCT17	6323.00	6857.50	USD	-66,812.50	
28JUL17	S	2	PD27OCT17	6329.00	6857.50	USD	-26,425.00	
28JUL17	S	5	PD27OCT17	6330.00	6857.50	USD	-65,937.50	
30AUG17	B	15	PD27OCT17	6757.98	6857.50	USD	37,320.00	
	NET	0 AVG BGHT 6757.98	SOLD 6326.83				-161,680.00	0.00
11AUG17	B	1	PD10NOV17	6347.00	6866.00	USD	12,975.00	
30AUG17	S	1	PD10NOV17	6765.34	6866.00	USD	-2,516.50	
	NET	0 AVG BGHT 6347.00	SOLD 6765.34				10,458.50	0.00
14AUG17	S	4	PD14NOV17	6416.00	6864.67	USD	-44,867.00	
14AUG17	S	3	PD14NOV17	6413.50	6864.67	USD	-33,837.75	
14AUG17	S	2	PD14NOV17	6414.00	6864.67	USD	-22,533.50	
14AUG17	S	1	PD14NOV17	6423.50	6864.67	USD	-11,029.25	
30AUG17	B	10	PD14NOV17	6767.70	6864.67	USD	24,242.50	
	NET	0 AVG BGHT 6767.70	SOLD 6415.60				-88,025.00	0.00
16AUG17	B	1	PD16NOV17	6543.50	6863.00	USD	7,987.50	
16AUG17	B	4	PD16NOV17	6544.00	6863.00	USD	31,900.00	
16AUG17	B	5	PD16NOV17	6547.00	6863.00	USD	39,500.00	
	NET	+10 AVG BGHT 6545.45					79,387.50	0.00
08SEP17	S	2	PD08DEC17	6910.50	6872.83	USD	1,883.50	
08SEP17	S	3	PD08DEC17	6911.50	6872.83	USD	2,900.25	
	NET	-5 AVG SOLD 6911.10					4,783.75	0.00
12SEP17	S	2	PD12DEC17	6651.00	6874.50	USD	-11,175.00	
12SEP17	S	2	PD12DEC17	6652.50	6874.50	USD	-11,100.00	
12SEP17	S	1	PD12DEC17	6650.00	6874.50	USD	-5,612.50	
	NET	-5 AVG SOLD 6651.40					-27,887.50	0.00

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0097E

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

OPEN POSITION VALUE

LME Copper Grade A 25	FUTURES	<u>USD</u> -85,535.50
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MARKET REVALUATION BREAKDOWN

Unrealised Profits & Losses - Forwards	Amount	Profit / Loss	Total Amount	Total P&L
COPL - Futures USD	-88,201.75	-88,201.75	-88,201.75	-88,201.75

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
CASH BALANCE	(1.00000)	(1.00000)
Variation Margins	88,494.25	88,494.25
- Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	88,494.25	88,494.25
Initial Margins	-90,295.75	-90,295.75
Excess/(Shortage)	-1,801.50	-1,801.50
FOR INFORMATION ONLY		
Forward Unrealised P&L	-88,201.75	-88,201.75
Net Liquidating Value	292.50	292.50

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0097F

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

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FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
17JUL17	S	1	PD17OCT17	5969.00	6859.00	USD	-22,250.00	
17JUL17	S	1	PD17OCT17	5970.00	6859.00	USD	-22,225.00	
17JUL17	S	1	PD17OCT17	5974.00	6859.00	USD	-22,125.00	
17JUL17	S	2	PD17OCT17	5977.50	6859.00	USD	-44,075.00	
17JUL17	S	3	PD17OCT17	5979.50	6859.00	USD	-65,962.50	
17JUL17	S	2	PD17OCT17	5980.00	6859.00	USD	-43,950.00	
30AUG17	B	10	PD17OCT17	6755.72	6859.00	USD	25,820.00	
	NET	0 AVG	BGHT 6755.72	SOLD 5976.65			-194,767.50	0.00
25JUL17	B	1	PD25OCT17	6229.00	6857.50	USD	15,712.50	
25JUL17	B	1	PD25OCT17	6227.50	6857.50	USD	15,750.00	
25JUL17	B	1	PD25OCT17	6223.00	6857.50	USD	15,862.50	
25JUL17	B	1	PD25OCT17	6224.00	6857.50	USD	15,837.50	
25JUL17	B	3	PD25OCT17	6225.00	6857.50	USD	47,437.50	
25JUL17	B	3	PD25OCT17	6228.00	6857.50	USD	47,212.50	
30AUG17	S	10	PD25OCT17	6756.70	6857.50	USD	-25,200.00	
	NET	0 AVG	BGHT 6226.25	SOLD 6756.70			132,612.50	0.00
28JUL17	S	1	PD27OCT17	6323.00	6857.50	USD	-13,362.50	
28JUL17	S	2	PD27OCT17	6324.00	6857.50	USD	-26,675.00	
28JUL17	S	2	PD27OCT17	6325.00	6857.50	USD	-26,625.00	
28JUL17	S	1	PD27OCT17	6325.50	6857.50	USD	-13,300.00	
28JUL17	S	1	PD27OCT17	6326.00	6857.50	USD	-13,287.50	
28JUL17	S	3	PD27OCT17	6326.50	6857.50	USD	-39,825.00	
28JUL17	S	5	PD27OCT17	6327.00	6857.50	USD	-66,312.50	
28JUL17	S	3	PD27OCT17	6327.50	6857.50	USD	-39,750.00	
28JUL17	S	1	PD27OCT17	6329.50	6857.50	USD	-13,200.00	
28JUL17	S	1	PD27OCT17	6330.00	6857.50	USD	-13,187.50	
30AUG17	B	20	PD27OCT17	6757.98	6857.50	USD	49,760.00	
	NET	0 AVG	BGHT 6757.98	SOLD 6326.45			-215,765.00	0.00
04SEP17	B	2	PD04DEC17	6911.00	6871.20	USD	-1,990.00	
04SEP17	B	2	PD04DEC17	6911.50	6871.20	USD	-2,015.00	
04SEP17	B	5	PD04DEC17	6913.00	6871.20	USD	-5,225.00	
04SEP17	B	1	PD04DEC17	6917.00	6871.20	USD	-1,145.00	
	NET	+10 AVG	BGHT 6912.70				-10,375.00	0.00
06SEP17	S	5	PD06DEC17	6893.00	6872.00	USD	2,625.00	
	NET	-5 AVG	SOLD 6893.00				2,625.00	0.00
08SEP17	S	1	PD08DEC17	6740.00	6872.83	USD	-3,320.75	
08SEP17	S	1	PD08DEC17	6744.50	6872.83	USD	-3,208.25	
08SEP17	S	1	PD08DEC17	6748.00	6872.83	USD	-3,120.75	
08SEP17	S	1	PD08DEC17	6737.00	6872.83	USD	-3,395.75	
08SEP17	S	1	PD08DEC17	6738.50	6872.83	USD	-3,358.25	
	NET	-5 AVG	SOLD 6741.60				-16,403.75	0.00

OPEN POSITION VALUE

LME Copper Grade A 25 FUTURES USD
-301,770.00

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0097F

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

MARKET REVALUATION BREAKDOWN

Unrealised Profits & Losses - Forwards	Amount	Profit / Loss	Total Amount	Total P&L
COPL - Futures USD	-302,073.75	-302,073.75	-302,073.75	-302,073.75

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	302,051.25	302,051.25
Variation Margins - Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	302,051.25	302,051.25
Initial Margins	-304,083.75	-304,083.75
Excess/(Shortage)	-2,032.50	-2,032.50
<u>FOR INFORMATION ONLY</u>		
Forward Unrealised P&L	-302,073.75	-302,073.75
Net Liquidating Value	-22.50	-22.50

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0097G

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
28JUL17	S	2	PD27OCT17	6336.00	6857.50	USD	-26,075.00	
28JUL17	S	1	PD27OCT17	6337.00	6857.50	USD	-13,012.50	
28JUL17	S	1	PD27OCT17	6339.50	6857.50	USD	-12,950.00	
28JUL17	S	1	PD27OCT17	6342.00	6857.50	USD	-12,887.50	
29AUG17	B	5	PD27OCT17	6782.56	6857.50	USD	9,367.50	
	NET	0AVG BGHT 6782.56	SOLD 6338.10				-55,557.50	0.00
31JUL17	S	1	PD31OCT17	6395.00	6858.27	USD	-11,581.75	
31JUL17	S	1	PD31OCT17	6395.50	6858.27	USD	-11,569.25	
31JUL17	S	1	PD31OCT17	6398.00	6858.27	USD	-11,506.75	
31JUL17	S	1	PD31OCT17	6399.00	6858.27	USD	-11,481.75	
31JUL17	S	2	PD31OCT17	6398.50	6858.27	USD	-22,988.50	
31JUL17	S	2	PD31OCT17	6399.50	6858.27	USD	-22,938.50	
31JUL17	S	3	PD31OCT17	6396.50	6858.27	USD	-34,632.75	
31JUL17	S	1	PD31OCT17	6397.50	6858.27	USD	-11,519.25	
31JUL17	S	1	PD31OCT17	6400.50	6858.27	USD	-11,444.25	
31JUL17	S	1	PD31OCT17	6401.00	6858.27	USD	-11,431.75	
31JUL17	S	1	PD31OCT17	6402.00	6858.27	USD	-11,406.75	
29AUG17	B	15	PD31OCT17	6784.48	6858.27	USD	27,671.25	
	NET	0AVG BGHT 6784.48	SOLD 6398.27				-144,830.00	0.00

OPEN POSITION VALUE

		USD
LME Copper Grade A 25	FUTURES	-200,387.50

MARKET REVALUATION BREAKDOWN

Unrealised Profits & Losses - Forwards	Amount	Profit / Loss	Total Amount	Total P&L
COPL - Futures USD	-200,387.50	-200,387.50	-200,387.50	-200,387.50

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	200,387.50	200,387.50
Variation Margins - Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	200,387.50	200,387.50
Initial Margins	-200,387.50	-200,387.50
Excess/(Shortage)	0.00	0.00
FOR INFORMATION ONLY		
Forward Unrealised P&L	-200,387.50	-200,387.50
Net Liquidating Value	0.00	0.00

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0097H

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
03AUG17	S	1	PD03NOV17	6347.50	6860.59	USD	-12,827.25	
03AUG17	S	1	PD03NOV17	6348.50	6860.59	USD	-12,802.25	
06OCT17	B	2	PD03NOV17	6637.28	6860.59	USD	11,165.50	
	NET	0 AVG BGHT 6637.28	SOLD 6348.00				-14,464.00	0.00
08AUG17	B	1	PD08NOV17	6482.00	6864.45	USD	9,561.25	
08AUG17	B	1	PD08NOV17	6484.00	6864.45	USD	9,511.25	
06OCT17	S	2	PD08NOV17	6641.30	6864.45	USD	-11,157.50	
	NET	0 AVG BGHT 6483.00	SOLD 6641.30				7,915.00	0.00
14AUG17	S	5	PD14NOV17	6390.50	6864.67	USD	-59,271.25	
24AUG17	B	5	PD14NOV17	6688.70	6864.67	USD	21,996.25	
	NET	0 AVG BGHT 6688.70	SOLD 6390.50				-37,275.00	0.00
15AUG17	S	1	PD15NOV17	6371.50	6864.00	USD	-12,312.50	
15AUG17	S	1	PD15NOV17	6372.50	6864.00	USD	-12,287.50	
15AUG17	S	1	PD15NOV17	6373.00	6864.00	USD	-12,275.00	
15AUG17	S	1	PD15NOV17	6360.50	6864.00	USD	-12,587.50	
15AUG17	S	1	PD15NOV17	6370.00	6864.00	USD	-12,350.00	
24AUG17	B	5	PD15NOV17	6688.70	6864.00	USD	21,912.50	
	NET	0 AVG BGHT 6688.70	SOLD 6369.50				-39,900.00	0.00

OPEN POSITION VALUE

		<u>USD</u>
LME Copper Grade A 25	FUTURES	-83,724.00

MARKET REVALUATION BREAKDOWN

Unrealised Profits & Losses - Forwards	Amount	Profit / Loss	Total Amount	Total P&L
COPL - Futures USD	-83,724.00	-83,724.00	-83,724.00	-83,724.00

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	83,781.20	83,781.20
Variation Margins - Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	83,781.20	83,781.20
Initial Margins	-83,724.00	-83,724.00
Excess/(Shortage)	57.20	57.20
FOR INFORMATION ONLY		
Forward Unrealised P&L	-83,724.00	-83,724.00
Net Liquidating Value	57.20	57.20

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP00971

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
17AUG17	S	1	PD17NOV17	6482.50	6863.47	USD	-9,524.25	
17AUG17	S	1	PD17NOV17	6481.00	6863.47	USD	-9,561.75	
17AUG17	S	1	PD17NOV17	6482.00	6863.47	USD	-9,536.75	
17AUG17	S	2	PD17NOV17	6483.00	6863.47	USD	-19,023.50	
17AUG17	S	4	PD17NOV17	6484.00	6863.47	USD	-37,947.00	
17AUG17	S	1	PD17NOV17	6485.00	6863.47	USD	-9,461.75	
18AUG17	S	2	PD17NOV17	6493.00	6863.47	USD	-18,523.50	
18AUG17	S	2	PD17NOV17	6492.00	6863.47	USD	-18,573.50	
18AUG17	B	1	PD17NOV17	6506.00	6863.47	USD	8,936.75	
18AUG17	B	1	PD17NOV17	6503.00	6863.47	USD	9,011.75	
18AUG17	B	1	PD17NOV17	6501.00	6863.47	USD	9,061.75	
18AUG17	B	1	PD17NOV17	6498.50	6863.47	USD	9,124.25	
18AUG17	B	1	PD17NOV17	6496.50	6863.47	USD	9,174.25	
18AUG17	S	1	PD17NOV17	6492.00	6863.47	USD	-9,286.75	
18AUG17	S	1	PD17NOV17	6478.50	6863.47	USD	-9,624.25	
18AUG17	S	1	PD17NOV17	6480.00	6863.47	USD	-9,586.75	
18AUG17	S	1	PD17NOV17	6462.00	6863.47	USD	-10,036.75	
18AUG17	S	1	PD17NOV17	6463.50	6863.47	USD	-9,999.25	
18AUG17	S	1	PD17NOV17	6465.50	6863.47	USD	-9,949.25	
18AUG17	S	2	PD17NOV17	6461.00	6863.47	USD	-20,123.50	
18AUG17	B	2	PD17NOV17	6481.50	6863.47	USD	19,098.50	
18AUG17	B	1	PD17NOV17	6480.00	6863.47	USD	9,586.75	
18AUG17	B	1	PD17NOV17	6480.50	6863.47	USD	9,574.25	
18AUG17	B	1	PD17NOV17	6483.50	6863.47	USD	9,499.25	
18AUG17	B	2	PD17NOV17	6467.50	6863.47	USD	19,798.50	
18AUG17	B	1	PD17NOV17	6468.50	6863.47	USD	9,874.25	
18AUG17	B	1	PD17NOV17	6462.00	6863.47	USD	10,036.75	
18AUG17	B	1	PD17NOV17	6461.00	6863.47	USD	10,061.75	
18AUG17	B	1	PD17NOV17	6463.50	6863.47	USD	9,999.25	
18AUG17	B	3	PD17NOV17	6469.50	6863.47	USD	29,547.75	
18AUG17	B	3	PD17NOV17	6474.50	6863.47	USD	29,172.75	
18AUG17	B	1	PD17NOV17	6475.50	6863.47	USD	9,699.25	
18AUG17	B	1	PD17NOV17	6477.50	6863.47	USD	9,649.25	
18AUG17	B	1	PD17NOV17	6476.50	6863.47	USD	9,674.25	
18AUG17	B	1	PD17NOV17	6486.50	6863.47	USD	9,424.25	
18AUG17	B	1	PD17NOV17	6487.50	6863.47	USD	9,399.25	
18AUG17	S	5	PD17NOV17	6481.00	6863.47	USD	-47,808.75	
	NET	0 AVG BGHT 6479.17	SOLD 6480.41				837.50	0.00
23AUG17	B	1	PD24NOV17	6568.50	6866.73	USD	7,455.75	
23AUG17	S	1	PD24NOV17	6566.00	6866.73	USD	-7,518.25	
23AUG17	S	1	PD24NOV17	6565.50	6866.73	USD	-7,530.75	
23AUG17	B	1	PD24NOV17	6575.00	6866.73	USD	7,293.25	
23AUG17	B	1	PD24NOV17	6555.00	6866.73	USD	7,793.25	
23AUG17	B	4	PD24NOV17	6553.00	6866.73	USD	31,373.00	
23AUG17	B	1	PD24NOV17	6588.00	6866.73	USD	6,968.25	
23AUG17	B	1	PD24NOV17	6585.50	6866.73	USD	7,030.75	
23AUG17	B	3	PD24NOV17	6586.00	6866.73	USD	21,054.75	
23AUG17	B	5	PD24NOV17	6587.00	6866.73	USD	34,966.25	
23AUG17	B	5	PD24NOV17	6586.50	6866.73	USD	35,028.75	
23AUG17	B	2	PD24NOV17	6585.00	6866.73	USD	14,086.50	
23AUG17	B	3	PD24NOV17	6584.00	6866.73	USD	21,204.75	
23AUG17	B	3	PD24NOV17	6583.00	6866.73	USD	21,279.75	
23AUG17	B	2	PD24NOV17	6582.00	6866.73	USD	14,236.50	
23AUG17	B	3	PD24NOV17	6581.00	6866.73	USD	21,429.75	
23AUG17	B	1	PD24NOV17	6580.50	6866.73	USD	7,155.75	
23AUG17	B	1	PD24NOV17	6579.50	6866.73	USD	7,180.75	
23AUG17	B	2	PD24NOV17	6578.50	6866.73	USD	14,411.50	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP00971

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
23AUG17	B	2	PD24NOV17	6577.50	6866.73	USD	14,461.50	
23AUG17	B	1	PD24NOV17	6576.00	6866.73	USD	7,268.25	
23AUG17	B	2	PD24NOV17	6551.50	6866.73	USD	15,761.50	
23AUG17	S	1	PD24NOV17	6559.00	6866.73	USD	-7,693.25	
23AUG17	S	1	PD24NOV17	6551.00	6866.73	USD	-7,893.25	
24AUG17	S	1	PD24NOV17	6610.00	6866.73	USD	-6,418.25	
24AUG17	S	1	PD24NOV17	6608.50	6866.73	USD	-6,455.75	
24AUG17	S	1	PD24NOV17	6607.50	6866.73	USD	-6,480.75	
24AUG17	S	1	PD24NOV17	6616.50	6866.73	USD	-6,255.75	
24AUG17	B	1	PD24NOV17	6612.00	6866.73	USD	6,368.25	
24AUG17	S	1	PD24NOV17	6612.00	6866.73	USD	-6,368.25	
24AUG17	S	3	PD24NOV17	6610.50	6866.73	USD	-19,217.25	
24AUG17	S	5	PD24NOV17	6611.00	6866.73	USD	-31,966.25	
24AUG17	S	2	PD24NOV17	6611.50	6866.73	USD	-12,761.50	
24AUG17	S	2	PD24NOV17	6603.00	6866.73	USD	-13,186.50	
24AUG17	S	3	PD24NOV17	6602.00	6866.73	USD	-19,854.75	
24AUG17	S	1	PD24NOV17	6604.00	6866.73	USD	-6,568.25	
24AUG17	S	1	PD24NOV17	6601.50	6866.73	USD	-6,630.75	
24AUG17	S	1	PD24NOV17	6605.00	6866.73	USD	-6,543.25	
24AUG17	S	2	PD24NOV17	6606.00	6866.73	USD	-13,036.50	
24AUG17	S	2	PD24NOV17	6609.50	6866.73	USD	-12,861.50	
24AUG17	S	1	PD24NOV17	6617.50	6866.73	USD	-6,230.75	
24AUG17	S	3	PD24NOV17	6615.00	6866.73	USD	-18,879.75	
24AUG17	S	4	PD24NOV17	6614.00	6866.73	USD	-25,273.00	
24AUG17	S	2	PD24NOV17	6618.50	6866.73	USD	-12,411.50	
24AUG17	S	3	PD24NOV17	6619.50	6866.73	USD	-18,542.25	
24AUG17	S	1	PD24NOV17	6620.50	6866.73	USD	-6,155.75	
	NET	0 AVG BGHT 6578.90	SOLD 6606.52				31,075.00	0.00

OPEN POSITION VALUE

LME Copper Grade A 25 FUTURES USD
31,912.50

MARKET REVALUATION BREAKDOWN

Unrealised Profits & Losses - Forwards	Amount	Profit / Loss	Total Amount	Total P&L
COPL - Futures USD	31,912.50	31,912.50	31,912.50	31,912.50

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0097I

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

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ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	-31,912.50	-31,912.50
Variation Margins		
- Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	-31,912.50	-31,912.50
Initial Margins	0.00	0.00
Excess/(Shortage)	-31,912.50	-31,912.50
FOR INFORMATION ONLY		
Forward Unrealised P&L	31,912.50	31,912.50
Net Liquidating Value	0.00	0.00

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0097K

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
21JUL17	B	1	PD200CT17	6049.00	6857.50	USD	20,212.50	
21JUL17	B	2	PD200CT17	6051.00	6857.50	USD	40,325.00	
21JUL17	B	2	PD200CT17	6050.50	6857.50	USD	40,350.00	
21JUL17	B	7	PD200CT17	6050.00	6857.50	USD	141,312.50	
21JUL17	B	1	PD200CT17	6044.50	6857.50	USD	20,325.00	
21JUL17	B	1	PD200CT17	6045.00	6857.50	USD	20,312.50	
21JUL17	B	4	PD200CT17	6035.00	6857.50	USD	82,250.00	
21JUL17	B	13	PD200CT17	6036.00	6857.50	USD	266,987.50	
21JUL17	B	6	PD200CT17	6039.50	6857.50	USD	122,700.00	
21JUL17	B	5	PD200CT17	6038.00	6857.50	USD	102,437.50	
21JUL17	B	5	PD200CT17	6039.00	6857.50	USD	102,312.50	
21JUL17	B	2	PD200CT17	6038.50	6857.50	USD	40,950.00	
21JUL17	B	1	PD200CT17	6037.50	6857.50	USD	20,500.00	
21JUL17	B	2	PD200CT17	6036.50	6857.50	USD	41,050.00	
21JUL17	S	2	PD200CT17	6025.50	6857.50	USD	-41,600.00	
21JUL17	S	5	PD200CT17	6028.00	6857.50	USD	-103,687.50	
21JUL17	S	5	PD200CT17	6031.00	6857.50	USD	-103,312.50	
21JUL17	S	2	PD200CT17	6026.50	6857.50	USD	-41,550.00	
21JUL17	S	3	PD200CT17	6020.00	6857.50	USD	-62,812.50	
21JUL17	S	1	PD200CT17	6010.50	6857.50	USD	-21,175.00	
21JUL17	S	1	PD200CT17	6008.00	6857.50	USD	-21,237.50	
21JUL17	S	4	PD200CT17	6009.00	6857.50	USD	-84,850.00	
21JUL17	S	3	PD200CT17	6011.00	6857.50	USD	-63,487.50	
21JUL17	S	2	PD200CT17	6012.00	6857.50	USD	-42,275.00	
21JUL17	S	2	PD200CT17	6007.50	6857.50	USD	-42,500.00	
21JUL17	S	2	PD200CT17	6003.00	6857.50	USD	-42,725.00	
21JUL17	S	12	PD200CT17	5994.50	6857.50	USD	-258,900.00	
21JUL17	B	2	PD200CT17	6038.00	6857.50	USD	40,975.00	
21JUL17	B	1	PD200CT17	6039.50	6857.50	USD	20,450.00	
21JUL17	B	5	PD200CT17	6039.00	6857.50	USD	102,312.50	
21JUL17	S	1	PD200CT17	5997.50	6857.50	USD	-21,500.00	
21JUL17	S	4	PD200CT17	5999.00	6857.50	USD	-85,850.00	
21JUL17	S	1	PD200CT17	5999.50	6857.50	USD	-21,450.00	
21JUL17	S	4	PD200CT17	6000.00	6857.50	USD	-85,750.00	
21JUL17	S	2	PD200CT17	6001.00	6857.50	USD	-42,825.00	
21JUL17	S	3	PD200CT17	6002.50	6857.50	USD	-64,125.00	
21JUL17	S	1	PD200CT17	5999.00	6857.50	USD	-21,462.50	
	NET	0 AVG BGHT 6040.33	SOLD 6008.78				-47,312.50	0.00
24JUL17	S	1	PD240CT17	6000.50	6857.50	USD	-21,425.00	
24JUL17	S	1	PD240CT17	6008.00	6857.50	USD	-21,237.50	
24JUL17	B	4	PD240CT17	6000.00	6857.50	USD	85,750.00	
24JUL17	B	1	PD240CT17	6000.50	6857.50	USD	21,425.00	
24JUL17	S	8	PD240CT17	6028.00	6857.50	USD	-165,900.00	
24JUL17	S	1	PD240CT17	6029.00	6857.50	USD	-20,712.50	
24JUL17	S	1	PD240CT17	6022.50	6857.50	USD	-20,875.00	
24JUL17	B	1	PD240CT17	6027.50	6857.50	USD	20,750.00	
24JUL17	S	1	PD240CT17	6020.00	6857.50	USD	-20,937.50	
24JUL17	S	3	PD240CT17	6018.50	6857.50	USD	-62,925.00	
24JUL17	S	1	PD240CT17	6018.00	6857.50	USD	-20,987.50	
24JUL17	S	2	PD240CT17	6016.50	6857.50	USD	-42,050.00	
24JUL17	S	3	PD240CT17	6015.50	6857.50	USD	-63,150.00	
24JUL17	S	3	PD240CT17	6015.00	6857.50	USD	-63,187.50	
24JUL17	B	3	PD240CT17	6016.00	6857.50	USD	63,112.50	
24JUL17	B	1	PD240CT17	6018.50	6857.50	USD	20,975.00	
24JUL17	B	1	PD240CT17	6019.50	6857.50	USD	20,950.00	
24JUL17	B	6	PD240CT17	6020.50	6857.50	USD	125,550.00	
24JUL17	B	3	PD240CT17	6022.00	6857.50	USD	62,662.50	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0097K

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
24JUL17	B	6	PD24OCT17	6021.50	6857.50	USD	125,400.00	
24JUL17	B	9	PD24OCT17	6022.50	6857.50	USD	187,875.00	
24JUL17	B	4	PD24OCT17	6023.50	6857.50	USD	83,400.00	
24JUL17	S	1	PD24OCT17	6018.50	6857.50	USD	-20,975.00	
24JUL17	B	7	PD24OCT17	6021.50	6857.50	USD	146,300.00	
24JUL17	B	1	PD24OCT17	6022.50	6857.50	USD	20,875.00	
24JUL17	S	6	PD24OCT17	6025.50	6857.50	USD	-124,800.00	
24JUL17	S	1	PD24OCT17	6023.50	6857.50	USD	-20,850.00	
24JUL17	S	6	PD24OCT17	6021.00	6857.50	USD	-125,475.00	
24JUL17	S	3	PD24OCT17	6017.50	6857.50	USD	-63,000.00	
24JUL17	S	1	PD24OCT17	6019.00	6857.50	USD	-20,962.50	
24JUL17	B	1	PD24OCT17	6025.50	6857.50	USD	20,800.00	
24JUL17	B	6	PD24OCT17	6026.00	6857.50	USD	124,725.00	
24JUL17	S	1	PD24OCT17	6029.50	6857.50	USD	-20,700.00	
24JUL17	S	1	PD24OCT17	6026.00	6857.50	USD	-20,787.50	
24JUL17	S	8	PD24OCT17	6026.50	6857.50	USD	-166,200.00	
24JUL17	S	4	PD24OCT17	6027.00	6857.50	USD	-83,050.00	
24JUL17	S	1	PD24OCT17	6022.00	6857.50	USD	-20,887.50	
24JUL17	S	1	PD24OCT17	6017.50	6857.50	USD	-21,000.00	
27JUL17	B	5	PD24OCT17	6329.14	6857.50	USD	66,045.00	
	NET	0AVG	BGHT 6046.25	SOLD 6022.19			-35,480.00	0.00
25JUL17	S	1	PD25OCT17	6091.50	6857.50	USD	-19,150.00	
25JUL17	B	6	PD25OCT17	6087.50	6857.50	USD	115,500.00	
25JUL17	B	1	PD25OCT17	6085.00	6857.50	USD	19,312.50	
25JUL17	B	2	PD25OCT17	6085.50	6857.50	USD	38,600.00	
25JUL17	B	8	PD25OCT17	6086.50	6857.50	USD	154,200.00	
25JUL17	B	1	PD25OCT17	6113.00	6857.50	USD	18,612.50	
25JUL17	B	2	PD25OCT17	6114.00	6857.50	USD	37,175.00	
25JUL17	B	3	PD25OCT17	6118.00	6857.50	USD	55,462.50	
25JUL17	B	2	PD25OCT17	6121.50	6857.50	USD	36,800.00	
25JUL17	B	1	PD25OCT17	6122.50	6857.50	USD	18,375.00	
25JUL17	B	2	PD25OCT17	6114.50	6857.50	USD	37,150.00	
25JUL17	B	2	PD25OCT17	6115.50	6857.50	USD	37,100.00	
25JUL17	B	2	PD25OCT17	6119.50	6857.50	USD	36,900.00	
25JUL17	B	6	PD25OCT17	6119.00	6857.50	USD	110,775.00	
25JUL17	B	3	PD25OCT17	6121.00	6857.50	USD	55,237.50	
25JUL17	B	3	PD25OCT17	6118.50	6857.50	USD	55,425.00	
25JUL17	B	2	PD25OCT17	6116.50	6857.50	USD	37,050.00	
25JUL17	B	1	PD25OCT17	6115.00	6857.50	USD	18,562.50	
25JUL17	B	2	PD25OCT17	6117.50	6857.50	USD	37,000.00	
25JUL17	B	1	PD25OCT17	6120.50	6857.50	USD	18,425.00	
25JUL17	B	4	PD25OCT17	6120.00	6857.50	USD	73,750.00	
25JUL17	B	9	PD25OCT17	6117.00	6857.50	USD	166,612.50	
25JUL17	B	7	PD25OCT17	6116.00	6857.50	USD	129,762.50	
25JUL17	B	1	PD25OCT17	6145.50	6857.50	USD	17,800.00	
25JUL17	B	1	PD25OCT17	6146.00	6857.50	USD	17,787.50	
25JUL17	S	7	PD25OCT17	6165.00	6857.50	USD	-121,187.50	
25JUL17	S	1	PD25OCT17	6163.50	6857.50	USD	-17,350.00	
25JUL17	S	6	PD25OCT17	6166.00	6857.50	USD	-103,725.00	
25JUL17	S	3	PD25OCT17	6167.00	6857.50	USD	-51,787.50	
25JUL17	S	3	PD25OCT17	6168.00	6857.50	USD	-51,712.50	
25JUL17	S	2	PD25OCT17	6175.00	6857.50	USD	-34,125.00	
25JUL17	S	6	PD25OCT17	6176.00	6857.50	USD	-102,225.00	
25JUL17	S	1	PD25OCT17	6186.00	6857.50	USD	-16,787.50	
25JUL17	B	1	PD25OCT17	6181.50	6857.50	USD	16,900.00	
25JUL17	B	1	PD25OCT17	6182.50	6857.50	USD	16,875.00	
25JUL17	S	2	PD25OCT17	6187.00	6857.50	USD	-33,525.00	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0097K

13th October 2017

Sapien Capital Limited
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backoffice@sapiencap.com

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FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
25JUL17	S	1	PD250CT17	6193.00	6857.50	USD	-16,612.50	
25JUL17	B	1	PD250CT17	6195.00	6857.50	USD	16,562.50	
25JUL17	B	1	PD250CT17	6196.00	6857.50	USD	16,537.50	
25JUL17	B	4	PD250CT17	6193.50	6857.50	USD	66,400.00	
25JUL17	B	2	PD250CT17	6193.00	6857.50	USD	33,225.00	
25JUL17	B	5	PD250CT17	6192.50	6857.50	USD	83,125.00	
25JUL17	S	5	PD250CT17	6093.00	6857.50	USD	-95,562.50	
25JUL17	S	1	PD250CT17	6091.50	6857.50	USD	-19,150.00	
25JUL17	B	1	PD250CT17	6174.50	6857.50	USD	17,075.00	
25JUL17	B	5	PD250CT17	6174.00	6857.50	USD	85,437.50	
25JUL17	B	2	PD250CT17	6175.00	6857.50	USD	34,125.00	
25JUL17	B	2	PD250CT17	6176.00	6857.50	USD	34,075.00	
25JUL17	B	3	PD250CT17	6177.00	6857.50	USD	51,037.50	
25JUL17	B	1	PD250CT17	6179.00	6857.50	USD	16,962.50	
25JUL17	B	1	PD250CT17	6179.50	6857.50	USD	16,950.00	
25JUL17	B	1	PD250CT17	6182.00	6857.50	USD	16,887.50	
25JUL17	B	8	PD250CT17	6196.50	6857.50	USD	132,200.00	
25JUL17	B	15	PD250CT17	6195.50	6857.50	USD	248,250.00	
25JUL17	B	1	PD250CT17	6199.50	6857.50	USD	16,450.00	
25JUL17	B	1	PD250CT17	6198.50	6857.50	USD	16,475.00	
25JUL17	B	1	PD250CT17	6201.00	6857.50	USD	16,412.50	
25JUL17	S	2	PD250CT17	6219.00	6857.50	USD	-31,925.00	
25JUL17	S	1	PD250CT17	6218.00	6857.50	USD	-15,987.50	
25JUL17	B	3	PD250CT17	6210.50	6857.50	USD	48,525.00	
25JUL17	B	3	PD250CT17	6194.50	6857.50	USD	49,725.00	
25JUL17	B	1	PD250CT17	6194.00	6857.50	USD	16,587.50	
25JUL17	S	1	PD250CT17	6207.00	6857.50	USD	-16,262.50	
25JUL17	S	1	PD250CT17	6202.50	6857.50	USD	-16,375.00	
25JUL17	B	2	PD250CT17	6182.00	6857.50	USD	33,775.00	
25JUL17	B	1	PD250CT17	6199.00	6857.50	USD	16,462.50	
25JUL17	B	2	PD250CT17	6221.00	6857.50	USD	31,825.00	
25JUL17	B	1	PD250CT17	6220.50	6857.50	USD	15,925.00	
25JUL17	B	3	PD250CT17	6218.50	6857.50	USD	47,925.00	
25JUL17	S	2	PD250CT17	6226.50	6857.50	USD	-31,550.00	
25JUL17	S	2	PD250CT17	6226.00	6857.50	USD	-31,575.00	
25JUL17	B	1	PD250CT17	6222.00	6857.50	USD	15,887.50	
25JUL17	B	2	PD250CT17	6227.00	6857.50	USD	31,525.00	
25JUL17	B	2	PD250CT17	6225.00	6857.50	USD	31,625.00	
25JUL17	B	1	PD250CT17	6226.00	6857.50	USD	15,787.50	
25JUL17	B	4	PD250CT17	6227.50	6857.50	USD	63,000.00	
25JUL17	B	4	PD250CT17	6228.50	6857.50	USD	62,900.00	
25JUL17	B	1	PD250CT17	6223.00	6857.50	USD	15,862.50	
25JUL17	B	2	PD250CT17	6219.50	6857.50	USD	31,900.00	
25JUL17	B	1	PD250CT17	6229.50	6857.50	USD	15,700.00	
25JUL17	B	1	PD250CT17	6264.50	6857.50	USD	14,825.00	
25JUL17	B	1	PD250CT17	6260.00	6857.50	USD	14,937.50	
25JUL17	B	1	PD250CT17	6258.00	6857.50	USD	14,987.50	
25JUL17	B	2	PD250CT17	6256.50	6857.50	USD	30,050.00	
25JUL17	B	1	PD250CT17	6263.50	6857.50	USD	14,850.00	
25JUL17	B	3	PD250CT17	6261.00	6857.50	USD	44,737.50	
25JUL17	S	1	PD250CT17	6249.00	6857.50	USD	-15,212.50	
25JUL17	S	1	PD250CT17	6250.00	6857.50	USD	-15,187.50	
25JUL17	S	2	PD250CT17	6249.50	6857.50	USD	-30,400.00	
25JUL17	S	4	PD250CT17	6251.00	6857.50	USD	-60,650.00	
25JUL17	S	1	PD250CT17	6251.50	6857.50	USD	-15,150.00	
25JUL17	S	3	PD250CT17	6250.50	6857.50	USD	-45,525.00	
25JUL17	S	3	PD250CT17	6252.50	6857.50	USD	-45,375.00	

OPEN POSITION STATEMENT



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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
25JUL17	S	2	PD250CT17	6258.00	6857.50	USD	-29,975.00	
25JUL17	S	1	PD250CT17	6257.50	6857.50	USD	-15,000.00	
25JUL17	S	2	PD250CT17	6253.50	6857.50	USD	-30,200.00	
25JUL17	S	1	PD250CT17	6256.50	6857.50	USD	-15,025.00	
25JUL17	S	1	PD250CT17	6255.00	6857.50	USD	-15,062.50	
25JUL17	S	2	PD250CT17	6258.50	6857.50	USD	-29,950.00	
25JUL17	S	5	PD250CT17	6259.50	6857.50	USD	-74,750.00	
27JUL17	S	5	PD250CT17	6329.23	6857.50	USD	-66,033.75	
27JUL17	S	45	PD250CT17	6329.43	6857.50	USD	-594,078.75	
28JUL17	S	15	PD250CT17	6324.29	6857.50	USD	-199,953.75	
01AUG17	S	30	PD250CT17	6342.50	6857.50	USD	-386,250.00	
	NET	0	AVG BGHT 6165.72	SOLD 6273.70			464,308.75	0.00
26JUL17	S	2	PD260CT17	6349.00	6857.50	USD	-25,425.00	
26JUL17	S	2	PD260CT17	6344.00	6857.50	USD	-25,675.00	
26JUL17	S	1	PD260CT17	6343.00	6857.50	USD	-12,862.50	
26JUL17	S	2	PD260CT17	6348.00	6857.50	USD	-25,475.00	
26JUL17	S	3	PD260CT17	6349.50	6857.50	USD	-38,100.00	
26JUL17	S	1	PD260CT17	6346.00	6857.50	USD	-12,787.50	
26JUL17	S	3	PD260CT17	6357.00	6857.50	USD	-37,537.50	
26JUL17	S	2	PD260CT17	6357.50	6857.50	USD	-25,000.00	
26JUL17	S	1	PD260CT17	6359.00	6857.50	USD	-12,462.50	
26JUL17	S	3	PD260CT17	6356.50	6857.50	USD	-37,575.00	
26JUL17	S	1	PD260CT17	6370.00	6857.50	USD	-12,187.50	
26JUL17	S	1	PD260CT17	6369.50	6857.50	USD	-12,200.00	
26JUL17	S	3	PD260CT17	6370.50	6857.50	USD	-36,525.00	
26JUL17	S	3	PD260CT17	6377.50	6857.50	USD	-36,000.00	
26JUL17	S	1	PD260CT17	6378.50	6857.50	USD	-11,975.00	
26JUL17	S	5	PD260CT17	6380.50	6857.50	USD	-59,625.00	
26JUL17	S	2	PD260CT17	6380.00	6857.50	USD	-23,875.00	
26JUL17	S	3	PD260CT17	6382.00	6857.50	USD	-35,662.50	
26JUL17	S	5	PD260CT17	6383.00	6857.50	USD	-59,312.50	
26JUL17	S	4	PD260CT17	6387.00	6857.50	USD	-47,050.00	
26JUL17	S	2	PD260CT17	6343.50	6857.50	USD	-25,700.00	
26JUL17	S	7	PD260CT17	6347.50	6857.50	USD	-89,250.00	
26JUL17	S	6	PD260CT17	6348.50	6857.50	USD	-76,350.00	
26JUL17	S	4	PD260CT17	6350.00	6857.50	USD	-50,750.00	
26JUL17	S	4	PD260CT17	6350.50	6857.50	USD	-50,700.00	
26JUL17	S	9	PD260CT17	6346.50	6857.50	USD	-114,975.00	
26JUL17	S	1	PD260CT17	6352.00	6857.50	USD	-12,637.50	
26JUL17	S	1	PD260CT17	6341.50	6857.50	USD	-12,900.00	
26JUL17	S	1	PD260CT17	6336.00	6857.50	USD	-13,037.50	
26JUL17	B	1	PD260CT17	6336.00	6857.50	USD	13,037.50	
26JUL17	B	1	PD260CT17	6335.00	6857.50	USD	13,062.50	
26JUL17	B	4	PD260CT17	6306.00	6857.50	USD	55,150.00	
26JUL17	B	1	PD260CT17	6314.50	6857.50	USD	13,575.00	
26JUL17	B	3	PD260CT17	6316.00	6857.50	USD	40,612.50	
26JUL17	B	2	PD260CT17	6316.50	6857.50	USD	27,050.00	
26JUL17	B	3	PD260CT17	6317.50	6857.50	USD	40,500.00	
26JUL17	B	1	PD260CT17	6309.50	6857.50	USD	13,700.00	
26JUL17	B	3	PD260CT17	6310.00	6857.50	USD	41,062.50	
26JUL17	B	6	PD260CT17	6309.00	6857.50	USD	82,275.00	
26JUL17	B	1	PD260CT17	6302.50	6857.50	USD	13,875.00	
26JUL17	B	2	PD260CT17	6302.00	6857.50	USD	27,775.00	
26JUL17	B	1	PD260CT17	6296.50	6857.50	USD	14,025.00	
26JUL17	B	2	PD260CT17	6301.00	6857.50	USD	27,825.00	
26JUL17	B	2	PD260CT17	6301.50	6857.50	USD	27,800.00	
26JUL17	B	1	PD260CT17	6300.00	6857.50	USD	13,937.50	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
26JUL17	B	1	PD260CT17	6291.00	6857.50	USD	14,162.50	
26JUL17	B	7	PD260CT17	6298.00	6857.50	USD	97,912.50	
26JUL17	B	9	PD260CT17	6297.00	6857.50	USD	126,112.50	
26JUL17	B	7	PD260CT17	6295.00	6857.50	USD	98,437.50	
26JUL17	B	2	PD260CT17	6298.50	6857.50	USD	27,950.00	
26JUL17	B	1	PD260CT17	6299.50	6857.50	USD	13,950.00	
26JUL17	B	1	PD260CT17	6299.00	6857.50	USD	13,962.50	
26JUL17	B	2	PD260CT17	6292.50	6857.50	USD	28,250.00	
26JUL17	B	5	PD260CT17	6296.00	6857.50	USD	70,187.50	
26JUL17	B	3	PD260CT17	6295.50	6857.50	USD	42,150.00	
26JUL17	B	1	PD260CT17	6294.00	6857.50	USD	14,087.50	
26JUL17	B	8	PD260CT17	6294.50	6857.50	USD	112,600.00	
26JUL17	B	1	PD260CT17	6291.50	6857.50	USD	14,150.00	
26JUL17	B	1	PD260CT17	6289.50	6857.50	USD	14,200.00	
26JUL17	B	5	PD260CT17	6293.50	6857.50	USD	70,500.00	
26JUL17	B	1	PD260CT17	6293.00	6857.50	USD	14,112.50	
26JUL17	B	1	PD260CT17	6292.00	6857.50	USD	14,137.50	
26JUL17	B	1	PD260CT17	6290.50	6857.50	USD	14,175.00	
26JUL17	B	2	PD260CT17	6283.50	6857.50	USD	28,700.00	
26JUL17	B	3	PD260CT17	6285.00	6857.50	USD	42,937.50	
26JUL17	B	4	PD260CT17	6287.00	6857.50	USD	57,050.00	
26JUL17	B	2	PD260CT17	6289.00	6857.50	USD	28,425.00	
26JUL17	B	3	PD260CT17	6288.00	6857.50	USD	42,712.50	
26JUL17	B	2	PD260CT17	6272.50	6857.50	USD	29,250.00	
26JUL17	B	1	PD260CT17	6271.50	6857.50	USD	14,650.00	
26JUL17	B	1	PD260CT17	6273.50	6857.50	USD	14,600.00	
26JUL17	B	1	PD260CT17	6276.00	6857.50	USD	14,537.50	
26JUL17	B	1	PD260CT17	6282.50	6857.50	USD	14,375.00	
26JUL17	B	2	PD260CT17	6283.00	6857.50	USD	28,725.00	
26JUL17	B	6	PD260CT17	6281.50	6857.50	USD	86,400.00	
26JUL17	B	8	PD260CT17	6280.50	6857.50	USD	115,400.00	
26JUL17	B	6	PD260CT17	6284.00	6857.50	USD	86,025.00	
26JUL17	S	1	PD260CT17	6358.00	6857.50	USD	-12,487.50	
26JUL17	S	1	PD260CT17	6383.00	6857.50	USD	-11,862.50	
26JUL17	S	1	PD260CT17	6388.00	6857.50	USD	-11,737.50	
26JUL17	B	1	PD260CT17	6316.50	6857.50	USD	13,525.00	
26JUL17	B	1	PD260CT17	6310.00	6857.50	USD	13,687.50	
26JUL17	B	1	PD260CT17	6282.00	6857.50	USD	14,387.50	
26JUL17	B	3	PD260CT17	6279.00	6857.50	USD	43,387.50	
26JUL17	B	1	PD260CT17	6296.00	6857.50	USD	14,037.50	
26JUL17	S	3	PD260CT17	6295.50	6857.50	USD	-42,150.00	
26JUL17	S	6	PD260CT17	6296.50	6857.50	USD	-84,150.00	
26JUL17	S	1	PD260CT17	6297.00	6857.50	USD	-14,012.50	
26JUL17	S	1	PD260CT17	6294.50	6857.50	USD	-14,075.00	
26JUL17	S	3	PD260CT17	6295.00	6857.50	USD	-42,187.50	
26JUL17	S	7	PD260CT17	6296.00	6857.50	USD	-98,262.50	
26JUL17	S	1	PD260CT17	6298.50	6857.50	USD	-13,975.00	
26JUL17	S	10	PD260CT17	6298.00	6857.50	USD	-139,875.00	
26JUL17	S	2	PD260CT17	6299.00	6857.50	USD	-27,925.00	
26JUL17	S	2	PD260CT17	6299.50	6857.50	USD	-27,900.00	
26JUL17	S	3	PD260CT17	6300.00	6857.50	USD	-41,812.50	
26JUL17	S	3	PD260CT17	6301.00	6857.50	USD	-41,737.50	
26JUL17	S	1	PD260CT17	6304.50	6857.50	USD	-13,825.00	
26JUL17	S	2	PD260CT17	6301.50	6857.50	USD	-27,800.00	
26JUL17	S	3	PD260CT17	6302.50	6857.50	USD	-41,625.00	
26JUL17	S	5	PD260CT17	6304.00	6857.50	USD	-69,187.50	
26JUL17	S	2	PD260CT17	6303.50	6857.50	USD	-27,700.00	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
26JUL17	S	4	PD26OCT17	6315.00	6857.50	USD	-54,250.00	
26JUL17	S	4	PD26OCT17	6316.50	6857.50	USD	-54,100.00	
26JUL17	S	1	PD26OCT17	6317.00	6857.50	USD	-13,512.50	
26JUL17	S	1	PD26OCT17	6319.00	6857.50	USD	-13,462.50	
26JUL17	S	2	PD26OCT17	6318.00	6857.50	USD	-26,975.00	
26JUL17	S	1	PD26OCT17	6318.50	6857.50	USD	-13,475.00	
26JUL17	S	2	PD26OCT17	6317.50	6857.50	USD	-27,000.00	
26JUL17	S	2	PD26OCT17	6315.50	6857.50	USD	-27,100.00	
26JUL17	S	2	PD26OCT17	6313.00	6857.50	USD	-27,225.00	
26JUL17	S	2	PD26OCT17	6327.50	6857.50	USD	-26,500.00	
26JUL17	S	6	PD26OCT17	6329.00	6857.50	USD	-79,275.00	
26JUL17	S	1	PD26OCT17	6330.50	6857.50	USD	-13,175.00	
26JUL17	S	10	PD26OCT17	6330.00	6857.50	USD	-131,875.00	
26JUL17	S	1	PD26OCT17	6331.00	6857.50	USD	-13,162.50	
26JUL17	S	2	PD26OCT17	6333.50	6857.50	USD	-26,200.00	
26JUL17	S	1	PD26OCT17	6332.00	6857.50	USD	-13,137.50	
26JUL17	S	1	PD26OCT17	6333.00	6857.50	USD	-13,112.50	
26JUL17	S	1	PD26OCT17	6335.50	6857.50	USD	-13,050.00	
26JUL17	S	1	PD26OCT17	6334.50	6857.50	USD	-13,075.00	
26JUL17	S	1	PD26OCT17	6339.00	6857.50	USD	-12,962.50	
26JUL17	S	1	PD26OCT17	6340.00	6857.50	USD	-12,937.50	
26JUL17	B	2	PD26OCT17	6328.00	6857.50	USD	26,475.00	
26JUL17	B	2	PD26OCT17	6326.50	6857.50	USD	26,550.00	
26JUL17	S	2	PD26OCT17	6295.50	6857.50	USD	-28,100.00	
26JUL17	S	1	PD26OCT17	6296.00	6857.50	USD	-14,037.50	
26JUL17	S	1	PD26OCT17	6297.50	6857.50	USD	-14,000.00	
26JUL17	S	2	PD26OCT17	6296.50	6857.50	USD	-28,050.00	
26JUL17	S	1	PD26OCT17	6297.00	6857.50	USD	-14,012.50	
26JUL17	S	1	PD26OCT17	6298.00	6857.50	USD	-13,987.50	
26JUL17	B	6	PD26OCT17	6332.00	6857.50	USD	78,825.00	
26JUL17	B	1	PD26OCT17	6331.00	6857.50	USD	13,162.50	
26JUL17	B	2	PD26OCT17	6329.50	6857.50	USD	26,400.00	
26JUL17	B	1	PD26OCT17	6330.50	6857.50	USD	13,175.00	
26JUL17	B	1	PD26OCT17	6327.50	6857.50	USD	13,250.00	
26JUL17	B	1	PD26OCT17	6342.50	6857.50	USD	12,875.00	
26JUL17	B	2	PD26OCT17	6346.50	6857.50	USD	25,550.00	
26JUL17	B	1	PD26OCT17	6345.50	6857.50	USD	12,800.00	
26JUL17	S	1	PD26OCT17	6310.50	6857.50	USD	-13,675.00	
26JUL17	S	5	PD26OCT17	6311.00	6857.50	USD	-68,312.50	
26JUL17	S	3	PD26OCT17	6310.00	6857.50	USD	-41,062.50	
26JUL17	B	1	PD26OCT17	6304.50	6857.50	USD	13,825.00	
27JUL17	B	45	PD26OCT17	6329.91	6857.50	USD	593,538.75	
	NET	0AVG BGHT 6306.18	SOLD 6330.92				126,838.75	0.00
27JUL17	B	1	PD27OCT17	6345.50	6857.50	USD	12,800.00	
27JUL17	B	2	PD27OCT17	6344.00	6857.50	USD	25,675.00	
27JUL17	B	1	PD27OCT17	6342.00	6857.50	USD	12,887.50	
27JUL17	B	4	PD27OCT17	6339.50	6857.50	USD	51,800.00	
27JUL17	B	2	PD27OCT17	6343.00	6857.50	USD	25,725.00	
27JUL17	B	5	PD27OCT17	6341.50	6857.50	USD	64,500.00	
27JUL17	S	1	PD27OCT17	6345.50	6857.50	USD	-12,800.00	
27JUL17	S	1	PD27OCT17	6347.00	6857.50	USD	-12,762.50	
27JUL17	S	2	PD27OCT17	6344.50	6857.50	USD	-25,650.00	
27JUL17	S	1	PD27OCT17	6347.50	6857.50	USD	-12,750.00	
27JUL17	S	1	PD27OCT17	6355.50	6857.50	USD	-12,550.00	
27JUL17	S	1	PD27OCT17	6367.00	6857.50	USD	-12,262.50	
27JUL17	B	4	PD27OCT17	6358.00	6857.50	USD	49,950.00	
27JUL17	B	1	PD27OCT17	6359.00	6857.50	USD	12,462.50	

OPEN POSITION STATEMENT



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SAP0097K

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Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
27JUL17	B	2	PD27OCT17	6356.50	6857.50	USD	25,050.00	
27JUL17	B	2	PD27OCT17	6357.00	6857.50	USD	25,025.00	
27JUL17	S	3	PD27OCT17	6354.00	6857.50	USD	-37,762.50	
27JUL17	S	4	PD27OCT17	6353.00	6857.50	USD	-50,450.00	
27JUL17	S	5	PD27OCT17	6352.50	6857.50	USD	-63,125.00	
27JUL17	S	1	PD27OCT17	6341.50	6857.50	USD	-12,900.00	
27JUL17	S	1	PD27OCT17	6342.00	6857.50	USD	-12,887.50	
27JUL17	S	5	PD27OCT17	6353.50	6857.50	USD	-63,000.00	
27JUL17	S	3	PD27OCT17	6355.00	6857.50	USD	-37,687.50	
27JUL17	S	3	PD27OCT17	6351.50	6857.50	USD	-37,950.00	
27JUL17	S	2	PD27OCT17	6350.50	6857.50	USD	-25,350.00	
27JUL17	S	5	PD27OCT17	6348.50	6857.50	USD	-63,625.00	
28JUL17	B	1	PD27OCT17	6316.50	6857.50	USD	13,525.00	
28JUL17	B	2	PD27OCT17	6314.00	6857.50	USD	27,175.00	
28JUL17	B	1	PD27OCT17	6310.50	6857.50	USD	13,675.00	
28JUL17	B	3	PD27OCT17	6311.50	6857.50	USD	40,950.00	
28JUL17	B	1	PD27OCT17	6309.50	6857.50	USD	13,700.00	
28JUL17	S	1	PD27OCT17	6306.50	6857.50	USD	-13,775.00	
28JUL17	S	2	PD27OCT17	6308.50	6857.50	USD	-27,450.00	
28JUL17	S	3	PD27OCT17	6306.00	6857.50	USD	-41,362.50	
28JUL17	S	1	PD27OCT17	6309.50	6857.50	USD	-13,700.00	
28JUL17	S	1	PD27OCT17	6308.00	6857.50	USD	-13,737.50	
28JUL17	S	1	PD27OCT17	6315.50	6857.50	USD	-13,550.00	
28JUL17	S	9	PD27OCT17	6315.00	6857.50	USD	-122,062.50	
28JUL17	B	12	PD27OCT17	6312.50	6857.50	USD	163,500.00	
28JUL17	B	4	PD27OCT17	6312.00	6857.50	USD	54,550.00	
28JUL17	B	5	PD27OCT17	6310.00	6857.50	USD	68,437.50	
28JUL17	B	4	PD27OCT17	6311.00	6857.50	USD	54,650.00	
28JUL17	B	1	PD27OCT17	6319.00	6857.50	USD	13,462.50	
28JUL17	B	1	PD27OCT17	6318.00	6857.50	USD	13,487.50	
28JUL17	B	3	PD27OCT17	6322.00	6857.50	USD	40,162.50	
28JUL17	S	1	PD27OCT17	6338.00	6857.50	USD	-12,987.50	
28JUL17	S	2	PD27OCT17	6334.00	6857.50	USD	-26,175.00	
28JUL17	S	2	PD27OCT17	6327.50	6857.50	USD	-26,500.00	
28JUL17	S	5	PD27OCT17	6329.50	6857.50	USD	-66,000.00	
28JUL17	S	2	PD27OCT17	6326.00	6857.50	USD	-26,575.00	
28JUL17	S	4	PD27OCT17	6328.50	6857.50	USD	-52,900.00	
28JUL17	B	1	PD27OCT17	6329.00	6857.50	USD	13,212.50	
28JUL17	S	1	PD27OCT17	6330.00	6857.50	USD	-13,187.50	
28JUL17	S	2	PD27OCT17	6329.00	6857.50	USD	-26,425.00	
28JUL17	B	1	PD27OCT17	6333.00	6857.50	USD	13,112.50	
28JUL17	B	1	PD27OCT17	6335.50	6857.50	USD	13,050.00	
28JUL17	B	2	PD27OCT17	6336.00	6857.50	USD	26,075.00	
28JUL17	B	2	PD27OCT17	6335.00	6857.50	USD	26,125.00	
28JUL17	B	2	PD27OCT17	6334.50	6857.50	USD	26,150.00	
28JUL17	B	4	PD27OCT17	6337.00	6857.50	USD	52,050.00	
28JUL17	B	1	PD27OCT17	6337.50	6857.50	USD	13,000.00	
28JUL17	B	1	PD27OCT17	6338.50	6857.50	USD	12,975.00	
28JUL17	S	2	PD27OCT17	6339.50	6857.50	USD	-25,900.00	
28JUL17	S	2	PD27OCT17	6341.50	6857.50	USD	-25,800.00	
28JUL17	B	1	PD27OCT17	6346.50	6857.50	USD	12,775.00	
28JUL17	S	1	PD27OCT17	6337.00	6857.50	USD	-13,012.50	
28JUL17	S	1	PD27OCT17	6331.00	6857.50	USD	-13,162.50	
28JUL17	S	4	PD27OCT17	6332.00	6857.50	USD	-52,550.00	
28JUL17	S	5	PD27OCT17	6325.50	6857.50	USD	-66,500.00	
28JUL17	S	1	PD27OCT17	6336.50	6857.50	USD	-13,025.00	
28JUL17	S	2	PD27OCT17	6333.00	6857.50	USD	-26,225.00	

OPEN POSITION STATEMENT



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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
28JUL17	B	2	PD27OCT17	6325.50	6857.50	USD	26,600.00	
28JUL17	B	1	PD27OCT17	6331.50	6857.50	USD	13,150.00	
28JUL17	B	1	PD27OCT17	6330.50	6857.50	USD	13,175.00	
28JUL17	B	1	PD27OCT17	6334.00	6857.50	USD	13,087.50	
28JUL17	B	4	PD27OCT17	6338.00	6857.50	USD	51,950.00	
28JUL17	B	7	PD27OCT17	6339.50	6857.50	USD	90,650.00	
28JUL17	B	2	PD27OCT17	6341.00	6857.50	USD	25,825.00	
28JUL17	B	2	PD27OCT17	6340.00	6857.50	USD	25,875.00	
28JUL17	B	6	PD27OCT17	6340.50	6857.50	USD	77,550.00	
28JUL17	B	5	PD27OCT17	6344.00	6857.50	USD	64,187.50	
28JUL17	B	2	PD27OCT17	6343.00	6857.50	USD	25,725.00	
28JUL17	S	3	PD27OCT17	6335.50	6857.50	USD	-39,150.00	
28JUL17	S	3	PD27OCT17	6334.50	6857.50	USD	-39,225.00	
28JUL17	S	5	PD27OCT17	6336.00	6857.50	USD	-65,187.50	
28JUL17	S	18	PD27OCT17	6335.00	6857.50	USD	-235,125.00	
28JUL17	S	4	PD27OCT17	6332.50	6857.50	USD	-52,500.00	
28JUL17	S	1	PD27OCT17	6331.50	6857.50	USD	-13,150.00	
28JUL17	S	7	PD27OCT17	6326.50	6857.50	USD	-92,925.00	
28JUL17	S	1	PD27OCT17	6330.50	6857.50	USD	-13,175.00	
28JUL17	S	15	PD27OCT17	6328.00	6857.50	USD	-198,562.50	
28JUL17	S	2	PD27OCT17	6344.50	6857.50	USD	-25,650.00	
28JUL17	B	1	PD27OCT17	6341.50	6857.50	USD	12,900.00	
28JUL17	B	1	PD27OCT17	6343.50	6857.50	USD	12,850.00	
28JUL17	B	15	PD27OCT17	6325.20	6857.50	USD	199,612.50	
28JUL17	B	2	PD27OCT17	6344.50	6857.50	USD	25,650.00	
28JUL17	S	2	PD27OCT17	6336.00	6857.50	USD	-26,075.00	
01AUG17	B	25	PD27OCT17	6343.70	6857.50	USD	321,125.00	
	NET	0AVG	BGHT 6333.22	SOLD 6334.45			4,787.50	0.00
31JUL17	S	2	PD31OCT17	6377.00	6858.27	USD	-24,063.50	
31JUL17	S	1	PD31OCT17	6378.00	6858.27	USD	-12,006.75	
31JUL17	S	2	PD31OCT17	6375.50	6858.27	USD	-24,138.50	
31JUL17	B	3	PD31OCT17	6418.50	6858.27	USD	32,982.75	
31JUL17	B	6	PD31OCT17	6419.00	6858.27	USD	65,890.50	
31JUL17	B	6	PD31OCT17	6420.00	6858.27	USD	65,740.50	
31JUL17	B	1	PD31OCT17	6416.50	6858.27	USD	11,044.25	
31JUL17	B	1	PD31OCT17	6426.50	6858.27	USD	10,794.25	
31JUL17	B	4	PD31OCT17	6427.50	6858.27	USD	43,077.00	
31JUL17	S	2	PD31OCT17	6413.00	6858.27	USD	-22,263.50	
31JUL17	S	2	PD31OCT17	6411.50	6858.27	USD	-22,338.50	
31JUL17	S	4	PD31OCT17	6414.00	6858.27	USD	-44,427.00	
31JUL17	S	2	PD31OCT17	6415.00	6858.27	USD	-22,163.50	
31JUL17	S	1	PD31OCT17	6406.50	6858.27	USD	-11,294.25	
31JUL17	S	2	PD31OCT17	6407.50	6858.27	USD	-22,538.50	
31JUL17	S	2	PD31OCT17	6409.00	6858.27	USD	-22,463.50	
31JUL17	S	1	PD31OCT17	6404.50	6858.27	USD	-11,344.25	
31JUL17	B	1	PD31OCT17	6410.50	6858.27	USD	11,194.25	
31JUL17	S	1	PD31OCT17	6392.50	6858.27	USD	-11,644.25	
31JUL17	S	1	PD31OCT17	6396.00	6858.27	USD	-11,556.75	
31JUL17	S	1	PD31OCT17	6397.50	6858.27	USD	-11,519.25	
31JUL17	S	4	PD31OCT17	6399.00	6858.27	USD	-45,927.00	
31JUL17	S	3	PD31OCT17	6400.00	6858.27	USD	-34,370.25	
31JUL17	S	6	PD31OCT17	6394.50	6858.27	USD	-69,565.50	
31JUL17	S	1	PD31OCT17	6385.50	6858.27	USD	-11,819.25	
31JUL17	S	2	PD31OCT17	6384.50	6858.27	USD	-23,688.50	
31JUL17	S	4	PD31OCT17	6385.00	6858.27	USD	-47,327.00	
31JUL17	S	1	PD31OCT17	6384.00	6858.27	USD	-11,856.75	
31JUL17	S	2	PD31OCT17	6382.00	6858.27	USD	-23,813.50	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
31JUL17	S	3	PD31OCT17	6381.00	6858.27	USD	-35,795.25	
31JUL17	S	2	PD31OCT17	6382.50	6858.27	USD	-23,788.50	
31JUL17	S	2	PD31OCT17	6383.50	6858.27	USD	-23,738.50	
31JUL17	S	1	PD31OCT17	6380.00	6858.27	USD	-11,956.75	
31JUL17	S	1	PD31OCT17	6410.00	6858.27	USD	-11,206.75	
31JUL17	S	1	PD31OCT17	6384.50	6858.27	USD	-11,844.25	
31JUL17	B	1	PD31OCT17	6387.50	6858.27	USD	11,769.25	
31JUL17	B	1	PD31OCT17	6386.50	6858.27	USD	11,794.25	
31JUL17	B	1	PD31OCT17	6385.50	6858.27	USD	11,819.25	
31JUL17	B	2	PD31OCT17	6384.50	6858.27	USD	23,688.50	
31JUL17	S	2	PD31OCT17	6370.00	6858.27	USD	-24,413.50	
31JUL17	S	1	PD31OCT17	6371.50	6858.27	USD	-12,169.25	
31JUL17	S	1	PD31OCT17	6372.50	6858.27	USD	-12,144.25	
31JUL17	B	2	PD31OCT17	6364.50	6858.27	USD	24,688.50	
31JUL17	B	1	PD31OCT17	6363.50	6858.27	USD	12,369.25	
31JUL17	B	1	PD31OCT17	6363.00	6858.27	USD	12,381.75	
31JUL17	S	1	PD31OCT17	6354.00	6858.27	USD	-12,606.75	
31JUL17	S	1	PD31OCT17	6356.50	6858.27	USD	-12,544.25	
31JUL17	S	2	PD31OCT17	6360.50	6858.27	USD	-24,888.50	
31JUL17	B	1	PD31OCT17	6370.00	6858.27	USD	12,206.75	
31JUL17	B	1	PD31OCT17	6376.50	6858.27	USD	12,044.25	
31JUL17	B	2	PD31OCT17	6378.00	6858.27	USD	24,013.50	
31JUL17	B	4	PD31OCT17	6377.00	6858.27	USD	48,127.00	
31JUL17	B	1	PD31OCT17	6376.00	6858.27	USD	12,056.75	
31JUL17	B	5	PD31OCT17	6369.00	6858.27	USD	61,158.75	
31JUL17	B	5	PD31OCT17	6365.50	6858.27	USD	61,596.25	
31JUL17	S	1	PD31OCT17	6368.00	6858.27	USD	-12,256.75	
31JUL17	S	6	PD31OCT17	6366.50	6858.27	USD	-73,765.50	
31JUL17	S	9	PD31OCT17	6367.50	6858.27	USD	-110,423.25	
31JUL17	S	2	PD31OCT17	6367.00	6858.27	USD	-24,563.50	
31JUL17	S	7	PD31OCT17	6365.50	6858.27	USD	-86,234.75	
31JUL17	S	4	PD31OCT17	6366.00	6858.27	USD	-49,227.00	
31JUL17	B	1	PD31OCT17	6372.00	6858.27	USD	12,156.75	
31JUL17	B	1	PD31OCT17	6371.50	6858.27	USD	12,169.25	
31JUL17	B	3	PD31OCT17	6370.50	6858.27	USD	36,582.75	
31JUL17	S	3	PD31OCT17	6374.50	6858.27	USD	-36,282.75	
31JUL17	B	4	PD31OCT17	6373.50	6858.27	USD	48,477.00	
31JUL17	B	1	PD31OCT17	6368.50	6858.27	USD	12,244.25	
31JUL17	B	2	PD31OCT17	6372.00	6858.27	USD	24,313.50	
01AUG17	B	5	PD31OCT17	6344.90	6858.27	USD	64,171.25	
07AUG17	B	30	PD31OCT17	6411.14	6858.27	USD	335,347.50	
	NET	0AVG BGHT 6393.98	SOLD 6383.23				-26,080.00	0.00
01AUG17	B	2	PD01NOV17	6379.50	6859.05	USD	23,977.50	
01AUG17	B	5	PD01NOV17	6380.50	6859.05	USD	59,818.75	
01AUG17	B	6	PD01NOV17	6380.00	6859.05	USD	71,857.50	
01AUG17	B	7	PD01NOV17	6381.00	6859.05	USD	83,658.75	
01AUG17	B	1	PD01NOV17	6379.00	6859.05	USD	12,001.25	
01AUG17	B	1	PD01NOV17	6367.50	6859.05	USD	12,288.75	
01AUG17	B	1	PD01NOV17	6368.50	6859.05	USD	12,263.75	
01AUG17	S	1	PD01NOV17	6361.00	6859.05	USD	-12,451.25	
01AUG17	S	1	PD01NOV17	6362.00	6859.05	USD	-12,426.25	
01AUG17	S	2	PD01NOV17	6353.50	6859.05	USD	-25,277.50	
01AUG17	S	3	PD01NOV17	6354.50	6859.05	USD	-37,841.25	
01AUG17	S	1	PD01NOV17	6352.00	6859.05	USD	-12,676.25	
01AUG17	S	1	PD01NOV17	6354.00	6859.05	USD	-12,626.25	
01AUG17	S	3	PD01NOV17	6353.00	6859.05	USD	-37,953.75	
01AUG17	S	5	PD01NOV17	6352.50	6859.05	USD	-63,318.75	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
01AUG17	B	3	PD01NOV17	6348.50	6859.05	USD	38,291.25	
01AUG17	B	1	PD01NOV17	6347.50	6859.05	USD	12,788.75	
01AUG17	B	1	PD01NOV17	6347.00	6859.05	USD	12,801.25	
01AUG17	B	1	PD01NOV17	6349.50	6859.05	USD	12,738.75	
01AUG17	B	1	PD01NOV17	6350.50	6859.05	USD	12,713.75	
01AUG17	B	1	PD01NOV17	6354.00	6859.05	USD	12,626.25	
01AUG17	B	2	PD01NOV17	6352.00	6859.05	USD	25,352.50	
01AUG17	B	2	PD01NOV17	6332.50	6859.05	USD	26,327.50	
01AUG17	B	1	PD01NOV17	6332.00	6859.05	USD	13,176.25	
01AUG17	B	1	PD01NOV17	6331.50	6859.05	USD	13,188.75	
01AUG17	B	1	PD01NOV17	6331.00	6859.05	USD	13,201.25	
01AUG17	B	2	PD01NOV17	6335.00	6859.05	USD	26,202.50	
01AUG17	B	2	PD01NOV17	6334.00	6859.05	USD	26,252.50	
01AUG17	B	2	PD01NOV17	6338.50	6859.05	USD	26,027.50	
01AUG17	B	5	PD01NOV17	6335.50	6859.05	USD	65,443.75	
01AUG17	B	4	PD01NOV17	6340.50	6859.05	USD	51,855.00	
01AUG17	B	3	PD01NOV17	6351.00	6859.05	USD	38,103.75	
01AUG17	B	1	PD01NOV17	6349.00	6859.05	USD	12,751.25	
01AUG17	B	2	PD01NOV17	6348.00	6859.05	USD	25,552.50	
01AUG17	B	1	PD01NOV17	6345.00	6859.05	USD	12,851.25	
01AUG17	B	1	PD01NOV17	6334.50	6859.05	USD	13,113.75	
01AUG17	B	2	PD01NOV17	6337.00	6859.05	USD	26,102.50	
01AUG17	B	2	PD01NOV17	6338.00	6859.05	USD	26,052.50	
01AUG17	B	1	PD01NOV17	6336.50	6859.05	USD	13,063.75	
01AUG17	B	20	PD01NOV17	6336.00	6859.05	USD	261,525.00	
01AUG17	B	1	PD01NOV17	6333.50	6859.05	USD	13,138.75	
01AUG17	B	1	PD01NOV17	6379.00	6859.05	USD	12,001.25	
01AUG17	S	2	PD01NOV17	6362.00	6859.05	USD	-24,852.50	
01AUG17	B	2	PD01NOV17	6340.50	6859.05	USD	25,927.50	
01AUG17	B	1	PD01NOV17	6333.50	6859.05	USD	13,138.75	
01AUG17	B	1	PD01NOV17	6336.00	6859.05	USD	13,076.25	
01AUG17	S	12	PD01NOV17	6356.50	6859.05	USD	-150,765.00	
01AUG17	S	2	PD01NOV17	6357.00	6859.05	USD	-25,102.50	
01AUG17	S	7	PD01NOV17	6355.50	6859.05	USD	-88,121.25	
01AUG17	S	1	PD01NOV17	6347.00	6859.05	USD	-12,801.25	
01AUG17	S	3	PD01NOV17	6343.00	6859.05	USD	-38,703.75	
01AUG17	S	1	PD01NOV17	6337.50	6859.05	USD	-13,038.75	
01AUG17	S	2	PD01NOV17	6336.50	6859.05	USD	-26,127.50	
01AUG17	S	1	PD01NOV17	6342.50	6859.05	USD	-12,913.75	
01AUG17	S	3	PD01NOV17	6335.50	6859.05	USD	-39,266.25	
01AUG17	S	1	PD01NOV17	6331.50	6859.05	USD	-13,188.75	
01AUG17	S	8	PD01NOV17	6330.00	6859.05	USD	-105,810.00	
01AUG17	S	7	PD01NOV17	6331.00	6859.05	USD	-92,408.75	
01AUG17	B	3	PD01NOV17	6325.00	6859.05	USD	40,053.75	
01AUG17	B	3	PD01NOV17	6330.50	6859.05	USD	39,641.25	
01AUG17	B	2	PD01NOV17	6324.50	6859.05	USD	26,727.50	
01AUG17	B	3	PD01NOV17	6326.50	6859.05	USD	39,941.25	
01AUG17	B	9	PD01NOV17	6325.50	6859.05	USD	120,048.75	
01AUG17	B	1	PD01NOV17	6327.50	6859.05	USD	13,288.75	
01AUG17	B	3	PD01NOV17	6328.00	6859.05	USD	39,828.75	
01AUG17	B	3	PD01NOV17	6328.50	6859.05	USD	39,791.25	
01AUG17	B	2	PD01NOV17	6329.00	6859.05	USD	26,502.50	
01AUG17	S	1	PD01NOV17	6338.50	6859.05	USD	-13,013.75	
01AUG17	S	5	PD01NOV17	6340.00	6859.05	USD	-64,881.25	
01AUG17	S	4	PD01NOV17	6337.00	6859.05	USD	-52,205.00	
01AUG17	S	2	PD01NOV17	6338.00	6859.05	USD	-26,052.50	
01AUG17	S	2	PD01NOV17	6339.00	6859.05	USD	-26,002.50	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0097K

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
01AUG17	S	2	PD01NOV17	6340.50	6859.05	USD	-25,927.50	
01AUG17	S	3	PD01NOV17	6341.00	6859.05	USD	-38,853.75	
01AUG17	S	7	PD01NOV17	6339.50	6859.05	USD	-90,921.25	
01AUG17	S	9	PD01NOV17	6341.50	6859.05	USD	-116,448.75	
01AUG17	S	11	PD01NOV17	6345.00	6859.05	USD	-141,363.75	
01AUG17	B	2	PD01NOV17	6358.50	6859.05	USD	25,027.50	
01AUG17	B	3	PD01NOV17	6359.00	6859.05	USD	37,503.75	
01AUG17	B	3	PD01NOV17	6355.50	6859.05	USD	37,766.25	
01AUG17	B	2	PD01NOV17	6352.50	6859.05	USD	25,327.50	
01AUG17	B	3	PD01NOV17	6353.00	6859.05	USD	37,953.75	
01AUG17	B	22	PD01NOV17	6356.50	6859.05	USD	276,402.50	
01AUG17	B	12	PD01NOV17	6357.50	6859.05	USD	150,465.00	
01AUG17	B	9	PD01NOV17	6358.00	6859.05	USD	112,736.25	
01AUG17	B	11	PD01NOV17	6357.00	6859.05	USD	138,063.75	
01AUG17	B	3	PD01NOV17	6356.00	6859.05	USD	37,728.75	
01AUG17	B	2	PD01NOV17	6355.00	6859.05	USD	25,202.50	
01AUG17	S	6	PD01NOV17	6349.00	6859.05	USD	-76,507.50	
01AUG17	S	2	PD01NOV17	6350.00	6859.05	USD	-25,452.50	
01AUG17	S	2	PD01NOV17	6347.50	6859.05	USD	-25,577.50	
01AUG17	S	6	PD01NOV17	6348.00	6859.05	USD	-76,657.50	
01AUG17	S	3	PD01NOV17	6342.00	6859.05	USD	-38,778.75	
01AUG17	S	3	PD01NOV17	6345.50	6859.05	USD	-38,516.25	
01AUG17	S	2	PD01NOV17	6346.00	6859.05	USD	-25,652.50	
01AUG17	S	6	PD01NOV17	6346.50	6859.05	USD	-76,882.50	
01AUG17	B	2	PD01NOV17	6343.50	6859.05	USD	25,777.50	
01AUG17	B	5	PD01NOV17	6342.50	6859.05	USD	64,568.75	
01AUG17	B	5	PD01NOV17	6341.50	6859.05	USD	64,693.75	
01AUG17	B	3	PD01NOV17	6339.50	6859.05	USD	38,966.25	
01AUG17	B	6	PD01NOV17	6341.00	6859.05	USD	77,707.50	
01AUG17	B	11	PD01NOV17	6342.00	6859.05	USD	142,188.75	
01AUG17	B	1	PD01NOV17	6343.00	6859.05	USD	12,901.25	
01AUG17	B	2	PD01NOV17	6344.50	6859.05	USD	25,727.50	
01AUG17	S	1	PD01NOV17	6350.00	6859.05	USD	-12,726.25	
01AUG17	B	1	PD01NOV17	6356.50	6859.05	USD	12,563.75	
07AUG17	S	30	PD01NOV17	6411.35	6859.05	USD	-335,775.00	
07AUG17	S	55	PD01NOV17	6411.55	6859.05	USD	-615,312.50	
	NET	0AVG BGHT 6347.90	SOLD 6369.76				125,168.75	0.00
02AUG17	S	2	PD02NOV17	6314.00	6859.82	USD	-27,291.00	
02AUG17	S	2	PD02NOV17	6313.50	6859.82	USD	-27,316.00	
02AUG17	S	5	PD02NOV17	6315.50	6859.82	USD	-68,040.00	
02AUG17	S	2	PD02NOV17	6315.00	6859.82	USD	-27,241.00	
02AUG17	S	5	PD02NOV17	6316.00	6859.82	USD	-67,977.50	
02AUG17	S	1	PD02NOV17	6319.50	6859.82	USD	-13,508.00	
02AUG17	S	1	PD02NOV17	6320.50	6859.82	USD	-13,483.00	
02AUG17	S	5	PD02NOV17	6325.00	6859.82	USD	-66,852.50	
02AUG17	S	1	PD02NOV17	6328.50	6859.82	USD	-13,283.00	
02AUG17	S	2	PD02NOV17	6323.00	6859.82	USD	-26,841.00	
02AUG17	S	2	PD02NOV17	6326.00	6859.82	USD	-26,691.00	
02AUG17	S	2	PD02NOV17	6325.50	6859.82	USD	-26,716.00	
02AUG17	S	2	PD02NOV17	6324.00	6859.82	USD	-26,791.00	
02AUG17	S	2	PD02NOV17	6324.50	6859.82	USD	-26,766.00	
02AUG17	S	6	PD02NOV17	6318.50	6859.82	USD	-81,198.00	
02AUG17	S	16	PD02NOV17	6319.00	6859.82	USD	-216,328.00	
02AUG17	S	10	PD02NOV17	6320.00	6859.82	USD	-134,955.00	
02AUG17	S	5	PD02NOV17	6318.00	6859.82	USD	-67,727.50	
02AUG17	S	8	PD02NOV17	6317.00	6859.82	USD	-108,564.00	
02AUG17	S	5	PD02NOV17	6316.50	6859.82	USD	-67,915.00	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0097K

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
02AUG17	S	1	PD02NOV17	6330.50	6859.82	USD	-13,233.00	
02AUG17	S	1	PD02NOV17	6329.00	6859.82	USD	-13,270.50	
02AUG17	S	1	PD02NOV17	6334.50	6859.82	USD	-13,133.00	
02AUG17	S	7	PD02NOV17	6333.50	6859.82	USD	-92,106.00	
02AUG17	S	5	PD02NOV17	6332.00	6859.82	USD	-65,977.50	
02AUG17	S	1	PD02NOV17	6334.00	6859.82	USD	-13,145.50	
02AUG17	S	1	PD02NOV17	6335.00	6859.82	USD	-13,120.50	
02AUG17	S	1	PD02NOV17	6335.50	6859.82	USD	-13,108.00	
02AUG17	S	7	PD02NOV17	6336.00	6859.82	USD	-91,668.50	
02AUG17	S	1	PD02NOV17	6337.00	6859.82	USD	-13,070.50	
02AUG17	S	1	PD02NOV17	6337.50	6859.82	USD	-13,058.00	
02AUG17	S	1	PD02NOV17	6341.00	6859.82	USD	-12,970.50	
02AUG17	S	1	PD02NOV17	6342.00	6859.82	USD	-12,945.50	
02AUG17	B	2	PD02NOV17	6331.00	6859.82	USD	26,441.00	
02AUG17	B	4	PD02NOV17	6329.50	6859.82	USD	53,032.00	
02AUG17	B	2	PD02NOV17	6324.50	6859.82	USD	26,766.00	
02AUG17	B	1	PD02NOV17	6327.00	6859.82	USD	13,320.50	
02AUG17	B	5	PD02NOV17	6327.50	6859.82	USD	66,540.00	
02AUG17	B	6	PD02NOV17	6328.00	6859.82	USD	79,773.00	
02AUG17	B	5	PD02NOV17	6330.00	6859.82	USD	66,227.50	
02AUG17	B	3	PD02NOV17	6328.50	6859.82	USD	39,849.00	
02AUG17	B	4	PD02NOV17	6331.50	6859.82	USD	52,832.00	
02AUG17	B	11	PD02NOV17	6330.50	6859.82	USD	145,563.00	
02AUG17	B	3	PD02NOV17	6334.00	6859.82	USD	39,436.50	
02AUG17	B	4	PD02NOV17	6332.00	6859.82	USD	52,782.00	
02AUG17	B	2	PD02NOV17	6333.00	6859.82	USD	26,341.00	
02AUG17	B	7	PD02NOV17	6332.50	6859.82	USD	92,281.00	
02AUG17	B	9	PD02NOV17	6333.50	6859.82	USD	118,422.00	
02AUG17	B	4	PD02NOV17	6334.50	6859.82	USD	52,532.00	
02AUG17	B	1	PD02NOV17	6336.00	6859.82	USD	13,095.50	
02AUG17	S	1	PD02NOV17	6324.00	6859.82	USD	-13,395.50	
02AUG17	B	7	PD02NOV17	6336.50	6859.82	USD	91,581.00	
02AUG17	B	3	PD02NOV17	6341.00	6859.82	USD	38,911.50	
02AUG17	S	5	PD02NOV17	6339.00	6859.82	USD	-65,102.50	
02AUG17	S	1	PD02NOV17	6339.50	6859.82	USD	-13,008.00	
02AUG17	S	2	PD02NOV17	6343.00	6859.82	USD	-25,841.00	
02AUG17	S	1	PD02NOV17	6343.50	6859.82	USD	-12,908.00	
02AUG17	B	4	PD02NOV17	6339.00	6859.82	USD	52,082.00	
02AUG17	B	5	PD02NOV17	6338.00	6859.82	USD	65,227.50	
02AUG17	B	6	PD02NOV17	6340.50	6859.82	USD	77,898.00	
02AUG17	B	4	PD02NOV17	6339.50	6859.82	USD	52,032.00	
02AUG17	B	8	PD02NOV17	6338.50	6859.82	USD	104,264.00	
02AUG17	B	7	PD02NOV17	6337.50	6859.82	USD	91,406.00	
02AUG17	B	2	PD02NOV17	6340.00	6859.82	USD	25,991.00	
02AUG17	B	4	PD02NOV17	6342.00	6859.82	USD	51,782.00	
02AUG17	B	5	PD02NOV17	6343.00	6859.82	USD	64,602.50	
02AUG17	S	1	PD02NOV17	6354.50	6859.82	USD	-12,633.00	
02AUG17	B	1	PD02NOV17	6352.00	6859.82	USD	12,695.50	
02AUG17	B	1	PD02NOV17	6363.00	6859.82	USD	12,420.50	
02AUG17	B	1	PD02NOV17	6365.00	6859.82	USD	12,370.50	
02AUG17	B	1	PD02NOV17	6364.00	6859.82	USD	12,395.50	
02AUG17	S	2	PD02NOV17	6362.00	6859.82	USD	-24,891.00	
02AUG17	S	1	PD02NOV17	6362.50	6859.82	USD	-12,433.00	
02AUG17	B	1	PD02NOV17	6351.00	6859.82	USD	12,720.50	
02AUG17	S	5	PD02NOV17	6351.50	6859.82	USD	-63,540.00	
02AUG17	S	1	PD02NOV17	6350.50	6859.82	USD	-12,733.00	
	NET	0AVG BGHT 6335.42	SOLD 6326.65				-29,162.50	0.00

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0097K

13th October 2017

Sapien Capital Limited
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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
03AUG17	S	2	PD03NOV17	6338.50	6860.59	USD	-26,104.50	
03AUG17	B	1	PD03NOV17	6336.50	6860.59	USD	13,102.25	
03AUG17	B	1	PD03NOV17	6338.50	6860.59	USD	13,052.25	
03AUG17	B	1	PD03NOV17	6340.50	6860.59	USD	13,002.25	
03AUG17	S	1	PD03NOV17	6327.50	6860.59	USD	-13,327.25	
03AUG17	S	2	PD03NOV17	6326.00	6860.59	USD	-26,729.50	
03AUG17	S	4	PD03NOV17	6325.00	6860.59	USD	-53,559.00	
03AUG17	S	3	PD03NOV17	6323.00	6860.59	USD	-40,319.25	
03AUG17	S	9	PD03NOV17	6320.50	6860.59	USD	-121,520.25	
03AUG17	S	1	PD03NOV17	6319.00	6860.59	USD	-13,539.75	
03AUG17	S	1	PD03NOV17	6318.50	6860.59	USD	-13,552.25	
03AUG17	S	3	PD03NOV17	6319.50	6860.59	USD	-40,581.75	
03AUG17	S	1	PD03NOV17	6317.50	6860.59	USD	-13,577.25	
03AUG17	S	2	PD03NOV17	6316.50	6860.59	USD	-27,204.50	
03AUG17	S	5	PD03NOV17	6311.00	6860.59	USD	-68,698.75	
03AUG17	S	1	PD03NOV17	6310.00	6860.59	USD	-13,764.75	
03AUG17	S	2	PD03NOV17	6309.00	6860.59	USD	-27,579.50	
03AUG17	S	2	PD03NOV17	6309.50	6860.59	USD	-27,554.50	
03AUG17	S	8	PD03NOV17	6308.00	6860.59	USD	-110,518.00	
03AUG17	S	4	PD03NOV17	6307.00	6860.59	USD	-55,359.00	
03AUG17	S	5	PD03NOV17	6308.50	6860.59	USD	-69,011.25	
03AUG17	S	1	PD03NOV17	6307.50	6860.59	USD	-13,827.25	
03AUG17	S	1	PD03NOV17	6304.00	6860.59	USD	-13,914.75	
03AUG17	S	1	PD03NOV17	6305.00	6860.59	USD	-13,889.75	
03AUG17	S	1	PD03NOV17	6306.50	6860.59	USD	-13,852.25	
03AUG17	S	5	PD03NOV17	6312.00	6860.59	USD	-68,573.75	
03AUG17	S	1	PD03NOV17	6312.50	6860.59	USD	-13,702.25	
03AUG17	S	3	PD03NOV17	6313.00	6860.59	USD	-41,069.25	
03AUG17	S	2	PD03NOV17	6320.00	6860.59	USD	-27,029.50	
03AUG17	S	4	PD03NOV17	6314.00	6860.59	USD	-54,659.00	
03AUG17	S	3	PD03NOV17	6315.00	6860.59	USD	-40,919.25	
03AUG17	S	2	PD03NOV17	6313.50	6860.59	USD	-27,354.50	
03AUG17	S	5	PD03NOV17	6314.50	6860.59	USD	-68,261.25	
03AUG17	B	2	PD03NOV17	6314.00	6860.59	USD	27,329.50	
03AUG17	B	2	PD03NOV17	6315.50	6860.59	USD	27,254.50	
03AUG17	B	1	PD03NOV17	6311.00	6860.59	USD	13,739.75	
03AUG17	B	1	PD03NOV17	6318.50	6860.59	USD	13,552.25	
03AUG17	S	1	PD03NOV17	6323.00	6860.59	USD	-13,439.75	
03AUG17	S	1	PD03NOV17	6315.00	6860.59	USD	-13,639.75	
03AUG17	B	3	PD03NOV17	6330.50	6860.59	USD	39,756.75	
03AUG17	B	4	PD03NOV17	6331.50	6860.59	USD	52,909.00	
03AUG17	B	5	PD03NOV17	6330.00	6860.59	USD	66,323.75	
03AUG17	B	4	PD03NOV17	6329.50	6860.59	USD	53,109.00	
03AUG17	B	6	PD03NOV17	6328.50	6860.59	USD	79,813.50	
03AUG17	B	2	PD03NOV17	6333.00	6860.59	USD	26,379.50	
03AUG17	B	2	PD03NOV17	6337.50	6860.59	USD	26,154.50	
03AUG17	B	1	PD03NOV17	6334.00	6860.59	USD	13,164.75	
03AUG17	B	2	PD03NOV17	6335.00	6860.59	USD	26,279.50	
03AUG17	B	6	PD03NOV17	6336.00	6860.59	USD	78,688.50	
03AUG17	B	1	PD03NOV17	6327.00	6860.59	USD	13,339.75	
03AUG17	S	1	PD03NOV17	6343.50	6860.59	USD	-12,927.25	
03AUG17	S	2	PD03NOV17	6343.00	6860.59	USD	-25,879.50	
03AUG17	S	2	PD03NOV17	6342.50	6860.59	USD	-25,904.50	
03AUG17	S	1	PD03NOV17	6351.00	6860.59	USD	-12,739.75	
03AUG17	S	1	PD03NOV17	6352.00	6860.59	USD	-12,714.75	
03AUG17	S	1	PD03NOV17	6353.00	6860.59	USD	-12,689.75	
03AUG17	S	2	PD03NOV17	6350.00	6860.59	USD	-25,529.50	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0097K

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
03AUG17	S	4	PD03NOV17	6339.50	6860.59	USD	-52,109.00	
03AUG17	S	1	PD03NOV17	6338.00	6860.59	USD	-13,064.75	
03AUG17	S	2	PD03NOV17	6340.00	6860.59	USD	-26,029.50	
03AUG17	S	6	PD03NOV17	6341.00	6860.59	USD	-77,938.50	
03AUG17	S	2	PD03NOV17	6342.00	6860.59	USD	-25,929.50	
03AUG17	S	1	PD03NOV17	6334.50	6860.59	USD	-13,152.25	
03AUG17	S	2	PD03NOV17	6333.50	6860.59	USD	-26,354.50	
03AUG17	S	4	PD03NOV17	6335.50	6860.59	USD	-52,509.00	
03AUG17	S	4	PD03NOV17	6336.50	6860.59	USD	-52,409.00	
03AUG17	S	4	PD03NOV17	6337.50	6860.59	USD	-52,309.00	
03AUG17	S	7	PD03NOV17	6339.00	6860.59	USD	-91,278.25	
03AUG17	B	1	PD03NOV17	6334.00	6860.59	USD	13,164.75	
03AUG17	B	1	PD03NOV17	6338.00	6860.59	USD	13,064.75	
03AUG17	B	1	PD03NOV17	6342.00	6860.59	USD	12,964.75	
03AUG17	B	1	PD03NOV17	6342.00	6860.59	USD	12,964.75	
04AUG17	B	1	PD03NOV17	6357.00	6860.59	USD	12,589.75	
04AUG17	B	3	PD03NOV17	6356.00	6860.59	USD	37,844.25	
04AUG17	B	1	PD03NOV17	6358.50	6860.59	USD	12,552.25	
04AUG17	B	1	PD03NOV17	6360.00	6860.59	USD	12,514.75	
04AUG17	B	1	PD03NOV17	6373.50	6860.59	USD	12,177.25	
04AUG17	B	5	PD03NOV17	6380.00	6860.59	USD	60,073.75	
04AUG17	B	1	PD03NOV17	6377.00	6860.59	USD	12,089.75	
04AUG17	B	1	PD03NOV17	6378.00	6860.59	USD	12,064.75	
04AUG17	B	3	PD03NOV17	6381.50	6860.59	USD	35,931.75	
04AUG17	B	4	PD03NOV17	6380.50	6860.59	USD	48,009.00	
04AUG17	S	2	PD03NOV17	6367.50	6860.59	USD	-24,654.50	
04AUG17	B	3	PD03NOV17	6368.00	6860.59	USD	36,944.25	
04AUG17	S	3	PD03NOV17	6373.50	6860.59	USD	-36,531.75	
04AUG17	S	1	PD03NOV17	6372.50	6860.59	USD	-12,202.25	
04AUG17	S	1	PD03NOV17	6363.50	6860.59	USD	-12,427.25	
04AUG17	S	5	PD03NOV17	6365.50	6860.59	USD	-61,886.25	
04AUG17	S	1	PD03NOV17	6362.50	6860.59	USD	-12,452.25	
04AUG17	S	1	PD03NOV17	6359.00	6860.59	USD	-12,539.75	
04AUG17	S	3	PD03NOV17	6361.00	6860.59	USD	-37,469.25	
04AUG17	S	8	PD03NOV17	6364.00	6860.59	USD	-99,318.00	
04AUG17	S	2	PD03NOV17	6366.00	6860.59	USD	-24,729.50	
04AUG17	S	3	PD03NOV17	6365.00	6860.59	USD	-37,169.25	
04AUG17	B	5	PD03NOV17	6358.00	6860.59	USD	62,823.75	
04AUG17	S	1	PD03NOV17	6336.50	6860.59	USD	-13,102.25	
04AUG17	S	2	PD03NOV17	6339.50	6860.59	USD	-26,054.50	
04AUG17	S	2	PD03NOV17	6338.50	6860.59	USD	-26,104.50	
04AUG17	B	2	PD03NOV17	6339.50	6860.59	USD	26,054.50	
04AUG17	B	1	PD03NOV17	6347.00	6860.59	USD	12,839.75	
04AUG17	B	1	PD03NOV17	6346.00	6860.59	USD	12,864.75	
04AUG17	B	1	PD03NOV17	6348.00	6860.59	USD	12,814.75	
04AUG17	S	3	PD03NOV17	6320.50	6860.59	USD	-40,506.75	
04AUG17	S	2	PD03NOV17	6321.00	6860.59	USD	-26,979.50	
04AUG17	S	3	PD03NOV17	6321.50	6860.59	USD	-40,431.75	
04AUG17	S	2	PD03NOV17	6322.00	6860.59	USD	-26,929.50	
04AUG17	S	6	PD03NOV17	6323.50	6860.59	USD	-80,563.50	
04AUG17	S	5	PD03NOV17	6324.50	6860.59	USD	-67,011.25	
04AUG17	S	7	PD03NOV17	6323.00	6860.59	USD	-94,078.25	
04AUG17	S	1	PD03NOV17	6323.50	6860.59	USD	-13,427.25	
04AUG17	S	1	PD03NOV17	6321.00	6860.59	USD	-13,489.75	
04AUG17	B	2	PD03NOV17	6364.50	6860.59	USD	24,804.50	
04AUG17	B	1	PD03NOV17	6363.00	6860.59	USD	12,439.75	
04AUG17	B	3	PD03NOV17	6363.50	6860.59	USD	37,281.75	

OPEN POSITION STATEMENT



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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
04AUG17	B	2	PD03NOV17	6362.50	6860.59	USD	24,904.50	
04AUG17	S	1	PD03NOV17	6372.00	6860.59	USD	-12,214.75	
04AUG17	S	6	PD03NOV17	6375.00	6860.59	USD	-72,838.50	
04AUG17	B	12	PD03NOV17	6384.50	6860.59	USD	142,827.00	
04AUG17	B	11	PD03NOV17	6385.00	6860.59	USD	130,787.25	
04AUG17	B	4	PD03NOV17	6383.50	6860.59	USD	47,709.00	
04AUG17	B	2	PD03NOV17	6382.50	6860.59	USD	23,904.50	
04AUG17	B	2	PD03NOV17	6382.00	6860.59	USD	23,929.50	
04AUG17	S	1	PD03NOV17	6355.00	6860.59	USD	-12,639.75	
04AUG17	S	2	PD03NOV17	6354.00	6860.59	USD	-25,329.50	
04AUG17	S	2	PD03NOV17	6361.50	6860.59	USD	-24,954.50	
04AUG17	S	1	PD03NOV17	6359.50	6860.59	USD	-12,527.25	
04AUG17	S	5	PD03NOV17	6360.00	6860.59	USD	-62,573.75	
07AUG17	B	55	PD03NOV17	6412.56	6860.59	USD	616,041.25	
10AUG17	B	40	PD03NOV17	6420.13	6860.59	USD	440,460.00	
	NET	0AVG BGHT 6382.36	SOLD 6333.17				-266,850.00	0.00
07AUG17	S	2	PD07NOV17	6344.50	6863.68	USD	-25,959.00	
07AUG17	S	10	PD07NOV17	6346.00	6863.68	USD	-129,420.00	
07AUG17	S	2	PD07NOV17	6347.00	6863.68	USD	-25,834.00	
07AUG17	S	5	PD07NOV17	6345.50	6863.68	USD	-64,772.50	
07AUG17	B	1	PD07NOV17	6358.50	6863.68	USD	12,629.50	
07AUG17	S	5	PD07NOV17	6372.00	6863.68	USD	-61,460.00	
07AUG17	S	5	PD07NOV17	6370.00	6863.68	USD	-61,710.00	
07AUG17	S	1	PD07NOV17	6370.50	6863.68	USD	-12,329.50	
07AUG17	S	1	PD07NOV17	6371.00	6863.68	USD	-12,317.00	
07AUG17	S	1	PD07NOV17	6371.50	6863.68	USD	-12,304.50	
07AUG17	S	4	PD07NOV17	6373.00	6863.68	USD	-49,068.00	
07AUG17	S	2	PD07NOV17	6368.00	6863.68	USD	-24,784.00	
07AUG17	S	3	PD07NOV17	6369.50	6863.68	USD	-37,063.50	
07AUG17	S	7	PD07NOV17	6369.00	6863.68	USD	-86,569.00	
07AUG17	S	2	PD07NOV17	6367.00	6863.68	USD	-24,834.00	
07AUG17	S	2	PD07NOV17	6366.50	6863.68	USD	-24,859.00	
07AUG17	B	1	PD07NOV17	6363.00	6863.68	USD	12,517.00	
07AUG17	B	2	PD07NOV17	6362.00	6863.68	USD	25,084.00	
07AUG17	B	4	PD07NOV17	6361.50	6863.68	USD	50,218.00	
07AUG17	B	5	PD07NOV17	6363.50	6863.68	USD	62,522.50	
07AUG17	B	15	PD07NOV17	6364.00	6863.68	USD	187,380.00	
07AUG17	B	3	PD07NOV17	6364.50	6863.68	USD	37,438.50	
07AUG17	S	1	PD07NOV17	6346.00	6863.68	USD	-12,942.00	
07AUG17	B	2	PD07NOV17	6358.50	6863.68	USD	25,259.00	
07AUG17	S	1	PD07NOV17	6398.00	6863.68	USD	-11,642.00	
07AUG17	B	1	PD07NOV17	6429.00	6863.68	USD	10,867.00	
07AUG17	B	3	PD07NOV17	6426.00	6863.68	USD	32,826.00	
07AUG17	B	6	PD07NOV17	6425.00	6863.68	USD	65,802.00	
07AUG17	B	1	PD07NOV17	6421.50	6863.68	USD	11,054.50	
07AUG17	S	1	PD07NOV17	6426.50	6863.68	USD	-10,929.50	
07AUG17	S	1	PD07NOV17	6427.50	6863.68	USD	-10,904.50	
07AUG17	S	1	PD07NOV17	6429.50	6863.68	USD	-10,854.50	
07AUG17	B	5	PD07NOV17	6420.50	6863.68	USD	55,397.50	
07AUG17	B	1	PD07NOV17	6428.00	6863.68	USD	10,892.00	
07AUG17	B	1	PD07NOV17	6427.00	6863.68	USD	10,917.00	
07AUG17	B	1	PD07NOV17	6418.00	6863.68	USD	11,142.00	
07AUG17	B	3	PD07NOV17	6419.00	6863.68	USD	33,351.00	
07AUG17	B	2	PD07NOV17	6420.00	6863.68	USD	22,184.00	
07AUG17	B	1	PD07NOV17	6423.50	6863.68	USD	11,004.50	
07AUG17	S	2	PD07NOV17	6428.50	6863.68	USD	-21,759.00	
07AUG17	S	1	PD07NOV17	6423.00	6863.68	USD	-11,017.00	

OPEN POSITION STATEMENT



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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
07AUG17	S	1	PD07NOV17	6424.00	6863.68	USD	-10,992.00	
07AUG17	S	3	PD07NOV17	6425.00	6863.68	USD	-32,901.00	
07AUG17	S	2	PD07NOV17	6427.00	6863.68	USD	-21,834.00	
07AUG17	S	5	PD07NOV17	6426.00	6863.68	USD	-54,710.00	
07AUG17	S	4	PD07NOV17	6424.50	6863.68	USD	-43,918.00	
07AUG17	S	3	PD07NOV17	6425.50	6863.68	USD	-32,863.50	
07AUG17	S	2	PD07NOV17	6414.50	6863.68	USD	-22,459.00	
07AUG17	S	2	PD07NOV17	6415.50	6863.68	USD	-22,409.00	
07AUG17	S	1	PD07NOV17	6409.00	6863.68	USD	-11,367.00	
07AUG17	S	1	PD07NOV17	6405.00	6863.68	USD	-11,467.00	
07AUG17	S	2	PD07NOV17	6404.50	6863.68	USD	-22,959.00	
07AUG17	S	2	PD07NOV17	6403.50	6863.68	USD	-23,009.00	
07AUG17	S	3	PD07NOV17	6408.00	6863.68	USD	-34,176.00	
07AUG17	S	9	PD07NOV17	6405.50	6863.68	USD	-103,090.50	
07AUG17	S	2	PD07NOV17	6406.00	6863.68	USD	-22,884.00	
07AUG17	S	4	PD07NOV17	6407.00	6863.68	USD	-45,668.00	
07AUG17	S	2	PD07NOV17	6404.00	6863.68	USD	-22,984.00	
10AUG17	B	50	PD07NOV17	6421.77	6863.68	USD	552,387.50	
	NET	0AVG BGHT 6404.10	SOLD 6388.49				-42,150.00	0.00
08AUG17	B	1	PD08NOV17	6401.00	6864.45	USD	11,586.25	
08AUG17	B	1	PD08NOV17	6400.50	6864.45	USD	11,598.75	
08AUG17	B	5	PD08NOV17	6400.00	6864.45	USD	58,056.25	
08AUG17	B	3	PD08NOV17	6399.50	6864.45	USD	34,871.25	
08AUG17	B	3	PD08NOV17	6396.00	6864.45	USD	35,133.75	
08AUG17	B	24	PD08NOV17	6397.00	6864.45	USD	280,470.00	
08AUG17	B	18	PD08NOV17	6396.50	6864.45	USD	210,577.50	
08AUG17	B	6	PD08NOV17	6398.50	6864.45	USD	69,892.50	
08AUG17	B	24	PD08NOV17	6397.50	6864.45	USD	280,170.00	
08AUG17	B	10	PD08NOV17	6395.50	6864.45	USD	117,237.50	
08AUG17	B	4	PD08NOV17	6399.00	6864.45	USD	46,545.00	
08AUG17	B	8	PD08NOV17	6398.00	6864.45	USD	93,290.00	
08AUG17	B	3	PD08NOV17	6402.00	6864.45	USD	34,683.75	
08AUG17	B	5	PD08NOV17	6401.50	6864.45	USD	57,868.75	
08AUG17	B	2	PD08NOV17	6404.00	6864.45	USD	23,022.50	
08AUG17	B	2	PD08NOV17	6408.50	6864.45	USD	22,797.50	
08AUG17	B	2	PD08NOV17	6407.00	6864.45	USD	22,872.50	
08AUG17	B	2	PD08NOV17	6402.50	6864.45	USD	23,097.50	
08AUG17	B	2	PD08NOV17	6410.00	6864.45	USD	22,722.50	
08AUG17	S	1	PD08NOV17	6407.00	6864.45	USD	-11,436.25	
08AUG17	S	1	PD08NOV17	6409.50	6864.45	USD	-11,373.75	
08AUG17	S	3	PD08NOV17	6413.00	6864.45	USD	-33,858.75	
08AUG17	S	2	PD08NOV17	6414.00	6864.45	USD	-22,522.50	
08AUG17	S	5	PD08NOV17	6407.50	6864.45	USD	-57,118.75	
08AUG17	S	2	PD08NOV17	6403.50	6864.45	USD	-23,047.50	
08AUG17	S	2	PD08NOV17	6404.50	6864.45	USD	-22,997.50	
08AUG17	S	1	PD08NOV17	6405.00	6864.45	USD	-11,486.25	
08AUG17	S	2	PD08NOV17	6406.00	6864.45	USD	-22,922.50	
08AUG17	B	3	PD08NOV17	6403.50	6864.45	USD	34,571.25	
08AUG17	B	8	PD08NOV17	6414.00	6864.45	USD	90,090.00	
08AUG17	B	1	PD08NOV17	6417.50	6864.45	USD	11,173.75	
08AUG17	B	8	PD08NOV17	6420.00	6864.45	USD	88,890.00	
08AUG17	B	1	PD08NOV17	6416.50	6864.45	USD	11,198.75	
08AUG17	B	2	PD08NOV17	6418.50	6864.45	USD	22,297.50	
08AUG17	B	6	PD08NOV17	6419.00	6864.45	USD	66,817.50	
08AUG17	B	9	PD08NOV17	6419.50	6864.45	USD	100,113.75	
08AUG17	B	1	PD08NOV17	6423.50	6864.45	USD	11,023.75	
08AUG17	B	6	PD08NOV17	6425.00	6864.45	USD	65,917.50	

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08AUG17	B	4	PD08NOV17	6426.00	6864.45	USD	43,845.00	
08AUG17	B	1	PD08NOV17	6427.00	6864.45	USD	10,936.25	
08AUG17	B	3	PD08NOV17	6432.00	6864.45	USD	32,433.75	
08AUG17	B	1	PD08NOV17	6431.00	6864.45	USD	10,836.25	
08AUG17	B	1	PD08NOV17	6433.00	6864.45	USD	10,786.25	
08AUG17	B	3	PD08NOV17	6434.00	6864.45	USD	32,283.75	
08AUG17	B	2	PD08NOV17	6434.50	6864.45	USD	21,497.50	
08AUG17	B	5	PD08NOV17	6450.00	6864.45	USD	51,806.25	
08AUG17	B	1	PD08NOV17	6449.50	6864.45	USD	10,373.75	
08AUG17	B	1	PD08NOV17	6449.00	6864.45	USD	10,386.25	
08AUG17	B	2	PD08NOV17	6448.00	6864.45	USD	20,822.50	
08AUG17	B	4	PD08NOV17	6450.50	6864.45	USD	41,395.00	
08AUG17	B	1	PD08NOV17	6453.50	6864.45	USD	10,273.75	
08AUG17	B	1	PD08NOV17	6459.50	6864.45	USD	10,123.75	
08AUG17	B	4	PD08NOV17	6460.00	6864.45	USD	40,445.00	
08AUG17	B	1	PD08NOV17	6464.50	6864.45	USD	9,998.75	
08AUG17	B	1	PD08NOV17	6462.50	6864.45	USD	10,048.75	
08AUG17	B	2	PD08NOV17	6462.00	6864.45	USD	20,122.50	
08AUG17	B	1	PD08NOV17	6461.00	6864.45	USD	10,086.25	
08AUG17	B	1	PD08NOV17	6467.00	6864.45	USD	9,936.25	
08AUG17	B	1	PD08NOV17	6468.00	6864.45	USD	9,911.25	
08AUG17	B	1	PD08NOV17	6473.50	6864.45	USD	9,773.75	
08AUG17	B	1	PD08NOV17	6474.50	6864.45	USD	9,748.75	
08AUG17	B	1	PD08NOV17	6479.50	6864.45	USD	9,623.75	
08AUG17	B	1	PD08NOV17	6481.00	6864.45	USD	9,586.25	
08AUG17	B	1	PD08NOV17	6483.00	6864.45	USD	9,536.25	
08AUG17	B	2	PD08NOV17	6479.00	6864.45	USD	19,272.50	
08AUG17	B	1	PD08NOV17	6482.50	6864.45	USD	9,548.75	
08AUG17	B	11	PD08NOV17	6483.50	6864.45	USD	104,761.25	
08AUG17	B	1	PD08NOV17	6482.00	6864.45	USD	9,561.25	
08AUG17	S	9	PD08NOV17	6479.00	6864.45	USD	-86,726.25	
08AUG17	S	6	PD08NOV17	6479.50	6864.45	USD	-57,742.50	
08AUG17	S	1	PD08NOV17	6482.00	6864.45	USD	-9,561.25	
08AUG17	S	1	PD08NOV17	6481.00	6864.45	USD	-9,586.25	
10AUG17	S	90	PD08NOV17	6421.98	6864.45	USD	-995,557.50	
10AUG17	S	40	PD08NOV17	6422.18	6864.45	USD	-442,270.00	
14AUG17	S	60	PD08NOV17	6394.87	6864.45	USD	-704,370.00	
21AUG17	S	5	PD08NOV17	6584.00	6864.45	USD	-35,056.25	
	NET	0AVG BGHT 6417.98	SOLD 6421.57				20,715.00	0.00
09AUG17	S	2	PD09NOV17	6498.00	6865.23	USD	-18,361.50	
09AUG17	S	1	PD09NOV17	6493.50	6865.23	USD	-9,293.25	
09AUG17	S	1	PD09NOV17	6490.50	6865.23	USD	-9,368.25	
09AUG17	B	1	PD09NOV17	6480.50	6865.23	USD	9,618.25	
09AUG17	B	3	PD09NOV17	6479.50	6865.23	USD	28,929.75	
09AUG17	B	2	PD09NOV17	6479.00	6865.23	USD	19,311.50	
09AUG17	B	3	PD09NOV17	6480.00	6865.23	USD	28,892.25	
09AUG17	B	3	PD09NOV17	6475.50	6865.23	USD	29,229.75	
09AUG17	B	1	PD09NOV17	6478.00	6865.23	USD	9,680.75	
09AUG17	B	2	PD09NOV17	6486.00	6865.23	USD	18,961.50	
09AUG17	S	1	PD09NOV17	6502.00	6865.23	USD	-9,080.75	
09AUG17	S	2	PD09NOV17	6500.50	6865.23	USD	-18,236.50	
09AUG17	S	1	PD09NOV17	6499.00	6865.23	USD	-9,155.75	
09AUG17	S	4	PD09NOV17	6500.00	6865.23	USD	-36,523.00	
09AUG17	S	2	PD09NOV17	6498.50	6865.23	USD	-18,336.50	
09AUG17	S	4	PD09NOV17	6497.50	6865.23	USD	-36,773.00	
09AUG17	B	1	PD09NOV17	6497.00	6865.23	USD	9,205.75	
09AUG17	B	2	PD09NOV17	6495.50	6865.23	USD	18,486.50	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0097K

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
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+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
09AUG17	B	4	PD09NOV17	6497.50	6865.23	USD	36,773.00	
09AUG17	B	1	PD09NOV17	6495.00	6865.23	USD	9,255.75	
09AUG17	B	7	PD09NOV17	6496.50	6865.23	USD	64,527.75	
09AUG17	B	1	PD09NOV17	6498.50	6865.23	USD	9,168.25	
09AUG17	B	1	PD09NOV17	6498.00	6865.23	USD	9,180.75	
09AUG17	B	1	PD09NOV17	6494.50	6865.23	USD	9,268.25	
09AUG17	B	1	PD09NOV17	6496.00	6865.23	USD	9,230.75	
09AUG17	S	1	PD09NOV17	6493.50	6865.23	USD	-9,293.25	
09AUG17	S	1	PD09NOV17	6482.00	6865.23	USD	-9,580.75	
09AUG17	B	1	PD09NOV17	6491.50	6865.23	USD	9,343.25	
09AUG17	B	6	PD09NOV17	6494.00	6865.23	USD	55,684.50	
09AUG17	B	5	PD09NOV17	6492.50	6865.23	USD	46,591.25	
09AUG17	B	4	PD09NOV17	6489.50	6865.23	USD	37,573.00	
09AUG17	B	1	PD09NOV17	6493.00	6865.23	USD	9,305.75	
09AUG17	S	4	PD09NOV17	6488.00	6865.23	USD	-37,723.00	
09AUG17	S	3	PD09NOV17	6487.00	6865.23	USD	-28,367.25	
09AUG17	S	1	PD09NOV17	6491.00	6865.23	USD	-9,355.75	
09AUG17	S	6	PD09NOV17	6492.00	6865.23	USD	-55,984.50	
09AUG17	S	1	PD09NOV17	6497.00	6865.23	USD	-9,205.75	
09AUG17	S	2	PD09NOV17	6479.00	6865.23	USD	-19,311.50	
09AUG17	B	1	PD09NOV17	6477.50	6865.23	USD	9,693.25	
09AUG17	B	2	PD09NOV17	6476.50	6865.23	USD	19,436.50	
09AUG17	B	1	PD09NOV17	6481.50	6865.23	USD	9,593.25	
09AUG17	S	2	PD09NOV17	6486.50	6865.23	USD	-18,936.50	
09AUG17	S	1	PD09NOV17	6485.50	6865.23	USD	-9,493.25	
09AUG17	S	12	PD09NOV17	6484.00	6865.23	USD	-114,369.00	
09AUG17	S	5	PD09NOV17	6481.50	6865.23	USD	-47,966.25	
09AUG17	S	3	PD09NOV17	6486.00	6865.23	USD	-28,442.25	
09AUG17	S	6	PD09NOV17	6485.00	6865.23	USD	-57,034.50	
09AUG17	S	7	PD09NOV17	6484.50	6865.23	USD	-66,627.75	
09AUG17	S	7	PD09NOV17	6483.50	6865.23	USD	-66,802.75	
09AUG17	S	4	PD09NOV17	6480.50	6865.23	USD	-38,473.00	
09AUG17	S	5	PD09NOV17	6483.00	6865.23	USD	-47,778.75	
09AUG17	S	9	PD09NOV17	6482.50	6865.23	USD	-86,114.25	
09AUG17	S	5	PD09NOV17	6481.00	6865.23	USD	-48,028.75	
09AUG17	S	10	PD09NOV17	6482.00	6865.23	USD	-95,807.50	
09AUG17	S	1	PD09NOV17	6487.00	6865.23	USD	-9,455.75	
09AUG17	S	1	PD09NOV17	6481.00	6865.23	USD	-9,605.75	
09AUG17	B	2	PD09NOV17	6452.50	6865.23	USD	20,636.50	
09AUG17	B	2	PD09NOV17	6451.00	6865.23	USD	20,711.50	
09AUG17	B	6	PD09NOV17	6452.00	6865.23	USD	61,984.50	
09AUG17	B	3	PD09NOV17	6453.00	6865.23	USD	30,917.25	
09AUG17	B	1	PD09NOV17	6455.00	6865.23	USD	10,255.75	
09AUG17	B	7	PD09NOV17	6454.50	6865.23	USD	71,877.75	
09AUG17	S	1	PD09NOV17	6452.50	6865.23	USD	-10,318.25	
10AUG17	B	40	PD09NOV17	6422.79	6865.23	USD	442,440.00	
	NET	0 AVG BGHT 6459.79	SOLD 6486.19				76,560.00	0.00
10AUG17	S	1	PD10NOV17	6438.50	6866.00	USD	-10,687.50	
10AUG17	S	1	PD10NOV17	6439.50	6866.00	USD	-10,662.50	
10AUG17	S	1	PD10NOV17	6437.00	6866.00	USD	-10,725.00	
10AUG17	S	1	PD10NOV17	6440.50	6866.00	USD	-10,637.50	
10AUG17	S	1	PD10NOV17	6440.00	6866.00	USD	-10,650.00	
10AUG17	S	6	PD10NOV17	6451.00	6866.00	USD	-62,250.00	
10AUG17	S	4	PD10NOV17	6450.00	6866.00	USD	-41,600.00	
10AUG17	S	4	PD10NOV17	6455.50	6866.00	USD	-41,050.00	
10AUG17	S	1	PD10NOV17	6450.00	6866.00	USD	-10,400.00	
10AUG17	B	6	PD10NOV17	6423.00	6866.00	USD	66,450.00	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
10AUG17	B	2	PD10NOV17	6425.50	6866.00	USD	22,025.00	
10AUG17	B	2	PD10NOV17	6425.00	6866.00	USD	22,050.00	
10AUG17	B	2	PD10NOV17	6426.50	6866.00	USD	21,975.00	
10AUG17	B	2	PD10NOV17	6427.50	6866.00	USD	21,925.00	
10AUG17	S	2	PD10NOV17	6430.50	6866.00	USD	-21,775.00	
10AUG17	S	2	PD10NOV17	6432.00	6866.00	USD	-21,700.00	
10AUG17	S	1	PD10NOV17	6429.50	6866.00	USD	-10,912.50	
10AUG17	S	1	PD10NOV17	6422.00	6866.00	USD	-11,100.00	
10AUG17	S	1	PD10NOV17	6416.50	6866.00	USD	-11,237.50	
10AUG17	S	1	PD10NOV17	6417.50	6866.00	USD	-11,212.50	
10AUG17	S	1	PD10NOV17	6418.50	6866.00	USD	-11,187.50	
10AUG17	S	1	PD10NOV17	6419.50	6866.00	USD	-11,162.50	
10AUG17	S	1	PD10NOV17	6406.50	6866.00	USD	-11,487.50	
10AUG17	S	1	PD10NOV17	6399.00	6866.00	USD	-11,675.00	
10AUG17	S	1	PD10NOV17	6399.50	6866.00	USD	-11,662.50	
10AUG17	S	2	PD10NOV17	6400.00	6866.00	USD	-23,300.00	
10AUG17	S	2	PD10NOV17	6402.00	6866.00	USD	-23,200.00	
10AUG17	S	1	PD10NOV17	6401.50	6866.00	USD	-11,612.50	
10AUG17	S	4	PD10NOV17	6402.50	6866.00	USD	-46,350.00	
10AUG17	S	2	PD10NOV17	6396.50	6866.00	USD	-23,475.00	
10AUG17	S	3	PD10NOV17	6397.50	6866.00	USD	-35,137.50	
10AUG17	S	1	PD10NOV17	6395.00	6866.00	USD	-11,775.00	
10AUG17	S	2	PD10NOV17	6394.50	6866.00	USD	-23,575.00	
10AUG17	S	4	PD10NOV17	6393.50	6866.00	USD	-47,250.00	
10AUG17	S	2	PD10NOV17	6408.00	6866.00	USD	-22,900.00	
10AUG17	S	1	PD10NOV17	6412.50	6866.00	USD	-11,337.50	
10AUG17	S	5	PD10NOV17	6395.50	6866.00	USD	-58,812.50	
10AUG17	B	2	PD10NOV17	6404.50	6866.00	USD	23,075.00	
10AUG17	B	9	PD10NOV17	6409.00	6866.00	USD	102,825.00	
10AUG17	B	4	PD10NOV17	6408.00	6866.00	USD	45,800.00	
10AUG17	B	2	PD10NOV17	6406.00	6866.00	USD	23,000.00	
10AUG17	B	1	PD10NOV17	6401.00	6866.00	USD	11,625.00	
10AUG17	B	3	PD10NOV17	6400.00	6866.00	USD	34,950.00	
10AUG17	B	1	PD10NOV17	6402.50	6866.00	USD	11,587.50	
10AUG17	B	1	PD10NOV17	6405.50	6866.00	USD	11,512.50	
10AUG17	S	5	PD10NOV17	6404.00	6866.00	USD	-57,750.00	
10AUG17	B	6	PD10NOV17	6403.50	6866.00	USD	69,375.00	
10AUG17	S	8	PD10NOV17	6403.50	6866.00	USD	-92,500.00	
10AUG17	S	5	PD10NOV17	6403.00	6866.00	USD	-57,875.00	
10AUG17	B	5	PD10NOV17	6404.00	6866.00	USD	57,750.00	
10AUG17	B	9	PD10NOV17	6402.00	6866.00	USD	104,400.00	
10AUG17	B	1	PD10NOV17	6400.50	6866.00	USD	11,637.50	
10AUG17	S	3	PD10NOV17	6401.00	6866.00	USD	-34,875.00	
11AUG17	S	3	PD10NOV17	6354.00	6866.00	USD	-38,400.00	
11AUG17	S	2	PD10NOV17	6352.50	6866.00	USD	-25,675.00	
11AUG17	S	5	PD10NOV17	6350.50	6866.00	USD	-64,437.50	
11AUG17	S	3	PD10NOV17	6346.50	6866.00	USD	-38,962.50	
11AUG17	S	2	PD10NOV17	6345.50	6866.00	USD	-26,025.00	
11AUG17	S	3	PD10NOV17	6346.00	6866.00	USD	-39,000.00	
11AUG17	S	1	PD10NOV17	6345.00	6866.00	USD	-13,025.00	
11AUG17	S	1	PD10NOV17	6340.50	6866.00	USD	-13,137.50	
11AUG17	S	3	PD10NOV17	6341.00	6866.00	USD	-39,375.00	
11AUG17	S	1	PD10NOV17	6342.00	6866.00	USD	-13,100.00	
11AUG17	S	3	PD10NOV17	6364.00	6866.00	USD	-37,650.00	
11AUG17	B	1	PD10NOV17	6378.00	6866.00	USD	12,200.00	
11AUG17	B	2	PD10NOV17	6380.00	6866.00	USD	24,300.00	
11AUG17	B	3	PD10NOV17	6379.50	6866.00	USD	36,487.50	

OPEN POSITION STATEMENT



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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
11AUG17	S	1	PD10NOV17	6372.50	6866.00	USD	-12,337.50	
11AUG17	S	1	PD10NOV17	6371.00	6866.00	USD	-12,375.00	
11AUG17	S	4	PD10NOV17	6370.00	6866.00	USD	-49,600.00	
11AUG17	S	2	PD10NOV17	6366.50	6866.00	USD	-24,975.00	
11AUG17	S	2	PD10NOV17	6366.00	6866.00	USD	-25,000.00	
11AUG17	S	3	PD10NOV17	6365.00	6866.00	USD	-37,575.00	
11AUG17	S	2	PD10NOV17	6362.50	6866.00	USD	-25,175.00	
11AUG17	B	5	PD10NOV17	6362.50	6866.00	USD	62,937.50	
11AUG17	B	1	PD10NOV17	6358.00	6866.00	USD	12,700.00	
11AUG17	B	4	PD10NOV17	6354.00	6866.00	USD	51,200.00	
11AUG17	B	3	PD10NOV17	6352.00	6866.00	USD	38,550.00	
11AUG17	B	1	PD10NOV17	6350.50	6866.00	USD	12,887.50	
11AUG17	S	1	PD10NOV17	6345.00	6866.00	USD	-13,025.00	
11AUG17	S	2	PD10NOV17	6370.00	6866.00	USD	-24,800.00	
11AUG17	S	2	PD10NOV17	6384.50	6866.00	USD	-24,075.00	
11AUG17	S	5	PD10NOV17	6385.00	6866.00	USD	-60,125.00	
11AUG17	S	3	PD10NOV17	6387.00	6866.00	USD	-35,925.00	
11AUG17	S	1	PD10NOV17	6388.00	6866.00	USD	-11,950.00	
11AUG17	S	2	PD10NOV17	6389.00	6866.00	USD	-23,850.00	
11AUG17	S	2	PD10NOV17	6392.50	6866.00	USD	-23,675.00	
11AUG17	B	7	PD10NOV17	6397.50	6866.00	USD	81,987.50	
11AUG17	B	2	PD10NOV17	6395.00	6866.00	USD	23,550.00	
11AUG17	B	3	PD10NOV17	6393.50	6866.00	USD	35,437.50	
11AUG17	B	5	PD10NOV17	6397.00	6866.00	USD	58,625.00	
11AUG17	B	5	PD10NOV17	6396.50	6866.00	USD	58,687.50	
11AUG17	B	1	PD10NOV17	6413.50	6866.00	USD	11,312.50	
11AUG17	B	1	PD10NOV17	6410.00	6866.00	USD	11,400.00	
11AUG17	B	1	PD10NOV17	6406.50	6866.00	USD	11,487.50	
11AUG17	B	3	PD10NOV17	6404.00	6866.00	USD	34,650.00	
11AUG17	B	6	PD10NOV17	6403.50	6866.00	USD	69,375.00	
11AUG17	B	1	PD10NOV17	6404.50	6866.00	USD	11,537.50	
11AUG17	B	2	PD10NOV17	6399.50	6866.00	USD	23,325.00	
11AUG17	B	2	PD10NOV17	6398.50	6866.00	USD	23,375.00	
11AUG17	S	4	PD10NOV17	6406.50	6866.00	USD	-45,950.00	
11AUG17	S	2	PD10NOV17	6420.00	6866.00	USD	-22,300.00	
11AUG17	S	4	PD10NOV17	6412.00	6866.00	USD	-45,400.00	
11AUG17	S	1	PD10NOV17	6427.50	6866.00	USD	-10,962.50	
11AUG17	S	2	PD10NOV17	6430.00	6866.00	USD	-21,800.00	
11AUG17	S	2	PD10NOV17	6430.50	6866.00	USD	-21,775.00	
11AUG17	S	8	PD10NOV17	6419.00	6866.00	USD	-89,400.00	
11AUG17	S	5	PD10NOV17	6414.50	6866.00	USD	-56,437.50	
11AUG17	S	12	PD10NOV17	6415.50	6866.00	USD	-135,150.00	
11AUG17	S	8	PD10NOV17	6416.50	6866.00	USD	-89,900.00	
11AUG17	S	3	PD10NOV17	6416.00	6866.00	USD	-33,750.00	
11AUG17	S	1	PD10NOV17	6413.00	6866.00	USD	-11,325.00	
11AUG17	S	6	PD10NOV17	6414.00	6866.00	USD	-67,800.00	
11AUG17	B	2	PD10NOV17	6394.50	6866.00	USD	23,575.00	
11AUG17	B	1	PD10NOV17	6396.50	6866.00	USD	11,737.50	
11AUG17	B	1	PD10NOV17	6413.00	6866.00	USD	11,325.00	
11AUG17	B	1	PD10NOV17	6414.00	6866.00	USD	11,300.00	
11AUG17	S	1	PD10NOV17	6412.00	6866.00	USD	-11,350.00	
11AUG17	B	5	PD10NOV17	6414.00	6866.00	USD	56,500.00	
11AUG17	B	9	PD10NOV17	6415.00	6866.00	USD	101,475.00	
11AUG17	B	1	PD10NOV17	6421.00	6866.00	USD	11,125.00	
11AUG17	B	2	PD10NOV17	6423.00	6866.00	USD	22,150.00	
11AUG17	B	3	PD10NOV17	6422.00	6866.00	USD	33,300.00	
14AUG17	B	60	PD10NOV17	6395.78	6866.00	USD	705,330.00	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>								
	NET	0 AVG	BGHT	6399.51				3,742.50	0.00
				SOLD 6400.25					
14AUG17	S	1	PD14NOV17		6416.00	6864.67	USD	-11,216.75	
14AUG17	S	1	PD14NOV17		6415.00	6864.67	USD	-11,241.75	
14AUG17	S	1	PD14NOV17		6411.50	6864.67	USD	-11,329.25	
14AUG17	S	5	PD14NOV17		6414.50	6864.67	USD	-56,271.25	
14AUG17	S	6	PD14NOV17		6410.00	6864.67	USD	-68,200.50	
14AUG17	S	3	PD14NOV17		6415.50	6864.67	USD	-33,687.75	
14AUG17	S	2	PD14NOV17		6413.50	6864.67	USD	-22,558.50	
14AUG17	S	6	PD14NOV17		6412.50	6864.67	USD	-67,825.50	
14AUG17	S	5	PD14NOV17		6397.00	6864.67	USD	-58,458.75	
14AUG17	S	3	PD14NOV17		6405.00	6864.67	USD	-34,475.25	
14AUG17	S	3	PD14NOV17		6399.50	6864.67	USD	-34,887.75	
14AUG17	B	1	PD14NOV17		6383.50	6864.67	USD	12,029.25	
14AUG17	B	1	PD14NOV17		6409.50	6864.67	USD	11,379.25	
14AUG17	B	1	PD14NOV17		6415.00	6864.67	USD	11,241.75	
14AUG17	B	1	PD14NOV17		6416.50	6864.67	USD	11,204.25	
14AUG17	S	3	PD14NOV17		6400.00	6864.67	USD	-34,850.25	
14AUG17	S	11	PD14NOV17		6398.00	6864.67	USD	-128,334.25	
14AUG17	S	4	PD14NOV17		6399.00	6864.67	USD	-46,567.00	
14AUG17	S	2	PD14NOV17		6396.50	6864.67	USD	-23,408.50	
14AUG17	S	5	PD14NOV17		6395.00	6864.67	USD	-58,708.75	
14AUG17	B	3	PD14NOV17		6399.00	6864.67	USD	34,925.25	
14AUG17	B	4	PD14NOV17		6396.00	6864.67	USD	46,867.00	
14AUG17	B	5	PD14NOV17		6397.00	6864.67	USD	58,458.75	
14AUG17	B	2	PD14NOV17		6406.00	6864.67	USD	22,933.50	
14AUG17	B	8	PD14NOV17		6405.00	6864.67	USD	91,934.00	
14AUG17	B	7	PD14NOV17		6404.50	6864.67	USD	80,529.75	
14AUG17	B	1	PD14NOV17		6403.50	6864.67	USD	11,529.25	
14AUG17	B	4	PD14NOV17		6402.50	6864.67	USD	46,217.00	
14AUG17	B	2	PD14NOV17		6403.00	6864.67	USD	23,083.50	
14AUG17	B	1	PD14NOV17		6400.00	6864.67	USD	11,616.75	
14AUG17	B	5	PD14NOV17		6399.50	6864.67	USD	58,146.25	
14AUG17	B	3	PD14NOV17		6402.00	6864.67	USD	34,700.25	
14AUG17	B	6	PD14NOV17		6404.00	6864.67	USD	69,100.50	
14AUG17	B	1	PD14NOV17		6399.50	6864.67	USD	11,629.25	
21AUG17	B	5	PD14NOV17		6586.70	6864.67	USD	34,746.25	
	NET	0 AVG	BGHT	6417.28				-19,750.00	0.00
				SOLD 6404.33					
15AUG17	B	1	PD15NOV17		6419.50	6864.00	USD	11,112.50	
15AUG17	S	2	PD15NOV17		6419.00	6864.00	USD	-22,250.00	
15AUG17	S	3	PD15NOV17		6415.00	6864.00	USD	-33,675.00	
15AUG17	S	7	PD15NOV17		6416.00	6864.00	USD	-78,400.00	
15AUG17	S	2	PD15NOV17		6418.50	6864.00	USD	-22,275.00	
15AUG17	S	2	PD15NOV17		6419.50	6864.00	USD	-22,225.00	
15AUG17	S	2	PD15NOV17		6418.00	6864.00	USD	-22,300.00	
15AUG17	S	4	PD15NOV17		6416.50	6864.00	USD	-44,750.00	
15AUG17	S	9	PD15NOV17		6417.50	6864.00	USD	-100,462.50	
15AUG17	S	1	PD15NOV17		6420.50	6864.00	USD	-11,087.50	
15AUG17	B	1	PD15NOV17		6413.00	6864.00	USD	11,275.00	
15AUG17	S	1	PD15NOV17		6409.50	6864.00	USD	-11,362.50	
15AUG17	S	5	PD15NOV17		6422.00	6864.00	USD	-55,250.00	
15AUG17	S	6	PD15NOV17		6380.50	6864.00	USD	-72,525.00	
15AUG17	S	7	PD15NOV17		6379.00	6864.00	USD	-84,875.00	
15AUG17	S	1	PD15NOV17		6374.50	6864.00	USD	-12,237.50	
15AUG17	S	1	PD15NOV17		6377.50	6864.00	USD	-12,162.50	
15AUG17	S	1	PD15NOV17		6381.00	6864.00	USD	-12,075.00	
15AUG17	S	1	PD15NOV17		6380.00	6864.00	USD	-12,100.00	
15AUG17	S	1	PD15NOV17		6381.50	6864.00	USD	-12,062.50	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0097K

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
15AUG17	S	2	PD15NOV17	6382.50	6864.00	USD	-24,075.00	
15AUG17	S	1	PD15NOV17	6378.50	6864.00	USD	-12,137.50	
15AUG17	S	1	PD15NOV17	6379.50	6864.00	USD	-12,112.50	
15AUG17	S	7	PD15NOV17	6378.00	6864.00	USD	-85,050.00	
15AUG17	B	1	PD15NOV17	6365.50	6864.00	USD	12,462.50	
15AUG17	B	7	PD15NOV17	6367.50	6864.00	USD	86,887.50	
15AUG17	B	2	PD15NOV17	6369.00	6864.00	USD	24,750.00	
15AUG17	B	1	PD15NOV17	6373.00	6864.00	USD	12,275.00	
15AUG17	B	2	PD15NOV17	6376.50	6864.00	USD	24,375.00	
15AUG17	B	2	PD15NOV17	6375.50	6864.00	USD	24,425.00	
15AUG17	S	1	PD15NOV17	6377.00	6864.00	USD	-12,175.00	
15AUG17	S	1	PD15NOV17	6376.00	6864.00	USD	-12,200.00	
15AUG17	S	5	PD15NOV17	6377.00	6864.00	USD	-60,875.00	
15AUG17	S	3	PD15NOV17	6376.50	6864.00	USD	-36,562.50	
15AUG17	S	4	PD15NOV17	6371.50	6864.00	USD	-49,250.00	
15AUG17	S	1	PD15NOV17	6370.50	6864.00	USD	-12,337.50	
15AUG17	S	1	PD15NOV17	6368.00	6864.00	USD	-12,400.00	
15AUG17	S	1	PD15NOV17	6365.00	6864.00	USD	-12,475.00	
15AUG17	S	1	PD15NOV17	6363.50	6864.00	USD	-12,512.50	
15AUG17	B	8	PD15NOV17	6366.50	6864.00	USD	99,500.00	
30AUG17	B	60	PD15NOV17	6767.50	6864.00	USD	144,750.00	
	NET	0AVG	BGHT 6651.38	SOLD 6395.18			-544,425.00	0.00
16AUG17	S	1	PD16NOV17	6390.00	6863.00	USD	-11,825.00	
16AUG17	S	1	PD16NOV17	6391.50	6863.00	USD	-11,787.50	
16AUG17	S	3	PD16NOV17	6389.00	6863.00	USD	-35,550.00	
16AUG17	S	1	PD16NOV17	6388.50	6863.00	USD	-11,862.50	
16AUG17	S	3	PD16NOV17	6386.00	6863.00	USD	-35,775.00	
16AUG17	S	1	PD16NOV17	6386.50	6863.00	USD	-11,912.50	
16AUG17	S	2	PD16NOV17	6387.50	6863.00	USD	-23,775.00	
16AUG17	S	1	PD16NOV17	6382.50	6863.00	USD	-12,012.50	
16AUG17	S	1	PD16NOV17	6382.00	6863.00	USD	-12,025.00	
16AUG17	S	1	PD16NOV17	6376.50	6863.00	USD	-12,162.50	
16AUG17	S	4	PD16NOV17	6379.50	6863.00	USD	-48,350.00	
16AUG17	S	1	PD16NOV17	6378.50	6863.00	USD	-12,112.50	
16AUG17	B	2	PD16NOV17	6434.00	6863.00	USD	21,450.00	
16AUG17	B	2	PD16NOV17	6438.00	6863.00	USD	21,250.00	
16AUG17	B	9	PD16NOV17	6438.50	6863.00	USD	95,512.50	
16AUG17	B	2	PD16NOV17	6440.00	6863.00	USD	21,150.00	
16AUG17	B	3	PD16NOV17	6437.00	6863.00	USD	31,950.00	
16AUG17	B	1	PD16NOV17	6466.50	6863.00	USD	9,912.50	
16AUG17	B	1	PD16NOV17	6467.00	6863.00	USD	9,900.00	
16AUG17	B	3	PD16NOV17	6472.50	6863.00	USD	29,287.50	
16AUG17	B	1	PD16NOV17	6438.50	6863.00	USD	10,612.50	
16AUG17	B	1	PD16NOV17	6438.00	6863.00	USD	10,625.00	
16AUG17	B	1	PD16NOV17	6444.50	6863.00	USD	10,462.50	
16AUG17	B	1	PD16NOV17	6441.50	6863.00	USD	10,537.50	
16AUG17	B	1	PD16NOV17	6477.50	6863.00	USD	9,637.50	
16AUG17	B	2	PD16NOV17	6479.00	6863.00	USD	19,200.00	
16AUG17	B	1	PD16NOV17	6481.50	6863.00	USD	9,537.50	
16AUG17	B	2	PD16NOV17	6485.00	6863.00	USD	18,900.00	
16AUG17	B	6	PD16NOV17	6484.50	6863.00	USD	56,775.00	
16AUG17	B	6	PD16NOV17	6483.50	6863.00	USD	56,925.00	
16AUG17	B	5	PD16NOV17	6490.00	6863.00	USD	46,625.00	
16AUG17	B	1	PD16NOV17	6488.50	6863.00	USD	9,362.50	
16AUG17	B	2	PD16NOV17	6497.50	6863.00	USD	18,275.00	
16AUG17	B	2	PD16NOV17	6497.00	6863.00	USD	18,300.00	
16AUG17	B	1	PD16NOV17	6493.00	6863.00	USD	9,250.00	

OPEN POSITION STATEMENT



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SAP0097K

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
16AUG17	B	2	PD16NOV17	6492.00	6863.00	USD	18,550.00	
16AUG17	B	2	PD16NOV17	6491.00	6863.00	USD	18,600.00	
16AUG17	B	7	PD16NOV17	6494.00	6863.00	USD	64,575.00	
16AUG17	B	3	PD16NOV17	6490.50	6863.00	USD	27,937.50	
16AUG17	B	2	PD16NOV17	6496.00	6863.00	USD	18,350.00	
16AUG17	B	2	PD16NOV17	6492.50	6863.00	USD	18,525.00	
16AUG17	B	1	PD16NOV17	6486.00	6863.00	USD	9,425.00	
16AUG17	B	2	PD16NOV17	6485.50	6863.00	USD	18,875.00	
16AUG17	B	2	PD16NOV17	6486.50	6863.00	USD	18,825.00	
16AUG17	B	4	PD16NOV17	6487.00	6863.00	USD	37,600.00	
16AUG17	B	2	PD16NOV17	6487.50	6863.00	USD	18,775.00	
16AUG17	B	6	PD16NOV17	6489.00	6863.00	USD	56,100.00	
16AUG17	B	1	PD16NOV17	6488.00	6863.00	USD	9,375.00	
16AUG17	B	3	PD16NOV17	6493.50	6863.00	USD	27,712.50	
16AUG17	S	1	PD16NOV17	6486.00	6863.00	USD	-9,425.00	
16AUG17	S	3	PD16NOV17	6484.50	6863.00	USD	-28,387.50	
16AUG17	S	1	PD16NOV17	6488.00	6863.00	USD	-9,375.00	
16AUG17	S	1	PD16NOV17	6490.00	6863.00	USD	-9,325.00	
16AUG17	S	5	PD16NOV17	6487.50	6863.00	USD	-46,937.50	
16AUG17	S	4	PD16NOV17	6489.00	6863.00	USD	-37,400.00	
16AUG17	B	4	PD16NOV17	6513.00	6863.00	USD	35,000.00	
16AUG17	B	2	PD16NOV17	6512.00	6863.00	USD	17,550.00	
16AUG17	B	3	PD16NOV17	6514.00	6863.00	USD	26,175.00	
16AUG17	B	1	PD16NOV17	6515.00	6863.00	USD	8,700.00	
16AUG17	B	1	PD16NOV17	6513.50	6863.00	USD	8,737.50	
16AUG17	B	2	PD16NOV17	6514.50	6863.00	USD	17,425.00	
16AUG17	B	2	PD16NOV17	6515.50	6863.00	USD	17,375.00	
16AUG17	B	6	PD16NOV17	6530.00	6863.00	USD	49,950.00	
16AUG17	B	1	PD16NOV17	6521.00	6863.00	USD	8,550.00	
16AUG17	B	5	PD16NOV17	6527.50	6863.00	USD	41,937.50	
16AUG17	B	4	PD16NOV17	6526.50	6863.00	USD	33,650.00	
16AUG17	B	2	PD16NOV17	6528.00	6863.00	USD	16,750.00	
16AUG17	B	1	PD16NOV17	6530.50	6863.00	USD	8,312.50	
16AUG17	B	1	PD16NOV17	6531.50	6863.00	USD	8,287.50	
16AUG17	S	5	PD16NOV17	6575.00	6863.00	USD	-36,000.00	
16AUG17	S	3	PD16NOV17	6574.00	6863.00	USD	-21,675.00	
16AUG17	S	12	PD16NOV17	6573.00	6863.00	USD	-87,000.00	
16AUG17	B	5	PD16NOV17	6556.00	6863.00	USD	38,375.00	
16AUG17	B	1	PD16NOV17	6553.00	6863.00	USD	7,750.00	
16AUG17	B	3	PD16NOV17	6551.00	6863.00	USD	23,400.00	
16AUG17	B	2	PD16NOV17	6542.00	6863.00	USD	16,050.00	
16AUG17	B	1	PD16NOV17	6537.00	6863.00	USD	8,150.00	
16AUG17	B	2	PD16NOV17	6535.00	6863.00	USD	16,400.00	
16AUG17	B	1	PD16NOV17	6536.50	6863.00	USD	8,162.50	
16AUG17	B	4	PD16NOV17	6537.50	6863.00	USD	32,550.00	
16AUG17	S	4	PD16NOV17	6535.50	6863.00	USD	-32,750.00	
16AUG17	S	6	PD16NOV17	6536.50	6863.00	USD	-48,975.00	
16AUG17	S	4	PD16NOV17	6530.00	6863.00	USD	-33,300.00	
16AUG17	S	5	PD16NOV17	6531.00	6863.00	USD	-41,500.00	
16AUG17	S	2	PD16NOV17	6534.00	6863.00	USD	-16,450.00	
16AUG17	S	2	PD16NOV17	6533.00	6863.00	USD	-16,500.00	
16AUG17	S	2	PD16NOV17	6527.50	6863.00	USD	-16,775.00	
16AUG17	B	6	PD16NOV17	6526.00	6863.00	USD	50,550.00	
16AUG17	B	2	PD16NOV17	6531.00	6863.00	USD	16,600.00	
16AUG17	B	1	PD16NOV17	6529.50	6863.00	USD	8,337.50	
16AUG17	B	3	PD16NOV17	6528.50	6863.00	USD	25,087.50	
16AUG17	B	9	PD16NOV17	6529.00	6863.00	USD	75,150.00	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
16AUG17	B	1	PD16NOV17	6531.50	6863.00	USD	8,287.50	
16AUG17	S	1	PD16NOV17	6529.00	6863.00	USD	-8,350.00	
21AUG17	S	80	PD16NOV17	6584.50	6863.00	USD	-557,000.00	
31AUG17	S	10	PD16NOV17	6784.50	6863.00	USD	-19,625.00	
	NET	0AVG	BGHT 6499.98	SOLD 6555.19			236,012.50	0.00
17AUG17	B	1	PD17NOV17	6558.50	6863.47	USD	7,624.25	
17AUG17	B	3	PD17NOV17	6559.00	6863.47	USD	22,835.25	
17AUG17	B	2	PD17NOV17	6562.00	6863.47	USD	15,073.50	
17AUG17	B	4	PD17NOV17	6559.50	6863.47	USD	30,397.00	
17AUG17	B	1	PD17NOV17	6532.50	6863.47	USD	8,274.25	
17AUG17	B	2	PD17NOV17	6531.50	6863.47	USD	16,598.50	
17AUG17	B	1	PD17NOV17	6529.50	6863.47	USD	8,349.25	
17AUG17	B	2	PD17NOV17	6538.50	6863.47	USD	16,248.50	
17AUG17	B	1	PD17NOV17	6535.50	6863.47	USD	8,199.25	
17AUG17	B	2	PD17NOV17	6534.00	6863.47	USD	16,473.50	
17AUG17	B	1	PD17NOV17	6533.00	6863.47	USD	8,261.75	
17AUG17	B	1	PD17NOV17	6542.00	6863.47	USD	8,036.75	
17AUG17	S	1	PD17NOV17	6544.00	6863.47	USD	-7,986.75	
17AUG17	S	1	PD17NOV17	6546.00	6863.47	USD	-7,936.75	
17AUG17	B	1	PD17NOV17	6523.00	6863.47	USD	8,511.75	
17AUG17	B	1	PD17NOV17	6527.00	6863.47	USD	8,411.75	
17AUG17	B	2	PD17NOV17	6526.50	6863.47	USD	16,848.50	
17AUG17	B	2	PD17NOV17	6527.50	6863.47	USD	16,798.50	
17AUG17	B	2	PD17NOV17	6507.50	6863.47	USD	17,798.50	
17AUG17	B	2	PD17NOV17	6496.50	6863.47	USD	18,348.50	
17AUG17	S	1	PD17NOV17	6500.00	6863.47	USD	-9,086.75	
17AUG17	S	2	PD17NOV17	6504.50	6863.47	USD	-17,948.50	
17AUG17	B	5	PD17NOV17	6494.00	6863.47	USD	46,183.75	
17AUG17	B	4	PD17NOV17	6494.50	6863.47	USD	36,897.00	
17AUG17	B	3	PD17NOV17	6493.50	6863.47	USD	27,747.75	
17AUG17	B	2	PD17NOV17	6496.00	6863.47	USD	18,373.50	
17AUG17	B	1	PD17NOV17	6492.50	6863.47	USD	9,274.25	
17AUG17	S	4	PD17NOV17	6500.00	6863.47	USD	-36,347.00	
17AUG17	S	1	PD17NOV17	6497.50	6863.47	USD	-9,149.25	
17AUG17	S	3	PD17NOV17	6504.00	6863.47	USD	-26,960.25	
17AUG17	S	1	PD17NOV17	6511.50	6863.47	USD	-8,799.25	
17AUG17	S	1	PD17NOV17	6514.00	6863.47	USD	-8,736.75	
17AUG17	S	1	PD17NOV17	6512.50	6863.47	USD	-8,774.25	
17AUG17	S	1	PD17NOV17	6507.00	6863.47	USD	-8,911.75	
17AUG17	S	2	PD17NOV17	6509.00	6863.47	USD	-17,723.50	
17AUG17	S	1	PD17NOV17	6506.00	6863.47	USD	-8,936.75	
17AUG17	S	5	PD17NOV17	6502.50	6863.47	USD	-45,121.25	
17AUG17	S	14	PD17NOV17	6503.00	6863.47	USD	-126,164.50	
17AUG17	S	11	PD17NOV17	6502.00	6863.47	USD	-99,404.25	
17AUG17	S	1	PD17NOV17	6498.50	6863.47	USD	-9,124.25	
17AUG17	S	3	PD17NOV17	6499.50	6863.47	USD	-27,297.75	
17AUG17	S	1	PD17NOV17	6500.50	6863.47	USD	-9,074.25	
17AUG17	S	15	PD17NOV17	6501.00	6863.47	USD	-135,926.25	
17AUG17	S	2	PD17NOV17	6515.00	6863.47	USD	-17,423.50	
17AUG17	S	2	PD17NOV17	6513.00	6863.47	USD	-17,523.50	
17AUG17	S	3	PD17NOV17	6516.00	6863.47	USD	-26,060.25	
17AUG17	S	2	PD17NOV17	6514.50	6863.47	USD	-17,448.50	
17AUG17	S	1	PD17NOV17	6510.50	6863.47	USD	-8,824.25	
17AUG17	S	1	PD17NOV17	6487.50	6863.47	USD	-9,399.25	
17AUG17	S	1	PD17NOV17	6488.50	6863.47	USD	-9,374.25	
17AUG17	S	2	PD17NOV17	6492.00	6863.47	USD	-18,573.50	
17AUG17	S	5	PD17NOV17	6489.50	6863.47	USD	-46,746.25	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0097K

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
17AUG17	S	5	PD17NOV17	6495.50	6863.47	USD	-45,996.25	
17AUG17	S	5	PD17NOV17	6493.00	6863.47	USD	-46,308.75	
17AUG17	S	1	PD17NOV17	6495.00	6863.47	USD	-9,211.75	
17AUG17	S	3	PD17NOV17	6493.50	6863.47	USD	-27,747.75	
17AUG17	S	5	PD17NOV17	6492.50	6863.47	USD	-46,371.25	
17AUG17	S	2	PD17NOV17	6490.00	6863.47	USD	-18,673.50	
17AUG17	S	2	PD17NOV17	6491.00	6863.47	USD	-18,623.50	
17AUG17	S	2	PD17NOV17	6491.50	6863.47	USD	-18,598.50	
17AUG17	S	1	PD17NOV17	6484.00	6863.47	USD	-9,486.75	
17AUG17	S	1	PD17NOV17	6483.00	6863.47	USD	-9,511.75	
17AUG17	S	1	PD17NOV17	6477.50	6863.47	USD	-9,649.25	
17AUG17	S	2	PD17NOV17	6475.00	6863.47	USD	-19,423.50	
17AUG17	S	1	PD17NOV17	6504.50	6863.47	USD	-8,974.25	
17AUG17	S	1	PD17NOV17	6503.00	6863.47	USD	-9,011.75	
18AUG17	S	1	PD17NOV17	6465.50	6863.47	USD	-9,949.25	
18AUG17	S	1	PD17NOV17	6467.50	6863.47	USD	-9,899.25	
18AUG17	S	1	PD17NOV17	6468.50	6863.47	USD	-9,874.25	
18AUG17	S	1	PD17NOV17	6469.50	6863.47	USD	-9,849.25	
18AUG17	S	1	PD17NOV17	6472.00	6863.47	USD	-9,786.75	
18AUG17	S	2	PD17NOV17	6470.00	6863.47	USD	-19,673.50	
18AUG17	S	3	PD17NOV17	6471.00	6863.47	USD	-29,435.25	
18AUG17	B	1	PD17NOV17	6487.50	6863.47	USD	9,399.25	
18AUG17	B	2	PD17NOV17	6487.00	6863.47	USD	18,823.50	
18AUG17	S	1	PD17NOV17	6466.50	6863.47	USD	-9,924.25	
18AUG17	S	2	PD17NOV17	6468.00	6863.47	USD	-19,773.50	
18AUG17	B	2	PD17NOV17	6470.50	6863.47	USD	19,648.50	
18AUG17	B	1	PD17NOV17	6469.50	6863.47	USD	9,849.25	
18AUG17	B	1	PD17NOV17	6470.00	6863.47	USD	9,836.75	
18AUG17	B	2	PD17NOV17	6468.50	6863.47	USD	19,748.50	
18AUG17	B	1	PD17NOV17	6469.00	6863.47	USD	9,861.75	
18AUG17	B	1	PD17NOV17	6471.00	6863.47	USD	9,811.75	
18AUG17	B	2	PD17NOV17	6462.00	6863.47	USD	20,073.50	
18AUG17	B	1	PD17NOV17	6461.50	6863.47	USD	10,049.25	
18AUG17	B	1	PD17NOV17	6459.00	6863.47	USD	10,111.75	
18AUG17	B	7	PD17NOV17	6461.00	6863.47	USD	70,432.25	
18AUG17	B	3	PD17NOV17	6473.00	6863.47	USD	29,285.25	
18AUG17	B	5	PD17NOV17	6474.00	6863.47	USD	48,683.75	
18AUG17	B	3	PD17NOV17	6477.50	6863.47	USD	28,947.75	
18AUG17	B	2	PD17NOV17	6491.00	6863.47	USD	18,623.50	
18AUG17	B	3	PD17NOV17	6493.00	6863.47	USD	27,785.25	
18AUG17	B	4	PD17NOV17	6492.50	6863.47	USD	37,097.00	
18AUG17	B	1	PD17NOV17	6491.50	6863.47	USD	9,299.25	
18AUG17	B	2	PD17NOV17	6486.50	6863.47	USD	18,848.50	
18AUG17	B	2	PD17NOV17	6485.50	6863.47	USD	18,898.50	
18AUG17	S	1	PD17NOV17	6487.00	6863.47	USD	-9,411.75	
18AUG17	S	7	PD17NOV17	6487.50	6863.47	USD	-65,794.75	
18AUG17	S	2	PD17NOV17	6491.00	6863.47	USD	-18,623.50	
18AUG17	S	3	PD17NOV17	6488.00	6863.47	USD	-28,160.25	
18AUG17	S	6	PD17NOV17	6486.50	6863.47	USD	-56,545.50	
18AUG17	S	1	PD17NOV17	6485.50	6863.47	USD	-9,449.25	
18AUG17	S	4	PD17NOV17	6480.50	6863.47	USD	-38,297.00	
18AUG17	S	5	PD17NOV17	6481.00	6863.47	USD	-47,808.75	
18AUG17	S	2	PD17NOV17	6483.00	6863.47	USD	-19,023.50	
18AUG17	S	5	PD17NOV17	6485.00	6863.47	USD	-47,308.75	
18AUG17	S	3	PD17NOV17	6486.00	6863.47	USD	-28,310.25	
21AUG17	B	80	PD17NOV17	6585.00	6863.47	USD	556,940.00	
	NET	0AVG BGHT 6538.93		SOLD 6494.62			-191,650.00	0.00

OPEN POSITION STATEMENT



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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
21AUG17	S	2	PD21NOV17	6544.00	6865.33	USD	-16,066.50	
21AUG17	B	1	PD21NOV17	6537.50	6865.33	USD	8,195.75	
21AUG17	B	1	PD21NOV17	6554.00	6865.33	USD	7,783.25	
21AUG17	B	1	PD21NOV17	6545.50	6865.33	USD	7,995.75	
21AUG17	B	1	PD21NOV17	6543.00	6865.33	USD	8,058.25	
21AUG17	B	1	PD21NOV17	6557.50	6865.33	USD	7,695.75	
21AUG17	B	1	PD21NOV17	6554.50	6865.33	USD	7,770.75	
21AUG17	B	1	PD21NOV17	6546.50	6865.33	USD	7,970.75	
21AUG17	B	4	PD21NOV17	6557.00	6865.33	USD	30,833.00	
21AUG17	B	1	PD21NOV17	6551.50	6865.33	USD	7,845.75	
21AUG17	B	1	PD21NOV17	6550.00	6865.33	USD	7,883.25	
21AUG17	B	2	PD21NOV17	6559.00	6865.33	USD	15,316.50	
21AUG17	B	2	PD21NOV17	6552.50	6865.33	USD	15,641.50	
21AUG17	B	3	PD21NOV17	6551.50	6865.33	USD	23,537.25	
21AUG17	B	4	PD21NOV17	6555.50	6865.33	USD	30,983.00	
21AUG17	B	2	PD21NOV17	6558.00	6865.33	USD	15,366.50	
21AUG17	B	14	PD21NOV17	6550.00	6865.33	USD	110,365.50	
21AUG17	B	1	PD21NOV17	6553.00	6865.33	USD	7,808.25	
21AUG17	B	2	PD21NOV17	6552.00	6865.33	USD	15,666.50	
21AUG17	B	3	PD21NOV17	6555.00	6865.33	USD	23,274.75	
21AUG17	B	14	PD21NOV17	6551.00	6865.33	USD	110,015.50	
21AUG17	B	5	PD21NOV17	6548.00	6865.33	USD	39,666.25	
21AUG17	B	1	PD21NOV17	6548.50	6865.33	USD	7,920.75	
21AUG17	B	2	PD21NOV17	6553.50	6865.33	USD	15,591.50	
21AUG17	B	4	PD21NOV17	6550.50	6865.33	USD	31,483.00	
21AUG17	B	5	PD21NOV17	6547.00	6865.33	USD	39,791.25	
21AUG17	B	5	PD21NOV17	6549.00	6865.33	USD	39,541.25	
21AUG17	B	4	PD21NOV17	6556.00	6865.33	USD	30,933.00	
21AUG17	B	3	PD21NOV17	6560.00	6865.33	USD	22,899.75	
21AUG17	B	2	PD21NOV17	6561.00	6865.33	USD	15,216.50	
21AUG17	B	1	PD21NOV17	6568.00	6865.33	USD	7,433.25	
21AUG17	B	3	PD21NOV17	6567.00	6865.33	USD	22,374.75	
21AUG17	B	3	PD21NOV17	6573.00	6865.33	USD	21,924.75	
21AUG17	B	6	PD21NOV17	6589.50	6865.33	USD	41,374.50	
21AUG17	B	8	PD21NOV17	6588.50	6865.33	USD	55,366.00	
21AUG17	B	1	PD21NOV17	6586.00	6865.33	USD	6,983.25	
21AUG17	B	1	PD21NOV17	6585.50	6865.33	USD	6,995.75	
21AUG17	B	6	PD21NOV17	6583.00	6865.33	USD	42,349.50	
21AUG17	B	3	PD21NOV17	6582.00	6865.33	USD	21,249.75	
21AUG17	B	1	PD21NOV17	6577.00	6865.33	USD	7,208.25	
21AUG17	B	1	PD21NOV17	6575.00	6865.33	USD	7,258.25	
21AUG17	B	3	PD21NOV17	6573.50	6865.33	USD	21,887.25	
21AUG17	B	5	PD21NOV17	6571.00	6865.33	USD	36,791.25	
21AUG17	B	8	PD21NOV17	6570.00	6865.33	USD	59,066.00	
21AUG17	B	1	PD21NOV17	6562.00	6865.33	USD	7,583.25	
21AUG17	B	2	PD21NOV17	6564.50	6865.33	USD	15,041.50	
21AUG17	B	4	PD21NOV17	6565.50	6865.33	USD	29,983.00	
21AUG17	B	2	PD21NOV17	6565.00	6865.33	USD	15,016.50	
21AUG17	B	3	PD21NOV17	6566.50	6865.33	USD	22,412.25	
21AUG17	B	1	PD21NOV17	6589.00	6865.33	USD	6,908.25	
21AUG17	B	2	PD21NOV17	6593.50	6865.33	USD	13,591.50	
21AUG17	B	7	PD21NOV17	6595.50	6865.33	USD	47,220.25	
21AUG17	B	3	PD21NOV17	6596.00	6865.33	USD	20,199.75	
21AUG17	B	2	PD21NOV17	6599.00	6865.33	USD	13,316.50	
21AUG17	B	1	PD21NOV17	6598.00	6865.33	USD	6,683.25	
21AUG17	B	4	PD21NOV17	6597.00	6865.33	USD	26,833.00	
21AUG17	B	10	PD21NOV17	6594.50	6865.33	USD	67,707.50	

OPEN POSITION STATEMENT



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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
21AUG17	B	1	PD21NOV17	6554.50	6865.33	USD	7,770.75	
21AUG17	B	2	PD21NOV17	6553.50	6865.33	USD	15,591.50	
21AUG17	B	1	PD21NOV17	6557.00	6865.33	USD	7,708.25	
30AUG17	S	60	PD21NOV17	6766.55	6865.33	USD	-148,170.00	
30AUG17	S	75	PD21NOV17	6766.75	6865.33	USD	-184,837.50	
31AUG17	S	50	PD21NOV17	6785.75	6865.33	USD	-99,475.00	
	NET	0	AVG BGHT 6566.96	SOLD 6769.38			946,331.25	0.00
22AUG17	S	1	PD22NOV17	6589.00	6865.80	USD	-6,920.00	
22AUG17	S	4	PD22NOV17	6588.50	6865.80	USD	-27,730.00	
22AUG17	S	1	PD22NOV17	6592.50	6865.80	USD	-6,832.50	
22AUG17	S	1	PD22NOV17	6595.00	6865.80	USD	-6,770.00	
22AUG17	S	2	PD22NOV17	6596.00	6865.80	USD	-13,490.00	
22AUG17	S	1	PD22NOV17	6593.50	6865.80	USD	-6,807.50	
22AUG17	B	3	PD22NOV17	6606.00	6865.80	USD	19,485.00	
22AUG17	B	1	PD22NOV17	6641.00	6865.80	USD	5,620.00	
22AUG17	B	3	PD22NOV17	6640.50	6865.80	USD	16,897.50	
22AUG17	B	2	PD22NOV17	6641.50	6865.80	USD	11,215.00	
22AUG17	B	1	PD22NOV17	6636.50	6865.80	USD	5,732.50	
22AUG17	B	2	PD22NOV17	6635.00	6865.80	USD	11,540.00	
22AUG17	B	1	PD22NOV17	6634.00	6865.80	USD	5,795.00	
22AUG17	B	2	PD22NOV17	6632.50	6865.80	USD	11,665.00	
22AUG17	B	1	PD22NOV17	6629.00	6865.80	USD	5,920.00	
22AUG17	B	3	PD22NOV17	6627.00	6865.80	USD	17,910.00	
22AUG17	B	7	PD22NOV17	6607.00	6865.80	USD	45,290.00	
22AUG17	S	1	PD22NOV17	6612.00	6865.80	USD	-6,345.00	
22AUG17	S	1	PD22NOV17	6621.00	6865.80	USD	-6,120.00	
22AUG17	S	1	PD22NOV17	6626.50	6865.80	USD	-5,982.50	
22AUG17	B	1	PD22NOV17	6621.50	6865.80	USD	6,107.50	
22AUG17	S	1	PD22NOV17	6623.50	6865.80	USD	-6,057.50	
22AUG17	S	2	PD22NOV17	6624.50	6865.80	USD	-12,065.00	
22AUG17	S	1	PD22NOV17	6625.50	6865.80	USD	-6,007.50	
22AUG17	S	1	PD22NOV17	6627.50	6865.80	USD	-5,957.50	
22AUG17	S	1	PD22NOV17	6628.50	6865.80	USD	-5,932.50	
22AUG17	S	4	PD22NOV17	6627.00	6865.80	USD	-23,880.00	
22AUG17	B	2	PD22NOV17	6632.00	6865.80	USD	11,690.00	
22AUG17	S	1	PD22NOV17	6631.50	6865.80	USD	-5,857.50	
22AUG17	S	1	PD22NOV17	6632.00	6865.80	USD	-5,845.00	
22AUG17	B	4	PD22NOV17	6627.50	6865.80	USD	23,830.00	
22AUG17	B	1	PD22NOV17	6620.50	6865.80	USD	6,132.50	
22AUG17	B	1	PD22NOV17	6618.50	6865.80	USD	6,182.50	
22AUG17	B	1	PD22NOV17	6612.00	6865.80	USD	6,345.00	
22AUG17	B	3	PD22NOV17	6613.00	6865.80	USD	18,960.00	
22AUG17	B	1	PD22NOV17	6631.50	6865.80	USD	5,857.50	
30AUG17	S	15	PD22NOV17	6767.00	6865.80	USD	-37,050.00	
	NET	0	AVG BGHT 6623.63	SOLD 6670.15			46,525.00	0.00
23AUG17	B	1	PD24NOV17	6563.50	6866.73	USD	7,580.75	
23AUG17	B	1	PD24NOV17	6560.50	6866.73	USD	7,655.75	
23AUG17	B	2	PD24NOV17	6564.50	6866.73	USD	15,111.50	
23AUG17	B	6	PD24NOV17	6566.00	6866.73	USD	45,109.50	
23AUG17	B	1	PD24NOV17	6569.00	6866.73	USD	7,443.25	
23AUG17	B	1	PD24NOV17	6557.00	6866.73	USD	7,743.25	
23AUG17	B	1	PD24NOV17	6555.50	6866.73	USD	7,780.75	
23AUG17	B	2	PD24NOV17	6558.00	6866.73	USD	15,436.50	
23AUG17	S	1	PD24NOV17	6587.00	6866.73	USD	-6,993.25	
23AUG17	S	2	PD24NOV17	6586.50	6866.73	USD	-14,011.50	
23AUG17	S	1	PD24NOV17	6585.00	6866.73	USD	-7,043.25	
23AUG17	S	4	PD24NOV17	6585.50	6866.73	USD	-28,123.00	

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23AUG17	S	1	PD24NOV17	6586.00	6866.73	USD	-7,018.25	
23AUG17	B	1	PD24NOV17	6587.50	6866.73	USD	6,980.75	
23AUG17	B	5	PD24NOV17	6588.00	6866.73	USD	34,841.25	
23AUG17	B	1	PD24NOV17	6586.50	6866.73	USD	7,005.75	
23AUG17	B	5	PD24NOV17	6586.00	6866.73	USD	35,091.25	
23AUG17	B	1	PD24NOV17	6585.50	6866.73	USD	7,030.75	
23AUG17	B	2	PD24NOV17	6587.00	6866.73	USD	13,986.50	
23AUG17	B	2	PD24NOV17	6574.00	6866.73	USD	14,636.50	
23AUG17	B	6	PD24NOV17	6573.00	6866.73	USD	44,059.50	
23AUG17	B	2	PD24NOV17	6571.50	6866.73	USD	14,761.50	
23AUG17	B	1	PD24NOV17	6551.00	6866.73	USD	7,893.25	
23AUG17	B	1	PD24NOV17	6550.50	6866.73	USD	7,905.75	
23AUG17	B	3	PD24NOV17	6550.00	6866.73	USD	23,754.75	
23AUG17	S	1	PD24NOV17	6559.50	6866.73	USD	-7,680.75	
23AUG17	S	4	PD24NOV17	6561.50	6866.73	USD	-30,523.00	
23AUG17	S	2	PD24NOV17	6566.00	6866.73	USD	-15,036.50	
23AUG17	S	1	PD24NOV17	6567.00	6866.73	USD	-7,493.25	
23AUG17	S	1	PD24NOV17	6567.50	6866.73	USD	-7,480.75	
23AUG17	S	1	PD24NOV17	6563.00	6866.73	USD	-7,593.25	
23AUG17	S	3	PD24NOV17	6564.00	6866.73	USD	-22,704.75	
23AUG17	S	1	PD24NOV17	6565.00	6866.73	USD	-7,543.25	
23AUG17	S	1	PD24NOV17	6566.50	6866.73	USD	-7,505.75	
23AUG17	S	1	PD24NOV17	6576.00	6866.73	USD	-7,268.25	
23AUG17	S	1	PD24NOV17	6569.50	6866.73	USD	-7,430.75	
23AUG17	S	4	PD24NOV17	6584.50	6866.73	USD	-28,223.00	
23AUG17	S	1	PD24NOV17	6582.50	6866.73	USD	-7,105.75	
23AUG17	S	1	PD24NOV17	6580.00	6866.73	USD	-7,168.25	
23AUG17	S	8	PD24NOV17	6583.50	6866.73	USD	-56,646.00	
23AUG17	S	3	PD24NOV17	6570.50	6866.73	USD	-22,217.25	
23AUG17	S	1	PD24NOV17	6573.50	6866.73	USD	-7,330.75	
23AUG17	S	4	PD24NOV17	6572.00	6866.73	USD	-29,473.00	
23AUG17	S	5	PD24NOV17	6570.00	6866.73	USD	-37,091.25	
23AUG17	S	3	PD24NOV17	6569.00	6866.73	USD	-22,329.75	
23AUG17	S	3	PD24NOV17	6571.00	6866.73	USD	-22,179.75	
23AUG17	S	1	PD24NOV17	6574.00	6866.73	USD	-7,318.25	
24AUG17	S	1	PD24NOV17	6608.50	6866.73	USD	-6,455.75	
24AUG17	S	9	PD24NOV17	6610.50	6866.73	USD	-57,651.75	
24AUG17	S	2	PD24NOV17	6610.00	6866.73	USD	-12,836.50	
24AUG17	S	1	PD24NOV17	6611.50	6866.73	USD	-6,380.75	
24AUG17	S	1	PD24NOV17	6604.50	6866.73	USD	-6,555.75	
24AUG17	S	1	PD24NOV17	6604.00	6866.73	USD	-6,568.25	
24AUG17	S	8	PD24NOV17	6603.00	6866.73	USD	-52,746.00	
24AUG17	S	5	PD24NOV17	6602.50	6866.73	USD	-33,028.75	
24AUG17	S	4	PD24NOV17	6617.50	6866.73	USD	-24,923.00	
24AUG17	S	3	PD24NOV17	6614.50	6866.73	USD	-18,917.25	
24AUG17	S	6	PD24NOV17	6617.00	6866.73	USD	-37,459.50	
24AUG17	S	1	PD24NOV17	6612.00	6866.73	USD	-6,368.25	
24AUG17	S	2	PD24NOV17	6611.00	6866.73	USD	-12,786.50	
24AUG17	S	4	PD24NOV17	6614.00	6866.73	USD	-25,273.00	
24AUG17	S	1	PD24NOV17	6618.50	6866.73	USD	-6,205.75	
24AUG17	S	2	PD24NOV17	6619.00	6866.73	USD	-12,386.50	
24AUG17	S	2	PD24NOV17	6620.00	6866.73	USD	-12,336.50	
24AUG17	S	2	PD24NOV17	6618.00	6866.73	USD	-12,436.50	
24AUG17	S	2	PD24NOV17	6622.00	6866.73	USD	-12,236.50	
24AUG17	S	1	PD24NOV17	6623.00	6866.73	USD	-6,093.25	
24AUG17	S	2	PD24NOV17	6627.50	6866.73	USD	-11,961.50	
30AUG17	B	75	PD24NOV17	6767.70	6866.73	USD	185,681.25	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0097K

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
	NET	0 AVG BGHT 6694.23	SOLD 6593.35				-302,650.00	0.00
29AUG17	S	5	PD29NOV17	6775.00	6869.07	USD	-11,758.75	
29AUG17	S	4	PD29NOV17	6771.00	6869.07	USD	-9,807.00	
29AUG17	S	1	PD29NOV17	6772.00	6869.07	USD	-2,426.75	
29AUG17	S	1	PD29NOV17	6773.00	6869.07	USD	-2,401.75	
29AUG17	S	2	PD29NOV17	6776.50	6869.07	USD	-4,628.50	
29AUG17	S	2	PD29NOV17	6777.50	6869.07	USD	-4,578.50	
30AUG17	B	15	PD29NOV17	6768.95	6869.07	USD	37,545.00	
	NET	0 AVG BGHT 6768.95	SOLD 6774.13				1,943.75	0.00
30AUG17	S	5	PD30NOV17	6792.50	6869.53	USD	-9,628.75	
30AUG17	S	3	PD30NOV17	6791.50	6869.53	USD	-5,852.25	
30AUG17	S	4	PD30NOV17	6793.00	6869.53	USD	-7,653.00	
30AUG17	S	1	PD30NOV17	6794.00	6869.53	USD	-1,888.25	
30AUG17	S	1	PD30NOV17	6795.50	6869.53	USD	-1,850.75	
30AUG17	S	3	PD30NOV17	6796.00	6869.53	USD	-5,514.75	
30AUG17	S	3	PD30NOV17	6797.00	6869.53	USD	-5,439.75	
30AUG17	S	6	PD30NOV17	6798.00	6869.53	USD	-10,729.50	
30AUG17	S	3	PD30NOV17	6800.50	6869.53	USD	-5,177.25	
30AUG17	S	11	PD30NOV17	6797.50	6869.53	USD	-19,808.25	
30AUG17	S	5	PD30NOV17	6792.00	6869.53	USD	-9,691.25	
30AUG17	S	4	PD30NOV17	6771.50	6869.53	USD	-9,803.00	
30AUG17	S	1	PD30NOV17	6772.00	6869.53	USD	-2,438.25	
31AUG17	S	5	PD30NOV17	6809.00	6869.53	USD	-7,566.25	
31AUG17	S	5	PD30NOV17	6808.00	6869.53	USD	-7,691.25	
31AUG17	B	60	PD30NOV17	6788.20	6869.53	USD	121,995.00	
	NET	0 AVG BGHT 6788.20	SOLD 6795.71				11,262.50	0.00

OPEN POSITION VALUE

		<u>USD</u>
LME Copper Grade A 25	FUTURES	558,686.25

MARKET REVALUATION BREAKDOWN

Unrealised Profits & Losses - Forwards	Amount	Profit / Loss	Total Amount	Total P&L
COPL - Futures	USD	558,686.25	558,686.25	558,686.25

OPEN POSITION STATEMENT



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13th October 2017

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ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	-558,686.25	-558,686.25
Variation Margins		
- Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	-558,686.25	-558,686.25
Initial Margins	0.00	0.00
Excess/(Shortage)	-558,686.25	-558,686.25
FOR INFORMATION ONLY		
Forward Unrealised P&L	558,686.25	558,686.25
Net Liquidating Value	0.00	0.00

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
21JUL17	B	1	PD200CT17	6047.00	6857.50	USD	20,262.50	
21JUL17	B	1	PD200CT17	6041.00	6857.50	USD	20,412.50	
21JUL17	B	4	PD200CT17	6045.00	6857.50	USD	81,250.00	
21JUL17	B	2	PD200CT17	6050.50	6857.50	USD	40,350.00	
21JUL17	B	5	PD200CT17	6039.50	6857.50	USD	102,250.00	
21JUL17	B	1	PD200CT17	6035.00	6857.50	USD	20,562.50	
21JUL17	B	4	PD200CT17	6036.00	6857.50	USD	82,150.00	
21JUL17	B	2	PD200CT17	6037.50	6857.50	USD	41,000.00	
21JUL17	B	2	PD200CT17	6035.50	6857.50	USD	41,100.00	
21JUL17	B	1	PD200CT17	6034.00	6857.50	USD	20,587.50	
21JUL17	B	1	PD200CT17	6029.50	6857.50	USD	20,700.00	
21JUL17	B	3	PD200CT17	6030.00	6857.50	USD	62,062.50	
21JUL17	B	3	PD200CT17	6031.00	6857.50	USD	61,987.50	
21JUL17	B	1	PD200CT17	6024.50	6857.50	USD	20,825.00	
21JUL17	S	4	PD200CT17	6014.00	6857.50	USD	-84,350.00	
21JUL17	S	1	PD200CT17	6009.00	6857.50	USD	-21,212.50	
21JUL17	S	2	PD200CT17	6011.00	6857.50	USD	-42,325.00	
21JUL17	S	1	PD200CT17	6009.50	6857.50	USD	-21,200.00	
21JUL17	S	2	PD200CT17	6007.50	6857.50	USD	-42,500.00	
21JUL17	S	6	PD200CT17	6008.50	6857.50	USD	-127,350.00	
21JUL17	S	2	PD200CT17	6010.00	6857.50	USD	-42,375.00	
21JUL17	S	1	PD200CT17	6012.00	6857.50	USD	-21,137.50	
21JUL17	S	1	PD200CT17	6013.00	6857.50	USD	-21,112.50	
21JUL17	S	1	PD200CT17	5988.50	6857.50	USD	-21,725.00	
21JUL17	S	2	PD200CT17	5987.50	6857.50	USD	-43,500.00	
21JUL17	S	3	PD200CT17	5990.00	6857.50	USD	-65,062.50	
21JUL17	S	4	PD200CT17	5991.00	6857.50	USD	-86,650.00	
21JUL17	S	1	PD200CT17	6010.00	6857.50	USD	-21,187.50	
	NET	0	AVG BGHT 6037.50	SOLD 6003.71			-26,187.50	0.00
24JUL17	S	2	PD240CT17	6007.50	6857.50	USD	-42,500.00	
24JUL17	S	1	PD240CT17	5990.50	6857.50	USD	-21,675.00	
24JUL17	S	1	PD240CT17	6002.50	6857.50	USD	-21,375.00	
24JUL17	S	2	PD240CT17	6009.00	6857.50	USD	-42,425.00	
24JUL17	S	1	PD240CT17	6015.00	6857.50	USD	-21,062.50	
24JUL17	S	1	PD240CT17	6024.00	6857.50	USD	-20,837.50	
24JUL17	S	1	PD240CT17	6024.50	6857.50	USD	-20,825.00	
24JUL17	S	2	PD240CT17	6028.00	6857.50	USD	-41,475.00	
24JUL17	S	2	PD240CT17	6028.50	6857.50	USD	-41,450.00	
24JUL17	S	4	PD240CT17	6029.00	6857.50	USD	-82,850.00	
24JUL17	S	1	PD240CT17	6016.00	6857.50	USD	-21,037.50	
24JUL17	S	2	PD240CT17	6014.50	6857.50	USD	-42,150.00	
24JUL17	S	4	PD240CT17	6015.50	6857.50	USD	-84,200.00	
24JUL17	S	1	PD240CT17	6016.50	6857.50	USD	-21,025.00	
24JUL17	B	2	PD240CT17	6021.50	6857.50	USD	41,800.00	
24JUL17	B	1	PD240CT17	6023.00	6857.50	USD	20,862.50	
24JUL17	B	2	PD240CT17	6021.00	6857.50	USD	41,825.00	
24JUL17	B	4	PD240CT17	6020.00	6857.50	USD	83,750.00	
24JUL17	B	1	PD240CT17	6015.50	6857.50	USD	21,050.00	
24JUL17	B	2	PD240CT17	6012.50	6857.50	USD	42,250.00	
24JUL17	B	2	PD240CT17	6016.00	6857.50	USD	42,075.00	
24JUL17	B	6	PD240CT17	6017.00	6857.50	USD	126,075.00	
24JUL17	B	2	PD240CT17	6022.00	6857.50	USD	41,775.00	
24JUL17	S	3	PD240CT17	6025.50	6857.50	USD	-62,400.00	
24JUL17	S	1	PD240CT17	6019.00	6857.50	USD	-20,962.50	
24JUL17	B	4	PD240CT17	6026.00	6857.50	USD	83,150.00	
24JUL17	B	2	PD240CT17	6027.00	6857.50	USD	41,525.00	
24JUL17	B	1	PD240CT17	6016.00	6857.50	USD	21,037.50	

OPEN POSITION STATEMENT



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SAP0097L

13th October 2017

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
24JUL17	S	2	PD240CT17	6026.50	6857.50	USD	-41,550.00	
24JUL17	B	1	PD240CT17	6031.50	6857.50	USD	20,650.00	
24JUL17	B	1	PD240CT17	6032.50	6857.50	USD	20,625.00	
	NET	0AVG BGHT 6020.79	SOLD 6019.05				-1,350.00	0.00
25JUL17	S	1	PD250CT17	6089.50	6857.50	USD	-19,200.00	
25JUL17	B	1	PD250CT17	6086.00	6857.50	USD	19,287.50	
25JUL17	B	3	PD250CT17	6086.50	6857.50	USD	57,825.00	
25JUL17	B	2	PD250CT17	6087.50	6857.50	USD	38,500.00	
25JUL17	B	3	PD250CT17	6085.00	6857.50	USD	57,937.50	
25JUL17	B	3	PD250CT17	6083.50	6857.50	USD	58,050.00	
25JUL17	B	2	PD250CT17	6085.50	6857.50	USD	38,600.00	
25JUL17	B	4	PD250CT17	6107.50	6857.50	USD	75,000.00	
25JUL17	B	2	PD250CT17	6105.00	6857.50	USD	37,625.00	
25JUL17	B	1	PD250CT17	6104.00	6857.50	USD	18,837.50	
25JUL17	B	2	PD250CT17	6103.00	6857.50	USD	37,725.00	
25JUL17	B	1	PD250CT17	6102.00	6857.50	USD	18,887.50	
25JUL17	B	1	PD250CT17	6113.50	6857.50	USD	18,600.00	
25JUL17	B	1	PD250CT17	6118.00	6857.50	USD	18,487.50	
25JUL17	B	2	PD250CT17	6119.00	6857.50	USD	36,925.00	
25JUL17	B	6	PD250CT17	6125.00	6857.50	USD	109,875.00	
25JUL17	B	3	PD250CT17	6129.50	6857.50	USD	54,600.00	
25JUL17	B	2	PD250CT17	6128.50	6857.50	USD	36,450.00	
25JUL17	B	1	PD250CT17	6117.50	6857.50	USD	18,500.00	
25JUL17	B	1	PD250CT17	6115.50	6857.50	USD	18,550.00	
25JUL17	B	3	PD250CT17	6114.00	6857.50	USD	55,762.50	
25JUL17	B	2	PD250CT17	6114.50	6857.50	USD	37,150.00	
25JUL17	S	5	PD250CT17	6120.00	6857.50	USD	-92,187.50	
25JUL17	B	5	PD250CT17	6120.50	6857.50	USD	92,125.00	
25JUL17	B	1	PD250CT17	6119.50	6857.50	USD	18,450.00	
25JUL17	B	8	PD250CT17	6118.50	6857.50	USD	147,800.00	
25JUL17	B	4	PD250CT17	6115.00	6857.50	USD	74,250.00	
25JUL17	B	8	PD250CT17	6116.00	6857.50	USD	148,300.00	
25JUL17	S	1	PD250CT17	6116.50	6857.50	USD	-18,525.00	
25JUL17	B	1	PD250CT17	6144.50	6857.50	USD	17,825.00	
25JUL17	B	4	PD250CT17	6145.00	6857.50	USD	71,250.00	
25JUL17	B	1	PD250CT17	6143.50	6857.50	USD	17,850.00	
25JUL17	B	1	PD250CT17	6146.50	6857.50	USD	17,775.00	
25JUL17	S	1	PD250CT17	6155.50	6857.50	USD	-17,550.00	
25JUL17	S	3	PD250CT17	6186.00	6857.50	USD	-50,362.50	
25JUL17	S	1	PD250CT17	6185.00	6857.50	USD	-16,812.50	
25JUL17	S	1	PD250CT17	6182.50	6857.50	USD	-16,875.00	
25JUL17	S	3	PD250CT17	6183.00	6857.50	USD	-50,587.50	
25JUL17	S	1	PD250CT17	6184.50	6857.50	USD	-16,825.00	
25JUL17	B	2	PD250CT17	6182.50	6857.50	USD	33,750.00	
25JUL17	S	3	PD250CT17	6182.00	6857.50	USD	-50,662.50	
25JUL17	S	6	PD250CT17	6181.50	6857.50	USD	-101,400.00	
25JUL17	B	4	PD250CT17	6188.50	6857.50	USD	66,900.00	
25JUL17	B	1	PD250CT17	6188.00	6857.50	USD	16,737.50	
25JUL17	B	2	PD250CT17	6195.50	6857.50	USD	33,100.00	
25JUL17	B	3	PD250CT17	6194.50	6857.50	USD	49,725.00	
25JUL17	B	1	PD250CT17	6193.50	6857.50	USD	16,600.00	
25JUL17	B	1	PD250CT17	6193.00	6857.50	USD	16,612.50	
25JUL17	B	4	PD250CT17	6192.50	6857.50	USD	66,500.00	
25JUL17	B	1	PD250CT17	6191.50	6857.50	USD	16,650.00	
25JUL17	S	1	PD250CT17	6155.00	6857.50	USD	-17,562.50	
25JUL17	B	4	PD250CT17	6189.00	6857.50	USD	66,850.00	
25JUL17	B	1	PD250CT17	6187.50	6857.50	USD	16,750.00	

OPEN POSITION STATEMENT



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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
25JUL17	B	1	PD250CT17	6186.50	6857.50	USD	16,775.00	
25JUL17	S	1	PD250CT17	6185.50	6857.50	USD	-16,800.00	
25JUL17	B	1	PD250CT17	6178.50	6857.50	USD	16,975.00	
25JUL17	B	1	PD250CT17	6180.00	6857.50	USD	16,937.50	
25JUL17	B	3	PD250CT17	6179.00	6857.50	USD	50,887.50	
25JUL17	B	7	PD250CT17	6181.50	6857.50	USD	118,300.00	
25JUL17	B	1	PD250CT17	6201.00	6857.50	USD	16,412.50	
25JUL17	B	1	PD250CT17	6200.50	6857.50	USD	16,425.00	
25JUL17	B	2	PD250CT17	6189.50	6857.50	USD	33,400.00	
25JUL17	B	1	PD250CT17	6192.00	6857.50	USD	16,637.50	
25JUL17	B	2	PD250CT17	6194.00	6857.50	USD	33,175.00	
25JUL17	B	3	PD250CT17	6197.50	6857.50	USD	49,500.00	
25JUL17	B	1	PD250CT17	6198.00	6857.50	USD	16,487.50	
25JUL17	B	2	PD250CT17	6199.00	6857.50	USD	32,925.00	
25JUL17	B	4	PD250CT17	6198.50	6857.50	USD	65,900.00	
25JUL17	B	9	PD250CT17	6199.50	6857.50	USD	148,050.00	
25JUL17	B	3	PD250CT17	6201.50	6857.50	USD	49,200.00	
25JUL17	B	1	PD250CT17	6202.50	6857.50	USD	16,375.00	
25JUL17	B	1	PD250CT17	6189.00	6857.50	USD	16,712.50	
25JUL17	B	1	PD250CT17	6198.50	6857.50	USD	16,475.00	
25JUL17	B	1	PD250CT17	6205.50	6857.50	USD	16,300.00	
25JUL17	B	4	PD250CT17	6210.00	6857.50	USD	64,750.00	
25JUL17	B	4	PD250CT17	6226.50	6857.50	USD	63,100.00	
25JUL17	B	1	PD250CT17	6222.00	6857.50	USD	15,887.50	
25JUL17	B	1	PD250CT17	6223.00	6857.50	USD	15,862.50	
25JUL17	B	1	PD250CT17	6225.50	6857.50	USD	15,800.00	
25JUL17	B	3	PD250CT17	6228.50	6857.50	USD	47,175.00	
25JUL17	B	3	PD250CT17	6227.50	6857.50	USD	47,250.00	
25JUL17	B	1	PD250CT17	6235.00	6857.50	USD	15,562.50	
25JUL17	B	1	PD250CT17	6247.50	6857.50	USD	15,250.00	
25JUL17	B	1	PD250CT17	6269.00	6857.50	USD	14,712.50	
25JUL17	B	2	PD250CT17	6260.00	6857.50	USD	29,875.00	
25JUL17	B	2	PD250CT17	6258.00	6857.50	USD	29,975.00	
25JUL17	B	1	PD250CT17	6257.00	6857.50	USD	15,012.50	
25JUL17	B	1	PD250CT17	6256.50	6857.50	USD	15,025.00	
25JUL17	B	2	PD250CT17	6261.50	6857.50	USD	29,800.00	
25JUL17	B	1	PD250CT17	6261.00	6857.50	USD	14,912.50	
25JUL17	S	1	PD250CT17	6250.00	6857.50	USD	-15,187.50	
25JUL17	S	1	PD250CT17	6248.00	6857.50	USD	-15,237.50	
25JUL17	S	3	PD250CT17	6249.00	6857.50	USD	-45,637.50	
25JUL17	B	2	PD250CT17	6249.00	6857.50	USD	30,425.00	
25JUL17	S	3	PD250CT17	6250.50	6857.50	USD	-45,525.00	
25JUL17	S	2	PD250CT17	6251.00	6857.50	USD	-30,325.00	
25JUL17	S	1	PD250CT17	6251.50	6857.50	USD	-15,150.00	
25JUL17	S	1	PD250CT17	6248.50	6857.50	USD	-15,225.00	
25JUL17	S	6	PD250CT17	6249.50	6857.50	USD	-91,200.00	
25JUL17	S	2	PD250CT17	6253.00	6857.50	USD	-30,225.00	
25JUL17	S	1	PD250CT17	6255.00	6857.50	USD	-15,062.50	
25JUL17	S	2	PD250CT17	6254.50	6857.50	USD	-30,150.00	
25JUL17	S	1	PD250CT17	6255.50	6857.50	USD	-15,050.00	
25JUL17	S	1	PD250CT17	6257.00	6857.50	USD	-15,012.50	
25JUL17	S	2	PD250CT17	6256.50	6857.50	USD	-30,050.00	
25JUL17	S	2	PD250CT17	6259.50	6857.50	USD	-29,900.00	
25JUL17	S	3	PD250CT17	6258.50	6857.50	USD	-44,925.00	
27JUL17	S	80	PD250CT17	6329.43	6857.50	USD	-1,056,140.00	
28JUL17	S	5	PD250CT17	6324.29	6857.50	USD	-66,651.25	
01AUG17	S	35	PD250CT17	6342.50	6857.50	USD	-450,625.00	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0097L

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
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backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position				Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>								
	NET	0	AVG	BGHT 6165.68				570,571.25	0.00
				SOLD 6292.47					
26JUL17	S	2	PD260CT17		6344.00	6857.50	USD	-25,675.00	
26JUL17	S	2	PD260CT17		6342.50	6857.50	USD	-25,750.00	
26JUL17	S	3	PD260CT17		6343.00	6857.50	USD	-38,587.50	
26JUL17	S	12	PD260CT17		6343.50	6857.50	USD	-154,200.00	
26JUL17	S	1	PD260CT17		6354.00	6857.50	USD	-12,587.50	
26JUL17	S	1	PD260CT17		6359.00	6857.50	USD	-12,462.50	
26JUL17	S	2	PD260CT17		6357.00	6857.50	USD	-25,025.00	
26JUL17	S	1	PD260CT17		6373.00	6857.50	USD	-12,112.50	
26JUL17	S	1	PD260CT17		6372.00	6857.50	USD	-12,137.50	
26JUL17	S	1	PD260CT17		6364.50	6857.50	USD	-12,325.00	
26JUL17	S	1	PD260CT17		6365.00	6857.50	USD	-12,312.50	
26JUL17	S	1	PD260CT17		6365.50	6857.50	USD	-12,300.00	
26JUL17	S	1	PD260CT17		6366.50	6857.50	USD	-12,275.00	
26JUL17	S	3	PD260CT17		6370.00	6857.50	USD	-36,562.50	
26JUL17	S	1	PD260CT17		6376.50	6857.50	USD	-12,025.00	
26JUL17	S	5	PD260CT17		6378.00	6857.50	USD	-59,937.50	
26JUL17	S	1	PD260CT17		6381.00	6857.50	USD	-11,912.50	
26JUL17	S	5	PD260CT17		6380.50	6857.50	USD	-59,625.00	
26JUL17	S	1	PD260CT17		6396.00	6857.50	USD	-11,537.50	
26JUL17	S	2	PD260CT17		6390.50	6857.50	USD	-23,350.00	
26JUL17	S	1	PD260CT17		6387.50	6857.50	USD	-11,750.00	
26JUL17	S	2	PD260CT17		6390.00	6857.50	USD	-23,375.00	
26JUL17	S	1	PD260CT17		6391.00	6857.50	USD	-11,662.50	
26JUL17	S	1	PD260CT17		6383.00	6857.50	USD	-11,862.50	
26JUL17	S	1	PD260CT17		6382.00	6857.50	USD	-11,887.50	
26JUL17	S	1	PD260CT17		6384.00	6857.50	USD	-11,837.50	
26JUL17	S	1	PD260CT17		6371.50	6857.50	USD	-12,150.00	
26JUL17	S	1	PD260CT17		6373.50	6857.50	USD	-12,100.00	
26JUL17	S	1	PD260CT17		6358.00	6857.50	USD	-12,487.50	
26JUL17	S	1	PD260CT17		6355.50	6857.50	USD	-12,550.00	
26JUL17	S	2	PD260CT17		6354.50	6857.50	USD	-25,150.00	
26JUL17	S	4	PD260CT17		6355.00	6857.50	USD	-50,250.00	
26JUL17	S	3	PD260CT17		6356.00	6857.50	USD	-37,612.50	
26JUL17	S	1	PD260CT17		6349.50	6857.50	USD	-12,700.00	
26JUL17	S	5	PD260CT17		6344.50	6857.50	USD	-64,125.00	
26JUL17	S	3	PD260CT17		6352.00	6857.50	USD	-37,912.50	
26JUL17	S	9	PD260CT17		6350.50	6857.50	USD	-114,075.00	
26JUL17	S	1	PD260CT17		6351.50	6857.50	USD	-12,650.00	
26JUL17	S	3	PD260CT17		6353.00	6857.50	USD	-37,837.50	
26JUL17	S	1	PD260CT17		6352.50	6857.50	USD	-12,625.00	
26JUL17	S	13	PD260CT17		6350.00	6857.50	USD	-164,937.50	
26JUL17	S	1	PD260CT17		6346.50	6857.50	USD	-12,775.00	
26JUL17	S	1	PD260CT17		6333.00	6857.50	USD	-13,112.50	
26JUL17	B	1	PD260CT17		6310.00	6857.50	USD	13,687.50	
26JUL17	B	1	PD260CT17		6308.00	6857.50	USD	13,737.50	
26JUL17	B	3	PD260CT17		6309.00	6857.50	USD	41,137.50	
26JUL17	B	1	PD260CT17		6302.00	6857.50	USD	13,887.50	
26JUL17	B	3	PD260CT17		6296.50	6857.50	USD	42,075.00	
26JUL17	B	1	PD260CT17		6301.50	6857.50	USD	13,900.00	
26JUL17	B	2	PD260CT17		6301.00	6857.50	USD	27,825.00	
26JUL17	B	1	PD260CT17		6299.50	6857.50	USD	13,950.00	
26JUL17	B	1	PD260CT17		6291.00	6857.50	USD	14,162.50	
26JUL17	B	1	PD260CT17		6292.00	6857.50	USD	14,137.50	
26JUL17	B	1	PD260CT17		6276.50	6857.50	USD	14,525.00	
26JUL17	B	2	PD260CT17		6280.00	6857.50	USD	28,875.00	
26JUL17	B	1	PD260CT17		6300.00	6857.50	USD	13,937.50	

OPEN POSITION STATEMENT



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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
26JUL17	B	3	PD260CT17	6297.00	6857.50	USD	42,037.50	
26JUL17	B	2	PD260CT17	6295.50	6857.50	USD	28,100.00	
26JUL17	B	3	PD260CT17	6294.50	6857.50	USD	42,225.00	
26JUL17	B	6	PD260CT17	6290.00	6857.50	USD	85,125.00	
26JUL17	B	4	PD260CT17	6293.50	6857.50	USD	56,400.00	
26JUL17	B	6	PD260CT17	6292.50	6857.50	USD	84,750.00	
26JUL17	B	1	PD260CT17	6287.00	6857.50	USD	14,262.50	
26JUL17	B	3	PD260CT17	6289.00	6857.50	USD	42,637.50	
26JUL17	B	1	PD260CT17	6288.50	6857.50	USD	14,225.00	
26JUL17	B	1	PD260CT17	6287.50	6857.50	USD	14,250.00	
26JUL17	B	1	PD260CT17	6286.00	6857.50	USD	14,287.50	
26JUL17	B	1	PD260CT17	6285.50	6857.50	USD	14,300.00	
26JUL17	B	3	PD260CT17	6284.50	6857.50	USD	42,975.00	
26JUL17	B	3	PD260CT17	6283.50	6857.50	USD	43,050.00	
26JUL17	B	1	PD260CT17	6280.50	6857.50	USD	14,425.00	
26JUL17	B	1	PD260CT17	6278.50	6857.50	USD	14,475.00	
26JUL17	B	5	PD260CT17	6279.00	6857.50	USD	72,312.50	
26JUL17	B	1	PD260CT17	6276.00	6857.50	USD	14,537.50	
26JUL17	B	3	PD260CT17	6275.00	6857.50	USD	43,687.50	
26JUL17	B	1	PD260CT17	6274.00	6857.50	USD	14,587.50	
26JUL17	S	1	PD260CT17	6343.50	6857.50	USD	-12,850.00	
26JUL17	S	1	PD260CT17	6343.00	6857.50	USD	-12,862.50	
26JUL17	B	2	PD260CT17	6302.00	6857.50	USD	27,775.00	
26JUL17	B	1	PD260CT17	6288.00	6857.50	USD	14,237.50	
26JUL17	S	1	PD260CT17	6299.50	6857.50	USD	-13,950.00	
26JUL17	S	1	PD260CT17	6305.00	6857.50	USD	-13,812.50	
26JUL17	S	1	PD260CT17	6300.00	6857.50	USD	-13,937.50	
26JUL17	S	2	PD260CT17	6294.50	6857.50	USD	-28,150.00	
26JUL17	S	1	PD260CT17	6299.00	6857.50	USD	-13,962.50	
26JUL17	B	4	PD260CT17	6298.00	6857.50	USD	55,950.00	
26JUL17	S	5	PD260CT17	6296.50	6857.50	USD	-70,125.00	
26JUL17	S	3	PD260CT17	6298.50	6857.50	USD	-41,925.00	
26JUL17	S	3	PD260CT17	6301.00	6857.50	USD	-41,737.50	
26JUL17	S	3	PD260CT17	6302.00	6857.50	USD	-41,662.50	
26JUL17	S	1	PD260CT17	6304.50	6857.50	USD	-13,825.00	
26JUL17	S	4	PD260CT17	6300.50	6857.50	USD	-55,700.00	
26JUL17	S	4	PD260CT17	6301.50	6857.50	USD	-55,600.00	
26JUL17	S	5	PD260CT17	6302.50	6857.50	USD	-69,375.00	
26JUL17	S	2	PD260CT17	6303.50	6857.50	USD	-27,700.00	
26JUL17	S	1	PD260CT17	6308.00	6857.50	USD	-13,737.50	
26JUL17	S	2	PD260CT17	6309.00	6857.50	USD	-27,425.00	
26JUL17	S	3	PD260CT17	6310.00	6857.50	USD	-41,062.50	
26JUL17	S	3	PD260CT17	6310.50	6857.50	USD	-41,025.00	
26JUL17	S	1	PD260CT17	6326.50	6857.50	USD	-13,275.00	
26JUL17	S	2	PD260CT17	6325.50	6857.50	USD	-26,600.00	
26JUL17	S	3	PD260CT17	6327.50	6857.50	USD	-39,750.00	
26JUL17	S	3	PD260CT17	6329.00	6857.50	USD	-39,637.50	
26JUL17	S	2	PD260CT17	6330.00	6857.50	USD	-26,375.00	
26JUL17	B	2	PD260CT17	6335.00	6857.50	USD	26,125.00	
26JUL17	B	1	PD260CT17	6328.00	6857.50	USD	13,237.50	
26JUL17	B	1	PD260CT17	6324.50	6857.50	USD	13,325.00	
26JUL17	B	1	PD260CT17	6323.50	6857.50	USD	13,350.00	
26JUL17	B	1	PD260CT17	6330.50	6857.50	USD	13,175.00	
26JUL17	B	1	PD260CT17	6328.50	6857.50	USD	13,225.00	
26JUL17	B	1	PD260CT17	6331.00	6857.50	USD	13,162.50	
26JUL17	B	1	PD260CT17	6333.00	6857.50	USD	13,112.50	
26JUL17	B	3	PD260CT17	6298.00	6857.50	USD	41,962.50	

OPEN POSITION STATEMENT



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SAP0097L

13th October 2017

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
26JUL17	S	2	PD26OCT17	6298.00	6857.50	USD	-27,975.00	
26JUL17	S	1	PD26OCT17	6296.50	6857.50	USD	-14,025.00	
26JUL17	B	1	PD26OCT17	6337.50	6857.50	USD	13,000.00	
26JUL17	B	3	PD26OCT17	6331.50	6857.50	USD	39,450.00	
26JUL17	B	3	PD26OCT17	6330.00	6857.50	USD	39,562.50	
26JUL17	B	4	PD26OCT17	6329.00	6857.50	USD	52,850.00	
26JUL17	B	1	PD26OCT17	6333.50	6857.50	USD	13,100.00	
26JUL17	B	1	PD26OCT17	6335.50	6857.50	USD	13,050.00	
26JUL17	B	2	PD26OCT17	6329.50	6857.50	USD	26,400.00	
26JUL17	S	1	PD26OCT17	6334.00	6857.50	USD	-13,087.50	
26JUL17	S	4	PD26OCT17	6345.00	6857.50	USD	-51,250.00	
26JUL17	B	1	PD26OCT17	6339.00	6857.50	USD	12,962.50	
26JUL17	B	1	PD26OCT17	6338.00	6857.50	USD	12,987.50	
26JUL17	B	1	PD26OCT17	6337.00	6857.50	USD	13,012.50	
26JUL17	B	1	PD26OCT17	6327.00	6857.50	USD	13,262.50	
26JUL17	B	5	PD26OCT17	6326.50	6857.50	USD	66,375.00	
26JUL17	S	1	PD26OCT17	6324.00	6857.50	USD	-13,337.50	
26JUL17	B	2	PD26OCT17	6321.00	6857.50	USD	26,825.00	
26JUL17	B	1	PD26OCT17	6322.50	6857.50	USD	13,375.00	
26JUL17	S	4	PD26OCT17	6319.50	6857.50	USD	-53,800.00	
26JUL17	S	1	PD26OCT17	6319.00	6857.50	USD	-13,462.50	
26JUL17	S	3	PD26OCT17	6318.00	6857.50	USD	-40,462.50	
26JUL17	S	1	PD26OCT17	6318.50	6857.50	USD	-13,475.00	
26JUL17	S	4	PD26OCT17	6316.00	6857.50	USD	-54,150.00	
26JUL17	S	2	PD26OCT17	6317.00	6857.50	USD	-27,025.00	
26JUL17	S	1	PD26OCT17	6313.50	6857.50	USD	-13,600.00	
26JUL17	S	3	PD26OCT17	6315.00	6857.50	USD	-40,687.50	
26JUL17	S	4	PD26OCT17	6311.50	6857.50	USD	-54,600.00	
26JUL17	B	1	PD26OCT17	6320.00	6857.50	USD	13,437.50	
26JUL17	S	1	PD26OCT17	6314.00	6857.50	USD	-13,587.50	
27JUL17	B	80	PD26OCT17	6329.91	6857.50	USD	1,055,180.00	
	NET	0AVG BGHT 6314.24	SOLD 6336.95				111,242.50	0.00
27JUL17	B	1	PD27OCT17	6331.00	6857.50	USD	13,162.50	
27JUL17	B	1	PD27OCT17	6336.50	6857.50	USD	13,025.00	
27JUL17	B	5	PD27OCT17	6334.50	6857.50	USD	65,375.00	
27JUL17	B	3	PD27OCT17	6344.00	6857.50	USD	38,512.50	
27JUL17	B	1	PD27OCT17	6342.50	6857.50	USD	12,875.00	
27JUL17	B	2	PD27OCT17	6343.00	6857.50	USD	25,725.00	
27JUL17	S	1	PD27OCT17	6328.50	6857.50	USD	-13,225.00	
27JUL17	S	3	PD27OCT17	6329.50	6857.50	USD	-39,600.00	
27JUL17	S	1	PD27OCT17	6330.00	6857.50	USD	-13,187.50	
27JUL17	B	2	PD27OCT17	6342.00	6857.50	USD	25,775.00	
27JUL17	B	1	PD27OCT17	6343.50	6857.50	USD	12,850.00	
27JUL17	B	1	PD27OCT17	6344.50	6857.50	USD	12,825.00	
27JUL17	S	1	PD27OCT17	6344.00	6857.50	USD	-12,837.50	
27JUL17	S	1	PD27OCT17	6347.50	6857.50	USD	-12,750.00	
27JUL17	S	1	PD27OCT17	6343.00	6857.50	USD	-12,862.50	
27JUL17	S	5	PD27OCT17	6342.50	6857.50	USD	-64,375.00	
27JUL17	S	1	PD27OCT17	6339.50	6857.50	USD	-12,950.00	
27JUL17	S	1	PD27OCT17	6342.00	6857.50	USD	-12,887.50	
27JUL17	S	1	PD27OCT17	6347.00	6857.50	USD	-12,762.50	
27JUL17	S	1	PD27OCT17	6343.50	6857.50	USD	-12,850.00	
27JUL17	S	1	PD27OCT17	6367.00	6857.50	USD	-12,262.50	
27JUL17	B	2	PD27OCT17	6370.50	6857.50	USD	24,350.00	
27JUL17	B	1	PD27OCT17	6370.00	6857.50	USD	12,187.50	
27JUL17	B	1	PD27OCT17	6364.50	6857.50	USD	12,325.00	
27JUL17	B	1	PD27OCT17	6355.00	6857.50	USD	12,562.50	

OPEN POSITION STATEMENT



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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
27JUL17	B	1	PD27OCT17	6359.50	6857.50	USD	12,450.00	
27JUL17	B	4	PD27OCT17	6357.50	6857.50	USD	50,000.00	
27JUL17	B	5	PD27OCT17	6356.50	6857.50	USD	62,625.00	
27JUL17	B	4	PD27OCT17	6354.00	6857.50	USD	50,350.00	
27JUL17	B	1	PD27OCT17	6352.50	6857.50	USD	12,625.00	
27JUL17	S	1	PD27OCT17	6349.00	6857.50	USD	-12,712.50	
27JUL17	S	2	PD27OCT17	6350.00	6857.50	USD	-25,375.00	
27JUL17	B	2	PD27OCT17	6339.50	6857.50	USD	25,900.00	
27JUL17	B	1	PD27OCT17	6364.50	6857.50	USD	12,325.00	
27JUL17	B	1	PD27OCT17	6352.00	6857.50	USD	12,637.50	
27JUL17	B	7	PD27OCT17	6359.00	6857.50	USD	87,237.50	
27JUL17	B	1	PD27OCT17	6360.50	6857.50	USD	12,425.00	
27JUL17	B	1	PD27OCT17	6361.00	6857.50	USD	12,412.50	
27JUL17	S	1	PD27OCT17	6369.00	6857.50	USD	-12,212.50	
27JUL17	S	1	PD27OCT17	6372.50	6857.50	USD	-12,125.00	
27JUL17	S	1	PD27OCT17	6364.00	6857.50	USD	-12,337.50	
27JUL17	S	1	PD27OCT17	6360.50	6857.50	USD	-12,425.00	
27JUL17	S	3	PD27OCT17	6353.50	6857.50	USD	-37,800.00	
27JUL17	S	2	PD27OCT17	6352.50	6857.50	USD	-25,250.00	
27JUL17	S	5	PD27OCT17	6346.00	6857.50	USD	-63,937.50	
27JUL17	S	1	PD27OCT17	6350.50	6857.50	USD	-12,675.00	
27JUL17	S	1	PD27OCT17	6351.50	6857.50	USD	-12,650.00	
27JUL17	S	3	PD27OCT17	6349.50	6857.50	USD	-38,100.00	
27JUL17	S	6	PD27OCT17	6348.50	6857.50	USD	-76,350.00	
27JUL17	S	1	PD27OCT17	6355.50	6857.50	USD	-12,550.00	
27JUL17	B	1	PD27OCT17	6349.00	6857.50	USD	12,712.50	
27JUL17	B	2	PD27OCT17	6348.00	6857.50	USD	25,475.00	
27JUL17	B	1	PD27OCT17	6347.00	6857.50	USD	12,762.50	
27JUL17	B	1	PD27OCT17	6345.50	6857.50	USD	12,800.00	
27JUL17	B	2	PD27OCT17	6335.00	6857.50	USD	26,125.00	
27JUL17	B	1	PD27OCT17	6332.50	6857.50	USD	13,125.00	
27JUL17	B	3	PD27OCT17	6334.00	6857.50	USD	39,262.50	
27JUL17	S	1	PD27OCT17	6371.00	6857.50	USD	-12,162.50	
27JUL17	S	2	PD27OCT17	6363.00	6857.50	USD	-24,725.00	
27JUL17	B	2	PD27OCT17	6338.00	6857.50	USD	25,975.00	
27JUL17	B	2	PD27OCT17	6337.50	6857.50	USD	26,000.00	
27JUL17	S	1	PD27OCT17	6336.00	6857.50	USD	-13,037.50	
27JUL17	S	1	PD27OCT17	6334.00	6857.50	USD	-13,087.50	
27JUL17	S	5	PD27OCT17	6331.00	6857.50	USD	-65,812.50	
27JUL17	S	1	PD27OCT17	6332.50	6857.50	USD	-13,125.00	
27JUL17	S	6	PD27OCT17	6333.00	6857.50	USD	-78,675.00	
27JUL17	S	2	PD27OCT17	6328.00	6857.50	USD	-26,475.00	
27JUL17	S	5	PD27OCT17	6335.00	6857.50	USD	-65,312.50	
27JUL17	S	4	PD27OCT17	6341.50	6857.50	USD	-51,600.00	
27JUL17	S	4	PD27OCT17	6340.50	6857.50	USD	-51,700.00	
27JUL17	B	1	PD27OCT17	6335.50	6857.50	USD	13,050.00	
27JUL17	B	1	PD27OCT17	6338.50	6857.50	USD	12,975.00	
27JUL17	B	8	PD27OCT17	6339.50	6857.50	USD	103,600.00	
27JUL17	B	1	PD27OCT17	6337.00	6857.50	USD	13,012.50	
27JUL17	B	1	PD27OCT17	6336.00	6857.50	USD	13,037.50	
27JUL17	B	23	PD27OCT17	6339.00	6857.50	USD	298,137.50	
27JUL17	S	6	PD27OCT17	6330.50	6857.50	USD	-79,050.00	
27JUL17	S	4	PD27OCT17	6331.50	6857.50	USD	-52,600.00	
27JUL17	S	3	PD27OCT17	6332.00	6857.50	USD	-39,412.50	
27JUL17	S	4	PD27OCT17	6326.00	6857.50	USD	-53,150.00	
27JUL17	S	1	PD27OCT17	6327.50	6857.50	USD	-13,250.00	
27JUL17	S	5	PD27OCT17	6326.50	6857.50	USD	-66,375.00	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0097L

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
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backoffice@sapiencap.com

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FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
27JUL17	S	2	PD27OCT17	6325.50	6857.50	USD	-26,600.00	
27JUL17	S	1	PD27OCT17	6316.50	6857.50	USD	-13,525.00	
27JUL17	S	1	PD27OCT17	6319.50	6857.50	USD	-13,450.00	
27JUL17	B	1	PD27OCT17	6317.50	6857.50	USD	13,500.00	
27JUL17	B	2	PD27OCT17	6318.50	6857.50	USD	26,950.00	
27JUL17	B	1	PD27OCT17	6318.00	6857.50	USD	13,487.50	
27JUL17	S	1	PD27OCT17	6320.50	6857.50	USD	-13,425.00	
27JUL17	S	1	PD27OCT17	6322.00	6857.50	USD	-13,387.50	
27JUL17	S	1	PD27OCT17	6331.00	6857.50	USD	-13,162.50	
27JUL17	B	1	PD27OCT17	6334.00	6857.50	USD	13,087.50	
27JUL17	S	1	PD27OCT17	6340.50	6857.50	USD	-12,925.00	
28JUL17	B	2	PD27OCT17	6320.00	6857.50	USD	26,875.00	
28JUL17	B	1	PD27OCT17	6316.50	6857.50	USD	13,525.00	
28JUL17	B	1	PD27OCT17	6294.00	6857.50	USD	14,087.50	
28JUL17	B	1	PD27OCT17	6300.50	6857.50	USD	13,925.00	
28JUL17	B	2	PD27OCT17	6299.50	6857.50	USD	27,900.00	
28JUL17	S	1	PD27OCT17	6314.50	6857.50	USD	-13,575.00	
28JUL17	B	4	PD27OCT17	6316.00	6857.50	USD	54,150.00	
28JUL17	B	1	PD27OCT17	6315.00	6857.50	USD	13,562.50	
28JUL17	B	2	PD27OCT17	6298.50	6857.50	USD	27,950.00	
28JUL17	S	1	PD27OCT17	6306.00	6857.50	USD	-13,787.50	
28JUL17	S	1	PD27OCT17	6312.50	6857.50	USD	-13,625.00	
28JUL17	S	1	PD27OCT17	6319.50	6857.50	USD	-13,450.00	
28JUL17	S	2	PD27OCT17	6314.00	6857.50	USD	-27,175.00	
28JUL17	S	1	PD27OCT17	6317.00	6857.50	USD	-13,512.50	
28JUL17	S	4	PD27OCT17	6313.50	6857.50	USD	-54,400.00	
28JUL17	S	2	PD27OCT17	6315.00	6857.50	USD	-27,125.00	
28JUL17	S	1	PD27OCT17	6308.50	6857.50	USD	-13,725.00	
28JUL17	S	1	PD27OCT17	6310.50	6857.50	USD	-13,675.00	
28JUL17	S	3	PD27OCT17	6309.50	6857.50	USD	-41,100.00	
28JUL17	S	2	PD27OCT17	6316.00	6857.50	USD	-27,075.00	
28JUL17	S	1	PD27OCT17	6316.50	6857.50	USD	-13,525.00	
28JUL17	S	1	PD27OCT17	6320.50	6857.50	USD	-13,425.00	
28JUL17	B	5	PD27OCT17	6318.00	6857.50	USD	67,437.50	
28JUL17	B	2	PD27OCT17	6311.50	6857.50	USD	27,300.00	
28JUL17	B	2	PD27OCT17	6311.00	6857.50	USD	27,325.00	
28JUL17	S	1	PD27OCT17	6319.50	6857.50	USD	-13,450.00	
28JUL17	B	1	PD27OCT17	6310.50	6857.50	USD	13,675.00	
28JUL17	B	6	PD27OCT17	6319.50	6857.50	USD	80,700.00	
28JUL17	B	4	PD27OCT17	6318.50	6857.50	USD	53,900.00	
28JUL17	B	2	PD27OCT17	6317.50	6857.50	USD	27,000.00	
28JUL17	B	3	PD27OCT17	6322.00	6857.50	USD	40,162.50	
28JUL17	B	1	PD27OCT17	6322.50	6857.50	USD	13,375.00	
28JUL17	B	3	PD27OCT17	6321.50	6857.50	USD	40,200.00	
28JUL17	B	3	PD27OCT17	6319.00	6857.50	USD	40,387.50	
28JUL17	B	2	PD27OCT17	6323.00	6857.50	USD	26,725.00	
28JUL17	B	3	PD27OCT17	6324.50	6857.50	USD	39,975.00	
28JUL17	B	2	PD27OCT17	6324.00	6857.50	USD	26,675.00	
28JUL17	B	2	PD27OCT17	6323.50	6857.50	USD	26,700.00	
28JUL17	S	1	PD27OCT17	6323.50	6857.50	USD	-13,350.00	
28JUL17	S	1	PD27OCT17	6324.50	6857.50	USD	-13,325.00	
28JUL17	S	3	PD27OCT17	6325.50	6857.50	USD	-39,900.00	
28JUL17	S	1	PD27OCT17	6329.00	6857.50	USD	-13,212.50	
28JUL17	S	5	PD27OCT17	6327.00	6857.50	USD	-66,312.50	
28JUL17	B	1	PD27OCT17	6335.00	6857.50	USD	13,062.50	
28JUL17	S	1	PD27OCT17	6342.00	6857.50	USD	-12,887.50	
28JUL17	B	1	PD27OCT17	6337.50	6857.50	USD	13,000.00	

OPEN POSITION STATEMENT



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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
28JUL17	S	1	PD27OCT17	6333.00	6857.50	USD	-13,112.50	
28JUL17	S	3	PD27OCT17	6332.00	6857.50	USD	-39,412.50	
28JUL17	S	6	PD27OCT17	6325.00	6857.50	USD	-79,875.00	
28JUL17	S	7	PD27OCT17	6326.00	6857.50	USD	-93,012.50	
28JUL17	S	1	PD27OCT17	6331.00	6857.50	USD	-13,162.50	
28JUL17	S	1	PD27OCT17	6327.50	6857.50	USD	-13,250.00	
28JUL17	B	1	PD27OCT17	6328.50	6857.50	USD	13,225.00	
28JUL17	S	1	PD27OCT17	6336.50	6857.50	USD	-13,025.00	
28JUL17	B	1	PD27OCT17	6334.50	6857.50	USD	13,075.00	
28JUL17	B	1	PD27OCT17	6328.00	6857.50	USD	13,237.50	
28JUL17	B	3	PD27OCT17	6327.00	6857.50	USD	39,787.50	
28JUL17	B	1	PD27OCT17	6326.50	6857.50	USD	13,275.00	
28JUL17	B	2	PD27OCT17	6325.50	6857.50	USD	26,600.00	
28JUL17	B	1	PD27OCT17	6338.50	6857.50	USD	12,975.00	
28JUL17	B	1	PD27OCT17	6338.00	6857.50	USD	12,987.50	
28JUL17	B	2	PD27OCT17	6340.00	6857.50	USD	25,875.00	
28JUL17	B	4	PD27OCT17	6339.50	6857.50	USD	51,800.00	
28JUL17	B	1	PD27OCT17	6340.50	6857.50	USD	12,925.00	
28JUL17	B	2	PD27OCT17	6343.50	6857.50	USD	25,700.00	
28JUL17	S	1	PD27OCT17	6340.00	6857.50	USD	-12,937.50	
28JUL17	S	4	PD27OCT17	6337.00	6857.50	USD	-52,050.00	
28JUL17	S	1	PD27OCT17	6336.00	6857.50	USD	-13,037.50	
28JUL17	S	4	PD27OCT17	6335.50	6857.50	USD	-52,200.00	
28JUL17	S	13	PD27OCT17	6335.00	6857.50	USD	-169,812.50	
28JUL17	S	5	PD27OCT17	6326.50	6857.50	USD	-66,375.00	
28JUL17	S	8	PD27OCT17	6328.00	6857.50	USD	-105,900.00	
28JUL17	S	5	PD27OCT17	6328.50	6857.50	USD	-66,125.00	
28JUL17	S	6	PD27OCT17	6329.50	6857.50	USD	-79,200.00	
28JUL17	S	1	PD27OCT17	6330.50	6857.50	USD	-13,175.00	
28JUL17	B	1	PD27OCT17	6344.00	6857.50	USD	12,837.50	
28JUL17	B	5	PD27OCT17	6325.20	6857.50	USD	66,537.50	
28JUL17	B	4	PD27OCT17	6343.00	6857.50	USD	51,450.00	
28JUL17	B	2	PD27OCT17	6342.00	6857.50	USD	25,775.00	
28JUL17	B	1	PD27OCT17	6342.50	6857.50	USD	12,875.00	
28JUL17	B	1	PD27OCT17	6341.50	6857.50	USD	12,900.00	
28JUL17	B	1	PD27OCT17	6327.00	6857.50	USD	13,262.50	
28JUL17	S	3	PD27OCT17	6340.00	6857.50	USD	-38,812.50	
28JUL17	S	1	PD27OCT17	6335.00	6857.50	USD	-13,062.50	
01AUG17	B	20	PD27OCT17	6343.70	6857.50	USD	256,900.00	
	NET	0	AVG BGHT 6335.62	SOLD 6333.22			-13,037.50	0.00
31JUL17	S	2	PD31OCT17	6381.50	6858.27	USD	-23,838.50	
31JUL17	S	3	PD31OCT17	6377.00	6858.27	USD	-36,095.25	
31JUL17	B	2	PD31OCT17	6419.50	6858.27	USD	21,938.50	
31JUL17	B	6	PD31OCT17	6418.00	6858.27	USD	66,040.50	
31JUL17	B	1	PD31OCT17	6417.00	6858.27	USD	11,031.75	
31JUL17	B	3	PD31OCT17	6417.50	6858.27	USD	33,057.75	
31JUL17	B	1	PD31OCT17	6416.50	6858.27	USD	11,044.25	
31JUL17	B	2	PD31OCT17	6418.50	6858.27	USD	21,988.50	
31JUL17	B	3	PD31OCT17	6425.50	6858.27	USD	32,457.75	
31JUL17	B	1	PD31OCT17	6427.00	6858.27	USD	10,781.75	
31JUL17	B	1	PD31OCT17	6426.50	6858.27	USD	10,794.25	
31JUL17	B	3	PD31OCT17	6428.00	6858.27	USD	32,270.25	
31JUL17	B	4	PD31OCT17	6429.00	6858.27	USD	42,927.00	
31JUL17	S	1	PD31OCT17	6413.00	6858.27	USD	-11,131.75	
31JUL17	S	2	PD31OCT17	6411.50	6858.27	USD	-22,338.50	
31JUL17	S	2	PD31OCT17	6413.50	6858.27	USD	-22,238.50	
31JUL17	S	1	PD31OCT17	6412.00	6858.27	USD	-11,156.75	

OPEN POSITION STATEMENT



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31JUL17	S	1	PD31OCT17	6409.00	6858.27	USD	-11,231.75	
31JUL17	B	1	PD31OCT17	6410.50	6858.27	USD	11,194.25	
31JUL17	B	1	PD31OCT17	6407.50	6858.27	USD	11,269.25	
31JUL17	B	1	PD31OCT17	6406.00	6858.27	USD	11,306.75	
31JUL17	S	1	PD31OCT17	6392.50	6858.27	USD	-11,644.25	
31JUL17	S	5	PD31OCT17	6394.50	6858.27	USD	-57,971.25	
31JUL17	S	10	PD31OCT17	6394.00	6858.27	USD	-116,067.50	
31JUL17	S	3	PD31OCT17	6393.50	6858.27	USD	-34,857.75	
31JUL17	S	2	PD31OCT17	6398.00	6858.27	USD	-23,013.50	
31JUL17	S	2	PD31OCT17	6396.50	6858.27	USD	-23,088.50	
31JUL17	S	1	PD31OCT17	6397.50	6858.27	USD	-11,519.25	
31JUL17	S	5	PD31OCT17	6399.50	6858.27	USD	-57,346.25	
31JUL17	S	1	PD31OCT17	6398.50	6858.27	USD	-11,494.25	
31JUL17	S	2	PD31OCT17	6400.00	6858.27	USD	-22,913.50	
31JUL17	S	1	PD31OCT17	6399.00	6858.27	USD	-11,481.75	
31JUL17	S	5	PD31OCT17	6384.50	6858.27	USD	-59,221.25	
31JUL17	S	5	PD31OCT17	6383.50	6858.27	USD	-59,346.25	
31JUL17	S	3	PD31OCT17	6384.00	6858.27	USD	-35,570.25	
31JUL17	S	1	PD31OCT17	6383.00	6858.27	USD	-11,881.75	
31JUL17	S	3	PD31OCT17	6382.00	6858.27	USD	-35,720.25	
31JUL17	B	2	PD31OCT17	6381.00	6858.27	USD	23,863.50	
31JUL17	B	2	PD31OCT17	6383.50	6858.27	USD	23,738.50	
31JUL17	B	2	PD31OCT17	6383.00	6858.27	USD	23,763.50	
31JUL17	B	3	PD31OCT17	6382.50	6858.27	USD	35,682.75	
31JUL17	B	10	PD31OCT17	6384.00	6858.27	USD	118,567.50	
31JUL17	S	1	PD31OCT17	6386.50	6858.27	USD	-11,794.25	
31JUL17	B	1	PD31OCT17	6389.00	6858.27	USD	11,731.75	
31JUL17	B	1	PD31OCT17	6388.50	6858.27	USD	11,744.25	
31JUL17	B	1	PD31OCT17	6386.00	6858.27	USD	11,806.75	
31JUL17	B	2	PD31OCT17	6385.00	6858.27	USD	23,663.50	
31JUL17	S	1	PD31OCT17	6391.00	6858.27	USD	-11,681.75	
31JUL17	S	1	PD31OCT17	6388.50	6858.27	USD	-11,744.25	
31JUL17	B	2	PD31OCT17	6386.50	6858.27	USD	23,588.50	
31JUL17	S	1	PD31OCT17	6379.00	6858.27	USD	-11,981.75	
31JUL17	S	2	PD31OCT17	6376.00	6858.27	USD	-24,113.50	
31JUL17	S	4	PD31OCT17	6377.50	6858.27	USD	-48,077.00	
31JUL17	S	6	PD31OCT17	6378.00	6858.27	USD	-72,040.50	
31JUL17	S	3	PD31OCT17	6371.00	6858.27	USD	-36,545.25	
31JUL17	S	1	PD31OCT17	6372.00	6858.27	USD	-12,156.75	
31JUL17	S	3	PD31OCT17	6371.50	6858.27	USD	-36,507.75	
31JUL17	S	9	PD31OCT17	6372.50	6858.27	USD	-109,298.25	
31JUL17	S	2	PD31OCT17	6373.50	6858.27	USD	-24,238.50	
31JUL17	B	3	PD31OCT17	6364.50	6858.27	USD	37,032.75	
31JUL17	B	1	PD31OCT17	6363.00	6858.27	USD	12,381.75	
31JUL17	S	1	PD31OCT17	6352.50	6858.27	USD	-12,644.25	
31JUL17	S	5	PD31OCT17	6355.00	6858.27	USD	-62,908.75	
31JUL17	S	2	PD31OCT17	6354.50	6858.27	USD	-25,188.50	
31JUL17	S	1	PD31OCT17	6358.00	6858.27	USD	-12,506.75	
31JUL17	S	1	PD31OCT17	6355.50	6858.27	USD	-12,569.25	
31JUL17	B	3	PD31OCT17	6372.50	6858.27	USD	36,432.75	
31JUL17	B	1	PD31OCT17	6369.50	6858.27	USD	12,219.25	
31JUL17	B	3	PD31OCT17	6370.50	6858.27	USD	36,582.75	
31JUL17	B	1	PD31OCT17	6370.00	6858.27	USD	12,206.75	
31JUL17	B	7	PD31OCT17	6373.50	6858.27	USD	84,834.75	
31JUL17	B	2	PD31OCT17	6378.50	6858.27	USD	23,988.50	
31JUL17	B	1	PD31OCT17	6377.50	6858.27	USD	12,019.25	
31JUL17	B	1	PD31OCT17	6377.00	6858.27	USD	12,031.75	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
31JUL17	B	1	PD31OCT17	6376.00	6858.27	USD	12,056.75	
31JUL17	B	1	PD31OCT17	6368.50	6858.27	USD	12,244.25	
31JUL17	B	6	PD31OCT17	6369.00	6858.27	USD	73,390.50	
31JUL17	B	2	PD31OCT17	6363.50	6858.27	USD	24,738.50	
31JUL17	S	2	PD31OCT17	6374.50	6858.27	USD	-24,188.50	
31JUL17	S	1	PD31OCT17	6368.00	6858.27	USD	-12,256.75	
31JUL17	S	7	PD31OCT17	6370.00	6858.27	USD	-85,447.25	
31JUL17	S	4	PD31OCT17	6367.50	6858.27	USD	-49,077.00	
31JUL17	S	9	PD31OCT17	6368.50	6858.27	USD	-110,198.25	
31JUL17	S	2	PD31OCT17	6369.50	6858.27	USD	-24,438.50	
31JUL17	S	1	PD31OCT17	6362.50	6858.27	USD	-12,394.25	
31JUL17	S	1	PD31OCT17	6364.50	6858.27	USD	-12,344.25	
31JUL17	S	1	PD31OCT17	6365.00	6858.27	USD	-12,331.75	
31JUL17	S	15	PD31OCT17	6367.00	6858.27	USD	-184,226.25	
31JUL17	S	4	PD31OCT17	6365.50	6858.27	USD	-49,277.00	
31JUL17	S	4	PD31OCT17	6366.00	6858.27	USD	-49,227.00	
31JUL17	S	1	PD31OCT17	6377.50	6858.27	USD	-12,019.25	
31JUL17	S	1	PD31OCT17	6375.50	6858.27	USD	-12,069.25	
31JUL17	B	2	PD31OCT17	6371.00	6858.27	USD	24,363.50	
31JUL17	B	2	PD31OCT17	6374.50	6858.27	USD	24,188.50	
31JUL17	B	3	PD31OCT17	6374.00	6858.27	USD	36,320.25	
31JUL17	S	3	PD31OCT17	6374.00	6858.27	USD	-36,320.25	
31JUL17	B	5	PD31OCT17	6375.00	6858.27	USD	60,408.75	
31JUL17	B	6	PD31OCT17	6376.50	6858.27	USD	72,265.50	
01AUG17	B	15	PD31OCT17	6344.90	6858.27	USD	192,513.75	
07AUG17	B	40	PD31OCT17	6411.14	6858.27	USD	447,130.00	
	NET	0AVG BGHT 6390.22	SOLD 6378.52				-47,377.50	0.00
01AUG17	B	5	PD01NOV17	6381.00	6859.05	USD	59,756.25	
01AUG17	B	3	PD01NOV17	6383.00	6859.05	USD	35,703.75	
01AUG17	B	1	PD01NOV17	6381.50	6859.05	USD	11,938.75	
01AUG17	B	2	PD01NOV17	6380.50	6859.05	USD	23,927.50	
01AUG17	B	1	PD01NOV17	6379.50	6859.05	USD	11,988.75	
01AUG17	B	1	PD01NOV17	6379.00	6859.05	USD	12,001.25	
01AUG17	B	2	PD01NOV17	6378.00	6859.05	USD	24,052.50	
01AUG17	B	1	PD01NOV17	6376.50	6859.05	USD	12,063.75	
01AUG17	B	1	PD01NOV17	6376.00	6859.05	USD	12,076.25	
01AUG17	B	4	PD01NOV17	6374.00	6859.05	USD	48,505.00	
01AUG17	B	2	PD01NOV17	6372.00	6859.05	USD	24,352.50	
01AUG17	B	5	PD01NOV17	6373.00	6859.05	USD	60,756.25	
01AUG17	B	2	PD01NOV17	6366.50	6859.05	USD	24,627.50	
01AUG17	B	1	PD01NOV17	6364.00	6859.05	USD	12,376.25	
01AUG17	B	1	PD01NOV17	6363.00	6859.05	USD	12,401.25	
01AUG17	B	1	PD01NOV17	6365.00	6859.05	USD	12,351.25	
01AUG17	B	1	PD01NOV17	6365.50	6859.05	USD	12,338.75	
01AUG17	B	1	PD01NOV17	6367.50	6859.05	USD	12,288.75	
01AUG17	B	2	PD01NOV17	6368.50	6859.05	USD	24,527.50	
01AUG17	S	1	PD01NOV17	6364.00	6859.05	USD	-12,376.25	
01AUG17	S	1	PD01NOV17	6363.00	6859.05	USD	-12,401.25	
01AUG17	S	1	PD01NOV17	6360.00	6859.05	USD	-12,476.25	
01AUG17	S	1	PD01NOV17	6352.00	6859.05	USD	-12,676.25	
01AUG17	S	2	PD01NOV17	6353.50	6859.05	USD	-25,277.50	
01AUG17	S	1	PD01NOV17	6354.50	6859.05	USD	-12,613.75	
01AUG17	S	7	PD01NOV17	6354.00	6859.05	USD	-88,383.75	
01AUG17	B	1	PD01NOV17	6351.50	6859.05	USD	12,688.75	
01AUG17	B	1	PD01NOV17	6350.50	6859.05	USD	12,713.75	
01AUG17	B	2	PD01NOV17	6356.00	6859.05	USD	25,152.50	
01AUG17	B	1	PD01NOV17	6354.50	6859.05	USD	12,613.75	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0097L

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

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FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
01AUG17	B	3	PD01NOV17	6353.00	6859.05	USD	37,953.75	
01AUG17	B	3	PD01NOV17	6359.50	6859.05	USD	37,466.25	
01AUG17	B	1	PD01NOV17	6360.50	6859.05	USD	12,463.75	
01AUG17	B	2	PD01NOV17	6361.00	6859.05	USD	24,902.50	
01AUG17	B	3	PD01NOV17	6359.00	6859.05	USD	37,503.75	
01AUG17	B	1	PD01NOV17	6357.50	6859.05	USD	12,538.75	
01AUG17	B	3	PD01NOV17	6352.00	6859.05	USD	38,028.75	
01AUG17	B	1	PD01NOV17	6358.50	6859.05	USD	12,513.75	
01AUG17	B	5	PD01NOV17	6355.50	6859.05	USD	62,943.75	
01AUG17	B	5	PD01NOV17	6348.50	6859.05	USD	63,818.75	
01AUG17	B	3	PD01NOV17	6350.00	6859.05	USD	38,178.75	
01AUG17	B	2	PD01NOV17	6342.00	6859.05	USD	25,852.50	
01AUG17	B	4	PD01NOV17	6345.00	6859.05	USD	51,405.00	
01AUG17	B	1	PD01NOV17	6346.50	6859.05	USD	12,813.75	
01AUG17	B	4	PD01NOV17	6338.50	6859.05	USD	52,055.00	
01AUG17	B	1	PD01NOV17	6338.00	6859.05	USD	13,026.25	
01AUG17	B	4	PD01NOV17	6334.50	6859.05	USD	52,455.00	
01AUG17	B	3	PD01NOV17	6333.50	6859.05	USD	39,416.25	
01AUG17	B	2	PD01NOV17	6331.50	6859.05	USD	26,377.50	
01AUG17	B	1	PD01NOV17	6336.50	6859.05	USD	13,063.75	
01AUG17	B	13	PD01NOV17	6336.00	6859.05	USD	169,991.25	
01AUG17	B	1	PD01NOV17	6376.50	6859.05	USD	12,063.75	
01AUG17	B	1	PD01NOV17	6348.50	6859.05	USD	12,763.75	
01AUG17	B	1	PD01NOV17	6359.50	6859.05	USD	12,488.75	
01AUG17	B	9	PD01NOV17	6333.00	6859.05	USD	118,361.25	
01AUG17	B	1	PD01NOV17	6335.00	6859.05	USD	13,101.25	
01AUG17	B	2	PD01NOV17	6334.00	6859.05	USD	26,252.50	
01AUG17	B	1	PD01NOV17	6337.50	6859.05	USD	13,038.75	
01AUG17	B	4	PD01NOV17	6341.00	6859.05	USD	51,805.00	
01AUG17	B	4	PD01NOV17	6340.50	6859.05	USD	51,855.00	
01AUG17	B	13	PD01NOV17	6354.00	6859.05	USD	164,141.25	
01AUG17	S	6	PD01NOV17	6357.00	6859.05	USD	-75,307.50	
01AUG17	S	1	PD01NOV17	6358.00	6859.05	USD	-12,526.25	
01AUG17	S	3	PD01NOV17	6357.50	6859.05	USD	-37,616.25	
01AUG17	S	4	PD01NOV17	6356.50	6859.05	USD	-50,255.00	
01AUG17	S	2	PD01NOV17	6355.00	6859.05	USD	-25,202.50	
01AUG17	S	9	PD01NOV17	6356.00	6859.05	USD	-113,186.25	
01AUG17	S	4	PD01NOV17	6355.50	6859.05	USD	-50,355.00	
01AUG17	S	7	PD01NOV17	6353.00	6859.05	USD	-88,558.75	
01AUG17	S	2	PD01NOV17	6349.00	6859.05	USD	-25,502.50	
01AUG17	S	1	PD01NOV17	6347.00	6859.05	USD	-12,801.25	
01AUG17	S	1	PD01NOV17	6347.50	6859.05	USD	-12,788.75	
01AUG17	S	5	PD01NOV17	6343.50	6859.05	USD	-64,443.75	
01AUG17	S	1	PD01NOV17	6336.00	6859.05	USD	-13,076.25	
01AUG17	S	2	PD01NOV17	6336.50	6859.05	USD	-26,127.50	
01AUG17	S	1	PD01NOV17	6337.50	6859.05	USD	-13,038.75	
01AUG17	S	9	PD01NOV17	6337.00	6859.05	USD	-117,461.25	
01AUG17	S	5	PD01NOV17	6334.00	6859.05	USD	-65,631.25	
01AUG17	B	1	PD01NOV17	6328.00	6859.05	USD	13,276.25	
01AUG17	B	1	PD01NOV17	6327.50	6859.05	USD	13,288.75	
01AUG17	B	2	PD01NOV17	6326.50	6859.05	USD	26,627.50	
01AUG17	B	1	PD01NOV17	6325.50	6859.05	USD	13,338.75	
01AUG17	S	1	PD01NOV17	6338.50	6859.05	USD	-13,013.75	
01AUG17	S	1	PD01NOV17	6335.00	6859.05	USD	-13,101.25	
01AUG17	S	2	PD01NOV17	6339.50	6859.05	USD	-25,977.50	
01AUG17	S	1	PD01NOV17	6341.00	6859.05	USD	-12,951.25	
01AUG17	S	2	PD01NOV17	6340.50	6859.05	USD	-25,927.50	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0097L

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

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Date	Open Position				Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>								
01AUG17	S	1	PD01NOV17		6341.50	6859.05	USD	-12,938.75	
01AUG17	B	4	PD01NOV17		6352.50	6859.05	USD	50,655.00	
01AUG17	B	5	PD01NOV17		6358.00	6859.05	USD	62,631.25	
01AUG17	B	6	PD01NOV17		6357.00	6859.05	USD	75,307.50	
01AUG17	B	5	PD01NOV17		6356.50	6859.05	USD	62,818.75	
01AUG17	B	10	PD01NOV17		6355.00	6859.05	USD	126,012.50	
01AUG17	B	3	PD01NOV17		6353.50	6859.05	USD	37,916.25	
01AUG17	S	6	PD01NOV17		6348.00	6859.05	USD	-76,657.50	
01AUG17	S	2	PD01NOV17		6346.00	6859.05	USD	-25,652.50	
01AUG17	S	4	PD01NOV17		6348.50	6859.05	USD	-51,055.00	
01AUG17	B	2	PD01NOV17		6343.00	6859.05	USD	25,802.50	
01AUG17	B	1	PD01NOV17		6344.00	6859.05	USD	12,876.25	
01AUG17	B	4	PD01NOV17		6341.50	6859.05	USD	51,755.00	
01AUG17	B	3	PD01NOV17		6339.50	6859.05	USD	38,966.25	
07AUG17	S	40	PD01NOV17		6411.35	6859.05	USD	-447,700.00	
07AUG17	S	55	PD01NOV17		6411.55	6859.05	USD	-615,312.50	
	NET	0 AVG BGHT 6352.15	SOLD 6379.81					132,743.75	0.00
02AUG17	S	1	PD02NOV17		6316.00	6859.82	USD	-13,595.50	
02AUG17	S	1	PD02NOV17		6317.00	6859.82	USD	-13,570.50	
02AUG17	S	1	PD02NOV17		6313.50	6859.82	USD	-13,658.00	
02AUG17	S	1	PD02NOV17		6314.00	6859.82	USD	-13,645.50	
02AUG17	S	1	PD02NOV17		6321.00	6859.82	USD	-13,470.50	
02AUG17	S	1	PD02NOV17		6315.50	6859.82	USD	-13,608.00	
02AUG17	S	1	PD02NOV17		6313.00	6859.82	USD	-13,670.50	
02AUG17	S	2	PD02NOV17		6312.50	6859.82	USD	-27,366.00	
02AUG17	S	1	PD02NOV17		6314.50	6859.82	USD	-13,633.00	
02AUG17	S	6	PD02NOV17		6315.00	6859.82	USD	-81,723.00	
02AUG17	S	1	PD02NOV17		6317.50	6859.82	USD	-13,558.00	
02AUG17	S	1	PD02NOV17		6316.50	6859.82	USD	-13,583.00	
02AUG17	S	2	PD02NOV17		6319.50	6859.82	USD	-27,016.00	
02AUG17	S	3	PD02NOV17		6319.00	6859.82	USD	-40,561.50	
02AUG17	S	2	PD02NOV17		6318.00	6859.82	USD	-27,091.00	
02AUG17	S	1	PD02NOV17		6324.50	6859.82	USD	-13,383.00	
02AUG17	S	1	PD02NOV17		6325.00	6859.82	USD	-13,370.50	
02AUG17	S	1	PD02NOV17		6323.00	6859.82	USD	-13,420.50	
02AUG17	S	5	PD02NOV17		6325.50	6859.82	USD	-66,790.00	
02AUG17	S	1	PD02NOV17		6326.00	6859.82	USD	-13,345.50	
02AUG17	S	2	PD02NOV17		6330.00	6859.82	USD	-26,491.00	
02AUG17	S	13	PD02NOV17		6326.50	6859.82	USD	-173,329.00	
02AUG17	S	1	PD02NOV17		6331.50	6859.82	USD	-13,208.00	
02AUG17	S	2	PD02NOV17		6329.00	6859.82	USD	-26,541.00	
02AUG17	S	8	PD02NOV17		6327.50	6859.82	USD	-106,464.00	
02AUG17	S	5	PD02NOV17		6328.00	6859.82	USD	-66,477.50	
02AUG17	S	5	PD02NOV17		6328.50	6859.82	USD	-66,415.00	
02AUG17	S	3	PD02NOV17		6331.00	6859.82	USD	-39,661.50	
02AUG17	S	2	PD02NOV17		6329.50	6859.82	USD	-26,516.00	
02AUG17	S	3	PD02NOV17		6330.50	6859.82	USD	-39,699.00	
02AUG17	B	1	PD02NOV17		6330.50	6859.82	USD	13,233.00	
02AUG17	S	2	PD02NOV17		6339.50	6859.82	USD	-26,016.00	
02AUG17	B	1	PD02NOV17		6336.50	6859.82	USD	13,083.00	
02AUG17	B	2	PD02NOV17		6338.00	6859.82	USD	26,091.00	
02AUG17	B	2	PD02NOV17		6335.00	6859.82	USD	26,241.00	
02AUG17	B	2	PD02NOV17		6333.00	6859.82	USD	26,341.00	
02AUG17	B	1	PD02NOV17		6332.00	6859.82	USD	13,195.50	
02AUG17	B	1	PD02NOV17		6330.00	6859.82	USD	13,245.50	
02AUG17	B	3	PD02NOV17		6329.00	6859.82	USD	39,811.50	
02AUG17	B	3	PD02NOV17		6327.00	6859.82	USD	39,961.50	

OPEN POSITION STATEMENT



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SAP0097L

13th October 2017

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
02AUG17	B	1	PD02NOV17	6326.00	6859.82	USD	13,345.50	
02AUG17	B	3	PD02NOV17	6325.50	6859.82	USD	40,074.00	
02AUG17	B	1	PD02NOV17	6324.50	6859.82	USD	13,383.00	
02AUG17	B	6	PD02NOV17	6325.00	6859.82	USD	80,223.00	
02AUG17	B	5	PD02NOV17	6327.50	6859.82	USD	66,540.00	
02AUG17	B	2	PD02NOV17	6326.50	6859.82	USD	26,666.00	
02AUG17	B	1	PD02NOV17	6329.50	6859.82	USD	13,258.00	
02AUG17	B	9	PD02NOV17	6328.50	6859.82	USD	119,547.00	
02AUG17	B	11	PD02NOV17	6328.00	6859.82	USD	146,250.50	
02AUG17	B	1	PD02NOV17	6331.50	6859.82	USD	13,208.00	
02AUG17	B	1	PD02NOV17	6330.00	6859.82	USD	13,245.50	
02AUG17	S	2	PD02NOV17	6317.00	6859.82	USD	-27,141.00	
02AUG17	S	2	PD02NOV17	6326.50	6859.82	USD	-26,666.00	
02AUG17	S	1	PD02NOV17	6326.00	6859.82	USD	-13,345.50	
02AUG17	S	2	PD02NOV17	6323.00	6859.82	USD	-26,841.00	
02AUG17	S	1	PD02NOV17	6342.00	6859.82	USD	-12,945.50	
02AUG17	B	6	PD02NOV17	6333.50	6859.82	USD	78,948.00	
02AUG17	B	2	PD02NOV17	6338.50	6859.82	USD	26,066.00	
02AUG17	B	1	PD02NOV17	6337.50	6859.82	USD	13,058.00	
02AUG17	B	1	PD02NOV17	6337.00	6859.82	USD	13,070.50	
02AUG17	B	1	PD02NOV17	6345.00	6859.82	USD	12,870.50	
02AUG17	B	1	PD02NOV17	6345.50	6859.82	USD	12,858.00	
02AUG17	B	5	PD02NOV17	6343.00	6859.82	USD	64,602.50	
02AUG17	B	4	PD02NOV17	6342.50	6859.82	USD	51,732.00	
02AUG17	B	1	PD02NOV17	6336.00	6859.82	USD	13,095.50	
02AUG17	B	4	PD02NOV17	6334.00	6859.82	USD	52,582.00	
02AUG17	B	1	PD02NOV17	6339.50	6859.82	USD	13,008.00	
02AUG17	B	4	PD02NOV17	6340.00	6859.82	USD	51,982.00	
02AUG17	B	5	PD02NOV17	6339.00	6859.82	USD	65,102.50	
02AUG17	S	1	PD02NOV17	6354.00	6859.82	USD	-12,645.50	
02AUG17	B	1	PD02NOV17	6356.50	6859.82	USD	12,583.00	
02AUG17	B	4	PD02NOV17	6357.50	6859.82	USD	50,232.00	
02AUG17	B	1	PD02NOV17	6359.00	6859.82	USD	12,520.50	
02AUG17	B	2	PD02NOV17	6360.50	6859.82	USD	24,966.00	
02AUG17	B	12	PD02NOV17	6361.00	6859.82	USD	149,646.00	
02AUG17	B	1	PD02NOV17	6365.00	6859.82	USD	12,370.50	
02AUG17	B	1	PD02NOV17	6364.00	6859.82	USD	12,395.50	
02AUG17	S	1	PD02NOV17	6363.00	6859.82	USD	-12,420.50	
02AUG17	S	1	PD02NOV17	6364.50	6859.82	USD	-12,383.00	
02AUG17	S	3	PD02NOV17	6362.00	6859.82	USD	-37,336.50	
02AUG17	S	2	PD02NOV17	6359.00	6859.82	USD	-25,041.00	
02AUG17	B	1	PD02NOV17	6357.00	6859.82	USD	12,570.50	
02AUG17	B	1	PD02NOV17	6356.00	6859.82	USD	12,595.50	
02AUG17	B	3	PD02NOV17	6348.50	6859.82	USD	38,349.00	
02AUG17	S	1	PD02NOV17	6352.00	6859.82	USD	-12,695.50	
02AUG17	S	11	PD02NOV17	6353.00	6859.82	USD	-139,375.50	
02AUG17	S	2	PD02NOV17	6352.50	6859.82	USD	-25,366.00	
07AUG17	S	10	PD02NOV17	6411.95	6859.82	USD	-111,967.50	
	NET	0	AVG BGHT 6338.44	SOLD 6337.47			-2,900.00	0.00
03AUG17	S	3	PD03NOV17	6336.00	6860.59	USD	-39,344.25	
03AUG17	S	4	PD03NOV17	6346.00	6860.59	USD	-51,459.00	
03AUG17	S	1	PD03NOV17	6347.00	6860.59	USD	-12,839.75	
03AUG17	S	1	PD03NOV17	6345.50	6860.59	USD	-12,877.25	
03AUG17	S	4	PD03NOV17	6345.00	6860.59	USD	-51,559.00	
03AUG17	S	4	PD03NOV17	6344.50	6860.59	USD	-51,609.00	
03AUG17	S	2	PD03NOV17	6347.50	6860.59	USD	-25,654.50	
03AUG17	S	1	PD03NOV17	6349.50	6860.59	USD	-12,777.25	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0097L

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
03AUG17	S	2	PD03NOV17	6338.50	6860.59	USD	-26,104.50	
03AUG17	B	1	PD03NOV17	6337.00	6860.59	USD	13,089.75	
03AUG17	S	2	PD03NOV17	6321.00	6860.59	USD	-26,979.50	
03AUG17	S	2	PD03NOV17	6323.00	6860.59	USD	-26,879.50	
03AUG17	S	1	PD03NOV17	6326.50	6860.59	USD	-13,352.25	
03AUG17	S	1	PD03NOV17	6327.50	6860.59	USD	-13,327.25	
03AUG17	S	2	PD03NOV17	6308.50	6860.59	USD	-27,604.50	
03AUG17	S	1	PD03NOV17	6307.50	6860.59	USD	-13,827.25	
03AUG17	S	3	PD03NOV17	6309.50	6860.59	USD	-41,331.75	
03AUG17	S	5	PD03NOV17	6308.00	6860.59	USD	-69,073.75	
03AUG17	S	3	PD03NOV17	6307.00	6860.59	USD	-41,519.25	
03AUG17	S	1	PD03NOV17	6312.50	6860.59	USD	-13,702.25	
03AUG17	S	1	PD03NOV17	6315.50	6860.59	USD	-13,627.25	
03AUG17	S	1	PD03NOV17	6318.00	6860.59	USD	-13,564.75	
03AUG17	S	4	PD03NOV17	6320.00	6860.59	USD	-54,059.00	
03AUG17	S	1	PD03NOV17	6314.00	6860.59	USD	-13,664.75	
03AUG17	S	4	PD03NOV17	6317.00	6860.59	USD	-54,359.00	
03AUG17	S	7	PD03NOV17	6319.00	6860.59	USD	-94,778.25	
03AUG17	S	2	PD03NOV17	6318.50	6860.59	USD	-27,104.50	
03AUG17	S	3	PD03NOV17	6319.50	6860.59	USD	-40,581.75	
03AUG17	S	2	PD03NOV17	6323.50	6860.59	USD	-26,854.50	
03AUG17	S	1	PD03NOV17	6322.50	6860.59	USD	-13,452.25	
03AUG17	S	1	PD03NOV17	6321.50	6860.59	USD	-13,477.25	
03AUG17	S	8	PD03NOV17	6320.50	6860.59	USD	-108,018.00	
03AUG17	S	1	PD03NOV17	6317.50	6860.59	USD	-13,577.25	
03AUG17	B	4	PD03NOV17	6319.50	6860.59	USD	54,109.00	
03AUG17	B	1	PD03NOV17	6314.50	6860.59	USD	13,652.25	
03AUG17	B	2	PD03NOV17	6318.50	6860.59	USD	27,104.50	
03AUG17	B	2	PD03NOV17	6314.00	6860.59	USD	27,329.50	
03AUG17	B	2	PD03NOV17	6317.50	6860.59	USD	27,154.50	
03AUG17	B	3	PD03NOV17	6315.50	6860.59	USD	40,881.75	
03AUG17	B	5	PD03NOV17	6318.00	6860.59	USD	67,823.75	
03AUG17	B	2	PD03NOV17	6316.50	6860.59	USD	27,204.50	
03AUG17	B	2	PD03NOV17	6313.50	6860.59	USD	27,354.50	
03AUG17	B	1	PD03NOV17	6311.00	6860.59	USD	13,739.75	
03AUG17	B	1	PD03NOV17	6310.00	6860.59	USD	13,764.75	
03AUG17	B	1	PD03NOV17	6311.50	6860.59	USD	13,727.25	
03AUG17	B	2	PD03NOV17	6312.50	6860.59	USD	27,404.50	
03AUG17	B	5	PD03NOV17	6312.00	6860.59	USD	68,573.75	
03AUG17	B	2	PD03NOV17	6313.00	6860.59	USD	27,379.50	
03AUG17	B	11	PD03NOV17	6319.00	6860.59	USD	148,937.25	
03AUG17	B	2	PD03NOV17	6322.00	6860.59	USD	26,929.50	
03AUG17	S	4	PD03NOV17	6322.00	6860.59	USD	-53,859.00	
03AUG17	S	1	PD03NOV17	6346.00	6860.59	USD	-12,864.75	
03AUG17	S	1	PD03NOV17	6351.50	6860.59	USD	-12,727.25	
03AUG17	S	1	PD03NOV17	6309.50	6860.59	USD	-13,777.25	
03AUG17	B	1	PD03NOV17	6330.00	6860.59	USD	13,264.75	
03AUG17	B	3	PD03NOV17	6329.00	6860.59	USD	39,869.25	
03AUG17	B	2	PD03NOV17	6331.50	6860.59	USD	26,454.50	
03AUG17	B	3	PD03NOV17	6330.50	6860.59	USD	39,756.75	
03AUG17	S	3	PD03NOV17	6341.00	6860.59	USD	-38,969.25	
03AUG17	S	2	PD03NOV17	6336.50	6860.59	USD	-26,204.50	
03AUG17	S	3	PD03NOV17	6342.00	6860.59	USD	-38,894.25	
03AUG17	S	1	PD03NOV17	6340.50	6860.59	USD	-13,002.25	
03AUG17	S	1	PD03NOV17	6332.50	6860.59	USD	-13,202.25	
03AUG17	S	1	PD03NOV17	6333.50	6860.59	USD	-13,177.25	
03AUG17	S	2	PD03NOV17	6334.50	6860.59	USD	-26,304.50	

OPEN POSITION STATEMENT



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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
03AUG17	S	3	PD03NOV17	6337.00	6860.59	USD	-39,269.25	
03AUG17	S	9	PD03NOV17	6338.00	6860.59	USD	-117,582.75	
03AUG17	S	7	PD03NOV17	6337.50	6860.59	USD	-91,540.75	
03AUG17	S	3	PD03NOV17	6346.50	6860.59	USD	-38,556.75	
03AUG17	S	3	PD03NOV17	6335.00	6860.59	USD	-39,419.25	
03AUG17	S	7	PD03NOV17	6335.50	6860.59	USD	-91,890.75	
03AUG17	S	5	PD03NOV17	6343.00	6860.59	USD	-64,698.75	
03AUG17	S	2	PD03NOV17	6348.50	6860.59	USD	-25,604.50	
03AUG17	S	1	PD03NOV17	6355.00	6860.59	USD	-12,639.75	
03AUG17	B	1	PD03NOV17	6350.00	6860.59	USD	12,764.75	
03AUG17	S	7	PD03NOV17	6344.00	6860.59	USD	-90,403.25	
03AUG17	S	2	PD03NOV17	6340.00	6860.59	USD	-26,029.50	
03AUG17	S	9	PD03NOV17	6339.50	6860.59	USD	-117,245.25	
03AUG17	S	7	PD03NOV17	6339.00	6860.59	USD	-91,278.25	
03AUG17	B	4	PD03NOV17	6344.00	6860.59	USD	51,659.00	
03AUG17	B	1	PD03NOV17	6345.00	6860.59	USD	12,889.75	
04AUG17	B	1	PD03NOV17	6352.50	6860.59	USD	12,702.25	
04AUG17	B	2	PD03NOV17	6353.50	6860.59	USD	25,354.50	
04AUG17	B	2	PD03NOV17	6354.50	6860.59	USD	25,304.50	
04AUG17	B	5	PD03NOV17	6357.00	6860.59	USD	62,948.75	
04AUG17	B	6	PD03NOV17	6357.50	6860.59	USD	75,463.50	
04AUG17	B	3	PD03NOV17	6356.00	6860.59	USD	37,844.25	
04AUG17	B	3	PD03NOV17	6355.00	6860.59	USD	37,919.25	
04AUG17	B	1	PD03NOV17	6361.00	6860.59	USD	12,489.75	
04AUG17	B	1	PD03NOV17	6366.50	6860.59	USD	12,352.25	
04AUG17	B	2	PD03NOV17	6363.00	6860.59	USD	24,879.50	
04AUG17	B	2	PD03NOV17	6374.00	6860.59	USD	24,329.50	
04AUG17	B	2	PD03NOV17	6370.50	6860.59	USD	24,504.50	
04AUG17	B	3	PD03NOV17	6373.50	6860.59	USD	36,531.75	
04AUG17	B	1	PD03NOV17	6375.00	6860.59	USD	12,139.75	
04AUG17	S	2	PD03NOV17	6365.00	6860.59	USD	-24,779.50	
04AUG17	S	1	PD03NOV17	6364.00	6860.59	USD	-12,414.75	
04AUG17	S	1	PD03NOV17	6367.00	6860.59	USD	-12,339.75	
04AUG17	S	1	PD03NOV17	6369.50	6860.59	USD	-12,277.25	
04AUG17	S	3	PD03NOV17	6368.00	6860.59	USD	-36,944.25	
04AUG17	S	3	PD03NOV17	6366.00	6860.59	USD	-37,094.25	
04AUG17	S	4	PD03NOV17	6362.50	6860.59	USD	-49,809.00	
04AUG17	S	3	PD03NOV17	6364.50	6860.59	USD	-37,206.75	
04AUG17	S	4	PD03NOV17	6363.50	6860.59	USD	-49,709.00	
04AUG17	S	6	PD03NOV17	6368.50	6860.59	USD	-73,813.50	
04AUG17	S	1	PD03NOV17	6369.00	6860.59	USD	-12,289.75	
04AUG17	S	4	PD03NOV17	6362.00	6860.59	USD	-49,859.00	
04AUG17	S	2	PD03NOV17	6366.50	6860.59	USD	-24,704.50	
04AUG17	S	15	PD03NOV17	6365.50	6860.59	USD	-185,658.75	
04AUG17	S	2	PD03NOV17	6358.50	6860.59	USD	-25,104.50	
04AUG17	S	3	PD03NOV17	6357.00	6860.59	USD	-37,769.25	
04AUG17	B	1	PD03NOV17	6362.00	6860.59	USD	12,464.75	
04AUG17	B	9	PD03NOV17	6358.00	6860.59	USD	113,082.75	
04AUG17	B	11	PD03NOV17	6358.50	6860.59	USD	138,074.75	
04AUG17	B	1	PD03NOV17	6356.00	6860.59	USD	12,614.75	
04AUG17	S	1	PD03NOV17	6362.00	6860.59	USD	-12,464.75	
04AUG17	S	1	PD03NOV17	6361.50	6860.59	USD	-12,477.25	
04AUG17	S	1	PD03NOV17	6357.00	6860.59	USD	-12,589.75	
04AUG17	B	7	PD03NOV17	6359.50	6860.59	USD	87,690.75	
04AUG17	S	7	PD03NOV17	6358.00	6860.59	USD	-87,953.25	
04AUG17	B	3	PD03NOV17	6360.50	6860.59	USD	37,506.75	
04AUG17	B	1	PD03NOV17	6361.50	6860.59	USD	12,477.25	

OPEN POSITION STATEMENT



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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
04AUG17	B	2	PD03NOV17	6362.50	6860.59	USD	24,904.50	
04AUG17	B	3	PD03NOV17	6365.00	6860.59	USD	37,169.25	
04AUG17	B	2	PD03NOV17	6366.00	6860.59	USD	24,729.50	
04AUG17	B	3	PD03NOV17	6364.00	6860.59	USD	37,244.25	
04AUG17	B	1	PD03NOV17	6363.50	6860.59	USD	12,427.25	
04AUG17	B	11	PD03NOV17	6360.00	6860.59	USD	137,662.25	
04AUG17	B	9	PD03NOV17	6359.00	6860.59	USD	112,857.75	
04AUG17	S	1	PD03NOV17	6344.00	6860.59	USD	-12,914.75	
04AUG17	S	2	PD03NOV17	6345.00	6860.59	USD	-25,779.50	
04AUG17	S	1	PD03NOV17	6340.50	6860.59	USD	-13,002.25	
04AUG17	S	1	PD03NOV17	6333.50	6860.59	USD	-13,177.25	
04AUG17	S	1	PD03NOV17	6335.00	6860.59	USD	-13,139.75	
04AUG17	S	2	PD03NOV17	6334.50	6860.59	USD	-26,304.50	
04AUG17	S	6	PD03NOV17	6335.50	6860.59	USD	-78,763.50	
04AUG17	S	2	PD03NOV17	6339.50	6860.59	USD	-26,054.50	
04AUG17	S	4	PD03NOV17	6336.50	6860.59	USD	-52,409.00	
04AUG17	B	1	PD03NOV17	6341.50	6860.59	USD	12,977.25	
04AUG17	B	2	PD03NOV17	6348.50	6860.59	USD	25,604.50	
04AUG17	B	3	PD03NOV17	6349.00	6860.59	USD	38,369.25	
04AUG17	S	1	PD03NOV17	6319.50	6860.59	USD	-13,527.25	
04AUG17	S	1	PD03NOV17	6321.50	6860.59	USD	-13,477.25	
04AUG17	S	3	PD03NOV17	6320.50	6860.59	USD	-40,506.75	
04AUG17	S	1	PD03NOV17	6322.00	6860.59	USD	-13,464.75	
04AUG17	S	3	PD03NOV17	6323.00	6860.59	USD	-40,319.25	
04AUG17	S	6	PD03NOV17	6324.00	6860.59	USD	-80,488.50	
04AUG17	S	3	PD03NOV17	6323.50	6860.59	USD	-40,281.75	
04AUG17	S	2	PD03NOV17	6324.50	6860.59	USD	-26,804.50	
04AUG17	S	1	PD03NOV17	6325.50	6860.59	USD	-13,377.25	
04AUG17	S	3	PD03NOV17	6328.50	6860.59	USD	-39,906.75	
04AUG17	S	1	PD03NOV17	6332.00	6860.59	USD	-13,214.75	
04AUG17	B	1	PD03NOV17	6384.00	6860.59	USD	11,914.75	
04AUG17	B	7	PD03NOV17	6384.50	6860.59	USD	83,315.75	
04AUG17	B	1	PD03NOV17	6383.50	6860.59	USD	11,927.25	
04AUG17	B	3	PD03NOV17	6383.00	6860.59	USD	35,819.25	
04AUG17	B	8	PD03NOV17	6385.00	6860.59	USD	95,118.00	
04AUG17	B	6	PD03NOV17	6367.00	6860.59	USD	74,038.50	
04AUG17	B	5	PD03NOV17	6365.50	6860.59	USD	61,886.25	
04AUG17	S	11	PD03NOV17	6363.00	6860.59	USD	-136,837.25	
04AUG17	S	4	PD03NOV17	6357.50	6860.59	USD	-50,309.00	
04AUG17	S	1	PD03NOV17	6355.50	6860.59	USD	-12,627.25	
04AUG17	S	1	PD03NOV17	6355.00	6860.59	USD	-12,639.75	
04AUG17	S	1	PD03NOV17	6354.00	6860.59	USD	-12,664.75	
04AUG17	S	1	PD03NOV17	6360.50	6860.59	USD	-12,502.25	
04AUG17	S	8	PD03NOV17	6359.50	6860.59	USD	-100,218.00	
04AUG17	S	4	PD03NOV17	6361.00	6860.59	USD	-49,959.00	
04AUG17	S	4	PD03NOV17	6361.50	6860.59	USD	-49,909.00	
04AUG17	S	14	PD03NOV17	6360.00	6860.59	USD	-175,206.50	
04AUG17	S	6	PD03NOV17	6359.00	6860.59	USD	-75,238.50	
07AUG17	B	65	PD03NOV17	6412.56	6860.59	USD	728,048.75	
10AUG17	B	65	PD03NOV17	6420.13	6860.59	USD	715,747.50	
	NET	0 AVG BGHT 6376.30	SOLD 6342.61				-277,108.75	0.00
07AUG17	S	1	PD07NOV17	6360.50	6863.68	USD	-12,579.50	
07AUG17	S	1	PD07NOV17	6370.50	6863.68	USD	-12,329.50	
07AUG17	S	4	PD07NOV17	6371.50	6863.68	USD	-49,218.00	
07AUG17	S	8	PD07NOV17	6370.00	6863.68	USD	-98,736.00	
07AUG17	S	6	PD07NOV17	6366.50	6863.68	USD	-74,577.00	
07AUG17	S	5	PD07NOV17	6372.00	6863.68	USD	-61,460.00	

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07AUG17	B	4	PD07NOV17	6363.50	6863.68	USD	50,018.00	
07AUG17	B	10	PD07NOV17	6364.00	6863.68	USD	124,920.00	
07AUG17	B	6	PD07NOV17	6364.50	6863.68	USD	74,877.00	
07AUG17	B	2	PD07NOV17	6365.00	6863.68	USD	24,934.00	
07AUG17	B	3	PD07NOV17	6366.50	6863.68	USD	37,288.50	
07AUG17	B	5	PD07NOV17	6367.50	6863.68	USD	62,022.50	
07AUG17	S	1	PD07NOV17	6386.50	6863.68	USD	-11,929.50	
07AUG17	S	4	PD07NOV17	6385.50	6863.68	USD	-47,818.00	
07AUG17	S	1	PD07NOV17	6386.00	6863.68	USD	-11,942.00	
07AUG17	S	1	PD07NOV17	6397.50	6863.68	USD	-11,654.50	
07AUG17	B	1	PD07NOV17	6407.00	6863.68	USD	11,417.00	
07AUG17	B	3	PD07NOV17	6407.50	6863.68	USD	34,213.50	
07AUG17	B	2	PD07NOV17	6410.00	6863.68	USD	22,684.00	
07AUG17	B	1	PD07NOV17	6416.50	6863.68	USD	11,179.50	
07AUG17	B	2	PD07NOV17	6421.50	6863.68	USD	22,109.00	
07AUG17	B	1	PD07NOV17	6428.00	6863.68	USD	10,892.00	
07AUG17	B	1	PD07NOV17	6438.00	6863.68	USD	10,642.00	
07AUG17	B	1	PD07NOV17	6443.50	6863.68	USD	10,504.50	
07AUG17	B	1	PD07NOV17	6443.00	6863.68	USD	10,517.00	
07AUG17	B	1	PD07NOV17	6441.50	6863.68	USD	10,554.50	
07AUG17	B	4	PD07NOV17	6441.00	6863.68	USD	42,268.00	
07AUG17	B	1	PD07NOV17	6439.00	6863.68	USD	10,617.00	
07AUG17	B	2	PD07NOV17	6442.00	6863.68	USD	21,084.00	
07AUG17	B	3	PD07NOV17	6440.00	6863.68	USD	31,776.00	
07AUG17	B	3	PD07NOV17	6438.50	6863.68	USD	31,888.50	
07AUG17	B	2	PD07NOV17	6436.50	6863.68	USD	21,359.00	
07AUG17	B	2	PD07NOV17	6434.50	6863.68	USD	21,459.00	
07AUG17	B	2	PD07NOV17	6430.00	6863.68	USD	21,684.00	
07AUG17	B	3	PD07NOV17	6428.50	6863.68	USD	32,638.50	
07AUG17	B	2	PD07NOV17	6429.00	6863.68	USD	21,734.00	
07AUG17	B	1	PD07NOV17	6426.50	6863.68	USD	10,929.50	
07AUG17	B	3	PD07NOV17	6425.50	6863.68	USD	32,863.50	
07AUG17	B	6	PD07NOV17	6425.00	6863.68	USD	65,802.00	
07AUG17	B	1	PD07NOV17	6427.50	6863.68	USD	10,904.50	
07AUG17	S	2	PD07NOV17	6419.00	6863.68	USD	-22,234.00	
07AUG17	S	2	PD07NOV17	6418.50	6863.68	USD	-22,259.00	
07AUG17	S	2	PD07NOV17	6417.50	6863.68	USD	-22,309.00	
07AUG17	S	2	PD07NOV17	6416.50	6863.68	USD	-22,359.00	
07AUG17	S	3	PD07NOV17	6416.00	6863.68	USD	-33,576.00	
07AUG17	S	1	PD07NOV17	6415.50	6863.68	USD	-11,204.50	
07AUG17	S	4	PD07NOV17	6415.00	6863.68	USD	-44,868.00	
07AUG17	S	1	PD07NOV17	6414.50	6863.68	USD	-11,229.50	
07AUG17	S	1	PD07NOV17	6411.00	6863.68	USD	-11,317.00	
07AUG17	S	2	PD07NOV17	6409.00	6863.68	USD	-22,734.00	
07AUG17	S	1	PD07NOV17	6404.50	6863.68	USD	-11,479.50	
07AUG17	S	1	PD07NOV17	6403.50	6863.68	USD	-11,504.50	
07AUG17	S	1	PD07NOV17	6407.00	6863.68	USD	-11,417.00	
07AUG17	S	1	PD07NOV17	6406.50	6863.68	USD	-11,429.50	
07AUG17	S	5	PD07NOV17	6408.00	6863.68	USD	-56,960.00	
07AUG17	S	2	PD07NOV17	6404.00	6863.68	USD	-22,984.00	
07AUG17	S	1	PD07NOV17	6396.00	6863.68	USD	-11,692.00	
07AUG17	S	3	PD07NOV17	6403.00	6863.68	USD	-34,551.00	
07AUG17	S	2	PD07NOV17	6405.00	6863.68	USD	-22,934.00	
07AUG17	S	2	PD07NOV17	6421.00	6863.68	USD	-22,134.00	
07AUG17	S	1	PD07NOV17	6412.50	6863.68	USD	-11,279.50	
07AUG17	S	1	PD07NOV17	6410.00	6863.68	USD	-11,342.00	
07AUG17	S	1	PD07NOV17	6410.50	6863.68	USD	-11,329.50	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0097L

13th October 2017

Sapien Capital Limited
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London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

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FINANCIAL CONDUCT AUTHORITY

Date	Open Position				Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>								
07AUG17	S	2	PD07NOV17		6411.50	6863.68	USD	-22,609.00	
07AUG17	S	3	PD07NOV17		6406.00	6863.68	USD	-34,326.00	
	NET	0AVG	BGHT 6405.06	SOLD 6395.68				-18,525.00	0.00
08AUG17	S	2	PD08NOV17		6393.50	6864.45	USD	-23,547.50	
08AUG17	S	1	PD08NOV17		6392.50	6864.45	USD	-11,798.75	
08AUG17	S	1	PD08NOV17		6394.50	6864.45	USD	-11,748.75	
08AUG17	S	2	PD08NOV17		6394.00	6864.45	USD	-23,522.50	
08AUG17	S	3	PD08NOV17		6393.00	6864.45	USD	-35,358.75	
08AUG17	S	1	PD08NOV17		6391.00	6864.45	USD	-11,836.25	
08AUG17	B	1	PD08NOV17		6396.00	6864.45	USD	11,711.25	
08AUG17	B	4	PD08NOV17		6400.00	6864.45	USD	46,445.00	
08AUG17	B	12	PD08NOV17		6399.50	6864.45	USD	139,485.00	
08AUG17	B	14	PD08NOV17		6398.50	6864.45	USD	163,082.50	
08AUG17	B	1	PD08NOV17		6392.50	6864.45	USD	11,798.75	
08AUG17	B	2	PD08NOV17		6394.00	6864.45	USD	23,522.50	
08AUG17	B	2	PD08NOV17		6394.50	6864.45	USD	23,497.50	
08AUG17	B	3	PD08NOV17		6395.50	6864.45	USD	35,171.25	
08AUG17	B	10	PD08NOV17		6397.50	6864.45	USD	116,737.50	
08AUG17	B	11	PD08NOV17		6396.50	6864.45	USD	128,686.25	
08AUG17	B	21	PD08NOV17		6399.00	6864.45	USD	244,361.25	
08AUG17	B	17	PD08NOV17		6398.00	6864.45	USD	198,241.25	
08AUG17	B	5	PD08NOV17		6397.00	6864.45	USD	58,431.25	
08AUG17	B	1	PD08NOV17		6401.50	6864.45	USD	11,573.75	
08AUG17	S	1	PD08NOV17		6408.50	6864.45	USD	-11,398.75	
08AUG17	S	2	PD08NOV17		6407.50	6864.45	USD	-22,847.50	
08AUG17	B	3	PD08NOV17		6402.00	6864.45	USD	34,683.75	
08AUG17	B	1	PD08NOV17		6402.50	6864.45	USD	11,548.75	
08AUG17	B	3	PD08NOV17		6401.00	6864.45	USD	34,758.75	
08AUG17	S	3	PD08NOV17		6406.50	6864.45	USD	-34,346.25	
08AUG17	S	1	PD08NOV17		6414.00	6864.45	USD	-11,261.25	
08AUG17	S	2	PD08NOV17		6417.50	6864.45	USD	-22,347.50	
08AUG17	S	3	PD08NOV17		6409.00	6864.45	USD	-34,158.75	
08AUG17	S	4	PD08NOV17		6410.00	6864.45	USD	-45,445.00	
08AUG17	S	1	PD08NOV17		6411.50	6864.45	USD	-11,323.75	
08AUG17	S	1	PD08NOV17		6415.00	6864.45	USD	-11,236.25	
08AUG17	S	1	PD08NOV17		6416.00	6864.45	USD	-11,211.25	
08AUG17	S	2	PD08NOV17		6404.50	6864.45	USD	-22,997.50	
08AUG17	S	1	PD08NOV17		6402.00	6864.45	USD	-11,561.25	
08AUG17	S	3	PD08NOV17		6403.00	6864.45	USD	-34,608.75	
08AUG17	S	3	PD08NOV17		6405.00	6864.45	USD	-34,458.75	
08AUG17	S	3	PD08NOV17		6404.00	6864.45	USD	-34,533.75	
08AUG17	S	1	PD08NOV17		6407.00	6864.45	USD	-11,436.25	
08AUG17	B	5	PD08NOV17		6404.00	6864.45	USD	57,556.25	
08AUG17	B	5	PD08NOV17		6418.50	6864.45	USD	55,743.75	
08AUG17	B	10	PD08NOV17		6419.00	6864.45	USD	111,362.50	
08AUG17	B	12	PD08NOV17		6419.50	6864.45	USD	133,485.00	
08AUG17	B	8	PD08NOV17		6420.00	6864.45	USD	88,890.00	
08AUG17	B	1	PD08NOV17		6423.50	6864.45	USD	11,023.75	
08AUG17	B	2	PD08NOV17		6425.50	6864.45	USD	21,947.50	
08AUG17	B	1	PD08NOV17		6425.00	6864.45	USD	10,986.25	
08AUG17	B	1	PD08NOV17		6426.00	6864.45	USD	10,961.25	
08AUG17	B	5	PD08NOV17		6434.50	6864.45	USD	53,743.75	
08AUG17	B	7	PD08NOV17		6437.50	6864.45	USD	74,716.25	
08AUG17	B	1	PD08NOV17		6436.00	6864.45	USD	10,711.25	
08AUG17	B	8	PD08NOV17		6434.00	6864.45	USD	86,090.00	
08AUG17	B	4	PD08NOV17		6433.00	6864.45	USD	43,145.00	
08AUG17	B	5	PD08NOV17		6437.00	6864.45	USD	53,431.25	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
08AUG17	B	1	PD08NOV17	6447.00	6864.45	USD	10,436.25	
08AUG17	B	1	PD08NOV17	6444.50	6864.45	USD	10,498.75	
08AUG17	B	1	PD08NOV17	6444.00	6864.45	USD	10,511.25	
08AUG17	B	1	PD08NOV17	6450.00	6864.45	USD	10,361.25	
08AUG17	B	1	PD08NOV17	6449.50	6864.45	USD	10,373.75	
08AUG17	B	2	PD08NOV17	6464.50	6864.45	USD	19,997.50	
08AUG17	B	2	PD08NOV17	6462.50	6864.45	USD	20,097.50	
08AUG17	B	2	PD08NOV17	6464.00	6864.45	USD	20,022.50	
08AUG17	B	1	PD08NOV17	6463.00	6864.45	USD	10,036.25	
08AUG17	B	1	PD08NOV17	6466.00	6864.45	USD	9,961.25	
08AUG17	B	2	PD08NOV17	6469.50	6864.45	USD	19,747.50	
08AUG17	B	4	PD08NOV17	6468.00	6864.45	USD	39,645.00	
08AUG17	B	5	PD08NOV17	6467.00	6864.45	USD	49,681.25	
08AUG17	B	2	PD08NOV17	6473.50	6864.45	USD	19,547.50	
08AUG17	B	1	PD08NOV17	6472.50	6864.45	USD	9,798.75	
08AUG17	B	1	PD08NOV17	6471.50	6864.45	USD	9,823.75	
08AUG17	B	1	PD08NOV17	6474.50	6864.45	USD	9,748.75	
08AUG17	B	1	PD08NOV17	6479.00	6864.45	USD	9,636.25	
08AUG17	S	1	PD08NOV17	6476.00	6864.45	USD	-9,711.25	
08AUG17	B	1	PD08NOV17	6399.00	6864.45	USD	11,636.25	
08AUG17	B	3	PD08NOV17	6483.00	6864.45	USD	28,608.75	
08AUG17	B	1	PD08NOV17	6487.50	6864.45	USD	9,423.75	
08AUG17	B	1	PD08NOV17	6486.50	6864.45	USD	9,448.75	
08AUG17	B	1	PD08NOV17	6489.50	6864.45	USD	9,373.75	
08AUG17	B	3	PD08NOV17	6488.50	6864.45	USD	28,196.25	
08AUG17	S	3	PD08NOV17	6488.50	6864.45	USD	-28,196.25	
08AUG17	B	1	PD08NOV17	6484.00	6864.45	USD	9,511.25	
08AUG17	B	2	PD08NOV17	6481.00	6864.45	USD	19,172.50	
08AUG17	B	5	PD08NOV17	6485.50	6864.45	USD	47,368.75	
08AUG17	B	5	PD08NOV17	6482.00	6864.45	USD	47,806.25	
08AUG17	B	3	PD08NOV17	6482.50	6864.45	USD	28,646.25	
08AUG17	S	1	PD08NOV17	6484.00	6864.45	USD	-9,511.25	
10AUG17	S	65	PD08NOV17	6421.98	6864.45	USD	-719,013.75	
10AUG17	S	75	PD08NOV17	6422.18	6864.45	USD	-829,256.25	
14AUG17	S	45	PD08NOV17	6394.87	6864.45	USD	-528,277.50	
21AUG17	S	10	PD08NOV17	6584.00	6864.45	USD	-70,112.50	
	NET	0	AVG BGHT 6423.68	SOLD 6421.96			-10,416.25	0.00
09AUG17	S	1	PD09NOV17	6505.50	6865.23	USD	-8,993.25	
09AUG17	S	1	PD09NOV17	6506.50	6865.23	USD	-8,968.25	
09AUG17	B	2	PD09NOV17	6505.50	6865.23	USD	17,986.50	
09AUG17	S	1	PD09NOV17	6498.00	6865.23	USD	-9,180.75	
09AUG17	S	3	PD09NOV17	6500.00	6865.23	USD	-27,392.25	
09AUG17	S	1	PD09NOV17	6497.00	6865.23	USD	-9,205.75	
09AUG17	S	1	PD09NOV17	6494.00	6865.23	USD	-9,280.75	
09AUG17	S	1	PD09NOV17	6480.00	6865.23	USD	-9,630.75	
09AUG17	S	2	PD09NOV17	6479.50	6865.23	USD	-19,286.50	
09AUG17	S	2	PD09NOV17	6477.50	6865.23	USD	-19,386.50	
09AUG17	B	5	PD09NOV17	6499.50	6865.23	USD	45,716.25	
09AUG17	B	4	PD09NOV17	6494.00	6865.23	USD	37,123.00	
09AUG17	B	1	PD09NOV17	6492.50	6865.23	USD	9,318.25	
09AUG17	B	1	PD09NOV17	6491.50	6865.23	USD	9,343.25	
09AUG17	B	1	PD09NOV17	6493.50	6865.23	USD	9,293.25	
09AUG17	B	3	PD09NOV17	6492.50	6865.23	USD	27,954.75	
09AUG17	B	3	PD09NOV17	6489.50	6865.23	USD	28,179.75	
09AUG17	B	2	PD09NOV17	6493.00	6865.23	USD	18,611.50	
09AUG17	S	4	PD09NOV17	6481.00	6865.23	USD	-38,423.00	
09AUG17	S	3	PD09NOV17	6482.00	6865.23	USD	-28,742.25	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
09AUG17	S	1	PD09NOV17	6483.00	6865.23	USD	-9,555.75	
09AUG17	B	2	PD09NOV17	6472.50	6865.23	USD	19,636.50	
09AUG17	B	1	PD09NOV17	6476.00	6865.23	USD	9,730.75	
09AUG17	S	9	PD09NOV17	6484.00	6865.23	USD	-85,776.75	
09AUG17	S	4	PD09NOV17	6486.50	6865.23	USD	-37,873.00	
09AUG17	S	3	PD09NOV17	6487.00	6865.23	USD	-28,367.25	
09AUG17	S	11	PD09NOV17	6485.00	6865.23	USD	-104,563.25	
09AUG17	S	5	PD09NOV17	6486.00	6865.23	USD	-47,403.75	
09AUG17	S	2	PD09NOV17	6490.50	6865.23	USD	-18,736.50	
09AUG17	S	7	PD09NOV17	6492.00	6865.23	USD	-65,315.25	
09AUG17	S	3	PD09NOV17	6491.00	6865.23	USD	-28,067.25	
09AUG17	S	1	PD09NOV17	6490.00	6865.23	USD	-9,380.75	
09AUG17	S	3	PD09NOV17	6489.00	6865.23	USD	-28,217.25	
09AUG17	S	3	PD09NOV17	6489.50	6865.23	USD	-28,179.75	
09AUG17	S	7	PD09NOV17	6488.50	6865.23	USD	-65,927.75	
09AUG17	S	1	PD09NOV17	6487.50	6865.23	USD	-9,443.25	
09AUG17	S	1	PD09NOV17	6488.00	6865.23	USD	-9,430.75	
09AUG17	S	3	PD09NOV17	6484.50	6865.23	USD	-28,554.75	
09AUG17	S	1	PD09NOV17	6483.50	6865.23	USD	-9,543.25	
09AUG17	S	1	PD09NOV17	6485.50	6865.23	USD	-9,493.25	
09AUG17	S	3	PD09NOV17	6480.50	6865.23	USD	-28,854.75	
09AUG17	S	5	PD09NOV17	6482.50	6865.23	USD	-47,841.25	
09AUG17	S	1	PD09NOV17	6459.50	6865.23	USD	-10,143.25	
09AUG17	B	1	PD09NOV17	6463.00	6865.23	USD	10,055.75	
09AUG17	B	1	PD09NOV17	6467.50	6865.23	USD	9,943.25	
09AUG17	B	2	PD09NOV17	6468.50	6865.23	USD	19,836.50	
09AUG17	B	2	PD09NOV17	6468.00	6865.23	USD	19,861.50	
09AUG17	S	1	PD09NOV17	6485.00	6865.23	USD	-9,505.75	
09AUG17	S	4	PD09NOV17	6444.00	6865.23	USD	-42,123.00	
09AUG17	S	2	PD09NOV17	6437.00	6865.23	USD	-21,411.50	
09AUG17	S	1	PD09NOV17	6438.50	6865.23	USD	-10,668.25	
09AUG17	S	1	PD09NOV17	6440.50	6865.23	USD	-10,618.25	
09AUG17	S	5	PD09NOV17	6439.50	6865.23	USD	-53,216.25	
09AUG17	S	1	PD09NOV17	6440.00	6865.23	USD	-10,630.75	
09AUG17	S	1	PD09NOV17	6447.00	6865.23	USD	-10,455.75	
09AUG17	B	1	PD09NOV17	6437.50	6865.23	USD	10,693.25	
09AUG17	B	1	PD09NOV17	6438.00	6865.23	USD	10,680.75	
09AUG17	B	2	PD09NOV17	6440.50	6865.23	USD	21,236.50	
09AUG17	B	1	PD09NOV17	6435.00	6865.23	USD	10,755.75	
09AUG17	S	1	PD09NOV17	6448.00	6865.23	USD	-10,430.75	
09AUG17	S	3	PD09NOV17	6451.50	6865.23	USD	-31,029.75	
09AUG17	S	1	PD09NOV17	6455.50	6865.23	USD	-10,243.25	
09AUG17	S	1	PD09NOV17	6457.50	6865.23	USD	-10,193.25	
09AUG17	S	3	PD09NOV17	6457.00	6865.23	USD	-30,617.25	
09AUG17	S	1	PD09NOV17	6454.50	6865.23	USD	-10,268.25	
09AUG17	B	1	PD09NOV17	6447.00	6865.23	USD	10,455.75	
09AUG17	B	1	PD09NOV17	6452.00	6865.23	USD	10,330.75	
09AUG17	B	2	PD09NOV17	6451.00	6865.23	USD	20,711.50	
09AUG17	B	2	PD09NOV17	6454.00	6865.23	USD	20,561.50	
09AUG17	B	3	PD09NOV17	6453.00	6865.23	USD	30,917.25	
09AUG17	B	1	PD09NOV17	6451.50	6865.23	USD	10,343.25	
09AUG17	S	1	PD09NOV17	6452.50	6865.23	USD	-10,318.25	
09AUG17	B	1	PD09NOV17	6454.00	6865.23	USD	10,280.75	
10AUG17	B	75	PD09NOV17	6422.79	6865.23	USD	829,575.00	
	NET	0	AVG BGHT 6442.56	SOLD 6478.05			108,243.75	0.00
10AUG17	B	2	PD10NOV17	6459.50	6866.00	USD	20,325.00	
10AUG17	B	1	PD10NOV17	6458.50	6866.00	USD	10,187.50	

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10AUG17	S	3	PD10NOV17	6455.00	6866.00	USD	-30,825.00	
10AUG17	S	2	PD10NOV17	6442.50	6866.00	USD	-21,175.00	
10AUG17	S	1	PD10NOV17	6437.50	6866.00	USD	-10,712.50	
10AUG17	S	2	PD10NOV17	6438.50	6866.00	USD	-21,375.00	
10AUG17	S	2	PD10NOV17	6439.50	6866.00	USD	-21,325.00	
10AUG17	S	4	PD10NOV17	6440.50	6866.00	USD	-42,550.00	
10AUG17	S	2	PD10NOV17	6451.50	6866.00	USD	-20,725.00	
10AUG17	S	2	PD10NOV17	6452.50	6866.00	USD	-20,675.00	
10AUG17	S	1	PD10NOV17	6461.00	6866.00	USD	-10,125.00	
10AUG17	S	2	PD10NOV17	6458.00	6866.00	USD	-20,400.00	
10AUG17	S	5	PD10NOV17	6455.50	6866.00	USD	-51,312.50	
10AUG17	S	5	PD10NOV17	6457.00	6866.00	USD	-51,125.00	
10AUG17	S	2	PD10NOV17	6458.50	6866.00	USD	-20,375.00	
10AUG17	B	1	PD10NOV17	6446.50	6866.00	USD	10,487.50	
10AUG17	B	2	PD10NOV17	6449.00	6866.00	USD	20,850.00	
10AUG17	B	3	PD10NOV17	6448.00	6866.00	USD	31,350.00	
10AUG17	B	1	PD10NOV17	6447.50	6866.00	USD	10,462.50	
10AUG17	S	1	PD10NOV17	6452.00	6866.00	USD	-10,350.00	
10AUG17	S	1	PD10NOV17	6451.00	6866.00	USD	-10,375.00	
10AUG17	S	3	PD10NOV17	6428.50	6866.00	USD	-32,812.50	
10AUG17	S	1	PD10NOV17	6422.00	6866.00	USD	-11,100.00	
10AUG17	S	1	PD10NOV17	6416.50	6866.00	USD	-11,237.50	
10AUG17	S	1	PD10NOV17	6411.50	6866.00	USD	-11,362.50	
10AUG17	S	1	PD10NOV17	6414.50	6866.00	USD	-11,287.50	
10AUG17	S	1	PD10NOV17	6415.50	6866.00	USD	-11,262.50	
10AUG17	S	2	PD10NOV17	6405.50	6866.00	USD	-23,025.00	
10AUG17	S	2	PD10NOV17	6402.00	6866.00	USD	-23,200.00	
10AUG17	S	1	PD10NOV17	6401.50	6866.00	USD	-11,612.50	
10AUG17	S	1	PD10NOV17	6402.50	6866.00	USD	-11,587.50	
10AUG17	S	1	PD10NOV17	6393.00	6866.00	USD	-11,825.00	
10AUG17	S	1	PD10NOV17	6387.00	6866.00	USD	-11,975.00	
10AUG17	S	2	PD10NOV17	6407.00	6866.00	USD	-22,950.00	
10AUG17	S	1	PD10NOV17	6408.00	6866.00	USD	-11,450.00	
10AUG17	S	3	PD10NOV17	6406.00	6866.00	USD	-34,500.00	
10AUG17	S	2	PD10NOV17	6406.50	6866.00	USD	-22,975.00	
10AUG17	B	1	PD10NOV17	6414.00	6866.00	USD	11,300.00	
10AUG17	B	2	PD10NOV17	6413.00	6866.00	USD	22,650.00	
10AUG17	B	2	PD10NOV17	6416.00	6866.00	USD	22,500.00	
10AUG17	B	1	PD10NOV17	6409.50	6866.00	USD	11,412.50	
10AUG17	B	1	PD10NOV17	6404.00	6866.00	USD	11,550.00	
10AUG17	B	3	PD10NOV17	6404.50	6866.00	USD	34,612.50	
10AUG17	B	5	PD10NOV17	6403.50	6866.00	USD	57,812.50	
10AUG17	B	1	PD10NOV17	6402.50	6866.00	USD	11,587.50	
10AUG17	S	1	PD10NOV17	6405.00	6866.00	USD	-11,525.00	
10AUG17	S	6	PD10NOV17	6404.00	6866.00	USD	-69,300.00	
10AUG17	B	5	PD10NOV17	6402.00	6866.00	USD	58,000.00	
10AUG17	B	1	PD10NOV17	6401.00	6866.00	USD	11,625.00	
10AUG17	S	1	PD10NOV17	6401.00	6866.00	USD	-11,625.00	
11AUG17	S	1	PD10NOV17	6356.50	6866.00	USD	-12,737.50	
11AUG17	S	1	PD10NOV17	6359.00	6866.00	USD	-12,675.00	
11AUG17	S	1	PD10NOV17	6358.50	6866.00	USD	-12,687.50	
11AUG17	S	1	PD10NOV17	6343.50	6866.00	USD	-13,062.50	
11AUG17	S	1	PD10NOV17	6353.50	6866.00	USD	-12,812.50	
11AUG17	S	1	PD10NOV17	6352.00	6866.00	USD	-12,850.00	
11AUG17	S	1	PD10NOV17	6351.50	6866.00	USD	-12,862.50	
11AUG17	S	1	PD10NOV17	6352.50	6866.00	USD	-12,837.50	
11AUG17	S	4	PD10NOV17	6355.00	6866.00	USD	-51,100.00	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0097L

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
11AUG17	S	1	PD10NOV17	6357.50	6866.00	USD	-12,712.50	
11AUG17	S	1	PD10NOV17	6359.50	6866.00	USD	-12,662.50	
11AUG17	S	2	PD10NOV17	6361.00	6866.00	USD	-25,250.00	
11AUG17	B	1	PD10NOV17	6379.50	6866.00	USD	12,162.50	
11AUG17	B	1	PD10NOV17	6373.50	6866.00	USD	12,312.50	
11AUG17	B	1	PD10NOV17	6375.50	6866.00	USD	12,262.50	
11AUG17	B	2	PD10NOV17	6379.00	6866.00	USD	24,350.00	
11AUG17	B	1	PD10NOV17	6380.00	6866.00	USD	12,150.00	
11AUG17	B	1	PD10NOV17	6376.50	6866.00	USD	12,237.50	
11AUG17	S	2	PD10NOV17	6371.50	6866.00	USD	-24,725.00	
11AUG17	B	1	PD10NOV17	6360.00	6866.00	USD	12,650.00	
11AUG17	B	2	PD10NOV17	6357.00	6866.00	USD	25,450.00	
11AUG17	B	2	PD10NOV17	6352.50	6866.00	USD	25,675.00	
11AUG17	B	1	PD10NOV17	6354.00	6866.00	USD	12,800.00	
11AUG17	B	3	PD10NOV17	6382.00	6866.00	USD	36,300.00	
11AUG17	S	1	PD10NOV17	6384.50	6866.00	USD	-12,037.50	
11AUG17	S	2	PD10NOV17	6356.50	6866.00	USD	-25,475.00	
11AUG17	S	2	PD10NOV17	6360.00	6866.00	USD	-25,300.00	
11AUG17	S	1	PD10NOV17	6368.00	6866.00	USD	-12,450.00	
11AUG17	S	1	PD10NOV17	6382.50	6866.00	USD	-12,087.50	
11AUG17	S	1	PD10NOV17	6383.50	6866.00	USD	-12,062.50	
11AUG17	S	3	PD10NOV17	6386.50	6866.00	USD	-35,962.50	
11AUG17	S	1	PD10NOV17	6392.50	6866.00	USD	-11,837.50	
11AUG17	B	1	PD10NOV17	6393.50	6866.00	USD	11,812.50	
11AUG17	B	4	PD10NOV17	6397.00	6866.00	USD	46,900.00	
11AUG17	B	1	PD10NOV17	6396.50	6866.00	USD	11,737.50	
11AUG17	B	1	PD10NOV17	6394.50	6866.00	USD	11,787.50	
11AUG17	B	3	PD10NOV17	6403.50	6866.00	USD	34,687.50	
11AUG17	B	2	PD10NOV17	6402.00	6866.00	USD	23,200.00	
11AUG17	B	1	PD10NOV17	6406.50	6866.00	USD	11,487.50	
11AUG17	B	1	PD10NOV17	6407.50	6866.00	USD	11,462.50	
11AUG17	B	1	PD10NOV17	6405.50	6866.00	USD	11,512.50	
11AUG17	B	3	PD10NOV17	6404.00	6866.00	USD	34,650.00	
11AUG17	B	4	PD10NOV17	6403.00	6866.00	USD	46,300.00	
11AUG17	B	1	PD10NOV17	6399.50	6866.00	USD	11,662.50	
11AUG17	B	7	PD10NOV17	6398.50	6866.00	USD	81,812.50	
11AUG17	B	4	PD10NOV17	6398.00	6866.00	USD	46,800.00	
11AUG17	B	5	PD10NOV17	6399.00	6866.00	USD	58,375.00	
11AUG17	B	3	PD10NOV17	6401.00	6866.00	USD	34,875.00	
11AUG17	B	2	PD10NOV17	6402.50	6866.00	USD	23,175.00	
11AUG17	B	3	PD10NOV17	6401.50	6866.00	USD	34,837.50	
11AUG17	S	1	PD10NOV17	6410.50	6866.00	USD	-11,387.50	
11AUG17	S	3	PD10NOV17	6410.00	6866.00	USD	-34,200.00	
11AUG17	S	3	PD10NOV17	6411.00	6866.00	USD	-34,125.00	
11AUG17	S	1	PD10NOV17	6414.00	6866.00	USD	-11,300.00	
11AUG17	S	4	PD10NOV17	6413.50	6866.00	USD	-45,250.00	
11AUG17	S	3	PD10NOV17	6414.50	6866.00	USD	-33,862.50	
11AUG17	S	2	PD10NOV17	6415.00	6866.00	USD	-22,550.00	
11AUG17	S	2	PD10NOV17	6415.50	6866.00	USD	-22,525.00	
11AUG17	S	10	PD10NOV17	6416.00	6866.00	USD	-112,500.00	
11AUG17	S	2	PD10NOV17	6417.00	6866.00	USD	-22,450.00	
11AUG17	S	1	PD10NOV17	6423.00	6866.00	USD	-11,075.00	
11AUG17	S	1	PD10NOV17	6427.50	6866.00	USD	-10,962.50	
11AUG17	S	2	PD10NOV17	6429.50	6866.00	USD	-21,825.00	
11AUG17	S	2	PD10NOV17	6430.00	6866.00	USD	-21,800.00	
11AUG17	S	2	PD10NOV17	6431.00	6866.00	USD	-21,750.00	
11AUG17	S	3	PD10NOV17	6430.50	6866.00	USD	-32,662.50	

OPEN POSITION STATEMENT



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SAP0097L

13th October 2017

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Suite A, 105 Piccadilly
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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
11AUG17	S	3	PD10NOV17	6419.00	6866.00	USD	-33,525.00	
11AUG17	S	1	PD10NOV17	6420.50	6866.00	USD	-11,137.50	
11AUG17	S	7	PD10NOV17	6420.00	6866.00	USD	-78,050.00	
11AUG17	S	7	PD10NOV17	6419.50	6866.00	USD	-78,137.50	
11AUG17	S	3	PD10NOV17	6418.00	6866.00	USD	-33,600.00	
11AUG17	B	10	PD10NOV17	6398.00	6866.00	USD	117,000.00	
11AUG17	B	1	PD10NOV17	6394.50	6866.00	USD	11,787.50	
11AUG17	B	1	PD10NOV17	6401.00	6866.00	USD	11,625.00	
11AUG17	S	3	PD10NOV17	6421.50	6866.00	USD	-33,337.50	
11AUG17	S	4	PD10NOV17	6422.00	6866.00	USD	-44,400.00	
11AUG17	S	5	PD10NOV17	6421.00	6866.00	USD	-55,625.00	
11AUG17	B	4	PD10NOV17	6417.00	6866.00	USD	44,900.00	
11AUG17	B	1	PD10NOV17	6416.00	6866.00	USD	11,250.00	
11AUG17	B	1	PD10NOV17	6423.00	6866.00	USD	11,075.00	
11AUG17	B	1	PD10NOV17	6422.00	6866.00	USD	11,100.00	
11AUG17	B	1	PD10NOV17	6424.50	6866.00	USD	11,037.50	
11AUG17	S	2	PD10NOV17	6423.50	6866.00	USD	-22,125.00	
11AUG17	B	6	PD10NOV17	6423.50	6866.00	USD	66,375.00	
11AUG17	B	8	PD10NOV17	6425.00	6866.00	USD	88,200.00	
14AUG17	B	45	PD10NOV17	6395.78	6866.00	USD	528,997.50	
	NET	0 AVG BGHT 6402.67	SOLD 6413.96				49,097.50	0.00
14AUG17	S	1	PD14NOV17	6410.00	6864.67	USD	-11,366.75	
14AUG17	S	2	PD14NOV17	6415.50	6864.67	USD	-22,458.50	
14AUG17	S	2	PD14NOV17	6412.50	6864.67	USD	-22,608.50	
14AUG17	S	2	PD14NOV17	6410.50	6864.67	USD	-22,708.50	
14AUG17	S	2	PD14NOV17	6415.00	6864.67	USD	-22,483.50	
14AUG17	S	1	PD14NOV17	6416.50	6864.67	USD	-11,204.25	
14AUG17	S	1	PD14NOV17	6419.00	6864.67	USD	-11,141.75	
14AUG17	S	2	PD14NOV17	6413.50	6864.67	USD	-22,558.50	
14AUG17	S	1	PD14NOV17	6412.00	6864.67	USD	-11,316.75	
14AUG17	S	2	PD14NOV17	6411.50	6864.67	USD	-22,658.50	
14AUG17	S	2	PD14NOV17	6418.00	6864.67	USD	-22,333.50	
14AUG17	S	6	PD14NOV17	6413.00	6864.67	USD	-67,750.50	
14AUG17	S	1	PD14NOV17	6403.00	6864.67	USD	-11,541.75	
14AUG17	S	1	PD14NOV17	6404.00	6864.67	USD	-11,516.75	
14AUG17	S	3	PD14NOV17	6400.00	6864.67	USD	-34,850.25	
14AUG17	S	2	PD14NOV17	6392.00	6864.67	USD	-23,633.50	
14AUG17	S	1	PD14NOV17	6390.00	6864.67	USD	-11,866.75	
14AUG17	B	1	PD14NOV17	6392.00	6864.67	USD	11,816.75	
14AUG17	B	1	PD14NOV17	6388.50	6864.67	USD	11,904.25	
14AUG17	S	7	PD14NOV17	6389.50	6864.67	USD	-83,154.75	
14AUG17	S	3	PD14NOV17	6391.00	6864.67	USD	-35,525.25	
14AUG17	S	3	PD14NOV17	6390.50	6864.67	USD	-35,562.75	
14AUG17	S	1	PD14NOV17	6389.00	6864.67	USD	-11,891.75	
14AUG17	S	7	PD14NOV17	6388.00	6864.67	USD	-83,417.25	
14AUG17	B	5	PD14NOV17	6393.00	6864.67	USD	58,958.75	
14AUG17	B	2	PD14NOV17	6397.00	6864.67	USD	23,383.50	
14AUG17	B	1	PD14NOV17	6399.00	6864.67	USD	11,641.75	
14AUG17	B	1	PD14NOV17	6408.00	6864.67	USD	11,416.75	
14AUG17	B	1	PD14NOV17	6405.50	6864.67	USD	11,479.25	
14AUG17	B	4	PD14NOV17	6407.00	6864.67	USD	45,767.00	
14AUG17	S	2	PD14NOV17	6414.50	6864.67	USD	-22,508.50	
14AUG17	B	1	PD14NOV17	6407.50	6864.67	USD	11,429.25	
14AUG17	B	2	PD14NOV17	6409.50	6864.67	USD	22,758.50	
14AUG17	B	2	PD14NOV17	6410.00	6864.67	USD	22,733.50	
14AUG17	B	1	PD14NOV17	6415.00	6864.67	USD	11,241.75	
14AUG17	B	1	PD14NOV17	6417.50	6864.67	USD	11,179.25	

OPEN POSITION STATEMENT



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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
14AUG17	B	5	PD14NOV17	6418.00	6864.67	USD	55,833.75	
14AUG17	B	1	PD14NOV17	6411.00	6864.67	USD	11,341.75	
14AUG17	S	1	PD14NOV17	6407.50	6864.67	USD	-11,429.25	
14AUG17	S	1	PD14NOV17	6398.50	6864.67	USD	-11,654.25	
14AUG17	S	2	PD14NOV17	6399.00	6864.67	USD	-23,283.50	
14AUG17	S	3	PD14NOV17	6399.50	6864.67	USD	-34,887.75	
14AUG17	S	1	PD14NOV17	6395.00	6864.67	USD	-11,741.75	
14AUG17	S	2	PD14NOV17	6396.00	6864.67	USD	-23,433.50	
14AUG17	S	3	PD14NOV17	6395.50	6864.67	USD	-35,187.75	
14AUG17	S	2	PD14NOV17	6396.50	6864.67	USD	-23,408.50	
14AUG17	B	1	PD14NOV17	6389.50	6864.67	USD	11,879.25	
14AUG17	B	5	PD14NOV17	6396.00	6864.67	USD	58,583.75	
14AUG17	B	1	PD14NOV17	6404.50	6864.67	USD	11,504.25	
14AUG17	B	5	PD14NOV17	6405.00	6864.67	USD	57,458.75	
14AUG17	B	1	PD14NOV17	6400.00	6864.67	USD	11,616.75	
14AUG17	B	3	PD14NOV17	6400.50	6864.67	USD	34,812.75	
14AUG17	B	12	PD14NOV17	6402.00	6864.67	USD	138,801.00	
14AUG17	S	2	PD14NOV17	6408.50	6864.67	USD	-22,808.50	
14AUG17	S	1	PD14NOV17	6408.00	6864.67	USD	-11,416.75	
14AUG17	B	2	PD14NOV17	6410.00	6864.67	USD	22,733.50	
14AUG17	S	1	PD14NOV17	6408.00	6864.67	USD	-11,416.75	
21AUG17	B	10	PD14NOV17	6586.70	6864.67	USD	69,492.50	
30AUG17	B	5	PD14NOV17	6767.50	6864.67	USD	12,146.25	
	NET	0AVG BGHT 6452.82	SOLD 6401.57				-94,812.50	0.00
15AUG17	S	1	PD15NOV17	6418.50	6864.00	USD	-11,137.50	
15AUG17	S	1	PD15NOV17	6416.50	6864.00	USD	-11,187.50	
15AUG17	S	1	PD15NOV17	6420.50	6864.00	USD	-11,087.50	
15AUG17	S	2	PD15NOV17	6409.50	6864.00	USD	-22,725.00	
15AUG17	S	15	PD15NOV17	6409.00	6864.00	USD	-170,625.00	
15AUG17	S	1	PD15NOV17	6411.50	6864.00	USD	-11,312.50	
15AUG17	S	1	PD15NOV17	6411.00	6864.00	USD	-11,325.00	
15AUG17	S	4	PD15NOV17	6412.00	6864.00	USD	-45,200.00	
15AUG17	S	2	PD15NOV17	6413.00	6864.00	USD	-22,550.00	
15AUG17	S	8	PD15NOV17	6410.50	6864.00	USD	-90,700.00	
15AUG17	S	4	PD15NOV17	6410.00	6864.00	USD	-45,400.00	
15AUG17	S	3	PD15NOV17	6379.00	6864.00	USD	-36,375.00	
15AUG17	S	2	PD15NOV17	6378.00	6864.00	USD	-24,300.00	
15AUG17	S	1	PD15NOV17	6361.00	6864.00	USD	-12,575.00	
15AUG17	S	5	PD15NOV17	6360.00	6864.00	USD	-63,000.00	
15AUG17	S	4	PD15NOV17	6359.50	6864.00	USD	-50,450.00	
15AUG17	S	1	PD15NOV17	6362.50	6864.00	USD	-12,537.50	
15AUG17	S	5	PD15NOV17	6361.50	6864.00	USD	-62,812.50	
15AUG17	S	6	PD15NOV17	6360.50	6864.00	USD	-75,525.00	
15AUG17	S	3	PD15NOV17	6357.00	6864.00	USD	-38,025.00	
15AUG17	B	1	PD15NOV17	6366.50	6864.00	USD	12,437.50	
15AUG17	B	5	PD15NOV17	6367.50	6864.00	USD	62,062.50	
15AUG17	B	1	PD15NOV17	6368.50	6864.00	USD	12,387.50	
15AUG17	B	4	PD15NOV17	6369.00	6864.00	USD	49,500.00	
15AUG17	B	5	PD15NOV17	6372.00	6864.00	USD	61,500.00	
15AUG17	B	2	PD15NOV17	6371.00	6864.00	USD	24,650.00	
15AUG17	B	1	PD15NOV17	6376.50	6864.00	USD	12,187.50	
15AUG17	B	1	PD15NOV17	6376.00	6864.00	USD	12,200.00	
15AUG17	B	3	PD15NOV17	6374.50	6864.00	USD	36,712.50	
15AUG17	B	2	PD15NOV17	6373.00	6864.00	USD	24,550.00	
15AUG17	B	1	PD15NOV17	6375.50	6864.00	USD	12,212.50	
15AUG17	B	1	PD15NOV17	6374.00	6864.00	USD	12,250.00	
15AUG17	B	3	PD15NOV17	6377.50	6864.00	USD	36,487.50	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0097L

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
30AUG17	B	40	PD15NOV17	6767.50	6864.00	USD	96,500.00	
	NET	0 AVG BGHT 6597.92	SOLD 6390.37				-363,212.50	0.00
16AUG17	S	1	PD16NOV17	6384.50	6863.00	USD	-11,962.50	
16AUG17	S	3	PD16NOV17	6382.50	6863.00	USD	-36,037.50	
16AUG17	S	6	PD16NOV17	6385.00	6863.00	USD	-71,700.00	
16AUG17	S	5	PD16NOV17	6385.50	6863.00	USD	-59,687.50	
16AUG17	S	1	PD16NOV17	6392.50	6863.00	USD	-11,762.50	
16AUG17	S	2	PD16NOV17	6392.00	6863.00	USD	-23,550.00	
16AUG17	S	2	PD16NOV17	6391.50	6863.00	USD	-23,575.00	
16AUG17	S	5	PD16NOV17	6389.50	6863.00	USD	-59,187.50	
16AUG17	S	5	PD16NOV17	6387.00	6863.00	USD	-59,500.00	
16AUG17	B	1	PD16NOV17	6438.00	6863.00	USD	10,625.00	
16AUG17	B	4	PD16NOV17	6439.50	6863.00	USD	42,350.00	
16AUG17	B	2	PD16NOV17	6440.50	6863.00	USD	21,125.00	
16AUG17	B	1	PD16NOV17	6439.00	6863.00	USD	10,600.00	
16AUG17	B	1	PD16NOV17	6437.00	6863.00	USD	10,650.00	
16AUG17	B	4	PD16NOV17	6435.50	6863.00	USD	42,750.00	
16AUG17	B	1	PD16NOV17	6434.50	6863.00	USD	10,712.50	
16AUG17	B	1	PD16NOV17	6436.00	6863.00	USD	10,675.00	
16AUG17	B	4	PD16NOV17	6437.50	6863.00	USD	42,550.00	
16AUG17	B	2	PD16NOV17	6450.00	6863.00	USD	20,650.00	
16AUG17	B	3	PD16NOV17	6454.00	6863.00	USD	30,675.00	
16AUG17	B	1	PD16NOV17	6453.00	6863.00	USD	10,250.00	
16AUG17	B	1	PD16NOV17	6456.00	6863.00	USD	10,175.00	
16AUG17	B	3	PD16NOV17	6456.50	6863.00	USD	30,487.50	
16AUG17	B	1	PD16NOV17	6438.00	6863.00	USD	10,625.00	
16AUG17	S	2	PD16NOV17	6465.50	6863.00	USD	-19,875.00	
16AUG17	S	2	PD16NOV17	6461.50	6863.00	USD	-20,075.00	
16AUG17	S	3	PD16NOV17	6464.50	6863.00	USD	-29,887.50	
16AUG17	S	1	PD16NOV17	6456.00	6863.00	USD	-10,175.00	
16AUG17	B	1	PD16NOV17	6451.00	6863.00	USD	10,300.00	
16AUG17	B	2	PD16NOV17	6451.50	6863.00	USD	20,575.00	
16AUG17	B	1	PD16NOV17	6444.50	6863.00	USD	10,462.50	
16AUG17	B	1	PD16NOV17	6442.00	6863.00	USD	10,525.00	
16AUG17	B	1	PD16NOV17	6481.00	6863.00	USD	9,550.00	
16AUG17	B	4	PD16NOV17	6482.00	6863.00	USD	38,100.00	
16AUG17	B	2	PD16NOV17	6483.00	6863.00	USD	19,000.00	
16AUG17	B	1	PD16NOV17	6481.50	6863.00	USD	9,537.50	
16AUG17	B	1	PD16NOV17	6480.50	6863.00	USD	9,562.50	
16AUG17	B	3	PD16NOV17	6478.50	6863.00	USD	28,837.50	
16AUG17	B	4	PD16NOV17	6485.00	6863.00	USD	37,800.00	
16AUG17	B	7	PD16NOV17	6484.00	6863.00	USD	66,325.00	
16AUG17	B	1	PD16NOV17	6490.50	6863.00	USD	9,312.50	
16AUG17	B	3	PD16NOV17	6490.00	6863.00	USD	27,975.00	
16AUG17	B	1	PD16NOV17	6486.50	6863.00	USD	9,412.50	
16AUG17	B	1	PD16NOV17	6496.50	6863.00	USD	9,162.50	
16AUG17	B	3	PD16NOV17	6499.00	6863.00	USD	27,300.00	
16AUG17	B	1	PD16NOV17	6493.00	6863.00	USD	9,250.00	
16AUG17	B	2	PD16NOV17	6491.50	6863.00	USD	18,575.00	
16AUG17	B	2	PD16NOV17	6493.50	6863.00	USD	18,475.00	
16AUG17	B	4	PD16NOV17	6492.50	6863.00	USD	37,050.00	
16AUG17	B	4	PD16NOV17	6487.00	6863.00	USD	37,600.00	
16AUG17	B	1	PD16NOV17	6486.00	6863.00	USD	9,425.00	
16AUG17	B	2	PD16NOV17	6485.50	6863.00	USD	18,875.00	
16AUG17	B	5	PD16NOV17	6489.00	6863.00	USD	46,750.00	
16AUG17	B	4	PD16NOV17	6488.00	6863.00	USD	37,500.00	
16AUG17	B	1	PD16NOV17	6495.00	6863.00	USD	9,200.00	

OPEN POSITION STATEMENT



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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
16AUG17	B	1	PD16NOV17	6498.50	6863.00	USD	9,112.50	
16AUG17	B	3	PD16NOV17	6494.00	6863.00	USD	27,675.00	
16AUG17	B	4	PD16NOV17	6491.00	6863.00	USD	37,200.00	
16AUG17	B	2	PD16NOV17	6492.00	6863.00	USD	18,550.00	
16AUG17	S	5	PD16NOV17	6487.50	6863.00	USD	-46,937.50	
16AUG17	S	1	PD16NOV17	6487.00	6863.00	USD	-9,400.00	
16AUG17	S	2	PD16NOV17	6488.00	6863.00	USD	-18,750.00	
16AUG17	S	1	PD16NOV17	6489.00	6863.00	USD	-9,350.00	
16AUG17	S	1	PD16NOV17	6490.00	6863.00	USD	-9,325.00	
16AUG17	S	1	PD16NOV17	6502.50	6863.00	USD	-9,012.50	
16AUG17	B	3	PD16NOV17	6513.00	6863.00	USD	26,250.00	
16AUG17	B	1	PD16NOV17	6516.00	6863.00	USD	8,675.00	
16AUG17	B	3	PD16NOV17	6514.00	6863.00	USD	26,175.00	
16AUG17	B	3	PD16NOV17	6513.50	6863.00	USD	26,212.50	
16AUG17	B	2	PD16NOV17	6512.50	6863.00	USD	17,525.00	
16AUG17	B	8	PD16NOV17	6515.00	6863.00	USD	69,600.00	
16AUG17	B	2	PD16NOV17	6521.00	6863.00	USD	17,100.00	
16AUG17	B	1	PD16NOV17	6520.00	6863.00	USD	8,575.00	
16AUG17	B	1	PD16NOV17	6519.00	6863.00	USD	8,600.00	
16AUG17	B	1	PD16NOV17	6526.50	6863.00	USD	8,412.50	
16AUG17	B	1	PD16NOV17	6518.00	6863.00	USD	8,625.00	
16AUG17	B	1	PD16NOV17	6520.50	6863.00	USD	8,562.50	
16AUG17	B	3	PD16NOV17	6518.50	6863.00	USD	25,837.50	
16AUG17	B	3	PD16NOV17	6523.00	6863.00	USD	25,500.00	
16AUG17	B	1	PD16NOV17	6523.50	6863.00	USD	8,487.50	
16AUG17	B	1	PD16NOV17	6522.50	6863.00	USD	8,512.50	
16AUG17	B	3	PD16NOV17	6521.50	6863.00	USD	25,612.50	
16AUG17	B	1	PD16NOV17	6528.50	6863.00	USD	8,362.50	
16AUG17	B	3	PD16NOV17	6528.00	6863.00	USD	25,125.00	
16AUG17	B	1	PD16NOV17	6531.00	6863.00	USD	8,300.00	
16AUG17	B	1	PD16NOV17	6538.00	6863.00	USD	8,125.00	
16AUG17	B	1	PD16NOV17	6537.50	6863.00	USD	8,137.50	
16AUG17	B	1	PD16NOV17	6549.50	6863.00	USD	7,837.50	
16AUG17	B	2	PD16NOV17	6548.50	6863.00	USD	15,725.00	
16AUG17	S	1	PD16NOV17	6576.50	6863.00	USD	-7,162.50	
16AUG17	S	3	PD16NOV17	6575.00	6863.00	USD	-21,600.00	
16AUG17	S	11	PD16NOV17	6573.00	6863.00	USD	-79,750.00	
16AUG17	B	2	PD16NOV17	6554.50	6863.00	USD	15,425.00	
16AUG17	B	3	PD16NOV17	6555.00	6863.00	USD	23,100.00	
16AUG17	B	1	PD16NOV17	6551.50	6863.00	USD	7,787.50	
16AUG17	B	1	PD16NOV17	6537.00	6863.00	USD	8,150.00	
16AUG17	B	1	PD16NOV17	6534.50	6863.00	USD	8,212.50	
16AUG17	B	1	PD16NOV17	6535.50	6863.00	USD	8,187.50	
16AUG17	S	2	PD16NOV17	6535.50	6863.00	USD	-16,375.00	
16AUG17	S	2	PD16NOV17	6536.50	6863.00	USD	-16,325.00	
16AUG17	S	3	PD16NOV17	6534.00	6863.00	USD	-24,675.00	
16AUG17	S	1	PD16NOV17	6533.00	6863.00	USD	-8,250.00	
16AUG17	S	1	PD16NOV17	6531.00	6863.00	USD	-8,300.00	
16AUG17	S	1	PD16NOV17	6530.00	6863.00	USD	-8,325.00	
16AUG17	S	2	PD16NOV17	6528.00	6863.00	USD	-16,750.00	
16AUG17	S	1	PD16NOV17	6522.00	6863.00	USD	-8,525.00	
16AUG17	S	3	PD16NOV17	6524.50	6863.00	USD	-25,387.50	
16AUG17	S	2	PD16NOV17	6523.00	6863.00	USD	-17,000.00	
16AUG17	S	1	PD16NOV17	6516.50	6863.00	USD	-8,662.50	
16AUG17	S	3	PD16NOV17	6531.50	6863.00	USD	-24,862.50	
16AUG17	B	2	PD16NOV17	6525.50	6863.00	USD	16,875.00	
16AUG17	B	4	PD16NOV17	6526.00	6863.00	USD	33,700.00	

OPEN POSITION STATEMENT



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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
16AUG17	B	5	PD16NOV17	6529.00	6863.00	USD	41,750.00	
16AUG17	B	4	PD16NOV17	6530.00	6863.00	USD	33,300.00	
16AUG17	B	1	PD16NOV17	6530.50	6863.00	USD	8,312.50	
16AUG17	B	2	PD16NOV17	6532.00	6863.00	USD	16,550.00	
16AUG17	B	1	PD16NOV17	6533.00	6863.00	USD	8,250.00	
16AUG17	B	1	PD16NOV17	6531.50	6863.00	USD	8,287.50	
16AUG17	B	1	PD16NOV17	6529.00	6863.00	USD	8,350.00	
21AUG17	S	70	PD16NOV17	6584.50	6863.00	USD	-487,375.00	
30AUG17	S	25	PD16NOV17	6765.30	6863.00	USD	-61,062.50	
	NET	0 AVG BGHT 6496.15	SOLD 6558.00				279,850.00	0.00
17AUG17	B	1	PD17NOV17	6559.50	6863.47	USD	7,599.25	
17AUG17	B	2	PD17NOV17	6559.00	6863.47	USD	15,223.50	
17AUG17	B	1	PD17NOV17	6557.50	6863.47	USD	7,649.25	
17AUG17	B	1	PD17NOV17	6529.50	6863.47	USD	8,349.25	
17AUG17	B	1	PD17NOV17	6535.00	6863.47	USD	8,211.75	
17AUG17	B	1	PD17NOV17	6536.00	6863.47	USD	8,186.75	
17AUG17	B	2	PD17NOV17	6533.00	6863.47	USD	16,523.50	
17AUG17	B	5	PD17NOV17	6534.00	6863.47	USD	41,183.75	
17AUG17	B	2	PD17NOV17	6525.00	6863.47	USD	16,923.50	
17AUG17	B	2	PD17NOV17	6532.00	6863.47	USD	16,573.50	
17AUG17	B	1	PD17NOV17	6532.50	6863.47	USD	8,274.25	
17AUG17	B	3	PD17NOV17	6537.50	6863.47	USD	24,447.75	
17AUG17	B	2	PD17NOV17	6536.50	6863.47	USD	16,348.50	
17AUG17	S	2	PD17NOV17	6539.50	6863.47	USD	-16,198.50	
17AUG17	S	1	PD17NOV17	6540.50	6863.47	USD	-8,074.25	
17AUG17	S	1	PD17NOV17	6541.50	6863.47	USD	-8,049.25	
17AUG17	S	1	PD17NOV17	6546.00	6863.47	USD	-7,936.75	
17AUG17	S	1	PD17NOV17	6547.00	6863.47	USD	-7,911.75	
17AUG17	S	1	PD17NOV17	6551.00	6863.47	USD	-7,811.75	
17AUG17	S	4	PD17NOV17	6548.00	6863.47	USD	-31,547.00	
17AUG17	S	1	PD17NOV17	6548.50	6863.47	USD	-7,874.25	
17AUG17	S	1	PD17NOV17	6549.00	6863.47	USD	-7,861.75	
17AUG17	S	1	PD17NOV17	6550.00	6863.47	USD	-7,836.75	
17AUG17	B	1	PD17NOV17	6530.50	6863.47	USD	8,324.25	
17AUG17	B	2	PD17NOV17	6531.00	6863.47	USD	16,623.50	
17AUG17	B	2	PD17NOV17	6531.50	6863.47	USD	16,598.50	
17AUG17	B	1	PD17NOV17	6528.50	6863.47	USD	8,374.25	
17AUG17	B	9	PD17NOV17	6529.00	6863.47	USD	75,255.75	
17AUG17	B	2	PD17NOV17	6527.50	6863.47	USD	16,798.50	
17AUG17	B	3	PD17NOV17	6524.00	6863.47	USD	25,460.25	
17AUG17	B	4	PD17NOV17	6522.00	6863.47	USD	34,147.00	
17AUG17	B	2	PD17NOV17	6526.00	6863.47	USD	16,873.50	
17AUG17	B	2	PD17NOV17	6527.00	6863.47	USD	16,823.50	
17AUG17	B	1	PD17NOV17	6528.00	6863.47	USD	8,386.75	
17AUG17	B	2	PD17NOV17	6510.50	6863.47	USD	17,648.50	
17AUG17	B	1	PD17NOV17	6505.00	6863.47	USD	8,961.75	
17AUG17	B	4	PD17NOV17	6502.50	6863.47	USD	36,097.00	
17AUG17	B	4	PD17NOV17	6503.00	6863.47	USD	36,047.00	
17AUG17	B	2	PD17NOV17	6504.50	6863.47	USD	17,948.50	
17AUG17	B	4	PD17NOV17	6506.00	6863.47	USD	35,747.00	
17AUG17	B	2	PD17NOV17	6507.00	6863.47	USD	17,823.50	
17AUG17	B	1	PD17NOV17	6509.00	6863.47	USD	8,861.75	
17AUG17	B	3	PD17NOV17	6510.00	6863.47	USD	26,510.25	
17AUG17	S	1	PD17NOV17	6510.50	6863.47	USD	-8,824.25	
17AUG17	S	1	PD17NOV17	6499.50	6863.47	USD	-9,099.25	
17AUG17	S	2	PD17NOV17	6496.00	6863.47	USD	-18,373.50	
17AUG17	B	2	PD17NOV17	6498.00	6863.47	USD	18,273.50	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
17AUG17	B	1	PD17NOV17	6499.00	6863.47	USD	9,111.75	
17AUG17	S	1	PD17NOV17	6500.00	6863.47	USD	-9,086.75	
17AUG17	B	1	PD17NOV17	6556.50	6863.47	USD	7,674.25	
17AUG17	S	1	PD17NOV17	6547.00	6863.47	USD	-7,911.75	
17AUG17	B	1	PD17NOV17	6526.00	6863.47	USD	8,436.75	
17AUG17	S	10	PD17NOV17	6506.50	6863.47	USD	-89,242.50	
17AUG17	B	1	PD17NOV17	6492.00	6863.47	USD	9,286.75	
17AUG17	B	1	PD17NOV17	6495.00	6863.47	USD	9,211.75	
17AUG17	B	8	PD17NOV17	6494.00	6863.47	USD	73,894.00	
17AUG17	B	3	PD17NOV17	6497.00	6863.47	USD	27,485.25	
17AUG17	B	3	PD17NOV17	6496.50	6863.47	USD	27,522.75	
17AUG17	B	3	PD17NOV17	6496.00	6863.47	USD	27,560.25	
17AUG17	S	6	PD17NOV17	6501.00	6863.47	USD	-54,370.50	
17AUG17	S	16	PD17NOV17	6500.50	6863.47	USD	-145,188.00	
17AUG17	S	16	PD17NOV17	6500.00	6863.47	USD	-145,388.00	
17AUG17	S	2	PD17NOV17	6501.50	6863.47	USD	-18,098.50	
17AUG17	S	3	PD17NOV17	6504.50	6863.47	USD	-26,922.75	
17AUG17	S	4	PD17NOV17	6503.50	6863.47	USD	-35,997.00	
17AUG17	S	8	PD17NOV17	6502.50	6863.47	USD	-72,194.00	
17AUG17	S	2	PD17NOV17	6505.50	6863.47	USD	-17,898.50	
17AUG17	S	2	PD17NOV17	6507.00	6863.47	USD	-17,823.50	
17AUG17	S	2	PD17NOV17	6508.00	6863.47	USD	-17,773.50	
17AUG17	S	1	PD17NOV17	6511.50	6863.47	USD	-8,799.25	
17AUG17	S	1	PD17NOV17	6514.00	6863.47	USD	-8,736.75	
17AUG17	S	1	PD17NOV17	6515.00	6863.47	USD	-8,711.75	
17AUG17	S	2	PD17NOV17	6506.00	6863.47	USD	-17,873.50	
17AUG17	S	12	PD17NOV17	6502.00	6863.47	USD	-108,441.00	
17AUG17	S	13	PD17NOV17	6503.00	6863.47	USD	-117,152.75	
17AUG17	S	3	PD17NOV17	6504.00	6863.47	USD	-26,960.25	
17AUG17	S	1	PD17NOV17	6513.00	6863.47	USD	-8,761.75	
17AUG17	S	2	PD17NOV17	6511.00	6863.47	USD	-17,623.50	
17AUG17	S	11	PD17NOV17	6505.00	6863.47	USD	-98,579.25	
17AUG17	B	4	PD17NOV17	6486.50	6863.47	USD	37,697.00	
17AUG17	S	1	PD17NOV17	6492.00	6863.47	USD	-9,286.75	
17AUG17	S	4	PD17NOV17	6495.50	6863.47	USD	-36,797.00	
17AUG17	S	1	PD17NOV17	6496.50	6863.47	USD	-9,174.25	
17AUG17	S	1	PD17NOV17	6490.50	6863.47	USD	-9,324.25	
17AUG17	S	3	PD17NOV17	6491.50	6863.47	USD	-27,897.75	
17AUG17	S	1	PD17NOV17	6492.50	6863.47	USD	-9,274.25	
17AUG17	S	1	PD17NOV17	6475.00	6863.47	USD	-9,711.75	
17AUG17	S	2	PD17NOV17	6475.50	6863.47	USD	-19,398.50	
17AUG17	S	2	PD17NOV17	6470.50	6863.47	USD	-19,648.50	
17AUG17	S	1	PD17NOV17	6470.00	6863.47	USD	-9,836.75	
17AUG17	S	3	PD17NOV17	6471.00	6863.47	USD	-29,435.25	
17AUG17	S	2	PD17NOV17	6503.00	6863.47	USD	-18,023.50	
17AUG17	S	3	PD17NOV17	6500.50	6863.47	USD	-27,222.75	
17AUG17	S	1	PD17NOV17	6504.00	6863.47	USD	-8,986.75	
17AUG17	S	1	PD17NOV17	6471.00	6863.47	USD	-9,811.75	
17AUG17	S	1	PD17NOV17	6486.50	6863.47	USD	-9,424.25	
17AUG17	S	1	PD17NOV17	6487.50	6863.47	USD	-9,399.25	
17AUG17	S	2	PD17NOV17	6485.50	6863.47	USD	-18,898.50	
17AUG17	S	2	PD17NOV17	6488.50	6863.47	USD	-18,748.50	
17AUG17	S	7	PD17NOV17	6487.00	6863.47	USD	-65,882.25	
17AUG17	S	3	PD17NOV17	6490.00	6863.47	USD	-28,010.25	
17AUG17	S	1	PD17NOV17	6489.00	6863.47	USD	-9,361.75	
17AUG17	S	1	PD17NOV17	6493.00	6863.47	USD	-9,261.75	
17AUG17	S	1	PD17NOV17	6486.00	6863.47	USD	-9,436.75	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0097L

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
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backoffice@sapiencap.com

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
17AUG17	S	1	PD17NOV17	6484.50	6863.47	USD	-9,474.25	
17AUG17	S	4	PD17NOV17	6488.00	6863.47	USD	-37,547.00	
18AUG17	S	2	PD17NOV17	6491.00	6863.47	USD	-18,623.50	
18AUG17	S	1	PD17NOV17	6489.00	6863.47	USD	-9,361.75	
18AUG17	S	1	PD17NOV17	6488.00	6863.47	USD	-9,386.75	
18AUG17	S	1	PD17NOV17	6490.00	6863.47	USD	-9,336.75	
18AUG17	B	1	PD17NOV17	6499.50	6863.47	USD	9,099.25	
18AUG17	B	1	PD17NOV17	6496.00	6863.47	USD	9,186.75	
18AUG17	B	1	PD17NOV17	6495.00	6863.47	USD	9,211.75	
18AUG17	B	1	PD17NOV17	6494.00	6863.47	USD	9,236.75	
18AUG17	B	1	PD17NOV17	6492.00	6863.47	USD	9,286.75	
18AUG17	B	1	PD17NOV17	6490.00	6863.47	USD	9,336.75	
18AUG17	B	1	PD17NOV17	6491.50	6863.47	USD	9,299.25	
18AUG17	B	1	PD17NOV17	6519.00	6863.47	USD	8,611.75	
18AUG17	B	1	PD17NOV17	6526.50	6863.47	USD	8,424.25	
18AUG17	B	2	PD17NOV17	6529.00	6863.47	USD	16,723.50	
18AUG17	B	1	PD17NOV17	6529.50	6863.47	USD	8,349.25	
18AUG17	B	5	PD17NOV17	6530.00	6863.47	USD	41,683.75	
18AUG17	B	1	PD17NOV17	6531.50	6863.47	USD	8,299.25	
18AUG17	B	4	PD17NOV17	6533.00	6863.47	USD	33,047.00	
18AUG17	B	1	PD17NOV17	6528.50	6863.47	USD	8,374.25	
18AUG17	B	1	PD17NOV17	6528.00	6863.47	USD	8,386.75	
18AUG17	B	1	PD17NOV17	6527.00	6863.47	USD	8,411.75	
18AUG17	S	3	PD17NOV17	6472.50	6863.47	USD	-29,322.75	
18AUG17	B	1	PD17NOV17	6467.50	6863.47	USD	9,899.25	
18AUG17	B	2	PD17NOV17	6468.50	6863.47	USD	19,748.50	
18AUG17	S	2	PD17NOV17	6471.00	6863.47	USD	-19,623.50	
18AUG17	S	2	PD17NOV17	6478.50	6863.47	USD	-19,248.50	
18AUG17	S	1	PD17NOV17	6465.50	6863.47	USD	-9,949.25	
18AUG17	S	1	PD17NOV17	6460.50	6863.47	USD	-10,074.25	
18AUG17	S	3	PD17NOV17	6462.50	6863.47	USD	-30,072.75	
18AUG17	S	1	PD17NOV17	6472.00	6863.47	USD	-9,786.75	
18AUG17	S	2	PD17NOV17	6470.00	6863.47	USD	-19,673.50	
18AUG17	S	1	PD17NOV17	6467.50	6863.47	USD	-9,899.25	
18AUG17	S	1	PD17NOV17	6464.00	6863.47	USD	-9,986.75	
18AUG17	S	1	PD17NOV17	6465.00	6863.47	USD	-9,961.75	
18AUG17	S	3	PD17NOV17	6458.00	6863.47	USD	-30,410.25	
18AUG17	S	2	PD17NOV17	6457.00	6863.47	USD	-20,323.50	
18AUG17	B	1	PD17NOV17	6478.00	6863.47	USD	9,636.75	
18AUG17	B	1	PD17NOV17	6480.00	6863.47	USD	9,586.75	
18AUG17	B	1	PD17NOV17	6485.00	6863.47	USD	9,461.75	
18AUG17	B	4	PD17NOV17	6486.50	6863.47	USD	37,697.00	
18AUG17	B	1	PD17NOV17	6491.00	6863.47	USD	9,311.75	
18AUG17	B	3	PD17NOV17	6485.50	6863.47	USD	28,347.75	
18AUG17	B	4	PD17NOV17	6489.50	6863.47	USD	37,397.00	
18AUG17	B	1	PD17NOV17	6476.50	6863.47	USD	9,674.25	
18AUG17	B	4	PD17NOV17	6470.50	6863.47	USD	39,297.00	
18AUG17	B	4	PD17NOV17	6469.50	6863.47	USD	39,397.00	
18AUG17	B	5	PD17NOV17	6468.00	6863.47	USD	49,433.75	
18AUG17	B	1	PD17NOV17	6462.00	6863.47	USD	10,036.75	
18AUG17	B	2	PD17NOV17	6461.00	6863.47	USD	20,123.50	
18AUG17	B	1	PD17NOV17	6472.50	6863.47	USD	9,774.25	
18AUG17	B	2	PD17NOV17	6470.00	6863.47	USD	19,673.50	
18AUG17	B	3	PD17NOV17	6469.00	6863.47	USD	29,585.25	
18AUG17	B	1	PD17NOV17	6474.50	6863.47	USD	9,724.25	
18AUG17	B	1	PD17NOV17	6473.50	6863.47	USD	9,749.25	
18AUG17	B	3	PD17NOV17	6473.00	6863.47	USD	29,285.25	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
18AUG17	B	2	PD17NOV17	6477.00	6863.47	USD	19,323.50	
18AUG17	B	1	PD17NOV17	6476.00	6863.47	USD	9,686.75	
18AUG17	B	4	PD17NOV17	6474.00	6863.47	USD	38,947.00	
18AUG17	B	3	PD17NOV17	6477.50	6863.47	USD	28,947.75	
18AUG17	S	2	PD17NOV17	6481.50	6863.47	USD	-19,098.50	
18AUG17	S	1	PD17NOV17	6494.50	6863.47	USD	-9,224.25	
18AUG17	S	1	PD17NOV17	6495.50	6863.47	USD	-9,199.25	
18AUG17	S	1	PD17NOV17	6497.00	6863.47	USD	-9,161.75	
18AUG17	S	1	PD17NOV17	6496.50	6863.47	USD	-9,174.25	
18AUG17	B	3	PD17NOV17	6488.50	6863.47	USD	28,122.75	
18AUG17	B	4	PD17NOV17	6487.50	6863.47	USD	37,597.00	
18AUG17	S	2	PD17NOV17	6483.50	6863.47	USD	-18,998.50	
18AUG17	S	9	PD17NOV17	6480.50	6863.47	USD	-86,168.25	
18AUG17	S	1	PD17NOV17	6481.00	6863.47	USD	-9,561.75	
18AUG17	S	2	PD17NOV17	6482.00	6863.47	USD	-19,073.50	
18AUG17	S	1	PD17NOV17	6485.00	6863.47	USD	-9,461.75	
18AUG17	S	2	PD17NOV17	6485.50	6863.47	USD	-18,898.50	
18AUG17	S	10	PD17NOV17	6486.00	6863.47	USD	-94,367.50	
18AUG17	S	1	PD17NOV17	6486.50	6863.47	USD	-9,424.25	
18AUG17	S	5	PD17NOV17	6484.00	6863.47	USD	-47,433.75	
18AUG17	S	5	PD17NOV17	6483.00	6863.47	USD	-47,558.75	
18AUG17	S	1	PD17NOV17	6481.50	6863.47	USD	-9,549.25	
21AUG17	B	70	PD17NOV17	6585.00	6863.47	USD	487,322.50	
	NET	0 AVG BGHT 6525.65	SOLD 6495.90				-194,887.50	0.00
21AUG17	S	1	PD21NOV17	6526.00	6865.33	USD	-8,483.25	
21AUG17	S	1	PD21NOV17	6523.00	6865.33	USD	-8,558.25	
21AUG17	S	1	PD21NOV17	6525.00	6865.33	USD	-8,508.25	
21AUG17	S	2	PD21NOV17	6512.00	6865.33	USD	-17,666.50	
21AUG17	S	1	PD21NOV17	6526.50	6865.33	USD	-8,470.75	
21AUG17	S	1	PD21NOV17	6531.00	6865.33	USD	-8,358.25	
21AUG17	S	1	PD21NOV17	6527.00	6865.33	USD	-8,458.25	
21AUG17	S	3	PD21NOV17	6511.50	6865.33	USD	-26,537.25	
21AUG17	S	1	PD21NOV17	6533.50	6865.33	USD	-8,295.75	
21AUG17	S	5	PD21NOV17	6524.50	6865.33	USD	-42,603.75	
21AUG17	S	3	PD21NOV17	6525.50	6865.33	USD	-25,487.25	
21AUG17	S	4	PD21NOV17	6510.50	6865.33	USD	-35,483.00	
21AUG17	S	1	PD21NOV17	6511.00	6865.33	USD	-8,858.25	
21AUG17	B	1	PD21NOV17	6585.00	6865.33	USD	7,008.25	
21AUG17	B	1	PD21NOV17	6584.00	6865.33	USD	7,033.25	
21AUG17	B	1	PD21NOV17	6565.00	6865.33	USD	7,508.25	
21AUG17	S	1	PD21NOV17	6551.00	6865.33	USD	-7,858.25	
21AUG17	S	1	PD21NOV17	6540.00	6865.33	USD	-8,133.25	
21AUG17	B	1	PD21NOV17	6555.00	6865.33	USD	7,758.25	
21AUG17	S	3	PD21NOV17	6548.00	6865.33	USD	-23,799.75	
21AUG17	B	1	PD21NOV17	6564.00	6865.33	USD	7,533.25	
21AUG17	B	1	PD21NOV17	6544.50	6865.33	USD	8,020.75	
21AUG17	B	1	PD21NOV17	6575.00	6865.33	USD	7,258.25	
21AUG17	B	1	PD21NOV17	6540.00	6865.33	USD	8,133.25	
21AUG17	S	1	PD21NOV17	6547.50	6865.33	USD	-7,945.75	
21AUG17	S	1	PD21NOV17	6542.50	6865.33	USD	-8,070.75	
21AUG17	S	5	PD21NOV17	6542.00	6865.33	USD	-40,416.25	
21AUG17	B	4	PD21NOV17	6542.50	6865.33	USD	32,283.00	
21AUG17	B	3	PD21NOV17	6545.00	6865.33	USD	24,024.75	
21AUG17	B	2	PD21NOV17	6577.00	6865.33	USD	14,416.50	
21AUG17	B	1	PD21NOV17	6560.50	6865.33	USD	7,620.75	
21AUG17	B	2	PD21NOV17	6546.00	6865.33	USD	15,966.50	
21AUG17	S	1	PD21NOV17	6552.00	6865.33	USD	-7,833.25	

OPEN POSITION STATEMENT



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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
21AUG17	B	2	PD21NOV17	6543.50	6865.33	USD	16,091.50	
21AUG17	S	2	PD21NOV17	6550.50	6865.33	USD	-15,741.50	
21AUG17	B	1	PD21NOV17	6552.50	6865.33	USD	7,820.75	
21AUG17	B	1	PD21NOV17	6551.50	6865.33	USD	7,845.75	
21AUG17	B	1	PD21NOV17	6551.50	6865.33	USD	7,845.75	
21AUG17	B	1	PD21NOV17	6551.00	6865.33	USD	7,858.25	
21AUG17	B	1	PD21NOV17	6565.00	6865.33	USD	7,508.25	
21AUG17	B	2	PD21NOV17	6544.00	6865.33	USD	16,066.50	
21AUG17	B	1	PD21NOV17	6559.00	6865.33	USD	7,658.25	
21AUG17	B	2	PD21NOV17	6557.50	6865.33	USD	15,391.50	
21AUG17	B	4	PD21NOV17	6556.00	6865.33	USD	30,933.00	
21AUG17	B	2	PD21NOV17	6560.00	6865.33	USD	15,266.50	
21AUG17	B	4	PD21NOV17	6557.00	6865.33	USD	30,833.00	
21AUG17	B	1	PD21NOV17	6556.50	6865.33	USD	7,720.75	
21AUG17	B	8	PD21NOV17	6558.50	6865.33	USD	61,366.00	
21AUG17	B	7	PD21NOV17	6554.50	6865.33	USD	54,395.25	
21AUG17	B	3	PD21NOV17	6549.50	6865.33	USD	23,687.25	
21AUG17	B	4	PD21NOV17	6552.00	6865.33	USD	31,333.00	
21AUG17	B	1	PD21NOV17	6566.00	6865.33	USD	7,483.25	
21AUG17	B	2	PD21NOV17	6553.50	6865.33	USD	15,591.50	
21AUG17	S	2	PD21NOV17	6561.00	6865.33	USD	-15,216.50	
21AUG17	B	5	PD21NOV17	6555.50	6865.33	USD	38,728.75	
21AUG17	B	15	PD21NOV17	6551.00	6865.33	USD	117,873.75	
21AUG17	B	8	PD21NOV17	6550.00	6865.33	USD	63,066.00	
21AUG17	B	4	PD21NOV17	6549.00	6865.33	USD	31,633.00	
21AUG17	B	2	PD21NOV17	6561.00	6865.33	USD	15,216.50	
21AUG17	B	2	PD21NOV17	6561.50	6865.33	USD	15,191.50	
21AUG17	B	1	PD21NOV17	6563.50	6865.33	USD	7,545.75	
21AUG17	B	2	PD21NOV17	6569.00	6865.33	USD	14,816.50	
21AUG17	B	1	PD21NOV17	6569.50	6865.33	USD	7,395.75	
21AUG17	B	2	PD21NOV17	6574.00	6865.33	USD	14,566.50	
21AUG17	B	2	PD21NOV17	6573.00	6865.33	USD	14,616.50	
21AUG17	B	2	PD21NOV17	6589.50	6865.33	USD	13,791.50	
21AUG17	B	3	PD21NOV17	6588.00	6865.33	USD	20,799.75	
21AUG17	B	2	PD21NOV17	6581.50	6865.33	USD	14,191.50	
21AUG17	B	3	PD21NOV17	6577.50	6865.33	USD	21,587.25	
21AUG17	B	6	PD21NOV17	6578.00	6865.33	USD	43,099.50	
21AUG17	B	2	PD21NOV17	6578.50	6865.33	USD	14,341.50	
21AUG17	B	3	PD21NOV17	6567.00	6865.33	USD	22,374.75	
21AUG17	B	1	PD21NOV17	6558.00	6865.33	USD	7,683.25	
21AUG17	B	1	PD21NOV17	6562.50	6865.33	USD	7,570.75	
21AUG17	B	5	PD21NOV17	6564.50	6865.33	USD	37,603.75	
21AUG17	B	4	PD21NOV17	6566.50	6865.33	USD	29,883.00	
21AUG17	B	2	PD21NOV17	6567.50	6865.33	USD	14,891.50	
21AUG17	B	7	PD21NOV17	6565.50	6865.33	USD	52,470.25	
21AUG17	B	3	PD21NOV17	6570.00	6865.33	USD	22,149.75	
21AUG17	B	2	PD21NOV17	6568.50	6865.33	USD	14,841.50	
21AUG17	B	2	PD21NOV17	6572.00	6865.33	USD	14,666.50	
21AUG17	B	4	PD21NOV17	6571.00	6865.33	USD	29,433.00	
21AUG17	B	2	PD21NOV17	6580.50	6865.33	USD	14,241.50	
21AUG17	B	1	PD21NOV17	6582.00	6865.33	USD	7,083.25	
21AUG17	B	1	PD21NOV17	6584.50	6865.33	USD	7,020.75	
21AUG17	B	3	PD21NOV17	6583.50	6865.33	USD	21,137.25	
21AUG17	B	1	PD21NOV17	6589.00	6865.33	USD	6,908.25	
21AUG17	B	4	PD21NOV17	6588.50	6865.33	USD	27,683.00	
21AUG17	B	1	PD21NOV17	6591.00	6865.33	USD	6,858.25	
21AUG17	B	1	PD21NOV17	6593.50	6865.33	USD	6,795.75	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
21AUG17	B	2	PD21NOV17	6593.00	6865.33	USD	13,616.50	
21AUG17	B	2	PD21NOV17	6594.00	6865.33	USD	13,566.50	
21AUG17	B	1	PD21NOV17	6594.50	6865.33	USD	6,770.75	
21AUG17	B	3	PD21NOV17	6595.50	6865.33	USD	20,237.25	
21AUG17	B	1	PD21NOV17	6599.00	6865.33	USD	6,658.25	
21AUG17	B	1	PD21NOV17	6598.50	6865.33	USD	6,670.75	
21AUG17	B	1	PD21NOV17	6598.00	6865.33	USD	6,683.25	
21AUG17	B	1	PD21NOV17	6597.50	6865.33	USD	6,695.75	
21AUG17	B	1	PD21NOV17	6597.00	6865.33	USD	6,708.25	
21AUG17	B	2	PD21NOV17	6596.00	6865.33	USD	13,466.50	
21AUG17	B	4	PD21NOV17	6595.00	6865.33	USD	27,033.00	
21AUG17	S	5	PD21NOV17	6616.00	6865.33	USD	-31,166.25	
21AUG17	S	1	PD21NOV17	6617.50	6865.33	USD	-6,195.75	
21AUG17	B	1	PD21NOV17	6621.00	6865.33	USD	6,108.25	
21AUG17	B	4	PD21NOV17	6622.00	6865.33	USD	24,333.00	
21AUG17	B	1	PD21NOV17	6619.00	6865.33	USD	6,158.25	
21AUG17	S	1	PD21NOV17	6583.50	6865.33	USD	-7,045.75	
21AUG17	S	2	PD21NOV17	6587.50	6865.33	USD	-13,891.50	
21AUG17	B	1	PD21NOV17	6586.00	6865.33	USD	6,983.25	
21AUG17	S	1	PD21NOV17	6578.50	6865.33	USD	-7,170.75	
21AUG17	S	1	PD21NOV17	6573.50	6865.33	USD	-7,295.75	
21AUG17	S	1	PD21NOV17	6570.50	6865.33	USD	-7,370.75	
21AUG17	S	1	PD21NOV17	6569.00	6865.33	USD	-7,408.25	
21AUG17	S	1	PD21NOV17	6568.00	6865.33	USD	-7,433.25	
21AUG17	B	3	PD21NOV17	6576.00	6865.33	USD	21,699.75	
21AUG17	B	1	PD21NOV17	6575.50	6865.33	USD	7,245.75	
21AUG17	B	1	PD21NOV17	6576.50	6865.33	USD	7,220.75	
21AUG17	S	1	PD21NOV17	6577.00	6865.33	USD	-7,208.25	
21AUG17	S	3	PD21NOV17	6575.00	6865.33	USD	-21,774.75	
21AUG17	S	2	PD21NOV17	6575.50	6865.33	USD	-14,491.50	
21AUG17	S	2	PD21NOV17	6576.00	6865.33	USD	-14,466.50	
30AUG17	S	20	PD21NOV17	6766.55	6865.33	USD	-49,390.00	
30AUG17	S	85	PD21NOV17	6766.75	6865.33	USD	-209,482.50	
31AUG17	S	40	PD21NOV17	6785.75	6865.33	USD	-79,580.00	
	NET	0	AVG BGHT 6567.75	SOLD 6704.15			712,718.75	0.00
22AUG17	B	3	PD22NOV17	6640.00	6865.80	USD	16,935.00	
22AUG17	B	3	PD22NOV17	6639.00	6865.80	USD	17,010.00	
22AUG17	B	2	PD22NOV17	6641.00	6865.80	USD	11,240.00	
22AUG17	B	2	PD22NOV17	6642.50	6865.80	USD	11,165.00	
22AUG17	B	1	PD22NOV17	6642.00	6865.80	USD	5,595.00	
22AUG17	B	5	PD22NOV17	6640.50	6865.80	USD	28,162.50	
22AUG17	B	2	PD22NOV17	6641.50	6865.80	USD	11,215.00	
22AUG17	B	1	PD22NOV17	6603.50	6865.80	USD	6,557.50	
22AUG17	B	2	PD22NOV17	6602.50	6865.80	USD	13,165.00	
22AUG17	S	1	PD22NOV17	6610.50	6865.80	USD	-6,382.50	
22AUG17	S	1	PD22NOV17	6608.50	6865.80	USD	-6,432.50	
22AUG17	S	1	PD22NOV17	6607.00	6865.80	USD	-6,470.00	
22AUG17	B	1	PD22NOV17	6619.00	6865.80	USD	6,170.00	
22AUG17	B	1	PD22NOV17	6619.50	6865.80	USD	6,157.50	
22AUG17	S	3	PD22NOV17	6626.50	6865.80	USD	-17,947.50	
22AUG17	S	6	PD22NOV17	6626.00	6865.80	USD	-35,970.00	
22AUG17	S	3	PD22NOV17	6627.50	6865.80	USD	-17,872.50	
22AUG17	B	2	PD22NOV17	6622.50	6865.80	USD	12,165.00	
22AUG17	B	1	PD22NOV17	6620.50	6865.80	USD	6,132.50	
22AUG17	B	3	PD22NOV17	6628.50	6865.80	USD	17,797.50	
22AUG17	B	1	PD22NOV17	6631.00	6865.80	USD	5,870.00	
22AUG17	B	1	PD22NOV17	6632.00	6865.80	USD	5,845.00	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0097L

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
22AUG17	B	1	PD22NOV17	6631.50	6865.80	USD	5,857.50	
22AUG17	B	1	PD22NOV17	6630.50	6865.80	USD	5,882.50	
22AUG17	B	1	PD22NOV17	6632.50	6865.80	USD	5,832.50	
22AUG17	B	1	PD22NOV17	6628.00	6865.80	USD	5,945.00	
31AUG17	S	20	PD22NOV17	6786.00	6865.80	USD	-39,900.00	
	NET	0AVG BGHT 6631.86	SOLD 6716.11				73,725.00	0.00
23AUG17	S	1	PD24NOV17	6582.50	6866.73	USD	-7,105.75	
23AUG17	S	2	PD24NOV17	6580.00	6866.73	USD	-14,336.50	
23AUG17	S	1	PD24NOV17	6579.50	6866.73	USD	-7,180.75	
23AUG17	S	1	PD24NOV17	6566.00	6866.73	USD	-7,518.25	
23AUG17	S	6	PD24NOV17	6564.00	6866.73	USD	-45,409.50	
23AUG17	S	1	PD24NOV17	6564.50	6866.73	USD	-7,555.75	
23AUG17	S	3	PD24NOV17	6565.00	6866.73	USD	-22,629.75	
23AUG17	S	1	PD24NOV17	6548.50	6866.73	USD	-7,955.75	
23AUG17	S	3	PD24NOV17	6570.50	6866.73	USD	-22,217.25	
23AUG17	S	1	PD24NOV17	6581.50	6866.73	USD	-7,130.75	
23AUG17	S	3	PD24NOV17	6579.00	6866.73	USD	-21,579.75	
23AUG17	S	1	PD24NOV17	6584.00	6866.73	USD	-7,068.25	
23AUG17	S	2	PD24NOV17	6583.00	6866.73	USD	-14,186.50	
23AUG17	S	1	PD24NOV17	6582.00	6866.73	USD	-7,118.25	
23AUG17	S	1	PD24NOV17	6581.00	6866.73	USD	-7,143.25	
23AUG17	S	4	PD24NOV17	6574.00	6866.73	USD	-29,273.00	
23AUG17	S	1	PD24NOV17	6569.50	6866.73	USD	-7,430.75	
23AUG17	S	1	PD24NOV17	6567.50	6866.73	USD	-7,480.75	
23AUG17	S	2	PD24NOV17	6568.50	6866.73	USD	-14,911.50	
23AUG17	S	1	PD24NOV17	6571.00	6866.73	USD	-7,393.25	
23AUG17	S	1	PD24NOV17	6570.00	6866.73	USD	-7,418.25	
23AUG17	S	6	PD24NOV17	6562.50	6866.73	USD	-45,634.50	
23AUG17	S	1	PD24NOV17	6563.50	6866.73	USD	-7,580.75	
23AUG17	S	8	PD24NOV17	6563.00	6866.73	USD	-60,746.00	
23AUG17	S	7	PD24NOV17	6562.00	6866.73	USD	-53,327.75	
24AUG17	S	3	PD24NOV17	6611.50	6866.73	USD	-19,142.25	
24AUG17	S	1	PD24NOV17	6612.50	6866.73	USD	-6,355.75	
24AUG17	S	1	PD24NOV17	6613.50	6866.73	USD	-6,330.75	
24AUG17	S	1	PD24NOV17	6615.00	6866.73	USD	-6,293.25	
24AUG17	S	1	PD24NOV17	6616.00	6866.73	USD	-6,268.25	
24AUG17	S	1	PD24NOV17	6618.00	6866.73	USD	-6,218.25	
24AUG17	S	1	PD24NOV17	6615.50	6866.73	USD	-6,280.75	
24AUG17	S	1	PD24NOV17	6616.50	6866.73	USD	-6,255.75	
30AUG17	B	70	PD24NOV17	6767.70	6866.73	USD	173,302.50	
	NET	0AVG BGHT 6767.70	SOLD 6575.03				-337,175.00	0.00
29AUG17	S	2	PD29NOV17	6785.50	6869.07	USD	-4,178.50	
29AUG17	S	2	PD29NOV17	6788.00	6869.07	USD	-4,053.50	
29AUG17	S	2	PD29NOV17	6787.00	6869.07	USD	-4,103.50	
29AUG17	S	2	PD29NOV17	6790.00	6869.07	USD	-3,953.50	
29AUG17	S	2	PD29NOV17	6803.50	6869.07	USD	-3,278.50	
29AUG17	S	2	PD29NOV17	6804.50	6869.07	USD	-3,228.50	
29AUG17	S	3	PD29NOV17	6805.50	6869.07	USD	-4,767.75	
30AUG17	B	15	PD29NOV17	6768.95	6869.07	USD	37,545.00	
	NET	0AVG BGHT 6768.95	SOLD 6795.57				9,981.25	0.00
30AUG17	S	3	PD30NOV17	6782.00	6869.53	USD	-6,564.75	
30AUG17	S	1	PD30NOV17	6781.00	6869.53	USD	-2,213.25	
30AUG17	S	1	PD30NOV17	6782.50	6869.53	USD	-2,175.75	
30AUG17	S	1	PD30NOV17	6784.50	6869.53	USD	-2,125.75	
30AUG17	S	1	PD30NOV17	6782.00	6869.53	USD	-2,188.25	
30AUG17	S	1	PD30NOV17	6785.00	6869.53	USD	-2,113.25	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0097L

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
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backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
30AUG17	S	2	PD30NOV17	6786.00	6869.53	USD	-4,176.50	
30AUG17	S	1	PD30NOV17	6787.00	6869.53	USD	-2,063.25	
30AUG17	S	4	PD30NOV17	6783.50	6869.53	USD	-8,603.00	
31AUG17	S	4	PD30NOV17	6814.50	6869.53	USD	-5,503.00	
31AUG17	S	3	PD30NOV17	6816.50	6869.53	USD	-3,977.25	
31AUG17	S	1	PD30NOV17	6813.50	6869.53	USD	-1,400.75	
31AUG17	S	1	PD30NOV17	6820.00	6869.53	USD	-1,238.25	
31AUG17	S	1	PD30NOV17	6822.00	6869.53	USD	-1,188.25	
31AUG17	S	1	PD30NOV17	6786.00	6869.53	USD	-2,088.25	
31AUG17	S	3	PD30NOV17	6783.50	6869.53	USD	-6,452.25	
31AUG17	S	2	PD30NOV17	6782.50	6869.53	USD	-4,351.50	
31AUG17	S	1	PD30NOV17	6787.00	6869.53	USD	-2,063.25	
31AUG17	S	1	PD30NOV17	6788.50	6869.53	USD	-2,025.75	
31AUG17	S	1	PD30NOV17	6789.00	6869.53	USD	-2,013.25	
31AUG17	S	1	PD30NOV17	6790.00	6869.53	USD	-1,988.25	
31AUG17	S	1	PD30NOV17	6793.00	6869.53	USD	-1,913.25	
31AUG17	S	3	PD30NOV17	6793.50	6869.53	USD	-5,702.25	
31AUG17	S	1	PD30NOV17	6791.50	6869.53	USD	-1,950.75	
31AUG17	S	3	PD30NOV17	6792.50	6869.53	USD	-5,777.25	
31AUG17	S	5	PD30NOV17	6794.00	6869.53	USD	-9,441.25	
31AUG17	S	5	PD30NOV17	6792.00	6869.53	USD	-9,691.25	
31AUG17	S	2	PD30NOV17	6790.50	6869.53	USD	-3,951.50	
31AUG17	S	5	PD30NOV17	6796.50	6869.53	USD	-9,128.75	
31AUG17	B	60	PD30NOV17	6788.20	6869.53	USD	121,995.00	
	NET	0 AVG BGHT 6788.20	SOLD 6793.48				7,925.00	0.00

OPEN POSITION VALUE

LME Copper Grade A 25	FUTURES	<u>USD</u> 669,108.75
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MARKET REVALUATION BREAKDOWN

Unrealised Profits & Losses - Forwards	Amount	Profit / Loss	Total Amount	Total P&L
COPL - Futures USD	669,108.75	669,108.75	669,108.75	669,108.75

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0097L

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	-669,108.75	-669,108.75
Variation Margins		
- Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	-669,108.75	-669,108.75
Initial Margins	0.00	0.00
Excess/(Shortage)	-669,108.75	-669,108.75
FOR INFORMATION ONLY		
Forward Unrealised P&L	669,108.75	669,108.75
Net Liquidating Value	0.00	0.00

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0097M

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
28JUL17	S	4	PD27OCT17	6322.00	6857.50	USD	-53,550.00	
28JUL17	S	1	PD27OCT17	6322.50	6857.50	USD	-13,375.00	
28JUL17	S	2	PD27OCT17	6329.00	6857.50	USD	-26,425.00	
28JUL17	S	1	PD27OCT17	6328.00	6857.50	USD	-13,237.50	
28JUL17	S	1	PD27OCT17	6328.50	6857.50	USD	-13,225.00	
28JUL17	S	1	PD27OCT17	6329.50	6857.50	USD	-13,200.00	
18SEP17	B	10	PD27OCT17	6500.02	6857.50	USD	89,370.00	
	NET	0 AVG	BGHT 6500.02	SOLD 6325.45			-43,642.50	0.00
04AUG17	S	2	PD03NOV17	6340.00	6860.59	USD	-26,029.50	
04AUG17	S	1	PD03NOV17	6340.50	6860.59	USD	-13,002.25	
04AUG17	S	2	PD03NOV17	6341.00	6860.59	USD	-25,979.50	
18SEP17	B	5	PD03NOV17	6503.75	6860.59	USD	44,605.00	
	NET	0 AVG	BGHT 6503.75	SOLD 6340.50			-20,406.25	0.00
22AUG17	B	4	PD22NOV17	6585.50	6865.80	USD	28,030.00	
22AUG17	B	1	PD22NOV17	6585.00	6865.80	USD	7,020.00	
18SEP17	S	5	PD22NOV17	6514.61	6865.80	USD	-43,898.75	
	NET	0 AVG	BGHT 6585.40	SOLD 6514.61			-8,848.75	0.00
23AUG17	B	1	PD24NOV17	6563.00	6866.73	USD	7,593.25	
23AUG17	B	1	PD24NOV17	6563.50	6866.73	USD	7,580.75	
23AUG17	B	2	PD24NOV17	6564.00	6866.73	USD	15,136.50	
23AUG17	B	1	PD24NOV17	6564.50	6866.73	USD	7,555.75	
23AUG17	B	1	PD24NOV17	6556.50	6866.73	USD	7,755.75	
23AUG17	B	2	PD24NOV17	6557.00	6866.73	USD	15,486.50	
23AUG17	B	1	PD24NOV17	6557.50	6866.73	USD	7,730.75	
23AUG17	B	1	PD24NOV17	6558.00	6866.73	USD	7,718.25	
23AUG17	S	2	PD24NOV17	6577.00	6866.73	USD	-14,486.50	
23AUG17	S	1	PD24NOV17	6572.00	6866.73	USD	-7,368.25	
23AUG17	S	1	PD24NOV17	6573.50	6866.73	USD	-7,330.75	
23AUG17	S	1	PD24NOV17	6576.00	6866.73	USD	-7,268.25	
23AUG17	S	3	PD24NOV17	6568.50	6866.73	USD	-22,367.25	
23AUG17	S	2	PD24NOV17	6569.00	6866.73	USD	-14,886.50	
24AUG17	S	1	PD24NOV17	6618.00	6866.73	USD	-6,218.25	
24AUG17	S	1	PD24NOV17	6618.50	6866.73	USD	-6,205.75	
24AUG17	S	1	PD24NOV17	6619.00	6866.73	USD	-6,193.25	
24AUG17	S	2	PD24NOV17	6619.50	6866.73	USD	-12,361.50	
18SEP17	B	5	PD24NOV17	6515.76	6866.73	USD	43,871.25	
	NET	0 AVG	BGHT 6545.59	SOLD 6587.57			15,742.50	0.00
29AUG17	S	1	PD29NOV17	6790.00	6869.07	USD	-1,976.75	
29AUG17	S	1	PD29NOV17	6790.50	6869.07	USD	-1,964.25	
29AUG17	S	1	PD29NOV17	6792.00	6869.07	USD	-1,926.75	
29AUG17	S	1	PD29NOV17	6793.00	6869.07	USD	-1,901.75	
29AUG17	S	1	PD29NOV17	6796.00	6869.07	USD	-1,826.75	
03OCT17	B	5	PD29NOV17	6509.00	6869.07	USD	45,008.75	
	NET	0 AVG	BGHT 6509.00	SOLD 6792.30			35,412.50	0.00
30AUG17	S	2	PD30NOV17	6784.00	6869.53	USD	-4,276.50	
30AUG17	S	1	PD30NOV17	6784.50	6869.53	USD	-2,125.75	
30AUG17	S	1	PD30NOV17	6786.00	6869.53	USD	-2,088.25	
30AUG17	S	1	PD30NOV17	6786.50	6869.53	USD	-2,075.75	
03OCT17	B	5	PD30NOV17	6509.60	6869.53	USD	44,991.25	
	NET	0 AVG	BGHT 6509.60	SOLD 6785.00			34,425.00	0.00

OPEN POSITION STATEMENT



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SAP0097M

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
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backoffice@sapiencap.com

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OPEN POSITION VALUE

LME Copper Grade A 25	FUTURES	USD 12,682.50
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MARKET REVALUATION BREAKDOWN

Unrealised Profits & Losses - Forwards	Amount	Profit / Loss	Total Amount	Total P&L
COPL - Futures USD	12,682.50	12,682.50	12,682.50	12,682.50

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
CASH BALANCE	(1.00000)	(1.00000)
Variation Margins	-13,827.75	-13,827.75
- Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	-13,827.75	-13,827.75
Initial Margins	0.00	0.00
Excess/(Shortage)	-13,827.75	-13,827.75
FOR INFORMATION ONLY		
Forward Unrealised P&L	12,682.50	12,682.50
Net Liquidating Value	-1,145.25	-1,145.25

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0097Q

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
24AUG17	B	1	PD24NOV17	6610.00	6866.73	USD	6,418.25	
24AUG17	B	3	PD24NOV17	6610.50	6866.73	USD	19,217.25	
24AUG17	B	1	PD24NOV17	6605.00	6866.73	USD	6,543.25	
24AUG17	B	3	PD24NOV17	6609.50	6866.73	USD	19,292.25	
24AUG17	B	1	PD24NOV17	6609.00	6866.73	USD	6,443.25	
24AUG17	B	5	PD24NOV17	6611.50	6866.73	USD	31,903.75	
24AUG17	B	1	PD24NOV17	6606.00	6866.73	USD	6,518.25	
24AUG17	S	1	PD24NOV17	6627.00	6866.73	USD	-5,993.25	
24AUG17	B	1	PD24NOV17	6627.50	6866.73	USD	5,980.25	
24AUG17	S	1	PD24NOV17	6626.50	6866.73	USD	-6,005.75	
24AUG17	S	12	PD24NOV17	6628.00	6866.73	USD	-71,619.00	
24AUG17	S	1	PD24NOV17	6631.00	6866.73	USD	-5,893.25	
24AUG17	S	1	PD24NOV17	6634.50	6866.73	USD	-5,805.75	
24AUG17	B	1	PD24NOV17	6670.00	6866.73	USD	4,918.25	
24AUG17	B	1	PD24NOV17	6672.50	6866.73	USD	4,855.75	
24AUG17	B	1	PD24NOV17	6675.00	6866.73	USD	4,793.25	
24AUG17	B	5	PD24NOV17	6673.50	6866.73	USD	24,153.75	
24AUG17	B	2	PD24NOV17	6673.00	6866.73	USD	9,686.50	
24AUG17	B	1	PD24NOV17	6674.00	6866.73	USD	4,818.25	
24AUG17	B	8	PD24NOV17	6674.50	6866.73	USD	38,446.00	
24AUG17	B	1	PD24NOV17	6679.00	6866.73	USD	4,693.25	
24AUG17	B	2	PD24NOV17	6679.50	6866.73	USD	9,361.50	
24AUG17	B	1	PD24NOV17	6683.00	6866.73	USD	4,593.25	
24AUG17	S	1	PD24NOV17	6679.50	6866.73	USD	-4,680.75	
24AUG17	S	3	PD24NOV17	6678.50	6866.73	USD	-14,117.25	
24AUG17	S	1	PD24NOV17	6693.00	6866.73	USD	-4,343.25	
24AUG17	S	1	PD24NOV17	6690.00	6866.73	USD	-4,418.25	
24AUG17	S	3	PD24NOV17	6700.50	6866.73	USD	-12,467.25	
24AUG17	S	1	PD24NOV17	6695.00	6866.73	USD	-4,293.25	
24AUG17	S	1	PD24NOV17	6696.00	6866.73	USD	-4,268.25	
24AUG17	S	1	PD24NOV17	6699.50	6866.73	USD	-4,180.75	
24AUG17	S	1	PD24NOV17	6698.00	6866.73	USD	-4,218.25	
24AUG17	S	7	PD24NOV17	6698.50	6866.73	USD	-29,440.25	
24AUG17	S	1	PD24NOV17	6701.00	6866.73	USD	-4,143.25	
24AUG17	S	8	PD24NOV17	6697.50	6866.73	USD	-33,846.00	
24AUG17	S	1	PD24NOV17	6709.00	6866.73	USD	-3,943.25	
24AUG17	S	1	PD24NOV17	6708.50	6866.73	USD	-3,955.75	
24AUG17	S	6	PD24NOV17	6707.00	6866.73	USD	-23,959.50	
24AUG17	S	2	PD24NOV17	6707.50	6866.73	USD	-7,961.50	
24AUG17	S	1	PD24NOV17	6710.00	6866.73	USD	-3,918.25	
24AUG17	S	2	PD24NOV17	6704.50	6866.73	USD	-8,111.50	
24AUG17	S	1	PD24NOV17	6705.50	6866.73	USD	-4,030.75	
24AUG17	S	3	PD24NOV17	6708.00	6866.73	USD	-11,904.75	
24AUG17	S	3	PD24NOV17	6711.00	6866.73	USD	-11,679.75	
24AUG17	S	2	PD24NOV17	6716.50	6866.73	USD	-7,511.50	
24AUG17	S	2	PD24NOV17	6712.00	6866.73	USD	-7,736.50	
24AUG17	S	1	PD24NOV17	6713.00	6866.73	USD	-3,843.25	
24AUG17	S	1	PD24NOV17	6713.50	6866.73	USD	-3,830.75	
24AUG17	B	1	PD24NOV17	6699.50	6866.73	USD	4,180.75	
24AUG17	B	1	PD24NOV17	6704.00	6866.73	USD	4,068.25	
24AUG17	B	1	PD24NOV17	6704.50	6866.73	USD	4,055.75	
24AUG17	S	1	PD24NOV17	6704.00	6866.73	USD	-4,068.25	
24AUG17	B	5	PD24NOV17	6698.50	6866.73	USD	21,028.75	
24AUG17	B	1	PD24NOV17	6699.00	6866.73	USD	4,193.25	
24AUG17	B	2	PD24NOV17	6697.50	6866.73	USD	8,461.50	
24AUG17	B	2	PD24NOV17	6696.50	6866.73	USD	8,511.50	
24AUG17	B	1	PD24NOV17	6696.00	6866.73	USD	4,268.25	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0097Q

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
24AUG17	S	1	PD24NOV17	6697.00	6866.73	USD	-4,243.25	
24AUG17	B	2	PD24NOV17	6674.00	6866.73	USD	9,636.50	
24AUG17	B	1	PD24NOV17	6675.50	6866.73	USD	4,780.75	
24AUG17	S	3	PD24NOV17	6702.50	6866.73	USD	-12,317.25	
24AUG17	B	4	PD24NOV17	6701.00	6866.73	USD	16,573.00	
24AUG17	B	1	PD24NOV17	6698.00	6866.73	USD	4,218.25	
24AUG17	B	1	PD24NOV17	6704.00	6866.73	USD	4,068.25	
24AUG17	B	2	PD24NOV17	6704.50	6866.73	USD	8,111.50	
24AUG17	B	1	PD24NOV17	6706.00	6866.73	USD	4,018.25	
24AUG17	B	1	PD24NOV17	6699.50	6866.73	USD	4,180.75	
25AUG17	B	4	PD24NOV17	6714.50	6866.73	USD	15,223.00	
25AUG17	B	2	PD24NOV17	6714.00	6866.73	USD	7,636.50	
25AUG17	B	1	PD24NOV17	6716.00	6866.73	USD	3,768.25	
25AUG17	B	2	PD24NOV17	6715.00	6866.73	USD	7,586.50	
25AUG17	S	2	PD24NOV17	6720.50	6866.73	USD	-7,311.50	
25AUG17	B	1	PD24NOV17	6738.50	6866.73	USD	3,205.75	
25AUG17	B	1	PD24NOV17	6739.50	6866.73	USD	3,180.75	
25AUG17	B	2	PD24NOV17	6740.00	6866.73	USD	6,336.50	
25AUG17	B	1	PD24NOV17	6733.00	6866.73	USD	3,343.25	
25AUG17	B	2	PD24NOV17	6735.00	6866.73	USD	6,586.50	
25AUG17	B	1	PD24NOV17	6736.00	6866.73	USD	3,268.25	
25AUG17	B	5	PD24NOV17	6735.50	6866.73	USD	16,403.75	
25AUG17	B	2	PD24NOV17	6729.50	6866.73	USD	6,861.50	
25AUG17	S	3	PD24NOV17	6736.50	6866.73	USD	-9,767.25	
25AUG17	S	2	PD24NOV17	6745.00	6866.73	USD	-6,086.50	
25AUG17	S	1	PD24NOV17	6746.50	6866.73	USD	-3,005.75	
25AUG17	S	1	PD24NOV17	6747.00	6866.73	USD	-2,993.25	
25AUG17	S	4	PD24NOV17	6742.00	6866.73	USD	-12,473.00	
25AUG17	S	4	PD24NOV17	6740.50	6866.73	USD	-12,623.00	
25AUG17	B	7	PD24NOV17	6722.00	6866.73	USD	25,327.75	
25AUG17	B	1	PD24NOV17	6721.00	6866.73	USD	3,643.25	
25AUG17	B	2	PD24NOV17	6718.00	6866.73	USD	7,436.50	
25AUG17	B	8	PD24NOV17	6720.00	6866.73	USD	29,346.00	
25AUG17	S	1	PD24NOV17	6724.00	6866.73	USD	-3,568.25	
25AUG17	S	1	PD24NOV17	6723.50	6866.73	USD	-3,580.75	
25AUG17	B	1	PD24NOV17	6732.50	6866.73	USD	3,355.75	
25AUG17	B	1	PD24NOV17	6728.00	6866.73	USD	3,468.25	
25AUG17	B	1	PD24NOV17	6715.50	6866.73	USD	3,780.75	
25AUG17	S	1	PD24NOV17	6728.50	6866.73	USD	-3,455.75	
25AUG17	S	4	PD24NOV17	6729.50	6866.73	USD	-13,723.00	
25AUG17	S	5	PD24NOV17	6730.00	6866.73	USD	-17,091.25	
25AUG17	S	1	PD24NOV17	6726.50	6866.73	USD	-3,505.75	
25AUG17	S	3	PD24NOV17	6735.50	6866.73	USD	-9,842.25	
25AUG17	S	11	PD24NOV17	6741.50	6866.73	USD	-34,438.25	
25AUG17	S	4	PD24NOV17	6742.50	6866.73	USD	-12,423.00	
25AUG17	S	2	PD24NOV17	6728.00	6866.73	USD	-6,936.50	
25AUG17	S	4	PD24NOV17	6729.00	6866.73	USD	-13,773.00	
25AUG17	S	1	PD24NOV17	6734.00	6866.73	USD	-3,318.25	
25AUG17	B	4	PD24NOV17	6725.00	6866.73	USD	14,173.00	
25AUG17	B	1	PD24NOV17	6727.50	6866.73	USD	3,480.75	
25AUG17	B	6	PD24NOV17	6727.00	6866.73	USD	20,959.50	
25AUG17	B	2	PD24NOV17	6726.00	6866.73	USD	7,036.50	
25AUG17	B	6	PD24NOV17	6723.50	6866.73	USD	21,484.50	
25AUG17	B	5	PD24NOV17	6722.50	6866.73	USD	18,028.75	
25AUG17	B	1	PD24NOV17	6717.50	6866.73	USD	3,730.75	
25AUG17	B	5	PD24NOV17	6719.00	6866.73	USD	18,466.25	
25AUG17	B	8	PD24NOV17	6719.50	6866.73	USD	29,446.00	

OPEN POSITION STATEMENT



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SAP0097Q

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
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backoffice@sapiencap.com

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
25AUG17	B	8	PD24NOV17	6718.50	6866.73	USD	29,646.00	
25AUG17	B	1	PD24NOV17	6720.50	6866.73	USD	3,655.75	
25AUG17	B	10	PD24NOV17	6721.50	6866.73	USD	36,307.50	
25AUG17	B	3	PD24NOV17	6712.50	6866.73	USD	11,567.25	
25AUG17	S	1	PD24NOV17	6688.00	6866.73	USD	-4,468.25	
25AUG17	S	1	PD24NOV17	6691.50	6866.73	USD	-4,380.75	
25AUG17	S	1	PD24NOV17	6693.50	6866.73	USD	-4,330.75	
25AUG17	S	4	PD24NOV17	6688.50	6866.73	USD	-17,823.00	
25AUG17	S	5	PD24NOV17	6690.00	6866.73	USD	-22,091.25	
25AUG17	S	6	PD24NOV17	6689.50	6866.73	USD	-26,584.50	
25AUG17	S	2	PD24NOV17	6691.00	6866.73	USD	-8,786.50	
25AUG17	S	2	PD24NOV17	6682.00	6866.73	USD	-9,236.50	
25AUG17	S	2	PD24NOV17	6684.50	6866.73	USD	-9,111.50	
25AUG17	S	2	PD24NOV17	6685.50	6866.73	USD	-9,061.50	
25AUG17	S	2	PD24NOV17	6686.50	6866.73	USD	-9,011.50	
25AUG17	S	4	PD24NOV17	6687.50	6866.73	USD	-17,923.00	
25AUG17	S	1	PD24NOV17	6701.50	6866.73	USD	-4,130.75	
25AUG17	S	1	PD24NOV17	6704.50	6866.73	USD	-4,055.75	
25AUG17	S	3	PD24NOV17	6705.50	6866.73	USD	-12,092.25	
25AUG17	S	2	PD24NOV17	6713.00	6866.73	USD	-7,686.50	
25AUG17	S	3	PD24NOV17	6714.00	6866.73	USD	-11,454.75	
25AUG17	S	1	PD24NOV17	6707.50	6866.73	USD	-3,980.75	
25AUG17	S	7	PD24NOV17	6706.50	6866.73	USD	-28,040.25	
25AUG17	S	6	PD24NOV17	6714.50	6866.73	USD	-22,834.50	
25AUG17	S	4	PD24NOV17	6715.00	6866.73	USD	-15,173.00	
25AUG17	S	4	PD24NOV17	6709.50	6866.73	USD	-15,723.00	
25AUG17	S	2	PD24NOV17	6707.00	6866.73	USD	-7,986.50	
25AUG17	S	1	PD24NOV17	6695.50	6866.73	USD	-4,280.75	
25AUG17	S	2	PD24NOV17	6700.50	6866.73	USD	-8,311.50	
25AUG17	S	2	PD24NOV17	6699.00	6866.73	USD	-8,386.50	
25AUG17	S	2	PD24NOV17	6698.00	6866.73	USD	-8,436.50	
25AUG17	S	2	PD24NOV17	6697.00	6866.73	USD	-8,486.50	
25AUG17	S	1	PD24NOV17	6694.50	6866.73	USD	-4,305.75	
25AUG17	S	4	PD24NOV17	6706.50	6866.73	USD	-16,023.00	
25AUG17	S	1	PD24NOV17	6673.00	6866.73	USD	-4,843.25	
25AUG17	S	1	PD24NOV17	6675.50	6866.73	USD	-4,780.75	
25AUG17	S	1	PD24NOV17	6669.00	6866.73	USD	-4,943.25	
25AUG17	S	2	PD24NOV17	6692.00	6866.73	USD	-8,736.50	
30AUG17	B	45	PD24NOV17	6767.50	6866.73	USD	111,633.75	
	NET	0AVG BGHT 6715.55	SOLD 6703.74				-63,800.00	0.00
29AUG17	S	1	PD29NOV17	6762.50	6869.07	USD	-2,664.25	
29AUG17	S	1	PD29NOV17	6764.00	6869.07	USD	-2,626.75	
29AUG17	S	1	PD29NOV17	6777.00	6869.07	USD	-2,301.75	
29AUG17	S	1	PD29NOV17	6773.50	6869.07	USD	-2,389.25	
29AUG17	S	2	PD29NOV17	6776.00	6869.07	USD	-4,653.50	
29AUG17	S	1	PD29NOV17	6761.50	6869.07	USD	-2,689.25	
29AUG17	S	2	PD29NOV17	6780.50	6869.07	USD	-4,428.50	
29AUG17	S	1	PD29NOV17	6759.00	6869.07	USD	-2,751.75	
29AUG17	S	1	PD29NOV17	6757.00	6869.07	USD	-2,801.75	
29AUG17	S	2	PD29NOV17	6767.00	6869.07	USD	-5,103.50	
29AUG17	S	3	PD29NOV17	6768.00	6869.07	USD	-7,580.25	
29AUG17	S	2	PD29NOV17	6769.50	6869.07	USD	-4,978.50	
29AUG17	S	8	PD29NOV17	6770.50	6869.07	USD	-19,714.00	
29AUG17	S	5	PD29NOV17	6786.50	6869.07	USD	-10,321.25	
29AUG17	S	4	PD29NOV17	6779.00	6869.07	USD	-9,007.00	
29AUG17	S	5	PD29NOV17	6780.00	6869.07	USD	-11,133.75	
29AUG17	S	1	PD29NOV17	6781.00	6869.07	USD	-2,201.75	

OPEN POSITION STATEMENT



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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
29AUG17	S	1	PD29NOV17	6777.50	6869.07	USD	-2,289.25	
29AUG17	S	3	PD29NOV17	6778.00	6869.07	USD	-6,830.25	
29AUG17	B	1	PD29NOV17	6802.50	6869.07	USD	1,664.25	
29AUG17	B	1	PD29NOV17	6803.50	6869.07	USD	1,639.25	
29AUG17	B	2	PD29NOV17	6814.50	6869.07	USD	2,728.50	
29AUG17	B	1	PD29NOV17	6813.50	6869.07	USD	1,389.25	
29AUG17	B	2	PD29NOV17	6812.50	6869.07	USD	2,828.50	
29AUG17	B	5	PD29NOV17	6816.00	6869.07	USD	6,633.75	
29AUG17	B	4	PD29NOV17	6817.00	6869.07	USD	5,207.00	
29AUG17	B	3	PD29NOV17	6815.00	6869.07	USD	4,055.25	
29AUG17	B	7	PD29NOV17	6818.50	6869.07	USD	8,849.75	
29AUG17	S	4	PD29NOV17	6819.50	6869.07	USD	-4,957.00	
29AUG17	S	1	PD29NOV17	6820.50	6869.07	USD	-1,214.25	
29AUG17	B	5	PD29NOV17	6819.00	6869.07	USD	6,258.75	
29AUG17	B	4	PD29NOV17	6818.00	6869.07	USD	5,107.00	
29AUG17	B	4	PD29NOV17	6817.50	6869.07	USD	5,157.00	
29AUG17	B	5	PD29NOV17	6820.00	6869.07	USD	6,133.75	
29AUG17	B	1	PD29NOV17	6821.50	6869.07	USD	1,189.25	
29AUG17	B	1	PD29NOV17	6822.00	6869.07	USD	1,176.75	
29AUG17	B	4	PD29NOV17	6821.00	6869.07	USD	4,807.00	
29AUG17	B	1	PD29NOV17	6824.50	6869.07	USD	1,114.25	
29AUG17	B	2	PD29NOV17	6824.00	6869.07	USD	2,253.50	
29AUG17	B	7	PD29NOV17	6823.50	6869.07	USD	7,974.75	
29AUG17	B	6	PD29NOV17	6822.50	6869.07	USD	6,985.50	
29AUG17	B	1	PD29NOV17	6801.00	6869.07	USD	1,701.75	
29AUG17	B	1	PD29NOV17	6802.00	6869.07	USD	1,676.75	
29AUG17	B	1	PD29NOV17	6806.50	6869.07	USD	1,564.25	
29AUG17	B	2	PD29NOV17	6803.00	6869.07	USD	3,303.50	
29AUG17	B	2	PD29NOV17	6812.00	6869.07	USD	2,853.50	
29AUG17	B	2	PD29NOV17	6813.00	6869.07	USD	2,803.50	
29AUG17	B	1	PD29NOV17	6811.50	6869.07	USD	1,439.25	
29AUG17	B	2	PD29NOV17	6809.50	6869.07	USD	2,978.50	
29AUG17	B	1	PD29NOV17	6806.00	6869.07	USD	1,576.75	
29AUG17	B	1	PD29NOV17	6805.00	6869.07	USD	1,601.75	
29AUG17	B	1	PD29NOV17	6804.00	6869.07	USD	1,626.75	
29AUG17	B	3	PD29NOV17	6825.00	6869.07	USD	3,305.25	
29AUG17	B	1	PD29NOV17	6828.00	6869.07	USD	1,026.75	
29AUG17	B	1	PD29NOV17	6827.00	6869.07	USD	1,051.75	
29AUG17	B	1	PD29NOV17	6826.00	6869.07	USD	1,076.75	
29AUG17	S	1	PD29NOV17	6821.50	6869.07	USD	-1,189.25	
29AUG17	S	2	PD29NOV17	6820.00	6869.07	USD	-2,453.50	
29AUG17	S	2	PD29NOV17	6821.00	6869.07	USD	-2,403.50	
29AUG17	B	1	PD29NOV17	6818.50	6869.07	USD	1,264.25	
29AUG17	B	1	PD29NOV17	6821.50	6869.07	USD	1,189.25	
29AUG17	B	1	PD29NOV17	6821.00	6869.07	USD	1,201.75	
29AUG17	B	5	PD29NOV17	6820.00	6869.07	USD	6,133.75	
29AUG17	S	1	PD29NOV17	6800.00	6869.07	USD	-1,726.75	
29AUG17	B	1	PD29NOV17	6789.50	6869.07	USD	1,989.25	
29AUG17	B	5	PD29NOV17	6790.00	6869.07	USD	9,883.75	
29AUG17	S	1	PD29NOV17	6799.50	6869.07	USD	-1,739.25	
29AUG17	B	1	PD29NOV17	6799.50	6869.07	USD	1,739.25	
30AUG17	S	45	PD29NOV17	6768.55	6869.07	USD	-113,085.00	
	NET	0 AVG BGHT 6815.68	SOLD 6776.82				-99,093.75	0.00
30AUG17	B	1	PD30NOV17	6785.50	6869.53	USD	2,100.75	
30AUG17	B	1	PD30NOV17	6791.50	6869.53	USD	1,950.75	
30AUG17	B	1	PD30NOV17	6798.50	6869.53	USD	1,775.75	
30AUG17	B	2	PD30NOV17	6799.00	6869.53	USD	3,526.50	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0097Q

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
30AUG17	B	2	PD30NOV17	6782.50	6869.53	USD	4,351.50	
30AUG17	B	1	PD30NOV17	6782.00	6869.53	USD	2,188.25	
30AUG17	B	2	PD30NOV17	6784.50	6869.53	USD	4,251.50	
30AUG17	B	3	PD30NOV17	6783.50	6869.53	USD	6,452.25	
30AUG17	B	1	PD30NOV17	6784.00	6869.53	USD	2,138.25	
30AUG17	B	8	PD30NOV17	6787.00	6869.53	USD	16,506.00	
30AUG17	B	2	PD30NOV17	6786.00	6869.53	USD	4,176.50	
30AUG17	B	2	PD30NOV17	6786.50	6869.53	USD	4,151.50	
30AUG17	S	1	PD30NOV17	6787.00	6869.53	USD	-2,063.25	
30AUG17	B	3	PD30NOV17	6788.00	6869.53	USD	6,114.75	
30AUG17	B	1	PD30NOV17	6790.00	6869.53	USD	1,988.25	
30AUG17	B	1	PD30NOV17	6798.50	6869.53	USD	1,775.75	
30AUG17	B	2	PD30NOV17	6779.00	6869.53	USD	4,526.50	
30AUG17	B	2	PD30NOV17	6778.50	6869.53	USD	4,551.50	
30AUG17	B	1	PD30NOV17	6777.50	6869.53	USD	2,300.75	
30AUG17	B	1	PD30NOV17	6773.00	6869.53	USD	2,413.25	
30AUG17	B	2	PD30NOV17	6771.50	6869.53	USD	4,901.50	
30AUG17	B	1	PD30NOV17	6770.50	6869.53	USD	2,475.75	
30AUG17	B	3	PD30NOV17	6767.00	6869.53	USD	7,689.75	
30AUG17	B	4	PD30NOV17	6768.00	6869.53	USD	10,153.00	
30AUG17	B	4	PD30NOV17	6769.50	6869.53	USD	10,003.00	
30AUG17	B	16	PD30NOV17	6769.00	6869.53	USD	40,212.00	
30AUG17	B	2	PD30NOV17	6768.50	6869.53	USD	5,051.50	
30AUG17	B	1	PD30NOV17	6767.50	6869.53	USD	2,550.75	
30AUG17	B	7	PD30NOV17	6766.50	6869.53	USD	18,030.25	
30AUG17	B	3	PD30NOV17	6765.50	6869.53	USD	7,802.25	
30AUG17	B	3	PD30NOV17	6770.00	6869.53	USD	7,464.75	
30AUG17	B	3	PD30NOV17	6775.50	6869.53	USD	7,052.25	
30AUG17	B	1	PD30NOV17	6774.00	6869.53	USD	2,388.25	
30AUG17	B	4	PD30NOV17	6774.50	6869.53	USD	9,503.00	
31AUG17	B	1	PD30NOV17	6803.00	6869.53	USD	1,663.25	
31AUG17	B	1	PD30NOV17	6810.50	6869.53	USD	1,475.75	
31AUG17	B	1	PD30NOV17	6810.00	6869.53	USD	1,488.25	
31AUG17	B	4	PD30NOV17	6809.50	6869.53	USD	6,003.00	
31AUG17	B	1	PD30NOV17	6809.00	6869.53	USD	1,513.25	
31AUG17	B	1	PD30NOV17	6808.00	6869.53	USD	1,538.25	
31AUG17	B	1	PD30NOV17	6807.00	6869.53	USD	1,563.25	
31AUG17	B	1	PD30NOV17	6805.00	6869.53	USD	1,613.25	
31AUG17	B	4	PD30NOV17	6804.50	6869.53	USD	6,503.00	
31AUG17	B	1	PD30NOV17	6869.50	6869.53	USD	0.75	
31AUG17	B	1	PD30NOV17	6858.50	6869.53	USD	275.75	
31AUG17	B	1	PD30NOV17	6857.50	6869.53	USD	300.75	
31AUG17	B	5	PD30NOV17	6858.00	6869.53	USD	1,441.25	
31AUG17	B	1	PD30NOV17	6862.00	6869.53	USD	188.25	
31AUG17	B	1	PD30NOV17	6861.00	6869.53	USD	213.25	
31AUG17	B	1	PD30NOV17	6860.00	6869.53	USD	238.25	
31AUG17	B	2	PD30NOV17	6859.00	6869.53	USD	526.50	
31AUG17	B	3	PD30NOV17	6853.50	6869.53	USD	1,202.25	
31AUG17	B	1	PD30NOV17	6852.50	6869.53	USD	425.75	
31AUG17	B	2	PD30NOV17	6853.00	6869.53	USD	826.50	
31AUG17	B	1	PD30NOV17	6851.50	6869.53	USD	450.75	
31AUG17	B	1	PD30NOV17	6849.00	6869.53	USD	513.25	
31AUG17	B	1	PD30NOV17	6846.50	6869.53	USD	575.75	
31AUG17	B	3	PD30NOV17	6845.50	6869.53	USD	1,802.25	
31AUG17	B	1	PD30NOV17	6844.00	6869.53	USD	638.25	
31AUG17	B	1	PD30NOV17	6840.50	6869.53	USD	725.75	
31AUG17	B	2	PD30NOV17	6839.50	6869.53	USD	1,501.50	

OPEN POSITION STATEMENT



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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
31AUG17	B	1	PD30NOV17	6830.50	6869.53	USD	975.75	
31AUG17	S	4	PD30NOV17	6789.50	6869.53	USD	-8,003.00	
31AUG17	S	1	PD30NOV17	6795.00	6869.53	USD	-1,863.25	
31AUG17	S	1	PD30NOV17	6786.00	6869.53	USD	-2,088.25	
31AUG17	S	2	PD30NOV17	6788.50	6869.53	USD	-4,051.50	
31AUG17	S	4	PD30NOV17	6787.00	6869.53	USD	-8,253.00	
31AUG17	S	6	PD30NOV17	6789.00	6869.53	USD	-12,079.50	
31AUG17	S	1	PD30NOV17	6784.00	6869.53	USD	-2,138.25	
31AUG17	S	2	PD30NOV17	6791.00	6869.53	USD	-3,926.50	
31AUG17	S	4	PD30NOV17	6788.00	6869.53	USD	-8,153.00	
31AUG17	S	7	PD30NOV17	6798.00	6869.53	USD	-12,517.75	
31AUG17	S	1	PD30NOV17	6797.00	6869.53	USD	-1,813.25	
31AUG17	S	1	PD30NOV17	6799.00	6869.53	USD	-1,763.25	
31AUG17	S	1	PD30NOV17	6801.50	6869.53	USD	-1,700.75	
31AUG17	S	3	PD30NOV17	6787.50	6869.53	USD	-6,152.25	
31AUG17	S	6	PD30NOV17	6786.50	6869.53	USD	-12,454.50	
31AUG17	S	3	PD30NOV17	6783.00	6869.53	USD	-6,489.75	
31AUG17	S	1	PD30NOV17	6787.00	6869.53	USD	-2,063.25	
31AUG17	B	2	PD30NOV17	6799.50	6869.53	USD	3,501.50	
31AUG17	B	1	PD30NOV17	6799.00	6869.53	USD	1,763.25	
31AUG17	B	3	PD30NOV17	6797.50	6869.53	USD	5,402.25	
31AUG17	B	1	PD30NOV17	6800.00	6869.53	USD	1,738.25	
31AUG17	B	3	PD30NOV17	6801.00	6869.53	USD	5,139.75	
31AUG17	B	5	PD30NOV17	6798.50	6869.53	USD	8,878.75	
31AUG17	B	1	PD30NOV17	6798.00	6869.53	USD	1,788.25	
31AUG17	B	1	PD30NOV17	6797.00	6869.53	USD	1,813.25	
31AUG17	B	1	PD30NOV17	6794.50	6869.53	USD	1,875.75	
31AUG17	B	1	PD30NOV17	6792.00	6869.53	USD	1,938.25	
31AUG17	B	1	PD30NOV17	6790.50	6869.53	USD	1,975.75	
31AUG17	B	1	PD30NOV17	6789.50	6869.53	USD	2,000.75	
31AUG17	B	2	PD30NOV17	6791.00	6869.53	USD	3,926.50	
31AUG17	B	4	PD30NOV17	6790.00	6869.53	USD	7,953.00	
31AUG17	B	3	PD30NOV17	6789.00	6869.53	USD	6,039.75	
31AUG17	B	1	PD30NOV17	6787.50	6869.53	USD	2,050.75	
31AUG17	B	2	PD30NOV17	6791.00	6869.53	USD	3,926.50	
04SEP17	S	45	PD30NOV17	6915.42	6869.53	USD	51,626.25	
05SEP17	S	20	PD30NOV17	6897.98	6869.53	USD	14,225.00	
06SEP17	S	5	PD30NOV17	6898.75	6869.53	USD	3,652.50	
20SEP17	S	30	PD30NOV17	6519.07	6869.53	USD	-262,845.00	
28SEP17	S	20	PD30NOV17	6512.17	6869.53	USD	-178,680.00	
	NET	0	AVG BGHT 6796.06	SOLD 6758.38			-159,181.25	0.00
01SEP17	S	2	PD01DEC17	6807.50	6870.00	USD	-3,125.00	
01SEP17	S	1	PD01DEC17	6804.00	6870.00	USD	-1,650.00	
01SEP17	B	1	PD01DEC17	6805.00	6870.00	USD	1,625.00	
01SEP17	B	1	PD01DEC17	6809.50	6870.00	USD	1,512.50	
01SEP17	B	2	PD01DEC17	6808.50	6870.00	USD	3,075.00	
01SEP17	B	1	PD01DEC17	6808.00	6870.00	USD	1,550.00	
01SEP17	S	1	PD01DEC17	6808.00	6870.00	USD	-1,550.00	
01SEP17	B	1	PD01DEC17	6808.00	6870.00	USD	1,550.00	
01SEP17	S	1	PD01DEC17	6798.00	6870.00	USD	-1,800.00	
01SEP17	S	1	PD01DEC17	6784.50	6870.00	USD	-2,137.50	
01SEP17	S	2	PD01DEC17	6787.00	6870.00	USD	-4,150.00	
01SEP17	S	3	PD01DEC17	6780.50	6870.00	USD	-6,712.50	
01SEP17	S	2	PD01DEC17	6788.00	6870.00	USD	-4,100.00	
01SEP17	S	1	PD01DEC17	6816.00	6870.00	USD	-1,350.00	
01SEP17	S	2	PD01DEC17	6818.00	6870.00	USD	-2,600.00	
01SEP17	S	2	PD01DEC17	6817.50	6870.00	USD	-2,625.00	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
01SEP17	S	1	PD01DEC17	6814.00	6870.00	USD	-1,400.00	
01SEP17	S	1	PD01DEC17	6812.50	6870.00	USD	-1,437.50	
01SEP17	S	7	PD01DEC17	6808.00	6870.00	USD	-10,850.00	
01SEP17	S	1	PD01DEC17	6808.50	6870.00	USD	-1,537.50	
01SEP17	S	1	PD01DEC17	6809.00	6870.00	USD	-1,525.00	
01SEP17	S	1	PD01DEC17	6819.00	6870.00	USD	-1,275.00	
01SEP17	S	1	PD01DEC17	6823.50	6870.00	USD	-1,162.50	
01SEP17	S	1	PD01DEC17	6822.50	6870.00	USD	-1,187.50	
01SEP17	S	3	PD01DEC17	6820.00	6870.00	USD	-3,750.00	
01SEP17	S	3	PD01DEC17	6822.00	6870.00	USD	-3,600.00	
01SEP17	S	3	PD01DEC17	6821.00	6870.00	USD	-3,675.00	
01SEP17	S	4	PD01DEC17	6835.50	6870.00	USD	-3,450.00	
01SEP17	S	2	PD01DEC17	6835.00	6870.00	USD	-1,750.00	
01SEP17	S	2	PD01DEC17	6836.50	6870.00	USD	-1,675.00	
01SEP17	S	2	PD01DEC17	6837.50	6870.00	USD	-1,625.00	
04SEP17	B	45	PD01DEC17	6916.01	6870.00	USD	-51,761.25	
	NET	0 AVG BGHT 6903.29	SOLD 6813.76				-114,148.75	0.00
04SEP17	B	1	PD04DEC17	6890.00	6871.20	USD	-470.00	
04SEP17	B	2	PD04DEC17	6889.00	6871.20	USD	-890.00	
04SEP17	B	1	PD04DEC17	6888.00	6871.20	USD	-420.00	
04SEP17	S	1	PD04DEC17	6899.00	6871.20	USD	695.00	
04SEP17	S	1	PD04DEC17	6900.00	6871.20	USD	720.00	
04SEP17	S	2	PD04DEC17	6902.00	6871.20	USD	1,540.00	
04SEP17	S	18	PD04DEC17	6917.00	6871.20	USD	20,610.00	
04SEP17	S	1	PD04DEC17	6919.50	6871.20	USD	1,207.50	
04SEP17	S	1	PD04DEC17	6917.00	6871.20	USD	1,145.00	
05SEP17	B	20	PD04DEC17	6899.80	6871.20	USD	-14,300.00	
	NET	0 AVG BGHT 6898.00	SOLD 6914.40				9,837.50	0.00
05SEP17	B	1	PD05DEC17	6958.00	6871.60	USD	-2,160.00	
05SEP17	B	10	PD05DEC17	6959.00	6871.60	USD	-21,850.00	
05SEP17	B	2	PD05DEC17	6967.50	6871.60	USD	-4,795.00	
05SEP17	B	1	PD05DEC17	6966.50	6871.60	USD	-2,372.50	
05SEP17	B	1	PD05DEC17	6964.00	6871.60	USD	-2,310.00	
05SEP17	B	4	PD05DEC17	6954.50	6871.60	USD	-8,290.00	
05SEP17	B	1	PD05DEC17	6953.50	6871.60	USD	-2,047.50	
05SEP17	S	1	PD05DEC17	6918.00	6871.60	USD	1,160.00	
05SEP17	S	1	PD05DEC17	6919.50	6871.60	USD	1,197.50	
05SEP17	S	1	PD05DEC17	6931.50	6871.60	USD	1,497.50	
05SEP17	S	1	PD05DEC17	6928.50	6871.60	USD	1,422.50	
05SEP17	S	4	PD05DEC17	6927.00	6871.60	USD	5,540.00	
05SEP17	B	1	PD05DEC17	6927.50	6871.60	USD	-1,397.50	
05SEP17	S	3	PD05DEC17	6926.00	6871.60	USD	4,080.00	
05SEP17	S	5	PD05DEC17	6928.00	6871.60	USD	7,050.00	
05SEP17	B	1	PD05DEC17	6927.00	6871.60	USD	-1,385.00	
05SEP17	S	4	PD05DEC17	6927.50	6871.60	USD	5,590.00	
05SEP17	B	2	PD05DEC17	6926.50	6871.60	USD	-2,745.00	
05SEP17	B	1	PD05DEC17	6925.50	6871.60	USD	-1,347.50	
05SEP17	S	2	PD05DEC17	6895.00	6871.60	USD	1,170.00	
05SEP17	S	1	PD05DEC17	6899.50	6871.60	USD	697.50	
05SEP17	S	1	PD05DEC17	6894.00	6871.60	USD	560.00	
05SEP17	B	1	PD05DEC17	6885.00	6871.60	USD	-335.00	
05SEP17	B	1	PD05DEC17	6886.00	6871.60	USD	-360.00	
05SEP17	B	2	PD05DEC17	6888.50	6871.60	USD	-845.00	
05SEP17	B	2	PD05DEC17	6888.00	6871.60	USD	-820.00	
05SEP17	S	1	PD05DEC17	6871.50	6871.60	USD	-2.50	
05SEP17	S	2	PD05DEC17	6864.00	6871.60	USD	-380.00	
05SEP17	S	1	PD05DEC17	6867.00	6871.60	USD	-115.00	

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05SEP17	B	1	PD05DEC17	6856.00	6871.60	USD	390.00	
05SEP17	B	2	PD05DEC17	6855.50	6871.60	USD	805.00	
05SEP17	S	1	PD05DEC17	6835.00	6871.60	USD	-915.00	
05SEP17	S	1	PD05DEC17	6841.50	6871.60	USD	-752.50	
05SEP17	S	1	PD05DEC17	6842.50	6871.60	USD	-727.50	
05SEP17	S	1	PD05DEC17	6848.00	6871.60	USD	-590.00	
05SEP17	S	1	PD05DEC17	6850.50	6871.60	USD	-527.50	
05SEP17	S	1	PD05DEC17	6851.00	6871.60	USD	-515.00	
05SEP17	S	2	PD05DEC17	6847.50	6871.60	USD	-1,205.00	
05SEP17	S	1	PD05DEC17	6899.50	6871.60	USD	697.50	
05SEP17	S	1	PD05DEC17	6848.00	6871.60	USD	-590.00	
05SEP17	S	1	PD05DEC17	6847.50	6871.60	USD	-602.50	
06SEP17	B	5	PD05DEC17	6900.83	6871.60	USD	-3,653.75	
	NET	0 AVG	BGHT 6928.54		SOLD 6895.95		-31,778.75	0.00
06SEP17	S	3	PD06DEC17	6901.50	6872.00	USD	2,212.50	
06SEP17	S	1	PD06DEC17	6896.00	6872.00	USD	600.00	
06SEP17	S	4	PD06DEC17	6898.00	6872.00	USD	2,600.00	
06SEP17	S	5	PD06DEC17	6898.50	6872.00	USD	3,312.50	
06SEP17	S	1	PD06DEC17	6899.50	6872.00	USD	687.50	
06SEP17	S	1	PD06DEC17	6900.00	6872.00	USD	700.00	
06SEP17	S	2	PD06DEC17	6908.00	6872.00	USD	1,800.00	
06SEP17	S	1	PD06DEC17	6907.00	6872.00	USD	875.00	
06SEP17	S	2	PD06DEC17	6910.50	6872.00	USD	1,925.00	
06SEP17	S	4	PD06DEC17	6911.00	6872.00	USD	3,900.00	
06SEP17	S	2	PD06DEC17	6910.00	6872.00	USD	1,900.00	
06SEP17	S	1	PD06DEC17	6908.50	6872.00	USD	912.50	
06SEP17	S	1	PD06DEC17	6906.50	6872.00	USD	862.50	
06SEP17	S	3	PD06DEC17	6909.50	6872.00	USD	2,812.50	
06SEP17	B	1	PD06DEC17	6913.00	6872.00	USD	-1,025.00	
06SEP17	B	2	PD06DEC17	6911.50	6872.00	USD	-1,975.00	
06SEP17	B	1	PD06DEC17	6912.50	6872.00	USD	-1,012.50	
06SEP17	B	7	PD06DEC17	6897.50	6872.00	USD	-4,462.50	
06SEP17	B	1	PD06DEC17	6898.50	6872.00	USD	-662.50	
06SEP17	B	4	PD06DEC17	6897.00	6872.00	USD	-2,500.00	
06SEP17	B	1	PD06DEC17	6891.50	6872.00	USD	-487.50	
06SEP17	B	1	PD06DEC17	6887.50	6872.00	USD	-387.50	
06SEP17	B	4	PD06DEC17	6888.50	6872.00	USD	-1,650.00	
06SEP17	B	1	PD06DEC17	6885.50	6872.00	USD	-337.50	
06SEP17	B	1	PD06DEC17	6886.50	6872.00	USD	-362.50	
06SEP17	B	5	PD06DEC17	6887.00	6872.00	USD	-1,875.00	
06SEP17	B	2	PD06DEC17	6896.00	6872.00	USD	-1,200.00	
06SEP17	B	1	PD06DEC17	6893.00	6872.00	USD	-525.00	
06SEP17	B	2	PD06DEC17	6895.00	6872.00	USD	-1,150.00	
06SEP17	B	1	PD06DEC17	6894.00	6872.00	USD	-550.00	
06SEP17	B	4	PD06DEC17	6896.50	6872.00	USD	-2,450.00	
06SEP17	B	3	PD06DEC17	6884.00	6872.00	USD	-900.00	
06SEP17	B	2	PD06DEC17	6885.00	6872.00	USD	-650.00	
06SEP17	B	1	PD06DEC17	6913.50	6872.00	USD	-1,037.50	
06SEP17	B	2	PD06DEC17	6880.50	6872.00	USD	-425.00	
06SEP17	S	2	PD06DEC17	6886.00	6872.00	USD	700.00	
06SEP17	S	1	PD06DEC17	6887.00	6872.00	USD	375.00	
06SEP17	S	1	PD06DEC17	6888.00	6872.00	USD	400.00	
06SEP17	S	1	PD06DEC17	6889.00	6872.00	USD	425.00	
06SEP17	S	2	PD06DEC17	6894.50	6872.00	USD	1,125.00	
06SEP17	S	1	PD06DEC17	6895.50	6872.00	USD	587.50	
06SEP17	S	1	PD06DEC17	6893.00	6872.00	USD	525.00	
06SEP17	S	1	PD06DEC17	6892.00	6872.00	USD	500.00	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0097Q

13th October 2017

Sapien Capital Limited
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London W1J 7NJ UK
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backoffice@sapiencap.com

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
06SEP17	S	1	PD06DEC17	6888.50	6872.00	USD	412.50	
06SEP17	S	3	PD06DEC17	6885.00	6872.00	USD	975.00	
06SEP17	S	2	PD06DEC17	6890.50	6872.00	USD	925.00	
06SEP17	S	3	PD06DEC17	6892.50	6872.00	USD	1,537.50	
06SEP17	S	1	PD06DEC17	6883.00	6872.00	USD	275.00	
06SEP17	S	1	PD06DEC17	6879.00	6872.00	USD	175.00	
06SEP17	B	3	PD06DEC17	6885.00	6872.00	USD	-975.00	
06SEP17	S	1	PD06DEC17	6887.00	6872.00	USD	375.00	
06SEP17	S	2	PD06DEC17	6885.00	6872.00	USD	650.00	
06SEP17	B	3	PD06DEC17	6917.00	6872.00	USD	-3,375.00	
06SEP17	B	2	PD06DEC17	6917.00	6872.00	USD	-2,250.00	
	NET	0AVG BGHT 6895.44	SOLD 6897.50				2,837.50	0.00
07SEP17	S	5	PD07DEC17	6875.00	6872.42	USD	322.50	
07SEP17	B	1	PD07DEC17	6873.00	6872.42	USD	-14.50	
07SEP17	B	1	PD07DEC17	6866.50	6872.42	USD	148.00	
07SEP17	S	1	PD07DEC17	6879.50	6872.42	USD	177.00	
07SEP17	S	1	PD07DEC17	6881.00	6872.42	USD	214.50	
07SEP17	B	1	PD07DEC17	6879.00	6872.42	USD	-164.50	
07SEP17	B	6	PD07DEC17	6878.50	6872.42	USD	-912.00	
07SEP17	B	1	PD07DEC17	6878.00	6872.42	USD	-139.50	
07SEP17	B	4	PD07DEC17	6877.50	6872.42	USD	-508.00	
07SEP17	S	1	PD07DEC17	6877.50	6872.42	USD	127.00	
07SEP17	B	1	PD07DEC17	6879.50	6872.42	USD	-177.00	
07SEP17	B	1	PD07DEC17	6881.00	6872.42	USD	-214.50	
07SEP17	B	2	PD07DEC17	6869.50	6872.42	USD	146.00	
07SEP17	B	1	PD07DEC17	6861.00	6872.42	USD	285.50	
07SEP17	B	1	PD07DEC17	6857.00	6872.42	USD	385.50	
07SEP17	B	1	PD07DEC17	6862.50	6872.42	USD	248.00	
07SEP17	B	1	PD07DEC17	6862.00	6872.42	USD	260.50	
07SEP17	B	3	PD07DEC17	6863.00	6872.42	USD	706.50	
07SEP17	B	3	PD07DEC17	6864.00	6872.42	USD	631.50	
07SEP17	B	1	PD07DEC17	6865.50	6872.42	USD	173.00	
07SEP17	B	1	PD07DEC17	6867.50	6872.42	USD	123.00	
07SEP17	B	2	PD07DEC17	6868.00	6872.42	USD	221.00	
07SEP17	B	1	PD07DEC17	6870.00	6872.42	USD	60.50	
07SEP17	S	1	PD07DEC17	6877.00	6872.42	USD	114.50	
07SEP17	S	1	PD07DEC17	6878.50	6872.42	USD	152.00	
07SEP17	S	4	PD07DEC17	6900.00	6872.42	USD	2,758.00	
07SEP17	S	3	PD07DEC17	6901.00	6872.42	USD	2,143.50	
07SEP17	S	6	PD07DEC17	6900.50	6872.42	USD	4,212.00	
07SEP17	S	1	PD07DEC17	6898.00	6872.42	USD	639.50	
07SEP17	S	1	PD07DEC17	6897.00	6872.42	USD	614.50	
07SEP17	S	1	PD07DEC17	6896.50	6872.42	USD	602.00	
07SEP17	S	1	PD07DEC17	6895.50	6872.42	USD	577.00	
07SEP17	S	1	PD07DEC17	6896.00	6872.42	USD	589.50	
07SEP17	S	1	PD07DEC17	6902.00	6872.42	USD	739.50	
07SEP17	S	1	PD07DEC17	6893.50	6872.42	USD	527.00	
07SEP17	S	1	PD07DEC17	6881.50	6872.42	USD	227.00	
07SEP17	S	1	PD07DEC17	6880.00	6872.42	USD	189.50	
07SEP17	S	1	PD07DEC17	6882.50	6872.42	USD	252.00	
07SEP17	S	1	PD07DEC17	6898.00	6872.42	USD	639.50	
07SEP17	S	1	PD07DEC17	6896.50	6872.42	USD	602.00	
07SEP17	S	2	PD07DEC17	6897.00	6872.42	USD	1,229.00	
07SEP17	S	1	PD07DEC17	6886.50	6872.42	USD	352.00	
07SEP17	B	1	PD07DEC17	6893.00	6872.42	USD	-514.50	
07SEP17	B	1	PD07DEC17	6896.00	6872.42	USD	-589.50	
07SEP17	B	1	PD07DEC17	6897.00	6872.42	USD	-614.50	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>								
07SEP17	B	1	PD07DEC17		6902.00	6872.42	USD	-739.50	
07SEP17	B	1	PD07DEC17		6901.00	6872.42	USD	-714.50	
07SEP17	S	1	PD07DEC17		6903.50	6872.42	USD	777.00	
07SEP17	S	1	PD07DEC17		6906.00	6872.42	USD	839.50	
07SEP17	B	1	PD07DEC17		6899.00	6872.42	USD	-664.50	
07SEP17	B	1	PD07DEC17		6898.50	6872.42	USD	-652.00	
	NET	0 AVG	BGHT 6875.65	SOLD 6892.04				16,387.50	0.00
08SEP17	S	1	PD08DEC17		6911.50	6872.83	USD	966.75	
08SEP17	S	1	PD08DEC17		6900.00	6872.83	USD	679.25	
08SEP17	S	2	PD08DEC17		6899.00	6872.83	USD	1,308.50	
08SEP17	B	1	PD08DEC17		6850.50	6872.83	USD	558.25	
08SEP17	B	2	PD08DEC17		6850.00	6872.83	USD	1,141.50	
08SEP17	B	1	PD08DEC17		6849.50	6872.83	USD	583.25	
08SEP17	B	1	PD08DEC17		6848.50	6872.83	USD	608.25	
08SEP17	B	1	PD08DEC17		6846.00	6872.83	USD	670.75	
08SEP17	B	1	PD08DEC17		6844.50	6872.83	USD	708.25	
08SEP17	B	4	PD08DEC17		6845.50	6872.83	USD	2,733.00	
08SEP17	B	1	PD08DEC17		6853.00	6872.83	USD	495.75	
08SEP17	B	3	PD08DEC17		6851.00	6872.83	USD	1,637.25	
08SEP17	S	2	PD08DEC17		6847.00	6872.83	USD	-1,291.50	
08SEP17	S	2	PD08DEC17		6840.50	6872.83	USD	-1,616.50	
08SEP17	S	1	PD08DEC17		6840.00	6872.83	USD	-820.75	
08SEP17	S	1	PD08DEC17		6838.50	6872.83	USD	-858.25	
08SEP17	S	5	PD08DEC17		6835.00	6872.83	USD	-4,728.75	
08SEP17	S	1	PD08DEC17		6834.50	6872.83	USD	-958.25	
08SEP17	S	3	PD08DEC17		6833.00	6872.83	USD	-2,987.25	
08SEP17	S	2	PD08DEC17		6824.00	6872.83	USD	-2,441.50	
08SEP17	B	1	PD08DEC17		6829.00	6872.83	USD	1,095.75	
08SEP17	B	1	PD08DEC17		6822.00	6872.83	USD	1,270.75	
08SEP17	B	1	PD08DEC17		6819.00	6872.83	USD	1,345.75	
08SEP17	B	1	PD08DEC17		6825.50	6872.83	USD	1,183.25	
08SEP17	B	1	PD08DEC17		6818.00	6872.83	USD	1,370.75	
08SEP17	B	1	PD08DEC17		6815.50	6872.83	USD	1,433.25	
08SEP17	B	1	PD08DEC17		6814.50	6872.83	USD	1,458.25	
08SEP17	B	1	PD08DEC17		6821.50	6872.83	USD	1,283.25	
08SEP17	B	3	PD08DEC17		6824.50	6872.83	USD	3,624.75	
08SEP17	S	1	PD08DEC17		6824.50	6872.83	USD	-1,208.25	
08SEP17	B	1	PD08DEC17		6825.00	6872.83	USD	1,195.75	
08SEP17	B	1	PD08DEC17		6812.50	6872.83	USD	1,508.25	
08SEP17	B	1	PD08DEC17		6807.00	6872.83	USD	1,645.75	
08SEP17	B	1	PD08DEC17		6803.50	6872.83	USD	1,733.25	
08SEP17	B	1	PD08DEC17		6801.50	6872.83	USD	1,783.25	
08SEP17	S	3	PD08DEC17		6801.00	6872.83	USD	-5,387.25	
08SEP17	B	1	PD08DEC17		6808.50	6872.83	USD	1,608.25	
08SEP17	B	1	PD08DEC17		6811.00	6872.83	USD	1,545.75	
08SEP17	B	1	PD08DEC17		6799.00	6872.83	USD	1,845.75	
08SEP17	B	1	PD08DEC17		6796.50	6872.83	USD	1,908.25	
08SEP17	B	1	PD08DEC17		6794.50	6872.83	USD	1,958.25	
08SEP17	B	3	PD08DEC17		6794.00	6872.83	USD	5,912.25	
08SEP17	B	1	PD08DEC17		6805.50	6872.83	USD	1,683.25	
08SEP17	B	1	PD08DEC17		6805.00	6872.83	USD	1,695.75	
08SEP17	B	1	PD08DEC17		6797.50	6872.83	USD	1,883.25	
08SEP17	B	2	PD08DEC17		6800.00	6872.83	USD	3,641.50	
08SEP17	B	1	PD08DEC17		6798.00	6872.83	USD	1,870.75	
08SEP17	B	1	PD08DEC17		6797.00	6872.83	USD	1,895.75	
08SEP17	B	4	PD08DEC17		6796.00	6872.83	USD	7,683.00	
08SEP17	B	2	PD08DEC17		6799.50	6872.83	USD	3,666.50	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
08SEP17	S	1	PD08DEC17	6900.00	6872.83	USD	679.25	
08SEP17	S	1	PD08DEC17	6839.00	6872.83	USD	-845.75	
08SEP17	S	1	PD08DEC17	6736.00	6872.83	USD	-3,420.75	
08SEP17	S	2	PD08DEC17	6738.00	6872.83	USD	-6,741.50	
08SEP17	S	1	PD08DEC17	6743.50	6872.83	USD	-3,233.25	
08SEP17	S	4	PD08DEC17	6745.50	6872.83	USD	-12,733.00	
08SEP17	S	1	PD08DEC17	6747.00	6872.83	USD	-3,145.75	
08SEP17	S	1	PD08DEC17	6749.50	6872.83	USD	-3,083.25	
08SEP17	S	1	PD08DEC17	6753.50	6872.83	USD	-2,983.25	
08SEP17	S	1	PD08DEC17	6749.00	6872.83	USD	-3,095.75	
08SEP17	S	3	PD08DEC17	6746.00	6872.83	USD	-9,512.25	
08SEP17	B	1	PD08DEC17	6713.00	6872.83	USD	3,995.75	
08SEP17	S	1	PD08DEC17	6719.50	6872.83	USD	-3,833.25	
08SEP17	S	1	PD08DEC17	6706.00	6872.83	USD	-4,170.75	
08SEP17	B	1	PD08DEC17	6703.50	6872.83	USD	4,233.25	
08SEP17	B	2	PD08DEC17	6697.50	6872.83	USD	8,766.50	
08SEP17	B	3	PD08DEC17	6698.00	6872.83	USD	13,112.25	
08SEP17	B	2	PD08DEC17	6695.50	6872.83	USD	8,866.50	
08SEP17	B	7	PD08DEC17	6695.00	6872.83	USD	31,120.25	
08SEP17	S	4	PD08DEC17	6683.00	6872.83	USD	-18,983.00	
08SEP17	S	1	PD08DEC17	6680.50	6872.83	USD	-4,808.25	
08SEP17	S	1	PD08DEC17	6673.00	6872.83	USD	-4,995.75	
08SEP17	S	1	PD08DEC17	6673.50	6872.83	USD	-4,983.25	
08SEP17	S	3	PD08DEC17	6675.00	6872.83	USD	-14,837.25	
08SEP17	S	1	PD08DEC17	6670.50	6872.83	USD	-5,058.25	
08SEP17	S	2	PD08DEC17	6672.00	6872.83	USD	-10,041.50	
08SEP17	S	2	PD08DEC17	6672.50	6872.83	USD	-10,016.50	
08SEP17	S	1	PD08DEC17	6677.00	6872.83	USD	-4,895.75	
08SEP17	S	2	PD08DEC17	6678.50	6872.83	USD	-9,716.50	
08SEP17	S	3	PD08DEC17	6677.50	6872.83	USD	-14,649.75	
08SEP17	S	1	PD08DEC17	6680.00	6872.83	USD	-4,820.75	
08SEP17	S	1	PD08DEC17	6681.50	6872.83	USD	-4,783.25	
08SEP17	S	1	PD08DEC17	6682.00	6872.83	USD	-4,770.75	
08SEP17	S	2	PD08DEC17	6684.00	6872.83	USD	-9,441.50	
08SEP17	S	1	PD08DEC17	6686.50	6872.83	USD	-4,658.25	
08SEP17	S	4	PD08DEC17	6675.50	6872.83	USD	-19,733.00	
08SEP17	B	1	PD08DEC17	6697.00	6872.83	USD	4,395.75	
08SEP17	B	1	PD08DEC17	6673.00	6872.83	USD	4,995.75	
13SEP17	B	5	PD08DEC17	6551.46	6872.83	USD	40,171.25	
	NET	0AVG BGHT 6771.75	SOLD 6751.95				-37,132.50	0.00
11SEP17	B	4	PD11DEC17	6708.50	6874.08	USD	16,558.00	
11SEP17	B	1	PD11DEC17	6705.00	6874.08	USD	4,227.00	
11SEP17	B	1	PD11DEC17	6731.50	6874.08	USD	3,564.50	
11SEP17	B	2	PD11DEC17	6732.50	6874.08	USD	7,079.00	
11SEP17	B	2	PD11DEC17	6733.50	6874.08	USD	7,029.00	
11SEP17	B	5	PD11DEC17	6735.00	6874.08	USD	17,385.00	
11SEP17	S	1	PD11DEC17	6758.00	6874.08	USD	-2,902.00	
11SEP17	S	2	PD11DEC17	6769.00	6874.08	USD	-5,254.00	
11SEP17	S	1	PD11DEC17	6766.50	6874.08	USD	-2,689.50	
11SEP17	S	1	PD11DEC17	6765.50	6874.08	USD	-2,714.50	
11SEP17	S	1	PD11DEC17	6766.00	6874.08	USD	-2,702.00	
11SEP17	S	1	PD11DEC17	6761.00	6874.08	USD	-2,827.00	
11SEP17	B	3	PD11DEC17	6766.00	6874.08	USD	8,106.00	
11SEP17	B	2	PD11DEC17	6771.00	6874.08	USD	5,154.00	
11SEP17	B	1	PD11DEC17	6771.50	6874.08	USD	2,564.50	
11SEP17	B	3	PD11DEC17	6765.00	6874.08	USD	8,181.00	
11SEP17	B	1	PD11DEC17	6764.50	6874.08	USD	2,739.50	

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11SEP17	B	2	PD11DEC17	6763.50	6874.08	USD	5,529.00	
11SEP17	B	4	PD11DEC17	6761.50	6874.08	USD	11,258.00	
11SEP17	B	1	PD11DEC17	6760.50	6874.08	USD	2,839.50	
11SEP17	B	1	PD11DEC17	6760.00	6874.08	USD	2,852.00	
11SEP17	B	3	PD11DEC17	6763.00	6874.08	USD	8,331.00	
11SEP17	B	3	PD11DEC17	6762.50	6874.08	USD	8,368.50	
11SEP17	B	2	PD11DEC17	6761.00	6874.08	USD	5,654.00	
11SEP17	S	1	PD11DEC17	6770.00	6874.08	USD	-2,602.00	
11SEP17	S	2	PD11DEC17	6769.00	6874.08	USD	-5,254.00	
11SEP17	S	1	PD11DEC17	6766.50	6874.08	USD	-2,689.50	
11SEP17	B	1	PD11DEC17	6747.00	6874.08	USD	3,177.00	
11SEP17	B	1	PD11DEC17	6745.50	6874.08	USD	3,214.50	
11SEP17	B	5	PD11DEC17	6749.50	6874.08	USD	15,572.50	
11SEP17	B	2	PD11DEC17	6748.50	6874.08	USD	6,279.00	
11SEP17	B	1	PD11DEC17	6751.00	6874.08	USD	3,077.00	
11SEP17	S	3	PD11DEC17	6747.00	6874.08	USD	-9,531.00	
11SEP17	S	1	PD11DEC17	6749.00	6874.08	USD	-3,127.00	
11SEP17	S	1	PD11DEC17	6748.00	6874.08	USD	-3,152.00	
11SEP17	S	1	PD11DEC17	6746.00	6874.08	USD	-3,202.00	
11SEP17	S	2	PD11DEC17	6746.50	6874.08	USD	-6,379.00	
11SEP17	S	2	PD11DEC17	6744.50	6874.08	USD	-6,479.00	
13SEP17	S	5	PD11DEC17	6552.55	6874.08	USD	-40,191.25	
13SEP17	S	10	PD11DEC17	6552.75	6874.08	USD	-80,332.50	
18SEP17	S	15	PD11DEC17	6523.66	6874.08	USD	-131,407.50	
	NET	0AVG BGHT 6749.58	SOLD 6628.25				-154,696.25	0.00
12SEP17	S	3	PD12DEC17	6709.50	6874.50	USD	-12,375.00	
12SEP17	S	2	PD12DEC17	6707.50	6874.50	USD	-8,350.00	
12SEP17	S	2	PD12DEC17	6708.00	6874.50	USD	-8,325.00	
12SEP17	S	3	PD12DEC17	6708.50	6874.50	USD	-12,450.00	
12SEP17	B	1	PD12DEC17	6730.00	6874.50	USD	3,612.50	
12SEP17	B	1	PD12DEC17	6729.50	6874.50	USD	3,625.00	
12SEP17	B	2	PD12DEC17	6729.00	6874.50	USD	7,275.00	
12SEP17	B	3	PD12DEC17	6728.50	6874.50	USD	10,950.00	
12SEP17	B	3	PD12DEC17	6728.00	6874.50	USD	10,987.50	
12SEP17	B	1	PD12DEC17	6698.00	6874.50	USD	4,412.50	
12SEP17	B	1	PD12DEC17	6699.50	6874.50	USD	4,375.00	
12SEP17	B	1	PD12DEC17	6697.00	6874.50	USD	4,437.50	
12SEP17	B	1	PD12DEC17	6695.50	6874.50	USD	4,475.00	
12SEP17	B	2	PD12DEC17	6689.50	6874.50	USD	9,250.00	
12SEP17	B	2	PD12DEC17	6692.00	6874.50	USD	9,125.00	
12SEP17	B	1	PD12DEC17	6688.00	6874.50	USD	4,662.50	
12SEP17	B	4	PD12DEC17	6691.00	6874.50	USD	18,350.00	
12SEP17	B	2	PD12DEC17	6691.00	6874.50	USD	9,175.00	
12SEP17	S	1	PD12DEC17	6641.00	6874.50	USD	-5,837.50	
12SEP17	S	1	PD12DEC17	6647.50	6874.50	USD	-5,675.00	
12SEP17	S	1	PD12DEC17	6648.50	6874.50	USD	-5,650.00	
12SEP17	S	1	PD12DEC17	6647.00	6874.50	USD	-5,687.50	
12SEP17	S	2	PD12DEC17	6648.00	6874.50	USD	-11,325.00	
12SEP17	S	1	PD12DEC17	6646.00	6874.50	USD	-5,712.50	
12SEP17	S	4	PD12DEC17	6645.00	6874.50	USD	-22,950.00	
12SEP17	S	2	PD12DEC17	6642.50	6874.50	USD	-11,600.00	
12SEP17	S	1	PD12DEC17	6640.50	6874.50	USD	-5,850.00	
12SEP17	S	2	PD12DEC17	6644.50	6874.50	USD	-11,500.00	
12SEP17	S	7	PD12DEC17	6645.50	6874.50	USD	-40,075.00	
12SEP17	B	1	PD12DEC17	6646.50	6874.50	USD	5,700.00	
12SEP17	S	13	PD12DEC17	6646.50	6874.50	USD	-74,100.00	
12SEP17	S	3	PD12DEC17	6656.00	6874.50	USD	-16,387.50	

OPEN POSITION STATEMENT



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SAP0097Q

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
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backoffice@sapiencap.com

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
12SEP17	S	1	PD12DEC17	6654.00	6874.50	USD	-5,512.50	
12SEP17	S	2	PD12DEC17	6652.50	6874.50	USD	-11,100.00	
12SEP17	S	1	PD12DEC17	6665.50	6874.50	USD	-5,225.00	
12SEP17	S	1	PD12DEC17	6665.00	6874.50	USD	-5,237.50	
12SEP17	S	1	PD12DEC17	6666.50	6874.50	USD	-5,200.00	
12SEP17	S	1	PD12DEC17	6676.50	6874.50	USD	-4,950.00	
12SEP17	S	1	PD12DEC17	6675.50	6874.50	USD	-4,975.00	
12SEP17	S	2	PD12DEC17	6672.50	6874.50	USD	-10,100.00	
12SEP17	S	3	PD12DEC17	6673.00	6874.50	USD	-15,112.50	
12SEP17	S	1	PD12DEC17	6675.00	6874.50	USD	-4,987.50	
12SEP17	S	2	PD12DEC17	6673.50	6874.50	USD	-10,050.00	
12SEP17	S	3	PD12DEC17	6655.50	6874.50	USD	-16,425.00	
12SEP17	B	1	PD12DEC17	6657.50	6874.50	USD	5,425.00	
12SEP17	S	3	PD12DEC17	6660.00	6874.50	USD	-16,087.50	
12SEP17	S	1	PD12DEC17	6654.00	6874.50	USD	-5,512.50	
12SEP17	B	7	PD12DEC17	6673.50	6874.50	USD	35,175.00	
12SEP17	B	5	PD12DEC17	6669.00	6874.50	USD	25,687.50	
12SEP17	B	5	PD12DEC17	6668.50	6874.50	USD	25,750.00	
12SEP17	B	4	PD12DEC17	6674.00	6874.50	USD	20,050.00	
12SEP17	B	3	PD12DEC17	6672.50	6874.50	USD	15,150.00	
12SEP17	B	8	PD12DEC17	6670.00	6874.50	USD	40,900.00	
12SEP17	S	1	PD12DEC17	6669.50	6874.50	USD	-5,125.00	
12SEP17	B	3	PD12DEC17	6670.50	6874.50	USD	15,300.00	
12SEP17	B	2	PD12DEC17	6671.00	6874.50	USD	10,175.00	
12SEP17	S	3	PD12DEC17	6670.50	6874.50	USD	-15,300.00	
12SEP17	B	2	PD12DEC17	6674.00	6874.50	USD	10,025.00	
13SEP17	B	10	PD12DEC17	6553.08	6874.50	USD	80,355.00	
	NET	0AVG BGHT 6666.92	SOLD 6661.47				-10,345.00	0.00
13SEP17	S	1	PD13DEC17	6657.00	6874.92	USD	-5,448.00	
13SEP17	S	2	PD13DEC17	6652.00	6874.92	USD	-11,146.00	
13SEP17	S	1	PD13DEC17	6646.00	6874.92	USD	-5,723.00	
13SEP17	S	1	PD13DEC17	6643.50	6874.92	USD	-5,785.50	
13SEP17	B	1	PD13DEC17	6624.00	6874.92	USD	6,273.00	
13SEP17	B	1	PD13DEC17	6620.50	6874.92	USD	6,360.50	
13SEP17	B	1	PD13DEC17	6616.50	6874.92	USD	6,460.50	
13SEP17	B	2	PD13DEC17	6623.00	6874.92	USD	12,596.00	
13SEP17	B	1	PD13DEC17	6629.00	6874.92	USD	6,148.00	
13SEP17	B	2	PD13DEC17	6619.00	6874.92	USD	12,796.00	
13SEP17	B	1	PD13DEC17	6620.00	6874.92	USD	6,373.00	
13SEP17	B	1	PD13DEC17	6621.00	6874.92	USD	6,348.00	
13SEP17	B	2	PD13DEC17	6619.50	6874.92	USD	12,771.00	
13SEP17	B	1	PD13DEC17	6618.50	6874.92	USD	6,410.50	
13SEP17	B	1	PD13DEC17	6622.00	6874.92	USD	6,323.00	
13SEP17	B	1	PD13DEC17	6580.00	6874.92	USD	7,373.00	
13SEP17	B	1	PD13DEC17	6578.50	6874.92	USD	7,410.50	
13SEP17	B	2	PD13DEC17	6577.50	6874.92	USD	14,871.00	
13SEP17	B	1	PD13DEC17	6579.00	6874.92	USD	7,398.00	
13SEP17	B	3	PD13DEC17	6569.00	6874.92	USD	22,944.00	
13SEP17	B	2	PD13DEC17	6572.50	6874.92	USD	15,121.00	
13SEP17	B	2	PD13DEC17	6572.00	6874.92	USD	15,146.00	
13SEP17	B	7	PD13DEC17	6570.00	6874.92	USD	53,361.00	
13SEP17	B	1	PD13DEC17	6569.50	6874.92	USD	7,635.50	
13SEP17	B	2	PD13DEC17	6566.00	6874.92	USD	15,446.00	
13SEP17	B	1	PD13DEC17	6565.00	6874.92	USD	7,748.00	
13SEP17	B	3	PD13DEC17	6564.50	6874.92	USD	23,281.50	
13SEP17	B	3	PD13DEC17	6565.50	6874.92	USD	23,206.50	
13SEP17	B	1	PD13DEC17	6566.50	6874.92	USD	7,710.50	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
13SEP17	S	1	PD13DEC17	6570.50	6874.92	USD	-7,610.50	
13SEP17	S	1	PD13DEC17	6572.00	6874.92	USD	-7,573.00	
13SEP17	S	5	PD13DEC17	6571.00	6874.92	USD	-37,990.00	
13SEP17	B	1	PD13DEC17	6629.00	6874.92	USD	6,148.00	
13SEP17	S	2	PD13DEC17	6571.00	6874.92	USD	-15,196.00	
13SEP17	S	1	PD13DEC17	6570.50	6874.92	USD	-7,610.50	
13SEP17	S	1	PD13DEC17	6558.00	6874.92	USD	-7,923.00	
13SEP17	S	1	PD13DEC17	6558.50	6874.92	USD	-7,910.50	
13SEP17	S	1	PD13DEC17	6560.50	6874.92	USD	-7,860.50	
13SEP17	S	2	PD13DEC17	6559.00	6874.92	USD	-15,796.00	
13SEP17	S	2	PD13DEC17	6555.50	6874.92	USD	-15,971.00	
13SEP17	S	1	PD13DEC17	6554.50	6874.92	USD	-8,010.50	
13SEP17	S	2	PD13DEC17	6553.50	6874.92	USD	-16,071.00	
13SEP17	S	2	PD13DEC17	6555.00	6874.92	USD	-15,996.00	
13SEP17	S	3	PD13DEC17	6550.50	6874.92	USD	-24,331.50	
13SEP17	S	1	PD13DEC17	6546.50	6874.92	USD	-8,210.50	
13SEP17	S	2	PD13DEC17	6547.50	6874.92	USD	-16,371.00	
13SEP17	B	1	PD13DEC17	6553.50	6874.92	USD	8,035.50	
13SEP17	B	1	PD13DEC17	6552.50	6874.92	USD	8,060.50	
13SEP17	B	1	PD13DEC17	6554.00	6874.92	USD	8,023.00	
18SEP17	S	15	PD13DEC17	6524.62	6874.92	USD	-131,362.50	
	NET	0AVG BGHT 6585.10	SOLD 6558.34				-32,117.50	0.00
14SEP17	B	1	PD14DEC17	6523.00	6875.33	USD	8,808.25	
14SEP17	B	1	PD14DEC17	6522.00	6875.33	USD	8,833.25	
14SEP17	B	1	PD14DEC17	6524.00	6875.33	USD	8,783.25	
14SEP17	B	1	PD14DEC17	6522.50	6875.33	USD	8,820.75	
14SEP17	B	1	PD14DEC17	6516.00	6875.33	USD	8,983.25	
14SEP17	B	1	PD14DEC17	6513.00	6875.33	USD	9,058.25	
14SEP17	B	1	PD14DEC17	6512.00	6875.33	USD	9,083.25	
14SEP17	B	1	PD14DEC17	6515.00	6875.33	USD	9,008.25	
14SEP17	B	1	PD14DEC17	6512.50	6875.33	USD	9,070.75	
14SEP17	B	5	PD14DEC17	6514.50	6875.33	USD	45,103.75	
14SEP17	B	1	PD14DEC17	6498.00	6875.33	USD	9,433.25	
14SEP17	B	1	PD14DEC17	6497.50	6875.33	USD	9,445.75	
14SEP17	B	1	PD14DEC17	6489.50	6875.33	USD	9,645.75	
14SEP17	B	1	PD14DEC17	6488.00	6875.33	USD	9,683.25	
14SEP17	S	2	PD14DEC17	6467.50	6875.33	USD	-20,391.50	
14SEP17	S	1	PD14DEC17	6470.00	6875.33	USD	-10,133.25	
14SEP17	S	1	PD14DEC17	6469.50	6875.33	USD	-10,145.75	
14SEP17	S	1	PD14DEC17	6466.50	6875.33	USD	-10,220.75	
14SEP17	S	1	PD14DEC17	6457.50	6875.33	USD	-10,445.75	
14SEP17	S	1	PD14DEC17	6459.00	6875.33	USD	-10,408.25	
14SEP17	S	1	PD14DEC17	6458.00	6875.33	USD	-10,433.25	
14SEP17	S	1	PD14DEC17	6460.00	6875.33	USD	-10,383.25	
14SEP17	S	3	PD14DEC17	6459.50	6875.33	USD	-31,187.25	
14SEP17	B	1	PD14DEC17	6462.50	6875.33	USD	10,320.75	
14SEP17	S	3	PD14DEC17	6460.50	6875.33	USD	-31,112.25	
14SEP17	S	1	PD14DEC17	6454.00	6875.33	USD	-10,533.25	
14SEP17	S	1	PD14DEC17	6455.00	6875.33	USD	-10,508.25	
14SEP17	S	1	PD14DEC17	6455.50	6875.33	USD	-10,495.75	
14SEP17	B	1	PD14DEC17	6456.50	6875.33	USD	10,470.75	
14SEP17	S	1	PD14DEC17	6458.50	6875.33	USD	-10,420.75	
14SEP17	S	1	PD14DEC17	6456.00	6875.33	USD	-10,483.25	
14SEP17	B	1	PD14DEC17	6458.00	6875.33	USD	10,433.25	
14SEP17	B	3	PD14DEC17	6465.00	6875.33	USD	30,774.75	
14SEP17	B	1	PD14DEC17	6466.00	6875.33	USD	10,233.25	
14SEP17	S	1	PD14DEC17	6471.00	6875.33	USD	-10,108.25	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
14SEP17	S	1	PD14DEC17	6472.50	6875.33	USD	-10,070.75	
14SEP17	S	1	PD14DEC17	6472.00	6875.33	USD	-10,083.25	
14SEP17	S	1	PD14DEC17	6471.50	6875.33	USD	-10,095.75	
14SEP17	S	2	PD14DEC17	6473.00	6875.33	USD	-20,116.50	
14SEP17	S	1	PD14DEC17	6474.00	6875.33	USD	-10,033.25	
14SEP17	B	1	PD14DEC17	6479.00	6875.33	USD	9,908.25	
14SEP17	S	1	PD14DEC17	6501.00	6875.33	USD	-9,358.25	
14SEP17	S	3	PD14DEC17	6500.00	6875.33	USD	-28,149.75	
14SEP17	S	1	PD14DEC17	6489.00	6875.33	USD	-9,658.25	
14SEP17	S	1	PD14DEC17	6488.00	6875.33	USD	-9,683.25	
14SEP17	S	1	PD14DEC17	6487.50	6875.33	USD	-9,695.75	
14SEP17	S	1	PD14DEC17	6488.50	6875.33	USD	-9,670.75	
14SEP17	S	1	PD14DEC17	6490.00	6875.33	USD	-9,633.25	
14SEP17	S	1	PD14DEC17	6490.50	6875.33	USD	-9,620.75	
14SEP17	S	1	PD14DEC17	6505.50	6875.33	USD	-9,245.75	
14SEP17	S	1	PD14DEC17	6507.50	6875.33	USD	-9,195.75	
14SEP17	S	1	PD14DEC17	6501.50	6875.33	USD	-9,345.75	
14SEP17	S	2	PD14DEC17	6517.50	6875.33	USD	-17,891.50	
14SEP17	S	1	PD14DEC17	6519.00	6875.33	USD	-8,908.25	
14SEP17	B	1	PD14DEC17	6517.00	6875.33	USD	8,958.25	
14SEP17	B	2	PD14DEC17	6518.00	6875.33	USD	17,866.50	
14SEP17	B	1	PD14DEC17	6520.00	6875.33	USD	8,883.25	
14SEP17	B	7	PD14DEC17	6518.50	6875.33	USD	62,445.25	
14SEP17	B	1	PD14DEC17	6520.50	6875.33	USD	8,870.75	
14SEP17	S	1	PD14DEC17	6525.00	6875.33	USD	-8,758.25	
14SEP17	S	4	PD14DEC17	6523.00	6875.33	USD	-35,233.00	
14SEP17	S	2	PD14DEC17	6525.50	6875.33	USD	-17,491.50	
14SEP17	S	2	PD14DEC17	6524.50	6875.33	USD	-17,541.50	
14SEP17	S	1	PD14DEC17	6523.50	6875.33	USD	-8,795.75	
14SEP17	S	2	PD14DEC17	6512.50	6875.33	USD	-18,141.50	
14SEP17	S	2	PD14DEC17	6515.00	6875.33	USD	-18,016.50	
14SEP17	S	2	PD14DEC17	6514.00	6875.33	USD	-18,066.50	
14SEP17	S	1	PD14DEC17	6510.50	6875.33	USD	-9,120.75	
14SEP17	S	1	PD14DEC17	6509.50	6875.33	USD	-9,145.75	
14SEP17	S	1	PD14DEC17	6518.00	6875.33	USD	-8,933.25	
14SEP17	S	2	PD14DEC17	6517.00	6875.33	USD	-17,916.50	
14SEP17	S	4	PD14DEC17	6516.00	6875.33	USD	-35,933.00	
14SEP17	S	1	PD14DEC17	6509.00	6875.33	USD	-9,158.25	
14SEP17	S	2	PD14DEC17	6506.50	6875.33	USD	-18,441.50	
14SEP17	S	1	PD14DEC17	6508.00	6875.33	USD	-9,183.25	
14SEP17	S	1	PD14DEC17	6506.00	6875.33	USD	-9,233.25	
14SEP17	S	1	PD14DEC17	6505.00	6875.33	USD	-9,258.25	
14SEP17	S	1	PD14DEC17	6473.00	6875.33	USD	-10,058.25	
14SEP17	S	3	PD14DEC17	6500.50	6875.33	USD	-28,112.25	
14SEP17	S	3	PD14DEC17	6504.50	6875.33	USD	-27,812.25	
14SEP17	S	2	PD14DEC17	6502.50	6875.33	USD	-18,641.50	
14SEP17	S	5	PD14DEC17	6503.50	6875.33	USD	-46,478.75	
18SEP17	B	30	PD14DEC17	6525.29	6875.33	USD	262,530.00	
19SEP17	B	15	PD14DEC17	6537.02	6875.33	USD	126,866.25	
20SEP17	B	5	PD14DEC17	6524.89	6875.33	USD	43,805.00	
	NET	0	AVG BGHT 6518.00	SOLD 6494.72			-51,211.25	0.00
15SEP17	S	1	PD15DEC17	6488.50	6875.75	USD	-9,681.25	
15SEP17	S	3	PD15DEC17	6488.00	6875.75	USD	-29,081.25	
15SEP17	S	5	PD15DEC17	6487.00	6875.75	USD	-48,593.75	
15SEP17	B	1	PD15DEC17	6493.50	6875.75	USD	9,556.25	
15SEP17	B	2	PD15DEC17	6495.50	6875.75	USD	19,012.50	
15SEP17	B	3	PD15DEC17	6494.50	6875.75	USD	28,593.75	

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15SEP17	B	1	PD15DEC17	6489.50	6875.75	USD	9,656.25	
15SEP17	B	1	PD15DEC17	6494.00	6875.75	USD	9,543.75	
15SEP17	B	1	PD15DEC17	6496.50	6875.75	USD	9,481.25	
15SEP17	B	2	PD15DEC17	6502.50	6875.75	USD	18,662.50	
15SEP17	S	1	PD15DEC17	6509.00	6875.75	USD	-9,168.75	
15SEP17	S	1	PD15DEC17	6511.00	6875.75	USD	-9,118.75	
15SEP17	S	1	PD15DEC17	6511.50	6875.75	USD	-9,106.25	
15SEP17	B	1	PD15DEC17	6511.50	6875.75	USD	9,106.25	
15SEP17	S	1	PD15DEC17	6515.00	6875.75	USD	-9,018.75	
15SEP17	S	2	PD15DEC17	6516.00	6875.75	USD	-17,987.50	
15SEP17	S	1	PD15DEC17	6516.50	6875.75	USD	-8,981.25	
15SEP17	S	3	PD15DEC17	6512.50	6875.75	USD	-27,243.75	
15SEP17	S	3	PD15DEC17	6510.50	6875.75	USD	-27,393.75	
15SEP17	S	1	PD15DEC17	6507.00	6875.75	USD	-9,218.75	
15SEP17	S	2	PD15DEC17	6513.50	6875.75	USD	-18,112.50	
15SEP17	S	3	PD15DEC17	6514.50	6875.75	USD	-27,093.75	
15SEP17	S	1	PD15DEC17	6523.50	6875.75	USD	-8,806.25	
15SEP17	S	1	PD15DEC17	6524.50	6875.75	USD	-8,781.25	
15SEP17	S	1	PD15DEC17	6519.00	6875.75	USD	-8,918.75	
15SEP17	B	2	PD15DEC17	6499.50	6875.75	USD	18,812.50	
15SEP17	B	1	PD15DEC17	6505.50	6875.75	USD	9,256.25	
15SEP17	B	2	PD15DEC17	6505.00	6875.75	USD	18,537.50	
15SEP17	B	2	PD15DEC17	6504.50	6875.75	USD	18,562.50	
15SEP17	B	4	PD15DEC17	6506.00	6875.75	USD	36,975.00	
15SEP17	B	1	PD15DEC17	6509.00	6875.75	USD	9,168.75	
15SEP17	B	2	PD15DEC17	6508.00	6875.75	USD	18,387.50	
15SEP17	B	1	PD15DEC17	6494.50	6875.75	USD	9,531.25	
15SEP17	B	2	PD15DEC17	6495.00	6875.75	USD	19,037.50	
15SEP17	S	1	PD15DEC17	6512.00	6875.75	USD	-9,093.75	
15SEP17	S	2	PD15DEC17	6523.50	6875.75	USD	-17,612.50	
15SEP17	B	2	PD15DEC17	6507.00	6875.75	USD	18,437.50	
15SEP17	S	1	PD15DEC17	6507.50	6875.75	USD	-9,206.25	
15SEP17	B	1	PD15DEC17	6508.50	6875.75	USD	9,181.25	
15SEP17	B	2	PD15DEC17	6502.00	6875.75	USD	18,687.50	
15SEP17	B	2	PD15DEC17	6501.50	6875.75	USD	18,712.50	
15SEP17	B	10	PD15DEC17	6495.00	6875.75	USD	95,187.50	
15SEP17	S	1	PD15DEC17	6497.00	6875.75	USD	-9,468.75	
15SEP17	S	2	PD15DEC17	6499.00	6875.75	USD	-18,837.50	
15SEP17	S	3	PD15DEC17	6498.00	6875.75	USD	-28,331.25	
15SEP17	S	3	PD15DEC17	6493.50	6875.75	USD	-28,668.75	
15SEP17	B	1	PD15DEC17	6498.50	6875.75	USD	9,431.25	
15SEP17	S	3	PD15DEC17	6494.00	6875.75	USD	-28,631.25	
15SEP17	S	1	PD15DEC17	6492.50	6875.75	USD	-9,581.25	
15SEP17	S	2	PD15DEC17	6489.50	6875.75	USD	-19,312.50	
15SEP17	S	3	PD15DEC17	6495.00	6875.75	USD	-28,556.25	
15SEP17	S	1	PD15DEC17	6490.50	6875.75	USD	-9,631.25	
15SEP17	S	1	PD15DEC17	6488.00	6875.75	USD	-9,693.75	
15SEP17	S	5	PD15DEC17	6491.50	6875.75	USD	-48,031.25	
15SEP17	B	1	PD15DEC17	6498.00	6875.75	USD	9,443.75	
15SEP17	S	3	PD15DEC17	6496.00	6875.75	USD	-28,481.25	
15SEP17	S	1	PD15DEC17	6508.50	6875.75	USD	-9,181.25	
15SEP17	S	5	PD15DEC17	6504.00	6875.75	USD	-46,468.75	
15SEP17	S	1	PD15DEC17	6500.50	6875.75	USD	-9,381.25	
15SEP17	S	2	PD15DEC17	6501.50	6875.75	USD	-18,712.50	
15SEP17	B	1	PD15DEC17	6488.00	6875.75	USD	9,693.75	
15SEP17	S	2	PD15DEC17	6490.50	6875.75	USD	-19,262.50	
20SEP17	B	25	PD15DEC17	6525.20	6875.75	USD	219,093.75	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0097Q

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

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FINANCIAL CONDUCT AUTHORITY

Date	Open Position				Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>								
	NET	0 AVG	BGHT	6508.32				-12,700.00	0.00
				SOLD 6501.45					
18SEP17	S	2	PD18DEC17		6547.50	6877.00	USD	-16,475.00	
18SEP17	S	3	PD18DEC17		6546.50	6877.00	USD	-24,787.50	
18SEP17	B	1	PD18DEC17		6533.00	6877.00	USD	8,600.00	
18SEP17	B	1	PD18DEC17		6537.00	6877.00	USD	8,500.00	
18SEP17	B	1	PD18DEC17		6534.00	6877.00	USD	8,575.00	
18SEP17	B	1	PD18DEC17		6533.50	6877.00	USD	8,587.50	
18SEP17	B	1	PD18DEC17		6531.00	6877.00	USD	8,650.00	
18SEP17	B	2	PD18DEC17		6538.50	6877.00	USD	16,925.00	
18SEP17	B	1	PD18DEC17		6541.00	6877.00	USD	8,400.00	
18SEP17	B	3	PD18DEC17		6535.50	6877.00	USD	25,612.50	
18SEP17	B	1	PD18DEC17		6535.00	6877.00	USD	8,550.00	
18SEP17	B	2	PD18DEC17		6536.50	6877.00	USD	17,025.00	
18SEP17	S	1	PD18DEC17		6535.50	6877.00	USD	-8,537.50	
18SEP17	B	1	PD18DEC17		6535.50	6877.00	USD	8,537.50	
18SEP17	S	1	PD18DEC17		6539.50	6877.00	USD	-8,437.50	
18SEP17	S	2	PD18DEC17		6538.50	6877.00	USD	-16,925.00	
18SEP17	S	1	PD18DEC17		6540.50	6877.00	USD	-8,412.50	
18SEP17	S	1	PD18DEC17		6535.00	6877.00	USD	-8,550.00	
18SEP17	S	1	PD18DEC17		6541.50	6877.00	USD	-8,387.50	
18SEP17	B	5	PD18DEC17		6540.50	6877.00	USD	42,062.50	
18SEP17	S	3	PD18DEC17		6541.00	6877.00	USD	-25,200.00	
18SEP17	S	1	PD18DEC17		6535.50	6877.00	USD	-8,537.50	
18SEP17	S	1	PD18DEC17		6528.00	6877.00	USD	-8,725.00	
18SEP17	S	4	PD18DEC17		6536.50	6877.00	USD	-34,050.00	
18SEP17	S	2	PD18DEC17		6538.00	6877.00	USD	-16,950.00	
18SEP17	S	3	PD18DEC17		6539.00	6877.00	USD	-25,350.00	
18SEP17	S	1	PD18DEC17		6542.00	6877.00	USD	-8,375.00	
18SEP17	B	1	PD18DEC17		6527.00	6877.00	USD	8,750.00	
18SEP17	B	1	PD18DEC17		6525.00	6877.00	USD	8,800.00	
18SEP17	B	1	PD18DEC17		6524.50	6877.00	USD	8,812.50	
18SEP17	B	2	PD18DEC17		6525.50	6877.00	USD	17,575.00	
18SEP17	B	2	PD18DEC17		6529.00	6877.00	USD	17,400.00	
18SEP17	B	8	PD18DEC17		6528.00	6877.00	USD	69,800.00	
18SEP17	B	2	PD18DEC17		6532.50	6877.00	USD	17,225.00	
18SEP17	B	1	PD18DEC17		6534.50	6877.00	USD	8,562.50	
18SEP17	S	1	PD18DEC17		6532.50	6877.00	USD	-8,612.50	
18SEP17	B	3	PD18DEC17		6532.00	6877.00	USD	25,875.00	
18SEP17	B	1	PD18DEC17		6531.50	6877.00	USD	8,637.50	
18SEP17	B	1	PD18DEC17		6525.50	6877.00	USD	8,787.50	
19SEP17	S	15	PD18DEC17		6538.40	6877.00	USD	-126,975.00	
	NET	0 AVG	BGHT	6532.58				6,962.50	0.00
				SOLD 6539.06					
19SEP17	S	1	PD19DEC17		6535.00	6877.00	USD	-8,550.00	
19SEP17	S	7	PD19DEC17		6531.50	6877.00	USD	-60,462.50	
19SEP17	S	5	PD19DEC17		6532.00	6877.00	USD	-43,125.00	
19SEP17	S	1	PD19DEC17		6531.00	6877.00	USD	-8,650.00	
19SEP17	S	1	PD19DEC17		6534.00	6877.00	USD	-8,575.00	
19SEP17	S	5	PD19DEC17		6532.50	6877.00	USD	-43,062.50	
19SEP17	S	1	PD19DEC17		6538.00	6877.00	USD	-8,475.00	
19SEP17	S	3	PD19DEC17		6539.00	6877.00	USD	-25,350.00	
19SEP17	S	1	PD19DEC17		6540.00	6877.00	USD	-8,425.00	
19SEP17	S	1	PD19DEC17		6546.00	6877.00	USD	-8,275.00	
19SEP17	S	1	PD19DEC17		6545.50	6877.00	USD	-8,287.50	
19SEP17	S	3	PD19DEC17		6546.50	6877.00	USD	-24,787.50	
19SEP17	S	5	PD19DEC17		6519.00	6877.00	USD	-44,750.00	
19SEP17	B	1	PD19DEC17		6543.50	6877.00	USD	8,337.50	
19SEP17	B	1	PD19DEC17		6542.50	6877.00	USD	8,362.50	

OPEN POSITION STATEMENT



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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
19SEP17	B	1	PD19DEC17	6541.00	6877.00	USD	8,400.00	
19SEP17	B	2	PD19DEC17	6538.50	6877.00	USD	16,925.00	
19SEP17	B	7	PD19DEC17	6540.00	6877.00	USD	58,975.00	
19SEP17	B	1	PD19DEC17	6549.50	6877.00	USD	8,187.50	
19SEP17	B	2	PD19DEC17	6550.50	6877.00	USD	16,325.00	
19SEP17	S	1	PD19DEC17	6544.00	6877.00	USD	-8,325.00	
19SEP17	B	3	PD19DEC17	6540.50	6877.00	USD	25,237.50	
19SEP17	B	8	PD19DEC17	6542.00	6877.00	USD	67,000.00	
19SEP17	B	4	PD19DEC17	6539.50	6877.00	USD	33,750.00	
19SEP17	B	1	PD19DEC17	6537.50	6877.00	USD	8,487.50	
19SEP17	B	3	PD19DEC17	6545.00	6877.00	USD	24,900.00	
19SEP17	B	3	PD19DEC17	6544.00	6877.00	USD	24,975.00	
19SEP17	B	2	PD19DEC17	6536.50	6877.00	USD	17,025.00	
19SEP17	B	1	PD19DEC17	6518.50	6877.00	USD	8,962.50	
19SEP17	B	1	PD19DEC17	6521.00	6877.00	USD	8,900.00	
19SEP17	B	1	PD19DEC17	6522.00	6877.00	USD	8,875.00	
19SEP17	B	1	PD19DEC17	6523.00	6877.00	USD	8,850.00	
19SEP17	B	4	PD19DEC17	6534.50	6877.00	USD	34,250.00	
19SEP17	B	1	PD19DEC17	6533.50	6877.00	USD	8,587.50	
19SEP17	B	2	PD19DEC17	6529.00	6877.00	USD	17,400.00	
19SEP17	B	2	PD19DEC17	6527.00	6877.00	USD	17,500.00	
19SEP17	B	2	PD19DEC17	6520.00	6877.00	USD	17,850.00	
19SEP17	B	1	PD19DEC17	6529.50	6877.00	USD	8,687.50	
19SEP17	B	1	PD19DEC17	6520.50	6877.00	USD	8,912.50	
19SEP17	B	3	PD19DEC17	6524.50	6877.00	USD	26,437.50	
19SEP17	B	1	PD19DEC17	6524.00	6877.00	USD	8,825.00	
19SEP17	B	18	PD19DEC17	6525.00	6877.00	USD	158,400.00	
19SEP17	B	1	PD19DEC17	6522.50	6877.00	USD	8,862.50	
19SEP17	S	1	PD19DEC17	6521.00	6877.00	USD	-8,900.00	
19SEP17	B	5	PD19DEC17	6517.50	6877.00	USD	44,937.50	
19SEP17	B	9	PD19DEC17	6518.00	6877.00	USD	80,775.00	
19SEP17	B	1	PD19DEC17	6516.00	6877.00	USD	9,025.00	
19SEP17	B	4	PD19DEC17	6515.00	6877.00	USD	36,200.00	
19SEP17	B	1	PD19DEC17	6514.00	6877.00	USD	9,075.00	
19SEP17	B	1	PD19DEC17	6515.50	6877.00	USD	9,037.50	
19SEP17	B	2	PD19DEC17	6516.50	6877.00	USD	18,025.00	
19SEP17	B	4	PD19DEC17	6514.50	6877.00	USD	36,250.00	
19SEP17	B	1	PD19DEC17	6542.00	6877.00	USD	8,375.00	
28SEP17	S	5	PD19DEC17	6519.53	6877.00	USD	-44,683.75	
03OCT17	S	50	PD19DEC17	6517.35	6877.00	USD	-449,562.50	
06OCT17	S	15	PD19DEC17	6662.00	6877.00	USD	-80,625.00	
	NET	0AVG BGHT 6529.38	SOLD 6543.22				37,016.25	0.00
20SEP17	S	1	PD20DEC17	6555.00	6877.00	USD	-8,050.00	
20SEP17	S	1	PD20DEC17	6556.50	6877.00	USD	-8,012.50	
20SEP17	S	1	PD20DEC17	6558.00	6877.00	USD	-7,975.00	
20SEP17	S	1	PD20DEC17	6559.50	6877.00	USD	-7,937.50	
20SEP17	S	1	PD20DEC17	6560.00	6877.00	USD	-7,925.00	
20SEP17	S	2	PD20DEC17	6558.50	6877.00	USD	-15,925.00	
20SEP17	S	3	PD20DEC17	6557.50	6877.00	USD	-23,962.50	
20SEP17	S	1	PD20DEC17	6561.00	6877.00	USD	-7,900.00	
20SEP17	S	4	PD20DEC17	6560.50	6877.00	USD	-31,650.00	
20SEP17	S	5	PD20DEC17	6562.00	6877.00	USD	-39,375.00	
20SEP17	S	3	PD20DEC17	6508.00	6877.00	USD	-27,675.00	
20SEP17	S	1	PD20DEC17	6522.00	6877.00	USD	-8,875.00	
20SEP17	B	2	PD20DEC17	6523.50	6877.00	USD	17,675.00	
20SEP17	S	1	PD20DEC17	6524.00	6877.00	USD	-8,825.00	
20SEP17	S	1	PD20DEC17	6519.50	6877.00	USD	-8,937.50	

OPEN POSITION STATEMENT



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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
20SEP17	B	2	PD20DEC17	6520.00	6877.00	USD	17,850.00	
20SEP17	B	1	PD20DEC17	6522.50	6877.00	USD	8,862.50	
20SEP17	B	1	PD20DEC17	6523.00	6877.00	USD	8,850.00	
20SEP17	B	1	PD20DEC17	6525.00	6877.00	USD	8,800.00	
20SEP17	B	1	PD20DEC17	6529.00	6877.00	USD	8,700.00	
20SEP17	B	1	PD20DEC17	6528.50	6877.00	USD	8,712.50	
20SEP17	B	2	PD20DEC17	6529.50	6877.00	USD	17,375.00	
20SEP17	B	4	PD20DEC17	6526.50	6877.00	USD	35,050.00	
20SEP17	B	6	PD20DEC17	6524.50	6877.00	USD	52,875.00	
20SEP17	B	2	PD20DEC17	6525.50	6877.00	USD	17,575.00	
20SEP17	S	1	PD20DEC17	6528.00	6877.00	USD	-8,725.00	
20SEP17	S	1	PD20DEC17	6527.50	6877.00	USD	-8,737.50	
20SEP17	B	1	PD20DEC17	6531.00	6877.00	USD	8,650.00	
20SEP17	B	2	PD20DEC17	6527.50	6877.00	USD	17,475.00	
20SEP17	B	1	PD20DEC17	6527.00	6877.00	USD	8,750.00	
20SEP17	B	1	PD20DEC17	6530.50	6877.00	USD	8,662.50	
20SEP17	B	2	PD20DEC17	6535.50	6877.00	USD	17,075.00	
20SEP17	S	2	PD20DEC17	6530.00	6877.00	USD	-17,350.00	
	NET	0	AVG BGHT 6526.42	SOLD 6546.55			15,100.00	0.00
21SEP17	S	2	PD21DEC17	6455.50	6876.00	USD	-21,025.00	
21SEP17	S	1	PD21DEC17	6452.50	6876.00	USD	-10,587.50	
21SEP17	S	1	PD21DEC17	6451.00	6876.00	USD	-10,625.00	
21SEP17	S	1	PD21DEC17	6454.00	6876.00	USD	-10,550.00	
21SEP17	S	1	PD21DEC17	6458.00	6876.00	USD	-10,450.00	
21SEP17	S	2	PD21DEC17	6459.00	6876.00	USD	-20,850.00	
21SEP17	S	4	PD21DEC17	6460.00	6876.00	USD	-41,600.00	
21SEP17	S	1	PD21DEC17	6459.50	6876.00	USD	-10,412.50	
21SEP17	S	6	PD21DEC17	6454.50	6876.00	USD	-63,225.00	
21SEP17	S	1	PD21DEC17	6455.00	6876.00	USD	-10,525.00	
21SEP17	S	4	PD21DEC17	6456.50	6876.00	USD	-41,950.00	
21SEP17	S	5	PD21DEC17	6450.50	6876.00	USD	-53,187.50	
21SEP17	S	1	PD21DEC17	6437.00	6876.00	USD	-10,975.00	
21SEP17	S	1	PD21DEC17	6440.50	6876.00	USD	-10,887.50	
21SEP17	S	1	PD21DEC17	6443.50	6876.00	USD	-10,812.50	
21SEP17	S	2	PD21DEC17	6442.50	6876.00	USD	-21,675.00	
21SEP17	S	3	PD21DEC17	6442.00	6876.00	USD	-32,550.00	
21SEP17	S	1	PD21DEC17	6438.50	6876.00	USD	-10,937.50	
21SEP17	S	1	PD21DEC17	6434.50	6876.00	USD	-11,037.50	
21SEP17	S	1	PD21DEC17	6435.00	6876.00	USD	-11,025.00	
21SEP17	S	1	PD21DEC17	6435.50	6876.00	USD	-11,012.50	
21SEP17	S	2	PD21DEC17	6436.50	6876.00	USD	-21,975.00	
21SEP17	S	1	PD21DEC17	6453.00	6876.00	USD	-10,575.00	
21SEP17	B	1	PD21DEC17	6445.50	6876.00	USD	10,762.50	
21SEP17	B	1	PD21DEC17	6469.50	6876.00	USD	10,162.50	
21SEP17	S	1	PD21DEC17	6456.50	6876.00	USD	-10,487.50	
21SEP17	S	2	PD21DEC17	6442.00	6876.00	USD	-21,700.00	
21SEP17	B	1	PD21DEC17	6441.00	6876.00	USD	10,875.00	
21SEP17	B	1	PD21DEC17	6446.50	6876.00	USD	10,737.50	
21SEP17	S	1	PD21DEC17	6445.00	6876.00	USD	-10,775.00	
21SEP17	B	1	PD21DEC17	6453.00	6876.00	USD	10,575.00	
21SEP17	B	1	PD21DEC17	6473.00	6876.00	USD	10,075.00	
21SEP17	B	1	PD21DEC17	6455.50	6876.00	USD	10,512.50	
21SEP17	B	1	PD21DEC17	6458.00	6876.00	USD	10,450.00	
21SEP17	S	1	PD21DEC17	6463.50	6876.00	USD	-10,312.50	
21SEP17	S	1	PD21DEC17	6467.50	6876.00	USD	-10,212.50	
21SEP17	B	1	PD21DEC17	6482.50	6876.00	USD	9,837.50	
21SEP17	B	1	PD21DEC17	6486.00	6876.00	USD	9,750.00	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
21SEP17	B	2	PD21DEC17	6483.50	6876.00	USD	19,625.00	
21SEP17	B	1	PD21DEC17	6477.00	6876.00	USD	9,975.00	
21SEP17	B	2	PD21DEC17	6478.00	6876.00	USD	19,900.00	
21SEP17	B	1	PD21DEC17	6474.00	6876.00	USD	10,050.00	
21SEP17	B	2	PD21DEC17	6472.00	6876.00	USD	20,200.00	
21SEP17	S	1	PD21DEC17	6477.50	6876.00	USD	-9,962.50	
21SEP17	B	1	PD21DEC17	6477.50	6876.00	USD	9,962.50	
21SEP17	B	4	PD21DEC17	6478.50	6876.00	USD	39,750.00	
21SEP17	B	2	PD21DEC17	6481.50	6876.00	USD	19,725.00	
21SEP17	B	2	PD21DEC17	6480.00	6876.00	USD	19,800.00	
21SEP17	B	9	PD21DEC17	6479.00	6876.00	USD	89,325.00	
21SEP17	B	1	PD21DEC17	6484.00	6876.00	USD	9,800.00	
21SEP17	B	7	PD21DEC17	6480.50	6876.00	USD	69,212.50	
21SEP17	B	3	PD21DEC17	6483.00	6876.00	USD	29,475.00	
21SEP17	B	6	PD21DEC17	6484.50	6876.00	USD	58,725.00	
21SEP17	B	1	PD21DEC17	6487.50	6876.00	USD	9,712.50	
21SEP17	B	1	PD21DEC17	6459.00	6876.00	USD	10,425.00	
21SEP17	B	1	PD21DEC17	6456.00	6876.00	USD	10,500.00	
21SEP17	B	2	PD21DEC17	6450.00	6876.00	USD	21,300.00	
21SEP17	B	2	PD21DEC17	6449.50	6876.00	USD	21,325.00	
21SEP17	B	1	PD21DEC17	6482.50	6876.00	USD	9,837.50	
06OCT17	S	10	PD21DEC17	6661.50	6876.00	USD	-53,625.00	
	NET	0AVG BGHT 6474.45	SOLD 6485.49				16,837.50	0.00
22SEP17	S	1	PD22DEC17	6397.50	6875.00	USD	-11,937.50	
22SEP17	S	4	PD22DEC17	6397.00	6875.00	USD	-47,800.00	
22SEP17	S	1	PD22DEC17	6391.50	6875.00	USD	-12,087.50	
22SEP17	B	2	PD22DEC17	6390.50	6875.00	USD	24,225.00	
22SEP17	B	4	PD22DEC17	6391.50	6875.00	USD	48,350.00	
22SEP17	B	3	PD22DEC17	6392.00	6875.00	USD	36,225.00	
22SEP17	B	1	PD22DEC17	6374.50	6875.00	USD	12,512.50	
22SEP17	B	1	PD22DEC17	6374.00	6875.00	USD	12,525.00	
22SEP17	B	3	PD22DEC17	6373.50	6875.00	USD	37,612.50	
22SEP17	S	1	PD22DEC17	6387.00	6875.00	USD	-12,200.00	
22SEP17	S	1	PD22DEC17	6385.00	6875.00	USD	-12,250.00	
22SEP17	S	2	PD22DEC17	6383.50	6875.00	USD	-24,575.00	
22SEP17	S	1	PD22DEC17	6386.00	6875.00	USD	-12,225.00	
22SEP17	S	1	PD22DEC17	6387.50	6875.00	USD	-12,187.50	
22SEP17	S	2	PD22DEC17	6385.50	6875.00	USD	-24,475.00	
22SEP17	S	1	PD22DEC17	6396.50	6875.00	USD	-11,962.50	
22SEP17	S	1	PD22DEC17	6392.50	6875.00	USD	-12,062.50	
22SEP17	S	3	PD22DEC17	6394.50	6875.00	USD	-36,037.50	
22SEP17	S	4	PD22DEC17	6393.50	6875.00	USD	-48,150.00	
22SEP17	S	1	PD22DEC17	6395.50	6875.00	USD	-11,987.50	
22SEP17	B	1	PD22DEC17	6396.50	6875.00	USD	11,962.50	
22SEP17	S	1	PD22DEC17	6392.00	6875.00	USD	-12,075.00	
22SEP17	S	2	PD22DEC17	6394.00	6875.00	USD	-24,050.00	
22SEP17	B	1	PD22DEC17	6396.00	6875.00	USD	11,975.00	
22SEP17	S	1	PD22DEC17	6403.00	6875.00	USD	-11,800.00	
22SEP17	B	1	PD22DEC17	6409.50	6875.00	USD	11,637.50	
22SEP17	B	1	PD22DEC17	6407.50	6875.00	USD	11,687.50	
22SEP17	B	1	PD22DEC17	6417.00	6875.00	USD	11,450.00	
22SEP17	B	1	PD22DEC17	6416.50	6875.00	USD	11,462.50	
22SEP17	B	2	PD22DEC17	6408.50	6875.00	USD	23,325.00	
22SEP17	S	1	PD22DEC17	6416.50	6875.00	USD	-11,462.50	
22SEP17	B	1	PD22DEC17	6415.50	6875.00	USD	11,487.50	
22SEP17	S	1	PD22DEC17	6423.50	6875.00	USD	-11,287.50	
22SEP17	S	1	PD22DEC17	6421.00	6875.00	USD	-11,350.00	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0097Q

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

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FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
22SEP17	S	2	PD22DEC17	6420.00	6875.00	USD	-22,750.00	
22SEP17	S	4	PD22DEC17	6429.50	6875.00	USD	-44,550.00	
22SEP17	S	2	PD22DEC17	6430.50	6875.00	USD	-22,225.00	
22SEP17	S	2	PD22DEC17	6437.00	6875.00	USD	-21,900.00	
22SEP17	S	1	PD22DEC17	6438.00	6875.00	USD	-10,925.00	
22SEP17	S	1	PD22DEC17	6441.00	6875.00	USD	-10,850.00	
22SEP17	S	1	PD22DEC17	6442.00	6875.00	USD	-10,825.00	
22SEP17	S	6	PD22DEC17	6439.00	6875.00	USD	-65,400.00	
22SEP17	S	5	PD22DEC17	6436.00	6875.00	USD	-54,875.00	
22SEP17	S	2	PD22DEC17	6436.50	6875.00	USD	-21,925.00	
22SEP17	S	1	PD22DEC17	6433.50	6875.00	USD	-11,037.50	
22SEP17	S	1	PD22DEC17	6431.00	6875.00	USD	-11,100.00	
22SEP17	S	3	PD22DEC17	6433.00	6875.00	USD	-33,150.00	
22SEP17	S	3	PD22DEC17	6431.50	6875.00	USD	-33,262.50	
22SEP17	S	3	PD22DEC17	6437.50	6875.00	USD	-32,812.50	
22SEP17	S	1	PD22DEC17	6439.50	6875.00	USD	-10,887.50	
22SEP17	S	1	PD22DEC17	6435.00	6875.00	USD	-11,000.00	
22SEP17	S	1	PD22DEC17	6434.50	6875.00	USD	-11,012.50	
22SEP17	S	9	PD22DEC17	6432.00	6875.00	USD	-99,675.00	
22SEP17	S	1	PD22DEC17	6428.50	6875.00	USD	-11,162.50	
22SEP17	S	2	PD22DEC17	6427.00	6875.00	USD	-22,400.00	
22SEP17	S	7	PD22DEC17	6426.50	6875.00	USD	-78,487.50	
22SEP17	S	6	PD22DEC17	6428.00	6875.00	USD	-67,050.00	
22SEP17	B	1	PD22DEC17	6465.00	6875.00	USD	10,250.00	
22SEP17	B	1	PD22DEC17	6460.50	6875.00	USD	10,362.50	
22SEP17	B	1	PD22DEC17	6457.50	6875.00	USD	10,437.50	
22SEP17	B	2	PD22DEC17	6452.50	6875.00	USD	21,125.00	
22SEP17	B	6	PD22DEC17	6448.00	6875.00	USD	64,050.00	
22SEP17	B	3	PD22DEC17	6452.00	6875.00	USD	31,725.00	
22SEP17	B	1	PD22DEC17	6455.00	6875.00	USD	10,500.00	
22SEP17	B	11	PD22DEC17	6451.50	6875.00	USD	116,462.50	
22SEP17	S	1	PD22DEC17	6431.50	6875.00	USD	-11,087.50	
22SEP17	S	1	PD22DEC17	6432.00	6875.00	USD	-11,075.00	
22SEP17	B	3	PD22DEC17	6450.00	6875.00	USD	31,875.00	
22SEP17	B	8	PD22DEC17	6444.00	6875.00	USD	86,200.00	
22SEP17	S	2	PD22DEC17	6444.50	6875.00	USD	-21,525.00	
22SEP17	B	5	PD22DEC17	6443.50	6875.00	USD	53,937.50	
22SEP17	B	1	PD22DEC17	6439.00	6875.00	USD	10,900.00	
22SEP17	B	1	PD22DEC17	6442.50	6875.00	USD	10,812.50	
22SEP17	B	4	PD22DEC17	6440.00	6875.00	USD	43,500.00	
22SEP17	S	1	PD22DEC17	6450.00	6875.00	USD	-10,625.00	
22SEP17	S	1	PD22DEC17	6448.50	6875.00	USD	-10,662.50	
22SEP17	S	3	PD22DEC17	6445.00	6875.00	USD	-32,250.00	
22SEP17	S	1	PD22DEC17	6445.50	6875.00	USD	-10,737.50	
22SEP17	S	1	PD22DEC17	6447.50	6875.00	USD	-10,687.50	
22SEP17	S	1	PD22DEC17	6446.50	6875.00	USD	-10,712.50	
22SEP17	S	4	PD22DEC17	6447.00	6875.00	USD	-42,800.00	
22SEP17	S	6	PD22DEC17	6452.50	6875.00	USD	-63,375.00	
22SEP17	S	5	PD22DEC17	6468.00	6875.00	USD	-50,875.00	
22SEP17	S	5	PD22DEC17	6471.00	6875.00	USD	-50,500.00	
22SEP17	B	1	PD22DEC17	6472.00	6875.00	USD	10,075.00	
22SEP17	B	1	PD22DEC17	6460.00	6875.00	USD	10,375.00	
22SEP17	B	5	PD22DEC17	6461.50	6875.00	USD	51,687.50	
22SEP17	B	6	PD22DEC17	6453.00	6875.00	USD	63,300.00	
22SEP17	B	11	PD22DEC17	6453.50	6875.00	USD	115,912.50	
22SEP17	B	5	PD22DEC17	6449.00	6875.00	USD	53,250.00	
22SEP17	B	4	PD22DEC17	6448.50	6875.00	USD	42,650.00	

OPEN POSITION STATEMENT



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SAP0097Q

13th October 2017

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
22SEP17	B	2	PD22DEC17	6447.50	6875.00	USD	21,375.00	
22SEP17	B	1	PD22DEC17	6442.00	6875.00	USD	10,825.00	
22SEP17	B	1	PD22DEC17	6441.50	6875.00	USD	10,837.50	
22SEP17	B	9	PD22DEC17	6441.00	6875.00	USD	97,650.00	
22SEP17	B	2	PD22DEC17	6443.00	6875.00	USD	21,600.00	
22SEP17	B	5	PD22DEC17	6447.00	6875.00	USD	53,500.00	
22SEP17	S	2	PD22DEC17	6454.50	6875.00	USD	-21,025.00	
22SEP17	S	6	PD22DEC17	6457.00	6875.00	USD	-62,700.00	
22SEP17	S	1	PD22DEC17	6451.50	6875.00	USD	-10,587.50	
22SEP17	S	3	PD22DEC17	6448.00	6875.00	USD	-32,025.00	
22SEP17	S	1	PD22DEC17	6449.00	6875.00	USD	-10,650.00	
22SEP17	S	2	PD22DEC17	6446.00	6875.00	USD	-21,450.00	
22SEP17	S	5	PD22DEC17	6463.00	6875.00	USD	-51,500.00	
22SEP17	S	6	PD22DEC17	6460.50	6875.00	USD	-62,175.00	
22SEP17	S	6	PD22DEC17	6462.00	6875.00	USD	-61,950.00	
22SEP17	B	2	PD22DEC17	6454.00	6875.00	USD	21,050.00	
22SEP17	B	3	PD22DEC17	6455.50	6875.00	USD	31,462.50	
22SEP17	B	11	PD22DEC17	6458.00	6875.00	USD	114,675.00	
22SEP17	B	5	PD22DEC17	6454.50	6875.00	USD	52,562.50	
22SEP17	S	12	PD22DEC17	6454.00	6875.00	USD	-126,300.00	
22SEP17	S	6	PD22DEC17	6455.00	6875.00	USD	-63,000.00	
22SEP17	S	1	PD22DEC17	6457.50	6875.00	USD	-10,437.50	
22SEP17	S	6	PD22DEC17	6456.00	6875.00	USD	-62,850.00	
22SEP17	S	3	PD22DEC17	6462.50	6875.00	USD	-30,937.50	
22SEP17	S	4	PD22DEC17	6470.50	6875.00	USD	-40,450.00	
22SEP17	S	1	PD22DEC17	6469.00	6875.00	USD	-10,150.00	
22SEP17	S	5	PD22DEC17	6470.00	6875.00	USD	-50,625.00	
22SEP17	S	1	PD22DEC17	6467.00	6875.00	USD	-10,200.00	
22SEP17	S	10	PD22DEC17	6466.00	6875.00	USD	-102,250.00	
22SEP17	S	2	PD22DEC17	6465.00	6875.00	USD	-20,500.00	
22SEP17	B	1	PD22DEC17	6459.00	6875.00	USD	10,400.00	
22SEP17	B	1	PD22DEC17	6457.00	6875.00	USD	10,450.00	
22SEP17	B	2	PD22DEC17	6458.50	6875.00	USD	20,825.00	
22SEP17	B	1	PD22DEC17	6461.00	6875.00	USD	10,350.00	
22SEP17	B	6	PD22DEC17	6466.00	6875.00	USD	61,350.00	
22SEP17	B	5	PD22DEC17	6465.50	6875.00	USD	51,187.50	
22SEP17	B	1	PD22DEC17	6464.50	6875.00	USD	10,262.50	
22SEP17	B	1	PD22DEC17	6468.50	6875.00	USD	10,162.50	
22SEP17	B	4	PD22DEC17	6468.00	6875.00	USD	40,700.00	
22SEP17	B	4	PD22DEC17	6463.50	6875.00	USD	41,150.00	
22SEP17	B	3	PD22DEC17	6463.00	6875.00	USD	30,900.00	
22SEP17	B	1	PD22DEC17	6462.50	6875.00	USD	10,312.50	
22SEP17	B	3	PD22DEC17	6462.00	6875.00	USD	30,975.00	
22SEP17	B	13	PD22DEC17	6464.00	6875.00	USD	133,575.00	
22SEP17	B	1	PD22DEC17	6479.50	6875.00	USD	9,887.50	
22SEP17	B	6	PD22DEC17	6478.50	6875.00	USD	59,475.00	
22SEP17	B	1	PD22DEC17	6476.50	6875.00	USD	9,962.50	
22SEP17	B	2	PD22DEC17	6475.50	6875.00	USD	19,975.00	
22SEP17	B	2	PD22DEC17	6470.00	6875.00	USD	20,250.00	
22SEP17	B	3	PD22DEC17	6474.50	6875.00	USD	30,037.50	
22SEP17	B	5	PD22DEC17	6475.00	6875.00	USD	50,000.00	
	NET	0AVG BGHT 6449.68	SOLD 6440.90				-46,350.00	0.00
25SEP17	S	2	PD27DEC17	6461.50	6876.67	USD	-20,758.50	
25SEP17	S	2	PD27DEC17	6458.00	6876.67	USD	-20,933.50	
25SEP17	S	5	PD27DEC17	6456.50	6876.67	USD	-52,521.25	
25SEP17	S	2	PD27DEC17	6469.50	6876.67	USD	-20,358.50	
25SEP17	S	2	PD27DEC17	6470.00	6876.67	USD	-20,333.50	

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
25SEP17	S	4	PD27DEC17	6469.00	6876.67	USD	-40,767.00	
25SEP17	S	1	PD27DEC17	6462.00	6876.67	USD	-10,366.75	
25SEP17	S	7	PD27DEC17	6465.00	6876.67	USD	-72,042.25	
25SEP17	S	11	PD27DEC17	6464.00	6876.67	USD	-113,484.25	
25SEP17	S	3	PD27DEC17	6464.50	6876.67	USD	-30,912.75	
25SEP17	S	5	PD27DEC17	6465.50	6876.67	USD	-51,396.25	
25SEP17	S	12	PD27DEC17	6463.50	6876.67	USD	-123,951.00	
25SEP17	S	6	PD27DEC17	6462.50	6876.67	USD	-62,125.50	
25SEP17	S	1	PD27DEC17	6460.50	6876.67	USD	-10,404.25	
25SEP17	S	3	PD27DEC17	6457.50	6876.67	USD	-31,437.75	
25SEP17	S	1	PD27DEC17	6459.50	6876.67	USD	-10,429.25	
25SEP17	S	1	PD27DEC17	6442.00	6876.67	USD	-10,866.75	
25SEP17	S	1	PD27DEC17	6445.50	6876.67	USD	-10,779.25	
25SEP17	B	1	PD27DEC17	6446.50	6876.67	USD	10,754.25	
25SEP17	S	1	PD27DEC17	6452.50	6876.67	USD	-10,604.25	
25SEP17	S	1	PD27DEC17	6451.00	6876.67	USD	-10,641.75	
25SEP17	S	3	PD27DEC17	6452.00	6876.67	USD	-31,850.25	
25SEP17	S	5	PD27DEC17	6449.50	6876.67	USD	-53,396.25	
25SEP17	S	2	PD27DEC17	6450.50	6876.67	USD	-21,308.50	
25SEP17	S	10	PD27DEC17	6453.00	6876.67	USD	-105,917.50	
25SEP17	S	1	PD27DEC17	6453.50	6876.67	USD	-10,579.25	
25SEP17	S	7	PD27DEC17	6454.00	6876.67	USD	-73,967.25	
25SEP17	S	3	PD27DEC17	6457.00	6876.67	USD	-31,475.25	
25SEP17	S	3	PD27DEC17	6459.00	6876.67	USD	-31,325.25	
25SEP17	S	14	PD27DEC17	6451.50	6876.67	USD	-148,809.50	
25SEP17	S	3	PD27DEC17	6446.00	6876.67	USD	-32,300.25	
25SEP17	S	1	PD27DEC17	6441.50	6876.67	USD	-10,879.25	
25SEP17	S	1	PD27DEC17	6442.50	6876.67	USD	-10,854.25	
25SEP17	S	5	PD27DEC17	6443.00	6876.67	USD	-54,208.75	
25SEP17	S	1	PD27DEC17	6443.50	6876.67	USD	-10,829.25	
25SEP17	S	1	PD27DEC17	6444.00	6876.67	USD	-10,816.75	
25SEP17	B	1	PD27DEC17	6461.00	6876.67	USD	10,391.75	
25SEP17	B	4	PD27DEC17	6460.00	6876.67	USD	41,667.00	
26SEP17	B	1	PD27DEC17	6509.50	6876.67	USD	9,179.25	
26SEP17	B	1	PD27DEC17	6511.00	6876.67	USD	9,141.75	
26SEP17	B	2	PD27DEC17	6513.00	6876.67	USD	18,183.50	
26SEP17	B	3	PD27DEC17	6512.00	6876.67	USD	27,350.25	
26SEP17	B	2	PD27DEC17	6512.50	6876.67	USD	18,208.50	
26SEP17	S	2	PD27DEC17	6514.00	6876.67	USD	-18,133.50	
26SEP17	B	1	PD27DEC17	6516.50	6876.67	USD	9,004.25	
26SEP17	S	2	PD27DEC17	6514.50	6876.67	USD	-18,108.50	
26SEP17	S	1	PD27DEC17	6513.00	6876.67	USD	-9,091.75	
26SEP17	S	1	PD27DEC17	6511.00	6876.67	USD	-9,141.75	
26SEP17	B	1	PD27DEC17	6497.50	6876.67	USD	9,479.25	
26SEP17	B	3	PD27DEC17	6500.00	6876.67	USD	28,250.25	
26SEP17	B	1	PD27DEC17	6499.50	6876.67	USD	9,429.25	
26SEP17	B	2	PD27DEC17	6499.00	6876.67	USD	18,883.50	
26SEP17	B	2	PD27DEC17	6496.50	6876.67	USD	19,008.50	
26SEP17	B	4	PD27DEC17	6500.50	6876.67	USD	37,617.00	
26SEP17	B	2	PD27DEC17	6501.50	6876.67	USD	18,758.50	
26SEP17	B	5	PD27DEC17	6501.00	6876.67	USD	46,958.75	
26SEP17	B	5	PD27DEC17	6498.00	6876.67	USD	47,333.75	
26SEP17	B	5	PD27DEC17	6502.50	6876.67	USD	46,771.25	
26SEP17	B	6	PD27DEC17	6506.50	6876.67	USD	55,525.50	
26SEP17	B	5	PD27DEC17	6507.00	6876.67	USD	46,208.75	
26SEP17	S	1	PD27DEC17	6505.00	6876.67	USD	-9,291.75	
26SEP17	B	1	PD27DEC17	6490.50	6876.67	USD	9,654.25	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0097Q

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
26SEP17	S	1	PD27DEC17	6482.00	6876.67	USD	-9,866.75	
26SEP17	S	1	PD27DEC17	6483.00	6876.67	USD	-9,841.75	
26SEP17	B	1	PD27DEC17	6483.50	6876.67	USD	9,829.25	
26SEP17	B	1	PD27DEC17	6481.50	6876.67	USD	9,879.25	
26SEP17	S	5	PD27DEC17	6465.50	6876.67	USD	-51,396.25	
26SEP17	S	1	PD27DEC17	6460.00	6876.67	USD	-10,416.75	
26SEP17	S	2	PD27DEC17	6459.00	6876.67	USD	-20,883.50	
26SEP17	S	1	PD27DEC17	6458.50	6876.67	USD	-10,454.25	
26SEP17	S	1	PD27DEC17	6456.00	6876.67	USD	-10,516.75	
26SEP17	S	5	PD27DEC17	6456.50	6876.67	USD	-52,521.25	
26SEP17	S	1	PD27DEC17	6460.50	6876.67	USD	-10,404.25	
26SEP17	S	1	PD27DEC17	6463.00	6876.67	USD	-10,341.75	
26SEP17	S	2	PD27DEC17	6464.00	6876.67	USD	-20,633.50	
26SEP17	S	17	PD27DEC17	6466.00	6876.67	USD	-174,534.75	
26SEP17	S	6	PD27DEC17	6461.00	6876.67	USD	-62,350.50	
26SEP17	S	1	PD27DEC17	6462.00	6876.67	USD	-10,366.75	
26SEP17	S	7	PD27DEC17	6465.00	6876.67	USD	-72,042.25	
26SEP17	S	1	PD27DEC17	6407.50	6876.67	USD	-11,729.25	
26SEP17	S	1	PD27DEC17	6411.00	6876.67	USD	-11,641.75	
26SEP17	S	1	PD27DEC17	6413.00	6876.67	USD	-11,591.75	
26SEP17	S	5	PD27DEC17	6417.50	6876.67	USD	-57,396.25	
26SEP17	S	1	PD27DEC17	6420.00	6876.67	USD	-11,416.75	
26SEP17	S	1	PD27DEC17	6423.00	6876.67	USD	-11,341.75	
26SEP17	S	1	PD27DEC17	6420.50	6876.67	USD	-11,404.25	
26SEP17	S	3	PD27DEC17	6422.00	6876.67	USD	-34,100.25	
26SEP17	S	2	PD27DEC17	6421.00	6876.67	USD	-22,783.50	
26SEP17	B	1	PD27DEC17	6424.50	6876.67	USD	11,304.25	
26SEP17	B	5	PD27DEC17	6422.50	6876.67	USD	56,771.25	
26SEP17	B	5	PD27DEC17	6419.00	6876.67	USD	57,208.75	
26SEP17	B	2	PD27DEC17	6420.50	6876.67	USD	22,808.50	
26SEP17	B	3	PD27DEC17	6419.50	6876.67	USD	34,287.75	
26SEP17	B	1	PD27DEC17	6412.50	6876.67	USD	11,604.25	
26SEP17	S	1	PD27DEC17	6415.00	6876.67	USD	-11,541.75	
26SEP17	B	1	PD27DEC17	6418.00	6876.67	USD	11,466.75	
26SEP17	B	1	PD27DEC17	6417.00	6876.67	USD	11,491.75	
26SEP17	B	1	PD27DEC17	6415.50	6876.67	USD	11,529.25	
26SEP17	B	5	PD27DEC17	6413.00	6876.67	USD	57,958.75	
26SEP17	B	12	PD27DEC17	6416.00	6876.67	USD	138,201.00	
27SEP17	B	1	PD27DEC17	6472.50	6876.67	USD	10,104.25	
27SEP17	B	2	PD27DEC17	6474.00	6876.67	USD	20,133.50	
27SEP17	B	2	PD27DEC17	6463.00	6876.67	USD	20,683.50	
27SEP17	B	1	PD27DEC17	6466.50	6876.67	USD	10,254.25	
27SEP17	B	1	PD27DEC17	6455.50	6876.67	USD	10,529.25	
27SEP17	B	7	PD27DEC17	6450.00	6876.67	USD	74,667.25	
27SEP17	B	1	PD27DEC17	6449.00	6876.67	USD	10,691.75	
27SEP17	B	1	PD27DEC17	6453.50	6876.67	USD	10,579.25	
27SEP17	B	2	PD27DEC17	6453.00	6876.67	USD	21,183.50	
27SEP17	B	6	PD27DEC17	6458.00	6876.67	USD	62,800.50	
27SEP17	B	1	PD27DEC17	6459.50	6876.67	USD	10,429.25	
27SEP17	B	3	PD27DEC17	6458.50	6876.67	USD	31,362.75	
27SEP17	B	1	PD27DEC17	6461.50	6876.67	USD	10,379.25	
27SEP17	B	10	PD27DEC17	6459.00	6876.67	USD	104,417.50	
27SEP17	S	6	PD27DEC17	6455.00	6876.67	USD	-63,250.50	
27SEP17	B	2	PD27DEC17	6460.50	6876.67	USD	20,808.50	
27SEP17	B	1	PD27DEC17	6467.50	6876.67	USD	10,229.25	
27SEP17	B	4	PD27DEC17	6471.00	6876.67	USD	40,567.00	
27SEP17	B	2	PD27DEC17	6469.50	6876.67	USD	20,358.50	

OPEN POSITION STATEMENT



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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
27SEP17	B	2	PD27DEC17	6470.00	6876.67	USD	20,333.50	
27SEP17	B	2	PD27DEC17	6468.50	6876.67	USD	20,408.50	
27SEP17	B	3	PD27DEC17	6468.00	6876.67	USD	30,650.25	
27SEP17	B	5	PD27DEC17	6470.50	6876.67	USD	50,771.25	
27SEP17	B	1	PD27DEC17	6458.00	6876.67	USD	10,466.75	
27SEP17	B	1	PD27DEC17	6469.00	6876.67	USD	10,191.75	
27SEP17	B	5	PD27DEC17	6461.00	6876.67	USD	51,958.75	
27SEP17	B	5	PD27DEC17	6463.50	6876.67	USD	51,646.25	
27SEP17	B	1	PD27DEC17	6457.00	6876.67	USD	10,491.75	
27SEP17	B	3	PD27DEC17	6454.00	6876.67	USD	31,700.25	
27SEP17	B	2	PD27DEC17	6460.00	6876.67	USD	20,833.50	
27SEP17	S	1	PD27DEC17	6464.00	6876.67	USD	-10,316.75	
27SEP17	S	1	PD27DEC17	6465.00	6876.67	USD	-10,291.75	
27SEP17	S	1	PD27DEC17	6450.50	6876.67	USD	-10,654.25	
27SEP17	S	1	PD27DEC17	6449.00	6876.67	USD	-10,691.75	
27SEP17	B	2	PD27DEC17	6430.00	6876.67	USD	22,333.50	
27SEP17	B	1	PD27DEC17	6427.00	6876.67	USD	11,241.75	
27SEP17	B	1	PD27DEC17	6429.00	6876.67	USD	11,191.75	
27SEP17	B	1	PD27DEC17	6431.50	6876.67	USD	11,129.25	
27SEP17	B	1	PD27DEC17	6437.00	6876.67	USD	10,991.75	
27SEP17	S	3	PD27DEC17	6442.00	6876.67	USD	-32,600.25	
27SEP17	S	1	PD27DEC17	6437.50	6876.67	USD	-10,979.25	
27SEP17	S	2	PD27DEC17	6422.50	6876.67	USD	-22,708.50	
27SEP17	S	1	PD27DEC17	6415.00	6876.67	USD	-11,541.75	
27SEP17	S	1	PD27DEC17	6416.50	6876.67	USD	-11,504.25	
27SEP17	S	1	PD27DEC17	6417.00	6876.67	USD	-11,491.75	
27SEP17	B	1	PD27DEC17	6439.50	6876.67	USD	10,929.25	
27SEP17	B	3	PD27DEC17	6437.50	6876.67	USD	32,937.75	
27SEP17	B	1	PD27DEC17	6441.50	6876.67	USD	10,879.25	
27SEP17	B	1	PD27DEC17	6440.00	6876.67	USD	10,916.75	
27SEP17	B	1	PD27DEC17	6439.00	6876.67	USD	10,941.75	
27SEP17	B	2	PD27DEC17	6440.50	6876.67	USD	21,808.50	
27SEP17	B	1	PD27DEC17	6442.00	6876.67	USD	10,866.75	
27SEP17	B	3	PD27DEC17	6436.00	6876.67	USD	33,050.25	
27SEP17	B	2	PD27DEC17	6435.00	6876.67	USD	22,083.50	
27SEP17	B	1	PD27DEC17	6435.50	6876.67	USD	11,029.25	
27SEP17	B	1	PD27DEC17	6432.50	6876.67	USD	11,104.25	
27SEP17	B	2	PD27DEC17	6434.00	6876.67	USD	22,133.50	
27SEP17	B	1	PD27DEC17	6441.50	6876.67	USD	10,879.25	
28SEP17	B	25	PD27DEC17	6521.53	6876.67	USD	221,962.50	
	NET	0AVG BGHT 6467.80	SOLD 6456.33				-64,806.25	0.00
28SEP17	S	1	PD28DEC17	6416.00	6877.00	USD	-11,525.00	
28SEP17	S	1	PD28DEC17	6415.50	6877.00	USD	-11,537.50	
28SEP17	S	1	PD28DEC17	6415.00	6877.00	USD	-11,550.00	
28SEP17	S	1	PD28DEC17	6414.00	6877.00	USD	-11,575.00	
28SEP17	S	2	PD28DEC17	6412.00	6877.00	USD	-23,250.00	
28SEP17	B	1	PD28DEC17	6407.00	6877.00	USD	11,750.00	
28SEP17	B	1	PD28DEC17	6406.00	6877.00	USD	11,775.00	
28SEP17	S	1	PD28DEC17	6407.50	6877.00	USD	-11,737.50	
28SEP17	S	5	PD28DEC17	6409.00	6877.00	USD	-58,500.00	
28SEP17	B	1	PD28DEC17	6409.00	6877.00	USD	11,700.00	
28SEP17	S	2	PD28DEC17	6409.50	6877.00	USD	-23,375.00	
28SEP17	S	4	PD28DEC17	6408.00	6877.00	USD	-46,900.00	
28SEP17	S	2	PD28DEC17	6407.00	6877.00	USD	-23,500.00	
28SEP17	S	3	PD28DEC17	6406.50	6877.00	USD	-35,287.50	
28SEP17	S	5	PD28DEC17	6406.00	6877.00	USD	-58,875.00	
28SEP17	S	1	PD28DEC17	6430.50	6877.00	USD	-11,162.50	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
28SEP17	B	1	PD28DEC17	6432.50	6877.00	USD	11,112.50	
28SEP17	S	3	PD28DEC17	6426.50	6877.00	USD	-33,787.50	
28SEP17	S	2	PD28DEC17	6425.50	6877.00	USD	-22,575.00	
28SEP17	B	1	PD28DEC17	6437.00	6877.00	USD	11,000.00	
28SEP17	B	1	PD28DEC17	6434.00	6877.00	USD	11,075.00	
28SEP17	B	1	PD28DEC17	6436.50	6877.00	USD	11,012.50	
28SEP17	B	1	PD28DEC17	6435.00	6877.00	USD	11,050.00	
28SEP17	B	2	PD28DEC17	6438.00	6877.00	USD	21,950.00	
28SEP17	B	1	PD28DEC17	6438.50	6877.00	USD	10,962.50	
28SEP17	B	3	PD28DEC17	6437.50	6877.00	USD	32,962.50	
28SEP17	S	1	PD28DEC17	6436.50	6877.00	USD	-11,012.50	
28SEP17	B	1	PD28DEC17	6436.00	6877.00	USD	11,025.00	
28SEP17	B	1	PD28DEC17	6441.50	6877.00	USD	10,887.50	
28SEP17	B	1	PD28DEC17	6446.50	6877.00	USD	10,762.50	
28SEP17	S	1	PD28DEC17	6446.50	6877.00	USD	-10,762.50	
28SEP17	S	1	PD28DEC17	6452.50	6877.00	USD	-10,612.50	
28SEP17	B	1	PD28DEC17	6446.00	6877.00	USD	10,775.00	
28SEP17	S	1	PD28DEC17	6446.00	6877.00	USD	-10,775.00	
28SEP17	S	1	PD28DEC17	6467.50	6877.00	USD	-10,237.50	
28SEP17	B	2	PD28DEC17	6467.00	6877.00	USD	20,500.00	
28SEP17	B	10	PD28DEC17	6469.00	6877.00	USD	102,000.00	
28SEP17	B	6	PD28DEC17	6468.50	6877.00	USD	61,275.00	
28SEP17	B	1	PD28DEC17	6468.00	6877.00	USD	10,225.00	
28SEP17	B	2	PD28DEC17	6467.50	6877.00	USD	20,475.00	
28SEP17	B	1	PD28DEC17	6463.50	6877.00	USD	10,337.50	
28SEP17	B	1	PD28DEC17	6460.00	6877.00	USD	10,425.00	
28SEP17	B	1	PD28DEC17	6459.50	6877.00	USD	10,437.50	
28SEP17	B	1	PD28DEC17	6451.50	6877.00	USD	10,637.50	
28SEP17	B	3	PD28DEC17	6452.50	6877.00	USD	31,837.50	
28SEP17	B	5	PD28DEC17	6454.00	6877.00	USD	52,875.00	
28SEP17	B	2	PD28DEC17	6453.50	6877.00	USD	21,175.00	
28SEP17	B	1	PD28DEC17	6453.00	6877.00	USD	10,600.00	
28SEP17	B	1	PD28DEC17	6518.00	6877.00	USD	8,975.00	
28SEP17	B	3	PD28DEC17	6516.00	6877.00	USD	27,075.00	
28SEP17	B	1	PD28DEC17	6514.50	6877.00	USD	9,062.50	
28SEP17	B	1	PD28DEC17	6525.50	6877.00	USD	8,787.50	
28SEP17	B	2	PD28DEC17	6519.00	6877.00	USD	17,900.00	
28SEP17	B	2	PD28DEC17	6521.00	6877.00	USD	17,800.00	
28SEP17	B	1	PD28DEC17	6520.50	6877.00	USD	8,912.50	
28SEP17	B	3	PD28DEC17	6524.50	6877.00	USD	26,437.50	
28SEP17	B	10	PD28DEC17	6523.50	6877.00	USD	88,375.00	
28SEP17	B	1	PD28DEC17	6534.50	6877.00	USD	8,562.50	
28SEP17	B	2	PD28DEC17	6533.50	6877.00	USD	17,175.00	
28SEP17	B	1	PD28DEC17	6534.00	6877.00	USD	8,575.00	
28SEP17	B	1	PD28DEC17	6526.00	6877.00	USD	8,775.00	
28SEP17	B	1	PD28DEC17	6569.50	6877.00	USD	7,687.50	
28SEP17	B	1	PD28DEC17	6572.00	6877.00	USD	7,625.00	
28SEP17	B	1	PD28DEC17	6571.00	6877.00	USD	7,650.00	
28SEP17	S	3	PD28DEC17	6565.00	6877.00	USD	-23,400.00	
28SEP17	B	1	PD28DEC17	6533.50	6877.00	USD	8,587.50	
06OCT17	S	35	PD28DEC17	6663.83	6877.00	USD	-186,523.75	
	NET	+10 AVG BGHT 6481.34	SOLD 6534.94				202,101.25	0.00
29SEP17	B	1	PD29DEC17	6561.50	6877.33	USD	7,895.75	
29SEP17	B	1	PD29DEC17	6558.50	6877.33	USD	7,970.75	
29SEP17	B	1	PD29DEC17	6557.00	6877.33	USD	8,008.25	
29SEP17	S	1	PD29DEC17	6557.50	6877.33	USD	-7,995.75	
29SEP17	B	2	PD29DEC17	6547.50	6877.33	USD	16,491.50	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
29SEP17	B	2	PD29DEC17	6549.50	6877.33	USD	16,391.50	
29SEP17	B	4	PD29DEC17	6549.00	6877.33	USD	32,833.00	
29SEP17	B	2	PD29DEC17	6543.00	6877.33	USD	16,716.50	
29SEP17	B	1	PD29DEC17	6548.50	6877.33	USD	8,220.75	
29SEP17	B	1	PD29DEC17	6546.50	6877.33	USD	8,270.75	
29SEP17	S	1	PD29DEC17	6534.00	6877.33	USD	-8,583.25	
29SEP17	S	2	PD29DEC17	6531.00	6877.33	USD	-17,316.50	
29SEP17	S	1	PD29DEC17	6528.50	6877.33	USD	-8,720.75	
29SEP17	S	3	PD29DEC17	6530.50	6877.33	USD	-26,012.25	
29SEP17	S	1	PD29DEC17	6536.50	6877.33	USD	-8,520.75	
29SEP17	S	3	PD29DEC17	6537.00	6877.33	USD	-25,524.75	
29SEP17	S	1	PD29DEC17	6539.50	6877.33	USD	-8,445.75	
29SEP17	S	2	PD29DEC17	6533.00	6877.33	USD	-17,216.50	
29SEP17	S	4	PD29DEC17	6533.50	6877.33	USD	-34,383.00	
29SEP17	S	2	PD29DEC17	6531.50	6877.33	USD	-17,291.50	
29SEP17	S	6	PD29DEC17	6532.50	6877.33	USD	-51,724.50	
29SEP17	B	2	PD29DEC17	6532.00	6877.33	USD	17,266.50	
29SEP17	B	1	PD29DEC17	6533.00	6877.33	USD	8,608.25	
29SEP17	B	3	PD29DEC17	6533.50	6877.33	USD	25,787.25	
29SEP17	B	6	PD29DEC17	6537.00	6877.33	USD	51,049.50	
29SEP17	S	1	PD29DEC17	6527.00	6877.33	USD	-8,758.25	
29SEP17	S	1	PD29DEC17	6518.50	6877.33	USD	-8,970.75	
29SEP17	S	4	PD29DEC17	6523.50	6877.33	USD	-35,383.00	
29SEP17	S	1	PD29DEC17	6525.00	6877.33	USD	-8,808.25	
29SEP17	B	2	PD29DEC17	6529.00	6877.33	USD	17,416.50	
29SEP17	S	1	PD29DEC17	6522.00	6877.33	USD	-8,883.25	
29SEP17	S	1	PD29DEC17	6524.50	6877.33	USD	-8,820.75	
29SEP17	S	1	PD29DEC17	6524.00	6877.33	USD	-8,833.25	
29SEP17	S	1	PD29DEC17	6525.50	6877.33	USD	-8,795.75	
29SEP17	B	1	PD29DEC17	6526.00	6877.33	USD	8,783.25	
29SEP17	B	1	PD29DEC17	6529.50	6877.33	USD	8,695.75	
29SEP17	B	1	PD29DEC17	6528.50	6877.33	USD	8,720.75	
29SEP17	S	2	PD29DEC17	6529.00	6877.33	USD	-17,416.50	
29SEP17	B	2	PD29DEC17	6523.50	6877.33	USD	17,691.50	
29SEP17	B	1	PD29DEC17	6527.00	6877.33	USD	8,758.25	
29SEP17	S	5	PD29DEC17	6532.00	6877.33	USD	-43,166.25	
29SEP17	B	2	PD29DEC17	6522.50	6877.33	USD	17,741.50	
29SEP17	B	1	PD29DEC17	6520.50	6877.33	USD	8,920.75	
29SEP17	S	3	PD29DEC17	6521.50	6877.33	USD	-26,687.25	
29SEP17	B	3	PD29DEC17	6521.00	6877.33	USD	26,724.75	
29SEP17	B	5	PD29DEC17	6521.50	6877.33	USD	44,478.75	
29SEP17	B	2	PD29DEC17	6520.00	6877.33	USD	17,866.50	
29SEP17	B	2	PD29DEC17	6516.00	6877.33	USD	18,066.50	
29SEP17	B	2	PD29DEC17	6517.00	6877.33	USD	18,016.50	
29SEP17	S	1	PD29DEC17	6503.00	6877.33	USD	-9,358.25	
29SEP17	S	5	PD29DEC17	6501.00	6877.33	USD	-47,041.25	
29SEP17	S	2	PD29DEC17	6500.50	6877.33	USD	-18,841.50	
29SEP17	S	1	PD29DEC17	6499.00	6877.33	USD	-9,458.25	
29SEP17	S	1	PD29DEC17	6496.00	6877.33	USD	-9,533.25	
29SEP17	S	1	PD29DEC17	6497.00	6877.33	USD	-9,508.25	
29SEP17	S	1	PD29DEC17	6490.50	6877.33	USD	-9,670.75	
29SEP17	S	1	PD29DEC17	6487.00	6877.33	USD	-9,758.25	
29SEP17	S	1	PD29DEC17	6489.50	6877.33	USD	-9,695.75	
29SEP17	S	1	PD29DEC17	6487.50	6877.33	USD	-9,745.75	
29SEP17	S	2	PD29DEC17	6486.00	6877.33	USD	-19,566.50	
29SEP17	B	1	PD29DEC17	6485.50	6877.33	USD	9,795.75	
29SEP17	B	2	PD29DEC17	6486.00	6877.33	USD	19,566.50	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0097Q

13th October 2017

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
29SEP17	B	1	PD29DEC17	6486.50	6877.33	USD	9,770.75	
29SEP17	B	5	PD29DEC17	6488.00	6877.33	USD	48,666.25	
29SEP17	S	2	PD29DEC17	6491.50	6877.33	USD	-19,291.50	
29SEP17	S	1	PD29DEC17	6492.50	6877.33	USD	-9,620.75	
29SEP17	S	1	PD29DEC17	6493.00	6877.33	USD	-9,608.25	
29SEP17	S	1	PD29DEC17	6492.00	6877.33	USD	-9,633.25	
29SEP17	S	2	PD29DEC17	6489.00	6877.33	USD	-19,416.50	
29SEP17	S	6	PD29DEC17	6490.00	6877.33	USD	-58,099.50	
29SEP17	S	3	PD29DEC17	6488.00	6877.33	USD	-29,199.75	
29SEP17	S	1	PD29DEC17	6484.00	6877.33	USD	-9,833.25	
29SEP17	B	2	PD29DEC17	6489.00	6877.33	USD	19,416.50	
29SEP17	B	1	PD29DEC17	6491.50	6877.33	USD	9,645.75	
29SEP17	S	4	PD29DEC17	6501.50	6877.33	USD	-37,583.00	
29SEP17	S	1	PD29DEC17	6502.50	6877.33	USD	-9,370.75	
29SEP17	S	1	PD29DEC17	6499.50	6877.33	USD	-9,445.75	
29SEP17	S	1	PD29DEC17	6496.50	6877.33	USD	-9,520.75	
29SEP17	S	4	PD29DEC17	6497.50	6877.33	USD	-37,983.00	
29SEP17	B	1	PD29DEC17	6498.50	6877.33	USD	9,470.75	
29SEP17	S	9	PD29DEC17	6500.00	6877.33	USD	-84,899.25	
29SEP17	S	8	PD29DEC17	6502.00	6877.33	USD	-75,066.00	
29SEP17	S	6	PD29DEC17	6503.50	6877.33	USD	-56,074.50	
29SEP17	B	1	PD29DEC17	6521.50	6877.33	USD	8,895.75	
29SEP17	S	4	PD29DEC17	6500.00	6877.33	USD	-37,733.00	
29SEP17	B	2	PD29DEC17	6486.00	6877.33	USD	19,566.50	
29SEP17	B	1	PD29DEC17	6485.50	6877.33	USD	9,795.75	
29SEP17	B	1	PD29DEC17	6503.00	6877.33	USD	9,358.25	
03OCT17	B	50	PD29DEC17	6518.43	6877.33	USD	448,625.00	
	NET	0AVG	BGHT 6520.68	SOLD 6510.39			-30,850.00	0.00
02OCT17	B	2	PD02JAN18	6536.50	6878.67	USD	17,108.50	
02OCT17	B	1	PD02JAN18	6532.00	6878.67	USD	8,666.75	
02OCT17	B	1	PD02JAN18	6533.50	6878.67	USD	8,629.25	
02OCT17	B	2	PD02JAN18	6533.00	6878.67	USD	17,283.50	
02OCT17	B	2	PD02JAN18	6534.50	6878.67	USD	17,208.50	
02OCT17	B	2	PD02JAN18	6535.00	6878.67	USD	17,183.50	
02OCT17	B	1	PD02JAN18	6526.00	6878.67	USD	8,816.75	
02OCT17	B	1	PD02JAN18	6523.00	6878.67	USD	8,891.75	
02OCT17	B	3	PD02JAN18	6525.00	6878.67	USD	26,525.25	
02OCT17	B	1	PD02JAN18	6524.50	6878.67	USD	8,854.25	
02OCT17	B	1	PD02JAN18	6531.50	6878.67	USD	8,679.25	
02OCT17	B	2	PD02JAN18	6532.50	6878.67	USD	17,308.50	
02OCT17	B	4	PD02JAN18	6526.50	6878.67	USD	35,217.00	
02OCT17	B	1	PD02JAN18	6513.50	6878.67	USD	9,129.25	
02OCT17	B	1	PD02JAN18	6513.00	6878.67	USD	9,141.75	
02OCT17	B	1	PD02JAN18	6511.50	6878.67	USD	9,179.25	
02OCT17	B	2	PD02JAN18	6534.00	6878.67	USD	17,233.50	
02OCT17	B	1	PD02JAN18	6525.00	6878.67	USD	8,841.75	
02OCT17	B	1	PD02JAN18	6512.00	6878.67	USD	9,166.75	
02OCT17	S	2	PD02JAN18	6466.00	6878.67	USD	-20,633.50	
02OCT17	B	1	PD02JAN18	6466.00	6878.67	USD	10,316.75	
02OCT17	B	1	PD02JAN18	6467.00	6878.67	USD	10,291.75	
02OCT17	S	2	PD02JAN18	6488.50	6878.67	USD	-19,508.50	
02OCT17	S	1	PD02JAN18	6492.50	6878.67	USD	-9,654.25	
02OCT17	S	1	PD02JAN18	6491.50	6878.67	USD	-9,679.25	
02OCT17	S	2	PD02JAN18	6493.00	6878.67	USD	-19,283.50	
02OCT17	S	3	PD02JAN18	6495.50	6878.67	USD	-28,737.75	
02OCT17	S	2	PD02JAN18	6499.00	6878.67	USD	-18,983.50	
02OCT17	S	1	PD02JAN18	6502.50	6878.67	USD	-9,404.25	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
02OCT17	B	1	PD02JAN18	6502.00	6878.67	USD	9,416.75	
02OCT17	B	1	PD02JAN18	6501.00	6878.67	USD	9,441.75	
02OCT17	S	2	PD02JAN18	6496.00	6878.67	USD	-19,133.50	
02OCT17	S	2	PD02JAN18	6498.00	6878.67	USD	-19,033.50	
02OCT17	B	1	PD02JAN18	6499.50	6878.67	USD	9,479.25	
02OCT17	S	6	PD02JAN18	6497.00	6878.67	USD	-57,250.50	
02OCT17	S	4	PD02JAN18	6500.00	6878.67	USD	-37,867.00	
02OCT17	S	1	PD02JAN18	6494.00	6878.67	USD	-9,616.75	
02OCT17	S	1	PD02JAN18	6501.50	6878.67	USD	-9,429.25	
	NET	+5 AVG BGHT 6522.09	SOLD 6494.38				23,796.25	0.00
03OCT17	S	1	PD03JAN18	6450.00	6879.00	USD	-10,725.00	
03OCT17	S	1	PD03JAN18	6447.00	6879.00	USD	-10,800.00	
03OCT17	S	1	PD03JAN18	6447.50	6879.00	USD	-10,787.50	
03OCT17	S	1	PD03JAN18	6445.50	6879.00	USD	-10,837.50	
03OCT17	S	1	PD03JAN18	6442.50	6879.00	USD	-10,912.50	
03OCT17	S	3	PD03JAN18	6467.00	6879.00	USD	-30,900.00	
03OCT17	S	1	PD03JAN18	6467.50	6879.00	USD	-10,287.50	
03OCT17	S	1	PD03JAN18	6468.00	6879.00	USD	-10,275.00	
03OCT17	B	1	PD03JAN18	6482.50	6879.00	USD	9,912.50	
03OCT17	B	1	PD03JAN18	6485.00	6879.00	USD	9,850.00	
03OCT17	B	1	PD03JAN18	6481.00	6879.00	USD	9,950.00	
03OCT17	B	1	PD03JAN18	6480.00	6879.00	USD	9,975.00	
03OCT17	B	3	PD03JAN18	6483.00	6879.00	USD	29,700.00	
03OCT17	B	2	PD03JAN18	6482.00	6879.00	USD	19,850.00	
03OCT17	B	1	PD03JAN18	6488.50	6879.00	USD	9,762.50	
03OCT17	B	1	PD03JAN18	6487.50	6879.00	USD	9,787.50	
03OCT17	B	1	PD03JAN18	6485.50	6879.00	USD	9,837.50	
03OCT17	S	1	PD03JAN18	6488.00	6879.00	USD	-9,775.00	
03OCT17	B	1	PD03JAN18	6489.00	6879.00	USD	9,750.00	
03OCT17	S	1	PD03JAN18	6491.50	6879.00	USD	-9,687.50	
03OCT17	S	1	PD03JAN18	6492.50	6879.00	USD	-9,662.50	
03OCT17	B	1	PD03JAN18	6491.50	6879.00	USD	9,687.50	
03OCT17	B	2	PD03JAN18	6490.00	6879.00	USD	19,450.00	
03OCT17	S	2	PD03JAN18	6519.00	6879.00	USD	-18,000.00	
03OCT17	S	1	PD03JAN18	6513.00	6879.00	USD	-9,150.00	
03OCT17	B	1	PD03JAN18	6507.00	6879.00	USD	9,300.00	
03OCT17	B	1	PD03JAN18	6506.50	6879.00	USD	9,312.50	
03OCT17	S	1	PD03JAN18	6505.00	6879.00	USD	-9,350.00	
03OCT17	B	1	PD03JAN18	6505.00	6879.00	USD	9,350.00	
03OCT17	B	2	PD03JAN18	6508.00	6879.00	USD	18,550.00	
03OCT17	B	1	PD03JAN18	6502.50	6879.00	USD	9,412.50	
03OCT17	B	8	PD03JAN18	6502.00	6879.00	USD	75,400.00	
03OCT17	B	3	PD03JAN18	6501.00	6879.00	USD	28,350.00	
03OCT17	B	7	PD03JAN18	6496.00	6879.00	USD	67,025.00	
03OCT17	B	1	PD03JAN18	6496.50	6879.00	USD	9,562.50	
03OCT17	B	1	PD03JAN18	6497.50	6879.00	USD	9,537.50	
03OCT17	B	5	PD03JAN18	6495.00	6879.00	USD	48,000.00	
03OCT17	B	2	PD03JAN18	6494.50	6879.00	USD	19,225.00	
03OCT17	B	1	PD03JAN18	6483.00	6879.00	USD	9,900.00	
03OCT17	S	1	PD03JAN18	6483.00	6879.00	USD	-9,900.00	
03OCT17	B	1	PD03JAN18	6499.00	6879.00	USD	9,500.00	
03OCT17	B	2	PD03JAN18	6511.50	6879.00	USD	18,375.00	
03OCT17	B	1	PD03JAN18	6514.50	6879.00	USD	9,112.50	
03OCT17	B	1	PD03JAN18	6512.50	6879.00	USD	9,162.50	
03OCT17	S	1	PD03JAN18	6527.00	6879.00	USD	-8,800.00	
03OCT17	B	1	PD03JAN18	6518.50	6879.00	USD	9,012.50	
03OCT17	S	1	PD03JAN18	6519.50	6879.00	USD	-8,987.50	

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030CT17	S	3	PD03JAN18	6515.50	6879.00	USD	-27,262.50	
030CT17	S	1	PD03JAN18	6512.50	6879.00	USD	-9,162.50	
030CT17	S	1	PD03JAN18	6517.00	6879.00	USD	-9,050.00	
030CT17	S	2	PD03JAN18	6514.50	6879.00	USD	-18,225.00	
030CT17	S	2	PD03JAN18	6514.00	6879.00	USD	-18,250.00	
030CT17	S	2	PD03JAN18	6514.50	6879.00	USD	-18,225.00	
	NET	+25 AVG	BGHT 6496.43				236,587.50	0.00
			SOLD 6493.18					
040CT17	B	3	PD04JAN18	6521.50	6879.33	USD	26,837.25	
040CT17	B	2	PD04JAN18	6522.00	6879.33	USD	17,866.50	
040CT17	B	1	PD04JAN18	6512.00	6879.33	USD	9,183.25	
040CT17	S	1	PD04JAN18	6511.50	6879.33	USD	-9,195.75	
040CT17	S	1	PD04JAN18	6512.50	6879.33	USD	-9,170.75	
040CT17	S	14	PD04JAN18	6510.50	6879.33	USD	-129,090.50	
040CT17	S	1	PD04JAN18	6508.50	6879.33	USD	-9,270.75	
040CT17	S	1	PD04JAN18	6503.00	6879.33	USD	-9,408.25	
040CT17	S	3	PD04JAN18	6507.00	6879.33	USD	-27,924.75	
040CT17	S	2	PD04JAN18	6508.00	6879.33	USD	-18,566.50	
040CT17	S	1	PD04JAN18	6506.00	6879.33	USD	-9,333.25	
040CT17	S	3	PD04JAN18	6505.00	6879.33	USD	-28,074.75	
040CT17	S	1	PD04JAN18	6504.00	6879.33	USD	-9,383.25	
040CT17	S	3	PD04JAN18	6503.50	6879.33	USD	-28,187.25	
040CT17	S	8	PD04JAN18	6501.50	6879.33	USD	-75,566.00	
040CT17	S	2	PD04JAN18	6501.00	6879.33	USD	-18,916.50	
040CT17	S	1	PD04JAN18	6500.50	6879.33	USD	-9,470.75	
040CT17	S	2	PD04JAN18	6490.50	6879.33	USD	-19,441.50	
040CT17	S	9	PD04JAN18	6495.00	6879.33	USD	-86,474.25	
040CT17	S	1	PD04JAN18	6517.50	6879.33	USD	-9,045.75	
040CT17	S	3	PD04JAN18	6526.50	6879.33	USD	-26,462.25	
040CT17	S	1	PD04JAN18	6527.50	6879.33	USD	-8,795.75	
040CT17	S	1	PD04JAN18	6489.50	6879.33	USD	-9,745.75	
040CT17	S	2	PD04JAN18	6504.00	6879.33	USD	-18,766.50	
040CT17	S	1	PD04JAN18	6521.00	6879.33	USD	-8,958.25	
040CT17	S	3	PD04JAN18	6520.00	6879.33	USD	-26,949.75	
040CT17	S	1	PD04JAN18	6513.00	6879.33	USD	-9,158.25	
060CT17	B	60	PD04JAN18	6666.37	6879.33	USD	319,440.00	
	NET	0 AVG	BGHT 6653.07				-242,030.00	0.00
			SOLD 6506.39					
050CT17	B	9	PD05JAN18	6523.00	6879.67	USD	80,250.75	
050CT17	B	1	PD05JAN18	6525.00	6879.67	USD	8,866.75	
050CT17	S	1	PD05JAN18	6554.50	6879.67	USD	-8,129.25	
050CT17	B	1	PD05JAN18	6555.50	6879.67	USD	8,104.25	
050CT17	B	3	PD05JAN18	6554.50	6879.67	USD	24,387.75	
050CT17	B	2	PD05JAN18	6553.00	6879.67	USD	16,333.50	
050CT17	B	1	PD05JAN18	6560.50	6879.67	USD	7,979.25	
050CT17	B	2	PD05JAN18	6561.00	6879.67	USD	15,933.50	
050CT17	B	3	PD05JAN18	6560.00	6879.67	USD	23,975.25	
050CT17	B	1	PD05JAN18	6563.50	6879.67	USD	7,904.25	
050CT17	B	1	PD05JAN18	6564.50	6879.67	USD	7,879.25	
050CT17	B	1	PD05JAN18	6565.00	6879.67	USD	7,866.75	
050CT17	B	1	PD05JAN18	6566.50	6879.67	USD	7,829.25	
050CT17	B	2	PD05JAN18	6565.50	6879.67	USD	15,708.50	
050CT17	B	1	PD05JAN18	6557.00	6879.67	USD	8,066.75	
050CT17	S	1	PD05JAN18	6557.00	6879.67	USD	-8,066.75	
050CT17	B	1	PD05JAN18	6558.00	6879.67	USD	8,041.75	
050CT17	B	1	PD05JAN18	6556.50	6879.67	USD	8,079.25	
050CT17	B	3	PD05JAN18	6553.50	6879.67	USD	24,462.75	
050CT17	B	2	PD05JAN18	6556.00	6879.67	USD	16,183.50	
050CT17	B	1	PD05JAN18	6562.00	6879.67	USD	7,941.75	

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05OCT17	B	1	PD05JAN18	6554.00	6879.67	USD	8,141.75	
05OCT17	S	1	PD05JAN18	6563.00	6879.67	USD	-7,916.75	
05OCT17	S	1	PD05JAN18	6562.00	6879.67	USD	-7,941.75	
05OCT17	B	2	PD05JAN18	6558.50	6879.67	USD	16,058.50	
05OCT17	B	1	PD05JAN18	6578.50	6879.67	USD	7,529.25	
05OCT17	B	1	PD05JAN18	6580.00	6879.67	USD	7,491.75	
05OCT17	B	1	PD05JAN18	6581.50	6879.67	USD	7,454.25	
05OCT17	S	1	PD05JAN18	6588.50	6879.67	USD	-7,279.25	
05OCT17	B	1	PD05JAN18	6590.00	6879.67	USD	7,241.75	
05OCT17	B	1	PD05JAN18	6612.00	6879.67	USD	6,691.75	
05OCT17	B	2	PD05JAN18	6620.00	6879.67	USD	12,983.50	
05OCT17	B	1	PD05JAN18	6629.00	6879.67	USD	6,266.75	
05OCT17	B	1	PD05JAN18	6627.00	6879.67	USD	6,316.75	
05OCT17	B	1	PD05JAN18	6624.00	6879.67	USD	6,391.75	
05OCT17	B	1	PD05JAN18	6632.00	6879.67	USD	6,191.75	
05OCT17	B	4	PD05JAN18	6631.50	6879.67	USD	24,817.00	
05OCT17	B	1	PD05JAN18	6670.00	6879.67	USD	5,241.75	
05OCT17	B	1	PD05JAN18	6687.50	6879.67	USD	4,804.25	
05OCT17	B	1	PD05JAN18	6682.50	6879.67	USD	4,929.25	
05OCT17	B	1	PD05JAN18	6679.50	6879.67	USD	5,004.25	
05OCT17	B	1	PD05JAN18	6693.00	6879.67	USD	4,666.75	
05OCT17	B	1	PD05JAN18	6684.00	6879.67	USD	4,891.75	
05OCT17	B	1	PD05JAN18	6680.50	6879.67	USD	4,979.25	
05OCT17	S	1	PD05JAN18	6681.00	6879.67	USD	-4,966.75	
05OCT17	B	5	PD05JAN18	6683.00	6879.67	USD	24,583.75	
05OCT17	B	1	PD05JAN18	6684.50	6879.67	USD	4,879.25	
05OCT17	B	2	PD05JAN18	6681.00	6879.67	USD	9,933.50	
05OCT17	S	1	PD05JAN18	6675.00	6879.67	USD	-5,116.75	
05OCT17	B	2	PD05JAN18	6680.00	6879.67	USD	9,983.50	
05OCT17	B	2	PD05JAN18	6679.00	6879.67	USD	10,033.50	
05OCT17	B	2	PD05JAN18	6683.50	6879.67	USD	9,808.50	
05OCT17	B	7	PD05JAN18	6682.00	6879.67	USD	34,592.25	
05OCT17	B	1	PD05JAN18	6686.50	6879.67	USD	4,829.25	
05OCT17	B	1	PD05JAN18	6683.00	6879.67	USD	4,916.75	
05OCT17	S	1	PD05JAN18	6683.00	6879.67	USD	-4,916.75	
05OCT17	S	2	PD05JAN18	6705.00	6879.67	USD	-8,733.50	
05OCT17	B	2	PD05JAN18	6700.00	6879.67	USD	8,983.50	
05OCT17	S	1	PD05JAN18	6695.00	6879.67	USD	-4,616.75	
05OCT17	S	1	PD05JAN18	6695.50	6879.67	USD	-4,604.25	
05OCT17	S	3	PD05JAN18	6693.50	6879.67	USD	-13,962.75	
05OCT17	B	2	PD05JAN18	6679.00	6879.67	USD	10,033.50	
05OCT17	B	1	PD05JAN18	6683.50	6879.67	USD	4,904.25	
06OCT17	S	1	PD05JAN18	6691.00	6879.67	USD	-4,716.75	
06OCT17	S	2	PD05JAN18	6690.50	6879.67	USD	-9,458.50	
06OCT17	S	1	PD05JAN18	6692.00	6879.67	USD	-4,691.75	
06OCT17	S	1	PD05JAN18	6695.00	6879.67	USD	-4,616.75	
06OCT17	S	1	PD05JAN18	6693.50	6879.67	USD	-4,654.25	
06OCT17	S	1	PD05JAN18	6693.00	6879.67	USD	-4,666.75	
06OCT17	S	3	PD05JAN18	6692.50	6879.67	USD	-14,037.75	
06OCT17	S	1	PD05JAN18	6701.50	6879.67	USD	-4,454.25	
06OCT17	S	2	PD05JAN18	6703.50	6879.67	USD	-8,808.50	
06OCT17	S	1	PD05JAN18	6705.50	6879.67	USD	-4,354.25	
06OCT17	S	1	PD05JAN18	6708.00	6879.67	USD	-4,291.75	
06OCT17	B	1	PD05JAN18	6699.00	6879.67	USD	4,516.75	
06OCT17	B	2	PD05JAN18	6697.00	6879.67	USD	9,133.50	
06OCT17	S	3	PD05JAN18	6707.00	6879.67	USD	-12,950.25	
06OCT17	S	3	PD05JAN18	6706.00	6879.67	USD	-13,025.25	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0097Q

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
06OCT17	S	2	PD05JAN18	6705.00	6879.67	USD	-8,733.50	
06OCT17	B	1	PD05JAN18	6699.50	6879.67	USD	4,504.25	
06OCT17	B	3	PD05JAN18	6697.50	6879.67	USD	13,662.75	
06OCT17	B	1	PD05JAN18	6695.50	6879.67	USD	4,604.25	
06OCT17	B	1	PD05JAN18	6695.00	6879.67	USD	4,616.75	
06OCT17	B	1	PD05JAN18	6694.00	6879.67	USD	4,641.75	
06OCT17	B	1	PD05JAN18	6693.00	6879.67	USD	4,666.75	
06OCT17	B	1	PD05JAN18	6694.50	6879.67	USD	4,629.25	
06OCT17	B	2	PD05JAN18	6698.00	6879.67	USD	9,083.50	
06OCT17	B	1	PD05JAN18	6692.00	6879.67	USD	4,691.75	
06OCT17	B	1	PD05JAN18	6690.00	6879.67	USD	4,741.75	
06OCT17	B	1	PD05JAN18	6689.50	6879.67	USD	4,754.25	
06OCT17	B	2	PD05JAN18	6688.00	6879.67	USD	9,583.50	
06OCT17	B	1	PD05JAN18	6687.50	6879.67	USD	4,804.25	
06OCT17	B	1	PD05JAN18	6684.00	6879.67	USD	4,891.75	
06OCT17	B	1	PD05JAN18	6685.00	6879.67	USD	4,866.75	
06OCT17	S	1	PD05JAN18	6699.00	6879.67	USD	-4,516.75	
06OCT17	B	1	PD05JAN18	6693.00	6879.67	USD	4,666.75	
06OCT17	B	1	PD05JAN18	6687.50	6879.67	USD	4,804.25	
06OCT17	B	1	PD05JAN18	6685.50	6879.67	USD	4,854.25	
06OCT17	S	1	PD05JAN18	6684.50	6879.67	USD	-4,879.25	
06OCT17	S	1	PD05JAN18	6663.00	6879.67	USD	-5,416.75	
06OCT17	S	2	PD05JAN18	6659.00	6879.67	USD	-11,033.50	
06OCT17	S	4	PD05JAN18	6661.50	6879.67	USD	-21,817.00	
06OCT17	S	1	PD05JAN18	6662.00	6879.67	USD	-5,441.75	
06OCT17	B	1	PD05JAN18	6663.50	6879.67	USD	5,404.25	
06OCT17	S	1	PD05JAN18	6658.50	6879.67	USD	-5,529.25	
06OCT17	S	7	PD05JAN18	6660.00	6879.67	USD	-38,442.25	
06OCT17	S	6	PD05JAN18	6660.50	6879.67	USD	-32,875.50	
06OCT17	S	4	PD05JAN18	6655.50	6879.67	USD	-22,417.00	
	NET	+50 AVG BGHT 6630.19	SOLD 6672.35				381,412.50	0.00
09OCT17	S	1	PD09JAN18	6673.00	6881.00	USD	-5,200.00	
09OCT17	S	3	PD09JAN18	6660.50	6881.00	USD	-16,537.50	
09OCT17	S	2	PD09JAN18	6677.50	6881.00	USD	-10,175.00	
09OCT17	S	6	PD09JAN18	6678.50	6881.00	USD	-30,375.00	
09OCT17	S	6	PD09JAN18	6679.00	6881.00	USD	-30,300.00	
09OCT17	S	5	PD09JAN18	6655.00	6881.00	USD	-28,250.00	
09OCT17	S	10	PD09JAN18	6656.00	6881.00	USD	-56,250.00	
09OCT17	S	5	PD09JAN18	6676.50	6881.00	USD	-25,562.50	
09OCT17	S	2	PD09JAN18	6671.00	6881.00	USD	-10,500.00	
09OCT17	S	2	PD09JAN18	6669.00	6881.00	USD	-10,600.00	
09OCT17	S	7	PD09JAN18	6675.50	6881.00	USD	-35,962.50	
09OCT17	S	2	PD09JAN18	6674.50	6881.00	USD	-10,325.00	
09OCT17	S	1	PD09JAN18	6670.00	6881.00	USD	-5,275.00	
09OCT17	S	1	PD09JAN18	6672.50	6881.00	USD	-5,212.50	
09OCT17	B	1	PD09JAN18	6660.50	6881.00	USD	5,512.50	
09OCT17	B	5	PD09JAN18	6660.00	6881.00	USD	27,625.00	
09OCT17	B	1	PD09JAN18	6658.50	6881.00	USD	5,562.50	
09OCT17	B	3	PD09JAN18	6659.00	6881.00	USD	16,650.00	
09OCT17	S	1	PD09JAN18	6656.50	6881.00	USD	-5,612.50	
09OCT17	S	1	PD09JAN18	6656.00	6881.00	USD	-5,625.00	
09OCT17	S	3	PD09JAN18	6657.00	6881.00	USD	-16,800.00	
09OCT17	S	1	PD09JAN18	6661.50	6881.00	USD	-5,487.50	
09OCT17	B	1	PD09JAN18	6664.00	6881.00	USD	5,425.00	
09OCT17	S	3	PD09JAN18	6663.50	6881.00	USD	-16,312.50	
09OCT17	S	1	PD09JAN18	6659.00	6881.00	USD	-5,550.00	
09OCT17	S	1	PD09JAN18	6660.00	6881.00	USD	-5,525.00	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
09OCT17	S	1	PD09JAN18	6662.50	6881.00	USD	-5,462.50	
09OCT17	S	3	PD09JAN18	6658.50	6881.00	USD	-16,687.50	
09OCT17	S	2	PD09JAN18	6659.50	6881.00	USD	-11,075.00	
09OCT17	S	1	PD09JAN18	6656.50	6881.00	USD	-5,612.50	
09OCT17	S	10	PD09JAN18	6657.00	6881.00	USD	-56,000.00	
09OCT17	S	1	PD09JAN18	6655.50	6881.00	USD	-5,637.50	
09OCT17	S	2	PD09JAN18	6654.50	6881.00	USD	-11,325.00	
09OCT17	S	1	PD09JAN18	6653.50	6881.00	USD	-5,687.50	
09OCT17	S	1	PD09JAN18	6651.50	6881.00	USD	-5,737.50	
09OCT17	S	1	PD09JAN18	6642.50	6881.00	USD	-5,962.50	
09OCT17	S	1	PD09JAN18	6648.00	6881.00	USD	-5,825.00	
09OCT17	S	1	PD09JAN18	6647.50	6881.00	USD	-5,837.50	
09OCT17	S	1	PD09JAN18	6647.00	6881.00	USD	-5,850.00	
09OCT17	S	2	PD09JAN18	6649.50	6881.00	USD	-11,575.00	
09OCT17	B	2	PD09JAN18	6674.50	6881.00	USD	10,325.00	
09OCT17	B	2	PD09JAN18	6676.00	6881.00	USD	10,250.00	
09OCT17	B	2	PD09JAN18	6672.50	6881.00	USD	10,425.00	
	NET	-75 AVG BGHT 6665.06	SOLD 6663.73				-407,937.50	0.00
10OCT17	S	1	PD10JAN18	6706.00	6881.33	USD	-4,383.25	
10OCT17	S	4	PD10JAN18	6707.00	6881.33	USD	-17,433.00	
10OCT17	S	1	PD10JAN18	6687.00	6881.33	USD	-4,858.25	
10OCT17	S	1	PD10JAN18	6691.00	6881.33	USD	-4,758.25	
10OCT17	B	1	PD10JAN18	6692.50	6881.33	USD	4,720.75	
10OCT17	B	1	PD10JAN18	6697.00	6881.33	USD	4,608.25	
10OCT17	B	2	PD10JAN18	6701.50	6881.33	USD	8,991.50	
10OCT17	B	1	PD10JAN18	6703.50	6881.33	USD	4,445.75	
10OCT17	B	1	PD10JAN18	6704.50	6881.33	USD	4,420.75	
10OCT17	S	1	PD10JAN18	6695.00	6881.33	USD	-4,658.25	
10OCT17	S	2	PD10JAN18	6696.00	6881.33	USD	-9,266.50	
10OCT17	S	7	PD10JAN18	6697.00	6881.33	USD	-32,257.75	
10OCT17	S	1	PD10JAN18	6698.50	6881.33	USD	-4,570.75	
10OCT17	S	1	PD10JAN18	6696.50	6881.33	USD	-4,620.75	
10OCT17	S	1	PD10JAN18	6699.00	6881.33	USD	-4,558.25	
10OCT17	S	3	PD10JAN18	6697.50	6881.33	USD	-13,787.25	
10OCT17	S	1	PD10JAN18	6683.50	6881.33	USD	-4,945.75	
10OCT17	S	1	PD10JAN18	6684.50	6881.33	USD	-4,920.75	
10OCT17	S	1	PD10JAN18	6685.50	6881.33	USD	-4,895.75	
10OCT17	S	2	PD10JAN18	6686.50	6881.33	USD	-9,741.50	
10OCT17	S	6	PD10JAN18	6688.00	6881.33	USD	-28,999.50	
10OCT17	S	2	PD10JAN18	6689.00	6881.33	USD	-9,616.50	
10OCT17	S	2	PD10JAN18	6690.00	6881.33	USD	-9,566.50	
10OCT17	S	5	PD10JAN18	6692.00	6881.33	USD	-23,666.25	
10OCT17	S	1	PD10JAN18	6694.50	6881.33	USD	-4,670.75	
10OCT17	S	7	PD10JAN18	6693.50	6881.33	USD	-32,870.25	
10OCT17	B	2	PD10JAN18	6728.00	6881.33	USD	7,666.50	
10OCT17	B	1	PD10JAN18	6743.00	6881.33	USD	3,458.25	
10OCT17	B	1	PD10JAN18	6742.00	6881.33	USD	3,483.25	
10OCT17	B	1	PD10JAN18	6741.00	6881.33	USD	3,508.25	
10OCT17	B	1	PD10JAN18	6738.50	6881.33	USD	3,570.75	
10OCT17	B	2	PD10JAN18	6740.00	6881.33	USD	7,066.50	
10OCT17	B	3	PD10JAN18	6739.50	6881.33	USD	10,637.25	
10OCT17	B	2	PD10JAN18	6738.00	6881.33	USD	7,166.50	
10OCT17	B	5	PD10JAN18	6737.00	6881.33	USD	18,041.25	
10OCT17	B	2	PD10JAN18	6739.00	6881.33	USD	7,116.50	
10OCT17	B	2	PD10JAN18	6737.50	6881.33	USD	7,191.50	
10OCT17	B	3	PD10JAN18	6754.00	6881.33	USD	9,549.75	
10OCT17	B	1	PD10JAN18	6750.50	6881.33	USD	3,270.75	

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10OCT17	B	1	PD10JAN18	6749.00	6881.33	USD	3,308.25	
10OCT17	B	1	PD10JAN18	6748.50	6881.33	USD	3,320.75	
10OCT17	B	1	PD10JAN18	6748.00	6881.33	USD	3,333.25	
10OCT17	B	5	PD10JAN18	6749.50	6881.33	USD	16,478.75	
10OCT17	S	1	PD10JAN18	6749.50	6881.33	USD	-3,295.75	
10OCT17	S	1	PD10JAN18	6740.00	6881.33	USD	-3,533.25	
10OCT17	S	1	PD10JAN18	6741.00	6881.33	USD	-3,508.25	
10OCT17	S	2	PD10JAN18	6742.00	6881.33	USD	-6,966.50	
10OCT17	S	1	PD10JAN18	6744.50	6881.33	USD	-3,420.75	
10OCT17	S	1	PD10JAN18	6747.00	6881.33	USD	-3,358.25	
10OCT17	S	4	PD10JAN18	6745.50	6881.33	USD	-13,583.00	
10OCT17	S	1	PD10JAN18	6752.00	6881.33	USD	-3,233.25	
10OCT17	S	2	PD10JAN18	6751.50	6881.33	USD	-6,491.50	
10OCT17	S	4	PD10JAN18	6753.00	6881.33	USD	-12,833.00	
10OCT17	S	11	PD10JAN18	6752.50	6881.33	USD	-35,428.25	
	NET	-40 AVG BGHT 6735.98	SOLD 6713.98				-189,342.50	0.00
11OCT17	B	5	PD11JAN18	6729.00	6881.67	USD	19,083.75	
11OCT17	B	1	PD11JAN18	6728.00	6881.67	USD	3,841.75	
11OCT17	B	11	PD11JAN18	6730.00	6881.67	USD	41,709.25	
11OCT17	B	1	PD11JAN18	6726.50	6881.67	USD	3,879.25	
11OCT17	B	1	PD11JAN18	6730.00	6881.67	USD	3,791.75	
11OCT17	B	2	PD11JAN18	6728.50	6881.67	USD	7,658.50	
11OCT17	B	6	PD11JAN18	6729.50	6881.67	USD	22,825.50	
11OCT17	S	1	PD11JAN18	6818.50	6881.67	USD	-1,579.25	
11OCT17	B	3	PD11JAN18	6738.00	6881.67	USD	10,775.25	
11OCT17	B	3	PD11JAN18	6739.00	6881.67	USD	10,700.25	
11OCT17	S	1	PD11JAN18	6732.00	6881.67	USD	-3,741.75	
11OCT17	S	3	PD11JAN18	6750.00	6881.67	USD	-9,875.25	
11OCT17	S	1	PD11JAN18	6748.00	6881.67	USD	-3,341.75	
11OCT17	S	1	PD11JAN18	6751.50	6881.67	USD	-3,254.25	
11OCT17	S	2	PD11JAN18	6757.00	6881.67	USD	-6,233.50	
11OCT17	S	2	PD11JAN18	6759.00	6881.67	USD	-6,133.50	
11OCT17	S	1	PD11JAN18	6759.50	6881.67	USD	-3,054.25	
11OCT17	S	3	PD11JAN18	6760.50	6881.67	USD	-9,087.75	
11OCT17	S	1	PD11JAN18	6756.00	6881.67	USD	-3,141.75	
11OCT17	S	3	PD11JAN18	6755.00	6881.67	USD	-9,500.25	
11OCT17	S	1	PD11JAN18	6754.00	6881.67	USD	-3,191.75	
11OCT17	S	4	PD11JAN18	6752.50	6881.67	USD	-12,917.00	
11OCT17	S	3	PD11JAN18	6750.50	6881.67	USD	-9,837.75	
11OCT17	S	1	PD11JAN18	6740.50	6881.67	USD	-3,529.25	
11OCT17	B	1	PD11JAN18	6735.00	6881.67	USD	3,666.75	
11OCT17	B	2	PD11JAN18	6734.50	6881.67	USD	7,358.50	
11OCT17	B	1	PD11JAN18	6742.50	6881.67	USD	3,479.25	
11OCT17	S	1	PD11JAN18	6747.50	6881.67	USD	-3,354.25	
11OCT17	S	1	PD11JAN18	6743.00	6881.67	USD	-3,466.75	
11OCT17	S	1	PD11JAN18	6744.50	6881.67	USD	-3,429.25	
11OCT17	S	2	PD11JAN18	6739.50	6881.67	USD	-7,108.50	
11OCT17	S	2	PD11JAN18	6738.50	6881.67	USD	-7,158.50	
11OCT17	S	1	PD11JAN18	6738.00	6881.67	USD	-3,591.75	
11OCT17	B	1	PD11JAN18	6733.50	6881.67	USD	3,704.25	
11OCT17	B	5	PD11JAN18	6730.50	6881.67	USD	18,896.25	
11OCT17	B	6	PD11JAN18	6732.50	6881.67	USD	22,375.50	
11OCT17	B	1	PD11JAN18	6737.00	6881.67	USD	3,616.75	
11OCT17	B	1	PD11JAN18	6790.50	6881.67	USD	2,279.25	
11OCT17	B	2	PD11JAN18	6793.00	6881.67	USD	4,433.50	
11OCT17	B	1	PD11JAN18	6793.50	6881.67	USD	2,204.25	
11OCT17	B	3	PD11JAN18	6792.50	6881.67	USD	6,687.75	

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11OCT17	B	8	PD11JAN18	6792.00	6881.67	USD	17,934.00	
11OCT17	B	2	PD11JAN18	6791.00	6881.67	USD	4,533.50	
11OCT17	B	7	PD11JAN18	6790.00	6881.67	USD	16,042.25	
11OCT17	B	1	PD11JAN18	6782.50	6881.67	USD	2,479.25	
11OCT17	B	1	PD11JAN18	6781.00	6881.67	USD	2,516.75	
11OCT17	B	1	PD11JAN18	6780.00	6881.67	USD	2,541.75	
11OCT17	B	1	PD11JAN18	6775.00	6881.67	USD	2,666.75	
11OCT17	S	1	PD11JAN18	6782.50	6881.67	USD	-2,479.25	
11OCT17	B	1	PD11JAN18	6778.00	6881.67	USD	2,591.75	
11OCT17	B	1	PD11JAN18	6777.50	6881.67	USD	2,604.25	
11OCT17	B	2	PD11JAN18	6777.00	6881.67	USD	5,233.50	
11OCT17	B	3	PD11JAN18	6779.00	6881.67	USD	7,700.25	
11OCT17	B	1	PD11JAN18	6772.50	6881.67	USD	2,729.25	
11OCT17	B	2	PD11JAN18	6770.50	6881.67	USD	5,558.50	
11OCT17	B	5	PD11JAN18	6765.00	6881.67	USD	14,583.75	
11OCT17	B	1	PD11JAN18	6768.00	6881.67	USD	2,841.75	
11OCT17	B	1	PD11JAN18	6769.50	6881.67	USD	2,804.25	
11OCT17	B	1	PD11JAN18	6774.00	6881.67	USD	2,691.75	
11OCT17	B	4	PD11JAN18	6771.50	6881.67	USD	11,017.00	
11OCT17	B	1	PD11JAN18	6792.50	6881.67	USD	2,229.25	
11OCT17	B	1	PD11JAN18	6774.00	6881.67	USD	2,691.75	
11OCT17	B	5	PD11JAN18	6773.00	6881.67	USD	13,583.75	
11OCT17	S	1	PD11JAN18	6775.50	6881.67	USD	-2,654.25	
11OCT17	B	1	PD11JAN18	6781.50	6881.67	USD	2,504.25	
11OCT17	B	4	PD11JAN18	6807.00	6881.67	USD	7,467.00	
11OCT17	B	2	PD11JAN18	6806.50	6881.67	USD	3,758.50	
11OCT17	B	1	PD11JAN18	6809.50	6881.67	USD	1,804.25	
11OCT17	B	2	PD11JAN18	6808.50	6881.67	USD	3,658.50	
11OCT17	B	17	PD11JAN18	6810.00	6881.67	USD	30,459.75	
11OCT17	B	3	PD11JAN18	6803.00	6881.67	USD	5,900.25	
11OCT17	B	2	PD11JAN18	6809.00	6881.67	USD	3,633.50	
11OCT17	B	2	PD11JAN18	6808.00	6881.67	USD	3,683.50	
11OCT17	B	3	PD11JAN18	6794.00	6881.67	USD	6,575.25	
11OCT17	B	7	PD11JAN18	6797.00	6881.67	USD	14,817.25	
11OCT17	B	5	PD11JAN18	6795.50	6881.67	USD	10,771.25	
11OCT17	S	2	PD11JAN18	6811.00	6881.67	USD	-3,533.50	
11OCT17	S	1	PD11JAN18	6813.00	6881.67	USD	-1,716.75	
11OCT17	S	1	PD11JAN18	6814.50	6881.67	USD	-1,679.25	
11OCT17	S	6	PD11JAN18	6816.00	6881.67	USD	-9,850.50	
11OCT17	S	1	PD11JAN18	6814.00	6881.67	USD	-1,691.75	
11OCT17	S	6	PD11JAN18	6815.00	6881.67	USD	-10,000.50	
11OCT17	S	6	PD11JAN18	6816.50	6881.67	USD	-9,775.50	
11OCT17	S	2	PD11JAN18	6817.50	6881.67	USD	-3,208.50	
11OCT17	S	1	PD11JAN18	6819.00	6881.67	USD	-1,566.75	
11OCT17	S	8	PD11JAN18	6817.00	6881.67	USD	-12,934.00	
11OCT17	B	1	PD11JAN18	6809.00	6881.67	USD	1,816.75	
	NET	+85 AVG BGHT 6772.78	SOLD 6782.99				249,773.75	0.00
12OCT17	B	1	PD12JAN18	6816.00	6882.00	USD	1,650.00	
12OCT17	B	2	PD12JAN18	6824.50	6882.00	USD	2,875.00	
12OCT17	B	1	PD12JAN18	6823.50	6882.00	USD	1,462.50	
12OCT17	B	2	PD12JAN18	6834.50	6882.00	USD	2,375.00	
12OCT17	B	1	PD12JAN18	6836.00	6882.00	USD	1,150.00	
12OCT17	B	1	PD12JAN18	6835.50	6882.00	USD	1,162.50	
12OCT17	B	1	PD12JAN18	6839.00	6882.00	USD	1,075.00	
12OCT17	B	2	PD12JAN18	6845.50	6882.00	USD	1,825.00	
12OCT17	B	1	PD12JAN18	6849.00	6882.00	USD	825.00	
12OCT17	B	1	PD12JAN18	6838.50	6882.00	USD	1,087.50	

OPEN POSITION STATEMENT



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SAP0097Q

13th October 2017

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backoffice@sapiencap.com

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
12OCT17	S	1	PD12JAN18	6839.00	6882.00	USD	-1,075.00	
12OCT17	S	7	PD12JAN18	6842.50	6882.00	USD	-6,912.50	
12OCT17	S	2	PD12JAN18	6844.50	6882.00	USD	-1,875.00	
12OCT17	S	2	PD12JAN18	6846.00	6882.00	USD	-1,800.00	
12OCT17	S	4	PD12JAN18	6845.00	6882.00	USD	-3,700.00	
12OCT17	B	1	PD12JAN18	6828.00	6882.00	USD	1,350.00	
12OCT17	B	2	PD12JAN18	6826.00	6882.00	USD	2,800.00	
12OCT17	B	2	PD12JAN18	6825.00	6882.00	USD	2,850.00	
12OCT17	B	1	PD12JAN18	6827.50	6882.00	USD	1,362.50	
12OCT17	B	3	PD12JAN18	6827.00	6882.00	USD	4,125.00	
12OCT17	B	1	PD12JAN18	6829.50	6882.00	USD	1,312.50	
12OCT17	B	5	PD12JAN18	6831.50	6882.00	USD	6,312.50	
12OCT17	B	1	PD12JAN18	6838.00	6882.00	USD	1,100.00	
12OCT17	B	2	PD12JAN18	6843.50	6882.00	USD	1,925.00	
12OCT17	B	5	PD12JAN18	6844.50	6882.00	USD	4,687.50	
12OCT17	B	3	PD12JAN18	6842.50	6882.00	USD	2,962.50	
12OCT17	B	5	PD12JAN18	6840.00	6882.00	USD	5,250.00	
12OCT17	B	2	PD12JAN18	6841.00	6882.00	USD	2,050.00	
12OCT17	S	1	PD12JAN18	6850.50	6882.00	USD	-787.50	
12OCT17	S	4	PD12JAN18	6851.50	6882.00	USD	-3,050.00	
12OCT17	S	1	PD12JAN18	6856.00	6882.00	USD	-650.00	
12OCT17	S	1	PD12JAN18	6863.50	6882.00	USD	-462.50	
12OCT17	B	2	PD12JAN18	6861.50	6882.00	USD	1,025.00	
12OCT17	B	3	PD12JAN18	6862.50	6882.00	USD	1,462.50	
12OCT17	S	1	PD12JAN18	6862.50	6882.00	USD	-487.50	
12OCT17	S	5	PD12JAN18	6860.50	6882.00	USD	-2,687.50	
12OCT17	S	1	PD12JAN18	6861.00	6882.00	USD	-525.00	
12OCT17	S	1	PD12JAN18	6860.00	6882.00	USD	-550.00	
12OCT17	S	3	PD12JAN18	6862.00	6882.00	USD	-1,500.00	
12OCT17	B	4	PD12JAN18	6862.00	6882.00	USD	2,000.00	
12OCT17	S	3	PD12JAN18	6857.00	6882.00	USD	-1,875.00	
12OCT17	S	3	PD12JAN18	6858.00	6882.00	USD	-1,800.00	
12OCT17	S	2	PD12JAN18	6843.50	6882.00	USD	-1,925.00	
12OCT17	S	1	PD12JAN18	6845.00	6882.00	USD	-925.00	
12OCT17	S	2	PD12JAN18	6844.00	6882.00	USD	-1,900.00	
12OCT17	B	1	PD12JAN18	6856.00	6882.00	USD	650.00	
12OCT17	S	3	PD12JAN18	6859.50	6882.00	USD	-1,687.50	
12OCT17	S	2	PD12JAN18	6861.50	6882.00	USD	-1,025.00	
12OCT17	S	1	PD12JAN18	6858.50	6882.00	USD	-587.50	
12OCT17	S	1	PD12JAN18	6869.50	6882.00	USD	-312.50	
12OCT17	S	4	PD12JAN18	6868.00	6882.00	USD	-1,400.00	
12OCT17	S	3	PD12JAN18	6868.50	6882.00	USD	-1,012.50	
12OCT17	S	4	PD12JAN18	6867.00	6882.00	USD	-1,500.00	
12OCT17	S	3	PD12JAN18	6866.00	6882.00	USD	-1,200.00	
12OCT17	B	1	PD12JAN18	6885.50	6882.00	USD	-87.50	
12OCT17	B	1	PD12JAN18	6887.50	6882.00	USD	-137.50	
12OCT17	B	4	PD12JAN18	6890.00	6882.00	USD	-800.00	
12OCT17	B	2	PD12JAN18	6889.00	6882.00	USD	-350.00	
12OCT17	B	2	PD12JAN18	6891.00	6882.00	USD	-450.00	
12OCT17	B	2	PD12JAN18	6895.50	6882.00	USD	-675.00	
12OCT17	B	3	PD12JAN18	6900.00	6882.00	USD	-1,350.00	
12OCT17	B	1	PD12JAN18	6861.50	6882.00	USD	512.50	
12OCT17	S	1	PD12JAN18	6864.00	6882.00	USD	-450.00	
13OCT17	S	1	PD12JAN18	6889.50	6882.00	USD	187.50	
13OCT17	S	2	PD12JAN18	6886.00	6882.00	USD	200.00	
13OCT17	S	3	PD12JAN18	6887.00	6882.00	USD	375.00	
13OCT17	S	3	PD12JAN18	6890.50	6882.00	USD	637.50	

OPEN POSITION STATEMENT



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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
13OCT17	S	2	PD12JAN18	6889.00	6882.00	USD	350.00	
13OCT17	S	9	PD12JAN18	6888.00	6882.00	USD	1,350.00	
13OCT17	B	1	PD12JAN18	6908.00	6882.00	USD	-650.00	
13OCT17	B	2	PD12JAN18	6907.00	6882.00	USD	-1,250.00	
13OCT17	B	1	PD12JAN18	6901.50	6882.00	USD	-487.50	
13OCT17	B	1	PD12JAN18	6897.00	6882.00	USD	-375.00	
13OCT17	B	4	PD12JAN18	6889.00	6882.00	USD	-700.00	
13OCT17	B	2	PD12JAN18	6890.00	6882.00	USD	-400.00	
13OCT17	B	2	PD12JAN18	6888.50	6882.00	USD	-325.00	
13OCT17	B	1	PD12JAN18	6889.50	6882.00	USD	-187.50	
13OCT17	B	3	PD12JAN18	6883.00	6882.00	USD	-75.00	
13OCT17	B	1	PD12JAN18	6877.50	6882.00	USD	112.50	
13OCT17	B	2	PD12JAN18	6886.50	6882.00	USD	-225.00	
13OCT17	B	1	PD12JAN18	6885.50	6882.00	USD	-87.50	
13OCT17	B	1	PD12JAN18	6885.00	6882.00	USD	-75.00	
13OCT17	B	2	PD12JAN18	6884.00	6882.00	USD	-100.00	
13OCT17	B	1	PD12JAN18	6882.50	6882.00	USD	-12.50	
13OCT17	S	1	PD12JAN18	6874.00	6882.00	USD	-200.00	
13OCT17	B	1	PD12JAN18	6911.50	6882.00	USD	-737.50	
13OCT17	B	3	PD12JAN18	6877.00	6882.00	USD	375.00	
13OCT17	B	1	PD12JAN18	6875.00	6882.00	USD	175.00	
13OCT17	B	1	PD12JAN18	6874.00	6882.00	USD	200.00	
13OCT17	B	4	PD12JAN18	6872.00	6882.00	USD	1,000.00	
13OCT17	B	5	PD12JAN18	6869.50	6882.00	USD	1,562.50	
13OCT17	B	1	PD12JAN18	6870.00	6882.00	USD	300.00	
13OCT17	S	4	PD12JAN18	6883.50	6882.00	USD	150.00	
13OCT17	S	1	PD12JAN18	6881.50	6882.00	USD	-12.50	
	NET	+20 AVG BGHT 6863.09	SOLD 6864.53				12,787.50	0.00
	<u>CMX COPPER 25,000 CMX</u>							
11OCT17	S	11	DEC17	307.700	313.350	USD	-15,537.50	
11OCT17	S	2	DEC17	308.050	313.350	USD	-2,650.00	
11OCT17	S	5	DEC17	309.250	313.350	USD	-5,125.00	
11OCT17	S	8	DEC17	309.200	313.350	USD	-8,300.00	
11OCT17	S	33	DEC17	309.400	313.350	USD	-32,587.50	
11OCT17	S	7	DEC17	309.050	313.350	USD	-7,525.00	
11OCT17	S	11	DEC17	309.350	313.350	USD	-11,000.00	
11OCT17	S	11	DEC17	309.300	313.350	USD	-11,137.50	
11OCT17	S	4	DEC17	308.650	313.350	USD	-4,700.00	
11OCT17	S	6	DEC17	308.800	313.350	USD	-6,825.00	
11OCT17	S	4	DEC17	308.700	313.350	USD	-4,650.00	
11OCT17	S	19	DEC17	308.750	313.350	USD	-21,850.00	
13OCT17	S	2	DEC17	312.400	313.350	USD	-475.00	
13OCT17	S	4	DEC17	312.350	313.350	USD	-1,000.00	
13OCT17	S	5	DEC17	312.250	313.350	USD	-1,375.00	
13OCT17	S	4	DEC17	312.150	313.350	USD	-1,200.00	
13OCT17	S	5	DEC17	312.100	313.350	USD	-1,562.50	
13OCT17	S	2	DEC17	312.000	313.350	USD	-675.00	
13OCT17	S	11	DEC17	312.050	313.350	USD	-3,575.00	
	NET	-154 AVG SOLD 309.668					-141,750.00	0.00
12OCT17	S	12	MAR18	313.600	315.300	USD	-5,100.00	
12OCT17	S	10	MAR18	313.550	315.300	USD	-4,375.00	
	NET	-22 AVG SOLD 313.577					-9,475.00	0.00

OPEN POSITION STATEMENT



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OPEN POSITION VALUE

		USD
LME Copper Grade A 25	FUTURES	-14,295,473.75
CMX Copper	FUTURES	13,646,900.00

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options	Amount	Profit / Loss	Total Amount	Total P&L
COPR - Futures USD	-151,225.00	-151,225.00	-151,225.00	-151,225.00
Unrealised Profits & Losses - Forwards	Amount	Profit / Loss	Total Amount	Total P&L
COPL - Futures USD	-536,083.75	-536,083.75	-536,083.75	-536,083.75

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	698,352.90	698,352.90
Variation Margins - Futures	-151,225.00	-151,225.00
- Options	0.00	0.00
TOTAL EQUITY	547,127.90	547,127.90
Initial Margins	-2,109,721.75	-2,109,721.75
Excess/(Shortage)	-1,562,593.85	-1,562,593.85
FOR INFORMATION ONLY		
Forward Unrealised P&L	-536,083.75	-536,083.75
Net Liquidating Value	11,044.15	11,044.15

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
24AUG17	B	4	PD24NOV17	6608.50	6866.73	USD	25,823.00	
24AUG17	B	2	PD24NOV17	6609.50	6866.73	USD	12,861.50	
24AUG17	B	4	PD24NOV17	6607.50	6866.73	USD	25,923.00	
24AUG17	B	1	PD24NOV17	6603.50	6866.73	USD	6,580.75	
24AUG17	B	1	PD24NOV17	6602.00	6866.73	USD	6,618.25	
24AUG17	B	2	PD24NOV17	6601.00	6866.73	USD	13,286.50	
24AUG17	B	1	PD24NOV17	6600.00	6866.73	USD	6,668.25	
24AUG17	S	1	PD24NOV17	6616.00	6866.73	USD	-6,268.25	
24AUG17	S	1	PD24NOV17	6617.00	6866.73	USD	-6,243.25	
24AUG17	S	3	PD24NOV17	6614.50	6866.73	USD	-18,917.25	
24AUG17	S	2	PD24NOV17	6615.50	6866.73	USD	-12,561.50	
24AUG17	S	3	PD24NOV17	6616.50	6866.73	USD	-18,767.25	
24AUG17	S	6	PD24NOV17	6617.50	6866.73	USD	-37,384.50	
24AUG17	S	1	PD24NOV17	6619.50	6866.73	USD	-6,180.75	
24AUG17	S	3	PD24NOV17	6620.50	6866.73	USD	-18,467.25	
24AUG17	S	2	PD24NOV17	6631.00	6866.73	USD	-11,786.50	
24AUG17	S	3	PD24NOV17	6632.00	6866.73	USD	-17,604.75	
24AUG17	S	1	PD24NOV17	6626.50	6866.73	USD	-6,005.75	
24AUG17	S	1	PD24NOV17	6627.50	6866.73	USD	-5,980.75	
24AUG17	S	2	PD24NOV17	6628.50	6866.73	USD	-11,911.50	
24AUG17	S	1	PD24NOV17	6629.50	6866.73	USD	-5,930.75	
24AUG17	B	2	PD24NOV17	6683.50	6866.73	USD	9,161.50	
24AUG17	B	1	PD24NOV17	6681.00	6866.73	USD	4,643.25	
24AUG17	S	2	PD24NOV17	6680.50	6866.73	USD	-9,311.50	
24AUG17	S	1	PD24NOV17	6681.50	6866.73	USD	-4,630.75	
24AUG17	S	4	PD24NOV17	6702.50	6866.73	USD	-16,423.00	
24AUG17	S	1	PD24NOV17	6704.50	6866.73	USD	-4,055.75	
24AUG17	S	2	PD24NOV17	6704.00	6866.73	USD	-8,136.50	
24AUG17	S	4	PD24NOV17	6705.00	6866.73	USD	-16,173.00	
24AUG17	S	4	PD24NOV17	6703.50	6866.73	USD	-16,323.00	
24AUG17	S	4	PD24NOV17	6706.00	6866.73	USD	-16,073.00	
24AUG17	S	3	PD24NOV17	6707.00	6866.73	USD	-11,979.75	
24AUG17	S	3	PD24NOV17	6708.00	6866.73	USD	-11,904.75	
24AUG17	S	1	PD24NOV17	6709.00	6866.73	USD	-3,943.25	
24AUG17	S	4	PD24NOV17	6711.50	6866.73	USD	-15,523.00	
25AUG17	B	1	PD24NOV17	6714.00	6866.73	USD	3,818.25	
25AUG17	B	1	PD24NOV17	6713.50	6866.73	USD	3,830.75	
25AUG17	B	1	PD24NOV17	6713.00	6866.73	USD	3,843.25	
25AUG17	B	1	PD24NOV17	6700.00	6866.73	USD	4,168.25	
25AUG17	B	1	PD24NOV17	6702.50	6866.73	USD	4,105.75	
25AUG17	B	1	PD24NOV17	6703.50	6866.73	USD	4,080.75	
25AUG17	S	1	PD24NOV17	6724.50	6866.73	USD	-3,555.75	
25AUG17	S	1	PD24NOV17	6723.50	6866.73	USD	-3,580.75	
25AUG17	S	2	PD24NOV17	6723.00	6866.73	USD	-7,186.50	
25AUG17	B	2	PD24NOV17	6729.50	6866.73	USD	6,861.50	
25AUG17	B	4	PD24NOV17	6728.50	6866.73	USD	13,823.00	
25AUG17	B	8	PD24NOV17	6727.50	6866.73	USD	27,846.00	
25AUG17	S	1	PD24NOV17	6734.50	6866.73	USD	-3,305.75	
25AUG17	S	1	PD24NOV17	6732.50	6866.73	USD	-3,355.75	
25AUG17	S	1	PD24NOV17	6734.00	6866.73	USD	-3,318.25	
25AUG17	S	4	PD24NOV17	6739.00	6866.73	USD	-12,773.00	
25AUG17	S	1	PD24NOV17	6737.50	6866.73	USD	-3,230.75	
25AUG17	S	6	PD24NOV17	6738.50	6866.73	USD	-19,234.50	
25AUG17	S	4	PD24NOV17	6739.50	6866.73	USD	-12,723.00	
25AUG17	S	4	PD24NOV17	6740.50	6866.73	USD	-12,623.00	
25AUG17	B	6	PD24NOV17	6730.00	6866.73	USD	20,509.50	
25AUG17	B	1	PD24NOV17	6724.50	6866.73	USD	3,555.75	

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Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
25AUG17	B	1	PD24NOV17	6721.00	6866.73	USD	3,643.25	
25AUG17	B	2	PD24NOV17	6722.50	6866.73	USD	7,211.50	
25AUG17	B	7	PD24NOV17	6722.00	6866.73	USD	25,327.75	
25AUG17	B	5	PD24NOV17	6720.00	6866.73	USD	18,341.25	
25AUG17	B	1	PD24NOV17	6718.00	6866.73	USD	3,718.25	
25AUG17	B	5	PD24NOV17	6719.00	6866.73	USD	18,466.25	
25AUG17	S	2	PD24NOV17	6719.50	6866.73	USD	-7,361.50	
25AUG17	S	1	PD24NOV17	6736.00	6866.73	USD	-3,268.25	
25AUG17	S	4	PD24NOV17	6737.00	6866.73	USD	-12,973.00	
25AUG17	S	3	PD24NOV17	6738.00	6866.73	USD	-9,654.75	
25AUG17	B	1	PD24NOV17	6714.00	6866.73	USD	3,818.25	
25AUG17	B	1	PD24NOV17	6712.00	6866.73	USD	3,868.25	
25AUG17	B	1	PD24NOV17	6700.00	6866.73	USD	4,168.25	
25AUG17	B	1	PD24NOV17	6728.50	6866.73	USD	3,455.75	
25AUG17	B	1	PD24NOV17	6718.00	6866.73	USD	3,718.25	
25AUG17	B	2	PD24NOV17	6735.50	6866.73	USD	6,561.50	
25AUG17	B	1	PD24NOV17	6723.50	6866.73	USD	3,580.75	
25AUG17	B	1	PD24NOV17	6724.00	6866.73	USD	3,568.25	
25AUG17	B	1	PD24NOV17	6725.00	6866.73	USD	3,543.25	
25AUG17	B	1	PD24NOV17	6715.00	6866.73	USD	3,793.25	
25AUG17	B	5	PD24NOV17	6719.50	6866.73	USD	18,403.75	
25AUG17	B	6	PD24NOV17	6718.50	6866.73	USD	22,234.50	
25AUG17	B	1	PD24NOV17	6717.50	6866.73	USD	3,730.75	
25AUG17	S	5	PD24NOV17	6690.00	6866.73	USD	-22,091.25	
25AUG17	S	2	PD24NOV17	6693.00	6866.73	USD	-8,686.50	
25AUG17	S	1	PD24NOV17	6695.00	6866.73	USD	-4,293.25	
25AUG17	S	1	PD24NOV17	6686.50	6866.73	USD	-4,505.75	
25AUG17	S	3	PD24NOV17	6684.50	6866.73	USD	-13,667.25	
25AUG17	S	2	PD24NOV17	6685.50	6866.73	USD	-9,061.50	
25AUG17	S	2	PD24NOV17	6692.00	6866.73	USD	-8,736.50	
25AUG17	S	3	PD24NOV17	6687.50	6866.73	USD	-13,442.25	
25AUG17	S	2	PD24NOV17	6680.00	6866.73	USD	-9,336.50	
25AUG17	S	1	PD24NOV17	6678.00	6866.73	USD	-4,718.25	
25AUG17	S	1	PD24NOV17	6679.00	6866.73	USD	-4,693.25	
25AUG17	S	1	PD24NOV17	6688.00	6866.73	USD	-4,468.25	
25AUG17	S	1	PD24NOV17	6702.00	6866.73	USD	-4,118.25	
25AUG17	S	2	PD24NOV17	6700.50	6866.73	USD	-8,311.50	
25AUG17	S	3	PD24NOV17	6701.00	6866.73	USD	-12,429.75	
25AUG17	S	2	PD24NOV17	6706.00	6866.73	USD	-8,036.50	
25AUG17	S	1	PD24NOV17	6706.50	6866.73	USD	-4,005.75	
25AUG17	S	1	PD24NOV17	6707.00	6866.73	USD	-3,993.25	
25AUG17	S	1	PD24NOV17	6706.00	6866.73	USD	-4,018.25	
25AUG17	S	2	PD24NOV17	6674.50	6866.73	USD	-9,611.50	
25AUG17	S	3	PD24NOV17	6675.50	6866.73	USD	-14,342.25	
25AUG17	S	2	PD24NOV17	6676.50	6866.73	USD	-9,511.50	
25AUG17	S	3	PD24NOV17	6672.00	6866.73	USD	-14,604.75	
25AUG17	S	2	PD24NOV17	6673.00	6866.73	USD	-9,686.50	
25AUG17	S	5	PD24NOV17	6671.00	6866.73	USD	-24,466.25	
25AUG17	S	1	PD24NOV17	6700.00	6866.73	USD	-4,168.25	
25AUG17	S	2	PD24NOV17	6699.00	6866.73	USD	-8,386.50	
25AUG17	S	1	PD24NOV17	6704.00	6866.73	USD	-4,068.25	
25AUG17	S	4	PD24NOV17	6701.50	6866.73	USD	-16,523.00	
30AUG17	B	30	PD24NOV17	6767.50	6866.73	USD	74,422.50	
04SEP17	B	40	PD24NOV17	6913.04	6866.73	USD	-46,310.00	
	NET	0	AVG BGHT 6766.79	SOLD 6689.47			-307,340.00	0.00
29AUG17	S	2	PD29NOV17	6760.50	6869.07	USD	-5,428.50	
29AUG17	S	3	PD29NOV17	6759.50	6869.07	USD	-8,217.75	

OPEN POSITION STATEMENT



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SAP0097R

13th October 2017

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
29AUG17	S	1	PD29NOV17	6780.50	6869.07	USD	-2,214.25	
29AUG17	S	4	PD29NOV17	6781.50	6869.07	USD	-8,757.00	
29AUG17	S	3	PD29NOV17	6765.00	6869.07	USD	-7,805.25	
29AUG17	S	2	PD29NOV17	6764.00	6869.07	USD	-5,253.50	
29AUG17	B	2	PD29NOV17	6815.00	6869.07	USD	2,703.50	
29AUG17	B	3	PD29NOV17	6816.50	6869.07	USD	3,942.75	
29AUG17	S	1	PD29NOV17	6816.50	6869.07	USD	-1,314.25	
29AUG17	S	1	PD29NOV17	6818.50	6869.07	USD	-1,264.25	
29AUG17	S	2	PD29NOV17	6817.50	6869.07	USD	-2,578.50	
29AUG17	S	5	PD29NOV17	6817.00	6869.07	USD	-6,508.75	
29AUG17	B	1	PD29NOV17	6820.00	6869.07	USD	1,226.75	
29AUG17	B	1	PD29NOV17	6819.50	6869.07	USD	1,239.25	
29AUG17	B	1	PD29NOV17	6816.00	6869.07	USD	1,326.75	
29AUG17	B	7	PD29NOV17	6818.00	6869.07	USD	8,937.25	
29AUG17	B	4	PD29NOV17	6822.50	6869.07	USD	4,657.00	
29AUG17	B	2	PD29NOV17	6823.00	6869.07	USD	2,303.50	
29AUG17	B	1	PD29NOV17	6823.50	6869.07	USD	1,139.25	
29AUG17	B	2	PD29NOV17	6824.00	6869.07	USD	2,253.50	
29AUG17	B	1	PD29NOV17	6806.50	6869.07	USD	1,564.25	
29AUG17	B	1	PD29NOV17	6807.50	6869.07	USD	1,539.25	
29AUG17	B	2	PD29NOV17	6808.50	6869.07	USD	3,028.50	
29AUG17	B	1	PD29NOV17	6806.00	6869.07	USD	1,576.75	
29AUG17	B	1	PD29NOV17	6799.50	6869.07	USD	1,739.25	
29AUG17	B	4	PD29NOV17	6799.00	6869.07	USD	7,007.00	
29AUG17	B	1	PD29NOV17	6801.00	6869.07	USD	1,701.75	
29AUG17	B	1	PD29NOV17	6802.50	6869.07	USD	1,664.25	
29AUG17	B	3	PD29NOV17	6807.00	6869.07	USD	4,655.25	
29AUG17	B	2	PD29NOV17	6803.00	6869.07	USD	3,303.50	
29AUG17	B	3	PD29NOV17	6802.00	6869.07	USD	5,030.25	
29AUG17	B	2	PD29NOV17	6813.00	6869.07	USD	2,803.50	
29AUG17	B	3	PD29NOV17	6811.50	6869.07	USD	4,317.75	
29AUG17	B	3	PD29NOV17	6812.50	6869.07	USD	4,242.75	
29AUG17	B	11	PD29NOV17	6812.00	6869.07	USD	15,694.25	
29AUG17	B	1	PD29NOV17	6810.50	6869.07	USD	1,464.25	
29AUG17	S	2	PD29NOV17	6824.00	6869.07	USD	-2,253.50	
29AUG17	S	2	PD29NOV17	6825.50	6869.07	USD	-2,178.50	
29AUG17	S	1	PD29NOV17	6828.00	6869.07	USD	-1,026.75	
29AUG17	S	1	PD29NOV17	6817.50	6869.07	USD	-1,289.25	
29AUG17	B	1	PD29NOV17	6822.50	6869.07	USD	1,164.25	
29AUG17	S	1	PD29NOV17	6791.00	6869.07	USD	-1,951.75	
29AUG17	S	1	PD29NOV17	6792.00	6869.07	USD	-1,926.75	
29AUG17	S	1	PD29NOV17	6793.00	6869.07	USD	-1,901.75	
29AUG17	S	2	PD29NOV17	6794.00	6869.07	USD	-3,753.50	
29AUG17	B	1	PD29NOV17	6791.50	6869.07	USD	1,939.25	
29AUG17	B	3	PD29NOV17	6792.50	6869.07	USD	5,742.75	
29AUG17	B	1	PD29NOV17	6793.50	6869.07	USD	1,889.25	
29AUG17	S	1	PD29NOV17	6803.50	6869.07	USD	-1,639.25	
29AUG17	S	4	PD29NOV17	6804.50	6869.07	USD	-6,457.00	
30AUG17	S	30	PD29NOV17	6768.55	6869.07	USD	-75,390.00	
	NET	0 AVG BGHT 6810.90	SOLD 6783.86				-47,312.50	0.00
30AUG17	B	2	PD30NOV17	6820.50	6869.53	USD	2,451.50	
30AUG17	B	2	PD30NOV17	6818.50	6869.53	USD	2,551.50	
30AUG17	B	1	PD30NOV17	6819.00	6869.53	USD	1,263.25	
30AUG17	B	1	PD30NOV17	6821.00	6869.53	USD	1,213.25	
30AUG17	B	2	PD30NOV17	6820.00	6869.53	USD	2,476.50	
30AUG17	B	1	PD30NOV17	6815.00	6869.53	USD	1,363.25	
30AUG17	B	1	PD30NOV17	6816.00	6869.53	USD	1,338.25	

OPEN POSITION STATEMENT



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13th October 2017

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
30AUG17	B	1	PD30NOV17	6815.50	6869.53	USD	1,350.75	
30AUG17	B	5	PD30NOV17	6817.50	6869.53	USD	6,503.75	
30AUG17	B	2	PD30NOV17	6817.00	6869.53	USD	2,626.50	
30AUG17	B	1	PD30NOV17	6814.50	6869.53	USD	1,375.75	
30AUG17	B	1	PD30NOV17	6803.50	6869.53	USD	1,650.75	
30AUG17	B	1	PD30NOV17	6785.00	6869.53	USD	2,113.25	
30AUG17	B	3	PD30NOV17	6790.00	6869.53	USD	5,964.75	
30AUG17	B	1	PD30NOV17	6789.50	6869.53	USD	2,000.75	
30AUG17	B	1	PD30NOV17	6788.50	6869.53	USD	2,025.75	
30AUG17	B	1	PD30NOV17	6791.00	6869.53	USD	1,963.25	
30AUG17	B	3	PD30NOV17	6788.00	6869.53	USD	6,114.75	
30AUG17	B	1	PD30NOV17	6787.50	6869.53	USD	2,050.75	
30AUG17	B	1	PD30NOV17	6789.00	6869.53	USD	2,013.25	
30AUG17	B	1	PD30NOV17	6784.50	6869.53	USD	2,125.75	
30AUG17	B	1	PD30NOV17	6783.00	6869.53	USD	2,163.25	
30AUG17	B	1	PD30NOV17	6782.00	6869.53	USD	2,188.25	
30AUG17	B	1	PD30NOV17	6799.00	6869.53	USD	1,763.25	
30AUG17	B	1	PD30NOV17	6797.00	6869.53	USD	1,813.25	
30AUG17	B	1	PD30NOV17	6794.00	6869.53	USD	1,888.25	
30AUG17	B	6	PD30NOV17	6785.50	6869.53	USD	12,604.50	
30AUG17	B	1	PD30NOV17	6783.50	6869.53	USD	2,150.75	
30AUG17	B	2	PD30NOV17	6784.00	6869.53	USD	4,276.50	
30AUG17	B	5	PD30NOV17	6782.50	6869.53	USD	10,878.75	
30AUG17	B	1	PD30NOV17	6778.50	6869.53	USD	2,275.75	
30AUG17	B	1	PD30NOV17	6778.00	6869.53	USD	2,288.25	
30AUG17	B	1	PD30NOV17	6775.00	6869.53	USD	2,363.25	
30AUG17	B	1	PD30NOV17	6787.00	6869.53	USD	2,063.25	
30AUG17	B	1	PD30NOV17	6786.50	6869.53	USD	2,075.75	
30AUG17	B	1	PD30NOV17	6777.50	6869.53	USD	2,300.75	
30AUG17	B	1	PD30NOV17	6770.00	6869.53	USD	2,488.25	
30AUG17	B	2	PD30NOV17	6772.50	6869.53	USD	4,851.50	
30AUG17	B	1	PD30NOV17	6769.00	6869.53	USD	2,513.25	
30AUG17	B	1	PD30NOV17	6775.50	6869.53	USD	2,350.75	
30AUG17	B	1	PD30NOV17	6776.00	6869.53	USD	2,338.25	
30AUG17	B	4	PD30NOV17	6772.00	6869.53	USD	9,753.00	
30AUG17	B	4	PD30NOV17	6768.00	6869.53	USD	10,153.00	
30AUG17	B	3	PD30NOV17	6768.50	6869.53	USD	7,577.25	
31AUG17	B	3	PD30NOV17	6806.50	6869.53	USD	4,727.25	
31AUG17	B	1	PD30NOV17	6807.50	6869.53	USD	1,550.75	
31AUG17	B	1	PD30NOV17	6808.00	6869.53	USD	1,538.25	
31AUG17	B	1	PD30NOV17	6807.00	6869.53	USD	1,563.25	
31AUG17	B	2	PD30NOV17	6804.50	6869.53	USD	3,251.50	
31AUG17	B	3	PD30NOV17	6805.50	6869.53	USD	4,802.25	
31AUG17	B	2	PD30NOV17	6803.50	6869.53	USD	3,301.50	
31AUG17	B	1	PD30NOV17	6802.50	6869.53	USD	1,675.75	
31AUG17	B	1	PD30NOV17	6803.00	6869.53	USD	1,663.25	
31AUG17	B	2	PD30NOV17	6822.50	6869.53	USD	2,351.50	
31AUG17	B	1	PD30NOV17	6821.50	6869.53	USD	1,200.75	
31AUG17	B	2	PD30NOV17	6856.50	6869.53	USD	651.50	
31AUG17	B	2	PD30NOV17	6859.00	6869.53	USD	526.50	
31AUG17	B	3	PD30NOV17	6860.00	6869.53	USD	714.75	
31AUG17	B	4	PD30NOV17	6858.00	6869.53	USD	1,153.00	
31AUG17	B	1	PD30NOV17	6861.50	6869.53	USD	200.75	
31AUG17	B	1	PD30NOV17	6860.50	6869.53	USD	225.75	
31AUG17	B	7	PD30NOV17	6859.50	6869.53	USD	1,755.25	
31AUG17	B	1	PD30NOV17	6857.50	6869.53	USD	300.75	
31AUG17	B	1	PD30NOV17	6853.00	6869.53	USD	413.25	

OPEN POSITION STATEMENT



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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
31AUG17	B	2	PD30NOV17	6854.00	6869.53	USD	776.50	
31AUG17	B	1	PD30NOV17	6851.50	6869.53	USD	450.75	
31AUG17	B	1	PD30NOV17	6849.50	6869.53	USD	500.75	
31AUG17	B	1	PD30NOV17	6849.00	6869.53	USD	513.25	
31AUG17	B	1	PD30NOV17	6848.00	6869.53	USD	538.25	
31AUG17	B	1	PD30NOV17	6847.00	6869.53	USD	563.25	
31AUG17	B	1	PD30NOV17	6832.50	6869.53	USD	925.75	
31AUG17	S	1	PD30NOV17	6835.50	6869.53	USD	-850.75	
31AUG17	B	3	PD30NOV17	6833.50	6869.53	USD	2,702.25	
31AUG17	B	1	PD30NOV17	6829.00	6869.53	USD	1,013.25	
31AUG17	B	1	PD30NOV17	6824.00	6869.53	USD	1,138.25	
31AUG17	S	2	PD30NOV17	6828.00	6869.53	USD	-2,076.50	
31AUG17	B	2	PD30NOV17	6820.00	6869.53	USD	2,476.50	
31AUG17	B	2	PD30NOV17	6822.50	6869.53	USD	2,351.50	
31AUG17	B	1	PD30NOV17	6855.50	6869.53	USD	350.75	
31AUG17	S	10	PD30NOV17	6790.50	6869.53	USD	-19,757.50	
31AUG17	S	2	PD30NOV17	6802.50	6869.53	USD	-3,351.50	
31AUG17	S	3	PD30NOV17	6800.00	6869.53	USD	-5,214.75	
31AUG17	B	2	PD30NOV17	6796.00	6869.53	USD	3,676.50	
31AUG17	B	1	PD30NOV17	6795.00	6869.53	USD	1,863.25	
31AUG17	B	2	PD30NOV17	6793.00	6869.53	USD	3,826.50	
31AUG17	B	2	PD30NOV17	6794.00	6869.53	USD	3,776.50	
31AUG17	B	2	PD30NOV17	6796.50	6869.53	USD	3,651.50	
31AUG17	B	8	PD30NOV17	6795.50	6869.53	USD	14,806.00	
31AUG17	B	1	PD30NOV17	6788.50	6869.53	USD	2,025.75	
31AUG17	B	9	PD30NOV17	6788.00	6869.53	USD	18,344.25	
31AUG17	B	3	PD30NOV17	6797.50	6869.53	USD	5,402.25	
31AUG17	B	5	PD30NOV17	6798.50	6869.53	USD	8,878.75	
31AUG17	B	5	PD30NOV17	6798.00	6869.53	USD	8,941.25	
31AUG17	B	7	PD30NOV17	6805.00	6869.53	USD	11,292.75	
31AUG17	B	3	PD30NOV17	6798.50	6869.53	USD	5,327.25	
04SEP17	S	40	PD30NOV17	6915.22	6869.53	USD	45,690.00	
04SEP17	S	10	PD30NOV17	6915.42	6869.53	USD	11,472.50	
05SEP17	S	25	PD30NOV17	6897.98	6869.53	USD	17,781.25	
06SEP17	S	10	PD30NOV17	6898.75	6869.53	USD	7,305.00	
07SEP17	S	25	PD30NOV17	6895.83	6869.53	USD	16,437.50	
20SEP17	S	38	PD30NOV17	6519.07	6869.53	USD	-332,937.00	
21SEP17	S	17	PD30NOV17	6474.30	6869.53	USD	-167,972.75	
	NET	0 AVG BGHT 6806.72	SOLD 6774.78				-146,137.25	0.00
01SEP17	B	2	PD01DEC17	6825.50	6870.00	USD	2,225.00	
01SEP17	B	1	PD01DEC17	6822.50	6870.00	USD	1,187.50	
01SEP17	B	1	PD01DEC17	6821.50	6870.00	USD	1,212.50	
01SEP17	B	1	PD01DEC17	6818.50	6870.00	USD	1,287.50	
01SEP17	B	1	PD01DEC17	6816.50	6870.00	USD	1,337.50	
01SEP17	B	1	PD01DEC17	6813.00	6870.00	USD	1,425.00	
01SEP17	B	5	PD01DEC17	6812.00	6870.00	USD	7,250.00	
01SEP17	B	1	PD01DEC17	6811.00	6870.00	USD	1,475.00	
01SEP17	B	2	PD01DEC17	6809.50	6870.00	USD	3,025.00	
01SEP17	B	6	PD01DEC17	6811.50	6870.00	USD	8,775.00	
01SEP17	B	1	PD01DEC17	6812.50	6870.00	USD	1,437.50	
01SEP17	B	5	PD01DEC17	6808.50	6870.00	USD	7,687.50	
01SEP17	B	1	PD01DEC17	6808.00	6870.00	USD	1,550.00	
01SEP17	B	4	PD01DEC17	6807.00	6870.00	USD	6,300.00	
01SEP17	S	1	PD01DEC17	6823.00	6870.00	USD	-1,175.00	
01SEP17	S	1	PD01DEC17	6822.00	6870.00	USD	-1,200.00	
01SEP17	S	1	PD01DEC17	6819.50	6870.00	USD	-1,262.50	
01SEP17	S	5	PD01DEC17	6820.50	6870.00	USD	-6,187.50	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0097R

13th October 2017

Sapien Capital Limited
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backoffice@sapiencap.com

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
01SEP17	B	2	PD01DEC17	6819.00	6870.00	USD	2,550.00	
01SEP17	S	2	PD01DEC17	6818.00	6870.00	USD	-2,600.00	
01SEP17	S	1	PD01DEC17	6817.00	6870.00	USD	-1,325.00	
01SEP17	S	2	PD01DEC17	6811.00	6870.00	USD	-2,950.00	
01SEP17	S	1	PD01DEC17	6816.50	6870.00	USD	-1,337.50	
01SEP17	S	2	PD01DEC17	6815.50	6870.00	USD	-2,725.00	
01SEP17	S	1	PD01DEC17	6805.00	6870.00	USD	-1,625.00	
01SEP17	S	1	PD01DEC17	6805.50	6870.00	USD	-1,612.50	
01SEP17	S	2	PD01DEC17	6808.00	6870.00	USD	-3,100.00	
01SEP17	S	4	PD01DEC17	6803.00	6870.00	USD	-6,700.00	
01SEP17	S	3	PD01DEC17	6809.00	6870.00	USD	-4,575.00	
01SEP17	B	5	PD01DEC17	6828.00	6870.00	USD	5,250.00	
01SEP17	B	5	PD01DEC17	6827.50	6870.00	USD	5,312.50	
01SEP17	B	1	PD01DEC17	6826.00	6870.00	USD	1,100.00	
01SEP17	B	5	PD01DEC17	6827.00	6870.00	USD	5,375.00	
01SEP17	B	2	PD01DEC17	6829.50	6870.00	USD	2,025.00	
01SEP17	B	5	PD01DEC17	6831.50	6870.00	USD	4,812.50	
01SEP17	S	5	PD01DEC17	6840.00	6870.00	USD	-3,750.00	
01SEP17	S	5	PD01DEC17	6840.50	6870.00	USD	-3,687.50	
01SEP17	S	1	PD01DEC17	6837.50	6870.00	USD	-812.50	
01SEP17	S	1	PD01DEC17	6834.50	6870.00	USD	-887.50	
01SEP17	S	6	PD01DEC17	6835.00	6870.00	USD	-5,250.00	
01SEP17	S	1	PD01DEC17	6826.00	6870.00	USD	-1,100.00	
01SEP17	S	1	PD01DEC17	6828.00	6870.00	USD	-1,050.00	
01SEP17	S	1	PD01DEC17	6830.50	6870.00	USD	-987.50	
01SEP17	S	6	PD01DEC17	6835.50	6870.00	USD	-5,175.00	
01SEP17	S	3	PD01DEC17	6836.50	6870.00	USD	-2,512.50	
01SEP17	S	2	PD01DEC17	6833.50	6870.00	USD	-1,825.00	
01SEP17	S	1	PD01DEC17	6834.00	6870.00	USD	-900.00	
01SEP17	S	2	PD01DEC17	6832.50	6870.00	USD	-1,875.00	
01SEP17	S	1	PD01DEC17	6836.00	6870.00	USD	-850.00	
01SEP17	S	3	PD01DEC17	6837.00	6870.00	USD	-2,475.00	
01SEP17	S	1	PD01DEC17	6838.00	6870.00	USD	-800.00	
04SEP17	B	10	PD01DEC17	6916.01	6870.00	USD	-11,502.50	
	NET	0 AVG BGHT 6833.52	SOLD 6826.83				-11,215.00	0.00
04SEP17	S	1	PD04DEC17	6887.50	6871.20	USD	407.50	
04SEP17	S	1	PD04DEC17	6882.50	6871.20	USD	282.50	
04SEP17	S	2	PD04DEC17	6889.00	6871.20	USD	890.00	
04SEP17	S	1	PD04DEC17	6893.00	6871.20	USD	545.00	
04SEP17	S	1	PD04DEC17	6896.50	6871.20	USD	632.50	
04SEP17	S	2	PD04DEC17	6894.50	6871.20	USD	1,165.00	
04SEP17	S	1	PD04DEC17	6895.00	6871.20	USD	595.00	
04SEP17	S	1	PD04DEC17	6898.00	6871.20	USD	670.00	
04SEP17	S	1	PD04DEC17	6904.00	6871.20	USD	820.00	
04SEP17	S	1	PD04DEC17	6902.50	6871.20	USD	782.50	
04SEP17	S	3	PD04DEC17	6903.50	6871.20	USD	2,422.50	
04SEP17	S	5	PD04DEC17	6903.00	6871.20	USD	3,975.00	
04SEP17	S	2	PD04DEC17	6906.50	6871.20	USD	1,765.00	
04SEP17	B	1	PD04DEC17	6893.50	6871.20	USD	-557.50	
04SEP17	B	1	PD04DEC17	6892.50	6871.20	USD	-532.50	
04SEP17	S	2	PD04DEC17	6907.50	6871.20	USD	1,815.00	
04SEP17	S	6	PD04DEC17	6907.00	6871.20	USD	5,370.00	
04SEP17	B	1	PD04DEC17	6901.50	6871.20	USD	-757.50	
04SEP17	B	3	PD04DEC17	6902.50	6871.20	USD	-2,347.50	
04SEP17	B	1	PD04DEC17	6898.50	6871.20	USD	-682.50	
04SEP17	B	1	PD04DEC17	6897.50	6871.20	USD	-657.50	
04SEP17	B	2	PD04DEC17	6897.00	6871.20	USD	-1,290.00	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
04SEP17	B	2	PD04DEC17	6895.50	6871.20	USD	-1,215.00	
04SEP17	B	1	PD04DEC17	6894.50	6871.20	USD	-582.50	
04SEP17	B	1	PD04DEC17	6891.00	6871.20	USD	-495.00	
04SEP17	B	3	PD04DEC17	6891.50	6871.20	USD	-1,522.50	
04SEP17	B	3	PD04DEC17	6888.50	6871.20	USD	-1,297.50	
04SEP17	B	4	PD04DEC17	6889.50	6871.20	USD	-1,830.00	
04SEP17	B	1	PD04DEC17	6890.00	6871.20	USD	-470.00	
04SEP17	B	4	PD04DEC17	6889.00	6871.20	USD	-1,780.00	
04SEP17	S	1	PD04DEC17	6908.00	6871.20	USD	920.00	
04SEP17	S	1	PD04DEC17	6913.50	6871.20	USD	1,057.50	
04SEP17	S	1	PD04DEC17	6916.50	6871.20	USD	1,132.50	
04SEP17	S	1	PD04DEC17	6911.50	6871.20	USD	1,007.50	
04SEP17	S	1	PD04DEC17	6912.50	6871.20	USD	1,032.50	
04SEP17	S	1	PD04DEC17	6913.00	6871.20	USD	1,045.00	
04SEP17	S	1	PD04DEC17	6914.00	6871.20	USD	1,070.00	
04SEP17	S	3	PD04DEC17	6915.00	6871.20	USD	3,285.00	
04SEP17	S	1	PD04DEC17	6918.00	6871.20	USD	1,170.00	
04SEP17	S	1	PD04DEC17	6921.00	6871.20	USD	1,245.00	
04SEP17	S	1	PD04DEC17	6921.50	6871.20	USD	1,257.50	
04SEP17	S	1	PD04DEC17	6918.50	6871.20	USD	1,182.50	
04SEP17	S	2	PD04DEC17	6919.00	6871.20	USD	2,390.00	
04SEP17	B	1	PD04DEC17	6917.00	6871.20	USD	-1,145.00	
04SEP17	S	2	PD04DEC17	6911.50	6871.20	USD	2,015.00	
04SEP17	S	1	PD04DEC17	6917.00	6871.20	USD	1,145.00	
04SEP17	B	1	PD04DEC17	6917.00	6871.20	USD	-1,145.00	
04SEP17	S	2	PD04DEC17	6915.50	6871.20	USD	2,215.00	
04SEP17	B	1	PD04DEC17	6895.50	6871.20	USD	-607.50	
04SEP17	S	3	PD04DEC17	6917.00	6871.20	USD	3,435.00	
04SEP17	S	3	PD04DEC17	6917.50	6871.20	USD	3,472.50	
05SEP17	B	25	PD04DEC17	6899.80	6871.20	USD	-17,875.00	
	NET	0AVG BGHT 6897.02	SOLD 6907.84				15,425.00	0.00
05SEP17	B	2	PD05DEC17	6938.00	6871.60	USD	-3,320.00	
05SEP17	B	1	PD05DEC17	6940.00	6871.60	USD	-1,710.00	
05SEP17	B	4	PD05DEC17	6939.00	6871.60	USD	-6,740.00	
05SEP17	B	1	PD05DEC17	6938.50	6871.60	USD	-1,672.50	
05SEP17	B	1	PD05DEC17	6934.50	6871.60	USD	-1,572.50	
05SEP17	B	1	PD05DEC17	6933.50	6871.60	USD	-1,547.50	
05SEP17	B	2	PD05DEC17	6932.00	6871.60	USD	-3,020.00	
05SEP17	B	1	PD05DEC17	6932.50	6871.60	USD	-1,522.50	
05SEP17	B	1	PD05DEC17	6951.00	6871.60	USD	-1,985.00	
05SEP17	B	1	PD05DEC17	6950.00	6871.60	USD	-1,960.00	
05SEP17	B	1	PD05DEC17	6959.00	6871.60	USD	-2,185.00	
05SEP17	B	1	PD05DEC17	6967.00	6871.60	USD	-2,385.00	
05SEP17	B	1	PD05DEC17	6966.00	6871.60	USD	-2,360.00	
05SEP17	B	1	PD05DEC17	6963.50	6871.60	USD	-2,297.50	
05SEP17	B	1	PD05DEC17	6964.00	6871.60	USD	-2,310.00	
05SEP17	B	3	PD05DEC17	6957.00	6871.60	USD	-6,405.00	
05SEP17	B	3	PD05DEC17	6955.00	6871.60	USD	-6,255.00	
05SEP17	B	1	PD05DEC17	6954.00	6871.60	USD	-2,060.00	
05SEP17	B	1	PD05DEC17	6953.00	6871.60	USD	-2,035.00	
05SEP17	B	1	PD05DEC17	6950.50	6871.60	USD	-1,972.50	
05SEP17	B	1	PD05DEC17	6952.00	6871.60	USD	-2,010.00	
05SEP17	S	1	PD05DEC17	6942.50	6871.60	USD	1,772.50	
05SEP17	S	1	PD05DEC17	6944.50	6871.60	USD	1,822.50	
05SEP17	B	4	PD05DEC17	6935.00	6871.60	USD	-6,340.00	
05SEP17	B	1	PD05DEC17	6938.00	6871.60	USD	-1,660.00	
05SEP17	B	1	PD05DEC17	6936.50	6871.60	USD	-1,622.50	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
05SEP17	B	1	PD05DEC17	6932.00	6871.60	USD	-1,510.00	
05SEP17	S	1	PD05DEC17	6940.00	6871.60	USD	1,710.00	
05SEP17	S	3	PD05DEC17	6939.50	6871.60	USD	5,092.50	
05SEP17	S	2	PD05DEC17	6939.00	6871.60	USD	3,370.00	
05SEP17	S	7	PD05DEC17	6938.50	6871.60	USD	11,707.50	
05SEP17	S	1	PD05DEC17	6937.00	6871.60	USD	1,635.00	
05SEP17	S	1	PD05DEC17	6921.50	6871.60	USD	1,247.50	
05SEP17	S	1	PD05DEC17	6920.00	6871.60	USD	1,210.00	
05SEP17	S	1	PD05DEC17	6925.50	6871.60	USD	1,347.50	
05SEP17	S	1	PD05DEC17	6927.00	6871.60	USD	1,385.00	
05SEP17	S	1	PD05DEC17	6930.00	6871.60	USD	1,460.00	
05SEP17	S	1	PD05DEC17	6931.50	6871.60	USD	1,497.50	
05SEP17	S	2	PD05DEC17	6928.00	6871.60	USD	2,820.00	
05SEP17	S	6	PD05DEC17	6926.50	6871.60	USD	8,235.00	
05SEP17	S	2	PD05DEC17	6927.50	6871.60	USD	2,795.00	
05SEP17	S	3	PD05DEC17	6921.00	6871.60	USD	3,705.00	
05SEP17	S	1	PD05DEC17	6939.00	6871.60	USD	1,685.00	
05SEP17	S	1	PD05DEC17	6921.50	6871.60	USD	1,247.50	
05SEP17	S	2	PD05DEC17	6901.50	6871.60	USD	1,495.00	
05SEP17	S	1	PD05DEC17	6900.00	6871.60	USD	710.00	
05SEP17	S	1	PD05DEC17	6899.00	6871.60	USD	685.00	
05SEP17	S	1	PD05DEC17	6896.00	6871.60	USD	610.00	
05SEP17	S	1	PD05DEC17	6895.50	6871.60	USD	597.50	
05SEP17	S	1	PD05DEC17	6896.50	6871.60	USD	622.50	
05SEP17	S	1	PD05DEC17	6894.50	6871.60	USD	572.50	
05SEP17	S	1	PD05DEC17	6897.00	6871.60	USD	635.00	
05SEP17	S	1	PD05DEC17	6894.00	6871.60	USD	560.00	
05SEP17	B	1	PD05DEC17	6888.00	6871.60	USD	-410.00	
05SEP17	B	2	PD05DEC17	6890.50	6871.60	USD	-945.00	
05SEP17	B	1	PD05DEC17	6889.00	6871.60	USD	-435.00	
05SEP17	B	1	PD05DEC17	6886.00	6871.60	USD	-360.00	
05SEP17	B	2	PD05DEC17	6888.50	6871.60	USD	-845.00	
05SEP17	B	1	PD05DEC17	6885.50	6871.60	USD	-347.50	
05SEP17	S	1	PD05DEC17	6881.50	6871.60	USD	247.50	
05SEP17	S	1	PD05DEC17	6871.50	6871.60	USD	-2.50	
05SEP17	S	2	PD05DEC17	6872.00	6871.60	USD	20.00	
05SEP17	S	1	PD05DEC17	6874.00	6871.60	USD	60.00	
05SEP17	S	1	PD05DEC17	6875.00	6871.60	USD	85.00	
05SEP17	B	1	PD05DEC17	6876.00	6871.60	USD	-110.00	
05SEP17	S	1	PD05DEC17	6867.50	6871.60	USD	-102.50	
05SEP17	S	2	PD05DEC17	6866.50	6871.60	USD	-255.00	
05SEP17	S	1	PD05DEC17	6865.00	6871.60	USD	-165.00	
05SEP17	B	1	PD05DEC17	6863.00	6871.60	USD	215.00	
05SEP17	S	1	PD05DEC17	6867.50	6871.60	USD	-102.50	
05SEP17	B	1	PD05DEC17	6863.00	6871.60	USD	215.00	
06SEP17	B	10	PD05DEC17	6900.83	6871.60	USD	-7,307.50	
	NET	0 AVG BGHT 6925.94	SOLD 6914.37				-16,770.00	0.00
06SEP17	B	2	PD06DEC17	6901.00	6872.00	USD	-1,450.00	
06SEP17	B	1	PD06DEC17	6902.00	6872.00	USD	-750.00	
06SEP17	S	1	PD06DEC17	6902.50	6872.00	USD	762.50	
06SEP17	S	4	PD06DEC17	6902.00	6872.00	USD	3,000.00	
06SEP17	S	2	PD06DEC17	6900.00	6872.00	USD	1,400.00	
06SEP17	S	3	PD06DEC17	6899.00	6872.00	USD	2,025.00	
06SEP17	S	1	PD06DEC17	6911.00	6872.00	USD	975.00	
06SEP17	S	4	PD06DEC17	6907.50	6872.00	USD	3,550.00	
06SEP17	S	1	PD06DEC17	6912.00	6872.00	USD	1,000.00	
06SEP17	S	1	PD06DEC17	6913.50	6872.00	USD	1,037.50	

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06SEP17	S	1	PD06DEC17	6912.50	6872.00	USD	1,012.50	
06SEP17	S	5	PD06DEC17	6910.00	6872.00	USD	4,750.00	
06SEP17	S	3	PD06DEC17	6910.50	6872.00	USD	2,887.50	
06SEP17	S	1	PD06DEC17	6911.50	6872.00	USD	987.50	
06SEP17	S	1	PD06DEC17	6906.50	6872.00	USD	862.50	
06SEP17	S	1	PD06DEC17	6908.50	6872.00	USD	912.50	
06SEP17	S	3	PD06DEC17	6907.00	6872.00	USD	2,625.00	
06SEP17	S	2	PD06DEC17	6906.00	6872.00	USD	1,700.00	
06SEP17	S	2	PD06DEC17	6909.50	6872.00	USD	1,875.00	
06SEP17	B	2	PD06DEC17	6906.50	6872.00	USD	-1,725.00	
06SEP17	B	1	PD06DEC17	6908.00	6872.00	USD	-900.00	
06SEP17	B	1	PD06DEC17	6907.00	6872.00	USD	-875.00	
06SEP17	B	2	PD06DEC17	6899.00	6872.00	USD	-1,350.00	
06SEP17	B	2	PD06DEC17	6899.50	6872.00	USD	-1,375.00	
06SEP17	B	3	PD06DEC17	6900.00	6872.00	USD	-2,100.00	
06SEP17	B	1	PD06DEC17	6900.50	6872.00	USD	-712.50	
06SEP17	B	3	PD06DEC17	6898.00	6872.00	USD	-1,950.00	
06SEP17	B	4	PD06DEC17	6898.50	6872.00	USD	-2,650.00	
06SEP17	B	1	PD06DEC17	6894.50	6872.00	USD	-562.50	
06SEP17	B	5	PD06DEC17	6892.00	6872.00	USD	-2,500.00	
06SEP17	B	1	PD06DEC17	6887.00	6872.00	USD	-375.00	
06SEP17	B	2	PD06DEC17	6889.50	6872.00	USD	-875.00	
06SEP17	B	5	PD06DEC17	6888.00	6872.00	USD	-2,000.00	
06SEP17	B	2	PD06DEC17	6888.50	6872.00	USD	-825.00	
06SEP17	B	2	PD06DEC17	6893.50	6872.00	USD	-1,075.00	
06SEP17	B	2	PD06DEC17	6894.00	6872.00	USD	-1,100.00	
06SEP17	B	4	PD06DEC17	6893.00	6872.00	USD	-2,100.00	
06SEP17	S	1	PD06DEC17	6910.00	6872.00	USD	950.00	
06SEP17	S	1	PD06DEC17	6898.00	6872.00	USD	650.00	
06SEP17	B	2	PD06DEC17	6896.50	6872.00	USD	-1,225.00	
06SEP17	S	2	PD06DEC17	6896.50	6872.00	USD	1,225.00	
06SEP17	S	2	PD06DEC17	6887.50	6872.00	USD	775.00	
06SEP17	S	3	PD06DEC17	6886.00	6872.00	USD	1,050.00	
06SEP17	S	2	PD06DEC17	6886.50	6872.00	USD	725.00	
06SEP17	S	1	PD06DEC17	6896.50	6872.00	USD	612.50	
06SEP17	S	1	PD06DEC17	6898.00	6872.00	USD	650.00	
06SEP17	S	4	PD06DEC17	6898.50	6872.00	USD	2,650.00	
06SEP17	S	3	PD06DEC17	6899.50	6872.00	USD	2,062.50	
06SEP17	S	6	PD06DEC17	6897.50	6872.00	USD	3,825.00	
06SEP17	S	1	PD06DEC17	6896.00	6872.00	USD	600.00	
06SEP17	S	1	PD06DEC17	6890.00	6872.00	USD	450.00	
06SEP17	S	3	PD06DEC17	6892.50	6872.00	USD	1,537.50	
06SEP17	S	4	PD06DEC17	6891.00	6872.00	USD	1,900.00	
06SEP17	S	5	PD06DEC17	6892.00	6872.00	USD	2,500.00	
06SEP17	S	4	PD06DEC17	6893.50	6872.00	USD	2,150.00	
06SEP17	S	1	PD06DEC17	6878.00	6872.00	USD	150.00	
06SEP17	S	1	PD06DEC17	6877.50	6872.00	USD	137.50	
06SEP17	S	1	PD06DEC17	6876.50	6872.00	USD	112.50	
06SEP17	S	1	PD06DEC17	6875.00	6872.00	USD	75.00	
06SEP17	B	4	PD06DEC17	6873.50	6872.00	USD	-150.00	
06SEP17	B	1	PD06DEC17	6872.50	6872.00	USD	-12.50	
06SEP17	B	2	PD06DEC17	6884.00	6872.00	USD	-600.00	
06SEP17	S	1	PD06DEC17	6890.50	6872.00	USD	462.50	
06SEP17	B	1	PD06DEC17	6896.00	6872.00	USD	-600.00	
06SEP17	S	1	PD06DEC17	6891.50	6872.00	USD	487.50	
06SEP17	S	1	PD06DEC17	6876.00	6872.00	USD	100.00	
06SEP17	B	1	PD06DEC17	6897.50	6872.00	USD	-637.50	

OPEN POSITION STATEMENT



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SAP0097R

13th October 2017

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backoffice@sapiencap.com

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>								
06SEP17	S	1	PD06DEC17		6901.50	6872.00	USD	737.50	
06SEP17	B	3	PD06DEC17		6907.50	6872.00	USD	-2,662.50	
06SEP17	B	2	PD06DEC17		6905.50	6872.00	USD	-1,675.00	
06SEP17	S	4	PD06DEC17		6905.00	6872.00	USD	3,300.00	
06SEP17	B	1	PD06DEC17		6906.00	6872.00	USD	-850.00	
06SEP17	S	1	PD06DEC17		6908.00	6872.00	USD	900.00	
06SEP17	B	3	PD06DEC17		6916.00	6872.00	USD	-3,300.00	
06SEP17	B	5	PD06DEC17		6917.00	6872.00	USD	-5,625.00	
06SEP17	S	1	PD06DEC17		6918.50	6872.00	USD	1,162.50	
06SEP17	S	1	PD06DEC17		6917.50	6872.00	USD	1,137.50	
06SEP17	S	2	PD06DEC17		6919.50	6872.00	USD	2,375.00	
06SEP17	B	1	PD06DEC17		6914.00	6872.00	USD	-1,050.00	
07SEP17	B	25	PD06DEC17		6898.32	6872.00	USD	-16,450.00	
	NET	0AVG	BGHT 6897.60	SOLD 6899.55				4,725.00	0.00
07SEP17	B	1	PD07DEC17		6862.50	6872.42	USD	248.00	
07SEP17	S	1	PD07DEC17		6858.50	6872.42	USD	-348.00	
07SEP17	S	1	PD07DEC17		6860.00	6872.42	USD	-310.50	
07SEP17	S	1	PD07DEC17		6859.00	6872.42	USD	-335.50	
07SEP17	S	1	PD07DEC17		6859.50	6872.42	USD	-323.00	
07SEP17	S	1	PD07DEC17		6857.50	6872.42	USD	-373.00	
07SEP17	S	1	PD07DEC17		6856.50	6872.42	USD	-398.00	
07SEP17	S	3	PD07DEC17		6858.00	6872.42	USD	-1,081.50	
07SEP17	S	3	PD07DEC17		6863.50	6872.42	USD	-669.00	
07SEP17	S	1	PD07DEC17		6865.00	6872.42	USD	-185.50	
07SEP17	B	1	PD07DEC17		6872.50	6872.42	USD	-2.00	
07SEP17	B	1	PD07DEC17		6871.50	6872.42	USD	23.00	
07SEP17	B	2	PD07DEC17		6872.00	6872.42	USD	21.00	
07SEP17	S	1	PD07DEC17		6869.50	6872.42	USD	-73.00	
07SEP17	S	2	PD07DEC17		6870.00	6872.42	USD	-121.00	
07SEP17	S	1	PD07DEC17		6871.00	6872.42	USD	-35.50	
07SEP17	S	1	PD07DEC17		6877.00	6872.42	USD	114.50	
07SEP17	S	2	PD07DEC17		6879.00	6872.42	USD	329.00	
07SEP17	B	2	PD07DEC17		6874.00	6872.42	USD	-79.00	
07SEP17	B	1	PD07DEC17		6875.00	6872.42	USD	-64.50	
07SEP17	B	1	PD07DEC17		6876.00	6872.42	USD	-89.50	
07SEP17	B	1	PD07DEC17		6877.50	6872.42	USD	-127.00	
07SEP17	B	4	PD07DEC17		6879.00	6872.42	USD	-658.00	
07SEP17	B	1	PD07DEC17		6878.00	6872.42	USD	-139.50	
07SEP17	B	6	PD07DEC17		6878.50	6872.42	USD	-912.00	
07SEP17	S	1	PD07DEC17		6880.50	6872.42	USD	202.00	
07SEP17	B	5	PD07DEC17		6869.00	6872.42	USD	427.50	
07SEP17	B	5	PD07DEC17		6868.00	6872.42	USD	552.50	
07SEP17	B	8	PD07DEC17		6864.50	6872.42	USD	1,584.00	
07SEP17	B	2	PD07DEC17		6863.00	6872.42	USD	471.00	
07SEP17	B	5	PD07DEC17		6864.00	6872.42	USD	1,052.50	
07SEP17	B	3	PD07DEC17		6861.00	6872.42	USD	856.50	
07SEP17	B	3	PD07DEC17		6862.00	6872.42	USD	781.50	
07SEP17	S	1	PD07DEC17		6836.50	6872.42	USD	-898.00	
07SEP17	S	2	PD07DEC17		6839.50	6872.42	USD	-1,646.00	
07SEP17	S	2	PD07DEC17		6841.50	6872.42	USD	-1,546.00	
07SEP17	S	1	PD07DEC17		6845.50	6872.42	USD	-673.00	
07SEP17	S	1	PD07DEC17		6854.00	6872.42	USD	-460.50	
07SEP17	B	1	PD07DEC17		6857.50	6872.42	USD	373.00	
07SEP17	B	1	PD07DEC17		6860.00	6872.42	USD	310.50	
07SEP17	B	1	PD07DEC17		6859.00	6872.42	USD	335.50	
07SEP17	B	1	PD07DEC17		6858.50	6872.42	USD	348.00	
07SEP17	B	1	PD07DEC17		6861.50	6872.42	USD	273.00	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
07SEP17	B	5	PD07DEC17	6865.50	6872.42	USD	865.00	
07SEP17	B	2	PD07DEC17	6866.50	6872.42	USD	296.00	
07SEP17	B	1	PD07DEC17	6867.00	6872.42	USD	135.50	
07SEP17	S	2	PD07DEC17	6877.50	6872.42	USD	254.00	
07SEP17	S	1	PD07DEC17	6875.50	6872.42	USD	77.00	
07SEP17	S	1	PD07DEC17	6867.50	6872.42	USD	-123.00	
07SEP17	S	1	PD07DEC17	6874.50	6872.42	USD	52.00	
07SEP17	S	1	PD07DEC17	6883.00	6872.42	USD	264.50	
07SEP17	S	2	PD07DEC17	6882.50	6872.42	USD	504.00	
07SEP17	S	1	PD07DEC17	6884.00	6872.42	USD	289.50	
07SEP17	S	1	PD07DEC17	6886.00	6872.42	USD	339.50	
07SEP17	S	1	PD07DEC17	6878.50	6872.42	USD	152.00	
07SEP17	S	2	PD07DEC17	6903.50	6872.42	USD	1,554.00	
07SEP17	S	2	PD07DEC17	6901.50	6872.42	USD	1,454.00	
07SEP17	S	10	PD07DEC17	6900.50	6872.42	USD	7,020.00	
07SEP17	S	2	PD07DEC17	6900.00	6872.42	USD	1,379.00	
07SEP17	S	1	PD07DEC17	6896.50	6872.42	USD	602.00	
07SEP17	S	1	PD07DEC17	6905.00	6872.42	USD	814.50	
07SEP17	S	1	PD07DEC17	6907.00	6872.42	USD	864.50	
07SEP17	S	1	PD07DEC17	6889.50	6872.42	USD	427.00	
07SEP17	S	1	PD07DEC17	6887.50	6872.42	USD	377.00	
07SEP17	S	1	PD07DEC17	6888.50	6872.42	USD	402.00	
07SEP17	B	1	PD07DEC17	6883.00	6872.42	USD	-264.50	
07SEP17	S	3	PD07DEC17	6878.00	6872.42	USD	418.50	
07SEP17	B	1	PD07DEC17	6880.00	6872.42	USD	-189.50	
07SEP17	S	2	PD07DEC17	6883.50	6872.42	USD	554.00	
07SEP17	S	1	PD07DEC17	6885.00	6872.42	USD	314.50	
07SEP17	S	5	PD07DEC17	6890.00	6872.42	USD	2,197.50	
07SEP17	S	3	PD07DEC17	6881.50	6872.42	USD	681.00	
07SEP17	S	2	PD07DEC17	6879.50	6872.42	USD	354.00	
07SEP17	S	1	PD07DEC17	6899.00	6872.42	USD	664.50	
07SEP17	S	1	PD07DEC17	6869.50	6872.42	USD	-73.00	
07SEP17	S	1	PD07DEC17	6893.00	6872.42	USD	514.50	
07SEP17	S	1	PD07DEC17	6885.50	6872.42	USD	327.00	
07SEP17	S	2	PD07DEC17	6879.00	6872.42	USD	329.00	
07SEP17	B	1	PD07DEC17	6888.00	6872.42	USD	-389.50	
07SEP17	B	1	PD07DEC17	6883.50	6872.42	USD	-277.00	
07SEP17	B	2	PD07DEC17	6882.50	6872.42	USD	-504.00	
07SEP17	B	1	PD07DEC17	6893.00	6872.42	USD	-514.50	
07SEP17	B	2	PD07DEC17	6893.50	6872.42	USD	-1,054.00	
07SEP17	B	2	PD07DEC17	6895.00	6872.42	USD	-1,129.00	
07SEP17	B	2	PD07DEC17	6894.00	6872.42	USD	-1,079.00	
07SEP17	B	4	PD07DEC17	6891.50	6872.42	USD	-1,908.00	
07SEP17	B	1	PD07DEC17	6892.00	6872.42	USD	-489.50	
	NET	0	AVG BGHT 6872.86	SOLD 6879.24			13,237.50	0.00
08SEP17	S	1	PD08DEC17	6891.00	6872.83	USD	454.25	
08SEP17	S	1	PD08DEC17	6887.50	6872.83	USD	366.75	
08SEP17	S	1	PD08DEC17	6883.50	6872.83	USD	266.75	
08SEP17	S	1	PD08DEC17	6884.00	6872.83	USD	279.25	
08SEP17	S	1	PD08DEC17	6886.00	6872.83	USD	329.25	
08SEP17	B	1	PD08DEC17	6854.50	6872.83	USD	458.25	
08SEP17	B	2	PD08DEC17	6850.50	6872.83	USD	1,116.50	
08SEP17	B	2	PD08DEC17	6847.00	6872.83	USD	1,291.50	
08SEP17	B	1	PD08DEC17	6845.00	6872.83	USD	695.75	
08SEP17	B	2	PD08DEC17	6849.50	6872.83	USD	1,166.50	
08SEP17	B	1	PD08DEC17	6848.50	6872.83	USD	608.25	
08SEP17	B	1	PD08DEC17	6842.00	6872.83	USD	770.75	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
08SEP17	B	2	PD08DEC17	6841.50	6872.83	USD	1,566.50	
08SEP17	B	1	PD08DEC17	6839.50	6872.83	USD	833.25	
08SEP17	B	1	PD08DEC17	6848.00	6872.83	USD	620.75	
08SEP17	B	5	PD08DEC17	6844.00	6872.83	USD	3,603.75	
08SEP17	B	1	PD08DEC17	6850.00	6872.83	USD	570.75	
08SEP17	B	2	PD08DEC17	6846.50	6872.83	USD	1,316.50	
08SEP17	B	2	PD08DEC17	6844.50	6872.83	USD	1,416.50	
08SEP17	S	1	PD08DEC17	6840.50	6872.83	USD	-808.25	
08SEP17	S	1	PD08DEC17	6842.00	6872.83	USD	-770.75	
08SEP17	S	1	PD08DEC17	6839.50	6872.83	USD	-833.25	
08SEP17	S	3	PD08DEC17	6840.00	6872.83	USD	-2,462.25	
08SEP17	S	2	PD08DEC17	6841.50	6872.83	USD	-1,566.50	
08SEP17	S	4	PD08DEC17	6841.00	6872.83	USD	-3,183.00	
08SEP17	S	3	PD08DEC17	6839.00	6872.83	USD	-2,537.25	
08SEP17	S	3	PD08DEC17	6837.50	6872.83	USD	-2,649.75	
08SEP17	S	2	PD08DEC17	6838.50	6872.83	USD	-1,716.50	
08SEP17	S	1	PD08DEC17	6836.00	6872.83	USD	-920.75	
08SEP17	S	5	PD08DEC17	6835.00	6872.83	USD	-4,728.75	
08SEP17	S	1	PD08DEC17	6832.00	6872.83	USD	-1,020.75	
08SEP17	S	3	PD08DEC17	6833.00	6872.83	USD	-2,987.25	
08SEP17	S	1	PD08DEC17	6812.00	6872.83	USD	-1,520.75	
08SEP17	S	3	PD08DEC17	6813.00	6872.83	USD	-4,487.25	
08SEP17	S	1	PD08DEC17	6810.00	6872.83	USD	-1,570.75	
08SEP17	S	2	PD08DEC17	6811.00	6872.83	USD	-3,091.50	
08SEP17	S	2	PD08DEC17	6812.50	6872.83	USD	-3,016.50	
08SEP17	S	2	PD08DEC17	6815.50	6872.83	USD	-2,866.50	
08SEP17	S	3	PD08DEC17	6828.00	6872.83	USD	-3,362.25	
08SEP17	S	1	PD08DEC17	6826.00	6872.83	USD	-1,170.75	
08SEP17	B	2	PD08DEC17	6823.50	6872.83	USD	2,466.50	
08SEP17	B	3	PD08DEC17	6824.00	6872.83	USD	3,662.25	
08SEP17	B	1	PD08DEC17	6826.00	6872.83	USD	1,170.75	
08SEP17	B	4	PD08DEC17	6825.00	6872.83	USD	4,783.00	
08SEP17	B	4	PD08DEC17	6822.50	6872.83	USD	5,033.00	
08SEP17	B	1	PD08DEC17	6819.00	6872.83	USD	1,345.75	
08SEP17	B	3	PD08DEC17	6817.00	6872.83	USD	4,187.25	
08SEP17	B	2	PD08DEC17	6821.50	6872.83	USD	2,566.50	
08SEP17	B	1	PD08DEC17	6821.00	6872.83	USD	1,295.75	
08SEP17	B	1	PD08DEC17	6820.00	6872.83	USD	1,320.75	
08SEP17	B	2	PD08DEC17	6818.50	6872.83	USD	2,716.50	
08SEP17	B	2	PD08DEC17	6818.00	6872.83	USD	2,741.50	
08SEP17	B	2	PD08DEC17	6814.50	6872.83	USD	2,916.50	
08SEP17	B	2	PD08DEC17	6813.50	6872.83	USD	2,966.50	
08SEP17	B	1	PD08DEC17	6813.00	6872.83	USD	1,495.75	
08SEP17	B	2	PD08DEC17	6812.50	6872.83	USD	3,016.50	
08SEP17	B	1	PD08DEC17	6803.50	6872.83	USD	1,733.25	
08SEP17	B	3	PD08DEC17	6802.00	6872.83	USD	5,312.25	
08SEP17	B	1	PD08DEC17	6801.50	6872.83	USD	1,783.25	
08SEP17	B	1	PD08DEC17	6803.00	6872.83	USD	1,745.75	
08SEP17	B	1	PD08DEC17	6795.00	6872.83	USD	1,945.75	
08SEP17	B	1	PD08DEC17	6796.00	6872.83	USD	1,920.75	
08SEP17	B	1	PD08DEC17	6796.50	6872.83	USD	1,908.25	
08SEP17	B	1	PD08DEC17	6797.50	6872.83	USD	1,883.25	
08SEP17	B	2	PD08DEC17	6795.50	6872.83	USD	3,866.50	
08SEP17	B	3	PD08DEC17	6794.50	6872.83	USD	5,874.75	
08SEP17	B	1	PD08DEC17	6844.00	6872.83	USD	720.75	
08SEP17	B	2	PD08DEC17	6826.00	6872.83	USD	2,341.50	
08SEP17	B	1	PD08DEC17	6786.50	6872.83	USD	2,158.25	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
08SEP17	B	1	PD08DEC17	6787.50	6872.83	USD	2,133.25	
08SEP17	B	1	PD08DEC17	6789.00	6872.83	USD	2,095.75	
08SEP17	B	2	PD08DEC17	6805.50	6872.83	USD	3,366.50	
08SEP17	S	1	PD08DEC17	6708.00	6872.83	USD	-4,120.75	
08SEP17	S	1	PD08DEC17	6705.50	6872.83	USD	-4,183.25	
08SEP17	S	1	PD08DEC17	6702.00	6872.83	USD	-4,270.75	
08SEP17	S	2	PD08DEC17	6704.50	6872.83	USD	-8,416.50	
08SEP17	S	2	PD08DEC17	6705.00	6872.83	USD	-8,391.50	
08SEP17	B	3	PD08DEC17	6697.50	6872.83	USD	13,149.75	
08SEP17	B	5	PD08DEC17	6700.00	6872.83	USD	21,603.75	
08SEP17	B	1	PD08DEC17	6701.00	6872.83	USD	4,295.75	
08SEP17	B	1	PD08DEC17	6698.50	6872.83	USD	4,358.25	
08SEP17	B	1	PD08DEC17	6696.50	6872.83	USD	4,408.25	
08SEP17	S	1	PD08DEC17	6683.50	6872.83	USD	-4,733.25	
08SEP17	S	3	PD08DEC17	6683.00	6872.83	USD	-14,237.25	
08SEP17	S	1	PD08DEC17	6684.00	6872.83	USD	-4,720.75	
08SEP17	S	1	PD08DEC17	6682.00	6872.83	USD	-4,770.75	
08SEP17	S	1	PD08DEC17	6670.50	6872.83	USD	-5,058.25	
08SEP17	S	1	PD08DEC17	6675.50	6872.83	USD	-4,933.25	
08SEP17	S	2	PD08DEC17	6680.00	6872.83	USD	-9,641.50	
08SEP17	S	3	PD08DEC17	6682.50	6872.83	USD	-14,274.75	
08SEP17	S	2	PD08DEC17	6684.50	6872.83	USD	-9,416.50	
08SEP17	S	1	PD08DEC17	6685.50	6872.83	USD	-4,683.25	
08SEP17	S	1	PD08DEC17	6686.50	6872.83	USD	-4,658.25	
08SEP17	S	4	PD08DEC17	6685.00	6872.83	USD	-18,783.00	
08SEP17	S	3	PD08DEC17	6686.00	6872.83	USD	-14,012.25	
08SEP17	B	1	PD08DEC17	6670.00	6872.83	USD	5,070.75	
08SEP17	B	1	PD08DEC17	6669.50	6872.83	USD	5,083.25	
08SEP17	S	3	PD08DEC17	6675.00	6872.83	USD	-14,837.25	
08SEP17	B	1	PD08DEC17	6701.50	6872.83	USD	4,283.25	
08SEP17	S	1	PD08DEC17	6685.00	6872.83	USD	-4,695.75	
08SEP17	S	1	PD08DEC17	6673.00	6872.83	USD	-4,995.75	
21SEP17	S	8	PD08DEC17	6478.33	6872.83	USD	-78,900.00	
	NET	0AVG	BGHT 6803.57	SOLD 6748.44			-129,546.50	0.00
11SEP17	S	3	PD11DEC17	6705.00	6874.08	USD	-12,681.00	
11SEP17	B	3	PD11DEC17	6714.00	6874.08	USD	12,006.00	
11SEP17	B	1	PD11DEC17	6721.50	6874.08	USD	3,814.50	
11SEP17	B	1	PD11DEC17	6729.00	6874.08	USD	3,627.00	
11SEP17	B	1	PD11DEC17	6728.00	6874.08	USD	3,652.00	
11SEP17	S	1	PD11DEC17	6732.00	6874.08	USD	-3,552.00	
11SEP17	B	3	PD11DEC17	6734.50	6874.08	USD	10,468.50	
11SEP17	B	6	PD11DEC17	6734.00	6874.08	USD	21,012.00	
11SEP17	B	1	PD11DEC17	6736.00	6874.08	USD	3,452.00	
11SEP17	B	2	PD11DEC17	6728.50	6874.08	USD	7,279.00	
11SEP17	B	6	PD11DEC17	6723.00	6874.08	USD	22,662.00	
11SEP17	B	2	PD11DEC17	6722.00	6874.08	USD	7,604.00	
11SEP17	B	1	PD11DEC17	6724.50	6874.08	USD	3,739.50	
11SEP17	B	1	PD11DEC17	6727.50	6874.08	USD	3,664.50	
11SEP17	S	1	PD11DEC17	6752.00	6874.08	USD	-3,052.00	
11SEP17	S	2	PD11DEC17	6756.50	6874.08	USD	-5,879.00	
11SEP17	B	1	PD11DEC17	6758.00	6874.08	USD	2,902.00	
11SEP17	S	2	PD11DEC17	6751.50	6874.08	USD	-6,129.00	
11SEP17	S	1	PD11DEC17	6754.00	6874.08	USD	-3,002.00	
11SEP17	S	2	PD11DEC17	6753.00	6874.08	USD	-6,054.00	
11SEP17	S	2	PD11DEC17	6755.00	6874.08	USD	-5,954.00	
11SEP17	S	1	PD11DEC17	6756.00	6874.08	USD	-2,952.00	
11SEP17	S	1	PD11DEC17	6758.50	6874.08	USD	-2,889.50	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0097R

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
11SEP17	S	1	PD11DEC17	6762.00	6874.08	USD	-2,802.00	
11SEP17	S	2	PD11DEC17	6767.50	6874.08	USD	-5,329.00	
11SEP17	S	1	PD11DEC17	6768.50	6874.08	USD	-2,639.50	
11SEP17	S	2	PD11DEC17	6770.00	6874.08	USD	-5,204.00	
11SEP17	S	3	PD11DEC17	6769.50	6874.08	USD	-7,843.50	
11SEP17	S	1	PD11DEC17	6766.50	6874.08	USD	-2,689.50	
11SEP17	S	1	PD11DEC17	6761.00	6874.08	USD	-2,827.00	
11SEP17	B	2	PD11DEC17	6765.00	6874.08	USD	5,454.00	
11SEP17	B	1	PD11DEC17	6765.50	6874.08	USD	2,714.50	
11SEP17	B	1	PD11DEC17	6768.50	6874.08	USD	2,639.50	
11SEP17	B	2	PD11DEC17	6773.50	6874.08	USD	5,029.00	
11SEP17	S	1	PD11DEC17	6772.00	6874.08	USD	-2,552.00	
11SEP17	B	1	PD11DEC17	6767.50	6874.08	USD	2,664.50	
11SEP17	B	2	PD11DEC17	6768.00	6874.08	USD	5,304.00	
11SEP17	B	1	PD11DEC17	6764.50	6874.08	USD	2,739.50	
11SEP17	B	2	PD11DEC17	6764.00	6874.08	USD	5,504.00	
11SEP17	B	1	PD11DEC17	6763.50	6874.08	USD	2,764.50	
11SEP17	B	1	PD11DEC17	6760.50	6874.08	USD	2,839.50	
11SEP17	B	2	PD11DEC17	6763.00	6874.08	USD	5,554.00	
11SEP17	B	1	PD11DEC17	6762.00	6874.08	USD	2,802.00	
11SEP17	B	1	PD11DEC17	6759.50	6874.08	USD	2,864.50	
11SEP17	B	5	PD11DEC17	6760.00	6874.08	USD	14,260.00	
11SEP17	S	1	PD11DEC17	6760.50	6874.08	USD	-2,839.50	
11SEP17	B	6	PD11DEC17	6754.50	6874.08	USD	17,937.00	
11SEP17	S	1	PD11DEC17	6767.50	6874.08	USD	-2,664.50	
11SEP17	S	2	PD11DEC17	6768.00	6874.08	USD	-5,304.00	
11SEP17	S	3	PD11DEC17	6770.00	6874.08	USD	-7,806.00	
11SEP17	S	2	PD11DEC17	6769.00	6874.08	USD	-5,254.00	
11SEP17	S	1	PD11DEC17	6766.00	6874.08	USD	-2,702.00	
11SEP17	S	1	PD11DEC17	6767.00	6874.08	USD	-2,677.00	
11SEP17	B	2	PD11DEC17	6714.00	6874.08	USD	8,004.00	
11SEP17	B	1	PD11DEC17	6734.50	6874.08	USD	3,489.50	
11SEP17	B	1	PD11DEC17	6773.00	6874.08	USD	2,527.00	
11SEP17	B	1	PD11DEC17	6731.50	6874.08	USD	3,564.50	
11SEP17	B	1	PD11DEC17	6732.50	6874.08	USD	3,539.50	
11SEP17	B	3	PD11DEC17	6733.00	6874.08	USD	10,581.00	
11SEP17	S	1	PD11DEC17	6723.00	6874.08	USD	-3,777.00	
11SEP17	B	2	PD11DEC17	6730.00	6874.08	USD	7,204.00	
11SEP17	B	2	PD11DEC17	6731.00	6874.08	USD	7,154.00	
11SEP17	B	6	PD11DEC17	6730.50	6874.08	USD	21,537.00	
11SEP17	B	3	PD11DEC17	6729.50	6874.08	USD	10,843.50	
11SEP17	B	4	PD11DEC17	6732.00	6874.08	USD	14,208.00	
11SEP17	B	8	PD11DEC17	6735.00	6874.08	USD	27,816.00	
11SEP17	B	1	PD11DEC17	6728.50	6874.08	USD	3,639.50	
11SEP17	B	1	PD11DEC17	6748.00	6874.08	USD	3,152.00	
11SEP17	B	1	PD11DEC17	6747.00	6874.08	USD	3,177.00	
11SEP17	S	1	PD11DEC17	6748.00	6874.08	USD	-3,152.00	
11SEP17	S	1	PD11DEC17	6745.00	6874.08	USD	-3,227.00	
11SEP17	S	5	PD11DEC17	6744.00	6874.08	USD	-16,260.00	
11SEP17	S	3	PD11DEC17	6744.50	6874.08	USD	-9,718.50	
11SEP17	S	1	PD11DEC17	6746.50	6874.08	USD	-3,189.50	
11SEP17	S	6	PD11DEC17	6747.50	6874.08	USD	-18,987.00	
11SEP17	S	2	PD11DEC17	6748.50	6874.08	USD	-6,279.00	
11SEP17	S	2	PD11DEC17	6749.50	6874.08	USD	-6,229.00	
11SEP17	S	1	PD11DEC17	6736.00	6874.08	USD	-3,452.00	
11SEP17	S	1	PD11DEC17	6737.50	6874.08	USD	-3,414.50	
11SEP17	S	1	PD11DEC17	6738.50	6874.08	USD	-3,389.50	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
11SEP17	S	1	PD11DEC17	6741.50	6874.08	USD	-3,314.50	
11SEP17	S	2	PD11DEC17	6746.00	6874.08	USD	-6,404.00	
11SEP17	S	1	PD11DEC17	6747.00	6874.08	USD	-3,177.00	
13SEP17	S	15	PD11DEC17	6552.75	6874.08	USD	-120,498.75	
18SEP17	S	12	PD11DEC17	6523.66	6874.08	USD	-105,126.00	
	NET	0AVG BGHT 6740.44	SOLD 6691.82				-115,483.25	0.00
12SEP17	B	4	PD12DEC17	6724.00	6874.50	USD	15,050.00	
12SEP17	B	1	PD12DEC17	6722.50	6874.50	USD	3,800.00	
12SEP17	B	1	PD12DEC17	6720.50	6874.50	USD	3,850.00	
12SEP17	B	1	PD12DEC17	6717.00	6874.50	USD	3,937.50	
12SEP17	B	1	PD12DEC17	6715.50	6874.50	USD	3,975.00	
12SEP17	S	1	PD12DEC17	6716.00	6874.50	USD	-3,962.50	
12SEP17	B	2	PD12DEC17	6716.50	6874.50	USD	7,900.00	
12SEP17	B	2	PD12DEC17	6719.00	6874.50	USD	7,775.00	
12SEP17	S	1	PD12DEC17	6718.00	6874.50	USD	-3,912.50	
12SEP17	S	1	PD12DEC17	6717.00	6874.50	USD	-3,937.50	
12SEP17	B	1	PD12DEC17	6718.00	6874.50	USD	3,912.50	
12SEP17	B	5	PD12DEC17	6717.50	6874.50	USD	19,625.00	
12SEP17	B	1	PD12DEC17	6714.50	6874.50	USD	4,000.00	
12SEP17	B	4	PD12DEC17	6713.50	6874.50	USD	16,100.00	
12SEP17	B	1	PD12DEC17	6691.00	6874.50	USD	4,587.50	
12SEP17	B	1	PD12DEC17	6690.50	6874.50	USD	4,600.00	
12SEP17	B	2	PD12DEC17	6696.00	6874.50	USD	8,925.00	
12SEP17	B	2	PD12DEC17	6698.50	6874.50	USD	8,800.00	
12SEP17	B	1	PD12DEC17	6699.50	6874.50	USD	4,375.00	
12SEP17	B	1	PD12DEC17	6649.00	6874.50	USD	5,637.50	
12SEP17	B	1	PD12DEC17	6650.00	6874.50	USD	5,612.50	
12SEP17	S	1	PD12DEC17	6717.00	6874.50	USD	-3,937.50	
12SEP17	S	1	PD12DEC17	6718.00	6874.50	USD	-3,912.50	
12SEP17	B	5	PD12DEC17	6648.00	6874.50	USD	28,312.50	
12SEP17	B	2	PD12DEC17	6651.00	6874.50	USD	11,175.00	
12SEP17	B	1	PD12DEC17	6652.00	6874.50	USD	5,562.50	
12SEP17	B	1	PD12DEC17	6656.50	6874.50	USD	5,450.00	
12SEP17	B	2	PD12DEC17	6653.00	6874.50	USD	11,075.00	
12SEP17	B	2	PD12DEC17	6656.00	6874.50	USD	10,925.00	
12SEP17	S	1	PD12DEC17	6648.00	6874.50	USD	-5,662.50	
12SEP17	S	1	PD12DEC17	6647.00	6874.50	USD	-5,687.50	
12SEP17	S	2	PD12DEC17	6647.50	6874.50	USD	-11,350.00	
12SEP17	S	1	PD12DEC17	6642.00	6874.50	USD	-5,812.50	
12SEP17	S	3	PD12DEC17	6641.50	6874.50	USD	-17,475.00	
12SEP17	S	1	PD12DEC17	6640.00	6874.50	USD	-5,862.50	
12SEP17	S	2	PD12DEC17	6636.00	6874.50	USD	-11,925.00	
12SEP17	S	1	PD12DEC17	6636.50	6874.50	USD	-5,950.00	
12SEP17	S	1	PD12DEC17	6637.50	6874.50	USD	-5,925.00	
12SEP17	S	4	PD12DEC17	6639.50	6874.50	USD	-23,500.00	
12SEP17	S	1	PD12DEC17	6643.50	6874.50	USD	-5,775.00	
12SEP17	S	2	PD12DEC17	6646.50	6874.50	USD	-11,400.00	
12SEP17	S	2	PD12DEC17	6643.00	6874.50	USD	-11,575.00	
12SEP17	S	2	PD12DEC17	6642.50	6874.50	USD	-11,600.00	
12SEP17	S	3	PD12DEC17	6645.50	6874.50	USD	-17,175.00	
12SEP17	S	3	PD12DEC17	6644.50	6874.50	USD	-17,250.00	
12SEP17	S	2	PD12DEC17	6637.00	6874.50	USD	-11,875.00	
12SEP17	S	1	PD12DEC17	6635.50	6874.50	USD	-5,975.00	
12SEP17	S	1	PD12DEC17	6654.50	6874.50	USD	-5,500.00	
12SEP17	S	1	PD12DEC17	6656.00	6874.50	USD	-5,462.50	
12SEP17	S	1	PD12DEC17	6657.50	6874.50	USD	-5,425.00	
12SEP17	S	2	PD12DEC17	6653.00	6874.50	USD	-11,075.00	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
12SEP17	S	6	PD12DEC17	6652.00	6874.50	USD	-33,375.00	
12SEP17	S	2	PD12DEC17	6653.50	6874.50	USD	-11,050.00	
12SEP17	S	1	PD12DEC17	6661.50	6874.50	USD	-5,325.00	
12SEP17	S	4	PD12DEC17	6663.00	6874.50	USD	-21,150.00	
12SEP17	S	1	PD12DEC17	6665.50	6874.50	USD	-5,225.00	
12SEP17	S	1	PD12DEC17	6675.00	6874.50	USD	-4,987.50	
12SEP17	S	1	PD12DEC17	6676.00	6874.50	USD	-4,962.50	
12SEP17	S	1	PD12DEC17	6672.50	6874.50	USD	-5,050.00	
12SEP17	S	3	PD12DEC17	6675.50	6874.50	USD	-14,925.00	
12SEP17	S	6	PD12DEC17	6673.00	6874.50	USD	-30,225.00	
12SEP17	S	2	PD12DEC17	6672.00	6874.50	USD	-10,125.00	
12SEP17	S	1	PD12DEC17	6669.00	6874.50	USD	-5,137.50	
12SEP17	S	2	PD12DEC17	6671.00	6874.50	USD	-10,175.00	
12SEP17	S	3	PD12DEC17	6670.00	6874.50	USD	-15,337.50	
12SEP17	S	2	PD12DEC17	6667.50	6874.50	USD	-10,350.00	
12SEP17	S	1	PD12DEC17	6662.00	6874.50	USD	-5,312.50	
12SEP17	S	1	PD12DEC17	6660.00	6874.50	USD	-5,362.50	
12SEP17	S	2	PD12DEC17	6661.00	6874.50	USD	-10,675.00	
12SEP17	S	3	PD12DEC17	6658.00	6874.50	USD	-16,237.50	
12SEP17	S	2	PD12DEC17	6655.50	6874.50	USD	-10,950.00	
12SEP17	S	3	PD12DEC17	6655.00	6874.50	USD	-16,462.50	
12SEP17	S	1	PD12DEC17	6653.00	6874.50	USD	-5,537.50	
12SEP17	S	1	PD12DEC17	6667.50	6874.50	USD	-5,175.00	
12SEP17	S	1	PD12DEC17	6658.00	6874.50	USD	-5,412.50	
12SEP17	S	1	PD12DEC17	6657.50	6874.50	USD	-5,425.00	
12SEP17	B	1	PD12DEC17	6673.00	6874.50	USD	5,037.50	
12SEP17	B	6	PD12DEC17	6672.00	6874.50	USD	30,375.00	
12SEP17	B	1	PD12DEC17	6671.50	6874.50	USD	5,075.00	
12SEP17	B	3	PD12DEC17	6670.50	6874.50	USD	15,300.00	
12SEP17	B	1	PD12DEC17	6668.50	6874.50	USD	5,150.00	
12SEP17	B	1	PD12DEC17	6667.00	6874.50	USD	5,187.50	
12SEP17	B	1	PD12DEC17	6666.50	6874.50	USD	5,200.00	
12SEP17	B	1	PD12DEC17	6668.00	6874.50	USD	5,162.50	
12SEP17	B	3	PD12DEC17	6669.00	6874.50	USD	15,412.50	
12SEP17	B	4	PD12DEC17	6671.00	6874.50	USD	20,350.00	
12SEP17	B	1	PD12DEC17	6674.00	6874.50	USD	5,012.50	
12SEP17	B	3	PD12DEC17	6673.50	6874.50	USD	15,075.00	
12SEP17	B	6	PD12DEC17	6670.00	6874.50	USD	30,675.00	
12SEP17	B	3	PD12DEC17	6671.50	6874.50	USD	15,225.00	
13SEP17	B	15	PD12DEC17	6553.08	6874.50	USD	120,532.50	
	NET	0 AVG BGHT 6662.40	SOLD 6658.56				-9,117.50	0.00
13SEP17	S	1	PD13DEC17	6656.50	6874.92	USD	-5,460.50	
13SEP17	S	1	PD13DEC17	6655.50	6874.92	USD	-5,485.50	
13SEP17	B	2	PD13DEC17	6645.00	6874.92	USD	11,496.00	
13SEP17	B	1	PD13DEC17	6620.00	6874.92	USD	6,373.00	
13SEP17	B	1	PD13DEC17	6619.50	6874.92	USD	6,385.50	
13SEP17	B	1	PD13DEC17	6619.00	6874.92	USD	6,398.00	
13SEP17	B	1	PD13DEC17	6622.50	6874.92	USD	6,310.50	
13SEP17	B	1	PD13DEC17	6622.00	6874.92	USD	6,323.00	
13SEP17	B	1	PD13DEC17	6614.50	6874.92	USD	6,510.50	
13SEP17	B	5	PD13DEC17	6607.00	6874.92	USD	33,490.00	
13SEP17	B	3	PD13DEC17	6606.50	6874.92	USD	20,131.50	
13SEP17	B	2	PD13DEC17	6606.00	6874.92	USD	13,446.00	
13SEP17	B	2	PD13DEC17	6605.00	6874.92	USD	13,496.00	
13SEP17	S	1	PD13DEC17	6608.00	6874.92	USD	-6,673.00	
13SEP17	B	4	PD13DEC17	6609.00	6874.92	USD	26,592.00	
13SEP17	B	2	PD13DEC17	6611.00	6874.92	USD	13,196.00	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
13SEP17	B	1	PD13DEC17	6611.50	6874.92	USD	6,585.50	
13SEP17	B	1	PD13DEC17	6612.50	6874.92	USD	6,560.50	
13SEP17	B	1	PD13DEC17	6604.50	6874.92	USD	6,760.50	
13SEP17	B	1	PD13DEC17	6597.50	6874.92	USD	6,935.50	
13SEP17	B	1	PD13DEC17	6596.00	6874.92	USD	6,973.00	
13SEP17	B	1	PD13DEC17	6593.00	6874.92	USD	7,048.00	
13SEP17	B	2	PD13DEC17	6592.00	6874.92	USD	14,146.00	
13SEP17	S	1	PD13DEC17	6595.00	6874.92	USD	-6,998.00	
13SEP17	B	3	PD13DEC17	6589.50	6874.92	USD	21,406.50	
13SEP17	B	2	PD13DEC17	6590.50	6874.92	USD	14,221.00	
13SEP17	B	1	PD13DEC17	6562.50	6874.92	USD	7,810.50	
13SEP17	B	2	PD13DEC17	6561.50	6874.92	USD	15,671.00	
13SEP17	B	1	PD13DEC17	6559.00	6874.92	USD	7,898.00	
13SEP17	B	5	PD13DEC17	6559.50	6874.92	USD	39,427.50	
13SEP17	B	1	PD13DEC17	6576.50	6874.92	USD	7,460.50	
13SEP17	B	1	PD13DEC17	6575.50	6874.92	USD	7,485.50	
13SEP17	B	2	PD13DEC17	6573.50	6874.92	USD	15,071.00	
13SEP17	B	1	PD13DEC17	6571.00	6874.92	USD	7,598.00	
13SEP17	B	6	PD13DEC17	6570.00	6874.92	USD	45,738.00	
13SEP17	B	3	PD13DEC17	6569.00	6874.92	USD	22,944.00	
13SEP17	B	2	PD13DEC17	6567.50	6874.92	USD	15,371.00	
13SEP17	B	2	PD13DEC17	6568.50	6874.92	USD	15,321.00	
13SEP17	B	1	PD13DEC17	6567.00	6874.92	USD	7,698.00	
13SEP17	B	2	PD13DEC17	6564.00	6874.92	USD	15,546.00	
13SEP17	B	2	PD13DEC17	6563.00	6874.92	USD	15,596.00	
13SEP17	B	3	PD13DEC17	6565.00	6874.92	USD	23,244.00	
13SEP17	B	4	PD13DEC17	6565.50	6874.92	USD	30,942.00	
13SEP17	B	1	PD13DEC17	6558.50	6874.92	USD	7,910.50	
13SEP17	S	1	PD13DEC17	6546.50	6874.92	USD	-8,210.50	
13SEP17	S	4	PD13DEC17	6547.50	6874.92	USD	-32,742.00	
13SEP17	S	8	PD13DEC17	6547.00	6874.92	USD	-65,584.00	
13SEP17	S	2	PD13DEC17	6548.00	6874.92	USD	-16,346.00	
13SEP17	S	7	PD13DEC17	6546.00	6874.92	USD	-57,561.00	
13SEP17	S	2	PD13DEC17	6552.00	6874.92	USD	-16,146.00	
13SEP17	S	1	PD13DEC17	6552.50	6874.92	USD	-8,060.50	
13SEP17	S	2	PD13DEC17	6557.00	6874.92	USD	-15,896.00	
13SEP17	B	1	PD13DEC17	6558.00	6874.92	USD	7,923.00	
13SEP17	B	1	PD13DEC17	6560.00	6874.92	USD	7,873.00	
13SEP17	S	2	PD13DEC17	6560.00	6874.92	USD	-15,746.00	
13SEP17	S	1	PD13DEC17	6560.50	6874.92	USD	-7,860.50	
13SEP17	S	1	PD13DEC17	6564.00	6874.92	USD	-7,773.00	
13SEP17	S	1	PD13DEC17	6565.00	6874.92	USD	-7,748.00	
13SEP17	B	1	PD13DEC17	6554.50	6874.92	USD	8,010.50	
13SEP17	B	2	PD13DEC17	6554.00	6874.92	USD	16,046.00	
13SEP17	S	1	PD13DEC17	6555.00	6874.92	USD	-7,998.00	
13SEP17	S	4	PD13DEC17	6554.00	6874.92	USD	-32,092.00	
13SEP17	S	2	PD13DEC17	6554.50	6874.92	USD	-16,021.00	
13SEP17	S	1	PD13DEC17	6555.50	6874.92	USD	-7,985.50	
13SEP17	B	2	PD13DEC17	6553.50	6874.92	USD	16,071.00	
13SEP17	B	1	PD13DEC17	6555.50	6874.92	USD	7,985.50	
13SEP17	B	2	PD13DEC17	6557.00	6874.92	USD	15,896.00	
18SEP17	S	45	PD13DEC17	6524.62	6874.92	USD	-394,087.50	
	NET	0 AVG BGHT 6583.09	SOLD 6541.22				-93,152.50	0.00
14SEP17	S	1	PD14DEC17	6536.50	6875.33	USD	-8,470.75	
14SEP17	S	1	PD14DEC17	6535.00	6875.33	USD	-8,508.25	
14SEP17	S	1	PD14DEC17	6539.50	6875.33	USD	-8,395.75	
14SEP17	S	1	PD14DEC17	6539.00	6875.33	USD	-8,408.25	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0097R

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
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backoffice@sapiencap.com

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
14SEP17	S	5	PD14DEC17	6535.50	6875.33	USD	-42,478.75	
14SEP17	S	1	PD14DEC17	6533.50	6875.33	USD	-8,545.75	
14SEP17	B	1	PD14DEC17	6522.00	6875.33	USD	8,833.25	
14SEP17	B	1	PD14DEC17	6526.50	6875.33	USD	8,720.75	
14SEP17	S	1	PD14DEC17	6529.00	6875.33	USD	-8,658.25	
14SEP17	B	4	PD14DEC17	6523.00	6875.33	USD	35,233.00	
14SEP17	B	1	PD14DEC17	6525.00	6875.33	USD	8,758.25	
14SEP17	B	2	PD14DEC17	6524.50	6875.33	USD	17,541.50	
14SEP17	B	3	PD14DEC17	6525.50	6875.33	USD	26,237.25	
14SEP17	B	1	PD14DEC17	6510.50	6875.33	USD	9,120.75	
14SEP17	B	1	PD14DEC17	6511.50	6875.33	USD	9,095.75	
14SEP17	B	2	PD14DEC17	6512.50	6875.33	USD	18,141.50	
14SEP17	B	1	PD14DEC17	6513.00	6875.33	USD	9,058.25	
14SEP17	B	1	PD14DEC17	6513.50	6875.33	USD	9,045.75	
14SEP17	B	1	PD14DEC17	6511.00	6875.33	USD	9,108.25	
14SEP17	B	1	PD14DEC17	6510.00	6875.33	USD	9,133.25	
14SEP17	B	2	PD14DEC17	6514.50	6875.33	USD	18,041.50	
14SEP17	B	1	PD14DEC17	6497.00	6875.33	USD	9,458.25	
14SEP17	B	1	PD14DEC17	6489.50	6875.33	USD	9,645.75	
14SEP17	B	4	PD14DEC17	6488.50	6875.33	USD	38,683.00	
14SEP17	B	1	PD14DEC17	6494.00	6875.33	USD	9,533.25	
14SEP17	B	1	PD14DEC17	6491.00	6875.33	USD	9,608.25	
14SEP17	B	2	PD14DEC17	6487.50	6875.33	USD	19,391.50	
14SEP17	B	1	PD14DEC17	6482.50	6875.33	USD	9,820.75	
14SEP17	B	2	PD14DEC17	6481.50	6875.33	USD	19,691.50	
14SEP17	B	4	PD14DEC17	6476.50	6875.33	USD	39,883.00	
14SEP17	B	1	PD14DEC17	6476.00	6875.33	USD	9,983.25	
14SEP17	S	2	PD14DEC17	6474.50	6875.33	USD	-20,041.50	
14SEP17	B	1	PD14DEC17	6477.50	6875.33	USD	9,945.75	
14SEP17	B	1	PD14DEC17	6478.00	6875.33	USD	9,933.25	
14SEP17	S	1	PD14DEC17	6501.50	6875.33	USD	-9,345.75	
14SEP17	S	14	PD14DEC17	6500.50	6875.33	USD	-131,190.50	
14SEP17	S	2	PD14DEC17	6499.50	6875.33	USD	-18,791.50	
14SEP17	B	2	PD14DEC17	6495.50	6875.33	USD	18,991.50	
14SEP17	S	1	PD14DEC17	6496.50	6875.33	USD	-9,470.75	
14SEP17	B	2	PD14DEC17	6492.00	6875.33	USD	19,166.50	
14SEP17	S	1	PD14DEC17	6491.50	6875.33	USD	-9,595.75	
14SEP17	S	3	PD14DEC17	6494.50	6875.33	USD	-28,562.25	
14SEP17	S	1	PD14DEC17	6485.00	6875.33	USD	-9,758.25	
14SEP17	S	1	PD14DEC17	6486.50	6875.33	USD	-9,720.75	
14SEP17	S	3	PD14DEC17	6490.00	6875.33	USD	-28,899.75	
14SEP17	S	4	PD14DEC17	6489.50	6875.33	USD	-38,583.00	
14SEP17	S	3	PD14DEC17	6492.50	6875.33	USD	-28,712.25	
14SEP17	S	4	PD14DEC17	6493.50	6875.33	USD	-38,183.00	
14SEP17	S	2	PD14DEC17	6496.00	6875.33	USD	-18,966.50	
14SEP17	S	1	PD14DEC17	6497.00	6875.33	USD	-9,458.25	
14SEP17	S	1	PD14DEC17	6498.00	6875.33	USD	-9,433.25	
14SEP17	B	5	PD14DEC17	6496.50	6875.33	USD	47,353.75	
14SEP17	S	2	PD14DEC17	6498.50	6875.33	USD	-18,841.50	
14SEP17	S	1	PD14DEC17	6506.00	6875.33	USD	-9,233.25	
14SEP17	S	1	PD14DEC17	6507.00	6875.33	USD	-9,208.25	
14SEP17	S	1	PD14DEC17	6515.00	6875.33	USD	-9,008.25	
14SEP17	S	1	PD14DEC17	6515.50	6875.33	USD	-8,995.75	
14SEP17	B	1	PD14DEC17	6520.00	6875.33	USD	8,883.25	
14SEP17	S	1	PD14DEC17	6518.00	6875.33	USD	-8,933.25	
14SEP17	S	6	PD14DEC17	6517.50	6875.33	USD	-53,674.50	
14SEP17	S	1	PD14DEC17	6513.50	6875.33	USD	-9,045.75	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
14SEP17	S	1	PD14DEC17	6524.00	6875.33	USD	-8,783.25	
14SEP17	S	1	PD14DEC17	6525.00	6875.33	USD	-8,758.25	
14SEP17	S	1	PD14DEC17	6523.00	6875.33	USD	-8,808.25	
14SEP17	S	2	PD14DEC17	6525.50	6875.33	USD	-17,491.50	
14SEP17	S	1	PD14DEC17	6524.50	6875.33	USD	-8,770.75	
14SEP17	S	4	PD14DEC17	6523.50	6875.33	USD	-35,183.00	
14SEP17	S	1	PD14DEC17	6522.00	6875.33	USD	-8,833.25	
14SEP17	S	1	PD14DEC17	6513.00	6875.33	USD	-9,058.25	
14SEP17	S	2	PD14DEC17	6514.00	6875.33	USD	-18,066.50	
14SEP17	S	2	PD14DEC17	6516.00	6875.33	USD	-17,966.50	
14SEP17	S	1	PD14DEC17	6514.50	6875.33	USD	-9,020.75	
14SEP17	S	2	PD14DEC17	6510.50	6875.33	USD	-18,241.50	
14SEP17	S	4	PD14DEC17	6508.50	6875.33	USD	-36,683.00	
14SEP17	S	2	PD14DEC17	6508.00	6875.33	USD	-18,366.50	
14SEP17	S	4	PD14DEC17	6505.50	6875.33	USD	-36,983.00	
14SEP17	S	1	PD14DEC17	6504.50	6875.33	USD	-9,270.75	
14SEP17	S	1	PD14DEC17	6509.00	6875.33	USD	-9,158.25	
14SEP17	S	2	PD14DEC17	6509.50	6875.33	USD	-18,291.50	
14SEP17	B	1	PD14DEC17	6496.50	6875.33	USD	9,470.75	
14SEP17	S	1	PD14DEC17	6486.00	6875.33	USD	-9,733.25	
14SEP17	S	1	PD14DEC17	6509.50	6875.33	USD	-9,145.75	
14SEP17	S	5	PD14DEC17	6499.00	6875.33	USD	-47,041.25	
14SEP17	S	8	PD14DEC17	6501.00	6875.33	USD	-74,866.00	
14SEP17	S	4	PD14DEC17	6505.00	6875.33	USD	-37,033.00	
14SEP17	S	3	PD14DEC17	6504.00	6875.33	USD	-27,849.75	
14SEP17	S	3	PD14DEC17	6503.50	6875.33	USD	-27,887.25	
14SEP17	S	3	PD14DEC17	6502.50	6875.33	USD	-27,962.25	
14SEP17	S	3	PD14DEC17	6503.00	6875.33	USD	-27,924.75	
14SEP17	B	1	PD14DEC17	6499.50	6875.33	USD	9,395.75	
14SEP17	B	5	PD14DEC17	6498.00	6875.33	USD	47,166.25	
14SEP17	B	1	PD14DEC17	6502.50	6875.33	USD	9,320.75	
14SEP17	B	1	PD14DEC17	6501.50	6875.33	USD	9,345.75	
14SEP17	S	11	PD14DEC17	6500.00	6875.33	USD	-103,215.75	
14SEP17	B	4	PD14DEC17	6502.00	6875.33	USD	37,333.00	
14SEP17	S	1	PD14DEC17	6499.00	6875.33	USD	-9,408.25	
14SEP17	S	1	PD14DEC17	6503.00	6875.33	USD	-9,308.25	
14SEP17	B	1	PD14DEC17	6501.50	6875.33	USD	9,345.75	
18SEP17	B	57	PD14DEC17	6525.29	6875.33	USD	498,807.00	
19SEP17	B	5	PD14DEC17	6537.02	6875.33	USD	42,288.75	
20SEP17	B	18	PD14DEC17	6524.89	6875.33	USD	157,698.00	
	NET	0	AVG BGHT 6514.72	SOLD 6505.95			-32,016.25	0.00
15SEP17	S	1	PD15DEC17	6489.50	6875.75	USD	-9,656.25	
15SEP17	S	2	PD15DEC17	6494.50	6875.75	USD	-19,062.50	
15SEP17	S	1	PD15DEC17	6499.50	6875.75	USD	-9,406.25	
15SEP17	S	1	PD15DEC17	6498.00	6875.75	USD	-9,443.75	
15SEP17	S	1	PD15DEC17	6500.00	6875.75	USD	-9,393.75	
15SEP17	B	1	PD15DEC17	6517.50	6875.75	USD	8,956.25	
15SEP17	B	2	PD15DEC17	6516.50	6875.75	USD	17,962.50	
15SEP17	S	1	PD15DEC17	6513.50	6875.75	USD	-9,056.25	
15SEP17	S	2	PD15DEC17	6512.50	6875.75	USD	-18,162.50	
15SEP17	S	1	PD15DEC17	6511.00	6875.75	USD	-9,118.75	
15SEP17	S	2	PD15DEC17	6510.50	6875.75	USD	-18,262.50	
15SEP17	S	1	PD15DEC17	6503.00	6875.75	USD	-9,318.75	
15SEP17	S	1	PD15DEC17	6502.50	6875.75	USD	-9,331.25	
15SEP17	S	1	PD15DEC17	6504.00	6875.75	USD	-9,293.75	
15SEP17	S	1	PD15DEC17	6504.50	6875.75	USD	-9,281.25	
15SEP17	S	1	PD15DEC17	6505.00	6875.75	USD	-9,268.75	

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15SEP17	B	2	PD15DEC17	6497.00	6875.75	USD	18,937.50	
15SEP17	B	3	PD15DEC17	6496.00	6875.75	USD	28,481.25	
15SEP17	B	4	PD15DEC17	6495.00	6875.75	USD	38,075.00	
15SEP17	S	5	PD15DEC17	6512.00	6875.75	USD	-45,468.75	
15SEP17	B	1	PD15DEC17	6495.00	6875.75	USD	9,518.75	
15SEP17	B	1	PD15DEC17	6497.00	6875.75	USD	9,468.75	
15SEP17	S	1	PD15DEC17	6490.50	6875.75	USD	-9,631.25	
15SEP17	S	3	PD15DEC17	6492.00	6875.75	USD	-28,781.25	
15SEP17	S	1	PD15DEC17	6494.00	6875.75	USD	-9,543.75	
15SEP17	S	2	PD15DEC17	6493.00	6875.75	USD	-19,137.50	
15SEP17	S	5	PD15DEC17	6495.50	6875.75	USD	-47,531.25	
20SEP17	B	20	PD15DEC17	6525.20	6875.75	USD	175,275.00	
	NET	0	AVG BGHT 6514.96				-11,475.00	0.00
			SOLD 6501.46					
18SEP17	S	1	PD18DEC17	6548.50	6877.00	USD	-8,212.50	
18SEP17	S	1	PD18DEC17	6546.50	6877.00	USD	-8,262.50	
18SEP17	S	1	PD18DEC17	6559.50	6877.00	USD	-7,937.50	
18SEP17	S	1	PD18DEC17	6552.00	6877.00	USD	-8,125.00	
18SEP17	S	2	PD18DEC17	6552.50	6877.00	USD	-16,225.00	
18SEP17	S	1	PD18DEC17	6550.00	6877.00	USD	-8,175.00	
18SEP17	S	5	PD18DEC17	6555.00	6877.00	USD	-40,250.00	
18SEP17	S	1	PD18DEC17	6553.00	6877.00	USD	-8,100.00	
18SEP17	B	1	PD18DEC17	6537.50	6877.00	USD	8,487.50	
18SEP17	B	2	PD18DEC17	6536.50	6877.00	USD	17,025.00	
18SEP17	B	1	PD18DEC17	6527.50	6877.00	USD	8,737.50	
18SEP17	B	1	PD18DEC17	6526.50	6877.00	USD	8,762.50	
18SEP17	B	6	PD18DEC17	6530.00	6877.00	USD	52,050.00	
18SEP17	B	3	PD18DEC17	6529.00	6877.00	USD	26,100.00	
18SEP17	B	4	PD18DEC17	6536.00	6877.00	USD	34,100.00	
18SEP17	B	1	PD18DEC17	6535.00	6877.00	USD	8,550.00	
18SEP17	B	1	PD18DEC17	6538.50	6877.00	USD	8,462.50	
18SEP17	B	5	PD18DEC17	6535.50	6877.00	USD	42,687.50	
18SEP17	B	1	PD18DEC17	6541.00	6877.00	USD	8,400.00	
18SEP17	B	4	PD18DEC17	6538.00	6877.00	USD	33,900.00	
18SEP17	B	1	PD18DEC17	6537.00	6877.00	USD	8,500.00	
18SEP17	B	1	PD18DEC17	6540.00	6877.00	USD	8,425.00	
18SEP17	S	1	PD18DEC17	6547.00	6877.00	USD	-8,250.00	
18SEP17	S	3	PD18DEC17	6548.00	6877.00	USD	-24,675.00	
18SEP17	S	1	PD18DEC17	6549.50	6877.00	USD	-8,187.50	
18SEP17	S	4	PD18DEC17	6551.00	6877.00	USD	-32,600.00	
18SEP17	S	6	PD18DEC17	6554.00	6877.00	USD	-48,450.00	
18SEP17	S	1	PD18DEC17	6550.50	6877.00	USD	-8,162.50	
18SEP17	S	1	PD18DEC17	6547.50	6877.00	USD	-8,237.50	
18SEP17	S	1	PD18DEC17	6537.00	6877.00	USD	-8,500.00	
18SEP17	S	1	PD18DEC17	6537.50	6877.00	USD	-8,487.50	
18SEP17	S	3	PD18DEC17	6538.00	6877.00	USD	-25,425.00	
18SEP17	S	3	PD18DEC17	6540.00	6877.00	USD	-25,275.00	
18SEP17	S	3	PD18DEC17	6541.00	6877.00	USD	-25,200.00	
18SEP17	S	2	PD18DEC17	6538.50	6877.00	USD	-16,925.00	
18SEP17	S	1	PD18DEC17	6535.00	6877.00	USD	-8,550.00	
18SEP17	S	1	PD18DEC17	6539.00	6877.00	USD	-8,450.00	
18SEP17	S	2	PD18DEC17	6531.00	6877.00	USD	-17,300.00	
18SEP17	B	2	PD18DEC17	6533.50	6877.00	USD	17,175.00	
18SEP17	S	6	PD18DEC17	6530.50	6877.00	USD	-51,975.00	
18SEP17	S	2	PD18DEC17	6526.50	6877.00	USD	-17,525.00	
18SEP17	S	1	PD18DEC17	6527.50	6877.00	USD	-8,737.50	
18SEP17	S	10	PD18DEC17	6525.50	6877.00	USD	-87,875.00	
18SEP17	B	1	PD18DEC17	6519.00	6877.00	USD	8,950.00	

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
18SEP17	B	2	PD18DEC17	6517.50	6877.00	USD	17,975.00	
18SEP17	B	2	PD18DEC17	6516.50	6877.00	USD	18,025.00	
18SEP17	B	1	PD18DEC17	6529.50	6877.00	USD	8,687.50	
18SEP17	B	7	PD18DEC17	6528.50	6877.00	USD	60,987.50	
18SEP17	B	5	PD18DEC17	6528.00	6877.00	USD	43,625.00	
18SEP17	S	1	PD18DEC17	6528.00	6877.00	USD	-8,725.00	
18SEP17	B	2	PD18DEC17	6527.00	6877.00	USD	17,500.00	
18SEP17	B	3	PD18DEC17	6531.50	6877.00	USD	25,912.50	
18SEP17	B	5	PD18DEC17	6532.50	6877.00	USD	43,062.50	
18SEP17	B	2	PD18DEC17	6534.00	6877.00	USD	17,150.00	
18SEP17	B	4	PD18DEC17	6534.50	6877.00	USD	34,250.00	
18SEP17	B	4	PD18DEC17	6532.00	6877.00	USD	34,500.00	
19SEP17	S	5	PD18DEC17	6538.40	6877.00	USD	-42,325.00	
	NET	0 AVG	BGHT 6531.45				16,862.50	0.00
			SOLD 6540.82					
19SEP17	S	2	PD19DEC17	6530.00	6877.00	USD	-17,350.00	
19SEP17	S	3	PD19DEC17	6530.50	6877.00	USD	-25,987.50	
19SEP17	S	3	PD19DEC17	6531.00	6877.00	USD	-25,950.00	
19SEP17	S	3	PD19DEC17	6532.50	6877.00	USD	-25,837.50	
19SEP17	S	2	PD19DEC17	6535.50	6877.00	USD	-17,075.00	
19SEP17	S	5	PD19DEC17	6533.00	6877.00	USD	-43,000.00	
19SEP17	S	2	PD19DEC17	6534.50	6877.00	USD	-17,125.00	
19SEP17	S	3	PD19DEC17	6536.50	6877.00	USD	-25,537.50	
19SEP17	S	2	PD19DEC17	6538.50	6877.00	USD	-16,925.00	
19SEP17	S	5	PD19DEC17	6538.00	6877.00	USD	-42,375.00	
19SEP17	S	3	PD19DEC17	6539.50	6877.00	USD	-25,312.50	
19SEP17	S	6	PD19DEC17	6539.00	6877.00	USD	-50,700.00	
19SEP17	S	1	PD19DEC17	6542.50	6877.00	USD	-8,362.50	
19SEP17	S	1	PD19DEC17	6547.00	6877.00	USD	-8,250.00	
19SEP17	S	1	PD19DEC17	6548.00	6877.00	USD	-8,225.00	
19SEP17	B	1	PD19DEC17	6536.00	6877.00	USD	8,525.00	
19SEP17	B	1	PD19DEC17	6532.50	6877.00	USD	8,612.50	
19SEP17	S	3	PD19DEC17	6519.50	6877.00	USD	-26,812.50	
19SEP17	S	2	PD19DEC17	6519.00	6877.00	USD	-17,900.00	
19SEP17	B	4	PD19DEC17	6545.00	6877.00	USD	33,200.00	
19SEP17	B	6	PD19DEC17	6539.00	6877.00	USD	50,700.00	
19SEP17	B	4	PD19DEC17	6538.00	6877.00	USD	33,900.00	
19SEP17	B	1	PD19DEC17	6541.50	6877.00	USD	8,387.50	
19SEP17	B	2	PD19DEC17	6537.00	6877.00	USD	17,000.00	
19SEP17	B	1	PD19DEC17	6535.50	6877.00	USD	8,537.50	
19SEP17	B	3	PD19DEC17	6540.00	6877.00	USD	25,275.00	
19SEP17	B	2	PD19DEC17	6542.50	6877.00	USD	16,725.00	
19SEP17	B	2	PD19DEC17	6544.50	6877.00	USD	16,625.00	
19SEP17	B	1	PD19DEC17	6546.50	6877.00	USD	8,262.50	
19SEP17	B	1	PD19DEC17	6547.50	6877.00	USD	8,237.50	
19SEP17	B	3	PD19DEC17	6550.00	6877.00	USD	24,525.00	
19SEP17	B	3	PD19DEC17	6551.00	6877.00	USD	24,450.00	
19SEP17	B	5	PD19DEC17	6542.00	6877.00	USD	41,875.00	
19SEP17	B	5	PD19DEC17	6545.50	6877.00	USD	41,437.50	
19SEP17	B	5	PD19DEC17	6544.00	6877.00	USD	41,625.00	
19SEP17	B	1	PD19DEC17	6540.50	6877.00	USD	8,412.50	
19SEP17	S	1	PD19DEC17	6531.00	6877.00	USD	-8,650.00	
19SEP17	S	1	PD19DEC17	6533.50	6877.00	USD	-8,587.50	
19SEP17	B	1	PD19DEC17	6540.00	6877.00	USD	8,425.00	
19SEP17	B	2	PD19DEC17	6549.50	6877.00	USD	16,375.00	
19SEP17	B	2	PD19DEC17	6539.50	6877.00	USD	16,875.00	
19SEP17	B	2	PD19DEC17	6543.50	6877.00	USD	16,675.00	
19SEP17	B	3	PD19DEC17	6543.00	6877.00	USD	25,050.00	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0097R

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
19SEP17	B	2	PD19DEC17	6541.00	6877.00	USD	16,800.00	
19SEP17	B	1	PD19DEC17	6527.00	6877.00	USD	8,750.00	
19SEP17	B	1	PD19DEC17	6516.00	6877.00	USD	9,025.00	
19SEP17	B	5	PD19DEC17	6515.00	6877.00	USD	45,250.00	
19SEP17	B	3	PD19DEC17	6522.00	6877.00	USD	26,625.00	
19SEP17	B	2	PD19DEC17	6523.00	6877.00	USD	17,700.00	
19SEP17	B	1	PD19DEC17	6528.00	6877.00	USD	8,725.00	
19SEP17	B	2	PD19DEC17	6526.50	6877.00	USD	17,525.00	
19SEP17	B	1	PD19DEC17	6533.50	6877.00	USD	8,587.50	
19SEP17	B	1	PD19DEC17	6529.00	6877.00	USD	8,700.00	
19SEP17	B	7	PD19DEC17	6525.00	6877.00	USD	61,600.00	
19SEP17	B	2	PD19DEC17	6529.50	6877.00	USD	17,375.00	
19SEP17	B	1	PD19DEC17	6527.50	6877.00	USD	8,737.50	
19SEP17	B	6	PD19DEC17	6524.50	6877.00	USD	52,875.00	
19SEP17	B	1	PD19DEC17	6524.00	6877.00	USD	8,825.00	
19SEP17	B	1	PD19DEC17	6522.50	6877.00	USD	8,862.50	
19SEP17	B	3	PD19DEC17	6526.00	6877.00	USD	26,325.00	
19SEP17	B	5	PD19DEC17	6523.50	6877.00	USD	44,187.50	
19SEP17	B	1	PD19DEC17	6520.50	6877.00	USD	8,912.50	
19SEP17	B	1	PD19DEC17	6520.00	6877.00	USD	8,925.00	
19SEP17	B	2	PD19DEC17	6521.00	6877.00	USD	17,800.00	
19SEP17	B	4	PD19DEC17	6521.50	6877.00	USD	35,550.00	
19SEP17	B	1	PD19DEC17	6519.50	6877.00	USD	8,937.50	
19SEP17	B	3	PD19DEC17	6519.00	6877.00	USD	26,850.00	
19SEP17	B	1	PD19DEC17	6518.50	6877.00	USD	8,962.50	
19SEP17	B	1	PD19DEC17	6516.50	6877.00	USD	9,012.50	
19SEP17	B	1	PD19DEC17	6514.50	6877.00	USD	9,062.50	
19SEP17	B	11	PD19DEC17	6518.00	6877.00	USD	98,725.00	
19SEP17	B	1	PD19DEC17	6517.00	6877.00	USD	9,000.00	
19SEP17	B	1	PD19DEC17	6514.00	6877.00	USD	9,075.00	
19SEP17	B	1	PD19DEC17	6513.00	6877.00	USD	9,100.00	
19SEP17	B	6	PD19DEC17	6515.50	6877.00	USD	54,225.00	
19SEP17	B	1	PD19DEC17	6513.50	6877.00	USD	9,087.50	
19SEP17	B	1	PD19DEC17	6514.00	6877.00	USD	9,075.00	
19SEP17	B	1	PD19DEC17	6538.00	6877.00	USD	8,475.00	
21SEP17	S	5	PD19DEC17	6482.00	6877.00	USD	-49,375.00	
25SEP17	S	35	PD19DEC17	6448.75	6877.00	USD	-374,718.75	
03OCT17	S	35	PD19DEC17	6517.35	6877.00	USD	-314,693.75	
06OCT17	S	20	PD19DEC17	6662.00	6877.00	USD	-107,500.00	
	NET	0 AVG BGHT 6530.62	SOLD 6525.26				-19,287.50	0.00
20SEP17	S	1	PD20DEC17	6561.50	6877.00	USD	-7,887.50	
20SEP17	S	2	PD20DEC17	6563.00	6877.00	USD	-15,700.00	
20SEP17	S	1	PD20DEC17	6557.00	6877.00	USD	-8,000.00	
20SEP17	S	2	PD20DEC17	6555.50	6877.00	USD	-16,075.00	
20SEP17	S	1	PD20DEC17	6554.50	6877.00	USD	-8,062.50	
20SEP17	S	1	PD20DEC17	6556.00	6877.00	USD	-8,025.00	
20SEP17	S	1	PD20DEC17	6560.50	6877.00	USD	-7,912.50	
20SEP17	S	2	PD20DEC17	6559.50	6877.00	USD	-15,875.00	
20SEP17	S	15	PD20DEC17	6561.00	6877.00	USD	-118,500.00	
20SEP17	S	2	PD20DEC17	6562.50	6877.00	USD	-15,725.00	
20SEP17	S	6	PD20DEC17	6562.00	6877.00	USD	-47,250.00	
20SEP17	S	4	PD20DEC17	6563.50	6877.00	USD	-31,350.00	
20SEP17	S	1	PD20DEC17	6561.00	6877.00	USD	-7,900.00	
20SEP17	S	1	PD20DEC17	6562.50	6877.00	USD	-7,862.50	
20SEP17	B	1	PD20DEC17	6549.00	6877.00	USD	8,200.00	
20SEP17	B	2	PD20DEC17	6552.00	6877.00	USD	16,250.00	
20SEP17	B	1	PD20DEC17	6551.00	6877.00	USD	8,150.00	

OPEN POSITION STATEMENT



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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
20SEP17	S	4	PD20DEC17	6547.00	6877.00	USD	-33,000.00	
20SEP17	S	2	PD20DEC17	6548.00	6877.00	USD	-16,450.00	
20SEP17	S	1	PD20DEC17	6546.00	6877.00	USD	-8,275.00	
20SEP17	S	1	PD20DEC17	6550.50	6877.00	USD	-8,162.50	
20SEP17	B	1	PD20DEC17	6545.00	6877.00	USD	8,300.00	
20SEP17	B	1	PD20DEC17	6543.50	6877.00	USD	8,337.50	
20SEP17	B	1	PD20DEC17	6542.00	6877.00	USD	8,375.00	
20SEP17	B	1	PD20DEC17	6544.00	6877.00	USD	8,325.00	
20SEP17	S	2	PD20DEC17	6527.50	6877.00	USD	-17,475.00	
20SEP17	S	1	PD20DEC17	6528.50	6877.00	USD	-8,712.50	
20SEP17	S	2	PD20DEC17	6527.00	6877.00	USD	-17,500.00	
20SEP17	B	1	PD20DEC17	6520.50	6877.00	USD	8,912.50	
20SEP17	B	1	PD20DEC17	6517.50	6877.00	USD	8,987.50	
20SEP17	B	1	PD20DEC17	6519.50	6877.00	USD	8,937.50	
20SEP17	B	1	PD20DEC17	6518.50	6877.00	USD	8,962.50	
20SEP17	B	1	PD20DEC17	6523.50	6877.00	USD	8,837.50	
20SEP17	B	1	PD20DEC17	6532.50	6877.00	USD	8,612.50	
20SEP17	B	1	PD20DEC17	6532.00	6877.00	USD	8,625.00	
20SEP17	B	1	PD20DEC17	6527.00	6877.00	USD	8,750.00	
20SEP17	B	1	PD20DEC17	6524.50	6877.00	USD	8,812.50	
20SEP17	B	1	PD20DEC17	6520.00	6877.00	USD	8,925.00	
20SEP17	B	2	PD20DEC17	6529.00	6877.00	USD	17,400.00	
20SEP17	B	2	PD20DEC17	6534.00	6877.00	USD	17,150.00	
20SEP17	B	1	PD20DEC17	6538.00	6877.00	USD	8,475.00	
21SEP17	B	30	PD20DEC17	6482.20	6877.00	USD	296,100.00	
	NET	0AVG BGHT 6504.60	SOLD 6555.72				67,725.00	0.00
21SEP17	S	7	PD21DEC17	6455.50	6876.00	USD	-73,587.50	
21SEP17	S	3	PD21DEC17	6454.50	6876.00	USD	-31,612.50	
21SEP17	S	3	PD21DEC17	6456.50	6876.00	USD	-31,462.50	
21SEP17	S	2	PD21DEC17	6457.00	6876.00	USD	-20,950.00	
21SEP17	S	1	PD21DEC17	6459.00	6876.00	USD	-10,425.00	
21SEP17	S	3	PD21DEC17	6458.00	6876.00	USD	-31,350.00	
21SEP17	S	1	PD21DEC17	6459.50	6876.00	USD	-10,412.50	
21SEP17	S	3	PD21DEC17	6460.00	6876.00	USD	-31,200.00	
21SEP17	S	1	PD21DEC17	6445.00	6876.00	USD	-10,775.00	
21SEP17	S	1	PD21DEC17	6439.50	6876.00	USD	-10,912.50	
21SEP17	S	1	PD21DEC17	6440.00	6876.00	USD	-10,900.00	
21SEP17	S	3	PD21DEC17	6441.50	6876.00	USD	-32,587.50	
21SEP17	S	1	PD21DEC17	6437.50	6876.00	USD	-10,962.50	
21SEP17	S	1	PD21DEC17	6442.00	6876.00	USD	-10,850.00	
21SEP17	S	2	PD21DEC17	6450.00	6876.00	USD	-21,300.00	
21SEP17	S	3	PD21DEC17	6448.50	6876.00	USD	-32,062.50	
21SEP17	S	3	PD21DEC17	6445.50	6876.00	USD	-32,287.50	
21SEP17	S	2	PD21DEC17	6440.50	6876.00	USD	-21,775.00	
21SEP17	S	1	PD21DEC17	6439.00	6876.00	USD	-10,925.00	
21SEP17	S	1	PD21DEC17	6443.50	6876.00	USD	-10,812.50	
21SEP17	S	2	PD21DEC17	6443.00	6876.00	USD	-21,650.00	
21SEP17	S	3	PD21DEC17	6456.00	6876.00	USD	-31,500.00	
21SEP17	S	1	PD21DEC17	6462.50	6876.00	USD	-10,337.50	
21SEP17	B	1	PD21DEC17	6457.50	6876.00	USD	10,462.50	
21SEP17	B	3	PD21DEC17	6461.50	6876.00	USD	31,087.50	
21SEP17	B	3	PD21DEC17	6446.00	6876.00	USD	32,250.00	
21SEP17	B	1	PD21DEC17	6445.50	6876.00	USD	10,762.50	
21SEP17	B	1	PD21DEC17	6461.50	6876.00	USD	10,362.50	
21SEP17	B	1	PD21DEC17	6446.50	6876.00	USD	10,737.50	
21SEP17	B	1	PD21DEC17	6443.00	6876.00	USD	10,825.00	
21SEP17	B	1	PD21DEC17	6440.00	6876.00	USD	10,900.00	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
21SEP17	B	3	PD21DEC17	6441.00	6876.00	USD	32,625.00	
21SEP17	B	4	PD21DEC17	6449.50	6876.00	USD	42,650.00	
21SEP17	B	1	PD21DEC17	6448.50	6876.00	USD	10,687.50	
21SEP17	B	1	PD21DEC17	6472.50	6876.00	USD	10,087.50	
21SEP17	B	1	PD21DEC17	6468.00	6876.00	USD	10,200.00	
21SEP17	B	1	PD21DEC17	6479.50	6876.00	USD	9,912.50	
21SEP17	B	1	PD21DEC17	6480.00	6876.00	USD	9,900.00	
21SEP17	B	1	PD21DEC17	6481.50	6876.00	USD	9,862.50	
21SEP17	B	1	PD21DEC17	6484.00	6876.00	USD	9,800.00	
21SEP17	B	2	PD21DEC17	6485.50	6876.00	USD	19,525.00	
21SEP17	B	1	PD21DEC17	6484.50	6876.00	USD	9,787.50	
21SEP17	B	4	PD21DEC17	6481.00	6876.00	USD	39,500.00	
21SEP17	B	3	PD21DEC17	6482.00	6876.00	USD	29,550.00	
21SEP17	B	1	PD21DEC17	6478.50	6876.00	USD	9,937.50	
21SEP17	B	2	PD21DEC17	6477.50	6876.00	USD	19,925.00	
21SEP17	B	2	PD21DEC17	6476.50	6876.00	USD	19,975.00	
21SEP17	B	1	PD21DEC17	6478.00	6876.00	USD	9,950.00	
21SEP17	B	3	PD21DEC17	6476.00	6876.00	USD	30,000.00	
21SEP17	B	1	PD21DEC17	6473.00	6876.00	USD	10,075.00	
21SEP17	B	2	PD21DEC17	6475.00	6876.00	USD	20,050.00	
21SEP17	B	6	PD21DEC17	6474.00	6876.00	USD	60,300.00	
21SEP17	B	2	PD21DEC17	6471.00	6876.00	USD	20,250.00	
21SEP17	B	1	PD21DEC17	6471.50	6876.00	USD	10,112.50	
21SEP17	B	4	PD21DEC17	6469.00	6876.00	USD	40,700.00	
21SEP17	B	4	PD21DEC17	6469.50	6876.00	USD	40,650.00	
21SEP17	B	7	PD21DEC17	6470.00	6876.00	USD	71,050.00	
21SEP17	S	2	PD21DEC17	6438.00	6876.00	USD	-21,900.00	
21SEP17	B	1	PD21DEC17	6447.00	6876.00	USD	10,725.00	
21SEP17	B	2	PD21DEC17	6482.50	6876.00	USD	19,675.00	
21SEP17	B	1	PD21DEC17	6468.50	6876.00	USD	10,187.50	
06OCT17	S	25	PD21DEC17	6661.50	6876.00	USD	-134,062.50	
	NET	0 AVG BGHT 6468.09	SOLD 6519.89				98,437.50	0.00
22SEP17	B	1	PD22DEC17	6392.50	6875.00	USD	12,062.50	
22SEP17	S	2	PD22DEC17	6383.50	6875.00	USD	-24,575.00	
22SEP17	S	2	PD22DEC17	6385.50	6875.00	USD	-24,475.00	
22SEP17	S	2	PD22DEC17	6385.00	6875.00	USD	-24,500.00	
22SEP17	S	4	PD22DEC17	6386.00	6875.00	USD	-48,900.00	
22SEP17	S	1	PD22DEC17	6396.00	6875.00	USD	-11,975.00	
22SEP17	S	3	PD22DEC17	6393.00	6875.00	USD	-36,150.00	
22SEP17	S	1	PD22DEC17	6394.00	6875.00	USD	-12,025.00	
22SEP17	S	1	PD22DEC17	6394.50	6875.00	USD	-12,012.50	
22SEP17	S	1	PD22DEC17	6392.00	6875.00	USD	-12,075.00	
22SEP17	S	2	PD22DEC17	6391.00	6875.00	USD	-24,200.00	
22SEP17	S	3	PD22DEC17	6395.00	6875.00	USD	-36,000.00	
22SEP17	S	3	PD22DEC17	6393.50	6875.00	USD	-36,112.50	
22SEP17	S	1	PD22DEC17	6400.00	6875.00	USD	-11,875.00	
22SEP17	S	2	PD22DEC17	6401.50	6875.00	USD	-23,675.00	
22SEP17	B	1	PD22DEC17	6406.00	6875.00	USD	11,725.00	
22SEP17	S	1	PD22DEC17	6408.50	6875.00	USD	-11,662.50	
22SEP17	B	1	PD22DEC17	6409.00	6875.00	USD	11,650.00	
22SEP17	B	1	PD22DEC17	6408.00	6875.00	USD	11,675.00	
22SEP17	S	1	PD22DEC17	6421.00	6875.00	USD	-11,350.00	
22SEP17	S	1	PD22DEC17	6421.50	6875.00	USD	-11,337.50	
22SEP17	S	2	PD22DEC17	6427.00	6875.00	USD	-22,400.00	
22SEP17	S	4	PD22DEC17	6429.00	6875.00	USD	-44,600.00	
22SEP17	S	2	PD22DEC17	6430.00	6875.00	USD	-22,250.00	
22SEP17	S	3	PD22DEC17	6434.50	6875.00	USD	-33,037.50	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0097R

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
22SEP17	S	1	PD22DEC17	6435.00	6875.00	USD	-11,000.00	
22SEP17	S	1	PD22DEC17	6435.50	6875.00	USD	-10,987.50	
22SEP17	S	1	PD22DEC17	6433.50	6875.00	USD	-11,037.50	
22SEP17	S	2	PD22DEC17	6429.50	6875.00	USD	-22,275.00	
22SEP17	S	3	PD22DEC17	6430.50	6875.00	USD	-33,337.50	
22SEP17	S	3	PD22DEC17	6432.00	6875.00	USD	-33,225.00	
22SEP17	S	3	PD22DEC17	6428.50	6875.00	USD	-33,487.50	
22SEP17	S	3	PD22DEC17	6431.50	6875.00	USD	-33,262.50	
22SEP17	S	1	PD22DEC17	6426.00	6875.00	USD	-11,225.00	
22SEP17	S	1	PD22DEC17	6426.50	6875.00	USD	-11,212.50	
22SEP17	S	1	PD22DEC17	6439.50	6875.00	USD	-10,887.50	
22SEP17	S	1	PD22DEC17	6449.50	6875.00	USD	-10,637.50	
22SEP17	S	1	PD22DEC17	6455.00	6875.00	USD	-10,500.00	
22SEP17	B	1	PD22DEC17	6459.50	6875.00	USD	10,387.50	
22SEP17	B	1	PD22DEC17	6447.00	6875.00	USD	10,700.00	
22SEP17	S	1	PD22DEC17	6391.00	6875.00	USD	-12,100.00	
22SEP17	S	1	PD22DEC17	6402.50	6875.00	USD	-11,812.50	
22SEP17	S	1	PD22DEC17	6421.00	6875.00	USD	-11,350.00	
22SEP17	B	3	PD22DEC17	6443.00	6875.00	USD	32,400.00	
22SEP17	B	2	PD22DEC17	6441.00	6875.00	USD	21,700.00	
22SEP17	B	1	PD22DEC17	6443.50	6875.00	USD	10,787.50	
22SEP17	B	1	PD22DEC17	6442.50	6875.00	USD	10,812.50	
22SEP17	B	5	PD22DEC17	6441.50	6875.00	USD	54,187.50	
22SEP17	B	1	PD22DEC17	6442.00	6875.00	USD	10,825.00	
22SEP17	B	2	PD22DEC17	6447.50	6875.00	USD	21,375.00	
22SEP17	B	1	PD22DEC17	6451.50	6875.00	USD	10,587.50	
22SEP17	B	1	PD22DEC17	6449.50	6875.00	USD	10,637.50	
22SEP17	S	1	PD22DEC17	6458.00	6875.00	USD	-10,425.00	
22SEP17	S	1	PD22DEC17	6464.50	6875.00	USD	-10,262.50	
22SEP17	S	1	PD22DEC17	6463.50	6875.00	USD	-10,287.50	
22SEP17	S	9	PD22DEC17	6463.00	6875.00	USD	-92,700.00	
22SEP17	S	2	PD22DEC17	6462.00	6875.00	USD	-20,650.00	
22SEP17	S	4	PD22DEC17	6462.50	6875.00	USD	-41,250.00	
22SEP17	B	3	PD22DEC17	6456.00	6875.00	USD	31,425.00	
22SEP17	B	1	PD22DEC17	6454.50	6875.00	USD	10,512.50	
22SEP17	B	6	PD22DEC17	6454.00	6875.00	USD	63,150.00	
22SEP17	B	1	PD22DEC17	6453.50	6875.00	USD	10,537.50	
22SEP17	B	1	PD22DEC17	6457.50	6875.00	USD	10,437.50	
22SEP17	B	1	PD22DEC17	6456.50	6875.00	USD	10,462.50	
22SEP17	B	2	PD22DEC17	6458.00	6875.00	USD	20,850.00	
22SEP17	B	3	PD22DEC17	6455.00	6875.00	USD	31,500.00	
22SEP17	S	1	PD22DEC17	6457.00	6875.00	USD	-10,450.00	
22SEP17	S	7	PD22DEC17	6454.00	6875.00	USD	-73,675.00	
22SEP17	S	5	PD22DEC17	6454.50	6875.00	USD	-52,562.50	
22SEP17	S	2	PD22DEC17	6455.50	6875.00	USD	-20,975.00	
22SEP17	S	2	PD22DEC17	6456.00	6875.00	USD	-20,950.00	
22SEP17	S	1	PD22DEC17	6471.50	6875.00	USD	-10,087.50	
22SEP17	S	4	PD22DEC17	6470.00	6875.00	USD	-40,500.00	
22SEP17	S	1	PD22DEC17	6467.50	6875.00	USD	-10,187.50	
22SEP17	S	1	PD22DEC17	6469.00	6875.00	USD	-10,150.00	
22SEP17	S	2	PD22DEC17	6465.00	6875.00	USD	-20,500.00	
22SEP17	S	1	PD22DEC17	6461.00	6875.00	USD	-10,350.00	
22SEP17	S	4	PD22DEC17	6460.50	6875.00	USD	-41,450.00	
22SEP17	B	1	PD22DEC17	6462.00	6875.00	USD	10,325.00	
22SEP17	B	1	PD22DEC17	6470.00	6875.00	USD	10,125.00	
22SEP17	B	1	PD22DEC17	6462.50	6875.00	USD	10,312.50	
22SEP17	B	6	PD22DEC17	6464.50	6875.00	USD	61,575.00	

OPEN POSITION STATEMENT



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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>								
22SEP17	B	1	PD22DEC17		6463.00	6875.00	USD	10,300.00	
22SEP17	B	2	PD22DEC17		6461.00	6875.00	USD	20,700.00	
22SEP17	B	1	PD22DEC17		6461.50	6875.00	USD	10,337.50	
22SEP17	B	2	PD22DEC17		6468.50	6875.00	USD	20,325.00	
22SEP17	B	2	PD22DEC17		6467.00	6875.00	USD	20,400.00	
22SEP17	B	1	PD22DEC17		6466.50	6875.00	USD	10,212.50	
22SEP17	B	4	PD22DEC17		6466.00	6875.00	USD	40,900.00	
22SEP17	B	3	PD22DEC17		6465.00	6875.00	USD	30,750.00	
22SEP17	B	12	PD22DEC17		6464.00	6875.00	USD	123,300.00	
22SEP17	B	1	PD22DEC17		6463.50	6875.00	USD	10,287.50	
22SEP17	B	3	PD22DEC17		6468.00	6875.00	USD	30,525.00	
22SEP17	S	1	PD22DEC17		6464.00	6875.00	USD	-10,275.00	
25SEP17	B	35	PD22DEC17		6447.49	6875.00	USD	374,071.25	
	NET		0 AVG BGHT 6452.83	SOLD 6433.56				-56,378.75	0.00
25SEP17	B	1	PD27DEC17		6476.00	6876.67	USD	10,016.75	
25SEP17	B	3	PD27DEC17		6472.00	6876.67	USD	30,350.25	
25SEP17	B	1	PD27DEC17		6471.00	6876.67	USD	10,141.75	
25SEP17	S	6	PD27DEC17		6463.50	6876.67	USD	-61,975.50	
25SEP17	S	4	PD27DEC17		6465.50	6876.67	USD	-41,117.00	
25SEP17	B	1	PD27DEC17		6466.50	6876.67	USD	10,254.25	
25SEP17	S	3	PD27DEC17		6463.00	6876.67	USD	-31,025.25	
25SEP17	S	7	PD27DEC17		6465.00	6876.67	USD	-72,042.25	
25SEP17	S	2	PD27DEC17		6466.50	6876.67	USD	-20,508.50	
25SEP17	S	4	PD27DEC17		6466.00	6876.67	USD	-41,067.00	
25SEP17	S	8	PD27DEC17		6464.00	6876.67	USD	-82,534.00	
25SEP17	S	5	PD27DEC17		6464.50	6876.67	USD	-51,521.25	
25SEP17	S	2	PD27DEC17		6439.50	6876.67	USD	-21,858.50	
25SEP17	S	1	PD27DEC17		6438.50	6876.67	USD	-10,954.25	
25SEP17	S	1	PD27DEC17		6436.50	6876.67	USD	-11,004.25	
25SEP17	S	1	PD27DEC17		6446.00	6876.67	USD	-10,766.75	
25SEP17	S	1	PD27DEC17		6458.50	6876.67	USD	-10,454.25	
25SEP17	S	2	PD27DEC17		6455.00	6876.67	USD	-21,083.50	
25SEP17	S	3	PD27DEC17		6454.00	6876.67	USD	-31,700.25	
25SEP17	S	4	PD27DEC17		6462.00	6876.67	USD	-41,467.00	
25SEP17	S	2	PD27DEC17		6461.50	6876.67	USD	-20,758.50	
25SEP17	S	4	PD27DEC17		6460.50	6876.67	USD	-41,617.00	
25SEP17	S	1	PD27DEC17		6461.00	6876.67	USD	-10,391.75	
25SEP17	S	1	PD27DEC17		6460.00	6876.67	USD	-10,416.75	
25SEP17	S	2	PD27DEC17		6462.50	6876.67	USD	-20,708.50	
25SEP17	S	1	PD27DEC17		6459.50	6876.67	USD	-10,429.25	
25SEP17	S	2	PD27DEC17		6456.50	6876.67	USD	-21,008.50	
25SEP17	S	2	PD27DEC17		6457.50	6876.67	USD	-20,958.50	
25SEP17	S	3	PD27DEC17		6455.50	6876.67	USD	-31,587.75	
25SEP17	S	6	PD27DEC17		6453.50	6876.67	USD	-63,475.50	
25SEP17	S	4	PD27DEC17		6454.50	6876.67	USD	-42,217.00	
25SEP17	S	4	PD27DEC17		6453.00	6876.67	USD	-42,367.00	
25SEP17	S	6	PD27DEC17		6452.00	6876.67	USD	-63,700.50	
25SEP17	S	8	PD27DEC17		6451.00	6876.67	USD	-85,134.00	
25SEP17	S	3	PD27DEC17		6452.50	6876.67	USD	-31,812.75	
25SEP17	S	1	PD27DEC17		6449.00	6876.67	USD	-10,691.75	
25SEP17	S	1	PD27DEC17		6453.00	6876.67	USD	-10,591.75	
25SEP17	S	1	PD27DEC17		6446.50	6876.67	USD	-10,754.25	
26SEP17	B	2	PD27DEC17		6509.50	6876.67	USD	18,358.50	
26SEP17	B	1	PD27DEC17		6511.50	6876.67	USD	9,129.25	
26SEP17	B	2	PD27DEC17		6513.00	6876.67	USD	18,183.50	
26SEP17	B	1	PD27DEC17		6511.00	6876.67	USD	9,141.75	
26SEP17	B	1	PD27DEC17		6509.00	6876.67	USD	9,191.75	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
26SEP17	B	1	PD27DEC17	6505.50	6876.67	USD	9,279.25	
26SEP17	B	1	PD27DEC17	6504.50	6876.67	USD	9,304.25	
26SEP17	B	2	PD27DEC17	6502.50	6876.67	USD	18,708.50	
26SEP17	S	1	PD27DEC17	6515.00	6876.67	USD	-9,041.75	
26SEP17	B	1	PD27DEC17	6517.00	6876.67	USD	8,991.75	
26SEP17	B	1	PD27DEC17	6517.50	6876.67	USD	8,979.25	
26SEP17	B	1	PD27DEC17	6510.50	6876.67	USD	9,154.25	
26SEP17	B	4	PD27DEC17	6508.00	6876.67	USD	36,867.00	
26SEP17	B	1	PD27DEC17	6500.50	6876.67	USD	9,404.25	
26SEP17	B	1	PD27DEC17	6498.00	6876.67	USD	9,466.75	
26SEP17	B	1	PD27DEC17	6497.00	6876.67	USD	9,491.75	
26SEP17	B	1	PD27DEC17	6496.50	6876.67	USD	9,504.25	
26SEP17	B	2	PD27DEC17	6499.50	6876.67	USD	18,858.50	
26SEP17	B	2	PD27DEC17	6498.50	6876.67	USD	18,908.50	
26SEP17	B	2	PD27DEC17	6503.50	6876.67	USD	18,658.50	
26SEP17	B	3	PD27DEC17	6501.50	6876.67	USD	28,137.75	
26SEP17	B	3	PD27DEC17	6503.00	6876.67	USD	28,025.25	
26SEP17	B	3	PD27DEC17	6506.50	6876.67	USD	27,762.75	
26SEP17	B	1	PD27DEC17	6504.00	6876.67	USD	9,316.75	
26SEP17	B	2	PD27DEC17	6505.00	6876.67	USD	18,583.50	
26SEP17	B	7	PD27DEC17	6506.00	6876.67	USD	64,867.25	
26SEP17	B	3	PD27DEC17	6507.00	6876.67	USD	27,725.25	
26SEP17	B	3	PD27DEC17	6507.50	6876.67	USD	27,687.75	
26SEP17	S	1	PD27DEC17	6508.50	6876.67	USD	-9,204.25	
26SEP17	S	1	PD27DEC17	6507.50	6876.67	USD	-9,229.25	
26SEP17	S	2	PD27DEC17	6510.50	6876.67	USD	-18,308.50	
26SEP17	S	1	PD27DEC17	6514.50	6876.67	USD	-9,054.25	
26SEP17	B	6	PD27DEC17	6512.00	6876.67	USD	54,700.50	
26SEP17	S	1	PD27DEC17	6504.50	6876.67	USD	-9,304.25	
26SEP17	S	3	PD27DEC17	6505.00	6876.67	USD	-27,875.25	
26SEP17	S	4	PD27DEC17	6505.50	6876.67	USD	-37,117.00	
26SEP17	S	1	PD27DEC17	6496.00	6876.67	USD	-9,516.75	
26SEP17	B	1	PD27DEC17	6492.50	6876.67	USD	9,604.25	
26SEP17	B	1	PD27DEC17	6495.00	6876.67	USD	9,541.75	
26SEP17	B	1	PD27DEC17	6494.00	6876.67	USD	9,566.75	
26SEP17	B	4	PD27DEC17	6491.50	6876.67	USD	38,517.00	
26SEP17	B	2	PD27DEC17	6491.00	6876.67	USD	19,283.50	
26SEP17	B	3	PD27DEC17	6490.50	6876.67	USD	28,962.75	
26SEP17	B	4	PD27DEC17	6489.00	6876.67	USD	38,767.00	
26SEP17	B	2	PD27DEC17	6487.00	6876.67	USD	19,483.50	
26SEP17	B	2	PD27DEC17	6497.50	6876.67	USD	18,958.50	
26SEP17	S	1	PD27DEC17	6466.00	6876.67	USD	-10,266.75	
26SEP17	S	2	PD27DEC17	6464.50	6876.67	USD	-20,608.50	
26SEP17	B	1	PD27DEC17	6512.50	6876.67	USD	9,104.25	
26SEP17	S	3	PD27DEC17	6457.00	6876.67	USD	-31,475.25	
26SEP17	S	1	PD27DEC17	6457.50	6876.67	USD	-10,479.25	
26SEP17	S	2	PD27DEC17	6458.00	6876.67	USD	-20,933.50	
26SEP17	S	1	PD27DEC17	6461.00	6876.67	USD	-10,391.75	
26SEP17	S	4	PD27DEC17	6463.50	6876.67	USD	-41,317.00	
26SEP17	S	1	PD27DEC17	6465.50	6876.67	USD	-10,279.25	
26SEP17	S	3	PD27DEC17	6461.50	6876.67	USD	-31,137.75	
26SEP17	S	3	PD27DEC17	6462.00	6876.67	USD	-31,100.25	
26SEP17	B	1	PD27DEC17	6459.00	6876.67	USD	10,441.75	
26SEP17	B	2	PD27DEC17	6457.00	6876.67	USD	20,983.50	
26SEP17	B	1	PD27DEC17	6456.00	6876.67	USD	10,516.75	
26SEP17	B	2	PD27DEC17	6454.50	6876.67	USD	21,108.50	
26SEP17	B	1	PD27DEC17	6455.00	6876.67	USD	10,541.75	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
26SEP17	B	9	PD27DEC17	6455.50	6876.67	USD	94,763.25	
26SEP17	B	1	PD27DEC17	6456.50	6876.67	USD	10,504.25	
26SEP17	B	3	PD27DEC17	6452.50	6876.67	USD	31,812.75	
26SEP17	S	1	PD27DEC17	6440.00	6876.67	USD	-10,916.75	
26SEP17	S	1	PD27DEC17	6436.50	6876.67	USD	-11,004.25	
26SEP17	S	1	PD27DEC17	6438.50	6876.67	USD	-10,954.25	
26SEP17	S	3	PD27DEC17	6439.00	6876.67	USD	-32,825.25	
26SEP17	S	3	PD27DEC17	6437.50	6876.67	USD	-32,937.75	
26SEP17	S	1	PD27DEC17	6442.00	6876.67	USD	-10,866.75	
26SEP17	S	3	PD27DEC17	6442.50	6876.67	USD	-32,562.75	
26SEP17	S	1	PD27DEC17	6443.00	6876.67	USD	-10,841.75	
26SEP17	S	2	PD27DEC17	6441.00	6876.67	USD	-21,783.50	
26SEP17	S	1	PD27DEC17	6430.00	6876.67	USD	-11,166.75	
26SEP17	S	1	PD27DEC17	6433.50	6876.67	USD	-11,079.25	
26SEP17	S	1	PD27DEC17	6432.50	6876.67	USD	-11,104.25	
26SEP17	S	3	PD27DEC17	6433.00	6876.67	USD	-33,275.25	
26SEP17	S	2	PD27DEC17	6438.00	6876.67	USD	-21,933.50	
26SEP17	S	2	PD27DEC17	6434.00	6876.67	USD	-22,133.50	
26SEP17	S	3	PD27DEC17	6439.50	6876.67	USD	-32,787.75	
26SEP17	S	2	PD27DEC17	6437.00	6876.67	USD	-21,983.50	
26SEP17	B	1	PD27DEC17	6440.00	6876.67	USD	10,916.75	
26SEP17	B	1	PD27DEC17	6444.50	6876.67	USD	10,804.25	
26SEP17	S	1	PD27DEC17	6428.50	6876.67	USD	-11,204.25	
26SEP17	S	1	PD27DEC17	6429.00	6876.67	USD	-11,191.75	
26SEP17	S	1	PD27DEC17	6416.00	6876.67	USD	-11,516.75	
26SEP17	S	3	PD27DEC17	6410.00	6876.67	USD	-35,000.25	
26SEP17	S	1	PD27DEC17	6407.00	6876.67	USD	-11,741.75	
26SEP17	S	1	PD27DEC17	6409.00	6876.67	USD	-11,691.75	
26SEP17	S	1	PD27DEC17	6412.00	6876.67	USD	-11,616.75	
26SEP17	S	2	PD27DEC17	6411.50	6876.67	USD	-23,258.50	
26SEP17	S	1	PD27DEC17	6410.50	6876.67	USD	-11,654.25	
26SEP17	S	2	PD27DEC17	6416.50	6876.67	USD	-23,008.50	
26SEP17	S	5	PD27DEC17	6414.50	6876.67	USD	-57,771.25	
26SEP17	S	1	PD27DEC17	6416.00	6876.67	USD	-11,516.75	
26SEP17	S	4	PD27DEC17	6409.50	6876.67	USD	-46,717.00	
26SEP17	S	2	PD27DEC17	6407.50	6876.67	USD	-23,458.50	
26SEP17	S	2	PD27DEC17	6408.50	6876.67	USD	-23,408.50	
26SEP17	B	2	PD27DEC17	6414.50	6876.67	USD	23,108.50	
26SEP17	B	1	PD27DEC17	6413.50	6876.67	USD	11,579.25	
26SEP17	B	2	PD27DEC17	6418.00	6876.67	USD	22,933.50	
26SEP17	B	3	PD27DEC17	6416.00	6876.67	USD	34,550.25	
26SEP17	B	4	PD27DEC17	6417.50	6876.67	USD	45,917.00	
26SEP17	S	5	PD27DEC17	6415.50	6876.67	USD	-57,646.25	
26SEP17	B	5	PD27DEC17	6415.00	6876.67	USD	57,708.75	
26SEP17	B	4	PD27DEC17	6415.50	6876.67	USD	46,117.00	
26SEP17	B	3	PD27DEC17	6416.50	6876.67	USD	34,512.75	
26SEP17	B	6	PD27DEC17	6417.00	6876.67	USD	68,950.50	
26SEP17	B	10	PD27DEC17	6418.50	6876.67	USD	114,542.50	
26SEP17	S	3	PD27DEC17	6411.00	6876.67	USD	-34,925.25	
26SEP17	B	1	PD27DEC17	6411.50	6876.67	USD	11,629.25	
26SEP17	B	3	PD27DEC17	6419.00	6876.67	USD	34,325.25	
26SEP17	B	1	PD27DEC17	6424.50	6876.67	USD	11,304.25	
26SEP17	B	4	PD27DEC17	6424.00	6876.67	USD	45,267.00	
26SEP17	B	2	PD27DEC17	6423.50	6876.67	USD	22,658.50	
26SEP17	B	1	PD27DEC17	6425.50	6876.67	USD	11,279.25	
26SEP17	B	2	PD27DEC17	6426.50	6876.67	USD	22,508.50	
26SEP17	B	4	PD27DEC17	6426.00	6876.67	USD	45,067.00	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0097R

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
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backoffice@sapiencap.com

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FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
26SEP17	B	2	PD27DEC17	6428.00	6876.67	USD	22,433.50	
26SEP17	B	3	PD27DEC17	6427.00	6876.67	USD	33,725.25	
26SEP17	B	1	PD27DEC17	6427.50	6876.67	USD	11,229.25	
26SEP17	B	1	PD27DEC17	6414.50	6876.67	USD	11,554.25	
26SEP17	B	1	PD27DEC17	6413.50	6876.67	USD	11,579.25	
26SEP17	S	2	PD27DEC17	6415.50	6876.67	USD	-23,058.50	
26SEP17	B	1	PD27DEC17	6415.50	6876.67	USD	11,529.25	
26SEP17	B	1	PD27DEC17	6429.50	6876.67	USD	11,179.25	
27SEP17	B	1	PD27DEC17	6469.50	6876.67	USD	10,179.25	
27SEP17	B	1	PD27DEC17	6470.00	6876.67	USD	10,166.75	
27SEP17	B	1	PD27DEC17	6475.00	6876.67	USD	10,041.75	
27SEP17	S	1	PD27DEC17	6462.00	6876.67	USD	-10,366.75	
27SEP17	S	1	PD27DEC17	6467.00	6876.67	USD	-10,241.75	
27SEP17	S	1	PD27DEC17	6464.00	6876.67	USD	-10,316.75	
27SEP17	S	4	PD27DEC17	6463.50	6876.67	USD	-41,317.00	
27SEP17	S	2	PD27DEC17	6462.50	6876.67	USD	-20,708.50	
27SEP17	S	2	PD27DEC17	6461.50	6876.67	USD	-20,758.50	
27SEP17	S	4	PD27DEC17	6463.00	6876.67	USD	-41,367.00	
27SEP17	S	1	PD27DEC17	6459.50	6876.67	USD	-10,429.25	
27SEP17	B	4	PD27DEC17	6453.00	6876.67	USD	42,367.00	
27SEP17	B	1	PD27DEC17	6455.00	6876.67	USD	10,541.75	
27SEP17	B	1	PD27DEC17	6459.50	6876.67	USD	10,429.25	
27SEP17	B	1	PD27DEC17	6456.00	6876.67	USD	10,516.75	
27SEP17	B	1	PD27DEC17	6457.00	6876.67	USD	10,491.75	
27SEP17	B	1	PD27DEC17	6461.50	6876.67	USD	10,379.25	
27SEP17	B	1	PD27DEC17	6463.50	6876.67	USD	10,329.25	
27SEP17	B	1	PD27DEC17	6468.50	6876.67	USD	10,204.25	
27SEP17	B	2	PD27DEC17	6482.50	6876.67	USD	19,708.50	
27SEP17	B	2	PD27DEC17	6484.50	6876.67	USD	19,608.50	
27SEP17	B	1	PD27DEC17	6483.50	6876.67	USD	9,829.25	
27SEP17	B	3	PD27DEC17	6484.00	6876.67	USD	29,450.25	
27SEP17	B	1	PD27DEC17	6480.50	6876.67	USD	9,904.25	
27SEP17	B	1	PD27DEC17	6478.00	6876.67	USD	9,966.75	
27SEP17	B	1	PD27DEC17	6476.00	6876.67	USD	10,016.75	
27SEP17	B	1	PD27DEC17	6476.50	6876.67	USD	10,004.25	
27SEP17	B	8	PD27DEC17	6473.50	6876.67	USD	80,634.00	
27SEP17	B	5	PD27DEC17	6473.00	6876.67	USD	50,458.75	
27SEP17	B	6	PD27DEC17	6474.00	6876.67	USD	60,400.50	
27SEP17	B	2	PD27DEC17	6478.50	6876.67	USD	19,908.50	
27SEP17	B	3	PD27DEC17	6472.50	6876.67	USD	30,312.75	
27SEP17	B	3	PD27DEC17	6468.00	6876.67	USD	30,650.25	
27SEP17	B	1	PD27DEC17	6465.50	6876.67	USD	10,279.25	
27SEP17	B	2	PD27DEC17	6465.00	6876.67	USD	20,583.50	
27SEP17	B	5	PD27DEC17	6464.00	6876.67	USD	51,583.75	
27SEP17	B	3	PD27DEC17	6463.00	6876.67	USD	31,025.25	
27SEP17	B	1	PD27DEC17	6462.00	6876.67	USD	10,366.75	
27SEP17	B	1	PD27DEC17	6459.00	6876.67	USD	10,441.75	
27SEP17	S	2	PD27DEC17	6441.50	6876.67	USD	-21,758.50	
27SEP17	B	1	PD27DEC17	6437.00	6876.67	USD	10,991.75	
27SEP17	B	5	PD27DEC17	6439.50	6876.67	USD	54,646.25	
27SEP17	B	2	PD27DEC17	6437.50	6876.67	USD	21,958.50	
27SEP17	B	1	PD27DEC17	6434.50	6876.67	USD	11,054.25	
27SEP17	B	1	PD27DEC17	6443.00	6876.67	USD	10,841.75	
27SEP17	B	1	PD27DEC17	6442.00	6876.67	USD	10,866.75	
27SEP17	B	2	PD27DEC17	6439.00	6876.67	USD	21,883.50	
27SEP17	B	3	PD27DEC17	6438.50	6876.67	USD	32,862.75	
27SEP17	B	1	PD27DEC17	6440.00	6876.67	USD	10,916.75	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
27SEP17	S	1	PD27DEC17	6438.00	6876.67	USD	-10,966.75	
27SEP17	B	5	PD27DEC17	6438.00	6876.67	USD	54,833.75	
27SEP17	B	1	PD27DEC17	6437.00	6876.67	USD	10,991.75	
06OCT17	S	35	PD27DEC17	6663.50	6876.67	USD	-186,523.75	
	NET	0 AVG BGHT 6462.91	SOLD 6479.44				109,512.50	0.00
28SEP17	B	1	PD28DEC17	6429.50	6877.00	USD	11,187.50	
28SEP17	S	1	PD28DEC17	6421.00	6877.00	USD	-11,400.00	
28SEP17	S	2	PD28DEC17	6420.00	6877.00	USD	-22,850.00	
28SEP17	S	2	PD28DEC17	6416.50	6877.00	USD	-23,025.00	
28SEP17	S	1	PD28DEC17	6417.00	6877.00	USD	-11,500.00	
28SEP17	S	1	PD28DEC17	6417.50	6877.00	USD	-11,487.50	
28SEP17	S	1	PD28DEC17	6415.00	6877.00	USD	-11,550.00	
28SEP17	S	1	PD28DEC17	6414.00	6877.00	USD	-11,575.00	
28SEP17	S	1	PD28DEC17	6412.50	6877.00	USD	-11,612.50	
28SEP17	S	1	PD28DEC17	6408.50	6877.00	USD	-11,712.50	
28SEP17	S	1	PD28DEC17	6410.00	6877.00	USD	-11,675.00	
28SEP17	S	6	PD28DEC17	6408.00	6877.00	USD	-70,350.00	
28SEP17	S	1	PD28DEC17	6407.50	6877.00	USD	-11,737.50	
28SEP17	S	3	PD28DEC17	6400.00	6877.00	USD	-35,775.00	
28SEP17	S	1	PD28DEC17	6399.00	6877.00	USD	-11,950.00	
28SEP17	S	7	PD28DEC17	6398.50	6877.00	USD	-83,737.50	
28SEP17	S	1	PD28DEC17	6400.50	6877.00	USD	-11,912.50	
28SEP17	S	2	PD28DEC17	6405.50	6877.00	USD	-23,575.00	
28SEP17	S	2	PD28DEC17	6415.50	6877.00	USD	-23,075.00	
28SEP17	S	1	PD28DEC17	6427.50	6877.00	USD	-11,237.50	
28SEP17	S	1	PD28DEC17	6431.50	6877.00	USD	-11,137.50	
28SEP17	S	1	PD28DEC17	6426.50	6877.00	USD	-11,262.50	
28SEP17	B	1	PD28DEC17	6433.00	6877.00	USD	11,100.00	
28SEP17	B	2	PD28DEC17	6438.50	6877.00	USD	21,925.00	
28SEP17	B	1	PD28DEC17	6437.00	6877.00	USD	11,000.00	
28SEP17	B	3	PD28DEC17	6436.50	6877.00	USD	33,037.50	
28SEP17	B	1	PD28DEC17	6435.50	6877.00	USD	11,037.50	
28SEP17	B	2	PD28DEC17	6438.00	6877.00	USD	21,950.00	
28SEP17	B	2	PD28DEC17	6437.50	6877.00	USD	21,975.00	
28SEP17	B	1	PD28DEC17	6434.00	6877.00	USD	11,075.00	
28SEP17	B	8	PD28DEC17	6435.00	6877.00	USD	88,400.00	
28SEP17	B	1	PD28DEC17	6444.50	6877.00	USD	10,812.50	
28SEP17	B	1	PD28DEC17	6445.50	6877.00	USD	10,787.50	
28SEP17	S	5	PD28DEC17	6446.50	6877.00	USD	-53,812.50	
28SEP17	B	1	PD28DEC17	6444.00	6877.00	USD	10,825.00	
28SEP17	B	2	PD28DEC17	6451.50	6877.00	USD	21,275.00	
28SEP17	B	1	PD28DEC17	6458.50	6877.00	USD	10,462.50	
28SEP17	B	2	PD28DEC17	6458.00	6877.00	USD	20,950.00	
28SEP17	B	2	PD28DEC17	6463.00	6877.00	USD	20,700.00	
28SEP17	S	1	PD28DEC17	6473.00	6877.00	USD	-10,100.00	
28SEP17	S	2	PD28DEC17	6469.00	6877.00	USD	-20,400.00	
28SEP17	S	1	PD28DEC17	6482.50	6877.00	USD	-9,862.50	
28SEP17	S	1	PD28DEC17	6479.00	6877.00	USD	-9,950.00	
28SEP17	S	1	PD28DEC17	6477.00	6877.00	USD	-10,000.00	
28SEP17	S	3	PD28DEC17	6476.50	6877.00	USD	-30,037.50	
28SEP17	S	1	PD28DEC17	6478.50	6877.00	USD	-9,962.50	
28SEP17	B	1	PD28DEC17	6474.00	6877.00	USD	10,075.00	
28SEP17	B	1	PD28DEC17	6473.50	6877.00	USD	10,087.50	
28SEP17	B	2	PD28DEC17	6469.50	6877.00	USD	20,375.00	
28SEP17	B	2	PD28DEC17	6455.00	6877.00	USD	21,100.00	
28SEP17	B	4	PD28DEC17	6455.50	6877.00	USD	42,150.00	
28SEP17	B	2	PD28DEC17	6452.00	6877.00	USD	21,250.00	

OPEN POSITION STATEMENT



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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
28SEP17	B	3	PD28DEC17	6452.50	6877.00	USD	31,837.50	
28SEP17	B	1	PD28DEC17	6453.50	6877.00	USD	10,587.50	
28SEP17	B	1	PD28DEC17	6453.00	6877.00	USD	10,600.00	
28SEP17	B	2	PD28DEC17	6454.00	6877.00	USD	21,150.00	
28SEP17	S	1	PD28DEC17	6407.50	6877.00	USD	-11,737.50	
28SEP17	B	1	PD28DEC17	6437.50	6877.00	USD	10,987.50	
28SEP17	B	1	PD28DEC17	6436.00	6877.00	USD	11,025.00	
28SEP17	B	2	PD28DEC17	6467.50	6877.00	USD	20,475.00	
28SEP17	B	6	PD28DEC17	6470.00	6877.00	USD	61,050.00	
28SEP17	B	4	PD28DEC17	6468.00	6877.00	USD	40,900.00	
28SEP17	B	2	PD28DEC17	6468.50	6877.00	USD	20,425.00	
28SEP17	B	3	PD28DEC17	6469.00	6877.00	USD	30,600.00	
28SEP17	B	5	PD28DEC17	6472.00	6877.00	USD	50,625.00	
28SEP17	S	1	PD28DEC17	6474.50	6877.00	USD	-10,062.50	
28SEP17	S	2	PD28DEC17	6475.50	6877.00	USD	-20,075.00	
28SEP17	S	5	PD28DEC17	6475.00	6877.00	USD	-50,250.00	
28SEP17	B	1	PD28DEC17	6501.00	6877.00	USD	9,400.00	
28SEP17	B	1	PD28DEC17	6504.50	6877.00	USD	9,312.50	
28SEP17	B	2	PD28DEC17	6517.00	6877.00	USD	18,000.00	
28SEP17	B	1	PD28DEC17	6513.50	6877.00	USD	9,087.50	
28SEP17	B	1	PD28DEC17	6512.50	6877.00	USD	9,112.50	
28SEP17	S	1	PD28DEC17	6511.50	6877.00	USD	-9,137.50	
28SEP17	S	1	PD28DEC17	6513.50	6877.00	USD	-9,087.50	
28SEP17	B	2	PD28DEC17	6508.50	6877.00	USD	18,425.00	
28SEP17	B	1	PD28DEC17	6515.50	6877.00	USD	9,037.50	
28SEP17	B	2	PD28DEC17	6515.00	6877.00	USD	18,100.00	
28SEP17	B	2	PD28DEC17	6514.50	6877.00	USD	18,125.00	
28SEP17	B	2	PD28DEC17	6513.00	6877.00	USD	18,200.00	
28SEP17	B	1	PD28DEC17	6522.50	6877.00	USD	8,862.50	
28SEP17	B	1	PD28DEC17	6527.00	6877.00	USD	8,750.00	
28SEP17	B	3	PD28DEC17	6525.00	6877.00	USD	26,400.00	
28SEP17	B	2	PD28DEC17	6521.00	6877.00	USD	17,800.00	
28SEP17	B	2	PD28DEC17	6519.00	6877.00	USD	17,900.00	
28SEP17	B	1	PD28DEC17	6523.00	6877.00	USD	8,850.00	
28SEP17	B	1	PD28DEC17	6520.00	6877.00	USD	8,925.00	
28SEP17	B	2	PD28DEC17	6518.50	6877.00	USD	17,925.00	
28SEP17	S	1	PD28DEC17	6517.50	6877.00	USD	-8,987.50	
28SEP17	B	2	PD28DEC17	6524.50	6877.00	USD	17,625.00	
28SEP17	B	2	PD28DEC17	6572.00	6877.00	USD	15,250.00	
28SEP17	B	1	PD28DEC17	6568.50	6877.00	USD	7,712.50	
28SEP17	B	1	PD28DEC17	6568.00	6877.00	USD	7,725.00	
28SEP17	B	1	PD28DEC17	6569.50	6877.00	USD	7,687.50	
28SEP17	B	2	PD28DEC17	6571.00	6877.00	USD	15,300.00	
28SEP17	S	2	PD28DEC17	6565.50	6877.00	USD	-15,575.00	
28SEP17	S	1	PD28DEC17	6562.50	6877.00	USD	-7,862.50	
28SEP17	S	4	PD28DEC17	6562.00	6877.00	USD	-31,500.00	
28SEP17	B	1	PD28DEC17	6554.50	6877.00	USD	8,062.50	
28SEP17	B	1	PD28DEC17	6554.00	6877.00	USD	8,075.00	
28SEP17	B	1	PD28DEC17	6559.00	6877.00	USD	7,950.00	
28SEP17	B	1	PD28DEC17	6558.00	6877.00	USD	7,975.00	
28SEP17	S	1	PD28DEC17	6559.00	6877.00	USD	-7,950.00	
28SEP17	S	1	PD28DEC17	6556.50	6877.00	USD	-8,012.50	
28SEP17	S	1	PD28DEC17	6557.50	6877.00	USD	-7,987.50	
28SEP17	S	1	PD28DEC17	6558.00	6877.00	USD	-7,975.00	
28SEP17	B	1	PD28DEC17	6521.50	6877.00	USD	8,887.50	
28SEP17	S	2	PD28DEC17	6512.00	6877.00	USD	-18,250.00	
28SEP17	B	1	PD28DEC17	6519.50	6877.00	USD	8,937.50	

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06OCT17	<u>LME COPPER GRADE A 25 TONNES. THIS</u>							
	<u>IS A LME REGISTERED CLIENT CONTRACT</u>							
	S	35	PD28DEC17	6663.83	6877.00	USD	-186,523.75	
	NET	+5 AVG BGHT 6481.34	SOLD 6519.75				157,963.75	0.00
29SEP17	B	1	PD29DEC17	6572.50	6877.33	USD	7,620.75	
29SEP17	B	2	PD29DEC17	6575.00	6877.33	USD	15,116.50	
29SEP17	B	4	PD29DEC17	6573.00	6877.33	USD	30,433.00	
29SEP17	B	1	PD29DEC17	6574.50	6877.33	USD	7,570.75	
29SEP17	B	1	PD29DEC17	6573.50	6877.33	USD	7,595.75	
29SEP17	B	3	PD29DEC17	6568.00	6877.33	USD	23,199.75	
29SEP17	B	2	PD29DEC17	6568.50	6877.33	USD	15,441.50	
29SEP17	B	1	PD29DEC17	6565.00	6877.33	USD	7,808.25	
29SEP17	B	2	PD29DEC17	6564.50	6877.33	USD	15,641.50	
29SEP17	S	1	PD29DEC17	6561.00	6877.33	USD	-7,908.25	
29SEP17	B	1	PD29DEC17	6561.50	6877.33	USD	7,895.75	
29SEP17	B	5	PD29DEC17	6555.00	6877.33	USD	40,291.25	
29SEP17	B	1	PD29DEC17	6557.50	6877.33	USD	7,995.75	
29SEP17	S	2	PD29DEC17	6556.50	6877.33	USD	-16,041.50	
29SEP17	B	1	PD29DEC17	6551.00	6877.33	USD	8,158.25	
29SEP17	B	3	PD29DEC17	6545.00	6877.33	USD	24,924.75	
29SEP17	B	5	PD29DEC17	6546.00	6877.33	USD	41,416.25	
29SEP17	B	7	PD29DEC17	6547.00	6877.33	USD	57,807.75	
29SEP17	S	1	PD29DEC17	6531.50	6877.33	USD	-8,645.75	
29SEP17	S	1	PD29DEC17	6528.00	6877.33	USD	-8,733.25	
29SEP17	S	1	PD29DEC17	6537.00	6877.33	USD	-8,508.25	
29SEP17	S	3	PD29DEC17	6541.50	6877.33	USD	-25,187.25	
29SEP17	S	1	PD29DEC17	6541.00	6877.33	USD	-8,408.25	
29SEP17	S	1	PD29DEC17	6540.50	6877.33	USD	-8,420.75	
29SEP17	B	1	PD29DEC17	6560.50	6877.33	USD	7,920.75	
29SEP17	B	1	PD29DEC17	6573.00	6877.33	USD	7,608.25	
29SEP17	B	1	PD29DEC17	6563.50	6877.33	USD	7,845.75	
29SEP17	S	7	PD29DEC17	6530.50	6877.33	USD	-60,695.25	
29SEP17	B	1	PD29DEC17	6533.00	6877.33	USD	8,608.25	
29SEP17	S	2	PD29DEC17	6531.00	6877.33	USD	-17,316.50	
29SEP17	S	6	PD29DEC17	6530.00	6877.33	USD	-52,099.50	
29SEP17	S	3	PD29DEC17	6532.50	6877.33	USD	-25,862.25	
29SEP17	S	1	PD29DEC17	6534.50	6877.33	USD	-8,570.75	
29SEP17	S	1	PD29DEC17	6536.50	6877.33	USD	-8,520.75	
29SEP17	S	7	PD29DEC17	6540.00	6877.33	USD	-59,032.75	
29SEP17	S	3	PD29DEC17	6539.50	6877.33	USD	-25,337.25	
29SEP17	S	2	PD29DEC17	6539.00	6877.33	USD	-16,916.50	
29SEP17	S	4	PD29DEC17	6538.00	6877.33	USD	-33,933.00	
29SEP17	S	5	PD29DEC17	6536.00	6877.33	USD	-42,666.25	
29SEP17	B	2	PD29DEC17	6533.50	6877.33	USD	17,191.50	
29SEP17	B	1	PD29DEC17	6536.00	6877.33	USD	8,533.25	
29SEP17	B	2	PD29DEC17	6537.00	6877.33	USD	17,016.50	
29SEP17	S	4	PD29DEC17	6528.50	6877.33	USD	-34,883.00	
29SEP17	S	5	PD29DEC17	6529.50	6877.33	USD	-43,478.75	
29SEP17	B	2	PD29DEC17	6525.00	6877.33	USD	17,616.50	
29SEP17	B	2	PD29DEC17	6521.00	6877.33	USD	17,816.50	
29SEP17	B	2	PD29DEC17	6520.50	6877.33	USD	17,841.50	
29SEP17	B	1	PD29DEC17	6522.00	6877.33	USD	8,883.25	
29SEP17	S	1	PD29DEC17	6497.00	6877.33	USD	-9,508.25	
29SEP17	S	2	PD29DEC17	6490.50	6877.33	USD	-19,341.50	
29SEP17	S	1	PD29DEC17	6489.50	6877.33	USD	-9,695.75	
29SEP17	S	1	PD29DEC17	6489.00	6877.33	USD	-9,708.25	
29SEP17	S	1	PD29DEC17	6486.50	6877.33	USD	-9,770.75	
29SEP17	S	1	PD29DEC17	6492.50	6877.33	USD	-9,620.75	
29SEP17	S	1	PD29DEC17	6487.50	6877.33	USD	-9,745.75	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0097R

13th October 2017

Sapien Capital Limited
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backoffice@sapiencap.com

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
29SEP17	S	1	PD29DEC17	6487.00	6877.33	USD	-9,758.25	
29SEP17	B	1	PD29DEC17	6485.50	6877.33	USD	9,795.75	
29SEP17	S	2	PD29DEC17	6496.00	6877.33	USD	-19,066.50	
29SEP17	S	1	PD29DEC17	6498.00	6877.33	USD	-9,483.25	
29SEP17	S	2	PD29DEC17	6499.00	6877.33	USD	-18,916.50	
29SEP17	S	1	PD29DEC17	6494.50	6877.33	USD	-9,570.75	
29SEP17	S	3	PD29DEC17	6493.50	6877.33	USD	-28,787.25	
29SEP17	S	3	PD29DEC17	6497.50	6877.33	USD	-28,487.25	
03OCT17	B	25	PD29DEC17	6518.43	6877.33	USD	224,312.50	
	NET	0 AVG BGHT 6540.79	SOLD 6524.83				-32,718.75	0.00
02OCT17	B	1	PD02JAN18	6523.00	6878.67	USD	8,891.75	
02OCT17	B	1	PD02JAN18	6526.00	6878.67	USD	8,816.75	
02OCT17	B	1	PD02JAN18	6530.50	6878.67	USD	8,704.25	
02OCT17	B	1	PD02JAN18	6525.00	6878.67	USD	8,841.75	
02OCT17	B	3	PD02JAN18	6513.00	6878.67	USD	27,425.25	
02OCT17	B	2	PD02JAN18	6516.00	6878.67	USD	18,133.50	
02OCT17	B	1	PD02JAN18	6514.50	6878.67	USD	9,104.25	
02OCT17	B	1	PD02JAN18	6497.50	6878.67	USD	9,529.25	
02OCT17	B	2	PD02JAN18	6498.00	6878.67	USD	19,033.50	
02OCT17	B	1	PD02JAN18	6495.50	6878.67	USD	9,579.25	
02OCT17	B	1	PD02JAN18	6499.50	6878.67	USD	9,479.25	
02OCT17	B	3	PD02JAN18	6500.50	6878.67	USD	28,362.75	
02OCT17	S	1	PD02JAN18	6502.00	6878.67	USD	-9,416.75	
02OCT17	S	1	PD02JAN18	6503.50	6878.67	USD	-9,379.25	
02OCT17	S	2	PD02JAN18	6501.50	6878.67	USD	-18,858.50	
02OCT17	S	2	PD02JAN18	6505.50	6878.67	USD	-18,658.50	
02OCT17	S	1	PD02JAN18	6506.50	6878.67	USD	-9,304.25	
02OCT17	S	1	PD02JAN18	6506.00	6878.67	USD	-9,316.75	
02OCT17	B	1	PD02JAN18	6505.50	6878.67	USD	9,329.25	
02OCT17	B	1	PD02JAN18	6504.50	6878.67	USD	9,354.25	
02OCT17	B	4	PD02JAN18	6501.50	6878.67	USD	37,717.00	
02OCT17	B	3	PD02JAN18	6503.50	6878.67	USD	28,137.75	
02OCT17	B	2	PD02JAN18	6501.00	6878.67	USD	18,883.50	
02OCT17	B	1	PD02JAN18	6502.50	6878.67	USD	9,404.25	
02OCT17	B	2	PD02JAN18	6502.00	6878.67	USD	18,833.50	
02OCT17	S	1	PD02JAN18	6479.00	6878.67	USD	-9,991.75	
02OCT17	S	3	PD02JAN18	6483.50	6878.67	USD	-29,637.75	
02OCT17	S	3	PD02JAN18	6483.00	6878.67	USD	-29,675.25	
02OCT17	S	3	PD02JAN18	6482.00	6878.67	USD	-29,750.25	
02OCT17	S	4	PD02JAN18	6481.00	6878.67	USD	-39,767.00	
02OCT17	S	1	PD02JAN18	6482.50	6878.67	USD	-9,904.25	
02OCT17	S	1	PD02JAN18	6484.00	6878.67	USD	-9,866.75	
02OCT17	S	1	PD02JAN18	6484.50	6878.67	USD	-9,854.25	
02OCT17	S	1	PD02JAN18	6486.50	6878.67	USD	-9,804.25	
02OCT17	S	2	PD02JAN18	6488.00	6878.67	USD	-19,533.50	
02OCT17	S	4	PD02JAN18	6486.00	6878.67	USD	-39,267.00	
02OCT17	S	1	PD02JAN18	6492.50	6878.67	USD	-9,654.25	
02OCT17	B	4	PD02JAN18	6492.00	6878.67	USD	38,667.00	
02OCT17	S	1	PD02JAN18	6491.00	6878.67	USD	-9,691.75	
02OCT17	B	2	PD02JAN18	6490.50	6878.67	USD	19,408.50	
02OCT17	B	2	PD02JAN18	6486.50	6878.67	USD	19,608.50	
02OCT17	B	6	PD02JAN18	6487.00	6878.67	USD	58,750.50	
02OCT17	B	1	PD02JAN18	6488.50	6878.67	USD	9,754.25	
02OCT17	B	5	PD02JAN18	6485.00	6878.67	USD	49,208.75	
02OCT17	B	2	PD02JAN18	6483.00	6878.67	USD	19,783.50	
02OCT17	B	4	PD02JAN18	6486.00	6878.67	USD	39,267.00	
02OCT17	B	8	PD02JAN18	6485.50	6878.67	USD	78,634.00	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
02OCT17	B	1	PD02JAN18	6481.50	6878.67	USD	9,929.25	
02OCT17	B	2	PD02JAN18	6481.00	6878.67	USD	19,883.50	
02OCT17	S	5	PD02JAN18	6465.00	6878.67	USD	-51,708.75	
02OCT17	S	1	PD02JAN18	6464.00	6878.67	USD	-10,366.75	
02OCT17	S	2	PD02JAN18	6468.50	6878.67	USD	-20,508.50	
02OCT17	S	2	PD02JAN18	6471.50	6878.67	USD	-20,358.50	
02OCT17	S	1	PD02JAN18	6473.50	6878.67	USD	-10,129.25	
02OCT17	S	8	PD02JAN18	6474.00	6878.67	USD	-80,934.00	
02OCT17	S	1	PD02JAN18	6476.50	6878.67	USD	-10,054.25	
02OCT17	S	6	PD02JAN18	6474.50	6878.67	USD	-60,625.50	
02OCT17	S	2	PD02JAN18	6476.00	6878.67	USD	-20,133.50	
02OCT17	B	2	PD02JAN18	6477.00	6878.67	USD	20,083.50	
02OCT17	B	5	PD02JAN18	6478.50	6878.67	USD	50,021.25	
02OCT17	B	2	PD02JAN18	6478.00	6878.67	USD	20,033.50	
02OCT17	B	2	PD02JAN18	6482.00	6878.67	USD	19,833.50	
02OCT17	B	5	PD02JAN18	6483.50	6878.67	USD	49,396.25	
02OCT17	B	8	PD02JAN18	6484.50	6878.67	USD	78,834.00	
02OCT17	B	2	PD02JAN18	6480.00	6878.67	USD	19,933.50	
02OCT17	B	2	PD02JAN18	6480.50	6878.67	USD	19,908.50	
02OCT17	B	1	PD02JAN18	6473.00	6878.67	USD	10,141.75	
02OCT17	B	3	PD02JAN18	6475.00	6878.67	USD	30,275.25	
02OCT17	B	3	PD02JAN18	6476.00	6878.67	USD	30,200.25	
02OCT17	B	2	PD02JAN18	6473.50	6878.67	USD	20,258.50	
02OCT17	B	1	PD02JAN18	6469.50	6878.67	USD	10,229.25	
02OCT17	B	2	PD02JAN18	6472.00	6878.67	USD	20,333.50	
02OCT17	S	1	PD02JAN18	6489.00	6878.67	USD	-9,741.75	
02OCT17	S	6	PD02JAN18	6490.00	6878.67	USD	-58,300.50	
02OCT17	S	1	PD02JAN18	6491.50	6878.67	USD	-9,679.25	
02OCT17	S	2	PD02JAN18	6493.50	6878.67	USD	-19,258.50	
02OCT17	S	3	PD02JAN18	6500.50	6878.67	USD	-28,362.75	
02OCT17	S	3	PD02JAN18	6496.00	6878.67	USD	-28,700.25	
02OCT17	S	3	PD02JAN18	6498.50	6878.67	USD	-28,512.75	
02OCT17	S	7	PD02JAN18	6499.00	6878.67	USD	-66,442.25	
02OCT17	S	1	PD02JAN18	6499.50	6878.67	USD	-9,479.25	
02OCT17	S	1	PD02JAN18	6501.00	6878.67	USD	-9,441.75	
02OCT17	S	5	PD02JAN18	6500.00	6878.67	USD	-47,333.75	
02OCT17	S	15	PD02JAN18	6498.00	6878.67	USD	-142,751.25	
02OCT17	S	1	PD02JAN18	6495.00	6878.67	USD	-9,591.75	
02OCT17	S	1	PD02JAN18	6494.00	6878.67	USD	-9,616.75	
02OCT17	S	2	PD02JAN18	6496.50	6878.67	USD	-19,108.50	
02OCT17	S	1	PD02JAN18	6495.50	6878.67	USD	-9,579.25	
02OCT17	B	7	PD02JAN18	6500.00	6878.67	USD	66,267.25	
02OCT17	B	1	PD02JAN18	6499.00	6878.67	USD	9,491.75	
02OCT17	S	4	PD02JAN18	6504.50	6878.67	USD	-37,417.00	
02OCT17	S	3	PD02JAN18	6504.00	6878.67	USD	-28,100.25	
02OCT17	S	3	PD02JAN18	6489.00	6878.67	USD	-29,225.25	
02OCT17	S	1	PD02JAN18	6500.50	6878.67	USD	-9,454.25	
02OCT17	S	1	PD02JAN18	6474.50	6878.67	USD	-10,104.25	
03OCT17	B	10	PD02JAN18	6519.85	6878.67	USD	89,705.00	
	NET	0AVG BGHT 6492.72	SOLD 6489.27				-10,950.00	0.00
03OCT17	S	2	PD03JAN18	6468.00	6879.00	USD	-20,550.00	
03OCT17	S	1	PD03JAN18	6481.50	6879.00	USD	-9,937.50	
03OCT17	S	1	PD03JAN18	6482.00	6879.00	USD	-9,925.00	
03OCT17	S	1	PD03JAN18	6482.50	6879.00	USD	-9,912.50	
03OCT17	B	1	PD03JAN18	6489.50	6879.00	USD	9,737.50	
03OCT17	B	1	PD03JAN18	6491.50	6879.00	USD	9,687.50	
03OCT17	B	1	PD03JAN18	6497.00	6879.00	USD	9,550.00	

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03OCT17	S	1	PD03JAN18	6505.50	6879.00	USD	-9,337.50	
03OCT17	S	1	PD03JAN18	6502.50	6879.00	USD	-9,412.50	
03OCT17	S	1	PD03JAN18	6508.50	6879.00	USD	-9,262.50	
03OCT17	B	1	PD03JAN18	6507.50	6879.00	USD	9,287.50	
03OCT17	B	4	PD03JAN18	6509.00	6879.00	USD	37,000.00	
03OCT17	B	4	PD03JAN18	6508.50	6879.00	USD	37,050.00	
03OCT17	B	1	PD03JAN18	6511.00	6879.00	USD	9,200.00	
03OCT17	B	1	PD03JAN18	6512.50	6879.00	USD	9,162.50	
03OCT17	B	2	PD03JAN18	6511.50	6879.00	USD	18,375.00	
03OCT17	B	3	PD03JAN18	6503.50	6879.00	USD	28,162.50	
03OCT17	B	2	PD03JAN18	6501.00	6879.00	USD	18,900.00	
03OCT17	B	5	PD03JAN18	6502.50	6879.00	USD	47,062.50	
03OCT17	B	1	PD03JAN18	6495.00	6879.00	USD	9,600.00	
03OCT17	B	4	PD03JAN18	6496.00	6879.00	USD	38,300.00	
03OCT17	B	1	PD03JAN18	6496.50	6879.00	USD	9,562.50	
03OCT17	B	1	PD03JAN18	6491.00	6879.00	USD	9,700.00	
03OCT17	B	4	PD03JAN18	6492.50	6879.00	USD	38,650.00	
03OCT17	B	1	PD03JAN18	6499.00	6879.00	USD	9,500.00	
03OCT17	B	2	PD03JAN18	6497.50	6879.00	USD	19,075.00	
03OCT17	B	3	PD03JAN18	6512.00	6879.00	USD	27,525.00	
03OCT17	B	3	PD03JAN18	6508.00	6879.00	USD	27,825.00	
03OCT17	B	2	PD03JAN18	6531.50	6879.00	USD	17,375.00	
03OCT17	B	3	PD03JAN18	6528.50	6879.00	USD	26,287.50	
03OCT17	B	3	PD03JAN18	6529.00	6879.00	USD	26,250.00	
03OCT17	B	1	PD03JAN18	6530.00	6879.00	USD	8,725.00	
03OCT17	B	1	PD03JAN18	6529.50	6879.00	USD	8,737.50	
03OCT17	S	1	PD03JAN18	6531.00	6879.00	USD	-8,700.00	
03OCT17	S	1	PD03JAN18	6532.00	6879.00	USD	-8,675.00	
03OCT17	S	1	PD03JAN18	6531.50	6879.00	USD	-8,687.50	
03OCT17	S	3	PD03JAN18	6526.00	6879.00	USD	-26,475.00	
03OCT17	S	2	PD03JAN18	6524.50	6879.00	USD	-17,725.00	
03OCT17	S	1	PD03JAN18	6516.00	6879.00	USD	-9,075.00	
03OCT17	S	1	PD03JAN18	6513.50	6879.00	USD	-9,137.50	
03OCT17	B	1	PD03JAN18	6524.50	6879.00	USD	8,862.50	
03OCT17	B	2	PD03JAN18	6521.50	6879.00	USD	17,875.00	
03OCT17	B	5	PD03JAN18	6520.50	6879.00	USD	44,812.50	
03OCT17	B	3	PD03JAN18	6520.00	6879.00	USD	26,925.00	
03OCT17	B	3	PD03JAN18	6516.50	6879.00	USD	27,187.50	
03OCT17	B	3	PD03JAN18	6517.50	6879.00	USD	27,112.50	
03OCT17	B	1	PD03JAN18	6514.00	6879.00	USD	9,125.00	
03OCT17	B	2	PD03JAN18	6522.00	6879.00	USD	17,850.00	
03OCT17	B	7	PD03JAN18	6518.00	6879.00	USD	63,175.00	
03OCT17	S	6	PD03JAN18	6525.00	6879.00	USD	-53,100.00	
03OCT17	B	1	PD03JAN18	6490.00	6879.00	USD	9,725.00	
03OCT17	B	1	PD03JAN18	6499.00	6879.00	USD	9,500.00	
03OCT17	B	2	PD03JAN18	6515.50	6879.00	USD	18,175.00	
03OCT17	S	2	PD03JAN18	6522.50	6879.00	USD	-17,825.00	
03OCT17	S	1	PD03JAN18	6520.50	6879.00	USD	-8,962.50	
03OCT17	S	3	PD03JAN18	6519.50	6879.00	USD	-26,962.50	
03OCT17	S	7	PD03JAN18	6520.00	6879.00	USD	-62,825.00	
03OCT17	S	1	PD03JAN18	6521.00	6879.00	USD	-8,950.00	
03OCT17	S	7	PD03JAN18	6525.50	6879.00	USD	-61,862.50	
03OCT17	S	1	PD03JAN18	6527.50	6879.00	USD	-8,787.50	
03OCT17	S	4	PD03JAN18	6526.50	6879.00	USD	-35,250.00	
03OCT17	S	4	PD03JAN18	6527.00	6879.00	USD	-35,200.00	
03OCT17	S	1	PD03JAN18	6524.00	6879.00	USD	-8,875.00	
03OCT17	B	1	PD03JAN18	6525.00	6879.00	USD	8,850.00	

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030CT17	B	3	PD03JAN18	6518.50	6879.00	USD	27,037.50	
030CT17	B	2	PD03JAN18	6526.00	6879.00	USD	17,650.00	
030CT17	B	2	PD03JAN18	6524.00	6879.00	USD	17,750.00	
030CT17	B	2	PD03JAN18	6523.50	6879.00	USD	17,775.00	
030CT17	S	2	PD03JAN18	6516.50	6879.00	USD	-18,125.00	
030CT17	S	5	PD03JAN18	6515.00	6879.00	USD	-45,500.00	
030CT17	S	1	PD03JAN18	6513.00	6879.00	USD	-9,150.00	
030CT17	S	2	PD03JAN18	6514.00	6879.00	USD	-18,250.00	
030CT17	S	2	PD03JAN18	6520.00	6879.00	USD	-17,950.00	
	NET	+30 AVG BGHT 6512.12	SOLD 6518.17				285,287.50	0.00
040CT17	S	1	PD04JAN18	6504.00	6879.33	USD	-9,383.25	
040CT17	S	2	PD04JAN18	6504.50	6879.33	USD	-18,741.50	
040CT17	S	2	PD04JAN18	6507.50	6879.33	USD	-18,591.50	
040CT17	S	1	PD04JAN18	6487.00	6879.33	USD	-9,808.25	
040CT17	S	1	PD04JAN18	6486.50	6879.33	USD	-9,820.75	
040CT17	B	1	PD04JAN18	6487.00	6879.33	USD	9,808.25	
040CT17	S	7	PD04JAN18	6495.00	6879.33	USD	-67,257.75	
040CT17	S	1	PD04JAN18	6502.50	6879.33	USD	-9,420.75	
040CT17	S	1	PD04JAN18	6512.50	6879.33	USD	-9,170.75	
040CT17	S	2	PD04JAN18	6511.50	6879.33	USD	-18,391.50	
040CT17	B	1	PD04JAN18	6503.50	6879.33	USD	9,395.75	
040CT17	S	2	PD04JAN18	6509.50	6879.33	USD	-18,491.50	
040CT17	S	1	PD04JAN18	6508.00	6879.33	USD	-9,283.25	
040CT17	S	1	PD04JAN18	6508.50	6879.33	USD	-9,270.75	
040CT17	S	4	PD04JAN18	6507.00	6879.33	USD	-37,233.00	
040CT17	S	1	PD04JAN18	6505.50	6879.33	USD	-9,345.75	
040CT17	S	5	PD04JAN18	6506.00	6879.33	USD	-46,666.25	
040CT17	S	2	PD04JAN18	6506.50	6879.33	USD	-18,641.50	
040CT17	B	3	PD04JAN18	6503.00	6879.33	USD	28,224.75	
040CT17	S	1	PD04JAN18	6497.00	6879.33	USD	-9,558.25	
040CT17	B	1	PD04JAN18	6504.00	6879.33	USD	9,383.25	
040CT17	B	1	PD04JAN18	6518.50	6879.33	USD	9,020.75	
040CT17	S	3	PD04JAN18	6526.50	6879.33	USD	-26,462.25	
040CT17	S	1	PD04JAN18	6527.50	6879.33	USD	-8,795.75	
040CT17	S	1	PD04JAN18	6529.50	6879.33	USD	-8,745.75	
040CT17	S	1	PD04JAN18	6534.50	6879.33	USD	-8,620.75	
040CT17	S	2	PD04JAN18	6528.50	6879.33	USD	-17,541.50	
040CT17	B	1	PD04JAN18	6503.50	6879.33	USD	9,395.75	
040CT17	S	4	PD04JAN18	6504.00	6879.33	USD	-37,533.00	
040CT17	S	1	PD04JAN18	6526.00	6879.33	USD	-8,833.25	
040CT17	B	1	PD04JAN18	6516.00	6879.33	USD	9,083.25	
040CT17	B	3	PD04JAN18	6515.50	6879.33	USD	27,287.25	
040CT17	B	2	PD04JAN18	6514.00	6879.33	USD	18,266.50	
040CT17	B	2	PD04JAN18	6514.50	6879.33	USD	18,241.50	
040CT17	B	1	PD04JAN18	6515.00	6879.33	USD	9,108.25	
040CT17	S	2	PD04JAN18	6513.50	6879.33	USD	-18,291.50	
040CT17	B	2	PD04JAN18	6524.50	6879.33	USD	17,741.50	
040CT17	B	6	PD04JAN18	6526.50	6879.33	USD	52,924.50	
040CT17	B	1	PD04JAN18	6524.00	6879.33	USD	8,883.25	
040CT17	B	2	PD04JAN18	6523.00	6879.33	USD	17,816.50	
040CT17	B	1	PD04JAN18	6528.50	6879.33	USD	8,770.75	
040CT17	B	2	PD04JAN18	6527.00	6879.33	USD	17,616.50	
040CT17	B	1	PD04JAN18	6515.50	6879.33	USD	9,095.75	
040CT17	S	1	PD04JAN18	6526.00	6879.33	USD	-8,833.25	
040CT17	S	4	PD04JAN18	6521.50	6879.33	USD	-35,783.00	
040CT17	S	2	PD04JAN18	6518.50	6879.33	USD	-18,041.50	
040CT17	S	10	PD04JAN18	6522.00	6879.33	USD	-89,332.50	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0097R

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
04OCT17	S	3	PD04JAN18	6522.50	6879.33	USD	-26,762.25	
04OCT17	S	10	PD04JAN18	6519.50	6879.33	USD	-89,957.50	
04OCT17	S	4	PD04JAN18	6520.00	6879.33	USD	-35,933.00	
04OCT17	S	2	PD04JAN18	6515.50	6879.33	USD	-18,191.50	
04OCT17	S	6	PD04JAN18	6516.00	6879.33	USD	-54,499.50	
04OCT17	S	2	PD04JAN18	6517.50	6879.33	USD	-18,091.50	
04OCT17	S	4	PD04JAN18	6517.00	6879.33	USD	-36,233.00	
04OCT17	S	5	PD04JAN18	6516.50	6879.33	USD	-45,353.75	
04OCT17	S	10	PD04JAN18	6515.00	6879.33	USD	-91,082.50	
04OCT17	S	4	PD04JAN18	6513.00	6879.33	USD	-36,633.00	
04OCT17	S	7	PD04JAN18	6514.50	6879.33	USD	-63,845.25	
04OCT17	S	4	PD04JAN18	6511.00	6879.33	USD	-36,833.00	
04OCT17	S	6	PD04JAN18	6510.50	6879.33	USD	-55,324.50	
04OCT17	S	13	PD04JAN18	6510.00	6879.33	USD	-120,032.25	
06OCT17	B	115	PD04JAN18	6666.37	6879.33	USD	612,260.00	
	NET	0AVG BGHT 6633.80	SOLD 6513.44				-442,338.75	0.00
05OCT17	B	5	PD05JAN18	6527.50	6879.67	USD	44,021.25	
05OCT17	B	2	PD05JAN18	6523.50	6879.67	USD	17,808.50	
05OCT17	B	2	PD05JAN18	6523.00	6879.67	USD	17,833.50	
05OCT17	B	1	PD05JAN18	6531.00	6879.67	USD	8,716.75	
05OCT17	S	1	PD05JAN18	6531.50	6879.67	USD	-8,704.25	
05OCT17	S	2	PD05JAN18	6530.00	6879.67	USD	-17,483.50	
05OCT17	B	1	PD05JAN18	6533.00	6879.67	USD	8,666.75	
05OCT17	B	1	PD05JAN18	6532.50	6879.67	USD	8,679.25	
05OCT17	S	1	PD05JAN18	6532.50	6879.67	USD	-8,679.25	
05OCT17	S	1	PD05JAN18	6532.00	6879.67	USD	-8,691.75	
05OCT17	S	3	PD05JAN18	6533.00	6879.67	USD	-26,000.25	
05OCT17	B	1	PD05JAN18	6561.50	6879.67	USD	7,954.25	
05OCT17	B	1	PD05JAN18	6563.00	6879.67	USD	7,916.75	
05OCT17	B	3	PD05JAN18	6555.50	6879.67	USD	24,312.75	
05OCT17	B	3	PD05JAN18	6553.00	6879.67	USD	24,500.25	
05OCT17	B	7	PD05JAN18	6556.00	6879.67	USD	56,642.25	
05OCT17	B	1	PD05JAN18	6554.50	6879.67	USD	8,129.25	
05OCT17	B	14	PD05JAN18	6554.00	6879.67	USD	113,984.50	
05OCT17	B	4	PD05JAN18	6555.00	6879.67	USD	32,467.00	
05OCT17	B	1	PD05JAN18	6552.00	6879.67	USD	8,191.75	
05OCT17	B	1	PD05JAN18	6562.00	6879.67	USD	7,941.75	
05OCT17	B	5	PD05JAN18	6561.00	6879.67	USD	39,833.75	
05OCT17	B	4	PD05JAN18	6558.50	6879.67	USD	32,117.00	
05OCT17	B	3	PD05JAN18	6558.00	6879.67	USD	24,125.25	
05OCT17	B	10	PD05JAN18	6557.00	6879.67	USD	80,667.50	
05OCT17	B	8	PD05JAN18	6559.00	6879.67	USD	64,134.00	
05OCT17	B	12	PD05JAN18	6560.00	6879.67	USD	95,901.00	
05OCT17	S	1	PD05JAN18	6561.50	6879.67	USD	-7,954.25	
05OCT17	S	1	PD05JAN18	6562.50	6879.67	USD	-7,929.25	
05OCT17	B	3	PD05JAN18	6556.50	6879.67	USD	24,237.75	
05OCT17	B	1	PD05JAN18	6557.50	6879.67	USD	8,054.25	
05OCT17	B	1	PD05JAN18	6522.00	6879.67	USD	8,941.75	
05OCT17	B	1	PD05JAN18	6556.50	6879.67	USD	8,079.25	
05OCT17	B	2	PD05JAN18	6556.00	6879.67	USD	16,183.50	
05OCT17	B	2	PD05JAN18	6562.00	6879.67	USD	15,883.50	
05OCT17	S	2	PD05JAN18	6550.00	6879.67	USD	-16,483.50	
05OCT17	S	2	PD05JAN18	6551.00	6879.67	USD	-16,433.50	
05OCT17	S	1	PD05JAN18	6552.00	6879.67	USD	-8,191.75	
05OCT17	B	3	PD05JAN18	6605.00	6879.67	USD	20,600.25	
05OCT17	B	1	PD05JAN18	6604.00	6879.67	USD	6,891.75	
05OCT17	B	1	PD05JAN18	6613.00	6879.67	USD	6,666.75	

OPEN POSITION STATEMENT



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13th October 2017

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backoffice@sapiencap.com

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
05OCT17	B	1	PD05JAN18	6617.50	6879.67	USD	6,554.25	
05OCT17	B	1	PD05JAN18	6618.50	6879.67	USD	6,529.25	
05OCT17	B	1	PD05JAN18	6615.00	6879.67	USD	6,616.75	
05OCT17	B	2	PD05JAN18	6616.50	6879.67	USD	13,158.50	
05OCT17	B	1	PD05JAN18	6623.00	6879.67	USD	6,416.75	
05OCT17	B	1	PD05JAN18	6622.00	6879.67	USD	6,441.75	
05OCT17	B	1	PD05JAN18	6620.00	6879.67	USD	6,491.75	
05OCT17	B	1	PD05JAN18	6628.50	6879.67	USD	6,279.25	
05OCT17	B	1	PD05JAN18	6632.00	6879.67	USD	6,191.75	
05OCT17	B	1	PD05JAN18	6630.50	6879.67	USD	6,229.25	
05OCT17	S	1	PD05JAN18	6628.50	6879.67	USD	-6,279.25	
05OCT17	B	1	PD05JAN18	6631.00	6879.67	USD	6,216.75	
05OCT17	B	1	PD05JAN18	6629.50	6879.67	USD	6,254.25	
05OCT17	B	1	PD05JAN18	6631.50	6879.67	USD	6,204.25	
05OCT17	B	5	PD05JAN18	6630.00	6879.67	USD	31,208.75	
05OCT17	B	1	PD05JAN18	6632.50	6879.67	USD	6,179.25	
05OCT17	B	4	PD05JAN18	6638.50	6879.67	USD	24,117.00	
05OCT17	B	2	PD05JAN18	6635.00	6879.67	USD	12,233.50	
05OCT17	B	2	PD05JAN18	6643.50	6879.67	USD	11,808.50	
05OCT17	S	1	PD05JAN18	6642.50	6879.67	USD	-5,929.25	
05OCT17	B	1	PD05JAN18	6643.00	6879.67	USD	5,916.75	
05OCT17	B	1	PD05JAN18	6645.00	6879.67	USD	5,866.75	
05OCT17	B	2	PD05JAN18	6668.00	6879.67	USD	10,583.50	
05OCT17	B	3	PD05JAN18	6669.50	6879.67	USD	15,762.75	
05OCT17	B	1	PD05JAN18	6684.50	6879.67	USD	4,879.25	
05OCT17	B	1	PD05JAN18	6688.00	6879.67	USD	4,791.75	
05OCT17	S	1	PD05JAN18	6686.00	6879.67	USD	-4,841.75	
05OCT17	S	1	PD05JAN18	6684.00	6879.67	USD	-4,891.75	
05OCT17	B	1	PD05JAN18	6681.50	6879.67	USD	4,954.25	
05OCT17	S	1	PD05JAN18	6676.00	6879.67	USD	-5,091.75	
05OCT17	B	2	PD05JAN18	6690.00	6879.67	USD	9,483.50	
05OCT17	B	2	PD05JAN18	6690.50	6879.67	USD	9,458.50	
05OCT17	B	1	PD05JAN18	6693.00	6879.67	USD	4,666.75	
05OCT17	B	3	PD05JAN18	6693.50	6879.67	USD	13,962.75	
05OCT17	B	1	PD05JAN18	6694.00	6879.67	USD	4,641.75	
05OCT17	B	3	PD05JAN18	6692.00	6879.67	USD	14,075.25	
05OCT17	B	2	PD05JAN18	6689.00	6879.67	USD	9,533.50	
05OCT17	B	2	PD05JAN18	6683.50	6879.67	USD	9,808.50	
05OCT17	B	1	PD05JAN18	6682.50	6879.67	USD	4,929.25	
05OCT17	B	2	PD05JAN18	6684.00	6879.67	USD	9,783.50	
05OCT17	B	4	PD05JAN18	6682.00	6879.67	USD	19,767.00	
05OCT17	B	2	PD05JAN18	6680.00	6879.67	USD	9,983.50	
05OCT17	B	1	PD05JAN18	6675.00	6879.67	USD	5,116.75	
05OCT17	B	1	PD05JAN18	6676.00	6879.67	USD	5,091.75	
05OCT17	B	1	PD05JAN18	6676.50	6879.67	USD	5,079.25	
05OCT17	B	1	PD05JAN18	6620.50	6879.67	USD	6,479.25	
05OCT17	B	1	PD05JAN18	6620.00	6879.67	USD	6,491.75	
05OCT17	B	4	PD05JAN18	6686.00	6879.67	USD	19,367.00	
05OCT17	B	3	PD05JAN18	6680.00	6879.67	USD	14,975.25	
06OCT17	S	1	PD05JAN18	6691.00	6879.67	USD	-4,716.75	
06OCT17	S	4	PD05JAN18	6692.50	6879.67	USD	-18,717.00	
06OCT17	S	1	PD05JAN18	6711.50	6879.67	USD	-4,204.25	
06OCT17	S	2	PD05JAN18	6712.50	6879.67	USD	-8,358.50	
06OCT17	S	2	PD05JAN18	6712.00	6879.67	USD	-8,383.50	
06OCT17	S	4	PD05JAN18	6710.50	6879.67	USD	-16,917.00	
06OCT17	S	1	PD05JAN18	6709.50	6879.67	USD	-4,254.25	
06OCT17	S	2	PD05JAN18	6701.00	6879.67	USD	-8,933.50	

OPEN POSITION STATEMENT



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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
06OCT17	S	7	PD05JAN18	6706.50	6879.67	USD	-30,304.75	
06OCT17	S	2	PD05JAN18	6705.50	6879.67	USD	-8,708.50	
06OCT17	S	2	PD05JAN18	6714.00	6879.67	USD	-8,283.50	
06OCT17	S	1	PD05JAN18	6713.50	6879.67	USD	-4,154.25	
06OCT17	S	1	PD05JAN18	6713.00	6879.67	USD	-4,166.75	
06OCT17	S	1	PD05JAN18	6724.00	6879.67	USD	-3,891.75	
06OCT17	S	1	PD05JAN18	6723.50	6879.67	USD	-3,904.25	
06OCT17	S	4	PD05JAN18	6723.00	6879.67	USD	-15,667.00	
06OCT17	S	1	PD05JAN18	6721.00	6879.67	USD	-3,966.75	
06OCT17	S	1	PD05JAN18	6722.00	6879.67	USD	-3,941.75	
06OCT17	S	1	PD05JAN18	6719.50	6879.67	USD	-4,004.25	
06OCT17	S	2	PD05JAN18	6714.50	6879.67	USD	-8,258.50	
06OCT17	S	2	PD05JAN18	6708.00	6879.67	USD	-8,583.50	
06OCT17	S	3	PD05JAN18	6708.50	6879.67	USD	-12,837.75	
06OCT17	S	3	PD05JAN18	6706.00	6879.67	USD	-13,025.25	
06OCT17	S	2	PD05JAN18	6707.00	6879.67	USD	-8,633.50	
06OCT17	S	3	PD05JAN18	6702.50	6879.67	USD	-13,287.75	
06OCT17	B	1	PD05JAN18	6701.00	6879.67	USD	4,466.75	
06OCT17	B	1	PD05JAN18	6708.50	6879.67	USD	4,279.25	
06OCT17	B	2	PD05JAN18	6710.00	6879.67	USD	8,483.50	
06OCT17	B	1	PD05JAN18	6714.50	6879.67	USD	4,129.25	
06OCT17	B	1	PD05JAN18	6713.50	6879.67	USD	4,154.25	
06OCT17	B	2	PD05JAN18	6711.00	6879.67	USD	8,433.50	
06OCT17	B	2	PD05JAN18	6708.00	6879.67	USD	8,583.50	
06OCT17	B	2	PD05JAN18	6707.00	6879.67	USD	8,633.50	
06OCT17	B	1	PD05JAN18	6709.00	6879.67	USD	4,266.75	
06OCT17	B	5	PD05JAN18	6710.50	6879.67	USD	21,146.25	
06OCT17	B	4	PD05JAN18	6707.50	6879.67	USD	17,217.00	
06OCT17	B	1	PD05JAN18	6706.50	6879.67	USD	4,329.25	
06OCT17	B	1	PD05JAN18	6700.00	6879.67	USD	4,491.75	
06OCT17	B	2	PD05JAN18	6700.50	6879.67	USD	8,958.50	
06OCT17	B	4	PD05JAN18	6699.50	6879.67	USD	18,017.00	
06OCT17	B	1	PD05JAN18	6699.00	6879.67	USD	4,516.75	
06OCT17	B	1	PD05JAN18	6693.50	6879.67	USD	4,654.25	
06OCT17	B	2	PD05JAN18	6698.00	6879.67	USD	9,083.50	
06OCT17	B	3	PD05JAN18	6690.00	6879.67	USD	14,225.25	
06OCT17	B	1	PD05JAN18	6688.00	6879.67	USD	4,791.75	
06OCT17	B	1	PD05JAN18	6686.50	6879.67	USD	4,829.25	
06OCT17	B	2	PD05JAN18	6685.00	6879.67	USD	9,733.50	
06OCT17	S	1	PD05JAN18	6712.50	6879.67	USD	-4,179.25	
06OCT17	B	1	PD05JAN18	6699.00	6879.67	USD	4,516.75	
06OCT17	B	2	PD05JAN18	6685.50	6879.67	USD	9,708.50	
06OCT17	B	1	PD05JAN18	6678.50	6879.67	USD	5,029.25	
06OCT17	S	2	PD05JAN18	6675.00	6879.67	USD	-10,233.50	
06OCT17	S	1	PD05JAN18	6676.00	6879.67	USD	-5,091.75	
06OCT17	S	1	PD05JAN18	6670.00	6879.67	USD	-5,241.75	
06OCT17	S	1	PD05JAN18	6667.00	6879.67	USD	-5,316.75	
06OCT17	S	3	PD05JAN18	6660.50	6879.67	USD	-16,437.75	
06OCT17	S	1	PD05JAN18	6662.00	6879.67	USD	-5,441.75	
06OCT17	S	6	PD05JAN18	6661.00	6879.67	USD	-32,800.50	
06OCT17	S	3	PD05JAN18	6661.50	6879.67	USD	-16,362.75	
06OCT17	S	4	PD05JAN18	6674.50	6879.67	USD	-20,517.00	
06OCT17	B	1	PD05JAN18	6659.00	6879.67	USD	5,516.75	
06OCT17	B	1	PD05JAN18	6658.50	6879.67	USD	5,529.25	
06OCT17	S	1	PD05JAN18	6658.50	6879.67	USD	-5,529.25	
06OCT17	S	1	PD05JAN18	6659.00	6879.67	USD	-5,516.75	
06OCT17	S	1	PD05JAN18	6666.50	6879.67	USD	-5,329.25	

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+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
06OCT17	S	1	PD05JAN18	6660.50	6879.67	USD	-5,479.25	
06OCT17	S	1	PD05JAN18	6663.00	6879.67	USD	-5,416.75	
06OCT17	B	1	PD05JAN18	6662.50	6879.67	USD	5,429.25	
06OCT17	S	3	PD05JAN18	6665.50	6879.67	USD	-16,062.75	
06OCT17	S	4	PD05JAN18	6655.00	6879.67	USD	-22,467.00	
06OCT17	S	2	PD05JAN18	6655.50	6879.67	USD	-11,208.50	
06OCT17	S	1	PD05JAN18	6667.50	6879.67	USD	-5,304.25	
06OCT17	S	1	PD05JAN18	6668.00	6879.67	USD	-5,291.75	
06OCT17	B	1	PD05JAN18	6663.00	6879.67	USD	5,416.75	
06OCT17	B	2	PD05JAN18	6662.00	6879.67	USD	10,883.50	
06OCT17	B	2	PD05JAN18	6660.00	6879.67	USD	10,983.50	
06OCT17	B	1	PD05JAN18	6659.50	6879.67	USD	5,504.25	
06OCT17	B	1	PD05JAN18	6657.50	6879.67	USD	5,554.25	
06OCT17	B	2	PD05JAN18	6656.50	6879.67	USD	11,158.50	
06OCT17	B	1	PD05JAN18	6653.50	6879.67	USD	5,654.25	
06OCT17	B	2	PD05JAN18	6654.00	6879.67	USD	11,283.50	
06OCT17	B	2	PD05JAN18	6652.00	6879.67	USD	11,383.50	
06OCT17	S	5	PD05JAN18	6652.00	6879.67	USD	-28,458.75	
06OCT17	S	5	PD05JAN18	6658.00	6879.67	USD	-27,708.75	
06OCT17	S	6	PD05JAN18	6660.00	6879.67	USD	-32,950.50	
06OCT17	S	5	PD05JAN18	6659.50	6879.67	USD	-27,521.25	
06OCT17	B	2	PD05JAN18	6667.00	6879.67	USD	10,633.50	
06OCT17	B	1	PD05JAN18	6666.50	6879.67	USD	5,329.25	
06OCT17	S	3	PD05JAN18	6665.00	6879.67	USD	-16,100.25	
06OCT17	S	8	PD05JAN18	6664.00	6879.67	USD	-43,134.00	
06OCT17	S	8	PD05JAN18	6663.00	6879.67	USD	-43,334.00	
06OCT17	S	3	PD05JAN18	6663.50	6879.67	USD	-16,212.75	
06OCT17	S	1	PD05JAN18	6662.50	6879.67	USD	-5,429.25	
06OCT17	S	1	PD05JAN18	6654.50	6879.67	USD	-5,629.25	
06OCT17	S	1	PD05JAN18	6667.00	6879.67	USD	-5,316.75	
06OCT17	S	1	PD05JAN18	6655.50	6879.67	USD	-5,604.25	
	NET	+85 AVG BGHT 6623.08	SOLD 6667.09				721,286.25	0.00
09OCT17	S	5	PD09JAN18	6679.50	6881.00	USD	-25,187.50	
09OCT17	S	5	PD09JAN18	6678.00	6881.00	USD	-25,375.00	
09OCT17	S	3	PD09JAN18	6676.00	6881.00	USD	-15,375.00	
09OCT17	S	2	PD09JAN18	6660.00	6881.00	USD	-11,050.00	
09OCT17	S	1	PD09JAN18	6658.00	6881.00	USD	-5,575.00	
09OCT17	S	1	PD09JAN18	6672.00	6881.00	USD	-5,225.00	
09OCT17	S	10	PD09JAN18	6678.50	6881.00	USD	-50,625.00	
09OCT17	S	6	PD09JAN18	6675.00	6881.00	USD	-30,900.00	
09OCT17	S	5	PD09JAN18	6677.50	6881.00	USD	-25,437.50	
09OCT17	S	2	PD09JAN18	6655.00	6881.00	USD	-11,300.00	
09OCT17	S	3	PD09JAN18	6656.00	6881.00	USD	-16,875.00	
09OCT17	S	6	PD09JAN18	6675.50	6881.00	USD	-30,825.00	
09OCT17	S	5	PD09JAN18	6677.00	6881.00	USD	-25,500.00	
09OCT17	S	1	PD09JAN18	6658.50	6881.00	USD	-5,562.50	
09OCT17	S	7	PD09JAN18	6657.50	6881.00	USD	-39,112.50	
09OCT17	S	3	PD09JAN18	6656.50	6881.00	USD	-16,837.50	
09OCT17	S	3	PD09JAN18	6655.50	6881.00	USD	-16,912.50	
09OCT17	S	1	PD09JAN18	6669.50	6881.00	USD	-5,287.50	
09OCT17	S	1	PD09JAN18	6670.50	6881.00	USD	-5,262.50	
09OCT17	S	5	PD09JAN18	6671.00	6881.00	USD	-26,250.00	
09OCT17	S	5	PD09JAN18	6670.00	6881.00	USD	-26,375.00	
09OCT17	S	7	PD09JAN18	6669.00	6881.00	USD	-37,100.00	
09OCT17	B	5	PD09JAN18	6658.00	6881.00	USD	27,875.00	
09OCT17	B	1	PD09JAN18	6659.50	6881.00	USD	5,537.50	
09OCT17	B	1	PD09JAN18	6661.50	6881.00	USD	5,487.50	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0097R

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
09OCT17	B	3	PD09JAN18	6660.50	6881.00	USD	16,537.50	
09OCT17	S	6	PD09JAN18	6657.00	6881.00	USD	-33,600.00	
09OCT17	S	2	PD09JAN18	6660.50	6881.00	USD	-11,025.00	
09OCT17	S	1	PD09JAN18	6666.50	6881.00	USD	-5,362.50	
09OCT17	S	9	PD09JAN18	6661.00	6881.00	USD	-49,500.00	
09OCT17	S	7	PD09JAN18	6661.50	6881.00	USD	-38,412.50	
09OCT17	S	1	PD09JAN18	6665.00	6881.00	USD	-5,400.00	
09OCT17	S	1	PD09JAN18	6654.00	6881.00	USD	-5,675.00	
09OCT17	S	1	PD09JAN18	6653.50	6881.00	USD	-5,687.50	
09OCT17	S	2	PD09JAN18	6654.50	6881.00	USD	-11,325.00	
09OCT17	S	1	PD09JAN18	6644.50	6881.00	USD	-5,912.50	
09OCT17	S	1	PD09JAN18	6642.50	6881.00	USD	-5,962.50	
09OCT17	S	2	PD09JAN18	6643.50	6881.00	USD	-11,875.00	
09OCT17	S	1	PD09JAN18	6652.50	6881.00	USD	-5,712.50	
09OCT17	S	2	PD09JAN18	6644.00	6881.00	USD	-11,850.00	
09OCT17	S	1	PD09JAN18	6646.00	6881.00	USD	-5,875.00	
09OCT17	S	2	PD09JAN18	6647.00	6881.00	USD	-11,700.00	
09OCT17	S	1	PD09JAN18	6649.00	6881.00	USD	-5,800.00	
09OCT17	B	1	PD09JAN18	6652.50	6881.00	USD	5,712.50	
09OCT17	B	2	PD09JAN18	6657.50	6881.00	USD	11,175.00	
09OCT17	B	2	PD09JAN18	6665.50	6881.00	USD	10,775.00	
09OCT17	S	2	PD09JAN18	6663.50	6881.00	USD	-10,875.00	
09OCT17	S	1	PD09JAN18	6659.50	6881.00	USD	-5,537.50	
09OCT17	S	2	PD09JAN18	6657.00	6881.00	USD	-11,200.00	
09OCT17	S	4	PD09JAN18	6662.50	6881.00	USD	-21,850.00	
09OCT17	S	3	PD09JAN18	6662.00	6881.00	USD	-16,425.00	
09OCT17	B	4	PD09JAN18	6668.50	6881.00	USD	21,250.00	
09OCT17	B	8	PD09JAN18	6667.50	6881.00	USD	42,700.00	
09OCT17	B	1	PD09JAN18	6667.00	6881.00	USD	5,350.00	
09OCT17	B	8	PD09JAN18	6669.00	6881.00	USD	42,400.00	
09OCT17	B	4	PD09JAN18	6668.00	6881.00	USD	21,300.00	
09OCT17	B	3	PD09JAN18	6675.00	6881.00	USD	15,450.00	
09OCT17	B	1	PD09JAN18	6673.50	6881.00	USD	5,187.50	
09OCT17	B	6	PD09JAN18	6674.50	6881.00	USD	30,975.00	
09OCT17	B	5	PD09JAN18	6674.00	6881.00	USD	25,875.00	
	NET	-85 AVG BGHT 6667.48	SOLD 6665.43				-460,925.00	0.00
10OCT17	S	1	PD10JAN18	6685.50	6881.33	USD	-4,895.75	
10OCT17	B	1	PD10JAN18	6681.50	6881.33	USD	4,995.75	
10OCT17	B	1	PD10JAN18	6682.00	6881.33	USD	4,983.25	
10OCT17	B	2	PD10JAN18	6693.50	6881.33	USD	9,391.50	
10OCT17	B	1	PD10JAN18	6694.00	6881.33	USD	4,683.25	
10OCT17	B	1	PD10JAN18	6692.00	6881.33	USD	4,733.25	
10OCT17	B	3	PD10JAN18	6691.00	6881.33	USD	14,274.75	
10OCT17	B	1	PD10JAN18	6693.00	6881.33	USD	4,708.25	
10OCT17	S	5	PD10JAN18	6697.00	6881.33	USD	-23,041.25	
10OCT17	S	2	PD10JAN18	6700.50	6881.33	USD	-9,041.50	
10OCT17	S	1	PD10JAN18	6698.00	6881.33	USD	-4,583.25	
10OCT17	S	1	PD10JAN18	6696.50	6881.33	USD	-4,620.75	
10OCT17	B	1	PD10JAN18	6692.50	6881.33	USD	4,720.75	
10OCT17	S	2	PD10JAN18	6689.50	6881.33	USD	-9,591.50	
10OCT17	S	2	PD10JAN18	6688.50	6881.33	USD	-9,641.50	
10OCT17	S	3	PD10JAN18	6686.50	6881.33	USD	-14,612.25	
10OCT17	S	2	PD10JAN18	6682.50	6881.33	USD	-9,941.50	
10OCT17	S	3	PD10JAN18	6683.50	6881.33	USD	-14,837.25	
10OCT17	S	4	PD10JAN18	6684.50	6881.33	USD	-19,683.00	
10OCT17	S	1	PD10JAN18	6688.00	6881.33	USD	-4,833.25	
10OCT17	S	1	PD10JAN18	6689.00	6881.33	USD	-4,808.25	

OPEN POSITION STATEMENT



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SAP0097R

13th October 2017

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
10OCT17	S	2	PD10JAN18	6690.00	6881.33	USD	-9,566.50	
10OCT17	S	1	PD10JAN18	6690.50	6881.33	USD	-4,770.75	
10OCT17	S	7	PD10JAN18	6691.50	6881.33	USD	-33,220.25	
10OCT17	S	4	PD10JAN18	6694.50	6881.33	USD	-18,683.00	
10OCT17	S	5	PD10JAN18	6695.50	6881.33	USD	-23,228.75	
10OCT17	S	4	PD10JAN18	6692.50	6881.33	USD	-18,883.00	
10OCT17	S	1	PD10JAN18	6696.00	6881.33	USD	-4,633.25	
10OCT17	S	10	PD10JAN18	6693.50	6881.33	USD	-46,957.50	
10OCT17	S	3	PD10JAN18	6694.00	6881.33	USD	-14,049.75	
10OCT17	S	4	PD10JAN18	6693.00	6881.33	USD	-18,833.00	
10OCT17	S	5	PD10JAN18	6698.50	6881.33	USD	-22,853.75	
10OCT17	B	1	PD10JAN18	6721.50	6881.33	USD	3,995.75	
10OCT17	B	4	PD10JAN18	6724.00	6881.33	USD	15,733.00	
10OCT17	B	2	PD10JAN18	6722.50	6881.33	USD	7,941.50	
10OCT17	B	5	PD10JAN18	6723.00	6881.33	USD	19,791.25	
10OCT17	B	2	PD10JAN18	6723.50	6881.33	USD	7,891.50	
10OCT17	B	6	PD10JAN18	6728.00	6881.33	USD	22,999.50	
10OCT17	B	1	PD10JAN18	6726.50	6881.33	USD	3,870.75	
10OCT17	B	2	PD10JAN18	6725.00	6881.33	USD	7,816.50	
10OCT17	B	2	PD10JAN18	6726.00	6881.33	USD	7,766.50	
10OCT17	B	2	PD10JAN18	6727.00	6881.33	USD	7,716.50	
10OCT17	B	3	PD10JAN18	6728.50	6881.33	USD	11,462.25	
10OCT17	B	1	PD10JAN18	6738.00	6881.33	USD	3,583.25	
10OCT17	B	2	PD10JAN18	6739.50	6881.33	USD	7,091.50	
10OCT17	B	1	PD10JAN18	6738.50	6881.33	USD	3,570.75	
10OCT17	B	2	PD10JAN18	6737.50	6881.33	USD	7,191.50	
10OCT17	B	2	PD10JAN18	6736.50	6881.33	USD	7,241.50	
10OCT17	B	1	PD10JAN18	6735.50	6881.33	USD	3,645.75	
10OCT17	B	1	PD10JAN18	6741.50	6881.33	USD	3,495.75	
10OCT17	B	4	PD10JAN18	6742.50	6881.33	USD	13,883.00	
10OCT17	B	1	PD10JAN18	6741.00	6881.33	USD	3,508.25	
10OCT17	B	6	PD10JAN18	6739.00	6881.33	USD	21,349.50	
10OCT17	B	9	PD10JAN18	6740.00	6881.33	USD	31,799.25	
10OCT17	B	1	PD10JAN18	6737.00	6881.33	USD	3,608.25	
10OCT17	S	1	PD10JAN18	6696.00	6881.33	USD	-4,633.25	
10OCT17	S	1	PD10JAN18	6697.00	6881.33	USD	-4,608.25	
10OCT17	B	1	PD10JAN18	6748.00	6881.33	USD	3,333.25	
10OCT17	B	2	PD10JAN18	6749.50	6881.33	USD	6,591.50	
10OCT17	B	4	PD10JAN18	6750.00	6881.33	USD	13,133.00	
10OCT17	B	2	PD10JAN18	6749.00	6881.33	USD	6,616.50	
10OCT17	B	1	PD10JAN18	6748.50	6881.33	USD	3,320.75	
10OCT17	B	2	PD10JAN18	6747.50	6881.33	USD	6,691.50	
10OCT17	B	1	PD10JAN18	6753.50	6881.33	USD	3,195.75	
10OCT17	B	1	PD10JAN18	6756.00	6881.33	USD	3,133.25	
10OCT17	B	1	PD10JAN18	6744.50	6881.33	USD	3,420.75	
10OCT17	B	2	PD10JAN18	6743.50	6881.33	USD	6,891.50	
10OCT17	B	2	PD10JAN18	6745.00	6881.33	USD	6,816.50	
10OCT17	S	1	PD10JAN18	6748.50	6881.33	USD	-3,320.75	
10OCT17	S	1	PD10JAN18	6749.00	6881.33	USD	-3,308.25	
10OCT17	B	1	PD10JAN18	6751.50	6881.33	USD	3,245.75	
10OCT17	B	1	PD10JAN18	6752.00	6881.33	USD	3,233.25	
10OCT17	S	1	PD10JAN18	6755.00	6881.33	USD	-3,158.25	
10OCT17	S	5	PD10JAN18	6747.00	6881.33	USD	-16,791.25	
10OCT17	S	5	PD10JAN18	6746.00	6881.33	USD	-16,916.25	
10OCT17	S	2	PD10JAN18	6746.50	6881.33	USD	-6,741.50	
10OCT17	S	1	PD10JAN18	6739.50	6881.33	USD	-3,545.75	
10OCT17	S	2	PD10JAN18	6742.00	6881.33	USD	-6,966.50	

OPEN POSITION STATEMENT



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SAP0097R

13th October 2017

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
10OCT17	S	2	PD10JAN18	6743.00	6881.33	USD	-6,916.50	
10OCT17	S	4	PD10JAN18	6740.50	6881.33	USD	-14,083.00	
10OCT17	S	4	PD10JAN18	6741.50	6881.33	USD	-13,983.00	
10OCT17	S	2	PD10JAN18	6740.00	6881.33	USD	-7,066.50	
10OCT17	S	1	PD10JAN18	6741.00	6881.33	USD	-3,508.25	
10OCT17	S	4	PD10JAN18	6742.50	6881.33	USD	-13,883.00	
10OCT17	S	1	PD10JAN18	6743.50	6881.33	USD	-3,445.75	
10OCT17	S	2	PD10JAN18	6744.00	6881.33	USD	-6,866.50	
10OCT17	S	4	PD10JAN18	6744.50	6881.33	USD	-13,683.00	
10OCT17	S	6	PD10JAN18	6748.00	6881.33	USD	-19,999.50	
10OCT17	S	3	PD10JAN18	6747.50	6881.33	USD	-10,037.25	
10OCT17	S	4	PD10JAN18	6747.00	6881.33	USD	-13,433.00	
10OCT17	S	1	PD10JAN18	6740.00	6881.33	USD	-3,533.25	
10OCT17	S	1	PD10JAN18	6741.50	6881.33	USD	-3,495.75	
	NET	-40	AVG BGHT 6731.19	SOLD 6714.79			-204,667.50	0.00
11OCT17	B	2	PD11JAN18	6729.00	6881.67	USD	7,633.50	
11OCT17	B	2	PD11JAN18	6731.50	6881.67	USD	7,508.50	
11OCT17	B	4	PD11JAN18	6732.00	6881.67	USD	14,967.00	
11OCT17	B	1	PD11JAN18	6735.50	6881.67	USD	3,654.25	
11OCT17	B	1	PD11JAN18	6725.50	6881.67	USD	3,904.25	
11OCT17	B	1	PD11JAN18	6726.50	6881.67	USD	3,879.25	
11OCT17	S	1	PD11JAN18	6733.50	6881.67	USD	-3,704.25	
11OCT17	S	1	PD11JAN18	6734.00	6881.67	USD	-3,691.75	
11OCT17	S	1	PD11JAN18	6735.50	6881.67	USD	-3,654.25	
11OCT17	B	2	PD11JAN18	6760.00	6881.67	USD	6,083.50	
11OCT17	B	2	PD11JAN18	6759.00	6881.67	USD	6,133.50	
11OCT17	B	1	PD11JAN18	6743.50	6881.67	USD	3,454.25	
11OCT17	B	9	PD11JAN18	6739.00	6881.67	USD	32,100.75	
11OCT17	B	1	PD11JAN18	6741.00	6881.67	USD	3,516.75	
11OCT17	B	13	PD11JAN18	6730.00	6881.67	USD	49,292.75	
11OCT17	S	3	PD11JAN18	6732.50	6881.67	USD	-11,187.75	
11OCT17	B	5	PD11JAN18	6737.50	6881.67	USD	18,021.25	
11OCT17	B	7	PD11JAN18	6737.00	6881.67	USD	25,317.25	
11OCT17	B	5	PD11JAN18	6738.50	6881.67	USD	17,896.25	
11OCT17	B	3	PD11JAN18	6739.50	6881.67	USD	10,662.75	
11OCT17	B	5	PD11JAN18	6734.00	6881.67	USD	18,458.75	
11OCT17	B	1	PD11JAN18	6729.00	6881.67	USD	3,816.75	
11OCT17	B	1	PD11JAN18	6732.00	6881.67	USD	3,741.75	
11OCT17	B	1	PD11JAN18	6730.00	6881.67	USD	3,791.75	
11OCT17	S	2	PD11JAN18	6732.50	6881.67	USD	-7,458.50	
11OCT17	B	2	PD11JAN18	6733.00	6881.67	USD	7,433.50	
11OCT17	B	4	PD11JAN18	6745.50	6881.67	USD	13,617.00	
11OCT17	B	2	PD11JAN18	6747.50	6881.67	USD	6,708.50	
11OCT17	B	3	PD11JAN18	6748.50	6881.67	USD	9,987.75	
11OCT17	B	4	PD11JAN18	6728.50	6881.67	USD	15,317.00	
11OCT17	B	10	PD11JAN18	6729.50	6881.67	USD	38,042.50	
11OCT17	B	5	PD11JAN18	6731.00	6881.67	USD	18,833.75	
11OCT17	B	8	PD11JAN18	6730.50	6881.67	USD	30,234.00	
11OCT17	B	7	PD11JAN18	6732.50	6881.67	USD	26,104.75	
11OCT17	B	1	PD11JAN18	6733.50	6881.67	USD	3,704.25	
11OCT17	B	3	PD11JAN18	6738.00	6881.67	USD	10,775.25	
11OCT17	B	1	PD11JAN18	6768.00	6881.67	USD	2,841.75	
11OCT17	B	2	PD11JAN18	6767.00	6881.67	USD	5,733.50	
11OCT17	B	1	PD11JAN18	6767.50	6881.67	USD	2,854.25	
11OCT17	B	3	PD11JAN18	6787.00	6881.67	USD	7,100.25	
11OCT17	B	1	PD11JAN18	6791.00	6881.67	USD	2,266.75	
11OCT17	B	2	PD11JAN18	6790.00	6881.67	USD	4,583.50	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0097R

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
11OCT17	B	1	PD11JAN18	6788.50	6881.67	USD	2,329.25	
11OCT17	B	2	PD11JAN18	6786.50	6881.67	USD	4,758.50	
11OCT17	B	1	PD11JAN18	6789.00	6881.67	USD	2,316.75	
11OCT17	B	1	PD11JAN18	6785.50	6881.67	USD	2,404.25	
11OCT17	B	5	PD11JAN18	6788.00	6881.67	USD	11,708.75	
11OCT17	B	1	PD11JAN18	6770.50	6881.67	USD	2,779.25	
11OCT17	B	2	PD11JAN18	6769.50	6881.67	USD	5,608.50	
11OCT17	B	1	PD11JAN18	6773.00	6881.67	USD	2,716.75	
11OCT17	B	1	PD11JAN18	6733.00	6881.67	USD	3,716.75	
11OCT17	B	1	PD11JAN18	6772.50	6881.67	USD	2,729.25	
11OCT17	B	1	PD11JAN18	6770.50	6881.67	USD	2,779.25	
11OCT17	B	2	PD11JAN18	6778.00	6881.67	USD	5,183.50	
11OCT17	B	9	PD11JAN18	6777.00	6881.67	USD	23,550.75	
11OCT17	B	1	PD11JAN18	6777.50	6881.67	USD	2,604.25	
11OCT17	B	3	PD11JAN18	6778.50	6881.67	USD	7,737.75	
11OCT17	B	6	PD11JAN18	6779.00	6881.67	USD	15,400.50	
11OCT17	B	2	PD11JAN18	6780.50	6881.67	USD	5,058.50	
11OCT17	B	1	PD11JAN18	6781.50	6881.67	USD	2,504.25	
11OCT17	B	4	PD11JAN18	6782.00	6881.67	USD	9,967.00	
11OCT17	B	2	PD11JAN18	6782.50	6881.67	USD	4,958.50	
11OCT17	B	6	PD11JAN18	6783.00	6881.67	USD	14,800.50	
11OCT17	B	1	PD11JAN18	6794.50	6881.67	USD	2,179.25	
11OCT17	B	4	PD11JAN18	6796.00	6881.67	USD	8,567.00	
11OCT17	B	1	PD11JAN18	6798.00	6881.67	USD	2,091.75	
11OCT17	B	1	PD11JAN18	6798.50	6881.67	USD	2,079.25	
11OCT17	B	1	PD11JAN18	6797.50	6881.67	USD	2,104.25	
11OCT17	S	2	PD11JAN18	6736.50	6881.67	USD	-7,258.50	
11OCT17	S	3	PD11JAN18	6739.50	6881.67	USD	-10,662.75	
11OCT17	S	1	PD11JAN18	6741.50	6881.67	USD	-3,504.25	
11OCT17	S	1	PD11JAN18	6744.00	6881.67	USD	-3,441.75	
11OCT17	S	1	PD11JAN18	6745.00	6881.67	USD	-3,416.75	
11OCT17	S	1	PD11JAN18	6748.00	6881.67	USD	-3,341.75	
11OCT17	S	1	PD11JAN18	6749.00	6881.67	USD	-3,316.75	
11OCT17	S	1	PD11JAN18	6749.50	6881.67	USD	-3,304.25	
11OCT17	S	1	PD11JAN18	6751.50	6881.67	USD	-3,254.25	
11OCT17	S	1	PD11JAN18	6752.50	6881.67	USD	-3,229.25	
11OCT17	S	1	PD11JAN18	6752.00	6881.67	USD	-3,241.75	
11OCT17	S	1	PD11JAN18	6753.50	6881.67	USD	-3,204.25	
11OCT17	S	1	PD11JAN18	6756.00	6881.67	USD	-3,141.75	
11OCT17	S	1	PD11JAN18	6759.00	6881.67	USD	-3,066.75	
11OCT17	S	1	PD11JAN18	6761.50	6881.67	USD	-3,004.25	
11OCT17	S	5	PD11JAN18	6758.00	6881.67	USD	-15,458.75	
11OCT17	S	3	PD11JAN18	6754.00	6881.67	USD	-9,575.25	
11OCT17	S	3	PD11JAN18	6753.00	6881.67	USD	-9,650.25	
11OCT17	S	1	PD11JAN18	6750.00	6881.67	USD	-3,291.75	
11OCT17	S	2	PD11JAN18	6751.00	6881.67	USD	-6,533.50	
11OCT17	S	2	PD11JAN18	6739.00	6881.67	USD	-7,133.50	
11OCT17	S	3	PD11JAN18	6738.00	6881.67	USD	-10,775.25	
11OCT17	S	2	PD11JAN18	6740.00	6881.67	USD	-7,083.50	
11OCT17	S	3	PD11JAN18	6742.00	6881.67	USD	-10,475.25	
11OCT17	S	2	PD11JAN18	6741.00	6881.67	USD	-7,033.50	
11OCT17	S	2	PD11JAN18	6803.00	6881.67	USD	-3,933.50	
11OCT17	S	1	PD11JAN18	6813.50	6881.67	USD	-1,704.25	
11OCT17	S	1	PD11JAN18	6811.50	6881.67	USD	-1,754.25	
11OCT17	S	1	PD11JAN18	6810.50	6881.67	USD	-1,779.25	
11OCT17	S	6	PD11JAN18	6813.00	6881.67	USD	-10,300.50	
11OCT17	S	1	PD11JAN18	6817.50	6881.67	USD	-1,604.25	

OPEN POSITION STATEMENT



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Date	Open Position				Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>								
11OCT17	S	1	PD11JAN18		6816.00	6881.67	USD	-1,641.75	
11OCT17	S	6	PD11JAN18		6814.00	6881.67	USD	-10,150.50	
11OCT17	S	2	PD11JAN18		6815.50	6881.67	USD	-3,308.50	
11OCT17	S	10	PD11JAN18		6816.50	6881.67	USD	-16,292.50	
11OCT17	B	1	PD11JAN18		6814.00	6881.67	USD	1,691.75	
11OCT17	S	3	PD11JAN18		6815.00	6881.67	USD	-5,000.25	
11OCT17	B	1	PD11JAN18		6815.50	6881.67	USD	1,654.25	
11OCT17	S	3	PD11JAN18		6809.50	6881.67	USD	-5,412.75	
	NET	+100 AVG BGHT 6753.43	SOLD 6773.81					365,975.00	0.00
12OCT17	S	2	PD12JAN18		6813.00	6882.00	USD	-3,450.00	
12OCT17	S	1	PD12JAN18		6816.50	6882.00	USD	-1,637.50	
12OCT17	S	2	PD12JAN18		6815.50	6882.00	USD	-3,325.00	
12OCT17	B	4	PD12JAN18		6815.50	6882.00	USD	6,650.00	
12OCT17	B	2	PD12JAN18		6811.00	6882.00	USD	3,550.00	
12OCT17	B	1	PD12JAN18		6812.50	6882.00	USD	1,737.50	
12OCT17	B	2	PD12JAN18		6813.50	6882.00	USD	3,425.00	
12OCT17	B	4	PD12JAN18		6814.50	6882.00	USD	6,750.00	
12OCT17	B	1	PD12JAN18		6818.00	6882.00	USD	1,600.00	
12OCT17	B	4	PD12JAN18		6819.00	6882.00	USD	6,300.00	
12OCT17	B	2	PD12JAN18		6817.50	6882.00	USD	3,225.00	
12OCT17	B	5	PD12JAN18		6816.00	6882.00	USD	8,250.00	
12OCT17	B	2	PD12JAN18		6825.00	6882.00	USD	2,850.00	
12OCT17	B	3	PD12JAN18		6824.50	6882.00	USD	4,312.50	
12OCT17	B	1	PD12JAN18		6823.50	6882.00	USD	1,462.50	
12OCT17	B	5	PD12JAN18		6840.00	6882.00	USD	5,250.00	
12OCT17	B	1	PD12JAN18		6842.50	6882.00	USD	987.50	
12OCT17	B	1	PD12JAN18		6838.50	6882.00	USD	1,087.50	
12OCT17	B	3	PD12JAN18		6836.50	6882.00	USD	3,412.50	
12OCT17	S	1	PD12JAN18		6848.50	6882.00	USD	-837.50	
12OCT17	S	3	PD12JAN18		6847.50	6882.00	USD	-2,587.50	
12OCT17	S	6	PD12JAN18		6843.00	6882.00	USD	-5,850.00	
12OCT17	S	2	PD12JAN18		6846.50	6882.00	USD	-1,775.00	
12OCT17	S	7	PD12JAN18		6845.50	6882.00	USD	-6,387.50	
12OCT17	S	1	PD12JAN18		6845.00	6882.00	USD	-925.00	
12OCT17	S	2	PD12JAN18		6844.50	6882.00	USD	-1,875.00	
12OCT17	B	1	PD12JAN18		6843.00	6882.00	USD	975.00	
12OCT17	S	2	PD12JAN18		6839.00	6882.00	USD	-2,150.00	
12OCT17	S	1	PD12JAN18		6835.00	6882.00	USD	-1,175.00	
12OCT17	S	2	PD12JAN18		6834.00	6882.00	USD	-2,400.00	
12OCT17	S	3	PD12JAN18		6837.00	6882.00	USD	-3,375.00	
12OCT17	S	3	PD12JAN18		6842.50	6882.00	USD	-2,962.50	
12OCT17	S	1	PD12JAN18		6840.50	6882.00	USD	-1,037.50	
12OCT17	B	4	PD12JAN18		6824.00	6882.00	USD	5,800.00	
12OCT17	B	2	PD12JAN18		6825.50	6882.00	USD	2,825.00	
12OCT17	B	1	PD12JAN18		6831.00	6882.00	USD	1,275.00	
12OCT17	S	1	PD12JAN18		6832.00	6882.00	USD	-1,250.00	
12OCT17	B	2	PD12JAN18		6837.00	6882.00	USD	2,250.00	
12OCT17	B	3	PD12JAN18		6836.00	6882.00	USD	3,450.00	
12OCT17	B	2	PD12JAN18		6835.50	6882.00	USD	2,325.00	
12OCT17	B	3	PD12JAN18		6834.50	6882.00	USD	3,562.50	
12OCT17	B	1	PD12JAN18		6833.00	6882.00	USD	1,225.00	
12OCT17	B	7	PD12JAN18		6843.50	6882.00	USD	6,737.50	
12OCT17	B	1	PD12JAN18		6842.00	6882.00	USD	1,000.00	
12OCT17	B	4	PD12JAN18		6841.00	6882.00	USD	4,100.00	
12OCT17	S	5	PD12JAN18		6857.00	6882.00	USD	-3,125.00	
12OCT17	S	2	PD12JAN18		6856.50	6882.00	USD	-1,275.00	
12OCT17	S	1	PD12JAN18		6861.50	6882.00	USD	-512.50	

OPEN POSITION STATEMENT



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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
12OCT17	S	1	PD12JAN18	6862.50	6882.00	USD	-487.50	
12OCT17	S	1	PD12JAN18	6859.00	6882.00	USD	-575.00	
12OCT17	S	2	PD12JAN18	6859.50	6882.00	USD	-1,125.00	
12OCT17	S	6	PD12JAN18	6860.50	6882.00	USD	-3,225.00	
12OCT17	S	2	PD12JAN18	6861.00	6882.00	USD	-1,050.00	
12OCT17	S	2	PD12JAN18	6857.50	6882.00	USD	-1,225.00	
12OCT17	S	2	PD12JAN18	6858.50	6882.00	USD	-1,175.00	
12OCT17	S	1	PD12JAN18	6858.00	6882.00	USD	-600.00	
12OCT17	S	5	PD12JAN18	6843.50	6882.00	USD	-4,812.50	
12OCT17	S	1	PD12JAN18	6844.50	6882.00	USD	-937.50	
12OCT17	S	1	PD12JAN18	6843.00	6882.00	USD	-975.00	
12OCT17	B	1	PD12JAN18	6857.50	6882.00	USD	612.50	
12OCT17	S	5	PD12JAN18	6868.50	6882.00	USD	-1,687.50	
12OCT17	B	1	PD12JAN18	6870.50	6882.00	USD	287.50	
12OCT17	B	3	PD12JAN18	6871.00	6882.00	USD	825.00	
12OCT17	B	7	PD12JAN18	6874.00	6882.00	USD	1,400.00	
12OCT17	B	8	PD12JAN18	6875.00	6882.00	USD	1,400.00	
12OCT17	B	3	PD12JAN18	6874.50	6882.00	USD	562.50	
12OCT17	B	1	PD12JAN18	6879.00	6882.00	USD	75.00	
12OCT17	B	1	PD12JAN18	6882.50	6882.00	USD	-12.50	
12OCT17	B	1	PD12JAN18	6883.50	6882.00	USD	-37.50	
12OCT17	B	3	PD12JAN18	6885.50	6882.00	USD	-262.50	
12OCT17	S	2	PD12JAN18	6884.50	6882.00	USD	125.00	
12OCT17	B	5	PD12JAN18	6894.50	6882.00	USD	-1,562.50	
12OCT17	B	1	PD12JAN18	6894.00	6882.00	USD	-300.00	
12OCT17	B	2	PD12JAN18	6889.50	6882.00	USD	-375.00	
12OCT17	S	5	PD12JAN18	6862.50	6882.00	USD	-2,437.50	
12OCT17	B	2	PD12JAN18	6886.50	6882.00	USD	-225.00	
12OCT17	S	1	PD12JAN18	6861.00	6882.00	USD	-525.00	
12OCT17	B	1	PD12JAN18	6874.50	6882.00	USD	187.50	
12OCT17	B	1	PD12JAN18	6881.50	6882.00	USD	12.50	
12OCT17	S	1	PD12JAN18	6897.00	6882.00	USD	375.00	
12OCT17	S	2	PD12JAN18	6898.00	6882.00	USD	800.00	
12OCT17	S	3	PD12JAN18	6895.50	6882.00	USD	1,012.50	
12OCT17	B	2	PD12JAN18	6901.00	6882.00	USD	-950.00	
12OCT17	B	1	PD12JAN18	6900.00	6882.00	USD	-450.00	
12OCT17	S	2	PD12JAN18	6892.50	6882.00	USD	525.00	
12OCT17	S	1	PD12JAN18	6889.00	6882.00	USD	175.00	
12OCT17	S	1	PD12JAN18	6890.00	6882.00	USD	200.00	
12OCT17	S	2	PD12JAN18	6883.50	6882.00	USD	75.00	
12OCT17	S	2	PD12JAN18	6883.00	6882.00	USD	50.00	
12OCT17	S	1	PD12JAN18	6880.00	6882.00	USD	-50.00	
12OCT17	S	2	PD12JAN18	6877.50	6882.00	USD	-225.00	
12OCT17	S	1	PD12JAN18	6875.00	6882.00	USD	-175.00	
12OCT17	S	1	PD12JAN18	6878.50	6882.00	USD	-87.50	
12OCT17	S	1	PD12JAN18	6874.50	6882.00	USD	-187.50	
12OCT17	S	1	PD12JAN18	6875.50	6882.00	USD	-162.50	
12OCT17	S	1	PD12JAN18	6874.00	6882.00	USD	-200.00	
12OCT17	S	1	PD12JAN18	6890.00	6882.00	USD	200.00	
13OCT17	S	2	PD12JAN18	6880.50	6882.00	USD	-75.00	
13OCT17	S	1	PD12JAN18	6884.50	6882.00	USD	62.50	
13OCT17	S	1	PD12JAN18	6885.50	6882.00	USD	87.50	
13OCT17	S	1	PD12JAN18	6889.50	6882.00	USD	187.50	
13OCT17	B	1	PD12JAN18	6908.00	6882.00	USD	-650.00	
13OCT17	B	4	PD12JAN18	6907.00	6882.00	USD	-2,500.00	
13OCT17	S	1	PD12JAN18	6900.50	6882.00	USD	462.50	
13OCT17	B	1	PD12JAN18	6893.50	6882.00	USD	-287.50	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
13OCT17	B	3	PD12JAN18	6886.50	6882.00	USD	-337.50	
13OCT17	B	2	PD12JAN18	6880.00	6882.00	USD	100.00	
13OCT17	B	1	PD12JAN18	6892.50	6882.00	USD	-262.50	
13OCT17	B	6	PD12JAN18	6891.00	6882.00	USD	-1,350.00	
13OCT17	B	1	PD12JAN18	6899.00	6882.00	USD	-425.00	
13OCT17	B	1	PD12JAN18	6904.50	6882.00	USD	-562.50	
13OCT17	S	1	PD12JAN18	6897.50	6882.00	USD	387.50	
13OCT17	S	2	PD12JAN18	6899.50	6882.00	USD	875.00	
13OCT17	S	1	PD12JAN18	6901.50	6882.00	USD	487.50	
13OCT17	S	2	PD12JAN18	6900.00	6882.00	USD	900.00	
13OCT17	S	4	PD12JAN18	6898.50	6882.00	USD	1,650.00	
13OCT17	S	1	PD12JAN18	6899.00	6882.00	USD	425.00	
13OCT17	B	2	PD12JAN18	6894.50	6882.00	USD	-625.00	
13OCT17	B	6	PD12JAN18	6887.00	6882.00	USD	-750.00	
13OCT17	B	7	PD12JAN18	6885.50	6882.00	USD	-612.50	
13OCT17	B	1	PD12JAN18	6884.50	6882.00	USD	-62.50	
13OCT17	B	1	PD12JAN18	6884.00	6882.00	USD	-50.00	
13OCT17	B	4	PD12JAN18	6883.00	6882.00	USD	-100.00	
13OCT17	S	1	PD12JAN18	6893.50	6882.00	USD	287.50	
13OCT17	S	2	PD12JAN18	6893.00	6882.00	USD	550.00	
13OCT17	S	1	PD12JAN18	6878.00	6882.00	USD	-100.00	
13OCT17	S	2	PD12JAN18	6876.00	6882.00	USD	-300.00	
13OCT17	B	2	PD12JAN18	6876.50	6882.00	USD	275.00	
13OCT17	B	2	PD12JAN18	6874.50	6882.00	USD	375.00	
13OCT17	S	1	PD12JAN18	6884.00	6882.00	USD	50.00	
13OCT17	S	1	PD12JAN18	6874.50	6882.00	USD	-187.50	
13OCT17	S	1	PD12JAN18	6875.00	6882.00	USD	-175.00	
13OCT17	B	5	PD12JAN18	6875.00	6882.00	USD	875.00	
13OCT17	B	2	PD12JAN18	6891.50	6882.00	USD	-475.00	
13OCT17	S	5	PD12JAN18	6886.00	6882.00	USD	500.00	
13OCT17	S	6	PD12JAN18	6886.50	6882.00	USD	675.00	
13OCT17	S	11	PD12JAN18	6887.00	6882.00	USD	1,375.00	
13OCT17	S	5	PD12JAN18	6888.00	6882.00	USD	750.00	
13OCT17	S	3	PD12JAN18	6889.00	6882.00	USD	525.00	
13OCT17	S	3	PD12JAN18	6888.50	6882.00	USD	487.50	
13OCT17	S	2	PD12JAN18	6891.00	6882.00	USD	450.00	
13OCT17	B	4	PD12JAN18	6889.50	6882.00	USD	-750.00	
13OCT17	S	5	PD12JAN18	6890.50	6882.00	USD	1,062.50	
13OCT17	S	8	PD12JAN18	6887.50	6882.00	USD	1,100.00	
13OCT17	S	5	PD12JAN18	6891.50	6882.00	USD	1,187.50	
13OCT17	B	1	PD12JAN18	6898.00	6882.00	USD	-400.00	
13OCT17	B	2	PD12JAN18	6895.00	6882.00	USD	-650.00	
13OCT17	B	1	PD12JAN18	6900.00	6882.00	USD	-450.00	
13OCT17	B	5	PD12JAN18	6914.50	6882.00	USD	-4,062.50	
13OCT17	B	1	PD12JAN18	6910.00	6882.00	USD	-700.00	
13OCT17	B	2	PD12JAN18	6913.00	6882.00	USD	-1,550.00	
13OCT17	B	1	PD12JAN18	6912.00	6882.00	USD	-750.00	
13OCT17	S	8	PD12JAN18	6896.00	6882.00	USD	2,800.00	
13OCT17	B	2	PD12JAN18	6877.00	6882.00	USD	250.00	
13OCT17	B	1	PD12JAN18	6869.00	6882.00	USD	325.00	
13OCT17	B	2	PD12JAN18	6872.00	6882.00	USD	500.00	
13OCT17	B	2	PD12JAN18	6874.00	6882.00	USD	400.00	
13OCT17	B	3	PD12JAN18	6864.50	6882.00	USD	1,312.50	
13OCT17	S	1	PD12JAN18	6882.50	6882.00	USD	12.50	
13OCT17	B	1	PD12JAN18	6910.00	6882.00	USD	-700.00	
13OCT17	S	2	PD12JAN18	6881.00	6882.00	USD	-50.00	
13OCT17	S	3	PD12JAN18	6883.00	6882.00	USD	75.00	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0097R

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
13OCT17	S	4	PD12JAN18	6882.50	6882.00	USD	50.00	
13OCT17	S	4	PD12JAN18	6885.00	6882.00	USD	300.00	
13OCT17	S	1	PD12JAN18	6880.00	6882.00	USD	-50.00	
13OCT17	S	1	PD12JAN18	6879.50	6882.00	USD	-62.50	
13OCT17	B	3	PD12JAN18	6881.00	6882.00	USD	75.00	
13OCT17	B	5	PD12JAN18	6881.50	6882.00	USD	62.50	
13OCT17	S	1	PD12JAN18	6879.00	6882.00	USD	-75.00	
13OCT17	S	2	PD12JAN18	6894.50	6882.00	USD	625.00	
13OCT17	S	3	PD12JAN18	6895.50	6882.00	USD	1,012.50	
13OCT17	B	2	PD12JAN18	6901.50	6882.00	USD	-975.00	
13OCT17	B	4	PD12JAN18	6901.00	6882.00	USD	-1,900.00	
13OCT17	B	2	PD12JAN18	6902.00	6882.00	USD	-1,000.00	
13OCT17	B	3	PD12JAN18	6898.50	6882.00	USD	-1,237.50	
13OCT17	B	3	PD12JAN18	6894.00	6882.00	USD	-900.00	
13OCT17	B	2	PD12JAN18	6890.50	6882.00	USD	-425.00	
13OCT17	B	2	PD12JAN18	6888.50	6882.00	USD	-325.00	
	NET	+5 AVG BGHT 6868.25	SOLD 6873.16				28,312.50	0.00
	<u>CMX COPPER 25,000 CMX</u>							
11OCT17	S	9	DEC17	305.650	313.350	USD	-17,325.00	
11OCT17	S	10	DEC17	305.750	313.350	USD	-19,000.00	
11OCT17	S	3	DEC17	305.800	313.350	USD	-5,662.50	
11OCT17	S	5	DEC17	305.700	313.350	USD	-9,562.50	
11OCT17	S	3	DEC17	305.850	313.350	USD	-5,625.00	
11OCT17	S	7	DEC17	306.000	313.350	USD	-12,862.50	
11OCT17	S	2	DEC17	307.400	313.350	USD	-2,975.00	
11OCT17	S	2	DEC17	307.450	313.350	USD	-2,950.00	
11OCT17	S	2	DEC17	307.350	313.350	USD	-3,000.00	
11OCT17	S	5	DEC17	307.300	313.350	USD	-7,562.50	
11OCT17	S	1	DEC17	307.800	313.350	USD	-1,387.50	
11OCT17	S	8	DEC17	307.550	313.350	USD	-11,600.00	
11OCT17	S	3	DEC17	307.700	313.350	USD	-4,237.50	
11OCT17	S	19	DEC17	307.850	313.350	USD	-26,125.00	
11OCT17	S	2	DEC17	308.400	313.350	USD	-2,475.00	
11OCT17	S	4	DEC17	308.450	313.350	USD	-4,900.00	
11OCT17	S	11	DEC17	308.350	313.350	USD	-13,750.00	
11OCT17	S	6	DEC17	308.250	313.350	USD	-7,650.00	
11OCT17	S	12	DEC17	308.300	313.350	USD	-15,150.00	
11OCT17	S	7	DEC17	308.650	313.350	USD	-8,225.00	
11OCT17	S	7	DEC17	307.950	313.350	USD	-9,450.00	
11OCT17	S	19	DEC17	307.900	313.350	USD	-25,887.50	
11OCT17	S	12	DEC17	308.050	313.350	USD	-15,900.00	
11OCT17	S	7	DEC17	308.100	313.350	USD	-9,187.50	
11OCT17	S	14	DEC17	308.150	313.350	USD	-18,200.00	
11OCT17	S	2	DEC17	308.700	313.350	USD	-2,325.00	
11OCT17	S	2	DEC17	308.750	313.350	USD	-2,300.00	
11OCT17	S	2	DEC17	308.900	313.350	USD	-2,225.00	
11OCT17	S	2	DEC17	308.850	313.350	USD	-2,250.00	
11OCT17	S	3	DEC17	308.800	313.350	USD	-3,412.50	
11OCT17	S	11	DEC17	309.550	313.350	USD	-10,450.00	
12OCT17	S	8	DEC17	313.200	313.350	USD	-300.00	
12OCT17	S	4	DEC17	312.950	313.350	USD	-400.00	
12OCT17	S	4	DEC17	313.400	313.350	USD	50.00	
12OCT17	S	2	DEC17	313.350	313.350	USD	0.00	
	NET	-220 AVG SOLD 308.182					-284,262.50	0.00

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0097R

13th October 2017

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Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
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OPEN POSITION VALUE

		<u>USD</u>
LME Copper Grade A 25	FUTURES	-17,461,225.75
CMX Copper	FUTURES	16,949,987.50

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options	Amount	Profit / Loss	Total Amount	Total P&L
COPR - Futures USD	-284,262.50	-284,262.50	-284,262.50	-284,262.50
Unrealised Profits & Losses - Forwards	Amount	Profit / Loss	Total Amount	Total P&L
COPL - Futures USD	-262,082.00	-262,082.00	-262,082.00	-262,082.00

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	577,533.27	577,533.27
Variation Margins - Futures	-284,262.50	-284,262.50
- Options	0.00	0.00
TOTAL EQUITY	293,270.77	293,270.77
Initial Margins	-2,225,863.00	-2,225,863.00
Excess/(Shortage)	-1,932,592.23	-1,932,592.23
FOR INFORMATION ONLY		
Forward Unrealised P&L	-262,082.00	-262,082.00
Net Liquidating Value	31,188.77	31,188.77

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED
SAP0097 ARBS
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

GROUP NO: **SAPAB097**

13th Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343
Authorised and regulated by the Australian Prudential
Regulation Authority. Authorised by the Prudential
Regulation Authority. Subject to regulation by the Financial
Conduct Authority and limited regulation by the
Prudential Regulation Authority. Details about the extent
of our regulation by the Prudential Regulation Authority are
available from us on request.

GROUP FINANCIAL SUMMARY

		USD	NET USD
		(1.00000)	(1.00000)
CASH BALANCE		2,455,594.36	2,455,594.36
Variation Margins	- Futures	-379,147.50	-379,147.50
	- Options	0.00	0.00
TOTAL EQUITY		2,076,446.86	2,076,446.86
Initial Margins		-2,925,119.50	-2,925,119.50
Excess/(Shortage)		-848,672.64	-848,672.64
<u>FOR INFORMATION ONLY</u>			
Forward Unrealised P&L		738,903.50	738,903.50
Net Liquidating Value		2,815,350.36	2,815,350.36

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0101A

13th October 2017

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
17JUL17	S	5	PD17OCT17	5988.00	6859.00	USD	-108,875.00	
17JUL17	S	5	PD17OCT17	5985.00	6859.00	USD	-109,250.00	
17JUL17	S	5	PD17OCT17	5989.00	6859.00	USD	-108,750.00	
17JUL17	S	5	PD17OCT17	5987.00	6859.00	USD	-109,000.00	
07AUG17	B	20	PD17OCT17	6408.70	6859.00	USD	225,150.00	
	NET	0 AVG	BGHT 6408.70	SOLD 5987.25			-210,725.00	0.00
25JUL17	S	5	PD25OCT17	6153.00	6857.50	USD	-88,062.50	
07AUG17	B	5	PD25OCT17	6408.84	6857.50	USD	56,082.50	
	NET	0 AVG	BGHT 6408.84	SOLD 6153.00			-31,980.00	0.00
26SEP17	B	20	PD30OCT17	6382.06	6857.50	USD	237,720.00	
06OCT17	S	5	PD30OCT17	6684.82	6857.50	USD	-21,585.00	
12OCT17	S	5	PD30OCT17	6868.25	6857.50	USD	1,343.75	
12OCT17	S	5	PD30OCT17	6861.39	6857.50	USD	486.25	
12OCT17	S	5	PD30OCT17	6864.06	6857.50	USD	820.00	
	NET	0 AVG	BGHT 6382.06	SOLD 6819.63			218,785.00	0.00
04AUG17	B	5	PD03NOV17	6323.00	6860.59	USD	67,198.75	
04AUG17	S	3	PD03NOV17	6356.00	6860.59	USD	-37,844.25	
04AUG17	S	2	PD03NOV17	6356.50	6860.59	USD	-25,204.50	
	NET	0 AVG	BGHT 6323.00	SOLD 6356.20			4,150.00	0.00
15AUG17	B	3	PD15NOV17	6361.50	6864.00	USD	37,687.50	
15AUG17	B	5	PD15NOV17	6366.00	6864.00	USD	62,250.00	
15AUG17	B	2	PD15NOV17	6360.50	6864.00	USD	25,175.00	
	NET	+10 AVG	BGHT 6363.55				125,112.50	0.00
16AUG17	S	5	PD16NOV17	6444.00	6863.00	USD	-52,375.00	
16AUG17	S	5	PD16NOV17	6444.50	6863.00	USD	-52,312.50	
23AUG17	B	10	PD16NOV17	6563.70	6863.00	USD	74,825.00	
	NET	0 AVG	BGHT 6563.70	SOLD 6444.25			-29,862.50	0.00
17AUG17	B	50	PD17NOV17	6490.20	6863.47	USD	466,587.50	
18AUG17	B	50	PD17NOV17	6486.20	6863.47	USD	471,587.50	
	NET	+100 AVG	BGHT 6488.20				938,175.00	0.00
22AUG17	B	100	PD22NOV17	6580.20	6865.80	USD	714,000.00	
	NET	+100 AVG	BGHT 6580.20				714,000.00	0.00
23AUG17	B	90	PD24NOV17	6565.20	6866.73	USD	678,442.50	
24AUG17	S	5	PD24NOV17	6701.00	6866.73	USD	-20,716.25	
24AUG17	B	5	PD24NOV17	6690.00	6866.73	USD	22,091.25	
24AUG17	B	11	PD24NOV17	6608.15	6866.73	USD	71,109.50	
24AUG17	B	20	PD24NOV17	6615.65	6866.73	USD	125,540.00	
25AUG17	S	5	PD24NOV17	6727.00	6866.73	USD	-17,466.25	
25AUG17	B	5	PD24NOV17	6717.00	6866.73	USD	18,716.25	
25AUG17	B	5	PD24NOV17	6684.00	6866.73	USD	22,841.25	
25AUG17	S	5	PD24NOV17	6716.00	6866.73	USD	-18,841.25	
25AUG17	B	5	PD24NOV17	6675.00	6866.73	USD	23,966.25	
25AUG17	B	2	PD24NOV17	6664.50	6866.73	USD	10,111.50	
25AUG17	B	5	PD24NOV17	6667.50	6866.73	USD	24,903.75	
25AUG17	B	5	PD24NOV17	6670.50	6866.73	USD	24,528.75	
25AUG17	B	5	PD24NOV17	6673.00	6866.73	USD	24,216.25	
	NET	+143 AVG	BGHT 6601.80	SOLD 6714.67			989,443.50	0.00
29AUG17	S	5	PD29NOV17	6798.50	6869.07	USD	-8,821.25	
29AUG17	B	5	PD29NOV17	6786.00	6869.07	USD	10,383.75	
	NET	0 AVG	BGHT 6786.00	SOLD 6798.50			1,562.50	0.00
30AUG17	B	5	PD30NOV17	6775.00	6869.53	USD	11,816.25	
30AUG17	B	10	PD30NOV17	6780.00	6869.53	USD	22,382.50	
30AUG17	B	5	PD30NOV17	6783.00	6869.53	USD	10,816.25	
30AUG17	B	3	PD30NOV17	6784.00	6869.53	USD	6,414.75	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0101A

13th October 2017

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
30AUG17	B	3	PD30NOV17	6784.50	6869.53	USD	6,377.25	
30AUG17	B	5	PD30NOV17	6786.00	6869.53	USD	10,441.25	
30AUG17	B	5	PD30NOV17	6782.00	6869.53	USD	10,941.25	
31AUG17	S	5	PD30NOV17	6827.00	6869.53	USD	-5,316.25	
31AUG17	S	5	PD30NOV17	6841.50	6869.53	USD	-3,503.75	
31AUG17	B	5	PD30NOV17	6825.00	6869.53	USD	5,566.25	
31AUG17	B	5	PD30NOV17	6808.00	6869.53	USD	7,691.25	
31AUG17	B	5	PD30NOV17	6785.50	6869.53	USD	10,503.75	
31AUG17	S	5	PD30NOV17	6795.00	6869.53	USD	-9,316.25	
	NET	+36 AVG	BGHT 6788.78				84,814.50	0.00
01SEP17	S	5	PD01DEC17	6820.00	6870.00	USD	-6,250.00	
01SEP17	B	5	PD01DEC17	6803.00	6870.00	USD	8,375.00	
01SEP17	S	5	PD01DEC17	6827.00	6870.00	USD	-5,375.00	
15SEP17	B	5	PD01DEC17	6501.41	6870.00	USD	46,073.75	
	NET	0 AVG	BGHT 6652.21				42,823.75	0.00
05SEP17	B	5	PD05DEC17	6922.50	6871.60	USD	-6,362.50	
	NET	+5 AVG	BGHT 6922.50				-6,362.50	0.00
08SEP17	B	5	PD08DEC17	6810.00	6872.83	USD	7,853.75	
08SEP17	B	5	PD08DEC17	6746.00	6872.83	USD	15,853.75	
	NET	+10 AVG	BGHT 6778.00				23,707.50	0.00
11SEP17	S	5	PD11DEC17	6750.50	6874.08	USD	-15,447.50	
15SEP17	B	5	PD11DEC17	6505.54	6874.08	USD	46,067.50	
	NET	0 AVG	BGHT 6505.54				30,620.00	0.00
13SEP17	B	1	PD13DEC17	6597.50	6874.92	USD	6,935.50	
13SEP17	B	4	PD13DEC17	6598.00	6874.92	USD	27,692.00	
	NET	+5 AVG	BGHT 6597.90				34,627.50	0.00
14SEP17	S	5	PD14DEC17	6486.50	6875.33	USD	-48,603.75	
14SEP17	S	5	PD14DEC17	6494.00	6875.33	USD	-47,666.25	
14SEP17	B	25	PD14DEC17	6498.20	6875.33	USD	235,706.25	
	NET	+15 AVG	BGHT 6498.20				139,436.25	0.00
15SEP17	B	25	PD15DEC17	6507.20	6875.75	USD	230,343.75	
	NET	+25 AVG	BGHT 6507.20				230,343.75	0.00
19SEP17	B	18	PD19DEC17	6539.20	6877.00	USD	152,010.00	
	NET	+18 AVG	BGHT 6539.20				152,010.00	0.00
20SEP17	B	5	PD20DEC17	6520.25	6877.00	USD	44,593.75	
20SEP17	B	9	PD20DEC17	6562.65	6877.00	USD	70,728.75	
20SEP17	B	14	PD20DEC17	6526.20	6877.00	USD	122,780.00	
	NET	+28 AVG	BGHT 6536.85				238,102.50	0.00
21SEP17	B	54	PD21DEC17	6480.20	6876.00	USD	534,330.00	
	NET	+54 AVG	BGHT 6480.20				534,330.00	0.00
22SEP17	B	55	PD22DEC17	6457.20	6875.00	USD	574,475.00	
	NET	+55 AVG	BGHT 6457.20				574,475.00	0.00
25SEP17	B	55	PD27DEC17	6450.20	6876.67	USD	586,396.25	
26SEP17	B	15	PD27DEC17	6486.70	6876.67	USD	146,238.75	
26SEP17	B	20	PD27DEC17	6413.20	6876.67	USD	231,735.00	
27SEP17	B	15	PD27DEC17	6449.15	6876.67	USD	160,320.00	
27SEP17	B	15	PD27DEC17	6447.15	6876.67	USD	161,070.00	
27SEP17	B	15	PD27DEC17	6448.15	6876.67	USD	160,695.00	
27SEP17	B	15	PD27DEC17	6449.90	6876.67	USD	160,038.75	
27SEP17	B	20	PD27DEC17	6437.20	6876.67	USD	219,735.00	
	NET	+170 AVG	BGHT 6446.97				1,826,228.75	0.00
05OCT17	S	5	PD05JAN18	6682.00	6879.67	USD	-24,708.75	
05OCT17	S	5	PD05JAN18	6642.00	6879.67	USD	-29,708.75	

OPEN POSITION STATEMENT



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SAP0101A

13th October 2017

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
05OCT17	B	6	PD05JAN18	6677.40	6879.67	USD	30,340.50	
05OCT17	B	6	PD05JAN18	6684.15	6879.67	USD	29,328.00	
06OCT17	B	5	PD05JAN18	6648.50	6879.67	USD	28,896.25	
06OCT17	B	5	PD05JAN18	6651.00	6879.67	USD	28,583.75	
06OCT17	B	5	PD05JAN18	6711.40	6879.67	USD	21,033.75	
	NET	+17 AVG BGHT 6674.96	SOLD 6662.00				83,764.75	0.00
10OCT17	S	5	PD10JAN18	6755.00	6881.33	USD	-15,791.25	
10OCT17	B	5	PD10JAN18	6746.50	6881.33	USD	16,853.75	
	NET	0 AVG BGHT 6746.50	SOLD 6755.00				1,062.50	0.00
11OCT17	B	5	PD11JAN18	6800.50	6881.67	USD	10,146.25	
11OCT17	S	1	PD11JAN18	6767.50	6881.67	USD	-2,854.25	
11OCT17	S	4	PD11JAN18	6768.00	6881.67	USD	-11,367.00	
11OCT17	S	5	PD11JAN18	6789.00	6881.67	USD	-11,583.75	
11OCT17	S	5	PD11JAN18	6810.50	6881.67	USD	-8,896.25	
	NET	-10 AVG BGHT 6800.50	SOLD 6789.13				-24,555.00	0.00
12OCT17	B	1	PD12JAN18	6888.00	6882.00	USD	-150.00	
12OCT17	B	3	PD12JAN18	6889.50	6882.00	USD	-562.50	
12OCT17	B	2	PD12JAN18	6893.50	6882.00	USD	-575.00	
12OCT17	B	4	PD12JAN18	6889.00	6882.00	USD	-700.00	
12OCT17	B	5	PD12JAN18	6896.66	6882.00	USD	-1,832.50	
12OCT17	B	5	PD12JAN18	6891.40	6882.00	USD	-1,175.00	
12OCT17	B	5	PD12JAN18	6887.20	6882.00	USD	-650.00	
	NET	+25 AVG BGHT 6891.03					-5,645.00	0.00
	<u>CMX COPPER 25,000 CMX</u>							
27JUL17	S	60	DEC17	291.000	313.350	USD	-335,250.00	
27JUL17	S	99	DEC17	289.950	313.350	USD	-579,150.00	
27JUL17	S	33	DEC17	289.800	313.350	USD	-194,287.50	
28JUL17	S	66	DEC17	289.900	313.350	USD	-386,925.00	
28JUL17	S	99	DEC17	289.600	313.350	USD	-587,812.50	
31JUL17	S	66	DEC17	292.400	313.350	USD	-345,675.00	
31JUL17	S	17	DEC17	290.950	313.350	USD	-95,200.00	
31JUL17	S	6	DEC17	290.900	313.350	USD	-33,675.00	
31JUL17	S	2	DEC17	291.200	313.350	USD	-11,075.00	
31JUL17	S	1	DEC17	291.450	313.350	USD	-5,475.00	
31JUL17	S	1	DEC17	291.650	313.350	USD	-5,425.00	
31JUL17	S	6	DEC17	291.500	313.350	USD	-32,775.00	
31JUL17	S	66	DEC17	291.000	313.350	USD	-368,775.00	
31JUL17	S	154	DEC17	291.400	313.350	USD	-845,075.00	
03AUG17	S	99	DEC17	290.000	313.350	USD	-577,912.50	
03AUG17	S	94	DEC17	289.850	313.350	USD	-552,250.00	
03AUG17	S	5	DEC17	289.800	313.350	USD	-29,437.50	
04AUG17	S	99	DEC17	289.850	313.350	USD	-581,625.00	
07AUG17	S	99	DEC17	291.150	313.350	USD	-549,450.00	
07AUG17	S	99	DEC17	291.450	313.350	USD	-542,025.00	
09AUG17	S	42	DEC17	294.800	313.350	USD	-194,775.00	
09AUG17	S	57	DEC17	294.850	313.350	USD	-263,625.00	
09AUG17	S	99	DEC17	294.900	313.350	USD	-456,637.50	
10AUG17	S	33	DEC17	294.700	313.350	USD	-153,862.50	
10AUG17	S	66	DEC17	294.750	313.350	USD	-306,900.00	
10AUG17	S	22	DEC17	294.850	313.350	USD	-101,750.00	
10AUG17	S	20	DEC17	294.600	313.350	USD	-93,750.00	
10AUG17	S	20	DEC17	292.600	313.350	USD	-103,750.00	
15AUG17	S	20	DEC17	293.000	313.350	USD	-101,750.00	
15AUG17	S	20	DEC17	292.700	313.350	USD	-103,250.00	
16AUG17	S	4	DEC17	294.050	313.350	USD	-19,300.00	
16AUG17	S	5	DEC17	297.800	313.350	USD	-19,437.50	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0101A

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	CMX COPPER 25,000 CMX					
25AUG17	S 3 DEC17	304.800	313.350	USD	-6,412.50	
29AUG17	S 5 DEC17	310.000	313.350	USD	-4,187.50	
	NET -1587AVG SOLD 291.702				-8,588,662.50	0.00
15SEP17	S 10 MAR18	298.050	315.300	USD	-43,125.00	
21SEP17	S 10 MAR18	294.550	315.300	USD	-51,875.00	
22SEP17	S 10 MAR18	294.150	315.300	USD	-52,875.00	
25SEP17	S 10 MAR18	295.550	315.300	USD	-49,375.00	
27SEP17	S 10 MAR18	294.700	315.300	USD	-51,500.00	
28SEP17	S 10 MAR18	295.650	315.300	USD	-49,125.00	
28SEP17	S 20 MAR18	295.600	315.300	USD	-98,500.00	
29SEP17	S 9 MAR18	298.250	315.300	USD	-38,362.50	
29SEP17	S 1 MAR18	298.000	315.300	USD	-4,325.00	
05OCT17	S 10 MAR18	306.600	315.300	USD	-21,750.00	
05OCT17	S 10 MAR18	306.550	315.300	USD	-21,875.00	
06OCT17	S 20 MAR18	304.550	315.300	USD	-53,750.00	
09OCT17	S 20 MAR18	304.050	315.300	USD	-56,250.00	
09OCT17	S 20 MAR18	304.850	315.300	USD	-52,250.00	
10OCT17	S 20 MAR18	308.750	315.300	USD	-32,750.00	
	NET -190AVG SOLD 301.033				-677,687.50	0.00
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
25SEP17	S 11 PD27DEC17	3084.85	3239.23	USD	-42,454.50	
25SEP17	S 10 PD27DEC17	3079.85	3239.23	USD	-39,845.00	
25SEP17	S 9 PD27DEC17	3081.85	3239.23	USD	-35,410.50	
25SEP17	S 10 PD27DEC17	3087.85	3239.23	USD	-37,845.00	
25SEP17	B 9 PD27DEC17	3088.39	3239.23	USD	33,939.00	
25SEP17	B 11 PD27DEC17	3090.89	3239.23	USD	40,793.50	
25SEP17	B 10 PD27DEC17	3086.89	3239.23	USD	38,085.00	
25SEP17	B 10 PD27DEC17	3091.39	3239.23	USD	36,960.00	
	NET 0AVG BGHT 3089.45 SOLD 3083.68				-5,777.50	0.00

OPEN POSITION VALUE

		USD
LME Copper Grade A 25	FUTURES	-131,778,796.25
CMX Copper	FUTURES	130,032,012.50
LME Zinc 25 tonnes. This is	FUTURES	-5,777.50

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options	Amount	Profit / Loss	Total Amount	Total P&L
COPR - Futures USD	-9,266,350.00	-9,266,350.00	-9,266,350.00	-9,266,350.00
Unrealised Profits & Losses - Forwards	Amount	Profit / Loss	Total Amount	Total P&L
COPL - Futures USD	6,678,445.25	6,678,445.25		
ZINC - Futures USD	-5,777.50	-5,777.50	6,672,667.75	6,672,667.75

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0101A

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

ACCOUNT FINANCIAL SUMMARY

	GBP	USD	NETT USD
	(1.33050)	(1.00000)	(1.00000)
CASH BALANCE	2,478,738.79	4,457,820.65	7,755,782.61
Variation Margins			
- Futures	0.00	-9,266,350.00	-9,266,350.00
- Options	0.00	0.00	0.00
TOTAL EQUITY	2,478,738.79	-4,808,529.35	-1,510,567.39
Initial Margins	0.00	-9,003,143.25	-9,003,143.25
Excess/(Shortage)	2,478,738.79	-13,811,672.60	-10,513,710.64
FOR INFORMATION ONLY			
Forward Unrealised P&L	0.00	6,672,667.75	6,672,667.75
Net Liquidating Value	2,478,738.79	1,864,138.40	5,162,100.36

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED
SAP0101 ARBS
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

GROUP NO: **SAPAB101**

13th Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343
Authorised and regulated by the Australian Prudential
Regulation Authority. Authorised by the Prudential
Regulation Authority. Subject to regulation by the Financial
Conduct Authority and limited regulation by the
Prudential Regulation Authority. Details about the extent
of our regulation by the Prudential Regulation Authority are
available from us on request.

GROUP FINANCIAL SUMMARY

	GBP	USD	NET USD
	(1.33050)	(1.00000)	(1.00000)
CASH BALANCE	2,478,738.79	4,457,820.65	7,755,782.61
Variation Margins	- Futures 0.00	-9,266,350.00	-9,266,350.00
	- Options 0.00	0.00	0.00
TOTAL EQUITY	2,478,738.79	-4,808,529.35	-1,510,567.39
Initial Margins	0.00	-9,003,143.25	-9,003,143.25
Excess/(Shortage)	2,478,738.79	-13,811,672.60	-10,513,710.64
<u>FOR INFORMATION ONLY</u>			
Forward Unrealised P&L	0.00	6,672,667.75	6,672,667.75
Net Liquidating Value	2,478,738.79	1,864,138.40	5,162,100.36

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0103K

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
17JUL17	B	1	PD17OCT17	6015.00	6859.00	USD	21,100.00	
17JUL17	B	1	PD17OCT17	6012.00	6859.00	USD	21,175.00	
17JUL17	B	1	PD17OCT17	6013.00	6859.00	USD	21,150.00	
17JUL17	B	2	PD17OCT17	6009.00	6859.00	USD	42,500.00	
15SEP17	S	10	PD17OCT17	6479.85	6859.00	USD	-94,787.50	
19SEP17	B	5	PD17OCT17	6508.25	6859.00	USD	43,843.75	
	NET	0 AVG BGHT 6259.93	SOLD 6479.85				54,981.25	0.00
20JUL17	S	2	PD20OCT17	5974.50	6857.50	USD	-44,150.00	
20JUL17	S	1	PD20OCT17	5974.00	6857.50	USD	-22,087.50	
20JUL17	S	1	PD20OCT17	5970.00	6857.50	USD	-22,187.50	
20JUL17	S	1	PD20OCT17	5972.00	6857.50	USD	-22,137.50	
21JUL17	B	1	PD20OCT17	6006.50	6857.50	USD	21,275.00	
21JUL17	B	2	PD20OCT17	6009.00	6857.50	USD	42,425.00	
21JUL17	B	2	PD20OCT17	6030.00	6857.50	USD	41,375.00	
21JUL17	B	1	PD20OCT17	6034.00	6857.50	USD	20,587.50	
21JUL17	B	2	PD20OCT17	6035.00	6857.50	USD	41,125.00	
21JUL17	B	1	PD20OCT17	6035.00	6857.50	USD	20,562.50	
21JUL17	B	1	PD20OCT17	6034.00	6857.50	USD	20,587.50	
21JUL17	S	4	PD20OCT17	6000.00	6857.50	USD	-85,750.00	
21JUL17	S	1	PD20OCT17	6001.00	6857.50	USD	-21,412.50	
21JUL17	S	1	PD20OCT17	5997.00	6857.50	USD	-21,512.50	
21JUL17	S	1	PD20OCT17	5998.50	6857.50	USD	-21,475.00	
15SEP17	B	2	PD20OCT17	6480.20	6857.50	USD	18,865.00	
	NET	0 AVG BGHT 6101.49	SOLD 5988.46				-33,910.00	0.00
24JUL17	S	1	PD24OCT17	5989.00	6857.50	USD	-21,712.50	
24JUL17	B	1	PD24OCT17	5994.00	6857.50	USD	21,587.50	
24JUL17	S	1	PD24OCT17	5987.00	6857.50	USD	-21,762.50	
24JUL17	S	1	PD24OCT17	5990.50	6857.50	USD	-21,675.00	
24JUL17	S	1	PD24OCT17	5989.00	6857.50	USD	-21,712.50	
15SEP17	B	3	PD24OCT17	6482.95	6857.50	USD	28,091.25	
	NET	0 AVG BGHT 6360.71	SOLD 5988.88				-37,183.75	0.00
25JUL17	B	5	PD25OCT17	6120.00	6857.50	USD	92,187.50	
25JUL17	B	1	PD25OCT17	6200.00	6857.50	USD	16,437.50	
25JUL17	B	2	PD25OCT17	6205.00	6857.50	USD	32,625.00	
25JUL17	B	1	PD25OCT17	6199.50	6857.50	USD	16,450.00	
25JUL17	B	1	PD25OCT17	6202.50	6857.50	USD	16,375.00	
15SEP17	S	10	PD25OCT17	6483.07	6857.50	USD	-93,607.50	
	NET	0 AVG BGHT 6161.20	SOLD 6483.07				80,467.50	0.00
31JUL17	S	1	PD31OCT17	6399.00	6858.27	USD	-11,481.75	
31JUL17	S	2	PD31OCT17	6403.00	6858.27	USD	-22,763.50	
31JUL17	S	1	PD31OCT17	6405.00	6858.27	USD	-11,331.75	
31JUL17	S	1	PD31OCT17	6404.00	6858.27	USD	-11,356.75	
31JUL17	S	4	PD31OCT17	6402.00	6858.27	USD	-45,627.00	
31JUL17	S	4	PD31OCT17	6400.00	6858.27	USD	-45,827.00	
31JUL17	S	3	PD31OCT17	6401.00	6858.27	USD	-34,295.25	
31JUL17	S	2	PD31OCT17	6398.00	6858.27	USD	-23,013.50	
31JUL17	S	1	PD31OCT17	6397.50	6858.27	USD	-11,519.25	
31JUL17	S	1	PD31OCT17	6397.00	6858.27	USD	-11,531.75	
15SEP17	B	25	PD31OCT17	6485.20	6858.27	USD	233,168.75	
19SEP17	S	5	PD31OCT17	6515.05	6858.27	USD	-42,902.50	
	NET	0 AVG BGHT 6485.20	SOLD 6423.63				-38,481.25	0.00
02AUG17	B	1	PD02NOV17	6359.50	6859.82	USD	12,508.00	
02AUG17	B	1	PD02NOV17	6357.50	6859.82	USD	12,558.00	
02AUG17	B	2	PD02NOV17	6353.00	6859.82	USD	25,341.00	
02AUG17	B	1	PD02NOV17	6356.00	6859.82	USD	12,595.50	
15SEP17	S	5	PD02NOV17	6486.33	6859.82	USD	-46,686.25	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0103K

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
	NET	0 AVG BGHT 6355.80	SOLD 6486.33				16,316.25	0.00
03AUG17	S	1 PD03NOV17		6339.50	6860.59	USD	-13,027.25	
03AUG17	S	1 PD03NOV17		6340.50	6860.59	USD	-13,002.25	
03AUG17	S	1 PD03NOV17		6338.00	6860.59	USD	-13,064.75	
03AUG17	S	2 PD03NOV17		6341.50	6860.59	USD	-25,954.50	
15SEP17	B	5 PD03NOV17		6487.20	6860.59	USD	46,673.75	
	NET	0 AVG BGHT 6487.20	SOLD 6340.20				-18,375.00	0.00
08AUG17	B	2 PD08NOV17		6398.00	6864.45	USD	23,322.50	
08AUG17	B	1 PD08NOV17		6401.00	6864.45	USD	11,586.25	
08AUG17	B	1 PD08NOV17		6400.00	6864.45	USD	11,611.25	
08AUG17	B	1 PD08NOV17		6399.00	6864.45	USD	11,636.25	
15SEP17	S	5 PD08NOV17		6490.75	6864.45	USD	-46,712.50	
	NET	0 AVG BGHT 6399.20	SOLD 6490.75				11,443.75	0.00
31AUG17	S	1 PD30NOV17		6795.00	6869.53	USD	-1,863.25	
31AUG17	S	3 PD30NOV17		6800.00	6869.53	USD	-5,214.75	
31AUG17	S	1 PD30NOV17		6800.00	6869.53	USD	-1,738.25	
15SEP17	B	5 PD30NOV17		6500.99	6869.53	USD	46,067.50	
	NET	0 AVG BGHT 6500.99	SOLD 6799.00				37,251.25	0.00
04SEP17	B	1 PD04DEC17		6917.00	6871.20	USD	-1,145.00	
04SEP17	B	1 PD04DEC17		6919.00	6871.20	USD	-1,195.00	
04SEP17	B	1 PD04DEC17		6918.00	6871.20	USD	-1,170.00	
04SEP17	B	2 PD04DEC17		6918.00	6871.20	USD	-2,340.00	
15SEP17	S	5 PD04DEC17		6502.45	6871.20	USD	-46,093.75	
	NET	0 AVG BGHT 6918.00	SOLD 6502.45				-51,943.75	0.00
08SEP17	S	1 PD08DEC17		6831.00	6872.83	USD	-1,045.75	
08SEP17	S	1 PD08DEC17		6824.00	6872.83	USD	-1,220.75	
08SEP17	S	1 PD08DEC17		6830.00	6872.83	USD	-1,070.75	
08SEP17	S	1 PD08DEC17		6817.00	6872.83	USD	-1,395.75	
08SEP17	S	1 PD08DEC17		6823.00	6872.83	USD	-1,245.75	
15SEP17	B	5 PD08DEC17		6504.30	6872.83	USD	46,066.25	
	NET	0 AVG BGHT 6504.30	SOLD 6825.00				40,087.50	0.00

OPEN POSITION VALUE

LME Copper Grade A 25 FUTURES USD
60,653.75

MARKET REVALUATION BREAKDOWN

Unrealised Profits & Losses - Forwards	Amount	Profit / Loss	Total Amount	Total P&L
COPL - Futures USD	60,653.75	60,653.75	60,653.75	60,653.75

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0103K

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	-60,783.55	-60,783.55
Variation Margins		
- Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	-60,783.55	-60,783.55
Initial Margins	0.00	0.00
Excess/(Shortage)	-60,783.55	-60,783.55
FOR INFORMATION ONLY		
Forward Unrealised P&L	60,653.75	60,653.75
Net Liquidating Value	-129.80	-129.80

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED
SAP0103 ARBS
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

GROUP NO: **SAPAB103**

13th Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343
Authorised and regulated by the Australian Prudential
Regulation Authority. Authorised by the Prudential
Regulation Authority. Subject to regulation by the Financial
Conduct Authority and limited regulation by the
Prudential Regulation Authority. Details about the extent
of our regulation by the Prudential Regulation Authority are
available from us on request.

GROUP FINANCIAL SUMMARY

	USD	NET USD
	(1.00000)	(1.00000)
CASH BALANCE	-60,783.55	-60,783.55
Variation Margins		
- Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	-60,783.55	-60,783.55
Initial Margins	0.00	0.00
Excess/(Shortage)	-60,783.55	-60,783.55
<u>FOR INFORMATION ONLY</u>		
Forward Unrealised P&L	60,653.75	60,653.75
Net Liquidating Value	-129.80	-129.80

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0107B

13th October 2017

backoffice@sapiencap.com

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

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FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
17JUL17	B	1	PD17OCT17	6002.50	6859.00	USD	21,412.50	
17JUL17	B	2	PD17OCT17	6007.00	6859.00	USD	42,600.00	
17JUL17	B	2	PD17OCT17	6008.00	6859.00	USD	42,550.00	
17JUL17	B	3	PD17OCT17	6018.50	6859.00	USD	63,037.50	
17JUL17	B	1	PD17OCT17	6014.50	6859.00	USD	21,112.50	
17JUL17	B	1	PD17OCT17	6017.00	6859.00	USD	21,050.00	
11AUG17	S	10	PD17OCT17	6404.75	6859.00	USD	-113,562.50	
	NET	0 AVG BGHT 6011.95	SOLD 6404.75				98,200.00	0.00
21JUL17	B	2	PD20OCT17	6027.50	6857.50	USD	41,500.00	
21JUL17	B	1	PD20OCT17	6028.00	6857.50	USD	20,737.50	
21JUL17	B	2	PD20OCT17	6028.50	6857.50	USD	41,450.00	
11AUG17	S	5	PD20OCT17	6403.00	6857.50	USD	-56,812.50	
	NET	0 AVG BGHT 6028.00	SOLD 6403.00				46,875.00	0.00
24JUL17	S	5	PD24OCT17	6002.00	6857.50	USD	-106,937.50	
24JUL17	B	1	PD24OCT17	6005.50	6857.50	USD	21,300.00	
24JUL17	S	4	PD24OCT17	6005.50	6857.50	USD	-85,200.00	
24JUL17	S	2	PD24OCT17	6006.00	6857.50	USD	-42,575.00	
11AUG17	B	10	PD24OCT17	6404.72	6857.50	USD	113,195.00	
	NET	0 AVG BGHT 6368.43	SOLD 6004.00				-100,217.50	0.00
25JUL17	B	3	PD25OCT17	6168.00	6857.50	USD	51,712.50	
25JUL17	B	2	PD25OCT17	6169.00	6857.50	USD	34,425.00	
25JUL17	B	1	PD25OCT17	6225.00	6857.50	USD	15,812.50	
25JUL17	B	2	PD25OCT17	6225.50	6857.50	USD	31,600.00	
25JUL17	B	2	PD25OCT17	6228.50	6857.50	USD	31,450.00	
25JUL17	B	2	PD25OCT17	6220.50	6857.50	USD	31,850.00	
25JUL17	B	1	PD25OCT17	6224.50	6857.50	USD	15,825.00	
25JUL17	B	1	PD25OCT17	6222.50	6857.50	USD	15,875.00	
25JUL17	B	1	PD25OCT17	6224.00	6857.50	USD	15,837.50	
11AUG17	S	5	PD25OCT17	6404.90	6857.50	USD	-56,575.00	
	NET	+10 AVG BGHT 6205.80	SOLD 6404.90				187,812.50	0.00
26JUL17	S	3	PD26OCT17	6355.00	6857.50	USD	-37,687.50	
26JUL17	S	2	PD26OCT17	6377.00	6857.50	USD	-24,025.00	
26JUL17	B	1	PD26OCT17	6303.00	6857.50	USD	13,862.50	
26JUL17	B	1	PD26OCT17	6304.00	6857.50	USD	13,837.50	
26JUL17	B	1	PD26OCT17	6305.00	6857.50	USD	13,812.50	
26JUL17	B	1	PD26OCT17	6306.00	6857.50	USD	13,787.50	
26JUL17	B	1	PD26OCT17	6301.50	6857.50	USD	13,900.00	
	NET	0 AVG BGHT 6303.90	SOLD 6363.80				7,487.50	0.00
28JUL17	S	1	PD27OCT17	6328.50	6857.50	USD	-13,225.00	
28JUL17	S	4	PD27OCT17	6330.50	6857.50	USD	-52,700.00	
11AUG17	B	5	PD27OCT17	6405.87	6857.50	USD	56,453.75	
	NET	0 AVG BGHT 6405.87	SOLD 6330.10				-9,471.25	0.00
01AUG17	B	2	PD01NOV17	6334.50	6859.05	USD	26,227.50	
01AUG17	B	2	PD01NOV17	6338.50	6859.05	USD	26,027.50	
01AUG17	B	1	PD01NOV17	6335.00	6859.05	USD	13,101.25	
	NET	+5 AVG BGHT 6336.20					65,356.25	0.00
07AUG17	S	2	PD07NOV17	6407.50	6863.68	USD	-22,809.00	
07AUG17	S	1	PD07NOV17	6409.00	6863.68	USD	-11,367.00	
07AUG17	S	1	PD07NOV17	6410.50	6863.68	USD	-11,329.50	
07AUG17	S	1	PD07NOV17	6412.00	6863.68	USD	-11,292.00	
11AUG17	B	5	PD07NOV17	6410.06	6863.68	USD	56,702.50	
	NET	0 AVG BGHT 6410.06	SOLD 6409.30				-95.00	0.00
08AUG17	B	1	PD08NOV17	6436.00	6864.45	USD	10,711.25	
08AUG17	B	1	PD08NOV17	6438.00	6864.45	USD	10,661.25	
08AUG17	B	1	PD08NOV17	6452.50	6864.45	USD	10,298.75	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0107B

13th October 2017

backoffice@sapiencap.com

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Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>					
08AUG17	B 2 PD08NOV17	6453.00	6864.45	USD	20,572.50	
08AUG17	B 4 PD08NOV17	6454.00	6864.45	USD	41,045.00	
08AUG17	B 1 PD08NOV17	6460.00	6864.45	USD	10,111.25	
	NET +10 AVG BGHT 6450.85				103,400.00	0.00
14AUG17	S 2 PD14NOV17	6400.50	6864.67	USD	-23,208.50	
14AUG17	S 1 PD14NOV17	6402.50	6864.67	USD	-11,554.25	
14AUG17	S 1 PD14NOV17	6403.00	6864.67	USD	-11,541.75	
14AUG17	S 1 PD14NOV17	6405.00	6864.67	USD	-11,491.75	
	NET -5 AVG SOLD 6402.30				-57,796.25	0.00
15AUG17	S 2 PD15NOV17	6378.00	6864.00	USD	-24,300.00	
15AUG17	S 3 PD15NOV17	6379.50	6864.00	USD	-36,337.50	
	NET -5 AVG SOLD 6378.90				-60,637.50	0.00
17AUG17	B 2 PD17NOV17	6537.50	6863.47	USD	16,298.50	
17AUG17	B 2 PD17NOV17	6539.00	6863.47	USD	16,223.50	
17AUG17	B 1 PD17NOV17	6536.00	6863.47	USD	8,186.75	
18AUG17	S 2 PD17NOV17	6450.00	6863.47	USD	-20,673.50	
18AUG17	S 2 PD17NOV17	6450.50	6863.47	USD	-20,648.50	
18AUG17	S 1 PD17NOV17	6453.00	6863.47	USD	-10,261.75	
	NET 0 AVG BGHT 6537.80 SOLD 6450.80				-10,875.00	0.00
21AUG17	B 1 PD21NOV17	6597.00	6865.33	USD	6,708.25	
21AUG17	B 2 PD21NOV17	6613.00	6865.33	USD	12,616.50	
21AUG17	B 2 PD21NOV17	6619.00	6865.33	USD	12,316.50	
21AUG17	B 5 PD21NOV17	6619.50	6865.33	USD	30,728.75	
21AUG17	B 2 PD21NOV17	6583.00	6865.33	USD	14,116.50	
21AUG17	B 1 PD21NOV17	6584.00	6865.33	USD	7,033.25	
21AUG17	B 2 PD21NOV17	6586.50	6865.33	USD	13,941.50	
	NET +15 AVG BGHT 6605.43				97,461.25	0.00
22AUG17	B 5 PD22NOV17	6584.50	6865.80	USD	35,162.50	
	NET +5 AVG BGHT 6584.50				35,162.50	0.00
29AUG17	S 2 PD29NOV17	6778.50	6869.07	USD	-4,528.50	
29AUG17	S 1 PD29NOV17	6779.50	6869.07	USD	-2,239.25	
29AUG17	S 2 PD29NOV17	6780.00	6869.07	USD	-4,453.50	
	NET -5 AVG SOLD 6779.30				-11,221.25	0.00
30AUG17	B 1 PD30NOV17	6769.50	6869.53	USD	2,500.75	
30AUG17	B 2 PD30NOV17	6770.00	6869.53	USD	4,976.50	
30AUG17	B 1 PD30NOV17	6767.50	6869.53	USD	2,550.75	
30AUG17	B 1 PD30NOV17	6768.00	6869.53	USD	2,538.25	
30AUG17	B 5 PD30NOV17	6768.50	6869.53	USD	12,628.75	
	NET +10 AVG BGHT 6768.75				25,195.00	0.00
07SEP17	S 5 PD07DEC17	6860.00	6872.42	USD	-1,552.50	
	NET -5 AVG SOLD 6860.00				-1,552.50	0.00
08SEP17	S 3 PD08DEC17	6820.00	6872.83	USD	-3,962.25	
08SEP17	S 2 PD08DEC17	6826.00	6872.83	USD	-2,341.50	
08SEP17	S 5 PD08DEC17	6678.00	6872.83	USD	-24,353.75	
	NET -10 AVG SOLD 6750.20				-30,657.50	0.00
12SEP17	S 4 PD12DEC17	6676.50	6874.50	USD	-19,800.00	
12SEP17	S 1 PD12DEC17	6677.50	6874.50	USD	-4,925.00	
	NET -5 AVG SOLD 6676.70				-24,725.00	0.00
13SEP17	S 1 PD13DEC17	6653.50	6874.92	USD	-5,535.50	
13SEP17	S 2 PD13DEC17	6654.00	6874.92	USD	-11,046.00	
13SEP17	S 1 PD13DEC17	6655.00	6874.92	USD	-5,498.00	
13SEP17	S 1 PD13DEC17	6655.50	6874.92	USD	-5,485.50	
13SEP17	S 1 PD13DEC17	6656.00	6874.92	USD	-5,473.00	
13SEP17	S 2 PD13DEC17	6657.00	6874.92	USD	-10,896.00	

OPEN POSITION STATEMENT



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13th October 2017

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Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>					
13SEP17	S 2 PD13DEC17	6662.00	6874.92	USD	-10,646.00	
	NET -10 AVG SOLD 6656.60				-54,580.00	0.00
15SEP17	S 1 PD15DEC17	6494.50	6875.75	USD	-9,531.25	
15SEP17	S 2 PD15DEC17	6495.50	6875.75	USD	-19,012.50	
15SEP17	S 3 PD15DEC17	6496.00	6875.75	USD	-28,481.25	
15SEP17	S 2 PD15DEC17	6496.50	6875.75	USD	-18,962.50	
15SEP17	S 2 PD15DEC17	6497.50	6875.75	USD	-18,912.50	
	NET -10 AVG SOLD 6496.15				-94,900.00	0.00
27SEP17	B 1 PD27DEC17	6475.50	6876.67	USD	10,029.25	
27SEP17	B 2 PD27DEC17	6477.00	6876.67	USD	19,983.50	
27SEP17	B 2 PD27DEC17	6476.50	6876.67	USD	20,008.50	
	NET +5 AVG BGHT 6476.50				50,021.25	0.00
05OCT17	B 2 PD05JAN18	6550.00	6879.67	USD	16,483.50	
05OCT17	B 3 PD05JAN18	6552.00	6879.67	USD	24,575.25	
05OCT17	B 1 PD05JAN18	6556.00	6879.67	USD	8,091.75	
05OCT17	B 1 PD05JAN18	6557.00	6879.67	USD	8,066.75	
05OCT17	B 2 PD05JAN18	6557.50	6879.67	USD	16,108.50	
05OCT17	B 1 PD05JAN18	6559.00	6879.67	USD	8,016.75	
05OCT17	B 2 PD05JAN18	6606.00	6879.67	USD	13,683.50	
05OCT17	B 2 PD05JAN18	6607.00	6879.67	USD	13,633.50	
05OCT17	B 1 PD05JAN18	6628.00	6879.67	USD	6,291.75	
05OCT17	B 2 PD05JAN18	6629.50	6879.67	USD	12,508.50	
05OCT17	B 1 PD05JAN18	6627.00	6879.67	USD	6,316.75	
05OCT17	B 2 PD05JAN18	6630.50	6879.67	USD	12,458.50	
	NET +20 AVG BGHT 6587.20				146,235.00	0.00
12OCT17	S 3 PD12JAN18	6874.00	6882.00	USD	-600.00	
12OCT17	S 2 PD12JAN18	6871.50	6882.00	USD	-525.00	
	NET -5 AVG SOLD 6873.00				-1,125.00	0.00
	<u>CMX COPPER 25,000 CMX</u>					
05OCT17	S 3 DEC17	297.750	313.350	USD	-11,700.00	
05OCT17	S 7 DEC17	297.900	313.350	USD	-27,037.50	
05OCT17	S 2 DEC17	298.000	313.350	USD	-7,675.00	
05OCT17	S 7 DEC17	298.050	313.350	USD	-26,775.00	
05OCT17	S 2 DEC17	298.150	313.350	USD	-7,600.00	
05OCT17	S 5 DEC17	300.200	313.350	USD	-16,437.50	
05OCT17	S 5 DEC17	300.500	313.350	USD	-16,062.50	
05OCT17	S 1 DEC17	300.450	313.350	USD	-3,225.00	
05OCT17	S 5 DEC17	301.300	313.350	USD	-15,062.50	
05OCT17	S 2 DEC17	301.200	313.350	USD	-6,075.00	
05OCT17	S 5 DEC17	301.500	313.350	USD	-14,812.50	
	NET -44 AVG SOLD 299.490				-152,462.50	0.00

OPEN POSITION VALUE

		USD
LME Copper Grade A 25	FUTURES	-3,022,833.75
CMX Copper	FUTURES	3,294,387.50

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0107B

13th October 2017

backoffice@sapiencap.com

Sapien Capital Limited
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London W1J 7NJ UK
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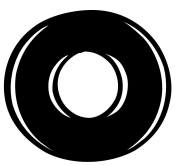
MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options	Amount	Profit / Loss	Total Amount	Total P&L
COPR - Futures USD	-152,462.50	-152,462.50	-152,462.50	-152,462.50
Unrealised Profits & Losses - Forwards	Amount	Profit / Loss	Total Amount	Total P&L
COPL - Futures USD	405,352.50	405,352.50	405,352.50	405,352.50

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
CASH BALANCE	(1.00000)	(1.00000)
Variation Margins - Futures	-228,660.62	-228,660.62
- Options	0.00	0.00
TOTAL EQUITY	-381,123.12	-381,123.12
Initial Margins	-138,600.00	-138,600.00
Excess/(Shortage)	-519,723.12	-519,723.12
FOR INFORMATION ONLY		
Forward Unrealised P&L	405,352.50	405,352.50
Net Liquidating Value	24,229.38	24,229.38

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED
SAP0107 ARBS
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

GROUP NO: **SAPAB107**

13th Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343
Authorised and regulated by the Australian Prudential
Regulation Authority. Authorised by the Prudential
Regulation Authority. Subject to regulation by the Financial
Conduct Authority and limited regulation by the
Prudential Regulation Authority. Details about the extent
of our regulation by the Prudential Regulation Authority are
available from us on request.

GROUP FINANCIAL SUMMARY

	USD	NET USD
	(1.00000)	(1.00000)
CASH BALANCE	-228,660.62	-228,660.62
Variation Margins		
- Futures	-152,462.50	-152,462.50
- Options	0.00	0.00
TOTAL EQUITY	-381,123.12	-381,123.12
Initial Margins	-138,600.00	-138,600.00
Excess/(Shortage)	-519,723.12	-519,723.12
<u>FOR INFORMATION ONLY</u>		
Forward Unrealised P&L	405,352.50	405,352.50
Net Liquidating Value	24,229.38	24,229.38

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0118

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
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backoffice@sapiencap.com

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ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	329,140.26	329,140.26
Variation Margins		
- Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	329,140.26	329,140.26
Initial Margins	0.00	0.00
Excess/(Shortage)	329,140.26	329,140.26
FOR INFORMATION ONLY		
Net Liquidating Value	329,140.26	329,140.26

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0118B

13th October 2017

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
25JUL17	B	1	PD25OCT17	6267.50	6857.50	USD	14,750.00	
25JUL17	B	1	PD25OCT17	6266.50	6857.50	USD	14,775.00	
25JUL17	B	2	PD25OCT17	6265.50	6857.50	USD	29,600.00	
25JUL17	B	1	PD25OCT17	6266.50	6857.50	USD	14,775.00	
	NET	+5 AVG BGHT	6266.30				73,900.00	0.00
26JUL17	S	1	PD26OCT17	6326.00	6857.50	USD	-13,287.50	
26JUL17	S	1	PD26OCT17	6340.50	6857.50	USD	-12,925.00	
26JUL17	S	1	PD26OCT17	6344.00	6857.50	USD	-12,837.50	
26JUL17	S	1	PD26OCT17	6378.00	6857.50	USD	-11,987.50	
26JUL17	S	1	PD26OCT17	6381.00	6857.50	USD	-11,912.50	
26JUL17	B	2	PD26OCT17	6275.00	6857.50	USD	29,125.00	
26JUL17	B	1	PD26OCT17	6274.00	6857.50	USD	14,587.50	
26JUL17	B	1	PD26OCT17	6270.00	6857.50	USD	14,687.50	
26JUL17	B	1	PD26OCT17	6266.50	6857.50	USD	14,775.00	
26JUL17	S	1	PD26OCT17	6328.50	6857.50	USD	-13,225.00	
26JUL17	S	1	PD26OCT17	6329.50	6857.50	USD	-13,200.00	
26JUL17	S	1	PD26OCT17	6331.50	6857.50	USD	-13,150.00	
26JUL17	S	1	PD26OCT17	6335.00	6857.50	USD	-13,062.50	
26JUL17	S	1	PD26OCT17	6337.00	6857.50	USD	-13,012.50	
20SEP17	B	5	PD26OCT17	6504.27	6857.50	USD	44,153.75	
	NET	0 AVG BGHT	6388.19	SOLD 6343.10			-11,271.25	0.00
27JUL17	S	1	PD27OCT17	6367.00	6857.50	USD	-12,262.50	
27JUL17	S	1	PD27OCT17	6341.00	6857.50	USD	-12,912.50	
27JUL17	S	1	PD27OCT17	6342.00	6857.50	USD	-12,887.50	
28JUL17	S	1	PD27OCT17	6319.50	6857.50	USD	-13,450.00	
28JUL17	S	1	PD27OCT17	6320.50	6857.50	USD	-13,425.00	
20SEP17	B	2	PD27OCT17	6504.56	6857.50	USD	17,647.00	
26SEP17	B	3	PD27OCT17	6377.45	6857.50	USD	36,003.75	
	NET	0 AVG BGHT	6428.29	SOLD 6338.00			-11,286.75	0.00
31JUL17	S	1	PD31OCT17	6344.00	6858.27	USD	-12,856.75	
31JUL17	S	1	PD31OCT17	6343.00	6858.27	USD	-12,881.75	
31JUL17	S	3	PD31OCT17	6344.00	6858.27	USD	-38,570.25	
26SEP17	B	5	PD31OCT17	6380.45	6858.27	USD	59,727.50	
	NET	0 AVG BGHT	6380.45	SOLD 6343.80			-4,581.25	0.00
02AUG17	S	1	PD02NOV17	6331.00	6859.82	USD	-13,220.50	
02AUG17	S	1	PD02NOV17	6314.50	6859.82	USD	-13,633.00	
02AUG17	S	1	PD02NOV17	6311.50	6859.82	USD	-13,708.00	
02AUG17	S	2	PD02NOV17	6313.50	6859.82	USD	-27,316.00	
02AUG17	S	1	PD02NOV17	6340.50	6859.82	USD	-12,983.00	
02AUG17	S	1	PD02NOV17	6342.00	6859.82	USD	-12,945.50	
02AUG17	B	2	PD02NOV17	6336.00	6859.82	USD	26,191.00	
02AUG17	B	5	PD02NOV17	6331.50	6859.82	USD	66,040.00	
02AUG17	B	1	PD02NOV17	6364.50	6859.82	USD	12,383.00	
	NET	+1 AVG BGHT	6336.75	SOLD 6323.79			10,808.00	0.00
03AUG17	S	1	PD03NOV17	6317.50	6860.59	USD	-13,577.25	
03AUG17	S	3	PD03NOV17	6318.50	6860.59	USD	-40,656.75	
03AUG17	S	1	PD03NOV17	6323.00	6860.59	USD	-13,439.75	
26SEP17	B	5	PD03NOV17	6382.70	6860.59	USD	59,736.25	
	NET	0 AVG BGHT	6382.70	SOLD 6319.20			-7,937.50	0.00
07AUG17	S	1	PD07NOV17	6351.50	6863.68	USD	-12,804.50	
07AUG17	S	3	PD07NOV17	6348.50	6863.68	USD	-38,638.50	
07AUG17	S	1	PD07NOV17	6346.50	6863.68	USD	-12,929.50	
07AUG17	B	1	PD07NOV17	6364.50	6863.68	USD	12,479.50	
07AUG17	B	1	PD07NOV17	6362.00	6863.68	USD	12,542.00	
07AUG17	B	3	PD07NOV17	6367.50	6863.68	USD	37,213.50	
07AUG17	B	1	PD07NOV17	6374.00	6863.68	USD	12,242.00	

OPEN POSITION STATEMENT



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SAP0118B

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
07AUG17	B	3	PD07NOV17	6375.00	6863.68	USD	36,651.00	
07AUG17	B	4	PD07NOV17	6371.00	6863.68	USD	49,268.00	
07AUG17	B	2	PD07NOV17	6378.50	6863.68	USD	24,259.00	
07AUG17	B	1	PD07NOV17	6387.00	6863.68	USD	11,917.00	
07AUG17	B	1	PD07NOV17	6397.50	6863.68	USD	11,654.50	
07AUG17	B	1	PD07NOV17	6398.50	6863.68	USD	11,629.50	
07AUG17	B	1	PD07NOV17	6405.50	6863.68	USD	11,454.50	
07AUG17	B	1	PD07NOV17	6410.00	6863.68	USD	11,342.00	
07AUG17	B	1	PD07NOV17	6419.50	6863.68	USD	11,104.50	
07AUG17	B	1	PD07NOV17	6440.00	6863.68	USD	10,592.00	
07AUG17	B	1	PD07NOV17	6434.50	6863.68	USD	10,729.50	
07AUG17	B	2	PD07NOV17	6425.00	6863.68	USD	21,934.00	
	NET	+20 AVG	BGHT 6388.46	SOLD 6348.70			232,640.00	0.00
08AUG17	S	1	PD08NOV17	6408.00	6864.45	USD	-11,411.25	
	NET	-1 AVG	SOLD 6408.00				-11,411.25	0.00
10AUG17	S	1	PD10NOV17	6410.00	6866.00	USD	-11,400.00	
11AUG17	S	1	PD10NOV17	6370.50	6866.00	USD	-12,387.50	
11AUG17	S	2	PD10NOV17	6368.00	6866.00	USD	-24,900.00	
11AUG17	S	1	PD10NOV17	6379.00	6866.00	USD	-12,175.00	
11OCT17	B	5	PD10NOV17	6777.70	6866.00	USD	11,037.50	
	NET	0 AVG	BGHT 6777.70	SOLD 6379.10			-49,825.00	0.00
14AUG17	B	2	PD14NOV17	6398.00	6864.67	USD	23,333.50	
14AUG17	B	1	PD14NOV17	6400.00	6864.67	USD	11,616.75	
14AUG17	B	2	PD14NOV17	6399.00	6864.67	USD	23,283.50	
	NET	+5 AVG	BGHT 6398.80				58,233.75	0.00
15AUG17	S	1	PD15NOV17	6360.50	6864.00	USD	-12,587.50	
15AUG17	S	2	PD15NOV17	6360.00	6864.00	USD	-25,200.00	
15AUG17	S	2	PD15NOV17	6359.50	6864.00	USD	-25,225.00	
11OCT17	B	4	PD15NOV17	6776.70	6864.00	USD	8,730.00	
	NET	-1 AVG	BGHT 6776.70	SOLD 6359.90			-54,282.50	0.00
23AUG17	B	1	PD24NOV17	6561.50	6866.73	USD	7,630.75	
23AUG17	B	4	PD24NOV17	6559.50	6866.73	USD	30,723.00	
	NET	+5 AVG	BGHT 6559.90				38,353.75	0.00
29AUG17	S	1	PD29NOV17	6806.50	6869.07	USD	-1,564.25	
29AUG17	S	1	PD29NOV17	6802.00	6869.07	USD	-1,676.75	
29AUG17	S	3	PD29NOV17	6812.00	6869.07	USD	-4,280.25	
	NET	-5 AVG	SOLD 6808.90				-7,521.25	0.00
31AUG17	S	1	PD30NOV17	6808.00	6869.53	USD	-1,538.25	
31AUG17	S	1	PD30NOV17	6801.00	6869.53	USD	-1,713.25	
31AUG17	S	1	PD30NOV17	6800.00	6869.53	USD	-1,738.25	
31AUG17	B	2	PD30NOV17	6841.50	6869.53	USD	1,401.50	
31AUG17	S	1	PD30NOV17	6794.50	6869.53	USD	-1,875.75	
31AUG17	S	1	PD30NOV17	6793.50	6869.53	USD	-1,900.75	
31AUG17	S	1	PD30NOV17	6786.00	6869.53	USD	-2,088.25	
31AUG17	S	1	PD30NOV17	6783.50	6869.53	USD	-2,150.75	
	NET	-5 AVG	BGHT 6841.50	SOLD 6795.21			-11,603.75	0.00
01SEP17	S	1	PD01DEC17	6806.00	6870.00	USD	-1,600.00	
01SEP17	S	1	PD01DEC17	6816.50	6870.00	USD	-1,337.50	
01SEP17	S	1	PD01DEC17	6811.00	6870.00	USD	-1,475.00	
	NET	-3 AVG	SOLD 6811.17				-4,412.50	0.00
06SEP17	S	5	PD06DEC17	6910.50	6872.00	USD	4,812.50	
06SEP17	S	2	PD06DEC17	6914.50	6872.00	USD	2,125.00	
06SEP17	S	3	PD06DEC17	6911.00	6872.00	USD	2,925.00	
	NET	-10 AVG	SOLD 6911.45				9,862.50	0.00

OPEN POSITION STATEMENT



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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>								
08SEP17	S	5	PD08DEC17		6807.00	6872.83	USD	-8,228.75	
08SEP17	S	1	PD08DEC17		6782.50	6872.83	USD	-2,258.25	
08SEP17	S	4	PD08DEC17		6744.00	6872.83	USD	-12,883.00	
08SEP17	S	2	PD08DEC17		6720.50	6872.83	USD	-7,616.50	
08SEP17	S	3	PD08DEC17		6720.00	6872.83	USD	-11,462.25	
02OCT17	B	6	PD08DEC17		6485.45	6872.83	USD	58,107.00	
	NET	-9 AVG	BGHT 6485.45	SOLD 6759.63				15,658.25	0.00
11SEP17	S	2	PD11DEC17		6696.50	6874.08	USD	-8,879.00	
	NET	-2 AVG	SOLD 6696.50					-8,879.00	0.00

OPEN POSITION VALUE

LME Copper Grade A 25	FUTURES	<u>USD</u> 263,373.25
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MARKET REVALUATION BREAKDOWN

Unrealised Profits & Losses - Forwards	Amount	Profit / Loss	Total Amount	Total P&L
COPL - Futures USD	256,444.25	256,444.25	256,444.25	256,444.25

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	-252,501.97	-252,501.97
Variation Margins - Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	-252,501.97	-252,501.97
Initial Margins	0.00	0.00
Excess/(Shortage)	-252,501.97	-252,501.97
FOR INFORMATION ONLY		
Forward Unrealised P&L	256,444.25	256,444.25
Net Liquidating Value	3,942.28	3,942.28

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Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>					
17JUL17	S 1 PD17OCT17	5984.00	6859.00	USD	-21,875.00	
13OCT17	S 1 PD17OCT17	6859.00	6859.00	USD	0.00	
	NET -2 AVG SOLD 6421.50				-21,875.00	0.00
25JUL17	B 1 PD25OCT17	6224.00	6857.50	USD	15,837.50	
25JUL17	B 3 PD25OCT17	6246.50	6857.50	USD	45,825.00	
25JUL17	B 2 PD25OCT17	6259.50	6857.50	USD	29,900.00	
25JUL17	B 1 PD25OCT17	6249.00	6857.50	USD	15,212.50	
25JUL17	B 2 PD25OCT17	6248.00	6857.50	USD	30,475.00	
25JUL17	B 2 PD25OCT17	6265.00	6857.50	USD	29,625.00	
13OCT17	B 1 PD25OCT17	6857.70	6857.50	USD	-5.00	
	NET +12 AVG BGHT 6301.27				166,870.00	0.00
27JUL17	S 2 PD27OCT17	6331.00	6857.50	USD	-26,325.00	
27JUL17	S 1 PD27OCT17	6332.00	6857.50	USD	-13,137.50	
27JUL17	S 2 PD27OCT17	6333.00	6857.50	USD	-26,225.00	
28JUL17	S 2 PD27OCT17	6325.00	6857.50	USD	-26,625.00	
28JUL17	S 4 PD27OCT17	6326.00	6857.50	USD	-53,150.00	
28JUL17	S 1 PD27OCT17	6327.00	6857.50	USD	-13,262.50	
28JUL17	S 5 PD27OCT17	6329.00	6857.50	USD	-66,062.50	
19SEP17	B 15 PD27OCT17	6514.02	6857.50	USD	128,805.00	
	NET -2 AVG BGHT 6514.02 SOLD 6328.59				-95,982.50	0.00
31JUL17	S 1 PD31OCT17	6382.50	6858.27	USD	-11,894.25	
31JUL17	S 1 PD31OCT17	6383.00	6858.27	USD	-11,881.75	
31JUL17	S 1 PD31OCT17	6385.50	6858.27	USD	-11,819.25	
31JUL17	S 1 PD31OCT17	6406.00	6858.27	USD	-11,306.75	
31JUL17	S 1 PD31OCT17	6406.50	6858.27	USD	-11,294.25	
31JUL17	S 1 PD31OCT17	6408.50	6858.27	USD	-11,244.25	
31JUL17	S 1 PD31OCT17	6409.50	6858.27	USD	-11,219.25	
31JUL17	S 1 PD31OCT17	6411.00	6858.27	USD	-11,181.75	
	NET -8 AVG SOLD 6399.06				-91,841.50	0.00
24AUG17	B 2 PD24NOV17	6607.00	6866.73	USD	12,986.50	
24AUG17	B 1 PD24NOV17	6608.50	6866.73	USD	6,455.75	
24AUG17	B 1 PD24NOV17	6609.50	6866.73	USD	6,430.75	
24AUG17	B 1 PD24NOV17	6611.00	6866.73	USD	6,393.25	
	NET +5 AVG BGHT 6608.60				32,266.25	0.00
05SEP17	B 1 PD05DEC17	6935.00	6871.60	USD	-1,585.00	
05SEP17	B 1 PD05DEC17	6936.50	6871.60	USD	-1,622.50	
05SEP17	B 1 PD05DEC17	6937.50	6871.60	USD	-1,647.50	
05SEP17	B 1 PD05DEC17	6939.50	6871.60	USD	-1,697.50	
05SEP17	B 1 PD05DEC17	6940.50	6871.60	USD	-1,722.50	
	NET +5 AVG BGHT 6937.80				-8,275.00	0.00
08SEP17	S 1 PD08DEC17	6940.50	6872.83	USD	1,691.75	
08SEP17	S 1 PD08DEC17	6941.00	6872.83	USD	1,704.25	
08SEP17	S 3 PD08DEC17	6942.00	6872.83	USD	5,187.75	
08SEP17	S 4 PD08DEC17	6801.00	6872.83	USD	-7,183.00	
08SEP17	S 1 PD08DEC17	6800.00	6872.83	USD	-1,820.75	
	NET -10 AVG SOLD 6871.15				-420.00	0.00

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MARKET REVALUATION BREAKDOWN

Unrealised Profits & Losses - Forwards	Amount	Profit / Loss	Total Amount	Total P&L
COPL - Futures USD	-19,257.75	-19,257.75	-19,257.75	-19,257.75

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	19,691.45	19,691.45
Variation Margins - Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	19,691.45	19,691.45
Initial Margins	-25,802.75	-25,802.75
Excess/(Shortage)	-6,111.30	-6,111.30
<u>FOR INFORMATION ONLY</u>		
Forward Unrealised P&L	-19,257.75	-19,257.75
Net Liquidating Value	433.70	433.70

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
17JUL17	B	1	PD17OCT17	6001.00	6859.00	USD	21,450.00	
17JUL17	B	1	PD17OCT17	6016.00	6859.00	USD	21,075.00	
17JUL17	B	1	PD17OCT17	6018.00	6859.00	USD	21,025.00	
17JUL17	B	1	PD17OCT17	6018.50	6859.00	USD	21,012.50	
17JUL17	B	1	PD17OCT17	6019.50	6859.00	USD	20,987.50	
13OCT17	S	5	PD17OCT17	6859.00	6859.00	USD	0.00	
	NET	0 AVG	BGHT 6014.60	SOLD 6859.00			105,550.00	0.00
18JUL17	B	1	PD18OCT17	6001.00	6859.50	USD	21,462.50	
18JUL17	B	1	PD18OCT17	6003.50	6859.50	USD	21,400.00	
18JUL17	B	1	PD18OCT17	6011.00	6859.50	USD	21,212.50	
18JUL17	S	1	PD18OCT17	5985.50	6859.50	USD	-21,850.00	
18JUL17	S	2	PD18OCT17	5986.00	6859.50	USD	-43,675.00	
	NET	0 AVG	BGHT 6005.17	SOLD 5985.83			-1,450.00	0.00
19JUL17	S	1	PD19OCT17	5974.00	6857.50	USD	-22,087.50	
19JUL17	S	1	PD19OCT17	5966.50	6857.50	USD	-22,275.00	
13OCT17	B	2	PD19OCT17	6857.70	6857.50	USD	-10.00	
	NET	0 AVG	BGHT 6857.70	SOLD 5970.25			-44,372.50	0.00
20JUL17	S	1	PD20OCT17	5970.00	6857.50	USD	-22,187.50	
20JUL17	S	2	PD20OCT17	5973.50	6857.50	USD	-44,200.00	
20JUL17	B	1	PD20OCT17	5975.50	6857.50	USD	22,050.00	
20JUL17	B	1	PD20OCT17	5976.00	6857.50	USD	22,037.50	
20JUL17	S	1	PD20OCT17	5960.00	6857.50	USD	-22,437.50	
20JUL17	S	1	PD20OCT17	5962.00	6857.50	USD	-22,387.50	
21JUL17	B	1	PD20OCT17	6002.00	6857.50	USD	21,387.50	
21JUL17	B	1	PD20OCT17	6003.00	6857.50	USD	21,362.50	
21JUL17	B	1	PD20OCT17	6003.50	6857.50	USD	21,350.00	
21JUL17	B	1	PD20OCT17	6005.00	6857.50	USD	21,312.50	
21JUL17	B	1	PD20OCT17	6008.50	6857.50	USD	21,225.00	
21JUL17	B	5	PD20OCT17	6035.00	6857.50	USD	102,812.50	
21JUL17	S	1	PD20OCT17	6042.50	6857.50	USD	-20,375.00	
21JUL17	B	1	PD20OCT17	6032.50	6857.50	USD	20,625.00	
21JUL17	S	1	PD20OCT17	5990.00	6857.50	USD	-21,687.50	
21JUL17	B	1	PD20OCT17	5995.00	6857.50	USD	21,562.50	
21JUL17	S	5	PD20OCT17	6000.00	6857.50	USD	-107,187.50	
21JUL17	S	1	PD20OCT17	5995.00	6857.50	USD	-21,562.50	
21JUL17	S	1	PD20OCT17	5994.50	6857.50	USD	-21,575.00	
21JUL17	S	1	PD20OCT17	5999.50	6857.50	USD	-21,450.00	
21JUL17	S	2	PD20OCT17	5997.50	6857.50	USD	-43,000.00	
13OCT17	B	3	PD20OCT17	6857.70	6857.50	USD	-15.00	
	NET	0 AVG	BGHT 6161.71	SOLD 5991.50			-72,340.00	0.00
24JUL17	S	1	PD24OCT17	6019.00	6857.50	USD	-20,962.50	
24JUL17	B	1	PD24OCT17	6025.00	6857.50	USD	20,812.50	
24JUL17	S	1	PD24OCT17	6016.00	6857.50	USD	-21,037.50	
24JUL17	B	1	PD24OCT17	6017.00	6857.50	USD	21,012.50	
	NET	0 AVG	BGHT 6021.00	SOLD 6017.50			-175.00	0.00
25JUL17	B	2	PD25OCT17	6125.50	6857.50	USD	36,600.00	
25JUL17	B	3	PD25OCT17	6148.00	6857.50	USD	53,212.50	
25JUL17	B	1	PD25OCT17	6160.50	6857.50	USD	17,425.00	
25JUL17	S	1	PD25OCT17	6172.50	6857.50	USD	-17,125.00	
25JUL17	S	1	PD25OCT17	6184.50	6857.50	USD	-16,825.00	
25JUL17	S	1	PD25OCT17	6173.50	6857.50	USD	-17,100.00	
25JUL17	S	1	PD25OCT17	6194.00	6857.50	USD	-16,587.50	
25JUL17	B	1	PD25OCT17	6215.50	6857.50	USD	16,050.00	
25JUL17	B	1	PD25OCT17	6212.00	6857.50	USD	16,137.50	
25JUL17	B	2	PD25OCT17	6213.00	6857.50	USD	32,225.00	
25JUL17	B	3	PD25OCT17	6215.00	6857.50	USD	48,187.50	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>					
25JUL17	B 1 PD25OCT17	6224.00	6857.50	USD	15,837.50	
	NET +10 AVG BGHT 6184.14 SOLD 6181.13				168,037.50	0.00
26JUL17	B 1 PD26OCT17	6300.00	6857.50	USD	13,937.50	
26JUL17	B 1 PD26OCT17	6350.50	6857.50	USD	12,675.00	
26JUL17	S 2 PD26OCT17	6300.00	6857.50	USD	-27,875.00	
26JUL17	S 1 PD26OCT17	6300.50	6857.50	USD	-13,925.00	
26JUL17	S 1 PD26OCT17	6348.50	6857.50	USD	-12,725.00	
26JUL17	B 1 PD26OCT17	6359.50	6857.50	USD	12,450.00	
26JUL17	S 1 PD26OCT17	6333.50	6857.50	USD	-13,100.00	
26JUL17	S 1 PD26OCT17	6338.00	6857.50	USD	-12,987.50	
26JUL17	S 1 PD26OCT17	6349.00	6857.50	USD	-12,712.50	
26JUL17	S 1 PD26OCT17	6350.00	6857.50	USD	-12,687.50	
26JUL17	B 1 PD26OCT17	6306.00	6857.50	USD	13,787.50	
26JUL17	B 4 PD26OCT17	6303.00	6857.50	USD	55,450.00	
	NET 0 AVG BGHT 6316.00 SOLD 6327.44				2,287.50	0.00
27JUL17	S 1 PD27OCT17	6331.50	6857.50	USD	-13,150.00	
27JUL17	S 2 PD27OCT17	6332.50	6857.50	USD	-26,250.00	
27JUL17	S 1 PD27OCT17	6338.50	6857.50	USD	-12,975.00	
27JUL17	S 1 PD27OCT17	6339.00	6857.50	USD	-12,962.50	
26SEP17	B 5 PD27OCT17	6377.45	6857.50	USD	60,006.25	
	NET 0 AVG BGHT 6377.45 SOLD 6334.80				-5,331.25	0.00
31JUL17	S 2 PD31OCT17	6397.00	6858.27	USD	-23,063.50	
31JUL17	S 6 PD31OCT17	6399.00	6858.27	USD	-68,890.50	
31JUL17	S 3 PD31OCT17	6400.00	6858.27	USD	-34,370.25	
31JUL17	S 3 PD31OCT17	6400.50	6858.27	USD	-34,332.75	
31JUL17	S 1 PD31OCT17	6401.00	6858.27	USD	-11,431.75	
10OCT17	B 5 PD31OCT17	6721.95	6858.27	USD	17,040.00	
	NET -10 AVG BGHT 6721.95 SOLD 6399.37				-155,048.75	0.00
01AUG17	B 1 PD01NOV17	6378.50	6859.05	USD	12,013.75	
01AUG17	S 1 PD01NOV17	6352.50	6859.05	USD	-12,663.75	
01AUG17	B 1 PD01NOV17	6354.00	6859.05	USD	12,626.25	
01AUG17	B 1 PD01NOV17	6353.50	6859.05	USD	12,638.75	
01AUG17	S 1 PD01NOV17	6342.00	6859.05	USD	-12,926.25	
01AUG17	S 1 PD01NOV17	6337.00	6859.05	USD	-13,051.25	
	NET 0 AVG BGHT 6362.00 SOLD 6343.83				-1,362.50	0.00
02AUG17	B 5 PD02NOV17	6357.00	6859.82	USD	62,852.50	
	NET +5 AVG BGHT 6357.00				62,852.50	0.00
03AUG17	S 5 PD03NOV17	6330.00	6860.59	USD	-66,323.75	
	NET -5 AVG SOLD 6330.00				-66,323.75	0.00
08AUG17	B 1 PD08NOV17	6424.00	6864.45	USD	11,011.25	
08AUG17	B 4 PD08NOV17	6426.00	6864.45	USD	43,845.00	
	NET +5 AVG BGHT 6425.60				54,856.25	0.00
31AUG17	S 2 PD30NOV17	6788.00	6869.53	USD	-4,076.50	
31AUG17	S 1 PD30NOV17	6789.00	6869.53	USD	-2,013.25	
31AUG17	S 1 PD30NOV17	6791.00	6869.53	USD	-1,963.25	
31AUG17	S 1 PD30NOV17	6785.00	6869.53	USD	-2,113.25	
	NET -5 AVG SOLD 6788.20				-10,166.25	0.00
04SEP17	B 1 PD04DEC17	6920.50	6871.20	USD	-1,232.50	
04SEP17	B 4 PD04DEC17	6921.00	6871.20	USD	-4,980.00	
	NET +5 AVG BGHT 6920.90				-6,212.50	0.00
08SEP17	S 1 PD08DEC17	6825.00	6872.83	USD	-1,195.75	
08SEP17	S 1 PD08DEC17	6830.00	6872.83	USD	-1,070.75	
08SEP17	S 1 PD08DEC17	6832.00	6872.83	USD	-1,020.75	
08SEP17	S 2 PD08DEC17	6823.00	6872.83	USD	-2,491.50	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0118E

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>					
	NET -5 AVG SOLD 6826.60				-5,778.75	0.00

OPEN POSITION VALUE

LME Copper Grade A 25 FUTURES USD 26,150.00

MARKET REVALUATION BREAKDOWN

Unrealised Profits & Losses - Forwards	Amount	Profit / Loss	Total Amount	Total P&L
COPL - Futures USD	25,022.50	25,022.50	25,022.50	25,022.50

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
CASH BALANCE	(1.00000)	(1.00000)
Variation Margins	-29,074.67	-29,074.67
- Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	-29,074.67	-29,074.67
Initial Margins	0.00	0.00
Excess/(Shortage)	-29,074.67	-29,074.67
FOR INFORMATION ONLY		
Forward Unrealised P&L	25,022.50	25,022.50
Net Liquidating Value	-4,052.17	-4,052.17

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0118F

13th October 2017

Sapien Capital Limited
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London W1J 7NJ UK
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ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	-995.77	-995.77
Variation Margins		
- Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	-995.77	-995.77
Initial Margins	0.00	0.00
Excess/(Shortage)	-995.77	-995.77
FOR INFORMATION ONLY		
Net Liquidating Value	-995.77	-995.77

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0118G

13th October 2017

Sapien Capital Limited
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London W1J 7NJ UK
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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
17JUL17	S	5	PD17OCT17	5980.00	6859.00	USD	-109,875.00	
20SEP17	B	5	PD17OCT17	6499.75	6859.00	USD	44,906.25	
	NET	0 AVG	BGHT 6499.75	SOLD 5980.00			-64,968.75	0.00
25JUL17	B	4	PD25OCT17	6223.00	6857.50	USD	63,450.00	
25JUL17	B	3	PD25OCT17	6224.50	6857.50	USD	47,475.00	
25JUL17	B	3	PD25OCT17	6224.00	6857.50	USD	47,512.50	
20SEP17	S	10	PD25OCT17	6503.79	6857.50	USD	-88,427.50	
	NET	0 AVG	BGHT 6223.75	SOLD 6503.79			70,010.00	0.00
28JUL17	S	1	PD27OCT17	6319.00	6857.50	USD	-13,462.50	
28JUL17	S	1	PD27OCT17	6320.00	6857.50	USD	-13,437.50	
28JUL17	S	3	PD27OCT17	6324.00	6857.50	USD	-40,012.50	
28JUL17	S	1	PD27OCT17	6329.00	6857.50	USD	-13,212.50	
28JUL17	S	3	PD27OCT17	6330.00	6857.50	USD	-39,562.50	
28JUL17	S	1	PD27OCT17	6330.50	6857.50	USD	-13,175.00	
20SEP17	B	10	PD27OCT17	6504.56	6857.50	USD	88,235.00	
	NET	0 AVG	BGHT 6504.56	SOLD 6326.05			-44,627.50	0.00
31JUL17	S	3	PD31OCT17	6394.00	6858.27	USD	-34,820.25	
31JUL17	S	2	PD31OCT17	6394.50	6858.27	USD	-23,188.50	
20SEP17	B	5	PD31OCT17	6505.70	6858.27	USD	44,071.25	
	NET	0 AVG	BGHT 6505.70	SOLD 6394.20			-13,937.50	0.00
04SEP17	B	4	PD04DEC17	6911.50	6871.20	USD	-4,030.00	
04SEP17	B	1	PD04DEC17	6916.50	6871.20	USD	-1,132.50	
	NET	+5 AVG	BGHT 6912.50				-5,162.50	0.00
05SEP17	B	2	PD05DEC17	6938.00	6871.60	USD	-3,320.00	
05SEP17	B	1	PD05DEC17	6937.00	6871.60	USD	-1,635.00	
05SEP17	B	1	PD05DEC17	6938.50	6871.60	USD	-1,672.50	
05SEP17	B	1	PD05DEC17	6940.00	6871.60	USD	-1,710.00	
	NET	+5 AVG	BGHT 6938.30				-8,337.50	0.00
08SEP17	S	1	PD08DEC17	6928.50	6872.83	USD	1,391.75	
08SEP17	S	1	PD08DEC17	6929.50	6872.83	USD	1,416.75	
08SEP17	S	1	PD08DEC17	6936.00	6872.83	USD	1,579.25	
08SEP17	S	2	PD08DEC17	6936.50	6872.83	USD	3,183.50	
08SEP17	S	1	PD08DEC17	6797.00	6872.83	USD	-1,895.75	
08SEP17	S	1	PD08DEC17	6805.00	6872.83	USD	-1,695.75	
08SEP17	S	1	PD08DEC17	6803.50	6872.83	USD	-1,733.25	
08SEP17	S	1	PD08DEC17	6804.00	6872.83	USD	-1,720.75	
08SEP17	S	2	PD08DEC17	6801.50	6872.83	USD	-3,566.50	
08SEP17	S	2	PD08DEC17	6801.00	6872.83	USD	-3,591.50	
08SEP17	S	1	PD08DEC17	6802.00	6872.83	USD	-1,770.75	
08SEP17	S	1	PD08DEC17	6788.00	6872.83	USD	-2,120.75	
	NET	-15 AVG	SOLD 6844.77				-10,523.75	0.00
12SEP17	B	2	PD12DEC17	6667.00	6874.50	USD	10,375.00	
12SEP17	B	1	PD12DEC17	6668.00	6874.50	USD	5,162.50	
12SEP17	B	2	PD12DEC17	6667.50	6874.50	USD	10,350.00	
	NET	+5 AVG	BGHT 6667.40				25,887.50	0.00

OPEN POSITION VALUE

LME Copper Grade A 25 FUTURES USD
-51,511.25

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0118G

13th October 2017

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London W1J 7NJ UK
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AUTHORISED AND REGULATED BY THE
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MARKET REVALUATION BREAKDOWN

Unrealised Profits & Losses - Forwards	Amount	Profit / Loss	Total Amount	Total P&L
COPL - Futures USD	-51,660.00	-51,660.00	-51,660.00	-51,660.00

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	47,901.07	47,901.07
Variation Margins - Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	47,901.07	47,901.07
Initial Margins	-54,663.00	-54,663.00
Excess/(Shortage)	-6,761.93	-6,761.93
<u>FOR INFORMATION ONLY</u>		
Forward Unrealised P&L	-51,660.00	-51,660.00
Net Liquidating Value	-3,758.93	-3,758.93

OPEN POSITION STATEMENT



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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
25JUL17	B	1	PD25OCT17	6267.50	6857.50	USD	14,750.00	
25JUL17	B	3	PD25OCT17	6266.50	6857.50	USD	44,325.00	
25JUL17	B	1	PD25OCT17	6266.50	6857.50	USD	14,775.00	
	NET	+5 AVG BGHT	6266.70				73,850.00	0.00
26JUL17	S	1	PD26OCT17	6326.00	6857.50	USD	-13,287.50	
26JUL17	S	1	PD26OCT17	6340.50	6857.50	USD	-12,925.00	
26JUL17	S	1	PD26OCT17	6344.00	6857.50	USD	-12,837.50	
26JUL17	S	1	PD26OCT17	6378.00	6857.50	USD	-11,987.50	
26JUL17	S	1	PD26OCT17	6381.00	6857.50	USD	-11,912.50	
26JUL17	B	2	PD26OCT17	6275.00	6857.50	USD	29,125.00	
26JUL17	B	1	PD26OCT17	6274.00	6857.50	USD	14,587.50	
26JUL17	B	2	PD26OCT17	6269.50	6857.50	USD	29,400.00	
26JUL17	B	3	PD26OCT17	6312.00	6857.50	USD	40,912.50	
26JUL17	B	2	PD26OCT17	6312.50	6857.50	USD	27,250.00	
26JUL17	S	1	PD26OCT17	6310.00	6857.50	USD	-13,687.50	
26JUL17	S	1	PD26OCT17	6311.00	6857.50	USD	-13,662.50	
26JUL17	S	1	PD26OCT17	6320.50	6857.50	USD	-13,425.00	
26JUL17	S	1	PD26OCT17	6312.00	6857.50	USD	-13,637.50	
26JUL17	S	1	PD26OCT17	6313.00	6857.50	USD	-13,612.50	
26JUL17	S	1	PD26OCT17	6331.00	6857.50	USD	-13,162.50	
26JUL17	S	1	PD26OCT17	6341.00	6857.50	USD	-12,912.50	
26JUL17	S	1	PD26OCT17	6342.00	6857.50	USD	-12,887.50	
26JUL17	S	2	PD26OCT17	6323.50	6857.50	USD	-26,700.00	
20SEP17	B	5	PD26OCT17	6504.27	6857.50	USD	44,153.75	
	NET	0 AVG BGHT	6363.02	SOLD 6333.13			-11,208.75	0.00
27JUL17	S	1	PD27OCT17	6355.00	6857.50	USD	-12,562.50	
27JUL17	B	1	PD27OCT17	6322.00	6857.50	USD	13,387.50	
	NET	0 AVG BGHT	6322.00	SOLD 6355.00			825.00	0.00
31JUL17	S	1	PD31OCT17	6344.50	6858.27	USD	-12,844.25	
31JUL17	S	3	PD31OCT17	6345.50	6858.27	USD	-38,457.75	
31JUL17	S	1	PD31OCT17	6346.50	6858.27	USD	-12,794.25	
20SEP17	B	4	PD31OCT17	6505.70	6858.27	USD	35,257.00	
26SEP17	B	1	PD31OCT17	6380.45	6858.27	USD	11,945.50	
	NET	0 AVG BGHT	6480.65	SOLD 6345.50			-16,893.75	0.00
02AUG17	B	3	PD02NOV17	6338.00	6859.82	USD	39,136.50	
02AUG17	B	2	PD02NOV17	6338.50	6859.82	USD	26,066.00	
02AUG17	S	1	PD02NOV17	6341.00	6859.82	USD	-12,970.50	
02AUG17	S	1	PD02NOV17	6335.50	6859.82	USD	-13,108.00	
02AUG17	S	1	PD02NOV17	6339.00	6859.82	USD	-13,020.50	
02AUG17	S	1	PD02NOV17	6331.00	6859.82	USD	-13,220.50	
02AUG17	S	1	PD02NOV17	6330.00	6859.82	USD	-13,245.50	
02AUG17	S	1	PD02NOV17	6330.50	6859.82	USD	-13,233.00	
02AUG17	S	2	PD02NOV17	6327.00	6859.82	USD	-26,641.00	
02AUG17	S	1	PD02NOV17	6319.50	6859.82	USD	-13,508.00	
02AUG17	S	1	PD02NOV17	6313.00	6859.82	USD	-13,670.50	
02AUG17	S	1	PD02NOV17	6340.50	6859.82	USD	-12,983.00	
02AUG17	S	1	PD02NOV17	6342.00	6859.82	USD	-12,945.50	
02AUG17	B	2	PD02NOV17	6335.00	6859.82	USD	26,241.00	
02AUG17	B	3	PD02NOV17	6331.00	6859.82	USD	39,661.50	
02AUG17	B	1	PD02NOV17	6333.50	6859.82	USD	13,158.00	
02AUG17	B	1	PD02NOV17	6334.50	6859.82	USD	13,133.00	
	NET	0 AVG BGHT	6335.17	SOLD 6331.33			-1,150.00	0.00
03AUG17	S	1	PD03NOV17	6314.00	6860.59	USD	-13,664.75	
03AUG17	S	2	PD03NOV17	6318.50	6860.59	USD	-27,104.50	
03AUG17	S	2	PD03NOV17	6319.50	6860.59	USD	-27,054.50	
04AUG17	S	1	PD03NOV17	6326.50	6860.59	USD	-13,352.25	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP01181

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

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Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>					
04AUG17	S 4 PD03NOV17	6321.00	6860.59	USD	-53,959.00	
26SEP17	B 10 PD03NOV17	6382.70	6860.59	USD	119,472.50	
	NET 0AVG BGHT 6382.70 SOLD 6320.05				-15,662.50	0.00
07AUG17	S 1 PD07NOV17	6349.00	6863.68	USD	-12,867.00	
07AUG17	S 1 PD07NOV17	6352.50	6863.68	USD	-12,779.50	
07AUG17	B 1 PD07NOV17	6387.50	6863.68	USD	11,904.50	
07AUG17	B 1 PD07NOV17	6386.50	6863.68	USD	11,929.50	
07AUG17	B 3 PD07NOV17	6385.50	6863.68	USD	35,863.50	
07AUG17	B 1 PD07NOV17	6397.50	6863.68	USD	11,654.50	
07AUG17	B 1 PD07NOV17	6439.50	6863.68	USD	10,604.50	
07AUG17	B 1 PD07NOV17	6434.50	6863.68	USD	10,729.50	
07AUG17	B 2 PD07NOV17	6425.00	6863.68	USD	21,934.00	
	NET +8AVG BGHT 6405.20 SOLD 6350.75				88,973.50	0.00
11AUG17	S 2 PD10NOV17	6365.50	6866.00	USD	-25,025.00	
11AUG17	S 1 PD10NOV17	6366.50	6866.00	USD	-12,487.50	
11AUG17	S 3 PD10NOV17	6363.50	6866.00	USD	-37,687.50	
11AUG17	S 2 PD10NOV17	6364.50	6866.00	USD	-25,075.00	
11AUG17	S 1 PD10NOV17	6361.50	6866.00	USD	-12,612.50	
11AUG17	S 1 PD10NOV17	6362.50	6866.00	USD	-12,587.50	
11AUG17	S 1 PD10NOV17	6378.00	6866.00	USD	-12,200.00	
11AUG17	S 1 PD10NOV17	6410.00	6866.00	USD	-11,400.00	
11AUG17	S 1 PD10NOV17	6431.00	6866.00	USD	-10,875.00	
02OCT17	B 6 PD10NOV17	6473.91	6866.00	USD	58,813.50	
11OCT17	B 7 PD10NOV17	6777.70	6866.00	USD	15,452.50	
	NET 0AVG BGHT 6637.49 SOLD 6373.85				-85,684.00	0.00
14AUG17	B 3 PD14NOV17	6398.00	6864.67	USD	35,000.25	
14AUG17	B 1 PD14NOV17	6400.00	6864.67	USD	11,616.75	
14AUG17	B 1 PD14NOV17	6399.00	6864.67	USD	11,641.75	
14AUG17	B 1 PD14NOV17	6401.50	6864.67	USD	11,579.25	
14AUG17	B 1 PD14NOV17	6406.00	6864.67	USD	11,466.75	
14AUG17	B 3 PD14NOV17	6405.00	6864.67	USD	34,475.25	
	NET +10AVG BGHT 6401.55				115,780.00	0.00
23AUG17	B 5 PD24NOV17	6558.50	6866.73	USD	38,528.75	
	NET +5AVG BGHT 6558.50				38,528.75	0.00
29AUG17	S 1 PD29NOV17	6806.50	6869.07	USD	-1,564.25	
29AUG17	S 3 PD29NOV17	6812.00	6869.07	USD	-4,280.25	
29AUG17	S 1 PD29NOV17	6802.00	6869.07	USD	-1,676.75	
11OCT17	B 2 PD29NOV17	6783.38	6869.07	USD	4,284.50	
	NET -3AVG BGHT 6783.38 SOLD 6808.90				-3,236.75	0.00
31AUG17	S 1 PD30NOV17	6808.00	6869.53	USD	-1,538.25	
31AUG17	S 1 PD30NOV17	6801.00	6869.53	USD	-1,713.25	
31AUG17	S 1 PD30NOV17	6800.00	6869.53	USD	-1,738.25	
31AUG17	B 2 PD30NOV17	6841.50	6869.53	USD	1,401.50	
31AUG17	S 1 PD30NOV17	6810.00	6869.53	USD	-1,488.25	
31AUG17	S 1 PD30NOV17	6790.00	6869.53	USD	-1,988.25	
31AUG17	S 1 PD30NOV17	6786.00	6869.53	USD	-2,088.25	
31AUG17	S 1 PD30NOV17	6784.50	6869.53	USD	-2,125.75	
31AUG17	S 1 PD30NOV17	6783.50	6869.53	USD	-2,150.75	
	NET -6AVG BGHT 6841.50 SOLD 6795.38				-13,429.50	0.00
01SEP17	S 1 PD01DEC17	6806.50	6870.00	USD	-1,587.50	
01SEP17	S 1 PD01DEC17	6816.50	6870.00	USD	-1,337.50	
	NET -2AVG SOLD 6811.50				-2,925.00	0.00
04SEP17	B 3 PD04DEC17	6906.00	6871.20	USD	-2,610.00	
	NET +3AVG BGHT 6906.00				-2,610.00	0.00
06SEP17	S 5 PD06DEC17	6911.00	6872.00	USD	4,875.00	

OPEN POSITION STATEMENT



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13th October 2017

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>					
	NET -5 AVG SOLD 6911.00				4,875.00	0.00
08SEP17	S 1 PD08DEC17	6802.50	6872.83	USD	-1,758.25	
08SEP17	S 1 PD08DEC17	6792.00	6872.83	USD	-2,020.75	
08SEP17	S 1 PD08DEC17	6790.50	6872.83	USD	-2,058.25	
08SEP17	S 1 PD08DEC17	6782.00	6872.83	USD	-2,270.75	
08SEP17	S 1 PD08DEC17	6783.00	6872.83	USD	-2,245.75	
08SEP17	S 1 PD08DEC17	6720.00	6872.83	USD	-3,820.75	
08SEP17	S 2 PD08DEC17	6720.50	6872.83	USD	-7,616.50	
	NET -8 AVG SOLD 6763.88				-21,791.00	0.00
11SEP17	S 1 PD11DEC17	6698.00	6874.08	USD	-4,402.00	
11SEP17	S 3 PD11DEC17	6697.00	6874.08	USD	-13,281.00	
	NET -4 AVG SOLD 6697.25				-17,683.00	0.00
12SEP17	S 1 PD12DEC17	6702.50	6874.50	USD	-4,300.00	
12SEP17	S 2 PD12DEC17	6701.50	6874.50	USD	-8,650.00	
	NET -3 AVG SOLD 6701.83				-12,950.00	0.00

OPEN POSITION VALUE

LME Copper Grade A 25	FUTURES	<u>USD</u> 123,507.00
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MARKET REVALUATION BREAKDOWN

Unrealised Profits & Losses - Forwards	Amount	Profit / Loss	Total Amount	Total P&L
COPL - Futures USD	117,608.00	117,608.00	117,608.00	117,608.00

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	-115,733.80	-115,733.80
Variation Margins - Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	-115,733.80	-115,733.80
Initial Margins	0.00	0.00
Excess/(Shortage)	-115,733.80	-115,733.80
FOR INFORMATION ONLY		
Forward Unrealised P&L	117,608.00	117,608.00
Net Liquidating Value	1,874.20	1,874.20

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0118J

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
17JUL17	B	2	PD17OCT17	6014.50	6859.00	USD	42,225.00	
17JUL17	B	2	PD17OCT17	6015.50	6859.00	USD	42,175.00	
17JUL17	B	3	PD17OCT17	6016.00	6859.00	USD	63,225.00	
17JUL17	B	1	PD17OCT17	6016.50	6859.00	USD	21,062.50	
17JUL17	B	2	PD17OCT17	6017.00	6859.00	USD	42,100.00	
13OCT17	S	10	PD17OCT17	6859.00	6859.00	USD	0.00	
	NET	0 AVG BGHT 6015.85	SOLD 6859.00				210,787.50	0.00
25JUL17	B	1	PD25OCT17	6209.50	6857.50	USD	16,200.00	
25JUL17	B	1	PD25OCT17	6210.50	6857.50	USD	16,175.00	
25JUL17	B	1	PD25OCT17	6212.00	6857.50	USD	16,137.50	
25JUL17	B	2	PD25OCT17	6211.00	6857.50	USD	32,325.00	
	NET	+5 AVG BGHT 6210.80					80,837.50	0.00
26JUL17	B	2	PD26OCT17	6277.50	6857.50	USD	29,000.00	
26JUL17	B	3	PD26OCT17	6279.50	6857.50	USD	43,350.00	
	NET	+5 AVG BGHT 6278.70					72,350.00	0.00
28JUL17	S	2	PD27OCT17	6322.50	6857.50	USD	-26,750.00	
28JUL17	S	1	PD27OCT17	6324.00	6857.50	USD	-13,337.50	
28JUL17	S	1	PD27OCT17	6326.50	6857.50	USD	-13,275.00	
28JUL17	S	1	PD27OCT17	6327.00	6857.50	USD	-13,262.50	
20SEP17	B	5	PD27OCT17	6504.56	6857.50	USD	44,117.50	
	NET	0 AVG BGHT 6504.56	SOLD 6324.50				-22,507.50	0.00
31JUL17	S	1	PD31OCT17	6362.50	6858.27	USD	-12,394.25	
31JUL17	S	2	PD31OCT17	6363.00	6858.27	USD	-24,763.50	
31JUL17	S	1	PD31OCT17	6363.50	6858.27	USD	-12,369.25	
31JUL17	S	1	PD31OCT17	6365.00	6858.27	USD	-12,331.75	
20SEP17	B	5	PD31OCT17	6505.70	6858.27	USD	44,071.25	
	NET	0 AVG BGHT 6505.70	SOLD 6363.40				-17,787.50	0.00
01AUG17	B	1	PD01NOV17	6339.50	6859.05	USD	12,988.75	
01AUG17	B	1	PD01NOV17	6341.50	6859.05	USD	12,938.75	
01AUG17	B	1	PD01NOV17	6344.00	6859.05	USD	12,876.25	
01AUG17	B	2	PD01NOV17	6340.00	6859.05	USD	25,952.50	
	NET	+5 AVG BGHT 6341.00					64,756.25	0.00
02AUG17	S	2	PD02NOV17	6344.50	6859.82	USD	-25,766.00	
02AUG17	S	1	PD02NOV17	6345.50	6859.82	USD	-12,858.00	
02AUG17	S	1	PD02NOV17	6346.00	6859.82	USD	-12,845.50	
02AUG17	S	1	PD02NOV17	6348.00	6859.82	USD	-12,795.50	
20SEP17	B	5	PD02NOV17	6506.77	6859.82	USD	44,131.25	
	NET	0 AVG BGHT 6506.77	SOLD 6345.70				-20,133.75	0.00
09AUG17	S	3	PD09NOV17	6481.50	6865.23	USD	-28,779.75	
09AUG17	S	1	PD09NOV17	6482.00	6865.23	USD	-9,580.75	
09AUG17	S	2	PD09NOV17	6483.00	6865.23	USD	-19,111.50	
09AUG17	S	1	PD09NOV17	6483.50	6865.23	USD	-9,543.25	
09AUG17	S	3	PD09NOV17	6484.00	6865.23	USD	-28,592.25	
09AUG17	S	1	PD09NOV17	6484.50	6865.23	USD	-9,518.25	
09AUG17	S	3	PD09NOV17	6486.00	6865.23	USD	-28,442.25	
09AUG17	S	1	PD09NOV17	6486.50	6865.23	USD	-9,468.25	
10OCT17	B	5	PD09NOV17	6730.95	6865.23	USD	16,785.00	
13OCT17	B	10	PD09NOV17	6865.43	6865.23	USD	-50.00	
	NET	0 AVG BGHT 6820.60	SOLD 6483.80				-126,301.25	0.00
11AUG17	S	1	PD10NOV17	6353.00	6866.00	USD	-12,825.00	
11AUG17	S	3	PD10NOV17	6353.50	6866.00	USD	-38,437.50	
11AUG17	S	1	PD10NOV17	6354.00	6866.00	USD	-12,800.00	
11AUG17	S	1	PD10NOV17	6355.00	6866.00	USD	-12,775.00	
11AUG17	S	1	PD10NOV17	6356.00	6866.00	USD	-12,750.00	
11AUG17	S	1	PD10NOV17	6357.50	6866.00	USD	-12,712.50	

OPEN POSITION STATEMENT



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13th October 2017

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>					
11AUG17	S 2 PD10NOV17	6358.50	6866.00	USD	-25,375.00	
	NET -10 AVG SOLD 6355.30				-127,675.00	0.00
20SEP17	S 2 PD20DEC17	6523.50	6877.00	USD	-17,675.00	
20SEP17	S 1 PD20DEC17	6525.00	6877.00	USD	-8,800.00	
20SEP17	S 1 PD20DEC17	6526.00	6877.00	USD	-8,775.00	
20SEP17	S 1 PD20DEC17	6527.00	6877.00	USD	-8,750.00	
	NET -5 AVG SOLD 6525.00				-44,000.00	0.00

OPEN POSITION VALUE

LME Copper Grade A 25 FUTURES USD 74,695.00

MARKET REVALUATION BREAKDOWN

Unrealised Profits & Losses - Forwards	Amount	Profit / Loss	Total Amount	Total P&L
COPL - Futures USD	70,326.25	70,326.25	70,326.25	70,326.25

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
CASH BALANCE	(1.00000)	(1.00000)
Variation Margins	-56,454.74	-56,454.74
- Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	-56,454.74	-56,454.74
Initial Margins	0.00	0.00
Excess/(Shortage)	-56,454.74	-56,454.74
<u>FOR INFORMATION ONLY</u>		
Forward Unrealised P&L	70,326.25	70,326.25
Net Liquidating Value	13,871.51	13,871.51

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED
SAP0118 ARBS
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

GROUP NO: **SAPAB118**

13th Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343
Authorised and regulated by the Australian Prudential
Regulation Authority. Authorised by the Prudential
Regulation Authority. Subject to regulation by the Financial
Conduct Authority and limited regulation by the
Prudential Regulation Authority. Details about the extent
of our regulation by the Prudential Regulation Authority are
available from us on request.

GROUP FINANCIAL SUMMARY

	USD	NET USD
	(1.00000)	(1.00000)
CASH BALANCE	-58,028.17	-58,028.17
Variation Margins		
- Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	-58,028.17	-58,028.17
Initial Margins	0.00	0.00
Excess/(Shortage)	-58,028.17	-58,028.17
<u>FOR INFORMATION ONLY</u>		
Forward Unrealised P&L	398,483.25	398,483.25
Net Liquidating Value	340,455.08	340,455.08

OPEN POSITION STATEMENT



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13th October 2017

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
04AUG17	B	2	PD03NOV17	6350.00	6860.59	USD	25,529.50	
04AUG17	B	1	PD03NOV17	6372.50	6860.59	USD	12,202.25	
04AUG17	B	1	PD03NOV17	6352.00	6860.59	USD	12,714.75	
04AUG17	B	1	PD03NOV17	6356.00	6860.59	USD	12,614.75	
04AUG17	B	2	PD03NOV17	6357.00	6860.59	USD	25,179.50	
04AUG17	B	1	PD03NOV17	6374.50	6860.59	USD	12,152.25	
04AUG17	B	9	PD03NOV17	6349.00	6860.59	USD	115,107.75	
04AUG17	B	2	PD03NOV17	6366.00	6860.59	USD	24,729.50	
04AUG17	B	3	PD03NOV17	6351.00	6860.59	USD	38,219.25	
04AUG17	B	9	PD03NOV17	6353.50	6860.59	USD	114,095.25	
04AUG17	B	1	PD03NOV17	6355.00	6860.59	USD	12,639.75	
04AUG17	B	5	PD03NOV17	6352.50	6860.59	USD	63,511.25	
04AUG17	B	6	PD03NOV17	6347.50	6860.59	USD	76,963.50	
04AUG17	B	3	PD03NOV17	6358.00	6860.59	USD	37,694.25	
04AUG17	B	15	PD03NOV17	6351.50	6860.59	USD	190,908.75	
04AUG17	B	3	PD03NOV17	6373.50	6860.59	USD	36,531.75	
04AUG17	B	1	PD03NOV17	6356.00	6860.59	USD	12,614.75	
04AUG17	B	4	PD03NOV17	6348.00	6860.59	USD	51,259.00	
04AUG17	B	1	PD03NOV17	6346.00	6860.59	USD	12,864.75	
04AUG17	S	8	PD03NOV17	6329.50	6860.59	USD	-106,218.00	
04AUG17	S	1	PD03NOV17	6330.50	6860.59	USD	-13,252.25	
04AUG17	S	2	PD03NOV17	6321.50	6860.59	USD	-26,954.50	
04AUG17	S	2	PD03NOV17	6322.50	6860.59	USD	-26,904.50	
04AUG17	S	1	PD03NOV17	6324.00	6860.59	USD	-13,414.75	
04AUG17	S	1	PD03NOV17	6325.00	6860.59	USD	-13,389.75	
04AUG17	S	2	PD03NOV17	6326.00	6860.59	USD	-26,729.50	
04AUG17	S	2	PD03NOV17	6327.00	6860.59	USD	-26,679.50	
04AUG17	S	4	PD03NOV17	6332.50	6860.59	USD	-52,809.00	
04AUG17	S	2	PD03NOV17	6331.50	6860.59	USD	-26,454.50	
04AUG17	B	1	PD03NOV17	6384.50	6860.59	USD	11,902.25	
04AUG17	B	2	PD03NOV17	6363.50	6860.59	USD	24,854.50	
04AUG17	S	1	PD03NOV17	6356.00	6860.59	USD	-12,614.75	
04AUG17	S	1	PD03NOV17	6357.00	6860.59	USD	-12,589.75	
04AUG17	S	1	PD03NOV17	6355.00	6860.59	USD	-12,639.75	
14AUG17	S	25	PD03NOV17	6393.09	6860.59	USD	-292,187.50	
25AUG17	S	20	PD03NOV17	6661.92	6860.59	USD	-99,335.00	
	NET	0AVG BGHT 6354.13	SOLD 6442.96				162,116.25	0.00
07AUG17	B	1	PD07NOV17	6428.00	6863.68	USD	10,892.00	
07AUG17	B	1	PD07NOV17	6430.50	6863.68	USD	10,829.50	
07AUG17	B	1	PD07NOV17	6424.00	6863.68	USD	10,992.00	
07AUG17	B	7	PD07NOV17	6425.00	6863.68	USD	76,769.00	
07AUG17	B	5	PD07NOV17	6426.00	6863.68	USD	54,710.00	
04SEP17	S	15	PD07NOV17	6908.79	6863.68	USD	16,916.25	
	NET	0AVG BGHT 6425.83	SOLD 6908.79				181,108.75	0.00
08AUG17	S	7	PD08NOV17	6394.50	6864.45	USD	-82,241.25	
08AUG17	S	1	PD08NOV17	6392.50	6864.45	USD	-11,798.75	
08AUG17	S	1	PD08NOV17	6396.50	6864.45	USD	-11,698.75	
08AUG17	S	1	PD08NOV17	6400.00	6864.45	USD	-11,611.25	
08AUG17	S	4	PD08NOV17	6395.50	6864.45	USD	-46,895.00	
08AUG17	S	1	PD08NOV17	6394.50	6864.45	USD	-11,748.75	
08AUG17	B	3	PD08NOV17	6395.50	6864.45	USD	35,171.25	
08AUG17	B	10	PD08NOV17	6396.50	6864.45	USD	116,987.50	
08AUG17	B	2	PD08NOV17	6397.50	6864.45	USD	23,347.50	
08AUG17	B	1	PD08NOV17	6413.00	6864.45	USD	11,286.25	
08AUG17	B	2	PD08NOV17	6411.00	6864.45	USD	22,672.50	
08AUG17	B	2	PD08NOV17	6412.00	6864.45	USD	22,622.50	
08AUG17	B	10	PD08NOV17	6415.00	6864.45	USD	112,362.50	

OPEN POSITION STATEMENT



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SAP0119A

13th October 2017

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
08AUG17	B	10	PD08NOV17	6414.00	6864.45	USD	112,612.50	
08AUG17	S	1	PD08NOV17	6407.00	6864.45	USD	-11,436.25	
08AUG17	B	3	PD08NOV17	6420.00	6864.45	USD	33,333.75	
08AUG17	B	2	PD08NOV17	6425.50	6864.45	USD	21,947.50	
08AUG17	B	1	PD08NOV17	6427.50	6864.45	USD	10,923.75	
08AUG17	B	1	PD08NOV17	6428.50	6864.45	USD	10,898.75	
08AUG17	B	5	PD08NOV17	6430.00	6864.45	USD	54,306.25	
08AUG17	B	5	PD08NOV17	6432.00	6864.45	USD	54,056.25	
08AUG17	B	3	PD08NOV17	6431.00	6864.45	USD	32,508.75	
08AUG17	B	2	PD08NOV17	6433.00	6864.45	USD	21,572.50	
08AUG17	B	9	PD08NOV17	6434.00	6864.45	USD	96,851.25	
08AUG17	B	2	PD08NOV17	6455.00	6864.45	USD	20,472.50	
08AUG17	B	2	PD08NOV17	6457.00	6864.45	USD	20,372.50	
08AUG17	B	1	PD08NOV17	6456.00	6864.45	USD	10,211.25	
04SEP17	S	10	PD08NOV17	6909.35	6864.45	USD	11,225.00	
11SEP17	S	25	PD08NOV17	6734.33	6864.45	USD	-81,325.00	
	NET	+25 AVG BGHT 6419.97	SOLD 6662.47				586,987.50	0.00
10AUG17	S	1	PD10NOV17	6452.00	6866.00	USD	-10,350.00	
10AUG17	S	3	PD10NOV17	6455.00	6866.00	USD	-30,825.00	
10AUG17	S	1	PD10NOV17	6453.00	6866.00	USD	-10,325.00	
10AUG17	S	2	PD10NOV17	6447.50	6866.00	USD	-20,925.00	
10AUG17	S	2	PD10NOV17	6445.00	6866.00	USD	-21,050.00	
10AUG17	S	1	PD10NOV17	6444.00	6866.00	USD	-10,550.00	
10AUG17	S	1	PD10NOV17	6446.50	6866.00	USD	-10,487.50	
10AUG17	S	1	PD10NOV17	6451.00	6866.00	USD	-10,375.00	
10AUG17	S	4	PD10NOV17	6449.50	6866.00	USD	-41,650.00	
10AUG17	S	3	PD10NOV17	6448.50	6866.00	USD	-31,312.50	
10AUG17	S	1	PD10NOV17	6453.50	6866.00	USD	-10,312.50	
10AUG17	S	2	PD10NOV17	6454.50	6866.00	USD	-20,575.00	
10AUG17	S	2	PD10NOV17	6452.50	6866.00	USD	-20,675.00	
10AUG17	S	1	PD10NOV17	6458.00	6866.00	USD	-10,200.00	
14AUG17	B	25	PD10NOV17	6395.78	6866.00	USD	293,887.50	
	NET	0 AVG BGHT 6395.78	SOLD 6450.62				34,275.00	0.00
14AUG17	B	1	PD14NOV17	6401.50	6864.67	USD	11,579.25	
14AUG17	B	1	PD14NOV17	6398.50	6864.67	USD	11,654.25	
14AUG17	B	2	PD14NOV17	6397.50	6864.67	USD	23,358.50	
14AUG17	B	1	PD14NOV17	6402.00	6864.67	USD	11,566.75	
14AUG17	B	1	PD14NOV17	6405.50	6864.67	USD	11,479.25	
14AUG17	B	1	PD14NOV17	6411.00	6864.67	USD	11,341.75	
14AUG17	B	2	PD14NOV17	6415.00	6864.67	USD	22,483.50	
14AUG17	B	1	PD14NOV17	6416.50	6864.67	USD	11,204.25	
14AUG17	B	3	PD14NOV17	6418.50	6864.67	USD	33,462.75	
14AUG17	B	2	PD14NOV17	6417.50	6864.67	USD	22,358.50	
14AUG17	B	1	PD14NOV17	6404.00	6864.67	USD	11,516.75	
14AUG17	B	2	PD14NOV17	6403.00	6864.67	USD	23,083.50	
14AUG17	B	3	PD14NOV17	6400.00	6864.67	USD	34,850.25	
14AUG17	B	4	PD14NOV17	6400.00	6864.67	USD	46,467.00	
	NET	+25 AVG BGHT 6406.42					286,406.25	0.00
18AUG17	S	2	PD17NOV17	6508.50	6863.47	USD	-17,748.50	
18AUG17	S	2	PD17NOV17	6506.00	6863.47	USD	-17,873.50	
18AUG17	S	1	PD17NOV17	6501.50	6863.47	USD	-9,049.25	
18AUG17	B	2	PD17NOV17	6487.00	6863.47	USD	18,823.50	
18AUG17	B	3	PD17NOV17	6486.00	6863.47	USD	28,310.25	
	NET	0 AVG BGHT 6486.40	SOLD 6506.10				2,462.50	0.00
24AUG17	S	1	PD24NOV17	6614.50	6866.73	USD	-6,305.75	
24AUG17	S	1	PD24NOV17	6623.00	6866.73	USD	-6,093.25	

OPEN POSITION STATEMENT



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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
24AUG17	S	3	PD24NOV17	6622.00	6866.73	USD	-18,354.75	
24AUG17	S	1	PD24NOV17	6625.50	6866.73	USD	-6,030.75	
24AUG17	S	3	PD24NOV17	6618.50	6866.73	USD	-18,617.25	
24AUG17	S	3	PD24NOV17	6617.00	6866.73	USD	-18,729.75	
24AUG17	S	3	PD24NOV17	6616.00	6866.73	USD	-18,804.75	
24AUG17	S	2	PD24NOV17	6614.00	6866.73	USD	-12,636.50	
24AUG17	S	3	PD24NOV17	6611.50	6866.73	USD	-19,142.25	
25AUG17	B	20	PD24NOV17	6666.20	6866.73	USD	100,265.00	
	NET	0 AVG	BGHT 6666.20				SOLD 6617.30	0.00
01SEP17	S	1	PD01DEC17	6818.00	6870.00	USD	-1,300.00	
01SEP17	S	3	PD01DEC17	6819.00	6870.00	USD	-3,825.00	
01SEP17	S	3	PD01DEC17	6814.50	6870.00	USD	-4,162.50	
01SEP17	S	1	PD01DEC17	6815.50	6870.00	USD	-1,362.50	
01SEP17	S	4	PD01DEC17	6820.00	6870.00	USD	-5,000.00	
01SEP17	S	4	PD01DEC17	6824.50	6870.00	USD	-4,550.00	
01SEP17	S	1	PD01DEC17	6823.50	6870.00	USD	-1,162.50	
01SEP17	S	8	PD01DEC17	6822.00	6870.00	USD	-9,600.00	
04SEP17	B	25	PD01DEC17	6916.01	6870.00	USD	-28,756.25	
	NET	0 AVG	BGHT 6916.01				SOLD 6820.46	0.00
08SEP17	S	2	PD08DEC17	6820.00	6872.83	USD	-2,641.50	
08SEP17	S	2	PD08DEC17	6821.00	6872.83	USD	-2,591.50	
08SEP17	S	1	PD08DEC17	6823.50	6872.83	USD	-1,233.25	
08SEP17	S	2	PD08DEC17	6824.50	6872.83	USD	-2,416.50	
08SEP17	S	1	PD08DEC17	6827.50	6872.83	USD	-1,133.25	
08SEP17	S	1	PD08DEC17	6830.50	6872.83	USD	-1,058.25	
08SEP17	S	1	PD08DEC17	6825.00	6872.83	USD	-1,195.75	
08SEP17	S	1	PD08DEC17	6826.00	6872.83	USD	-1,170.75	
08SEP17	S	2	PD08DEC17	6828.50	6872.83	USD	-2,216.50	
08SEP17	S	1	PD08DEC17	6829.50	6872.83	USD	-1,083.25	
08SEP17	S	1	PD08DEC17	6817.50	6872.83	USD	-1,383.25	
08SEP17	S	2	PD08DEC17	6818.50	6872.83	USD	-2,716.50	
08SEP17	S	1	PD08DEC17	6816.50	6872.83	USD	-1,408.25	
08SEP17	S	5	PD08DEC17	6815.00	6872.83	USD	-7,228.75	
08SEP17	S	1	PD08DEC17	6805.50	6872.83	USD	-1,683.25	
08SEP17	S	1	PD08DEC17	6829.50	6872.83	USD	-1,083.25	
11SEP17	B	25	PD08DEC17	6746.70	6872.83	USD	78,831.25	
	NET	0 AVG	BGHT 6746.70				SOLD 6821.24	0.00
13SEP17	B	1	PD13DEC17	6595.00	6874.92	USD	6,998.00	
29SEP17	S	1	PD13DEC17	6475.98	6874.92	USD	-9,973.50	
	NET	0 AVG	BGHT 6595.00				SOLD 6475.98	0.00
27SEP17	S	1	PD27DEC17	6454.00	6876.67	USD	-10,566.75	
29SEP17	B	1	PD27DEC17	6480.13	6876.67	USD	9,913.50	
	NET	0 AVG	BGHT 6480.13				SOLD 6454.00	0.00
05OCT17	S	1	PD05JAN18	6691.00	6879.67	USD	-4,716.75	
05OCT17	S	1	PD05JAN18	6686.00	6879.67	USD	-4,841.75	
05OCT17	S	2	PD05JAN18	6704.50	6879.67	USD	-8,758.50	
	NET	-4 AVG	SOLD 6696.50				-18,317.00	0.00
10OCT17	B	1	PD10JAN18	6704.00	6881.33	USD	4,433.25	
10OCT17	B	2	PD10JAN18	6706.00	6881.33	USD	8,766.50	
10OCT17	B	1	PD10JAN18	6705.00	6881.33	USD	4,408.25	
	NET	+4 AVG	BGHT 6705.25				17,608.00	0.00
	<u>CMX COPPER 25,000 CMX</u>							
08AUG17	S	1	DEC17	293.500	313.350	USD	-4,962.50	
08AUG17	S	2	DEC17	293.600	313.350	USD	-9,875.00	
08AUG17	S	2	DEC17	293.650	313.350	USD	-9,850.00	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0119A

13th October 2017

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backoffice@sapiencap.com

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	CMX COPPER 25,000 CMX							
08AUG17	S	11	DEC17	293.700	313.350	USD	-54,037.50	
08AUG17	S	7	DEC17	293.750	313.350	USD	-34,300.00	
08AUG17	S	6	DEC17	293.900	313.350	USD	-29,175.00	
08AUG17	S	2	DEC17	293.850	313.350	USD	-9,750.00	
08AUG17	S	14	DEC17	293.800	313.350	USD	-68,425.00	
14AUG17	S	2	DEC17	292.150	313.350	USD	-10,600.00	
14AUG17	S	3	DEC17	292.100	313.350	USD	-15,937.50	
14AUG17	S	1	DEC17	292.050	313.350	USD	-5,325.00	
14AUG17	S	2	DEC17	292.400	313.350	USD	-10,475.00	
14AUG17	S	4	DEC17	292.900	313.350	USD	-20,450.00	
14AUG17	S	3	DEC17	292.950	313.350	USD	-15,300.00	
14AUG17	S	3	DEC17	293.050	313.350	USD	-15,225.00	
14AUG17	S	6	DEC17	293.000	313.350	USD	-30,525.00	
14AUG17	S	3	DEC17	292.700	313.350	USD	-15,487.50	
14AUG17	S	2	DEC17	292.500	313.350	USD	-10,425.00	
14AUG17	S	15	DEC17	292.200	313.350	USD	-79,312.50	
14AUG17	S	3	DEC17	292.350	313.350	USD	-15,750.00	
14AUG17	S	8	DEC17	292.300	313.350	USD	-42,100.00	
13SEP17	S	2	DEC17	299.850	313.350	USD	-6,750.00	
10OCT17	S	2	DEC17	304.500	313.350	USD	-4,425.00	
10OCT17	S	2	DEC17	304.600	313.350	USD	-4,375.00	
10OCT17	S	4	DEC17	304.550	313.350	USD	-8,800.00	
	NET	-110 AVG SOLD 294.018					-531,637.50	0.00

OPEN POSITION VALUE

			USD
LME Copper Grade A 25	FUTURES	-7,369,428.75	
CMX Copper	FUTURES	8,085,487.50	

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options	Amount	Profit / Loss	Total Amount	Total P&L
COPR - Futures USD	-531,637.50	-531,637.50	-531,637.50	-531,637.50
Unrealised Profits & Losses - Forwards	Amount	Profit / Loss	Total Amount	Total P&L
COPL - Futures USD	1,211,437.25	1,211,437.25	1,211,437.25	1,211,437.25

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0119A

13th October 2017

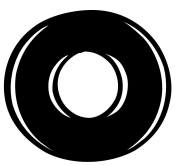
Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

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ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		-165,677.86	-165,677.86
Variation Margins	- Futures	-531,637.50	-531,637.50
	- Options	0.00	0.00
TOTAL EQUITY		-697,315.36	-697,315.36
Initial Margins		-346,500.00	-346,500.00
Excess/(Shortage)		-1,043,815.36	-1,043,815.36
FOR INFORMATION ONLY			
Forward Unrealised P&L		1,211,437.25	1,211,437.25
Net Liquidating Value		514,121.89	514,121.89

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED
SAP0119
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

GROUP NO: **SAPAB119**

13th Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343
Authorised and regulated by the Australian Prudential
Regulation Authority. Authorised by the Prudential
Regulation Authority. Subject to regulation by the Financial
Conduct Authority and limited regulation by the
Prudential Regulation Authority. Details about the extent
of our regulation by the Prudential Regulation Authority are
available from us on request.

GROUP FINANCIAL SUMMARY

	USD	NET USD
	(1.00000)	(1.00000)
CASH BALANCE	-165,677.86	-165,677.86
Variation Margins		
- Futures	-531,637.50	-531,637.50
- Options	0.00	0.00
TOTAL EQUITY	-697,315.36	-697,315.36
Initial Margins	-346,500.00	-346,500.00
Excess/(Shortage)	-1,043,815.36	-1,043,815.36
<u>FOR INFORMATION ONLY</u>		
Forward Unrealised P&L	1,211,437.25	1,211,437.25
Net Liquidating Value	514,121.89	514,121.89

OPEN POSITION STATEMENT



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13th October 2017

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
18JUL17	S	1	PD180CT17	5991.00	6859.50	USD	-21,712.50	
18JUL17	S	2	PD180CT17	5989.00	6859.50	USD	-43,525.00	
18JUL17	S	1	PD180CT17	5988.00	6859.50	USD	-21,787.50	
18JUL17	S	1	PD180CT17	5988.50	6859.50	USD	-21,775.00	
18JUL17	B	1	PD180CT17	5994.50	6859.50	USD	21,625.00	
18JUL17	B	2	PD180CT17	5997.00	6859.50	USD	43,125.00	
18JUL17	B	1	PD180CT17	5999.00	6859.50	USD	21,512.50	
18JUL17	B	1	PD180CT17	5998.00	6859.50	USD	21,537.50	
	NET	0 AVG BGHT 5997.10	SOLD 5989.10				-1,000.00	0.00
20JUL17	S	4	PD200CT17	5976.50	6857.50	USD	-88,100.00	
20JUL17	B	1	PD200CT17	5979.50	6857.50	USD	21,950.00	
20JUL17	B	1	PD200CT17	5981.50	6857.50	USD	21,900.00	
20JUL17	B	2	PD200CT17	5967.00	6857.50	USD	44,525.00	
	NET	0 AVG BGHT 5973.75	SOLD 5976.50				275.00	0.00
25JUL17	S	1	PD250CT17	6044.00	6857.50	USD	-20,337.50	
25JUL17	S	1	PD250CT17	6048.50	6857.50	USD	-20,225.00	
25JUL17	S	1	PD250CT17	6046.50	6857.50	USD	-20,275.00	
25JUL17	S	2	PD250CT17	6047.50	6857.50	USD	-40,500.00	
25JUL17	B	2	PD250CT17	6179.00	6857.50	USD	33,925.00	
25JUL17	B	3	PD250CT17	6181.50	6857.50	USD	50,700.00	
	NET	0 AVG BGHT 6180.50	SOLD 6046.80				-16,712.50	0.00
26JUL17	S	1	PD260CT17	6349.00	6857.50	USD	-12,712.50	
26JUL17	S	1	PD260CT17	6356.00	6857.50	USD	-12,537.50	
26JUL17	S	2	PD260CT17	6356.50	6857.50	USD	-25,050.00	
26JUL17	S	1	PD260CT17	6353.50	6857.50	USD	-12,600.00	
26JUL17	B	1	PD260CT17	6280.00	6857.50	USD	14,437.50	
26JUL17	B	2	PD260CT17	6271.50	6857.50	USD	29,300.00	
26JUL17	B	1	PD260CT17	6273.50	6857.50	USD	14,600.00	
26JUL17	B	2	PD260CT17	6274.50	6857.50	USD	29,150.00	
26JUL17	S	1	PD260CT17	6358.00	6857.50	USD	-12,487.50	
	NET	0 AVG BGHT 6274.25	SOLD 6354.92				12,100.00	0.00
28JUL17	S	1	PD270CT17	6284.00	6857.50	USD	-14,337.50	
28JUL17	S	1	PD270CT17	6284.50	6857.50	USD	-14,325.00	
28JUL17	S	1	PD270CT17	6281.00	6857.50	USD	-14,412.50	
28JUL17	S	1	PD270CT17	6280.50	6857.50	USD	-14,425.00	
28JUL17	S	1	PD270CT17	6276.00	6857.50	USD	-14,537.50	
31JUL17	B	5	PD270CT17	6367.95	6857.50	USD	61,193.75	
	NET	0 AVG BGHT 6367.95	SOLD 6281.20				-10,843.75	0.00
01AUG17	S	1	PD01NOV17	6355.50	6859.05	USD	-12,588.75	
01AUG17	S	1	PD01NOV17	6352.00	6859.05	USD	-12,676.25	
01AUG17	S	1	PD01NOV17	6354.00	6859.05	USD	-12,626.25	
01AUG17	S	1	PD01NOV17	6353.00	6859.05	USD	-12,651.25	
01AUG17	B	1	PD01NOV17	6346.50	6859.05	USD	12,813.75	
01AUG17	S	1	PD01NOV17	6353.00	6859.05	USD	-12,651.25	
01AUG17	S	1	PD01NOV17	6355.00	6859.05	USD	-12,601.25	
02AUG17	B	5	PD01NOV17	6351.87	6859.05	USD	63,397.50	
	NET	0 AVG BGHT 6350.98	SOLD 6353.75				416.25	0.00
02AUG17	S	1	PD02NOV17	6335.50	6859.82	USD	-13,108.00	
02AUG17	S	2	PD02NOV17	6335.00	6859.82	USD	-26,241.00	
02AUG17	S	2	PD02NOV17	6334.00	6859.82	USD	-26,291.00	
02AUG17	B	2	PD02NOV17	6337.00	6859.82	USD	26,141.00	
02AUG17	S	1	PD02NOV17	6339.00	6859.82	USD	-13,020.50	
02AUG17	S	1	PD02NOV17	6342.00	6859.82	USD	-12,945.50	
02AUG17	B	5	PD02NOV17	6352.00	6859.82	USD	63,477.50	
	NET	0 AVG BGHT 6347.71	SOLD 6336.36				-1,987.50	0.00

OPEN POSITION STATEMENT



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SAP0122A

13th October 2017

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
03AUG17	S	1	PD03NOV17	6314.50	6860.59	USD	-13,652.25	
03AUG17	S	3	PD03NOV17	6314.00	6860.59	USD	-40,994.25	
03AUG17	S	1	PD03NOV17	6316.50	6860.59	USD	-13,602.25	
04AUG17	B	5	PD03NOV17	6372.20	6860.59	USD	61,048.75	
	NET	0 AVG	BGHT 6372.20	SOLD 6314.60			-7,200.00	0.00
07AUG17	S	1	PD07NOV17	6359.50	6863.68	USD	-12,604.50	
07AUG17	S	1	PD07NOV17	6365.00	6863.68	USD	-12,467.00	
07AUG17	S	1	PD07NOV17	6346.50	6863.68	USD	-12,929.50	
07AUG17	S	1	PD07NOV17	6353.50	6863.68	USD	-12,754.50	
07AUG17	S	1	PD07NOV17	6362.50	6863.68	USD	-12,529.50	
07AUG17	B	1	PD07NOV17	6440.00	6863.68	USD	10,592.00	
07AUG17	B	1	PD07NOV17	6442.50	6863.68	USD	10,529.50	
07AUG17	B	2	PD07NOV17	6429.50	6863.68	USD	21,709.00	
07AUG17	B	1	PD07NOV17	6423.50	6863.68	USD	11,004.50	
	NET	0 AVG	BGHT 6433.00	SOLD 6357.40			-9,450.00	0.00
08AUG17	S	4	PD08NOV17	6393.00	6864.45	USD	-47,145.00	
08AUG17	S	1	PD08NOV17	6394.00	6864.45	USD	-11,761.25	
09AUG17	B	5	PD08NOV17	6454.82	6864.45	USD	51,203.75	
	NET	0 AVG	BGHT 6454.82	SOLD 6393.20			-7,702.50	0.00
14AUG17	S	1	PD14NOV17	6407.50	6864.67	USD	-11,429.25	
14AUG17	S	1	PD14NOV17	6408.00	6864.67	USD	-11,416.75	
14AUG17	S	1	PD14NOV17	6410.50	6864.67	USD	-11,354.25	
14AUG17	S	1	PD14NOV17	6413.00	6864.67	USD	-11,291.75	
14AUG17	S	1	PD14NOV17	6415.00	6864.67	USD	-11,241.75	
14AUG17	B	2	PD14NOV17	6407.50	6864.67	USD	22,858.50	
14AUG17	B	3	PD14NOV17	6406.50	6864.67	USD	34,362.75	
	NET	0 AVG	BGHT 6406.90	SOLD 6410.80			487.50	0.00
16AUG17	S	1	PD16NOV17	6367.50	6863.00	USD	-12,387.50	
16AUG17	S	1	PD16NOV17	6368.50	6863.00	USD	-12,362.50	
16AUG17	S	1	PD16NOV17	6363.00	6863.00	USD	-12,500.00	
16AUG17	S	1	PD16NOV17	6366.50	6863.00	USD	-12,412.50	
16AUG17	S	1	PD16NOV17	6363.00	6863.00	USD	-12,500.00	
16AUG17	B	1	PD16NOV17	6490.00	6863.00	USD	9,325.00	
16AUG17	B	1	PD16NOV17	6489.00	6863.00	USD	9,350.00	
16AUG17	B	1	PD16NOV17	6487.00	6863.00	USD	9,400.00	
16AUG17	B	2	PD16NOV17	6486.00	6863.00	USD	18,850.00	
	NET	0 AVG	BGHT 6487.60	SOLD 6365.70			-15,237.50	0.00
17AUG17	B	1	PD17NOV17	6544.00	6863.47	USD	7,986.75	
17AUG17	B	1	PD17NOV17	6541.00	6863.47	USD	8,061.75	
17AUG17	B	2	PD17NOV17	6539.50	6863.47	USD	16,198.50	
17AUG17	B	1	PD17NOV17	6536.50	6863.47	USD	8,174.25	
17AUG17	B	2	PD17NOV17	6540.50	6863.47	USD	16,148.50	
17AUG17	B	3	PD17NOV17	6543.00	6863.47	USD	24,035.25	
18AUG17	S	6	PD17NOV17	6500.00	6863.47	USD	-54,520.50	
18AUG17	S	2	PD17NOV17	6501.00	6863.47	USD	-18,123.50	
18AUG17	S	2	PD17NOV17	6502.00	6863.47	USD	-18,073.50	
	NET	0 AVG	BGHT 6541.05	SOLD 6500.60			-10,112.50	0.00
21AUG17	S	2	PD21NOV17	6506.50	6865.33	USD	-17,941.50	
21AUG17	S	3	PD21NOV17	6507.50	6865.33	USD	-26,837.25	
21AUG17	B	1	PD21NOV17	6601.00	6865.33	USD	6,608.25	
21AUG17	B	1	PD21NOV17	6598.50	6865.33	USD	6,670.75	
21AUG17	B	1	PD21NOV17	6623.00	6865.33	USD	6,058.25	
21AUG17	B	1	PD21NOV17	6599.00	6865.33	USD	6,658.25	
21AUG17	B	1	PD21NOV17	6593.00	6865.33	USD	6,808.25	
	NET	0 AVG	BGHT 6602.90	SOLD 6507.10			-11,975.00	0.00

OPEN POSITION STATEMENT



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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
23AUG17	B	1	PD24NOV17	6568.00	6866.73	USD	7,468.25	
23AUG17	B	1	PD24NOV17	6564.50	6866.73	USD	7,555.75	
23AUG17	B	2	PD24NOV17	6562.50	6866.73	USD	15,211.50	
23AUG17	B	1	PD24NOV17	6561.50	6866.73	USD	7,630.75	
23AUG17	B	5	PD24NOV17	6560.00	6866.73	USD	38,341.25	
24AUG17	S	1	PD24NOV17	6617.00	6866.73	USD	-6,243.25	
24AUG17	S	3	PD24NOV17	6620.50	6866.73	USD	-18,467.25	
24AUG17	S	1	PD24NOV17	6619.00	6866.73	USD	-6,193.25	
24AUG17	S	1	PD24NOV17	6619.50	6866.73	USD	-6,180.75	
24AUG17	S	1	PD24NOV17	6624.50	6866.73	USD	-6,055.75	
24AUG17	S	2	PD24NOV17	6623.00	6866.73	USD	-12,186.50	
24AUG17	S	1	PD24NOV17	6616.50	6866.73	USD	-6,255.75	
	NET	0 AVG	BGHT 6561.90	SOLD 6620.40			14,625.00	0.00
29AUG17	S	1	PD29NOV17	6764.00	6869.07	USD	-2,626.75	
29AUG17	S	1	PD29NOV17	6765.50	6869.07	USD	-2,589.25	
29AUG17	S	1	PD29NOV17	6767.00	6869.07	USD	-2,551.75	
29AUG17	S	1	PD29NOV17	6763.50	6869.07	USD	-2,639.25	
29AUG17	S	1	PD29NOV17	6761.50	6869.07	USD	-2,689.25	
30AUG17	B	5	PD29NOV17	6768.95	6869.07	USD	12,515.00	
	NET	0 AVG	BGHT 6768.95	SOLD 6764.30			-581.25	0.00
26SEP17	B	2	PD27DEC17	6479.50	6876.67	USD	19,858.50	
26SEP17	B	2	PD27DEC17	6484.00	6876.67	USD	19,633.50	
26SEP17	B	1	PD27DEC17	6485.00	6876.67	USD	9,791.75	
26SEP17	B	1	PD27DEC17	6490.00	6876.67	USD	9,666.75	
26SEP17	B	1	PD27DEC17	6492.00	6876.67	USD	9,616.75	
26SEP17	B	3	PD27DEC17	6493.00	6876.67	USD	28,775.25	
	NET	+10 AVG	BGHT 6487.30				97,342.50	0.00
28SEP17	B	1	PD28DEC17	6559.50	6877.00	USD	7,937.50	
28SEP17	B	3	PD28DEC17	6557.00	6877.00	USD	24,000.00	
28SEP17	B	1	PD28DEC17	6552.00	6877.00	USD	8,125.00	
28SEP17	B	1	PD28DEC17	6553.50	6877.00	USD	8,087.50	
28SEP17	B	2	PD28DEC17	6554.50	6877.00	USD	16,125.00	
28SEP17	B	2	PD28DEC17	6553.00	6877.00	USD	16,200.00	
	NET	+10 AVG	BGHT 6555.10				80,475.00	0.00
	<u>CMX COPPER 25,000 CMX</u>							
26SEP17	S	4	MAR18	296.350	315.300	USD	-18,950.00	
26SEP17	S	2	MAR18	296.600	315.300	USD	-9,350.00	
26SEP17	S	2	MAR18	296.550	315.300	USD	-9,375.00	
26SEP17	S	3	MAR18	296.650	315.300	USD	-13,987.50	
26SEP17	S	2	MAR18	296.900	315.300	USD	-9,200.00	
26SEP17	S	2	MAR18	296.950	315.300	USD	-9,175.00	
26SEP17	S	7	MAR18	297.050	315.300	USD	-31,937.50	
28SEP17	S	2	MAR18	300.050	315.300	USD	-7,625.00	
28SEP17	S	4	MAR18	299.950	315.300	USD	-15,350.00	
28SEP17	S	3	MAR18	300.000	315.300	USD	-11,475.00	
28SEP17	S	2	MAR18	299.800	315.300	USD	-7,750.00	
28SEP17	S	8	MAR18	299.850	315.300	USD	-30,900.00	
28SEP17	S	3	MAR18	299.900	315.300	USD	-11,550.00	
	NET	-44 AVG	SOLD 298.334				-186,625.00	0.00

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0122A

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

OPEN POSITION VALUE

		USD
LME Copper Grade A 25	FUTURES	-3,325,498.75
CMX Copper	FUTURES	3,281,675.00

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options	Amount	Profit / Loss	Total Amount	Total P&L
COPR - Futures USD	-186,625.00	-186,625.00	-186,625.00	-186,625.00
Unrealised Profits & Losses - Forwards	Amount	Profit / Loss	Total Amount	Total P&L
COPL - Futures USD	112,918.75	112,918.75	112,918.75	112,918.75

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	381,403.25	381,403.25
Variation Margins - Futures	-186,625.00	-186,625.00
- Options	0.00	0.00
TOTAL EQUITY	194,778.25	194,778.25
Initial Margins	-275,556.25	-275,556.25
Excess/(Shortage)	-80,778.00	-80,778.00
FOR INFORMATION ONLY		
Forward Unrealised P&L	112,918.75	112,918.75
Net Liquidating Value	307,697.00	307,697.00

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED
SAP0122 ARBS
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

GROUP NO: **SAPAB122**

13th Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343
Authorised and regulated by the Australian Prudential
Regulation Authority. Authorised by the Prudential
Regulation Authority. Subject to regulation by the Financial
Conduct Authority and limited regulation by the
Prudential Regulation Authority. Details about the extent
of our regulation by the Prudential Regulation Authority are
available from us on request.

GROUP FINANCIAL SUMMARY

	USD	NET USD
	(1.00000)	(1.00000)
CASH BALANCE	381,403.25	381,403.25
Variation Margins	-186,625.00	-186,625.00
- Futures		
- Options	0.00	0.00
TOTAL EQUITY	194,778.25	194,778.25
Initial Margins	-275,556.25	-275,556.25
Excess/(Shortage)	-80,778.00	-80,778.00
FOR INFORMATION ONLY		
Forward Unrealised P&L	112,918.75	112,918.75
Net Liquidating Value	307,697.00	307,697.00

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0124B

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position				Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>								
08AUG17	B	5	PD08NOV17		6482.50	6864.45	USD	47,743.75	
08AUG17	B	5	PD08NOV17		6475.00	6864.45	USD	48,681.25	
08AUG17	B	5	PD08NOV17		6480.00	6864.45	USD	48,056.25	
08AUG17	B	5	PD08NOV17		6474.50	6864.45	USD	48,743.75	
12SEP17	S	15	PD08NOV17		6675.58	6864.45	USD	-70,826.25	
15SEP17	S	5	PD08NOV17		6490.75	6864.45	USD	-46,712.50	
	NET	0 AVG	BGHT 6478.00	SOLD 6629.37				75,686.25	0.00
16AUG17	B	3	PD16NOV17		6544.00	6863.00	USD	23,925.00	
16AUG17	B	2	PD16NOV17		6544.00	6863.00	USD	15,950.00	
15SEP17	S	5	PD16NOV17		6495.00	6863.00	USD	-46,000.00	
	NET	0 AVG	BGHT 6544.00	SOLD 6495.00				-6,125.00	0.00
08SEP17	S	5	PD08DEC17		6692.50	6872.83	USD	-22,541.25	
08SEP17	S	5	PD08DEC17		6685.00	6872.83	USD	-23,478.75	
08SEP17	S	5	PD08DEC17		6683.00	6872.83	USD	-23,728.75	
12SEP17	B	15	PD08DEC17		6688.32	6872.83	USD	69,191.25	
	NET	0 AVG	BGHT 6688.32	SOLD 6686.83				-557.50	0.00
11SEP17	S	3	PD11DEC17		6744.50	6874.08	USD	-9,718.50	
11SEP17	S	1	PD11DEC17		6744.00	6874.08	USD	-3,252.00	
11SEP17	S	6	PD11DEC17		6745.50	6874.08	USD	-19,287.00	
15SEP17	B	10	PD11DEC17		6505.54	6874.08	USD	92,135.00	
	NET	0 AVG	BGHT 6505.54	SOLD 6745.05				59,877.50	0.00

OPEN POSITION VALUE

		<u>USD</u>
LME Copper Grade A 25	FUTURES	128,881.25

MARKET REVALUATION BREAKDOWN

Unrealised Profits & Losses - Forwards		Amount	Profit / Loss	Total Amount	Total P&L
COPL	- Futures	USD	128,881.25	128,881.25	128,881.25

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	-122,441.50	-122,441.50
Variation Margins		
- Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	-122,441.50	-122,441.50
Initial Margins	0.00	0.00
Excess/(Shortage)	-122,441.50	-122,441.50

FOR INFORMATION ONLY

Forward Unrealised P&L	128,881.25	128,881.25
Net Liquidating Value	6,439.75	6,439.75

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED
SAP0124 ARBS
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

GROUP NO: **SAPAB124**

13th Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343
Authorised and regulated by the Australian Prudential
Regulation Authority. Authorised by the Prudential
Regulation Authority. Subject to regulation by the Financial
Conduct Authority and limited regulation by the
Prudential Regulation Authority. Details about the extent
of our regulation by the Prudential Regulation Authority are
available from us on request.

GROUP FINANCIAL SUMMARY

	USD	NET USD
	(1.00000)	(1.00000)
CASH BALANCE	-122,441.50	-122,441.50
Variation Margins		
- Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	-122,441.50	-122,441.50
Initial Margins	0.00	0.00
Excess/(Shortage)	-122,441.50	-122,441.50
<u>FOR INFORMATION ONLY</u>		
Forward Unrealised P&L	128,881.25	128,881.25
Net Liquidating Value	6,439.75	6,439.75

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0127A

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
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backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
31AUG17	B	1	PD30NOV17	6799.00	6869.53	USD	1,763.25	
31AUG17	B	1	PD30NOV17	6798.50	6869.53	USD	1,775.75	
31AUG17	B	3	PD30NOV17	6805.00	6869.53	USD	4,839.75	
05SEP17	S	5	PD30NOV17	6897.98	6869.53	USD	3,556.25	
	NET	0 AVG BGHT 6802.50	SOLD 6897.98				11,935.00	0.00
01SEP17	B	1	PD01DEC17	6825.00	6870.00	USD	1,125.00	
01SEP17	B	3	PD01DEC17	6824.50	6870.00	USD	3,412.50	
01SEP17	B	1	PD01DEC17	6825.50	6870.00	USD	1,112.50	
01SEP17	B	2	PD01DEC17	6820.00	6870.00	USD	2,500.00	
01SEP17	B	3	PD01DEC17	6818.00	6870.00	USD	3,900.00	
01SEP17	B	1	PD01DEC17	6815.00	6870.00	USD	1,375.00	
01SEP17	B	3	PD01DEC17	6822.00	6870.00	USD	3,600.00	
01SEP17	B	4	PD01DEC17	6817.00	6870.00	USD	5,300.00	
01SEP17	B	3	PD01DEC17	6816.50	6870.00	USD	4,012.50	
01SEP17	B	6	PD01DEC17	6816.00	6870.00	USD	8,100.00	
01SEP17	B	6	PD01DEC17	6819.00	6870.00	USD	7,650.00	
01SEP17	B	1	PD01DEC17	6817.50	6870.00	USD	1,312.50	
01SEP17	B	4	PD01DEC17	6812.50	6870.00	USD	5,750.00	
01SEP17	B	3	PD01DEC17	6815.50	6870.00	USD	4,087.50	
01SEP17	B	4	PD01DEC17	6814.50	6870.00	USD	5,550.00	
01SEP17	B	5	PD01DEC17	6813.50	6870.00	USD	7,062.50	
01SEP17	B	3	PD01DEC17	6810.50	6870.00	USD	4,462.50	
01SEP17	B	1	PD01DEC17	6809.50	6870.00	USD	1,512.50	
01SEP17	B	4	PD01DEC17	6807.50	6870.00	USD	6,250.00	
01SEP17	B	3	PD01DEC17	6808.50	6870.00	USD	4,612.50	
01SEP17	B	1	PD01DEC17	6811.50	6870.00	USD	1,462.50	
01SEP17	B	2	PD01DEC17	6809.00	6870.00	USD	3,050.00	
01SEP17	B	2	PD01DEC17	6810.00	6870.00	USD	3,000.00	
01SEP17	B	6	PD01DEC17	6808.00	6870.00	USD	9,300.00	
01SEP17	B	12	PD01DEC17	6807.00	6870.00	USD	18,900.00	
01SEP17	B	1	PD01DEC17	6801.50	6870.00	USD	1,712.50	
01SEP17	B	3	PD01DEC17	6806.50	6870.00	USD	4,762.50	
01SEP17	B	4	PD01DEC17	6804.00	6870.00	USD	6,600.00	
01SEP17	B	11	PD01DEC17	6806.00	6870.00	USD	17,600.00	
01SEP17	B	8	PD01DEC17	6805.50	6870.00	USD	12,900.00	
01SEP17	B	14	PD01DEC17	6805.00	6870.00	USD	22,750.00	
01SEP17	B	6	PD01DEC17	6803.00	6870.00	USD	10,050.00	
01SEP17	B	5	PD01DEC17	6802.50	6870.00	USD	8,437.50	
01SEP17	B	7	PD01DEC17	6803.50	6870.00	USD	11,637.50	
01SEP17	B	1	PD01DEC17	6820.00	6870.00	USD	1,250.00	
01SEP17	S	3	PD01DEC17	6801.50	6870.00	USD	-5,137.50	
01SEP17	B	2	PD01DEC17	6814.00	6870.00	USD	2,800.00	
01SEP17	S	1	PD01DEC17	6798.00	6870.00	USD	-1,800.00	
01SEP17	S	1	PD01DEC17	6797.00	6870.00	USD	-1,825.00	
01SEP17	S	1	PD01DEC17	6785.50	6870.00	USD	-2,112.50	
01SEP17	S	1	PD01DEC17	6780.00	6870.00	USD	-2,250.00	
01SEP17	S	1	PD01DEC17	6781.00	6870.00	USD	-2,225.00	
01SEP17	S	2	PD01DEC17	6780.50	6870.00	USD	-4,475.00	
01SEP17	S	1	PD01DEC17	6781.50	6870.00	USD	-2,212.50	
01SEP17	S	2	PD01DEC17	6782.50	6870.00	USD	-4,375.00	
01SEP17	S	3	PD01DEC17	6787.00	6870.00	USD	-6,225.00	
01SEP17	S	2	PD01DEC17	6788.50	6870.00	USD	-4,075.00	
01SEP17	S	1	PD01DEC17	6791.00	6870.00	USD	-1,975.00	
01SEP17	S	1	PD01DEC17	6786.50	6870.00	USD	-2,087.50	
01SEP17	S	5	PD01DEC17	6798.50	6870.00	USD	-8,937.50	
01SEP17	S	4	PD01DEC17	6801.00	6870.00	USD	-6,900.00	
01SEP17	S	2	PD01DEC17	6800.50	6870.00	USD	-3,475.00	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0127A

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
01SEP17	S	2	PD01DEC17	6799.50	6870.00	USD	-3,525.00	
01SEP17	S	1	PD01DEC17	6816.00	6870.00	USD	-1,350.00	
01SEP17	S	2	PD01DEC17	6811.50	6870.00	USD	-2,925.00	
01SEP17	S	2	PD01DEC17	6812.50	6870.00	USD	-2,875.00	
01SEP17	S	1	PD01DEC17	6813.50	6870.00	USD	-1,412.50	
01SEP17	S	5	PD01DEC17	6808.00	6870.00	USD	-7,750.00	
01SEP17	S	2	PD01DEC17	6809.00	6870.00	USD	-3,050.00	
01SEP17	S	2	PD01DEC17	6810.00	6870.00	USD	-3,000.00	
01SEP17	S	1	PD01DEC17	6811.00	6870.00	USD	-1,475.00	
01SEP17	S	1	PD01DEC17	6822.50	6870.00	USD	-1,187.50	
01SEP17	S	4	PD01DEC17	6822.00	6870.00	USD	-4,800.00	
01SEP17	B	1	PD01DEC17	6829.50	6870.00	USD	1,012.50	
01SEP17	B	4	PD01DEC17	6828.50	6870.00	USD	4,150.00	
01SEP17	S	2	PD01DEC17	6798.50	6870.00	USD	-3,575.00	
01SEP17	S	1	PD01DEC17	6828.50	6870.00	USD	-1,037.50	
01SEP17	S	1	PD01DEC17	6829.50	6870.00	USD	-1,012.50	
01SEP17	S	1	PD01DEC17	6833.00	6870.00	USD	-925.00	
01SEP17	S	2	PD01DEC17	6838.50	6870.00	USD	-1,575.00	
01SEP17	S	5	PD01DEC17	6835.00	6870.00	USD	-4,375.00	
01SEP17	S	1	PD01DEC17	6835.50	6870.00	USD	-862.50	
01SEP17	S	3	PD01DEC17	6832.50	6870.00	USD	-2,812.50	
01SEP17	S	2	PD01DEC17	6831.50	6870.00	USD	-1,925.00	
01SEP17	S	3	PD01DEC17	6830.50	6870.00	USD	-2,962.50	
01SEP17	S	5	PD01DEC17	6830.00	6870.00	USD	-5,000.00	
01SEP17	S	1	PD01DEC17	6831.00	6870.00	USD	-975.00	
01SEP17	S	3	PD01DEC17	6832.00	6870.00	USD	-2,850.00	
01SEP17	S	2	PD01DEC17	6834.50	6870.00	USD	-1,775.00	
05SEP17	S	20	PD01DEC17	6898.38	6870.00	USD	14,190.00	
06SEP17	S	5	PD01DEC17	6899.13	6870.00	USD	3,641.25	
07SEP17	S	40	PD01DEC17	6896.21	6870.00	USD	26,210.00	
	NET	0AVG BGHT 6810.65	SOLD 6848.53				143,003.75	0.00
04SEP17	S	5	PD04DEC17	6901.50	6871.20	USD	3,787.50	
04SEP17	S	2	PD04DEC17	6903.00	6871.20	USD	1,590.00	
04SEP17	S	1	PD04DEC17	6901.00	6871.20	USD	745.00	
04SEP17	S	1	PD04DEC17	6899.50	6871.20	USD	707.50	
04SEP17	S	1	PD04DEC17	6896.00	6871.20	USD	620.00	
04SEP17	S	1	PD04DEC17	6897.50	6871.20	USD	657.50	
04SEP17	S	4	PD04DEC17	6897.00	6871.20	USD	2,580.00	
04SEP17	S	3	PD04DEC17	6902.50	6871.20	USD	2,347.50	
04SEP17	S	2	PD04DEC17	6904.00	6871.20	USD	1,640.00	
04SEP17	S	2	PD04DEC17	6905.00	6871.20	USD	1,690.00	
04SEP17	S	2	PD04DEC17	6906.50	6871.20	USD	1,765.00	
04SEP17	S	1	PD04DEC17	6908.50	6871.20	USD	932.50	
04SEP17	S	4	PD04DEC17	6909.50	6871.20	USD	3,830.00	
04SEP17	S	1	PD04DEC17	6911.00	6871.20	USD	995.00	
04SEP17	S	1	PD04DEC17	6910.50	6871.20	USD	982.50	
04SEP17	S	4	PD04DEC17	6907.50	6871.20	USD	3,630.00	
04SEP17	S	3	PD04DEC17	6906.00	6871.20	USD	2,610.00	
04SEP17	S	2	PD04DEC17	6911.50	6871.20	USD	2,015.00	
04SEP17	S	1	PD04DEC17	6913.50	6871.20	USD	1,057.50	
04SEP17	S	1	PD04DEC17	6912.50	6871.20	USD	1,032.50	
04SEP17	S	3	PD04DEC17	6909.00	6871.20	USD	2,835.00	
04SEP17	S	2	PD04DEC17	6910.00	6871.20	USD	1,940.00	
04SEP17	S	5	PD04DEC17	6908.00	6871.20	USD	4,600.00	
04SEP17	B	1	PD04DEC17	6899.50	6871.20	USD	-707.50	
04SEP17	B	1	PD04DEC17	6903.00	6871.20	USD	-795.00	
04SEP17	S	10	PD04DEC17	6907.00	6871.20	USD	8,950.00	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0127A

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
04SEP17	B	1	PD04DEC17	6891.50	6871.20	USD	-507.50	
04SEP17	B	1	PD04DEC17	6889.00	6871.20	USD	-445.00	
04SEP17	B	6	PD04DEC17	6889.50	6871.20	USD	-2,745.00	
04SEP17	B	1	PD04DEC17	6888.50	6871.20	USD	-432.50	
04SEP17	B	6	PD04DEC17	6890.00	6871.20	USD	-2,820.00	
04SEP17	B	2	PD04DEC17	6913.00	6871.20	USD	-2,090.00	
04SEP17	B	2	PD04DEC17	6912.50	6871.20	USD	-2,065.00	
04SEP17	B	1	PD04DEC17	6911.50	6871.20	USD	-1,007.50	
04SEP17	B	1	PD04DEC17	6910.50	6871.20	USD	-982.50	
04SEP17	B	1	PD04DEC17	6909.50	6871.20	USD	-957.50	
04SEP17	B	2	PD04DEC17	6911.00	6871.20	USD	-1,990.00	
04SEP17	B	2	PD04DEC17	6912.00	6871.20	USD	-2,040.00	
04SEP17	B	1	PD04DEC17	6915.00	6871.20	USD	-1,095.00	
04SEP17	B	3	PD04DEC17	6913.50	6871.20	USD	-3,172.50	
04SEP17	S	6	PD04DEC17	6917.00	6871.20	USD	6,870.00	
04SEP17	S	1	PD04DEC17	6919.00	6871.20	USD	1,195.00	
04SEP17	S	1	PD04DEC17	6920.50	6871.20	USD	1,232.50	
04SEP17	B	1	PD04DEC17	6920.50	6871.20	USD	-1,232.50	
04SEP17	B	4	PD04DEC17	6921.50	6871.20	USD	-5,030.00	
04SEP17	B	4	PD04DEC17	6921.00	6871.20	USD	-4,980.00	
04SEP17	B	4	PD04DEC17	6922.00	6871.20	USD	-5,080.00	
05SEP17	B	25	PD04DEC17	6899.80	6871.20	USD	-17,875.00	
	NET	0 AVG BGHT 6904.37	SOLD 6907.11				4,787.50	0.00
05SEP17	B	1	PD05DEC17	6943.50	6871.60	USD	-1,797.50	
05SEP17	B	1	PD05DEC17	6943.00	6871.60	USD	-1,785.00	
05SEP17	B	4	PD05DEC17	6942.50	6871.60	USD	-7,090.00	
05SEP17	B	7	PD05DEC17	6941.00	6871.60	USD	-12,145.00	
05SEP17	B	2	PD05DEC17	6940.00	6871.60	USD	-3,420.00	
05SEP17	B	2	PD05DEC17	6939.00	6871.60	USD	-3,370.00	
05SEP17	B	1	PD05DEC17	6938.00	6871.60	USD	-1,660.00	
05SEP17	B	6	PD05DEC17	6937.00	6871.60	USD	-9,810.00	
05SEP17	B	6	PD05DEC17	6937.50	6871.60	USD	-9,885.00	
05SEP17	B	1	PD05DEC17	6936.00	6871.60	USD	-1,610.00	
05SEP17	B	4	PD05DEC17	6935.00	6871.60	USD	-6,340.00	
05SEP17	S	1	PD05DEC17	6929.50	6871.60	USD	1,447.50	
05SEP17	S	1	PD05DEC17	6926.50	6871.60	USD	1,372.50	
05SEP17	S	1	PD05DEC17	6927.50	6871.60	USD	1,397.50	
05SEP17	S	2	PD05DEC17	6925.00	6871.60	USD	2,670.00	
05SEP17	S	1	PD05DEC17	6923.00	6871.60	USD	1,285.00	
05SEP17	S	3	PD05DEC17	6922.50	6871.60	USD	3,817.50	
05SEP17	S	1	PD05DEC17	6920.50	6871.60	USD	1,222.50	
05SEP17	S	1	PD05DEC17	6921.50	6871.60	USD	1,247.50	
05SEP17	S	9	PD05DEC17	6921.00	6871.60	USD	11,115.00	
05SEP17	S	3	PD05DEC17	6923.50	6871.60	USD	3,892.50	
05SEP17	S	2	PD05DEC17	6922.00	6871.60	USD	2,520.00	
05SEP17	S	1	PD05DEC17	6906.00	6871.60	USD	860.00	
05SEP17	S	2	PD05DEC17	6904.50	6871.60	USD	1,645.00	
05SEP17	S	2	PD05DEC17	6903.50	6871.60	USD	1,595.00	
05SEP17	S	1	PD05DEC17	6892.50	6871.60	USD	522.50	
05SEP17	S	1	PD05DEC17	6893.50	6871.60	USD	547.50	
05SEP17	S	3	PD05DEC17	6894.50	6871.60	USD	1,717.50	
05SEP17	S	1	PD05DEC17	6863.00	6871.60	USD	-215.00	
05SEP17	S	2	PD05DEC17	6849.00	6871.60	USD	-1,130.00	
05SEP17	S	2	PD05DEC17	6839.00	6871.60	USD	-1,630.00	
05SEP17	S	1	PD05DEC17	6851.00	6871.60	USD	-515.00	
05SEP17	S	1	PD05DEC17	6854.00	6871.60	USD	-440.00	
05SEP17	S	1	PD05DEC17	6856.00	6871.60	USD	-390.00	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
05SEP17	S	1	PD05DEC17	6857.50	6871.60	USD	-352.50	
05SEP17	S	1	PD05DEC17	6857.00	6871.60	USD	-365.00	
05SEP17	S	1	PD05DEC17	6854.50	6871.60	USD	-427.50	
05SEP17	S	1	PD05DEC17	6853.50	6871.60	USD	-452.50	
05SEP17	B	1	PD05DEC17	6858.00	6871.60	USD	340.00	
05SEP17	B	1	PD05DEC17	6855.50	6871.60	USD	402.50	
05SEP17	B	5	PD05DEC17	6856.00	6871.60	USD	1,950.00	
06SEP17	B	5	PD05DEC17	6900.83	6871.60	USD	-3,653.75	
	NET	0AVG BGHT 6922.56	SOLD 6899.65				-26,916.25	0.00
06SEP17	S	13	PD06DEC17	6898.00	6872.00	USD	8,450.00	
06SEP17	S	3	PD06DEC17	6898.50	6872.00	USD	1,987.50	
06SEP17	S	3	PD06DEC17	6897.00	6872.00	USD	1,875.00	
06SEP17	S	1	PD06DEC17	6899.00	6872.00	USD	675.00	
06SEP17	S	1	PD06DEC17	6910.50	6872.00	USD	962.50	
06SEP17	S	1	PD06DEC17	6908.50	6872.00	USD	912.50	
06SEP17	S	1	PD06DEC17	6906.00	6872.00	USD	850.00	
06SEP17	S	6	PD06DEC17	6911.00	6872.00	USD	5,850.00	
06SEP17	S	2	PD06DEC17	6911.50	6872.00	USD	1,975.00	
06SEP17	S	1	PD06DEC17	6910.00	6872.00	USD	950.00	
06SEP17	S	2	PD06DEC17	6909.00	6872.00	USD	1,850.00	
06SEP17	S	2	PD06DEC17	6909.50	6872.00	USD	1,875.00	
06SEP17	S	4	PD06DEC17	6912.00	6872.00	USD	4,000.00	
06SEP17	B	1	PD06DEC17	6881.00	6872.00	USD	-225.00	
06SEP17	B	1	PD06DEC17	6879.00	6872.00	USD	-175.00	
06SEP17	B	1	PD06DEC17	6883.00	6872.00	USD	-275.00	
06SEP17	B	1	PD06DEC17	6895.00	6872.00	USD	-575.00	
06SEP17	B	1	PD06DEC17	6894.50	6872.00	USD	-562.50	
06SEP17	S	1	PD06DEC17	6924.50	6872.00	USD	1,312.50	
06SEP17	S	2	PD06DEC17	6921.50	6872.00	USD	2,475.00	
06SEP17	S	1	PD06DEC17	6923.00	6872.00	USD	1,275.00	
06SEP17	B	3	PD06DEC17	6900.00	6872.00	USD	-2,100.00	
06SEP17	B	1	PD06DEC17	6899.00	6872.00	USD	-675.00	
06SEP17	B	11	PD06DEC17	6897.50	6872.00	USD	-7,012.50	
06SEP17	B	1	PD06DEC17	6898.50	6872.00	USD	-662.50	
06SEP17	B	6	PD06DEC17	6898.00	6872.00	USD	-3,900.00	
06SEP17	B	1	PD06DEC17	6891.00	6872.00	USD	-475.00	
06SEP17	B	1	PD06DEC17	6892.50	6872.00	USD	-512.50	
06SEP17	B	2	PD06DEC17	6884.50	6872.00	USD	-625.00	
06SEP17	B	1	PD06DEC17	6885.00	6872.00	USD	-325.00	
06SEP17	B	1	PD06DEC17	6885.50	6872.00	USD	-337.50	
06SEP17	B	1	PD06DEC17	6886.00	6872.00	USD	-350.00	
06SEP17	B	1	PD06DEC17	6891.50	6872.00	USD	-487.50	
06SEP17	B	1	PD06DEC17	6893.50	6872.00	USD	-537.50	
06SEP17	B	7	PD06DEC17	6893.00	6872.00	USD	-3,675.00	
06SEP17	B	1	PD06DEC17	6894.00	6872.00	USD	-550.00	
06SEP17	S	1	PD06DEC17	6922.00	6872.00	USD	1,250.00	
06SEP17	B	1	PD06DEC17	6898.00	6872.00	USD	-650.00	
06SEP17	S	1	PD06DEC17	6881.00	6872.00	USD	225.00	
06SEP17	S	3	PD06DEC17	6881.50	6872.00	USD	712.50	
06SEP17	S	1	PD06DEC17	6894.50	6872.00	USD	562.50	
06SEP17	S	1	PD06DEC17	6891.00	6872.00	USD	475.00	
06SEP17	S	1	PD06DEC17	6886.00	6872.00	USD	350.00	
06SEP17	S	1	PD06DEC17	6884.50	6872.00	USD	312.50	
06SEP17	S	1	PD06DEC17	6892.00	6872.00	USD	500.00	
06SEP17	S	1	PD06DEC17	6883.00	6872.00	USD	275.00	
06SEP17	S	1	PD06DEC17	6884.00	6872.00	USD	300.00	
06SEP17	S	1	PD06DEC17	6882.00	6872.00	USD	250.00	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
06SEP17	S	5	PD06DEC17	6882.50	6872.00	USD	1,312.50	
06SEP17	S	6	PD06DEC17	6880.50	6872.00	USD	1,275.00	
06SEP17	S	2	PD06DEC17	6877.50	6872.00	USD	275.00	
06SEP17	S	2	PD06DEC17	6878.50	6872.00	USD	325.00	
06SEP17	S	1	PD06DEC17	6879.50	6872.00	USD	187.50	
06SEP17	S	5	PD06DEC17	6880.00	6872.00	USD	1,000.00	
06SEP17	S	1	PD06DEC17	6877.00	6872.00	USD	125.00	
06SEP17	S	1	PD06DEC17	6874.50	6872.00	USD	62.50	
06SEP17	S	3	PD06DEC17	6876.00	6872.00	USD	300.00	
06SEP17	S	1	PD06DEC17	6887.50	6872.00	USD	387.50	
06SEP17	B	1	PD06DEC17	6870.50	6872.00	USD	37.50	
06SEP17	B	7	PD06DEC17	6869.50	6872.00	USD	437.50	
06SEP17	S	1	PD06DEC17	6879.00	6872.00	USD	175.00	
06SEP17	S	1	PD06DEC17	6890.50	6872.00	USD	462.50	
06SEP17	S	2	PD06DEC17	6890.00	6872.00	USD	900.00	
06SEP17	S	3	PD06DEC17	6888.50	6872.00	USD	1,237.50	
06SEP17	S	4	PD06DEC17	6889.50	6872.00	USD	1,750.00	
06SEP17	B	2	PD06DEC17	6870.50	6872.00	USD	75.00	
07SEP17	B	40	PD06DEC17	6898.32	6872.00	USD	-26,320.00	
	NET	0 AVG BGHT 6893.25	SOLD 6894.01				1,805.00	0.00
07SEP17	B	4	PD07DEC17	6878.00	6872.42	USD	-558.00	
07SEP17	B	5	PD07DEC17	6880.00	6872.42	USD	-947.50	
07SEP17	B	4	PD07DEC17	6879.00	6872.42	USD	-658.00	
07SEP17	B	2	PD07DEC17	6880.50	6872.42	USD	-404.00	
07SEP17	B	1	PD07DEC17	6875.00	6872.42	USD	-64.50	
07SEP17	B	2	PD07DEC17	6874.50	6872.42	USD	-104.00	
07SEP17	B	1	PD07DEC17	6872.00	6872.42	USD	10.50	
07SEP17	B	1	PD07DEC17	6869.50	6872.42	USD	73.00	
07SEP17	B	1	PD07DEC17	6871.00	6872.42	USD	35.50	
07SEP17	B	5	PD07DEC17	6863.50	6872.42	USD	1,115.00	
07SEP17	B	1	PD07DEC17	6866.50	6872.42	USD	148.00	
07SEP17	B	2	PD07DEC17	6864.50	6872.42	USD	396.00	
07SEP17	B	1	PD07DEC17	6869.00	6872.42	USD	85.50	
07SEP17	B	1	PD07DEC17	6868.50	6872.42	USD	98.00	
07SEP17	B	1	PD07DEC17	6867.50	6872.42	USD	123.00	
07SEP17	B	2	PD07DEC17	6868.00	6872.42	USD	221.00	
07SEP17	B	1	PD07DEC17	6878.00	6872.42	USD	-139.50	
07SEP17	B	2	PD07DEC17	6870.00	6872.42	USD	121.00	
07SEP17	B	1	PD07DEC17	6864.00	6872.42	USD	210.50	
07SEP17	B	4	PD07DEC17	6867.00	6872.42	USD	542.00	
07SEP17	B	3	PD07DEC17	6872.50	6872.42	USD	-6.00	
07SEP17	B	2	PD07DEC17	6871.50	6872.42	USD	46.00	
07SEP17	B	2	PD07DEC17	6873.00	6872.42	USD	-29.00	
07SEP17	B	7	PD07DEC17	6865.50	6872.42	USD	1,211.00	
07SEP17	B	3	PD07DEC17	6865.00	6872.42	USD	556.50	
07SEP17	B	1	PD07DEC17	6866.00	6872.42	USD	160.50	
07SEP17	S	1	PD07DEC17	6845.00	6872.42	USD	-685.50	
07SEP17	S	2	PD07DEC17	6903.50	6872.42	USD	1,554.00	
07SEP17	S	1	PD07DEC17	6902.50	6872.42	USD	752.00	
07SEP17	S	2	PD07DEC17	6900.50	6872.42	USD	1,404.00	
07SEP17	S	2	PD07DEC17	6898.50	6872.42	USD	1,304.00	
07SEP17	S	1	PD07DEC17	6896.00	6872.42	USD	589.50	
07SEP17	S	1	PD07DEC17	6899.50	6872.42	USD	677.00	
07SEP17	S	9	PD07DEC17	6900.00	6872.42	USD	6,205.50	
07SEP17	S	1	PD07DEC17	6903.00	6872.42	USD	764.50	
07SEP17	S	1	PD07DEC17	6904.00	6872.42	USD	789.50	
07SEP17	S	1	PD07DEC17	6904.50	6872.42	USD	802.00	

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07SEP17	S	1	PD07DEC17	6908.00	6872.42	USD	889.50	
07SEP17	S	1	PD07DEC17	6910.00	6872.42	USD	939.50	
07SEP17	S	3	PD07DEC17	6905.00	6872.42	USD	2,443.50	
07SEP17	S	2	PD07DEC17	6902.00	6872.42	USD	1,479.00	
07SEP17	S	1	PD07DEC17	6880.00	6872.42	USD	189.50	
07SEP17	S	3	PD07DEC17	6883.50	6872.42	USD	831.00	
07SEP17	S	2	PD07DEC17	6889.00	6872.42	USD	829.00	
07SEP17	S	6	PD07DEC17	6890.00	6872.42	USD	2,637.00	
07SEP17	S	1	PD07DEC17	6889.50	6872.42	USD	427.00	
07SEP17	S	2	PD07DEC17	6887.50	6872.42	USD	754.00	
07SEP17	S	2	PD07DEC17	6884.50	6872.42	USD	604.00	
07SEP17	S	1	PD07DEC17	6898.00	6872.42	USD	639.50	
07SEP17	S	1	PD07DEC17	6899.00	6872.42	USD	664.50	
07SEP17	S	1	PD07DEC17	6897.00	6872.42	USD	614.50	
07SEP17	S	1	PD07DEC17	6898.50	6872.42	USD	652.00	
07SEP17	S	1	PD07DEC17	6895.00	6872.42	USD	564.50	
07SEP17	S	1	PD07DEC17	6888.50	6872.42	USD	402.00	
07SEP17	S	1	PD07DEC17	6890.00	6872.42	USD	439.50	
07SEP17	S	1	PD07DEC17	6887.50	6872.42	USD	377.00	
07SEP17	B	1	PD07DEC17	6894.50	6872.42	USD	-552.00	
07SEP17	B	1	PD07DEC17	6898.50	6872.42	USD	-652.00	
07SEP17	B	1	PD07DEC17	6897.00	6872.42	USD	-614.50	
07SEP17	S	8	PD07DEC17	6901.50	6872.42	USD	5,816.00	
07SEP17	S	1	PD07DEC17	6899.00	6872.42	USD	664.50	
	NET	0 AVG BGHT 6872.15	SOLD 6895.92				37,437.50	0.00
08SEP17	B	2	PD08DEC17	6853.00	6872.83	USD	991.50	
08SEP17	B	1	PD08DEC17	6847.50	6872.83	USD	633.25	
08SEP17	B	1	PD08DEC17	6842.00	6872.83	USD	770.75	
08SEP17	B	2	PD08DEC17	6846.50	6872.83	USD	1,316.50	
08SEP17	B	1	PD08DEC17	6845.00	6872.83	USD	695.75	
08SEP17	B	5	PD08DEC17	6845.50	6872.83	USD	3,416.25	
08SEP17	B	1	PD08DEC17	6853.50	6872.83	USD	483.25	
08SEP17	B	3	PD08DEC17	6848.50	6872.83	USD	1,824.75	
08SEP17	B	2	PD08DEC17	6851.50	6872.83	USD	1,066.50	
08SEP17	B	4	PD08DEC17	6851.00	6872.83	USD	2,183.00	
08SEP17	B	1	PD08DEC17	6856.00	6872.83	USD	420.75	
08SEP17	B	1	PD08DEC17	6855.00	6872.83	USD	445.75	
08SEP17	B	1	PD08DEC17	6858.00	6872.83	USD	370.75	
08SEP17	B	3	PD08DEC17	6850.50	6872.83	USD	1,674.75	
08SEP17	B	2	PD08DEC17	6854.00	6872.83	USD	941.50	
08SEP17	B	4	PD08DEC17	6855.50	6872.83	USD	1,733.00	
08SEP17	S	1	PD08DEC17	6839.00	6872.83	USD	-845.75	
08SEP17	S	1	PD08DEC17	6838.50	6872.83	USD	-858.25	
08SEP17	S	2	PD08DEC17	6839.50	6872.83	USD	-1,666.50	
08SEP17	S	2	PD08DEC17	6841.50	6872.83	USD	-1,566.50	
08SEP17	S	1	PD08DEC17	6837.00	6872.83	USD	-895.75	
08SEP17	S	1	PD08DEC17	6837.50	6872.83	USD	-883.25	
08SEP17	S	4	PD08DEC17	6838.00	6872.83	USD	-3,483.00	
08SEP17	S	2	PD08DEC17	6836.00	6872.83	USD	-1,841.50	
08SEP17	S	8	PD08DEC17	6835.00	6872.83	USD	-7,566.00	
08SEP17	S	3	PD08DEC17	6833.50	6872.83	USD	-2,949.75	
08SEP17	S	3	PD08DEC17	6832.50	6872.83	USD	-3,024.75	
08SEP17	S	2	PD08DEC17	6832.00	6872.83	USD	-2,041.50	
08SEP17	S	4	PD08DEC17	6833.00	6872.83	USD	-3,983.00	
08SEP17	B	2	PD08DEC17	6824.00	6872.83	USD	2,441.50	
08SEP17	B	4	PD08DEC17	6827.50	6872.83	USD	4,533.00	
08SEP17	B	1	PD08DEC17	6825.50	6872.83	USD	1,183.25	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0127A

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
08SEP17	B	1	PD08DEC17	6826.00	6872.83	USD	1,170.75	
08SEP17	B	2	PD08DEC17	6824.50	6872.83	USD	2,416.50	
08SEP17	B	3	PD08DEC17	6822.50	6872.83	USD	3,774.75	
08SEP17	B	2	PD08DEC17	6821.50	6872.83	USD	2,566.50	
08SEP17	B	1	PD08DEC17	6817.50	6872.83	USD	1,383.25	
08SEP17	B	1	PD08DEC17	6815.00	6872.83	USD	1,445.75	
08SEP17	B	1	PD08DEC17	6813.00	6872.83	USD	1,495.75	
08SEP17	B	1	PD08DEC17	6813.50	6872.83	USD	1,483.25	
08SEP17	B	1	PD08DEC17	6810.00	6872.83	USD	1,570.75	
08SEP17	S	1	PD08DEC17	6790.00	6872.83	USD	-2,070.75	
08SEP17	S	1	PD08DEC17	6790.50	6872.83	USD	-2,058.25	
08SEP17	S	1	PD08DEC17	6789.00	6872.83	USD	-2,095.75	
08SEP17	S	1	PD08DEC17	6788.00	6872.83	USD	-2,120.75	
08SEP17	S	1	PD08DEC17	6786.50	6872.83	USD	-2,158.25	
08SEP17	S	1	PD08DEC17	6781.50	6872.83	USD	-2,283.25	
08SEP17	S	2	PD08DEC17	6782.50	6872.83	USD	-4,516.50	
08SEP17	B	2	PD08DEC17	6787.00	6872.83	USD	4,291.50	
08SEP17	B	1	PD08DEC17	6790.00	6872.83	USD	2,070.75	
08SEP17	B	1	PD08DEC17	6798.00	6872.83	USD	1,870.75	
08SEP17	B	1	PD08DEC17	6805.00	6872.83	USD	1,695.75	
08SEP17	B	6	PD08DEC17	6795.00	6872.83	USD	11,674.50	
08SEP17	B	1	PD08DEC17	6796.00	6872.83	USD	1,920.75	
08SEP17	B	1	PD08DEC17	6797.00	6872.83	USD	1,895.75	
08SEP17	B	7	PD08DEC17	6794.00	6872.83	USD	13,795.25	
08SEP17	B	1	PD08DEC17	6804.50	6872.83	USD	1,708.25	
08SEP17	B	1	PD08DEC17	6803.50	6872.83	USD	1,733.25	
08SEP17	B	1	PD08DEC17	6802.00	6872.83	USD	1,770.75	
08SEP17	B	3	PD08DEC17	6800.00	6872.83	USD	5,462.25	
08SEP17	B	5	PD08DEC17	6801.00	6872.83	USD	8,978.75	
08SEP17	B	2	PD08DEC17	6802.50	6872.83	USD	3,516.50	
08SEP17	B	4	PD08DEC17	6803.00	6872.83	USD	6,983.00	
08SEP17	B	5	PD08DEC17	6801.50	6872.83	USD	8,916.25	
08SEP17	B	5	PD08DEC17	6800.50	6872.83	USD	9,041.25	
08SEP17	B	1	PD08DEC17	6855.00	6872.83	USD	445.75	
08SEP17	S	1	PD08DEC17	6835.00	6872.83	USD	-945.75	
08SEP17	B	1	PD08DEC17	6785.50	6872.83	USD	2,183.25	
08SEP17	B	1	PD08DEC17	6784.00	6872.83	USD	2,220.75	
08SEP17	S	1	PD08DEC17	6766.00	6872.83	USD	-2,670.75	
08SEP17	S	1	PD08DEC17	6736.00	6872.83	USD	-3,420.75	
08SEP17	S	4	PD08DEC17	6735.50	6872.83	USD	-13,733.00	
08SEP17	S	2	PD08DEC17	6736.50	6872.83	USD	-6,816.50	
08SEP17	S	1	PD08DEC17	6737.50	6872.83	USD	-3,383.25	
08SEP17	S	2	PD08DEC17	6738.50	6872.83	USD	-6,716.50	
08SEP17	S	2	PD08DEC17	6740.00	6872.83	USD	-6,641.50	
08SEP17	S	4	PD08DEC17	6738.00	6872.83	USD	-13,483.00	
08SEP17	B	1	PD08DEC17	6731.50	6872.83	USD	3,533.25	
08SEP17	S	1	PD08DEC17	6704.50	6872.83	USD	-4,208.25	
08SEP17	S	1	PD08DEC17	6702.00	6872.83	USD	-4,270.75	
08SEP17	S	1	PD08DEC17	6706.00	6872.83	USD	-4,170.75	
08SEP17	S	1	PD08DEC17	6704.00	6872.83	USD	-4,220.75	
08SEP17	S	1	PD08DEC17	6703.50	6872.83	USD	-4,233.25	
08SEP17	S	1	PD08DEC17	6707.00	6872.83	USD	-4,145.75	
08SEP17	S	5	PD08DEC17	6705.00	6872.83	USD	-20,978.75	
08SEP17	B	1	PD08DEC17	6699.00	6872.83	USD	4,345.75	
08SEP17	B	1	PD08DEC17	6702.00	6872.83	USD	4,270.75	
08SEP17	B	1	PD08DEC17	6698.50	6872.83	USD	4,358.25	
08SEP17	B	1	PD08DEC17	6697.00	6872.83	USD	4,395.75	

OPEN POSITION STATEMENT



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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
08SEP17	B	2	PD08DEC17	6698.00	6872.83	USD	8,741.50	
08SEP17	B	1	PD08DEC17	6699.50	6872.83	USD	4,333.25	
08SEP17	B	5	PD08DEC17	6696.00	6872.83	USD	22,103.75	
08SEP17	B	4	PD08DEC17	6695.50	6872.83	USD	17,733.00	
08SEP17	B	5	PD08DEC17	6695.00	6872.83	USD	22,228.75	
08SEP17	B	2	PD08DEC17	6694.50	6872.83	USD	8,916.50	
08SEP17	S	4	PD08DEC17	6683.00	6872.83	USD	-18,983.00	
08SEP17	S	1	PD08DEC17	6676.00	6872.83	USD	-4,920.75	
08SEP17	S	3	PD08DEC17	6675.00	6872.83	USD	-14,837.25	
08SEP17	S	1	PD08DEC17	6672.50	6872.83	USD	-5,008.25	
08SEP17	S	1	PD08DEC17	6672.00	6872.83	USD	-5,020.75	
08SEP17	S	1	PD08DEC17	6677.50	6872.83	USD	-4,883.25	
08SEP17	S	2	PD08DEC17	6678.50	6872.83	USD	-9,716.50	
08SEP17	S	6	PD08DEC17	6680.00	6872.83	USD	-28,924.50	
08SEP17	S	2	PD08DEC17	6682.50	6872.83	USD	-9,516.50	
08SEP17	S	1	PD08DEC17	6681.50	6872.83	USD	-4,783.25	
08SEP17	S	4	PD08DEC17	6684.00	6872.83	USD	-18,883.00	
08SEP17	S	6	PD08DEC17	6685.00	6872.83	USD	-28,174.50	
08SEP17	S	2	PD08DEC17	6685.50	6872.83	USD	-9,366.50	
08SEP17	S	1	PD08DEC17	6686.00	6872.83	USD	-4,670.75	
08SEP17	S	1	PD08DEC17	6679.50	6872.83	USD	-4,833.25	
08SEP17	S	3	PD08DEC17	6675.50	6872.83	USD	-14,799.75	
08SEP17	B	1	PD08DEC17	6677.00	6872.83	USD	4,895.75	
08SEP17	B	2	PD08DEC17	6681.50	6872.83	USD	9,566.50	
08SEP17	B	1	PD08DEC17	6679.50	6872.83	USD	4,833.25	
08SEP17	B	1	PD08DEC17	6672.50	6872.83	USD	5,008.25	
08SEP17	S	1	PD08DEC17	6687.50	6872.83	USD	-4,633.25	
08SEP17	S	1	PD08DEC17	6687.00	6872.83	USD	-4,645.75	
08SEP17	S	1	PD08DEC17	6688.50	6872.83	USD	-4,608.25	
08SEP17	B	1	PD08DEC17	6684.00	6872.83	USD	4,720.75	
08SEP17	B	2	PD08DEC17	6687.00	6872.83	USD	9,291.50	
08SEP17	B	1	PD08DEC17	6687.50	6872.83	USD	4,633.25	
08SEP17	B	1	PD08DEC17	6688.50	6872.83	USD	4,608.25	
08SEP17	B	1	PD08DEC17	6686.50	6872.83	USD	4,658.25	
08SEP17	B	8	PD08DEC17	6685.50	6872.83	USD	37,466.00	
08SEP17	B	2	PD08DEC17	6684.50	6872.83	USD	9,416.50	
08SEP17	B	2	PD08DEC17	6685.00	6872.83	USD	9,391.50	
08SEP17	B	8	PD08DEC17	6683.50	6872.83	USD	37,866.00	
08SEP17	B	4	PD08DEC17	6682.50	6872.83	USD	19,033.00	
08SEP17	B	2	PD08DEC17	6682.00	6872.83	USD	9,541.50	
08SEP17	B	5	PD08DEC17	6680.00	6872.83	USD	24,103.75	
08SEP17	B	2	PD08DEC17	6679.00	6872.83	USD	9,691.50	
08SEP17	B	1	PD08DEC17	6698.50	6872.83	USD	4,358.25	
08SEP17	B	1	PD08DEC17	6698.00	6872.83	USD	4,370.75	
08SEP17	B	1	PD08DEC17	6699.00	6872.83	USD	4,345.75	
08SEP17	S	1	PD08DEC17	6684.00	6872.83	USD	-4,720.75	
08SEP17	B	4	PD08DEC17	6683.00	6872.83	USD	18,983.00	
08SEP17	B	2	PD08DEC17	6680.50	6872.83	USD	9,616.50	
08SEP17	B	1	PD08DEC17	6679.00	6872.83	USD	4,845.75	
08SEP17	B	3	PD08DEC17	6682.50	6872.83	USD	14,274.75	
08SEP17	B	1	PD08DEC17	6681.00	6872.83	USD	4,795.75	
08SEP17	B	8	PD08DEC17	6678.00	6872.83	USD	38,966.00	
20SEP17	S	40	PD08DEC17	6522.81	6872.83	USD	-350,020.00	
22SEP17	S	20	PD08DEC17	6454.17	6872.83	USD	-209,330.00	
03OCT17	S	20	PD08DEC17	6513.00	6872.83	USD	-179,915.00	
	NET	0	AVG BGHT 6758.43	SOLD 6646.41			-543,292.50	0.00
11SEP17	B	2	PD11DEC17	6718.00	6874.08	USD	7,804.00	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
11SEP17	B	3	PD11DEC17	6720.00	6874.08	USD	11,556.00	
11SEP17	S	1	PD11DEC17	6765.50	6874.08	USD	-2,714.50	
11SEP17	S	2	PD11DEC17	6766.50	6874.08	USD	-5,379.00	
11SEP17	S	2	PD11DEC17	6770.00	6874.08	USD	-5,204.00	
11SEP17	S	2	PD11DEC17	6769.00	6874.08	USD	-5,254.00	
11SEP17	B	1	PD11DEC17	6765.50	6874.08	USD	2,714.50	
11SEP17	B	1	PD11DEC17	6769.00	6874.08	USD	2,627.00	
11SEP17	B	4	PD11DEC17	6768.00	6874.08	USD	10,608.00	
11SEP17	B	4	PD11DEC17	6763.50	6874.08	USD	11,058.00	
11SEP17	B	1	PD11DEC17	6761.50	6874.08	USD	2,814.50	
11SEP17	S	1	PD11DEC17	6766.50	6874.08	USD	-2,689.50	
11SEP17	S	1	PD11DEC17	6768.00	6874.08	USD	-2,652.00	
11SEP17	S	1	PD11DEC17	6770.00	6874.08	USD	-2,602.00	
11SEP17	S	1	PD11DEC17	6769.00	6874.08	USD	-2,627.00	
11SEP17	B	1	PD11DEC17	6726.50	6874.08	USD	3,689.50	
11SEP17	B	3	PD11DEC17	6729.50	6874.08	USD	10,843.50	
11SEP17	B	2	PD11DEC17	6730.00	6874.08	USD	7,204.00	
11SEP17	B	1	PD11DEC17	6729.00	6874.08	USD	3,627.00	
11SEP17	B	1	PD11DEC17	6728.50	6874.08	USD	3,639.50	
11SEP17	B	13	PD11DEC17	6728.00	6874.08	USD	47,476.00	
11SEP17	B	4	PD11DEC17	6727.50	6874.08	USD	14,658.00	
11SEP17	B	4	PD11DEC17	6730.50	6874.08	USD	14,358.00	
11SEP17	S	1	PD11DEC17	6724.50	6874.08	USD	-3,739.50	
11SEP17	S	1	PD11DEC17	6719.50	6874.08	USD	-3,864.50	
11SEP17	S	7	PD11DEC17	6720.00	6874.08	USD	-26,964.00	
11SEP17	S	3	PD11DEC17	6723.00	6874.08	USD	-11,331.00	
11SEP17	S	6	PD11DEC17	6723.50	6874.08	USD	-22,587.00	
11SEP17	B	2	PD11DEC17	6732.50	6874.08	USD	7,079.00	
11SEP17	B	3	PD11DEC17	6735.00	6874.08	USD	10,431.00	
11SEP17	B	2	PD11DEC17	6733.00	6874.08	USD	7,054.00	
11SEP17	B	2	PD11DEC17	6734.00	6874.08	USD	7,004.00	
11SEP17	B	4	PD11DEC17	6734.50	6874.08	USD	13,958.00	
11SEP17	B	2	PD11DEC17	6736.50	6874.08	USD	6,879.00	
11SEP17	B	1	PD11DEC17	6742.00	6874.08	USD	3,302.00	
11SEP17	B	1	PD11DEC17	6745.00	6874.08	USD	3,227.00	
11SEP17	B	1	PD11DEC17	6748.50	6874.08	USD	3,139.50	
11SEP17	B	2	PD11DEC17	6745.50	6874.08	USD	6,429.00	
11SEP17	B	3	PD11DEC17	6750.00	6874.08	USD	9,306.00	
11SEP17	B	3	PD11DEC17	6749.50	6874.08	USD	9,343.50	
11SEP17	B	1	PD11DEC17	6731.50	6874.08	USD	3,564.50	
11SEP17	B	4	PD11DEC17	6731.00	6874.08	USD	14,308.00	
11SEP17	B	4	PD11DEC17	6737.50	6874.08	USD	13,658.00	
11SEP17	S	1	PD11DEC17	6747.50	6874.08	USD	-3,164.50	
11SEP17	S	13	PD11DEC17	6747.00	6874.08	USD	-41,301.00	
11SEP17	S	3	PD11DEC17	6745.50	6874.08	USD	-9,643.50	
11SEP17	S	6	PD11DEC17	6748.00	6874.08	USD	-18,912.00	
11SEP17	S	7	PD11DEC17	6748.50	6874.08	USD	-21,976.50	
11SEP17	S	6	PD11DEC17	6749.50	6874.08	USD	-18,687.00	
11SEP17	S	1	PD11DEC17	6750.50	6874.08	USD	-3,089.50	
11SEP17	S	1	PD11DEC17	6738.50	6874.08	USD	-3,389.50	
11SEP17	S	2	PD11DEC17	6743.50	6874.08	USD	-6,529.00	
11SEP17	S	2	PD11DEC17	6744.00	6874.08	USD	-6,504.00	
11SEP17	S	6	PD11DEC17	6746.00	6874.08	USD	-19,212.00	
11SEP17	S	1	PD11DEC17	6743.00	6874.08	USD	-3,277.00	
11SEP17	S	1	PD11DEC17	6741.50	6874.08	USD	-3,314.50	
11SEP17	S	1	PD11DEC17	6742.00	6874.08	USD	-3,302.00	
11SEP17	B	1	PD11DEC17	6730.00	6874.08	USD	3,602.00	

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11SEP17	S	1	PD12DEC17	6747.00	6874.08	USD	-3,177.00	
	NET	0	AVG BGHT 6737.31				13,875.00	0.00
			SOLD 6744.16					
12SEP17	S	7	PD12DEC17	6706.00	6874.50	USD	-29,487.50	
12SEP17	S	3	PD12DEC17	6705.00	6874.50	USD	-12,712.50	
12SEP17	B	5	PD12DEC17	6728.00	6874.50	USD	18,312.50	
12SEP17	B	3	PD12DEC17	6727.00	6874.50	USD	11,062.50	
12SEP17	B	2	PD12DEC17	6729.50	6874.50	USD	7,250.00	
12SEP17	B	1	PD12DEC17	6719.00	6874.50	USD	3,887.50	
12SEP17	B	2	PD12DEC17	6718.50	6874.50	USD	7,800.00	
12SEP17	B	5	PD12DEC17	6697.50	6874.50	USD	22,125.00	
12SEP17	B	11	PD12DEC17	6699.50	6874.50	USD	48,125.00	
12SEP17	B	6	PD12DEC17	6699.00	6874.50	USD	26,325.00	
12SEP17	B	7	PD12DEC17	6698.00	6874.50	USD	30,887.50	
12SEP17	B	1	PD12DEC17	6698.50	6874.50	USD	4,400.00	
12SEP17	B	1	PD12DEC17	6696.00	6874.50	USD	4,462.50	
12SEP17	B	1	PD12DEC17	6695.50	6874.50	USD	4,475.00	
12SEP17	B	1	PD12DEC17	6694.50	6874.50	USD	4,500.00	
12SEP17	B	1	PD12DEC17	6693.50	6874.50	USD	4,525.00	
12SEP17	B	6	PD12DEC17	6689.50	6874.50	USD	27,750.00	
12SEP17	B	1	PD12DEC17	6688.50	6874.50	USD	4,650.00	
12SEP17	B	2	PD12DEC17	6692.00	6874.50	USD	9,125.00	
12SEP17	B	1	PD12DEC17	6691.00	6874.50	USD	4,587.50	
12SEP17	B	10	PD12DEC17	6689.00	6874.50	USD	46,375.00	
12SEP17	B	8	PD12DEC17	6690.00	6874.50	USD	36,900.00	
12SEP17	B	1	PD12DEC17	6675.50	6874.50	USD	4,975.00	
12SEP17	B	1	PD12DEC17	6678.50	6874.50	USD	4,900.00	
12SEP17	B	3	PD12DEC17	6678.00	6874.50	USD	14,737.50	
12SEP17	B	4	PD12DEC17	6681.50	6874.50	USD	19,300.00	
12SEP17	B	1	PD12DEC17	6680.50	6874.50	USD	4,850.00	
12SEP17	B	2	PD12DEC17	6679.50	6874.50	USD	9,750.00	
12SEP17	B	1	PD12DEC17	6677.00	6874.50	USD	4,937.50	
12SEP17	B	2	PD12DEC17	6651.50	6874.50	USD	11,150.00	
12SEP17	B	2	PD12DEC17	6650.00	6874.50	USD	11,225.00	
12SEP17	B	4	PD12DEC17	6690.00	6874.50	USD	18,450.00	
12SEP17	B	1	PD12DEC17	6717.00	6874.50	USD	3,937.50	
12SEP17	B	1	PD12DEC17	6718.00	6874.50	USD	3,912.50	
12SEP17	B	2	PD12DEC17	6697.00	6874.50	USD	8,875.00	
12SEP17	B	1	PD12DEC17	6689.00	6874.50	USD	4,637.50	
12SEP17	B	2	PD12DEC17	6679.50	6874.50	USD	9,750.00	
12SEP17	B	1	PD12DEC17	6648.00	6874.50	USD	5,662.50	
12SEP17	S	1	PD12DEC17	6644.50	6874.50	USD	-5,750.00	
12SEP17	S	3	PD12DEC17	6645.00	6874.50	USD	-17,212.50	
12SEP17	S	1	PD12DEC17	6644.00	6874.50	USD	-5,762.50	
12SEP17	S	2	PD12DEC17	6643.00	6874.50	USD	-11,575.00	
12SEP17	S	1	PD12DEC17	6642.50	6874.50	USD	-5,800.00	
12SEP17	S	3	PD12DEC17	6642.00	6874.50	USD	-17,437.50	
12SEP17	S	1	PD12DEC17	6639.00	6874.50	USD	-5,887.50	
12SEP17	B	2	PD12DEC17	6656.50	6874.50	USD	10,900.00	
12SEP17	S	1	PD12DEC17	6654.00	6874.50	USD	-5,512.50	
12SEP17	S	7	PD12DEC17	6650.00	6874.50	USD	-39,287.50	
12SEP17	S	1	PD12DEC17	6651.50	6874.50	USD	-5,575.00	
12SEP17	S	2	PD12DEC17	6651.00	6874.50	USD	-11,175.00	
12SEP17	S	1	PD12DEC17	6650.50	6874.50	USD	-5,600.00	
12SEP17	S	1	PD12DEC17	6652.50	6874.50	USD	-5,550.00	
12SEP17	S	17	PD12DEC17	6652.00	6874.50	USD	-94,562.50	
12SEP17	S	3	PD12DEC17	6654.50	6874.50	USD	-16,500.00	
12SEP17	S	4	PD12DEC17	6653.00	6874.50	USD	-22,150.00	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0127A

13th October 2017

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Suite A, 105 Piccadilly
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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
12SEP17	S	3	PD12DEC17	6653.50	6874.50	USD	-16,575.00	
12SEP17	S	2	PD12DEC17	6663.50	6874.50	USD	-10,550.00	
12SEP17	S	6	PD12DEC17	6663.00	6874.50	USD	-31,725.00	
12SEP17	S	1	PD12DEC17	6665.50	6874.50	USD	-5,225.00	
12SEP17	S	1	PD12DEC17	6667.50	6874.50	USD	-5,175.00	
12SEP17	S	1	PD12DEC17	6666.50	6874.50	USD	-5,200.00	
12SEP17	S	1	PD12DEC17	6675.00	6874.50	USD	-4,987.50	
12SEP17	S	1	PD12DEC17	6676.50	6874.50	USD	-4,950.00	
12SEP17	S	1	PD12DEC17	6676.00	6874.50	USD	-4,962.50	
12SEP17	S	1	PD12DEC17	6681.50	6874.50	USD	-4,825.00	
12SEP17	S	1	PD12DEC17	6682.50	6874.50	USD	-4,800.00	
12SEP17	S	1	PD12DEC17	6680.50	6874.50	USD	-4,850.00	
12SEP17	S	1	PD12DEC17	6679.50	6874.50	USD	-4,875.00	
12SEP17	S	1	PD12DEC17	6678.00	6874.50	USD	-4,912.50	
12SEP17	S	1	PD12DEC17	6671.50	6874.50	USD	-5,075.00	
12SEP17	S	4	PD12DEC17	6669.50	6874.50	USD	-20,500.00	
12SEP17	S	1	PD12DEC17	6661.50	6874.50	USD	-5,325.00	
12SEP17	S	1	PD12DEC17	6660.00	6874.50	USD	-5,362.50	
12SEP17	S	1	PD12DEC17	6661.00	6874.50	USD	-5,337.50	
12SEP17	S	3	PD12DEC17	6662.00	6874.50	USD	-15,937.50	
12SEP17	S	3	PD12DEC17	6664.00	6874.50	USD	-15,787.50	
12SEP17	S	1	PD12DEC17	6655.50	6874.50	USD	-5,475.00	
12SEP17	S	1	PD12DEC17	6657.50	6874.50	USD	-5,425.00	
12SEP17	S	7	PD12DEC17	6656.50	6874.50	USD	-38,150.00	
12SEP17	S	12	PD12DEC17	6655.00	6874.50	USD	-65,850.00	
12SEP17	S	3	PD12DEC17	6656.00	6874.50	USD	-16,387.50	
12SEP17	S	4	PD12DEC17	6659.50	6874.50	USD	-21,500.00	
12SEP17	S	1	PD12DEC17	6649.50	6874.50	USD	-5,625.00	
12SEP17	S	1	PD12DEC17	6652.50	6874.50	USD	-5,550.00	
12SEP17	S	1	PD12DEC17	6656.00	6874.50	USD	-5,462.50	
12SEP17	S	1	PD12DEC17	6655.00	6874.50	USD	-5,487.50	
12SEP17	S	2	PD12DEC17	6653.00	6874.50	USD	-11,075.00	
12SEP17	S	2	PD12DEC17	6653.50	6874.50	USD	-11,050.00	
12SEP17	S	1	PD12DEC17	6660.50	6874.50	USD	-5,350.00	
12SEP17	B	1	PD12DEC17	6668.00	6874.50	USD	5,162.50	
12SEP17	B	5	PD12DEC17	6669.00	6874.50	USD	25,687.50	
12SEP17	B	2	PD12DEC17	6673.50	6874.50	USD	10,050.00	
12SEP17	B	7	PD12DEC17	6670.00	6874.50	USD	35,787.50	
12SEP17	B	10	PD12DEC17	6669.50	6874.50	USD	51,250.00	
12SEP17	B	1	PD12DEC17	6667.50	6874.50	USD	5,175.00	
12SEP17	B	3	PD12DEC17	6671.50	6874.50	USD	15,225.00	
12SEP17	B	6	PD12DEC17	6673.00	6874.50	USD	30,225.00	
12SEP17	B	6	PD12DEC17	6674.00	6874.50	USD	30,075.00	
12SEP17	B	5	PD12DEC17	6672.50	6874.50	USD	25,250.00	
12SEP17	B	3	PD12DEC17	6668.50	6874.50	USD	15,450.00	
12SEP17	B	2	PD12DEC17	6672.00	6874.50	USD	10,125.00	
12SEP17	B	4	PD12DEC17	6670.50	6874.50	USD	20,400.00	
12SEP17	S	2	PD12DEC17	6671.00	6874.50	USD	-10,175.00	
12SEP17	B	1	PD12DEC17	6671.50	6874.50	USD	5,075.00	
12SEP17	B	1	PD12DEC17	6670.00	6874.50	USD	5,112.50	
12SEP17	B	1	PD12DEC17	6674.00	6874.50	USD	5,012.50	
15SEP17	S	30	PD12DEC17	6505.76	6874.50	USD	-276,555.00	
	NET	0 AVG BGHT 6685.59	SOLD 6632.16				-219,055.00	0.00
13SEP17	S	1	PD13DEC17	6657.50	6874.92	USD	-5,435.50	
13SEP17	S	12	PD13DEC17	6657.00	6874.92	USD	-65,376.00	
13SEP17	S	3	PD13DEC17	6653.50	6874.92	USD	-16,606.50	
13SEP17	S	2	PD13DEC17	6652.00	6874.92	USD	-11,146.00	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
13SEP17	S	1	PD13DEC17	6651.50	6874.92	USD	-5,585.50	
13SEP17	S	1	PD13DEC17	6650.50	6874.92	USD	-5,610.50	
13SEP17	B	1	PD13DEC17	6632.00	6874.92	USD	6,073.00	
13SEP17	B	2	PD13DEC17	6631.00	6874.92	USD	12,196.00	
13SEP17	B	3	PD13DEC17	6629.00	6874.92	USD	18,444.00	
13SEP17	B	2	PD13DEC17	6628.50	6874.92	USD	12,321.00	
13SEP17	B	1	PD13DEC17	6630.00	6874.92	USD	6,123.00	
13SEP17	B	1	PD13DEC17	6618.50	6874.92	USD	6,410.50	
13SEP17	B	6	PD13DEC17	6621.00	6874.92	USD	38,088.00	
13SEP17	B	1	PD13DEC17	6620.50	6874.92	USD	6,360.50	
13SEP17	B	5	PD13DEC17	6620.00	6874.92	USD	31,865.00	
13SEP17	B	3	PD13DEC17	6619.50	6874.92	USD	19,156.50	
13SEP17	B	2	PD13DEC17	6619.00	6874.92	USD	12,796.00	
13SEP17	B	1	PD13DEC17	6622.00	6874.92	USD	6,323.00	
13SEP17	B	1	PD13DEC17	6624.00	6874.92	USD	6,273.00	
13SEP17	B	5	PD13DEC17	6623.50	6874.92	USD	31,427.50	
13SEP17	B	1	PD13DEC17	6623.00	6874.92	USD	6,298.00	
13SEP17	B	9	PD13DEC17	6624.50	6874.92	USD	56,344.50	
13SEP17	B	2	PD13DEC17	6625.00	6874.92	USD	12,496.00	
13SEP17	B	2	PD13DEC17	6625.50	6874.92	USD	12,471.00	
13SEP17	B	1	PD13DEC17	6626.00	6874.92	USD	6,223.00	
13SEP17	B	2	PD13DEC17	6612.00	6874.92	USD	13,146.00	
13SEP17	B	2	PD13DEC17	6614.00	6874.92	USD	13,046.00	
13SEP17	B	1	PD13DEC17	6612.50	6874.92	USD	6,560.50	
13SEP17	B	1	PD13DEC17	6607.50	6874.92	USD	6,685.50	
13SEP17	B	3	PD13DEC17	6608.00	6874.92	USD	20,019.00	
13SEP17	B	1	PD13DEC17	6605.50	6874.92	USD	6,735.50	
13SEP17	B	2	PD13DEC17	6607.00	6874.92	USD	13,396.00	
13SEP17	B	2	PD13DEC17	6609.00	6874.92	USD	13,296.00	
13SEP17	B	1	PD13DEC17	6602.50	6874.92	USD	6,810.50	
13SEP17	B	3	PD13DEC17	6598.50	6874.92	USD	20,731.50	
13SEP17	B	1	PD13DEC17	6597.00	6874.92	USD	6,948.00	
13SEP17	B	3	PD13DEC17	6590.50	6874.92	USD	21,331.50	
13SEP17	B	1	PD13DEC17	6589.50	6874.92	USD	7,135.50	
13SEP17	B	4	PD13DEC17	6590.00	6874.92	USD	28,492.00	
13SEP17	B	2	PD13DEC17	6592.50	6874.92	USD	14,121.00	
13SEP17	B	1	PD13DEC17	6592.00	6874.92	USD	7,073.00	
13SEP17	B	5	PD13DEC17	6593.00	6874.92	USD	35,240.00	
13SEP17	B	1	PD13DEC17	6559.00	6874.92	USD	7,898.00	
13SEP17	B	2	PD13DEC17	6560.00	6874.92	USD	15,746.00	
13SEP17	B	2	PD13DEC17	6564.50	6874.92	USD	15,521.00	
13SEP17	B	2	PD13DEC17	6575.50	6874.92	USD	14,971.00	
13SEP17	B	1	PD13DEC17	6574.00	6874.92	USD	7,523.00	
13SEP17	B	1	PD13DEC17	6573.50	6874.92	USD	7,535.50	
13SEP17	B	1	PD13DEC17	6572.00	6874.92	USD	7,573.00	
13SEP17	B	1	PD13DEC17	6571.00	6874.92	USD	7,598.00	
13SEP17	B	5	PD13DEC17	6570.00	6874.92	USD	38,115.00	
13SEP17	B	1	PD13DEC17	6570.50	6874.92	USD	7,610.50	
13SEP17	B	2	PD13DEC17	6569.50	6874.92	USD	15,271.00	
13SEP17	B	1	PD13DEC17	6565.50	6874.92	USD	7,735.50	
13SEP17	B	3	PD13DEC17	6565.00	6874.92	USD	23,244.00	
13SEP17	B	1	PD13DEC17	6566.00	6874.92	USD	7,723.00	
13SEP17	B	1	PD13DEC17	6563.50	6874.92	USD	7,785.50	
13SEP17	B	2	PD13DEC17	6568.50	6874.92	USD	15,321.00	
13SEP17	B	3	PD13DEC17	6568.00	6874.92	USD	23,019.00	
13SEP17	B	2	PD13DEC17	6567.50	6874.92	USD	15,371.00	
13SEP17	S	1	PD13DEC17	6571.50	6874.92	USD	-7,585.50	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
13SEP17	S	1	PD13DEC17	6571.00	6874.92	USD	-7,598.00	
13SEP17	B	4	PD13DEC17	6569.00	6874.92	USD	30,592.00	
13SEP17	S	1	PD13DEC17	6572.50	6874.92	USD	-7,560.50	
13SEP17	B	1	PD13DEC17	6629.00	6874.92	USD	6,148.00	
13SEP17	S	4	PD13DEC17	6561.00	6874.92	USD	-31,392.00	
13SEP17	S	1	PD13DEC17	6559.00	6874.92	USD	-7,898.00	
13SEP17	S	3	PD13DEC17	6559.50	6874.92	USD	-23,656.50	
13SEP17	S	4	PD13DEC17	6560.50	6874.92	USD	-31,442.00	
13SEP17	S	1	PD13DEC17	6555.50	6874.92	USD	-7,985.50	
13SEP17	S	4	PD13DEC17	6555.00	6874.92	USD	-31,992.00	
13SEP17	S	6	PD13DEC17	6554.50	6874.92	USD	-48,063.00	
13SEP17	S	1	PD13DEC17	6551.00	6874.92	USD	-8,098.00	
13SEP17	S	1	PD13DEC17	6550.00	6874.92	USD	-8,123.00	
13SEP17	S	5	PD13DEC17	6546.00	6874.92	USD	-41,115.00	
13SEP17	S	6	PD13DEC17	6547.00	6874.92	USD	-49,188.00	
13SEP17	S	2	PD13DEC17	6548.00	6874.92	USD	-16,346.00	
13SEP17	S	1	PD13DEC17	6547.50	6874.92	USD	-8,185.50	
13SEP17	S	10	PD13DEC17	6546.50	6874.92	USD	-82,105.00	
13SEP17	B	1	PD13DEC17	6547.00	6874.92	USD	8,198.00	
13SEP17	B	1	PD13DEC17	6552.50	6874.92	USD	8,060.50	
13SEP17	B	1	PD13DEC17	6550.00	6874.92	USD	8,123.00	
13SEP17	B	2	PD13DEC17	6550.50	6874.92	USD	16,221.00	
13SEP17	S	4	PD13DEC17	6554.00	6874.92	USD	-32,092.00	
13SEP17	B	1	PD13DEC17	6555.50	6874.92	USD	7,985.50	
13SEP17	B	1	PD13DEC17	6567.00	6874.92	USD	7,698.00	
13SEP17	S	1	PD13DEC17	6555.00	6874.92	USD	-7,998.00	
13SEP17	S	1	PD13DEC17	6554.50	6874.92	USD	-8,010.50	
13SEP17	B	2	PD13DEC17	6551.50	6874.92	USD	16,171.00	
13SEP17	B	3	PD13DEC17	6552.00	6874.92	USD	24,219.00	
13SEP17	B	1	PD13DEC17	6557.00	6874.92	USD	7,948.00	
13SEP17	B	2	PD13DEC17	6555.00	6874.92	USD	15,996.00	
13SEP17	B	4	PD13DEC17	6554.00	6874.92	USD	32,092.00	
13SEP17	B	3	PD13DEC17	6553.00	6874.92	USD	24,144.00	
13SEP17	B	9	PD13DEC17	6553.50	6874.92	USD	72,319.50	
13SEP17	B	1	PD13DEC17	6554.50	6874.92	USD	8,010.50	
15SEP17	S	75	PD13DEC17	6506.17	6874.92	USD	-691,406.25	
	NET	0AVG BGHT 6590.49	SOLD 6543.52				-179,656.25	0.00
14SEP17	S	9	PD14DEC17	6535.00	6875.33	USD	-76,574.25	
14SEP17	S	2	PD14DEC17	6536.50	6875.33	USD	-16,941.50	
14SEP17	S	1	PD14DEC17	6539.00	6875.33	USD	-8,408.25	
14SEP17	S	8	PD14DEC17	6535.50	6875.33	USD	-67,966.00	
14SEP17	B	1	PD14DEC17	6522.50	6875.33	USD	8,820.75	
14SEP17	B	1	PD14DEC17	6521.50	6875.33	USD	8,845.75	
14SEP17	B	1	PD14DEC17	6520.50	6875.33	USD	8,870.75	
14SEP17	S	1	PD14DEC17	6530.50	6875.33	USD	-8,620.75	
14SEP17	S	1	PD14DEC17	6531.00	6875.33	USD	-8,608.25	
14SEP17	S	2	PD14DEC17	6529.00	6875.33	USD	-17,316.50	
14SEP17	S	1	PD14DEC17	6528.00	6875.33	USD	-8,683.25	
14SEP17	S	1	PD14DEC17	6522.00	6875.33	USD	-8,833.25	
14SEP17	B	1	PD14DEC17	6519.00	6875.33	USD	8,908.25	
14SEP17	B	2	PD14DEC17	6523.00	6875.33	USD	17,616.50	
14SEP17	B	2	PD14DEC17	6523.50	6875.33	USD	17,591.50	
14SEP17	B	8	PD14DEC17	6524.00	6875.33	USD	70,266.00	
14SEP17	B	3	PD14DEC17	6526.50	6875.33	USD	26,162.25	
14SEP17	B	5	PD14DEC17	6525.00	6875.33	USD	43,791.25	
14SEP17	B	2	PD14DEC17	6525.50	6875.33	USD	17,491.50	
14SEP17	B	2	PD14DEC17	6518.50	6875.33	USD	17,841.50	

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14SEP17	B	4	PD14DEC17	6513.00	6875.33	USD	36,233.00	
14SEP17	B	1	PD14DEC17	6510.50	6875.33	USD	9,120.75	
14SEP17	B	3	PD14DEC17	6512.00	6875.33	USD	27,249.75	
14SEP17	B	2	PD14DEC17	6496.50	6875.33	USD	18,941.50	
14SEP17	B	1	PD14DEC17	6489.00	6875.33	USD	9,658.25	
14SEP17	B	1	PD14DEC17	6487.50	6875.33	USD	9,695.75	
14SEP17	B	1	PD14DEC17	6488.00	6875.33	USD	9,683.25	
14SEP17	B	1	PD14DEC17	6503.50	6875.33	USD	9,295.75	
14SEP17	B	2	PD14DEC17	6504.00	6875.33	USD	18,566.50	
14SEP17	B	1	PD14DEC17	6507.00	6875.33	USD	9,208.25	
14SEP17	B	4	PD14DEC17	6509.50	6875.33	USD	36,583.00	
14SEP17	S	1	PD14DEC17	6464.50	6875.33	USD	-10,270.75	
14SEP17	S	1	PD14DEC17	6469.50	6875.33	USD	-10,145.75	
14SEP17	S	1	PD14DEC17	6469.00	6875.33	USD	-10,158.25	
14SEP17	S	1	PD14DEC17	6473.00	6875.33	USD	-10,058.25	
14SEP17	S	1	PD14DEC17	6459.50	6875.33	USD	-10,395.75	
14SEP17	S	1	PD14DEC17	6460.00	6875.33	USD	-10,383.25	
14SEP17	S	4	PD14DEC17	6458.50	6875.33	USD	-41,683.00	
14SEP17	S	1	PD14DEC17	6453.00	6875.33	USD	-10,558.25	
14SEP17	B	1	PD14DEC17	6459.50	6875.33	USD	10,395.75	
14SEP17	B	1	PD14DEC17	6458.00	6875.33	USD	10,433.25	
14SEP17	B	1	PD14DEC17	6466.00	6875.33	USD	10,233.25	
14SEP17	B	1	PD14DEC17	6465.00	6875.33	USD	10,258.25	
14SEP17	B	1	PD14DEC17	6467.00	6875.33	USD	10,208.25	
14SEP17	S	1	PD14DEC17	6472.50	6875.33	USD	-10,070.75	
14SEP17	S	1	PD14DEC17	6471.00	6875.33	USD	-10,108.25	
14SEP17	S	1	PD14DEC17	6540.00	6875.33	USD	-8,383.25	
14SEP17	S	2	PD14DEC17	6470.50	6875.33	USD	-20,241.50	
14SEP17	S	2	PD14DEC17	6471.50	6875.33	USD	-20,191.50	
14SEP17	S	2	PD14DEC17	6472.00	6875.33	USD	-20,166.50	
14SEP17	S	1	PD14DEC17	6474.00	6875.33	USD	-10,033.25	
14SEP17	S	5	PD14DEC17	6473.50	6875.33	USD	-50,228.75	
14SEP17	S	1	PD14DEC17	6474.50	6875.33	USD	-10,020.75	
14SEP17	S	1	PD14DEC17	6475.00	6875.33	USD	-10,008.25	
14SEP17	S	1	PD14DEC17	6476.00	6875.33	USD	-9,983.25	
14SEP17	S	1	PD14DEC17	6477.00	6875.33	USD	-9,958.25	
14SEP17	S	1	PD14DEC17	6479.50	6875.33	USD	-9,895.75	
14SEP17	B	1	PD14DEC17	6479.00	6875.33	USD	9,908.25	
14SEP17	B	1	PD14DEC17	6479.50	6875.33	USD	9,895.75	
14SEP17	S	1	PD14DEC17	6494.00	6875.33	USD	-9,533.25	
14SEP17	S	1	PD14DEC17	6490.50	6875.33	USD	-9,620.75	
14SEP17	S	1	PD14DEC17	6488.50	6875.33	USD	-9,670.75	
14SEP17	S	2	PD14DEC17	6489.50	6875.33	USD	-19,291.50	
14SEP17	S	1	PD14DEC17	6493.00	6875.33	USD	-9,558.25	
14SEP17	S	2	PD14DEC17	6492.50	6875.33	USD	-19,141.50	
14SEP17	S	3	PD14DEC17	6493.50	6875.33	USD	-28,637.25	
14SEP17	S	1	PD14DEC17	6495.50	6875.33	USD	-9,495.75	
14SEP17	S	4	PD14DEC17	6486.50	6875.33	USD	-38,883.00	
14SEP17	S	2	PD14DEC17	6489.00	6875.33	USD	-19,316.50	
14SEP17	S	5	PD14DEC17	6496.50	6875.33	USD	-47,353.75	
14SEP17	S	6	PD14DEC17	6500.00	6875.33	USD	-56,299.50	
14SEP17	S	1	PD14DEC17	6505.00	6875.33	USD	-9,258.25	
14SEP17	S	1	PD14DEC17	6508.50	6875.33	USD	-9,170.75	
14SEP17	S	1	PD14DEC17	6514.00	6875.33	USD	-9,033.25	
14SEP17	S	1	PD14DEC17	6516.00	6875.33	USD	-8,983.25	
14SEP17	S	1	PD14DEC17	6516.50	6875.33	USD	-8,970.75	
14SEP17	S	1	PD14DEC17	6519.50	6875.33	USD	-8,895.75	

OPEN POSITION STATEMENT



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SAP0127A

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
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backoffice@sapiencap.com

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
14SEP17	B	1	PD14DEC17	6529.50	6875.33	USD	8,645.75	
14SEP17	S	2	PD14DEC17	6529.50	6875.33	USD	-17,291.50	
14SEP17	B	6	PD14DEC17	6517.50	6875.33	USD	53,674.50	
14SEP17	S	3	PD14DEC17	6525.50	6875.33	USD	-26,237.25	
14SEP17	S	2	PD14DEC17	6526.50	6875.33	USD	-17,441.50	
14SEP17	S	1	PD14DEC17	6523.50	6875.33	USD	-8,795.75	
14SEP17	S	2	PD14DEC17	6524.00	6875.33	USD	-17,566.50	
14SEP17	S	3	PD14DEC17	6523.00	6875.33	USD	-26,424.75	
14SEP17	S	3	PD14DEC17	6521.50	6875.33	USD	-26,537.25	
14SEP17	S	1	PD14DEC17	6513.00	6875.33	USD	-9,058.25	
14SEP17	S	1	PD14DEC17	6515.00	6875.33	USD	-9,008.25	
14SEP17	S	4	PD14DEC17	6510.50	6875.33	USD	-36,483.00	
14SEP17	S	3	PD14DEC17	6510.00	6875.33	USD	-27,399.75	
14SEP17	S	4	PD14DEC17	6508.00	6875.33	USD	-36,733.00	
14SEP17	S	2	PD14DEC17	6506.00	6875.33	USD	-18,466.50	
14SEP17	S	5	PD14DEC17	6509.00	6875.33	USD	-45,791.25	
14SEP17	S	3	PD14DEC17	6509.50	6875.33	USD	-27,437.25	
14SEP17	S	2	PD14DEC17	6502.00	6875.33	USD	-18,666.50	
14SEP17	S	1	PD14DEC17	6486.00	6875.33	USD	-9,733.25	
14SEP17	B	1	PD14DEC17	6526.00	6875.33	USD	8,733.25	
14SEP17	S	1	PD14DEC17	6510.00	6875.33	USD	-9,133.25	
14SEP17	S	1	PD14DEC17	6499.50	6875.33	USD	-9,395.75	
14SEP17	S	1	PD14DEC17	6501.50	6875.33	USD	-9,345.75	
14SEP17	S	7	PD14DEC17	6504.50	6875.33	USD	-64,895.25	
14SEP17	S	9	PD14DEC17	6505.50	6875.33	USD	-83,211.75	
14SEP17	S	10	PD14DEC17	6504.00	6875.33	USD	-92,832.50	
14SEP17	S	5	PD14DEC17	6503.00	6875.33	USD	-46,541.25	
14SEP17	S	3	PD14DEC17	6501.00	6875.33	USD	-28,074.75	
14SEP17	S	3	PD14DEC17	6497.50	6875.33	USD	-28,337.25	
14SEP17	S	3	PD14DEC17	6502.50	6875.33	USD	-27,962.25	
14SEP17	S	9	PD14DEC17	6503.50	6875.33	USD	-83,661.75	
14SEP17	S	5	PD14DEC17	6498.00	6875.33	USD	-47,166.25	
14SEP17	S	2	PD14DEC17	6494.50	6875.33	USD	-19,041.50	
14SEP17	S	1	PD14DEC17	6495.50	6875.33	USD	-9,495.75	
15SEP17	B	105	PD14DEC17	6506.79	6875.33	USD	967,417.50	
19SEP17	B	15	PD14DEC17	6537.02	6875.33	USD	126,866.25	
20SEP17	B	10	PD14DEC17	6524.89	6875.33	USD	87,610.00	
	NET	0	AVG BGHT 6511.47	SOLD 6503.34			-39,453.75	0.00
15SEP17	S	2	PD15DEC17	6486.50	6875.75	USD	-19,462.50	
15SEP17	S	1	PD15DEC17	6488.50	6875.75	USD	-9,681.25	
15SEP17	S	1	PD15DEC17	6489.50	6875.75	USD	-9,656.25	
15SEP17	S	3	PD15DEC17	6488.00	6875.75	USD	-29,081.25	
15SEP17	S	1	PD15DEC17	6487.50	6875.75	USD	-9,706.25	
15SEP17	S	1	PD15DEC17	6487.00	6875.75	USD	-9,718.75	
15SEP17	S	4	PD15DEC17	6501.50	6875.75	USD	-37,425.00	
15SEP17	S	1	PD15DEC17	6501.00	6875.75	USD	-9,368.75	
15SEP17	B	1	PD15DEC17	6493.00	6875.75	USD	9,568.75	
15SEP17	B	2	PD15DEC17	6494.50	6875.75	USD	19,062.50	
15SEP17	B	4	PD15DEC17	6495.00	6875.75	USD	38,075.00	
15SEP17	B	2	PD15DEC17	6495.50	6875.75	USD	19,012.50	
15SEP17	B	1	PD15DEC17	6496.00	6875.75	USD	9,493.75	
15SEP17	B	1	PD15DEC17	6493.50	6875.75	USD	9,556.25	
15SEP17	B	1	PD15DEC17	6492.50	6875.75	USD	9,581.25	
15SEP17	B	1	PD15DEC17	6491.00	6875.75	USD	9,618.75	
15SEP17	B	2	PD15DEC17	6489.00	6875.75	USD	19,337.50	
15SEP17	B	1	PD15DEC17	6501.50	6875.75	USD	9,356.25	
15SEP17	B	1	PD15DEC17	6510.50	6875.75	USD	9,131.25	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
15SEP17	S	2	PD15DEC17	6524.00	6875.75	USD	-17,587.50	
15SEP17	S	3	PD15DEC17	6525.00	6875.75	USD	-26,306.25	
15SEP17	S	2	PD15DEC17	6516.50	6875.75	USD	-17,962.50	
15SEP17	S	2	PD15DEC17	6514.00	6875.75	USD	-18,087.50	
15SEP17	S	1	PD15DEC17	6515.50	6875.75	USD	-9,006.25	
15SEP17	S	3	PD15DEC17	6510.00	6875.75	USD	-27,431.25	
15SEP17	S	1	PD15DEC17	6509.00	6875.75	USD	-9,168.75	
15SEP17	S	2	PD15DEC17	6507.50	6875.75	USD	-18,412.50	
15SEP17	B	2	PD15DEC17	6504.50	6875.75	USD	18,562.50	
15SEP17	S	1	PD15DEC17	6509.50	6875.75	USD	-9,156.25	
15SEP17	B	1	PD15DEC17	6499.00	6875.75	USD	9,418.75	
15SEP17	B	2	PD15DEC17	6499.50	6875.75	USD	18,812.50	
15SEP17	B	1	PD15DEC17	6498.00	6875.75	USD	9,443.75	
15SEP17	B	3	PD15DEC17	6506.00	6875.75	USD	27,731.25	
15SEP17	B	1	PD15DEC17	6495.50	6875.75	USD	9,506.25	
15SEP17	B	1	PD15DEC17	6495.00	6875.75	USD	9,518.75	
15SEP17	B	1	PD15DEC17	6507.00	6875.75	USD	9,218.75	
15SEP17	S	1	PD15DEC17	6493.50	6875.75	USD	-9,556.25	
15SEP17	S	4	PD15DEC17	6497.00	6875.75	USD	-37,875.00	
15SEP17	S	1	PD15DEC17	6499.00	6875.75	USD	-9,418.75	
15SEP17	S	4	PD15DEC17	6497.50	6875.75	USD	-37,825.00	
15SEP17	S	2	PD15DEC17	6494.50	6875.75	USD	-19,062.50	
15SEP17	S	3	PD15DEC17	6494.00	6875.75	USD	-28,631.25	
15SEP17	S	5	PD15DEC17	6492.50	6875.75	USD	-47,906.25	
15SEP17	S	8	PD15DEC17	6493.00	6875.75	USD	-76,550.00	
15SEP17	S	3	PD15DEC17	6492.00	6875.75	USD	-28,781.25	
15SEP17	S	6	PD15DEC17	6491.50	6875.75	USD	-57,637.50	
15SEP17	S	2	PD15DEC17	6484.00	6875.75	USD	-19,587.50	
15SEP17	S	2	PD15DEC17	6475.50	6875.75	USD	-20,012.50	
15SEP17	S	4	PD15DEC17	6475.00	6875.75	USD	-40,075.00	
15SEP17	S	4	PD15DEC17	6476.50	6875.75	USD	-39,925.00	
15SEP17	S	1	PD15DEC17	6493.00	6875.75	USD	-9,568.75	
15SEP17	S	2	PD15DEC17	6466.00	6875.75	USD	-20,487.50	
15SEP17	S	1	PD15DEC17	6467.00	6875.75	USD	-10,218.75	
15SEP17	S	2	PD15DEC17	6467.50	6875.75	USD	-20,412.50	
15SEP17	S	1	PD15DEC17	6462.00	6875.75	USD	-10,343.75	
15SEP17	S	1	PD15DEC17	6490.00	6875.75	USD	-9,643.75	
15SEP17	S	2	PD15DEC17	6490.50	6875.75	USD	-19,262.50	
15SEP17	B	3	PD15DEC17	6500.00	6875.75	USD	28,181.25	
15SEP17	B	1	PD15DEC17	6500.50	6875.75	USD	9,381.25	
15SEP17	B	3	PD15DEC17	6502.00	6875.75	USD	28,031.25	
15SEP17	B	1	PD15DEC17	6497.50	6875.75	USD	9,456.25	
15SEP17	B	2	PD15DEC17	6501.00	6875.75	USD	18,737.50	
15SEP17	S	1	PD15DEC17	6503.50	6875.75	USD	-9,306.25	
15SEP17	S	2	PD15DEC17	6510.50	6875.75	USD	-18,262.50	
15SEP17	S	1	PD15DEC17	6512.50	6875.75	USD	-9,081.25	
15SEP17	S	5	PD15DEC17	6508.00	6875.75	USD	-45,968.75	
15SEP17	S	3	PD15DEC17	6508.50	6875.75	USD	-27,543.75	
15SEP17	B	1	PD15DEC17	6509.00	6875.75	USD	9,168.75	
15SEP17	B	5	PD15DEC17	6508.50	6875.75	USD	45,906.25	
15SEP17	B	4	PD15DEC17	6508.00	6875.75	USD	36,775.00	
15SEP17	B	1	PD15DEC17	6503.00	6875.75	USD	9,318.75	
15SEP17	B	3	PD15DEC17	6504.00	6875.75	USD	27,881.25	
15SEP17	B	5	PD15DEC17	6505.50	6875.75	USD	46,281.25	
15SEP17	B	9	PD15DEC17	6505.00	6875.75	USD	83,418.75	
15SEP17	B	6	PD15DEC17	6506.50	6875.75	USD	55,387.50	
15SEP17	S	1	PD15DEC17	6512.00	6875.75	USD	-9,093.75	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
20SEP17	B	30	PD15DEC17	6525.20	6875.75	USD	262,912.50	
	NET	0 AVG BGHT 6508.82	SOLD 6495.46				-34,412.50	0.00
18SEP17	S	2	PD18DEC17	6559.50	6877.00	USD	-15,875.00	
18SEP17	S	3	PD18DEC17	6551.50	6877.00	USD	-24,412.50	
18SEP17	S	1	PD18DEC17	6544.00	6877.00	USD	-8,325.00	
18SEP17	S	3	PD18DEC17	6554.50	6877.00	USD	-24,187.50	
18SEP17	S	3	PD18DEC17	6551.00	6877.00	USD	-24,450.00	
18SEP17	S	1	PD18DEC17	6552.50	6877.00	USD	-8,112.50	
18SEP17	S	2	PD18DEC17	6555.00	6877.00	USD	-16,100.00	
18SEP17	S	2	PD18DEC17	6545.00	6877.00	USD	-16,600.00	
18SEP17	B	1	PD18DEC17	6543.50	6877.00	USD	8,337.50	
18SEP17	B	1	PD18DEC17	6545.00	6877.00	USD	8,300.00	
18SEP17	B	1	PD18DEC17	6543.00	6877.00	USD	8,350.00	
18SEP17	B	3	PD18DEC17	6535.50	6877.00	USD	25,612.50	
18SEP17	B	1	PD18DEC17	6537.50	6877.00	USD	8,487.50	
18SEP17	B	1	PD18DEC17	6539.50	6877.00	USD	8,437.50	
18SEP17	B	2	PD18DEC17	6541.00	6877.00	USD	16,800.00	
18SEP17	B	1	PD18DEC17	6540.00	6877.00	USD	8,425.00	
18SEP17	B	3	PD18DEC17	6539.00	6877.00	USD	25,350.00	
18SEP17	B	2	PD18DEC17	6540.50	6877.00	USD	16,825.00	
18SEP17	B	1	PD18DEC17	6542.50	6877.00	USD	8,362.50	
18SEP17	B	1	PD18DEC17	6541.50	6877.00	USD	8,387.50	
18SEP17	B	5	PD18DEC17	6536.00	6877.00	USD	42,625.00	
18SEP17	B	3	PD18DEC17	6537.00	6877.00	USD	25,500.00	
18SEP17	B	2	PD18DEC17	6538.00	6877.00	USD	16,950.00	
18SEP17	B	1	PD18DEC17	6536.50	6877.00	USD	8,512.50	
18SEP17	B	5	PD18DEC17	6530.00	6877.00	USD	43,375.00	
18SEP17	B	4	PD18DEC17	6538.50	6877.00	USD	33,850.00	
18SEP17	S	1	PD18DEC17	6545.00	6877.00	USD	-8,300.00	
18SEP17	S	2	PD18DEC17	6547.00	6877.00	USD	-16,500.00	
18SEP17	S	5	PD18DEC17	6548.00	6877.00	USD	-41,125.00	
18SEP17	S	3	PD18DEC17	6548.50	6877.00	USD	-24,637.50	
18SEP17	S	3	PD18DEC17	6554.00	6877.00	USD	-24,225.00	
18SEP17	S	3	PD18DEC17	6550.50	6877.00	USD	-24,487.50	
18SEP17	S	4	PD18DEC17	6547.50	6877.00	USD	-32,950.00	
18SEP17	B	1	PD18DEC17	6555.00	6877.00	USD	8,050.00	
18SEP17	S	3	PD18DEC17	6544.50	6877.00	USD	-24,937.50	
18SEP17	S	5	PD18DEC17	6541.50	6877.00	USD	-41,937.50	
18SEP17	S	2	PD18DEC17	6540.50	6877.00	USD	-16,825.00	
18SEP17	S	1	PD18DEC17	6539.00	6877.00	USD	-8,450.00	
18SEP17	S	2	PD18DEC17	6543.50	6877.00	USD	-16,675.00	
18SEP17	S	3	PD18DEC17	6538.00	6877.00	USD	-25,425.00	
18SEP17	S	3	PD18DEC17	6538.50	6877.00	USD	-25,387.50	
18SEP17	S	3	PD18DEC17	6540.00	6877.00	USD	-25,275.00	
18SEP17	S	2	PD18DEC17	6541.00	6877.00	USD	-16,800.00	
18SEP17	S	1	PD18DEC17	6530.50	6877.00	USD	-8,662.50	
18SEP17	S	2	PD18DEC17	6531.50	6877.00	USD	-17,275.00	
18SEP17	S	1	PD18DEC17	6530.00	6877.00	USD	-8,675.00	
18SEP17	S	1	PD18DEC17	6528.00	6877.00	USD	-8,725.00	
18SEP17	S	1	PD18DEC17	6526.50	6877.00	USD	-8,762.50	
18SEP17	S	1	PD18DEC17	6525.50	6877.00	USD	-8,787.50	
18SEP17	S	2	PD18DEC17	6522.00	6877.00	USD	-17,750.00	
18SEP17	S	2	PD18DEC17	6524.50	6877.00	USD	-17,625.00	
18SEP17	B	3	PD18DEC17	6525.00	6877.00	USD	26,400.00	
18SEP17	B	1	PD18DEC17	6526.00	6877.00	USD	8,775.00	
18SEP17	B	1	PD18DEC17	6528.50	6877.00	USD	8,712.50	
18SEP17	B	4	PD18DEC17	6529.50	6877.00	USD	34,750.00	

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18SEP17	B	5	PD18DEC17	6528.00	6877.00	USD	43,625.00	
18SEP17	B	1	PD18DEC17	6527.50	6877.00	USD	8,737.50	
18SEP17	B	3	PD18DEC17	6527.00	6877.00	USD	26,250.00	
18SEP17	B	1	PD18DEC17	6531.50	6877.00	USD	8,637.50	
18SEP17	B	2	PD18DEC17	6535.00	6877.00	USD	17,100.00	
18SEP17	B	2	PD18DEC17	6532.50	6877.00	USD	17,225.00	
18SEP17	B	3	PD18DEC17	6534.50	6877.00	USD	25,687.50	
18SEP17	B	2	PD18DEC17	6534.00	6877.00	USD	17,150.00	
18SEP17	B	4	PD18DEC17	6533.50	6877.00	USD	34,350.00	
18SEP17	B	2	PD18DEC17	6533.00	6877.00	USD	17,200.00	
18SEP17	B	6	PD18DEC17	6529.00	6877.00	USD	52,200.00	
18SEP17	B	3	PD18DEC17	6530.50	6877.00	USD	25,987.50	
18SEP17	B	3	PD18DEC17	6531.00	6877.00	USD	25,950.00	
18SEP17	B	2	PD18DEC17	6532.00	6877.00	USD	17,250.00	
18SEP17	B	1	PD18DEC17	6524.00	6877.00	USD	8,825.00	
19SEP17	S	15	PD18DEC17	6538.40	6877.00	USD	-126,975.00	
	NET	0 AVG BGHT 6533.66	SOLD 6542.80				20,112.50	0.00
19SEP17	S	5	PD19DEC17	6536.00	6877.00	USD	-42,625.00	
19SEP17	S	6	PD19DEC17	6537.00	6877.00	USD	-51,000.00	
19SEP17	S	2	PD19DEC17	6532.00	6877.00	USD	-17,250.00	
19SEP17	S	2	PD19DEC17	6532.50	6877.00	USD	-17,225.00	
19SEP17	S	1	PD19DEC17	6530.00	6877.00	USD	-8,675.00	
19SEP17	S	3	PD19DEC17	6530.50	6877.00	USD	-25,987.50	
19SEP17	S	3	PD19DEC17	6531.50	6877.00	USD	-25,912.50	
19SEP17	S	3	PD19DEC17	6535.00	6877.00	USD	-25,650.00	
19SEP17	S	4	PD19DEC17	6533.00	6877.00	USD	-34,400.00	
19SEP17	S	9	PD19DEC17	6536.50	6877.00	USD	-76,612.50	
19SEP17	S	4	PD19DEC17	6537.50	6877.00	USD	-33,950.00	
19SEP17	S	2	PD19DEC17	6538.00	6877.00	USD	-16,950.00	
19SEP17	S	6	PD19DEC17	6538.50	6877.00	USD	-50,775.00	
19SEP17	S	4	PD19DEC17	6539.00	6877.00	USD	-33,800.00	
19SEP17	S	2	PD19DEC17	6547.50	6877.00	USD	-16,475.00	
19SEP17	S	1	PD19DEC17	6547.00	6877.00	USD	-8,250.00	
19SEP17	S	2	PD19DEC17	6546.00	6877.00	USD	-16,550.00	
19SEP17	S	1	PD19DEC17	6544.50	6877.00	USD	-8,312.50	
19SEP17	S	1	PD19DEC17	6545.00	6877.00	USD	-8,300.00	
19SEP17	S	1	PD19DEC17	6542.50	6877.00	USD	-8,362.50	
19SEP17	S	2	PD19DEC17	6544.00	6877.00	USD	-16,650.00	
19SEP17	S	1	PD19DEC17	6549.00	6877.00	USD	-8,200.00	
19SEP17	S	1	PD19DEC17	6545.50	6877.00	USD	-8,287.50	
19SEP17	S	2	PD19DEC17	6543.50	6877.00	USD	-16,675.00	
19SEP17	S	2	PD19DEC17	6546.50	6877.00	USD	-16,525.00	
19SEP17	S	1	PD19DEC17	6541.00	6877.00	USD	-8,400.00	
19SEP17	B	1	PD19DEC17	6544.50	6877.00	USD	8,312.50	
19SEP17	B	3	PD19DEC17	6544.00	6877.00	USD	24,975.00	
19SEP17	B	4	PD19DEC17	6543.50	6877.00	USD	33,350.00	
19SEP17	B	2	PD19DEC17	6539.50	6877.00	USD	16,875.00	
19SEP17	B	2	PD19DEC17	6537.50	6877.00	USD	16,975.00	
19SEP17	B	1	PD19DEC17	6536.50	6877.00	USD	8,512.50	
19SEP17	B	2	PD19DEC17	6542.50	6877.00	USD	16,725.00	
19SEP17	B	2	PD19DEC17	6547.00	6877.00	USD	16,500.00	
19SEP17	B	2	PD19DEC17	6549.50	6877.00	USD	16,375.00	
19SEP17	B	1	PD19DEC17	6549.00	6877.00	USD	8,200.00	
19SEP17	B	1	PD19DEC17	6551.00	6877.00	USD	8,150.00	
19SEP17	B	2	PD19DEC17	6553.00	6877.00	USD	16,200.00	
19SEP17	B	3	PD19DEC17	6552.50	6877.00	USD	24,337.50	
19SEP17	S	1	PD19DEC17	6561.50	6877.00	USD	-7,887.50	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0127A

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Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
19SEP17	B	5	PD19DEC17	6550.00	6877.00	USD	40,875.00	
19SEP17	B	1	PD19DEC17	6550.50	6877.00	USD	8,162.50	
19SEP17	B	2	PD19DEC17	6547.50	6877.00	USD	16,475.00	
19SEP17	S	1	PD19DEC17	6542.00	6877.00	USD	-8,375.00	
19SEP17	B	4	PD19DEC17	6545.00	6877.00	USD	33,200.00	
19SEP17	B	4	PD19DEC17	6540.50	6877.00	USD	33,650.00	
19SEP17	B	3	PD19DEC17	6541.50	6877.00	USD	25,162.50	
19SEP17	B	7	PD19DEC17	6542.00	6877.00	USD	58,625.00	
19SEP17	B	8	PD19DEC17	6541.00	6877.00	USD	67,200.00	
19SEP17	B	5	PD19DEC17	6538.50	6877.00	USD	42,312.50	
19SEP17	S	1	PD19DEC17	6537.50	6877.00	USD	-8,487.50	
19SEP17	B	1	PD19DEC17	6543.00	6877.00	USD	8,350.00	
19SEP17	S	1	PD19DEC17	6540.00	6877.00	USD	-8,425.00	
19SEP17	B	1	PD19DEC17	6548.00	6877.00	USD	8,225.00	
19SEP17	B	1	PD19DEC17	6549.50	6877.00	USD	8,187.50	
19SEP17	B	1	PD19DEC17	6545.50	6877.00	USD	8,287.50	
19SEP17	B	4	PD19DEC17	6543.00	6877.00	USD	33,400.00	
19SEP17	B	4	PD19DEC17	6539.00	6877.00	USD	33,800.00	
19SEP17	B	12	PD19DEC17	6540.00	6877.00	USD	101,100.00	
19SEP17	B	2	PD19DEC17	6538.00	6877.00	USD	16,950.00	
19SEP17	B	1	PD19DEC17	6533.00	6877.00	USD	8,600.00	
19SEP17	S	1	PD19DEC17	6534.00	6877.00	USD	-8,575.00	
19SEP17	B	1	PD19DEC17	6516.50	6877.00	USD	9,012.50	
19SEP17	B	1	PD19DEC17	6537.00	6877.00	USD	8,500.00	
19SEP17	B	1	PD19DEC17	6532.50	6877.00	USD	8,612.50	
19SEP17	B	1	PD19DEC17	6535.50	6877.00	USD	8,537.50	
19SEP17	B	4	PD19DEC17	6534.50	6877.00	USD	34,250.00	
19SEP17	B	2	PD19DEC17	6533.50	6877.00	USD	17,175.00	
19SEP17	B	7	PD19DEC17	6535.00	6877.00	USD	59,850.00	
19SEP17	B	9	PD19DEC17	6534.00	6877.00	USD	77,175.00	
19SEP17	B	2	PD19DEC17	6528.50	6877.00	USD	17,425.00	
19SEP17	B	2	PD19DEC17	6528.00	6877.00	USD	17,450.00	
19SEP17	B	6	PD19DEC17	6526.00	6877.00	USD	52,650.00	
19SEP17	S	1	PD19DEC17	6521.50	6877.00	USD	-8,887.50	
19SEP17	B	1	PD19DEC17	6520.00	6877.00	USD	8,925.00	
19SEP17	B	2	PD19DEC17	6521.00	6877.00	USD	17,800.00	
19SEP17	B	6	PD19DEC17	6526.50	6877.00	USD	52,575.00	
19SEP17	S	1	PD19DEC17	6527.00	6877.00	USD	-8,750.00	
19SEP17	S	1	PD19DEC17	6528.50	6877.00	USD	-8,712.50	
19SEP17	S	1	PD19DEC17	6524.00	6877.00	USD	-8,825.00	
19SEP17	B	3	PD19DEC17	6522.00	6877.00	USD	26,625.00	
19SEP17	S	1	PD19DEC17	6523.00	6877.00	USD	-8,850.00	
19SEP17	B	1	PD19DEC17	6522.50	6877.00	USD	8,862.50	
19SEP17	B	2	PD19DEC17	6524.50	6877.00	USD	17,625.00	
19SEP17	B	4	PD19DEC17	6523.00	6877.00	USD	35,400.00	
19SEP17	B	1	PD19DEC17	6530.00	6877.00	USD	8,675.00	
19SEP17	B	3	PD19DEC17	6529.00	6877.00	USD	26,100.00	
19SEP17	B	3	PD19DEC17	6527.00	6877.00	USD	26,250.00	
19SEP17	B	10	PD19DEC17	6525.00	6877.00	USD	88,000.00	
19SEP17	B	6	PD19DEC17	6524.00	6877.00	USD	52,950.00	
19SEP17	B	7	PD19DEC17	6523.50	6877.00	USD	61,862.50	
19SEP17	B	1	PD19DEC17	6519.50	6877.00	USD	8,937.50	
19SEP17	B	3	PD19DEC17	6521.50	6877.00	USD	26,662.50	
19SEP17	B	2	PD19DEC17	6520.50	6877.00	USD	17,825.00	
19SEP17	B	4	PD19DEC17	6517.00	6877.00	USD	36,000.00	
19SEP17	B	6	PD19DEC17	6517.50	6877.00	USD	53,925.00	
19SEP17	B	4	PD19DEC17	6514.50	6877.00	USD	36,250.00	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
19SEP17	B	2	PD19DEC17	6518.00	6877.00	USD	17,950.00	
19SEP17	B	7	PD19DEC17	6515.00	6877.00	USD	63,350.00	
19SEP17	B	5	PD19DEC17	6516.00	6877.00	USD	45,125.00	
19SEP17	B	3	PD19DEC17	6515.50	6877.00	USD	27,112.50	
19SEP17	B	3	PD19DEC17	6510.00	6877.00	USD	27,525.00	
19SEP17	B	1	PD19DEC17	6514.00	6877.00	USD	9,075.00	
19SEP17	S	1	PD19DEC17	6543.50	6877.00	USD	-8,337.50	
19SEP17	B	1	PD19DEC17	6510.00	6877.00	USD	9,175.00	
19SEP17	B	4	PD19DEC17	6529.50	6877.00	USD	34,750.00	
19SEP17	S	1	PD19DEC17	6529.00	6877.00	USD	-8,700.00	
25SEP17	S	60	PD19DEC17	6448.75	6877.00	USD	-642,375.00	
03OCT17	S	10	PD19DEC17	6517.35	6877.00	USD	-89,912.50	
06OCT17	S	70	PD19DEC17	6662.00	6877.00	USD	-376,250.00	
	NET	0	AVG BGHT 6531.89	SOLD 6551.77			110,850.00	0.00
20SEP17	B	1	PD20DEC17	6561.50	6877.00	USD	7,887.50	
20SEP17	S	1	PD20DEC17	6565.00	6877.00	USD	-7,800.00	
20SEP17	S	1	PD20DEC17	6567.00	6877.00	USD	-7,750.00	
20SEP17	S	1	PD20DEC17	6566.50	6877.00	USD	-7,762.50	
20SEP17	S	1	PD20DEC17	6567.50	6877.00	USD	-7,737.50	
20SEP17	B	1	PD20DEC17	6543.00	6877.00	USD	8,350.00	
20SEP17	B	4	PD20DEC17	6536.00	6877.00	USD	34,100.00	
20SEP17	S	1	PD20DEC17	6553.50	6877.00	USD	-8,087.50	
20SEP17	S	1	PD20DEC17	6556.00	6877.00	USD	-8,025.00	
20SEP17	S	2	PD20DEC17	6559.50	6877.00	USD	-15,875.00	
20SEP17	S	2	PD20DEC17	6559.00	6877.00	USD	-15,900.00	
20SEP17	S	8	PD20DEC17	6557.00	6877.00	USD	-64,000.00	
20SEP17	S	1	PD20DEC17	6560.50	6877.00	USD	-7,912.50	
20SEP17	S	2	PD20DEC17	6564.50	6877.00	USD	-15,625.00	
20SEP17	S	2	PD20DEC17	6560.00	6877.00	USD	-15,850.00	
20SEP17	S	3	PD20DEC17	6561.00	6877.00	USD	-23,700.00	
20SEP17	S	1	PD20DEC17	6563.50	6877.00	USD	-7,837.50	
20SEP17	S	5	PD20DEC17	6561.50	6877.00	USD	-39,437.50	
20SEP17	S	3	PD20DEC17	6558.50	6877.00	USD	-23,887.50	
20SEP17	S	5	PD20DEC17	6557.50	6877.00	USD	-39,937.50	
20SEP17	S	7	PD20DEC17	6558.00	6877.00	USD	-55,825.00	
20SEP17	S	1	PD20DEC17	6564.00	6877.00	USD	-7,825.00	
20SEP17	S	4	PD20DEC17	6562.50	6877.00	USD	-31,450.00	
20SEP17	S	10	PD20DEC17	6562.00	6877.00	USD	-78,750.00	
20SEP17	S	7	PD20DEC17	6563.00	6877.00	USD	-54,950.00	
20SEP17	B	1	PD20DEC17	6555.00	6877.00	USD	8,050.00	
20SEP17	B	1	PD20DEC17	6557.50	6877.00	USD	7,987.50	
20SEP17	B	1	PD20DEC17	6556.50	6877.00	USD	8,012.50	
20SEP17	B	3	PD20DEC17	6557.00	6877.00	USD	24,000.00	
20SEP17	B	1	PD20DEC17	6558.00	6877.00	USD	7,975.00	
20SEP17	B	1	PD20DEC17	6553.50	6877.00	USD	8,087.50	
20SEP17	B	2	PD20DEC17	6549.50	6877.00	USD	16,375.00	
20SEP17	B	2	PD20DEC17	6551.50	6877.00	USD	16,275.00	
20SEP17	B	1	PD20DEC17	6553.00	6877.00	USD	8,100.00	
20SEP17	B	1	PD20DEC17	6552.00	6877.00	USD	8,125.00	
20SEP17	B	1	PD20DEC17	6551.00	6877.00	USD	8,150.00	
20SEP17	B	3	PD20DEC17	6552.50	6877.00	USD	24,337.50	
20SEP17	B	1	PD20DEC17	6548.50	6877.00	USD	8,212.50	
20SEP17	S	2	PD20DEC17	6547.00	6877.00	USD	-16,500.00	
20SEP17	S	3	PD20DEC17	6546.00	6877.00	USD	-24,825.00	
20SEP17	S	1	PD20DEC17	6542.50	6877.00	USD	-8,362.50	
20SEP17	S	1	PD20DEC17	6544.50	6877.00	USD	-8,312.50	
20SEP17	S	3	PD20DEC17	6549.00	6877.00	USD	-24,600.00	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
20SEP17	S	1	PD20DEC17	6546.50	6877.00	USD	-8,262.50	
20SEP17	S	1	PD20DEC17	6544.00	6877.00	USD	-8,325.00	
20SEP17	B	1	PD20DEC17	6543.50	6877.00	USD	8,337.50	
20SEP17	B	2	PD20DEC17	6544.00	6877.00	USD	16,650.00	
20SEP17	B	2	PD20DEC17	6544.50	6877.00	USD	16,625.00	
20SEP17	B	1	PD20DEC17	6542.00	6877.00	USD	8,375.00	
20SEP17	S	1	PD20DEC17	6533.50	6877.00	USD	-8,587.50	
20SEP17	S	1	PD20DEC17	6528.00	6877.00	USD	-8,725.00	
20SEP17	S	1	PD20DEC17	6526.00	6877.00	USD	-8,775.00	
20SEP17	S	1	PD20DEC17	6525.00	6877.00	USD	-8,800.00	
20SEP17	S	5	PD20DEC17	6523.50	6877.00	USD	-44,187.50	
20SEP17	S	1	PD20DEC17	6519.50	6877.00	USD	-8,937.50	
20SEP17	S	1	PD20DEC17	6522.50	6877.00	USD	-8,862.50	
20SEP17	S	1	PD20DEC17	6516.00	6877.00	USD	-9,025.00	
20SEP17	S	1	PD20DEC17	6514.00	6877.00	USD	-9,075.00	
20SEP17	S	1	PD20DEC17	6516.50	6877.00	USD	-9,012.50	
20SEP17	S	2	PD20DEC17	6518.50	6877.00	USD	-17,925.00	
20SEP17	S	1	PD20DEC17	6513.00	6877.00	USD	-9,100.00	
20SEP17	B	3	PD20DEC17	6522.00	6877.00	USD	26,625.00	
20SEP17	B	1	PD20DEC17	6524.00	6877.00	USD	8,825.00	
20SEP17	B	2	PD20DEC17	6524.50	6877.00	USD	17,625.00	
20SEP17	B	1	PD20DEC17	6524.50	6877.00	USD	8,812.50	
20SEP17	B	1	PD20DEC17	6528.00	6877.00	USD	8,725.00	
20SEP17	B	2	PD20DEC17	6530.50	6877.00	USD	17,325.00	
20SEP17	B	1	PD20DEC17	6532.50	6877.00	USD	8,612.50	
20SEP17	B	1	PD20DEC17	6532.00	6877.00	USD	8,625.00	
20SEP17	S	1	PD20DEC17	6529.50	6877.00	USD	-8,687.50	
20SEP17	S	4	PD20DEC17	6527.00	6877.00	USD	-35,000.00	
20SEP17	B	3	PD20DEC17	6521.50	6877.00	USD	26,662.50	
20SEP17	B	3	PD20DEC17	6522.50	6877.00	USD	26,587.50	
20SEP17	B	1	PD20DEC17	6527.00	6877.00	USD	8,750.00	
20SEP17	B	3	PD20DEC17	6529.50	6877.00	USD	26,062.50	
20SEP17	S	1	PD20DEC17	6525.50	6877.00	USD	-8,787.50	
20SEP17	B	5	PD20DEC17	6523.50	6877.00	USD	44,187.50	
20SEP17	B	4	PD20DEC17	6526.50	6877.00	USD	35,050.00	
20SEP17	B	9	PD20DEC17	6526.00	6877.00	USD	78,975.00	
20SEP17	B	1	PD20DEC17	6525.50	6877.00	USD	8,787.50	
20SEP17	B	7	PD20DEC17	6525.00	6877.00	USD	61,600.00	
20SEP17	B	3	PD20DEC17	6531.50	6877.00	USD	25,912.50	
20SEP17	B	2	PD20DEC17	6529.00	6877.00	USD	17,400.00	
22SEP17	B	20	PD20DEC17	6458.95	6877.00	USD	209,025.00	
	NET	0AVG BGHT 6520.00	SOLD 6550.62				79,587.50	0.00
21SEP17	S	1	PD21DEC17	6464.00	6876.00	USD	-10,300.00	
21SEP17	S	1	PD21DEC17	6453.50	6876.00	USD	-10,562.50	
21SEP17	S	2	PD21DEC17	6454.00	6876.00	USD	-21,100.00	
21SEP17	S	1	PD21DEC17	6455.00	6876.00	USD	-10,525.00	
21SEP17	S	3	PD21DEC17	6455.50	6876.00	USD	-31,537.50	
21SEP17	S	7	PD21DEC17	6456.00	6876.00	USD	-73,500.00	
21SEP17	S	1	PD21DEC17	6454.50	6876.00	USD	-10,537.50	
21SEP17	S	3	PD21DEC17	6453.00	6876.00	USD	-31,725.00	
21SEP17	S	6	PD21DEC17	6457.50	6876.00	USD	-62,775.00	
21SEP17	S	1	PD21DEC17	6459.00	6876.00	USD	-10,425.00	
21SEP17	S	3	PD21DEC17	6452.00	6876.00	USD	-31,800.00	
21SEP17	S	2	PD21DEC17	6452.50	6876.00	USD	-21,175.00	
21SEP17	S	1	PD21DEC17	6448.00	6876.00	USD	-10,700.00	
21SEP17	S	4	PD21DEC17	6448.50	6876.00	USD	-42,750.00	
21SEP17	S	1	PD21DEC17	6443.50	6876.00	USD	-10,812.50	

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
21SEP17	S	1	PD21DEC17	6436.50	6876.00	USD	-10,987.50	
21SEP17	S	4	PD21DEC17	6438.00	6876.00	USD	-43,800.00	
21SEP17	S	3	PD21DEC17	6442.50	6876.00	USD	-32,512.50	
21SEP17	S	1	PD21DEC17	6445.00	6876.00	USD	-10,775.00	
21SEP17	S	1	PD21DEC17	6444.50	6876.00	USD	-10,787.50	
21SEP17	S	1	PD21DEC17	6444.00	6876.00	USD	-10,800.00	
21SEP17	B	1	PD21DEC17	6443.50	6876.00	USD	10,812.50	
21SEP17	S	2	PD21DEC17	6445.50	6876.00	USD	-21,525.00	
21SEP17	S	2	PD21DEC17	6441.00	6876.00	USD	-21,750.00	
21SEP17	B	1	PD21DEC17	6446.00	6876.00	USD	10,750.00	
21SEP17	B	1	PD21DEC17	6445.50	6876.00	USD	10,762.50	
21SEP17	S	3	PD21DEC17	6441.50	6876.00	USD	-32,587.50	
21SEP17	S	1	PD21DEC17	6439.50	6876.00	USD	-10,912.50	
21SEP17	S	4	PD21DEC17	6442.00	6876.00	USD	-43,400.00	
21SEP17	B	1	PD21DEC17	6439.00	6876.00	USD	10,925.00	
21SEP17	B	2	PD21DEC17	6445.00	6876.00	USD	21,550.00	
21SEP17	S	1	PD21DEC17	6459.50	6876.00	USD	-10,412.50	
21SEP17	S	1	PD21DEC17	6461.50	6876.00	USD	-10,362.50	
21SEP17	S	1	PD21DEC17	6463.00	6876.00	USD	-10,325.00	
21SEP17	S	1	PD21DEC17	6462.00	6876.00	USD	-10,350.00	
21SEP17	S	1	PD21DEC17	6465.00	6876.00	USD	-10,275.00	
21SEP17	S	1	PD21DEC17	6458.50	6876.00	USD	-10,437.50	
21SEP17	S	5	PD21DEC17	6460.00	6876.00	USD	-52,000.00	
21SEP17	S	11	PD21DEC17	6456.50	6876.00	USD	-115,362.50	
21SEP17	S	4	PD21DEC17	6458.00	6876.00	USD	-41,800.00	
21SEP17	B	1	PD21DEC17	6459.50	6876.00	USD	10,412.50	
21SEP17	B	1	PD21DEC17	6461.00	6876.00	USD	10,375.00	
21SEP17	S	2	PD21DEC17	6460.50	6876.00	USD	-20,775.00	
21SEP17	S	1	PD21DEC17	6457.00	6876.00	USD	-10,475.00	
21SEP17	S	1	PD21DEC17	6455.00	6876.00	USD	-10,525.00	
21SEP17	S	1	PD21DEC17	6460.50	6876.00	USD	-10,387.50	
21SEP17	B	1	PD21DEC17	6462.50	6876.00	USD	10,337.50	
21SEP17	B	1	PD21DEC17	6433.00	6876.00	USD	11,075.00	
21SEP17	B	4	PD21DEC17	6435.00	6876.00	USD	44,100.00	
21SEP17	B	1	PD21DEC17	6436.50	6876.00	USD	10,987.50	
21SEP17	B	2	PD21DEC17	6437.00	6876.00	USD	21,950.00	
21SEP17	B	2	PD21DEC17	6438.00	6876.00	USD	21,900.00	
21SEP17	B	2	PD21DEC17	6442.50	6876.00	USD	21,675.00	
21SEP17	B	2	PD21DEC17	6442.00	6876.00	USD	21,700.00	
21SEP17	B	1	PD21DEC17	6443.00	6876.00	USD	10,825.00	
21SEP17	B	1	PD21DEC17	6448.50	6876.00	USD	10,687.50	
21SEP17	B	1	PD21DEC17	6447.00	6876.00	USD	10,725.00	
21SEP17	B	2	PD21DEC17	6446.50	6876.00	USD	21,475.00	
21SEP17	B	2	PD21DEC17	6444.00	6876.00	USD	21,600.00	
21SEP17	B	1	PD21DEC17	6473.00	6876.00	USD	10,075.00	
21SEP17	B	1	PD21DEC17	6470.50	6876.00	USD	10,137.50	
21SEP17	B	1	PD21DEC17	6467.50	6876.00	USD	10,212.50	
21SEP17	B	1	PD21DEC17	6456.50	6876.00	USD	10,487.50	
21SEP17	B	1	PD21DEC17	6454.50	6876.00	USD	10,537.50	
21SEP17	S	1	PD21DEC17	6468.50	6876.00	USD	-10,187.50	
21SEP17	S	3	PD21DEC17	6468.00	6876.00	USD	-30,600.00	
21SEP17	S	1	PD21DEC17	6469.00	6876.00	USD	-10,175.00	
21SEP17	S	6	PD21DEC17	6469.50	6876.00	USD	-60,975.00	
21SEP17	B	1	PD21DEC17	6483.50	6876.00	USD	9,812.50	
21SEP17	B	1	PD21DEC17	6489.00	6876.00	USD	9,675.00	
21SEP17	B	1	PD21DEC17	6486.50	6876.00	USD	9,737.50	
21SEP17	B	2	PD21DEC17	6486.00	6876.00	USD	19,500.00	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0127A

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
21SEP17	S	1	PD21DEC17	6484.50	6876.00	USD	-9,787.50	
21SEP17	B	1	PD21DEC17	6487.50	6876.00	USD	9,712.50	
21SEP17	S	1	PD21DEC17	6481.00	6876.00	USD	-9,875.00	
21SEP17	B	1	PD21DEC17	6478.50	6876.00	USD	9,937.50	
21SEP17	S	1	PD21DEC17	6484.00	6876.00	USD	-9,800.00	
21SEP17	S	2	PD21DEC17	6483.50	6876.00	USD	-19,625.00	
21SEP17	S	1	PD21DEC17	6486.50	6876.00	USD	-9,737.50	
21SEP17	B	1	PD21DEC17	6483.00	6876.00	USD	9,825.00	
21SEP17	B	2	PD21DEC17	6482.00	6876.00	USD	19,700.00	
21SEP17	S	1	PD21DEC17	6480.00	6876.00	USD	-9,900.00	
21SEP17	S	2	PD21DEC17	6477.00	6876.00	USD	-19,950.00	
21SEP17	B	2	PD21DEC17	6464.00	6876.00	USD	20,600.00	
21SEP17	B	2	PD21DEC17	6465.00	6876.00	USD	20,550.00	
21SEP17	B	1	PD21DEC17	6463.00	6876.00	USD	10,325.00	
21SEP17	B	1	PD21DEC17	6471.00	6876.00	USD	10,125.00	
21SEP17	B	3	PD21DEC17	6472.50	6876.00	USD	30,262.50	
21SEP17	B	1	PD21DEC17	6465.50	6876.00	USD	10,262.50	
21SEP17	B	3	PD21DEC17	6473.50	6876.00	USD	30,187.50	
21SEP17	B	2	PD21DEC17	6478.00	6876.00	USD	19,900.00	
21SEP17	B	1	PD21DEC17	6474.00	6876.00	USD	10,050.00	
21SEP17	B	1	PD21DEC17	6479.50	6876.00	USD	9,912.50	
21SEP17	B	3	PD21DEC17	6477.00	6876.00	USD	29,925.00	
21SEP17	B	2	PD21DEC17	6480.50	6876.00	USD	19,775.00	
21SEP17	B	4	PD21DEC17	6481.00	6876.00	USD	39,500.00	
21SEP17	B	3	PD21DEC17	6482.50	6876.00	USD	29,512.50	
21SEP17	B	5	PD21DEC17	6481.50	6876.00	USD	49,312.50	
21SEP17	B	4	PD21DEC17	6475.00	6876.00	USD	40,100.00	
21SEP17	B	4	PD21DEC17	6480.00	6876.00	USD	39,600.00	
21SEP17	B	2	PD21DEC17	6475.50	6876.00	USD	20,025.00	
21SEP17	B	2	PD21DEC17	6476.50	6876.00	USD	19,975.00	
21SEP17	B	1	PD21DEC17	6474.50	6876.00	USD	10,037.50	
21SEP17	B	3	PD21DEC17	6469.50	6876.00	USD	30,487.50	
21SEP17	B	10	PD21DEC17	6470.00	6876.00	USD	101,500.00	
21SEP17	B	11	PD21DEC17	6469.00	6876.00	USD	111,925.00	
21SEP17	B	2	PD21DEC17	6464.50	6876.00	USD	20,575.00	
21SEP17	S	4	PD21DEC17	6446.00	6876.00	USD	-43,000.00	
21SEP17	S	3	PD21DEC17	6440.50	6876.00	USD	-32,662.50	
21SEP17	S	5	PD21DEC17	6440.00	6876.00	USD	-54,500.00	
21SEP17	S	4	PD21DEC17	6437.50	6876.00	USD	-43,850.00	
21SEP17	S	1	PD21DEC17	6429.50	6876.00	USD	-11,162.50	
21SEP17	B	4	PD21DEC17	6441.00	6876.00	USD	43,500.00	
21SEP17	B	1	PD21DEC17	6440.00	6876.00	USD	10,900.00	
21SEP17	S	1	PD21DEC17	6430.50	6876.00	USD	-11,137.50	
21SEP17	B	1	PD21DEC17	6431.50	6876.00	USD	11,112.50	
21SEP17	B	4	PD21DEC17	6434.50	6876.00	USD	44,150.00	
21SEP17	B	2	PD21DEC17	6435.50	6876.00	USD	22,025.00	
21SEP17	B	2	PD21DEC17	6434.00	6876.00	USD	22,100.00	
21SEP17	B	2	PD21DEC17	6430.00	6876.00	USD	22,300.00	
21SEP17	B	1	PD21DEC17	6429.00	6876.00	USD	11,175.00	
21SEP17	B	2	PD21DEC17	6431.00	6876.00	USD	22,250.00	
21SEP17	B	3	PD21DEC17	6432.00	6876.00	USD	33,300.00	
21SEP17	S	1	PD21DEC17	6439.00	6876.00	USD	-10,925.00	
21SEP17	S	5	PD21DEC17	6443.00	6876.00	USD	-54,125.00	
21SEP17	S	2	PD21DEC17	6447.00	6876.00	USD	-21,450.00	
21SEP17	S	1	PD21DEC17	6451.50	6876.00	USD	-10,612.50	
21SEP17	B	1	PD21DEC17	6459.00	6876.00	USD	10,425.00	
21SEP17	B	2	PD21DEC17	6458.50	6876.00	USD	20,875.00	

OPEN POSITION STATEMENT



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SAP0127A

13th October 2017

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
21SEP17	S	6	PD21DEC17	6457.00	6876.00	USD	-62,850.00	
21SEP17	B	1	PD21DEC17	6458.00	6876.00	USD	10,450.00	
21SEP17	B	1	PD21DEC17	6457.50	6876.00	USD	10,462.50	
21SEP17	B	2	PD21DEC17	6455.00	6876.00	USD	21,050.00	
21SEP17	B	3	PD21DEC17	6455.50	6876.00	USD	31,537.50	
21SEP17	B	2	PD21DEC17	6450.50	6876.00	USD	21,275.00	
21SEP17	B	2	PD21DEC17	6450.00	6876.00	USD	21,300.00	
21SEP17	B	2	PD21DEC17	6449.50	6876.00	USD	21,325.00	
21SEP17	B	5	PD21DEC17	6480.50	6876.00	USD	49,437.50	
21SEP17	B	1	PD21DEC17	6443.50	6876.00	USD	10,812.50	
21SEP17	B	1	PD21DEC17	6431.00	6876.00	USD	11,125.00	
21SEP17	B	1	PD21DEC17	6430.00	6876.00	USD	11,150.00	
21SEP17	B	1	PD21DEC17	6428.00	6876.00	USD	11,200.00	
21SEP17	B	1	PD21DEC17	6442.50	6876.00	USD	10,837.50	
21SEP17	B	1	PD21DEC17	6442.00	6876.00	USD	10,850.00	
21SEP17	B	1	PD21DEC17	6457.50	6876.00	USD	10,462.50	
21SEP17	B	1	PD21DEC17	6450.50	6876.00	USD	10,637.50	
06OCT17	S	25	PD21DEC17	6661.50	6876.00	USD	-134,062.50	
	NET	0 AVG BGHT 6460.28	SOLD 6484.40				101,925.00	0.00
22SEP17	S	1	PD22DEC17	6398.00	6875.00	USD	-11,925.00	
22SEP17	S	1	PD22DEC17	6396.50	6875.00	USD	-11,962.50	
22SEP17	S	5	PD22DEC17	6393.00	6875.00	USD	-60,250.00	
22SEP17	S	1	PD22DEC17	6390.50	6875.00	USD	-12,112.50	
22SEP17	S	2	PD22DEC17	6392.50	6875.00	USD	-24,125.00	
22SEP17	S	5	PD22DEC17	6397.00	6875.00	USD	-59,750.00	
22SEP17	S	1	PD22DEC17	6397.50	6875.00	USD	-11,937.50	
22SEP17	S	1	PD22DEC17	6400.00	6875.00	USD	-11,875.00	
22SEP17	S	1	PD22DEC17	6401.00	6875.00	USD	-11,850.00	
22SEP17	S	1	PD22DEC17	6390.00	6875.00	USD	-12,125.00	
22SEP17	B	1	PD22DEC17	6381.50	6875.00	USD	12,337.50	
22SEP17	B	1	PD22DEC17	6381.00	6875.00	USD	12,350.00	
22SEP17	B	2	PD22DEC17	6379.50	6875.00	USD	24,775.00	
22SEP17	B	2	PD22DEC17	6378.50	6875.00	USD	24,825.00	
22SEP17	B	1	PD22DEC17	6373.50	6875.00	USD	12,537.50	
22SEP17	B	3	PD22DEC17	6373.00	6875.00	USD	37,650.00	
22SEP17	B	2	PD22DEC17	6374.50	6875.00	USD	25,025.00	
22SEP17	B	3	PD22DEC17	6375.00	6875.00	USD	37,500.00	
22SEP17	B	2	PD22DEC17	6378.00	6875.00	USD	24,850.00	
22SEP17	B	1	PD22DEC17	6375.50	6875.00	USD	12,487.50	
22SEP17	S	1	PD22DEC17	6370.50	6875.00	USD	-12,612.50	
22SEP17	B	5	PD22DEC17	6377.50	6875.00	USD	62,187.50	
22SEP17	S	1	PD22DEC17	6378.50	6875.00	USD	-12,412.50	
22SEP17	S	1	PD22DEC17	6375.50	6875.00	USD	-12,487.50	
22SEP17	B	3	PD22DEC17	6374.00	6875.00	USD	37,575.00	
22SEP17	S	1	PD22DEC17	6373.00	6875.00	USD	-12,550.00	
22SEP17	S	1	PD22DEC17	6380.50	6875.00	USD	-12,362.50	
22SEP17	S	1	PD22DEC17	6380.00	6875.00	USD	-12,375.00	
22SEP17	S	8	PD22DEC17	6381.00	6875.00	USD	-98,800.00	
22SEP17	S	1	PD22DEC17	6382.50	6875.00	USD	-12,312.50	
22SEP17	S	5	PD22DEC17	6387.00	6875.00	USD	-61,000.00	
22SEP17	S	3	PD22DEC17	6383.50	6875.00	USD	-36,862.50	
22SEP17	S	2	PD22DEC17	6384.50	6875.00	USD	-24,525.00	
22SEP17	S	1	PD22DEC17	6386.00	6875.00	USD	-12,225.00	
22SEP17	S	6	PD22DEC17	6385.50	6875.00	USD	-73,425.00	
22SEP17	S	1	PD22DEC17	6388.00	6875.00	USD	-12,175.00	
22SEP17	S	1	PD22DEC17	6389.00	6875.00	USD	-12,150.00	
22SEP17	S	2	PD22DEC17	6387.50	6875.00	USD	-24,375.00	

OPEN POSITION STATEMENT



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13th October 2017

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
22SEP17	S	2	PD22DEC17	6395.00	6875.00	USD	-24,000.00	
22SEP17	S	2	PD22DEC17	6394.50	6875.00	USD	-24,025.00	
22SEP17	S	2	PD22DEC17	6393.50	6875.00	USD	-24,075.00	
22SEP17	S	8	PD22DEC17	6395.50	6875.00	USD	-95,900.00	
22SEP17	S	3	PD22DEC17	6392.00	6875.00	USD	-36,225.00	
22SEP17	S	1	PD22DEC17	6400.50	6875.00	USD	-11,862.50	
22SEP17	S	1	PD22DEC17	6402.50	6875.00	USD	-11,812.50	
22SEP17	B	3	PD22DEC17	6410.00	6875.00	USD	34,875.00	
22SEP17	B	4	PD22DEC17	6418.00	6875.00	USD	45,700.00	
22SEP17	B	1	PD22DEC17	6417.00	6875.00	USD	11,450.00	
22SEP17	S	1	PD22DEC17	6419.50	6875.00	USD	-11,387.50	
22SEP17	S	2	PD22DEC17	6419.00	6875.00	USD	-22,800.00	
22SEP17	S	1	PD22DEC17	6420.50	6875.00	USD	-11,362.50	
22SEP17	S	1	PD22DEC17	6421.50	6875.00	USD	-11,337.50	
22SEP17	S	4	PD22DEC17	6420.00	6875.00	USD	-45,500.00	
22SEP17	S	1	PD22DEC17	6422.50	6875.00	USD	-11,312.50	
22SEP17	B	1	PD22DEC17	6424.00	6875.00	USD	11,275.00	
22SEP17	B	1	PD22DEC17	6424.50	6875.00	USD	11,262.50	
22SEP17	S	2	PD22DEC17	6427.50	6875.00	USD	-22,375.00	
22SEP17	S	1	PD22DEC17	6428.00	6875.00	USD	-11,175.00	
22SEP17	S	3	PD22DEC17	6428.50	6875.00	USD	-33,487.50	
22SEP17	S	1	PD22DEC17	6431.00	6875.00	USD	-11,100.00	
22SEP17	S	1	PD22DEC17	6432.50	6875.00	USD	-11,062.50	
22SEP17	S	2	PD22DEC17	6435.00	6875.00	USD	-22,000.00	
22SEP17	S	1	PD22DEC17	6437.50	6875.00	USD	-10,937.50	
22SEP17	S	2	PD22DEC17	6437.00	6875.00	USD	-21,900.00	
22SEP17	S	3	PD22DEC17	6438.00	6875.00	USD	-32,775.00	
22SEP17	S	1	PD22DEC17	6432.00	6875.00	USD	-11,075.00	
22SEP17	S	2	PD22DEC17	6434.50	6875.00	USD	-22,025.00	
22SEP17	S	4	PD22DEC17	6436.00	6875.00	USD	-43,900.00	
22SEP17	S	4	PD22DEC17	6434.00	6875.00	USD	-44,100.00	
22SEP17	S	5	PD22DEC17	6433.00	6875.00	USD	-55,250.00	
22SEP17	S	4	PD22DEC17	6430.50	6875.00	USD	-44,450.00	
22SEP17	S	1	PD22DEC17	6430.00	6875.00	USD	-11,125.00	
22SEP17	S	2	PD22DEC17	6429.50	6875.00	USD	-22,275.00	
22SEP17	S	3	PD22DEC17	6431.50	6875.00	USD	-33,262.50	
22SEP17	S	3	PD22DEC17	6426.50	6875.00	USD	-33,637.50	
22SEP17	S	1	PD22DEC17	6442.50	6875.00	USD	-10,812.50	
22SEP17	S	1	PD22DEC17	6440.00	6875.00	USD	-10,875.00	
22SEP17	S	1	PD22DEC17	6453.50	6875.00	USD	-10,537.50	
22SEP17	S	1	PD22DEC17	6459.00	6875.00	USD	-10,400.00	
22SEP17	S	1	PD22DEC17	6462.00	6875.00	USD	-10,325.00	
22SEP17	B	2	PD22DEC17	6447.00	6875.00	USD	21,400.00	
22SEP17	B	2	PD22DEC17	6449.00	6875.00	USD	21,300.00	
22SEP17	B	1	PD22DEC17	6454.50	6875.00	USD	10,512.50	
22SEP17	B	1	PD22DEC17	6456.00	6875.00	USD	10,475.00	
22SEP17	B	2	PD22DEC17	6453.00	6875.00	USD	21,100.00	
22SEP17	B	3	PD22DEC17	6452.50	6875.00	USD	31,687.50	
22SEP17	B	1	PD22DEC17	6452.00	6875.00	USD	10,575.00	
22SEP17	B	2	PD22DEC17	6451.50	6875.00	USD	21,175.00	
22SEP17	B	1	PD22DEC17	6449.50	6875.00	USD	10,637.50	
22SEP17	S	1	PD22DEC17	6393.00	6875.00	USD	-12,050.00	
22SEP17	S	2	PD22DEC17	6435.00	6875.00	USD	-22,000.00	
22SEP17	S	2	PD22DEC17	6434.00	6875.00	USD	-22,050.00	
22SEP17	B	1	PD22DEC17	6450.00	6875.00	USD	10,625.00	
22SEP17	S	1	PD22DEC17	6451.00	6875.00	USD	-10,600.00	
22SEP17	B	1	PD22DEC17	6437.50	6875.00	USD	10,937.50	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0127A

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
22SEP17	B	3	PD22DEC17	6443.50	6875.00	USD	32,362.50	
22SEP17	B	2	PD22DEC17	6446.00	6875.00	USD	21,450.00	
22SEP17	S	1	PD22DEC17	6443.00	6875.00	USD	-10,800.00	
22SEP17	B	1	PD22DEC17	6440.50	6875.00	USD	10,862.50	
22SEP17	S	1	PD22DEC17	6443.50	6875.00	USD	-10,787.50	
22SEP17	S	3	PD22DEC17	6441.00	6875.00	USD	-32,550.00	
22SEP17	S	2	PD22DEC17	6439.00	6875.00	USD	-21,800.00	
22SEP17	S	1	PD22DEC17	6441.50	6875.00	USD	-10,837.50	
22SEP17	S	1	PD22DEC17	6448.50	6875.00	USD	-10,662.50	
22SEP17	S	2	PD22DEC17	6445.00	6875.00	USD	-21,500.00	
22SEP17	S	2	PD22DEC17	6447.50	6875.00	USD	-21,375.00	
22SEP17	S	2	PD22DEC17	6446.50	6875.00	USD	-21,425.00	
22SEP17	S	4	PD22DEC17	6446.00	6875.00	USD	-42,900.00	
22SEP17	S	1	PD22DEC17	6449.00	6875.00	USD	-10,650.00	
22SEP17	S	3	PD22DEC17	6447.00	6875.00	USD	-32,100.00	
22SEP17	S	1	PD22DEC17	6448.00	6875.00	USD	-10,675.00	
22SEP17	B	1	PD22DEC17	6471.50	6875.00	USD	10,087.50	
22SEP17	B	1	PD22DEC17	6466.00	6875.00	USD	10,225.00	
22SEP17	S	1	PD22DEC17	6469.00	6875.00	USD	-10,150.00	
22SEP17	S	1	PD22DEC17	6474.50	6875.00	USD	-10,012.50	
22SEP17	B	3	PD22DEC17	6453.50	6875.00	USD	31,612.50	
22SEP17	B	3	PD22DEC17	6448.00	6875.00	USD	32,025.00	
22SEP17	B	13	PD22DEC17	6441.50	6875.00	USD	140,887.50	
22SEP17	B	3	PD22DEC17	6441.00	6875.00	USD	32,550.00	
22SEP17	B	2	PD22DEC17	6440.00	6875.00	USD	21,750.00	
22SEP17	B	7	PD22DEC17	6442.50	6875.00	USD	75,687.50	
22SEP17	S	1	PD22DEC17	6451.00	6875.00	USD	-10,600.00	
22SEP17	S	1	PD22DEC17	6448.00	6875.00	USD	-10,675.00	
22SEP17	B	1	PD22DEC17	6445.00	6875.00	USD	10,750.00	
22SEP17	B	1	PD22DEC17	6444.50	6875.00	USD	10,762.50	
22SEP17	B	1	PD22DEC17	6441.50	6875.00	USD	10,837.50	
22SEP17	S	7	PD22DEC17	6463.00	6875.00	USD	-72,100.00	
22SEP17	S	1	PD22DEC17	6464.00	6875.00	USD	-10,275.00	
22SEP17	B	1	PD22DEC17	6455.00	6875.00	USD	10,500.00	
22SEP17	B	4	PD22DEC17	6454.00	6875.00	USD	42,100.00	
22SEP17	S	2	PD22DEC17	6454.50	6875.00	USD	-21,025.00	
22SEP17	S	10	PD22DEC17	6454.00	6875.00	USD	-105,250.00	
22SEP17	S	3	PD22DEC17	6456.00	6875.00	USD	-31,425.00	
22SEP17	S	1	PD22DEC17	6455.00	6875.00	USD	-10,500.00	
22SEP17	S	1	PD22DEC17	6462.50	6875.00	USD	-10,312.50	
22SEP17	S	2	PD22DEC17	6470.50	6875.00	USD	-20,225.00	
22SEP17	S	3	PD22DEC17	6471.50	6875.00	USD	-30,262.50	
22SEP17	S	1	PD22DEC17	6470.00	6875.00	USD	-10,125.00	
22SEP17	S	5	PD22DEC17	6467.00	6875.00	USD	-51,000.00	
22SEP17	S	1	PD22DEC17	6468.50	6875.00	USD	-10,162.50	
22SEP17	S	1	PD22DEC17	6464.50	6875.00	USD	-10,262.50	
22SEP17	S	8	PD22DEC17	6463.50	6875.00	USD	-82,300.00	
22SEP17	S	1	PD22DEC17	6456.50	6875.00	USD	-10,462.50	
22SEP17	S	1	PD22DEC17	6457.00	6875.00	USD	-10,450.00	
22SEP17	B	1	PD22DEC17	6468.50	6875.00	USD	10,162.50	
22SEP17	B	1	PD22DEC17	6463.50	6875.00	USD	10,287.50	
22SEP17	B	18	PD22DEC17	6464.00	6875.00	USD	184,950.00	
22SEP17	B	2	PD22DEC17	6465.00	6875.00	USD	20,500.00	
22SEP17	B	5	PD22DEC17	6470.50	6875.00	USD	50,562.50	
22SEP17	B	2	PD22DEC17	6470.00	6875.00	USD	20,250.00	
22SEP17	B	3	PD22DEC17	6471.00	6875.00	USD	30,300.00	
22SEP17	B	3	PD22DEC17	6472.50	6875.00	USD	30,187.50	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
22SEP17	B	2	PD22DEC17	6472.00	6875.00	USD	20,150.00	
22SEP17	B	15	PD22DEC17	6473.00	6875.00	USD	150,750.00	
22SEP17	B	2	PD22DEC17	6473.50	6875.00	USD	20,075.00	
22SEP17	B	2	PD22DEC17	6474.00	6875.00	USD	20,050.00	
25SEP17	B	60	PD22DEC17	6447.49	6875.00	USD	641,265.00	
	NET	0 AVG BGHT 6442.96	SOLD 6425.86				-92,335.00	0.00
25SEP17	B	1	PD27DEC17	6467.00	6876.67	USD	10,241.75	
25SEP17	B	1	PD27DEC17	6482.50	6876.67	USD	9,854.25	
25SEP17	S	1	PD27DEC17	6473.00	6876.67	USD	-10,091.75	
25SEP17	B	1	PD27DEC17	6483.50	6876.67	USD	9,829.25	
25SEP17	B	1	PD27DEC17	6481.00	6876.67	USD	9,891.75	
25SEP17	B	1	PD27DEC17	6472.00	6876.67	USD	10,116.75	
25SEP17	B	2	PD27DEC17	6472.50	6876.67	USD	20,208.50	
25SEP17	B	10	PD27DEC17	6473.00	6876.67	USD	100,917.50	
25SEP17	B	1	PD27DEC17	6467.50	6876.67	USD	10,229.25	
25SEP17	B	1	PD27DEC17	6461.00	6876.67	USD	10,391.75	
25SEP17	B	1	PD27DEC17	6461.50	6876.67	USD	10,379.25	
25SEP17	B	3	PD27DEC17	6471.50	6876.67	USD	30,387.75	
25SEP17	B	3	PD27DEC17	6471.00	6876.67	USD	30,425.25	
25SEP17	B	1	PD27DEC17	6479.00	6876.67	USD	9,941.75	
25SEP17	B	2	PD27DEC17	6470.50	6876.67	USD	20,308.50	
25SEP17	S	1	PD27DEC17	6470.00	6876.67	USD	-10,166.75	
25SEP17	B	2	PD27DEC17	6460.50	6876.67	USD	20,808.50	
25SEP17	B	1	PD27DEC17	6459.00	6876.67	USD	10,441.75	
25SEP17	B	1	PD27DEC17	6457.50	6876.67	USD	10,479.25	
25SEP17	B	2	PD27DEC17	6468.50	6876.67	USD	20,408.50	
25SEP17	B	1	PD27DEC17	6464.50	6876.67	USD	10,304.25	
25SEP17	S	1	PD27DEC17	6461.50	6876.67	USD	-10,379.25	
25SEP17	S	9	PD27DEC17	6461.00	6876.67	USD	-93,525.75	
25SEP17	S	2	PD27DEC17	6456.50	6876.67	USD	-21,008.50	
25SEP17	S	5	PD27DEC17	6457.50	6876.67	USD	-52,396.25	
25SEP17	S	2	PD27DEC17	6467.00	6876.67	USD	-20,483.50	
25SEP17	S	2	PD27DEC17	6468.50	6876.67	USD	-20,408.50	
25SEP17	S	5	PD27DEC17	6469.00	6876.67	USD	-50,958.75	
25SEP17	S	2	PD27DEC17	6469.50	6876.67	USD	-20,358.50	
25SEP17	S	5	PD27DEC17	6468.00	6876.67	USD	-51,083.75	
25SEP17	S	2	PD27DEC17	6462.50	6876.67	USD	-20,708.50	
25SEP17	S	1	PD27DEC17	6466.00	6876.67	USD	-10,266.75	
25SEP17	S	2	PD27DEC17	6467.50	6876.67	USD	-20,458.50	
25SEP17	S	1	PD27DEC17	6463.50	6876.67	USD	-10,329.25	
25SEP17	S	1	PD27DEC17	6464.50	6876.67	USD	-10,304.25	
25SEP17	S	9	PD27DEC17	6462.00	6876.67	USD	-93,300.75	
25SEP17	S	1	PD27DEC17	6460.00	6876.67	USD	-10,416.75	
25SEP17	S	11	PD27DEC17	6457.00	6876.67	USD	-115,409.25	
25SEP17	S	8	PD27DEC17	6458.00	6876.67	USD	-83,734.00	
25SEP17	B	1	PD27DEC17	6464.00	6876.67	USD	10,316.75	
25SEP17	S	1	PD27DEC17	6461.00	6876.67	USD	-10,391.75	
25SEP17	S	4	PD27DEC17	6458.50	6876.67	USD	-41,817.00	
25SEP17	S	6	PD27DEC17	6459.50	6876.67	USD	-62,575.50	
25SEP17	S	2	PD27DEC17	6443.50	6876.67	USD	-21,658.50	
25SEP17	S	2	PD27DEC17	6445.00	6876.67	USD	-21,583.50	
25SEP17	S	1	PD27DEC17	6443.00	6876.67	USD	-10,841.75	
25SEP17	S	2	PD27DEC17	6446.00	6876.67	USD	-21,533.50	
25SEP17	B	1	PD27DEC17	6445.00	6876.67	USD	10,791.75	
25SEP17	S	1	PD27DEC17	6448.00	6876.67	USD	-10,716.75	
25SEP17	S	1	PD27DEC17	6444.00	6876.67	USD	-10,816.75	
25SEP17	S	1	PD27DEC17	6438.50	6876.67	USD	-10,954.25	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
25SEP17	S	3	PD27DEC17	6436.50	6876.67	USD	-33,012.75	
25SEP17	S	2	PD27DEC17	6445.50	6876.67	USD	-21,558.50	
25SEP17	S	1	PD27DEC17	6446.50	6876.67	USD	-10,754.25	
25SEP17	S	3	PD27DEC17	6447.50	6876.67	USD	-32,187.75	
25SEP17	S	2	PD27DEC17	6455.00	6876.67	USD	-21,083.50	
25SEP17	S	1	PD27DEC17	6448.50	6876.67	USD	-10,704.25	
25SEP17	S	4	PD27DEC17	6450.50	6876.67	USD	-42,617.00	
25SEP17	S	2	PD27DEC17	6453.00	6876.67	USD	-21,183.50	
25SEP17	S	6	PD27DEC17	6452.00	6876.67	USD	-63,700.50	
25SEP17	S	5	PD27DEC17	6451.50	6876.67	USD	-53,146.25	
25SEP17	S	11	PD27DEC17	6451.00	6876.67	USD	-117,059.25	
25SEP17	S	4	PD27DEC17	6450.00	6876.67	USD	-42,667.00	
25SEP17	S	5	PD27DEC17	6449.00	6876.67	USD	-53,458.75	
25SEP17	S	4	PD27DEC17	6454.50	6876.67	USD	-42,217.00	
25SEP17	S	5	PD27DEC17	6454.00	6876.67	USD	-52,833.75	
25SEP17	S	3	PD27DEC17	6452.50	6876.67	USD	-31,812.75	
25SEP17	S	6	PD27DEC17	6466.50	6876.67	USD	-61,525.50	
25SEP17	S	12	PD27DEC17	6464.00	6876.67	USD	-123,801.00	
25SEP17	S	7	PD27DEC17	6463.00	6876.67	USD	-72,392.25	
25SEP17	S	4	PD27DEC17	6460.50	6876.67	USD	-41,617.00	
25SEP17	S	3	PD27DEC17	6460.00	6876.67	USD	-31,250.25	
25SEP17	B	2	PD27DEC17	6447.50	6876.67	USD	21,458.50	
25SEP17	B	3	PD27DEC17	6448.50	6876.67	USD	32,112.75	
25SEP17	B	1	PD27DEC17	6446.50	6876.67	USD	10,754.25	
25SEP17	S	5	PD27DEC17	6453.50	6876.67	USD	-52,896.25	
25SEP17	B	2	PD27DEC17	6459.50	6876.67	USD	20,858.50	
25SEP17	B	5	PD27DEC17	6460.00	6876.67	USD	52,083.75	
25SEP17	B	2	PD27DEC17	6463.50	6876.67	USD	20,658.50	
25SEP17	B	2	PD27DEC17	6464.00	6876.67	USD	20,633.50	
25SEP17	B	5	PD27DEC17	6463.00	6876.67	USD	51,708.75	
26SEP17	B	1	PD27DEC17	6511.50	6876.67	USD	9,129.25	
26SEP17	B	1	PD27DEC17	6511.00	6876.67	USD	9,141.75	
26SEP17	B	1	PD27DEC17	6510.50	6876.67	USD	9,154.25	
26SEP17	B	1	PD27DEC17	6509.50	6876.67	USD	9,179.25	
26SEP17	B	1	PD27DEC17	6508.50	6876.67	USD	9,204.25	
26SEP17	B	1	PD27DEC17	6507.50	6876.67	USD	9,229.25	
26SEP17	B	2	PD27DEC17	6504.50	6876.67	USD	18,608.50	
26SEP17	B	1	PD27DEC17	6498.00	6876.67	USD	9,466.75	
26SEP17	B	3	PD27DEC17	6502.50	6876.67	USD	28,062.75	
26SEP17	B	2	PD27DEC17	6503.00	6876.67	USD	18,683.50	
26SEP17	B	4	PD27DEC17	6504.00	6876.67	USD	37,267.00	
26SEP17	B	3	PD27DEC17	6505.50	6876.67	USD	27,837.75	
26SEP17	B	1	PD27DEC17	6499.50	6876.67	USD	9,429.25	
26SEP17	B	5	PD27DEC17	6500.00	6876.67	USD	47,083.75	
26SEP17	B	1	PD27DEC17	6501.50	6876.67	USD	9,379.25	
26SEP17	B	5	PD27DEC17	6500.50	6876.67	USD	47,021.25	
26SEP17	B	4	PD27DEC17	6502.00	6876.67	USD	37,467.00	
26SEP17	B	2	PD27DEC17	6501.00	6876.67	USD	18,783.50	
26SEP17	S	1	PD27DEC17	6505.00	6876.67	USD	-9,291.75	
26SEP17	S	1	PD27DEC17	6507.00	6876.67	USD	-9,241.75	
26SEP17	S	2	PD27DEC17	6491.00	6876.67	USD	-19,283.50	
26SEP17	S	2	PD27DEC17	6491.50	6876.67	USD	-19,258.50	
26SEP17	S	1	PD27DEC17	6493.00	6876.67	USD	-9,591.75	
26SEP17	S	1	PD27DEC17	6493.50	6876.67	USD	-9,579.25	
26SEP17	S	1	PD27DEC17	6492.00	6876.67	USD	-9,616.75	
26SEP17	S	1	PD27DEC17	6488.00	6876.67	USD	-9,716.75	
26SEP17	S	3	PD27DEC17	6489.00	6876.67	USD	-29,075.25	

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26SEP17	S	1	PD27DEC17	6477.00	6876.67	USD	-9,991.75	
26SEP17	B	1	PD27DEC17	6477.50	6876.67	USD	9,979.25	
26SEP17	S	1	PD27DEC17	6468.00	6876.67	USD	-10,216.75	
26SEP17	B	1	PD27DEC17	6513.00	6876.67	USD	9,091.75	
26SEP17	S	1	PD27DEC17	6493.00	6876.67	USD	-9,591.75	
26SEP17	S	1	PD27DEC17	6489.00	6876.67	USD	-9,691.75	
26SEP17	S	4	PD27DEC17	6463.50	6876.67	USD	-41,317.00	
26SEP17	S	1	PD27DEC17	6467.00	6876.67	USD	-10,241.75	
26SEP17	S	3	PD27DEC17	6459.50	6876.67	USD	-31,287.75	
26SEP17	S	4	PD27DEC17	6458.50	6876.67	USD	-41,817.00	
26SEP17	S	2	PD27DEC17	6457.00	6876.67	USD	-20,983.50	
26SEP17	S	1	PD27DEC17	6462.00	6876.67	USD	-10,366.75	
26SEP17	S	3	PD27DEC17	6464.00	6876.67	USD	-30,950.25	
26SEP17	S	4	PD27DEC17	6464.50	6876.67	USD	-41,217.00	
26SEP17	S	3	PD27DEC17	6465.00	6876.67	USD	-30,875.25	
26SEP17	S	2	PD27DEC17	6465.50	6876.67	USD	-20,558.50	
26SEP17	S	13	PD27DEC17	6462.50	6876.67	USD	-134,605.25	
26SEP17	S	9	PD27DEC17	6466.00	6876.67	USD	-92,400.75	
26SEP17	S	9	PD27DEC17	6461.50	6876.67	USD	-93,413.25	
26SEP17	B	1	PD27DEC17	6454.00	6876.67	USD	10,566.75	
26SEP17	B	1	PD27DEC17	6445.00	6876.67	USD	10,791.75	
26SEP17	S	1	PD27DEC17	6460.00	6876.67	USD	-10,416.75	
26SEP17	S	1	PD27DEC17	6455.50	6876.67	USD	-10,529.25	
26SEP17	S	1	PD27DEC17	6409.00	6876.67	USD	-11,691.75	
26SEP17	S	1	PD27DEC17	6466.50	6876.67	USD	-10,254.25	
26SEP17	S	3	PD27DEC17	6408.00	6876.67	USD	-35,150.25	
26SEP17	S	3	PD27DEC17	6411.00	6876.67	USD	-34,925.25	
26SEP17	S	2	PD27DEC17	6410.00	6876.67	USD	-23,333.50	
26SEP17	S	1	PD27DEC17	6410.50	6876.67	USD	-11,654.25	
26SEP17	S	1	PD27DEC17	6413.00	6876.67	USD	-11,591.75	
26SEP17	S	1	PD27DEC17	6414.00	6876.67	USD	-11,566.75	
26SEP17	S	1	PD27DEC17	6417.00	6876.67	USD	-11,491.75	
26SEP17	S	9	PD27DEC17	6417.50	6876.67	USD	-103,313.25	
26SEP17	S	3	PD27DEC17	6418.50	6876.67	USD	-34,362.75	
26SEP17	S	3	PD27DEC17	6418.00	6876.67	USD	-34,400.25	
26SEP17	S	2	PD27DEC17	6419.00	6876.67	USD	-22,883.50	
26SEP17	S	4	PD27DEC17	6421.00	6876.67	USD	-45,567.00	
26SEP17	S	1	PD27DEC17	6422.50	6876.67	USD	-11,354.25	
26SEP17	B	1	PD27DEC17	6421.50	6876.67	USD	11,379.25	
26SEP17	B	1	PD27DEC17	6422.50	6876.67	USD	11,354.25	
26SEP17	S	1	PD27DEC17	6424.00	6876.67	USD	-11,316.75	
26SEP17	B	1	PD27DEC17	6419.50	6876.67	USD	11,429.25	
26SEP17	B	1	PD27DEC17	6420.50	6876.67	USD	11,404.25	
26SEP17	B	1	PD27DEC17	6419.00	6876.67	USD	11,441.75	
26SEP17	B	4	PD27DEC17	6418.50	6876.67	USD	45,817.00	
26SEP17	B	1	PD27DEC17	6418.00	6876.67	USD	11,466.75	
26SEP17	B	3	PD27DEC17	6417.00	6876.67	USD	34,475.25	
26SEP17	B	3	PD27DEC17	6416.00	6876.67	USD	34,550.25	
26SEP17	B	2	PD27DEC17	6414.50	6876.67	USD	23,108.50	
26SEP17	B	4	PD27DEC17	6415.00	6876.67	USD	46,167.00	
26SEP17	B	3	PD27DEC17	6416.50	6876.67	USD	34,512.75	
26SEP17	B	1	PD27DEC17	6424.50	6876.67	USD	11,304.25	
26SEP17	S	2	PD27DEC17	6423.00	6876.67	USD	-22,683.50	
26SEP17	B	1	PD27DEC17	6426.00	6876.67	USD	11,266.75	
26SEP17	B	1	PD27DEC17	6429.50	6876.67	USD	11,179.25	
26SEP17	B	1	PD27DEC17	6428.00	6876.67	USD	11,216.75	
26SEP17	B	3	PD27DEC17	6427.00	6876.67	USD	33,725.25	

OPEN POSITION STATEMENT



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SAP0127A

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backoffice@sapiencap.com

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
26SEP17	B	4	PD27DEC17	6427.50	6876.67	USD	44,917.00	
26SEP17	B	1	PD27DEC17	6417.00	6876.67	USD	11,491.75	
26SEP17	B	1	PD27DEC17	6416.00	6876.67	USD	11,516.75	
26SEP17	B	1	PD27DEC17	6415.50	6876.67	USD	11,529.25	
26SEP17	S	1	PD27DEC17	6415.50	6876.67	USD	-11,529.25	
27SEP17	B	1	PD27DEC17	6447.50	6876.67	USD	10,729.25	
27SEP17	B	3	PD27DEC17	6448.00	6876.67	USD	32,150.25	
27SEP17	B	1	PD27DEC17	6473.00	6876.67	USD	10,091.75	
27SEP17	B	6	PD27DEC17	6474.00	6876.67	USD	60,400.50	
27SEP17	B	2	PD27DEC17	6472.00	6876.67	USD	20,233.50	
27SEP17	B	1	PD27DEC17	6470.50	6876.67	USD	10,154.25	
27SEP17	B	1	PD27DEC17	6471.00	6876.67	USD	10,141.75	
27SEP17	S	1	PD27DEC17	6464.50	6876.67	USD	-10,304.25	
27SEP17	B	1	PD27DEC17	6464.00	6876.67	USD	10,316.75	
27SEP17	S	1	PD27DEC17	6461.00	6876.67	USD	-10,391.75	
27SEP17	B	1	PD27DEC17	6457.50	6876.67	USD	10,479.25	
27SEP17	B	1	PD27DEC17	6456.50	6876.67	USD	10,504.25	
27SEP17	B	1	PD27DEC17	6457.00	6876.67	USD	10,491.75	
27SEP17	B	5	PD27DEC17	6452.50	6876.67	USD	53,021.25	
27SEP17	B	1	PD27DEC17	6451.50	6876.67	USD	10,629.25	
27SEP17	B	3	PD27DEC17	6450.50	6876.67	USD	31,962.75	
27SEP17	B	5	PD27DEC17	6455.00	6876.67	USD	52,708.75	
27SEP17	B	14	PD27DEC17	6454.00	6876.67	USD	147,934.50	
27SEP17	B	6	PD27DEC17	6453.50	6876.67	USD	63,475.50	
27SEP17	B	5	PD27DEC17	6454.50	6876.67	USD	52,771.25	
27SEP17	B	2	PD27DEC17	6455.50	6876.67	USD	21,058.50	
27SEP17	B	10	PD27DEC17	6458.00	6876.67	USD	104,667.50	
27SEP17	B	5	PD27DEC17	6458.50	6876.67	USD	52,271.25	
27SEP17	B	2	PD27DEC17	6459.00	6876.67	USD	20,883.50	
27SEP17	B	2	PD27DEC17	6464.50	6876.67	USD	20,608.50	
27SEP17	B	1	PD27DEC17	6465.50	6876.67	USD	10,279.25	
27SEP17	B	6	PD27DEC17	6460.00	6876.67	USD	62,500.50	
27SEP17	B	3	PD27DEC17	6463.50	6876.67	USD	30,987.75	
27SEP17	B	1	PD27DEC17	6470.00	6876.67	USD	10,166.75	
27SEP17	B	1	PD27DEC17	6467.50	6876.67	USD	10,229.25	
27SEP17	B	2	PD27DEC17	6462.50	6876.67	USD	20,708.50	
27SEP17	B	1	PD27DEC17	6462.00	6876.67	USD	10,366.75	
27SEP17	B	4	PD27DEC17	6463.00	6876.67	USD	41,367.00	
27SEP17	B	7	PD27DEC17	6461.00	6876.67	USD	72,742.25	
27SEP17	B	4	PD27DEC17	6460.50	6876.67	USD	41,617.00	
27SEP17	B	6	PD27DEC17	6459.50	6876.67	USD	62,575.50	
27SEP17	B	3	PD27DEC17	6456.00	6876.67	USD	31,550.25	
27SEP17	B	1	PD27DEC17	6479.00	6876.67	USD	9,941.75	
27SEP17	B	1	PD27DEC17	6482.50	6876.67	USD	9,854.25	
27SEP17	B	3	PD27DEC17	6483.50	6876.67	USD	29,487.75	
27SEP17	B	1	PD27DEC17	6486.00	6876.67	USD	9,766.75	
27SEP17	B	2	PD27DEC17	6485.50	6876.67	USD	19,558.50	
27SEP17	B	1	PD27DEC17	6482.00	6876.67	USD	9,866.75	
27SEP17	B	1	PD27DEC17	6480.00	6876.67	USD	9,916.75	
27SEP17	S	1	PD27DEC17	6487.50	6876.67	USD	-9,729.25	
27SEP17	B	1	PD27DEC17	6486.50	6876.67	USD	9,754.25	
27SEP17	B	1	PD27DEC17	6488.50	6876.67	USD	9,704.25	
27SEP17	B	2	PD27DEC17	6487.50	6876.67	USD	19,458.50	
27SEP17	B	1	PD27DEC17	6447.50	6876.67	USD	10,729.25	
27SEP17	B	1	PD27DEC17	6455.00	6876.67	USD	10,541.75	
27SEP17	B	1	PD27DEC17	6458.00	6876.67	USD	10,466.75	
27SEP17	B	1	PD27DEC17	6470.00	6876.67	USD	10,166.75	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
27SEP17	B	1	PD27DEC17	6457.00	6876.67	USD	10,491.75	
27SEP17	B	2	PD27DEC17	6489.00	6876.67	USD	19,383.50	
27SEP17	B	9	PD27DEC17	6461.50	6876.67	USD	93,413.25	
27SEP17	B	1	PD27DEC17	6430.50	6876.67	USD	11,154.25	
27SEP17	B	2	PD27DEC17	6429.50	6876.67	USD	22,358.50	
27SEP17	B	1	PD27DEC17	6429.00	6876.67	USD	11,191.75	
27SEP17	B	1	PD27DEC17	6434.50	6876.67	USD	11,054.25	
27SEP17	B	1	PD27DEC17	6438.00	6876.67	USD	10,966.75	
27SEP17	S	1	PD27DEC17	6421.50	6876.67	USD	-11,379.25	
27SEP17	S	1	PD27DEC17	6418.00	6876.67	USD	-11,466.75	
27SEP17	S	1	PD27DEC17	6423.00	6876.67	USD	-11,341.75	
27SEP17	B	1	PD27DEC17	6427.50	6876.67	USD	11,229.25	
27SEP17	B	1	PD27DEC17	6436.50	6876.67	USD	11,004.25	
27SEP17	B	4	PD27DEC17	6437.50	6876.67	USD	43,917.00	
27SEP17	B	2	PD27DEC17	6443.00	6876.67	USD	21,683.50	
27SEP17	B	3	PD27DEC17	6437.00	6876.67	USD	32,975.25	
27SEP17	B	5	PD27DEC17	6434.00	6876.67	USD	55,333.75	
27SEP17	B	5	PD27DEC17	6435.00	6876.67	USD	55,208.75	
27SEP17	B	4	PD27DEC17	6439.50	6876.67	USD	43,717.00	
27SEP17	B	2	PD27DEC17	6441.00	6876.67	USD	21,783.50	
27SEP17	B	2	PD27DEC17	6436.00	6876.67	USD	22,033.50	
27SEP17	B	10	PD27DEC17	6438.50	6876.67	USD	109,542.50	
27SEP17	B	2	PD27DEC17	6439.00	6876.67	USD	21,883.50	
27SEP17	B	1	PD27DEC17	6442.50	6876.67	USD	10,854.25	
27SEP17	B	3	PD27DEC17	6441.50	6876.67	USD	32,637.75	
27SEP17	B	6	PD27DEC17	6440.50	6876.67	USD	65,425.50	
27SEP17	B	1	PD27DEC17	6441.50	6876.67	USD	10,879.25	
06OCT17	S	35	PD27DEC17	6663.50	6876.67	USD	-186,523.75	
	NET	0	AVG BGHT 6458.41	SOLD 6475.81			151,375.00	0.00
28SEP17	S	1	PD28DEC17	6410.50	6877.00	USD	-11,662.50	
28SEP17	S	10	PD28DEC17	6408.50	6877.00	USD	-117,125.00	
28SEP17	S	10	PD28DEC17	6409.50	6877.00	USD	-116,875.00	
28SEP17	S	2	PD28DEC17	6407.00	6877.00	USD	-23,500.00	
28SEP17	S	7	PD28DEC17	6406.00	6877.00	USD	-82,425.00	
28SEP17	S	2	PD28DEC17	6403.50	6877.00	USD	-23,675.00	
28SEP17	S	3	PD28DEC17	6401.50	6877.00	USD	-35,662.50	
28SEP17	S	2	PD28DEC17	6400.50	6877.00	USD	-23,825.00	
28SEP17	S	5	PD28DEC17	6400.00	6877.00	USD	-59,625.00	
28SEP17	S	3	PD28DEC17	6398.50	6877.00	USD	-35,887.50	
28SEP17	S	2	PD28DEC17	6428.50	6877.00	USD	-22,425.00	
28SEP17	S	1	PD28DEC17	6425.50	6877.00	USD	-11,287.50	
28SEP17	S	4	PD28DEC17	6426.50	6877.00	USD	-45,050.00	
28SEP17	B	1	PD28DEC17	6437.50	6877.00	USD	10,987.50	
28SEP17	B	1	PD28DEC17	6436.50	6877.00	USD	11,012.50	
28SEP17	B	1	PD28DEC17	6435.50	6877.00	USD	11,037.50	
28SEP17	B	1	PD28DEC17	6435.00	6877.00	USD	11,050.00	
28SEP17	B	1	PD28DEC17	6434.50	6877.00	USD	11,062.50	
28SEP17	B	1	PD28DEC17	6433.00	6877.00	USD	11,100.00	
28SEP17	B	1	PD28DEC17	6438.50	6877.00	USD	10,962.50	
28SEP17	B	4	PD28DEC17	6438.00	6877.00	USD	43,900.00	
28SEP17	B	1	PD28DEC17	6440.00	6877.00	USD	10,925.00	
28SEP17	B	1	PD28DEC17	6442.50	6877.00	USD	10,862.50	
28SEP17	B	1	PD28DEC17	6443.50	6877.00	USD	10,837.50	
28SEP17	B	1	PD28DEC17	6446.00	6877.00	USD	10,775.00	
28SEP17	B	2	PD28DEC17	6452.50	6877.00	USD	21,225.00	
28SEP17	B	1	PD28DEC17	6449.50	6877.00	USD	10,687.50	
28SEP17	S	1	PD28DEC17	6452.00	6877.00	USD	-10,625.00	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
28SEP17	B	1	PD28DEC17	6444.50	6877.00	USD	10,812.50	
28SEP17	B	1	PD28DEC17	6445.50	6877.00	USD	10,787.50	
28SEP17	B	2	PD28DEC17	6446.50	6877.00	USD	21,525.00	
28SEP17	B	1	PD28DEC17	6457.50	6877.00	USD	10,487.50	
28SEP17	B	4	PD28DEC17	6463.50	6877.00	USD	41,350.00	
28SEP17	B	1	PD28DEC17	6461.00	6877.00	USD	10,400.00	
28SEP17	B	1	PD28DEC17	6468.00	6877.00	USD	10,225.00	
28SEP17	B	5	PD28DEC17	6467.50	6877.00	USD	51,187.50	
28SEP17	B	1	PD28DEC17	6465.00	6877.00	USD	10,300.00	
28SEP17	S	1	PD28DEC17	6467.50	6877.00	USD	-10,237.50	
28SEP17	B	1	PD28DEC17	6455.50	6877.00	USD	10,537.50	
28SEP17	B	6	PD28DEC17	6453.50	6877.00	USD	63,525.00	
28SEP17	B	4	PD28DEC17	6451.00	6877.00	USD	42,600.00	
28SEP17	B	9	PD28DEC17	6452.00	6877.00	USD	95,625.00	
28SEP17	B	4	PD28DEC17	6453.00	6877.00	USD	42,400.00	
28SEP17	B	1	PD28DEC17	6454.50	6877.00	USD	10,562.50	
28SEP17	B	8	PD28DEC17	6454.00	6877.00	USD	84,600.00	
28SEP17	B	1	PD28DEC17	6433.00	6877.00	USD	11,100.00	
28SEP17	B	3	PD28DEC17	6459.50	6877.00	USD	31,312.50	
28SEP17	B	2	PD28DEC17	6463.00	6877.00	USD	20,700.00	
28SEP17	B	4	PD28DEC17	6467.00	6877.00	USD	41,000.00	
28SEP17	B	5	PD28DEC17	6469.50	6877.00	USD	50,937.50	
28SEP17	B	1	PD28DEC17	6471.50	6877.00	USD	10,137.50	
28SEP17	B	1	PD28DEC17	6470.00	6877.00	USD	10,175.00	
28SEP17	B	9	PD28DEC17	6469.00	6877.00	USD	91,800.00	
28SEP17	S	1	PD28DEC17	6480.50	6877.00	USD	-9,912.50	
28SEP17	S	2	PD28DEC17	6480.00	6877.00	USD	-19,850.00	
28SEP17	S	1	PD28DEC17	6478.50	6877.00	USD	-9,962.50	
28SEP17	S	1	PD28DEC17	6475.00	6877.00	USD	-10,050.00	
28SEP17	S	1	PD28DEC17	6481.00	6877.00	USD	-9,900.00	
28SEP17	S	1	PD28DEC17	6482.00	6877.00	USD	-9,875.00	
28SEP17	B	1	PD28DEC17	6503.00	6877.00	USD	9,350.00	
28SEP17	B	2	PD28DEC17	6504.00	6877.00	USD	18,650.00	
28SEP17	B	1	PD28DEC17	6506.50	6877.00	USD	9,262.50	
28SEP17	B	1	PD28DEC17	6512.00	6877.00	USD	9,125.00	
28SEP17	S	1	PD28DEC17	6512.50	6877.00	USD	-9,112.50	
28SEP17	B	1	PD28DEC17	6516.50	6877.00	USD	9,012.50	
28SEP17	B	1	PD28DEC17	6512.50	6877.00	USD	9,112.50	
28SEP17	B	1	PD28DEC17	6513.50	6877.00	USD	9,087.50	
28SEP17	B	2	PD28DEC17	6515.50	6877.00	USD	18,075.00	
28SEP17	B	2	PD28DEC17	6517.00	6877.00	USD	18,000.00	
28SEP17	B	2	PD28DEC17	6521.50	6877.00	USD	17,775.00	
28SEP17	B	1	PD28DEC17	6522.50	6877.00	USD	8,862.50	
28SEP17	B	2	PD28DEC17	6519.50	6877.00	USD	17,875.00	
28SEP17	B	1	PD28DEC17	6525.00	6877.00	USD	8,800.00	
28SEP17	B	4	PD28DEC17	6524.00	6877.00	USD	35,300.00	
28SEP17	B	5	PD28DEC17	6528.00	6877.00	USD	43,625.00	
28SEP17	B	5	PD28DEC17	6529.00	6877.00	USD	43,500.00	
28SEP17	B	2	PD28DEC17	6534.50	6877.00	USD	17,125.00	
28SEP17	B	3	PD28DEC17	6535.00	6877.00	USD	25,650.00	
28SEP17	B	2	PD28DEC17	6532.50	6877.00	USD	17,225.00	
28SEP17	B	2	PD28DEC17	6531.50	6877.00	USD	17,275.00	
28SEP17	B	3	PD28DEC17	6534.00	6877.00	USD	25,725.00	
28SEP17	B	1	PD28DEC17	6548.00	6877.00	USD	8,225.00	
28SEP17	B	1	PD28DEC17	6549.00	6877.00	USD	8,200.00	
28SEP17	B	3	PD28DEC17	6555.00	6877.00	USD	24,150.00	
28SEP17	B	1	PD28DEC17	6571.50	6877.00	USD	7,637.50	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
28SEP17	S	1	PD28DEC17	6565.50	6877.00	USD	-7,787.50	
28SEP17	B	1	PD28DEC17	6504.00	6877.00	USD	9,325.00	
28SEP17	B	3	PD28DEC17	6534.00	6877.00	USD	25,725.00	
06OCT17	S	5	PD28DEC17	6663.83	6877.00	USD	-26,646.25	
	NET	+80 AVG BGHT 6481.81	SOLD 6439.95				719,203.75	0.00
29SEP17	B	3	PD29DEC17	6565.00	6877.33	USD	23,424.75	
29SEP17	B	2	PD29DEC17	6564.00	6877.33	USD	15,666.50	
29SEP17	B	2	PD29DEC17	6562.00	6877.33	USD	15,766.50	
29SEP17	B	1	PD29DEC17	6563.00	6877.33	USD	7,858.25	
29SEP17	B	1	PD29DEC17	6558.50	6877.33	USD	7,970.75	
29SEP17	B	1	PD29DEC17	6556.00	6877.33	USD	8,033.25	
29SEP17	B	1	PD29DEC17	6556.50	6877.33	USD	8,020.75	
29SEP17	B	1	PD29DEC17	6554.50	6877.33	USD	8,070.75	
29SEP17	B	2	PD29DEC17	6553.00	6877.33	USD	16,216.50	
29SEP17	B	1	PD29DEC17	6551.00	6877.33	USD	8,158.25	
29SEP17	B	1	PD29DEC17	6552.00	6877.33	USD	8,133.25	
29SEP17	B	3	PD29DEC17	6545.50	6877.33	USD	24,887.25	
29SEP17	B	1	PD29DEC17	6548.00	6877.33	USD	8,233.25	
29SEP17	B	5	PD29DEC17	6547.00	6877.33	USD	41,291.25	
29SEP17	B	2	PD29DEC17	6547.50	6877.33	USD	16,491.50	
29SEP17	B	7	PD29DEC17	6549.00	6877.33	USD	57,457.75	
29SEP17	B	3	PD29DEC17	6548.50	6877.33	USD	24,662.25	
29SEP17	B	2	PD29DEC17	6546.50	6877.33	USD	16,541.50	
29SEP17	B	2	PD29DEC17	6544.00	6877.33	USD	16,666.50	
29SEP17	B	1	PD29DEC17	6543.00	6877.33	USD	8,358.25	
29SEP17	B	1	PD29DEC17	6543.50	6877.33	USD	8,345.75	
29SEP17	B	2	PD29DEC17	6544.50	6877.33	USD	16,641.50	
29SEP17	S	1	PD29DEC17	6542.00	6877.33	USD	-8,383.25	
29SEP17	S	5	PD29DEC17	6530.00	6877.33	USD	-43,416.25	
29SEP17	S	9	PD29DEC17	6529.00	6877.33	USD	-78,374.25	
29SEP17	S	1	PD29DEC17	6532.00	6877.33	USD	-8,633.25	
29SEP17	S	1	PD29DEC17	6533.00	6877.33	USD	-8,608.25	
29SEP17	S	2	PD29DEC17	6540.50	6877.33	USD	-16,841.50	
29SEP17	S	2	PD29DEC17	6540.00	6877.33	USD	-16,866.50	
29SEP17	S	1	PD29DEC17	6541.50	6877.33	USD	-8,395.75	
29SEP17	B	1	PD29DEC17	6560.50	6877.33	USD	7,920.75	
29SEP17	B	3	PD29DEC17	6560.00	6877.33	USD	23,799.75	
29SEP17	B	2	PD29DEC17	6555.00	6877.33	USD	16,116.50	
29SEP17	B	1	PD29DEC17	6545.00	6877.33	USD	8,308.25	
29SEP17	S	1	PD29DEC17	6529.00	6877.33	USD	-8,708.25	
29SEP17	S	1	PD29DEC17	6537.00	6877.33	USD	-8,508.25	
29SEP17	S	2	PD29DEC17	6539.00	6877.33	USD	-16,916.50	
29SEP17	S	1	PD29DEC17	6536.00	6877.33	USD	-8,533.25	
29SEP17	S	8	PD29DEC17	6538.00	6877.33	USD	-67,866.00	
29SEP17	S	4	PD29DEC17	6535.50	6877.33	USD	-34,183.00	
29SEP17	S	4	PD29DEC17	6532.50	6877.33	USD	-34,483.00	
29SEP17	S	3	PD29DEC17	6533.50	6877.33	USD	-25,787.25	
29SEP17	S	8	PD29DEC17	6531.00	6877.33	USD	-69,266.00	
29SEP17	S	4	PD29DEC17	6530.50	6877.33	USD	-34,683.00	
29SEP17	S	8	PD29DEC17	6531.50	6877.33	USD	-69,166.00	
29SEP17	B	1	PD29DEC17	6533.00	6877.33	USD	8,608.25	
29SEP17	B	1	PD29DEC17	6532.00	6877.33	USD	8,633.25	
29SEP17	B	1	PD29DEC17	6535.50	6877.33	USD	8,545.75	
29SEP17	B	2	PD29DEC17	6536.50	6877.33	USD	17,041.50	
29SEP17	B	3	PD29DEC17	6537.50	6877.33	USD	25,487.25	
29SEP17	B	1	PD29DEC17	6536.00	6877.33	USD	8,533.25	
29SEP17	B	1	PD29DEC17	6532.50	6877.33	USD	8,620.75	

OPEN POSITION STATEMENT



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SAP0127A

13th October 2017

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backoffice@sapiencap.com

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
29SEP17	S	1	PD29DEC17	6527.00	6877.33	USD	-8,758.25	
29SEP17	S	4	PD29DEC17	6528.00	6877.33	USD	-34,933.00	
29SEP17	S	3	PD29DEC17	6529.50	6877.33	USD	-26,087.25	
29SEP17	B	1	PD29DEC17	6531.50	6877.33	USD	8,645.75	
29SEP17	B	1	PD29DEC17	6530.50	6877.33	USD	8,670.75	
29SEP17	S	2	PD29DEC17	6525.00	6877.33	USD	-17,616.50	
29SEP17	B	2	PD29DEC17	6529.00	6877.33	USD	17,416.50	
29SEP17	B	1	PD29DEC17	6527.00	6877.33	USD	8,758.25	
29SEP17	S	1	PD29DEC17	6522.50	6877.33	USD	-8,870.75	
29SEP17	B	1	PD29DEC17	6521.50	6877.33	USD	8,895.75	
29SEP17	B	4	PD29DEC17	6521.00	6877.33	USD	35,633.00	
29SEP17	B	1	PD29DEC17	6522.00	6877.33	USD	8,883.25	
29SEP17	B	1	PD29DEC17	6522.50	6877.33	USD	8,870.75	
29SEP17	B	2	PD29DEC17	6525.00	6877.33	USD	17,616.50	
29SEP17	B	1	PD29DEC17	6525.50	6877.33	USD	8,795.75	
29SEP17	B	2	PD29DEC17	6516.00	6877.33	USD	18,066.50	
29SEP17	B	5	PD29DEC17	6516.50	6877.33	USD	45,103.75	
29SEP17	B	3	PD29DEC17	6517.50	6877.33	USD	26,987.25	
29SEP17	B	9	PD29DEC17	6518.00	6877.33	USD	80,849.25	
29SEP17	B	4	PD29DEC17	6517.00	6877.33	USD	36,033.00	
29SEP17	B	1	PD29DEC17	6514.00	6877.33	USD	9,083.25	
29SEP17	S	3	PD29DEC17	6501.50	6877.33	USD	-28,187.25	
29SEP17	S	3	PD29DEC17	6496.00	6877.33	USD	-28,599.75	
29SEP17	S	2	PD29DEC17	6487.00	6877.33	USD	-19,516.50	
29SEP17	S	2	PD29DEC17	6489.50	6877.33	USD	-19,391.50	
29SEP17	S	2	PD29DEC17	6490.00	6877.33	USD	-19,366.50	
29SEP17	S	2	PD29DEC17	6490.50	6877.33	USD	-19,341.50	
29SEP17	B	2	PD29DEC17	6485.00	6877.33	USD	19,616.50	
29SEP17	B	1	PD29DEC17	6486.50	6877.33	USD	9,770.75	
29SEP17	B	1	PD29DEC17	6487.00	6877.33	USD	9,758.25	
29SEP17	B	1	PD29DEC17	6488.00	6877.33	USD	9,733.25	
29SEP17	S	1	PD29DEC17	6492.50	6877.33	USD	-9,620.75	
29SEP17	S	1	PD29DEC17	6493.50	6877.33	USD	-9,595.75	
29SEP17	S	3	PD29DEC17	6488.00	6877.33	USD	-29,199.75	
29SEP17	S	2	PD29DEC17	6489.00	6877.33	USD	-19,416.50	
29SEP17	S	2	PD29DEC17	6499.00	6877.33	USD	-18,916.50	
29SEP17	S	6	PD29DEC17	6500.00	6877.33	USD	-56,599.50	
29SEP17	S	2	PD29DEC17	6500.50	6877.33	USD	-18,841.50	
29SEP17	S	1	PD29DEC17	6501.00	6877.33	USD	-9,408.25	
29SEP17	S	2	PD29DEC17	6503.50	6877.33	USD	-18,691.50	
29SEP17	S	3	PD29DEC17	6503.00	6877.33	USD	-28,074.75	
29SEP17	S	7	PD29DEC17	6502.50	6877.33	USD	-65,595.25	
29SEP17	S	15	PD29DEC17	6502.00	6877.33	USD	-140,748.75	
29SEP17	B	1	PD29DEC17	6521.00	6877.33	USD	8,908.25	
29SEP17	B	1	PD29DEC17	6520.00	6877.33	USD	8,933.25	
29SEP17	S	1	PD29DEC17	6501.00	6877.33	USD	-9,408.25	
29SEP17	S	1	PD29DEC17	6502.50	6877.33	USD	-9,370.75	
03OCT17	B	30	PD29DEC17	6518.43	6877.33	USD	269,175.00	
	NET	0	AVG BGHT 6531.62	SOLD 6517.39			-49,060.00	0.00
02OCT17	B	1	PD02JAN18	6532.00	6878.67	USD	8,666.75	
02OCT17	B	1	PD02JAN18	6517.50	6878.67	USD	9,029.25	
02OCT17	B	2	PD02JAN18	6525.00	6878.67	USD	17,683.50	
02OCT17	B	2	PD02JAN18	6518.50	6878.67	USD	18,008.50	
02OCT17	B	2	PD02JAN18	6516.50	6878.67	USD	18,108.50	
02OCT17	B	1	PD02JAN18	6520.50	6878.67	USD	8,954.25	
02OCT17	B	12	PD02JAN18	6526.50	6878.67	USD	105,651.00	
02OCT17	B	2	PD02JAN18	6523.50	6878.67	USD	17,758.50	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
02OCT17	B	5	PD02JAN18	6524.50	6878.67	USD	44,271.25	
02OCT17	B	5	PD02JAN18	6526.00	6878.67	USD	44,083.75	
02OCT17	B	5	PD02JAN18	6525.50	6878.67	USD	44,146.25	
02OCT17	B	3	PD02JAN18	6495.00	6878.67	USD	28,775.25	
02OCT17	B	3	PD02JAN18	6493.50	6878.67	USD	28,887.75	
02OCT17	B	3	PD02JAN18	6492.50	6878.67	USD	28,962.75	
02OCT17	B	1	PD02JAN18	6493.00	6878.67	USD	9,641.75	
02OCT17	B	1	PD02JAN18	6496.00	6878.67	USD	9,566.75	
02OCT17	B	1	PD02JAN18	6496.50	6878.67	USD	9,554.25	
02OCT17	B	1	PD02JAN18	6497.00	6878.67	USD	9,541.75	
02OCT17	B	2	PD02JAN18	6498.00	6878.67	USD	19,033.50	
02OCT17	B	2	PD02JAN18	6501.50	6878.67	USD	18,858.50	
02OCT17	B	1	PD02JAN18	6500.00	6878.67	USD	9,466.75	
02OCT17	S	8	PD02JAN18	6499.00	6878.67	USD	-75,934.00	
02OCT17	B	1	PD02JAN18	6525.50	6878.67	USD	8,829.25	
02OCT17	B	1	PD02JAN18	6525.00	6878.67	USD	8,841.75	
02OCT17	S	1	PD02JAN18	6484.50	6878.67	USD	-9,854.25	
02OCT17	S	1	PD02JAN18	6487.00	6878.67	USD	-9,791.75	
02OCT17	S	2	PD02JAN18	6486.00	6878.67	USD	-19,633.50	
02OCT17	S	4	PD02JAN18	6490.00	6878.67	USD	-38,867.00	
02OCT17	S	2	PD02JAN18	6489.00	6878.67	USD	-19,483.50	
02OCT17	B	1	PD02JAN18	6490.50	6878.67	USD	9,704.25	
02OCT17	B	1	PD02JAN18	6486.00	6878.67	USD	9,816.75	
02OCT17	B	9	PD02JAN18	6485.50	6878.67	USD	88,463.25	
02OCT17	B	1	PD02JAN18	6484.50	6878.67	USD	9,854.25	
02OCT17	S	1	PD02JAN18	6465.50	6878.67	USD	-10,329.25	
02OCT17	B	1	PD02JAN18	6470.00	6878.67	USD	10,216.75	
02OCT17	B	1	PD02JAN18	6471.00	6878.67	USD	10,191.75	
02OCT17	S	2	PD02JAN18	6488.00	6878.67	USD	-19,533.50	
02OCT17	S	1	PD02JAN18	6490.50	6878.67	USD	-9,704.25	
02OCT17	S	2	PD02JAN18	6491.50	6878.67	USD	-19,358.50	
02OCT17	S	1	PD02JAN18	6492.50	6878.67	USD	-9,654.25	
02OCT17	S	4	PD02JAN18	6492.00	6878.67	USD	-38,667.00	
02OCT17	S	2	PD02JAN18	6493.00	6878.67	USD	-19,283.50	
02OCT17	S	1	PD02JAN18	6496.00	6878.67	USD	-9,566.75	
02OCT17	B	2	PD02JAN18	6503.00	6878.67	USD	18,783.50	
02OCT17	B	1	PD02JAN18	6500.50	6878.67	USD	9,454.25	
02OCT17	S	1	PD02JAN18	6500.00	6878.67	USD	-9,466.75	
02OCT17	S	1	PD02JAN18	6498.00	6878.67	USD	-9,516.75	
02OCT17	S	1	PD02JAN18	6500.50	6878.67	USD	-9,454.25	
02OCT17	S	8	PD02JAN18	6495.50	6878.67	USD	-76,634.00	
02OCT17	S	2	PD02JAN18	6496.50	6878.67	USD	-19,108.50	
02OCT17	S	1	PD02JAN18	6488.00	6878.67	USD	-9,766.75	
02OCT17	B	1	PD02JAN18	6500.50	6878.67	USD	9,454.25	
	NET	+30 AVG BGHT 6509.06	SOLD 6492.92				258,652.50	0.00
03OCT17	S	1	PD03JAN18	6451.00	6879.00	USD	-10,700.00	
03OCT17	S	2	PD03JAN18	6450.00	6879.00	USD	-21,450.00	
03OCT17	S	2	PD03JAN18	6448.50	6879.00	USD	-21,525.00	
03OCT17	S	1	PD03JAN18	6448.00	6879.00	USD	-10,775.00	
03OCT17	S	4	PD03JAN18	6443.50	6879.00	USD	-43,550.00	
03OCT17	S	1	PD03JAN18	6442.50	6879.00	USD	-10,912.50	
03OCT17	S	1	PD03JAN18	6443.00	6879.00	USD	-10,900.00	
03OCT17	S	1	PD03JAN18	6444.00	6879.00	USD	-10,875.00	
03OCT17	S	1	PD03JAN18	6444.50	6879.00	USD	-10,862.50	
03OCT17	S	1	PD03JAN18	6445.00	6879.00	USD	-10,850.00	
03OCT17	S	1	PD03JAN18	6452.50	6879.00	USD	-10,662.50	
03OCT17	S	4	PD03JAN18	6451.50	6879.00	USD	-42,750.00	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
03OCT17	S	5	PD03JAN18	6453.50	6879.00	USD	-53,187.50	
03OCT17	S	5	PD03JAN18	6454.50	6879.00	USD	-53,062.50	
03OCT17	S	2	PD03JAN18	6455.00	6879.00	USD	-21,200.00	
03OCT17	S	2	PD03JAN18	6455.50	6879.00	USD	-21,175.00	
03OCT17	S	2	PD03JAN18	6457.00	6879.00	USD	-21,100.00	
03OCT17	S	1	PD03JAN18	6458.00	6879.00	USD	-10,525.00	
03OCT17	S	1	PD03JAN18	6459.00	6879.00	USD	-10,500.00	
03OCT17	S	1	PD03JAN18	6468.50	6879.00	USD	-10,262.50	
03OCT17	S	2	PD03JAN18	6467.50	6879.00	USD	-20,575.00	
03OCT17	S	1	PD03JAN18	6467.00	6879.00	USD	-10,300.00	
03OCT17	S	2	PD03JAN18	6463.50	6879.00	USD	-20,775.00	
03OCT17	S	1	PD03JAN18	6462.50	6879.00	USD	-10,412.50	
03OCT17	S	3	PD03JAN18	6464.50	6879.00	USD	-31,087.50	
03OCT17	S	1	PD03JAN18	6464.00	6879.00	USD	-10,375.00	
03OCT17	S	2	PD03JAN18	6457.50	6879.00	USD	-21,075.00	
03OCT17	S	1	PD03JAN18	6460.00	6879.00	USD	-10,475.00	
03OCT17	S	3	PD03JAN18	6469.50	6879.00	USD	-30,712.50	
03OCT17	S	1	PD03JAN18	6463.00	6879.00	USD	-10,400.00	
03OCT17	S	1	PD03JAN18	6465.00	6879.00	USD	-10,350.00	
03OCT17	S	3	PD03JAN18	6468.00	6879.00	USD	-30,825.00	
03OCT17	B	3	PD03JAN18	6485.00	6879.00	USD	29,550.00	
03OCT17	B	1	PD03JAN18	6484.00	6879.00	USD	9,875.00	
03OCT17	B	1	PD03JAN18	6480.50	6879.00	USD	9,962.50	
03OCT17	B	1	PD03JAN18	6481.50	6879.00	USD	9,937.50	
03OCT17	S	1	PD03JAN18	6507.00	6879.00	USD	-9,300.00	
03OCT17	B	2	PD03JAN18	6504.50	6879.00	USD	18,725.00	
03OCT17	B	1	PD03JAN18	6510.00	6879.00	USD	9,225.00	
03OCT17	B	1	PD03JAN18	6508.50	6879.00	USD	9,262.50	
03OCT17	B	1	PD03JAN18	6511.00	6879.00	USD	9,200.00	
03OCT17	B	5	PD03JAN18	6496.50	6879.00	USD	47,812.50	
03OCT17	B	4	PD03JAN18	6496.00	6879.00	USD	38,300.00	
03OCT17	B	11	PD03JAN18	6495.00	6879.00	USD	105,600.00	
03OCT17	B	2	PD03JAN18	6494.00	6879.00	USD	19,250.00	
03OCT17	B	2	PD03JAN18	6490.50	6879.00	USD	19,425.00	
03OCT17	S	1	PD03JAN18	6518.50	6879.00	USD	-9,012.50	
03OCT17	B	2	PD03JAN18	6489.00	6879.00	USD	19,500.00	
03OCT17	B	2	PD03JAN18	6490.00	6879.00	USD	19,450.00	
03OCT17	B	1	PD03JAN18	6495.50	6879.00	USD	9,587.50	
03OCT17	B	1	PD03JAN18	6493.00	6879.00	USD	9,650.00	
03OCT17	B	5	PD03JAN18	6499.50	6879.00	USD	47,437.50	
03OCT17	B	5	PD03JAN18	6511.50	6879.00	USD	45,937.50	
03OCT17	B	2	PD03JAN18	6508.00	6879.00	USD	18,550.00	
03OCT17	B	4	PD03JAN18	6507.00	6879.00	USD	37,200.00	
03OCT17	B	4	PD03JAN18	6529.00	6879.00	USD	35,000.00	
03OCT17	B	2	PD03JAN18	6528.00	6879.00	USD	17,550.00	
03OCT17	B	1	PD03JAN18	6527.00	6879.00	USD	8,800.00	
03OCT17	B	3	PD03JAN18	6526.00	6879.00	USD	26,475.00	
03OCT17	S	1	PD03JAN18	6521.50	6879.00	USD	-8,937.50	
03OCT17	S	1	PD03JAN18	6519.00	6879.00	USD	-9,000.00	
03OCT17	S	1	PD03JAN18	6516.50	6879.00	USD	-9,062.50	
03OCT17	B	1	PD03JAN18	6514.00	6879.00	USD	9,125.00	
03OCT17	B	1	PD03JAN18	6512.00	6879.00	USD	9,175.00	
03OCT17	B	2	PD03JAN18	6513.00	6879.00	USD	18,300.00	
03OCT17	B	1	PD03JAN18	6515.00	6879.00	USD	9,100.00	
03OCT17	S	11	PD03JAN18	6527.00	6879.00	USD	-96,800.00	
03OCT17	S	3	PD03JAN18	6524.50	6879.00	USD	-26,587.50	
03OCT17	S	1	PD03JAN18	6525.50	6879.00	USD	-8,837.50	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
03OCT17	S	1	PD03JAN18	6521.00	6879.00	USD	-8,950.00	
03OCT17	S	1	PD03JAN18	6522.00	6879.00	USD	-8,925.00	
03OCT17	B	1	PD03JAN18	6522.50	6879.00	USD	8,912.50	
03OCT17	S	2	PD03JAN18	6514.00	6879.00	USD	-18,250.00	
03OCT17	S	3	PD03JAN18	6516.00	6879.00	USD	-27,225.00	
03OCT17	S	1	PD03JAN18	6514.50	6879.00	USD	-9,112.50	
06OCT17	B	15	PD03JAN18	6666.03	6879.00	USD	79,863.75	
	NET	0	AVG BGHT 6530.94				-118,448.75	0.00
			SOLD 6477.10					
04OCT17	B	5	PD04JAN18	6522.50	6879.33	USD	44,603.75	
04OCT17	B	1	PD04JAN18	6523.00	6879.33	USD	8,908.25	
04OCT17	B	2	PD04JAN18	6527.50	6879.33	USD	17,591.50	
04OCT17	B	1	PD04JAN18	6528.00	6879.33	USD	8,783.25	
04OCT17	S	1	PD04JAN18	6511.00	6879.33	USD	-9,208.25	
04OCT17	S	3	PD04JAN18	6504.00	6879.33	USD	-28,149.75	
04OCT17	S	1	PD04JAN18	6503.50	6879.33	USD	-9,395.75	
04OCT17	S	3	PD04JAN18	6496.00	6879.33	USD	-28,749.75	
04OCT17	S	2	PD04JAN18	6497.50	6879.33	USD	-19,091.50	
04OCT17	S	6	PD04JAN18	6501.00	6879.33	USD	-56,749.50	
04OCT17	S	7	PD04JAN18	6495.00	6879.33	USD	-67,257.75	
04OCT17	S	1	PD04JAN18	6499.50	6879.33	USD	-9,495.75	
04OCT17	S	3	PD04JAN18	6508.50	6879.33	USD	-27,812.25	
04OCT17	S	5	PD04JAN18	6506.50	6879.33	USD	-46,603.75	
04OCT17	S	2	PD04JAN18	6512.00	6879.33	USD	-18,366.50	
04OCT17	S	3	PD04JAN18	6511.50	6879.33	USD	-27,587.25	
04OCT17	S	3	PD04JAN18	6513.00	6879.33	USD	-27,474.75	
04OCT17	S	7	PD04JAN18	6510.50	6879.33	USD	-64,545.25	
04OCT17	S	1	PD04JAN18	6509.50	6879.33	USD	-9,245.75	
04OCT17	S	2	PD04JAN18	6507.50	6879.33	USD	-18,591.50	
04OCT17	S	3	PD04JAN18	6496.50	6879.33	USD	-28,712.25	
04OCT17	S	11	PD04JAN18	6502.00	6879.33	USD	-103,765.75	
04OCT17	S	8	PD04JAN18	6503.00	6879.33	USD	-75,266.00	
04OCT17	S	4	PD04JAN18	6505.00	6879.33	USD	-37,433.00	
04OCT17	S	1	PD04JAN18	6506.00	6879.33	USD	-9,333.25	
04OCT17	S	1	PD04JAN18	6528.50	6879.33	USD	-8,770.75	
04OCT17	S	5	PD04JAN18	6527.50	6879.33	USD	-43,978.75	
04OCT17	S	3	PD04JAN18	6529.00	6879.33	USD	-26,274.75	
04OCT17	S	1	PD04JAN18	6532.00	6879.33	USD	-8,683.25	
04OCT17	S	1	PD04JAN18	6505.00	6879.33	USD	-9,358.25	
04OCT17	S	7	PD04JAN18	6504.00	6879.33	USD	-65,682.75	
04OCT17	S	1	PD04JAN18	6506.00	6879.33	USD	-9,333.25	
04OCT17	S	1	PD04JAN18	6512.50	6879.33	USD	-9,170.75	
04OCT17	B	1	PD04JAN18	6523.50	6879.33	USD	8,895.75	
04OCT17	B	4	PD04JAN18	6526.00	6879.33	USD	35,333.00	
04OCT17	B	1	PD04JAN18	6525.00	6879.33	USD	8,858.25	
04OCT17	B	3	PD04JAN18	6519.50	6879.33	USD	26,987.25	
04OCT17	B	1	PD04JAN18	6521.00	6879.33	USD	8,958.25	
04OCT17	B	1	PD04JAN18	6516.00	6879.33	USD	9,083.25	
04OCT17	B	1	PD04JAN18	6515.50	6879.33	USD	9,095.75	
04OCT17	B	1	PD04JAN18	6514.50	6879.33	USD	9,120.75	
04OCT17	B	1	PD04JAN18	6525.00	6879.33	USD	8,858.25	
04OCT17	S	1	PD04JAN18	6521.50	6879.33	USD	-8,945.75	
04OCT17	S	1	PD04JAN18	6520.50	6879.33	USD	-8,970.75	
04OCT17	S	2	PD04JAN18	6520.00	6879.33	USD	-17,966.50	
04OCT17	S	2	PD04JAN18	6522.50	6879.33	USD	-17,841.50	
04OCT17	S	5	PD04JAN18	6522.00	6879.33	USD	-44,666.25	
04OCT17	S	2	PD04JAN18	6514.50	6879.33	USD	-18,241.50	
04OCT17	S	1	PD04JAN18	6514.00	6879.33	USD	-9,133.25	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0127A

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
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backoffice@sapiencap.com

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FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
040CT17	S	3	PD04JAN18	6513.50	6879.33	USD	-27,437.25	
040CT17	S	3	PD04JAN18	6515.50	6879.33	USD	-27,287.25	
040CT17	S	1	PD04JAN18	6516.00	6879.33	USD	-9,083.25	
040CT17	S	1	PD04JAN18	6518.00	6879.33	USD	-9,033.25	
040CT17	S	7	PD04JAN18	6517.00	6879.33	USD	-63,407.75	
040CT17	S	7	PD04JAN18	6515.00	6879.33	USD	-63,757.75	
040CT17	S	7	PD04JAN18	6510.00	6879.33	USD	-64,632.75	
040CT17	S	1	PD04JAN18	6520.00	6879.33	USD	-8,983.25	
040CT17	S	2	PD04JAN18	6513.00	6879.33	USD	-18,316.50	
060CT17	B	120	PD04JAN18	6666.37	6879.33	USD	638,880.00	
	NET	0	AVG BGHT 6643.26				-477,835.00	0.00
			SOLD 6509.60					
050CT17	S	1	PD05JAN18	6535.00	6879.67	USD	-8,616.75	
050CT17	S	1	PD05JAN18	6537.00	6879.67	USD	-8,566.75	
050CT17	S	1	PD05JAN18	6541.50	6879.67	USD	-8,454.25	
050CT17	B	3	PD05JAN18	6561.50	6879.67	USD	23,862.75	
050CT17	B	1	PD05JAN18	6559.50	6879.67	USD	8,004.25	
050CT17	B	1	PD05JAN18	6558.00	6879.67	USD	8,041.75	
050CT17	B	1	PD05JAN18	6557.00	6879.67	USD	8,066.75	
050CT17	B	1	PD05JAN18	6550.50	6879.67	USD	8,229.25	
050CT17	B	1	PD05JAN18	6551.00	6879.67	USD	8,216.75	
050CT17	B	1	PD05JAN18	6552.00	6879.67	USD	8,191.75	
050CT17	B	3	PD05JAN18	6552.50	6879.67	USD	24,537.75	
050CT17	B	1	PD05JAN18	6553.00	6879.67	USD	8,166.75	
050CT17	B	4	PD05JAN18	6551.50	6879.67	USD	32,817.00	
050CT17	B	1	PD05JAN18	6554.50	6879.67	USD	8,129.25	
050CT17	B	4	PD05JAN18	6554.00	6879.67	USD	32,567.00	
050CT17	B	1	PD05JAN18	6555.50	6879.67	USD	8,104.25	
050CT17	B	2	PD05JAN18	6559.00	6879.67	USD	16,033.50	
050CT17	B	4	PD05JAN18	6560.00	6879.67	USD	31,967.00	
050CT17	B	1	PD05JAN18	6560.50	6879.67	USD	7,979.25	
050CT17	B	2	PD05JAN18	6556.00	6879.67	USD	16,183.50	
050CT17	B	11	PD05JAN18	6555.00	6879.67	USD	89,284.25	
050CT17	B	5	PD05JAN18	6591.00	6879.67	USD	36,083.75	
050CT17	B	2	PD05JAN18	6590.50	6879.67	USD	14,458.50	
050CT17	B	2	PD05JAN18	6588.50	6879.67	USD	14,558.50	
050CT17	B	1	PD05JAN18	6593.00	6879.67	USD	7,166.75	
050CT17	B	1	PD05JAN18	6606.50	6879.67	USD	6,829.25	
050CT17	B	1	PD05JAN18	6603.00	6879.67	USD	6,916.75	
050CT17	B	1	PD05JAN18	6603.50	6879.67	USD	6,904.25	
050CT17	B	1	PD05JAN18	6607.00	6879.67	USD	6,816.75	
050CT17	B	3	PD05JAN18	6613.50	6879.67	USD	19,962.75	
050CT17	B	2	PD05JAN18	6618.00	6879.67	USD	13,083.50	
050CT17	B	2	PD05JAN18	6619.00	6879.67	USD	13,033.50	
050CT17	B	2	PD05JAN18	6616.00	6879.67	USD	13,183.50	
050CT17	B	6	PD05JAN18	6617.00	6879.67	USD	39,400.50	
050CT17	B	6	PD05JAN18	6620.00	6879.67	USD	38,950.50	
050CT17	B	1	PD05JAN18	6621.00	6879.67	USD	6,466.75	
050CT17	B	1	PD05JAN18	6626.50	6879.67	USD	6,329.25	
050CT17	B	1	PD05JAN18	6632.50	6879.67	USD	6,179.25	
050CT17	B	3	PD05JAN18	6632.00	6879.67	USD	18,575.25	
050CT17	B	2	PD05JAN18	6631.50	6879.67	USD	12,408.50	
050CT17	B	3	PD05JAN18	6633.50	6879.67	USD	18,462.75	
050CT17	B	1	PD05JAN18	6638.00	6879.67	USD	6,041.75	
050CT17	B	1	PD05JAN18	6637.00	6879.67	USD	6,066.75	
050CT17	B	3	PD05JAN18	6640.00	6879.67	USD	17,975.25	
050CT17	B	3	PD05JAN18	6641.00	6879.67	USD	17,900.25	
050CT17	B	1	PD05JAN18	6669.50	6879.67	USD	5,254.25	

OPEN POSITION STATEMENT



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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
05OCT17	B	3	PD05JAN18	6670.00	6879.67	USD	15,725.25	
05OCT17	B	1	PD05JAN18	6670.50	6879.67	USD	5,229.25	
05OCT17	B	2	PD05JAN18	6671.00	6879.67	USD	10,433.50	
05OCT17	B	2	PD05JAN18	6678.50	6879.67	USD	10,058.50	
05OCT17	S	4	PD05JAN18	6680.00	6879.67	USD	-19,967.00	
05OCT17	S	1	PD05JAN18	6678.00	6879.67	USD	-5,041.75	
05OCT17	B	1	PD05JAN18	6690.50	6879.67	USD	4,729.25	
05OCT17	B	1	PD05JAN18	6689.50	6879.67	USD	4,754.25	
05OCT17	B	2	PD05JAN18	6688.50	6879.67	USD	9,558.50	
05OCT17	B	1	PD05JAN18	6687.50	6879.67	USD	4,804.25	
05OCT17	B	2	PD05JAN18	6681.50	6879.67	USD	9,908.50	
05OCT17	B	4	PD05JAN18	6680.50	6879.67	USD	19,917.00	
05OCT17	B	3	PD05JAN18	6682.00	6879.67	USD	14,825.25	
05OCT17	B	2	PD05JAN18	6684.50	6879.67	USD	9,758.50	
05OCT17	B	4	PD05JAN18	6683.50	6879.67	USD	19,617.00	
05OCT17	B	3	PD05JAN18	6684.00	6879.67	USD	14,675.25	
05OCT17	B	5	PD05JAN18	6683.00	6879.67	USD	24,583.75	
05OCT17	B	4	PD05JAN18	6685.00	6879.67	USD	19,467.00	
05OCT17	B	11	PD05JAN18	6679.50	6879.67	USD	55,046.75	
05OCT17	B	1	PD05JAN18	6621.00	6879.67	USD	6,466.75	
05OCT17	B	2	PD05JAN18	6640.00	6879.67	USD	11,983.50	
05OCT17	B	2	PD05JAN18	6642.50	6879.67	USD	11,858.50	
05OCT17	B	1	PD05JAN18	6629.00	6879.67	USD	6,266.75	
05OCT17	S	1	PD05JAN18	6684.50	6879.67	USD	-4,879.25	
05OCT17	S	1	PD05JAN18	6695.50	6879.67	USD	-4,604.25	
05OCT17	S	1	PD05JAN18	6696.00	6879.67	USD	-4,591.75	
05OCT17	S	1	PD05JAN18	6698.00	6879.67	USD	-4,541.75	
05OCT17	S	1	PD05JAN18	6706.00	6879.67	USD	-4,341.75	
05OCT17	B	1	PD05JAN18	6697.50	6879.67	USD	4,554.25	
05OCT17	B	3	PD05JAN18	6698.50	6879.67	USD	13,587.75	
05OCT17	B	1	PD05JAN18	6701.00	6879.67	USD	4,466.75	
05OCT17	B	3	PD05JAN18	6686.00	6879.67	USD	14,525.25	
05OCT17	B	1	PD05JAN18	6674.50	6879.67	USD	5,129.25	
05OCT17	S	2	PD05JAN18	6695.50	6879.67	USD	-9,208.50	
05OCT17	B	1	PD05JAN18	6696.00	6879.67	USD	4,591.75	
06OCT17	S	1	PD05JAN18	6693.00	6879.67	USD	-4,666.75	
06OCT17	S	5	PD05JAN18	6692.50	6879.67	USD	-23,396.25	
06OCT17	S	1	PD05JAN18	6693.50	6879.67	USD	-4,654.25	
06OCT17	S	1	PD05JAN18	6695.50	6879.67	USD	-4,604.25	
06OCT17	S	1	PD05JAN18	6698.50	6879.67	USD	-4,529.25	
06OCT17	S	1	PD05JAN18	6699.50	6879.67	USD	-4,504.25	
06OCT17	S	1	PD05JAN18	6694.00	6879.67	USD	-4,641.75	
06OCT17	S	6	PD05JAN18	6692.00	6879.67	USD	-28,150.50	
06OCT17	S	3	PD05JAN18	6691.00	6879.67	USD	-14,150.25	
06OCT17	B	1	PD05JAN18	6693.50	6879.67	USD	4,654.25	
06OCT17	S	2	PD05JAN18	6701.50	6879.67	USD	-8,908.50	
06OCT17	S	1	PD05JAN18	6701.00	6879.67	USD	-4,466.75	
06OCT17	S	2	PD05JAN18	6710.50	6879.67	USD	-8,458.50	
06OCT17	S	3	PD05JAN18	6713.00	6879.67	USD	-12,500.25	
06OCT17	S	1	PD05JAN18	6715.00	6879.67	USD	-4,116.75	
06OCT17	S	4	PD05JAN18	6712.00	6879.67	USD	-16,767.00	
06OCT17	S	1	PD05JAN18	6707.50	6879.67	USD	-4,304.25	
06OCT17	S	1	PD05JAN18	6705.00	6879.67	USD	-4,366.75	
06OCT17	S	1	PD05JAN18	6700.50	6879.67	USD	-4,479.25	
06OCT17	S	1	PD05JAN18	6719.00	6879.67	USD	-4,016.75	
06OCT17	S	1	PD05JAN18	6716.50	6879.67	USD	-4,079.25	
06OCT17	S	1	PD05JAN18	6708.00	6879.67	USD	-4,291.75	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
06OCT17	S	1	PD05JAN18	6707.00	6879.67	USD	-4,316.75	
06OCT17	S	3	PD05JAN18	6706.50	6879.67	USD	-12,987.75	
06OCT17	S	1	PD05JAN18	6704.50	6879.67	USD	-4,379.25	
06OCT17	S	2	PD05JAN18	6704.00	6879.67	USD	-8,783.50	
06OCT17	S	4	PD05JAN18	6702.50	6879.67	USD	-17,717.00	
06OCT17	S	1	PD05JAN18	6703.00	6879.67	USD	-4,416.75	
06OCT17	B	1	PD05JAN18	6715.50	6879.67	USD	4,104.25	
06OCT17	B	1	PD05JAN18	6711.00	6879.67	USD	4,216.75	
06OCT17	B	3	PD05JAN18	6707.00	6879.67	USD	12,950.25	
06OCT17	B	4	PD05JAN18	6701.00	6879.67	USD	17,867.00	
06OCT17	B	1	PD05JAN18	6700.00	6879.67	USD	4,491.75	
06OCT17	B	1	PD05JAN18	6699.00	6879.67	USD	4,516.75	
06OCT17	B	4	PD05JAN18	6696.50	6879.67	USD	18,317.00	
06OCT17	B	1	PD05JAN18	6696.00	6879.67	USD	4,591.75	
06OCT17	B	2	PD05JAN18	6698.00	6879.67	USD	9,083.50	
06OCT17	B	2	PD05JAN18	6697.00	6879.67	USD	9,133.50	
06OCT17	B	3	PD05JAN18	6698.50	6879.67	USD	13,587.75	
06OCT17	B	2	PD05JAN18	6689.50	6879.67	USD	9,508.50	
06OCT17	B	1	PD05JAN18	6690.50	6879.67	USD	4,729.25	
06OCT17	B	1	PD05JAN18	6689.00	6879.67	USD	4,766.75	
06OCT17	B	2	PD05JAN18	6688.50	6879.67	USD	9,558.50	
06OCT17	B	2	PD05JAN18	6688.00	6879.67	USD	9,583.50	
06OCT17	B	3	PD05JAN18	6687.00	6879.67	USD	14,450.25	
06OCT17	B	1	PD05JAN18	6683.50	6879.67	USD	4,904.25	
06OCT17	B	2	PD05JAN18	6684.50	6879.67	USD	9,758.50	
06OCT17	S	1	PD05JAN18	6694.00	6879.67	USD	-4,641.75	
06OCT17	S	1	PD05JAN18	6713.50	6879.67	USD	-4,154.25	
06OCT17	S	1	PD05JAN18	6714.50	6879.67	USD	-4,129.25	
06OCT17	B	1	PD05JAN18	6688.50	6879.67	USD	4,779.25	
06OCT17	S	1	PD05JAN18	6670.50	6879.67	USD	-5,229.25	
06OCT17	S	1	PD05JAN18	6667.50	6879.67	USD	-5,304.25	
06OCT17	S	4	PD05JAN18	6668.50	6879.67	USD	-21,117.00	
06OCT17	S	2	PD05JAN18	6661.00	6879.67	USD	-10,933.50	
06OCT17	S	2	PD05JAN18	6662.50	6879.67	USD	-10,858.50	
06OCT17	S	1	PD05JAN18	6663.50	6879.67	USD	-5,404.25	
06OCT17	S	2	PD05JAN18	6659.50	6879.67	USD	-11,008.50	
06OCT17	S	4	PD05JAN18	6661.50	6879.67	USD	-21,817.00	
06OCT17	S	11	PD05JAN18	6660.50	6879.67	USD	-60,271.75	
06OCT17	S	3	PD05JAN18	6664.00	6879.67	USD	-16,175.25	
06OCT17	S	2	PD05JAN18	6658.50	6879.67	USD	-11,058.50	
06OCT17	S	3	PD05JAN18	6660.00	6879.67	USD	-16,475.25	
06OCT17	S	1	PD05JAN18	6667.00	6879.67	USD	-5,316.75	
06OCT17	S	4	PD05JAN18	6665.00	6879.67	USD	-21,467.00	
06OCT17	S	2	PD05JAN18	6666.00	6879.67	USD	-10,683.50	
06OCT17	S	16	PD05JAN18	6664.50	6879.67	USD	-86,068.00	
06OCT17	S	5	PD05JAN18	6658.00	6879.67	USD	-27,708.75	
06OCT17	S	5	PD05JAN18	6657.00	6879.67	USD	-27,833.75	
06OCT17	S	1	PD05JAN18	6653.50	6879.67	USD	-5,654.25	
06OCT17	B	1	PD05JAN18	6654.50	6879.67	USD	5,629.25	
06OCT17	B	1	PD05JAN18	6654.00	6879.67	USD	5,641.75	
06OCT17	S	1	PD05JAN18	6654.50	6879.67	USD	-5,629.25	
06OCT17	S	7	PD05JAN18	6653.00	6879.67	USD	-39,667.25	
06OCT17	S	9	PD05JAN18	6654.00	6879.67	USD	-50,775.75	
06OCT17	S	2	PD05JAN18	6656.00	6879.67	USD	-11,183.50	
06OCT17	S	4	PD05JAN18	6655.00	6879.67	USD	-22,467.00	
06OCT17	S	1	PD05JAN18	6657.50	6879.67	USD	-5,554.25	
06OCT17	S	3	PD05JAN18	6659.00	6879.67	USD	-16,550.25	

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backoffice@sapiencap.com

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FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
06OCT17	S	7	PD05JAN18	6655.00	6879.67	USD	-39,317.25	
06OCT17	S	1	PD05JAN18	6660.00	6879.67	USD	-5,491.75	
06OCT17	S	1	PD05JAN18	6654.00	6879.67	USD	-5,641.75	
06OCT17	S	1	PD05JAN18	6657.50	6879.67	USD	-5,554.25	
	NET	+30 AVG BGHT 6639.92	SOLD 6672.71				324,127.50	0.00
09OCT17	S	1	PD09JAN18	6674.50	6881.00	USD	-5,162.50	
09OCT17	S	1	PD09JAN18	6673.00	6881.00	USD	-5,200.00	
09OCT17	S	1	PD09JAN18	6655.50	6881.00	USD	-5,637.50	
09OCT17	S	2	PD09JAN18	6660.00	6881.00	USD	-11,050.00	
09OCT17	S	5	PD09JAN18	6658.00	6881.00	USD	-27,875.00	
09OCT17	S	1	PD09JAN18	6663.50	6881.00	USD	-5,437.50	
09OCT17	S	2	PD09JAN18	6678.00	6881.00	USD	-10,150.00	
09OCT17	S	12	PD09JAN18	6656.50	6881.00	USD	-67,350.00	
09OCT17	S	3	PD09JAN18	6675.00	6881.00	USD	-15,450.00	
09OCT17	S	2	PD09JAN18	6662.00	6881.00	USD	-10,950.00	
09OCT17	S	4	PD09JAN18	6679.00	6881.00	USD	-20,200.00	
09OCT17	S	1	PD09JAN18	6680.00	6881.00	USD	-5,025.00	
09OCT17	S	5	PD09JAN18	6678.50	6881.00	USD	-25,312.50	
09OCT17	S	6	PD09JAN18	6677.50	6881.00	USD	-30,525.00	
09OCT17	S	1	PD09JAN18	6679.50	6881.00	USD	-5,037.50	
09OCT17	S	2	PD09JAN18	6658.50	6881.00	USD	-11,125.00	
09OCT17	S	5	PD09JAN18	6677.00	6881.00	USD	-25,500.00	
09OCT17	S	10	PD09JAN18	6676.50	6881.00	USD	-51,125.00	
09OCT17	S	1	PD09JAN18	6669.50	6881.00	USD	-5,287.50	
09OCT17	S	3	PD09JAN18	6669.00	6881.00	USD	-15,900.00	
09OCT17	S	1	PD09JAN18	6668.50	6881.00	USD	-5,312.50	
09OCT17	S	1	PD09JAN18	6670.50	6881.00	USD	-5,262.50	
09OCT17	S	4	PD09JAN18	6671.50	6881.00	USD	-20,950.00	
09OCT17	S	8	PD09JAN18	6675.50	6881.00	USD	-41,100.00	
09OCT17	S	1	PD09JAN18	6671.00	6881.00	USD	-5,250.00	
09OCT17	S	2	PD09JAN18	6670.00	6881.00	USD	-10,550.00	
09OCT17	S	5	PD09JAN18	6668.00	6881.00	USD	-26,625.00	
09OCT17	S	1	PD09JAN18	6665.00	6881.00	USD	-5,400.00	
09OCT17	S	4	PD09JAN18	6673.50	6881.00	USD	-20,750.00	
09OCT17	S	1	PD09JAN18	6672.50	6881.00	USD	-5,212.50	
09OCT17	S	1	PD09JAN18	6672.00	6881.00	USD	-5,225.00	
09OCT17	S	1	PD09JAN18	6654.50	6881.00	USD	-5,662.50	
09OCT17	B	1	PD09JAN18	6656.00	6881.00	USD	5,625.00	
09OCT17	B	2	PD09JAN18	6659.00	6881.00	USD	11,100.00	
09OCT17	B	2	PD09JAN18	6660.00	6881.00	USD	11,050.00	
09OCT17	B	1	PD09JAN18	6658.50	6881.00	USD	5,562.50	
09OCT17	B	1	PD09JAN18	6667.50	6881.00	USD	5,337.50	
09OCT17	S	7	PD09JAN18	6657.00	6881.00	USD	-39,200.00	
09OCT17	S	3	PD09JAN18	6657.50	6881.00	USD	-16,762.50	
09OCT17	S	7	PD09JAN18	6656.00	6881.00	USD	-39,375.00	
09OCT17	S	1	PD09JAN18	6653.00	6881.00	USD	-5,700.00	
09OCT17	S	2	PD09JAN18	6654.00	6881.00	USD	-11,350.00	
09OCT17	S	1	PD09JAN18	6644.50	6881.00	USD	-5,912.50	
09OCT17	S	2	PD09JAN18	6643.00	6881.00	USD	-11,900.00	
09OCT17	S	2	PD09JAN18	6648.00	6881.00	USD	-11,650.00	
09OCT17	S	3	PD09JAN18	6648.50	6881.00	USD	-17,437.50	
09OCT17	S	2	PD09JAN18	6645.50	6881.00	USD	-11,775.00	
09OCT17	S	6	PD09JAN18	6647.50	6881.00	USD	-35,025.00	
09OCT17	S	4	PD09JAN18	6646.50	6881.00	USD	-23,450.00	
09OCT17	B	1	PD09JAN18	6650.50	6881.00	USD	5,762.50	
09OCT17	B	1	PD09JAN18	6651.50	6881.00	USD	5,737.50	
09OCT17	B	3	PD09JAN18	6652.50	6881.00	USD	17,137.50	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0127A

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
09OCT17	S	1	PD09JAN18	6660.50	6881.00	USD	-5,512.50	
09OCT17	B	1	PD09JAN18	6669.00	6881.00	USD	5,300.00	
09OCT17	B	7	PD09JAN18	6668.00	6881.00	USD	37,275.00	
09OCT17	B	2	PD09JAN18	6675.50	6881.00	USD	10,275.00	
09OCT17	B	1	PD09JAN18	6675.00	6881.00	USD	5,150.00	
09OCT17	B	6	PD09JAN18	6674.50	6881.00	USD	30,975.00	
09OCT17	B	5	PD09JAN18	6673.50	6881.00	USD	25,937.50	
09OCT17	B	2	PD09JAN18	6674.00	6881.00	USD	10,350.00	
09OCT17	S	9	PD09JAN18	6676.00	6881.00	USD	-46,125.00	
09OCT17	B	2	PD09JAN18	6673.50	6881.00	USD	10,375.00	
	NET	-110 AVG BGHT 6667.37	SOLD 6665.39				-594,825.00	0.00
10OCT17	S	1	PD10JAN18	6704.50	6881.33	USD	-4,420.75	
10OCT17	S	1	PD10JAN18	6710.00	6881.33	USD	-4,283.25	
10OCT17	S	4	PD10JAN18	6705.50	6881.33	USD	-17,583.00	
10OCT17	S	2	PD10JAN18	6710.50	6881.33	USD	-8,541.50	
10OCT17	S	3	PD10JAN18	6709.50	6881.33	USD	-12,887.25	
10OCT17	S	2	PD10JAN18	6704.00	6881.33	USD	-8,866.50	
10OCT17	S	3	PD10JAN18	6705.00	6881.33	USD	-13,224.75	
10OCT17	S	5	PD10JAN18	6706.00	6881.33	USD	-21,916.25	
10OCT17	S	2	PD10JAN18	6707.00	6881.33	USD	-8,716.50	
10OCT17	S	2	PD10JAN18	6687.00	6881.33	USD	-9,716.50	
10OCT17	S	2	PD10JAN18	6692.50	6881.33	USD	-9,441.50	
10OCT17	B	1	PD10JAN18	6684.50	6881.33	USD	4,920.75	
10OCT17	B	1	PD10JAN18	6679.00	6881.33	USD	5,058.25	
10OCT17	B	1	PD10JAN18	6682.00	6881.33	USD	4,983.25	
10OCT17	B	1	PD10JAN18	6684.00	6881.33	USD	4,933.25	
10OCT17	B	1	PD10JAN18	6683.00	6881.33	USD	4,958.25	
10OCT17	B	1	PD10JAN18	6687.50	6881.33	USD	4,845.75	
10OCT17	B	2	PD10JAN18	6688.50	6881.33	USD	9,641.50	
10OCT17	B	6	PD10JAN18	6689.00	6881.33	USD	28,849.50	
10OCT17	B	1	PD10JAN18	6690.50	6881.33	USD	4,770.75	
10OCT17	B	1	PD10JAN18	6690.00	6881.33	USD	4,783.25	
10OCT17	B	1	PD10JAN18	6688.00	6881.33	USD	4,833.25	
10OCT17	B	5	PD10JAN18	6696.00	6881.33	USD	23,166.25	
10OCT17	B	2	PD10JAN18	6694.00	6881.33	USD	9,366.50	
10OCT17	B	2	PD10JAN18	6692.00	6881.33	USD	9,466.50	
10OCT17	B	2	PD10JAN18	6693.00	6881.33	USD	9,416.50	
10OCT17	B	2	PD10JAN18	6697.50	6881.33	USD	9,191.50	
10OCT17	B	5	PD10JAN18	6697.00	6881.33	USD	23,041.25	
10OCT17	B	2	PD10JAN18	6696.50	6881.33	USD	9,241.50	
10OCT17	S	1	PD10JAN18	6707.50	6881.33	USD	-4,345.75	
10OCT17	B	4	PD10JAN18	6705.00	6881.33	USD	17,633.00	
10OCT17	B	2	PD10JAN18	6704.50	6881.33	USD	8,841.50	
10OCT17	B	10	PD10JAN18	6704.00	6881.33	USD	44,332.50	
10OCT17	B	1	PD10JAN18	6702.50	6881.33	USD	4,470.75	
10OCT17	S	1	PD10JAN18	6696.00	6881.33	USD	-4,633.25	
10OCT17	S	1	PD10JAN18	6698.00	6881.33	USD	-4,583.25	
10OCT17	S	1	PD10JAN18	6686.00	6881.33	USD	-4,883.25	
10OCT17	S	11	PD10JAN18	6687.50	6881.33	USD	-53,303.25	
10OCT17	S	2	PD10JAN18	6688.50	6881.33	USD	-9,641.50	
10OCT17	S	13	PD10JAN18	6686.50	6881.33	USD	-63,319.75	
10OCT17	S	3	PD10JAN18	6685.50	6881.33	USD	-14,687.25	
10OCT17	S	1	PD10JAN18	6682.50	6881.33	USD	-4,970.75	
10OCT17	S	2	PD10JAN18	6682.00	6881.33	USD	-9,966.50	
10OCT17	S	4	PD10JAN18	6683.50	6881.33	USD	-19,783.00	
10OCT17	S	2	PD10JAN18	6684.50	6881.33	USD	-9,841.50	
10OCT17	S	4	PD10JAN18	6688.00	6881.33	USD	-19,333.00	

OPEN POSITION STATEMENT



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SAP0127A

13th October 2017

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
10OCT17	S	2	PD10JAN18	6689.00	6881.33	USD	-9,616.50	
10OCT17	S	1	PD10JAN18	6690.00	6881.33	USD	-4,783.25	
10OCT17	S	4	PD10JAN18	6695.00	6881.33	USD	-18,633.00	
10OCT17	S	3	PD10JAN18	6692.00	6881.33	USD	-14,199.75	
10OCT17	S	5	PD10JAN18	6691.00	6881.33	USD	-23,791.25	
10OCT17	S	13	PD10JAN18	6697.00	6881.33	USD	-59,907.25	
10OCT17	S	1	PD10JAN18	6695.50	6881.33	USD	-4,645.75	
10OCT17	S	3	PD10JAN18	6691.50	6881.33	USD	-14,237.25	
10OCT17	S	6	PD10JAN18	6693.00	6881.33	USD	-28,249.50	
10OCT17	S	3	PD10JAN18	6694.00	6881.33	USD	-14,049.75	
10OCT17	S	8	PD10JAN18	6693.50	6881.33	USD	-37,566.00	
10OCT17	S	6	PD10JAN18	6694.50	6881.33	USD	-28,024.50	
10OCT17	S	1	PD10JAN18	6701.50	6881.33	USD	-4,495.75	
10OCT17	S	4	PD10JAN18	6700.50	6881.33	USD	-18,083.00	
10OCT17	S	7	PD10JAN18	6703.00	6881.33	USD	-31,207.75	
10OCT17	S	2	PD10JAN18	6702.50	6881.33	USD	-8,941.50	
10OCT17	B	1	PD10JAN18	6700.00	6881.33	USD	4,533.25	
10OCT17	B	1	PD10JAN18	6700.50	6881.33	USD	4,520.75	
10OCT17	B	1	PD10JAN18	6699.00	6881.33	USD	4,558.25	
10OCT17	S	4	PD10JAN18	6696.50	6881.33	USD	-18,483.00	
10OCT17	S	5	PD10JAN18	6697.50	6881.33	USD	-22,978.75	
10OCT17	B	1	PD10JAN18	6728.00	6881.33	USD	3,833.25	
10OCT17	B	5	PD10JAN18	6727.50	6881.33	USD	19,228.75	
10OCT17	B	1	PD10JAN18	6726.50	6881.33	USD	3,870.75	
10OCT17	B	4	PD10JAN18	6725.00	6881.33	USD	15,633.00	
10OCT17	B	1	PD10JAN18	6727.00	6881.33	USD	3,858.25	
10OCT17	B	9	PD10JAN18	6728.50	6881.33	USD	34,386.75	
10OCT17	B	2	PD10JAN18	6740.00	6881.33	USD	7,066.50	
10OCT17	B	1	PD10JAN18	6736.50	6881.33	USD	3,620.75	
10OCT17	B	2	PD10JAN18	6739.00	6881.33	USD	7,116.50	
10OCT17	B	1	PD10JAN18	6738.00	6881.33	USD	3,583.25	
10OCT17	B	3	PD10JAN18	6737.00	6881.33	USD	10,824.75	
10OCT17	B	3	PD10JAN18	6738.50	6881.33	USD	10,712.25	
10OCT17	B	6	PD10JAN18	6741.50	6881.33	USD	20,974.50	
10OCT17	B	5	PD10JAN18	6740.50	6881.33	USD	17,603.75	
10OCT17	B	3	PD10JAN18	6741.00	6881.33	USD	10,524.75	
10OCT17	B	11	PD10JAN18	6739.50	6881.33	USD	39,003.25	
10OCT17	B	3	PD10JAN18	6737.50	6881.33	USD	10,787.25	
10OCT17	B	2	PD10JAN18	6693.50	6881.33	USD	9,391.50	
10OCT17	B	2	PD10JAN18	6704.00	6881.33	USD	8,866.50	
10OCT17	B	1	PD10JAN18	6747.50	6881.33	USD	3,345.75	
10OCT17	B	1	PD10JAN18	6754.50	6881.33	USD	3,170.75	
10OCT17	B	1	PD10JAN18	6751.00	6881.33	USD	3,258.25	
10OCT17	B	2	PD10JAN18	6752.00	6881.33	USD	6,466.50	
10OCT17	B	1	PD10JAN18	6758.50	6881.33	USD	3,070.75	
10OCT17	B	2	PD10JAN18	6754.00	6881.33	USD	6,366.50	
10OCT17	B	5	PD10JAN18	6751.50	6881.33	USD	16,228.75	
10OCT17	B	1	PD10JAN18	6750.50	6881.33	USD	3,270.75	
10OCT17	B	2	PD10JAN18	6749.50	6881.33	USD	6,591.50	
10OCT17	B	1	PD10JAN18	6748.50	6881.33	USD	3,320.75	
10OCT17	B	1	PD10JAN18	6749.00	6881.33	USD	3,308.25	
10OCT17	B	1	PD10JAN18	6747.00	6881.33	USD	3,358.25	
10OCT17	S	2	PD10JAN18	6746.50	6881.33	USD	-6,741.50	
10OCT17	S	6	PD10JAN18	6746.00	6881.33	USD	-20,299.50	
10OCT17	S	1	PD10JAN18	6743.50	6881.33	USD	-3,445.75	
10OCT17	S	1	PD10JAN18	6739.50	6881.33	USD	-3,545.75	
10OCT17	S	1	PD10JAN18	6742.00	6881.33	USD	-3,483.25	

OPEN POSITION STATEMENT



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SAP0127A

13th October 2017

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
10OCT17	S	6	PD10JAN18	6741.50	6881.33	USD	-20,974.50	
10OCT17	S	3	PD10JAN18	6740.50	6881.33	USD	-10,562.25	
10OCT17	S	1	PD10JAN18	6741.00	6881.33	USD	-3,508.25	
10OCT17	S	1	PD10JAN18	6743.00	6881.33	USD	-3,458.25	
10OCT17	S	1	PD10JAN18	6744.00	6881.33	USD	-3,433.25	
10OCT17	S	2	PD10JAN18	6748.00	6881.33	USD	-6,666.50	
10OCT17	S	1	PD10JAN18	6749.50	6881.33	USD	-3,295.75	
10OCT17	S	1	PD10JAN18	6747.50	6881.33	USD	-3,345.75	
10OCT17	S	12	PD10JAN18	6747.00	6881.33	USD	-40,299.00	
10OCT17	S	2	PD10JAN18	6750.50	6881.33	USD	-6,541.50	
10OCT17	S	1	PD10JAN18	6753.50	6881.33	USD	-3,195.75	
10OCT17	S	1	PD10JAN18	6751.00	6881.33	USD	-3,258.25	
10OCT17	S	7	PD10JAN18	6752.00	6881.33	USD	-22,632.75	
10OCT17	S	4	PD10JAN18	6751.50	6881.33	USD	-12,983.00	
10OCT17	S	1	PD10JAN18	6753.00	6881.33	USD	-3,208.25	
	NET	-65 AVG BGHT 6720.48	SOLD 6708.58				-322,661.25	0.00
11OCT17	S	1	PD11JAN18	6729.00	6881.67	USD	-3,816.75	
11OCT17	B	1	PD11JAN18	6735.50	6881.67	USD	3,654.25	
11OCT17	B	2	PD11JAN18	6741.00	6881.67	USD	7,033.50	
11OCT17	B	2	PD11JAN18	6740.00	6881.67	USD	7,083.50	
11OCT17	B	5	PD11JAN18	6739.50	6881.67	USD	17,771.25	
11OCT17	B	5	PD11JAN18	6732.50	6881.67	USD	18,646.25	
11OCT17	B	2	PD11JAN18	6734.50	6881.67	USD	7,358.50	
11OCT17	B	3	PD11JAN18	6730.50	6881.67	USD	11,337.75	
11OCT17	B	5	PD11JAN18	6731.50	6881.67	USD	18,771.25	
11OCT17	B	2	PD11JAN18	6731.00	6881.67	USD	7,533.50	
11OCT17	B	1	PD11JAN18	6729.50	6881.67	USD	3,804.25	
11OCT17	B	1	PD11JAN18	6729.00	6881.67	USD	3,816.75	
11OCT17	B	1	PD11JAN18	6730.00	6881.67	USD	3,791.75	
11OCT17	B	4	PD11JAN18	6725.50	6881.67	USD	15,617.00	
11OCT17	B	6	PD11JAN18	6726.00	6881.67	USD	23,350.50	
11OCT17	S	3	PD11JAN18	6732.00	6881.67	USD	-11,225.25	
11OCT17	S	1	PD11JAN18	6739.00	6881.67	USD	-3,566.75	
11OCT17	S	1	PD11JAN18	6751.00	6881.67	USD	-3,266.75	
11OCT17	S	1	PD11JAN18	6752.50	6881.67	USD	-3,229.25	
11OCT17	S	16	PD11JAN18	6750.00	6881.67	USD	-52,668.00	
11OCT17	S	3	PD11JAN18	6749.00	6881.67	USD	-9,950.25	
11OCT17	S	2	PD11JAN18	6749.50	6881.67	USD	-6,608.50	
11OCT17	S	6	PD11JAN18	6750.50	6881.67	USD	-19,675.50	
11OCT17	S	3	PD11JAN18	6751.50	6881.67	USD	-9,762.75	
11OCT17	S	3	PD11JAN18	6752.00	6881.67	USD	-9,725.25	
11OCT17	S	2	PD11JAN18	6753.00	6881.67	USD	-6,433.50	
11OCT17	S	4	PD11JAN18	6754.00	6881.67	USD	-12,767.00	
11OCT17	S	1	PD11JAN18	6755.00	6881.67	USD	-3,166.75	
11OCT17	B	2	PD11JAN18	6755.00	6881.67	USD	6,333.50	
11OCT17	S	1	PD11JAN18	6757.00	6881.67	USD	-3,116.75	
11OCT17	S	2	PD11JAN18	6759.50	6881.67	USD	-6,108.50	
11OCT17	S	2	PD11JAN18	6760.50	6881.67	USD	-6,058.50	
11OCT17	S	2	PD11JAN18	6732.50	6881.67	USD	-7,458.50	
11OCT17	S	5	PD11JAN18	6734.50	6881.67	USD	-18,396.25	
11OCT17	B	4	PD11JAN18	6733.50	6881.67	USD	14,817.00	
11OCT17	B	6	PD11JAN18	6732.00	6881.67	USD	22,450.50	
11OCT17	B	2	PD11JAN18	6734.00	6881.67	USD	7,383.50	
11OCT17	B	13	PD11JAN18	6735.00	6881.67	USD	47,667.75	
11OCT17	B	1	PD11JAN18	6738.50	6881.67	USD	3,579.25	
11OCT17	B	1	PD11JAN18	6737.00	6881.67	USD	3,616.75	
11OCT17	S	1	PD11JAN18	6729.00	6881.67	USD	-3,816.75	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0127A

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

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FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
11OCT17	S	1	PD11JAN18	6737.00	6881.67	USD	-3,616.75	
11OCT17	S	1	PD11JAN18	6734.50	6881.67	USD	-3,679.25	
11OCT17	B	2	PD11JAN18	6745.00	6881.67	USD	6,833.50	
11OCT17	S	3	PD11JAN18	6748.00	6881.67	USD	-10,025.25	
11OCT17	S	4	PD11JAN18	6745.50	6881.67	USD	-13,617.00	
11OCT17	S	3	PD11JAN18	6742.50	6881.67	USD	-10,437.75	
11OCT17	S	2	PD11JAN18	6741.50	6881.67	USD	-7,008.50	
11OCT17	S	1	PD11JAN18	6743.00	6881.67	USD	-3,466.75	
11OCT17	S	7	PD11JAN18	6738.00	6881.67	USD	-25,142.25	
11OCT17	S	1	PD11JAN18	6737.50	6881.67	USD	-3,604.25	
11OCT17	S	4	PD11JAN18	6737.00	6881.67	USD	-14,467.00	
11OCT17	S	3	PD11JAN18	6738.50	6881.67	USD	-10,737.75	
11OCT17	S	3	PD11JAN18	6739.50	6881.67	USD	-10,662.75	
11OCT17	S	5	PD11JAN18	6740.50	6881.67	USD	-17,646.25	
11OCT17	B	5	PD11JAN18	6733.00	6881.67	USD	18,583.75	
11OCT17	B	8	PD11JAN18	6737.50	6881.67	USD	28,834.00	
11OCT17	B	2	PD11JAN18	6738.00	6881.67	USD	7,183.50	
11OCT17	B	9	PD11JAN18	6739.00	6881.67	USD	32,100.75	
11OCT17	B	1	PD11JAN18	6744.00	6881.67	USD	3,441.75	
11OCT17	B	1	PD11JAN18	6764.00	6881.67	USD	2,941.75	
11OCT17	B	2	PD11JAN18	6769.00	6881.67	USD	5,633.50	
11OCT17	B	2	PD11JAN18	6769.50	6881.67	USD	5,608.50	
11OCT17	B	1	PD11JAN18	6767.00	6881.67	USD	2,866.75	
11OCT17	B	2	PD11JAN18	6791.00	6881.67	USD	4,533.50	
11OCT17	B	3	PD11JAN18	6789.50	6881.67	USD	6,912.75	
11OCT17	B	6	PD11JAN18	6792.50	6881.67	USD	13,375.50	
11OCT17	B	13	PD11JAN18	6791.50	6881.67	USD	29,305.25	
11OCT17	B	8	PD11JAN18	6790.50	6881.67	USD	18,234.00	
11OCT17	B	7	PD11JAN18	6792.00	6881.67	USD	15,692.25	
11OCT17	B	2	PD11JAN18	6793.00	6881.67	USD	4,433.50	
11OCT17	B	3	PD11JAN18	6793.50	6881.67	USD	6,612.75	
11OCT17	B	3	PD11JAN18	6795.00	6881.67	USD	6,500.25	
11OCT17	B	1	PD11JAN18	6786.50	6881.67	USD	2,379.25	
11OCT17	B	1	PD11JAN18	6788.00	6881.67	USD	2,341.75	
11OCT17	B	2	PD11JAN18	6789.00	6881.67	USD	4,633.50	
11OCT17	B	6	PD11JAN18	6788.50	6881.67	USD	13,975.50	
11OCT17	B	5	PD11JAN18	6785.50	6881.67	USD	12,021.25	
11OCT17	B	2	PD11JAN18	6784.50	6881.67	USD	4,858.50	
11OCT17	B	4	PD11JAN18	6783.50	6881.67	USD	9,817.00	
11OCT17	B	1	PD11JAN18	6775.50	6881.67	USD	2,654.25	
11OCT17	B	1	PD11JAN18	6776.50	6881.67	USD	2,629.25	
11OCT17	B	1	PD11JAN18	6772.00	6881.67	USD	2,741.75	
11OCT17	B	4	PD11JAN18	6766.50	6881.67	USD	11,517.00	
11OCT17	B	5	PD11JAN18	6766.00	6881.67	USD	14,458.75	
11OCT17	B	1	PD11JAN18	6764.50	6881.67	USD	2,929.25	
11OCT17	B	2	PD11JAN18	6768.50	6881.67	USD	5,658.50	
11OCT17	B	6	PD11JAN18	6767.50	6881.67	USD	17,125.50	
11OCT17	B	2	PD11JAN18	6765.50	6881.67	USD	5,808.50	
11OCT17	B	7	PD11JAN18	6768.00	6881.67	USD	19,892.25	
11OCT17	B	3	PD11JAN18	6770.50	6881.67	USD	8,337.75	
11OCT17	B	1	PD11JAN18	6771.00	6881.67	USD	2,766.75	
11OCT17	B	2	PD11JAN18	6773.00	6881.67	USD	5,433.50	
11OCT17	B	4	PD11JAN18	6774.00	6881.67	USD	10,767.00	
11OCT17	B	1	PD11JAN18	6776.00	6881.67	USD	2,641.75	
11OCT17	B	3	PD11JAN18	6777.00	6881.67	USD	7,850.25	
11OCT17	B	1	PD11JAN18	6777.50	6881.67	USD	2,604.25	
11OCT17	S	1	PD11JAN18	6769.00	6881.67	USD	-2,816.75	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
11OCT17	B	1	PD11JAN18	6791.50	6881.67	USD	2,254.25	
11OCT17	B	1	PD11JAN18	6766.50	6881.67	USD	2,879.25	
11OCT17	B	1	PD11JAN18	6732.50	6881.67	USD	3,729.25	
11OCT17	B	1	PD11JAN18	6732.00	6881.67	USD	3,741.75	
11OCT17	B	1	PD11JAN18	6786.50	6881.67	USD	2,379.25	
11OCT17	B	1	PD11JAN18	6773.00	6881.67	USD	2,716.75	
11OCT17	B	1	PD11JAN18	6781.00	6881.67	USD	2,516.75	
11OCT17	B	3	PD11JAN18	6782.00	6881.67	USD	7,475.25	
11OCT17	B	1	PD11JAN18	6782.50	6881.67	USD	2,479.25	
11OCT17	B	2	PD11JAN18	6783.00	6881.67	USD	4,933.50	
11OCT17	B	5	PD11JAN18	6784.00	6881.67	USD	12,208.75	
11OCT17	B	2	PD11JAN18	6787.50	6881.67	USD	4,708.50	
11OCT17	B	2	PD11JAN18	6787.00	6881.67	USD	4,733.50	
11OCT17	B	1	PD11JAN18	6797.50	6881.67	USD	2,104.25	
11OCT17	S	1	PD11JAN18	6798.50	6881.67	USD	-2,079.25	
11OCT17	S	1	PD11JAN18	6802.00	6881.67	USD	-1,991.75	
11OCT17	B	11	PD11JAN18	6809.50	6881.67	USD	19,846.75	
11OCT17	B	3	PD11JAN18	6809.00	6881.67	USD	5,450.25	
11OCT17	B	14	PD11JAN18	6807.00	6881.67	USD	26,134.50	
11OCT17	B	15	PD11JAN18	6810.00	6881.67	USD	26,876.25	
11OCT17	B	2	PD11JAN18	6810.50	6881.67	USD	3,558.50	
11OCT17	B	2	PD11JAN18	6813.50	6881.67	USD	3,408.50	
11OCT17	S	1	PD11JAN18	6811.00	6881.67	USD	-1,766.75	
11OCT17	B	1	PD11JAN18	6801.00	6881.67	USD	2,016.75	
11OCT17	B	2	PD11JAN18	6803.50	6881.67	USD	3,908.50	
11OCT17	S	1	PD11JAN18	6812.50	6881.67	USD	-1,729.25	
11OCT17	S	1	PD11JAN18	6812.00	6881.67	USD	-1,741.75	
11OCT17	B	2	PD11JAN18	6805.00	6881.67	USD	3,833.50	
11OCT17	B	1	PD11JAN18	6811.50	6881.67	USD	1,754.25	
11OCT17	B	4	PD11JAN18	6808.00	6881.67	USD	7,367.00	
11OCT17	B	1	PD11JAN18	6807.50	6881.67	USD	1,854.25	
11OCT17	B	3	PD11JAN18	6806.50	6881.67	USD	5,637.75	
11OCT17	B	1	PD11JAN18	6806.00	6881.67	USD	1,891.75	
11OCT17	B	3	PD11JAN18	6805.50	6881.67	USD	5,712.75	
11OCT17	B	2	PD11JAN18	6804.50	6881.67	USD	3,858.50	
11OCT17	B	4	PD11JAN18	6804.00	6881.67	USD	7,767.00	
11OCT17	B	2	PD11JAN18	6803.00	6881.67	USD	3,933.50	
11OCT17	B	1	PD11JAN18	6799.50	6881.67	USD	2,054.25	
11OCT17	B	5	PD11JAN18	6799.00	6881.67	USD	10,333.75	
11OCT17	B	1	PD11JAN18	6800.00	6881.67	USD	2,041.75	
11OCT17	B	7	PD11JAN18	6794.00	6881.67	USD	15,342.25	
11OCT17	B	1	PD11JAN18	6794.50	6881.67	USD	2,179.25	
11OCT17	B	1	PD11JAN18	6798.00	6881.67	USD	2,091.75	
11OCT17	B	2	PD11JAN18	6797.00	6881.67	USD	4,233.50	
11OCT17	B	1	PD11JAN18	6796.00	6881.67	USD	2,141.75	
11OCT17	S	5	PD11JAN18	6803.50	6881.67	USD	-9,771.25	
11OCT17	S	2	PD11JAN18	6810.50	6881.67	USD	-3,558.50	
11OCT17	S	6	PD11JAN18	6811.50	6881.67	USD	-10,525.50	
11OCT17	S	6	PD11JAN18	6813.50	6881.67	USD	-10,225.50	
11OCT17	S	1	PD11JAN18	6814.50	6881.67	USD	-1,679.25	
11OCT17	S	5	PD11JAN18	6814.00	6881.67	USD	-8,458.75	
11OCT17	S	1	PD11JAN18	6815.50	6881.67	USD	-1,654.25	
11OCT17	S	13	PD11JAN18	6816.00	6881.67	USD	-21,342.75	
11OCT17	S	6	PD11JAN18	6816.50	6881.67	USD	-9,775.50	
11OCT17	B	1	PD11JAN18	6818.50	6881.67	USD	1,579.25	
11OCT17	S	13	PD11JAN18	6817.00	6881.67	USD	-21,017.75	
11OCT17	S	1	PD11JAN18	6818.00	6881.67	USD	-1,591.75	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>								
11OCT17	S	1	PD11JAN18		6822.00	6881.67	USD	-1,491.75	
11OCT17	B	5	PD11JAN18		6820.00	6881.67	USD	7,708.75	
11OCT17	S	1	PD11JAN18		6819.50	6881.67	USD	-1,554.25	
11OCT17	B	2	PD11JAN18		6814.00	6881.67	USD	3,383.50	
11OCT17	S	16	PD11JAN18		6815.00	6881.67	USD	-26,668.00	
11OCT17	B	3	PD11JAN18		6811.00	6881.67	USD	5,300.25	
11OCT17	B	1	PD11JAN18		6813.00	6881.67	USD	1,716.75	
11OCT17	S	1	PD11JAN18		6801.00	6881.67	USD	-2,016.75	
11OCT17	S	2	PD11JAN18		6802.00	6881.67	USD	-3,983.50	
11OCT17	S	1	PD11JAN18		6810.00	6881.67	USD	-1,791.75	
11OCT17	B	2	PD11JAN18		6804.00	6881.67	USD	3,883.50	
11OCT17	B	1	PD11JAN18		6818.50	6881.67	USD	1,579.25	
	NET	+160 AVG BGHT	6776.15	SOLD 6776.99				425,967.50	0.00
12OCT17	B	1	PD12JAN18		6817.50	6882.00	USD	1,612.50	
12OCT17	B	1	PD12JAN18		6811.50	6882.00	USD	1,762.50	
12OCT17	B	1	PD12JAN18		6812.00	6882.00	USD	1,750.00	
12OCT17	B	2	PD12JAN18		6811.00	6882.00	USD	3,550.00	
12OCT17	B	7	PD12JAN18		6814.00	6882.00	USD	11,900.00	
12OCT17	B	3	PD12JAN18		6813.50	6882.00	USD	5,137.50	
12OCT17	B	1	PD12JAN18		6814.50	6882.00	USD	1,687.50	
12OCT17	B	4	PD12JAN18		6815.00	6882.00	USD	6,700.00	
12OCT17	B	1	PD12JAN18		6815.50	6882.00	USD	1,662.50	
12OCT17	B	3	PD12JAN18		6816.00	6882.00	USD	4,950.00	
12OCT17	B	1	PD12JAN18		6818.00	6882.00	USD	1,600.00	
12OCT17	B	1	PD12JAN18		6819.00	6882.00	USD	1,575.00	
12OCT17	B	1	PD12JAN18		6819.50	6882.00	USD	1,562.50	
12OCT17	B	2	PD12JAN18		6818.50	6882.00	USD	3,175.00	
12OCT17	B	1	PD12JAN18		6817.00	6882.00	USD	1,625.00	
12OCT17	B	2	PD12JAN18		6823.50	6882.00	USD	2,925.00	
12OCT17	B	1	PD12JAN18		6821.50	6882.00	USD	1,512.50	
12OCT17	B	2	PD12JAN18		6822.50	6882.00	USD	2,975.00	
12OCT17	S	4	PD12JAN18		6816.00	6882.00	USD	-6,600.00	
12OCT17	S	1	PD12JAN18		6814.00	6882.00	USD	-1,700.00	
12OCT17	S	5	PD12JAN18		6808.50	6882.00	USD	-9,187.50	
12OCT17	B	2	PD12JAN18		6834.50	6882.00	USD	2,375.00	
12OCT17	B	1	PD12JAN18		6835.00	6882.00	USD	1,175.00	
12OCT17	B	6	PD12JAN18		6839.00	6882.00	USD	6,450.00	
12OCT17	B	5	PD12JAN18		6839.50	6882.00	USD	5,312.50	
12OCT17	B	3	PD12JAN18		6836.50	6882.00	USD	3,412.50	
12OCT17	S	1	PD12JAN18		6847.50	6882.00	USD	-862.50	
12OCT17	S	2	PD12JAN18		6843.00	6882.00	USD	-1,950.00	
12OCT17	S	1	PD12JAN18		6843.50	6882.00	USD	-962.50	
12OCT17	S	10	PD12JAN18		6847.00	6882.00	USD	-8,750.00	
12OCT17	S	15	PD12JAN18		6846.00	6882.00	USD	-13,500.00	
12OCT17	S	5	PD12JAN18		6844.00	6882.00	USD	-4,750.00	
12OCT17	S	1	PD12JAN18		6844.50	6882.00	USD	-937.50	
12OCT17	S	11	PD12JAN18		6845.00	6882.00	USD	-10,175.00	
12OCT17	S	4	PD12JAN18		6842.50	6882.00	USD	-3,950.00	
12OCT17	S	3	PD12JAN18		6841.50	6882.00	USD	-3,037.50	
12OCT17	S	2	PD12JAN18		6842.00	6882.00	USD	-2,000.00	
12OCT17	S	2	PD12JAN18		6840.50	6882.00	USD	-2,075.00	
12OCT17	S	2	PD12JAN18		6839.50	6882.00	USD	-2,125.00	
12OCT17	S	1	PD12JAN18		6836.50	6882.00	USD	-1,137.50	
12OCT17	B	5	PD12JAN18		6832.50	6882.00	USD	6,187.50	
12OCT17	B	2	PD12JAN18		6829.00	6882.00	USD	2,650.00	
12OCT17	B	5	PD12JAN18		6828.00	6882.00	USD	6,750.00	
12OCT17	B	3	PD12JAN18		6827.50	6882.00	USD	4,087.50	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
12OCT17	B	3	PD12JAN18	6825.50	6882.00	USD	4,237.50	
12OCT17	B	1	PD12JAN18	6826.00	6882.00	USD	1,400.00	
12OCT17	B	11	PD12JAN18	6825.00	6882.00	USD	15,675.00	
12OCT17	B	2	PD12JAN18	6838.50	6882.00	USD	2,175.00	
12OCT17	B	1	PD12JAN18	6838.00	6882.00	USD	1,100.00	
12OCT17	B	2	PD12JAN18	6836.00	6882.00	USD	2,300.00	
12OCT17	B	1	PD12JAN18	6835.50	6882.00	USD	1,162.50	
12OCT17	B	1	PD12JAN18	6833.00	6882.00	USD	1,225.00	
12OCT17	B	2	PD12JAN18	6832.00	6882.00	USD	2,500.00	
12OCT17	B	4	PD12JAN18	6831.50	6882.00	USD	5,050.00	
12OCT17	B	2	PD12JAN18	6833.50	6882.00	USD	2,425.00	
12OCT17	S	1	PD12JAN18	6835.00	6882.00	USD	-1,175.00	
12OCT17	B	1	PD12JAN18	6837.00	6882.00	USD	1,125.00	
12OCT17	B	1	PD12JAN18	6841.00	6882.00	USD	1,025.00	
12OCT17	B	8	PD12JAN18	6844.00	6882.00	USD	7,600.00	
12OCT17	B	1	PD12JAN18	6843.50	6882.00	USD	962.50	
12OCT17	B	3	PD12JAN18	6842.50	6882.00	USD	2,962.50	
12OCT17	B	9	PD12JAN18	6845.00	6882.00	USD	8,325.00	
12OCT17	B	3	PD12JAN18	6844.50	6882.00	USD	2,812.50	
12OCT17	B	1	PD12JAN18	6840.50	6882.00	USD	1,037.50	
12OCT17	B	6	PD12JAN18	6841.50	6882.00	USD	6,075.00	
12OCT17	S	2	PD12JAN18	6850.50	6882.00	USD	-1,575.00	
12OCT17	S	4	PD12JAN18	6851.50	6882.00	USD	-3,050.00	
12OCT17	S	3	PD12JAN18	6853.00	6882.00	USD	-2,175.00	
12OCT17	S	9	PD12JAN18	6852.50	6882.00	USD	-6,637.50	
12OCT17	S	1	PD12JAN18	6854.50	6882.00	USD	-687.50	
12OCT17	S	7	PD12JAN18	6853.50	6882.00	USD	-4,987.50	
12OCT17	S	2	PD12JAN18	6856.00	6882.00	USD	-1,300.00	
12OCT17	S	2	PD12JAN18	6855.00	6882.00	USD	-1,350.00	
12OCT17	S	14	PD12JAN18	6857.00	6882.00	USD	-8,750.00	
12OCT17	S	2	PD12JAN18	6859.00	6882.00	USD	-1,150.00	
12OCT17	S	1	PD12JAN18	6866.00	6882.00	USD	-400.00	
12OCT17	S	2	PD12JAN18	6865.00	6882.00	USD	-850.00	
12OCT17	S	3	PD12JAN18	6863.50	6882.00	USD	-1,387.50	
12OCT17	S	6	PD12JAN18	6864.00	6882.00	USD	-2,700.00	
12OCT17	S	1	PD12JAN18	6860.00	6882.00	USD	-550.00	
12OCT17	S	5	PD12JAN18	6860.50	6882.00	USD	-2,687.50	
12OCT17	S	4	PD12JAN18	6862.50	6882.00	USD	-1,950.00	
12OCT17	S	6	PD12JAN18	6857.50	6882.00	USD	-3,675.00	
12OCT17	S	1	PD12JAN18	6845.00	6882.00	USD	-925.00	
12OCT17	S	1	PD12JAN18	6842.50	6882.00	USD	-987.50	
12OCT17	B	1	PD12JAN18	6844.00	6882.00	USD	950.00	
12OCT17	B	1	PD12JAN18	6844.50	6882.00	USD	937.50	
12OCT17	B	1	PD12JAN18	6842.50	6882.00	USD	987.50	
12OCT17	S	4	PD12JAN18	6862.00	6882.00	USD	-2,000.00	
12OCT17	S	7	PD12JAN18	6858.00	6882.00	USD	-4,200.00	
12OCT17	S	1	PD12JAN18	6855.50	6882.00	USD	-662.50	
12OCT17	S	9	PD12JAN18	6863.00	6882.00	USD	-4,275.00	
12OCT17	S	4	PD12JAN18	6861.50	6882.00	USD	-2,050.00	
12OCT17	S	8	PD12JAN18	6859.50	6882.00	USD	-4,500.00	
12OCT17	S	4	PD12JAN18	6858.50	6882.00	USD	-2,350.00	
12OCT17	S	2	PD12JAN18	6866.50	6882.00	USD	-775.00	
12OCT17	B	1	PD12JAN18	6872.00	6882.00	USD	250.00	
12OCT17	B	1	PD12JAN18	6871.00	6882.00	USD	275.00	
12OCT17	B	1	PD12JAN18	6874.50	6882.00	USD	187.50	
12OCT17	B	2	PD12JAN18	6875.50	6882.00	USD	325.00	
12OCT17	B	8	PD12JAN18	6875.00	6882.00	USD	1,400.00	

OPEN POSITION STATEMENT



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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
12OCT17	B	3	PD12JAN18	6876.00	6882.00	USD	450.00	
12OCT17	B	1	PD12JAN18	6877.00	6882.00	USD	125.00	
12OCT17	B	1	PD12JAN18	6881.00	6882.00	USD	25.00	
12OCT17	B	2	PD12JAN18	6880.50	6882.00	USD	75.00	
12OCT17	B	1	PD12JAN18	6882.50	6882.00	USD	-12.50	
12OCT17	B	1	PD12JAN18	6883.50	6882.00	USD	-37.50	
12OCT17	B	2	PD12JAN18	6886.00	6882.00	USD	-200.00	
12OCT17	B	1	PD12JAN18	6889.50	6882.00	USD	-187.50	
12OCT17	B	3	PD12JAN18	6891.00	6882.00	USD	-675.00	
12OCT17	B	1	PD12JAN18	6893.00	6882.00	USD	-275.00	
12OCT17	B	4	PD12JAN18	6892.00	6882.00	USD	-1,000.00	
12OCT17	B	1	PD12JAN18	6886.50	6882.00	USD	-112.50	
12OCT17	B	1	PD12JAN18	6885.50	6882.00	USD	-87.50	
12OCT17	B	5	PD12JAN18	6885.00	6882.00	USD	-375.00	
12OCT17	B	1	PD12JAN18	6894.50	6882.00	USD	-312.50	
12OCT17	B	1	PD12JAN18	6895.50	6882.00	USD	-337.50	
12OCT17	B	2	PD12JAN18	6894.00	6882.00	USD	-600.00	
12OCT17	B	1	PD12JAN18	6893.50	6882.00	USD	-287.50	
12OCT17	B	1	PD12JAN18	6899.50	6882.00	USD	-437.50	
12OCT17	B	3	PD12JAN18	6892.50	6882.00	USD	-787.50	
12OCT17	B	6	PD12JAN18	6891.50	6882.00	USD	-1,425.00	
12OCT17	B	7	PD12JAN18	6890.00	6882.00	USD	-1,400.00	
12OCT17	B	11	PD12JAN18	6888.00	6882.00	USD	-1,650.00	
12OCT17	B	16	PD12JAN18	6889.00	6882.00	USD	-2,800.00	
12OCT17	B	3	PD12JAN18	6887.00	6882.00	USD	-375.00	
12OCT17	S	2	PD12JAN18	6888.00	6882.00	USD	300.00	
12OCT17	S	3	PD12JAN18	6863.00	6882.00	USD	-1,425.00	
12OCT17	S	5	PD12JAN18	6862.50	6882.00	USD	-2,437.50	
12OCT17	S	1	PD12JAN18	6864.00	6882.00	USD	-450.00	
12OCT17	B	3	PD12JAN18	6876.00	6882.00	USD	450.00	
12OCT17	B	1	PD12JAN18	6874.50	6882.00	USD	187.50	
12OCT17	S	1	PD12JAN18	6896.50	6882.00	USD	362.50	
12OCT17	S	1	PD12JAN18	6896.00	6882.00	USD	350.00	
12OCT17	S	2	PD12JAN18	6893.50	6882.00	USD	575.00	
12OCT17	S	7	PD12JAN18	6895.50	6882.00	USD	2,362.50	
12OCT17	S	5	PD12JAN18	6890.00	6882.00	USD	1,000.00	
12OCT17	S	4	PD12JAN18	6894.50	6882.00	USD	1,250.00	
12OCT17	S	2	PD12JAN18	6897.00	6882.00	USD	750.00	
12OCT17	S	8	PD12JAN18	6891.00	6882.00	USD	1,800.00	
12OCT17	S	3	PD12JAN18	6892.00	6882.00	USD	750.00	
12OCT17	S	1	PD12JAN18	6884.50	6882.00	USD	62.50	
12OCT17	S	1	PD12JAN18	6879.50	6882.00	USD	-62.50	
12OCT17	S	2	PD12JAN18	6879.00	6882.00	USD	-150.00	
12OCT17	S	1	PD12JAN18	6878.00	6882.00	USD	-100.00	
12OCT17	S	5	PD12JAN18	6877.00	6882.00	USD	-625.00	
12OCT17	B	1	PD12JAN18	6888.00	6882.00	USD	-150.00	
13OCT17	B	1	PD12JAN18	6867.00	6882.00	USD	375.00	
13OCT17	B	1	PD12JAN18	6866.00	6882.00	USD	400.00	
13OCT17	B	1	PD12JAN18	6871.50	6882.00	USD	262.50	
13OCT17	B	2	PD12JAN18	6873.50	6882.00	USD	425.00	
13OCT17	S	1	PD12JAN18	6880.00	6882.00	USD	-50.00	
13OCT17	S	2	PD12JAN18	6879.00	6882.00	USD	-150.00	
13OCT17	S	3	PD12JAN18	6875.00	6882.00	USD	-525.00	
13OCT17	S	1	PD12JAN18	6877.00	6882.00	USD	-125.00	
13OCT17	S	4	PD12JAN18	6876.00	6882.00	USD	-600.00	
13OCT17	S	1	PD12JAN18	6879.50	6882.00	USD	-62.50	
13OCT17	S	3	PD12JAN18	6880.50	6882.00	USD	-112.50	

OPEN POSITION STATEMENT



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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
13OCT17	S	2	PD12JAN18	6882.00	6882.00	USD	0.00	
13OCT17	S	4	PD12JAN18	6891.50	6882.00	USD	950.00	
13OCT17	S	2	PD12JAN18	6889.50	6882.00	USD	375.00	
13OCT17	S	1	PD12JAN18	6886.50	6882.00	USD	112.50	
13OCT17	S	2	PD12JAN18	6887.50	6882.00	USD	275.00	
13OCT17	S	2	PD12JAN18	6883.00	6882.00	USD	50.00	
13OCT17	S	2	PD12JAN18	6883.50	6882.00	USD	75.00	
13OCT17	S	1	PD12JAN18	6893.00	6882.00	USD	275.00	
13OCT17	B	2	PD12JAN18	6909.00	6882.00	USD	-1,350.00	
13OCT17	B	2	PD12JAN18	6908.00	6882.00	USD	-1,300.00	
13OCT17	B	2	PD12JAN18	6906.50	6882.00	USD	-1,225.00	
13OCT17	S	2	PD12JAN18	6902.50	6882.00	USD	1,025.00	
13OCT17	S	2	PD12JAN18	6903.50	6882.00	USD	1,075.00	
13OCT17	B	3	PD12JAN18	6888.50	6882.00	USD	-487.50	
13OCT17	B	3	PD12JAN18	6888.00	6882.00	USD	-450.00	
13OCT17	B	1	PD12JAN18	6879.00	6882.00	USD	75.00	
13OCT17	B	2	PD12JAN18	6880.00	6882.00	USD	100.00	
13OCT17	B	4	PD12JAN18	6881.00	6882.00	USD	100.00	
13OCT17	B	1	PD12JAN18	6899.50	6882.00	USD	-437.50	
13OCT17	S	1	PD12JAN18	6898.50	6882.00	USD	412.50	
13OCT17	B	1	PD12JAN18	6895.50	6882.00	USD	-337.50	
13OCT17	B	1	PD12JAN18	6894.00	6882.00	USD	-300.00	
13OCT17	B	8	PD12JAN18	6889.00	6882.00	USD	-1,400.00	
13OCT17	B	1	PD12JAN18	6892.00	6882.00	USD	-250.00	
13OCT17	B	2	PD12JAN18	6891.00	6882.00	USD	-450.00	
13OCT17	B	3	PD12JAN18	6890.00	6882.00	USD	-600.00	
13OCT17	B	6	PD12JAN18	6890.50	6882.00	USD	-1,275.00	
13OCT17	B	6	PD12JAN18	6889.50	6882.00	USD	-1,125.00	
13OCT17	B	4	PD12JAN18	6886.50	6882.00	USD	-450.00	
13OCT17	B	16	PD12JAN18	6886.00	6882.00	USD	-1,600.00	
13OCT17	B	7	PD12JAN18	6885.50	6882.00	USD	-612.50	
13OCT17	B	1	PD12JAN18	6884.50	6882.00	USD	-62.50	
13OCT17	B	2	PD12JAN18	6884.00	6882.00	USD	-100.00	
13OCT17	B	4	PD12JAN18	6883.00	6882.00	USD	-100.00	
13OCT17	B	2	PD12JAN18	6882.50	6882.00	USD	-25.00	
13OCT17	B	2	PD12JAN18	6883.50	6882.00	USD	-75.00	
13OCT17	S	3	PD12JAN18	6892.00	6882.00	USD	750.00	
13OCT17	S	1	PD12JAN18	6894.50	6882.00	USD	312.50	
13OCT17	S	2	PD12JAN18	6892.50	6882.00	USD	525.00	
13OCT17	S	3	PD12JAN18	6893.50	6882.00	USD	862.50	
13OCT17	B	1	PD12JAN18	6909.00	6882.00	USD	-675.00	
13OCT17	S	1	PD12JAN18	6901.50	6882.00	USD	487.50	
13OCT17	B	1	PD12JAN18	6889.50	6882.00	USD	-187.50	
13OCT17	S	1	PD12JAN18	6893.50	6882.00	USD	287.50	
13OCT17	B	5	PD12JAN18	6875.00	6882.00	USD	875.00	
13OCT17	B	3	PD12JAN18	6876.00	6882.00	USD	450.00	
13OCT17	S	10	PD12JAN18	6890.50	6882.00	USD	2,125.00	
13OCT17	S	2	PD12JAN18	6870.50	6882.00	USD	-575.00	
13OCT17	S	2	PD12JAN18	6887.00	6882.00	USD	250.00	
13OCT17	S	2	PD12JAN18	6888.50	6882.00	USD	325.00	
13OCT17	S	6	PD12JAN18	6889.00	6882.00	USD	1,050.00	
13OCT17	S	1	PD12JAN18	6884.50	6882.00	USD	62.50	
13OCT17	S	6	PD12JAN18	6885.00	6882.00	USD	450.00	
13OCT17	S	5	PD12JAN18	6891.00	6882.00	USD	1,125.00	
13OCT17	S	9	PD12JAN18	6888.00	6882.00	USD	1,350.00	
13OCT17	B	1	PD12JAN18	6897.00	6882.00	USD	-375.00	
13OCT17	B	1	PD12JAN18	6898.00	6882.00	USD	-400.00	

OPEN POSITION STATEMENT



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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
13OCT17	B	2	PD12JAN18	6913.50	6882.00	USD	-1,575.00	
13OCT17	B	1	PD12JAN18	6912.50	6882.00	USD	-762.50	
13OCT17	B	2	PD12JAN18	6911.50	6882.00	USD	-1,475.00	
13OCT17	B	1	PD12JAN18	6914.00	6882.00	USD	-800.00	
13OCT17	S	1	PD12JAN18	6908.50	6882.00	USD	662.50	
13OCT17	B	1	PD12JAN18	6871.00	6882.00	USD	275.00	
13OCT17	B	7	PD12JAN18	6870.00	6882.00	USD	2,100.00	
13OCT17	B	5	PD12JAN18	6869.50	6882.00	USD	1,562.50	
13OCT17	B	4	PD12JAN18	6872.50	6882.00	USD	950.00	
13OCT17	B	4	PD12JAN18	6877.00	6882.00	USD	500.00	
13OCT17	B	3	PD12JAN18	6876.50	6882.00	USD	412.50	
13OCT17	B	5	PD12JAN18	6875.50	6882.00	USD	812.50	
13OCT17	B	5	PD12JAN18	6878.00	6882.00	USD	500.00	
13OCT17	B	1	PD12JAN18	6873.00	6882.00	USD	225.00	
13OCT17	B	1	PD12JAN18	6898.00	6882.00	USD	-400.00	
13OCT17	B	1	PD12JAN18	6870.50	6882.00	USD	287.50	
13OCT17	B	4	PD12JAN18	6868.00	6882.00	USD	1,400.00	
13OCT17	B	5	PD12JAN18	6869.00	6882.00	USD	1,625.00	
13OCT17	B	3	PD12JAN18	6874.00	6882.00	USD	600.00	
13OCT17	B	3	PD12JAN18	6881.50	6882.00	USD	37.50	
13OCT17	S	5	PD12JAN18	6884.00	6882.00	USD	250.00	
13OCT17	S	7	PD12JAN18	6881.00	6882.00	USD	-175.00	
13OCT17	S	16	PD12JAN18	6881.50	6882.00	USD	-200.00	
13OCT17	S	5	PD12JAN18	6882.50	6882.00	USD	62.50	
13OCT17	S	2	PD12JAN18	6886.00	6882.00	USD	200.00	
13OCT17	B	2	PD12JAN18	6874.50	6882.00	USD	375.00	
13OCT17	B	13	PD12JAN18	6887.00	6882.00	USD	-1,625.00	
13OCT17	S	2	PD12JAN18	6894.00	6882.00	USD	600.00	
13OCT17	S	1	PD12JAN18	6895.50	6882.00	USD	337.50	
13OCT17	S	5	PD12JAN18	6896.00	6882.00	USD	1,750.00	
13OCT17	S	4	PD12JAN18	6897.00	6882.00	USD	1,500.00	
13OCT17	S	1	PD12JAN18	6899.00	6882.00	USD	425.00	
13OCT17	B	1	PD12JAN18	6901.50	6882.00	USD	-487.50	
13OCT17	B	2	PD12JAN18	6900.50	6882.00	USD	-925.00	
13OCT17	B	2	PD12JAN18	6899.00	6882.00	USD	-850.00	
13OCT17	B	1	PD12JAN18	6898.50	6882.00	USD	-412.50	
13OCT17	B	3	PD12JAN18	6885.00	6882.00	USD	-225.00	
13OCT17	S	2	PD12JAN18	6890.00	6882.00	USD	400.00	
13OCT17	B	1	PD12JAN18	6878.00	6882.00	USD	100.00	
	NET	+35 AVG BGHT 6867.58		SOLD 6869.30			29,025.00	0.00
	<u>CMX COPPER 25,000 CMX</u>							
11OCT17	S	6	DEC17	308.100	313.350	USD	-7,875.00	
11OCT17	S	6	DEC17	308.150	313.350	USD	-7,800.00	
11OCT17	S	9	DEC17	308.200	313.350	USD	-11,587.50	
11OCT17	S	5	DEC17	308.300	313.350	USD	-6,312.50	
11OCT17	S	1	DEC17	308.350	313.350	USD	-1,250.00	
11OCT17	S	30	DEC17	309.350	313.350	USD	-30,000.00	
11OCT17	S	6	DEC17	309.500	313.350	USD	-5,775.00	
11OCT17	S	13	DEC17	309.300	313.350	USD	-13,162.50	
11OCT17	S	30	DEC17	309.250	313.350	USD	-30,750.00	
11OCT17	S	17	DEC17	309.200	313.350	USD	-17,637.50	
11OCT17	S	13	DEC17	309.100	313.350	USD	-13,812.50	
11OCT17	S	11	DEC17	309.150	313.350	USD	-11,550.00	
11OCT17	S	12	DEC17	309.050	313.350	USD	-12,900.00	
11OCT17	S	12	DEC17	308.900	313.350	USD	-13,350.00	
11OCT17	S	5	DEC17	308.700	313.350	USD	-5,812.50	
11OCT17	S	6	DEC17	308.850	313.350	USD	-6,750.00	

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Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	CMX COPPER 25,000 CMX					
11OCT17	S 2 DEC17	308.800	313.350	USD	-2,275.00	
11OCT17	S 7 DEC17	308.750	313.350	USD	-8,050.00	
11OCT17	S 8 DEC17	309.750	313.350	USD	-7,200.00	
11OCT17	S 7 DEC17	309.800	313.350	USD	-6,212.50	
11OCT17	S 4 DEC17	309.550	313.350	USD	-3,800.00	
11OCT17	S 38 DEC17	309.400	313.350	USD	-37,525.00	
11OCT17	S 5 DEC17	309.450	313.350	USD	-4,875.00	
13OCT17	S 2 DEC17	314.050	313.350	USD	350.00	
13OCT17	S 1 DEC17	312.150	313.350	USD	-300.00	
13OCT17	S 16 DEC17	312.050	313.350	USD	-5,200.00	
13OCT17	S 6 DEC17	312.350	313.350	USD	-1,500.00	
13OCT17	S 5 DEC17	312.400	313.350	USD	-1,187.50	
13OCT17	S 3 DEC17	312.250	313.350	USD	-825.00	
13OCT17	S 14 DEC17	312.100	313.350	USD	-4,375.00	
13OCT17	S 20 DEC17	312.000	313.350	USD	-6,750.00	
13OCT17	S 4 DEC17	311.950	313.350	USD	-1,400.00	
13OCT17	S 4 DEC17	312.200	313.350	USD	-1,150.00	
13OCT17	S 3 DEC17	312.500	313.350	USD	-637.50	
13OCT17	S 6 DEC17	312.300	313.350	USD	-1,575.00	
13OCT17	S 2 DEC17	313.500	313.350	USD	75.00	
13OCT17	S 2 DEC17	313.450	313.350	USD	50.00	
13OCT17	S 2 DEC17	313.400	313.350	USD	25.00	
13OCT17	S 2 DEC17	313.350	313.350	USD	0.00	
13OCT17	S 1 DEC17	313.300	313.350	USD	-12.50	
13OCT17	S 1 DEC17	313.200	313.350	USD	-37.50	
13OCT17	S 1 DEC17	313.250	313.350	USD	-25.00	
13OCT17	S 4 DEC17	312.750	313.350	USD	-600.00	
	NET -352 AVG SOLD 310.039				-291,337.50	0.00

OPEN POSITION VALUE

		USD
LME Copper Grade A 25	FUTURES	-27,780,555.00
CMX Copper	FUTURES	27,283,462.50

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options	Amount	Profit / Loss	Total Amount	Total P&L
COPR - Futures USD	-291,337.50	-291,337.50	-291,337.50	-291,337.50
Unrealised Profits & Losses - Forwards	Amount	Profit / Loss	Total Amount	Total P&L
COPL - Futures USD	-264,281.25	-264,281.25	-264,281.25	-264,281.25

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ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	508,967.75	508,967.75
Variation Margins	-291,337.50	-291,337.50
- Futures		
- Options	0.00	0.00
TOTAL EQUITY	217,630.25	217,630.25
Initial Margins	-3,402,105.25	-3,402,105.25
Excess/(Shortage)	-3,184,475.00	-3,184,475.00
FOR INFORMATION ONLY		
Forward Unrealised P&L	-264,281.25	-264,281.25
Net Liquidating Value	-46,651.00	-46,651.00

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0127B

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
22SEP17	B	1	PD22DEC17	6388.00	6875.00	USD	12,175.00	
22SEP17	S	1	PD22DEC17	6386.50	6875.00	USD	-12,212.50	
22SEP17	S	1	PD22DEC17	6387.50	6875.00	USD	-12,187.50	
22SEP17	B	1	PD22DEC17	6388.50	6875.00	USD	12,162.50	
22SEP17	S	1	PD22DEC17	6427.50	6875.00	USD	-11,187.50	
22SEP17	S	1	PD22DEC17	6431.00	6875.00	USD	-11,100.00	
22SEP17	S	1	PD22DEC17	6429.50	6875.00	USD	-11,137.50	
22SEP17	S	3	PD22DEC17	6428.50	6875.00	USD	-33,487.50	
22SEP17	S	2	PD22DEC17	6432.00	6875.00	USD	-22,150.00	
22SEP17	S	2	PD22DEC17	6435.50	6875.00	USD	-21,975.00	
22SEP17	S	3	PD22DEC17	6434.50	6875.00	USD	-33,037.50	
22SEP17	S	2	PD22DEC17	6436.00	6875.00	USD	-21,950.00	
22SEP17	B	2	PD22DEC17	6451.50	6875.00	USD	21,175.00	
22SEP17	B	1	PD22DEC17	6447.00	6875.00	USD	10,700.00	
22SEP17	B	1	PD22DEC17	6446.00	6875.00	USD	10,725.00	
22SEP17	B	4	PD22DEC17	6454.00	6875.00	USD	42,100.00	
22SEP17	B	2	PD22DEC17	6444.50	6875.00	USD	21,525.00	
22SEP17	S	3	PD22DEC17	6451.50	6875.00	USD	-31,762.50	
22SEP17	B	1	PD22DEC17	6450.00	6875.00	USD	10,625.00	
22SEP17	B	3	PD22DEC17	6450.50	6875.00	USD	31,837.50	
22SEP17	B	3	PD22DEC17	6449.50	6875.00	USD	31,912.50	
22SEP17	B	6	PD22DEC17	6443.00	6875.00	USD	64,800.00	
22SEP17	B	10	PD22DEC17	6441.00	6875.00	USD	108,500.00	
22SEP17	S	1	PD22DEC17	6466.00	6875.00	USD	-10,225.00	
22SEP17	S	4	PD22DEC17	6463.00	6875.00	USD	-41,200.00	
22SEP17	S	5	PD22DEC17	6458.50	6875.00	USD	-52,062.50	
22SEP17	S	1	PD22DEC17	6454.50	6875.00	USD	-10,512.50	
22SEP17	S	4	PD22DEC17	6455.50	6875.00	USD	-41,950.00	
22SEP17	S	5	PD22DEC17	6456.50	6875.00	USD	-52,312.50	
22SEP17	B	1	PD22DEC17	6468.50	6875.00	USD	10,162.50	
22SEP17	B	1	PD22DEC17	6466.00	6875.00	USD	10,225.00	
22SEP17	B	1	PD22DEC17	6465.00	6875.00	USD	10,250.00	
22SEP17	B	2	PD22DEC17	6463.00	6875.00	USD	20,600.00	
22SEP17	B	2	PD22DEC17	6464.00	6875.00	USD	20,550.00	
22SEP17	B	2	PD22DEC17	6474.50	6875.00	USD	20,025.00	
22SEP17	B	2	PD22DEC17	6478.00	6875.00	USD	19,850.00	
22SEP17	B	1	PD22DEC17	6472.00	6875.00	USD	10,075.00	
22SEP17	B	7	PD22DEC17	6471.00	6875.00	USD	70,700.00	
22SEP17	B	1	PD22DEC17	6473.00	6875.00	USD	10,050.00	
28SEP17	S	15	PD22DEC17	6518.00	6875.00	USD	-133,875.00	
	NET	0	AVG BGHT 6452.65	SOLD 6464.58			16,400.00	0.00
25SEP17	S	1	PD27DEC17	6459.50	6876.67	USD	-10,429.25	
25SEP17	S	1	PD27DEC17	6458.50	6876.67	USD	-10,454.25	
25SEP17	S	1	PD27DEC17	6459.00	6876.67	USD	-10,441.75	
25SEP17	S	2	PD27DEC17	6460.50	6876.67	USD	-20,808.50	
25SEP17	S	2	PD27DEC17	6465.50	6876.67	USD	-20,558.50	
25SEP17	S	5	PD27DEC17	6464.50	6876.67	USD	-51,521.25	
25SEP17	S	2	PD27DEC17	6465.00	6876.67	USD	-20,583.50	
25SEP17	S	7	PD27DEC17	6464.00	6876.67	USD	-72,217.25	
25SEP17	S	4	PD27DEC17	6463.00	6876.67	USD	-41,367.00	
25SEP17	S	8	PD27DEC17	6457.00	6876.67	USD	-83,934.00	
25SEP17	B	1	PD27DEC17	6451.50	6876.67	USD	10,629.25	
25SEP17	B	1	PD27DEC17	6443.50	6876.67	USD	10,829.25	
25SEP17	B	1	PD27DEC17	6441.50	6876.67	USD	10,879.25	
25SEP17	S	5	PD27DEC17	6452.50	6876.67	USD	-53,021.25	
25SEP17	S	5	PD27DEC17	6441.00	6876.67	USD	-54,458.75	
25SEP17	S	6	PD27DEC17	6453.50	6876.67	USD	-63,475.50	

OPEN POSITION STATEMENT



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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
25SEP17	B	1	PD27DEC17	6453.00	6876.67	USD	10,591.75	
26SEP17	B	1	PD27DEC17	6513.50	6876.67	USD	9,079.25	
26SEP17	B	1	PD27DEC17	6517.00	6876.67	USD	8,991.75	
26SEP17	S	1	PD27DEC17	6511.50	6876.67	USD	-9,129.25	
26SEP17	B	1	PD27DEC17	6498.50	6876.67	USD	9,454.25	
26SEP17	B	4	PD27DEC17	6498.00	6876.67	USD	37,867.00	
26SEP17	B	1	PD27DEC17	6502.00	6876.67	USD	9,366.75	
26SEP17	B	1	PD27DEC17	6503.50	6876.67	USD	9,329.25	
26SEP17	B	1	PD27DEC17	6508.00	6876.67	USD	9,216.75	
26SEP17	B	1	PD27DEC17	6506.00	6876.67	USD	9,266.75	
26SEP17	B	1	PD27DEC17	6500.00	6876.67	USD	9,416.75	
26SEP17	B	4	PD27DEC17	6499.50	6876.67	USD	37,717.00	
27SEP17	B	2	PD27DEC17	6483.50	6876.67	USD	19,658.50	
27SEP17	B	2	PD27DEC17	6485.50	6876.67	USD	19,558.50	
27SEP17	B	1	PD27DEC17	6486.50	6876.67	USD	9,754.25	
27SEP17	B	1	PD27DEC17	6487.50	6876.67	USD	9,729.25	
27SEP17	B	1	PD27DEC17	6490.50	6876.67	USD	9,654.25	
27SEP17	B	1	PD27DEC17	6489.50	6876.67	USD	9,679.25	
27SEP17	B	7	PD27DEC17	6488.50	6876.67	USD	67,929.75	
28SEP17	B	15	PD27DEC17	6521.53	6876.67	USD	133,177.50	
	NET	0AVG BGHT 6499.25	SOLD 6458.75				-50,623.75	0.00
29SEP17	S	3	PD29DEC17	6536.50	6877.33	USD	-25,562.25	
29SEP17	S	1	PD29DEC17	6538.00	6877.33	USD	-8,483.25	
29SEP17	S	1	PD29DEC17	6533.50	6877.33	USD	-8,595.75	
29SEP17	B	3	PD29DEC17	6536.50	6877.33	USD	25,562.25	
29SEP17	B	1	PD29DEC17	6536.00	6877.33	USD	8,533.25	
29SEP17	B	1	PD29DEC17	6535.50	6877.33	USD	8,545.75	
29SEP17	S	1	PD29DEC17	6523.50	6877.33	USD	-8,845.75	
29SEP17	B	1	PD29DEC17	6521.00	6877.33	USD	8,908.25	
29SEP17	S	2	PD29DEC17	6501.50	6877.33	USD	-18,791.50	
29SEP17	S	2	PD29DEC17	6496.00	6877.33	USD	-19,066.50	
29SEP17	S	1	PD29DEC17	6495.00	6877.33	USD	-9,558.25	
03OCT17	B	5	PD29DEC17	6518.23	6877.33	USD	44,887.50	
	NET	0AVG BGHT 6526.65	SOLD 6517.68				-2,466.25	0.00
02OCT17	B	1	PD02JAN18	6525.00	6878.67	USD	8,841.75	
02OCT17	B	4	PD02JAN18	6520.00	6878.67	USD	35,867.00	
02OCT17	B	2	PD02JAN18	6475.50	6878.67	USD	20,158.50	
02OCT17	B	3	PD02JAN18	6474.50	6878.67	USD	30,312.75	
02OCT17	S	1	PD02JAN18	6500.00	6878.67	USD	-9,466.75	
02OCT17	S	1	PD02JAN18	6494.00	6878.67	USD	-9,616.75	
02OCT17	S	2	PD02JAN18	6495.00	6878.67	USD	-19,183.50	
02OCT17	S	1	PD02JAN18	6496.50	6878.67	USD	-9,554.25	
03OCT17	S	5	PD02JAN18	6519.45	6878.67	USD	-44,902.50	
	NET	0AVG BGHT 6497.95	SOLD 6507.78				2,456.25	0.00
03OCT17	S	1	PD03JAN18	6443.50	6879.00	USD	-10,887.50	
03OCT17	S	2	PD03JAN18	6452.50	6879.00	USD	-21,325.00	
03OCT17	S	2	PD03JAN18	6451.50	6879.00	USD	-21,375.00	
03OCT17	S	2	PD03JAN18	6469.00	6879.00	USD	-20,500.00	
03OCT17	S	3	PD03JAN18	6470.50	6879.00	USD	-30,637.50	
03OCT17	B	1	PD03JAN18	6494.50	6879.00	USD	9,612.50	
03OCT17	B	1	PD03JAN18	6498.00	6879.00	USD	9,525.00	
03OCT17	B	1	PD03JAN18	6499.00	6879.00	USD	9,500.00	
03OCT17	B	2	PD03JAN18	6505.00	6879.00	USD	18,700.00	
03OCT17	B	1	PD03JAN18	6509.00	6879.00	USD	9,250.00	
03OCT17	B	2	PD03JAN18	6508.00	6879.00	USD	18,550.00	
03OCT17	B	1	PD03JAN18	6511.00	6879.00	USD	9,200.00	

OPEN POSITION STATEMENT



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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
030CT17	S	1	PD03JAN18	6525.00	6879.00	USD	-8,850.00	
030CT17	S	2	PD03JAN18	6524.50	6879.00	USD	-17,725.00	
030CT17	S	2	PD03JAN18	6523.50	6879.00	USD	-17,775.00	
030CT17	B	1	PD03JAN18	6520.00	6879.00	USD	8,975.00	
030CT17	B	2	PD03JAN18	6521.00	6879.00	USD	17,900.00	
030CT17	B	1	PD03JAN18	6517.50	6879.00	USD	9,037.50	
030CT17	B	1	PD03JAN18	6519.00	6879.00	USD	9,000.00	
030CT17	B	1	PD03JAN18	6511.00	6879.00	USD	9,200.00	
030CT17	S	2	PD03JAN18	6519.00	6879.00	USD	-18,000.00	
030CT17	S	1	PD03JAN18	6516.00	6879.00	USD	-9,075.00	
030CT17	S	2	PD03JAN18	6513.00	6879.00	USD	-18,300.00	
090CT17	B	5	PD03JAN18	6663.23	6879.00	USD	26,971.25	
	NET	0 AVG BGHT 6548.16	SOLD 6490.10				-29,028.75	0.00
040CT17	S	2	PD04JAN18	6511.50	6879.33	USD	-18,391.50	
040CT17	S	5	PD04JAN18	6510.50	6879.33	USD	-46,103.75	
040CT17	S	1	PD04JAN18	6508.50	6879.33	USD	-9,270.75	
040CT17	S	2	PD04JAN18	6506.00	6879.33	USD	-18,666.50	
040CT17	S	1	PD04JAN18	6507.50	6879.33	USD	-9,295.75	
040CT17	S	2	PD04JAN18	6519.50	6879.33	USD	-17,991.50	
040CT17	S	5	PD04JAN18	6504.00	6879.33	USD	-46,916.25	
040CT17	S	1	PD04JAN18	6512.50	6879.33	USD	-9,170.75	
040CT17	B	2	PD04JAN18	6522.50	6879.33	USD	17,841.50	
040CT17	S	3	PD04JAN18	6521.00	6879.33	USD	-26,874.75	
040CT17	S	1	PD04JAN18	6522.50	6879.33	USD	-8,920.75	
040CT17	S	3	PD04JAN18	6522.00	6879.33	USD	-26,799.75	
040CT17	S	2	PD04JAN18	6513.50	6879.33	USD	-18,291.50	
040CT17	S	3	PD04JAN18	6515.50	6879.33	USD	-27,287.25	
040CT17	S	1	PD04JAN18	6514.50	6879.33	USD	-9,120.75	
040CT17	S	5	PD04JAN18	6510.00	6879.33	USD	-46,166.25	
060CT17	B	35	PD04JAN18	6666.17	6879.33	USD	186,515.00	
	NET	0 AVG BGHT 6658.40	SOLD 6512.55				-134,911.25	0.00
050CT17	B	1	PD05JAN18	6558.50	6879.67	USD	8,029.25	
050CT17	B	3	PD05JAN18	6559.50	6879.67	USD	24,012.75	
050CT17	B	4	PD05JAN18	6560.50	6879.67	USD	31,917.00	
050CT17	B	1	PD05JAN18	6559.50	6879.67	USD	8,004.25	
050CT17	B	1	PD05JAN18	6560.50	6879.67	USD	7,979.25	
050CT17	B	2	PD05JAN18	6590.50	6879.67	USD	14,458.50	
050CT17	B	2	PD05JAN18	6588.50	6879.67	USD	14,558.50	
050CT17	B	1	PD05JAN18	6593.00	6879.67	USD	7,166.75	
050CT17	B	1	PD05JAN18	6618.00	6879.67	USD	6,541.75	
050CT17	B	3	PD05JAN18	6617.00	6879.67	USD	19,700.25	
050CT17	B	1	PD05JAN18	6615.00	6879.67	USD	6,616.75	
050CT17	B	1	PD05JAN18	6681.00	6879.67	USD	4,966.75	
050CT17	B	1	PD05JAN18	6683.00	6879.67	USD	4,916.75	
050CT17	B	1	PD05JAN18	6688.50	6879.67	USD	4,779.25	
050CT17	B	1	PD05JAN18	6687.50	6879.67	USD	4,804.25	
050CT17	B	1	PD05JAN18	6677.50	6879.67	USD	5,054.25	
050CT17	B	1	PD05JAN18	6680.50	6879.67	USD	4,979.25	
050CT17	B	2	PD05JAN18	6681.50	6879.67	USD	9,908.50	
050CT17	B	1	PD05JAN18	6682.50	6879.67	USD	4,929.25	
050CT17	B	1	PD05JAN18	6683.50	6879.67	USD	4,904.25	
050CT17	B	2	PD05JAN18	6679.50	6879.67	USD	10,008.50	
050CT17	B	3	PD05JAN18	6678.50	6879.67	USD	15,087.75	
060CT17	B	2	PD05JAN18	6692.00	6879.67	USD	9,383.50	
060CT17	B	1	PD05JAN18	6691.00	6879.67	USD	4,716.75	
060CT17	B	1	PD05JAN18	6694.00	6879.67	USD	4,641.75	
060CT17	B	1	PD05JAN18	6693.00	6879.67	USD	4,666.75	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>					
06OCT17	S 35 PD05JAN18	6666.30	6879.67	USD	-186,698.75	
09OCT17	S 5 PD05JAN18	6663.95	6879.67	USD	-26,965.00	
	NET 0AVG BGHT 6632.94 SOLD 6666.01				33,068.75	0.00

OPEN POSITION VALUE

		<u>USD</u>
LME Copper Grade A 25	FUTURES	-165,105.00

MARKET REVALUATION BREAKDOWN

Unrealised Profits & Losses - Forwards	Amount	Profit / Loss	Total Amount	Total P&L
COPL - Futures USD	-165,105.00	-165,105.00	-165,105.00	-165,105.00

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	165,169.60	165,169.60
Variation Margins - Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	165,169.60	165,169.60
Initial Margins	-165,105.00	-165,105.00
Excess/(Shortage)	64.60	64.60
FOR INFORMATION ONLY		
Forward Unrealised P&L	-165,105.00	-165,105.00
Net Liquidating Value	64.60	64.60

OPEN POSITION STATEMENT



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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
01SEP17	B	1	PD01DEC17	6809.00	6870.00	USD	1,525.00	
01SEP17	B	1	PD01DEC17	6805.50	6870.00	USD	1,612.50	
01SEP17	B	1	PD01DEC17	6801.00	6870.00	USD	1,725.00	
01SEP17	B	2	PD01DEC17	6803.50	6870.00	USD	3,325.00	
01SEP17	B	1	PD01DEC17	6787.00	6870.00	USD	2,075.00	
01SEP17	B	1	PD01DEC17	6793.00	6870.00	USD	1,925.00	
01SEP17	B	3	PD01DEC17	6802.00	6870.00	USD	5,100.00	
01SEP17	B	1	PD01DEC17	6811.00	6870.00	USD	1,475.00	
01SEP17	B	2	PD01DEC17	6805.00	6870.00	USD	3,250.00	
01SEP17	B	1	PD01DEC17	6804.00	6870.00	USD	1,650.00	
01SEP17	B	1	PD01DEC17	6803.00	6870.00	USD	1,675.00	
01SEP17	B	1	PD01DEC17	6835.50	6870.00	USD	862.50	
01SEP17	B	4	PD01DEC17	6836.50	6870.00	USD	3,350.00	
01SEP17	B	1	PD01DEC17	6839.00	6870.00	USD	775.00	
01SEP17	B	2	PD01DEC17	6836.00	6870.00	USD	1,700.00	
01SEP17	B	2	PD01DEC17	6837.00	6870.00	USD	1,650.00	
01SEP17	B	1	PD01DEC17	6839.50	6870.00	USD	762.50	
06OCT17	S	26	PD01DEC17	6652.50	6870.00	USD	-141,375.00	
	NET	0 AVG BGHT 6817.02	SOLD 6652.50				-106,937.50	0.00
04SEP17	B	1	PD04DEC17	6898.00	6871.20	USD	-670.00	
04SEP17	B	2	PD04DEC17	6915.00	6871.20	USD	-2,190.00	
04SEP17	B	2	PD04DEC17	6911.50	6871.20	USD	-2,015.00	
04SEP17	B	1	PD04DEC17	6922.00	6871.20	USD	-1,270.00	
04SEP17	B	1	PD04DEC17	6913.00	6871.20	USD	-1,045.00	
04SEP17	B	1	PD04DEC17	6908.50	6871.20	USD	-932.50	
04SEP17	B	1	PD04DEC17	6909.50	6871.20	USD	-957.50	
06OCT17	S	9	PD04DEC17	6654.50	6871.20	USD	-48,757.50	
	NET	0 AVG BGHT 6911.56	SOLD 6654.50				-57,837.50	0.00
08SEP17	S	1	PD08DEC17	6804.50	6872.83	USD	-1,708.25	
08SEP17	S	1	PD08DEC17	6797.00	6872.83	USD	-1,895.75	
08SEP17	S	3	PD08DEC17	6811.00	6872.83	USD	-4,637.25	
08SEP17	S	1	PD08DEC17	6743.50	6872.83	USD	-3,233.25	
08SEP17	S	4	PD08DEC17	6745.50	6872.83	USD	-12,733.00	
06OCT17	B	10	PD08DEC17	6657.37	6872.83	USD	53,865.00	
	NET	0 AVG BGHT 6657.37	SOLD 6776.00				29,657.50	0.00
11SEP17	B	2	PD11DEC17	6749.50	6874.08	USD	6,229.00	
11SEP17	B	2	PD11DEC17	6746.00	6874.08	USD	6,404.00	
11SEP17	B	1	PD11DEC17	6750.00	6874.08	USD	3,102.00	
11SEP17	B	1	PD11DEC17	6748.00	6874.08	USD	3,152.00	
06OCT17	S	6	PD11DEC17	6659.17	6874.08	USD	-32,236.50	
	NET	0 AVG BGHT 6748.17	SOLD 6659.17				-13,349.50	0.00
12SEP17	B	1	PD12DEC17	6700.00	6874.50	USD	4,362.50	
12SEP17	B	1	PD12DEC17	6697.00	6874.50	USD	4,437.50	
12SEP17	B	1	PD12DEC17	6699.00	6874.50	USD	4,387.50	
12SEP17	B	1	PD12DEC17	6688.00	6874.50	USD	4,662.50	
06OCT17	S	4	PD12DEC17	6659.83	6874.50	USD	-21,467.00	
	NET	0 AVG BGHT 6696.00	SOLD 6659.83				-3,617.00	0.00
13SEP17	S	1	PD13DEC17	6672.00	6874.92	USD	-5,073.00	
13SEP17	S	2	PD13DEC17	6663.00	6874.92	USD	-10,596.00	
13SEP17	S	1	PD13DEC17	6657.50	6874.92	USD	-5,435.50	
13SEP17	S	1	PD13DEC17	6655.50	6874.92	USD	-5,485.50	
13SEP17	B	1	PD13DEC17	6628.50	6874.92	USD	6,160.50	
13SEP17	B	1	PD13DEC17	6607.00	6874.92	USD	6,698.00	
13SEP17	B	2	PD13DEC17	6606.00	6874.92	USD	13,446.00	
13SEP17	B	1	PD13DEC17	6610.50	6874.92	USD	6,610.50	
	NET	0 AVG BGHT 6611.60	SOLD 6662.20				6,325.00	0.00

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0127F

13th October 2017

Sapien Capital Limited
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backoffice@sapiencap.com

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FINANCIAL CONDUCT AUTHORITY

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
15SEP17	S	4	PD15DEC17	6490.50	6875.75	USD	-38,525.00	
15SEP17	S	1	PD15DEC17	6491.50	6875.75	USD	-9,606.25	
15SEP17	S	1	PD15DEC17	6455.00	6875.75	USD	-10,518.75	
06OCT17	B	6	PD15DEC17	6661.10	6875.75	USD	32,197.50	
	NET	0 AVG	BGHT 6661.10				-26,452.50	0.00
19SEP17	S	3	PD19DEC17	6537.50	6877.00	USD	-25,462.50	
19SEP17	S	1	PD19DEC17	6537.50	6877.00	USD	-8,487.50	
06OCT17	B	4	PD19DEC17	6662.20	6877.00	USD	21,480.00	
	NET	0 AVG	BGHT 6662.20				-12,470.00	0.00
20SEP17	S	2	PD20DEC17	6574.00	6877.00	USD	-15,150.00	
20SEP17	S	1	PD20DEC17	6560.50	6877.00	USD	-7,912.50	
20SEP17	S	2	PD20DEC17	6561.50	6877.00	USD	-15,775.00	
06OCT17	B	5	PD20DEC17	6662.70	6877.00	USD	26,787.50	
	NET	0 AVG	BGHT 6662.70				-12,050.00	0.00
26SEP17	S	2	PD27DEC17	6482.00	6876.67	USD	-19,733.50	
26SEP17	S	1	PD27DEC17	6476.50	6876.67	USD	-10,004.25	
26SEP17	S	1	PD27DEC17	6463.50	6876.67	USD	-10,329.25	
26SEP17	S	1	PD27DEC17	6464.50	6876.67	USD	-10,304.25	
06OCT17	B	5	PD27DEC17	6663.70	6876.67	USD	26,621.25	
	NET	0 AVG	BGHT 6663.70				-23,750.00	0.00
28SEP17	B	5	PD28DEC17	6459.00	6877.00	USD	52,250.00	
28SEP17	B	1	PD28DEC17	6559.50	6877.00	USD	7,937.50	
28SEP17	B	3	PD28DEC17	6563.00	6877.00	USD	23,550.00	
28SEP17	B	1	PD28DEC17	6564.50	6877.00	USD	7,812.50	
	NET	+10 AVG	BGHT 6510.80				91,550.00	0.00
29SEP17	B	1	PD29DEC17	6547.50	6877.33	USD	8,245.75	
29SEP17	B	1	PD29DEC17	6546.50	6877.33	USD	8,270.75	
29SEP17	B	1	PD29DEC17	6545.50	6877.33	USD	8,295.75	
29SEP17	B	2	PD29DEC17	6544.50	6877.33	USD	16,641.50	
	NET	+5 AVG	BGHT 6545.70				41,453.75	0.00
02OCT17	S	1	PD02JAN18	6504.50	6878.67	USD	-9,354.25	
02OCT17	S	4	PD02JAN18	6504.00	6878.67	USD	-37,467.00	
02OCT17	S	1	PD02JAN18	6501.00	6878.67	USD	-9,441.75	
02OCT17	S	3	PD02JAN18	6500.50	6878.67	USD	-28,362.75	
02OCT17	S	1	PD02JAN18	6500.00	6878.67	USD	-9,466.75	
06OCT17	B	10	PD02JAN18	6665.70	6878.67	USD	53,242.50	
	NET	0 AVG	BGHT 6665.70				-40,850.00	0.00
04OCT17	S	1	PD04JAN18	6518.00	6879.33	USD	-9,033.25	
04OCT17	S	3	PD04JAN18	6513.50	6879.33	USD	-27,437.25	
04OCT17	S	1	PD04JAN18	6512.50	6879.33	USD	-9,170.75	
06OCT17	B	5	PD04JAN18	6666.37	6879.33	USD	26,620.00	
	NET	0 AVG	BGHT 6666.37				-19,021.25	0.00
05OCT17	B	1	PD05JAN18	6550.00	6879.67	USD	8,241.75	
05OCT17	B	1	PD05JAN18	6555.50	6879.67	USD	8,104.25	
05OCT17	B	1	PD05JAN18	6587.50	6879.67	USD	7,304.25	
05OCT17	B	1	PD05JAN18	6594.00	6879.67	USD	7,141.75	
05OCT17	B	1	PD05JAN18	6593.50	6879.67	USD	7,154.25	
06OCT17	B	1	PD05JAN18	6698.50	6879.67	USD	4,529.25	
06OCT17	B	1	PD05JAN18	6697.00	6879.67	USD	4,566.75	
06OCT17	B	1	PD05JAN18	6696.00	6879.67	USD	4,591.75	
06OCT17	B	1	PD05JAN18	6694.00	6879.67	USD	4,641.75	
06OCT17	B	1	PD05JAN18	6689.50	6879.67	USD	4,754.25	
06OCT17	B	2	PD05JAN18	6651.00	6879.67	USD	11,433.50	
06OCT17	S	1	PD05JAN18	6663.50	6879.67	USD	-5,404.25	
06OCT17	S	1	PD05JAN18	6651.50	6879.67	USD	-5,704.25	

OPEN POSITION STATEMENT



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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>					
	NET +10 AVG BGHT 6638.13 SOLD 6657.50				61,355.00	0.00
09OCT17	S 1 PD09JAN18	6665.50	6881.00	USD	-5,387.50	
09OCT17	S 1 PD09JAN18	6662.00	6881.00	USD	-5,475.00	
09OCT17	S 1 PD09JAN18	6657.50	6881.00	USD	-5,587.50	
09OCT17	S 1 PD09JAN18	6653.00	6881.00	USD	-5,700.00	
09OCT17	S 1 PD09JAN18	6654.50	6881.00	USD	-5,662.50	
	NET -5 AVG SOLD 6658.50				-27,812.50	0.00
10OCT17	B 1 PD10JAN18	6725.50	6881.33	USD	3,895.75	
10OCT17	S 1 PD10JAN18	6698.50	6881.33	USD	-4,570.75	
10OCT17	S 3 PD10JAN18	6700.50	6881.33	USD	-13,562.25	
10OCT17	S 1 PD10JAN18	6701.50	6881.33	USD	-4,495.75	
10OCT17	S 1 PD10JAN18	6751.50	6881.33	USD	-3,245.75	
	NET -5 AVG BGHT 6725.50 SOLD 6708.83				-21,978.75	0.00
11OCT17	B 2 PD11JAN18	6729.50	6881.67	USD	7,608.50	
11OCT17	B 2 PD11JAN18	6730.50	6881.67	USD	7,558.50	
11OCT17	B 1 PD11JAN18	6731.50	6881.67	USD	3,754.25	
11OCT17	S 1 PD11JAN18	6740.00	6881.67	USD	-3,541.75	
11OCT17	S 1 PD11JAN18	6741.00	6881.67	USD	-3,516.75	
11OCT17	S 1 PD11JAN18	6750.50	6881.67	USD	-3,279.25	
11OCT17	S 2 PD11JAN18	6751.00	6881.67	USD	-6,533.50	
11OCT17	B 1 PD11JAN18	6795.00	6881.67	USD	2,166.75	
11OCT17	B 3 PD11JAN18	6773.00	6881.67	USD	8,150.25	
	NET +4 AVG BGHT 6751.72 SOLD 6746.70				12,367.00	0.00
12OCT17	B 1 PD12JAN18	6813.50	6882.00	USD	1,712.50	
	NET +1 AVG BGHT 6813.50				1,712.50	0.00
	<u>CMX COPPER 25,000 CMX</u>					
05OCT17	S 2 DEC17	297.600	313.350	USD	-7,875.00	
05OCT17	S 2 DEC17	298.000	313.350	USD	-7,675.00	
05OCT17	S 2 DEC17	299.650	313.350	USD	-6,850.00	
05OCT17	S 1 DEC17	299.750	313.350	USD	-3,400.00	
05OCT17	S 4 DEC17	299.800	313.350	USD	-13,550.00	
06OCT17	S 2 DEC17	304.450	313.350	USD	-4,450.00	
06OCT17	S 2 DEC17	304.300	313.350	USD	-4,525.00	
06OCT17	S 3 DEC17	304.100	313.350	USD	-6,937.50	
06OCT17	S 4 DEC17	302.400	313.350	USD	-10,950.00	
11OCT17	S 2 DEC17	308.700	313.350	USD	-2,325.00	
11OCT17	S 2 DEC17	307.800	313.350	USD	-2,775.00	
11OCT17	S 4 DEC17	307.750	313.350	USD	-5,600.00	
12OCT17	S 3 DEC17	309.400	313.350	USD	-2,962.50	
	NET -33 AVG SOLD 303.668				-79,875.00	0.00
28SEP17	S 11 MAR18	295.450	315.300	USD	-54,587.50	
	NET -11 AVG SOLD 295.450				-54,587.50	0.00

OPEN POSITION VALUE

		USD
LME Copper Grade A 25	FUTURES	-3,560,465.25
CMX Copper	FUTURES	3,317,750.00

OPEN POSITION STATEMENT



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13th October 2017

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MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options	Amount	Profit / Loss	Total Amount	Total P&L
COPR - Futures USD	-134,462.50	-134,462.50	-134,462.50	-134,462.50
Unrealised Profits & Losses - Forwards	Amount	Profit / Loss	Total Amount	Total P&L
COPL - Futures USD	-121,705.75	-121,705.75	-121,705.75	-121,705.75

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	258,313.39	258,313.39
Variation Margins - Futures	-134,462.50	-134,462.50
- Options	0.00	0.00
TOTAL EQUITY	123,850.89	123,850.89
Initial Margins	-511,905.75	-511,905.75
Excess/(Shortage)	-388,054.86	-388,054.86
FOR INFORMATION ONLY		
Forward Unrealised P&L	-121,705.75	-121,705.75
Net Liquidating Value	2,145.14	2,145.14

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED
SAP0127 ARBS
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

GROUP NO: **SAPAB127**

13th Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343
Authorised and regulated by the Australian Prudential
Regulation Authority. Authorised by the Prudential
Regulation Authority. Subject to regulation by the Financial
Conduct Authority and limited regulation by the
Prudential Regulation Authority. Details about the extent
of our regulation by the Prudential Regulation Authority are
available from us on request.

GROUP FINANCIAL SUMMARY

		USD	NET USD
		(1.00000)	(1.00000)
CASH BALANCE		932,450.74	932,450.74
Variation Margins	- Futures	-425,800.00	-425,800.00
	- Options	0.00	0.00
TOTAL EQUITY		506,650.74	506,650.74
Initial Margins		-4,079,116.00	-4,079,116.00
Excess/(Shortage)		-3,572,465.26	-3,572,465.26
<u>FOR INFORMATION ONLY</u>			
Forward Unrealised P&L		-551,092.00	-551,092.00
Net Liquidating Value		-44,441.26	-44,441.26

OPEN POSITION STATEMENT



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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
05OCT17	B	1	PD05JAN18	6551.00	6879.67	USD	8,216.75	
05OCT17	B	2	PD05JAN18	6565.50	6879.67	USD	15,708.50	
05OCT17	B	5	PD05JAN18	6563.50	6879.67	USD	39,521.25	
05OCT17	B	2	PD05JAN18	6567.00	6879.67	USD	15,633.50	
05OCT17	B	10	PD05JAN18	6564.50	6879.67	USD	78,792.50	
05OCT17	B	1	PD05JAN18	6559.00	6879.67	USD	8,016.75	
05OCT17	B	1	PD05JAN18	6554.00	6879.67	USD	8,141.75	
05OCT17	B	2	PD05JAN18	6555.00	6879.67	USD	16,233.50	
05OCT17	B	2	PD05JAN18	6552.50	6879.67	USD	16,358.50	
05OCT17	B	4	PD05JAN18	6559.50	6879.67	USD	32,017.00	
05OCT17	B	1	PD05JAN18	6609.50	6879.67	USD	6,754.25	
05OCT17	B	1	PD05JAN18	6608.50	6879.67	USD	6,779.25	
05OCT17	B	2	PD05JAN18	6607.50	6879.67	USD	13,608.50	
05OCT17	B	1	PD05JAN18	6614.00	6879.67	USD	6,641.75	
05OCT17	B	2	PD05JAN18	6615.00	6879.67	USD	13,233.50	
05OCT17	B	1	PD05JAN18	6616.00	6879.67	USD	6,591.75	
05OCT17	B	2	PD05JAN18	6618.50	6879.67	USD	13,058.50	
05OCT17	B	1	PD05JAN18	6620.50	6879.67	USD	6,479.25	
05OCT17	B	1	PD05JAN18	6621.50	6879.67	USD	6,454.25	
05OCT17	B	1	PD05JAN18	6630.50	6879.67	USD	6,229.25	
05OCT17	B	4	PD05JAN18	6629.50	6879.67	USD	25,017.00	
05OCT17	B	3	PD05JAN18	6628.50	6879.67	USD	18,837.75	
05OCT17	B	1	PD05JAN18	6650.00	6879.67	USD	5,741.75	
05OCT17	B	2	PD05JAN18	6651.00	6879.67	USD	11,433.50	
05OCT17	B	4	PD05JAN18	6652.00	6879.67	USD	22,767.00	
05OCT17	B	3	PD05JAN18	6654.00	6879.67	USD	16,925.25	
05OCT17	B	2	PD05JAN18	6660.50	6879.67	USD	10,958.50	
05OCT17	B	3	PD05JAN18	6659.50	6879.67	USD	16,512.75	
05OCT17	B	1	PD05JAN18	6667.00	6879.67	USD	5,316.75	
05OCT17	B	2	PD05JAN18	6670.00	6879.67	USD	10,483.50	
05OCT17	B	8	PD05JAN18	6669.00	6879.67	USD	42,134.00	
05OCT17	B	6	PD05JAN18	6668.00	6879.67	USD	31,750.50	
05OCT17	B	7	PD05JAN18	6666.00	6879.67	USD	37,392.25	
05OCT17	B	1	PD05JAN18	6671.50	6879.67	USD	5,204.25	
05OCT17	B	3	PD05JAN18	6672.50	6879.67	USD	15,537.75	
05OCT17	B	1	PD05JAN18	6673.50	6879.67	USD	5,154.25	
05OCT17	B	1	PD05JAN18	6680.00	6879.67	USD	4,991.75	
05OCT17	B	2	PD05JAN18	6682.50	6879.67	USD	9,858.50	
05OCT17	B	2	PD05JAN18	6683.50	6879.67	USD	9,808.50	
05OCT17	B	2	PD05JAN18	6688.50	6879.67	USD	9,558.50	
05OCT17	B	1	PD05JAN18	6687.50	6879.67	USD	4,804.25	
05OCT17	B	9	PD05JAN18	6686.50	6879.67	USD	43,463.25	
05OCT17	B	1	PD05JAN18	6677.50	6879.67	USD	5,054.25	
05OCT17	B	2	PD05JAN18	6678.50	6879.67	USD	10,058.50	
05OCT17	B	7	PD05JAN18	6683.00	6879.67	USD	34,417.25	
05OCT17	B	8	PD05JAN18	6682.00	6879.67	USD	39,534.00	
05OCT17	B	17	PD05JAN18	6681.00	6879.67	USD	84,434.75	
05OCT17	B	5	PD05JAN18	6679.50	6879.67	USD	25,021.25	
05OCT17	B	2	PD05JAN18	6675.50	6879.67	USD	10,208.50	
05OCT17	B	4	PD05JAN18	6677.00	6879.67	USD	20,267.00	
05OCT17	B	1	PD05JAN18	6668.00	6879.67	USD	5,291.75	
05OCT17	B	2	PD05JAN18	6683.00	6879.67	USD	9,833.50	
05OCT17	B	3	PD05JAN18	6676.00	6879.67	USD	15,275.25	
05OCT17	B	5	PD05JAN18	6678.00	6879.67	USD	25,208.75	
05OCT17	B	17	PD05JAN18	6679.00	6879.67	USD	85,284.75	
05OCT17	B	6	PD05JAN18	6680.50	6879.67	USD	29,875.50	
05OCT17	B	6	PD05JAN18	6681.50	6879.67	USD	29,725.50	

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05OCT17	B	2	PD05JAN18	6698.00	6879.67	USD	9,083.50	
05OCT17	B	2	PD05JAN18	6695.50	6879.67	USD	9,208.50	
05OCT17	B	1	PD05JAN18	6679.00	6879.67	USD	5,016.75	
05OCT17	B	1	PD05JAN18	6676.00	6879.67	USD	5,091.75	
05OCT17	B	1	PD05JAN18	6681.00	6879.67	USD	4,966.75	
05OCT17	B	1	PD05JAN18	6680.50	6879.67	USD	4,979.25	
05OCT17	B	8	PD05JAN18	6675.50	6879.67	USD	40,834.00	
05OCT17	B	1	PD05JAN18	6680.00	6879.67	USD	4,991.75	
06OCT17	S	1	PD05JAN18	6650.50	6879.67	USD	-5,729.25	
06OCT17	S	1	PD05JAN18	6651.50	6879.67	USD	-5,704.25	
06OCT17	S	5	PD05JAN18	6652.50	6879.67	USD	-28,396.25	
06OCT17	S	3	PD05JAN18	6655.00	6879.67	USD	-16,850.25	
06OCT17	S	3	PD05JAN18	6654.50	6879.67	USD	-16,887.75	
06OCT17	S	2	PD05JAN18	6653.50	6879.67	USD	-11,308.50	
06OCT17	S	1	PD05JAN18	6653.50	6879.67	USD	-5,654.25	
06OCT17	S	5	PD05JAN18	6655.00	6879.67	USD	-28,083.75	
	NET	+193 AVG	BGHT 6655.04				1,083,170.25	0.00
			SOLD 6653.74					
09OCT17	S	8	PD09JAN18	6677.00	6881.00	USD	-40,800.00	
09OCT17	S	3	PD09JAN18	6670.00	6881.00	USD	-15,825.00	
09OCT17	S	3	PD09JAN18	6662.50	6881.00	USD	-16,387.50	
09OCT17	S	3	PD09JAN18	6680.00	6881.00	USD	-15,075.00	
09OCT17	S	2	PD09JAN18	6679.00	6881.00	USD	-10,100.00	
09OCT17	S	1	PD09JAN18	6664.50	6881.00	USD	-5,412.50	
09OCT17	S	3	PD09JAN18	6663.50	6881.00	USD	-16,312.50	
09OCT17	S	11	PD09JAN18	6676.00	6881.00	USD	-56,375.00	
09OCT17	S	2	PD09JAN18	6657.50	6881.00	USD	-11,175.00	
09OCT17	S	1	PD09JAN18	6658.50	6881.00	USD	-5,562.50	
09OCT17	S	1	PD09JAN18	6656.00	6881.00	USD	-5,625.00	
09OCT17	S	1	PD09JAN18	6659.00	6881.00	USD	-5,550.00	
09OCT17	S	7	PD09JAN18	6658.00	6881.00	USD	-39,025.00	
09OCT17	S	1	PD09JAN18	6656.50	6881.00	USD	-5,612.50	
09OCT17	S	2	PD09JAN18	6669.50	6881.00	USD	-10,575.00	
09OCT17	S	1	PD09JAN18	6671.50	6881.00	USD	-5,237.50	
09OCT17	S	1	PD09JAN18	6671.00	6881.00	USD	-5,250.00	
09OCT17	S	1	PD09JAN18	6667.00	6881.00	USD	-5,350.00	
09OCT17	S	2	PD09JAN18	6674.50	6881.00	USD	-10,325.00	
09OCT17	S	9	PD09JAN18	6674.00	6881.00	USD	-46,575.00	
	NET	-63 AVG	SOLD 6670.11				-332,150.00	0.00
10OCT17	B	1	PD10JAN18	6740.00	6881.33	USD	3,533.25	
10OCT17	B	1	PD10JAN18	6750.00	6881.33	USD	3,283.25	
10OCT17	B	1	PD10JAN18	6754.50	6881.33	USD	3,170.75	
10OCT17	B	1	PD10JAN18	6750.50	6881.33	USD	3,270.75	
10OCT17	B	1	PD10JAN18	6749.50	6881.33	USD	3,295.75	
10OCT17	B	3	PD10JAN18	6747.50	6881.33	USD	10,037.25	
10OCT17	B	1	PD10JAN18	6746.00	6881.33	USD	3,383.25	
10OCT17	B	1	PD10JAN18	6745.00	6881.33	USD	3,408.25	
10OCT17	B	1	PD10JAN18	6744.00	6881.33	USD	3,433.25	
10OCT17	B	6	PD10JAN18	6752.50	6881.33	USD	19,324.50	
10OCT17	B	2	PD10JAN18	6751.50	6881.33	USD	6,491.50	
10OCT17	B	2	PD10JAN18	6760.50	6881.33	USD	6,041.50	
10OCT17	B	1	PD10JAN18	6759.00	6881.33	USD	3,058.25	
10OCT17	B	2	PD10JAN18	6758.00	6881.33	USD	6,166.50	
10OCT17	B	5	PD10JAN18	6756.00	6881.33	USD	15,666.25	
10OCT17	B	2	PD10JAN18	6753.50	6881.33	USD	6,391.50	
10OCT17	B	7	PD10JAN18	6755.00	6881.33	USD	22,107.75	
10OCT17	B	6	PD10JAN18	6748.00	6881.33	USD	19,999.50	
10OCT17	B	1	PD10JAN18	6745.00	6881.33	USD	3,408.25	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0128A

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

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Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>					
10OCT17	B 1 PD10JAN18 NET +46 AVG BGHT 6752.17	6759.00	6881.33	USD	3,058.25 148,529.50	0.00
11OCT17	B 6 PD11JAN18	6725.00	6881.67	USD	23,500.50	
11OCT17	B 1 PD11JAN18	6723.50	6881.67	USD	3,954.25	
11OCT17	B 1 PD11JAN18	6726.00	6881.67	USD	3,891.75	
11OCT17	B 8 PD11JAN18	6728.00	6881.67	USD	30,734.00	
11OCT17	B 12 PD11JAN18	6727.00	6881.67	USD	46,401.00	
11OCT17	B 5 PD11JAN18	6729.00	6881.67	USD	19,083.75	
11OCT17	B 1 PD11JAN18	6730.00	6881.67	USD	3,791.75	
11OCT17	B 4 PD11JAN18	6731.00	6881.67	USD	15,067.00	
11OCT17	B 2 PD11JAN18	6732.50	6881.67	USD	7,458.50	
11OCT17	B 5 PD11JAN18	6730.50	6881.67	USD	18,896.25	
11OCT17	B 4 PD11JAN18	6731.50	6881.67	USD	15,017.00	
11OCT17	B 1 PD11JAN18	6787.50	6881.67	USD	2,354.25	
11OCT17	B 4 PD11JAN18	6786.50	6881.67	USD	9,517.00	
11OCT17	B 4 PD11JAN18	6789.00	6881.67	USD	9,267.00	
11OCT17	B 1 PD11JAN18	6792.50	6881.67	USD	2,229.25	
11OCT17	B 4 PD11JAN18	6795.00	6881.67	USD	8,667.00	
11OCT17	B 7 PD11JAN18	6794.00	6881.67	USD	15,342.25	
11OCT17	B 10 PD11JAN18	6790.00	6881.67	USD	22,917.50	
11OCT17	B 11 PD11JAN18	6785.50	6881.67	USD	26,446.75	
11OCT17	B 5 PD11JAN18	6784.50	6881.67	USD	12,146.25	
11OCT17	B 2 PD11JAN18	6783.50	6881.67	USD	4,908.50	
11OCT17	B 1 PD11JAN18	6782.00	6881.67	USD	2,491.75	
11OCT17	B 1 PD11JAN18	6779.00	6881.67	USD	2,566.75	
11OCT17	B 2 PD11JAN18	6778.00	6881.67	USD	5,183.50	
11OCT17	B 1 PD11JAN18	6781.00	6881.67	USD	2,516.75	
11OCT17	B 2 PD11JAN18	6766.00	6881.67	USD	5,783.50	
11OCT17	B 4 PD11JAN18	6766.50	6881.67	USD	11,517.00	
11OCT17	B 2 PD11JAN18	6767.50	6881.67	USD	5,708.50	
11OCT17	B 2 PD11JAN18	6766.50	6881.67	USD	5,758.50	
11OCT17	B 1 PD11JAN18	6786.50	6881.67	USD	2,379.25	
	NET +114 AVG BGHT 6760.44				345,497.00	0.00
	<u>CMX COPPER 25,000 CMX</u>					
05OCT17	S 1 DEC17	302.750	313.350	USD	-2,650.00	
05OCT17	S 2 DEC17	302.350	313.350	USD	-5,500.00	
05OCT17	S 3 DEC17	298.400	313.350	USD	-11,212.50	
05OCT17	S 2 DEC17	303.000	313.350	USD	-5,175.00	
05OCT17	S 2 DEC17	303.100	313.350	USD	-5,125.00	
05OCT17	S 2 DEC17	303.150	313.350	USD	-5,100.00	
05OCT17	S 4 DEC17	303.300	313.350	USD	-10,050.00	
05OCT17	S 2 DEC17	303.250	313.350	USD	-5,050.00	
05OCT17	S 4 DEC17	303.350	313.350	USD	-10,000.00	
05OCT17	S 2 DEC17	304.100	313.350	USD	-4,625.00	
05OCT17	S 2 DEC17	304.050	313.350	USD	-4,650.00	
05OCT17	S 18 DEC17	304.000	313.350	USD	-42,075.00	
05OCT17	S 4 DEC17	303.950	313.350	USD	-9,400.00	
05OCT17	S 7 DEC17	303.450	313.350	USD	-17,325.00	
05OCT17	S 22 DEC17	303.850	313.350	USD	-52,250.00	
05OCT17	S 17 DEC17	303.800	313.350	USD	-40,587.50	
05OCT17	S 8 DEC17	303.550	313.350	USD	-19,600.00	
05OCT17	S 24 DEC17	303.500	313.350	USD	-59,100.00	
05OCT17	S 1 DEC17	303.400	313.350	USD	-2,487.50	
05OCT17	S 21 DEC17	303.600	313.350	USD	-51,187.50	
05OCT17	S 16 DEC17	303.650	313.350	USD	-38,800.00	
05OCT17	S 58 DEC17	303.700	313.350	USD	-139,925.00	
05OCT17	S 54 DEC17	303.750	313.350	USD	-129,600.00	

OPEN POSITION STATEMENT



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Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	CMX COPPER 25,000 CMX					
05OCT17	S 4 DEC17	304.550	313.350	USD	-8,800.00	
05OCT17	S 4 DEC17	304.450	313.350	USD	-8,900.00	
05OCT17	S 2 DEC17	304.500	313.350	USD	-4,425.00	
10OCT17	S 2 DEC17	306.050	313.350	USD	-3,650.00	
10OCT17	S 4 DEC17	306.500	313.350	USD	-6,850.00	
10OCT17	S 10 DEC17	306.450	313.350	USD	-17,250.00	
10OCT17	S 2 DEC17	306.350	313.350	USD	-3,500.00	
10OCT17	S 2 DEC17	306.250	313.350	USD	-3,550.00	
10OCT17	S 8 DEC17	306.300	313.350	USD	-14,100.00	
10OCT17	S 12 DEC17	306.650	313.350	USD	-20,100.00	
10OCT17	S 6 DEC17	307.000	313.350	USD	-9,525.00	
10OCT17	S 4 DEC17	306.950	313.350	USD	-6,400.00	
10OCT17	S 2 DEC17	306.900	313.350	USD	-3,225.00	
10OCT17	S 6 DEC17	306.850	313.350	USD	-9,750.00	
10OCT17	S 11 DEC17	306.750	313.350	USD	-18,150.00	
10OCT17	S 7 DEC17	306.700	313.350	USD	-11,637.50	
10OCT17	S 10 DEC17	306.800	313.350	USD	-16,375.00	
10OCT17	S 7 DEC17	306.550	313.350	USD	-11,900.00	
10OCT17	S 8 DEC17	306.400	313.350	USD	-13,900.00	
11OCT17	S 10 DEC17	305.450	313.350	USD	-19,750.00	
11OCT17	S 2 DEC17	305.400	313.350	USD	-3,975.00	
11OCT17	S 2 DEC17	305.350	313.350	USD	-4,000.00	
11OCT17	S 2 DEC17	305.300	313.350	USD	-4,025.00	
11OCT17	S 7 DEC17	305.500	313.350	USD	-13,737.50	
11OCT17	S 18 DEC17	305.600	313.350	USD	-34,875.00	
11OCT17	S 25 DEC17	305.550	313.350	USD	-48,750.00	
11OCT17	S 18 DEC17	305.700	313.350	USD	-34,425.00	
11OCT17	S 13 DEC17	305.650	313.350	USD	-25,025.00	
11OCT17	S 10 DEC17	305.750	313.350	USD	-19,000.00	
11OCT17	S 1 DEC17	307.250	313.350	USD	-1,525.00	
11OCT17	S 8 DEC17	308.350	313.350	USD	-10,000.00	
11OCT17	S 10 DEC17	308.200	313.350	USD	-12,875.00	
11OCT17	S 10 DEC17	308.450	313.350	USD	-12,250.00	
11OCT17	S 2 DEC17	308.750	313.350	USD	-2,300.00	
11OCT17	S 2 DEC17	308.700	313.350	USD	-2,325.00	
11OCT17	S 14 DEC17	308.650	313.350	USD	-16,450.00	
11OCT17	S 6 DEC17	308.600	313.350	USD	-7,125.00	
11OCT17	S 12 DEC17	308.400	313.350	USD	-14,850.00	
11OCT17	S 11 DEC17	308.300	313.350	USD	-13,887.50	
11OCT17	S 19 DEC17	308.250	313.350	USD	-24,225.00	
11OCT17	S 8 DEC17	308.150	313.350	USD	-10,400.00	
11OCT17	S 1 DEC17	307.850	313.350	USD	-1,375.00	
11OCT17	S 5 DEC17	307.900	313.350	USD	-6,812.50	
11OCT17	S 2 DEC17	308.000	313.350	USD	-2,675.00	
11OCT17	S 11 DEC17	308.100	313.350	USD	-14,437.50	
11OCT17	S 5 DEC17	307.350	313.350	USD	-7,500.00	
11OCT17	S 12 DEC17	307.400	313.350	USD	-17,850.00	
11OCT17	S 5 DEC17	307.450	313.350	USD	-7,375.00	
	NET -638 AVG SOLD 305.467				-1,257,262.50	0.00

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0128A

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
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OPEN POSITION VALUE

		USD
LME Copper Grade A 25	FUTURES	-48,638,075.00
CMX Copper	FUTURES	48,722,062.50

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options	Amount	Profit / Loss	Total Amount	Total P&L
COPR - Futures USD	-1,257,262.50	-1,257,262.50	-1,257,262.50	-1,257,262.50
Unrealised Profits & Losses - Forwards	Amount	Profit / Loss	Total Amount	Total P&L
COPL - Futures USD	1,245,046.75	1,245,046.75	1,245,046.75	1,245,046.75

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	1,405,828.70	1,405,828.70
Variation Margins - Futures	-1,257,262.50	-1,257,262.50
- Options	0.00	0.00
TOTAL EQUITY	148,566.20	148,566.20
Initial Margins	-4,598,336.25	-4,598,336.25
Excess/(Shortage)	-4,449,770.05	-4,449,770.05
FOR INFORMATION ONLY		
Forward Unrealised P&L	1,245,046.75	1,245,046.75
Net Liquidating Value	1,393,612.95	1,393,612.95

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED
SAP0128 ARBS
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

GROUP NO: **SAPAB128**

13th Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343
Authorised and regulated by the Australian Prudential
Regulation Authority. Authorised by the Prudential
Regulation Authority. Subject to regulation by the Financial
Conduct Authority and limited regulation by the
Prudential Regulation Authority. Details about the extent
of our regulation by the Prudential Regulation Authority are
available from us on request.

GROUP FINANCIAL SUMMARY

		USD	NET USD
		(1.00000)	(1.00000)
CASH BALANCE		1,405,828.70	1,405,828.70
Variation Margins	- Futures	-1,257,262.50	-1,257,262.50
	- Options	0.00	0.00
TOTAL EQUITY		148,566.20	148,566.20
Initial Margins		-4,598,336.25	-4,598,336.25
Excess/(Shortage)		-4,449,770.05	-4,449,770.05
<u>FOR INFORMATION ONLY</u>			
Forward Unrealised P&L		1,245,046.75	1,245,046.75
Net Liquidating Value		1,393,612.95	1,393,612.95

OPEN POSITION STATEMENT



SAPIEN CAPITAL LTD-FIRM A/C
Suite A, 105 Piccadilly
London
W1J 7NJ
UK
HEMANT PARIKH

SAP0001

13th October 2017

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343
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ACCOUNT FINANCIAL SUMMARY

	EUR	GBP	JPY
	(1.18360)	(1.33050)	(111.93000)
CASH BALANCE	85,906.04	524,023.27	9,951
Variation Margins - Futures	0.00	0.00	0
- Options	0.00	0.00	0
TOTAL EQUITY	85,906.04	524,023.27	9,951
Initial Margins	0.00	0.00	0
Excess/(Shortage)	85,906.04	524,023.27	9,951
FOR INFORMATION ONLY			
Net Liquidating Value	85,906.04	524,023.27	9,951
	USD	NETT USD	
	(1.00000)	(1.00000)	
CASH BALANCE	4,052,183.41	4,851,163.66	
Variation Margins - Futures	0.00	0.00	
- Options	0.00	0.00	
TOTAL EQUITY	4,052,183.41	4,851,163.66	
Initial Margins	0.00	0.00	
Excess/(Shortage)	4,052,183.41	4,851,163.66	
FOR INFORMATION ONLY			
Net Liquidating Value	4,052,183.41	4,851,163.66	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LTD-FIRM A/C

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London

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UK

HEMANT PARIKH

SAP0001A

13th October 2017

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
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Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
25SEP17	GBP/USD WEEKLY EUROPEAN STYLE					
	PREMIUM OPTIONS - WK4					
	S 15 OCT17 1.31500 Put	0.00260	0.00300	USD	-2,812.50	-375.00
	NET -15 AVG SOLD 0.00260				-2,812.50	-375.00
	CME STERLING 62,500 CME					
13SEP17	B 2 DEC17	1.32670	1.33170	USD	625.00	
25SEP17	B 5 DEC17	1.35100	1.33170	USD	-6,031.25	
25SEP17	B 1 DEC17	1.34800	1.33170	USD	-1,018.75	
26SEP17	B 5 DEC17	1.34490	1.33170	USD	-4,125.00	
27SEP17	B 5 DEC17	1.34270	1.33170	USD	-3,437.50	
02OCT17	B 5 DEC17	1.33440	1.33170	USD	-843.75	
03OCT17	B 5 DEC17	1.32680	1.33170	USD	1,531.25	
05OCT17	B 5 DEC17	1.32210	1.33170	USD	3,000.00	
06OCT17	B 5 DEC17	1.30910	1.33170	USD	7,062.50	
	NET +38 AVG BGHT 1.33306				-3,237.50	0.00

OPEN POSITION VALUE

		USD
GBP/USD Weekly European Style	OPTIONS	2,437.50
CME Sterling	FUTURES	-3,166,025.00

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options	Amount	Profit / Loss	Total Amount	Total P&L
GBP - Futures USD	-3,237.50	-3,237.50	-3,237.50	-3,237.50
Net Premium Options Market Value				
4BP - Options USD	-2,812.50	-375.00	-2,812.50	-375.00

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	106,422.23	106,422.23
Variation Margins - Futures	-3,237.50	-3,237.50
- Options	0.00	0.00
TOTAL EQUITY	103,184.73	103,184.73
Initial Margins	-91,228.50	-91,228.50
Excess/(Shortage)	11,956.23	11,956.23
FOR INFORMATION ONLY		
Net Premium Option Market Value	-2,812.50	-2,812.50
Net Liquidating Value	100,372.23	100,372.23

OPEN POSITION STATEMENT



SAPIEN CAPITAL LTD-FIRM A/C
Suite A, 105 Piccadilly
London
W1J 7NJ
UK
HEMANT PARIKH

SAP0001B

13th October 2017

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ROPEMAKER PLACE 28 ROPEMAKER STREET
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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.</u>							
22AUG17	S	10	PD22NOV17	2080.85	2121.96	USD	-10,277.50	
22AUG17	S	10	PD22NOV17	2082.35	2121.96	USD	-9,902.50	
22AUG17	B	10	PD22NOV17	2084.30	2121.96	USD	9,415.00	
22AUG17	B	10	PD22NOV17	2085.30	2121.96	USD	9,165.00	
	NET	0 AVG BGHT 2084.80	SOLD 2081.60				-1,600.00	0.00

OPEN POSITION VALUE

LME Aluminium 25 Tonnes. FUTURES USD
-1,600.00

MARKET REVALUATION BREAKDOWN

Unrealised Profits & Losses - Forwards	Amount	Profit / Loss	Total Amount	Total P&L
ALUL - Futures USD	-1,600.00	-1,600.00	-1,600.00	-1,600.00

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
CASH BALANCE	(1.00000)	(1.00000)
Variation Margins - Futures	1,983.81	1,983.81
- Options	0.00	0.00
TOTAL EQUITY	1,983.81	1,983.81
Initial Margins	-1,600.00	-1,600.00
Excess/(Shortage)	383.81	383.81
<u>FOR INFORMATION ONLY</u>		
Forward Unrealised P&L	-1,600.00	-1,600.00
Net Liquidating Value	383.81	383.81

OPEN POSITION STATEMENT



SAPIEN CAPITAL LTD-FIRM A/C
14 WATERLOO PLACE
5TH FLOOR
LONDON SW1Y 4AR

HEMANT PARIKH

SAPCASH

13th October 2017

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343
Authorised and regulated by the Australian Prudential
Regulation Authority. Authorised by the Prudential
Regulation Authority. Subject to regulation by the Financial
Conduct Authority and limited regulation by the
Prudential Regulation Authority. Details about the extent
of our regulation by the Prudential Regulation Authority are
available from us on request.

ACCOUNT FINANCIAL SUMMARY			
		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		1,013,104.95	1,013,104.95
Variation Margins	- Futures	0.00	0.00
	- Options	0.00	0.00
TOTAL EQUITY		1,013,104.95	1,013,104.95
Initial Margins		0.00	0.00
Excess/(Shortage)		1,013,104.95	1,013,104.95
FOR INFORMATION ONLY			
Net Liquidating Value		1,013,104.95	1,013,104.95

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ
UK

GROUP NO: **SAPG0001**

13th Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
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GROUP FINANCIAL SUMMARY

	EUR	GBP	JPY
	(1.18360)	(1.33050)	(111.93000)
CASH BALANCE	85,906.04	524,023.27	9,951
Variation Margins	- Futures 0.00	0.00	0
	- Options 0.00	0.00	0
TOTAL EQUITY	85,906.04	524,023.27	9,951
Initial Margins	0.00	0.00	0
Excess/(Shortage)	85,906.04	524,023.27	9,951
<u>FOR INFORMATION ONLY</u>			
Net Liquidating Value	85,906.04	524,023.27	9,951

	USD	NET USD
	(1.00000)	(1.00000)
CASH BALANCE	5,173,694.40	5,972,674.65
Variation Margins	- Futures -3,237.50	-3,237.50
	- Options 0.00	0.00
TOTAL EQUITY	5,170,456.90	5,969,437.15
Initial Margins	-92,828.50	-92,828.50
Excess/(Shortage)	5,077,628.40	5,876,608.65
<u>FOR INFORMATION ONLY</u>		
Forward Unrealised P&L	-1,600.00	-1,600.00
Net Premium Option Market Value	-2,812.50	-2,812.50
Net Liquidating Value	5,166,044.40	5,965,024.65

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0009

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

ACCOUNT FINANCIAL SUMMARY

	EUR	USD	NETT USD
	(1.18360)	(1.00000)	(1.00000)
CASH BALANCE	-110.00	480.23	350.03
Variation Margins - Futures	0.00	0.00	0.00
- Options	0.00	0.00	0.00
TOTAL EQUITY	-110.00	480.23	350.03
Initial Margins	0.00	0.00	0.00
Excess/(Shortage)	-110.00	480.23	350.03
FOR INFORMATION ONLY			
Net Liquidating Value	-110.00	480.23	350.03

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED
SAP0009
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

GROUP NO: **SAPG0009**

13th Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343
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available from us on request.

GROUP FINANCIAL SUMMARY

	EUR	USD	NET USD
	(1.18360)	(1.00000)	(1.00000)
CASH BALANCE	-110.00	480.23	350.03
Variation Margins	- Futures 0.00	0.00	0.00
	- Options 0.00	0.00	0.00
TOTAL EQUITY	-110.00	480.23	350.03
Initial Margins	0.00	0.00	0.00
Excess/(Shortage)	-110.00	480.23	350.03
<u>FOR INFORMATION ONLY</u>			
Net Liquidating Value	-110.00	480.23	350.03

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0011

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
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backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
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ACCOUNT FINANCIAL SUMMARY

	EUR	USD	NETT USD
	(1.18360)	(1.00000)	(1.00000)
CASH BALANCE	-2,600.09	1,254,913.11	1,251,835.64
Variation Margins			
- Futures	0.00	0.00	0.00
- Options	0.00	0.00	0.00
TOTAL EQUITY	-2,600.09	1,254,913.11	1,251,835.64
Initial Margins	0.00	0.00	0.00
Excess/(Shortage)	-2,600.09	1,254,913.11	1,251,835.64
FOR INFORMATION ONLY			
Net Liquidating Value	-2,600.09	1,254,913.11	1,251,835.64

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0011A

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
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Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	NYM LIGHT SWEET CRUDE OIL 1,000 NYM					
25SEP17	S 10 NOV17 62.50 Call	0.01	0.01	USD	-100.00	0.00
25SEP17	S 10 NOV17 62.50 Call	0.02	0.01	USD	-100.00	100.00
	NET -20 AVG SOLD 0.02				-200.00	100.00
25SEP17	S 20 NOV17 63.00 Call	0.01	0.01	USD	-200.00	0.00
	NET -20 AVG SOLD 0.01				-200.00	0.00
25SEP17	S 20 NOV17 63.50 Call	0.01	0.01	USD	-200.00	0.00
	NET -20 AVG SOLD 0.01				-200.00	0.00
130CT17	S 20 DEC17 66.00 Call	0.01	0.01	USD	-200.00	0.00
	NET -20 AVG SOLD 0.01				-200.00	0.00

OPEN POSITION VALUE

NYM Light Sweet Crude Oil OPTIONS USD
900.00

MARKET REVALUATION BREAKDOWN

Net Premium Options Market Value	Amount	Profit / Loss	Total Amount	Total P&L
COIL - Options USD	-800.00	100.00	-800.00	100.00

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
CASH BALANCE	(1.00000)	(1.00000)
Variation Margins	-899.40	-899.40
- Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	-899.40	-899.40
Initial Margins	-3,200.00	-3,200.00
Excess/(Shortage)	-4,099.40	-4,099.40
FOR INFORMATION ONLY		
Net Premium Option Market Value	-800.00	-800.00
Net Liquidating Value	-1,699.40	-1,699.40

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0011B

13th October 2017

Sapien Capital Limited
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Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>NYM LIGHT SWEET CRUDE OIL 1,000 NYM</u>					
25SEP17	S 25 NOV17 63.00 Call	0.01	0.01	USD	-250.00	0.00
	NET -25 AVG SOLD 0.01				-250.00	0.00
20SEP17	S 25 NOV17 63.50 Call	0.01	0.01	USD	-250.00	0.00
	NET -25 AVG SOLD 0.01				-250.00	0.00
20SEP17	S 50 NOV17 64.00 Call	0.01	0.01	USD	-500.00	0.00
	NET -50 AVG SOLD 0.01				-500.00	0.00
21SEP17	S 19 NOV17 64.50 Call	0.01	0.01	USD	-190.00	0.00
25SEP17	S 25 NOV17 64.50 Call	0.01	0.01	USD	-250.00	0.00
	NET -44 AVG SOLD 0.01				-440.00	0.00
25SEP17	S 25 NOV17 65.00 Call	0.01	0.01	USD	-250.00	0.00
26SEP17	S 25 NOV17 65.00 Call	0.01	0.01	USD	-250.00	0.00
	NET -50 AVG SOLD 0.01				-500.00	0.00
08SEP17	S 50 NOV17 35.00 Put	0.01	0.01	USD	-500.00	0.00
11SEP17	S 29 NOV17 35.00 Put	0.01	0.01	USD	-290.00	0.00
	NET -79 AVG SOLD 0.01				-790.00	0.00
130CT17	S 25 DEC17 65.50 Call	0.01	0.01	USD	-250.00	0.00
	NET -25 AVG SOLD 0.01				-250.00	0.00
130CT17	S 50 DEC17 66.00 Call	0.01	0.01	USD	-500.00	0.00
	NET -50 AVG SOLD 0.01				-500.00	0.00
130CT17	S 25 DEC17 66.50 Call	0.01	0.01	USD	-250.00	0.00
	NET -25 AVG SOLD 0.01				-250.00	0.00
	<u>CMX GOLD 100 CMX</u>					
06OCT17	S 10 NOV17 1180.0 Put	0.2	0.1	USD	-100.00	100.00
	NET -10 AVG SOLD 0.2				-100.00	100.00

OPEN POSITION VALUE

		USD
NYM Light Sweet Crude Oil	OPTIONS	3,730.00
CMX Gold	OPTIONS	200.00

MARKET REVALUATION BREAKDOWN

Net Premium Options Market Value	Amount	Profit / Loss	Total Amount	Total P&L
COIL - Options	USD -3,730.00	0.00		
GOLD - Options	USD -100.00	100.00	-3,830.00	100.00

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0011B

13th October 2017

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Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

ACCOUNT FINANCIAL SUMMARY

	EUR	USD	NETT USD
	(1.18360)	(1.00000)	(1.00000)
CASH BALANCE	-64.89	13,310.00	13,233.20
Variation Margins - Futures	0.00	0.00	0.00
- Options	0.00	0.00	0.00
TOTAL EQUITY	-64.89	13,310.00	13,233.20
Initial Margins	0.00	-23,100.00	-23,100.00
Excess/(Shortage)	-64.89	-9,790.00	-9,866.80
FOR INFORMATION ONLY			
Net Premium Option Market Value	0.00	-3,830.00	-3,830.00
Net Liquidating Value	-64.89	9,480.00	9,403.20

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0011C

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
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AUTHORISED AND REGULATED BY THE
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ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		-1,640.00	-1,640.00
Variation Margins	- Futures	0.00	0.00
	- Options	0.00	0.00
TOTAL EQUITY		-1,640.00	-1,640.00
Initial Margins		0.00	0.00
Excess/(Shortage)		-1,640.00	-1,640.00
FOR INFORMATION ONLY			
Net Liquidating Value		-1,640.00	-1,640.00

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP00111

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
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Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	3 MONTH EURO (EURIBOR) 2,500 IFL					
05OCT17	B 50 MAR18	100.3100	100.3150	EUR	625.00	
06OCT17	B 50 MAR18	100.3100	100.3150	EUR	625.00	
13OCT17	B 100 MAR18	100.3100	100.3150	EUR	1,250.00	
	NET +200 AVG BGHT 100.3100				2,500.00	0.00
05OCT17	S 50 JUN18	100.2900	100.3000	EUR	-1,250.00	
06OCT17	S 50 JUN18	100.2900	100.3000	EUR	-1,250.00	
13OCT17	S 100 JUN18	100.2950	100.3000	EUR	-1,250.00	
	NET -200 AVG SOLD 100.2925				-3,750.00	0.00
10OCT17	B 50 SEP18	100.2650	100.2750	EUR	1,250.00	
	NET +50 AVG BGHT 100.2650				1,250.00	0.00
10OCT17	S 50 DEC18	100.2200	100.2300	EUR	-1,250.00	
	NET -50 AVG SOLD 100.2200				-1,250.00	0.00
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
17JUL17	B 1 PD17OCT17	6017.50	6859.00	USD	21,037.50	
17JUL17	B 2 PD17OCT17	6018.50	6859.00	USD	42,025.00	
17JUL17	B 2 PD17OCT17	6019.50	6859.00	USD	41,975.00	
02OCT17	S 5 PD17OCT17	6460.75	6859.00	USD	-49,781.25	
	NET 0 AVG BGHT 6018.70 SOLD 6460.75				55,256.25	0.00
21JUL17	B 1 PD20OCT17	6036.50	6857.50	USD	20,525.00	
21JUL17	B 1 PD20OCT17	6034.50	6857.50	USD	20,575.00	
21JUL17	B 2 PD20OCT17	6035.00	6857.50	USD	41,125.00	
21JUL17	B 1 PD20OCT17	6037.00	6857.50	USD	20,512.50	
02OCT17	S 5 PD20OCT17	6462.25	6857.50	USD	-49,406.25	
	NET 0 AVG BGHT 6035.60 SOLD 6462.25				53,331.25	0.00
25JUL17	B 1 PD25OCT17	6170.50	6857.50	USD	17,175.00	
25JUL17	B 1 PD25OCT17	6188.00	6857.50	USD	16,737.50	
25JUL17	B 1 PD25OCT17	6192.00	6857.50	USD	16,637.50	
25JUL17	B 1 PD25OCT17	6192.50	6857.50	USD	16,625.00	
25JUL17	B 1 PD25OCT17	6193.00	6857.50	USD	16,612.50	
02OCT17	S 5 PD25OCT17	6465.79	6857.50	USD	-48,963.75	
	NET 0 AVG BGHT 6187.20 SOLD 6465.79				34,823.75	0.00
26JUL17	S 4 PD26OCT17	6355.00	6857.50	USD	-50,250.00	
26JUL17	S 1 PD26OCT17	6378.00	6857.50	USD	-11,987.50	
26JUL17	B 1 PD26OCT17	6278.00	6857.50	USD	14,487.50	
26JUL17	B 1 PD26OCT17	6280.50	6857.50	USD	14,425.00	
26JUL17	B 2 PD26OCT17	6282.50	6857.50	USD	28,750.00	
26JUL17	B 1 PD26OCT17	6283.00	6857.50	USD	14,362.50	
	NET 0 AVG BGHT 6281.30 SOLD 6359.60				9,787.50	0.00
31JUL17	S 1 PD31OCT17	6390.00	6858.27	USD	-11,706.75	
31JUL17	B 1 PD31OCT17	6375.00	6858.27	USD	12,081.75	
	NET 0 AVG BGHT 6375.00 SOLD 6390.00				375.00	0.00
02AUG17	S 1 PD02NOV17	6329.00	6859.82	USD	-13,270.50	
02AUG17	S 2 PD02NOV17	6331.00	6859.82	USD	-26,441.00	
02AUG17	S 1 PD02NOV17	6329.50	6859.82	USD	-13,258.00	
02AUG17	S 1 PD02NOV17	6332.00	6859.82	USD	-13,195.50	
10AUG17	B 5 PD02NOV17	6419.91	6859.82	USD	54,988.75	
	NET 0 AVG BGHT 6419.91 SOLD 6330.50				-11,176.25	0.00
08AUG17	B 1 PD08NOV17	6456.00	6864.45	USD	10,211.25	
08AUG17	B 1 PD08NOV17	6466.00	6864.45	USD	9,961.25	
08AUG17	B 1 PD08NOV17	6474.00	6864.45	USD	9,761.25	
08AUG17	B 1 PD08NOV17	6482.50	6864.45	USD	9,548.75	
08AUG17	B 1 PD08NOV17	6484.00	6864.45	USD	9,511.25	
02OCT17	S 5 PD08NOV17	6473.00	6864.45	USD	-48,931.25	
	NET 0 AVG BGHT 6472.50 SOLD 6473.00				62.50	0.00

OPEN POSITION STATEMENT



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SAP00111

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
14AUG17	S	2	PD14NOV17	6413.50	6864.67	USD	-22,558.50	
14AUG17	S	2	PD14NOV17	6415.00	6864.67	USD	-22,483.50	
14AUG17	S	1	PD14NOV17	6417.00	6864.67	USD	-11,191.75	
02OCT17	B	5	PD14NOV17	6475.34	6864.67	USD	48,666.25	
	NET	0 AVG	BGHT 6475.34	SOLD 6414.80			-7,567.50	0.00
16AUG17	S	1	PD16NOV17	6419.00	6863.00	USD	-11,100.00	
16AUG17	B	1	PD16NOV17	6523.00	6863.00	USD	8,500.00	
16AUG17	B	1	PD16NOV17	6525.00	6863.00	USD	8,450.00	
16AUG17	B	1	PD16NOV17	6521.00	6863.00	USD	8,550.00	
16AUG17	B	1	PD16NOV17	6524.00	6863.00	USD	8,475.00	
16AUG17	B	1	PD16NOV17	6536.00	6863.00	USD	8,175.00	
16AUG17	B	1	PD16NOV17	6522.00	6863.00	USD	8,525.00	
02OCT17	S	5	PD16NOV17	6475.00	6863.00	USD	-48,500.00	
	NET	0 AVG	BGHT 6525.17	SOLD 6465.67			-8,925.00	0.00
18AUG17	S	2	PD17NOV17	6504.00	6863.47	USD	-17,973.50	
18AUG17	S	1	PD17NOV17	6509.00	6863.47	USD	-8,861.75	
02OCT17	B	3	PD17NOV17	6475.75	6863.47	USD	29,079.00	
	NET	0 AVG	BGHT 6475.75	SOLD 6505.67			2,243.75	0.00
29AUG17	S	2	PD29NOV17	6766.00	6869.07	USD	-5,153.50	
29AUG17	S	1	PD29NOV17	6766.50	6869.07	USD	-2,564.25	
29AUG17	S	2	PD29NOV17	6769.50	6869.07	USD	-4,978.50	
02OCT17	B	5	PD29NOV17	6482.35	6869.07	USD	48,340.00	
	NET	0 AVG	BGHT 6482.35	SOLD 6767.50			35,643.75	0.00
30AUG17	S	1	PD30NOV17	6776.00	6869.53	USD	-2,338.25	
30AUG17	S	1	PD30NOV17	6782.00	6869.53	USD	-2,188.25	
02OCT17	B	2	PD30NOV17	6482.90	6869.53	USD	19,331.50	
	NET	0 AVG	BGHT 6482.90	SOLD 6779.00			14,805.00	0.00
08SEP17	S	1	PD08DEC17	6835.00	6872.83	USD	-945.75	
08SEP17	S	1	PD08DEC17	6836.00	6872.83	USD	-920.75	
08SEP17	S	1	PD08DEC17	6837.00	6872.83	USD	-895.75	
08SEP17	S	1	PD08DEC17	6838.50	6872.83	USD	-858.25	
08SEP17	S	1	PD08DEC17	6839.00	6872.83	USD	-845.75	
02OCT17	B	5	PD08DEC17	6485.45	6872.83	USD	48,422.50	
	NET	0 AVG	BGHT 6485.45	SOLD 6837.10			43,956.25	0.00
15SEP17	S	1	PD15DEC17	6496.00	6875.75	USD	-9,493.75	
15SEP17	S	1	PD15DEC17	6500.50	6875.75	USD	-9,381.25	
15SEP17	S	1	PD15DEC17	6492.00	6875.75	USD	-9,593.75	
15SEP17	S	1	PD15DEC17	6502.00	6875.75	USD	-9,343.75	
15SEP17	S	1	PD15DEC17	6519.00	6875.75	USD	-8,918.75	
15SEP17	S	1	PD15DEC17	6508.00	6875.75	USD	-9,193.75	
15SEP17	S	1	PD15DEC17	6522.00	6875.75	USD	-8,843.75	
15SEP17	S	1	PD15DEC17	6515.00	6875.75	USD	-9,018.75	
15SEP17	S	1	PD15DEC17	6516.00	6875.75	USD	-8,993.75	
15SEP17	S	1	PD15DEC17	6516.50	6875.75	USD	-8,981.25	
02OCT17	B	10	PD15DEC17	6488.37	6875.75	USD	96,845.00	
	NET	0 AVG	BGHT 6488.37	SOLD 6508.70			5,082.50	0.00

OPEN POSITION STATEMENT



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OPEN POSITION VALUE

		EUR	USD
3 Month Euro (Euribor)	FUTURES	-14,375.00	
LME Copper Grade A 25	FUTURES		227,698.75

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options	Amount	Profit / Loss	Total Amount	Total P&L
EURL - Futures EUR	-1,250.00	-1,250.00	-1,250.00	-1,250.00
Unrealised Profits & Losses - Forwards				
COPL - Futures USD	227,698.75	227,698.75	227,698.75	227,698.75

ACCOUNT FINANCIAL SUMMARY

	EUR	USD	NETT USD
CASH BALANCE	(1.18360)	(1.00000)	(1.00000)
Variation Margins - Futures	625.00	-219,344.20	-218,604.45
- Options	-1,250.00	0.00	-1,479.50
TOTAL EQUITY	0.00	0.00	0.00
	-625.00	-219,344.20	-220,083.95
Initial Margins	-19,396.00	0.00	-22,957.11
Excess/(Shortage)	-20,021.00	-219,344.20	-243,041.06
FOR INFORMATION ONLY			
Forward Unrealised P&L	0.00	227,698.75	227,698.75
Net Liquidating Value	-625.00	8,354.55	7,614.80

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0011J

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>EURO-BUND 1,000 ERX</u>					
28SEP17	S 2 DEC17	160.440	162.020	EUR	-3,160.00	
29SEP17	S 1 DEC17	161.280	162.020	EUR	-740.00	
29SEP17	S 2 DEC17	161.220	162.020	EUR	-1,600.00	
03OCT17	S 2 DEC17	161.080	162.020	EUR	-1,880.00	
05OCT17	S 2 DEC17	161.460	162.020	EUR	-1,120.00	
	NET -9AVG SOLD 161.076				-8,500.00	0.00
	<u>CBT 30 YEAR US TREASURY BONDS 1,000 CBT</u>					
27SEP17	B 1 DEC17	152.3000	154.0000	USD	1,062.50	
28SEP17	B 2 DEC17	152.0600	154.0000	USD	3,625.00	
28SEP17	B 3 DEC17	152.1100	154.0000	USD	4,968.75	
03OCT17	B 2 DEC17	152.1500	154.0000	USD	3,062.50	
04OCT17	B 1 DEC17	152.1100	154.0000	USD	1,656.25	
	NET +9AVG BGHT 152.1289				14,375.00	0.00
	<u>CBT 10 YEAR TREASURY NOTES 1,000 CBT</u>					
29SEP17	S 1 DEC17	125.1550	125.2250	USD	-218.75	
	NET -1AVG SOLD 125.1550				-218.75	0.00

OPEN POSITION VALUE

		EUR	USD
Euro-Bund	FUTURES	1,449,680.00	
CBT 30 Year Us Treasury Bonds	FUTURES		-1,371,625.00
CBT 10 Year Treasury Notes	FUTURES		125,484.38

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options	Amount	Profit / Loss	Total Amount	Total P&L
GBNE - Futures EUR	-8,500.00	-8,500.00	-8,500.00	-8,500.00
TBON - Futures USD	14,375.00	14,375.00		
TNOT - Futures USD	-218.75	-218.75	14,156.25	14,156.25

ACCOUNT FINANCIAL SUMMARY

	EUR	USD	NETT USD
CASH BALANCE	(1.18360)	(1.00000)	(1.00000)
Variation Margins - Futures	13,136.80	134,608.47	150,157.19
- Options	-8,500.00	14,156.25	4,095.65
	0.00	0.00	0.00
TOTAL EQUITY	4,636.80	148,764.72	154,252.84
Initial Margins	-21,834.52	-23,438.00	-49,281.34
Excess/(Shortage)	-17,197.72	125,326.72	104,971.50
FOR INFORMATION ONLY			
Net Liquidating Value	4,636.80	148,764.72	154,252.84

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0011P

13th October 2017

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London W1J 7NJ UK
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FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.</u>					
10OCT17	B 1 PD10JAN18 NET +1AVG BGHT 2162.00	2162.00	2133.18	USD	-720.50 -720.50	0.00
11OCT17	S 1 PD11JAN18	2158.00	2133.34	USD	616.50	
11OCT17	S 1 PD11JAN18	2155.00	2133.34	USD	541.50	
11OCT17	B 1 PD11JAN18	2158.50	2133.34	USD	-629.00	
11OCT17	S 1 PD11JAN18	2153.50	2133.34	USD	504.00	
11OCT17	B 1 PD11JAN18	2152.00	2133.34	USD	-466.50	
11OCT17	B 1 PD11JAN18	2151.00	2133.34	USD	-441.50	
11OCT17	B 1 PD11JAN18	2147.50	2133.34	USD	-354.00	
11OCT17	S 1 PD11JAN18	2142.50	2133.34	USD	229.00	
11OCT17	B 1 PD11JAN18	2141.00	2133.34	USD	-191.50	
11OCT17	S 1 PD11JAN18	2143.00	2133.34	USD	241.50	
11OCT17	B 1 PD11JAN18	2145.50	2133.34	USD	-304.00	
11OCT17	B 1 PD11JAN18	2144.00	2133.34	USD	-266.50	
11OCT17	B 1 PD11JAN18	2157.00	2133.34	USD	-591.50	
11OCT17	S 2 PD11JAN18	2155.00	2133.34	USD	1,083.00	
11OCT17	S 1 PD11JAN18	2148.00	2133.34	USD	366.50	
11OCT17	S 1 PD11JAN18	2147.50	2133.34	USD	354.00	
11OCT17	S 1 PD11JAN18	2146.50	2133.34	USD	329.00	
11OCT17	S 1 PD11JAN18	2142.00	2133.34	USD	216.50	
11OCT17	B 1 PD11JAN18	2150.00	2133.34	USD	-416.50	
11OCT17	B 1 PD11JAN18	2149.00	2133.34	USD	-391.50	
11OCT17	S 1 PD11JAN18	2153.00	2133.34	USD	491.50	
11OCT17	S 1 PD11JAN18	2148.50	2133.34	USD	379.00	
11OCT17	B 2 PD11JAN18	2149.50	2133.34	USD	-808.00	
11OCT17	B 1 PD11JAN18	2145.00	2133.34	USD	-291.50	
11OCT17	S 1 PD11JAN18	2144.50	2133.34	USD	279.00	
11OCT17	S 1 PD11JAN18	2132.00	2133.34	USD	-33.50	
11OCT17	B 1 PD11JAN18	2133.50	2133.34	USD	-4.00	
	NET -1AVG BGHT 2148.07 SOLD 2148.27				441.50	0.00
12OCT17	B 1 PD12JAN18	2143.50	2133.50	USD	-250.00	
12OCT17	B 1 PD12JAN18	2143.00	2133.50	USD	-237.50	
12OCT17	S 1 PD12JAN18	2148.00	2133.50	USD	362.50	
13OCT17	B 1 PD12JAN18	2149.50	2133.50	USD	-400.00	
13OCT17	S 1 PD12JAN18	2162.50	2133.50	USD	725.00	
13OCT17	S 1 PD12JAN18	2164.00	2133.50	USD	762.50	
13OCT17	S 1 PD12JAN18	2164.50	2133.50	USD	775.00	
13OCT17	B 1 PD12JAN18	2167.00	2133.50	USD	-837.50	
13OCT17	B 1 PD12JAN18	2134.50	2133.50	USD	-25.00	
13OCT17	B 1 PD12JAN18	2137.00	2133.50	USD	-87.50	
13OCT17	B 1 PD12JAN18	2136.00	2133.50	USD	-62.50	
	NET +3AVG BGHT 2144.36 SOLD 2159.75				725.00	0.00
	<u>LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
11OCT17	B 1 PD11JAN18	2540.00	2529.85	USD	-253.75	
11OCT17	S 1 PD11JAN18	2540.00	2529.85	USD	253.75	
11OCT17	S 1 PD11JAN18	2542.00	2529.85	USD	303.75	
11OCT17	B 1 PD11JAN18	2534.50	2529.85	USD	-116.25	
11OCT17	B 1 PD11JAN18	2532.50	2529.85	USD	-66.25	
11OCT17	S 1 PD11JAN18	2534.00	2529.85	USD	103.75	
11OCT17	B 2 PD11JAN18	2532.00	2529.85	USD	-107.50	
11OCT17	S 1 PD11JAN18	2512.00	2529.85	USD	-446.25	
11OCT17	S 1 PD11JAN18	2513.50	2529.85	USD	-408.75	
11OCT17	S 1 PD11JAN18	2515.00	2529.85	USD	-371.25	
11OCT17	B 1 PD11JAN18	2513.00	2529.85	USD	421.25	
11OCT17	S 1 PD11JAN18	2520.00	2529.85	USD	-246.25	

OPEN POSITION STATEMENT



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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
11OCT17	S	1	PD11JAN18	2522.50	2529.85	USD	-183.75	
11OCT17	S	1	PD11JAN18	2543.00	2529.85	USD	328.75	
11OCT17	B	1	PD11JAN18	2526.50	2529.85	USD	83.75	
11OCT17	B	1	PD11JAN18	2517.50	2529.85	USD	308.75	
11OCT17	S	1	PD11JAN18	2541.00	2529.85	USD	278.75	
11OCT17	S	1	PD11JAN18	2542.50	2529.85	USD	316.25	
11OCT17	B	1	PD11JAN18	2537.00	2529.85	USD	-178.75	
11OCT17	B	1	PD11JAN18	2535.00	2529.85	USD	-128.75	
11OCT17	S	2	PD11JAN18	2523.50	2529.85	USD	-317.50	
11OCT17	B	3	PD11JAN18	2525.50	2529.85	USD	326.25	
11OCT17	S	1	PD11JAN18	2519.50	2529.85	USD	-258.75	
11OCT17	B	1	PD11JAN18	2522.00	2529.85	USD	196.25	
11OCT17	S	1	PD11JAN18	2520.50	2529.85	USD	-233.75	
11OCT17	S	1	PD11JAN18	2519.50	2529.85	USD	-258.75	
11OCT17	S	1	PD11JAN18	2517.50	2529.85	USD	-308.75	
11OCT17	B	2	PD11JAN18	2519.00	2529.85	USD	542.50	
11OCT17	B	1	PD11JAN18	2532.50	2529.85	USD	-66.25	
	NET	0AVG BGHT 2527.59	SOLD 2526.44				-487.50	0.00
12OCT17	B	1	PD12JAN18	2566.50	2530.00	USD	-912.50	
12OCT17	S	1	PD12JAN18	2580.50	2530.00	USD	1,262.50	
12OCT17	B	1	PD12JAN18	2566.00	2530.00	USD	-900.00	
12OCT17	B	1	PD12JAN18	2565.00	2530.00	USD	-875.00	
12OCT17	S	1	PD12JAN18	2563.00	2530.00	USD	825.00	
13OCT17	B	1	PD12JAN18	2564.00	2530.00	USD	-850.00	
13OCT17	S	1	PD12JAN18	2548.00	2530.00	USD	450.00	
13OCT17	B	1	PD12JAN18	2553.00	2530.00	USD	-575.00	
13OCT17	B	1	PD12JAN18	2545.50	2530.00	USD	-387.50	
13OCT17	S	1	PD12JAN18	2549.50	2530.00	USD	487.50	
13OCT17	B	1	PD12JAN18	2547.00	2530.00	USD	-425.00	
13OCT17	B	1	PD12JAN18	2553.00	2530.00	USD	-575.00	
13OCT17	B	1	PD12JAN18	2542.00	2530.00	USD	-300.00	
13OCT17	S	1	PD12JAN18	2538.50	2530.00	USD	212.50	
13OCT17	S	1	PD12JAN18	2532.00	2530.00	USD	50.00	
13OCT17	S	1	PD12JAN18	2542.00	2530.00	USD	300.00	
	NET	+2AVG BGHT 2555.78	SOLD 2550.50				-2,212.50	0.00
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
10OCT17	S	1	PD10JAN18	3290.00	3235.77	USD	1,355.75	
	NET	-1AVG SOLD 3290.00					1,355.75	0.00
11OCT17	B	1	PD11JAN18	3273.50	3235.38	USD	-953.00	
11OCT17	B	1	PD11JAN18	3267.00	3235.38	USD	-790.50	
11OCT17	S	1	PD11JAN18	3248.50	3235.38	USD	328.00	
11OCT17	B	1	PD11JAN18	3241.00	3235.38	USD	-140.50	
11OCT17	S	1	PD11JAN18	3244.00	3235.38	USD	215.50	
11OCT17	B	1	PD11JAN18	3238.00	3235.38	USD	-65.50	
11OCT17	B	1	PD11JAN18	3234.50	3235.38	USD	22.00	
11OCT17	B	1	PD11JAN18	3232.00	3235.38	USD	84.50	
11OCT17	B	1	PD11JAN18	3225.50	3235.38	USD	247.00	
11OCT17	S	1	PD11JAN18	3226.00	3235.38	USD	-234.50	
11OCT17	S	1	PD11JAN18	3232.00	3235.38	USD	-84.50	
11OCT17	S	1	PD11JAN18	3246.00	3235.38	USD	265.50	
11OCT17	S	1	PD11JAN18	3273.00	3235.38	USD	940.50	
11OCT17	S	1	PD11JAN18	3237.00	3235.38	USD	40.50	
11OCT17	B	1	PD11JAN18	3229.50	3235.38	USD	147.00	
11OCT17	S	1	PD11JAN18	3224.50	3235.38	USD	-272.00	
11OCT17	S	1	PD11JAN18	3220.50	3235.38	USD	-372.00	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0011P

13th October 2017

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FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
11OCT17	B	2	PD11JAN18	3220.50	3235.38	USD	744.00	
11OCT17	S	1	PD11JAN18	3220.00	3235.38	USD	-384.50	
11OCT17	B	1	PD11JAN18	3221.50	3235.38	USD	347.00	
11OCT17	B	1	PD11JAN18	3225.00	3235.38	USD	259.50	
11OCT17	S	1	PD11JAN18	3227.00	3235.38	USD	-209.50	
	NET	+1 AVG BGHT 3235.71	SOLD 3236.23				134.50	0.00
12OCT17	S	1	PD12JAN18	3239.00	3235.00	USD	100.00	
12OCT17	S	1	PD12JAN18	3259.00	3235.00	USD	600.00	
12OCT17	S	1	PD12JAN18	3252.00	3235.00	USD	425.00	
12OCT17	B	1	PD12JAN18	3261.00	3235.00	USD	-650.00	
12OCT17	B	1	PD12JAN18	3252.50	3235.00	USD	-437.50	
12OCT17	S	1	PD12JAN18	3252.50	3235.00	USD	437.50	
12OCT17	S	1	PD12JAN18	3254.00	3235.00	USD	475.00	
12OCT17	B	1	PD12JAN18	3257.00	3235.00	USD	-550.00	
13OCT17	S	1	PD12JAN18	3264.50	3235.00	USD	737.50	
13OCT17	B	1	PD12JAN18	3238.00	3235.00	USD	-75.00	
13OCT17	S	1	PD12JAN18	3242.50	3235.00	USD	187.50	
13OCT17	B	1	PD12JAN18	3246.50	3235.00	USD	-287.50	
13OCT17	S	1	PD12JAN18	3261.00	3235.00	USD	650.00	
13OCT17	B	1	PD12JAN18	3248.00	3235.00	USD	-325.00	
13OCT17	B	1	PD12JAN18	3250.00	3235.00	USD	-375.00	
13OCT17	S	1	PD12JAN18	3248.00	3235.00	USD	325.00	
13OCT17	S	1	PD12JAN18	3251.00	3235.00	USD	400.00	
13OCT17	S	1	PD12JAN18	3259.00	3235.00	USD	600.00	
13OCT17	S	1	PD12JAN18	3255.00	3235.00	USD	500.00	
13OCT17	B	1	PD12JAN18	3236.50	3235.00	USD	-37.50	
13OCT17	S	1	PD12JAN18	3231.00	3235.00	USD	-100.00	
	NET	-5 AVG BGHT 3248.69	SOLD 3251.42				2,600.00	0.00

OPEN POSITION VALUE

		USD
LME Aluminium 25 Tonnes.	FUTURES	-159,562.50
LME Lead 25 tonnes. This is an	FUTURES	-129,200.00
LME Zinc 25 tonnes. This is	FUTURES	408,475.00

MARKET REVALUATION BREAKDOWN

Unrealised Profits & Losses - Forwards			Amount	Profit / Loss	Total Amount	Total P&L
ALUL	- Futures	USD	446.00	446.00		
LEAD	- Futures	USD	-2,700.00	-2,700.00		
ZINC	- Futures	USD	4,090.25	4,090.25	1,836.25	1,836.25

OPEN POSITION STATEMENT



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SAP0011P

13th October 2017

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ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	-680.00	-680.00
Variation Margins		
- Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	-680.00	-680.00
Initial Margins	-45,519.75	-45,519.75
Excess/(Shortage)	-46,199.75	-46,199.75
FOR INFORMATION ONLY		
Forward Unrealised P&L	1,836.25	1,836.25
Net Liquidating Value	1,156.25	1,156.25

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED
SAP0011
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

GROUP NO: **SAPG0011**

13th Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343
Authorised and regulated by the Australian Prudential
Regulation Authority. Authorised by the Prudential
Regulation Authority. Subject to regulation by the Financial
Conduct Authority and limited regulation by the
Prudential Regulation Authority. Details about the extent
of our regulation by the Prudential Regulation Authority are
available from us on request.

GROUP FINANCIAL SUMMARY

	EUR	USD	NET USD
	(1.18360)	(1.00000)	(1.00000)
CASH BALANCE	11,096.82	1,180,267.98	1,193,402.18
Variation Margins	-9,750.00	14,156.25	2,616.15
- Futures	0.00	0.00	0.00
- Options			
TOTAL EQUITY	1,346.82	1,194,424.23	1,196,018.33
Initial Margins	-41,230.52	-49,738.00	-98,538.44
Excess/(Shortage)	-39,883.70	1,144,686.23	1,097,479.89
<u>FOR INFORMATION ONLY</u>			
Forward Unrealised P&L	0.00	229,535.00	229,535.00
Net Premium Option Market Value	0.00	-4,630.00	-4,630.00
Net Liquidating Value	1,346.82	1,419,329.23	1,420,923.33

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0015

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	145.57	145.57
Variation Margins		
- Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	145.57	145.57
Initial Margins	0.00	0.00
Excess/(Shortage)	145.57	145.57
FOR INFORMATION ONLY		
Net Liquidating Value	145.57	145.57

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED
SAP0015
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

GROUP NO: **SAPG0015**

13th Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343
Authorised and regulated by the Australian Prudential
Regulation Authority. Authorised by the Prudential
Regulation Authority. Subject to regulation by the Financial
Conduct Authority and limited regulation by the
Prudential Regulation Authority. Details about the extent
of our regulation by the Prudential Regulation Authority are
available from us on request.

GROUP FINANCIAL SUMMARY

	USD	NET USD
	(1.00000)	(1.00000)
CASH BALANCE	145.57	145.57
Variation Margins		
- Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	145.57	145.57
Initial Margins	0.00	0.00
Excess/(Shortage)	145.57	145.57
<u>FOR INFORMATION ONLY</u>		
Net Liquidating Value	145.57	145.57

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0023

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

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ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	-73.57	-73.57
Variation Margins		
- Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	-73.57	-73.57
Initial Margins	0.00	0.00
Excess/(Shortage)	-73.57	-73.57
FOR INFORMATION ONLY		
Net Liquidating Value	-73.57	-73.57

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0023A

13th October 2017

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London W1J 7NJ UK
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Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
15SEP17	ODAX OPTION 5 ERX S 25 OCT17 10000.00 Put NET -25 AVG SOLD 3.10	3.10	0.10	EUR	-12.50 -12.50	375.00 375.00
15SEP17	DOW JONES EURO STOXX 50 10 ERX S 50 OCT17 2850.00 Put NET -50 AVG SOLD 1.20	1.20	0.10	EUR	-50.00 -50.00	550.00 550.00
09OCT17	CME E-MINI S&P 500 EOM OPTIONS 50 CME S 50 OCT17 1940.00 Put NET -50 AVG SOLD 0.20	0.20	0.10	USD	-250.00 -250.00	250.00 250.00
09OCT17	S 50 OCT17 1960.00 Put NET -50 AVG SOLD 0.20	0.20	0.10	USD	-250.00 -250.00	250.00 250.00
19SEP17	B 1 OCT17 2350.00 Put NET +1AVG BGHT 5.50	5.50	1.00	USD	50.00 50.00	-225.00 -225.00
06OCT17	S 50 NOV17 1800.00 Put NET -50 AVG SOLD 0.50	0.50	0.30	USD	-750.00 -750.00	500.00 500.00
04AUG17	VOLATILITY INDEX (VIX) FUTURES 1,000 CBO B 1 DEC17 NET +1AVG BGHT 14.1500	14.1500	12.5750	USD	-1,575.00 -1,575.00	 0.00

OPEN POSITION VALUE

		EUR	USD
ODAX OPTION	OPTIONS	387.50	
Dow Jones EURO Stoxx 50	OPTIONS	600.00	
CME E-mini S&P 500 EOM Options	OPTIONS		1,975.00
Volatility Index (VIX) Futures	FUTURES		-14,150.00

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options	Amount	Profit / Loss	Total Amount	Total P&L
VIX - Futures USD	-1,575.00	-1,575.00	-1,575.00	-1,575.00
Net Premium Options Market Value				
DAXO - Options EUR	-12.50	375.00		
EDOX - Options EUR	-50.00	550.00	-62.50	925.00
MSPE - Options USD	-1,200.00	775.00	-1,200.00	775.00

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0023A

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

ACCOUNT FINANCIAL SUMMARY

	EUR	USD	NETT USD
	(1.18360)	(1.00000)	(1.00000)
CASH BALANCE	7,306.57	51,286.08	59,934.14
Variation Margins - Futures	0.00	-1,575.00	-1,575.00
- Options	0.00	0.00	0.00
TOTAL EQUITY	7,306.57	49,711.08	58,359.14
Initial Margins	-236.93	-10,250.00	-10,530.43
Excess/(Shortage)	7,069.64	39,461.08	47,828.71
FOR INFORMATION ONLY			
Net Premium Option Market Value	-62.50	-1,200.00	-1,273.97
Net Liquidating Value	7,244.07	48,511.08	57,085.16

OPEN POSITION STATEMENT



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SAP0023C

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Date	Open Position					Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>ODAX OPTION 5 ERX</u>									
04SEP17	B	2	DEC17	13000.00	Call	46.10	229.10	EUR	2,291.00	1,830.00
05SEP17	B	2	DEC17	13000.00	Call	58.50	229.10	EUR	2,291.00	1,706.00
	NET	+4 AVG BGHT 52.30							4,582.00	3,536.00
12SEP17	B	2	DEC17	13200.00	Call	67.00	128.30	EUR	1,283.00	613.00
	NET	+2 AVG BGHT 67.00							1,283.00	613.00
04SEP17	S	2	MAR18	13000.00	Call	149.10	433.30	EUR	-4,333.00	-2,842.00
05SEP17	S	2	MAR18	13000.00	Call	175.50	433.30	EUR	-4,333.00	-2,578.00
	NET	-4 AVG SOLD 162.30							-8,666.00	-5,420.00
12SEP17	S	2	MAR18	13200.00	Call	209.50	324.60	EUR	-3,246.00	-1,151.00
28SEP17	S	2	MAR18	13200.00	Call	224.50	324.60	EUR	-3,246.00	-1,001.00
	NET	-4 AVG SOLD 217.00							-6,492.00	-2,152.00
07SEP17	S	2	MAR18	13400.00	Call	100.80	234.20	EUR	-2,342.00	-1,334.00
11SEP17	S	2	MAR18	13400.00	Call	124.10	234.20	EUR	-2,342.00	-1,101.00
21SEP17	S	1	MAR18	13400.00	Call	137.70	234.20	EUR	-1,171.00	-482.50
	NET	-5 AVG SOLD 117.50							-5,855.00	-2,917.50
07SEP17	S	2	MAR18	13600.00	Call	75.50	161.40	EUR	-1,614.00	-859.00
11SEP17	S	2	MAR18	13600.00	Call	86.50	161.40	EUR	-1,614.00	-749.00
21SEP17	S	1	MAR18	13600.00	Call	90.10	161.40	EUR	-807.00	-356.50
	NET	-5 AVG SOLD 82.82							-4,035.00	-1,964.50
28SEP17	S	1	MAR18	13800.00	Call	65.50	106.60	EUR	-533.00	-205.50
29SEP17	S	2	MAR18	13800.00	Call	76.50	106.60	EUR	-1,066.00	-301.00
06OCT17	S	1	MAR18	13800.00	Call	109.70	106.60	EUR	-533.00	15.50
	NET	-4 AVG SOLD 82.05							-2,132.00	-491.00
	<u>IEU WTI CRUDE OIL FUTURES 1,000 IPE</u>									
08SEP17	B	1	DEC17			50.00	51.73	USD	1,730.00	
21SEP17	B	1	DEC17			50.87	51.73	USD	860.00	
25SEP17	B	1	DEC17			51.04	51.73	USD	690.00	
06OCT17	B	1	DEC17			50.93	51.73	USD	800.00	
	NET	+4 AVG BGHT 50.71							4,080.00	0.00
25SEP17	B	1	JUN18			51.49	52.23	USD	740.00	
25SEP17	B	1	JUN18			52.50	52.23	USD	-270.00	
09OCT17	B	1	JUN18			50.58	52.23	USD	1,650.00	
	NET	+3 AVG BGHT 51.52							2,120.00	0.00
15SEP17	S	1	DEC18			51.10	51.77	USD	-670.00	
19SEP17	S	1	DEC18			51.09	51.77	USD	-680.00	
21SEP17	S	1	DEC18			51.19	51.77	USD	-580.00	
25SEP17	S	1	DEC18			51.33	51.77	USD	-440.00	
29SEP17	S	1	DEC18			51.45	51.77	USD	-320.00	
04OCT17	S	2	DEC18			50.44	51.77	USD	-2,660.00	
06OCT17	S	1	DEC18			51.21	51.77	USD	-560.00	
09OCT17	S	1	DEC18			50.38	51.77	USD	-1,390.00	
	NET	-9 AVG SOLD 50.96							-7,300.00	0.00
04OCT17	B	2	DEC19			49.75	50.70	USD	1,900.00	
	NET	+2 AVG BGHT 49.75							1,900.00	0.00
02JUN17	B	1	DEC20			49.35	50.22	USD	870.00	
31JUL17	B	1	DEC20			51.62	50.22	USD	-1,400.00	
	NET	+2 AVG BGHT 50.49							-530.00	0.00
	<u>CMX CME GLOBEX COMEX MINY GOLD 50 CMX</u>									
22SEP17	S	4	DEC17			1297.50	1304.60	USD	-1,420.00	
	NET	-4 AVG SOLD 1297.50							-1,420.00	0.00
	<u>NYM LIGHT SWEET CRUDE OIL 1,000 NYM</u>									
26SEP17	S	1	DEC17			52.41	51.73	USD	680.00	
26SEP17	S	1	DEC17			52.14	51.73	USD	410.00	
28SEP17	S	1	DEC17			51.91	51.73	USD	180.00	

OPEN POSITION STATEMENT



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	<u>NYM LIGHT SWEET CRUDE OIL 1,000 NYM</u>					
29SEP17	S 1 DEC17	51.77	51.73	USD	40.00	
10OCT17	S 1 DEC17	51.25	51.73	USD	-480.00	
	NET -5 AVG SOLD 51.90				830.00	0.00
01SEP17	S 1 JAN18	48.83	51.94	USD	-3,110.00	
04OCT17	S 1 JAN18	50.71	51.94	USD	-1,230.00	
04OCT17	S 1 JAN18	50.50	51.94	USD	-1,440.00	
04OCT17	S 1 JAN18	50.48	51.94	USD	-1,460.00	
10OCT17	S 1 JAN18	51.46	51.94	USD	-480.00	
13OCT17	S 1 JAN18	52.07	51.94	USD	130.00	
	NET -6 AVG SOLD 50.68				-7,590.00	0.00
18SEP17	S 1 APR18	50.91	52.26	USD	-1,350.00	
18SEP17	S 1 APR18	51.00	52.26	USD	-1,260.00	
18SEP17	S 1 APR18	51.16	52.26	USD	-1,100.00	
19SEP17	S 1 APR18	51.46	52.26	USD	-800.00	
20SEP17	S 1 APR18	51.57	52.26	USD	-690.00	
25SEP17	S 1 APR18	51.91	52.26	USD	-350.00	
25SEP17	S 1 APR18	52.47	52.26	USD	210.00	
26SEP17	S 1 APR18	52.71	52.26	USD	450.00	
	NET -8 AVG SOLD 51.65				-4,890.00	0.00
22SEP17	S 1 JUN18	51.56	52.23	USD	-670.00	
26SEP17	S 1 JUN18	52.42	52.23	USD	190.00	
28SEP17	S 1 JUN18	52.46	52.23	USD	230.00	
04OCT17	S 1 JUN18	50.89	52.23	USD	-1,340.00	
	NET -4 AVG SOLD 51.83				-1,590.00	0.00
18SEP17	B 1 JUL18	51.45	52.17	USD	720.00	
	NET +1 AVG BGHT 51.45				720.00	0.00
21SEP17	B 4 SEP18	51.30	52.01	USD	2,840.00	
25SEP17	B 1 SEP18	51.66	52.01	USD	350.00	
25SEP17	B 1 SEP18	52.12	52.01	USD	-110.00	
26SEP17	B 1 SEP18	52.11	52.01	USD	-100.00	
	NET +7 AVG BGHT 51.58				2,980.00	0.00
12OCT17	S 1 DEC18	51.41	51.77	USD	-360.00	
	NET -1 AVG SOLD 51.41				-360.00	0.00
09OCT17	B 1 JUN19	50.02	51.15	USD	1,130.00	
	NET +1 AVG BGHT 50.02				1,130.00	0.00
21SEP17	B 1 DEC19	50.74	50.70	USD	-40.00	
22SEP17	B 1 DEC19	50.87	50.70	USD	-170.00	
22SEP17	B 1 DEC19	50.90	50.70	USD	-200.00	
27SEP17	B 1 DEC19	50.90	50.70	USD	-200.00	
03OCT17	B 1 DEC19	49.86	50.70	USD	840.00	
04OCT17	B 1 DEC19	50.00	50.70	USD	700.00	
06OCT17	B 1 DEC19	50.50	50.70	USD	200.00	
13OCT17	B 1 DEC19	50.86	50.70	USD	-160.00	
	NET +8 AVG BGHT 50.58				970.00	0.00
07SEP17	B 1 DEC20	51.53	50.22	USD	-1,310.00	
12SEP17	B 1 DEC20	50.91	50.22	USD	-690.00	
13SEP17	B 1 DEC20	50.79	50.22	USD	-570.00	
13SEP17	B 1 DEC20	50.77	50.22	USD	-550.00	
18SEP17	B 1 DEC20	50.99	50.22	USD	-770.00	
09OCT17	B 1 DEC20	49.79	50.22	USD	430.00	
	NET +6 AVG BGHT 50.80				-3,460.00	0.00
10OCT17	B 1 DEC21	50.18	50.12	USD	-60.00	
	NET +1 AVG BGHT 50.18				-60.00	0.00
	<u>CMX GOLD 100 CMX</u>					
22SEP17	S 2 DEC17	1297.5	1304.6	USD	-1,420.00	

OPEN POSITION STATEMENT



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	<u>CMX GOLD 100 CMX</u>					
22SEP17	S 1 DEC17	1299.4	1304.6	USD	-520.00	
22SEP17	S 1 DEC17	1299.7	1304.6	USD	-490.00	
22SEP17	S 1 DEC17	1300.0	1304.6	USD	-460.00	
	NET -5 AVG SOLD 1298.8				-2,890.00	0.00
	<u>NYM HENRY HUB NATURAL GAS 10,000 NYM</u>					
120CT17	S 1 JAN18	3.25300	3.27100	USD	-180.00	
	NET -1 AVG SOLD 3.25300				-180.00	0.00
120CT17	B 1 MAY18	2.92300	2.94400	USD	210.00	
	NET +1 AVG BGHT 2.92300				210.00	0.00
120CT17	S 1 JAN19	3.25000	3.27100	USD	-210.00	
	NET -1 AVG SOLD 3.25000				-210.00	0.00
120CT17	B 1 MAY19	2.72800	2.74100	USD	130.00	
	NET +1 AVG BGHT 2.72800				130.00	0.00
	<u>IEU BRENT CRUDE 1,000 IPE</u>					
08SEP17	S 1 DEC17	54.52	57.17	USD	-2,650.00	
21SEP17	S 1 DEC17	55.68	57.17	USD	-1,490.00	
25SEP17	S 1 DEC17	56.88	57.17	USD	-290.00	
06OCT17	S 1 DEC17	56.85	57.17	USD	-320.00	
	NET -4 AVG SOLD 55.98				-4,750.00	0.00
25SEP17	S 1 JUN18	55.71	56.21	USD	-500.00	
25SEP17	S 1 JUN18	56.96	56.21	USD	750.00	
09OCT17	S 1 JUN18	54.83	56.21	USD	-1,380.00	
	NET -3 AVG SOLD 55.83				-1,130.00	0.00
15SEP17	B 1 DEC18	54.96	55.43	USD	470.00	
19SEP17	B 1 DEC18	54.99	55.43	USD	440.00	
21SEP17	B 1 DEC18	54.86	55.43	USD	570.00	
25SEP17	B 1 DEC18	55.35	55.43	USD	80.00	
29SEP17	B 1 DEC18	55.43	55.43	USD	0.00	
04OCT17	B 2 DEC18	54.44	55.43	USD	1,980.00	
06OCT17	B 1 DEC18	55.22	55.43	USD	210.00	
09OCT17	B 1 DEC18	54.34	55.43	USD	1,090.00	
	NET +9 AVG BGHT 54.89				4,840.00	0.00
04OCT17	S 2 DEC19	54.02	54.57	USD	-1,100.00	
	NET -2 AVG SOLD 54.02				-1,100.00	0.00
02JUN17	S 1 DEC20	52.32	54.51	USD	-2,190.00	
31JUL17	S 1 DEC20	54.98	54.51	USD	470.00	
	NET -2 AVG SOLD 53.65				-1,720.00	0.00
	<u>CBT MINI SOYBEAN 1,000 CBT</u>					
03OCT17	S 1 NOV17	9.54500	10.00250	USD	-457.50	
09OCT17	S 1 NOV17	9.70500	10.00250	USD	-297.50	
	NET -2 AVG SOLD 9.62500				-755.00	0.00
	<u>NYM PLATINUM 50 NYM</u>					
22SEP17	B 3 JAN18	937.00	947.90	USD	1,635.00	
22SEP17	B 3 JAN18	936.10	947.90	USD	1,770.00	
	NET +6 AVG BGHT 936.55				3,405.00	0.00

OPEN POSITION STATEMENT



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13th October 2017

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London W1J 7NJ UK
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OPEN POSITION VALUE

		EUR	USD
ODAX OPTION	OPTIONS	12,519.00	
IEU WTI Crude Oil Futures	FUTURES		-99,250.00
CMX CME Globex COMEX miNY Gold	FUTURES		259,500.00
NYM Light Sweet Crude Oil	FUTURES		13,310.00
CMX Gold	FUTURES		649,410.00
NYM Henry Hub Natural Gas	FUTURES		8,520.00
IEU Brent Crude	FUTURES		112,740.00
CBT Mini Soybean	FUTURES		19,250.00
NYM Platinum	FUTURES		-280,965.00

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options	Amount	Profit / Loss	Total Amount	Total P&L
CLF - Futures USD	270.00	270.00		
CMQO - Futures USD	-1,420.00	-1,420.00		
COIL - Futures USD	-11,320.00	-11,320.00		
GOLD - Futures USD	-2,890.00	-2,890.00		
HGAS - Futures USD	-50.00	-50.00		
LCO - Futures USD	-3,860.00	-3,860.00		
MBEN - Futures USD	-755.00	-755.00		
PLAT - Futures USD	3,405.00	3,405.00	-16,620.00	-16,620.00
Net Premium Options Market Value				
DAXO - Options EUR	-21,315.00	-8,796.00	-21,315.00	-8,796.00

ACCOUNT FINANCIAL SUMMARY

	EUR	USD	NETT USD
	(1.18360)	(1.00000)	(1.00000)
CASH BALANCE	24,758.68	85,491.71	114,796.08
Variation Margins - Futures	0.00	-16,620.00	-16,620.00
- Options	0.00	0.00	0.00
TOTAL EQUITY	24,758.68	68,871.71	98,176.08
Initial Margins	-52,582.62	-67,577.00	-129,813.79
Excess/(Shortage)	-27,823.94	1,294.71	-31,637.71
FOR INFORMATION ONLY			
Net Premium Option Market Value	-21,315.00	0.00	-25,228.43
Net Liquidating Value	3,443.68	68,871.71	72,947.65

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED
SAP0023
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

GROUP NO: **SAPG0023**

13th Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343
Authorised and regulated by the Australian Prudential
Regulation Authority. Authorised by the Prudential
Regulation Authority. Subject to regulation by the Financial
Conduct Authority and limited regulation by the
Prudential Regulation Authority. Details about the extent
of our regulation by the Prudential Regulation Authority are
available from us on request.

GROUP FINANCIAL SUMMARY

	EUR	USD	NET USD
	(1.18360)	(1.00000)	(1.00000)
CASH BALANCE	32,065.25	136,704.22	174,656.65
Variation Margins	- Futures 0.00	-18,195.00	-18,195.00
	- Options 0.00	0.00	0.00
TOTAL EQUITY	32,065.25	118,509.22	156,461.65
Initial Margins	-52,664.03	-77,827.00	-140,160.15
Excess/(Shortage)	-20,598.78	40,682.22	16,301.50
<u>FOR INFORMATION ONLY</u>			
Net Premium Option Market Value	-21,377.50	-1,200.00	-26,502.41
Net Liquidating Value	10,687.75	117,309.22	129,959.24

OPEN POSITION STATEMENT



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Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
29AUG17	DOW JONES EURO STOXX 50 10 ERX					
	S 100 OCT17 2450.00 Put	0.80	0.10	EUR	-100.00	700.00
	NET -100 AVG SOLD 0.80				-100.00	700.00
11AUG17	S 100 DEC17 1850.00 Put	1.20	0.10	EUR	-100.00	1,100.00
	NET -100 AVG SOLD 1.20				-100.00	1,100.00
04OCT17	FTSE 100 (EUROPEAN) OPTION 10 LTO					
	S 50 OCT17 6500.0 Put	1.0	0.0	GBP	0.00	500.00
	NET -50 AVG SOLD 1.0				0.00	500.00

OPEN POSITION VALUE

		EUR	GBP
Dow Jones EURO Stoxx 50	OPTIONS	2,000.00	
FTSE 100 (European) Option	OPTIONS		500.00

MARKET REVALUATION BREAKDOWN

Net Premium Options Market Value	Amount	Profit / Loss	Total Amount	Total P&L
EDOX - Options EUR	-200.00	1,800.00	-200.00	1,800.00
FTSO - Options GBP	0.00	500.00	0.00	500.00

ACCOUNT FINANCIAL SUMMARY

	EUR	GBP	USD
CASH BALANCE	(1.18360)	(1.33050)	(1.00000)
Variation Margins - Futures	54,291.71	10,482.80	1,889.47
- Options	0.00	0.00	0.00
TOTAL EQUITY	54,291.71	10,482.80	1,889.47
Initial Margins	-565.24	-4,250.00	0.00
Excess/(Shortage)	53,726.47	6,232.80	1,889.47
FOR INFORMATION ONLY			
Net Premium Option Market Value	-200.00	0.00	0.00
Net Liquidating Value	54,091.71	10,482.80	1,889.47
NETT USD			
CASH BALANCE	(1.00000)		
Variation Margins - Futures	80,096.51		
- Options	0.00		
TOTAL EQUITY	80,096.51		
Initial Margins	-6,323.64		
Excess/(Shortage)	73,772.87		
FOR INFORMATION ONLY			
Net Premium Option Market Value	-236.72		
Net Liquidating Value	79,859.79		

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Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
29AUG17	<u>DOW JONES EURO STOXX 50 10 ERX</u> S 100 OCT17 2450.00 Put NET -100 AVG SOLD 0.80	0.80	0.10	EUR	-100.00 -100.00	700.00 700.00
22SEP17	<u>FTSE 100 (EUROPEAN) OPTION 10 LTO</u> S 100 OCT17 6000.0 Put NET -100 AVG SOLD 1.0	1.0	0.0	GBP	0.00 0.00	1,000.00 1,000.00
04OCT17	S 50 OCT17 6500.0 Put NET -50 AVG SOLD 1.0	1.0	0.0	GBP	0.00 0.00	500.00 500.00
13SEP17	S 50 DEC17 5200.0 Put NET -50 AVG SOLD 2.5	2.5	0.5	GBP	-250.00 -250.00	1,000.00 1,000.00
13SEP17	S 50 DEC17 5300.0 Put	2.5	0.5	GBP	-250.00	1,000.00
13SEP17	S 50 DEC17 5300.0 Put NET -100 AVG SOLD 2.8	3.0	0.5	GBP	-250.00 -500.00	1,250.00 2,250.00

OPEN POSITION VALUE

		<u>EUR</u>	<u>GBP</u>
Dow Jones EURO Stoxx 50	OPTIONS	800.00	
FTSE 100 (European) Option	OPTIONS		5,500.00

MARKET REVALUATION BREAKDOWN

Net Premium Options Market Value	Amount	Profit / Loss	Total Amount	Total P&L
EDOX - Options EUR	-100.00	700.00	-100.00	700.00
FTSO - Options GBP	-750.00	4,750.00	-750.00	4,750.00

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0024B

13th October 2017

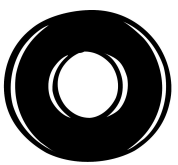
Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

ACCOUNT FINANCIAL SUMMARY

	EUR	GBP	USD
	(1.18360)	(1.33050)	(1.00000)
CASH BALANCE	3,650.00	122,997.00	1,276.00
Variation Margins - Futures	0.00	0.00	0.00
- Options	0.00	0.00	0.00
TOTAL EQUITY	3,650.00	122,997.00	1,276.00
Initial Margins	-448.65	-49,250.00	0.00
Excess/(Shortage)	3,201.35	73,747.00	1,276.00
FOR INFORMATION ONLY			
Net Premium Option Market Value	-100.00	-750.00	0.00
Net Liquidating Value	3,550.00	122,247.00	1,276.00
	NETT USD		
	(1.00000)		
CASH BALANCE	169,243.65		
Variation Margins - Futures	0.00		
- Options	0.00		
TOTAL EQUITY	169,243.65		
Initial Margins	-66,058.14		
Excess/(Shortage)	103,185.51		
FOR INFORMATION ONLY			
Net Premium Option Market Value	-1,116.23		
Net Liquidating Value	168,127.41		

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED
SAP0024
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

GROUP NO: **SAPG0024**

13th Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343
Authorised and regulated by the Australian Prudential
Regulation Authority. Authorised by the Prudential
Regulation Authority. Subject to regulation by the Financial
Conduct Authority and limited regulation by the
Prudential Regulation Authority. Details about the extent
of our regulation by the Prudential Regulation Authority are
available from us on request.

GROUP FINANCIAL SUMMARY

	EUR	GBP	USD
	(1.18360)	(1.33050)	(1.00000)
CASH BALANCE	57,941.71	133,479.80	3,165.47
Variation Margins	- Futures 0.00	0.00	0.00
	- Options 0.00	0.00	0.00
TOTAL EQUITY	57,941.71	133,479.80	3,165.47
Initial Margins	-1,005.74	-53,500.00	0.00
Excess/(Shortage)	56,935.97	79,979.80	3,165.47

FOR INFORMATION ONLY

Net Premium Option Market Value	-300.00	-750.00	0.00
Net Liquidating Value	57,641.71	132,729.80	3,165.47

	NET USD
	(1.00000)
CASH BALANCE	249,340.15
Variation Margins	- Futures 0.00
	- Options 0.00
TOTAL EQUITY	249,340.15
Initial Margins	-72,372.14
Excess/(Shortage)	176,968.01

FOR INFORMATION ONLY

Net Premium Option Market Value	-1,352.95
Net Liquidating Value	247,987.20

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0025

13th October 2017

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London W1J 7NJ UK
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ACCOUNT FINANCIAL SUMMARY

	EUR	GBP	USD
	(1.18360)	(1.33050)	(1.00000)
CASH BALANCE	-4,960.71	-649.17	1,021,195.15
Variation Margins - Futures	0.00	0.00	0.00
- Options	0.00	0.00	0.00
TOTAL EQUITY	-4,960.71	-649.17	1,021,195.15
Initial Margins	0.00	0.00	0.00
Excess/(Shortage)	-4,960.71	-649.17	1,021,195.15
FOR INFORMATION ONLY			
Net Liquidating Value	-4,960.71	-649.17	1,021,195.15
	NETT USD		
	(1.00000)		
CASH BALANCE	1,014,459.93		
Variation Margins - Futures	0.00		
- Options	0.00		
TOTAL EQUITY	1,014,459.93		
Initial Margins	0.00		
Excess/(Shortage)	1,014,459.93		
FOR INFORMATION ONLY			
Net Liquidating Value	1,014,459.93		

OPEN POSITION STATEMENT



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SAP0025A

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Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>NYM LIGHT SWEET CRUDE OIL 1,000 NYM</u>					
20SEP17	S 1 NOV17	50.38	51.45	USD	-1,070.00	
20SEP17	S 1 NOV17	50.47	51.45	USD	-980.00	
20SEP17	S 2 NOV17	50.48	51.45	USD	-1,940.00	
20SEP17	S 1 NOV17	50.45	51.45	USD	-1,000.00	
20SEP17	S 1 NOV17	50.46	51.45	USD	-990.00	
	NET -6 AVG SOLD 50.45				-5,980.00	0.00
10OCT17	S 5 NOV17 56.50 Call	0.01	0.01	USD	-50.00	0.00
	NET -5 AVG SOLD 0.01				-50.00	0.00
20SEP17	S 10 NOV17 61.00 Call	0.02	0.01	USD	-100.00	100.00
27SEP17	S 5 NOV17 61.00 Call	0.02	0.01	USD	-50.00	50.00
	NET -15 AVG SOLD 0.02				-150.00	150.00
20SEP17	S 20 NOV17 61.50 Call	0.02	0.01	USD	-200.00	200.00
29SEP17	S 1 NOV17 61.50 Call	0.01	0.01	USD	-10.00	0.00
	NET -21 AVG SOLD 0.02				-210.00	200.00
22SEP17	S 10 NOV17 63.00 Call	0.01	0.01	USD	-100.00	0.00
	NET -10 AVG SOLD 0.01				-100.00	0.00
22SEP17	S 1 NOV17 63.50 Call	0.01	0.01	USD	-10.00	0.00
	NET -1 AVG SOLD 0.01				-10.00	0.00
20SEP17	S 20 NOV17 64.00 Call	0.01	0.01	USD	-200.00	0.00
	NET -20 AVG SOLD 0.01				-200.00	0.00
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>					
17JUL17	S 1 PD17OCT17	5956.50	6859.00	USD	-22,562.50	
17JUL17	B 1 PD17OCT17	6000.00	6859.00	USD	21,475.00	
17JUL17	B 1 PD17OCT17	6004.50	6859.00	USD	21,362.50	
17JUL17	B 1 PD17OCT17	5997.00	6859.00	USD	21,550.00	
10AUG17	S 2 PD17OCT17	6416.00	6859.00	USD	-22,150.00	
	NET 0 AVG BGHT 6000.50 SOLD 6262.83				19,675.00	0.00
19JUL17	S 1 PD19OCT17	5984.50	6857.50	USD	-21,825.00	
10AUG17	B 1 PD19OCT17	6415.45	6857.50	USD	11,051.25	
	NET 0 AVG BGHT 6415.45 SOLD 5984.50				-10,773.75	0.00
20JUL17	S 1 PD20OCT17	5987.00	6857.50	USD	-21,762.50	
20JUL17	B 1 PD20OCT17	5980.50	6857.50	USD	21,925.00	
21JUL17	B 1 PD20OCT17	6033.50	6857.50	USD	20,600.00	
21JUL17	B 1 PD20OCT17	6036.00	6857.50	USD	20,537.50	
21JUL17	B 1 PD20OCT17	6036.50	6857.50	USD	20,525.00	
21JUL17	S 2 PD20OCT17	5994.00	6857.50	USD	-43,175.00	
	NET +1 AVG BGHT 6021.63 SOLD 5991.67				18,650.00	0.00
24JUL17	S 1 PD24OCT17	6002.00	6857.50	USD	-21,387.50	
10AUG17	B 1 PD24OCT17	6416.16	6857.50	USD	11,033.50	
	NET 0 AVG BGHT 6416.16 SOLD 6002.00				-10,354.00	0.00
25JUL17	B 1 PD25OCT17	6149.00	6857.50	USD	17,712.50	
25JUL17	B 1 PD25OCT17	6178.50	6857.50	USD	16,975.00	
25JUL17	B 1 PD25OCT17	6180.00	6857.50	USD	16,937.50	
25JUL17	B 1 PD25OCT17	6205.00	6857.50	USD	16,312.50	
25JUL17	B 1 PD25OCT17	6239.00	6857.50	USD	15,462.50	
25JUL17	B 1 PD25OCT17	6257.00	6857.50	USD	15,012.50	
	NET +6 AVG BGHT 6201.42				98,412.50	0.00
26JUL17	B 1 PD26OCT17	6276.00	6857.50	USD	14,537.50	
	NET +1 AVG BGHT 6276.00				14,537.50	0.00
27JUL17	S 1 PD27OCT17	6332.00	6857.50	USD	-13,137.50	
10AUG17	B 1 PD27OCT17	6417.45	6857.50	USD	11,001.25	
	NET 0 AVG BGHT 6417.45 SOLD 6332.00				-2,136.25	0.00
02AUG17	B 1 PD02NOV17	6327.50	6859.82	USD	13,308.00	

OPEN POSITION STATEMENT



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Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>					
	NET +1AVG BGHT 6327.50				13,308.00	0.00
03AUG17	S 1 PD03NOV17	6345.00	6860.59	USD	-12,889.75	
10AUG17	B 1 PD03NOV17	6420.33	6860.59	USD	11,006.50	
	NET 0AVG BGHT 6420.33 SOLD 6345.00				-1,883.25	0.00
08AUG17	B 1 PD08NOV17	6476.50	6864.45	USD	9,698.75	
	NET +1AVG BGHT 6476.50				9,698.75	0.00
18AUG17	S 1 PD17NOV17	6482.50	6863.47	USD	-9,524.25	
18AUG17	S 1 PD17NOV17	6492.50	6863.47	USD	-9,274.25	
18AUG17	B 2 PD17NOV17	6487.50	6863.47	USD	18,798.50	
	NET 0AVG BGHT 6487.50 SOLD 6487.50				0.00	0.00
22AUG17	B 1 PD22NOV17	6612.00	6865.80	USD	6,345.00	
22AUG17	B 1 PD22NOV17	6611.00	6865.80	USD	6,370.00	
22AUG17	B 1 PD22NOV17	6613.50	6865.80	USD	6,307.50	
22AUG17	B 1 PD22NOV17	6585.50	6865.80	USD	7,007.50	
22AUG17	B 1 PD22NOV17	6590.50	6865.80	USD	6,882.50	
	NET +5AVG BGHT 6602.50				32,912.50	0.00
24AUG17	S 1 PD24NOV17	6705.00	6866.73	USD	-4,043.25	
25AUG17	S 1 PD24NOV17	6704.00	6866.73	USD	-4,068.25	
	NET -2AVG SOLD 6704.50				-8,111.50	0.00
29AUG17	S 2 PD29NOV17	6763.00	6869.07	USD	-5,303.50	
29AUG17	B 1 PD29NOV17	6778.00	6869.07	USD	2,276.75	
29AUG17	S 1 PD29NOV17	6765.50	6869.07	USD	-2,589.25	
29AUG17	S 1 PD29NOV17	6780.50	6869.07	USD	-2,214.25	
29AUG17	S 1 PD29NOV17	6796.50	6869.07	USD	-1,814.25	
29AUG17	B 1 PD29NOV17	6802.50	6869.07	USD	1,664.25	
	NET -3AVG BGHT 6790.25 SOLD 6773.70				-7,980.25	0.00
18SEP17	S 1 PD18DEC17	6536.00	6877.00	USD	-8,525.00	
18SEP17	S 1 PD18DEC17	6536.50	6877.00	USD	-8,512.50	
18SEP17	S 1 PD18DEC17	6538.00	6877.00	USD	-8,475.00	
	NET -3AVG SOLD 6536.83				-25,512.50	0.00
	<u>CMX COPPER 25,000 CMX</u>					
28AUG17	S 2 DEC17	309.150	313.350	USD	-2,100.00	
29AUG17	S 2 DEC17	309.200	313.350	USD	-2,075.00	
29AUG17	S 20 DEC17	310.800	313.350	USD	-12,750.00	
05OCT17	S 8 DEC17	304.500	313.350	USD	-17,700.00	
	NET -32AVG SOLD 309.022				-34,625.00	0.00
	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
17JUL17	S 1 PD17OCT17	9610.00	11610.50	USD	-12,003.00	
17JUL17	B 1 PD17OCT17	9615.00	11610.50	USD	11,973.00	
	NET 0AVG BGHT 9615.00 SOLD 9610.00				-30.00	0.00
21JUL17	B 1 PD20OCT17	9535.00	11611.35	USD	12,458.10	
09OCT17	S 1 PD20OCT17	10939.61	11611.35	USD	-4,030.44	
	NET 0AVG BGHT 9535.00 SOLD 10939.61				8,427.66	0.00
25JUL17	S 1 PD25OCT17	9995.00	11615.61	USD	-9,723.66	
10AUG17	B 1 PD25OCT17	10976.61	11615.61	USD	3,834.00	
	NET 0AVG BGHT 10976.61 SOLD 9995.00				-5,889.66	0.00
04AUG17	S 1 PD03NOV17	10315.00	11623.28	USD	-7,849.68	
10AUG17	B 1 PD03NOV17	10981.89	11623.28	USD	3,848.34	
	NET 0AVG BGHT 10981.89 SOLD 10315.00				-4,001.34	0.00
08AUG17	B 1 PD08NOV17	10590.00	11627.54	USD	6,225.24	
09OCT17	S 1 PD08NOV17	10959.63	11627.54	USD	-4,007.46	
	NET 0AVG BGHT 10590.00 SOLD 10959.63				2,217.78	0.00

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0025A

13th October 2017

Sapien Capital Limited
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London W1J 7NJ UK
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backoffice@sapiencap.com

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Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
18AUG17	S 1 PD17NOV17	10990.00	11634.87	USD	-3,869.22	
23AUG17	B 1 PD17NOV17	11656.63	11634.87	USD	-130.56	
	NET 0AVG BGHT 11656.63 SOLD 10990.00				-3,999.78	0.00
22AUG17	S 1 PD22NOV17	11350.00	11638.30	USD	-1,729.80	
22AUG17	S 1 PD22NOV17	11470.00	11638.30	USD	-1,009.80	
22AUG17	S 1 PD22NOV17	11390.00	11638.30	USD	-1,489.80	
22AUG17	S 1 PD22NOV17	11395.00	11638.30	USD	-1,459.80	
23AUG17	B 4 PD22NOV17	11659.75	11638.30	USD	-514.80	
	NET 0AVG BGHT 11659.75 SOLD 11401.25				-6,204.00	0.00
23AUG17	S 1 PD24NOV17	11390.00	11639.67	USD	-1,498.02	
23AUG17	S 1 PD24NOV17	11395.00	11639.67	USD	-1,468.02	
23AUG17	S 1 PD24NOV17	11440.00	11639.67	USD	-1,198.02	
23AUG17	S 1 PD24NOV17	11475.00	11639.67	USD	-988.02	
23AUG17	S 1 PD24NOV17	11545.00	11639.67	USD	-568.02	
23AUG17	S 1 PD24NOV17	11580.00	11639.67	USD	-358.02	
23AUG17	S 1 PD24NOV17	11645.00	11639.67	USD	31.98	
23AUG17	B 1 PD24NOV17	11720.00	11639.67	USD	-481.98	
09OCT17	B 6 PD24NOV17	10975.84	11639.67	USD	23,897.88	
	NET 0AVG BGHT 11082.15 SOLD 11495.71				17,369.76	0.00
30AUG17	S 1 PD30NOV17	11595.00	11643.79	USD	-292.74	
09OCT17	B 1 PD30NOV17	10981.07	11643.79	USD	3,976.32	
	NET 0AVG BGHT 10981.07 SOLD 11595.00				3,683.58	0.00
	<u>CMX SILVER 5,000 CMX</u>					
07SEP17	B 1 DEC17	1811.0	1741.1	USD	-3,495.00	
	NET +1AVG BGHT 1811.0				-3,495.00	0.00

OPEN POSITION VALUE

		USD
NYM Light Sweet Crude Oil	FUTURES	302,720.00
NYM Light Sweet Crude Oil	OPTIONS	1,070.00
LME Copper Grade A 25	FUTURES	-1,058,097.25
CMX Copper	FUTURES	2,472,175.00
LME Nickel 6 tonnes. This is	FUTURES	11,574.00
CMX Silver	FUTURES	-90,550.00

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options	Amount	Profit / Loss	Total Amount	Total P&L
COIL - Futures USD	-5,980.00	-5,980.00		
COPR - Futures USD	-34,625.00	-34,625.00		
SILV - Futures USD	-3,495.00	-3,495.00	-44,100.00	-44,100.00
Unrealised Profits & Losses - Forwards				
COPL - Futures USD	140,442.75	140,442.75		
NKL - Futures USD	11,574.00	11,574.00	152,016.75	152,016.75
Net Premium Options Market Value				
COIL - Options USD	-720.00	350.00	-720.00	350.00

OPEN POSITION STATEMENT



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ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	-149,677.76	-149,677.76
Variation Margins		
- Futures	-44,100.00	-44,100.00
- Options	0.00	0.00
TOTAL EQUITY	-193,777.76	-193,777.76
Initial Margins	-123,603.00	-123,603.00
Excess/(Shortage)	-317,380.76	-317,380.76
FOR INFORMATION ONLY		
Forward Unrealised P&L	152,016.75	152,016.75
Net Premium Option Market Value	-720.00	-720.00
Net Liquidating Value	-42,481.01	-42,481.01

OPEN POSITION STATEMENT



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Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>NYM LIGHT SWEET CRUDE OIL 1,000 NYM</u>					
09OCT17	B 4 NOV17	49.72	51.45	USD	6,920.00	
	NET +4 AVG BGHT 49.72				6,920.00	0.00
20SEP17	S 20 NOV17 63.50 Call	0.01	0.01	USD	-200.00	0.00
	NET -20 AVG SOLD 0.01				-200.00	0.00
20SEP17	S 40 NOV17 64.00 Call	0.01	0.01	USD	-400.00	0.00
	NET -40 AVG SOLD 0.01				-400.00	0.00
20SEP17	S 20 NOV17 64.50 Call	0.01	0.01	USD	-200.00	0.00
	NET -20 AVG SOLD 0.01				-200.00	0.00
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>					
17JUL17	S 1 PD17OCT17	5970.00	6859.00	USD	-22,225.00	
17JUL17	S 1 PD17OCT17	5976.00	6859.00	USD	-22,075.00	
17JUL17	B 1 PD17OCT17	5998.00	6859.00	USD	21,525.00	
19JUL17	B 1 PD17OCT17	5967.53	6859.00	USD	22,286.75	
	NET 0 AVG BGHT 5982.77 SOLD 5973.00				-488.25	0.00
18JUL17	B 1 PD18OCT17	6004.00	6859.50	USD	21,387.50	
10AUG17	S 1 PD18OCT17	6416.25	6859.50	USD	-11,081.25	
	NET 0 AVG BGHT 6004.00 SOLD 6416.25				10,306.25	0.00
21JUL17	B 2 PD20OCT17	6036.00	6857.50	USD	41,075.00	
10AUG17	S 2 PD20OCT17	6414.25	6857.50	USD	-22,162.50	
	NET 0 AVG BGHT 6036.00 SOLD 6414.25				18,912.50	0.00
24JUL17	S 2 PD24OCT17	5995.00	6857.50	USD	-43,125.00	
24JUL17	S 1 PD24OCT17	5998.50	6857.50	USD	-21,475.00	
10AUG17	B 2 PD24OCT17	6416.16	6857.50	USD	22,067.00	
10AUG17	B 1 PD24OCT17	6415.96	6857.50	USD	11,038.50	
	NET 0 AVG BGHT 6416.09 SOLD 5996.17				-31,494.50	0.00
25JUL17	B 2 PD25OCT17	6190.00	6857.50	USD	33,375.00	
25JUL17	B 2 PD25OCT17	6192.00	6857.50	USD	33,275.00	
10AUG17	S 1 PD25OCT17	6416.39	6857.50	USD	-11,027.75	
23AUG17	S 3 PD25OCT17	6555.92	6857.50	USD	-22,618.50	
	NET 0 AVG BGHT 6191.00 SOLD 6521.04				33,003.75	0.00
26JUL17	B 1 PD26OCT17	6271.50	6857.50	USD	14,650.00	
26JUL17	B 2 PD26OCT17	6273.50	6857.50	USD	29,200.00	
26JUL17	S 1 PD26OCT17	6347.00	6857.50	USD	-12,762.50	
26JUL17	S 1 PD26OCT17	6339.00	6857.50	USD	-12,962.50	
10AUG17	S 1 PD26OCT17	6416.62	6857.50	USD	-11,022.00	
	NET 0 AVG BGHT 6272.83 SOLD 6367.54				7,103.00	0.00
28JUL17	S 2 PD27OCT17	6343.00	6857.50	USD	-25,725.00	
10AUG17	B 2 PD27OCT17	6417.45	6857.50	USD	22,002.50	
	NET 0 AVG BGHT 6417.45 SOLD 6343.00				-3,722.50	0.00
02AUG17	B 1 PD02NOV17	6336.00	6859.82	USD	13,095.50	
23AUG17	S 1 PD02NOV17	6560.00	6859.82	USD	-7,495.50	
	NET 0 AVG BGHT 6336.00 SOLD 6560.00				5,600.00	0.00
03AUG17	S 1 PD03NOV17	6345.00	6860.59	USD	-12,889.75	
10AUG17	B 1 PD03NOV17	6420.33	6860.59	USD	11,006.50	
	NET 0 AVG BGHT 6420.33 SOLD 6345.00				-1,883.25	0.00
15AUG17	S 2 PD15NOV17	6371.00	6864.00	USD	-24,650.00	
23AUG17	B 2 PD15NOV17	6565.70	6864.00	USD	14,915.00	
	NET 0 AVG BGHT 6565.70 SOLD 6371.00				-9,735.00	0.00
18AUG17	S 2 PD17NOV17	6496.00	6863.47	USD	-18,373.50	
23AUG17	B 2 PD17NOV17	6563.89	6863.47	USD	14,979.00	
	NET 0 AVG BGHT 6563.89 SOLD 6496.00				-3,394.50	0.00
21AUG17	B 2 PD21NOV17	6596.50	6865.33	USD	13,441.50	
21AUG17	B 2 PD21NOV17	6597.00	6865.33	USD	13,416.50	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0025B

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

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Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>					
21AUG17	B 2 PD21NOV17	6588.00	6865.33	USD	13,866.50	
	NET +6 AVG BGHT 6593.83				40,724.50	0.00
22AUG17	B 5 PD22NOV17	6589.00	6865.80	USD	34,600.00	
	NET +5 AVG BGHT 6589.00				34,600.00	0.00
24AUG17	S 2 PD24NOV17	6623.00	6866.73	USD	-12,186.50	
24AUG17	S 2 PD24NOV17	6713.00	6866.73	USD	-7,686.50	
25AUG17	S 4 PD24NOV17	6704.00	6866.73	USD	-16,273.00	
25AUG17	S 2 PD24NOV17	6702.50	6866.73	USD	-8,211.50	
25AUG17	S 2 PD24NOV17	6703.50	6866.73	USD	-8,161.50	
	NET -12 AVG SOLD 6691.67				-52,519.00	0.00
29AUG17	B 1 PD29NOV17	6811.00	6869.07	USD	1,451.75	
29AUG17	B 1 PD29NOV17	6792.50	6869.07	USD	1,914.25	
	NET +2 AVG BGHT 6801.75				3,366.00	0.00
05OCT17	B 2 PD05JAN18	6698.50	6879.67	USD	9,058.50	
06OCT17	B 2 PD05JAN18	6714.00	6879.67	USD	8,283.50	
	NET +4 AVG BGHT 6706.25				17,342.00	0.00
	<u>CMX COPPER 25,000 CMX</u>					
21AUG17	S 2 DEC17	301.050	313.350	USD	-6,150.00	
21AUG17	S 4 DEC17	300.750	313.350	USD	-12,600.00	
29AUG17	S 15 DEC17	309.300	313.350	USD	-15,187.50	
29AUG17	S 2 DEC17	310.750	313.350	USD	-1,300.00	
29AUG17	S 2 DEC17	309.950	313.350	USD	-1,700.00	
05SEP17	S 1 DEC17	317.050	313.350	USD	925.00	
05SEP17	S 2 DEC17	317.100	313.350	USD	1,875.00	
05SEP17	S 2 DEC17	317.000	313.350	USD	1,825.00	
05OCT17	S 4 DEC17	301.000	313.350	USD	-12,350.00	
05OCT17	S 4 DEC17	301.050	313.350	USD	-12,300.00	
05OCT17	S 4 DEC17	301.500	313.350	USD	-11,850.00	
05OCT17	S 4 DEC17	303.750	313.350	USD	-9,600.00	
05OCT17	S 4 DEC17	304.550	313.350	USD	-8,800.00	
06OCT17	S 4 DEC17	305.100	313.350	USD	-8,250.00	
11OCT17	S 4 DEC17	309.750	313.350	USD	-3,600.00	
11OCT17	S 4 DEC17	309.800	313.350	USD	-3,550.00	
	NET -62 AVG SOLD 306.730				-102,612.50	0.00
	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
17JUL17	B 1 PD17OCT17	9615.00	11610.50	USD	11,973.00	
23AUG17	S 1 PD17OCT17	11636.76	11610.50	USD	157.56	
	NET 0 AVG BGHT 9615.00 SOLD 11636.76				12,130.56	0.00
20JUL17	B 1 PD20OCT17	9560.00	11611.35	USD	12,308.10	
20JUL17	B 1 PD20OCT17	9600.00	11611.35	USD	12,068.10	
23AUG17	S 2 PD20OCT17	11638.50	11611.35	USD	325.80	
	NET 0 AVG BGHT 9580.00 SOLD 11638.50				24,702.00	0.00
08AUG17	S 1 PD08NOV17	10610.00	11627.54	USD	-6,105.24	
08AUG17	S 2 PD08NOV17	10615.00	11627.54	USD	-12,150.48	
08AUG17	S 1 PD08NOV17	10640.00	11627.54	USD	-5,925.24	
10AUG17	B 4 PD08NOV17	10984.83	11627.54	USD	15,425.04	
	NET 0 AVG BGHT 10984.83 SOLD 10620.00				-8,755.92	0.00
10AUG17	S 3 PD10NOV17	10815.00	11629.24	USD	-14,656.32	
11AUG17	S 2 PD10NOV17	10640.00	11629.24	USD	-11,870.88	
23AUG17	B 5 PD10NOV17	11654.44	11629.24	USD	-756.00	
	NET 0 AVG BGHT 11654.44 SOLD 10745.00				-27,283.20	0.00
21AUG17	S 1 PD21NOV17	11225.00	11637.61	USD	-2,475.66	
21AUG17	S 1 PD21NOV17	11230.00	11637.61	USD	-2,445.66	

OPEN POSITION STATEMENT



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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
23AUG17	B 2 PD21NOV17	11659.13	11637.61	USD	-258.24	
	NET 0AVG BGHT 11659.13 SOLD 11227.50				-5,179.56	0.00
14SEP17	B 1 PD14DEC17	11380.00	11653.39	USD	1,640.34	
14SEP17	S 1 PD14DEC17	11385.00	11653.39	USD	-1,610.34	
	NET 0AVG BGHT 11380.00 SOLD 11385.00				30.00	0.00
	<u>CMX SILVER 5,000 CMX</u>					
05SEP17	B 2 DEC17	1796.5	1741.1	USD	-5,540.00	
08SEP17	B 1 DEC17	1821.0	1741.1	USD	-3,995.00	
08SEP17	B 2 DEC17	1820.5	1741.1	USD	-7,940.00	
15SEP17	B 1 DEC17	1775.5	1741.1	USD	-1,720.00	
29SEP17	B 2 DEC17	1674.5	1741.1	USD	6,660.00	
	NET +8AVG BGHT 1772.4				-12,535.00	0.00
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
20JUL17	B 1 PD20OCT17	2728.00	3282.00	USD	13,850.00	
21JUL17	B 1 PD20OCT17	2753.00	3282.00	USD	13,225.00	
10AUG17	S 2 PD20OCT17	2934.25	3282.00	USD	-17,387.50	
	NET 0AVG BGHT 2740.50 SOLD 2934.25				9,687.50	0.00
25JUL17	B 1 PD25OCT17	2824.00	3273.00	USD	11,225.00	
10AUG17	S 1 PD25OCT17	2935.14	3273.00	USD	-8,446.50	
	NET 0AVG BGHT 2824.00 SOLD 2935.14				2,778.50	0.00
28JUL17	S 1 PD27OCT17	2772.00	3270.73	USD	-12,468.25	
28JUL17	S 1 PD27OCT17	2784.00	3270.73	USD	-12,168.25	
10AUG17	B 2 PD27OCT17	2935.70	3270.73	USD	16,751.50	
	NET 0AVG BGHT 2935.70 SOLD 2778.00				-7,885.00	0.00
31JUL17	S 1 PD31OCT17	2807.00	3268.47	USD	-11,536.75	
10AUG17	B 1 PD31OCT17	2936.41	3268.47	USD	8,301.50	
	NET 0AVG BGHT 2936.41 SOLD 2807.00				-3,235.25	0.00
03OCT17	B 2 PD03JAN18	3281.00	3237.69	USD	-2,165.50	
	NET +2AVG BGHT 3281.00				-2,165.50	0.00
04OCT17	B 2 PD04JAN18	3281.00	3237.31	USD	-2,184.50	
	NET +2AVG BGHT 3281.00				-2,184.50	0.00
11OCT17	B 2 PD11JAN18	3249.00	3235.38	USD	-681.00	
11OCT17	B 2 PD11JAN18	3250.00	3235.38	USD	-731.00	
	NET +4AVG BGHT 3249.50				-1,412.00	0.00
12OCT17	B 2 PD12JAN18	3253.00	3235.00	USD	-900.00	
13OCT17	S 1 PD12JAN18	3247.50	3235.00	USD	312.50	
13OCT17	S 1 PD12JAN18	3248.00	3235.00	USD	325.00	
	NET 0AVG BGHT 3253.00 SOLD 3247.75				-262.50	0.00

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OPEN POSITION VALUE

		USD
NYM Light Sweet Crude Oil	FUTURES	-198,880.00
NYM Light Sweet Crude Oil	OPTIONS	800.00
LME Copper Grade A 25	FUTURES	-791,705.00
CMX Copper	FUTURES	4,754,312.50
LME Nickel 6 tonnes. This is	FUTURES	-4,356.12
CMX Silver	FUTURES	-708,975.00
LME Zinc 25 tonnes. This is	FUTURES	-651,966.75

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options	Amount	Profit / Loss	Total Amount	Total P&L
COIL - Futures USD	6,920.00	6,920.00		
COPR - Futures USD	-102,612.50	-102,612.50		
SILV - Futures USD	-12,535.00	-12,535.00	-108,227.50	-108,227.50
Unrealised Profits & Losses - Forwards				
COPL - Futures USD	67,721.00	67,721.00		
NKL - Futures USD	-4,356.12	-4,356.12		
ZINC - Futures USD	-4,678.75	-4,678.75	58,686.13	58,686.13
Net Premium Options Market Value				
COIL - Options USD	-800.00	0.00	-800.00	0.00

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	-96,435.90	-96,435.90
Variation Margins - Futures	-108,227.50	-108,227.50
- Options	0.00	0.00
TOTAL EQUITY	-204,663.40	-204,663.40
Initial Margins	-294,199.87	-294,199.87
Excess/(Shortage)	-498,863.27	-498,863.27
FOR INFORMATION ONLY		
Forward Unrealised P&L	58,686.13	58,686.13
Net Premium Option Market Value	-800.00	-800.00
Net Liquidating Value	-146,777.27	-146,777.27

OPEN POSITION STATEMENT



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SAP0025C

13th October 2017

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
17JUL17	B	1	PD17OCT17	6000.50	6859.00	USD	21,462.50	
17JUL17	B	1	PD17OCT17	6001.00	6859.00	USD	21,450.00	
23AUG17	S	2	PD17OCT17	6554.75	6859.00	USD	-15,212.50	
	NET	0 AVG BGHT 6000.75	SOLD 6554.75				27,700.00	0.00
20JUL17	S	2	PD20OCT17	5952.00	6857.50	USD	-45,275.00	
10AUG17	B	2	PD20OCT17	6414.45	6857.50	USD	22,152.50	
	NET	0 AVG BGHT 6414.45	SOLD 5952.00				-23,122.50	0.00
25JUL17	B	2	PD25OCT17	6181.50	6857.50	USD	33,800.00	
25JUL17	B	2	PD25OCT17	6182.50	6857.50	USD	33,750.00	
25JUL17	B	2	PD25OCT17	6253.00	6857.50	USD	30,225.00	
23AUG17	S	2	PD25OCT17	6555.92	6857.50	USD	-15,079.00	
	NET	+4 AVG BGHT 6205.67	SOLD 6555.92				82,696.00	0.00
26JUL17	S	2	PD26OCT17	6387.00	6857.50	USD	-23,525.00	
26JUL17	S	2	PD26OCT17	6396.00	6857.50	USD	-23,075.00	
26JUL17	B	4	PD26OCT17	6272.00	6857.50	USD	58,550.00	
	NET	0 AVG BGHT 6272.00	SOLD 6391.50				11,950.00	0.00
07AUG17	S	2	PD07NOV17	6376.50	6863.68	USD	-24,359.00	
10AUG17	B	2	PD07NOV17	6421.97	6863.68	USD	22,085.50	
	NET	0 AVG BGHT 6421.97	SOLD 6376.50				-2,273.50	0.00
18AUG17	S	2	PD17NOV17	6497.50	6863.47	USD	-18,298.50	
18AUG17	S	2	PD17NOV17	6499.00	6863.47	USD	-18,223.50	
23AUG17	B	4	PD17NOV17	6563.89	6863.47	USD	29,958.00	
	NET	0 AVG BGHT 6563.89	SOLD 6498.25				-6,564.00	0.00
22AUG17	B	1	PD22NOV17	6585.50	6865.80	USD	7,007.50	
22AUG17	B	2	PD22NOV17	6589.00	6865.80	USD	13,840.00	
22AUG17	B	2	PD22NOV17	6591.00	6865.80	USD	13,740.00	
	NET	+5 AVG BGHT 6589.10					34,587.50	0.00
24AUG17	S	5	PD24NOV17	6615.00	6866.73	USD	-31,466.25	
25AUG17	S	2	PD24NOV17	6704.00	6866.73	USD	-8,136.50	
	NET	-7 AVG SOLD 6640.43					-39,602.75	0.00
29AUG17	S	1	PD29NOV17	6790.00	6869.07	USD	-1,976.75	
29AUG17	S	1	PD29NOV17	6791.00	6869.07	USD	-1,951.75	
	NET	-2 AVG SOLD 6790.50					-3,928.50	0.00
	<u>CMX COPPER 25,000 CMX</u>							
28AUG17	S	17	DEC17	308.750	313.350	USD	-19,550.00	
28AUG17	S	5	DEC17	308.800	313.350	USD	-5,687.50	
05OCT17	S	5	DEC17	303.200	313.350	USD	-12,687.50	
05OCT17	S	1	DEC17	302.950	313.350	USD	-2,600.00	
05OCT17	S	4	DEC17	302.800	313.350	USD	-10,550.00	
05OCT17	S	4	DEC17	302.900	313.350	USD	-10,450.00	
05OCT17	S	4	DEC17	303.350	313.350	USD	-10,000.00	
05OCT17	S	4	DEC17	303.800	313.350	USD	-9,550.00	
	NET	-44 AVG SOLD 305.980					-81,075.00	0.00
	<u>CMX SILVER 5,000 CMX</u>							
15SEP17	B	1	DEC17	1783.0	1741.1	USD	-2,095.00	
15SEP17	B	3	DEC17	1778.0	1741.1	USD	-5,535.00	
	NET	+4 AVG BGHT 1779.3					-7,630.00	0.00

OPEN POSITION STATEMENT



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SAP0025C

13th October 2017

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London W1J 7NJ UK
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OPEN POSITION VALUE

		USD
LME Copper Grade A 25	FUTURES	82,598.50
CMX Copper	FUTURES	3,365,775.00
CMX Silver	FUTURES	-355,850.00

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options	Amount	Profit / Loss	Total Amount	Total P&L
COPR - Futures USD	-81,075.00	-81,075.00		
SILV - Futures USD	-7,630.00	-7,630.00	-88,705.00	-88,705.00
Unrealised Profits & Losses - Forwards	Amount	Profit / Loss	Total Amount	Total P&L
COPL - Futures USD	81,442.25	81,442.25	81,442.25	81,442.25

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
CASH BALANCE	(1.00000)	(1.00000)
Variation Margins - Futures	-131,508.19	-131,508.19
- Options	0.00	0.00
TOTAL EQUITY	-220,213.19	-220,213.19
Initial Margins	-162,200.00	-162,200.00
Excess/(Shortage)	-382,413.19	-382,413.19
FOR INFORMATION ONLY		
Forward Unrealised P&L	81,442.25	81,442.25
Net Liquidating Value	-138,770.94	-138,770.94

OPEN POSITION STATEMENT



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	<u>NYM LIGHT SWEET CRUDE OIL 1,000 NYM</u>					
25SEP17	S 15 NOV17 64.00 Call	0.01	0.01	USD	-150.00	0.00
	NET -15 AVG SOLD 0.01				-150.00	0.00
12OCT17	S 5 DEC17 38.00 Put	0.01	0.01	USD	-50.00	0.00
	NET -5 AVG SOLD 0.01				-50.00	0.00
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>					
17JUL17	B 1 PD17OCT17	6008.00	6859.00	USD	21,275.00	
17JUL17	B 1 PD17OCT17	6001.00	6859.00	USD	21,450.00	
10AUG17	S 2 PD17OCT17	6416.00	6859.00	USD	-22,150.00	
	NET 0 AVG BGHT 6004.50 SOLD 6416.00				20,575.00	0.00
18JUL17	B 1 PD18OCT17	5975.00	6859.50	USD	22,112.50	
10AUG17	S 1 PD18OCT17	6416.25	6859.50	USD	-11,081.25	
	NET 0 AVG BGHT 5975.00 SOLD 6416.25				11,031.25	0.00
20JUL17	S 1 PD20OCT17	5953.50	6857.50	USD	-22,600.00	
21JUL17	S 1 PD20OCT17	5995.00	6857.50	USD	-21,562.50	
10AUG17	B 2 PD20OCT17	6414.45	6857.50	USD	22,152.50	
	NET 0 AVG BGHT 6414.45 SOLD 5974.25				-22,010.00	0.00
24JUL17	S 1 PD24OCT17	5999.50	6857.50	USD	-21,450.00	
24JUL17	S 1 PD24OCT17	6029.00	6857.50	USD	-20,712.50	
10AUG17	B 1 PD24OCT17	6416.16	6857.50	USD	11,033.50	
10AUG17	B 1 PD24OCT17	6415.96	6857.50	USD	11,038.50	
	NET 0 AVG BGHT 6416.06 SOLD 6014.25				-20,090.50	0.00
25JUL17	B 2 PD25OCT17	6192.00	6857.50	USD	33,275.00	
25JUL17	B 1 PD25OCT17	6218.00	6857.50	USD	15,987.50	
25JUL17	B 2 PD25OCT17	6262.50	6857.50	USD	29,750.00	
10AUG17	S 1 PD25OCT17	6416.19	6857.50	USD	-11,032.75	
10AUG17	S 2 PD25OCT17	6416.39	6857.50	USD	-22,055.50	
23AUG17	S 2 PD25OCT17	6555.92	6857.50	USD	-15,079.00	
	NET 0 AVG BGHT 6225.40 SOLD 6472.16				30,845.25	0.00
26JUL17	S 1 PD26OCT17	6390.50	6857.50	USD	-11,675.00	
26JUL17	B 1 PD26OCT17	6302.00	6857.50	USD	13,887.50	
26JUL17	B 1 PD26OCT17	6295.00	6857.50	USD	14,062.50	
26JUL17	B 1 PD26OCT17	6277.00	6857.50	USD	14,512.50	
23AUG17	S 2 PD26OCT17	6556.50	6857.50	USD	-15,050.00	
	NET 0 AVG BGHT 6291.33 SOLD 6501.17				15,737.50	0.00
31JUL17	S 1 PD31OCT17	6374.00	6858.27	USD	-12,106.75	
10AUG17	B 1 PD31OCT17	6419.09	6858.27	USD	10,979.50	
	NET 0 AVG BGHT 6419.09 SOLD 6374.00				-1,127.25	0.00
02AUG17	B 1 PD02NOV17	6330.00	6859.82	USD	13,245.50	
02AUG17	B 1 PD02NOV17	6350.00	6859.82	USD	12,745.50	
23AUG17	S 2 PD02NOV17	6560.00	6859.82	USD	-14,991.00	
	NET 0 AVG BGHT 6340.00 SOLD 6560.00				11,000.00	0.00
03AUG17	S 1 PD03NOV17	6344.00	6860.59	USD	-12,914.75	
03AUG17	S 1 PD03NOV17	6354.00	6860.59	USD	-12,664.75	
10AUG17	B 2 PD03NOV17	6420.33	6860.59	USD	22,013.00	
	NET 0 AVG BGHT 6420.33 SOLD 6349.00				-3,566.50	0.00
08AUG17	B 4 PD08NOV17	6484.00	6864.45	USD	38,045.00	
23AUG17	S 1 PD08NOV17	6563.00	6864.45	USD	-7,536.25	
	NET +3 AVG BGHT 6484.00 SOLD 6563.00				30,508.75	0.00
10AUG17	S 1 PD10NOV17	6410.50	6866.00	USD	-11,387.50	
10AUG17	S 1 PD10NOV17	6414.00	6866.00	USD	-11,300.00	
11AUG17	S 1 PD10NOV17	6423.00	6866.00	USD	-11,075.00	
23AUG17	B 3 PD10NOV17	6564.20	6866.00	USD	22,635.00	
	NET 0 AVG BGHT 6564.20 SOLD 6415.83				-11,127.50	0.00

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0025D

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
14AUG17	S	1	PD14NOV17	6398.50	6864.67	USD	-11,654.25	
14AUG17	B	1	PD14NOV17	6391.50	6864.67	USD	11,829.25	
	NET	0 AVG	BGHT 6391.50				175.00	0.00
16AUG17	S	1	PD16NOV17	6384.00	6863.00	USD	-11,975.00	
16AUG17	B	1	PD16NOV17	6490.50	6863.00	USD	9,312.50	
16AUG17	B	1	PD16NOV17	6528.00	6863.00	USD	8,375.00	
16AUG17	B	1	PD16NOV17	6552.50	6863.00	USD	7,762.50	
16AUG17	B	1	PD16NOV17	6538.50	6863.00	USD	8,112.50	
16AUG17	B	1	PD16NOV17	6538.00	6863.00	USD	8,125.00	
16AUG17	B	1	PD16NOV17	6539.00	6863.00	USD	8,100.00	
	NET	+5 AVG	BGHT 6531.08				37,812.50	0.00
18AUG17	S	1	PD17NOV17	6482.00	6863.47	USD	-9,536.75	
18AUG17	S	1	PD17NOV17	6492.00	6863.47	USD	-9,286.75	
18AUG17	S	1	PD17NOV17	6496.00	6863.47	USD	-9,186.75	
18AUG17	S	1	PD17NOV17	6485.00	6863.47	USD	-9,461.75	
18AUG17	B	1	PD17NOV17	6485.00	6863.47	USD	9,461.75	
18AUG17	B	1	PD17NOV17	6487.00	6863.47	USD	9,411.75	
18AUG17	S	2	PD17NOV17	6481.00	6863.47	USD	-19,123.50	
23AUG17	B	4	PD17NOV17	6563.89	6863.47	USD	29,958.00	
	NET	0 AVG	BGHT 6537.93				-7,764.00	0.00
21AUG17	B	1	PD21NOV17	6583.50	6865.33	USD	7,045.75	
21AUG17	B	1	PD21NOV17	6595.00	6865.33	USD	6,758.25	
21AUG17	B	1	PD21NOV17	6572.50	6865.33	USD	7,320.75	
21AUG17	B	2	PD21NOV17	6576.00	6865.33	USD	14,466.50	
21AUG17	B	1	PD21NOV17	6576.50	6865.33	USD	7,220.75	
	NET	+6 AVG	BGHT 6579.92				42,812.00	0.00
22AUG17	B	1	PD22NOV17	6586.00	6865.80	USD	6,995.00	
22AUG17	B	1	PD22NOV17	6590.00	6865.80	USD	6,895.00	
	NET	+2 AVG	BGHT 6588.00				13,890.00	0.00
24AUG17	S	2	PD24NOV17	6618.50	6866.73	USD	-12,411.50	
24AUG17	S	1	PD24NOV17	6630.00	6866.73	USD	-5,918.25	
24AUG17	S	2	PD24NOV17	6634.00	6866.73	USD	-11,636.50	
24AUG17	S	1	PD24NOV17	6636.50	6866.73	USD	-5,755.75	
24AUG17	S	1	PD24NOV17	6716.50	6866.73	USD	-3,755.75	
24AUG17	S	2	PD24NOV17	6697.00	6866.73	USD	-8,486.50	
25AUG17	S	2	PD24NOV17	6699.00	6866.73	USD	-8,386.50	
25AUG17	S	1	PD24NOV17	6701.00	6866.73	USD	-4,143.25	
25AUG17	S	1	PD24NOV17	6704.50	6866.73	USD	-4,055.75	
	NET	-13 AVG	SOLD 6668.12				-64,549.75	0.00
29AUG17	S	1	PD29NOV17	6778.50	6869.07	USD	-2,264.25	
	NET	-1 AVG	SOLD 6778.50				-2,264.25	0.00
07SEP17	S	1	PD07DEC17	6905.00	6872.42	USD	814.50	
	NET	-1 AVG	SOLD 6905.00				814.50	0.00
11SEP17	S	1	PD11DEC17	6747.50	6874.08	USD	-3,164.50	
	NET	-1 AVG	SOLD 6747.50				-3,164.50	0.00
05OCT17	B	2	PD05JAN18	6696.00	6879.67	USD	9,183.50	
05OCT17	B	1	PD05JAN18	6694.50	6879.67	USD	4,629.25	
05OCT17	B	2	PD05JAN18	6695.00	6879.67	USD	9,233.50	
	NET	+5 AVG	BGHT 6695.30				23,046.25	0.00
	<u>CMX COPPER 25,000 CMX</u>							
08AUG17	S	4	DEC17	296.200	313.350	USD	-17,150.00	
18AUG17	S	2	DEC17	296.000	313.350	USD	-8,675.00	
18AUG17	S	1	DEC17	296.100	313.350	USD	-4,312.50	
18AUG17	S	2	DEC17	296.350	313.350	USD	-8,500.00	

OPEN POSITION STATEMENT



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	CMX COPPER 25,000 CMX					
18AUG17	S 2 DEC17	296.050	313.350	USD	-8,650.00	
24AUG17	S 1 DEC17	305.750	313.350	USD	-1,900.00	
25AUG17	S 3 DEC17	307.000	313.350	USD	-4,762.50	
25AUG17	S 1 DEC17	307.400	313.350	USD	-1,487.50	
25AUG17	S 2 DEC17	307.350	313.350	USD	-3,000.00	
28AUG17	S 1 DEC17	308.550	313.350	USD	-1,200.00	
28AUG17	S 1 DEC17	309.650	313.350	USD	-925.00	
28AUG17	S 1 DEC17	308.750	313.350	USD	-1,150.00	
28AUG17	S 1 DEC17	308.700	313.350	USD	-1,162.50	
29AUG17	S 1 DEC17	309.200	313.350	USD	-1,037.50	
29AUG17	S 1 DEC17	310.750	313.350	USD	-650.00	
29AUG17	S 1 DEC17	311.050	313.350	USD	-575.00	
29AUG17	S 1 DEC17	311.150	313.350	USD	-550.00	
29AUG17	S 1 DEC17	310.100	313.350	USD	-812.50	
29AUG17	S 2 DEC17	310.150	313.350	USD	-1,600.00	
29AUG17	S 1 DEC17	310.350	313.350	USD	-750.00	
31AUG17	S 1 DEC17	311.850	313.350	USD	-375.00	
31AUG17	S 1 DEC17	311.900	313.350	USD	-362.50	
05OCT17	S 1 DEC17	300.750	313.350	USD	-3,150.00	
05OCT17	S 1 DEC17	300.950	313.350	USD	-3,100.00	
05OCT17	S 2 DEC17	301.050	313.350	USD	-6,150.00	
05OCT17	S 2 DEC17	301.300	313.350	USD	-6,025.00	
05OCT17	S 4 DEC17	301.250	313.350	USD	-12,100.00	
05OCT17	S 2 DEC17	301.800	313.350	USD	-5,775.00	
05OCT17	S 1 DEC17	302.000	313.350	USD	-2,837.50	
05OCT17	S 2 DEC17	303.050	313.350	USD	-5,150.00	
05OCT17	S 2 DEC17	303.250	313.350	USD	-5,050.00	
05OCT17	S 1 DEC17	303.700	313.350	USD	-2,412.50	
05OCT17	S 4 DEC17	304.400	313.350	USD	-8,950.00	
05OCT17	S 6 DEC17	304.350	313.350	USD	-13,500.00	
	NET -60 AVG SOLD 303.764				-143,787.50	0.00
	<u>LME LEAD 25 TONNES. THIS IS AN</u>					
	<u>LME REGISTERED CLIENT CONTRACT</u>					
12OCT17	B 2 PD12JAN18	2581.00	2530.00	USD	-2,550.00	
12OCT17	S 2 PD12JAN18	2578.00	2530.00	USD	2,400.00	
	NET 0 AVG BGHT 2581.00 SOLD 2578.00				-150.00	0.00
	<u>LME NICKEL 6 TONNES. THIS IS AN LME</u>					
	<u>REGISTERED CLIENT CONTRACT</u>					
17JUL17	B 1 PD17OCT17	9620.00	11610.50	USD	11,943.00	
10AUG17	S 1 PD17OCT17	10970.70	11610.50	USD	-3,838.80	
	NET 0 AVG BGHT 9620.00 SOLD 10970.70				8,104.20	0.00
02AUG17	S 1 PD02NOV17	10345.00	11622.43	USD	-7,664.58	
10AUG17	B 1 PD02NOV17	10981.30	11622.43	USD	3,846.78	
	NET 0 AVG BGHT 10981.30 SOLD 10345.00				-3,817.80	0.00
03AUG17	S 1 PD03NOV17	10320.00	11623.28	USD	-7,819.68	
04AUG17	S 2 PD03NOV17	10335.00	11623.28	USD	-15,459.36	
10AUG17	B 3 PD03NOV17	10981.89	11623.28	USD	11,545.02	
	NET 0 AVG BGHT 10981.89 SOLD 10330.00				-11,734.02	0.00
07AUG17	S 1 PD07NOV17	10360.00	11626.69	USD	-7,600.14	
07AUG17	S 1 PD07NOV17	10380.00	11626.69	USD	-7,480.14	
10AUG17	B 2 PD07NOV17	10984.24	11626.69	USD	7,709.40	
	NET 0 AVG BGHT 10984.24 SOLD 10370.00				-7,370.88	0.00
08AUG17	S 1 PD08NOV17	10330.00	11627.54	USD	-7,785.24	
08AUG17	S 1 PD08NOV17	10335.00	11627.54	USD	-7,755.24	
10AUG17	B 2 PD08NOV17	10984.83	11627.54	USD	7,712.52	
	NET 0 AVG BGHT 10984.83 SOLD 10332.50				-7,827.96	0.00

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Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	CMX SILVER 5,000 CMX					
08SEP17	B 1 DEC17	1813.5	1741.1	USD	-3,620.00	
06OCT17	B 1 DEC17	1660.5	1741.1	USD	4,030.00	
06OCT17	B 1 DEC17	1659.0	1741.1	USD	4,105.00	
06OCT17	B 1 DEC17	1656.5	1741.1	USD	4,230.00	
12OCT17	B 1 DEC17	1724.0	1741.1	USD	855.00	
13OCT17	B 1 DEC17	1724.0	1741.1	USD	855.00	
	NET +6 AVG BGHT 1706.3				10,455.00	0.00
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
20JUL17	B 1 PD20OCT17	2728.00	3282.00	USD	13,850.00	
10AUG17	S 1 PD20OCT17	2934.25	3282.00	USD	-8,693.75	
	NET 0 AVG BGHT 2728.00 SOLD 2934.25				5,156.25	0.00
28JUL17	S 1 PD27OCT17	2784.00	3270.73	USD	-12,168.25	
10AUG17	B 1 PD27OCT17	2935.70	3270.73	USD	8,375.75	
	NET 0 AVG BGHT 2935.70 SOLD 2784.00				-3,792.50	0.00
03OCT17	B 3 PD03JAN18	3281.00	3237.69	USD	-3,248.25	
03OCT17	B 1 PD03JAN18	3282.00	3237.69	USD	-1,107.75	
	NET +4 AVG BGHT 3281.25				-4,356.00	0.00
11OCT17	B 2 PD11JAN18	3241.00	3235.38	USD	-281.00	
11OCT17	B 1 PD11JAN18	3246.50	3235.38	USD	-278.00	
11OCT17	B 1 PD11JAN18	3247.00	3235.38	USD	-290.50	
	NET +4 AVG BGHT 3243.88				-849.50	0.00
12OCT17	B 3 PD12JAN18	3256.00	3235.00	USD	-1,575.00	
13OCT17	S 1 PD12JAN18	3247.00	3235.00	USD	300.00	
13OCT17	S 1 PD12JAN18	3248.00	3235.00	USD	325.00	
13OCT17	S 1 PD12JAN18	3248.50	3235.00	USD	337.50	
	NET 0 AVG BGHT 3256.00 SOLD 3247.83				-612.50	0.00

OPEN POSITION VALUE

		USD
NYM Light Sweet Crude Oil	OPTIONS	200.00
LME Copper Grade A 25	FUTURES	-756,096.75
CMX Copper	FUTURES	4,556,462.50
LME Lead 25 tonnes. This is an	FUTURES	-150.00
LME Nickel 6 tonnes. This is	FUTURES	-22,646.46
CMX Silver	FUTURES	-511,875.00
LME Zinc 25 tonnes. This is	FUTURES	-651,761.25

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MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options	Amount	Profit / Loss	Total Amount	Total P&L
COPR - Futures USD	-143,787.50	-143,787.50		
SILV - Futures USD	10,455.00	10,455.00	-133,332.50	-133,332.50
Unrealised Profits & Losses - Forwards	Amount	Profit / Loss	Total Amount	Total P&L
COPL - Futures USD	102,583.75	102,583.75		
LEAD - Futures USD	-150.00	-150.00		
NKL - Futures USD	-22,646.46	-22,646.46		
ZINC - Futures USD	-4,454.25	-4,454.25	75,333.04	75,333.04
Net Premium Options Market Value				
COIL = Options USD	-200.00	0.00	-200.00	0.00

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	-123,854.83	-123,854.83
Variation Margins - Futures	-133,332.50	-133,332.50
- Options	0.00	0.00
TOTAL EQUITY	-257,187.33	-257,187.33
Initial Margins	-259,065.96	-259,065.96
Excess/(Shortage)	-516,253.29	-516,253.29
FOR INFORMATION ONLY		
Forward Unrealised P&L	75,333.04	75,333.04
Net Premium Option Market Value	-200.00	-200.00
Net Liquidating Value	-182,054.29	-182,054.29

OPEN POSITION STATEMENT



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ACCOUNT FINANCIAL SUMMARY

	EUR	USD	NETT USD
	(1.18360)	(1.00000)	(1.00000)
CASH BALANCE	-165.00	130.04	-65.25
Variation Margins			
- Futures	0.00	0.00	0.00
- Options	0.00	0.00	0.00
TOTAL EQUITY	-165.00	130.04	-65.25
Initial Margins	0.00	0.00	0.00
Excess/(Shortage)	-165.00	130.04	-65.25
FOR INFORMATION ONLY			
Net Liquidating Value	-165.00	130.04	-65.25

OPEN POSITION STATEMENT



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SAP0025F

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Sapien Capital Limited
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London W1J 7NJ UK
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ACCOUNT FINANCIAL SUMMARY

	EUR	USD	NETT USD
	(1.18360)	(1.00000)	(1.00000)
CASH BALANCE	-110.00	-44.98	-175.18
Variation Margins - Futures	0.00	0.00	0.00
- Options	0.00	0.00	0.00
TOTAL EQUITY	-110.00	-44.98	-175.18
Initial Margins	0.00	0.00	0.00
Excess/(Shortage)	-110.00	-44.98	-175.18
FOR INFORMATION ONLY			
Net Liquidating Value	-110.00	-44.98	-175.18

OPEN POSITION STATEMENT



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Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	3 MONTH EURO (EURIBOR) 2,500 IFL					
09OCT17	B 300 OCT17	100.3300	100.3300	EUR	0.00	
	NET +300 AVG BGHT 100.3300				0.00	0.00
09OCT17	S 300 DEC17	100.3250	100.3250	EUR	0.00	
	NET -300 AVG SOLD 100.3250				0.00	0.00
06OCT17	B 15 SEP18	100.2600	100.2750	EUR	562.50	
06OCT17	B 285 SEP18	100.2550	100.2750	EUR	14,250.00	
09OCT17	B 200 SEP18	100.2600	100.2750	EUR	7,500.00	
	NET +500 AVG BGHT 100.2572				22,312.50	0.00
06OCT17	S 132 DEC18	100.2100	100.2300	EUR	-6,600.00	
06OCT17	S 468 DEC18	100.2050	100.2300	EUR	-29,250.00	
09OCT17	S 400 DEC18	100.2100	100.2300	EUR	-20,000.00	
	NET -1000 AVG SOLD 100.2077				-55,850.00	0.00
06OCT17	B 51 MAR19	100.1500	100.1750	EUR	3,187.50	
06OCT17	B 15 MAR19	100.1450	100.1750	EUR	1,125.00	
06OCT17	B 234 MAR19	100.1400	100.1750	EUR	20,475.00	
09OCT17	B 200 MAR19	100.1450	100.1750	EUR	15,000.00	
	NET +500 AVG BGHT 100.1432				39,787.50	0.00
	NYM LIGHT SWEET CRUDE OIL 1,000 NYM					
20SEP17	S 50 NOV17 63.50 Call	0.01	0.01	USD	-500.00	0.00
	NET -50 AVG SOLD 0.01				-500.00	0.00
20SEP17	S 50 NOV17 64.00 Call	0.01	0.01	USD	-500.00	0.00
25SEP17	S 25 NOV17 64.00 Call	0.01	0.01	USD	-250.00	0.00
	NET -75 AVG SOLD 0.01				-750.00	0.00
08SEP17	S 25 NOV17 35.00 Put	0.01	0.01	USD	-250.00	0.00
	NET -25 AVG SOLD 0.01				-250.00	0.00
13OCT17	S 25 DEC17 66.00 Call	0.01	0.01	USD	-250.00	0.00
	NET -25 AVG SOLD 0.01				-250.00	0.00
13OCT17	S 29 DEC17 66.50 Call	0.01	0.01	USD	-290.00	0.00
	NET -29 AVG SOLD 0.01				-290.00	0.00

OPEN POSITION VALUE

		EUR	USD
3 Month Euro (Euribor)	FUTURES	15,000.00	
NYM Light Sweet Crude Oil	OPTIONS		2,040.00

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options	Amount	Profit / Loss	Total Amount	Total P&L
EURL - Futures EUR	6,250.00	6,250.00	6,250.00	6,250.00
Net Premium Options Market Value				
COIL - Options USD	-2,040.00	0.00	-2,040.00	0.00

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ACCOUNT FINANCIAL SUMMARY

	EUR	USD	NETT USD
	(1.18360)	(1.00000)	(1.00000)
CASH BALANCE	-2,760.00	2,164.72	-1,102.02
Variation Margins - Futures	6,250.00	0.00	7,397.50
- Options	0.00	0.00	0.00
TOTAL EQUITY	3,490.00	2,164.72	6,295.48
Initial Margins	-46,996.00	-8,160.00	-63,784.47
Excess/(Shortage)	-43,506.00	-5,995.28	-57,488.99
FOR INFORMATION ONLY			
Net Premium Option Market Value	0.00	-2,040.00	-2,040.00
Net Liquidating Value	3,490.00	124.72	4,255.48

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0025J

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	NYM LIGHT SWEET CRUDE OIL 1,000 NYM					
13OCT17	B 1 NOV17 54.00 Call	0.02	0.03	USD	30.00	10.00
	NET +1AVG BGHT 0.02				30.00	10.00
27SEP17	S 8 NOV17 56.50 Call	0.13	0.01	USD	-80.00	960.00
	NET -8AVG SOLD 0.13				-80.00	960.00
25SEP17	S 6 NOV17 59.00 Call	0.06	0.01	USD	-60.00	300.00
25SEP17	S 8 NOV17 59.00 Call	0.07	0.01	USD	-80.00	480.00
	NET -14AVG SOLD 0.07				-140.00	780.00
20SEP17	S 51 NOV17 43.00 Put	0.04	0.01	USD	-510.00	1,530.00
	NET -51AVG SOLD 0.04				-510.00	1,530.00
20SEP17	S 4 NOV17 46.00 Put	0.13	0.01	USD	-40.00	480.00
20SEP17	S 2 NOV17 46.00 Put	0.14	0.01	USD	-20.00	260.00
25SEP17	S 4 NOV17 46.00 Put	0.08	0.01	USD	-40.00	280.00
27SEP17	S 2 NOV17 46.00 Put	0.06	0.01	USD	-20.00	100.00
05OCT17	S 1 NOV17 46.00 Put	0.06	0.01	USD	-10.00	50.00
06OCT17	S 1 NOV17 46.00 Put	0.07	0.01	USD	-10.00	60.00
	NET -14AVG SOLD 0.10				-140.00	1,230.00
09OCT17	S 7 NOV17 47.00 Put	0.07	0.01	USD	-70.00	420.00
12OCT17	S 6 NOV17 47.00 Put	0.02	0.01	USD	-60.00	60.00
	NET -13AVG SOLD 0.05				-130.00	480.00
13OCT17	B 1 NOV17 49.50 Put	0.05	0.04	USD	40.00	-10.00
	NET +1AVG BGHT 0.05				40.00	-10.00

OPEN POSITION VALUE

NYM Light Sweet Crude Oil OPTIONS USD 5,910.00

MARKET REVALUATION BREAKDOWN

Net Premium Options Market Value	Amount	Profit / Loss	Total Amount	Total P&L
COIL - Options USD	-930.00	4,980.00	-930.00	4,980.00

ACCOUNT FINANCIAL SUMMARY

	EUR	USD	NETT USD
	(1.18360)	(1.00000)	(1.00000)
CASH BALANCE	-55.00	1,461.26	1,396.16
Variation Margins - Futures	0.00	0.00	0.00
- Options	0.00	0.00	0.00
TOTAL EQUITY	-55.00	1,461.26	1,396.16
Initial Margins	0.00	-15,260.00	-15,260.00
Excess/(Shortage)	-55.00	-13,798.74	-13,863.84
FOR INFORMATION ONLY			
Net Premium Option Market Value	0.00	-930.00	-930.00
Net Liquidating Value	-55.00	531.26	466.16

OPEN POSITION STATEMENT



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SAP0025K

13th October 2017

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ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	-110.00	-110.00
Variation Margins		
- Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	-110.00	-110.00
Initial Margins	0.00	0.00
Excess/(Shortage)	-110.00	-110.00
FOR INFORMATION ONLY		
Net Liquidating Value	-110.00	-110.00

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0025P

13th October 2017

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Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.</u>					
26SEP17	B 2 PD27DEC17	2127.00	2131.04	USD	202.00	
26SEP17	S 2 PD27DEC17	2132.50	2131.04	USD	73.00	
26SEP17	S 2 PD27DEC17	2130.50	2131.04	USD	-27.00	
26SEP17	B 2 PD27DEC17	2123.00	2131.04	USD	402.00	
26SEP17	B 2 PD27DEC17	2126.00	2131.04	USD	252.00	
27SEP17	S 2 PD27DEC17	2141.00	2131.04	USD	498.00	
	NET 0 AVG BGHT 2125.33 SOLD 2134.67				1,400.00	0.00
09OCT17	B 2 PD09JAN18	2166.00	2133.02	USD	-1,649.00	
	NET +2 AVG BGHT 2166.00				-1,649.00	0.00
10OCT17	S 2 PD10JAN18	2158.50	2133.18	USD	1,266.00	
10OCT17	B 2 PD10JAN18	2156.50	2133.18	USD	-1,166.00	
10OCT17	B 2 PD10JAN18	2154.00	2133.18	USD	-1,041.00	
	NET +2 AVG BGHT 2155.25 SOLD 2158.50				-941.00	0.00
11OCT17	S 4 PD11JAN18	2155.00	2133.34	USD	2,166.00	
11OCT17	B 2 PD11JAN18	2147.50	2133.34	USD	-708.00	
	NET -2 AVG BGHT 2147.50 SOLD 2155.00				1,458.00	0.00
12OCT17	B 2 PD12JAN18	2136.50	2133.50	USD	-150.00	
12OCT17	B 2 PD12JAN18	2144.00	2133.50	USD	-525.00	
13OCT17	B 1 PD12JAN18	2156.50	2133.50	USD	-575.00	
13OCT17	B 2 PD12JAN18	2157.50	2133.50	USD	-1,200.00	
13OCT17	S 2 PD12JAN18	2158.00	2133.50	USD	1,225.00	
13OCT17	S 2 PD12JAN18	2159.00	2133.50	USD	1,275.00	
13OCT17	B 1 PD12JAN18	2156.50	2133.50	USD	-575.00	
13OCT17	B 2 PD12JAN18	2157.00	2133.50	USD	-1,175.00	
	NET +6 AVG BGHT 2150.30 SOLD 2158.50				-1,700.00	0.00
	<u>LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
22SEP17	B 2 PD22DEC17	2397.50	2526.90	USD	6,470.00	
22SEP17	B 1 PD22DEC17	2483.00	2526.90	USD	1,097.50	
22SEP17	B 1 PD22DEC17	2483.50	2526.90	USD	1,085.00	
22SEP17	S 2 PD22DEC17	2472.00	2526.90	USD	-2,745.00	
22SEP17	S 2 PD22DEC17	2479.00	2526.90	USD	-2,395.00	
	NET 0 AVG BGHT 2440.38 SOLD 2475.50				3,512.50	0.00
25SEP17	B 2 PD27DEC17	2487.50	2527.64	USD	2,007.00	
25SEP17	B 2 PD27DEC17	2501.00	2527.64	USD	1,332.00	
25SEP17	S 2 PD27DEC17	2494.50	2527.64	USD	-1,657.00	
27SEP17	B 1 PD27DEC17	2460.50	2527.64	USD	1,678.50	
27SEP17	B 1 PD27DEC17	2460.50	2527.64	USD	1,678.50	
	NET +4 AVG BGHT 2483.00 SOLD 2494.50				5,039.00	0.00
10OCT17	S 2 PD10JAN18	2512.00	2529.70	USD	-885.00	
	NET -2 AVG SOLD 2512.00				-885.00	0.00
11OCT17	S 2 PD11JAN18	2526.50	2529.85	USD	-167.50	
	NET -2 AVG SOLD 2526.50				-167.50	0.00
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
09OCT17	S 2 PD09JAN18	3241.00	3236.15	USD	242.50	
	NET -2 AVG SOLD 3241.00				242.50	0.00

OPEN POSITION STATEMENT



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SAP0025P

13th October 2017

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London W1J 7NJ UK
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OPEN POSITION VALUE

		USD
LME Aluminium 25 Tonnes.	FUTURES	-428,100.00
LME Lead 25 tonnes. This is an	FUTURES	7,712.50
LME Zinc 25 tonnes. This is	FUTURES	162,050.00

MARKET REVALUATION BREAKDOWN

Unrealised Profits & Losses - Forwards			Amount	Profit / Loss	Total Amount	Total P&L
ALUL	- Futures	USD	-1,432.00	-1,432.00		
LEAD	- Futures	USD	7,499.00	7,499.00		
ZINC	- Futures	USD	242.50	242.50	6,309.50	6,309.50

ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		-1,869.18	-1,869.18
Variation Margins	- Futures	0.00	0.00
	- Options	0.00	0.00
TOTAL EQUITY		-1,869.18	-1,869.18
Initial Margins		-32,285.50	-32,285.50
Excess/(Shortage)		-34,154.68	-34,154.68
FOR INFORMATION ONLY			
Forward Unrealised P&L		6,309.50	6,309.50
Net Liquidating Value		4,440.32	4,440.32

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0025Z

13th October 2017

Sapien Capital Limited
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London W1J 7NJ UK
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AUTHORISED AND REGULATED BY THE
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Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	NYM LIGHT SWEET CRUDE OIL 1,000 NYM					
12OCT17	B 1 NOV17 52.50 Call	0.07	0.13	USD	130.00	60.00
	NET +1AVG BGHT 0.07				130.00	60.00
13OCT17	S 1 NOV17 53.50 Call	0.03	0.04	USD	-40.00	-10.00
13OCT17	S 2 NOV17 53.50 Call	0.06	0.04	USD	-80.00	40.00
	NET -3AVG SOLD 0.05				-120.00	30.00
20SEP17	S 3 NOV17 56.00 Call	0.15	0.01	USD	-30.00	420.00
21SEP17	S 3 NOV17 56.00 Call	0.11	0.01	USD	-30.00	300.00
27SEP17	S 1 NOV17 56.00 Call	0.16	0.01	USD	-10.00	150.00
02OCT17	S 3 NOV17 56.00 Call	0.05	0.01	USD	-30.00	120.00
02OCT17	S 7 NOV17 56.00 Call	0.04	0.01	USD	-70.00	210.00
04OCT17	S 10 NOV17 56.00 Call	0.03	0.01	USD	-100.00	200.00
	NET -27AVG SOLD 0.06				-270.00	1,400.00
27SEP17	S 2 NOV17 58.00 Call	0.07	0.01	USD	-20.00	120.00
27SEP17	S 3 NOV17 58.00 Call	0.06	0.01	USD	-30.00	150.00
28SEP17	S 4 NOV17 58.00 Call	0.08	0.01	USD	-40.00	280.00
28SEP17	S 1 NOV17 58.00 Call	0.07	0.01	USD	-10.00	60.00
	NET -10AVG SOLD 0.07				-100.00	610.00
20SEP17	S 6 NOV17 43.50 Put	0.05	0.01	USD	-60.00	240.00
21SEP17	S 1 NOV17 43.50 Put	0.07	0.01	USD	-10.00	60.00
25SEP17	S 3 NOV17 43.50 Put	0.03	0.01	USD	-30.00	60.00
	NET -10AVG SOLD 0.05				-100.00	360.00
26SEP17	S 10 NOV17 45.00 Put	0.04	0.01	USD	-100.00	300.00
02OCT17	S 4 NOV17 45.00 Put	0.05	0.01	USD	-40.00	160.00
	NET -14AVG SOLD 0.04				-140.00	460.00
26SEP17	S 5 NOV17 46.50 Put	0.08	0.01	USD	-50.00	350.00
26SEP17	S 1 NOV17 46.50 Put	0.07	0.01	USD	-10.00	60.00
27SEP17	S 2 NOV17 46.50 Put	0.07	0.01	USD	-20.00	120.00
03OCT17	S 1 NOV17 46.50 Put	0.09	0.01	USD	-10.00	80.00
	NET -9AVG SOLD 0.08				-90.00	610.00
03OCT17	S 1 NOV17 47.00 Put	0.13	0.01	USD	-10.00	120.00
09OCT17	S 6 NOV17 47.00 Put	0.07	0.01	USD	-60.00	360.00
	NET -7AVG SOLD 0.08				-70.00	480.00
12OCT17	S 15 NOV17 47.50 Put	0.02	0.01	USD	-150.00	150.00
	NET -15AVG SOLD 0.02				-150.00	150.00
12OCT17	S 1 NOV17 48.00 Put	0.03	0.01	USD	-10.00	20.00
	NET -1AVG SOLD 0.03				-10.00	20.00
13OCT17	S 4 NOV17 49.00 Put	0.03	0.02	USD	-80.00	40.00
	NET -4AVG SOLD 0.03				-80.00	40.00
12OCT17	B 1 NOV17 49.50 Put	0.16	0.04	USD	40.00	-120.00
13OCT17	B 3 NOV17 49.50 Put	0.06	0.04	USD	120.00	-60.00
	NET +4AVG BGHT 0.09				160.00	-180.00

OPEN POSITION VALUE

NYM Light Sweet Crude Oil OPTIONS USD 4,880.00

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0025Z

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

MARKET REVALUATION BREAKDOWN

Net Premium Options Market Value	Amount	Profit / Loss	Total Amount	Total P&L
COIL - Options USD	-840.00	4,040.00	-840.00	4,040.00

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	1,693.05	1,693.05
Variation Margins - Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	1,693.05	1,693.05
Initial Margins	-27,875.00	-27,875.00
Excess/(Shortage)	-26,181.95	-26,181.95
<u>FOR INFORMATION ONLY</u>		
Net Premium Option Market Value	-840.00	-840.00
Net Liquidating Value	853.05	853.05

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED
SAP0025
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

GROUP NO: **SAPG0025**

13th Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343
Authorised and regulated by the Australian Prudential
Regulation Authority. Authorised by the Prudential
Regulation Authority. Subject to regulation by the Financial
Conduct Authority and limited regulation by the
Prudential Regulation Authority. Details about the extent
of our regulation by the Prudential Regulation Authority are
available from us on request.

GROUP FINANCIAL SUMMARY

	EUR	GBP	USD
	(1.18360)	(1.33050)	(1.00000)
CASH BALANCE	-8,050.71	-649.17	523,143.38
Variation Margins	- Futures 6,250.00	0.00	-374,365.00
	- Options 0.00	0.00	0.00
TOTAL EQUITY	-1,800.71	-649.17	148,778.38
Initial Margins	-46,996.00	0.00	-778,707.00
Excess/(Shortage)	-48,796.71	-649.17	-629,928.62

FOR INFORMATION ONLY

Forward Unrealised P&L	0.00	0.00	373,787.67
Net Premium Option Market Value	0.00	0.00	-5,530.00
Net Liquidating Value	-1,800.71	-649.17	517,036.05

	NET USD
	(1.00000)
CASH BALANCE	512,750.84
Variation Margins	- Futures -366,967.50
	- Options 0.00
TOTAL EQUITY	145,783.34
Initial Margins	-834,331.47
Excess/(Shortage)	-688,548.13

FOR INFORMATION ONLY

Forward Unrealised P&L	373,787.67
Net Premium Option Market Value	-5,530.00
Net Liquidating Value	514,041.01

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0030A

13th October 2017

Sapien Capital Limited
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London W1J 7NJ UK
+44 (0) 20 7340 9081
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Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
29AUG17	<u>DOW JONES EURO STOXX 50 10 ERX</u>					
	S 100 OCT17 2450.00 Put	0.80	0.10	EUR	-100.00	700.00
	NET -100 AVG SOLD 0.80				-100.00	700.00
11AUG17	S 100 DEC17 1850.00 Put	1.20	0.10	EUR	-100.00	1,100.00
	NET -100 AVG SOLD 1.20				-100.00	1,100.00

OPEN POSITION VALUE

Dow Jones EURO Stoxx 50 OPTIONS **EUR** 2,000.00

MARKET REVALUATION BREAKDOWN

Net Premium Options Market Value	Amount	Profit / Loss	Total Amount	Total P&L
EDOX - Options EUR	-200.00	1,800.00	-200.00	1,800.00

ACCOUNT FINANCIAL SUMMARY

	EUR	GBP	USD
(1.18360)	(1.33050)	(1.00000)	
CASH BALANCE	106,960.53	-16,998.30	57,976.10
Variation Margins - Futures	0.00	0.00	0.00
- Options	0.00	0.00	0.00
TOTAL EQUITY	106,960.53	-16,998.30	57,976.10
Initial Margins	-565.24	0.00	0.00
Excess/(Shortage)	106,395.29	-16,998.30	57,976.10
<u>FOR INFORMATION ONLY</u>			
Net Premium Option Market Value	-200.00	0.00	0.00
Net Liquidating Value	106,760.53	-16,998.30	57,976.10
	NETT USD		
(1.00000)			
CASH BALANCE	161,958.34		
Variation Margins - Futures	0.00		
- Options	0.00		
TOTAL EQUITY	161,958.34		
Initial Margins	-669.02		
Excess/(Shortage)	161,289.32		
<u>FOR INFORMATION ONLY</u>			
Net Premium Option Market Value	-236.72		
Net Liquidating Value	161,721.62		

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED
SAP0030
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

GROUP NO: **SAPG0030**

13th Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343
Authorised and regulated by the Australian Prudential
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Regulation Authority. Subject to regulation by the Financial
Conduct Authority and limited regulation by the
Prudential Regulation Authority. Details about the extent
of our regulation by the Prudential Regulation Authority are
available from us on request.

GROUP FINANCIAL SUMMARY

	EUR	GBP	USD
	(1.18360)	(1.33050)	(1.00000)
CASH BALANCE	106,960.53	-16,998.30	57,976.10
Variation Margins	- Futures 0.00	0.00	0.00
	- Options 0.00	0.00	0.00
TOTAL EQUITY	106,960.53	-16,998.30	57,976.10
Initial Margins	-565.24	0.00	0.00
Excess/(Shortage)	106,395.29	-16,998.30	57,976.10
<u>FOR INFORMATION ONLY</u>			
Net Premium Option Market Value	-200.00	0.00	0.00
Net Liquidating Value	106,760.53	-16,998.30	57,976.10

	NET USD
	(1.00000)
CASH BALANCE	161,958.34
Variation Margins	- Futures 0.00
	- Options 0.00
TOTAL EQUITY	161,958.34
Initial Margins	-669.02
Excess/(Shortage)	161,289.32
<u>FOR INFORMATION ONLY</u>	
Net Premium Option Market Value	-236.72
Net Liquidating Value	161,721.62

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0034

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
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ACCOUNT FINANCIAL SUMMARY

	EUR	GBP	JPY
	(1.18360)	(1.33050)	(111.93000)
CASH BALANCE	15,838.21	-12,605.90	-397,318
Variation Margins - Futures	0.00	0.00	0
- Options	0.00	0.00	0
TOTAL EQUITY	15,838.21	-12,605.90	-397,318
Initial Margins	0.00	0.00	0
Excess/(Shortage)	15,838.21	-12,605.90	-397,318
FOR INFORMATION ONLY			
Net Liquidating Value	15,838.21	-12,605.90	-397,318
	USD	NETT USD	
	(1.00000)	(1.00000)	
CASH BALANCE	553,017.35	551,441.61	
Variation Margins - Futures	0.00	0.00	
- Options	0.00	0.00	
TOTAL EQUITY	553,017.35	551,441.61	
Initial Margins	0.00	0.00	
Excess/(Shortage)	553,017.35	551,441.61	
FOR INFORMATION ONLY			
Net Liquidating Value	553,017.35	551,441.61	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0034D

13th October 2017

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London W1J 7NJ UK
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ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	-21,510.16	-21,510.16
Variation Margins		
- Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	-21,510.16	-21,510.16
Initial Margins	0.00	0.00
Excess/(Shortage)	-21,510.16	-21,510.16
FOR INFORMATION ONLY		
Net Liquidating Value	-21,510.16	-21,510.16

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0034E

13th October 2017

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	CNX NIFTY (INDIA) INDEX 2 SIM							
06OCT17	S	9	OCT17	9989.00	10211.00	USD	-3,996.00	
06OCT17	S	5	OCT17	9985.50	10211.00	USD	-2,255.00	
06OCT17	S	6	OCT17	9985.00	10211.00	USD	-2,712.00	
06OCT17	S	10	OCT17	9998.00	10211.00	USD	-4,260.00	
06OCT17	S	2	OCT17	9993.00	10211.00	USD	-872.00	
06OCT17	S	6	OCT17	9993.50	10211.00	USD	-2,610.00	
06OCT17	S	3	OCT17	9992.00	10211.00	USD	-1,314.00	
10OCT17	S	1	OCT17	10048.00	10211.00	USD	-326.00	
10OCT17	S	1	OCT17	10040.00	10211.00	USD	-342.00	
12OCT17	S	1	OCT17	10011.00	10211.00	USD	-400.00	
12OCT17	S	4	OCT17	10012.00	10211.00	USD	-1,592.00	
12OCT17	S	2	OCT17	10018.00	10211.00	USD	-772.00	
12OCT17	S	10	OCT17	10025.00	10211.00	USD	-3,720.00	
12OCT17	S	1	OCT17	10080.50	10211.00	USD	-261.00	
12OCT17	S	1	OCT17	10081.00	10211.00	USD	-260.00	
12OCT17	S	1	OCT17	10082.00	10211.00	USD	-258.00	
12OCT17	S	1	OCT17	10084.00	10211.00	USD	-254.00	
12OCT17	S	10	OCT17	10110.00	10211.00	USD	-2,020.00	
12OCT17	S	10	OCT17	10124.00	10211.00	USD	-1,740.00	
13OCT17	S	1	OCT17	10171.50	10211.00	USD	-79.00	
13OCT17	S	2	OCT17	10172.50	10211.00	USD	-154.00	
13OCT17	S	2	OCT17	10173.50	10211.00	USD	-150.00	
13OCT17	S	9	OCT17	10174.50	10211.00	USD	-657.00	
13OCT17	S	4	OCT17	10175.00	10211.00	USD	-288.00	
13OCT17	S	1	OCT17	10175.50	10211.00	USD	-71.00	
13OCT17	S	2	OCT17	10176.00	10211.00	USD	-140.00	
13OCT17	S	9	OCT17	10177.00	10211.00	USD	-612.00	
13OCT17	S	4	OCT17	10179.50	10211.00	USD	-252.00	
13OCT17	S	2	OCT17	10178.50	10211.00	USD	-130.00	
13OCT17	S	7	OCT17	10179.00	10211.00	USD	-448.00	
13OCT17	S	8	OCT17	10178.00	10211.00	USD	-528.00	
13OCT17	S	8	OCT17	10177.50	10211.00	USD	-536.00	
13OCT17	S	10	OCT17	10182.00	10211.00	USD	-580.00	
13OCT17	S	4	OCT17	10184.50	10211.00	USD	-212.00	
13OCT17	S	7	OCT17	10186.00	10211.00	USD	-350.00	
13OCT17	S	4	OCT17	10185.50	10211.00	USD	-204.00	
13OCT17	S	1	OCT17	10186.50	10211.00	USD	-49.00	
13OCT17	S	1	OCT17	10187.00	10211.00	USD	-48.00	
13OCT17	S	2	OCT17	10184.00	10211.00	USD	-108.00	
13OCT17	S	5	OCT17	10185.00	10211.00	USD	-260.00	
13OCT17	S	1	OCT17	10167.50	10211.00	USD	-87.00	
13OCT17	S	4	OCT17	10174.00	10211.00	USD	-296.00	
13OCT17	S	1	OCT17	10170.00	10211.00	USD	-82.00	
13OCT17	S	2	OCT17	10169.00	10211.00	USD	-168.00	
13OCT17	S	4	OCT17	10168.00	10211.00	USD	-344.00	
13OCT17	S	1	OCT17	10165.50	10211.00	USD	-91.00	
13OCT17	S	1	OCT17	10166.00	10211.00	USD	-90.00	
13OCT17	S	1	OCT17	10166.50	10211.00	USD	-89.00	
13OCT17	S	1	OCT17	10163.50	10211.00	USD	-95.00	
13OCT17	S	8	OCT17	10173.00	10211.00	USD	-608.00	
13OCT17	S	3	OCT17	10172.00	10211.00	USD	-234.00	
13OCT17	S	1	OCT17	10171.00	10211.00	USD	-80.00	
13OCT17	S	10	OCT17	10196.00	10211.00	USD	-300.00	
13OCT17	S	2	OCT17	10191.50	10211.00	USD	-78.00	
13OCT17	S	4	OCT17	10191.00	10211.00	USD	-160.00	
13OCT17	S	1	OCT17	10193.00	10211.00	USD	-36.00	
13OCT17	S	3	OCT17	10192.50	10211.00	USD	-111.00	
13OCT17	S	19	OCT17	10192.00	10211.00	USD	-722.00	
13OCT17	S	3	OCT17	10190.50	10211.00	USD	-123.00	

OPEN POSITION STATEMENT



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SAP0034E

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Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>CNX NIFTY (INDIA) INDEX 2 SIM</u>					
130CT17	S 8 OCT17	10189.50	10211.00	USD	-344.00	
130CT17	S 10 OCT17	10208.50	10211.00	USD	-50.00	
	NET -265 AVG SOLD 10135.51				-40,008.00	0.00
	<u>NYM LIGHT SWEET CRUDE OIL 1,000 NYM</u>					
100CT17	S 1 NOV17	50.53	51.45	USD	-920.00	
100CT17	S 1 NOV17	50.51	51.45	USD	-940.00	
100CT17	S 1 NOV17	50.60	51.45	USD	-850.00	
100CT17	S 1 NOV17	50.63	51.45	USD	-820.00	
100CT17	S 1 NOV17	51.04	51.45	USD	-410.00	
100CT17	S 1 NOV17	50.94	51.45	USD	-510.00	
100CT17	S 1 NOV17	50.91	51.45	USD	-540.00	
130CT17	S 1 NOV17	51.57	51.45	USD	120.00	
130CT17	S 3 NOV17	51.68	51.45	USD	690.00	
130CT17	S 1 NOV17	51.67	51.45	USD	220.00	
130CT17	S 2 NOV17	51.66	51.45	USD	420.00	
130CT17	S 2 NOV17	51.64	51.45	USD	380.00	
130CT17	S 1 NOV17	51.62	51.45	USD	170.00	
130CT17	S 1 NOV17	51.30	51.45	USD	-150.00	
130CT17	S 1 NOV17	51.21	51.45	USD	-240.00	
130CT17	S 1 NOV17	51.23	51.45	USD	-220.00	
130CT17	S 2 NOV17	51.19	51.45	USD	-520.00	
	NET -22 AVG SOLD 51.26				-4,120.00	0.00
	<u>CMX GOLD 100 CMX</u>					
110CT17	B 2 DEC17	1293.0	1304.6	USD	2,320.00	
110CT17	B 1 DEC17	1292.0	1304.6	USD	1,260.00	
110CT17	B 1 DEC17	1290.9	1304.6	USD	1,370.00	
110CT17	B 1 DEC17	1289.3	1304.6	USD	1,530.00	
110CT17	B 1 DEC17	1288.6	1304.6	USD	1,600.00	
110CT17	B 1 DEC17	1288.5	1304.6	USD	1,610.00	
110CT17	B 1 DEC17	1288.4	1304.6	USD	1,620.00	
110CT17	B 3 DEC17	1289.1	1304.6	USD	4,650.00	
130CT17	B 1 DEC17	1298.1	1304.6	USD	650.00	
130CT17	B 1 DEC17	1294.9	1304.6	USD	970.00	
130CT17	B 2 DEC17	1302.0	1304.6	USD	520.00	
130CT17	B 2 DEC17	1301.9	1304.6	USD	540.00	
130CT17	B 1 DEC17	1301.8	1304.6	USD	280.00	
	NET +18 AVG BGHT 1294.1				18,920.00	0.00
	<u>NYM HEATING OIL 42,000 NYM</u>					
110CT17	S 1 NOV17	178.05	179.70	USD	-693.00	
130CT17	S 1 NOV17	177.60	179.70	USD	-882.00	
	NET -2 AVG SOLD 177.83				-1,575.00	0.00
	<u>CMX SILVER 5,000 CMX</u>					
130CT17	S 1 DEC17	1741.5	1741.1	USD	20.00	
	NET -1 AVG SOLD 1741.5				20.00	0.00

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OPEN POSITION VALUE

		USD
CNX Nifty (India) Index	FUTURES	5,371,822.00
NYM Light Sweet Crude Oil	FUTURES	1,127,780.00
CMX Gold	FUTURES	-2,329,360.00
NYM Heating Oil	FUTURES	149,373.00
CMX Silver	FUTURES	87,075.00

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options	Amount	Profit / Loss	Total Amount	Total P&L
CNX - Futures USD	-40,008.00	-40,008.00		
COIL - Futures USD	-4,120.00	-4,120.00		
GOLD - Futures USD	18,920.00	18,920.00		
HOIL - Futures USD	-1,575.00	-1,575.00		
SILV - Futures USD	20.00	20.00	-26,763.00	-26,763.00

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
CASH BALANCE	(1.00000)	(1.00000)
Variation Margins - Futures	21,742.87	21,742.87
- Options	-26,763.00	-26,763.00
	0.00	0.00
TOTAL EQUITY	-5,020.13	-5,020.13
Initial Margins	-374,900.00	-374,900.00
Excess/(Shortage)	-379,920.13	-379,920.13
FOR INFORMATION ONLY		
Net Liquidating Value	-5,020.13	-5,020.13

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.</u>							
24JUL17	B	2	PD24OCT17	1913.00	2113.25	USD	10,012.50	
26JUL17	S	2	PD24OCT17	1947.00	2113.25	USD	-8,312.50	
	NET	0 AVG	BGHT 1913.00				1,700.00	0.00
25JUL17	S	2	PD25OCT17	1923.50	2113.75	USD	-9,512.50	
25JUL17	B	2	PD25OCT17	1924.00	2113.75	USD	9,487.50	
25JUL17	S	2	PD25OCT17	1921.00	2113.75	USD	-9,637.50	
26JUL17	B	2	PD25OCT17	1947.46	2113.75	USD	8,314.50	
	NET	0 AVG	BGHT 1935.73				-1,348.00	0.00
28JUL17	B	2	PD27OCT17	1914.00	2114.08	USD	10,004.00	
01AUG17	S	2	PD27OCT17	1905.56	2114.08	USD	-10,426.00	
	NET	0 AVG	BGHT 1914.00				-422.00	0.00
31JUL17	B	1	PD31OCT17	1921.50	2113.75	USD	4,806.25	
31JUL17	S	3	PD31OCT17	1924.50	2113.75	USD	-14,193.75	
01AUG17	B	2	PD31OCT17	1906.91	2113.75	USD	10,342.00	
	NET	0 AVG	BGHT 1911.77				954.50	0.00
01AUG17	B	3	PD01NOV17	1910.00	2114.09	USD	15,306.75	
07AUG17	S	3	PD01NOV17	1962.58	2114.09	USD	-11,363.25	
	NET	0 AVG	BGHT 1910.00				3,943.50	0.00
02AUG17	S	3	PD02NOV17	1915.50	2114.44	USD	-14,920.50	
02AUG17	B	2	PD02NOV17	1921.00	2114.44	USD	9,672.00	
02AUG17	S	2	PD02NOV17	1928.00	2114.44	USD	-9,322.00	
07AUG17	B	3	PD02NOV17	1963.02	2114.44	USD	11,356.50	
	NET	0 AVG	BGHT 1946.21				-3,214.00	0.00
03AUG17	B	2	PD03NOV17	1915.00	2114.78	USD	9,989.00	
03AUG17	B	1	PD03NOV17	1912.00	2114.78	USD	5,069.50	
03AUG17	S	3	PD03NOV17	1919.00	2114.78	USD	-14,683.50	
03AUG17	B	2	PD03NOV17	1914.00	2114.78	USD	10,039.00	
03AUG17	B	1	PD03NOV17	1913.00	2114.78	USD	5,044.50	
10AUG17	S	3	PD03NOV17	2035.81	2114.78	USD	-5,922.75	
	NET	0 AVG	BGHT 1913.83				9,535.75	0.00
07AUG17	S	3	PD07NOV17	1924.00	2116.16	USD	-14,412.00	
07AUG17	B	2	PD07NOV17	1948.50	2116.16	USD	8,383.00	
07AUG17	B	2	PD07NOV17	1950.00	2116.16	USD	8,308.00	
07AUG17	B	1	PD07NOV17	1964.50	2116.16	USD	3,791.50	
07AUG17	S	5	PD07NOV17	1968.00	2116.16	USD	-18,520.00	
10AUG17	B	3	PD07NOV17	2036.69	2116.16	USD	5,960.25	
	NET	0 AVG	BGHT 1983.95				-6,489.25	0.00
08AUG17	B	1	PD08NOV17	1982.50	2116.50	USD	3,350.00	
08AUG17	B	1	PD08NOV17	1983.00	2116.50	USD	3,337.50	
08AUG17	S	2	PD08NOV17	1988.50	2116.50	USD	-6,400.00	
08AUG17	B	2	PD08NOV17	1991.50	2116.50	USD	6,250.00	
08AUG17	S	2	PD08NOV17	1997.00	2116.50	USD	-5,975.00	
08AUG17	B	2	PD08NOV17	2001.00	2116.50	USD	5,775.00	
08AUG17	S	2	PD08NOV17	2000.50	2116.50	USD	-5,800.00	
08AUG17	B	2	PD08NOV17	2025.00	2116.50	USD	4,575.00	
08AUG17	B	2	PD08NOV17	2028.00	2116.50	USD	4,425.00	
08AUG17	B	1	PD08NOV17	2028.50	2116.50	USD	2,200.00	
08AUG17	S	2	PD08NOV17	2031.50	2116.50	USD	-4,250.00	
08AUG17	S	3	PD08NOV17	2030.00	2116.50	USD	-6,487.50	
	NET	0 AVG	BGHT 2007.73				1,000.00	0.00
09AUG17	B	3	PD09NOV17	2036.00	2116.96	USD	6,072.00	
09AUG17	B	2	PD09NOV17	2036.50	2116.96	USD	4,023.00	
09AUG17	S	3	PD09NOV17	2040.00	2116.96	USD	-5,772.00	
09AUG17	S	2	PD09NOV17	2040.50	2116.96	USD	-3,823.00	
	NET	0 AVG	BGHT 2036.20				500.00	0.00

Group No: SAPG0034

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ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT



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	<u>LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.</u>					
24AUG17	S 1 PD24NOV17	2100.00	2122.60	USD	-565.00	
24AUG17	S 1 PD24NOV17	2100.50	2122.60	USD	-552.50	
24AUG17	S 1 PD24NOV17	2091.00	2122.60	USD	-790.00	
25AUG17	B 2 PD24NOV17	2092.00	2122.60	USD	1,530.00	
25AUG17	S 3 PD24NOV17	2085.00	2122.60	USD	-2,820.00	
25AUG17	B 1 PD24NOV17	2095.00	2122.60	USD	690.00	
25AUG17	B 3 PD24NOV17	2072.00	2122.60	USD	3,795.00	
	NET 0 AVG BGHT 2082.50 SOLD 2091.08				1,287.50	0.00
29AUG17	S 1 PD29NOV17	2093.50	2124.18	USD	-767.00	
29AUG17	S 1 PD29NOV17	2094.00	2124.18	USD	-754.50	
29AUG17	B 2 PD29NOV17	2096.00	2124.18	USD	1,409.00	
	NET 0 AVG BGHT 2096.00 SOLD 2093.75				-112.50	0.00
30AUG17	S 2 PD30NOV17	2096.50	2124.49	USD	-1,399.50	
30AUG17	B 2 PD30NOV17	2086.50	2124.49	USD	1,899.50	
30AUG17	S 3 PD30NOV17	2093.00	2124.49	USD	-2,361.75	
30AUG17	B 3 PD30NOV17	2090.00	2124.49	USD	2,586.75	
30AUG17	S 1 PD30NOV17	2090.00	2124.49	USD	-862.25	
30AUG17	S 2 PD30NOV17	2090.50	2124.49	USD	-1,699.50	
31AUG17	B 1 PD30NOV17	2135.50	2124.49	USD	-275.25	
31AUG17	B 2 PD30NOV17	2130.50	2124.49	USD	-300.50	
	NET 0 AVG BGHT 2104.94 SOLD 2092.88				-2,412.50	0.00
01SEP17	B 1 PD01DEC17	2131.50	2124.81	USD	-167.25	
01SEP17	B 1 PD01DEC17	2139.00	2124.81	USD	-354.75	
01SEP17	B 1 PD01DEC17	2144.50	2124.81	USD	-492.25	
13SEP17	S 1 PD01DEC17	2106.59	2124.81	USD	-455.50	
21SEP17	S 2 PD01DEC17	2166.21	2124.81	USD	2,070.00	
	NET 0 AVG BGHT 2138.33 SOLD 2146.34				600.25	0.00
04SEP17	S 1 PD04DEC17	2120.00	2125.76	USD	-144.00	
08SEP17	B 1 PD04DEC17	2098.20	2125.76	USD	689.00	
	NET 0 AVG BGHT 2098.20 SOLD 2120.00				545.00	0.00
11SEP17	S 2 PD11DEC17	2116.50	2127.97	USD	-573.50	
11SEP17	B 2 PD11DEC17	2114.50	2127.97	USD	673.50	
	NET 0 AVG BGHT 2114.50 SOLD 2116.50				100.00	0.00
12SEP17	S 2 PD12DEC17	2130.50	2128.29	USD	110.50	
12SEP17	B 2 PD12DEC17	2132.00	2128.29	USD	-185.50	
	NET 0 AVG BGHT 2132.00 SOLD 2130.50				-75.00	0.00
19SEP17	S 2 PD19DEC17	2125.50	2130.50	USD	-250.00	
21SEP17	B 2 PD19DEC17	2171.42	2130.50	USD	-2,046.00	
	NET 0 AVG BGHT 2171.42 SOLD 2125.50				-2,296.00	0.00
20SEP17	S 1 PD20DEC17	2169.50	2130.50	USD	975.00	
20SEP17	B 1 PD20DEC17	2170.50	2130.50	USD	-1,000.00	
20SEP17	B 1 PD20DEC17	2179.00	2130.50	USD	-1,212.50	
20SEP17	S 1 PD20DEC17	2176.50	2130.50	USD	1,150.00	
20SEP17	B 1 PD20DEC17	2191.00	2130.50	USD	-1,512.50	
20SEP17	B 1 PD20DEC17	2172.50	2130.50	USD	-1,050.00	
	NET +2 AVG BGHT 2178.25 SOLD 2173.00				-2,650.00	0.00
10OCT17	B 2 PD10JAN18	2154.00	2133.18	USD	-1,041.00	
10OCT17	S 2 PD10JAN18	2159.00	2133.18	USD	1,291.00	
	NET 0 AVG BGHT 2154.00 SOLD 2159.00				250.00	0.00
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>					
08AUG17	S 1 PD08NOV17	6401.50	6864.45	USD	-11,573.75	
14AUG17	B 1 PD08NOV17	6394.87	6864.45	USD	11,739.50	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>								
	NET	0 AVG	BGHT	6394.87	SOLD	6401.50		165.75	0.00
09AUG17	B	1	PD09NOV17		6497.50	6865.23	USD	9,193.25	
09AUG17	S	1	PD09NOV17		6490.50	6865.23	USD	-9,368.25	
	NET	0 AVG	BGHT	6497.50	SOLD	6490.50		-175.00	0.00
10AUG17	B	1	PD10NOV17		6457.00	6866.00	USD	10,225.00	
14AUG17	S	1	PD10NOV17		6395.38	6866.00	USD	-11,765.50	
	NET	0 AVG	BGHT	6457.00	SOLD	6395.38		-1,540.50	0.00
29AUG17	S	1	PD29NOV17		6806.00	6869.07	USD	-1,576.75	
08SEP17	B	1	PD29NOV17		6689.58	6869.07	USD	4,487.25	
	NET	0 AVG	BGHT	6689.58	SOLD	6806.00		2,910.50	0.00
07SEP17	B	1	PD07DEC17		6865.00	6872.42	USD	185.50	
08SEP17	S	1	PD07DEC17		6692.63	6872.42	USD	-4,494.75	
	NET	0 AVG	BGHT	6865.00	SOLD	6692.63		-4,309.25	0.00
21SEP17	B	1	PD21DEC17		6462.50	6876.00	USD	10,337.50	
21SEP17	S	1	PD21DEC17		6438.50	6876.00	USD	-10,937.50	
	NET	0 AVG	BGHT	6462.50	SOLD	6438.50		-600.00	0.00
22SEP17	B	1	PD22DEC17		6398.00	6875.00	USD	11,925.00	
22SEP17	S	1	PD22DEC17		6470.00	6875.00	USD	-10,125.00	
	NET	0 AVG	BGHT	6398.00	SOLD	6470.00		1,800.00	0.00
	<u>CME EURO FX 125,000 CME</u>								
11OCT17	S	1	DEC17		1.18960	1.18580	USD	475.00	
11OCT17	S	1	DEC17		1.18845	1.18580	USD	331.25	
	NET	-2 AVG	SOLD	1.18903				806.25	0.00
	<u>LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>								
01SEP17	B	1	PD01DEC17		2401.50	2524.87	USD	3,084.25	
06SEP17	S	1	PD01DEC17		2338.63	2524.87	USD	-4,656.00	
	NET	0 AVG	BGHT	2401.50	SOLD	2338.63		-1,571.75	0.00
04SEP17	S	1	PD04DEC17		2397.50	2525.33	USD	-3,195.75	
04SEP17	B	1	PD04DEC17		2392.00	2525.33	USD	3,333.25	
	NET	0 AVG	BGHT	2392.00	SOLD	2397.50		137.50	0.00
05SEP17	S	1	PD05DEC17		2331.00	2525.48	USD	-4,862.00	
06SEP17	B	1	PD05DEC17		2339.93	2525.48	USD	4,638.75	
	NET	0 AVG	BGHT	2339.93	SOLD	2331.00		-223.25	0.00
12SEP17	S	1	PD12DEC17		2310.00	2526.54	USD	-5,413.50	
14SEP17	B	1	PD12DEC17		2306.43	2526.54	USD	5,502.75	
	NET	0 AVG	BGHT	2306.43	SOLD	2310.00		89.25	0.00
13SEP17	B	1	PD13DEC17		2294.00	2526.69	USD	5,817.25	
14SEP17	S	1	PD13DEC17		2306.52	2526.69	USD	-5,504.25	
	NET	0 AVG	BGHT	2294.00	SOLD	2306.52		313.00	0.00
14SEP17	S	1	PD14DEC17		2280.50	2526.84	USD	-6,158.50	
21SEP17	B	1	PD14DEC17		2517.91	2526.84	USD	223.25	
	NET	0 AVG	BGHT	2517.91	SOLD	2280.50		-5,935.25	0.00
20SEP17	B	1	PD20DEC17		2454.50	2527.75	USD	1,831.25	
21SEP17	S	1	PD20DEC17		2517.80	2527.75	USD	-248.75	
	NET	0 AVG	BGHT	2454.50	SOLD	2517.80		1,582.50	0.00
	<u>CBT MINI-SIZED DOW 5 CBT</u>								
15SEP17	S	1	DEC17		22162.00	22830.00	USD	-3,340.00	
21SEP17	S	1	DEC17		22365.00	22830.00	USD	-2,325.00	
21SEP17	S	1	DEC17		22340.00	22830.00	USD	-2,450.00	
02OCT17	S	1	DEC17		22421.00	22830.00	USD	-2,045.00	
02OCT17	S	1	DEC17		22425.00	22830.00	USD	-2,025.00	

OPEN POSITION STATEMENT



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SAP0034G

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	CBT MINI-SIZED DOW 5 CBT							
04OCT17	S	1	DEC17	22610.00	22830.00	USD	-1,100.00	
05OCT17	S	1	DEC17	22643.00	22830.00	USD	-935.00	
06OCT17	S	1	DEC17	22706.00	22830.00	USD	-620.00	
10OCT17	S	1	DEC17	22756.00	22830.00	USD	-370.00	
	NET	-9 AVG	SOLD 22492.00				-15,210.00	0.00
	CME E-MINI S&P 500 50 CME							
12SEP17	S	4	DEC17	2489.50	2552.80	USD	-12,660.00	
15SEP17	S	10	DEC17	2491.00	2552.80	USD	-30,900.00	
15SEP17	S	10	DEC17	2491.75	2552.80	USD	-30,525.00	
15SEP17	S	5	DEC17	2492.00	2552.80	USD	-15,200.00	
15SEP17	S	25	DEC17	2492.25	2552.80	USD	-75,687.50	
15SEP17	S	5	DEC17	2492.50	2552.80	USD	-15,075.00	
18SEP17	S	4	DEC17	2505.00	2552.80	USD	-9,560.00	
19SEP17	S	1	DEC17	2502.50	2552.80	USD	-2,515.00	
12OCT17	S	4	DEC17	2551.75	2552.80	USD	-210.00	
	NET	-68 AVG	SOLD 2496.23				-192,332.50	0.00
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT							
25JUL17	B	1	PD25OCT17	9845.00	11615.61	USD	10,623.66	
25JUL17	B	1	PD25OCT17	9895.00	11615.61	USD	10,323.66	
25JUL17	S	1	PD25OCT17	9945.00	11615.61	USD	-10,023.66	
25JUL17	S	1	PD25OCT17	9940.00	11615.61	USD	-10,053.66	
25JUL17	B	1	PD25OCT17	9935.00	11615.61	USD	10,083.66	
25JUL17	B	1	PD25OCT17	10010.00	11615.61	USD	9,633.66	
25JUL17	S	2	PD25OCT17	10000.00	11615.61	USD	-19,387.32	
	NET	0 AVG BGHT 9921.25	SOLD 9971.25				1,200.00	0.00
26JUL17	B	1	PD26OCT17	10035.00	11616.46	USD	9,488.76	
26JUL17	B	1	PD26OCT17	10080.00	11616.46	USD	9,218.76	
01AUG17	S	2	PD26OCT17	10287.64	11616.46	USD	-15,945.84	
	NET	0 AVG BGHT 10057.50	SOLD 10287.64				2,761.68	0.00
27JUL17	S	2	PD27OCT17	10120.00	11617.31	USD	-17,967.72	
27JUL17	B	1	PD27OCT17	10200.00	11617.31	USD	8,503.86	
27JUL17	S	1	PD27OCT17	10200.00	11617.31	USD	-8,503.86	
01AUG17	B	2	PD27OCT17	10289.04	11617.31	USD	15,939.24	
	NET	0 AVG BGHT 10259.36	SOLD 10146.67				-2,028.48	0.00
03AUG17	S	3	PD01NOV17	10379.57	11621.57	USD	-22,356.00	
07AUG17	B	3	PD01NOV17	10397.30	11621.57	USD	22,036.86	
	NET	0 AVG BGHT 10397.30	SOLD 10379.57				-319.14	0.00
03AUG17	B	3	PD02NOV17	10380.79	11622.43	USD	22,349.52	
07AUG17	S	3	PD02NOV17	10396.75	11622.43	USD	-22,062.24	
	NET	0 AVG BGHT 10380.79	SOLD 10396.75				287.28	0.00
08AUG17	S	1	PD08NOV17	10745.00	11627.54	USD	-5,295.24	
10AUG17	B	1	PD08NOV17	10983.83	11627.54	USD	3,862.26	
	NET	0 AVG BGHT 10983.83	SOLD 10745.00				-1,432.98	0.00
09AUG17	B	1	PD09NOV17	10790.00	11628.39	USD	5,030.34	
10AUG17	S	1	PD09NOV17	10983.41	11628.39	USD	-3,869.88	
	NET	0 AVG BGHT 10790.00	SOLD 10983.41				1,160.46	0.00
14AUG17	B	1	PD14NOV17	10570.00	11632.65	USD	6,375.90	
22AUG17	S	1	PD14NOV17	11413.81	11632.65	USD	-1,313.04	
	NET	0 AVG BGHT 10570.00	SOLD 11413.81				5,062.86	0.00
18AUG17	S	1	PD17NOV17	10730.00	11634.87	USD	-5,429.22	
18AUG17	S	1	PD17NOV17	10830.00	11634.87	USD	-4,829.22	
18AUG17	S	1	PD17NOV17	10990.00	11634.87	USD	-3,869.22	
22AUG17	B	3	PD17NOV17	11413.92	11634.87	USD	3,977.10	
	NET	0 AVG BGHT 11413.92	SOLD 10850.00				-10,150.56	0.00

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>								
22AUG17	S	1	PD22NOV17		11415.00	11638.30	USD	-1,339.80	
06SEP17	B	1	PD22NOV17		12156.60	11638.30	USD	-3,109.80	
	NET	0AVG	BGHT 12156.60	SOLD 11415.00				-4,449.60	0.00
30AUG17	B	1	PD30NOV17		11745.00	11643.79	USD	-607.26	
31AUG17	S	1	PD30NOV17		11730.00	11643.79	USD	517.26	
31AUG17	B	1	PD30NOV17		11700.00	11643.79	USD	-337.26	
06SEP17	S	1	PD30NOV17		12160.40	11643.79	USD	3,099.66	
	NET	0AVG	BGHT 11722.50	SOLD 11945.20				2,672.40	0.00
08SEP17	B	1	PD08DEC17		11575.00	11649.27	USD	445.62	
13SEP17	S	1	PD08DEC17		11355.46	11649.27	USD	-1,762.86	
	NET	0AVG	BGHT 11575.00	SOLD 11355.46				-1,317.24	0.00
11SEP17	B	1	PD11DEC17		11620.00	11651.33	USD	187.98	
11SEP17	S	1	PD11DEC17		11705.00	11651.33	USD	322.02	
	NET	0AVG	BGHT 11620.00	SOLD 11705.00				510.00	0.00
13SEP17	B	1	PD13DEC17		11455.00	11652.70	USD	1,186.20	
13SEP17	B	1	PD13DEC17		11410.00	11652.70	USD	1,456.20	
13SEP17	B	1	PD13DEC17		11400.00	11652.70	USD	1,516.20	
13SEP17	S	1	PD13DEC17		11570.00	11652.70	USD	-496.20	
13SEP17	S	1	PD13DEC17		11440.00	11652.70	USD	-1,276.20	
04OCT17	S	1	PD13DEC17		10594.40	11652.70	USD	-6,349.80	
	NET	0AVG	BGHT 11421.67	SOLD 11201.47				-3,963.60	0.00
14SEP17	B	1	PD14DEC17		11315.00	11653.39	USD	2,030.34	
14SEP17	S	1	PD14DEC17		11165.00	11653.39	USD	-2,930.34	
14SEP17	S	1	PD14DEC17		11155.00	11653.39	USD	-2,990.34	
14SEP17	S	1	PD14DEC17		11340.00	11653.39	USD	-1,880.34	
14SEP17	B	1	PD14DEC17		11300.00	11653.39	USD	2,120.34	
14SEP17	S	1	PD14DEC17		11260.00	11653.39	USD	-2,360.34	
14SEP17	B	1	PD14DEC17		11255.00	11653.39	USD	2,390.34	
15SEP17	B	1	PD14DEC17		11090.20	11653.39	USD	3,379.14	
	NET	0AVG	BGHT 11240.05	SOLD 11230.00				-241.20	0.00
18SEP17	B	1	PD18DEC17		11165.00	11656.13	USD	2,946.78	
12OCT17	S	1	PD18DEC17		11377.00	11656.13	USD	-1,674.78	
	NET	0AVG	BGHT 11165.00	SOLD 11377.00				1,272.00	0.00
22SEP17	B	1	PD22DEC17		10510.00	11659.02	USD	6,894.12	
22SEP17	S	1	PD22DEC17		10550.00	11659.02	USD	-6,654.12	
	NET	0AVG	BGHT 10510.00	SOLD 10550.00				240.00	0.00
28SEP17	B	1	PD28DEC17		10455.00	11663.59	USD	7,251.54	
	NET	+1AVG	BGHT 10455.00					7,251.54	0.00
29SEP17	S	1	PD29DEC17		10670.00	11664.35	USD	-5,966.10	
04OCT17	B	1	PD29DEC17		10610.40	11664.35	USD	6,323.70	
	NET	0AVG	BGHT 10610.40	SOLD 10670.00				357.60	0.00
03OCT17	B	1	PD03JAN18		10730.00	11668.15	USD	5,628.90	
05OCT17	S	1	PD03JAN18		10533.13	11668.15	USD	-6,810.12	
	NET	0AVG	BGHT 10730.00	SOLD 10533.13				-1,181.22	0.00
04OCT17	S	1	PD04JAN18		10800.00	11668.91	USD	-5,213.46	
05OCT17	B	1	PD04JAN18		10535.06	11668.91	USD	6,803.10	
	NET	0AVG	BGHT 10535.06	SOLD 10800.00				1,589.64	0.00
05OCT17	B	1	PD05JAN18		10700.00	11669.67	USD	5,818.02	
06OCT17	S	1	PD05JAN18		10650.00	11669.67	USD	-6,118.02	
	NET	0AVG	BGHT 10700.00	SOLD 10650.00				-300.00	0.00
10OCT17	B	1	PD10JAN18		11015.00	11673.48	USD	3,950.88	
10OCT17	S	1	PD10JAN18		11035.00	11673.48	USD	-3,830.88	
	NET	0AVG	BGHT 11015.00	SOLD 11035.00				120.00	0.00

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	CBT 30 YEAR US TREASURY BONDS 1,000 CBT					
02OCT17	S 2 NOV17 154.0000 Call	0.4700	0.5400	USD	-1,687.50	-218.74
02OCT17	S 1 NOV17 154.0000 Call	0.4600	0.5400	USD	-843.75	-125.00
	NET -3AVG SOLD 0.4667				-2,531.25	-343.74
18SEP17	S 2 NOV17 153.0000 Put	0.5400	0.2800	USD	-875.00	812.50
	NET -2AVG SOLD 0.5400				-875.00	812.50
22SEP17	S 2 NOV17 154.0000 Put	1.0800	0.5400	USD	-1,687.50	562.50
	NET -2AVG SOLD 1.0800				-1,687.50	562.50
02OCT17	S 1 DEC17 152.1800	152.1800	154.0000	USD	-1,437.50	
03OCT17	S 1 DEC17 152.1800	152.1800	154.0000	USD	-1,437.50	
04OCT17	S 1 DEC17 152.2800	152.2800	154.0000	USD	-1,125.00	
	NET -3AVG SOLD 152.2133				-4,000.00	0.00
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
24JUL17	S 1 PD24OCT17 2795.50	2795.50	3276.00	USD	-12,012.50	
24JUL17	B 1 PD24OCT17 2779.00	2779.00	3276.00	USD	12,425.00	
	NET 0AVG BGHT 2779.00 SOLD 2795.50				412.50	0.00
25JUL17	S 1 PD25OCT17 2841.50	2841.50	3273.00	USD	-10,787.50	
01AUG17	B 1 PD25OCT17 2778.03	2778.03	3273.00	USD	12,374.25	
	NET 0AVG BGHT 2778.03 SOLD 2841.50				1,586.75	0.00
26JUL17	B 1 PD26OCT17 2846.50	2846.50	3271.87	USD	10,634.25	
01AUG17	S 1 PD26OCT17 2778.11	2778.11	3271.87	USD	-12,344.00	
	NET 0AVG BGHT 2846.50 SOLD 2778.11				-1,709.75	0.00
02AUG17	S 1 PD02NOV17 2804.00	2804.00	3266.20	USD	-11,555.00	
07AUG17	B 1 PD02NOV17 2856.93	2856.93	3266.20	USD	10,231.75	
	NET 0AVG BGHT 2856.93 SOLD 2804.00				-1,323.25	0.00
03AUG17	B 1 PD03NOV17 2796.00	2796.00	3265.07	USD	11,726.75	
07AUG17	S 1 PD03NOV17 2856.94	2856.94	3265.07	USD	-10,203.25	
	NET 0AVG BGHT 2796.00 SOLD 2856.94				1,523.50	0.00
07AUG17	S 1 PD07NOV17 2871.00	2871.00	3262.80	USD	-9,795.00	
07AUG17	S 1 PD07NOV17 2871.50	2871.50	3262.80	USD	-9,782.50	
07AUG17	B 2 PD07NOV17 2862.50	2862.50	3262.80	USD	20,015.00	
	NET 0AVG BGHT 2862.50 SOLD 2871.25				437.50	0.00
08AUG17	S 2 PD08NOV17 2884.00	2884.00	3261.67	USD	-18,883.50	
08AUG17	B 1 PD08NOV17 2926.50	2926.50	3261.67	USD	8,379.25	
08AUG17	B 1 PD08NOV17 2928.50	2928.50	3261.67	USD	8,329.25	
	NET 0AVG BGHT 2927.50 SOLD 2884.00				-2,175.00	0.00
09AUG17	S 1 PD09NOV17 2976.50	2976.50	3260.53	USD	-7,100.75	
09AUG17	S 1 PD09NOV17 2977.00	2977.00	3260.53	USD	-7,088.25	
09AUG17	B 2 PD09NOV17 2964.00	2964.00	3260.53	USD	14,826.50	
	NET 0AVG BGHT 2964.00 SOLD 2976.75				637.50	0.00
15AUG17	B 1 PD15NOV17 2953.00	2953.00	3256.00	USD	7,575.00	
15AUG17	S 1 PD15NOV17 2950.00	2950.00	3256.00	USD	-7,650.00	
	NET 0AVG BGHT 2953.00 SOLD 2950.00				-75.00	0.00
21AUG17	S 1 PD21NOV17 3128.00	3128.00	3249.25	USD	-3,031.25	
21AUG17	B 1 PD21NOV17 3121.50	3121.50	3249.25	USD	3,193.75	
	NET 0AVG BGHT 3121.50 SOLD 3128.00				162.50	0.00
22AUG17	S 1 PD22NOV17 3151.50	3151.50	3248.38	USD	-2,422.00	
22AUG17	B 1 PD22NOV17 3141.50	3141.50	3248.38	USD	2,672.00	
	NET 0AVG BGHT 3141.50 SOLD 3151.50				250.00	0.00
23AUG17	S 1 PD24NOV17 3128.00	3128.00	3247.50	USD	-2,987.50	
23AUG17	S 1 PD24NOV17 3141.00	3141.00	3247.50	USD	-2,662.50	
23AUG17	B 1 PD24NOV17 3124.00	3124.00	3247.50	USD	3,087.50	
23AUG17	S 2 PD24NOV17 3099.00	3099.00	3247.50	USD	-7,425.00	

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
23AUG17	S	1	PD24NOV17	3101.00	3247.50	USD	-3,662.50	
23AUG17	B	1	PD24NOV17	3112.00	3247.50	USD	3,387.50	
23AUG17	S	1	PD24NOV17	3098.00	3247.50	USD	-3,737.50	
23AUG17	S	1	PD24NOV17	3098.50	3247.50	USD	-3,725.00	
23AUG17	B	2	PD24NOV17	3107.00	3247.50	USD	7,025.00	
24AUG17	B	1	PD24NOV17	3113.00	3247.50	USD	3,362.50	
25AUG17	B	2	PD24NOV17	3126.00	3247.50	USD	6,075.00	
	NET	0 AVG	BGHT 3116.43	SOLD 3109.21			-1,262.50	0.00
29AUG17	S	1	PD29NOV17	3110.00	3246.75	USD	-3,418.75	
29AUG17	S	1	PD29NOV17	3096.00	3246.75	USD	-3,768.75	
29AUG17	S	1	PD29NOV17	3086.50	3246.75	USD	-4,006.25	
06SEP17	B	3	PD29NOV17	3093.25	3246.75	USD	11,512.50	
	NET	0 AVG	BGHT 3093.25	SOLD 3097.50			318.75	0.00
30AUG17	B	3	PD30NOV17	3143.00	3246.50	USD	7,762.50	
30AUG17	S	1	PD30NOV17	3142.00	3246.50	USD	-2,612.50	
30AUG17	B	2	PD30NOV17	3145.50	3246.50	USD	5,050.00	
30AUG17	B	1	PD30NOV17	3147.50	3246.50	USD	2,475.00	
30AUG17	B	1	PD30NOV17	3118.00	3246.50	USD	3,212.50	
30AUG17	S	3	PD30NOV17	3090.00	3246.50	USD	-11,737.50	
30AUG17	B	2	PD30NOV17	3095.00	3246.50	USD	7,575.00	
31AUG17	S	1	PD30NOV17	3112.00	3246.50	USD	-3,362.50	
31AUG17	S	1	PD30NOV17	3113.00	3246.50	USD	-3,337.50	
31AUG17	B	1	PD30NOV17	3096.50	3246.50	USD	3,750.00	
31AUG17	S	1	PD30NOV17	3104.50	3246.50	USD	-3,550.00	
31AUG17	S	1	PD30NOV17	3108.50	3246.50	USD	-3,450.00	
31AUG17	B	1	PD30NOV17	3143.00	3246.50	USD	2,587.50	
06SEP17	S	3	PD30NOV17	3093.30	3246.50	USD	-11,490.00	
	NET	0 AVG	BGHT 3128.64	SOLD 3102.72			-7,127.50	0.00
01SEP17	S	1	PD01DEC17	3201.00	3246.25	USD	-1,131.25	
06SEP17	B	1	PD01DEC17	3093.75	3246.25	USD	3,812.50	
	NET	0 AVG	BGHT 3093.75	SOLD 3201.00			2,681.25	0.00
04SEP17	B	1	PD04DEC17	3183.00	3246.00	USD	1,575.00	
04SEP17	S	1	PD04DEC17	3205.00	3246.00	USD	-1,025.00	
	NET	0 AVG	BGHT 3183.00	SOLD 3205.00			550.00	0.00
05SEP17	S	1	PD05DEC17	3193.00	3245.75	USD	-1,318.75	
05SEP17	B	1	PD05DEC17	3138.00	3245.75	USD	2,693.75	
05SEP17	B	1	PD05DEC17	3102.00	3245.75	USD	3,593.75	
06SEP17	S	1	PD05DEC17	3094.55	3245.75	USD	-3,780.00	
	NET	0 AVG	BGHT 3120.00	SOLD 3143.78			1,188.75	0.00
06SEP17	B	1	PD06DEC17	3105.50	3245.50	USD	3,500.00	
08SEP17	S	1	PD06DEC17	3030.61	3245.50	USD	-5,372.25	
	NET	0 AVG	BGHT 3105.50	SOLD 3030.61			-1,872.25	0.00
07SEP17	S	1	PD07DEC17	3118.00	3245.25	USD	-3,181.25	
08SEP17	B	1	PD07DEC17	3031.01	3245.25	USD	5,356.00	
	NET	0 AVG	BGHT 3031.01	SOLD 3118.00			2,174.75	0.00
08SEP17	B	1	PD08DEC17	3091.50	3245.00	USD	3,837.50	
13SEP17	S	1	PD08DEC17	3023.75	3245.00	USD	-5,531.25	
	NET	0 AVG	BGHT 3091.50	SOLD 3023.75			-1,693.75	0.00
11SEP17	S	1	PD11DEC17	3091.00	3244.75	USD	-3,843.75	
11SEP17	B	1	PD11DEC17	3056.50	3244.75	USD	4,706.25	
11SEP17	S	1	PD11DEC17	3064.00	3244.75	USD	-4,518.75	
13SEP17	B	1	PD11DEC17	3023.87	3244.75	USD	5,522.00	
	NET	0 AVG	BGHT 3040.19	SOLD 3077.50			1,865.75	0.00
12SEP17	B	1	PD12DEC17	3072.50	3244.50	USD	4,300.00	

OPEN POSITION STATEMENT



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SAP0034G

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backoffice@sapiencap.com

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Date	Open Position				Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>								
19SEP17	S	1	PD12DEC17		3105.21	3244.50	USD	-3,482.25	
	NET	0 AVG BGHT 3072.50		SOLD 3105.21				817.75	0.00
13SEP17	B	1	PD13DEC17		3045.00	3244.25	USD	4,981.25	
19SEP17	S	1	PD13DEC17		3105.43	3244.25	USD	-3,470.50	
	NET	0 AVG BGHT 3045.00		SOLD 3105.43				1,510.75	0.00
15SEP17	S	1	PD15DEC17		3019.00	3243.75	USD	-5,618.75	
19SEP17	B	1	PD15DEC17		3106.06	3243.75	USD	3,442.25	
	NET	0 AVG BGHT 3106.06		SOLD 3019.00				-2,176.50	0.00
18SEP17	S	1	PD18DEC17		3105.00	3243.50	USD	-3,462.50	
19SEP17	B	1	PD18DEC17		3106.70	3243.50	USD	3,420.00	
	NET	0 AVG BGHT 3106.70		SOLD 3105.00				-42.50	0.00
22SEP17	B	1	PD22DEC17		3031.50	3239.62	USD	5,203.00	
22SEP17	S	1	PD22DEC17		3018.00	3239.62	USD	-5,540.50	
	NET	0 AVG BGHT 3031.50		SOLD 3018.00				-337.50	0.00
26SEP17	S	1	PD27DEC17		3132.50	3239.23	USD	-2,668.25	
26SEP17	S	1	PD27DEC17		3133.00	3239.23	USD	-2,655.75	
27SEP17	B	2	PD27DEC17		3112.00	3239.23	USD	6,361.50	
27SEP17	S	2	PD27DEC17		3118.50	3239.23	USD	-6,036.50	
27SEP17	B	2	PD27DEC17		3104.00	3239.23	USD	6,761.50	
27SEP17	S	1	PD27DEC17		3115.00	3239.23	USD	-3,105.75	
27SEP17	S	1	PD27DEC17		3114.50	3239.23	USD	-3,118.25	
05OCT17	B	1	PD27DEC17		3289.88	3239.23	USD	-1,266.25	
10OCT17	B	1	PD27DEC17		3283.91	3239.23	USD	-1,117.00	
	NET	0 AVG BGHT 3167.63		SOLD 3122.00				-6,844.75	0.00
28SEP17	B	1	PD28DEC17		3103.00	3238.85	USD	3,396.25	
28SEP17	S	1	PD28DEC17		3123.50	3238.85	USD	-2,883.75	
	NET	0 AVG BGHT 3103.00		SOLD 3123.50				512.50	0.00
03OCT17	B	1	PD03JAN18		3270.00	3237.69	USD	-807.75	
05OCT17	S	1	PD03JAN18		3288.43	3237.69	USD	1,268.50	
	NET	0 AVG BGHT 3270.00		SOLD 3288.43				460.75	0.00
04OCT17	S	1	PD04JAN18		3290.50	3237.31	USD	1,329.75	
04OCT17	B	1	PD04JAN18		3292.50	3237.31	USD	-1,379.75	
	NET	0 AVG BGHT 3292.50		SOLD 3290.50				-50.00	0.00
05OCT17	B	1	PD05JAN18		3304.00	3236.92	USD	-1,677.00	
10OCT17	S	1	PD05JAN18		3280.44	3236.92	USD	1,088.00	
	NET	0 AVG BGHT 3304.00		SOLD 3280.44				-589.00	0.00
10OCT17	S	1	PD10JAN18		3282.50	3235.77	USD	1,168.25	
12OCT17	B	1	PD10JAN18		3250.00	3235.77	USD	-355.75	
	NET	0 AVG BGHT 3250.00		SOLD 3282.50				812.50	0.00
11OCT17	B	1	PD11JAN18		3253.50	3235.38	USD	-453.00	
11OCT17	B	1	PD11JAN18		3228.50	3235.38	USD	172.00	
11OCT17	S	1	PD11JAN18		3225.00	3235.38	USD	-259.50	
12OCT17	S	1	PD11JAN18		3249.80	3235.38	USD	360.50	
	NET	0 AVG BGHT 3241.00		SOLD 3237.40				-180.00	0.00

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OPEN POSITION VALUE

		USD
LME Aluminium 25 Tonnes.	FUTURES	-105,127.75
LME Copper Grade A 25	FUTURES	-1,748.50
CME Euro FX	FUTURES	297,256.25
LME Lead 25 tonnes. This is an	FUTURES	-5,608.00
CBT Mini-Sized Dow	FUTURES	1,012,140.00
CME E-Mini S&P 500	FUTURES	8,487,187.50
LME Nickel 6 tonnes. This is	FUTURES	-70,880.10
CBT 30 Year Us Treasury Bonds	FUTURES	458,000.00
CBT 30 Year Us Treasury Bonds	OPTIONS	6,125.01
LME Zinc 25 tonnes. This is	FUTURES	-9,555.50

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options	Amount	Profit / Loss	Total Amount	Total P&L
EUSD - Futures USD	806.25	806.25		
MDOW - Futures USD	-15,210.00	-15,210.00		
MSNP - Futures USD	-192,332.50	-192,332.50		
TBON - Futures USD	-4,000.00	-4,000.00	-210,736.25	-210,736.25
Unrealised Profits & Losses - Forwards	Amount	Profit / Loss	Total Amount	Total P&L
ALUL - Futures USD	1,397.25	1,397.25		
COPL - Futures USD	-1,748.50	-1,748.50		
LEAD - Futures USD	-5,608.00	-5,608.00		
NKL - Futures USD	-898.56	-898.56		
ZINC - Futures USD	-9,555.50	-9,555.50	-16,413.31	-16,413.31
Net Premium Options Market Value				
TBON - Options USD	-5,093.75	1,031.26	-5,093.75	1,031.26

ACCOUNT FINANCIAL SUMMARY

	GBP	USD	NETT USD
	(1.33050)	(1.00000)	(1.00000)
CASH BALANCE	-2,344.95	1,272,859.17	1,269,739.21
Variation Margins - Futures	0.00	-210,736.25	-210,736.25
- Options	0.00	0.00	0.00
TOTAL EQUITY	-2,344.95	1,062,122.92	1,059,002.96
Initial Margins	0.00	-383,455.06	-383,455.06
Excess/(Shortage)	-2,344.95	678,667.86	675,547.90
FOR INFORMATION ONLY			
Forward Unrealised P&L	0.00	-16,413.31	-16,413.31
Net Premium Option Market Value	0.00	-5,093.75	-5,093.75
Net Liquidating Value	-2,344.95	1,040,615.86	1,037,495.90

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	<u>LME ALUMINIUM 25 TONNES. THIS IS AN</u>							
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31AUG17	S	1	PD30NOV17	2106.00	2124.49	USD	-462.25	
31AUG17	S	1	PD30NOV17	2107.50	2124.49	USD	-424.75	
31AUG17	S	1	PD30NOV17	2129.00	2124.49	USD	112.75	
31AUG17	S	2	PD30NOV17	2129.50	2124.49	USD	250.50	
31AUG17	B	1	PD30NOV17	2124.00	2124.49	USD	12.25	
31AUG17	B	1	PD30NOV17	2125.00	2124.49	USD	-12.75	
31AUG17	B	1	PD30NOV17	2119.50	2124.49	USD	124.75	
31AUG17	B	1	PD30NOV17	2117.50	2124.49	USD	174.75	
31AUG17	B	1	PD30NOV17	2118.50	2124.49	USD	149.75	
	NET	0AVG BGHT 2120.90	SOLD 2120.30				-75.00	0.00
20SEP17	B	1	PD20DEC17	2167.50	2130.50	USD	-925.00	
20SEP17	S	1	PD20DEC17	2167.50	2130.50	USD	925.00	
20SEP17	S	1	PD20DEC17	2171.00	2130.50	USD	1,012.50	
20SEP17	S	1	PD20DEC17	2177.50	2130.50	USD	1,175.00	
20SEP17	S	1	PD20DEC17	2179.00	2130.50	USD	1,212.50	
20SEP17	B	2	PD20DEC17	2177.00	2130.50	USD	-2,325.00	
20SEP17	B	1	PD20DEC17	2178.50	2130.50	USD	-1,200.00	
	NET	0AVG BGHT 2175.00	SOLD 2173.75				-125.00	0.00
	<u>LME LEAD 25 TONNES. THIS IS AN</u>							
	<u>LME REGISTERED CLIENT CONTRACT</u>							
17JUL17	S	1	PD17OCT17	2326.00	2518.25	USD	-4,806.25	
17JUL17	S	1	PD17OCT17	2296.00	2518.25	USD	-5,556.25	
17JUL17	S	1	PD17OCT17	2295.00	2518.25	USD	-5,581.25	
19JUL17	B	3	PD17OCT17	2217.30	2518.25	USD	22,571.25	
	NET	0AVG BGHT 2217.30	SOLD 2305.67				6,627.50	0.00
18JUL17	B	1	PD18OCT17	2285.50	2518.75	USD	5,831.25	
18JUL17	B	1	PD18OCT17	2289.50	2518.75	USD	5,731.25	
18JUL17	B	2	PD18OCT17	2290.00	2518.75	USD	11,437.50	
18JUL17	B	2	PD18OCT17	2292.00	2518.75	USD	11,337.50	
18JUL17	S	1	PD18OCT17	2285.50	2518.75	USD	-5,831.25	
18JUL17	S	1	PD18OCT17	2297.50	2518.75	USD	-5,531.25	
18JUL17	S	1	PD18OCT17	2272.00	2518.75	USD	-6,168.75	
19JUL17	S	3	PD18OCT17	2217.30	2518.75	USD	-22,608.75	
	NET	0AVG BGHT 2289.83	SOLD 2251.15				-5,802.50	0.00
19JUL17	S	1	PD19OCT17	2269.50	2518.90	USD	-6,235.00	
19JUL17	B	1	PD19OCT17	2247.00	2518.90	USD	6,797.50	
19JUL17	B	1	PD19OCT17	2248.00	2518.90	USD	6,772.50	
19JUL17	S	1	PD19OCT17	2217.50	2518.90	USD	-7,535.00	
	NET	0AVG BGHT 2247.50	SOLD 2243.50				-200.00	0.00
20JUL17	S	1	PD20OCT17	2207.50	2519.05	USD	-7,788.75	
20JUL17	S	1	PD20OCT17	2208.00	2519.05	USD	-7,776.25	
20JUL17	S	1	PD20OCT17	2212.00	2519.05	USD	-7,676.25	
20JUL17	S	1	PD20OCT17	2212.50	2519.05	USD	-7,663.75	
20JUL17	S	1	PD20OCT17	2213.00	2519.05	USD	-7,651.25	
20JUL17	S	3	PD20OCT17	2214.00	2519.05	USD	-22,878.75	
21JUL17	B	2	PD20OCT17	2226.00	2519.05	USD	14,652.50	
21JUL17	B	1	PD20OCT17	2227.00	2519.05	USD	7,301.25	
21JUL17	S	1	PD20OCT17	2229.00	2519.05	USD	-7,251.25	
21JUL17	S	1	PD20OCT17	2238.50	2519.05	USD	-7,013.75	
21JUL17	S	1	PD20OCT17	2245.00	2519.05	USD	-6,851.25	
21JUL17	B	2	PD20OCT17	2235.00	2519.05	USD	14,202.50	
21JUL17	B	2	PD20OCT17	2236.00	2519.05	USD	14,152.50	
21JUL17	S	1	PD20OCT17	2233.50	2519.05	USD	-7,138.75	
21JUL17	B	2	PD20OCT17	2241.50	2519.05	USD	13,877.50	
21JUL17	B	3	PD20OCT17	2228.00	2519.05	USD	21,828.75	

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	<u>LME LEAD 25 TONNES. THIS IS AN</u>							
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	NET	0 AVG BGHT 2232.33	SOLD 2220.08				-3,675.00	0.00
25JUL17	S	2 PD25OCT17		2285.50	2519.80	USD	-11,715.00	
25JUL17	S	1 PD25OCT17		2286.50	2519.80	USD	-5,832.50	
25JUL17	S	1 PD25OCT17		2288.50	2519.80	USD	-5,782.50	
25JUL17	S	1 PD25OCT17		2292.50	2519.80	USD	-5,682.50	
25JUL17	S	2 PD25OCT17		2294.50	2519.80	USD	-11,265.00	
25JUL17	S	1 PD25OCT17		2294.00	2519.80	USD	-5,645.00	
25JUL17	B	1 PD25OCT17		2291.50	2519.80	USD	5,707.50	
25JUL17	B	1 PD25OCT17		2297.50	2519.80	USD	5,557.50	
25JUL17	B	1 PD25OCT17		2299.50	2519.80	USD	5,507.50	
25JUL17	B	2 PD25OCT17		2301.00	2519.80	USD	10,940.00	
25JUL17	B	1 PD25OCT17		2302.00	2519.80	USD	5,445.00	
25JUL17	B	2 PD25OCT17		2307.00	2519.80	USD	10,640.00	
	NET	0 AVG BGHT 2300.81	SOLD 2290.19				-2,125.00	0.00
26JUL17	B	2 PD26OCT17		2330.00	2519.95	USD	9,497.50	
26JUL17	B	1 PD26OCT17		2332.50	2519.95	USD	4,686.25	
26JUL17	B	1 PD26OCT17		2333.50	2519.95	USD	4,661.25	
26JUL17	S	1 PD26OCT17		2316.50	2519.95	USD	-5,086.25	
26JUL17	S	1 PD26OCT17		2318.50	2519.95	USD	-5,036.25	
26JUL17	S	1 PD26OCT17		2321.00	2519.95	USD	-4,973.75	
26JUL17	S	1 PD26OCT17		2322.00	2519.95	USD	-4,948.75	
	NET	0 AVG BGHT 2331.50	SOLD 2319.50				-1,200.00	0.00
01AUG17	B	1 PD01NOV17		2316.00	2520.85	USD	5,121.25	
01AUG17	S	1 PD01NOV17		2313.00	2520.85	USD	-5,196.25	
01AUG17	S	1 PD01NOV17		2321.50	2520.85	USD	-4,983.75	
01AUG17	B	1 PD01NOV17		2319.00	2520.85	USD	5,046.25	
	NET	0 AVG BGHT 2317.50	SOLD 2317.25				-12.50	0.00
02AUG17	S	1 PD02NOV17		2349.00	2521.00	USD	-4,300.00	
02AUG17	B	1 PD02NOV17		2354.00	2521.00	USD	4,175.00	
	NET	0 AVG BGHT 2354.00	SOLD 2349.00				-125.00	0.00
03AUG17	S	2 PD03NOV17		2368.00	2521.13	USD	-7,656.50	
03AUG17	S	1 PD03NOV17		2369.50	2521.13	USD	-3,790.75	
03AUG17	B	3 PD03NOV17		2364.50	2521.13	USD	11,747.25	
04AUG17	S	1 PD03NOV17		2371.50	2521.13	USD	-3,740.75	
04AUG17	B	1 PD03NOV17		2366.00	2521.13	USD	3,878.25	
04AUG17	B	1 PD03NOV17		2368.00	2521.13	USD	3,828.25	
04AUG17	B	1 PD03NOV17		2365.00	2521.13	USD	3,903.25	
04AUG17	S	1 PD03NOV17		2365.50	2521.13	USD	-3,890.75	
04AUG17	S	1 PD03NOV17		2368.50	2521.13	USD	-3,815.75	
	NET	0 AVG BGHT 2365.42	SOLD 2368.50				462.50	0.00
07AUG17	S	1 PD07NOV17		2358.00	2521.67	USD	-4,091.75	
07AUG17	S	1 PD07NOV17		2369.50	2521.67	USD	-3,804.25	
07AUG17	S	1 PD07NOV17		2370.00	2521.67	USD	-3,791.75	
07AUG17	B	1 PD07NOV17		2377.50	2521.67	USD	3,604.25	
07AUG17	S	1 PD07NOV17		2377.50	2521.67	USD	-3,604.25	
07AUG17	B	2 PD07NOV17		2385.50	2521.67	USD	6,808.50	
07AUG17	B	1 PD07NOV17		2381.00	2521.67	USD	3,516.75	
	NET	0 AVG BGHT 2382.38	SOLD 2368.75				-1,362.50	0.00
08AUG17	S	1 PD08NOV17		2374.50	2521.81	USD	-3,682.75	
08AUG17	S	1 PD08NOV17		2375.50	2521.81	USD	-3,657.75	
08AUG17	B	1 PD08NOV17		2362.50	2521.81	USD	3,982.75	
08AUG17	B	2 PD08NOV17		2366.00	2521.81	USD	7,790.50	
08AUG17	B	1 PD08NOV17		2368.00	2521.81	USD	3,845.25	
08AUG17	S	1 PD08NOV17		2368.00	2521.81	USD	-3,845.25	
08AUG17	S	1 PD08NOV17		2370.00	2521.81	USD	-3,795.25	

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	<u>LME LEAD 25 TONNES. THIS IS AN</u>							
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08AUG17	S	1	PD08NOV17	2370.50	2521.81	USD	-3,782.75	
08AUG17	B	1	PD08NOV17	2367.50	2521.81	USD	3,857.75	
08AUG17	B	1	PD08NOV17	2367.00	2521.81	USD	3,870.25	
08AUG17	S	2	PD08NOV17	2374.00	2521.81	USD	-7,390.50	
08AUG17	S	1	PD08NOV17	2388.00	2521.81	USD	-3,345.25	
08AUG17	S	2	PD08NOV17	2390.00	2521.81	USD	-6,590.50	
08AUG17	B	1	PD08NOV17	2384.00	2521.81	USD	3,445.25	
08AUG17	S	1	PD08NOV17	2389.00	2521.81	USD	-3,320.25	
08AUG17	B	1	PD08NOV17	2391.00	2521.81	USD	3,270.25	
08AUG17	B	1	PD08NOV17	2392.50	2521.81	USD	3,232.75	
08AUG17	B	2	PD08NOV17	2393.00	2521.81	USD	6,440.50	
	NET	0 AVG BGHT 2377.32	SOLD 2378.50				325.00	0.00
09AUG17	S	1	PD09NOV17	2420.50	2521.94	USD	-2,536.00	
09AUG17	S	1	PD09NOV17	2421.50	2521.94	USD	-2,511.00	
09AUG17	B	2	PD09NOV17	2400.00	2521.94	USD	6,097.00	
09AUG17	B	1	PD09NOV17	2393.50	2521.94	USD	3,211.00	
09AUG17	S	1	PD09NOV17	2414.50	2521.94	USD	-2,686.00	
	NET	0 AVG BGHT 2397.83	SOLD 2418.83				1,575.00	0.00
10AUG17	S	1	PD10NOV17	2380.00	2522.08	USD	-3,552.00	
10AUG17	B	2	PD10NOV17	2380.50	2522.08	USD	7,079.00	
10AUG17	S	5	PD10NOV17	2379.00	2522.08	USD	-17,885.00	
10AUG17	S	1	PD10NOV17	2375.50	2522.08	USD	-3,664.50	
11AUG17	B	5	PD10NOV17	2339.00	2522.08	USD	22,885.00	
11AUG17	S	1	PD10NOV17	2338.50	2522.08	USD	-4,589.50	
11AUG17	S	1	PD10NOV17	2340.50	2522.08	USD	-4,539.50	
11AUG17	S	1	PD10NOV17	2342.50	2522.08	USD	-4,489.50	
11AUG17	S	2	PD10NOV17	2350.00	2522.08	USD	-8,604.00	
11AUG17	B	1	PD10NOV17	2349.00	2522.08	USD	4,327.00	
11AUG17	S	1	PD10NOV17	2347.00	2522.08	USD	-4,377.00	
11AUG17	B	1	PD10NOV17	2345.50	2522.08	USD	4,414.50	
11AUG17	B	1	PD10NOV17	2341.50	2522.08	USD	4,514.50	
11AUG17	B	1	PD10NOV17	2342.00	2522.08	USD	4,502.00	
11AUG17	B	1	PD10NOV17	2342.50	2522.08	USD	4,489.50	
11AUG17	B	1	PD10NOV17	2345.00	2522.08	USD	4,427.00	
	NET	0 AVG BGHT 2347.81	SOLD 2363.00				4,937.50	0.00
14AUG17	S	1	PD14NOV17	2345.00	2522.62	USD	-4,440.50	
14AUG17	S	4	PD14NOV17	2346.00	2522.62	USD	-17,662.00	
14AUG17	B	1	PD14NOV17	2338.50	2522.62	USD	4,603.00	
14AUG17	S	1	PD14NOV17	2342.00	2522.62	USD	-4,515.50	
14AUG17	S	1	PD14NOV17	2340.50	2522.62	USD	-4,553.00	
14AUG17	S	2	PD14NOV17	2343.00	2522.62	USD	-8,981.00	
14AUG17	B	3	PD14NOV17	2336.00	2522.62	USD	13,996.50	
14AUG17	B	2	PD14NOV17	2337.00	2522.62	USD	9,281.00	
14AUG17	S	1	PD14NOV17	2347.50	2522.62	USD	-4,378.00	
14AUG17	S	1	PD14NOV17	2348.00	2522.62	USD	-4,365.50	
14AUG17	B	1	PD14NOV17	2343.00	2522.62	USD	4,490.50	
14AUG17	B	4	PD14NOV17	2338.00	2522.62	USD	18,462.00	
	NET	0 AVG BGHT 2337.77	SOLD 2344.82				1,937.50	0.00
21AUG17	B	1	PD21NOV17	2364.50	2523.36	USD	3,971.50	
21AUG17	S	1	PD21NOV17	2368.50	2523.36	USD	-3,871.50	
21AUG17	S	1	PD21NOV17	2378.00	2523.36	USD	-3,634.00	
21AUG17	B	1	PD21NOV17	2376.00	2523.36	USD	3,684.00	
21AUG17	S	1	PD21NOV17	2389.00	2523.36	USD	-3,359.00	
21AUG17	S	2	PD21NOV17	2390.00	2523.36	USD	-6,668.00	
21AUG17	B	1	PD21NOV17	2352.00	2523.36	USD	4,284.00	
21AUG17	B	1	PD21NOV17	2355.00	2523.36	USD	4,209.00	

OPEN POSITION STATEMENT



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	<u>LME LEAD 25 TONNES. THIS IS AN</u>					
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21AUG17	B 1 PD21NOV17	2345.50	2523.36	USD	4,446.50	
	NET 0 AVG BGHT 2358.60 SOLD 2383.10				3,062.50	0.00
22AUG17	B 1 PD22NOV17	2357.00	2523.51	USD	4,162.75	
22AUG17	S 1 PD22NOV17	2340.00	2523.51	USD	-4,587.75	
22AUG17	S 3 PD22NOV17	2370.00	2523.51	USD	-11,513.25	
22AUG17	S 1 PD22NOV17	2374.00	2523.51	USD	-3,737.75	
22AUG17	S 1 PD22NOV17	2376.00	2523.51	USD	-3,687.75	
22AUG17	S 1 PD22NOV17	2403.00	2523.51	USD	-3,012.75	
22AUG17	B 1 PD22NOV17	2397.00	2523.51	USD	3,162.75	
22AUG17	B 1 PD22NOV17	2401.00	2523.51	USD	3,062.75	
22AUG17	S 1 PD22NOV17	2422.50	2523.51	USD	-2,525.25	
22AUG17	B 1 PD22NOV17	2407.50	2523.51	USD	2,900.25	
22AUG17	B 1 PD22NOV17	2408.50	2523.51	USD	2,875.25	
22AUG17	B 1 PD22NOV17	2409.00	2523.51	USD	2,862.75	
22AUG17	B 2 PD22NOV17	2411.50	2523.51	USD	5,600.50	
	NET 0 AVG BGHT 2400.38 SOLD 2378.19				-4,437.50	0.00
23AUG17	B 1 PD24NOV17	2408.00	2523.81	USD	2,895.25	
23AUG17	B 1 PD24NOV17	2409.00	2523.81	USD	2,870.25	
23AUG17	S 1 PD24NOV17	2402.50	2523.81	USD	-3,032.75	
23AUG17	S 1 PD24NOV17	2406.00	2523.81	USD	-2,945.25	
23AUG17	S 1 PD24NOV17	2407.00	2523.81	USD	-2,920.25	
23AUG17	S 1 PD24NOV17	2414.50	2523.81	USD	-2,732.75	
23AUG17	S 1 PD24NOV17	2417.00	2523.81	USD	-2,670.25	
23AUG17	S 2 PD24NOV17	2412.00	2523.81	USD	-5,590.50	
23AUG17	S 1 PD24NOV17	2413.00	2523.81	USD	-2,770.25	
23AUG17	S 1 PD24NOV17	2415.00	2523.81	USD	-2,720.25	
23AUG17	B 1 PD24NOV17	2400.50	2523.81	USD	3,082.75	
23AUG17	B 1 PD24NOV17	2401.00	2523.81	USD	3,070.25	
23AUG17	B 1 PD24NOV17	2402.00	2523.81	USD	3,045.25	
23AUG17	B 1 PD24NOV17	2397.50	2523.81	USD	3,157.75	
23AUG17	B 1 PD24NOV17	2399.00	2523.81	USD	3,120.25	
23AUG17	B 1 PD24NOV17	2397.00	2523.81	USD	3,170.25	
23AUG17	B 1 PD24NOV17	2395.00	2523.81	USD	3,220.25	
24AUG17	S 2 PD24NOV17	2367.00	2523.81	USD	-7,840.50	
24AUG17	B 2 PD24NOV17	2364.00	2523.81	USD	7,990.50	
24AUG17	S 1 PD24NOV17	2354.50	2523.81	USD	-4,232.75	
24AUG17	S 1 PD24NOV17	2357.50	2523.81	USD	-4,157.75	
24AUG17	S 1 PD24NOV17	2360.00	2523.81	USD	-4,095.25	
24AUG17	S 2 PD24NOV17	2356.00	2523.81	USD	-8,390.50	
24AUG17	S 2 PD24NOV17	2358.00	2523.81	USD	-8,290.50	
24AUG17	B 1 PD24NOV17	2359.00	2523.81	USD	4,120.25	
24AUG17	B 1 PD24NOV17	2364.50	2523.81	USD	3,982.75	
24AUG17	S 1 PD24NOV17	2372.00	2523.81	USD	-3,795.25	
24AUG17	S 1 PD24NOV17	2374.00	2523.81	USD	-3,745.25	
24AUG17	S 1 PD24NOV17	2375.50	2523.81	USD	-3,707.75	
25AUG17	B 1 PD24NOV17	2338.50	2523.81	USD	4,632.75	
25AUG17	B 1 PD24NOV17	2341.00	2523.81	USD	4,570.25	
25AUG17	B 2 PD24NOV17	2330.50	2523.81	USD	9,665.50	
25AUG17	B 2 PD24NOV17	2321.00	2523.81	USD	10,140.50	
25AUG17	B 2 PD24NOV17	2326.00	2523.81	USD	9,890.50	
	NET 0 AVG BGHT 2366.43 SOLD 2383.55				8,987.50	0.00
31AUG17	B 1 PD30NOV17	2389.00	2524.72	USD	3,393.00	
31AUG17	B 1 PD30NOV17	2393.00	2524.72	USD	3,293.00	
31AUG17	B 2 PD30NOV17	2388.00	2524.72	USD	6,836.00	
31AUG17	B 1 PD30NOV17	2388.50	2524.72	USD	3,405.50	
31AUG17	B 1 PD30NOV17	2379.00	2524.72	USD	3,643.00	

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31AUG17	S	1	PD30NOV17	2386.00	2524.72	USD	-3,468.00	
31AUG17	S	1	PD30NOV17	2388.00	2524.72	USD	-3,418.00	
31AUG17	S	1	PD30NOV17	2390.00	2524.72	USD	-3,368.00	
31AUG17	S	1	PD30NOV17	2391.00	2524.72	USD	-3,343.00	
06SEP17	S	2	PD30NOV17	2338.35	2524.72	USD	-9,318.50	
	NET	0 AVG	BGHT 2387.58	SOLD 2371.95			-2,345.00	0.00
01SEP17	S	1	PD01DEC17	2405.50	2524.87	USD	-2,984.25	
01SEP17	S	1	PD01DEC17	2406.00	2524.87	USD	-2,971.75	
01SEP17	S	2	PD01DEC17	2391.00	2524.87	USD	-6,693.50	
01SEP17	S	1	PD01DEC17	2401.50	2524.87	USD	-3,084.25	
01SEP17	S	1	PD01DEC17	2408.00	2524.87	USD	-2,921.75	
01SEP17	S	2	PD01DEC17	2407.00	2524.87	USD	-5,893.50	
01SEP17	B	1	PD01DEC17	2407.00	2524.87	USD	2,946.75	
01SEP17	B	3	PD01DEC17	2408.50	2524.87	USD	8,727.75	
01SEP17	B	1	PD01DEC17	2404.00	2524.87	USD	3,021.75	
01SEP17	B	1	PD01DEC17	2403.00	2524.87	USD	3,046.75	
06SEP17	B	2	PD01DEC17	2338.83	2524.87	USD	9,302.00	
	NET	0 AVG	BGHT 2389.65	SOLD 2402.13			2,496.00	0.00
04SEP17	B	1	PD04DEC17	2386.50	2525.33	USD	3,470.75	
04SEP17	B	1	PD04DEC17	2393.50	2525.33	USD	3,295.75	
04SEP17	S	2	PD04DEC17	2390.00	2525.33	USD	-6,766.50	
	NET	0 AVG	BGHT 2390.00	SOLD 2390.00			0.00	0.00
05SEP17	S	2	PD05DEC17	2403.00	2525.48	USD	-6,124.00	
05SEP17	S	3	PD05DEC17	2403.50	2525.48	USD	-9,148.50	
05SEP17	B	2	PD05DEC17	2388.00	2525.48	USD	6,874.00	
05SEP17	B	2	PD05DEC17	2389.50	2525.48	USD	6,799.00	
05SEP17	B	3	PD05DEC17	2389.00	2525.48	USD	10,236.00	
05SEP17	S	1	PD05DEC17	2389.00	2525.48	USD	-3,412.00	
05SEP17	S	1	PD05DEC17	2369.00	2525.48	USD	-3,912.00	
05SEP17	S	3	PD05DEC17	2370.00	2525.48	USD	-11,661.00	
05SEP17	S	1	PD05DEC17	2370.50	2525.48	USD	-3,874.50	
05SEP17	B	1	PD05DEC17	2371.50	2525.48	USD	3,849.50	
05SEP17	B	1	PD05DEC17	2368.50	2525.48	USD	3,924.50	
05SEP17	S	1	PD05DEC17	2365.00	2525.48	USD	-4,012.00	
05SEP17	S	1	PD05DEC17	2361.50	2525.48	USD	-4,099.50	
05SEP17	S	1	PD05DEC17	2341.50	2525.48	USD	-4,599.50	
05SEP17	B	2	PD05DEC17	2338.00	2525.48	USD	9,374.00	
05SEP17	S	1	PD05DEC17	2338.00	2525.48	USD	-4,687.00	
05SEP17	S	1	PD05DEC17	2329.00	2525.48	USD	-4,912.00	
05SEP17	S	1	PD05DEC17	2340.50	2525.48	USD	-4,624.50	
08SEP17	B	6	PD05DEC17	2264.58	2525.48	USD	39,135.00	
	NET	0 AVG	BGHT 2336.79	SOLD 2372.38			15,125.50	0.00
06SEP17	B	1	PD06DEC17	2338.00	2525.63	USD	4,690.75	
06SEP17	B	2	PD06DEC17	2338.50	2525.63	USD	9,356.50	
06SEP17	S	1	PD06DEC17	2335.00	2525.63	USD	-4,765.75	
06SEP17	S	1	PD06DEC17	2335.50	2525.63	USD	-4,753.25	
06SEP17	B	1	PD06DEC17	2322.00	2525.63	USD	5,090.75	
06SEP17	B	1	PD06DEC17	2354.00	2525.63	USD	4,290.75	
06SEP17	S	1	PD06DEC17	2356.50	2525.63	USD	-4,228.25	
06SEP17	S	2	PD06DEC17	2357.00	2525.63	USD	-8,431.50	
06SEP17	B	1	PD06DEC17	2346.50	2525.63	USD	4,478.25	
06SEP17	S	1	PD06DEC17	2336.00	2525.63	USD	-4,740.75	
06SEP17	B	1	PD06DEC17	2339.50	2525.63	USD	4,653.25	
06SEP17	B	3	PD06DEC17	2341.00	2525.63	USD	13,847.25	
06SEP17	B	1	PD06DEC17	2344.00	2525.63	USD	4,540.75	
06SEP17	S	1	PD06DEC17	2348.00	2525.63	USD	-4,440.75	

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06SEP17	B	3	PD06DEC17	2345.00	2525.63	USD	13,547.25	
06SEP17	S	1	PD06DEC17	2361.00	2525.63	USD	-4,115.75	
06SEP17	S	1	PD06DEC17	2361.50	2525.63	USD	-4,103.25	
06SEP17	S	1	PD06DEC17	2341.00	2525.63	USD	-4,615.75	
06SEP17	B	2	PD06DEC17	2349.00	2525.63	USD	8,831.50	
08SEP17	S	6	PD06DEC17	2264.80	2525.63	USD	-39,124.50	
	NET	0AVG BGHT 2342.31	SOLD 2317.33				-9,992.50	0.00
07SEP17	S	5	PD07DEC17	2344.00	2525.78	USD	-22,722.50	
07SEP17	B	1	PD07DEC17	2334.50	2525.78	USD	4,782.00	
07SEP17	B	1	PD07DEC17	2335.50	2525.78	USD	4,757.00	
07SEP17	S	1	PD07DEC17	2336.00	2525.78	USD	-4,744.50	
07SEP17	S	1	PD07DEC17	2341.00	2525.78	USD	-4,619.50	
07SEP17	B	1	PD07DEC17	2334.00	2525.78	USD	4,794.50	
07SEP17	B	1	PD07DEC17	2336.00	2525.78	USD	4,744.50	
07SEP17	B	1	PD07DEC17	2330.50	2525.78	USD	4,882.00	
07SEP17	B	5	PD07DEC17	2332.50	2525.78	USD	24,160.00	
07SEP17	S	3	PD07DEC17	2331.00	2525.78	USD	-14,608.50	
07SEP17	S	1	PD07DEC17	2331.50	2525.78	USD	-4,857.00	
07SEP17	S	1	PD07DEC17	2333.50	2525.78	USD	-4,807.00	
07SEP17	S	1	PD07DEC17	2339.50	2525.78	USD	-4,657.00	
07SEP17	S	2	PD07DEC17	2342.00	2525.78	USD	-9,189.00	
07SEP17	B	3	PD07DEC17	2350.00	2525.78	USD	13,183.50	
07SEP17	B	1	PD07DEC17	2351.00	2525.78	USD	4,369.50	
07SEP17	B	1	PD07DEC17	2342.00	2525.78	USD	4,594.50	
	NET	0AVG BGHT 2338.40	SOLD 2338.57				62.50	0.00
08SEP17	S	1	PD08DEC17	2307.00	2525.93	USD	-5,473.25	
08SEP17	B	1	PD08DEC17	2304.00	2525.93	USD	5,548.25	
08SEP17	S	2	PD08DEC17	2308.50	2525.93	USD	-10,871.50	
08SEP17	B	1	PD08DEC17	2302.00	2525.93	USD	5,598.25	
08SEP17	B	3	PD08DEC17	2303.00	2525.93	USD	16,719.75	
08SEP17	S	1	PD08DEC17	2295.50	2525.93	USD	-5,760.75	
08SEP17	S	2	PD08DEC17	2298.00	2525.93	USD	-11,396.50	
08SEP17	S	1	PD08DEC17	2298.50	2525.93	USD	-5,685.75	
08SEP17	B	1	PD08DEC17	2301.00	2525.93	USD	5,623.25	
08SEP17	S	1	PD08DEC17	2295.00	2525.93	USD	-5,773.25	
08SEP17	S	1	PD08DEC17	2300.00	2525.93	USD	-5,648.25	
08SEP17	S	1	PD08DEC17	2301.50	2525.93	USD	-5,610.75	
08SEP17	S	1	PD08DEC17	2306.50	2525.93	USD	-5,485.75	
08SEP17	B	1	PD08DEC17	2291.00	2525.93	USD	5,873.25	
08SEP17	B	2	PD08DEC17	2284.00	2525.93	USD	12,096.50	
08SEP17	B	2	PD08DEC17	2289.00	2525.93	USD	11,846.50	
08SEP17	S	1	PD08DEC17	2283.50	2525.93	USD	-6,060.75	
08SEP17	B	1	PD08DEC17	2279.00	2525.93	USD	6,173.25	
	NET	0AVG BGHT 2294.33	SOLD 2300.04				1,712.50	0.00
11SEP17	B	2	PD11DEC17	2269.50	2526.39	USD	12,844.50	
11SEP17	B	1	PD11DEC17	2277.50	2526.39	USD	6,222.25	
11SEP17	B	2	PD11DEC17	2280.00	2526.39	USD	12,319.50	
11SEP17	S	1	PD11DEC17	2273.00	2526.39	USD	-6,334.75	
11SEP17	S	1	PD11DEC17	2276.00	2526.39	USD	-6,259.75	
11SEP17	S	1	PD11DEC17	2286.50	2526.39	USD	-5,997.25	
11SEP17	B	1	PD11DEC17	2294.50	2526.39	USD	5,797.25	
11SEP17	S	2	PD11DEC17	2295.00	2526.39	USD	-11,569.50	
11SEP17	S	1	PD11DEC17	2296.00	2526.39	USD	-5,759.75	
11SEP17	S	1	PD11DEC17	2295.50	2526.39	USD	-5,772.25	
11SEP17	S	2	PD11DEC17	2294.00	2526.39	USD	-11,619.50	
11SEP17	S	2	PD11DEC17	2292.50	2526.39	USD	-11,694.50	

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME LEAD 25 TONNES. THIS IS AN</u>							
	<u>LME REGISTERED CLIENT CONTRACT</u>							
11SEP17	S	1	PD11DEC17	2287.50	2526.39	USD	-5,972.25	
11SEP17	S	2	PD11DEC17	2293.00	2526.39	USD	-11,669.50	
11SEP17	B	1	PD11DEC17	2291.00	2526.39	USD	5,884.75	
11SEP17	S	1	PD11DEC17	2283.00	2526.39	USD	-6,084.75	
11SEP17	B	1	PD11DEC17	2289.00	2526.39	USD	5,934.75	
11SEP17	S	1	PD11DEC17	2287.00	2526.39	USD	-5,984.75	
11SEP17	B	1	PD11DEC17	2281.50	2526.39	USD	6,122.25	
11SEP17	B	2	PD11DEC17	2283.00	2526.39	USD	12,169.50	
11SEP17	B	1	PD11DEC17	2277.00	2526.39	USD	6,234.75	
11SEP17	S	1	PD11DEC17	2279.50	2526.39	USD	-6,172.25	
11SEP17	B	1	PD11DEC17	2267.00	2526.39	USD	6,484.75	
11SEP17	B	4	PD11DEC17	2260.00	2526.39	USD	26,639.00	
	NET	0 AVG BGHT 2275.44	SOLD 2289.00				5,762.50	0.00
12SEP17	S	1	PD12DEC17	2272.50	2526.54	USD	-6,351.00	
12SEP17	S	1	PD12DEC17	2273.00	2526.54	USD	-6,338.50	
12SEP17	S	2	PD12DEC17	2274.00	2526.54	USD	-12,627.00	
12SEP17	S	1	PD12DEC17	2275.00	2526.54	USD	-6,288.50	
12SEP17	B	1	PD12DEC17	2278.50	2526.54	USD	6,201.00	
12SEP17	B	1	PD12DEC17	2279.00	2526.54	USD	6,188.50	
12SEP17	B	2	PD12DEC17	2272.00	2526.54	USD	12,727.00	
12SEP17	B	1	PD12DEC17	2273.50	2526.54	USD	6,326.00	
12SEP17	S	1	PD12DEC17	2270.00	2526.54	USD	-6,413.50	
12SEP17	B	1	PD12DEC17	2264.50	2526.54	USD	6,551.00	
12SEP17	S	1	PD12DEC17	2271.00	2526.54	USD	-6,388.50	
12SEP17	S	1	PD12DEC17	2271.50	2526.54	USD	-6,376.00	
12SEP17	S	1	PD12DEC17	2298.50	2526.54	USD	-5,701.00	
12SEP17	S	1	PD12DEC17	2299.00	2526.54	USD	-5,688.50	
12SEP17	S	1	PD12DEC17	2305.50	2526.54	USD	-5,526.00	
12SEP17	B	1	PD12DEC17	2305.50	2526.54	USD	5,526.00	
12SEP17	B	1	PD12DEC17	2308.00	2526.54	USD	5,463.50	
14SEP17	B	3	PD12DEC17	2306.43	2526.54	USD	16,508.25	
	NET	0 AVG BGHT 2288.39	SOLD 2280.36				-2,207.25	0.00
13SEP17	B	1	PD13DEC17	2288.00	2526.69	USD	5,967.25	
13SEP17	B	2	PD13DEC17	2289.00	2526.69	USD	11,884.50	
13SEP17	B	1	PD13DEC17	2291.00	2526.69	USD	5,892.25	
13SEP17	S	1	PD13DEC17	2297.50	2526.69	USD	-5,729.75	
14SEP17	S	3	PD13DEC17	2306.52	2526.69	USD	-16,512.75	
	NET	0 AVG BGHT 2289.25	SOLD 2304.27				1,501.50	0.00
14SEP17	B	5	PD14DEC17	2300.00	2526.84	USD	28,355.00	
14SEP17	S	3	PD14DEC17	2288.00	2526.84	USD	-17,913.00	
14SEP17	S	1	PD14DEC17	2288.50	2526.84	USD	-5,958.50	
14SEP17	S	1	PD14DEC17	2291.50	2526.84	USD	-5,883.50	
14SEP17	B	3	PD14DEC17	2286.50	2526.84	USD	18,025.50	
14SEP17	B	1	PD14DEC17	2287.00	2526.84	USD	5,996.00	
14SEP17	S	2	PD14DEC17	2295.00	2526.84	USD	-11,592.00	
14SEP17	S	1	PD14DEC17	2296.50	2526.84	USD	-5,758.50	
14SEP17	B	1	PD14DEC17	2274.50	2526.84	USD	6,308.50	
14SEP17	B	1	PD14DEC17	2272.00	2526.84	USD	6,371.00	
14SEP17	B	1	PD14DEC17	2274.00	2526.84	USD	6,321.00	
14SEP17	S	1	PD14DEC17	2284.00	2526.84	USD	-6,071.00	
14SEP17	S	1	PD14DEC17	2287.00	2526.84	USD	-5,996.00	
14SEP17	S	2	PD14DEC17	2293.00	2526.84	USD	-11,692.00	
14SEP17	S	1	PD14DEC17	2302.50	2526.84	USD	-5,608.50	
14SEP17	S	2	PD14DEC17	2304.00	2526.84	USD	-11,142.00	
14SEP17	B	1	PD14DEC17	2309.50	2526.84	USD	5,433.50	
14SEP17	B	1	PD14DEC17	2310.50	2526.84	USD	5,408.50	

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SAP0034H

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	<u>LME LEAD 25 TONNES. THIS IS AN</u>							
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14SEP17	B	1	PD14DEC17	2308.50	2526.84	USD	5,458.50	
14SEP17	B	1	PD14DEC17	2305.00	2526.84	USD	5,546.00	
14SEP17	B	1	PD14DEC17	2306.50	2526.84	USD	5,508.50	
14SEP17	S	2	PD14DEC17	2290.00	2526.84	USD	-11,842.00	
	NET	0 AVG	BGHT 2294.53				-725.00	0.00
			SOLD 2292.82					
15SEP17	S	2	PD15DEC17	2336.00	2526.99	USD	-9,549.50	
15SEP17	S	1	PD15DEC17	2338.00	2526.99	USD	-4,724.75	
15SEP17	S	1	PD15DEC17	2338.50	2526.99	USD	-4,712.25	
15SEP17	S	2	PD15DEC17	2340.00	2526.99	USD	-9,349.50	
15SEP17	S	1	PD15DEC17	2342.50	2526.99	USD	-4,612.25	
15SEP17	B	1	PD15DEC17	2345.00	2526.99	USD	4,549.75	
15SEP17	S	2	PD15DEC17	2341.50	2526.99	USD	-9,274.50	
15SEP17	B	1	PD15DEC17	2348.50	2526.99	USD	4,462.25	
15SEP17	B	2	PD15DEC17	2356.00	2526.99	USD	8,549.50	
15SEP17	B	1	PD15DEC17	2347.00	2526.99	USD	4,499.75	
15SEP17	B	1	PD15DEC17	2348.00	2526.99	USD	4,474.75	
15SEP17	B	1	PD15DEC17	2350.50	2526.99	USD	4,412.25	
15SEP17	B	1	PD15DEC17	2347.50	2526.99	USD	4,487.25	
15SEP17	B	2	PD15DEC17	2341.00	2526.99	USD	9,299.50	
15SEP17	B	3	PD15DEC17	2342.50	2526.99	USD	13,836.75	
15SEP17	B	1	PD15DEC17	2346.00	2526.99	USD	4,524.75	
15SEP17	S	1	PD15DEC17	2348.50	2526.99	USD	-4,462.25	
15SEP17	S	1	PD15DEC17	2349.50	2526.99	USD	-4,437.25	
15SEP17	S	1	PD15DEC17	2351.50	2526.99	USD	-4,387.25	
15SEP17	S	2	PD15DEC17	2361.00	2526.99	USD	-8,299.50	
	NET	0 AVG	BGHT 2346.71				-712.50	0.00
			SOLD 2344.68					
18SEP17	S	1	PD18DEC17	2393.00	2527.45	USD	-3,361.25	
18SEP17	S	1	PD18DEC17	2392.00	2527.45	USD	-3,386.25	
18SEP17	B	1	PD18DEC17	2386.00	2527.45	USD	3,536.25	
18SEP17	S	2	PD18DEC17	2402.50	2527.45	USD	-6,247.50	
18SEP17	B	1	PD18DEC17	2386.50	2527.45	USD	3,523.75	
18SEP17	B	1	PD18DEC17	2387.00	2527.45	USD	3,511.25	
18SEP17	B	1	PD18DEC17	2388.50	2527.45	USD	3,473.75	
	NET	0 AVG	BGHT 2387.00				1,050.00	0.00
			SOLD 2397.50					
19SEP17	S	1	PD19DEC17	2385.00	2527.60	USD	-3,565.00	
19SEP17	B	1	PD19DEC17	2384.00	2527.60	USD	3,590.00	
19SEP17	S	1	PD19DEC17	2382.00	2527.60	USD	-3,640.00	
19SEP17	S	1	PD19DEC17	2385.50	2527.60	USD	-3,552.50	
19SEP17	S	1	PD19DEC17	2386.00	2527.60	USD	-3,540.00	
19SEP17	S	1	PD19DEC17	2393.50	2527.60	USD	-3,352.50	
19SEP17	S	1	PD19DEC17	2394.50	2527.60	USD	-3,327.50	
19SEP17	S	1	PD19DEC17	2397.50	2527.60	USD	-3,252.50	
19SEP17	S	2	PD19DEC17	2405.50	2527.60	USD	-6,105.00	
19SEP17	B	1	PD19DEC17	2403.00	2527.60	USD	3,115.00	
19SEP17	B	1	PD19DEC17	2396.00	2527.60	USD	3,290.00	
19SEP17	B	1	PD19DEC17	2412.50	2527.60	USD	2,877.50	
19SEP17	B	1	PD19DEC17	2414.00	2527.60	USD	2,840.00	
19SEP17	B	1	PD19DEC17	2410.00	2527.60	USD	2,940.00	
19SEP17	B	1	PD19DEC17	2399.00	2527.60	USD	3,215.00	
19SEP17	B	1	PD19DEC17	2405.50	2527.60	USD	3,052.50	
19SEP17	S	1	PD19DEC17	2418.50	2527.60	USD	-2,727.50	
19SEP17	B	1	PD19DEC17	2438.00	2527.60	USD	2,240.00	
19SEP17	S	1	PD19DEC17	2438.00	2527.60	USD	-2,240.00	
19SEP17	B	1	PD19DEC17	2446.00	2527.60	USD	2,040.00	
19SEP17	B	1	PD19DEC17	2412.00	2527.60	USD	2,890.00	
	NET	0 AVG	BGHT 2410.91				-3,212.50	0.00
			SOLD 2399.23					

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20SEP17	B	3	PD20DEC17	2454.50	2527.75	USD	5,493.75	
20SEP17	S	1	PD20DEC17	2452.00	2527.75	USD	-1,893.75	
20SEP17	S	1	PD20DEC17	2454.50	2527.75	USD	-1,831.25	
20SEP17	B	3	PD20DEC17	2452.50	2527.75	USD	5,643.75	
20SEP17	S	2	PD20DEC17	2463.00	2527.75	USD	-3,237.50	
20SEP17	S	3	PD20DEC17	2452.50	2527.75	USD	-5,643.75	
20SEP17	S	1	PD20DEC17	2467.00	2527.75	USD	-1,518.75	
20SEP17	S	3	PD20DEC17	2466.00	2527.75	USD	-4,631.25	
20SEP17	B	1	PD20DEC17	2454.00	2527.75	USD	1,843.75	
20SEP17	B	1	PD20DEC17	2455.00	2527.75	USD	1,818.75	
20SEP17	B	2	PD20DEC17	2456.00	2527.75	USD	3,587.50	
20SEP17	B	1	PD20DEC17	2452.00	2527.75	USD	1,893.75	
	NET	0 AVG	BGHT 2454.00		SOLD 2459.55		1,525.00	0.00
21SEP17	B	1	PD21DEC17	2464.50	2526.75	USD	1,556.25	
21SEP17	B	1	PD21DEC17	2461.00	2526.75	USD	1,643.75	
21SEP17	S	2	PD21DEC17	2463.00	2526.75	USD	-3,187.50	
	NET	0 AVG	BGHT 2462.75		SOLD 2463.00		12.50	0.00
22SEP17	B	2	PD22DEC17	2413.00	2526.90	USD	5,695.00	
22SEP17	B	1	PD22DEC17	2417.50	2526.90	USD	2,735.00	
22SEP17	B	1	PD22DEC17	2381.00	2526.90	USD	3,647.50	
22SEP17	B	2	PD22DEC17	2389.00	2526.90	USD	6,895.00	
22SEP17	S	1	PD22DEC17	2379.00	2526.90	USD	-3,697.50	
22SEP17	S	1	PD22DEC17	2402.00	2526.90	USD	-3,122.50	
22SEP17	S	1	PD22DEC17	2404.50	2526.90	USD	-3,060.00	
22SEP17	S	1	PD22DEC17	2405.50	2526.90	USD	-3,035.00	
22SEP17	S	1	PD22DEC17	2407.50	2526.90	USD	-2,985.00	
22SEP17	S	1	PD22DEC17	2410.00	2526.90	USD	-2,922.50	
22SEP17	S	1	PD22DEC17	2459.00	2526.90	USD	-1,697.50	
22SEP17	B	1	PD22DEC17	2463.00	2526.90	USD	1,597.50	
	NET	0 AVG	BGHT 2409.36		SOLD 2409.64		50.00	0.00
03OCT17	S	1	PD03JAN18	2520.50	2528.67	USD	-204.25	
03OCT17	S	2	PD03JAN18	2520.00	2528.67	USD	-433.50	
03OCT17	B	1	PD03JAN18	2527.00	2528.67	USD	41.75	
03OCT17	B	1	PD03JAN18	2528.00	2528.67	USD	16.75	
03OCT17	B	1	PD03JAN18	2528.50	2528.67	USD	4.25	
	NET	0 AVG	BGHT 2527.83		SOLD 2520.17		-575.00	0.00
04OCT17	S	1	PD04JAN18	2605.00	2528.82	USD	1,904.50	
04OCT17	S	1	PD04JAN18	2607.00	2528.82	USD	1,954.50	
04OCT17	S	1	PD04JAN18	2608.00	2528.82	USD	1,979.50	
04OCT17	B	1	PD04JAN18	2591.00	2528.82	USD	-1,554.50	
04OCT17	B	1	PD04JAN18	2600.50	2528.82	USD	-1,792.00	
04OCT17	B	1	PD04JAN18	2576.50	2528.82	USD	-1,192.00	
04OCT17	B	2	PD04JAN18	2577.50	2528.82	USD	-2,434.00	
04OCT17	S	2	PD04JAN18	2606.50	2528.82	USD	3,884.00	
04OCT17	S	1	PD04JAN18	2591.00	2528.82	USD	1,554.50	
04OCT17	B	1	PD04JAN18	2574.50	2528.82	USD	-1,142.00	
	NET	0 AVG	BGHT 2582.92		SOLD 2604.00		3,162.50	0.00
05OCT17	S	1	PD05JAN18	2555.50	2528.97	USD	663.25	
05OCT17	B	1	PD05JAN18	2567.00	2528.97	USD	-950.75	
	NET	0 AVG	BGHT 2567.00		SOLD 2555.50		-287.50	0.00
09OCT17	B	1	PD09JAN18	2528.50	2529.56	USD	26.50	
09OCT17	S	1	PD09JAN18	2516.50	2529.56	USD	-326.50	
	NET	0 AVG	BGHT 2528.50		SOLD 2516.50		-300.00	0.00
11OCT17	B	2	PD11JAN18	2517.00	2529.85	USD	642.50	
11OCT17	S	1	PD11JAN18	2514.00	2529.85	USD	-396.25	

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11OCT17	S 1 PD11JAN18	2514.50	2529.85	USD	-383.75	
11OCT17	B 1 PD11JAN18	2518.00	2529.85	USD	296.25	
11OCT17	B 1 PD11JAN18	2518.50	2529.85	USD	283.75	
11OCT17	S 1 PD11JAN18	2523.50	2529.85	USD	-158.75	
11OCT17	S 1 PD11JAN18	2524.50	2529.85	USD	-133.75	
11OCT17	B 1 PD11JAN18	2525.00	2529.85	USD	121.25	
11OCT17	B 1 PD11JAN18	2522.00	2529.85	USD	196.25	
11OCT17	B 1 PD11JAN18	2522.50	2529.85	USD	183.75	
11OCT17	S 3 PD11JAN18	2528.50	2529.85	USD	-101.25	
	NET 0AVG BGHT 2520.00 SOLD 2523.14				550.00	0.00
	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
17JUL17	S 1 PD17OCT17	9645.00	11610.50	USD	-11,793.00	
17JUL17	B 2 PD17OCT17	9710.00	11610.50	USD	22,806.00	
17JUL17	S 1 PD17OCT17	9705.00	11610.50	USD	-11,433.00	
17JUL17	S 1 PD17OCT17	9710.00	11610.50	USD	-11,403.00	
17JUL17	S 1 PD17OCT17	9715.00	11610.50	USD	-11,373.00	
17JUL17	B 2 PD17OCT17	9620.00	11610.50	USD	23,886.00	
	NET 0AVG BGHT 9665.00 SOLD 9693.75				690.00	0.00
24OCT16	B 3 PD18OCT17	10223.50	11610.50	USD	24,966.00	
25OCT16	S 3 PD18OCT17	10343.00	11610.50	USD	-22,815.00	
18JUL17	B 2 PD18OCT17	9575.00	11610.50	USD	24,426.00	
18JUL17	B 1 PD18OCT17	9585.00	11610.50	USD	12,153.00	
18JUL17	S 1 PD18OCT17	9610.00	11610.50	USD	-12,003.00	
18JUL17	S 2 PD18OCT17	9635.00	11610.50	USD	-23,706.00	
18JUL17	S 2 PD18OCT17	9640.00	11610.50	USD	-23,646.00	
18JUL17	B 2 PD18OCT17	9650.00	11610.50	USD	23,526.00	
	NET 0AVG BGHT 9838.19 SOLD 9898.63				2,901.00	0.00
19JUL17	B 1 PD19OCT17	9685.00	11610.50	USD	11,553.00	
19JUL17	B 1 PD19OCT17	9690.00	11610.50	USD	11,523.00	
19JUL17	S 1 PD19OCT17	9735.00	11610.50	USD	-11,253.00	
19JUL17	S 1 PD19OCT17	9760.00	11610.50	USD	-11,103.00	
	NET 0AVG BGHT 9687.50 SOLD 9747.50				720.00	0.00
20JUL17	B 1 PD20OCT17	9620.00	11611.35	USD	11,948.10	
20JUL17	B 1 PD20OCT17	9610.00	11611.35	USD	12,008.10	
20JUL17	B 2 PD20OCT17	9495.00	11611.35	USD	25,396.20	
20JUL17	S 1 PD20OCT17	9505.00	11611.35	USD	-12,638.10	
20JUL17	S 2 PD20OCT17	9510.00	11611.35	USD	-25,216.20	
20JUL17	S 1 PD20OCT17	9515.00	11611.35	USD	-12,578.10	
	NET 0AVG BGHT 9555.00 SOLD 9510.00				-1,080.00	0.00
24JUL17	S 2 PD24OCT17	9775.00	11614.76	USD	-22,077.12	
24JUL17	S 1 PD24OCT17	9760.00	11614.76	USD	-11,128.56	
26JUL17	B 3 PD24OCT17	9995.00	11614.76	USD	29,155.68	
	NET 0AVG BGHT 9995.00 SOLD 9770.00				-4,050.00	0.00
25JUL17	B 1 PD25OCT17	9910.00	11615.61	USD	10,233.66	
25JUL17	B 1 PD25OCT17	9905.00	11615.61	USD	10,263.66	
25JUL17	B 1 PD25OCT17	10005.00	11615.61	USD	9,663.66	
26JUL17	S 3 PD25OCT17	9993.67	11615.61	USD	-29,194.92	
	NET 0AVG BGHT 9940.00 SOLD 9993.67				966.06	0.00
26JUL17	S 1 PD26OCT17	9945.00	11616.46	USD	-10,028.76	
26JUL17	B 1 PD26OCT17	10015.00	11616.46	USD	9,608.76	
	NET 0AVG BGHT 10015.00 SOLD 9945.00				-420.00	0.00
28JUL17	B 1 PD27OCT17	10035.00	11617.31	USD	9,493.86	
28JUL17	S 1 PD27OCT17	10035.00	11617.31	USD	-9,493.86	

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	NET	0 AVG	BGHT	10035.00	SOLD	10035.00		0.00	0.00
31JUL17	B	1	PD31OCT17		10255.00	11620.72	USD	8,194.32	
31JUL17	B	1	PD31OCT17		10310.00	11620.72	USD	7,864.32	
31JUL17	B	2	PD31OCT17		10230.00	11620.72	USD	16,688.64	
31JUL17	B	1	PD31OCT17		10245.00	11620.72	USD	8,254.32	
31JUL17	B	1	PD31OCT17		10260.00	11620.72	USD	8,164.32	
31JUL17	B	1	PD31OCT17		10275.00	11620.72	USD	8,074.32	
31JUL17	B	1	PD31OCT17		10320.00	11620.72	USD	7,804.32	
31JUL17	S	2	PD31OCT17		10285.00	11620.72	USD	-16,028.64	
31JUL17	S	1	PD31OCT17		10295.00	11620.72	USD	-7,954.32	
31JUL17	S	1	PD31OCT17		10300.00	11620.72	USD	-7,924.32	
31JUL17	S	1	PD31OCT17		10310.00	11620.72	USD	-7,864.32	
31JUL17	B	2	PD31OCT17		10265.00	11620.72	USD	16,268.64	
31JUL17	S	1	PD31OCT17		10230.00	11620.72	USD	-8,344.32	
31JUL17	S	1	PD31OCT17		10275.00	11620.72	USD	-8,074.32	
31JUL17	S	2	PD31OCT17		10280.00	11620.72	USD	-16,088.64	
31JUL17	B	5	PD31OCT17		10280.00	11620.72	USD	40,221.60	
31JUL17	B	1	PD31OCT17		10285.00	11620.72	USD	8,014.32	
03AUG17	S	7	PD31OCT17		10379.36	11620.72	USD	-52,137.12	
	NET	0 AVG	BGHT	10271.25	SOLD	10324.72		5,133.12	0.00
01AUG17	S	1	PD01NOV17		10130.00	11621.57	USD	-8,949.42	
01AUG17	S	1	PD01NOV17		10125.00	11621.57	USD	-8,979.42	
01AUG17	S	1	PD01NOV17		10175.00	11621.57	USD	-8,679.42	
01AUG17	B	1	PD01NOV17		10130.00	11621.57	USD	8,949.42	
01AUG17	S	1	PD01NOV17		10135.00	11621.57	USD	-8,919.42	
01AUG17	S	1	PD01NOV17		10165.00	11621.57	USD	-8,739.42	
01AUG17	S	1	PD01NOV17		10180.00	11621.57	USD	-8,649.42	
01AUG17	S	1	PD01NOV17		10190.00	11621.57	USD	-8,589.42	
01AUG17	S	1	PD01NOV17		10200.00	11621.57	USD	-8,529.42	
03AUG17	B	7	PD01NOV17		10380.57	11621.57	USD	52,122.00	
	NET	0 AVG	BGHT	10349.25	SOLD	10162.50		-8,963.94	0.00
02AUG17	B	1	PD02NOV17		10240.00	11622.43	USD	8,294.58	
02AUG17	S	1	PD02NOV17		10240.00	11622.43	USD	-8,294.58	
02AUG17	B	1	PD02NOV17		10325.00	11622.43	USD	7,784.58	
02AUG17	S	1	PD02NOV17		10345.00	11622.43	USD	-7,664.58	
	NET	0 AVG	BGHT	10282.50	SOLD	10292.50		120.00	0.00
03AUG17	S	2	PD03NOV17		10365.00	11623.28	USD	-15,099.36	
03AUG17	S	1	PD03NOV17		10375.00	11623.28	USD	-7,489.68	
04AUG17	S	1	PD03NOV17		10385.00	11623.28	USD	-7,429.68	
04AUG17	B	2	PD03NOV17		10385.00	11623.28	USD	14,859.36	
04AUG17	B	2	PD03NOV17		10380.00	11623.28	USD	14,919.36	
	NET	0 AVG	BGHT	10382.50	SOLD	10372.50		-240.00	0.00
07AUG17	B	2	PD07NOV17		10335.00	11626.69	USD	15,500.28	
07AUG17	B	1	PD07NOV17		10350.00	11626.69	USD	7,660.14	
07AUG17	S	1	PD07NOV17		10365.00	11626.69	USD	-7,570.14	
07AUG17	S	1	PD07NOV17		10385.00	11626.69	USD	-7,450.14	
07AUG17	S	2	PD07NOV17		10395.00	11626.69	USD	-14,780.28	
07AUG17	S	1	PD07NOV17		10360.00	11626.69	USD	-7,600.14	
07AUG17	B	1	PD07NOV17		10370.00	11626.69	USD	7,540.14	
07AUG17	B	4	PD07NOV17		10365.00	11626.69	USD	30,280.56	
07AUG17	S	1	PD07NOV17		10380.00	11626.69	USD	-7,480.14	
10AUG17	S	2	PD07NOV17		10983.24	11626.69	USD	-7,721.40	
	NET	0 AVG	BGHT	10356.25	SOLD	10530.81		8,378.88	0.00
08AUG17	B	1	PD08NOV17		10300.00	11627.54	USD	7,965.24	
08AUG17	B	1	PD08NOV17		10305.00	11627.54	USD	7,935.24	
08AUG17	B	1	PD08NOV17		10270.00	11627.54	USD	8,145.24	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0034H

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
08AUG17	S	2	PD08NOV17	10330.00	11627.54	USD	-15,570.48	
08AUG17	S	1	PD08NOV17	10340.00	11627.54	USD	-7,725.24	
08AUG17	S	1	PD08NOV17	10365.00	11627.54	USD	-7,575.24	
08AUG17	S	1	PD08NOV17	10370.00	11627.54	USD	-7,545.24	
08AUG17	S	1	PD08NOV17	10615.00	11627.54	USD	-6,075.24	
08AUG17	B	1	PD08NOV17	10775.00	11627.54	USD	5,115.24	
10AUG17	B	2	PD08NOV17	10984.83	11627.54	USD	7,712.52	
	NET	0 AVG	BGHT 10603.28	SOLD 10391.67			-7,617.96	0.00
09AUG17	S	1	PD09NOV17	10735.00	11628.39	USD	-5,360.34	
09AUG17	S	1	PD09NOV17	10810.00	11628.39	USD	-4,910.34	
09AUG17	S	1	PD09NOV17	10765.00	11628.39	USD	-5,180.34	
09AUG17	B	2	PD09NOV17	10800.00	11628.39	USD	9,940.68	
09AUG17	B	1	PD09NOV17	10795.00	11628.39	USD	5,000.34	
	NET	0 AVG	BGHT 10798.33	SOLD 10770.00			-510.00	0.00
11AUG17	B	2	PD10NOV17	10740.00	11629.24	USD	10,670.88	
11AUG17	S	1	PD10NOV17	10670.00	11629.24	USD	-5,755.44	
11AUG17	B	2	PD10NOV17	10645.00	11629.24	USD	11,810.88	
11AUG17	B	1	PD10NOV17	10630.00	11629.24	USD	5,995.44	
11AUG17	S	4	PD10NOV17	10665.00	11629.24	USD	-23,141.76	
	NET	0 AVG	BGHT 10680.00	SOLD 10666.00			-420.00	0.00
14AUG17	B	1	PD14NOV17	10630.00	11632.65	USD	6,015.90	
14AUG17	B	1	PD14NOV17	10640.00	11632.65	USD	5,955.90	
14AUG17	B	1	PD14NOV17	10660.00	11632.65	USD	5,835.90	
14AUG17	B	2	PD14NOV17	10635.00	11632.65	USD	11,971.80	
14AUG17	B	1	PD14NOV17	10575.00	11632.65	USD	6,345.90	
14AUG17	B	1	PD14NOV17	10595.00	11632.65	USD	6,225.90	
14AUG17	B	1	PD14NOV17	10605.00	11632.65	USD	6,165.90	
14AUG17	S	1	PD14NOV17	10600.00	11632.65	USD	-6,195.90	
14AUG17	S	1	PD14NOV17	10610.00	11632.65	USD	-6,135.90	
14AUG17	B	1	PD14NOV17	10540.00	11632.65	USD	6,555.90	
14AUG17	B	1	PD14NOV17	10510.00	11632.65	USD	6,735.90	
14AUG17	S	1	PD14NOV17	10395.00	11632.65	USD	-7,425.90	
14AUG17	S	1	PD14NOV17	10445.00	11632.65	USD	-7,125.90	
14AUG17	S	6	PD14NOV17	10435.00	11632.65	USD	-43,115.40	
	NET	0 AVG	BGHT 10602.50	SOLD 10466.00			-8,190.00	0.00
15AUG17	S	1	PD15NOV17	10430.00	11633.50	USD	-7,221.00	
22AUG17	B	1	PD15NOV17	11414.50	11633.50	USD	1,314.00	
	NET	0 AVG	BGHT 11414.50	SOLD 10430.00			-5,907.00	0.00
18AUG17	B	1	PD17NOV17	10800.00	11634.87	USD	5,009.22	
22AUG17	S	1	PD17NOV17	11411.92	11634.87	USD	-1,337.70	
	NET	0 AVG	BGHT 10800.00	SOLD 11411.92			3,671.52	0.00
22AUG17	S	2	PD22NOV17	11335.00	11638.30	USD	-3,639.60	
22AUG17	S	1	PD22NOV17	11470.00	11638.30	USD	-1,009.80	
22AUG17	S	1	PD22NOV17	11500.00	11638.30	USD	-829.80	
22AUG17	B	1	PD22NOV17	11460.00	11638.30	USD	1,069.80	
22AUG17	B	1	PD22NOV17	11470.00	11638.30	USD	1,009.80	
22AUG17	B	1	PD22NOV17	11420.00	11638.30	USD	1,309.80	
22AUG17	B	1	PD22NOV17	11345.00	11638.30	USD	1,759.80	
	NET	0 AVG	BGHT 11423.75	SOLD 11410.00			-330.00	0.00
23AUG17	B	2	PD24NOV17	11335.00	11639.67	USD	3,656.04	
23AUG17	S	1	PD24NOV17	11400.00	11639.67	USD	-1,438.02	
23AUG17	S	3	PD24NOV17	11535.00	11639.67	USD	-1,884.06	
23AUG17	S	3	PD24NOV17	11540.00	11639.67	USD	-1,794.06	
23AUG17	S	1	PD24NOV17	11545.00	11639.67	USD	-568.02	
23AUG17	S	1	PD24NOV17	11415.00	11639.67	USD	-1,348.02	

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
23AUG17	B	1	PD24NOV17	11405.00	11639.67	USD	1,408.02	
23AUG17	B	1	PD24NOV17	11410.00	11639.67	USD	1,378.02	
23AUG17	B	1	PD24NOV17	11415.00	11639.67	USD	1,348.02	
23AUG17	B	2	PD24NOV17	11445.00	11639.67	USD	2,336.04	
23AUG17	B	2	PD24NOV17	11485.00	11639.67	USD	1,856.04	
24AUG17	S	2	PD24NOV17	11710.00	11639.67	USD	843.96	
24AUG17	S	1	PD24NOV17	11715.00	11639.67	USD	451.98	
24AUG17	S	1	PD24NOV17	11720.00	11639.67	USD	481.98	
24AUG17	B	2	PD24NOV17	11710.00	11639.67	USD	-843.96	
24AUG17	B	1	PD24NOV17	11670.00	11639.67	USD	-181.98	
24AUG17	B	1	PD24NOV17	11675.00	11639.67	USD	-211.98	
24AUG17	B	1	PD24NOV17	11650.00	11639.67	USD	-61.98	
24AUG17	S	1	PD24NOV17	11650.00	11639.67	USD	61.98	
24AUG17	B	1	PD24NOV17	11725.00	11639.67	USD	-511.98	
24AUG17	B	2	PD24NOV17	11770.00	11639.67	USD	-1,563.96	
24AUG17	S	1	PD24NOV17	11770.00	11639.67	USD	781.98	
24AUG17	B	1	PD24NOV17	11775.00	11639.67	USD	-811.98	
24AUG17	S	3	PD24NOV17	11740.00	11639.67	USD	1,805.94	
24AUG17	S	1	PD24NOV17	11760.00	11639.67	USD	721.98	
24AUG17	B	1	PD24NOV17	11730.00	11639.67	USD	-541.98	
24AUG17	B	1	PD24NOV17	11735.00	11639.67	USD	-571.98	
24AUG17	S	5	PD24NOV17	11745.00	11639.67	USD	3,159.90	
25AUG17	B	1	PD24NOV17	11680.00	11639.67	USD	-241.98	
25AUG17	B	1	PD24NOV17	11685.00	11639.67	USD	-271.98	
25AUG17	B	1	PD24NOV17	11695.00	11639.67	USD	-331.98	
25AUG17	B	1	PD24NOV17	11705.00	11639.67	USD	-391.98	
	NET	0 AVG BGHT 11601.88	SOLD 11648.54				6,720.00	0.00
29AUG17	S	1	PD29NOV17	11645.00	11643.10	USD	11.40	
29AUG17	S	3	PD29NOV17	11700.00	11643.10	USD	1,024.20	
29AUG17	S	1	PD29NOV17	11720.00	11643.10	USD	461.40	
29AUG17	S	1	PD29NOV17	11725.00	11643.10	USD	491.40	
29AUG17	S	1	PD29NOV17	11635.00	11643.10	USD	-48.60	
29AUG17	S	1	PD29NOV17	11690.00	11643.10	USD	281.40	
29AUG17	B	1	PD29NOV17	11770.00	11643.10	USD	-761.40	
29AUG17	B	1	PD29NOV17	11805.00	11643.10	USD	-971.40	
29AUG17	B	1	PD29NOV17	11825.00	11643.10	USD	-1,091.40	
29AUG17	B	1	PD29NOV17	11845.00	11643.10	USD	-1,211.40	
29AUG17	B	3	PD29NOV17	11860.00	11643.10	USD	-3,904.20	
29AUG17	B	1	PD29NOV17	11875.00	11643.10	USD	-1,391.40	
	NET	0 AVG BGHT 11837.50	SOLD 11689.38				-7,110.00	0.00
30AUG17	B	1	PD30NOV17	11715.00	11643.79	USD	-427.26	
30AUG17	S	3	PD30NOV17	11710.00	11643.79	USD	1,191.78	
30AUG17	B	1	PD30NOV17	11615.00	11643.79	USD	172.74	
30AUG17	S	1	PD30NOV17	11615.00	11643.79	USD	-172.74	
31AUG17	B	1	PD30NOV17	11670.00	11643.79	USD	-157.26	
31AUG17	B	3	PD30NOV17	11675.00	11643.79	USD	-561.78	
31AUG17	B	4	PD30NOV17	11680.00	11643.79	USD	-869.04	
31AUG17	S	3	PD30NOV17	11670.00	11643.79	USD	471.78	
31AUG17	S	1	PD30NOV17	11635.00	11643.79	USD	-52.74	
31AUG17	S	1	PD30NOV17	11645.00	11643.79	USD	7.26	
31AUG17	S	1	PD30NOV17	11685.00	11643.79	USD	247.26	
	NET	0 AVG BGHT 11674.50	SOLD 11672.00				-150.00	0.00
01SEP17	S	1	PD01DEC17	11950.00	11644.47	USD	1,833.18	
01SEP17	B	1	PD01DEC17	11965.00	11644.47	USD	-1,923.18	
01SEP17	S	3	PD01DEC17	11965.00	11644.47	USD	5,769.54	
01SEP17	S	1	PD01DEC17	12020.00	11644.47	USD	2,253.18	

OPEN POSITION STATEMENT



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SAP0034H

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01SEP17	B	1	PD01DEC17	12045.00	11644.47	USD	-2,403.18	
01SEP17	B	1	PD01DEC17	12055.00	11644.47	USD	-2,463.18	
01SEP17	B	1	PD01DEC17	12060.00	11644.47	USD	-2,493.18	
01SEP17	S	3	PD01DEC17	12050.00	11644.47	USD	7,299.54	
01SEP17	B	1	PD01DEC17	11995.00	11644.47	USD	-2,103.18	
01SEP17	B	1	PD01DEC17	12000.00	11644.47	USD	-2,133.18	
01SEP17	B	2	PD01DEC17	12050.00	11644.47	USD	-4,866.36	
	NET	0 AVG BGHT 12027.50	SOLD 12001.88				-1,230.00	0.00
04SEP17	B	1	PD04DEC17	12220.00	11646.53	USD	-3,440.82	
04SEP17	B	1	PD04DEC17	12230.00	11646.53	USD	-3,500.82	
04SEP17	B	1	PD04DEC17	12255.00	11646.53	USD	-3,650.82	
04SEP17	B	1	PD04DEC17	12265.00	11646.53	USD	-3,710.82	
04SEP17	B	1	PD04DEC17	12270.00	11646.53	USD	-3,740.82	
04SEP17	B	2	PD04DEC17	12275.00	11646.53	USD	-7,541.64	
04SEP17	B	1	PD04DEC17	12165.00	11646.53	USD	-3,110.82	
04SEP17	B	1	PD04DEC17	12235.00	11646.53	USD	-3,530.82	
04SEP17	B	1	PD04DEC17	12250.00	11646.53	USD	-3,620.82	
04SEP17	S	1	PD04DEC17	12130.00	11646.53	USD	2,900.82	
04SEP17	S	1	PD04DEC17	12250.00	11646.53	USD	3,620.82	
04SEP17	B	1	PD04DEC17	12130.00	11646.53	USD	-2,900.82	
04SEP17	B	1	PD04DEC17	12190.00	11646.53	USD	-3,260.82	
04SEP17	B	1	PD04DEC17	12195.00	11646.53	USD	-3,290.82	
04SEP17	S	1	PD04DEC17	12205.00	11646.53	USD	3,350.82	
04SEP17	S	2	PD04DEC17	12210.00	11646.53	USD	6,761.64	
04SEP17	S	2	PD04DEC17	12190.00	11646.53	USD	6,521.64	
04SEP17	S	1	PD04DEC17	12260.00	11646.53	USD	3,680.82	
08SEP17	S	5	PD04DEC17	11587.65	11646.53	USD	-1,766.40	
	NET	0 AVG BGHT 12227.31	SOLD 11967.94				-20,230.50	0.00
05SEP17	S	1	PD05DEC17	12220.00	11647.21	USD	3,436.74	
05SEP17	S	1	PD05DEC17	12225.00	11647.21	USD	3,466.74	
05SEP17	S	1	PD05DEC17	12200.00	11647.21	USD	3,316.74	
05SEP17	S	1	PD05DEC17	12185.00	11647.21	USD	3,226.74	
05SEP17	S	1	PD05DEC17	12235.00	11647.21	USD	3,526.74	
08SEP17	B	5	PD05DEC17	11589.24	11647.21	USD	1,739.10	
	NET	0 AVG BGHT 11589.24	SOLD 12213.00				18,712.80	0.00
07SEP17	B	1	PD07DEC17	12150.00	11648.59	USD	-3,008.46	
07SEP17	B	1	PD07DEC17	12160.00	11648.59	USD	-3,068.46	
07SEP17	B	2	PD07DEC17	12170.00	11648.59	USD	-6,256.92	
07SEP17	B	3	PD07DEC17	12180.00	11648.59	USD	-9,565.38	
07SEP17	S	1	PD07DEC17	12230.00	11648.59	USD	3,488.46	
07SEP17	B	1	PD07DEC17	12125.00	11648.59	USD	-2,858.46	
07SEP17	B	1	PD07DEC17	12095.00	11648.59	USD	-2,678.46	
07SEP17	S	2	PD07DEC17	12195.00	11648.59	USD	6,556.92	
07SEP17	B	1	PD07DEC17	12120.00	11648.59	USD	-2,828.46	
07SEP17	S	1	PD07DEC17	12110.00	11648.59	USD	2,768.46	
07SEP17	S	1	PD07DEC17	12160.00	11648.59	USD	3,068.46	
07SEP17	S	1	PD07DEC17	12170.00	11648.59	USD	3,128.46	
07SEP17	B	2	PD07DEC17	12030.00	11648.59	USD	-4,576.92	
07SEP17	B	1	PD07DEC17	12040.00	11648.59	USD	-2,348.46	
07SEP17	S	3	PD07DEC17	12115.00	11648.59	USD	8,395.38	
07SEP17	S	2	PD07DEC17	12120.00	11648.59	USD	5,656.92	
07SEP17	S	3	PD07DEC17	12135.00	11648.59	USD	8,755.38	
07SEP17	B	1	PD07DEC17	12140.00	11648.59	USD	-2,948.46	
	NET	0 AVG BGHT 12126.43	SOLD 12146.43				1,680.00	0.00
08SEP17	B	1	PD08DEC17	12130.00	11649.27	USD	-2,884.38	
08SEP17	B	1	PD08DEC17	12170.00	11649.27	USD	-3,124.38	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0034H

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>								
08SEP17	B	2	PD08DEC17		11990.00	11649.27	USD	-4,088.76	
08SEP17	B	1	PD08DEC17		12030.00	11649.27	USD	-2,284.38	
08SEP17	B	1	PD08DEC17		11950.00	11649.27	USD	-1,804.38	
08SEP17	S	1	PD08DEC17		11945.00	11649.27	USD	1,774.38	
08SEP17	B	1	PD08DEC17		11920.00	11649.27	USD	-1,624.38	
08SEP17	B	1	PD08DEC17		11890.00	11649.27	USD	-1,444.38	
08SEP17	B	1	PD08DEC17		11905.00	11649.27	USD	-1,534.38	
08SEP17	S	1	PD08DEC17		11885.00	11649.27	USD	1,414.38	
08SEP17	B	1	PD08DEC17		11885.00	11649.27	USD	-1,414.38	
08SEP17	B	4	PD08DEC17		12150.00	11649.27	USD	-12,017.52	
08SEP17	S	2	PD08DEC17		11910.00	11649.27	USD	3,128.76	
08SEP17	B	1	PD08DEC17		12020.00	11649.27	USD	-2,224.38	
08SEP17	S	2	PD08DEC17		11900.00	11649.27	USD	3,008.76	
08SEP17	S	2	PD08DEC17		11920.00	11649.27	USD	3,248.76	
08SEP17	S	1	PD08DEC17		11925.00	11649.27	USD	1,654.38	
08SEP17	S	2	PD08DEC17		11905.00	11649.27	USD	3,068.76	
08SEP17	B	1	PD08DEC17		11765.00	11649.27	USD	-694.38	
08SEP17	B	1	PD08DEC17		11770.00	11649.27	USD	-724.38	
08SEP17	B	1	PD08DEC17		11740.00	11649.27	USD	-544.38	
08SEP17	S	1	PD08DEC17		11595.00	11649.27	USD	-325.62	
08SEP17	S	1	PD08DEC17		11570.00	11649.27	USD	-475.62	
08SEP17	S	1	PD08DEC17		11590.00	11649.27	USD	-355.62	
08SEP17	S	4	PD08DEC17		11575.00	11649.27	USD	-1,782.48	
	NET	0AVG BGHT 11986.39	SOLD 11782.22					-22,050.00	0.00
11SEP17	S	1	PD11DEC17		11615.00	11651.33	USD	-217.98	
11SEP17	S	1	PD11DEC17		11625.00	11651.33	USD	-157.98	
11SEP17	S	1	PD11DEC17		11575.00	11651.33	USD	-457.98	
11SEP17	B	1	PD11DEC17		11580.00	11651.33	USD	427.98	
11SEP17	B	1	PD11DEC17		11570.00	11651.33	USD	487.98	
11SEP17	B	1	PD11DEC17		11605.00	11651.33	USD	277.98	
11SEP17	S	3	PD11DEC17		11595.00	11651.33	USD	-1,013.94	
11SEP17	B	2	PD11DEC17		11585.00	11651.33	USD	795.96	
11SEP17	B	1	PD11DEC17		11705.00	11651.33	USD	-322.02	
11SEP17	S	1	PD11DEC17		11710.00	11651.33	USD	352.02	
11SEP17	S	1	PD11DEC17		11640.00	11651.33	USD	-67.98	
11SEP17	B	1	PD11DEC17		11630.00	11651.33	USD	127.98	
11SEP17	B	1	PD11DEC17		11660.00	11651.33	USD	-52.02	
11SEP17	B	1	PD11DEC17		11665.00	11651.33	USD	-82.02	
11SEP17	B	1	PD11DEC17		11715.00	11651.33	USD	-382.02	
11SEP17	S	1	PD11DEC17		11735.00	11651.33	USD	502.02	
11SEP17	S	1	PD11DEC17		11720.00	11651.33	USD	412.02	
	NET	0AVG BGHT 11630.00	SOLD 11640.50					630.00	0.00
12SEP17	B	1	PD12DEC17		11655.00	11652.01	USD	-17.94	
12SEP17	S	1	PD12DEC17		11705.00	11652.01	USD	317.94	
12SEP17	B	3	PD12DEC17		11640.00	11652.01	USD	216.18	
12SEP17	B	2	PD12DEC17		11645.00	11652.01	USD	84.12	
12SEP17	B	1	PD12DEC17		11650.00	11652.01	USD	12.06	
12SEP17	S	3	PD12DEC17		11720.00	11652.01	USD	1,223.82	
12SEP17	S	1	PD12DEC17		11685.00	11652.01	USD	197.94	
12SEP17	S	1	PD12DEC17		11695.00	11652.01	USD	257.94	
12SEP17	S	2	PD12DEC17		11735.00	11652.01	USD	995.88	
12SEP17	S	1	PD12DEC17		11765.00	11652.01	USD	677.94	
12SEP17	B	1	PD12DEC17		11835.00	11652.01	USD	-1,097.94	
12SEP17	B	1	PD12DEC17		11870.00	11652.01	USD	-1,307.94	
12SEP17	S	1	PD12DEC17		11870.00	11652.01	USD	1,307.94	
12SEP17	S	1	PD12DEC17		11875.00	11652.01	USD	1,337.94	
12SEP17	B	1	PD12DEC17		11890.00	11652.01	USD	-1,427.94	

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
12SEP17	B	1	PD12DEC17	11905.00	11652.01	USD	-1,517.94	
12SEP17	S	1	PD12DEC17	11920.00	11652.01	USD	1,607.94	
15SEP17	B	1	PD12DEC17	11087.60	11652.01	USD	3,386.46	
	NET	0	AVG BGHT 11675.22				6,254.40	0.00
			SOLD 11762.08					
13SEP17	B	1	PD13DEC17	11720.00	11652.70	USD	-403.80	
13SEP17	B	2	PD13DEC17	11740.00	11652.70	USD	-1,047.60	
13SEP17	B	1	PD13DEC17	11745.00	11652.70	USD	-553.80	
13SEP17	S	2	PD13DEC17	11765.00	11652.70	USD	1,347.60	
13SEP17	S	1	PD13DEC17	11780.00	11652.70	USD	763.80	
13SEP17	S	2	PD13DEC17	11785.00	11652.70	USD	1,587.60	
13SEP17	B	2	PD13DEC17	11730.00	11652.70	USD	-927.60	
13SEP17	B	1	PD13DEC17	11695.00	11652.70	USD	-253.80	
13SEP17	B	1	PD13DEC17	11625.00	11652.70	USD	166.20	
13SEP17	B	1	PD13DEC17	11630.00	11652.70	USD	136.20	
13SEP17	B	1	PD13DEC17	11635.00	11652.70	USD	106.20	
13SEP17	B	1	PD13DEC17	11610.00	11652.70	USD	256.20	
13SEP17	S	1	PD13DEC17	11615.00	11652.70	USD	-226.20	
13SEP17	S	2	PD13DEC17	11595.00	11652.70	USD	-692.40	
13SEP17	B	1	PD13DEC17	11350.00	11652.70	USD	1,816.20	
13SEP17	B	1	PD13DEC17	11360.00	11652.70	USD	1,756.20	
13SEP17	B	1	PD13DEC17	11365.00	11652.70	USD	1,726.20	
13SEP17	S	2	PD13DEC17	11340.00	11652.70	USD	-3,752.40	
13SEP17	S	1	PD13DEC17	11410.00	11652.70	USD	-1,456.20	
13SEP17	B	1	PD13DEC17	11370.00	11652.70	USD	1,696.20	
13SEP17	B	2	PD13DEC17	11385.00	11652.70	USD	3,212.40	
13SEP17	S	2	PD13DEC17	11400.00	11652.70	USD	-3,032.40	
13SEP17	S	1	PD13DEC17	11450.00	11652.70	USD	-1,216.20	
13SEP17	S	1	PD13DEC17	11430.00	11652.70	USD	-1,336.20	
13SEP17	S	1	PD13DEC17	11440.00	11652.70	USD	-1,276.20	
13SEP17	S	1	PD13DEC17	11470.00	11652.70	USD	-1,096.20	
13SEP17	S	2	PD13DEC17	11475.00	11652.70	USD	-2,132.40	
13SEP17	S	1	PD13DEC17	11485.00	11652.70	USD	-1,006.20	
13SEP17	S	1	PD13DEC17	11490.00	11652.70	USD	-976.20	
13SEP17	S	1	PD13DEC17	11435.00	11652.70	USD	-1,306.20	
13SEP17	B	1	PD13DEC17	11430.00	11652.70	USD	1,336.20	
13SEP17	B	2	PD13DEC17	11435.00	11652.70	USD	2,612.40	
15SEP17	B	2	PD13DEC17	11088.40	11652.70	USD	6,771.60	
	NET	0	AVG BGHT 11513.26				2,599.20	0.00
			SOLD 11532.95					
14SEP17	B	1	PD14DEC17	11155.00	11653.39	USD	2,990.34	
14SEP17	B	1	PD14DEC17	11165.00	11653.39	USD	2,930.34	
14SEP17	S	1	PD14DEC17	11230.00	11653.39	USD	-2,540.34	
14SEP17	B	2	PD14DEC17	11205.00	11653.39	USD	5,380.68	
14SEP17	B	1	PD14DEC17	11200.00	11653.39	USD	2,720.34	
14SEP17	S	1	PD14DEC17	11340.00	11653.39	USD	-1,880.34	
14SEP17	B	1	PD14DEC17	11300.00	11653.39	USD	2,120.34	
14SEP17	B	1	PD14DEC17	11265.00	11653.39	USD	2,330.34	
14SEP17	B	1	PD14DEC17	11275.00	11653.39	USD	2,270.34	
14SEP17	B	2	PD14DEC17	11280.00	11653.39	USD	4,480.68	
14SEP17	S	1	PD14DEC17	11245.00	11653.39	USD	-2,450.34	
14SEP17	S	1	PD14DEC17	11250.00	11653.39	USD	-2,420.34	
14SEP17	B	1	PD14DEC17	11255.00	11653.39	USD	2,390.34	
14SEP17	S	1	PD14DEC17	11260.00	11653.39	USD	-2,360.34	
14SEP17	B	2	PD14DEC17	11250.00	11653.39	USD	4,840.68	
14SEP17	B	2	PD14DEC17	11180.00	11653.39	USD	5,680.68	
14SEP17	S	1	PD14DEC17	11220.00	11653.39	USD	-2,600.34	
14SEP17	S	2	PD14DEC17	11280.00	11653.39	USD	-4,480.68	
14SEP17	S	4	PD14DEC17	11255.00	11653.39	USD	-9,561.36	

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15SEP17	S	3	PD14DEC17	11088.20	11653.39	USD	-10,173.42	
	NET	0 AVG BGHT 11229.67	SOLD 11225.97				-332.40	0.00
15SEP17	B	1	PD15DEC17	11135.00	11654.07	USD	3,114.42	
15SEP17	B	6	PD15DEC17	11140.00	11654.07	USD	18,506.52	
15SEP17	B	1	PD15DEC17	11165.00	11654.07	USD	2,934.42	
15SEP17	B	1	PD15DEC17	11170.00	11654.07	USD	2,904.42	
15SEP17	S	2	PD15DEC17	11085.00	11654.07	USD	-6,828.84	
15SEP17	S	2	PD15DEC17	11135.00	11654.07	USD	-6,228.84	
15SEP17	S	2	PD15DEC17	11100.00	11654.07	USD	-6,648.84	
15SEP17	B	1	PD15DEC17	11065.00	11654.07	USD	3,534.42	
15SEP17	S	1	PD15DEC17	11115.00	11654.07	USD	-3,234.42	
15SEP17	S	2	PD15DEC17	11145.00	11654.07	USD	-6,108.84	
15SEP17	S	2	PD15DEC17	11160.00	11654.07	USD	-5,928.84	
15SEP17	S	1	PD15DEC17	11150.00	11654.07	USD	-3,024.42	
15SEP17	S	2	PD15DEC17	11110.00	11654.07	USD	-6,528.84	
15SEP17	B	1	PD15DEC17	10990.00	11654.07	USD	3,984.42	
15SEP17	B	1	PD15DEC17	11015.00	11654.07	USD	3,834.42	
15SEP17	B	2	PD15DEC17	11070.00	11654.07	USD	7,008.84	
	NET	0 AVG BGHT 11108.57	SOLD 11123.93				1,290.00	0.00
18SEP17	S	1	PD18DEC17	11230.00	11656.13	USD	-2,556.78	
18SEP17	B	1	PD18DEC17	11230.00	11656.13	USD	2,556.78	
18SEP17	S	1	PD18DEC17	11220.00	11656.13	USD	-2,616.78	
18SEP17	B	1	PD18DEC17	11180.00	11656.13	USD	2,856.78	
18SEP17	B	1	PD18DEC17	11160.00	11656.13	USD	2,976.78	
18SEP17	B	2	PD18DEC17	11090.00	11656.13	USD	6,793.56	
18SEP17	B	1	PD18DEC17	11095.00	11656.13	USD	3,366.78	
18SEP17	S	1	PD18DEC17	11110.00	11656.13	USD	-3,276.78	
18SEP17	S	1	PD18DEC17	11160.00	11656.13	USD	-2,976.78	
18SEP17	S	1	PD18DEC17	11200.00	11656.13	USD	-2,736.78	
18SEP17	S	1	PD18DEC17	11185.00	11656.13	USD	-2,826.78	
18SEP17	S	1	PD18DEC17	11190.00	11656.13	USD	-2,796.78	
18SEP17	S	1	PD18DEC17	11195.00	11656.13	USD	-2,766.78	
18SEP17	S	1	PD18DEC17	11240.00	11656.13	USD	-2,496.78	
18SEP17	B	1	PD18DEC17	11170.00	11656.13	USD	2,916.78	
18SEP17	S	1	PD18DEC17	11175.00	11656.13	USD	-2,886.78	
21SEP17	B	3	PD18DEC17	11002.84	11656.13	USD	11,759.22	
	NET	0 AVG BGHT 11102.35	SOLD 11190.50				5,288.88	0.00
19SEP17	B	1	PD19DEC17	11045.00	11656.81	USD	3,670.86	
19SEP17	B	1	PD19DEC17	10950.00	11656.81	USD	4,240.86	
19SEP17	B	1	PD19DEC17	10965.00	11656.81	USD	4,150.86	
19SEP17	B	1	PD19DEC17	10970.00	11656.81	USD	4,120.86	
19SEP17	B	1	PD19DEC17	10975.00	11656.81	USD	4,090.86	
19SEP17	B	2	PD19DEC17	10980.00	11656.81	USD	8,121.72	
19SEP17	B	3	PD19DEC17	10990.00	11656.81	USD	12,002.58	
19SEP17	B	2	PD19DEC17	10985.00	11656.81	USD	8,061.72	
19SEP17	S	2	PD19DEC17	10985.00	11656.81	USD	-8,061.72	
19SEP17	B	1	PD19DEC17	10905.00	11656.81	USD	4,510.86	
19SEP17	S	2	PD19DEC17	11015.00	11656.81	USD	-7,701.72	
19SEP17	S	2	PD19DEC17	11035.00	11656.81	USD	-7,461.72	
19SEP17	S	1	PD19DEC17	11030.00	11656.81	USD	-3,760.86	
19SEP17	B	2	PD19DEC17	11010.00	11656.81	USD	7,761.72	
19SEP17	S	4	PD19DEC17	11010.00	11656.81	USD	-15,523.44	
19SEP17	S	1	PD19DEC17	11040.00	11656.81	USD	-3,700.86	
19SEP17	S	2	PD19DEC17	11055.00	11656.81	USD	-7,221.72	
19SEP17	S	1	PD19DEC17	11095.00	11656.81	USD	-3,370.86	
19SEP17	S	2	PD19DEC17	11115.00	11656.81	USD	-6,501.72	

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19SEP17	B	2	PD19DEC17	11145.00	11656.81	USD	6,141.72	
	NET	0 AVG BGHT 11001.18	SOLD 11036.18				3,570.00	0.00
20SEP17	B	1	PD20DEC17	11220.00	11657.50	USD	2,625.00	
20SEP17	B	1	PD20DEC17	11225.00	11657.50	USD	2,595.00	
20SEP17	B	1	PD20DEC17	11325.00	11657.50	USD	1,995.00	
20SEP17	S	1	PD20DEC17	11410.00	11657.50	USD	-1,485.00	
20SEP17	S	1	PD20DEC17	11450.00	11657.50	USD	-1,245.00	
20SEP17	S	2	PD20DEC17	11445.00	11657.50	USD	-2,550.00	
20SEP17	B	1	PD20DEC17	11395.00	11657.50	USD	1,575.00	
20SEP17	B	1	PD20DEC17	11350.00	11657.50	USD	1,845.00	
20SEP17	B	1	PD20DEC17	11360.00	11657.50	USD	1,785.00	
20SEP17	B	1	PD20DEC17	11375.00	11657.50	USD	1,695.00	
20SEP17	B	3	PD20DEC17	11355.00	11657.50	USD	5,445.00	
20SEP17	B	1	PD20DEC17	11300.00	11657.50	USD	2,145.00	
20SEP17	S	1	PD20DEC17	11385.00	11657.50	USD	-1,635.00	
20SEP17	S	3	PD20DEC17	11370.00	11657.50	USD	-5,175.00	
21SEP17	S	3	PD20DEC17	11003.50	11657.50	USD	-11,772.00	
	NET	0 AVG BGHT 11328.64	SOLD 11295.95				-2,157.00	0.00
21SEP17	B	1	PD21DEC17	11035.00	11658.26	USD	3,739.56	
21SEP17	B	1	PD21DEC17	11060.00	11658.26	USD	3,589.56	
21SEP17	B	2	PD21DEC17	11045.00	11658.26	USD	7,359.12	
21SEP17	S	2	PD21DEC17	11075.00	11658.26	USD	-6,999.12	
21SEP17	B	2	PD21DEC17	11020.00	11658.26	USD	7,659.12	
21SEP17	B	1	PD21DEC17	11040.00	11658.26	USD	3,709.56	
21SEP17	B	1	PD21DEC17	10985.00	11658.26	USD	4,039.56	
21SEP17	B	3	PD21DEC17	11005.00	11658.26	USD	11,758.68	
21SEP17	B	1	PD21DEC17	10925.00	11658.26	USD	4,399.56	
21SEP17	S	3	PD21DEC17	10990.00	11658.26	USD	-12,028.68	
21SEP17	S	2	PD21DEC17	11005.00	11658.26	USD	-7,839.12	
21SEP17	S	1	PD21DEC17	11085.00	11658.26	USD	-3,439.56	
21SEP17	B	1	PD21DEC17	11100.00	11658.26	USD	3,349.56	
21SEP17	S	2	PD21DEC17	10945.00	11658.26	USD	-8,559.12	
21SEP17	S	3	PD21DEC17	11000.00	11658.26	USD	-11,848.68	
	NET	0 AVG BGHT 11022.31	SOLD 11008.08				-1,110.00	0.00
22SEP17	B	1	PD22DEC17	10630.00	11659.02	USD	6,174.12	
22SEP17	B	1	PD22DEC17	10605.00	11659.02	USD	6,324.12	
22SEP17	B	3	PD22DEC17	10620.00	11659.02	USD	18,702.36	
22SEP17	S	1	PD22DEC17	10585.00	11659.02	USD	-6,444.12	
22SEP17	B	1	PD22DEC17	10545.00	11659.02	USD	6,684.12	
22SEP17	B	1	PD22DEC17	10550.00	11659.02	USD	6,654.12	
22SEP17	B	1	PD22DEC17	10520.00	11659.02	USD	6,834.12	
22SEP17	B	2	PD22DEC17	10615.00	11659.02	USD	12,528.24	
22SEP17	B	1	PD22DEC17	10655.00	11659.02	USD	6,024.12	
22SEP17	S	1	PD22DEC17	10550.00	11659.02	USD	-6,654.12	
22SEP17	S	2	PD22DEC17	10555.00	11659.02	USD	-13,248.24	
22SEP17	S	1	PD22DEC17	10485.00	11659.02	USD	-7,044.12	
22SEP17	S	1	PD22DEC17	10495.00	11659.02	USD	-6,984.12	
22SEP17	S	1	PD22DEC17	10515.00	11659.02	USD	-6,864.12	
22SEP17	S	2	PD22DEC17	10535.00	11659.02	USD	-13,488.24	
22SEP17	S	1	PD22DEC17	10540.00	11659.02	USD	-6,714.12	
22SEP17	S	1	PD22DEC17	10480.00	11659.02	USD	-7,074.12	
	NET	0 AVG BGHT 10599.55	SOLD 10530.00				-4,590.00	0.00
25SEP17	B	1	PD27DEC17	10575.00	11662.83	USD	6,526.98	
25SEP17	B	1	PD27DEC17	10580.00	11662.83	USD	6,496.98	
25SEP17	B	1	PD27DEC17	10585.00	11662.83	USD	6,466.98	
25SEP17	B	2	PD27DEC17	10545.00	11662.83	USD	13,413.96	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0034H

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
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backoffice@sapiencap.com

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
25SEP17	B	1	PD27DEC17	10540.00	11662.83	USD	6,736.98	
25SEP17	B	1	PD27DEC17	10475.00	11662.83	USD	7,126.98	
25SEP17	B	1	PD27DEC17	10530.00	11662.83	USD	6,796.98	
25SEP17	S	1	PD27DEC17	10550.00	11662.83	USD	-6,676.98	
25SEP17	B	1	PD27DEC17	10485.00	11662.83	USD	7,066.98	
25SEP17	B	1	PD27DEC17	10490.00	11662.83	USD	7,036.98	
25SEP17	B	1	PD27DEC17	10520.00	11662.83	USD	6,856.98	
25SEP17	B	1	PD27DEC17	10510.00	11662.83	USD	6,916.98	
25SEP17	B	1	PD27DEC17	10470.00	11662.83	USD	7,156.98	
25SEP17	B	1	PD27DEC17	10450.00	11662.83	USD	7,276.98	
25SEP17	S	1	PD27DEC17	10520.00	11662.83	USD	-6,856.98	
25SEP17	S	1	PD27DEC17	10525.00	11662.83	USD	-6,826.98	
25SEP17	S	3	PD27DEC17	10515.00	11662.83	USD	-20,660.94	
25SEP17	S	1	PD27DEC17	10510.00	11662.83	USD	-6,916.98	
25SEP17	B	2	PD27DEC17	10550.00	11662.83	USD	13,353.96	
25SEP17	S	2	PD27DEC17	10540.00	11662.83	USD	-13,473.96	
25SEP17	S	1	PD27DEC17	10580.00	11662.83	USD	-6,496.98	
25SEP17	S	1	PD27DEC17	10585.00	11662.83	USD	-6,466.98	
26SEP17	S	1	PD27DEC17	10640.00	11662.83	USD	-6,136.98	
26SEP17	S	1	PD27DEC17	10630.00	11662.83	USD	-6,196.98	
26SEP17	S	4	PD27DEC17	10580.00	11662.83	USD	-25,987.92	
26SEP17	B	2	PD27DEC17	10590.00	11662.83	USD	12,873.96	
26SEP17	S	1	PD27DEC17	10615.00	11662.83	USD	-6,286.98	
26SEP17	S	1	PD27DEC17	10605.00	11662.83	USD	-6,346.98	
26SEP17	B	1	PD27DEC17	10595.00	11662.83	USD	6,406.98	
26SEP17	B	1	PD27DEC17	10600.00	11662.83	USD	6,376.98	
26SEP17	S	2	PD27DEC17	10515.00	11662.83	USD	-13,773.96	
26SEP17	S	1	PD27DEC17	10535.00	11662.83	USD	-6,766.98	
26SEP17	B	2	PD27DEC17	10500.00	11662.83	USD	13,953.96	
27SEP17	B	1	PD27DEC17	10535.00	11662.83	USD	6,766.98	
27SEP17	B	2	PD27DEC17	10540.00	11662.83	USD	13,473.96	
27SEP17	B	1	PD27DEC17	10335.00	11662.83	USD	7,966.98	
27SEP17	B	3	PD27DEC17	10290.00	11662.83	USD	24,710.94	
27SEP17	S	1	PD27DEC17	10295.00	11662.83	USD	-8,206.98	
27SEP17	S	1	PD27DEC17	10355.00	11662.83	USD	-7,846.98	
27SEP17	S	1	PD27DEC17	10360.00	11662.83	USD	-7,816.98	
27SEP17	S	1	PD27DEC17	10385.00	11662.83	USD	-7,666.98	
27SEP17	S	1	PD27DEC17	10280.00	11662.83	USD	-8,296.98	
04OCT17	S	2	PD27DEC17	10607.53	11662.83	USD	-12,663.60	
	NET	0AVG BGHT 10503.28	SOLD 10522.76				3,390.36	0.00
28SEP17	S	1	PD28DEC17	10250.00	11663.59	USD	-8,481.54	
28SEP17	B	1	PD28DEC17	10275.00	11663.59	USD	8,331.54	
28SEP17	S	1	PD28DEC17	10335.00	11663.59	USD	-7,971.54	
28SEP17	B	2	PD28DEC17	10250.00	11663.59	USD	16,963.08	
28SEP17	B	1	PD28DEC17	10265.00	11663.59	USD	8,391.54	
28SEP17	B	1	PD28DEC17	10260.00	11663.59	USD	8,421.54	
28SEP17	B	1	PD28DEC17	10335.00	11663.59	USD	7,971.54	
28SEP17	S	1	PD28DEC17	10395.00	11663.59	USD	-7,611.54	
28SEP17	S	1	PD28DEC17	10355.00	11663.59	USD	-7,851.54	
28SEP17	S	3	PD28DEC17	10360.00	11663.59	USD	-23,464.62	
28SEP17	S	1	PD28DEC17	10340.00	11663.59	USD	-7,941.54	
04OCT17	B	2	PD28DEC17	10609.47	11663.59	USD	12,649.44	
	NET	0AVG BGHT 10356.74	SOLD 10344.38				-593.64	0.00
29SEP17	S	1	PD29DEC17	10590.00	11664.35	USD	-6,446.10	
29SEP17	S	1	PD29DEC17	10635.00	11664.35	USD	-6,176.10	
29SEP17	S	1	PD29DEC17	10645.00	11664.35	USD	-6,116.10	
29SEP17	S	1	PD29DEC17	10660.00	11664.35	USD	-6,026.10	

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
29SEP17	S	1	PD29DEC17	10665.00	11664.35	USD	-5,996.10	
29SEP17	S	1	PD29DEC17	10715.00	11664.35	USD	-5,696.10	
29SEP17	B	3	PD29DEC17	10640.00	11664.35	USD	18,438.30	
29SEP17	B	1	PD29DEC17	10670.00	11664.35	USD	5,966.10	
29SEP17	B	2	PD29DEC17	10625.00	11664.35	USD	12,472.20	
29SEP17	S	1	PD29DEC17	10695.00	11664.35	USD	-5,816.10	
29SEP17	S	2	PD29DEC17	10700.00	11664.35	USD	-11,572.20	
29SEP17	B	1	PD29DEC17	10655.00	11664.35	USD	6,056.10	
29SEP17	B	1	PD29DEC17	10710.00	11664.35	USD	5,726.10	
29SEP17	B	1	PD29DEC17	10730.00	11664.35	USD	5,606.10	
29SEP17	B	1	PD29DEC17	10700.00	11664.35	USD	5,786.10	
29SEP17	B	1	PD29DEC17	10480.00	11664.35	USD	7,106.10	
29SEP17	B	1	PD29DEC17	10490.00	11664.35	USD	7,046.10	
29SEP17	B	1	PD29DEC17	10530.00	11664.35	USD	6,806.10	
29SEP17	B	1	PD29DEC17	10620.00	11664.35	USD	6,266.10	
29SEP17	B	1	PD29DEC17	10495.00	11664.35	USD	7,016.10	
29SEP17	S	2	PD29DEC17	10510.00	11664.35	USD	-13,852.20	
29SEP17	S	1	PD29DEC17	10495.00	11664.35	USD	-7,016.10	
29SEP17	S	2	PD29DEC17	10515.00	11664.35	USD	-13,792.20	
29SEP17	S	1	PD29DEC17	10530.00	11664.35	USD	-6,806.10	
29SEP17	B	1	PD29DEC17	10510.00	11664.35	USD	6,926.10	
29SEP17	S	1	PD29DEC17	10500.00	11664.35	USD	-6,986.10	
	NET	0AVG BGHT 10610.00	SOLD 10598.75				-1,080.00	0.00
03OCT17	B	1	PD03JAN18	10495.00	11668.15	USD	7,038.90	
03OCT17	B	1	PD03JAN18	10530.00	11668.15	USD	6,828.90	
03OCT17	S	1	PD03JAN18	10495.00	11668.15	USD	-7,038.90	
03OCT17	S	1	PD03JAN18	10530.00	11668.15	USD	-6,828.90	
03OCT17	S	1	PD03JAN18	10555.00	11668.15	USD	-6,678.90	
03OCT17	S	1	PD03JAN18	10585.00	11668.15	USD	-6,498.90	
03OCT17	B	1	PD03JAN18	10680.00	11668.15	USD	5,928.90	
03OCT17	S	1	PD03JAN18	10660.00	11668.15	USD	-6,048.90	
03OCT17	S	1	PD03JAN18	10695.00	11668.15	USD	-5,838.90	
03OCT17	S	2	PD03JAN18	10740.00	11668.15	USD	-11,137.80	
03OCT17	S	1	PD03JAN18	10745.00	11668.15	USD	-5,538.90	
03OCT17	S	1	PD03JAN18	10730.00	11668.15	USD	-5,628.90	
03OCT17	S	1	PD03JAN18	10750.00	11668.15	USD	-5,508.90	
03OCT17	S	2	PD03JAN18	10760.00	11668.15	USD	-10,897.80	
03OCT17	S	1	PD03JAN18	10765.00	11668.15	USD	-5,418.90	
03OCT17	B	2	PD03JAN18	10675.00	11668.15	USD	11,917.80	
03OCT17	B	3	PD03JAN18	10670.00	11668.15	USD	17,966.70	
03OCT17	S	1	PD03JAN18	10755.00	11668.15	USD	-5,478.90	
03OCT17	B	2	PD03JAN18	10580.00	11668.15	USD	13,057.80	
03OCT17	B	2	PD03JAN18	10585.00	11668.15	USD	12,997.80	
03OCT17	B	1	PD03JAN18	10590.00	11668.15	USD	6,468.90	
03OCT17	B	1	PD03JAN18	10595.00	11668.15	USD	6,438.90	
03OCT17	B	1	PD03JAN18	10620.00	11668.15	USD	6,288.90	
03OCT17	B	1	PD03JAN18	10625.00	11668.15	USD	6,258.90	
03OCT17	B	1	PD03JAN18	10635.00	11668.15	USD	6,198.90	
03OCT17	B	1	PD03JAN18	10645.00	11668.15	USD	6,138.90	
03OCT17	B	1	PD03JAN18	10565.00	11668.15	USD	6,618.90	
03OCT17	B	1	PD03JAN18	10575.00	11668.15	USD	6,558.90	
03OCT17	S	3	PD03JAN18	10655.00	11668.15	USD	-18,236.70	
03OCT17	B	3	PD03JAN18	10650.00	11668.15	USD	18,326.70	
03OCT17	B	3	PD03JAN18	10660.00	11668.15	USD	18,146.70	
03OCT17	S	1	PD03JAN18	10665.00	11668.15	USD	-6,018.90	
03OCT17	S	2	PD03JAN18	10670.00	11668.15	USD	-11,977.80	
03OCT17	B	5	PD03JAN18	10665.00	11668.15	USD	30,094.50	

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030CT17	S	2	PD03JAN18	10550.00	11668.15	USD	-13,417.80	
030CT17	S	1	PD03JAN18	10565.00	11668.15	USD	-6,618.90	
030CT17	S	1	PD03JAN18	10575.00	11668.15	USD	-6,558.90	
030CT17	S	1	PD03JAN18	10600.00	11668.15	USD	-6,408.90	
030CT17	S	5	PD03JAN18	10700.00	11668.15	USD	-29,044.50	
	NET	0AVG BGHT 10629.03	SOLD 10663.71				6,450.00	0.00
040CT17	B	1	PD04JAN18	10765.00	11668.91	USD	5,423.46	
040CT17	S	1	PD04JAN18	10820.00	11668.91	USD	-5,093.46	
040CT17	B	1	PD04JAN18	10665.00	11668.91	USD	6,023.46	
040CT17	S	1	PD04JAN18	10665.00	11668.91	USD	-6,023.46	
040CT17	B	1	PD04JAN18	10650.00	11668.91	USD	6,113.46	
040CT17	S	1	PD04JAN18	10725.00	11668.91	USD	-5,663.46	
040CT17	S	1	PD04JAN18	10805.00	11668.91	USD	-5,183.46	
040CT17	S	4	PD04JAN18	10795.00	11668.91	USD	-20,973.84	
040CT17	S	3	PD04JAN18	10810.00	11668.91	USD	-15,460.38	
040CT17	S	1	PD04JAN18	10770.00	11668.91	USD	-5,393.46	
040CT17	S	1	PD04JAN18	10735.00	11668.91	USD	-5,603.46	
040CT17	B	2	PD04JAN18	10735.00	11668.91	USD	11,206.92	
040CT17	B	1	PD04JAN18	10730.00	11668.91	USD	5,633.46	
040CT17	B	1	PD04JAN18	10725.00	11668.91	USD	5,663.46	
040CT17	B	1	PD04JAN18	10670.00	11668.91	USD	5,993.46	
040CT17	B	1	PD04JAN18	10685.00	11668.91	USD	5,903.46	
040CT17	B	1	PD04JAN18	10690.00	11668.91	USD	5,873.46	
040CT17	B	1	PD04JAN18	10695.00	11668.91	USD	5,843.46	
040CT17	B	2	PD04JAN18	10700.00	11668.91	USD	11,626.92	
040CT17	B	1	PD04JAN18	10705.00	11668.91	USD	5,783.46	
040CT17	B	1	PD04JAN18	10660.00	11668.91	USD	6,053.46	
040CT17	B	2	PD04JAN18	10675.00	11668.91	USD	11,926.92	
040CT17	B	2	PD04JAN18	10680.00	11668.91	USD	11,866.92	
040CT17	B	4	PD04JAN18	10655.00	11668.91	USD	24,333.84	
040CT17	B	2	PD04JAN18	10620.00	11668.91	USD	12,586.92	
040CT17	S	4	PD04JAN18	10600.00	11668.91	USD	-25,653.84	
040CT17	S	4	PD04JAN18	10605.00	11668.91	USD	-25,533.84	
040CT17	S	4	PD04JAN18	10590.00	11668.91	USD	-25,893.84	
	NET	0AVG BGHT 10683.20	SOLD 10692.40				1,380.00	0.00
050CT17	B	1	PD05JAN18	10610.00	11669.67	USD	6,358.02	
050CT17	B	1	PD05JAN18	10615.00	11669.67	USD	6,328.02	
050CT17	B	1	PD05JAN18	10635.00	11669.67	USD	6,208.02	
050CT17	B	2	PD05JAN18	10630.00	11669.67	USD	12,476.04	
050CT17	B	1	PD05JAN18	10565.00	11669.67	USD	6,628.02	
050CT17	B	1	PD05JAN18	10570.00	11669.67	USD	6,598.02	
050CT17	S	1	PD05JAN18	10605.00	11669.67	USD	-6,388.02	
050CT17	B	2	PD05JAN18	10540.00	11669.67	USD	13,556.04	
050CT17	B	2	PD05JAN18	10545.00	11669.67	USD	13,496.04	
050CT17	S	1	PD05JAN18	10610.00	11669.67	USD	-6,358.02	
050CT17	S	1	PD05JAN18	10620.00	11669.67	USD	-6,298.02	
050CT17	S	1	PD05JAN18	10655.00	11669.67	USD	-6,088.02	
050CT17	S	1	PD05JAN18	10665.00	11669.67	USD	-6,028.02	
050CT17	S	2	PD05JAN18	10675.00	11669.67	USD	-11,936.04	
050CT17	S	1	PD05JAN18	10680.00	11669.67	USD	-5,938.02	
050CT17	S	1	PD05JAN18	10685.00	11669.67	USD	-5,908.02	
050CT17	S	1	PD05JAN18	10690.00	11669.67	USD	-5,878.02	
050CT17	B	1	PD05JAN18	10625.00	11669.67	USD	6,268.02	
050CT17	B	2	PD05JAN18	10640.00	11669.67	USD	12,356.04	
050CT17	B	2	PD05JAN18	10600.00	11669.67	USD	12,836.04	
050CT17	S	2	PD05JAN18	10600.00	11669.67	USD	-12,836.04	
050CT17	B	2	PD05JAN18	10585.00	11669.67	USD	13,016.04	

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05OCT17	B	2	PD05JAN18	10550.00	11669.67	USD	13,436.04	
05OCT17	B	3	PD05JAN18	10555.00	11669.67	USD	20,064.06	
05OCT17	B	2	PD05JAN18	10560.00	11669.67	USD	13,316.04	
05OCT17	B	2	PD05JAN18	10575.00	11669.67	USD	13,136.04	
05OCT17	B	2	PD05JAN18	10590.00	11669.67	USD	12,956.04	
05OCT17	S	3	PD05JAN18	10640.00	11669.67	USD	-18,534.06	
05OCT17	S	1	PD05JAN18	10645.00	11669.67	USD	-6,148.02	
05OCT17	S	1	PD05JAN18	10570.00	11669.67	USD	-6,598.02	
05OCT17	S	3	PD05JAN18	10575.00	11669.67	USD	-19,704.06	
05OCT17	S	3	PD05JAN18	10580.00	11669.67	USD	-19,614.06	
05OCT17	S	2	PD05JAN18	10585.00	11669.67	USD	-13,016.04	
05OCT17	S	3	PD05JAN18	10615.00	11669.67	USD	-18,984.06	
06OCT17	S	2	PD05JAN18	10650.00	11669.67	USD	-12,236.04	
06OCT17	S	2	PD05JAN18	10655.00	11669.67	USD	-12,176.04	
06OCT17	S	1	PD05JAN18	10645.00	11669.67	USD	-6,148.02	
06OCT17	B	2	PD05JAN18	10615.00	11669.67	USD	12,656.04	
06OCT17	B	1	PD05JAN18	10565.00	11669.67	USD	6,628.02	
06OCT17	B	1	PD05JAN18	10570.00	11669.67	USD	6,598.02	
06OCT17	S	1	PD05JAN18	10570.00	11669.67	USD	-6,598.02	
06OCT17	B	1	PD05JAN18	10540.00	11669.67	USD	6,778.02	
	NET	0AVG BGHT 10582.94	SOLD 10623.53				8,280.00	0.00
09OCT17	S	1	PD09JAN18	10805.00	11672.72	USD	-5,206.32	
09OCT17	S	1	PD09JAN18	10705.00	11672.72	USD	-5,806.32	
09OCT17	B	1	PD09JAN18	10765.00	11672.72	USD	5,446.32	
09OCT17	S	1	PD09JAN18	10785.00	11672.72	USD	-5,326.32	
09OCT17	S	1	PD09JAN18	10790.00	11672.72	USD	-5,296.32	
09OCT17	S	1	PD09JAN18	10800.00	11672.72	USD	-5,236.32	
09OCT17	B	1	PD09JAN18	10855.00	11672.72	USD	4,906.32	
09OCT17	S	3	PD09JAN18	10795.00	11672.72	USD	-15,798.96	
09OCT17	B	1	PD09JAN18	10845.00	11672.72	USD	4,966.32	
09OCT17	B	1	PD09JAN18	10835.00	11672.72	USD	5,026.32	
09OCT17	B	1	PD09JAN18	10820.00	11672.72	USD	5,116.32	
09OCT17	B	1	PD09JAN18	10790.00	11672.72	USD	5,296.32	
09OCT17	B	1	PD09JAN18	10760.00	11672.72	USD	5,476.32	
09OCT17	B	2	PD09JAN18	10785.00	11672.72	USD	10,652.64	
09OCT17	B	2	PD09JAN18	10795.00	11672.72	USD	10,532.64	
09OCT17	S	1	PD09JAN18	10905.00	11672.72	USD	-4,606.32	
09OCT17	S	1	PD09JAN18	10930.00	11672.72	USD	-4,456.32	
09OCT17	S	1	PD09JAN18	10945.00	11672.72	USD	-4,366.32	
09OCT17	S	1	PD09JAN18	10980.00	11672.72	USD	-4,156.32	
09OCT17	B	1	PD09JAN18	10975.00	11672.72	USD	4,186.32	
	NET	0AVG BGHT 10817.08	SOLD 10835.83				1,350.00	0.00
10OCT17	B	1	PD10JAN18	10845.00	11673.48	USD	4,970.88	
10OCT17	B	1	PD10JAN18	10870.00	11673.48	USD	4,820.88	
10OCT17	B	2	PD10JAN18	10875.00	11673.48	USD	9,581.76	
10OCT17	B	2	PD10JAN18	10880.00	11673.48	USD	9,521.76	
10OCT17	B	1	PD10JAN18	10885.00	11673.48	USD	4,730.88	
10OCT17	B	1	PD10JAN18	10890.00	11673.48	USD	4,700.88	
10OCT17	B	2	PD10JAN18	10895.00	11673.48	USD	9,341.76	
10OCT17	B	2	PD10JAN18	10915.00	11673.48	USD	9,101.76	
10OCT17	B	1	PD10JAN18	10945.00	11673.48	USD	4,370.88	
10OCT17	B	2	PD10JAN18	10950.00	11673.48	USD	8,681.76	
10OCT17	B	1	PD10JAN18	10955.00	11673.48	USD	4,310.88	
10OCT17	B	1	PD10JAN18	10960.00	11673.48	USD	4,280.88	
10OCT17	B	2	PD10JAN18	10965.00	11673.48	USD	8,501.76	
10OCT17	B	1	PD10JAN18	10975.00	11673.48	USD	4,190.88	
10OCT17	S	1	PD10JAN18	10900.00	11673.48	USD	-4,640.88	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0034H

13th October 2017

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backoffice@sapiencap.com

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
10OCT17	S	1	PD10JAN18	10905.00	11673.48	USD	-4,610.88	
10OCT17	S	1	PD10JAN18	10920.00	11673.48	USD	-4,520.88	
10OCT17	S	1	PD10JAN18	10925.00	11673.48	USD	-4,490.88	
10OCT17	S	1	PD10JAN18	10930.00	11673.48	USD	-4,460.88	
10OCT17	S	1	PD10JAN18	10940.00	11673.48	USD	-4,400.88	
10OCT17	S	1	PD10JAN18	10955.00	11673.48	USD	-4,310.88	
10OCT17	S	1	PD10JAN18	10975.00	11673.48	USD	-4,190.88	
10OCT17	S	1	PD10JAN18	11000.00	11673.48	USD	-4,040.88	
10OCT17	S	1	PD10JAN18	11015.00	11673.48	USD	-3,950.88	
10OCT17	S	1	PD10JAN18	11020.00	11673.48	USD	-3,920.88	
10OCT17	S	1	PD10JAN18	11025.00	11673.48	USD	-3,890.88	
10OCT17	S	3	PD10JAN18	11045.00	11673.48	USD	-11,312.64	
10OCT17	S	2	PD10JAN18	11030.00	11673.48	USD	-7,721.76	
10OCT17	S	2	PD10JAN18	11035.00	11673.48	USD	-7,661.76	
10OCT17	S	1	PD10JAN18	11040.00	11673.48	USD	-3,800.88	
	NET	0AVG BGHT 10914.25	SOLD 10990.75				9,180.00	0.00
11OCT17	B	1	PD11JAN18	10960.00	11674.24	USD	4,285.44	
11OCT17	B	1	PD11JAN18	10965.00	11674.24	USD	4,255.44	
11OCT17	B	4	PD11JAN18	10970.00	11674.24	USD	16,901.76	
11OCT17	B	1	PD11JAN18	10990.00	11674.24	USD	4,105.44	
11OCT17	S	2	PD11JAN18	10960.00	11674.24	USD	-8,570.88	
11OCT17	B	2	PD11JAN18	10950.00	11674.24	USD	8,690.88	
11OCT17	B	3	PD11JAN18	10945.00	11674.24	USD	13,126.32	
11OCT17	S	1	PD11JAN18	11015.00	11674.24	USD	-3,955.44	
11OCT17	S	1	PD11JAN18	11025.00	11674.24	USD	-3,895.44	
11OCT17	S	1	PD11JAN18	11030.00	11674.24	USD	-3,865.44	
11OCT17	B	1	PD11JAN18	10935.00	11674.24	USD	4,435.44	
11OCT17	B	2	PD11JAN18	10975.00	11674.24	USD	8,390.88	
11OCT17	S	2	PD11JAN18	11140.00	11674.24	USD	-6,410.88	
11OCT17	S	1	PD11JAN18	11160.00	11674.24	USD	-3,085.44	
11OCT17	S	2	PD11JAN18	11165.00	11674.24	USD	-6,110.88	
11OCT17	S	1	PD11JAN18	11170.00	11674.24	USD	-3,025.44	
11OCT17	S	4	PD11JAN18	11020.00	11674.24	USD	-15,701.76	
11OCT17	S	1	PD11JAN18	11150.00	11674.24	USD	-3,145.44	
11OCT17	B	1	PD11JAN18	11165.00	11674.24	USD	3,055.44	
11OCT17	B	2	PD11JAN18	11180.00	11674.24	USD	5,930.88	
11OCT17	S	2	PD11JAN18	11185.00	11674.24	USD	-5,870.88	
	NET	0AVG BGHT 10996.67	SOLD 11085.00				9,540.00	0.00
12OCT17	S	2	PD12JAN18	11170.00	11675.00	USD	-6,060.00	
12OCT17	S	1	PD12JAN18	11175.00	11675.00	USD	-3,000.00	
12OCT17	S	1	PD12JAN18	11165.00	11675.00	USD	-3,060.00	
12OCT17	S	1	PD12JAN18	11275.00	11675.00	USD	-2,400.00	
12OCT17	B	1	PD12JAN18	11260.00	11675.00	USD	2,490.00	
12OCT17	B	1	PD12JAN18	11220.00	11675.00	USD	2,730.00	
12OCT17	S	1	PD12JAN18	11220.00	11675.00	USD	-2,730.00	
12OCT17	S	1	PD12JAN18	11180.00	11675.00	USD	-2,970.00	
12OCT17	B	1	PD12JAN18	11235.00	11675.00	USD	2,640.00	
12OCT17	S	2	PD12JAN18	11350.00	11675.00	USD	-3,900.00	
12OCT17	S	1	PD12JAN18	11360.00	11675.00	USD	-1,890.00	
12OCT17	S	1	PD12JAN18	11375.00	11675.00	USD	-1,800.00	
12OCT17	S	1	PD12JAN18	11405.00	11675.00	USD	-1,620.00	
12OCT17	B	3	PD12JAN18	11400.00	11675.00	USD	4,950.00	
12OCT17	B	1	PD12JAN18	11390.00	11675.00	USD	1,710.00	
12OCT17	B	1	PD12JAN18	11415.00	11675.00	USD	1,560.00	
12OCT17	B	1	PD12JAN18	11410.00	11675.00	USD	1,590.00	
13OCT17	B	1	PD12JAN18	11445.00	11675.00	USD	1,380.00	
13OCT17	S	1	PD12JAN18	11450.00	11675.00	USD	-1,350.00	

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
13OCT17	B	2	PD12JAN18	11570.00	11675.00	USD	1,260.00	
13OCT17	S	3	PD12JAN18	11605.00	11675.00	USD	-1,260.00	
13OCT17	B	1	PD12JAN18	11640.00	11675.00	USD	210.00	
13OCT17	B	1	PD12JAN18	11645.00	11675.00	USD	180.00	
13OCT17	B	2	PD12JAN18	11650.00	11675.00	USD	300.00	
	NET	0AVG BGHT 11456.25	SOLD 11341.25				-11,040.00	0.00
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
17JUL17	B	2	PD17OCT17	2828.00	3294.00	USD	23,300.00	
17JUL17	S	1	PD17OCT17	2824.50	3294.00	USD	-11,737.50	
17JUL17	S	1	PD17OCT17	2831.00	3294.00	USD	-11,575.00	
17JUL17	S	2	PD17OCT17	2832.00	3294.00	USD	-23,100.00	
17JUL17	S	1	PD17OCT17	2832.50	3294.00	USD	-11,537.50	
17JUL17	B	1	PD17OCT17	2820.00	3294.00	USD	11,850.00	
17JUL17	B	1	PD17OCT17	2813.50	3294.00	USD	12,012.50	
17JUL17	S	1	PD17OCT17	2828.00	3294.00	USD	-11,650.00	
17JUL17	S	2	PD17OCT17	2828.50	3294.00	USD	-23,275.00	
17JUL17	S	1	PD17OCT17	2829.00	3294.00	USD	-11,625.00	
17JUL17	S	1	PD17OCT17	2838.00	3294.00	USD	-11,400.00	
17JUL17	S	1	PD17OCT17	2842.00	3294.00	USD	-11,300.00	
17JUL17	S	1	PD17OCT17	2843.50	3294.00	USD	-11,262.50	
17JUL17	S	1	PD17OCT17	2844.00	3294.00	USD	-11,250.00	
17JUL17	B	1	PD17OCT17	2822.50	3294.00	USD	11,787.50	
17JUL17	B	3	PD17OCT17	2824.50	3294.00	USD	35,212.50	
17JUL17	B	1	PD17OCT17	2828.50	3294.00	USD	11,637.50	
17JUL17	B	1	PD17OCT17	2829.00	3294.00	USD	11,625.00	
17JUL17	B	1	PD17OCT17	2833.50	3294.00	USD	11,512.50	
17JUL17	S	1	PD17OCT17	2834.50	3294.00	USD	-11,487.50	
17JUL17	S	2	PD17OCT17	2835.00	3294.00	USD	-22,950.00	
17JUL17	S	3	PD17OCT17	2835.50	3294.00	USD	-34,387.50	
17JUL17	S	1	PD17OCT17	2833.50	3294.00	USD	-11,512.50	
17JUL17	B	3	PD17OCT17	2818.00	3294.00	USD	35,700.00	
17JUL17	B	5	PD17OCT17	2819.50	3294.00	USD	59,312.50	
24JUL17	B	1	PD17OCT17	2788.86	3294.00	USD	12,628.50	
	NET	0AVG BGHT 2820.84	SOLD 2833.90				6,528.50	0.00
18JUL17	B	1	PD18OCT17	2822.50	3291.00	USD	11,712.50	
18JUL17	B	3	PD18OCT17	2823.00	3291.00	USD	35,100.00	
18JUL17	S	1	PD18OCT17	2823.00	3291.00	USD	-11,700.00	
18JUL17	S	1	PD18OCT17	2824.00	3291.00	USD	-11,675.00	
18JUL17	B	1	PD18OCT17	2791.50	3291.00	USD	12,487.50	
18JUL17	B	1	PD18OCT17	2795.50	3291.00	USD	12,387.50	
18JUL17	B	1	PD18OCT17	2796.50	3291.00	USD	12,362.50	
18JUL17	S	1	PD18OCT17	2798.00	3291.00	USD	-12,325.00	
18JUL17	S	1	PD18OCT17	2799.00	3291.00	USD	-12,300.00	
18JUL17	S	2	PD18OCT17	2802.50	3291.00	USD	-24,425.00	
18JUL17	S	1	PD18OCT17	2804.50	3291.00	USD	-12,162.50	
18JUL17	S	1	PD18OCT17	2803.50	3291.00	USD	-12,187.50	
18JUL17	S	1	PD18OCT17	2804.00	3291.00	USD	-12,175.00	
18JUL17	B	2	PD18OCT17	2799.50	3291.00	USD	24,575.00	
18JUL17	B	2	PD18OCT17	2801.00	3291.00	USD	24,500.00	
18JUL17	B	1	PD18OCT17	2797.00	3291.00	USD	12,350.00	
18JUL17	B	2	PD18OCT17	2785.00	3291.00	USD	25,300.00	
18JUL17	B	1	PD18OCT17	2778.00	3291.00	USD	12,825.00	
18JUL17	B	1	PD18OCT17	2783.00	3291.00	USD	12,700.00	
18JUL17	B	3	PD18OCT17	2800.00	3291.00	USD	36,825.00	
18JUL17	B	1	PD18OCT17	2793.00	3291.00	USD	12,450.00	

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18JUL17	B	1	PD18OCT17	2796.00	3291.00	USD	12,375.00	
18JUL17	S	1	PD18OCT17	2801.50	3291.00	USD	-12,237.50	
18JUL17	S	4	PD18OCT17	2784.00	3291.00	USD	-50,700.00	
18JUL17	S	1	PD18OCT17	2791.00	3291.00	USD	-12,500.00	
18JUL17	B	1	PD18OCT17	2784.50	3291.00	USD	12,662.50	
18JUL17	S	1	PD18OCT17	2792.00	3291.00	USD	-12,475.00	
18JUL17	S	2	PD18OCT17	2786.50	3291.00	USD	-25,225.00	
18JUL17	S	1	PD18OCT17	2785.00	3291.00	USD	-12,650.00	
18JUL17	S	1	PD18OCT17	2791.50	3291.00	USD	-12,487.50	
18JUL17	S	2	PD18OCT17	2787.50	3291.00	USD	-25,175.00	
18JUL17	S	1	PD18OCT17	2789.50	3291.00	USD	-12,537.50	
24JUL17	B	1	PD18OCT17	2789.00	3291.00	USD	12,550.00	
	NET	0AVG BGHT 2798.54	SOLD 2795.46				-1,775.00	0.00
19JUL17	B	2	PD19OCT17	2782.00	3286.50	USD	25,225.00	
19JUL17	S	1	PD19OCT17	2778.00	3286.50	USD	-12,712.50	
19JUL17	S	1	PD19OCT17	2778.50	3286.50	USD	-12,700.00	
19JUL17	S	1	PD19OCT17	2779.50	3286.50	USD	-12,675.00	
19JUL17	S	1	PD19OCT17	2783.50	3286.50	USD	-12,575.00	
19JUL17	S	1	PD19OCT17	2784.00	3286.50	USD	-12,562.50	
19JUL17	S	1	PD19OCT17	2785.50	3286.50	USD	-12,525.00	
19JUL17	B	1	PD19OCT17	2781.00	3286.50	USD	12,637.50	
19JUL17	B	2	PD19OCT17	2779.50	3286.50	USD	25,350.00	
19JUL17	B	1	PD19OCT17	2775.00	3286.50	USD	12,787.50	
19JUL17	B	1	PD19OCT17	2773.50	3286.50	USD	12,825.00	
19JUL17	B	1	PD19OCT17	2772.00	3286.50	USD	12,862.50	
19JUL17	B	1	PD19OCT17	2772.50	3286.50	USD	12,850.00	
19JUL17	B	1	PD19OCT17	2773.00	3286.50	USD	12,837.50	
19JUL17	S	2	PD19OCT17	2773.50	3286.50	USD	-25,650.00	
24JUL17	S	2	PD19OCT17	2787.30	3286.50	USD	-24,960.00	
	NET	0AVG BGHT 2777.00	SOLD 2781.06				1,015.00	0.00
20JUL17	B	2	PD20OCT17	2731.50	3282.00	USD	27,525.00	
20JUL17	S	1	PD20OCT17	2740.00	3282.00	USD	-13,550.00	
20JUL17	S	1	PD20OCT17	2741.50	3282.00	USD	-13,512.50	
20JUL17	S	1	PD20OCT17	2743.50	3282.00	USD	-13,462.50	
20JUL17	S	1	PD20OCT17	2746.00	3282.00	USD	-13,400.00	
20JUL17	S	1	PD20OCT17	2758.50	3282.00	USD	-13,087.50	
20JUL17	B	2	PD20OCT17	2741.00	3282.00	USD	27,050.00	
20JUL17	S	2	PD20OCT17	2744.00	3282.00	USD	-26,900.00	
20JUL17	S	1	PD20OCT17	2745.00	3282.00	USD	-13,425.00	
20JUL17	S	1	PD20OCT17	2745.50	3282.00	USD	-13,412.50	
20JUL17	S	1	PD20OCT17	2749.00	3282.00	USD	-13,325.00	
20JUL17	B	2	PD20OCT17	2737.00	3282.00	USD	27,250.00	
20JUL17	B	2	PD20OCT17	2739.50	3282.00	USD	27,125.00	
20JUL17	B	2	PD20OCT17	2739.00	3282.00	USD	27,150.00	
20JUL17	B	2	PD20OCT17	2741.50	3282.00	USD	27,025.00	
20JUL17	S	2	PD20OCT17	2739.50	3282.00	USD	-27,125.00	
20JUL17	B	1	PD20OCT17	2725.50	3282.00	USD	13,912.50	
20JUL17	B	1	PD20OCT17	2731.00	3282.00	USD	13,775.00	
20JUL17	B	1	PD20OCT17	2729.00	3282.00	USD	13,825.00	
20JUL17	B	1	PD20OCT17	2729.50	3282.00	USD	13,812.50	
20JUL17	B	2	PD20OCT17	2726.50	3282.00	USD	27,775.00	
21JUL17	S	1	PD20OCT17	2755.50	3282.00	USD	-13,162.50	
21JUL17	B	1	PD20OCT17	2761.00	3282.00	USD	13,025.00	
21JUL17	B	1	PD20OCT17	2761.50	3282.00	USD	13,012.50	
21JUL17	B	1	PD20OCT17	2762.00	3282.00	USD	13,000.00	
21JUL17	S	2	PD20OCT17	2759.00	3282.00	USD	-26,150.00	
21JUL17	B	1	PD20OCT17	2767.00	3282.00	USD	12,875.00	

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21JUL17	S	1	PD200CT17	2767.00	3282.00	USD	-12,875.00	
21JUL17	B	1	PD200CT17	2768.00	3282.00	USD	12,850.00	
21JUL17	S	1	PD200CT17	2767.50	3282.00	USD	-12,862.50	
21JUL17	S	1	PD200CT17	2764.50	3282.00	USD	-12,937.50	
21JUL17	S	1	PD200CT17	2753.00	3282.00	USD	-13,225.00	
21JUL17	S	4	PD200CT17	2752.50	3282.00	USD	-52,950.00	
	NET	0 AVG	BGHT 2741.15				5,625.00	0.00
24JUL17	B	1	PD240CT17	2775.50	3276.00	USD	12,512.50	
24JUL17	S	1	PD240CT17	2788.50	3276.00	USD	-12,187.50	
	NET	0 AVG	BGHT 2775.50				325.00	0.00
25JUL17	B	1	PD250CT17	2814.00	3273.00	USD	11,475.00	
25JUL17	S	4	PD250CT17	2821.50	3273.00	USD	-45,150.00	
25JUL17	S	1	PD250CT17	2823.00	3273.00	USD	-11,250.00	
25JUL17	B	4	PD250CT17	2826.50	3273.00	USD	44,650.00	
	NET	0 AVG	BGHT 2824.00				-275.00	0.00
26JUL17	B	1	PD260CT17	2843.50	3271.87	USD	10,709.25	
26JUL17	B	1	PD260CT17	2846.00	3271.87	USD	10,646.75	
26JUL17	B	1	PD260CT17	2847.00	3271.87	USD	10,621.75	
26JUL17	B	1	PD260CT17	2848.00	3271.87	USD	10,596.75	
26JUL17	B	1	PD260CT17	2849.00	3271.87	USD	10,571.75	
26JUL17	B	1	PD260CT17	2851.00	3271.87	USD	10,521.75	
26JUL17	S	1	PD260CT17	2831.00	3271.87	USD	-11,021.75	
26JUL17	S	1	PD260CT17	2833.00	3271.87	USD	-10,971.75	
26JUL17	S	1	PD260CT17	2838.00	3271.87	USD	-10,846.75	
26JUL17	B	1	PD260CT17	2819.00	3271.87	USD	11,321.75	
26JUL17	B	1	PD260CT17	2820.00	3271.87	USD	11,296.75	
26JUL17	S	3	PD260CT17	2822.00	3271.87	USD	-33,740.25	
26JUL17	S	1	PD260CT17	2825.00	3271.87	USD	-11,171.75	
26JUL17	S	1	PD260CT17	2817.50	3271.87	USD	-11,359.25	
26JUL17	B	1	PD260CT17	2825.00	3271.87	USD	11,171.75	
26JUL17	S	1	PD260CT17	2820.00	3271.87	USD	-11,296.75	
26JUL17	B	1	PD260CT17	2811.00	3271.87	USD	11,521.75	
26JUL17	S	3	PD260CT17	2826.00	3271.87	USD	-33,440.25	
26JUL17	B	1	PD260CT17	2844.50	3271.87	USD	10,684.25	
26JUL17	B	1	PD260CT17	2806.00	3271.87	USD	11,646.75	
26JUL17	B	1	PD260CT17	2809.00	3271.87	USD	11,571.75	
26JUL17	S	1	PD260CT17	2810.00	3271.87	USD	-11,546.75	
	NET	0 AVG	BGHT 2832.23				-2,512.50	0.00
27JUL17	S	1	PD270CT17	2804.50	3270.73	USD	-11,655.75	
27JUL17	S	1	PD270CT17	2805.00	3270.73	USD	-11,643.25	
27JUL17	S	1	PD270CT17	2806.00	3270.73	USD	-11,618.25	
27JUL17	S	3	PD270CT17	2808.00	3270.73	USD	-34,704.75	
27JUL17	S	1	PD270CT17	2810.00	3270.73	USD	-11,518.25	
27JUL17	B	1	PD270CT17	2821.00	3270.73	USD	11,243.25	
27JUL17	B	1	PD270CT17	2822.00	3270.73	USD	11,218.25	
27JUL17	B	5	PD270CT17	2823.00	3270.73	USD	55,966.25	
28JUL17	S	2	PD270CT17	2786.00	3270.73	USD	-24,236.50	
28JUL17	S	1	PD270CT17	2786.50	3270.73	USD	-12,105.75	
28JUL17	S	1	PD270CT17	2789.00	3270.73	USD	-12,043.25	
28JUL17	B	3	PD270CT17	2779.00	3270.73	USD	36,879.75	
28JUL17	B	1	PD270CT17	2774.50	3270.73	USD	12,405.75	
	NET	0 AVG	BGHT 2806.32				-1,812.50	0.00
31JUL17	B	1	PD310CT17	2819.00	3268.47	USD	11,236.75	
31JUL17	S	1	PD310CT17	2810.00	3268.47	USD	-11,461.75	
	NET	0 AVG	BGHT 2819.00				-225.00	0.00
01AUG17	B	1	PD01NOV17	2772.00	3267.33	USD	12,383.25	

OPEN POSITION STATEMENT



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SAP0034H

13th October 2017

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backoffice@sapiencap.com

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01AUG17	S	1	PD01NOV17	2771.00	3267.33	USD	-12,408.25	0.00	
	NET	0 AVG BGHT 2772.00	SOLD 2771.00				-25.00		
02AUG17	B	1	PD02NOV17	2769.50	3266.20	USD	12,417.50	0.00	
02AUG17	B	1	PD02NOV17	2774.00	3266.20	USD	12,305.00		
02AUG17	B	3	PD02NOV17	2764.50	3266.20	USD	37,627.50		
02AUG17	B	1	PD02NOV17	2762.50	3266.20	USD	12,592.50		
02AUG17	S	1	PD02NOV17	2765.50	3266.20	USD	-12,517.50		
02AUG17	S	3	PD02NOV17	2765.00	3266.20	USD	-37,590.00		
02AUG17	S	1	PD02NOV17	2766.50	3266.20	USD	-12,492.50		
02AUG17	S	1	PD02NOV17	2767.00	3266.20	USD	-12,480.00		
02AUG17	S	1	PD02NOV17	2767.50	3266.20	USD	-12,467.50		
02AUG17	S	2	PD02NOV17	2774.50	3266.20	USD	-24,585.00		
02AUG17	S	1	PD02NOV17	2776.50	3266.20	USD	-12,242.50		
02AUG17	S	2	PD02NOV17	2783.00	3266.20	USD	-24,160.00		
02AUG17	S	2	PD02NOV17	2783.50	3266.20	USD	-24,135.00		
02AUG17	S	1	PD02NOV17	2786.00	3266.20	USD	-12,005.00		
02AUG17	S	1	PD02NOV17	2792.50	3266.20	USD	-11,842.50		
02AUG17	B	1	PD02NOV17	2805.00	3266.20	USD	11,530.00		
02AUG17	B	1	PD02NOV17	2806.00	3266.20	USD	11,505.00		
07AUG17	B	8	PD02NOV17	2856.93	3266.20	USD	81,854.00		
	NET	0 AVG BGHT 2816.62	SOLD 2774.91				-16,686.00		0.00
03AUG17	B	1	PD03NOV17	2821.00	3265.07	USD	11,101.75		0.00
03AUG17	B	1	PD03NOV17	2822.50	3265.07	USD	11,064.25		
03AUG17	B	1	PD03NOV17	2808.00	3265.07	USD	11,426.75		
03AUG17	B	1	PD03NOV17	2811.00	3265.07	USD	11,351.75		
03AUG17	S	1	PD03NOV17	2814.00	3265.07	USD	-11,276.75		
03AUG17	S	1	PD03NOV17	2815.00	3265.07	USD	-11,251.75		
03AUG17	S	1	PD03NOV17	2816.50	3265.07	USD	-11,214.25		
03AUG17	S	1	PD03NOV17	2818.00	3265.07	USD	-11,176.75		
03AUG17	S	1	PD03NOV17	2817.00	3265.07	USD	-11,201.75		
03AUG17	B	3	PD03NOV17	2817.00	3265.07	USD	33,605.25		
03AUG17	B	1	PD03NOV17	2819.50	3265.07	USD	11,139.25		
03AUG17	B	1	PD03NOV17	2818.00	3265.07	USD	11,176.75		
03AUG17	B	4	PD03NOV17	2814.00	3265.07	USD	45,107.00		
04AUG17	S	2	PD03NOV17	2819.00	3265.07	USD	-22,303.50		
04AUG17	S	2	PD03NOV17	2833.00	3265.07	USD	-21,603.50		
04AUG17	S	1	PD03NOV17	2834.50	3265.07	USD	-10,764.25		
04AUG17	B	2	PD03NOV17	2822.00	3265.07	USD	22,153.50		
04AUG17	S	5	PD03NOV17	2828.00	3265.07	USD	-54,633.75		
04AUG17	S	1	PD03NOV17	2831.00	3265.07	USD	-10,851.75		
04AUG17	S	1	PD03NOV17	2831.50	3265.07	USD	-10,839.25		
04AUG17	B	1	PD03NOV17	2818.00	3265.07	USD	11,176.75		
04AUG17	B	3	PD03NOV17	2819.00	3265.07	USD	33,455.25		
04AUG17	B	2	PD03NOV17	2820.00	3265.07	USD	22,253.50		
04AUG17	B	2	PD03NOV17	2820.50	3265.07	USD	22,228.50		
04AUG17	B	4	PD03NOV17	2824.00	3265.07	USD	44,107.00		
04AUG17	B	2	PD03NOV17	2821.50	3265.07	USD	22,178.50		
04AUG17	B	1	PD03NOV17	2808.50	3265.07	USD	11,414.25		
04AUG17	S	1	PD03NOV17	2808.50	3265.07	USD	-11,414.25		
04AUG17	S	2	PD03NOV17	2813.00	3265.07	USD	-22,603.50		
04AUG17	S	2	PD03NOV17	2822.00	3265.07	USD	-22,153.50		
07AUG17	S	8	PD03NOV17	2856.94	3265.07	USD	-81,626.00		
	NET	0 AVG BGHT 2818.48	SOLD 2831.85				10,025.50	0.00	
07AUG17	B	2	PD07NOV17	2815.50	3262.80	USD	22,365.00	0.00	
07AUG17	S	2	PD07NOV17	2817.00	3262.80	USD	-22,290.00		
07AUG17	S	1	PD07NOV17	2820.50	3262.80	USD	-11,057.50		

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07AUG17	S	2	PD07NOV17	2821.50	3262.80	USD	-22,065.00	
07AUG17	S	1	PD07NOV17	2822.50	3262.80	USD	-11,007.50	
07AUG17	S	1	PD07NOV17	2823.50	3262.80	USD	-10,982.50	
07AUG17	S	1	PD07NOV17	2824.00	3262.80	USD	-10,970.00	
07AUG17	S	1	PD07NOV17	2827.00	3262.80	USD	-10,895.00	
07AUG17	S	1	PD07NOV17	2828.00	3262.80	USD	-10,870.00	
07AUG17	B	1	PD07NOV17	2817.00	3262.80	USD	11,145.00	
07AUG17	S	3	PD07NOV17	2825.00	3262.80	USD	-32,835.00	
07AUG17	B	1	PD07NOV17	2826.00	3262.80	USD	10,920.00	
07AUG17	B	2	PD07NOV17	2838.00	3262.80	USD	21,240.00	
07AUG17	S	1	PD07NOV17	2874.50	3262.80	USD	-9,707.50	
07AUG17	B	1	PD07NOV17	2878.00	3262.80	USD	9,620.00	
07AUG17	B	1	PD07NOV17	2879.00	3262.80	USD	9,595.00	
07AUG17	S	1	PD07NOV17	2886.50	3262.80	USD	-9,407.50	
07AUG17	B	3	PD07NOV17	2872.00	3262.80	USD	29,310.00	
07AUG17	B	1	PD07NOV17	2873.00	3262.80	USD	9,745.00	
07AUG17	B	1	PD07NOV17	2875.00	3262.80	USD	9,695.00	
07AUG17	B	2	PD07NOV17	2876.00	3262.80	USD	19,340.00	
07AUG17	B	1	PD07NOV17	2881.00	3262.80	USD	9,545.00	
07AUG17	B	1	PD07NOV17	2865.00	3262.80	USD	9,945.00	
07AUG17	B	1	PD07NOV17	2870.00	3262.80	USD	9,820.00	
10AUG17	S	3	PD07NOV17	2937.46	3262.80	USD	-24,400.50	
	NET	0 AVG BGHT 2857.72	SOLD 2848.38				-4,203.00	0.00
08AUG17	S	2	PD08NOV17	2877.00	3261.67	USD	-19,233.50	
08AUG17	S	2	PD08NOV17	2880.50	3261.67	USD	-19,058.50	
08AUG17	S	1	PD08NOV17	2874.00	3261.67	USD	-9,691.75	
08AUG17	S	1	PD08NOV17	2875.00	3261.67	USD	-9,666.75	
08AUG17	S	2	PD08NOV17	2876.50	3261.67	USD	-19,258.50	
08AUG17	S	1	PD08NOV17	2879.00	3261.67	USD	-9,566.75	
08AUG17	S	2	PD08NOV17	2887.50	3261.67	USD	-18,708.50	
08AUG17	B	1	PD08NOV17	2870.50	3261.67	USD	9,779.25	
08AUG17	B	2	PD08NOV17	2899.00	3261.67	USD	18,133.50	
08AUG17	B	2	PD08NOV17	2928.00	3261.67	USD	16,683.50	
08AUG17	B	2	PD08NOV17	2931.00	3261.67	USD	16,533.50	
08AUG17	S	1	PD08NOV17	2878.00	3261.67	USD	-9,591.75	
08AUG17	S	1	PD08NOV17	2900.00	3261.67	USD	-9,041.75	
08AUG17	B	1	PD08NOV17	2925.00	3261.67	USD	8,416.75	
08AUG17	S	1	PD08NOV17	2933.00	3261.67	USD	-8,216.75	
08AUG17	B	3	PD08NOV17	2929.00	3261.67	USD	24,950.25	
10AUG17	B	3	PD08NOV17	2937.84	3261.67	USD	24,287.25	
	NET	0 AVG BGHT 2922.29	SOLD 2884.43				-13,250.50	0.00
09AUG17	B	1	PD09NOV17	2968.50	3260.53	USD	7,300.75	
09AUG17	B	1	PD09NOV17	2977.50	3260.53	USD	7,075.75	
09AUG17	S	1	PD09NOV17	2953.00	3260.53	USD	-7,688.25	
09AUG17	S	1	PD09NOV17	2967.00	3260.53	USD	-7,338.25	
09AUG17	S	1	PD09NOV17	2968.50	3260.53	USD	-7,300.75	
09AUG17	S	2	PD09NOV17	2976.00	3260.53	USD	-14,226.50	
09AUG17	S	1	PD09NOV17	2978.50	3260.53	USD	-7,050.75	
09AUG17	S	4	PD09NOV17	2979.50	3260.53	USD	-28,103.00	
09AUG17	B	1	PD09NOV17	2962.00	3260.53	USD	7,463.25	
09AUG17	B	1	PD09NOV17	2946.00	3260.53	USD	7,863.25	
09AUG17	B	2	PD09NOV17	2947.00	3260.53	USD	15,676.50	
09AUG17	B	4	PD09NOV17	2950.00	3260.53	USD	31,053.00	
09AUG17	S	1	PD09NOV17	2958.00	3260.53	USD	-7,563.25	
09AUG17	S	1	PD09NOV17	2962.00	3260.53	USD	-7,463.25	
09AUG17	S	1	PD09NOV17	2953.50	3260.53	USD	-7,675.75	
09AUG17	S	3	PD09NOV17	2955.00	3260.53	USD	-22,914.75	

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09AUG17	S	2	PD09NOV17	2954.00	3260.53	USD	-15,326.50	
09AUG17	S	1	PD09NOV17	2967.50	3260.53	USD	-7,325.75	
09AUG17	B	2	PD09NOV17	2943.00	3260.53	USD	15,876.50	
09AUG17	B	1	PD09NOV17	2949.00	3260.53	USD	7,788.25	
09AUG17	S	1	PD09NOV17	2948.00	3260.53	USD	-7,813.25	
09AUG17	S	1	PD09NOV17	2944.50	3260.53	USD	-7,900.75	
09AUG17	S	1	PD09NOV17	2943.50	3260.53	USD	-7,925.75	
09AUG17	S	1	PD09NOV17	2941.50	3260.53	USD	-7,975.75	
09AUG17	B	1	PD09NOV17	2934.00	3260.53	USD	8,163.25	
09AUG17	B	1	PD09NOV17	2935.50	3260.53	USD	8,125.75	
09AUG17	B	1	PD09NOV17	2933.00	3260.53	USD	8,188.25	
09AUG17	B	1	PD09NOV17	2935.00	3260.53	USD	8,138.25	
09AUG17	B	2	PD09NOV17	2936.00	3260.53	USD	16,226.50	
09AUG17	B	2	PD09NOV17	2937.00	3260.53	USD	16,176.50	
14AUG17	B	2	PD09NOV17	2916.20	3260.53	USD	17,216.50	
	NET	0 AVG BGHT 2943.43	SOLD 2962.11				10,740.00	0.00
10AUG17	B	1	PD10NOV17	2939.00	3259.40	USD	8,010.00	
10AUG17	S	2	PD10NOV17	2940.00	3259.40	USD	-15,970.00	
10AUG17	S	2	PD10NOV17	2940.50	3259.40	USD	-15,945.00	
10AUG17	B	3	PD10NOV17	2935.00	3259.40	USD	24,330.00	
10AUG17	S	2	PD10NOV17	2935.00	3259.40	USD	-16,220.00	
10AUG17	S	1	PD10NOV17	2938.50	3259.40	USD	-8,022.50	
10AUG17	S	3	PD10NOV17	2941.50	3259.40	USD	-23,842.50	
10AUG17	B	2	PD10NOV17	2938.00	3259.40	USD	16,070.00	
10AUG17	B	1	PD10NOV17	2928.00	3259.40	USD	8,285.00	
11AUG17	B	1	PD10NOV17	2895.00	3259.40	USD	9,110.00	
11AUG17	B	3	PD10NOV17	2897.00	3259.40	USD	27,180.00	
11AUG17	B	2	PD10NOV17	2898.00	3259.40	USD	18,070.00	
11AUG17	S	1	PD10NOV17	2908.50	3259.40	USD	-8,772.50	
11AUG17	B	1	PD10NOV17	2898.50	3259.40	USD	9,022.50	
11AUG17	S	1	PD10NOV17	2897.50	3259.40	USD	-9,047.50	
11AUG17	S	1	PD10NOV17	2905.00	3259.40	USD	-8,860.00	
11AUG17	S	2	PD10NOV17	2907.50	3259.40	USD	-17,595.00	
11AUG17	S	1	PD10NOV17	2914.00	3259.40	USD	-8,635.00	
11AUG17	S	1	PD10NOV17	2916.50	3259.40	USD	-8,572.50	
11AUG17	S	1	PD10NOV17	2918.00	3259.40	USD	-8,535.00	
11AUG17	S	2	PD10NOV17	2921.50	3259.40	USD	-16,895.00	
11AUG17	S	2	PD10NOV17	2908.00	3259.40	USD	-17,570.00	
11AUG17	B	1	PD10NOV17	2903.50	3259.40	USD	8,897.50	
11AUG17	B	1	PD10NOV17	2904.00	3259.40	USD	8,885.00	
11AUG17	B	3	PD10NOV17	2905.00	3259.40	USD	26,580.00	
11AUG17	B	1	PD10NOV17	2906.00	3259.40	USD	8,835.00	
11AUG17	B	2	PD10NOV17	2906.50	3259.40	USD	17,645.00	
11AUG17	B	2	PD10NOV17	2908.50	3259.40	USD	17,545.00	
14AUG17	S	2	PD10NOV17	2916.16	3259.40	USD	-17,162.00	
	NET	0 AVG BGHT 2911.96	SOLD 2923.33				6,820.50	0.00
14AUG17	S	2	PD14NOV17	2914.50	3257.13	USD	-17,131.50	
14AUG17	B	1	PD14NOV17	2902.00	3257.13	USD	8,878.25	
14AUG17	B	1	PD14NOV17	2904.00	3257.13	USD	8,828.25	
	NET	0 AVG BGHT 2903.00	SOLD 2914.50				575.00	0.00
21AUG17	S	1	PD21NOV17	3169.00	3249.25	USD	-2,006.25	
21AUG17	B	1	PD21NOV17	3147.00	3249.25	USD	2,556.25	
21AUG17	B	1	PD21NOV17	3160.50	3249.25	USD	2,218.75	
21AUG17	B	1	PD21NOV17	3145.00	3249.25	USD	2,606.25	
21AUG17	S	4	PD21NOV17	3140.00	3249.25	USD	-10,925.00	
21AUG17	S	1	PD21NOV17	3141.50	3249.25	USD	-2,693.75	

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21AUG17	S	1	PD21NOV17	3142.00	3249.25	USD	-2,681.25	
21AUG17	S	1	PD21NOV17	3158.00	3249.25	USD	-2,281.25	
21AUG17	B	1	PD21NOV17	3144.00	3249.25	USD	2,631.25	
21AUG17	B	1	PD21NOV17	3132.50	3249.25	USD	2,918.75	
21AUG17	B	1	PD21NOV17	3138.00	3249.25	USD	2,781.25	
21AUG17	B	2	PD21NOV17	3140.00	3249.25	USD	5,462.50	
21AUG17	B	1	PD21NOV17	3133.50	3249.25	USD	2,893.75	
21AUG17	B	1	PD21NOV17	3135.50	3249.25	USD	2,843.75	
21AUG17	S	1	PD21NOV17	3137.50	3249.25	USD	-2,793.75	
21AUG17	S	1	PD21NOV17	3138.50	3249.25	USD	-2,768.75	
	NET	0 AVG BGHT 3141.60	SOLD 3144.65				762.50	0.00
22AUG17	B	1	PD22NOV17	3118.00	3248.38	USD	3,259.50	
22AUG17	B	4	PD22NOV17	3140.00	3248.38	USD	10,838.00	
22AUG17	S	1	PD22NOV17	3118.00	3248.38	USD	-3,259.50	
22AUG17	S	2	PD22NOV17	3118.50	3248.38	USD	-6,494.00	
22AUG17	S	1	PD22NOV17	3149.00	3248.38	USD	-2,484.50	
22AUG17	S	1	PD22NOV17	3147.50	3248.38	USD	-2,522.00	
22AUG17	S	1	PD22NOV17	3146.00	3248.38	USD	-2,559.50	
22AUG17	S	1	PD22NOV17	3159.50	3248.38	USD	-2,222.00	
22AUG17	B	1	PD22NOV17	3148.50	3248.38	USD	2,497.00	
22AUG17	S	2	PD22NOV17	3152.00	3248.38	USD	-4,819.00	
22AUG17	B	1	PD22NOV17	3144.00	3248.38	USD	2,609.50	
22AUG17	B	1	PD22NOV17	3146.00	3248.38	USD	2,559.50	
22AUG17	B	1	PD22NOV17	3142.50	3248.38	USD	2,647.00	
22AUG17	B	1	PD22NOV17	3145.50	3248.38	USD	2,572.00	
22AUG17	S	2	PD22NOV17	3148.00	3248.38	USD	-5,019.00	
22AUG17	S	7	PD22NOV17	3162.50	3248.38	USD	-15,029.00	
22AUG17	B	4	PD22NOV17	3130.00	3248.38	USD	11,838.00	
22AUG17	B	1	PD22NOV17	3131.00	3248.38	USD	2,934.50	
22AUG17	B	1	PD22NOV17	3143.00	3248.38	USD	2,634.50	
22AUG17	B	1	PD22NOV17	3147.50	3248.38	USD	2,522.00	
22AUG17	B	1	PD22NOV17	3121.00	3248.38	USD	3,184.50	
22AUG17	B	1	PD22NOV17	3119.00	3248.38	USD	3,234.50	
22AUG17	B	2	PD22NOV17	3122.00	3248.38	USD	6,319.00	
22AUG17	S	2	PD22NOV17	3113.50	3248.38	USD	-6,744.00	
22AUG17	S	2	PD22NOV17	3114.00	3248.38	USD	-6,719.00	
22AUG17	B	1	PD22NOV17	3102.00	3248.38	USD	3,659.50	
	NET	0 AVG BGHT 3133.27	SOLD 3143.16				5,437.50	0.00
23AUG17	S	3	PD24NOV17	3118.00	3247.50	USD	-9,712.50	
23AUG17	S	3	PD24NOV17	3119.00	3247.50	USD	-9,637.50	
23AUG17	S	1	PD24NOV17	3119.50	3247.50	USD	-3,200.00	
23AUG17	S	1	PD24NOV17	3120.50	3247.50	USD	-3,175.00	
23AUG17	B	1	PD24NOV17	3123.50	3247.50	USD	3,100.00	
23AUG17	S	1	PD24NOV17	3124.00	3247.50	USD	-3,087.50	
23AUG17	B	1	PD24NOV17	3119.50	3247.50	USD	3,200.00	
23AUG17	B	2	PD24NOV17	3120.50	3247.50	USD	6,350.00	
23AUG17	B	2	PD24NOV17	3118.50	3247.50	USD	6,450.00	
23AUG17	B	1	PD24NOV17	3122.00	3247.50	USD	3,137.50	
23AUG17	B	2	PD24NOV17	3130.00	3247.50	USD	5,875.00	
23AUG17	S	1	PD24NOV17	3127.50	3247.50	USD	-3,000.00	
23AUG17	S	1	PD24NOV17	3129.50	3247.50	USD	-2,950.00	
23AUG17	S	3	PD24NOV17	3129.00	3247.50	USD	-8,887.50	
23AUG17	B	1	PD24NOV17	3141.50	3247.50	USD	2,650.00	
23AUG17	S	1	PD24NOV17	3133.00	3247.50	USD	-2,862.50	
23AUG17	S	1	PD24NOV17	3133.50	3247.50	USD	-2,850.00	
23AUG17	S	1	PD24NOV17	3141.00	3247.50	USD	-2,662.50	
23AUG17	S	1	PD24NOV17	3141.50	3247.50	USD	-2,650.00	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0034H

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

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FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
23AUG17	B	1	PD24NOV17	3135.00	3247.50	USD	2,812.50	
23AUG17	B	1	PD24NOV17	3136.00	3247.50	USD	2,787.50	
23AUG17	S	1	PD24NOV17	3135.50	3247.50	USD	-2,800.00	
23AUG17	S	2	PD24NOV17	3118.50	3247.50	USD	-6,450.00	
23AUG17	B	1	PD24NOV17	3121.00	3247.50	USD	3,162.50	
23AUG17	B	3	PD24NOV17	3123.00	3247.50	USD	9,337.50	
23AUG17	B	2	PD24NOV17	3118.00	3247.50	USD	6,475.00	
23AUG17	B	5	PD24NOV17	3120.00	3247.50	USD	15,937.50	
23AUG17	B	4	PD24NOV17	3115.00	3247.50	USD	13,250.00	
23AUG17	B	1	PD24NOV17	3090.00	3247.50	USD	3,937.50	
23AUG17	B	1	PD24NOV17	3096.00	3247.50	USD	3,787.50	
23AUG17	B	1	PD24NOV17	3109.00	3247.50	USD	3,462.50	
23AUG17	S	1	PD24NOV17	3103.50	3247.50	USD	-3,600.00	
23AUG17	S	1	PD24NOV17	3109.00	3247.50	USD	-3,462.50	
23AUG17	S	2	PD24NOV17	3112.00	3247.50	USD	-6,775.00	
23AUG17	B	1	PD24NOV17	3099.00	3247.50	USD	3,712.50	
23AUG17	B	1	PD24NOV17	3100.00	3247.50	USD	3,687.50	
23AUG17	B	1	PD24NOV17	3103.00	3247.50	USD	3,612.50	
23AUG17	B	1	PD24NOV17	3098.50	3247.50	USD	3,725.00	
23AUG17	S	2	PD24NOV17	3105.00	3247.50	USD	-7,125.00	
23AUG17	S	1	PD24NOV17	3106.00	3247.50	USD	-3,537.50	
23AUG17	S	1	PD24NOV17	3107.50	3247.50	USD	-3,500.00	
23AUG17	S	1	PD24NOV17	3108.00	3247.50	USD	-3,487.50	
23AUG17	S	4	PD24NOV17	3109.50	3247.50	USD	-13,800.00	
	NET	0	AVG BGHT 3117.56	SOLD 3119.01			1,237.50	0.00
30AUG17	S	1	PD30NOV17	3143.50	3246.50	USD	-2,575.00	
30AUG17	S	1	PD30NOV17	3147.00	3246.50	USD	-2,487.50	
30AUG17	S	2	PD30NOV17	3149.50	3246.50	USD	-4,850.00	
30AUG17	S	1	PD30NOV17	3150.50	3246.50	USD	-2,400.00	
30AUG17	B	2	PD30NOV17	3116.00	3246.50	USD	6,525.00	
30AUG17	B	1	PD30NOV17	3109.50	3246.50	USD	3,425.00	
30AUG17	S	1	PD30NOV17	3116.00	3246.50	USD	-3,262.50	
30AUG17	B	1	PD30NOV17	3097.00	3246.50	USD	3,737.50	
30AUG17	B	1	PD30NOV17	3106.50	3246.50	USD	3,500.00	
30AUG17	S	2	PD30NOV17	3104.00	3246.50	USD	-7,125.00	
30AUG17	B	1	PD30NOV17	3101.00	3246.50	USD	3,637.50	
30AUG17	S	1	PD30NOV17	3106.50	3246.50	USD	-3,500.00	
30AUG17	S	1	PD30NOV17	3107.00	3246.50	USD	-3,487.50	
30AUG17	S	1	PD30NOV17	3108.50	3246.50	USD	-3,450.00	
31AUG17	S	2	PD30NOV17	3110.00	3246.50	USD	-6,825.00	
31AUG17	S	1	PD30NOV17	3105.50	3246.50	USD	-3,525.00	
31AUG17	S	1	PD30NOV17	3106.50	3246.50	USD	-3,500.00	
31AUG17	S	1	PD30NOV17	3125.00	3246.50	USD	-3,037.50	
31AUG17	B	1	PD30NOV17	3124.00	3246.50	USD	3,062.50	
31AUG17	B	2	PD30NOV17	3127.00	3246.50	USD	5,975.00	
31AUG17	S	2	PD30NOV17	3157.00	3246.50	USD	-4,475.00	
31AUG17	B	1	PD30NOV17	3154.00	3246.50	USD	2,312.50	
31AUG17	B	1	PD30NOV17	3132.00	3246.50	USD	2,862.50	
31AUG17	B	2	PD30NOV17	3144.00	3246.50	USD	5,125.00	
31AUG17	B	1	PD30NOV17	3144.50	3246.50	USD	2,550.00	
31AUG17	B	1	PD30NOV17	3145.00	3246.50	USD	2,537.50	
31AUG17	B	1	PD30NOV17	3146.00	3246.50	USD	2,512.50	
31AUG17	B	1	PD30NOV17	3148.00	3246.50	USD	2,462.50	
06SEP17	B	1	PD30NOV17	3093.50	3246.50	USD	3,825.00	
	NET	0	AVG BGHT 3126.39	SOLD 3125.39			-450.00	0.00
01SEP17	B	2	PD01DEC17	3145.00	3246.25	USD	5,062.50	
01SEP17	B	1	PD01DEC17	3163.50	3246.25	USD	2,068.75	

OPEN POSITION STATEMENT



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SAP0034H

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
01SEP17	S	1	PD01DEC17	3163.00	3246.25	USD	-2,081.25	
01SEP17	S	1	PD01DEC17	3163.50	3246.25	USD	-2,068.75	
01SEP17	B	1	PD01DEC17	3163.00	3246.25	USD	2,081.25	
01SEP17	S	2	PD01DEC17	3160.00	3246.25	USD	-4,312.50	
01SEP17	B	1	PD01DEC17	3160.50	3246.25	USD	2,143.75	
01SEP17	B	2	PD01DEC17	3155.00	3246.25	USD	4,562.50	
01SEP17	B	3	PD01DEC17	3155.50	3246.25	USD	6,806.25	
01SEP17	B	1	PD01DEC17	3154.50	3246.25	USD	2,293.75	
01SEP17	B	1	PD01DEC17	3157.00	3246.25	USD	2,231.25	
01SEP17	S	6	PD01DEC17	3153.00	3246.25	USD	-13,987.50	
01SEP17	B	2	PD01DEC17	3151.00	3246.25	USD	4,762.50	
01SEP17	B	2	PD01DEC17	3150.00	3246.25	USD	4,812.50	
01SEP17	B	1	PD01DEC17	3137.50	3246.25	USD	2,718.75	
01SEP17	S	1	PD01DEC17	3155.50	3246.25	USD	-2,268.75	
01SEP17	S	3	PD01DEC17	3156.50	3246.25	USD	-6,731.25	
01SEP17	S	2	PD01DEC17	3162.00	3246.25	USD	-4,212.50	
01SEP17	B	4	PD01DEC17	3174.00	3246.25	USD	7,225.00	
01SEP17	S	1	PD01DEC17	3167.00	3246.25	USD	-1,981.25	
01SEP17	S	1	PD01DEC17	3172.00	3246.25	USD	-1,856.25	
01SEP17	S	1	PD01DEC17	3175.50	3246.25	USD	-1,768.75	
01SEP17	S	1	PD01DEC17	3179.50	3246.25	USD	-1,668.75	
06SEP17	S	1	PD01DEC17	3093.55	3246.25	USD	-3,817.50	
	NET	0AVG BGHT 3157.17	SOLD 3157.19				13.75	0.00
04SEP17	S	2	PD04DEC17	3203.00	3246.00	USD	-2,150.00	
04SEP17	B	2	PD04DEC17	3194.50	3246.00	USD	2,575.00	
	NET	0AVG BGHT 3194.50	SOLD 3203.00				425.00	0.00
05SEP17	B	1	PD05DEC17	3196.00	3245.75	USD	1,243.75	
05SEP17	B	1	PD05DEC17	3192.00	3245.75	USD	1,343.75	
05SEP17	S	2	PD05DEC17	3196.50	3245.75	USD	-2,462.50	
05SEP17	S	1	PD05DEC17	3198.50	3245.75	USD	-1,181.25	
05SEP17	B	1	PD05DEC17	3191.00	3245.75	USD	1,368.75	
05SEP17	S	1	PD05DEC17	3192.50	3245.75	USD	-1,331.25	
05SEP17	B	1	PD05DEC17	3182.00	3245.75	USD	1,593.75	
05SEP17	S	1	PD05DEC17	3144.50	3245.75	USD	-2,531.25	
05SEP17	B	1	PD05DEC17	3143.50	3245.75	USD	2,556.25	
	NET	0AVG BGHT 3180.90	SOLD 3185.70				600.00	0.00
06SEP17	B	1	PD06DEC17	3068.00	3245.50	USD	4,437.50	
06SEP17	B	1	PD06DEC17	3089.00	3245.50	USD	3,912.50	
06SEP17	B	1	PD06DEC17	3105.50	3245.50	USD	3,500.00	
06SEP17	S	1	PD06DEC17	3079.50	3245.50	USD	-4,150.00	
06SEP17	S	1	PD06DEC17	3090.00	3245.50	USD	-3,887.50	
06SEP17	B	1	PD06DEC17	3087.50	3245.50	USD	3,950.00	
06SEP17	B	1	PD06DEC17	3106.50	3245.50	USD	3,475.00	
06SEP17	B	1	PD06DEC17	3108.00	3245.50	USD	3,437.50	
06SEP17	B	1	PD06DEC17	3080.00	3245.50	USD	4,137.50	
06SEP17	B	2	PD06DEC17	3081.00	3245.50	USD	8,225.00	
06SEP17	B	1	PD06DEC17	3078.50	3245.50	USD	4,175.00	
06SEP17	B	1	PD06DEC17	3076.50	3245.50	USD	4,225.00	
06SEP17	S	1	PD06DEC17	3085.50	3245.50	USD	-4,000.00	
06SEP17	S	4	PD06DEC17	3087.00	3245.50	USD	-15,850.00	
06SEP17	S	2	PD06DEC17	3088.50	3245.50	USD	-7,850.00	
06SEP17	S	1	PD06DEC17	3089.00	3245.50	USD	-3,912.50	
06SEP17	S	2	PD06DEC17	3080.00	3245.50	USD	-8,275.00	
06SEP17	B	1	PD06DEC17	3087.00	3245.50	USD	3,962.50	
06SEP17	B	1	PD06DEC17	3085.00	3245.50	USD	4,012.50	
06SEP17	S	1	PD06DEC17	3096.50	3245.50	USD	-3,725.00	

OPEN POSITION STATEMENT



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SAP0034H

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>								
	NET	0 AVG	BGHT	3087.19	SOLD 3086.58			-200.00	0.00
07SEP17	B	1	PD07DEC17		3079.50	3245.25	USD	4,143.75	
07SEP17	B	2	PD07DEC17		3083.00	3245.25	USD	8,112.50	
07SEP17	S	3	PD07DEC17		3092.50	3245.25	USD	-11,456.25	
07SEP17	S	2	PD07DEC17		3094.00	3245.25	USD	-7,562.50	
07SEP17	S	1	PD07DEC17		3096.00	3245.25	USD	-3,731.25	
07SEP17	S	1	PD07DEC17		3097.50	3245.25	USD	-3,693.75	
07SEP17	S	1	PD07DEC17		3119.50	3245.25	USD	-3,143.75	
07SEP17	S	1	PD07DEC17		3118.00	3245.25	USD	-3,181.25	
07SEP17	S	1	PD07DEC17		3119.00	3245.25	USD	-3,156.25	
07SEP17	B	1	PD07DEC17		3115.00	3245.25	USD	3,256.25	
07SEP17	B	1	PD07DEC17		3117.00	3245.25	USD	3,206.25	
07SEP17	B	2	PD07DEC17		3119.00	3245.25	USD	6,312.50	
07SEP17	B	3	PD07DEC17		3123.00	3245.25	USD	9,168.75	
	NET	0 AVG	BGHT	3108.45	SOLD 3101.55			-1,725.00	0.00
08SEP17	B	3	PD08DEC17		3141.00	3245.00	USD	7,800.00	
08SEP17	S	1	PD08DEC17		3135.50	3245.00	USD	-2,737.50	
08SEP17	B	1	PD08DEC17		3120.00	3245.00	USD	3,125.00	
08SEP17	B	1	PD08DEC17		3121.50	3245.00	USD	3,087.50	
08SEP17	B	1	PD08DEC17		3123.00	3245.00	USD	3,050.00	
08SEP17	S	1	PD08DEC17		3122.50	3245.00	USD	-3,062.50	
08SEP17	B	1	PD08DEC17		3103.50	3245.00	USD	3,537.50	
08SEP17	B	1	PD08DEC17		3104.00	3245.00	USD	3,525.00	
08SEP17	B	1	PD08DEC17		3088.50	3245.00	USD	3,912.50	
08SEP17	B	1	PD08DEC17		3090.00	3245.00	USD	3,875.00	
08SEP17	S	2	PD08DEC17		3093.50	3245.00	USD	-7,575.00	
08SEP17	B	1	PD08DEC17		3084.50	3245.00	USD	4,012.50	
08SEP17	S	1	PD08DEC17		3091.50	3245.00	USD	-3,837.50	
08SEP17	B	1	PD08DEC17		3070.50	3245.00	USD	4,362.50	
08SEP17	B	1	PD08DEC17		3074.00	3245.00	USD	4,275.00	
08SEP17	S	1	PD08DEC17		3085.50	3245.00	USD	-3,987.50	
08SEP17	S	3	PD08DEC17		3092.00	3245.00	USD	-11,475.00	
08SEP17	S	2	PD08DEC17		3094.00	3245.00	USD	-7,550.00	
08SEP17	B	1	PD08DEC17		3069.50	3245.00	USD	4,387.50	
08SEP17	B	2	PD08DEC17		3072.00	3245.00	USD	8,650.00	
08SEP17	S	1	PD08DEC17		3069.50	3245.00	USD	-4,387.50	
08SEP17	S	1	PD08DEC17		3070.00	3245.00	USD	-4,375.00	
08SEP17	S	1	PD08DEC17		3065.00	3245.00	USD	-4,500.00	
08SEP17	S	1	PD08DEC17		3062.00	3245.00	USD	-4,575.00	
08SEP17	S	1	PD08DEC17		3064.00	3245.00	USD	-4,525.00	
	NET	0 AVG	BGHT	3101.00	SOLD 3088.53			-4,987.50	0.00
11SEP17	S	1	PD11DEC17		3072.50	3244.75	USD	-4,306.25	
11SEP17	S	1	PD11DEC17		3094.50	3244.75	USD	-3,756.25	
11SEP17	B	1	PD11DEC17		3098.00	3244.75	USD	3,668.75	
11SEP17	B	1	PD11DEC17		3100.00	3244.75	USD	3,618.75	
	NET	0 AVG	BGHT	3099.00	SOLD 3083.50			-775.00	0.00
12SEP17	S	1	PD12DEC17		3082.00	3244.50	USD	-4,062.50	
12SEP17	B	1	PD12DEC17		3088.50	3244.50	USD	3,900.00	
12SEP17	B	1	PD12DEC17		3089.50	3244.50	USD	3,875.00	
12SEP17	B	1	PD12DEC17		3091.00	3244.50	USD	3,837.50	
12SEP17	B	1	PD12DEC17		3091.50	3244.50	USD	3,825.00	
12SEP17	B	1	PD12DEC17		3092.00	3244.50	USD	3,812.50	
12SEP17	B	1	PD12DEC17		3093.50	3244.50	USD	3,775.00	
12SEP17	B	1	PD12DEC17		3082.00	3244.50	USD	4,062.50	
12SEP17	B	4	PD12DEC17		3090.00	3244.50	USD	15,450.00	
12SEP17	S	2	PD12DEC17		3089.00	3244.50	USD	-7,775.00	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0034H

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
12SEP17	S	1	PD12DEC17	3090.00	3244.50	USD	-3,862.50	
12SEP17	S	2	PD12DEC17	3091.50	3244.50	USD	-7,650.00	
12SEP17	S	1	PD12DEC17	3090.50	3244.50	USD	-3,850.00	
12SEP17	S	1	PD12DEC17	3079.50	3244.50	USD	-4,125.00	
12SEP17	S	4	PD12DEC17	3081.00	3244.50	USD	-16,350.00	
12SEP17	B	1	PD12DEC17	3068.00	3244.50	USD	4,412.50	
12SEP17	B	3	PD12DEC17	3069.50	3244.50	USD	13,125.00	
12SEP17	B	2	PD12DEC17	3070.00	3244.50	USD	8,725.00	
12SEP17	B	1	PD12DEC17	3067.50	3244.50	USD	4,425.00	
12SEP17	B	1	PD12DEC17	3070.50	3244.50	USD	4,350.00	
12SEP17	B	1	PD12DEC17	3063.00	3244.50	USD	4,537.50	
12SEP17	S	1	PD12DEC17	3061.00	3244.50	USD	-4,587.50	
12SEP17	S	1	PD12DEC17	3061.50	3244.50	USD	-4,575.00	
12SEP17	S	1	PD12DEC17	3065.00	3244.50	USD	-4,487.50	
12SEP17	S	3	PD12DEC17	3080.00	3244.50	USD	-12,337.50	
12SEP17	S	1	PD12DEC17	3064.00	3244.50	USD	-4,512.50	
12SEP17	S	2	PD12DEC17	3057.00	3244.50	USD	-9,375.00	
12SEP17	B	1	PD12DEC17	3071.00	3244.50	USD	4,337.50	
	NET	0AVG BGHT 3079.83	SOLD 3077.74				-1,100.00	0.00
13SEP17	S	1	PD13DEC17	3060.00	3244.25	USD	-4,606.25	
13SEP17	B	2	PD13DEC17	3052.00	3244.25	USD	9,612.50	
13SEP17	B	1	PD13DEC17	3045.00	3244.25	USD	4,981.25	
13SEP17	S	1	PD13DEC17	3044.50	3244.25	USD	-4,993.75	
13SEP17	B	1	PD13DEC17	3050.00	3244.25	USD	4,856.25	
13SEP17	B	1	PD13DEC17	3031.00	3244.25	USD	5,331.25	
13SEP17	S	2	PD13DEC17	3033.00	3244.25	USD	-10,562.50	
13SEP17	S	1	PD13DEC17	3036.00	3244.25	USD	-5,206.25	
13SEP17	B	1	PD13DEC17	3026.00	3244.25	USD	5,456.25	
13SEP17	S	1	PD13DEC17	3029.50	3244.25	USD	-5,368.75	
	NET	0AVG BGHT 3042.67	SOLD 3039.33				-500.00	0.00
14SEP17	B	2	PD14DEC17	3038.00	3244.00	USD	10,300.00	
14SEP17	B	1	PD14DEC17	3002.50	3244.00	USD	6,037.50	
14SEP17	B	1	PD14DEC17	3000.00	3244.00	USD	6,100.00	
14SEP17	B	1	PD14DEC17	3002.00	3244.00	USD	6,050.00	
14SEP17	S	4	PD14DEC17	3003.00	3244.00	USD	-24,100.00	
14SEP17	S	1	PD14DEC17	3008.00	3244.00	USD	-5,900.00	
14SEP17	B	2	PD14DEC17	2998.50	3244.00	USD	12,275.00	
14SEP17	S	1	PD14DEC17	2987.50	3244.00	USD	-6,412.50	
14SEP17	S	1	PD14DEC17	2991.50	3244.00	USD	-6,312.50	
	NET	0AVG BGHT 3011.07	SOLD 2999.86				-1,962.50	0.00
15SEP17	S	1	PD15DEC17	3017.00	3243.75	USD	-5,668.75	
15SEP17	B	1	PD15DEC17	3012.50	3243.75	USD	5,781.25	
15SEP17	B	1	PD15DEC17	2999.50	3243.75	USD	6,106.25	
15SEP17	S	1	PD15DEC17	3006.00	3243.75	USD	-5,943.75	
	NET	0AVG BGHT 3006.00	SOLD 3011.50				275.00	0.00
18SEP17	B	2	PD18DEC17	3067.50	3243.50	USD	8,800.00	
18SEP17	B	1	PD18DEC17	3067.00	3243.50	USD	4,412.50	
18SEP17	B	2	PD18DEC17	3068.50	3243.50	USD	8,750.00	
18SEP17	S	1	PD18DEC17	3071.00	3243.50	USD	-4,312.50	
18SEP17	S	1	PD18DEC17	3073.00	3243.50	USD	-4,262.50	
18SEP17	S	1	PD18DEC17	3076.00	3243.50	USD	-4,187.50	
18SEP17	S	1	PD18DEC17	3084.00	3243.50	USD	-3,987.50	
18SEP17	S	1	PD18DEC17	3080.50	3243.50	USD	-4,075.00	
18SEP17	S	1	PD18DEC17	3081.50	3243.50	USD	-4,050.00	
18SEP17	S	1	PD18DEC17	3085.50	3243.50	USD	-3,950.00	
18SEP17	S	2	PD18DEC17	3093.00	3243.50	USD	-7,525.00	

OPEN POSITION STATEMENT



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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
18SEP17	B	1	PD18DEC17	3085.50	3243.50	USD	3,950.00	
18SEP17	B	2	PD18DEC17	3064.00	3243.50	USD	8,975.00	
18SEP17	B	1	PD18DEC17	3068.00	3243.50	USD	4,387.50	
	NET	0AVG BGHT 3068.94	SOLD 3081.94				2,925.00	0.00
20SEP17	B	1	PD20DEC17	3118.50	3243.00	USD	3,112.50	
20SEP17	B	1	PD20DEC17	3123.50	3243.00	USD	2,987.50	
20SEP17	S	2	PD20DEC17	3131.00	3243.00	USD	-5,600.00	
20SEP17	S	1	PD20DEC17	3134.00	3243.00	USD	-2,725.00	
20SEP17	B	1	PD20DEC17	3121.00	3243.00	USD	3,050.00	
20SEP17	B	2	PD20DEC17	3140.50	3243.00	USD	5,125.00	
20SEP17	S	1	PD20DEC17	3137.00	3243.00	USD	-2,650.00	
20SEP17	S	1	PD20DEC17	3139.50	3243.00	USD	-2,587.50	
20SEP17	S	1	PD20DEC17	3145.00	3243.00	USD	-2,450.00	
20SEP17	S	1	PD20DEC17	3146.00	3243.00	USD	-2,425.00	
20SEP17	B	2	PD20DEC17	3150.00	3243.00	USD	4,650.00	
20SEP17	S	3	PD20DEC17	3151.00	3243.00	USD	-6,900.00	
20SEP17	S	1	PD20DEC17	3150.50	3243.00	USD	-2,312.50	
20SEP17	S	1	PD20DEC17	3152.00	3243.00	USD	-2,275.00	
20SEP17	B	1	PD20DEC17	3136.00	3243.00	USD	2,675.00	
20SEP17	B	2	PD20DEC17	3138.00	3243.00	USD	5,250.00	
20SEP17	B	1	PD20DEC17	3139.00	3243.00	USD	2,600.00	
20SEP17	B	1	PD20DEC17	3141.00	3243.00	USD	2,550.00	
	NET	0AVG BGHT 3136.33	SOLD 3143.25				2,075.00	0.00
21SEP17	B	1	PD21DEC17	3066.00	3240.00	USD	4,350.00	
21SEP17	B	1	PD21DEC17	3073.00	3240.00	USD	4,175.00	
21SEP17	S	1	PD21DEC17	3072.50	3240.00	USD	-4,187.50	
21SEP17	S	1	PD21DEC17	3074.50	3240.00	USD	-4,137.50	
	NET	0AVG BGHT 3069.50	SOLD 3073.50				200.00	0.00
22SEP17	B	1	PD22DEC17	3031.00	3239.62	USD	5,215.50	
22SEP17	B	1	PD22DEC17	3010.00	3239.62	USD	5,740.50	
22SEP17	B	1	PD22DEC17	3013.00	3239.62	USD	5,665.50	
22SEP17	S	2	PD22DEC17	3022.50	3239.62	USD	-10,856.00	
22SEP17	B	1	PD22DEC17	3012.00	3239.62	USD	5,690.50	
22SEP17	S	1	PD22DEC17	3023.50	3239.62	USD	-5,403.00	
22SEP17	S	1	PD22DEC17	3023.00	3239.62	USD	-5,415.50	
	NET	0AVG BGHT 3016.50	SOLD 3022.88				637.50	0.00
27SEP17	S	2	PD27DEC17	3112.50	3239.23	USD	-6,336.50	
27SEP17	S	3	PD27DEC17	3115.00	3239.23	USD	-9,317.25	
27SEP17	S	1	PD27DEC17	3142.00	3239.23	USD	-2,430.75	
27SEP17	B	1	PD27DEC17	3138.00	3239.23	USD	2,530.75	
27SEP17	B	1	PD27DEC17	3141.00	3239.23	USD	2,455.75	
27SEP17	B	1	PD27DEC17	3134.50	3239.23	USD	2,618.25	
27SEP17	B	1	PD27DEC17	3125.50	3239.23	USD	2,843.25	
27SEP17	B	1	PD27DEC17	3126.50	3239.23	USD	2,818.25	
27SEP17	B	1	PD27DEC17	3126.00	3239.23	USD	2,830.75	
	NET	0AVG BGHT 3131.92	SOLD 3118.67				-1,987.50	0.00
29SEP17	S	1	PD29DEC17	3147.00	3238.46	USD	-2,286.50	
29SEP17	B	1	PD29DEC17	3140.00	3238.46	USD	2,461.50	
29SEP17	B	1	PD29DEC17	3143.00	3238.46	USD	2,386.50	
29SEP17	B	1	PD29DEC17	3145.00	3238.46	USD	2,336.50	
29SEP17	S	1	PD29DEC17	3152.00	3238.46	USD	-2,161.50	
29SEP17	S	4	PD29DEC17	3153.50	3238.46	USD	-8,496.00	
29SEP17	S	1	PD29DEC17	3159.50	3238.46	USD	-1,974.00	
29SEP17	S	1	PD29DEC17	3160.00	3238.46	USD	-1,961.50	
29SEP17	S	1	PD29DEC17	3167.00	3238.46	USD	-1,786.50	
29SEP17	B	3	PD29DEC17	3152.00	3238.46	USD	6,484.50	

OPEN POSITION STATEMENT



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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
29SEP17	S	1	PD29DEC17	3150.00	3238.46	USD	-2,211.50	
29SEP17	B	1	PD29DEC17	3146.50	3238.46	USD	2,299.00	
29SEP17	S	1	PD29DEC17	3149.50	3238.46	USD	-2,224.00	
29SEP17	S	2	PD29DEC17	3148.00	3238.46	USD	-4,523.00	
29SEP17	S	2	PD29DEC17	3162.50	3238.46	USD	-3,798.00	
29SEP17	S	2	PD29DEC17	3150.50	3238.46	USD	-4,398.00	
29SEP17	S	2	PD29DEC17	3151.00	3238.46	USD	-4,373.00	
29SEP17	S	1	PD29DEC17	3151.50	3238.46	USD	-2,174.00	
29SEP17	B	1	PD29DEC17	3159.50	3238.46	USD	1,974.00	
29SEP17	B	1	PD29DEC17	3160.00	3238.46	USD	1,961.50	
29SEP17	B	2	PD29DEC17	3158.50	3238.46	USD	3,998.00	
29SEP17	B	2	PD29DEC17	3150.00	3238.46	USD	4,423.00	
29SEP17	B	4	PD29DEC17	3147.50	3238.46	USD	9,096.00	
29SEP17	B	3	PD29DEC17	3148.50	3238.46	USD	6,747.00	
	NET	0AVG BGHT 3150.13	SOLD 3153.73				1,800.00	0.00
03OCT17	S	1	PD03JAN18	3223.00	3237.69	USD	-367.25	
03OCT17	S	1	PD03JAN18	3225.00	3237.69	USD	-317.25	
03OCT17	S	1	PD03JAN18	3229.00	3237.69	USD	-217.25	
03OCT17	S	1	PD03JAN18	3235.50	3237.69	USD	-54.75	
03OCT17	S	1	PD03JAN18	3237.00	3237.69	USD	-17.25	
03OCT17	B	1	PD03JAN18	3255.00	3237.69	USD	-432.75	
03OCT17	B	1	PD03JAN18	3256.00	3237.69	USD	-457.75	
03OCT17	S	1	PD03JAN18	3240.50	3237.69	USD	70.25	
03OCT17	S	1	PD03JAN18	3253.50	3237.69	USD	395.25	
03OCT17	B	1	PD03JAN18	3260.00	3237.69	USD	-557.75	
03OCT17	B	1	PD03JAN18	3258.50	3237.69	USD	-520.25	
03OCT17	B	1	PD03JAN18	3257.00	3237.69	USD	-482.75	
03OCT17	B	1	PD03JAN18	3257.50	3237.69	USD	-495.25	
03OCT17	B	1	PD03JAN18	3275.00	3237.69	USD	-932.75	
03OCT17	B	1	PD03JAN18	3275.50	3237.69	USD	-945.25	
03OCT17	B	1	PD03JAN18	3276.00	3237.69	USD	-957.75	
03OCT17	S	1	PD03JAN18	3272.50	3237.69	USD	870.25	
03OCT17	S	1	PD03JAN18	3275.00	3237.69	USD	932.75	
	NET	0AVG BGHT 3263.39	SOLD 3243.44				-4,487.50	0.00
09OCT17	B	2	PD09JAN18	3244.00	3236.15	USD	-392.50	
09OCT17	S	1	PD09JAN18	3255.00	3236.15	USD	471.25	
09OCT17	B	1	PD09JAN18	3249.00	3236.15	USD	-321.25	
09OCT17	S	1	PD09JAN18	3250.50	3236.15	USD	358.75	
09OCT17	S	1	PD09JAN18	3234.00	3236.15	USD	-53.75	
	NET	0AVG BGHT 3245.67	SOLD 3246.50				62.50	0.00

OPEN POSITION VALUE

		USD
LME Aluminium 25 Tonnes.	FUTURES	-200.00
LME Lead 25 tonnes. This is an	FUTURES	21,628.25
LME Nickel 6 tonnes. This is	FUTURES	-506.22
LME Zinc 25 tonnes. This is	FUTURES	-833.75

OPEN POSITION STATEMENT



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MARKET REVALUATION BREAKDOWN

Unrealised Profits & Losses - Forwards			Amount	Profit / Loss	Total Amount	Total P&L
ALUL	- Futures	USD	-200.00	-200.00		
LEAD	- Futures	USD	21,628.25	21,628.25		
NKL	- Futures	USD	-506.22	-506.22		
ZINC	- Futures	USD	-833.75	-833.75	20,088.28	20,088.28

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	-21,704.30	-21,704.30
Variation Margins	0.00	0.00
- Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	-21,704.30	-21,704.30
Initial Margins	0.00	0.00
Excess/(Shortage)	-21,704.30	-21,704.30
FOR INFORMATION ONLY		
Forward Unrealised P&L	20,088.28	20,088.28
Net Liquidating Value	-1,616.02	-1,616.02

OPEN POSITION STATEMENT



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Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
<u>CME EURODOLLAR 2,500 CME</u>						
10OCT17	S 75 DEC17	98.4850	98.5050	USD	-3,750.00	
	NET -75 AVG SOLD 98.4850				-3,750.00	0.00
13OCT17	B 10 DEC19	97.9300	97.9600	USD	750.00	
13OCT17	B 50 DEC19	97.9150	97.9600	USD	5,625.00	
13OCT17	B 50 DEC19	97.9700	97.9600	USD	-1,250.00	
13OCT17	B 55 DEC19	97.9650	97.9600	USD	-687.50	
13OCT17	B 245 DEC19	97.9600	97.9600	USD	0.00	
	NET +410 AVG BGHT 97.9557				4,437.50	0.00
13OCT17	S 10 DEC20	97.8000	97.8400	USD	-1,000.00	
13OCT17	S 50 DEC20	97.7900	97.8400	USD	-6,250.00	
13OCT17	S 50 DEC20	97.8500	97.8400	USD	1,250.00	
13OCT17	S 55 DEC20	97.8450	97.8400	USD	687.50	
13OCT17	S 245 DEC20	97.8400	97.8400	USD	0.00	
	NET -410 AVG SOLD 97.8348				-5,312.50	0.00
<u>CME EURO FX 125,000 CME</u>						
11OCT17	S 2 DEC17	1.18970	1.18580	USD	975.00	
12OCT17	S 1 DEC17	1.18755	1.18580	USD	218.75	
	NET -3 AVG SOLD 1.18898				1,193.75	0.00
<u>CME E-MINI S&P 500 50 CME</u>						
12SEP17	S 1 DEC17	2492.00	2552.80	USD	-3,040.00	
15SEP17	S 1 DEC17	2491.50	2552.80	USD	-3,065.00	
20SEP17	S 1 DEC17	2503.75	2552.80	USD	-2,452.50	
22SEP17	S 1 DEC17	2496.75	2552.80	USD	-2,802.50	
25SEP17	S 2 DEC17	2488.25	2552.80	USD	-6,455.00	
27SEP17	S 2 DEC17	2497.75	2552.80	USD	-5,505.00	
29SEP17	S 2 DEC17	2510.00	2552.80	USD	-4,280.00	
29SEP17	S 6 DEC17	2512.00	2552.80	USD	-12,240.00	
10OCT17	S 1 DEC17	2546.50	2552.80	USD	-315.00	
10OCT17	S 1 DEC17	2543.25	2552.80	USD	-477.50	
11OCT17	S 2 DEC17	2546.50	2552.80	USD	-630.00	
	NET -20 AVG SOLD 2511.54				-41,262.50	0.00

OPEN POSITION VALUE

		USD
CME Eurodollar	FUTURES	18,342,062.50
CME Euro FX	FUTURES	445,868.75
CME E-Mini S&P 500	FUTURES	2,511,537.50

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options	Amount	Profit / Loss	Total Amount	Total P&L
EURO - Futures USD	-4,625.00	-4,625.00		
EUSD - Futures USD	1,193.75	1,193.75		
MSNP - Futures USD	-41,262.50	-41,262.50	-44,693.75	-44,693.75

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ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		-103,543.05	-103,543.05
Variation Margins	- Futures	-44,693.75	-44,693.75
	- Options	0.00	0.00
TOTAL EQUITY		-148,236.80	-148,236.80
Initial Margins		-186,640.00	-186,640.00
Excess/(Shortage)		-334,876.80	-334,876.80
FOR INFORMATION ONLY			
Net Liquidating Value		-148,236.80	-148,236.80

OPEN POSITION STATEMENT



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Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>CNX NIFTY (INDIA) INDEX 2 SIM</u>					
02OCT17	B 8 OCT17	9831.00	10211.00	USD	6,080.00	
02OCT17	B 10 OCT17	9831.50	10211.00	USD	7,590.00	
04OCT17	B 10 OCT17	9881.00	10211.00	USD	6,600.00	
04OCT17	B 10 OCT17	9884.00	10211.00	USD	6,540.00	
04OCT17	B 2 OCT17	9881.50	10211.00	USD	1,318.00	
04OCT17	B 5 OCT17	9883.00	10211.00	USD	3,280.00	
04OCT17	B 13 OCT17	9885.00	10211.00	USD	8,476.00	
	NET +58 AVG BGHT 9867.17				39,884.00	0.00
	<u>CME EURODOLLAR 2,500 CME</u>					
28FEB17	B 20 DEC19	97.7350	97.9600	USD	11,250.00	
28FEB17	B 20 DEC19	97.7500	97.9600	USD	10,500.00	
	NET +40 AVG BGHT 97.7425				21,750.00	0.00
28FEB17	S 20 DEC20	97.5400	97.8400	USD	-15,000.00	
28FEB17	S 20 DEC20	97.5600	97.8400	USD	-14,000.00	
	NET -40 AVG SOLD 97.5500				-29,000.00	0.00
	<u>IEU GAS OIL 100 IPE</u>					
11OCT17	S 1 NOV17	529.250	533.750	USD	-450.00	
13OCT17	S 1 NOV17	526.750	533.750	USD	-700.00	
	NET -2 AVG SOLD 528.000				-1,150.00	0.00
	<u>IEU BRENT CRUDE 1,000 IPE</u>					
11OCT17	B 2 DEC17	56.80	57.17	USD	740.00	
13OCT17	B 2 DEC17	56.55	57.17	USD	1,240.00	
	NET +4 AVG BGHT 56.68				1,980.00	0.00
	<u>CBT 30 YEAR US TREASURY BONDS 1,000 CBT</u>					
11SEP17	B 1 DEC17	156.0800	154.0000	USD	-2,250.00	
	NET +1 AVG BGHT 156.0800				-2,250.00	0.00
	<u>ICE US DOLLAR INDEX 1,000 FIN</u>					
05OCT17	B 2 DEC17	93.790	92.935	USD	-1,710.00	
12OCT17	B 1 DEC17	93.055	92.935	USD	-120.00	
	NET +3 AVG BGHT 93.545				-1,830.00	0.00

OPEN POSITION VALUE

		USD
CNX Nifty (India) Index	FUTURES	-1,144,592.00
CME Eurodollar	FUTURES	-19,250.00
IEU Gas Oil	FUTURES	105,600.00
IEU Brent Crude	FUTURES	-226,700.00
CBT 30 Year Us Treasury Bonds	FUTURES	-156,250.00
ICE US Dollar Index	FUTURES	-280,635.00

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0034Q

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

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MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options	Amount	Profit / Loss	Total Amount	Total P&L
CNX - Futures USD	39,884.00	39,884.00		
EURO - Futures USD	-7,250.00	-7,250.00		
GASO - Futures USD	-1,150.00	-1,150.00		
LCO - Futures USD	1,980.00	1,980.00		
TBON - Futures USD	-2,250.00	-2,250.00		
USX - Futures USD	-1,830.00	-1,830.00	29,384.00	29,384.00

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	-1,189,809.38	-1,189,809.38
Variation Margins - Futures	29,384.00	29,384.00
- Options	0.00	0.00
TOTAL EQUITY	-1,160,425.38	-1,160,425.38
Initial Margins	-75,980.00	-75,980.00
Excess/(Shortage)	-1,236,405.38	-1,236,405.38
FOR INFORMATION ONLY		
Net Liquidating Value	-1,160,425.38	-1,160,425.38

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0034S

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

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ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	-510.00	-510.00
Variation Margins		
- Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	-510.00	-510.00
Initial Margins	0.00	0.00
Excess/(Shortage)	-510.00	-510.00
FOR INFORMATION ONLY		
Net Liquidating Value	-510.00	-510.00

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED
SAP0034
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

GROUP NO: **SAPG0034**

13th Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343
Authorised and regulated by the Australian Prudential
Regulation Authority. Authorised by the Prudential
Regulation Authority. Subject to regulation by the Financial
Conduct Authority and limited regulation by the
Prudential Regulation Authority. Details about the extent
of our regulation by the Prudential Regulation Authority are
available from us on request.

GROUP FINANCIAL SUMMARY

	EUR	GBP	JPY
	(1.18360)	(1.33050)	(111.93000)
CASH BALANCE	15,838.21	-14,950.85	-397,318
Variation Margins	- Futures 0.00	0.00	0
	- Options 0.00	0.00	0
TOTAL EQUITY	15,838.21	-14,950.85	-397,318
Initial Margins	0.00	0.00	0
Excess/(Shortage)	15,838.21	-14,950.85	-397,318
<u>FOR INFORMATION ONLY</u>			
Net Liquidating Value	15,838.21	-14,950.85	-397,318

	USD	NET USD
	(1.00000)	(1.00000)
CASH BALANCE	510,542.50	505,846.80
Variation Margins	- Futures -252,809.00	-252,809.00
	- Options 0.00	0.00
TOTAL EQUITY	257,733.50	253,037.80
Initial Margins	-868,177.78	-868,177.78
Excess/(Shortage)	-610,444.28	-615,139.98
<u>FOR INFORMATION ONLY</u>		
Forward Unrealised P&L	3,674.97	3,674.97
Net Premium Option Market Value	-5,093.75	-5,093.75
Net Liquidating Value	256,314.72	251,619.02

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0038

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

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ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	239,759.87	239,759.87
Variation Margins		
- Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	239,759.87	239,759.87
Initial Margins	0.00	0.00
Excess/(Shortage)	239,759.87	239,759.87
FOR INFORMATION ONLY		
Net Liquidating Value	239,759.87	239,759.87

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0038B

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

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Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	CMX GOLD 100 CMX					
13OCT17	S 2 DEC17	1304.4	1304.6	USD	-40.00	
13OCT17	S 3 DEC17	1304.3	1304.6	USD	-90.00	
13OCT17	S 1 DEC17	1304.0	1304.6	USD	-60.00	
13OCT17	S 5 DEC17	1304.2	1304.6	USD	-200.00	
13OCT17	S 6 DEC17	1304.1	1304.6	USD	-300.00	
13OCT17	S 1 DEC17	1303.4	1304.6	USD	-120.00	
13OCT17	S 1 DEC17	1304.7	1304.6	USD	10.00	
13OCT17	S 2 DEC17	1304.6	1304.6	USD	0.00	
13OCT17	S 3 DEC17	1304.5	1304.6	USD	-30.00	
	NET -24 AVG SOLD 1304.3				-830.00	0.00
	CME JAPANESE YEN 12,500,000 CME					
13OCT17	B 1 DEC17	89.740	89.625	USD	-143.75	
13OCT17	B 4 DEC17	89.745	89.625	USD	-600.00	
13OCT17	B 2 DEC17	89.735	89.625	USD	-275.00	
13OCT17	B 10 DEC17	89.665	89.625	USD	-500.00	
13OCT17	B 4 DEC17	89.660	89.625	USD	-175.00	
13OCT17	B 6 DEC17	89.650	89.625	USD	-187.50	
13OCT17	B 1 DEC17	89.640	89.625	USD	-18.75	
	NET +28 AVG BGHT 89.679				-1,900.00	0.00

OPEN POSITION VALUE

		USD
CMX Gold	FUTURES	3,130,210.00
CME Japanese Yen	FUTURES	-3,138,775.00

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options	Amount	Profit / Loss	Total Amount	Total P&L
GOLD - Futures	USD -830.00	-830.00		
JPY - Futures	USD -1,900.00	-1,900.00	-2,730.00	-2,730.00

ACCOUNT FINANCIAL SUMMARY

	JPY	USD	NETT USD
	(111.93000)	(1.00000)	(1.00000)
CASH BALANCE	11,462,835	-97,445.20	4,965.55
Variation Margins - Futures	0	-2,730.00	-2,730.00
- Options	0	0.00	0.00
TOTAL EQUITY	11,462,835	-100,175.20	2,235.55
Initial Margins	0	-84,280.00	-84,280.00
Excess/(Shortage)	11,462,835	-184,455.20	-82,044.45
FOR INFORMATION ONLY			
Net Liquidating Value	11,462,835	-100,175.20	2,235.55

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0038K

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

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ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	-1,044.12	-1,044.12
Variation Margins		
- Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	-1,044.12	-1,044.12
Initial Margins	0.00	0.00
Excess/(Shortage)	-1,044.12	-1,044.12
FOR INFORMATION ONLY		
Net Liquidating Value	-1,044.12	-1,044.12

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP00380

13th October 2017

backoffice@sapiencap.com

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>IEU WTI CRUDE OIL FUTURES 1,000 IPE</u>							
25SEP17	B	2	DEC18	51.19	51.77	USD	1,160.00	
25SEP17	B	1	DEC18	51.26	51.77	USD	510.00	
25SEP17	B	1	DEC18	51.27	51.77	USD	500.00	
25SEP17	B	2	DEC18	51.29	51.77	USD	960.00	
06OCT17	B	1	DEC18	50.31	51.77	USD	1,460.00	
06OCT17	B	3	DEC18	50.29	51.77	USD	4,440.00	
06OCT17	B	2	DEC18	50.21	51.77	USD	3,120.00	
06OCT17	B	2	DEC18	50.18	51.77	USD	3,180.00	
12OCT17	B	1	DEC18	50.86	51.77	USD	910.00	
12OCT17	B	1	DEC18	50.89	51.77	USD	880.00	
	NET	+16 AVG BGHT 50.70					17,120.00	0.00
28SEP17	B	2	JUN19	51.15	51.15	USD	0.00	
28SEP17	B	1	JUN19	51.12	51.15	USD	30.00	
28SEP17	B	2	JUN19	51.05	51.15	USD	200.00	
28SEP17	B	2	JUN19	50.97	51.15	USD	360.00	
28SEP17	B	2	JUN19	51.00	51.15	USD	300.00	
02OCT17	B	2	JUN19	50.23	51.15	USD	1,840.00	
02OCT17	B	2	JUN19	50.24	51.15	USD	1,820.00	
02OCT17	B	1	JUN19	50.20	51.15	USD	950.00	
	NET	+14 AVG BGHT 50.76					5,500.00	0.00
22SEP17	S	1	DEC19	50.83	50.70	USD	130.00	
22SEP17	S	2	DEC19	51.06	50.70	USD	720.00	
22SEP17	S	1	DEC19	51.01	50.70	USD	310.00	
25SEP17	S	1	DEC19	50.75	50.70	USD	50.00	
25SEP17	S	1	DEC19	50.74	50.70	USD	40.00	
25SEP17	S	2	DEC19	50.85	50.70	USD	300.00	
25SEP17	S	2	DEC19	50.88	50.70	USD	360.00	
27SEP17	S	2	DEC19	51.04	50.70	USD	680.00	
27SEP17	S	1	DEC19	50.97	50.70	USD	270.00	
27SEP17	S	1	DEC19	51.00	50.70	USD	300.00	
28SEP17	S	1	DEC19	50.76	50.70	USD	60.00	
28SEP17	S	1	DEC19	50.74	50.70	USD	40.00	
28SEP17	S	2	DEC19	50.67	50.70	USD	-60.00	
02OCT17	S	2	DEC19	50.04	50.70	USD	-1,320.00	
02OCT17	S	2	DEC19	50.08	50.70	USD	-1,240.00	
02OCT17	S	1	DEC19	50.06	50.70	USD	-640.00	
04OCT17	S	1	DEC19	50.01	50.70	USD	-690.00	
04OCT17	S	2	DEC19	50.02	50.70	USD	-1,360.00	
06OCT17	S	1	DEC19	49.72	50.70	USD	-980.00	
06OCT17	S	1	DEC19	49.68	50.70	USD	-1,020.00	
06OCT17	S	2	DEC19	49.73	50.70	USD	-1,940.00	
09OCT17	S	1	DEC19	49.97	50.70	USD	-730.00	
	NET	-31 AVG SOLD 50.48					-6,720.00	0.00
04OCT17	B	1	DEC20	49.94	50.22	USD	280.00	
09OCT17	B	1	DEC20	49.81	50.22	USD	410.00	
	NET	+2 AVG BGHT 49.88					690.00	0.00
06SEP17	B	6	DEC21	52.36	50.12	USD	-13,440.00	
06SEP17	B	5	DEC21	52.35	50.12	USD	-11,150.00	
06SEP17	B	2	DEC21	52.52	50.12	USD	-4,800.00	
08SEP17	B	1	DEC21	51.72	50.12	USD	-1,600.00	
08SEP17	B	2	DEC21	51.70	50.12	USD	-3,160.00	
08SEP17	B	2	DEC21	51.67	50.12	USD	-3,100.00	
08SEP17	B	3	DEC21	51.74	50.12	USD	-4,860.00	
14SEP17	B	2	DEC21	51.89	50.12	USD	-3,540.00	
14SEP17	B	1	DEC21	51.88	50.12	USD	-1,760.00	
14SEP17	B	1	DEC21	51.81	50.12	USD	-1,690.00	
14SEP17	B	1	DEC21	51.80	50.12	USD	-1,680.00	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP00380

13th October 2017

backoffice@sapiencap.com

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>IEU WTI CRUDE OIL FUTURES 1,000 IPE</u>							
14SEP17	B	3	DEC21	51.79	50.12	USD	-5,010.00	0.00
14SEP17	B	2	DEC21	51.82	50.12	USD	-3,400.00	
	NET	+31 AVG BGHT 52.03					-59,190.00	
01SEP17	S	1	DEC22	53.62	50.38	USD	3,240.00	
06SEP17	S	2	DEC22	53.69	50.38	USD	6,620.00	
06SEP17	S	5	DEC22	53.65	50.38	USD	16,350.00	
06SEP17	S	1	DEC22	53.47	50.38	USD	3,090.00	
06SEP17	S	5	DEC22	53.49	50.38	USD	15,550.00	
06SEP17	S	2	DEC22	53.50	50.38	USD	6,240.00	
06SEP17	S	2	DEC22	53.51	50.38	USD	6,260.00	
06SEP17	S	2	DEC22	53.46	50.38	USD	6,160.00	
06SEP17	S	2	DEC22	53.62	50.38	USD	6,480.00	
14SEP17	S	2	DEC22	52.62	50.38	USD	4,480.00	
14SEP17	S	1	DEC22	52.61	50.38	USD	2,230.00	
14SEP17	S	1	DEC22	52.54	50.38	USD	2,160.00	
14SEP17	S	2	DEC22	52.52	50.38	USD	4,280.00	
14SEP17	S	2	DEC22	52.53	50.38	USD	4,300.00	
14SEP17	S	2	DEC22	52.55	50.38	USD	4,340.00	
	NET	-32 AVG SOLD 53.25					91,780.00	0.00
	<u>IEU BRENT CRUDE 1,000 IPE</u>							
25SEP17	S	2	DEC18	55.17	55.43	USD	-520.00	0.00
25SEP17	S	1	DEC18	55.28	55.43	USD	-150.00	
25SEP17	S	1	DEC18	55.29	55.43	USD	-140.00	
25SEP17	S	2	DEC18	55.27	55.43	USD	-320.00	
06OCT17	S	4	DEC18	54.24	55.43	USD	-4,760.00	
06OCT17	S	2	DEC18	54.16	55.43	USD	-2,540.00	
06OCT17	S	2	DEC18	54.15	55.43	USD	-2,560.00	
12OCT17	S	1	DEC18	54.59	55.43	USD	-840.00	
12OCT17	S	1	DEC18	54.62	55.43	USD	-810.00	
	NET	-16 AVG SOLD 54.64					-12,640.00	
28SEP17	S	1	JUN19	55.36	54.89	USD	470.00	0.00
28SEP17	S	1	JUN19	55.38	54.89	USD	490.00	
28SEP17	S	1	JUN19	55.35	54.89	USD	460.00	
28SEP17	S	2	JUN19	55.25	54.89	USD	720.00	
28SEP17	S	2	JUN19	55.15	54.89	USD	520.00	
28SEP17	S	2	JUN19	55.22	54.89	USD	660.00	
02OCT17	S	2	JUN19	54.40	54.89	USD	-980.00	
02OCT17	S	3	JUN19	54.37	54.89	USD	-1,560.00	
	NET	-14 AVG SOLD 54.95					780.00	
22SEP17	B	1	DEC19	54.84	54.57	USD	-270.00	
22SEP17	B	2	DEC19	54.96	54.57	USD	-780.00	
22SEP17	B	1	DEC19	54.93	54.57	USD	-360.00	
25SEP17	B	1	DEC19	54.69	54.57	USD	-120.00	
25SEP17	B	1	DEC19	54.68	54.57	USD	-110.00	
25SEP17	B	2	DEC19	54.89	54.57	USD	-640.00	
25SEP17	B	2	DEC19	54.87	54.57	USD	-600.00	
27SEP17	B	2	DEC19	55.17	54.57	USD	-1,200.00	
27SEP17	B	1	DEC19	55.11	54.57	USD	-540.00	
27SEP17	B	1	DEC19	55.14	54.57	USD	-570.00	
28SEP17	B	1	DEC19	55.01	54.57	USD	-440.00	
28SEP17	B	1	DEC19	54.99	54.57	USD	-420.00	
28SEP17	B	2	DEC19	54.89	54.57	USD	-640.00	
02OCT17	B	2	DEC19	54.19	54.57	USD	760.00	
02OCT17	B	2	DEC19	54.23	54.57	USD	680.00	
02OCT17	B	1	DEC19	54.22	54.57	USD	350.00	
04OCT17	B	1	DEC19	54.20	54.57	USD	370.00	
04OCT17	B	2	DEC19	54.21	54.57	USD	720.00	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP00380

13th October 2017

backoffice@sapiencap.com

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

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Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>IEU BRENT CRUDE 1,000 IPE</u>					
06OCT17	B 1 DEC19	53.82	54.57	USD	750.00	
06OCT17	B 1 DEC19	53.80	54.57	USD	770.00	
06OCT17	B 1 DEC19	53.85	54.57	USD	720.00	
06OCT17	B 1 DEC19	53.83	54.57	USD	740.00	
09OCT17	B 1 DEC19	53.99	54.57	USD	580.00	
	NET +31 AVG BGHT 54.58				-250.00	0.00
04OCT17	S 1 DEC20	54.58	54.51	USD	70.00	
09OCT17	S 1 DEC20	54.27	54.51	USD	-240.00	
	NET -2 AVG SOLD 54.43				-170.00	0.00
06SEP17	S 6 DEC21	56.35	54.82	USD	9,180.00	
06SEP17	S 5 DEC21	56.34	54.82	USD	7,600.00	
06SEP17	S 2 DEC21	56.51	54.82	USD	3,380.00	
08SEP17	S 1 DEC21	55.69	54.82	USD	870.00	
08SEP17	S 1 DEC21	55.67	54.82	USD	850.00	
08SEP17	S 2 DEC21	55.65	54.82	USD	1,660.00	
08SEP17	S 2 DEC21	55.62	54.82	USD	1,600.00	
08SEP17	S 2 DEC21	55.64	54.82	USD	1,640.00	
14SEP17	S 2 DEC21	56.13	54.82	USD	2,620.00	
14SEP17	S 1 DEC21	56.12	54.82	USD	1,300.00	
14SEP17	S 1 DEC21	56.05	54.82	USD	1,230.00	
14SEP17	S 2 DEC21	56.03	54.82	USD	2,420.00	
14SEP17	S 2 DEC21	56.04	54.82	USD	2,440.00	
14SEP17	S 2 DEC21	56.06	54.82	USD	2,480.00	
	NET -31 AVG SOLD 56.09				39,270.00	0.00
01SEP17	B 1 DEC22	57.62	55.28	USD	-2,340.00	
06SEP17	B 2 DEC22	57.69	55.28	USD	-4,820.00	
06SEP17	B 5 DEC22	57.65	55.28	USD	-11,850.00	
06SEP17	B 1 DEC22	57.47	55.28	USD	-2,190.00	
06SEP17	B 5 DEC22	57.49	55.28	USD	-11,050.00	
06SEP17	B 2 DEC22	57.50	55.28	USD	-4,440.00	
06SEP17	B 2 DEC22	57.51	55.28	USD	-4,460.00	
06SEP17	B 2 DEC22	57.46	55.28	USD	-4,360.00	
06SEP17	B 2 DEC22	57.62	55.28	USD	-4,680.00	
14SEP17	B 2 DEC22	56.87	55.28	USD	-3,180.00	
14SEP17	B 1 DEC22	56.86	55.28	USD	-1,580.00	
14SEP17	B 1 DEC22	56.79	55.28	USD	-1,510.00	
14SEP17	B 2 DEC22	56.77	55.28	USD	-2,980.00	
14SEP17	B 2 DEC22	56.78	55.28	USD	-3,000.00	
14SEP17	B 2 DEC22	56.80	55.28	USD	-3,040.00	
	NET +32 AVG BGHT 57.33				-65,480.00	0.00

OPEN POSITION VALUE

		<u>USD</u>
IEU WTI Crude Oil Futures	FUTURES	34,460.00
IEU Brent Crude	FUTURES	-35,340.00

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP00380

13th October 2017

backoffice@sapiencap.com

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
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MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options	Amount	Profit / Loss	Total Amount	Total P&L
CLF - Futures USD	49,180.00	49,180.00		
LCO - Futures USD	-38,490.00	-38,490.00	10,690.00	10,690.00

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	68,795.49	68,795.49
Variation Margins - Futures	10,690.00	10,690.00
- Options	0.00	0.00
TOTAL EQUITY	79,485.49	79,485.49
Initial Margins	-30,823.00	-30,823.00
Excess/(Shortage)	48,662.49	48,662.49
<u>FOR INFORMATION ONLY</u>		
Net Liquidating Value	79,485.49	79,485.49

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED
SAP0038
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

GROUP NO: **SAPG0038**

13th Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343
Authorised and regulated by the Australian Prudential
Regulation Authority. Authorised by the Prudential
Regulation Authority. Subject to regulation by the Financial
Conduct Authority and limited regulation by the
Prudential Regulation Authority. Details about the extent
of our regulation by the Prudential Regulation Authority are
available from us on request.

GROUP FINANCIAL SUMMARY

	JPY	USD	NET USD
	(111.93000)	(1.00000)	(1.00000)
CASH BALANCE	11,462,835	210,066.04	312,476.79
Variation Margins	- Futures 0	7,960.00	7,960.00
	- Options 0	0.00	0.00
TOTAL EQUITY	11,462,835	218,026.04	320,436.79
Initial Margins	0	-115,103.00	-115,103.00
Excess/(Shortage)	11,462,835	102,923.04	205,333.79
FOR INFORMATION ONLY			
Net Liquidating Value	11,462,835	218,026.04	320,436.79

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0045

13th October 2017

Sapien Capital Limited
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London W1J 7NJ UK
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ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	696,500.86	696,500.86
Variation Margins		
- Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	696,500.86	696,500.86
Initial Margins	0.00	0.00
Excess/(Shortage)	696,500.86	696,500.86
FOR INFORMATION ONLY		
Net Liquidating Value	696,500.86	696,500.86

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0045B

13th October 2017

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.</u>							
09AUG17	B	1	PD09NOV17	2036.50	2116.96	USD	2,011.50	
11SEP17	S	1	PD09NOV17	2114.79	2116.96	USD	-54.25	
	NET	0AVG	BGHT 2036.50				1,957.25	0.00
11AUG17	S	1	PD10NOV17	2024.00	2117.43	USD	-2,335.75	
11SEP17	B	1	PD10NOV17	2115.27	2117.43	USD	54.00	
	NET	0AVG	BGHT 2115.27				-2,281.75	0.00
15AUG17	B	1	PD15NOV17	2040.50	2119.75	USD	1,981.25	
15AUG17	S	1	PD15NOV17	2053.50	2119.75	USD	-1,656.25	
15AUG17	B	1	PD15NOV17	2042.50	2119.75	USD	1,931.25	
15AUG17	S	1	PD15NOV17	2047.50	2119.75	USD	-1,806.25	
	NET	0AVG	BGHT 2041.50				450.00	0.00
16AUG17	B	1	PD16NOV17	2062.50	2120.07	USD	1,439.25	
16AUG17	B	2	PD16NOV17	2059.50	2120.07	USD	3,028.50	
16AUG17	B	1	PD16NOV17	2064.00	2120.07	USD	1,401.75	
16AUG17	B	1	PD16NOV17	2074.50	2120.07	USD	1,139.25	
16AUG17	S	1	PD16NOV17	2069.00	2120.07	USD	-1,276.75	
16AUG17	B	1	PD16NOV17	2066.00	2120.07	USD	1,351.75	
16AUG17	B	1	PD16NOV17	2067.50	2120.07	USD	1,314.25	
16AUG17	B	1	PD16NOV17	2088.50	2120.07	USD	789.25	
16AUG17	B	1	PD16NOV17	2089.00	2120.07	USD	776.75	
11SEP17	S	8	PD16NOV17	2115.88	2120.07	USD	-838.00	
	NET	0AVG	BGHT 2070.11				9,126.00	0.00
17AUG17	S	1	PD17NOV17	2073.50	2120.38	USD	-1,172.00	
17AUG17	B	1	PD17NOV17	2072.50	2120.38	USD	1,197.00	
17AUG17	S	1	PD17NOV17	2078.50	2120.38	USD	-1,047.00	
17AUG17	B	1	PD17NOV17	2075.50	2120.38	USD	1,122.00	
17AUG17	S	1	PD17NOV17	2074.00	2120.38	USD	-1,159.50	
11SEP17	B	1	PD17NOV17	2115.45	2120.38	USD	123.25	
	NET	0AVG	BGHT 2087.82				-936.25	0.00
05SEP17	S	4	PD05DEC17	2108.00	2126.07	USD	-1,807.00	
05SEP17	S	2	PD05DEC17	2108.50	2126.07	USD	-878.50	
05SEP17	S	1	PD05DEC17	2109.00	2126.07	USD	-426.75	
11SEP17	B	7	PD05DEC17	2120.51	2126.07	USD	973.00	
	NET	0AVG	BGHT 2120.51				-2,139.25	0.00
	<u>LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
17JUL17	S	3	PD17OCT17	2314.00	2518.25	USD	-15,318.75	
17JUL17	S	2	PD17OCT17	2324.00	2518.25	USD	-9,712.50	
11SEP17	B	3	PD17OCT17	2257.62	2518.25	USD	19,547.25	
12SEP17	B	3	PD17OCT17	2291.59	2518.25	USD	16,999.50	
27SEP17	S	1	PD17OCT17	2458.27	2518.25	USD	-1,499.50	
	NET	0AVG	BGHT 2274.61				10,016.00	0.00
18JUL17	B	2	PD18OCT17	2265.00	2518.75	USD	12,687.50	
11SEP17	S	2	PD18OCT17	2257.80	2518.75	USD	-13,047.50	
	NET	0AVG	BGHT 2265.00				-360.00	0.00
19JUL17	B	4	PD19OCT17	2245.00	2518.90	USD	27,390.00	
19JUL17	B	4	PD19OCT17	2216.00	2518.90	USD	30,290.00	
11SEP17	S	8	PD19OCT17	2257.68	2518.90	USD	-52,244.00	
	NET	0AVG	BGHT 2230.50				5,436.00	0.00
20JUL17	S	2	PD20OCT17	2228.00	2519.05	USD	-14,552.50	
20JUL17	S	2	PD20OCT17	2230.00	2519.05	USD	-14,452.50	
12SEP17	B	4	PD20OCT17	2291.75	2519.05	USD	22,730.00	
	NET	0AVG	BGHT 2291.75				-6,275.00	0.00
25JUL17	B	1	PD25OCT17	2290.00	2519.80	USD	5,745.00	

OPEN POSITION STATEMENT



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Date	Open Position		Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>						
25JUL17	S	2 PD25OCT17	2275.00	2519.80	USD	-12,240.00	
25JUL17	B	1 PD25OCT17	2291.00	2519.80	USD	5,720.00	
	NET	0AVG BGHT 2290.50	SOLD 2275.00			-775.00	0.00
31JUL17	S	4 PD31OCT17	2338.00	2520.70	USD	-18,270.00	
31JUL17	S	2 PD31OCT17	2327.00	2520.70	USD	-9,685.00	
12SEP17	B	6 PD31OCT17	2296.72	2520.70	USD	33,597.00	
	NET	0AVG BGHT 2296.72	SOLD 2334.33			5,642.00	0.00
02AUG17	S	2 PD02NOV17	2339.00	2521.00	USD	-9,100.00	
02AUG17	S	2 PD02NOV17	2336.00	2521.00	USD	-9,250.00	
12SEP17	B	2 PD02NOV17	2297.63	2521.00	USD	11,168.50	
27SEP17	B	1 PD02NOV17	2460.04	2521.00	USD	1,524.00	
	NET	-1AVG BGHT 2351.77	SOLD 2337.50			-5,657.50	0.00
08AUG17	B	1 PD08NOV17	2391.00	2521.81	USD	3,270.25	
11SEP17	S	1 PD08NOV17	2265.77	2521.81	USD	-6,401.00	
	NET	0AVG BGHT 2391.00	SOLD 2265.77			-3,130.75	0.00
09AUG17	B	1 PD09NOV17	2413.00	2521.94	USD	2,723.50	
09AUG17	B	1 PD09NOV17	2353.00	2521.94	USD	4,223.50	
09AUG17	S	1 PD09NOV17	2352.50	2521.94	USD	-4,236.00	
11SEP17	S	1 PD09NOV17	2266.20	2521.94	USD	-6,393.50	
	NET	0AVG BGHT 2383.00	SOLD 2309.35			-3,682.50	0.00
14AUG17	B	1 PD14NOV17	2341.00	2522.62	USD	4,540.50	
11SEP17	S	1 PD14NOV17	2268.37	2522.62	USD	-6,356.25	
	NET	0AVG BGHT 2341.00	SOLD 2268.37			-1,815.75	0.00
15AUG17	S	2 PD15NOV17	2386.00	2522.75	USD	-6,837.50	
15AUG17	B	1 PD15NOV17	2375.00	2522.75	USD	3,693.75	
15AUG17	S	1 PD15NOV17	2366.00	2522.75	USD	-3,918.75	
15AUG17	B	1 PD15NOV17	2362.00	2522.75	USD	4,018.75	
15AUG17	B	1 PD15NOV17	2363.50	2522.75	USD	3,981.25	
15AUG17	S	1 PD15NOV17	2373.00	2522.75	USD	-3,743.75	
15AUG17	B	1 PD15NOV17	2370.00	2522.75	USD	3,818.75	
15AUG17	B	1 PD15NOV17	2371.50	2522.75	USD	3,781.25	
15AUG17	S	1 PD15NOV17	2375.50	2522.75	USD	-3,681.25	
15AUG17	S	1 PD15NOV17	2376.00	2522.75	USD	-3,668.75	
15AUG17	S	1 PD15NOV17	2378.00	2522.75	USD	-3,618.75	
15AUG17	B	1 PD15NOV17	2378.50	2522.75	USD	3,606.25	
	NET	-1AVG BGHT 2370.08	SOLD 2377.21			-2,568.75	0.00
16AUG17	B	1 PD16NOV17	2393.00	2522.75	USD	3,243.75	
16AUG17	B	1 PD16NOV17	2394.50	2522.75	USD	3,206.25	
16AUG17	S	1 PD16NOV17	2393.50	2522.75	USD	-3,231.25	
16AUG17	S	1 PD16NOV17	2394.00	2522.75	USD	-3,218.75	
	NET	0AVG BGHT 2393.75	SOLD 2393.75			0.00	0.00
17AUG17	B	1 PD17NOV17	2414.00	2522.75	USD	2,718.75	
11SEP17	S	1 PD17NOV17	2269.12	2522.75	USD	-6,340.75	
	NET	0AVG BGHT 2414.00	SOLD 2269.12			-3,622.00	0.00
29AUG17	S	2 PD29NOV17	2346.00	2524.57	USD	-8,928.50	
29AUG17	S	2 PD29NOV17	2353.00	2524.57	USD	-8,578.50	
29AUG17	S	1 PD29NOV17	2403.50	2524.57	USD	-3,026.75	
29AUG17	B	1 PD29NOV17	2397.00	2524.57	USD	3,189.25	
	NET	-4AVG BGHT 2397.00	SOLD 2360.30			-17,344.50	0.00
30AUG17	S	2 PD30NOV17	2378.00	2524.72	USD	-7,336.00	
30AUG17	S	1 PD30NOV17	2395.00	2524.72	USD	-3,243.00	
	NET	-3AVG SOLD 2383.67				-10,579.00	0.00
05SEP17	S	2 PD05DEC17	2343.50	2525.48	USD	-9,099.00	
05SEP17	S	1 PD05DEC17	2345.00	2525.48	USD	-4,512.00	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0045B

13th October 2017

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FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
	NET	-3 AVG SOLD 2344.00					-13,611.00	0.00
06SEP17	B	2 PD06DEC17		2359.00	2525.63	USD	8,331.50	
12SEP17	S	3 PD06DEC17		2309.45	2525.63	USD	-16,213.50	
	NET	-1 AVG BGHT 2359.00 SOLD 2309.45					-7,882.00	0.00
07SEP17	B	2 PD07DEC17		2319.50	2525.78	USD	10,314.00	
07SEP17	B	2 PD07DEC17		2320.50	2525.78	USD	10,264.00	
12SEP17	S	4 PD07DEC17		2309.76	2525.78	USD	-21,602.00	
	NET	0 AVG BGHT 2320.00 SOLD 2309.76					-1,024.00	0.00
08SEP17	B	1 PD08DEC17		2315.00	2525.93	USD	5,273.25	
08SEP17	B	1 PD08DEC17		2305.50	2525.93	USD	5,510.75	
08SEP17	B	2 PD08DEC17		2261.50	2525.93	USD	13,221.50	
08SEP17	B	2 PD08DEC17		2266.50	2525.93	USD	12,971.50	
12SEP17	S	6 PD08DEC17		2310.07	2525.93	USD	-32,379.00	
	NET	0 AVG BGHT 2279.42 SOLD 2310.07					4,598.00	0.00
11SEP17	B	2 PD11DEC17		2284.00	2526.39	USD	12,119.50	
12SEP17	S	2 PD11DEC17		2310.99	2526.39	USD	-10,770.00	
	NET	0 AVG BGHT 2284.00 SOLD 2310.99					1,349.50	0.00
15SEP17	S	1 PD15DEC17		2359.50	2526.99	USD	-4,187.25	
15SEP17	S	1 PD15DEC17		2365.00	2526.99	USD	-4,049.75	
	NET	-2 AVG SOLD 2362.25					-8,237.00	0.00
18SEP17	S	2 PD18DEC17		2392.50	2527.45	USD	-6,747.50	
18SEP17	S	2 PD18DEC17		2392.00	2527.45	USD	-6,772.50	
18SEP17	S	2 PD18DEC17		2393.00	2527.45	USD	-6,722.50	
	NET	-6 AVG SOLD 2392.50					-20,242.50	0.00
21SEP17	S	2 PD21DEC17		2474.00	2526.75	USD	-2,637.50	
21SEP17	S	2 PD21DEC17		2466.00	2526.75	USD	-3,037.50	
21SEP17	S	2 PD21DEC17		2469.50	2526.75	USD	-2,862.50	
21SEP17	S	2 PD21DEC17		2471.00	2526.75	USD	-2,787.50	
21SEP17	S	2 PD21DEC17		2475.00	2526.75	USD	-2,587.50	
21SEP17	S	2 PD21DEC17		2470.50	2526.75	USD	-2,812.50	
	NET	-12 AVG SOLD 2471.00					-16,725.00	0.00
27SEP17	S	2 PD27DEC17		2461.00	2527.64	USD	-3,332.00	
27SEP17	S	2 PD27DEC17		2459.50	2527.64	USD	-3,407.00	
	NET	-4 AVG SOLD 2460.25					-6,739.00	0.00
06OCT17	B	2 PD05JAN18		2543.50	2528.97	USD	-726.50	
06OCT17	B	3 PD05JAN18		2549.00	2528.97	USD	-1,502.25	
06OCT17	B	1 PD05JAN18		2549.50	2528.97	USD	-513.25	
06OCT17	B	4 PD05JAN18		2547.00	2528.97	USD	-1,803.00	
	NET	+10 AVG BGHT 2547.15					-4,545.00	0.00
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
25JUL17	B	1 PD25OCT17		2830.50	3273.00	USD	11,062.50	
25JUL17	B	1 PD25OCT17		2831.50	3273.00	USD	11,037.50	
11SEP17	S	2 PD25OCT17		3078.95	3273.00	USD	-9,702.50	
	NET	0 AVG BGHT 2831.00 SOLD 3078.95					12,397.50	0.00
26JUL17	B	2 PD26OCT17		2829.00	3271.87	USD	22,143.50	
26JUL17	B	1 PD26OCT17		2823.00	3271.87	USD	11,221.75	
26JUL17	B	1 PD26OCT17		2810.00	3271.87	USD	11,546.75	
26JUL17	B	1 PD26OCT17		2802.00	3271.87	USD	11,746.75	
26JUL17	B	1 PD26OCT17		2825.00	3271.87	USD	11,171.75	
26JUL17	B	1 PD26OCT17		2805.00	3271.87	USD	11,671.75	
11SEP17	S	7 PD26OCT17		3079.03	3271.87	USD	-33,747.00	
	NET	0 AVG BGHT 2817.57 SOLD 3079.03					45,755.25	0.00

OPEN POSITION STATEMENT



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Date	Open Position				Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>								
28JUL17	B	1	PD27OCT17		2786.50	3270.73	USD	12,105.75	
11SEP17	S	1	PD27OCT17		3079.11	3270.73	USD	-4,790.50	
	NET	0 AVG	BGHT 2786.50	SOLD 3079.11				7,315.25	0.00
08AUG17	S	1	PD08NOV17		2934.50	3261.67	USD	-8,179.25	
11SEP17	B	1	PD08NOV17		3080.27	3261.67	USD	4,535.00	
	NET	0 AVG	BGHT 3080.27	SOLD 2934.50				-3,644.25	0.00
09AUG17	S	1	PD09NOV17		2977.50	3260.53	USD	-7,075.75	
09AUG17	S	1	PD09NOV17		2973.00	3260.53	USD	-7,188.25	
09AUG17	S	1	PD09NOV17		2935.50	3260.53	USD	-8,125.75	
09AUG17	B	1	PD09NOV17		2932.00	3260.53	USD	8,213.25	
11SEP17	B	1	PD09NOV17		3080.35	3260.53	USD	4,504.50	
12SEP17	B	1	PD09NOV17		3057.05	3260.53	USD	5,087.00	
	NET	0 AVG	BGHT 3023.13	SOLD 2962.00				-4,585.00	0.00
11AUG17	B	1	PD10NOV17		2909.50	3259.40	USD	8,747.50	
11SEP17	S	1	PD10NOV17		3080.23	3259.40	USD	-4,479.25	
	NET	0 AVG	BGHT 2909.50	SOLD 3080.23				4,268.25	0.00
14AUG17	S	1	PD14NOV17		2915.00	3257.13	USD	-8,553.25	
11SEP17	B	1	PD14NOV17		3080.75	3257.13	USD	4,409.50	
	NET	0 AVG	BGHT 3080.75	SOLD 2915.00				-4,143.75	0.00
15AUG17	B	1	PD15NOV17		2956.00	3256.00	USD	7,500.00	
15AUG17	B	1	PD15NOV17		2957.00	3256.00	USD	7,475.00	
15AUG17	B	1	PD15NOV17		2960.50	3256.00	USD	7,387.50	
15AUG17	S	1	PD15NOV17		2953.50	3256.00	USD	-7,562.50	
15AUG17	S	1	PD15NOV17		2955.00	3256.00	USD	-7,525.00	
15AUG17	B	1	PD15NOV17		2937.00	3256.00	USD	7,975.00	
15AUG17	S	1	PD15NOV17		2940.00	3256.00	USD	-7,900.00	
15AUG17	S	1	PD15NOV17		2944.50	3256.00	USD	-7,787.50	
15AUG17	B	1	PD15NOV17		2949.00	3256.00	USD	7,675.00	
15AUG17	S	1	PD15NOV17		2951.00	3256.00	USD	-7,625.00	
15AUG17	S	1	PD15NOV17		2951.50	3256.00	USD	-7,612.50	
15AUG17	S	1	PD15NOV17		2956.50	3256.00	USD	-7,487.50	
15AUG17	B	2	PD15NOV17		2955.00	3256.00	USD	15,050.00	
15AUG17	B	2	PD15NOV17		2954.50	3256.00	USD	15,075.00	
15AUG17	S	1	PD15NOV17		2960.00	3256.00	USD	-7,400.00	
11SEP17	S	1	PD15NOV17		3080.30	3256.00	USD	-4,392.50	
	NET	0 AVG	BGHT 2953.17	SOLD 2965.81				2,845.00	0.00
16AUG17	B	1	PD16NOV17		2982.00	3253.50	USD	6,787.50	
16AUG17	B	1	PD16NOV17		2984.00	3253.50	USD	6,737.50	
16AUG17	S	1	PD16NOV17		2982.00	3253.50	USD	-6,787.50	
16AUG17	S	1	PD16NOV17		2986.00	3253.50	USD	-6,687.50	
16AUG17	S	1	PD16NOV17		2986.50	3253.50	USD	-6,675.00	
16AUG17	S	1	PD16NOV17		2988.50	3253.50	USD	-6,625.00	
16AUG17	S	1	PD16NOV17		3005.00	3253.50	USD	-6,212.50	
16AUG17	B	1	PD16NOV17		3011.50	3253.50	USD	6,050.00	
16AUG17	S	1	PD16NOV17		3015.50	3253.50	USD	-5,950.00	
16AUG17	S	1	PD16NOV17		3022.00	3253.50	USD	-5,787.50	
16AUG17	S	1	PD16NOV17		2982.50	3253.50	USD	-6,775.00	
16AUG17	S	1	PD16NOV17		3023.00	3253.50	USD	-5,762.50	
16AUG17	S	1	PD16NOV17		3095.50	3253.50	USD	-3,950.00	
16AUG17	S	1	PD16NOV17		3096.00	3253.50	USD	-3,937.50	
11SEP17	B	8	PD16NOV17		3078.50	3253.50	USD	35,000.00	
	NET	0 AVG	BGHT 3055.05	SOLD 3016.59				-10,575.00	0.00
17AUG17	B	1	PD17NOV17		3072.50	3251.00	USD	4,462.50	
17AUG17	S	1	PD17NOV17		3058.00	3251.00	USD	-4,825.00	
	NET	0 AVG	BGHT 3072.50	SOLD 3058.00				-362.50	0.00

OPEN POSITION STATEMENT



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SAP0045B

13th October 2017

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Date	Open Position				Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>								
04SEP17	B	5	PD04DEC17		3203.00	3246.00	USD	5,375.00	
04SEP17	B	5	PD04DEC17		3202.00	3246.00	USD	5,500.00	
11SEP17	S	9	PD04DEC17		3081.54	3246.00	USD	-37,003.50	
12SEP17	S	1	PD04DEC17		3059.11	3246.00	USD	-4,672.25	
	NET	0 AVG	BGHT 3202.50	SOLD 3079.30				-30,800.75	0.00
14SEP17	B	15	PD14DEC17		3009.00	3244.00	USD	88,125.00	
14SEP17	B	5	PD14DEC17		3008.50	3244.00	USD	29,437.50	
27SEP17	S	20	PD14DEC17		3108.64	3244.00	USD	-67,680.00	
	NET	0 AVG	BGHT 3008.88	SOLD 3108.64				49,882.50	0.00
18SEP17	S	1	PD18DEC17		3095.00	3243.50	USD	-3,712.50	
18SEP17	S	1	PD18DEC17		3100.00	3243.50	USD	-3,587.50	
18SEP17	S	1	PD18DEC17		3105.00	3243.50	USD	-3,462.50	
18SEP17	S	1	PD18DEC17		3110.00	3243.50	USD	-3,337.50	
27SEP17	B	4	PD18DEC17		3109.08	3243.50	USD	13,442.00	
	NET	0 AVG	BGHT 3109.08	SOLD 3102.50				-658.00	0.00
19SEP17	S	2	PD19DEC17		3106.00	3243.25	USD	-6,862.50	
19SEP17	S	4	PD19DEC17		3107.00	3243.25	USD	-13,625.00	
27SEP17	B	6	PD19DEC17		3109.14	3243.25	USD	20,116.50	
	NET	0 AVG	BGHT 3109.14	SOLD 3106.67				-371.00	0.00
20SEP17	S	1	PD20DEC17		3122.00	3243.00	USD	-3,025.00	
20SEP17	S	1	PD20DEC17		3130.00	3243.00	USD	-2,825.00	
20SEP17	S	1	PD20DEC17		3140.00	3243.00	USD	-2,575.00	
20SEP17	S	1	PD20DEC17		3150.00	3243.00	USD	-2,325.00	
27SEP17	B	4	PD20DEC17		3109.20	3243.00	USD	13,380.00	
	NET	0 AVG	BGHT 3109.20	SOLD 3135.50				2,630.00	0.00
26SEP17	S	1	PD27DEC17		3120.00	3239.23	USD	-2,980.75	
26SEP17	S	5	PD27DEC17		3120.50	3239.23	USD	-14,841.25	
27SEP17	B	6	PD27DEC17		3105.20	3239.23	USD	20,104.50	
	NET	0 AVG	BGHT 3105.20	SOLD 3120.42				2,282.50	0.00

OPEN POSITION VALUE

		<u>USD</u>
LME Aluminium 25 Tonnes.	FUTURES	6,176.00
LME Lead 25 tonnes. This is an	FUTURES	1,596,695.25
LME Zinc 25 tonnes. This is	FUTURES	72,236.00

MARKET REVALUATION BREAKDOWN

Unrealised Profits & Losses - Forwards			Amount	Profit / Loss	Total Amount	Total P&L
ALUL	- Futures	USD	6,176.00	6,176.00		
LEAD	- Futures	USD	-107,774.75	-107,774.75		
ZINC	- Futures	USD	72,236.00	72,236.00	-29,362.75	-29,362.75

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ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		-9,050.95	-9,050.95
Variation Margins	- Futures	0.00	0.00
	- Options	0.00	0.00
TOTAL EQUITY		-9,050.95	-9,050.95
Initial Margins		-165,881.75	-165,881.75
Excess/(Shortage)		-174,932.70	-174,932.70
FOR INFORMATION ONLY			
Forward Unrealised P&L		-29,362.75	-29,362.75
Net Liquidating Value		-38,413.70	-38,413.70

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	<u>LME ALUMINIUM 25 TONNES. THIS IS AN</u>							
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19JUL17	S	2	PD190CT17	1934.00	2110.75	USD	-8,837.50	
19JUL17	S	14	PD190CT17	1934.50	2110.75	USD	-61,687.50	
19JUL17	S	2	PD190CT17	1936.00	2110.75	USD	-8,737.50	
31JUL17	B	18	PD190CT17	1914.25	2110.75	USD	88,425.00	
	NET	0 AVG	BGHT 1914.25	SOLD 1934.61			9,162.50	0.00
24JUL17	B	1	PD240CT17	1911.50	2113.25	USD	5,043.75	
24JUL17	B	1	PD240CT17	1912.50	2113.25	USD	5,018.75	
31JUL17	S	2	PD240CT17	1915.61	2113.25	USD	-9,882.00	
	NET	0 AVG	BGHT 1912.00	SOLD 1915.61			180.50	0.00
25JUL17	B	2	PD250CT17	1917.00	2113.75	USD	9,837.50	
25JUL17	S	1	PD250CT17	1945.00	2113.75	USD	-4,218.75	
25JUL17	S	1	PD250CT17	1945.50	2113.75	USD	-4,206.25	
25JUL17	S	1	PD250CT17	1948.00	2113.75	USD	-4,143.75	
31JUL17	B	1	PD250CT17	1916.13	2113.75	USD	4,940.50	
	NET	0 AVG	BGHT 1916.71	SOLD 1946.17			2,209.25	0.00
26JUL17	S	1	PD260CT17	1959.00	2114.25	USD	-3,881.25	
26JUL17	S	2	PD260CT17	1960.00	2114.25	USD	-7,712.50	
26JUL17	B	1	PD260CT17	1943.00	2114.25	USD	4,281.25	
26JUL17	B	1	PD260CT17	1944.00	2114.25	USD	4,256.25	
26JUL17	B	1	PD260CT17	1945.00	2114.25	USD	4,231.25	
26JUL17	B	1	PD260CT17	1939.00	2114.25	USD	4,381.25	
26JUL17	B	1	PD260CT17	1941.00	2114.25	USD	4,331.25	
26JUL17	B	1	PD260CT17	1941.50	2114.25	USD	4,318.75	
26JUL17	B	3	PD260CT17	1939.50	2114.25	USD	13,106.25	
26JUL17	B	3	PD260CT17	1938.50	2114.25	USD	13,181.25	
26JUL17	B	2	PD260CT17	1935.50	2114.25	USD	8,937.50	
26JUL17	B	1	PD260CT17	1936.00	2114.25	USD	4,456.25	
26JUL17	B	5	PD260CT17	1936.50	2114.25	USD	22,218.75	
26JUL17	S	2	PD260CT17	1959.50	2114.25	USD	-7,737.50	
26JUL17	S	1	PD260CT17	1961.00	2114.25	USD	-3,831.25	
26JUL17	S	1	PD260CT17	1961.50	2114.25	USD	-3,818.75	
26JUL17	B	1	PD260CT17	1944.50	2114.25	USD	4,243.75	
26JUL17	B	1	PD260CT17	1945.50	2114.25	USD	4,218.75	
26JUL17	B	2	PD260CT17	1943.50	2114.25	USD	8,537.50	
31JUL17	S	17	PD260CT17	1916.24	2114.25	USD	-84,154.25	
	NET	0 AVG	BGHT 1939.75	SOLD 1929.02			-6,435.50	0.00
27JUL17	S	3	PD270CT17	1943.50	2114.08	USD	-12,793.50	
27JUL17	S	2	PD270CT17	1944.00	2114.08	USD	-8,504.00	
28JUL17	B	1	PD270CT17	1925.50	2114.08	USD	4,714.50	
28JUL17	B	1	PD270CT17	1927.00	2114.08	USD	4,677.00	
28JUL17	B	2	PD270CT17	1919.00	2114.08	USD	9,754.00	
28JUL17	B	2	PD270CT17	1917.00	2114.08	USD	9,854.00	
28JUL17	B	2	PD270CT17	1917.50	2114.08	USD	9,829.00	
28JUL17	B	1	PD270CT17	1918.00	2114.08	USD	4,902.00	
28JUL17	B	2	PD270CT17	1915.00	2114.08	USD	9,954.00	
28JUL17	B	2	PD270CT17	1915.50	2114.08	USD	9,929.00	
08AUG17	S	8	PD270CT17	2027.60	2114.08	USD	-17,296.00	
	NET	0 AVG	BGHT 1918.35	SOLD 1995.33			25,020.00	0.00
31JUL17	B	4	PD310CT17	1917.00	2113.75	USD	19,675.00	
08AUG17	S	4	PD310CT17	2028.40	2113.75	USD	-8,535.00	
	NET	0 AVG	BGHT 1917.00	SOLD 2028.40			11,140.00	0.00
01AUG17	B	2	PD01NOV17	1908.00	2114.09	USD	10,304.50	
01AUG17	B	1	PD01NOV17	1906.50	2114.09	USD	5,189.75	
01AUG17	B	2	PD01NOV17	1907.00	2114.09	USD	10,354.50	
08AUG17	S	5	PD01NOV17	2028.60	2114.09	USD	-10,686.25	
	NET	0 AVG	BGHT 1907.30	SOLD 2028.60				0.00

OPEN POSITION STATEMENT



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	<u>LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.</u>						15,162.50	
02AUG17	S	1	PD02NOV17	1923.00	2114.44	USD	-4,786.00	
02AUG17	S	1	PD02NOV17	1925.50	2114.44	USD	-4,723.50	
08AUG17	B	2	PD02NOV17	2029.00	2114.44	USD	4,272.00	
	NET	0 AVG	BGHT 2029.00	SOLD 1924.25			-5,237.50	0.00
03AUG17	B	1	PD03NOV17	1916.00	2114.78	USD	4,969.50	
03AUG17	B	2	PD03NOV17	1916.50	2114.78	USD	9,914.00	
03AUG17	B	2	PD03NOV17	1917.00	2114.78	USD	9,889.00	
04AUG17	B	4	PD03NOV17	1906.00	2114.78	USD	20,878.00	
04AUG17	B	2	PD03NOV17	1906.50	2114.78	USD	10,414.00	
04AUG17	B	1	PD03NOV17	1907.00	2114.78	USD	5,194.50	
04AUG17	B	1	PD03NOV17	1908.00	2114.78	USD	5,169.50	
04AUG17	B	2	PD03NOV17	1909.00	2114.78	USD	10,289.00	
08AUG17	S	15	PD03NOV17	2029.00	2114.78	USD	-32,167.50	
	NET	0 AVG	BGHT 1910.20	SOLD 2029.00			44,550.00	0.00
07AUG17	S	1	PD07NOV17	1926.00	2116.16	USD	-4,754.00	
07AUG17	S	2	PD07NOV17	1928.50	2116.16	USD	-9,383.00	
07AUG17	S	2	PD07NOV17	1929.00	2116.16	USD	-9,358.00	
07AUG17	S	2	PD07NOV17	1927.00	2116.16	USD	-9,458.00	
07AUG17	S	1	PD07NOV17	1930.50	2116.16	USD	-4,641.50	
07AUG17	S	1	PD07NOV17	1935.50	2116.16	USD	-4,516.50	
07AUG17	S	1	PD07NOV17	1936.50	2116.16	USD	-4,491.50	
07AUG17	S	1	PD07NOV17	1944.00	2116.16	USD	-4,304.00	
07AUG17	S	1	PD07NOV17	1944.50	2116.16	USD	-4,291.50	
07AUG17	S	2	PD07NOV17	1949.00	2116.16	USD	-8,358.00	
07AUG17	S	3	PD07NOV17	1946.00	2116.16	USD	-12,762.00	
07AUG17	S	1	PD07NOV17	1951.00	2116.16	USD	-4,129.00	
07AUG17	S	5	PD07NOV17	1951.50	2116.16	USD	-20,582.50	
07AUG17	S	7	PD07NOV17	1952.00	2116.16	USD	-28,728.00	
07AUG17	S	2	PD07NOV17	1958.00	2116.16	USD	-7,908.00	
07AUG17	S	1	PD07NOV17	1959.00	2116.16	USD	-3,929.00	
07AUG17	S	1	PD07NOV17	1978.00	2116.16	USD	-3,454.00	
07AUG17	S	5	PD07NOV17	1977.50	2116.16	USD	-17,332.50	
07AUG17	S	1	PD07NOV17	1976.00	2116.16	USD	-3,504.00	
07AUG17	S	2	PD07NOV17	1977.00	2116.16	USD	-6,958.00	
08AUG17	B	42	PD07NOV17	2030.00	2116.16	USD	90,468.00	
	NET	0 AVG	BGHT 2030.00	SOLD 1951.55			-82,375.00	0.00
08AUG17	S	2	PD08NOV17	1988.00	2116.50	USD	-6,425.00	
08AUG17	S	2	PD08NOV17	1989.00	2116.50	USD	-6,375.00	
08AUG17	S	3	PD08NOV17	1989.50	2116.50	USD	-9,525.00	
08AUG17	S	2	PD08NOV17	1992.50	2116.50	USD	-6,200.00	
08AUG17	S	2	PD08NOV17	1991.00	2116.50	USD	-6,275.00	
08AUG17	B	1	PD08NOV17	1997.00	2116.50	USD	2,987.50	
08AUG17	S	1	PD08NOV17	1997.00	2116.50	USD	-2,987.50	
08AUG17	S	1	PD08NOV17	2002.50	2116.50	USD	-2,850.00	
08AUG17	S	2	PD08NOV17	2003.00	2116.50	USD	-5,675.00	
08AUG17	S	3	PD08NOV17	1992.00	2116.50	USD	-9,337.50	
09AUG17	B	17	PD08NOV17	2027.52	2116.50	USD	37,816.50	
	NET	0 AVG	BGHT 2025.82	SOLD 1992.83			-14,846.00	0.00
09AUG17	S	1	PD09NOV17	2032.00	2116.96	USD	-2,124.00	
09AUG17	S	1	PD09NOV17	2032.50	2116.96	USD	-2,111.50	
09AUG17	B	2	PD09NOV17	2027.70	2116.96	USD	4,463.00	
	NET	0 AVG	BGHT 2027.70	SOLD 2032.25			227.50	0.00
16AUG17	S	1	PD16NOV17	2062.50	2120.07	USD	-1,439.25	
18AUG17	B	1	PD16NOV17	2061.75	2120.07	USD	1,458.00	
	NET	0 AVG	BGHT 2061.75	SOLD 2062.50			18.75	0.00

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	<u>LME ALUMINIUM 25 TONNES. THIS IS AN</u>							
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17AUG17	B	1	PD17NOV17	2102.00	2120.38	USD	459.50	
17AUG17	B	5	PD17NOV17	2108.00	2120.38	USD	1,547.50	
17AUG17	B	1	PD17NOV17	2108.50	2120.38	USD	297.00	
17AUG17	B	2	PD17NOV17	2110.00	2120.38	USD	519.00	
17AUG17	B	1	PD17NOV17	2095.50	2120.38	USD	622.00	
17AUG17	B	1	PD17NOV17	2099.00	2120.38	USD	534.50	
17AUG17	B	1	PD17NOV17	2101.50	2120.38	USD	472.00	
17AUG17	B	4	PD17NOV17	2094.50	2120.38	USD	2,588.00	
17AUG17	B	1	PD17NOV17	2097.00	2120.38	USD	584.50	
17AUG17	B	1	PD17NOV17	2081.50	2120.38	USD	972.00	
17AUG17	B	1	PD17NOV17	2080.50	2120.38	USD	997.00	
17AUG17	B	3	PD17NOV17	2109.50	2120.38	USD	816.00	
18AUG17	B	1	PD17NOV17	2089.50	2120.38	USD	772.00	
18AUG17	S	23	PD17NOV17	2061.80	2120.38	USD	-33,683.50	
	NET	0 AVG	BGHT 2100.93	SOLD 2061.80			-22,502.50	0.00
21AUG17	B	1	PD21NOV17	2073.50	2121.65	USD	1,203.75	
21AUG17	B	1	PD21NOV17	2074.00	2121.65	USD	1,191.25	
24AUG17	S	2	PD21NOV17	2105.52	2121.65	USD	-806.50	
	NET	0 AVG	BGHT 2073.75	SOLD 2105.52			1,588.50	0.00
22AUG17	S	2	PD22NOV17	2081.00	2121.96	USD	-2,048.00	
22AUG17	B	2	PD22NOV17	2080.50	2121.96	USD	2,073.00	
22AUG17	S	2	PD22NOV17	2080.50	2121.96	USD	-2,073.00	
22AUG17	S	5	PD22NOV17	2072.35	2121.96	USD	-6,201.25	
24AUG17	B	7	PD22NOV17	2105.81	2121.96	USD	2,826.25	
	NET	0 AVG	BGHT 2100.19	SOLD 2076.08			-5,423.00	0.00
23AUG17	B	2	PD24NOV17	2060.00	2122.60	USD	3,130.00	
23AUG17	B	2	PD24NOV17	2069.00	2122.60	USD	2,680.00	
23AUG17	B	2	PD24NOV17	2062.00	2122.60	USD	3,030.00	
23AUG17	B	2	PD24NOV17	2063.50	2122.60	USD	2,955.00	
23AUG17	B	1	PD24NOV17	2083.50	2122.60	USD	977.50	
23AUG17	S	1	PD24NOV17	2083.00	2122.60	USD	-990.00	
24AUG17	B	1	PD24NOV17	2091.50	2122.60	USD	777.50	
24AUG17	S	9	PD24NOV17	2105.80	2122.60	USD	-3,780.00	
	NET	0 AVG	BGHT 2068.40	SOLD 2103.52			8,780.00	0.00
29AUG17	S	1	PD29NOV17	2099.00	2124.18	USD	-629.50	
29AUG17	S	2	PD29NOV17	2098.50	2124.18	USD	-1,284.00	
29AUG17	S	2	PD29NOV17	2098.00	2124.18	USD	-1,309.00	
29AUG17	S	2	PD29NOV17	2101.00	2124.18	USD	-1,159.00	
29AUG17	S	2	PD29NOV17	2099.50	2124.18	USD	-1,234.00	
29AUG17	S	3	PD29NOV17	2100.50	2124.18	USD	-1,776.00	
29AUG17	S	3	PD29NOV17	2100.00	2124.18	USD	-1,813.50	
31AUG17	B	15	PD29NOV17	2116.99	2124.18	USD	2,696.25	
	NET	0 AVG	BGHT 2116.99	SOLD 2099.63			-6,508.75	0.00
31AUG17	S	2	PD30NOV17	2100.50	2124.49	USD	-1,199.50	
31AUG17	S	1	PD30NOV17	2098.00	2124.49	USD	-662.25	
31AUG17	S	3	PD30NOV17	2100.00	2124.49	USD	-1,836.75	
31AUG17	S	1	PD30NOV17	2106.00	2124.49	USD	-462.25	
31AUG17	S	1	PD30NOV17	2105.00	2124.49	USD	-487.25	
31AUG17	S	2	PD30NOV17	2112.50	2124.49	USD	-599.50	
31AUG17	S	2	PD30NOV17	2116.00	2124.49	USD	-424.50	
31AUG17	S	1	PD30NOV17	2115.00	2124.49	USD	-237.25	
31AUG17	S	2	PD30NOV17	2114.00	2124.49	USD	-524.50	
31AUG17	S	2	PD30NOV17	2134.50	2124.49	USD	500.50	
31AUG17	S	1	PD30NOV17	2099.50	2124.49	USD	-624.75	
31AUG17	S	1	PD30NOV17	2115.50	2124.49	USD	-224.75	

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	<u>LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.</u>								
12SEP17	B	19	PD30NOV17		2133.28	2124.49	USD	-4,175.25	
	NET	0 AVG	BGHT 2133.28	SOLD 2110.21				-10,958.00	0.00
01SEP17	B	2	PD01DEC17		2126.50	2124.81	USD	-84.50	
01SEP17	B	1	PD01DEC17		2127.50	2124.81	USD	-67.25	
01SEP17	B	1	PD01DEC17		2128.00	2124.81	USD	-79.75	
01SEP17	B	4	PD01DEC17		2128.50	2124.81	USD	-369.00	
01SEP17	B	5	PD01DEC17		2129.00	2124.81	USD	-523.75	
01SEP17	B	2	PD01DEC17		2127.00	2124.81	USD	-109.50	
12SEP17	S	15	PD01DEC17		2133.39	2124.81	USD	3,217.50	
	NET	0 AVG	BGHT 2128.10	SOLD 2133.39				1,983.75	0.00
04SEP17	B	2	PD04DEC17		2124.00	2125.76	USD	88.00	
04SEP17	B	1	PD04DEC17		2125.00	2125.76	USD	19.00	
04SEP17	B	1	PD04DEC17		2126.00	2125.76	USD	-6.00	
04SEP17	S	1	PD04DEC17		2126.50	2125.76	USD	18.50	
04SEP17	S	1	PD04DEC17		2128.00	2125.76	USD	56.00	
04SEP17	B	2	PD04DEC17		2114.50	2125.76	USD	563.00	
04SEP17	S	1	PD04DEC17		2113.00	2125.76	USD	-319.00	
04SEP17	S	1	PD04DEC17		2114.50	2125.76	USD	-281.50	
12SEP17	S	2	PD04DEC17		2134.32	2125.76	USD	428.00	
	NET	0 AVG	BGHT 2121.33	SOLD 2125.11				566.00	0.00
05SEP17	B	2	PD05DEC17		2105.00	2126.07	USD	1,053.50	
05SEP17	B	2	PD05DEC17		2107.50	2126.07	USD	928.50	
12SEP17	S	2	PD05DEC17		2134.63	2126.07	USD	428.00	
12SEP17	S	2	PD05DEC17		2134.83	2126.07	USD	438.00	
	NET	0 AVG	BGHT 2106.25	SOLD 2134.73				2,848.00	0.00
06SEP17	B	2	PD06DEC17		2091.50	2126.39	USD	1,744.50	
06SEP17	B	3	PD06DEC17		2092.00	2126.39	USD	2,579.25	
06SEP17	B	1	PD06DEC17		2075.50	2126.39	USD	1,272.25	
06SEP17	B	1	PD06DEC17		2076.00	2126.39	USD	1,259.75	
06SEP17	S	1	PD06DEC17		2101.50	2126.39	USD	-622.25	
06SEP17	S	1	PD06DEC17		2109.00	2126.39	USD	-434.75	
12SEP17	S	5	PD06DEC17		2135.14	2126.39	USD	1,093.75	
	NET	0 AVG	BGHT 2087.21	SOLD 2126.60				6,892.50	0.00
07SEP17	B	1	PD07DEC17		2091.50	2126.71	USD	880.25	
07SEP17	B	1	PD07DEC17		2092.50	2126.71	USD	855.25	
07SEP17	B	3	PD07DEC17		2093.50	2126.71	USD	2,490.75	
07SEP17	B	1	PD07DEC17		2094.00	2126.71	USD	817.75	
07SEP17	B	1	PD07DEC17		2094.50	2126.71	USD	805.25	
07SEP17	B	1	PD07DEC17		2100.00	2126.71	USD	667.75	
07SEP17	S	1	PD07DEC17		2109.00	2126.71	USD	-442.75	
07SEP17	S	1	PD07DEC17		2110.00	2126.71	USD	-417.75	
07SEP17	S	2	PD07DEC17		2111.50	2126.71	USD	-760.50	
07SEP17	S	7	PD07DEC17		2110.50	2126.71	USD	-2,836.75	
07SEP17	S	1	PD07DEC17		2109.50	2126.71	USD	-430.25	
07SEP17	S	1	PD07DEC17		2116.50	2126.71	USD	-255.25	
07SEP17	S	1	PD07DEC17		2114.00	2126.71	USD	-317.75	
12SEP17	B	6	PD07DEC17		2135.65	2126.71	USD	-1,341.00	
	NET	0 AVG	BGHT 2111.92	SOLD 2111.11				-285.00	0.00
08SEP17	B	1	PD08DEC17		2086.00	2127.02	USD	1,025.50	
08SEP17	S	1	PD08DEC17		2097.50	2127.02	USD	-738.00	
08SEP17	S	1	PD08DEC17		2097.00	2127.02	USD	-750.50	
12SEP17	B	1	PD08DEC17		2135.96	2127.02	USD	-223.50	
	NET	0 AVG	BGHT 2110.98	SOLD 2097.25				-686.50	0.00
11SEP17	S	1	PD11DEC17		2122.50	2127.97	USD	-136.75	
11SEP17	S	1	PD11DEC17		2122.00	2127.97	USD	-149.25	

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	<u>LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.</u>							
14SEP17	B	2	PD11DEC17	2097.20	2127.97	USD	1,538.50	
	NET	0 AVG	BGHT 2097.20	SOLD 2122.25			1,252.50	0.00
12SEP17	B	1	PD12DEC17	2109.50	2128.29	USD	469.75	
12SEP17	S	1	PD12DEC17	2109.50	2128.29	USD	-469.75	
	NET	0 AVG	BGHT 2109.50	SOLD 2109.50			0.00	0.00
13SEP17	B	2	PD13DEC17	2119.50	2128.60	USD	455.00	
13SEP17	B	2	PD13DEC17	2117.00	2128.60	USD	580.00	
13SEP17	B	1	PD13DEC17	2117.50	2128.60	USD	277.50	
13SEP17	B	1	PD13DEC17	2118.00	2128.60	USD	265.00	
13SEP17	B	1	PD13DEC17	2118.50	2128.60	USD	252.50	
13SEP17	B	1	PD13DEC17	2119.00	2128.60	USD	240.00	
14SEP17	S	8	PD13DEC17	2097.53	2128.60	USD	-6,214.00	
	NET	0 AVG	BGHT 2118.25	SOLD 2097.53			-4,144.00	0.00
14SEP17	S	2	PD14DEC17	2115.50	2128.92	USD	-671.00	
14SEP17	S	1	PD14DEC17	2116.00	2128.92	USD	-323.00	
14SEP17	S	1	PD14DEC17	2116.50	2128.92	USD	-310.50	
14SEP17	B	1	PD14DEC17	2086.00	2128.92	USD	1,073.00	
14SEP17	B	1	PD14DEC17	2086.50	2128.92	USD	1,060.50	
14SEP17	S	1	PD14DEC17	2086.00	2128.92	USD	-1,073.00	
14SEP17	B	3	PD14DEC17	2087.00	2128.92	USD	3,144.00	
14SEP17	B	4	PD14DEC17	2087.50	2128.92	USD	4,142.00	
14SEP17	B	1	PD14DEC17	2088.00	2128.92	USD	1,023.00	
14SEP17	B	4	PD14DEC17	2088.50	2128.92	USD	4,042.00	
14SEP17	S	3	PD14DEC17	2097.80	2128.92	USD	-2,334.00	
15SEP17	S	6	PD14DEC17	2085.55	2128.92	USD	-6,505.50	
	NET	0 AVG	BGHT 2087.54	SOLD 2096.87			3,267.50	0.00
15SEP17	S	1	PD15DEC17	2101.00	2129.24	USD	-706.00	
15SEP17	S	3	PD15DEC17	2102.50	2129.24	USD	-2,005.50	
15SEP17	S	1	PD15DEC17	2103.00	2129.24	USD	-656.00	
15SEP17	S	1	PD15DEC17	2103.50	2129.24	USD	-643.50	
15SEP17	B	1	PD15DEC17	2074.00	2129.24	USD	1,381.00	
15SEP17	B	1	PD15DEC17	2075.00	2129.24	USD	1,356.00	
15SEP17	B	1	PD15DEC17	2075.50	2129.24	USD	1,343.50	
15SEP17	B	3	PD15DEC17	2073.50	2129.24	USD	4,180.50	
	NET	0 AVG	BGHT 2074.17	SOLD 2102.50			4,250.00	0.00
20SEP17	S	1	PD20DEC17	2149.50	2130.50	USD	475.00	
20SEP17	S	1	PD20DEC17	2153.00	2130.50	USD	562.50	
20SEP17	S	2	PD20DEC17	2155.50	2130.50	USD	1,250.00	
20SEP17	S	3	PD20DEC17	2157.00	2130.50	USD	1,987.50	
20SEP17	S	1	PD20DEC17	2157.50	2130.50	USD	675.00	
20SEP17	S	1	PD20DEC17	2159.00	2130.50	USD	712.50	
20SEP17	S	1	PD20DEC17	2189.50	2130.50	USD	1,475.00	
20SEP17	S	2	PD20DEC17	2190.50	2130.50	USD	3,000.00	
20SEP17	S	1	PD20DEC17	2186.00	2130.50	USD	1,387.50	
20SEP17	S	1	PD20DEC17	2187.50	2130.50	USD	1,425.00	
20SEP17	S	1	PD20DEC17	2156.00	2130.50	USD	637.50	
27SEP17	B	15	PD20DEC17	2129.50	2130.50	USD	375.00	
	NET	0 AVG	BGHT 2129.50	SOLD 2166.73			13,962.50	0.00
21SEP17	B	1	PD21DEC17	2164.00	2130.50	USD	-837.50	
21SEP17	B	1	PD21DEC17	2168.00	2130.50	USD	-937.50	
21SEP17	S	1	PD21DEC17	2168.00	2130.50	USD	937.50	
21SEP17	B	1	PD21DEC17	2171.50	2130.50	USD	-1,025.00	
21SEP17	B	2	PD21DEC17	2172.00	2130.50	USD	-2,075.00	
21SEP17	B	1	PD21DEC17	2173.00	2130.50	USD	-1,062.50	
21SEP17	B	1	PD21DEC17	2179.00	2130.50	USD	-1,212.50	

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	<u>LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.</u>							
21SEP17	B	1	PD21DEC17	2180.50	2130.50	USD	-1,250.00	
21SEP17	B	2	PD21DEC17	2194.00	2130.50	USD	-3,175.00	
21SEP17	B	3	PD21DEC17	2194.50	2130.50	USD	-4,800.00	
21SEP17	B	1	PD21DEC17	2197.50	2130.50	USD	-1,675.00	
21SEP17	B	1	PD21DEC17	2196.00	2130.50	USD	-1,637.50	
21SEP17	B	1	PD21DEC17	2196.50	2130.50	USD	-1,650.00	
27SEP17	S	15	PD21DEC17	2129.59	2130.50	USD	-341.25	
	NET	0 AVG	BGHT 2183.84				SOLD 2131.99	0.00
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
18JUL17	B	1	PD18OCT17	5985.50	6859.50	USD	21,850.00	
18JUL17	S	1	PD18OCT17	5986.00	6859.50	USD	-21,837.50	
	NET	0 AVG	BGHT 5985.50				SOLD 5986.00	0.00
19JUL17	B	1	PD19OCT17	6014.00	6857.50	USD	21,087.50	
19JUL17	S	1	PD19OCT17	6013.00	6857.50	USD	-21,112.50	
	NET	0 AVG	BGHT 6014.00				SOLD 6013.00	0.00
	<u>LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
17JUL17	B	5	PD17OCT17	2319.50	2518.25	USD	24,843.75	
31JUL17	S	5	PD17OCT17	2331.06	2518.25	USD	-23,398.75	
	NET	0 AVG	BGHT 2319.50				SOLD 2331.06	0.00
19JUL17	B	2	PD19OCT17	2239.50	2518.90	USD	13,970.00	
19JUL17	B	4	PD19OCT17	2240.00	2518.90	USD	27,890.00	
19JUL17	B	1	PD19OCT17	2242.50	2518.90	USD	6,910.00	
19JUL17	B	3	PD19OCT17	2243.00	2518.90	USD	20,692.50	
19JUL17	B	2	PD19OCT17	2243.50	2518.90	USD	13,770.00	
19JUL17	B	1	PD19OCT17	2228.50	2518.90	USD	7,260.00	
19JUL17	B	1	PD19OCT17	2232.50	2518.90	USD	7,160.00	
19JUL17	S	1	PD19OCT17	2216.50	2518.90	USD	-7,560.00	
19JUL17	S	1	PD19OCT17	2217.00	2518.90	USD	-7,547.50	
19JUL17	S	1	PD19OCT17	2212.50	2518.90	USD	-7,660.00	
19JUL17	S	4	PD19OCT17	2210.00	2518.90	USD	-30,890.00	
19JUL17	S	1	PD19OCT17	2217.50	2518.90	USD	-7,535.00	
19JUL17	S	1	PD19OCT17	2211.50	2518.90	USD	-7,685.00	
19JUL17	S	1	PD19OCT17	2213.00	2518.90	USD	-7,647.50	
31JUL17	S	4	PD19OCT17	2330.75	2518.90	USD	-18,815.00	
	NET	0 AVG	BGHT 2239.89				SOLD 2246.50	0.00
20JUL17	S	1	PD20OCT17	2222.50	2519.05	USD	-7,413.75	
20JUL17	S	2	PD20OCT17	2228.00	2519.05	USD	-14,552.50	
20JUL17	S	1	PD20OCT17	2225.50	2519.05	USD	-7,338.75	
31JUL17	B	4	PD20OCT17	2331.22	2519.05	USD	18,783.00	
	NET	0 AVG	BGHT 2331.22				SOLD 2226.00	0.00
29SEP17	S	31	PD02NOV17	2492.15	2521.00	USD	-22,358.75	
29SEP17	S	14	PD02NOV17	2492.35	2521.00	USD	-10,027.50	
13OCT17	B	9	PD02NOV17	2521.00	2521.00	USD	0.00	
	NET	-36 AVG	BGHT 2521.00				SOLD 2492.21	0.00
03AUG17	S	1	PD03NOV17	2369.00	2521.13	USD	-3,803.25	
03AUG17	S	1	PD03NOV17	2369.50	2521.13	USD	-3,790.75	
03AUG17	S	3	PD03NOV17	2370.00	2521.13	USD	-11,334.75	
03AUG17	S	1	PD03NOV17	2370.50	2521.13	USD	-3,765.75	
04AUG17	S	1	PD03NOV17	2362.50	2521.13	USD	-3,965.75	
04AUG17	S	1	PD03NOV17	2363.00	2521.13	USD	-3,953.25	
04AUG17	B	1	PD03NOV17	2358.00	2521.13	USD	4,078.25	
04AUG17	B	1	PD03NOV17	2357.00	2521.13	USD	4,103.25	

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04AUG17	B	2	PD03NOV17	2354.00	2521.13	USD	8,356.50	
08AUG17	B	4	PD03NOV17	2382.31	2521.13	USD	13,882.00	
	NET	0 AVG	BGHT 2369.03				-193.50	0.00
07AUG17	B	2	PD07NOV17	2374.00	2521.67	USD	7,383.50	
07AUG17	B	1	PD07NOV17	2374.50	2521.67	USD	3,679.25	
07AUG17	B	1	PD07NOV17	2375.00	2521.67	USD	3,666.75	
07AUG17	B	2	PD07NOV17	2364.00	2521.67	USD	7,883.50	
08AUG17	S	6	PD07NOV17	2382.80	2521.67	USD	-20,830.50	
	NET	0 AVG	BGHT 2370.92				1,782.50	0.00
16AUG17	S	1	PD16NOV17	2387.50	2522.75	USD	-3,381.25	
16AUG17	S	2	PD16NOV17	2388.50	2522.75	USD	-6,712.50	
16AUG17	S	2	PD16NOV17	2389.00	2522.75	USD	-6,687.50	
16AUG17	S	2	PD16NOV17	2388.00	2522.75	USD	-6,737.50	
16AUG17	B	1	PD16NOV17	2388.50	2522.75	USD	3,356.25	
16AUG17	B	1	PD16NOV17	2389.00	2522.75	USD	3,343.75	
16AUG17	B	1	PD16NOV17	2489.00	2522.75	USD	843.75	
16AUG17	B	1	PD16NOV17	2493.50	2522.75	USD	731.25	
16AUG17	B	1	PD16NOV17	2499.00	2522.75	USD	593.75	
16AUG17	B	1	PD16NOV17	2503.50	2522.75	USD	481.25	
16AUG17	S	1	PD16NOV17	2498.50	2522.75	USD	-606.25	
24AUG17	B	2	PD16NOV17	2353.75	2522.75	USD	8,450.00	
	NET	0 AVG	BGHT 2433.75				-6,325.00	0.00
18AUG17	B	2	PD17NOV17	2406.00	2522.75	USD	5,837.50	
24AUG17	S	2	PD17NOV17	2353.80	2522.75	USD	-8,447.50	
	NET	0 AVG	BGHT 2406.00				-2,610.00	0.00
24AUG17	B	2	PD24NOV17	2353.50	2523.81	USD	8,515.50	
24AUG17	B	2	PD24NOV17	2354.00	2523.81	USD	8,490.50	
24AUG17	S	4	PD24NOV17	2356.80	2523.81	USD	-16,701.00	
	NET	0 AVG	BGHT 2353.75				305.00	0.00
29AUG17	S	2	PD29NOV17	2346.00	2524.57	USD	-8,928.50	
29AUG17	S	2	PD29NOV17	2342.00	2524.57	USD	-9,128.50	
29AUG17	B	3	PD29NOV17	2345.00	2524.57	USD	13,467.75	
29AUG17	B	3	PD29NOV17	2346.50	2524.57	USD	13,355.25	
29AUG17	B	2	PD29NOV17	2347.00	2524.57	USD	8,878.50	
29AUG17	B	4	PD29NOV17	2347.50	2524.57	USD	17,707.00	
29AUG17	S	4	PD29NOV17	2341.00	2524.57	USD	-18,357.00	
29AUG17	S	2	PD29NOV17	2343.50	2524.57	USD	-9,053.50	
29AUG17	S	2	PD29NOV17	2343.00	2524.57	USD	-9,078.50	
29AUG17	S	2	PD29NOV17	2344.50	2524.57	USD	-9,003.50	
29AUG17	S	2	PD29NOV17	2345.00	2524.57	USD	-8,978.50	
29AUG17	S	1	PD29NOV17	2345.50	2524.57	USD	-4,476.75	
29AUG17	S	1	PD29NOV17	2350.00	2524.57	USD	-4,364.25	
29AUG17	S	2	PD29NOV17	2352.00	2524.57	USD	-8,628.50	
29AUG17	S	1	PD29NOV17	2353.00	2524.57	USD	-4,289.25	
29AUG17	B	5	PD29NOV17	2349.00	2524.57	USD	21,946.25	
31AUG17	B	4	PD29NOV17	2393.91	2524.57	USD	13,066.00	
	NET	0 AVG	BGHT 2356.15				-5,866.00	0.00
30AUG17	B	2	PD30NOV17	2391.00	2524.72	USD	6,686.00	
30AUG17	S	2	PD30NOV17	2390.00	2524.72	USD	-6,736.00	
31AUG17	B	2	PD30NOV17	2386.00	2524.72	USD	6,936.00	
31AUG17	B	2	PD30NOV17	2386.50	2524.72	USD	6,911.00	
31AUG17	S	2	PD30NOV17	2394.50	2524.72	USD	-6,511.00	
31AUG17	S	2	PD30NOV17	2396.50	2524.72	USD	-6,411.00	
31AUG17	S	2	PD30NOV17	2389.50	2524.72	USD	-6,761.00	
31AUG17	B	2	PD30NOV17	2394.20	2524.72	USD	6,526.00	

OPEN POSITION STATEMENT



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SAP0045G

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backoffice@sapiencap.com

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	<u>LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>								
	NET	0 AVG	BGHT	2389.43	SOLD	2392.63		640.00	0.00
05SEP17	B	4	PD05DEC17		2375.00	2525.48	USD	15,048.00	
05SEP17	B	1	PD05DEC17		2369.50	2525.48	USD	3,899.50	
05SEP17	B	2	PD05DEC17		2372.00	2525.48	USD	7,674.00	
05SEP17	B	1	PD05DEC17		2370.50	2525.48	USD	3,874.50	
05SEP17	B	1	PD05DEC17		2370.00	2525.48	USD	3,887.00	
05SEP17	B	1	PD05DEC17		2350.50	2525.48	USD	4,374.50	
12SEP17	S	10	PD05DEC17		2309.35	2525.48	USD	-54,032.50	
	NET	0 AVG	BGHT	2370.45	SOLD	2309.35		-15,275.00	0.00
06SEP17	S	2	PD06DEC17		2356.50	2525.63	USD	-8,456.50	
06SEP17	S	1	PD06DEC17		2363.50	2525.63	USD	-4,053.25	
06SEP17	S	1	PD06DEC17		2364.50	2525.63	USD	-4,028.25	
06SEP17	S	4	PD06DEC17		2357.00	2525.63	USD	-16,863.00	
06SEP17	S	2	PD06DEC17		2359.50	2525.63	USD	-8,306.50	
12SEP17	B	10	PD06DEC17		2309.85	2525.63	USD	53,945.00	
	NET	0 AVG	BGHT	2309.85	SOLD	2358.80		12,237.50	0.00
07SEP17	B	2	PD07DEC17		2342.50	2525.78	USD	9,164.00	
07SEP17	B	1	PD07DEC17		2343.00	2525.78	USD	4,569.50	
12SEP17	S	3	PD07DEC17		2309.96	2525.78	USD	-16,186.50	
	NET	0 AVG	BGHT	2342.67	SOLD	2309.96		-2,453.00	0.00
08SEP17	B	1	PD08DEC17		2351.00	2525.93	USD	4,373.25	
08SEP17	B	1	PD08DEC17		2353.00	2525.93	USD	4,323.25	
08SEP17	B	1	PD08DEC17		2356.50	2525.93	USD	4,235.75	
08SEP17	B	1	PD08DEC17		2297.00	2525.93	USD	5,723.25	
08SEP17	S	1	PD08DEC17		2301.50	2525.93	USD	-5,610.75	
08SEP17	S	1	PD08DEC17		2302.50	2525.93	USD	-5,585.75	
08SEP17	S	1	PD08DEC17		2303.50	2525.93	USD	-5,560.75	
08SEP17	S	1	PD08DEC17		2304.50	2525.93	USD	-5,535.75	
08SEP17	S	2	PD08DEC17		2305.00	2525.93	USD	-11,046.50	
08SEP17	S	1	PD08DEC17		2306.50	2525.93	USD	-5,485.75	
12SEP17	B	3	PD08DEC17		2310.47	2525.93	USD	16,159.50	
	NET	0 AVG	BGHT	2326.99	SOLD	2304.07		-4,010.25	0.00
15SEP17	B	2	PD15DEC17		2314.50	2526.99	USD	10,624.50	
15SEP17	S	1	PD15DEC17		2346.00	2526.99	USD	-4,524.75	
15SEP17	S	1	PD15DEC17		2344.50	2526.99	USD	-4,562.25	
	NET	0 AVG	BGHT	2314.50	SOLD	2345.25		1,537.50	0.00
20SEP17	S	2	PD20DEC17		2461.50	2527.75	USD	-3,312.50	
20SEP17	S	9	PD20DEC17		2462.00	2527.75	USD	-14,793.75	
20SEP17	S	1	PD20DEC17		2462.50	2527.75	USD	-1,631.25	
20SEP17	B	1	PD20DEC17		2463.00	2527.75	USD	1,618.75	
21SEP17	B	11	PD20DEC17		2518.20	2527.75	USD	2,626.25	
	NET	0 AVG	BGHT	2513.60	SOLD	2461.96		-15,492.50	0.00
21SEP17	S	1	PD21DEC17		2445.00	2526.75	USD	-2,043.75	
21SEP17	S	1	PD21DEC17		2446.50	2526.75	USD	-2,006.25	
21SEP17	S	2	PD21DEC17		2448.00	2526.75	USD	-3,937.50	
21SEP17	S	1	PD21DEC17		2452.00	2526.75	USD	-1,868.75	
21SEP17	S	1	PD21DEC17		2465.00	2526.75	USD	-1,543.75	
21SEP17	S	1	PD21DEC17		2462.50	2526.75	USD	-1,606.25	
21SEP17	S	1	PD21DEC17		2472.00	2526.75	USD	-1,368.75	
21SEP17	B	1	PD21DEC17		2442.00	2526.75	USD	2,118.75	
21SEP17	S	1	PD21DEC17		2441.00	2526.75	USD	-2,143.75	
21SEP17	B	1	PD21DEC17		2446.50	2526.75	USD	2,006.25	
21SEP17	S	1	PD21DEC17		2466.00	2526.75	USD	-1,518.75	
21SEP17	S	1	PD21DEC17		2467.00	2526.75	USD	-1,493.75	
21SEP17	S	1	PD21DEC17		2466.50	2526.75	USD	-1,506.25	

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21SEP17	S	1	PD21DEC17	2468.50	2526.75	USD	-1,456.25	
21SEP17	S	2	PD21DEC17	2469.00	2526.75	USD	-2,887.50	
21SEP17	S	2	PD21DEC17	2469.50	2526.75	USD	-2,862.50	
21SEP17	S	3	PD21DEC17	2471.50	2526.75	USD	-4,143.75	
21SEP17	S	1	PD21DEC17	2470.00	2526.75	USD	-1,418.75	
21SEP17	S	2	PD21DEC17	2470.50	2526.75	USD	-2,812.50	
21SEP17	S	1	PD21DEC17	2471.00	2526.75	USD	-1,393.75	
21SEP17	S	1	PD21DEC17	2476.00	2526.75	USD	-1,268.75	
21SEP17	S	2	PD21DEC17	2477.00	2526.75	USD	-2,487.50	
21SEP17	S	1	PD21DEC17	2479.50	2526.75	USD	-1,181.25	
21SEP17	S	2	PD21DEC17	2480.00	2526.75	USD	-2,337.50	
21SEP17	S	1	PD21DEC17	2480.50	2526.75	USD	-1,156.25	
21SEP17	S	2	PD21DEC17	2472.50	2526.75	USD	-2,712.50	
21SEP17	S	2	PD21DEC17	2474.00	2526.75	USD	-2,637.50	
21SEP17	S	2	PD21DEC17	2474.50	2526.75	USD	-2,612.50	
21SEP17	S	2	PD21DEC17	2484.00	2526.75	USD	-2,137.50	
21SEP17	S	1	PD21DEC17	2486.00	2526.75	USD	-1,018.75	
21SEP17	B	1	PD21DEC17	2490.50	2526.75	USD	906.25	
21SEP17	S	1	PD21DEC17	2490.00	2526.75	USD	-918.75	
21SEP17	S	3	PD21DEC17	2484.50	2526.75	USD	-3,168.75	
21SEP17	B	1	PD21DEC17	2479.50	2526.75	USD	1,181.25	
21SEP17	B	10	PD21DEC17	2488.08	2526.75	USD	9,667.50	
21SEP17	B	30	PD21DEC17	2513.20	2526.75	USD	10,162.50	
	NET	0 AVG BGHT 2503.08	SOLD 2470.70				-35,607.50	0.00
22SEP17	B	1	PD22DEC17	2414.00	2526.90	USD	2,822.50	
22SEP17	S	1	PD22DEC17	2447.50	2526.90	USD	-1,985.00	
22SEP17	S	1	PD22DEC17	2482.50	2526.90	USD	-1,110.00	
22SEP17	B	1	PD22DEC17	2461.50	2526.90	USD	1,635.00	
22SEP17	S	1	PD22DEC17	2480.00	2526.90	USD	-1,172.50	
22SEP17	S	1	PD22DEC17	2482.00	2526.90	USD	-1,122.50	
22SEP17	S	1	PD22DEC17	2472.50	2526.90	USD	-1,360.00	
22SEP17	S	1	PD22DEC17	2476.00	2526.90	USD	-1,272.50	
22SEP17	S	1	PD22DEC17	2480.50	2526.90	USD	-1,160.00	
22SEP17	B	1	PD22DEC17	2488.00	2526.90	USD	972.50	
22SEP17	B	1	PD22DEC17	2490.00	2526.90	USD	922.50	
22SEP17	B	2	PD22DEC17	2489.50	2526.90	USD	1,870.00	
22SEP17	B	1	PD22DEC17	2483.00	2526.90	USD	1,097.50	
	NET	0 AVG BGHT 2473.64	SOLD 2474.43				137.50	0.00
26SEP17	S	1	PD27DEC17	2486.50	2527.64	USD	-1,028.50	
26SEP17	B	2	PD27DEC17	2490.50	2527.64	USD	1,857.00	
26SEP17	B	1	PD27DEC17	2487.00	2527.64	USD	1,016.00	
26SEP17	B	2	PD27DEC17	2488.50	2527.64	USD	1,957.00	
26SEP17	B	1	PD27DEC17	2491.00	2527.64	USD	916.00	
26SEP17	B	1	PD27DEC17	2493.00	2527.64	USD	866.00	
26SEP17	B	2	PD27DEC17	2467.00	2527.64	USD	3,032.00	
26SEP17	B	2	PD27DEC17	2468.00	2527.64	USD	2,982.00	
26SEP17	B	2	PD27DEC17	2484.00	2527.64	USD	2,182.00	
26SEP17	B	1	PD27DEC17	2481.50	2527.64	USD	1,153.50	
26SEP17	B	2	PD27DEC17	2483.00	2527.64	USD	2,232.00	
27SEP17	B	1	PD27DEC17	2478.50	2527.64	USD	1,228.50	
27SEP17	B	1	PD27DEC17	2480.00	2527.64	USD	1,191.00	
27SEP17	B	3	PD27DEC17	2480.50	2527.64	USD	3,535.50	
27SEP17	S	20	PD27DEC17	2461.80	2527.64	USD	-32,920.00	
	NET	0 AVG BGHT 2481.64	SOLD 2462.98				-9,800.00	0.00
28SEP17	B	2	PD28DEC17	2459.00	2527.78	USD	3,439.00	
28SEP17	S	1	PD28DEC17	2451.00	2527.78	USD	-1,919.50	

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28SEP17	S	1	PD28DEC17	2452.00	2527.78	USD	-1,894.50	
28SEP17	S	2	PD28DEC17	2468.00	2527.78	USD	-2,989.00	
28SEP17	S	3	PD28DEC17	2467.00	2527.78	USD	-4,558.50	
28SEP17	S	2	PD28DEC17	2467.50	2527.78	USD	-3,014.00	
28SEP17	B	1	PD28DEC17	2470.00	2527.78	USD	1,444.50	
28SEP17	S	1	PD28DEC17	2469.00	2527.78	USD	-1,469.50	
29SEP17	B	7	PD28DEC17	2486.20	2527.78	USD	7,276.50	
	NET	0AVG BGHT 2479.14	SOLD 2464.40				-3,685.00	0.00
29SEP17	S	2	PD29DEC17	2484.00	2527.93	USD	-2,196.50	
29SEP17	S	1	PD29DEC17	2485.50	2527.93	USD	-1,060.75	
29SEP17	S	1	PD29DEC17	2486.00	2527.93	USD	-1,048.25	
29SEP17	S	3	PD29DEC17	2485.00	2527.93	USD	-3,219.75	
29SEP17	B	7	PD29DEC17	2485.20	2527.93	USD	7,477.75	
	NET	0AVG BGHT 2485.20	SOLD 2484.93				-47.50	0.00
09OCT17	B	1	PD09JAN18	2489.50	2529.56	USD	1,001.50	
09OCT17	B	2	PD09JAN18	2490.50	2529.56	USD	1,953.00	
09OCT17	B	1	PD09JAN18	2492.50	2529.56	USD	926.50	
09OCT17	B	1	PD09JAN18	2493.50	2529.56	USD	901.50	
09OCT17	S	1	PD09JAN18	2516.00	2529.56	USD	-339.00	
09OCT17	S	1	PD09JAN18	2516.50	2529.56	USD	-326.50	
09OCT17	S	1	PD09JAN18	2517.50	2529.56	USD	-301.50	
09OCT17	B	1	PD09JAN18	2518.50	2529.56	USD	276.50	
	NET	+3AVG BGHT 2495.83	SOLD 2516.67				4,092.00	0.00
10OCT17	S	1	PD10JAN18	2501.50	2529.70	USD	-705.00	
10OCT17	S	1	PD10JAN18	2503.00	2529.70	USD	-667.50	
10OCT17	S	1	PD10JAN18	2515.50	2529.70	USD	-355.00	
	NET	-3AVG SOLD 2506.67					-1,727.50	0.00
12OCT17	S	1	PD12JAN18	2550.00	2530.00	USD	500.00	
12OCT17	S	1	PD12JAN18	2559.00	2530.00	USD	725.00	
12OCT17	S	1	PD12JAN18	2551.50	2530.00	USD	537.50	
12OCT17	S	1	PD12JAN18	2561.00	2530.00	USD	775.00	
12OCT17	S	2	PD12JAN18	2561.50	2530.00	USD	1,575.00	
12OCT17	S	2	PD12JAN18	2563.00	2530.00	USD	1,650.00	
12OCT17	S	2	PD12JAN18	2563.50	2530.00	USD	1,675.00	
12OCT17	S	3	PD12JAN18	2564.00	2530.00	USD	2,550.00	
12OCT17	S	1	PD12JAN18	2565.00	2530.00	USD	875.00	
12OCT17	B	1	PD12JAN18	2581.50	2530.00	USD	-1,287.50	
12OCT17	B	1	PD12JAN18	2583.00	2530.00	USD	-1,325.00	
12OCT17	B	1	PD12JAN18	2584.00	2530.00	USD	-1,350.00	
12OCT17	B	1	PD12JAN18	2576.00	2530.00	USD	-1,150.00	
12OCT17	B	1	PD12JAN18	2553.00	2530.00	USD	-575.00	
12OCT17	B	2	PD12JAN18	2564.50	2530.00	USD	-1,725.00	
12OCT17	B	4	PD12JAN18	2564.00	2530.00	USD	-3,400.00	
12OCT17	B	3	PD12JAN18	2565.00	2530.00	USD	-2,625.00	
13OCT17	B	1	PD12JAN18	2540.50	2530.00	USD	-262.50	
13OCT17	B	1	PD12JAN18	2543.00	2530.00	USD	-325.00	
13OCT17	B	2	PD12JAN18	2543.50	2530.00	USD	-675.00	
13OCT17	B	3	PD12JAN18	2544.50	2530.00	USD	-1,087.50	
13OCT17	B	1	PD12JAN18	2545.00	2530.00	USD	-375.00	
13OCT17	B	2	PD12JAN18	2547.50	2530.00	USD	-875.00	
13OCT17	S	9	PD12JAN18	2529.80	2530.00	USD	-45.00	
	NET	+1AVG BGHT 2558.40	SOLD 2548.81				-6,220.00	0.00
	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
18JUL17	S	2	PD18OCT17	9650.00	11610.50	USD	-23,526.00	

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18JUL17	S	3	PD18OCT17	9670.00	11610.50	USD	-34,929.00	
31JUL17	B	5	PD18OCT17	10212.50	11610.50	USD	41,940.00	
	NET	0 AVG	BGHT 10212.50				-16,515.00	0.00
19JUL17	S	2	PD19OCT17	9735.00	11610.50	USD	-22,506.00	
19JUL17	S	1	PD19OCT17	9740.00	11610.50	USD	-11,223.00	
19JUL17	S	1	PD19OCT17	9760.00	11610.50	USD	-11,103.00	
19JUL17	S	1	PD19OCT17	9750.00	11610.50	USD	-11,163.00	
19JUL17	S	9	PD19OCT17	9755.00	11610.50	USD	-100,197.00	
19JUL17	S	1	PD19OCT17	9770.00	11610.50	USD	-11,043.00	
19JUL17	S	1	PD19OCT17	9765.00	11610.50	USD	-11,073.00	
19JUL17	B	2	PD19OCT17	9710.00	11610.50	USD	22,806.00	
19JUL17	B	1	PD19OCT17	9725.00	11610.50	USD	11,313.00	
19JUL17	B	1	PD19OCT17	9700.00	11610.50	USD	11,463.00	
19JUL17	B	5	PD19OCT17	9675.00	11610.50	USD	58,065.00	
19JUL17	B	2	PD19OCT17	9640.00	11610.50	USD	23,646.00	
19JUL17	B	3	PD19OCT17	9645.00	11610.50	USD	35,379.00	
19JUL17	B	5	PD19OCT17	9650.00	11610.50	USD	58,815.00	
19JUL17	B	2	PD19OCT17	9635.00	11610.50	USD	23,706.00	
31JUL17	S	5	PD19OCT17	10211.77	11610.50	USD	-41,961.90	
	NET	0 AVG	BGHT 9664.52				24,923.10	0.00
20JUL17	B	1	PD20OCT17	9600.00	11611.35	USD	12,068.10	
31JUL17	S	1	PD20OCT17	10212.04	11611.35	USD	-8,395.86	
	NET	0 AVG	BGHT 9600.00				3,672.24	0.00
24JUL17	S	2	PD24OCT17	9730.00	11614.76	USD	-22,617.12	
24JUL17	S	1	PD24OCT17	9735.00	11614.76	USD	-11,278.56	
24JUL17	S	1	PD24OCT17	9720.00	11614.76	USD	-11,368.56	
24JUL17	B	1	PD24OCT17	9755.00	11614.76	USD	11,158.56	
24JUL17	S	2	PD24OCT17	9755.00	11614.76	USD	-22,317.12	
24JUL17	B	1	PD24OCT17	9765.00	11614.76	USD	11,098.56	
24JUL17	S	1	PD24OCT17	9750.00	11614.76	USD	-11,188.56	
24JUL17	S	2	PD24OCT17	9760.00	11614.76	USD	-22,257.12	
24JUL17	S	4	PD24OCT17	9765.00	11614.76	USD	-44,394.24	
24JUL17	S	2	PD24OCT17	9770.00	11614.76	USD	-22,137.12	
31JUL17	B	11	PD24OCT17	10214.12	11614.76	USD	92,442.24	
08AUG17	B	2	PD24OCT17	10624.93	11614.76	USD	11,877.96	
	NET	0 AVG	BGHT 10208.35				-40,981.08	0.00
25JUL17	S	4	PD25OCT17	9840.00	11615.61	USD	-42,614.64	
25JUL17	S	2	PD25OCT17	9845.00	11615.61	USD	-21,247.32	
25JUL17	S	2	PD25OCT17	9850.00	11615.61	USD	-21,187.32	
25JUL17	S	3	PD25OCT17	9905.00	11615.61	USD	-30,790.98	
25JUL17	S	2	PD25OCT17	9910.00	11615.61	USD	-20,467.32	
25JUL17	S	4	PD25OCT17	9895.00	11615.61	USD	-41,294.64	
25JUL17	S	7	PD25OCT17	9900.00	11615.61	USD	-72,055.62	
31JUL17	B	24	PD25OCT17	10214.38	11615.61	USD	201,777.12	
	NET	0 AVG	BGHT 10214.38				-47,880.72	0.00
26JUL17	S	2	PD26OCT17	9935.00	11616.46	USD	-20,177.52	
26JUL17	S	1	PD26OCT17	9940.00	11616.46	USD	-10,058.76	
26JUL17	S	1	PD26OCT17	9965.00	11616.46	USD	-9,908.76	
26JUL17	S	1	PD26OCT17	9985.00	11616.46	USD	-9,788.76	
26JUL17	S	1	PD26OCT17	10040.00	11616.46	USD	-9,458.76	
26JUL17	S	1	PD26OCT17	10000.00	11616.46	USD	-9,698.76	
26JUL17	S	2	PD26OCT17	10005.00	11616.46	USD	-19,337.52	
26JUL17	S	1	PD26OCT17	10015.00	11616.46	USD	-9,608.76	
26JUL17	S	2	PD26OCT17	10030.00	11616.46	USD	-19,037.52	
26JUL17	S	1	PD26OCT17	10020.00	11616.46	USD	-9,578.76	
26JUL17	S	3	PD26OCT17	10025.00	11616.46	USD	-28,646.28	

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backoffice@sapiencap.com

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26JUL17	S	4	PD26OCT17	10035.00	11616.46	USD	-37,955.04	
31JUL17	B	20	PD26OCT17	10214.65	11616.46	USD	168,217.20	
	NET	0AVG	BGHT 10214.65				-25,038.00	0.00
27JUL17	S	1	PD27OCT17	10100.00	11617.31	USD	-9,103.86	
27JUL17	S	1	PD27OCT17	10105.00	11617.31	USD	-9,073.86	
27JUL17	S	1	PD27OCT17	10110.00	11617.31	USD	-9,043.86	
27JUL17	S	4	PD27OCT17	10120.00	11617.31	USD	-35,935.44	
27JUL17	S	6	PD27OCT17	10125.00	11617.31	USD	-53,723.16	
27JUL17	S	2	PD27OCT17	10130.00	11617.31	USD	-17,847.72	
27JUL17	S	8	PD27OCT17	10115.00	11617.31	USD	-72,110.88	
27JUL17	S	1	PD27OCT17	10140.00	11617.31	USD	-8,863.86	
27JUL17	S	1	PD27OCT17	10175.00	11617.31	USD	-8,653.86	
27JUL17	S	1	PD27OCT17	10160.00	11617.31	USD	-8,743.86	
27JUL17	S	1	PD27OCT17	10155.00	11617.31	USD	-8,773.86	
27JUL17	S	2	PD27OCT17	10170.00	11617.31	USD	-17,367.72	
27JUL17	S	1	PD27OCT17	10150.00	11617.31	USD	-8,803.86	
28JUL17	S	1	PD27OCT17	10130.00	11617.31	USD	-8,923.86	
31JUL17	B	31	PD27OCT17	10214.92	11617.31	USD	260,844.54	
	NET	0AVG	BGHT 10214.92				-16,125.12	0.00
31JUL17	S	1	PD31OCT17	10270.00	11620.72	USD	-8,104.32	
31JUL17	S	1	PD31OCT17	10275.00	11620.72	USD	-8,074.32	
08AUG17	B	2	PD31OCT17	10627.76	11620.72	USD	11,915.52	
	NET	0AVG	BGHT 10627.76				-4,263.12	0.00
08AUG17	S	1	PD08NOV17	10695.00	11627.54	USD	-5,595.24	
08AUG17	S	3	PD08NOV17	10740.00	11627.54	USD	-15,975.72	
08AUG17	S	3	PD08NOV17	10735.00	11627.54	USD	-16,065.72	
09AUG17	B	7	PD08NOV17	10760.50	11627.54	USD	36,415.68	
	NET	0AVG	BGHT 10760.50				-1,221.00	0.00
09AUG17	S	1	PD09NOV17	10770.00	11628.39	USD	-5,150.34	
09AUG17	S	1	PD09NOV17	10775.00	11628.39	USD	-5,120.34	
09AUG17	S	1	PD09NOV17	10780.00	11628.39	USD	-5,090.34	
09AUG17	S	2	PD09NOV17	10785.00	11628.39	USD	-10,120.68	
09AUG17	S	1	PD09NOV17	10740.00	11628.39	USD	-5,330.34	
09AUG17	B	7	PD09NOV17	10761.00	11628.39	USD	36,430.38	
09AUG17	S	8	PD09NOV17	10730.00	11628.39	USD	-43,122.72	
18AUG17	B	7	PD09NOV17	10978.96	11628.39	USD	27,276.06	
	NET	0AVG	BGHT 10869.98				-10,228.32	0.00
10AUG17	S	1	PD10NOV17	10800.00	11629.24	USD	-4,975.44	
18AUG17	B	1	PD10NOV17	10979.63	11629.24	USD	3,897.66	
	NET	0AVG	BGHT 10979.63				-1,077.78	0.00
14AUG17	B	1	PD14NOV17	10420.00	11632.65	USD	7,275.90	
14AUG17	B	1	PD14NOV17	10430.00	11632.65	USD	7,215.90	
14AUG17	B	6	PD14NOV17	10435.00	11632.65	USD	43,115.40	
14AUG17	B	2	PD14NOV17	10440.00	11632.65	USD	14,311.80	
14AUG17	B	2	PD14NOV17	10425.00	11632.65	USD	14,491.80	
14AUG17	B	1	PD14NOV17	10410.00	11632.65	USD	7,335.90	
14AUG17	B	2	PD14NOV17	10415.00	11632.65	USD	14,611.80	
24AUG17	S	8	PD14NOV17	11740.27	11632.65	USD	5,165.76	
12SEP17	S	7	PD14NOV17	11967.00	11632.65	USD	14,042.70	
	NET	0AVG	BGHT 10428.67				127,566.96	0.00
23AUG17	S	2	PD24NOV17	11650.00	11639.67	USD	123.96	
23AUG17	S	1	PD24NOV17	11655.00	11639.67	USD	91.98	
23AUG17	S	1	PD24NOV17	11665.00	11639.67	USD	151.98	
23AUG17	S	1	PD24NOV17	11670.00	11639.67	USD	181.98	
23AUG17	S	1	PD24NOV17	11680.00	11639.67	USD	241.98	

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
24AUG17	S	1	PD24NOV17	11725.00	11639.67	USD	511.98	
24AUG17	S	1	PD24NOV17	11730.00	11639.67	USD	541.98	
24AUG17	B	8	PD24NOV17	11746.00	11639.67	USD	-5,103.84	
	NET	0 AVG	BGHT 11746.00				-3,258.00	0.00
31AUG17	B	2	PD30NOV17	11670.00	11643.79	USD	-314.52	
12SEP17	S	2	PD30NOV17	11980.22	11643.79	USD	4,037.16	
	NET	0 AVG	BGHT 11670.00				3,722.64	0.00
01SEP17	S	1	PD01DEC17	11925.00	11644.47	USD	1,683.18	
01SEP17	S	1	PD01DEC17	11935.00	11644.47	USD	1,743.18	
01SEP17	S	1	PD01DEC17	11945.00	11644.47	USD	1,803.18	
01SEP17	S	5	PD01DEC17	11930.00	11644.47	USD	8,565.90	
01SEP17	S	1	PD01DEC17	11920.00	11644.47	USD	1,653.18	
01SEP17	B	1	PD01DEC17	12130.00	11644.47	USD	-2,913.18	
12SEP17	B	8	PD01DEC17	11982.04	11644.47	USD	-16,203.36	
	NET	0 AVG	BGHT 11998.48				-3,667.92	0.00
05SEP17	S	1	PD05DEC17	12185.00	11647.21	USD	3,226.74	
12SEP17	B	1	PD05DEC17	11985.30	11647.21	USD	-2,028.54	
	NET	0 AVG	BGHT 11985.30				1,198.20	0.00
08SEP17	B	1	PD08DEC17	11775.00	11649.27	USD	-754.38	
08SEP17	B	1	PD08DEC17	11745.00	11649.27	USD	-574.38	
08SEP17	B	1	PD08DEC17	11735.00	11649.27	USD	-514.38	
27SEP17	S	3	PD08DEC17	10220.94	11649.27	USD	-25,709.94	
	NET	0 AVG	BGHT 11751.67				-27,553.08	0.00
13SEP17	B	1	PD13DEC17	11350.00	11652.70	USD	1,816.20	
13SEP17	B	1	PD13DEC17	11365.00	11652.70	USD	1,726.20	
13SEP17	B	1	PD13DEC17	11375.00	11652.70	USD	1,666.20	
27SEP17	S	3	PD13DEC17	10225.25	11652.70	USD	-25,694.10	
	NET	0 AVG	BGHT 11363.33				-20,485.50	0.00
15SEP17	B	1	PD15DEC17	11085.00	11654.07	USD	3,414.42	
15SEP17	B	4	PD15DEC17	10900.00	11654.07	USD	18,097.68	
15SEP17	B	5	PD15DEC17	10895.00	11654.07	USD	22,772.10	
27SEP17	S	10	PD15DEC17	10227.04	11654.07	USD	-85,621.80	
	NET	0 AVG	BGHT 10916.00				-41,337.60	0.00
20SEP17	S	1	PD20DEC17	11310.00	11657.50	USD	-2,085.00	
20SEP17	S	2	PD20DEC17	11335.00	11657.50	USD	-3,870.00	
20SEP17	S	1	PD20DEC17	11340.00	11657.50	USD	-1,905.00	
20SEP17	S	1	PD20DEC17	11355.00	11657.50	USD	-1,815.00	
20SEP17	S	1	PD20DEC17	11380.00	11657.50	USD	-1,665.00	
20SEP17	S	1	PD20DEC17	11475.00	11657.50	USD	-1,095.00	
20SEP17	S	3	PD20DEC17	11365.00	11657.50	USD	-5,265.00	
20SEP17	S	2	PD20DEC17	11385.00	11657.50	USD	-3,270.00	
27SEP17	B	12	PD20DEC17	10232.50	11657.50	USD	102,600.00	
	NET	0 AVG	BGHT 10232.50				81,630.00	0.00
21SEP17	S	1	PD21DEC17	11135.00	11658.26	USD	-3,139.56	
21SEP17	S	1	PD21DEC17	11065.00	11658.26	USD	-3,559.56	
21SEP17	S	1	PD21DEC17	11075.00	11658.26	USD	-3,499.56	
21SEP17	S	1	PD21DEC17	11085.00	11658.26	USD	-3,439.56	
27SEP17	B	4	PD21DEC17	10233.50	11658.26	USD	34,194.24	
	NET	0 AVG	BGHT 10233.50				20,556.00	0.00
28SEP17	B	1	PD28DEC17	10285.00	11663.59	USD	8,271.54	
	NET	+1 AVG	BGHT 10285.00				8,271.54	0.00
29SEP17	B	2	PD29DEC17	10425.00	11664.35	USD	14,872.20	
29SEP17	B	2	PD29DEC17	10430.00	11664.35	USD	14,812.20	
29SEP17	B	1	PD29DEC17	10420.00	11664.35	USD	7,466.10	

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
	NET	+5 AVG BGHT 10426.00					37,150.50	0.00
05OCT17	B	1 PD05JAN18		10645.00	11669.67	USD	6,148.02	
05OCT17	B	2 PD05JAN18		10655.00	11669.67	USD	12,176.04	
05OCT17	B	2 PD05JAN18		10620.00	11669.67	USD	12,596.04	
05OCT17	B	2 PD05JAN18		10640.00	11669.67	USD	12,356.04	
05OCT17	B	1 PD05JAN18		10650.00	11669.67	USD	6,118.02	
05OCT17	B	1 PD05JAN18		10660.00	11669.67	USD	6,058.02	
05OCT17	B	1 PD05JAN18		10525.00	11669.67	USD	6,868.02	
05OCT17	B	3 PD05JAN18		10535.00	11669.67	USD	20,424.06	
	NET	+13 AVG BGHT 10608.85					82,744.26	0.00
13OCT17	S	3 PD12JAN18		11660.00	11675.00	USD	-270.00	
13OCT17	S	5 PD12JAN18		11665.00	11675.00	USD	-300.00	
13OCT17	S	10 PD12JAN18		11670.00	11675.00	USD	-300.00	
13OCT17	S	1 PD12JAN18		11675.00	11675.00	USD	0.00	
	NET	-19 AVG SOLD 11667.37					-870.00	0.00
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
17JUL17	B	4 PD17OCT17		2820.00	3294.00	USD	47,400.00	
17JUL17	B	1 PD17OCT17		2827.00	3294.00	USD	11,675.00	
17JUL17	B	1 PD17OCT17		2827.50	3294.00	USD	11,662.50	
17JUL17	B	2 PD17OCT17		2829.00	3294.00	USD	23,250.00	
17JUL17	B	2 PD17OCT17		2825.00	3294.00	USD	23,450.00	
17JUL17	B	2 PD17OCT17		2826.00	3294.00	USD	23,400.00	
17JUL17	S	1 PD17OCT17		2825.00	3294.00	USD	-11,725.00	
17JUL17	S	1 PD17OCT17		2816.50	3294.00	USD	-11,937.50	
17JUL17	B	3 PD17OCT17		2819.00	3294.00	USD	35,625.00	
27JUL17	S	13 PD17OCT17		2800.95	3294.00	USD	-160,241.25	
	NET	0AVG BGHT 2823.43 SOLD 2803.59					-7,441.25	0.00
18JUL17	B	1 PD18OCT17		2790.00	3291.00	USD	12,525.00	
18JUL17	B	1 PD18OCT17		2790.50	3291.00	USD	12,512.50	
18JUL17	B	1 PD18OCT17		2791.00	3291.00	USD	12,500.00	
18JUL17	B	4 PD18OCT17		2791.50	3291.00	USD	49,950.00	
18JUL17	B	2 PD18OCT17		2792.00	3291.00	USD	24,950.00	
18JUL17	B	3 PD18OCT17		2792.50	3291.00	USD	37,387.50	
18JUL17	B	1 PD18OCT17		2793.00	3291.00	USD	12,450.00	
18JUL17	B	4 PD18OCT17		2795.50	3291.00	USD	49,550.00	
18JUL17	B	1 PD18OCT17		2796.00	3291.00	USD	12,375.00	
18JUL17	B	1 PD18OCT17		2798.00	3291.00	USD	12,325.00	
18JUL17	B	1 PD18OCT17		2777.50	3291.00	USD	12,837.50	
18JUL17	B	2 PD18OCT17		2778.50	3291.00	USD	25,625.00	
18JUL17	B	3 PD18OCT17		2779.00	3291.00	USD	38,400.00	
18JUL17	B	1 PD18OCT17		2780.00	3291.00	USD	12,775.00	
18JUL17	B	2 PD18OCT17		2781.00	3291.00	USD	25,500.00	
18JUL17	B	2 PD18OCT17		2793.50	3291.00	USD	24,875.00	
18JUL17	B	1 PD18OCT17		2784.50	3291.00	USD	12,662.50	
18JUL17	S	1 PD18OCT17		2784.50	3291.00	USD	-12,662.50	
18JUL17	S	1 PD18OCT17		2788.50	3291.00	USD	-12,562.50	
18JUL17	S	2 PD18OCT17		2791.50	3291.00	USD	-24,975.00	
18JUL17	S	4 PD18OCT17		2792.00	3291.00	USD	-49,900.00	
18JUL17	S	3 PD18OCT17		2792.50	3291.00	USD	-37,387.50	
18JUL17	S	1 PD18OCT17		2793.00	3291.00	USD	-12,450.00	
18JUL17	S	2 PD18OCT17		2796.00	3291.00	USD	-24,750.00	
18JUL17	S	4 PD18OCT17		2796.50	3291.00	USD	-49,450.00	
18JUL17	S	2 PD18OCT17		2789.00	3291.00	USD	-25,100.00	
18JUL17	S	4 PD18OCT17		2790.50	3291.00	USD	-50,050.00	
18JUL17	S	6 PD18OCT17		2794.00	3291.00	USD	-74,550.00	

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18JUL17	S	6	PD18OCT17	2789.50	3291.00	USD	-75,225.00	
18JUL17	S	4	PD18OCT17	2790.00	3291.00	USD	-50,100.00	
21JUL17	B	9	PD18OCT17	2755.70	3291.00	USD	120,442.50	
	NET	0 AVG BGHT 2781.36	SOLD 2791.84				10,480.00	0.00
19JUL17	S	4	PD19OCT17	2779.50	3286.50	USD	-50,700.00	
19JUL17	S	1	PD19OCT17	2788.50	3286.50	USD	-12,450.00	
19JUL17	S	1	PD19OCT17	2789.00	3286.50	USD	-12,437.50	
19JUL17	S	4	PD19OCT17	2789.50	3286.50	USD	-49,700.00	
19JUL17	S	3	PD19OCT17	2790.50	3286.50	USD	-37,200.00	
19JUL17	S	3	PD19OCT17	2796.00	3286.50	USD	-36,787.50	
19JUL17	S	1	PD19OCT17	2796.50	3286.50	USD	-12,250.00	
19JUL17	B	1	PD19OCT17	2794.00	3286.50	USD	12,312.50	
19JUL17	B	1	PD19OCT17	2784.50	3286.50	USD	12,550.00	
19JUL17	S	1	PD19OCT17	2781.50	3286.50	USD	-12,625.00	
19JUL17	B	1	PD19OCT17	2774.50	3286.50	USD	12,800.00	
19JUL17	S	1	PD19OCT17	2779.00	3286.50	USD	-12,687.50	
19JUL17	B	2	PD19OCT17	2774.00	3286.50	USD	25,625.00	
19JUL17	B	2	PD19OCT17	2773.00	3286.50	USD	25,675.00	
19JUL17	B	11	PD19OCT17	2773.50	3286.50	USD	141,075.00	
19JUL17	B	6	PD19OCT17	2767.00	3286.50	USD	77,925.00	
19JUL17	B	6	PD19OCT17	2766.00	3286.50	USD	78,075.00	
19JUL17	B	2	PD19OCT17	2738.00	3286.50	USD	27,425.00	
19JUL17	B	3	PD19OCT17	2737.50	3286.50	USD	41,175.00	
27JUL17	S	16	PD19OCT17	2800.13	3286.50	USD	-194,548.00	
	NET	0 AVG BGHT 2766.91	SOLD 2793.49				23,252.00	0.00
20JUL17	S	1	PD20OCT17	2755.00	3282.00	USD	-13,175.00	
20JUL17	S	1	PD20OCT17	2757.50	3282.00	USD	-13,112.50	
20JUL17	S	2	PD20OCT17	2758.00	3282.00	USD	-26,200.00	
20JUL17	B	1	PD20OCT17	2740.50	3282.00	USD	13,537.50	
20JUL17	S	1	PD20OCT17	2748.00	3282.00	USD	-13,350.00	
20JUL17	B	1	PD20OCT17	2722.50	3282.00	USD	13,987.50	
20JUL17	S	1	PD20OCT17	2722.50	3282.00	USD	-13,987.50	
20JUL17	B	1	PD20OCT17	2725.50	3282.00	USD	13,912.50	
20JUL17	B	1	PD20OCT17	2726.00	3282.00	USD	13,900.00	
21JUL17	S	3	PD20OCT17	2740.50	3282.00	USD	-40,612.50	
21JUL17	S	1	PD20OCT17	2748.00	3282.00	USD	-13,350.00	
21JUL17	S	3	PD20OCT17	2749.00	3282.00	USD	-39,975.00	
21JUL17	S	1	PD20OCT17	2747.50	3282.00	USD	-13,362.50	
21JUL17	S	1	PD20OCT17	2752.50	3282.00	USD	-13,237.50	
21JUL17	S	2	PD20OCT17	2754.00	3282.00	USD	-26,400.00	
21JUL17	S	1	PD20OCT17	2758.50	3282.00	USD	-13,087.50	
21JUL17	S	2	PD20OCT17	2759.50	3282.00	USD	-26,125.00	
21JUL17	B	1	PD20OCT17	2765.50	3282.00	USD	12,912.50	
21JUL17	S	6	PD20OCT17	2762.50	3282.00	USD	-77,925.00	
21JUL17	S	4	PD20OCT17	2763.00	3282.00	USD	-51,900.00	
21JUL17	S	1	PD20OCT17	2763.50	3282.00	USD	-12,962.50	
21JUL17	S	1	PD20OCT17	2764.00	3282.00	USD	-12,950.00	
21JUL17	S	1	PD20OCT17	2765.00	3282.00	USD	-12,925.00	
21JUL17	S	3	PD20OCT17	2740.00	3282.00	USD	-40,650.00	
21JUL17	S	3	PD20OCT17	2753.00	3282.00	USD	-39,675.00	
21JUL17	B	2	PD20OCT17	2753.70	3282.00	USD	26,415.00	
27JUL17	B	32	PD20OCT17	2799.20	3282.00	USD	386,240.00	
	NET	0 AVG BGHT 2788.76	SOLD 2753.83				-34,057.50	0.00
24JUL17	B	1	PD24OCT17	2779.00	3276.00	USD	12,425.00	
27JUL17	S	1	PD24OCT17	2800.30	3276.00	USD	-11,892.50	
	NET	0 AVG BGHT 2779.00	SOLD 2800.30				532.50	0.00

OPEN POSITION STATEMENT



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SAP0045G

13th October 2017

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London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
25JUL17	S	1	PD250CT17	2814.00	3273.00	USD	-11,475.00	
25JUL17	S	1	PD250CT17	2816.50	3273.00	USD	-11,412.50	
25JUL17	S	2	PD250CT17	2817.00	3273.00	USD	-22,800.00	
25JUL17	S	1	PD250CT17	2817.50	3273.00	USD	-11,387.50	
25JUL17	S	1	PD250CT17	2819.00	3273.00	USD	-11,350.00	
25JUL17	S	6	PD250CT17	2823.00	3273.00	USD	-67,500.00	
25JUL17	S	1	PD250CT17	2825.00	3273.00	USD	-11,200.00	
25JUL17	S	2	PD250CT17	2826.50	3273.00	USD	-22,325.00	
25JUL17	S	1	PD250CT17	2827.50	3273.00	USD	-11,137.50	
25JUL17	S	4	PD250CT17	2828.00	3273.00	USD	-44,500.00	
25JUL17	B	1	PD250CT17	2815.00	3273.00	USD	11,450.00	
25JUL17	B	1	PD250CT17	2823.00	3273.00	USD	11,250.00	
25JUL17	B	1	PD250CT17	2824.00	3273.00	USD	11,225.00	
25JUL17	B	2	PD250CT17	2824.50	3273.00	USD	22,425.00	
31JUL17	B	11	PD250CT17	2793.50	3273.00	USD	131,862.50	
08AUG17	B	4	PD250CT17	2924.00	3273.00	USD	34,900.00	
	NET	0AVG BGHT 2826.78	SOLD 2822.83				-1,975.00	0.00
26JUL17	B	1	PD260CT17	2838.50	3271.87	USD	10,834.25	
26JUL17	B	1	PD260CT17	2839.00	3271.87	USD	10,821.75	
26JUL17	B	1	PD260CT17	2845.00	3271.87	USD	10,671.75	
26JUL17	B	1	PD260CT17	2845.50	3271.87	USD	10,659.25	
26JUL17	B	1	PD260CT17	2849.00	3271.87	USD	10,571.75	
26JUL17	B	1	PD260CT17	2850.50	3271.87	USD	10,534.25	
26JUL17	B	1	PD260CT17	2851.00	3271.87	USD	10,521.75	
26JUL17	B	1	PD260CT17	2853.00	3271.87	USD	10,471.75	
26JUL17	S	1	PD260CT17	2821.00	3271.87	USD	-11,271.75	
26JUL17	B	1	PD260CT17	2803.00	3271.87	USD	11,721.75	
27JUL17	S	8	PD260CT17	2800.55	3271.87	USD	-94,264.00	
	NET	0AVG BGHT 2841.61	SOLD 2802.82				-8,727.50	0.00
27JUL17	S	1	PD270CT17	2812.00	3270.73	USD	-11,468.25	
27JUL17	S	1	PD270CT17	2813.00	3270.73	USD	-11,443.25	
27JUL17	B	1	PD270CT17	2824.00	3270.73	USD	11,168.25	
27JUL17	S	1	PD270CT17	2818.00	3270.73	USD	-11,318.25	
27JUL17	S	1	PD270CT17	2821.50	3270.73	USD	-11,230.75	
27JUL17	S	2	PD270CT17	2822.00	3270.73	USD	-22,436.50	
27JUL17	S	1	PD270CT17	2824.00	3270.73	USD	-11,168.25	
27JUL17	S	2	PD270CT17	2832.00	3270.73	USD	-21,936.50	
27JUL17	B	1	PD270CT17	2821.50	3270.73	USD	11,230.75	
27JUL17	B	5	PD270CT17	2819.50	3270.73	USD	56,403.75	
27JUL17	B	1	PD270CT17	2800.50	3270.73	USD	11,755.75	
27JUL17	B	1	PD270CT17	2819.00	3270.73	USD	11,293.25	
27JUL17	B	1	PD270CT17	2789.00	3270.73	USD	12,043.25	
27JUL17	B	1	PD270CT17	2790.00	3270.73	USD	12,018.25	
27JUL17	B	2	PD270CT17	2792.00	3270.73	USD	23,936.50	
27JUL17	B	1	PD270CT17	2797.50	3270.73	USD	11,830.75	
27JUL17	S	1	PD270CT17	2797.50	3270.73	USD	-11,830.75	
27JUL17	B	2	PD270CT17	2795.00	3270.73	USD	23,786.50	
28JUL17	S	1	PD270CT17	2786.00	3270.73	USD	-12,118.25	
28JUL17	S	1	PD270CT17	2787.00	3270.73	USD	-12,093.25	
28JUL17	B	1	PD270CT17	2776.50	3270.73	USD	12,355.75	
28JUL17	B	1	PD270CT17	2782.00	3270.73	USD	12,218.25	
28JUL17	B	1	PD270CT17	2784.00	3270.73	USD	12,168.25	
28JUL17	S	1	PD270CT17	2781.50	3270.73	USD	-12,230.75	
28JUL17	B	1	PD270CT17	2779.00	3270.73	USD	12,293.25	
28JUL17	B	1	PD270CT17	2772.50	3270.73	USD	12,455.75	
28JUL17	B	1	PD270CT17	2774.00	3270.73	USD	12,418.25	
28JUL17	B	5	PD270CT17	2769.00	3270.73	USD	62,716.25	

OPEN POSITION STATEMENT



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28JUL17	B	2	PD27OCT17	2770.00	3270.73	USD	25,036.50	
28JUL17	B	6	PD27OCT17	2770.50	3270.73	USD	75,034.50	
28JUL17	S	1	PD27OCT17	2789.50	3270.73	USD	-12,030.75	
28JUL17	S	1	PD27OCT17	2791.00	3270.73	USD	-11,993.25	
28JUL17	S	2	PD27OCT17	2791.50	3270.73	USD	-23,961.50	
28JUL17	S	1	PD27OCT17	2799.00	3270.73	USD	-11,793.25	
28JUL17	S	1	PD27OCT17	2789.00	3270.73	USD	-12,043.25	
28JUL17	S	3	PD27OCT17	2792.00	3270.73	USD	-35,904.75	
28JUL17	S	1	PD27OCT17	2793.50	3270.73	USD	-11,930.75	
28JUL17	S	1	PD27OCT17	2794.00	3270.73	USD	-11,918.25	
28JUL17	S	5	PD27OCT17	2775.80	3270.73	USD	-61,866.25	
28JUL17	B	1	PD27OCT17	2780.00	3270.73	USD	12,268.25	
28JUL17	B	1	PD27OCT17	2781.50	3270.73	USD	12,230.75	
28JUL17	B	1	PD27OCT17	2783.00	3270.73	USD	12,193.25	
28JUL17	B	2	PD27OCT17	2784.50	3270.73	USD	24,311.50	
31JUL17	S	11	PD27OCT17	2793.80	3270.73	USD	-131,155.75	
	NET	0 AVG BGHT 2787.56	SOLD 2796.86				9,295.00	0.00
31JUL17	B	1	PD31OCT17	2804.00	3268.47	USD	11,611.75	
31JUL17	B	1	PD31OCT17	2815.50	3268.47	USD	11,324.25	
31JUL17	S	1	PD31OCT17	2815.00	3268.47	USD	-11,336.75	
31JUL17	S	1	PD31OCT17	2816.50	3268.47	USD	-11,299.25	
31JUL17	S	1	PD31OCT17	2817.00	3268.47	USD	-11,286.75	
31JUL17	S	1	PD31OCT17	2818.50	3268.47	USD	-11,249.25	
31JUL17	S	1	PD31OCT17	2820.00	3268.47	USD	-11,211.75	
31JUL17	S	1	PD31OCT17	2821.00	3268.47	USD	-11,186.75	
31JUL17	B	1	PD31OCT17	2806.00	3268.47	USD	11,561.75	
31JUL17	B	2	PD31OCT17	2809.00	3268.47	USD	22,973.50	
31JUL17	B	1	PD31OCT17	2800.00	3268.47	USD	11,711.75	
31JUL17	B	1	PD31OCT17	2802.00	3268.47	USD	11,661.75	
31JUL17	B	1	PD31OCT17	2798.00	3268.47	USD	11,761.75	
31JUL17	B	1	PD31OCT17	2803.00	3268.47	USD	11,636.75	
31JUL17	S	1	PD31OCT17	2805.00	3268.47	USD	-11,586.75	
31JUL17	S	1	PD31OCT17	2810.50	3268.47	USD	-11,449.25	
31JUL17	B	1	PD31OCT17	2807.50	3268.47	USD	11,524.25	
31JUL17	S	1	PD31OCT17	2804.00	3268.47	USD	-11,611.75	
31JUL17	S	1	PD31OCT17	2806.50	3268.47	USD	-11,549.25	
31JUL17	S	2	PD31OCT17	2807.50	3268.47	USD	-23,048.50	
08AUG17	B	2	PD31OCT17	2924.00	3268.47	USD	17,223.50	
	NET	0 AVG BGHT 2825.17	SOLD 2812.42				-3,825.00	0.00
01AUG17	B	1	PD01NOV17	2771.50	3267.33	USD	12,395.75	
01AUG17	B	2	PD01NOV17	2772.00	3267.33	USD	24,766.50	
01AUG17	B	1	PD01NOV17	2769.50	3267.33	USD	12,445.75	
08AUG17	S	4	PD01NOV17	2923.97	3267.33	USD	-34,336.00	
	NET	0 AVG BGHT 2771.25	SOLD 2923.97				15,272.00	0.00
02AUG17	B	1	PD02NOV17	2764.00	3266.20	USD	12,555.00	
02AUG17	B	1	PD02NOV17	2768.50	3266.20	USD	12,442.50	
02AUG17	B	1	PD02NOV17	2769.00	3266.20	USD	12,430.00	
08AUG17	S	1	PD02NOV17	2924.33	3266.20	USD	-8,546.75	
08AUG17	S	2	PD02NOV17	2924.13	3266.20	USD	-17,103.50	
29SEP17	S	12	PD02NOV17	3170.05	3266.20	USD	-28,845.00	
29SEP17	S	1	PD02NOV17	3170.25	3266.20	USD	-2,398.75	
03OCT17	S	19	PD02NOV17	3273.50	3266.20	USD	3,467.50	
	NET	-32 AVG BGHT 2767.17	SOLD 3205.14				-15,999.00	0.00
03AUG17	S	2	PD03NOV17	2829.50	3265.07	USD	-21,778.50	
03AUG17	S	2	PD03NOV17	2820.00	3265.07	USD	-22,253.50	
03AUG17	S	1	PD03NOV17	2820.50	3265.07	USD	-11,114.25	

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03AUG17	S	1	PD03NOV17	2823.00	3265.07	USD	-11,051.75	
03AUG17	S	1	PD03NOV17	2823.50	3265.07	USD	-11,039.25	
03AUG17	S	1	PD03NOV17	2805.00	3265.07	USD	-11,501.75	
03AUG17	B	1	PD03NOV17	2792.00	3265.07	USD	11,826.75	
03AUG17	B	1	PD03NOV17	2792.50	3265.07	USD	11,814.25	
03AUG17	B	1	PD03NOV17	2793.50	3265.07	USD	11,789.25	
03AUG17	S	1	PD03NOV17	2792.50	3265.07	USD	-11,814.25	
03AUG17	S	1	PD03NOV17	2793.00	3265.07	USD	-11,801.75	
03AUG17	S	2	PD03NOV17	2793.50	3265.07	USD	-23,578.50	
04AUG17	S	1	PD03NOV17	2806.00	3265.07	USD	-11,476.75	
04AUG17	S	1	PD03NOV17	2816.00	3265.07	USD	-11,226.75	
04AUG17	S	1	PD03NOV17	2830.50	3265.07	USD	-10,864.25	
04AUG17	S	1	PD03NOV17	2832.50	3265.07	USD	-10,814.25	
04AUG17	S	2	PD03NOV17	2833.50	3265.07	USD	-21,578.50	
04AUG17	S	2	PD03NOV17	2827.00	3265.07	USD	-21,903.50	
04AUG17	S	1	PD03NOV17	2828.00	3265.07	USD	-10,926.75	
08AUG17	B	18	PD03NOV17	2924.70	3265.07	USD	153,166.50	
	NET	0 AVG BGHT 2905.84	SOLD 2817.98				-46,127.50	0.00
07AUG17	S	1	PD07NOV17	2833.00	3262.80	USD	-10,745.00	
07AUG17	S	4	PD07NOV17	2832.00	3262.80	USD	-43,080.00	
07AUG17	S	1	PD07NOV17	2833.50	3262.80	USD	-10,732.50	
07AUG17	S	1	PD07NOV17	2871.50	3262.80	USD	-9,782.50	
07AUG17	S	1	PD07NOV17	2873.50	3262.80	USD	-9,732.50	
07AUG17	S	4	PD07NOV17	2873.00	3262.80	USD	-38,980.00	
07AUG17	S	3	PD07NOV17	2874.00	3262.80	USD	-29,160.00	
07AUG17	S	2	PD07NOV17	2874.50	3262.80	USD	-19,415.00	
07AUG17	S	4	PD07NOV17	2875.00	3262.80	USD	-38,780.00	
07AUG17	S	2	PD07NOV17	2882.00	3262.80	USD	-19,040.00	
07AUG17	S	1	PD07NOV17	2883.50	3262.80	USD	-9,482.50	
07AUG17	S	3	PD07NOV17	2831.00	3262.80	USD	-32,385.00	
07AUG17	S	4	PD07NOV17	2877.50	3262.80	USD	-38,530.00	
07AUG17	S	3	PD07NOV17	2884.50	3262.80	USD	-28,372.50	
07AUG17	S	4	PD07NOV17	2885.50	3262.80	USD	-37,730.00	
07AUG17	S	2	PD07NOV17	2887.50	3262.80	USD	-18,765.00	
07AUG17	S	2	PD07NOV17	2888.00	3262.80	USD	-18,740.00	
08AUG17	B	23	PD07NOV17	2925.10	3262.80	USD	194,177.50	
09AUG17	B	19	PD07NOV17	2931.31	3262.80	USD	157,457.75	
	NET	0 AVG BGHT 2927.91	SOLD 2869.04				-61,817.25	0.00
08AUG17	S	1	PD08NOV17	2871.50	3261.67	USD	-9,754.25	
08AUG17	S	1	PD08NOV17	2873.00	3261.67	USD	-9,716.75	
08AUG17	S	1	PD08NOV17	2875.50	3261.67	USD	-9,654.25	
08AUG17	S	1	PD08NOV17	2882.00	3261.67	USD	-9,491.75	
08AUG17	S	1	PD08NOV17	2887.00	3261.67	USD	-9,366.75	
08AUG17	S	1	PD08NOV17	2887.50	3261.67	USD	-9,354.25	
08AUG17	B	1	PD08NOV17	2882.00	3261.67	USD	9,491.75	
08AUG17	S	2	PD08NOV17	2880.00	3261.67	USD	-19,083.50	
08AUG17	S	1	PD08NOV17	2881.00	3261.67	USD	-9,516.75	
09AUG17	B	8	PD08NOV17	2931.51	3261.67	USD	66,032.00	
	NET	0 AVG BGHT 2926.01	SOLD 2879.72				-10,414.50	0.00
09AUG17	S	1	PD09NOV17	2965.00	3260.53	USD	-7,388.25	
09AUG17	S	1	PD09NOV17	2967.50	3260.53	USD	-7,325.75	
09AUG17	S	1	PD09NOV17	2969.00	3260.53	USD	-7,288.25	
09AUG17	S	3	PD09NOV17	2978.50	3260.53	USD	-21,152.25	
09AUG17	S	2	PD09NOV17	2979.00	3260.53	USD	-14,076.50	
09AUG17	S	5	PD09NOV17	2979.50	3260.53	USD	-35,128.75	
09AUG17	S	1	PD09NOV17	2980.50	3260.53	USD	-7,000.75	

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09AUG17	S	1	PD09NOV17		2983.00	3260.53	USD	-6,938.25	
09AUG17	B	15	PD09NOV17		2931.70	3260.53	USD	123,311.25	
	NET	0 AVG	BGHT 2931.70	SOLD 2977.07				17,012.50	0.00
10AUG17	B	1	PD10NOV17		2914.50	3259.40	USD	8,622.50	
10AUG17	B	2	PD10NOV17		2917.50	3259.40	USD	17,095.00	
10AUG17	B	2	PD10NOV17		2939.50	3259.40	USD	15,995.00	
10AUG17	S	2	PD10NOV17		2939.00	3259.40	USD	-16,020.00	
11AUG17	B	2	PD10NOV17		2889.00	3259.40	USD	18,520.00	
11AUG17	B	2	PD10NOV17		2891.50	3259.40	USD	18,395.00	
11AUG17	B	2	PD10NOV17		2893.00	3259.40	USD	18,320.00	
11AUG17	B	3	PD10NOV17		2898.50	3259.40	USD	27,067.50	
11AUG17	B	3	PD10NOV17		2896.00	3259.40	USD	27,255.00	
11AUG17	B	5	PD10NOV17		2896.50	3259.40	USD	45,362.50	
11AUG17	S	2	PD10NOV17		2920.00	3259.40	USD	-16,970.00	
11AUG17	S	1	PD10NOV17		2920.50	3259.40	USD	-8,472.50	
11AUG17	S	2	PD10NOV17		2922.50	3259.40	USD	-16,845.00	
11AUG17	S	1	PD10NOV17		2925.50	3259.40	USD	-8,347.50	
11AUG17	S	1	PD10NOV17		2924.50	3259.40	USD	-8,372.50	
11AUG17	B	2	PD10NOV17		2908.00	3259.40	USD	17,570.00	
11AUG17	B	2	PD10NOV17		2904.50	3259.40	USD	17,745.00	
11AUG17	B	1	PD10NOV17		2894.00	3259.40	USD	9,135.00	
11AUG17	B	1	PD10NOV17		2895.00	3259.40	USD	9,110.00	
11AUG17	S	2	PD10NOV17		2906.00	3259.40	USD	-17,670.00	
18AUG17	S	17	PD10NOV17		3125.83	3259.40	USD	-56,767.25	
	NET	0 AVG	BGHT 2901.98	SOLD 3045.88				100,727.75	0.00
14AUG17	S	1	PD14NOV17		2911.00	3257.13	USD	-8,653.25	
14AUG17	S	2	PD14NOV17		2910.50	3257.13	USD	-17,331.50	
14AUG17	S	2	PD14NOV17		2914.00	3257.13	USD	-17,156.50	
14AUG17	B	2	PD14NOV17		2900.50	3257.13	USD	17,831.50	
14AUG17	S	1	PD14NOV17		2900.00	3257.13	USD	-8,928.25	
14AUG17	S	1	PD14NOV17		2915.00	3257.13	USD	-8,553.25	
18AUG17	B	5	PD14NOV17		3126.57	3257.13	USD	16,320.00	
	NET	0 AVG	BGHT 3061.98	SOLD 2910.71				-26,471.25	0.00
16AUG17	S	4	PD16NOV17		2982.00	3253.50	USD	-27,150.00	
16AUG17	S	2	PD16NOV17		2984.00	3253.50	USD	-13,475.00	
16AUG17	S	2	PD16NOV17		3005.00	3253.50	USD	-12,425.00	
16AUG17	S	1	PD16NOV17		3007.00	3253.50	USD	-6,162.50	
16AUG17	S	1	PD16NOV17		3007.50	3253.50	USD	-6,150.00	
16AUG17	S	1	PD16NOV17		3013.00	3253.50	USD	-6,012.50	
16AUG17	S	1	PD16NOV17		3013.50	3253.50	USD	-6,000.00	
16AUG17	B	1	PD16NOV17		3016.00	3253.50	USD	5,937.50	
16AUG17	S	1	PD16NOV17		3015.00	3253.50	USD	-5,962.50	
16AUG17	B	1	PD16NOV17		3113.50	3253.50	USD	3,500.00	
16AUG17	S	1	PD16NOV17		3113.00	3253.50	USD	-3,512.50	
18AUG17	B	12	PD16NOV17		3124.70	3253.50	USD	38,640.00	
	NET	0 AVG	BGHT 3116.14	SOLD 3005.36				-38,772.50	0.00
17AUG17	B	1	PD17NOV17		3093.50	3251.00	USD	3,937.50	
17AUG17	S	1	PD17NOV17		3083.00	3251.00	USD	-4,200.00	
	NET	0 AVG	BGHT 3093.50	SOLD 3083.00				-262.50	0.00
23AUG17	B	1	PD24NOV17		3092.00	3247.50	USD	3,887.50	
24AUG17	S	1	PD24NOV17		3105.00	3247.50	USD	-3,562.50	
24AUG17	B	4	PD24NOV17		3108.00	3247.50	USD	13,950.00	
24AUG17	B	2	PD24NOV17		3108.50	3247.50	USD	6,950.00	
24AUG17	B	2	PD24NOV17		3110.00	3247.50	USD	6,875.00	
24AUG17	B	2	PD24NOV17		3111.50	3247.50	USD	6,800.00	
24AUG17	B	3	PD24NOV17		3112.00	3247.50	USD	10,162.50	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0045G

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
24AUG17	B	1	PD24NOV17	3111.00	3247.50	USD	3,412.50	
25AUG17	S	1	PD24NOV17	3129.00	3247.50	USD	-2,962.50	
25AUG17	S	3	PD24NOV17	3125.50	3247.50	USD	-9,150.00	
25AUG17	S	1	PD24NOV17	3126.00	3247.50	USD	-3,037.50	
25AUG17	S	1	PD24NOV17	3127.00	3247.50	USD	-3,012.50	
25AUG17	S	3	PD24NOV17	3129.50	3247.50	USD	-8,850.00	
25AUG17	S	4	PD24NOV17	3130.00	3247.50	USD	-11,750.00	
25AUG17	S	1	PD24NOV17	3130.50	3247.50	USD	-2,925.00	
25AUG17	B	1	PD24NOV17	3087.00	3247.50	USD	4,012.50	
25AUG17	B	2	PD24NOV17	3091.50	3247.50	USD	7,800.00	
25AUG17	S	1	PD24NOV17	3087.00	3247.50	USD	-4,012.50	
25AUG17	S	2	PD24NOV17	3087.50	3247.50	USD	-8,000.00	
25AUG17	B	1	PD24NOV17	3069.00	3247.50	USD	4,462.50	
25AUG17	B	1	PD24NOV17	3069.50	3247.50	USD	4,450.00	
25AUG17	B	1	PD24NOV17	3075.50	3247.50	USD	4,300.00	
25AUG17	B	1	PD24NOV17	3076.00	3247.50	USD	4,287.50	
25AUG17	B	1	PD24NOV17	3076.50	3247.50	USD	4,275.00	
25AUG17	B	1	PD24NOV17	3077.00	3247.50	USD	4,262.50	
25AUG17	B	1	PD24NOV17	3054.00	3247.50	USD	4,837.50	
25AUG17	B	1	PD24NOV17	3055.50	3247.50	USD	4,800.00	
25AUG17	B	1	PD24NOV17	3063.50	3247.50	USD	4,600.00	
25AUG17	B	1	PD24NOV17	3065.50	3247.50	USD	4,550.00	
04SEP17	S	10	PD24NOV17	3203.75	3247.50	USD	-10,937.50	
	NET	0AVG BGHT 3092.25	SOLD 3150.07				40,475.00	0.00
29AUG17	B	1	PD29NOV17	3111.00	3246.75	USD	3,393.75	
29AUG17	B	1	PD29NOV17	3106.50	3246.75	USD	3,506.25	
29AUG17	B	1	PD29NOV17	3112.00	3246.75	USD	3,368.75	
29AUG17	B	4	PD29NOV17	3109.00	3246.75	USD	13,775.00	
29AUG17	B	1	PD29NOV17	3114.50	3246.75	USD	3,306.25	
29AUG17	B	5	PD29NOV17	3120.00	3246.75	USD	15,843.75	
29AUG17	B	3	PD29NOV17	3085.00	3246.75	USD	12,131.25	
29AUG17	B	10	PD29NOV17	3089.00	3246.75	USD	39,437.50	
29AUG17	B	15	PD29NOV17	3090.00	3246.75	USD	58,781.25	
29AUG17	B	5	PD29NOV17	3092.50	3246.75	USD	19,281.25	
29AUG17	B	3	PD29NOV17	3108.50	3246.75	USD	10,368.75	
29AUG17	B	3	PD29NOV17	3109.50	3246.75	USD	10,293.75	
29AUG17	B	1	PD29NOV17	3113.50	3246.75	USD	3,331.25	
29AUG17	S	2	PD29NOV17	3111.50	3246.75	USD	-6,762.50	
29AUG17	B	5	PD29NOV17	3124.00	3246.75	USD	15,343.75	
29AUG17	S	30	PD29NOV17	3109.80	3246.75	USD	-102,712.50	
29AUG17	B	4	PD29NOV17	3110.50	3246.75	USD	13,625.00	
29AUG17	B	2	PD29NOV17	3113.00	3246.75	USD	6,687.50	
04SEP17	S	32	PD29NOV17	3204.38	3246.75	USD	-33,896.00	
	NET	0AVG BGHT 3101.45	SOLD 3157.14				89,104.00	0.00
30AUG17	S	3	PD30NOV17	3126.00	3246.50	USD	-9,037.50	
30AUG17	S	2	PD30NOV17	3126.50	3246.50	USD	-6,000.00	
30AUG17	S	1	PD30NOV17	3127.00	3246.50	USD	-2,987.50	
30AUG17	B	1	PD30NOV17	3150.00	3246.50	USD	2,412.50	
30AUG17	B	1	PD30NOV17	3151.00	3246.50	USD	2,387.50	
30AUG17	B	1	PD30NOV17	3151.50	3246.50	USD	2,375.00	
30AUG17	S	1	PD30NOV17	3137.00	3246.50	USD	-2,737.50	
30AUG17	S	2	PD30NOV17	3137.50	3246.50	USD	-5,450.00	
30AUG17	S	1	PD30NOV17	3143.50	3246.50	USD	-2,575.00	
30AUG17	S	2	PD30NOV17	3144.50	3246.50	USD	-5,100.00	
30AUG17	S	5	PD30NOV17	3145.00	3246.50	USD	-12,687.50	
30AUG17	S	1	PD30NOV17	3146.00	3246.50	USD	-2,512.50	
30AUG17	S	6	PD30NOV17	3146.50	3246.50	USD	-15,000.00	

OPEN POSITION STATEMENT



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SAP0045G

13th October 2017

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30AUG17	S	1	PD30NOV17	3147.50	3246.50	USD	-2,475.00	
30AUG17	S	5	PD30NOV17	3148.00	3246.50	USD	-12,312.50	
30AUG17	S	3	PD30NOV17	3148.50	3246.50	USD	-7,350.00	
30AUG17	S	2	PD30NOV17	3149.00	3246.50	USD	-4,875.00	
30AUG17	S	2	PD30NOV17	3149.50	3246.50	USD	-4,850.00	
30AUG17	S	3	PD30NOV17	3150.00	3246.50	USD	-7,237.50	
30AUG17	S	3	PD30NOV17	3151.00	3246.50	USD	-7,162.50	
30AUG17	S	3	PD30NOV17	3151.50	3246.50	USD	-7,125.00	
30AUG17	B	1	PD30NOV17	3138.50	3246.50	USD	2,700.00	
30AUG17	B	1	PD30NOV17	3139.00	3246.50	USD	2,687.50	
30AUG17	B	1	PD30NOV17	3131.00	3246.50	USD	2,887.50	
30AUG17	B	2	PD30NOV17	3128.50	3246.50	USD	5,900.00	
30AUG17	B	1	PD30NOV17	3129.00	3246.50	USD	2,937.50	
30AUG17	B	2	PD30NOV17	3124.00	3246.50	USD	6,125.00	
30AUG17	B	1	PD30NOV17	3124.50	3246.50	USD	3,050.00	
30AUG17	B	1	PD30NOV17	3128.00	3246.50	USD	2,962.50	
30AUG17	B	2	PD30NOV17	3127.50	3246.50	USD	5,950.00	
30AUG17	B	1	PD30NOV17	3118.00	3246.50	USD	3,212.50	
30AUG17	B	1	PD30NOV17	3119.50	3246.50	USD	3,175.00	
30AUG17	B	2	PD30NOV17	3123.00	3246.50	USD	6,175.00	
30AUG17	S	5	PD30NOV17	3147.00	3246.50	USD	-12,437.50	
30AUG17	B	1	PD30NOV17	3114.50	3246.50	USD	3,300.00	
30AUG17	B	1	PD30NOV17	3115.00	3246.50	USD	3,287.50	
30AUG17	B	2	PD30NOV17	3119.00	3246.50	USD	6,375.00	
30AUG17	B	1	PD30NOV17	3103.50	3246.50	USD	3,575.00	
30AUG17	B	2	PD30NOV17	3106.00	3246.50	USD	7,025.00	
30AUG17	B	2	PD30NOV17	3106.50	3246.50	USD	7,000.00	
30AUG17	B	2	PD30NOV17	3101.00	3246.50	USD	7,275.00	
30AUG17	S	4	PD30NOV17	3112.50	3246.50	USD	-13,400.00	
30AUG17	S	2	PD30NOV17	3114.00	3246.50	USD	-6,625.00	
30AUG17	S	3	PD30NOV17	3110.50	3246.50	USD	-10,200.00	
30AUG17	S	2	PD30NOV17	3110.00	3246.50	USD	-6,825.00	
30AUG17	S	2	PD30NOV17	3111.50	3246.50	USD	-6,750.00	
30AUG17	S	1	PD30NOV17	3116.00	3246.50	USD	-3,262.50	
30AUG17	S	1	PD30NOV17	3117.00	3246.50	USD	-3,237.50	
30AUG17	S	1	PD30NOV17	3121.00	3246.50	USD	-3,137.50	
30AUG17	S	3	PD30NOV17	3108.00	3246.50	USD	-10,387.50	
30AUG17	B	2	PD30NOV17	3097.00	3246.50	USD	7,475.00	
30AUG17	B	2	PD30NOV17	3098.00	3246.50	USD	7,425.00	
30AUG17	B	2	PD30NOV17	3098.50	3246.50	USD	7,400.00	
30AUG17	B	2	PD30NOV17	3099.50	3246.50	USD	7,350.00	
30AUG17	B	2	PD30NOV17	3096.00	3246.50	USD	7,525.00	
30AUG17	B	2	PD30NOV17	3096.50	3246.50	USD	7,500.00	
30AUG17	S	1	PD30NOV17	3097.00	3246.50	USD	-3,737.50	
30AUG17	S	1	PD30NOV17	3090.00	3246.50	USD	-3,912.50	
30AUG17	S	1	PD30NOV17	3092.00	3246.50	USD	-3,862.50	
30AUG17	S	1	PD30NOV17	3092.50	3246.50	USD	-3,850.00	
30AUG17	S	1	PD30NOV17	3095.00	3246.50	USD	-3,787.50	
30AUG17	S	3	PD30NOV17	3094.00	3246.50	USD	-11,437.50	
31AUG17	S	4	PD30NOV17	3109.00	3246.50	USD	-13,750.00	
31AUG17	S	1	PD30NOV17	3105.50	3246.50	USD	-3,525.00	
31AUG17	S	1	PD30NOV17	3118.00	3246.50	USD	-3,212.50	
31AUG17	S	2	PD30NOV17	3133.50	3246.50	USD	-5,650.00	
31AUG17	S	4	PD30NOV17	3135.50	3246.50	USD	-11,100.00	
31AUG17	S	2	PD30NOV17	3136.00	3246.50	USD	-5,525.00	
31AUG17	B	1	PD30NOV17	3133.50	3246.50	USD	2,825.00	
31AUG17	S	2	PD30NOV17	3124.00	3246.50	USD	-6,125.00	

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31AUG17	S	1	PD30NOV17	3127.50	3246.50	USD	-2,975.00	
31AUG17	S	3	PD30NOV17	3125.50	3246.50	USD	-9,075.00	
31AUG17	S	2	PD30NOV17	3125.00	3246.50	USD	-6,075.00	
31AUG17	S	5	PD30NOV17	3126.00	3246.50	USD	-15,062.50	
31AUG17	S	2	PD30NOV17	3124.50	3246.50	USD	-6,100.00	
31AUG17	B	1	PD30NOV17	3126.50	3246.50	USD	3,000.00	
31AUG17	B	1	PD30NOV17	3134.00	3246.50	USD	2,812.50	
31AUG17	S	3	PD30NOV17	3126.50	3246.50	USD	-9,000.00	
01SEP17	B	21	PD30NOV17	3185.06	3246.50	USD	32,256.00	
04SEP17	B	44	PD30NOV17	3204.70	3246.50	USD	45,980.00	
	NET	0 AVG BGHT 3164.93	SOLD 3129.59				-97,176.50	0.00
01SEP17	S	1	PD01DEC17	3152.00	3246.25	USD	-2,356.25	
01SEP17	S	1	PD01DEC17	3155.00	3246.25	USD	-2,281.25	
01SEP17	S	3	PD01DEC17	3156.00	3246.25	USD	-6,768.75	
01SEP17	S	6	PD01DEC17	3157.50	3246.25	USD	-13,312.50	
01SEP17	S	6	PD01DEC17	3170.00	3246.25	USD	-11,437.50	
01SEP17	S	4	PD01DEC17	3156.50	3246.25	USD	-8,975.00	
01SEP17	S	2	PD01DEC17	3172.50	3246.25	USD	-3,687.50	
01SEP17	S	2	PD01DEC17	3181.00	3246.25	USD	-3,262.50	
01SEP17	S	2	PD01DEC17	3183.00	3246.25	USD	-3,162.50	
01SEP17	S	1	PD01DEC17	3182.00	3246.25	USD	-1,606.25	
01SEP17	S	1	PD01DEC17	3183.50	3246.25	USD	-1,568.75	
04SEP17	B	29	PD01DEC17	3204.83	3246.25	USD	30,029.50	
	NET	0 AVG BGHT 3204.83	SOLD 3165.67				-28,389.25	0.00
04SEP17	S	1	PD04DEC17	3199.00	3246.00	USD	-1,175.00	
04SEP17	S	1	PD04DEC17	3195.50	3246.00	USD	-1,262.50	
04SEP17	S	2	PD04DEC17	3196.00	3246.00	USD	-2,500.00	
04SEP17	S	2	PD04DEC17	3194.00	3246.00	USD	-2,600.00	
04SEP17	S	2	PD04DEC17	3195.00	3246.00	USD	-2,550.00	
12SEP17	B	8	PD04DEC17	3059.31	3246.00	USD	37,338.00	
	NET	0 AVG BGHT 3059.31	SOLD 3195.56				27,250.50	0.00
05SEP17	B	3	PD05DEC17	3189.00	3245.75	USD	4,256.25	
05SEP17	B	2	PD05DEC17	3189.50	3245.75	USD	2,812.50	
05SEP17	B	1	PD05DEC17	3151.50	3245.75	USD	2,356.25	
05SEP17	B	1	PD05DEC17	3158.00	3245.75	USD	2,193.75	
05SEP17	B	1	PD05DEC17	3150.50	3245.75	USD	2,381.25	
12SEP17	S	8	PD05DEC17	3059.32	3245.75	USD	-37,286.00	
	NET	0 AVG BGHT 3175.75	SOLD 3059.32				-23,286.00	0.00
06SEP17	B	1	PD06DEC17	3069.50	3245.50	USD	4,400.00	
06SEP17	B	1	PD06DEC17	3102.50	3245.50	USD	3,575.00	
06SEP17	B	3	PD06DEC17	3106.00	3245.50	USD	10,462.50	
06SEP17	B	1	PD06DEC17	3106.50	3245.50	USD	3,475.00	
06SEP17	B	2	PD06DEC17	3103.50	3245.50	USD	7,100.00	
06SEP17	B	1	PD06DEC17	3109.00	3245.50	USD	3,412.50	
06SEP17	B	1	PD06DEC17	3107.00	3245.50	USD	3,462.50	
12SEP17	S	10	PD06DEC17	3059.73	3245.50	USD	-46,442.50	
	NET	0 AVG BGHT 3101.95	SOLD 3059.73				-10,555.00	0.00
07SEP17	B	1	PD07DEC17	3104.50	3245.25	USD	3,518.75	
07SEP17	S	1	PD07DEC17	3101.50	3245.25	USD	-3,593.75	
07SEP17	S	3	PD07DEC17	3102.50	3245.25	USD	-10,706.25	
07SEP17	S	3	PD07DEC17	3103.00	3245.25	USD	-10,668.75	
07SEP17	S	2	PD07DEC17	3103.50	3245.25	USD	-7,087.50	
07SEP17	S	2	PD07DEC17	3104.00	3245.25	USD	-7,062.50	
07SEP17	B	1	PD07DEC17	3077.50	3245.25	USD	4,193.75	
07SEP17	B	2	PD07DEC17	3082.50	3245.25	USD	8,137.50	
07SEP17	B	1	PD07DEC17	3083.00	3245.25	USD	4,056.25	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0045G

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
07SEP17	S	2	PD07DEC17	3089.50	3245.25	USD	-7,787.50	
07SEP17	S	1	PD07DEC17	3089.00	3245.25	USD	-3,906.25	
07SEP17	S	1	PD07DEC17	3090.00	3245.25	USD	-3,881.25	
12SEP17	B	10	PD07DEC17	3060.14	3245.25	USD	46,277.50	
	NET	0 AVG BGHT 3068.76	SOLD 3099.40				11,490.00	0.00
08SEP17	B	1	PD08DEC17	3095.50	3245.00	USD	3,737.50	
08SEP17	B	1	PD08DEC17	3097.00	3245.00	USD	3,700.00	
08SEP17	B	1	PD08DEC17	3093.50	3245.00	USD	3,787.50	
08SEP17	B	2	PD08DEC17	3094.00	3245.00	USD	7,550.00	
08SEP17	B	1	PD08DEC17	3094.50	3245.00	USD	3,762.50	
08SEP17	B	1	PD08DEC17	3085.50	3245.00	USD	3,987.50	
08SEP17	B	1	PD08DEC17	3086.50	3245.00	USD	3,962.50	
08SEP17	B	1	PD08DEC17	3087.00	3245.00	USD	3,950.00	
08SEP17	B	1	PD08DEC17	3091.50	3245.00	USD	3,837.50	
08SEP17	B	1	PD08DEC17	3084.00	3245.00	USD	4,025.00	
08SEP17	B	1	PD08DEC17	3084.50	3245.00	USD	4,012.50	
08SEP17	B	2	PD08DEC17	3077.50	3245.00	USD	8,375.00	
08SEP17	B	1	PD08DEC17	3092.00	3245.00	USD	3,825.00	
12SEP17	S	5	PD08DEC17	3060.15	3245.00	USD	-23,106.25	
27SEP17	S	10	PD08DEC17	3108.27	3245.00	USD	-34,182.50	
	NET	0 AVG BGHT 3088.97	SOLD 3092.23				1,223.75	0.00
11SEP17	S	1	PD11DEC17	3054.50	3244.75	USD	-4,756.25	
11SEP17	S	1	PD11DEC17	3055.00	3244.75	USD	-4,743.75	
11SEP17	B	1	PD11DEC17	3058.00	3244.75	USD	4,668.75	
11SEP17	S	1	PD11DEC17	3054.00	3244.75	USD	-4,768.75	
11SEP17	S	1	PD11DEC17	3055.50	3244.75	USD	-4,731.25	
11SEP17	S	2	PD11DEC17	3056.50	3244.75	USD	-9,412.50	
12SEP17	B	5	PD11DEC17	3060.99	3244.75	USD	22,970.00	
	NET	0 AVG BGHT 3060.49	SOLD 3055.33				-773.75	0.00
12SEP17	B	1	PD12DEC17	3060.50	3244.50	USD	4,600.00	
12SEP17	B	1	PD12DEC17	3061.00	3244.50	USD	4,587.50	
27SEP17	S	2	PD12DEC17	3108.52	3244.50	USD	-6,799.00	
	NET	0 AVG BGHT 3060.75	SOLD 3108.52				2,388.50	0.00
13SEP17	S	1	PD13DEC17	3055.00	3244.25	USD	-4,731.25	
13SEP17	S	1	PD13DEC17	3059.00	3244.25	USD	-4,631.25	
13SEP17	S	2	PD13DEC17	3057.50	3244.25	USD	-9,337.50	
13SEP17	B	1	PD13DEC17	3031.50	3244.25	USD	5,318.75	
13SEP17	B	3	PD13DEC17	3032.50	3244.25	USD	15,881.25	
13SEP17	B	1	PD13DEC17	3033.50	3244.25	USD	5,268.75	
13SEP17	B	1	PD13DEC17	3035.00	3244.25	USD	5,231.25	
13SEP17	B	1	PD13DEC17	3035.50	3244.25	USD	5,218.75	
13SEP17	B	1	PD13DEC17	3037.00	3244.25	USD	5,181.25	
13SEP17	B	2	PD13DEC17	3032.00	3244.25	USD	10,612.50	
13SEP17	B	1	PD13DEC17	3025.00	3244.25	USD	5,481.25	
13SEP17	S	2	PD13DEC17	3042.00	3244.25	USD	-10,112.50	
13SEP17	S	2	PD13DEC17	3042.50	3244.25	USD	-10,087.50	
27SEP17	S	3	PD13DEC17	3108.58	3244.25	USD	-10,175.25	
	NET	0 AVG BGHT 3032.64	SOLD 3065.79				9,118.50	0.00
14SEP17	S	1	PD14DEC17	3030.00	3244.00	USD	-5,350.00	
14SEP17	B	1	PD14DEC17	2990.00	3244.00	USD	6,350.00	
14SEP17	B	1	PD14DEC17	2979.50	3244.00	USD	6,612.50	
14SEP17	B	1	PD14DEC17	2980.00	3244.00	USD	6,600.00	
14SEP17	B	1	PD14DEC17	2983.50	3244.00	USD	6,512.50	
14SEP17	B	1	PD14DEC17	2985.00	3244.00	USD	6,475.00	
14SEP17	B	2	PD14DEC17	2986.00	3244.00	USD	12,900.00	
14SEP17	B	1	PD14DEC17	2986.50	3244.00	USD	6,437.50	

OPEN POSITION STATEMENT



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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
14SEP17	B	1	PD14DEC17	2987.50	3244.00	USD	6,412.50	
14SEP17	B	1	PD14DEC17	2988.00	3244.00	USD	6,400.00	
14SEP17	B	2	PD14DEC17	2990.50	3244.00	USD	12,675.00	
14SEP17	B	1	PD14DEC17	2989.00	3244.00	USD	6,375.00	
14SEP17	B	1	PD14DEC17	2989.50	3244.00	USD	6,362.50	
14SEP17	B	1	PD14DEC17	2992.00	3244.00	USD	6,300.00	
14SEP17	B	2	PD14DEC17	2998.00	3244.00	USD	12,300.00	
14SEP17	B	1	PD14DEC17	2998.50	3244.00	USD	6,137.50	
14SEP17	B	1	PD14DEC17	2999.50	3244.00	USD	6,112.50	
14SEP17	B	1	PD14DEC17	2991.00	3244.00	USD	6,325.00	
14SEP17	B	1	PD14DEC17	2991.50	3244.00	USD	6,312.50	
14SEP17	S	1	PD14DEC17	3014.00	3244.00	USD	-5,750.00	
14SEP17	S	1	PD14DEC17	3015.00	3244.00	USD	-5,725.00	
14SEP17	S	1	PD14DEC17	3017.00	3244.00	USD	-5,675.00	
27SEP17	S	17	PD14DEC17	3108.64	3244.00	USD	-57,528.00	
	NET	0AVG BGHT 2989.52	SOLD 3091.57				53,572.00	0.00
15SEP17	S	1	PD15DEC17	3016.50	3243.75	USD	-5,681.25	
15SEP17	S	1	PD15DEC17	3014.00	3243.75	USD	-5,743.75	
15SEP17	S	1	PD15DEC17	3017.00	3243.75	USD	-5,668.75	
15SEP17	S	1	PD15DEC17	3018.50	3243.75	USD	-5,631.25	
15SEP17	S	1	PD15DEC17	3028.50	3243.75	USD	-5,381.25	
15SEP17	S	1	PD15DEC17	3029.50	3243.75	USD	-5,356.25	
15SEP17	S	1	PD15DEC17	3034.50	3243.75	USD	-5,231.25	
15SEP17	S	1	PD15DEC17	3045.00	3243.75	USD	-4,968.75	
15SEP17	S	1	PD15DEC17	3039.00	3243.75	USD	-5,118.75	
15SEP17	S	1	PD15DEC17	3035.50	3243.75	USD	-5,206.25	
15SEP17	S	1	PD15DEC17	3012.00	3243.75	USD	-5,793.75	
15SEP17	B	1	PD15DEC17	3026.50	3243.75	USD	5,431.25	
15SEP17	S	1	PD15DEC17	3024.00	3243.75	USD	-5,493.75	
15SEP17	S	2	PD15DEC17	3024.50	3243.75	USD	-10,962.50	
15SEP17	S	1	PD15DEC17	3025.00	3243.75	USD	-5,468.75	
15SEP17	S	1	PD15DEC17	3025.50	3243.75	USD	-5,456.25	
15SEP17	S	1	PD15DEC17	3026.00	3243.75	USD	-5,443.75	
15SEP17	S	1	PD15DEC17	3026.50	3243.75	USD	-5,431.25	
15SEP17	S	1	PD15DEC17	3027.00	3243.75	USD	-5,418.75	
15SEP17	S	3	PD15DEC17	3030.50	3243.75	USD	-15,993.75	
27SEP17	B	21	PD15DEC17	3108.90	3243.75	USD	70,796.25	
	NET	0AVG BGHT 3105.15	SOLD 3026.57				-43,222.50	0.00
18SEP17	S	1	PD18DEC17	3064.00	3243.50	USD	-4,487.50	
18SEP17	S	2	PD18DEC17	3065.00	3243.50	USD	-8,925.00	
18SEP17	S	1	PD18DEC17	3067.00	3243.50	USD	-4,412.50	
18SEP17	S	1	PD18DEC17	3070.00	3243.50	USD	-4,337.50	
18SEP17	S	1	PD18DEC17	3072.50	3243.50	USD	-4,275.00	
18SEP17	S	1	PD18DEC17	3075.50	3243.50	USD	-4,200.00	
18SEP17	S	1	PD18DEC17	3076.50	3243.50	USD	-4,175.00	
18SEP17	S	1	PD18DEC17	3066.00	3243.50	USD	-4,437.50	
18SEP17	S	2	PD18DEC17	3066.50	3243.50	USD	-8,850.00	
18SEP17	S	1	PD18DEC17	3088.50	3243.50	USD	-3,875.00	
18SEP17	S	1	PD18DEC17	3089.00	3243.50	USD	-3,862.50	
18SEP17	B	1	PD18DEC17	3070.00	3243.50	USD	4,337.50	
18SEP17	B	1	PD18DEC17	3072.00	3243.50	USD	4,287.50	
18SEP17	S	1	PD18DEC17	3075.00	3243.50	USD	-4,212.50	
18SEP17	S	1	PD18DEC17	3077.50	3243.50	USD	-4,150.00	
18SEP17	S	2	PD18DEC17	3078.50	3243.50	USD	-8,250.00	
18SEP17	B	4	PD18DEC17	3096.50	3243.50	USD	14,700.00	
27SEP17	B	11	PD18DEC17	3109.08	3243.50	USD	36,965.50	
	NET	0AVG BGHT 3101.64	SOLD 3073.03				-12,159.50	0.00

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20SEP17	S	1	PD20DEC17	3146.50	3243.00	USD	-2,412.50	
20SEP17	S	1	PD20DEC17	3147.00	3243.00	USD	-2,400.00	
20SEP17	S	1	PD20DEC17	3148.50	3243.00	USD	-2,362.50	
20SEP17	S	1	PD20DEC17	3149.50	3243.00	USD	-2,337.50	
20SEP17	B	1	PD20DEC17	3136.00	3243.00	USD	2,675.00	
20SEP17	B	2	PD20DEC17	3136.50	3243.00	USD	5,325.00	
20SEP17	B	1	PD20DEC17	3138.00	3243.00	USD	2,625.00	
	NET	0 AVG BGHT 3136.75	SOLD 3147.88				1,112.50	0.00
21SEP17	B	1	PD21DEC17	3044.50	3240.00	USD	4,887.50	
21SEP17	B	1	PD21DEC17	3028.00	3240.00	USD	5,300.00	
21SEP17	B	2	PD21DEC17	3028.50	3240.00	USD	10,575.00	
27SEP17	S	4	PD21DEC17	3106.50	3240.00	USD	-13,350.00	
	NET	0 AVG BGHT 3032.38	SOLD 3106.50				7,412.50	0.00
22SEP17	S	1	PD22DEC17	3036.50	3239.62	USD	-5,078.00	
22SEP17	S	1	PD22DEC17	3036.00	3239.62	USD	-5,090.50	
22SEP17	S	2	PD22DEC17	3035.00	3239.62	USD	-10,231.00	
22SEP17	S	2	PD22DEC17	3026.50	3239.62	USD	-10,656.00	
22SEP17	S	1	PD22DEC17	3027.00	3239.62	USD	-5,315.50	
22SEP17	S	1	PD22DEC17	3024.50	3239.62	USD	-5,378.00	
22SEP17	B	2	PD22DEC17	3065.00	3239.62	USD	8,731.00	
22SEP17	B	2	PD22DEC17	3066.00	3239.62	USD	8,681.00	
27SEP17	B	4	PD22DEC17	3105.95	3239.62	USD	13,367.00	
	NET	0 AVG BGHT 3085.73	SOLD 3030.88				-10,970.00	0.00
25SEP17	S	1	PD27DEC17	3087.50	3239.23	USD	-3,793.25	
25SEP17	S	2	PD27DEC17	3089.50	3239.23	USD	-7,486.50	
25SEP17	S	1	PD27DEC17	3093.00	3239.23	USD	-3,655.75	
25SEP17	S	1	PD27DEC17	3094.00	3239.23	USD	-3,630.75	
25SEP17	S	2	PD27DEC17	3084.00	3239.23	USD	-7,761.50	
25SEP17	S	3	PD27DEC17	3085.00	3239.23	USD	-11,567.25	
25SEP17	S	1	PD27DEC17	3085.50	3239.23	USD	-3,843.25	
25SEP17	S	1	PD27DEC17	3086.00	3239.23	USD	-3,830.75	
25SEP17	S	2	PD27DEC17	3087.00	3239.23	USD	-7,611.50	
25SEP17	S	1	PD27DEC17	3082.50	3239.23	USD	-3,918.25	
25SEP17	S	1	PD27DEC17	3095.00	3239.23	USD	-3,605.75	
25SEP17	S	3	PD27DEC17	3105.00	3239.23	USD	-10,067.25	
25SEP17	S	1	PD27DEC17	3106.00	3239.23	USD	-3,330.75	
25SEP17	B	6	PD27DEC17	3111.39	3239.23	USD	19,176.00	
25SEP17	B	14	PD27DEC17	3096.70	3239.23	USD	49,885.50	
26SEP17	S	1	PD27DEC17	3126.50	3239.23	USD	-2,818.25	
26SEP17	S	1	PD27DEC17	3129.00	3239.23	USD	-2,755.75	
26SEP17	S	1	PD27DEC17	3132.00	3239.23	USD	-2,680.75	
26SEP17	S	1	PD27DEC17	3132.50	3239.23	USD	-2,668.25	
26SEP17	B	1	PD27DEC17	3129.00	3239.23	USD	2,755.75	
26SEP17	B	1	PD27DEC17	3129.50	3239.23	USD	2,743.25	
26SEP17	B	1	PD27DEC17	3130.00	3239.23	USD	2,730.75	
26SEP17	S	1	PD27DEC17	3128.00	3239.23	USD	-2,780.75	
26SEP17	S	2	PD27DEC17	3143.00	3239.23	USD	-4,811.50	
26SEP17	S	1	PD27DEC17	3144.50	3239.23	USD	-2,368.25	
26SEP17	S	1	PD27DEC17	3146.50	3239.23	USD	-2,318.25	
26SEP17	B	2	PD27DEC17	3138.00	3239.23	USD	5,061.50	
26SEP17	B	1	PD27DEC17	3138.50	3239.23	USD	2,518.25	
26SEP17	B	1	PD27DEC17	3142.00	3239.23	USD	2,430.75	
26SEP17	B	1	PD27DEC17	3144.50	3239.23	USD	2,368.25	
26SEP17	B	1	PD27DEC17	3140.00	3239.23	USD	2,480.75	
26SEP17	B	1	PD27DEC17	3117.00	3239.23	USD	3,055.75	
26SEP17	S	1	PD27DEC17	3109.50	3239.23	USD	-3,243.25	

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26SEP17	B	1	PD27DEC17	3107.00	3239.23	USD	3,305.75	
26SEP17	B	1	PD27DEC17	3105.50	3239.23	USD	3,343.25	
26SEP17	S	1	PD27DEC17	3130.50	3239.23	USD	-2,718.25	
26SEP17	S	1	PD27DEC17	3131.50	3239.23	USD	-2,693.25	
27SEP17	B	1	PD27DEC17	3137.00	3239.23	USD	2,555.75	
27SEP17	S	2	PD27DEC17	3143.50	3239.23	USD	-4,786.50	
27SEP17	S	1	PD27DEC17	3145.00	3239.23	USD	-2,355.75	
27SEP17	B	1	PD27DEC17	3135.50	3239.23	USD	2,593.25	
27SEP17	B	1	PD27DEC17	3136.00	3239.23	USD	2,580.75	
27SEP17	B	1	PD27DEC17	3137.50	3239.23	USD	2,543.25	
27SEP17	S	1	PD27DEC17	3144.50	3239.23	USD	-2,368.25	
27SEP17	B	2	PD27DEC17	3095.00	3239.23	USD	7,211.50	
27SEP17	B	1	PD27DEC17	3096.00	3239.23	USD	3,580.75	
27SEP17	B	1	PD27DEC17	3097.00	3239.23	USD	3,555.75	
27SEP17	B	1	PD27DEC17	3097.50	3239.23	USD	3,543.25	
27SEP17	B	1	PD27DEC17	3098.00	3239.23	USD	3,530.75	
27SEP17	B	1	PD27DEC17	3103.00	3239.23	USD	3,405.75	
27SEP17	S	1	PD27DEC17	3116.50	3239.23	USD	-3,068.25	
27SEP17	S	1	PD27DEC17	3117.00	3239.23	USD	-3,055.75	
27SEP17	S	1	PD27DEC17	3118.00	3239.23	USD	-3,030.75	
27SEP17	S	1	PD27DEC17	3118.50	3239.23	USD	-3,018.25	
27SEP17	S	1	PD27DEC17	3120.50	3239.23	USD	-2,968.25	
27SEP17	S	1	PD27DEC17	3121.50	3239.23	USD	-2,943.25	
27SEP17	S	1	PD27DEC17	3122.00	3239.23	USD	-2,930.75	
27SEP17	S	1	PD27DEC17	3123.00	3239.23	USD	-2,905.75	
27SEP17	B	1	PD27DEC17	3115.50	3239.23	USD	3,093.25	
	NET	0AVG BGHT 3111.91	SOLD 3112.51				659.00	0.00
28SEP17	S	1	PD28DEC17	3132.00	3238.85	USD	-2,671.25	
29SEP17	B	1	PD28DEC17	3162.39	3238.85	USD	1,911.50	
	NET	0AVG BGHT 3162.39	SOLD 3132.00				-759.75	0.00
29SEP17	S	1	PD29DEC17	3148.50	3238.46	USD	-2,249.00	
29SEP17	B	2	PD29DEC17	3146.50	3238.46	USD	4,598.00	
29SEP17	B	3	PD29DEC17	3147.00	3238.46	USD	6,859.50	
29SEP17	B	1	PD29DEC17	3149.00	3238.46	USD	2,236.50	
29SEP17	B	1	PD29DEC17	3148.00	3238.46	USD	2,261.50	
29SEP17	B	1	PD29DEC17	3149.50	3238.46	USD	2,224.00	
29SEP17	S	1	PD29DEC17	3148.00	3238.46	USD	-2,261.50	
29SEP17	B	4	PD29DEC17	3146.00	3238.46	USD	9,246.00	
29SEP17	S	3	PD29DEC17	3149.00	3238.46	USD	-6,709.50	
29SEP17	S	1	PD29DEC17	3159.50	3238.46	USD	-1,974.00	
29SEP17	S	2	PD29DEC17	3161.00	3238.46	USD	-3,873.00	
29SEP17	S	1	PD29DEC17	3161.50	3238.46	USD	-1,924.00	
29SEP17	S	1	PD29DEC17	3162.00	3238.46	USD	-1,911.50	
29SEP17	S	1	PD29DEC17	3162.50	3238.46	USD	-1,899.00	
29SEP17	S	1	PD29DEC17	3163.50	3238.46	USD	-1,874.00	
	NET	0AVG BGHT 3147.04	SOLD 3156.21				2,750.00	0.00
03OCT17	S	2	PD03JAN18	3277.00	3237.69	USD	1,965.50	
03OCT17	S	6	PD03JAN18	3278.00	3237.69	USD	6,046.50	
03OCT17	S	1	PD03JAN18	3279.00	3237.69	USD	1,032.75	
03OCT17	S	1	PD03JAN18	3280.00	3237.69	USD	1,057.75	
03OCT17	S	1	PD03JAN18	3280.50	3237.69	USD	1,070.25	
03OCT17	S	1	PD03JAN18	3282.50	3237.69	USD	1,120.25	
03OCT17	S	2	PD03JAN18	3281.00	3237.69	USD	2,165.50	
03OCT17	S	2	PD03JAN18	3276.50	3237.69	USD	1,940.50	
03OCT17	S	3	PD03JAN18	3282.00	3237.69	USD	3,323.25	
03OCT17	B	19	PD03JAN18	3264.20	3237.69	USD	-12,592.25	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0045G

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position				Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>								
	NET	0 AVG	BGHT 3264.20	SOLD 3279.21				7,130.00	0.00
09OCT17	S	2	PD09JAN18		3269.50	3236.15	USD	1,667.50	
09OCT17	S	1	PD09JAN18		3270.00	3236.15	USD	846.25	
09OCT17	S	1	PD09JAN18		3268.50	3236.15	USD	808.75	
09OCT17	S	1	PD09JAN18		3267.50	3236.15	USD	783.75	
09OCT17	S	2	PD09JAN18		3269.00	3236.15	USD	1,642.50	
09OCT17	S	1	PD09JAN18		3263.00	3236.15	USD	671.25	
09OCT17	S	2	PD09JAN18		3263.50	3236.15	USD	1,367.50	
09OCT17	S	1	PD09JAN18		3264.50	3236.15	USD	708.75	
09OCT17	S	1	PD09JAN18		3267.00	3236.15	USD	771.25	
09OCT17	B	2	PD09JAN18		3233.50	3236.15	USD	132.50	
	NET	-10 AVG	BGHT 3233.50	SOLD 3267.04				9,400.00	0.00
10OCT17	S	1	PD10JAN18		3254.00	3235.77	USD	455.75	
10OCT17	S	1	PD10JAN18		3277.00	3235.77	USD	1,030.75	
10OCT17	S	1	PD10JAN18		3280.50	3235.77	USD	1,118.25	
10OCT17	S	1	PD10JAN18		3283.00	3235.77	USD	1,180.75	
10OCT17	B	1	PD10JAN18		3278.50	3235.77	USD	-1,068.25	
10OCT17	B	1	PD10JAN18		3279.00	3235.77	USD	-1,080.75	
10OCT17	B	1	PD10JAN18		3280.50	3235.77	USD	-1,118.25	
10OCT17	B	2	PD10JAN18		3289.00	3235.77	USD	-2,661.50	
10OCT17	B	2	PD10JAN18		3290.50	3235.77	USD	-2,736.50	
10OCT17	B	5	PD10JAN18		3290.00	3235.77	USD	-6,778.75	
	NET	+8 AVG	BGHT 3287.25	SOLD 3273.63				-11,658.50	0.00
11OCT17	B	1	PD11JAN18		3253.00	3235.38	USD	-440.50	
11OCT17	S	1	PD11JAN18		3233.00	3235.38	USD	-59.50	
11OCT17	S	1	PD11JAN18		3231.50	3235.38	USD	-97.00	
11OCT17	B	1	PD11JAN18		3201.50	3235.38	USD	847.00	
11OCT17	B	2	PD11JAN18		3214.50	3235.38	USD	1,044.00	
	NET	+2 AVG	BGHT 3220.88	SOLD 3232.25				1,294.00	0.00
12OCT17	S	2	PD12JAN18		3236.00	3235.00	USD	50.00	
12OCT17	S	1	PD12JAN18		3238.50	3235.00	USD	87.50	
12OCT17	B	1	PD12JAN18		3259.50	3235.00	USD	-612.50	
12OCT17	B	2	PD12JAN18		3258.00	3235.00	USD	-1,150.00	
	NET	0 AVG	BGHT 3258.50	SOLD 3236.83				-1,625.00	0.00

OPEN POSITION VALUE

		<u>USD</u>
LME Aluminium 25 Tonnes.	FUTURES	-27,080.75
LME Copper Grade A 25	FUTURES	-12.50
LME Lead 25 tonnes. This is an	FUTURES	2,077,929.00
LME Nickel 6 tonnes. This is	FUTURES	131,736.90
LME Zinc 25 tonnes. This is	FUTURES	2,557,560.00

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0045G

13th October 2017

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MARKET REVALUATION BREAKDOWN

Unrealised Profits & Losses - Forwards			Amount	Profit / Loss	Total Amount	Total P&L
ALUL	- Futures	USD	-27,080.75	-27,080.75		
COPL	- Futures	USD	-12.50	-12.50		
LEAD	- Futures	USD	-127,731.50	-127,731.50		
NKL	- Futures	USD	130,933.20	130,933.20		
ZINC	- Futures	USD	-55,514.50	-55,514.50	-79,406.05	-79,406.05

ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		163,804.61	163,804.61
Variation Margins	- Futures	0.00	0.00
	- Options	0.00	0.00
TOTAL EQUITY		163,804.61	163,804.61
Initial Margins		-431,477.05	-431,477.05
Excess/(Shortage)		-267,672.44	-267,672.44
FOR INFORMATION ONLY			
Forward Unrealised P&L		-79,406.05	-79,406.05
Net Liquidating Value		84,398.56	84,398.56

OPEN POSITION STATEMENT



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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
19JUL17	B	2	PD19OCT17	6007.50	6857.50	USD	42,500.00	
19JUL17	B	1	PD19OCT17	6006.50	6857.50	USD	21,275.00	
19JUL17	B	1	PD19OCT17	6007.00	6857.50	USD	21,262.50	
19JUL17	B	1	PD19OCT17	6008.00	6857.50	USD	21,237.50	
19JUL17	S	2	PD19OCT17	5967.00	6857.50	USD	-44,525.00	
19JUL17	S	3	PD19OCT17	5967.50	6857.50	USD	-66,750.00	
	NET	0 AVG	BGHT 6007.30	SOLD 5967.30			-5,000.00	0.00
21JUL17	B	2	PD20OCT17	6027.00	6857.50	USD	41,525.00	
21JUL17	B	1	PD20OCT17	6028.50	6857.50	USD	20,725.00	
21JUL17	B	2	PD20OCT17	6030.00	6857.50	USD	41,375.00	
21JUL17	B	1	PD20OCT17	6034.00	6857.50	USD	20,587.50	
21JUL17	B	2	PD20OCT17	6034.50	6857.50	USD	41,150.00	
21JUL17	B	1	PD20OCT17	6036.00	6857.50	USD	20,537.50	
21JUL17	B	1	PD20OCT17	6037.50	6857.50	USD	20,500.00	
21JUL17	B	1	PD20OCT17	6004.00	6857.50	USD	21,337.50	
21JUL17	S	2	PD20OCT17	5999.00	6857.50	USD	-42,925.00	
21JUL17	S	2	PD20OCT17	5999.50	6857.50	USD	-42,900.00	
21JUL17	S	1	PD20OCT17	6000.00	6857.50	USD	-21,437.50	
21JUL17	S	2	PD20OCT17	6001.50	6857.50	USD	-42,800.00	
21JUL17	S	1	PD20OCT17	6002.00	6857.50	USD	-21,387.50	
21JUL17	S	2	PD20OCT17	6003.00	6857.50	USD	-42,725.00	
21JUL17	S	1	PD20OCT17	6003.50	6857.50	USD	-21,350.00	
	NET	0 AVG	BGHT 6029.36	SOLD 6001.05			-7,787.50	0.00
25JUL17	B	5	PD25OCT17	6182.00	6857.50	USD	84,437.50	
25JUL17	B	1	PD25OCT17	6194.50	6857.50	USD	16,575.00	
25JUL17	B	2	PD25OCT17	6199.00	6857.50	USD	32,925.00	
25JUL17	B	2	PD25OCT17	6200.00	6857.50	USD	32,875.00	
31JUL17	S	10	PD25OCT17	6366.75	6857.50	USD	-122,687.50	
	NET	0 AVG	BGHT 6190.25	SOLD 6366.75			44,125.00	0.00
26JUL17	B	1	PD26OCT17	6305.50	6857.50	USD	13,800.00	
26JUL17	B	2	PD26OCT17	6306.50	6857.50	USD	27,550.00	
26JUL17	B	2	PD26OCT17	6308.50	6857.50	USD	27,450.00	
26JUL17	S	1	PD26OCT17	6329.50	6857.50	USD	-13,200.00	
26JUL17	S	1	PD26OCT17	6331.50	6857.50	USD	-13,150.00	
26JUL17	S	1	PD26OCT17	6332.00	6857.50	USD	-13,137.50	
26JUL17	S	1	PD26OCT17	6332.50	6857.50	USD	-13,125.00	
26JUL17	S	1	PD26OCT17	6333.00	6857.50	USD	-13,112.50	
26JUL17	S	2	PD26OCT17	6340.50	6857.50	USD	-25,850.00	
26JUL17	S	1	PD26OCT17	6334.50	6857.50	USD	-13,075.00	
26JUL17	S	2	PD26OCT17	6331.00	6857.50	USD	-26,325.00	
31JUL17	B	5	PD26OCT17	6367.45	6857.50	USD	61,256.25	
	NET	0 AVG	BGHT 6337.28	SOLD 6333.60			-918.75	0.00
28JUL17	S	1	PD27OCT17	6325.00	6857.50	USD	-13,312.50	
28JUL17	S	2	PD27OCT17	6326.50	6857.50	USD	-26,550.00	
28JUL17	S	2	PD27OCT17	6328.00	6857.50	USD	-26,475.00	
31JUL17	B	5	PD27OCT17	6367.95	6857.50	USD	61,193.75	
	NET	0 AVG	BGHT 6367.95	SOLD 6326.80			-5,143.75	0.00
01AUG17	B	1	PD01NOV17	6334.50	6859.05	USD	13,113.75	
01AUG17	B	2	PD01NOV17	6335.00	6859.05	USD	26,202.50	
01AUG17	B	1	PD01NOV17	6336.00	6859.05	USD	13,076.25	
01AUG17	B	1	PD01NOV17	6336.50	6859.05	USD	13,063.75	
29AUG17	S	5	PD01NOV17	6784.76	6859.05	USD	-9,286.25	
	NET	0 AVG	BGHT 6335.40	SOLD 6784.76			56,170.00	0.00
02AUG17	S	1	PD02NOV17	6331.50	6859.82	USD	-13,208.00	
02AUG17	S	1	PD02NOV17	6337.50	6859.82	USD	-13,058.00	
02AUG17	S	1	PD02NOV17	6340.00	6859.82	USD	-12,995.50	

OPEN POSITION STATEMENT



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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
02AUG17	S	1	PD02NOV17	6340.50	6859.82	USD	-12,983.00	
02AUG17	S	1	PD02NOV17	6342.50	6859.82	USD	-12,933.00	
02AUG17	B	1	PD02NOV17	6337.00	6859.82	USD	13,070.50	
02AUG17	B	4	PD02NOV17	6337.50	6859.82	USD	52,232.00	
	NET	0 AVG	BGHT 6337.40	SOLD 6338.40			125.00	0.00
04AUG17	S	1	PD03NOV17	6357.50	6860.59	USD	-12,577.25	
04AUG17	S	3	PD03NOV17	6359.00	6860.59	USD	-37,619.25	
04AUG17	S	1	PD03NOV17	6358.50	6860.59	USD	-12,552.25	
29AUG17	B	5	PD03NOV17	6785.92	6860.59	USD	9,333.75	
	NET	0 AVG	BGHT 6785.92	SOLD 6358.60			-53,415.00	0.00
07AUG17	S	1	PD07NOV17	6415.00	6863.68	USD	-11,217.00	
07AUG17	S	1	PD07NOV17	6418.00	6863.68	USD	-11,142.00	
07AUG17	S	4	PD07NOV17	6415.50	6863.68	USD	-44,818.00	
29AUG17	B	6	PD07NOV17	6787.64	6863.68	USD	11,406.00	
	NET	0 AVG	BGHT 6787.64	SOLD 6415.83			-55,771.00	0.00
08AUG17	S	1	PD08NOV17	6391.50	6864.45	USD	-11,823.75	
08AUG17	B	1	PD08NOV17	6384.50	6864.45	USD	11,998.75	
08AUG17	B	1	PD08NOV17	6394.00	6864.45	USD	11,761.25	
08AUG17	B	1	PD08NOV17	6396.00	6864.45	USD	11,711.25	
08AUG17	B	1	PD08NOV17	6397.00	6864.45	USD	11,686.25	
08AUG17	B	1	PD08NOV17	6397.50	6864.45	USD	11,673.75	
08AUG17	B	1	PD08NOV17	6398.50	6864.45	USD	11,648.75	
08AUG17	B	1	PD08NOV17	6400.00	6864.45	USD	11,611.25	
08AUG17	B	2	PD08NOV17	6483.50	6864.45	USD	19,047.50	
08AUG17	B	1	PD08NOV17	6484.50	6864.45	USD	9,498.75	
08AUG17	B	2	PD08NOV17	6480.00	6864.45	USD	19,222.50	
29AUG17	S	6	PD08NOV17	6787.92	6864.45	USD	-11,479.50	
29AUG17	S	5	PD08NOV17	6788.12	6864.45	USD	-9,541.25	
	NET	0 AVG	BGHT 6431.58	SOLD 6754.97			97,015.50	0.00
09AUG17	B	1	PD09NOV17	6490.00	6865.23	USD	9,380.75	
09AUG17	B	1	PD09NOV17	6490.50	6865.23	USD	9,368.25	
09AUG17	B	2	PD09NOV17	6493.00	6865.23	USD	18,611.50	
09AUG17	B	1	PD09NOV17	6494.50	6865.23	USD	9,268.25	
09AUG17	S	1	PD09NOV17	6482.00	6865.23	USD	-9,580.75	
09AUG17	S	2	PD09NOV17	6482.50	6865.23	USD	-19,136.50	
09AUG17	S	1	PD09NOV17	6483.00	6865.23	USD	-9,555.75	
09AUG17	S	1	PD09NOV17	6484.00	6865.23	USD	-9,530.75	
	NET	0 AVG	BGHT 6492.20	SOLD 6482.80			-1,175.00	0.00
11AUG17	S	2	PD10NOV17	6351.00	6866.00	USD	-25,750.00	
11AUG17	S	2	PD10NOV17	6353.50	6866.00	USD	-25,625.00	
11AUG17	S	1	PD10NOV17	6362.00	6866.00	USD	-12,600.00	
29AUG17	B	5	PD10NOV17	6789.28	6866.00	USD	9,590.00	
	NET	0 AVG	BGHT 6789.28	SOLD 6354.20			-54,385.00	0.00
21AUG17	B	4	PD21NOV17	6570.50	6865.33	USD	29,483.00	
21AUG17	B	1	PD21NOV17	6577.00	6865.33	USD	7,208.25	
29AUG17	S	5	PD21NOV17	6789.96	6865.33	USD	-9,421.25	
	NET	0 AVG	BGHT 6571.80	SOLD 6789.96			27,270.00	0.00
22AUG17	B	3	PD22NOV17	6620.00	6865.80	USD	18,435.00	
22AUG17	B	2	PD22NOV17	6620.50	6865.80	USD	12,265.00	
29AUG17	S	5	PD22NOV17	6790.15	6865.80	USD	-9,456.25	
	NET	0 AVG	BGHT 6620.20	SOLD 6790.15			21,243.75	0.00
23AUG17	S	1	PD24NOV17	6566.00	6866.73	USD	-7,518.25	
23AUG17	S	1	PD24NOV17	6565.50	6866.73	USD	-7,530.75	
23AUG17	S	2	PD24NOV17	6566.50	6866.73	USD	-15,011.50	
23AUG17	S	1	PD24NOV17	6571.00	6866.73	USD	-7,393.25	

OPEN POSITION STATEMENT



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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
23AUG17	S	1	PD24NOV17	6580.50	6866.73	USD	-7,155.75	
23AUG17	S	1	PD24NOV17	6582.00	6866.73	USD	-7,118.25	
23AUG17	S	1	PD24NOV17	6582.50	6866.73	USD	-7,105.75	
23AUG17	S	1	PD24NOV17	6584.50	6866.73	USD	-7,055.75	
23AUG17	S	1	PD24NOV17	6585.50	6866.73	USD	-7,030.75	
29AUG17	B	10	PD24NOV17	6790.74	6866.73	USD	18,997.50	
	NET	0 AVG BGHT	6790.74	SOLD 6575.05			-53,922.50	0.00

OPEN POSITION VALUE

LME Copper Grade A 25 FUTURES USD
8,430.75

MARKET REVALUATION BREAKDOWN

	Amount	Profit / Loss	Total Amount	Total P&L
Unrealised Profits & Losses - Forwards				
COPL - Futures USD	8,430.75	8,430.75	8,430.75	8,430.75

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
(1.00000)	(1.00000)	
CASH BALANCE	-8,233.65	-8,233.65
Variation Margins - Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	-8,233.65	-8,233.65
Initial Margins	0.00	0.00
Excess/(Shortage)	-8,233.65	-8,233.65
FOR INFORMATION ONLY		
Forward Unrealised P&L	8,430.75	8,430.75
Net Liquidating Value	197.10	197.10

OPEN POSITION STATEMENT



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	<u>LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.</u>							
02AUG17	B	1	PD02NOV17	1920.50	2114.44	USD	4,848.50	
02AUG17	B	3	PD02NOV17	1921.50	2114.44	USD	14,470.50	
02AUG17	S	1	PD02NOV17	1922.00	2114.44	USD	-4,811.00	
02AUG17	S	1	PD02NOV17	1923.00	2114.44	USD	-4,786.00	
02AUG17	B	4	PD02NOV17	1925.00	2114.44	USD	18,944.00	
13SEP17	S	2	PD02NOV17	2097.04	2114.44	USD	-870.00	
18SEP17	S	4	PD02NOV17	2074.41	2114.44	USD	-4,003.00	
	NET	0 AVG	BGHT 1923.13	SOLD 2042.09			23,793.00	0.00
07AUG17	B	4	PD07NOV17	1917.00	2116.16	USD	19,916.00	
07AUG17	S	1	PD07NOV17	1924.50	2116.16	USD	-4,791.50	
07AUG17	S	3	PD07NOV17	1925.00	2116.16	USD	-14,337.00	
	NET	0 AVG	BGHT 1917.00	SOLD 1924.88			787.50	0.00
11SEP17	S	2	PD11DEC17	2101.00	2127.97	USD	-1,348.50	
11SEP17	B	1	PD11DEC17	2117.50	2127.97	USD	261.75	
11SEP17	S	1	PD11DEC17	2117.50	2127.97	USD	-261.75	
13SEP17	B	2	PD11DEC17	2109.66	2127.97	USD	915.50	
	NET	0 AVG	BGHT 2112.27	SOLD 2106.50			-433.00	0.00
13SEP17	S	1	PD13DEC17	2131.50	2128.60	USD	72.50	
18SEP17	B	1	PD13DEC17	2088.22	2128.60	USD	1,009.50	
	NET	0 AVG	BGHT 2088.22	SOLD 2131.50			1,082.00	0.00
14SEP17	B	1	PD14DEC17	2109.50	2128.92	USD	485.50	
18SEP17	S	1	PD14DEC17	2088.32	2128.92	USD	-1,015.00	
	NET	0 AVG	BGHT 2109.50	SOLD 2088.32			-529.50	0.00
15SEP17	S	1	PD15DEC17	2088.00	2129.24	USD	-1,031.00	
15SEP17	S	1	PD15DEC17	2091.00	2129.24	USD	-956.00	
15SEP17	S	1	PD15DEC17	2096.50	2129.24	USD	-818.50	
15SEP17	S	1	PD15DEC17	2079.50	2129.24	USD	-1,243.50	
18SEP17	B	4	PD15DEC17	2088.81	2129.24	USD	4,043.00	
	NET	0 AVG	BGHT 2088.81	SOLD 2088.75			-6.00	0.00
18SEP17	B	1	PD18DEC17	2099.50	2130.18	USD	767.00	
18SEP17	B	1	PD18DEC17	2092.50	2130.18	USD	942.00	
22SEP17	S	2	PD18DEC17	2158.71	2130.18	USD	1,426.50	
	NET	0 AVG	BGHT 2096.00	SOLD 2158.71			3,135.50	0.00
19SEP17	S	1	PD19DEC17	2124.50	2130.50	USD	-150.00	
19SEP17	S	1	PD19DEC17	2124.50	2130.50	USD	-150.00	
22SEP17	B	2	PD19DEC17	2159.20	2130.50	USD	-1,435.00	
	NET	0 AVG	BGHT 2159.20	SOLD 2124.50			-1,735.00	0.00
20SEP17	B	1	PD20DEC17	2126.00	2130.50	USD	112.50	
20SEP17	B	1	PD20DEC17	2127.50	2130.50	USD	75.00	
20SEP17	B	1	PD20DEC17	2158.00	2130.50	USD	-687.50	
20SEP17	B	1	PD20DEC17	2156.00	2130.50	USD	-637.50	
20SEP17	B	1	PD20DEC17	2160.00	2130.50	USD	-737.50	
20SEP17	B	1	PD20DEC17	2163.00	2130.50	USD	-812.50	
20SEP17	S	1	PD20DEC17	2168.50	2130.50	USD	950.00	
20SEP17	S	1	PD20DEC17	2165.00	2130.50	USD	862.50	
20SEP17	S	1	PD20DEC17	2181.50	2130.50	USD	1,275.00	
22SEP17	S	3	PD20DEC17	2158.75	2130.50	USD	2,118.75	
	NET	0 AVG	BGHT 2148.42	SOLD 2165.21			2,518.75	0.00
21SEP17	S	1	PD21DEC17	2177.00	2130.50	USD	1,162.50	
21SEP17	S	1	PD21DEC17	2161.50	2130.50	USD	775.00	
21SEP17	S	1	PD21DEC17	2179.00	2130.50	USD	1,212.50	
21SEP17	B	1	PD21DEC17	2192.50	2130.50	USD	-1,550.00	
21SEP17	S	1	PD21DEC17	2166.00	2130.50	USD	887.50	
21SEP17	S	1	PD21DEC17	2156.50	2130.50	USD	650.00	
21SEP17	S	1	PD21DEC17	2146.00	2130.50	USD	387.50	

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backoffice@sapiencap.com

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	<u>LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.</u>							
22SEP17	B	3	PD21DEC17	2157.95	2130.50	USD	-2,058.75	
28SEP17	B	1	PD21DEC17	2129.03	2130.50	USD	36.75	
130CT17	B	1	PD21DEC17	2130.50	2130.50	USD	0.00	
	NET	0 AVG	BGHT 2154.31				1,503.00	0.00
22SEP17	B	1	PD22DEC17	2140.00	2130.50	USD	-237.50	
22SEP17	S	1	PD22DEC17	2122.50	2130.50	USD	-200.00	
22SEP17	B	1	PD22DEC17	2122.00	2130.50	USD	212.50	
22SEP17	S	1	PD22DEC17	2152.00	2130.50	USD	537.50	
	NET	0 AVG	BGHT 2131.00				312.50	0.00
25SEP17	B	1	PD27DEC17	2141.00	2131.04	USD	-249.00	
26SEP17	B	1	PD27DEC17	2127.00	2131.04	USD	101.00	
27SEP17	S	1	PD27DEC17	2138.00	2131.04	USD	174.00	
27SEP17	B	1	PD27DEC17	2128.00	2131.04	USD	76.00	
27SEP17	S	1	PD27DEC17	2126.00	2131.04	USD	-126.00	
28SEP17	S	1	PD27DEC17	2130.52	2131.04	USD	-13.00	
	NET	0 AVG	BGHT 2132.00				-37.00	0.00
09OCT17	B	1	PD09JAN18	2154.50	2133.02	USD	-537.00	
130CT17	S	1	PD09JAN18	2132.82	2133.02	USD	-5.00	
	NET	0 AVG	BGHT 2154.50				-542.00	0.00
11OCT17	S	1	PD11JAN18	2154.00	2133.34	USD	516.50	
11OCT17	B	1	PD11JAN18	2133.50	2133.34	USD	-4.00	
	NET	0 AVG	BGHT 2133.50				512.50	0.00
12OCT17	B	1	PD12JAN18	2140.50	2133.50	USD	-175.00	
12OCT17	B	1	PD12JAN18	2144.00	2133.50	USD	-262.50	
12OCT17	S	1	PD12JAN18	2146.00	2133.50	USD	312.50	
12OCT17	B	1	PD12JAN18	2145.50	2133.50	USD	-300.00	
130CT17	B	1	PD12JAN18	2128.00	2133.50	USD	137.50	
	NET	+3 AVG	BGHT 2139.50				-287.50	0.00
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
20JUL17	B	1	PD20OCT17	5985.00	6857.50	USD	21,812.50	
21JUL17	S	1	PD20OCT17	6015.00	6857.50	USD	-21,062.50	
	NET	0 AVG	BGHT 5985.00				750.00	0.00
24JUL17	S	1	PD24OCT17	6025.50	6857.50	USD	-20,800.00	
18AUG17	B	1	PD24OCT17	6477.58	6857.50	USD	9,498.00	
	NET	0 AVG	BGHT 6477.58				-11,302.00	0.00
25JUL17	S	1	PD25OCT17	6212.50	6857.50	USD	-16,125.00	
18AUG17	B	1	PD25OCT17	6478.17	6857.50	USD	9,483.25	
	NET	0 AVG	BGHT 6478.17				-6,641.75	0.00
27JUL17	B	1	PD27OCT17	6354.50	6857.50	USD	12,575.00	
27JUL17	S	1	PD27OCT17	6342.00	6857.50	USD	-12,887.50	
	NET	0 AVG	BGHT 6354.50				-312.50	0.00
01AUG17	B	1	PD01NOV17	6350.50	6859.05	USD	12,713.75	
01AUG17	S	1	PD01NOV17	6350.50	6859.05	USD	-12,713.75	
	NET	0 AVG	BGHT 6350.50				0.00	0.00
08AUG17	B	1	PD08NOV17	6442.00	6864.45	USD	10,561.25	
08AUG17	B	1	PD08NOV17	6449.00	6864.45	USD	10,386.25	
18AUG17	S	2	PD08NOV17	6485.55	6864.45	USD	-18,945.00	
	NET	0 AVG	BGHT 6445.50				2,002.50	0.00
21AUG17	B	1	PD21NOV17	6599.00	6865.33	USD	6,658.25	
21AUG17	B	1	PD21NOV17	6609.00	6865.33	USD	6,408.25	
08SEP17	S	2	PD21NOV17	6685.68	6865.33	USD	-8,982.50	
	NET	0 AVG	BGHT 6604.00				4,084.00	0.00

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>								
24AUG17	S	2	PD24NOV17		6628.00	6866.73	USD	-11,936.50	
08SEP17	B	2	PD24NOV17		6687.34	6866.73	USD	8,969.50	
	NET	0AVG	BGHT 6687.34	SOLD 6628.00				-2,967.00	0.00
06SEP17	B	1	PD06DEC17		6877.00	6872.00	USD	-125.00	
06SEP17	B	1	PD06DEC17		6879.00	6872.00	USD	-175.00	
06SEP17	B	1	PD06DEC17		6878.50	6872.00	USD	-162.50	
06SEP17	B	1	PD06DEC17		6881.00	6872.00	USD	-225.00	
06SEP17	S	1	PD06DEC17		6912.00	6872.00	USD	1,000.00	
06SEP17	S	1	PD06DEC17		6914.00	6872.00	USD	1,050.00	
06SEP17	S	1	PD06DEC17		6911.50	6872.00	USD	987.50	
06SEP17	S	1	PD06DEC17		6909.00	6872.00	USD	925.00	
06SEP17	S	1	PD06DEC17		6911.00	6872.00	USD	975.00	
06SEP17	B	1	PD06DEC17		6873.50	6872.00	USD	-37.50	
06SEP17	B	1	PD06DEC17		6890.00	6872.00	USD	-450.00	
06SEP17	B	1	PD06DEC17		6891.00	6872.00	USD	-475.00	
06SEP17	B	1	PD06DEC17		6894.00	6872.00	USD	-550.00	
06SEP17	B	1	PD06DEC17		6897.00	6872.00	USD	-625.00	
06SEP17	B	1	PD06DEC17		6896.00	6872.00	USD	-600.00	
06SEP17	B	1	PD06DEC17		6888.50	6872.00	USD	-412.50	
06SEP17	B	1	PD06DEC17		6889.50	6872.00	USD	-437.50	
06SEP17	B	1	PD06DEC17		6876.00	6872.00	USD	-100.00	
08SEP17	S	8	PD06DEC17		6692.67	6872.00	USD	-35,866.00	
	NET	0AVG	BGHT 6885.46	SOLD 6776.84				-35,303.50	0.00
07SEP17	S	1	PD07DEC17		6877.50	6872.42	USD	127.00	
07SEP17	S	1	PD07DEC17		6875.00	6872.42	USD	64.50	
07SEP17	S	3	PD07DEC17		6875.50	6872.42	USD	231.00	
07SEP17	S	1	PD07DEC17		6879.00	6872.42	USD	164.50	
07SEP17	S	1	PD07DEC17		6859.50	6872.42	USD	-323.00	
07SEP17	B	1	PD07DEC17		6898.00	6872.42	USD	-639.50	
07SEP17	B	2	PD07DEC17		6896.00	6872.42	USD	-1,179.00	
07SEP17	B	2	PD07DEC17		6889.50	6872.42	USD	-854.00	
07SEP17	B	1	PD07DEC17		6886.50	6872.42	USD	-352.00	
07SEP17	S	1	PD07DEC17		6878.50	6872.42	USD	152.00	
07SEP17	S	1	PD07DEC17		6878.00	6872.42	USD	139.50	
07SEP17	S	1	PD07DEC17		6872.50	6872.42	USD	2.00	
07SEP17	S	1	PD07DEC17		6870.00	6872.42	USD	-60.50	
07SEP17	S	1	PD07DEC17		6871.50	6872.42	USD	-23.00	
07SEP17	S	2	PD07DEC17		6876.00	6872.42	USD	179.00	
07SEP17	S	1	PD07DEC17		6881.00	6872.42	USD	214.50	
07SEP17	S	1	PD07DEC17		6885.00	6872.42	USD	314.50	
07SEP17	B	1	PD07DEC17		6898.00	6872.42	USD	-639.50	
07SEP17	B	1	PD07DEC17		6895.00	6872.42	USD	-564.50	
08SEP17	B	8	PD07DEC17		6693.03	6872.42	USD	35,878.00	
	NET	0AVG	BGHT 6793.30	SOLD 6875.38				32,831.50	0.00
08SEP17	B	2	PD08DEC17		6929.00	6872.83	USD	-2,808.50	
08SEP17	B	1	PD08DEC17		6947.50	6872.83	USD	-1,866.75	
08SEP17	S	1	PD08DEC17		6933.00	6872.83	USD	1,504.25	
08SEP17	S	1	PD08DEC17		6928.50	6872.83	USD	1,391.75	
08SEP17	S	1	PD08DEC17		6927.00	6872.83	USD	1,354.25	
	NET	0AVG	BGHT 6935.17	SOLD 6929.50				-425.00	0.00
13SEP17	B	1	PD13DEC17		6682.50	6874.92	USD	4,810.50	
13SEP17	B	1	PD13DEC17		6630.00	6874.92	USD	6,123.00	
13SEP17	B	1	PD13DEC17		6562.50	6874.92	USD	7,810.50	
13SEP17	B	1	PD13DEC17		6562.00	6874.92	USD	7,823.00	
13SEP17	B	1	PD13DEC17		6569.00	6874.92	USD	7,648.00	
13SEP17	B	1	PD13DEC17		6570.50	6874.92	USD	7,610.50	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
13SEP17	B	1	PD13DEC17	6571.00	6874.92	USD	7,598.00	
13SEP17	B	2	PD13DEC17	6571.50	6874.92	USD	15,171.00	
22SEP17	S	4	PD13DEC17	6456.25	6874.92	USD	-41,867.00	
28SEP17	S	4	PD13DEC17	6516.73	6874.92	USD	-35,819.00	
13OCT17	S	1	PD13DEC17	6874.92	6874.92	USD	0.00	
	NET	0 AVG BGHT 6587.83	SOLD 6529.65				-13,091.50	0.00
15SEP17	S	1	PD15DEC17	6478.50	6875.75	USD	-9,931.25	
15SEP17	S	1	PD15DEC17	6467.50	6875.75	USD	-10,206.25	
15SEP17	B	1	PD15DEC17	6512.00	6875.75	USD	9,093.75	
15SEP17	B	1	PD15DEC17	6511.50	6875.75	USD	9,106.25	
	NET	0 AVG BGHT 6511.75	SOLD 6473.00				-1,937.50	0.00
18SEP17	S	1	PD18DEC17	6540.00	6877.00	USD	-8,425.00	
18SEP17	S	1	PD18DEC17	6563.00	6877.00	USD	-7,850.00	
18SEP17	S	1	PD18DEC17	6550.00	6877.00	USD	-8,175.00	
22SEP17	B	3	PD18DEC17	6458.53	6877.00	USD	31,385.25	
	NET	0 AVG BGHT 6458.53	SOLD 6551.00				6,935.25	0.00
20SEP17	S	1	PD20DEC17	6548.00	6877.00	USD	-8,225.00	
22SEP17	B	1	PD20DEC17	6458.95	6877.00	USD	10,451.25	
	NET	0 AVG BGHT 6458.95	SOLD 6548.00				2,226.25	0.00
21SEP17	S	1	PD21DEC17	6464.00	6876.00	USD	-10,300.00	
21SEP17	B	1	PD21DEC17	6435.00	6876.00	USD	11,025.00	
21SEP17	B	1	PD21DEC17	6473.50	6876.00	USD	10,062.50	
21SEP17	S	1	PD21DEC17	6426.50	6876.00	USD	-11,237.50	
	NET	0 AVG BGHT 6454.25	SOLD 6445.25				-450.00	0.00
22SEP17	B	1	PD22DEC17	6439.50	6875.00	USD	10,887.50	
22SEP17	S	1	PD22DEC17	6400.50	6875.00	USD	-11,862.50	
22SEP17	S	2	PD22DEC17	6372.50	6875.00	USD	-25,125.00	
22SEP17	B	1	PD22DEC17	6390.00	6875.00	USD	12,125.00	
22SEP17	S	1	PD22DEC17	6390.50	6875.00	USD	-12,112.50	
22SEP17	B	1	PD22DEC17	6465.50	6875.00	USD	10,237.50	
28SEP17	B	1	PD22DEC17	6518.20	6875.00	USD	8,920.00	
	NET	0 AVG BGHT 6453.30	SOLD 6384.00				-6,930.00	0.00
25SEP17	S	1	PD27DEC17	6478.00	6876.67	USD	-9,966.75	
26SEP17	S	1	PD27DEC17	6473.00	6876.67	USD	-10,091.75	
26SEP17	B	1	PD27DEC17	6408.50	6876.67	USD	11,704.25	
26SEP17	B	1	PD27DEC17	6410.00	6876.67	USD	11,666.75	
26SEP17	B	1	PD27DEC17	6410.50	6876.67	USD	11,654.25	
26SEP17	B	1	PD27DEC17	6418.50	6876.67	USD	11,454.25	
26SEP17	B	1	PD27DEC17	6417.50	6876.67	USD	11,479.25	
27SEP17	S	1	PD27DEC17	6436.50	6876.67	USD	-11,004.25	
27SEP17	S	1	PD27DEC17	6428.00	6876.67	USD	-11,216.75	
27SEP17	S	1	PD27DEC17	6439.50	6876.67	USD	-10,929.25	
27SEP17	S	1	PD27DEC17	6441.00	6876.67	USD	-10,891.75	
27SEP17	S	1	PD27DEC17	6444.50	6876.67	USD	-10,804.25	
27SEP17	S	1	PD27DEC17	6442.00	6876.67	USD	-10,866.75	
28SEP17	B	3	PD27DEC17	6521.53	6876.67	USD	26,635.50	
	NET	0 AVG BGHT 6453.70	SOLD 6447.81				-1,177.25	0.00
28SEP17	S	1	PD28DEC17	6408.50	6877.00	USD	-11,712.50	
28SEP17	B	1	PD28DEC17	6479.00	6877.00	USD	9,950.00	
	NET	0 AVG BGHT 6479.00	SOLD 6408.50				-1,762.50	0.00
29SEP17	S	1	PD29DEC17	6535.00	6877.33	USD	-8,558.25	
13OCT17	B	1	PD29DEC17	6877.53	6877.33	USD	-5.00	
	NET	0 AVG BGHT 6877.53	SOLD 6535.00				-8,563.25	0.00
10OCT17	B	1	PD10JAN18	6748.00	6881.33	USD	3,333.25	

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10OCT17	B	1	PD10JAN18	6759.50	6881.33	USD	3,045.75	
10OCT17	B	1	PD10JAN18	6744.00	6881.33	USD	3,433.25	
10OCT17	B	1	PD10JAN18	6740.00	6881.33	USD	3,533.25	
13OCT17	S	4	PD10JAN18	6881.33	6881.33	USD	0.00	
	NET	0 AVG BGHT 6747.88	SOLD 6881.33				13,345.50	0.00
11OCT17	B	1	PD11JAN18	6742.00	6881.67	USD	3,491.75	
11OCT17	S	1	PD11JAN18	6742.00	6881.67	USD	-3,491.75	
11OCT17	B	1	PD11JAN18	6726.50	6881.67	USD	3,879.25	
11OCT17	B	1	PD11JAN18	6726.00	6881.67	USD	3,891.75	
11OCT17	B	2	PD11JAN18	6731.00	6881.67	USD	7,533.50	
11OCT17	B	1	PD11JAN18	6737.50	6881.67	USD	3,604.25	
11OCT17	S	1	PD11JAN18	6760.00	6881.67	USD	-3,041.75	
11OCT17	S	1	PD11JAN18	6756.50	6881.67	USD	-3,129.25	
11OCT17	B	1	PD11JAN18	6752.00	6881.67	USD	3,241.75	
11OCT17	B	1	PD11JAN18	6770.50	6881.67	USD	2,779.25	
11OCT17	B	1	PD11JAN18	6764.50	6881.67	USD	2,929.25	
11OCT17	B	1	PD11JAN18	6766.00	6881.67	USD	2,891.75	
11OCT17	B	1	PD11JAN18	6771.50	6881.67	USD	2,754.25	
11OCT17	S	1	PD11JAN18	6786.00	6881.67	USD	-2,391.75	
11OCT17	S	1	PD11JAN18	6771.50	6881.67	USD	-2,754.25	
11OCT17	B	1	PD11JAN18	6779.50	6881.67	USD	2,554.25	
11OCT17	B	1	PD11JAN18	6797.00	6881.67	USD	2,116.75	
11OCT17	S	1	PD11JAN18	6796.00	6881.67	USD	-2,141.75	
13OCT17	S	2	PD11JAN18	6881.67	6881.67	USD	0.00	
	NET	+5 AVG BGHT 6753.46	SOLD 6796.92				24,717.25	0.00
12OCT17	S	1	PD12JAN18	6793.00	6882.00	USD	-2,225.00	
12OCT17	S	1	PD12JAN18	6881.50	6882.00	USD	-12.50	
12OCT17	S	1	PD12JAN18	6898.50	6882.00	USD	412.50	
12OCT17	S	2	PD12JAN18	6896.00	6882.00	USD	700.00	
12OCT17	S	1	PD12JAN18	6894.00	6882.00	USD	300.00	
13OCT17	S	1	PD12JAN18	6864.00	6882.00	USD	-450.00	
13OCT17	S	1	PD12JAN18	6856.50	6882.00	USD	-637.50	
13OCT17	S	1	PD12JAN18	6867.00	6882.00	USD	-375.00	
13OCT17	S	1	PD12JAN18	6873.50	6882.00	USD	-212.50	
13OCT17	S	1	PD12JAN18	6904.00	6882.00	USD	550.00	
13OCT17	S	1	PD12JAN18	6900.50	6882.00	USD	462.50	
13OCT17	B	6	PD12JAN18	6882.20	6882.00	USD	-30.00	
	NET	-6 AVG BGHT 6882.20	SOLD 6877.04				-1,517.50	0.00
	<u>LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
14SEP17	B	1	PD14DEC17	2297.00	2526.84	USD	5,746.00	
14SEP17	B	1	PD14DEC17	2308.00	2526.84	USD	5,471.00	
14SEP17	S	1	PD14DEC17	2304.50	2526.84	USD	-5,558.50	
18SEP17	S	1	PD14DEC17	2388.94	2526.84	USD	-3,447.50	
	NET	0 AVG BGHT 2302.50	SOLD 2346.72				2,211.00	0.00
15SEP17	S	1	PD15DEC17	2323.50	2526.99	USD	-5,087.25	
15SEP17	S	1	PD15DEC17	2347.50	2526.99	USD	-4,487.25	
15SEP17	B	1	PD15DEC17	2341.50	2526.99	USD	4,637.25	
15SEP17	S	1	PD15DEC17	2359.00	2526.99	USD	-4,199.75	
18SEP17	B	1	PD15DEC17	2389.40	2526.99	USD	3,439.75	
22SEP17	B	1	PD15DEC17	2488.50	2526.99	USD	962.25	
	NET	0 AVG BGHT 2406.47	SOLD 2343.33				-4,735.00	0.00
18SEP17	B	1	PD18DEC17	2397.50	2527.45	USD	3,248.75	
22SEP17	S	1	PD18DEC17	2488.30	2527.45	USD	-978.75	
	NET	0 AVG BGHT 2397.50	SOLD 2488.30				2,270.00	0.00

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	<u>LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
19SEP17	B	1	PD19DEC17	2385.00	2527.60	USD	3,565.00	
19SEP17	S	1	PD19DEC17	2415.00	2527.60	USD	-2,815.00	
19SEP17	B	1	PD19DEC17	2429.50	2527.60	USD	2,452.50	
22SEP17	S	1	PD19DEC17	2488.50	2527.60	USD	-977.50	
	NET	0 AVG BGHT 2407.25	SOLD 2451.75				2,225.00	0.00
20SEP17	B	1	PD20DEC17	2456.50	2527.75	USD	1,781.25	
20SEP17	B	1	PD20DEC17	2460.00	2527.75	USD	1,693.75	
22SEP17	S	2	PD20DEC17	2488.50	2527.75	USD	-1,962.50	
	NET	0 AVG BGHT 2458.25	SOLD 2488.50				1,512.50	0.00
21SEP17	S	1	PD21DEC17	2471.50	2526.75	USD	-1,381.25	
21SEP17	B	1	PD21DEC17	2484.00	2526.75	USD	1,068.75	
21SEP17	S	1	PD21DEC17	2483.50	2526.75	USD	-1,081.25	
21SEP17	S	1	PD21DEC17	2507.00	2526.75	USD	-493.75	
21SEP17	S	1	PD21DEC17	2478.00	2526.75	USD	-1,218.75	
21SEP17	B	1	PD21DEC17	2475.00	2526.75	USD	1,293.75	
21SEP17	S	1	PD21DEC17	2474.50	2526.75	USD	-1,306.25	
21SEP17	S	1	PD21DEC17	2451.00	2526.75	USD	-1,893.75	
21SEP17	B	1	PD21DEC17	2442.00	2526.75	USD	2,118.75	
21SEP17	B	1	PD21DEC17	2474.00	2526.75	USD	1,318.75	
21SEP17	S	1	PD21DEC17	2471.00	2526.75	USD	-1,393.75	
22SEP17	B	3	PD21DEC17	2485.95	2526.75	USD	3,060.00	
	NET	0 AVG BGHT 2476.12	SOLD 2476.64				91.25	0.00
22SEP17	B	1	PD22DEC17	2436.00	2526.90	USD	2,272.50	
22SEP17	S	1	PD22DEC17	2475.00	2526.90	USD	-1,297.50	
	NET	0 AVG BGHT 2436.00	SOLD 2475.00				975.00	0.00
25SEP17	S	1	PD27DEC17	2473.50	2527.64	USD	-1,353.50	
25SEP17	B	1	PD27DEC17	2497.00	2527.64	USD	766.00	
	NET	0 AVG BGHT 2497.00	SOLD 2473.50				-587.50	0.00
	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
28JUL17	B	1	PD27OCT17	10230.00	11617.31	USD	8,323.86	
28JUL17	B	1	PD27OCT17	10220.00	11617.31	USD	8,383.86	
28JUL17	S	1	PD27OCT17	10260.00	11617.31	USD	-8,143.86	
18AUG17	S	1	PD27OCT17	10969.21	11617.31	USD	-3,888.60	
	NET	0 AVG BGHT 10225.00	SOLD 10614.61				4,675.26	0.00
31JUL17	S	1	PD31OCT17	10315.00	11620.72	USD	-7,834.32	
31JUL17	B	1	PD31OCT17	10250.00	11620.72	USD	8,224.32	
31JUL17	B	1	PD31OCT17	10235.00	11620.72	USD	8,314.32	
18AUG17	S	1	PD31OCT17	10971.90	11620.72	USD	-3,892.92	
	NET	0 AVG BGHT 10242.50	SOLD 10643.45				4,811.40	0.00
01AUG17	B	1	PD01NOV17	10205.00	11621.57	USD	8,499.42	
01AUG17	B	1	PD01NOV17	10170.00	11621.57	USD	8,709.42	
01AUG17	S	1	PD01NOV17	10145.00	11621.57	USD	-8,859.42	
01AUG17	S	1	PD01NOV17	10100.00	11621.57	USD	-9,129.42	
01AUG17	S	1	PD01NOV17	10115.00	11621.57	USD	-9,039.42	
01AUG17	B	2	PD01NOV17	10105.00	11621.57	USD	18,198.84	
01AUG17	S	3	PD01NOV17	10110.00	11621.57	USD	-27,208.26	
18AUG17	B	2	PD01NOV17	10973.58	11621.57	USD	7,775.88	
	NET	0 AVG BGHT 10422.03	SOLD 10115.00				-11,052.96	0.00
02AUG17	B	2	PD02NOV17	10205.00	11622.43	USD	17,009.16	
02AUG17	B	2	PD02NOV17	10255.00	11622.43	USD	16,409.16	
02AUG17	S	2	PD02NOV17	10220.00	11622.43	USD	-16,829.16	
18AUG17	S	2	PD02NOV17	10973.25	11622.43	USD	-7,790.16	
	NET	0 AVG BGHT 10230.00	SOLD 10596.63				8,799.00	0.00

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03AUG17	S	2	PD03NOV17	10345.00	11623.28	USD	-15,339.36	
18AUG17	B	2	PD03NOV17	10974.92	11623.28	USD	7,780.32	
	NET	0AVG	BGHT 10974.92	SOLD 10345.00			-7,559.04	0.00
10AUG17	B	2	PD10NOV17	10780.00	11629.24	USD	10,190.88	
10AUG17	B	2	PD10NOV17	10850.00	11629.24	USD	9,350.88	
10AUG17	S	2	PD10NOV17	10930.00	11629.24	USD	-8,390.88	
10AUG17	S	2	PD10NOV17	10890.00	11629.24	USD	-8,870.88	
11AUG17	S	2	PD10NOV17	10620.00	11629.24	USD	-12,110.88	
11AUG17	B	2	PD10NOV17	10625.00	11629.24	USD	12,050.88	
11AUG17	B	4	PD10NOV17	10665.00	11629.24	USD	23,141.76	
11AUG17	B	2	PD10NOV17	10660.00	11629.24	USD	11,630.88	
18AUG17	S	4	PD10NOV17	10978.63	11629.24	USD	-15,614.64	
23AUG17	S	2	PD10NOV17	11653.44	11629.24	USD	290.40	
	NET	0AVG	BGHT 10707.50	SOLD 11008.45			21,668.40	0.00
14AUG17	S	2	PD14NOV17	10505.00	11632.65	USD	-13,531.80	
14AUG17	S	2	PD14NOV17	10490.00	11632.65	USD	-13,711.80	
14AUG17	B	2	PD14NOV17	10510.00	11632.65	USD	13,471.80	
18AUG17	B	2	PD14NOV17	10982.33	11632.65	USD	7,803.84	
	NET	0AVG	BGHT 10746.17	SOLD 10497.50			-5,967.96	0.00
15AUG17	B	2	PD15NOV17	10400.00	11633.50	USD	14,802.00	
23AUG17	S	2	PD15NOV17	11657.00	11633.50	USD	282.00	
	NET	0AVG	BGHT 10400.00	SOLD 11657.00			15,084.00	0.00
16AUG17	S	4	PD16NOV17	10560.00	11634.19	USD	-25,780.56	
16AUG17	S	2	PD16NOV17	10600.00	11634.19	USD	-12,410.28	
16AUG17	B	1	PD16NOV17	10680.00	11634.19	USD	5,725.14	
16AUG17	B	1	PD16NOV17	10690.00	11634.19	USD	5,665.14	
16AUG17	S	2	PD16NOV17	10745.00	11634.19	USD	-10,670.28	
16AUG17	B	2	PD16NOV17	10750.00	11634.19	USD	10,610.28	
16AUG17	B	2	PD16NOV17	10715.00	11634.19	USD	11,030.28	
16AUG17	S	2	PD16NOV17	10820.00	11634.19	USD	-9,770.28	
16AUG17	B	2	PD16NOV17	10755.00	11634.19	USD	10,550.28	
16AUG17	S	2	PD16NOV17	10765.00	11634.19	USD	-10,430.28	
16AUG17	B	2	PD16NOV17	10785.00	11634.19	USD	10,190.28	
18AUG17	B	2	PD16NOV17	10981.00	11634.19	USD	7,838.28	
	NET	0AVG	BGHT 10778.50	SOLD 10675.00			-7,452.00	0.00
17AUG17	B	2	PD17NOV17	10750.00	11634.87	USD	10,618.44	
17AUG17	S	2	PD17NOV17	10745.00	11634.87	USD	-10,678.44	
17AUG17	S	2	PD17NOV17	10775.00	11634.87	USD	-10,318.44	
17AUG17	S	2	PD17NOV17	10830.00	11634.87	USD	-9,658.44	
17AUG17	S	2	PD17NOV17	10790.00	11634.87	USD	-10,138.44	
17AUG17	B	2	PD17NOV17	10780.00	11634.87	USD	10,258.44	
17AUG17	B	2	PD17NOV17	10800.00	11634.87	USD	10,018.44	
17AUG17	B	2	PD17NOV17	10740.00	11634.87	USD	10,738.44	
17AUG17	B	2	PD17NOV17	10755.00	11634.87	USD	10,558.44	
17AUG17	B	4	PD17NOV17	10765.00	11634.87	USD	20,876.88	
17AUG17	B	2	PD17NOV17	10690.00	11634.87	USD	11,338.44	
18AUG17	S	2	PD17NOV17	10820.00	11634.87	USD	-9,778.44	
18AUG17	B	2	PD17NOV17	10880.00	11634.87	USD	9,058.44	
18AUG17	B	2	PD17NOV17	10950.00	11634.87	USD	8,218.44	
18AUG17	S	2	PD17NOV17	11010.00	11634.87	USD	-7,498.44	
18AUG17	B	2	PD17NOV17	10850.00	11634.87	USD	9,418.44	
18AUG17	S	4	PD17NOV17	10905.00	11634.87	USD	-17,516.88	
23AUG17	S	6	PD17NOV17	11655.63	11634.87	USD	747.36	
	NET	0AVG	BGHT 10793.18	SOLD 11067.90			36,262.68	0.00
21AUG17	S	1	PD21NOV17	11130.00	11637.61	USD	-3,045.66	
21AUG17	B	1	PD21NOV17	11170.00	11637.61	USD	2,805.66	

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21AUG17	S	2	PD21NOV17	11195.00	11637.61	USD	-5,311.32	
21AUG17	B	2	PD21NOV17	11165.00	11637.61	USD	5,671.32	
21AUG17	S	2	PD21NOV17	11025.00	11637.61	USD	-7,351.32	
21AUG17	S	2	PD21NOV17	11150.00	11637.61	USD	-5,851.32	
21AUG17	B	4	PD21NOV17	11290.00	11637.61	USD	8,342.64	
21AUG17	S	2	PD21NOV17	11275.00	11637.61	USD	-4,351.32	
21AUG17	S	1	PD21NOV17	11300.00	11637.61	USD	-2,025.66	
23AUG17	B	3	PD21NOV17	11659.13	11637.61	USD	-387.36	
	NET	0AVG BGHT 11363.74	SOLD 11172.00				-11,504.34	0.00
22AUG17	S	1	PD22NOV17	11265.00	11638.30	USD	-2,239.80	
22AUG17	B	2	PD22NOV17	11320.00	11638.30	USD	3,819.60	
22AUG17	S	2	PD22NOV17	11305.00	11638.30	USD	-3,999.60	
22AUG17	S	2	PD22NOV17	11490.00	11638.30	USD	-1,779.60	
22AUG17	S	1	PD22NOV17	11415.00	11638.30	USD	-1,339.80	
22AUG17	S	2	PD22NOV17	11455.00	11638.30	USD	-2,199.60	
22AUG17	B	2	PD22NOV17	11475.00	11638.30	USD	1,959.60	
22AUG17	B	2	PD22NOV17	11440.00	11638.30	USD	2,379.60	
22AUG17	S	2	PD22NOV17	11435.00	11638.30	USD	-2,439.60	
22AUG17	S	3	PD22NOV17	11425.00	11638.30	USD	-3,839.40	
22AUG17	B	4	PD22NOV17	11405.00	11638.30	USD	5,599.20	
22AUG17	S	2	PD22NOV17	11410.00	11638.30	USD	-2,739.60	
22AUG17	S	2	PD22NOV17	11400.00	11638.30	USD	-2,859.60	
23AUG17	B	7	PD22NOV17	11659.75	11638.30	USD	-900.90	
	NET	0AVG BGHT 11512.25	SOLD 11408.53				-10,579.50	0.00
23AUG17	S	2	PD24NOV17	11350.00	11639.67	USD	-3,476.04	
23AUG17	B	2	PD24NOV17	11345.00	11639.67	USD	3,536.04	
23AUG17	S	1	PD24NOV17	11585.00	11639.67	USD	-328.02	
23AUG17	S	1	PD24NOV17	11580.00	11639.67	USD	-358.02	
23AUG17	B	2	PD24NOV17	11555.00	11639.67	USD	1,016.04	
23AUG17	B	2	PD24NOV17	11690.00	11639.67	USD	-603.96	
23AUG17	S	2	PD24NOV17	11685.00	11639.67	USD	543.96	
24AUG17	S	2	PD24NOV17	11655.00	11639.67	USD	183.96	
24AUG17	B	2	PD24NOV17	11665.00	11639.67	USD	-303.96	
	NET	0AVG BGHT 11563.75	SOLD 11568.13				210.00	0.00
29AUG17	B	9	PD29NOV17	11750.00	11643.10	USD	-5,772.60	
29AUG17	B	3	PD29NOV17	11750.00	11643.10	USD	-1,924.20	
29AUG17	S	2	PD29NOV17	11720.00	11643.10	USD	922.80	
29AUG17	S	6	PD29NOV17	11710.00	11643.10	USD	2,408.40	
29AUG17	S	2	PD29NOV17	11715.00	11643.10	USD	862.80	
29AUG17	S	2	PD29NOV17	11685.00	11643.10	USD	502.80	
	NET	0AVG BGHT 11750.00	SOLD 11708.33				-3,000.00	0.00
04SEP17	S	2	PD04DEC17	12285.00	11646.53	USD	7,661.64	
04SEP17	B	2	PD04DEC17	12300.00	11646.53	USD	-7,841.64	
04SEP17	S	2	PD04DEC17	12300.00	11646.53	USD	7,841.64	
04SEP17	B	2	PD04DEC17	12305.00	11646.53	USD	-7,901.64	
04SEP17	B	2	PD04DEC17	12240.00	11646.53	USD	-7,121.64	
04SEP17	B	4	PD04DEC17	12205.00	11646.53	USD	-13,403.28	
04SEP17	S	2	PD04DEC17	12210.00	11646.53	USD	6,761.64	
04SEP17	S	2	PD04DEC17	12260.00	11646.53	USD	7,361.64	
08SEP17	S	2	PD04DEC17	11587.65	11646.53	USD	-706.56	
	NET	0AVG BGHT 12251.00	SOLD 12128.53				-7,348.20	0.00
05SEP17	S	2	PD05DEC17	12290.00	11647.21	USD	7,713.48	
05SEP17	B	2	PD05DEC17	12210.00	11647.21	USD	-6,753.48	
05SEP17	S	2	PD05DEC17	12225.00	11647.21	USD	6,933.48	
08SEP17	B	2	PD05DEC17	11589.24	11647.21	USD	695.64	
	NET	0AVG BGHT 11899.62	SOLD 12257.50				8,589.12	0.00

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06SEP17	B	2	PD06DEC17	11990.00	11647.90	USD	-4,105.20	
06SEP17	B	3	PD06DEC17	12005.00	11647.90	USD	-6,427.80	
06SEP17	B	3	PD06DEC17	12010.00	11647.90	USD	-6,517.80	
06SEP17	S	2	PD06DEC17	12010.00	11647.90	USD	4,345.20	
06SEP17	S	2	PD06DEC17	12070.00	11647.90	USD	5,065.20	
06SEP17	S	2	PD06DEC17	12175.00	11647.90	USD	6,325.20	
13SEP17	S	2	PD06DEC17	11354.77	11647.90	USD	-3,517.56	
	NET	0 AVG BGHT 12003.13	SOLD 11902.44				-4,832.76	0.00
07SEP17	B	2	PD07DEC17	12235.00	11648.59	USD	-7,036.92	
07SEP17	B	4	PD07DEC17	12230.00	11648.59	USD	-13,953.84	
07SEP17	B	1	PD07DEC17	12290.00	11648.59	USD	-3,848.46	
07SEP17	B	1	PD07DEC17	12295.00	11648.59	USD	-3,878.46	
07SEP17	S	1	PD07DEC17	12235.00	11648.59	USD	3,518.46	
07SEP17	S	1	PD07DEC17	12225.00	11648.59	USD	3,458.46	
07SEP17	S	2	PD07DEC17	12245.00	11648.59	USD	7,156.92	
07SEP17	S	1	PD07DEC17	12200.00	11648.59	USD	3,308.46	
07SEP17	B	1	PD07DEC17	12185.00	11648.59	USD	-3,218.46	
07SEP17	B	4	PD07DEC17	12175.00	11648.59	USD	-12,633.84	
07SEP17	B	2	PD07DEC17	12170.00	11648.59	USD	-6,256.92	
13SEP17	S	10	PD07DEC17	11355.62	11648.59	USD	-17,578.20	
	NET	0 AVG BGHT 12213.33	SOLD 11647.08				-50,962.80	0.00
08SEP17	S	1	PD08DEC17	12285.00	11649.27	USD	3,814.38	
08SEP17	S	1	PD08DEC17	12295.00	11649.27	USD	3,874.38	
08SEP17	S	1	PD08DEC17	12255.00	11649.27	USD	3,634.38	
08SEP17	S	1	PD08DEC17	12250.00	11649.27	USD	3,604.38	
08SEP17	S	1	PD08DEC17	12260.00	11649.27	USD	3,664.38	
08SEP17	S	1	PD08DEC17	12265.00	11649.27	USD	3,694.38	
08SEP17	B	1	PD08DEC17	12295.00	11649.27	USD	-3,874.38	
08SEP17	B	1	PD08DEC17	12350.00	11649.27	USD	-4,204.38	
08SEP17	B	2	PD08DEC17	12345.00	11649.27	USD	-8,348.76	
08SEP17	B	3	PD08DEC17	12340.00	11649.27	USD	-12,433.14	
08SEP17	B	3	PD08DEC17	12335.00	11649.27	USD	-12,343.14	
08SEP17	S	1	PD08DEC17	12170.00	11649.27	USD	3,124.38	
08SEP17	S	2	PD08DEC17	12165.00	11649.27	USD	6,188.76	
08SEP17	S	1	PD08DEC17	12150.00	11649.27	USD	3,004.38	
08SEP17	S	1	PD08DEC17	12180.00	11649.27	USD	3,184.38	
08SEP17	S	1	PD08DEC17	12175.00	11649.27	USD	3,154.38	
08SEP17	S	2	PD08DEC17	12155.00	11649.27	USD	6,068.76	
08SEP17	S	1	PD08DEC17	12115.00	11649.27	USD	2,794.38	
08SEP17	S	1	PD08DEC17	12135.00	11649.27	USD	2,914.38	
08SEP17	S	2	PD08DEC17	12080.00	11649.27	USD	5,168.76	
08SEP17	S	1	PD08DEC17	12070.00	11649.27	USD	2,524.38	
08SEP17	S	4	PD08DEC17	12045.00	11649.27	USD	9,497.52	
08SEP17	S	2	PD08DEC17	12050.00	11649.27	USD	4,808.76	
08SEP17	S	1	PD08DEC17	12060.00	11649.27	USD	2,464.38	
08SEP17	S	2	PD08DEC17	11940.00	11649.27	USD	3,488.76	
08SEP17	S	1	PD08DEC17	11965.00	11649.27	USD	1,894.38	
08SEP17	S	1	PD08DEC17	11960.00	11649.27	USD	1,864.38	
08SEP17	B	1	PD08DEC17	11975.00	11649.27	USD	-1,954.38	
08SEP17	B	1	PD08DEC17	11940.00	11649.27	USD	-1,744.38	
08SEP17	B	2	PD08DEC17	11935.00	11649.27	USD	-3,428.76	
08SEP17	S	1	PD08DEC17	11850.00	11649.27	USD	1,204.38	
08SEP17	S	1	PD08DEC17	11840.00	11649.27	USD	1,144.38	
08SEP17	S	1	PD08DEC17	11845.00	11649.27	USD	1,174.38	
08SEP17	S	3	PD08DEC17	11835.00	11649.27	USD	3,343.14	
08SEP17	S	3	PD08DEC17	11825.00	11649.27	USD	3,163.14	
08SEP17	B	1	PD08DEC17	11760.00	11649.27	USD	-664.38	

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SAP0045I

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
08SEP17	B	2	PD08DEC17	11755.00	11649.27	USD	-1,268.76	
08SEP17	B	1	PD08DEC17	11750.00	11649.27	USD	-604.38	
08SEP17	B	1	PD08DEC17	11745.00	11649.27	USD	-574.38	
08SEP17	B	1	PD08DEC17	11740.00	11649.27	USD	-544.38	
08SEP17	B	1	PD08DEC17	11735.00	11649.27	USD	-514.38	
08SEP17	B	2	PD08DEC17	11730.00	11649.27	USD	-968.76	
08SEP17	S	1	PD08DEC17	11590.00	11649.27	USD	-355.62	
08SEP17	S	1	PD08DEC17	11510.00	11649.27	USD	-835.62	
13SEP17	B	12	PD08DEC17	11357.46	11649.27	USD	21,010.32	
13SEP17	B	6	PD08DEC17	11356.46	11649.27	USD	10,541.16	
	NET	0 AVG BGHT 11738.37	SOLD 12028.41				71,350.32	0.00
11SEP17	B	2	PD11DEC17	11530.00	11651.33	USD	1,455.96	
11SEP17	B	2	PD11DEC17	11535.00	11651.33	USD	1,395.96	
11SEP17	B	2	PD11DEC17	11505.00	11651.33	USD	1,755.96	
11SEP17	B	1	PD11DEC17	11750.00	11651.33	USD	-592.02	
11SEP17	S	1	PD11DEC17	11800.00	11651.33	USD	892.02	
11SEP17	S	1	PD11DEC17	11805.00	11651.33	USD	922.02	
11SEP17	S	7	PD11DEC17	11820.00	11651.33	USD	7,084.14	
11SEP17	S	1	PD11DEC17	11830.00	11651.33	USD	1,072.02	
13SEP17	B	3	PD11DEC17	11359.00	11651.33	USD	5,261.94	
	NET	0 AVG BGHT 11496.70	SOLD 11817.50				19,248.00	0.00
12SEP17	B	1	PD12DEC17	11680.00	11652.01	USD	-167.94	
12SEP17	B	2	PD12DEC17	11675.00	11652.01	USD	-275.88	
12SEP17	B	1	PD12DEC17	11635.00	11652.01	USD	102.06	
12SEP17	B	4	PD12DEC17	11670.00	11652.01	USD	-431.76	
12SEP17	B	1	PD12DEC17	11640.00	11652.01	USD	72.06	
12SEP17	B	1	PD12DEC17	11650.00	11652.01	USD	12.06	
12SEP17	B	1	PD12DEC17	11725.00	11652.01	USD	-437.94	
12SEP17	B	3	PD12DEC17	11700.00	11652.01	USD	-863.82	
12SEP17	B	1	PD12DEC17	11670.00	11652.01	USD	-107.94	
12SEP17	B	1	PD12DEC17	11765.00	11652.01	USD	-677.94	
12SEP17	S	2	PD12DEC17	11885.00	11652.01	USD	2,795.88	
12SEP17	S	1	PD12DEC17	11925.00	11652.01	USD	1,637.94	
12SEP17	S	1	PD12DEC17	11950.00	11652.01	USD	1,787.94	
12SEP17	S	2	PD12DEC17	11960.00	11652.01	USD	3,695.88	
12SEP17	S	2	PD12DEC17	11965.00	11652.01	USD	3,755.88	
12SEP17	S	2	PD12DEC17	11970.00	11652.01	USD	3,815.88	
12SEP17	B	4	PD12DEC17	11925.00	11652.01	USD	-6,551.76	
13SEP17	S	9	PD12DEC17	11358.50	11652.01	USD	-15,849.54	
18SEP17	S	1	PD12DEC17	11230.45	11652.01	USD	-2,529.36	
	NET	0 AVG BGHT 11729.75	SOLD 11644.60				-10,218.30	0.00
13SEP17	B	1	PD13DEC17	11890.00	11652.70	USD	-1,423.80	
13SEP17	B	1	PD13DEC17	11930.00	11652.70	USD	-1,663.80	
13SEP17	B	1	PD13DEC17	11825.00	11652.70	USD	-1,033.80	
13SEP17	B	1	PD13DEC17	11560.00	11652.70	USD	556.20	
13SEP17	B	1	PD13DEC17	11580.00	11652.70	USD	436.20	
13SEP17	B	1	PD13DEC17	11600.00	11652.70	USD	316.20	
13SEP17	B	2	PD13DEC17	11585.00	11652.70	USD	812.40	
13SEP17	S	1	PD13DEC17	11590.00	11652.70	USD	-376.20	
13SEP17	B	1	PD13DEC17	11580.00	11652.70	USD	436.20	
18SEP17	S	7	PD13DEC17	11231.21	11652.70	USD	-17,702.58	
22SEP17	S	1	PD13DEC17	10411.80	11652.70	USD	-7,445.40	
	NET	0 AVG BGHT 11681.67	SOLD 11180.03				-27,088.38	0.00
14SEP17	S	1	PD14DEC17	11495.00	11653.39	USD	-950.34	
14SEP17	S	2	PD14DEC17	11470.00	11653.39	USD	-2,200.68	
14SEP17	S	1	PD14DEC17	11335.00	11653.39	USD	-1,910.34	

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
14SEP17	B	1	PD14DEC17	11270.00	11653.39	USD	2,300.34	
14SEP17	B	1	PD14DEC17	11265.00	11653.39	USD	2,330.34	
14SEP17	B	1	PD14DEC17	11185.00	11653.39	USD	2,810.34	
14SEP17	B	1	PD14DEC17	11200.00	11653.39	USD	2,720.34	
14SEP17	S	1	PD14DEC17	11490.00	11653.39	USD	-980.34	
14SEP17	S	1	PD14DEC17	11260.00	11653.39	USD	-2,360.34	
14SEP17	S	1	PD14DEC17	11265.00	11653.39	USD	-2,330.34	
14SEP17	S	2	PD14DEC17	11270.00	11653.39	USD	-4,600.68	
14SEP17	S	2	PD14DEC17	11280.00	11653.39	USD	-4,480.68	
14SEP17	S	1	PD14DEC17	11300.00	11653.39	USD	-2,120.34	
14SEP17	S	3	PD14DEC17	11275.00	11653.39	USD	-6,811.02	
14SEP17	B	1	PD14DEC17	11210.00	11653.39	USD	2,660.34	
14SEP17	B	1	PD14DEC17	11225.00	11653.39	USD	2,570.34	
14SEP17	B	1	PD14DEC17	11220.00	11653.39	USD	2,600.34	
18SEP17	B	8	PD14DEC17	11232.97	11653.39	USD	20,180.16	
	NET	0AVG BGHT 11229.25	SOLD 11334.00				9,427.44	0.00
15SEP17	B	1	PD15DEC17	11125.00	11654.07	USD	3,174.42	
15SEP17	B	1	PD15DEC17	11160.00	11654.07	USD	2,964.42	
15SEP17	S	2	PD15DEC17	10920.00	11654.07	USD	-8,808.84	
15SEP17	S	1	PD15DEC17	10885.00	11654.07	USD	-4,614.42	
15SEP17	S	1	PD15DEC17	11040.00	11654.07	USD	-3,684.42	
15SEP17	S	2	PD15DEC17	11050.00	11654.07	USD	-7,248.84	
15SEP17	B	1	PD15DEC17	11095.00	11654.07	USD	3,354.42	
15SEP17	B	2	PD15DEC17	11090.00	11654.07	USD	6,768.84	
15SEP17	S	1	PD15DEC17	11090.00	11654.07	USD	-3,384.42	
15SEP17	S	1	PD15DEC17	11095.00	11654.07	USD	-3,354.42	
15SEP17	B	1	PD15DEC17	11120.00	11654.07	USD	3,204.42	
15SEP17	B	1	PD15DEC17	11105.00	11654.07	USD	3,294.42	
15SEP17	B	1	PD15DEC17	11100.00	11654.07	USD	3,324.42	
	NET	0AVG BGHT 11110.63	SOLD 11006.25				-5,010.00	0.00
18SEP17	S	1	PD18DEC17	11215.00	11656.13	USD	-2,646.78	
22SEP17	B	1	PD18DEC17	10417.23	11656.13	USD	7,433.40	
	NET	0AVG BGHT 10417.23	SOLD 11215.00				4,786.62	0.00
19SEP17	B	1	PD19DEC17	11100.00	11656.81	USD	3,340.86	
19SEP17	B	1	PD19DEC17	11095.00	11656.81	USD	3,370.86	
19SEP17	B	1	PD19DEC17	11035.00	11656.81	USD	3,730.86	
19SEP17	S	1	PD19DEC17	11005.00	11656.81	USD	-3,910.86	
19SEP17	B	1	PD19DEC17	10995.00	11656.81	USD	3,970.86	
22SEP17	S	3	PD19DEC17	10417.11	11656.81	USD	-22,314.60	
	NET	0AVG BGHT 11056.25	SOLD 10564.08				-11,812.02	0.00
20SEP17	B	1	PD20DEC17	11355.00	11657.50	USD	1,815.00	
20SEP17	B	1	PD20DEC17	11385.00	11657.50	USD	1,635.00	
20SEP17	S	1	PD20DEC17	11355.00	11657.50	USD	-1,815.00	
20SEP17	S	1	PD20DEC17	11370.00	11657.50	USD	-1,725.00	
20SEP17	S	1	PD20DEC17	11320.00	11657.50	USD	-2,025.00	
20SEP17	S	2	PD20DEC17	11345.00	11657.50	USD	-3,750.00	
20SEP17	S	2	PD20DEC17	11350.00	11657.50	USD	-3,690.00	
22SEP17	B	3	PD20DEC17	10419.00	11657.50	USD	22,293.00	
22SEP17	B	2	PD20DEC17	10418.00	11657.50	USD	14,874.00	
	NET	0AVG BGHT 10690.43	SOLD 11347.86				27,612.00	0.00
21SEP17	B	1	PD21DEC17	11210.00	11658.26	USD	2,689.56	
21SEP17	B	1	PD21DEC17	11080.00	11658.26	USD	3,469.56	
21SEP17	B	1	PD21DEC17	11010.00	11658.26	USD	3,889.56	
21SEP17	B	2	PD21DEC17	11005.00	11658.26	USD	7,839.12	
21SEP17	B	1	PD21DEC17	11000.00	11658.26	USD	3,949.56	
21SEP17	B	1	PD21DEC17	11115.00	11658.26	USD	3,259.56	

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21SEP17	B	1	PD21DEC17	11105.00	11658.26	USD	3,319.56	
21SEP17	B	1	PD21DEC17	11090.00	11658.26	USD	3,409.56	
21SEP17	B	2	PD21DEC17	11010.00	11658.26	USD	7,779.12	
21SEP17	B	1	PD21DEC17	11000.00	11658.26	USD	3,949.56	
21SEP17	S	1	PD21DEC17	10715.00	11658.26	USD	-5,659.56	
21SEP17	S	1	PD21DEC17	10750.00	11658.26	USD	-5,449.56	
22SEP17	S	2	PD21DEC17	10418.00	11658.26	USD	-14,883.12	
28SEP17	S	4	PD21DEC17	10431.00	11658.26	USD	-29,454.24	
13OCT17	S	4	PD21DEC17	11658.26	11658.26	USD	0.00	
	NET	0 AVG BGHT 11053.33		SOLD 10888.17			-11,891.76	0.00
22SEP17	B	1	PD22DEC17	10580.00	11659.02	USD	6,474.12	
22SEP17	S	2	PD22DEC17	10585.00	11659.02	USD	-12,888.24	
22SEP17	S	1	PD22DEC17	10590.00	11659.02	USD	-6,414.12	
22SEP17	S	1	PD22DEC17	10535.00	11659.02	USD	-6,744.12	
22SEP17	B	3	PD22DEC17	10530.00	11659.02	USD	20,322.36	
22SEP17	B	1	PD22DEC17	10570.00	11659.02	USD	6,534.12	
22SEP17	B	1	PD22DEC17	10540.00	11659.02	USD	6,714.12	
22SEP17	B	2	PD22DEC17	10545.00	11659.02	USD	13,368.24	
22SEP17	B	1	PD22DEC17	10465.00	11659.02	USD	7,164.12	
13OCT17	S	5	PD22DEC17	11659.02	11659.02	USD	0.00	
	NET	0 AVG BGHT 10537.22		SOLD 11176.68			34,530.60	0.00
25SEP17	S	1	PD27DEC17	10560.00	11662.83	USD	-6,616.98	
25SEP17	S	2	PD27DEC17	10570.00	11662.83	USD	-13,113.96	
25SEP17	B	1	PD27DEC17	10500.00	11662.83	USD	6,976.98	
25SEP17	B	1	PD27DEC17	10535.00	11662.83	USD	6,766.98	
25SEP17	B	1	PD27DEC17	10525.00	11662.83	USD	6,826.98	
25SEP17	S	3	PD27DEC17	10565.00	11662.83	USD	-19,760.94	
25SEP17	S	3	PD27DEC17	10580.00	11662.83	USD	-19,490.94	
25SEP17	S	2	PD27DEC17	10595.00	11662.83	USD	-12,813.96	
25SEP17	B	1	PD27DEC17	10585.00	11662.83	USD	6,466.98	
26SEP17	B	1	PD27DEC17	10420.00	11662.83	USD	7,456.98	
26SEP17	B	1	PD27DEC17	10430.00	11662.83	USD	7,396.98	
26SEP17	B	1	PD27DEC17	10440.00	11662.83	USD	7,336.98	
26SEP17	B	4	PD27DEC17	10445.00	11662.83	USD	29,227.92	
26SEP17	B	1	PD27DEC17	10435.00	11662.83	USD	7,366.98	
26SEP17	B	1	PD27DEC17	10480.00	11662.83	USD	7,096.98	
26SEP17	B	1	PD27DEC17	10475.00	11662.83	USD	7,126.98	
26SEP17	B	1	PD27DEC17	10490.00	11662.83	USD	7,036.98	
26SEP17	B	1	PD27DEC17	10495.00	11662.83	USD	7,006.98	
26SEP17	B	2	PD27DEC17	10510.00	11662.83	USD	13,833.96	
26SEP17	B	2	PD27DEC17	10500.00	11662.83	USD	13,953.96	
26SEP17	B	1	PD27DEC17	10500.00	11662.83	USD	6,976.98	
27SEP17	S	3	PD27DEC17	10490.00	11662.83	USD	-21,110.94	
27SEP17	S	1	PD27DEC17	10510.00	11662.83	USD	-6,916.98	
27SEP17	S	2	PD27DEC17	10505.00	11662.83	USD	-13,893.96	
27SEP17	S	2	PD27DEC17	10520.00	11662.83	USD	-13,713.96	
27SEP17	S	1	PD27DEC17	10555.00	11662.83	USD	-6,646.98	
27SEP17	S	1	PD27DEC17	10560.00	11662.83	USD	-6,616.98	
27SEP17	S	1	PD27DEC17	10590.00	11662.83	USD	-6,436.98	
27SEP17	S	1	PD27DEC17	10600.00	11662.83	USD	-6,376.98	
27SEP17	S	1	PD27DEC17	10480.00	11662.83	USD	-7,096.98	
27SEP17	S	1	PD27DEC17	10495.00	11662.83	USD	-7,006.98	
27SEP17	S	2	PD27DEC17	10525.00	11662.83	USD	-13,653.96	
27SEP17	S	1	PD27DEC17	10530.00	11662.83	USD	-6,796.98	
27SEP17	S	1	PD27DEC17	10535.00	11662.83	USD	-6,766.98	
27SEP17	S	1	PD27DEC17	10560.00	11662.83	USD	-6,616.98	
27SEP17	B	1	PD27DEC17	10460.00	11662.83	USD	7,216.98	

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27SEP17	B	1	PD27DEC17	10360.00	11662.83	USD	7,816.98	
27SEP17	B	1	PD27DEC17	10380.00	11662.83	USD	7,696.98	
27SEP17	B	1	PD27DEC17	10375.00	11662.83	USD	7,726.98	
27SEP17	S	2	PD27DEC17	10265.00	11662.83	USD	-16,773.96	
27SEP17	B	1	PD27DEC17	10225.00	11662.83	USD	8,626.98	
27SEP17	B	2	PD27DEC17	10230.00	11662.83	USD	17,193.96	
27SEP17	S	2	PD27DEC17	10275.00	11662.83	USD	-16,653.96	
27SEP17	B	1	PD27DEC17	10365.00	11662.83	USD	7,786.98	
27SEP17	B	1	PD27DEC17	10320.00	11662.83	USD	8,056.98	
28SEP17	B	4	PD27DEC17	10439.67	11662.83	USD	29,355.84	
	NET	0 AVG BGHT 10435.70	SOLD 10511.47				15,457.92	0.00
28SEP17	B	1	PD28DEC17	10330.00	11663.59	USD	8,001.54	
28SEP17	S	1	PD28DEC17	10250.00	11663.59	USD	-8,481.54	
28SEP17	S	1	PD28DEC17	10290.00	11663.59	USD	-8,241.54	
28SEP17	B	1	PD28DEC17	10285.00	11663.59	USD	8,271.54	
28SEP17	S	1	PD28DEC17	10265.00	11663.59	USD	-8,391.54	
28SEP17	B	1	PD28DEC17	10315.00	11663.59	USD	8,091.54	
28SEP17	B	1	PD28DEC17	10325.00	11663.59	USD	8,031.54	
28SEP17	S	1	PD28DEC17	10340.00	11663.59	USD	-7,941.54	
28SEP17	S	1	PD28DEC17	10345.00	11663.59	USD	-7,911.54	
28SEP17	B	1	PD28DEC17	10360.00	11663.59	USD	7,821.54	
28SEP17	S	1	PD28DEC17	10355.00	11663.59	USD	-7,851.54	
13OCT17	B	1	PD28DEC17	11664.59	11663.59	USD	-6.00	
	NET	0 AVG BGHT 10546.60	SOLD 10307.50				-8,607.54	0.00
29SEP17	S	2	PD29DEC17	10475.00	11664.35	USD	-14,272.20	
29SEP17	S	1	PD29DEC17	10485.00	11664.35	USD	-7,076.10	
13OCT17	B	3	PD29DEC17	11665.35	11664.35	USD	-18.00	
	NET	0 AVG BGHT 11665.35	SOLD 10478.33				-21,366.30	0.00
10OCT17	B	5	PD10JAN18	10960.00	11673.48	USD	21,404.40	
10OCT17	B	1	PD10JAN18	10965.00	11673.48	USD	4,250.88	
10OCT17	B	1	PD10JAN18	10925.00	11673.48	USD	4,490.88	
10OCT17	B	2	PD10JAN18	11005.00	11673.48	USD	8,021.76	
10OCT17	S	1	PD10JAN18	10905.00	11673.48	USD	-4,610.88	
10OCT17	S	1	PD10JAN18	10880.00	11673.48	USD	-4,760.88	
10OCT17	S	1	PD10JAN18	10885.00	11673.48	USD	-4,730.88	
10OCT17	S	1	PD10JAN18	10850.00	11673.48	USD	-4,940.88	
10OCT17	B	1	PD10JAN18	11000.00	11673.48	USD	4,040.88	
10OCT17	B	2	PD10JAN18	11010.00	11673.48	USD	7,961.76	
10OCT17	S	1	PD10JAN18	11050.00	11673.48	USD	-3,740.88	
10OCT17	B	1	PD10JAN18	11020.00	11673.48	USD	3,920.88	
10OCT17	B	3	PD10JAN18	11015.00	11673.48	USD	11,852.64	
13OCT17	S	5	PD10JAN18	11673.48	11673.48	USD	0.00	
	NET	+6 AVG BGHT 10986.56	SOLD 11293.74				43,159.68	0.00
11OCT17	S	3	PD11JAN18	10950.00	11674.24	USD	-13,036.32	
11OCT17	S	4	PD11JAN18	10940.00	11674.24	USD	-17,621.76	
11OCT17	S	1	PD11JAN18	10935.00	11674.24	USD	-4,435.44	
11OCT17	S	2	PD11JAN18	10930.00	11674.24	USD	-8,930.88	
13OCT17	B	10	PD11JAN18	11675.24	11674.24	USD	-60.00	
	NET	0 AVG BGHT 11675.24	SOLD 10940.50				-44,084.40	0.00
12OCT17	B	1	PD12JAN18	11240.00	11675.00	USD	2,610.00	
12OCT17	B	1	PD12JAN18	11250.00	11675.00	USD	2,550.00	
12OCT17	B	1	PD12JAN18	11260.00	11675.00	USD	2,490.00	
12OCT17	B	2	PD12JAN18	11255.00	11675.00	USD	5,040.00	
12OCT17	S	1	PD12JAN18	11255.00	11675.00	USD	-2,520.00	
12OCT17	B	2	PD12JAN18	11400.00	11675.00	USD	3,300.00	
12OCT17	B	1	PD12JAN18	11385.00	11675.00	USD	1,740.00	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0045I

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
13OCT17	S	1	PD12JAN18	11440.00	11675.00	USD	-1,410.00	
13OCT17	S	1	PD12JAN18	11605.00	11675.00	USD	-420.00	
13OCT17	S	1	PD12JAN18	11610.00	11675.00	USD	-390.00	
13OCT17	S	1	PD12JAN18	11620.00	11675.00	USD	-330.00	
13OCT17	S	1	PD12JAN18	11650.00	11675.00	USD	-150.00	
13OCT17	S	1	PD12JAN18	11695.00	11675.00	USD	120.00	
13OCT17	S	3	PD12JAN18	11590.00	11675.00	USD	-1,530.00	
13OCT17	S	2	PD12JAN18	11600.00	11675.00	USD	-900.00	
13OCT17	S	1	PD12JAN18	11585.00	11675.00	USD	-540.00	
13OCT17	S	1	PD12JAN18	11615.00	11675.00	USD	-360.00	
13OCT17	S	1	PD12JAN18	11660.00	11675.00	USD	-90.00	
13OCT17	S	2	PD12JAN18	11650.00	11675.00	USD	-300.00	
	NET	-9 AVG	BGHT 11305.63		SOLD 11588.53		8,910.00	0.00
	<u>LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
08AUG17	B	1	PD08NOV17	20235.00	20697.50	USD	2,312.50	
18AUG17	S	1	PD08NOV17	20266.25	20697.50	USD	-2,156.25	
	NET	0 AVG	BGHT 20235.00		SOLD 20266.25		156.25	0.00
09AUG17	S	1	PD09NOV17	20275.00	20695.00	USD	-2,100.00	
18AUG17	B	1	PD09NOV17	20266.00	20695.00	USD	2,145.00	
	NET	0 AVG	BGHT 20266.00		SOLD 20275.00		45.00	0.00
15AUG17	B	1	PD15NOV17	19310.00	20685.00	USD	6,875.00	
15AUG17	B	1	PD15NOV17	19225.00	20685.00	USD	7,300.00	
15AUG17	S	1	PD15NOV17	20010.00	20685.00	USD	-3,375.00	
15AUG17	S	1	PD15NOV17	19990.00	20685.00	USD	-3,475.00	
	NET	0 AVG	BGHT 19267.50		SOLD 20000.00		7,325.00	0.00
13SEP17	S	1	PD13DEC17	20650.00	20649.38	USD	3.10	
18SEP17	B	1	PD13DEC17	20705.45	20649.38	USD	-280.35	
	NET	0 AVG	BGHT 20705.45		SOLD 20650.00		-277.25	0.00
14SEP17	B	1	PD14DEC17	20685.00	20647.50	USD	-187.50	
14SEP17	B	1	PD14DEC17	20575.00	20647.50	USD	362.50	
14SEP17	S	1	PD14DEC17	20665.00	20647.50	USD	87.50	
18SEP17	S	1	PD14DEC17	20702.64	20647.50	USD	275.70	
	NET	0 AVG	BGHT 20630.00		SOLD 20683.82		538.20	0.00
15SEP17	S	1	PD15DEC17	20510.00	20645.63	USD	-678.15	
22SEP17	B	1	PD15DEC17	20553.75	20645.63	USD	459.40	
	NET	0 AVG	BGHT 20553.75		SOLD 20510.00		-218.75	0.00
18SEP17	S	1	PD18DEC17	20630.00	20643.75	USD	-68.75	
22SEP17	B	1	PD18DEC17	20550.83	20643.75	USD	464.60	
	NET	0 AVG	BGHT 20550.83		SOLD 20630.00		395.85	0.00
19SEP17	B	1	PD19DEC17	20700.00	20641.88	USD	-290.60	
22SEP17	S	1	PD19DEC17	20546.92	20641.88	USD	-474.80	
	NET	0 AVG	BGHT 20700.00		SOLD 20546.92		-765.40	0.00
20SEP17	B	1	PD20DEC17	20740.00	20640.00	USD	-500.00	
22SEP17	S	1	PD20DEC17	20544.00	20640.00	USD	-480.00	
	NET	0 AVG	BGHT 20740.00		SOLD 20544.00		-980.00	0.00
21SEP17	S	1	PD21DEC17	20575.00	20637.14	USD	-310.70	
21SEP17	B	1	PD21DEC17	20575.00	20637.14	USD	310.70	
	NET	0 AVG	BGHT 20575.00		SOLD 20575.00		0.00	0.00
22SEP17	S	1	PD22DEC17	20470.00	20634.29	USD	-821.45	
13OCT17	B	1	PD22DEC17	20634.29	20634.29	USD	0.00	
	NET	0 AVG	BGHT 20634.29		SOLD 20470.00		-821.45	0.00
28SEP17	S	1	PD28DEC17	20620.00	20628.57	USD	-42.85	

OPEN POSITION STATEMENT



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	<u>LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>								
28SEP17	S	2	PD28DEC17		20625.00	20628.57	USD	-35.70	
13OCT17	B	3	PD28DEC17		20628.57	20628.57	USD	0.00	
	NET	0 AVG	BGHT 20628.57	SOLD 20623.33				-78.55	0.00
09OCT17	B	1	PD09JAN18		20690.00	20608.57	USD	-407.15	
09OCT17	B	1	PD09JAN18		20680.00	20608.57	USD	-357.15	
09OCT17	B	1	PD09JAN18		20730.00	20608.57	USD	-607.15	
09OCT17	B	1	PD09JAN18		20835.00	20608.57	USD	-1,132.15	
13OCT17	S	4	PD09JAN18		20607.57	20608.57	USD	-20.00	
	NET	0 AVG	BGHT 20733.75	SOLD 20607.57				-2,523.60	0.00
12OCT17	B	1	PD12JAN18		20745.00	20600.00	USD	-725.00	
13OCT17	B	1	PD12JAN18		20790.00	20600.00	USD	-950.00	
13OCT17	B	1	PD12JAN18		20700.00	20600.00	USD	-500.00	
	NET	+3 AVG	BGHT 20745.00					-2,175.00	0.00
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>								
16AUG17	B	1	PD16NOV17		3091.50	3253.50	USD	4,050.00	
23AUG17	S	1	PD16NOV17		3095.00	3253.50	USD	-3,962.50	
	NET	0 AVG	BGHT 3091.50	SOLD 3095.00				87.50	0.00
18AUG17	S	1	PD17NOV17		3117.00	3251.00	USD	-3,350.00	
23AUG17	B	1	PD17NOV17		3094.70	3251.00	USD	3,907.50	
	NET	0 AVG	BGHT 3094.70	SOLD 3117.00				557.50	0.00
13SEP17	B	1	PD13DEC17		3067.00	3244.25	USD	4,431.25	
13SEP17	S	1	PD13DEC17		3057.50	3244.25	USD	-4,668.75	
	NET	0 AVG	BGHT 3067.00	SOLD 3057.50				-237.50	0.00
14SEP17	B	1	PD14DEC17		3008.00	3244.00	USD	5,900.00	
14SEP17	B	1	PD14DEC17		3008.50	3244.00	USD	5,887.50	
18SEP17	S	1	PD14DEC17		3097.54	3244.00	USD	-3,661.50	
22SEP17	S	1	PD14DEC17		3033.07	3244.00	USD	-5,273.25	
	NET	0 AVG	BGHT 3008.25	SOLD 3065.31				2,852.75	0.00
15SEP17	S	1	PD15DEC17		3013.00	3243.75	USD	-5,768.75	
15SEP17	S	1	PD15DEC17		3006.00	3243.75	USD	-5,943.75	
15SEP17	B	1	PD15DEC17		3033.00	3243.75	USD	5,268.75	
18SEP17	B	1	PD15DEC17		3097.85	3243.75	USD	3,647.50	
	NET	0 AVG	BGHT 3065.43	SOLD 3009.50				-2,796.25	0.00
18SEP17	B	1	PD18DEC17		3073.00	3243.50	USD	4,262.50	
18SEP17	S	1	PD18DEC17		3073.00	3243.50	USD	-4,262.50	
18SEP17	B	1	PD18DEC17		3095.50	3243.50	USD	3,700.00	
18SEP17	B	1	PD18DEC17		3088.50	3243.50	USD	3,875.00	
22SEP17	S	2	PD18DEC17		3033.69	3243.50	USD	-10,490.50	
	NET	0 AVG	BGHT 3085.67	SOLD 3046.79				-2,915.50	0.00
19SEP17	S	1	PD19DEC17		3107.50	3243.25	USD	-3,393.75	
19SEP17	S	1	PD19DEC17		3115.00	3243.25	USD	-3,206.25	
22SEP17	B	2	PD19DEC17		3034.05	3243.25	USD	10,460.00	
	NET	0 AVG	BGHT 3034.05	SOLD 3111.25				3,860.00	0.00
20SEP17	B	1	PD20DEC17		3123.50	3243.00	USD	2,987.50	
20SEP17	S	1	PD20DEC17		3133.50	3243.00	USD	-2,737.50	
	NET	0 AVG	BGHT 3123.50	SOLD 3133.50				250.00	0.00
21SEP17	S	1	PD21DEC17		3081.50	3240.00	USD	-3,962.50	
21SEP17	B	1	PD21DEC17		3099.00	3240.00	USD	3,525.00	
21SEP17	S	1	PD21DEC17		3071.50	3240.00	USD	-4,212.50	
22SEP17	B	1	PD21DEC17		3032.70	3240.00	USD	5,182.50	
	NET	0 AVG	BGHT 3065.85	SOLD 3076.50				532.50	0.00
25SEP17	B	1	PD27DEC17		3098.00	3239.23	USD	3,530.75	

OPEN POSITION STATEMENT



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Date	Open Position				Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>								
26SEP17	S	1	PD27DEC17		3117.00	3239.23	USD	-3,055.75	
26SEP17	B	1	PD27DEC17		3115.00	3239.23	USD	3,105.75	
27SEP17	B	1	PD27DEC17		3145.00	3239.23	USD	2,355.75	
27SEP17	S	1	PD27DEC17		3120.00	3239.23	USD	-2,980.75	
27SEP17	S	1	PD27DEC17		3112.00	3239.23	USD	-3,180.75	
27SEP17	B	1	PD27DEC17		3118.00	3239.23	USD	3,030.75	
130CT17	S	1	PD27DEC17		3239.23	3239.23	USD	0.00	
	NET	0 AVG	BGHT 3119.00	SOLD 3147.06				2,805.75	0.00
28SEP17	S	1	PD28DEC17		3114.50	3238.85	USD	-3,108.75	
28SEP17	B	1	PD28DEC17		3115.50	3238.85	USD	3,083.75	
28SEP17	B	1	PD28DEC17		3138.50	3238.85	USD	2,508.75	
28SEP17	S	1	PD28DEC17		3135.00	3238.85	USD	-2,596.25	
28SEP17	S	1	PD28DEC17		3126.00	3238.85	USD	-2,821.25	
130CT17	B	1	PD28DEC17		3239.05	3238.85	USD	-5.00	
	NET	0 AVG	BGHT 3164.35	SOLD 3125.17				-2,938.75	0.00
120CT17	B	1	PD12JAN18		3259.00	3235.00	USD	-600.00	
120CT17	B	1	PD12JAN18		3248.00	3235.00	USD	-325.00	
130CT17	S	1	PD12JAN18		3261.00	3235.00	USD	650.00	
130CT17	S	1	PD12JAN18		3226.50	3235.00	USD	-212.50	
130CT17	B	1	PD12JAN18		3245.50	3235.00	USD	-262.50	
	NET	+1 AVG	BGHT 3250.83	SOLD 3243.75				-750.00	0.00

OPEN POSITION VALUE

		USD
LME Aluminium 25 Tonnes.	FUTURES	-129,937.75
LME Copper Grade A 25	FUTURES	166,602.25
LME Lead 25 tonnes. This is an	FUTURES	3,962.25
LME Nickel 6 tonnes. This is	FUTURES	284,448.90
LME Tin 5 tonnes. This is an	FUTURES	-308,379.70
LME Zinc 25 tonnes. This is	FUTURES	-79,567.00

MARKET REVALUATION BREAKDOWN

Unrealised Profits & Losses - Forwards			Amount	Profit / Loss	Total Amount	Total P&L
ALUL	- Futures	USD	30,074.75	30,074.75		
COPL	- Futures	USD	-5,489.00	-5,489.00		
LEAD	- Futures	USD	3,962.25	3,962.25		
NKL	- Futures	USD	74,244.18	74,244.18		
TIN	- Futures	USD	620.30	620.30		
ZINC	- Futures	USD	1,308.00	1,308.00	104,720.48	104,720.48

OPEN POSITION STATEMENT



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SAP0045I

13th October 2017

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Suite A, 105 Piccadilly
London W1J 7NJ UK
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ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	-15,173.70	-15,173.70
Variation Margins		
- Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	-15,173.70	-15,173.70
Initial Margins	0.00	0.00
Excess/(Shortage)	-15,173.70	-15,173.70
FOR INFORMATION ONLY		
Forward Unrealised P&L	104,720.48	104,720.48
Net Liquidating Value	89,546.78	89,546.78

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED
SAP0045
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

GROUP NO: **SAPG0045**

13th Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343
Authorised and regulated by the Australian Prudential
Regulation Authority. Authorised by the Prudential
Regulation Authority. Subject to regulation by the Financial
Conduct Authority and limited regulation by the
Prudential Regulation Authority. Details about the extent
of our regulation by the Prudential Regulation Authority are
available from us on request.

GROUP FINANCIAL SUMMARY

	USD	NET USD
	(1.00000)	(1.00000)
CASH BALANCE	827,847.17	827,847.17
Variation Margins		
- Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	827,847.17	827,847.17
Initial Margins	-548,333.57	-548,333.57
Excess/(Shortage)	279,513.60	279,513.60
<u>FOR INFORMATION ONLY</u>		
Forward Unrealised P&L	4,382.43	4,382.43
Net Liquidating Value	832,229.60	832,229.60

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0046A

13th October 2017

backoffice@sapiencap.com

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	-50.00	-50.00
Variation Margins		
- Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	-50.00	-50.00
Initial Margins	0.00	0.00
Excess/(Shortage)	-50.00	-50.00
FOR INFORMATION ONLY		
Net Liquidating Value	-50.00	-50.00

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED
SAP0046
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

GROUP NO: **SAPG0046**

13th Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343
Authorised and regulated by the Australian Prudential
Regulation Authority. Authorised by the Prudential
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Conduct Authority and limited regulation by the
Prudential Regulation Authority. Details about the extent
of our regulation by the Prudential Regulation Authority are
available from us on request.

GROUP FINANCIAL SUMMARY

	USD	NET USD
	(1.00000)	(1.00000)
CASH BALANCE	-50.00	-50.00
Variation Margins		
- Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	-50.00	-50.00
Initial Margins	0.00	0.00
Excess/(Shortage)	-50.00	-50.00
<u>FOR INFORMATION ONLY</u>		
Net Liquidating Value	-50.00	-50.00

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0052

13th October 2017

backoffice@sapiencap.com

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
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backoffice@sapiencap.com

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ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	9,864.59	9,864.59
Variation Margins		
- Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	9,864.59	9,864.59
Initial Margins	0.00	0.00
Excess/(Shortage)	9,864.59	9,864.59
FOR INFORMATION ONLY		
Net Liquidating Value	9,864.59	9,864.59

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED
SAP0052
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

GROUP NO: **SAPG0052**

13th Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343
Authorised and regulated by the Australian Prudential
Regulation Authority. Authorised by the Prudential
Regulation Authority. Subject to regulation by the Financial
Conduct Authority and limited regulation by the
Prudential Regulation Authority. Details about the extent
of our regulation by the Prudential Regulation Authority are
available from us on request.

GROUP FINANCIAL SUMMARY

	USD	NET USD
	(1.00000)	(1.00000)
CASH BALANCE	9,864.59	9,864.59
Variation Margins		
- Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	9,864.59	9,864.59
Initial Margins	0.00	0.00
Excess/(Shortage)	9,864.59	9,864.59
<u>FOR INFORMATION ONLY</u>		
Net Liquidating Value	9,864.59	9,864.59

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0054

13th October 2017

backoffice@sapiencap.com

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

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ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	-66,294.00	-66,294.00
Variation Margins		
- Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	-66,294.00	-66,294.00
Initial Margins	0.00	0.00
Excess/(Shortage)	-66,294.00	-66,294.00
FOR INFORMATION ONLY		
Net Liquidating Value	-66,294.00	-66,294.00

OPEN POSITION STATEMENT



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ACCOUNT FINANCIAL SUMMARY

	GBP	USD	NETT USD
	(1.33050)	(1.00000)	(1.00000)
CASH BALANCE	6,265.00	179,449.90	187,785.48
Variation Margins			
- Futures	0.00	0.00	0.00
- Options	0.00	0.00	0.00
TOTAL EQUITY	6,265.00	179,449.90	187,785.48
Initial Margins	0.00	0.00	0.00
Excess/(Shortage)	6,265.00	179,449.90	187,785.48
FOR INFORMATION ONLY			
Net Liquidating Value	6,265.00	179,449.90	187,785.48

OPEN POSITION STATEMENT



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SAP0054B

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Sapien Capital Limited
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London W1J 7NJ UK
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ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	50.00	50.00
Variation Margins		
- Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	50.00	50.00
Initial Margins	0.00	0.00
Excess/(Shortage)	50.00	50.00
FOR INFORMATION ONLY		
Net Liquidating Value	50.00	50.00

OPEN POSITION STATEMENT



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SAP0054C

13th October 2017

backoffice@sapiencap.com

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
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ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	17,630.90	17,630.90
Variation Margins		
- Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	17,630.90	17,630.90
Initial Margins	0.00	0.00
Excess/(Shortage)	17,630.90	17,630.90
FOR INFORMATION ONLY		
Net Liquidating Value	17,630.90	17,630.90

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0054D

13th October 2017

backoffice@sapiencap.com

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
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backoffice@sapiencap.com

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Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>CME EURO FX 125,000 CME</u>					
08AUG17	S 1 DEC17	1.18430	1.18580	USD	-187.50	
10OCT17	S 1 DEC17	1.18505	1.18580	USD	-93.75	
10OCT17	S 2 DEC17	1.18490	1.18580	USD	-225.00	
10OCT17	S 1 DEC17	1.18460	1.18580	USD	-150.00	
	NET -5 AVG SOLD 1.18475				-656.25	0.00
	<u>CMX GOLD 100 CMX</u>					
17AUG17	S 1 DEC17	1292.5	1304.6	USD	-1,210.00	
17AUG17	S 1 DEC17	1292.8	1304.6	USD	-1,180.00	
18AUG17	S 1 DEC17	1298.2	1304.6	USD	-640.00	
21AUG17	S 1 DEC17	1293.0	1304.6	USD	-1,160.00	
06SEP17	S 1 DEC17	1343.5	1304.6	USD	3,890.00	
06SEP17	S 2 DEC17	1345.1	1304.6	USD	8,100.00	
25SEP17	S 1 DEC17	1311.6	1304.6	USD	700.00	
	NET -8 AVG SOLD 1315.2				8,500.00	0.00
11OCT17	S 2 FEB18	1296.0	1308.9	USD	-2,580.00	
	NET -2 AVG SOLD 1296.0				-2,580.00	0.00

OPEN POSITION VALUE

		USD
CME Euro FX	FUTURES	740,468.75
CMX Gold	FUTURES	1,311,380.00

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options	Amount	Profit / Loss	Total Amount	Total P&L
EUSD - Futures	USD -656.25	-656.25		
GOLD - Futures	USD 5,920.00	5,920.00	5,263.75	5,263.75

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	124,012.86	124,012.86
Variation Margins - Futures	5,263.75	5,263.75
- Options	0.00	0.00
TOTAL EQUITY	129,276.61	129,276.61
Initial Margins	-59,500.00	-59,500.00
Excess/(Shortage)	69,776.61	69,776.61
FOR INFORMATION ONLY		
Net Liquidating Value	129,276.61	129,276.61

OPEN POSITION STATEMENT



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backoffice@sapiencap.com

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
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backoffice@sapiencap.com

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ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	185,711.50	185,711.50
Variation Margins		
- Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	185,711.50	185,711.50
Initial Margins	0.00	0.00
Excess/(Shortage)	185,711.50	185,711.50
FOR INFORMATION ONLY		
Net Liquidating Value	185,711.50	185,711.50

OPEN POSITION STATEMENT



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SAP0054F

13th October 2017

backoffice@sapiencap.com

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

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Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.</u>					
16AUG17	B 1 PD16NOV17	2059.50	2120.07	USD	1,514.25	
15SEP17	S 1 PD16NOV17	2078.03	2120.07	USD	-1,051.00	
	NET 0AVG BGHT 2059.50 SOLD 2078.03				463.25	0.00
24AUG17	S 1 PD24NOV17	2116.00	2122.60	USD	-165.00	
15SEP17	B 1 PD24NOV17	2080.49	2122.60	USD	1,052.75	
	NET 0AVG BGHT 2080.49 SOLD 2116.00				887.75	0.00
	<u>CMX GOLD 100 CMX</u>					
11OCT17	B 1 DEC17	1291.5	1304.6	USD	1,310.00	
	NET +1AVG BGHT 1291.5				1,310.00	0.00
	<u>LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
09AUG17	B 1 PD09NOV17	2416.50	2521.94	USD	2,636.00	
09AUG17	B 1 PD09NOV17	2412.00	2521.94	USD	2,748.50	
15SEP17	S 2 PD09NOV17	2348.82	2521.94	USD	-8,656.00	
	NET 0AVG BGHT 2414.25 SOLD 2348.82				-3,271.50	0.00
16AUG17	B 1 PD16NOV17	2393.50	2522.75	USD	3,231.25	
15SEP17	S 1 PD16NOV17	2351.03	2522.75	USD	-4,293.00	
	NET 0AVG BGHT 2393.50 SOLD 2351.03				-1,061.75	0.00
18AUG17	S 1 PD17NOV17	2426.00	2522.75	USD	-2,418.75	
18AUG17	S 1 PD17NOV17	2424.50	2522.75	USD	-2,456.25	
18AUG17	S 1 PD17NOV17	2400.00	2522.75	USD	-3,068.75	
15SEP17	B 3 PD17NOV17	2351.50	2522.75	USD	12,843.75	
	NET 0AVG BGHT 2351.50 SOLD 2416.83				4,900.00	0.00
31AUG17	B 1 PD30NOV17	2398.00	2524.72	USD	3,168.00	
15SEP17	S 1 PD30NOV17	2354.88	2524.72	USD	-4,246.00	
	NET 0AVG BGHT 2398.00 SOLD 2354.88				-1,078.00	0.00
05SEP17	B 1 PD05DEC17	2342.50	2525.48	USD	4,574.50	
05SEP17	S 2 PD05DEC17	2325.00	2525.48	USD	-10,024.00	
15SEP17	B 1 PD05DEC17	2356.45	2525.48	USD	4,225.75	
	NET 0AVG BGHT 2349.48 SOLD 2325.00				-1,223.75	0.00
	<u>CMX SILVER 5,000 CMX</u>					
10OCT17	B 1 DEC17	1718.5	1741.1	USD	1,130.00	
	NET +1AVG BGHT 1718.5				1,130.00	0.00
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
09AUG17	S 1 PD09NOV17	2975.00	3260.53	USD	-7,138.25	
09AUG17	S 1 PD09NOV17	2972.50	3260.53	USD	-7,200.75	
15SEP17	B 2 PD09NOV17	3028.50	3260.53	USD	11,601.50	
	NET 0AVG BGHT 3028.50 SOLD 2973.75				-2,737.50	0.00
16AUG17	S 1 PD16NOV17	2982.00	3253.50	USD	-6,787.50	
16AUG17	S 1 PD16NOV17	2987.50	3253.50	USD	-6,650.00	
16AUG17	S 1 PD16NOV17	3020.00	3253.50	USD	-5,837.50	
16AUG17	S 1 PD16NOV17	3020.50	3253.50	USD	-5,825.00	
16AUG17	S 1 PD16NOV17	3021.00	3253.50	USD	-5,812.50	
16AUG17	B 3 PD16NOV17	3121.00	3253.50	USD	9,937.50	
15SEP17	B 2 PD16NOV17	3026.50	3253.50	USD	11,350.00	
	NET 0AVG BGHT 3083.20 SOLD 3006.20				-9,625.00	0.00
18AUG17	B 1 PD17NOV17	3136.00	3251.00	USD	2,875.00	
18AUG17	B 1 PD17NOV17	3137.50	3251.00	USD	2,837.50	
18AUG17	B 1 PD17NOV17	3114.50	3251.00	USD	3,412.50	
15SEP17	S 3 PD17NOV17	3026.46	3251.00	USD	-16,840.50	
	NET 0AVG BGHT 3129.33 SOLD 3026.46				-7,715.50	0.00
24AUG17	B 1 PD24NOV17	3127.50	3247.50	USD	3,000.00	

OPEN POSITION STATEMENT



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Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
15SEP17	S 1 PD24NOV17	3027.54	3247.50	USD	-5,499.00	
	NET 0 AVG BGHT 3127.50 SOLD 3027.54				-2,499.00	0.00
31AUG17	S 1 PD30NOV17	3136.00	3246.50	USD	-2,762.50	
15SEP17	B 1 PD30NOV17	3028.67	3246.50	USD	5,445.75	
	NET 0 AVG BGHT 3028.67 SOLD 3136.00				2,683.25	0.00
05SEP17	S 1 PD05DEC17	3186.50	3245.75	USD	-1,481.25	
05SEP17	B 2 PD05DEC17	3095.50	3245.75	USD	7,512.50	
15SEP17	S 1 PD05DEC17	3029.25	3245.75	USD	-5,412.50	
	NET 0 AVG BGHT 3095.50 SOLD 3107.88				618.75	0.00

OPEN POSITION VALUE

		USD
LME Aluminium 25 Tonnes.	FUTURES	1,351.00
CMX Gold	FUTURES	-129,150.00
LME Lead 25 tonnes. This is an	FUTURES	-1,735.00
CMX Silver	FUTURES	-85,925.00
LME Zinc 25 tonnes. This is	FUTURES	-19,275.00

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options	Amount	Profit / Loss	Total Amount	Total P&L
GOLD - Futures USD	1,310.00	1,310.00		
SILV - Futures USD	1,130.00	1,130.00	2,440.00	2,440.00
Unrealised Profits & Losses - Forwards	Amount	Profit / Loss	Total Amount	Total P&L
ALUL - Futures USD	1,351.00	1,351.00		
LEAD - Futures USD	-1,735.00	-1,735.00		
ZINC - Futures USD	-19,275.00	-19,275.00	-19,659.00	-19,659.00

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
CASH BALANCE	(1.00000)	(1.00000)
Variation Margins	137,953.60	137,953.60
- Futures	2,440.00	2,440.00
- Options	0.00	0.00
TOTAL EQUITY	140,393.60	140,393.60
Initial Margins	-30,459.00	-30,459.00
Excess/(Shortage)	109,934.60	109,934.60
FOR INFORMATION ONLY		
Forward Unrealised P&L	-19,659.00	-19,659.00
Net Liquidating Value	120,734.60	120,734.60

OPEN POSITION STATEMENT



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SAP0054G

13th October 2017

backoffice@sapiencap.com

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Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
<u>LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>						
09AUG17	B 1 PD09NOV17	2414.00	2521.94	USD	2,698.50	
27SEP17	S 1 PD09NOV17	2460.46	2521.94	USD	-1,537.00	
	NET 0AVG BGHT 2414.00 SOLD 2460.46				1,161.50	0.00
10AUG17	B 1 PD10NOV17	2370.00	2522.08	USD	3,802.00	
27SEP17	S 1 PD10NOV17	2460.55	2522.08	USD	-1,538.25	
	NET 0AVG BGHT 2370.00 SOLD 2460.55				2,263.75	0.00
16AUG17	B 1 PD16NOV17	2396.00	2522.75	USD	3,168.75	
27SEP17	S 1 PD16NOV17	2461.10	2522.75	USD	-1,541.25	
	NET 0AVG BGHT 2396.00 SOLD 2461.10				1,627.50	0.00
18AUG17	S 1 PD17NOV17	2394.00	2522.75	USD	-3,218.75	
18AUG17	S 1 PD17NOV17	2415.50	2522.75	USD	-2,681.25	
27SEP17	B 2 PD17NOV17	2461.40	2522.75	USD	3,067.50	
	NET 0AVG BGHT 2461.40 SOLD 2404.75				-2,832.50	0.00
22SEP17	S 1 PD22DEC17	2484.00	2526.90	USD	-1,072.50	
27SEP17	B 1 PD22DEC17	2463.03	2526.90	USD	1,596.75	
	NET 0AVG BGHT 2463.03 SOLD 2484.00				524.25	0.00
<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>						
09AUG17	S 1 PD09NOV17	2971.00	3260.53	USD	-7,238.25	
27SEP17	B 1 PD09NOV17	3111.25	3260.53	USD	3,732.00	
	NET 0AVG BGHT 3111.25 SOLD 2971.00				-3,506.25	0.00
10AUG17	S 1 PD10NOV17	2940.00	3259.40	USD	-7,985.00	
27SEP17	B 1 PD10NOV17	3110.94	3259.40	USD	3,711.50	
	NET 0AVG BGHT 3110.94 SOLD 2940.00				-4,273.50	0.00
16AUG17	S 1 PD16NOV17	3021.50	3253.50	USD	-5,800.00	
16AUG17	S 3 PD16NOV17	3022.00	3253.50	USD	-17,362.50	
16AUG17	S 3 PD16NOV17	3021.00	3253.50	USD	-17,437.50	
16AUG17	S 1 PD16NOV17	2989.00	3253.50	USD	-6,612.50	
16AUG17	B 1 PD16NOV17	3121.00	3253.50	USD	3,312.50	
16AUG17	B 2 PD16NOV17	3120.00	3253.50	USD	6,675.00	
16AUG17	B 2 PD16NOV17	3120.50	3253.50	USD	6,650.00	
16AUG17	B 2 PD16NOV17	3121.50	3253.50	USD	6,600.00	
27SEP17	B 1 PD16NOV17	3108.50	3253.50	USD	3,625.00	
	NET 0AVG BGHT 3119.19 SOLD 3017.44				-20,350.00	0.00
18AUG17	B 1 PD17NOV17	3107.00	3251.00	USD	3,600.00	
18AUG17	B 1 PD17NOV17	3142.00	3251.00	USD	2,725.00	
27SEP17	S 2 PD17NOV17	3106.80	3251.00	USD	-7,210.00	
	NET 0AVG BGHT 3124.50 SOLD 3106.80				-885.00	0.00
22SEP17	B 1 PD22DEC17	3054.50	3239.62	USD	4,628.00	
27SEP17	S 1 PD22DEC17	3105.55	3239.62	USD	-3,351.75	
	NET 0AVG BGHT 3054.50 SOLD 3105.55				1,276.25	0.00

OPEN POSITION VALUE

	<u>USD</u>
LME Lead 25 tonnes. This is an FUTURES	2,744.50
LME Zinc 25 tonnes. This is FUTURES	-27,738.50

OPEN POSITION STATEMENT



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MARKET REVALUATION BREAKDOWN

Unrealised Profits & Losses - Forwards			Amount	Profit / Loss	Total Amount	Total P&L
LEAD	- Futures	USD	2,744.50	2,744.50		
ZINC	- Futures	USD	-27,738.50	-27,738.50	-24,994.00	-24,994.00

ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		124,985.90	124,985.90
Variation Margins	- Futures	0.00	0.00
	- Options	0.00	0.00
TOTAL EQUITY		124,985.90	124,985.90
Initial Margins		-24,994.00	-24,994.00
Excess/(Shortage)		99,991.90	99,991.90
<u>FOR INFORMATION ONLY</u>			
Forward Unrealised P&L		-24,994.00	-24,994.00
Net Liquidating Value		99,991.90	99,991.90

OPEN POSITION STATEMENT



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backoffice@sapiencap.com

Sapien Capital Limited
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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>CMX COPPER 25,000 CMX</u>							
11OCT17	S	1	DEC17	308.500	313.350	USD	-1,212.50	
11OCT17	S	2	DEC17	307.800	313.350	USD	-2,775.00	
11OCT17	S	2	DEC17	308.300	313.350	USD	-2,525.00	
11OCT17	S	1	DEC17	308.600	313.350	USD	-1,187.50	
11OCT17	S	1	DEC17	309.050	313.350	USD	-1,075.00	
11OCT17	S	2	DEC17	309.100	313.350	USD	-2,125.00	
11OCT17	S	1	DEC17	309.150	313.350	USD	-1,050.00	
11OCT17	S	1	DEC17	309.400	313.350	USD	-987.50	
11OCT17	S	1	DEC17	309.350	313.350	USD	-1,000.00	
11OCT17	S	2	DEC17	309.000	313.350	USD	-2,175.00	
13OCT17	S	1	DEC17	312.900	313.350	USD	-112.50	
13OCT17	S	1	DEC17	313.450	313.350	USD	25.00	
	NET	-16 AVG SOLD 309.300					-16,200.00	0.00
	<u>NYM HENRY HUB NATURAL GAS 10,000 NYM</u>							
13OCT17	B	1	NOV17	3.00300	3.00000	USD	-30.00	
13OCT17	B	1	NOV17	3.02100	3.00000	USD	-210.00	
	NET	+2 AVG BGHT 3.01200					-240.00	0.00
	<u>INR/USD FUTURES 500 CME</u>							
13OCT17	S	2	OCT17	153.8700	154.3400	USD	-470.00	
	NET	-2 AVG SOLD 153.8700					-470.00	0.00
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
14SEP17	S	1	PD02NOV17	3013.04	3266.20	USD	-6,329.00	
14SEP17	S	1	PD02NOV17	3013.54	3266.20	USD	-6,316.50	
14SEP17	S	1	PD02NOV17	3013.18	3266.20	USD	-6,325.50	
14SEP17	S	1	PD02NOV17	3014.68	3266.20	USD	-6,288.00	
15SEP17	S	1	PD02NOV17	3022.40	3266.20	USD	-6,095.00	
15SEP17	S	1	PD02NOV17	3024.15	3266.20	USD	-6,051.25	
15SEP17	S	1	PD02NOV17	3020.40	3266.20	USD	-6,145.00	
15SEP17	S	1	PD02NOV17	3005.40	3266.20	USD	-6,520.00	
15SEP17	S	1	PD02NOV17	3005.65	3266.20	USD	-6,513.75	
15SEP17	S	1	PD02NOV17	3005.90	3266.20	USD	-6,507.50	
15SEP17	S	1	PD02NOV17	3023.90	3266.20	USD	-6,057.50	
15SEP17	S	2	PD02NOV17	3032.90	3266.20	USD	-11,665.00	
15SEP17	S	1	PD02NOV17	3024.28	3266.20	USD	-6,048.00	
18SEP17	S	1	PD02NOV17	3087.82	3266.20	USD	-4,459.50	
18SEP17	S	3	PD02NOV17	3088.32	3266.20	USD	-13,341.00	
18SEP17	S	2	PD02NOV17	3113.00	3266.20	USD	-7,660.00	
20SEP17	S	1	PD02NOV17	3150.27	3266.20	USD	-2,898.25	
20SEP17	S	1	PD02NOV17	3150.02	3266.20	USD	-2,904.50	
20SEP17	S	1	PD02NOV17	3151.02	3266.20	USD	-2,879.50	
25SEP17	S	1	PD02NOV17	3110.94	3266.20	USD	-3,881.50	
25SEP17	S	1	PD02NOV17	3104.23	3266.20	USD	-4,049.25	
26SEP17	S	2	PD02NOV17	3146.50	3266.20	USD	-5,985.00	
04OCT17	B	1	PD02NOV17	3286.25	3266.20	USD	-501.25	
09OCT17	B	1	PD02NOV17	3252.00	3266.20	USD	355.00	
10OCT17	B	9	PD02NOV17	3243.65	3266.20	USD	5,073.75	
	NET	-16 AVG BGHT 3248.28		SOLD 3066.32			-129,993.00	0.00
14SEP17	S	1	PD14DEC17	3018.50	3244.00	USD	-5,637.50	
14SEP17	S	1	PD14DEC17	3016.50	3244.00	USD	-5,687.50	
14SEP17	S	2	PD14DEC17	3018.00	3244.00	USD	-11,300.00	
14SEP17	B	1	PD14DEC17	3017.90	3244.00	USD	5,652.50	
14SEP17	B	1	PD14DEC17	3018.40	3244.00	USD	5,640.00	
14SEP17	B	1	PD14DEC17	3018.16	3244.00	USD	5,646.00	
14SEP17	B	1	PD14DEC17	3019.66	3244.00	USD	5,608.50	
	NET	0 AVG BGHT 3018.53		SOLD 3017.75			-78.00	0.00

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
15SEP17	S	1	PD15DEC17	3026.00	3243.75	USD	-5,443.75	
15SEP17	S	1	PD15DEC17	3033.00	3243.75	USD	-5,268.75	
15SEP17	S	1	PD15DEC17	3032.00	3243.75	USD	-5,293.75	
15SEP17	S	1	PD15DEC17	3030.00	3243.75	USD	-5,343.75	
15SEP17	S	1	PD15DEC17	3020.50	3243.75	USD	-5,581.25	
15SEP17	S	1	PD15DEC17	3019.50	3243.75	USD	-5,606.25	
15SEP17	S	1	PD15DEC17	3018.00	3243.75	USD	-5,643.75	
15SEP17	S	1	PD15DEC17	3025.00	3243.75	USD	-5,468.75	
15SEP17	S	2	PD15DEC17	3024.50	3243.75	USD	-10,962.50	
15SEP17	B	1	PD15DEC17	3025.91	3243.75	USD	5,446.00	
15SEP17	B	1	PD15DEC17	3027.91	3243.75	USD	5,396.00	
15SEP17	B	1	PD15DEC17	3024.16	3243.75	USD	5,489.75	
15SEP17	B	1	PD15DEC17	3009.16	3243.75	USD	5,864.75	
15SEP17	B	1	PD15DEC17	3009.41	3243.75	USD	5,858.50	
15SEP17	B	1	PD15DEC17	3009.66	3243.75	USD	5,852.25	
15SEP17	B	1	PD15DEC17	3027.66	3243.75	USD	5,402.25	
15SEP17	B	2	PD15DEC17	3036.66	3243.75	USD	10,354.50	
15SEP17	B	1	PD15DEC17	3028.15	3243.75	USD	5,390.00	
	NET	0 AVG	BGHT 3023.53	SOLD 3025.30			441.50	0.00
18SEP17	S	1	PD18DEC17	3090.50	3243.50	USD	-3,825.00	
18SEP17	S	1	PD18DEC17	3094.00	3243.50	USD	-3,737.50	
18SEP17	S	1	PD18DEC17	3092.00	3243.50	USD	-3,787.50	
18SEP17	S	1	PD18DEC17	3092.50	3243.50	USD	-3,775.50	
18SEP17	B	1	PD18DEC17	3091.15	3243.50	USD	3,808.75	
18SEP17	B	3	PD18DEC17	3091.65	3243.50	USD	11,388.75	
18SEP17	S	1	PD18DEC17	3110.50	3243.50	USD	-3,325.00	
18SEP17	S	1	PD18DEC17	3111.00	3243.50	USD	-3,312.50	
18SEP17	B	2	PD18DEC17	3113.31	3243.50	USD	6,509.50	
	NET	0 AVG	BGHT 3098.79	SOLD 3098.42			-55.50	0.00
20SEP17	S	1	PD20DEC17	3151.00	3243.00	USD	-2,300.00	
20SEP17	S	2	PD20DEC17	3149.50	3243.00	USD	-4,675.00	
20SEP17	B	1	PD20DEC17	3150.66	3243.00	USD	2,308.50	
20SEP17	B	1	PD20DEC17	3151.41	3243.00	USD	2,289.75	
20SEP17	B	1	PD20DEC17	3150.41	3243.00	USD	2,314.75	
	NET	0 AVG	BGHT 3150.83	SOLD 3150.00			-62.00	0.00
25SEP17	S	1	PD27DEC17	3094.50	3239.23	USD	-3,618.25	
25SEP17	S	1	PD27DEC17	3104.00	3239.23	USD	-3,380.75	
25SEP17	B	1	PD27DEC17	3103.66	3239.23	USD	3,389.25	
25SEP17	B	1	PD27DEC17	3096.91	3239.23	USD	3,558.00	
26SEP17	S	2	PD27DEC17	3119.50	3239.23	USD	-5,986.50	
26SEP17	B	2	PD27DEC17	3137.15	3239.23	USD	5,104.00	
	NET	0 AVG	BGHT 3118.72	SOLD 3109.38			-934.25	0.00
03OCT17	B	1	PD03JAN18	3262.50	3237.69	USD	-620.25	
03OCT17	B	1	PD03JAN18	3262.00	3237.69	USD	-607.75	
	NET	+2 AVG	BGHT 3262.25				-1,228.00	0.00
04OCT17	B	1	PD04JAN18	3276.00	3237.31	USD	-967.25	
04OCT17	S	1	PD04JAN18	3273.60	3237.31	USD	907.25	
	NET	0 AVG	BGHT 3276.00	SOLD 3273.60			-60.00	0.00
09OCT17	B	1	PD09JAN18	3245.50	3236.15	USD	-233.75	
09OCT17	S	1	PD09JAN18	3232.85	3236.15	USD	-82.50	
	NET	0 AVG	BGHT 3245.50	SOLD 3232.85			-316.25	0.00
10OCT17	B	2	PD10JAN18	3247.50	3235.77	USD	-586.50	
10OCT17	B	1	PD10JAN18	3245.00	3235.77	USD	-230.75	
10OCT17	B	2	PD10JAN18	3243.50	3235.77	USD	-386.50	
10OCT17	B	1	PD10JAN18	3231.50	3235.77	USD	106.75	

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
10OCT17	B	1	PD10JAN18	3230.50	3235.77	USD	131.75	
10OCT17	B	1	PD10JAN18	3227.50	3235.77	USD	206.75	
10OCT17	B	1	PD10JAN18	3226.50	3235.77	USD	231.75	
10OCT17	S	9	PD10JAN18	3219.85	3235.77	USD	-3,582.00	
	NET	0 AVG BGHT	3238.11		SOLD 3219.85		-4,108.75	0.00

OPEN POSITION VALUE

			<u>USD</u>
CMX Copper	FUTURES		1,237,200.00
NYM Henry Hub Natural Gas	FUTURES		-60,240.00
INR/USD FUTURES	FUTURES		153,870.00
LME Zinc 25 tonnes. This is	FUTURES		1,008,201.25

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options	Amount	Profit / Loss	Total Amount	Total P&L
COPR - Futures USD	-16,200.00	-16,200.00		
HGAS - Futures USD	-240.00	-240.00		
SIR - Futures USD	-470.00	-470.00	-16,910.00	-16,910.00
Unrealised Profits & Losses - Forwards				
ZINC - Futures USD	-136,394.25	-136,394.25	-136,394.25	-136,394.25

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	-57,300.05	-57,300.05
Variation Margins - Futures	-16,910.00	-16,910.00
- Options	0.00	0.00
TOTAL EQUITY	-74,210.05	-74,210.05
Initial Margins	-269,365.25	-269,365.25
Excess/(Shortage)	-343,575.30	-343,575.30
FOR INFORMATION ONLY		
Forward Unrealised P&L	-136,394.25	-136,394.25
Net Liquidating Value	-210,604.30	-210,604.30

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	<u>LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.</u>					
15SEP17	S 1 PD15DEC17	2088.00	2129.24	USD	-1,031.00	
03OCT17	B 1 PD15DEC17	2126.39	2129.24	USD	71.25	
	NET 0AVG BGHT 2126.39 SOLD 2088.00				-959.75	0.00
19SEP17	S 1 PD19DEC17	2133.50	2130.50	USD	75.00	
28SEP17	B 1 PD19DEC17	2128.45	2130.50	USD	51.25	
	NET 0AVG BGHT 2128.45 SOLD 2133.50				126.25	0.00
20SEP17	S 1 PD20DEC17	2144.50	2130.50	USD	350.00	
03OCT17	B 1 PD20DEC17	2127.75	2130.50	USD	68.75	
	NET 0AVG BGHT 2127.75 SOLD 2144.50				418.75	0.00
21SEP17	S 1 PD21DEC17	2183.00	2130.50	USD	1,312.50	
28SEP17	B 1 PD21DEC17	2129.03	2130.50	USD	36.75	
	NET 0AVG BGHT 2129.03 SOLD 2183.00				1,349.25	0.00
27SEP17	B 1 PD27DEC17	2126.50	2131.04	USD	113.50	
27SEP17	B 1 PD27DEC17	2129.00	2131.04	USD	51.00	
28SEP17	S 2 PD27DEC17	2130.52	2131.04	USD	-26.00	
	NET 0AVG BGHT 2127.75 SOLD 2130.52				138.50	0.00
28SEP17	B 1 PD28DEC17	2127.00	2131.14	USD	103.50	
03OCT17	S 1 PD28DEC17	2129.41	2131.14	USD	-43.25	
	NET 0AVG BGHT 2127.00 SOLD 2129.41				60.25	0.00
29SEP17	B 1 PD29DEC17	2106.50	2131.25	USD	618.75	
03OCT17	S 1 PD29DEC17	2129.64	2131.25	USD	-40.25	
	NET 0AVG BGHT 2106.50 SOLD 2129.64				578.50	0.00
	<u>CME EURO FX 125,000 CME</u>					
01SEP17	S 2 DEC17	1.19320	1.18580	USD	1,850.00	
01SEP17	S 1 DEC17	1.19350	1.18580	USD	962.50	
01SEP17	S 1 DEC17	1.19330	1.18580	USD	937.50	
01SEP17	S 1 DEC17	1.19315	1.18580	USD	918.75	
01SEP17	S 2 DEC17	1.19295	1.18580	USD	1,787.50	
12SEP17	S 1 DEC17	1.20220	1.18580	USD	2,050.00	
12SEP17	S 9 DEC17	1.20230	1.18580	USD	18,562.50	
	NET -17AVG SOLD 1.19854				27,068.75	0.00
	<u>CMX GOLD 100 CMX</u>					
21AUG17	S 1 DEC17	1293.3	1304.6	USD	-1,130.00	
28AUG17	S 1 DEC17	1310.8	1304.6	USD	620.00	
28AUG17	S 1 DEC17	1311.5	1304.6	USD	690.00	
30AUG17	S 2 DEC17	1317.5	1304.6	USD	2,580.00	
30AUG17	S 2 DEC17	1315.4	1304.6	USD	2,160.00	
31AUG17	S 1 DEC17	1322.3	1304.6	USD	1,770.00	
31AUG17	S 1 DEC17	1322.8	1304.6	USD	1,820.00	
31AUG17	S 1 DEC17	1323.0	1304.6	USD	1,840.00	
05SEP17	S 1 DEC17	1343.4	1304.6	USD	3,880.00	
05SEP17	S 2 DEC17	1343.7	1304.6	USD	7,820.00	
06SEP17	S 1 DEC17	1342.7	1304.6	USD	3,810.00	
10OCT17	S 1 DEC17	1289.4	1304.6	USD	-1,520.00	
10OCT17	S 1 DEC17	1291.0	1304.6	USD	-1,360.00	
11OCT17	S 1 DEC17	1292.9	1304.6	USD	-1,170.00	
	NET -17AVG SOLD 1317.4				21,810.00	0.00
11OCT17	S 1 FEB18	1294.4	1308.9	USD	-1,450.00	
12OCT17	S 1 FEB18	1302.4	1308.9	USD	-650.00	
12OCT17	S 1 FEB18	1302.3	1308.9	USD	-660.00	
12OCT17	S 1 FEB18	1300.9	1308.9	USD	-800.00	
	NET -4AVG SOLD 1300.0				-3,560.00	0.00
	<u>LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					

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	<u>LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
16AUG17	S	1	PD16NOV17	2403.00	2522.75	USD	-2,993.75	
16AUG17	S	1	PD16NOV17	2407.00	2522.75	USD	-2,893.75	
16AUG17	B	1	PD16NOV17	2492.00	2522.75	USD	768.75	
21AUG17	B	1	PD16NOV17	2346.00	2522.75	USD	4,418.75	
	NET	0 AVG BGHT 2419.00	SOLD 2405.00				-700.00	0.00
17AUG17	B	1	PD17NOV17	2530.00	2522.75	USD	-181.25	
21AUG17	S	1	PD17NOV17	2346.00	2522.75	USD	-4,418.75	
	NET	0 AVG BGHT 2530.00	SOLD 2346.00				-4,600.00	0.00
31AUG17	S	1	PD30NOV17	2389.50	2524.72	USD	-3,380.50	
31AUG17	B	1	PD30NOV17	2389.00	2524.72	USD	3,393.00	
	NET	0 AVG BGHT 2389.00	SOLD 2389.50				12.50	0.00
06SEP17	S	1	PD06DEC17	2359.00	2525.63	USD	-4,165.75	
21SEP17	B	1	PD06DEC17	2517.80	2525.63	USD	195.75	
	NET	0 AVG BGHT 2517.80	SOLD 2359.00				-3,970.00	0.00
13SEP17	S	1	PD13DEC17	2296.00	2526.69	USD	-5,767.25	
13SEP17	S	1	PD13DEC17	2299.00	2526.69	USD	-5,692.25	
13SEP17	S	1	PD13DEC17	2308.00	2526.69	USD	-5,467.25	
21SEP17	B	3	PD13DEC17	2517.90	2526.69	USD	659.25	
	NET	0 AVG BGHT 2517.90	SOLD 2301.00				-16,267.50	0.00
14SEP17	B	1	PD14DEC17	2322.00	2526.84	USD	5,121.00	
21SEP17	S	1	PD14DEC17	2517.71	2526.84	USD	-228.25	
	NET	0 AVG BGHT 2322.00	SOLD 2517.71				4,892.75	0.00
19SEP17	B	1	PD19DEC17	2418.00	2527.60	USD	2,740.00	
19SEP17	S	1	PD19DEC17	2420.50	2527.60	USD	-2,677.50	
	NET	0 AVG BGHT 2418.00	SOLD 2420.50				62.50	0.00
20SEP17	B	1	PD20DEC17	2442.00	2527.75	USD	2,143.75	
20SEP17	B	1	PD20DEC17	2459.50	2527.75	USD	1,706.25	
20SEP17	B	1	PD20DEC17	2460.00	2527.75	USD	1,693.75	
21SEP17	S	3	PD20DEC17	2517.80	2527.75	USD	-746.25	
	NET	0 AVG BGHT 2453.83	SOLD 2517.80				4,797.50	0.00
22SEP17	B	1	PD22DEC17	2383.50	2526.90	USD	3,585.00	
22SEP17	S	1	PD22DEC17	2405.00	2526.90	USD	-3,047.50	
22SEP17	S	1	PD22DEC17	2480.00	2526.90	USD	-1,172.50	
28SEP17	B	1	PD22DEC17	2471.75	2526.90	USD	1,378.75	
	NET	0 AVG BGHT 2427.63	SOLD 2442.50				743.75	0.00
25SEP17	B	1	PD27DEC17	2481.50	2527.64	USD	1,153.50	
28SEP17	S	1	PD27DEC17	2470.68	2527.64	USD	-1,424.00	
	NET	0 AVG BGHT 2481.50	SOLD 2470.68				-270.50	0.00
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
17JUL17	S	1	PD17OCT17	2824.50	3294.00	USD	-11,737.50	
17JUL17	S	1	PD17OCT17	2843.50	3294.00	USD	-11,262.50	
18JUL17	B	1	PD17OCT17	2793.81	3294.00	USD	12,504.75	
25JUL17	B	1	PD17OCT17	2836.88	3294.00	USD	11,428.00	
	NET	0 AVG BGHT 2815.35	SOLD 2834.00				932.75	0.00
18JUL17	B	1	PD18OCT17	2791.50	3291.00	USD	12,487.50	
18JUL17	S	1	PD18OCT17	2793.80	3291.00	USD	-12,430.00	
	NET	0 AVG BGHT 2791.50	SOLD 2793.80				57.50	0.00
21JUL17	B	1	PD20OCT17	2753.00	3282.00	USD	13,225.00	
25JUL17	S	1	PD20OCT17	2834.97	3282.00	USD	-11,175.75	
	NET	0 AVG BGHT 2753.00	SOLD 2834.97				2,049.25	0.00
24AUG17	B	1	PD24NOV17	3107.50	3247.50	USD	3,500.00	
24AUG17	B	1	PD24NOV17	3107.00	3247.50	USD	3,512.50	

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
24AUG17	B	1	PD24NOV17	3106.50	3247.50	USD	3,525.00	
24AUG17	B	1	PD24NOV17	3109.00	3247.50	USD	3,462.50	
24AUG17	B	1	PD24NOV17	3108.00	3247.50	USD	3,487.50	
25AUG17	S	1	PD24NOV17	3066.50	3247.50	USD	-4,525.00	
25AUG17	S	1	PD24NOV17	3065.50	3247.50	USD	-4,550.00	
25AUG17	S	1	PD24NOV17	3063.00	3247.50	USD	-4,612.50	
25AUG17	S	1	PD24NOV17	3062.50	3247.50	USD	-4,625.00	
25AUG17	S	1	PD24NOV17	3068.50	3247.50	USD	-4,475.00	
	NET	0 AVG	BGHT 3107.60	SOLD 3065.20			-5,300.00	0.00
30AUG17	S	1	PD30NOV17	3109.50	3246.50	USD	-3,425.00	
30AUG17	S	1	PD30NOV17	3096.50	3246.50	USD	-3,750.00	
31AUG17	S	1	PD30NOV17	3121.00	3246.50	USD	-3,137.50	
31AUG17	S	1	PD30NOV17	3124.50	3246.50	USD	-3,050.00	
04SEP17	B	4	PD30NOV17	3204.50	3246.50	USD	4,200.00	
	NET	0 AVG	BGHT 3204.50	SOLD 3112.88			-9,162.50	0.00
01SEP17	B	1	PD01DEC17	3205.00	3246.25	USD	1,031.25	
01SEP17	B	1	PD01DEC17	3208.00	3246.25	USD	956.25	
01SEP17	B	1	PD01DEC17	3209.00	3246.25	USD	931.25	
01SEP17	B	1	PD01DEC17	3208.50	3246.25	USD	943.75	
04SEP17	S	4	PD01DEC17	3204.43	3246.25	USD	-4,182.00	
	NET	0 AVG	BGHT 3207.63	SOLD 3204.43			-319.50	0.00
28SEP17	B	2	PD28DEC17	3155.00	3238.85	USD	4,192.50	
28SEP17	B	1	PD28DEC17	3158.00	3238.85	USD	2,021.25	
28SEP17	B	1	PD28DEC17	3157.50	3238.85	USD	2,033.75	
03OCT17	S	4	PD28DEC17	3264.00	3238.85	USD	2,515.00	
	NET	0 AVG	BGHT 3156.38	SOLD 3264.00			10,762.50	0.00
29SEP17	S	1	PD29DEC17	3152.00	3238.46	USD	-2,161.50	
29SEP17	S	1	PD29DEC17	3153.00	3238.46	USD	-2,136.50	
29SEP17	S	1	PD29DEC17	3157.00	3238.46	USD	-2,036.50	
29SEP17	S	1	PD29DEC17	3156.50	3238.46	USD	-2,049.00	
03OCT17	B	4	PD29DEC17	3264.20	3238.46	USD	-2,574.00	
	NET	0 AVG	BGHT 3264.20	SOLD 3154.63			-10,957.50	0.00

OPEN POSITION VALUE

		USD
LME Aluminium 25 Tonnes.	FUTURES	1,711.75
CME Euro FX	FUTURES	2,546,893.75
CMX Gold	FUTURES	2,759,630.00
LME Lead 25 tonnes. This is an	FUTURES	-15,299.00
LME Zinc 25 tonnes. This is	FUTURES	-11,937.50

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0054I

13th October 2017

backoffice@sapiencap.com

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

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MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options	Amount	Profit / Loss	Total Amount	Total P&L
EUSD - Futures USD	27,068.75	27,068.75		
GOLD - Futures USD	18,250.00	18,250.00	45,318.75	45,318.75
Unrealised Profits & Losses - Forwards	Amount	Profit / Loss	Total Amount	Total P&L
ALUL - Futures USD	1,711.75	1,711.75		
LEAD - Futures USD	-15,299.00	-15,299.00		
ZINC - Futures USD	-11,937.50	-11,937.50	-25,524.75	-25,524.75

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	157,167.70	157,167.70
Variation Margins - Futures	45,318.75	45,318.75
- Options	0.00	0.00
TOTAL EQUITY	202,486.45	202,486.45
Initial Margins	-164,124.75	-164,124.75
Excess/(Shortage)	38,361.70	38,361.70
FOR INFORMATION ONLY		
Forward Unrealised P&L	-25,524.75	-25,524.75
Net Liquidating Value	176,961.70	176,961.70

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London W1J 7NJ UK
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ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	-1,400.00	-1,400.00
Variation Margins		
- Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	-1,400.00	-1,400.00
Initial Margins	0.00	0.00
Excess/(Shortage)	-1,400.00	-1,400.00
FOR INFORMATION ONLY		
Net Liquidating Value	-1,400.00	-1,400.00

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.</u>							
20JUL17	S	1	PD20OCT17	1928.50	2111.25	USD	-4,568.75	
20JUL17	B	1	PD20OCT17	1923.50	2111.25	USD	4,693.75	
	NET	0 AVG	BGHT 1923.50	SOLD 1928.50			125.00	0.00
26JUL17	S	1	PD26OCT17	1960.50	2114.25	USD	-3,843.75	
26JUL17	B	1	PD26OCT17	1943.50	2114.25	USD	4,268.75	
26JUL17	B	1	PD26OCT17	1936.00	2114.25	USD	4,456.25	
26JUL17	S	1	PD26OCT17	1957.50	2114.25	USD	-3,918.75	
	NET	0 AVG	BGHT 1939.75	SOLD 1959.00			962.50	0.00
27JUL17	S	1	PD27OCT17	1950.00	2114.08	USD	-4,102.00	
27JUL17	B	2	PD27OCT17	1938.00	2114.08	USD	8,804.00	
27JUL17	B	1	PD27OCT17	1937.50	2114.08	USD	4,414.50	
28JUL17	S	1	PD27OCT17	1921.50	2114.08	USD	-4,814.50	
16AUG17	S	1	PD27OCT17	2090.51	2114.08	USD	-589.25	
	NET	0 AVG	BGHT 1937.83	SOLD 1987.34			3,712.75	0.00
31JUL17	S	1	PD31OCT17	1919.50	2113.75	USD	-4,856.25	
16AUG17	B	1	PD31OCT17	2091.34	2113.75	USD	560.25	
	NET	0 AVG	BGHT 2091.34	SOLD 1919.50			-4,296.00	0.00
04AUG17	B	1	PD03NOV17	1906.00	2114.78	USD	5,219.50	
04AUG17	B	1	PD03NOV17	1904.50	2114.78	USD	5,257.00	
16AUG17	S	2	PD03NOV17	2091.62	2114.78	USD	-1,158.00	
	NET	0 AVG	BGHT 1905.25	SOLD 2091.62			9,318.50	0.00
07AUG17	S	1	PD07NOV17	1928.50	2116.16	USD	-4,691.50	
07AUG17	S	1	PD07NOV17	1936.00	2116.16	USD	-4,504.00	
07AUG17	S	1	PD07NOV17	1946.00	2116.16	USD	-4,254.00	
16AUG17	B	1	PD07NOV17	2092.25	2116.16	USD	597.75	
16AUG17	B	2	PD07NOV17	2092.45	2116.16	USD	1,185.50	
	NET	0 AVG	BGHT 2092.38	SOLD 1936.83			-11,666.25	0.00
08AUG17	S	1	PD08NOV17	1996.50	2116.50	USD	-3,000.00	
08AUG17	B	1	PD08NOV17	1990.00	2116.50	USD	3,162.50	
08AUG17	B	1	PD08NOV17	1999.50	2116.50	USD	2,925.00	
16AUG17	S	1	PD08NOV17	2092.30	2116.50	USD	-605.00	
	NET	0 AVG	BGHT 1994.75	SOLD 2044.40			2,482.50	0.00
11AUG17	S	1	PD10NOV17	2029.50	2117.43	USD	-2,198.25	
11AUG17	S	1	PD10NOV17	2042.00	2117.43	USD	-1,885.75	
11AUG17	S	2	PD10NOV17	2041.50	2117.43	USD	-3,796.50	
16AUG17	B	4	PD10NOV17	2093.00	2117.43	USD	2,443.00	
	NET	0 AVG	BGHT 2093.00	SOLD 2038.63			-5,437.50	0.00
14AUG17	B	1	PD14NOV17	2034.50	2119.29	USD	2,119.75	
14AUG17	B	2	PD14NOV17	2035.50	2119.29	USD	4,189.50	
14AUG17	B	1	PD14NOV17	2036.50	2119.29	USD	2,069.75	
16AUG17	S	4	PD14NOV17	2093.80	2119.29	USD	-2,549.00	
	NET	0 AVG	BGHT 2035.50	SOLD 2093.80			5,830.00	0.00
16AUG17	S	1	PD16NOV17	2069.00	2120.07	USD	-1,276.75	
16AUG17	B	1	PD16NOV17	2059.00	2120.07	USD	1,526.75	
	NET	0 AVG	BGHT 2059.00	SOLD 2069.00			250.00	0.00
18AUG17	S	1	PD17NOV17	2091.50	2120.38	USD	-722.00	
18AUG17	S	1	PD17NOV17	2062.50	2120.38	USD	-1,447.00	
18AUG17	S	2	PD17NOV17	2062.00	2120.38	USD	-2,919.00	
06SEP17	B	4	PD17NOV17	2100.00	2120.38	USD	2,038.00	
	NET	0 AVG	BGHT 2100.00	SOLD 2069.50			-3,050.00	0.00
21AUG17	S	1	PD21NOV17	2087.50	2121.65	USD	-853.75	
21AUG17	S	1	PD21NOV17	2087.00	2121.65	USD	-866.25	
21AUG17	B	1	PD21NOV17	2091.50	2121.65	USD	753.75	
21AUG17	B	1	PD21NOV17	2089.50	2121.65	USD	803.75	

OPEN POSITION STATEMENT



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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.</u>							
21AUG17	B	1	PD21NOV17	2090.00	2121.65	USD	791.25	
21AUG17	B	1	PD21NOV17	2089.00	2121.65	USD	816.25	
21AUG17	B	1	PD21NOV17	2085.50	2121.65	USD	903.75	
21AUG17	S	1	PD21NOV17	2081.50	2121.65	USD	-1,003.75	
21AUG17	S	1	PD21NOV17	2082.00	2121.65	USD	-991.25	
06SEP17	S	1	PD21NOV17	2100.75	2121.65	USD	-522.50	
	NET	0 AVG	BGHT 2089.10				-168.75	0.00
22AUG17	B	1	PD22NOV17	2073.00	2121.96	USD	1,224.00	
22AUG17	B	2	PD22NOV17	2074.00	2121.96	USD	2,398.00	
06SEP17	S	3	PD22NOV17	2100.98	2121.96	USD	-1,573.50	
	NET	0 AVG	BGHT 2073.67				2,048.50	0.00
23AUG17	S	1	PD24NOV17	2081.00	2122.60	USD	-1,040.00	
23AUG17	B	1	PD24NOV17	2109.00	2122.60	USD	340.00	
24AUG17	S	1	PD24NOV17	2099.00	2122.60	USD	-590.00	
24AUG17	B	1	PD24NOV17	2093.50	2122.60	USD	727.50	
	NET	0 AVG	BGHT 2101.25				-562.50	0.00
31AUG17	S	1	PD30NOV17	2106.00	2124.49	USD	-462.25	
06SEP17	B	1	PD30NOV17	2103.08	2124.49	USD	535.25	
	NET	0 AVG	BGHT 2103.08				73.00	0.00
01SEP17	B	1	PD01DEC17	2129.50	2124.81	USD	-117.25	
06SEP17	S	1	PD01DEC17	2103.12	2124.81	USD	-542.25	
	NET	0 AVG	BGHT 2129.50				-659.50	0.00
04SEP17	S	1	PD04DEC17	2131.50	2125.76	USD	143.50	
04SEP17	B	1	PD04DEC17	2119.00	2125.76	USD	169.00	
	NET	0 AVG	BGHT 2119.00				312.50	0.00
06SEP17	S	1	PD06DEC17	2088.50	2126.39	USD	-947.25	
02OCT17	B	1	PD06DEC17	2093.75	2126.39	USD	816.00	
	NET	0 AVG	BGHT 2093.75				-131.25	0.00
07SEP17	B	1	PD07DEC17	2098.00	2126.71	USD	717.75	
02OCT17	S	1	PD07DEC17	2093.84	2126.71	USD	-821.75	
	NET	0 AVG	BGHT 2098.00				-104.00	0.00
11SEP17	S	1	PD11DEC17	2106.50	2127.97	USD	-536.75	
11SEP17	S	1	PD11DEC17	2124.00	2127.97	USD	-99.25	
11SEP17	B	1	PD11DEC17	2118.50	2127.97	USD	236.75	
11SEP17	B	1	PD11DEC17	2119.00	2127.97	USD	224.25	
	NET	0 AVG	BGHT 2118.75				-175.00	0.00
12SEP17	S	2	PD12DEC17	2117.50	2128.29	USD	-539.50	
12SEP17	S	1	PD12DEC17	2123.00	2128.29	USD	-132.25	
12SEP17	S	1	PD12DEC17	2122.00	2128.29	USD	-157.25	
12SEP17	S	1	PD12DEC17	2123.50	2128.29	USD	-119.75	
12SEP17	S	1	PD12DEC17	2139.00	2128.29	USD	267.75	
12SEP17	S	1	PD12DEC17	2141.50	2128.29	USD	330.25	
12SEP17	B	1	PD12DEC17	2139.00	2128.29	USD	-267.75	
12SEP17	S	1	PD12DEC17	2140.50	2128.29	USD	305.25	
02OCT17	B	7	PD12DEC17	2095.46	2128.29	USD	5,745.25	
	NET	0 AVG	BGHT 2100.90				5,432.00	0.00
13SEP17	B	2	PD13DEC17	2134.50	2128.60	USD	-295.00	
13SEP17	S	1	PD13DEC17	2134.00	2128.60	USD	135.00	
13SEP17	B	2	PD13DEC17	2131.50	2128.60	USD	-145.00	
13SEP17	B	1	PD13DEC17	2130.00	2128.60	USD	-35.00	
13SEP17	B	1	PD13DEC17	2126.00	2128.60	USD	65.00	
13SEP17	B	1	PD13DEC17	2123.00	2128.60	USD	140.00	
13SEP17	S	1	PD13DEC17	2118.00	2128.60	USD	-265.00	
13SEP17	S	1	PD13DEC17	2111.50	2128.60	USD	-427.50	

OPEN POSITION STATEMENT



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Date	Open Position				Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ALUMINIUM 25 TONNES. THIS IS AN</u>								
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13SEP17	S	1	PD13DEC17		2116.50	2128.60	USD	-302.50	
02OCT17	S	3	PD13DEC17		2095.75	2128.60	USD	-2,463.75	
	NET	0 AVG	BGHT 2130.14	SOLD 2109.61				-3,593.75	0.00
14SEP17	S	1	PD14DEC17		2124.50	2128.92	USD	-110.50	
14SEP17	B	1	PD14DEC17		2091.50	2128.92	USD	935.50	
14SEP17	B	1	PD14DEC17		2081.50	2128.92	USD	1,185.50	
14SEP17	B	1	PD14DEC17		2088.50	2128.92	USD	1,010.50	
14SEP17	S	1	PD14DEC17		2099.50	2128.92	USD	-735.50	
14SEP17	S	1	PD14DEC17		2102.50	2128.92	USD	-660.50	
14SEP17	S	1	PD14DEC17		2097.50	2128.92	USD	-785.50	
14SEP17	S	1	PD14DEC17		2100.00	2128.92	USD	-723.00	
14SEP17	S	1	PD14DEC17		2104.00	2128.92	USD	-623.00	
02OCT17	B	3	PD14DEC17		2096.24	2128.92	USD	2,451.00	
	NET	0 AVG	BGHT 2091.70	SOLD 2104.67				1,944.50	0.00
15SEP17	B	1	PD15DEC17		2096.50	2129.24	USD	818.50	
15SEP17	B	1	PD15DEC17		2098.00	2129.24	USD	781.00	
15SEP17	B	1	PD15DEC17		2102.50	2129.24	USD	668.50	
15SEP17	B	1	PD15DEC17		2097.00	2129.24	USD	806.00	
15SEP17	B	1	PD15DEC17		2098.50	2129.24	USD	768.50	
15SEP17	B	1	PD15DEC17		2094.50	2129.24	USD	868.50	
15SEP17	B	1	PD15DEC17		2089.00	2129.24	USD	1,006.00	
15SEP17	S	4	PD15DEC17		2087.00	2129.24	USD	-4,224.00	
15SEP17	S	1	PD15DEC17		2087.50	2129.24	USD	-1,043.50	
15SEP17	S	2	PD15DEC17		2088.00	2129.24	USD	-2,062.00	
	NET	0 AVG	BGHT 2096.57	SOLD 2087.36				-1,612.50	0.00
18SEP17	B	1	PD18DEC17		2090.50	2130.18	USD	992.00	
18SEP17	B	1	PD18DEC17		2093.00	2130.18	USD	929.50	
02OCT17	S	2	PD18DEC17		2096.98	2130.18	USD	-1,660.00	
	NET	0 AVG	BGHT 2091.75	SOLD 2096.98				261.50	0.00
19SEP17	S	1	PD19DEC17		2109.50	2130.50	USD	-525.00	
19SEP17	S	1	PD19DEC17		2114.00	2130.50	USD	-412.50	
19SEP17	B	1	PD19DEC17		2123.00	2130.50	USD	187.50	
19SEP17	B	1	PD19DEC17		2126.00	2130.50	USD	112.50	
19SEP17	B	1	PD19DEC17		2116.00	2130.50	USD	362.50	
19SEP17	B	1	PD19DEC17		2117.00	2130.50	USD	337.50	
19SEP17	S	1	PD19DEC17		2127.50	2130.50	USD	-75.00	
19SEP17	S	1	PD19DEC17		2127.00	2130.50	USD	-87.50	
	NET	0 AVG	BGHT 2120.50	SOLD 2119.50				-100.00	0.00
20SEP17	B	1	PD20DEC17		2162.00	2130.50	USD	-787.50	
20SEP17	B	2	PD20DEC17		2159.00	2130.50	USD	-1,425.00	
20SEP17	B	1	PD20DEC17		2155.50	2130.50	USD	-625.00	
20SEP17	B	1	PD20DEC17		2157.50	2130.50	USD	-675.00	
20SEP17	B	1	PD20DEC17		2178.00	2130.50	USD	-1,187.50	
20SEP17	B	1	PD20DEC17		2167.50	2130.50	USD	-925.00	
20SEP17	S	1	PD20DEC17		2173.50	2130.50	USD	1,075.00	
20SEP17	S	1	PD20DEC17		2176.00	2130.50	USD	1,137.50	
20SEP17	S	2	PD20DEC17		2181.50	2130.50	USD	2,550.00	
20SEP17	S	3	PD20DEC17		2183.00	2130.50	USD	3,937.50	
	NET	0 AVG	BGHT 2162.64	SOLD 2180.21				3,075.00	0.00
22SEP17	S	1	PD22DEC17		2152.00	2130.50	USD	537.50	
02OCT17	B	1	PD22DEC17		2098.25	2130.50	USD	806.25	
	NET	0 AVG	BGHT 2098.25	SOLD 2152.00				1,343.75	0.00
25SEP17	B	2	PD27DEC17		2152.50	2131.04	USD	-1,073.00	
25SEP17	B	1	PD27DEC17		2147.00	2131.04	USD	-399.00	
25SEP17	B	1	PD27DEC17		2133.50	2131.04	USD	-61.50	

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25SEP17	B 1 PD27DEC17	2135.00	2131.04	USD	-99.00	
25SEP17	B 1 PD27DEC17	2135.50	2131.04	USD	-111.50	
25SEP17	S 1 PD27DEC17	2152.50	2131.04	USD	536.50	
25SEP17	S 1 PD27DEC17	2154.50	2131.04	USD	586.50	
26SEP17	B 1 PD27DEC17	2156.00	2131.04	USD	-624.00	
26SEP17	B 1 PD27DEC17	2153.50	2131.04	USD	-561.50	
27SEP17	S 1 PD27DEC17	2137.50	2131.04	USD	161.50	
27SEP17	B 1 PD27DEC17	2133.00	2131.04	USD	-49.00	
02OCT17	S 6 PD27DEC17	2099.30	2131.04	USD	-4,761.00	
	NET 0AVG BGHT 2144.28 SOLD 2115.59				-6,455.00	0.00
03OCT17	B 2 PD03JAN18	2120.00	2132.05	USD	602.50	
03OCT17	S 1 PD03JAN18	2132.00	2132.05	USD	-1.25	
03OCT17	S 1 PD03JAN18	2133.00	2132.05	USD	23.75	
	NET 0AVG BGHT 2120.00 SOLD 2132.50				625.00	0.00
04OCT17	B 2 PD04JAN18	2148.00	2132.21	USD	-789.50	
04OCT17	S 1 PD04JAN18	2156.00	2132.21	USD	594.75	
04OCT17	S 1 PD04JAN18	2160.00	2132.21	USD	694.75	
	NET 0AVG BGHT 2148.00 SOLD 2158.00				500.00	0.00
05OCT17	S 1 PD05JAN18	2158.50	2132.38	USD	653.00	
05OCT17	B 1 PD05JAN18	2159.50	2132.38	USD	-678.00	
	NET 0AVG BGHT 2159.50 SOLD 2158.50				-25.00	0.00
10OCT17	B 1 PD10JAN18	2161.50	2133.18	USD	-708.00	
10OCT17	B 1 PD10JAN18	2159.00	2133.18	USD	-645.50	
10OCT17	S 1 PD10JAN18	2158.50	2133.18	USD	633.00	
10OCT17	B 1 PD10JAN18	2152.50	2133.18	USD	-483.00	
10OCT17	S 1 PD10JAN18	2156.00	2133.18	USD	570.50	
10OCT17	B 1 PD10JAN18	2154.00	2133.18	USD	-520.50	
10OCT17	S 1 PD10JAN18	2158.00	2133.18	USD	620.50	
10OCT17	S 1 PD10JAN18	2157.00	2133.18	USD	595.50	
	NET 0AVG BGHT 2156.75 SOLD 2157.38				62.50	0.00
12OCT17	B 1 PD12JAN18	2138.50	2133.50	USD	-125.00	
12OCT17	B 1 PD12JAN18	2139.00	2133.50	USD	-137.50	
12OCT17	S 1 PD12JAN18	2145.50	2133.50	USD	300.00	
12OCT17	S 1 PD12JAN18	2145.00	2133.50	USD	287.50	
	NET 0AVG BGHT 2138.75 SOLD 2145.25				325.00	0.00
	<u>NYM LIGHT SWEET CRUDE OIL 1,000 NYM</u>					
04OCT17	S 1 NOV17	50.39	51.45	USD	-1,060.00	
12OCT17	S 2 NOV17	50.46	51.45	USD	-1,980.00	
	NET -3AVG SOLD 50.44				-3,040.00	0.00
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>					
28JUL17	S 1 PD27OCT17	6336.00	6857.50	USD	-13,037.50	
16AUG17	B 1 PD27OCT17	6525.04	6857.50	USD	8,311.50	
	NET 0AVG BGHT 6525.04 SOLD 6336.00				-4,726.00	0.00
31JUL17	B 1 PD31OCT17	6378.00	6858.27	USD	12,006.75	
16AUG17	S 1 PD31OCT17	6526.99	6858.27	USD	-8,282.00	
	NET 0AVG BGHT 6378.00 SOLD 6526.99				3,724.75	0.00
	<u>CMX GOLD 100 CMX</u>					
06SEP17	S 3 DEC17	1345.3	1304.6	USD	12,210.00	
06SEP17	S 4 DEC17	1345.4	1304.6	USD	16,320.00	
06SEP17	S 3 DEC17	1345.5	1304.6	USD	12,270.00	
06SEP17	S 2 DEC17	1345.6	1304.6	USD	8,200.00	
07SEP17	S 1 DEC17	1350.9	1304.6	USD	4,630.00	
07SEP17	S 2 DEC17	1352.8	1304.6	USD	9,640.00	

OPEN POSITION STATEMENT



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SAP0054K

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backoffice@sapiencap.com

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	CMX GOLD 100 CMX							
19SEP17	S	1	DEC17	1311.7	1304.6	USD	710.00	
20SEP17	S	1	DEC17	1316.1	1304.6	USD	1,150.00	
27SEP17	S	4	DEC17	1287.0	1304.6	USD	-7,040.00	
13OCT17	S	6	DEC17	1301.3	1304.6	USD	-1,980.00	
	NET	-27	AVG SOLD 1325.4				56,110.00	0.00
	LME LEAD 25 TONNES. THIS IS AN							
	LME REGISTERED CLIENT CONTRACT							
17JUL17	B	1	PD17OCT17	2321.00	2518.25	USD	4,931.25	
17JUL17	S	1	PD17OCT17	2317.00	2518.25	USD	-5,031.25	
17JUL17	S	1	PD17OCT17	2321.00	2518.25	USD	-4,931.25	
17JUL17	B	1	PD17OCT17	2314.50	2518.25	USD	5,093.75	
17JUL17	S	1	PD17OCT17	2299.00	2518.25	USD	-5,481.25	
17JUL17	B	1	PD17OCT17	2297.50	2518.25	USD	5,518.75	
17JUL17	B	1	PD17OCT17	2293.50	2518.25	USD	5,618.75	
17JUL17	S	1	PD17OCT17	2297.00	2518.25	USD	-5,531.25	
	NET	0	AVG BGHT 2306.63 SOLD 2308.50				187.50	0.00
18JUL17	B	2	PD18OCT17	2285.50	2518.75	USD	11,662.50	
18JUL17	S	1	PD18OCT17	2266.50	2518.75	USD	-6,306.25	
18JUL17	B	1	PD18OCT17	2274.50	2518.75	USD	6,106.25	
18JUL17	B	2	PD18OCT17	2275.50	2518.75	USD	12,162.50	
27JUL17	B	12	PD18OCT17	2308.70	2518.75	USD	63,015.00	
28JUL17	S	16	PD18OCT17	2319.55	2518.75	USD	-79,680.00	
	NET	0	AVG BGHT 2300.05 SOLD 2316.43				6,960.00	0.00
19JUL17	S	1	PD19OCT17	2245.00	2518.90	USD	-6,847.50	
19JUL17	B	1	PD19OCT17	2237.00	2518.90	USD	7,047.50	
	NET	0	AVG BGHT 2237.00 SOLD 2245.00				200.00	0.00
20JUL17	S	1	PD20OCT17	2225.00	2519.05	USD	-7,351.25	
20JUL17	S	1	PD20OCT17	2224.50	2519.05	USD	-7,363.75	
20JUL17	S	1	PD20OCT17	2206.00	2519.05	USD	-7,826.25	
20JUL17	S	1	PD20OCT17	2218.00	2519.05	USD	-7,526.25	
20JUL17	S	1	PD20OCT17	2219.50	2519.05	USD	-7,488.75	
20JUL17	B	1	PD20OCT17	2217.00	2519.05	USD	7,551.25	
20JUL17	S	1	PD20OCT17	2217.00	2519.05	USD	-7,551.25	
21JUL17	B	1	PD20OCT17	2244.50	2519.05	USD	6,863.75	
21JUL17	S	1	PD20OCT17	2244.50	2519.05	USD	-6,863.75	
21JUL17	B	1	PD20OCT17	2248.50	2519.05	USD	6,763.75	
21JUL17	B	1	PD20OCT17	2246.50	2519.05	USD	6,813.75	
21JUL17	B	1	PD20OCT17	2249.00	2519.05	USD	6,751.25	
21JUL17	B	1	PD20OCT17	2247.00	2519.05	USD	6,801.25	
21JUL17	B	1	PD20OCT17	2246.00	2519.05	USD	6,826.25	
	NET	0	AVG BGHT 2242.64 SOLD 2222.07				-3,600.00	0.00
24JUL17	S	1	PD24OCT17	2254.00	2519.65	USD	-6,641.25	
24JUL17	B	1	PD24OCT17	2256.00	2519.65	USD	6,591.25	
24JUL17	S	1	PD24OCT17	2256.00	2519.65	USD	-6,591.25	
24JUL17	B	1	PD24OCT17	2258.00	2519.65	USD	6,541.25	
	NET	0	AVG BGHT 2257.00 SOLD 2255.00				-100.00	0.00
26JUL17	S	1	PD26OCT17	2345.50	2519.95	USD	-4,361.25	
26JUL17	B	1	PD26OCT17	2305.50	2519.95	USD	5,361.25	
26JUL17	S	1	PD26OCT17	2305.00	2519.95	USD	-5,373.75	
26JUL17	B	1	PD26OCT17	2330.50	2519.95	USD	4,736.25	
26JUL17	B	1	PD26OCT17	2308.50	2519.95	USD	5,286.25	
26JUL17	S	1	PD26OCT17	2311.50	2519.95	USD	-5,211.25	
	NET	0	AVG BGHT 2314.83 SOLD 2320.67				437.50	0.00
31JUL17	B	1	PD31OCT17	2336.50	2520.70	USD	4,605.00	
31JUL17	S	1	PD31OCT17	2344.50	2520.70	USD	-4,405.00	
31JUL17	B	1	PD31OCT17	2325.50	2520.70	USD	4,880.00	

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	<u>LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
31JUL17	S	1	PD31OCT17	2328.50	2520.70	USD	-4,805.00	
31JUL17	S	1	PD31OCT17	2329.50	2520.70	USD	-4,780.00	
31JUL17	B	1	PD31OCT17	2323.00	2520.70	USD	4,942.50	
31JUL17	S	1	PD31OCT17	2330.50	2520.70	USD	-4,755.00	
31JUL17	S	2	PD31OCT17	2331.00	2520.70	USD	-9,485.00	
16AUG17	B	3	PD31OCT17	2515.51	2520.70	USD	389.25	
	NET	0 AVG	BGHT 2421.92	SOLD 2332.50			-13,413.25	0.00
01AUG17	B	1	PD01NOV17	2315.00	2520.85	USD	5,146.25	
01AUG17	B	2	PD01NOV17	2316.00	2520.85	USD	10,242.50	
01AUG17	S	1	PD01NOV17	2334.50	2520.85	USD	-4,658.75	
16AUG17	S	2	PD01NOV17	2515.49	2520.85	USD	-268.00	
	NET	0 AVG	BGHT 2315.67	SOLD 2455.16			10,462.00	0.00
04AUG17	S	1	PD03NOV17	2373.00	2521.13	USD	-3,703.25	
04AUG17	S	1	PD03NOV17	2372.50	2521.13	USD	-3,715.75	
04AUG17	B	1	PD03NOV17	2367.00	2521.13	USD	3,853.25	
04AUG17	B	1	PD03NOV17	2365.00	2521.13	USD	3,903.25	
04AUG17	B	1	PD03NOV17	2353.50	2521.13	USD	4,190.75	
04AUG17	S	1	PD03NOV17	2355.50	2521.13	USD	-4,140.75	
	NET	0 AVG	BGHT 2361.83	SOLD 2367.00			387.50	0.00
07AUG17	B	1	PD07NOV17	2365.00	2521.67	USD	3,916.75	
07AUG17	S	1	PD07NOV17	2380.00	2521.67	USD	-3,541.75	
07AUG17	B	1	PD07NOV17	2384.50	2521.67	USD	3,429.25	
16AUG17	S	1	PD07NOV17	2516.59	2521.67	USD	-127.00	
	NET	0 AVG	BGHT 2374.75	SOLD 2448.30			3,677.25	0.00
08AUG17	B	1	PD08NOV17	2366.50	2521.81	USD	3,882.75	
08AUG17	B	1	PD08NOV17	2370.00	2521.81	USD	3,795.25	
08AUG17	S	1	PD08NOV17	2370.00	2521.81	USD	-3,795.25	
08AUG17	B	1	PD08NOV17	2365.00	2521.81	USD	3,920.25	
08AUG17	S	1	PD08NOV17	2357.00	2521.81	USD	-4,120.25	
08AUG17	S	1	PD08NOV17	2348.00	2521.81	USD	-4,345.25	
08AUG17	S	1	PD08NOV17	2388.00	2521.81	USD	-3,345.25	
08AUG17	B	1	PD08NOV17	2394.00	2521.81	USD	3,195.25	
	NET	0 AVG	BGHT 2373.88	SOLD 2365.75			-812.50	0.00
09AUG17	B	1	PD09NOV17	2380.50	2521.94	USD	3,536.00	
09AUG17	S	1	PD09NOV17	2403.50	2521.94	USD	-2,961.00	
09AUG17	B	1	PD09NOV17	2383.50	2521.94	USD	3,461.00	
09AUG17	B	1	PD09NOV17	2354.00	2521.94	USD	4,198.50	
16AUG17	S	2	PD09NOV17	2517.15	2521.94	USD	-239.50	
	NET	0 AVG	BGHT 2372.67	SOLD 2479.27			7,995.00	0.00
10AUG17	S	1	PD10NOV17	2378.50	2522.08	USD	-3,589.50	
10AUG17	S	1	PD10NOV17	2377.00	2522.08	USD	-3,627.00	
10AUG17	B	1	PD10NOV17	2384.50	2522.08	USD	3,439.50	
11AUG17	S	1	PD10NOV17	2339.00	2522.08	USD	-4,577.00	
11AUG17	B	1	PD10NOV17	2331.00	2522.08	USD	4,777.00	
16AUG17	B	1	PD10NOV17	2517.54	2522.08	USD	113.50	
	NET	0 AVG	BGHT 2411.01	SOLD 2364.83			-3,463.50	0.00
14AUG17	S	1	PD14NOV17	2346.50	2522.62	USD	-4,403.00	
16AUG17	B	1	PD14NOV17	2518.27	2522.62	USD	108.75	
	NET	0 AVG	BGHT 2518.27	SOLD 2346.50			-4,294.25	0.00
16AUG17	S	1	PD16NOV17	2389.50	2522.75	USD	-3,331.25	
16AUG17	B	1	PD16NOV17	2422.50	2522.75	USD	2,506.25	
16AUG17	S	1	PD16NOV17	2507.00	2522.75	USD	-393.75	
16AUG17	B	1	PD16NOV17	2510.00	2522.75	USD	318.75	
	NET	0 AVG	BGHT 2466.25	SOLD 2448.25			-900.00	0.00
17AUG17	B	1	PD17NOV17	2497.50	2522.75	USD	631.25	

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	<u>LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
17AUG17	B	1	PD17NOV17	2465.00	2522.75	USD	1,443.75	
17AUG17	S	1	PD17NOV17	2421.50	2522.75	USD	-2,531.25	
17AUG17	B	1	PD17NOV17	2424.50	2522.75	USD	2,456.25	
18AUG17	S	1	PD17NOV17	2429.50	2522.75	USD	-2,331.25	
18AUG17	B	1	PD17NOV17	2437.00	2522.75	USD	2,143.75	
18AUG17	S	1	PD17NOV17	2417.50	2522.75	USD	-2,631.25	
18AUG17	B	1	PD17NOV17	2422.00	2522.75	USD	2,518.75	
18AUG17	B	1	PD17NOV17	2420.50	2522.75	USD	2,556.25	
18AUG17	B	1	PD17NOV17	2359.00	2522.75	USD	4,093.75	
06SEP17	S	4	PD17NOV17	2334.78	2522.75	USD	-18,797.00	
	NET	0 AVG BGHT 2432.21	SOLD 2372.52				-10,447.00	0.00
21AUG17	S	1	PD21NOV17	2387.50	2523.36	USD	-3,396.50	
21AUG17	S	1	PD21NOV17	2389.50	2523.36	USD	-3,346.50	
21AUG17	B	1	PD21NOV17	2357.00	2523.36	USD	4,159.00	
21AUG17	B	1	PD21NOV17	2369.50	2523.36	USD	3,846.50	
21AUG17	S	1	PD21NOV17	2359.00	2523.36	USD	-4,109.00	
06SEP17	B	1	PD21NOV17	2336.08	2523.36	USD	4,682.00	
	NET	0 AVG BGHT 2354.19	SOLD 2378.67				1,835.50	0.00
22AUG17	S	1	PD22NOV17	2349.50	2523.51	USD	-4,350.25	
22AUG17	S	1	PD22NOV17	2360.00	2523.51	USD	-4,087.75	
22AUG17	S	1	PD22NOV17	2362.00	2523.51	USD	-4,037.75	
22AUG17	S	1	PD22NOV17	2373.50	2523.51	USD	-3,750.25	
22AUG17	B	1	PD22NOV17	2395.00	2523.51	USD	3,212.75	
06SEP17	B	3	PD22NOV17	2336.35	2523.51	USD	14,037.00	
	NET	0 AVG BGHT 2351.01	SOLD 2361.25				1,023.75	0.00
25AUG17	S	1	PD24NOV17	2366.50	2523.81	USD	-3,932.75	
06SEP17	B	1	PD24NOV17	2336.70	2523.81	USD	4,677.75	
	NET	0 AVG BGHT 2336.70	SOLD 2366.50				745.00	0.00
29AUG17	B	1	PD29NOV17	2344.50	2524.57	USD	4,501.75	
06SEP17	S	1	PD29NOV17	2337.88	2524.57	USD	-4,667.25	
	NET	0 AVG BGHT 2344.50	SOLD 2337.88				-165.50	0.00
30AUG17	B	1	PD30NOV17	2376.50	2524.72	USD	3,705.50	
31AUG17	B	1	PD30NOV17	2378.00	2524.72	USD	3,668.00	
06SEP17	S	2	PD30NOV17	2338.35	2524.72	USD	-9,318.50	
	NET	0 AVG BGHT 2377.25	SOLD 2338.35				-1,945.00	0.00
01SEP17	S	1	PD01DEC17	2389.00	2524.87	USD	-3,396.75	
01SEP17	S	1	PD01DEC17	2399.00	2524.87	USD	-3,146.75	
01SEP17	S	1	PD01DEC17	2405.50	2524.87	USD	-2,984.25	
01SEP17	B	1	PD01DEC17	2391.50	2524.87	USD	3,334.25	
01SEP17	B	1	PD01DEC17	2389.00	2524.87	USD	3,396.75	
01SEP17	B	2	PD01DEC17	2389.50	2524.87	USD	6,768.50	
01SEP17	B	1	PD01DEC17	2399.00	2524.87	USD	3,146.75	
06SEP17	S	2	PD01DEC17	2338.63	2524.87	USD	-9,312.00	
	NET	0 AVG BGHT 2391.70	SOLD 2374.15				-2,193.50	0.00
04SEP17	S	1	PD04DEC17	2393.50	2525.33	USD	-3,295.75	
06SEP17	B	1	PD04DEC17	2339.65	2525.33	USD	4,642.00	
	NET	0 AVG BGHT 2339.65	SOLD 2393.50				1,346.25	0.00
05SEP17	S	1	PD05DEC17	2402.50	2525.48	USD	-3,074.50	
05SEP17	S	1	PD05DEC17	2401.50	2525.48	USD	-3,099.50	
05SEP17	S	1	PD05DEC17	2403.50	2525.48	USD	-3,049.50	
05SEP17	S	1	PD05DEC17	2337.50	2525.48	USD	-4,699.50	
05SEP17	S	1	PD05DEC17	2340.50	2525.48	USD	-4,624.50	
05SEP17	B	1	PD05DEC17	2338.00	2525.48	USD	4,687.00	
05SEP17	B	1	PD05DEC17	2339.50	2525.48	USD	4,649.50	
05SEP17	B	1	PD05DEC17	2334.00	2525.48	USD	4,787.00	

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05SEP17	S	1	PD05DEC17	2341.00	2525.48	USD	-4,612.00	
06SEP17	B	3	PD05DEC17	2339.93	2525.48	USD	13,916.25	
	NET	0 AVG	BGHT 2338.55				4,880.25	0.00
06SEP17	S	2	PD06DEC17	2335.00	2525.63	USD	-9,531.50	
06SEP17	S	1	PD06DEC17	2320.00	2525.63	USD	-5,140.75	
06SEP17	S	1	PD06DEC17	2327.00	2525.63	USD	-4,965.75	
06SEP17	B	1	PD06DEC17	2352.50	2525.63	USD	4,328.25	
06SEP17	B	1	PD06DEC17	2354.00	2525.63	USD	4,290.75	
02OCT17	B	2	PD06DEC17	2519.70	2525.63	USD	296.50	
	NET	0 AVG	BGHT 2436.48				-10,722.50	0.00
07SEP17	B	1	PD07DEC17	2333.50	2525.78	USD	4,807.00	
07SEP17	B	1	PD07DEC17	2330.00	2525.78	USD	4,894.50	
07SEP17	S	1	PD07DEC17	2356.00	2525.78	USD	-4,244.50	
07SEP17	B	1	PD07DEC17	2346.00	2525.78	USD	4,494.50	
02OCT17	S	2	PD07DEC17	2519.56	2525.78	USD	-311.00	
	NET	0 AVG	BGHT 2336.50				9,640.50	0.00
08SEP17	S	1	PD08DEC17	2348.50	2525.93	USD	-4,435.75	
08SEP17	S	1	PD08DEC17	2317.50	2525.93	USD	-5,210.75	
08SEP17	B	1	PD08DEC17	2305.00	2525.93	USD	5,523.25	
08SEP17	B	1	PD08DEC17	2295.50	2525.93	USD	5,760.75	
	NET	0 AVG	BGHT 2300.25				1,637.50	0.00
11SEP17	S	1	PD11DEC17	2292.50	2526.39	USD	-5,847.25	
11SEP17	B	1	PD11DEC17	2280.50	2526.39	USD	6,147.25	
11SEP17	S	1	PD11DEC17	2264.50	2526.39	USD	-6,547.25	
11SEP17	B	1	PD11DEC17	2277.00	2526.39	USD	6,234.75	
	NET	0 AVG	BGHT 2278.75				-12.50	0.00
12SEP17	S	1	PD12DEC17	2274.00	2526.54	USD	-6,313.50	
12SEP17	B	1	PD12DEC17	2277.50	2526.54	USD	6,226.00	
	NET	0 AVG	BGHT 2277.50				-87.50	0.00
13SEP17	S	1	PD13DEC17	2290.50	2526.69	USD	-5,904.75	
13SEP17	S	1	PD13DEC17	2296.50	2526.69	USD	-5,754.75	
13SEP17	S	1	PD13DEC17	2304.00	2526.69	USD	-5,567.25	
13SEP17	B	1	PD13DEC17	2299.50	2526.69	USD	5,679.75	
13SEP17	S	1	PD13DEC17	2298.00	2526.69	USD	-5,717.25	
02OCT17	B	3	PD13DEC17	2520.10	2526.69	USD	494.25	
	NET	0 AVG	BGHT 2464.95				-16,770.00	0.00
14SEP17	S	1	PD14DEC17	2305.00	2526.84	USD	-5,546.00	
14SEP17	B	1	PD14DEC17	2305.00	2526.84	USD	5,546.00	
14SEP17	B	1	PD14DEC17	2304.50	2526.84	USD	5,558.50	
14SEP17	B	1	PD14DEC17	2323.00	2526.84	USD	5,096.00	
02OCT17	S	2	PD14DEC17	2520.16	2526.84	USD	-334.00	
	NET	0 AVG	BGHT 2310.83				10,320.50	0.00
15SEP17	S	1	PD15DEC17	2320.50	2526.99	USD	-5,162.25	
15SEP17	B	1	PD15DEC17	2312.00	2526.99	USD	5,374.75	
15SEP17	B	1	PD15DEC17	2312.50	2526.99	USD	5,362.25	
15SEP17	S	1	PD15DEC17	2336.50	2526.99	USD	-4,762.25	
15SEP17	B	1	PD15DEC17	2339.00	2526.99	USD	4,699.75	
15SEP17	S	1	PD15DEC17	2354.00	2526.99	USD	-4,324.75	
15SEP17	S	1	PD15DEC17	2362.00	2526.99	USD	-4,124.75	
15SEP17	S	1	PD15DEC17	2358.50	2526.99	USD	-4,212.25	
15SEP17	S	1	PD15DEC17	2364.50	2526.99	USD	-4,062.25	
15SEP17	S	1	PD15DEC17	2354.00	2526.99	USD	-4,324.75	
02OCT17	B	2	PD15DEC17	2520.41	2526.99	USD	329.00	
02OCT17	B	2	PD15DEC17	2520.21	2526.99	USD	339.00	
	NET	0 AVG	BGHT 2434.96				-14,868.50	0.00

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backoffice@sapiencap.com

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	<u>LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
18SEP17	B	1	PD18DEC17	2386.50	2527.45	USD	3,523.75	
18SEP17	B	1	PD18DEC17	2385.50	2527.45	USD	3,548.75	
18SEP17	B	1	PD18DEC17	2387.50	2527.45	USD	3,498.75	
18SEP17	B	1	PD18DEC17	2387.00	2527.45	USD	3,511.25	
18SEP17	B	1	PD18DEC17	2389.00	2527.45	USD	3,461.25	
18SEP17	S	1	PD18DEC17	2389.00	2527.45	USD	-3,461.25	
18SEP17	S	1	PD18DEC17	2392.50	2527.45	USD	-3,373.75	
02OCT17	S	3	PD18DEC17	2520.19	2527.45	USD	-544.50	
	NET	0 AVG BGHT 2387.10	SOLD 2468.41				10,164.25	0.00
19SEP17	S	1	PD19DEC17	2393.50	2527.60	USD	-3,352.50	
19SEP17	B	1	PD19DEC17	2384.00	2527.60	USD	3,590.00	
19SEP17	S	1	PD19DEC17	2383.00	2527.60	USD	-3,615.00	
19SEP17	B	1	PD19DEC17	2432.00	2527.60	USD	2,390.00	
	NET	0 AVG BGHT 2408.00	SOLD 2388.25				-987.50	0.00
20SEP17	B	1	PD20DEC17	2443.00	2527.75	USD	2,118.75	
20SEP17	B	1	PD20DEC17	2449.00	2527.75	USD	1,968.75	
02OCT17	S	2	PD20DEC17	2520.30	2527.75	USD	-372.50	
	NET	0 AVG BGHT 2446.00	SOLD 2520.30				3,715.00	0.00
22SEP17	B	1	PD22DEC17	2414.50	2526.90	USD	2,810.00	
22SEP17	B	1	PD22DEC17	2380.50	2526.90	USD	3,660.00	
22SEP17	S	1	PD22DEC17	2418.50	2526.90	USD	-2,710.00	
22SEP17	S	1	PD22DEC17	2449.50	2526.90	USD	-1,935.00	
22SEP17	S	1	PD22DEC17	2483.00	2526.90	USD	-1,097.50	
02OCT17	B	1	PD22DEC17	2519.67	2526.90	USD	180.75	
	NET	0 AVG BGHT 2438.22	SOLD 2450.33				908.25	0.00
25SEP17	B	1	PD27DEC17	2470.50	2527.64	USD	1,428.50	
25SEP17	S	1	PD27DEC17	2473.50	2527.64	USD	-1,353.50	
25SEP17	B	1	PD27DEC17	2470.00	2527.64	USD	1,441.00	
02OCT17	S	1	PD27DEC17	2519.05	2527.64	USD	-214.75	
	NET	0 AVG BGHT 2470.25	SOLD 2496.28				1,301.25	0.00
05OCT17	S	1	PD05JAN18	2552.50	2528.97	USD	588.25	
05OCT17	B	1	PD05JAN18	2557.00	2528.97	USD	-700.75	
05OCT17	S	1	PD05JAN18	2583.50	2528.97	USD	1,363.25	
05OCT17	B	1	PD05JAN18	2580.00	2528.97	USD	-1,275.75	
	NET	0 AVG BGHT 2568.50	SOLD 2568.00				-25.00	0.00
09OCT17	B	1	PD09JAN18	2535.00	2529.56	USD	-136.00	
09OCT17	S	1	PD09JAN18	2529.00	2529.56	USD	-14.00	
09OCT17	S	1	PD09JAN18	2517.00	2529.56	USD	-314.00	
09OCT17	B	1	PD09JAN18	2511.00	2529.56	USD	464.00	
09OCT17	B	1	PD09JAN18	2496.00	2529.56	USD	839.00	
09OCT17	S	1	PD09JAN18	2518.00	2529.56	USD	-289.00	
09OCT17	S	1	PD09JAN18	2518.00	2529.56	USD	-289.00	
09OCT17	B	1	PD09JAN18	2514.00	2529.56	USD	389.00	
	NET	0 AVG BGHT 2514.00	SOLD 2520.50				650.00	0.00
10OCT17	S	1	PD10JAN18	2494.00	2529.70	USD	-892.50	
10OCT17	S	1	PD10JAN18	2497.00	2529.70	USD	-817.50	
10OCT17	S	1	PD10JAN18	2517.00	2529.70	USD	-317.50	
10OCT17	B	1	PD10JAN18	2511.50	2529.70	USD	455.00	
10OCT17	S	1	PD10JAN18	2515.50	2529.70	USD	-355.00	
10OCT17	B	1	PD10JAN18	2514.50	2529.70	USD	380.00	
10OCT17	B	2	PD10JAN18	2508.50	2529.70	USD	1,060.00	
10OCT17	S	1	PD10JAN18	2523.50	2529.70	USD	-155.00	
10OCT17	S	1	PD10JAN18	2532.00	2529.70	USD	57.50	
10OCT17	S	1	PD10JAN18	2552.00	2529.70	USD	557.50	
10OCT17	B	1	PD10JAN18	2559.00	2529.70	USD	-732.50	

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	<u>LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
13OCT17	B 2 PD10JAN18	2529.70	2529.70	USD	0.00	
	NET 0 AVG BGHT 2523.06 SOLD 2518.71				-760.00	0.00
11OCT17	B 1 PD11JAN18	2533.50	2529.85	USD	-91.25	
11OCT17	B 1 PD11JAN18	2526.00	2529.85	USD	96.25	
11OCT17	S 1 PD11JAN18	2534.00	2529.85	USD	103.75	
11OCT17	S 2 PD11JAN18	2535.50	2529.85	USD	282.50	
11OCT17	B 1 PD11JAN18	2518.50	2529.85	USD	283.75	
11OCT17	B 1 PD11JAN18	2560.50	2529.85	USD	-766.25	
13OCT17	S 1 PD11JAN18	2529.65	2529.85	USD	-5.00	
	NET 0 AVG BGHT 2534.63 SOLD 2533.66				-96.25	0.00
12OCT17	B 1 PD12JAN18	2558.50	2530.00	USD	-712.50	
12OCT17	S 1 PD12JAN18	2564.50	2530.00	USD	862.50	
12OCT17	B 1 PD12JAN18	2571.00	2530.00	USD	-1,025.00	
13OCT17	S 1 PD12JAN18	2553.50	2530.00	USD	587.50	
13OCT17	B 1 PD12JAN18	2559.00	2530.00	USD	-725.00	
13OCT17	S 1 PD12JAN18	2529.80	2530.00	USD	-5.00	
	NET 0 AVG BGHT 2562.83 SOLD 2549.27				-1,017.50	0.00
	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
31JUL17	S 2 PD31OCT17	10255.00	11620.72	USD	-16,388.64	
31JUL17	S 1 PD31OCT17	10250.00	11620.72	USD	-8,224.32	
31JUL17	S 1 PD31OCT17	10245.00	11620.72	USD	-8,254.32	
31JUL17	B 1 PD31OCT17	10280.00	11620.72	USD	8,044.32	
16AUG17	B 3 PD31OCT17	10751.62	11620.72	USD	15,643.80	
	NET 0 AVG BGHT 10633.72 SOLD 10251.25				-9,179.16	0.00
09AUG17	S 1 PD09NOV17	10775.00	11628.39	USD	-5,120.34	
09AUG17	B 1 PD09NOV17	10775.00	11628.39	USD	5,120.34	
	NET 0 AVG BGHT 10775.00 SOLD 10775.00				0.00	0.00
10AUG17	S 1 PD10NOV17	10820.00	11629.24	USD	-4,855.44	
16AUG17	B 1 PD10NOV17	10758.54	11629.24	USD	5,224.20	
	NET 0 AVG BGHT 10758.54 SOLD 10820.00				368.76	0.00
01SEP17	S 1 PD01DEC17	11990.00	11644.47	USD	2,073.18	
06SEP17	B 1 PD01DEC17	12162.00	11644.47	USD	-3,105.18	
	NET 0 AVG BGHT 12162.00 SOLD 11990.00				-1,032.00	0.00
05SEP17	B 1 PD05DEC17	12095.00	11647.21	USD	-2,686.74	
06SEP17	S 1 PD05DEC17	12163.40	11647.21	USD	3,097.14	
	NET 0 AVG BGHT 12095.00 SOLD 12163.40				410.40	0.00
14SEP17	S 1 PD14DEC17	11425.00	11653.39	USD	-1,370.34	
14SEP17	S 1 PD14DEC17	11245.00	11653.39	USD	-2,450.34	
14SEP17	B 1 PD14DEC17	11230.00	11653.39	USD	2,540.34	
14SEP17	B 1 PD14DEC17	11220.00	11653.39	USD	2,600.34	
	NET 0 AVG BGHT 11225.00 SOLD 11335.00				1,320.00	0.00
22SEP17	B 1 PD22DEC17	10565.00	11659.02	USD	6,564.12	
22SEP17	B 1 PD22DEC17	10550.00	11659.02	USD	6,654.12	
02OCT17	S 2 PD22DEC17	10379.50	11659.02	USD	-15,354.24	
	NET 0 AVG BGHT 10557.50 SOLD 10379.50				-2,136.00	0.00
29SEP17	S 1 PD29DEC17	10635.00	11664.35	USD	-6,176.10	
29SEP17	S 1 PD29DEC17	10670.00	11664.35	USD	-5,966.10	
02OCT17	B 2 PD29DEC17	10387.18	11664.35	USD	15,326.04	
	NET 0 AVG BGHT 10387.18 SOLD 10652.50				3,183.84	0.00
03OCT17	B 1 PD03JAN18	10490.00	11668.15	USD	7,068.90	
03OCT17	B 1 PD03JAN18	10475.00	11668.15	USD	7,158.90	
03OCT17	S 1 PD03JAN18	10720.00	11668.15	USD	-5,688.90	
03OCT17	S 1 PD03JAN18	10755.00	11668.15	USD	-5,478.90	

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
03OCT17	B	1	PD03JAN18	10675.00	11668.15	USD	5,958.90	
03OCT17	B	1	PD03JAN18	10565.00	11668.15	USD	6,618.90	
03OCT17	S	1	PD03JAN18	10630.00	11668.15	USD	-6,228.90	
03OCT17	S	1	PD03JAN18	10665.00	11668.15	USD	-6,018.90	
	NET	0 AVG BGHT	10551.25	SOLD 10692.50			3,390.00	0.00
04OCT17	B	1	PD04JAN18	10770.00	11668.91	USD	5,393.46	
04OCT17	S	1	PD04JAN18	10705.00	11668.91	USD	-5,783.46	
	NET	0 AVG BGHT	10770.00	SOLD 10705.00			-390.00	0.00
05OCT17	B	1	PD05JAN18	10640.00	11669.67	USD	6,178.02	
05OCT17	S	1	PD05JAN18	10650.00	11669.67	USD	-6,118.02	
	NET	0 AVG BGHT	10640.00	SOLD 10650.00			60.00	0.00
	<u>CMX SILVER 5,000 CMX</u>							
28SEP17	B	1	DEC17	1674.0	1741.1	USD	3,355.00	
28SEP17	B	1	DEC17	1672.5	1741.1	USD	3,430.00	
28SEP17	B	1	DEC17	1674.5	1741.1	USD	3,330.00	
28SEP17	B	1	DEC17	1684.5	1741.1	USD	2,830.00	
29SEP17	B	1	DEC17	1684.5	1741.1	USD	2,830.00	
29SEP17	B	1	DEC17	1679.5	1741.1	USD	3,080.00	
	NET	+6 AVG BGHT	1678.3				18,855.00	0.00
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
17JUL17	S	1	PD17OCT17	2844.50	3294.00	USD	-11,237.50	
17JUL17	B	1	PD17OCT17	2831.50	3294.00	USD	11,562.50	
	NET	0 AVG BGHT	2831.50	SOLD 2844.50			325.00	0.00
18JUL17	B	1	PD18OCT17	2791.50	3291.00	USD	12,487.50	
18JUL17	S	1	PD18OCT17	2802.50	3291.00	USD	-12,212.50	
18JUL17	B	1	PD18OCT17	2780.50	3291.00	USD	12,762.50	
18JUL17	S	1	PD18OCT17	2784.50	3291.00	USD	-12,662.50	
18JUL17	S	1	PD18OCT17	2794.50	3291.00	USD	-12,412.50	
18JUL17	S	1	PD18OCT17	2794.00	3291.00	USD	-12,425.00	
18JUL17	S	1	PD18OCT17	2793.50	3291.00	USD	-12,437.50	
18JUL17	S	1	PD18OCT17	2792.50	3291.00	USD	-12,462.50	
27JUL17	S	12	PD18OCT17	2801.25	3291.00	USD	-146,925.00	
28JUL17	B	16	PD18OCT17	2775.75	3291.00	USD	206,100.00	
	NET	0 AVG BGHT	2776.89	SOLD 2798.69			9,812.50	0.00
19JUL17	B	1	PD19OCT17	2788.00	3286.50	USD	12,462.50	
19JUL17	B	1	PD19OCT17	2786.50	3286.50	USD	12,500.00	
19JUL17	B	1	PD19OCT17	2780.50	3286.50	USD	12,650.00	
19JUL17	B	1	PD19OCT17	2773.50	3286.50	USD	12,825.00	
27JUL17	B	12	PD19OCT17	2800.33	3286.50	USD	145,851.00	
28JUL17	S	16	PD19OCT17	2774.55	3286.50	USD	-204,780.00	
	NET	0 AVG BGHT	2795.78	SOLD 2774.55			-8,491.50	0.00
20JUL17	S	1	PD20OCT17	2748.50	3282.00	USD	-13,337.50	
20JUL17	B	1	PD20OCT17	2729.00	3282.00	USD	13,825.00	
21JUL17	B	1	PD20OCT17	2758.50	3282.00	USD	13,087.50	
21JUL17	S	1	PD20OCT17	2758.00	3282.00	USD	-13,100.00	
21JUL17	B	1	PD20OCT17	2756.50	3282.00	USD	13,137.50	
21JUL17	B	1	PD20OCT17	2750.50	3282.00	USD	13,287.50	
21JUL17	S	1	PD20OCT17	2754.00	3282.00	USD	-13,200.00	
21JUL17	S	1	PD20OCT17	2752.50	3282.00	USD	-13,237.50	
	NET	0 AVG BGHT	2748.63	SOLD 2753.25			462.50	0.00
26JUL17	B	1	PD26OCT17	2845.50	3271.87	USD	10,659.25	
26JUL17	S	1	PD26OCT17	2815.50	3271.87	USD	-11,409.25	
26JUL17	S	1	PD26OCT17	2820.00	3271.87	USD	-11,296.75	

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26JUL17	B	1	PD26OCT17	2815.50	3271.87	USD	11,409.25	
	NET	0 AVG	BGHT 2830.50	SOLD 2817.75			-637.50	0.00
27JUL17	S	1	PD27OCT17	2807.50	3270.73	USD	-11,580.75	
27JUL17	S	1	PD27OCT17	2809.50	3270.73	USD	-11,530.75	
27JUL17	B	1	PD27OCT17	2817.50	3270.73	USD	11,330.75	
27JUL17	B	1	PD27OCT17	2814.00	3270.73	USD	11,418.25	
27JUL17	S	1	PD27OCT17	2814.50	3270.73	USD	-11,405.75	
27JUL17	B	1	PD27OCT17	2795.50	3270.73	USD	11,880.75	
28JUL17	S	1	PD27OCT17	2773.50	3270.73	USD	-12,430.75	
16AUG17	B	1	PD27OCT17	3118.31	3270.73	USD	3,810.50	
	NET	0 AVG	BGHT 2886.33	SOLD 2801.25			-8,507.75	0.00
31JUL17	S	1	PD31OCT17	2811.50	3268.47	USD	-11,424.25	
31JUL17	S	1	PD31OCT17	2810.50	3268.47	USD	-11,449.25	
31JUL17	B	1	PD31OCT17	2800.50	3268.47	USD	11,699.25	
31JUL17	B	1	PD31OCT17	2806.00	3268.47	USD	11,561.75	
31JUL17	B	1	PD31OCT17	2793.50	3268.47	USD	11,874.25	
16AUG17	S	1	PD31OCT17	3118.57	3268.47	USD	-3,747.50	
	NET	0 AVG	BGHT 2800.00	SOLD 2913.52			8,514.25	0.00
01AUG17	S	1	PD01NOV17	2783.50	3267.33	USD	-12,095.75	
01AUG17	B	1	PD01NOV17	2772.50	3267.33	USD	12,370.75	
01AUG17	S	1	PD01NOV17	2779.00	3267.33	USD	-12,208.25	
01AUG17	B	1	PD01NOV17	2779.00	3267.33	USD	12,208.25	
	NET	0 AVG	BGHT 2775.75	SOLD 2781.25			275.00	0.00
04AUG17	S	1	PD03NOV17	2813.00	3265.07	USD	-11,301.75	
16AUG17	B	1	PD03NOV17	3119.12	3265.07	USD	3,648.75	
	NET	0 AVG	BGHT 3119.12	SOLD 2813.00			-7,653.00	0.00
07AUG17	B	1	PD07NOV17	2806.00	3262.80	USD	11,420.00	
07AUG17	S	1	PD07NOV17	2817.00	3262.80	USD	-11,145.00	
07AUG17	S	1	PD07NOV17	2820.00	3262.80	USD	-11,070.00	
07AUG17	B	1	PD07NOV17	2815.00	3262.80	USD	11,195.00	
07AUG17	B	1	PD07NOV17	2881.50	3262.80	USD	9,532.50	
16AUG17	S	1	PD07NOV17	3119.38	3262.80	USD	-3,585.50	
	NET	0 AVG	BGHT 2834.17	SOLD 2918.79			6,347.00	0.00
08AUG17	B	1	PD08NOV17	2872.50	3261.67	USD	9,729.25	
08AUG17	S	2	PD08NOV17	2873.00	3261.67	USD	-19,433.50	
08AUG17	S	1	PD08NOV17	2872.00	3261.67	USD	-9,741.75	
08AUG17	B	1	PD08NOV17	2870.50	3261.67	USD	9,779.25	
08AUG17	S	1	PD08NOV17	2897.00	3261.67	USD	-9,116.75	
08AUG17	S	1	PD08NOV17	2898.00	3261.67	USD	-9,091.75	
08AUG17	S	1	PD08NOV17	2899.00	3261.67	USD	-9,066.75	
08AUG17	B	1	PD08NOV17	2921.50	3261.67	USD	8,504.25	
08AUG17	B	1	PD08NOV17	2924.00	3261.67	USD	8,441.75	
16AUG17	B	2	PD08NOV17	3119.69	3261.67	USD	7,099.00	
	NET	0 AVG	BGHT 2971.31	SOLD 2885.33			-12,897.00	0.00
09AUG17	B	1	PD09NOV17	2942.00	3260.53	USD	7,963.25	
09AUG17	B	2	PD09NOV17	2962.00	3260.53	USD	14,926.50	
09AUG17	B	1	PD09NOV17	2937.00	3260.53	USD	8,088.25	
09AUG17	S	1	PD09NOV17	2959.00	3260.53	USD	-7,538.25	
09AUG17	S	1	PD09NOV17	2965.50	3260.53	USD	-7,375.75	
16AUG17	S	2	PD09NOV17	3119.61	3260.53	USD	-7,046.00	
	NET	0 AVG	BGHT 2950.75	SOLD 3040.93			9,018.00	0.00
10AUG17	S	1	PD10NOV17	2940.00	3259.40	USD	-7,985.00	
10AUG17	S	1	PD10NOV17	2939.50	3259.40	USD	-7,997.50	
10AUG17	B	1	PD10NOV17	2931.00	3259.40	USD	8,210.00	
10AUG17	B	1	PD10NOV17	2931.50	3259.40	USD	8,197.50	

OPEN POSITION STATEMENT



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SAP0054K

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
11AUG17	S	1	PD10NOV17	2908.00	3259.40	USD	-8,785.00	
11AUG17	S	1	PD10NOV17	2907.00	3259.40	USD	-8,810.00	
11AUG17	S	1	PD10NOV17	2924.50	3259.40	USD	-8,372.50	
11AUG17	B	1	PD10NOV17	2924.50	3259.40	USD	8,372.50	
11AUG17	S	1	PD10NOV17	2925.00	3259.40	USD	-8,360.00	
11AUG17	B	1	PD10NOV17	2908.50	3259.40	USD	8,772.50	
11AUG17	B	1	PD10NOV17	2904.50	3259.40	USD	8,872.50	
11AUG17	B	1	PD10NOV17	2898.00	3259.40	USD	9,035.00	
	NET	0AVG BGHT 2916.33	SOLD 2924.00				1,150.00	0.00
14AUG17	S	1	PD14NOV17	2918.00	3257.13	USD	-8,478.25	
14AUG17	B	1	PD14NOV17	2910.00	3257.13	USD	8,678.25	
14AUG17	S	1	PD14NOV17	2914.50	3257.13	USD	-8,565.75	
14AUG17	S	1	PD14NOV17	2914.00	3257.13	USD	-8,578.25	
14AUG17	B	2	PD14NOV17	2920.00	3257.13	USD	16,856.50	
	NET	0AVG BGHT 2916.67	SOLD 2915.50				-87.50	0.00
16AUG17	S	1	PD16NOV17	2983.00	3253.50	USD	-6,762.50	
16AUG17	S	1	PD16NOV17	2984.50	3253.50	USD	-6,725.00	
16AUG17	S	1	PD16NOV17	2986.00	3253.50	USD	-6,687.50	
16AUG17	S	1	PD16NOV17	2995.50	3253.50	USD	-6,450.00	
16AUG17	S	1	PD16NOV17	3009.50	3253.50	USD	-6,100.00	
16AUG17	B	1	PD16NOV17	3008.00	3253.50	USD	6,137.50	
16AUG17	S	1	PD16NOV17	2980.50	3253.50	USD	-6,825.00	
16AUG17	S	1	PD16NOV17	2981.50	3253.50	USD	-6,800.00	
16AUG17	B	1	PD16NOV17	3075.50	3253.50	USD	4,450.00	
16AUG17	B	1	PD16NOV17	3083.00	3253.50	USD	4,262.50	
16AUG17	B	1	PD16NOV17	3088.50	3253.50	USD	4,125.00	
16AUG17	B	1	PD16NOV17	3095.00	3253.50	USD	3,962.50	
16AUG17	B	1	PD16NOV17	3121.00	3253.50	USD	3,312.50	
16AUG17	B	1	PD16NOV17	3114.50	3253.50	USD	3,475.00	
	NET	0AVG BGHT 3083.64	SOLD 2988.64				-16,625.00	0.00
17AUG17	B	1	PD17NOV17	3099.50	3251.00	USD	3,787.50	
17AUG17	S	1	PD17NOV17	3134.50	3251.00	USD	-2,912.50	
17AUG17	S	1	PD17NOV17	3084.00	3251.00	USD	-4,175.00	
17AUG17	B	1	PD17NOV17	3076.00	3251.00	USD	4,375.00	
17AUG17	S	1	PD17NOV17	3062.50	3251.00	USD	-4,712.50	
17AUG17	B	1	PD17NOV17	3048.50	3251.00	USD	5,062.50	
18AUG17	S	1	PD17NOV17	3094.50	3251.00	USD	-3,912.50	
18AUG17	B	1	PD17NOV17	3127.00	3251.00	USD	3,100.00	
18AUG17	S	1	PD17NOV17	3135.50	3251.00	USD	-2,887.50	
18AUG17	S	1	PD17NOV17	3136.50	3251.00	USD	-2,862.50	
06SEP17	B	2	PD17NOV17	3091.00	3251.00	USD	8,000.00	
	NET	0AVG BGHT 3088.83	SOLD 3107.92				2,862.50	0.00
21AUG17	B	1	PD21NOV17	3163.00	3249.25	USD	2,156.25	
21AUG17	B	1	PD21NOV17	3133.00	3249.25	USD	2,906.25	
06SEP17	S	2	PD21NOV17	3092.09	3249.25	USD	-7,858.00	
	NET	0AVG BGHT 3148.00	SOLD 3092.09				-2,795.50	0.00
23AUG17	B	1	PD24NOV17	3098.00	3247.50	USD	3,737.50	
23AUG17	B	1	PD24NOV17	3099.00	3247.50	USD	3,712.50	
24AUG17	S	1	PD24NOV17	3119.00	3247.50	USD	-3,212.50	
24AUG17	S	1	PD24NOV17	3136.00	3247.50	USD	-2,787.50	
24AUG17	B	2	PD24NOV17	3112.50	3247.50	USD	6,750.00	
24AUG17	B	1	PD24NOV17	3106.50	3247.50	USD	3,525.00	
24AUG17	B	1	PD24NOV17	3110.00	3247.50	USD	3,437.50	
24AUG17	B	1	PD24NOV17	3104.50	3247.50	USD	3,575.00	
24AUG17	B	1	PD24NOV17	3107.50	3247.50	USD	3,500.00	
25AUG17	S	1	PD24NOV17	3127.50	3247.50	USD	-3,000.00	

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
25AUG17	S	1	PD24NOV17	3129.50	3247.50	USD	-2,950.00	
25AUG17	S	1	PD24NOV17	3066.00	3247.50	USD	-4,537.50	
25AUG17	S	1	PD24NOV17	3067.00	3247.50	USD	-4,512.50	
06SEP17	S	2	PD24NOV17	3093.25	3247.50	USD	-7,712.50	
	NET	0 AVG	BGHT 3106.31				-475.00	0.00
29AUG17	S	2	PD29NOV17	3107.00	3246.75	USD	-6,987.50	
29AUG17	S	1	PD29NOV17	3099.50	3246.75	USD	-3,681.25	
29AUG17	B	1	PD29NOV17	3114.50	3246.75	USD	3,306.25	
29AUG17	S	1	PD29NOV17	3120.00	3246.75	USD	-3,168.75	
29AUG17	B	1	PD29NOV17	3100.50	3246.75	USD	3,656.25	
06SEP17	B	2	PD29NOV17	3093.45	3246.75	USD	7,665.00	
	NET	0 AVG	BGHT 3100.48				790.00	0.00
30AUG17	S	1	PD30NOV17	3139.00	3246.50	USD	-2,687.50	
30AUG17	S	1	PD30NOV17	3147.00	3246.50	USD	-2,487.50	
31AUG17	S	1	PD30NOV17	3093.00	3246.50	USD	-3,837.50	
31AUG17	S	1	PD30NOV17	3114.00	3246.50	USD	-3,312.50	
31AUG17	S	1	PD30NOV17	3128.00	3246.50	USD	-2,962.50	
31AUG17	S	1	PD30NOV17	3127.00	3246.50	USD	-2,987.50	
06SEP17	B	6	PD30NOV17	3093.50	3246.50	USD	22,950.00	
	NET	0 AVG	BGHT 3093.50				4,675.00	0.00
04SEP17	B	1	PD04DEC17	3189.50	3246.00	USD	1,412.50	
04SEP17	B	1	PD04DEC17	3185.00	3246.00	USD	1,525.00	
04SEP17	B	1	PD04DEC17	3180.00	3246.00	USD	1,650.00	
04SEP17	B	1	PD04DEC17	3175.50	3246.00	USD	1,762.50	
04SEP17	B	1	PD04DEC17	3184.50	3246.00	USD	1,537.50	
04SEP17	B	1	PD04DEC17	3205.00	3246.00	USD	1,025.00	
06SEP17	S	6	PD04DEC17	3094.30	3246.00	USD	-22,755.00	
	NET	0 AVG	BGHT 3186.58				-13,842.50	0.00
05SEP17	B	1	PD05DEC17	3109.00	3245.75	USD	3,418.75	
05SEP17	S	1	PD05DEC17	3107.50	3245.75	USD	-3,456.25	
	NET	0 AVG	BGHT 3109.00				-37.50	0.00
06SEP17	B	1	PD06DEC17	3122.00	3245.50	USD	3,087.50	
06SEP17	B	1	PD06DEC17	3126.50	3245.50	USD	2,975.00	
06SEP17	S	1	PD06DEC17	3098.00	3245.50	USD	-3,687.50	
02OCT17	S	1	PD06DEC17	3237.48	3245.50	USD	-200.50	
	NET	0 AVG	BGHT 3124.25				2,174.50	0.00
07SEP17	S	1	PD07DEC17	3083.00	3245.25	USD	-4,056.25	
07SEP17	S	1	PD07DEC17	3116.00	3245.25	USD	-3,231.25	
07SEP17	B	1	PD07DEC17	3126.50	3245.25	USD	2,968.75	
02OCT17	B	1	PD07DEC17	3237.75	3245.25	USD	187.50	
	NET	0 AVG	BGHT 3182.13				-4,131.25	0.00
08SEP17	B	1	PD08DEC17	3141.00	3245.00	USD	2,600.00	
08SEP17	B	1	PD08DEC17	3120.50	3245.00	USD	3,112.50	
08SEP17	B	1	PD08DEC17	3116.50	3245.00	USD	3,212.50	
08SEP17	B	1	PD08DEC17	3095.00	3245.00	USD	3,750.00	
08SEP17	B	1	PD08DEC17	3086.50	3245.00	USD	3,962.50	
08SEP17	S	1	PD08DEC17	3084.00	3245.00	USD	-4,025.00	
08SEP17	S	1	PD08DEC17	3086.00	3245.00	USD	-3,975.00	
08SEP17	S	1	PD08DEC17	3092.00	3245.00	USD	-3,825.00	
08SEP17	S	1	PD08DEC17	3091.00	3245.00	USD	-3,850.00	
08SEP17	S	1	PD08DEC17	3089.50	3245.00	USD	-3,887.50	
	NET	0 AVG	BGHT 3111.90				-2,925.00	0.00
11SEP17	S	1	PD11DEC17	3078.00	3244.75	USD	-4,168.75	
11SEP17	S	1	PD11DEC17	3097.50	3244.75	USD	-3,681.25	
11SEP17	B	1	PD11DEC17	3086.50	3244.75	USD	3,956.25	

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11SEP17	B	1	PD11DEC17	3085.50	3244.75	USD	3,981.25	
11SEP17	B	1	PD11DEC17	3060.50	3244.75	USD	4,606.25	
11SEP17	S	1	PD11DEC17	3062.00	3244.75	USD	-4,568.75	
	NET	0 AVG BGHT 3077.50	SOLD 3079.17				125.00	0.00
12SEP17	S	1	PD12DEC17	3084.50	3244.50	USD	-4,000.00	
12SEP17	B	1	PD12DEC17	3091.00	3244.50	USD	3,837.50	
12SEP17	B	1	PD12DEC17	3064.00	3244.50	USD	4,512.50	
12SEP17	B	1	PD12DEC17	3061.50	3244.50	USD	4,575.00	
02OCT17	S	2	PD12DEC17	3237.87	3244.50	USD	-331.50	
	NET	0 AVG BGHT 3072.17	SOLD 3186.75				8,593.50	0.00
13SEP17	S	1	PD13DEC17	3051.50	3244.25	USD	-4,818.75	
13SEP17	S	1	PD13DEC17	3052.00	3244.25	USD	-4,806.25	
13SEP17	B	1	PD13DEC17	3024.00	3244.25	USD	5,506.25	
13SEP17	B	1	PD13DEC17	3023.50	3244.25	USD	5,518.75	
13SEP17	B	1	PD13DEC17	3026.50	3244.25	USD	5,443.75	
13SEP17	S	1	PD13DEC17	3027.50	3244.25	USD	-5,418.75	
	NET	0 AVG BGHT 3024.67	SOLD 3043.67				1,425.00	0.00
14SEP17	S	1	PD14DEC17	3030.00	3244.00	USD	-5,350.00	
14SEP17	B	1	PD14DEC17	3016.00	3244.00	USD	5,700.00	
14SEP17	S	1	PD14DEC17	3018.00	3244.00	USD	-5,650.00	
14SEP17	B	1	PD14DEC17	3009.00	3244.00	USD	5,875.00	
14SEP17	S	1	PD14DEC17	2977.00	3244.00	USD	-6,675.00	
14SEP17	S	1	PD14DEC17	2980.00	3244.00	USD	-6,600.00	
14SEP17	S	1	PD14DEC17	3017.00	3244.00	USD	-5,675.00	
14SEP17	B	1	PD14DEC17	3007.00	3244.00	USD	5,925.00	
02OCT17	B	2	PD14DEC17	3238.20	3244.00	USD	290.00	
	NET	0 AVG BGHT 3101.68	SOLD 3004.40				-12,160.00	0.00
15SEP17	B	1	PD15DEC17	2995.50	3243.75	USD	6,206.25	
15SEP17	S	1	PD15DEC17	2990.00	3243.75	USD	-6,343.75	
15SEP17	B	1	PD15DEC17	3000.50	3243.75	USD	6,081.25	
15SEP17	B	1	PD15DEC17	2999.50	3243.75	USD	6,106.25	
15SEP17	B	1	PD15DEC17	2990.50	3243.75	USD	6,331.25	
15SEP17	S	1	PD15DEC17	3031.00	3243.75	USD	-5,318.75	
15SEP17	B	1	PD15DEC17	3031.00	3243.75	USD	5,318.75	
15SEP17	B	1	PD15DEC17	3028.00	3243.75	USD	5,393.75	
15SEP17	B	1	PD15DEC17	3027.00	3243.75	USD	5,418.75	
15SEP17	B	1	PD15DEC17	3030.50	3243.75	USD	5,331.25	
02OCT17	S	6	PD15DEC17	3238.17	3243.75	USD	-837.00	
	NET	0 AVG BGHT 3012.81	SOLD 3181.25				33,688.00	0.00
18SEP17	S	1	PD18DEC17	3081.50	3243.50	USD	-4,050.00	
18SEP17	S	1	PD18DEC17	3090.00	3243.50	USD	-3,837.50	
18SEP17	S	1	PD18DEC17	3087.00	3243.50	USD	-3,912.50	
18SEP17	S	2	PD18DEC17	3083.50	3243.50	USD	-8,000.00	
18SEP17	S	1	PD18DEC17	3079.00	3243.50	USD	-4,112.50	
18SEP17	S	1	PD18DEC17	3088.00	3243.50	USD	-3,887.50	
18SEP17	S	1	PD18DEC17	3084.50	3243.50	USD	-3,975.00	
02OCT17	B	6	PD18DEC17	3238.87	3243.50	USD	694.50	
02OCT17	B	2	PD18DEC17	3238.67	3243.50	USD	241.50	
	NET	0 AVG BGHT 3238.82	SOLD 3084.63				-30,839.00	0.00
19SEP17	B	1	PD19DEC17	3078.00	3243.25	USD	4,131.25	
19SEP17	S	1	PD19DEC17	3079.00	3243.25	USD	-4,106.25	
19SEP17	B	1	PD19DEC17	3078.50	3243.25	USD	4,118.75	
19SEP17	S	1	PD19DEC17	3101.00	3243.25	USD	-3,556.25	
	NET	0 AVG BGHT 3078.25	SOLD 3090.00				587.50	0.00
20SEP17	B	1	PD20DEC17	3100.50	3243.00	USD	3,562.50	

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20SEP17	S	1	PD20DEC17	3130.00	3243.00	USD	-2,825.00	
20SEP17	B	1	PD20DEC17	3123.00	3243.00	USD	3,000.00	
20SEP17	S	1	PD20DEC17	3128.00	3243.00	USD	-2,875.00	
20SEP17	B	1	PD20DEC17	3139.00	3243.00	USD	2,600.00	
20SEP17	S	1	PD20DEC17	3138.00	3243.00	USD	-2,625.00	
	NET	0 AVG BGHT 3120.83	SOLD 3132.00				837.50	0.00
22SEP17	B	1	PD22DEC17	3036.50	3239.62	USD	5,078.00	
22SEP17	B	1	PD22DEC17	3044.50	3239.62	USD	4,878.00	
22SEP17	S	2	PD22DEC17	3024.00	3239.62	USD	-10,781.00	
22SEP17	S	1	PD22DEC17	3032.50	3239.62	USD	-5,178.00	
22SEP17	B	1	PD22DEC17	3034.00	3239.62	USD	5,140.50	
22SEP17	B	1	PD22DEC17	3056.50	3239.62	USD	4,578.00	
22SEP17	B	1	PD22DEC17	3030.00	3239.62	USD	5,240.50	
22SEP17	B	1	PD22DEC17	3065.00	3239.62	USD	4,365.50	
22SEP17	B	1	PD22DEC17	3064.00	3239.62	USD	4,390.50	
22SEP17	B	1	PD22DEC17	3061.00	3239.62	USD	4,465.50	
22SEP17	B	1	PD22DEC17	3066.00	3239.62	USD	4,340.50	
02OCT17	S	4	PD22DEC17	3236.00	3239.62	USD	-362.00	
02OCT17	S	2	PD22DEC17	3235.80	3239.62	USD	-191.00	
	NET	0 AVG BGHT 3050.83	SOLD 3166.23				25,965.00	0.00
25SEP17	S	1	PD27DEC17	3084.00	3239.23	USD	-3,880.75	
25SEP17	S	1	PD27DEC17	3089.00	3239.23	USD	-3,755.75	
25SEP17	S	1	PD27DEC17	3086.00	3239.23	USD	-3,830.75	
25SEP17	S	1	PD27DEC17	3089.50	3239.23	USD	-3,743.25	
25SEP17	S	1	PD27DEC17	3091.00	3239.23	USD	-3,705.75	
25SEP17	S	1	PD27DEC17	3094.00	3239.23	USD	-3,630.75	
25SEP17	S	1	PD27DEC17	3093.50	3239.23	USD	-3,643.25	
25SEP17	B	1	PD27DEC17	3096.50	3239.23	USD	3,568.25	
25SEP17	B	1	PD27DEC17	3107.50	3239.23	USD	3,293.25	
25SEP17	B	1	PD27DEC17	3110.00	3239.23	USD	3,230.75	
25SEP17	B	1	PD27DEC17	3111.50	3239.23	USD	3,193.25	
26SEP17	S	1	PD27DEC17	3121.00	3239.23	USD	-2,955.75	
26SEP17	S	1	PD27DEC17	3123.00	3239.23	USD	-2,905.75	
26SEP17	B	1	PD27DEC17	3144.00	3239.23	USD	2,380.75	
26SEP17	B	1	PD27DEC17	3144.50	3239.23	USD	2,368.25	
26SEP17	S	1	PD27DEC17	3121.00	3239.23	USD	-2,955.75	
27SEP17	B	1	PD27DEC17	3115.50	3239.23	USD	3,093.25	
27SEP17	S	1	PD27DEC17	3144.50	3239.23	USD	-2,368.25	
27SEP17	B	1	PD27DEC17	3116.50	3239.23	USD	3,068.25	
27SEP17	B	1	PD27DEC17	3114.50	3239.23	USD	3,118.25	
02OCT17	B	2	PD27DEC17	3236.20	3239.23	USD	151.50	
	NET	0 AVG BGHT 3139.35	SOLD 3103.32				-9,910.00	0.00
28SEP17	B	1	PD28DEC17	3113.50	3238.85	USD	3,133.75	
28SEP17	S	1	PD28DEC17	3113.50	3238.85	USD	-3,133.75	
28SEP17	S	1	PD28DEC17	3103.50	3238.85	USD	-3,383.75	
28SEP17	B	1	PD28DEC17	3159.50	3238.85	USD	1,983.75	
	NET	0 AVG BGHT 3136.50	SOLD 3108.50				-1,400.00	0.00
29SEP17	S	1	PD29DEC17	3144.50	3238.46	USD	-2,349.00	
29SEP17	S	1	PD29DEC17	3144.50	3238.46	USD	-2,349.00	
02OCT17	B	2	PD29DEC17	3236.20	3238.46	USD	113.00	
	NET	0 AVG BGHT 3236.20	SOLD 3144.50				-4,585.00	0.00
03OCT17	S	1	PD03JAN18	3223.00	3237.69	USD	-367.25	
03OCT17	S	1	PD03JAN18	3238.50	3237.69	USD	20.25	
03OCT17	S	1	PD03JAN18	3255.50	3237.69	USD	445.25	
03OCT17	B	1	PD03JAN18	3260.50	3237.69	USD	-570.25	
03OCT17	B	1	PD03JAN18	3261.00	3237.69	USD	-582.75	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0054K

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
030CT17	B	1	PD03JAN18	3263.00	3237.69	USD	-632.75	
030CT17	S	1	PD03JAN18	3265.00	3237.69	USD	682.75	
030CT17	S	1	PD03JAN18	3259.00	3237.69	USD	532.75	
030CT17	S	1	PD03JAN18	3222.00	3237.69	USD	-392.25	
030CT17	B	2	PD03JAN18	3275.50	3237.69	USD	-1,890.50	
030CT17	B	1	PD03JAN18	3290.00	3237.69	USD	-1,307.75	
	NET	0 AVG	BGHT 3270.92				-4,062.50	0.00
			SOLD 3243.83					
060CT17	S	1	PD05JAN18	3288.50	3236.92	USD	1,289.50	
060CT17	B	1	PD05JAN18	3277.50	3236.92	USD	-1,014.50	
060CT17	S	1	PD05JAN18	3285.00	3236.92	USD	1,202.00	
060CT17	S	1	PD05JAN18	3287.00	3236.92	USD	1,252.00	
060CT17	B	1	PD05JAN18	3281.50	3236.92	USD	-1,114.50	
060CT17	B	1	PD05JAN18	3278.50	3236.92	USD	-1,039.50	
060CT17	S	1	PD05JAN18	3270.50	3236.92	USD	839.50	
060CT17	B	1	PD05JAN18	3266.00	3236.92	USD	-727.00	
060CT17	B	1	PD05JAN18	3256.00	3236.92	USD	-477.00	
060CT17	B	1	PD05JAN18	3251.50	3236.92	USD	-364.50	
060CT17	S	1	PD05JAN18	3240.00	3236.92	USD	77.00	
060CT17	B	1	PD05JAN18	3237.00	3236.92	USD	-2.00	
060CT17	S	1	PD05JAN18	3244.50	3236.92	USD	189.50	
060CT17	S	1	PD05JAN18	3238.50	3236.92	USD	39.50	
	NET	0 AVG	BGHT 3264.00				150.00	0.00
			SOLD 3264.86					
090CT17	S	1	PD09JAN18	3273.00	3236.15	USD	921.25	
090CT17	B	1	PD09JAN18	3269.50	3236.15	USD	-833.75	
090CT17	S	1	PD09JAN18	3275.00	3236.15	USD	971.25	
090CT17	B	1	PD09JAN18	3268.00	3236.15	USD	-796.25	
090CT17	S	1	PD09JAN18	3266.50	3236.15	USD	758.75	
090CT17	B	1	PD09JAN18	3262.50	3236.15	USD	-658.75	
	NET	0 AVG	BGHT 3266.67				362.50	0.00
			SOLD 3271.50					
110CT17	B	1	PD11JAN18	3234.50	3235.38	USD	22.00	
110CT17	B	1	PD11JAN18	3235.50	3235.38	USD	-3.00	
110CT17	B	1	PD11JAN18	3224.50	3235.38	USD	272.00	
110CT17	B	1	PD11JAN18	3210.50	3235.38	USD	622.00	
110CT17	S	1	PD11JAN18	3215.50	3235.38	USD	-497.00	
110CT17	S	1	PD11JAN18	3223.00	3235.38	USD	-309.50	
110CT17	S	1	PD11JAN18	3222.50	3235.38	USD	-322.00	
110CT17	S	1	PD11JAN18	3214.00	3235.38	USD	-534.50	
	NET	0 AVG	BGHT 3226.25				-750.00	0.00
			SOLD 3218.75					
120CT17	S	1	PD12JAN18	3266.50	3235.00	USD	787.50	
120CT17	B	1	PD12JAN18	3257.00	3235.00	USD	-550.00	
130CT17	B	1	PD12JAN18	3246.50	3235.00	USD	-287.50	
130CT17	S	1	PD12JAN18	3251.00	3235.00	USD	400.00	
	NET	0 AVG	BGHT 3251.75				350.00	0.00
			SOLD 3258.75					

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0054K

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

OPEN POSITION VALUE

		USD
LME Aluminium 25 Tonnes.	FUTURES	647.50
NYM Light Sweet Crude Oil	FUTURES	151,310.00
LME Copper Grade A 25	FUTURES	-1,001.25
CMX Gold	FUTURES	3,578,530.00
LME Lead 25 tonnes. This is an	FUTURES	-8,207.00
LME Nickel 6 tonnes. This is	FUTURES	-4,004.16
CMX Silver	FUTURES	-503,475.00
LME Zinc 25 tonnes. This is	FUTURES	-24,322.25

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options	Amount	Profit / Loss	Total Amount	Total P&L
COIL - Futures USD	-3,040.00	-3,040.00		
GOLD - Futures USD	56,110.00	56,110.00		
SILV - Futures USD	18,855.00	18,855.00	71,925.00	71,925.00
Unrealised Profits & Losses - Forwards	Amount	Profit / Loss	Total Amount	Total P&L
ALUL - Futures USD	647.50	647.50		
COPL - Futures USD	-1,001.25	-1,001.25		
LEAD - Futures USD	-8,207.00	-8,207.00		
NKL - Futures USD	-4,004.16	-4,004.16		
ZINC - Futures USD	-24,322.25	-24,322.25	-36,887.16	-36,887.16

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	266,794.86	266,794.86
Variation Margins - Futures	71,925.00	71,925.00
- Options	0.00	0.00
TOTAL EQUITY	338,719.86	338,719.86
Initial Margins	-162,737.16	-162,737.16
Excess/(Shortage)	175,982.70	175,982.70
FOR INFORMATION ONLY		
Forward Unrealised P&L	-36,887.16	-36,887.16
Net Liquidating Value	301,832.70	301,832.70

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0054L

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
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AUTHORISED AND REGULATED BY THE
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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	CME EURO FX 125,000 CME							
13SEP17	S	3	DEC17	1.19625	1.18580	USD	3,918.75	
13SEP17	S	3	DEC17	1.19520	1.18580	USD	3,525.00	
13SEP17	S	3	DEC17	1.19540	1.18580	USD	3,600.00	
13SEP17	S	3	DEC17	1.19510	1.18580	USD	3,487.50	
13SEP17	S	2	DEC17	1.19525	1.18580	USD	2,362.50	
13SEP17	S	2	DEC17	1.19475	1.18580	USD	2,237.50	
13SEP17	S	2	DEC17	1.19480	1.18580	USD	2,250.00	
13SEP17	S	4	DEC17	1.19495	1.18580	USD	4,575.00	
15SEP17	S	2	DEC17	1.20250	1.18580	USD	4,175.00	
15SEP17	S	2	DEC17	1.20230	1.18580	USD	4,125.00	
15SEP17	S	2	DEC17	1.20220	1.18580	USD	4,100.00	
15SEP17	S	6	DEC17	1.20210	1.18580	USD	12,225.00	
10OCT17	S	1	DEC17	1.18360	1.18580	USD	-275.00	
10OCT17	S	1	DEC17	1.18370	1.18580	USD	-262.50	
10OCT17	S	1	DEC17	1.18375	1.18580	USD	-256.25	
10OCT17	S	1	DEC17	1.18365	1.18580	USD	-268.75	
	NET	-38 AVG SOLD 1.19623					49,518.75	0.00

OPEN POSITION VALUE

CME Euro FX	FUTURES	USD 5,682,068.75
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MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options	Amount	Profit / Loss	Total Amount	Total P&L
EUSD - Futures USD	49,518.75	49,518.75	49,518.75	49,518.75

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	-201,165.65	-201,165.65
Variation Margins - Futures	49,518.75	49,518.75
- Options	0.00	0.00
TOTAL EQUITY	-151,646.90	-151,646.90
Initial Margins	-79,800.00	-79,800.00
Excess/(Shortage)	-231,446.90	-231,446.90
FOR INFORMATION ONLY		
Net Liquidating Value	-151,646.90	-151,646.90

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0054N

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	50,000.00	50,000.00
Variation Margins		
- Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	50,000.00	50,000.00
Initial Margins	0.00	0.00
Excess/(Shortage)	50,000.00	50,000.00
FOR INFORMATION ONLY		
Net Liquidating Value	50,000.00	50,000.00

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED
SAP0054
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

GROUP NO: **SAPG0054**

13th Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343
Authorised and regulated by the Australian Prudential
Regulation Authority. Authorised by the Prudential
Regulation Authority. Subject to regulation by the Financial
Conduct Authority and limited regulation by the
Prudential Regulation Authority. Details about the extent
of our regulation by the Prudential Regulation Authority are
available from us on request.

GROUP FINANCIAL SUMMARY

	GBP	USD	NET USD
	(1.33050)	(1.00000)	(1.00000)
CASH BALANCE	6,265.00	917,597.52	925,933.10
Variation Margins	- Futures 0.00	157,556.25	157,556.25
	- Options 0.00	0.00	0.00
TOTAL EQUITY	6,265.00	1,075,153.77	1,083,489.35
Initial Margins	0.00	-773,080.16	-773,080.16
Excess/(Shortage)	6,265.00	302,073.61	310,409.19
<u>FOR INFORMATION ONLY</u>			
Forward Unrealised P&L	0.00	-243,459.16	-243,459.16
Net Liquidating Value	6,265.00	831,694.61	840,030.19

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0072

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	-157.26	-157.26
Variation Margins		
- Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	-157.26	-157.26
Initial Margins	0.00	0.00
Excess/(Shortage)	-157.26	-157.26
FOR INFORMATION ONLY		
Net Liquidating Value	-157.26	-157.26

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0072B

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
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Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
29AUG17	<u>DOW JONES EURO STOXX 50 10 ERX</u>					
	S 100 OCT17 2450.00 Put	0.80	0.10	EUR	-100.00	700.00
	NET -100 AVG SOLD 0.80				-100.00	700.00
22SEP17	<u>FTSE 100 (EUROPEAN) OPTION 10 LTO</u>					
	S 100 OCT17 6000.0 Put	1.0	0.0	GBP	0.00	1,000.00
	NET -100 AVG SOLD 1.0				0.00	1,000.00
04OCT17	S 50 OCT17 6500.0 Put	1.0	0.0	GBP	0.00	500.00
	NET -50 AVG SOLD 1.0				0.00	500.00

OPEN POSITION VALUE

		EUR	GBP
Dow Jones EURO Stoxx 50	OPTIONS	800.00	
FTSE 100 (European) Option	OPTIONS		1,500.00

MARKET REVALUATION BREAKDOWN

Net Premium Options Market Value		Amount	Profit / Loss	Total Amount	Total P&L
EDOX - Options	EUR	-100.00	700.00	-100.00	700.00
FTSO - Options	GBP	0.00	1,500.00	0.00	1,500.00

ACCOUNT FINANCIAL SUMMARY

	EUR	GBP	USD
CASH BALANCE	(1.18360)	(1.33050)	(1.00000)
Variation Margins	112,335.98	64,360.40	-167,670.01
- Futures	0.00	0.00	0.00
- Options	0.00	0.00	0.00
TOTAL EQUITY	112,335.98	64,360.40	-167,670.01
Initial Margins	-448.65	-6,250.00	0.00
Excess/(Shortage)	111,887.33	58,110.40	-167,670.01
FOR INFORMATION ONLY			
Net Premium Option Market Value	-100.00	0.00	0.00
Net Liquidating Value	112,235.98	64,360.40	-167,670.01
	NETT USD		
CASH BALANCE	(1.00000)		
Variation Margins	50,922.37		
- Futures	0.00		
- Options	0.00		
TOTAL EQUITY	50,922.37		
Initial Margins	-8,846.64		
Excess/(Shortage)	42,075.73		
FOR INFORMATION ONLY			
Net Premium Option Market Value	-118.36		
Net Liquidating Value	50,804.01		

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED
SAP0072
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

GROUP NO: **SAPG0072**

13th Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343
Authorised and regulated by the Australian Prudential
Regulation Authority. Authorised by the Prudential
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Conduct Authority and limited regulation by the
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of our regulation by the Prudential Regulation Authority are
available from us on request.

GROUP FINANCIAL SUMMARY

	EUR	GBP	USD
	(1.18360)	(1.33050)	(1.00000)
CASH BALANCE	112,335.98	64,360.40	-167,827.27
Variation Margins	- Futures 0.00	0.00	0.00
	- Options 0.00	0.00	0.00
TOTAL EQUITY	112,335.98	64,360.40	-167,827.27
Initial Margins	-448.65	-6,250.00	0.00
Excess/(Shortage)	111,887.33	58,110.40	-167,827.27

FOR INFORMATION ONLY

Net Premium Option Market Value	-100.00	0.00	0.00
Net Liquidating Value	112,235.98	64,360.40	-167,827.27

	NET USD
	(1.00000)
CASH BALANCE	50,765.11
Variation Margins	- Futures 0.00
	- Options 0.00
TOTAL EQUITY	50,765.11
Initial Margins	-8,846.64
Excess/(Shortage)	41,918.47

FOR INFORMATION ONLY

Net Premium Option Market Value	-118.36
Net Liquidating Value	50,646.75

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0077

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

ACCOUNT FINANCIAL SUMMARY

	EUR	USD	NETT USD
	(1.18360)	(1.00000)	(1.00000)
CASH BALANCE	128,169.56	45,993.12	197,694.61
Variation Margins - Futures	0.00	0.00	0.00
- Options	0.00	0.00	0.00
TOTAL EQUITY	128,169.56	45,993.12	197,694.61
Initial Margins	0.00	0.00	0.00
Excess/(Shortage)	128,169.56	45,993.12	197,694.61
FOR INFORMATION ONLY			
Net Liquidating Value	128,169.56	45,993.12	197,694.61

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED
SAP0077
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

GROUP NO: **SAPG0077**

13th Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343
Authorised and regulated by the Australian Prudential
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Prudential Regulation Authority. Details about the extent
of our regulation by the Prudential Regulation Authority are
available from us on request.

GROUP FINANCIAL SUMMARY

	EUR	USD	NET USD
	(1.18360)	(1.00000)	(1.00000)
CASH BALANCE	128,169.56	45,993.12	197,694.61
Variation Margins	- Futures 0.00	0.00	0.00
	- Options 0.00	0.00	0.00
TOTAL EQUITY	128,169.56	45,993.12	197,694.61
Initial Margins	0.00	0.00	0.00
Excess/(Shortage)	128,169.56	45,993.12	197,694.61
FOR INFORMATION ONLY			
Net Liquidating Value	128,169.56	45,993.12	197,694.61

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0083

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

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ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		-99,213.13	-99,213.13
Variation Margins	- Futures	0.00	0.00
	- Options	0.00	0.00
TOTAL EQUITY		-99,213.13	-99,213.13
Initial Margins		0.00	0.00
Excess/(Shortage)		-99,213.13	-99,213.13
FOR INFORMATION ONLY			
Net Liquidating Value		-99,213.13	-99,213.13

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP083GA

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.</u>							
26JUL17	S	1	PD26OCT17	1943.50	2114.25	USD	-4,268.75	
26JUL17	S	1	PD26OCT17	1957.00	2114.25	USD	-3,931.25	
26JUL17	B	1	PD26OCT17	1943.50	2114.25	USD	4,268.75	
08AUG17	B	1	PD26OCT17	2027.40	2114.25	USD	2,171.25	
	NET	0 AVG	BGHT 1985.45				-1,760.00	0.00
			SOLD 1950.25					
27JUL17	S	1	PD27OCT17	1950.00	2114.08	USD	-4,102.00	
27JUL17	B	1	PD27OCT17	1938.00	2114.08	USD	4,402.00	
28JUL17	S	1	PD27OCT17	1929.50	2114.08	USD	-4,614.50	
28JUL17	B	2	PD27OCT17	1916.50	2114.08	USD	9,879.00	
08AUG17	S	1	PD27OCT17	2027.40	2114.08	USD	-2,167.00	
	NET	0 AVG	BGHT 1923.67				3,397.50	0.00
			SOLD 1968.97					
02AUG17	B	1	PD02NOV17	1923.00	2114.44	USD	4,786.00	
02AUG17	B	1	PD02NOV17	1924.00	2114.44	USD	4,761.00	
02AUG17	B	1	PD02NOV17	1925.50	2114.44	USD	4,723.50	
02AUG17	B	2	PD02NOV17	1926.50	2114.44	USD	9,397.00	
02AUG17	B	2	PD02NOV17	1926.00	2114.44	USD	9,422.00	
08AUG17	S	6	PD02NOV17	2028.80	2114.44	USD	-12,846.00	
06SEP17	S	1	PD02NOV17	2097.88	2114.44	USD	-414.00	
	NET	0 AVG	BGHT 1925.36				19,829.50	0.00
			SOLD 2038.67					
04AUG17	B	3	PD03NOV17	1918.00	2114.78	USD	14,758.50	
06SEP17	S	3	PD03NOV17	2098.14	2114.78	USD	-1,248.00	
	NET	0 AVG	BGHT 1918.00				13,510.50	0.00
			SOLD 2098.14					
07AUG17	B	2	PD07NOV17	1919.50	2116.16	USD	9,833.00	
07AUG17	B	1	PD07NOV17	1931.50	2116.16	USD	4,616.50	
07AUG17	S	3	PD07NOV17	1926.00	2116.16	USD	-14,262.00	
07AUG17	S	3	PD07NOV17	1925.50	2116.16	USD	-14,299.50	
07AUG17	S	2	PD07NOV17	1927.00	2116.16	USD	-9,458.00	
07AUG17	S	1	PD07NOV17	1929.00	2116.16	USD	-4,679.00	
07AUG17	S	1	PD07NOV17	1928.50	2116.16	USD	-4,691.50	
07AUG17	B	2	PD07NOV17	1929.50	2116.16	USD	9,333.00	
07AUG17	B	3	PD07NOV17	1926.00	2116.16	USD	14,262.00	
07AUG17	S	1	PD07NOV17	1924.50	2116.16	USD	-4,791.50	
07AUG17	S	3	PD07NOV17	1969.00	2116.16	USD	-11,037.00	
08AUG17	B	6	PD07NOV17	2030.00	2116.16	USD	12,924.00	
	NET	0 AVG	BGHT 1970.54				-12,250.00	0.00
			SOLD 1935.54					
08AUG17	B	1	PD08NOV17	1980.00	2116.50	USD	3,412.50	
08AUG17	S	2	PD08NOV17	1994.00	2116.50	USD	-6,125.00	
08AUG17	B	1	PD08NOV17	1997.50	2116.50	USD	2,975.00	
08AUG17	B	1	PD08NOV17	1998.50	2116.50	USD	2,950.00	
08AUG17	B	3	PD08NOV17	2000.00	2116.50	USD	8,737.50	
08AUG17	B	1	PD08NOV17	2003.50	2116.50	USD	2,825.00	
08AUG17	B	1	PD08NOV17	2010.50	2116.50	USD	2,650.00	
08AUG17	B	2	PD08NOV17	2020.00	2116.50	USD	4,825.00	
06SEP17	S	8	PD08NOV17	2099.44	2116.50	USD	-3,412.00	
	NET	0 AVG	BGHT 2003.00				18,838.00	0.00
			SOLD 2078.35					
11AUG17	S	1	PD10NOV17	2025.50	2117.43	USD	-2,298.25	
11AUG17	S	1	PD10NOV17	2038.50	2117.43	USD	-1,973.25	
06SEP17	B	2	PD10NOV17	2100.15	2117.43	USD	864.00	
	NET	0 AVG	BGHT 2100.15				-3,407.50	0.00
			SOLD 2032.00					
14AUG17	S	1	PD14NOV17	2028.50	2119.29	USD	-2,269.75	
14AUG17	S	1	PD14NOV17	2031.00	2119.29	USD	-2,207.25	
14AUG17	S	1	PD14NOV17	2033.50	2119.29	USD	-2,144.75	
14AUG17	B	1	PD14NOV17	2041.50	2119.29	USD	1,944.75	
06SEP17	B	2	PD14NOV17	2101.19	2119.29	USD	905.00	
	NET	0 AVG	BGHT 2081.29				-3,772.00	0.00
			SOLD 2031.00					

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+44 (0) 20 7340 9081
backoffice@sapiencap.com

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	<u>LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.</u>							
15AUG17	S	1	PD15NOV17	2023.00	2119.75	USD	-2,418.75	
15AUG17	S	1	PD15NOV17	2033.00	2119.75	USD	-2,168.75	
06SEP17	B	2	PD15NOV17	2101.45	2119.75	USD	915.00	
	NET	0 AVG	BGHT 2101.45				-3,672.50	0.00
16AUG17	B	1	PD16NOV17	2053.00	2120.07	USD	1,676.75	
16AUG17	B	1	PD16NOV17	2054.50	2120.07	USD	1,639.25	
16AUG17	B	1	PD16NOV17	2099.00	2120.07	USD	526.75	
06SEP17	S	3	PD16NOV17	2100.63	2120.07	USD	-1,458.00	
	NET	0 AVG	BGHT 2068.83				2,384.75	0.00
17AUG17	B	1	PD17NOV17	2096.00	2120.38	USD	609.50	
17AUG17	S	1	PD17NOV17	2100.00	2120.38	USD	-509.50	
	NET	0 AVG	BGHT 2096.00				100.00	0.00
21AUG17	B	1	PD21NOV17	2084.00	2121.65	USD	941.25	
06SEP17	S	1	PD21NOV17	2100.95	2121.65	USD	-517.50	
	NET	0 AVG	BGHT 2084.00				423.75	0.00
22AUG17	S	1	PD22NOV17	2079.50	2121.96	USD	-1,061.50	
22AUG17	S	1	PD22NOV17	2079.00	2121.96	USD	-1,074.00	
22AUG17	S	1	PD22NOV17	2068.00	2121.96	USD	-1,349.00	
22AUG17	S	1	PD22NOV17	2071.00	2121.96	USD	-1,274.00	
22AUG17	S	1	PD22NOV17	2072.00	2121.96	USD	-1,249.00	
06SEP17	B	5	PD22NOV17	2101.38	2121.96	USD	2,572.50	
	NET	0 AVG	BGHT 2101.38				-3,435.00	0.00
23AUG17	B	1	PD24NOV17	2085.00	2122.60	USD	940.00	
23AUG17	B	1	PD24NOV17	2084.00	2122.60	USD	965.00	
23AUG17	B	1	PD24NOV17	2090.00	2122.60	USD	815.00	
23AUG17	B	1	PD24NOV17	2094.00	2122.60	USD	715.00	
24AUG17	S	1	PD24NOV17	2103.00	2122.60	USD	-490.00	
24AUG17	S	2	PD24NOV17	2103.50	2122.60	USD	-955.00	
24AUG17	B	1	PD24NOV17	2105.00	2122.60	USD	440.00	
24AUG17	S	1	PD24NOV17	2099.00	2122.60	USD	-590.00	
24AUG17	S	1	PD24NOV17	2095.50	2122.60	USD	-677.50	
24AUG17	S	1	PD24NOV17	2092.50	2122.60	USD	-752.50	
24AUG17	S	1	PD24NOV17	2091.00	2122.60	USD	-790.00	
24AUG17	S	1	PD24NOV17	2091.50	2122.60	USD	-777.50	
06SEP17	B	3	PD24NOV17	2101.86	2122.60	USD	1,555.50	
	NET	0 AVG	BGHT 2095.45				398.00	0.00
29AUG17	B	1	PD29NOV17	2077.00	2124.18	USD	1,179.50	
29AUG17	S	1	PD29NOV17	2095.00	2124.18	USD	-729.50	
29AUG17	S	1	PD29NOV17	2098.50	2124.18	USD	-642.00	
29AUG17	S	1	PD29NOV17	2092.50	2124.18	USD	-792.00	
06SEP17	B	2	PD29NOV17	2103.04	2124.18	USD	1,057.00	
	NET	0 AVG	BGHT 2094.36				73.00	0.00
30AUG17	B	1	PD30NOV17	2088.50	2124.49	USD	899.75	
30AUG17	S	1	PD30NOV17	2093.50	2124.49	USD	-774.75	
31AUG17	B	1	PD30NOV17	2089.00	2124.49	USD	887.25	
31AUG17	B	1	PD30NOV17	2095.00	2124.49	USD	737.25	
31AUG17	S	1	PD30NOV17	2129.50	2124.49	USD	125.25	
31AUG17	S	1	PD30NOV17	2132.00	2124.49	USD	187.75	
31AUG17	B	1	PD30NOV17	2124.00	2124.49	USD	12.25	
13SEP17	S	1	PD30NOV17	2106.52	2124.49	USD	-449.25	
	NET	0 AVG	BGHT 2099.13				1,625.50	0.00
01SEP17	B	1	PD01DEC17	2129.00	2124.81	USD	-104.75	
13SEP17	S	1	PD01DEC17	2106.79	2124.81	USD	-450.50	
	NET	0 AVG	BGHT 2129.00				-555.25	0.00
05SEP17	S	1	PD05DEC17	2106.00	2126.07	USD	-501.75	

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	<u>LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.</u>							
13SEP17	B	1	PD05DEC17	2108.06	2126.07	USD	450.25	
	NET	0 AVG BGHT 2108.06		SOLD 2106.00			-51.50	0.00
06SEP17	S	1	PD06DEC17	2086.00	2126.39	USD	-1,009.75	
13SEP17	B	1	PD06DEC17	2108.33	2126.39	USD	451.50	
	NET	0 AVG BGHT 2108.33		SOLD 2086.00			-558.25	0.00
08SEP17	S	1	PD08DEC17	2097.50	2127.02	USD	-738.00	
13SEP17	B	1	PD08DEC17	2108.66	2127.02	USD	459.00	
	NET	0 AVG BGHT 2108.66		SOLD 2097.50			-279.00	0.00
11SEP17	B	1	PD11DEC17	2103.00	2127.97	USD	624.25	
11SEP17	B	1	PD11DEC17	2105.00	2127.97	USD	574.25	
11SEP17	B	1	PD11DEC17	2126.00	2127.97	USD	49.25	
13SEP17	S	1	PD11DEC17	2109.26	2127.97	USD	-467.75	
20SEP17	S	2	PD11DEC17	2174.49	2127.97	USD	2,326.00	
	NET	0 AVG BGHT 2111.33		SOLD 2152.75			3,106.00	0.00
13SEP17	S	1	PD13DEC17	2131.00	2128.60	USD	60.00	
20SEP17	B	1	PD13DEC17	2175.25	2128.60	USD	-1,166.25	
	NET	0 AVG BGHT 2175.25		SOLD 2131.00			-1,106.25	0.00
14SEP17	B	1	PD14DEC17	2098.50	2128.92	USD	760.50	
14SEP17	B	1	PD14DEC17	2092.50	2128.92	USD	910.50	
14SEP17	S	1	PD14DEC17	2105.00	2128.92	USD	-598.00	
14SEP17	B	1	PD14DEC17	2105.50	2128.92	USD	585.50	
20SEP17	S	2	PD14DEC17	2175.33	2128.92	USD	2,320.50	
	NET	0 AVG BGHT 2098.83		SOLD 2151.89			3,979.00	0.00
15SEP17	S	1	PD15DEC17	2089.00	2129.24	USD	-1,006.00	
15SEP17	S	2	PD15DEC17	2090.00	2129.24	USD	-1,962.00	
20SEP17	B	3	PD15DEC17	2175.81	2129.24	USD	-3,492.75	
	NET	0 AVG BGHT 2175.81		SOLD 2089.67			-6,460.75	0.00
18SEP17	B	1	PD18DEC17	2090.50	2130.18	USD	992.00	
18SEP17	S	1	PD18DEC17	2090.00	2130.18	USD	-1,004.50	
18SEP17	B	1	PD18DEC17	2090.00	2130.18	USD	1,004.50	
18SEP17	B	1	PD18DEC17	2093.00	2130.18	USD	929.50	
20SEP17	S	1	PD18DEC17	2176.44	2130.18	USD	1,156.50	
29SEP17	S	1	PD18DEC17	2098.90	2130.18	USD	-782.00	
	NET	0 AVG BGHT 2091.17		SOLD 2121.78			2,296.00	0.00
19SEP17	B	1	PD19DEC17	2105.00	2130.50	USD	637.50	
19SEP17	S	1	PD19DEC17	2106.00	2130.50	USD	-612.50	
19SEP17	S	1	PD19DEC17	2124.00	2130.50	USD	-162.50	
19SEP17	S	1	PD19DEC17	2123.50	2130.50	USD	-175.00	
19SEP17	B	1	PD19DEC17	2130.00	2130.50	USD	12.50	
20SEP17	B	1	PD19DEC17	2176.92	2130.50	USD	-1,160.50	
	NET	0 AVG BGHT 2137.31		SOLD 2117.83			-1,460.50	0.00
20SEP17	B	1	PD20DEC17	2130.00	2130.50	USD	12.50	
20SEP17	B	1	PD20DEC17	2146.50	2130.50	USD	-400.00	
29SEP17	S	2	PD20DEC17	2099.50	2130.50	USD	-1,550.00	
	NET	0 AVG BGHT 2138.25		SOLD 2099.50			-1,937.50	0.00
21SEP17	S	2	PD21DEC17	2169.00	2130.50	USD	1,925.00	
21SEP17	B	1	PD21DEC17	2170.00	2130.50	USD	-987.50	
21SEP17	S	1	PD21DEC17	2161.50	2130.50	USD	775.00	
21SEP17	S	1	PD21DEC17	2184.00	2130.50	USD	1,337.50	
21SEP17	B	1	PD21DEC17	2179.00	2130.50	USD	-1,212.50	
21SEP17	S	1	PD21DEC17	2164.00	2130.50	USD	837.50	
21SEP17	S	1	PD21DEC17	2145.00	2130.50	USD	362.50	
21SEP17	B	1	PD21DEC17	2145.50	2130.50	USD	-375.00	
29SEP17	B	3	PD21DEC17	2099.98	2130.50	USD	2,289.00	

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	<u>LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.</u>					
22SEP17	NET 0 AVG BGHT 2132.41 SOLD 2165.42				4,951.50	0.00
	S 1 PD22DEC17	2165.00	2130.50	USD	862.50	
	NET -1 AVG SOLD 2165.00				862.50	0.00
25SEP17	S 1 PD27DEC17	2144.00	2131.04	USD	324.00	
25SEP17	B 1 PD27DEC17	2170.00	2131.04	USD	-974.00	
25SEP17	B 1 PD27DEC17	2143.00	2131.04	USD	-299.00	
27SEP17	S 1 PD27DEC17	2138.00	2131.04	USD	174.00	
	NET 0 AVG BGHT 2156.50 SOLD 2141.00				-775.00	0.00
28SEP17	S 1 PD28DEC17	2128.00	2131.14	USD	-78.50	
	NET -1 AVG SOLD 2128.00				-78.50	0.00
09OCT17	B 1 PD09JAN18	2156.00	2133.02	USD	-574.50	
	NET +1 AVG BGHT 2156.00				-574.50	0.00
10OCT17	B 1 PD10JAN18	2168.50	2133.18	USD	-883.00	
	NET +1 AVG BGHT 2168.50				-883.00	0.00
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>					
17JUL17	S 1 PD17OCT17	6018.00	6859.00	USD	-21,025.00	
17JUL17	S 1 PD17OCT17	6017.50	6859.00	USD	-21,037.50	
17JUL17	S 1 PD17OCT17	6016.50	6859.00	USD	-21,062.50	
18JUL17	B 3 PD17OCT17	6006.79	6859.00	USD	63,915.75	
	NET 0 AVG BGHT 6006.79 SOLD 6017.33				790.75	0.00
19JUL17	B 1 PD19OCT17	5996.50	6857.50	USD	21,525.00	
19JUL17	B 2 PD19OCT17	5996.00	6857.50	USD	43,075.00	
08AUG17	S 3 PD19OCT17	6473.50	6857.50	USD	-28,800.00	
	NET 0 AVG BGHT 5996.17 SOLD 6473.50				35,800.00	0.00
21JUL17	S 1 PD20OCT17	6013.50	6857.50	USD	-21,100.00	
21JUL17	B 1 PD20OCT17	6011.50	6857.50	USD	21,150.00	
21JUL17	S 1 PD20OCT17	6029.50	6857.50	USD	-20,700.00	
21JUL17	S 1 PD20OCT17	6031.50	6857.50	USD	-20,650.00	
21JUL17	S 1 PD20OCT17	6032.00	6857.50	USD	-20,637.50	
08AUG17	B 3 PD20OCT17	6472.70	6857.50	USD	28,860.00	
	NET 0 AVG BGHT 6357.40 SOLD 6026.63				-33,077.50	0.00
24JUL17	S 2 PD24OCT17	6015.00	6857.50	USD	-42,125.00	
24JUL17	S 1 PD24OCT17	6017.00	6857.50	USD	-21,012.50	
24JUL17	S 1 PD24OCT17	6027.00	6857.50	USD	-20,762.50	
24JUL17	S 1 PD24OCT17	6026.00	6857.50	USD	-20,787.50	
08AUG17	B 5 PD24OCT17	6474.27	6857.50	USD	47,903.75	
	NET 0 AVG BGHT 6474.27 SOLD 6020.00				-56,783.75	0.00
25JUL17	S 1 PD25OCT17	6044.50	6857.50	USD	-20,325.00	
25JUL17	B 1 PD25OCT17	6095.00	6857.50	USD	19,062.50	
25JUL17	S 1 PD25OCT17	6225.50	6857.50	USD	-15,800.00	
25JUL17	S 1 PD25OCT17	6199.50	6857.50	USD	-16,450.00	
25JUL17	S 1 PD25OCT17	6202.00	6857.50	USD	-16,387.50	
25JUL17	S 1 PD25OCT17	6201.00	6857.50	USD	-16,412.50	
25JUL17	S 1 PD25OCT17	6203.50	6857.50	USD	-16,350.00	
25JUL17	B 1 PD25OCT17	6200.00	6857.50	USD	16,437.50	
25JUL17	B 1 PD25OCT17	6199.50	6857.50	USD	16,450.00	
25JUL17	B 1 PD25OCT17	6198.50	6857.50	USD	16,475.00	
25JUL17	B 1 PD25OCT17	6202.00	6857.50	USD	16,387.50	
25JUL17	S 1 PD25OCT17	6198.50	6857.50	USD	-16,475.00	
08AUG17	B 1 PD25OCT17	6474.46	6857.50	USD	9,576.00	
08AUG17	B 1 PD25OCT17	6474.66	6857.50	USD	9,571.00	
	NET 0 AVG BGHT 6263.45 SOLD 6182.07				-14,240.50	0.00
26JUL17	B 1 PD26OCT17	6313.00	6857.50	USD	13,612.50	

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08AUG17	S 1 PD26OCT17	6474.66	6857.50	USD	-9,571.00	
	NET 0 AVG BGHT 6313.00 SOLD 6474.66				4,041.50	0.00
27JUL17	S 1 PD27OCT17	6349.50	6857.50	USD	-12,700.00	
27JUL17	B 1 PD27OCT17	6366.00	6857.50	USD	12,287.50	
	NET 0 AVG BGHT 6366.00 SOLD 6349.50				-412.50	0.00
08AUG17	B 1 PD08NOV17	6481.00	6864.45	USD	9,586.25	
08AUG17	S 1 PD08NOV17	6481.00	6864.45	USD	-9,586.25	
	NET 0 AVG BGHT 6481.00 SOLD 6481.00				0.00	0.00
11AUG17	B 1 PD10NOV17	6362.00	6866.00	USD	12,600.00	
11AUG17	S 1 PD10NOV17	6365.00	6866.00	USD	-12,525.00	
11AUG17	S 1 PD10NOV17	6366.00	6866.00	USD	-12,500.00	
11AUG17	S 1 PD10NOV17	6362.00	6866.00	USD	-12,600.00	
11AUG17	S 1 PD10NOV17	6361.50	6866.00	USD	-12,612.50	
11AUG17	S 1 PD10NOV17	6363.50	6866.00	USD	-12,562.50	
06SEP17	B 4 PD10NOV17	6893.67	6866.00	USD	-2,767.00	
	NET 0 AVG BGHT 6787.34 SOLD 6363.60				-52,967.00	0.00
14AUG17	S 1 PD14NOV17	6412.00	6864.67	USD	-11,316.75	
14AUG17	S 1 PD14NOV17	6406.00	6864.67	USD	-11,466.75	
14AUG17	S 1 PD14NOV17	6404.50	6864.67	USD	-11,504.25	
06SEP17	B 3 PD14NOV17	6895.93	6864.67	USD	-2,344.50	
	NET 0 AVG BGHT 6895.93 SOLD 6407.50				-36,632.25	0.00
16AUG17	B 1 PD16NOV17	6389.50	6863.00	USD	11,837.50	
16AUG17	S 1 PD16NOV17	6382.50	6863.00	USD	-12,012.50	
	NET 0 AVG BGHT 6389.50 SOLD 6382.50				-175.00	0.00
17AUG17	B 1 PD17NOV17	6497.50	6863.47	USD	9,149.25	
18AUG17	B 1 PD17NOV17	6526.00	6863.47	USD	8,436.75	
18AUG17	B 1 PD17NOV17	6528.50	6863.47	USD	8,374.25	
18AUG17	B 1 PD17NOV17	6530.00	6863.47	USD	8,336.75	
06SEP17	S 4 PD17NOV17	6893.68	6863.47	USD	3,021.00	
	NET 0 AVG BGHT 6520.50 SOLD 6893.68				37,318.00	0.00
21AUG17	B 1 PD21NOV17	6564.50	6865.33	USD	7,520.75	
21AUG17	B 1 PD21NOV17	6580.50	6865.33	USD	7,120.75	
21AUG17	B 1 PD21NOV17	6589.00	6865.33	USD	6,908.25	
21AUG17	B 1 PD21NOV17	6582.50	6865.33	USD	7,070.75	
21AUG17	S 1 PD21NOV17	6536.50	6865.33	USD	-8,220.75	
21AUG17	S 1 PD21NOV17	6542.50	6865.33	USD	-8,070.75	
21AUG17	B 1 PD21NOV17	6575.00	6865.33	USD	7,258.25	
21AUG17	B 2 PD21NOV17	6585.50	6865.33	USD	13,991.50	
21AUG17	B 2 PD21NOV17	6567.50	6865.33	USD	14,891.50	
21AUG17	B 1 PD21NOV17	6622.50	6865.33	USD	6,070.75	
21AUG17	S 1 PD21NOV17	6601.00	6865.33	USD	-6,608.25	
21AUG17	B 1 PD21NOV17	6566.50	6865.33	USD	7,470.75	
06SEP17	S 3 PD21NOV17	6895.18	6865.33	USD	2,238.75	
06SEP17	S 5 PD21NOV17	6895.38	6865.33	USD	3,756.25	
	NET 0 AVG BGHT 6580.59 SOLD 6803.86				61,398.50	0.00
22AUG17	S 1 PD22NOV17	6577.00	6865.80	USD	-7,220.00	
22AUG17	B 1 PD22NOV17	6588.50	6865.80	USD	6,932.50	
22AUG17	B 1 PD22NOV17	6639.00	6865.80	USD	5,670.00	
22AUG17	B 1 PD22NOV17	6620.50	6865.80	USD	6,132.50	
22AUG17	S 1 PD22NOV17	6607.50	6865.80	USD	-6,457.50	
22AUG17	S 1 PD22NOV17	6609.00	6865.80	USD	-6,420.00	
	NET 0 AVG BGHT 6616.00 SOLD 6597.83				-1,362.50	0.00
23AUG17	B 1 PD24NOV17	6566.00	6866.73	USD	7,518.25	
24AUG17	S 1 PD24NOV17	6600.50	6866.73	USD	-6,655.75	
24AUG17	B 1 PD24NOV17	6621.50	6866.73	USD	6,130.75	

OPEN POSITION STATEMENT



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SAP083GA

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
24AUG17	S	1	PD24NOV17	6631.00	6866.73	USD	-5,893.25	
24AUG17	S	1	PD24NOV17	6633.50	6866.73	USD	-5,830.75	
24AUG17	S	1	PD24NOV17	6667.50	6866.73	USD	-4,980.75	
25AUG17	S	1	PD24NOV17	6701.00	6866.73	USD	-4,143.25	
25AUG17	S	1	PD24NOV17	6727.00	6866.73	USD	-3,493.25	
25AUG17	B	1	PD24NOV17	6706.50	6866.73	USD	4,005.75	
06SEP17	B	3	PD24NOV17	6896.70	6866.73	USD	-2,247.75	
	NET	0 AVG	BGHT 6764.02				-15,590.00	0.00
29AUG17	S	1	PD29NOV17	6773.50	6869.07	USD	-2,389.25	
29AUG17	S	1	PD29NOV17	6804.50	6869.07	USD	-1,614.25	
06SEP17	B	2	PD29NOV17	6898.58	6869.07	USD	-1,475.50	
	NET	0 AVG	BGHT 6898.58				-5,479.00	0.00
31AUG17	B	1	PD30NOV17	6779.00	6869.53	USD	2,263.25	
13SEP17	S	1	PD30NOV17	6548.02	6869.53	USD	-8,037.75	
	NET	0 AVG	BGHT 6779.00				-5,774.50	0.00
01SEP17	B	1	PD01DEC17	6812.00	6870.00	USD	1,450.00	
01SEP17	B	1	PD01DEC17	6813.00	6870.00	USD	1,425.00	
13SEP17	S	2	PD01DEC17	6548.45	6870.00	USD	-16,077.50	
	NET	0 AVG	BGHT 6812.50				-13,202.50	0.00
04SEP17	S	1	PD04DEC17	6910.50	6871.20	USD	982.50	
04SEP17	B	1	PD04DEC17	6910.50	6871.20	USD	-982.50	
04SEP17	B	1	PD04DEC17	6921.00	6871.20	USD	-1,245.00	
13SEP17	S	1	PD04DEC17	6549.74	6871.20	USD	-8,036.50	
	NET	0 AVG	BGHT 6915.75				-9,281.50	0.00
05SEP17	S	1	PD05DEC17	6930.50	6871.60	USD	1,472.50	
05SEP17	S	1	PD05DEC17	6924.00	6871.60	USD	1,310.00	
05SEP17	B	1	PD05DEC17	6943.50	6871.60	USD	-1,797.50	
05SEP17	B	1	PD05DEC17	6939.00	6871.60	USD	-1,685.00	
05SEP17	S	1	PD05DEC17	6941.50	6871.60	USD	1,747.50	
05SEP17	S	1	PD05DEC17	6932.50	6871.60	USD	1,522.50	
05SEP17	B	1	PD05DEC17	6964.00	6871.60	USD	-2,310.00	
05SEP17	B	1	PD05DEC17	6916.50	6871.60	USD	-1,122.50	
05SEP17	B	1	PD05DEC17	6919.50	6871.60	USD	-1,197.50	
05SEP17	B	2	PD05DEC17	6905.50	6871.60	USD	-1,695.00	
05SEP17	B	2	PD05DEC17	6900.50	6871.60	USD	-1,445.00	
13SEP17	S	5	PD05DEC17	6550.17	6871.60	USD	-40,178.75	
	NET	0 AVG	BGHT 6921.61				-45,378.75	0.00
06SEP17	B	1	PD06DEC17	6875.00	6872.00	USD	-75.00	
06SEP17	B	1	PD06DEC17	6879.00	6872.00	USD	-175.00	
06SEP17	B	1	PD06DEC17	6880.00	6872.00	USD	-200.00	
06SEP17	S	1	PD06DEC17	6911.50	6872.00	USD	987.50	
06SEP17	S	1	PD06DEC17	6910.50	6872.00	USD	962.50	
06SEP17	S	1	PD06DEC17	6909.00	6872.00	USD	925.00	
06SEP17	S	2	PD06DEC17	6908.50	6872.00	USD	1,825.00	
06SEP17	S	1	PD06DEC17	6908.00	6872.00	USD	900.00	
06SEP17	S	2	PD06DEC17	6909.50	6872.00	USD	1,875.00	
06SEP17	B	1	PD06DEC17	6898.00	6872.00	USD	-650.00	
06SEP17	B	1	PD06DEC17	6880.50	6872.00	USD	-212.50	
06SEP17	B	1	PD06DEC17	6877.00	6872.00	USD	-125.00	
06SEP17	B	2	PD06DEC17	6875.50	6872.00	USD	-175.00	
06SEP17	B	1	PD06DEC17	6886.00	6872.00	USD	-350.00	
06SEP17	B	1	PD06DEC17	6892.00	6872.00	USD	-500.00	
13SEP17	S	2	PD06DEC17	6550.60	6872.00	USD	-16,070.00	
	NET	0 AVG	BGHT 6881.85				-11,057.50	0.00
07SEP17	B	2	PD07DEC17	6924.50	6872.42	USD	-2,604.00	

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07SEP17	B	1	PD07DEC17	6920.00	6872.42	USD	-1,189.50	
07SEP17	S	1	PD07DEC17	6876.00	6872.42	USD	89.50	
07SEP17	S	3	PD07DEC17	6875.00	6872.42	USD	193.50	
07SEP17	S	1	PD07DEC17	6873.00	6872.42	USD	14.50	
07SEP17	S	1	PD07DEC17	6872.50	6872.42	USD	2.00	
07SEP17	S	1	PD07DEC17	6868.00	6872.42	USD	-110.50	
07SEP17	S	1	PD07DEC17	6869.00	6872.42	USD	-85.50	
07SEP17	S	1	PD07DEC17	6868.50	6872.42	USD	-98.00	
07SEP17	S	1	PD07DEC17	6870.00	6872.42	USD	-60.50	
07SEP17	S	1	PD07DEC17	6867.00	6872.42	USD	-135.50	
07SEP17	B	1	PD07DEC17	6896.00	6872.42	USD	-589.50	
07SEP17	B	1	PD07DEC17	6901.00	6872.42	USD	-714.50	
07SEP17	B	1	PD07DEC17	6900.00	6872.42	USD	-689.50	
07SEP17	B	1	PD07DEC17	6899.50	6872.42	USD	-677.00	
07SEP17	B	1	PD07DEC17	6902.50	6872.42	USD	-752.00	
07SEP17	B	1	PD07DEC17	6909.00	6872.42	USD	-914.50	
07SEP17	B	1	PD07DEC17	6905.00	6872.42	USD	-814.50	
07SEP17	S	1	PD07DEC17	6876.50	6872.42	USD	102.00	
07SEP17	S	1	PD07DEC17	6880.50	6872.42	USD	202.00	
07SEP17	S	1	PD07DEC17	6886.00	6872.42	USD	339.50	
07SEP17	S	1	PD07DEC17	6877.00	6872.42	USD	114.50	
07SEP17	S	1	PD07DEC17	6878.50	6872.42	USD	152.00	
07SEP17	S	1	PD07DEC17	6903.50	6872.42	USD	777.00	
07SEP17	S	1	PD07DEC17	6905.00	6872.42	USD	814.50	
07SEP17	S	1	PD07DEC17	6907.50	6872.42	USD	877.00	
07SEP17	B	1	PD07DEC17	6897.00	6872.42	USD	-614.50	
13SEP17	B	8	PD07DEC17	6551.23	6872.42	USD	64,238.00	
	NET	0AVG BGHT 6757.31	SOLD 6879.13				57,866.50	0.00
08SEP17	B	1	PD08DEC17	6930.50	6872.83	USD	-1,441.75	
08SEP17	S	1	PD08DEC17	6926.50	6872.83	USD	1,341.75	
08SEP17	S	2	PD08DEC17	6926.00	6872.83	USD	2,658.50	
08SEP17	S	1	PD08DEC17	6925.00	6872.83	USD	1,304.25	
08SEP17	S	1	PD08DEC17	6901.00	6872.83	USD	704.25	
08SEP17	S	1	PD08DEC17	6846.50	6872.83	USD	-658.25	
08SEP17	S	1	PD08DEC17	6845.00	6872.83	USD	-695.75	
08SEP17	S	1	PD08DEC17	6849.00	6872.83	USD	-595.75	
08SEP17	B	1	PD08DEC17	6832.50	6872.83	USD	1,008.25	
08SEP17	B	1	PD08DEC17	6834.50	6872.83	USD	958.25	
08SEP17	B	1	PD08DEC17	6838.50	6872.83	USD	858.25	
08SEP17	B	1	PD08DEC17	6948.00	6872.83	USD	-1,879.25	
08SEP17	S	1	PD08DEC17	6764.50	6872.83	USD	-2,708.25	
08SEP17	B	1	PD08DEC17	6751.00	6872.83	USD	3,045.75	
08SEP17	B	2	PD08DEC17	6739.50	6872.83	USD	6,666.50	
08SEP17	S	1	PD08DEC17	6727.00	6872.83	USD	-3,645.75	
08SEP17	S	1	PD08DEC17	6728.00	6872.83	USD	-3,620.75	
13SEP17	B	3	PD08DEC17	6551.66	6872.83	USD	24,087.75	
	NET	0AVG BGHT 6751.73	SOLD 6851.32				27,388.00	0.00
12SEP17	B	1	PD12DEC17	6669.50	6874.50	USD	5,125.00	
29SEP17	S	1	PD12DEC17	6475.52	6874.50	USD	-9,974.50	
	NET	0AVG BGHT 6669.50	SOLD 6475.52				-4,849.50	0.00
13SEP17	B	1	PD13DEC17	6685.00	6874.92	USD	4,748.00	
13SEP17	B	1	PD13DEC17	6630.50	6874.92	USD	6,110.50	
13SEP17	B	1	PD13DEC17	6567.00	6874.92	USD	7,698.00	
13SEP17	B	1	PD13DEC17	6566.50	6874.92	USD	7,710.50	
13SEP17	B	1	PD13DEC17	6570.50	6874.92	USD	7,610.50	
13SEP17	B	3	PD13DEC17	6560.50	6874.92	USD	23,581.50	

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13SEP17	B	1	PD13DEC17	6560.00	6874.92	USD	7,873.00	
29SEP17	S	7	PD13DEC17	6475.98	6874.92	USD	-69,814.50	
	NET	+2 AVG BGHT 6584.56	SOLD 6475.98				-4,482.50	0.00
14SEP17	B	1	PD14DEC17	6561.50	6875.33	USD	7,845.75	
14SEP17	B	1	PD14DEC17	6526.00	6875.33	USD	8,733.25	
14SEP17	B	1	PD14DEC17	6505.00	6875.33	USD	9,258.25	
14SEP17	B	1	PD14DEC17	6516.00	6875.33	USD	8,983.25	
14SEP17	S	1	PD14DEC17	6517.00	6875.33	USD	-8,958.25	
14SEP17	B	1	PD14DEC17	6498.50	6875.33	USD	9,420.75	
20SEP17	S	4	PD14DEC17	6524.69	6875.33	USD	-35,064.00	
	NET	0 AVG BGHT 6521.40	SOLD 6523.15				219.00	0.00
15SEP17	B	1	PD15DEC17	6473.00	6875.75	USD	10,068.75	
15SEP17	S	1	PD15DEC17	6464.50	6875.75	USD	-10,281.25	
15SEP17	S	2	PD15DEC17	6457.00	6875.75	USD	-20,937.50	
15SEP17	B	1	PD15DEC17	6511.00	6875.75	USD	9,118.75	
15SEP17	B	1	PD15DEC17	6507.50	6875.75	USD	9,206.25	
15SEP17	B	1	PD15DEC17	6500.00	6875.75	USD	9,393.75	
	NET	+1 AVG BGHT 6497.88	SOLD 6459.50				6,568.75	0.00
18SEP17	S	4	PD18DEC17	6541.00	6877.00	USD	-33,600.00	
20SEP17	B	4	PD18DEC17	6526.14	6877.00	USD	35,086.00	
	NET	0 AVG BGHT 6526.14	SOLD 6541.00				1,486.00	0.00
19SEP17	S	1	PD19DEC17	6528.50	6877.00	USD	-8,712.50	
19SEP17	S	1	PD19DEC17	6531.00	6877.00	USD	-8,650.00	
19SEP17	B	1	PD19DEC17	6518.00	6877.00	USD	8,975.00	
19SEP17	B	1	PD19DEC17	6514.00	6877.00	USD	9,075.00	
19SEP17	B	1	PD19DEC17	6515.00	6877.00	USD	9,050.00	
	NET	+1 AVG BGHT 6515.67	SOLD 6529.75				9,737.50	0.00
20SEP17	S	1	PD20DEC17	6574.00	6877.00	USD	-7,575.00	
20SEP17	S	1	PD20DEC17	6548.50	6877.00	USD	-8,212.50	
20SEP17	S	1	PD20DEC17	6546.00	6877.00	USD	-8,275.00	
29SEP17	B	3	PD20DEC17	6479.45	6877.00	USD	29,816.25	
	NET	0 AVG BGHT 6479.45	SOLD 6556.17				5,753.75	0.00
21SEP17	B	1	PD21DEC17	6468.00	6876.00	USD	10,200.00	
21SEP17	S	1	PD21DEC17	6432.50	6876.00	USD	-11,087.50	
21SEP17	S	1	PD21DEC17	6430.00	6876.00	USD	-11,150.00	
21SEP17	S	1	PD21DEC17	6429.50	6876.00	USD	-11,162.50	
21SEP17	S	1	PD21DEC17	6428.00	6876.00	USD	-11,200.00	
21SEP17	B	1	PD21DEC17	6444.50	6876.00	USD	10,787.50	
21SEP17	B	1	PD21DEC17	6453.50	6876.00	USD	10,562.50	
29SEP17	B	1	PD21DEC17	6478.45	6876.00	USD	9,938.75	
	NET	0 AVG BGHT 6461.11	SOLD 6430.00				-3,111.25	0.00
22SEP17	S	1	PD22DEC17	6428.00	6875.00	USD	-11,175.00	
22SEP17	B	1	PD22DEC17	6434.50	6875.00	USD	11,012.50	
22SEP17	B	2	PD22DEC17	6416.00	6875.00	USD	22,950.00	
22SEP17	S	1	PD22DEC17	6400.50	6875.00	USD	-11,862.50	
22SEP17	S	1	PD22DEC17	6412.50	6875.00	USD	-11,562.50	
22SEP17	S	1	PD22DEC17	6368.50	6875.00	USD	-12,662.50	
22SEP17	B	1	PD22DEC17	6471.50	6875.00	USD	10,087.50	
22SEP17	B	1	PD22DEC17	6473.00	6875.00	USD	10,050.00	
22SEP17	B	1	PD22DEC17	6456.00	6875.00	USD	10,475.00	
22SEP17	B	1	PD22DEC17	6455.50	6875.00	USD	10,487.50	
	NET	+3 AVG BGHT 6446.07	SOLD 6402.38				27,800.00	0.00
25SEP17	S	1	PD27DEC17	6466.00	6876.67	USD	-10,266.75	
25SEP17	S	1	PD27DEC17	6458.50	6876.67	USD	-10,454.25	
26SEP17	B	1	PD27DEC17	6499.00	6876.67	USD	9,441.75	

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26SEP17	B	1	PD27DEC17	6438.50	6876.67	USD	10,954.25	
26SEP17	B	1	PD27DEC17	6422.50	6876.67	USD	11,354.25	
27SEP17	S	1	PD27DEC17	6423.50	6876.67	USD	-11,329.25	
27SEP17	S	1	PD27DEC17	6418.00	6876.67	USD	-11,466.75	
27SEP17	S	1	PD27DEC17	6425.50	6876.67	USD	-11,279.25	
27SEP17	S	1	PD27DEC17	6428.00	6876.67	USD	-11,216.75	
27SEP17	S	1	PD27DEC17	6447.00	6876.67	USD	-10,741.75	
29SEP17	B	4	PD27DEC17	6480.13	6876.67	USD	39,654.00	
	NET	0AVG BGHT 6468.65	SOLD 6438.07				-5,350.50	0.00
28SEP17	S	1	PD28DEC17	6400.00	6877.00	USD	-11,925.00	
28SEP17	S	1	PD28DEC17	6403.50	6877.00	USD	-11,837.50	
28SEP17	S	1	PD28DEC17	6411.00	6877.00	USD	-11,650.00	
28SEP17	S	1	PD28DEC17	6409.00	6877.00	USD	-11,700.00	
28SEP17	S	1	PD28DEC17	6408.50	6877.00	USD	-11,712.50	
28SEP17	B	1	PD28DEC17	6438.00	6877.00	USD	10,975.00	
28SEP17	B	1	PD28DEC17	6441.50	6877.00	USD	10,887.50	
28SEP17	B	1	PD28DEC17	6459.00	6877.00	USD	10,450.00	
28SEP17	B	1	PD28DEC17	6462.50	6877.00	USD	10,362.50	
28SEP17	B	1	PD28DEC17	6485.50	6877.00	USD	9,787.50	
28SEP17	B	1	PD28DEC17	6518.00	6877.00	USD	8,975.00	
	NET	+1AVG BGHT 6467.42	SOLD 6406.40				2,612.50	0.00
29SEP17	S	1	PD29DEC17	6535.00	6877.33	USD	-8,558.25	
29SEP17	S	1	PD29DEC17	6536.00	6877.33	USD	-8,533.25	
29SEP17	S	2	PD29DEC17	6525.50	6877.33	USD	-17,591.50	
29SEP17	S	3	PD29DEC17	6525.00	6877.33	USD	-26,424.75	
29SEP17	S	1	PD29DEC17	6524.00	6877.33	USD	-8,833.25	
29SEP17	B	1	PD29DEC17	6568.00	6877.33	USD	7,733.25	
29SEP17	B	1	PD29DEC17	6571.00	6877.33	USD	7,658.25	
29SEP17	B	2	PD29DEC17	6570.00	6877.33	USD	15,366.50	
29SEP17	B	1	PD29DEC17	6563.50	6877.33	USD	7,845.75	
29SEP17	B	1	PD29DEC17	6570.50	6877.33	USD	7,670.75	
29SEP17	B	1	PD29DEC17	6571.50	6877.33	USD	7,645.75	
29SEP17	B	1	PD29DEC17	6574.00	6877.33	USD	7,583.25	
29SEP17	B	1	PD29DEC17	6573.00	6877.33	USD	7,608.25	
29SEP17	S	1	PD29DEC17	6566.00	6877.33	USD	-7,783.25	
	NET	0AVG BGHT 6570.17	SOLD 6531.89				-8,612.50	0.00
09OCT17	B	1	PD09JAN18	6677.50	6881.00	USD	5,087.50	
09OCT17	S	1	PD09JAN18	6631.50	6881.00	USD	-6,237.50	
09OCT17	S	2	PD09JAN18	6631.00	6881.00	USD	-12,500.00	
09OCT17	S	1	PD09JAN18	6630.50	6881.00	USD	-6,262.50	
09OCT17	B	1	PD09JAN18	6678.50	6881.00	USD	5,062.50	
09OCT17	S	1	PD09JAN18	6658.00	6881.00	USD	-5,575.00	
09OCT17	S	1	PD09JAN18	6664.50	6881.00	USD	-5,412.50	
09OCT17	S	1	PD09JAN18	6660.50	6881.00	USD	-5,512.50	
09OCT17	S	1	PD09JAN18	6657.00	6881.00	USD	-5,600.00	
09OCT17	S	2	PD09JAN18	6657.50	6881.00	USD	-11,175.00	
	NET	-8AVG BGHT 6678.00	SOLD 6647.90				-48,125.00	0.00
10OCT17	B	1	PD10JAN18	6722.00	6881.33	USD	3,983.25	
10OCT17	B	1	PD10JAN18	6723.00	6881.33	USD	3,958.25	
10OCT17	B	1	PD10JAN18	6727.00	6881.33	USD	3,858.25	
10OCT17	S	1	PD10JAN18	6700.50	6881.33	USD	-4,520.75	
10OCT17	S	2	PD10JAN18	6699.00	6881.33	USD	-9,116.50	
10OCT17	B	1	PD10JAN18	6695.50	6881.33	USD	4,645.75	
10OCT17	B	1	PD10JAN18	6749.00	6881.33	USD	3,308.25	
10OCT17	S	1	PD10JAN18	6751.50	6881.33	USD	-3,245.75	
	NET	+1AVG BGHT 6723.30	SOLD 6712.50				2,870.75	0.00

OPEN POSITION STATEMENT



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Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>					
11OCT17	B 1 PD11JAN18	6742.00	6881.67	USD	3,491.75	
11OCT17	B 1 PD11JAN18	6742.50	6881.67	USD	3,479.25	
	NET +2 AVG BGHT 6742.25				6,971.00	0.00
	<u>CMX GOLD 100 CMX</u>					
09OCT17	B 1 DEC17	1286.6	1304.6	USD	1,800.00	
10OCT17	B 1 DEC17	1290.1	1304.6	USD	1,450.00	
10OCT17	B 2 DEC17	1291.3	1304.6	USD	2,660.00	
10OCT17	B 1 DEC17	1291.4	1304.6	USD	1,320.00	
	NET +5 AVG BGHT 1290.1				7,230.00	0.00
	<u>LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
31JUL17	B 1 PD31OCT17	2328.50	2520.70	USD	4,805.00	
06SEP17	S 1 PD31OCT17	2328.69	2520.70	USD	-4,800.25	
	NET 0 AVG BGHT 2328.50 SOLD 2328.69				4.75	0.00
03AUG17	S 1 PD03NOV17	2380.50	2521.13	USD	-3,515.75	
03AUG17	B 1 PD03NOV17	2359.50	2521.13	USD	4,040.75	
	NET 0 AVG BGHT 2359.50 SOLD 2380.50				525.00	0.00
07AUG17	B 1 PD07NOV17	2352.00	2521.67	USD	4,241.75	
07AUG17	S 1 PD07NOV17	2353.00	2521.67	USD	-4,216.75	
	NET 0 AVG BGHT 2352.00 SOLD 2353.00				25.00	0.00
11AUG17	B 1 PD10NOV17	2356.00	2522.08	USD	4,152.00	
06SEP17	S 1 PD10NOV17	2332.73	2522.08	USD	-4,733.75	
	NET 0 AVG BGHT 2356.00 SOLD 2332.73				-581.75	0.00
16AUG17	S 1 PD16NOV17	2389.00	2522.75	USD	-3,343.75	
16AUG17	S 1 PD16NOV17	2438.00	2522.75	USD	-2,118.75	
16AUG17	B 1 PD16NOV17	2448.00	2522.75	USD	1,868.75	
06SEP17	B 1 PD16NOV17	2334.70	2522.75	USD	4,701.25	
	NET 0 AVG BGHT 2391.35 SOLD 2413.50				1,107.50	0.00
17AUG17	B 1 PD17NOV17	2414.50	2522.75	USD	2,706.25	
18AUG17	S 1 PD17NOV17	2415.50	2522.75	USD	-2,681.25	
	NET 0 AVG BGHT 2414.50 SOLD 2415.50				25.00	0.00
21AUG17	B 1 PD21NOV17	2359.50	2523.36	USD	4,096.50	
06SEP17	S 1 PD21NOV17	2335.88	2523.36	USD	-4,687.00	
	NET 0 AVG BGHT 2359.50 SOLD 2335.88				-590.50	0.00
22AUG17	S 1 PD22NOV17	2346.50	2523.51	USD	-4,425.25	
06SEP17	B 1 PD22NOV17	2336.35	2523.51	USD	4,679.00	
	NET 0 AVG BGHT 2336.35 SOLD 2346.50				253.75	0.00
24AUG17	S 1 PD24NOV17	2388.50	2523.81	USD	-3,382.75	
24AUG17	B 1 PD24NOV17	2355.50	2523.81	USD	4,207.75	
25AUG17	B 1 PD24NOV17	2374.00	2523.81	USD	3,745.25	
25AUG17	S 1 PD24NOV17	2345.00	2523.81	USD	-4,470.25	
25AUG17	S 1 PD24NOV17	2343.00	2523.81	USD	-4,520.25	
06SEP17	B 1 PD24NOV17	2336.90	2523.81	USD	4,672.75	
	NET 0 AVG BGHT 2355.47 SOLD 2358.83				252.50	0.00
29AUG17	B 1 PD29NOV17	2351.50	2524.57	USD	4,326.75	
29AUG17	S 1 PD29NOV17	2408.00	2524.57	USD	-2,914.25	
	NET 0 AVG BGHT 2351.50 SOLD 2408.00				1,412.50	0.00
30AUG17	B 1 PD30NOV17	2393.00	2524.72	USD	3,293.00	
13SEP17	S 1 PD30NOV17	2285.91	2524.72	USD	-5,970.25	
	NET 0 AVG BGHT 2393.00 SOLD 2285.91				-2,677.25	0.00
01SEP17	B 1 PD01DEC17	2399.50	2524.87	USD	3,134.25	
13SEP17	S 1 PD01DEC17	2286.22	2524.87	USD	-5,966.25	
	NET 0 AVG BGHT 2399.50 SOLD 2286.22				-2,832.00	0.00

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backoffice@sapiencap.com

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	<u>LME LEAD 25 TONNES. THIS IS AN</u>								
	<u>LME REGISTERED CLIENT CONTRACT</u>								
05SEP17	S	1	PD05DEC17		2327.50	2525.48	USD	-4,949.50	
13SEP17	B	1	PD05DEC17		2287.68	2525.48	USD	5,945.00	
	NET	0 AVG	BGHT 2287.68	SOLD 2327.50				995.50	0.00
06SEP17	B	1	PD06DEC17		2334.50	2525.63	USD	4,778.25	
06SEP17	S	1	PD06DEC17		2347.00	2525.63	USD	-4,465.75	
06SEP17	B	1	PD06DEC17		2344.50	2525.63	USD	4,528.25	
20SEP17	S	1	PD06DEC17		2457.80	2525.63	USD	-1,695.75	
	NET	0 AVG	BGHT 2339.50	SOLD 2402.40				3,145.00	0.00
07SEP17	S	1	PD07DEC17		2345.50	2525.78	USD	-4,507.00	
13SEP17	B	1	PD07DEC17		2288.31	2525.78	USD	5,936.75	
	NET	0 AVG	BGHT 2288.31	SOLD 2345.50				1,429.75	0.00
08SEP17	B	1	PD08DEC17		2350.50	2525.93	USD	4,385.75	
08SEP17	S	1	PD08DEC17		2330.00	2525.93	USD	-4,898.25	
	NET	0 AVG	BGHT 2350.50	SOLD 2330.00				-512.50	0.00
11SEP17	B	1	PD11DEC17		2272.00	2526.39	USD	6,359.75	
11SEP17	S	1	PD11DEC17		2265.50	2526.39	USD	-6,522.25	
11SEP17	B	1	PD11DEC17		2274.50	2526.39	USD	6,297.25	
11SEP17	B	1	PD11DEC17		2275.00	2526.39	USD	6,284.75	
20SEP17	S	2	PD11DEC17		2458.59	2526.39	USD	-3,390.00	
	NET	0 AVG	BGHT 2273.83	SOLD 2394.23				9,029.50	0.00
12SEP17	S	1	PD12DEC17		2273.00	2526.54	USD	-6,338.50	
12SEP17	B	1	PD12DEC17		2282.50	2526.54	USD	6,101.00	
	NET	0 AVG	BGHT 2282.50	SOLD 2273.00				-237.50	0.00
13SEP17	S	1	PD13DEC17		2290.00	2526.69	USD	-5,917.25	
13SEP17	B	1	PD13DEC17		2300.50	2526.69	USD	5,654.75	
	NET	0 AVG	BGHT 2300.50	SOLD 2290.00				-262.50	0.00
15SEP17	S	1	PD15DEC17		2323.00	2526.99	USD	-5,099.75	
15SEP17	S	1	PD15DEC17		2342.50	2526.99	USD	-4,612.25	
15SEP17	S	2	PD15DEC17		2355.50	2526.99	USD	-8,574.50	
15SEP17	B	2	PD15DEC17		2349.00	2526.99	USD	8,899.50	
15SEP17	S	1	PD15DEC17		2335.00	2526.99	USD	-4,799.75	
20SEP17	B	3	PD15DEC17		2459.41	2526.99	USD	5,068.50	
	NET	0 AVG	BGHT 2415.25	SOLD 2342.30				-9,118.25	0.00
18SEP17	B	1	PD18DEC17		2395.50	2527.45	USD	3,298.75	
29SEP17	S	1	PD18DEC17		2490.08	2527.45	USD	-934.25	
	NET	0 AVG	BGHT 2395.50	SOLD 2490.08				2,364.50	0.00
19SEP17	B	1	PD19DEC17		2384.00	2527.60	USD	3,590.00	
19SEP17	S	3	PD19DEC17		2408.50	2527.60	USD	-8,932.50	
19SEP17	B	1	PD19DEC17		2411.00	2527.60	USD	2,915.00	
19SEP17	B	1	PD19DEC17		2411.50	2527.60	USD	2,902.50	
19SEP17	B	1	PD19DEC17		2421.50	2527.60	USD	2,652.50	
19SEP17	B	1	PD19DEC17		2420.50	2527.60	USD	2,677.50	
29SEP17	S	2	PD19DEC17		2490.04	2527.60	USD	-1,878.00	
	NET	0 AVG	BGHT 2409.70	SOLD 2441.12				3,927.00	0.00
20SEP17	B	1	PD20DEC17		2443.00	2527.75	USD	2,118.75	
29SEP17	S	1	PD20DEC17		2490.00	2527.75	USD	-943.75	
	NET	0 AVG	BGHT 2443.00	SOLD 2490.00				1,175.00	0.00
21SEP17	S	1	PD21DEC17		2491.50	2526.75	USD	-881.25	
21SEP17	S	1	PD21DEC17		2487.00	2526.75	USD	-993.75	
21SEP17	S	1	PD21DEC17		2473.50	2526.75	USD	-1,331.25	
21SEP17	S	1	PD21DEC17		2465.50	2526.75	USD	-1,531.25	
29SEP17	B	4	PD21DEC17		2489.20	2526.75	USD	3,755.00	
	NET	0 AVG	BGHT 2489.20	SOLD 2479.38				-982.50	0.00
22SEP17	B	1	PD22DEC17		2434.00	2526.90	USD	2,322.50	

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backoffice@sapiencap.com

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	<u>LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
22SEP17	B	1	PD22DEC17	2391.00	2526.90	USD	3,397.50	
22SEP17	S	1	PD22DEC17	2463.00	2526.90	USD	-1,597.50	
22SEP17	B	1	PD22DEC17	2475.00	2526.90	USD	1,297.50	
22SEP17	S	1	PD22DEC17	2482.50	2526.90	USD	-1,110.00	
22SEP17	S	1	PD22DEC17	2475.50	2526.90	USD	-1,285.00	
	NET	0AVG BGHT 2433.33	SOLD 2473.67				3,025.00	0.00
25SEP17	S	1	PD27DEC17	2489.50	2527.64	USD	-953.50	
25SEP17	B	1	PD27DEC17	2507.00	2527.64	USD	516.00	
25SEP17	B	1	PD27DEC17	2501.00	2527.64	USD	666.00	
25SEP17	B	1	PD27DEC17	2501.50	2527.64	USD	653.50	
25SEP17	S	1	PD27DEC17	2476.00	2527.64	USD	-1,291.00	
25SEP17	S	1	PD27DEC17	2503.00	2527.64	USD	-616.00	
	NET	0AVG BGHT 2503.17	SOLD 2489.50				-1,025.00	0.00
10OCT17	S	1	PD10JAN18	2517.50	2529.70	USD	-305.00	
	NET	-1AVG SOLD 2517.50					-305.00	0.00
	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
20JUL17	B	1	PD20OCT17	9575.00	11611.35	USD	12,218.10	
20JUL17	B	1	PD20OCT17	9570.00	11611.35	USD	12,248.10	
20JUL17	B	1	PD20OCT17	9505.00	11611.35	USD	12,638.10	
21JUL17	S	1	PD20OCT17	9650.00	11611.35	USD	-11,768.10	
21JUL17	S	1	PD20OCT17	9635.00	11611.35	USD	-11,858.10	
21JUL17	S	1	PD20OCT17	9595.00	11611.35	USD	-12,098.10	
	NET	0AVG BGHT 9550.00	SOLD 9626.67				1,380.00	0.00
24JUL17	B	1	PD24OCT17	9630.00	11614.76	USD	11,908.56	
24JUL17	B	1	PD24OCT17	9640.00	11614.76	USD	11,848.56	
24JUL17	B	1	PD24OCT17	9655.00	11614.76	USD	11,758.56	
24JUL17	B	1	PD24OCT17	9675.00	11614.76	USD	11,638.56	
24JUL17	B	1	PD24OCT17	9680.00	11614.76	USD	11,608.56	
08AUG17	S	5	PD24OCT17	10623.93	11614.76	USD	-29,724.90	
	NET	0AVG BGHT 9656.00	SOLD 10623.93				29,037.90	0.00
25JUL17	B	1	PD25OCT17	9860.00	11615.61	USD	10,533.66	
25JUL17	S	1	PD25OCT17	9875.00	11615.61	USD	-10,443.66	
25JUL17	S	1	PD25OCT17	9945.00	11615.61	USD	-10,023.66	
25JUL17	S	1	PD25OCT17	9950.00	11615.61	USD	-9,993.66	
25JUL17	S	1	PD25OCT17	9965.00	11615.61	USD	-9,903.66	
25JUL17	S	1	PD25OCT17	9990.00	11615.61	USD	-9,753.66	
25JUL17	B	1	PD25OCT17	9990.00	11615.61	USD	9,753.66	
25JUL17	S	1	PD25OCT17	10000.00	11615.61	USD	-9,693.66	
25JUL17	S	1	PD25OCT17	10005.00	11615.61	USD	-9,663.66	
08AUG17	B	5	PD25OCT17	10625.33	11615.61	USD	29,708.40	
	NET	0AVG BGHT 10425.24	SOLD 9961.43				-19,479.90	0.00
26JUL17	B	1	PD26OCT17	10080.00	11616.46	USD	9,218.76	
26JUL17	S	1	PD26OCT17	10010.00	11616.46	USD	-9,638.76	
26JUL17	B	1	PD26OCT17	9930.00	11616.46	USD	10,118.76	
26JUL17	S	1	PD26OCT17	9955.00	11616.46	USD	-9,968.76	
	NET	0AVG BGHT 10005.00	SOLD 9982.50				-270.00	0.00
27JUL17	B	1	PD27OCT17	9990.00	11617.31	USD	9,763.86	
27JUL17	B	1	PD27OCT17	9980.00	11617.31	USD	9,823.86	
27JUL17	B	2	PD27OCT17	10010.00	11617.31	USD	19,287.72	
27JUL17	B	3	PD27OCT17	10025.00	11617.31	USD	28,661.58	
27JUL17	S	1	PD27OCT17	10050.00	11617.31	USD	-9,403.86	
27JUL17	S	1	PD27OCT17	10060.00	11617.31	USD	-9,343.86	
27JUL17	S	1	PD27OCT17	10090.00	11617.31	USD	-9,163.86	
27JUL17	S	1	PD27OCT17	10130.00	11617.31	USD	-8,923.86	

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
27JUL17	S	3	PD27OCT17	10125.00	11617.31	USD	-26,861.58	
28JUL17	B	1	PD27OCT17	10055.00	11617.31	USD	9,373.86	
28JUL17	B	1	PD27OCT17	10060.00	11617.31	USD	9,343.86	
28JUL17	S	1	PD27OCT17	10025.00	11617.31	USD	-9,553.86	
28JUL17	B	1	PD27OCT17	10105.00	11617.31	USD	9,073.86	
28JUL17	B	1	PD27OCT17	10130.00	11617.31	USD	8,923.86	
28JUL17	S	1	PD27OCT17	10090.00	11617.31	USD	-9,163.86	
28JUL17	S	1	PD27OCT17	10240.00	11617.31	USD	-8,263.86	
28JUL17	S	1	PD27OCT17	10245.00	11617.31	USD	-8,233.86	
28JUL17	S	1	PD27OCT17	10280.00	11617.31	USD	-8,023.86	
28JUL17	S	2	PD27OCT17	10275.00	11617.31	USD	-16,107.72	
28JUL17	B	1	PD27OCT17	10210.00	11617.31	USD	8,443.86	
28JUL17	B	1	PD27OCT17	10200.00	11617.31	USD	8,503.86	
28JUL17	B	1	PD27OCT17	10245.00	11617.31	USD	8,233.86	
28JUL17	S	1	PD27OCT17	10250.00	11617.31	USD	-8,203.86	
08AUG17	B	1	PD27OCT17	10625.14	11617.31	USD	5,953.02	
	NET	0 AVG BGHT 10113.01	SOLD 10159.00				4,139.16	0.00
31JUL17	B	1	PD31OCT17	10250.00	11620.72	USD	8,224.32	
31JUL17	B	1	PD31OCT17	10235.00	11620.72	USD	8,314.32	
31JUL17	B	1	PD31OCT17	10240.00	11620.72	USD	8,284.32	
31JUL17	B	1	PD31OCT17	10230.00	11620.72	USD	8,344.32	
08AUG17	S	1	PD31OCT17	10625.76	11620.72	USD	-5,969.76	
08AUG17	S	3	PD31OCT17	10626.76	11620.72	USD	-17,891.28	
	NET	0 AVG BGHT 10238.75	SOLD 10626.51				9,306.24	0.00
01AUG17	B	1	PD01NOV17	10170.00	11621.57	USD	8,709.42	
01AUG17	S	1	PD01NOV17	10145.00	11621.57	USD	-8,859.42	
01AUG17	S	1	PD01NOV17	10110.00	11621.57	USD	-9,069.42	
01AUG17	B	1	PD01NOV17	10105.00	11621.57	USD	9,099.42	
01AUG17	S	1	PD01NOV17	10100.00	11621.57	USD	-9,129.42	
01AUG17	S	1	PD01NOV17	10090.00	11621.57	USD	-9,189.42	
01AUG17	S	2	PD01NOV17	10200.00	11621.57	USD	-17,058.84	
01AUG17	S	1	PD01NOV17	10195.00	11621.57	USD	-8,559.42	
01AUG17	S	1	PD01NOV17	10170.00	11621.57	USD	-8,709.42	
08AUG17	B	3	PD01NOV17	10628.17	11621.57	USD	17,881.20	
08AUG17	B	3	PD01NOV17	10627.17	11621.57	USD	17,899.20	
	NET	0 AVG BGHT 10505.13	SOLD 10151.25				-16,986.12	0.00
02AUG17	B	1	PD02NOV17	10205.00	11622.43	USD	8,504.58	
02AUG17	S	1	PD02NOV17	10210.00	11622.43	USD	-8,474.58	
02AUG17	B	1	PD02NOV17	10330.00	11622.43	USD	7,754.58	
08AUG17	S	1	PD02NOV17	10626.57	11622.43	USD	-5,975.16	
	NET	0 AVG BGHT 10267.50	SOLD 10418.29				1,809.42	0.00
07AUG17	S	1	PD07NOV17	10335.00	11626.69	USD	-7,750.14	
07AUG17	S	1	PD07NOV17	10295.00	11626.69	USD	-7,990.14	
07AUG17	B	1	PD07NOV17	10345.00	11626.69	USD	7,690.14	
07AUG17	B	3	PD07NOV17	10350.00	11626.69	USD	22,980.42	
07AUG17	B	2	PD07NOV17	10360.00	11626.69	USD	15,200.28	
07AUG17	B	2	PD07NOV17	10385.00	11626.69	USD	14,900.28	
08AUG17	S	2	PD07NOV17	10628.60	11626.69	USD	-11,977.08	
06SEP17	S	4	PD07NOV17	12147.38	11626.69	USD	12,496.56	
	NET	0 AVG BGHT 10360.63	SOLD 11309.59				45,550.32	0.00
08AUG17	S	1	PD08NOV17	10330.00	11627.54	USD	-7,785.24	
08AUG17	B	1	PD08NOV17	10530.00	11627.54	USD	6,585.24	
08AUG17	B	1	PD08NOV17	10585.00	11627.54	USD	6,255.24	
08AUG17	S	1	PD08NOV17	10635.00	11627.54	USD	-5,955.24	
08AUG17	B	1	PD08NOV17	10640.00	11627.54	USD	5,925.24	
08AUG17	B	1	PD08NOV17	10680.00	11627.54	USD	5,685.24	

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backoffice@sapiencap.com

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08AUG17	S	1	PD08NOV17		10770.00	11627.54	USD	-5,145.24	
06SEP17	S	1	PD08NOV17		12148.21	11627.54	USD	3,124.02	
	NET	0AVG	BGHT 10608.75	SOLD 10970.80				8,689.26	0.00
09AUG17	S	1	PD09NOV17		10790.00	11628.39	USD	-5,030.34	
09AUG17	S	1	PD09NOV17		10740.00	11628.39	USD	-5,330.34	
09AUG17	B	1	PD09NOV17		10745.00	11628.39	USD	5,300.34	
09AUG17	B	1	PD09NOV17		10730.00	11628.39	USD	5,390.34	
	NET	0AVG	BGHT 10737.50	SOLD 10765.00				330.00	0.00
10AUG17	S	1	PD10NOV17		10805.00	11629.24	USD	-4,945.44	
10AUG17	S	1	PD10NOV17		10800.00	11629.24	USD	-4,975.44	
10AUG17	B	1	PD10NOV17		10780.00	11629.24	USD	5,095.44	
10AUG17	B	1	PD10NOV17		10795.00	11629.24	USD	5,005.44	
10AUG17	B	1	PD10NOV17		10775.00	11629.24	USD	5,125.44	
10AUG17	S	1	PD10NOV17		10905.00	11629.24	USD	-4,345.44	
10AUG17	S	1	PD10NOV17		10930.00	11629.24	USD	-4,195.44	
10AUG17	S	1	PD10NOV17		10940.00	11629.24	USD	-4,135.44	
10AUG17	B	1	PD10NOV17		10930.00	11629.24	USD	4,195.44	
10AUG17	B	1	PD10NOV17		10925.00	11629.24	USD	4,225.44	
11AUG17	S	1	PD10NOV17		10805.00	11629.24	USD	-4,945.44	
11AUG17	S	2	PD10NOV17		10835.00	11629.24	USD	-9,530.88	
11AUG17	S	1	PD10NOV17		10735.00	11629.24	USD	-5,365.44	
11AUG17	S	1	PD10NOV17		10630.00	11629.24	USD	-5,995.44	
11AUG17	S	1	PD10NOV17		10635.00	11629.24	USD	-5,965.44	
11AUG17	S	1	PD10NOV17		10625.00	11629.24	USD	-6,025.44	
11AUG17	S	1	PD10NOV17		10660.00	11629.24	USD	-5,815.44	
11AUG17	B	3	PD10NOV17		10655.00	11629.24	USD	17,536.32	
11AUG17	B	2	PD10NOV17		10680.00	11629.24	USD	11,390.88	
11AUG17	B	1	PD10NOV17		10675.00	11629.24	USD	5,725.44	
11AUG17	B	1	PD10NOV17		10660.00	11629.24	USD	5,815.44	
11AUG17	B	1	PD10NOV17		10665.00	11629.24	USD	5,785.44	
	NET	0AVG	BGHT 10733.08	SOLD 10780.00				3,660.00	0.00
14AUG17	S	1	PD14NOV17		10510.00	11632.65	USD	-6,735.90	
14AUG17	S	1	PD14NOV17		10505.00	11632.65	USD	-6,765.90	
14AUG17	S	1	PD14NOV17		10475.00	11632.65	USD	-6,945.90	
14AUG17	B	1	PD14NOV17		10520.00	11632.65	USD	6,675.90	
14AUG17	B	1	PD14NOV17		10525.00	11632.65	USD	6,645.90	
14AUG17	B	1	PD14NOV17		10500.00	11632.65	USD	6,795.90	
14AUG17	B	1	PD14NOV17		10485.00	11632.65	USD	6,885.90	
14AUG17	B	1	PD14NOV17		10435.00	11632.65	USD	7,185.90	
14AUG17	S	1	PD14NOV17		10440.00	11632.65	USD	-7,155.90	
14AUG17	S	1	PD14NOV17		10415.00	11632.65	USD	-7,305.90	
14AUG17	S	1	PD14NOV17		10420.00	11632.65	USD	-7,275.90	
14AUG17	S	1	PD14NOV17		10410.00	11632.65	USD	-7,335.90	
14AUG17	S	1	PD14NOV17		10430.00	11632.65	USD	-7,215.90	
06SEP17	B	3	PD14NOV17		12153.17	11632.65	USD	-9,369.36	
	NET	0AVG	BGHT 11115.56	SOLD 10450.63				-31,917.06	0.00
15AUG17	S	1	PD15NOV17		10365.00	11633.50	USD	-7,611.00	
15AUG17	B	1	PD15NOV17		10370.00	11633.50	USD	7,581.00	
15AUG17	B	1	PD15NOV17		10430.00	11633.50	USD	7,221.00	
15AUG17	B	1	PD15NOV17		10460.00	11633.50	USD	7,041.00	
15AUG17	S	1	PD15NOV17		10485.00	11633.50	USD	-6,891.00	
15AUG17	B	2	PD15NOV17		10380.00	11633.50	USD	15,042.00	
15AUG17	B	1	PD15NOV17		10390.00	11633.50	USD	7,461.00	
06SEP17	S	4	PD15NOV17		12154.00	11633.50	USD	12,492.00	
	NET	0AVG	BGHT 10401.67	SOLD 11577.67				42,336.00	0.00
16AUG17	S	1	PD16NOV17		10555.00	11634.19	USD	-6,475.14	

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16AUG17	S	2	PD16NOV17	10560.00	11634.19	USD	-12,890.28	
16AUG17	S	2	PD16NOV17	10575.00	11634.19	USD	-12,710.28	
16AUG17	S	1	PD16NOV17	10640.00	11634.19	USD	-5,965.14	
16AUG17	S	1	PD16NOV17	10655.00	11634.19	USD	-5,875.14	
16AUG17	S	1	PD16NOV17	10680.00	11634.19	USD	-5,725.14	
16AUG17	B	1	PD16NOV17	10685.00	11634.19	USD	5,695.14	
16AUG17	B	1	PD16NOV17	10680.00	11634.19	USD	5,725.14	
16AUG17	S	1	PD16NOV17	10745.00	11634.19	USD	-5,335.14	
16AUG17	B	1	PD16NOV17	10745.00	11634.19	USD	5,335.14	
16AUG17	B	1	PD16NOV17	10730.00	11634.19	USD	5,425.14	
16AUG17	B	1	PD16NOV17	10725.00	11634.19	USD	5,455.14	
06SEP17	B	4	PD16NOV17	12154.00	11634.19	USD	-12,475.44	
	NET	0 AVG BGHT 11353.44	SOLD 10616.11				-39,816.00	0.00
17AUG17	B	1	PD17NOV17	10750.00	11634.87	USD	5,309.22	
17AUG17	S	1	PD17NOV17	10780.00	11634.87	USD	-5,129.22	
17AUG17	S	1	PD17NOV17	10785.00	11634.87	USD	-5,099.22	
17AUG17	S	1	PD17NOV17	10800.00	11634.87	USD	-5,009.22	
17AUG17	S	1	PD17NOV17	10870.00	11634.87	USD	-4,589.22	
17AUG17	S	1	PD17NOV17	10830.00	11634.87	USD	-4,829.22	
17AUG17	S	2	PD17NOV17	10790.00	11634.87	USD	-10,138.44	
17AUG17	B	1	PD17NOV17	10800.00	11634.87	USD	5,009.22	
17AUG17	B	1	PD17NOV17	10740.00	11634.87	USD	5,369.22	
17AUG17	B	1	PD17NOV17	10735.00	11634.87	USD	5,399.22	
17AUG17	B	2	PD17NOV17	10760.00	11634.87	USD	10,498.44	
17AUG17	B	2	PD17NOV17	10775.00	11634.87	USD	10,318.44	
17AUG17	B	2	PD17NOV17	10745.00	11634.87	USD	10,678.44	
18AUG17	B	1	PD17NOV17	10910.00	11634.87	USD	4,349.22	
18AUG17	B	1	PD17NOV17	10915.00	11634.87	USD	4,319.22	
18AUG17	B	1	PD17NOV17	10955.00	11634.87	USD	4,079.22	
18AUG17	B	1	PD17NOV17	10970.00	11634.87	USD	3,989.22	
18AUG17	B	1	PD17NOV17	11005.00	11634.87	USD	3,779.22	
18AUG17	B	1	PD17NOV17	10870.00	11634.87	USD	4,589.22	
18AUG17	S	1	PD17NOV17	10870.00	11634.87	USD	-4,589.22	
18AUG17	B	5	PD17NOV17	10885.00	11634.87	USD	22,496.10	
18AUG17	S	5	PD17NOV17	10885.00	11634.87	USD	-22,496.10	
18AUG17	B	1	PD17NOV17	10975.00	11634.87	USD	3,959.22	
18AUG17	B	1	PD17NOV17	10995.00	11634.87	USD	3,839.22	
06SEP17	S	1	PD17NOV17	12152.60	11634.87	USD	3,106.38	
06SEP17	S	9	PD17NOV17	12153.60	11634.87	USD	28,011.42	
	NET	0 AVG BGHT 10852.39	SOLD 11411.96				77,220.00	0.00
21AUG17	S	1	PD21NOV17	11115.00	11637.61	USD	-3,135.66	
21AUG17	S	1	PD21NOV17	11040.00	11637.61	USD	-3,585.66	
21AUG17	B	1	PD21NOV17	11160.00	11637.61	USD	2,865.66	
21AUG17	S	1	PD21NOV17	11175.00	11637.61	USD	-2,775.66	
21AUG17	B	1	PD21NOV17	11170.00	11637.61	USD	2,805.66	
21AUG17	S	1	PD21NOV17	11165.00	11637.61	USD	-2,835.66	
21AUG17	S	2	PD21NOV17	11035.00	11637.61	USD	-7,231.32	
21AUG17	S	2	PD21NOV17	11200.00	11637.61	USD	-5,251.32	
21AUG17	S	1	PD21NOV17	11160.00	11637.61	USD	-2,865.66	
21AUG17	S	1	PD21NOV17	11015.00	11637.61	USD	-3,735.66	
21AUG17	S	1	PD21NOV17	11195.00	11637.61	USD	-2,655.66	
21AUG17	B	1	PD21NOV17	11295.00	11637.61	USD	2,055.66	
21AUG17	S	1	PD21NOV17	11280.00	11637.61	USD	-2,145.66	
06SEP17	B	9	PD21NOV17	12157.00	11637.61	USD	-28,047.00	
	NET	0 AVG BGHT 11919.83	SOLD 11134.58				-56,538.00	0.00
22AUG17	B	1	PD22NOV17	11385.00	11638.30	USD	1,519.80	

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22AUG17	S	1	PD22NOV17		11495.00	11638.30	USD	-859.80	
22AUG17	S	1	PD22NOV17		11485.00	11638.30	USD	-919.80	
22AUG17	S	1	PD22NOV17		11465.00	11638.30	USD	-1,039.80	
22AUG17	B	2	PD22NOV17		11445.00	11638.30	USD	2,319.60	
22AUG17	B	1	PD22NOV17		11420.00	11638.30	USD	1,309.80	
22AUG17	S	1	PD22NOV17		11415.00	11638.30	USD	-1,339.80	
22AUG17	S	1	PD22NOV17		11420.00	11638.30	USD	-1,309.80	
22AUG17	B	2	PD22NOV17		11405.00	11638.30	USD	2,799.60	
22AUG17	S	1	PD22NOV17		11330.00	11638.30	USD	-1,849.80	
22AUG17	S	1	PD22NOV17		11340.00	11638.30	USD	-1,789.80	
22AUG17	S	2	PD22NOV17		11390.00	11638.30	USD	-2,979.60	
22AUG17	S	2	PD22NOV17		11395.00	11638.30	USD	-2,919.60	
06SEP17	B	5	PD22NOV17		12157.60	11638.30	USD	-15,579.00	
	NET	0AVG BGHT 11753.91	SOLD 11410.91					-22,638.00	0.00
23AUG17	S	1	PD24NOV17		11340.00	11639.67	USD	-1,798.02	
23AUG17	B	1	PD24NOV17		11345.00	11639.67	USD	1,768.02	
23AUG17	B	1	PD24NOV17		11360.00	11639.67	USD	1,678.02	
23AUG17	B	1	PD24NOV17		11370.00	11639.67	USD	1,618.02	
23AUG17	B	1	PD24NOV17		11415.00	11639.67	USD	1,348.02	
23AUG17	S	1	PD24NOV17		11455.00	11639.67	USD	-1,108.02	
23AUG17	S	1	PD24NOV17		11515.00	11639.67	USD	-748.02	
23AUG17	S	1	PD24NOV17		11585.00	11639.67	USD	-328.02	
23AUG17	S	1	PD24NOV17		11565.00	11639.67	USD	-448.02	
23AUG17	B	1	PD24NOV17		11545.00	11639.67	USD	568.02	
23AUG17	B	2	PD24NOV17		11555.00	11639.67	USD	1,016.04	
23AUG17	S	1	PD24NOV17		11455.00	11639.67	USD	-1,108.02	
23AUG17	S	1	PD24NOV17		11680.00	11639.67	USD	241.98	
23AUG17	B	1	PD24NOV17		11690.00	11639.67	USD	-301.98	
24AUG17	S	1	PD24NOV17		11750.00	11639.67	USD	661.98	
24AUG17	S	1	PD24NOV17		11660.00	11639.67	USD	121.98	
25AUG17	B	1	PD24NOV17		11680.00	11639.67	USD	-241.98	
25AUG17	B	2	PD24NOV17		11690.00	11639.67	USD	-603.96	
25AUG17	B	1	PD24NOV17		11560.00	11639.67	USD	478.02	
25AUG17	S	1	PD24NOV17		11530.00	11639.67	USD	-658.02	
25AUG17	S	1	PD24NOV17		11400.00	11639.67	USD	-1,438.02	
25AUG17	S	1	PD24NOV17		11395.00	11639.67	USD	-1,468.02	
	NET	0AVG BGHT 11537.92	SOLD 11527.50					-750.00	0.00
29AUG17	S	1	PD29NOV17		11615.00	11643.10	USD	-168.60	
29AUG17	B	1	PD29NOV17		11795.00	11643.10	USD	-911.40	
29AUG17	B	1	PD29NOV17		11825.00	11643.10	USD	-1,091.40	
29AUG17	S	1	PD29NOV17		11625.00	11643.10	USD	-108.60	
29AUG17	B	1	PD29NOV17		11830.00	11643.10	USD	-1,121.40	
29AUG17	S	1	PD29NOV17		11600.00	11643.10	USD	-258.60	
29AUG17	B	2	PD29NOV17		11835.00	11643.10	USD	-2,302.80	
29AUG17	B	1	PD29NOV17		11810.00	11643.10	USD	-1,001.40	
29AUG17	B	1	PD29NOV17		11625.00	11643.10	USD	108.60	
29AUG17	S	1	PD29NOV17		11640.00	11643.10	USD	-18.60	
29AUG17	B	1	PD29NOV17		11715.00	11643.10	USD	-431.40	
29AUG17	B	1	PD29NOV17		11730.00	11643.10	USD	-521.40	
29AUG17	S	1	PD29NOV17		11860.00	11643.10	USD	1,301.40	
29AUG17	S	1	PD29NOV17		11805.00	11643.10	USD	971.40	
29AUG17	S	1	PD29NOV17		11695.00	11643.10	USD	311.40	
06SEP17	S	2	PD29NOV17		12159.80	11643.10	USD	6,200.40	
	NET	0AVG BGHT 11777.78	SOLD 11795.51					957.60	0.00
30AUG17	B	1	PD30NOV17		11760.00	11643.79	USD	-697.26	
30AUG17	S	1	PD30NOV17		11715.00	11643.79	USD	427.26	

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30AUG17	B	1	PD30NOV17	11580.00	11643.79	USD	382.74	
30AUG17	B	1	PD30NOV17	11620.00	11643.79	USD	142.74	
30AUG17	B	3	PD30NOV17	11645.00	11643.79	USD	-21.78	
31AUG17	B	1	PD30NOV17	11665.00	11643.79	USD	-127.26	
31AUG17	B	2	PD30NOV17	11655.00	11643.79	USD	-134.52	
31AUG17	B	1	PD30NOV17	11715.00	11643.79	USD	-427.26	
31AUG17	S	1	PD30NOV17	11735.00	11643.79	USD	547.26	
31AUG17	S	1	PD30NOV17	11715.00	11643.79	USD	427.26	
31AUG17	B	1	PD30NOV17	11690.00	11643.79	USD	-277.26	
31AUG17	S	1	PD30NOV17	11725.00	11643.79	USD	487.26	
31AUG17	S	1	PD30NOV17	11780.00	11643.79	USD	817.26	
31AUG17	S	1	PD30NOV17	11800.00	11643.79	USD	937.26	
31AUG17	S	3	PD30NOV17	11785.00	11643.79	USD	2,541.78	
31AUG17	S	1	PD30NOV17	11800.00	11643.79	USD	937.26	
31AUG17	S	1	PD30NOV17	11760.00	11643.79	USD	697.26	
	NET	0 AVG BGHT 11661.36	SOLD 11762.27				6,660.00	0.00
01SEP17	B	1	PD01DEC17	11810.00	11644.47	USD	-993.18	
01SEP17	B	1	PD01DEC17	11815.00	11644.47	USD	-1,023.18	
01SEP17	B	1	PD01DEC17	11805.00	11644.47	USD	-963.18	
01SEP17	B	1	PD01DEC17	11765.00	11644.47	USD	-723.18	
01SEP17	S	1	PD01DEC17	11795.00	11644.47	USD	903.18	
01SEP17	S	1	PD01DEC17	11985.00	11644.47	USD	2,043.18	
01SEP17	S	2	PD01DEC17	12000.00	11644.47	USD	4,266.36	
01SEP17	S	1	PD01DEC17	12005.00	11644.47	USD	2,163.18	
01SEP17	B	1	PD01DEC17	12050.00	11644.47	USD	-2,433.18	
01SEP17	B	1	PD01DEC17	12040.00	11644.47	USD	-2,373.18	
01SEP17	B	1	PD01DEC17	11980.00	11644.47	USD	-2,013.18	
01SEP17	S	1	PD01DEC17	11995.00	11644.47	USD	2,103.18	
01SEP17	S	1	PD01DEC17	12005.00	11644.47	USD	2,163.18	
	NET	0 AVG BGHT 11895.00	SOLD 11969.29				3,120.00	0.00
04SEP17	B	1	PD04DEC17	12215.00	11646.53	USD	-3,410.82	
04SEP17	B	1	PD04DEC17	12210.00	11646.53	USD	-3,380.82	
04SEP17	B	1	PD04DEC17	12205.00	11646.53	USD	-3,350.82	
04SEP17	S	1	PD04DEC17	12255.00	11646.53	USD	3,650.82	
04SEP17	B	1	PD04DEC17	12245.00	11646.53	USD	-3,590.82	
04SEP17	S	1	PD04DEC17	12245.00	11646.53	USD	3,590.82	
04SEP17	S	1	PD04DEC17	12260.00	11646.53	USD	3,680.82	
13SEP17	S	1	PD04DEC17	11353.08	11646.53	USD	-1,760.70	
	NET	0 AVG BGHT 12218.75	SOLD 12028.27				-4,571.52	0.00
05SEP17	S	1	PD05DEC17	12280.00	11647.21	USD	3,796.74	
05SEP17	S	1	PD05DEC17	12235.00	11647.21	USD	3,526.74	
05SEP17	S	1	PD05DEC17	12225.00	11647.21	USD	3,466.74	
05SEP17	B	1	PD05DEC17	12220.00	11647.21	USD	-3,436.74	
05SEP17	B	1	PD05DEC17	12215.00	11647.21	USD	-3,406.74	
05SEP17	B	2	PD05DEC17	12210.00	11647.21	USD	-6,753.48	
05SEP17	S	1	PD05DEC17	12240.00	11647.21	USD	3,556.74	
05SEP17	S	1	PD05DEC17	12080.00	11647.21	USD	2,596.74	
05SEP17	S	2	PD05DEC17	12075.00	11647.21	USD	5,133.48	
05SEP17	B	1	PD05DEC17	12040.00	11647.21	USD	-2,356.74	
13SEP17	B	1	PD05DEC17	11354.92	11647.21	USD	1,753.74	
13SEP17	B	1	PD05DEC17	11353.92	11647.21	USD	1,759.74	
	NET	0 AVG BGHT 11943.41	SOLD 12172.86				9,636.96	0.00
06SEP17	B	1	PD06DEC17	11995.00	11647.90	USD	-2,082.60	
06SEP17	B	3	PD06DEC17	12000.00	11647.90	USD	-6,337.80	
06SEP17	B	1	PD06DEC17	12030.00	11647.90	USD	-2,292.60	
06SEP17	B	1	PD06DEC17	12025.00	11647.90	USD	-2,262.60	

OPEN POSITION STATEMENT



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SAP083GA

13th October 2017

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Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
06SEP17	S	1	PD06DEC17	12055.00	11647.90	USD	2,442.60	
06SEP17	S	1	PD06DEC17	12075.00	11647.90	USD	2,562.60	
06SEP17	S	1	PD06DEC17	12105.00	11647.90	USD	2,742.60	
06SEP17	S	1	PD06DEC17	12115.00	11647.90	USD	2,802.60	
06SEP17	S	1	PD06DEC17	12130.00	11647.90	USD	2,892.60	
13SEP17	S	1	PD06DEC17	11353.77	11647.90	USD	-1,764.78	
	NET	0 AVG BGHT 12008.33	SOLD 11972.30				-1,297.38	0.00
07SEP17	B	1	PD07DEC17	12225.00	11648.59	USD	-3,458.46	
07SEP17	S	2	PD07DEC17	12280.00	11648.59	USD	7,576.92	
07SEP17	B	1	PD07DEC17	12115.00	11648.59	USD	-2,798.46	
07SEP17	B	1	PD07DEC17	12110.00	11648.59	USD	-2,768.46	
07SEP17	B	2	PD07DEC17	12175.00	11648.59	USD	-6,316.92	
07SEP17	B	3	PD07DEC17	12170.00	11648.59	USD	-9,385.38	
07SEP17	B	3	PD07DEC17	12160.00	11648.59	USD	-9,205.38	
07SEP17	S	1	PD07DEC17	12155.00	11648.59	USD	3,038.46	
07SEP17	S	2	PD07DEC17	12165.00	11648.59	USD	6,196.92	
07SEP17	B	2	PD07DEC17	12180.00	11648.59	USD	-6,376.92	
13SEP17	S	8	PD07DEC17	11355.62	11648.59	USD	-14,062.56	
	NET	0 AVG BGHT 12165.38	SOLD 11683.84				-37,560.24	0.00
08SEP17	S	1	PD08DEC17	12250.00	11649.27	USD	3,604.38	
08SEP17	S	1	PD08DEC17	12255.00	11649.27	USD	3,634.38	
08SEP17	S	2	PD08DEC17	12265.00	11649.27	USD	7,388.76	
08SEP17	B	1	PD08DEC17	12310.00	11649.27	USD	-3,964.38	
08SEP17	B	1	PD08DEC17	12340.00	11649.27	USD	-4,144.38	
08SEP17	B	3	PD08DEC17	12335.00	11649.27	USD	-12,343.14	
08SEP17	B	1	PD08DEC17	12330.00	11649.27	USD	-4,084.38	
08SEP17	B	1	PD08DEC17	12320.00	11649.27	USD	-4,024.38	
08SEP17	S	1	PD08DEC17	12180.00	11649.27	USD	3,184.38	
08SEP17	S	1	PD08DEC17	12150.00	11649.27	USD	3,004.38	
08SEP17	S	2	PD08DEC17	12155.00	11649.27	USD	6,068.76	
08SEP17	S	2	PD08DEC17	12130.00	11649.27	USD	5,768.76	
08SEP17	S	2	PD08DEC17	12025.00	11649.27	USD	4,508.76	
08SEP17	S	2	PD08DEC17	12020.00	11649.27	USD	4,448.76	
08SEP17	S	4	PD08DEC17	11990.00	11649.27	USD	8,177.52	
08SEP17	S	2	PD08DEC17	11995.00	11649.27	USD	4,148.76	
08SEP17	B	3	PD08DEC17	11945.00	11649.27	USD	-5,323.14	
08SEP17	B	3	PD08DEC17	11925.00	11649.27	USD	-4,963.14	
08SEP17	S	1	PD08DEC17	12020.00	11649.27	USD	2,224.38	
08SEP17	S	1	PD08DEC17	11820.00	11649.27	USD	1,024.38	
08SEP17	S	1	PD08DEC17	11815.00	11649.27	USD	994.38	
08SEP17	S	3	PD08DEC17	11805.00	11649.27	USD	2,803.14	
08SEP17	S	2	PD08DEC17	11765.00	11649.27	USD	1,388.76	
08SEP17	S	3	PD08DEC17	11760.00	11649.27	USD	1,993.14	
08SEP17	B	2	PD08DEC17	11760.00	11649.27	USD	-1,328.76	
08SEP17	B	1	PD08DEC17	11750.00	11649.27	USD	-604.38	
08SEP17	B	2	PD08DEC17	11745.00	11649.27	USD	-1,148.76	
08SEP17	B	1	PD08DEC17	11725.00	11649.27	USD	-454.38	
08SEP17	B	1	PD08DEC17	11730.00	11649.27	USD	-484.38	
08SEP17	B	1	PD08DEC17	11755.00	11649.27	USD	-634.38	
08SEP17	S	1	PD08DEC17	11745.00	11649.27	USD	574.38	
08SEP17	S	1	PD08DEC17	11730.00	11649.27	USD	484.38	
08SEP17	B	1	PD08DEC17	11690.00	11649.27	USD	-244.38	
08SEP17	B	1	PD08DEC17	11545.00	11649.27	USD	625.62	
08SEP17	S	1	PD08DEC17	11570.00	11649.27	USD	-475.62	
08SEP17	S	1	PD08DEC17	11565.00	11649.27	USD	-505.62	
08SEP17	B	1	PD08DEC17	11560.00	11649.27	USD	535.62	
08SEP17	B	1	PD08DEC17	11520.00	11649.27	USD	775.62	

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
08SEP17	B	1	PD08DEC17	11510.00	11649.27	USD	835.62	
08SEP17	S	1	PD08DEC17	11575.00	11649.27	USD	-445.62	
08SEP17	S	6	PD08DEC17	11590.00	11649.27	USD	-2,133.72	
13SEP17	B	8	PD08DEC17	11357.46	11649.27	USD	14,006.88	
13SEP17	B	8	PD08DEC17	11356.46	11649.27	USD	14,054.88	
	NET	0 AVG BGHT 11700.51	SOLD 11894.76				48,951.84	0.00
11SEP17	B	1	PD11DEC17	11480.00	11651.33	USD	1,027.98	
11SEP17	B	5	PD11DEC17	11485.00	11651.33	USD	4,989.90	
11SEP17	B	1	PD11DEC17	11500.00	11651.33	USD	907.98	
11SEP17	B	1	PD11DEC17	11615.00	11651.33	USD	217.98	
11SEP17	B	1	PD11DEC17	11665.00	11651.33	USD	-82.02	
11SEP17	B	1	PD11DEC17	11725.00	11651.33	USD	-442.02	
11SEP17	S	1	PD11DEC17	11815.00	11651.33	USD	982.02	
11SEP17	S	1	PD11DEC17	11820.00	11651.33	USD	1,012.02	
13SEP17	S	8	PD11DEC17	11358.00	11651.33	USD	-14,079.84	
	NET	0 AVG BGHT 11541.00	SOLD 11449.90				-5,466.00	0.00
12SEP17	B	1	PD12DEC17	11660.00	11652.01	USD	-47.94	
12SEP17	B	1	PD12DEC17	11670.00	11652.01	USD	-107.94	
12SEP17	B	1	PD12DEC17	11680.00	11652.01	USD	-167.94	
12SEP17	B	1	PD12DEC17	11700.00	11652.01	USD	-287.94	
12SEP17	B	1	PD12DEC17	11780.00	11652.01	USD	-767.94	
12SEP17	S	1	PD12DEC17	11760.00	11652.01	USD	647.94	
12SEP17	S	3	PD12DEC17	11885.00	11652.01	USD	4,193.82	
12SEP17	B	1	PD12DEC17	11885.00	11652.01	USD	-1,397.94	
12SEP17	S	1	PD12DEC17	11925.00	11652.01	USD	1,637.94	
12SEP17	S	1	PD12DEC17	11950.00	11652.01	USD	1,787.94	
	NET	0 AVG BGHT 11729.17	SOLD 11881.67				5,490.00	0.00
13SEP17	B	2	PD13DEC17	11890.00	11652.70	USD	-2,847.60	
13SEP17	B	1	PD13DEC17	11815.00	11652.70	USD	-973.80	
13SEP17	B	1	PD13DEC17	11830.00	11652.70	USD	-1,063.80	
13SEP17	B	1	PD13DEC17	11575.00	11652.70	USD	466.20	
13SEP17	B	2	PD13DEC17	11590.00	11652.70	USD	752.40	
13SEP17	B	2	PD13DEC17	11585.00	11652.70	USD	812.40	
13SEP17	S	1	PD13DEC17	11465.00	11652.70	USD	-1,126.20	
13SEP17	B	2	PD13DEC17	11390.00	11652.70	USD	3,152.40	
13SEP17	B	1	PD13DEC17	11585.00	11652.70	USD	406.20	
13SEP17	B	3	PD13DEC17	11380.00	11652.70	USD	4,908.60	
13SEP17	B	1	PD13DEC17	11410.00	11652.70	USD	1,456.20	
20SEP17	S	15	PD13DEC17	11374.60	11652.70	USD	-25,029.00	
	NET	0 AVG BGHT 11579.06	SOLD 11380.25				-19,086.00	0.00
14SEP17	S	2	PD14DEC17	11465.00	11653.39	USD	-2,260.68	
14SEP17	S	2	PD14DEC17	11490.00	11653.39	USD	-1,960.68	
14SEP17	S	2	PD14DEC17	11455.00	11653.39	USD	-2,380.68	
14SEP17	B	1	PD14DEC17	11195.00	11653.39	USD	2,750.34	
14SEP17	B	4	PD14DEC17	11170.00	11653.39	USD	11,601.36	
14SEP17	B	3	PD14DEC17	11165.00	11653.39	USD	8,791.02	
14SEP17	B	1	PD14DEC17	11160.00	11653.39	USD	2,960.34	
14SEP17	S	3	PD14DEC17	11490.00	11653.39	USD	-2,941.02	
14SEP17	S	1	PD14DEC17	11285.00	11653.39	USD	-2,210.34	
14SEP17	S	4	PD14DEC17	11270.00	11653.39	USD	-9,201.36	
14SEP17	S	1	PD14DEC17	11265.00	11653.39	USD	-2,330.34	
14SEP17	S	2	PD14DEC17	11290.00	11653.39	USD	-4,360.68	
14SEP17	S	2	PD14DEC17	11280.00	11653.39	USD	-4,480.68	
14SEP17	S	5	PD14DEC17	11275.00	11653.39	USD	-11,351.70	
20SEP17	B	15	PD14DEC17	11376.37	11653.39	USD	24,931.80	
	NET	0 AVG BGHT 11298.98	SOLD 11351.46				7,556.70	0.00

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
15SEP17	B	1	PD15DEC17	11270.00	11654.07	USD	2,304.42	
15SEP17	S	1	PD15DEC17	11150.00	11654.07	USD	-3,024.42	
15SEP17	S	1	PD15DEC17	11130.00	11654.07	USD	-3,144.42	
15SEP17	B	2	PD15DEC17	11125.00	11654.07	USD	6,348.84	
15SEP17	B	1	PD15DEC17	11135.00	11654.07	USD	3,114.42	
15SEP17	S	2	PD15DEC17	10900.00	11654.07	USD	-9,048.84	
15SEP17	S	1	PD15DEC17	11035.00	11654.07	USD	-3,714.42	
15SEP17	S	2	PD15DEC17	11050.00	11654.07	USD	-7,248.84	
15SEP17	B	1	PD15DEC17	11130.00	11654.07	USD	3,144.42	
20SEP17	B	2	PD15DEC17	11376.14	11654.07	USD	3,335.16	
	NET	0 AVG BGHT 11219.61	SOLD 11030.71				-7,933.68	0.00
18SEP17	B	1	PD18DEC17	11155.00	11656.13	USD	3,006.78	
18SEP17	B	1	PD18DEC17	11170.00	11656.13	USD	2,916.78	
18SEP17	S	1	PD18DEC17	11175.00	11656.13	USD	-2,886.78	
29SEP17	S	1	PD18DEC17	10487.73	11656.13	USD	-7,010.40	
	NET	0 AVG BGHT 11162.50	SOLD 10831.37				-3,973.62	0.00
19SEP17	B	1	PD19DEC17	11140.00	11656.81	USD	3,100.86	
19SEP17	B	1	PD19DEC17	11095.00	11656.81	USD	3,370.86	
19SEP17	B	1	PD19DEC17	11100.00	11656.81	USD	3,340.86	
19SEP17	B	1	PD19DEC17	11055.00	11656.81	USD	3,610.86	
19SEP17	B	1	PD19DEC17	11030.00	11656.81	USD	3,760.86	
19SEP17	S	1	PD19DEC17	11000.00	11656.81	USD	-3,940.86	
19SEP17	B	2	PD19DEC17	10935.00	11656.81	USD	8,661.72	
19SEP17	B	1	PD19DEC17	10985.00	11656.81	USD	4,030.86	
19SEP17	S	1	PD19DEC17	11060.00	11656.81	USD	-3,580.86	
19SEP17	B	1	PD19DEC17	11145.00	11656.81	USD	3,070.86	
19SEP17	S	1	PD19DEC17	11210.00	11656.81	USD	-2,680.86	
19SEP17	S	1	PD19DEC17	11205.00	11656.81	USD	-2,710.86	
19SEP17	S	1	PD19DEC17	11195.00	11656.81	USD	-2,770.86	
19SEP17	B	1	PD19DEC17	11165.00	11656.81	USD	2,950.86	
19SEP17	B	2	PD19DEC17	11170.00	11656.81	USD	5,841.72	
19SEP17	S	1	PD19DEC17	11210.00	11656.81	USD	-2,680.86	
19SEP17	B	1	PD19DEC17	11170.00	11656.81	USD	2,920.86	
20SEP17	S	2	PD19DEC17	11378.23	11656.81	USD	-3,342.96	
29SEP17	S	5	PD19DEC17	10488.61	11656.81	USD	-35,046.00	
	NET	0 AVG BGHT 11084.23	SOLD 10929.19				-12,092.94	0.00
20SEP17	B	1	PD20DEC17	11395.00	11657.50	USD	1,575.00	
20SEP17	S	2	PD20DEC17	11370.00	11657.50	USD	-3,450.00	
20SEP17	S	2	PD20DEC17	11360.00	11657.50	USD	-3,570.00	
20SEP17	S	1	PD20DEC17	11375.00	11657.50	USD	-1,695.00	
20SEP17	S	3	PD20DEC17	11365.00	11657.50	USD	-5,265.00	
20SEP17	S	1	PD20DEC17	11380.00	11657.50	USD	-1,665.00	
29SEP17	B	6	PD20DEC17	10490.50	11657.50	USD	42,012.00	
29SEP17	B	2	PD20DEC17	10489.50	11657.50	USD	14,016.00	
	NET	0 AVG BGHT 10590.78	SOLD 11367.78				41,958.00	0.00
21SEP17	S	1	PD21DEC17	11265.00	11658.26	USD	-2,359.56	
21SEP17	S	1	PD21DEC17	11255.00	11658.26	USD	-2,419.56	
21SEP17	B	1	PD21DEC17	11210.00	11658.26	USD	2,689.56	
21SEP17	B	1	PD21DEC17	11200.00	11658.26	USD	2,749.56	
21SEP17	B	1	PD21DEC17	11165.00	11658.26	USD	2,959.56	
21SEP17	B	1	PD21DEC17	11160.00	11658.26	USD	2,989.56	
21SEP17	B	3	PD21DEC17	11000.00	11658.26	USD	11,848.68	
21SEP17	B	2	PD21DEC17	11005.00	11658.26	USD	7,839.12	
21SEP17	B	4	PD21DEC17	10995.00	11658.26	USD	15,918.24	
21SEP17	B	6	PD21DEC17	11085.00	11658.26	USD	20,637.36	
21SEP17	S	2	PD21DEC17	10805.00	11658.26	USD	-10,239.12	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP083GA

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
21SEP17	S	1	PD21DEC17	10720.00	11658.26	USD	-5,629.56	
21SEP17	S	2	PD21DEC17	10715.00	11658.26	USD	-11,319.12	
21SEP17	S	1	PD21DEC17	10650.00	11658.26	USD	-6,049.56	
21SEP17	S	1	PD21DEC17	10640.00	11658.26	USD	-6,109.56	
21SEP17	S	1	PD21DEC17	10830.00	11658.26	USD	-4,969.56	
21SEP17	S	1	PD21DEC17	10750.00	11658.26	USD	-5,449.56	
21SEP17	S	1	PD21DEC17	10625.00	11658.26	USD	-6,199.56	
29SEP17	S	2	PD21DEC17	10489.50	11658.26	USD	-14,025.12	
29SEP17	S	1	PD21DEC17	10490.50	11658.26	USD	-7,006.56	
	NET	+4 AVG BGHT 11065.00	SOLD 10749.63				-14,144.76	0.00
22SEP17	B	1	PD22DEC17	10790.00	11659.02	USD	5,214.12	
22SEP17	B	1	PD22DEC17	10785.00	11659.02	USD	5,244.12	
22SEP17	B	1	PD22DEC17	10800.00	11659.02	USD	5,154.12	
22SEP17	B	1	PD22DEC17	10770.00	11659.02	USD	5,334.12	
22SEP17	S	1	PD22DEC17	10715.00	11659.02	USD	-5,664.12	
22SEP17	S	2	PD22DEC17	10690.00	11659.02	USD	-11,628.24	
22SEP17	S	4	PD22DEC17	10695.00	11659.02	USD	-23,136.48	
22SEP17	S	1	PD22DEC17	10670.00	11659.02	USD	-5,934.12	
22SEP17	S	2	PD22DEC17	10675.00	11659.02	USD	-11,808.24	
22SEP17	B	1	PD22DEC17	10725.00	11659.02	USD	5,604.12	
22SEP17	B	1	PD22DEC17	10675.00	11659.02	USD	5,904.12	
22SEP17	B	1	PD22DEC17	10665.00	11659.02	USD	5,964.12	
22SEP17	B	1	PD22DEC17	10660.00	11659.02	USD	5,994.12	
22SEP17	B	1	PD22DEC17	10635.00	11659.02	USD	6,144.12	
22SEP17	S	3	PD22DEC17	10560.00	11659.02	USD	-19,782.36	
22SEP17	S	1	PD22DEC17	10555.00	11659.02	USD	-6,624.12	
22SEP17	S	1	PD22DEC17	10565.00	11659.02	USD	-6,564.12	
22SEP17	S	1	PD22DEC17	10550.00	11659.02	USD	-6,654.12	
22SEP17	B	1	PD22DEC17	10570.00	11659.02	USD	6,534.12	
22SEP17	B	2	PD22DEC17	10575.00	11659.02	USD	13,008.24	
22SEP17	B	5	PD22DEC17	10585.00	11659.02	USD	32,220.60	
22SEP17	B	1	PD22DEC17	10605.00	11659.02	USD	6,324.12	
22SEP17	B	3	PD22DEC17	10595.00	11659.02	USD	19,152.36	
22SEP17	B	5	PD22DEC17	10580.00	11659.02	USD	32,370.60	
22SEP17	B	3	PD22DEC17	10455.00	11659.02	USD	21,672.36	
22SEP17	B	1	PD22DEC17	10460.00	11659.02	USD	7,194.12	
29SEP17	S	14	PD22DEC17	10491.50	11659.02	USD	-98,071.68	
	NET	0 AVG BGHT 10608.83	SOLD 10570.87				-6,834.00	0.00
25SEP17	S	1	PD27DEC17	10640.00	11662.83	USD	-6,136.98	
25SEP17	S	1	PD27DEC17	10505.00	11662.83	USD	-6,946.98	
25SEP17	S	1	PD27DEC17	10595.00	11662.83	USD	-6,406.98	
25SEP17	S	1	PD27DEC17	10495.00	11662.83	USD	-7,006.98	
25SEP17	S	1	PD27DEC17	10575.00	11662.83	USD	-6,526.98	
25SEP17	S	2	PD27DEC17	10630.00	11662.83	USD	-12,393.96	
25SEP17	S	2	PD27DEC17	10635.00	11662.83	USD	-12,333.96	
25SEP17	S	1	PD27DEC17	10565.00	11662.83	USD	-6,586.98	
25SEP17	S	1	PD27DEC17	10625.00	11662.83	USD	-6,226.98	
25SEP17	S	1	PD27DEC17	10580.00	11662.83	USD	-6,496.98	
25SEP17	S	1	PD27DEC17	10500.00	11662.83	USD	-6,976.98	
25SEP17	S	2	PD27DEC17	10525.00	11662.83	USD	-13,653.96	
25SEP17	B	1	PD27DEC17	10525.00	11662.83	USD	6,826.98	
25SEP17	B	3	PD27DEC17	10520.00	11662.83	USD	20,570.94	
25SEP17	B	1	PD27DEC17	10515.00	11662.83	USD	6,886.98	
25SEP17	B	1	PD27DEC17	10535.00	11662.83	USD	6,766.98	
25SEP17	B	1	PD27DEC17	10530.00	11662.83	USD	6,796.98	
25SEP17	S	2	PD27DEC17	10550.00	11662.83	USD	-13,353.96	
25SEP17	S	1	PD27DEC17	10560.00	11662.83	USD	-6,616.98	

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
25SEP17	S	2	PD27DEC17	10590.00	11662.83	USD	-12,873.96	
25SEP17	S	5	PD27DEC17	10570.00	11662.83	USD	-32,784.90	
25SEP17	S	1	PD27DEC17	10560.00	11662.83	USD	-6,616.98	
26SEP17	B	1	PD27DEC17	10640.00	11662.83	USD	6,136.98	
26SEP17	B	3	PD27DEC17	10475.00	11662.83	USD	21,380.94	
26SEP17	B	5	PD27DEC17	10480.00	11662.83	USD	35,484.90	
26SEP17	S	1	PD27DEC17	10440.00	11662.83	USD	-7,336.98	
26SEP17	B	2	PD27DEC17	10445.00	11662.83	USD	14,613.96	
26SEP17	B	1	PD27DEC17	10525.00	11662.83	USD	6,826.98	
26SEP17	B	2	PD27DEC17	10520.00	11662.83	USD	13,713.96	
26SEP17	B	5	PD27DEC17	10515.00	11662.83	USD	34,434.90	
26SEP17	B	1	PD27DEC17	10500.00	11662.83	USD	6,976.98	
26SEP17	B	2	PD27DEC17	10485.00	11662.83	USD	14,133.96	
27SEP17	S	1	PD27DEC17	10450.00	11662.83	USD	-7,276.98	
27SEP17	S	1	PD27DEC17	10465.00	11662.83	USD	-7,186.98	
27SEP17	S	2	PD27DEC17	10470.00	11662.83	USD	-14,313.96	
27SEP17	S	1	PD27DEC17	10475.00	11662.83	USD	-7,126.98	
27SEP17	B	1	PD27DEC17	10475.00	11662.83	USD	7,126.98	
27SEP17	S	2	PD27DEC17	10490.00	11662.83	USD	-14,073.96	
27SEP17	S	2	PD27DEC17	10510.00	11662.83	USD	-13,833.96	
27SEP17	S	2	PD27DEC17	10525.00	11662.83	USD	-13,653.96	
27SEP17	S	2	PD27DEC17	10490.00	11662.83	USD	-14,073.96	
27SEP17	S	1	PD27DEC17	10530.00	11662.83	USD	-6,796.98	
27SEP17	S	1	PD27DEC17	10540.00	11662.83	USD	-6,736.98	
27SEP17	S	2	PD27DEC17	10545.00	11662.83	USD	-13,413.96	
27SEP17	S	1	PD27DEC17	10550.00	11662.83	USD	-6,676.98	
27SEP17	S	1	PD27DEC17	10355.00	11662.83	USD	-7,846.98	
27SEP17	S	1	PD27DEC17	10350.00	11662.83	USD	-7,876.98	
27SEP17	B	1	PD27DEC17	10385.00	11662.83	USD	7,666.98	
27SEP17	B	1	PD27DEC17	10375.00	11662.83	USD	7,726.98	
27SEP17	S	1	PD27DEC17	10285.00	11662.83	USD	-8,266.98	
27SEP17	S	1	PD27DEC17	10280.00	11662.83	USD	-8,296.98	
27SEP17	B	1	PD27DEC17	10325.00	11662.83	USD	8,026.98	
27SEP17	B	1	PD27DEC17	10350.00	11662.83	USD	7,876.98	
27SEP17	B	2	PD27DEC17	10365.00	11662.83	USD	15,573.96	
27SEP17	B	1	PD27DEC17	10360.00	11662.83	USD	7,816.98	
27SEP17	B	1	PD27DEC17	10320.00	11662.83	USD	8,056.98	
27SEP17	S	2	PD27DEC17	10290.00	11662.83	USD	-16,473.96	
27SEP17	S	1	PD27DEC17	10295.00	11662.83	USD	-8,206.98	
27SEP17	S	1	PD27DEC17	10340.00	11662.83	USD	-7,936.98	
29SEP17	B	15	PD27DEC17	10498.57	11662.83	USD	104,783.40	
	NET	0AVG BGHT 10479.78	SOLD 10507.64				8,858.70	0.00
28SEP17	B	1	PD28DEC17	10365.00	11663.59	USD	7,791.54	
28SEP17	B	2	PD28DEC17	10355.00	11663.59	USD	15,703.08	
28SEP17	B	1	PD28DEC17	10285.00	11663.59	USD	8,271.54	
28SEP17	B	1	PD28DEC17	10280.00	11663.59	USD	8,301.54	
28SEP17	S	2	PD28DEC17	10250.00	11663.59	USD	-16,963.08	
28SEP17	S	1	PD28DEC17	10255.00	11663.59	USD	-8,451.54	
28SEP17	S	1	PD28DEC17	10235.00	11663.59	USD	-8,571.54	
28SEP17	S	3	PD28DEC17	10260.00	11663.59	USD	-25,264.62	
28SEP17	B	1	PD28DEC17	10290.00	11663.59	USD	8,241.54	
28SEP17	B	1	PD28DEC17	10325.00	11663.59	USD	8,031.54	
28SEP17	B	2	PD28DEC17	10340.00	11663.59	USD	15,883.08	
28SEP17	B	1	PD28DEC17	10335.00	11663.59	USD	7,971.54	
28SEP17	B	1	PD28DEC17	10320.00	11663.59	USD	8,061.54	
28SEP17	B	2	PD28DEC17	10360.00	11663.59	USD	15,643.08	
28SEP17	B	1	PD28DEC17	10350.00	11663.59	USD	7,881.54	

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28SEP17	S 1 PD28DEC17	10485.00	11663.59	USD	-7,071.54	
	NET +6 AVG BGHT 10332.86 SOLD 10281.88				45,459.24	0.00
29SEP17	S 1 PD29DEC17	10515.00	11664.35	USD	-6,896.10	
29SEP17	S 1 PD29DEC17	10465.00	11664.35	USD	-7,196.10	
29SEP17	S 5 PD29DEC17	10470.00	11664.35	USD	-35,830.50	
29SEP17	S 4 PD29DEC17	10475.00	11664.35	USD	-28,544.40	
29SEP17	B 3 PD29DEC17	10430.00	11664.35	USD	22,218.30	
29SEP17	B 1 PD29DEC17	10450.00	11664.35	USD	7,286.10	
29SEP17	B 1 PD29DEC17	10460.00	11664.35	USD	7,226.10	
29SEP17	B 1 PD29DEC17	10470.00	11664.35	USD	7,166.10	
29SEP17	B 2 PD29DEC17	10475.00	11664.35	USD	14,272.20	
29SEP17	B 1 PD29DEC17	10490.00	11664.35	USD	7,046.10	
29SEP17	S 1 PD29DEC17	10470.00	11664.35	USD	-7,166.10	
29SEP17	B 1 PD29DEC17	10475.00	11664.35	USD	7,136.10	
	NET -2 AVG BGHT 10458.50 SOLD 10475.00				-13,282.20	0.00
09OCT17	S 1 PD09JAN18	10610.00	11672.72	USD	-6,376.32	
09OCT17	B 2 PD09JAN18	10750.00	11672.72	USD	11,072.64	
09OCT17	S 4 PD09JAN18	10980.00	11672.72	USD	-16,625.28	
09OCT17	S 2 PD09JAN18	10960.00	11672.72	USD	-8,552.64	
09OCT17	S 2 PD09JAN18	10965.00	11672.72	USD	-8,492.64	
09OCT17	S 2 PD09JAN18	10970.00	11672.72	USD	-8,432.64	
09OCT17	S 5 PD09JAN18	10975.00	11672.72	USD	-20,931.60	
09OCT17	B 3 PD09JAN18	10980.00	11672.72	USD	12,468.96	
09OCT17	B 5 PD09JAN18	10990.00	11672.72	USD	20,481.60	
	NET -6 AVG BGHT 10939.00 SOLD 10949.69				-25,387.92	0.00
10OCT17	B 2 PD10JAN18	10955.00	11673.48	USD	8,621.76	
10OCT17	B 2 PD10JAN18	10950.00	11673.48	USD	8,681.76	
10OCT17	B 1 PD10JAN18	11005.00	11673.48	USD	4,010.88	
10OCT17	B 1 PD10JAN18	11000.00	11673.48	USD	4,040.88	
10OCT17	B 2 PD10JAN18	10980.00	11673.48	USD	8,321.76	
10OCT17	B 1 PD10JAN18	10995.00	11673.48	USD	4,070.88	
10OCT17	B 2 PD10JAN18	10990.00	11673.48	USD	8,201.76	
10OCT17	S 2 PD10JAN18	11035.00	11673.48	USD	-7,661.76	
10OCT17	S 1 PD10JAN18	11040.00	11673.48	USD	-3,800.88	
10OCT17	S 1 PD10JAN18	11025.00	11673.48	USD	-3,890.88	
	NET +7 AVG BGHT 10977.27 SOLD 11033.75				30,596.16	0.00
	<u>LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
21JUL17	S 1 PD20OCT17	20150.00	20730.00	USD	-2,900.00	
08AUG17	B 1 PD20OCT17	20225.60	20730.00	USD	2,522.00	
	NET 0 AVG BGHT 20225.60 SOLD 20150.00				-378.00	0.00
24JUL17	B 1 PD24OCT17	20250.00	20725.00	USD	2,375.00	
24JUL17	B 1 PD24OCT17	20200.00	20725.00	USD	2,625.00	
08AUG17	S 1 PD24OCT17	20222.20	20725.00	USD	-2,514.00	
08AUG17	S 1 PD24OCT17	20223.20	20725.00	USD	-2,509.00	
	NET 0 AVG BGHT 20225.00 SOLD 20222.70				-23.00	0.00
26JUL17	S 1 PD26OCT17	20310.00	20720.00	USD	-2,050.00	
26JUL17	S 1 PD26OCT17	20335.00	20720.00	USD	-1,925.00	
26JUL17	B 2 PD26OCT17	20375.00	20720.00	USD	3,450.00	
	NET 0 AVG BGHT 20375.00 SOLD 20322.50				-525.00	0.00
27JUL17	B 1 PD27OCT17	20425.00	20717.50	USD	1,462.50	
27JUL17	S 1 PD27OCT17	20750.00	20717.50	USD	162.50	
28JUL17	S 1 PD27OCT17	20700.00	20717.50	USD	-87.50	
28JUL17	S 1 PD27OCT17	20835.00	20717.50	USD	587.50	
28JUL17	B 1 PD27OCT17	20700.00	20717.50	USD	87.50	

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08AUG17	B	1	PD27OCT17		20220.60	20717.50	USD	2,484.50	
	NET	0 AVG	BGHT 20448.53	SOLD 20761.67				4,697.00	0.00
31JUL17	S	1	PD31OCT17		20820.00	20712.50	USD	537.50	
31JUL17	B	1	PD31OCT17		20635.00	20712.50	USD	387.50	
	NET	0 AVG	BGHT 20635.00	SOLD 20820.00				925.00	0.00
01AUG17	S	1	PD01NOV17		20650.00	20710.00	USD	-300.00	
01AUG17	B	1	PD01NOV17		20500.00	20710.00	USD	1,050.00	
01AUG17	S	1	PD01NOV17		20525.00	20710.00	USD	-925.00	
08AUG17	B	1	PD01NOV17		20216.00	20710.00	USD	2,470.00	
	NET	0 AVG	BGHT 20358.00	SOLD 20587.50				2,295.00	0.00
02AUG17	B	1	PD02NOV17		20475.00	20707.50	USD	1,162.50	
08AUG17	S	1	PD02NOV17		20213.80	20707.50	USD	-2,468.50	
	NET	0 AVG	BGHT 20475.00	SOLD 20213.80				-1,306.00	0.00
07AUG17	B	1	PD07NOV17		20665.00	20700.00	USD	175.00	
06SEP17	S	1	PD07NOV17		20827.50	20700.00	USD	637.50	
	NET	0 AVG	BGHT 20665.00	SOLD 20827.50				812.50	0.00
08AUG17	B	1	PD08NOV17		20335.00	20697.50	USD	1,812.50	
06SEP17	S	1	PD08NOV17		20826.25	20697.50	USD	643.75	
	NET	0 AVG	BGHT 20335.00	SOLD 20826.25				2,456.25	0.00
10AUG17	B	1	PD10NOV17		20300.00	20692.50	USD	1,962.50	
10AUG17	S	1	PD10NOV17		20315.00	20692.50	USD	-1,887.50	
11AUG17	S	1	PD10NOV17		20200.00	20692.50	USD	-2,462.50	
06SEP17	B	1	PD10NOV17		20824.75	20692.50	USD	-661.25	
	NET	0 AVG	BGHT 20562.38	SOLD 20257.50				-3,048.75	0.00
15AUG17	S	1	PD15NOV17		20250.00	20685.00	USD	-2,175.00	
15AUG17	B	1	PD15NOV17		19830.00	20685.00	USD	4,275.00	
	NET	0 AVG	BGHT 19830.00	SOLD 20250.00				2,100.00	0.00
18AUG17	S	1	PD17NOV17		20350.00	20681.25	USD	-1,656.25	
18AUG17	S	1	PD17NOV17		20115.00	20681.25	USD	-2,831.25	
18AUG17	B	1	PD17NOV17		20350.00	20681.25	USD	1,656.25	
18AUG17	B	1	PD17NOV17		20160.00	20681.25	USD	2,606.25	
	NET	0 AVG	BGHT 20255.00	SOLD 20232.50				-225.00	0.00
21AUG17	S	1	PD21NOV17		20335.00	20677.50	USD	-1,712.50	
06SEP17	B	1	PD21NOV17		20812.43	20677.50	USD	-674.65	
	NET	0 AVG	BGHT 20812.43	SOLD 20335.00				-2,387.15	0.00
22AUG17	S	1	PD22NOV17		20450.00	20675.63	USD	-1,128.15	
06SEP17	B	1	PD22NOV17		20809.29	20675.63	USD	-668.30	
	NET	0 AVG	BGHT 20809.29	SOLD 20450.00				-1,796.45	0.00
29AUG17	B	1	PD29NOV17		20550.00	20668.13	USD	590.65	
29AUG17	B	1	PD29NOV17		20470.00	20668.13	USD	990.65	
06SEP17	S	1	PD29NOV17		20799.71	20668.13	USD	657.90	
06SEP17	S	1	PD29NOV17		20800.71	20668.13	USD	662.90	
	NET	0 AVG	BGHT 20510.00	SOLD 20800.21				2,902.10	0.00
30AUG17	S	1	PD30NOV17		20360.00	20666.25	USD	-1,531.25	
06SEP17	B	1	PD30NOV17		20799.57	20666.25	USD	-666.60	
	NET	0 AVG	BGHT 20799.57	SOLD 20360.00				-2,197.85	0.00
04SEP17	B	1	PD04DEC17		20735.00	20662.50	USD	-362.50	
13SEP17	S	1	PD04DEC17		20526.05	20662.50	USD	-682.25	
	NET	0 AVG	BGHT 20735.00	SOLD 20526.05				-1,044.75	0.00
05SEP17	S	1	PD05DEC17		20755.00	20660.63	USD	471.85	
13SEP17	B	1	PD05DEC17		20525.47	20660.63	USD	675.80	
	NET	0 AVG	BGHT 20525.47	SOLD 20755.00				1,147.65	0.00
06SEP17	S	1	PD06DEC17		20610.00	20658.75	USD	-243.75	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP083GA

13th October 2017

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Date	Open Position				Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>								
20SEP17	B	1	PD06DEC17		20641.67	20658.75	USD	85.40	
	NET	0 AVG BGHT 20641.67		SOLD 20610.00				-158.35	0.00
07SEP17	S	1	PD07DEC17		20755.00	20656.88	USD	490.60	
07SEP17	B	1	PD07DEC17		20730.00	20656.88	USD	-365.60	
	NET	0 AVG BGHT 20730.00		SOLD 20755.00				125.00	0.00
08SEP17	B	1	PD08DEC17		20785.00	20655.00	USD	-650.00	
08SEP17	S	1	PD08DEC17		20700.00	20655.00	USD	225.00	
	NET	0 AVG BGHT 20785.00		SOLD 20700.00				-425.00	0.00
11SEP17	S	1	PD11DEC17		20700.00	20653.13	USD	234.35	
20SEP17	B	1	PD11DEC17		20636.67	20653.13	USD	82.30	
	NET	0 AVG BGHT 20636.67		SOLD 20700.00				316.65	0.00
13SEP17	B	1	PD13DEC17		20665.00	20649.38	USD	-78.10	
20SEP17	S	1	PD13DEC17		20632.33	20649.38	USD	-85.25	
	NET	0 AVG BGHT 20665.00		SOLD 20632.33				-163.35	0.00
14SEP17	B	1	PD14DEC17		20570.00	20647.50	USD	387.50	
20SEP17	S	1	PD14DEC17		20630.67	20647.50	USD	-84.15	
	NET	0 AVG BGHT 20570.00		SOLD 20630.67				303.35	0.00
15SEP17	S	1	PD15DEC17		20555.00	20645.63	USD	-453.15	
15SEP17	S	1	PD15DEC17		20505.00	20645.63	USD	-703.15	
20SEP17	B	1	PD15DEC17		20630.00	20645.63	USD	78.15	
29SEP17	B	1	PD15DEC17		20726.25	20645.63	USD	-403.10	
	NET	0 AVG BGHT 20678.13		SOLD 20530.00				-1,481.25	0.00
19SEP17	B	1	PD19DEC17		20695.00	20641.88	USD	-265.60	
20SEP17	S	1	PD19DEC17		20625.67	20641.88	USD	-81.05	
	NET	0 AVG BGHT 20695.00		SOLD 20625.67				-346.65	0.00
20SEP17	B	1	PD20DEC17		20655.00	20640.00	USD	-75.00	
20SEP17	S	1	PD20DEC17		20660.00	20640.00	USD	100.00	
20SEP17	B	1	PD20DEC17		20685.00	20640.00	USD	-225.00	
29SEP17	S	1	PD20DEC17		20719.00	20640.00	USD	395.00	
	NET	0 AVG BGHT 20670.00		SOLD 20689.50				195.00	0.00
21SEP17	S	1	PD21DEC17		20600.00	20637.14	USD	-185.70	
	NET	-1 AVG SOLD 20600.00						-185.70	0.00
28SEP17	S	1	PD28DEC17		20625.00	20628.57	USD	-17.85	
28SEP17	B	1	PD28DEC17		20660.00	20628.57	USD	-157.15	
	NET	0 AVG BGHT 20660.00		SOLD 20625.00				-175.00	0.00
29SEP17	S	1	PD29DEC17		20695.00	20625.71	USD	346.45	
	NET	-1 AVG SOLD 20695.00						346.45	0.00
09OCT17	B	1	PD09JAN18		20700.00	20608.57	USD	-457.15	
09OCT17	B	1	PD09JAN18		20625.00	20608.57	USD	-82.15	
	NET	+2 AVG BGHT 20662.50						-539.30	0.00
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>								
25JUL17	B	1	PD25OCT17		2834.00	3273.00	USD	10,975.00	
25JUL17	S	1	PD25OCT17		2822.00	3273.00	USD	-11,275.00	
	NET	0 AVG BGHT 2834.00		SOLD 2822.00				-300.00	0.00
26JUL17	B	1	PD26OCT17		2811.50	3271.87	USD	11,509.25	
08AUG17	S	1	PD26OCT17		2924.00	3271.87	USD	-8,696.75	
	NET	0 AVG BGHT 2811.50		SOLD 2924.00				2,812.50	0.00
28JUL17	S	1	PD27OCT17		2805.50	3270.73	USD	-11,630.75	
28JUL17	B	1	PD27OCT17		2780.00	3270.73	USD	12,268.25	
	NET	0 AVG BGHT 2780.00		SOLD 2805.50				637.50	0.00
01AUG17	S	1	PD01NOV17		2778.50	3267.33	USD	-12,220.75	

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
08AUG17	B 1 PD01NOV17	2924.37	3267.33	USD	8,574.00	
	NET 0 AVG BGHT 2924.37 SOLD 2778.50				-3,646.75	0.00
03AUG17	B 1 PD03NOV17	2794.00	3265.07	USD	11,776.75	
13SEP17	S 1 PD03NOV17	3019.43	3265.07	USD	-6,141.00	
	NET 0 AVG BGHT 2794.00 SOLD 3019.43				5,635.75	0.00
07AUG17	B 1 PD07NOV17	2866.00	3262.80	USD	9,920.00	
06SEP17	S 1 PD07NOV17	3092.61	3262.80	USD	-4,254.75	
	NET 0 AVG BGHT 2866.00 SOLD 3092.61				5,665.25	0.00
08AUG17	S 1 PD08NOV17	2883.00	3261.67	USD	-9,466.75	
08AUG17	B 1 PD08NOV17	2914.00	3261.67	USD	8,691.75	
	NET 0 AVG BGHT 2914.00 SOLD 2883.00				-775.00	0.00
09AUG17	S 1 PD09NOV17	2974.50	3260.53	USD	-7,150.75	
06SEP17	B 1 PD09NOV17	3093.03	3260.53	USD	4,187.50	
	NET 0 AVG BGHT 3093.03 SOLD 2974.50				-2,963.25	0.00
10AUG17	B 1 PD10NOV17	2942.50	3259.40	USD	7,922.50	
10AUG17	S 1 PD10NOV17	2938.50	3259.40	USD	-8,022.50	
10AUG17	B 1 PD10NOV17	2912.00	3259.40	USD	8,685.00	
11AUG17	S 1 PD10NOV17	2913.00	3259.40	USD	-8,660.00	
	NET 0 AVG BGHT 2927.25 SOLD 2925.75				-75.00	0.00
14AUG17	S 1 PD14NOV17	2915.00	3257.13	USD	-8,553.25	
06SEP17	B 1 PD14NOV17	3093.25	3257.13	USD	4,097.00	
	NET 0 AVG BGHT 3093.25 SOLD 2915.00				-4,456.25	0.00
16AUG17	B 1 PD16NOV17	3051.00	3253.50	USD	5,062.50	
16AUG17	B 1 PD16NOV17	3108.50	3253.50	USD	3,625.00	
06SEP17	S 1 PD16NOV17	3090.80	3253.50	USD	-4,067.50	
06SEP17	S 1 PD16NOV17	3091.00	3253.50	USD	-4,062.50	
	NET 0 AVG BGHT 3079.75 SOLD 3090.90				557.50	0.00
17AUG17	B 1 PD17NOV17	3120.50	3251.00	USD	3,262.50	
17AUG17	B 1 PD17NOV17	3105.50	3251.00	USD	3,637.50	
18AUG17	B 1 PD17NOV17	3109.50	3251.00	USD	3,537.50	
18AUG17	S 1 PD17NOV17	3112.50	3251.00	USD	-3,462.50	
18AUG17	S 1 PD17NOV17	3114.50	3251.00	USD	-3,412.50	
06SEP17	S 1 PD17NOV17	3091.00	3251.00	USD	-4,000.00	
	NET 0 AVG BGHT 3111.83 SOLD 3106.00				-437.50	0.00
21AUG17	S 1 PD21NOV17	3133.50	3249.25	USD	-2,893.75	
21AUG17	B 1 PD21NOV17	3132.50	3249.25	USD	2,918.75	
	NET 0 AVG BGHT 3132.50 SOLD 3133.50				25.00	0.00
22AUG17	S 1 PD22NOV17	3149.50	3248.38	USD	-2,472.00	
22AUG17	S 1 PD22NOV17	3132.00	3248.38	USD	-2,909.50	
22AUG17	S 1 PD22NOV17	3099.50	3248.38	USD	-3,722.00	
06SEP17	B 2 PD22NOV17	3092.81	3248.38	USD	7,778.50	
06SEP17	B 1 PD22NOV17	3092.61	3248.38	USD	3,894.25	
	NET 0 AVG BGHT 3092.74 SOLD 3127.00				2,569.25	0.00
23AUG17	B 1 PD24NOV17	3106.50	3247.50	USD	3,525.00	
25AUG17	S 1 PD24NOV17	3065.50	3247.50	USD	-4,550.00	
	NET 0 AVG BGHT 3106.50 SOLD 3065.50				-1,025.00	0.00
31AUG17	B 1 PD30NOV17	3103.50	3246.50	USD	3,575.00	
31AUG17	S 1 PD30NOV17	3135.50	3246.50	USD	-2,775.00	
31AUG17	B 1 PD30NOV17	3155.00	3246.50	USD	2,287.50	
31AUG17	S 1 PD30NOV17	3154.00	3246.50	USD	-2,312.50	
	NET 0 AVG BGHT 3129.25 SOLD 3144.75				775.00	0.00
01SEP17	B 1 PD01DEC17	3142.00	3246.25	USD	2,606.25	
01SEP17	B 1 PD01DEC17	3180.00	3246.25	USD	1,656.25	

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
06SEP17	S	1	PD01DEC17	3093.55	3246.25	USD	-3,817.50	
06SEP17	S	1	PD01DEC17	3093.75	3246.25	USD	-3,812.50	
	NET	0 AVG BGHT 3161.00	SOLD 3093.65				-3,367.50	0.00
04SEP17	S	1	PD04DEC17	3194.50	3246.00	USD	-1,287.50	
06SEP17	B	1	PD04DEC17	3094.70	3246.00	USD	3,782.50	
	NET	0 AVG BGHT 3094.70	SOLD 3194.50				2,495.00	0.00
05SEP17	S	1	PD05DEC17	3182.00	3245.75	USD	-1,593.75	
05SEP17	S	1	PD05DEC17	3149.50	3245.75	USD	-2,406.25	
13SEP17	B	1	PD05DEC17	3023.76	3245.75	USD	5,549.75	
13SEP17	B	1	PD05DEC17	3023.56	3245.75	USD	5,554.75	
	NET	0 AVG BGHT 3023.66	SOLD 3165.75				7,104.50	0.00
06SEP17	B	1	PD06DEC17	3092.00	3245.50	USD	3,837.50	
13SEP17	S	1	PD06DEC17	3023.43	3245.50	USD	-5,551.75	
	NET	0 AVG BGHT 3092.00	SOLD 3023.43				-1,714.25	0.00
07SEP17	S	1	PD07DEC17	3079.00	3245.25	USD	-4,156.25	
13SEP17	B	1	PD07DEC17	3023.69	3245.25	USD	5,539.00	
	NET	0 AVG BGHT 3023.69	SOLD 3079.00				1,382.75	0.00
08SEP17	S	1	PD08DEC17	3135.00	3245.00	USD	-2,750.00	
08SEP17	B	1	PD08DEC17	3143.00	3245.00	USD	2,550.00	
08SEP17	S	1	PD08DEC17	3090.50	3245.00	USD	-3,862.50	
08SEP17	B	1	PD08DEC17	3094.00	3245.00	USD	3,775.00	
	NET	0 AVG BGHT 3118.50	SOLD 3112.75				-287.50	0.00
11SEP17	B	1	PD11DEC17	3056.00	3244.75	USD	4,718.75	
11SEP17	B	1	PD11DEC17	3055.00	3244.75	USD	4,743.75	
13SEP17	S	1	PD11DEC17	3023.47	3244.75	USD	-5,532.00	
20SEP17	S	1	PD11DEC17	3129.61	3244.75	USD	-2,878.50	
	NET	0 AVG BGHT 3055.50	SOLD 3076.54				1,052.00	0.00
12SEP17	B	1	PD12DEC17	3093.00	3244.50	USD	3,787.50	
12SEP17	S	1	PD12DEC17	3092.50	3244.50	USD	-3,800.00	
	NET	0 AVG BGHT 3093.00	SOLD 3092.50				-12.50	0.00
13SEP17	B	1	PD13DEC17	3068.50	3244.25	USD	4,393.75	
13SEP17	S	1	PD13DEC17	3058.00	3244.25	USD	-4,656.25	
	NET	0 AVG BGHT 3068.50	SOLD 3058.00				-262.50	0.00
14SEP17	B	1	PD14DEC17	3005.50	3244.00	USD	5,962.50	
29SEP17	S	1	PD14DEC17	3165.63	3244.00	USD	-1,959.25	
	NET	0 AVG BGHT 3005.50	SOLD 3165.63				4,003.25	0.00
15SEP17	S	1	PD15DEC17	3001.50	3243.75	USD	-6,056.25	
20SEP17	B	1	PD15DEC17	3130.87	3243.75	USD	2,822.00	
	NET	0 AVG BGHT 3130.87	SOLD 3001.50				-3,234.25	0.00
18SEP17	B	1	PD18DEC17	3074.00	3243.50	USD	4,237.50	
18SEP17	S	1	PD18DEC17	3075.50	3243.50	USD	-4,200.00	
18SEP17	B	1	PD18DEC17	3089.00	3243.50	USD	3,862.50	
18SEP17	B	1	PD18DEC17	3102.00	3243.50	USD	3,537.50	
29SEP17	S	2	PD18DEC17	3165.88	3243.50	USD	-3,881.00	
	NET	0 AVG BGHT 3088.33	SOLD 3135.75				3,556.50	0.00
19SEP17	S	1	PD19DEC17	3107.50	3243.25	USD	-3,393.75	
19SEP17	S	1	PD19DEC17	3104.50	3243.25	USD	-3,468.75	
19SEP17	B	2	PD19DEC17	3112.50	3243.25	USD	6,537.50	
19SEP17	B	1	PD19DEC17	3109.00	3243.25	USD	3,356.25	
29SEP17	S	1	PD19DEC17	3165.94	3243.25	USD	-1,932.75	
	NET	0 AVG BGHT 3111.33	SOLD 3125.98				1,098.50	0.00
20SEP17	S	1	PD20DEC17	3135.00	3243.00	USD	-2,700.00	
20SEP17	S	1	PD20DEC17	3137.00	3243.00	USD	-2,650.00	

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
29SEP17	B 2 PD20DEC17	3166.20	3243.00	USD	3,840.00	
	NET 0 AVG BGHT 3166.20 SOLD 3136.00				-1,510.00	0.00
21SEP17	S 1 PD21DEC17	3078.00	3240.00	USD	-4,050.00	
21SEP17	S 1 PD21DEC17	3027.50	3240.00	USD	-5,312.50	
29SEP17	B 2 PD21DEC17	3162.95	3240.00	USD	3,852.50	
	NET 0 AVG BGHT 3162.95 SOLD 3052.75				-5,510.00	0.00
26SEP17	B 1 PD27DEC17	3127.00	3239.23	USD	2,805.75	
26SEP17	S 1 PD27DEC17	3112.00	3239.23	USD	-3,180.75	
27SEP17	B 1 PD27DEC17	3138.50	3239.23	USD	2,518.25	
27SEP17	S 1 PD27DEC17	3111.50	3239.23	USD	-3,193.25	
	NET 0 AVG BGHT 3132.75 SOLD 3111.75				-1,050.00	0.00
28SEP17	B 1 PD28DEC17	3141.00	3238.85	USD	2,446.25	
28SEP17	S 1 PD28DEC17	3156.50	3238.85	USD	-2,058.75	
	NET 0 AVG BGHT 3141.00 SOLD 3156.50				387.50	0.00
29SEP17	B 1 PD29DEC17	3159.00	3238.46	USD	1,986.50	
	NET +1 AVG BGHT 3159.00				1,986.50	0.00
09OCT17	S 1 PD09JAN18	3262.00	3236.15	USD	646.25	
	NET -1 AVG SOLD 3262.00				646.25	0.00

OPEN POSITION VALUE

		<u>USD</u>
LME Aluminium 25 Tonnes.	FUTURES	32,644.50
LME Copper Grade A 25	FUTURES	-602,355.00
CMX Gold	FUTURES	-645,070.00
LME Lead 25 tonnes. This is an	FUTURES	72,815.00
LME Nickel 6 tonnes. This is	FUTURES	-537,105.36
LME Tin 5 tonnes. This is an	FUTURES	2,443.95
LME Zinc 25 tonnes. This is	FUTURES	11,705.50

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options	Amount	Profit / Loss	Total Amount	Total P&L
GOLD - Futures USD	7,230.00	7,230.00	7,230.00	7,230.00
Unrealised Profits & Losses - Forwards	Amount	Profit / Loss	Total Amount	Total P&L
ALUL - Futures USD	32,758.50	32,758.50		
COPL - Futures USD	-87,323.50	-87,323.50		
LEAD - Futures USD	9,572.50	9,572.50		
NKL - Futures USD	92,678.16	92,678.16		
TIN - Futures USD	2,215.40	2,215.40		
ZINC - Futures USD	11,763.25	11,763.25	61,664.31	61,664.31

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ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		78,974.02	78,974.02
Variation Margins	- Futures	7,230.00	7,230.00
	- Options	0.00	0.00
TOTAL EQUITY		86,204.02	86,204.02
Initial Margins		-67,768.69	-67,768.69
Excess/(Shortage)		18,435.33	18,435.33
FOR INFORMATION ONLY			
Forward Unrealised P&L		61,664.31	61,664.31
Net Liquidating Value		147,868.33	147,868.33

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	<u>LME ALUMINIUM 25 TONNES. THIS IS AN</u>							
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17JUL17	S	1	PD17OCT17	1933.50	2109.75	USD	-4,406.25	
17JUL17	B	1	PD17OCT17	1936.00	2109.75	USD	4,343.75	
17JUL17	B	1	PD17OCT17	1933.50	2109.75	USD	4,406.25	
17JUL17	S	1	PD17OCT17	1935.50	2109.75	USD	-4,356.25	
17JUL17	S	5	PD17OCT17	1934.00	2109.75	USD	-21,968.75	
17JUL17	S	1	PD17OCT17	1937.50	2109.75	USD	-4,306.25	
17JUL17	S	2	PD17OCT17	1939.50	2109.75	USD	-8,512.50	
17JUL17	B	1	PD17OCT17	1933.00	2109.75	USD	4,418.75	
17JUL17	B	1	PD17OCT17	1926.00	2109.75	USD	4,593.75	
17JUL17	S	1	PD17OCT17	1939.50	2109.75	USD	-4,256.25	
17JUL17	B	1	PD17OCT17	1924.00	2109.75	USD	4,643.75	
17JUL17	B	1	PD17OCT17	1923.00	2109.75	USD	4,668.75	
17JUL17	B	7	PD17OCT17	1925.00	2109.75	USD	32,331.25	
17JUL17	B	3	PD17OCT17	1924.50	2109.75	USD	13,893.75	
17JUL17	S	1	PD17OCT17	1926.00	2109.75	USD	-4,593.75	
17JUL17	S	1	PD17OCT17	1927.00	2109.75	USD	-4,568.75	
17JUL17	B	2	PD17OCT17	1927.00	2109.75	USD	9,137.50	
17JUL17	B	5	PD17OCT17	1923.50	2109.75	USD	23,281.25	
17JUL17	B	1	PD17OCT17	1912.00	2109.75	USD	4,943.75	
17JUL17	B	1	PD17OCT17	1911.00	2109.75	USD	4,968.75	
17JUL17	S	1	PD17OCT17	1904.00	2109.75	USD	-5,143.75	
17JUL17	S	1	PD17OCT17	1906.50	2109.75	USD	-5,081.25	
17JUL17	S	2	PD17OCT17	1915.00	2109.75	USD	-9,737.50	
17JUL17	S	1	PD17OCT17	1913.00	2109.75	USD	-4,918.75	
17JUL17	S	2	PD17OCT17	1914.00	2109.75	USD	-9,787.50	
17JUL17	S	1	PD17OCT17	1913.50	2109.75	USD	-4,906.25	
17JUL17	S	1	PD17OCT17	1912.50	2109.75	USD	-4,931.25	
19JUL17	S	3	PD17OCT17	1919.83	2109.75	USD	-14,244.00	
	NET	0AVG BGHT 1924.74	SOLD 1924.60				-87.75	0.00
18JUL17	B	1	PD18OCT17	1907.00	2110.25	USD	5,081.25	
18JUL17	S	1	PD18OCT17	1905.50	2110.25	USD	-5,118.75	
18JUL17	S	1	PD18OCT17	1908.50	2110.25	USD	-5,043.75	
18JUL17	B	1	PD18OCT17	1903.00	2110.25	USD	5,181.25	
18JUL17	S	2	PD18OCT17	1914.00	2110.25	USD	-9,812.50	
18JUL17	S	1	PD18OCT17	1918.00	2110.25	USD	-4,806.25	
18JUL17	S	1	PD18OCT17	1920.50	2110.25	USD	-4,743.75	
18JUL17	S	2	PD18OCT17	1923.00	2110.25	USD	-9,362.50	
18JUL17	S	2	PD18OCT17	1924.00	2110.25	USD	-9,312.50	
18JUL17	S	1	PD18OCT17	1915.50	2110.25	USD	-4,868.75	
18JUL17	S	1	PD18OCT17	1924.50	2110.25	USD	-4,643.75	
18JUL17	S	1	PD18OCT17	1931.50	2110.25	USD	-4,468.75	
18JUL17	S	2	PD18OCT17	1930.00	2110.25	USD	-9,012.50	
18JUL17	S	3	PD18OCT17	1931.00	2110.25	USD	-13,443.75	
05SEP17	B	16	PD18OCT17	2086.25	2110.25	USD	9,600.00	
	NET	0AVG BGHT 2066.11	SOLD 1922.17				-64,775.00	0.00
19JUL17	B	3	PD19OCT17	1926.00	2110.75	USD	13,856.25	
19JUL17	S	1	PD19OCT17	1927.50	2110.75	USD	-4,581.25	
19JUL17	S	1	PD19OCT17	1931.50	2110.75	USD	-4,481.25	
19JUL17	S	2	PD19OCT17	1931.00	2110.75	USD	-8,987.50	
19JUL17	S	1	PD19OCT17	1935.00	2110.75	USD	-4,393.75	
19JUL17	S	3	PD19OCT17	1934.50	2110.75	USD	-13,218.75	
19JUL17	S	1	PD19OCT17	1936.00	2110.75	USD	-4,368.75	
19JUL17	S	1	PD19OCT17	1935.50	2110.75	USD	-4,381.25	
19JUL17	S	1	PD19OCT17	1937.50	2110.75	USD	-4,331.25	
19JUL17	B	1	PD19OCT17	1931.00	2110.75	USD	4,493.75	
19JUL17	B	1	PD19OCT17	1931.50	2110.75	USD	4,481.25	
19JUL17	B	1	PD19OCT17	1930.00	2110.75	USD	4,518.75	

OPEN POSITION STATEMENT



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SAP083XA

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	<u>LME ALUMINIUM 25 TONNES. THIS IS AN</u>							
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19JUL17	B	2	PD19OCT17	1936.50	2110.75	USD	8,712.50	
19JUL17	B	1	PD19OCT17	1937.00	2110.75	USD	4,343.75	
19JUL17	B	4	PD19OCT17	1936.00	2110.75	USD	17,475.00	
19JUL17	B	1	PD19OCT17	1932.00	2110.75	USD	4,468.75	
19JUL17	S	1	PD19OCT17	1932.00	2110.75	USD	-4,468.75	
19JUL17	S	1	PD19OCT17	1934.00	2110.75	USD	-4,418.75	
19JUL17	S	1	PD19OCT17	1937.00	2110.75	USD	-4,343.75	
19JUL17	B	1	PD19OCT17	1937.50	2110.75	USD	4,331.25	
19JUL17	B	2	PD19OCT17	1935.50	2110.75	USD	8,762.50	
19JUL17	B	3	PD19OCT17	1934.00	2110.75	USD	13,256.25	
19JUL17	B	3	PD19OCT17	1932.50	2110.75	USD	13,368.75	
19JUL17	B	3	PD19OCT17	1933.50	2110.75	USD	13,293.75	
19JUL17	B	2	PD19OCT17	1929.00	2110.75	USD	9,087.50	
19JUL17	B	1	PD19OCT17	1928.00	2110.75	USD	4,568.75	
19JUL17	S	3	PD19OCT17	1928.50	2110.75	USD	-13,668.75	
19JUL17	B	1	PD19OCT17	1924.50	2110.75	USD	4,656.25	
19JUL17	B	1	PD19OCT17	1922.50	2110.75	USD	4,706.25	
19JUL17	B	1	PD19OCT17	1922.00	2110.75	USD	4,718.75	
19JUL17	S	1	PD19OCT17	1924.00	2110.75	USD	-4,668.75	
05SEP17	S	14	PD19OCT17	2085.80	2110.75	USD	-8,732.50	
	NET	0AVG BGHT 1931.88	SOLD 1999.44				54,055.00	0.00
20JUL17	S	3	PD20OCT17	1927.00	2111.25	USD	-13,818.75	
20JUL17	B	1	PD20OCT17	1928.00	2111.25	USD	4,581.25	
20JUL17	B	1	PD20OCT17	1925.00	2111.25	USD	4,656.25	
20JUL17	B	2	PD20OCT17	1924.50	2111.25	USD	9,337.50	
20JUL17	S	2	PD20OCT17	1927.50	2111.25	USD	-9,187.50	
20JUL17	S	1	PD20OCT17	1928.00	2111.25	USD	-4,581.25	
20JUL17	S	1	PD20OCT17	1928.50	2111.25	USD	-4,568.75	
20JUL17	B	1	PD20OCT17	1924.00	2111.25	USD	4,681.25	
20JUL17	B	1	PD20OCT17	1923.00	2111.25	USD	4,706.25	
20JUL17	B	2	PD20OCT17	1921.00	2111.25	USD	9,512.50	
20JUL17	B	1	PD20OCT17	1918.50	2111.25	USD	4,818.75	
21JUL17	S	1	PD20OCT17	1931.00	2111.25	USD	-4,506.25	
21JUL17	S	1	PD20OCT17	1932.50	2111.25	USD	-4,468.75	
21JUL17	B	1	PD20OCT17	1926.00	2111.25	USD	4,631.25	
21JUL17	B	1	PD20OCT17	1925.50	2111.25	USD	4,643.75	
05SEP17	S	2	PD20OCT17	2086.06	2111.25	USD	-1,259.50	
	NET	0AVG BGHT 1923.73	SOLD 1957.10				9,178.00	0.00
24JUL17	B	1	PD24OCT17	1921.50	2113.25	USD	4,793.75	
24JUL17	B	1	PD24OCT17	1921.00	2113.25	USD	4,806.25	
24JUL17	S	1	PD24OCT17	1923.00	2113.25	USD	-4,756.25	
24JUL17	S	1	PD24OCT17	1923.50	2113.25	USD	-4,743.75	
24JUL17	S	1	PD24OCT17	1922.00	2113.25	USD	-4,781.25	
24JUL17	B	1	PD24OCT17	1914.50	2113.25	USD	4,968.75	
	NET	0AVG BGHT 1919.00	SOLD 1922.83				287.50	0.00
25JUL17	S	1	PD25OCT17	1924.00	2113.75	USD	-4,743.75	
25JUL17	B	1	PD25OCT17	1919.50	2113.75	USD	4,856.25	
25JUL17	S	1	PD25OCT17	1943.50	2113.75	USD	-4,256.25	
25JUL17	S	1	PD25OCT17	1948.00	2113.75	USD	-4,143.75	
25JUL17	B	1	PD25OCT17	1940.00	2113.75	USD	4,343.75	
25JUL17	S	4	PD25OCT17	1939.50	2113.75	USD	-17,425.00	
25JUL17	B	1	PD25OCT17	1939.50	2113.75	USD	4,356.25	
05SEP17	B	4	PD25OCT17	2087.56	2113.75	USD	2,619.00	
	NET	0AVG BGHT 2021.32	SOLD 1939.07				-14,393.50	0.00
26JUL17	S	2	PD26OCT17	1957.50	2114.25	USD	-7,837.50	
26JUL17	S	2	PD26OCT17	1958.00	2114.25	USD	-7,812.50	

OPEN POSITION STATEMENT



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26JUL17	B	3	PD26OCT17	1945.00	2114.25	USD	12,693.75	
26JUL17	B	3	PD26OCT17	1940.50	2114.25	USD	13,031.25	
26JUL17	S	1	PD26OCT17	1956.00	2114.25	USD	-3,956.25	
26JUL17	B	1	PD26OCT17	1956.50	2114.25	USD	3,943.75	
26JUL17	B	1	PD26OCT17	1939.50	2114.25	USD	4,368.75	
26JUL17	B	1	PD26OCT17	1939.00	2114.25	USD	4,381.25	
05SEP17	S	4	PD26OCT17	2087.61	2114.25	USD	-2,664.00	
	NET	0 AVG	BGHT 1943.50				16,148.50	0.00
27JUL17	S	1	PD27OCT17	1944.50	2114.08	USD	-4,239.50	
27JUL17	S	1	PD27OCT17	1949.00	2114.08	USD	-4,127.00	
27JUL17	S	1	PD27OCT17	1951.00	2114.08	USD	-4,077.00	
27JUL17	B	1	PD27OCT17	1946.50	2114.08	USD	4,189.50	
27JUL17	B	2	PD27OCT17	1943.00	2114.08	USD	8,554.00	
28JUL17	B	1	PD27OCT17	1926.00	2114.08	USD	4,702.00	
28JUL17	S	1	PD27OCT17	1932.50	2114.08	USD	-4,539.50	
28JUL17	B	1	PD27OCT17	1927.00	2114.08	USD	4,677.00	
28JUL17	S	2	PD27OCT17	1931.00	2114.08	USD	-9,154.00	
28JUL17	S	1	PD27OCT17	1931.50	2114.08	USD	-4,564.50	
28JUL17	B	1	PD27OCT17	1925.00	2114.08	USD	4,727.00	
28JUL17	B	2	PD27OCT17	1922.00	2114.08	USD	9,604.00	
28JUL17	B	1	PD27OCT17	1923.00	2114.08	USD	4,777.00	
28JUL17	B	1	PD27OCT17	1917.00	2114.08	USD	4,927.00	
28JUL17	B	1	PD27OCT17	1914.50	2114.08	USD	4,989.50	
28JUL17	B	1	PD27OCT17	1910.50	2114.08	USD	5,089.50	
28JUL17	S	1	PD27OCT17	1918.50	2114.08	USD	-4,889.50	
28JUL17	S	1	PD27OCT17	1920.00	2114.08	USD	-4,852.00	
28JUL17	S	1	PD27OCT17	1921.50	2114.08	USD	-4,814.50	
28JUL17	S	1	PD27OCT17	1921.00	2114.08	USD	-4,827.00	
28JUL17	S	1	PD27OCT17	1922.00	2114.08	USD	-4,802.00	
28JUL17	B	1	PD27OCT17	1911.00	2114.08	USD	5,077.00	
28JUL17	S	1	PD27OCT17	1909.00	2114.08	USD	-5,127.00	
	NET	0 AVG	BGHT 1925.42				1,300.00	0.00
31JUL17	B	2	PD31OCT17	1921.50	2113.75	USD	9,612.50	
05SEP17	S	2	PD31OCT17	2089.11	2113.75	USD	-1,232.00	
	NET	0 AVG	BGHT 1921.50				8,380.50	0.00
01AUG17	B	2	PD01NOV17	1915.00	2114.09	USD	9,954.50	
01AUG17	B	1	PD01NOV17	1916.00	2114.09	USD	4,952.25	
01AUG17	B	2	PD01NOV17	1916.50	2114.09	USD	9,879.50	
01AUG17	B	1	PD01NOV17	1908.50	2114.09	USD	5,139.75	
01AUG17	B	1	PD01NOV17	1910.00	2114.09	USD	5,102.25	
01AUG17	S	1	PD01NOV17	1914.50	2114.09	USD	-4,989.75	
	NET	+6 AVG	BGHT 1913.93				30,038.50	0.00
02AUG17	S	1	PD02NOV17	1915.00	2114.44	USD	-4,986.00	
02AUG17	S	1	PD02NOV17	1916.50	2114.44	USD	-4,948.50	
02AUG17	S	1	PD02NOV17	1917.00	2114.44	USD	-4,936.00	
02AUG17	S	1	PD02NOV17	1928.00	2114.44	USD	-4,661.00	
02AUG17	S	4	PD02NOV17	1931.00	2114.44	USD	-18,344.00	
02AUG17	S	1	PD02NOV17	1932.00	2114.44	USD	-4,561.00	
02AUG17	S	2	PD02NOV17	1931.50	2114.44	USD	-9,147.00	
02AUG17	S	3	PD02NOV17	1933.50	2114.44	USD	-13,570.50	
02AUG17	S	2	PD02NOV17	1934.50	2114.44	USD	-8,997.00	
02AUG17	S	4	PD02NOV17	1934.00	2114.44	USD	-18,044.00	
02AUG17	S	1	PD02NOV17	1933.00	2114.44	USD	-4,536.00	
02AUG17	B	2	PD02NOV17	1923.50	2114.44	USD	9,547.00	
02AUG17	B	5	PD02NOV17	1923.00	2114.44	USD	23,930.00	
02AUG17	B	2	PD02NOV17	1920.00	2114.44	USD	9,722.00	

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02AUG17	B	1	PD02NOV17	1928.00	2114.44	USD	4,661.00	
02AUG17	B	1	PD02NOV17	1932.00	2114.44	USD	4,561.00	
02AUG17	B	1	PD02NOV17	1930.00	2114.44	USD	4,611.00	
02AUG17	B	1	PD02NOV17	1927.50	2114.44	USD	4,673.50	
02AUG17	B	2	PD02NOV17	1924.50	2114.44	USD	9,497.00	
02AUG17	B	3	PD02NOV17	1922.00	2114.44	USD	14,433.00	
02AUG17	B	3	PD02NOV17	1921.00	2114.44	USD	14,508.00	
02AUG17	B	2	PD02NOV17	1925.00	2114.44	USD	9,472.00	
02AUG17	S	1	PD02NOV17	1918.50	2114.44	USD	-4,898.50	
02AUG17	S	1	PD02NOV17	1930.50	2114.44	USD	-4,598.50	
02AUG17	B	1	PD02NOV17	1925.50	2114.44	USD	4,723.50	
02AUG17	S	2	PD02NOV17	1929.00	2114.44	USD	-9,272.00	
02AUG17	S	1	PD02NOV17	1929.50	2114.44	USD	-4,623.50	
02AUG17	S	1	PD02NOV17	1929.00	2114.44	USD	-4,636.00	
02AUG17	B	3	PD02NOV17	1920.50	2114.44	USD	14,545.50	
02AUG17	B	2	PD02NOV17	1921.50	2114.44	USD	9,647.00	
02AUG17	S	2	PD02NOV17	1926.50	2114.44	USD	-9,397.00	
02AUG17	S	3	PD02NOV17	1926.00	2114.44	USD	-14,133.00	
05SEP17	B	1	PD02NOV17	2089.63	2114.44	USD	620.25	
05SEP17	B	2	PD02NOV17	2089.83	2114.44	USD	1,230.50	
	NET	0AVG	BGHT 1938.96	SOLD 1929.08			-7,907.25	0.00
03AUG17	B	5	PD03NOV17	1925.50	2114.78	USD	23,660.00	
03AUG17	S	2	PD03NOV17	1923.00	2114.78	USD	-9,589.00	
03AUG17	S	1	PD03NOV17	1922.50	2114.78	USD	-4,807.00	
03AUG17	B	1	PD03NOV17	1916.00	2114.78	USD	4,969.50	
03AUG17	B	1	PD03NOV17	1915.50	2114.78	USD	4,982.00	
03AUG17	B	2	PD03NOV17	1915.00	2114.78	USD	9,989.00	
03AUG17	S	1	PD03NOV17	1918.50	2114.78	USD	-4,907.00	
03AUG17	S	2	PD03NOV17	1917.50	2114.78	USD	-9,864.00	
03AUG17	S	3	PD03NOV17	1920.00	2114.78	USD	-14,608.50	
03AUG17	S	1	PD03NOV17	1920.50	2114.78	USD	-4,857.00	
03AUG17	S	2	PD03NOV17	1919.00	2114.78	USD	-9,789.00	
04AUG17	B	1	PD03NOV17	1913.50	2114.78	USD	5,032.00	
04AUG17	B	1	PD03NOV17	1914.50	2114.78	USD	5,007.00	
04AUG17	B	1	PD03NOV17	1915.00	2114.78	USD	4,994.50	
04AUG17	B	1	PD03NOV17	1914.00	2114.78	USD	5,019.50	
04AUG17	B	2	PD03NOV17	1913.00	2114.78	USD	10,089.00	
04AUG17	B	1	PD03NOV17	1906.50	2114.78	USD	5,207.00	
04AUG17	B	4	PD03NOV17	1906.00	2114.78	USD	20,878.00	
04AUG17	B	1	PD03NOV17	1905.00	2114.78	USD	5,244.50	
04AUG17	S	2	PD03NOV17	1911.50	2114.78	USD	-10,164.00	
04AUG17	B	1	PD03NOV17	1911.50	2114.78	USD	5,082.00	
04AUG17	B	4	PD03NOV17	1911.00	2114.78	USD	20,378.00	
04AUG17	B	3	PD03NOV17	1907.50	2114.78	USD	15,546.00	
04AUG17	B	2	PD03NOV17	1907.00	2114.78	USD	10,389.00	
04AUG17	S	1	PD03NOV17	1911.00	2114.78	USD	-5,094.50	
04AUG17	S	2	PD03NOV17	1912.00	2114.78	USD	-10,139.00	
04AUG17	S	1	PD03NOV17	1912.50	2114.78	USD	-5,057.00	
04AUG17	S	1	PD03NOV17	1908.00	2114.78	USD	-5,169.50	
04AUG17	S	1	PD03NOV17	1909.50	2114.78	USD	-5,132.00	
04AUG17	S	4	PD03NOV17	1910.50	2114.78	USD	-20,428.00	
	NET	+7AVG	BGHT 1912.89	SOLD 1915.44			36,861.50	0.00
07AUG17	S	3	PD07NOV17	1923.00	2116.16	USD	-14,487.00	
07AUG17	S	5	PD07NOV17	1922.00	2116.16	USD	-24,270.00	
07AUG17	S	2	PD07NOV17	1931.00	2116.16	USD	-9,258.00	
07AUG17	S	3	PD07NOV17	1929.50	2116.16	USD	-13,999.50	
07AUG17	S	2	PD07NOV17	1928.50	2116.16	USD	-9,383.00	

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07AUG17	B	1	PD07NOV17	1923.50	2116.16	USD	4,816.50	
07AUG17	B	4	PD07NOV17	1924.50	2116.16	USD	19,166.00	
07AUG17	S	2	PD07NOV17	1928.00	2116.16	USD	-9,408.00	
07AUG17	S	1	PD07NOV17	1929.00	2116.16	USD	-4,679.00	
07AUG17	S	2	PD07NOV17	1927.00	2116.16	USD	-9,458.00	
07AUG17	S	1	PD07NOV17	1933.00	2116.16	USD	-4,579.00	
07AUG17	S	2	PD07NOV17	1934.50	2116.16	USD	-9,083.00	
07AUG17	S	1	PD07NOV17	1935.50	2116.16	USD	-4,516.50	
07AUG17	S	2	PD07NOV17	1937.00	2116.16	USD	-8,958.00	
07AUG17	S	1	PD07NOV17	1929.00	2116.16	USD	-4,679.00	
07AUG17	B	1	PD07NOV17	1922.50	2116.16	USD	4,841.50	
07AUG17	S	1	PD07NOV17	1937.50	2116.16	USD	-4,466.50	
07AUG17	S	3	PD07NOV17	1942.00	2116.16	USD	-13,062.00	
07AUG17	S	1	PD07NOV17	1942.50	2116.16	USD	-4,341.50	
07AUG17	S	1	PD07NOV17	1944.50	2116.16	USD	-4,291.50	
07AUG17	S	2	PD07NOV17	1945.50	2116.16	USD	-8,533.00	
07AUG17	S	1	PD07NOV17	1951.50	2116.16	USD	-4,116.50	
07AUG17	B	1	PD07NOV17	1953.50	2116.16	USD	4,066.50	
07AUG17	B	1	PD07NOV17	1951.00	2116.16	USD	4,129.00	
07AUG17	S	1	PD07NOV17	1954.00	2116.16	USD	-4,054.00	
07AUG17	S	1	PD07NOV17	1956.00	2116.16	USD	-4,004.00	
07AUG17	S	1	PD07NOV17	1961.00	2116.16	USD	-3,879.00	
07AUG17	S	1	PD07NOV17	1966.00	2116.16	USD	-3,754.00	
07AUG17	S	1	PD07NOV17	1967.00	2116.16	USD	-3,729.00	
07AUG17	S	1	PD07NOV17	1970.50	2116.16	USD	-3,641.50	
07AUG17	B	2	PD07NOV17	1975.00	2116.16	USD	7,058.00	
05SEP17	B	32	PD07NOV17	2090.93	2116.16	USD	20,184.00	
	NET	0AVG BGHT 2054.96	SOLD 1936.51				-124,369.00	0.00
08AUG17	S	1	PD08NOV17	1976.00	2116.50	USD	-3,512.50	
08AUG17	S	1	PD08NOV17	1974.50	2116.50	USD	-3,550.00	
08AUG17	B	1	PD08NOV17	1976.00	2116.50	USD	3,512.50	
08AUG17	B	1	PD08NOV17	1978.00	2116.50	USD	3,462.50	
08AUG17	B	1	PD08NOV17	1980.50	2116.50	USD	3,400.00	
08AUG17	B	1	PD08NOV17	1977.00	2116.50	USD	3,487.50	
08AUG17	S	1	PD08NOV17	1983.00	2116.50	USD	-3,337.50	
08AUG17	S	1	PD08NOV17	1983.50	2116.50	USD	-3,325.00	
08AUG17	B	1	PD08NOV17	1975.50	2116.50	USD	3,525.00	
08AUG17	B	1	PD08NOV17	1972.50	2116.50	USD	3,600.00	
08AUG17	B	5	PD08NOV17	1973.00	2116.50	USD	17,937.50	
08AUG17	B	1	PD08NOV17	1974.00	2116.50	USD	3,562.50	
08AUG17	S	1	PD08NOV17	1984.50	2116.50	USD	-3,300.00	
08AUG17	S	1	PD08NOV17	1985.00	2116.50	USD	-3,287.50	
08AUG17	S	1	PD08NOV17	1985.50	2116.50	USD	-3,275.00	
08AUG17	S	1	PD08NOV17	1988.50	2116.50	USD	-3,200.00	
08AUG17	S	2	PD08NOV17	1987.00	2116.50	USD	-6,475.00	
08AUG17	S	2	PD08NOV17	1992.00	2116.50	USD	-6,225.00	
08AUG17	S	1	PD08NOV17	1993.00	2116.50	USD	-3,087.50	
08AUG17	B	1	PD08NOV17	1992.00	2116.50	USD	3,112.50	
08AUG17	B	1	PD08NOV17	1999.00	2116.50	USD	2,937.50	
08AUG17	B	1	PD08NOV17	1990.00	2116.50	USD	3,162.50	
08AUG17	S	1	PD08NOV17	1993.50	2116.50	USD	-3,075.00	
08AUG17	S	1	PD08NOV17	1994.50	2116.50	USD	-3,050.00	
08AUG17	S	2	PD08NOV17	1996.50	2116.50	USD	-6,000.00	
08AUG17	B	2	PD08NOV17	1991.50	2116.50	USD	6,250.00	
08AUG17	S	1	PD08NOV17	1997.00	2116.50	USD	-2,987.50	
08AUG17	B	3	PD08NOV17	1999.00	2116.50	USD	8,812.50	
08AUG17	B	1	PD08NOV17	2001.50	2116.50	USD	2,875.00	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP083XA

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.</u>							
08AUG17	B	1	PD08NOV17	2009.00	2116.50	USD	2,687.50	
08AUG17	S	1	PD08NOV17	1993.50	2116.50	USD	-3,075.00	
08AUG17	S	1	PD08NOV17	2029.50	2116.50	USD	-2,175.00	
08AUG17	B	1	PD08NOV17	2027.00	2116.50	USD	2,237.50	
08AUG17	B	1	PD08NOV17	2026.50	2116.50	USD	2,250.00	
08AUG17	B	1	PD08NOV17	2029.00	2116.50	USD	2,187.50	
08AUG17	B	1	PD08NOV17	2028.00	2116.50	USD	2,212.50	
08AUG17	B	2	PD08NOV17	2028.50	2116.50	USD	4,400.00	
08AUG17	B	2	PD08NOV17	2027.50	2116.50	USD	4,450.00	
05SEP17	S	10	PD08NOV17	2090.99	2116.50	USD	-6,377.50	
	NET	0 AVG BGHT 1996.42	SOLD 2024.08				20,747.50	0.00
09AUG17	S	1	PD09NOV17	2032.50	2116.96	USD	-2,111.50	
09AUG17	B	1	PD09NOV17	2030.50	2116.96	USD	2,161.50	
09AUG17	S	1	PD09NOV17	2031.50	2116.96	USD	-2,136.50	
09AUG17	B	1	PD09NOV17	2040.00	2116.96	USD	1,924.00	
09AUG17	B	1	PD09NOV17	2039.00	2116.96	USD	1,949.00	
09AUG17	B	1	PD09NOV17	2039.50	2116.96	USD	1,936.50	
09AUG17	S	1	PD09NOV17	2037.00	2116.96	USD	-1,999.00	
09AUG17	B	2	PD09NOV17	2033.50	2116.96	USD	4,173.00	
09AUG17	B	1	PD09NOV17	2033.00	2116.96	USD	2,099.00	
09AUG17	S	2	PD09NOV17	2040.00	2116.96	USD	-3,848.00	
09AUG17	B	1	PD09NOV17	2034.00	2116.96	USD	2,074.00	
09AUG17	B	1	PD09NOV17	2029.00	2116.96	USD	2,199.00	
09AUG17	B	1	PD09NOV17	2021.50	2116.96	USD	2,386.50	
09AUG17	B	2	PD09NOV17	2020.50	2116.96	USD	4,823.00	
09AUG17	B	1	PD09NOV17	2015.00	2116.96	USD	2,549.00	
09AUG17	B	1	PD09NOV17	2016.00	2116.96	USD	2,524.00	
09AUG17	B	1	PD09NOV17	2021.00	2116.96	USD	2,399.00	
05SEP17	S	10	PD09NOV17	2091.24	2116.96	USD	-6,430.00	
	NET	0 AVG BGHT 2028.43	SOLD 2072.89				16,672.50	0.00
10AUG17	S	3	PD10NOV17	2030.00	2117.43	USD	-6,557.25	
10AUG17	S	2	PD10NOV17	2029.50	2117.43	USD	-4,396.50	
10AUG17	B	1	PD10NOV17	2025.00	2117.43	USD	2,310.75	
10AUG17	B	1	PD10NOV17	2025.50	2117.43	USD	2,298.25	
10AUG17	B	1	PD10NOV17	2021.50	2117.43	USD	2,398.25	
10AUG17	S	1	PD10NOV17	2026.50	2117.43	USD	-2,273.25	
10AUG17	S	1	PD10NOV17	2028.50	2117.43	USD	-2,223.25	
10AUG17	S	1	PD10NOV17	2028.00	2117.43	USD	-2,235.75	
10AUG17	S	1	PD10NOV17	2031.00	2117.43	USD	-2,160.75	
10AUG17	S	1	PD10NOV17	2034.50	2117.43	USD	-2,073.25	
10AUG17	S	1	PD10NOV17	2037.50	2117.43	USD	-1,998.25	
10AUG17	S	3	PD10NOV17	2036.50	2117.43	USD	-6,069.75	
10AUG17	S	3	PD10NOV17	2037.00	2117.43	USD	-6,032.25	
10AUG17	S	1	PD10NOV17	2038.50	2117.43	USD	-1,973.25	
10AUG17	S	1	PD10NOV17	2039.00	2117.43	USD	-1,960.75	
10AUG17	S	1	PD10NOV17	2043.00	2117.43	USD	-1,860.75	
10AUG17	B	1	PD10NOV17	2029.50	2117.43	USD	2,198.25	
10AUG17	S	2	PD10NOV17	2034.00	2117.43	USD	-4,171.50	
10AUG17	B	2	PD10NOV17	2022.00	2117.43	USD	4,771.50	
10AUG17	B	1	PD10NOV17	2027.00	2117.43	USD	2,260.75	
10AUG17	B	2	PD10NOV17	2024.00	2117.43	USD	4,671.50	
10AUG17	B	1	PD10NOV17	2023.50	2117.43	USD	2,348.25	
10AUG17	B	4	PD10NOV17	2026.00	2117.43	USD	9,143.00	
10AUG17	B	1	PD10NOV17	2028.00	2117.43	USD	2,235.75	
10AUG17	B	1	PD10NOV17	2028.50	2117.43	USD	2,223.25	
10AUG17	B	1	PD10NOV17	2030.50	2117.43	USD	2,173.25	
10AUG17	B	1	PD10NOV17	2032.50	2117.43	USD	2,123.25	

OPEN POSITION STATEMENT



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SAP083XA

13th October 2017

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Suite A, 105 Piccadilly
London W1J 7NJ UK
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backoffice@sapiencap.com

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ALUMINIUM 25 TONNES. THIS IS AN</u>							
	<u>LME REGISTERED CLIENT CONTRACT.</u>							
10AUG17	B	1	PD10NOV17	2032.00	2117.43	USD	2,135.75	
10AUG17	S	2	PD10NOV17	2033.50	2117.43	USD	-4,196.50	
10AUG17	S	1	PD10NOV17	2030.50	2117.43	USD	-2,173.25	
10AUG17	S	2	PD10NOV17	2035.00	2117.43	USD	-4,121.50	
10AUG17	S	5	PD10NOV17	2039.50	2117.43	USD	-9,741.25	
10AUG17	B	1	PD10NOV17	2037.50	2117.43	USD	1,998.25	
10AUG17	B	1	PD10NOV17	2038.00	2117.43	USD	1,985.75	
10AUG17	B	2	PD10NOV17	2039.50	2117.43	USD	3,896.50	
10AUG17	B	2	PD10NOV17	2040.50	2117.43	USD	3,846.50	
10AUG17	B	1	PD10NOV17	2040.00	2117.43	USD	1,935.75	
10AUG17	B	1	PD10NOV17	2036.50	2117.43	USD	2,023.25	
10AUG17	B	2	PD10NOV17	2033.50	2117.43	USD	4,196.50	
11AUG17	S	1	PD10NOV17	2024.00	2117.43	USD	-2,335.75	
11AUG17	S	1	PD10NOV17	2025.50	2117.43	USD	-2,298.25	
11AUG17	S	1	PD10NOV17	2028.50	2117.43	USD	-2,223.25	
11AUG17	S	1	PD10NOV17	2030.50	2117.43	USD	-2,173.25	
11AUG17	S	1	PD10NOV17	2032.00	2117.43	USD	-2,135.75	
11AUG17	S	1	PD10NOV17	2036.50	2117.43	USD	-2,023.25	
11AUG17	S	1	PD10NOV17	2041.00	2117.43	USD	-1,910.75	
11AUG17	S	1	PD10NOV17	2039.50	2117.43	USD	-1,948.25	
11AUG17	S	1	PD10NOV17	2042.50	2117.43	USD	-1,873.25	
11AUG17	S	1	PD10NOV17	2045.00	2117.43	USD	-1,810.75	
11AUG17	S	1	PD10NOV17	2045.50	2117.43	USD	-1,798.25	
11AUG17	S	4	PD10NOV17	2042.00	2117.43	USD	-7,543.00	
11AUG17	S	1	PD10NOV17	2043.00	2117.43	USD	-1,860.75	
11AUG17	S	1	PD10NOV17	2044.50	2117.43	USD	-1,823.25	
11AUG17	S	1	PD10NOV17	2046.00	2117.43	USD	-1,785.75	
11AUG17	S	4	PD10NOV17	2041.50	2117.43	USD	-7,593.00	
11AUG17	S	3	PD10NOV17	2040.50	2117.43	USD	-5,769.75	
11AUG17	B	1	PD10NOV17	2042.50	2117.43	USD	1,873.25	
11AUG17	S	2	PD10NOV17	2043.50	2117.43	USD	-3,696.50	
11AUG17	B	3	PD10NOV17	2048.00	2117.43	USD	5,207.25	
11AUG17	B	2	PD10NOV17	2047.00	2117.43	USD	3,521.50	
11AUG17	B	1	PD10NOV17	2046.50	2117.43	USD	1,773.25	
11AUG17	B	2	PD10NOV17	2045.50	2117.43	USD	3,596.50	
11AUG17	B	1	PD10NOV17	2045.00	2117.43	USD	1,810.75	
11AUG17	B	1	PD10NOV17	2044.00	2117.43	USD	1,835.75	
11AUG17	B	1	PD10NOV17	2043.50	2117.43	USD	1,848.25	
11AUG17	B	3	PD10NOV17	2043.50	2117.43	USD	5,544.75	
05SEP17	B	15	PD10NOV17	2091.70	2117.43	USD	9,648.75	
	NET	0 AVG BGHT 2049.75	SOLD 2036.87				-18,987.50	0.00
14AUG17	B	1	PD14NOV17	2035.00	2119.29	USD	2,107.25	
14AUG17	B	1	PD14NOV17	2033.50	2119.29	USD	2,144.75	
14AUG17	B	1	PD14NOV17	2035.50	2119.29	USD	2,094.75	
14AUG17	B	2	PD14NOV17	2032.50	2119.29	USD	4,339.50	
14AUG17	B	1	PD14NOV17	2027.50	2119.29	USD	2,294.75	
14AUG17	B	1	PD14NOV17	2030.00	2119.29	USD	2,232.25	
14AUG17	S	1	PD14NOV17	2034.50	2119.29	USD	-2,119.75	
14AUG17	B	1	PD14NOV17	2034.50	2119.29	USD	2,119.75	
14AUG17	B	1	PD14NOV17	2029.50	2119.29	USD	2,244.75	
14AUG17	B	1	PD14NOV17	2033.50	2119.29	USD	2,144.75	
14AUG17	B	2	PD14NOV17	2031.50	2119.29	USD	4,389.50	
14AUG17	B	1	PD14NOV17	2024.50	2119.29	USD	2,369.75	
14AUG17	B	1	PD14NOV17	2025.00	2119.29	USD	2,357.25	
14AUG17	B	1	PD14NOV17	2025.50	2119.29	USD	2,344.75	
14AUG17	B	1	PD14NOV17	2025.50	2119.29	USD	2,344.75	
05SEP17	S	15	PD14NOV17	2092.54	2119.29	USD	-10,031.25	

OPEN POSITION STATEMENT



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Suite A, 105 Piccadilly
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Date	Open Position				Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.</u>								
	NET	0 AVG	BGHT	2030.47	SOLD	2088.91		23,377.50	0.00
16AUG17	S	1	PD16NOV17		2062.00	2120.07	USD	-1,451.75	
16AUG17	S	3	PD16NOV17		2070.50	2120.07	USD	-3,717.75	
16AUG17	B	1	PD16NOV17		2063.00	2120.07	USD	1,426.75	
16AUG17	B	1	PD16NOV17		2062.50	2120.07	USD	1,439.25	
16AUG17	B	1	PD16NOV17		2058.50	2120.07	USD	1,539.25	
16AUG17	B	2	PD16NOV17		2067.50	2120.07	USD	2,628.50	
16AUG17	S	1	PD16NOV17		2061.00	2120.07	USD	-1,476.75	
	NET	0 AVG	BGHT	2063.80	SOLD	2066.90		387.50	0.00
17AUG17	S	1	PD17NOV17		2073.00	2120.38	USD	-1,184.50	
17AUG17	B	1	PD17NOV17		2068.00	2120.38	USD	1,309.50	
18AUG17	S	2	PD17NOV17		2083.50	2120.38	USD	-1,844.00	
18AUG17	S	2	PD17NOV17		2090.00	2120.38	USD	-1,519.00	
18AUG17	S	2	PD17NOV17		2093.50	2120.38	USD	-1,344.00	
18AUG17	B	1	PD17NOV17		2097.50	2120.38	USD	572.00	
18AUG17	S	2	PD17NOV17		2097.00	2120.38	USD	-1,169.00	
18AUG17	S	4	PD17NOV17		2095.00	2120.38	USD	-2,538.00	
18AUG17	S	1	PD17NOV17		2083.00	2120.38	USD	-934.50	
18AUG17	B	1	PD17NOV17		2081.00	2120.38	USD	984.50	
18AUG17	B	2	PD17NOV17		2084.00	2120.38	USD	1,819.00	
18AUG17	S	3	PD17NOV17		2095.00	2120.38	USD	-1,903.50	
18AUG17	B	1	PD17NOV17		2089.00	2120.38	USD	784.50	
18AUG17	B	1	PD17NOV17		2090.00	2120.38	USD	759.50	
18AUG17	S	1	PD17NOV17		2061.50	2120.38	USD	-1,472.00	
18AUG17	B	1	PD17NOV17		2062.00	2120.38	USD	1,459.50	
18AUG17	S	2	PD17NOV17		2062.50	2120.38	USD	-2,894.00	
18AUG17	B	2	PD17NOV17		2063.00	2120.38	USD	2,869.00	
05SEP17	B	10	PD17NOV17		2091.75	2120.38	USD	7,157.50	
	NET	0 AVG	BGHT	2084.95	SOLD	2086.78		912.50	0.00
21AUG17	S	1	PD21NOV17		2091.00	2121.65	USD	-766.25	
21AUG17	S	1	PD21NOV17		2094.50	2121.65	USD	-678.75	
21AUG17	S	1	PD21NOV17		2093.00	2121.65	USD	-716.25	
21AUG17	S	2	PD21NOV17		2092.50	2121.65	USD	-1,457.50	
21AUG17	B	1	PD21NOV17		2090.00	2121.65	USD	791.25	
21AUG17	B	1	PD21NOV17		2084.50	2121.65	USD	928.75	
21AUG17	B	1	PD21NOV17		2085.00	2121.65	USD	916.25	
21AUG17	B	2	PD21NOV17		2079.00	2121.65	USD	2,132.50	
21AUG17	B	3	PD21NOV17		2080.00	2121.65	USD	3,123.75	
21AUG17	B	1	PD21NOV17		2082.50	2121.65	USD	978.75	
21AUG17	S	2	PD21NOV17		2094.00	2121.65	USD	-1,382.50	
21AUG17	S	1	PD21NOV17		2078.50	2121.65	USD	-1,078.75	
21AUG17	B	1	PD21NOV17		2068.50	2121.65	USD	1,328.75	
05SEP17	S	2	PD21NOV17		2092.49	2121.65	USD	-1,458.00	
	NET	0 AVG	BGHT	2080.85	SOLD	2091.50		2,662.00	0.00
22AUG17	B	1	PD22NOV17		2073.00	2121.96	USD	1,224.00	
22AUG17	B	1	PD22NOV17		2074.00	2121.96	USD	1,199.00	
22AUG17	S	1	PD22NOV17		2074.00	2121.96	USD	-1,199.00	
22AUG17	B	1	PD22NOV17		2077.00	2121.96	USD	1,124.00	
22AUG17	S	1	PD22NOV17		2082.00	2121.96	USD	-999.00	
22AUG17	S	1	PD22NOV17		2079.50	2121.96	USD	-1,061.50	
22AUG17	S	1	PD22NOV17		2082.50	2121.96	USD	-986.50	
22AUG17	S	2	PD22NOV17		2083.00	2121.96	USD	-1,948.00	
22AUG17	B	1	PD22NOV17		2078.50	2121.96	USD	1,086.50	
22AUG17	B	1	PD22NOV17		2079.00	2121.96	USD	1,074.00	
22AUG17	B	1	PD22NOV17		2080.00	2121.96	USD	1,049.00	
22AUG17	B	1	PD22NOV17		2080.50	2121.96	USD	1,036.50	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP083XA

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position				Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ALUMINIUM 25 TONNES. THIS IS AN</u>								
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22AUG17	B	1	PD22NOV17		2075.50	2121.96	USD	1,161.50	
05SEP17	S	2	PD22NOV17		2092.73	2121.96	USD	-1,461.50	
	NET	0 AVG	BGHT 2077.19	SOLD 2083.68				1,299.00	0.00
23AUG17	B	2	PD24NOV17		2072.00	2122.60	USD	2,530.00	
23AUG17	S	1	PD24NOV17		2064.50	2122.60	USD	-1,452.50	
23AUG17	S	1	PD24NOV17		2062.00	2122.60	USD	-1,515.00	
23AUG17	B	1	PD24NOV17		2063.00	2122.60	USD	1,490.00	
23AUG17	S	1	PD24NOV17		2069.00	2122.60	USD	-1,340.00	
23AUG17	S	1	PD24NOV17		2069.50	2122.60	USD	-1,327.50	
23AUG17	S	3	PD24NOV17		2070.50	2122.60	USD	-3,907.50	
23AUG17	S	1	PD24NOV17		2072.50	2122.60	USD	-1,252.50	
23AUG17	S	1	PD24NOV17		2073.00	2122.60	USD	-1,240.00	
23AUG17	S	1	PD24NOV17		2080.00	2122.60	USD	-1,065.00	
23AUG17	S	2	PD24NOV17		2082.00	2122.60	USD	-2,030.00	
23AUG17	S	1	PD24NOV17		2083.00	2122.60	USD	-990.00	
23AUG17	B	1	PD24NOV17		2072.50	2122.60	USD	1,252.50	
23AUG17	S	1	PD24NOV17		2091.00	2122.60	USD	-790.00	
23AUG17	B	1	PD24NOV17		2106.00	2122.60	USD	415.00	
23AUG17	B	2	PD24NOV17		2120.00	2122.60	USD	130.00	
23AUG17	B	1	PD24NOV17		2117.50	2122.60	USD	127.50	
23AUG17	B	1	PD24NOV17		2112.50	2122.60	USD	252.50	
23AUG17	B	2	PD24NOV17		2108.00	2122.60	USD	730.00	
23AUG17	B	1	PD24NOV17		2109.00	2122.60	USD	340.00	
23AUG17	B	1	PD24NOV17		2105.00	2122.60	USD	440.00	
24AUG17	B	1	PD24NOV17		2101.00	2122.60	USD	540.00	
24AUG17	B	2	PD24NOV17		2103.00	2122.60	USD	980.00	
24AUG17	B	4	PD24NOV17		2101.50	2122.60	USD	2,110.00	
05SEP17	S	6	PD24NOV17		2093.20	2122.60	USD	-4,410.00	
	NET	0 AVG	BGHT 2099.93	SOLD 2079.96				-9,982.50	0.00
29AUG17	S	1	PD29NOV17		2085.00	2124.18	USD	-979.50	
29AUG17	S	2	PD29NOV17		2098.50	2124.18	USD	-1,284.00	
29AUG17	S	2	PD29NOV17		2101.00	2124.18	USD	-1,159.00	
29AUG17	B	1	PD29NOV17		2093.50	2124.18	USD	767.00	
29AUG17	B	1	PD29NOV17		2094.50	2124.18	USD	742.00	
29AUG17	B	3	PD29NOV17		2095.00	2124.18	USD	2,188.50	
	NET	0 AVG	BGHT 2094.60	SOLD 2096.80				275.00	0.00
30AUG17	S	3	PD30NOV17		2091.50	2124.49	USD	-2,474.25	
30AUG17	S	2	PD30NOV17		2096.50	2124.49	USD	-1,399.50	
30AUG17	S	2	PD30NOV17		2099.50	2124.49	USD	-1,249.50	
30AUG17	B	3	PD30NOV17		2089.00	2124.49	USD	2,661.75	
31AUG17	S	1	PD30NOV17		2101.00	2124.49	USD	-587.25	
31AUG17	S	2	PD30NOV17		2116.50	2124.49	USD	-399.50	
31AUG17	S	1	PD30NOV17		2116.00	2124.49	USD	-212.25	
31AUG17	S	1	PD30NOV17		2115.50	2124.49	USD	-224.75	
31AUG17	S	2	PD30NOV17		2125.50	2124.49	USD	50.50	
31AUG17	S	1	PD30NOV17		2136.50	2124.49	USD	300.25	
31AUG17	S	3	PD30NOV17		2136.00	2124.49	USD	863.25	
31AUG17	S	1	PD30NOV17		2137.50	2124.49	USD	325.25	
31AUG17	S	2	PD30NOV17		2138.00	2124.49	USD	675.50	
31AUG17	S	1	PD30NOV17		2100.00	2124.49	USD	-612.25	
31AUG17	S	1	PD30NOV17		2137.50	2124.49	USD	325.25	
31AUG17	B	1	PD30NOV17		2124.00	2124.49	USD	12.25	
31AUG17	B	1	PD30NOV17		2128.50	2124.49	USD	-100.25	
31AUG17	B	1	PD30NOV17		2128.00	2124.49	USD	-87.75	
31AUG17	B	1	PD30NOV17		2125.50	2124.49	USD	-25.25	
31AUG17	B	1	PD30NOV17		2124.50	2124.49	USD	-0.25	

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	<u>LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.</u>							
31AUG17	B	1	PD30NOV17	2121.00	2124.49	USD	87.25	
31AUG17	B	1	PD30NOV17	2118.50	2124.49	USD	149.75	
	NET	-13 AVG BGHT 2113.70	SOLD 2116.46				-1,921.75	0.00
01SEP17	B	1	PD01DEC17	2133.00	2124.81	USD	-204.75	
01SEP17	B	1	PD01DEC17	2135.50	2124.81	USD	-267.25	
01SEP17	B	1	PD01DEC17	2123.50	2124.81	USD	32.75	
01SEP17	B	1	PD01DEC17	2124.00	2124.81	USD	20.25	
01SEP17	B	1	PD01DEC17	2126.50	2124.81	USD	-42.25	
01SEP17	B	2	PD01DEC17	2129.00	2124.81	USD	-209.50	
05SEP17	S	7	PD01DEC17	2094.86	2124.81	USD	-5,241.25	
	NET	0 AVG BGHT 2128.64	SOLD 2094.86				-5,912.00	0.00
04SEP17	B	2	PD04DEC17	2128.00	2125.76	USD	-112.00	
04SEP17	B	1	PD04DEC17	2129.50	2125.76	USD	-93.50	
04SEP17	B	2	PD04DEC17	2130.00	2125.76	USD	-212.00	
04SEP17	B	1	PD04DEC17	2128.50	2125.76	USD	-68.50	
04SEP17	S	2	PD04DEC17	2121.00	2125.76	USD	-238.00	
04SEP17	S	1	PD04DEC17	2128.00	2125.76	USD	56.00	
04SEP17	S	1	PD04DEC17	2126.50	2125.76	USD	18.50	
04SEP17	B	3	PD04DEC17	2120.00	2125.76	USD	432.00	
04SEP17	B	1	PD04DEC17	2119.00	2125.76	USD	169.00	
05SEP17	S	6	PD04DEC17	2095.56	2125.76	USD	-4,530.00	
	NET	0 AVG BGHT 2125.30	SOLD 2106.99				-4,578.50	0.00
05SEP17	S	1	PD05DEC17	2107.00	2126.07	USD	-476.75	
05SEP17	S	1	PD05DEC17	2111.00	2126.07	USD	-376.75	
05SEP17	S	1	PD05DEC17	2112.00	2126.07	USD	-351.75	
05SEP17	S	1	PD05DEC17	2113.50	2126.07	USD	-314.25	
05SEP17	S	3	PD05DEC17	2115.00	2126.07	USD	-830.25	
05SEP17	S	1	PD05DEC17	2116.00	2126.07	USD	-251.75	
05SEP17	S	2	PD05DEC17	2115.50	2126.07	USD	-528.50	
05SEP17	B	3	PD05DEC17	2109.50	2126.07	USD	1,242.75	
05SEP17	B	2	PD05DEC17	2109.00	2126.07	USD	853.50	
05SEP17	B	1	PD05DEC17	2108.00	2126.07	USD	451.75	
05SEP17	B	1	PD05DEC17	2106.00	2126.07	USD	501.75	
05SEP17	B	1	PD05DEC17	2103.00	2126.07	USD	576.75	
05SEP17	B	1	PD05DEC17	2107.00	2126.07	USD	476.75	
05SEP17	B	2	PD05DEC17	2105.00	2126.07	USD	1,053.50	
05SEP17	B	1	PD05DEC17	2104.00	2126.07	USD	551.75	
05SEP17	S	3	PD05DEC17	2116.50	2126.07	USD	-717.75	
05SEP17	S	2	PD05DEC17	2117.50	2126.07	USD	-428.50	
05SEP17	B	2	PD05DEC17	2095.50	2126.07	USD	1,528.50	
05SEP17	B	1	PD05DEC17	2096.50	2126.07	USD	739.25	
	NET	0 AVG BGHT 2104.80	SOLD 2114.67				3,700.00	0.00
06SEP17	B	2	PD06DEC17	2076.00	2126.39	USD	2,519.50	
06SEP17	S	1	PD06DEC17	2083.00	2126.39	USD	-1,084.75	
06SEP17	S	2	PD06DEC17	2081.00	2126.39	USD	-2,269.50	
06SEP17	S	1	PD06DEC17	2083.50	2126.39	USD	-1,072.25	
06SEP17	B	1	PD06DEC17	2084.50	2126.39	USD	1,047.25	
06SEP17	B	1	PD06DEC17	2082.50	2126.39	USD	1,097.25	
06SEP17	S	2	PD06DEC17	2102.50	2126.39	USD	-1,194.50	
06SEP17	B	2	PD06DEC17	2113.50	2126.39	USD	644.50	
	NET	0 AVG BGHT 2091.00	SOLD 2088.92				-312.50	0.00
07SEP17	B	1	PD07DEC17	2105.00	2126.71	USD	542.75	
07SEP17	B	3	PD07DEC17	2098.50	2126.71	USD	2,115.75	
07SEP17	B	1	PD07DEC17	2098.00	2126.71	USD	717.75	
07SEP17	B	5	PD07DEC17	2093.00	2126.71	USD	4,213.75	
07SEP17	B	1	PD07DEC17	2092.00	2126.71	USD	867.75	

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07SEP17	S	1	PD07DEC17	2102.00	2126.71	USD	-617.75	
07SEP17	S	2	PD07DEC17	2109.00	2126.71	USD	-885.50	
07SEP17	S	1	PD07DEC17	2107.50	2126.71	USD	-480.25	
07SEP17	S	1	PD07DEC17	2106.00	2126.71	USD	-517.75	
07SEP17	S	2	PD07DEC17	2108.00	2126.71	USD	-935.50	
07SEP17	S	3	PD07DEC17	2110.50	2126.71	USD	-1,215.75	
07SEP17	S	1	PD07DEC17	2109.50	2126.71	USD	-430.25	
	NET	0 AVG BGHT 2095.95	SOLD 2108.23				3,375.00	0.00
08SEP17	B	2	PD08DEC17	2086.50	2127.02	USD	2,026.00	
08SEP17	B	1	PD08DEC17	2086.00	2127.02	USD	1,025.50	
08SEP17	S	1	PD08DEC17	2094.00	2127.02	USD	-825.50	
08SEP17	S	1	PD08DEC17	2095.00	2127.02	USD	-800.50	
08SEP17	S	2	PD08DEC17	2101.00	2127.02	USD	-1,301.00	
08SEP17	S	2	PD08DEC17	2101.50	2127.02	USD	-1,276.00	
08SEP17	S	1	PD08DEC17	2104.00	2127.02	USD	-575.50	
08SEP17	S	2	PD08DEC17	2096.50	2127.02	USD	-1,526.00	
08SEP17	S	1	PD08DEC17	2089.50	2127.02	USD	-938.00	
08SEP17	S	1	PD08DEC17	2094.50	2127.02	USD	-813.00	
08SEP17	B	1	PD08DEC17	2093.50	2127.02	USD	838.00	
08SEP17	S	1	PD08DEC17	2095.50	2127.02	USD	-788.00	
08SEP17	B	2	PD08DEC17	2093.00	2127.02	USD	1,701.00	
08SEP17	B	2	PD08DEC17	2092.00	2127.02	USD	1,751.00	
14SEP17	B	4	PD08DEC17	2096.40	2127.02	USD	3,062.00	
	NET	0 AVG BGHT 2092.34	SOLD 2097.54				1,560.00	0.00
11SEP17	B	3	PD11DEC17	2106.00	2127.97	USD	1,647.75	
11SEP17	S	1	PD11DEC17	2126.50	2127.97	USD	-36.75	
11SEP17	S	1	PD11DEC17	2127.00	2127.97	USD	-24.25	
11SEP17	S	1	PD11DEC17	2129.00	2127.97	USD	25.75	
11SEP17	S	1	PD11DEC17	2135.50	2127.97	USD	188.25	
11SEP17	S	1	PD11DEC17	2135.00	2127.97	USD	175.75	
11SEP17	B	1	PD11DEC17	2126.00	2127.97	USD	49.25	
11SEP17	B	1	PD11DEC17	2124.00	2127.97	USD	99.25	
11SEP17	B	1	PD11DEC17	2121.00	2127.97	USD	174.25	
11SEP17	B	1	PD11DEC17	2118.50	2127.97	USD	236.75	
11SEP17	B	1	PD11DEC17	2114.00	2127.97	USD	349.25	
11SEP17	B	1	PD11DEC17	2126.50	2127.97	USD	36.75	
11SEP17	S	1	PD11DEC17	2125.50	2127.97	USD	-61.75	
11SEP17	B	1	PD11DEC17	2123.00	2127.97	USD	124.25	
14SEP17	S	4	PD11DEC17	2097.00	2127.97	USD	-3,097.00	
	NET	0 AVG BGHT 2117.10	SOLD 2116.65				-112.50	0.00
12SEP17	S	1	PD12DEC17	2126.00	2128.29	USD	-57.25	
12SEP17	S	1	PD12DEC17	2125.00	2128.29	USD	-82.25	
12SEP17	S	1	PD12DEC17	2122.50	2128.29	USD	-144.75	
12SEP17	S	1	PD12DEC17	2120.50	2128.29	USD	-194.75	
12SEP17	S	1	PD12DEC17	2121.00	2128.29	USD	-182.25	
12SEP17	S	1	PD12DEC17	2120.00	2128.29	USD	-207.25	
12SEP17	S	2	PD12DEC17	2119.50	2128.29	USD	-439.50	
12SEP17	B	1	PD12DEC17	2117.00	2128.29	USD	282.25	
12SEP17	B	1	PD12DEC17	2117.00	2128.29	USD	282.25	
12SEP17	S	1	PD12DEC17	2126.50	2128.29	USD	-44.75	
12SEP17	S	2	PD12DEC17	2136.50	2128.29	USD	410.50	
12SEP17	S	2	PD12DEC17	2133.50	2128.29	USD	260.50	
12SEP17	S	1	PD12DEC17	2135.00	2128.29	USD	167.75	
12SEP17	B	1	PD12DEC17	2129.00	2128.29	USD	-17.75	
12SEP17	B	1	PD12DEC17	2126.00	2128.29	USD	57.25	
12SEP17	B	4	PD12DEC17	2130.00	2128.29	USD	-171.00	

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12SEP17	S	2	PD12DEC17	2138.00	2128.29	USD	485.50	
12SEP17	S	2	PD12DEC17	2137.00	2128.29	USD	435.50	
12SEP17	S	2	PD12DEC17	2138.50	2128.29	USD	510.50	
14SEP17	B	12	PD12DEC17	2097.47	2128.29	USD	9,246.00	
	NET	0AVG BGHT 2108.93	SOLD 2130.13				10,596.50	0.00
13SEP17	B	1	PD13DEC17	2132.50	2128.60	USD	-97.50	
13SEP17	B	1	PD13DEC17	2132.00	2128.60	USD	-85.00	
13SEP17	B	1	PD13DEC17	2129.50	2128.60	USD	-22.50	
13SEP17	B	2	PD13DEC17	2135.00	2128.60	USD	-320.00	
13SEP17	B	1	PD13DEC17	2134.00	2128.60	USD	-135.00	
13SEP17	B	2	PD13DEC17	2131.50	2128.60	USD	-145.00	
13SEP17	B	2	PD13DEC17	2126.00	2128.60	USD	130.00	
13SEP17	B	1	PD13DEC17	2128.00	2128.60	USD	15.00	
13SEP17	B	1	PD13DEC17	2128.50	2128.60	USD	2.50	
13SEP17	B	1	PD13DEC17	2114.50	2128.60	USD	352.50	
13SEP17	S	1	PD13DEC17	2119.50	2128.60	USD	-227.50	
14SEP17	S	12	PD13DEC17	2097.53	2128.60	USD	-9,321.00	
	NET	0AVG BGHT 2129.54	SOLD 2099.22				-9,853.50	0.00
14SEP17	S	1	PD14DEC17	2123.00	2128.92	USD	-148.00	
14SEP17	B	1	PD14DEC17	2114.50	2128.92	USD	360.50	
14SEP17	B	1	PD14DEC17	2105.50	2128.92	USD	585.50	
14SEP17	B	2	PD14DEC17	2091.50	2128.92	USD	1,871.00	
14SEP17	B	2	PD14DEC17	2089.50	2128.92	USD	1,971.00	
14SEP17	B	2	PD14DEC17	2081.50	2128.92	USD	2,371.00	
14SEP17	S	2	PD14DEC17	2081.00	2128.92	USD	-2,396.00	
14SEP17	S	1	PD14DEC17	2090.50	2128.92	USD	-960.50	
14SEP17	S	1	PD14DEC17	2092.00	2128.92	USD	-923.00	
14SEP17	S	2	PD14DEC17	2095.00	2128.92	USD	-1,696.00	
14SEP17	S	1	PD14DEC17	2091.50	2128.92	USD	-935.50	
	NET	0AVG BGHT 2093.13	SOLD 2093.63				100.00	0.00
15SEP17	B	1	PD15DEC17	2094.00	2129.24	USD	881.00	
15SEP17	S	3	PD15DEC17	2091.50	2129.24	USD	-2,830.50	
15SEP17	B	2	PD15DEC17	2087.00	2129.24	USD	2,112.00	
15SEP17	B	1	PD15DEC17	2082.50	2129.24	USD	1,168.50	
15SEP17	B	1	PD15DEC17	2078.00	2129.24	USD	1,281.00	
15SEP17	B	2	PD15DEC17	2076.00	2129.24	USD	2,662.00	
15SEP17	S	2	PD15DEC17	2075.00	2129.24	USD	-2,712.00	
15SEP17	B	1	PD15DEC17	2072.00	2129.24	USD	1,431.00	
15SEP17	S	1	PD15DEC17	2078.00	2129.24	USD	-1,281.00	
15SEP17	S	1	PD15DEC17	2079.00	2129.24	USD	-1,256.00	
15SEP17	S	1	PD15DEC17	2092.00	2129.24	USD	-931.00	
15SEP17	S	3	PD15DEC17	2088.50	2129.24	USD	-3,055.50	
15SEP17	S	2	PD15DEC17	2088.00	2129.24	USD	-2,062.00	
15SEP17	S	2	PD15DEC17	2089.00	2129.24	USD	-2,012.00	
	NET	-7AVG BGHT 2081.56	SOLD 2086.20				-6,604.50	0.00
18SEP17	B	1	PD18DEC17	2093.00	2130.18	USD	929.50	
18SEP17	B	1	PD18DEC17	2094.00	2130.18	USD	904.50	
18SEP17	B	1	PD18DEC17	2091.50	2130.18	USD	967.00	
18SEP17	B	4	PD18DEC17	2092.50	2130.18	USD	3,768.00	
18SEP17	S	1	PD18DEC17	2105.50	2130.18	USD	-617.00	
18SEP17	B	4	PD18DEC17	2093.50	2130.18	USD	3,668.00	
18SEP17	B	1	PD18DEC17	2090.50	2130.18	USD	992.00	
18SEP17	S	2	PD18DEC17	2094.50	2130.18	USD	-1,784.00	
18SEP17	S	1	PD18DEC17	2091.00	2130.18	USD	-979.50	
18SEP17	B	1	PD18DEC17	2090.00	2130.18	USD	1,004.50	
18SEP17	S	4	PD18DEC17	2093.00	2130.18	USD	-3,718.00	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP083XA

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
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backoffice@sapiencap.com

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	<u>LME ALUMINIUM 25 TONNES. THIS IS AN</u>							
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18SEP17	S	4	PD18DEC17	2094.00	2130.18	USD	-3,618.00	
18SEP17	S	1	PD18DEC17	2092.00	2130.18	USD	-954.50	
	NET	0 AVG BGHT 2092.54	SOLD 2094.27				562.50	0.00
19SEP17	S	3	PD19DEC17	2105.50	2130.50	USD	-1,875.00	
19SEP17	B	1	PD19DEC17	2102.50	2130.50	USD	700.00	
19SEP17	B	2	PD19DEC17	2104.00	2130.50	USD	1,325.00	
19SEP17	B	1	PD19DEC17	2100.50	2130.50	USD	750.00	
19SEP17	B	1	PD19DEC17	2101.00	2130.50	USD	737.50	
19SEP17	S	1	PD19DEC17	2103.50	2130.50	USD	-675.00	
19SEP17	S	1	PD19DEC17	2109.00	2130.50	USD	-537.50	
19SEP17	S	2	PD19DEC17	2113.50	2130.50	USD	-850.00	
19SEP17	S	1	PD19DEC17	2135.00	2130.50	USD	112.50	
19SEP17	B	1	PD19DEC17	2127.00	2130.50	USD	87.50	
19SEP17	B	1	PD19DEC17	2123.00	2130.50	USD	187.50	
19SEP17	B	2	PD19DEC17	2118.50	2130.50	USD	600.00	
19SEP17	B	1	PD19DEC17	2114.50	2130.50	USD	400.00	
19SEP17	B	2	PD19DEC17	2114.00	2130.50	USD	825.00	
19SEP17	B	1	PD19DEC17	2113.00	2130.50	USD	437.50	
19SEP17	B	1	PD19DEC17	2112.50	2130.50	USD	450.00	
19SEP17	B	1	PD19DEC17	2111.50	2130.50	USD	475.00	
	NET	+7 AVG BGHT 2111.90	SOLD 2111.38				3,150.00	0.00
20SEP17	S	2	PD20DEC17	2132.50	2130.50	USD	100.00	
20SEP17	S	1	PD20DEC17	2143.50	2130.50	USD	325.00	
20SEP17	S	1	PD20DEC17	2143.00	2130.50	USD	312.50	
20SEP17	S	1	PD20DEC17	2156.50	2130.50	USD	650.00	
20SEP17	S	1	PD20DEC17	2162.00	2130.50	USD	787.50	
20SEP17	B	2	PD20DEC17	2162.00	2130.50	USD	-1,575.00	
20SEP17	B	1	PD20DEC17	2160.00	2130.50	USD	-737.50	
20SEP17	B	1	PD20DEC17	2156.00	2130.50	USD	-637.50	
20SEP17	S	2	PD20DEC17	2161.50	2130.50	USD	1,550.00	
20SEP17	B	1	PD20DEC17	2155.50	2130.50	USD	-625.00	
20SEP17	S	1	PD20DEC17	2165.50	2130.50	USD	875.00	
20SEP17	S	1	PD20DEC17	2166.00	2130.50	USD	887.50	
20SEP17	S	1	PD20DEC17	2168.50	2130.50	USD	950.00	
20SEP17	S	1	PD20DEC17	2167.50	2130.50	USD	925.00	
20SEP17	S	1	PD20DEC17	2169.50	2130.50	USD	975.00	
20SEP17	S	2	PD20DEC17	2171.50	2130.50	USD	2,050.00	
20SEP17	S	1	PD20DEC17	2174.00	2130.50	USD	1,087.50	
20SEP17	S	1	PD20DEC17	2174.50	2130.50	USD	1,100.00	
20SEP17	S	1	PD20DEC17	2179.00	2130.50	USD	1,212.50	
20SEP17	S	1	PD20DEC17	2180.00	2130.50	USD	1,237.50	
20SEP17	S	1	PD20DEC17	2172.50	2130.50	USD	1,050.00	
20SEP17	S	1	PD20DEC17	2173.50	2130.50	USD	1,075.00	
20SEP17	S	1	PD20DEC17	2143.00	2130.50	USD	312.50	
20SEP17	S	1	PD20DEC17	2165.50	2130.50	USD	875.00	
20SEP17	S	2	PD20DEC17	2191.00	2130.50	USD	3,025.00	
20SEP17	B	1	PD20DEC17	2187.50	2130.50	USD	-1,425.00	
20SEP17	B	1	PD20DEC17	2185.00	2130.50	USD	-1,362.50	
20SEP17	B	1	PD20DEC17	2182.50	2130.50	USD	-1,300.00	
20SEP17	B	1	PD20DEC17	2182.00	2130.50	USD	-1,287.50	
20SEP17	B	1	PD20DEC17	2176.00	2130.50	USD	-1,137.50	
20SEP17	B	2	PD20DEC17	2175.50	2130.50	USD	-2,250.00	
20SEP17	B	3	PD20DEC17	2166.50	2130.50	USD	-2,700.00	
20SEP17	S	1	PD20DEC17	2178.50	2130.50	USD	1,200.00	
20SEP17	B	6	PD20DEC17	2186.00	2130.50	USD	-8,325.00	
	NET	-5 AVG BGHT 2175.00	SOLD 2165.21				-800.00	0.00

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	<u>LME ALUMINIUM 25 TONNES. THIS IS AN</u>							
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21SEP17	S	1	PD21DEC17	2170.00	2130.50	USD	987.50	
21SEP17	B	1	PD21DEC17	2157.00	2130.50	USD	-662.50	
21SEP17	S	2	PD21DEC17	2174.00	2130.50	USD	2,175.00	
21SEP17	S	1	PD21DEC17	2176.00	2130.50	USD	1,137.50	
21SEP17	S	1	PD21DEC17	2172.50	2130.50	USD	1,050.00	
21SEP17	S	1	PD21DEC17	2173.50	2130.50	USD	1,075.00	
21SEP17	S	2	PD21DEC17	2183.50	2130.50	USD	2,650.00	
21SEP17	S	1	PD21DEC17	2182.00	2130.50	USD	1,287.50	
21SEP17	S	1	PD21DEC17	2185.50	2130.50	USD	1,375.00	
21SEP17	B	1	PD21DEC17	2191.00	2130.50	USD	-1,512.50	
21SEP17	B	1	PD21DEC17	2187.50	2130.50	USD	-1,425.00	
21SEP17	B	1	PD21DEC17	2185.00	2130.50	USD	-1,362.50	
21SEP17	B	1	PD21DEC17	2185.50	2130.50	USD	-1,375.00	
21SEP17	B	1	PD21DEC17	2179.00	2130.50	USD	-1,212.50	
21SEP17	B	1	PD21DEC17	2182.00	2130.50	USD	-1,287.50	
21SEP17	B	1	PD21DEC17	2172.00	2130.50	USD	-1,037.50	
21SEP17	S	2	PD21DEC17	2156.00	2130.50	USD	1,275.00	
21SEP17	B	1	PD21DEC17	2145.00	2130.50	USD	-362.50	
21SEP17	B	1	PD21DEC17	2141.50	2130.50	USD	-275.00	
21SEP17	B	2	PD21DEC17	2142.00	2130.50	USD	-575.00	
21SEP17	B	1	PD21DEC17	2150.00	2130.50	USD	-487.50	
21SEP17	B	1	PD21DEC17	2148.50	2130.50	USD	-450.00	
21SEP17	B	1	PD21DEC17	2151.50	2130.50	USD	-525.00	
21SEP17	B	1	PD21DEC17	2152.00	2130.50	USD	-537.50	
21SEP17	B	1	PD21DEC17	2154.50	2130.50	USD	-600.00	
	NET	+5 AVG BGHT 2162.71	SOLD 2173.88				-675.00	0.00
22SEP17	S	2	PD22DEC17	2148.50	2130.50	USD	900.00	
22SEP17	S	1	PD22DEC17	2151.00	2130.50	USD	512.50	
22SEP17	S	2	PD22DEC17	2165.50	2130.50	USD	1,750.00	
22SEP17	B	1	PD22DEC17	2148.00	2130.50	USD	-437.50	
22SEP17	B	1	PD22DEC17	2147.00	2130.50	USD	-412.50	
22SEP17	B	1	PD22DEC17	2146.50	2130.50	USD	-400.00	
22SEP17	B	1	PD22DEC17	2139.50	2130.50	USD	-225.00	
22SEP17	S	1	PD22DEC17	2169.50	2130.50	USD	975.00	
22SEP17	S	1	PD22DEC17	2157.50	2130.50	USD	675.00	
22SEP17	S	1	PD22DEC17	2161.50	2130.50	USD	775.00	
22SEP17	S	1	PD22DEC17	2163.50	2130.50	USD	825.00	
	NET	-5 AVG BGHT 2145.25	SOLD 2159.00				4,937.50	0.00
25SEP17	B	4	PD27DEC17	2156.00	2131.04	USD	-2,496.00	
25SEP17	B	1	PD27DEC17	2156.00	2131.04	USD	-624.00	
25SEP17	S	1	PD27DEC17	2152.50	2131.04	USD	536.50	
25SEP17	S	2	PD27DEC17	2154.50	2131.04	USD	1,173.00	
25SEP17	B	2	PD27DEC17	2151.50	2131.04	USD	-1,023.00	
25SEP17	B	1	PD27DEC17	2150.50	2131.04	USD	-486.50	
26SEP17	S	1	PD27DEC17	2157.50	2131.04	USD	661.50	
26SEP17	S	1	PD27DEC17	2157.00	2131.04	USD	649.00	
26SEP17	S	1	PD27DEC17	2155.00	2131.04	USD	599.00	
26SEP17	B	3	PD27DEC17	2148.00	2131.04	USD	-1,272.00	
26SEP17	B	2	PD27DEC17	2147.50	2131.04	USD	-823.00	
26SEP17	B	2	PD27DEC17	2146.00	2131.04	USD	-748.00	
26SEP17	S	1	PD27DEC17	2134.00	2131.04	USD	74.00	
26SEP17	S	3	PD27DEC17	2133.00	2131.04	USD	147.00	
27SEP17	S	1	PD27DEC17	2140.50	2131.04	USD	236.50	
27SEP17	S	4	PD27DEC17	2140.00	2131.04	USD	896.00	
27SEP17	S	3	PD27DEC17	2142.50	2131.04	USD	859.50	
27SEP17	B	4	PD27DEC17	2127.00	2131.04	USD	404.00	
27SEP17	B	4	PD27DEC17	2129.00	2131.04	USD	204.00	

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	<u>LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.</u>							
	NET	+5 AVG BGHT 2142.98	SOLD 2144.00				-1,032.50	0.00
29SEP17	B	1 PD29DEC17		2137.00	2131.25	USD	-143.75	
29SEP17	S	3 PD29DEC17		2135.00	2131.25	USD	281.25	
29SEP17	B	1 PD29DEC17		2131.00	2131.25	USD	6.25	
29SEP17	B	1 PD29DEC17		2133.00	2131.25	USD	-43.75	
	NET	0 AVG BGHT 2133.67	SOLD 2135.00				100.00	0.00
03OCT17	S	1 PD03JAN18		2111.00	2132.05	USD	-526.25	
03OCT17	B	1 PD03JAN18		2104.50	2132.05	USD	688.75	
03OCT17	S	2 PD03JAN18		2117.50	2132.05	USD	-727.50	
03OCT17	B	2 PD03JAN18		2118.00	2132.05	USD	702.50	
03OCT17	S	2 PD03JAN18		2123.00	2132.05	USD	-452.50	
03OCT17	B	1 PD03JAN18		2117.00	2132.05	USD	376.25	
03OCT17	B	1 PD03JAN18		2118.00	2132.05	USD	351.25	
	NET	0 AVG BGHT 2115.10	SOLD 2118.40				412.50	0.00
04OCT17	S	1 PD04JAN18		2152.00	2132.21	USD	494.75	
04OCT17	S	1 PD04JAN18		2151.00	2132.21	USD	469.75	
04OCT17	B	1 PD04JAN18		2139.00	2132.21	USD	-169.75	
04OCT17	S	1 PD04JAN18		2164.50	2132.21	USD	807.25	
04OCT17	B	1 PD04JAN18		2158.50	2132.21	USD	-657.25	
04OCT17	B	1 PD04JAN18		2151.50	2132.21	USD	-482.25	
04OCT17	S	2 PD04JAN18		2160.00	2132.21	USD	1,389.50	
04OCT17	S	1 PD04JAN18		2164.00	2132.21	USD	794.75	
04OCT17	S	2 PD04JAN18		2163.50	2132.21	USD	1,564.50	
04OCT17	B	1 PD04JAN18		2158.00	2132.21	USD	-644.75	
04OCT17	B	2 PD04JAN18		2160.00	2132.21	USD	-1,389.50	
04OCT17	B	2 PD04JAN18		2161.50	2132.21	USD	-1,464.50	
	NET	0 AVG BGHT 2156.25	SOLD 2159.81				712.50	0.00
05OCT17	B	2 PD05JAN18		2162.00	2132.38	USD	-1,481.00	
05OCT17	B	1 PD05JAN18		2159.50	2132.38	USD	-678.00	
05OCT17	B	1 PD05JAN18		2149.50	2132.38	USD	-428.00	
05OCT17	B	2 PD05JAN18		2148.00	2132.38	USD	-781.00	
05OCT17	B	1 PD05JAN18		2149.00	2132.38	USD	-415.50	
05OCT17	B	1 PD05JAN18		2148.50	2132.38	USD	-403.00	
05OCT17	B	1 PD05JAN18		2147.50	2132.38	USD	-378.00	
05OCT17	B	3 PD05JAN18		2146.50	2132.38	USD	-1,059.00	
05OCT17	B	4 PD05JAN18		2147.00	2132.38	USD	-1,462.00	
05OCT17	S	1 PD05JAN18		2156.00	2132.38	USD	590.50	
05OCT17	S	1 PD05JAN18		2163.00	2132.38	USD	765.50	
05OCT17	S	1 PD05JAN18		2165.00	2132.38	USD	815.50	
05OCT17	S	1 PD05JAN18		2170.00	2132.38	USD	940.50	
05OCT17	S	1 PD05JAN18		2170.50	2132.38	USD	953.00	
05OCT17	S	4 PD05JAN18		2169.00	2132.38	USD	3,662.00	
05OCT17	S	2 PD05JAN18		2168.00	2132.38	USD	1,781.00	
05OCT17	S	4 PD05JAN18		2168.50	2132.38	USD	3,612.00	
05OCT17	S	1 PD05JAN18		2171.00	2132.38	USD	965.50	
06OCT17	B	1 PD05JAN18		2158.00	2132.38	USD	-640.50	
06OCT17	B	1 PD05JAN18		2149.00	2132.38	USD	-415.50	
06OCT17	B	2 PD05JAN18		2148.50	2132.38	USD	-806.00	
06OCT17	B	2 PD05JAN18		2147.00	2132.38	USD	-731.00	
06OCT17	B	2 PD05JAN18		2148.00	2132.38	USD	-781.00	
06OCT17	S	1 PD05JAN18		2144.00	2132.38	USD	290.50	
	NET	+7 AVG BGHT 2149.81	SOLD 2166.21				3,916.50	0.00
09OCT17	S	1 PD09JAN18		2150.50	2133.02	USD	437.00	
09OCT17	S	4 PD09JAN18		2151.00	2133.02	USD	1,798.00	
09OCT17	S	1 PD09JAN18		2158.50	2133.02	USD	637.00	
09OCT17	S	1 PD09JAN18		2151.00	2133.02	USD	449.50	

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09OCT17	S	1	PD09JAN18	2155.50	2133.02	USD	562.00	
09OCT17	S	2	PD09JAN18	2167.00	2133.02	USD	1,699.00	
09OCT17	B	1	PD09JAN18	2165.00	2133.02	USD	-799.50	
09OCT17	B	2	PD09JAN18	2170.50	2133.02	USD	-1,874.00	
	NET	-7 AVG	BGHT 2168.67				2,909.00	0.00
			SOLD 2155.35					
10OCT17	B	4	PD10JAN18	2165.00	2133.18	USD	-3,182.00	
10OCT17	B	2	PD10JAN18	2166.50	2133.18	USD	-1,666.00	
10OCT17	B	1	PD10JAN18	2159.50	2133.18	USD	-658.00	
10OCT17	B	1	PD10JAN18	2164.00	2133.18	USD	-770.50	
10OCT17	B	1	PD10JAN18	2155.50	2133.18	USD	-558.00	
10OCT17	S	1	PD10JAN18	2159.50	2133.18	USD	658.00	
10OCT17	S	1	PD10JAN18	2165.00	2133.18	USD	795.50	
10OCT17	S	1	PD10JAN18	2162.00	2133.18	USD	720.50	
10OCT17	S	1	PD10JAN18	2163.00	2133.18	USD	745.50	
10OCT17	S	1	PD10JAN18	2164.00	2133.18	USD	770.50	
10OCT17	S	2	PD10JAN18	2164.50	2133.18	USD	1,566.00	
10OCT17	S	2	PD10JAN18	2165.50	2133.18	USD	1,616.00	
	NET	0 AVG	BGHT 2163.56				37.50	0.00
			SOLD 2163.72					
11OCT17	B	2	PD11JAN18	2154.00	2133.34	USD	-1,033.00	
11OCT17	B	3	PD11JAN18	2153.00	2133.34	USD	-1,474.50	
11OCT17	B	1	PD11JAN18	2152.00	2133.34	USD	-466.50	
11OCT17	B	2	PD11JAN18	2152.50	2133.34	USD	-958.00	
11OCT17	B	1	PD11JAN18	2148.50	2133.34	USD	-379.00	
11OCT17	S	1	PD11JAN18	2142.50	2133.34	USD	229.00	
11OCT17	S	1	PD11JAN18	2147.00	2133.34	USD	341.50	
11OCT17	B	1	PD11JAN18	2144.00	2133.34	USD	-266.50	
11OCT17	S	1	PD11JAN18	2148.00	2133.34	USD	366.50	
11OCT17	S	1	PD11JAN18	2149.50	2133.34	USD	404.00	
11OCT17	B	1	PD11JAN18	2131.50	2133.34	USD	46.00	
11OCT17	B	3	PD11JAN18	2132.50	2133.34	USD	63.00	
11OCT17	B	3	PD11JAN18	2133.00	2133.34	USD	25.50	
11OCT17	S	1	PD11JAN18	2136.00	2133.34	USD	66.50	
11OCT17	S	2	PD11JAN18	2135.50	2133.34	USD	108.00	
11OCT17	S	1	PD11JAN18	2137.00	2133.34	USD	91.50	
11OCT17	S	6	PD11JAN18	2138.00	2133.34	USD	699.00	
	NET	+3 AVG	BGHT 2143.79				-2,137.00	0.00
			SOLD 2139.93					
12OCT17	B	1	PD12JAN18	2136.00	2133.50	USD	-62.50	
12OCT17	B	1	PD12JAN18	2135.50	2133.50	USD	-50.00	
12OCT17	B	1	PD12JAN18	2137.00	2133.50	USD	-87.50	
12OCT17	B	1	PD12JAN18	2138.00	2133.50	USD	-112.50	
12OCT17	B	1	PD12JAN18	2137.50	2133.50	USD	-100.00	
12OCT17	B	3	PD12JAN18	2139.50	2133.50	USD	-450.00	
12OCT17	B	2	PD12JAN18	2140.50	2133.50	USD	-350.00	
12OCT17	B	1	PD12JAN18	2138.50	2133.50	USD	-125.00	
12OCT17	S	1	PD12JAN18	2139.00	2133.50	USD	137.50	
12OCT17	S	1	PD12JAN18	2142.50	2133.50	USD	225.00	
12OCT17	S	1	PD12JAN18	2143.50	2133.50	USD	250.00	
12OCT17	S	1	PD12JAN18	2147.00	2133.50	USD	337.50	
12OCT17	S	1	PD12JAN18	2144.50	2133.50	USD	275.00	
12OCT17	B	1	PD12JAN18	2141.50	2133.50	USD	-200.00	
12OCT17	S	1	PD12JAN18	2137.00	2133.50	USD	87.50	
12OCT17	S	4	PD12JAN18	2149.50	2133.50	USD	1,600.00	
12OCT17	S	3	PD12JAN18	2150.50	2133.50	USD	1,275.00	
12OCT17	B	1	PD12JAN18	2150.00	2133.50	USD	-412.50	
13OCT17	S	2	PD12JAN18	2154.00	2133.50	USD	1,025.00	
13OCT17	S	1	PD12JAN18	2158.00	2133.50	USD	612.50	

OPEN POSITION STATEMENT



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SAP083XA

13th October 2017

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backoffice@sapiencap.com

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.</u>							
13OCT17	B	3	PD12JAN18	2165.50	2133.50	USD	-2,400.00	
13OCT17	S	1	PD12JAN18	2164.50	2133.50	USD	775.00	
13OCT17	S	2	PD12JAN18	2168.00	2133.50	USD	1,725.00	
13OCT17	B	1	PD12JAN18	2160.50	2133.50	USD	-675.00	
13OCT17	B	1	PD12JAN18	2156.50	2133.50	USD	-575.00	
13OCT17	S	1	PD12JAN18	2165.50	2133.50	USD	800.00	
13OCT17	B	1	PD12JAN18	2136.50	2133.50	USD	-75.00	
13OCT17	B	1	PD12JAN18	2133.00	2133.50	USD	12.50	
13OCT17	S	1	PD12JAN18	2138.50	2133.50	USD	125.00	
13OCT17	S	1	PD12JAN18	2138.00	2133.50	USD	112.50	
	NET	-2AVG BGHT 2144.83	SOLD 2150.52				3,700.00	0.00
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
17JUL17	S	1	PD17OCT17	5982.00	6859.00	USD	-21,925.00	
17JUL17	B	1	PD17OCT17	5970.00	6859.00	USD	22,225.00	
17JUL17	S	1	PD17OCT17	5990.00	6859.00	USD	-21,725.00	
17JUL17	B	1	PD17OCT17	5974.00	6859.00	USD	22,125.00	
	NET	0AVG BGHT 5972.00	SOLD 5986.00				700.00	0.00
20JUL17	S	1	PD20OCT17	5988.00	6857.50	USD	-21,737.50	
20JUL17	B	1	PD20OCT17	5968.00	6857.50	USD	22,237.50	
	NET	0AVG BGHT 5968.00	SOLD 5988.00				500.00	0.00
24JUL17	S	1	PD24OCT17	5999.00	6857.50	USD	-21,462.50	
24JUL17	S	1	PD24OCT17	5995.50	6857.50	USD	-21,550.00	
24JUL17	S	1	PD24OCT17	6028.00	6857.50	USD	-20,737.50	
24JUL17	B	1	PD24OCT17	6025.00	6857.50	USD	20,812.50	
24JUL17	B	1	PD24OCT17	6020.00	6857.50	USD	20,937.50	
24JUL17	B	1	PD24OCT17	6020.50	6857.50	USD	20,925.00	
	NET	0AVG BGHT 6021.83	SOLD 6007.50				-1,075.00	0.00
25JUL17	S	1	PD25OCT17	6170.00	6857.50	USD	-17,187.50	
25JUL17	B	1	PD25OCT17	6182.00	6857.50	USD	16,887.50	
	NET	0AVG BGHT 6182.00	SOLD 6170.00				-300.00	0.00
26JUL17	S	1	PD26OCT17	6348.00	6857.50	USD	-12,737.50	
26JUL17	S	1	PD26OCT17	6372.00	6857.50	USD	-12,137.50	
26JUL17	B	1	PD26OCT17	6329.50	6857.50	USD	13,200.00	
26JUL17	B	1	PD26OCT17	6332.00	6857.50	USD	13,137.50	
	NET	0AVG BGHT 6330.75	SOLD 6360.00				1,462.50	0.00
03AUG17	B	1	PD03NOV17	6318.50	6860.59	USD	13,552.25	
03AUG17	S	1	PD03NOV17	6319.00	6860.59	USD	-13,539.75	
	NET	0AVG BGHT 6318.50	SOLD 6319.00				12.50	0.00
07AUG17	B	1	PD07NOV17	6368.50	6863.68	USD	12,379.50	
07AUG17	S	1	PD07NOV17	6372.50	6863.68	USD	-12,279.50	
	NET	0AVG BGHT 6368.50	SOLD 6372.50				100.00	0.00
11AUG17	B	2	PD10NOV17	6370.00	6866.00	USD	24,800.00	
11AUG17	S	1	PD10NOV17	6370.50	6866.00	USD	-12,387.50	
11AUG17	S	1	PD10NOV17	6375.00	6866.00	USD	-12,275.00	
	NET	0AVG BGHT 6370.00	SOLD 6372.75				137.50	0.00
18AUG17	B	1	PD17NOV17	6515.00	6863.47	USD	8,711.75	
18AUG17	S	1	PD17NOV17	6515.00	6863.47	USD	-8,711.75	
	NET	0AVG BGHT 6515.00	SOLD 6515.00				0.00	0.00
31AUG17	S	1	PD30NOV17	6829.00	6869.53	USD	-1,013.25	
31AUG17	B	1	PD30NOV17	6825.00	6869.53	USD	1,113.25	
	NET	0AVG BGHT 6825.00	SOLD 6829.00				100.00	0.00
01SEP17	B	2	PD01DEC17	6824.00	6870.00	USD	2,300.00	
01SEP17	S	1	PD01DEC17	6823.50	6870.00	USD	-1,162.50	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
01SEP17	S	1	PD01DEC17	6816.00	6870.00	USD	-1,350.00	
	NET	0 AVG BGHT 6824.00	SOLD 6819.75				-212.50	0.00
04SEP17	S	1	PD04DEC17	6896.50	6871.20	USD	632.50	
04SEP17	B	1	PD04DEC17	6896.50	6871.20	USD	-632.50	
	NET	0 AVG BGHT 6896.50	SOLD 6896.50				0.00	0.00
08SEP17	B	1	PD08DEC17	6696.00	6872.83	USD	4,420.75	
08SEP17	S	1	PD08DEC17	6698.50	6872.83	USD	-4,358.25	
	NET	0 AVG BGHT 6696.00	SOLD 6698.50				62.50	0.00
12SEP17	S	1	PD12DEC17	6707.50	6874.50	USD	-4,175.00	
12SEP17	S	1	PD12DEC17	6707.00	6874.50	USD	-4,187.50	
12SEP17	S	1	PD12DEC17	6706.50	6874.50	USD	-4,200.00	
12SEP17	B	1	PD12DEC17	6698.50	6874.50	USD	4,400.00	
12SEP17	B	1	PD12DEC17	6697.50	6874.50	USD	4,425.00	
12SEP17	B	1	PD12DEC17	6696.00	6874.50	USD	4,462.50	
	NET	0 AVG BGHT 6697.33	SOLD 6707.00				725.00	0.00
13SEP17	S	1	PD13DEC17	6599.50	6874.92	USD	-6,885.50	
13SEP17	B	1	PD13DEC17	6600.00	6874.92	USD	6,873.00	
13SEP17	B	1	PD13DEC17	6591.50	6874.92	USD	7,085.50	
13SEP17	B	1	PD13DEC17	6593.00	6874.92	USD	7,048.00	
13SEP17	B	1	PD13DEC17	6590.50	6874.92	USD	7,110.50	
13SEP17	S	1	PD13DEC17	6592.00	6874.92	USD	-7,073.00	
13SEP17	S	1	PD13DEC17	6597.50	6874.92	USD	-6,935.50	
13SEP17	S	1	PD13DEC17	6591.50	6874.92	USD	-7,085.50	
	NET	0 AVG BGHT 6593.75	SOLD 6595.13				137.50	0.00
14SEP17	S	3	PD14DEC17	6492.50	6875.33	USD	-28,712.25	
14SEP17	S	1	PD14DEC17	6498.00	6875.33	USD	-9,433.25	
14SEP17	B	1	PD14DEC17	6500.00	6875.33	USD	9,383.25	
14SEP17	B	1	PD14DEC17	6495.50	6875.33	USD	9,495.75	
14SEP17	B	1	PD14DEC17	6494.00	6875.33	USD	9,533.25	
14SEP17	B	2	PD14DEC17	6496.00	6875.33	USD	18,966.50	
14SEP17	S	1	PD14DEC17	6496.00	6875.33	USD	-9,483.25	
	NET	0 AVG BGHT 6496.30	SOLD 6494.30				-250.00	0.00
15SEP17	B	2	PD15DEC17	6503.00	6875.75	USD	18,637.50	
15SEP17	S	1	PD15DEC17	6499.50	6875.75	USD	-9,406.25	
15SEP17	S	2	PD15DEC17	6495.00	6875.75	USD	-19,037.50	
15SEP17	B	1	PD15DEC17	6488.00	6875.75	USD	9,693.75	
	NET	0 AVG BGHT 6498.00	SOLD 6496.50				-112.50	0.00
18SEP17	B	1	PD18DEC17	6555.00	6877.00	USD	8,050.00	
18SEP17	S	1	PD18DEC17	6556.00	6877.00	USD	-8,025.00	
	NET	0 AVG BGHT 6555.00	SOLD 6556.00				25.00	0.00
19SEP17	B	1	PD19DEC17	6529.50	6877.00	USD	8,687.50	
19SEP17	S	1	PD19DEC17	6531.00	6877.00	USD	-8,650.00	
	NET	0 AVG BGHT 6529.50	SOLD 6531.00				37.50	0.00
20SEP17	S	1	PD20DEC17	6560.50	6877.00	USD	-7,912.50	
20SEP17	B	1	PD20DEC17	6554.00	6877.00	USD	8,075.00	
	NET	0 AVG BGHT 6554.00	SOLD 6560.50				162.50	0.00
21SEP17	B	1	PD21DEC17	6447.00	6876.00	USD	10,725.00	
21SEP17	S	1	PD21DEC17	6450.50	6876.00	USD	-10,637.50	
	NET	0 AVG BGHT 6447.00	SOLD 6450.50				87.50	0.00
22SEP17	B	1	PD22DEC17	6411.50	6875.00	USD	11,587.50	
22SEP17	S	1	PD22DEC17	6412.50	6875.00	USD	-11,562.50	
	NET	0 AVG BGHT 6411.50	SOLD 6412.50				25.00	0.00
25SEP17	B	1	PD27DEC17	6460.00	6876.67	USD	10,416.75	
25SEP17	S	1	PD27DEC17	6460.50	6876.67	USD	-10,404.25	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>								
25SEP17	S	1	PD27DEC17		6448.00	6876.67	USD	-10,716.75	
25SEP17	B	1	PD27DEC17		6449.00	6876.67	USD	10,691.75	
27SEP17	B	1	PD27DEC17		6465.50	6876.67	USD	10,279.25	
27SEP17	B	1	PD27DEC17		6466.50	6876.67	USD	10,254.25	
27SEP17	S	1	PD27DEC17		6465.50	6876.67	USD	-10,279.25	
27SEP17	S	1	PD27DEC17		6466.00	6876.67	USD	-10,266.75	
	NET	0 AVG	BGHT 6460.25	SOLD 6460.00				-25.00	0.00
28SEP17	B	1	PD28DEC17		6449.00	6877.00	USD	10,700.00	
28SEP17	S	1	PD28DEC17		6450.00	6877.00	USD	-10,675.00	
	NET	0 AVG	BGHT 6449.00	SOLD 6450.00				25.00	0.00
04OCT17	B	2	PD04JAN18		6498.00	6879.33	USD	19,066.50	
04OCT17	S	1	PD04JAN18		6498.00	6879.33	USD	-9,533.25	
04OCT17	S	1	PD04JAN18		6499.50	6879.33	USD	-9,495.75	
	NET	0 AVG	BGHT 6498.00	SOLD 6498.75				37.50	0.00
05OCT17	B	1	PD05JAN18		6560.00	6879.67	USD	7,991.75	
05OCT17	S	1	PD05JAN18		6560.50	6879.67	USD	-7,979.25	
05OCT17	S	1	PD05JAN18		6701.00	6879.67	USD	-4,466.75	
05OCT17	B	1	PD05JAN18		6701.00	6879.67	USD	4,466.75	
06OCT17	B	1	PD05JAN18		6707.00	6879.67	USD	4,316.75	
06OCT17	S	1	PD05JAN18		6707.50	6879.67	USD	-4,304.25	
	NET	0 AVG	BGHT 6656.00	SOLD 6656.33				25.00	0.00
11OCT17	B	1	PD11JAN18		6744.00	6881.67	USD	3,441.75	
11OCT17	S	1	PD11JAN18		6743.50	6881.67	USD	-3,454.25	
11OCT17	S	1	PD11JAN18		6808.00	6881.67	USD	-1,841.75	
11OCT17	B	1	PD11JAN18		6802.00	6881.67	USD	1,991.75	
	NET	0 AVG	BGHT 6773.00	SOLD 6775.75				137.50	0.00
13OCT17	B	1	PD12JAN18		6901.00	6882.00	USD	-475.00	
13OCT17	B	1	PD12JAN18		6901.50	6882.00	USD	-487.50	
13OCT17	B	1	PD12JAN18		6902.00	6882.00	USD	-500.00	
13OCT17	S	1	PD12JAN18		6904.00	6882.00	USD	550.00	
13OCT17	S	1	PD12JAN18		6903.00	6882.00	USD	525.00	
13OCT17	S	1	PD12JAN18		6904.00	6882.00	USD	550.00	
	NET	0 AVG	BGHT 6901.50	SOLD 6903.67				162.50	0.00
	<u>LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>								
17JUL17	S	1	PD17OCT17		2324.50	2518.25	USD	-4,843.75	
17JUL17	S	2	PD17OCT17		2326.00	2518.25	USD	-9,612.50	
17JUL17	S	4	PD17OCT17		2325.50	2518.25	USD	-19,275.00	
17JUL17	S	1	PD17OCT17		2327.00	2518.25	USD	-4,781.25	
17JUL17	S	1	PD17OCT17		2328.50	2518.25	USD	-4,743.75	
17JUL17	S	1	PD17OCT17		2329.50	2518.25	USD	-4,718.75	
17JUL17	B	1	PD17OCT17		2322.50	2518.25	USD	4,893.75	
17JUL17	B	1	PD17OCT17		2321.50	2518.25	USD	4,918.75	
17JUL17	S	2	PD17OCT17		2330.00	2518.25	USD	-9,412.50	
17JUL17	B	1	PD17OCT17		2324.50	2518.25	USD	4,843.75	
17JUL17	B	2	PD17OCT17		2325.00	2518.25	USD	9,662.50	
17JUL17	B	2	PD17OCT17		2320.00	2518.25	USD	9,912.50	
17JUL17	B	3	PD17OCT17		2322.00	2518.25	USD	14,718.75	
17JUL17	B	1	PD17OCT17		2321.00	2518.25	USD	4,931.25	
17JUL17	B	1	PD17OCT17		2319.50	2518.25	USD	4,968.75	
17JUL17	B	1	PD17OCT17		2319.00	2518.25	USD	4,981.25	
17JUL17	B	1	PD17OCT17		2324.50	2518.25	USD	4,843.75	
17JUL17	B	1	PD17OCT17		2315.50	2518.25	USD	5,068.75	
17JUL17	S	1	PD17OCT17		2317.50	2518.25	USD	-5,018.75	
17JUL17	S	1	PD17OCT17		2316.00	2518.25	USD	-5,056.25	

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	<u>LME LEAD 25 TONNES. THIS IS AN</u>							
	<u>LME REGISTERED CLIENT CONTRACT</u>							
17JUL17	B	1	PD17OCT17	2314.00	2518.25	USD	5,106.25	
17JUL17	S	1	PD17OCT17	2306.00	2518.25	USD	-5,306.25	
17JUL17	S	1	PD17OCT17	2307.00	2518.25	USD	-5,281.25	
17JUL17	B	1	PD17OCT17	2295.00	2518.25	USD	5,581.25	
17JUL17	B	1	PD17OCT17	2294.00	2518.25	USD	5,606.25	
17JUL17	S	2	PD17OCT17	2299.00	2518.25	USD	-10,962.50	
17JUL17	S	1	PD17OCT17	2297.00	2518.25	USD	-5,531.25	
17JUL17	B	1	PD17OCT17	2297.50	2518.25	USD	5,518.75	
17JUL17	B	1	PD17OCT17	2297.00	2518.25	USD	5,531.25	
19JUL17	S	1	PD17OCT17	2217.10	2518.25	USD	-7,528.75	
	NET	0AVG BGHT 2316.08	SOLD 2314.11				-985.00	0.00
18JUL17	B	2	PD18OCT17	2300.50	2518.75	USD	10,912.50	
18JUL17	B	1	PD18OCT17	2301.50	2518.75	USD	5,431.25	
18JUL17	B	1	PD18OCT17	2302.50	2518.75	USD	5,406.25	
18JUL17	B	1	PD18OCT17	2302.00	2518.75	USD	5,418.75	
18JUL17	S	1	PD18OCT17	2304.00	2518.75	USD	-5,368.75	
18JUL17	S	1	PD18OCT17	2285.50	2518.75	USD	-5,831.25	
18JUL17	B	1	PD18OCT17	2287.00	2518.75	USD	5,793.75	
18JUL17	B	1	PD18OCT17	2289.50	2518.75	USD	5,731.25	
18JUL17	S	1	PD18OCT17	2268.50	2518.75	USD	-6,256.25	
18JUL17	S	5	PD18OCT17	2274.50	2518.75	USD	-30,531.25	
18JUL17	S	1	PD18OCT17	2272.50	2518.75	USD	-6,156.25	
18JUL17	S	1	PD18OCT17	2274.50	2518.75	USD	-6,106.25	
05SEP17	B	3	PD18OCT17	2318.75	2518.75	USD	15,000.00	
	NET	0AVG BGHT 2303.98	SOLD 2277.75				-6,556.25	0.00
19JUL17	B	2	PD19OCT17	2263.00	2518.90	USD	12,795.00	
19JUL17	B	1	PD19OCT17	2264.50	2518.90	USD	6,360.00	
19JUL17	S	1	PD19OCT17	2260.50	2518.90	USD	-6,460.00	
19JUL17	B	1	PD19OCT17	2265.50	2518.90	USD	6,335.00	
19JUL17	S	1	PD19OCT17	2273.00	2518.90	USD	-6,147.50	
19JUL17	S	1	PD19OCT17	2275.00	2518.90	USD	-6,097.50	
19JUL17	S	1	PD19OCT17	2274.50	2518.90	USD	-6,110.00	
19JUL17	S	1	PD19OCT17	2268.50	2518.90	USD	-6,260.00	
19JUL17	B	1	PD19OCT17	2259.00	2518.90	USD	6,497.50	
19JUL17	B	1	PD19OCT17	2258.50	2518.90	USD	6,510.00	
19JUL17	B	2	PD19OCT17	2258.00	2518.90	USD	13,045.00	
19JUL17	B	1	PD19OCT17	2256.50	2518.90	USD	6,560.00	
19JUL17	B	1	PD19OCT17	2248.00	2518.90	USD	6,772.50	
19JUL17	B	1	PD19OCT17	2241.00	2518.90	USD	6,947.50	
19JUL17	B	1	PD19OCT17	2242.00	2518.90	USD	6,922.50	
19JUL17	S	1	PD19OCT17	2240.50	2518.90	USD	-6,960.00	
19JUL17	S	1	PD19OCT17	2222.00	2518.90	USD	-7,422.50	
19JUL17	S	2	PD19OCT17	2217.50	2518.90	USD	-15,070.00	
05SEP17	S	3	PD19OCT17	2318.30	2518.90	USD	-15,045.00	
	NET	0AVG BGHT 2256.42	SOLD 2266.99				3,172.50	0.00
20JUL17	S	1	PD20OCT17	2226.50	2519.05	USD	-7,313.75	
20JUL17	S	1	PD20OCT17	2224.00	2519.05	USD	-7,376.25	
20JUL17	S	2	PD20OCT17	2225.00	2519.05	USD	-14,702.50	
20JUL17	S	2	PD20OCT17	2225.50	2519.05	USD	-14,677.50	
20JUL17	B	1	PD20OCT17	2226.00	2519.05	USD	7,326.25	
20JUL17	B	1	PD20OCT17	2227.00	2519.05	USD	7,301.25	
20JUL17	B	1	PD20OCT17	2225.00	2519.05	USD	7,351.25	
20JUL17	B	1	PD20OCT17	2223.50	2519.05	USD	7,388.75	
20JUL17	B	3	PD20OCT17	2223.00	2519.05	USD	22,203.75	
20JUL17	B	1	PD20OCT17	2221.00	2519.05	USD	7,451.25	
20JUL17	B	1	PD20OCT17	2220.50	2519.05	USD	7,463.75	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP083XA

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME LEAD 25 TONNES. THIS IS AN</u>							
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20JUL17	B	1	PD200CT17	2219.00	2519.05	USD	7,501.25	
20JUL17	S	1	PD200CT17	2221.50	2519.05	USD	-7,438.75	
20JUL17	S	3	PD200CT17	2226.00	2519.05	USD	-21,978.75	
20JUL17	S	2	PD200CT17	2223.50	2519.05	USD	-14,777.50	
20JUL17	S	1	PD200CT17	2222.50	2519.05	USD	-7,413.75	
20JUL17	S	2	PD200CT17	2224.50	2519.05	USD	-14,727.50	
20JUL17	S	1	PD200CT17	2228.00	2519.05	USD	-7,276.25	
20JUL17	S	1	PD200CT17	2227.50	2519.05	USD	-7,288.75	
20JUL17	B	2	PD200CT17	2224.00	2519.05	USD	14,752.50	
20JUL17	B	1	PD200CT17	2233.00	2519.05	USD	7,151.25	
20JUL17	B	1	PD200CT17	2232.50	2519.05	USD	7,163.75	
20JUL17	B	1	PD200CT17	2230.00	2519.05	USD	7,226.25	
20JUL17	B	1	PD200CT17	2228.00	2519.05	USD	7,276.25	
20JUL17	B	1	PD200CT17	2226.50	2519.05	USD	7,313.75	
20JUL17	S	1	PD200CT17	2212.50	2519.05	USD	-7,663.75	
20JUL17	S	1	PD200CT17	2214.00	2519.05	USD	-7,626.25	
20JUL17	B	1	PD200CT17	2217.00	2519.05	USD	7,551.25	
21JUL17	S	1	PD200CT17	2228.50	2519.05	USD	-7,263.75	
21JUL17	S	1	PD200CT17	2233.00	2519.05	USD	-7,151.25	
21JUL17	S	1	PD200CT17	2238.50	2519.05	USD	-7,013.75	
21JUL17	S	1	PD200CT17	2237.00	2519.05	USD	-7,051.25	
21JUL17	S	1	PD200CT17	2235.50	2519.05	USD	-7,088.75	
21JUL17	S	1	PD200CT17	2238.00	2519.05	USD	-7,026.25	
21JUL17	S	2	PD200CT17	2240.00	2519.05	USD	-13,952.50	
21JUL17	S	1	PD200CT17	2241.50	2519.05	USD	-6,938.75	
21JUL17	S	1	PD200CT17	2244.00	2519.05	USD	-6,876.25	
21JUL17	S	1	PD200CT17	2245.00	2519.05	USD	-6,851.25	
21JUL17	S	1	PD200CT17	2243.50	2519.05	USD	-6,888.75	
21JUL17	S	2	PD200CT17	2245.50	2519.05	USD	-13,677.50	
21JUL17	B	1	PD200CT17	2249.00	2519.05	USD	6,751.25	
21JUL17	B	1	PD200CT17	2248.00	2519.05	USD	6,776.25	
21JUL17	S	1	PD200CT17	2247.50	2519.05	USD	-6,788.75	
21JUL17	S	1	PD200CT17	2246.50	2519.05	USD	-6,813.75	
21JUL17	S	3	PD200CT17	2246.00	2519.05	USD	-20,478.75	
21JUL17	B	1	PD200CT17	2241.00	2519.05	USD	6,951.25	
21JUL17	B	1	PD200CT17	2240.50	2519.05	USD	6,963.75	
21JUL17	B	1	PD200CT17	2250.00	2519.05	USD	6,726.25	
21JUL17	B	2	PD200CT17	2248.50	2519.05	USD	13,527.50	
21JUL17	S	1	PD200CT17	2247.00	2519.05	USD	-6,801.25	
21JUL17	S	1	PD200CT17	2249.50	2519.05	USD	-6,738.75	
21JUL17	S	1	PD200CT17	2249.00	2519.05	USD	-6,751.25	
21JUL17	S	1	PD200CT17	2251.00	2519.05	USD	-6,701.25	
21JUL17	B	3	PD200CT17	2247.00	2519.05	USD	20,403.75	
21JUL17	B	1	PD200CT17	2244.50	2519.05	USD	6,863.75	
21JUL17	B	1	PD200CT17	2243.50	2519.05	USD	6,888.75	
21JUL17	B	3	PD200CT17	2244.00	2519.05	USD	20,628.75	
21JUL17	B	1	PD200CT17	2243.00	2519.05	USD	6,901.25	
21JUL17	B	1	PD200CT17	2242.00	2519.05	USD	6,926.25	
21JUL17	S	2	PD200CT17	2239.00	2519.05	USD	-14,002.50	
21JUL17	B	4	PD200CT17	2242.50	2519.05	USD	27,655.00	
05SEP17	B	5	PD200CT17	2318.25	2519.05	USD	25,100.00	
	NET	0AVG	BGHT 2244.97	SOLD 2234.40			-11,631.25	0.00
24JUL17	B	1	PD240CT17	2258.00	2519.65	USD	6,541.25	
24JUL17	B	2	PD240CT17	2259.00	2519.65	USD	13,032.50	
24JUL17	B	1	PD240CT17	2259.50	2519.65	USD	6,503.75	
24JUL17	B	1	PD240CT17	2257.50	2519.65	USD	6,553.75	
24JUL17	B	1	PD240CT17	2260.50	2519.65	USD	6,478.75	

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	<u>LME LEAD 25 TONNES. THIS IS AN</u>							
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24JUL17	B	1	PD240CT17	2262.00	2519.65	USD	6,441.25	
24JUL17	B	1	PD240CT17	2261.00	2519.65	USD	6,466.25	
24JUL17	B	2	PD240CT17	2258.50	2519.65	USD	13,057.50	
24JUL17	S	1	PD240CT17	2255.50	2519.65	USD	-6,603.75	
24JUL17	S	3	PD240CT17	2255.00	2519.65	USD	-19,848.75	
24JUL17	S	1	PD240CT17	2253.50	2519.65	USD	-6,653.75	
24JUL17	S	1	PD240CT17	2256.00	2519.65	USD	-6,591.25	
24JUL17	S	1	PD240CT17	2257.00	2519.65	USD	-6,566.25	
24JUL17	S	1	PD240CT17	2257.50	2519.65	USD	-6,553.75	
24JUL17	B	1	PD240CT17	2257.00	2519.65	USD	6,566.25	
05SEP17	S	3	PD240CT17	2319.63	2519.65	USD	-15,001.50	
	NET	0 AVG BGHT 2259.14	SOLD 2273.04				3,822.25	0.00
25JUL17	S	1	PD250CT17	2273.50	2519.80	USD	-6,157.50	
25JUL17	S	1	PD250CT17	2272.00	2519.80	USD	-6,195.00	
25JUL17	B	1	PD250CT17	2272.50	2519.80	USD	6,182.50	
25JUL17	S	1	PD250CT17	2280.50	2519.80	USD	-5,982.50	
25JUL17	S	1	PD250CT17	2282.00	2519.80	USD	-5,945.00	
25JUL17	S	1	PD250CT17	2282.50	2519.80	USD	-5,932.50	
25JUL17	S	2	PD250CT17	2284.00	2519.80	USD	-11,790.00	
25JUL17	S	1	PD250CT17	2284.50	2519.80	USD	-5,882.50	
25JUL17	S	1	PD250CT17	2286.50	2519.80	USD	-5,832.50	
25JUL17	S	1	PD250CT17	2287.00	2519.80	USD	-5,820.00	
25JUL17	S	1	PD250CT17	2289.00	2519.80	USD	-5,770.00	
25JUL17	S	5	PD250CT17	2290.00	2519.80	USD	-28,725.00	
25JUL17	S	1	PD250CT17	2289.50	2519.80	USD	-5,757.50	
25JUL17	S	2	PD250CT17	2291.00	2519.80	USD	-11,440.00	
25JUL17	S	1	PD250CT17	2293.00	2519.80	USD	-5,670.00	
25JUL17	S	1	PD250CT17	2293.50	2519.80	USD	-5,657.50	
25JUL17	B	1	PD250CT17	2285.50	2519.80	USD	5,857.50	
25JUL17	S	1	PD250CT17	2294.00	2519.80	USD	-5,645.00	
25JUL17	S	1	PD250CT17	2296.50	2519.80	USD	-5,582.50	
25JUL17	S	1	PD250CT17	2295.50	2519.80	USD	-5,607.50	
25JUL17	S	3	PD250CT17	2296.00	2519.80	USD	-16,785.00	
25JUL17	S	1	PD250CT17	2297.00	2519.80	USD	-5,570.00	
25JUL17	B	3	PD250CT17	2293.50	2519.80	USD	16,972.50	
25JUL17	B	1	PD250CT17	2297.00	2519.80	USD	5,570.00	
25JUL17	B	1	PD250CT17	2296.50	2519.80	USD	5,582.50	
25JUL17	S	1	PD250CT17	2294.50	2519.80	USD	-5,632.50	
25JUL17	S	1	PD250CT17	2280.00	2519.80	USD	-5,995.00	
25JUL17	B	2	PD250CT17	2292.50	2519.80	USD	11,365.00	
25JUL17	B	1	PD250CT17	2294.00	2519.80	USD	5,645.00	
25JUL17	B	1	PD250CT17	2292.00	2519.80	USD	5,695.00	
25JUL17	B	1	PD250CT17	2290.00	2519.80	USD	5,745.00	
25JUL17	B	1	PD250CT17	2307.00	2519.80	USD	5,320.00	
25JUL17	B	1	PD250CT17	2305.00	2519.80	USD	5,370.00	
25JUL17	B	2	PD250CT17	2295.50	2519.80	USD	11,215.00	
25JUL17	B	2	PD250CT17	2291.00	2519.80	USD	11,440.00	
25JUL17	B	1	PD250CT17	2298.50	2519.80	USD	5,532.50	
25JUL17	B	7	PD250CT17	2298.00	2519.80	USD	38,815.00	
25JUL17	S	1	PD250CT17	2311.50	2519.80	USD	-5,207.50	
25JUL17	S	1	PD250CT17	2316.00	2519.80	USD	-5,095.00	
25JUL17	B	1	PD250CT17	2311.50	2519.80	USD	5,207.50	
25JUL17	B	1	PD250CT17	2319.50	2519.80	USD	5,007.50	
25JUL17	B	1	PD250CT17	2316.00	2519.80	USD	5,095.00	
25JUL17	B	1	PD250CT17	2320.00	2519.80	USD	4,995.00	
25JUL17	B	2	PD250CT17	2321.50	2519.80	USD	9,915.00	
	NET	0 AVG BGHT 2299.14	SOLD 2290.20				-7,150.00	0.00

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26JUL17	B	1	PD26OCT17	2332.00	2519.95	USD	4,698.75	
26JUL17	B	1	PD26OCT17	2333.00	2519.95	USD	4,673.75	
26JUL17	B	1	PD26OCT17	2333.50	2519.95	USD	4,661.25	
26JUL17	S	1	PD26OCT17	2344.00	2519.95	USD	-4,398.75	
26JUL17	S	1	PD26OCT17	2344.50	2519.95	USD	-4,386.25	
26JUL17	S	1	PD26OCT17	2345.00	2519.95	USD	-4,373.75	
26JUL17	S	1	PD26OCT17	2347.00	2519.95	USD	-4,323.75	
26JUL17	B	1	PD26OCT17	2339.00	2519.95	USD	4,523.75	
26JUL17	B	1	PD26OCT17	2334.50	2519.95	USD	4,636.25	
26JUL17	S	1	PD26OCT17	2328.50	2519.95	USD	-4,786.25	
26JUL17	B	1	PD26OCT17	2323.00	2519.95	USD	4,923.75	
26JUL17	B	1	PD26OCT17	2313.50	2519.95	USD	5,161.25	
26JUL17	S	1	PD26OCT17	2312.50	2519.95	USD	-5,186.25	
26JUL17	S	1	PD26OCT17	2313.00	2519.95	USD	-5,173.75	
26JUL17	S	1	PD26OCT17	2320.00	2519.95	USD	-4,998.75	
26JUL17	S	1	PD26OCT17	2322.00	2519.95	USD	-4,948.75	
26JUL17	S	1	PD26OCT17	2321.50	2519.95	USD	-4,961.25	
26JUL17	S	1	PD26OCT17	2319.00	2519.95	USD	-5,023.75	
26JUL17	B	1	PD26OCT17	2317.00	2519.95	USD	5,073.75	
26JUL17	B	1	PD26OCT17	2315.00	2519.95	USD	5,123.75	
26JUL17	B	1	PD26OCT17	2313.00	2519.95	USD	5,173.75	
26JUL17	S	1	PD26OCT17	2315.00	2519.95	USD	-5,123.75	
26JUL17	B	6	PD26OCT17	2312.00	2519.95	USD	31,192.50	
26JUL17	S	1	PD26OCT17	2317.00	2519.95	USD	-5,073.75	
26JUL17	S	1	PD26OCT17	2316.50	2519.95	USD	-5,086.25	
26JUL17	S	1	PD26OCT17	2317.50	2519.95	USD	-5,061.25	
26JUL17	B	1	PD26OCT17	2333.00	2519.95	USD	4,673.75	
26JUL17	S	1	PD26OCT17	2346.00	2519.95	USD	-4,348.75	
26JUL17	B	1	PD26OCT17	2310.50	2519.95	USD	5,236.25	
26JUL17	S	1	PD26OCT17	2329.50	2519.95	USD	-4,761.25	
26JUL17	S	1	PD26OCT17	2335.00	2519.95	USD	-4,623.75	
26JUL17	S	1	PD26OCT17	2332.50	2519.95	USD	-4,686.25	
26JUL17	B	1	PD26OCT17	2325.00	2519.95	USD	4,873.75	
26JUL17	B	1	PD26OCT17	2325.50	2519.95	USD	4,861.25	
26JUL17	B	1	PD26OCT17	2324.00	2519.95	USD	4,898.75	
05SEP17	S	2	PD26OCT17	2320.42	2519.95	USD	-9,976.50	
	NET	0	AVG BGHT 2321.12	SOLD 2326.99			3,083.50	0.00
27JUL17	S	1	PD27OCT17	2326.50	2520.10	USD	-4,840.00	
27JUL17	B	1	PD27OCT17	2331.00	2520.10	USD	4,727.50	
27JUL17	B	1	PD27OCT17	2329.00	2520.10	USD	4,777.50	
27JUL17	S	1	PD27OCT17	2331.00	2520.10	USD	-4,727.50	
27JUL17	S	2	PD27OCT17	2331.50	2520.10	USD	-9,430.00	
27JUL17	B	3	PD27OCT17	2332.00	2520.10	USD	14,107.50	
27JUL17	B	2	PD27OCT17	2330.00	2520.10	USD	9,505.00	
27JUL17	S	1	PD27OCT17	2324.00	2520.10	USD	-4,902.50	
27JUL17	S	2	PD27OCT17	2327.50	2520.10	USD	-9,630.00	
28JUL17	B	1	PD27OCT17	2297.50	2520.10	USD	5,565.00	
28JUL17	S	1	PD27OCT17	2296.50	2520.10	USD	-5,590.00	
28JUL17	S	1	PD27OCT17	2297.50	2520.10	USD	-5,565.00	
28JUL17	S	1	PD27OCT17	2299.50	2520.10	USD	-5,515.00	
28JUL17	S	1	PD27OCT17	2298.50	2520.10	USD	-5,540.00	
28JUL17	S	1	PD27OCT17	2303.00	2520.10	USD	-5,427.50	
28JUL17	S	2	PD27OCT17	2302.00	2520.10	USD	-10,905.00	
28JUL17	B	3	PD27OCT17	2298.00	2520.10	USD	16,657.50	
28JUL17	B	1	PD27OCT17	2297.00	2520.10	USD	5,577.50	
28JUL17	B	1	PD27OCT17	2296.00	2520.10	USD	5,602.50	
28JUL17	B	3	PD27OCT17	2295.00	2520.10	USD	16,882.50	

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28JUL17	B	1	PD27OCT17	2291.00	2520.10	USD	5,727.50	
28JUL17	B	1	PD27OCT17	2291.50	2520.10	USD	5,715.00	
28JUL17	B	1	PD27OCT17	2292.00	2520.10	USD	5,702.50	
28JUL17	B	3	PD27OCT17	2292.50	2520.10	USD	17,070.00	
28JUL17	S	1	PD27OCT17	2302.50	2520.10	USD	-5,440.00	
28JUL17	S	3	PD27OCT17	2303.50	2520.10	USD	-16,245.00	
28JUL17	B	1	PD27OCT17	2306.50	2520.10	USD	5,340.00	
28JUL17	S	3	PD27OCT17	2305.00	2520.10	USD	-16,132.50	
28JUL17	S	6	PD27OCT17	2304.50	2520.10	USD	-32,340.00	
28JUL17	B	1	PD27OCT17	2292.50	2520.10	USD	5,690.00	
28JUL17	S	1	PD27OCT17	2292.50	2520.10	USD	-5,690.00	
28JUL17	B	1	PD27OCT17	2292.00	2520.10	USD	5,702.50	
28JUL17	S	1	PD27OCT17	2301.00	2520.10	USD	-5,477.50	
28JUL17	S	3	PD27OCT17	2307.50	2520.10	USD	-15,945.00	
28JUL17	S	2	PD27OCT17	2307.00	2520.10	USD	-10,655.00	
28JUL17	S	1	PD27OCT17	2312.50	2520.10	USD	-5,190.00	
28JUL17	S	1	PD27OCT17	2311.50	2520.10	USD	-5,215.00	
28JUL17	S	1	PD27OCT17	2312.00	2520.10	USD	-5,202.50	
28JUL17	B	1	PD27OCT17	2317.00	2520.10	USD	5,077.50	
28JUL17	B	1	PD27OCT17	2316.00	2520.10	USD	5,102.50	
28JUL17	B	2	PD27OCT17	2315.50	2520.10	USD	10,230.00	
28JUL17	B	1	PD27OCT17	2314.50	2520.10	USD	5,140.00	
28JUL17	B	1	PD27OCT17	2315.00	2520.10	USD	5,127.50	
28JUL17	B	1	PD27OCT17	2323.50	2520.10	USD	4,915.00	
28JUL17	B	1	PD27OCT17	2319.50	2520.10	USD	5,015.00	
05SEP17	B	4	PD27OCT17	2321.01	2520.10	USD	19,909.00	
	NET	0AVG	BGHT 2309.43	SOLD 2308.64			-738.50	0.00
31JUL17	B	1	PD31OCT17	2339.50	2520.70	USD	4,530.00	
31JUL17	B	1	PD31OCT17	2334.00	2520.70	USD	4,667.50	
31JUL17	S	1	PD31OCT17	2331.00	2520.70	USD	-4,742.50	
31JUL17	S	1	PD31OCT17	2332.00	2520.70	USD	-4,717.50	
31JUL17	S	1	PD31OCT17	2332.50	2520.70	USD	-4,705.00	
31JUL17	S	1	PD31OCT17	2333.50	2520.70	USD	-4,680.00	
31JUL17	S	1	PD31OCT17	2330.00	2520.70	USD	-4,767.50	
31JUL17	B	5	PD31OCT17	2335.50	2520.70	USD	23,150.00	
31JUL17	S	1	PD31OCT17	2336.00	2520.70	USD	-4,617.50	
31JUL17	S	1	PD31OCT17	2339.50	2520.70	USD	-4,530.00	
31JUL17	S	1	PD31OCT17	2337.50	2520.70	USD	-4,580.00	
31JUL17	B	2	PD31OCT17	2337.50	2520.70	USD	9,160.00	
31JUL17	S	1	PD31OCT17	2345.00	2520.70	USD	-4,392.50	
31JUL17	S	1	PD31OCT17	2341.50	2520.70	USD	-4,480.00	
31JUL17	S	1	PD31OCT17	2344.00	2520.70	USD	-4,417.50	
31JUL17	S	1	PD31OCT17	2337.00	2520.70	USD	-4,592.50	
31JUL17	B	1	PD31OCT17	2330.00	2520.70	USD	4,767.50	
31JUL17	S	1	PD31OCT17	2330.00	2520.70	USD	-4,767.50	
31JUL17	S	1	PD31OCT17	2337.00	2520.70	USD	-4,592.50	
31JUL17	B	1	PD31OCT17	2331.00	2520.70	USD	4,742.50	
31JUL17	B	1	PD31OCT17	2333.50	2520.70	USD	4,680.00	
31JUL17	B	2	PD31OCT17	2332.50	2520.70	USD	9,410.00	
31JUL17	B	3	PD31OCT17	2331.50	2520.70	USD	14,190.00	
31JUL17	B	1	PD31OCT17	2327.00	2520.70	USD	4,842.50	
05SEP17	S	4	PD31OCT17	2322.39	2520.70	USD	-19,831.00	
	NET	0AVG	BGHT 2333.72	SOLD 2333.11			-273.50	0.00
01AUG17	S	4	PD01NOV17	2315.00	2520.85	USD	-20,585.00	
01AUG17	B	2	PD01NOV17	2311.50	2520.85	USD	10,467.50	
01AUG17	B	1	PD01NOV17	2311.00	2520.85	USD	5,246.25	
01AUG17	B	1	PD01NOV17	2309.50	2520.85	USD	5,283.75	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP083XA

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME LEAD 25 TONNES. THIS IS AN</u>							
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01AUG17	B	1	PD01NOV17	2309.00	2520.85	USD	5,296.25	
01AUG17	B	1	PD01NOV17	2308.50	2520.85	USD	5,308.75	
01AUG17	B	1	PD01NOV17	2305.00	2520.85	USD	5,396.25	
01AUG17	B	1	PD01NOV17	2304.50	2520.85	USD	5,408.75	
01AUG17	B	1	PD01NOV17	2301.50	2520.85	USD	5,483.75	
01AUG17	B	1	PD01NOV17	2302.50	2520.85	USD	5,458.75	
01AUG17	B	1	PD01NOV17	2302.00	2520.85	USD	5,471.25	
01AUG17	S	4	PD01NOV17	2308.00	2520.85	USD	-21,285.00	
01AUG17	S	2	PD01NOV17	2308.50	2520.85	USD	-10,617.50	
01AUG17	S	2	PD01NOV17	2310.50	2520.85	USD	-10,517.50	
01AUG17	S	3	PD01NOV17	2310.00	2520.85	USD	-15,813.75	
01AUG17	S	1	PD01NOV17	2307.00	2520.85	USD	-5,346.25	
01AUG17	S	1	PD01NOV17	2311.00	2520.85	USD	-5,246.25	
01AUG17	S	1	PD01NOV17	2314.00	2520.85	USD	-5,171.25	
01AUG17	S	2	PD01NOV17	2315.50	2520.85	USD	-10,267.50	
01AUG17	S	2	PD01NOV17	2316.00	2520.85	USD	-10,242.50	
01AUG17	S	3	PD01NOV17	2314.50	2520.85	USD	-15,476.25	
01AUG17	S	3	PD01NOV17	2316.50	2520.85	USD	-15,326.25	
01AUG17	S	1	PD01NOV17	2317.00	2520.85	USD	-5,096.25	
01AUG17	S	1	PD01NOV17	2321.00	2520.85	USD	-4,996.25	
01AUG17	S	1	PD01NOV17	2320.00	2520.85	USD	-5,021.25	
01AUG17	S	1	PD01NOV17	2322.00	2520.85	USD	-4,971.25	
01AUG17	S	1	PD01NOV17	2321.50	2520.85	USD	-4,983.75	
01AUG17	B	1	PD01NOV17	2315.50	2520.85	USD	5,133.75	
01AUG17	B	1	PD01NOV17	2316.00	2520.85	USD	5,121.25	
01AUG17	B	1	PD01NOV17	2317.50	2520.85	USD	5,083.75	
01AUG17	S	1	PD01NOV17	2320.50	2520.85	USD	-5,008.75	
01AUG17	S	1	PD01NOV17	2322.50	2520.85	USD	-4,958.75	
01AUG17	S	2	PD01NOV17	2324.50	2520.85	USD	-9,817.50	
01AUG17	B	1	PD01NOV17	2327.00	2520.85	USD	4,846.25	
01AUG17	B	1	PD01NOV17	2329.00	2520.85	USD	4,796.25	
01AUG17	B	1	PD01NOV17	2318.00	2520.85	USD	5,071.25	
01AUG17	B	1	PD01NOV17	2327.50	2520.85	USD	4,833.75	
01AUG17	S	1	PD01NOV17	2330.00	2520.85	USD	-4,771.25	
01AUG17	S	2	PD01NOV17	2330.50	2520.85	USD	-9,517.50	
01AUG17	S	1	PD01NOV17	2333.00	2520.85	USD	-4,696.25	
01AUG17	S	1	PD01NOV17	2333.50	2520.85	USD	-4,683.75	
01AUG17	S	1	PD01NOV17	2329.50	2520.85	USD	-4,783.75	
01AUG17	S	1	PD01NOV17	2335.50	2520.85	USD	-4,633.75	
01AUG17	B	1	PD01NOV17	2338.50	2520.85	USD	4,558.75	
01AUG17	B	1	PD01NOV17	2337.00	2520.85	USD	4,596.25	
01AUG17	B	1	PD01NOV17	2333.50	2520.85	USD	4,683.75	
01AUG17	B	1	PD01NOV17	2334.50	2520.85	USD	4,658.75	
01AUG17	B	2	PD01NOV17	2335.00	2520.85	USD	9,292.50	
01AUG17	B	2	PD01NOV17	2335.50	2520.85	USD	9,267.50	
01AUG17	B	5	PD01NOV17	2336.50	2520.85	USD	23,043.75	
01AUG17	B	7	PD01NOV17	2336.00	2520.85	USD	32,348.75	
01AUG17	S	1	PD01NOV17	2335.50	2520.85	USD	-4,633.75	
01AUG17	B	1	PD01NOV17	2337.50	2520.85	USD	4,583.75	
05SEP17	B	6	PD01NOV17	2322.98	2520.85	USD	29,680.50	
	NET	0AVG BGHT 2324.92	SOLD 2317.77				-8,047.00	0.00
02AUG17	S	1	PD02NOV17	2328.50	2521.00	USD	-4,812.50	
02AUG17	S	2	PD02NOV17	2327.50	2521.00	USD	-9,675.00	
02AUG17	S	1	PD02NOV17	2332.00	2521.00	USD	-4,725.00	
02AUG17	S	1	PD02NOV17	2333.00	2521.00	USD	-4,700.00	
02AUG17	S	2	PD02NOV17	2332.50	2521.00	USD	-9,425.00	
02AUG17	S	1	PD02NOV17	2337.50	2521.00	USD	-4,587.50	

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02AUG17	S	1	PD02NOV17		2339.00	2521.00	USD	-4,550.00	
02AUG17	B	3	PD02NOV17		2340.00	2521.00	USD	13,575.00	
02AUG17	B	3	PD02NOV17		2339.50	2521.00	USD	13,612.50	
02AUG17	B	1	PD02NOV17		2343.00	2521.00	USD	4,450.00	
02AUG17	B	1	PD02NOV17		2342.50	2521.00	USD	4,462.50	
02AUG17	B	1	PD02NOV17		2342.00	2521.00	USD	4,475.00	
02AUG17	S	2	PD02NOV17		2335.00	2521.00	USD	-9,300.00	
02AUG17	S	1	PD02NOV17		2335.50	2521.00	USD	-4,637.50	
02AUG17	B	2	PD02NOV17		2338.00	2521.00	USD	9,150.00	
02AUG17	B	1	PD02NOV17		2337.00	2521.00	USD	4,600.00	
02AUG17	B	2	PD02NOV17		2343.50	2521.00	USD	8,875.00	
02AUG17	B	1	PD02NOV17		2340.50	2521.00	USD	4,512.50	
02AUG17	B	1	PD02NOV17		2341.00	2521.00	USD	4,500.00	
02AUG17	B	1	PD02NOV17		2339.00	2521.00	USD	4,550.00	
05SEP17	S	5	PD02NOV17		2323.18	2521.00	USD	-24,727.50	
29SEP17	S	10	PD02NOV17		2492.15	2521.00	USD	-7,212.50	
29SEP17	S	5	PD02NOV17		2492.35	2521.00	USD	-3,581.25	
03OCT17	B	13	PD02NOV17		2588.00	2521.00	USD	-21,775.00	
04OCT17	B	1	PD02NOV17		2555.57	2521.00	USD	-864.25	
11OCT17	B	1	PD02NOV17		2556.89	2521.00	USD	-897.25	
	NET	0AVG BGHT 2454.47	SOLD 2406.08					-38,707.75	0.00
03AUG17	B	1	PD03NOV17		2373.50	2521.13	USD	3,690.75	
03AUG17	S	1	PD03NOV17		2368.50	2521.13	USD	-3,815.75	
04AUG17	S	2	PD03NOV17		2365.50	2521.13	USD	-7,781.50	
04AUG17	B	1	PD03NOV17		2372.00	2521.13	USD	3,728.25	
04AUG17	S	2	PD03NOV17		2372.50	2521.13	USD	-7,431.50	
04AUG17	S	1	PD03NOV17		2370.50	2521.13	USD	-3,765.75	
04AUG17	S	3	PD03NOV17		2369.00	2521.13	USD	-11,409.75	
04AUG17	B	2	PD03NOV17		2367.50	2521.13	USD	7,681.50	
04AUG17	B	2	PD03NOV17		2366.00	2521.13	USD	7,756.50	
04AUG17	B	1	PD03NOV17		2367.00	2521.13	USD	3,853.25	
04AUG17	B	1	PD03NOV17		2366.50	2521.13	USD	3,865.75	
04AUG17	B	1	PD03NOV17		2371.50	2521.13	USD	3,740.75	
04AUG17	B	1	PD03NOV17		2356.00	2521.13	USD	4,128.25	
05SEP17	S	1	PD03NOV17		2323.57	2521.13	USD	-4,939.00	
	NET	0AVG BGHT 2367.35	SOLD 2364.56					-698.25	0.00
07AUG17	S	1	PD07NOV17		2359.50	2521.67	USD	-4,054.25	
07AUG17	S	2	PD07NOV17		2359.00	2521.67	USD	-8,133.50	
07AUG17	S	1	PD07NOV17		2354.50	2521.67	USD	-4,179.25	
07AUG17	S	2	PD07NOV17		2357.50	2521.67	USD	-8,208.50	
07AUG17	S	1	PD07NOV17		2368.50	2521.67	USD	-3,829.25	
07AUG17	S	1	PD07NOV17		2370.00	2521.67	USD	-3,791.75	
07AUG17	S	1	PD07NOV17		2369.50	2521.67	USD	-3,804.25	
07AUG17	B	1	PD07NOV17		2376.00	2521.67	USD	3,641.75	
07AUG17	B	1	PD07NOV17		2377.00	2521.67	USD	3,616.75	
07AUG17	B	2	PD07NOV17		2374.00	2521.67	USD	7,383.50	
07AUG17	B	1	PD07NOV17		2382.00	2521.67	USD	3,491.75	
07AUG17	B	1	PD07NOV17		2390.00	2521.67	USD	3,291.75	
07AUG17	B	2	PD07NOV17		2375.50	2521.67	USD	7,308.50	
07AUG17	B	4	PD07NOV17		2375.00	2521.67	USD	14,667.00	
07AUG17	S	1	PD07NOV17		2383.50	2521.67	USD	-3,454.25	
07AUG17	S	1	PD07NOV17		2386.00	2521.67	USD	-3,391.75	
07AUG17	B	1	PD07NOV17		2375.00	2521.67	USD	3,666.75	
07AUG17	B	1	PD07NOV17		2363.00	2521.67	USD	3,966.75	
07AUG17	S	1	PD07NOV17		2366.00	2521.67	USD	-3,891.75	
05SEP17	S	2	PD07NOV17		2325.35	2521.67	USD	-9,816.00	
	NET	0AVG BGHT 2375.86	SOLD 2360.09					-5,520.00	0.00

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08AUG17	S	1	PD08NOV17	2365.00	2521.81	USD	-3,920.25	
08AUG17	B	1	PD08NOV17	2365.00	2521.81	USD	3,920.25	
08AUG17	B	1	PD08NOV17	2366.50	2521.81	USD	3,882.75	
08AUG17	S	1	PD08NOV17	2348.00	2521.81	USD	-4,345.25	
08AUG17	B	1	PD08NOV17	2349.50	2521.81	USD	4,307.75	
08AUG17	S	1	PD08NOV17	2365.50	2521.81	USD	-3,907.75	
08AUG17	S	1	PD08NOV17	2388.00	2521.81	USD	-3,345.25	
08AUG17	S	1	PD08NOV17	2389.00	2521.81	USD	-3,320.25	
08AUG17	B	1	PD08NOV17	2384.50	2521.81	USD	3,432.75	
08AUG17	B	1	PD08NOV17	2385.00	2521.81	USD	3,420.25	
08AUG17	B	1	PD08NOV17	2393.00	2521.81	USD	3,220.25	
08AUG17	S	1	PD08NOV17	2392.50	2521.81	USD	-3,232.75	
08AUG17	S	1	PD08NOV17	2391.00	2521.81	USD	-3,270.25	
05SEP17	B	1	PD08NOV17	2325.94	2521.81	USD	4,896.75	
	NET	0 AVG BGHT 2367.06	SOLD 2377.00				1,739.00	0.00
09AUG17	S	1	PD09NOV17	2398.00	2521.94	USD	-3,098.50	
09AUG17	S	1	PD09NOV17	2397.50	2521.94	USD	-3,111.00	
09AUG17	S	1	PD09NOV17	2403.50	2521.94	USD	-2,961.00	
09AUG17	B	1	PD09NOV17	2400.00	2521.94	USD	3,048.50	
09AUG17	B	1	PD09NOV17	2400.50	2521.94	USD	3,036.00	
09AUG17	S	1	PD09NOV17	2419.50	2521.94	USD	-2,561.00	
09AUG17	S	1	PD09NOV17	2419.00	2521.94	USD	-2,573.50	
09AUG17	S	2	PD09NOV17	2420.50	2521.94	USD	-5,072.00	
09AUG17	S	1	PD09NOV17	2413.50	2521.94	USD	-2,711.00	
09AUG17	B	2	PD09NOV17	2401.00	2521.94	USD	6,047.00	
09AUG17	B	1	PD09NOV17	2398.00	2521.94	USD	3,098.50	
09AUG17	B	1	PD09NOV17	2392.00	2521.94	USD	3,248.50	
09AUG17	B	3	PD09NOV17	2389.50	2521.94	USD	9,933.00	
09AUG17	B	1	PD09NOV17	2379.00	2521.94	USD	3,573.50	
09AUG17	S	2	PD09NOV17	2395.00	2521.94	USD	-6,347.00	
09AUG17	S	1	PD09NOV17	2394.50	2521.94	USD	-3,186.00	
09AUG17	S	1	PD09NOV17	2407.00	2521.94	USD	-2,873.50	
09AUG17	S	1	PD09NOV17	2411.50	2521.94	USD	-2,761.00	
09AUG17	S	2	PD09NOV17	2412.50	2521.94	USD	-5,472.00	
09AUG17	B	1	PD09NOV17	2394.00	2521.94	USD	3,198.50	
09AUG17	B	1	PD09NOV17	2384.50	2521.94	USD	3,436.00	
09AUG17	B	1	PD09NOV17	2388.50	2521.94	USD	3,336.00	
09AUG17	B	1	PD09NOV17	2389.00	2521.94	USD	3,323.50	
09AUG17	B	1	PD09NOV17	2354.00	2521.94	USD	4,198.50	
09AUG17	B	1	PD09NOV17	2354.50	2521.94	USD	4,186.00	
09AUG17	B	1	PD09NOV17	2351.50	2521.94	USD	4,261.00	
09AUG17	B	1	PD09NOV17	2358.00	2521.94	USD	4,098.50	
09AUG17	S	1	PD09NOV17	2358.00	2521.94	USD	-4,098.50	
09AUG17	S	1	PD09NOV17	2361.00	2521.94	USD	-4,023.50	
09AUG17	S	2	PD09NOV17	2362.00	2521.94	USD	-7,997.00	
05SEP17	B	1	PD09NOV17	2326.33	2521.94	USD	4,890.25	
	NET	0 AVG BGHT 2381.07	SOLD 2398.05				8,066.75	0.00
10AUG17	S	1	PD10NOV17	2380.00	2522.08	USD	-3,552.00	
10AUG17	B	1	PD10NOV17	2367.00	2522.08	USD	3,877.00	
10AUG17	S	3	PD10NOV17	2381.50	2522.08	USD	-10,543.50	
10AUG17	B	1	PD10NOV17	2370.50	2522.08	USD	3,789.50	
10AUG17	B	1	PD10NOV17	2368.50	2522.08	USD	3,839.50	
10AUG17	B	1	PD10NOV17	2369.50	2522.08	USD	3,814.50	
11AUG17	S	2	PD10NOV17	2344.00	2522.08	USD	-8,904.00	
11AUG17	B	1	PD10NOV17	2346.00	2522.08	USD	4,402.00	
11AUG17	B	1	PD10NOV17	2345.50	2522.08	USD	4,414.50	

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11AUG17	S	3	PD10NOV17	2337.50	2522.08	USD	-13,843.50	
11AUG17	S	1	PD10NOV17	2342.00	2522.08	USD	-4,502.00	
11AUG17	S	1	PD10NOV17	2340.00	2522.08	USD	-4,552.00	
11AUG17	B	1	PD10NOV17	2335.50	2522.08	USD	4,664.50	
11AUG17	B	1	PD10NOV17	2336.00	2522.08	USD	4,652.00	
11AUG17	B	2	PD10NOV17	2335.00	2522.08	USD	9,354.00	
11AUG17	B	4	PD10NOV17	2339.00	2522.08	USD	18,308.00	
11AUG17	S	1	PD10NOV17	2341.50	2522.08	USD	-4,514.50	
11AUG17	S	2	PD10NOV17	2343.00	2522.08	USD	-8,954.00	
11AUG17	S	1	PD10NOV17	2343.50	2522.08	USD	-4,464.50	
11AUG17	S	1	PD10NOV17	2339.00	2522.08	USD	-4,577.00	
11AUG17	S	1	PD10NOV17	2342.50	2522.08	USD	-4,489.50	
11AUG17	B	2	PD10NOV17	2341.50	2522.08	USD	9,029.00	
11AUG17	S	1	PD10NOV17	2344.00	2522.08	USD	-4,452.00	
11AUG17	B	1	PD10NOV17	2342.00	2522.08	USD	4,502.00	
11AUG17	S	2	PD10NOV17	2352.00	2522.08	USD	-8,504.00	
11AUG17	S	1	PD10NOV17	2350.50	2522.08	USD	-4,289.50	
11AUG17	S	1	PD10NOV17	2356.00	2522.08	USD	-4,152.00	
11AUG17	S	1	PD10NOV17	2355.00	2522.08	USD	-4,177.00	
11AUG17	S	1	PD10NOV17	2352.50	2522.08	USD	-4,239.50	
11AUG17	B	1	PD10NOV17	2341.00	2522.08	USD	4,527.00	
11AUG17	B	3	PD10NOV17	2338.00	2522.08	USD	13,806.00	
11AUG17	B	1	PD10NOV17	2337.00	2522.08	USD	4,627.00	
11AUG17	B	2	PD10NOV17	2334.00	2522.08	USD	9,404.00	
11AUG17	B	1	PD10NOV17	2330.00	2522.08	USD	4,802.00	
11AUG17	B	1	PD10NOV17	2329.00	2522.08	USD	4,827.00	
11AUG17	S	1	PD10NOV17	2333.50	2522.08	USD	-4,714.50	
14SEP17	S	1	PD10NOV17	2296.92	2522.08	USD	-5,629.00	
	NET	0AVG BGHT 2342.63	SOLD 2348.15				3,585.50	0.00
14AUG17	S	1	PD14NOV17	2343.50	2522.62	USD	-4,478.00	
14AUG17	S	1	PD14NOV17	2345.00	2522.62	USD	-4,440.50	
14AUG17	S	2	PD14NOV17	2343.00	2522.62	USD	-8,981.00	
14AUG17	S	4	PD14NOV17	2346.00	2522.62	USD	-17,662.00	
14AUG17	B	1	PD14NOV17	2338.50	2522.62	USD	4,603.00	
14AUG17	B	3	PD14NOV17	2338.00	2522.62	USD	13,846.50	
14AUG17	B	1	PD14NOV17	2337.00	2522.62	USD	4,640.50	
14AUG17	B	1	PD14NOV17	2333.00	2522.62	USD	4,740.50	
14AUG17	B	1	PD14NOV17	2335.00	2522.62	USD	4,690.50	
14AUG17	B	1	PD14NOV17	2334.00	2522.62	USD	4,715.50	
14AUG17	S	1	PD14NOV17	2334.50	2522.62	USD	-4,703.00	
14SEP17	B	1	PD14NOV17	2298.58	2522.62	USD	5,601.00	
	NET	0AVG BGHT 2332.23	SOLD 2343.67				2,573.00	0.00
16AUG17	S	1	PD16NOV17	2388.50	2522.75	USD	-3,356.25	
16AUG17	S	1	PD16NOV17	2387.50	2522.75	USD	-3,381.25	
16AUG17	S	3	PD16NOV17	2388.00	2522.75	USD	-10,106.25	
16AUG17	S	1	PD16NOV17	2393.50	2522.75	USD	-3,231.25	
16AUG17	S	1	PD16NOV17	2398.50	2522.75	USD	-3,106.25	
16AUG17	S	1	PD16NOV17	2399.00	2522.75	USD	-3,093.75	
16AUG17	S	1	PD16NOV17	2399.50	2522.75	USD	-3,081.25	
16AUG17	S	1	PD16NOV17	2402.50	2522.75	USD	-3,006.25	
16AUG17	S	1	PD16NOV17	2403.50	2522.75	USD	-2,981.25	
16AUG17	B	2	PD16NOV17	2414.50	2522.75	USD	5,412.50	
16AUG17	B	1	PD16NOV17	2413.50	2522.75	USD	2,731.25	
16AUG17	B	2	PD16NOV17	2417.50	2522.75	USD	5,262.50	
16AUG17	B	1	PD16NOV17	2411.50	2522.75	USD	2,781.25	
16AUG17	S	1	PD16NOV17	2420.50	2522.75	USD	-2,556.25	
16AUG17	S	3	PD16NOV17	2420.00	2522.75	USD	-7,706.25	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP083XA

13th October 2017

Sapien Capital Limited
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London W1J 7NJ UK
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backoffice@sapiencap.com

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
16AUG17	S	1	PD16NOV17	2423.50	2522.75	USD	-2,481.25	
16AUG17	S	1	PD16NOV17	2422.00	2522.75	USD	-2,518.75	
16AUG17	B	1	PD16NOV17	2431.00	2522.75	USD	2,293.75	
16AUG17	S	1	PD16NOV17	2387.50	2522.75	USD	-3,381.25	
16AUG17	B	1	PD16NOV17	2420.50	2522.75	USD	2,556.25	
16AUG17	S	2	PD16NOV17	2427.50	2522.75	USD	-4,762.50	
16AUG17	S	1	PD16NOV17	2428.50	2522.75	USD	-2,356.25	
16AUG17	B	1	PD16NOV17	2450.50	2522.75	USD	1,806.25	
16AUG17	B	1	PD16NOV17	2451.00	2522.75	USD	1,793.75	
16AUG17	B	1	PD16NOV17	2452.50	2522.75	USD	1,756.25	
16AUG17	B	1	PD16NOV17	2479.50	2522.75	USD	1,081.25	
16AUG17	B	1	PD16NOV17	2479.00	2522.75	USD	1,093.75	
16AUG17	B	1	PD16NOV17	2481.00	2522.75	USD	1,043.75	
16AUG17	B	1	PD16NOV17	2480.00	2522.75	USD	1,068.75	
16AUG17	B	1	PD16NOV17	2471.00	2522.75	USD	1,293.75	
16AUG17	B	1	PD16NOV17	2472.50	2522.75	USD	1,256.25	
16AUG17	B	1	PD16NOV17	2490.00	2522.75	USD	818.75	
16AUG17	B	1	PD16NOV17	2479.50	2522.75	USD	1,081.25	
16AUG17	B	1	PD16NOV17	2480.00	2522.75	USD	1,068.75	
16AUG17	S	1	PD16NOV17	2495.50	2522.75	USD	-681.25	
16AUG17	S	1	PD16NOV17	2501.00	2522.75	USD	-543.75	
16AUG17	B	2	PD16NOV17	2512.00	2522.75	USD	537.50	
16AUG17	B	2	PD16NOV17	2513.00	2522.75	USD	487.50	
10OCT17	S	1	PD16NOV17	2549.25	2522.75	USD	662.50	
	NET	0	AVG BGHT 2460.71	SOLD 2419.97			-24,443.75	0.00
17AUG17	S	1	PD17NOV17	2528.50	2522.75	USD	143.75	
17AUG17	B	1	PD17NOV17	2531.00	2522.75	USD	-206.25	
17AUG17	B	4	PD17NOV17	2532.00	2522.75	USD	-925.00	
17AUG17	B	2	PD17NOV17	2534.50	2522.75	USD	-587.50	
17AUG17	S	1	PD17NOV17	2521.50	2522.75	USD	-31.25	
17AUG17	S	1	PD17NOV17	2520.50	2522.75	USD	-56.25	
17AUG17	S	2	PD17NOV17	2521.00	2522.75	USD	-87.50	
17AUG17	S	1	PD17NOV17	2517.00	2522.75	USD	-143.75	
17AUG17	S	1	PD17NOV17	2508.00	2522.75	USD	-368.75	
17AUG17	S	1	PD17NOV17	2509.00	2522.75	USD	-343.75	
17AUG17	S	1	PD17NOV17	2510.50	2522.75	USD	-306.25	
17AUG17	S	1	PD17NOV17	2513.00	2522.75	USD	-243.75	
17AUG17	B	1	PD17NOV17	2488.50	2522.75	USD	856.25	
17AUG17	B	1	PD17NOV17	2492.00	2522.75	USD	768.75	
17AUG17	B	1	PD17NOV17	2491.50	2522.75	USD	781.25	
17AUG17	B	1	PD17NOV17	2493.00	2522.75	USD	743.75	
17AUG17	B	3	PD17NOV17	2484.00	2522.75	USD	2,906.25	
17AUG17	S	1	PD17NOV17	2529.00	2522.75	USD	156.25	
17AUG17	S	1	PD17NOV17	2520.00	2522.75	USD	-68.75	
17AUG17	B	2	PD17NOV17	2465.00	2522.75	USD	2,887.50	
17AUG17	S	2	PD17NOV17	2465.50	2522.75	USD	-2,862.50	
17AUG17	B	1	PD17NOV17	2439.50	2522.75	USD	2,081.25	
17AUG17	B	1	PD17NOV17	2444.00	2522.75	USD	1,968.75	
17AUG17	B	1	PD17NOV17	2447.00	2522.75	USD	1,893.75	
17AUG17	B	1	PD17NOV17	2437.50	2522.75	USD	2,131.25	
17AUG17	B	1	PD17NOV17	2435.00	2522.75	USD	2,193.75	
17AUG17	S	1	PD17NOV17	2417.50	2522.75	USD	-2,631.25	
17AUG17	S	1	PD17NOV17	2420.50	2522.75	USD	-2,556.25	
17AUG17	B	1	PD17NOV17	2420.50	2522.75	USD	2,556.25	
17AUG17	S	2	PD17NOV17	2414.50	2522.75	USD	-5,412.50	
17AUG17	B	1	PD17NOV17	2409.50	2522.75	USD	2,831.25	
17AUG17	S	2	PD17NOV17	2405.00	2522.75	USD	-5,887.50	

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17AUG17	S	2	PD17NOV17	2401.00	2522.75	USD	-6,087.50	
17AUG17	S	1	PD17NOV17	2407.00	2522.75	USD	-2,893.75	
18AUG17	B	1	PD17NOV17	2412.00	2522.75	USD	2,768.75	
18AUG17	B	1	PD17NOV17	2410.00	2522.75	USD	2,818.75	
18AUG17	B	2	PD17NOV17	2410.50	2522.75	USD	5,612.50	
18AUG17	S	1	PD17NOV17	2420.50	2522.75	USD	-2,556.25	
18AUG17	B	1	PD17NOV17	2420.50	2522.75	USD	2,556.25	
18AUG17	B	1	PD17NOV17	2427.00	2522.75	USD	2,393.75	
18AUG17	B	1	PD17NOV17	2425.00	2522.75	USD	2,443.75	
18AUG17	B	1	PD17NOV17	2434.00	2522.75	USD	2,218.75	
18AUG17	S	1	PD17NOV17	2443.50	2522.75	USD	-1,981.25	
18AUG17	S	1	PD17NOV17	2444.50	2522.75	USD	-1,956.25	
18AUG17	S	1	PD17NOV17	2447.00	2522.75	USD	-1,893.75	
18AUG17	S	1	PD17NOV17	2452.00	2522.75	USD	-1,768.75	
18AUG17	S	1	PD17NOV17	2448.00	2522.75	USD	-1,868.75	
18AUG17	S	2	PD17NOV17	2449.00	2522.75	USD	-3,687.50	
18AUG17	S	1	PD17NOV17	2447.50	2522.75	USD	-1,881.25	
18AUG17	S	1	PD17NOV17	2448.50	2522.75	USD	-1,856.25	
18AUG17	S	1	PD17NOV17	2450.00	2522.75	USD	-1,818.75	
18AUG17	S	1	PD17NOV17	2444.00	2522.75	USD	-1,968.75	
18AUG17	B	1	PD17NOV17	2437.50	2522.75	USD	2,131.25	
18AUG17	B	2	PD17NOV17	2438.00	2522.75	USD	4,237.50	
18AUG17	B	1	PD17NOV17	2436.50	2522.75	USD	2,156.25	
18AUG17	B	2	PD17NOV17	2437.00	2522.75	USD	4,287.50	
18AUG17	B	2	PD17NOV17	2436.00	2522.75	USD	4,337.50	
18AUG17	B	2	PD17NOV17	2434.50	2522.75	USD	4,412.50	
18AUG17	B	3	PD17NOV17	2426.50	2522.75	USD	7,218.75	
18AUG17	B	1	PD17NOV17	2418.50	2522.75	USD	2,606.25	
18AUG17	B	3	PD17NOV17	2419.50	2522.75	USD	7,743.75	
18AUG17	B	3	PD17NOV17	2419.00	2522.75	USD	7,781.25	
18AUG17	B	1	PD17NOV17	2412.50	2522.75	USD	2,756.25	
18AUG17	S	1	PD17NOV17	2406.50	2522.75	USD	-2,906.25	
18AUG17	S	1	PD17NOV17	2407.00	2522.75	USD	-2,893.75	
18AUG17	S	1	PD17NOV17	2408.00	2522.75	USD	-2,868.75	
18AUG17	B	2	PD17NOV17	2404.50	2522.75	USD	5,912.50	
18AUG17	B	1	PD17NOV17	2406.00	2522.75	USD	2,918.75	
18AUG17	B	1	PD17NOV17	2402.00	2522.75	USD	3,018.75	
18AUG17	B	1	PD17NOV17	2415.00	2522.75	USD	2,693.75	
18AUG17	B	2	PD17NOV17	2409.50	2522.75	USD	5,662.50	
18AUG17	S	1	PD17NOV17	2384.00	2522.75	USD	-3,468.75	
18AUG17	S	1	PD17NOV17	2359.00	2522.75	USD	-4,093.75	
18AUG17	B	1	PD17NOV17	2365.00	2522.75	USD	3,943.75	
18AUG17	B	1	PD17NOV17	2362.00	2522.75	USD	4,018.75	
18AUG17	S	1	PD17NOV17	2359.50	2522.75	USD	-4,081.25	
18AUG17	S	3	PD17NOV17	2358.50	2522.75	USD	-12,318.75	
18AUG17	S	1	PD17NOV17	2363.00	2522.75	USD	-3,993.75	
18AUG17	S	1	PD17NOV17	2360.50	2522.75	USD	-4,056.25	
14SEP17	S	15	PD17NOV17	2299.32	2522.75	USD	-83,786.25	
	NET	0AVG BGHT 2443.06	SOLD 2406.43				-55,855.00	0.00
21AUG17	S	1	PD21NOV17	2386.00	2523.36	USD	-3,434.00	
21AUG17	S	2	PD21NOV17	2393.00	2523.36	USD	-6,518.00	
21AUG17	S	1	PD21NOV17	2389.00	2523.36	USD	-3,359.00	
21AUG17	S	1	PD21NOV17	2388.00	2523.36	USD	-3,384.00	
21AUG17	S	1	PD21NOV17	2391.50	2523.36	USD	-3,296.50	
21AUG17	S	1	PD21NOV17	2391.00	2523.36	USD	-3,309.00	
21AUG17	S	1	PD21NOV17	2368.00	2523.36	USD	-3,884.00	
21AUG17	B	2	PD21NOV17	2360.00	2523.36	USD	8,168.00	

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21AUG17	S	1	PD21NOV17	2368.50	2523.36	USD	-3,871.50	
21AUG17	B	1	PD21NOV17	2350.00	2523.36	USD	4,334.00	
21AUG17	S	1	PD21NOV17	2349.00	2523.36	USD	-4,359.00	
21AUG17	S	1	PD21NOV17	2347.50	2523.36	USD	-4,396.50	
21AUG17	S	1	PD21NOV17	2345.50	2523.36	USD	-4,446.50	
21AUG17	S	1	PD21NOV17	2344.50	2523.36	USD	-4,471.50	
14SEP17	B	10	PD21NOV17	2300.66	2523.36	USD	55,675.00	
	NET	0 AVG	BGHT 2313.58				19,447.50	0.00
22AUG17	S	1	PD22NOV17	2387.50	2523.51	USD	-3,400.25	
22AUG17	S	1	PD22NOV17	2389.00	2523.51	USD	-3,362.75	
22AUG17	S	1	PD22NOV17	2391.00	2523.51	USD	-3,312.75	
22AUG17	S	1	PD22NOV17	2390.00	2523.51	USD	-3,337.75	
22AUG17	S	1	PD22NOV17	2400.50	2523.51	USD	-3,075.25	
22AUG17	S	1	PD22NOV17	2393.00	2523.51	USD	-3,262.75	
22AUG17	S	1	PD22NOV17	2382.50	2523.51	USD	-3,525.25	
22AUG17	B	1	PD22NOV17	2413.50	2523.51	USD	2,750.25	
05SEP17	B	1	PD22NOV17	2330.07	2523.51	USD	4,836.00	
14SEP17	B	5	PD22NOV17	2300.94	2523.51	USD	27,821.25	
	NET	0 AVG	BGHT 2321.18				12,130.75	0.00
24AUG17	S	1	PD24NOV17	2399.50	2523.81	USD	-3,107.75	
24AUG17	B	1	PD24NOV17	2384.00	2523.81	USD	3,495.25	
24AUG17	B	1	PD24NOV17	2354.00	2523.81	USD	4,245.25	
24AUG17	B	1	PD24NOV17	2355.00	2523.81	USD	4,220.25	
24AUG17	S	1	PD24NOV17	2360.50	2523.81	USD	-4,082.75	
24AUG17	S	2	PD24NOV17	2360.00	2523.81	USD	-8,190.50	
24AUG17	B	1	PD24NOV17	2360.50	2523.81	USD	4,082.75	
24AUG17	S	1	PD24NOV17	2359.00	2523.81	USD	-4,120.25	
25AUG17	B	1	PD24NOV17	2369.00	2523.81	USD	3,870.25	
25AUG17	B	1	PD24NOV17	2343.00	2523.81	USD	4,520.25	
25AUG17	B	1	PD24NOV17	2340.00	2523.81	USD	4,595.25	
25AUG17	S	1	PD24NOV17	2341.00	2523.81	USD	-4,570.25	
25AUG17	S	1	PD24NOV17	2333.00	2523.81	USD	-4,770.25	
25AUG17	B	1	PD24NOV17	2322.00	2523.81	USD	5,045.25	
25AUG17	S	2	PD24NOV17	2324.00	2523.81	USD	-9,990.50	
10OCT17	B	1	PD24NOV17	2550.45	2523.81	USD	-666.00	
	NET	0 AVG	BGHT 2375.33				-5,423.75	0.00
29AUG17	B	1	PD29NOV17	2346.50	2524.57	USD	4,451.75	
29AUG17	S	1	PD29NOV17	2355.00	2524.57	USD	-4,239.25	
29AUG17	S	1	PD29NOV17	2354.50	2524.57	USD	-4,251.75	
29AUG17	S	3	PD29NOV17	2360.00	2524.57	USD	-12,342.75	
29AUG17	S	2	PD29NOV17	2361.00	2524.57	USD	-8,178.50	
29AUG17	B	2	PD29NOV17	2365.00	2524.57	USD	7,978.50	
29AUG17	S	3	PD29NOV17	2372.00	2524.57	USD	-11,442.75	
29AUG17	S	1	PD29NOV17	2376.00	2524.57	USD	-3,714.25	
29AUG17	S	1	PD29NOV17	2376.50	2524.57	USD	-3,701.75	
29AUG17	S	1	PD29NOV17	2379.50	2524.57	USD	-3,626.75	
29AUG17	S	1	PD29NOV17	2384.00	2524.57	USD	-3,514.25	
29AUG17	S	1	PD29NOV17	2387.00	2524.57	USD	-3,439.25	
29AUG17	S	2	PD29NOV17	2388.00	2524.57	USD	-6,828.50	
29AUG17	S	3	PD29NOV17	2386.00	2524.57	USD	-10,392.75	
29AUG17	S	1	PD29NOV17	2388.50	2524.57	USD	-3,401.75	
29AUG17	S	2	PD29NOV17	2389.00	2524.57	USD	-6,778.50	
29AUG17	B	1	PD29NOV17	2398.00	2524.57	USD	3,164.25	
29AUG17	B	1	PD29NOV17	2404.00	2524.57	USD	3,014.25	
29AUG17	B	1	PD29NOV17	2402.50	2524.57	USD	3,051.75	
29AUG17	B	3	PD29NOV17	2401.50	2524.57	USD	9,230.25	

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29AUG17	B	1	PD29NOV17	2396.00	2524.57	USD	3,214.25	
29AUG17	B	3	PD29NOV17	2395.00	2524.57	USD	9,717.75	
29AUG17	B	1	PD29NOV17	2394.00	2524.57	USD	3,264.25	
29AUG17	B	1	PD29NOV17	2395.50	2524.57	USD	3,226.75	
29AUG17	B	2	PD29NOV17	2383.00	2524.57	USD	7,078.50	
29AUG17	B	1	PD29NOV17	2385.00	2524.57	USD	3,489.25	
29AUG17	B	1	PD29NOV17	2384.50	2524.57	USD	3,501.75	
29AUG17	B	5	PD29NOV17	2384.00	2524.57	USD	17,571.25	
05SEP17	S	1	PD29NOV17	2331.98	2524.57	USD	-4,814.75	
	NET	0 AVG BGHT 2387.98	SOLD 2373.46				-8,713.00	0.00
30AUG17	S	1	PD30NOV17	2397.00	2524.72	USD	-3,193.00	
30AUG17	S	2	PD30NOV17	2380.00	2524.72	USD	-7,236.00	
30AUG17	S	2	PD30NOV17	2383.00	2524.72	USD	-7,086.00	
30AUG17	B	1	PD30NOV17	2376.50	2524.72	USD	3,705.50	
30AUG17	B	1	PD30NOV17	2378.00	2524.72	USD	3,668.00	
30AUG17	S	1	PD30NOV17	2381.00	2524.72	USD	-3,593.00	
30AUG17	B	1	PD30NOV17	2371.50	2524.72	USD	3,830.50	
30AUG17	S	1	PD30NOV17	2378.00	2524.72	USD	-3,668.00	
30AUG17	S	1	PD30NOV17	2382.50	2524.72	USD	-3,555.50	
30AUG17	S	1	PD30NOV17	2383.50	2524.72	USD	-3,530.50	
30AUG17	S	1	PD30NOV17	2389.50	2524.72	USD	-3,380.50	
30AUG17	S	1	PD30NOV17	2391.50	2524.72	USD	-3,330.50	
30AUG17	B	1	PD30NOV17	2402.00	2524.72	USD	3,068.00	
30AUG17	B	1	PD30NOV17	2400.50	2524.72	USD	3,105.50	
30AUG17	B	1	PD30NOV17	2395.50	2524.72	USD	3,230.50	
30AUG17	B	2	PD30NOV17	2394.00	2524.72	USD	6,536.00	
30AUG17	B	1	PD30NOV17	2392.00	2524.72	USD	3,318.00	
30AUG17	B	1	PD30NOV17	2388.00	2524.72	USD	3,418.00	
30AUG17	B	1	PD30NOV17	2394.00	2524.72	USD	3,268.00	
31AUG17	B	1	PD30NOV17	2378.00	2524.72	USD	3,668.00	
31AUG17	S	1	PD30NOV17	2383.50	2524.72	USD	-3,530.50	
	NET	0 AVG BGHT 2388.67	SOLD 2384.38				-1,287.50	0.00
01SEP17	S	1	PD01DEC17	2405.00	2524.87	USD	-2,996.75	
01SEP17	S	1	PD01DEC17	2401.00	2524.87	USD	-3,096.75	
01SEP17	S	1	PD01DEC17	2406.00	2524.87	USD	-2,971.75	
01SEP17	B	2	PD01DEC17	2402.00	2524.87	USD	6,143.50	
01SEP17	B	1	PD01DEC17	2399.00	2524.87	USD	3,146.75	
	NET	0 AVG BGHT 2401.00	SOLD 2404.00				225.00	0.00
04SEP17	B	2	PD04DEC17	2394.50	2525.33	USD	6,541.50	
04SEP17	B	2	PD04DEC17	2394.00	2525.33	USD	6,566.50	
04SEP17	B	1	PD04DEC17	2387.50	2525.33	USD	3,445.75	
04SEP17	B	1	PD04DEC17	2390.00	2525.33	USD	3,383.25	
04SEP17	S	1	PD04DEC17	2394.00	2525.33	USD	-3,283.25	
04SEP17	S	1	PD04DEC17	2396.50	2525.33	USD	-3,220.75	
04SEP17	S	1	PD04DEC17	2397.50	2525.33	USD	-3,195.75	
04SEP17	S	1	PD04DEC17	2398.50	2525.33	USD	-3,170.75	
14SEP17	S	2	PD04DEC17	2304.16	2525.33	USD	-11,058.50	
	NET	0 AVG BGHT 2392.42	SOLD 2365.80				-3,992.00	0.00
05SEP17	B	1	PD05DEC17	2393.50	2525.48	USD	3,299.50	
05SEP17	B	1	PD05DEC17	2396.50	2525.48	USD	3,224.50	
05SEP17	S	2	PD05DEC17	2394.00	2525.48	USD	-6,574.00	
05SEP17	S	1	PD05DEC17	2395.00	2525.48	USD	-3,262.00	
05SEP17	S	1	PD05DEC17	2398.50	2525.48	USD	-3,174.50	
05SEP17	B	1	PD05DEC17	2400.50	2525.48	USD	3,124.50	
05SEP17	S	1	PD05DEC17	2402.00	2525.48	USD	-3,087.00	
05SEP17	S	1	PD05DEC17	2403.00	2525.48	USD	-3,062.00	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP083XA

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
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backoffice@sapiencap.com

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	<u>LME LEAD 25 TONNES. THIS IS AN</u>								
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05SEP17	S	1	PD05DEC17		2404.00	2525.48	USD	-3,037.00	
05SEP17	S	1	PD05DEC17		2399.00	2525.48	USD	-3,162.00	
05SEP17	B	2	PD05DEC17		2392.00	2525.48	USD	6,674.00	
05SEP17	B	1	PD05DEC17		2391.00	2525.48	USD	3,362.00	
05SEP17	B	2	PD05DEC17		2386.00	2525.48	USD	6,974.00	
05SEP17	B	1	PD05DEC17		2382.50	2525.48	USD	3,574.50	
05SEP17	B	3	PD05DEC17		2383.00	2525.48	USD	10,686.00	
05SEP17	B	1	PD05DEC17		2381.50	2525.48	USD	3,599.50	
05SEP17	B	1	PD05DEC17		2381.00	2525.48	USD	3,612.00	
05SEP17	B	1	PD05DEC17		2379.50	2525.48	USD	3,649.50	
05SEP17	B	1	PD05DEC17		2378.50	2525.48	USD	3,674.50	
05SEP17	B	1	PD05DEC17		2377.50	2525.48	USD	3,699.50	
05SEP17	B	2	PD05DEC17		2377.00	2525.48	USD	7,424.00	
05SEP17	B	1	PD05DEC17		2376.00	2525.48	USD	3,737.00	
05SEP17	S	1	PD05DEC17		2373.00	2525.48	USD	-3,812.00	
05SEP17	B	2	PD05DEC17		2375.00	2525.48	USD	7,524.00	
05SEP17	S	1	PD05DEC17		2359.50	2525.48	USD	-4,149.50	
05SEP17	S	1	PD05DEC17		2354.50	2525.48	USD	-4,274.50	
05SEP17	S	1	PD05DEC17		2345.50	2525.48	USD	-4,499.50	
05SEP17	S	1	PD05DEC17		2344.00	2525.48	USD	-4,537.00	
05SEP17	S	1	PD05DEC17		2341.00	2525.48	USD	-4,612.00	
05SEP17	S	1	PD05DEC17		2341.50	2525.48	USD	-4,599.50	
05SEP17	S	2	PD05DEC17		2345.00	2525.48	USD	-9,024.00	
05SEP17	S	1	PD05DEC17		2344.50	2525.48	USD	-4,524.50	
05SEP17	S	1	PD05DEC17		2347.00	2525.48	USD	-4,462.00	
05SEP17	S	1	PD05DEC17		2330.00	2525.48	USD	-4,887.00	
05SEP17	S	2	PD05DEC17		2333.00	2525.48	USD	-9,624.00	
05SEP17	B	1	PD05DEC17		2327.00	2525.48	USD	4,962.00	
05SEP17	S	2	PD05DEC17		2340.50	2525.48	USD	-9,249.00	
05SEP17	S	4	PD05DEC17		2325.00	2525.48	USD	-20,048.00	
05SEP17	B	1	PD05DEC17		2333.50	2525.48	USD	4,799.50	
05SEP17	B	2	PD05DEC17		2332.50	2525.48	USD	9,649.00	
05SEP17	B	2	PD05DEC17		2332.00	2525.48	USD	9,674.00	
05SEP17	S	1	PD05DEC17		2331.00	2525.48	USD	-4,862.00	
05SEP17	S	1	PD05DEC17		2333.50	2525.48	USD	-4,799.50	
05SEP17	B	1	PD05DEC17		2332.00	2525.48	USD	4,837.00	
05SEP17	S	1	PD05DEC17		2331.00	2525.48	USD	-4,862.00	
14SEP17	B	2	PD05DEC17		2304.64	2525.48	USD	11,042.00	
	NET	0 AVG	BGHT 2367.03	SOLD 2354.92				-9,382.00	0.00
06SEP17	S	1	PD06DEC17		2341.50	2525.63	USD	-4,603.25	
06SEP17	B	1	PD06DEC17		2336.50	2525.63	USD	4,728.25	
06SEP17	B	1	PD06DEC17		2336.00	2525.63	USD	4,740.75	
06SEP17	S	1	PD06DEC17		2335.50	2525.63	USD	-4,753.25	
06SEP17	S	2	PD06DEC17		2324.00	2525.63	USD	-10,081.50	
06SEP17	S	1	PD06DEC17		2326.00	2525.63	USD	-4,990.75	
06SEP17	S	1	PD06DEC17		2320.00	2525.63	USD	-5,140.75	
06SEP17	S	1	PD06DEC17		2320.50	2525.63	USD	-5,128.25	
06SEP17	S	2	PD06DEC17		2325.00	2525.63	USD	-10,031.50	
06SEP17	S	1	PD06DEC17		2327.00	2525.63	USD	-4,965.75	
06SEP17	S	1	PD06DEC17		2328.50	2525.63	USD	-4,928.25	
06SEP17	S	1	PD06DEC17		2325.50	2525.63	USD	-5,003.25	
06SEP17	S	1	PD06DEC17		2356.50	2525.63	USD	-4,228.25	
06SEP17	S	1	PD06DEC17		2362.50	2525.63	USD	-4,078.25	
06SEP17	B	1	PD06DEC17		2348.50	2525.63	USD	4,428.25	
06SEP17	B	1	PD06DEC17		2347.50	2525.63	USD	4,453.25	
06SEP17	B	1	PD06DEC17		2343.50	2525.63	USD	4,553.25	
06SEP17	S	1	PD06DEC17		2347.00	2525.63	USD	-4,465.75	

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	<u>LME LEAD 25 TONNES. THIS IS AN</u>							
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06SEP17	B	1	PD06DEC17	2332.50	2525.63	USD	4,828.25	
06SEP17	B	1	PD06DEC17	2341.00	2525.63	USD	4,615.75	
06SEP17	B	2	PD06DEC17	2342.00	2525.63	USD	9,181.50	
06SEP17	S	1	PD06DEC17	2345.50	2525.63	USD	-4,503.25	
06SEP17	B	2	PD06DEC17	2345.50	2525.63	USD	9,006.50	
06SEP17	S	1	PD06DEC17	2346.00	2525.63	USD	-4,490.75	
06SEP17	B	1	PD06DEC17	2347.00	2525.63	USD	4,465.75	
06SEP17	B	1	PD06DEC17	2345.00	2525.63	USD	4,515.75	
06SEP17	S	1	PD06DEC17	2349.00	2525.63	USD	-4,415.75	
06SEP17	B	1	PD06DEC17	2352.50	2525.63	USD	4,328.25	
06SEP17	B	3	PD06DEC17	2346.00	2525.63	USD	13,472.25	
06SEP17	B	1	PD06DEC17	2355.50	2525.63	USD	4,253.25	
	NET	0 AVG BGHT 2344.36	SOLD 2334.94				-4,237.50	0.00
07SEP17	S	1	PD07DEC17	2339.50	2525.78	USD	-4,657.00	
07SEP17	B	1	PD07DEC17	2339.50	2525.78	USD	4,657.00	
07SEP17	B	1	PD07DEC17	2332.00	2525.78	USD	4,844.50	
07SEP17	B	1	PD07DEC17	2330.50	2525.78	USD	4,882.00	
07SEP17	B	1	PD07DEC17	2329.00	2525.78	USD	4,919.50	
07SEP17	S	1	PD07DEC17	2326.00	2525.78	USD	-4,994.50	
07SEP17	S	1	PD07DEC17	2322.00	2525.78	USD	-5,094.50	
07SEP17	S	1	PD07DEC17	2330.00	2525.78	USD	-4,894.50	
	NET	0 AVG BGHT 2332.75	SOLD 2329.38				-337.50	0.00
08SEP17	S	1	PD08DEC17	2342.00	2525.93	USD	-4,598.25	
08SEP17	S	1	PD08DEC17	2342.50	2525.93	USD	-4,585.75	
08SEP17	S	1	PD08DEC17	2338.50	2525.93	USD	-4,685.75	
08SEP17	B	1	PD08DEC17	2314.00	2525.93	USD	5,298.25	
08SEP17	B	1	PD08DEC17	2318.50	2525.93	USD	5,185.75	
08SEP17	B	1	PD08DEC17	2315.00	2525.93	USD	5,273.25	
08SEP17	S	1	PD08DEC17	2307.00	2525.93	USD	-5,473.25	
08SEP17	B	1	PD08DEC17	2305.50	2525.93	USD	5,510.75	
08SEP17	S	1	PD08DEC17	2308.00	2525.93	USD	-5,448.25	
08SEP17	S	1	PD08DEC17	2303.00	2525.93	USD	-5,573.25	
08SEP17	S	1	PD08DEC17	2304.00	2525.93	USD	-5,548.25	
08SEP17	S	1	PD08DEC17	2290.50	2525.93	USD	-5,885.75	
08SEP17	B	1	PD08DEC17	2272.00	2525.93	USD	6,348.25	
08SEP17	B	1	PD08DEC17	2270.00	2525.93	USD	6,398.25	
08SEP17	B	2	PD08DEC17	2260.00	2525.93	USD	13,296.50	
	NET	0 AVG BGHT 2289.38	SOLD 2316.94				5,512.50	0.00
11SEP17	B	1	PD11DEC17	2267.00	2526.39	USD	6,484.75	
11SEP17	B	4	PD11DEC17	2272.50	2526.39	USD	25,389.00	
11SEP17	S	1	PD11DEC17	2276.00	2526.39	USD	-6,259.75	
11SEP17	B	1	PD11DEC17	2278.00	2526.39	USD	6,209.75	
11SEP17	B	1	PD11DEC17	2282.50	2526.39	USD	6,097.25	
11SEP17	B	1	PD11DEC17	2276.00	2526.39	USD	6,259.75	
11SEP17	B	1	PD11DEC17	2275.00	2526.39	USD	6,284.75	
11SEP17	S	1	PD11DEC17	2287.00	2526.39	USD	-5,984.75	
11SEP17	S	4	PD11DEC17	2295.50	2526.39	USD	-23,089.00	
11SEP17	S	1	PD11DEC17	2296.00	2526.39	USD	-5,759.75	
11SEP17	S	2	PD11DEC17	2293.00	2526.39	USD	-11,669.50	
11SEP17	B	1	PD11DEC17	2289.50	2526.39	USD	5,922.25	
11SEP17	S	1	PD11DEC17	2290.50	2526.39	USD	-5,897.25	
11SEP17	S	1	PD11DEC17	2283.00	2526.39	USD	-6,084.75	
11SEP17	S	1	PD11DEC17	2289.00	2526.39	USD	-5,934.75	
11SEP17	B	1	PD11DEC17	2273.50	2526.39	USD	6,322.25	
11SEP17	S	1	PD11DEC17	2288.50	2526.39	USD	-5,947.25	
11SEP17	B	1	PD11DEC17	2294.00	2526.39	USD	5,809.75	

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	<u>LME LEAD 25 TONNES. THIS IS AN</u>							
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11SEP17	B	1	PD11DEC17	2293.00	2526.39	USD	5,834.75	
11SEP17	S	1	PD11DEC17	2273.00	2526.39	USD	-6,334.75	
11SEP17	B	1	PD11DEC17	2268.50	2526.39	USD	6,447.25	
11SEP17	B	1	PD11DEC17	2277.50	2526.39	USD	6,222.25	
11SEP17	S	1	PD11DEC17	2278.00	2526.39	USD	-6,209.75	
	NET	0AVG BGHT 2277.63	SOLD 2288.60				4,112.50	0.00
12SEP17	S	1	PD12DEC17	2289.00	2526.54	USD	-5,938.50	
12SEP17	B	1	PD12DEC17	2280.00	2526.54	USD	6,163.50	
12SEP17	B	1	PD12DEC17	2279.50	2526.54	USD	6,176.00	
12SEP17	S	1	PD12DEC17	2269.50	2526.54	USD	-6,426.00	
12SEP17	S	1	PD12DEC17	2271.50	2526.54	USD	-6,376.00	
12SEP17	B	1	PD12DEC17	2265.00	2526.54	USD	6,538.50	
12SEP17	S	1	PD12DEC17	2261.00	2526.54	USD	-6,638.50	
12SEP17	S	1	PD12DEC17	2260.00	2526.54	USD	-6,663.50	
12SEP17	B	2	PD12DEC17	2263.00	2526.54	USD	13,177.00	
12SEP17	S	1	PD12DEC17	2288.00	2526.54	USD	-5,963.50	
12SEP17	S	1	PD12DEC17	2298.00	2526.54	USD	-5,713.50	
12SEP17	S	1	PD12DEC17	2301.50	2526.54	USD	-5,626.00	
12SEP17	S	1	PD12DEC17	2305.00	2526.54	USD	-5,538.50	
12SEP17	S	1	PD12DEC17	2311.00	2526.54	USD	-5,388.50	
12SEP17	S	1	PD12DEC17	2307.50	2526.54	USD	-5,476.00	
12SEP17	B	1	PD12DEC17	2310.50	2526.54	USD	5,401.00	
12SEP17	B	1	PD12DEC17	2311.00	2526.54	USD	5,388.50	
12SEP17	S	1	PD12DEC17	2310.00	2526.54	USD	-5,413.50	
12SEP17	B	1	PD12DEC17	2308.00	2526.54	USD	5,463.50	
12SEP17	S	1	PD12DEC17	2313.50	2526.54	USD	-5,326.00	
12SEP17	S	1	PD12DEC17	2314.50	2526.54	USD	-5,301.00	
12SEP17	B	2	PD12DEC17	2305.50	2526.54	USD	11,052.00	
12SEP17	B	2	PD12DEC17	2306.00	2526.54	USD	11,027.00	
12SEP17	B	2	PD12DEC17	2305.00	2526.54	USD	11,077.00	
	NET	0AVG BGHT 2293.79	SOLD 2292.86				-325.00	0.00
13SEP17	B	1	PD13DEC17	2288.00	2526.69	USD	5,967.25	
13SEP17	S	1	PD13DEC17	2285.00	2526.69	USD	-6,042.25	
13SEP17	S	1	PD13DEC17	2285.50	2526.69	USD	-6,029.75	
13SEP17	S	1	PD13DEC17	2290.00	2526.69	USD	-5,917.25	
13SEP17	S	1	PD13DEC17	2294.00	2526.69	USD	-5,817.25	
13SEP17	S	1	PD13DEC17	2295.50	2526.69	USD	-5,779.75	
13SEP17	S	1	PD13DEC17	2296.50	2526.69	USD	-5,754.75	
13SEP17	S	3	PD13DEC17	2295.00	2526.69	USD	-17,376.75	
13SEP17	S	1	PD13DEC17	2303.50	2526.69	USD	-5,579.75	
13SEP17	S	4	PD13DEC17	2296.00	2526.69	USD	-23,069.00	
13SEP17	S	1	PD13DEC17	2302.00	2526.69	USD	-5,617.25	
13SEP17	S	1	PD13DEC17	2297.00	2526.69	USD	-5,742.25	
13SEP17	B	1	PD13DEC17	2293.50	2526.69	USD	5,829.75	
13SEP17	S	1	PD13DEC17	2303.00	2526.69	USD	-5,592.25	
13SEP17	S	1	PD13DEC17	2308.00	2526.69	USD	-5,467.25	
13SEP17	S	1	PD13DEC17	2309.50	2526.69	USD	-5,429.75	
13SEP17	B	2	PD13DEC17	2312.00	2526.69	USD	10,734.50	
13SEP17	B	1	PD13DEC17	2302.00	2526.69	USD	5,617.25	
13SEP17	S	1	PD13DEC17	2307.00	2526.69	USD	-5,492.25	
13SEP17	B	1	PD13DEC17	2293.00	2526.69	USD	5,842.25	
13SEP17	B	2	PD13DEC17	2290.00	2526.69	USD	11,834.50	
13SEP17	B	1	PD13DEC17	2294.50	2526.69	USD	5,804.75	
13SEP17	S	2	PD13DEC17	2297.00	2526.69	USD	-11,484.50	
13SEP17	B	1	PD13DEC17	2294.00	2526.69	USD	5,817.25	
13SEP17	B	3	PD13DEC17	2295.00	2526.69	USD	17,376.75	
13SEP17	B	1	PD13DEC17	2294.00	2526.69	USD	5,817.25	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP083XA

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME LEAD 25 TONNES. THIS IS AN</u>							
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13SEP17	B	1	PD13DEC17	2299.00	2526.69	USD	5,692.25	
13SEP17	B	1	PD13DEC17	2298.00	2526.69	USD	5,717.25	
13SEP17	B	1	PD13DEC17	2297.00	2526.69	USD	5,742.25	
13SEP17	B	2	PD13DEC17	2291.00	2526.69	USD	11,784.50	
13SEP17	B	1	PD13DEC17	2292.00	2526.69	USD	5,867.25	
13SEP17	B	2	PD13DEC17	2290.00	2526.69	USD	11,834.50	
	NET	0	AVG BGHT 2295.27				1,087.50	0.00
			SOLD 2297.25					
14SEP17	B	1	PD14DEC17	2289.00	2526.84	USD	5,946.00	
14SEP17	S	1	PD14DEC17	2291.50	2526.84	USD	-5,883.50	
14SEP17	S	3	PD14DEC17	2296.00	2526.84	USD	-17,313.00	
14SEP17	S	1	PD14DEC17	2296.50	2526.84	USD	-5,758.50	
14SEP17	B	1	PD14DEC17	2287.00	2526.84	USD	5,996.00	
14SEP17	B	1	PD14DEC17	2282.50	2526.84	USD	6,108.50	
14SEP17	B	1	PD14DEC17	2282.00	2526.84	USD	6,121.00	
14SEP17	B	1	PD14DEC17	2281.50	2526.84	USD	6,133.50	
14SEP17	B	1	PD14DEC17	2279.00	2526.84	USD	6,196.00	
14SEP17	B	1	PD14DEC17	2274.00	2526.84	USD	6,321.00	
14SEP17	B	1	PD14DEC17	2272.00	2526.84	USD	6,371.00	
14SEP17	B	1	PD14DEC17	2272.50	2526.84	USD	6,358.50	
14SEP17	B	1	PD14DEC17	2275.50	2526.84	USD	6,283.50	
14SEP17	S	1	PD14DEC17	2281.50	2526.84	USD	-6,133.50	
14SEP17	S	1	PD14DEC17	2289.50	2526.84	USD	-5,933.50	
14SEP17	S	2	PD14DEC17	2292.00	2526.84	USD	-11,742.00	
14SEP17	B	1	PD14DEC17	2280.50	2526.84	USD	6,158.50	
14SEP17	B	1	PD14DEC17	2276.00	2526.84	USD	6,271.00	
14SEP17	S	2	PD14DEC17	2292.50	2526.84	USD	-11,717.00	
14SEP17	S	2	PD14DEC17	2295.00	2526.84	USD	-11,592.00	
14SEP17	S	1	PD14DEC17	2304.00	2526.84	USD	-5,571.00	
14SEP17	S	1	PD14DEC17	2304.50	2526.84	USD	-5,558.50	
14SEP17	S	1	PD14DEC17	2306.50	2526.84	USD	-5,508.50	
14SEP17	S	1	PD14DEC17	2319.50	2526.84	USD	-5,183.50	
14SEP17	B	1	PD14DEC17	2304.00	2526.84	USD	5,571.00	
14SEP17	B	1	PD14DEC17	2303.00	2526.84	USD	5,596.00	
14SEP17	B	1	PD14DEC17	2293.50	2526.84	USD	5,833.50	
14SEP17	B	1	PD14DEC17	2293.00	2526.84	USD	5,846.00	
14SEP17	B	1	PD14DEC17	2307.00	2526.84	USD	5,496.00	
14SEP17	S	2	PD14DEC17	2307.00	2526.84	USD	-10,992.00	
14SEP17	S	2	PD14DEC17	2307.50	2526.84	USD	-10,967.00	
14SEP17	B	1	PD14DEC17	2321.50	2526.84	USD	5,133.50	
14SEP17	B	1	PD14DEC17	2323.50	2526.84	USD	5,083.50	
14SEP17	B	1	PD14DEC17	2324.50	2526.84	USD	5,058.50	
14SEP17	B	1	PD14DEC17	2325.50	2526.84	USD	5,033.50	
	NET	0	AVG BGHT 2292.71				3,062.50	0.00
			SOLD 2298.55					
15SEP17	S	1	PD15DEC17	2324.00	2526.99	USD	-5,074.75	
15SEP17	S	2	PD15DEC17	2321.00	2526.99	USD	-10,299.50	
15SEP17	B	1	PD15DEC17	2324.00	2526.99	USD	5,074.75	
15SEP17	B	1	PD15DEC17	2327.00	2526.99	USD	4,999.75	
15SEP17	B	1	PD15DEC17	2326.50	2526.99	USD	5,012.25	
15SEP17	B	2	PD15DEC17	2323.50	2526.99	USD	10,174.50	
15SEP17	B	1	PD15DEC17	2320.50	2526.99	USD	5,162.25	
15SEP17	S	1	PD15DEC17	2325.00	2526.99	USD	-5,049.75	
15SEP17	B	1	PD15DEC17	2322.50	2526.99	USD	5,112.25	
15SEP17	B	1	PD15DEC17	2313.50	2526.99	USD	5,337.25	
15SEP17	B	1	PD15DEC17	2314.00	2526.99	USD	5,324.75	
15SEP17	B	2	PD15DEC17	2315.00	2526.99	USD	10,599.50	
15SEP17	B	1	PD15DEC17	2312.00	2526.99	USD	5,374.75	
15SEP17	S	2	PD15DEC17	2327.50	2526.99	USD	-9,974.50	

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	<u>LME LEAD 25 TONNES. THIS IS AN</u>							
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15SEP17	S	1	PD15DEC17	2328.00	2526.99	USD	-4,974.75	
15SEP17	S	3	PD15DEC17	2342.50	2526.99	USD	-13,836.75	
15SEP17	S	1	PD15DEC17	2350.50	2526.99	USD	-4,412.25	
15SEP17	S	1	PD15DEC17	2352.00	2526.99	USD	-4,374.75	
15SEP17	S	2	PD15DEC17	2347.50	2526.99	USD	-8,974.50	
15SEP17	S	1	PD15DEC17	2346.50	2526.99	USD	-4,512.25	
15SEP17	S	2	PD15DEC17	2349.00	2526.99	USD	-8,899.50	
15SEP17	B	1	PD15DEC17	2343.50	2526.99	USD	4,587.25	
15SEP17	B	1	PD15DEC17	2339.50	2526.99	USD	4,687.25	
15SEP17	B	3	PD15DEC17	2358.00	2526.99	USD	12,674.25	
15SEP17	B	1	PD15DEC17	2360.00	2526.99	USD	4,174.75	
15SEP17	S	1	PD15DEC17	2350.00	2526.99	USD	-4,424.75	
15SEP17	S	1	PD15DEC17	2349.50	2526.99	USD	-4,437.25	
15SEP17	S	2	PD15DEC17	2348.00	2526.99	USD	-8,949.50	
15SEP17	S	1	PD15DEC17	2351.50	2526.99	USD	-4,387.25	
15SEP17	S	1	PD15DEC17	2350.50	2526.99	USD	-4,412.25	
15SEP17	S	1	PD15DEC17	2359.50	2526.99	USD	-4,187.25	
15SEP17	S	4	PD15DEC17	2360.50	2526.99	USD	-16,649.00	
15SEP17	S	1	PD15DEC17	2358.00	2526.99	USD	-4,224.75	
15SEP17	B	2	PD15DEC17	2366.00	2526.99	USD	8,049.50	
15SEP17	B	3	PD15DEC17	2366.50	2526.99	USD	12,036.75	
10OCT17	B	6	PD15DEC17	2554.69	2526.99	USD	-4,155.00	
	NET	0 AVG BGHT 2383.23	SOLD 2344.84				-27,828.50	0.00
18SEP17	B	3	PD18DEC17	2395.00	2527.45	USD	9,933.75	
18SEP17	S	1	PD18DEC17	2392.50	2527.45	USD	-3,373.75	
18SEP17	S	1	PD18DEC17	2402.50	2527.45	USD	-3,123.75	
18SEP17	S	1	PD18DEC17	2402.00	2527.45	USD	-3,136.25	
18SEP17	S	4	PD18DEC17	2401.50	2527.45	USD	-12,595.00	
18SEP17	S	1	PD18DEC17	2405.00	2527.45	USD	-3,061.25	
18SEP17	B	1	PD18DEC17	2391.50	2527.45	USD	3,398.75	
18SEP17	B	1	PD18DEC17	2389.00	2527.45	USD	3,461.25	
18SEP17	B	2	PD18DEC17	2390.50	2527.45	USD	6,847.50	
18SEP17	B	1	PD18DEC17	2387.00	2527.45	USD	3,511.25	
18SEP17	S	1	PD18DEC17	2392.50	2527.45	USD	-3,373.75	
18SEP17	B	2	PD18DEC17	2391.00	2527.45	USD	6,822.50	
18SEP17	S	1	PD18DEC17	2388.50	2527.45	USD	-3,473.75	
18SEP17	B	1	PD18DEC17	2384.50	2527.45	USD	3,573.75	
18SEP17	B	1	PD18DEC17	2395.50	2527.45	USD	3,298.75	
10OCT17	S	2	PD18DEC17	2555.13	2527.45	USD	1,384.00	
	NET	0 AVG BGHT 2391.29	SOLD 2424.94				10,094.00	0.00
19SEP17	S	1	PD19DEC17	2387.00	2527.60	USD	-3,515.00	
19SEP17	B	1	PD19DEC17	2387.50	2527.60	USD	3,502.50	
19SEP17	S	1	PD19DEC17	2393.50	2527.60	USD	-3,352.50	
19SEP17	S	1	PD19DEC17	2394.50	2527.60	USD	-3,327.50	
19SEP17	S	2	PD19DEC17	2392.00	2527.60	USD	-6,780.00	
19SEP17	S	1	PD19DEC17	2403.50	2527.60	USD	-3,102.50	
19SEP17	S	2	PD19DEC17	2404.00	2527.60	USD	-6,180.00	
19SEP17	B	1	PD19DEC17	2406.00	2527.60	USD	3,040.00	
19SEP17	S	1	PD19DEC17	2406.50	2527.60	USD	-3,027.50	
19SEP17	B	2	PD19DEC17	2416.00	2527.60	USD	5,580.00	
19SEP17	S	1	PD19DEC17	2414.00	2527.60	USD	-2,840.00	
19SEP17	S	1	PD19DEC17	2420.00	2527.60	USD	-2,690.00	
19SEP17	S	1	PD19DEC17	2419.50	2527.60	USD	-2,702.50	
19SEP17	S	1	PD19DEC17	2421.50	2527.60	USD	-2,652.50	
19SEP17	S	1	PD19DEC17	2417.00	2527.60	USD	-2,765.00	
19SEP17	S	2	PD19DEC17	2418.00	2527.60	USD	-5,480.00	
19SEP17	B	1	PD19DEC17	2417.00	2527.60	USD	2,765.00	

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	<u>LME LEAD 25 TONNES. THIS IS AN</u>							
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19SEP17	S	1	PD19DEC17	2423.50	2527.60	USD	-2,602.50	
19SEP17	S	1	PD19DEC17	2424.00	2527.60	USD	-2,590.00	
19SEP17	S	1	PD19DEC17	2437.50	2527.60	USD	-2,252.50	
19SEP17	S	1	PD19DEC17	2446.50	2527.60	USD	-2,027.50	
19SEP17	S	1	PD19DEC17	2424.50	2527.60	USD	-2,577.50	
19SEP17	S	1	PD19DEC17	2450.00	2527.60	USD	-1,940.00	
19SEP17	B	2	PD19DEC17	2437.00	2527.60	USD	4,530.00	
19SEP17	B	1	PD19DEC17	2427.00	2527.60	USD	2,515.00	
19SEP17	B	2	PD19DEC17	2420.00	2527.60	USD	5,380.00	
19SEP17	B	1	PD19DEC17	2422.50	2527.60	USD	2,627.50	
19SEP17	B	1	PD19DEC17	2421.00	2527.60	USD	2,665.00	
19SEP17	B	1	PD19DEC17	2420.50	2527.60	USD	2,677.50	
19SEP17	B	3	PD19DEC17	2423.50	2527.60	USD	7,807.50	
19SEP17	B	3	PD19DEC17	2422.00	2527.60	USD	7,920.00	
19SEP17	B	1	PD19DEC17	2440.00	2527.60	USD	2,190.00	
10OCT17	B	2	PD19DEC17	2555.54	2527.60	USD	-1,397.00	
	NET	0 AVG BGHT 2433.41	SOLD 2414.14				-10,602.00	0.00
20SEP17	S	1	PD20DEC17	2447.50	2527.75	USD	-2,006.25	
20SEP17	B	1	PD20DEC17	2449.50	2527.75	USD	1,956.25	
20SEP17	S	1	PD20DEC17	2455.00	2527.75	USD	-1,818.75	
20SEP17	S	1	PD20DEC17	2453.50	2527.75	USD	-1,856.25	
20SEP17	B	3	PD20DEC17	2458.50	2527.75	USD	5,193.75	
20SEP17	S	1	PD20DEC17	2462.00	2527.75	USD	-1,643.75	
20SEP17	S	1	PD20DEC17	2442.50	2527.75	USD	-2,131.25	
20SEP17	S	1	PD20DEC17	2440.50	2527.75	USD	-2,181.25	
20SEP17	B	1	PD20DEC17	2449.00	2527.75	USD	1,968.75	
20SEP17	S	1	PD20DEC17	2466.00	2527.75	USD	-1,543.75	
20SEP17	B	1	PD20DEC17	2465.50	2527.75	USD	1,556.25	
20SEP17	S	1	PD20DEC17	2463.00	2527.75	USD	-1,618.75	
20SEP17	S	2	PD20DEC17	2469.00	2527.75	USD	-2,937.50	
20SEP17	S	2	PD20DEC17	2465.50	2527.75	USD	-3,112.50	
20SEP17	S	1	PD20DEC17	2465.00	2527.75	USD	-1,568.75	
20SEP17	S	2	PD20DEC17	2468.00	2527.75	USD	-2,987.50	
20SEP17	S	1	PD20DEC17	2471.00	2527.75	USD	-1,418.75	
20SEP17	S	2	PD20DEC17	2464.50	2527.75	USD	-3,162.50	
20SEP17	B	1	PD20DEC17	2453.50	2527.75	USD	1,856.25	
20SEP17	B	1	PD20DEC17	2459.00	2527.75	USD	1,718.75	
20SEP17	B	2	PD20DEC17	2456.00	2527.75	USD	3,587.50	
20SEP17	B	2	PD20DEC17	2465.00	2527.75	USD	3,137.50	
10OCT17	B	6	PD20DEC17	2555.75	2527.75	USD	-4,200.00	
	NET	0 AVG BGHT 2490.47	SOLD 2461.11				-13,212.50	0.00
21SEP17	S	1	PD21DEC17	2436.00	2526.75	USD	-2,268.75	
21SEP17	S	1	PD21DEC17	2437.50	2526.75	USD	-2,231.25	
21SEP17	S	1	PD21DEC17	2438.00	2526.75	USD	-2,218.75	
21SEP17	B	1	PD21DEC17	2466.00	2526.75	USD	1,518.75	
21SEP17	S	1	PD21DEC17	2462.00	2526.75	USD	-1,618.75	
21SEP17	S	2	PD21DEC17	2461.50	2526.75	USD	-3,262.50	
21SEP17	S	1	PD21DEC17	2461.00	2526.75	USD	-1,643.75	
21SEP17	B	1	PD21DEC17	2465.00	2526.75	USD	1,543.75	
21SEP17	B	1	PD21DEC17	2467.00	2526.75	USD	1,493.75	
21SEP17	S	1	PD21DEC17	2471.00	2526.75	USD	-1,393.75	
21SEP17	S	3	PD21DEC17	2473.00	2526.75	USD	-4,031.25	
21SEP17	B	1	PD21DEC17	2474.50	2526.75	USD	1,306.25	
21SEP17	B	1	PD21DEC17	2475.00	2526.75	USD	1,293.75	
21SEP17	S	1	PD21DEC17	2470.50	2526.75	USD	-1,406.25	
21SEP17	S	2	PD21DEC17	2472.50	2526.75	USD	-2,712.50	
21SEP17	S	2	PD21DEC17	2473.50	2526.75	USD	-2,662.50	

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21SEP17	S	1	PD21DEC17	2474.00	2526.75	USD	-1,318.75	
21SEP17	S	2	PD21DEC17	2467.00	2526.75	USD	-2,987.50	
21SEP17	B	1	PD21DEC17	2448.00	2526.75	USD	1,968.75	
21SEP17	B	1	PD21DEC17	2447.00	2526.75	USD	1,993.75	
21SEP17	S	1	PD21DEC17	2466.50	2526.75	USD	-1,506.25	
21SEP17	S	1	PD21DEC17	2464.50	2526.75	USD	-1,556.25	
21SEP17	S	2	PD21DEC17	2466.00	2526.75	USD	-3,037.50	
21SEP17	S	2	PD21DEC17	2477.50	2526.75	USD	-2,462.50	
21SEP17	S	1	PD21DEC17	2476.00	2526.75	USD	-1,268.75	
21SEP17	B	2	PD21DEC17	2480.50	2526.75	USD	2,312.50	
21SEP17	B	1	PD21DEC17	2477.00	2526.75	USD	1,243.75	
21SEP17	B	1	PD21DEC17	2478.50	2526.75	USD	1,206.25	
21SEP17	B	1	PD21DEC17	2510.00	2526.75	USD	418.75	
21SEP17	S	1	PD21DEC17	2509.50	2526.75	USD	-431.25	
21SEP17	S	1	PD21DEC17	2507.00	2526.75	USD	-493.75	
21SEP17	B	1	PD21DEC17	2482.50	2526.75	USD	1,106.25	
21SEP17	B	1	PD21DEC17	2475.50	2526.75	USD	1,281.25	
21SEP17	B	1	PD21DEC17	2471.00	2526.75	USD	1,393.75	
21SEP17	B	1	PD21DEC17	2458.50	2526.75	USD	1,706.25	
21SEP17	B	1	PD21DEC17	2442.50	2526.75	USD	2,106.25	
21SEP17	B	1	PD21DEC17	2442.00	2526.75	USD	2,118.75	
21SEP17	S	1	PD21DEC17	2451.00	2526.75	USD	-1,893.75	
21SEP17	S	1	PD21DEC17	2452.00	2526.75	USD	-1,868.75	
21SEP17	B	1	PD21DEC17	2459.00	2526.75	USD	1,693.75	
21SEP17	B	1	PD21DEC17	2455.00	2526.75	USD	1,793.75	
21SEP17	B	1	PD21DEC17	2454.50	2526.75	USD	1,806.25	
21SEP17	B	2	PD21DEC17	2453.00	2526.75	USD	3,687.50	
21SEP17	B	2	PD21DEC17	2452.50	2526.75	USD	3,712.50	
21SEP17	B	2	PD21DEC17	2475.50	2526.75	USD	2,562.50	
21SEP17	B	4	PD21DEC17	2474.50	2526.75	USD	5,225.00	
21SEP17	B	2	PD21DEC17	2477.50	2526.75	USD	2,462.50	
21SEP17	B	8	PD21DEC17	2513.20	2526.75	USD	2,710.00	
21SEP17	B	1	PD21DEC17	2462.50	2526.75	USD	1,606.25	
10OCT17	S	12	PD21DEC17	2554.55	2526.75	USD	8,340.00	
	NET	0AVG BGHT 2476.01	SOLD 2492.53				17,337.50	0.00
22SEP17	B	1	PD22DEC17	2419.50	2526.90	USD	2,685.00	
22SEP17	B	2	PD22DEC17	2414.00	2526.90	USD	5,645.00	
22SEP17	B	1	PD22DEC17	2409.00	2526.90	USD	2,947.50	
22SEP17	B	1	PD22DEC17	2400.50	2526.90	USD	3,160.00	
22SEP17	B	1	PD22DEC17	2400.00	2526.90	USD	3,172.50	
22SEP17	B	1	PD22DEC17	2382.50	2526.90	USD	3,610.00	
22SEP17	S	1	PD22DEC17	2388.00	2526.90	USD	-3,472.50	
22SEP17	S	1	PD22DEC17	2390.00	2526.90	USD	-3,422.50	
22SEP17	S	1	PD22DEC17	2399.00	2526.90	USD	-3,197.50	
22SEP17	S	1	PD22DEC17	2404.50	2526.90	USD	-3,060.00	
22SEP17	S	2	PD22DEC17	2406.00	2526.90	USD	-6,045.00	
22SEP17	S	1	PD22DEC17	2437.50	2526.90	USD	-2,235.00	
22SEP17	S	1	PD22DEC17	2448.50	2526.90	USD	-1,960.00	
22SEP17	S	1	PD22DEC17	2406.00	2526.90	USD	-3,022.50	
22SEP17	S	1	PD22DEC17	2473.00	2526.90	USD	-1,347.50	
22SEP17	S	1	PD22DEC17	2482.50	2526.90	USD	-1,110.00	
22SEP17	B	1	PD22DEC17	2457.00	2526.90	USD	1,747.50	
22SEP17	S	1	PD22DEC17	2478.00	2526.90	USD	-1,222.50	
22SEP17	S	1	PD22DEC17	2478.50	2526.90	USD	-1,210.00	
22SEP17	B	1	PD22DEC17	2475.50	2526.90	USD	1,285.00	
22SEP17	S	1	PD22DEC17	2476.00	2526.90	USD	-1,272.50	
22SEP17	S	1	PD22DEC17	2482.00	2526.90	USD	-1,122.50	

OPEN POSITION STATEMENT



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SAP083XA

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	<u>LME LEAD 25 TONNES. THIS IS AN</u>							
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22SEP17	S	7	PD22DEC17	2480.00	2526.90	USD	-8,207.50	
22SEP17	B	1	PD22DEC17	2486.00	2526.90	USD	1,022.50	
22SEP17	S	1	PD22DEC17	2483.00	2526.90	USD	-1,097.50	
22SEP17	B	1	PD22DEC17	2487.50	2526.90	USD	985.00	
22SEP17	B	1	PD22DEC17	2488.50	2526.90	USD	960.00	
22SEP17	B	6	PD22DEC17	2490.00	2526.90	USD	5,535.00	
22SEP17	B	2	PD22DEC17	2489.50	2526.90	USD	1,870.00	
22SEP17	S	1	PD22DEC17	2475.50	2526.90	USD	-1,285.00	
22SEP17	S	1	PD22DEC17	2476.00	2526.90	USD	-1,272.50	
22SEP17	B	1	PD22DEC17	2489.50	2526.90	USD	935.00	
10OCT17	B	4	PD22DEC17	2554.38	2526.90	USD	-2,748.00	
	NET	0AVG BGHT 2474.40	SOLD 2454.00				-12,750.50	0.00
25SEP17	B	1	PD27DEC17	2492.00	2527.64	USD	891.00	
25SEP17	B	1	PD27DEC17	2485.00	2527.64	USD	1,066.00	
25SEP17	B	1	PD27DEC17	2487.00	2527.64	USD	1,016.00	
25SEP17	S	2	PD27DEC17	2508.50	2527.64	USD	-957.00	
25SEP17	S	1	PD27DEC17	2507.00	2527.64	USD	-516.00	
25SEP17	B	1	PD27DEC17	2490.00	2527.64	USD	941.00	
25SEP17	S	1	PD27DEC17	2503.00	2527.64	USD	-616.00	
25SEP17	B	1	PD27DEC17	2483.50	2527.64	USD	1,103.50	
25SEP17	B	3	PD27DEC17	2486.00	2527.64	USD	3,123.00	
25SEP17	B	1	PD27DEC17	2484.00	2527.64	USD	1,091.00	
25SEP17	S	1	PD27DEC17	2474.00	2527.64	USD	-1,341.00	
25SEP17	B	2	PD27DEC17	2473.00	2527.64	USD	2,732.00	
25SEP17	B	2	PD27DEC17	2476.00	2527.64	USD	2,582.00	
25SEP17	B	2	PD27DEC17	2480.00	2527.64	USD	2,382.00	
25SEP17	B	1	PD27DEC17	2473.50	2527.64	USD	1,353.50	
26SEP17	S	1	PD27DEC17	2502.50	2527.64	USD	-628.50	
26SEP17	S	1	PD27DEC17	2508.00	2527.64	USD	-491.00	
26SEP17	B	2	PD27DEC17	2507.00	2527.64	USD	1,032.00	
26SEP17	B	1	PD27DEC17	2506.00	2527.64	USD	541.00	
26SEP17	B	1	PD27DEC17	2498.50	2527.64	USD	728.50	
26SEP17	B	1	PD27DEC17	2495.50	2527.64	USD	803.50	
26SEP17	B	1	PD27DEC17	2494.50	2527.64	USD	828.50	
26SEP17	S	1	PD27DEC17	2505.50	2527.64	USD	-553.50	
26SEP17	S	2	PD27DEC17	2498.00	2527.64	USD	-1,482.00	
26SEP17	S	1	PD27DEC17	2497.00	2527.64	USD	-766.00	
26SEP17	S	2	PD27DEC17	2499.00	2527.64	USD	-1,432.00	
26SEP17	S	1	PD27DEC17	2500.00	2527.64	USD	-691.00	
26SEP17	B	1	PD27DEC17	2497.00	2527.64	USD	766.00	
26SEP17	S	2	PD27DEC17	2501.00	2527.64	USD	-1,332.00	
26SEP17	S	2	PD27DEC17	2495.00	2527.64	USD	-1,632.00	
26SEP17	S	1	PD27DEC17	2502.50	2527.64	USD	-628.50	
26SEP17	B	1	PD27DEC17	2486.00	2527.64	USD	1,041.00	
26SEP17	B	2	PD27DEC17	2488.50	2527.64	USD	1,957.00	
26SEP17	S	1	PD27DEC17	2477.50	2527.64	USD	-1,253.50	
26SEP17	S	1	PD27DEC17	2477.00	2527.64	USD	-1,266.00	
26SEP17	B	1	PD27DEC17	2469.00	2527.64	USD	1,466.00	
26SEP17	B	1	PD27DEC17	2468.00	2527.64	USD	1,491.00	
26SEP17	S	1	PD27DEC17	2483.00	2527.64	USD	-1,116.00	
26SEP17	S	2	PD27DEC17	2484.50	2527.64	USD	-2,157.00	
26SEP17	S	1	PD27DEC17	2482.00	2527.64	USD	-1,141.00	
26SEP17	S	2	PD27DEC17	2481.00	2527.64	USD	-2,332.00	
26SEP17	S	2	PD27DEC17	2480.00	2527.64	USD	-2,382.00	
26SEP17	S	2	PD27DEC17	2478.00	2527.64	USD	-2,482.00	
26SEP17	B	2	PD27DEC17	2482.00	2527.64	USD	2,282.00	
27SEP17	B	2	PD27DEC17	2478.00	2527.64	USD	2,482.00	

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27SEP17	B	3	PD27DEC17	2480.00	2527.64	USD	3,573.00	
27SEP17	S	1	PD27DEC17	2482.00	2527.64	USD	-1,141.00	
27SEP17	S	1	PD27DEC17	2479.00	2527.64	USD	-1,216.00	
27SEP17	B	1	PD27DEC17	2479.50	2527.64	USD	1,203.50	
27SEP17	B	1	PD27DEC17	2477.50	2527.64	USD	1,253.50	
27SEP17	S	1	PD27DEC17	2467.00	2527.64	USD	-1,516.00	
27SEP17	S	1	PD27DEC17	2465.50	2527.64	USD	-1,553.50	
27SEP17	S	1	PD27DEC17	2465.00	2527.64	USD	-1,566.00	
27SEP17	B	1	PD27DEC17	2460.50	2527.64	USD	1,678.50	
27SEP17	B	1	PD27DEC17	2466.00	2527.64	USD	1,541.00	
27SEP17	B	1	PD27DEC17	2461.00	2527.64	USD	1,666.00	
10OCT17	S	4	PD27DEC17	2553.80	2527.64	USD	2,616.00	
	NET	0 AVG BGHT 2483.03	SOLD 2496.07				13,042.50	0.00
28SEP17	B	1	PD28DEC17	2458.00	2527.78	USD	1,744.50	
28SEP17	B	2	PD28DEC17	2457.00	2527.78	USD	3,539.00	
28SEP17	S	1	PD28DEC17	2454.00	2527.78	USD	-1,844.50	
28SEP17	S	6	PD28DEC17	2451.00	2527.78	USD	-11,517.00	
28SEP17	S	2	PD28DEC17	2452.00	2527.78	USD	-3,789.00	
28SEP17	S	3	PD28DEC17	2451.50	2527.78	USD	-5,721.00	
28SEP17	B	2	PD28DEC17	2448.00	2527.78	USD	3,989.00	
28SEP17	S	1	PD28DEC17	2460.50	2527.78	USD	-1,682.00	
28SEP17	B	1	PD28DEC17	2464.00	2527.78	USD	1,594.50	
28SEP17	S	2	PD28DEC17	2471.00	2527.78	USD	-2,839.00	
28SEP17	S	1	PD28DEC17	2474.00	2527.78	USD	-1,344.50	
28SEP17	S	1	PD28DEC17	2472.00	2527.78	USD	-1,394.50	
28SEP17	B	1	PD28DEC17	2459.00	2527.78	USD	1,719.50	
28SEP17	B	1	PD28DEC17	2461.50	2527.78	USD	1,657.00	
28SEP17	B	1	PD28DEC17	2471.50	2527.78	USD	1,407.00	
28SEP17	S	1	PD28DEC17	2478.00	2527.78	USD	-1,244.50	
28SEP17	B	1	PD28DEC17	2485.00	2527.78	USD	1,069.50	
28SEP17	B	1	PD28DEC17	2479.00	2527.78	USD	1,219.50	
28SEP17	B	1	PD28DEC17	2473.50	2527.78	USD	1,357.00	
28SEP17	B	1	PD28DEC17	2473.00	2527.78	USD	1,369.50	
28SEP17	B	4	PD28DEC17	2461.50	2527.78	USD	6,628.00	
28SEP17	B	4	PD28DEC17	2461.00	2527.78	USD	6,678.00	
28SEP17	B	1	PD28DEC17	2460.50	2527.78	USD	1,682.00	
28SEP17	B	1	PD28DEC17	2456.00	2527.78	USD	1,794.50	
28SEP17	B	1	PD28DEC17	2455.00	2527.78	USD	1,819.50	
28SEP17	B	1	PD28DEC17	2462.00	2527.78	USD	1,644.50	
28SEP17	B	2	PD28DEC17	2460.00	2527.78	USD	3,389.00	
28SEP17	S	1	PD28DEC17	2470.50	2527.78	USD	-1,432.00	
28SEP17	S	3	PD28DEC17	2470.00	2527.78	USD	-4,333.50	
28SEP17	S	2	PD28DEC17	2469.50	2527.78	USD	-2,914.00	
28SEP17	B	1	PD28DEC17	2483.00	2527.78	USD	1,119.50	
28SEP17	B	1	PD28DEC17	2483.50	2527.78	USD	1,107.00	
28SEP17	S	2	PD28DEC17	2485.00	2527.78	USD	-2,139.00	
28SEP17	B	2	PD28DEC17	2480.50	2527.78	USD	2,364.00	
28SEP17	B	1	PD28DEC17	2481.00	2527.78	USD	1,169.50	
28SEP17	B	2	PD28DEC17	2482.00	2527.78	USD	2,289.00	
28SEP17	B	2	PD28DEC17	2484.50	2527.78	USD	2,164.00	
28SEP17	B	3	PD28DEC17	2485.50	2527.78	USD	3,171.00	
28SEP17	B	1	PD28DEC17	2486.50	2527.78	USD	1,032.00	
28SEP17	S	1	PD28DEC17	2485.50	2527.78	USD	-1,057.00	
28SEP17	S	1	PD28DEC17	2484.00	2527.78	USD	-1,094.50	
10OCT17	S	12	PD28DEC17	2554.21	2527.78	USD	7,929.00	
	NET	0 AVG BGHT 2469.06	SOLD 2491.36				22,300.50	0.00
29SEP17	S	1	PD29DEC17	2486.50	2527.93	USD	-1,035.75	

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29SEP17	S	1	PD29DEC17	2488.00	2527.93	USD	-998.25	
29SEP17	B	1	PD29DEC17	2492.50	2527.93	USD	885.75	
29SEP17	S	1	PD29DEC17	2503.50	2527.93	USD	-610.75	
29SEP17	B	1	PD29DEC17	2496.00	2527.93	USD	798.25	
29SEP17	B	1	PD29DEC17	2495.00	2527.93	USD	823.25	
29SEP17	B	1	PD29DEC17	2493.00	2527.93	USD	873.25	
29SEP17	B	2	PD29DEC17	2493.50	2527.93	USD	1,721.50	
29SEP17	B	2	PD29DEC17	2487.50	2527.93	USD	2,021.50	
29SEP17	B	1	PD29DEC17	2487.00	2527.93	USD	1,023.25	
29SEP17	B	1	PD29DEC17	2485.00	2527.93	USD	1,073.25	
29SEP17	S	2	PD29DEC17	2485.00	2527.93	USD	-2,146.50	
29SEP17	S	1	PD29DEC17	2497.50	2527.93	USD	-760.75	
29SEP17	S	1	PD29DEC17	2494.00	2527.93	USD	-848.25	
29SEP17	S	1	PD29DEC17	2507.00	2527.93	USD	-523.25	
29SEP17	S	1	PD29DEC17	2491.00	2527.93	USD	-923.25	
29SEP17	S	1	PD29DEC17	2497.50	2527.93	USD	-760.75	
29SEP17	S	1	PD29DEC17	2504.50	2527.93	USD	-585.75	
29SEP17	S	1	PD29DEC17	2506.00	2527.93	USD	-548.25	
29SEP17	S	1	PD29DEC17	2508.50	2527.93	USD	-485.75	
29SEP17	S	3	PD29DEC17	2508.00	2527.93	USD	-1,494.75	
29SEP17	S	3	PD29DEC17	2512.00	2527.93	USD	-1,194.75	
29SEP17	B	1	PD29DEC17	2501.00	2527.93	USD	673.25	
29SEP17	B	1	PD29DEC17	2500.50	2527.93	USD	685.75	
29SEP17	B	2	PD29DEC17	2491.00	2527.93	USD	1,846.50	
29SEP17	S	1	PD29DEC17	2508.50	2527.93	USD	-485.75	
29SEP17	S	1	PD29DEC17	2512.50	2527.93	USD	-385.75	
29SEP17	B	1	PD29DEC17	2489.50	2527.93	USD	960.75	
29SEP17	B	2	PD29DEC17	2490.00	2527.93	USD	1,896.50	
29SEP17	B	1	PD29DEC17	2490.50	2527.93	USD	935.75	
29SEP17	B	3	PD29DEC17	2486.50	2527.93	USD	3,107.25	
29SEP17	S	2	PD29DEC17	2493.85	2527.93	USD	-1,704.00	
29SEP17	S	2	PD29DEC17	2494.85	2527.93	USD	-1,654.00	
29SEP17	S	2	PD29DEC17	2488.80	2527.93	USD	-1,956.50	
29SEP17	S	2	PD29DEC17	2489.00	2527.93	USD	-1,946.50	
29SEP17	B	1	PD29DEC17	2488.50	2527.93	USD	985.75	
29SEP17	S	4	PD29DEC17	2487.00	2527.93	USD	-4,093.00	
29SEP17	B	5	PD29DEC17	2485.20	2527.93	USD	5,341.25	
10OCT17	B	6	PD29DEC17	2554.63	2527.93	USD	-4,005.00	
	NET	0AVG	BGHT 2501.69	SOLD 2497.45			-3,494.50	0.00
03OCT17	S	1	PD03JAN18	2521.00	2528.67	USD	-191.75	
03OCT17	S	3	PD03JAN18	2520.00	2528.67	USD	-650.25	
03OCT17	B	1	PD03JAN18	2506.50	2528.67	USD	554.25	
03OCT17	B	1	PD03JAN18	2506.00	2528.67	USD	566.75	
03OCT17	B	1	PD03JAN18	2503.50	2528.67	USD	629.25	
03OCT17	B	1	PD03JAN18	2504.50	2528.67	USD	604.25	
03OCT17	B	1	PD03JAN18	2503.00	2528.67	USD	641.75	
03OCT17	B	1	PD03JAN18	2502.50	2528.67	USD	654.25	
03OCT17	S	1	PD03JAN18	2518.00	2528.67	USD	-266.75	
03OCT17	B	1	PD03JAN18	2492.00	2528.67	USD	916.75	
03OCT17	B	1	PD03JAN18	2490.50	2528.67	USD	954.25	
03OCT17	B	2	PD03JAN18	2491.00	2528.67	USD	1,883.50	
03OCT17	B	1	PD03JAN18	2489.00	2528.67	USD	991.75	
03OCT17	B	1	PD03JAN18	2493.50	2528.67	USD	879.25	
03OCT17	B	2	PD03JAN18	2494.00	2528.67	USD	1,733.50	
03OCT17	B	1	PD03JAN18	2500.00	2528.67	USD	716.75	
03OCT17	B	3	PD03JAN18	2499.00	2528.67	USD	2,225.25	
03OCT17	B	1	PD03JAN18	2496.00	2528.67	USD	816.75	

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030CT17	S	1	PD03JAN18	2513.00	2528.67	USD	-391.75	
030CT17	S	2	PD03JAN18	2518.00	2528.67	USD	-533.50	
030CT17	S	1	PD03JAN18	2519.00	2528.67	USD	-241.75	
030CT17	S	1	PD03JAN18	2519.50	2528.67	USD	-229.25	
030CT17	S	2	PD03JAN18	2515.00	2528.67	USD	-683.50	
030CT17	S	1	PD03JAN18	2534.00	2528.67	USD	133.25	
030CT17	S	1	PD03JAN18	2531.00	2528.67	USD	58.25	
030CT17	S	1	PD03JAN18	2534.50	2528.67	USD	145.75	
030CT17	S	2	PD03JAN18	2541.50	2528.67	USD	641.50	
030CT17	B	1	PD03JAN18	2565.00	2528.67	USD	-908.25	
030CT17	B	1	PD03JAN18	2547.00	2528.67	USD	-458.25	
030CT17	S	1	PD03JAN18	2569.50	2528.67	USD	1,020.75	
030CT17	S	2	PD03JAN18	2585.00	2528.67	USD	2,816.50	
030CT17	S	3	PD03JAN18	2590.00	2528.67	USD	4,599.75	
030CT17	B	1	PD03JAN18	2588.50	2528.67	USD	-1,495.75	
030CT17	S	1	PD03JAN18	2591.50	2528.67	USD	1,570.75	
030CT17	S	1	PD03JAN18	2592.00	2528.67	USD	1,583.25	
030CT17	B	4	PD03JAN18	2594.00	2528.67	USD	-6,533.00	
030CT17	B	4	PD03JAN18	2594.50	2528.67	USD	-6,583.00	
030CT17	S	13	PD03JAN18	2590.80	2528.67	USD	20,192.25	
030CT17	B	2	PD03JAN18	2596.00	2528.67	USD	-3,366.50	
030CT17	B	1	PD03JAN18	2566.50	2528.67	USD	-945.75	
030CT17	S	1	PD03JAN18	2564.00	2528.67	USD	883.25	
100CT17	B	6	PD03JAN18	2555.70	2528.67	USD	-4,054.50	
	NET	0 AVG BGHT 2538.49	SOLD 2559.91				20,880.00	0.00
040CT17	S	1	PD04JAN18	2606.00	2528.82	USD	1,929.50	
040CT17	S	1	PD04JAN18	2608.00	2528.82	USD	1,979.50	
040CT17	B	1	PD04JAN18	2612.00	2528.82	USD	-2,079.50	
040CT17	S	1	PD04JAN18	2605.00	2528.82	USD	1,904.50	
040CT17	B	2	PD04JAN18	2602.00	2528.82	USD	-3,659.00	
040CT17	B	1	PD04JAN18	2596.00	2528.82	USD	-1,679.50	
040CT17	B	1	PD04JAN18	2590.00	2528.82	USD	-1,529.50	
040CT17	B	1	PD04JAN18	2590.50	2528.82	USD	-1,542.00	
040CT17	B	1	PD04JAN18	2585.00	2528.82	USD	-1,404.50	
040CT17	B	2	PD04JAN18	2576.50	2528.82	USD	-2,384.00	
040CT17	B	1	PD04JAN18	2570.50	2528.82	USD	-1,042.00	
040CT17	B	2	PD04JAN18	2576.00	2528.82	USD	-2,359.00	
040CT17	S	2	PD04JAN18	2606.50	2528.82	USD	3,884.00	
040CT17	B	1	PD04JAN18	2562.00	2528.82	USD	-829.50	
040CT17	S	1	PD04JAN18	2585.00	2528.82	USD	1,404.50	
040CT17	S	1	PD04JAN18	2587.00	2528.82	USD	1,454.50	
040CT17	S	2	PD04JAN18	2590.00	2528.82	USD	3,059.00	
040CT17	S	1	PD04JAN18	2592.00	2528.82	USD	1,579.50	
040CT17	S	1	PD04JAN18	2593.50	2528.82	USD	1,617.00	
040CT17	S	1	PD04JAN18	2584.50	2528.82	USD	1,392.00	
040CT17	B	1	PD04JAN18	2567.00	2528.82	USD	-954.50	
040CT17	B	1	PD04JAN18	2556.50	2528.82	USD	-692.00	
040CT17	B	1	PD04JAN18	2556.00	2528.82	USD	-679.50	
040CT17	B	1	PD04JAN18	2557.00	2528.82	USD	-704.50	
040CT17	B	3	PD04JAN18	2548.00	2528.82	USD	-1,438.50	
040CT17	S	1	PD04JAN18	2549.00	2528.82	USD	504.50	
040CT17	S	3	PD04JAN18	2550.50	2528.82	USD	1,626.00	
040CT17	S	1	PD04JAN18	2549.50	2528.82	USD	517.00	
040CT17	S	1	PD04JAN18	2559.80	2528.82	USD	774.50	
040CT17	S	1	PD04JAN18	2548.50	2528.82	USD	492.00	
040CT17	S	1	PD04JAN18	2547.50	2528.82	USD	467.00	
	NET	0 AVG BGHT 2574.78	SOLD 2577.99				1,607.50	0.00

OPEN POSITION STATEMENT



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SAP083XA

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	<u>LME LEAD 25 TONNES. THIS IS AN</u>							
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05OCT17	S	1	PD05JAN18	2552.00	2528.97	USD	575.75	
05OCT17	S	1	PD05JAN18	2555.50	2528.97	USD	663.25	
05OCT17	S	1	PD05JAN18	2559.50	2528.97	USD	763.25	
05OCT17	S	1	PD05JAN18	2556.00	2528.97	USD	675.75	
05OCT17	B	3	PD05JAN18	2559.50	2528.97	USD	-2,289.75	
05OCT17	S	1	PD05JAN18	2572.00	2528.97	USD	1,075.75	
05OCT17	S	1	PD05JAN18	2580.00	2528.97	USD	1,275.75	
05OCT17	S	1	PD05JAN18	2581.00	2528.97	USD	1,300.75	
05OCT17	S	1	PD05JAN18	2585.50	2528.97	USD	1,413.25	
05OCT17	B	1	PD05JAN18	2592.50	2528.97	USD	-1,588.25	
05OCT17	B	1	PD05JAN18	2585.50	2528.97	USD	-1,413.25	
05OCT17	B	2	PD05JAN18	2583.00	2528.97	USD	-2,701.50	
05OCT17	B	1	PD05JAN18	2584.00	2528.97	USD	-1,375.75	
06OCT17	S	1	PD05JAN18	2580.00	2528.97	USD	1,275.75	
06OCT17	B	1	PD05JAN18	2579.00	2528.97	USD	-1,250.75	
06OCT17	S	2	PD05JAN18	2573.50	2528.97	USD	2,226.50	
06OCT17	S	1	PD05JAN18	2574.00	2528.97	USD	1,125.75	
06OCT17	B	1	PD05JAN18	2573.00	2528.97	USD	-1,100.75	
06OCT17	B	1	PD05JAN18	2563.50	2528.97	USD	-863.25	
06OCT17	B	1	PD05JAN18	2564.00	2528.97	USD	-875.75	
06OCT17	B	2	PD05JAN18	2559.00	2528.97	USD	-1,501.50	
06OCT17	S	2	PD05JAN18	2550.00	2528.97	USD	1,051.50	
06OCT17	S	1	PD05JAN18	2540.00	2528.97	USD	275.75	
06OCT17	B	1	PD05JAN18	2529.50	2528.97	USD	-13.25	
06OCT17	B	1	PD05JAN18	2525.50	2528.97	USD	86.75	
06OCT17	S	1	PD05JAN18	2519.00	2528.97	USD	-249.25	
	NET	0AVG BGHT 2566.19	SOLD 2562.59				-1,437.50	0.00
09OCT17	S	1	PD09JAN18	2532.00	2529.56	USD	61.00	
09OCT17	S	2	PD09JAN18	2530.50	2529.56	USD	47.00	
09OCT17	S	2	PD09JAN18	2530.00	2529.56	USD	22.00	
09OCT17	B	1	PD09JAN18	2529.00	2529.56	USD	14.00	
09OCT17	B	1	PD09JAN18	2526.50	2529.56	USD	76.50	
09OCT17	B	1	PD09JAN18	2520.00	2529.56	USD	239.00	
09OCT17	B	1	PD09JAN18	2517.00	2529.56	USD	314.00	
09OCT17	B	1	PD09JAN18	2516.00	2529.56	USD	339.00	
09OCT17	B	1	PD09JAN18	2505.50	2529.56	USD	601.50	
09OCT17	S	2	PD09JAN18	2510.00	2529.56	USD	-978.00	
09OCT17	S	2	PD09JAN18	2513.00	2529.56	USD	-828.00	
09OCT17	S	1	PD09JAN18	2527.00	2529.56	USD	-64.00	
09OCT17	S	2	PD09JAN18	2523.50	2529.56	USD	-303.00	
09OCT17	S	1	PD09JAN18	2507.00	2529.56	USD	-564.00	
09OCT17	B	1	PD09JAN18	2508.00	2529.56	USD	539.00	
09OCT17	B	1	PD09JAN18	2504.50	2529.56	USD	626.50	
09OCT17	B	1	PD09JAN18	2503.00	2529.56	USD	664.00	
09OCT17	B	1	PD09JAN18	2496.00	2529.56	USD	839.00	
09OCT17	S	1	PD09JAN18	2509.50	2529.56	USD	-501.50	
09OCT17	S	1	PD09JAN18	2509.00	2529.56	USD	-514.00	
09OCT17	B	1	PD09JAN18	2510.00	2529.56	USD	489.00	
09OCT17	S	2	PD09JAN18	2512.00	2529.56	USD	-878.00	
09OCT17	S	1	PD09JAN18	2519.00	2529.56	USD	-264.00	
09OCT17	S	1	PD09JAN18	2517.00	2529.56	USD	-314.00	
09OCT17	S	1	PD09JAN18	2518.00	2529.56	USD	-289.00	
09OCT17	S	1	PD09JAN18	2515.00	2529.56	USD	-364.00	
	NET	-10 AVG BGHT 2512.32	SOLD 2518.64				-990.00	0.00
10OCT17	B	1	PD10JAN18	2500.50	2529.70	USD	730.00	
10OCT17	B	1	PD10JAN18	2499.00	2529.70	USD	767.50	
10OCT17	B	1	PD10JAN18	2498.50	2529.70	USD	780.00	

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10OCT17	B	2	PD10JAN18	2501.00	2529.70	USD	1,435.00	
10OCT17	B	1	PD10JAN18	2504.00	2529.70	USD	642.50	
10OCT17	B	1	PD10JAN18	2503.00	2529.70	USD	667.50	
10OCT17	B	1	PD10JAN18	2502.50	2529.70	USD	680.00	
10OCT17	S	1	PD10JAN18	2500.00	2529.70	USD	-742.50	
10OCT17	S	2	PD10JAN18	2501.50	2529.70	USD	-1,410.00	
10OCT17	B	1	PD10JAN18	2495.50	2529.70	USD	855.00	
10OCT17	B	1	PD10JAN18	2493.00	2529.70	USD	917.50	
10OCT17	B	1	PD10JAN18	2490.00	2529.70	USD	992.50	
10OCT17	B	1	PD10JAN18	2487.00	2529.70	USD	1,067.50	
10OCT17	S	1	PD10JAN18	2502.00	2529.70	USD	-692.50	
10OCT17	B	1	PD10JAN18	2498.00	2529.70	USD	792.50	
10OCT17	B	1	PD10JAN18	2497.00	2529.70	USD	817.50	
10OCT17	B	1	PD10JAN18	2489.50	2529.70	USD	1,005.00	
10OCT17	S	2	PD10JAN18	2515.50	2529.70	USD	-710.00	
10OCT17	S	2	PD10JAN18	2520.00	2529.70	USD	-485.00	
10OCT17	S	2	PD10JAN18	2518.00	2529.70	USD	-585.00	
10OCT17	S	2	PD10JAN18	2519.50	2529.70	USD	-510.00	
10OCT17	S	2	PD10JAN18	2516.00	2529.70	USD	-685.00	
10OCT17	S	1	PD10JAN18	2515.00	2529.70	USD	-367.50	
10OCT17	S	1	PD10JAN18	2514.00	2529.70	USD	-392.50	
10OCT17	S	1	PD10JAN18	2506.00	2529.70	USD	-592.50	
10OCT17	S	1	PD10JAN18	2512.00	2529.70	USD	-442.50	
10OCT17	S	1	PD10JAN18	2518.50	2529.70	USD	-280.00	
10OCT17	B	1	PD10JAN18	2526.00	2529.70	USD	92.50	
10OCT17	S	1	PD10JAN18	2524.00	2529.70	USD	-142.50	
10OCT17	B	2	PD10JAN18	2508.00	2529.70	USD	1,085.00	
10OCT17	B	1	PD10JAN18	2507.50	2529.70	USD	555.00	
10OCT17	S	1	PD10JAN18	2536.50	2529.70	USD	170.00	
10OCT17	S	1	PD10JAN18	2533.00	2529.70	USD	82.50	
10OCT17	S	1	PD10JAN18	2532.00	2529.70	USD	57.50	
10OCT17	S	1	PD10JAN18	2534.00	2529.70	USD	107.50	
10OCT17	B	1	PD10JAN18	2538.00	2529.70	USD	-207.50	
10OCT17	S	1	PD10JAN18	2539.50	2529.70	USD	245.00	
10OCT17	B	2	PD10JAN18	2545.00	2529.70	USD	-765.00	
10OCT17	B	1	PD10JAN18	2548.00	2529.70	USD	-457.50	
	NET	-2 AVG BGHT 2508.04	SOLD 2517.90				5,077.50	0.00
11OCT17	B	1	PD11JAN18	2531.50	2529.85	USD	-41.25	
11OCT17	S	1	PD11JAN18	2532.50	2529.85	USD	66.25	
11OCT17	S	1	PD11JAN18	2533.50	2529.85	USD	91.25	
11OCT17	B	1	PD11JAN18	2533.00	2529.85	USD	-78.75	
11OCT17	B	1	PD11JAN18	2531.00	2529.85	USD	-28.75	
11OCT17	B	1	PD11JAN18	2528.00	2529.85	USD	46.25	
11OCT17	B	1	PD11JAN18	2535.00	2529.85	USD	-128.75	
11OCT17	B	1	PD11JAN18	2526.50	2529.85	USD	83.75	
11OCT17	B	2	PD11JAN18	2525.00	2529.85	USD	242.50	
11OCT17	B	4	PD11JAN18	2524.50	2529.85	USD	535.00	
11OCT17	B	1	PD11JAN18	2521.00	2529.85	USD	221.25	
11OCT17	B	1	PD11JAN18	2521.50	2529.85	USD	208.75	
11OCT17	B	1	PD11JAN18	2518.50	2529.85	USD	283.75	
11OCT17	S	1	PD11JAN18	2509.00	2529.85	USD	-521.25	
11OCT17	S	1	PD11JAN18	2516.00	2529.85	USD	-346.25	
11OCT17	B	1	PD11JAN18	2531.00	2529.85	USD	-28.75	
11OCT17	B	1	PD11JAN18	2523.00	2529.85	USD	171.25	
11OCT17	B	2	PD11JAN18	2522.00	2529.85	USD	392.50	
11OCT17	S	2	PD11JAN18	2521.50	2529.85	USD	-417.50	
11OCT17	S	1	PD11JAN18	2561.00	2529.85	USD	778.75	

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11OCT17	S	1	PD11JAN18	2563.00	2529.85	USD	828.75	
11OCT17	S	6	PD11JAN18	2562.00	2529.85	USD	4,822.50	
11OCT17	S	1	PD11JAN18	2559.50	2529.85	USD	741.25	
11OCT17	S	1	PD11JAN18	2560.00	2529.85	USD	753.75	
11OCT17	S	1	PD11JAN18	2554.50	2529.85	USD	616.25	
11OCT17	S	1	PD11JAN18	2555.00	2529.85	USD	628.75	
11OCT17	S	1	PD11JAN18	2557.50	2529.85	USD	691.25	
11OCT17	S	1	PD11JAN18	2564.80	2529.85	USD	873.75	
	NET	-1AVG BGHT 2525.89	SOLD 2549.07				11,486.25	0.00
12OCT17	B	1	PD12JAN18	2551.00	2530.00	USD	-525.00	
12OCT17	S	1	PD12JAN18	2557.50	2530.00	USD	687.50	
12OCT17	S	1	PD12JAN18	2559.00	2530.00	USD	725.00	
12OCT17	B	1	PD12JAN18	2560.50	2530.00	USD	-762.50	
12OCT17	B	1	PD12JAN18	2560.00	2530.00	USD	-750.00	
12OCT17	B	1	PD12JAN18	2561.00	2530.00	USD	-775.00	
12OCT17	B	1	PD12JAN18	2562.00	2530.00	USD	-800.00	
12OCT17	B	1	PD12JAN18	2569.00	2530.00	USD	-975.00	
12OCT17	B	1	PD12JAN18	2576.50	2530.00	USD	-1,162.50	
12OCT17	B	2	PD12JAN18	2574.50	2530.00	USD	-2,225.00	
12OCT17	B	1	PD12JAN18	2572.00	2530.00	USD	-1,050.00	
12OCT17	B	1	PD12JAN18	2571.00	2530.00	USD	-1,025.00	
12OCT17	B	3	PD12JAN18	2570.00	2530.00	USD	-3,000.00	
12OCT17	B	1	PD12JAN18	2561.00	2530.00	USD	-775.00	
12OCT17	B	1	PD12JAN18	2565.50	2530.00	USD	-887.50	
12OCT17	B	1	PD12JAN18	2573.00	2530.00	USD	-1,075.00	
12OCT17	B	2	PD12JAN18	2574.00	2530.00	USD	-2,200.00	
12OCT17	B	4	PD12JAN18	2575.00	2530.00	USD	-4,500.00	
12OCT17	S	1	PD12JAN18	2574.00	2530.00	USD	1,100.00	
12OCT17	B	1	PD12JAN18	2573.50	2530.00	USD	-1,087.50	
12OCT17	S	1	PD12JAN18	2580.00	2530.00	USD	1,250.00	
12OCT17	B	1	PD12JAN18	2553.00	2530.00	USD	-575.00	
12OCT17	S	1	PD12JAN18	2554.00	2530.00	USD	600.00	
12OCT17	S	3	PD12JAN18	2566.50	2530.00	USD	2,737.50	
13OCT17	S	2	PD12JAN18	2555.00	2530.00	USD	1,250.00	
13OCT17	S	1	PD12JAN18	2541.00	2530.00	USD	275.00	
13OCT17	S	1	PD12JAN18	2544.00	2530.00	USD	350.00	
13OCT17	B	1	PD12JAN18	2548.50	2530.00	USD	-462.50	
13OCT17	S	1	PD12JAN18	2541.50	2530.00	USD	287.50	
13OCT17	S	1	PD12JAN18	2556.50	2530.00	USD	662.50	
13OCT17	S	1	PD12JAN18	2540.00	2530.00	USD	250.00	
13OCT17	S	2	PD12JAN18	2538.50	2530.00	USD	425.00	
13OCT17	S	1	PD12JAN18	2538.00	2530.00	USD	200.00	
	NET	+8AVG BGHT 2567.87	SOLD 2554.00				-13,812.50	0.00
	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
17JUL17	B	1	PD17OCT17	9595.00	11610.50	USD	12,093.00	
17JUL17	B	2	PD17OCT17	9620.00	11610.50	USD	23,886.00	
17JUL17	S	1	PD17OCT17	9640.00	11610.50	USD	-11,823.00	
17JUL17	S	1	PD17OCT17	9625.00	11610.50	USD	-11,913.00	
17JUL17	S	1	PD17OCT17	9615.00	11610.50	USD	-11,973.00	
17JUL17	S	1	PD17OCT17	9700.00	11610.50	USD	-11,463.00	
17JUL17	B	1	PD17OCT17	9600.00	11610.50	USD	12,063.00	
	NET	0AVG BGHT 9608.75	SOLD 9645.00				870.00	0.00
18JUL17	S	1	PD18OCT17	9635.00	11610.50	USD	-11,853.00	
18JUL17	S	2	PD18OCT17	9645.00	11610.50	USD	-23,586.00	
18JUL17	B	1	PD18OCT17	9660.00	11610.50	USD	11,703.00	

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18JUL17	B	1	PD18OCT17	9650.00	11610.50	USD	11,763.00	
18JUL17	B	1	PD18OCT17	9655.00	11610.50	USD	11,733.00	
	NET	0AVG BGHT 9655.00	SOLD 9641.67				-240.00	0.00
19JUL17	S	1	PD19OCT17	9755.00	11610.50	USD	-11,133.00	
19JUL17	B	1	PD19OCT17	9695.00	11610.50	USD	11,493.00	
	NET	0AVG BGHT 9695.00	SOLD 9755.00				360.00	0.00
20JUL17	S	1	PD20OCT17	9635.00	11611.35	USD	-11,858.10	
20JUL17	B	1	PD20OCT17	9630.00	11611.35	USD	11,888.10	
	NET	0AVG BGHT 9630.00	SOLD 9635.00				30.00	0.00
24JUL17	S	1	PD24OCT17	9690.00	11614.76	USD	-11,548.56	
24JUL17	S	1	PD24OCT17	9735.00	11614.76	USD	-11,278.56	
24JUL17	S	1	PD24OCT17	9750.00	11614.76	USD	-11,188.56	
24JUL17	B	1	PD24OCT17	9755.00	11614.76	USD	11,158.56	
24JUL17	B	1	PD24OCT17	9745.00	11614.76	USD	11,218.56	
24JUL17	B	1	PD24OCT17	9760.00	11614.76	USD	11,128.56	
	NET	0AVG BGHT 9753.33	SOLD 9725.00				-510.00	0.00
25JUL17	S	2	PD25OCT17	9850.00	11615.61	USD	-21,187.32	
25JUL17	S	3	PD25OCT17	9900.00	11615.61	USD	-30,880.98	
25JUL17	S	1	PD25OCT17	9895.00	11615.61	USD	-10,323.66	
25JUL17	S	1	PD25OCT17	9920.00	11615.61	USD	-10,173.66	
25JUL17	B	1	PD25OCT17	9925.00	11615.61	USD	10,143.66	
25JUL17	B	4	PD25OCT17	9950.00	11615.61	USD	39,974.64	
25JUL17	B	2	PD25OCT17	9920.00	11615.61	USD	20,347.32	
	NET	0AVG BGHT 9937.86	SOLD 9887.86				-2,100.00	0.00
26JUL17	B	2	PD26OCT17	10020.00	11616.46	USD	19,157.52	
26JUL17	B	2	PD26OCT17	10030.00	11616.46	USD	19,037.52	
26JUL17	S	1	PD26OCT17	9915.00	11616.46	USD	-10,208.76	
26JUL17	S	3	PD26OCT17	9910.00	11616.46	USD	-30,716.28	
	NET	0AVG BGHT 10025.00	SOLD 9911.25				-2,730.00	0.00
27JUL17	B	1	PD27OCT17	10050.00	11617.31	USD	9,403.86	
27JUL17	S	1	PD27OCT17	10085.00	11617.31	USD	-9,193.86	
28JUL17	B	1	PD27OCT17	10045.00	11617.31	USD	9,433.86	
28JUL17	B	1	PD27OCT17	10025.00	11617.31	USD	9,553.86	
28JUL17	B	1	PD27OCT17	10030.00	11617.31	USD	9,523.86	
28JUL17	B	1	PD27OCT17	10035.00	11617.31	USD	9,493.86	
28JUL17	S	2	PD27OCT17	10095.00	11617.31	USD	-18,267.72	
28JUL17	S	1	PD27OCT17	10100.00	11617.31	USD	-9,103.86	
28JUL17	S	1	PD27OCT17	10135.00	11617.31	USD	-8,893.86	
28JUL17	S	2	PD27OCT17	10130.00	11617.31	USD	-17,847.72	
28JUL17	S	1	PD27OCT17	10210.00	11617.31	USD	-8,443.86	
28JUL17	S	1	PD27OCT17	10035.00	11617.31	USD	-9,493.86	
28JUL17	B	1	PD27OCT17	10255.00	11617.31	USD	8,173.86	
28JUL17	B	1	PD27OCT17	10250.00	11617.31	USD	8,203.86	
28JUL17	B	3	PD27OCT17	10230.00	11617.31	USD	24,971.58	
28JUL17	B	1	PD27OCT17	10240.00	11617.31	USD	8,263.86	
28JUL17	B	2	PD27OCT17	10225.00	11617.31	USD	16,707.72	
28JUL17	S	1	PD27OCT17	10235.00	11617.31	USD	-8,293.86	
28JUL17	S	3	PD27OCT17	10205.00	11617.31	USD	-25,421.58	
28JUL17	B	1	PD27OCT17	10195.00	11617.31	USD	8,533.86	
28JUL17	S	1	PD27OCT17	10215.00	11617.31	USD	-8,413.86	
28JUL17	B	4	PD27OCT17	10280.00	11617.31	USD	32,095.44	
14SEP17	S	4	PD27OCT17	11158.68	11617.31	USD	-11,007.12	
	NET	0AVG BGHT 10188.06	SOLD 10373.04				19,978.32	0.00
31JUL17	B	1	PD31OCT17	10325.00	11620.72	USD	7,774.32	
31JUL17	S	1	PD31OCT17	10320.00	11620.72	USD	-7,804.32	

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
31JUL17	S	1	PD31OCT17	10315.00	11620.72	USD	-7,834.32	
31JUL17	S	1	PD31OCT17	10280.00	11620.72	USD	-8,044.32	
31JUL17	S	1	PD31OCT17	10290.00	11620.72	USD	-7,984.32	
31JUL17	S	1	PD31OCT17	10300.00	11620.72	USD	-7,924.32	
14SEP17	B	4	PD31OCT17	11163.54	11620.72	USD	10,972.32	
	NET	0 AVG	BGHT 10995.83	SOLD 10301.00			-20,844.96	0.00
01AUG17	B	2	PD01NOV17	10150.00	11621.57	USD	17,658.84	
01AUG17	S	1	PD01NOV17	10195.00	11621.57	USD	-8,559.42	
01AUG17	S	1	PD01NOV17	10205.00	11621.57	USD	-8,499.42	
	NET	0 AVG	BGHT 10150.00	SOLD 10200.00			600.00	0.00
03AUG17	B	1	PD03NOV17	10335.00	11623.28	USD	7,729.68	
03AUG17	S	1	PD03NOV17	10300.00	11623.28	USD	-7,939.68	
03AUG17	S	1	PD03NOV17	10290.00	11623.28	USD	-7,999.68	
03AUG17	B	1	PD03NOV17	10310.00	11623.28	USD	7,879.68	
04AUG17	S	1	PD03NOV17	10390.00	11623.28	USD	-7,399.68	
04AUG17	S	1	PD03NOV17	10405.00	11623.28	USD	-7,309.68	
04AUG17	S	1	PD03NOV17	10410.00	11623.28	USD	-7,279.68	
04AUG17	B	1	PD03NOV17	10380.00	11623.28	USD	7,459.68	
04AUG17	B	2	PD03NOV17	10335.00	11623.28	USD	15,459.36	
	NET	0 AVG	BGHT 10339.00	SOLD 10359.00			600.00	0.00
08AUG17	B	3	PD08NOV17	10300.00	11627.54	USD	23,895.72	
08AUG17	B	2	PD08NOV17	10295.00	11627.54	USD	15,990.48	
08AUG17	S	1	PD08NOV17	10340.00	11627.54	USD	-7,725.24	
08AUG17	S	2	PD08NOV17	10345.00	11627.54	USD	-15,390.48	
08AUG17	S	1	PD08NOV17	10310.00	11627.54	USD	-7,905.24	
08AUG17	B	1	PD08NOV17	10310.00	11627.54	USD	7,905.24	
08AUG17	S	1	PD08NOV17	10295.00	11627.54	USD	-7,995.24	
08AUG17	S	1	PD08NOV17	10320.00	11627.54	USD	-7,845.24	
	NET	0 AVG	BGHT 10300.00	SOLD 10325.83			930.00	0.00
09AUG17	S	2	PD09NOV17	10730.00	11628.39	USD	-10,780.68	
09AUG17	S	4	PD09NOV17	10735.00	11628.39	USD	-21,441.36	
09AUG17	B	1	PD09NOV17	10775.00	11628.39	USD	5,120.34	
09AUG17	B	1	PD09NOV17	10780.00	11628.39	USD	5,090.34	
09AUG17	B	1	PD09NOV17	10770.00	11628.39	USD	5,150.34	
09AUG17	B	1	PD09NOV17	10800.00	11628.39	USD	4,970.34	
09AUG17	S	1	PD09NOV17	10750.00	11628.39	USD	-5,270.34	
09AUG17	B	3	PD09NOV17	10750.00	11628.39	USD	15,811.02	
	NET	0 AVG	BGHT 10767.86	SOLD 10735.71			-1,350.00	0.00
10AUG17	S	1	PD10NOV17	10805.00	11629.24	USD	-4,945.44	
10AUG17	B	1	PD10NOV17	10835.00	11629.24	USD	4,765.44	
11AUG17	S	2	PD10NOV17	10670.00	11629.24	USD	-11,510.88	
11AUG17	S	3	PD10NOV17	10730.00	11629.24	USD	-16,186.32	
11AUG17	B	1	PD10NOV17	10690.00	11629.24	USD	5,635.44	
11AUG17	B	1	PD10NOV17	10640.00	11629.24	USD	5,935.44	
11AUG17	B	1	PD10NOV17	10645.00	11629.24	USD	5,905.44	
11AUG17	B	2	PD10NOV17	10665.00	11629.24	USD	11,570.88	
11AUG17	B	1	PD10NOV17	10660.00	11629.24	USD	5,815.44	
11AUG17	S	1	PD10NOV17	10650.00	11629.24	USD	-5,875.44	
	NET	0 AVG	BGHT 10685.71	SOLD 10712.14			1,110.00	0.00
14AUG17	B	1	PD14NOV17	10640.00	11632.65	USD	5,955.90	
14AUG17	B	1	PD14NOV17	10605.00	11632.65	USD	6,165.90	
14AUG17	S	1	PD14NOV17	10575.00	11632.65	USD	-6,345.90	
14AUG17	S	1	PD14NOV17	10600.00	11632.65	USD	-6,195.90	
	NET	0 AVG	BGHT 10622.50	SOLD 10587.50			-420.00	0.00
16AUG17	B	1	PD16NOV17	10410.00	11634.19	USD	7,345.14	

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16AUG17	B	1	PD16NOV17	10460.00	11634.19	USD	7,045.14	
16AUG17	S	1	PD16NOV17	10415.00	11634.19	USD	-7,315.14	
16AUG17	S	1	PD16NOV17	10515.00	11634.19	USD	-6,715.14	
	NET	0AVG	BGHT 10435.00	SOLD 10465.00			360.00	0.00
22AUG17	S	1	PD22NOV17	11335.00	11638.30	USD	-1,819.80	
22AUG17	S	1	PD22NOV17	11300.00	11638.30	USD	-2,029.80	
22AUG17	S	2	PD22NOV17	11355.00	11638.30	USD	-3,399.60	
22AUG17	B	1	PD22NOV17	11510.00	11638.30	USD	769.80	
22AUG17	S	1	PD22NOV17	11525.00	11638.30	USD	-679.80	
22AUG17	S	1	PD22NOV17	11535.00	11638.30	USD	-619.80	
22AUG17	B	1	PD22NOV17	11475.00	11638.30	USD	979.80	
22AUG17	S	1	PD22NOV17	11465.00	11638.30	USD	-1,039.80	
22AUG17	B	1	PD22NOV17	11445.00	11638.30	USD	1,159.80	
22AUG17	B	1	PD22NOV17	11400.00	11638.30	USD	1,429.80	
22AUG17	B	1	PD22NOV17	11380.00	11638.30	USD	1,549.80	
22AUG17	B	1	PD22NOV17	11360.00	11638.30	USD	1,669.80	
22AUG17	B	1	PD22NOV17	11325.00	11638.30	USD	1,879.80	
	NET	0AVG	BGHT 11413.57	SOLD 11410.00			-150.00	0.00
23AUG17	S	1	PD24NOV17	11370.00	11639.67	USD	-1,618.02	
23AUG17	S	1	PD24NOV17	11395.00	11639.67	USD	-1,468.02	
23AUG17	S	1	PD24NOV17	11405.00	11639.67	USD	-1,408.02	
23AUG17	B	1	PD24NOV17	11440.00	11639.67	USD	1,198.02	
23AUG17	S	2	PD24NOV17	11520.00	11639.67	USD	-1,436.04	
23AUG17	S	1	PD24NOV17	11530.00	11639.67	USD	-658.02	
23AUG17	B	1	PD24NOV17	11480.00	11639.67	USD	958.02	
23AUG17	B	1	PD24NOV17	11730.00	11639.67	USD	-541.98	
23AUG17	B	1	PD24NOV17	11780.00	11639.67	USD	-841.98	
24AUG17	B	2	PD24NOV17	11720.00	11639.67	USD	-963.96	
24AUG17	S	1	PD24NOV17	11690.00	11639.67	USD	301.98	
24AUG17	B	1	PD24NOV17	11705.00	11639.67	USD	-391.98	
25AUG17	B	1	PD24NOV17	11445.00	11639.67	USD	1,168.02	
25AUG17	S	1	PD24NOV17	11450.00	11639.67	USD	-1,138.02	
	NET	0AVG	BGHT 11627.50	SOLD 11485.00			-6,840.00	0.00
31AUG17	B	1	PD30NOV17	11780.00	11643.79	USD	-817.26	
31AUG17	S	1	PD30NOV17	11790.00	11643.79	USD	877.26	
	NET	0AVG	BGHT 11780.00	SOLD 11790.00			60.00	0.00
04SEP17	S	1	PD04DEC17	12220.00	11646.53	USD	3,440.82	
04SEP17	S	1	PD04DEC17	12215.00	11646.53	USD	3,410.82	
04SEP17	B	1	PD04DEC17	12220.00	11646.53	USD	-3,440.82	
04SEP17	S	2	PD04DEC17	12125.00	11646.53	USD	5,741.64	
04SEP17	B	1	PD04DEC17	12145.00	11646.53	USD	-2,990.82	
04SEP17	B	1	PD04DEC17	12140.00	11646.53	USD	-2,960.82	
04SEP17	B	1	PD04DEC17	12170.00	11646.53	USD	-3,140.82	
04SEP17	B	1	PD04DEC17	12255.00	11646.53	USD	-3,650.82	
04SEP17	S	1	PD04DEC17	12250.00	11646.53	USD	3,620.82	
	NET	0AVG	BGHT 12186.00	SOLD 12187.00			30.00	0.00
05SEP17	S	1	PD05DEC17	12250.00	11647.21	USD	3,616.74	
05SEP17	B	1	PD05DEC17	12195.00	11647.21	USD	-3,286.74	
05SEP17	S	1	PD05DEC17	12185.00	11647.21	USD	3,226.74	
05SEP17	B	1	PD05DEC17	12185.00	11647.21	USD	-3,226.74	
05SEP17	S	1	PD05DEC17	12230.00	11647.21	USD	3,496.74	
05SEP17	B	1	PD05DEC17	12155.00	11647.21	USD	-3,046.74	
	NET	0AVG	BGHT 12178.33	SOLD 12221.67			780.00	0.00
06SEP17	S	1	PD06DEC17	12015.00	11647.90	USD	2,202.60	
06SEP17	B	1	PD06DEC17	11970.00	11647.90	USD	-1,932.60	

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06SEP17	S	1	PD06DEC17		12120.00	11647.90	USD	2,832.60	
06SEP17	B	1	PD06DEC17		12200.00	11647.90	USD	-3,312.60	
	NET	0 AVG	BGHT 12085.00	SOLD 12067.50				-210.00	0.00
07SEP17	S	1	PD07DEC17		12250.00	11648.59	USD	3,608.46	
07SEP17	S	1	PD07DEC17		12230.00	11648.59	USD	3,488.46	
07SEP17	B	1	PD07DEC17		12145.00	11648.59	USD	-2,978.46	
07SEP17	S	1	PD07DEC17		12135.00	11648.59	USD	2,918.46	
07SEP17	B	1	PD07DEC17		12085.00	11648.59	USD	-2,618.46	
07SEP17	B	1	PD07DEC17		12095.00	11648.59	USD	-2,678.46	
07SEP17	S	1	PD07DEC17		12180.00	11648.59	USD	3,188.46	
07SEP17	S	1	PD07DEC17		12195.00	11648.59	USD	3,278.46	
07SEP17	B	1	PD07DEC17		12135.00	11648.59	USD	-2,918.46	
07SEP17	B	1	PD07DEC17		12090.00	11648.59	USD	-2,648.46	
	NET	0 AVG	BGHT 12110.00	SOLD 12198.00				2,640.00	0.00
08SEP17	B	1	PD08DEC17		12125.00	11649.27	USD	-2,854.38	
08SEP17	B	1	PD08DEC17		12030.00	11649.27	USD	-2,284.38	
08SEP17	B	1	PD08DEC17		11955.00	11649.27	USD	-1,834.38	
08SEP17	S	1	PD08DEC17		11970.00	11649.27	USD	1,924.38	
08SEP17	S	1	PD08DEC17		11945.00	11649.27	USD	1,774.38	
08SEP17	B	1	PD08DEC17		11880.00	11649.27	USD	-1,384.38	
08SEP17	S	1	PD08DEC17		11920.00	11649.27	USD	1,624.38	
08SEP17	S	1	PD08DEC17		11915.00	11649.27	USD	1,594.38	
08SEP17	B	1	PD08DEC17		11550.00	11649.27	USD	595.62	
08SEP17	S	1	PD08DEC17		11565.00	11649.27	USD	-505.62	
	NET	0 AVG	BGHT 11908.00	SOLD 11863.00				-1,350.00	0.00
11SEP17	S	2	PD11DEC17		11600.00	11651.33	USD	-615.96	
11SEP17	B	1	PD11DEC17		11605.00	11651.33	USD	277.98	
11SEP17	B	1	PD11DEC17		11610.00	11651.33	USD	247.98	
11SEP17	B	1	PD11DEC17		11750.00	11651.33	USD	-592.02	
11SEP17	S	1	PD11DEC17		11755.00	11651.33	USD	622.02	
	NET	0 AVG	BGHT 11655.00	SOLD 11651.67				-60.00	0.00
12SEP17	B	1	PD12DEC17		11665.00	11652.01	USD	-77.94	
12SEP17	S	1	PD12DEC17		11715.00	11652.01	USD	377.94	
	NET	0 AVG	BGHT 11665.00	SOLD 11715.00				300.00	0.00
13SEP17	B	1	PD13DEC17		11725.00	11652.70	USD	-433.80	
13SEP17	S	1	PD13DEC17		11775.00	11652.70	USD	733.80	
13SEP17	B	1	PD13DEC17		11720.00	11652.70	USD	-403.80	
13SEP17	B	1	PD13DEC17		11590.00	11652.70	USD	376.20	
13SEP17	B	2	PD13DEC17		11570.00	11652.70	USD	992.40	
13SEP17	B	1	PD13DEC17		11520.00	11652.70	USD	796.20	
13SEP17	B	1	PD13DEC17		11525.00	11652.70	USD	766.20	
	NET	+6 AVG	BGHT 11602.86	SOLD 11775.00				2,827.20	0.00
14SEP17	B	1	PD14DEC17		11280.00	11653.39	USD	2,240.34	
14SEP17	B	1	PD14DEC17		11155.00	11653.39	USD	2,990.34	
14SEP17	S	1	PD14DEC17		11215.00	11653.39	USD	-2,630.34	
14SEP17	S	1	PD14DEC17		11230.00	11653.39	USD	-2,540.34	
14SEP17	S	1	PD14DEC17		11415.00	11653.39	USD	-1,430.34	
14SEP17	S	1	PD14DEC17		11340.00	11653.39	USD	-1,880.34	
14SEP17	S	1	PD14DEC17		11220.00	11653.39	USD	-2,600.34	
	NET	-3 AVG	BGHT 11217.50	SOLD 11284.00				-5,851.02	0.00
15SEP17	B	1	PD15DEC17		11175.00	11654.07	USD	2,874.42	
15SEP17	S	1	PD15DEC17		11135.00	11654.07	USD	-3,114.42	
15SEP17	B	1	PD15DEC17		11080.00	11654.07	USD	3,444.42	
15SEP17	S	1	PD15DEC17		11065.00	11654.07	USD	-3,534.42	
15SEP17	B	1	PD15DEC17		11075.00	11654.07	USD	3,474.42	

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15SEP17	B	1	PD15DEC17	11070.00	11654.07	USD	3,504.42	
15SEP17	B	1	PD15DEC17	11040.00	11654.07	USD	3,684.42	
15SEP17	S	2	PD15DEC17	11050.00	11654.07	USD	-7,248.84	
15SEP17	B	1	PD15DEC17	11050.00	11654.07	USD	3,624.42	
	NET	+2 AVG BGHT 11081.67	SOLD 11075.00				6,708.84	0.00
18SEP17	B	1	PD18DEC17	11165.00	11656.13	USD	2,946.78	
18SEP17	S	1	PD18DEC17	11110.00	11656.13	USD	-3,276.78	
18SEP17	B	1	PD18DEC17	11130.00	11656.13	USD	3,156.78	
18SEP17	S	1	PD18DEC17	11115.00	11656.13	USD	-3,246.78	
18SEP17	S	1	PD18DEC17	11205.00	11656.13	USD	-2,706.78	
18SEP17	B	1	PD18DEC17	11175.00	11656.13	USD	2,886.78	
18SEP17	S	2	PD18DEC17	11170.00	11656.13	USD	-5,833.56	
	NET	-2 AVG BGHT 11156.67	SOLD 11154.00				-6,073.56	0.00
19SEP17	B	1	PD19DEC17	10970.00	11656.81	USD	4,120.86	
19SEP17	S	1	PD19DEC17	11000.00	11656.81	USD	-3,940.86	
19SEP17	S	1	PD19DEC17	11160.00	11656.81	USD	-2,980.86	
19SEP17	B	1	PD19DEC17	11155.00	11656.81	USD	3,010.86	
19SEP17	S	1	PD19DEC17	11170.00	11656.81	USD	-2,920.86	
19SEP17	S	1	PD19DEC17	11150.00	11656.81	USD	-3,040.86	
	NET	-2 AVG BGHT 11062.50	SOLD 11120.00				-5,751.72	0.00
20SEP17	S	2	PD20DEC17	11210.00	11657.50	USD	-5,370.00	
20SEP17	S	1	PD20DEC17	11315.00	11657.50	USD	-2,055.00	
20SEP17	S	1	PD20DEC17	11340.00	11657.50	USD	-1,905.00	
20SEP17	S	1	PD20DEC17	11345.00	11657.50	USD	-1,875.00	
20SEP17	B	1	PD20DEC17	11335.00	11657.50	USD	1,935.00	
20SEP17	B	2	PD20DEC17	11415.00	11657.50	USD	2,910.00	
20SEP17	B	1	PD20DEC17	11365.00	11657.50	USD	1,755.00	
	NET	-1 AVG BGHT 11382.50	SOLD 11284.00				-4,605.00	0.00
21SEP17	B	1	PD21DEC17	11020.00	11658.26	USD	3,829.56	
21SEP17	S	1	PD21DEC17	11060.00	11658.26	USD	-3,589.56	
21SEP17	S	1	PD21DEC17	11070.00	11658.26	USD	-3,529.56	
21SEP17	B	1	PD21DEC17	11030.00	11658.26	USD	3,769.56	
21SEP17	B	1	PD21DEC17	10975.00	11658.26	USD	4,099.56	
21SEP17	B	1	PD21DEC17	10935.00	11658.26	USD	4,339.56	
21SEP17	S	1	PD21DEC17	11010.00	11658.26	USD	-3,889.56	
21SEP17	S	1	PD21DEC17	11065.00	11658.26	USD	-3,559.56	
21SEP17	B	2	PD21DEC17	10840.00	11658.26	USD	9,819.12	
21SEP17	B	1	PD21DEC17	10835.00	11658.26	USD	4,939.56	
21SEP17	S	1	PD21DEC17	10755.00	11658.26	USD	-5,419.56	
21SEP17	S	1	PD21DEC17	10740.00	11658.26	USD	-5,509.56	
21SEP17	B	1	PD21DEC17	10725.00	11658.26	USD	5,599.56	
	NET	+2 AVG BGHT 10900.00	SOLD 10950.00				10,899.12	0.00
22SEP17	B	1	PD22DEC17	10620.00	11659.02	USD	6,234.12	
22SEP17	B	1	PD22DEC17	10525.00	11659.02	USD	6,804.12	
22SEP17	S	1	PD22DEC17	10590.00	11659.02	USD	-6,414.12	
22SEP17	S	2	PD22DEC17	10615.00	11659.02	USD	-12,528.24	
22SEP17	B	1	PD22DEC17	10540.00	11659.02	USD	6,714.12	
22SEP17	S	1	PD22DEC17	10535.00	11659.02	USD	-6,744.12	
22SEP17	B	1	PD22DEC17	10530.00	11659.02	USD	6,774.12	
22SEP17	B	1	PD22DEC17	10545.00	11659.02	USD	6,684.12	
22SEP17	S	1	PD22DEC17	10470.00	11659.02	USD	-7,134.12	
22SEP17	B	1	PD22DEC17	10460.00	11659.02	USD	7,194.12	
	NET	+1 AVG BGHT 10536.67	SOLD 10565.00				7,584.12	0.00
25SEP17	B	2	PD27DEC17	10545.00	11662.83	USD	13,413.96	
25SEP17	B	1	PD27DEC17	10490.00	11662.83	USD	7,036.98	

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
25SEP17	B	1	PD27DEC17	10485.00	11662.83	USD	7,066.98	
25SEP17	S	1	PD27DEC17	10540.00	11662.83	USD	-6,736.98	
25SEP17	S	1	PD27DEC17	10500.00	11662.83	USD	-6,976.98	
25SEP17	S	1	PD27DEC17	10560.00	11662.83	USD	-6,616.98	
25SEP17	S	1	PD27DEC17	10630.00	11662.83	USD	-6,196.98	
25SEP17	B	1	PD27DEC17	10580.00	11662.83	USD	6,496.98	
26SEP17	B	1	PD27DEC17	10655.00	11662.83	USD	6,046.98	
26SEP17	B	1	PD27DEC17	10615.00	11662.83	USD	6,286.98	
26SEP17	S	1	PD27DEC17	10590.00	11662.83	USD	-6,436.98	
26SEP17	S	1	PD27DEC17	10625.00	11662.83	USD	-6,226.98	
26SEP17	B	1	PD27DEC17	10565.00	11662.83	USD	6,586.98	
26SEP17	S	1	PD27DEC17	10530.00	11662.83	USD	-6,796.98	
26SEP17	S	1	PD27DEC17	10480.00	11662.83	USD	-7,096.98	
26SEP17	S	1	PD27DEC17	10490.00	11662.83	USD	-7,036.98	
26SEP17	B	1	PD27DEC17	10435.00	11662.83	USD	7,366.98	
26SEP17	B	1	PD27DEC17	10430.00	11662.83	USD	7,396.98	
26SEP17	S	1	PD27DEC17	10495.00	11662.83	USD	-7,006.98	
26SEP17	B	1	PD27DEC17	10500.00	11662.83	USD	6,976.98	
26SEP17	S	1	PD27DEC17	10455.00	11662.83	USD	-7,246.98	
26SEP17	B	1	PD27DEC17	10455.00	11662.83	USD	7,246.98	
	NET	+1 AVG BGHT 10525.00	SOLD 10535.91				7,546.98	0.00
28SEP17	S	1	PD28DEC17	10255.00	11663.59	USD	-8,451.54	
28SEP17	S	1	PD28DEC17	10265.00	11663.59	USD	-8,391.54	
28SEP17	S	1	PD28DEC17	10495.00	11663.59	USD	-7,011.54	
28SEP17	S	1	PD28DEC17	10475.00	11663.59	USD	-7,131.54	
	NET	-4 AVG SOLD 10372.50					-30,986.16	0.00
29SEP17	B	1	PD29DEC17	10445.00	11664.35	USD	7,316.10	
29SEP17	B	1	PD29DEC17	10420.00	11664.35	USD	7,466.10	
29SEP17	S	1	PD29DEC17	10625.00	11664.35	USD	-6,236.10	
29SEP17	S	1	PD29DEC17	10670.00	11664.35	USD	-5,966.10	
	NET	0 AVG BGHT 10432.50	SOLD 10647.50				2,580.00	0.00
05OCT17	B	1	PD05JAN18	10615.00	11669.67	USD	6,328.02	
06OCT17	B	1	PD05JAN18	10565.00	11669.67	USD	6,628.02	
	NET	+2 AVG BGHT 10590.00					12,956.04	0.00
10OCT17	S	1	PD10JAN18	10935.00	11673.48	USD	-4,430.88	
10OCT17	B	1	PD10JAN18	10965.00	11673.48	USD	4,250.88	
10OCT17	S	1	PD10JAN18	11040.00	11673.48	USD	-3,800.88	
10OCT17	B	1	PD10JAN18	11035.00	11673.48	USD	3,830.88	
	NET	0 AVG BGHT 11000.00	SOLD 10987.50				-150.00	0.00
11OCT17	S	1	PD11JAN18	11155.00	11674.24	USD	-3,115.44	
11OCT17	S	1	PD11JAN18	11225.00	11674.24	USD	-2,695.44	
11OCT17	S	1	PD11JAN18	11200.00	11674.24	USD	-2,845.44	
11OCT17	B	1	PD11JAN18	11190.00	11674.24	USD	2,905.44	
11OCT17	B	1	PD11JAN18	11185.00	11674.24	USD	2,935.44	
	NET	-1 AVG BGHT 11187.50	SOLD 11193.33				-2,815.44	0.00
12OCT17	S	1	PD12JAN18	11170.00	11675.00	USD	-3,030.00	
12OCT17	S	1	PD12JAN18	11275.00	11675.00	USD	-2,400.00	
12OCT17	S	1	PD12JAN18	11290.00	11675.00	USD	-2,310.00	
12OCT17	B	1	PD12JAN18	11240.00	11675.00	USD	2,610.00	
12OCT17	S	1	PD12JAN18	11235.00	11675.00	USD	-2,640.00	
12OCT17	B	1	PD12JAN18	11280.00	11675.00	USD	2,370.00	
12OCT17	S	2	PD12JAN18	11375.00	11675.00	USD	-3,600.00	
12OCT17	S	1	PD12JAN18	11405.00	11675.00	USD	-1,620.00	
12OCT17	B	3	PD12JAN18	11390.00	11675.00	USD	5,130.00	
12OCT17	B	1	PD12JAN18	11400.00	11675.00	USD	1,650.00	

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
12OCT17	B	1	PD12JAN18	11395.00	11675.00	USD	1,680.00	
12OCT17	S	1	PD12JAN18	11405.00	11675.00	USD	-1,620.00	
13OCT17	S	1	PD12JAN18	11410.00	11675.00	USD	-1,590.00	
13OCT17	S	1	PD12JAN18	11485.00	11675.00	USD	-1,140.00	
13OCT17	B	2	PD12JAN18	11580.00	11675.00	USD	1,140.00	
13OCT17	S	1	PD12JAN18	11580.00	11675.00	USD	-570.00	
13OCT17	S	1	PD12JAN18	11640.00	11675.00	USD	-210.00	
13OCT17	S	1	PD12JAN18	11655.00	11675.00	USD	-120.00	
13OCT17	B	1	PD12JAN18	11615.00	11675.00	USD	360.00	
13OCT17	B	1	PD12JAN18	11605.00	11675.00	USD	420.00	
13OCT17	B	1	PD12JAN18	11590.00	11675.00	USD	510.00	
	NET -1AVG BGHT 11454.58 SOLD 11407.69						-4,980.00	0.00
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
17JUL17	S	1	PD17OCT17	2817.50	3294.00	USD	-11,912.50	
17JUL17	S	4	PD17OCT17	2814.50	3294.00	USD	-47,950.00	
17JUL17	S	1	PD17OCT17	2814.00	3294.00	USD	-12,000.00	
17JUL17	B	2	PD17OCT17	2815.00	3294.00	USD	23,950.00	
17JUL17	B	1	PD17OCT17	2816.00	3294.00	USD	11,950.00	
17JUL17	S	1	PD17OCT17	2825.00	3294.00	USD	-11,725.00	
17JUL17	S	5	PD17OCT17	2835.50	3294.00	USD	-57,312.50	
17JUL17	S	1	PD17OCT17	2836.00	3294.00	USD	-11,450.00	
17JUL17	S	1	PD17OCT17	2837.50	3294.00	USD	-11,412.50	
17JUL17	S	1	PD17OCT17	2834.50	3294.00	USD	-11,487.50	
17JUL17	S	1	PD17OCT17	2834.00	3294.00	USD	-11,500.00	
17JUL17	S	2	PD17OCT17	2835.00	3294.00	USD	-22,950.00	
17JUL17	B	1	PD17OCT17	2829.50	3294.00	USD	11,612.50	
17JUL17	B	4	PD17OCT17	2829.00	3294.00	USD	46,500.00	
17JUL17	B	2	PD17OCT17	2828.00	3294.00	USD	23,300.00	
17JUL17	B	3	PD17OCT17	2818.50	3294.00	USD	35,662.50	
17JUL17	B	1	PD17OCT17	2817.50	3294.00	USD	11,912.50	
17JUL17	S	1	PD17OCT17	2812.00	3294.00	USD	-12,050.00	
17JUL17	S	2	PD17OCT17	2810.00	3294.00	USD	-24,200.00	
17JUL17	B	1	PD17OCT17	2812.00	3294.00	USD	12,050.00	
17JUL17	B	1	PD17OCT17	2811.00	3294.00	USD	12,075.00	
17JUL17	B	6	PD17OCT17	2814.00	3294.00	USD	72,000.00	
17JUL17	S	1	PD17OCT17	2829.00	3294.00	USD	-11,625.00	
17JUL17	S	1	PD17OCT17	2830.00	3294.00	USD	-11,600.00	
17JUL17	S	4	PD17OCT17	2827.50	3294.00	USD	-46,650.00	
17JUL17	S	2	PD17OCT17	2838.00	3294.00	USD	-22,800.00	
17JUL17	S	1	PD17OCT17	2838.50	3294.00	USD	-11,387.50	
17JUL17	S	2	PD17OCT17	2841.00	3294.00	USD	-22,650.00	
17JUL17	S	3	PD17OCT17	2842.00	3294.00	USD	-33,900.00	
17JUL17	S	4	PD17OCT17	2840.00	3294.00	USD	-45,400.00	
17JUL17	S	4	PD17OCT17	2840.50	3294.00	USD	-45,350.00	
17JUL17	S	1	PD17OCT17	2846.50	3294.00	USD	-11,187.50	
17JUL17	S	2	PD17OCT17	2845.00	3294.00	USD	-22,450.00	
17JUL17	S	1	PD17OCT17	2845.50	3294.00	USD	-11,212.50	
17JUL17	S	3	PD17OCT17	2844.50	3294.00	USD	-33,712.50	
17JUL17	S	7	PD17OCT17	2844.00	3294.00	USD	-78,750.00	
17JUL17	S	6	PD17OCT17	2843.50	3294.00	USD	-67,575.00	
17JUL17	S	2	PD17OCT17	2843.00	3294.00	USD	-22,550.00	
17JUL17	S	2	PD17OCT17	2842.50	3294.00	USD	-22,575.00	
17JUL17	B	1	PD17OCT17	2834.00	3294.00	USD	11,500.00	
17JUL17	B	2	PD17OCT17	2834.50	3294.00	USD	22,975.00	
17JUL17	B	2	PD17OCT17	2832.50	3294.00	USD	23,075.00	
17JUL17	B	1	PD17OCT17	2830.00	3294.00	USD	11,600.00	

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17JUL17	B	5	PD17OCT17	2831.50	3294.00	USD	57,812.50	
17JUL17	B	5	PD17OCT17	2832.00	3294.00	USD	57,750.00	
17JUL17	B	3	PD17OCT17	2833.00	3294.00	USD	34,575.00	
17JUL17	B	4	PD17OCT17	2831.00	3294.00	USD	46,300.00	
17JUL17	B	1	PD17OCT17	2830.50	3294.00	USD	11,587.50	
17JUL17	B	2	PD17OCT17	2827.50	3294.00	USD	23,325.00	
17JUL17	B	3	PD17OCT17	2827.00	3294.00	USD	35,025.00	
17JUL17	B	2	PD17OCT17	2825.50	3294.00	USD	23,425.00	
17JUL17	B	3	PD17OCT17	2823.50	3294.00	USD	35,287.50	
17JUL17	B	4	PD17OCT17	2824.50	3294.00	USD	46,950.00	
17JUL17	B	1	PD17OCT17	2826.00	3294.00	USD	11,700.00	
17JUL17	B	1	PD17OCT17	2814.50	3294.00	USD	11,987.50	
17JUL17	S	1	PD17OCT17	2829.00	3294.00	USD	-11,625.00	
17JUL17	S	1	PD17OCT17	2846.00	3294.00	USD	-11,200.00	
17JUL17	B	1	PD17OCT17	2832.00	3294.00	USD	11,550.00	
17JUL17	B	2	PD17OCT17	2825.00	3294.00	USD	23,450.00	
17JUL17	S	5	PD17OCT17	2831.00	3294.00	USD	-57,875.00	
17JUL17	S	3	PD17OCT17	2828.00	3294.00	USD	-34,950.00	
17JUL17	S	2	PD17OCT17	2830.50	3294.00	USD	-23,175.00	
17JUL17	S	3	PD17OCT17	2832.00	3294.00	USD	-34,650.00	
17JUL17	S	2	PD17OCT17	2833.00	3294.00	USD	-23,050.00	
17JUL17	S	1	PD17OCT17	2834.00	3294.00	USD	-11,500.00	
17JUL17	B	3	PD17OCT17	2828.50	3294.00	USD	34,912.50	
17JUL17	B	2	PD17OCT17	2821.00	3294.00	USD	23,650.00	
17JUL17	B	2	PD17OCT17	2815.50	3294.00	USD	23,925.00	
17JUL17	B	6	PD17OCT17	2819.00	3294.00	USD	71,250.00	
17JUL17	B	5	PD17OCT17	2819.50	3294.00	USD	59,312.50	
17JUL17	B	5	PD17OCT17	2820.00	3294.00	USD	59,250.00	
17JUL17	B	1	PD17OCT17	2821.50	3294.00	USD	11,812.50	
19JUL17	S	3	PD17OCT17	2747.34	3294.00	USD	-40,999.50	
05SEP17	S	1	PD17OCT17	3137.80	3294.00	USD	-3,905.00	
	NET	0 AVG BGHT 2824.34	SOLD 2835.46				24,745.50	0.00
18JUL17	S	1	PD18OCT17	2818.00	3291.00	USD	-11,825.00	
18JUL17	S	1	PD18OCT17	2818.50	3291.00	USD	-11,812.50	
18JUL17	S	2	PD18OCT17	2819.00	3291.00	USD	-23,600.00	
18JUL17	B	2	PD18OCT17	2823.00	3291.00	USD	23,400.00	
18JUL17	B	1	PD18OCT17	2821.50	3291.00	USD	11,737.50	
18JUL17	B	2	PD18OCT17	2822.00	3291.00	USD	23,450.00	
18JUL17	B	1	PD18OCT17	2821.00	3291.00	USD	11,750.00	
18JUL17	B	1	PD18OCT17	2822.50	3291.00	USD	11,712.50	
18JUL17	S	1	PD18OCT17	2823.00	3291.00	USD	-11,700.00	
18JUL17	S	1	PD18OCT17	2815.50	3291.00	USD	-11,887.50	
18JUL17	S	4	PD18OCT17	2815.00	3291.00	USD	-47,600.00	
18JUL17	B	1	PD18OCT17	2806.50	3291.00	USD	12,112.50	
18JUL17	B	1	PD18OCT17	2803.00	3291.00	USD	12,200.00	
18JUL17	B	1	PD18OCT17	2798.50	3291.00	USD	12,312.50	
18JUL17	B	1	PD18OCT17	2797.50	3291.00	USD	12,337.50	
18JUL17	B	2	PD18OCT17	2800.50	3291.00	USD	24,525.00	
18JUL17	B	4	PD18OCT17	2801.50	3291.00	USD	48,950.00	
18JUL17	S	1	PD18OCT17	2799.50	3291.00	USD	-12,287.50	
18JUL17	S	1	PD18OCT17	2800.00	3291.00	USD	-12,275.00	
18JUL17	S	1	PD18OCT17	2801.50	3291.00	USD	-12,237.50	
18JUL17	S	1	PD18OCT17	2802.00	3291.00	USD	-12,225.00	
18JUL17	S	3	PD18OCT17	2804.00	3291.00	USD	-36,525.00	
18JUL17	B	1	PD18OCT17	2794.50	3291.00	USD	12,412.50	
18JUL17	B	3	PD18OCT17	2796.50	3291.00	USD	37,087.50	
18JUL17	S	1	PD18OCT17	2803.50	3291.00	USD	-12,187.50	

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18JUL17	S	1	PD18OCT17	2804.50	3291.00	USD	-12,162.50	
18JUL17	S	2	PD18OCT17	2801.00	3291.00	USD	-24,500.00	
18JUL17	B	1	PD18OCT17	2799.00	3291.00	USD	12,300.00	
18JUL17	B	6	PD18OCT17	2801.00	3291.00	USD	73,500.00	
18JUL17	B	2	PD18OCT17	2800.00	3291.00	USD	24,550.00	
18JUL17	B	2	PD18OCT17	2796.00	3291.00	USD	24,750.00	
18JUL17	B	3	PD18OCT17	2795.50	3291.00	USD	37,162.50	
18JUL17	B	4	PD18OCT17	2792.00	3291.00	USD	49,900.00	
18JUL17	B	1	PD18OCT17	2791.50	3291.00	USD	12,487.50	
18JUL17	B	1	PD18OCT17	2785.50	3291.00	USD	12,637.50	
18JUL17	B	1	PD18OCT17	2782.50	3291.00	USD	12,712.50	
18JUL17	B	1	PD18OCT17	2783.50	3291.00	USD	12,687.50	
18JUL17	B	1	PD18OCT17	2783.00	3291.00	USD	12,700.00	
18JUL17	B	2	PD18OCT17	2782.00	3291.00	USD	25,450.00	
18JUL17	B	2	PD18OCT17	2780.50	3291.00	USD	25,525.00	
18JUL17	B	3	PD18OCT17	2779.50	3291.00	USD	38,362.50	
18JUL17	B	3	PD18OCT17	2779.00	3291.00	USD	38,400.00	
18JUL17	B	1	PD18OCT17	2778.00	3291.00	USD	12,825.00	
18JUL17	B	5	PD18OCT17	2777.50	3291.00	USD	64,187.50	
18JUL17	B	1	PD18OCT17	2773.50	3291.00	USD	12,937.50	
18JUL17	B	1	PD18OCT17	2772.00	3291.00	USD	12,975.00	
18JUL17	S	1	PD18OCT17	2771.00	3291.00	USD	-13,000.00	
18JUL17	B	1	PD18OCT17	2770.50	3291.00	USD	13,012.50	
18JUL17	B	2	PD18OCT17	2771.50	3291.00	USD	25,975.00	
18JUL17	B	1	PD18OCT17	2821.00	3291.00	USD	11,750.00	
18JUL17	B	1	PD18OCT17	2810.50	3291.00	USD	12,012.50	
18JUL17	B	1	PD18OCT17	2800.50	3291.00	USD	12,262.50	
18JUL17	B	1	PD18OCT17	2801.50	3291.00	USD	12,237.50	
18JUL17	B	1	PD18OCT17	2793.00	3291.00	USD	12,450.00	
18JUL17	B	1	PD18OCT17	2772.50	3291.00	USD	12,962.50	
18JUL17	S	3	PD18OCT17	2783.00	3291.00	USD	-38,100.00	
18JUL17	S	2	PD18OCT17	2783.50	3291.00	USD	-25,375.00	
18JUL17	S	1	PD18OCT17	2786.00	3291.00	USD	-12,625.00	
18JUL17	S	1	PD18OCT17	2785.50	3291.00	USD	-12,637.50	
18JUL17	S	1	PD18OCT17	2782.50	3291.00	USD	-12,712.50	
18JUL17	S	2	PD18OCT17	2781.00	3291.00	USD	-25,500.00	
18JUL17	S	1	PD18OCT17	2782.00	3291.00	USD	-12,725.00	
18JUL17	S	7	PD18OCT17	2784.00	3291.00	USD	-88,725.00	
18JUL17	S	1	PD18OCT17	2784.50	3291.00	USD	-12,662.50	
18JUL17	B	1	PD18OCT17	2780.00	3291.00	USD	12,775.00	
18JUL17	S	1	PD18OCT17	2792.00	3291.00	USD	-12,475.00	
18JUL17	S	1	PD18OCT17	2791.00	3291.00	USD	-12,500.00	
18JUL17	S	1	PD18OCT17	2790.50	3291.00	USD	-12,512.50	
18JUL17	S	1	PD18OCT17	2790.00	3291.00	USD	-12,525.00	
18JUL17	S	2	PD18OCT17	2791.50	3291.00	USD	-24,975.00	
18JUL17	S	5	PD18OCT17	2792.50	3291.00	USD	-62,312.50	
18JUL17	B	1	PD18OCT17	2777.00	3291.00	USD	12,850.00	
18JUL17	S	1	PD18OCT17	2783.00	3291.00	USD	-12,700.00	
18JUL17	S	2	PD18OCT17	2781.00	3291.00	USD	-25,500.00	
18JUL17	S	1	PD18OCT17	2790.00	3291.00	USD	-12,525.00	
18JUL17	S	2	PD18OCT17	2787.00	3291.00	USD	-25,200.00	
18JUL17	S	1	PD18OCT17	2787.50	3291.00	USD	-12,587.50	
18JUL17	S	2	PD18OCT17	2788.00	3291.00	USD	-25,150.00	
18JUL17	S	1	PD18OCT17	2789.00	3291.00	USD	-12,550.00	
18JUL17	S	2	PD18OCT17	2795.00	3291.00	USD	-24,800.00	
18JUL17	S	1	PD18OCT17	2793.00	3291.00	USD	-12,450.00	
18JUL17	S	1	PD18OCT17	2796.00	3291.00	USD	-12,375.00	

OPEN POSITION STATEMENT



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SAP083XA

13th October 2017

Sapien Capital Limited
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+44 (0) 20 7340 9081
backoffice@sapiencap.com

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
18JUL17	S	2	PD18OCT17	2794.00	3291.00	USD	-24,850.00	
18JUL17	S	1	PD18OCT17	2794.00	3291.00	USD	-12,425.00	
18JUL17	S	1	PD18OCT17	2795.50	3291.00	USD	-12,387.50	
05SEP17	S	3	PD18OCT17	3137.80	3291.00	USD	-11,490.00	
	NET	0AVG BGHT 2793.29	SOLD 2808.16				27,147.50	0.00
19JUL17	S	1	PD19OCT17	2778.50	3286.50	USD	-12,700.00	
19JUL17	S	3	PD19OCT17	2778.00	3286.50	USD	-38,137.50	
19JUL17	S	1	PD19OCT17	2789.50	3286.50	USD	-12,425.00	
19JUL17	S	2	PD19OCT17	2782.50	3286.50	USD	-25,200.00	
19JUL17	S	1	PD19OCT17	2784.00	3286.50	USD	-12,562.50	
19JUL17	S	5	PD19OCT17	2783.50	3286.50	USD	-62,875.00	
19JUL17	S	1	PD19OCT17	2785.50	3286.50	USD	-12,525.00	
19JUL17	S	1	PD19OCT17	2785.00	3286.50	USD	-12,537.50	
19JUL17	S	1	PD19OCT17	2786.00	3286.50	USD	-12,512.50	
19JUL17	B	1	PD19OCT17	2789.00	3286.50	USD	12,437.50	
19JUL17	S	1	PD19OCT17	2791.50	3286.50	USD	-12,375.00	
19JUL17	B	1	PD19OCT17	2791.00	3286.50	USD	12,387.50	
19JUL17	S	2	PD19OCT17	2795.00	3286.50	USD	-24,575.00	
19JUL17	S	6	PD19OCT17	2798.00	3286.50	USD	-73,275.00	
19JUL17	S	1	PD19OCT17	2796.50	3286.50	USD	-12,250.00	
19JUL17	B	1	PD19OCT17	2784.00	3286.50	USD	12,562.50	
19JUL17	B	1	PD19OCT17	2783.00	3286.50	USD	12,587.50	
19JUL17	B	1	PD19OCT17	2780.50	3286.50	USD	12,650.00	
19JUL17	B	1	PD19OCT17	2779.00	3286.50	USD	12,687.50	
19JUL17	B	1	PD19OCT17	2778.50	3286.50	USD	12,700.00	
19JUL17	B	1	PD19OCT17	2778.00	3286.50	USD	12,712.50	
19JUL17	B	2	PD19OCT17	2777.50	3286.50	USD	25,450.00	
19JUL17	B	1	PD19OCT17	2781.50	3286.50	USD	12,625.00	
19JUL17	B	1	PD19OCT17	2782.00	3286.50	USD	12,612.50	
19JUL17	B	3	PD19OCT17	2782.50	3286.50	USD	37,800.00	
19JUL17	B	3	PD19OCT17	2781.00	3286.50	USD	37,912.50	
19JUL17	B	1	PD19OCT17	2779.50	3286.50	USD	12,675.00	
19JUL17	B	1	PD19OCT17	2780.00	3286.50	USD	12,662.50	
19JUL17	B	2	PD19OCT17	2773.00	3286.50	USD	25,675.00	
19JUL17	B	3	PD19OCT17	2773.50	3286.50	USD	38,475.00	
19JUL17	B	3	PD19OCT17	2772.50	3286.50	USD	38,550.00	
19JUL17	B	2	PD19OCT17	2772.00	3286.50	USD	25,725.00	
19JUL17	S	1	PD19OCT17	2783.00	3286.50	USD	-12,587.50	
19JUL17	B	1	PD19OCT17	2779.00	3286.50	USD	12,687.50	
19JUL17	S	1	PD19OCT17	2773.50	3286.50	USD	-12,825.00	
19JUL17	B	2	PD19OCT17	2774.00	3286.50	USD	25,625.00	
19JUL17	B	1	PD19OCT17	2766.50	3286.50	USD	13,000.00	
19JUL17	S	1	PD19OCT17	2768.00	3286.50	USD	-12,962.50	
19JUL17	S	1	PD19OCT17	2769.00	3286.50	USD	-12,937.50	
19JUL17	S	1	PD19OCT17	2771.00	3286.50	USD	-12,887.50	
19JUL17	S	2	PD19OCT17	2770.50	3286.50	USD	-25,800.00	
19JUL17	S	1	PD19OCT17	2772.50	3286.50	USD	-12,850.00	
19JUL17	B	2	PD19OCT17	2757.00	3286.50	USD	26,475.00	
19JUL17	B	1	PD19OCT17	2754.00	3286.50	USD	13,312.50	
19JUL17	B	1	PD19OCT17	2754.50	3286.50	USD	13,300.00	
19JUL17	B	1	PD19OCT17	2747.00	3286.50	USD	13,487.50	
19JUL17	B	1	PD19OCT17	2746.00	3286.50	USD	13,512.50	
19JUL17	S	1	PD19OCT17	2771.00	3286.50	USD	-12,887.50	
19JUL17	B	1	PD19OCT17	2745.50	3286.50	USD	13,525.00	
19JUL17	S	1	PD19OCT17	2744.50	3286.50	USD	-13,550.00	
19JUL17	B	1	PD19OCT17	2737.50	3286.50	USD	13,725.00	
19JUL17	B	1	PD19OCT17	2739.00	3286.50	USD	13,687.50	

OPEN POSITION STATEMENT



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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
19JUL17	S	1	PD19OCT17	2735.00	3286.50	USD	-13,787.50	
19JUL17	B	1	PD19OCT17	2737.00	3286.50	USD	13,737.50	
05SEP17	S	7	PD19OCT17	3137.00	3286.50	USD	-26,162.50	
	NET	0AVG BGHT 2771.08	SOLD 2838.15				73,775.00	0.00
20JUL17	B	3	PD20OCT17	2744.50	3282.00	USD	40,312.50	
20JUL17	B	4	PD20OCT17	2745.00	3282.00	USD	53,700.00	
20JUL17	S	3	PD20OCT17	2743.50	3282.00	USD	-40,387.50	
20JUL17	S	1	PD20OCT17	2736.50	3282.00	USD	-13,637.50	
20JUL17	S	1	PD20OCT17	2738.50	3282.00	USD	-13,587.50	
20JUL17	B	1	PD20OCT17	2737.00	3282.00	USD	13,625.00	
20JUL17	B	3	PD20OCT17	2737.50	3282.00	USD	40,837.50	
20JUL17	B	1	PD20OCT17	2736.50	3282.00	USD	13,637.50	
20JUL17	B	1	PD20OCT17	2734.00	3282.00	USD	13,700.00	
20JUL17	B	1	PD20OCT17	2732.00	3282.00	USD	13,750.00	
20JUL17	S	2	PD20OCT17	2735.00	3282.00	USD	-27,350.00	
20JUL17	S	1	PD20OCT17	2735.50	3282.00	USD	-13,662.50	
20JUL17	S	2	PD20OCT17	2741.50	3282.00	USD	-27,025.00	
20JUL17	S	2	PD20OCT17	2740.00	3282.00	USD	-27,100.00	
20JUL17	S	1	PD20OCT17	2740.50	3282.00	USD	-13,537.50	
20JUL17	S	1	PD20OCT17	2742.50	3282.00	USD	-13,487.50	
20JUL17	S	1	PD20OCT17	2751.00	3282.00	USD	-13,275.00	
20JUL17	S	1	PD20OCT17	2752.00	3282.00	USD	-13,250.00	
20JUL17	S	2	PD20OCT17	2752.50	3282.00	USD	-26,475.00	
20JUL17	S	1	PD20OCT17	2754.00	3282.00	USD	-13,200.00	
20JUL17	S	1	PD20OCT17	2755.00	3282.00	USD	-13,175.00	
20JUL17	S	2	PD20OCT17	2757.00	3282.00	USD	-26,250.00	
20JUL17	S	1	PD20OCT17	2758.50	3282.00	USD	-13,087.50	
20JUL17	B	2	PD20OCT17	2745.50	3282.00	USD	26,825.00	
20JUL17	B	1	PD20OCT17	2747.00	3282.00	USD	13,375.00	
20JUL17	B	1	PD20OCT17	2746.50	3282.00	USD	13,387.50	
20JUL17	B	2	PD20OCT17	2743.50	3282.00	USD	26,925.00	
20JUL17	S	2	PD20OCT17	2743.00	3282.00	USD	-26,950.00	
20JUL17	S	1	PD20OCT17	2749.50	3282.00	USD	-13,312.50	
20JUL17	B	1	PD20OCT17	2746.00	3282.00	USD	13,400.00	
20JUL17	B	1	PD20OCT17	2739.00	3282.00	USD	13,575.00	
20JUL17	S	3	PD20OCT17	2742.50	3282.00	USD	-40,462.50	
20JUL17	B	1	PD20OCT17	2739.50	3282.00	USD	13,562.50	
20JUL17	B	1	PD20OCT17	2740.00	3282.00	USD	13,550.00	
20JUL17	B	2	PD20OCT17	2744.00	3282.00	USD	26,900.00	
20JUL17	S	1	PD20OCT17	2747.00	3282.00	USD	-13,375.00	
20JUL17	B	1	PD20OCT17	2742.00	3282.00	USD	13,500.00	
20JUL17	B	1	PD20OCT17	2742.50	3282.00	USD	13,487.50	
20JUL17	S	1	PD20OCT17	2739.00	3282.00	USD	-13,575.00	
20JUL17	B	5	PD20OCT17	2738.00	3282.00	USD	68,000.00	
20JUL17	S	4	PD20OCT17	2738.00	3282.00	USD	-54,400.00	
20JUL17	S	3	PD20OCT17	2741.00	3282.00	USD	-40,575.00	
20JUL17	S	1	PD20OCT17	2744.50	3282.00	USD	-13,437.50	
20JUL17	S	6	PD20OCT17	2748.00	3282.00	USD	-80,100.00	
20JUL17	B	1	PD20OCT17	2731.50	3282.00	USD	13,762.50	
20JUL17	S	1	PD20OCT17	2725.00	3282.00	USD	-13,925.00	
20JUL17	B	1	PD20OCT17	2720.00	3282.00	USD	14,050.00	
20JUL17	B	1	PD20OCT17	2719.00	3282.00	USD	14,075.00	
20JUL17	B	1	PD20OCT17	2726.50	3282.00	USD	13,887.50	
20JUL17	S	1	PD20OCT17	2726.50	3282.00	USD	-13,887.50	
21JUL17	S	1	PD20OCT17	2739.00	3282.00	USD	-13,575.00	
21JUL17	S	1	PD20OCT17	2749.50	3282.00	USD	-13,312.50	
21JUL17	S	1	PD20OCT17	2752.00	3282.00	USD	-13,250.00	

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21JUL17	S	2	PD200CT17	2752.50	3282.00	USD	-26,475.00	
21JUL17	S	1	PD200CT17	2755.00	3282.00	USD	-13,175.00	
21JUL17	S	2	PD200CT17	2754.00	3282.00	USD	-26,400.00	
21JUL17	S	1	PD200CT17	2758.50	3282.00	USD	-13,087.50	
21JUL17	S	1	PD200CT17	2758.00	3282.00	USD	-13,100.00	
21JUL17	S	1	PD200CT17	2759.50	3282.00	USD	-13,062.50	
21JUL17	S	1	PD200CT17	2762.50	3282.00	USD	-12,987.50	
21JUL17	S	1	PD200CT17	2762.00	3282.00	USD	-13,000.00	
21JUL17	S	5	PD200CT17	2763.00	3282.00	USD	-64,875.00	
21JUL17	S	2	PD200CT17	2765.00	3282.00	USD	-25,850.00	
21JUL17	S	3	PD200CT17	2766.00	3282.00	USD	-38,700.00	
21JUL17	S	1	PD200CT17	2764.00	3282.00	USD	-12,950.00	
21JUL17	B	2	PD200CT17	2764.50	3282.00	USD	25,875.00	
21JUL17	B	4	PD200CT17	2765.00	3282.00	USD	51,700.00	
21JUL17	B	1	PD200CT17	2761.00	3282.00	USD	13,025.00	
21JUL17	B	2	PD200CT17	2760.50	3282.00	USD	26,075.00	
21JUL17	B	1	PD200CT17	2756.50	3282.00	USD	13,137.50	
21JUL17	B	2	PD200CT17	2757.00	3282.00	USD	26,250.00	
21JUL17	B	3	PD200CT17	2757.50	3282.00	USD	39,337.50	
21JUL17	B	1	PD200CT17	2755.50	3282.00	USD	13,162.50	
21JUL17	B	1	PD200CT17	2755.00	3282.00	USD	13,175.00	
21JUL17	S	1	PD200CT17	2765.00	3282.00	USD	-12,925.00	
21JUL17	B	2	PD200CT17	2767.00	3282.00	USD	25,750.00	
21JUL17	S	2	PD200CT17	2771.50	3282.00	USD	-25,525.00	
21JUL17	B	1	PD200CT17	2744.00	3282.00	USD	13,450.00	
21JUL17	B	2	PD200CT17	2744.50	3282.00	USD	26,875.00	
21JUL17	B	1	PD200CT17	2741.50	3282.00	USD	13,512.50	
24JUL17	B	3	PD200CT17	2787.80	3282.00	USD	37,065.00	
05SEP17	B	4	PD200CT17	3136.50	3282.00	USD	14,550.00	
05SEP17	B	7	PD200CT17	3136.70	3282.00	USD	25,427.50	
	NET	0	AVG BGHT 2806.22				-104,545.00	0.00
24JUL17	B	1	PD240CT17	2778.50	3276.00	USD	12,437.50	
24JUL17	B	1	PD240CT17	2782.50	3276.00	USD	12,337.50	
24JUL17	B	2	PD240CT17	2779.50	3276.00	USD	24,825.00	
24JUL17	B	1	PD240CT17	2778.00	3276.00	USD	12,450.00	
24JUL17	B	1	PD240CT17	2785.00	3276.00	USD	12,275.00	
24JUL17	B	1	PD240CT17	2777.50	3276.00	USD	12,462.50	
24JUL17	B	1	PD240CT17	2779.00	3276.00	USD	12,425.00	
24JUL17	B	1	PD240CT17	2782.00	3276.00	USD	12,350.00	
24JUL17	B	1	PD240CT17	2775.00	3276.00	USD	12,525.00	
24JUL17	B	2	PD240CT17	2773.50	3276.00	USD	25,125.00	
24JUL17	B	1	PD240CT17	2774.50	3276.00	USD	12,537.50	
24JUL17	B	2	PD240CT17	2775.50	3276.00	USD	25,025.00	
24JUL17	B	2	PD240CT17	2787.50	3276.00	USD	24,425.00	
24JUL17	S	1	PD240CT17	2797.50	3276.00	USD	-11,962.50	
24JUL17	S	1	PD240CT17	2795.00	3276.00	USD	-12,025.00	
24JUL17	S	1	PD240CT17	2795.50	3276.00	USD	-12,012.50	
24JUL17	B	1	PD240CT17	2786.50	3276.00	USD	12,237.50	
24JUL17	B	1	PD240CT17	2787.00	3276.00	USD	12,225.00	
24JUL17	S	1	PD240CT17	2789.00	3276.00	USD	-12,175.00	
24JUL17	S	1	PD240CT17	2788.00	3276.00	USD	-12,200.00	
24JUL17	B	2	PD240CT17	2784.50	3276.00	USD	24,575.00	
24JUL17	S	1	PD240CT17	2783.00	3276.00	USD	-12,325.00	
24JUL17	B	1	PD240CT17	2790.00	3276.00	USD	12,150.00	
24JUL17	B	2	PD240CT17	2780.00	3276.00	USD	24,800.00	
24JUL17	S	1	PD240CT17	2781.00	3276.00	USD	-12,375.00	
24JUL17	S	1	PD240CT17	2779.00	3276.00	USD	-12,425.00	

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
24JUL17	S	1	PD240CT17	2777.50	3276.00	USD	-12,462.50	
24JUL17	S	2	PD240CT17	2773.50	3276.00	USD	-25,125.00	
24JUL17	S	1	PD240CT17	2774.00	3276.00	USD	-12,550.00	
24JUL17	S	2	PD240CT17	2775.00	3276.00	USD	-25,050.00	
24JUL17	S	1	PD240CT17	2776.50	3276.00	USD	-12,487.50	
24JUL17	S	3	PD240CT17	2777.00	3276.00	USD	-37,425.00	
24JUL17	S	2	PD240CT17	2778.00	3276.00	USD	-24,900.00	
24JUL17	S	3	PD240CT17	2778.50	3276.00	USD	-37,312.50	
24JUL17	S	1	PD240CT17	2780.50	3276.00	USD	-12,387.50	
24JUL17	S	2	PD240CT17	2781.00	3276.00	USD	-24,750.00	
24JUL17	S	3	PD240CT17	2787.50	3276.00	USD	-36,637.50	
05SEP17	B	5	PD240CT17	3136.86	3276.00	USD	17,392.50	
	NET	0 AVG BGHT 2842.10	SOLD 2781.40				-44,007.50	0.00
25JUL17	B	1	PD250CT17	2802.50	3273.00	USD	11,762.50	
25JUL17	B	1	PD250CT17	2804.00	3273.00	USD	11,725.00	
25JUL17	B	2	PD250CT17	2803.50	3273.00	USD	23,475.00	
25JUL17	B	1	PD250CT17	2800.50	3273.00	USD	11,812.50	
25JUL17	B	1	PD250CT17	2807.50	3273.00	USD	11,637.50	
25JUL17	S	2	PD250CT17	2812.50	3273.00	USD	-23,025.00	
25JUL17	S	2	PD250CT17	2812.00	3273.00	USD	-23,050.00	
25JUL17	S	1	PD250CT17	2813.00	3273.00	USD	-11,500.00	
25JUL17	S	1	PD250CT17	2820.00	3273.00	USD	-11,325.00	
25JUL17	S	2	PD250CT17	2821.50	3273.00	USD	-22,575.00	
25JUL17	B	2	PD250CT17	2816.00	3273.00	USD	22,850.00	
25JUL17	B	2	PD250CT17	2817.00	3273.00	USD	22,800.00	
25JUL17	S	1	PD250CT17	2824.50	3273.00	USD	-11,212.50	
25JUL17	S	3	PD250CT17	2822.50	3273.00	USD	-33,787.50	
25JUL17	B	2	PD250CT17	2815.50	3273.00	USD	22,875.00	
25JUL17	S	3	PD250CT17	2821.00	3273.00	USD	-33,900.00	
25JUL17	S	1	PD250CT17	2822.00	3273.00	USD	-11,275.00	
25JUL17	S	5	PD250CT17	2823.00	3273.00	USD	-56,250.00	
25JUL17	S	2	PD250CT17	2828.00	3273.00	USD	-22,250.00	
25JUL17	S	1	PD250CT17	2828.50	3273.00	USD	-11,112.50	
25JUL17	S	1	PD250CT17	2827.50	3273.00	USD	-11,137.50	
25JUL17	S	3	PD250CT17	2826.50	3273.00	USD	-33,487.50	
25JUL17	B	1	PD250CT17	2826.50	3273.00	USD	11,162.50	
25JUL17	B	2	PD250CT17	2828.00	3273.00	USD	22,250.00	
25JUL17	B	1	PD250CT17	2829.50	3273.00	USD	11,087.50	
25JUL17	S	1	PD250CT17	2806.50	3273.00	USD	-11,662.50	
25JUL17	B	3	PD250CT17	2828.50	3273.00	USD	33,337.50	
25JUL17	B	1	PD250CT17	2827.00	3273.00	USD	11,150.00	
25JUL17	B	3	PD250CT17	2826.00	3273.00	USD	33,525.00	
25JUL17	B	1	PD250CT17	2824.50	3273.00	USD	11,212.50	
25JUL17	S	1	PD250CT17	2843.50	3273.00	USD	-10,737.50	
25JUL17	S	1	PD250CT17	2846.00	3273.00	USD	-10,675.00	
25JUL17	B	1	PD250CT17	2855.50	3273.00	USD	10,437.50	
25JUL17	B	1	PD250CT17	2844.00	3273.00	USD	10,725.00	
25JUL17	B	1	PD250CT17	2843.00	3273.00	USD	10,750.00	
25JUL17	S	1	PD250CT17	2840.50	3273.00	USD	-10,812.50	
25JUL17	B	1	PD250CT17	2839.00	3273.00	USD	10,850.00	
25JUL17	B	2	PD250CT17	2838.00	3273.00	USD	21,750.00	
25JUL17	B	1	PD250CT17	2838.50	3273.00	USD	10,862.50	
25JUL17	B	2	PD250CT17	2837.00	3273.00	USD	21,800.00	
25JUL17	B	1	PD250CT17	2835.50	3273.00	USD	10,937.50	
25JUL17	B	1	PD250CT17	2841.00	3273.00	USD	10,800.00	
25JUL17	S	1	PD250CT17	2842.00	3273.00	USD	-10,775.00	
25JUL17	B	1	PD250CT17	2843.50	3273.00	USD	10,737.50	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP083XA

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
25JUL17	B	1	PD250CT17	2841.50	3273.00	USD	10,787.50	
25JUL17	S	1	PD250CT17	2845.00	3273.00	USD	-10,700.00	
05SEP17	S	3	PD250CT17	3136.75	3273.00	USD	-10,218.75	
	NET	0 AVG BGHT 2826.41	SOLD 2849.79				21,631.25	0.00
26JUL17	B	2	PD260CT17	2847.50	3271.87	USD	21,218.50	
26JUL17	B	2	PD260CT17	2846.00	3271.87	USD	21,293.50	
26JUL17	B	1	PD260CT17	2849.50	3271.87	USD	10,559.25	
26JUL17	B	3	PD260CT17	2849.00	3271.87	USD	31,715.25	
26JUL17	B	1	PD260CT17	2847.00	3271.87	USD	10,621.75	
26JUL17	B	3	PD260CT17	2845.50	3271.87	USD	31,977.75	
26JUL17	B	1	PD260CT17	2852.50	3271.87	USD	10,484.25	
26JUL17	B	1	PD260CT17	2850.50	3271.87	USD	10,534.25	
26JUL17	B	2	PD260CT17	2854.00	3271.87	USD	20,893.50	
26JUL17	S	1	PD260CT17	2838.00	3271.87	USD	-10,846.75	
26JUL17	S	2	PD260CT17	2837.50	3271.87	USD	-21,718.50	
26JUL17	S	1	PD260CT17	2836.50	3271.87	USD	-10,884.25	
26JUL17	S	1	PD260CT17	2836.00	3271.87	USD	-10,896.75	
26JUL17	S	1	PD260CT17	2828.00	3271.87	USD	-11,096.75	
26JUL17	S	1	PD260CT17	2830.00	3271.87	USD	-11,046.75	
26JUL17	S	1	PD260CT17	2820.00	3271.87	USD	-11,296.75	
26JUL17	S	1	PD260CT17	2821.50	3271.87	USD	-11,259.25	
26JUL17	S	1	PD260CT17	2824.50	3271.87	USD	-11,184.25	
26JUL17	S	2	PD260CT17	2826.00	3271.87	USD	-22,293.50	
26JUL17	B	1	PD260CT17	2820.50	3271.87	USD	11,284.25	
26JUL17	S	1	PD260CT17	2821.00	3271.87	USD	-11,271.75	
26JUL17	B	1	PD260CT17	2807.00	3271.87	USD	11,621.75	
26JUL17	B	1	PD260CT17	2846.50	3271.87	USD	10,634.25	
26JUL17	S	2	PD260CT17	2817.50	3271.87	USD	-22,718.50	
26JUL17	B	1	PD260CT17	2808.50	3271.87	USD	11,584.25	
26JUL17	S	2	PD260CT17	2807.50	3271.87	USD	-23,218.50	
26JUL17	S	1	PD260CT17	2801.00	3271.87	USD	-11,771.75	
05SEP17	S	2	PD260CT17	3136.84	3271.87	USD	-6,751.50	
	NET	0 AVG BGHT 2843.03	SOLD 2855.36				6,167.00	0.00
27JUL17	B	1	PD270CT17	2811.50	3270.73	USD	11,480.75	
27JUL17	S	2	PD270CT17	2809.00	3270.73	USD	-23,086.50	
27JUL17	S	2	PD270CT17	2808.00	3270.73	USD	-23,136.50	
27JUL17	S	2	PD270CT17	2805.00	3270.73	USD	-23,286.50	
27JUL17	S	1	PD270CT17	2805.50	3270.73	USD	-11,630.75	
27JUL17	S	2	PD270CT17	2806.00	3270.73	USD	-23,236.50	
27JUL17	S	2	PD270CT17	2807.00	3270.73	USD	-23,186.50	
27JUL17	S	9	PD270CT17	2807.50	3270.73	USD	-104,226.75	
27JUL17	S	3	PD270CT17	2809.50	3270.73	USD	-34,592.25	
27JUL17	S	2	PD270CT17	2810.00	3270.73	USD	-23,036.50	
27JUL17	B	2	PD270CT17	2812.50	3270.73	USD	22,911.50	
27JUL17	B	1	PD270CT17	2813.50	3270.73	USD	11,430.75	
27JUL17	B	1	PD270CT17	2814.50	3270.73	USD	11,405.75	
27JUL17	S	2	PD270CT17	2817.50	3270.73	USD	-22,661.50	
27JUL17	S	1	PD270CT17	2818.50	3270.73	USD	-11,305.75	
27JUL17	S	2	PD270CT17	2819.50	3270.73	USD	-22,561.50	
27JUL17	S	1	PD270CT17	2821.50	3270.73	USD	-11,230.75	
27JUL17	B	2	PD270CT17	2811.00	3270.73	USD	22,986.50	
27JUL17	B	1	PD270CT17	2817.50	3270.73	USD	11,330.75	
27JUL17	B	1	PD270CT17	2817.00	3270.73	USD	11,343.25	
27JUL17	B	1	PD270CT17	2826.00	3270.73	USD	11,118.25	
27JUL17	B	1	PD270CT17	2829.50	3270.73	USD	11,030.75	
27JUL17	B	1	PD270CT17	2833.00	3270.73	USD	10,943.25	
27JUL17	S	1	PD270CT17	2832.50	3270.73	USD	-10,955.75	

OPEN POSITION STATEMENT



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SAP083XA

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
27JUL17	B	1	PD27OCT17	2822.00	3270.73	USD	11,218.25	
27JUL17	B	1	PD27OCT17	2823.00	3270.73	USD	11,193.25	
27JUL17	B	1	PD27OCT17	2820.50	3270.73	USD	11,255.75	
27JUL17	B	3	PD27OCT17	2821.50	3270.73	USD	33,692.25	
27JUL17	B	3	PD27OCT17	2821.00	3270.73	USD	33,729.75	
27JUL17	B	2	PD27OCT17	2820.00	3270.73	USD	22,536.50	
27JUL17	B	4	PD27OCT17	2822.50	3270.73	USD	44,823.00	
27JUL17	B	2	PD27OCT17	2823.50	3270.73	USD	22,361.50	
27JUL17	S	1	PD27OCT17	2817.50	3270.73	USD	-11,330.75	
27JUL17	B	1	PD27OCT17	2822.50	3270.73	USD	11,205.75	
27JUL17	B	1	PD27OCT17	2830.00	3270.73	USD	11,018.25	
27JUL17	B	1	PD27OCT17	2821.00	3270.73	USD	11,243.25	
27JUL17	B	1	PD27OCT17	2813.00	3270.73	USD	11,443.25	
27JUL17	S	1	PD27OCT17	2822.50	3270.73	USD	-11,205.75	
27JUL17	S	1	PD27OCT17	2823.00	3270.73	USD	-11,193.25	
27JUL17	B	1	PD27OCT17	2812.00	3270.73	USD	11,468.25	
27JUL17	B	1	PD27OCT17	2802.50	3270.73	USD	11,705.75	
28JUL17	B	1	PD27OCT17	2783.50	3270.73	USD	12,180.75	
28JUL17	B	1	PD27OCT17	2783.00	3270.73	USD	12,193.25	
28JUL17	S	2	PD27OCT17	2787.00	3270.73	USD	-24,186.50	
28JUL17	S	1	PD27OCT17	2790.50	3270.73	USD	-12,005.75	
28JUL17	S	1	PD27OCT17	2791.50	3270.73	USD	-11,980.75	
28JUL17	S	2	PD27OCT17	2794.00	3270.73	USD	-23,836.50	
28JUL17	S	1	PD27OCT17	2791.00	3270.73	USD	-11,993.25	
28JUL17	S	1	PD27OCT17	2792.00	3270.73	USD	-11,968.25	
28JUL17	B	1	PD27OCT17	2777.50	3270.73	USD	12,330.75	
28JUL17	S	1	PD27OCT17	2782.50	3270.73	USD	-12,205.75	
28JUL17	B	1	PD27OCT17	2780.50	3270.73	USD	12,255.75	
28JUL17	B	2	PD27OCT17	2779.00	3270.73	USD	24,586.50	
28JUL17	B	1	PD27OCT17	2782.00	3270.73	USD	12,218.25	
28JUL17	B	2	PD27OCT17	2777.00	3270.73	USD	24,686.50	
28JUL17	B	2	PD27OCT17	2774.50	3270.73	USD	24,811.50	
28JUL17	B	1	PD27OCT17	2773.50	3270.73	USD	12,430.75	
28JUL17	B	1	PD27OCT17	2772.50	3270.73	USD	12,455.75	
28JUL17	B	1	PD27OCT17	2773.00	3270.73	USD	12,443.25	
28JUL17	B	1	PD27OCT17	2771.00	3270.73	USD	12,493.25	
28JUL17	B	2	PD27OCT17	2768.50	3270.73	USD	25,111.50	
28JUL17	B	1	PD27OCT17	2770.00	3270.73	USD	12,518.25	
28JUL17	B	1	PD27OCT17	2762.00	3270.73	USD	12,718.25	
28JUL17	B	1	PD27OCT17	2763.00	3270.73	USD	12,693.25	
28JUL17	B	1	PD27OCT17	2760.00	3270.73	USD	12,768.25	
28JUL17	B	2	PD27OCT17	2759.50	3270.73	USD	25,561.50	
28JUL17	S	1	PD27OCT17	2768.50	3270.73	USD	-12,555.75	
28JUL17	S	1	PD27OCT17	2769.00	3270.73	USD	-12,543.25	
28JUL17	S	1	PD27OCT17	2770.50	3270.73	USD	-12,505.75	
28JUL17	B	1	PD27OCT17	2767.50	3270.73	USD	12,580.75	
28JUL17	S	2	PD27OCT17	2769.50	3270.73	USD	-25,061.50	
28JUL17	S	1	PD27OCT17	2770.00	3270.73	USD	-12,518.25	
28JUL17	S	1	PD27OCT17	2787.50	3270.73	USD	-12,080.75	
28JUL17	S	1	PD27OCT17	2785.50	3270.73	USD	-12,130.75	
28JUL17	B	1	PD27OCT17	2769.00	3270.73	USD	12,543.25	
28JUL17	B	1	PD27OCT17	2760.50	3270.73	USD	12,755.75	
28JUL17	B	1	PD27OCT17	2768.50	3270.73	USD	12,555.75	
28JUL17	S	3	PD27OCT17	2771.50	3270.73	USD	-37,442.25	
28JUL17	S	1	PD27OCT17	2786.50	3270.73	USD	-12,105.75	
28JUL17	S	2	PD27OCT17	2785.00	3270.73	USD	-24,286.50	
28JUL17	S	5	PD27OCT17	2786.00	3270.73	USD	-60,591.25	

OPEN POSITION STATEMENT



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SAP083XA

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
28JUL17	S	1	PD27OCT17	2783.50	3270.73	USD	-12,180.75	
28JUL17	B	2	PD27OCT17	2779.50	3270.73	USD	24,561.50	
28JUL17	B	1	PD27OCT17	2778.50	3270.73	USD	12,305.75	
28JUL17	B	2	PD27OCT17	2774.00	3270.73	USD	24,836.50	
28JUL17	B	1	PD27OCT17	2775.00	3270.73	USD	12,393.25	
28JUL17	S	1	PD27OCT17	2784.00	3270.73	USD	-12,168.25	
28JUL17	S	6	PD27OCT17	2787.50	3270.73	USD	-72,484.50	
28JUL17	S	3	PD27OCT17	2788.00	3270.73	USD	-36,204.75	
28JUL17	B	3	PD27OCT17	2780.00	3270.73	USD	36,804.75	
28JUL17	B	1	PD27OCT17	2782.50	3270.73	USD	12,205.75	
28JUL17	B	2	PD27OCT17	2784.00	3270.73	USD	24,336.50	
	NET	0 AVG BGHT 2795.48	SOLD 2796.73				2,312.50	0.00
31JUL17	B	1	PD31OCT17	2812.00	3268.47	USD	11,411.75	
31JUL17	S	1	PD31OCT17	2806.00	3268.47	USD	-11,561.75	
31JUL17	S	1	PD31OCT17	2809.50	3268.47	USD	-11,474.25	
31JUL17	S	2	PD31OCT17	2813.00	3268.47	USD	-22,773.50	
31JUL17	S	1	PD31OCT17	2808.50	3268.47	USD	-11,499.25	
31JUL17	S	1	PD31OCT17	2803.50	3268.47	USD	-11,624.25	
31JUL17	S	1	PD31OCT17	2811.00	3268.47	USD	-11,436.75	
31JUL17	B	1	PD31OCT17	2809.50	3268.47	USD	11,474.25	
31JUL17	S	1	PD31OCT17	2810.50	3268.47	USD	-11,449.25	
31JUL17	S	1	PD31OCT17	2804.50	3268.47	USD	-11,599.25	
31JUL17	S	1	PD31OCT17	2814.00	3268.47	USD	-11,361.75	
31JUL17	S	2	PD31OCT17	2814.50	3268.47	USD	-22,698.50	
31JUL17	S	1	PD31OCT17	2816.00	3268.47	USD	-11,311.75	
31JUL17	S	1	PD31OCT17	2818.00	3268.47	USD	-11,261.75	
31JUL17	S	1	PD31OCT17	2819.50	3268.47	USD	-11,224.25	
31JUL17	S	1	PD31OCT17	2823.50	3268.47	USD	-11,124.25	
31JUL17	S	1	PD31OCT17	2824.00	3268.47	USD	-11,111.75	
31JUL17	B	1	PD31OCT17	2825.50	3268.47	USD	11,074.25	
31JUL17	S	2	PD31OCT17	2826.00	3268.47	USD	-22,123.50	
31JUL17	S	1	PD31OCT17	2827.00	3268.47	USD	-11,036.75	
31JUL17	S	3	PD31OCT17	2827.50	3268.47	USD	-33,072.75	
31JUL17	S	3	PD31OCT17	2826.50	3268.47	USD	-33,147.75	
31JUL17	B	3	PD31OCT17	2806.50	3268.47	USD	34,647.75	
31JUL17	S	1	PD31OCT17	2797.50	3268.47	USD	-11,774.25	
31JUL17	S	1	PD31OCT17	2792.50	3268.47	USD	-11,899.25	
31JUL17	S	1	PD31OCT17	2797.00	3268.47	USD	-11,786.75	
31JUL17	S	1	PD31OCT17	2802.50	3268.47	USD	-11,649.25	
31JUL17	S	1	PD31OCT17	2810.00	3268.47	USD	-11,461.75	
31JUL17	S	1	PD31OCT17	2805.00	3268.47	USD	-11,586.75	
31JUL17	S	5	PD31OCT17	2803.50	3268.47	USD	-58,121.25	
31JUL17	S	1	PD31OCT17	2799.00	3268.47	USD	-11,736.75	
31JUL17	B	1	PD31OCT17	2804.50	3268.47	USD	11,599.25	
31JUL17	B	1	PD31OCT17	2802.00	3268.47	USD	11,661.75	
31JUL17	B	1	PD31OCT17	2803.00	3268.47	USD	11,636.75	
31JUL17	B	3	PD31OCT17	2804.00	3268.47	USD	34,835.25	
31JUL17	B	1	PD31OCT17	2807.50	3268.47	USD	11,524.25	
31JUL17	B	3	PD31OCT17	2808.50	3268.47	USD	34,497.75	
31JUL17	B	2	PD31OCT17	2806.00	3268.47	USD	23,123.50	
31JUL17	B	1	PD31OCT17	2805.50	3268.47	USD	11,574.25	
31JUL17	B	2	PD31OCT17	2805.00	3268.47	USD	23,173.50	
31JUL17	B	1	PD31OCT17	2796.50	3268.47	USD	11,799.25	
31JUL17	B	3	PD31OCT17	2798.50	3268.47	USD	35,247.75	
31JUL17	B	2	PD31OCT17	2795.20	3268.47	USD	23,663.50	
05SEP17	B	11	PD31OCT17	3137.49	3268.47	USD	36,019.50	
	NET	0 AVG BGHT 2901.14	SOLD 2812.78				-83,944.75	0.00

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP083XA

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
01AUG17	B	1	PD01NOV17	2786.00	3267.33	USD	12,033.25	
01AUG17	S	1	PD01NOV17	2786.00	3267.33	USD	-12,033.25	
01AUG17	S	1	PD01NOV17	2786.50	3267.33	USD	-12,020.75	
01AUG17	B	1	PD01NOV17	2774.00	3267.33	USD	12,333.25	
01AUG17	B	2	PD01NOV17	2774.50	3267.33	USD	24,641.50	
01AUG17	B	1	PD01NOV17	2770.00	3267.33	USD	12,433.25	
01AUG17	B	1	PD01NOV17	2766.00	3267.33	USD	12,533.25	
01AUG17	B	1	PD01NOV17	2765.50	3267.33	USD	12,545.75	
01AUG17	B	2	PD01NOV17	2772.00	3267.33	USD	24,766.50	
01AUG17	S	1	PD01NOV17	2777.00	3267.33	USD	-12,258.25	
01AUG17	S	1	PD01NOV17	2779.50	3267.33	USD	-12,195.75	
01AUG17	S	2	PD01NOV17	2780.50	3267.33	USD	-24,341.50	
01AUG17	S	1	PD01NOV17	2777.50	3267.33	USD	-12,245.75	
01AUG17	S	3	PD01NOV17	2781.00	3267.33	USD	-36,474.75	
01AUG17	S	1	PD01NOV17	2783.00	3267.33	USD	-12,108.25	
01AUG17	S	3	PD01NOV17	2784.00	3267.33	USD	-36,249.75	
01AUG17	S	3	PD01NOV17	2781.50	3267.33	USD	-36,437.25	
01AUG17	B	2	PD01NOV17	2773.00	3267.33	USD	24,716.50	
01AUG17	S	2	PD01NOV17	2778.00	3267.33	USD	-24,466.50	
01AUG17	S	1	PD01NOV17	2779.00	3267.33	USD	-12,208.25	
01AUG17	S	2	PD01NOV17	2778.50	3267.33	USD	-24,441.50	
01AUG17	S	4	PD01NOV17	2783.50	3267.33	USD	-48,383.00	
01AUG17	S	1	PD01NOV17	2782.00	3267.33	USD	-12,133.25	
01AUG17	S	3	PD01NOV17	2784.50	3267.33	USD	-36,212.25	
01AUG17	B	1	PD01NOV17	2784.00	3267.33	USD	12,083.25	
01AUG17	B	1	PD01NOV17	2789.00	3267.33	USD	11,958.25	
01AUG17	B	1	PD01NOV17	2775.00	3267.33	USD	12,308.25	
01AUG17	B	2	PD01NOV17	2773.50	3267.33	USD	24,691.50	
01AUG17	B	2	PD01NOV17	2772.50	3267.33	USD	24,741.50	
01AUG17	B	3	PD01NOV17	2770.50	3267.33	USD	37,262.25	
01AUG17	S	1	PD01NOV17	2772.00	3267.33	USD	-12,383.25	
01AUG17	B	1	PD01NOV17	2779.00	3267.33	USD	12,208.25	
01AUG17	B	2	PD01NOV17	2778.00	3267.33	USD	24,466.50	
01AUG17	S	1	PD01NOV17	2787.00	3267.33	USD	-12,008.25	
01AUG17	S	1	PD01NOV17	2785.00	3267.33	USD	-12,058.25	
01AUG17	B	5	PD01NOV17	2781.50	3267.33	USD	60,728.75	
01AUG17	B	5	PD01NOV17	2782.00	3267.33	USD	60,666.25	
01AUG17	B	1	PD01NOV17	2781.50	3267.33	USD	12,145.75	
05SEP17	S	2	PD01NOV17	3137.38	3267.33	USD	-6,497.50	
	NET	0AVG BGHT 2776.74	SOLD 2802.01				22,106.50	0.00
02AUG17	S	1	PD02NOV17	2764.00	3266.20	USD	-12,555.00	
02AUG17	S	1	PD02NOV17	2763.00	3266.20	USD	-12,580.00	
02AUG17	S	5	PD02NOV17	2763.50	3266.20	USD	-62,837.50	
02AUG17	S	1	PD02NOV17	2775.50	3266.20	USD	-12,267.50	
02AUG17	B	2	PD02NOV17	2772.50	3266.20	USD	24,685.00	
02AUG17	B	1	PD02NOV17	2770.00	3266.20	USD	12,405.00	
02AUG17	S	1	PD02NOV17	2769.00	3266.20	USD	-12,430.00	
02AUG17	B	2	PD02NOV17	2770.50	3266.20	USD	24,785.00	
02AUG17	B	3	PD02NOV17	2771.50	3266.20	USD	37,102.50	
02AUG17	B	3	PD02NOV17	2774.00	3266.20	USD	36,915.00	
02AUG17	B	1	PD02NOV17	2775.00	3266.20	USD	12,280.00	
02AUG17	B	2	PD02NOV17	2773.00	3266.20	USD	24,660.00	
02AUG17	B	1	PD02NOV17	2772.00	3266.20	USD	12,355.00	
02AUG17	B	1	PD02NOV17	2769.00	3266.20	USD	12,430.00	
02AUG17	B	1	PD02NOV17	2769.50	3266.20	USD	12,417.50	
02AUG17	B	2	PD02NOV17	2768.00	3266.20	USD	24,910.00	
02AUG17	B	1	PD02NOV17	2766.50	3266.20	USD	12,492.50	

OPEN POSITION STATEMENT



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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
02AUG17	B	2	PD02NOV17	2766.00	3266.20	USD	25,010.00	
02AUG17	B	2	PD02NOV17	2762.50	3266.20	USD	25,185.00	
02AUG17	B	3	PD02NOV17	2763.00	3266.20	USD	37,740.00	
02AUG17	B	5	PD02NOV17	2762.00	3266.20	USD	63,025.00	
02AUG17	B	2	PD02NOV17	2765.00	3266.20	USD	25,060.00	
02AUG17	B	1	PD02NOV17	2764.50	3266.20	USD	12,542.50	
02AUG17	B	1	PD02NOV17	2768.50	3266.20	USD	12,442.50	
02AUG17	B	5	PD02NOV17	2767.00	3266.20	USD	62,400.00	
02AUG17	S	1	PD02NOV17	2765.50	3266.20	USD	-12,517.50	
02AUG17	S	2	PD02NOV17	2767.50	3266.20	USD	-24,935.00	
02AUG17	S	1	PD02NOV17	2768.00	3266.20	USD	-12,455.00	
02AUG17	S	1	PD02NOV17	2767.00	3266.20	USD	-12,480.00	
02AUG17	S	2	PD02NOV17	2765.00	3266.20	USD	-25,060.00	
02AUG17	S	1	PD02NOV17	2768.50	3266.20	USD	-12,442.50	
02AUG17	S	1	PD02NOV17	2770.00	3266.20	USD	-12,405.00	
02AUG17	S	1	PD02NOV17	2773.50	3266.20	USD	-12,317.50	
02AUG17	S	1	PD02NOV17	2774.50	3266.20	USD	-12,292.50	
02AUG17	S	1	PD02NOV17	2775.00	3266.20	USD	-12,280.00	
02AUG17	S	2	PD02NOV17	2779.50	3266.20	USD	-24,335.00	
02AUG17	S	1	PD02NOV17	2780.50	3266.20	USD	-12,142.50	
02AUG17	S	1	PD02NOV17	2782.00	3266.20	USD	-12,105.00	
02AUG17	S	1	PD02NOV17	2783.00	3266.20	USD	-12,080.00	
02AUG17	S	4	PD02NOV17	2783.50	3266.20	USD	-48,270.00	
02AUG17	S	1	PD02NOV17	2781.50	3266.20	USD	-12,117.50	
02AUG17	S	1	PD02NOV17	2781.00	3266.20	USD	-12,130.00	
02AUG17	S	1	PD02NOV17	2790.00	3266.20	USD	-11,905.00	
02AUG17	S	1	PD02NOV17	2792.00	3266.20	USD	-11,855.00	
02AUG17	S	1	PD02NOV17	2784.50	3266.20	USD	-12,042.50	
02AUG17	B	1	PD02NOV17	2784.00	3266.20	USD	12,055.00	
02AUG17	S	1	PD02NOV17	2780.00	3266.20	USD	-12,155.00	
02AUG17	B	1	PD02NOV17	2781.00	3266.20	USD	12,130.00	
02AUG17	B	1	PD02NOV17	2799.50	3266.20	USD	11,667.50	
02AUG17	S	1	PD02NOV17	2800.50	3266.20	USD	-11,642.50	
02AUG17	B	2	PD02NOV17	2802.50	3266.20	USD	23,185.00	
05SEP17	S	9	PD02NOV17	3137.67	3266.20	USD	-28,919.25	
03OCT17	S	10	PD02NOV17	3273.50	3266.20	USD	1,825.00	
06OCT17	B	2	PD02NOV17	3249.50	3266.20	USD	835.00	
09OCT17	B	1	PD02NOV17	3261.50	3266.20	USD	117.50	
09OCT17	B	7	PD02NOV17	3256.00	3266.20	USD	1,785.00	
	NET	0AVG BGHT 2857.19	SOLD 2922.11				90,888.25	0.00
03AUG17	S	1	PD03NOV17	2830.00	3265.07	USD	-10,876.75	
03AUG17	S	1	PD03NOV17	2828.50	3265.07	USD	-10,914.25	
03AUG17	B	1	PD03NOV17	2816.50	3265.07	USD	11,214.25	
03AUG17	S	1	PD03NOV17	2822.00	3265.07	USD	-11,076.75	
03AUG17	S	2	PD03NOV17	2824.50	3265.07	USD	-22,028.50	
03AUG17	S	1	PD03NOV17	2825.50	3265.07	USD	-10,989.25	
03AUG17	B	1	PD03NOV17	2821.50	3265.07	USD	11,089.25	
03AUG17	B	2	PD03NOV17	2820.50	3265.07	USD	22,228.50	
03AUG17	B	1	PD03NOV17	2812.50	3265.07	USD	11,314.25	
03AUG17	B	1	PD03NOV17	2810.00	3265.07	USD	11,376.75	
03AUG17	B	1	PD03NOV17	2809.50	3265.07	USD	11,389.25	
03AUG17	S	2	PD03NOV17	2814.00	3265.07	USD	-22,553.50	
03AUG17	S	1	PD03NOV17	2819.50	3265.07	USD	-11,139.25	
03AUG17	S	1	PD03NOV17	2818.50	3265.07	USD	-11,164.25	
03AUG17	S	2	PD03NOV17	2819.00	3265.07	USD	-22,303.50	
03AUG17	S	1	PD03NOV17	2820.00	3265.07	USD	-11,126.75	
03AUG17	S	2	PD03NOV17	2821.00	3265.07	USD	-22,203.50	

OPEN POSITION STATEMENT



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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
03AUG17	S	1	PD03NOV17	2821.50	3265.07	USD	-11,089.25	
03AUG17	B	2	PD03NOV17	2813.50	3265.07	USD	22,578.50	
03AUG17	B	2	PD03NOV17	2815.00	3265.07	USD	22,503.50	
03AUG17	S	1	PD03NOV17	2830.50	3265.07	USD	-10,864.25	
03AUG17	B	1	PD03NOV17	2817.00	3265.07	USD	11,201.75	
03AUG17	B	2	PD03NOV17	2816.00	3265.07	USD	22,453.50	
03AUG17	B	5	PD03NOV17	2814.00	3265.07	USD	56,383.75	
03AUG17	B	1	PD03NOV17	2797.00	3265.07	USD	11,701.75	
03AUG17	S	2	PD03NOV17	2806.00	3265.07	USD	-22,953.50	
03AUG17	B	1	PD03NOV17	2797.50	3265.07	USD	11,689.25	
03AUG17	S	1	PD03NOV17	2797.00	3265.07	USD	-11,701.75	
03AUG17	B	1	PD03NOV17	2793.00	3265.07	USD	11,801.75	
03AUG17	S	1	PD03NOV17	2794.50	3265.07	USD	-11,764.25	
03AUG17	S	1	PD03NOV17	2795.50	3265.07	USD	-11,739.25	
03AUG17	B	1	PD03NOV17	2794.00	3265.07	USD	11,776.75	
03AUG17	B	2	PD03NOV17	2793.50	3265.07	USD	23,578.50	
03AUG17	S	1	PD03NOV17	2793.50	3265.07	USD	-11,789.25	
03AUG17	S	1	PD03NOV17	2793.00	3265.07	USD	-11,801.75	
03AUG17	S	1	PD03NOV17	2792.00	3265.07	USD	-11,826.75	
04AUG17	S	1	PD03NOV17	2806.00	3265.07	USD	-11,476.75	
04AUG17	S	1	PD03NOV17	2819.00	3265.07	USD	-11,151.75	
04AUG17	S	1	PD03NOV17	2819.50	3265.07	USD	-11,139.25	
04AUG17	S	2	PD03NOV17	2822.00	3265.07	USD	-22,153.50	
04AUG17	S	1	PD03NOV17	2828.50	3265.07	USD	-10,914.25	
04AUG17	S	1	PD03NOV17	2829.00	3265.07	USD	-10,901.75	
04AUG17	S	1	PD03NOV17	2832.50	3265.07	USD	-10,814.25	
04AUG17	S	1	PD03NOV17	2833.50	3265.07	USD	-10,789.25	
04AUG17	S	1	PD03NOV17	2833.00	3265.07	USD	-10,801.75	
04AUG17	S	1	PD03NOV17	2834.50	3265.07	USD	-10,764.25	
04AUG17	S	1	PD03NOV17	2831.50	3265.07	USD	-10,839.25	
04AUG17	S	1	PD03NOV17	2834.00	3265.07	USD	-10,776.75	
04AUG17	B	1	PD03NOV17	2827.00	3265.07	USD	10,951.75	
04AUG17	B	1	PD03NOV17	2825.00	3265.07	USD	11,001.75	
04AUG17	B	1	PD03NOV17	2824.00	3265.07	USD	11,026.75	
04AUG17	B	1	PD03NOV17	2823.00	3265.07	USD	11,051.75	
04AUG17	B	1	PD03NOV17	2822.50	3265.07	USD	11,064.25	
04AUG17	B	3	PD03NOV17	2822.00	3265.07	USD	33,230.25	
04AUG17	S	3	PD03NOV17	2827.50	3265.07	USD	-32,817.75	
04AUG17	S	1	PD03NOV17	2827.00	3265.07	USD	-10,951.75	
04AUG17	S	1	PD03NOV17	2830.50	3265.07	USD	-10,864.25	
04AUG17	S	1	PD03NOV17	2830.00	3265.07	USD	-10,876.75	
04AUG17	S	1	PD03NOV17	2826.50	3265.07	USD	-10,964.25	
04AUG17	B	4	PD03NOV17	2821.50	3265.07	USD	44,357.00	
04AUG17	B	1	PD03NOV17	2819.00	3265.07	USD	11,151.75	
04AUG17	B	2	PD03NOV17	2817.50	3265.07	USD	22,378.50	
04AUG17	B	2	PD03NOV17	2818.50	3265.07	USD	22,328.50	
04AUG17	B	1	PD03NOV17	2820.00	3265.07	USD	11,126.75	
04AUG17	S	1	PD03NOV17	2820.00	3265.07	USD	-11,126.75	
04AUG17	B	1	PD03NOV17	2824.00	3265.07	USD	11,026.75	
04AUG17	B	1	PD03NOV17	2813.00	3265.07	USD	11,301.75	
04AUG17	B	1	PD03NOV17	2811.50	3265.07	USD	11,339.25	
04AUG17	B	1	PD03NOV17	2812.00	3265.07	USD	11,326.75	
04AUG17	B	3	PD03NOV17	2812.50	3265.07	USD	33,942.75	
04AUG17	B	2	PD03NOV17	2818.00	3265.07	USD	22,353.50	
04AUG17	S	1	PD03NOV17	2811.50	3265.07	USD	-11,339.25	
04AUG17	B	1	PD03NOV17	2804.00	3265.07	USD	11,526.75	
04AUG17	S	1	PD03NOV17	2812.00	3265.07	USD	-11,326.75	

OPEN POSITION STATEMENT



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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>								
04AUG17	S	1	PD03NOV17		2813.50	3265.07	USD	-11,289.25	
04AUG17	S	2	PD03NOV17		2814.50	3265.07	USD	-22,528.50	
04AUG17	S	2	PD03NOV17		2814.00	3265.07	USD	-22,553.50	
04AUG17	S	1	PD03NOV17		2813.00	3265.07	USD	-11,301.75	
04AUG17	B	1	PD03NOV17		2810.00	3265.07	USD	11,376.75	
04AUG17	S	1	PD03NOV17		2810.00	3265.07	USD	-11,376.75	
04AUG17	B	1	PD03NOV17		2813.50	3265.07	USD	11,289.25	
	NET	0 AVG	BGHT 2814.57	SOLD 2818.71				5,687.50	0.00
07AUG17	B	1	PD07NOV17		2806.50	3262.80	USD	11,407.50	
07AUG17	B	1	PD07NOV17		2805.50	3262.80	USD	11,432.50	
07AUG17	S	1	PD07NOV17		2816.00	3262.80	USD	-11,170.00	
07AUG17	S	1	PD07NOV17		2822.00	3262.80	USD	-11,020.00	
07AUG17	S	1	PD07NOV17		2819.50	3262.80	USD	-11,082.50	
07AUG17	S	1	PD07NOV17		2821.50	3262.80	USD	-11,032.50	
07AUG17	S	1	PD07NOV17		2820.00	3262.80	USD	-11,070.00	
07AUG17	S	1	PD07NOV17		2824.50	3262.80	USD	-10,957.50	
07AUG17	S	1	PD07NOV17		2827.50	3262.80	USD	-10,882.50	
07AUG17	S	1	PD07NOV17		2825.50	3262.80	USD	-10,932.50	
07AUG17	S	1	PD07NOV17		2827.00	3262.80	USD	-10,895.00	
07AUG17	B	1	PD07NOV17		2819.00	3262.80	USD	11,095.00	
07AUG17	S	1	PD07NOV17		2823.50	3262.80	USD	-10,982.50	
07AUG17	S	2	PD07NOV17		2826.00	3262.80	USD	-21,840.00	
07AUG17	S	2	PD07NOV17		2830.50	3262.80	USD	-21,615.00	
07AUG17	S	1	PD07NOV17		2822.00	3262.80	USD	-11,020.00	
07AUG17	S	1	PD07NOV17		2834.50	3262.80	USD	-10,707.50	
07AUG17	B	1	PD07NOV17		2829.50	3262.80	USD	10,832.50	
07AUG17	B	1	PD07NOV17		2835.00	3262.80	USD	10,695.00	
07AUG17	B	1	PD07NOV17		2837.00	3262.80	USD	10,645.00	
07AUG17	B	1	PD07NOV17		2833.50	3262.80	USD	10,732.50	
07AUG17	B	1	PD07NOV17		2836.00	3262.80	USD	10,670.00	
07AUG17	S	1	PD07NOV17		2839.50	3262.80	USD	-10,582.50	
07AUG17	S	1	PD07NOV17		2864.00	3262.80	USD	-9,970.00	
07AUG17	S	1	PD07NOV17		2865.00	3262.80	USD	-9,945.00	
07AUG17	S	1	PD07NOV17		2872.50	3262.80	USD	-9,757.50	
07AUG17	S	1	PD07NOV17		2874.50	3262.80	USD	-9,707.50	
07AUG17	S	1	PD07NOV17		2875.00	3262.80	USD	-9,695.00	
07AUG17	S	1	PD07NOV17		2876.00	3262.80	USD	-9,670.00	
07AUG17	S	1	PD07NOV17		2873.00	3262.80	USD	-9,745.00	
07AUG17	S	1	PD07NOV17		2876.50	3262.80	USD	-9,657.50	
07AUG17	B	2	PD07NOV17		2878.50	3262.80	USD	19,215.00	
07AUG17	S	1	PD07NOV17		2880.50	3262.80	USD	-9,557.50	
07AUG17	S	1	PD07NOV17		2878.50	3262.80	USD	-9,607.50	
07AUG17	S	1	PD07NOV17		2884.00	3262.80	USD	-9,470.00	
07AUG17	S	1	PD07NOV17		2885.00	3262.80	USD	-9,445.00	
07AUG17	S	1	PD07NOV17		2887.50	3262.80	USD	-9,382.50	
07AUG17	S	1	PD07NOV17		2886.50	3262.80	USD	-9,407.50	
07AUG17	B	2	PD07NOV17		2887.50	3262.80	USD	18,765.00	
07AUG17	B	1	PD07NOV17		2887.00	3262.80	USD	9,395.00	
07AUG17	B	1	PD07NOV17		2886.50	3262.80	USD	9,407.50	
07AUG17	B	1	PD07NOV17		2881.50	3262.80	USD	9,532.50	
07AUG17	B	1	PD07NOV17		2879.00	3262.80	USD	9,595.00	
07AUG17	B	1	PD07NOV17		2880.00	3262.80	USD	9,570.00	
07AUG17	B	1	PD07NOV17		2867.50	3262.80	USD	9,882.50	
07AUG17	B	1	PD07NOV17		2871.50	3262.80	USD	9,782.50	
07AUG17	B	1	PD07NOV17		2869.00	3262.80	USD	9,845.00	
07AUG17	B	1	PD07NOV17		2873.50	3262.80	USD	9,732.50	
05SEP17	B	1	PD07NOV17		3138.12	3262.80	USD	3,117.00	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP083XA

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

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FINANCIAL CONDUCT AUTHORITY

Date	Open Position				Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>								
05SEP17	B	9	PD07NOV17		3138.32	3262.80	USD	28,008.00	
	NET	0 AVG	BGHT 2948.79	SOLD 2848.85				-77,450.00	0.00
08AUG17	S	1	PD08NOV17		2866.00	3261.67	USD	-9,891.75	
08AUG17	B	1	PD08NOV17		2872.00	3261.67	USD	9,741.75	
08AUG17	B	1	PD08NOV17		2873.50	3261.67	USD	9,704.25	
08AUG17	B	1	PD08NOV17		2874.00	3261.67	USD	9,691.75	
08AUG17	B	2	PD08NOV17		2874.50	3261.67	USD	19,358.50	
08AUG17	B	2	PD08NOV17		2873.00	3261.67	USD	19,433.50	
08AUG17	S	1	PD08NOV17		2879.50	3261.67	USD	-9,554.25	
08AUG17	S	2	PD08NOV17		2878.00	3261.67	USD	-19,183.50	
08AUG17	S	1	PD08NOV17		2877.50	3261.67	USD	-9,604.25	
08AUG17	S	1	PD08NOV17		2874.00	3261.67	USD	-9,691.75	
08AUG17	S	1	PD08NOV17		2874.50	3261.67	USD	-9,679.25	
08AUG17	S	1	PD08NOV17		2871.00	3261.67	USD	-9,766.75	
08AUG17	S	1	PD08NOV17		2876.00	3261.67	USD	-9,641.75	
08AUG17	S	1	PD08NOV17		2876.50	3261.67	USD	-9,629.25	
08AUG17	S	2	PD08NOV17		2881.00	3261.67	USD	-19,033.50	
08AUG17	S	1	PD08NOV17		2881.50	3261.67	USD	-9,504.25	
08AUG17	S	1	PD08NOV17		2887.50	3261.67	USD	-9,354.25	
08AUG17	S	3	PD08NOV17		2886.50	3261.67	USD	-28,137.75	
08AUG17	B	2	PD08NOV17		2879.00	3261.67	USD	19,133.50	
08AUG17	B	1	PD08NOV17		2877.50	3261.67	USD	9,604.25	
08AUG17	S	1	PD08NOV17		2883.00	3261.67	USD	-9,466.75	
08AUG17	S	2	PD08NOV17		2882.50	3261.67	USD	-18,958.50	
08AUG17	S	1	PD08NOV17		2884.00	3261.67	USD	-9,441.75	
08AUG17	S	3	PD08NOV17		2884.50	3261.67	USD	-28,287.75	
08AUG17	B	3	PD08NOV17		2867.50	3261.67	USD	29,562.75	
08AUG17	S	1	PD08NOV17		2870.50	3261.67	USD	-9,779.25	
08AUG17	S	1	PD08NOV17		2870.00	3261.67	USD	-9,791.75	
08AUG17	B	1	PD08NOV17		2869.00	3261.67	USD	9,816.75	
08AUG17	S	2	PD08NOV17		2877.00	3261.67	USD	-19,233.50	
08AUG17	S	1	PD08NOV17		2879.00	3261.67	USD	-9,566.75	
08AUG17	S	2	PD08NOV17		2880.00	3261.67	USD	-19,083.50	
08AUG17	B	3	PD08NOV17		2878.50	3261.67	USD	28,737.75	
08AUG17	S	1	PD08NOV17		2882.00	3261.67	USD	-9,491.75	
08AUG17	S	1	PD08NOV17		2883.50	3261.67	USD	-9,454.25	
08AUG17	B	1	PD08NOV17		2882.00	3261.67	USD	9,491.75	
08AUG17	S	2	PD08NOV17		2896.00	3261.67	USD	-18,283.50	
08AUG17	S	1	PD08NOV17		2904.00	3261.67	USD	-8,941.75	
08AUG17	S	1	PD08NOV17		2903.00	3261.67	USD	-8,966.75	
08AUG17	B	1	PD08NOV17		2898.00	3261.67	USD	9,091.75	
08AUG17	S	1	PD08NOV17		2908.00	3261.67	USD	-8,841.75	
08AUG17	S	1	PD08NOV17		2914.50	3261.67	USD	-8,679.25	
08AUG17	S	1	PD08NOV17		2911.00	3261.67	USD	-8,766.75	
08AUG17	S	1	PD08NOV17		2914.00	3261.67	USD	-8,691.75	
08AUG17	S	2	PD08NOV17		2913.50	3261.67	USD	-17,408.50	
08AUG17	S	1	PD08NOV17		2911.50	3261.67	USD	-8,754.25	
08AUG17	S	1	PD08NOV17		2913.00	3261.67	USD	-8,716.75	
08AUG17	S	1	PD08NOV17		2915.50	3261.67	USD	-8,654.25	
08AUG17	S	1	PD08NOV17		2918.00	3261.67	USD	-8,591.75	
08AUG17	B	1	PD08NOV17		2919.50	3261.67	USD	8,554.25	
08AUG17	S	1	PD08NOV17		2925.50	3261.67	USD	-8,404.25	
08AUG17	S	1	PD08NOV17		2926.00	3261.67	USD	-8,391.75	
08AUG17	B	1	PD08NOV17		2931.00	3261.67	USD	8,266.75	
08AUG17	B	1	PD08NOV17		2929.50	3261.67	USD	8,304.25	
08AUG17	S	1	PD08NOV17		2879.00	3261.67	USD	-9,566.75	
08AUG17	B	1	PD08NOV17		2928.00	3261.67	USD	8,341.75	

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
08AUG17	B	1	PD08NOV17	2929.00	3261.67	USD	8,316.75	
08AUG17	B	1	PD08NOV17	2932.00	3261.67	USD	8,241.75	
08AUG17	B	2	PD08NOV17	2939.00	3261.67	USD	16,133.50	
08AUG17	B	1	PD08NOV17	2935.50	3261.67	USD	8,154.25	
08AUG17	B	3	PD08NOV17	2935.00	3261.67	USD	24,500.25	
08AUG17	B	3	PD08NOV17	2937.00	3261.67	USD	24,350.25	
08AUG17	B	1	PD08NOV17	2936.00	3261.67	USD	8,141.75	
08AUG17	B	1	PD08NOV17	2927.50	3261.67	USD	8,354.25	
08AUG17	B	2	PD08NOV17	2937.00	3261.67	USD	16,233.50	
05SEP17	B	12	PD08NOV17	3138.21	3261.67	USD	37,038.00	
	NET	0 AVG BGHT 2960.63	SOLD 2889.76				-88,588.00	0.00
09AUG17	S	1	PD09NOV17	2934.50	3260.53	USD	-8,150.75	
09AUG17	B	2	PD09NOV17	2943.00	3260.53	USD	15,876.50	
09AUG17	B	2	PD09NOV17	2942.00	3260.53	USD	15,926.50	
09AUG17	S	1	PD09NOV17	2945.50	3260.53	USD	-7,875.75	
09AUG17	S	1	PD09NOV17	2951.50	3260.53	USD	-7,725.75	
09AUG17	S	2	PD09NOV17	2946.00	3260.53	USD	-15,726.50	
09AUG17	S	1	PD09NOV17	2966.50	3260.53	USD	-7,350.75	
09AUG17	S	1	PD09NOV17	2967.50	3260.53	USD	-7,325.75	
09AUG17	B	1	PD09NOV17	2980.00	3260.53	USD	7,013.25	
09AUG17	B	1	PD09NOV17	2979.00	3260.53	USD	7,038.25	
09AUG17	S	3	PD09NOV17	2979.00	3260.53	USD	-21,114.75	
09AUG17	B	2	PD09NOV17	2966.00	3260.53	USD	14,726.50	
09AUG17	B	1	PD09NOV17	2965.00	3260.53	USD	7,388.25	
09AUG17	B	2	PD09NOV17	2964.00	3260.53	USD	14,826.50	
09AUG17	B	4	PD09NOV17	2962.00	3260.53	USD	29,853.00	
09AUG17	S	1	PD09NOV17	2958.50	3260.53	USD	-7,550.75	
09AUG17	S	1	PD09NOV17	2959.50	3260.53	USD	-7,525.75	
09AUG17	B	1	PD09NOV17	2952.50	3260.53	USD	7,700.75	
09AUG17	S	1	PD09NOV17	2964.00	3260.53	USD	-7,413.25	
09AUG17	B	1	PD09NOV17	2975.00	3260.53	USD	7,138.25	
09AUG17	S	2	PD09NOV17	2972.50	3260.53	USD	-14,401.50	
09AUG17	S	1	PD09NOV17	2973.50	3260.53	USD	-7,175.75	
09AUG17	S	1	PD09NOV17	2974.00	3260.53	USD	-7,163.25	
09AUG17	S	1	PD09NOV17	2975.00	3260.53	USD	-7,138.25	
09AUG17	S	1	PD09NOV17	2976.00	3260.53	USD	-7,113.25	
09AUG17	B	1	PD09NOV17	2956.00	3260.53	USD	7,613.25	
09AUG17	B	2	PD09NOV17	2958.50	3260.53	USD	15,101.50	
09AUG17	S	1	PD09NOV17	2945.00	3260.53	USD	-7,888.25	
09AUG17	B	1	PD09NOV17	2938.00	3260.53	USD	8,063.25	
09AUG17	B	1	PD09NOV17	2950.50	3260.53	USD	7,750.75	
09AUG17	B	2	PD09NOV17	2941.50	3260.53	USD	15,951.50	
09AUG17	B	2	PD09NOV17	2941.00	3260.53	USD	15,976.50	
09AUG17	B	2	PD09NOV17	2932.00	3260.53	USD	16,426.50	
09AUG17	B	2	PD09NOV17	2935.00	3260.53	USD	16,276.50	
09AUG17	B	3	PD09NOV17	2935.50	3260.53	USD	24,377.25	
09AUG17	B	1	PD09NOV17	2933.50	3260.53	USD	8,175.75	
09AUG17	B	4	PD09NOV17	2934.50	3260.53	USD	32,603.00	
09AUG17	B	3	PD09NOV17	2936.00	3260.53	USD	24,339.75	
09AUG17	B	1	PD09NOV17	2956.50	3260.53	USD	7,600.75	
09AUG17	B	1	PD09NOV17	2938.00	3260.53	USD	8,063.25	
05SEP17	S	23	PD09NOV17	3138.10	3260.53	USD	-70,397.25	
	NET	0 AVG BGHT 2948.15	SOLD 3056.77				116,770.00	0.00
10AUG17	B	1	PD10NOV17	2947.50	3259.40	USD	7,797.50	
10AUG17	S	1	PD10NOV17	2935.00	3259.40	USD	-8,110.00	
10AUG17	S	1	PD10NOV17	2937.00	3259.40	USD	-8,060.00	
10AUG17	S	1	PD10NOV17	2939.50	3259.40	USD	-7,997.50	

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10AUG17	B	1	PD10NOV17	2935.00	3259.40	USD	8,110.00	
10AUG17	S	2	PD10NOV17	2941.50	3259.40	USD	-15,895.00	
10AUG17	S	1	PD10NOV17	2943.00	3259.40	USD	-7,910.00	
10AUG17	S	1	PD10NOV17	2946.00	3259.40	USD	-7,835.00	
10AUG17	S	2	PD10NOV17	2946.50	3259.40	USD	-15,645.00	
10AUG17	S	2	PD10NOV17	2945.00	3259.40	USD	-15,720.00	
10AUG17	S	1	PD10NOV17	2944.00	3259.40	USD	-7,885.00	
10AUG17	S	3	PD10NOV17	2945.50	3259.40	USD	-23,542.50	
10AUG17	S	1	PD10NOV17	2944.50	3259.40	USD	-7,872.50	
10AUG17	B	1	PD10NOV17	2938.00	3259.40	USD	8,035.00	
10AUG17	B	2	PD10NOV17	2937.00	3259.40	USD	16,120.00	
10AUG17	B	1	PD10NOV17	2939.00	3259.40	USD	8,010.00	
10AUG17	S	2	PD10NOV17	2943.50	3259.40	USD	-15,795.00	
10AUG17	B	1	PD10NOV17	2949.00	3259.40	USD	7,760.00	
10AUG17	B	1	PD10NOV17	2948.50	3259.40	USD	7,772.50	
10AUG17	S	1	PD10NOV17	2949.50	3259.40	USD	-7,747.50	
10AUG17	S	1	PD10NOV17	2948.00	3259.40	USD	-7,785.00	
10AUG17	S	1	PD10NOV17	2936.00	3259.40	USD	-8,085.00	
10AUG17	B	1	PD10NOV17	2924.00	3259.40	USD	8,385.00	
10AUG17	B	1	PD10NOV17	2924.50	3259.40	USD	8,372.50	
10AUG17	B	1	PD10NOV17	2920.00	3259.40	USD	8,485.00	
10AUG17	B	1	PD10NOV17	2915.00	3259.40	USD	8,610.00	
10AUG17	B	1	PD10NOV17	2911.00	3259.40	USD	8,710.00	
10AUG17	S	1	PD10NOV17	2923.50	3259.40	USD	-8,397.50	
10AUG17	B	1	PD10NOV17	2934.50	3259.40	USD	8,122.50	
10AUG17	S	3	PD10NOV17	2938.50	3259.40	USD	-24,067.50	
10AUG17	S	1	PD10NOV17	2937.50	3259.40	USD	-8,047.50	
10AUG17	B	1	PD10NOV17	2930.00	3259.40	USD	8,235.00	
10AUG17	B	2	PD10NOV17	2931.00	3259.40	USD	16,420.00	
10AUG17	B	1	PD10NOV17	2929.50	3259.40	USD	8,247.50	
10AUG17	B	1	PD10NOV17	2928.50	3259.40	USD	8,272.50	
10AUG17	B	2	PD10NOV17	2929.00	3259.40	USD	16,520.00	
10AUG17	B	3	PD10NOV17	2928.00	3259.40	USD	24,855.00	
10AUG17	S	1	PD10NOV17	2938.00	3259.40	USD	-8,035.00	
11AUG17	S	1	PD10NOV17	2909.00	3259.40	USD	-8,760.00	
11AUG17	S	1	PD10NOV17	2902.50	3259.40	USD	-8,922.50	
11AUG17	S	1	PD10NOV17	2907.00	3259.40	USD	-8,810.00	
11AUG17	S	2	PD10NOV17	2908.00	3259.40	USD	-17,570.00	
11AUG17	S	2	PD10NOV17	2907.50	3259.40	USD	-17,595.00	
11AUG17	S	1	PD10NOV17	2910.00	3259.40	USD	-8,735.00	
11AUG17	B	1	PD10NOV17	2896.00	3259.40	USD	9,085.00	
11AUG17	B	1	PD10NOV17	2891.50	3259.40	USD	9,197.50	
11AUG17	B	2	PD10NOV17	2890.00	3259.40	USD	18,470.00	
11AUG17	S	1	PD10NOV17	2912.00	3259.40	USD	-8,685.00	
11AUG17	S	1	PD10NOV17	2913.00	3259.40	USD	-8,660.00	
11AUG17	S	1	PD10NOV17	2911.00	3259.40	USD	-8,710.00	
11AUG17	S	1	PD10NOV17	2914.50	3259.40	USD	-8,622.50	
11AUG17	S	1	PD10NOV17	2911.50	3259.40	USD	-8,697.50	
11AUG17	S	1	PD10NOV17	2915.00	3259.40	USD	-8,610.00	
11AUG17	S	1	PD10NOV17	2919.00	3259.40	USD	-8,510.00	
11AUG17	S	1	PD10NOV17	2916.50	3259.40	USD	-8,572.50	
11AUG17	S	1	PD10NOV17	2918.00	3259.40	USD	-8,535.00	
11AUG17	S	1	PD10NOV17	2913.50	3259.40	USD	-8,647.50	
11AUG17	S	1	PD10NOV17	2915.50	3259.40	USD	-8,597.50	
11AUG17	S	1	PD10NOV17	2916.00	3259.40	USD	-8,585.00	
11AUG17	S	1	PD10NOV17	2917.00	3259.40	USD	-8,560.00	
11AUG17	S	1	PD10NOV17	2920.00	3259.40	USD	-8,485.00	

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11AUG17	S	3	PD10NOV17	2925.00	3259.40	USD	-25,080.00	
11AUG17	S	1	PD10NOV17	2926.50	3259.40	USD	-8,322.50	
11AUG17	S	1	PD10NOV17	2925.50	3259.40	USD	-8,347.50	
11AUG17	S	2	PD10NOV17	2923.00	3259.40	USD	-16,820.00	
11AUG17	B	1	PD10NOV17	2904.50	3259.40	USD	8,872.50	
11AUG17	B	2	PD10NOV17	2904.00	3259.40	USD	17,770.00	
11AUG17	B	2	PD10NOV17	2903.00	3259.40	USD	17,820.00	
11AUG17	B	1	PD10NOV17	2905.00	3259.40	USD	8,860.00	
11AUG17	B	1	PD10NOV17	2909.50	3259.40	USD	8,747.50	
11AUG17	B	2	PD10NOV17	2906.00	3259.40	USD	17,670.00	
11AUG17	B	1	PD10NOV17	2912.50	3259.40	USD	8,672.50	
11AUG17	B	1	PD10NOV17	2914.50	3259.40	USD	8,622.50	
11AUG17	B	1	PD10NOV17	2911.00	3259.40	USD	8,710.00	
11AUG17	B	2	PD10NOV17	2910.50	3259.40	USD	17,445.00	
11AUG17	B	2	PD10NOV17	2905.50	3259.40	USD	17,695.00	
11AUG17	B	1	PD10NOV17	2896.50	3259.40	USD	9,072.50	
11AUG17	B	2	PD10NOV17	2893.50	3259.40	USD	18,295.00	
11AUG17	B	1	PD10NOV17	2892.50	3259.40	USD	9,172.50	
11AUG17	B	1	PD10NOV17	2912.00	3259.40	USD	8,685.00	
11AUG17	B	1	PD10NOV17	2909.00	3259.40	USD	8,760.00	
11AUG17	S	2	PD10NOV17	2908.50	3259.40	USD	-17,545.00	
11AUG17	S	1	PD10NOV17	2906.00	3259.40	USD	-8,835.00	
11AUG17	B	2	PD10NOV17	2898.50	3259.40	USD	18,045.00	
11AUG17	S	2	PD10NOV17	2902.00	3259.40	USD	-17,870.00	
05SEP17	B	2	PD10NOV17	3138.39	3259.40	USD	6,050.50	
14SEP17	B	7	PD10NOV17	3003.85	3259.40	USD	44,721.25	
	NET	0 AVG BGHT 2933.32	SOLD 2926.20				-10,843.25	0.00
14AUG17	B	1	PD14NOV17	2912.50	3257.13	USD	8,615.75	
14AUG17	B	1	PD14NOV17	2918.00	3257.13	USD	8,478.25	
14AUG17	B	1	PD14NOV17	2913.50	3257.13	USD	8,590.75	
14AUG17	S	1	PD14NOV17	2918.00	3257.13	USD	-8,478.25	
14AUG17	S	1	PD14NOV17	2918.50	3257.13	USD	-8,465.75	
14AUG17	B	2	PD14NOV17	2915.50	3257.13	USD	17,081.50	
14AUG17	B	1	PD14NOV17	2906.00	3257.13	USD	8,778.25	
14AUG17	S	1	PD14NOV17	2912.00	3257.13	USD	-8,628.25	
14AUG17	S	1	PD14NOV17	2913.50	3257.13	USD	-8,590.75	
14AUG17	S	1	PD14NOV17	2909.50	3257.13	USD	-8,690.75	
14AUG17	B	1	PD14NOV17	2914.00	3257.13	USD	8,578.25	
14AUG17	S	3	PD14NOV17	2915.50	3257.13	USD	-25,622.25	
14AUG17	S	2	PD14NOV17	2915.00	3257.13	USD	-17,106.50	
14AUG17	B	1	PD14NOV17	2919.50	3257.13	USD	8,440.75	
14AUG17	B	2	PD14NOV17	2923.50	3257.13	USD	16,681.50	
14AUG17	B	2	PD14NOV17	2920.00	3257.13	USD	16,856.50	
05SEP17	S	2	PD14NOV17	3138.55	3257.13	USD	-5,929.00	
	NET	0 AVG BGHT 2916.79	SOLD 2952.09				10,590.00	0.00
16AUG17	S	4	PD16NOV17	2979.50	3253.50	USD	-27,400.00	
16AUG17	S	5	PD16NOV17	2980.00	3253.50	USD	-34,187.50	
16AUG17	S	1	PD16NOV17	2981.50	3253.50	USD	-6,800.00	
16AUG17	S	6	PD16NOV17	2980.50	3253.50	USD	-40,950.00	
16AUG17	S	7	PD16NOV17	2981.00	3253.50	USD	-47,687.50	
16AUG17	S	2	PD16NOV17	2983.00	3253.50	USD	-13,525.00	
16AUG17	S	1	PD16NOV17	2988.50	3253.50	USD	-6,625.00	
16AUG17	S	1	PD16NOV17	2988.00	3253.50	USD	-6,637.50	
16AUG17	S	1	PD16NOV17	2986.50	3253.50	USD	-6,675.00	
16AUG17	S	2	PD16NOV17	2986.00	3253.50	USD	-13,375.00	
16AUG17	S	1	PD16NOV17	2987.00	3253.50	USD	-6,662.50	
16AUG17	S	2	PD16NOV17	2985.50	3253.50	USD	-13,400.00	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP083XA

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
16AUG17	S	3	PD16NOV17	3020.50	3253.50	USD	-17,475.00	
16AUG17	S	1	PD16NOV17	3023.50	3253.50	USD	-5,750.00	
16AUG17	S	3	PD16NOV17	2980.50	3253.50	USD	-20,475.00	
16AUG17	S	2	PD16NOV17	2981.00	3253.50	USD	-13,625.00	
16AUG17	S	1	PD16NOV17	2987.50	3253.50	USD	-6,650.00	
16AUG17	S	1	PD16NOV17	3022.00	3253.50	USD	-5,787.50	
16AUG17	B	1	PD16NOV17	3041.50	3253.50	USD	5,300.00	
16AUG17	B	2	PD16NOV17	3050.50	3253.50	USD	10,150.00	
16AUG17	B	1	PD16NOV17	3050.00	3253.50	USD	5,087.50	
16AUG17	B	1	PD16NOV17	3056.00	3253.50	USD	4,937.50	
16AUG17	B	1	PD16NOV17	3059.00	3253.50	USD	4,862.50	
16AUG17	B	1	PD16NOV17	3068.00	3253.50	USD	4,637.50	
16AUG17	S	1	PD16NOV17	3072.50	3253.50	USD	-4,525.00	
16AUG17	B	1	PD16NOV17	3077.50	3253.50	USD	4,400.00	
16AUG17	B	1	PD16NOV17	3078.00	3253.50	USD	4,387.50	
16AUG17	B	1	PD16NOV17	3076.50	3253.50	USD	4,425.00	
16AUG17	B	1	PD16NOV17	3085.50	3253.50	USD	4,200.00	
16AUG17	B	1	PD16NOV17	3083.00	3253.50	USD	4,262.50	
16AUG17	B	1	PD16NOV17	3095.50	3253.50	USD	3,950.00	
16AUG17	B	1	PD16NOV17	3094.00	3253.50	USD	3,987.50	
16AUG17	B	1	PD16NOV17	3094.50	3253.50	USD	3,975.00	
16AUG17	S	1	PD16NOV17	3103.00	3253.50	USD	-3,762.50	
16AUG17	B	1	PD16NOV17	3121.00	3253.50	USD	3,312.50	
16AUG17	B	1	PD16NOV17	3118.00	3253.50	USD	3,387.50	
16AUG17	B	1	PD16NOV17	3113.00	3253.50	USD	3,512.50	
16AUG17	S	1	PD16NOV17	3110.50	3253.50	USD	-3,575.00	
16AUG17	B	1	PD16NOV17	3117.00	3253.50	USD	3,412.50	
16AUG17	B	2	PD16NOV17	3115.00	3253.50	USD	6,925.00	
16AUG17	B	2	PD16NOV17	3116.00	3253.50	USD	6,875.00	
16AUG17	B	1	PD16NOV17	3108.00	3253.50	USD	3,637.50	
16AUG17	B	1	PD16NOV17	3114.00	3253.50	USD	3,487.50	
16AUG17	S	1	PD16NOV17	3115.50	3253.50	USD	-3,450.00	
16AUG17	S	2	PD16NOV17	3114.50	3253.50	USD	-6,950.00	
16AUG17	S	1	PD16NOV17	3121.00	3253.50	USD	-3,312.50	
16AUG17	S	1	PD16NOV17	3120.50	3253.50	USD	-3,325.00	
16AUG17	B	3	PD16NOV17	3120.00	3253.50	USD	10,012.50	
16AUG17	B	2	PD16NOV17	3121.50	3253.50	USD	6,600.00	
17AUG17	B	2	PD16NOV17	3089.12	3253.50	USD	8,219.00	
17AUG17	B	18	PD16NOV17	3062.45	3253.50	USD	85,972.50	
14SEP17	B	2	PD16NOV17	3002.00	3253.50	USD	12,575.00	
	NET	0AVG BGHT 3079.28	SOLD 3005.36				-96,096.00	0.00
17AUG17	B	2	PD17NOV17	3142.00	3251.00	USD	5,450.00	
17AUG17	S	1	PD17NOV17	3136.50	3251.00	USD	-2,862.50	
17AUG17	S	1	PD17NOV17	3134.00	3251.00	USD	-2,925.00	
17AUG17	S	1	PD17NOV17	3135.50	3251.00	USD	-2,887.50	
17AUG17	S	1	PD17NOV17	3136.00	3251.00	USD	-2,875.00	
17AUG17	S	1	PD17NOV17	3134.50	3251.00	USD	-2,912.50	
17AUG17	B	3	PD17NOV17	3145.00	3251.00	USD	7,950.00	
17AUG17	B	3	PD17NOV17	3144.50	3251.00	USD	7,987.50	
17AUG17	B	1	PD17NOV17	3139.00	3251.00	USD	2,800.00	
17AUG17	B	1	PD17NOV17	3143.00	3251.00	USD	2,700.00	
17AUG17	S	1	PD17NOV17	3127.50	3251.00	USD	-3,087.50	
17AUG17	S	1	PD17NOV17	3119.00	3251.00	USD	-3,300.00	
17AUG17	S	1	PD17NOV17	3112.00	3251.00	USD	-3,475.00	
17AUG17	B	1	PD17NOV17	3108.50	3251.00	USD	3,562.50	
17AUG17	B	1	PD17NOV17	3101.00	3251.00	USD	3,750.00	
17AUG17	B	2	PD17NOV17	3099.00	3251.00	USD	7,600.00	

OPEN POSITION STATEMENT



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SAP083XA

13th October 2017

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London W1J 7NJ UK
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backoffice@sapiencap.com

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
17AUG17	S	2	PD17NOV17	3101.00	3251.00	USD	-7,500.00	
17AUG17	S	1	PD17NOV17	3094.00	3251.00	USD	-3,925.00	
17AUG17	S	1	PD17NOV17	3096.00	3251.00	USD	-3,875.00	
17AUG17	S	1	PD17NOV17	3091.00	3251.00	USD	-4,000.00	
17AUG17	S	1	PD17NOV17	3092.00	3251.00	USD	-3,975.00	
17AUG17	S	1	PD17NOV17	3082.50	3251.00	USD	-4,212.50	
17AUG17	S	1	PD17NOV17	3088.50	3251.00	USD	-4,062.50	
17AUG17	S	2	PD17NOV17	3090.50	3251.00	USD	-8,025.00	
17AUG17	S	1	PD17NOV17	3094.50	3251.00	USD	-3,912.50	
17AUG17	B	1	PD17NOV17	3071.50	3251.00	USD	4,487.50	
17AUG17	B	1	PD17NOV17	3064.00	3251.00	USD	4,675.00	
17AUG17	S	1	PD17NOV17	3062.00	3251.00	USD	-4,725.00	
17AUG17	S	1	PD17NOV17	3066.50	3251.00	USD	-4,612.50	
17AUG17	S	1	PD17NOV17	3067.00	3251.00	USD	-4,600.00	
17AUG17	S	1	PD17NOV17	3064.00	3251.00	USD	-4,675.00	
17AUG17	B	2	PD17NOV17	3054.50	3251.00	USD	9,825.00	
17AUG17	B	1	PD17NOV17	3050.50	3251.00	USD	5,012.50	
17AUG17	S	1	PD17NOV17	3055.00	3251.00	USD	-4,900.00	
17AUG17	B	1	PD17NOV17	3050.50	3251.00	USD	5,012.50	
17AUG17	B	2	PD17NOV17	3056.50	3251.00	USD	9,725.00	
17AUG17	B	1	PD17NOV17	3058.00	3251.00	USD	4,825.00	
18AUG17	S	1	PD17NOV17	3095.00	3251.00	USD	-3,900.00	
18AUG17	S	3	PD17NOV17	3094.50	3251.00	USD	-11,737.50	
18AUG17	S	1	PD17NOV17	3094.00	3251.00	USD	-3,925.00	
18AUG17	S	1	PD17NOV17	3091.50	3251.00	USD	-3,987.50	
18AUG17	S	2	PD17NOV17	3092.50	3251.00	USD	-7,925.00	
18AUG17	B	2	PD17NOV17	3113.50	3251.00	USD	6,875.00	
18AUG17	B	1	PD17NOV17	3113.00	3251.00	USD	3,450.00	
18AUG17	B	1	PD17NOV17	3119.50	3251.00	USD	3,287.50	
18AUG17	B	1	PD17NOV17	3117.50	3251.00	USD	3,337.50	
18AUG17	B	2	PD17NOV17	3118.00	3251.00	USD	6,650.00	
18AUG17	B	1	PD17NOV17	3107.00	3251.00	USD	3,600.00	
18AUG17	S	1	PD17NOV17	3138.00	3251.00	USD	-2,825.00	
18AUG17	S	1	PD17NOV17	3135.00	3251.00	USD	-2,900.00	
18AUG17	S	1	PD17NOV17	3134.50	3251.00	USD	-2,912.50	
18AUG17	B	1	PD17NOV17	3127.50	3251.00	USD	3,087.50	
18AUG17	B	3	PD17NOV17	3119.00	3251.00	USD	9,900.00	
18AUG17	S	1	PD17NOV17	3131.00	3251.00	USD	-3,000.00	
18AUG17	B	1	PD17NOV17	3110.50	3251.00	USD	3,512.50	
18AUG17	S	1	PD17NOV17	3095.50	3251.00	USD	-3,887.50	
18AUG17	B	1	PD17NOV17	3117.50	3251.00	USD	3,337.50	
18AUG17	B	1	PD17NOV17	3126.50	3251.00	USD	3,112.50	
18AUG17	S	1	PD17NOV17	3136.50	3251.00	USD	-2,862.50	
18AUG17	B	1	PD17NOV17	3150.00	3251.00	USD	2,525.00	
18AUG17	S	1	PD17NOV17	3148.00	3251.00	USD	-2,575.00	
18AUG17	B	1	PD17NOV17	3092.00	3251.00	USD	3,975.00	
18AUG17	S	1	PD17NOV17	3123.50	3251.00	USD	-3,187.50	
18AUG17	S	1	PD17NOV17	3098.00	3251.00	USD	-3,825.00	
18AUG17	S	1	PD17NOV17	3134.00	3251.00	USD	-2,925.00	
18AUG17	B	2	PD17NOV17	3136.50	3251.00	USD	5,725.00	
18AUG17	B	1	PD17NOV17	3140.00	3251.00	USD	2,775.00	
18AUG17	B	5	PD17NOV17	3135.00	3251.00	USD	14,500.00	
14SEP17	S	6	PD17NOV17	3002.14	3251.00	USD	-37,329.00	
	NET	0	AVG BGHT 3113.49	SOLD 3091.81			-26,016.50	0.00
22AUG17	S	1	PD22NOV17	3121.50	3248.38	USD	-3,172.00	
22AUG17	S	1	PD22NOV17	3118.50	3248.38	USD	-3,247.00	
22AUG17	S	1	PD22NOV17	3160.00	3248.38	USD	-2,209.50	

OPEN POSITION STATEMENT



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22AUG17	S	1	PD22NOV17		3163.50	3248.38	USD	-2,122.00	
22AUG17	S	1	PD22NOV17		3164.00	3248.38	USD	-2,109.50	
22AUG17	B	3	PD22NOV17		3148.50	3248.38	USD	7,491.00	
22AUG17	B	1	PD22NOV17		3148.00	3248.38	USD	2,509.50	
22AUG17	B	1	PD22NOV17		3145.00	3248.38	USD	2,584.50	
22AUG17	B	1	PD22NOV17		3125.00	3248.38	USD	3,084.50	
22AUG17	B	1	PD22NOV17		3122.00	3248.38	USD	3,159.50	
05SEP17	S	2	PD22NOV17		3137.91	3248.38	USD	-5,523.50	
	NET	0AVG	BGHT 3140.79	SOLD 3143.33				445.50	0.00
23AUG17	S	1	PD24NOV17		3127.50	3247.50	USD	-3,000.00	
23AUG17	S	1	PD24NOV17		3126.00	3247.50	USD	-3,037.50	
23AUG17	S	2	PD24NOV17		3120.50	3247.50	USD	-6,350.00	
23AUG17	B	1	PD24NOV17		3119.00	3247.50	USD	3,212.50	
23AUG17	S	2	PD24NOV17		3133.50	3247.50	USD	-5,700.00	
23AUG17	S	1	PD24NOV17		3141.50	3247.50	USD	-2,650.00	
23AUG17	B	1	PD24NOV17		3136.00	3247.50	USD	2,787.50	
23AUG17	B	1	PD24NOV17		3135.00	3247.50	USD	2,812.50	
23AUG17	B	1	PD24NOV17		3135.50	3247.50	USD	2,800.00	
23AUG17	S	1	PD24NOV17		3120.50	3247.50	USD	-3,175.00	
23AUG17	B	2	PD24NOV17		3123.00	3247.50	USD	6,225.00	
23AUG17	B	1	PD24NOV17		3094.50	3247.50	USD	3,825.00	
23AUG17	B	1	PD24NOV17		3103.00	3247.50	USD	3,612.50	
23AUG17	B	2	PD24NOV17		3102.00	3247.50	USD	7,275.00	
23AUG17	S	1	PD24NOV17		3109.00	3247.50	USD	-3,462.50	
23AUG17	B	2	PD24NOV17		3109.00	3247.50	USD	6,925.00	
23AUG17	S	1	PD24NOV17		3106.50	3247.50	USD	-3,525.00	
24AUG17	B	1	PD24NOV17		3117.00	3247.50	USD	3,262.50	
24AUG17	B	2	PD24NOV17		3114.00	3247.50	USD	6,675.00	
24AUG17	B	1	PD24NOV17		3113.50	3247.50	USD	3,350.00	
24AUG17	B	1	PD24NOV17		3100.50	3247.50	USD	3,675.00	
24AUG17	B	1	PD24NOV17		3103.00	3247.50	USD	3,612.50	
24AUG17	S	1	PD24NOV17		3118.50	3247.50	USD	-3,225.00	
24AUG17	S	1	PD24NOV17		3113.00	3247.50	USD	-3,362.50	
24AUG17	S	1	PD24NOV17		3114.50	3247.50	USD	-3,325.00	
24AUG17	B	1	PD24NOV17		3107.50	3247.50	USD	3,500.00	
24AUG17	B	1	PD24NOV17		3110.00	3247.50	USD	3,437.50	
24AUG17	S	1	PD24NOV17		3106.00	3247.50	USD	-3,537.50	
24AUG17	S	1	PD24NOV17		3107.50	3247.50	USD	-3,500.00	
24AUG17	S	1	PD24NOV17		3110.00	3247.50	USD	-3,437.50	
24AUG17	S	1	PD24NOV17		3109.50	3247.50	USD	-3,450.00	
24AUG17	S	1	PD24NOV17		3105.00	3247.50	USD	-3,562.50	
24AUG17	S	2	PD24NOV17		3104.00	3247.50	USD	-7,175.00	
24AUG17	S	1	PD24NOV17		3115.50	3247.50	USD	-3,300.00	
24AUG17	S	1	PD24NOV17		3116.50	3247.50	USD	-3,275.00	
24AUG17	S	1	PD24NOV17		3117.50	3247.50	USD	-3,250.00	
24AUG17	S	1	PD24NOV17		3123.50	3247.50	USD	-3,100.00	
24AUG17	S	1	PD24NOV17		3125.00	3247.50	USD	-3,062.50	
24AUG17	B	1	PD24NOV17		3129.00	3247.50	USD	2,962.50	
24AUG17	S	1	PD24NOV17		3134.50	3247.50	USD	-2,825.00	
24AUG17	B	1	PD24NOV17		3119.00	3247.50	USD	3,212.50	
24AUG17	B	2	PD24NOV17		3119.50	3247.50	USD	6,400.00	
24AUG17	B	1	PD24NOV17		3110.50	3247.50	USD	3,425.00	
24AUG17	B	1	PD24NOV17		3109.50	3247.50	USD	3,450.00	
24AUG17	B	4	PD24NOV17		3107.00	3247.50	USD	14,050.00	
24AUG17	S	2	PD24NOV17		3111.50	3247.50	USD	-6,800.00	
24AUG17	B	2	PD24NOV17		3113.00	3247.50	USD	6,725.00	
25AUG17	S	2	PD24NOV17		3127.50	3247.50	USD	-6,000.00	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP083XA

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
25AUG17	S	1	PD24NOV17	3124.50	3247.50	USD	-3,075.00	
25AUG17	S	1	PD24NOV17	3128.00	3247.50	USD	-2,987.50	
25AUG17	S	1	PD24NOV17	3091.50	3247.50	USD	-3,900.00	
25AUG17	B	1	PD24NOV17	3068.50	3247.50	USD	4,475.00	
25AUG17	S	1	PD24NOV17	3062.50	3247.50	USD	-4,625.00	
25AUG17	B	1	PD24NOV17	3061.50	3247.50	USD	4,650.00	
25AUG17	B	1	PD24NOV17	3059.50	3247.50	USD	4,700.00	
25AUG17	B	1	PD24NOV17	3059.00	3247.50	USD	4,712.50	
25AUG17	S	1	PD24NOV17	3064.00	3247.50	USD	-4,587.50	
25AUG17	B	1	PD24NOV17	3069.50	3247.50	USD	4,450.00	
25AUG17	S	2	PD24NOV17	3067.00	3247.50	USD	-9,025.00	
	NET	0AVG	BGHT 3106.74	SOLD 3112.05			4,912.50	0.00
29AUG17	B	1	PD29NOV17	3107.00	3246.75	USD	3,493.75	
29AUG17	S	1	PD29NOV17	3113.00	3246.75	USD	-3,343.75	
29AUG17	S	1	PD29NOV17	3090.00	3246.75	USD	-3,918.75	
29AUG17	S	1	PD29NOV17	3097.50	3246.75	USD	-3,731.25	
29AUG17	S	1	PD29NOV17	3105.00	3246.75	USD	-3,543.75	
29AUG17	S	1	PD29NOV17	3104.00	3246.75	USD	-3,568.75	
29AUG17	S	1	PD29NOV17	3100.00	3246.75	USD	-3,668.75	
29AUG17	S	1	PD29NOV17	3112.50	3246.75	USD	-3,356.25	
29AUG17	B	1	PD29NOV17	3097.00	3246.75	USD	3,743.75	
29AUG17	B	1	PD29NOV17	3092.00	3246.75	USD	3,868.75	
29AUG17	B	1	PD29NOV17	3091.00	3246.75	USD	3,893.75	
29AUG17	B	1	PD29NOV17	3091.50	3246.75	USD	3,881.25	
29AUG17	B	1	PD29NOV17	3093.50	3246.75	USD	3,831.25	
29AUG17	B	1	PD29NOV17	3094.50	3246.75	USD	3,806.25	
29AUG17	B	1	PD29NOV17	3099.00	3246.75	USD	3,693.75	
29AUG17	S	2	PD29NOV17	3108.50	3246.75	USD	-6,912.50	
29AUG17	B	1	PD29NOV17	3118.00	3246.75	USD	3,218.75	
29AUG17	B	1	PD29NOV17	3111.00	3246.75	USD	3,393.75	
29AUG17	B	1	PD29NOV17	3120.50	3246.75	USD	3,156.25	
29AUG17	B	1	PD29NOV17	3113.50	3246.75	USD	3,331.25	
29AUG17	S	2	PD29NOV17	3121.85	3246.75	USD	-6,245.00	
29AUG17	S	1	PD29NOV17	3109.80	3246.75	USD	-3,423.75	
	NET	0AVG	BGHT 3102.38	SOLD 3107.71			1,600.00	0.00
30AUG17	S	1	PD30NOV17	3128.50	3246.50	USD	-2,950.00	
30AUG17	S	1	PD30NOV17	3127.50	3246.50	USD	-2,975.00	
30AUG17	S	1	PD30NOV17	3139.50	3246.50	USD	-2,675.00	
30AUG17	S	1	PD30NOV17	3138.00	3246.50	USD	-2,712.50	
30AUG17	S	1	PD30NOV17	3136.50	3246.50	USD	-2,750.00	
30AUG17	B	1	PD30NOV17	3138.50	3246.50	USD	2,700.00	
30AUG17	B	1	PD30NOV17	3136.50	3246.50	USD	2,750.00	
30AUG17	B	1	PD30NOV17	3128.50	3246.50	USD	2,950.00	
30AUG17	B	1	PD30NOV17	3130.00	3246.50	USD	2,912.50	
30AUG17	B	1	PD30NOV17	3125.50	3246.50	USD	3,025.00	
30AUG17	B	1	PD30NOV17	3127.00	3246.50	USD	2,987.50	
30AUG17	B	1	PD30NOV17	3122.50	3246.50	USD	3,100.00	
30AUG17	B	1	PD30NOV17	3124.00	3246.50	USD	3,062.50	
30AUG17	B	2	PD30NOV17	3123.50	3246.50	USD	6,150.00	
30AUG17	S	1	PD30NOV17	3128.00	3246.50	USD	-2,962.50	
30AUG17	B	2	PD30NOV17	3124.50	3246.50	USD	6,100.00	
30AUG17	S	1	PD30NOV17	3125.50	3246.50	USD	-3,025.00	
30AUG17	S	1	PD30NOV17	3124.50	3246.50	USD	-3,050.00	
30AUG17	S	1	PD30NOV17	3121.00	3246.50	USD	-3,137.50	
30AUG17	S	1	PD30NOV17	3123.50	3246.50	USD	-3,075.00	
30AUG17	S	1	PD30NOV17	3103.00	3246.50	USD	-3,587.50	
30AUG17	S	1	PD30NOV17	3104.00	3246.50	USD	-3,562.50	

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
30AUG17	S	1	PD30NOV17	3108.00	3246.50	USD	-3,462.50	
30AUG17	S	1	PD30NOV17	3111.00	3246.50	USD	-3,387.50	
30AUG17	S	1	PD30NOV17	3110.00	3246.50	USD	-3,412.50	
30AUG17	B	2	PD30NOV17	3117.00	3246.50	USD	6,475.00	
30AUG17	B	1	PD30NOV17	3101.00	3246.50	USD	3,637.50	
30AUG17	B	1	PD30NOV17	3099.00	3246.50	USD	3,687.50	
30AUG17	S	1	PD30NOV17	3095.50	3246.50	USD	-3,775.00	
30AUG17	B	1	PD30NOV17	3093.50	3246.50	USD	3,825.00	
30AUG17	B	1	PD30NOV17	3094.50	3246.50	USD	3,800.00	
30AUG17	B	1	PD30NOV17	3094.00	3246.50	USD	3,812.50	
30AUG17	S	4	PD30NOV17	3125.35	3246.50	USD	-12,115.00	
30AUG17	S	2	PD30NOV17	3132.35	3246.50	USD	-5,707.50	
30AUG17	S	6	PD30NOV17	3123.10	3246.50	USD	-18,510.00	
30AUG17	B	1	PD30NOV17	3092.50	3246.50	USD	3,850.00	
30AUG17	S	2	PD30NOV17	3094.80	3246.50	USD	-7,585.00	
30AUG17	B	1	PD30NOV17	3083.50	3246.50	USD	4,075.00	
30AUG17	B	1	PD30NOV17	3083.50	3246.50	USD	4,075.00	
31AUG17	S	1	PD30NOV17	3110.00	3246.50	USD	-3,412.50	
31AUG17	B	2	PD30NOV17	3100.00	3246.50	USD	7,325.00	
31AUG17	B	3	PD30NOV17	3100.50	3246.50	USD	10,950.00	
31AUG17	B	1	PD30NOV17	3097.50	3246.50	USD	3,725.00	
31AUG17	S	1	PD30NOV17	3121.50	3246.50	USD	-3,125.00	
31AUG17	S	1	PD30NOV17	3116.50	3246.50	USD	-3,250.00	
31AUG17	S	1	PD30NOV17	3117.50	3246.50	USD	-3,225.00	
31AUG17	S	1	PD30NOV17	3119.00	3246.50	USD	-3,187.50	
31AUG17	S	1	PD30NOV17	3120.50	3246.50	USD	-3,150.00	
31AUG17	S	2	PD30NOV17	3124.50	3246.50	USD	-6,100.00	
31AUG17	S	2	PD30NOV17	3129.50	3246.50	USD	-5,850.00	
31AUG17	S	2	PD30NOV17	3125.00	3246.50	USD	-6,075.00	
31AUG17	S	1	PD30NOV17	3126.50	3246.50	USD	-3,000.00	
31AUG17	S	2	PD30NOV17	3127.00	3246.50	USD	-5,975.00	
31AUG17	S	2	PD30NOV17	3128.00	3246.50	USD	-5,925.00	
31AUG17	S	1	PD30NOV17	3127.50	3246.50	USD	-2,975.00	
31AUG17	S	3	PD30NOV17	3126.00	3246.50	USD	-9,037.50	
31AUG17	S	2	PD30NOV17	3128.50	3246.50	USD	-5,900.00	
31AUG17	S	1	PD30NOV17	3125.50	3246.50	USD	-3,025.00	
31AUG17	S	1	PD30NOV17	3135.00	3246.50	USD	-2,787.50	
31AUG17	S	3	PD30NOV17	3142.00	3246.50	USD	-7,837.50	
31AUG17	S	2	PD30NOV17	3136.00	3246.50	USD	-5,525.00	
31AUG17	S	1	PD30NOV17	3136.50	3246.50	USD	-2,750.00	
31AUG17	S	1	PD30NOV17	3141.50	3246.50	USD	-2,625.00	
31AUG17	B	1	PD30NOV17	3123.50	3246.50	USD	3,075.00	
31AUG17	B	1	PD30NOV17	3137.50	3246.50	USD	2,725.00	
31AUG17	B	1	PD30NOV17	3142.50	3246.50	USD	2,600.00	
31AUG17	B	1	PD30NOV17	3136.50	3246.50	USD	2,750.00	
31AUG17	B	2	PD30NOV17	3138.00	3246.50	USD	5,425.00	
31AUG17	B	3	PD30NOV17	3140.00	3246.50	USD	7,987.50	
31AUG17	B	1	PD30NOV17	3155.00	3246.50	USD	2,287.50	
31AUG17	B	1	PD30NOV17	3154.00	3246.50	USD	2,312.50	
31AUG17	S	1	PD30NOV17	3128.85	3246.50	USD	-2,941.25	
31AUG17	S	2	PD30NOV17	3115.35	3246.50	USD	-6,557.50	
31AUG17	B	2	PD30NOV17	3159.50	3246.50	USD	4,350.00	
31AUG17	B	4	PD30NOV17	3160.00	3246.50	USD	8,650.00	
31AUG17	B	2	PD30NOV17	3159.00	3246.50	USD	4,375.00	
31AUG17	B	1	PD30NOV17	3161.50	3246.50	USD	2,125.00	
31AUG17	B	1	PD30NOV17	3159.00	3246.50	USD	2,187.50	
14SEP17	B	16	PD30NOV17	3004.00	3246.50	USD	97,000.00	

OPEN POSITION STATEMENT



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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
	NET	0 AVG BGHT 3097.07	SOLD 3124.25				44,171.25	0.00
01SEP17	B	1 PD01DEC17		3144.00	3246.25	USD	2,556.25	
01SEP17	S	1 PD01DEC17		3143.00	3246.25	USD	-2,581.25	
01SEP17	S	1 PD01DEC17		3163.00	3246.25	USD	-2,081.25	
01SEP17	S	1 PD01DEC17		3168.00	3246.25	USD	-1,956.25	
01SEP17	B	1 PD01DEC17		3153.50	3246.25	USD	2,318.75	
01SEP17	B	1 PD01DEC17		3157.00	3246.25	USD	2,231.25	
01SEP17	S	1 PD01DEC17		3167.50	3246.25	USD	-1,968.75	
01SEP17	S	1 PD01DEC17		3174.00	3246.25	USD	-1,806.25	
01SEP17	S	1 PD01DEC17		3178.50	3246.25	USD	-1,693.75	
01SEP17	B	1 PD01DEC17		3180.00	3246.25	USD	1,656.25	
01SEP17	S	1 PD01DEC17		3180.00	3246.25	USD	-1,656.25	
01SEP17	S	1 PD01DEC17		3182.50	3246.25	USD	-1,593.75	
01SEP17	S	1 PD01DEC17		3185.00	3246.25	USD	-1,531.25	
01SEP17	S	1 PD01DEC17		3184.50	3246.25	USD	-1,543.75	
01SEP17	S	1 PD01DEC17		3186.00	3246.25	USD	-1,506.25	
01SEP17	S	1 PD01DEC17		3187.50	3246.25	USD	-1,468.75	
01SEP17	B	2 PD01DEC17		3190.00	3246.25	USD	2,812.50	
01SEP17	B	4 PD01DEC17		3185.20	3246.25	USD	6,105.00	
01SEP17	B	1 PD01DEC17		3211.00	3246.25	USD	881.25	
14SEP17	B	1 PD01DEC17		3004.14	3246.25	USD	6,052.75	
	NET	0 AVG BGHT 3164.20	SOLD 3174.96				3,226.50	0.00
04SEP17	B	1 PD04DEC17		3199.50	3246.00	USD	1,162.50	
04SEP17	B	1 PD04DEC17		3198.50	3246.00	USD	1,187.50	
04SEP17	S	1 PD04DEC17		3196.50	3246.00	USD	-1,237.50	
04SEP17	B	1 PD04DEC17		3187.50	3246.00	USD	1,462.50	
04SEP17	B	1 PD04DEC17		3187.00	3246.00	USD	1,475.00	
04SEP17	B	2 PD04DEC17		3185.00	3246.00	USD	3,050.00	
04SEP17	B	1 PD04DEC17		3179.50	3246.00	USD	1,662.50	
04SEP17	B	1 PD04DEC17		3176.50	3246.00	USD	1,737.50	
04SEP17	S	1 PD04DEC17		3182.50	3246.00	USD	-1,587.50	
04SEP17	S	1 PD04DEC17		3184.50	3246.00	USD	-1,537.50	
04SEP17	S	1 PD04DEC17		3192.50	3246.00	USD	-1,337.50	
04SEP17	S	1 PD04DEC17		3203.00	3246.00	USD	-1,075.00	
04SEP17	S	3 PD04DEC17		3194.00	3246.00	USD	-3,900.00	
04SEP17	S	1 PD04DEC17		3185.00	3246.00	USD	-1,525.00	
04SEP17	S	2 PD04DEC17		3191.50	3246.00	USD	-2,725.00	
04SEP17	B	1 PD04DEC17		3194.00	3246.00	USD	1,300.00	
04SEP17	S	1 PD04DEC17		3198.00	3246.00	USD	-1,200.00	
04SEP17	S	1 PD04DEC17		3200.00	3246.00	USD	-1,150.00	
04SEP17	S	1 PD04DEC17		3212.00	3246.00	USD	-850.00	
04SEP17	S	1 PD04DEC17		3207.50	3246.00	USD	-962.50	
04SEP17	B	1 PD04DEC17		3205.50	3246.00	USD	1,012.50	
04SEP17	B	1 PD04DEC17		3202.50	3246.00	USD	1,087.50	
04SEP17	B	1 PD04DEC17		3201.50	3246.00	USD	1,112.50	
04SEP17	B	1 PD04DEC17		3201.00	3246.00	USD	1,125.00	
04SEP17	B	1 PD04DEC17		3204.00	3246.00	USD	1,050.00	
04SEP17	B	2 PD04DEC17		3209.00	3246.00	USD	1,850.00	
05SEP17	S	1 PD04DEC17		3139.69	3246.00	USD	-2,657.75	
	NET	0 AVG BGHT 3195.31	SOLD 3191.64				-1,470.25	0.00
05SEP17	B	1 PD05DEC17		3193.00	3245.75	USD	1,318.75	
05SEP17	B	1 PD05DEC17		3194.50	3245.75	USD	1,281.25	
05SEP17	B	2 PD05DEC17		3194.00	3245.75	USD	2,587.50	
05SEP17	B	1 PD05DEC17		3192.00	3245.75	USD	1,343.75	
05SEP17	B	2 PD05DEC17		3196.50	3245.75	USD	2,462.50	
05SEP17	B	1 PD05DEC17		3186.00	3245.75	USD	1,493.75	

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05SEP17	B	2	PD05DEC17	3186.50	3245.75	USD	2,962.50	
05SEP17	B	1	PD05DEC17	3189.50	3245.75	USD	1,406.25	
05SEP17	S	1	PD05DEC17	3197.00	3245.75	USD	-1,218.75	
05SEP17	S	1	PD05DEC17	3196.50	3245.75	USD	-1,231.25	
05SEP17	S	1	PD05DEC17	3201.50	3245.75	USD	-1,106.25	
05SEP17	S	1	PD05DEC17	3202.00	3245.75	USD	-1,093.75	
05SEP17	B	1	PD05DEC17	3189.00	3245.75	USD	1,418.75	
05SEP17	B	1	PD05DEC17	3177.50	3245.75	USD	1,706.25	
05SEP17	B	1	PD05DEC17	3174.00	3245.75	USD	1,793.75	
05SEP17	B	2	PD05DEC17	3166.50	3245.75	USD	3,962.50	
05SEP17	B	1	PD05DEC17	3151.00	3245.75	USD	2,368.75	
05SEP17	S	1	PD05DEC17	3145.00	3245.75	USD	-2,518.75	
05SEP17	S	1	PD05DEC17	3142.00	3245.75	USD	-2,593.75	
05SEP17	S	1	PD05DEC17	3144.50	3245.75	USD	-2,531.25	
05SEP17	B	1	PD05DEC17	3143.50	3245.75	USD	2,556.25	
05SEP17	B	1	PD05DEC17	3142.00	3245.75	USD	2,593.75	
05SEP17	B	2	PD05DEC17	3138.50	3245.75	USD	5,362.50	
05SEP17	B	1	PD05DEC17	3133.50	3245.75	USD	2,806.25	
05SEP17	B	1	PD05DEC17	3118.00	3245.75	USD	3,193.75	
05SEP17	B	1	PD05DEC17	3111.00	3245.75	USD	3,368.75	
05SEP17	B	1	PD05DEC17	3106.00	3245.75	USD	3,493.75	
05SEP17	S	3	PD05DEC17	3100.00	3245.75	USD	-10,931.25	
05SEP17	B	1	PD05DEC17	3132.50	3245.75	USD	2,831.25	
05SEP17	B	1	PD05DEC17	3173.50	3245.75	USD	1,806.25	
14SEP17	S	17	PD05DEC17	3004.51	3245.75	USD	-102,527.00	
	NET	0 AVG BGHT 3165.57	SOLD 3059.45				-71,633.25	0.00
06SEP17	S	1	PD06DEC17	3122.00	3245.50	USD	-3,087.50	
06SEP17	B	1	PD06DEC17	3100.50	3245.50	USD	3,625.00	
06SEP17	B	2	PD06DEC17	3084.00	3245.50	USD	8,075.00	
06SEP17	B	2	PD06DEC17	3086.50	3245.50	USD	7,950.00	
06SEP17	B	1	PD06DEC17	3085.00	3245.50	USD	4,012.50	
06SEP17	B	2	PD06DEC17	3074.00	3245.50	USD	8,575.00	
06SEP17	B	1	PD06DEC17	3075.00	3245.50	USD	4,262.50	
06SEP17	B	1	PD06DEC17	3083.50	3245.50	USD	4,050.00	
06SEP17	B	2	PD06DEC17	3079.00	3245.50	USD	8,325.00	
06SEP17	S	1	PD06DEC17	3087.00	3245.50	USD	-3,962.50	
06SEP17	S	4	PD06DEC17	3089.00	3245.50	USD	-15,650.00	
06SEP17	S	1	PD06DEC17	3092.50	3245.50	USD	-3,825.00	
06SEP17	S	1	PD06DEC17	3095.50	3245.50	USD	-3,750.00	
06SEP17	B	1	PD06DEC17	3091.00	3245.50	USD	3,862.50	
06SEP17	B	1	PD06DEC17	3092.00	3245.50	USD	3,837.50	
06SEP17	S	1	PD06DEC17	3096.50	3245.50	USD	-3,725.00	
06SEP17	S	1	PD06DEC17	3098.00	3245.50	USD	-3,687.50	
06SEP17	S	1	PD06DEC17	3099.50	3245.50	USD	-3,650.00	
06SEP17	S	1	PD06DEC17	3104.00	3245.50	USD	-3,537.50	
06SEP17	S	1	PD06DEC17	3105.50	3245.50	USD	-3,500.00	
06SEP17	S	2	PD06DEC17	3106.50	3245.50	USD	-6,950.00	
14SEP17	B	1	PD06DEC17	3004.86	3245.50	USD	6,016.00	
	NET	0 AVG BGHT 3078.59	SOLD 3097.97				7,266.00	0.00
07SEP17	B	1	PD07DEC17	3083.00	3245.25	USD	4,056.25	
07SEP17	B	1	PD07DEC17	3084.00	3245.25	USD	4,031.25	
07SEP17	B	1	PD07DEC17	3081.00	3245.25	USD	4,106.25	
07SEP17	B	1	PD07DEC17	3077.00	3245.25	USD	4,206.25	
07SEP17	B	1	PD07DEC17	3079.50	3245.25	USD	4,143.75	
07SEP17	B	1	PD07DEC17	3078.50	3245.25	USD	4,168.75	
07SEP17	B	1	PD07DEC17	3077.50	3245.25	USD	4,193.75	
07SEP17	S	1	PD07DEC17	3078.00	3245.25	USD	-4,181.25	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP083XA

13th October 2017

Sapien Capital Limited
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backoffice@sapiencap.com

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>								
07SEP17	S	1	PD07DEC17		3091.00	3245.25	USD	-3,856.25	
07SEP17	S	2	PD07DEC17		3099.00	3245.25	USD	-7,312.50	
07SEP17	S	1	PD07DEC17		3100.50	3245.25	USD	-3,618.75	
07SEP17	S	1	PD07DEC17		3077.00	3245.25	USD	-4,206.25	
07SEP17	S	3	PD07DEC17		3099.50	3245.25	USD	-10,931.25	
07SEP17	S	1	PD07DEC17		3098.50	3245.25	USD	-3,668.75	
07SEP17	S	2	PD07DEC17		3097.50	3245.25	USD	-7,387.50	
07SEP17	S	1	PD07DEC17		3100.00	3245.25	USD	-3,631.25	
07SEP17	S	1	PD07DEC17		3123.00	3245.25	USD	-3,056.25	
07SEP17	S	1	PD07DEC17		3120.00	3245.25	USD	-3,131.25	
07SEP17	S	2	PD07DEC17		3120.50	3245.25	USD	-6,237.50	
07SEP17	S	1	PD07DEC17		3115.00	3245.25	USD	-3,256.25	
07SEP17	S	1	PD07DEC17		3112.00	3245.25	USD	-3,331.25	
07SEP17	S	1	PD07DEC17		3117.00	3245.25	USD	-3,206.25	
07SEP17	S	2	PD07DEC17		3119.00	3245.25	USD	-6,312.50	
07SEP17	S	1	PD07DEC17		3141.00	3245.25	USD	-2,606.25	
07SEP17	B	1	PD07DEC17		3125.00	3245.25	USD	3,006.25	
07SEP17	B	5	PD07DEC17		3126.00	3245.25	USD	14,906.25	
07SEP17	B	1	PD07DEC17		3125.50	3245.25	USD	2,993.75	
07SEP17	B	1	PD07DEC17		3130.50	3245.25	USD	2,868.75	
07SEP17	B	1	PD07DEC17		3134.00	3245.25	USD	2,781.25	
07SEP17	B	7	PD07DEC17		3135.00	3245.25	USD	19,293.75	
07SEP17	S	1	PD07DEC17		3137.00	3245.25	USD	-2,706.25	
07SEP17	S	1	PD07DEC17		3135.00	3245.25	USD	-2,756.25	
07SEP17	B	1	PD07DEC17		3130.50	3245.25	USD	2,868.75	
07SEP17	B	1	PD07DEC17		3134.00	3245.25	USD	2,781.25	
07SEP17	B	1	PD07DEC17		3134.50	3245.25	USD	2,768.75	
07SEP17	B	1	PD07DEC17		3136.00	3245.25	USD	2,731.25	
14SEP17	S	2	PD07DEC17		3004.80	3245.25	USD	-12,022.50	
	NET	0 AVG BGHT 3117.98	SOLD 3100.93					-11,510.00	0.00
08SEP17	B	1	PD08DEC17		3104.00	3245.00	USD	3,525.00	
08SEP17	B	1	PD08DEC17		3101.00	3245.00	USD	3,600.00	
08SEP17	B	2	PD08DEC17		3092.00	3245.00	USD	7,650.00	
08SEP17	B	1	PD08DEC17		3089.50	3245.00	USD	3,887.50	
08SEP17	B	2	PD08DEC17		3088.00	3245.00	USD	7,850.00	
08SEP17	B	1	PD08DEC17		3090.00	3245.00	USD	3,875.00	
08SEP17	B	1	PD08DEC17		3090.50	3245.00	USD	3,862.50	
08SEP17	B	1	PD08DEC17		3089.00	3245.00	USD	3,900.00	
08SEP17	S	1	PD08DEC17		3093.00	3245.00	USD	-3,800.00	
08SEP17	S	1	PD08DEC17		3090.50	3245.00	USD	-3,862.50	
08SEP17	S	1	PD08DEC17		3092.50	3245.00	USD	-3,812.50	
08SEP17	B	1	PD08DEC17		3083.00	3245.00	USD	4,050.00	
08SEP17	S	1	PD08DEC17		3094.00	3245.00	USD	-3,775.00	
08SEP17	B	3	PD08DEC17		3087.00	3245.00	USD	11,850.00	
08SEP17	S	1	PD08DEC17		3092.00	3245.00	USD	-3,825.00	
08SEP17	S	2	PD08DEC17		3093.50	3245.00	USD	-7,575.00	
08SEP17	B	1	PD08DEC17		3070.00	3245.00	USD	4,375.00	
08SEP17	B	1	PD08DEC17		3071.00	3245.00	USD	4,350.00	
08SEP17	S	1	PD08DEC17		3063.50	3245.00	USD	-4,537.50	
08SEP17	S	1	PD08DEC17		3066.00	3245.00	USD	-4,475.00	
08SEP17	B	1	PD08DEC17		3057.00	3245.00	USD	4,700.00	
08SEP17	S	1	PD08DEC17		3047.00	3245.00	USD	-4,950.00	
08SEP17	S	1	PD08DEC17		3065.50	3245.00	USD	-4,487.50	
14SEP17	S	6	PD08DEC17		3005.14	3245.00	USD	-35,979.00	
	NET	0 AVG BGHT 3086.24	SOLD 3054.23					-13,604.00	0.00
11SEP17	S	1	PD11DEC17		3058.50	3244.75	USD	-4,656.25	
11SEP17	S	1	PD11DEC17		3064.50	3244.75	USD	-4,506.25	

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
11SEP17	S	1	PD11DEC17	3067.00	3244.75	USD	-4,443.75	
11SEP17	S	1	PD11DEC17	3069.50	3244.75	USD	-4,381.25	
11SEP17	S	1	PD11DEC17	3069.00	3244.75	USD	-4,393.75	
11SEP17	S	1	PD11DEC17	3072.00	3244.75	USD	-4,318.75	
11SEP17	B	1	PD11DEC17	3070.50	3244.75	USD	4,356.25	
11SEP17	S	1	PD11DEC17	3075.00	3244.75	USD	-4,243.75	
11SEP17	S	3	PD11DEC17	3073.00	3244.75	USD	-12,881.25	
11SEP17	S	1	PD11DEC17	3074.00	3244.75	USD	-4,268.75	
11SEP17	S	1	PD11DEC17	3076.00	3244.75	USD	-4,218.75	
11SEP17	S	2	PD11DEC17	3072.50	3244.75	USD	-8,612.50	
11SEP17	S	2	PD11DEC17	3073.50	3244.75	USD	-8,562.50	
11SEP17	S	1	PD11DEC17	3077.50	3244.75	USD	-4,181.25	
11SEP17	S	2	PD11DEC17	3078.50	3244.75	USD	-8,312.50	
11SEP17	S	2	PD11DEC17	3077.00	3244.75	USD	-8,387.50	
11SEP17	B	1	PD11DEC17	3076.50	3244.75	USD	4,206.25	
11SEP17	S	1	PD11DEC17	3079.00	3244.75	USD	-4,143.75	
11SEP17	S	1	PD11DEC17	3074.50	3244.75	USD	-4,256.25	
11SEP17	B	1	PD11DEC17	3083.00	3244.75	USD	4,043.75	
11SEP17	S	2	PD11DEC17	3090.00	3244.75	USD	-7,737.50	
11SEP17	S	1	PD11DEC17	3087.00	3244.75	USD	-3,943.75	
11SEP17	S	3	PD11DEC17	3088.00	3244.75	USD	-11,756.25	
11SEP17	S	1	PD11DEC17	3089.00	3244.75	USD	-3,893.75	
11SEP17	S	1	PD11DEC17	3091.50	3244.75	USD	-3,831.25	
11SEP17	S	1	PD11DEC17	3092.50	3244.75	USD	-3,806.25	
11SEP17	S	1	PD11DEC17	3103.00	3244.75	USD	-3,543.75	
11SEP17	B	1	PD11DEC17	3094.50	3244.75	USD	3,756.25	
11SEP17	B	1	PD11DEC17	3093.00	3244.75	USD	3,793.75	
11SEP17	S	1	PD11DEC17	3097.00	3244.75	USD	-3,693.75	
11SEP17	S	2	PD11DEC17	3093.50	3244.75	USD	-7,562.50	
11SEP17	B	1	PD11DEC17	3075.00	3244.75	USD	4,243.75	
11SEP17	S	1	PD11DEC17	3094.50	3244.75	USD	-3,756.25	
11SEP17	B	1	PD11DEC17	3099.50	3244.75	USD	3,631.25	
11SEP17	S	1	PD11DEC17	3097.50	3244.75	USD	-3,681.25	
11SEP17	B	2	PD11DEC17	3074.00	3244.75	USD	8,537.50	
11SEP17	B	2	PD11DEC17	3071.00	3244.75	USD	8,687.50	
11SEP17	B	1	PD11DEC17	3059.50	3244.75	USD	4,631.25	
11SEP17	B	1	PD11DEC17	3058.50	3244.75	USD	4,656.25	
11SEP17	B	2	PD11DEC17	3057.00	3244.75	USD	9,387.50	
11SEP17	B	1	PD11DEC17	3065.00	3244.75	USD	4,493.75	
11SEP17	B	1	PD11DEC17	3062.50	3244.75	USD	4,556.25	
11SEP17	B	1	PD11DEC17	3060.50	3244.75	USD	4,606.25	
11SEP17	B	1	PD11DEC17	3058.00	3244.75	USD	4,668.75	
11SEP17	B	1	PD11DEC17	3053.00	3244.75	USD	4,793.75	
11SEP17	B	1	PD11DEC17	3051.00	3244.75	USD	4,843.75	
11SEP17	B	2	PD11DEC17	3059.00	3244.75	USD	9,287.50	
11SEP17	B	1	PD11DEC17	3060.00	3244.75	USD	4,618.75	
11SEP17	S	1	PD11DEC17	3080.50	3244.75	USD	-4,106.25	
11SEP17	S	1	PD11DEC17	3079.50	3244.75	USD	-4,131.25	
11SEP17	B	1	PD11DEC17	3085.00	3244.75	USD	3,993.75	
11SEP17	B	1	PD11DEC17	3085.50	3244.75	USD	3,981.25	
11SEP17	B	1	PD11DEC17	3086.00	3244.75	USD	3,968.75	
14SEP17	B	12	PD11DEC17	3005.77	3244.75	USD	71,694.00	
14SEP17	B	1	PD11DEC17	3005.57	3244.75	USD	5,979.50	
	NET	0AVG BGHT 3049.33	SOLD 3080.54				31,204.75	0.00
12SEP17	S	1	PD12DEC17	3084.50	3244.50	USD	-4,000.00	
12SEP17	S	2	PD12DEC17	3084.00	3244.50	USD	-8,025.00	
12SEP17	S	2	PD12DEC17	3083.00	3244.50	USD	-8,075.00	

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12SEP17	S	1	PD12DEC17	3083.50	3244.50	USD	-4,025.00	
12SEP17	S	1	PD12DEC17	3082.00	3244.50	USD	-4,062.50	
12SEP17	S	1	PD12DEC17	3080.00	3244.50	USD	-4,112.50	
12SEP17	S	1	PD12DEC17	3081.00	3244.50	USD	-4,087.50	
12SEP17	S	4	PD12DEC17	3085.00	3244.50	USD	-15,950.00	
12SEP17	S	1	PD12DEC17	3086.50	3244.50	USD	-3,950.00	
12SEP17	B	1	PD12DEC17	3088.50	3244.50	USD	3,900.00	
12SEP17	B	1	PD12DEC17	3089.00	3244.50	USD	3,887.50	
12SEP17	B	1	PD12DEC17	3093.00	3244.50	USD	3,787.50	
12SEP17	B	2	PD12DEC17	3093.50	3244.50	USD	7,550.00	
12SEP17	B	2	PD12DEC17	3090.00	3244.50	USD	7,725.00	
12SEP17	S	1	PD12DEC17	3092.50	3244.50	USD	-3,800.00	
12SEP17	B	6	PD12DEC17	3092.00	3244.50	USD	22,875.00	
12SEP17	S	2	PD12DEC17	3093.00	3244.50	USD	-7,575.00	
12SEP17	S	1	PD12DEC17	3089.00	3244.50	USD	-3,887.50	
12SEP17	S	3	PD12DEC17	3090.50	3244.50	USD	-11,550.00	
12SEP17	S	1	PD12DEC17	3078.00	3244.50	USD	-4,162.50	
12SEP17	B	1	PD12DEC17	3068.00	3244.50	USD	4,412.50	
12SEP17	B	1	PD12DEC17	3070.00	3244.50	USD	4,362.50	
12SEP17	S	2	PD12DEC17	3061.50	3244.50	USD	-9,150.00	
12SEP17	S	1	PD12DEC17	3065.50	3244.50	USD	-4,475.00	
12SEP17	S	1	PD12DEC17	3084.00	3244.50	USD	-4,012.50	
12SEP17	S	1	PD12DEC17	3082.00	3244.50	USD	-4,062.50	
12SEP17	S	1	PD12DEC17	3062.00	3244.50	USD	-4,562.50	
12SEP17	S	1	PD12DEC17	3067.00	3244.50	USD	-4,437.50	
12SEP17	S	1	PD12DEC17	3066.50	3244.50	USD	-4,450.00	
12SEP17	B	4	PD12DEC17	3054.50	3244.50	USD	19,000.00	
12SEP17	B	2	PD12DEC17	3055.00	3244.50	USD	9,475.00	
12SEP17	S	1	PD12DEC17	3055.50	3244.50	USD	-4,725.00	
12SEP17	S	2	PD12DEC17	3060.50	3244.50	USD	-9,200.00	
12SEP17	B	1	PD12DEC17	3071.50	3244.50	USD	4,325.00	
12SEP17	B	2	PD12DEC17	3075.00	3244.50	USD	8,475.00	
12SEP17	B	1	PD12DEC17	3073.00	3244.50	USD	4,287.50	
12SEP17	B	3	PD12DEC17	3064.50	3244.50	USD	13,500.00	
12SEP17	B	1	PD12DEC17	3062.00	3244.50	USD	4,562.50	
12SEP17	B	3	PD12DEC17	3063.00	3244.50	USD	13,612.50	
12SEP17	B	2	PD12DEC17	3061.00	3244.50	USD	9,175.00	
12SEP17	B	1	PD12DEC17	3059.50	3244.50	USD	4,625.00	
12SEP17	B	1	PD12DEC17	3068.50	3244.50	USD	4,400.00	
12SEP17	B	5	PD12DEC17	3069.50	3244.50	USD	21,875.00	
12SEP17	S	1	PD12DEC17	3054.50	3244.50	USD	-4,750.00	
12SEP17	B	3	PD12DEC17	3063.50	3244.50	USD	13,575.00	
12SEP17	B	2	PD12DEC17	3065.00	3244.50	USD	8,975.00	
12SEP17	B	2	PD12DEC17	3064.00	3244.50	USD	9,025.00	
14SEP17	S	9	PD12DEC17	3005.51	3244.50	USD	-53,772.75	
	NET	+5 AVG BGHT 3071.68	SOLD 3063.23				12,527.25	0.00
13SEP17	S	2	PD13DEC17	3052.00	3244.25	USD	-9,612.50	
13SEP17	S	3	PD13DEC17	3048.50	3244.25	USD	-14,681.25	
13SEP17	S	1	PD13DEC17	3050.50	3244.25	USD	-4,843.75	
13SEP17	S	1	PD13DEC17	3053.50	3244.25	USD	-4,768.75	
13SEP17	S	3	PD13DEC17	3053.00	3244.25	USD	-14,343.75	
13SEP17	S	1	PD13DEC17	3063.00	3244.25	USD	-4,531.25	
13SEP17	B	1	PD13DEC17	3051.00	3244.25	USD	4,831.25	
13SEP17	S	1	PD13DEC17	3057.00	3244.25	USD	-4,681.25	
13SEP17	S	1	PD13DEC17	3056.00	3244.25	USD	-4,706.25	
13SEP17	S	1	PD13DEC17	3055.00	3244.25	USD	-4,731.25	
13SEP17	S	2	PD13DEC17	3058.00	3244.25	USD	-9,312.50	

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13SEP17	B	1	PD13DEC17	3052.00	3244.25	USD	4,806.25	
13SEP17	B	2	PD13DEC17	3049.50	3244.25	USD	9,737.50	
13SEP17	B	1	PD13DEC17	3044.50	3244.25	USD	4,993.75	
13SEP17	B	1	PD13DEC17	3047.50	3244.25	USD	4,918.75	
13SEP17	B	1	PD13DEC17	3050.50	3244.25	USD	4,843.75	
13SEP17	B	2	PD13DEC17	3046.00	3244.25	USD	9,912.50	
13SEP17	B	1	PD13DEC17	3045.00	3244.25	USD	4,981.25	
13SEP17	B	2	PD13DEC17	3043.50	3244.25	USD	10,037.50	
13SEP17	S	2	PD13DEC17	3053.00	3244.25	USD	-9,562.50	
13SEP17	B	1	PD13DEC17	3037.00	3244.25	USD	5,181.25	
13SEP17	B	1	PD13DEC17	3036.50	3244.25	USD	5,193.75	
13SEP17	B	1	PD13DEC17	3030.00	3244.25	USD	5,356.25	
13SEP17	B	2	PD13DEC17	3028.50	3244.25	USD	10,787.50	
13SEP17	B	1	PD13DEC17	3022.50	3244.25	USD	5,543.75	
13SEP17	B	1	PD13DEC17	3022.00	3244.25	USD	5,556.25	
13SEP17	S	1	PD13DEC17	3024.50	3244.25	USD	-5,493.75	
	NET	0 AVG BGHT 3040.71	SOLD 3052.11				5,412.50	0.00
14SEP17	B	1	PD14DEC17	3036.00	3244.00	USD	5,200.00	
14SEP17	S	1	PD14DEC17	3035.00	3244.00	USD	-5,225.00	
14SEP17	B	1	PD14DEC17	3021.00	3244.00	USD	5,575.00	
14SEP17	S	1	PD14DEC17	3014.50	3244.00	USD	-5,737.50	
14SEP17	S	1	PD14DEC17	3015.00	3244.00	USD	-5,725.00	
14SEP17	S	1	PD14DEC17	3017.00	3244.00	USD	-5,675.00	
14SEP17	S	1	PD14DEC17	3015.50	3244.00	USD	-5,712.50	
14SEP17	B	3	PD14DEC17	3012.50	3244.00	USD	17,362.50	
14SEP17	B	1	PD14DEC17	3005.00	3244.00	USD	5,975.00	
14SEP17	B	1	PD14DEC17	2999.00	3244.00	USD	6,125.00	
14SEP17	B	1	PD14DEC17	2998.50	3244.00	USD	6,137.50	
14SEP17	B	2	PD14DEC17	2992.00	3244.00	USD	12,600.00	
14SEP17	S	1	PD14DEC17	3007.00	3244.00	USD	-5,925.00	
14SEP17	S	1	PD14DEC17	3004.00	3244.00	USD	-6,000.00	
14SEP17	B	1	PD14DEC17	2989.00	3244.00	USD	6,375.00	
14SEP17	B	1	PD14DEC17	2988.50	3244.00	USD	6,387.50	
14SEP17	S	1	PD14DEC17	2989.50	3244.00	USD	-6,362.50	
14SEP17	S	2	PD14DEC17	2990.00	3244.00	USD	-12,700.00	
14SEP17	S	1	PD14DEC17	2987.50	3244.00	USD	-6,412.50	
14SEP17	S	2	PD14DEC17	2994.50	3244.00	USD	-12,475.00	
14SEP17	S	2	PD14DEC17	3005.00	3244.00	USD	-11,950.00	
14SEP17	S	1	PD14DEC17	3006.00	3244.00	USD	-5,950.00	
14SEP17	S	1	PD14DEC17	3007.50	3244.00	USD	-5,912.50	
14SEP17	S	1	PD14DEC17	3008.50	3244.00	USD	-5,887.50	
14SEP17	S	1	PD14DEC17	3009.50	3244.00	USD	-5,862.50	
14SEP17	S	3	PD14DEC17	3016.00	3244.00	USD	-17,100.00	
14SEP17	S	1	PD14DEC17	3011.50	3244.00	USD	-5,812.50	
14SEP17	B	3	PD14DEC17	3000.00	3244.00	USD	18,300.00	
14SEP17	B	1	PD14DEC17	3004.50	3244.00	USD	5,987.50	
14SEP17	S	2	PD14DEC17	3004.50	3244.00	USD	-11,975.00	
	NET	-9 AVG BGHT 3003.94	SOLD 3006.56				-52,375.00	0.00
15SEP17	B	1	PD15DEC17	3023.00	3243.75	USD	5,518.75	
15SEP17	S	1	PD15DEC17	3012.00	3243.75	USD	-5,793.75	
15SEP17	B	1	PD15DEC17	2992.50	3243.75	USD	6,281.25	
15SEP17	S	1	PD15DEC17	3032.00	3243.75	USD	-5,293.75	
15SEP17	B	1	PD15DEC17	3032.00	3243.75	USD	5,293.75	
15SEP17	S	1	PD15DEC17	3030.00	3243.75	USD	-5,343.75	
15SEP17	B	1	PD15DEC17	3031.50	3243.75	USD	5,306.25	
15SEP17	S	1	PD15DEC17	3031.50	3243.75	USD	-5,306.25	
	NET	0 AVG BGHT 3019.75	SOLD 3026.38				662.50	0.00

OPEN POSITION STATEMENT



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SAP083XA

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Sapien Capital Limited
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London W1J 7NJ UK
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backoffice@sapiencap.com

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
18SEP17	B	1	PD18DEC17	3075.50	3243.50	USD	4,200.00	
18SEP17	B	1	PD18DEC17	3076.00	3243.50	USD	4,187.50	
18SEP17	S	1	PD18DEC17	3078.50	3243.50	USD	-4,125.00	
18SEP17	B	1	PD18DEC17	3066.00	3243.50	USD	4,437.50	
18SEP17	B	1	PD18DEC17	3064.00	3243.50	USD	4,487.50	
18SEP17	S	1	PD18DEC17	3076.00	3243.50	USD	-4,187.50	
18SEP17	S	1	PD18DEC17	3084.00	3243.50	USD	-3,987.50	
18SEP17	S	1	PD18DEC17	3080.50	3243.50	USD	-4,075.00	
18SEP17	S	2	PD18DEC17	3080.00	3243.50	USD	-8,175.00	
18SEP17	S	2	PD18DEC17	3081.00	3243.50	USD	-8,125.00	
18SEP17	S	1	PD18DEC17	3090.50	3243.50	USD	-3,825.00	
18SEP17	B	1	PD18DEC17	3073.00	3243.50	USD	4,262.50	
18SEP17	B	1	PD18DEC17	3072.50	3243.50	USD	4,275.00	
18SEP17	S	2	PD18DEC17	3089.50	3243.50	USD	-7,700.00	
18SEP17	S	1	PD18DEC17	3087.50	3243.50	USD	-3,900.00	
18SEP17	S	3	PD18DEC17	3087.00	3243.50	USD	-11,737.50	
18SEP17	S	1	PD18DEC17	3086.00	3243.50	USD	-3,937.50	
18SEP17	S	1	PD18DEC17	3086.50	3243.50	USD	-3,925.00	
18SEP17	S	5	PD18DEC17	3088.00	3243.50	USD	-19,437.50	
18SEP17	B	2	PD18DEC17	3071.50	3243.50	USD	8,600.00	
18SEP17	B	1	PD18DEC17	3071.00	3243.50	USD	4,312.50	
18SEP17	B	2	PD18DEC17	3074.00	3243.50	USD	8,475.00	
18SEP17	B	1	PD18DEC17	3063.50	3243.50	USD	4,500.00	
18SEP17	S	2	PD18DEC17	3079.00	3243.50	USD	-8,225.00	
18SEP17	S	1	PD18DEC17	3082.50	3243.50	USD	-4,025.00	
18SEP17	S	4	PD18DEC17	3092.00	3243.50	USD	-15,150.00	
18SEP17	S	1	PD18DEC17	3093.00	3243.50	USD	-3,762.50	
18SEP17	S	1	PD18DEC17	3094.00	3243.50	USD	-3,737.50	
18SEP17	S	1	PD18DEC17	3093.50	3243.50	USD	-3,750.00	
18SEP17	S	1	PD18DEC17	3095.00	3243.50	USD	-3,712.50	
18SEP17	S	1	PD18DEC17	3096.50	3243.50	USD	-3,675.00	
18SEP17	S	1	PD18DEC17	3100.50	3243.50	USD	-3,575.00	
18SEP17	S	1	PD18DEC17	3100.00	3243.50	USD	-3,587.50	
18SEP17	S	1	PD18DEC17	3102.00	3243.50	USD	-3,537.50	
18SEP17	S	1	PD18DEC17	3103.00	3243.50	USD	-3,512.50	
18SEP17	S	1	PD18DEC17	3098.50	3243.50	USD	-3,625.00	
18SEP17	B	2	PD18DEC17	3099.50	3243.50	USD	7,200.00	
18SEP17	B	1	PD18DEC17	3105.00	3243.50	USD	3,462.50	
18SEP17	B	1	PD18DEC17	3110.50	3243.50	USD	3,325.00	
18SEP17	B	2	PD18DEC17	3111.50	3243.50	USD	6,600.00	
18SEP17	B	3	PD18DEC17	3111.00	3243.50	USD	9,937.50	
18SEP17	B	1	PD18DEC17	3099.00	3243.50	USD	3,612.50	
18SEP17	B	1	PD18DEC17	3111.00	3243.50	USD	3,312.50	
	NET	-16 AVG BGHT 3088.39	SOLD 3088.62				-61,825.00	0.00
19SEP17	S	2	PD19DEC17	3102.00	3243.25	USD	-7,062.50	
19SEP17	S	2	PD19DEC17	3104.50	3243.25	USD	-6,937.50	
19SEP17	B	1	PD19DEC17	3101.00	3243.25	USD	3,556.25	
19SEP17	S	1	PD19DEC17	3106.00	3243.25	USD	-3,431.25	
19SEP17	S	1	PD19DEC17	3107.50	3243.25	USD	-3,393.75	
19SEP17	B	1	PD19DEC17	3091.50	3243.25	USD	3,793.75	
19SEP17	B	3	PD19DEC17	3095.00	3243.25	USD	11,118.75	
19SEP17	B	1	PD19DEC17	3094.50	3243.25	USD	3,718.75	
19SEP17	B	2	PD19DEC17	3091.00	3243.25	USD	7,612.50	
19SEP17	S	2	PD19DEC17	3095.00	3243.25	USD	-7,412.50	
19SEP17	B	3	PD19DEC17	3096.00	3243.25	USD	11,043.75	
19SEP17	B	4	PD19DEC17	3095.50	3243.25	USD	14,775.00	
19SEP17	S	1	PD19DEC17	3094.00	3243.25	USD	-3,731.25	

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
19SEP17	B	3	PD19DEC17	3100.00	3243.25	USD	10,743.75	
19SEP17	B	1	PD19DEC17	3098.50	3243.25	USD	3,618.75	
19SEP17	B	1	PD19DEC17	3092.00	3243.25	USD	3,781.25	
19SEP17	S	1	PD19DEC17	3109.50	3243.25	USD	-3,343.75	
19SEP17	S	1	PD19DEC17	3105.00	3243.25	USD	-3,456.25	
19SEP17	B	2	PD19DEC17	3092.50	3243.25	USD	7,537.50	
19SEP17	S	1	PD19DEC17	3094.50	3243.25	USD	-3,718.75	
19SEP17	B	1	PD19DEC17	3083.00	3243.25	USD	4,006.25	
19SEP17	S	1	PD19DEC17	3083.00	3243.25	USD	-4,006.25	
19SEP17	B	1	PD19DEC17	3080.00	3243.25	USD	4,081.25	
19SEP17	B	3	PD19DEC17	3069.00	3243.25	USD	13,068.75	
19SEP17	B	1	PD19DEC17	3075.00	3243.25	USD	4,206.25	
19SEP17	B	1	PD19DEC17	3076.00	3243.25	USD	4,181.25	
19SEP17	S	1	PD19DEC17	3075.00	3243.25	USD	-4,206.25	
19SEP17	B	2	PD19DEC17	3099.50	3243.25	USD	7,187.50	
19SEP17	S	1	PD19DEC17	3110.00	3243.25	USD	-3,331.25	
19SEP17	S	2	PD19DEC17	3109.50	3243.25	USD	-6,687.50	
19SEP17	S	1	PD19DEC17	3112.50	3243.25	USD	-3,268.75	
19SEP17	S	1	PD19DEC17	3101.50	3243.25	USD	-3,543.75	
19SEP17	S	1	PD19DEC17	3105.50	3243.25	USD	-3,443.75	
19SEP17	S	2	PD19DEC17	3108.00	3243.25	USD	-6,762.50	
19SEP17	S	1	PD19DEC17	3110.50	3243.25	USD	-3,318.75	
19SEP17	B	3	PD19DEC17	3111.00	3243.25	USD	9,918.75	
19SEP17	S	3	PD19DEC17	3111.00	3243.25	USD	-9,918.75	
	NET	+8 AVG BGHT 3092.72	SOLD 3103.29				36,975.00	0.00
20SEP17	B	2	PD20DEC17	3102.00	3243.00	USD	7,050.00	
20SEP17	B	1	PD20DEC17	3099.50	3243.00	USD	3,587.50	
20SEP17	S	1	PD20DEC17	3112.00	3243.00	USD	-3,275.00	
20SEP17	B	1	PD20DEC17	3120.00	3243.00	USD	3,075.00	
20SEP17	S	2	PD20DEC17	3127.00	3243.00	USD	-5,800.00	
20SEP17	S	1	PD20DEC17	3131.00	3243.00	USD	-2,800.00	
20SEP17	S	2	PD20DEC17	3132.00	3243.00	USD	-5,550.00	
20SEP17	S	3	PD20DEC17	3129.00	3243.00	USD	-8,550.00	
20SEP17	B	1	PD20DEC17	3127.00	3243.00	USD	2,900.00	
20SEP17	S	1	PD20DEC17	3141.50	3243.00	USD	-2,537.50	
20SEP17	S	1	PD20DEC17	3142.50	3243.00	USD	-2,512.50	
20SEP17	S	1	PD20DEC17	3143.50	3243.00	USD	-2,487.50	
20SEP17	B	2	PD20DEC17	3100.00	3243.00	USD	7,150.00	
20SEP17	B	1	PD20DEC17	3151.00	3243.00	USD	2,300.00	
20SEP17	S	3	PD20DEC17	3150.50	3243.00	USD	-6,937.50	
20SEP17	B	2	PD20DEC17	3136.50	3243.00	USD	5,325.00	
20SEP17	B	1	PD20DEC17	3141.50	3243.00	USD	2,537.50	
20SEP17	B	1	PD20DEC17	3143.00	3243.00	USD	2,500.00	
20SEP17	B	1	PD20DEC17	3140.00	3243.00	USD	2,575.00	
20SEP17	B	2	PD20DEC17	3137.50	3243.00	USD	5,275.00	
20SEP17	B	2	PD20DEC17	3137.00	3243.00	USD	5,300.00	
20SEP17	B	1	PD20DEC17	3135.50	3243.00	USD	2,687.50	
20SEP17	B	2	PD20DEC17	3136.00	3243.00	USD	5,350.00	
20SEP17	B	1	PD20DEC17	3134.50	3243.00	USD	2,712.50	
20SEP17	B	1	PD20DEC17	3131.00	3243.00	USD	2,800.00	
	NET	+7 AVG BGHT 3128.23	SOLD 3135.13				22,675.00	0.00
21SEP17	B	1	PD21DEC17	3099.50	3240.00	USD	3,512.50	
21SEP17	S	1	PD21DEC17	3096.00	3240.00	USD	-3,600.00	
21SEP17	S	1	PD21DEC17	3090.00	3240.00	USD	-3,750.00	
21SEP17	S	1	PD21DEC17	3090.50	3240.00	USD	-3,737.50	
21SEP17	S	1	PD21DEC17	3075.50	3240.00	USD	-4,112.50	
21SEP17	S	1	PD21DEC17	3083.00	3240.00	USD	-3,925.00	

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21SEP17	S	1	PD21DEC17	3078.00	3240.00	USD	-4,050.00	
21SEP17	S	1	PD21DEC17	3099.00	3240.00	USD	-3,525.00	
21SEP17	S	1	PD21DEC17	3096.00	3240.00	USD	-3,600.00	
21SEP17	B	1	PD21DEC17	3072.50	3240.00	USD	4,187.50	
21SEP17	B	2	PD21DEC17	3080.00	3240.00	USD	8,000.00	
21SEP17	B	1	PD21DEC17	3108.50	3240.00	USD	3,287.50	
21SEP17	S	2	PD21DEC17	3106.00	3240.00	USD	-6,700.00	
21SEP17	S	1	PD21DEC17	3106.50	3240.00	USD	-3,337.50	
21SEP17	S	1	PD21DEC17	3108.50	3240.00	USD	-3,287.50	
21SEP17	B	1	PD21DEC17	3110.00	3240.00	USD	3,250.00	
21SEP17	B	1	PD21DEC17	3104.50	3240.00	USD	3,387.50	
21SEP17	B	1	PD21DEC17	3081.00	3240.00	USD	3,975.00	
21SEP17	B	1	PD21DEC17	3079.00	3240.00	USD	4,025.00	
21SEP17	B	2	PD21DEC17	3078.00	3240.00	USD	8,100.00	
21SEP17	B	1	PD21DEC17	3069.00	3240.00	USD	4,275.00	
21SEP17	B	1	PD21DEC17	3066.00	3240.00	USD	4,350.00	
	NET	+1AVG BGHT 3085.08	SOLD 3094.58				6,725.00	0.00
22SEP17	B	1	PD22DEC17	3004.00	3239.62	USD	5,890.50	
22SEP17	S	1	PD22DEC17	3012.50	3239.62	USD	-5,678.00	
22SEP17	S	2	PD22DEC17	3075.00	3239.62	USD	-8,231.00	
22SEP17	B	1	PD22DEC17	3057.00	3239.62	USD	4,565.50	
22SEP17	B	1	PD22DEC17	3052.50	3239.62	USD	4,678.00	
22SEP17	B	1	PD22DEC17	3053.00	3239.62	USD	4,665.50	
22SEP17	B	1	PD22DEC17	3047.00	3239.62	USD	4,815.50	
22SEP17	B	1	PD22DEC17	3016.00	3239.62	USD	5,590.50	
22SEP17	S	1	PD22DEC17	3010.00	3239.62	USD	-5,740.50	
22SEP17	B	1	PD22DEC17	3026.50	3239.62	USD	5,328.00	
22SEP17	B	1	PD22DEC17	3031.00	3239.62	USD	5,215.50	
22SEP17	B	1	PD22DEC17	3046.00	3239.62	USD	4,840.50	
22SEP17	S	1	PD22DEC17	3052.50	3239.62	USD	-4,678.00	
22SEP17	S	2	PD22DEC17	3051.85	3239.62	USD	-9,388.50	
22SEP17	B	2	PD22DEC17	3067.00	3239.62	USD	8,631.00	
22SEP17	S	2	PD22DEC17	3027.00	3239.62	USD	-10,631.00	
	NET	+2AVG BGHT 3042.45	SOLD 3042.52				9,873.50	0.00
25SEP17	S	2	PD27DEC17	3089.50	3239.23	USD	-7,486.50	
25SEP17	S	1	PD27DEC17	3071.00	3239.23	USD	-4,205.75	
25SEP17	S	1	PD27DEC17	3074.50	3239.23	USD	-4,118.25	
25SEP17	S	1	PD27DEC17	3075.50	3239.23	USD	-4,093.25	
25SEP17	S	1	PD27DEC17	3090.00	3239.23	USD	-3,730.75	
25SEP17	S	1	PD27DEC17	3087.50	3239.23	USD	-3,793.25	
25SEP17	S	2	PD27DEC17	3089.00	3239.23	USD	-7,511.50	
25SEP17	S	1	PD27DEC17	3083.00	3239.23	USD	-3,905.75	
25SEP17	S	1	PD27DEC17	3083.50	3239.23	USD	-3,893.25	
25SEP17	S	1	PD27DEC17	3088.00	3239.23	USD	-3,780.75	
25SEP17	S	2	PD27DEC17	3095.00	3239.23	USD	-7,211.50	
25SEP17	S	1	PD27DEC17	3095.50	3239.23	USD	-3,593.25	
25SEP17	S	1	PD27DEC17	3096.50	3239.23	USD	-3,568.25	
25SEP17	S	1	PD27DEC17	3088.00	3239.23	USD	-3,780.75	
25SEP17	S	1	PD27DEC17	3090.00	3239.23	USD	-3,730.75	
25SEP17	S	1	PD27DEC17	3091.50	3239.23	USD	-3,693.25	
25SEP17	S	1	PD27DEC17	3105.00	3239.23	USD	-3,355.75	
25SEP17	S	2	PD27DEC17	3102.50	3239.23	USD	-6,836.50	
25SEP17	S	2	PD27DEC17	3096.00	3239.23	USD	-7,161.50	
25SEP17	B	2	PD27DEC17	3084.50	3239.23	USD	7,736.50	
25SEP17	B	1	PD27DEC17	3085.00	3239.23	USD	3,855.75	
25SEP17	B	1	PD27DEC17	3081.00	3239.23	USD	3,955.75	
25SEP17	B	1	PD27DEC17	3078.00	3239.23	USD	4,030.75	

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25SEP17	B	1	PD27DEC17	3079.50	3239.23	USD	3,993.25	
25SEP17	S	1	PD27DEC17	3101.50	3239.23	USD	-3,443.25	
25SEP17	S	1	PD27DEC17	3099.00	3239.23	USD	-3,505.75	
25SEP17	S	2	PD27DEC17	3098.00	3239.23	USD	-7,061.50	
25SEP17	S	2	PD27DEC17	3091.50	3239.23	USD	-7,386.50	
25SEP17	S	1	PD27DEC17	3094.00	3239.23	USD	-3,630.75	
25SEP17	S	1	PD27DEC17	3093.50	3239.23	USD	-3,643.25	
25SEP17	B	1	PD27DEC17	3097.00	3239.23	USD	3,555.75	
25SEP17	S	2	PD27DEC17	3097.50	3239.23	USD	-7,086.50	
25SEP17	B	1	PD27DEC17	3098.50	3239.23	USD	3,518.25	
25SEP17	B	2	PD27DEC17	3101.00	3239.23	USD	6,911.50	
25SEP17	B	1	PD27DEC17	3099.50	3239.23	USD	3,493.25	
25SEP17	B	1	PD27DEC17	3099.00	3239.23	USD	3,505.75	
25SEP17	B	1	PD27DEC17	3105.00	3239.23	USD	3,355.75	
25SEP17	B	1	PD27DEC17	3105.50	3239.23	USD	3,343.25	
25SEP17	B	2	PD27DEC17	3106.00	3239.23	USD	6,661.50	
25SEP17	B	1	PD27DEC17	3106.50	3239.23	USD	3,318.25	
25SEP17	B	2	PD27DEC17	3109.50	3239.23	USD	6,486.50	
25SEP17	B	2	PD27DEC17	3108.50	3239.23	USD	6,536.50	
25SEP17	B	1	PD27DEC17	3112.50	3239.23	USD	3,168.25	
25SEP17	B	1	PD27DEC17	3113.50	3239.23	USD	3,143.25	
25SEP17	B	1	PD27DEC17	3114.00	3239.23	USD	3,130.75	
25SEP17	B	1	PD27DEC17	3114.50	3239.23	USD	3,118.25	
25SEP17	B	1	PD27DEC17	3115.00	3239.23	USD	3,105.75	
25SEP17	B	1	PD27DEC17	3113.50	3239.23	USD	3,143.25	
25SEP17	B	1	PD27DEC17	3113.00	3239.23	USD	3,155.75	
26SEP17	S	1	PD27DEC17	3138.50	3239.23	USD	-2,518.25	
26SEP17	S	1	PD27DEC17	3131.50	3239.23	USD	-2,693.25	
26SEP17	B	1	PD27DEC17	3136.50	3239.23	USD	2,568.25	
26SEP17	S	1	PD27DEC17	3141.00	3239.23	USD	-2,455.75	
26SEP17	S	1	PD27DEC17	3149.50	3239.23	USD	-2,243.25	
26SEP17	B	1	PD27DEC17	3148.00	3239.23	USD	2,280.75	
26SEP17	B	2	PD27DEC17	3134.50	3239.23	USD	5,236.50	
26SEP17	B	1	PD27DEC17	3131.50	3239.23	USD	2,693.25	
26SEP17	B	2	PD27DEC17	3138.00	3239.23	USD	5,061.50	
26SEP17	S	1	PD27DEC17	3146.50	3239.23	USD	-2,318.25	
26SEP17	S	1	PD27DEC17	3142.00	3239.23	USD	-2,430.75	
26SEP17	S	1	PD27DEC17	3145.00	3239.23	USD	-2,355.75	
26SEP17	S	1	PD27DEC17	3144.50	3239.23	USD	-2,368.25	
26SEP17	S	1	PD27DEC17	3147.00	3239.23	USD	-2,305.75	
26SEP17	S	1	PD27DEC17	3145.50	3239.23	USD	-2,343.25	
26SEP17	B	1	PD27DEC17	3140.50	3239.23	USD	2,468.25	
26SEP17	B	4	PD27DEC17	3132.50	3239.23	USD	10,673.00	
26SEP17	B	1	PD27DEC17	3129.00	3239.23	USD	2,755.75	
26SEP17	B	1	PD27DEC17	3116.00	3239.23	USD	3,080.75	
26SEP17	S	1	PD27DEC17	3145.50	3239.23	USD	-2,343.25	
26SEP17	B	2	PD27DEC17	3122.00	3239.23	USD	5,861.50	
26SEP17	B	1	PD27DEC17	3121.00	3239.23	USD	2,955.75	
26SEP17	S	1	PD27DEC17	3124.50	3239.23	USD	-2,868.25	
26SEP17	S	1	PD27DEC17	3125.50	3239.23	USD	-2,843.25	
26SEP17	S	1	PD27DEC17	3125.00	3239.23	USD	-2,855.75	
26SEP17	S	1	PD27DEC17	3115.00	3239.23	USD	-3,105.75	
26SEP17	S	1	PD27DEC17	3114.50	3239.23	USD	-3,118.25	
26SEP17	B	2	PD27DEC17	3111.00	3239.23	USD	6,411.50	
26SEP17	S	1	PD27DEC17	3116.50	3239.23	USD	-3,068.25	
26SEP17	S	1	PD27DEC17	3113.50	3239.23	USD	-3,143.25	
26SEP17	S	1	PD27DEC17	3106.00	3239.23	USD	-3,330.75	

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Sapien Capital Limited
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backoffice@sapiencap.com

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
26SEP17	B	1	PD27DEC17	3113.00	3239.23	USD	3,155.75	
26SEP17	S	2	PD27DEC17	3132.00	3239.23	USD	-5,361.50	
26SEP17	S	2	PD27DEC17	3133.50	3239.23	USD	-5,286.50	
26SEP17	B	1	PD27DEC17	3128.50	3239.23	USD	2,768.25	
26SEP17	B	1	PD27DEC17	3128.00	3239.23	USD	2,780.75	
26SEP17	B	1	PD27DEC17	3125.00	3239.23	USD	2,855.75	
26SEP17	B	1	PD27DEC17	3120.00	3239.23	USD	2,980.75	
26SEP17	B	1	PD27DEC17	3115.00	3239.23	USD	3,105.75	
26SEP17	B	3	PD27DEC17	3110.00	3239.23	USD	9,692.25	
26SEP17	B	1	PD27DEC17	3112.50	3239.23	USD	3,168.25	
26SEP17	B	1	PD27DEC17	3111.50	3239.23	USD	3,193.25	
26SEP17	S	1	PD27DEC17	3111.50	3239.23	USD	-3,193.25	
26SEP17	S	1	PD27DEC17	3109.50	3239.23	USD	-3,243.25	
26SEP17	S	3	PD27DEC17	3110.00	3239.23	USD	-9,692.25	
26SEP17	B	2	PD27DEC17	3113.50	3239.23	USD	6,286.50	
26SEP17	S	1	PD27DEC17	3113.00	3239.23	USD	-3,155.75	
27SEP17	S	2	PD27DEC17	3116.50	3239.23	USD	-6,136.50	
27SEP17	B	1	PD27DEC17	3116.50	3239.23	USD	3,068.25	
27SEP17	B	3	PD27DEC17	3117.00	3239.23	USD	9,167.25	
27SEP17	S	1	PD27DEC17	3144.00	3239.23	USD	-2,380.75	
27SEP17	S	3	PD27DEC17	3139.50	3239.23	USD	-7,479.75	
27SEP17	B	1	PD27DEC17	3136.50	3239.23	USD	2,568.25	
27SEP17	B	1	PD27DEC17	3134.50	3239.23	USD	2,618.25	
27SEP17	B	1	PD27DEC17	3131.00	3239.23	USD	2,705.75	
27SEP17	B	2	PD27DEC17	3126.00	3239.23	USD	5,661.50	
27SEP17	B	1	PD27DEC17	3126.50	3239.23	USD	2,818.25	
27SEP17	B	1	PD27DEC17	3124.50	3239.23	USD	2,868.25	
27SEP17	S	1	PD27DEC17	3122.00	3239.23	USD	-2,930.75	
27SEP17	S	2	PD27DEC17	3119.50	3239.23	USD	-5,986.50	
27SEP17	S	1	PD27DEC17	3114.50	3239.23	USD	-3,118.25	
27SEP17	B	1	PD27DEC17	3110.00	3239.23	USD	3,230.75	
27SEP17	B	1	PD27DEC17	3098.00	3239.23	USD	3,530.75	
27SEP17	S	1	PD27DEC17	3102.00	3239.23	USD	-3,430.75	
27SEP17	B	1	PD27DEC17	3105.00	3239.23	USD	3,355.75	
27SEP17	S	1	PD27DEC17	3104.50	3239.23	USD	-3,368.25	
27SEP17	B	1	PD27DEC17	3120.00	3239.23	USD	2,980.75	
27SEP17	B	1	PD27DEC17	3103.00	3239.23	USD	3,405.75	
	NET	+1AVG BGHT 3114.89	SOLD 3110.87				-4,444.25	0.00
28SEP17	B	1	PD28DEC17	3114.00	3238.85	USD	3,121.25	
28SEP17	B	1	PD28DEC17	3112.00	3238.85	USD	3,171.25	
28SEP17	S	3	PD28DEC17	3106.50	3238.85	USD	-9,926.25	
28SEP17	S	1	PD28DEC17	3105.50	3238.85	USD	-3,333.75	
28SEP17	S	1	PD28DEC17	3104.50	3238.85	USD	-3,358.75	
	NET	-3AVG BGHT 3113.00	SOLD 3105.90				-10,326.25	0.00
29SEP17	B	1	PD29DEC17	3148.50	3238.46	USD	2,249.00	
29SEP17	B	1	PD29DEC17	3143.00	3238.46	USD	2,386.50	
29SEP17	S	1	PD29DEC17	3161.50	3238.46	USD	-1,924.00	
29SEP17	S	1	PD29DEC17	3162.00	3238.46	USD	-1,911.50	
29SEP17	S	2	PD29DEC17	3150.00	3238.46	USD	-4,423.00	
29SEP17	S	1	PD29DEC17	3149.00	3238.46	USD	-2,236.50	
29SEP17	S	1	PD29DEC17	3148.00	3238.46	USD	-2,261.50	
29SEP17	S	1	PD29DEC17	3163.00	3238.46	USD	-1,886.50	
29SEP17	S	1	PD29DEC17	3160.50	3238.46	USD	-1,949.00	
29SEP17	S	1	PD29DEC17	3168.00	3238.46	USD	-1,761.50	
29SEP17	S	3	PD29DEC17	3167.00	3238.46	USD	-5,359.50	
29SEP17	S	1	PD29DEC17	3164.00	3238.46	USD	-1,861.50	
29SEP17	B	1	PD29DEC17	3152.00	3238.46	USD	2,161.50	

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29SEP17	S	2	PD29DEC17		3148.50	3238.46	USD	-4,498.00	
29SEP17	S	1	PD29DEC17		3166.50	3238.46	USD	-1,799.00	
29SEP17	S	1	PD29DEC17		3166.00	3238.46	USD	-1,811.50	
29SEP17	B	2	PD29DEC17		3151.50	3238.46	USD	4,348.00	
29SEP17	B	4	PD29DEC17		3149.15	3238.46	USD	8,931.00	
29SEP17	S	2	PD29DEC17		3153.85	3238.46	USD	-4,230.50	
29SEP17	S	1	PD29DEC17		3157.00	3238.46	USD	-2,036.50	
	NET	-11 AVG	BGHT 3149.23	SOLD 3158.56				-19,874.00	0.00
03OCT17	S	1	PD03JAN18		3229.50	3237.69	USD	-204.75	
03OCT17	S	1	PD03JAN18		3229.00	3237.69	USD	-217.25	
03OCT17	S	1	PD03JAN18		3228.50	3237.69	USD	-229.75	
03OCT17	S	2	PD03JAN18		3227.50	3237.69	USD	-509.50	
03OCT17	S	1	PD03JAN18		3228.00	3237.69	USD	-242.25	
03OCT17	S	1	PD03JAN18		3224.00	3237.69	USD	-342.25	
03OCT17	S	2	PD03JAN18		3235.00	3237.69	USD	-134.50	
03OCT17	S	1	PD03JAN18		3234.50	3237.69	USD	-79.75	
03OCT17	S	1	PD03JAN18		3240.50	3237.69	USD	70.25	
03OCT17	S	2	PD03JAN18		3237.00	3237.69	USD	-34.50	
03OCT17	S	1	PD03JAN18		3241.50	3237.69	USD	95.25	
03OCT17	S	2	PD03JAN18		3241.00	3237.69	USD	165.50	
03OCT17	B	1	PD03JAN18		3241.00	3237.69	USD	-82.75	
03OCT17	S	1	PD03JAN18		3243.00	3237.69	USD	132.75	
03OCT17	S	1	PD03JAN18		3246.50	3237.69	USD	220.25	
03OCT17	S	1	PD03JAN18		3253.00	3237.69	USD	382.75	
03OCT17	B	1	PD03JAN18		3255.00	3237.69	USD	-432.75	
03OCT17	B	1	PD03JAN18		3261.00	3237.69	USD	-582.75	
03OCT17	S	1	PD03JAN18		3261.00	3237.69	USD	582.75	
03OCT17	B	1	PD03JAN18		3258.50	3237.69	USD	-520.25	
03OCT17	B	1	PD03JAN18		3259.50	3237.69	USD	-545.25	
03OCT17	S	1	PD03JAN18		3268.00	3237.69	USD	757.75	
03OCT17	S	1	PD03JAN18		3225.50	3237.69	USD	-304.75	
03OCT17	S	1	PD03JAN18		3217.00	3237.69	USD	-517.25	
03OCT17	S	1	PD03JAN18		3241.50	3237.69	USD	95.25	
03OCT17	S	1	PD03JAN18		3244.50	3237.69	USD	170.25	
03OCT17	S	1	PD03JAN18		3254.50	3237.69	USD	420.25	
03OCT17	B	1	PD03JAN18		3286.50	3237.69	USD	-1,220.25	
03OCT17	B	1	PD03JAN18		3289.50	3237.69	USD	-1,295.25	
03OCT17	B	1	PD03JAN18		3288.00	3237.69	USD	-1,257.75	
03OCT17	B	1	PD03JAN18		3286.00	3237.69	USD	-1,207.75	
03OCT17	B	1	PD03JAN18		3274.00	3237.69	USD	-907.75	
03OCT17	B	1	PD03JAN18		3287.00	3237.69	USD	-1,232.75	
03OCT17	B	1	PD03JAN18		3287.50	3237.69	USD	-1,245.25	
03OCT17	B	1	PD03JAN18		3291.00	3237.69	USD	-1,332.75	
03OCT17	B	1	PD03JAN18		3289.00	3237.69	USD	-1,282.75	
03OCT17	B	1	PD03JAN18		3274.50	3237.69	USD	-920.25	
03OCT17	B	3	PD03JAN18		3262.00	3237.69	USD	-1,823.25	
03OCT17	B	1	PD03JAN18		3264.50	3237.69	USD	-670.25	
03OCT17	B	3	PD03JAN18		3264.00	3237.69	USD	-1,973.25	
03OCT17	B	2	PD03JAN18		3270.00	3237.69	USD	-1,615.50	
03OCT17	B	1	PD03JAN18		3278.00	3237.69	USD	-1,007.75	
03OCT17	B	10	PD03JAN18		3264.20	3237.69	USD	-6,627.50	
03OCT17	B	1	PD03JAN18		3270.50	3237.69	USD	-820.25	
	NET	+10 AVG	BGHT 3269.47	SOLD 3238.12				-28,327.50	0.00
04OCT17	S	1	PD04JAN18		3283.00	3237.31	USD	1,142.25	
04OCT17	S	1	PD04JAN18		3284.00	3237.31	USD	1,167.25	
04OCT17	B	1	PD04JAN18		3281.50	3237.31	USD	-1,104.75	
04OCT17	S	1	PD04JAN18		3295.00	3237.31	USD	1,442.25	

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04OCT17	S	1	PD04JAN18	3295.50	3237.31	USD	1,454.75	
04OCT17	S	1	PD04JAN18	3294.00	3237.31	USD	1,417.25	
04OCT17	S	1	PD04JAN18	3291.00	3237.31	USD	1,342.25	
04OCT17	S	1	PD04JAN18	3298.00	3237.31	USD	1,517.25	
04OCT17	B	1	PD04JAN18	3278.00	3237.31	USD	-1,017.25	
04OCT17	B	3	PD04JAN18	3280.50	3237.31	USD	-3,239.25	
04OCT17	B	1	PD04JAN18	3281.00	3237.31	USD	-1,092.25	
04OCT17	B	4	PD04JAN18	3280.00	3237.31	USD	-4,269.00	
04OCT17	B	1	PD04JAN18	3279.00	3237.31	USD	-1,042.25	
04OCT17	B	1	PD04JAN18	3279.50	3237.31	USD	-1,054.75	
	NET	+5 AVG BGHT 3280.04	SOLD 3291.50				-3,336.25	0.00
05OCT17	S	3	PD05JAN18	3282.50	3236.92	USD	3,418.50	
05OCT17	B	1	PD05JAN18	3280.50	3236.92	USD	-1,089.50	
05OCT17	S	1	PD05JAN18	3287.00	3236.92	USD	1,252.00	
05OCT17	B	1	PD05JAN18	3287.00	3236.92	USD	-1,252.00	
05OCT17	S	1	PD05JAN18	3289.00	3236.92	USD	1,302.00	
05OCT17	S	1	PD05JAN18	3273.50	3236.92	USD	914.50	
05OCT17	S	1	PD05JAN18	3274.50	3236.92	USD	939.50	
05OCT17	S	1	PD05JAN18	3283.50	3236.92	USD	1,164.50	
05OCT17	B	1	PD05JAN18	3294.00	3236.92	USD	-1,427.00	
05OCT17	B	1	PD05JAN18	3304.00	3236.92	USD	-1,677.00	
05OCT17	B	1	PD05JAN18	3303.50	3236.92	USD	-1,664.50	
05OCT17	B	1	PD05JAN18	3303.00	3236.92	USD	-1,652.00	
05OCT17	S	1	PD05JAN18	3300.50	3236.92	USD	1,589.50	
05OCT17	B	1	PD05JAN18	3293.50	3236.92	USD	-1,414.50	
05OCT17	B	1	PD05JAN18	3296.50	3236.92	USD	-1,489.50	
05OCT17	B	1	PD05JAN18	3289.00	3236.92	USD	-1,302.00	
05OCT17	S	1	PD05JAN18	3297.00	3236.92	USD	1,502.00	
05OCT17	B	1	PD05JAN18	3299.00	3236.92	USD	-1,552.00	
06OCT17	S	1	PD05JAN18	3287.50	3236.92	USD	1,264.50	
06OCT17	S	1	PD05JAN18	3286.00	3236.92	USD	1,227.00	
06OCT17	S	1	PD05JAN18	3270.00	3236.92	USD	827.00	
06OCT17	B	1	PD05JAN18	3254.50	3236.92	USD	-439.50	
06OCT17	S	1	PD05JAN18	3269.50	3236.92	USD	814.50	
06OCT17	B	1	PD05JAN18	3255.00	3236.92	USD	-452.00	
06OCT17	B	1	PD05JAN18	3240.50	3236.92	USD	-89.50	
06OCT17	B	1	PD05JAN18	3239.00	3236.92	USD	-52.00	
06OCT17	S	2	PD05JAN18	3234.80	3236.92	USD	-106.00	
06OCT17	B	1	PD05JAN18	3238.50	3236.92	USD	-39.50	
	NET	-1 AVG BGHT 3278.50	SOLD 3277.19				517.00	0.00
09OCT17	B	1	PD09JAN18	3253.50	3236.15	USD	-433.75	
09OCT17	B	1	PD09JAN18	3251.00	3236.15	USD	-371.25	
09OCT17	S	1	PD09JAN18	3270.00	3236.15	USD	846.25	
09OCT17	S	2	PD09JAN18	3274.00	3236.15	USD	1,892.50	
09OCT17	B	2	PD09JAN18	3270.00	3236.15	USD	-1,692.50	
09OCT17	B	1	PD09JAN18	3254.50	3236.15	USD	-458.75	
09OCT17	S	1	PD09JAN18	3268.00	3236.15	USD	796.25	
09OCT17	B	1	PD09JAN18	3248.00	3236.15	USD	-296.25	
09OCT17	B	1	PD09JAN18	3247.50	3236.15	USD	-283.75	
09OCT17	S	1	PD09JAN18	3247.50	3236.15	USD	283.75	
09OCT17	S	2	PD09JAN18	3247.00	3236.15	USD	542.50	
09OCT17	S	1	PD09JAN18	3243.85	3236.15	USD	192.50	
09OCT17	B	1	PD09JAN18	3256.00	3236.15	USD	-496.25	
09OCT17	B	1	PD09JAN18	3252.00	3236.15	USD	-396.25	
09OCT17	B	1	PD09JAN18	3253.00	3236.15	USD	-421.25	
09OCT17	B	1	PD09JAN18	3255.50	3236.15	USD	-483.75	
09OCT17	B	1	PD09JAN18	3254.00	3236.15	USD	-446.25	

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
09OCT17	S	7	PD09JAN18	3232.80	3236.15	USD	-586.25	
09OCT17	B	1	PD09JAN18	3258.00	3236.15	USD	-546.25	
09OCT17	B	1	PD09JAN18	3253.50	3236.15	USD	-433.75	
09OCT17	B	1	PD09JAN18	3255.00	3236.15	USD	-471.25	
	NET	0 AVG BGHT 3255.43	SOLD 3246.73				-3,263.75	0.00
10OCT17	B	1	PD10JAN18	3245.00	3235.77	USD	-230.75	
10OCT17	B	1	PD10JAN18	3231.00	3235.77	USD	119.25	
10OCT17	B	1	PD10JAN18	3221.00	3235.77	USD	369.25	
10OCT17	B	1	PD10JAN18	3222.00	3235.77	USD	344.25	
10OCT17	B	1	PD10JAN18	3220.00	3235.77	USD	394.25	
10OCT17	B	1	PD10JAN18	3211.50	3235.77	USD	606.75	
10OCT17	S	1	PD10JAN18	3220.50	3235.77	USD	-381.75	
10OCT17	S	1	PD10JAN18	3221.00	3235.77	USD	-369.25	
10OCT17	S	1	PD10JAN18	3223.50	3235.77	USD	-306.75	
10OCT17	S	1	PD10JAN18	3250.00	3235.77	USD	355.75	
10OCT17	S	1	PD10JAN18	3249.00	3235.77	USD	330.75	
10OCT17	S	1	PD10JAN18	3238.50	3235.77	USD	68.25	
10OCT17	S	1	PD10JAN18	3231.00	3235.77	USD	-119.25	
10OCT17	S	1	PD10JAN18	3233.00	3235.77	USD	-69.25	
10OCT17	B	1	PD10JAN18	3247.00	3235.77	USD	-280.75	
10OCT17	S	1	PD10JAN18	3253.50	3235.77	USD	443.25	
10OCT17	B	1	PD10JAN18	3244.00	3235.77	USD	-205.75	
10OCT17	S	1	PD10JAN18	3277.50	3235.77	USD	1,043.25	
10OCT17	S	3	PD10JAN18	3277.00	3235.77	USD	3,092.25	
10OCT17	S	1	PD10JAN18	3276.50	3235.77	USD	1,018.25	
10OCT17	S	1	PD10JAN18	3280.00	3235.77	USD	1,105.75	
10OCT17	B	3	PD10JAN18	3290.00	3235.77	USD	-4,067.25	
10OCT17	B	1	PD10JAN18	3292.00	3235.77	USD	-1,405.75	
10OCT17	S	2	PD10JAN18	3278.00	3235.77	USD	2,111.50	
10OCT17	S	1	PD10JAN18	3276.50	3235.77	USD	1,018.25	
10OCT17	S	1	PD10JAN18	3281.00	3235.77	USD	1,130.75	
	NET	-7 AVG BGHT 3250.29	SOLD 3257.82				6,115.25	0.00
11OCT17	B	1	PD11JAN18	3252.50	3235.38	USD	-428.00	
11OCT17	B	3	PD11JAN18	3252.00	3235.38	USD	-1,246.50	
11OCT17	B	1	PD11JAN18	3241.00	3235.38	USD	-140.50	
11OCT17	B	1	PD11JAN18	3242.00	3235.38	USD	-165.50	
11OCT17	B	1	PD11JAN18	3243.00	3235.38	USD	-190.50	
11OCT17	B	1	PD11JAN18	3240.50	3235.38	USD	-128.00	
11OCT17	B	1	PD11JAN18	3253.00	3235.38	USD	-440.50	
11OCT17	S	1	PD11JAN18	3253.00	3235.38	USD	440.50	
11OCT17	S	1	PD11JAN18	3232.00	3235.38	USD	-84.50	
11OCT17	S	1	PD11JAN18	3224.00	3235.38	USD	-284.50	
11OCT17	S	1	PD11JAN18	3232.00	3235.38	USD	-84.50	
11OCT17	S	1	PD11JAN18	3235.50	3235.38	USD	3.00	
11OCT17	S	1	PD11JAN18	3223.00	3235.38	USD	-309.50	
11OCT17	B	1	PD11JAN18	3215.00	3235.38	USD	509.50	
11OCT17	B	1	PD11JAN18	3213.50	3235.38	USD	547.00	
11OCT17	B	1	PD11JAN18	3223.00	3235.38	USD	309.50	
11OCT17	B	2	PD11JAN18	3222.50	3235.38	USD	644.00	
11OCT17	B	2	PD11JAN18	3221.50	3235.38	USD	694.00	
11OCT17	S	1	PD11JAN18	3222.50	3235.38	USD	-322.00	
11OCT17	S	1	PD11JAN18	3217.50	3235.38	USD	-447.00	
	NET	+8 AVG BGHT 3235.47	SOLD 3229.94				-1,124.00	0.00
12OCT17	S	1	PD12JAN18	3207.00	3235.00	USD	-700.00	
12OCT17	S	1	PD12JAN18	3231.00	3235.00	USD	-100.00	
12OCT17	S	1	PD12JAN18	3230.00	3235.00	USD	-125.00	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP083XA

13th October 2017

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+44 (0) 20 7340 9081
backoffice@sapiencap.com

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
12OCT17	S	1	PD12JAN18	3229.00	3235.00	USD	-150.00	
12OCT17	S	1	PD12JAN18	3239.00	3235.00	USD	100.00	
12OCT17	S	1	PD12JAN18	3236.00	3235.00	USD	25.00	
12OCT17	S	1	PD12JAN18	3235.50	3235.00	USD	12.50	
12OCT17	S	1	PD12JAN18	3237.00	3235.00	USD	50.00	
12OCT17	B	1	PD12JAN18	3244.00	3235.00	USD	-225.00	
12OCT17	B	1	PD12JAN18	3243.50	3235.00	USD	-212.50	
12OCT17	S	1	PD12JAN18	3239.00	3235.00	USD	100.00	
12OCT17	B	1	PD12JAN18	3248.50	3235.00	USD	-337.50	
12OCT17	B	1	PD12JAN18	3250.00	3235.00	USD	-375.00	
12OCT17	S	2	PD12JAN18	3252.00	3235.00	USD	850.00	
12OCT17	B	1	PD12JAN18	3260.50	3235.00	USD	-637.50	
12OCT17	B	3	PD12JAN18	3261.00	3235.00	USD	-1,950.00	
12OCT17	B	2	PD12JAN18	3256.50	3235.00	USD	-1,075.00	
12OCT17	B	1	PD12JAN18	3255.00	3235.00	USD	-500.00	
12OCT17	S	1	PD12JAN18	3253.50	3235.00	USD	462.50	
12OCT17	B	1	PD12JAN18	3251.00	3235.00	USD	-400.00	
12OCT17	S	1	PD12JAN18	3250.50	3235.00	USD	387.50	
12OCT17	S	1	PD12JAN18	3250.00	3235.00	USD	375.00	
12OCT17	S	1	PD12JAN18	3259.50	3235.00	USD	612.50	
12OCT17	B	1	PD12JAN18	3259.50	3235.00	USD	-612.50	
12OCT17	S	1	PD12JAN18	3259.00	3235.00	USD	600.00	
12OCT17	B	1	PD12JAN18	3257.50	3235.00	USD	-562.50	
13OCT17	S	1	PD12JAN18	3256.50	3235.00	USD	537.50	
13OCT17	S	1	PD12JAN18	3253.00	3235.00	USD	450.00	
13OCT17	B	1	PD12JAN18	3248.00	3235.00	USD	-325.00	
13OCT17	S	1	PD12JAN18	3252.00	3235.00	USD	425.00	
13OCT17	B	1	PD12JAN18	3246.00	3235.00	USD	-275.00	
13OCT17	B	1	PD12JAN18	3247.50	3235.00	USD	-312.50	
13OCT17	B	1	PD12JAN18	3244.00	3235.00	USD	-225.00	
13OCT17	B	1	PD12JAN18	3250.00	3235.00	USD	-375.00	
13OCT17	B	2	PD12JAN18	3245.00	3235.00	USD	-500.00	
13OCT17	B	1	PD12JAN18	3250.50	3235.00	USD	-387.50	
13OCT17	S	1	PD12JAN18	3248.50	3235.00	USD	337.50	
13OCT17	S	1	PD12JAN18	3248.50	3235.00	USD	337.50	
13OCT17	S	2	PD12JAN18	3254.00	3235.00	USD	950.00	
13OCT17	S	1	PD12JAN18	3263.00	3235.00	USD	700.00	
13OCT17	S	1	PD12JAN18	3265.00	3235.00	USD	750.00	
13OCT17	B	1	PD12JAN18	3265.00	3235.00	USD	-750.00	
13OCT17	S	2	PD12JAN18	3264.00	3235.00	USD	1,450.00	
13OCT17	S	1	PD12JAN18	3279.50	3235.00	USD	1,112.50	
13OCT17	B	1	PD12JAN18	3275.00	3235.00	USD	-1,000.00	
13OCT17	B	1	PD12JAN18	3268.00	3235.00	USD	-825.00	
13OCT17	B	1	PD12JAN18	3253.00	3235.00	USD	-450.00	
13OCT17	B	1	PD12JAN18	3226.00	3235.00	USD	225.00	
13OCT17	B	1	PD12JAN18	3238.50	3235.00	USD	-87.50	
13OCT17	B	3	PD12JAN18	3239.50	3235.00	USD	-337.50	
13OCT17	S	1	PD12JAN18	3234.00	3235.00	USD	-25.00	
13OCT17	S	3	PD12JAN18	3233.50	3235.00	USD	-112.50	
13OCT17	S	1	PD12JAN18	3233.00	3235.00	USD	-50.00	
13OCT17	B	1	PD12JAN18	3233.50	3235.00	USD	37.50	
	NET	-1 AVG BGHT 3250.59	SOLD 3246.35				-3,112.50	0.00

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OPEN POSITION VALUE

		USD
LME Aluminium 25 Tonnes.	FUTURES	-62,332.00
LME Copper Grade A 25	FUTURES	2,687.50
LME Lead 25 tonnes. This is an	FUTURES	211,135.25
LME Nickel 6 tonnes. This is	FUTURES	-17,996.64
LME Zinc 25 tonnes. This is	FUTURES	-139,600.00

MARKET REVALUATION BREAKDOWN

Unrealised Profits & Losses - Forwards	Amount	Profit / Loss	Total Amount	Total P&L
ALUL - Futures	USD -12,089.75	-12,089.75		
COPL - Futures	USD 2,687.50	2,687.50		
LEAD - Futures	USD -104,986.00	-104,986.00		
NKL - Futures	USD -18,267.24	-18,267.24		
ZINC - Futures	USD -221,586.50	-221,586.50	-354,241.99	-354,241.99

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
CASH BALANCE	(1.00000) 214,761.35	(1.00000) 214,761.35
Variation Margins - Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	214,761.35	214,761.35
Initial Margins	-407,089.99	-407,089.99
Excess/(Shortage)	-192,328.64	-192,328.64
FOR INFORMATION ONLY		
Forward Unrealised P&L	-354,241.99	-354,241.99
Net Liquidating Value	-139,480.64	-139,480.64

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	<u>LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.</u>					
04AUG17	B 1 PD03NOV17	1905.50	2114.78	USD	5,232.00	
04AUG17	S 1 PD03NOV17	1913.50	2114.78	USD	-5,032.00	
	NET 0AVG BGHT 1905.50 SOLD 1913.50				200.00	0.00
09AUG17	B 1 PD09NOV17	2036.00	2116.96	USD	2,024.00	
09AUG17	S 1 PD09NOV17	2020.00	2116.96	USD	-2,424.00	
	NET 0AVG BGHT 2036.00 SOLD 2020.00				-400.00	0.00
21SEP17	B 1 PD21DEC17	2167.50	2130.50	USD	-925.00	
21SEP17	S 1 PD21DEC17	2171.50	2130.50	USD	1,025.00	
	NET 0AVG BGHT 2167.50 SOLD 2171.50				100.00	0.00
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>					
23AUG17	B 1 PD24NOV17	6563.50	6866.73	USD	7,580.75	
23AUG17	S 1 PD24NOV17	6566.00	6866.73	USD	-7,518.25	
	NET 0AVG BGHT 6563.50 SOLD 6566.00				62.50	0.00
31AUG17	S 1 PD30NOV17	6828.00	6869.53	USD	-1,038.25	
31AUG17	B 1 PD30NOV17	6827.00	6869.53	USD	1,063.25	
	NET 0AVG BGHT 6827.00 SOLD 6828.00				25.00	0.00
01SEP17	B 1 PD01DEC17	6824.00	6870.00	USD	1,150.00	
01SEP17	S 1 PD01DEC17	6823.00	6870.00	USD	-1,175.00	
	NET 0AVG BGHT 6824.00 SOLD 6823.00				-25.00	0.00
13SEP17	B 1 PD13DEC17	6593.00	6874.92	USD	7,048.00	
13SEP17	S 1 PD13DEC17	6594.00	6874.92	USD	-7,023.00	
13SEP17	S 1 PD13DEC17	6596.50	6874.92	USD	-6,960.50	
13SEP17	B 1 PD13DEC17	6596.00	6874.92	USD	6,973.00	
	NET 0AVG BGHT 6594.50 SOLD 6595.25				37.50	0.00
15SEP17	B 1 PD15DEC17	6506.00	6875.75	USD	9,243.75	
15SEP17	S 1 PD15DEC17	6495.00	6875.75	USD	-9,518.75	
	NET 0AVG BGHT 6506.00 SOLD 6495.00				-275.00	0.00
18SEP17	B 1 PD18DEC17	6557.00	6877.00	USD	8,000.00	
18SEP17	S 1 PD18DEC17	6567.00	6877.00	USD	-7,750.00	
	NET 0AVG BGHT 6557.00 SOLD 6567.00				250.00	0.00
27SEP17	B 1 PD27DEC17	6467.50	6876.67	USD	10,229.25	
27SEP17	S 1 PD27DEC17	6470.50	6876.67	USD	-10,154.25	
	NET 0AVG BGHT 6467.50 SOLD 6470.50				75.00	0.00
29SEP17	B 1 PD29DEC17	6535.50	6877.33	USD	8,545.75	
	NET +1AVG BGHT 6535.50				8,545.75	0.00
10OCT17	S 1 PD10JAN18	6709.50	6881.33	USD	-4,295.75	
	NET -1AVG SOLD 6709.50				-4,295.75	0.00
	<u>LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
17JUL17	B 1 PD17OCT17	2328.00	2518.25	USD	4,756.25	
19SEP17	S 1 PD17OCT17	2404.73	2518.25	USD	-2,838.00	
	NET 0AVG BGHT 2328.00 SOLD 2404.73				1,918.25	0.00
18JUL17	S 1 PD18OCT17	2285.50	2518.75	USD	-5,831.25	
18JUL17	B 1 PD18OCT17	2272.50	2518.75	USD	6,156.25	
	NET 0AVG BGHT 2272.50 SOLD 2285.50				325.00	0.00
20JUL17	S 1 PD20OCT17	2215.00	2519.05	USD	-7,601.25	
19SEP17	B 1 PD20OCT17	2405.75	2519.05	USD	2,832.50	
	NET 0AVG BGHT 2405.75 SOLD 2215.00				-4,768.75	0.00
24JUL17	B 1 PD24OCT17	2248.50	2519.65	USD	6,778.75	
24JUL17	S 1 PD24OCT17	2253.00	2519.65	USD	-6,666.25	
	NET 0AVG BGHT 2248.50 SOLD 2253.00				112.50	0.00

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	<u>LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
25JUL17	B 1 PD25OCT17	2276.00	2519.80	USD	6,095.00	
25JUL17	S 1 PD25OCT17	2314.50	2519.80	USD	-5,132.50	
	NET 0AVG BGHT 2276.00 SOLD 2314.50				962.50	0.00
26JUL17	S 1 PD26OCT17	2322.00	2519.95	USD	-4,948.75	
19SEP17	B 1 PD26OCT17	2407.41	2519.95	USD	2,813.50	
	NET 0AVG BGHT 2407.41 SOLD 2322.00				-2,135.25	0.00
07AUG17	B 1 PD07NOV17	2386.00	2521.67	USD	3,391.75	
19SEP17	S 1 PD07NOV17	2410.54	2521.67	USD	-2,778.25	
	NET 0AVG BGHT 2386.00 SOLD 2410.54				613.50	0.00
09AUG17	B 1 PD09NOV17	2414.00	2521.94	USD	2,698.50	
19SEP17	S 1 PD09NOV17	2411.09	2521.94	USD	-2,771.25	
	NET 0AVG BGHT 2414.00 SOLD 2411.09				-72.75	0.00
18AUG17	S 1 PD17NOV17	2430.00	2522.75	USD	-2,318.75	
18AUG17	S 1 PD17NOV17	2372.00	2522.75	USD	-3,768.75	
19SEP17	B 2 PD17NOV17	2413.45	2522.75	USD	5,465.00	
	NET 0AVG BGHT 2413.45 SOLD 2401.00				-622.50	0.00
22AUG17	B 1 PD22NOV17	2333.00	2523.51	USD	4,762.75	
22AUG17	S 1 PD22NOV17	2359.00	2523.51	USD	-4,112.75	
	NET 0AVG BGHT 2333.00 SOLD 2359.00				650.00	0.00
31AUG17	B 1 PD30NOV17	2392.50	2524.72	USD	3,305.50	
31AUG17	S 1 PD30NOV17	2385.00	2524.72	USD	-3,493.00	
	NET 0AVG BGHT 2392.50 SOLD 2385.00				-187.50	0.00
11SEP17	S 1 PD11DEC17	2287.50	2526.39	USD	-5,972.25	
11SEP17	B 1 PD11DEC17	2292.50	2526.39	USD	5,847.25	
	NET 0AVG BGHT 2292.50 SOLD 2287.50				-125.00	0.00
	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
24AUG17	B 1 PD24NOV17	11735.00	11639.67	USD	-571.98	
24AUG17	S 1 PD24NOV17	11750.00	11639.67	USD	661.98	
	NET 0AVG BGHT 11735.00 SOLD 11750.00				90.00	0.00
29AUG17	B 2 PD29NOV17	11705.00	11643.10	USD	-742.80	
29AUG17	S 1 PD29NOV17	11685.00	11643.10	USD	251.40	
29AUG17	S 1 PD29NOV17	11695.00	11643.10	USD	311.40	
29AUG17	S 1 PD29NOV17	11775.00	11643.10	USD	791.40	
29AUG17	B 1 PD29NOV17	11790.00	11643.10	USD	-881.40	
	NET 0AVG BGHT 11733.33 SOLD 11718.33				-270.00	0.00
30AUG17	S 1 PD30NOV17	11720.00	11643.79	USD	457.26	
30AUG17	B 1 PD30NOV17	11710.00	11643.79	USD	-397.26	
	NET 0AVG BGHT 11710.00 SOLD 11720.00				60.00	0.00
07SEP17	S 1 PD07DEC17	12145.00	11648.59	USD	2,978.46	
07SEP17	B 1 PD07DEC17	12155.00	11648.59	USD	-3,038.46	
	NET 0AVG BGHT 12155.00 SOLD 12145.00				-60.00	0.00
04OCT17	B 1 PD04JAN18	10670.00	11668.91	USD	5,993.46	
04OCT17	S 1 PD04JAN18	10675.00	11668.91	USD	-5,963.46	
	NET 0AVG BGHT 10670.00 SOLD 10675.00				30.00	0.00
12OCT17	B 1 PD12JAN18	11265.00	11675.00	USD	2,460.00	
12OCT17	S 3 PD12JAN18	11265.00	11675.00	USD	-7,380.00	
12OCT17	B 2 PD12JAN18	11260.00	11675.00	USD	4,980.00	
	NET 0AVG BGHT 11261.67 SOLD 11265.00				60.00	0.00
	<u>LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
11OCT17	B 1 PD11JAN18	20820.00	20602.86	USD	-1,085.70	

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11OCT17	<u>LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
	S 1 PD11JAN18	20800.00	20602.86	USD	985.70	
	NET 0AVG BGHT 20820.00 SOLD 20800.00				-100.00	0.00
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
17JUL17	S 1 PD17OCT17	2838.00	3294.00	USD	-11,400.00	
19SEP17	B 1 PD17OCT17	3110.16	3294.00	USD	4,596.00	
	NET 0AVG BGHT 3110.16 SOLD 2838.00				-6,804.00	0.00
18JUL17	B 1 PD18OCT17	2786.00	3291.00	USD	12,625.00	
18JUL17	S 1 PD18OCT17	2786.50	3291.00	USD	-12,612.50	
	NET 0AVG BGHT 2786.00 SOLD 2786.50				12.50	0.00
20JUL17	B 1 PD20OCT17	2727.00	3282.00	USD	13,875.00	
19SEP17	S 1 PD20OCT17	3106.75	3282.00	USD	-4,381.25	
	NET 0AVG BGHT 2727.00 SOLD 3106.75				9,493.75	0.00
24JUL17	S 1 PD24OCT17	2780.50	3276.00	USD	-12,387.50	
24JUL17	B 1 PD24OCT17	2775.50	3276.00	USD	12,512.50	
	NET 0AVG BGHT 2775.50 SOLD 2780.50				125.00	0.00
25JUL17	S 1 PD25OCT17	2807.00	3273.00	USD	-11,650.00	
25JUL17	B 1 PD25OCT17	2839.50	3273.00	USD	10,837.50	
	NET 0AVG BGHT 2839.50 SOLD 2807.00				-812.50	0.00
26JUL17	B 1 PD26OCT17	2814.00	3271.87	USD	11,446.75	
19SEP17	S 1 PD26OCT17	3106.53	3271.87	USD	-4,133.50	
	NET 0AVG BGHT 2814.00 SOLD 3106.53				7,313.25	0.00
04AUG17	S 1 PD03NOV17	2824.50	3265.07	USD	-11,014.25	
04AUG17	B 1 PD03NOV17	2815.50	3265.07	USD	11,239.25	
	NET 0AVG BGHT 2815.50 SOLD 2824.50				225.00	0.00
07AUG17	S 1 PD07NOV17	2884.50	3262.80	USD	-9,457.50	
19SEP17	B 1 PD07NOV17	3106.28	3262.80	USD	3,913.00	
	NET 0AVG BGHT 3106.28 SOLD 2884.50				-5,544.50	0.00
09AUG17	S 1 PD09NOV17	2972.00	3260.53	USD	-7,213.25	
09AUG17	S 1 PD09NOV17	2974.00	3260.53	USD	-7,163.25	
09AUG17	B 1 PD09NOV17	2935.00	3260.53	USD	8,138.25	
19SEP17	B 1 PD09NOV17	3106.17	3260.53	USD	3,859.00	
	NET 0AVG BGHT 3020.59 SOLD 2973.00				-2,379.25	0.00
18AUG17	B 1 PD17NOV17	3118.00	3251.00	USD	3,325.00	
18AUG17	B 1 PD17NOV17	3124.50	3251.00	USD	3,162.50	
19SEP17	S 2 PD17NOV17	3103.53	3251.00	USD	-7,373.50	
	NET 0AVG BGHT 3121.25 SOLD 3103.53				-886.00	0.00
22AUG17	S 1 PD22NOV17	3112.50	3248.38	USD	-3,397.00	
22AUG17	B 1 PD22NOV17	3159.50	3248.38	USD	2,222.00	
	NET 0AVG BGHT 3159.50 SOLD 3112.50				-1,175.00	0.00
29AUG17	B 2 PD29NOV17	3085.00	3246.75	USD	8,087.50	
29AUG17	S 2 PD29NOV17	3103.00	3246.75	USD	-7,187.50	
	NET 0AVG BGHT 3085.00 SOLD 3103.00				900.00	0.00
31AUG17	S 1 PD30NOV17	3140.50	3246.50	USD	-2,650.00	
31AUG17	B 1 PD30NOV17	3136.00	3246.50	USD	2,762.50	
	NET 0AVG BGHT 3136.00 SOLD 3140.50				112.50	0.00
26SEP17	B 1 PD27DEC17	3138.00	3239.23	USD	2,530.75	
26SEP17	S 1 PD27DEC17	3142.00	3239.23	USD	-2,430.75	
	NET 0AVG BGHT 3138.00 SOLD 3142.00				100.00	0.00
29SEP17	S 2 PD29DEC17	3152.00	3238.46	USD	-4,323.00	
	NET -2AVG SOLD 3152.00				-4,323.00	0.00
09OCT17	S 1 PD09JAN18	3273.50	3236.15	USD	933.75	

OPEN POSITION STATEMENT



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SAP083YL

13th October 2017

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Suite A, 105 Piccadilly
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+44 (0) 20 7340 9081
backoffice@sapiencap.com

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Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
09OCT17	B 1 PD09JAN18	3272.50	3236.15	USD	-908.75	
	NET 0AVG BGHT 3272.50 SOLD 3273.50				25.00	0.00
10OCT17	B 2 PD10JAN18	3243.50	3235.77	USD	-386.50	
	NET +2AVG BGHT 3243.50				-386.50	0.00
11OCT17	S 1 PD11JAN18	3242.50	3235.38	USD	178.00	
11OCT17	B 1 PD11JAN18	3238.00	3235.38	USD	-65.50	
	NET 0AVG BGHT 3238.00 SOLD 3242.50				112.50	0.00

OPEN POSITION VALUE

		USD
LME Aluminium 25 Tonnes.	FUTURES	-100.00
LME Copper Grade A 25	FUTURES	4,500.00
LME Lead 25 tonnes. This is an	FUTURES	-3,330.00
LME Nickel 6 tonnes. This is	FUTURES	-90.00
LME Tin 5 tonnes. This is an	FUTURES	-100.00
LME Zinc 25 tonnes. This is	FUTURES	-3,756.75

MARKET REVALUATION BREAKDOWN

Unrealised Profits & Losses - Forwards	Amount	Profit / Loss	Total Amount	Total P&L
ALUL - Futures USD	-100.00	-100.00		
COPL - Futures USD	4,400.00	4,400.00		
LEAD - Futures USD	-3,330.00	-3,330.00		
NKL - Futures USD	-90.00	-90.00		
TIN - Futures USD	-100.00	-100.00		
ZINC - Futures USD	-3,891.25	-3,891.25	-3,111.25	-3,111.25

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
(1.00000)	(1.00000)	
CASH BALANCE	14,674.58	14,674.58
Variation Margins - Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	14,674.58	14,674.58
Initial Margins	-3,595.25	-3,595.25
Excess/(Shortage)	11,079.33	11,079.33
<u>FOR INFORMATION ONLY</u>		
Forward Unrealised P&L	-3,111.25	-3,111.25
Net Liquidating Value	11,563.33	11,563.33

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	<u>LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.</u>							
01AUG17	B	1	PD01NOV17	1907.50	2114.09	USD	5,164.75	
01AUG17	B	1	PD01NOV17	1910.00	2114.09	USD	5,102.25	
19SEP17	S	2	PD01NOV17	2109.68	2114.09	USD	-220.50	
	NET	0 AVG	BGHT 1908.75				10,046.50	0.00
02AUG17	S	1	PD02NOV17	1910.50	2114.44	USD	-5,098.50	
02AUG17	S	1	PD02NOV17	1912.00	2114.44	USD	-5,061.00	
02AUG17	B	1	PD02NOV17	1926.50	2114.44	USD	4,698.50	
19SEP17	B	1	PD02NOV17	2110.16	2114.44	USD	107.00	
	NET	0 AVG	BGHT 2018.33				-5,354.00	0.00
03AUG17	S	1	PD03NOV17	1930.00	2114.78	USD	-4,619.50	
04AUG17	B	1	PD03NOV17	1906.00	2114.78	USD	5,219.50	
04AUG17	S	1	PD03NOV17	1914.00	2114.78	USD	-5,019.50	
19SEP17	B	1	PD03NOV17	2110.45	2114.78	USD	108.25	
	NET	0 AVG	BGHT 2008.23				-4,311.25	0.00
07AUG17	B	2	PD07NOV17	1953.00	2116.16	USD	8,158.00	
07AUG17	S	1	PD07NOV17	1957.00	2116.16	USD	-3,979.00	
07AUG17	S	1	PD07NOV17	1958.00	2116.16	USD	-3,954.00	
	NET	0 AVG	BGHT 1953.00				225.00	0.00
09AUG17	B	1	PD09NOV17	2040.00	2116.96	USD	1,924.00	
09AUG17	S	1	PD09NOV17	2033.50	2116.96	USD	-2,086.50	
	NET	0 AVG	BGHT 2040.00				-162.50	0.00
25AUG17	S	1	PD24NOV17	2098.50	2122.60	USD	-602.50	
25AUG17	B	1	PD24NOV17	2090.50	2122.60	USD	802.50	
	NET	0 AVG	BGHT 2090.50				200.00	0.00
18SEP17	B	1	PD18DEC17	2091.00	2130.18	USD	979.50	
	NET	+1 AVG	BGHT 2091.00				979.50	0.00
19SEP17	S	1	PD19DEC17	2129.00	2130.50	USD	-37.50	
	NET	-1 AVG	SOLD 2129.00				-37.50	0.00
28SEP17	B	1	PD28DEC17	2136.00	2131.14	USD	-121.50	
28SEP17	S	1	PD28DEC17	2136.00	2131.14	USD	121.50	
	NET	0 AVG	BGHT 2136.00				0.00	0.00
10OCT17	B	1	PD10JAN18	2162.50	2133.18	USD	-733.00	
	NET	+1 AVG	BGHT 2162.50				-733.00	0.00
11OCT17	S	1	PD11JAN18	2155.50	2133.34	USD	554.00	
	NET	-1 AVG	SOLD 2155.50				554.00	0.00
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
25JUL17	S	1	PD25OCT17	6228.00	6857.50	USD	-15,737.50	
25JUL17	B	1	PD25OCT17	6223.00	6857.50	USD	15,862.50	
	NET	0 AVG	BGHT 6223.00				125.00	0.00
08AUG17	B	1	PD08NOV17	6492.00	6864.45	USD	9,311.25	
08AUG17	S	1	PD08NOV17	6489.00	6864.45	USD	-9,386.25	
	NET	0 AVG	BGHT 6492.00				-75.00	0.00
	<u>LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
01AUG17	S	1	PD01NOV17	2334.50	2520.85	USD	-4,658.75	
01AUG17	S	1	PD01NOV17	2337.50	2520.85	USD	-4,583.75	
19SEP17	B	2	PD01NOV17	2408.88	2520.85	USD	5,598.50	
	NET	0 AVG	BGHT 2408.88				-3,644.00	0.00
02AUG17	B	1	PD02NOV17	2323.00	2521.00	USD	4,950.00	
02AUG17	B	1	PD02NOV17	2324.50	2521.00	USD	4,912.50	
19SEP17	S	2	PD02NOV17	2408.95	2521.00	USD	-5,602.50	

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	<u>LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
	NET	0 AVG BGHT 2323.75	SOLD 2408.95				4,260.00	0.00
07AUG17	B	1 PD07NOV17		2380.50	2521.67	USD	3,529.25	
19SEP17	S	1 PD07NOV17		2410.54	2521.67	USD	-2,778.25	
	NET	0 AVG BGHT 2380.50	SOLD 2410.54				751.00	0.00
08AUG17	B	1 PD08NOV17		2353.00	2521.81	USD	4,220.25	
08AUG17	S	1 PD08NOV17		2384.50	2521.81	USD	-3,432.75	
08AUG17	B	1 PD08NOV17		2383.00	2521.81	USD	3,470.25	
19SEP17	S	1 PD08NOV17		2410.81	2521.81	USD	-2,775.00	
	NET	0 AVG BGHT 2368.00	SOLD 2397.66				1,482.75	0.00
09AUG17	S	1 PD09NOV17		2392.00	2521.94	USD	-3,248.50	
09AUG17	B	1 PD09NOV17		2352.00	2521.94	USD	4,248.50	
09AUG17	S	1 PD09NOV17		2354.00	2521.94	USD	-4,198.50	
19SEP17	B	1 PD09NOV17		2411.29	2521.94	USD	2,766.25	
	NET	0 AVG BGHT 2381.65	SOLD 2373.00				-432.25	0.00
17AUG17	S	1 PD17NOV17		2405.50	2522.75	USD	-2,931.25	
19SEP17	B	1 PD17NOV17		2413.45	2522.75	USD	2,732.50	
	NET	0 AVG BGHT 2413.45	SOLD 2405.50				-198.75	0.00
21SEP17	S	1 PD21DEC17		2502.00	2526.75	USD	-618.75	
21SEP17	B	1 PD21DEC17		2501.00	2526.75	USD	643.75	
21SEP17	B	1 PD21DEC17		2506.00	2526.75	USD	518.75	
21SEP17	S	1 PD21DEC17		2510.00	2526.75	USD	-418.75	
	NET	0 AVG BGHT 2503.50	SOLD 2506.00				125.00	0.00
	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
17JUL17	B	1 PD17OCT17		9615.00	11610.50	USD	11,973.00	
19SEP17	S	1 PD17OCT17		11087.76	11610.50	USD	-3,136.44	
	NET	0 AVG BGHT 9615.00	SOLD 11087.76				8,836.56	0.00
20JUL17	B	1 PD20OCT17		9520.00	11611.35	USD	12,548.10	
20JUL17	S	1 PD20OCT17		9470.00	11611.35	USD	-12,848.10	
21JUL17	B	1 PD20OCT17		9515.00	11611.35	USD	12,578.10	
21JUL17	B	1 PD20OCT17		9530.00	11611.35	USD	12,488.10	
21JUL17	S	1 PD20OCT17		9545.00	11611.35	USD	-12,398.10	
19SEP17	S	1 PD20OCT17		11091.25	11611.35	USD	-3,120.60	
	NET	0 AVG BGHT 9521.67	SOLD 10035.42				9,247.50	0.00
24JUL17	S	1 PD24OCT17		9675.00	11614.76	USD	-11,638.56	
19SEP17	B	1 PD24OCT17		11095.75	11614.76	USD	3,114.06	
	NET	0 AVG BGHT 11095.75	SOLD 9675.00				-8,524.50	0.00
25JUL17	S	1 PD25OCT17		10000.00	11615.61	USD	-9,693.66	
25JUL17	B	1 PD25OCT17		10025.00	11615.61	USD	9,543.66	
	NET	0 AVG BGHT 10025.00	SOLD 10000.00				-150.00	0.00
27JUL17	S	1 PD27OCT17		10190.00	11617.31	USD	-8,563.86	
27JUL17	B	1 PD27OCT17		10150.00	11617.31	USD	8,803.86	
28JUL17	B	1 PD27OCT17		10270.00	11617.31	USD	8,083.86	
28JUL17	S	1 PD27OCT17		10275.00	11617.31	USD	-8,053.86	
28JUL17	S	1 PD27OCT17		10280.00	11617.31	USD	-8,023.86	
19SEP17	B	1 PD27OCT17		11097.38	11617.31	USD	3,119.58	
	NET	0 AVG BGHT 10505.79	SOLD 10248.33				-4,634.28	0.00
31JUL17	B	1 PD31OCT17		10335.00	11620.72	USD	7,714.32	
31JUL17	B	1 PD31OCT17		10215.00	11620.72	USD	8,434.32	
31JUL17	S	1 PD31OCT17		10220.00	11620.72	USD	-8,404.32	
19SEP17	S	1 PD31OCT17		11099.88	11620.72	USD	-3,125.04	
	NET	0 AVG BGHT 10275.00	SOLD 10659.94				4,619.28	0.00
01AUG17	S	1 PD01NOV17		10295.00	11621.57	USD	-7,959.42	

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
01AUG17	S	1	PD01NOV17	10280.00	11621.57	USD	-8,049.42	
01AUG17	B	2	PD01NOV17	10255.00	11621.57	USD	16,398.84	
01AUG17	B	1	PD01NOV17	10225.00	11621.57	USD	8,379.42	
01AUG17	S	1	PD01NOV17	10225.00	11621.57	USD	-8,379.42	
	NET	0 AVG	BGHT 10245.00	SOLD 10266.67			390.00	0.00
02AUG17	S	1	PD02NOV17	10285.00	11622.43	USD	-8,024.58	
02AUG17	S	1	PD02NOV17	10340.00	11622.43	USD	-7,694.58	
19SEP17	B	2	PD02NOV17	11102.63	11622.43	USD	6,237.60	
	NET	0 AVG	BGHT 11102.63	SOLD 10312.50			-9,481.56	0.00
03AUG17	B	2	PD03NOV17	10395.00	11623.28	USD	14,739.36	
04AUG17	S	1	PD03NOV17	10280.00	11623.28	USD	-8,059.68	
19SEP17	B	1	PD03NOV17	11103.50	11623.28	USD	3,118.68	
	NET	+2 AVG	BGHT 10631.17	SOLD 10280.00			9,798.36	0.00
07AUG17	B	1	PD07NOV17	10260.00	11626.69	USD	8,200.14	
07AUG17	S	1	PD07NOV17	10380.00	11626.69	USD	-7,480.14	
07AUG17	S	1	PD07NOV17	10385.00	11626.69	USD	-7,450.14	
19SEP17	S	1	PD07NOV17	11106.00	11626.69	USD	-3,124.14	
	NET	-2 AVG	BGHT 10260.00	SOLD 10623.67			-9,854.28	0.00
08AUG17	B	1	PD08NOV17	10360.00	11627.54	USD	7,605.24	
08AUG17	B	1	PD08NOV17	10540.00	11627.54	USD	6,525.24	
08AUG17	S	1	PD08NOV17	10440.00	11627.54	USD	-7,125.24	
08AUG17	B	1	PD08NOV17	10650.00	11627.54	USD	5,865.24	
19SEP17	S	2	PD08NOV17	11106.88	11627.54	USD	-6,247.92	
	NET	0 AVG	BGHT 10516.67	SOLD 10884.59			6,622.56	0.00
09AUG17	B	1	PD09NOV17	10785.00	11628.39	USD	5,060.34	
09AUG17	S	1	PD09NOV17	10755.00	11628.39	USD	-5,240.34	
	NET	0 AVG	BGHT 10785.00	SOLD 10755.00			-180.00	0.00
21AUG17	B	1	PD21NOV17	11330.00	11637.61	USD	1,845.66	
21AUG17	B	1	PD21NOV17	11290.00	11637.61	USD	2,085.66	
21AUG17	S	3	PD21NOV17	11330.00	11637.61	USD	-5,536.98	
21AUG17	B	1	PD21NOV17	11345.00	11637.61	USD	1,755.66	
	NET	0 AVG	BGHT 11321.67	SOLD 11330.00			150.00	0.00
23AUG17	S	1	PD24NOV17	11560.00	11639.67	USD	-478.02	
23AUG17	B	1	PD24NOV17	11490.00	11639.67	USD	898.02	
23AUG17	S	1	PD24NOV17	11685.00	11639.67	USD	271.98	
23AUG17	B	1	PD24NOV17	11755.00	11639.67	USD	-691.98	
25AUG17	S	1	PD24NOV17	11495.00	11639.67	USD	-868.02	
25AUG17	B	1	PD24NOV17	11460.00	11639.67	USD	1,078.02	
	NET	0 AVG	BGHT 11568.33	SOLD 11580.00			210.00	0.00
29AUG17	B	1	PD29NOV17	11660.00	11643.10	USD	-101.40	
29AUG17	S	1	PD29NOV17	11645.00	11643.10	USD	11.40	
	NET	0 AVG	BGHT 11660.00	SOLD 11645.00			-90.00	0.00
30AUG17	B	1	PD30NOV17	11590.00	11643.79	USD	322.74	
30AUG17	S	1	PD30NOV17	11610.00	11643.79	USD	-202.74	
	NET	0 AVG	BGHT 11590.00	SOLD 11610.00			120.00	0.00
01SEP17	S	1	PD01DEC17	12080.00	11644.47	USD	2,613.18	
01SEP17	B	1	PD01DEC17	12130.00	11644.47	USD	-2,913.18	
01SEP17	S	1	PD01DEC17	12085.00	11644.47	USD	2,643.18	
19SEP17	B	1	PD01DEC17	11126.24	11644.47	USD	3,109.38	
	NET	0 AVG	BGHT 11628.12	SOLD 12082.50			5,452.56	0.00
04SEP17	B	1	PD04DEC17	12225.00	11646.53	USD	-3,470.82	
04SEP17	B	1	PD04DEC17	12240.00	11646.53	USD	-3,560.82	
04SEP17	S	1	PD04DEC17	12190.00	11646.53	USD	3,260.82	
19SEP17	S	1	PD04DEC17	11127.53	11646.53	USD	-3,114.00	

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
	NET	0 AVG BGHT 12232.50	SOLD 11658.77				-6,884.82	0.00
05SEP17	B	1 PD05DEC17		12040.00	11647.21	USD	-2,356.74	
05SEP17	B	1 PD05DEC17		11920.00	11647.21	USD	-1,636.74	
05SEP17	S	1 PD05DEC17		11975.00	11647.21	USD	1,966.74	
05SEP17	S	1 PD05DEC17		11985.00	11647.21	USD	2,026.74	
	NET	0 AVG BGHT 11980.00	SOLD 11980.00				0.00	0.00
06SEP17	B	1 PD06DEC17		12235.00	11647.90	USD	-3,522.60	
06SEP17	S	1 PD06DEC17		12195.00	11647.90	USD	3,282.60	
	NET	0 AVG BGHT 12235.00	SOLD 12195.00				-240.00	0.00
12SEP17	B	1 PD12DEC17		11930.00	11652.01	USD	-1,667.94	
12SEP17	B	1 PD12DEC17		11910.00	11652.01	USD	-1,547.94	
12SEP17	S	2 PD12DEC17		11915.00	11652.01	USD	3,155.88	
	NET	0 AVG BGHT 11920.00	SOLD 11915.00				-60.00	0.00
13SEP17	B	1 PD13DEC17		11385.00	11652.70	USD	1,606.20	
13SEP17	S	1 PD13DEC17		11430.00	11652.70	USD	-1,336.20	
	NET	0 AVG BGHT 11385.00	SOLD 11430.00				270.00	0.00
14SEP17	B	1 PD14DEC17		11270.00	11653.39	USD	2,300.34	
14SEP17	S	1 PD14DEC17		11275.00	11653.39	USD	-2,270.34	
14SEP17	B	1 PD14DEC17		11240.00	11653.39	USD	2,480.34	
14SEP17	B	1 PD14DEC17		11210.00	11653.39	USD	2,660.34	
14SEP17	S	1 PD14DEC17		11215.00	11653.39	USD	-2,630.34	
	NET	+1 AVG BGHT 11240.00	SOLD 11245.00				2,540.34	0.00
15SEP17	S	1 PD15DEC17		11255.00	11654.07	USD	-2,394.42	
15SEP17	B	1 PD15DEC17		11075.00	11654.07	USD	3,474.42	
15SEP17	S	1 PD15DEC17		11115.00	11654.07	USD	-3,234.42	
	NET	-1 AVG BGHT 11075.00	SOLD 11185.00				-2,154.42	0.00
18SEP17	B	2 PD18DEC17		11230.00	11656.13	USD	5,113.56	
18SEP17	S	1 PD18DEC17		11225.00	11656.13	USD	-2,586.78	
18SEP17	S	1 PD18DEC17		11220.00	11656.13	USD	-2,616.78	
18SEP17	B	1 PD18DEC17		11160.00	11656.13	USD	2,976.78	
18SEP17	S	1 PD18DEC17		11160.00	11656.13	USD	-2,976.78	
18SEP17	B	1 PD18DEC17		11170.00	11656.13	USD	2,916.78	
	NET	+1 AVG BGHT 11197.50	SOLD 11201.67				2,826.78	0.00
19SEP17	S	1 PD19DEC17		11165.00	11656.81	USD	-2,950.86	
	NET	-1 AVG SOLD 11165.00					-2,950.86	0.00
21SEP17	B	1 PD21DEC17		11010.00	11658.26	USD	3,889.56	
21SEP17	S	1 PD21DEC17		10980.00	11658.26	USD	-4,069.56	
21SEP17	B	1 PD21DEC17		10915.00	11658.26	USD	4,459.56	
21SEP17	S	1 PD21DEC17		10830.00	11658.26	USD	-4,969.56	
21SEP17	S	1 PD21DEC17		10800.00	11658.26	USD	-5,149.56	
21SEP17	B	1 PD21DEC17		10805.00	11658.26	USD	5,119.56	
21SEP17	B	1 PD21DEC17		10740.00	11658.26	USD	5,509.56	
21SEP17	S	1 PD21DEC17		10725.00	11658.26	USD	-5,599.56	
21SEP17	S	1 PD21DEC17		10640.00	11658.26	USD	-6,109.56	
21SEP17	B	1 PD21DEC17		10860.00	11658.26	USD	4,789.56	
	NET	0 AVG BGHT 10866.00	SOLD 10795.00				-2,130.00	0.00
27SEP17	B	1 PD27DEC17		10250.00	11662.83	USD	8,476.98	
27SEP17	S	1 PD27DEC17		10250.00	11662.83	USD	-8,476.98	
	NET	0 AVG BGHT 10250.00	SOLD 10250.00				0.00	0.00
28SEP17	S	1 PD28DEC17		10510.00	11663.59	USD	-6,921.54	
28SEP17	B	1 PD28DEC17		10465.00	11663.59	USD	7,191.54	
	NET	0 AVG BGHT 10465.00	SOLD 10510.00				270.00	0.00
05OCT17	B	1 PD05JAN18		10535.00	11669.67	USD	6,808.02	
05OCT17	S	1 PD05JAN18		10580.00	11669.67	USD	-6,538.02	

OPEN POSITION STATEMENT



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SAP083YO

13th October 2017

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London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
	NET	0 AVG BGHT 10535.00	SOLD 10580.00				270.00	0.00
10OCT17	S	1 PD10JAN18		11040.00	11673.48	USD	-3,800.88	
10OCT17	B	1 PD10JAN18		11020.00	11673.48	USD	3,920.88	
10OCT17	S	1 PD10JAN18		11045.00	11673.48	USD	-3,770.88	
	NET	-1 AVG BGHT 11020.00	SOLD 11042.50				-3,650.88	0.00
11OCT17	B	1 PD11JAN18		11030.00	11674.24	USD	3,865.44	
11OCT17	B	1 PD11JAN18		11150.00	11674.24	USD	3,145.44	
11OCT17	S	1 PD11JAN18		11160.00	11674.24	USD	-3,085.44	
	NET	+1 AVG BGHT 11090.00	SOLD 11160.00				3,925.44	0.00
12OCT17	S	1 PD12JAN18		11380.00	11675.00	USD	-1,770.00	
13OCT17	B	1 PD12JAN18		11425.00	11675.00	USD	1,500.00	
13OCT17	S	1 PD12JAN18		11715.00	11675.00	USD	240.00	
	NET	-1 AVG BGHT 11425.00	SOLD 11547.50				-30.00	0.00
	<u>LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
19JUL17	S	1 PD19OCT17		20085.00	20732.50	USD	-3,237.50	
19JUL17	S	1 PD19OCT17		20090.00	20732.50	USD	-3,212.50	
19JUL17	B	1 PD19OCT17		20100.00	20732.50	USD	3,162.50	
19SEP17	B	1 PD19OCT17		20728.00	20732.50	USD	22.50	
	NET	0 AVG BGHT 20414.00	SOLD 20087.50				-3,265.00	0.00
20JUL17	B	1 PD20OCT17		20115.00	20730.00	USD	3,075.00	
19SEP17	S	1 PD20OCT17		20725.00	20730.00	USD	-25.00	
	NET	0 AVG BGHT 20115.00	SOLD 20725.00				3,050.00	0.00
25JUL17	B	1 PD25OCT17		20310.00	20722.50	USD	2,062.50	
25JUL17	S	1 PD25OCT17		20350.00	20722.50	USD	-1,862.50	
25JUL17	S	1 PD25OCT17		20340.00	20722.50	USD	-1,912.50	
25JUL17	B	1 PD25OCT17		20330.00	20722.50	USD	1,962.50	
25JUL17	B	1 PD25OCT17		20350.00	20722.50	USD	1,862.50	
25JUL17	B	1 PD25OCT17		20365.00	20722.50	USD	1,787.50	
25JUL17	S	1 PD25OCT17		20365.00	20722.50	USD	-1,787.50	
25JUL17	S	1 PD25OCT17		20360.00	20722.50	USD	-1,812.50	
	NET	0 AVG BGHT 20338.75	SOLD 20353.75				300.00	0.00
27JUL17	S	1 PD27OCT17		20655.00	20717.50	USD	-312.50	
27JUL17	B	2 PD27OCT17		20620.00	20717.50	USD	975.00	
27JUL17	S	1 PD27OCT17		20635.00	20717.50	USD	-412.50	
28JUL17	S	2 PD27OCT17		20650.00	20717.50	USD	-675.00	
28JUL17	B	2 PD27OCT17		20655.00	20717.50	USD	625.00	
28JUL17	S	1 PD27OCT17		20680.00	20717.50	USD	-187.50	
28JUL17	B	1 PD27OCT17		20625.00	20717.50	USD	462.50	
28JUL17	B	1 PD27OCT17		20645.00	20717.50	USD	362.50	
28JUL17	S	1 PD27OCT17		20645.00	20717.50	USD	-362.50	
	NET	0 AVG BGHT 20636.67	SOLD 20652.50				475.00	0.00
31JUL17	B	2 PD31OCT17		20660.00	20712.50	USD	525.00	
31JUL17	S	1 PD31OCT17		20655.00	20712.50	USD	-287.50	
31JUL17	S	1 PD31OCT17		20695.00	20712.50	USD	-87.50	
	NET	0 AVG BGHT 20660.00	SOLD 20675.00				150.00	0.00
01AUG17	S	1 PD01NOV17		20535.00	20710.00	USD	-875.00	
01AUG17	B	1 PD01NOV17		20490.00	20710.00	USD	1,100.00	
	NET	0 AVG BGHT 20490.00	SOLD 20535.00				225.00	0.00
03AUG17	S	1 PD03NOV17		20640.00	20705.00	USD	-325.00	
03AUG17	B	1 PD03NOV17		20655.00	20705.00	USD	250.00	
04AUG17	B	1 PD03NOV17		20520.00	20705.00	USD	925.00	
04AUG17	B	1 PD03NOV17		20540.00	20705.00	USD	825.00	
04AUG17	S	1 PD03NOV17		20560.00	20705.00	USD	-725.00	

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19SEP17	S	1	PD03NOV17	20706.00	20705.00	USD	5.00	
	NET	0 AVG	BGHT 20571.67	SOLD 20635.33			955.00	0.00
07AUG17	S	1	PD07NOV17	20575.00	20700.00	USD	-625.00	
07AUG17	B	2	PD07NOV17	20620.00	20700.00	USD	800.00	
19SEP17	S	1	PD07NOV17	20702.00	20700.00	USD	10.00	
	NET	0 AVG	BGHT 20620.00	SOLD 20638.50			185.00	0.00
08AUG17	B	1	PD08NOV17	20165.00	20697.50	USD	2,662.50	
08AUG17	S	1	PD08NOV17	20200.00	20697.50	USD	-2,487.50	
08AUG17	B	1	PD08NOV17	20160.00	20697.50	USD	2,687.50	
08AUG17	S	1	PD08NOV17	20215.00	20697.50	USD	-2,412.50	
08AUG17	S	1	PD08NOV17	20265.00	20697.50	USD	-2,162.50	
08AUG17	S	1	PD08NOV17	20245.00	20697.50	USD	-2,262.50	
19SEP17	B	2	PD08NOV17	20701.00	20697.50	USD	-35.00	
	NET	0 AVG	BGHT 20431.75	SOLD 20231.25			-4,010.00	0.00
09AUG17	B	1	PD09NOV17	20250.00	20695.00	USD	2,225.00	
09AUG17	B	1	PD09NOV17	20225.00	20695.00	USD	2,350.00	
09AUG17	S	1	PD09NOV17	20250.00	20695.00	USD	-2,225.00	
09AUG17	S	1	PD09NOV17	20225.00	20695.00	USD	-2,350.00	
	NET	0 AVG	BGHT 20237.50	SOLD 20237.50			0.00	0.00
18AUG17	B	1	PD17NOV17	20190.00	20681.25	USD	2,456.25	
18AUG17	S	1	PD17NOV17	20230.00	20681.25	USD	-2,256.25	
	NET	0 AVG	BGHT 20190.00	SOLD 20230.00			200.00	0.00
21AUG17	B	1	PD21NOV17	20450.00	20677.50	USD	1,137.50	
21AUG17	S	1	PD21NOV17	20435.00	20677.50	USD	-1,212.50	
21AUG17	S	1	PD21NOV17	20470.00	20677.50	USD	-1,037.50	
21AUG17	B	1	PD21NOV17	20500.00	20677.50	USD	887.50	
21AUG17	S	2	PD21NOV17	20485.00	20677.50	USD	-1,925.00	
21AUG17	B	1	PD21NOV17	20465.00	20677.50	USD	1,062.50	
21AUG17	B	1	PD21NOV17	20495.00	20677.50	USD	912.50	
	NET	0 AVG	BGHT 20477.50	SOLD 20468.75			-175.00	0.00
22AUG17	B	1	PD22NOV17	20310.00	20675.63	USD	1,828.15	
22AUG17	S	1	PD22NOV17	20325.00	20675.63	USD	-1,753.15	
	NET	0 AVG	BGHT 20310.00	SOLD 20325.00			75.00	0.00
23AUG17	S	1	PD24NOV17	20525.00	20673.75	USD	-743.75	
23AUG17	B	1	PD24NOV17	20520.00	20673.75	USD	768.75	
24AUG17	B	1	PD24NOV17	20465.00	20673.75	USD	1,043.75	
24AUG17	S	1	PD24NOV17	20475.00	20673.75	USD	-993.75	
25AUG17	B	1	PD24NOV17	20300.00	20673.75	USD	1,868.75	
25AUG17	S	1	PD24NOV17	20325.00	20673.75	USD	-1,743.75	
25AUG17	S	1	PD24NOV17	20395.00	20673.75	USD	-1,393.75	
25AUG17	B	1	PD24NOV17	20420.00	20673.75	USD	1,268.75	
	NET	0 AVG	BGHT 20426.25	SOLD 20430.00			75.00	0.00
29AUG17	B	1	PD29NOV17	20370.00	20668.13	USD	1,490.65	
29AUG17	B	1	PD29NOV17	20390.00	20668.13	USD	1,390.65	
29AUG17	S	1	PD29NOV17	20460.00	20668.13	USD	-1,040.65	
29AUG17	S	1	PD29NOV17	20430.00	20668.13	USD	-1,190.65	
	NET	0 AVG	BGHT 20380.00	SOLD 20445.00			650.00	0.00
30AUG17	B	1	PD30NOV17	20545.00	20666.25	USD	606.25	
30AUG17	S	1	PD30NOV17	20530.00	20666.25	USD	-681.25	
30AUG17	S	1	PD30NOV17	20545.00	20666.25	USD	-606.25	
30AUG17	S	1	PD30NOV17	20580.00	20666.25	USD	-431.25	
30AUG17	B	1	PD30NOV17	20600.00	20666.25	USD	331.25	
30AUG17	B	1	PD30NOV17	20630.00	20666.25	USD	181.25	
31AUG17	B	1	PD30NOV17	20700.00	20666.25	USD	-168.75	
31AUG17	S	1	PD30NOV17	20685.00	20666.25	USD	93.75	

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	NET	0 AVG	BGHT	20618.75	SOLD	20585.00		-675.00	0.00
01SEP17	B	1	PD01DEC17		20710.00	20664.38	USD	-228.10	
01SEP17	S	1	PD01DEC17		20685.00	20664.38	USD	103.10	
01SEP17	B	1	PD01DEC17		20670.00	20664.38	USD	-28.10	
01SEP17	S	1	PD01DEC17		20695.00	20664.38	USD	153.10	
	NET	0 AVG	BGHT	20690.00	SOLD	20690.00		0.00	0.00
04SEP17	S	1	PD04DEC17		20790.00	20662.50	USD	637.50	
19SEP17	B	1	PD04DEC17		20669.13	20662.50	USD	-33.15	
	NET	0 AVG	BGHT	20669.13	SOLD	20790.00		604.35	0.00
05SEP17	B	1	PD05DEC17		20800.00	20660.63	USD	-696.85	
19SEP17	S	1	PD05DEC17		20666.39	20660.63	USD	28.80	
	NET	0 AVG	BGHT	20800.00	SOLD	20666.39		-668.05	0.00
06SEP17	S	1	PD06DEC17		20765.00	20658.75	USD	531.25	
06SEP17	B	1	PD06DEC17		20785.00	20658.75	USD	-631.25	
	NET	0 AVG	BGHT	20785.00	SOLD	20765.00		-100.00	0.00
13SEP17	S	1	PD13DEC17		20590.00	20649.38	USD	-296.90	
13SEP17	B	1	PD13DEC17		20575.00	20649.38	USD	371.90	
	NET	0 AVG	BGHT	20575.00	SOLD	20590.00		75.00	0.00
15SEP17	B	1	PD15DEC17		20515.00	20645.63	USD	653.15	
15SEP17	S	1	PD15DEC17		20565.00	20645.63	USD	-403.15	
15SEP17	B	1	PD15DEC17		20555.00	20645.63	USD	453.15	
15SEP17	S	1	PD15DEC17		20500.00	20645.63	USD	-728.15	
	NET	0 AVG	BGHT	20535.00	SOLD	20532.50		-25.00	0.00
18SEP17	B	1	PD18DEC17		20670.00	20643.75	USD	-131.25	
18SEP17	S	1	PD18DEC17		20670.00	20643.75	USD	131.25	
18SEP17	S	1	PD18DEC17		20700.00	20643.75	USD	281.25	
	NET	-1 AVG	BGHT	20670.00	SOLD	20685.00		281.25	0.00
19SEP17	B	1	PD19DEC17		20665.00	20641.88	USD	-115.60	
	NET	+1 AVG	BGHT	20665.00				-115.60	0.00
20SEP17	B	1	PD20DEC17		20655.00	20640.00	USD	-75.00	
20SEP17	S	1	PD20DEC17		20675.00	20640.00	USD	175.00	
20SEP17	B	1	PD20DEC17		20665.00	20640.00	USD	-125.00	
20SEP17	S	1	PD20DEC17		20665.00	20640.00	USD	125.00	
	NET	0 AVG	BGHT	20660.00	SOLD	20670.00		100.00	0.00
21SEP17	S	1	PD21DEC17		20480.00	20637.14	USD	-785.70	
21SEP17	B	1	PD21DEC17		20455.00	20637.14	USD	910.70	
	NET	0 AVG	BGHT	20455.00	SOLD	20480.00		125.00	0.00
25SEP17	S	1	PD27DEC17		20695.00	20631.43	USD	317.85	
25SEP17	B	1	PD27DEC17		20705.00	20631.43	USD	-367.85	
	NET	0 AVG	BGHT	20705.00	SOLD	20695.00		-50.00	0.00
28SEP17	B	1	PD28DEC17		20635.00	20628.57	USD	-32.15	
28SEP17	S	1	PD28DEC17		20725.00	20628.57	USD	482.15	
28SEP17	B	1	PD28DEC17		20700.00	20628.57	USD	-357.15	
28SEP17	S	1	PD28DEC17		20640.00	20628.57	USD	57.15	
	NET	0 AVG	BGHT	20667.50	SOLD	20682.50		150.00	0.00
05OCT17	B	1	PD05JAN18		21030.00	20614.29	USD	-2,078.55	
05OCT17	S	1	PD05JAN18		21085.00	20614.29	USD	2,353.55	
06OCT17	B	1	PD05JAN18		20615.00	20614.29	USD	-3.55	
06OCT17	S	1	PD05JAN18		20590.00	20614.29	USD	-121.45	
06OCT17	S	1	PD05JAN18		20570.00	20614.29	USD	-221.45	
06OCT17	B	1	PD05JAN18		20605.00	20614.29	USD	46.45	
06OCT17	S	1	PD05JAN18		20605.00	20614.29	USD	-46.45	
06OCT17	B	1	PD05JAN18		20590.00	20614.29	USD	121.45	

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	<u>LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>								
	NET	0 AVG	BGHT	20710.00	SOLD	20712.50		50.00	0.00
10OCT17	B	1	PD10JAN18		20780.00	20605.71	USD	-871.45	
10OCT17	S	1	PD10JAN18		20795.00	20605.71	USD	946.45	
	NET	0 AVG	BGHT	20780.00	SOLD	20795.00		75.00	0.00
11OCT17	S	1	PD11JAN18		20785.00	20602.86	USD	910.70	
11OCT17	S	1	PD11JAN18		20810.00	20602.86	USD	1,035.70	
11OCT17	B	2	PD11JAN18		20780.00	20602.86	USD	-1,771.40	
11OCT17	S	3	PD11JAN18		20800.00	20602.86	USD	2,957.10	
11OCT17	S	1	PD11JAN18		20840.00	20602.86	USD	1,185.70	
11OCT17	B	2	PD11JAN18		20770.00	20602.86	USD	-1,671.40	
11OCT17	B	1	PD11JAN18		20800.00	20602.86	USD	-985.70	
11OCT17	B	1	PD11JAN18		20810.00	20602.86	USD	-1,035.70	
	NET	0 AVG	BGHT	20785.00	SOLD	20805.83		625.00	0.00
12OCT17	B	1	PD12JAN18		20755.00	20600.00	USD	-775.00	
13OCT17	S	1	PD12JAN18		20760.00	20600.00	USD	800.00	
13OCT17	B	1	PD12JAN18		20630.00	20600.00	USD	-150.00	
13OCT17	S	1	PD12JAN18		20665.00	20600.00	USD	325.00	
	NET	0 AVG	BGHT	20692.50	SOLD	20712.50		200.00	0.00
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>								
01AUG17	S	1	PD01NOV17		2797.50	3267.33	USD	-11,745.75	
01AUG17	B	1	PD01NOV17		2782.00	3267.33	USD	12,133.25	
	NET	0 AVG	BGHT	2782.00	SOLD	2797.50		387.50	0.00
02AUG17	S	1	PD02NOV17		2806.50	3266.20	USD	-11,492.50	
19SEP17	B	1	PD02NOV17		3106.25	3266.20	USD	3,998.75	
	NET	0 AVG	BGHT	3106.25	SOLD	2806.50		-7,493.75	0.00
03AUG17	B	1	PD03NOV17		2827.00	3265.07	USD	10,951.75	
04AUG17	S	1	PD03NOV17		2827.00	3265.07	USD	-10,951.75	
04AUG17	B	1	PD03NOV17		2816.50	3265.07	USD	11,214.25	
19SEP17	S	1	PD03NOV17		3105.99	3265.07	USD	-3,977.00	
	NET	0 AVG	BGHT	2821.75	SOLD	2966.50		7,237.25	0.00
07AUG17	S	1	PD07NOV17		2873.00	3262.80	USD	-9,745.00	
07AUG17	S	1	PD07NOV17		2874.00	3262.80	USD	-9,720.00	
07AUG17	B	1	PD07NOV17		2860.50	3262.80	USD	10,057.50	
19SEP17	B	1	PD07NOV17		3106.08	3262.80	USD	3,918.00	
	NET	0 AVG	BGHT	2983.29	SOLD	2873.50		-5,489.50	0.00
08AUG17	S	1	PD08NOV17		2882.50	3261.67	USD	-9,479.25	
08AUG17	B	1	PD08NOV17		2898.00	3261.67	USD	9,091.75	
08AUG17	S	1	PD08NOV17		2910.00	3261.67	USD	-8,791.75	
19SEP17	B	1	PD08NOV17		3106.03	3261.67	USD	3,891.00	
	NET	0 AVG	BGHT	3002.02	SOLD	2896.25		-5,288.25	0.00
09AUG17	B	1	PD09NOV17		2963.00	3260.53	USD	7,438.25	
09AUG17	S	1	PD09NOV17		2970.00	3260.53	USD	-7,263.25	
09AUG17	S	1	PD09NOV17		2932.00	3260.53	USD	-8,213.25	
09AUG17	B	2	PD09NOV17		2935.50	3260.53	USD	16,251.50	
19SEP17	S	1	PD09NOV17		3105.77	3260.53	USD	-3,869.00	
	NET	0 AVG	BGHT	2944.67	SOLD	3002.59		4,344.25	0.00
17AUG17	B	1	PD17NOV17		3050.50	3251.00	USD	5,012.50	
18AUG17	B	1	PD17NOV17		3119.50	3251.00	USD	3,287.50	
18AUG17	S	1	PD17NOV17		3124.00	3251.00	USD	-3,175.00	
19SEP17	S	1	PD17NOV17		3103.53	3251.00	USD	-3,686.75	
	NET	0 AVG	BGHT	3085.00	SOLD	3113.77		1,438.25	0.00
29AUG17	B	2	PD29NOV17		3102.00	3246.75	USD	7,237.50	
29AUG17	S	2	PD29NOV17		3106.00	3246.75	USD	-7,037.50	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP083YO

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
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Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
	NET 0 AVG BGHT 3102.00 SOLD 3106.00				200.00	0.00
18SEP17	S 1 PD18DEC17	3109.50	3243.50	USD	-3,350.00	
	NET -1 AVG SOLD 3109.50				-3,350.00	0.00
19SEP17	B 1 PD19DEC17	3110.00	3243.25	USD	3,331.25	
	NET +1 AVG BGHT 3110.00				3,331.25	0.00
28SEP17	B 1 PD28DEC17	3155.50	3238.85	USD	2,083.75	
28SEP17	S 1 PD28DEC17	3147.50	3238.85	USD	-2,283.75	
	NET 0 AVG BGHT 3155.50 SOLD 3147.50				-200.00	0.00
10OCT17	S 1 PD10JAN18	3279.50	3235.77	USD	1,093.25	
	NET -1 AVG SOLD 3279.50				1,093.25	0.00
11OCT17	B 1 PD11JAN18	3246.00	3235.38	USD	-265.50	
	NET +1 AVG BGHT 3246.00				-265.50	0.00

OPEN POSITION VALUE

		USD
LME Aluminium 25 Tonnes.	FUTURES	1,418.75
LME Copper Grade A 25	FUTURES	50.00
LME Lead 25 tonnes. This is an	FUTURES	2,343.75
LME Nickel 6 tonnes. This is	FUTURES	74,628.30
LME Tin 5 tonnes. This is an	FUTURES	-448.70
LME Zinc 25 tonnes. This is	FUTURES	-4,039.25

MARKET REVALUATION BREAKDOWN

Unrealised Profits & Losses - Forwards	Amount	Profit / Loss	Total Amount	Total P&L
ALUL - Futures USD	1,406.75	1,406.75		
COPL - Futures USD	50.00	50.00		
LEAD - Futures USD	2,343.75	2,343.75		
NKL - Futures USD	4,533.78	4,533.78		
TIN - Futures USD	-458.05	-458.05		
ZINC - Futures USD	-4,055.25	-4,055.25	3,820.98	3,820.98

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ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	12,817.02	12,817.02
Variation Margins		
- Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	12,817.02	12,817.02
Initial Margins	-4,159.02	-4,159.02
Excess/(Shortage)	8,658.00	8,658.00
FOR INFORMATION ONLY		
Forward Unrealised P&L	3,820.98	3,820.98
Net Liquidating Value	16,638.00	16,638.00

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>					
13SEP17	S 1 PD13DEC17	6595.50	6874.92	USD	-6,985.50	
13SEP17	B 1 PD13DEC17	6596.00	6874.92	USD	6,973.00	
	NET 0AVG BGHT 6596.00 SOLD 6595.50				-12.50	0.00
	<u>LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
26JUL17	S 1 PD26OCT17	2315.50	2519.95	USD	-5,111.25	
26JUL17	B 1 PD26OCT17	2332.50	2519.95	USD	4,686.25	
	NET 0AVG BGHT 2332.50 SOLD 2315.50				-425.00	0.00
	<u>LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
17JUL17	B 1 PD17OCT17	19855.00	20735.00	USD	4,400.00	
17JUL17	S 1 PD17OCT17	19900.00	20735.00	USD	-4,175.00	
17JUL17	B 1 PD17OCT17	19955.00	20735.00	USD	3,900.00	
17JUL17	S 1 PD17OCT17	19985.00	20735.00	USD	-3,750.00	
17JUL17	S 1 PD17OCT17	20065.00	20735.00	USD	-3,350.00	
17JUL17	B 1 PD17OCT17	20005.00	20735.00	USD	3,650.00	
	NET 0AVG BGHT 19938.33 SOLD 19983.33				675.00	0.00
18JUL17	B 1 PD18OCT17	19950.00	20735.00	USD	3,925.00	
18JUL17	S 1 PD18OCT17	19950.00	20735.00	USD	-3,925.00	
18JUL17	S 1 PD18OCT17	20065.00	20735.00	USD	-3,350.00	
18JUL17	B 1 PD18OCT17	20035.00	20735.00	USD	3,500.00	
	NET 0AVG BGHT 19992.50 SOLD 20007.50				150.00	0.00
19JUL17	B 1 PD19OCT17	20100.00	20732.50	USD	3,162.50	
19JUL17	S 1 PD19OCT17	20055.00	20732.50	USD	-3,387.50	
19JUL17	B 1 PD19OCT17	20130.00	20732.50	USD	3,012.50	
19JUL17	S 1 PD19OCT17	20145.00	20732.50	USD	-2,937.50	
	NET 0AVG BGHT 20115.00 SOLD 20100.00				-150.00	0.00
21JUL17	S 1 PD20OCT17	20190.00	20730.00	USD	-2,700.00	
21JUL17	B 1 PD20OCT17	20200.00	20730.00	USD	2,650.00	
	NET 0AVG BGHT 20200.00 SOLD 20190.00				-50.00	0.00
24JUL17	S 1 PD24OCT17	20245.00	20725.00	USD	-2,400.00	
24JUL17	B 1 PD24OCT17	20255.00	20725.00	USD	2,350.00	
	NET 0AVG BGHT 20255.00 SOLD 20245.00				-50.00	0.00
26JUL17	B 1 PD26OCT17	20340.00	20720.00	USD	1,900.00	
26JUL17	S 2 PD26OCT17	20365.00	20720.00	USD	-3,550.00	
26JUL17	B 1 PD26OCT17	20350.00	20720.00	USD	1,850.00	
26JUL17	B 1 PD26OCT17	20315.00	20720.00	USD	2,025.00	
26JUL17	S 2 PD26OCT17	20345.00	20720.00	USD	-3,750.00	
26JUL17	B 1 PD26OCT17	20345.00	20720.00	USD	1,875.00	
	NET 0AVG BGHT 20337.50 SOLD 20355.00				350.00	0.00
27JUL17	S 1 PD27OCT17	20670.00	20717.50	USD	-237.50	
27JUL17	B 1 PD27OCT17	20685.00	20717.50	USD	162.50	
	NET 0AVG BGHT 20685.00 SOLD 20670.00				-75.00	0.00
02AUG17	S 1 PD02NOV17	20540.00	20707.50	USD	-837.50	
02AUG17	B 1 PD02NOV17	20530.00	20707.50	USD	887.50	
	NET 0AVG BGHT 20530.00 SOLD 20540.00				50.00	0.00
03AUG17	B 1 PD03NOV17	20615.00	20705.00	USD	450.00	
03AUG17	S 1 PD03NOV17	20645.00	20705.00	USD	-300.00	
03AUG17	S 1 PD03NOV17	20670.00	20705.00	USD	-175.00	
03AUG17	B 1 PD03NOV17	20655.00	20705.00	USD	250.00	
04AUG17	B 1 PD03NOV17	20665.00	20705.00	USD	200.00	
04AUG17	S 1 PD03NOV17	20670.00	20705.00	USD	-175.00	
	NET 0AVG BGHT 20645.00 SOLD 20661.67				250.00	0.00

OPEN POSITION STATEMENT



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	<u>LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>								
07AUG17	B	1	PD07NOV17		20560.00	20700.00	USD	700.00	
07AUG17	S	1	PD07NOV17		20575.00	20700.00	USD	-625.00	
	NET	0 AVG	BGHT 20560.00	SOLD 20575.00				75.00	0.00
08AUG17	B	1	PD08NOV17		20470.00	20697.50	USD	1,137.50	
08AUG17	B	1	PD08NOV17		20430.00	20697.50	USD	1,337.50	
08AUG17	B	1	PD08NOV17		20290.00	20697.50	USD	2,037.50	
08AUG17	S	1	PD08NOV17		20285.00	20697.50	USD	-2,062.50	
08AUG17	S	1	PD08NOV17		20460.00	20697.50	USD	-1,187.50	
08AUG17	S	1	PD08NOV17		20465.00	20697.50	USD	-1,162.50	
	NET	0 AVG	BGHT 20396.67	SOLD 20403.33				100.00	0.00
09AUG17	B	1	PD09NOV17		20330.00	20695.00	USD	1,825.00	
09AUG17	S	1	PD09NOV17		20325.00	20695.00	USD	-1,850.00	
09AUG17	S	1	PD09NOV17		20350.00	20695.00	USD	-1,725.00	
09AUG17	B	1	PD09NOV17		20340.00	20695.00	USD	1,775.00	
09AUG17	B	1	PD09NOV17		20360.00	20695.00	USD	1,675.00	
09AUG17	S	1	PD09NOV17		20370.00	20695.00	USD	-1,625.00	
09AUG17	B	1	PD09NOV17		20320.00	20695.00	USD	1,875.00	
09AUG17	S	1	PD09NOV17		20320.00	20695.00	USD	-1,875.00	
	NET	0 AVG	BGHT 20337.50	SOLD 20341.25				75.00	0.00
10AUG17	B	1	PD10NOV17		20265.00	20692.50	USD	2,137.50	
10AUG17	S	1	PD10NOV17		20255.00	20692.50	USD	-2,187.50	
10AUG17	B	1	PD10NOV17		20260.00	20692.50	USD	2,162.50	
10AUG17	S	1	PD10NOV17		20270.00	20692.50	USD	-2,112.50	
11AUG17	S	1	PD10NOV17		20325.00	20692.50	USD	-1,837.50	
11AUG17	B	1	PD10NOV17		20325.00	20692.50	USD	1,837.50	
	NET	0 AVG	BGHT 20283.33	SOLD 20283.33				0.00	0.00
14AUG17	B	1	PD14NOV17		20375.00	20687.50	USD	1,562.50	
14AUG17	S	1	PD14NOV17		20335.00	20687.50	USD	-1,762.50	
	NET	0 AVG	BGHT 20375.00	SOLD 20335.00				-200.00	0.00
17AUG17	S	1	PD17NOV17		20340.00	20681.25	USD	-1,706.25	
17AUG17	B	1	PD17NOV17		20345.00	20681.25	USD	1,681.25	
	NET	0 AVG	BGHT 20345.00	SOLD 20340.00				-25.00	0.00
21AUG17	B	1	PD21NOV17		20420.00	20677.50	USD	1,287.50	
21AUG17	B	1	PD21NOV17		20430.00	20677.50	USD	1,237.50	
21AUG17	S	1	PD21NOV17		20415.00	20677.50	USD	-1,312.50	
21AUG17	S	1	PD21NOV17		20430.00	20677.50	USD	-1,237.50	
	NET	0 AVG	BGHT 20425.00	SOLD 20422.50				-25.00	0.00
22AUG17	S	1	PD22NOV17		20490.00	20675.63	USD	-928.15	
22AUG17	B	1	PD22NOV17		20470.00	20675.63	USD	1,028.15	
	NET	0 AVG	BGHT 20470.00	SOLD 20490.00				100.00	0.00
23AUG17	S	1	PD24NOV17		20525.00	20673.75	USD	-743.75	
23AUG17	B	1	PD24NOV17		20515.00	20673.75	USD	793.75	
	NET	0 AVG	BGHT 20515.00	SOLD 20525.00				50.00	0.00
30AUG17	S	1	PD30NOV17		20435.00	20666.25	USD	-1,156.25	
30AUG17	B	1	PD30NOV17		20415.00	20666.25	USD	1,256.25	
30AUG17	S	1	PD30NOV17		20590.00	20666.25	USD	-381.25	
30AUG17	B	1	PD30NOV17		20600.00	20666.25	USD	331.25	
31AUG17	B	1	PD30NOV17		20630.00	20666.25	USD	181.25	
31AUG17	S	1	PD30NOV17		20650.00	20666.25	USD	-81.25	
31AUG17	B	1	PD30NOV17		20600.00	20666.25	USD	331.25	
31AUG17	S	1	PD30NOV17		20595.00	20666.25	USD	-356.25	
31AUG17	B	1	PD30NOV17		20640.00	20666.25	USD	131.25	
31AUG17	S	2	PD30NOV17		20645.00	20666.25	USD	-212.50	
31AUG17	B	1	PD30NOV17		20650.00	20666.25	USD	81.25	
31AUG17	S	2	PD30NOV17		20695.00	20666.25	USD	287.50	

OPEN POSITION STATEMENT



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	<u>LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
31AUG17	B	2	PD30NOV17	20680.00	20666.25	USD	-137.50	
	NET	0 AVG	BGHT 20611.88				275.00	0.00
01SEP17	B	1	PD01DEC17	20725.00	20664.38	USD	-303.10	
01SEP17	S	1	PD01DEC17	20725.00	20664.38	USD	303.10	
01SEP17	S	1	PD01DEC17	20770.00	20664.38	USD	528.10	
01SEP17	B	1	PD01DEC17	20735.00	20664.38	USD	-353.10	
	NET	0 AVG	BGHT 20730.00				175.00	0.00
04SEP17	S	1	PD04DEC17	20710.00	20662.50	USD	237.50	
04SEP17	B	1	PD04DEC17	20690.00	20662.50	USD	-137.50	
04SEP17	B	1	PD04DEC17	20720.00	20662.50	USD	-287.50	
04SEP17	S	1	PD04DEC17	20730.00	20662.50	USD	337.50	
	NET	0 AVG	BGHT 20705.00				150.00	0.00
05SEP17	S	1	PD05DEC17	20785.00	20660.63	USD	621.85	
05SEP17	B	1	PD05DEC17	20760.00	20660.63	USD	-496.85	
	NET	0 AVG	BGHT 20760.00				125.00	0.00
07SEP17	S	1	PD07DEC17	20780.00	20656.88	USD	615.60	
07SEP17	B	1	PD07DEC17	20770.00	20656.88	USD	-565.60	
	NET	0 AVG	BGHT 20770.00				50.00	0.00
08SEP17	B	1	PD08DEC17	20555.00	20655.00	USD	500.00	
08SEP17	S	1	PD08DEC17	20560.00	20655.00	USD	-475.00	
	NET	0 AVG	BGHT 20555.00				25.00	0.00
13SEP17	S	1	PD13DEC17	20595.00	20649.38	USD	-271.90	
13SEP17	B	1	PD13DEC17	20605.00	20649.38	USD	221.90	
	NET	0 AVG	BGHT 20605.00				-50.00	0.00
15SEP17	S	1	PD15DEC17	20500.00	20645.63	USD	-728.15	
15SEP17	B	1	PD15DEC17	20515.00	20645.63	USD	653.15	
	NET	0 AVG	BGHT 20515.00				-75.00	0.00
18SEP17	B	1	PD18DEC17	20640.00	20643.75	USD	18.75	
18SEP17	S	1	PD18DEC17	20670.00	20643.75	USD	131.25	
18SEP17	B	1	PD18DEC17	20690.00	20643.75	USD	-231.25	
18SEP17	S	1	PD18DEC17	20685.00	20643.75	USD	206.25	
	NET	0 AVG	BGHT 20665.00				125.00	0.00
19SEP17	B	1	PD19DEC17	20715.00	20641.88	USD	-365.60	
19SEP17	S	1	PD19DEC17	20740.00	20641.88	USD	490.60	
	NET	0 AVG	BGHT 20715.00				125.00	0.00
21SEP17	B	1	PD21DEC17	20500.00	20637.14	USD	685.70	
21SEP17	S	1	PD21DEC17	20525.00	20637.14	USD	-560.70	
	NET	0 AVG	BGHT 20500.00				125.00	0.00
22SEP17	B	1	PD22DEC17	20630.00	20634.29	USD	21.45	
22SEP17	S	1	PD22DEC17	20580.00	20634.29	USD	-271.45	
22SEP17	B	1	PD22DEC17	20570.00	20634.29	USD	321.45	
22SEP17	S	1	PD22DEC17	20590.00	20634.29	USD	-221.45	
	NET	0 AVG	BGHT 20600.00				-150.00	0.00
27SEP17	S	1	PD27DEC17	20665.00	20631.43	USD	167.85	
27SEP17	B	1	PD27DEC17	20680.00	20631.43	USD	-242.85	
	NET	0 AVG	BGHT 20680.00				-75.00	0.00
29SEP17	S	1	PD29DEC17	20720.00	20625.71	USD	471.45	
29SEP17	B	1	PD29DEC17	20680.00	20625.71	USD	-271.45	
29SEP17	S	1	PD29DEC17	20770.00	20625.71	USD	721.45	
29SEP17	B	1	PD29DEC17	20760.00	20625.71	USD	-671.45	
	NET	0 AVG	BGHT 20720.00				250.00	0.00
04OCT17	S	1	PD04JAN18	20795.00	20617.14	USD	889.30	
04OCT17	B	1	PD04JAN18	20800.00	20617.14	USD	-914.30	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP083ZC

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
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Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
	NET 0 AVG BGHT 20800.00 SOLD 20795.00				-25.00	0.00
05OCT17	S 1 PD05JAN18	20960.00	20614.29	USD	1,728.55	
05OCT17	B 2 PD05JAN18	20975.00	20614.29	USD	-3,607.10	
05OCT17	S 1 PD05JAN18	20970.00	20614.29	USD	1,778.55	
06OCT17	B 1 PD05JAN18	21020.00	20614.29	USD	-2,028.55	
06OCT17	S 1 PD05JAN18	21025.00	20614.29	USD	2,053.55	
06OCT17	B 1 PD05JAN18	21005.00	20614.29	USD	-1,953.55	
06OCT17	S 2 PD05JAN18	21020.00	20614.29	USD	4,057.10	
06OCT17	B 1 PD05JAN18	21015.00	20614.29	USD	-2,003.55	
	NET 0 AVG BGHT 20998.00 SOLD 20999.00				25.00	0.00
10OCT17	B 1 PD10JAN18	20880.00	20605.71	USD	-1,371.45	
10OCT17	S 1 PD10JAN18	20900.00	20605.71	USD	1,471.45	
10OCT17	B 2 PD10JAN18	20750.00	20605.71	USD	-1,442.90	
10OCT17	S 1 PD10JAN18	20750.00	20605.71	USD	721.45	
10OCT17	S 1 PD10JAN18	20785.00	20605.71	USD	896.45	
	NET 0 AVG BGHT 20793.33 SOLD 20811.67				275.00	0.00
11OCT17	B 1 PD11JAN18	20710.00	20602.86	USD	-535.70	
11OCT17	S 1 PD11JAN18	20740.00	20602.86	USD	685.70	
11OCT17	B 1 PD11JAN18	20790.00	20602.86	USD	-935.70	
11OCT17	S 1 PD11JAN18	20810.00	20602.86	USD	1,035.70	
	NET 0 AVG BGHT 20750.00 SOLD 20775.00				250.00	0.00
13OCT17	B 1 PD12JAN18	20815.00	20600.00	USD	-1,075.00	
13OCT17	S 1 PD12JAN18	20835.00	20600.00	USD	1,175.00	
	NET 0 AVG BGHT 20815.00 SOLD 20835.00				100.00	0.00
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
20JUL17	B 1 PD20OCT17	2737.50	3282.00	USD	13,612.50	
20JUL17	B 1 PD20OCT17	2739.00	3282.00	USD	13,575.00	
20JUL17	S 1 PD20OCT17	2739.50	3282.00	USD	-13,562.50	
20JUL17	S 1 PD20OCT17	2744.00	3282.00	USD	-13,450.00	
	NET 0 AVG BGHT 2738.25 SOLD 2741.75				175.00	0.00
13SEP17	S 3 PD13DEC17	3045.50	3244.25	USD	-14,906.25	
13SEP17	S 1 PD13DEC17	3046.50	3244.25	USD	-4,943.75	
13SEP17	S 1 PD13DEC17	3047.00	3244.25	USD	-4,931.25	
13SEP17	S 3 PD13DEC17	3048.50	3244.25	USD	-14,681.25	
13SEP17	B 3 PD13DEC17	3044.00	3244.25	USD	15,018.75	
13SEP17	B 2 PD13DEC17	3045.00	3244.25	USD	9,962.50	
13SEP17	B 3 PD13DEC17	3050.00	3244.25	USD	14,568.75	
	NET 0 AVG BGHT 3046.50 SOLD 3046.94				87.50	0.00
09OCT17	B 1 PD09JAN18	3270.00	3236.15	USD	-846.25	
09OCT17	S 2 PD09JAN18	3275.00	3236.15	USD	1,942.50	
09OCT17	B 1 PD09JAN18	3272.00	3236.15	USD	-896.25	
	NET 0 AVG BGHT 3271.00 SOLD 3275.00				200.00	0.00
12OCT17	B 1 PD12JAN18	3233.50	3235.00	USD	37.50	
12OCT17	S 2 PD12JAN18	3233.50	3235.00	USD	-75.00	
12OCT17	B 1 PD12JAN18	3235.00	3235.00	USD	0.00	
	NET 0 AVG BGHT 3234.25 SOLD 3233.50				-37.50	0.00

OPEN POSITION STATEMENT



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SAP083ZC

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OPEN POSITION VALUE

		USD
LME Copper Grade A 25	FUTURES	-12.50
LME Lead 25 tonnes. This is an	FUTURES	-425.00
LME Tin 5 tonnes. This is an	FUTURES	3,000.00
LME Zinc 25 tonnes. This is	FUTURES	425.00

MARKET REVALUATION BREAKDOWN

Unrealised Profits & Losses - Forwards	Amount	Profit / Loss	Total Amount	Total P&L
COPL - Futures USD	-12.50	-12.50		
LEAD - Futures USD	-425.00	-425.00		
TIN - Futures USD	3,000.00	3,000.00		
ZINC - Futures USD	425.00	425.00	2,987.50	2,987.50

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
CASH BALANCE	(1.00000)	(1.00000)
Variation Margins - Futures	8,095.32	8,095.32
- Options	0.00	0.00
TOTAL EQUITY	8,095.32	8,095.32
Initial Margins	0.00	0.00
Excess/(Shortage)	8,095.32	8,095.32
FOR INFORMATION ONLY		
Forward Unrealised P&L	2,987.50	2,987.50
Net Liquidating Value	11,082.82	11,082.82

OPEN POSITION STATEMENT



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Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>NYM LIGHT SWEET CRUDE OIL 1,000 NYM</u>					
15SEP17	B 3 NOV17	50.32	51.45	USD	3,390.00	
15SEP17	B 1 NOV17	50.31	51.45	USD	1,140.00	
21SEP17	B 1 NOV17	50.59	51.45	USD	860.00	
	NET +5 AVG BGHT 50.37				5,390.00	0.00
21SEP17	S 1 DEC17	50.96	51.73	USD	-770.00	
27SEP17	S 1 DEC17	52.55	51.73	USD	820.00	
	NET -2 AVG SOLD 51.76				50.00	0.00
05JUL17	S 1 JAN18	47.68	51.94	USD	-4,260.00	
19JUL17	S 1 JAN18	48.27	51.94	USD	-3,670.00	
08SEP17	S 1 JAN18	50.19	51.94	USD	-1,750.00	
15SEP17	S 1 JAN18	50.91	51.94	USD	-1,030.00	
15SEP17	S 1 JAN18	50.94	51.94	USD	-1,000.00	
05OCT17	S 1 JAN18	51.35	51.94	USD	-590.00	
	NET -6 AVG SOLD 49.89				-12,300.00	0.00
13SEP17	B 1 FEB18	50.26	52.10	USD	1,840.00	
14SEP17	B 1 FEB18	50.94	52.10	USD	1,160.00	
15SEP17	B 1 FEB18	51.10	52.10	USD	1,000.00	
	NET +3 AVG BGHT 50.77				4,000.00	0.00
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>					
03OCT17	S 1 PD03JAN18	6498.00	6879.00	USD	-9,525.00	
03OCT17	S 1 PD03JAN18	6497.50	6879.00	USD	-9,537.50	
03OCT17	B 1 PD03JAN18	6494.00	6879.00	USD	9,625.00	
03OCT17	B 1 PD03JAN18	6493.00	6879.00	USD	9,650.00	
	NET 0 AVG BGHT 6493.50 SOLD 6497.75				212.50	0.00
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
26SEP17	B 2 PD27DEC17	3135.00	3239.23	USD	5,211.50	
26SEP17	S 1 PD27DEC17	3140.00	3239.23	USD	-2,480.75	
26SEP17	S 1 PD27DEC17	3142.00	3239.23	USD	-2,430.75	
	NET 0 AVG BGHT 3135.00 SOLD 3141.00				300.00	0.00
11OCT17	S 1 PD11JAN18	3244.50	3235.38	USD	228.00	
11OCT17	S 1 PD11JAN18	3244.00	3235.38	USD	215.50	
11OCT17	B 2 PD11JAN18	3241.00	3235.38	USD	-281.00	
	NET 0 AVG BGHT 3241.00 SOLD 3244.25				162.50	0.00

OPEN POSITION VALUE

		USD
NYM Light Sweet Crude Oil	FUTURES	-1,310.00
LME Copper Grade A 25	FUTURES	212.50
LME Zinc 25 tonnes. This is	FUTURES	462.50

OPEN POSITION STATEMENT



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MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options	Amount	Profit / Loss	Total Amount	Total P&L
COIL - Futures USD	-2,860.00	-2,860.00	-2,860.00	-2,860.00
Unrealised Profits & Losses - Forwards				
COPL - Futures USD	212.50	212.50		
ZINC - Futures USD	462.50	462.50	675.00	675.00

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
CASH BALANCE	(1.00000)	(1.00000)
Variation Margins - Futures	37,276.11	37,276.11
- Options	-2,860.00	-2,860.00
	0.00	0.00
TOTAL EQUITY	34,416.11	34,416.11
Initial Margins	-1,810.00	-1,810.00
Excess/(Shortage)	32,606.11	32,606.11
FOR INFORMATION ONLY		
Forward Unrealised P&L	675.00	675.00
Net Liquidating Value	35,091.11	35,091.11

OPEN POSITION STATEMENT



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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.</u>							
18JUL17	B	1	PD180CT17	1901.50	2110.25	USD	5,218.75	
18JUL17	S	1	PD180CT17	1915.00	2110.25	USD	-4,881.25	
	NET	0AVG	BGHT 1901.50				337.50	0.00
19JUL17	S	1	PD190CT17	1934.50	2110.75	USD	-4,406.25	
19JUL17	B	1	PD190CT17	1924.50	2110.75	USD	4,656.25	
	NET	0AVG	BGHT 1924.50				250.00	0.00
21JUL17	S	1	PD200CT17	1931.50	2111.25	USD	-4,493.75	
21JUL17	S	1	PD200CT17	1929.00	2111.25	USD	-4,556.25	
21JUL17	B	1	PD200CT17	1921.00	2111.25	USD	4,756.25	
21JUL17	B	1	PD200CT17	1922.00	2111.25	USD	4,731.25	
	NET	0AVG	BGHT 1921.50				437.50	0.00
25JUL17	B	1	PD250CT17	1919.00	2113.75	USD	4,868.75	
25JUL17	B	1	PD250CT17	1918.00	2113.75	USD	4,893.75	
25JUL17	S	1	PD250CT17	1935.50	2113.75	USD	-4,456.25	
25JUL17	S	1	PD250CT17	1937.00	2113.75	USD	-4,418.75	
	NET	0AVG	BGHT 1918.50				887.50	0.00
27JUL17	S	1	PD270CT17	1955.50	2114.08	USD	-3,964.50	
27JUL17	B	1	PD270CT17	1954.00	2114.08	USD	4,002.00	
27JUL17	S	1	PD270CT17	1950.50	2114.08	USD	-4,089.50	
27JUL17	S	1	PD270CT17	1949.00	2114.08	USD	-4,127.00	
27JUL17	B	1	PD270CT17	1945.50	2114.08	USD	4,214.50	
27JUL17	B	1	PD270CT17	1945.00	2114.08	USD	4,227.00	
27JUL17	B	1	PD270CT17	1938.50	2114.08	USD	4,389.50	
27JUL17	B	2	PD270CT17	1939.00	2114.08	USD	8,754.00	
28JUL17	S	2	PD270CT17	1930.00	2114.08	USD	-9,204.00	
28JUL17	B	2	PD270CT17	1921.50	2114.08	USD	9,629.00	
28JUL17	S	2	PD270CT17	1922.00	2114.08	USD	-9,604.00	
28JUL17	S	1	PD270CT17	1924.00	2114.08	USD	-4,752.00	
28JUL17	B	2	PD270CT17	1914.50	2114.08	USD	9,979.00	
28JUL17	B	1	PD270CT17	1913.50	2114.08	USD	5,014.50	
28JUL17	B	1	PD270CT17	1910.50	2114.08	USD	5,089.50	
28JUL17	S	3	PD270CT17	1911.50	2114.08	USD	-15,193.50	
28JUL17	S	1	PD270CT17	1911.50	2114.08	USD	-5,064.50	
	NET	0AVG	BGHT 1929.75				-700.00	0.00
01AUG17	B	2	PD01NOV17	1909.50	2114.09	USD	10,229.50	
01AUG17	B	1	PD01NOV17	1910.00	2114.09	USD	5,102.25	
01AUG17	B	1	PD01NOV17	1915.00	2114.09	USD	4,977.25	
19SEP17	S	4	PD01NOV17	2109.68	2114.09	USD	-441.00	
	NET	0AVG	BGHT 1911.00				19,868.00	0.00
02AUG17	S	2	PD02NOV17	1914.50	2114.44	USD	-9,997.00	
02AUG17	S	2	PD02NOV17	1931.00	2114.44	USD	-9,172.00	
02AUG17	B	1	PD02NOV17	1927.00	2114.44	USD	4,686.00	
02AUG17	B	3	PD02NOV17	1924.00	2114.44	USD	14,283.00	
02AUG17	B	1	PD02NOV17	1921.00	2114.44	USD	4,836.00	
02AUG17	B	1	PD02NOV17	1920.50	2114.44	USD	4,848.50	
19SEP17	S	2	PD02NOV17	2109.96	2114.44	USD	-224.00	
	NET	0AVG	BGHT 1923.42				9,260.50	0.00
03AUG17	B	1	PD03NOV17	1915.00	2114.78	USD	4,994.50	
03AUG17	B	1	PD03NOV17	1913.00	2114.78	USD	5,044.50	
04AUG17	B	2	PD03NOV17	1903.50	2114.78	USD	10,564.00	
19SEP17	S	4	PD03NOV17	2110.25	2114.78	USD	-453.00	
	NET	0AVG	BGHT 1908.75				20,150.00	0.00
07AUG17	S	4	PD07NOV17	1922.50	2116.16	USD	-19,366.00	
07AUG17	S	2	PD07NOV17	1923.00	2116.16	USD	-9,658.00	
07AUG17	S	1	PD07NOV17	1929.00	2116.16	USD	-4,679.00	

OPEN POSITION STATEMENT



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	<u>LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.</u>							
07AUG17	S	1	PD07NOV17	1930.00	2116.16	USD	-4,654.00	
07AUG17	S	2	PD07NOV17	1930.50	2116.16	USD	-9,283.00	
07AUG17	S	1	PD07NOV17	1950.00	2116.16	USD	-4,154.00	
07AUG17	S	1	PD07NOV17	1951.50	2116.16	USD	-4,116.50	
07AUG17	S	1	PD07NOV17	1952.50	2116.16	USD	-4,091.50	
07AUG17	S	1	PD07NOV17	1953.50	2116.16	USD	-4,066.50	
07AUG17	B	1	PD07NOV17	1954.50	2116.16	USD	4,041.50	
07AUG17	S	1	PD07NOV17	1965.50	2116.16	USD	-3,766.50	
07AUG17	S	1	PD07NOV17	1970.00	2116.16	USD	-3,654.00	
07AUG17	S	2	PD07NOV17	1975.00	2116.16	USD	-7,058.00	
07AUG17	S	1	PD07NOV17	1977.00	2116.16	USD	-3,479.00	
07AUG17	B	4	PD07NOV17	1974.00	2116.16	USD	14,216.00	
19SEP17	B	10	PD07NOV17	2112.12	2116.16	USD	1,010.00	
19SEP17	B	4	PD07NOV17	2111.92	2116.16	USD	424.00	
	NET	0 AVG BGHT 2074.70	SOLD 1943.47				-62,334.50	0.00
08AUG17	B	2	PD08NOV17	2025.00	2116.50	USD	4,575.00	
08AUG17	B	2	PD08NOV17	2028.00	2116.50	USD	4,425.00	
19SEP17	S	4	PD08NOV17	2112.13	2116.50	USD	-437.00	
	NET	0 AVG BGHT 2026.50	SOLD 2112.13				8,563.00	0.00
09AUG17	B	1	PD09NOV17	2031.00	2116.96	USD	2,149.00	
09AUG17	B	1	PD09NOV17	2028.50	2116.96	USD	2,211.50	
09AUG17	S	1	PD09NOV17	2033.00	2116.96	USD	-2,099.00	
09AUG17	S	1	PD09NOV17	2036.50	2116.96	USD	-2,011.50	
	NET	0 AVG BGHT 2029.75	SOLD 2034.75				250.00	0.00
10AUG17	B	1	PD10NOV17	2027.00	2117.43	USD	2,260.75	
10AUG17	B	1	PD10NOV17	2024.50	2117.43	USD	2,323.25	
10AUG17	S	1	PD10NOV17	2028.00	2117.43	USD	-2,235.75	
10AUG17	S	1	PD10NOV17	2030.00	2117.43	USD	-2,185.75	
10AUG17	S	1	PD10NOV17	2037.00	2117.43	USD	-2,010.75	
10AUG17	S	1	PD10NOV17	2037.50	2117.43	USD	-1,998.25	
10AUG17	S	1	PD10NOV17	2038.50	2117.43	USD	-1,973.25	
10AUG17	B	2	PD10NOV17	2039.50	2117.43	USD	3,896.50	
10AUG17	B	1	PD10NOV17	2033.00	2117.43	USD	2,110.75	
11AUG17	S	2	PD10NOV17	2039.50	2117.43	USD	-3,896.50	
11AUG17	S	1	PD10NOV17	2043.00	2117.43	USD	-1,860.75	
11AUG17	S	1	PD10NOV17	2045.50	2117.43	USD	-1,798.25	
11AUG17	S	1	PD10NOV17	2044.50	2117.43	USD	-1,823.25	
11AUG17	S	6	PD10NOV17	2041.00	2117.43	USD	-11,464.50	
11AUG17	S	4	PD10NOV17	2040.50	2117.43	USD	-7,693.00	
19SEP17	B	15	PD10NOV17	2113.17	2117.43	USD	1,597.50	
	NET	0 AVG BGHT 2093.05	SOLD 2039.55				-26,751.25	0.00
14AUG17	B	3	PD14NOV17	2035.00	2119.29	USD	6,321.75	
14AUG17	B	2	PD14NOV17	2035.50	2119.29	USD	4,189.50	
14AUG17	B	1	PD14NOV17	2034.50	2119.29	USD	2,119.75	
14AUG17	B	2	PD14NOV17	2032.00	2119.29	USD	4,364.50	
14AUG17	B	1	PD14NOV17	2031.50	2119.29	USD	2,194.75	
14AUG17	B	1	PD14NOV17	2030.50	2119.29	USD	2,219.75	
14AUG17	B	1	PD14NOV17	2027.50	2119.29	USD	2,294.75	
14AUG17	B	1	PD14NOV17	2025.50	2119.29	USD	2,344.75	
14AUG17	B	2	PD14NOV17	2029.50	2119.29	USD	4,489.50	
14AUG17	B	1	PD14NOV17	2028.00	2119.29	USD	2,282.25	
19SEP17	S	15	PD14NOV17	2114.63	2119.29	USD	-1,747.50	
	NET	0 AVG BGHT 2031.77	SOLD 2114.63				31,073.75	0.00
16AUG17	S	1	PD16NOV17	2061.00	2120.07	USD	-1,476.75	
16AUG17	B	1	PD16NOV17	2058.50	2120.07	USD	1,539.25	
16AUG17	B	1	PD16NOV17	2060.50	2120.07	USD	1,489.25	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP083ZH

13th October 2017

Sapien Capital Limited
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backoffice@sapiencap.com

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FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ALUMINIUM 25 TONNES. THIS IS AN</u>							
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16AUG17	B	1	PD16NOV17	2067.50	2120.07	USD	1,314.25	
16AUG17	S	1	PD16NOV17	2061.50	2120.07	USD	-1,464.25	
16AUG17	S	2	PD16NOV17	2061.00	2120.07	USD	-2,953.50	
16AUG17	B	1	PD16NOV17	2083.00	2120.07	USD	926.75	
	NET	0 AVG	BGHT 2067.38				-625.00	0.00
21AUG17	S	2	PD21NOV17	2083.00	2121.65	USD	-1,932.50	
21AUG17	S	1	PD21NOV17	2082.50	2121.65	USD	-978.75	
19SEP17	B	3	PD21NOV17	2116.97	2121.65	USD	351.00	
	NET	0 AVG	BGHT 2116.97				-2,560.25	0.00
22AUG17	B	1	PD22NOV17	2073.00	2121.96	USD	1,224.00	
22AUG17	S	1	PD22NOV17	2079.50	2121.96	USD	-1,061.50	
22AUG17	S	1	PD22NOV17	2078.00	2121.96	USD	-1,099.00	
22AUG17	B	2	PD22NOV17	2083.50	2121.96	USD	1,923.00	
22AUG17	B	2	PD22NOV17	2080.50	2121.96	USD	2,073.00	
19SEP17	S	3	PD22NOV17	2117.06	2121.96	USD	-367.50	
	NET	0 AVG	BGHT 2080.20				2,692.00	0.00
24AUG17	B	2	PD24NOV17	2092.50	2122.60	USD	1,505.00	
24AUG17	S	1	PD24NOV17	2098.50	2122.60	USD	-602.50	
24AUG17	S	1	PD24NOV17	2099.00	2122.60	USD	-590.00	
	NET	0 AVG	BGHT 2092.50				312.50	0.00
05SEP17	S	1	PD05DEC17	2118.00	2126.07	USD	-201.75	
19SEP17	B	1	PD05DEC17	2120.99	2126.07	USD	127.00	
	NET	0 AVG	BGHT 2120.99				-74.75	0.00
06SEP17	B	1	PD06DEC17	2092.00	2126.39	USD	859.75	
19SEP17	S	1	PD06DEC17	2121.07	2126.39	USD	-133.00	
	NET	0 AVG	BGHT 2092.00				726.75	0.00
08SEP17	S	2	PD08DEC17	2098.00	2127.02	USD	-1,451.00	
19SEP17	B	2	PD08DEC17	2121.85	2127.02	USD	258.50	
	NET	0 AVG	BGHT 2121.85				-1,192.50	0.00
11SEP17	B	2	PD11DEC17	2122.00	2127.97	USD	298.50	
19SEP17	S	2	PD11DEC17	2122.51	2127.97	USD	-273.00	
	NET	0 AVG	BGHT 2122.00				25.50	0.00
14SEP17	S	2	PD14DEC17	2101.00	2128.92	USD	-1,396.00	
14SEP17	S	2	PD14DEC17	2104.50	2128.92	USD	-1,221.00	
	NET	-4 AVG	SOLD 2102.75				-2,617.00	0.00
15SEP17	B	2	PD15DEC17	2090.00	2129.24	USD	1,962.00	
15SEP17	B	2	PD15DEC17	2073.50	2129.24	USD	2,787.00	
	NET	+4 AVG	BGHT 2081.75				4,749.00	0.00
18SEP17	S	2	PD18DEC17	2102.50	2130.18	USD	-1,384.00	
18SEP17	B	1	PD18DEC17	2084.50	2130.18	USD	1,142.00	
18SEP17	S	1	PD18DEC17	2093.50	2130.18	USD	-917.00	
18SEP17	S	1	PD18DEC17	2092.00	2130.18	USD	-954.50	
	NET	-3 AVG	BGHT 2084.50				-2,113.50	0.00
19SEP17	S	1	PD19DEC17	2105.50	2130.50	USD	-625.00	
19SEP17	B	2	PD19DEC17	2102.50	2130.50	USD	1,400.00	
19SEP17	S	2	PD19DEC17	2112.50	2130.50	USD	-900.00	
19SEP17	B	4	PD19DEC17	2127.00	2130.50	USD	350.00	
	NET	+3 AVG	BGHT 2118.83				225.00	0.00
20SEP17	S	1	PD20DEC17	2189.50	2130.50	USD	1,475.00	
20SEP17	S	1	PD20DEC17	2189.00	2130.50	USD	1,462.50	
20SEP17	S	1	PD20DEC17	2185.00	2130.50	USD	1,362.50	
20SEP17	B	1	PD20DEC17	2167.00	2130.50	USD	-912.50	
20SEP17	B	1	PD20DEC17	2165.00	2130.50	USD	-862.50	
20SEP17	B	1	PD20DEC17	2163.00	2130.50	USD	-812.50	

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	<u>LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.</u>					
20SEP17	S 1 PD20DEC17	2181.00	2130.50	USD	1,262.50	
	NET -1AVG BGHT 2165.00 SOLD 2186.13				2,975.00	0.00
21SEP17	S 2 PD21DEC17	2169.50	2130.50	USD	1,950.00	
21SEP17	B 1 PD21DEC17	2165.00	2130.50	USD	-862.50	
21SEP17	S 2 PD21DEC17	2173.00	2130.50	USD	2,125.00	
21SEP17	S 1 PD21DEC17	2176.50	2130.50	USD	1,150.00	
21SEP17	B 1 PD21DEC17	2189.00	2130.50	USD	-1,462.50	
21SEP17	B 1 PD21DEC17	2176.50	2130.50	USD	-1,150.00	
21SEP17	B 1 PD21DEC17	2181.50	2130.50	USD	-1,275.00	
21SEP17	B 1 PD21DEC17	2179.50	2130.50	USD	-1,225.00	
21SEP17	B 1 PD21DEC17	2178.50	2130.50	USD	-1,200.00	
	NET +1AVG BGHT 2178.33 SOLD 2172.30				-1,950.00	0.00
22SEP17	B 1 PD22DEC17	2134.00	2130.50	USD	-87.50	
22SEP17	B 1 PD22DEC17	2134.50	2130.50	USD	-100.00	
22SEP17	S 1 PD22DEC17	2137.00	2130.50	USD	162.50	
22SEP17	S 1 PD22DEC17	2149.50	2130.50	USD	475.00	
	NET 0AVG BGHT 2134.25 SOLD 2143.25				450.00	0.00
25SEP17	B 1 PD27DEC17	2152.50	2131.04	USD	-536.50	
25SEP17	B 1 PD27DEC17	2145.00	2131.04	USD	-349.00	
25SEP17	B 2 PD27DEC17	2143.00	2131.04	USD	-598.00	
25SEP17	S 1 PD27DEC17	2152.00	2131.04	USD	524.00	
25SEP17	S 1 PD27DEC17	2150.00	2131.04	USD	474.00	
25SEP17	S 1 PD27DEC17	2148.50	2131.04	USD	436.50	
25SEP17	S 1 PD27DEC17	2151.00	2131.04	USD	499.00	
26SEP17	B 2 PD27DEC17	2156.00	2131.04	USD	-1,248.00	
26SEP17	B 2 PD27DEC17	2153.00	2131.04	USD	-1,098.00	
26SEP17	B 2 PD27DEC17	2126.00	2131.04	USD	252.00	
27SEP17	S 2 PD27DEC17	2131.50	2131.04	USD	23.00	
27SEP17	S 1 PD27DEC17	2131.00	2131.04	USD	-1.00	
27SEP17	S 2 PD27DEC17	2133.00	2131.04	USD	98.00	
27SEP17	S 1 PD27DEC17	2132.00	2131.04	USD	24.00	
	NET 0AVG BGHT 2145.35 SOLD 2139.35				-1,500.00	0.00
29SEP17	S 1 PD29DEC17	2136.00	2131.25	USD	118.75	
29SEP17	B 1 PD29DEC17	2128.00	2131.25	USD	81.25	
	NET 0AVG BGHT 2128.00 SOLD 2136.00				200.00	0.00
03OCT17	S 2 PD03JAN18	2106.00	2132.05	USD	-1,302.50	
03OCT17	S 2 PD03JAN18	2110.00	2132.05	USD	-1,102.50	
03OCT17	S 1 PD03JAN18	2105.50	2132.05	USD	-663.75	
03OCT17	B 1 PD03JAN18	2120.50	2132.05	USD	288.75	
03OCT17	B 1 PD03JAN18	2118.50	2132.05	USD	338.75	
03OCT17	B 2 PD03JAN18	2120.00	2132.05	USD	602.50	
03OCT17	B 1 PD03JAN18	2119.50	2132.05	USD	313.75	
03OCT17	S 4 PD03JAN18	2133.00	2132.05	USD	95.00	
	NET -4AVG BGHT 2119.70 SOLD 2118.83				-1,430.00	0.00
04OCT17	B 1 PD04JAN18	2147.00	2132.21	USD	-369.75	
	NET +1AVG BGHT 2147.00				-369.75	0.00
05OCT17	B 2 PD05JAN18	2159.50	2132.38	USD	-1,356.00	
05OCT17	B 1 PD05JAN18	2155.00	2132.38	USD	-565.50	
05OCT17	B 2 PD05JAN18	2151.00	2132.38	USD	-931.00	
05OCT17	S 2 PD05JAN18	2166.00	2132.38	USD	1,681.00	
05OCT17	S 2 PD05JAN18	2168.50	2132.38	USD	1,806.00	
05OCT17	S 1 PD05JAN18	2168.00	2132.38	USD	890.50	
05OCT17	S 2 PD05JAN18	2170.50	2132.38	USD	1,906.00	
	NET -2AVG BGHT 2155.20 SOLD 2168.29				3,431.00	0.00
09OCT17	B 4 PD09JAN18	2169.50	2133.02	USD	-3,648.00	

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	NET +4 AVG BGHT 2169.50				-3,648.00	0.00
10OCT17	B 1 PD10JAN18	2166.50	2133.18	USD	-833.00	
10OCT17	B 1 PD10JAN18	2169.00	2133.18	USD	-895.50	
10OCT17	B 3 PD10JAN18	2164.00	2133.18	USD	-2,311.50	
	NET +5 AVG BGHT 2165.50				-4,040.00	0.00
11OCT17	B 1 PD11JAN18	2139.00	2133.34	USD	-141.50	
11OCT17	B 1 PD11JAN18	2144.00	2133.34	USD	-266.50	
	NET +2 AVG BGHT 2141.50				-408.00	0.00
12OCT17	S 2 PD12JAN18	2149.50	2133.50	USD	800.00	
12OCT17	B 2 PD12JAN18	2151.00	2133.50	USD	-875.00	
12OCT17	S 2 PD12JAN18	2150.00	2133.50	USD	825.00	
12OCT17	S 4 PD12JAN18	2148.50	2133.50	USD	1,500.00	
13OCT17	B 2 PD12JAN18	2161.50	2133.50	USD	-1,400.00	
13OCT17	B 4 PD12JAN18	2136.50	2133.50	USD	-300.00	
13OCT17	B 2 PD12JAN18	2135.50	2133.50	USD	-100.00	
	NET +2 AVG BGHT 2144.20 SOLD 2149.13				450.00	0.00
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>					
04SEP17	B 1 PD04DEC17	6921.00	6871.20	USD	-1,245.00	
04SEP17	B 1 PD04DEC17	6922.50	6871.20	USD	-1,282.50	
19SEP17	S 2 PD04DEC17	6532.50	6871.20	USD	-16,935.00	
	NET 0 AVG BGHT 6921.75 SOLD 6532.50				-19,462.50	0.00
05SEP17	S 1 PD05DEC17	6944.50	6871.60	USD	1,822.50	
05SEP17	S 1 PD05DEC17	6941.00	6871.60	USD	1,735.00	
19SEP17	B 2 PD05DEC17	6533.28	6871.60	USD	16,916.00	
	NET 0 AVG BGHT 6533.28 SOLD 6942.75				20,473.50	0.00
	<u>CME STERLING 62,500 CME</u>					
13OCT17	S 4 DEC17	1.33030	1.33170	USD	-350.00	
	NET -4 AVG SOLD 1.33030				-350.00	0.00
	<u>LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
17JUL17	B 1 PD17OCT17	2320.00	2518.25	USD	4,956.25	
17JUL17	B 2 PD17OCT17	2320.50	2518.25	USD	9,887.50	
17JUL17	B 1 PD17OCT17	2320.00	2518.25	USD	4,956.25	
17JUL17	S 1 PD17OCT17	2324.00	2518.25	USD	-4,856.25	
17JUL17	S 1 PD17OCT17	2316.00	2518.25	USD	-5,056.25	
17JUL17	S 1 PD17OCT17	2293.50	2518.25	USD	-5,618.75	
17JUL17	S 1 PD17OCT17	2296.00	2518.25	USD	-5,556.25	
	NET 0 AVG BGHT 2320.25 SOLD 2307.38				-1,287.50	0.00
20JUL17	B 1 PD20OCT17	2222.00	2519.05	USD	7,426.25	
20JUL17	S 1 PD20OCT17	2214.00	2519.05	USD	-7,626.25	
21JUL17	S 1 PD20OCT17	2243.00	2519.05	USD	-6,901.25	
21JUL17	B 1 PD20OCT17	2245.00	2519.05	USD	6,851.25	
	NET 0 AVG BGHT 2233.50 SOLD 2228.50				-250.00	0.00
25JUL17	S 2 PD25OCT17	2297.00	2519.80	USD	-11,140.00	
25JUL17	B 2 PD25OCT17	2300.00	2519.80	USD	10,990.00	
	NET 0 AVG BGHT 2300.00 SOLD 2297.00				-150.00	0.00
28JUL17	B 1 PD27OCT17	2320.00	2520.10	USD	5,002.50	
19SEP17	S 1 PD27OCT17	2407.49	2520.10	USD	-2,815.25	
	NET 0 AVG BGHT 2320.00 SOLD 2407.49				2,187.25	0.00
31JUL17	S 1 PD31OCT17	2323.00	2520.70	USD	-4,942.50	
19SEP17	B 1 PD31OCT17	2408.80	2520.70	USD	2,797.50	
	NET 0 AVG BGHT 2408.80 SOLD 2323.00				-2,145.00	0.00

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01AUG17	S	2	PD01NOV17	2336.50	2520.85	USD	-9,217.50	
19SEP17	B	2	PD01NOV17	2408.88	2520.85	USD	5,598.50	
	NET	0 AVG	BGHT 2408.88	SOLD 2336.50			-3,619.00	0.00
04AUG17	B	2	PD03NOV17	2371.00	2521.13	USD	7,506.50	
19SEP17	S	2	PD03NOV17	2409.23	2521.13	USD	-5,595.00	
	NET	0 AVG	BGHT 2371.00	SOLD 2409.23			1,911.50	0.00
07AUG17	B	2	PD07NOV17	2363.00	2521.67	USD	7,933.50	
19SEP17	S	2	PD07NOV17	2410.54	2521.67	USD	-5,556.50	
	NET	0 AVG	BGHT 2363.00	SOLD 2410.54			2,377.00	0.00
08AUG17	S	1	PD08NOV17	2366.00	2521.81	USD	-3,895.25	
08AUG17	S	1	PD08NOV17	2367.00	2521.81	USD	-3,870.25	
08AUG17	B	2	PD08NOV17	2393.00	2521.81	USD	6,440.50	
08AUG17	B	2	PD08NOV17	2391.50	2521.81	USD	6,515.50	
19SEP17	S	2	PD08NOV17	2410.81	2521.81	USD	-5,550.00	
	NET	0 AVG	BGHT 2392.25	SOLD 2388.66			-359.50	0.00
09AUG17	S	1	PD09NOV17	2405.00	2521.94	USD	-2,923.50	
09AUG17	S	1	PD09NOV17	2404.50	2521.94	USD	-2,936.00	
09AUG17	S	1	PD09NOV17	2408.00	2521.94	USD	-2,848.50	
09AUG17	S	1	PD09NOV17	2407.50	2521.94	USD	-2,861.00	
19SEP17	B	4	PD09NOV17	2411.29	2521.94	USD	11,065.00	
	NET	0 AVG	BGHT 2411.29	SOLD 2406.25			-504.00	0.00
10AUG17	S	1	PD10NOV17	2390.50	2522.08	USD	-3,289.50	
10AUG17	B	1	PD10NOV17	2370.00	2522.08	USD	3,802.00	
11AUG17	S	1	PD10NOV17	2343.50	2522.08	USD	-4,464.50	
11AUG17	B	1	PD10NOV17	2345.50	2522.08	USD	4,414.50	
11AUG17	B	1	PD10NOV17	2334.00	2522.08	USD	4,702.00	
11AUG17	B	1	PD10NOV17	2332.50	2522.08	USD	4,739.50	
11AUG17	B	1	PD10NOV17	2335.50	2522.08	USD	4,664.50	
11AUG17	S	2	PD10NOV17	2332.00	2522.08	USD	-9,504.00	
11AUG17	S	1	PD10NOV17	2332.00	2522.08	USD	-4,752.00	
	NET	0 AVG	BGHT 2343.50	SOLD 2346.00			312.50	0.00
16AUG17	S	1	PD16NOV17	2404.00	2522.75	USD	-2,968.75	
16AUG17	S	1	PD16NOV17	2407.00	2522.75	USD	-2,893.75	
16AUG17	S	1	PD16NOV17	2484.50	2522.75	USD	-956.25	
16AUG17	S	1	PD16NOV17	2482.00	2522.75	USD	-1,018.75	
16AUG17	B	2	PD16NOV17	2506.00	2522.75	USD	837.50	
16AUG17	B	1	PD16NOV17	2513.00	2522.75	USD	243.75	
16AUG17	B	1	PD16NOV17	2513.00	2522.75	USD	243.75	
	NET	0 AVG	BGHT 2509.50	SOLD 2444.38			-6,512.50	0.00
17AUG17	S	1	PD17NOV17	2528.50	2522.75	USD	143.75	
17AUG17	B	1	PD17NOV17	2531.00	2522.75	USD	-206.25	
17AUG17	S	1	PD17NOV17	2516.00	2522.75	USD	-168.75	
17AUG17	S	2	PD17NOV17	2513.00	2522.75	USD	-487.50	
17AUG17	B	1	PD17NOV17	2495.50	2522.75	USD	681.25	
17AUG17	B	1	PD17NOV17	2492.00	2522.75	USD	768.75	
17AUG17	B	1	PD17NOV17	2528.50	2522.75	USD	-143.75	
17AUG17	B	1	PD17NOV17	2480.50	2522.75	USD	1,056.25	
17AUG17	B	1	PD17NOV17	2437.50	2522.75	USD	2,131.25	
17AUG17	B	1	PD17NOV17	2415.00	2522.75	USD	2,693.75	
17AUG17	B	1	PD17NOV17	2412.50	2522.75	USD	2,756.25	
17AUG17	B	1	PD17NOV17	2408.00	2522.75	USD	2,868.75	
18AUG17	B	2	PD17NOV17	2409.50	2522.75	USD	5,662.50	
18AUG17	B	2	PD17NOV17	2360.50	2522.75	USD	8,112.50	
18AUG17	S	1	PD17NOV17	2364.00	2522.75	USD	-3,968.75	
18AUG17	S	1	PD17NOV17	2358.50	2522.75	USD	-4,106.25	

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18AUG17	S	1	PD17NOV17	2364.50	2522.75	USD	-3,956.25	
18AUG17	S	1	PD17NOV17	2360.50	2522.75	USD	-4,056.25	
19SEP17	S	5	PD17NOV17	2413.25	2522.75	USD	-13,687.50	
	NET	0 AVG	BGHT 2441.58				-3,906.25	0.00
21AUG17	B	2	PD21NOV17	2390.00	2523.36	USD	6,668.00	
21AUG17	S	1	PD21NOV17	2382.00	2523.36	USD	-3,534.00	
21AUG17	S	1	PD21NOV17	2381.50	2523.36	USD	-3,546.50	
21AUG17	S	1	PD21NOV17	2383.50	2523.36	USD	-3,496.50	
19SEP17	B	1	PD21NOV17	2414.45	2523.36	USD	2,722.75	
	NET	0 AVG	BGHT 2398.15				-1,186.25	0.00
22AUG17	S	2	PD22NOV17	2372.50	2523.51	USD	-7,550.50	
22AUG17	S	2	PD22NOV17	2373.00	2523.51	USD	-7,525.50	
19SEP17	B	4	PD22NOV17	2414.70	2523.51	USD	10,881.00	
	NET	0 AVG	BGHT 2414.70				-4,195.00	0.00
23AUG17	S	1	PD24NOV17	2410.00	2523.81	USD	-2,845.25	
23AUG17	B	1	PD24NOV17	2389.00	2523.81	USD	3,370.25	
	NET	0 AVG	BGHT 2389.00				525.00	0.00
31AUG17	B	1	PD30NOV17	2393.00	2524.72	USD	3,293.00	
19SEP17	S	1	PD30NOV17	2416.50	2524.72	USD	-2,705.50	
	NET	0 AVG	BGHT 2393.00				587.50	0.00
01SEP17	S	1	PD01DEC17	2405.50	2524.87	USD	-2,984.25	
01SEP17	B	1	PD01DEC17	2393.00	2524.87	USD	3,296.75	
01SEP17	B	1	PD01DEC17	2392.00	2524.87	USD	3,321.75	
01SEP17	B	1	PD01DEC17	2391.50	2524.87	USD	3,334.25	
01SEP17	B	1	PD01DEC17	2390.00	2524.87	USD	3,371.75	
19SEP17	S	3	PD01DEC17	2416.75	2524.87	USD	-8,109.00	
	NET	0 AVG	BGHT 2391.63				2,231.25	0.00
04SEP17	S	1	PD04DEC17	2393.00	2525.33	USD	-3,308.25	
19SEP17	B	1	PD04DEC17	2417.70	2525.33	USD	2,690.75	
	NET	0 AVG	BGHT 2417.70				-617.50	0.00
05SEP17	B	1	PD05DEC17	2396.00	2525.48	USD	3,237.00	
05SEP17	S	1	PD05DEC17	2401.00	2525.48	USD	-3,112.00	
05SEP17	S	1	PD05DEC17	2399.50	2525.48	USD	-3,149.50	
05SEP17	B	1	PD05DEC17	2386.00	2525.48	USD	3,487.00	
05SEP17	S	1	PD05DEC17	2328.00	2525.48	USD	-4,937.00	
05SEP17	S	1	PD05DEC17	2327.00	2525.48	USD	-4,962.00	
05SEP17	S	1	PD05DEC17	2331.00	2525.48	USD	-4,862.00	
19SEP17	B	3	PD05DEC17	2417.95	2525.48	USD	8,064.75	
	NET	0 AVG	BGHT 2407.17				-6,233.75	0.00
06SEP17	S	2	PD06DEC17	2329.00	2525.63	USD	-9,831.50	
06SEP17	B	1	PD06DEC17	2323.00	2525.63	USD	5,065.75	
06SEP17	B	1	PD06DEC17	2322.00	2525.63	USD	5,090.75	
06SEP17	S	1	PD06DEC17	2361.00	2525.63	USD	-4,115.75	
06SEP17	B	1	PD06DEC17	2343.00	2525.63	USD	4,565.75	
06SEP17	B	1	PD06DEC17	2345.50	2525.63	USD	4,503.25	
06SEP17	B	1	PD06DEC17	2353.00	2525.63	USD	4,315.75	
06SEP17	B	1	PD06DEC17	2352.50	2525.63	USD	4,328.25	
19SEP17	S	3	PD06DEC17	2418.00	2525.63	USD	-8,072.25	
	NET	0 AVG	BGHT 2339.83				5,850.00	0.00
07SEP17	B	1	PD07DEC17	2331.50	2525.78	USD	4,857.00	
07SEP17	B	1	PD07DEC17	2330.50	2525.78	USD	4,882.00	
07SEP17	S	1	PD07DEC17	2339.50	2525.78	USD	-4,657.00	
07SEP17	S	1	PD07DEC17	2341.00	2525.78	USD	-4,619.50	
07SEP17	S	1	PD07DEC17	2342.50	2525.78	USD	-4,582.00	
07SEP17	S	1	PD07DEC17	2337.50	2525.78	USD	-4,707.00	

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	<u>LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
07SEP17	S	1	PD07DEC17	2339.00	2525.78	USD	-4,669.50	
19SEP17	B	3	PD07DEC17	2418.45	2525.78	USD	8,049.75	
	NET	0 AVG BGHT 2383.47	SOLD 2339.90				-5,446.25	0.00
15SEP17	B	1	PD15DEC17	2313.00	2526.99	USD	5,349.75	
15SEP17	S	1	PD15DEC17	2353.00	2526.99	USD	-4,349.75	
15SEP17	S	1	PD15DEC17	2350.00	2526.99	USD	-4,424.75	
15SEP17	S	1	PD15DEC17	2355.00	2526.99	USD	-4,299.75	
15SEP17	S	1	PD15DEC17	2359.50	2526.99	USD	-4,187.25	
	NET	-3 AVG BGHT 2313.00	SOLD 2354.38				-11,911.75	0.00
18SEP17	B	1	PD18DEC17	2395.00	2527.45	USD	3,311.25	
	NET	+1 AVG BGHT 2395.00					3,311.25	0.00
19SEP17	S	1	PD19DEC17	2395.00	2527.60	USD	-3,315.00	
19SEP17	S	1	PD19DEC17	2393.50	2527.60	USD	-3,352.50	
19SEP17	S	1	PD19DEC17	2440.00	2527.60	USD	-2,190.00	
19SEP17	S	1	PD19DEC17	2447.50	2527.60	USD	-2,002.50	
19SEP17	B	2	PD19DEC17	2424.00	2527.60	USD	5,180.00	
19SEP17	S	1	PD19DEC17	2424.00	2527.60	USD	-2,590.00	
19SEP17	S	1	PD19DEC17	2424.00	2527.60	USD	-2,590.00	
	NET	-4 AVG BGHT 2424.00	SOLD 2420.67				-10,860.00	0.00
20SEP17	B	1	PD20DEC17	2443.00	2527.75	USD	2,118.75	
20SEP17	S	1	PD20DEC17	2468.50	2527.75	USD	-1,481.25	
20SEP17	B	1	PD20DEC17	2446.00	2527.75	USD	2,043.75	
20SEP17	B	1	PD20DEC17	2448.00	2527.75	USD	1,993.75	
20SEP17	S	2	PD20DEC17	2454.00	2527.75	USD	-3,687.50	
20SEP17	S	1	PD20DEC17	2454.50	2527.75	USD	-1,831.25	
20SEP17	B	4	PD20DEC17	2464.50	2527.75	USD	6,325.00	
20SEP17	S	1	PD20DEC17	2454.50	2527.75	USD	-1,831.25	
	NET	+2 AVG BGHT 2456.43	SOLD 2457.10				3,650.00	0.00
21SEP17	S	1	PD21DEC17	2436.00	2526.75	USD	-2,268.75	
21SEP17	S	1	PD21DEC17	2443.50	2526.75	USD	-2,081.25	
21SEP17	B	1	PD21DEC17	2472.00	2526.75	USD	1,368.75	
21SEP17	B	1	PD21DEC17	2465.50	2526.75	USD	1,531.25	
21SEP17	B	1	PD21DEC17	2463.00	2526.75	USD	1,593.75	
21SEP17	S	1	PD21DEC17	2447.00	2526.75	USD	-1,993.75	
21SEP17	B	1	PD21DEC17	2470.00	2526.75	USD	1,418.75	
21SEP17	B	1	PD21DEC17	2464.50	2526.75	USD	1,556.25	
21SEP17	S	3	PD21DEC17	2464.00	2526.75	USD	-4,706.25	
21SEP17	S	4	PD21DEC17	2463.00	2526.75	USD	-6,375.00	
21SEP17	B	1	PD21DEC17	2480.00	2526.75	USD	1,168.75	
21SEP17	B	1	PD21DEC17	2474.00	2526.75	USD	1,318.75	
21SEP17	B	1	PD21DEC17	2472.50	2526.75	USD	1,356.25	
21SEP17	B	1	PD21DEC17	2473.50	2526.75	USD	1,331.25	
21SEP17	B	1	PD21DEC17	2474.50	2526.75	USD	1,306.25	
21SEP17	B	1	PD21DEC17	2475.00	2526.75	USD	1,293.75	
21SEP17	B	1	PD21DEC17	2489.00	2526.75	USD	943.75	
21SEP17	B	1	PD21DEC17	2464.50	2526.75	USD	1,556.25	
21SEP17	B	1	PD21DEC17	2464.00	2526.75	USD	1,568.75	
21SEP17	S	1	PD21DEC17	2464.00	2526.75	USD	-1,568.75	
21SEP17	B	1	PD21DEC17	2478.00	2526.75	USD	1,218.75	
	NET	+4 AVG BGHT 2472.00	SOLD 2457.68				1,537.50	0.00
22SEP17	B	1	PD22DEC17	2419.50	2526.90	USD	2,685.00	
22SEP17	B	1	PD22DEC17	2416.00	2526.90	USD	2,772.50	
22SEP17	S	1	PD22DEC17	2405.00	2526.90	USD	-3,047.50	
22SEP17	S	1	PD22DEC17	2413.00	2526.90	USD	-2,847.50	
22SEP17	S	1	PD22DEC17	2453.00	2526.90	USD	-1,847.50	
22SEP17	B	1	PD22DEC17	2459.00	2526.90	USD	1,697.50	

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	<u>LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
22SEP17	B	1	PD22DEC17	2421.00	2526.90	USD	2,647.50	
22SEP17	S	1	PD22DEC17	2414.00	2526.90	USD	-2,822.50	
22SEP17	S	1	PD22DEC17	2484.50	2526.90	USD	-1,060.00	
22SEP17	B	1	PD22DEC17	2485.00	2526.90	USD	1,047.50	
22SEP17	B	1	PD22DEC17	2488.00	2526.90	USD	972.50	
22SEP17	S	1	PD22DEC17	2488.50	2526.90	USD	-960.00	
	NET	0 AVG BGHT 2448.08	SOLD 2443.00				-762.50	0.00
25SEP17	B	2	PD27DEC17	2473.00	2527.64	USD	2,732.00	
25SEP17	B	1	PD27DEC17	2482.00	2527.64	USD	1,141.00	
25SEP17	B	2	PD27DEC17	2484.00	2527.64	USD	2,182.00	
26SEP17	S	1	PD27DEC17	2491.50	2527.64	USD	-903.50	
26SEP17	S	1	PD27DEC17	2499.00	2527.64	USD	-716.00	
26SEP17	S	1	PD27DEC17	2499.50	2527.64	USD	-703.50	
26SEP17	S	1	PD27DEC17	2502.50	2527.64	USD	-628.50	
26SEP17	S	1	PD27DEC17	2502.00	2527.64	USD	-641.00	
	NET	0 AVG BGHT 2479.20	SOLD 2498.90				2,462.50	0.00
28SEP17	S	1	PD28DEC17	2459.50	2527.78	USD	-1,707.00	
28SEP17	S	1	PD28DEC17	2460.00	2527.78	USD	-1,694.50	
28SEP17	S	1	PD28DEC17	2461.50	2527.78	USD	-1,657.00	
28SEP17	B	1	PD28DEC17	2458.00	2527.78	USD	1,744.50	
28SEP17	S	2	PD28DEC17	2474.00	2527.78	USD	-2,689.00	
28SEP17	B	1	PD28DEC17	2480.50	2527.78	USD	1,182.00	
28SEP17	B	1	PD28DEC17	2479.50	2527.78	USD	1,207.00	
28SEP17	B	1	PD28DEC17	2482.00	2527.78	USD	1,144.50	
28SEP17	B	1	PD28DEC17	2483.00	2527.78	USD	1,119.50	
	NET	0 AVG BGHT 2476.60	SOLD 2465.80				-1,350.00	0.00
29SEP17	S	2	PD29DEC17	2489.00	2527.93	USD	-1,946.50	
29SEP17	B	2	PD29DEC17	2488.50	2527.93	USD	1,971.50	
	NET	0 AVG BGHT 2488.50	SOLD 2489.00				25.00	0.00
03OCT17	S	1	PD03JAN18	2590.00	2528.67	USD	1,533.25	
03OCT17	S	1	PD03JAN18	2591.50	2528.67	USD	1,570.75	
03OCT17	B	2	PD03JAN18	2594.00	2528.67	USD	-3,266.50	
	NET	0 AVG BGHT 2594.00	SOLD 2590.75				-162.50	0.00
04OCT17	B	2	PD04JAN18	2576.50	2528.82	USD	-2,384.00	
	NET	+2 AVG BGHT 2576.50					-2,384.00	0.00
05OCT17	S	1	PD05JAN18	2579.00	2528.97	USD	1,250.75	
05OCT17	S	1	PD05JAN18	2581.00	2528.97	USD	1,300.75	
	NET	-2 AVG SOLD 2580.00					2,551.50	0.00
09OCT17	B	2	PD09JAN18	2518.00	2529.56	USD	578.00	
09OCT17	S	1	PD09JAN18	2514.00	2529.56	USD	-389.00	
09OCT17	S	1	PD09JAN18	2515.00	2529.56	USD	-364.00	
09OCT17	S	1	PD09JAN18	2516.00	2529.56	USD	-339.00	
09OCT17	B	1	PD09JAN18	2515.50	2529.56	USD	351.50	
09OCT17	S	1	PD09JAN18	2516.50	2529.56	USD	-326.50	
09OCT17	B	1	PD09JAN18	2516.00	2529.56	USD	339.00	
	NET	0 AVG BGHT 2516.88	SOLD 2515.38				-150.00	0.00
11OCT17	B	2	PD11JAN18	2521.00	2529.85	USD	442.50	
11OCT17	B	1	PD11JAN18	2519.00	2529.85	USD	271.25	
11OCT17	S	1	PD11JAN18	2520.00	2529.85	USD	-246.25	
11OCT17	S	1	PD11JAN18	2529.00	2529.85	USD	-21.25	
11OCT17	S	1	PD11JAN18	2530.00	2529.85	USD	3.75	
	NET	0 AVG BGHT 2520.33	SOLD 2526.33				450.00	0.00
12OCT17	B	4	PD12JAN18	2545.00	2530.00	USD	-1,500.00	
12OCT17	S	1	PD12JAN18	2552.00	2530.00	USD	550.00	
12OCT17	B	1	PD12JAN18	2553.00	2530.00	USD	-575.00	

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12OCT17	B 2 PD12JAN18	2572.00	2530.00	USD	-2,100.00	
12OCT17	B 1 PD12JAN18	2568.50	2530.00	USD	-962.50	
12OCT17	S 1 PD12JAN18	2573.50	2530.00	USD	1,087.50	
12OCT17	S 2 PD12JAN18	2576.00	2530.00	USD	2,300.00	
12OCT17	S 1 PD12JAN18	2575.00	2530.00	USD	1,125.00	
12OCT17	B 1 PD12JAN18	2573.00	2530.00	USD	-1,075.00	
13OCT17	B 1 PD12JAN18	2559.00	2530.00	USD	-725.00	
13OCT17	S 2 PD12JAN18	2543.00	2530.00	USD	650.00	
13OCT17	S 1 PD12JAN18	2542.00	2530.00	USD	300.00	
13OCT17	S 1 PD12JAN18	2540.50	2530.00	USD	262.50	
13OCT17	S 1 PD12JAN18	2540.00	2530.00	USD	250.00	
13OCT17	S 1 PD12JAN18	2541.50	2530.00	USD	287.50	
13OCT17	B 1 PD12JAN18	2532.00	2530.00	USD	-50.00	
	NET 0AVG BGHT 2555.41 SOLD 2554.77				-175.00	0.00
	<u>CME E-MINI S&P 500 50 CME</u>					
18SEP17	S 3 DEC17	2503.25	2552.80	USD	-7,432.50	
	NET -3AVG SOLD 2503.25				-7,432.50	0.00
	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
17JUL17	S 1 PD17OCT17	9645.00	11610.50	USD	-11,793.00	
17JUL17	S 1 PD17OCT17	9715.00	11610.50	USD	-11,373.00	
17JUL17	B 1 PD17OCT17	9625.00	11610.50	USD	11,913.00	
17JUL17	B 1 PD17OCT17	9615.00	11610.50	USD	11,973.00	
	NET 0AVG BGHT 9620.00 SOLD 9680.00				720.00	0.00
18JUL17	S 1 PD18OCT17	9595.00	11610.50	USD	-12,093.00	
18JUL17	B 1 PD18OCT17	9715.00	11610.50	USD	11,373.00	
	NET 0AVG BGHT 9715.00 SOLD 9595.00				-720.00	0.00
20JUL17	S 1 PD20OCT17	9610.00	11611.35	USD	-12,008.10	
20JUL17	S 1 PD20OCT17	9655.00	11611.35	USD	-11,738.10	
19SEP17	B 2 PD20OCT17	11091.25	11611.35	USD	6,241.20	
	NET 0AVG BGHT 11091.25 SOLD 9632.50				-17,505.00	0.00
24JUL17	S 1 PD24OCT17	9740.00	11614.76	USD	-11,248.56	
24JUL17	S 1 PD24OCT17	9765.00	11614.76	USD	-11,098.56	
19SEP17	B 2 PD24OCT17	11094.75	11614.76	USD	6,240.12	
	NET 0AVG BGHT 11094.75 SOLD 9752.50				-16,107.00	0.00
25JUL17	B 2 PD25OCT17	9870.00	11615.61	USD	20,947.32	
25JUL17	B 1 PD25OCT17	9915.00	11615.61	USD	10,203.66	
25JUL17	B 1 PD25OCT17	9955.00	11615.61	USD	9,963.66	
19SEP17	S 4 PD25OCT17	11094.63	11615.61	USD	-12,503.52	
	NET 0AVG BGHT 9902.50 SOLD 11094.63				28,611.12	0.00
26JUL17	S 1 PD26OCT17	10035.00	11616.46	USD	-9,488.76	
19SEP17	B 1 PD26OCT17	11096.50	11616.46	USD	3,119.76	
	NET 0AVG BGHT 11096.50 SOLD 10035.00				-6,369.00	0.00
28JUL17	S 1 PD27OCT17	10235.00	11617.31	USD	-8,293.86	
19SEP17	B 1 PD27OCT17	11097.38	11617.31	USD	3,119.58	
	NET 0AVG BGHT 11097.38 SOLD 10235.00				-5,174.28	0.00
01AUG17	B 1 PD01NOV17	10255.00	11621.57	USD	8,199.42	
01AUG17	B 1 PD01NOV17	10265.00	11621.57	USD	8,139.42	
19SEP17	S 2 PD01NOV17	11100.75	11621.57	USD	-6,249.84	
	NET 0AVG BGHT 10260.00 SOLD 11100.75				10,089.00	0.00
02AUG17	S 1 PD02NOV17	10190.00	11622.43	USD	-8,594.58	
02AUG17	S 1 PD02NOV17	10185.00	11622.43	USD	-8,624.58	
19SEP17	B 2 PD02NOV17	11102.63	11622.43	USD	6,237.60	
	NET 0AVG BGHT 11102.63 SOLD 10187.50				-10,981.56	0.00

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04AUG17	B	1	PD03NOV17	10210.00	11623.28	USD	8,479.68	
04AUG17	B	1	PD03NOV17	10205.00	11623.28	USD	8,509.68	
19SEP17	S	2	PD03NOV17	11102.50	11623.28	USD	-6,249.36	
	NET	0 AVG	BGHT 10207.50				10,740.00	0.00
08AUG17	B	2	PD08NOV17	10300.00	11627.54	USD	15,930.48	
08AUG17	S	1	PD08NOV17	10615.00	11627.54	USD	-6,075.24	
08AUG17	S	1	PD08NOV17	10740.00	11627.54	USD	-5,325.24	
08AUG17	B	1	PD08NOV17	10740.00	11627.54	USD	5,325.24	
19SEP17	S	1	PD08NOV17	11107.88	11627.54	USD	-3,117.96	
	NET	0 AVG	BGHT 10446.67				6,737.28	0.00
09AUG17	S	1	PD09NOV17	10810.00	11628.39	USD	-4,910.34	
19SEP17	B	1	PD09NOV17	11109.75	11628.39	USD	3,111.84	
	NET	0 AVG	BGHT 11109.75				-1,798.50	0.00
10AUG17	S	1	PD10NOV17	10820.00	11629.24	USD	-4,855.44	
10AUG17	B	1	PD10NOV17	10805.00	11629.24	USD	4,945.44	
10AUG17	S	1	PD10NOV17	10925.00	11629.24	USD	-4,225.44	
10AUG17	S	1	PD10NOV17	10910.00	11629.24	USD	-4,315.44	
11AUG17	B	1	PD10NOV17	10735.00	11629.24	USD	5,365.44	
11AUG17	B	1	PD10NOV17	10650.00	11629.24	USD	5,875.44	
11AUG17	B	1	PD10NOV17	10635.00	11629.24	USD	5,965.44	
11AUG17	B	1	PD10NOV17	10655.00	11629.24	USD	5,845.44	
11AUG17	S	1	PD10NOV17	10715.00	11629.24	USD	-5,485.44	
11AUG17	B	1	PD10NOV17	10645.00	11629.24	USD	5,905.44	
19SEP17	S	2	PD10NOV17	11109.63	11629.24	USD	-6,235.32	
	NET	0 AVG	BGHT 10687.50				8,785.56	0.00
14AUG17	B	1	PD14NOV17	10585.00	11632.65	USD	6,285.90	
14AUG17	B	1	PD14NOV17	10575.00	11632.65	USD	6,345.90	
14AUG17	B	1	PD14NOV17	10565.00	11632.65	USD	6,405.90	
19SEP17	S	3	PD14NOV17	11113.13	11632.65	USD	-9,351.36	
	NET	0 AVG	BGHT 10575.00				9,686.34	0.00
16AUG17	S	1	PD16NOV17	10630.00	11634.19	USD	-6,025.14	
16AUG17	S	1	PD16NOV17	10710.00	11634.19	USD	-5,545.14	
19SEP17	B	2	PD16NOV17	11115.76	11634.19	USD	6,221.16	
	NET	0 AVG	BGHT 11115.76				-5,349.12	0.00
17AUG17	S	1	PD17NOV17	10650.00	11634.87	USD	-5,909.22	
18AUG17	S	1	PD17NOV17	11010.00	11634.87	USD	-3,749.22	
18AUG17	S	1	PD17NOV17	11005.00	11634.87	USD	-3,779.22	
19SEP17	B	3	PD17NOV17	11116.53	11634.87	USD	9,330.12	
	NET	0 AVG	BGHT 11116.53				-4,107.54	0.00
23AUG17	S	1	PD24NOV17	11530.00	11639.67	USD	-658.02	
23AUG17	S	1	PD24NOV17	11550.00	11639.67	USD	-538.02	
23AUG17	S	1	PD24NOV17	11535.00	11639.67	USD	-628.02	
23AUG17	S	1	PD24NOV17	11580.00	11639.67	USD	-358.02	
23AUG17	B	1	PD24NOV17	11760.00	11639.67	USD	-721.98	
23AUG17	S	1	PD24NOV17	11785.00	11639.67	USD	871.98	
24AUG17	B	1	PD24NOV17	11710.00	11639.67	USD	-421.98	
24AUG17	B	1	PD24NOV17	11665.00	11639.67	USD	-151.98	
24AUG17	B	1	PD24NOV17	11625.00	11639.67	USD	88.02	
24AUG17	S	2	PD24NOV17	11685.00	11639.67	USD	543.96	
25AUG17	B	1	PD24NOV17	11425.00	11639.67	USD	1,288.02	
25AUG17	B	1	PD24NOV17	11435.00	11639.67	USD	1,228.02	
25AUG17	S	1	PD24NOV17	11430.00	11639.67	USD	-1,258.02	
25AUG17	S	2	PD24NOV17	11475.00	11639.67	USD	-1,976.04	
25AUG17	B	1	PD24NOV17	11435.00	11639.67	USD	1,228.02	
19SEP17	B	3	PD24NOV17	11120.88	11639.67	USD	9,338.22	

OPEN POSITION STATEMENT



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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>								
	NET	0 AVG	BGHT	11441.76	SOLD	11573.00		7,874.16	0.00
29AUG17	B	4	PD29NOV17		11735.00	11643.10	USD	-2,205.60	
29AUG17	B	5	PD29NOV17		11745.00	11643.10	USD	-3,057.00	
29AUG17	S	2	PD29NOV17		11730.00	11643.10	USD	1,042.80	
29AUG17	S	3	PD29NOV17		11735.00	11643.10	USD	1,654.20	
29AUG17	S	1	PD29NOV17		11705.00	11643.10	USD	371.40	
29AUG17	B	1	PD29NOV17		11730.00	11643.10	USD	-521.40	
29AUG17	S	1	PD29NOV17		11700.00	11643.10	USD	341.40	
29AUG17	S	1	PD29NOV17		11765.00	11643.10	USD	731.40	
29AUG17	B	1	PD29NOV17		11730.00	11643.10	USD	-521.40	
29AUG17	S	1	PD29NOV17		11730.00	11643.10	USD	521.40	
29AUG17	S	1	PD29NOV17		11800.00	11643.10	USD	941.40	
19SEP17	S	1	PD29NOV17		11123.71	11643.10	USD	-3,116.34	
	NET	0 AVG	BGHT	11738.64	SOLD	11680.79		-3,817.74	0.00
30AUG17	B	2	PD30NOV17		11675.00	11643.79	USD	-374.52	
19SEP17	S	2	PD30NOV17		11124.47	11643.79	USD	-6,231.84	
	NET	0 AVG	BGHT	11675.00	SOLD	11124.47		-6,606.36	0.00
01SEP17	S	1	PD01DEC17		11915.00	11644.47	USD	1,623.18	
01SEP17	S	1	PD01DEC17		12080.00	11644.47	USD	2,613.18	
01SEP17	S	1	PD01DEC17		12070.00	11644.47	USD	2,553.18	
01SEP17	B	2	PD01DEC17		12150.00	11644.47	USD	-6,066.36	
19SEP17	B	1	PD01DEC17		11126.24	11644.47	USD	3,109.38	
	NET	0 AVG	BGHT	11808.75	SOLD	12021.67		3,832.56	0.00
04SEP17	B	1	PD04DEC17		12275.00	11646.53	USD	-3,770.82	
19SEP17	S	1	PD04DEC17		11127.53	11646.53	USD	-3,114.00	
	NET	0 AVG	BGHT	12275.00	SOLD	11127.53		-6,884.82	0.00
05SEP17	S	2	PD05DEC17		12250.00	11647.21	USD	7,233.48	
05SEP17	B	2	PD05DEC17		11985.00	11647.21	USD	-4,053.48	
	NET	0 AVG	BGHT	11985.00	SOLD	12250.00		3,180.00	0.00
06SEP17	S	1	PD06DEC17		12055.00	11647.90	USD	2,442.60	
06SEP17	S	1	PD06DEC17		12075.00	11647.90	USD	2,562.60	
06SEP17	S	1	PD06DEC17		12125.00	11647.90	USD	2,862.60	
06SEP17	B	1	PD06DEC17		12185.00	11647.90	USD	-3,222.60	
06SEP17	B	1	PD06DEC17		12175.00	11647.90	USD	-3,162.60	
06SEP17	S	2	PD06DEC17		12250.00	11647.90	USD	7,225.20	
19SEP17	B	3	PD06DEC17		11130.06	11647.90	USD	9,321.12	
	NET	0 AVG	BGHT	11550.04	SOLD	12151.00		18,028.92	0.00
08SEP17	B	1	PD08DEC17		11930.00	11649.27	USD	-1,684.38	
08SEP17	B	2	PD08DEC17		11935.00	11649.27	USD	-3,428.76	
08SEP17	B	1	PD08DEC17		11520.00	11649.27	USD	775.62	
08SEP17	S	1	PD08DEC17		11500.00	11649.27	USD	-895.62	
08SEP17	B	2	PD08DEC17		11505.00	11649.27	USD	1,731.24	
19SEP17	S	3	PD08DEC17		11130.59	11649.27	USD	-9,336.24	
19SEP17	S	2	PD08DEC17		11131.59	11649.27	USD	-6,212.16	
	NET	0 AVG	BGHT	11721.67	SOLD	11192.49		-19,050.30	0.00
11SEP17	S	1	PD11DEC17		11630.00	11651.33	USD	-127.98	
11SEP17	S	1	PD11DEC17		11595.00	11651.33	USD	-337.98	
11SEP17	S	1	PD11DEC17		11620.00	11651.33	USD	-187.98	
11SEP17	S	2	PD11DEC17		11820.00	11651.33	USD	2,024.04	
11SEP17	S	1	PD11DEC17		11830.00	11651.33	USD	1,072.02	
19SEP17	B	2	PD11DEC17		11134.88	11651.33	USD	6,197.40	
19SEP17	B	1	PD11DEC17		11133.88	11651.33	USD	3,104.70	
	NET	-3 AVG	BGHT	11134.55	SOLD	11719.17		11,744.22	0.00
14SEP17	B	1	PD14DEC17		11270.00	11653.39	USD	2,300.34	
14SEP17	S	1	PD14DEC17		11275.00	11653.39	USD	-2,270.34	

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
14SEP17	B	1	PD14DEC17	11210.00	11653.39	USD	2,660.34	
14SEP17	S	1	PD14DEC17	11215.00	11653.39	USD	-2,630.34	
14SEP17	B	1	PD14DEC17	11215.00	11653.39	USD	2,630.34	
19SEP17	S	1	PD14DEC17	11135.18	11653.39	USD	-3,109.26	
	NET	0 AVG BGHT 11231.67	SOLD 11208.39				-418.92	0.00
15SEP17	B	1	PD15DEC17	11190.00	11654.07	USD	2,784.42	
15SEP17	B	1	PD15DEC17	11180.00	11654.07	USD	2,844.42	
15SEP17	B	1	PD15DEC17	11080.00	11654.07	USD	3,444.42	
15SEP17	S	1	PD15DEC17	11160.00	11654.07	USD	-2,964.42	
15SEP17	S	2	PD15DEC17	11165.00	11654.07	USD	-5,868.84	
	NET	0 AVG BGHT 11150.00	SOLD 11163.33				240.00	0.00
18SEP17	S	1	PD18DEC17	11180.00	11656.13	USD	-2,856.78	
18SEP17	S	2	PD18DEC17	11195.00	11656.13	USD	-5,533.56	
	NET	-3 AVG SOLD 11190.00					-8,390.34	0.00
19SEP17	B	1	PD19DEC17	10975.00	11656.81	USD	4,090.86	
19SEP17	S	1	PD19DEC17	11125.00	11656.81	USD	-3,190.86	
	NET	0 AVG BGHT 10975.00	SOLD 11125.00				900.00	0.00
20SEP17	S	1	PD20DEC17	11280.00	11657.50	USD	-2,265.00	
	NET	-1 AVG SOLD 11280.00					-2,265.00	0.00
21SEP17	B	1	PD21DEC17	11020.00	11658.26	USD	3,829.56	
21SEP17	B	1	PD21DEC17	11030.00	11658.26	USD	3,769.56	
21SEP17	B	1	PD21DEC17	10935.00	11658.26	USD	4,339.56	
21SEP17	B	1	PD21DEC17	10940.00	11658.26	USD	4,309.56	
21SEP17	B	1	PD21DEC17	10940.00	11658.26	USD	4,309.56	
21SEP17	B	1	PD21DEC17	10930.00	11658.26	USD	4,369.56	
21SEP17	B	1	PD21DEC17	10730.00	11658.26	USD	5,569.56	
21SEP17	B	1	PD21DEC17	10665.00	11658.26	USD	5,959.56	
21SEP17	S	1	PD21DEC17	10740.00	11658.26	USD	-5,509.56	
21SEP17	B	1	PD21DEC17	10625.00	11658.26	USD	6,199.56	
21SEP17	B	1	PD21DEC17	10675.00	11658.26	USD	5,899.56	
	NET	+9 AVG BGHT 10849.00	SOLD 10740.00				43,046.04	0.00
22SEP17	S	1	PD22DEC17	10530.00	11659.02	USD	-6,774.12	
22SEP17	S	1	PD22DEC17	10545.00	11659.02	USD	-6,684.12	
22SEP17	B	1	PD22DEC17	10655.00	11659.02	USD	6,024.12	
22SEP17	B	1	PD22DEC17	10635.00	11659.02	USD	6,144.12	
22SEP17	S	1	PD22DEC17	10630.00	11659.02	USD	-6,174.12	
	NET	-1 AVG BGHT 10645.00	SOLD 10568.33				-7,464.12	0.00
25SEP17	S	1	PD27DEC17	10625.00	11662.83	USD	-6,226.98	
26SEP17	B	1	PD27DEC17	10650.00	11662.83	USD	6,076.98	
26SEP17	B	1	PD27DEC17	10645.00	11662.83	USD	6,106.98	
26SEP17	B	1	PD27DEC17	10615.00	11662.83	USD	6,286.98	
26SEP17	B	1	PD27DEC17	10600.00	11662.83	USD	6,376.98	
26SEP17	S	2	PD27DEC17	10630.00	11662.83	USD	-12,393.96	
26SEP17	S	1	PD27DEC17	10490.00	11662.83	USD	-7,036.98	
26SEP17	S	1	PD27DEC17	10470.00	11662.83	USD	-7,156.98	
	NET	-1 AVG BGHT 10627.50	SOLD 10569.00				-7,966.98	0.00
28SEP17	B	3	PD28DEC17	10490.00	11663.59	USD	21,124.62	
28SEP17	S	1	PD28DEC17	10480.00	11663.59	USD	-7,101.54	
28SEP17	B	1	PD28DEC17	10460.00	11663.59	USD	7,221.54	
28SEP17	S	1	PD28DEC17	10460.00	11663.59	USD	-7,221.54	
28SEP17	B	1	PD28DEC17	10465.00	11663.59	USD	7,191.54	
	NET	+3 AVG BGHT 10479.00	SOLD 10470.00				21,214.62	0.00
29SEP17	S	1	PD29DEC17	10665.00	11664.35	USD	-5,996.10	
29SEP17	S	1	PD29DEC17	10670.00	11664.35	USD	-5,966.10	
29SEP17	S	1	PD29DEC17	10695.00	11664.35	USD	-5,816.10	

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	NET	-3 AVG	SOLD 10676.67				-17,778.30	0.00
030CT17	S	1	PD03JAN18	10740.00	11668.15	USD	-5,568.90	
030CT17	B	1	PD03JAN18	10545.00	11668.15	USD	6,738.90	
030CT17	S	1	PD03JAN18	10700.00	11668.15	USD	-5,808.90	
	NET	-1 AVG	BGHT 10545.00 SOLD 10720.00				-4,638.90	0.00
040CT17	B	1	PD04JAN18	10665.00	11668.91	USD	6,023.46	
040CT17	B	1	PD04JAN18	10660.00	11668.91	USD	6,053.46	
040CT17	S	1	PD04JAN18	10575.00	11668.91	USD	-6,563.46	
040CT17	S	1	PD04JAN18	10570.00	11668.91	USD	-6,593.46	
040CT17	B	1	PD04JAN18	10570.00	11668.91	USD	6,593.46	
	NET	+1 AVG	BGHT 10631.67 SOLD 10572.50				5,513.46	0.00
050CT17	B	1	PD05JAN18	10610.00	11669.67	USD	6,358.02	
050CT17	S	1	PD05JAN18	10675.00	11669.67	USD	-5,968.02	
	NET	0 AVG	BGHT 10610.00 SOLD 10675.00				390.00	0.00
090CT17	S	2	PD09JAN18	10700.00	11672.72	USD	-11,672.64	
090CT17	S	1	PD09JAN18	10810.00	11672.72	USD	-5,176.32	
090CT17	S	1	PD09JAN18	10995.00	11672.72	USD	-4,066.32	
	NET	-4 AVG	SOLD 10801.25				-20,915.28	0.00
100CT17	B	1	PD10JAN18	10870.00	11673.48	USD	4,820.88	
100CT17	B	1	PD10JAN18	10865.00	11673.48	USD	4,850.88	
	NET	+2 AVG	BGHT 10867.50				9,671.76	0.00
110CT17	S	1	PD11JAN18	11180.00	11674.24	USD	-2,965.44	
	NET	-1 AVG	SOLD 11180.00				-2,965.44	0.00
120CT17	S	1	PD12JAN18	11290.00	11675.00	USD	-2,310.00	
120CT17	S	2	PD12JAN18	11280.00	11675.00	USD	-4,740.00	
130CT17	S	1	PD12JAN18	11420.00	11675.00	USD	-1,530.00	
130CT17	B	1	PD12JAN18	11575.00	11675.00	USD	600.00	
130CT17	S	1	PD12JAN18	11690.00	11675.00	USD	90.00	
130CT17	B	3	PD12JAN18	11720.00	11675.00	USD	-810.00	
	NET	-1 AVG	BGHT 11683.75 SOLD 11392.00				-8,700.00	0.00
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
17JUL17	S	1	PD17OCT17	2825.00	3294.00	USD	-11,725.00	
17JUL17	S	1	PD17OCT17	2834.50	3294.00	USD	-11,487.50	
17JUL17	B	1	PD17OCT17	2818.50	3294.00	USD	11,887.50	
17JUL17	B	1	PD17OCT17	2819.00	3294.00	USD	11,875.00	
17JUL17	S	2	PD17OCT17	2815.00	3294.00	USD	-23,950.00	
17JUL17	S	2	PD17OCT17	2812.00	3294.00	USD	-24,100.00	
17JUL17	B	2	PD17OCT17	2813.00	3294.00	USD	24,050.00	
17JUL17	B	1	PD17OCT17	2811.50	3294.00	USD	12,062.50	
17JUL17	B	1	PD17OCT17	2816.00	3294.00	USD	11,950.00	
17JUL17	B	1	PD17OCT17	2832.00	3294.00	USD	11,550.00	
17JUL17	B	1	PD17OCT17	2829.00	3294.00	USD	11,625.00	
17JUL17	B	1	PD17OCT17	2828.50	3294.00	USD	11,637.50	
17JUL17	B	1	PD17OCT17	2823.00	3294.00	USD	11,775.00	
17JUL17	S	1	PD17OCT17	2828.00	3294.00	USD	-11,650.00	
17JUL17	S	1	PD17OCT17	2829.50	3294.00	USD	-11,612.50	
17JUL17	S	1	PD17OCT17	2833.50	3294.00	USD	-11,512.50	
19SEP17	S	1	PD17OCT17	3109.76	3294.00	USD	-4,606.00	
	NET	0 AVG	BGHT 2820.35 SOLD 2851.43				7,769.00	0.00
18JUL17	B	1	PD18OCT17	2793.00	3291.00	USD	12,450.00	
18JUL17	B	1	PD18OCT17	2795.50	3291.00	USD	12,387.50	
18JUL17	B	2	PD18OCT17	2778.50	3291.00	USD	25,625.00	
18JUL17	B	2	PD18OCT17	2777.00	3291.00	USD	25,700.00	

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18JUL17	B	2	PD180CT17	2778.00	3291.00	USD	25,650.00	
18JUL17	S	2	PD180CT17	2796.50	3291.00	USD	-24,725.00	
18JUL17	S	1	PD180CT17	2792.50	3291.00	USD	-12,462.50	
18JUL17	S	1	PD180CT17	2788.50	3291.00	USD	-12,562.50	
18JUL17	S	1	PD180CT17	2784.00	3291.00	USD	-12,675.00	
18JUL17	S	1	PD180CT17	2788.00	3291.00	USD	-12,575.00	
18JUL17	S	4	PD180CT17	2791.00	3291.00	USD	-50,000.00	
18JUL17	S	2	PD180CT17	2790.50	3291.00	USD	-25,025.00	
19SEP17	B	4	PD180CT17	3109.25	3291.00	USD	18,175.00	
	NET	0 AVG BGHT 2891.04	SOLD 2790.92				-30,037.50	0.00
19JUL17	B	1	PD190CT17	2773.50	3286.50	USD	12,825.00	
19JUL17	B	1	PD190CT17	2769.00	3286.50	USD	12,937.50	
19JUL17	B	1	PD190CT17	2767.50	3286.50	USD	12,975.00	
19JUL17	B	1	PD190CT17	2768.00	3286.50	USD	12,962.50	
19JUL17	B	3	PD190CT17	2738.00	3286.50	USD	41,137.50	
19JUL17	B	1	PD190CT17	2738.50	3286.50	USD	13,700.00	
19SEP17	S	8	PD190CT17	3107.25	3286.50	USD	-35,850.00	
	NET	0 AVG BGHT 2753.81	SOLD 3107.25				70,687.50	0.00
20JUL17	S	1	PD200CT17	2728.00	3282.00	USD	-13,850.00	
20JUL17	S	3	PD200CT17	2727.00	3282.00	USD	-41,625.00	
20JUL17	B	2	PD200CT17	2726.50	3282.00	USD	27,775.00	
21JUL17	S	1	PD200CT17	2759.50	3282.00	USD	-13,062.50	
21JUL17	S	1	PD200CT17	2760.50	3282.00	USD	-13,037.50	
21JUL17	B	1	PD200CT17	2742.50	3282.00	USD	13,487.50	
21JUL17	B	1	PD200CT17	2746.00	3282.00	USD	13,400.00	
21JUL17	B	1	PD200CT17	2753.00	3282.00	USD	13,225.00	
21JUL17	B	2	PD200CT17	2757.50	3282.00	USD	26,225.00	
19SEP17	S	1	PD200CT17	3106.75	3282.00	USD	-4,381.25	
	NET	0 AVG BGHT 2744.21	SOLD 2790.82				8,156.25	0.00
24JUL17	S	1	PD240CT17	2772.50	3276.00	USD	-12,587.50	
24JUL17	S	1	PD240CT17	2777.00	3276.00	USD	-12,475.00	
24JUL17	S	2	PD240CT17	2787.00	3276.00	USD	-24,450.00	
24JUL17	B	2	PD240CT17	2788.00	3276.00	USD	24,400.00	
19SEP17	B	2	PD240CT17	3106.84	3276.00	USD	8,458.00	
	NET	0 AVG BGHT 2947.42	SOLD 2780.88				-16,654.50	0.00
25JUL17	S	1	PD250CT17	2817.50	3273.00	USD	-11,387.50	
25JUL17	S	1	PD250CT17	2827.00	3273.00	USD	-11,150.00	
25JUL17	S	1	PD250CT17	2827.00	3273.00	USD	-11,150.00	
25JUL17	B	1	PD250CT17	2842.00	3273.00	USD	10,775.00	
25JUL17	B	1	PD250CT17	2836.00	3273.00	USD	10,925.00	
19SEP17	B	1	PD250CT17	3106.78	3273.00	USD	4,155.50	
	NET	0 AVG BGHT 2928.26	SOLD 2823.83				-7,832.00	0.00
26JUL17	S	1	PD260CT17	2801.50	3271.87	USD	-11,759.25	
26JUL17	S	1	PD260CT17	2801.50	3271.87	USD	-11,759.25	
19SEP17	B	1	PD260CT17	3106.73	3271.87	USD	4,128.50	
19SEP17	B	1	PD260CT17	3106.53	3271.87	USD	4,133.50	
	NET	0 AVG BGHT 3106.63	SOLD 2801.50				-15,256.50	0.00
27JUL17	S	1	PD270CT17	2822.50	3270.73	USD	-11,205.75	
27JUL17	S	1	PD270CT17	2818.00	3270.73	USD	-11,318.25	
27JUL17	B	2	PD270CT17	2823.50	3270.73	USD	22,361.50	
27JUL17	S	1	PD270CT17	2824.50	3270.73	USD	-11,155.75	
27JUL17	S	1	PD270CT17	2825.00	3270.73	USD	-11,143.25	
28JUL17	S	2	PD270CT17	2773.50	3270.73	USD	-24,861.50	
28JUL17	B	1	PD270CT17	2773.50	3270.73	USD	12,430.75	
28JUL17	B	1	PD270CT17	2775.00	3270.73	USD	12,393.25	

OPEN POSITION STATEMENT



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backoffice@sapiencap.com

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28JUL17	B	1	PD27OCT17	2772.00	3270.73	USD	12,468.25	
28JUL17	B	2	PD27OCT17	2770.00	3270.73	USD	25,036.50	
28JUL17	S	1	PD27OCT17	2773.50	3270.73	USD	-12,430.75	
	NET	0 AVG	BGHT 2786.79				2,575.00	0.00
31JUL17	S	2	PD31OCT17	2812.50	3268.47	USD	-22,798.50	
31JUL17	B	1	PD31OCT17	2810.50	3268.47	USD	11,449.25	
31JUL17	B	1	PD31OCT17	2811.00	3268.47	USD	11,436.75	
31JUL17	S	1	PD31OCT17	2797.50	3268.47	USD	-11,774.25	
31JUL17	B	1	PD31OCT17	2792.00	3268.47	USD	11,911.75	
	NET	0 AVG	BGHT 2804.50				225.00	0.00
01AUG17	B	1	PD01NOV17	2776.00	3267.33	USD	12,283.25	
01AUG17	S	1	PD01NOV17	2782.50	3267.33	USD	-12,120.75	
	NET	0 AVG	BGHT 2776.00				162.50	0.00
02AUG17	B	2	PD02NOV17	2768.00	3266.20	USD	24,910.00	
02AUG17	B	1	PD02NOV17	2767.00	3266.20	USD	12,480.00	
02AUG17	B	1	PD02NOV17	2762.00	3266.20	USD	12,605.00	
02AUG17	B	1	PD02NOV17	2762.00	3266.20	USD	12,605.00	
02AUG17	S	4	PD02NOV17	2784.00	3266.20	USD	-48,220.00	
02AUG17	S	1	PD02NOV17	2782.00	3266.20	USD	-12,105.00	
26SEP17	S	2	PD02NOV17	3146.75	3266.20	USD	-5,972.50	
03OCT17	S	2	PD02NOV17	3243.00	3266.20	USD	-1,160.00	
10OCT17	B	2	PD02NOV17	3255.00	3266.20	USD	560.00	
11OCT17	B	2	PD02NOV17	3261.00	3266.20	USD	260.00	
	NET	0 AVG	BGHT 2984.33				-4,037.50	0.00
03AUG17	B	2	PD03NOV17	2814.00	3265.07	USD	22,553.50	
03AUG17	S	2	PD03NOV17	2792.50	3265.07	USD	-23,628.50	
	NET	0 AVG	BGHT 2814.00				-1,075.00	0.00
07AUG17	S	1	PD07NOV17	2878.50	3262.80	USD	-9,607.50	
07AUG17	S	1	PD07NOV17	2882.50	3262.80	USD	-9,507.50	
07AUG17	S	1	PD07NOV17	2885.00	3262.80	USD	-9,445.00	
07AUG17	B	1	PD07NOV17	2867.00	3262.80	USD	9,895.00	
07AUG17	B	1	PD07NOV17	2870.00	3262.80	USD	9,820.00	
07AUG17	B	1	PD07NOV17	2869.00	3262.80	USD	9,845.00	
	NET	0 AVG	BGHT 2868.67				1,000.00	0.00
08AUG17	S	1	PD08NOV17	2876.00	3261.67	USD	-9,641.75	
08AUG17	S	1	PD08NOV17	2882.00	3261.67	USD	-9,491.75	
08AUG17	B	2	PD08NOV17	2868.00	3261.67	USD	19,683.50	
	NET	0 AVG	BGHT 2868.00				550.00	0.00
10AUG17	S	1	PD10NOV17	2947.50	3259.40	USD	-7,797.50	
10AUG17	B	1	PD10NOV17	2928.00	3259.40	USD	8,285.00	
11AUG17	S	1	PD10NOV17	2921.00	3259.40	USD	-8,460.00	
11AUG17	S	1	PD10NOV17	2920.00	3259.40	USD	-8,485.00	
11AUG17	B	1	PD10NOV17	2903.00	3259.40	USD	8,910.00	
11AUG17	B	1	PD10NOV17	2900.50	3259.40	USD	8,972.50	
	NET	0 AVG	BGHT 2910.50				1,425.00	0.00
14AUG17	S	1	PD14NOV17	2915.00	3257.13	USD	-8,553.25	
14AUG17	B	1	PD14NOV17	2920.00	3257.13	USD	8,428.25	
	NET	0 AVG	BGHT 2920.00				-125.00	0.00
16AUG17	S	3	PD16NOV17	2980.50	3253.50	USD	-20,475.00	
16AUG17	S	1	PD16NOV17	2980.00	3253.50	USD	-6,837.50	
16AUG17	S	2	PD16NOV17	2980.50	3253.50	USD	-13,650.00	
16AUG17	S	1	PD16NOV17	2980.00	3253.50	USD	-6,837.50	
16AUG17	B	1	PD16NOV17	3116.50	3253.50	USD	3,425.00	
16AUG17	B	1	PD16NOV17	3108.00	3253.50	USD	3,637.50	
16AUG17	S	1	PD16NOV17	3117.00	3253.50	USD	-3,412.50	

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16AUG17	B	1	PD16NOV17		3117.00	3253.50	USD	3,412.50	
19SEP17	B	5	PD16NOV17		3103.95	3253.50	USD	18,693.75	
	NET	0AVG	BGHT	3107.66				SOLD 2997.44	0.00
17AUG17	B	1	PD17NOV17		3141.50	3251.00	USD	2,737.50	
17AUG17	B	1	PD17NOV17		3142.00	3251.00	USD	2,725.00	
17AUG17	S	1	PD17NOV17		3134.50	3251.00	USD	-2,912.50	
17AUG17	S	2	PD17NOV17		3132.00	3251.00	USD	-5,950.00	
17AUG17	B	1	PD17NOV17		3144.00	3251.00	USD	2,675.00	
17AUG17	B	1	PD17NOV17		3145.50	3251.00	USD	2,637.50	
17AUG17	B	1	PD17NOV17		3144.50	3251.00	USD	2,662.50	
17AUG17	S	1	PD17NOV17		3112.00	3251.00	USD	-3,475.00	
17AUG17	B	1	PD17NOV17		3102.00	3251.00	USD	3,725.00	
17AUG17	B	1	PD17NOV17		3098.00	3251.00	USD	3,825.00	
17AUG17	B	2	PD17NOV17		3100.50	3251.00	USD	7,525.00	
17AUG17	S	1	PD17NOV17		3087.00	3251.00	USD	-4,100.00	
17AUG17	B	1	PD17NOV17		3072.00	3251.00	USD	4,475.00	
17AUG17	S	2	PD17NOV17		3064.00	3251.00	USD	-9,350.00	
18AUG17	S	1	PD17NOV17		3094.50	3251.00	USD	-3,912.50	
18AUG17	S	1	PD17NOV17		3093.50	3251.00	USD	-3,937.50	
18AUG17	B	2	PD17NOV17		3117.00	3251.00	USD	6,700.00	
18AUG17	S	1	PD17NOV17		3121.50	3251.00	USD	-3,237.50	
18AUG17	S	1	PD17NOV17		3122.50	3251.00	USD	-3,212.50	
18AUG17	S	2	PD17NOV17		3120.50	3251.00	USD	-6,525.00	
18AUG17	S	1	PD17NOV17		3114.50	3251.00	USD	-3,412.50	
18AUG17	S	2	PD17NOV17		3094.50	3251.00	USD	-7,825.00	
18AUG17	B	2	PD17NOV17		3136.00	3251.00	USD	5,750.00	
18AUG17	B	2	PD17NOV17		3140.00	3251.00	USD	5,550.00	
18AUG17	B	1	PD17NOV17		3135.00	3251.00	USD	2,900.00	
19SEP17	S	1	PD17NOV17		3103.53	3251.00	USD	-3,686.75	
	NET	0AVG	BGHT	3124.21				SOLD 3106.21	0.00
21AUG17	S	1	PD21NOV17		3172.00	3249.25	USD	-1,931.25	
21AUG17	B	1	PD21NOV17		3146.00	3249.25	USD	2,581.25	
21AUG17	B	1	PD21NOV17		3143.50	3249.25	USD	2,643.75	
21AUG17	S	1	PD21NOV17		3172.00	3249.25	USD	-1,931.25	
21AUG17	B	1	PD21NOV17		3125.00	3249.25	USD	3,106.25	
21AUG17	B	1	PD21NOV17		3130.50	3249.25	USD	2,968.75	
21AUG17	B	1	PD21NOV17		3142.50	3249.25	USD	2,668.75	
21AUG17	B	1	PD21NOV17		3126.50	3249.25	USD	3,068.75	
19SEP17	S	4	PD21NOV17		3103.48	3249.25	USD	-14,577.00	
	NET	0AVG	BGHT	3135.67				SOLD 3126.32	0.00
23AUG17	B	1	PD24NOV17		3099.00	3247.50	USD	3,712.50	
23AUG17	S	1	PD24NOV17		3100.00	3247.50	USD	-3,687.50	
23AUG17	S	2	PD24NOV17		3106.50	3247.50	USD	-7,050.00	
23AUG17	B	2	PD24NOV17		3110.00	3247.50	USD	6,875.00	
23AUG17	B	1	PD24NOV17		3109.00	3247.50	USD	3,462.50	
24AUG17	S	1	PD24NOV17		3121.00	3247.50	USD	-3,162.50	
25AUG17	B	1	PD24NOV17		3069.00	3247.50	USD	4,462.50	
25AUG17	S	1	PD24NOV17		3088.00	3247.50	USD	-3,987.50	
	NET	0AVG	BGHT	3099.40				SOLD 3104.40	0.00
31AUG17	S	1	PD30NOV17		3154.50	3246.50	USD	-2,300.00	
31AUG17	S	1	PD30NOV17		3156.00	3246.50	USD	-2,262.50	
19SEP17	B	2	PD30NOV17		3103.55	3246.50	USD	7,147.50	
	NET	0AVG	BGHT	3103.55				SOLD 3155.25	0.00
01SEP17	B	2	PD01DEC17		3161.50	3246.25	USD	4,237.50	
01SEP17	S	1	PD01DEC17		3156.00	3246.25	USD	-2,256.25	
01SEP17	S	1	PD01DEC17		3160.00	3246.25	USD	-2,156.25	

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01SEP17	S	1	PD01DEC17	3178.00	3246.25	USD	-1,706.25	
01SEP17	S	1	PD01DEC17	3179.00	3246.25	USD	-1,681.25	
01SEP17	S	2	PD01DEC17	3185.50	3246.25	USD	-3,037.50	
01SEP17	B	1	PD01DEC17	3208.00	3246.25	USD	956.25	
01SEP17	B	5	PD01DEC17	3208.00	3246.25	USD	4,781.25	
19SEP17	S	2	PD01DEC17	3103.32	3246.25	USD	-7,146.50	
	NET	0 AVG	BGHT 3196.38	SOLD 3156.33			-8,009.00	0.00
05SEP17	S	3	PD05DEC17	3192.00	3245.75	USD	-4,031.25	
05SEP17	S	1	PD05DEC17	3191.00	3245.75	USD	-1,368.75	
05SEP17	B	2	PD05DEC17	3195.00	3245.75	USD	2,537.50	
05SEP17	B	1	PD05DEC17	3194.00	3245.75	USD	1,293.75	
05SEP17	B	1	PD05DEC17	3195.50	3245.75	USD	1,256.25	
05SEP17	B	1	PD05DEC17	3102.00	3245.75	USD	3,593.75	
05SEP17	B	1	PD05DEC17	3095.50	3245.75	USD	3,756.25	
05SEP17	B	1	PD05DEC17	3095.00	3245.75	USD	3,768.75	
19SEP17	S	3	PD05DEC17	3103.71	3245.75	USD	-10,653.00	
	NET	0 AVG	BGHT 3153.14	SOLD 3154.02			153.25	0.00
06SEP17	B	1	PD06DEC17	3073.50	3245.50	USD	4,300.00	
06SEP17	B	1	PD06DEC17	3075.00	3245.50	USD	4,262.50	
06SEP17	B	1	PD06DEC17	3076.00	3245.50	USD	4,237.50	
06SEP17	B	1	PD06DEC17	3087.50	3245.50	USD	3,950.00	
19SEP17	S	4	PD06DEC17	3103.93	3245.50	USD	-14,157.00	
	NET	0 AVG	BGHT 3078.00	SOLD 3103.93			2,593.00	0.00
07SEP17	S	1	PD07DEC17	3092.00	3245.25	USD	-3,831.25	
07SEP17	S	1	PD07DEC17	3102.50	3245.25	USD	-3,568.75	
07SEP17	S	1	PD07DEC17	3099.50	3245.25	USD	-3,643.75	
07SEP17	S	1	PD07DEC17	3101.50	3245.25	USD	-3,593.75	
07SEP17	S	1	PD07DEC17	3117.00	3245.25	USD	-3,206.25	
07SEP17	S	1	PD07DEC17	3118.00	3245.25	USD	-3,181.25	
07SEP17	S	1	PD07DEC17	3116.00	3245.25	USD	-3,231.25	
19SEP17	B	7	PD07DEC17	3104.34	3245.25	USD	24,659.25	
	NET	0 AVG	BGHT 3104.34	SOLD 3106.64			403.00	0.00
08SEP17	B	1	PD08DEC17	3028.00	3245.00	USD	5,425.00	
19SEP17	S	1	PD08DEC17	3104.36	3245.00	USD	-3,516.00	
	NET	0 AVG	BGHT 3028.00	SOLD 3104.36			1,909.00	0.00
11SEP17	S	1	PD11DEC17	3058.00	3244.75	USD	-4,668.75	
11SEP17	S	1	PD11DEC17	3072.00	3244.75	USD	-4,318.75	
11SEP17	S	1	PD11DEC17	3077.00	3244.75	USD	-4,193.75	
11SEP17	S	1	PD11DEC17	3096.00	3244.75	USD	-3,718.75	
11SEP17	B	1	PD11DEC17	3079.00	3244.75	USD	4,143.75	
11SEP17	B	2	PD11DEC17	3080.00	3244.75	USD	8,237.50	
11SEP17	S	2	PD11DEC17	3080.00	3244.75	USD	-8,237.50	
11SEP17	B	3	PD11DEC17	3074.50	3244.75	USD	12,768.75	
	NET	0 AVG	BGHT 3077.08	SOLD 3077.17			12.50	0.00
12SEP17	S	2	PD12DEC17	3085.00	3244.50	USD	-7,975.00	
12SEP17	S	1	PD12DEC17	3084.50	3244.50	USD	-4,000.00	
12SEP17	B	1	PD12DEC17	3092.00	3244.50	USD	3,812.50	
12SEP17	B	1	PD12DEC17	3092.50	3244.50	USD	3,800.00	
12SEP17	S	1	PD12DEC17	3082.00	3244.50	USD	-4,062.50	
12SEP17	S	1	PD12DEC17	3079.50	3244.50	USD	-4,125.00	
12SEP17	B	1	PD12DEC17	3079.50	3244.50	USD	4,125.00	
12SEP17	B	1	PD12DEC17	3075.00	3244.50	USD	4,237.50	
19SEP17	B	1	PD12DEC17	3105.41	3244.50	USD	3,477.25	
	NET	0 AVG	BGHT 3088.88	SOLD 3083.20			-710.25	0.00
13SEP17	B	1	PD13DEC17	3024.50	3244.25	USD	5,493.75	

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13SEP17	S	1	PD13DEC17	3029.00	3244.25	USD	-5,381.25	
	NET	0 AVG BGHT 3024.50	SOLD 3029.00				112.50	0.00
14SEP17	B	2	PD14DEC17	3002.50	3244.00	USD	12,075.00	
14SEP17	B	1	PD14DEC17	2996.50	3244.00	USD	6,187.50	
14SEP17	S	1	PD14DEC17	3012.50	3244.00	USD	-5,787.50	
14SEP17	S	2	PD14DEC17	3012.00	3244.00	USD	-11,600.00	
	NET	0 AVG BGHT 3000.50	SOLD 3012.17				875.00	0.00
15SEP17	B	1	PD15DEC17	3033.00	3243.75	USD	5,268.75	
15SEP17	B	1	PD15DEC17	3032.00	3243.75	USD	5,293.75	
15SEP17	B	1	PD15DEC17	3028.00	3243.75	USD	5,393.75	
15SEP17	S	1	PD15DEC17	3025.00	3243.75	USD	-5,468.75	
15SEP17	S	1	PD15DEC17	3031.50	3243.75	USD	-5,306.25	
	NET	+1 AVG BGHT 3031.00	SOLD 3028.25				5,181.25	0.00
18SEP17	B	1	PD18DEC17	3077.00	3243.50	USD	4,162.50	
18SEP17	B	1	PD18DEC17	3064.00	3243.50	USD	4,487.50	
18SEP17	S	1	PD18DEC17	3084.00	3243.50	USD	-3,987.50	
18SEP17	S	1	PD18DEC17	3081.50	3243.50	USD	-4,050.00	
18SEP17	S	1	PD18DEC17	3094.00	3243.50	USD	-3,737.50	
18SEP17	S	1	PD18DEC17	3093.00	3243.50	USD	-3,762.50	
18SEP17	S	1	PD18DEC17	3092.50	3243.50	USD	-3,775.00	
18SEP17	B	1	PD18DEC17	3069.00	3243.50	USD	4,362.50	
18SEP17	B	1	PD18DEC17	3065.00	3243.50	USD	4,462.50	
18SEP17	S	1	PD18DEC17	3087.50	3243.50	USD	-3,900.00	
18SEP17	S	2	PD18DEC17	3088.00	3243.50	USD	-7,775.00	
18SEP17	B	2	PD18DEC17	3076.50	3243.50	USD	8,350.00	
18SEP17	B	1	PD18DEC17	3075.00	3243.50	USD	4,212.50	
	NET	-1 AVG BGHT 3071.86	SOLD 3088.56				-950.00	0.00
19SEP17	S	1	PD19DEC17	3101.50	3243.25	USD	-3,543.75	
19SEP17	B	1	PD19DEC17	3095.00	3243.25	USD	3,706.25	
19SEP17	S	1	PD19DEC17	3109.50	3243.25	USD	-3,343.75	
19SEP17	S	3	PD19DEC17	3110.00	3243.25	USD	-9,993.75	
	NET	-4 AVG BGHT 3095.00	SOLD 3108.20				-13,175.00	0.00
20SEP17	B	2	PD20DEC17	3100.00	3243.00	USD	7,150.00	
20SEP17	B	1	PD20DEC17	3099.50	3243.00	USD	3,587.50	
20SEP17	S	1	PD20DEC17	3123.00	3243.00	USD	-3,000.00	
20SEP17	S	1	PD20DEC17	3127.00	3243.00	USD	-2,900.00	
20SEP17	S	1	PD20DEC17	3131.00	3243.00	USD	-2,800.00	
20SEP17	S	1	PD20DEC17	3129.00	3243.00	USD	-2,850.00	
20SEP17	S	1	PD20DEC17	3142.50	3243.00	USD	-2,512.50	
20SEP17	B	1	PD20DEC17	3100.00	3243.00	USD	3,575.00	
20SEP17	B	1	PD20DEC17	3128.00	3243.00	USD	2,875.00	
20SEP17	B	2	PD20DEC17	3130.00	3243.00	USD	5,650.00	
20SEP17	B	2	PD20DEC17	3128.50	3243.00	USD	5,725.00	
	NET	+4 AVG BGHT 3116.06	SOLD 3130.50				14,500.00	0.00
21SEP17	S	1	PD21DEC17	3080.50	3240.00	USD	-3,987.50	
21SEP17	S	1	PD21DEC17	3081.50	3240.00	USD	-3,962.50	
21SEP17	S	1	PD21DEC17	3085.50	3240.00	USD	-3,862.50	
21SEP17	S	1	PD21DEC17	3086.50	3240.00	USD	-3,837.50	
21SEP17	B	1	PD21DEC17	3103.00	3240.00	USD	3,425.00	
21SEP17	B	1	PD21DEC17	3079.00	3240.00	USD	4,025.00	
21SEP17	B	2	PD21DEC17	3066.00	3240.00	USD	8,700.00	
	NET	0 AVG BGHT 3078.50	SOLD 3083.50				500.00	0.00
25SEP17	S	1	PD27DEC17	3086.00	3239.23	USD	-3,830.75	
25SEP17	S	1	PD27DEC17	3085.50	3239.23	USD	-3,843.25	
25SEP17	S	1	PD27DEC17	3087.00	3239.23	USD	-3,805.75	

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
25SEP17	B	1	PD27DEC17	3084.00	3239.23	USD	3,880.75	
25SEP17	B	1	PD27DEC17	3090.00	3239.23	USD	3,730.75	
25SEP17	B	1	PD27DEC17	3078.00	3239.23	USD	4,030.75	
25SEP17	S	1	PD27DEC17	3098.00	3239.23	USD	-3,530.75	
25SEP17	B	1	PD27DEC17	3098.00	3239.23	USD	3,530.75	
25SEP17	S	4	PD27DEC17	3096.00	3239.23	USD	-14,323.00	
25SEP17	B	1	PD27DEC17	3099.00	3239.23	USD	3,505.75	
25SEP17	B	3	PD27DEC17	3100.50	3239.23	USD	10,404.75	
26SEP17	S	2	PD27DEC17	3121.50	3239.23	USD	-5,886.50	
26SEP17	B	2	PD27DEC17	3135.00	3239.23	USD	5,211.50	
26SEP17	S	1	PD27DEC17	3139.50	3239.23	USD	-2,493.25	
26SEP17	S	1	PD27DEC17	3140.00	3239.23	USD	-2,480.75	
26SEP17	B	1	PD27DEC17	3115.00	3239.23	USD	3,105.75	
26SEP17	B	1	PD27DEC17	3114.00	3239.23	USD	3,130.75	
26SEP17	S	1	PD27DEC17	3115.50	3239.23	USD	-3,093.25	
26SEP17	S	1	PD27DEC17	3109.00	3239.23	USD	-3,255.75	
26SEP17	S	1	PD27DEC17	3114.50	3239.23	USD	-3,118.25	
26SEP17	B	1	PD27DEC17	3116.00	3239.23	USD	3,080.75	
26SEP17	S	2	PD27DEC17	3109.50	3239.23	USD	-6,486.50	
26SEP17	B	1	PD27DEC17	3112.00	3239.23	USD	3,180.75	
26SEP17	B	1	PD27DEC17	3113.00	3239.23	USD	3,155.75	
26SEP17	S	1	PD27DEC17	3111.00	3239.23	USD	-3,205.75	
26SEP17	B	2	PD27DEC17	3137.40	3239.23	USD	5,091.50	
26SEP17	S	1	PD27DEC17	3113.00	3239.23	USD	-3,155.75	
27SEP17	B	1	PD27DEC17	3116.00	3239.23	USD	3,080.75	
27SEP17	S	1	PD27DEC17	3143.50	3239.23	USD	-2,393.25	
27SEP17	B	1	PD27DEC17	3130.50	3239.23	USD	2,718.25	
27SEP17	B	1	PD27DEC17	3115.50	3239.23	USD	3,093.25	
	NET	0 AVG BGHT 3111.37	SOLD 3109.43				-970.00	0.00
28SEP17	S	1	PD28DEC17	3134.00	3238.85	USD	-2,621.25	
28SEP17	S	1	PD28DEC17	3136.50	3238.85	USD	-2,558.75	
28SEP17	S	1	PD28DEC17	3149.50	3238.85	USD	-2,233.75	
	NET	-3 AVG SOLD 3140.00					-7,413.75	0.00
29SEP17	B	1	PD29DEC17	3144.00	3238.46	USD	2,361.50	
29SEP17	B	2	PD29DEC17	3150.00	3238.46	USD	4,423.00	
29SEP17	S	1	PD29DEC17	3160.50	3238.46	USD	-1,949.00	
29SEP17	S	1	PD29DEC17	3151.00	3238.46	USD	-2,186.50	
29SEP17	S	1	PD29DEC17	3171.00	3238.46	USD	-1,686.50	
29SEP17	S	1	PD29DEC17	3167.00	3238.46	USD	-1,786.50	
29SEP17	B	1	PD29DEC17	3151.00	3238.46	USD	2,186.50	
29SEP17	B	1	PD29DEC17	3149.50	3238.46	USD	2,224.00	
29SEP17	B	2	PD29DEC17	3148.00	3238.46	USD	4,523.00	
	NET	+3 AVG BGHT 3148.64	SOLD 3162.38				8,109.50	0.00
03OCT17	S	2	PD03JAN18	3225.00	3237.69	USD	-634.50	
03OCT17	S	1	PD03JAN18	3239.50	3237.69	USD	45.25	
03OCT17	B	1	PD03JAN18	3264.00	3237.69	USD	-657.75	
03OCT17	B	2	PD03JAN18	3240.65	3237.69	USD	-148.00	
03OCT17	B	2	PD03JAN18	3279.50	3237.69	USD	-2,090.50	
	NET	+2 AVG BGHT 3260.86	SOLD 3229.83				-3,485.50	0.00
04OCT17	S	1	PD04JAN18	3292.50	3237.31	USD	1,379.75	
04OCT17	S	1	PD04JAN18	3294.00	3237.31	USD	1,417.25	
04OCT17	B	1	PD04JAN18	3295.00	3237.31	USD	-1,442.25	
04OCT17	B	1	PD04JAN18	3294.50	3237.31	USD	-1,429.75	
04OCT17	B	1	PD04JAN18	3295.50	3237.31	USD	-1,454.75	
04OCT17	S	1	PD04JAN18	3282.00	3237.31	USD	1,117.25	
04OCT17	S	2	PD04JAN18	3284.00	3237.31	USD	2,334.50	

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
	NET	-2 AVG BGHT 3295.00	SOLD 3287.30				1,922.00	0.00
09OCT17	S	1 PD09JAN18		3275.00	3236.15	USD	971.25	
09OCT17	B	1 PD09JAN18		3271.00	3236.15	USD	-871.25	
09OCT17	B	1 PD09JAN18		3243.00	3236.15	USD	-171.25	
09OCT17	B	1 PD09JAN18		3241.00	3236.15	USD	-121.25	
09OCT17	B	1 PD09JAN18		3231.50	3236.15	USD	116.25	
09OCT17	S	1 PD09JAN18		3250.00	3236.15	USD	346.25	
09OCT17	S	1 PD09JAN18		3252.50	3236.15	USD	408.75	
09OCT17	S	1 PD09JAN18		3251.50	3236.15	USD	383.75	
	NET	0 AVG BGHT 3246.63	SOLD 3257.25				1,062.50	0.00
10OCT17	B	1 PD10JAN18		3226.50	3235.77	USD	231.75	
10OCT17	B	1 PD10JAN18		3227.50	3235.77	USD	206.75	
10OCT17	S	2 PD10JAN18		3230.85	3235.77	USD	-246.00	
	NET	0 AVG BGHT 3227.00	SOLD 3230.85				192.50	0.00
11OCT17	S	4 PD11JAN18		3245.00	3235.38	USD	962.00	
11OCT17	B	1 PD11JAN18		3243.50	3235.38	USD	-203.00	
11OCT17	B	1 PD11JAN18		3241.50	3235.38	USD	-153.00	
11OCT17	B	1 PD11JAN18		3240.50	3235.38	USD	-128.00	
11OCT17	B	1 PD11JAN18		3241.00	3235.38	USD	-140.50	
11OCT17	B	2 PD11JAN18		3222.00	3235.38	USD	669.00	
11OCT17	S	2 PD11JAN18		3222.85	3235.38	USD	-626.50	
	NET	0 AVG BGHT 3235.08	SOLD 3237.62				380.00	0.00
13OCT17	B	1 PD12JAN18		3268.00	3235.00	USD	-825.00	
13OCT17	B	1 PD12JAN18		3269.00	3235.00	USD	-850.00	
13OCT17	S	1 PD12JAN18		3272.50	3235.00	USD	937.50	
13OCT17	S	1 PD12JAN18		3272.00	3235.00	USD	925.00	
13OCT17	S	2 PD12JAN18		3233.00	3235.00	USD	-100.00	
	NET	-2 AVG BGHT 3268.50	SOLD 3252.63				87.50	0.00

OPEN POSITION VALUE

		<u>USD</u>
LME Aluminium 25 Tonnes.	FUTURES	-431,828.75
LME Copper Grade A 25	FUTURES	1,011.00
CME Sterling	FUTURES	332,575.00
LME Lead 25 tonnes. This is an	FUTURES	-34,155.50
CME E-Mini S&P 500	FUTURES	375,487.50
LME Nickel 6 tonnes. This is	FUTURES	295,062.96
LME Zinc 25 tonnes. This is	FUTURES	154,706.25

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MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options	Amount	Profit / Loss	Total Amount	Total P&L
GBP - Futures USD	-350.00	-350.00		
MSNP - Futures USD	-7,432.50	-7,432.50	-7,782.50	-7,782.50
Unrealised Profits & Losses - Forwards	Amount	Profit / Loss	Total Amount	Total P&L
ALUL - Futures USD	-5,000.00	-5,000.00		
COPL - Futures USD	1,011.00	1,011.00		
LEAD - Futures USD	-34,198.50	-34,198.50		
NKL - Futures USD	15,030.54	15,030.54		
ZINC - Futures USD	-7,072.75	-7,072.75	-30,229.71	-30,229.71

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	65,959.21	65,959.21
Variation Margins - Futures	-7,782.50	-7,782.50
- Options	0.00	0.00
TOTAL EQUITY	58,176.71	58,176.71
Initial Margins	-121,038.71	-121,038.71
Excess/(Shortage)	-62,862.00	-62,862.00
FOR INFORMATION ONLY		
Forward Unrealised P&L	-30,229.71	-30,229.71
Net Liquidating Value	27,947.00	27,947.00

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	<u>LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.</u>					
04AUG17	B 1 PD03NOV17	1905.50	2114.78	USD	5,232.00	
04AUG17	S 1 PD03NOV17	1915.00	2114.78	USD	-4,994.50	
	NET 0AVG BGHT 1905.50 SOLD 1915.00				237.50	0.00
07AUG17	B 1 PD07NOV17	1950.00	2116.16	USD	4,154.00	
07AUG17	S 1 PD07NOV17	1965.00	2116.16	USD	-3,779.00	
	NET 0AVG BGHT 1950.00 SOLD 1965.00				375.00	0.00
09AUG17	B 1 PD09NOV17	2041.00	2116.96	USD	1,899.00	
09AUG17	S 1 PD09NOV17	2028.00	2116.96	USD	-2,224.00	
	NET 0AVG BGHT 2041.00 SOLD 2028.00				-325.00	0.00
16AUG17	B 1 PD16NOV17	2072.50	2120.07	USD	1,189.25	
16AUG17	B 1 PD16NOV17	2073.50	2120.07	USD	1,164.25	
16AUG17	S 2 PD16NOV17	2078.50	2120.07	USD	-2,078.50	
16AUG17	B 2 PD16NOV17	2091.50	2120.07	USD	1,428.50	
16AUG17	S 2 PD16NOV17	2092.50	2120.07	USD	-1,378.50	
	NET 0AVG BGHT 2082.25 SOLD 2085.50				325.00	0.00
21AUG17	B 1 PD21NOV17	2092.00	2121.65	USD	741.25	
21AUG17	S 1 PD21NOV17	2084.50	2121.65	USD	-928.75	
	NET 0AVG BGHT 2092.00 SOLD 2084.50				-187.50	0.00
30AUG17	B 1 PD30NOV17	2102.50	2124.49	USD	549.75	
30AUG17	S 1 PD30NOV17	2091.50	2124.49	USD	-824.75	
	NET 0AVG BGHT 2102.50 SOLD 2091.50				-275.00	0.00
01SEP17	B 1 PD01DEC17	2130.50	2124.81	USD	-142.25	
01SEP17	S 1 PD01DEC17	2136.00	2124.81	USD	279.75	
	NET 0AVG BGHT 2130.50 SOLD 2136.00				137.50	0.00
19SEP17	S 1 PD19DEC17	2108.50	2130.50	USD	-550.00	
19SEP17	B 1 PD19DEC17	2129.00	2130.50	USD	37.50	
	NET 0AVG BGHT 2129.00 SOLD 2108.50				-512.50	0.00
10OCT17	B 1 PD10JAN18	2165.00	2133.18	USD	-795.50	
10OCT17	S 1 PD10JAN18	2162.50	2133.18	USD	733.00	
	NET 0AVG BGHT 2165.00 SOLD 2162.50				-62.50	0.00
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>					
25JUL17	S 1 PD25OCT17	6227.00	6857.50	USD	-15,762.50	
25JUL17	B 1 PD25OCT17	6223.00	6857.50	USD	15,862.50	
	NET 0AVG BGHT 6223.00 SOLD 6227.00				100.00	0.00
29AUG17	B 1 PD29NOV17	6778.50	6869.07	USD	2,264.25	
29AUG17	S 1 PD29NOV17	6783.50	6869.07	USD	-2,139.25	
	NET 0AVG BGHT 6778.50 SOLD 6783.50				125.00	0.00
15SEP17	B 1 PD15DEC17	6503.00	6875.75	USD	9,318.75	
15SEP17	S 1 PD15DEC17	6508.00	6875.75	USD	-9,193.75	
	NET 0AVG BGHT 6503.00 SOLD 6508.00				125.00	0.00
	<u>LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
25JUL17	B 1 PD25OCT17	2295.00	2519.80	USD	5,620.00	
25JUL17	B 1 PD25OCT17	2295.50	2519.80	USD	5,607.50	
25JUL17	S 1 PD25OCT17	2295.00	2519.80	USD	-5,620.00	
25JUL17	S 1 PD25OCT17	2314.00	2519.80	USD	-5,145.00	
	NET 0AVG BGHT 2295.25 SOLD 2304.50				462.50	0.00
08AUG17	B 1 PD08NOV17	2353.50	2521.81	USD	4,207.75	
19SEP17	S 1 PD08NOV17	2410.81	2521.81	USD	-2,775.00	
	NET 0AVG BGHT 2353.50 SOLD 2410.81				1,432.75	0.00
09AUG17	S 2 PD09NOV17	2392.50	2521.94	USD	-6,472.00	

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	<u>LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
09AUG17	B	1	PD09NOV17	2352.00	2521.94	USD	4,248.50	
09AUG17	B	1	PD09NOV17	2354.00	2521.94	USD	4,198.50	
	NET	0 AVG	BGHT 2353.00				1,975.00	0.00
11AUG17	S	1	PD10NOV17	2352.00	2522.08	USD	-4,252.00	
11AUG17	B	1	PD10NOV17	2330.00	2522.08	USD	4,802.00	
	NET	0 AVG	BGHT 2330.00				550.00	0.00
16AUG17	S	2	PD16NOV17	2418.50	2522.75	USD	-5,212.50	
19SEP17	B	1	PD16NOV17	2413.20	2522.75	USD	2,738.75	
19SEP17	B	1	PD16NOV17	2413.00	2522.75	USD	2,743.75	
	NET	0 AVG	BGHT 2413.10				270.00	0.00
17AUG17	B	2	PD17NOV17	2470.00	2522.75	USD	2,637.50	
17AUG17	S	1	PD17NOV17	2445.00	2522.75	USD	-1,943.75	
17AUG17	S	1	PD17NOV17	2454.00	2522.75	USD	-1,718.75	
17AUG17	B	1	PD17NOV17	2430.50	2522.75	USD	2,306.25	
17AUG17	S	1	PD17NOV17	2430.50	2522.75	USD	-2,306.25	
17AUG17	B	1	PD17NOV17	2435.00	2522.75	USD	2,193.75	
17AUG17	B	1	PD17NOV17	2436.00	2522.75	USD	2,168.75	
17AUG17	B	1	PD17NOV17	2405.50	2522.75	USD	2,931.25	
18AUG17	S	1	PD17NOV17	2414.00	2522.75	USD	-2,718.75	
18AUG17	S	1	PD17NOV17	2403.00	2522.75	USD	-2,993.75	
19SEP17	S	1	PD17NOV17	2413.05	2522.75	USD	-2,742.50	
	NET	0 AVG	BGHT 2441.17				-2,186.25	0.00
06SEP17	S	1	PD06DEC17	2329.00	2525.63	USD	-4,915.75	
06SEP17	B	1	PD06DEC17	2322.00	2525.63	USD	5,090.75	
	NET	0 AVG	BGHT 2322.00				175.00	0.00
11SEP17	B	2	PD11DEC17	2288.50	2526.39	USD	11,894.50	
11SEP17	S	2	PD11DEC17	2290.00	2526.39	USD	-11,819.50	
	NET	0 AVG	BGHT 2288.50				75.00	0.00
22SEP17	S	1	PD22DEC17	2486.00	2526.90	USD	-1,022.50	
22SEP17	B	1	PD22DEC17	2483.00	2526.90	USD	1,097.50	
22SEP17	B	1	PD22DEC17	2490.00	2526.90	USD	922.50	
22SEP17	S	1	PD22DEC17	2488.00	2526.90	USD	-972.50	
	NET	0 AVG	BGHT 2486.50				25.00	0.00
	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
18JUL17	S	1	PD18OCT17	9735.00	11610.50	USD	-11,253.00	
18JUL17	B	1	PD18OCT17	9755.00	11610.50	USD	11,133.00	
	NET	0 AVG	BGHT 9755.00				-120.00	0.00
24JUL17	S	1	PD24OCT17	9760.00	11614.76	USD	-11,128.56	
19SEP17	B	1	PD24OCT17	11094.75	11614.76	USD	3,120.06	
	NET	0 AVG	BGHT 11094.75				-8,008.50	0.00
25JUL17	B	1	PD25OCT17	9815.00	11615.61	USD	10,803.66	
25JUL17	S	1	PD25OCT17	10000.00	11615.61	USD	-9,693.66	
25JUL17	B	1	PD25OCT17	10020.00	11615.61	USD	9,573.66	
19SEP17	S	1	PD25OCT17	11094.63	11615.61	USD	-3,125.88	
	NET	0 AVG	BGHT 9917.50				7,557.78	0.00
27JUL17	S	1	PD27OCT17	10210.00	11617.31	USD	-8,443.86	
27JUL17	B	1	PD27OCT17	10140.00	11617.31	USD	8,863.86	
28JUL17	S	1	PD27OCT17	10200.00	11617.31	USD	-8,503.86	
28JUL17	B	1	PD27OCT17	10230.00	11617.31	USD	8,323.86	
28JUL17	S	1	PD27OCT17	10285.00	11617.31	USD	-7,993.86	
28JUL17	B	1	PD27OCT17	10255.00	11617.31	USD	8,173.86	
28JUL17	B	1	PD27OCT17	10205.00	11617.31	USD	8,473.86	
28JUL17	S	1	PD27OCT17	10230.00	11617.31	USD	-8,323.86	

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
28JUL17	S	1	PD27OCT17	10280.00	11617.31	USD	-8,023.86	
19SEP17	B	1	PD27OCT17	11097.38	11617.31	USD	3,119.58	
	NET	0 AVG	BGHT 10385.48				-4,334.28	0.00
31JUL17	B	1	PD31OCT17	10310.00	11620.72	USD	7,864.32	
19SEP17	S	1	PD31OCT17	11099.88	11620.72	USD	-3,125.04	
	NET	0 AVG	BGHT 10310.00				4,739.28	0.00
02AUG17	B	1	PD02NOV17	10225.00	11622.43	USD	8,384.58	
02AUG17	S	2	PD02NOV17	10280.00	11622.43	USD	-16,109.16	
02AUG17	B	1	PD02NOV17	10325.00	11622.43	USD	7,784.58	
02AUG17	B	1	PD02NOV17	10335.00	11622.43	USD	7,724.58	
02AUG17	S	2	PD02NOV17	10345.00	11622.43	USD	-15,329.16	
19SEP17	B	1	PD02NOV17	11102.63	11622.43	USD	3,118.80	
	NET	0 AVG	BGHT 10496.91				-4,425.78	0.00
03AUG17	B	1	PD03NOV17	10375.00	11623.28	USD	7,489.68	
03AUG17	B	1	PD03NOV17	10310.00	11623.28	USD	7,879.68	
03AUG17	B	1	PD03NOV17	10305.00	11623.28	USD	7,909.68	
03AUG17	S	1	PD03NOV17	10300.00	11623.28	USD	-7,939.68	
03AUG17	S	1	PD03NOV17	10320.00	11623.28	USD	-7,819.68	
04AUG17	B	1	PD03NOV17	10185.00	11623.28	USD	8,629.68	
04AUG17	S	2	PD03NOV17	10275.00	11623.28	USD	-16,179.36	
	NET	0 AVG	BGHT 10293.75				-30.00	0.00
07AUG17	B	1	PD07NOV17	10350.00	11626.69	USD	7,660.14	
19SEP17	S	1	PD07NOV17	11106.00	11626.69	USD	-3,124.14	
	NET	0 AVG	BGHT 10350.00				4,536.00	0.00
08AUG17	B	1	PD08NOV17	10350.00	11627.54	USD	7,665.24	
08AUG17	S	1	PD08NOV17	10610.00	11627.54	USD	-6,105.24	
	NET	0 AVG	BGHT 10350.00				1,560.00	0.00
09AUG17	S	1	PD09NOV17	10810.00	11628.39	USD	-4,910.34	
19SEP17	B	1	PD09NOV17	11108.75	11628.39	USD	3,117.84	
	NET	0 AVG	BGHT 11108.75				-1,792.50	0.00
10AUG17	B	2	PD10NOV17	10805.00	11629.24	USD	9,890.88	
10AUG17	S	1	PD10NOV17	10785.00	11629.24	USD	-5,065.44	
10AUG17	B	1	PD10NOV17	10800.00	11629.24	USD	4,975.44	
10AUG17	S	3	PD10NOV17	10835.00	11629.24	USD	-14,296.32	
10AUG17	B	2	PD10NOV17	10855.00	11629.24	USD	9,290.88	
10AUG17	S	1	PD10NOV17	10990.00	11629.24	USD	-3,835.44	
10AUG17	B	1	PD10NOV17	10920.00	11629.24	USD	4,255.44	
19SEP17	S	1	PD10NOV17	11108.63	11629.24	USD	-3,123.66	
	NET	0 AVG	BGHT 10840.00				2,091.78	0.00
14AUG17	S	1	PD14NOV17	10465.00	11632.65	USD	-7,005.90	
14AUG17	B	1	PD14NOV17	10505.00	11632.65	USD	6,765.90	
14AUG17	B	1	PD14NOV17	10415.00	11632.65	USD	7,305.90	
14AUG17	S	1	PD14NOV17	10440.00	11632.65	USD	-7,155.90	
	NET	0 AVG	BGHT 10460.00				-90.00	0.00
23AUG17	S	1	PD24NOV17	11525.00	11639.67	USD	-688.02	
23AUG17	B	1	PD24NOV17	11450.00	11639.67	USD	1,138.02	
23AUG17	B	1	PD24NOV17	11605.00	11639.67	USD	208.02	
23AUG17	S	1	PD24NOV17	11565.00	11639.67	USD	-448.02	
23AUG17	S	1	PD24NOV17	11690.00	11639.67	USD	301.98	
23AUG17	B	1	PD24NOV17	11820.00	11639.67	USD	-1,081.98	
	NET	0 AVG	BGHT 11625.00				-570.00	0.00
29AUG17	S	1	PD29NOV17	11645.00	11643.10	USD	11.40	
29AUG17	B	1	PD29NOV17	11660.00	11643.10	USD	-101.40	
	NET	0 AVG	BGHT 11660.00				-90.00	0.00

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>								
01SEP17	B	1	PD01DEC17		12110.00	11644.47	USD	-2,793.18	
01SEP17	S	1	PD01DEC17		12085.00	11644.47	USD	2,643.18	
	NET	0AVG	BGHT 12110.00	SOLD 12085.00				-150.00	0.00
05SEP17	S	1	PD05DEC17		12015.00	11647.21	USD	2,206.74	
05SEP17	B	2	PD05DEC17		12010.00	11647.21	USD	-4,353.48	
05SEP17	S	1	PD05DEC17		11985.00	11647.21	USD	2,026.74	
	NET	0AVG	BGHT 12010.00	SOLD 12000.00				-120.00	0.00
08SEP17	B	1	PD08DEC17		11915.00	11649.27	USD	-1,594.38	
08SEP17	S	1	PD08DEC17		11950.00	11649.27	USD	1,804.38	
08SEP17	B	1	PD08DEC17		11870.00	11649.27	USD	-1,324.38	
08SEP17	S	1	PD08DEC17		11800.00	11649.27	USD	904.38	
	NET	0AVG	BGHT 11892.50	SOLD 11875.00				-210.00	0.00
12SEP17	B	1	PD12DEC17		11905.00	11652.01	USD	-1,517.94	
12SEP17	S	1	PD12DEC17		11920.00	11652.01	USD	1,607.94	
	NET	0AVG	BGHT 11905.00	SOLD 11920.00				90.00	0.00
13SEP17	B	1	PD13DEC17		11465.00	11652.70	USD	1,126.20	
13SEP17	B	1	PD13DEC17		11360.00	11652.70	USD	1,756.20	
13SEP17	S	1	PD13DEC17		11425.00	11652.70	USD	-1,366.20	
13SEP17	S	1	PD13DEC17		11450.00	11652.70	USD	-1,216.20	
	NET	0AVG	BGHT 11412.50	SOLD 11437.50				300.00	0.00
14SEP17	B	1	PD14DEC17		11190.00	11653.39	USD	2,780.34	
14SEP17	S	1	PD14DEC17		11215.00	11653.39	USD	-2,630.34	
	NET	0AVG	BGHT 11190.00	SOLD 11215.00				150.00	0.00
15SEP17	B	1	PD15DEC17		11140.00	11654.07	USD	3,084.42	
15SEP17	S	1	PD15DEC17		11055.00	11654.07	USD	-3,594.42	
15SEP17	B	1	PD15DEC17		10885.00	11654.07	USD	4,614.42	
15SEP17	S	1	PD15DEC17		10940.00	11654.07	USD	-4,284.42	
15SEP17	B	1	PD15DEC17		11110.00	11654.07	USD	3,264.42	
15SEP17	S	1	PD15DEC17		11125.00	11654.07	USD	-3,174.42	
	NET	0AVG	BGHT 11045.00	SOLD 11040.00				-90.00	0.00
18SEP17	B	1	PD18DEC17		11180.00	11656.13	USD	2,856.78	
18SEP17	S	1	PD18DEC17		11100.00	11656.13	USD	-3,336.78	
18SEP17	B	1	PD18DEC17		11225.00	11656.13	USD	2,586.78	
18SEP17	S	1	PD18DEC17		11225.00	11656.13	USD	-2,586.78	
18SEP17	B	1	PD18DEC17		11170.00	11656.13	USD	2,916.78	
	NET	+1AVG	BGHT 11191.67	SOLD 11162.50				2,436.78	0.00
19SEP17	S	1	PD19DEC17		10965.00	11656.81	USD	-4,150.86	
19SEP17	B	1	PD19DEC17		10960.00	11656.81	USD	4,180.86	
19SEP17	S	1	PD19DEC17		10940.00	11656.81	USD	-4,300.86	
19SEP17	S	1	PD19DEC17		10950.00	11656.81	USD	-4,240.86	
19SEP17	B	1	PD19DEC17		11165.00	11656.81	USD	2,950.86	
	NET	-1AVG	BGHT 11062.50	SOLD 10951.67				-5,560.86	0.00
21SEP17	B	1	PD21DEC17		10875.00	11658.26	USD	4,699.56	
21SEP17	S	1	PD21DEC17		10885.00	11658.26	USD	-4,639.56	
	NET	0AVG	BGHT 10875.00	SOLD 10885.00				60.00	0.00
22SEP17	B	1	PD22DEC17		10460.00	11659.02	USD	7,194.12	
22SEP17	S	1	PD22DEC17		10480.00	11659.02	USD	-7,074.12	
	NET	0AVG	BGHT 10460.00	SOLD 10480.00				120.00	0.00
27SEP17	B	1	PD27DEC17		10360.00	11662.83	USD	7,816.98	
27SEP17	S	1	PD27DEC17		10370.00	11662.83	USD	-7,756.98	
27SEP17	B	1	PD27DEC17		10225.00	11662.83	USD	8,626.98	
27SEP17	S	1	PD27DEC17		10285.00	11662.83	USD	-8,266.98	
	NET	0AVG	BGHT 10292.50	SOLD 10327.50				420.00	0.00
03OCT17	S	1	PD03JAN18		10730.00	11668.15	USD	-5,628.90	

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
	NET	-1 AVG	SOLD 10730.00				-5,628.90	0.00
04OCT17	B	1	PD04JAN18	10750.00	11668.91	USD	5,513.46	
04OCT17	B	1	PD04JAN18	10650.00	11668.91	USD	6,113.46	
04OCT17	S	1	PD04JAN18	10620.00	11668.91	USD	-6,293.46	
	NET	+1 AVG	BGHT 10700.00 SOLD 10620.00				5,333.46	0.00
09OCT17	S	1	PD09JAN18	10885.00	11672.72	USD	-4,726.32	
09OCT17	B	1	PD09JAN18	10900.00	11672.72	USD	4,636.32	
09OCT17	B	1	PD09JAN18	10890.00	11672.72	USD	4,696.32	
09OCT17	S	1	PD09JAN18	10925.00	11672.72	USD	-4,486.32	
09OCT17	B	1	PD09JAN18	11000.00	11672.72	USD	4,036.32	
09OCT17	S	1	PD09JAN18	10940.00	11672.72	USD	-4,396.32	
	NET	0 AVG	BGHT 10930.00 SOLD 10916.67				-240.00	0.00
11OCT17	B	1	PD11JAN18	11175.00	11674.24	USD	2,995.44	
11OCT17	S	1	PD11JAN18	11160.00	11674.24	USD	-3,085.44	
	NET	0 AVG	BGHT 11175.00 SOLD 11160.00				-90.00	0.00
12OCT17	S	1	PD12JAN18	11390.00	11675.00	USD	-1,710.00	
12OCT17	B	1	PD12JAN18	11410.00	11675.00	USD	1,590.00	
13OCT17	S	1	PD12JAN18	11740.00	11675.00	USD	390.00	
13OCT17	B	1	PD12JAN18	11720.00	11675.00	USD	-270.00	
	NET	0 AVG	BGHT 11565.00 SOLD 11565.00				0.00	0.00
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18JUL17	B	1	PD18OCT17	20015.00	20735.00	USD	3,600.00	
19SEP17	S	1	PD18OCT17	20730.00	20735.00	USD	-25.00	
	NET	0 AVG	BGHT 20015.00 SOLD 20730.00				3,575.00	0.00
19JUL17	S	1	PD19OCT17	19990.00	20732.50	USD	-3,712.50	
19SEP17	B	1	PD19OCT17	20729.00	20732.50	USD	17.50	
	NET	0 AVG	BGHT 20729.00 SOLD 19990.00				-3,695.00	0.00
24JUL17	B	1	PD24OCT17	20150.00	20725.00	USD	2,875.00	
24JUL17	S	1	PD24OCT17	20165.00	20725.00	USD	-2,800.00	
	NET	0 AVG	BGHT 20150.00 SOLD 20165.00				75.00	0.00
25JUL17	B	1	PD25OCT17	20200.00	20722.50	USD	2,612.50	
25JUL17	S	1	PD25OCT17	20305.00	20722.50	USD	-2,087.50	
25JUL17	S	1	PD25OCT17	20365.00	20722.50	USD	-1,787.50	
25JUL17	B	1	PD25OCT17	20305.00	20722.50	USD	2,087.50	
	NET	0 AVG	BGHT 20252.50 SOLD 20335.00				825.00	0.00
26JUL17	B	1	PD26OCT17	20365.00	20720.00	USD	1,775.00	
19SEP17	S	1	PD26OCT17	20718.00	20720.00	USD	-10.00	
	NET	0 AVG	BGHT 20365.00 SOLD 20718.00				1,765.00	0.00
27JUL17	S	1	PD27OCT17	20525.00	20717.50	USD	-962.50	
19SEP17	B	1	PD27OCT17	20717.00	20717.50	USD	2.50	
	NET	0 AVG	BGHT 20717.00 SOLD 20525.00				-960.00	0.00
03AUG17	S	1	PD03NOV17	20670.00	20705.00	USD	-175.00	
04AUG17	B	2	PD03NOV17	20700.00	20705.00	USD	50.00	
04AUG17	S	1	PD03NOV17	20690.00	20705.00	USD	-75.00	
04AUG17	B	1	PD03NOV17	20660.00	20705.00	USD	225.00	
04AUG17	S	1	PD03NOV17	20675.00	20705.00	USD	-150.00	
	NET	0 AVG	BGHT 20686.67 SOLD 20678.33				-125.00	0.00
08AUG17	S	1	PD08NOV17	20585.00	20697.50	USD	-562.50	
08AUG17	B	1	PD08NOV17	20230.00	20697.50	USD	2,337.50	
	NET	0 AVG	BGHT 20230.00 SOLD 20585.00				1,775.00	0.00
09AUG17	B	1	PD09NOV17	20225.00	20695.00	USD	2,350.00	
19SEP17	S	1	PD09NOV17	20698.00	20695.00	USD	15.00	

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	NET	0 AVG	BGHT	20225.00	SOLD	20698.00		2,365.00	0.00
10AUG17	S	1	PD10NOV17		20260.00	20692.50	USD	-2,162.50	
19SEP17	B	1	PD10NOV17		20697.00	20692.50	USD	-22.50	
	NET	0 AVG	BGHT	20697.00	SOLD	20260.00		-2,185.00	0.00
21AUG17	S	1	PD21NOV17		20475.00	20677.50	USD	-1,012.50	
19SEP17	B	1	PD21NOV17		20683.04	20677.50	USD	-27.70	
	NET	0 AVG	BGHT	20683.04	SOLD	20475.00		-1,040.20	0.00
22AUG17	B	1	PD22NOV17		20445.00	20675.63	USD	1,153.15	
22AUG17	B	1	PD22NOV17		20335.00	20675.63	USD	1,703.15	
22AUG17	S	1	PD22NOV17		20330.00	20675.63	USD	-1,728.15	
19SEP17	S	1	PD22NOV17		20680.30	20675.63	USD	23.35	
	NET	0 AVG	BGHT	20390.00	SOLD	20505.15		1,151.50	0.00
29AUG17	B	1	PD29NOV17		20375.00	20668.13	USD	1,465.65	
29AUG17	S	1	PD29NOV17		20445.00	20668.13	USD	-1,115.65	
	NET	0 AVG	BGHT	20375.00	SOLD	20445.00		350.00	0.00
30AUG17	S	1	PD30NOV17		20585.00	20666.25	USD	-406.25	
30AUG17	B	1	PD30NOV17		20600.00	20666.25	USD	331.25	
31AUG17	S	1	PD30NOV17		20690.00	20666.25	USD	118.75	
31AUG17	B	1	PD30NOV17		20700.00	20666.25	USD	-168.75	
	NET	0 AVG	BGHT	20650.00	SOLD	20637.50		-125.00	0.00
07SEP17	S	2	PD07DEC17		20780.00	20656.88	USD	1,231.20	
07SEP17	B	2	PD07DEC17		20760.00	20656.88	USD	-1,031.20	
	NET	0 AVG	BGHT	20760.00	SOLD	20780.00		200.00	0.00
08SEP17	S	1	PD08DEC17		20535.00	20655.00	USD	-600.00	
08SEP17	B	1	PD08DEC17		20550.00	20655.00	USD	525.00	
	NET	0 AVG	BGHT	20550.00	SOLD	20535.00		-75.00	0.00
13SEP17	S	1	PD13DEC17		20550.00	20649.38	USD	-496.90	
13SEP17	B	1	PD13DEC17		20510.00	20649.38	USD	696.90	
	NET	0 AVG	BGHT	20510.00	SOLD	20550.00		200.00	0.00
14SEP17	B	1	PD14DEC17		20550.00	20647.50	USD	487.50	
14SEP17	S	1	PD14DEC17		20565.00	20647.50	USD	-412.50	
	NET	0 AVG	BGHT	20550.00	SOLD	20565.00		75.00	0.00
15SEP17	S	1	PD15DEC17		20510.00	20645.63	USD	-678.15	
15SEP17	B	1	PD15DEC17		20560.00	20645.63	USD	428.15	
	NET	0 AVG	BGHT	20560.00	SOLD	20510.00		-250.00	0.00
18SEP17	S	1	PD18DEC17		20680.00	20643.75	USD	181.25	
18SEP17	B	1	PD18DEC17		20700.00	20643.75	USD	-281.25	
18SEP17	S	1	PD18DEC17		20720.00	20643.75	USD	381.25	
	NET	-1 AVG	BGHT	20700.00	SOLD	20700.00		281.25	0.00
19SEP17	B	1	PD19DEC17		20675.00	20641.88	USD	-165.60	
19SEP17	B	1	PD19DEC17		20720.00	20641.88	USD	-390.60	
19SEP17	S	1	PD19DEC17		20665.00	20641.88	USD	115.60	
	NET	+1 AVG	BGHT	20697.50	SOLD	20665.00		-440.60	0.00
21SEP17	B	1	PD21DEC17		20470.00	20637.14	USD	835.70	
21SEP17	S	1	PD21DEC17		20460.00	20637.14	USD	-885.70	
	NET	0 AVG	BGHT	20470.00	SOLD	20460.00		-50.00	0.00
22SEP17	S	1	PD22DEC17		20570.00	20634.29	USD	-321.45	
22SEP17	B	1	PD22DEC17		20580.00	20634.29	USD	271.45	
	NET	0 AVG	BGHT	20580.00	SOLD	20570.00		-50.00	0.00
25SEP17	S	1	PD27DEC17		20735.00	20631.43	USD	517.85	
25SEP17	B	1	PD27DEC17		20705.00	20631.43	USD	-367.85	
	NET	0 AVG	BGHT	20705.00	SOLD	20735.00		150.00	0.00
28SEP17	B	1	PD28DEC17		20635.00	20628.57	USD	-32.15	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP083ZU

13th October 2017

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Suite A, 105 Piccadilly
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backoffice@sapiencap.com

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Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
28SEP17	S 1 PD28DEC17	20625.00	20628.57	USD	-17.85	
	NET 0AVG BGHT 20635.00 SOLD 20625.00				-50.00	0.00
06OCT17	B 1 PD05JAN18	20635.00	20614.29	USD	-103.55	
06OCT17	S 1 PD05JAN18	20615.00	20614.29	USD	3.55	
06OCT17	B 1 PD05JAN18	20575.00	20614.29	USD	196.45	
	NET +1AVG BGHT 20605.00 SOLD 20615.00				96.45	0.00
09OCT17	S 1 PD09JAN18	20575.00	20608.57	USD	-167.85	
	NET -1AVG SOLD 20575.00				-167.85	0.00
12OCT17	B 1 PD12JAN18	20745.00	20600.00	USD	-725.00	
12OCT17	S 1 PD12JAN18	20755.00	20600.00	USD	775.00	
	NET 0AVG BGHT 20745.00 SOLD 20755.00				50.00	0.00
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
25JUL17	S 1 PD25OCT17	2830.50	3273.00	USD	-11,062.50	
25JUL17	S 1 PD25OCT17	2839.50	3273.00	USD	-10,837.50	
25JUL17	B 1 PD25OCT17	2836.00	3273.00	USD	10,925.00	
25JUL17	B 1 PD25OCT17	2838.50	3273.00	USD	10,862.50	
25JUL17	B 1 PD25OCT17	2839.00	3273.00	USD	10,850.00	
25JUL17	S 1 PD25OCT17	2838.50	3273.00	USD	-10,862.50	
	NET 0AVG BGHT 2837.83 SOLD 2836.17				-125.00	0.00
04AUG17	S 1 PD03NOV17	2826.00	3265.07	USD	-10,976.75	
04AUG17	B 1 PD03NOV17	2818.00	3265.07	USD	11,176.75	
	NET 0AVG BGHT 2818.00 SOLD 2826.00				200.00	0.00
07AUG17	S 1 PD07NOV17	2869.50	3262.80	USD	-9,832.50	
07AUG17	B 1 PD07NOV17	2867.50	3262.80	USD	9,882.50	
	NET 0AVG BGHT 2867.50 SOLD 2869.50				50.00	0.00
08AUG17	S 1 PD08NOV17	2884.50	3261.67	USD	-9,429.25	
19SEP17	B 1 PD08NOV17	3106.03	3261.67	USD	3,891.00	
	NET 0AVG BGHT 3106.03 SOLD 2884.50				-5,538.25	0.00
09AUG17	B 1 PD09NOV17	2956.00	3260.53	USD	7,613.25	
09AUG17	B 1 PD09NOV17	2956.50	3260.53	USD	7,600.75	
09AUG17	S 1 PD09NOV17	2974.50	3260.53	USD	-7,150.75	
09AUG17	B 1 PD09NOV17	2935.50	3260.53	USD	8,125.75	
09AUG17	S 1 PD09NOV17	2935.00	3260.53	USD	-8,138.25	
09AUG17	S 1 PD09NOV17	2935.50	3260.53	USD	-8,125.75	
	NET 0AVG BGHT 2949.33 SOLD 2948.33				-75.00	0.00
11AUG17	B 1 PD10NOV17	2911.00	3259.40	USD	8,710.00	
11AUG17	S 1 PD10NOV17	2910.50	3259.40	USD	-8,722.50	
11AUG17	B 1 PD10NOV17	2906.50	3259.40	USD	8,822.50	
11AUG17	S 1 PD10NOV17	2905.00	3259.40	USD	-8,860.00	
	NET 0AVG BGHT 2908.75 SOLD 2907.75				-50.00	0.00
16AUG17	B 2 PD16NOV17	3017.50	3253.50	USD	11,800.00	
16AUG17	S 1 PD16NOV17	3029.50	3253.50	USD	-5,600.00	
16AUG17	S 1 PD16NOV17	3030.00	3253.50	USD	-5,587.50	
16AUG17	S 2 PD16NOV17	3086.00	3253.50	USD	-8,375.00	
16AUG17	B 2 PD16NOV17	3102.50	3253.50	USD	7,550.00	
16AUG17	B 2 PD16NOV17	3050.00	3253.50	USD	10,175.00	
19SEP17	S 1 PD16NOV17	3103.55	3253.50	USD	-3,748.75	
19SEP17	S 1 PD16NOV17	3103.75	3253.50	USD	-3,743.75	
	NET 0AVG BGHT 3056.67 SOLD 3073.13				2,470.00	0.00
17AUG17	S 1 PD17NOV17	3105.00	3251.00	USD	-3,650.00	
17AUG17	S 1 PD17NOV17	3108.00	3251.00	USD	-3,575.00	
17AUG17	B 1 PD17NOV17	3095.00	3251.00	USD	3,900.00	
17AUG17	B 1 PD17NOV17	3098.50	3251.00	USD	3,812.50	

OPEN POSITION STATEMENT



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13th October 2017

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
17AUG17	S	1	PD17NOV17	3085.00	3251.00	USD	-4,150.00	
17AUG17	S	1	PD17NOV17	3086.00	3251.00	USD	-4,125.00	
17AUG17	S	1	PD17NOV17	3050.50	3251.00	USD	-5,012.50	
18AUG17	B	1	PD17NOV17	3073.00	3251.00	USD	4,450.00	
18AUG17	B	1	PD17NOV17	3082.00	3251.00	USD	4,225.00	
19SEP17	B	1	PD17NOV17	3103.93	3251.00	USD	3,676.75	
	NET	0 AVG	BGHT 3090.49	SOLD 3086.90			-448.25	0.00
21AUG17	S	1	PD21NOV17	3171.00	3249.25	USD	-1,956.25	
21AUG17	B	1	PD21NOV17	3129.00	3249.25	USD	3,006.25	
	NET	0 AVG	BGHT 3129.00	SOLD 3171.00			1,050.00	0.00
24AUG17	B	1	PD24NOV17	3108.50	3247.50	USD	3,475.00	
24AUG17	S	1	PD24NOV17	3104.50	3247.50	USD	-3,575.00	
	NET	0 AVG	BGHT 3108.50	SOLD 3104.50			-100.00	0.00
30AUG17	S	1	PD30NOV17	3145.00	3246.50	USD	-2,537.50	
30AUG17	B	1	PD30NOV17	3105.00	3246.50	USD	3,537.50	
	NET	0 AVG	BGHT 3105.00	SOLD 3145.00			1,000.00	0.00
01SEP17	S	1	PD01DEC17	3172.50	3246.25	USD	-1,843.75	
01SEP17	B	1	PD01DEC17	3194.50	3246.25	USD	1,293.75	
	NET	0 AVG	BGHT 3194.50	SOLD 3172.50			-550.00	0.00
12SEP17	S	2	PD12DEC17	3086.00	3244.50	USD	-7,925.00	
12SEP17	B	2	PD12DEC17	3081.00	3244.50	USD	8,175.00	
	NET	0 AVG	BGHT 3081.00	SOLD 3086.00			250.00	0.00
13SEP17	S	2	PD13DEC17	3048.50	3244.25	USD	-9,787.50	
13SEP17	B	1	PD13DEC17	3045.00	3244.25	USD	4,981.25	
13SEP17	B	1	PD13DEC17	3045.50	3244.25	USD	4,968.75	
	NET	0 AVG	BGHT 3045.25	SOLD 3048.50			162.50	0.00
19SEP17	B	1	PD19DEC17	3109.50	3243.25	USD	3,343.75	
19SEP17	S	1	PD19DEC17	3110.00	3243.25	USD	-3,331.25	
	NET	0 AVG	BGHT 3109.50	SOLD 3110.00			12.50	0.00
28SEP17	S	1	PD28DEC17	3149.00	3238.85	USD	-2,246.25	
28SEP17	B	1	PD28DEC17	3152.50	3238.85	USD	2,158.75	
	NET	0 AVG	BGHT 3152.50	SOLD 3149.00			-87.50	0.00
10OCT17	B	1	PD10JAN18	3288.00	3235.77	USD	-1,305.75	
10OCT17	B	1	PD10JAN18	3280.00	3235.77	USD	-1,105.75	
10OCT17	B	1	PD10JAN18	3286.00	3235.77	USD	-1,255.75	
10OCT17	S	1	PD10JAN18	3278.00	3235.77	USD	1,055.75	
10OCT17	S	1	PD10JAN18	3282.50	3235.77	USD	1,168.25	
10OCT17	S	1	PD10JAN18	3283.50	3235.77	USD	1,193.25	
	NET	0 AVG	BGHT 3284.67	SOLD 3281.33			-250.00	0.00

OPEN POSITION VALUE

		USD
LME Aluminium 25 Tonnes.	FUTURES	-287.50
LME Copper Grade A 25	FUTURES	350.00
LME Lead 25 tonnes. This is an	FUTURES	2,779.00
LME Nickel 6 tonnes. This is	FUTURES	-2,156.22
LME Tin 5 tonnes. This is an	FUTURES	3,701.30
LME Zinc 25 tonnes. This is	FUTURES	-2,029.00

OPEN POSITION STATEMENT



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SAP083ZU

13th October 2017

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MARKET REVALUATION BREAKDOWN

Unrealised Profits & Losses - Forwards			Amount	Profit / Loss	Total Amount	Total P&L
ALUL	- Futures	USD	-287.50	-287.50		
COPL	- Futures	USD	350.00	350.00		
LEAD	- Futures	USD	2,779.00	2,779.00		
NKL	- Futures	USD	-2,155.74	-2,155.74		
TIN	- Futures	USD	3,720.55	3,720.55		
ZINC	- Futures	USD	-2,029.00	-2,029.00	2,377.31	2,377.31

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
CASH BALANCE	(1.00000)	(1.00000)
Variation Margins	7,918.10	7,918.10
- Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	7,918.10	7,918.10
Initial Margins	0.00	0.00
Excess/(Shortage)	7,918.10	7,918.10
FOR INFORMATION ONLY		
Forward Unrealised P&L	2,377.31	2,377.31
Net Liquidating Value	10,295.41	10,295.41

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED
SAP0083
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

GROUP NO: **SAPG0083**

13th Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343
Authorised and regulated by the Australian Prudential
Regulation Authority. Authorised by the Prudential
Regulation Authority. Subject to regulation by the Financial
Conduct Authority and limited regulation by the
Prudential Regulation Authority. Details about the extent
of our regulation by the Prudential Regulation Authority are
available from us on request.

GROUP FINANCIAL SUMMARY

	USD	NET USD
	(1.00000)	(1.00000)
CASH BALANCE	341,262.58	341,262.58
Variation Margins	-3,412.50	-3,412.50
- Futures	0.00	0.00
- Options		
TOTAL EQUITY	337,850.08	337,850.08
Initial Margins	-528,289.85	-528,289.85
Excess/(Shortage)	-190,439.77	-190,439.77
<u>FOR INFORMATION ONLY</u>		
Forward Unrealised P&L	-316,057.85	-316,057.85
Net Liquidating Value	21,792.23	21,792.23

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0086

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
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ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	-789,771.99	-789,771.99
Variation Margins		
- Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	-789,771.99	-789,771.99
Initial Margins	0.00	0.00
Excess/(Shortage)	-789,771.99	-789,771.99
FOR INFORMATION ONLY		
Net Liquidating Value	-789,771.99	-789,771.99

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0086C

13th October 2017

Sapien Capital Limited
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London W1J 7NJ UK
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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.</u>							
17JUL17	B	1	PD17OCT17	1930.00	2109.75	USD	4,493.75	
17JUL17	B	2	PD17OCT17	1927.00	2109.75	USD	9,137.50	
17JUL17	B	1	PD17OCT17	1920.00	2109.75	USD	4,743.75	
17JUL17	B	1	PD17OCT17	1909.50	2109.75	USD	5,006.25	
17JUL17	B	1	PD17OCT17	1901.50	2109.75	USD	5,206.25	
17JUL17	S	1	PD17OCT17	1914.50	2109.75	USD	-4,881.25	
17JUL17	B	1	PD17OCT17	1912.50	2109.75	USD	4,931.25	
17JUL17	S	1	PD17OCT17	1914.50	2109.75	USD	-4,881.25	
24JUL17	S	5	PD17OCT17	1911.30	2109.75	USD	-24,806.25	
	NET	0 AVG BGHT 1918.21	SOLD 1912.21				-1,050.00	0.00
18JUL17	S	1	PD18OCT17	1921.00	2110.25	USD	-4,731.25	
18JUL17	S	1	PD18OCT17	1923.00	2110.25	USD	-4,681.25	
18JUL17	S	1	PD18OCT17	1925.50	2110.25	USD	-4,618.75	
18JUL17	S	1	PD18OCT17	1926.50	2110.25	USD	-4,593.75	
18JUL17	S	1	PD18OCT17	1931.00	2110.25	USD	-4,481.25	
24JUL17	B	5	PD18OCT17	1911.70	2110.25	USD	24,818.75	
	NET	0 AVG BGHT 1911.70	SOLD 1925.40				1,712.50	0.00
19JUL17	S	1	PD19OCT17	1928.00	2110.75	USD	-4,568.75	
19JUL17	S	1	PD19OCT17	1929.00	2110.75	USD	-4,543.75	
19JUL17	S	2	PD19OCT17	1925.00	2110.75	USD	-9,287.50	
19JUL17	S	1	PD19OCT17	1932.50	2110.75	USD	-4,456.25	
19JUL17	B	1	PD19OCT17	1936.00	2110.75	USD	4,368.75	
19JUL17	B	3	PD19OCT17	1937.00	2110.75	USD	13,031.25	
19JUL17	B	1	PD19OCT17	1933.50	2110.75	USD	4,431.25	
	NET	0 AVG BGHT 1936.10	SOLD 1927.90				-1,025.00	0.00
20JUL17	B	2	PD20OCT17	1917.00	2111.25	USD	9,712.50	
20JUL17	B	1	PD20OCT17	1916.00	2111.25	USD	4,881.25	
20JUL17	S	2	PD20OCT17	1918.00	2111.25	USD	-9,662.50	
20JUL17	S	1	PD20OCT17	1919.50	2111.25	USD	-4,793.75	
21JUL17	B	1	PD20OCT17	1916.00	2111.25	USD	4,881.25	
21JUL17	B	1	PD20OCT17	1913.50	2111.25	USD	4,943.75	
21JUL17	S	1	PD20OCT17	1918.50	2111.25	USD	-4,818.75	
21JUL17	S	1	PD20OCT17	1919.00	2111.25	USD	-4,806.25	
	NET	0 AVG BGHT 1915.90	SOLD 1918.60				337.50	0.00
24JUL17	B	2	PD24OCT17	1918.00	2113.25	USD	9,762.50	
24JUL17	B	2	PD24OCT17	1913.00	2113.25	USD	10,012.50	
24JUL17	B	1	PD24OCT17	1913.00	2113.25	USD	5,006.25	
24JUL17	B	2	PD24OCT17	1911.00	2113.25	USD	10,112.50	
07AUG17	S	7	PD24OCT17	1960.68	2113.25	USD	-26,699.75	
	NET	0 AVG BGHT 1913.86	SOLD 1960.68				8,194.00	0.00
25JUL17	B	1	PD25OCT17	1919.00	2113.75	USD	4,868.75	
25JUL17	B	2	PD25OCT17	1920.50	2113.75	USD	9,662.50	
25JUL17	S	1	PD25OCT17	1923.50	2113.75	USD	-4,756.25	
25JUL17	S	1	PD25OCT17	1924.00	2113.75	USD	-4,743.75	
25JUL17	S	1	PD25OCT17	1921.00	2113.75	USD	-4,818.75	
25JUL17	S	1	PD25OCT17	1935.50	2113.75	USD	-4,456.25	
25JUL17	S	2	PD25OCT17	1936.50	2113.75	USD	-8,862.50	
25JUL17	S	1	PD25OCT17	1937.00	2113.75	USD	-4,418.75	
25JUL17	S	2	PD25OCT17	1940.00	2113.75	USD	-8,687.50	
25JUL17	S	1	PD25OCT17	1945.00	2113.75	USD	-4,218.75	
07AUG17	B	7	PD25OCT17	1961.12	2113.75	USD	26,710.25	
	NET	0 AVG BGHT 1948.78	SOLD 1933.90				-3,721.00	0.00
26JUL17	S	1	PD26OCT17	1938.00	2114.25	USD	-4,406.25	
26JUL17	S	1	PD26OCT17	1937.50	2114.25	USD	-4,418.75	
26JUL17	S	1	PD26OCT17	1936.50	2114.25	USD	-4,443.75	
26JUL17	S	2	PD26OCT17	1945.50	2114.25	USD	-8,437.50	

OPEN POSITION STATEMENT



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	<u>LME ALUMINIUM 25 TONNES. THIS IS AN</u>							
	<u>LME REGISTERED CLIENT CONTRACT.</u>							
26JUL17	S	3	PD26OCT17	1947.50	2114.25	USD	-12,506.25	
26JUL17	S	4	PD26OCT17	1960.50	2114.25	USD	-15,375.00	
26JUL17	S	2	PD26OCT17	1961.00	2114.25	USD	-7,662.50	
26JUL17	B	1	PD26OCT17	1937.50	2114.25	USD	4,418.75	
26JUL17	B	2	PD26OCT17	1938.50	2114.25	USD	8,787.50	
26JUL17	S	3	PD26OCT17	1946.50	2114.25	USD	-12,581.25	
26JUL17	S	1	PD26OCT17	1947.00	2114.25	USD	-4,181.25	
26JUL17	S	5	PD26OCT17	1949.00	2114.25	USD	-20,656.25	
26JUL17	S	4	PD26OCT17	1955.00	2114.25	USD	-15,925.00	
26JUL17	S	1	PD26OCT17	1956.50	2114.25	USD	-3,943.75	
26JUL17	S	2	PD26OCT17	1957.00	2114.25	USD	-7,862.50	
26JUL17	S	4	PD26OCT17	1957.50	2114.25	USD	-15,675.00	
26JUL17	S	4	PD26OCT17	1962.50	2114.25	USD	-15,175.00	
26JUL17	S	1	PD26OCT17	1953.00	2114.25	USD	-4,031.25	
26JUL17	B	4	PD26OCT17	1951.50	2114.25	USD	16,275.00	
26JUL17	B	1	PD26OCT17	1937.00	2114.25	USD	4,431.25	
26JUL17	B	2	PD26OCT17	1945.00	2114.25	USD	8,462.50	
26JUL17	B	1	PD26OCT17	1948.50	2114.25	USD	4,143.75	
26JUL17	B	1	PD26OCT17	1947.50	2114.25	USD	4,168.75	
26JUL17	B	4	PD26OCT17	1946.00	2114.25	USD	16,825.00	
26JUL17	S	1	PD26OCT17	1945.00	2114.25	USD	-4,231.25	
26JUL17	B	3	PD26OCT17	1945.50	2114.25	USD	12,656.25	
26JUL17	B	3	PD26OCT17	1943.50	2114.25	USD	12,806.25	
26JUL17	B	5	PD26OCT17	1943.00	2114.25	USD	21,406.25	
26JUL17	S	1	PD26OCT17	1942.50	2114.25	USD	-4,293.75	
26JUL17	B	3	PD26OCT17	1940.50	2114.25	USD	13,031.25	
26JUL17	B	1	PD26OCT17	1940.00	2114.25	USD	4,356.25	
07AUG17	B	10	PD26OCT17	1961.16	2114.25	USD	38,272.50	
	NET	0AVG BGHT 1948.36	SOLD 1952.49				4,235.00	0.00
27JUL17	B	1	PD27OCT17	1941.50	2114.08	USD	4,314.50	
27JUL17	S	4	PD27OCT17	1940.00	2114.08	USD	-17,408.00	
27JUL17	S	4	PD27OCT17	1942.00	2114.08	USD	-17,208.00	
27JUL17	B	1	PD27OCT17	1933.50	2114.08	USD	4,514.50	
27JUL17	B	4	PD27OCT17	1934.00	2114.08	USD	18,008.00	
27JUL17	B	1	PD27OCT17	1932.50	2114.08	USD	4,539.50	
27JUL17	B	6	PD27OCT17	1933.00	2114.08	USD	27,162.00	
27JUL17	S	2	PD27OCT17	1944.00	2114.08	USD	-8,504.00	
27JUL17	S	6	PD27OCT17	1944.50	2114.08	USD	-25,437.00	
27JUL17	S	1	PD27OCT17	1947.00	2114.08	USD	-4,177.00	
27JUL17	S	2	PD27OCT17	1946.00	2114.08	USD	-8,404.00	
27JUL17	S	4	PD27OCT17	1945.00	2114.08	USD	-16,908.00	
27JUL17	S	7	PD27OCT17	1949.00	2114.08	USD	-28,889.00	
27JUL17	S	2	PD27OCT17	1950.00	2114.08	USD	-8,204.00	
27JUL17	S	1	PD27OCT17	1950.50	2114.08	USD	-4,089.50	
27JUL17	S	1	PD27OCT17	1953.00	2114.08	USD	-4,027.00	
27JUL17	S	1	PD27OCT17	1955.50	2114.08	USD	-3,964.50	
27JUL17	B	2	PD27OCT17	1954.50	2114.08	USD	7,979.00	
27JUL17	B	1	PD27OCT17	1950.00	2114.08	USD	4,102.00	
27JUL17	B	1	PD27OCT17	1948.50	2114.08	USD	4,139.50	
27JUL17	B	2	PD27OCT17	1944.00	2114.08	USD	8,504.00	
27JUL17	B	1	PD27OCT17	1945.50	2114.08	USD	4,214.50	
27JUL17	B	6	PD27OCT17	1944.50	2114.08	USD	25,437.00	
27JUL17	B	1	PD27OCT17	1938.00	2114.08	USD	4,402.00	
27JUL17	B	1	PD27OCT17	1937.50	2114.08	USD	4,414.50	
27JUL17	B	1	PD27OCT17	1937.00	2114.08	USD	4,427.00	
27JUL17	B	1	PD27OCT17	1936.00	2114.08	USD	4,452.00	
28JUL17	S	1	PD27OCT17	1940.00	2114.08	USD	-4,352.00	

OPEN POSITION STATEMENT



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Suite A, 105 Piccadilly
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+44 (0) 20 7340 9081
backoffice@sapiencap.com

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28JUL17	B	1	PD27OCT17	1941.50	2114.08	USD	4,314.50	
28JUL17	B	4	PD27OCT17	1940.50	2114.08	USD	17,358.00	
28JUL17	B	1	PD27OCT17	1936.00	2114.08	USD	4,452.00	
28JUL17	B	4	PD27OCT17	1935.50	2114.08	USD	17,858.00	
28JUL17	B	1	PD27OCT17	1937.50	2114.08	USD	4,414.50	
28JUL17	S	1	PD27OCT17	1935.00	2114.08	USD	-4,477.00	
28JUL17	S	4	PD27OCT17	1930.00	2114.08	USD	-18,408.00	
28JUL17	S	2	PD27OCT17	1927.00	2114.08	USD	-9,354.00	
28JUL17	S	1	PD27OCT17	1926.00	2114.08	USD	-4,702.00	
28JUL17	S	7	PD27OCT17	1929.00	2114.08	USD	-32,389.00	
28JUL17	S	2	PD27OCT17	1931.00	2114.08	USD	-9,154.00	
28JUL17	S	1	PD27OCT17	1932.00	2114.08	USD	-4,552.00	
28JUL17	S	1	PD27OCT17	1933.00	2114.08	USD	-4,527.00	
28JUL17	S	2	PD27OCT17	1930.00	2114.08	USD	-9,204.00	
28JUL17	B	1	PD27OCT17	1914.50	2114.08	USD	4,989.50	
28JUL17	B	6	PD27OCT17	1915.50	2114.08	USD	29,787.00	
28JUL17	B	6	PD27OCT17	1916.00	2114.08	USD	29,712.00	
28JUL17	S	1	PD27OCT17	1921.00	2114.08	USD	-4,827.00	
28JUL17	S	1	PD27OCT17	1923.50	2114.08	USD	-4,764.50	
28JUL17	S	3	PD27OCT17	1924.00	2114.08	USD	-14,256.00	
28JUL17	S	4	PD27OCT17	1923.00	2114.08	USD	-19,108.00	
28JUL17	B	17	PD27OCT17	1915.00	2114.08	USD	84,609.00	
28JUL17	B	1	PD27OCT17	1915.50	2114.08	USD	4,964.50	
28JUL17	B	6	PD27OCT17	1913.50	2114.08	USD	30,087.00	
28JUL17	S	2	PD27OCT17	1910.00	2114.08	USD	-10,204.00	
28JUL17	B	1	PD27OCT17	1910.00	2114.08	USD	5,102.00	
07AUG17	S	10	PD27OCT17	1961.19	2114.08	USD	-38,222.50	
07AUG17	S	1	PD27OCT17	1961.39	2114.08	USD	-3,817.25	
	NET	0 AVG BGHT 1927.62	SOLD 1940.14				24,719.75	0.00
31JUL17	B	1	PD31OCT17	1914.00	2113.75	USD	4,993.75	
31JUL17	B	2	PD31OCT17	1918.00	2113.75	USD	9,787.50	
31JUL17	B	2	PD31OCT17	1916.50	2113.75	USD	9,862.50	
31JUL17	B	1	PD31OCT17	1920.00	2113.75	USD	4,843.75	
31JUL17	B	1	PD31OCT17	1917.00	2113.75	USD	4,918.75	
31JUL17	S	1	PD31OCT17	1916.00	2113.75	USD	-4,943.75	
31JUL17	B	2	PD31OCT17	1915.00	2113.75	USD	9,937.50	
31JUL17	B	4	PD31OCT17	1912.00	2113.75	USD	20,175.00	
31JUL17	B	1	PD31OCT17	1919.00	2113.75	USD	4,868.75	
31JUL17	B	2	PD31OCT17	1919.50	2113.75	USD	9,712.50	
31JUL17	B	1	PD31OCT17	1914.00	2113.75	USD	4,993.75	
31JUL17	S	1	PD31OCT17	1920.50	2113.75	USD	-4,831.25	
31JUL17	S	1	PD31OCT17	1921.00	2113.75	USD	-4,818.75	
07AUG17	S	14	PD31OCT17	1962.34	2113.75	USD	-52,993.50	
	NET	0 AVG BGHT 1915.88	SOLD 1954.72				16,506.50	0.00
01AUG17	B	2	PD01NOV17	1916.50	2114.09	USD	9,879.50	
01AUG17	S	2	PD01NOV17	1916.00	2114.09	USD	-9,904.50	
01AUG17	S	1	PD01NOV17	1917.00	2114.09	USD	-4,927.25	
01AUG17	S	3	PD01NOV17	1916.50	2114.09	USD	-14,819.25	
01AUG17	B	2	PD01NOV17	1908.50	2114.09	USD	10,279.50	
01AUG17	B	2	PD01NOV17	1908.00	2114.09	USD	10,304.50	
01AUG17	B	1	PD01NOV17	1911.00	2114.09	USD	5,077.25	
01AUG17	B	2	PD01NOV17	1910.50	2114.09	USD	10,179.50	
07AUG17	S	3	PD01NOV17	1962.58	2114.09	USD	-11,363.25	
	NET	0 AVG BGHT 1910.89	SOLD 1931.80				4,706.00	0.00
02AUG17	S	1	PD02NOV17	1911.50	2114.44	USD	-5,073.50	
02AUG17	S	2	PD02NOV17	1912.00	2114.44	USD	-10,122.00	

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02AUG17	S	1	PD02NOV17	1912.50	2114.44	USD	-5,048.50	
02AUG17	S	1	PD02NOV17	1913.00	2114.44	USD	-5,036.00	
02AUG17	S	1	PD02NOV17	1913.50	2114.44	USD	-5,023.50	
02AUG17	B	3	PD02NOV17	1914.00	2114.44	USD	15,033.00	
02AUG17	B	4	PD02NOV17	1914.50	2114.44	USD	19,994.00	
02AUG17	B	4	PD02NOV17	1916.50	2114.44	USD	19,794.00	
02AUG17	B	2	PD02NOV17	1917.50	2114.44	USD	9,847.00	
02AUG17	B	1	PD02NOV17	1918.00	2114.44	USD	4,911.00	
02AUG17	B	3	PD02NOV17	1918.50	2114.44	USD	14,695.50	
02AUG17	B	1	PD02NOV17	1921.00	2114.44	USD	4,836.00	
02AUG17	B	1	PD02NOV17	1924.00	2114.44	USD	4,761.00	
02AUG17	B	2	PD02NOV17	1925.50	2114.44	USD	9,447.00	
02AUG17	B	6	PD02NOV17	1920.00	2114.44	USD	29,166.00	
02AUG17	B	3	PD02NOV17	1920.50	2114.44	USD	14,545.50	
02AUG17	B	4	PD02NOV17	1923.00	2114.44	USD	19,144.00	
02AUG17	B	1	PD02NOV17	1917.50	2114.44	USD	4,923.50	
02AUG17	B	1	PD02NOV17	1922.50	2114.44	USD	4,798.50	
02AUG17	B	1	PD02NOV17	1928.50	2114.44	USD	4,648.50	
02AUG17	S	1	PD02NOV17	1927.50	2114.44	USD	-4,673.50	
02AUG17	B	1	PD02NOV17	1927.50	2114.44	USD	4,673.50	
02AUG17	B	2	PD02NOV17	1928.00	2114.44	USD	9,322.00	
02AUG17	S	4	PD02NOV17	1929.00	2114.44	USD	-18,544.00	
02AUG17	S	2	PD02NOV17	1927.00	2114.44	USD	-9,372.00	
02AUG17	S	2	PD02NOV17	1926.50	2114.44	USD	-9,397.00	
07AUG17	S	14	PD02NOV17	1962.82	2114.44	USD	-53,067.00	
18AUG17	S	1	PD02NOV17	2059.26	2114.44	USD	-1,379.50	
22AUG17	S	2	PD02NOV17	2071.13	2114.44	USD	-2,165.50	
23AUG17	S	8	PD02NOV17	2093.12	2114.44	USD	-4,264.00	
	NET	0 AVG BGHT 1919.90	SOLD 1981.27				61,374.00	0.00
03AUG17	S	1	PD03NOV17	1931.50	2114.78	USD	-4,582.00	
03AUG17	S	2	PD03NOV17	1930.00	2114.78	USD	-9,239.00	
03AUG17	S	1	PD03NOV17	1927.50	2114.78	USD	-4,682.00	
03AUG17	S	4	PD03NOV17	1927.00	2114.78	USD	-18,778.00	
03AUG17	S	2	PD03NOV17	1924.00	2114.78	USD	-9,539.00	
03AUG17	S	1	PD03NOV17	1923.50	2114.78	USD	-4,782.00	
03AUG17	S	3	PD03NOV17	1923.00	2114.78	USD	-14,383.50	
03AUG17	B	1	PD03NOV17	1923.00	2114.78	USD	4,794.50	
03AUG17	S	6	PD03NOV17	1922.50	2114.78	USD	-28,842.00	
03AUG17	S	11	PD03NOV17	1922.00	2114.78	USD	-53,014.50	
03AUG17	S	1	PD03NOV17	1930.50	2114.78	USD	-4,607.00	
03AUG17	B	1	PD03NOV17	1918.00	2114.78	USD	4,919.50	
03AUG17	B	1	PD03NOV17	1910.50	2114.78	USD	5,107.00	
03AUG17	B	1	PD03NOV17	1911.00	2114.78	USD	5,094.50	
03AUG17	B	2	PD03NOV17	1914.50	2114.78	USD	10,014.00	
03AUG17	S	2	PD03NOV17	1920.50	2114.78	USD	-9,714.00	
03AUG17	B	1	PD03NOV17	1912.50	2114.78	USD	5,057.00	
03AUG17	B	4	PD03NOV17	1912.00	2114.78	USD	20,278.00	
03AUG17	B	2	PD03NOV17	1911.50	2114.78	USD	10,164.00	
04AUG17	B	5	PD03NOV17	1915.50	2114.78	USD	24,910.00	
04AUG17	B	7	PD03NOV17	1916.00	2114.78	USD	34,786.50	
04AUG17	B	2	PD03NOV17	1917.50	2114.78	USD	9,864.00	
04AUG17	B	5	PD03NOV17	1914.00	2114.78	USD	25,097.50	
04AUG17	B	2	PD03NOV17	1914.50	2114.78	USD	10,014.00	
04AUG17	B	7	PD03NOV17	1917.00	2114.78	USD	34,611.50	
04AUG17	B	2	PD03NOV17	1918.50	2114.78	USD	9,814.00	
04AUG17	S	2	PD03NOV17	1907.50	2114.78	USD	-10,364.00	
04AUG17	S	7	PD03NOV17	1910.50	2114.78	USD	-35,749.00	

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04AUG17	B	1	PD03NOV17	1905.50	2114.78	USD	5,232.00	
04AUG17	S	1	PD03NOV17	1909.00	2114.78	USD	-5,144.50	
04AUG17	S	3	PD03NOV17	1908.50	2114.78	USD	-15,471.00	
04AUG17	S	6	PD03NOV17	1907.50	2114.78	USD	-31,092.00	
04AUG17	S	8	PD03NOV17	1908.50	2114.78	USD	-41,256.00	
04AUG17	B	4	PD03NOV17	1909.50	2114.78	USD	20,528.00	
04AUG17	S	1	PD03NOV17	1905.50	2114.78	USD	-5,232.00	
04AUG17	S	1	PD03NOV17	1905.00	2114.78	USD	-5,244.50	
07AUG17	B	32	PD03NOV17	1963.25	2114.78	USD	121,224.00	
18AUG17	S	5	PD03NOV17	2059.46	2114.78	USD	-6,915.00	
23AUG17	S	12	PD03NOV17	2093.36	2114.78	USD	-6,426.00	
	NET	0 AVG	BGHT 1934.03	SOLD 1952.25			36,453.00	0.00
07AUG17	S	3	PD07NOV17	1943.00	2116.16	USD	-12,987.00	
07AUG17	S	2	PD07NOV17	1942.50	2116.16	USD	-8,683.00	
07AUG17	S	1	PD07NOV17	1943.00	2116.16	USD	-4,329.00	
18AUG17	B	6	PD07NOV17	2060.45	2116.16	USD	8,356.50	
	NET	0 AVG	BGHT 2060.45	SOLD 1942.83			-17,642.50	0.00
08AUG17	B	1	PD08NOV17	1981.00	2116.50	USD	3,387.50	
08AUG17	B	1	PD08NOV17	1980.50	2116.50	USD	3,400.00	
08AUG17	B	1	PD08NOV17	1978.50	2116.50	USD	3,450.00	
08AUG17	S	1	PD08NOV17	1994.50	2116.50	USD	-3,050.00	
08AUG17	B	1	PD08NOV17	1997.50	2116.50	USD	2,975.00	
08AUG17	B	1	PD08NOV17	1999.50	2116.50	USD	2,925.00	
08AUG17	B	1	PD08NOV17	2003.50	2116.50	USD	2,825.00	
08AUG17	B	1	PD08NOV17	2005.00	2116.50	USD	2,787.50	
08AUG17	B	1	PD08NOV17	2020.00	2116.50	USD	2,412.50	
14AUG17	S	1	PD08NOV17	2021.90	2116.50	USD	-2,365.00	
18AUG17	S	6	PD08NOV17	2060.50	2116.50	USD	-8,400.00	
	NET	0 AVG	BGHT 1995.69	SOLD 2047.43			10,347.50	0.00
09AUG17	S	1	PD09NOV17	2036.50	2116.96	USD	-2,011.50	
09AUG17	S	1	PD09NOV17	2037.00	2116.96	USD	-1,999.00	
09AUG17	S	1	PD09NOV17	2029.00	2116.96	USD	-2,199.00	
14AUG17	B	1	PD09NOV17	2022.29	2116.96	USD	2,366.75	
18AUG17	B	2	PD09NOV17	2060.95	2116.96	USD	2,800.50	
	NET	0 AVG	BGHT 2048.06	SOLD 2034.17			-1,042.25	0.00
10AUG17	B	1	PD10NOV17	2038.50	2117.43	USD	1,973.25	
10AUG17	S	1	PD10NOV17	2033.00	2117.43	USD	-2,110.75	
10AUG17	B	1	PD10NOV17	2039.50	2117.43	USD	1,948.25	
11AUG17	S	1	PD10NOV17	2022.00	2117.43	USD	-2,385.75	
11AUG17	S	2	PD10NOV17	2043.50	2117.43	USD	-3,696.50	
22AUG17	B	2	PD10NOV17	2073.20	2117.43	USD	2,211.50	
	NET	0 AVG	BGHT 2056.10	SOLD 2035.50			-2,060.00	0.00
14AUG17	S	1	PD14NOV17	2031.50	2119.29	USD	-2,194.75	
14AUG17	S	1	PD14NOV17	2033.00	2119.29	USD	-2,157.25	
18AUG17	B	2	PD14NOV17	2062.20	2119.29	USD	2,854.50	
	NET	0 AVG	BGHT 2062.20	SOLD 2032.25			-1,497.50	0.00
15AUG17	S	1	PD15NOV17	2049.00	2119.75	USD	-1,768.75	
15AUG17	S	1	PD15NOV17	2047.00	2119.75	USD	-1,818.75	
18AUG17	B	2	PD15NOV17	2062.45	2119.75	USD	2,865.00	
	NET	0 AVG	BGHT 2062.45	SOLD 2048.00			-722.50	0.00
16AUG17	B	1	PD16NOV17	2093.50	2120.07	USD	664.25	
18AUG17	S	1	PD16NOV17	2061.75	2120.07	USD	-1,458.00	
	NET	0 AVG	BGHT 2093.50	SOLD 2061.75			-793.75	0.00
17AUG17	S	1	PD17NOV17	2100.50	2120.38	USD	-497.00	
17AUG17	B	1	PD17NOV17	2068.50	2120.38	USD	1,297.00	

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17AUG17	S	1	PD17NOV17	2071.00	2120.38	USD	-1,234.50	
18AUG17	S	12	PD17NOV17	2083.50	2120.38	USD	-11,064.00	
18AUG17	S	7	PD17NOV17	2084.50	2120.38	USD	-6,279.00	
18AUG17	S	1	PD17NOV17	2084.50	2120.38	USD	-897.00	
18AUG17	B	1	PD17NOV17	2062.20	2120.38	USD	1,454.50	
23AUG17	B	20	PD17NOV17	2096.11	2120.38	USD	12,135.00	
	NET 0AVG BGHT 2093.31 SOLD 2084.07						-5,085.00	0.00
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
17JUL17	B	1	PD17OCT17	5921.00	6859.00	USD	23,450.00	
17JUL17	B	1	PD17OCT17	5950.00	6859.00	USD	22,725.00	
17JUL17	B	1	PD17OCT17	5951.00	6859.00	USD	22,700.00	
17JUL17	S	1	PD17OCT17	5928.50	6859.00	USD	-23,262.50	
17JUL17	S	1	PD17OCT17	5916.00	6859.00	USD	-23,575.00	
17JUL17	B	2	PD17OCT17	5951.50	6859.00	USD	45,375.00	
17JUL17	S	1	PD17OCT17	5931.00	6859.00	USD	-23,200.00	
17JUL17	B	5	PD17OCT17	5948.00	6859.00	USD	113,875.00	
17JUL17	S	3	PD17OCT17	5951.00	6859.00	USD	-68,100.00	
17JUL17	S	1	PD17OCT17	5975.00	6859.00	USD	-22,100.00	
17JUL17	S	1	PD17OCT17	5977.50	6859.00	USD	-22,037.50	
17JUL17	B	4	PD17OCT17	5947.00	6859.00	USD	91,200.00	
17JUL17	S	1	PD17OCT17	5981.50	6859.00	USD	-21,937.50	
17JUL17	B	2	PD17OCT17	5949.00	6859.00	USD	45,500.00	
17JUL17	S	3	PD17OCT17	5982.50	6859.00	USD	-65,737.50	
17JUL17	B	5	PD17OCT17	5950.50	6859.00	USD	113,562.50	
17JUL17	S	2	PD17OCT17	5981.00	6859.00	USD	-43,900.00	
17JUL17	S	1	PD17OCT17	5930.50	6859.00	USD	-23,212.50	
17JUL17	S	1	PD17OCT17	5911.00	6859.00	USD	-23,700.00	
17JUL17	S	1	PD17OCT17	5980.50	6859.00	USD	-21,962.50	
17JUL17	S	1	PD17OCT17	5979.50	6859.00	USD	-21,987.50	
17JUL17	S	2	PD17OCT17	5914.50	6859.00	USD	-47,225.00	
17JUL17	S	1	PD17OCT17	5982.00	6859.00	USD	-21,925.00	
17JUL17	B	1	PD17OCT17	5923.00	6859.00	USD	23,400.00	
17JUL17	B	3	PD17OCT17	5922.00	6859.00	USD	70,275.00	
17JUL17	B	1	PD17OCT17	5952.00	6859.00	USD	22,675.00	
17JUL17	S	2	PD17OCT17	5918.00	6859.00	USD	-47,050.00	
17JUL17	B	4	PD17OCT17	5949.50	6859.00	USD	90,950.00	
17JUL17	S	1	PD17OCT17	5949.00	6859.00	USD	-22,750.00	
17JUL17	B	1	PD17OCT17	5922.50	6859.00	USD	23,412.50	
17JUL17	S	2	PD17OCT17	5975.50	6859.00	USD	-44,175.00	
17JUL17	S	1	PD17OCT17	5914.00	6859.00	USD	-23,625.00	
17JUL17	B	1	PD17OCT17	5914.00	6859.00	USD	23,625.00	
17JUL17	S	3	PD17OCT17	5980.00	6859.00	USD	-65,925.00	
17JUL17	S	2	PD17OCT17	5973.50	6859.00	USD	-44,275.00	
17JUL17	S	1	PD17OCT17	5972.00	6859.00	USD	-22,175.00	
17JUL17	S	1	PD17OCT17	5972.50	6859.00	USD	-22,162.50	
17JUL17	S	3	PD17OCT17	5969.50	6859.00	USD	-66,712.50	
17JUL17	S	2	PD17OCT17	5984.50	6859.00	USD	-43,725.00	
17JUL17	S	2	PD17OCT17	5985.00	6859.00	USD	-43,700.00	
17JUL17	S	1	PD17OCT17	5911.00	6859.00	USD	-23,700.00	
17JUL17	S	1	PD17OCT17	5979.00	6859.00	USD	-22,000.00	
17JUL17	S	1	PD17OCT17	5981.50	6859.00	USD	-21,937.50	
17JUL17	B	1	PD17OCT17	6002.50	6859.00	USD	21,412.50	
17JUL17	S	3	PD17OCT17	6007.50	6859.00	USD	-63,862.50	
17JUL17	S	1	PD17OCT17	6012.50	6859.00	USD	-21,162.50	
17JUL17	S	1	PD17OCT17	6017.00	6859.00	USD	-21,050.00	
17JUL17	S	1	PD17OCT17	6014.50	6859.00	USD	-21,112.50	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0086C

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
17JUL17	S	1	PD17OCT17	6015.50	6859.00	USD	-21,087.50	
17JUL17	S	1	PD17OCT17	6013.00	6859.00	USD	-21,150.00	
17JUL17	S	1	PD17OCT17	6016.50	6859.00	USD	-21,062.50	
17JUL17	S	1	PD17OCT17	5998.50	6859.00	USD	-21,512.50	
17JUL17	B	1	PD17OCT17	6001.50	6859.00	USD	21,437.50	
18JUL17	B	20	PD17OCT17	6006.79	6859.00	USD	426,105.00	
	NET	0AVG BGHT 5968.87	SOLD 5970.28				1,905.00	0.00
18JUL17	S	1	PD18OCT17	6002.00	6859.50	USD	-21,437.50	
18JUL17	S	1	PD18OCT17	6001.00	6859.50	USD	-21,462.50	
18JUL17	S	1	PD18OCT17	5998.50	6859.50	USD	-21,525.00	
18JUL17	S	1	PD18OCT17	6001.50	6859.50	USD	-21,450.00	
18JUL17	S	3	PD18OCT17	6011.00	6859.50	USD	-63,637.50	
18JUL17	B	1	PD18OCT17	6012.00	6859.50	USD	21,187.50	
18JUL17	B	1	PD18OCT17	5988.00	6859.50	USD	21,787.50	
18JUL17	S	1	PD18OCT17	5985.00	6859.50	USD	-21,862.50	
18JUL17	S	1	PD18OCT17	5997.00	6859.50	USD	-21,562.50	
18JUL17	B	3	PD18OCT17	5996.00	6859.50	USD	64,762.50	
18JUL17	B	3	PD18OCT17	5995.50	6859.50	USD	64,800.00	
18JUL17	B	1	PD18OCT17	5995.00	6859.50	USD	21,612.50	
18JUL17	B	1	PD18OCT17	5994.50	6859.50	USD	21,625.00	
18JUL17	B	1	PD18OCT17	5999.00	6859.50	USD	21,512.50	
18JUL17	B	3	PD18OCT17	6005.50	6859.50	USD	64,050.00	
18JUL17	S	3	PD18OCT17	6004.50	6859.50	USD	-64,125.00	
18JUL17	S	1	PD18OCT17	6005.00	6859.50	USD	-21,362.50	
18JUL17	S	1	PD18OCT17	6006.00	6859.50	USD	-21,337.50	
18JUL17	S	5	PD18OCT17	6005.50	6859.50	USD	-106,750.00	
24JUL17	B	5	PD18OCT17	6027.70	6859.50	USD	103,975.00	
	NET	0AVG BGHT 6006.21	SOLD 6003.68				-1,200.00	0.00
19JUL17	S	1	PD19OCT17	5999.00	6857.50	USD	-21,462.50	
19JUL17	S	4	PD19OCT17	5999.50	6857.50	USD	-85,800.00	
19JUL17	S	1	PD19OCT17	6001.00	6857.50	USD	-21,412.50	
19JUL17	S	1	PD19OCT17	5998.50	6857.50	USD	-21,475.00	
19JUL17	B	1	PD19OCT17	6002.50	6857.50	USD	21,375.00	
19JUL17	B	1	PD19OCT17	6013.00	6857.50	USD	21,112.50	
19JUL17	B	1	PD19OCT17	6006.00	6857.50	USD	21,287.50	
19JUL17	B	1	PD19OCT17	6007.50	6857.50	USD	21,250.00	
19JUL17	B	1	PD19OCT17	6007.00	6857.50	USD	21,262.50	
19JUL17	B	3	PD19OCT17	5998.00	6857.50	USD	64,462.50	
19JUL17	B	1	PD19OCT17	5996.00	6857.50	USD	21,537.50	
19JUL17	B	1	PD19OCT17	5997.50	6857.50	USD	21,500.00	
19JUL17	B	1	PD19OCT17	6000.00	6857.50	USD	21,437.50	
19JUL17	B	7	PD19OCT17	5996.50	6857.50	USD	150,675.00	
19JUL17	B	2	PD19OCT17	5992.50	6857.50	USD	43,250.00	
19JUL17	B	1	PD19OCT17	5992.00	6857.50	USD	21,637.50	
19JUL17	B	1	PD19OCT17	5991.00	6857.50	USD	21,662.50	
19JUL17	B	1	PD19OCT17	5989.50	6857.50	USD	21,700.00	
19JUL17	B	2	PD19OCT17	5989.00	6857.50	USD	43,425.00	
19JUL17	B	1	PD19OCT17	5987.50	6857.50	USD	21,750.00	
19JUL17	S	1	PD19OCT17	5987.00	6857.50	USD	-21,762.50	
19JUL17	B	1	PD19OCT17	5988.00	6857.50	USD	21,737.50	
19JUL17	S	1	PD19OCT17	5989.00	6857.50	USD	-21,712.50	
19JUL17	S	1	PD19OCT17	5994.50	6857.50	USD	-21,575.00	
19JUL17	B	3	PD19OCT17	5996.50	6857.50	USD	64,575.00	
19JUL17	B	1	PD19OCT17	5971.50	6857.50	USD	22,150.00	
19JUL17	B	1	PD19OCT17	5969.00	6857.50	USD	22,212.50	
24JUL17	S	6	PD19OCT17	6026.50	6857.50	USD	-124,650.00	
07AUG17	S	7	PD19OCT17	6407.50	6857.50	USD	-78,750.00	

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SAP0086C

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
08AUG17	<u>LME COPPER GRADE A 25 TONNES. THIS</u>							
	<u>IS A LME REGISTERED CLIENT CONTRACT</u>							
	S	9	PD19OCT17	6473.50	6857.50	USD	-86,400.00	
	NET	0 AVG BGHT 5995.00	SOLD 6226.25				185,000.00	0.00
20JUL17	B	1	PD20OCT17	5971.00	6857.50	USD	22,162.50	
20JUL17	B	1	PD20OCT17	5970.50	6857.50	USD	22,175.00	
20JUL17	B	1	PD20OCT17	5986.00	6857.50	USD	21,787.50	
20JUL17	B	1	PD20OCT17	5982.00	6857.50	USD	21,887.50	
20JUL17	B	1	PD20OCT17	5981.50	6857.50	USD	21,900.00	
20JUL17	B	4	PD20OCT17	5984.50	6857.50	USD	87,300.00	
20JUL17	B	1	PD20OCT17	5987.50	6857.50	USD	21,750.00	
20JUL17	B	4	PD20OCT17	5987.00	6857.50	USD	87,050.00	
20JUL17	S	1	PD20OCT17	5988.00	6857.50	USD	-21,737.50	
20JUL17	B	1	PD20OCT17	5991.00	6857.50	USD	21,662.50	
20JUL17	S	1	PD20OCT17	5991.00	6857.50	USD	-21,662.50	
20JUL17	S	1	PD20OCT17	5989.00	6857.50	USD	-21,712.50	
20JUL17	B	1	PD20OCT17	5981.00	6857.50	USD	21,912.50	
20JUL17	S	1	PD20OCT17	5984.50	6857.50	USD	-21,825.00	
20JUL17	B	4	PD20OCT17	5983.00	6857.50	USD	87,450.00	
20JUL17	B	2	PD20OCT17	5983.50	6857.50	USD	43,700.00	
20JUL17	S	1	PD20OCT17	5969.50	6857.50	USD	-22,200.00	
20JUL17	B	1	PD20OCT17	5971.50	6857.50	USD	22,150.00	
20JUL17	B	1	PD20OCT17	5969.50	6857.50	USD	22,200.00	
20JUL17	S	2	PD20OCT17	5969.00	6857.50	USD	-44,425.00	
20JUL17	B	1	PD20OCT17	5968.00	6857.50	USD	22,237.50	
20JUL17	S	1	PD20OCT17	5966.00	6857.50	USD	-22,287.50	
20JUL17	B	4	PD20OCT17	5965.50	6857.50	USD	89,200.00	
20JUL17	B	1	PD20OCT17	5975.50	6857.50	USD	22,050.00	
20JUL17	S	1	PD20OCT17	5973.50	6857.50	USD	-22,100.00	
20JUL17	B	1	PD20OCT17	5962.50	6857.50	USD	22,375.00	
20JUL17	B	1	PD20OCT17	5961.50	6857.50	USD	22,400.00	
20JUL17	B	1	PD20OCT17	5952.50	6857.50	USD	22,625.00	
20JUL17	S	2	PD20OCT17	5946.00	6857.50	USD	-45,575.00	
20JUL17	S	1	PD20OCT17	5947.00	6857.50	USD	-22,762.50	
20JUL17	S	2	PD20OCT17	5953.00	6857.50	USD	-45,225.00	
20JUL17	B	3	PD20OCT17	5972.00	6857.50	USD	66,412.50	
20JUL17	B	1	PD20OCT17	5973.00	6857.50	USD	22,112.50	
20JUL17	B	4	PD20OCT17	5973.50	6857.50	USD	88,400.00	
20JUL17	B	1	PD20OCT17	5977.50	6857.50	USD	22,000.00	
20JUL17	B	1	PD20OCT17	5978.00	6857.50	USD	21,987.50	
20JUL17	S	1	PD20OCT17	5979.00	6857.50	USD	-21,962.50	
20JUL17	B	1	PD20OCT17	5976.50	6857.50	USD	22,025.00	
21JUL17	S	1	PD20OCT17	5985.00	6857.50	USD	-21,812.50	
21JUL17	B	1	PD20OCT17	5985.50	6857.50	USD	21,800.00	
21JUL17	S	1	PD20OCT17	6005.50	6857.50	USD	-21,300.00	
21JUL17	S	1	PD20OCT17	6012.00	6857.50	USD	-21,137.50	
21JUL17	S	1	PD20OCT17	6013.00	6857.50	USD	-21,112.50	
21JUL17	S	1	PD20OCT17	5985.00	6857.50	USD	-21,812.50	
21JUL17	S	3	PD20OCT17	6029.50	6857.50	USD	-62,100.00	
21JUL17	S	1	PD20OCT17	6028.50	6857.50	USD	-20,725.00	
21JUL17	S	1	PD20OCT17	6027.00	6857.50	USD	-20,762.50	
21JUL17	S	2	PD20OCT17	6026.50	6857.50	USD	-41,550.00	
21JUL17	S	1	PD20OCT17	6025.00	6857.50	USD	-20,812.50	
21JUL17	S	4	PD20OCT17	6031.00	6857.50	USD	-82,650.00	
21JUL17	S	1	PD20OCT17	6020.50	6857.50	USD	-20,925.00	
21JUL17	S	1	PD20OCT17	6016.50	6857.50	USD	-21,025.00	
21JUL17	S	2	PD20OCT17	6015.50	6857.50	USD	-42,100.00	
21JUL17	S	1	PD20OCT17	6001.00	6857.50	USD	-21,412.50	
21JUL17	S	2	PD20OCT17	6029.50	6857.50	USD	-41,400.00	

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
21JUL17	B	1	PD200CT17	6000.50	6857.50	USD	21,425.00	
21JUL17	S	2	PD200CT17	5992.50	6857.50	USD	-43,250.00	
24JUL17	B	12	PD200CT17	6025.70	6857.50	USD	249,540.00	
07AUG17	S	17	PD200CT17	6406.50	6857.50	USD	-191,675.00	
	NET	0 AVG BGHT 5987.38	SOLD 6118.85				190,640.00	0.00
24JUL17	S	2	PD240CT17	5994.00	6857.50	USD	-43,175.00	
24JUL17	S	7	PD240CT17	5995.00	6857.50	USD	-150,937.50	
24JUL17	S	3	PD240CT17	5996.00	6857.50	USD	-64,612.50	
24JUL17	S	2	PD240CT17	5995.50	6857.50	USD	-43,100.00	
24JUL17	S	1	PD240CT17	5999.00	6857.50	USD	-21,462.50	
24JUL17	S	2	PD240CT17	6028.00	6857.50	USD	-41,475.00	
24JUL17	S	3	PD240CT17	6022.50	6857.50	USD	-62,625.00	
24JUL17	B	1	PD240CT17	6022.00	6857.50	USD	20,887.50	
24JUL17	B	1	PD240CT17	6020.50	6857.50	USD	20,925.00	
24JUL17	B	2	PD240CT17	6016.50	6857.50	USD	42,050.00	
24JUL17	S	1	PD240CT17	6015.50	6857.50	USD	-21,050.00	
24JUL17	S	1	PD240CT17	6023.00	6857.50	USD	-20,862.50	
24JUL17	S	2	PD240CT17	6026.00	6857.50	USD	-41,575.00	
24JUL17	S	2	PD240CT17	6027.00	6857.50	USD	-41,525.00	
07AUG17	B	22	PD240CT17	6408.41	6857.50	USD	246,999.50	
	NET	0 AVG BGHT 6348.48	SOLD 6007.65				-221,538.00	0.00
25JUL17	S	1	PD250CT17	6028.50	6857.50	USD	-20,725.00	
25JUL17	S	1	PD250CT17	6041.00	6857.50	USD	-20,412.50	
25JUL17	S	1	PD250CT17	6043.50	6857.50	USD	-20,350.00	
25JUL17	S	1	PD250CT17	6045.50	6857.50	USD	-20,300.00	
25JUL17	S	1	PD250CT17	6065.50	6857.50	USD	-19,800.00	
25JUL17	B	2	PD250CT17	6066.50	6857.50	USD	39,550.00	
25JUL17	S	1	PD250CT17	6066.00	6857.50	USD	-19,787.50	
25JUL17	S	3	PD250CT17	6066.50	6857.50	USD	-59,325.00	
25JUL17	S	2	PD250CT17	6069.00	6857.50	USD	-39,425.00	
25JUL17	S	1	PD250CT17	6071.50	6857.50	USD	-19,650.00	
25JUL17	S	1	PD250CT17	6071.00	6857.50	USD	-19,662.50	
25JUL17	S	1	PD250CT17	6074.50	6857.50	USD	-19,575.00	
25JUL17	S	1	PD250CT17	6078.00	6857.50	USD	-19,487.50	
25JUL17	S	1	PD250CT17	6076.00	6857.50	USD	-19,537.50	
25JUL17	S	2	PD250CT17	6086.50	6857.50	USD	-38,550.00	
25JUL17	S	1	PD250CT17	6090.50	6857.50	USD	-19,175.00	
25JUL17	S	1	PD250CT17	6091.00	6857.50	USD	-19,162.50	
25JUL17	B	2	PD250CT17	6098.00	6857.50	USD	37,975.00	
25JUL17	B	2	PD250CT17	6100.00	6857.50	USD	37,875.00	
25JUL17	B	1	PD250CT17	6101.00	6857.50	USD	18,912.50	
25JUL17	B	1	PD250CT17	6093.50	6857.50	USD	19,100.00	
25JUL17	S	1	PD250CT17	6091.50	6857.50	USD	-19,150.00	
25JUL17	B	1	PD250CT17	6086.00	6857.50	USD	19,287.50	
25JUL17	S	1	PD250CT17	6124.00	6857.50	USD	-18,337.50	
25JUL17	S	1	PD250CT17	6123.50	6857.50	USD	-18,350.00	
25JUL17	S	1	PD250CT17	6125.50	6857.50	USD	-18,300.00	
25JUL17	S	1	PD250CT17	6127.00	6857.50	USD	-18,262.50	
25JUL17	S	1	PD250CT17	6115.50	6857.50	USD	-18,550.00	
25JUL17	S	1	PD250CT17	6161.50	6857.50	USD	-17,400.00	
25JUL17	S	1	PD250CT17	6164.50	6857.50	USD	-17,325.00	
25JUL17	S	1	PD250CT17	6166.50	6857.50	USD	-17,275.00	
25JUL17	S	1	PD250CT17	6168.00	6857.50	USD	-17,237.50	
25JUL17	S	2	PD250CT17	6165.00	6857.50	USD	-34,625.00	
25JUL17	S	1	PD250CT17	6155.50	6857.50	USD	-17,550.00	
25JUL17	S	1	PD250CT17	6172.50	6857.50	USD	-17,125.00	
25JUL17	S	1	PD250CT17	6185.00	6857.50	USD	-16,812.50	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0086C

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
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+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
25JUL17	S	1	PD250CT17	6184.50	6857.50	USD	-16,825.00	
25JUL17	S	1	PD250CT17	6184.00	6857.50	USD	-16,837.50	
25JUL17	S	2	PD250CT17	6068.00	6857.50	USD	-39,475.00	
25JUL17	B	2	PD250CT17	6098.00	6857.50	USD	37,975.00	
25JUL17	B	1	PD250CT17	6090.50	6857.50	USD	19,175.00	
25JUL17	B	1	PD250CT17	6086.00	6857.50	USD	19,287.50	
25JUL17	S	1	PD250CT17	6155.50	6857.50	USD	-17,550.00	
25JUL17	B	1	PD250CT17	6179.50	6857.50	USD	16,950.00	
25JUL17	S	1	PD250CT17	6198.00	6857.50	USD	-16,487.50	
25JUL17	S	1	PD250CT17	6197.00	6857.50	USD	-16,512.50	
25JUL17	S	1	PD250CT17	6196.50	6857.50	USD	-16,525.00	
25JUL17	B	1	PD250CT17	6232.50	6857.50	USD	15,625.00	
25JUL17	S	1	PD250CT17	6232.00	6857.50	USD	-15,637.50	
25JUL17	B	1	PD250CT17	6219.00	6857.50	USD	15,962.50	
25JUL17	S	1	PD250CT17	6218.00	6857.50	USD	-15,987.50	
25JUL17	S	1	PD250CT17	6219.00	6857.50	USD	-15,962.50	
25JUL17	S	1	PD250CT17	6221.50	6857.50	USD	-15,900.00	
25JUL17	S	1	PD250CT17	6216.50	6857.50	USD	-16,025.00	
25JUL17	S	3	PD250CT17	6230.50	6857.50	USD	-47,025.00	
25JUL17	B	2	PD250CT17	6225.00	6857.50	USD	31,625.00	
25JUL17	B	1	PD250CT17	6221.50	6857.50	USD	15,900.00	
25JUL17	B	1	PD250CT17	6215.00	6857.50	USD	16,062.50	
25JUL17	B	1	PD250CT17	6207.50	6857.50	USD	16,250.00	
25JUL17	B	1	PD250CT17	6190.00	6857.50	USD	16,687.50	
25JUL17	B	2	PD250CT17	6194.50	6857.50	USD	33,150.00	
25JUL17	S	1	PD250CT17	6212.50	6857.50	USD	-16,125.00	
25JUL17	S	1	PD250CT17	6211.50	6857.50	USD	-16,150.00	
25JUL17	S	2	PD250CT17	6207.50	6857.50	USD	-32,500.00	
25JUL17	S	1	PD250CT17	6205.00	6857.50	USD	-16,312.50	
25JUL17	S	1	PD250CT17	6197.00	6857.50	USD	-16,512.50	
25JUL17	S	2	PD250CT17	6210.50	6857.50	USD	-32,350.00	
25JUL17	S	2	PD250CT17	6209.00	6857.50	USD	-32,425.00	
25JUL17	S	3	PD250CT17	6208.50	6857.50	USD	-48,675.00	
25JUL17	S	1	PD250CT17	6209.50	6857.50	USD	-16,200.00	
25JUL17	S	1	PD250CT17	6204.50	6857.50	USD	-16,325.00	
07AUG17	B	2	PD250CT17	6408.84	6857.50	USD	22,433.00	
07AUG17	B	25	PD250CT17	6408.64	6857.50	USD	280,537.50	
18AUG17	B	1	PD250CT17	6478.17	6857.50	USD	9,483.25	
23AUG17	B	14	PD250CT17	6555.92	6857.50	USD	105,553.00	
	NET	0AVG	BGHT 6345.16	SOLD 6147.47			-326,193.25	0.00
26JUL17	S	1	PD260CT17	6269.00	6857.50	USD	-14,712.50	
26JUL17	S	1	PD260CT17	6266.00	6857.50	USD	-14,787.50	
26JUL17	S	1	PD260CT17	6259.00	6857.50	USD	-14,962.50	
26JUL17	S	1	PD260CT17	6255.50	6857.50	USD	-15,050.00	
26JUL17	S	1	PD260CT17	6256.00	6857.50	USD	-15,037.50	
26JUL17	S	2	PD260CT17	6257.00	6857.50	USD	-30,025.00	
26JUL17	S	1	PD260CT17	6258.50	6857.50	USD	-14,975.00	
26JUL17	S	4	PD260CT17	6261.50	6857.50	USD	-59,600.00	
26JUL17	S	3	PD260CT17	6265.50	6857.50	USD	-44,400.00	
26JUL17	B	4	PD260CT17	6253.00	6857.50	USD	60,450.00	
26JUL17	S	1	PD260CT17	6235.00	6857.50	USD	-15,562.50	
26JUL17	S	1	PD260CT17	6236.00	6857.50	USD	-15,537.50	
26JUL17	S	1	PD260CT17	6275.50	6857.50	USD	-14,550.00	
26JUL17	S	1	PD260CT17	6277.00	6857.50	USD	-14,512.50	
26JUL17	S	1	PD260CT17	6276.50	6857.50	USD	-14,525.00	
26JUL17	S	1	PD260CT17	6289.50	6857.50	USD	-14,200.00	
26JUL17	S	2	PD260CT17	6295.00	6857.50	USD	-28,125.00	

OPEN POSITION STATEMENT



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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
26JUL17	S	1	PD260CT17	6291.00	6857.50	USD	-14,162.50	
26JUL17	B	1	PD260CT17	6313.50	6857.50	USD	13,600.00	
26JUL17	B	1	PD260CT17	6315.00	6857.50	USD	13,562.50	
26JUL17	S	2	PD260CT17	6344.00	6857.50	USD	-25,675.00	
26JUL17	S	1	PD260CT17	6352.50	6857.50	USD	-12,625.00	
26JUL17	B	1	PD260CT17	6351.50	6857.50	USD	12,650.00	
26JUL17	S	2	PD260CT17	6343.00	6857.50	USD	-25,725.00	
26JUL17	S	4	PD260CT17	6342.50	6857.50	USD	-51,500.00	
26JUL17	S	3	PD260CT17	6341.50	6857.50	USD	-38,700.00	
26JUL17	S	4	PD260CT17	6347.00	6857.50	USD	-51,050.00	
26JUL17	S	1	PD260CT17	6368.50	6857.50	USD	-12,225.00	
26JUL17	S	20	PD260CT17	6395.00	6857.50	USD	-231,250.00	
26JUL17	B	1	PD260CT17	6385.50	6857.50	USD	11,800.00	
26JUL17	S	1	PD260CT17	6390.50	6857.50	USD	-11,675.00	
26JUL17	B	3	PD260CT17	6384.50	6857.50	USD	35,475.00	
26JUL17	B	10	PD260CT17	6383.50	6857.50	USD	118,500.00	
26JUL17	S	1	PD260CT17	6384.00	6857.50	USD	-11,837.50	
26JUL17	B	1	PD260CT17	6381.00	6857.50	USD	11,912.50	
26JUL17	B	2	PD260CT17	6382.50	6857.50	USD	23,750.00	
26JUL17	B	1	PD260CT17	6378.00	6857.50	USD	11,987.50	
26JUL17	S	1	PD260CT17	6367.50	6857.50	USD	-12,250.00	
26JUL17	S	1	PD260CT17	6375.00	6857.50	USD	-12,062.50	
26JUL17	S	2	PD260CT17	6348.50	6857.50	USD	-25,450.00	
26JUL17	S	4	PD260CT17	6350.00	6857.50	USD	-50,750.00	
26JUL17	S	1	PD260CT17	6351.00	6857.50	USD	-12,662.50	
26JUL17	B	1	PD260CT17	6310.00	6857.50	USD	13,687.50	
26JUL17	B	1	PD260CT17	6302.50	6857.50	USD	13,875.00	
26JUL17	B	1	PD260CT17	6298.00	6857.50	USD	13,987.50	
26JUL17	B	1	PD260CT17	6292.50	6857.50	USD	14,125.00	
26JUL17	B	1	PD260CT17	6291.00	6857.50	USD	14,162.50	
26JUL17	B	2	PD260CT17	6302.00	6857.50	USD	27,775.00	
26JUL17	B	1	PD260CT17	6300.00	6857.50	USD	13,937.50	
26JUL17	B	1	PD260CT17	6283.00	6857.50	USD	14,362.50	
26JUL17	B	3	PD260CT17	6383.50	6857.50	USD	35,550.00	
26JUL17	B	1	PD260CT17	6297.50	6857.50	USD	14,000.00	
26JUL17	B	1	PD260CT17	6293.50	6857.50	USD	14,100.00	
26JUL17	B	1	PD260CT17	6297.00	6857.50	USD	14,012.50	
26JUL17	B	5	PD260CT17	6305.00	6857.50	USD	69,062.50	
26JUL17	B	3	PD260CT17	6303.00	6857.50	USD	41,587.50	
26JUL17	B	3	PD260CT17	6304.00	6857.50	USD	41,512.50	
26JUL17	B	5	PD260CT17	6315.50	6857.50	USD	67,750.00	
26JUL17	B	2	PD260CT17	6314.50	6857.50	USD	27,150.00	
26JUL17	B	4	PD260CT17	6314.00	6857.50	USD	54,350.00	
26JUL17	B	1	PD260CT17	6311.50	6857.50	USD	13,650.00	
26JUL17	B	1	PD260CT17	6311.00	6857.50	USD	13,662.50	
26JUL17	S	2	PD260CT17	6314.50	6857.50	USD	-27,150.00	
26JUL17	S	1	PD260CT17	6319.50	6857.50	USD	-13,450.00	
26JUL17	S	1	PD260CT17	6321.50	6857.50	USD	-13,400.00	
26JUL17	S	1	PD260CT17	6322.50	6857.50	USD	-13,375.00	
26JUL17	S	1	PD260CT17	6323.50	6857.50	USD	-13,350.00	
26JUL17	S	1	PD260CT17	6336.00	6857.50	USD	-13,037.50	
26JUL17	S	1	PD260CT17	6338.50	6857.50	USD	-12,975.00	
26JUL17	S	1	PD260CT17	6340.00	6857.50	USD	-12,937.50	
26JUL17	S	1	PD260CT17	6345.50	6857.50	USD	-12,800.00	
26JUL17	S	10	PD260CT17	6327.50	6857.50	USD	-132,500.00	
26JUL17	B	1	PD260CT17	6322.50	6857.50	USD	13,375.00	
26JUL17	B	2	PD260CT17	6323.00	6857.50	USD	26,725.00	

OPEN POSITION STATEMENT



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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
26JUL17	B	1	PD26OCT17	6320.50	6857.50	USD	13,425.00	
26JUL17	B	10	PD26OCT17	6321.00	6857.50	USD	134,125.00	
07AUG17	S	3	PD26OCT17	6408.87	6857.50	USD	-33,647.25	
23AUG17	B	17	PD26OCT17	6556.50	6857.50	USD	127,925.00	
	NET	0AVG BGHT 6368.42	SOLD 6335.91				-77,222.25	0.00
27JUL17	B	2	PD27OCT17	6348.00	6857.50	USD	25,475.00	
27JUL17	B	2	PD27OCT17	6346.50	6857.50	USD	25,550.00	
27JUL17	B	1	PD27OCT17	6344.00	6857.50	USD	12,837.50	
27JUL17	S	2	PD27OCT17	6351.50	6857.50	USD	-25,300.00	
27JUL17	S	1	PD27OCT17	6352.00	6857.50	USD	-12,637.50	
27JUL17	S	2	PD27OCT17	6353.00	6857.50	USD	-25,225.00	
27JUL17	B	1	PD27OCT17	6353.50	6857.50	USD	12,600.00	
27JUL17	B	2	PD27OCT17	6355.00	6857.50	USD	25,125.00	
27JUL17	S	1	PD27OCT17	6357.50	6857.50	USD	-12,500.00	
27JUL17	B	3	PD27OCT17	6358.00	6857.50	USD	37,462.50	
27JUL17	B	1	PD27OCT17	6359.00	6857.50	USD	12,462.50	
27JUL17	B	1	PD27OCT17	6360.50	6857.50	USD	12,425.00	
27JUL17	B	1	PD27OCT17	6360.00	6857.50	USD	12,437.50	
27JUL17	B	1	PD27OCT17	6358.50	6857.50	USD	12,475.00	
27JUL17	S	1	PD27OCT17	6358.00	6857.50	USD	-12,487.50	
27JUL17	S	1	PD27OCT17	6328.50	6857.50	USD	-13,225.00	
27JUL17	B	1	PD27OCT17	6327.50	6857.50	USD	13,250.00	
27JUL17	S	3	PD27OCT17	6321.50	6857.50	USD	-40,200.00	
27JUL17	S	1	PD27OCT17	6314.00	6857.50	USD	-13,587.50	
27JUL17	S	1	PD27OCT17	6324.00	6857.50	USD	-13,337.50	
27JUL17	S	1	PD27OCT17	6318.00	6857.50	USD	-13,487.50	
27JUL17	S	1	PD27OCT17	6319.50	6857.50	USD	-13,450.00	
27JUL17	S	1	PD27OCT17	6320.50	6857.50	USD	-13,425.00	
27JUL17	S	1	PD27OCT17	6349.50	6857.50	USD	-12,700.00	
27JUL17	S	1	PD27OCT17	6349.00	6857.50	USD	-12,712.50	
27JUL17	S	14	PD27OCT17	6347.00	6857.50	USD	-178,675.00	
27JUL17	S	1	PD27OCT17	6340.50	6857.50	USD	-12,925.00	
27JUL17	B	3	PD27OCT17	6340.00	6857.50	USD	38,812.50	
27JUL17	S	1	PD27OCT17	6331.50	6857.50	USD	-13,150.00	
27JUL17	S	1	PD27OCT17	6358.00	6857.50	USD	-12,487.50	
27JUL17	S	5	PD27OCT17	6351.00	6857.50	USD	-63,312.50	
27JUL17	S	3	PD27OCT17	6350.00	6857.50	USD	-38,062.50	
27JUL17	S	1	PD27OCT17	6345.50	6857.50	USD	-12,800.00	
27JUL17	S	1	PD27OCT17	6343.50	6857.50	USD	-12,850.00	
27JUL17	S	2	PD27OCT17	6340.00	6857.50	USD	-25,875.00	
27JUL17	S	1	PD27OCT17	6342.50	6857.50	USD	-12,875.00	
27JUL17	S	3	PD27OCT17	6354.50	6857.50	USD	-37,725.00	
27JUL17	S	8	PD27OCT17	6354.00	6857.50	USD	-100,700.00	
27JUL17	S	5	PD27OCT17	6371.50	6857.50	USD	-60,750.00	
27JUL17	S	3	PD27OCT17	6357.00	6857.50	USD	-37,537.50	
27JUL17	S	1	PD27OCT17	6355.50	6857.50	USD	-12,550.00	
27JUL17	B	6	PD27OCT17	6356.50	6857.50	USD	75,150.00	
27JUL17	B	9	PD27OCT17	6348.50	6857.50	USD	114,525.00	
27JUL17	B	1	PD27OCT17	6348.50	6857.50	USD	12,725.00	
27JUL17	B	1	PD27OCT17	6331.00	6857.50	USD	13,162.50	
27JUL17	B	1	PD27OCT17	6339.00	6857.50	USD	12,962.50	
27JUL17	B	2	PD27OCT17	6335.50	6857.50	USD	26,100.00	
28JUL17	B	1	PD27OCT17	6321.00	6857.50	USD	13,412.50	
28JUL17	B	1	PD27OCT17	6320.00	6857.50	USD	13,437.50	
28JUL17	B	1	PD27OCT17	6324.50	6857.50	USD	13,325.00	
28JUL17	B	3	PD27OCT17	6328.50	6857.50	USD	39,675.00	
28JUL17	B	1	PD27OCT17	6327.00	6857.50	USD	13,262.50	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
28JUL17	B	3	PD27OCT17	6330.50	6857.50	USD	39,525.00	
28JUL17	B	1	PD27OCT17	6324.00	6857.50	USD	13,337.50	
28JUL17	S	2	PD27OCT17	6263.00	6857.50	USD	-29,725.00	
28JUL17	S	1	PD27OCT17	6264.50	6857.50	USD	-14,825.00	
28JUL17	S	1	PD27OCT17	6267.00	6857.50	USD	-14,762.50	
28JUL17	B	1	PD27OCT17	6298.50	6857.50	USD	13,975.00	
28JUL17	B	2	PD27OCT17	6299.00	6857.50	USD	27,925.00	
28JUL17	B	1	PD27OCT17	6299.50	6857.50	USD	13,950.00	
28JUL17	S	1	PD27OCT17	6313.50	6857.50	USD	-13,600.00	
28JUL17	S	1	PD27OCT17	6314.00	6857.50	USD	-13,587.50	
28JUL17	S	1	PD27OCT17	6315.50	6857.50	USD	-13,550.00	
28JUL17	S	1	PD27OCT17	6320.00	6857.50	USD	-13,437.50	
28JUL17	S	1	PD27OCT17	6325.50	6857.50	USD	-13,300.00	
28JUL17	S	10	PD27OCT17	6312.00	6857.50	USD	-136,375.00	
28JUL17	S	2	PD27OCT17	6319.00	6857.50	USD	-26,925.00	
28JUL17	S	1	PD27OCT17	6321.50	6857.50	USD	-13,400.00	
28JUL17	S	4	PD27OCT17	6321.00	6857.50	USD	-53,650.00	
28JUL17	B	5	PD27OCT17	6316.00	6857.50	USD	67,687.50	
28JUL17	B	1	PD27OCT17	6319.50	6857.50	USD	13,450.00	
28JUL17	B	1	PD27OCT17	6322.50	6857.50	USD	13,375.00	
28JUL17	B	1	PD27OCT17	6323.50	6857.50	USD	13,350.00	
28JUL17	S	1	PD27OCT17	6322.50	6857.50	USD	-13,375.00	
28JUL17	B	1	PD27OCT17	6323.00	6857.50	USD	13,362.50	
28JUL17	S	5	PD27OCT17	6332.50	6857.50	USD	-65,625.00	
28JUL17	S	1	PD27OCT17	6323.00	6857.50	USD	-13,362.50	
28JUL17	S	3	PD27OCT17	6324.00	6857.50	USD	-40,012.50	
28JUL17	S	4	PD27OCT17	6324.50	6857.50	USD	-53,300.00	
28JUL17	S	1	PD27OCT17	6332.00	6857.50	USD	-13,137.50	
28JUL17	S	1	PD27OCT17	6334.00	6857.50	USD	-13,087.50	
28JUL17	S	1	PD27OCT17	6335.50	6857.50	USD	-13,050.00	
28JUL17	S	2	PD27OCT17	6337.00	6857.50	USD	-26,025.00	
28JUL17	S	2	PD27OCT17	6338.00	6857.50	USD	-25,975.00	
28JUL17	S	3	PD27OCT17	6339.00	6857.50	USD	-38,887.50	
28JUL17	S	2	PD27OCT17	6333.00	6857.50	USD	-26,225.00	
28JUL17	B	6	PD27OCT17	6325.00	6857.50	USD	79,875.00	
28JUL17	S	1	PD27OCT17	6326.00	6857.50	USD	-13,287.50	
28JUL17	S	3	PD27OCT17	6327.50	6857.50	USD	-39,750.00	
28JUL17	S	2	PD27OCT17	6328.50	6857.50	USD	-26,450.00	
28JUL17	S	3	PD27OCT17	6337.00	6857.50	USD	-39,037.50	
28JUL17	B	3	PD27OCT17	6327.50	6857.50	USD	39,750.00	
28JUL17	B	1	PD27OCT17	6326.00	6857.50	USD	13,287.50	
28JUL17	S	2	PD27OCT17	6335.00	6857.50	USD	-26,125.00	
28JUL17	B	2	PD27OCT17	6326.00	6857.50	USD	26,575.00	
03AUG17	B	8	PD27OCT17	6350.20	6857.50	USD	101,460.00	
08AUG17	B	48	PD27OCT17	6475.45	6857.50	USD	458,460.00	
	NET	0AVG BGHT 6387.88	SOLD 6335.24				-172,405.00	0.00
31JUL17	B	1	PD31OCT17	6380.50	6858.27	USD	11,944.25	
31JUL17	B	2	PD31OCT17	6378.00	6858.27	USD	24,013.50	
31JUL17	S	1	PD31OCT17	6390.50	6858.27	USD	-11,694.25	
31JUL17	S	1	PD31OCT17	6345.50	6858.27	USD	-12,819.25	
31JUL17	B	1	PD31OCT17	6375.50	6858.27	USD	12,069.25	
31JUL17	S	5	PD31OCT17	6384.00	6858.27	USD	-59,283.75	
31JUL17	B	5	PD31OCT17	6373.00	6858.27	USD	60,658.75	
31JUL17	B	1	PD31OCT17	6377.00	6858.27	USD	12,031.75	
31JUL17	B	1	PD31OCT17	6383.50	6858.27	USD	11,869.25	
31JUL17	B	1	PD31OCT17	6377.50	6858.27	USD	12,019.25	
31JUL17	S	2	PD31OCT17	6346.00	6858.27	USD	-25,613.50	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0086C

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
31JUL17	S	1	PD31OCT17	6346.50	6858.27	USD	-12,794.25	
31JUL17	B	1	PD31OCT17	6378.50	6858.27	USD	11,994.25	
31JUL17	B	7	PD31OCT17	6363.00	6858.27	USD	86,672.25	
31JUL17	B	3	PD31OCT17	6382.00	6858.27	USD	35,720.25	
31JUL17	B	3	PD31OCT17	6365.00	6858.27	USD	36,995.25	
31JUL17	S	2	PD31OCT17	6373.50	6858.27	USD	-24,238.50	
31JUL17	B	1	PD31OCT17	6386.50	6858.27	USD	11,794.25	
31JUL17	S	10	PD31OCT17	6368.00	6858.27	USD	-122,567.50	
31JUL17	B	2	PD31OCT17	6376.50	6858.27	USD	24,088.50	
31JUL17	B	3	PD31OCT17	6384.00	6858.27	USD	35,570.25	
31JUL17	B	1	PD31OCT17	6391.00	6858.27	USD	11,681.75	
31JUL17	B	1	PD31OCT17	6394.50	6858.27	USD	11,594.25	
31JUL17	B	1	PD31OCT17	6395.50	6858.27	USD	11,569.25	
31JUL17	B	1	PD31OCT17	6402.00	6858.27	USD	11,406.75	
31JUL17	B	2	PD31OCT17	6401.00	6858.27	USD	22,863.50	
31JUL17	B	1	PD31OCT17	6420.00	6858.27	USD	10,956.75	
31JUL17	B	1	PD31OCT17	6418.50	6858.27	USD	10,994.25	
31JUL17	B	2	PD31OCT17	6418.00	6858.27	USD	22,013.50	
31JUL17	S	1	PD31OCT17	6413.50	6858.27	USD	-11,119.25	
31JUL17	S	2	PD31OCT17	6400.00	6858.27	USD	-22,913.50	
31JUL17	B	6	PD31OCT17	6384.50	6858.27	USD	71,065.50	
31JUL17	B	1	PD31OCT17	6394.00	6858.27	USD	11,606.75	
31JUL17	B	1	PD31OCT17	6383.00	6858.27	USD	11,881.75	
31JUL17	S	1	PD31OCT17	6371.50	6858.27	USD	-12,169.25	
31JUL17	B	5	PD31OCT17	6368.00	6858.27	USD	61,283.75	
31JUL17	B	1	PD31OCT17	6354.00	6858.27	USD	12,606.75	
31JUL17	B	1	PD31OCT17	6352.50	6858.27	USD	12,644.25	
31JUL17	B	1	PD31OCT17	6348.00	6858.27	USD	12,756.75	
31JUL17	B	1	PD31OCT17	6349.00	6858.27	USD	12,731.75	
31JUL17	S	1	PD31OCT17	6378.00	6858.27	USD	-12,006.75	
31JUL17	S	2	PD31OCT17	6375.00	6858.27	USD	-24,163.50	
31JUL17	S	1	PD31OCT17	6375.50	6858.27	USD	-12,069.25	
31JUL17	S	3	PD31OCT17	6377.00	6858.27	USD	-36,095.25	
31JUL17	B	1	PD31OCT17	6365.50	6858.27	USD	12,319.25	
31JUL17	S	2	PD31OCT17	6372.00	6858.27	USD	-24,313.50	
31JUL17	S	2	PD31OCT17	6377.50	6858.27	USD	-24,038.50	
31JUL17	S	2	PD31OCT17	6376.50	6858.27	USD	-24,088.50	
31JUL17	S	1	PD31OCT17	6376.00	6858.27	USD	-12,056.75	
03AUG17	B	2	PD31OCT17	6351.34	6858.27	USD	25,346.50	
07AUG17	S	22	PD31OCT17	6410.94	6858.27	USD	-246,031.50	
07AUG17	S	2	PD31OCT17	6411.14	6858.27	USD	-22,356.50	
23AUG17	B	2	PD31OCT17	6559.00	6858.27	USD	14,963.50	
	NET	0AVG BGHT 6383.44	SOLD 6388.00				7,294.50	0.00
01AUG17	B	1	PD01NOV17	6375.00	6859.05	USD	12,101.25	
01AUG17	S	3	PD01NOV17	6352.00	6859.05	USD	-38,028.75	
01AUG17	S	3	PD01NOV17	6353.00	6859.05	USD	-37,953.75	
01AUG17	S	2	PD01NOV17	6354.50	6859.05	USD	-25,227.50	
01AUG17	B	4	PD01NOV17	6368.00	6859.05	USD	49,105.00	
01AUG17	B	1	PD01NOV17	6379.00	6859.05	USD	12,001.25	
01AUG17	B	1	PD01NOV17	6380.50	6859.05	USD	11,963.75	
01AUG17	B	1	PD01NOV17	6380.00	6859.05	USD	11,976.25	
01AUG17	B	1	PD01NOV17	6372.50	6859.05	USD	12,163.75	
01AUG17	B	3	PD01NOV17	6359.00	6859.05	USD	37,503.75	
01AUG17	B	1	PD01NOV17	6357.50	6859.05	USD	12,538.75	
01AUG17	B	6	PD01NOV17	6360.00	6859.05	USD	74,857.50	
01AUG17	B	1	PD01NOV17	6344.00	6859.05	USD	12,876.25	
01AUG17	B	1	PD01NOV17	6343.50	6859.05	USD	12,888.75	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
01AUG17	B	1	PD01NOV17	6341.00	6859.05	USD	12,951.25	
01AUG17	B	1	PD01NOV17	6351.00	6859.05	USD	12,701.25	
01AUG17	B	1	PD01NOV17	6350.50	6859.05	USD	12,713.75	
01AUG17	B	1	PD01NOV17	6354.00	6859.05	USD	12,626.25	
01AUG17	B	2	PD01NOV17	6352.50	6859.05	USD	25,327.50	
01AUG17	B	1	PD01NOV17	6349.00	6859.05	USD	12,751.25	
01AUG17	S	1	PD01NOV17	6331.00	6859.05	USD	-13,201.25	
01AUG17	S	1	PD01NOV17	6326.00	6859.05	USD	-13,326.25	
01AUG17	S	1	PD01NOV17	6336.50	6859.05	USD	-13,063.75	
01AUG17	S	1	PD01NOV17	6341.00	6859.05	USD	-12,951.25	
01AUG17	S	4	PD01NOV17	6340.50	6859.05	USD	-51,855.00	
01AUG17	S	1	PD01NOV17	6342.00	6859.05	USD	-12,926.25	
01AUG17	S	2	PD01NOV17	6342.50	6859.05	USD	-25,827.50	
01AUG17	S	1	PD01NOV17	6345.00	6859.05	USD	-12,851.25	
01AUG17	S	1	PD01NOV17	6345.50	6859.05	USD	-12,838.75	
01AUG17	S	3	PD01NOV17	6341.50	6859.05	USD	-38,816.25	
01AUG17	S	2	PD01NOV17	6344.00	6859.05	USD	-25,752.50	
01AUG17	S	3	PD01NOV17	6344.50	6859.05	USD	-38,591.25	
01AUG17	S	1	PD01NOV17	6350.50	6859.05	USD	-12,713.75	
07AUG17	S	2	PD01NOV17	6411.55	6859.05	USD	-22,375.00	
23AUG17	B	4	PD01NOV17	6559.50	6859.05	USD	29,955.00	
	NET	0 AVG BGHT 6385.30	SOLD 6348.68				-29,297.50	0.00
02AUG17	S	1	PD02NOV17	6329.00	6859.82	USD	-13,270.50	
02AUG17	S	1	PD02NOV17	6326.00	6859.82	USD	-13,345.50	
02AUG17	S	1	PD02NOV17	6318.50	6859.82	USD	-13,533.00	
02AUG17	S	1	PD02NOV17	6316.00	6859.82	USD	-13,595.50	
02AUG17	S	1	PD02NOV17	6313.00	6859.82	USD	-13,670.50	
02AUG17	S	2	PD02NOV17	6314.50	6859.82	USD	-27,266.00	
02AUG17	B	1	PD02NOV17	6314.00	6859.82	USD	13,645.50	
02AUG17	B	2	PD02NOV17	6310.50	6859.82	USD	27,466.00	
02AUG17	B	2	PD02NOV17	6325.00	6859.82	USD	26,741.00	
02AUG17	B	1	PD02NOV17	6327.50	6859.82	USD	13,308.00	
02AUG17	B	1	PD02NOV17	6329.00	6859.82	USD	13,270.50	
02AUG17	B	3	PD02NOV17	6327.00	6859.82	USD	39,961.50	
02AUG17	B	1	PD02NOV17	6326.00	6859.82	USD	13,345.50	
02AUG17	B	2	PD02NOV17	6342.50	6859.82	USD	25,866.00	
02AUG17	B	1	PD02NOV17	6341.00	6859.82	USD	12,970.50	
02AUG17	B	1	PD02NOV17	6340.50	6859.82	USD	12,983.00	
02AUG17	B	1	PD02NOV17	6343.00	6859.82	USD	12,920.50	
02AUG17	B	1	PD02NOV17	6339.50	6859.82	USD	13,008.00	
02AUG17	B	2	PD02NOV17	6338.50	6859.82	USD	26,066.00	
02AUG17	S	1	PD02NOV17	6335.50	6859.82	USD	-13,108.00	
02AUG17	S	1	PD02NOV17	6337.00	6859.82	USD	-13,070.50	
02AUG17	S	1	PD02NOV17	6336.00	6859.82	USD	-13,095.50	
02AUG17	S	1	PD02NOV17	6336.50	6859.82	USD	-13,083.00	
02AUG17	B	1	PD02NOV17	6342.00	6859.82	USD	12,945.50	
02AUG17	S	1	PD02NOV17	6352.50	6859.82	USD	-12,683.00	
02AUG17	S	2	PD02NOV17	6352.00	6859.82	USD	-25,391.00	
02AUG17	S	1	PD02NOV17	6353.00	6859.82	USD	-12,670.50	
07AUG17	S	5	PD02NOV17	6411.95	6859.82	USD	-55,983.75	
	NET	0 AVG BGHT 6330.83	SOLD 6352.29				10,731.25	0.00
03AUG17	S	3	PD03NOV17	6341.00	6860.59	USD	-38,969.25	
03AUG17	S	1	PD03NOV17	6358.00	6860.59	USD	-12,564.75	
03AUG17	S	1	PD03NOV17	6359.50	6860.59	USD	-12,527.25	
03AUG17	S	1	PD03NOV17	6364.50	6860.59	USD	-12,402.25	
03AUG17	S	1	PD03NOV17	6365.50	6860.59	USD	-12,377.25	
03AUG17	S	2	PD03NOV17	6366.50	6860.59	USD	-24,704.50	

OPEN POSITION STATEMENT



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03AUG17	S	1	PD03NOV17	6365.00	6860.59	USD	-12,389.75	
03AUG17	B	1	PD03NOV17	6364.00	6860.59	USD	12,414.75	
03AUG17	B	1	PD03NOV17	6371.50	6860.59	USD	12,227.25	
03AUG17	B	1	PD03NOV17	6370.00	6860.59	USD	12,264.75	
03AUG17	B	1	PD03NOV17	6374.00	6860.59	USD	12,164.75	
03AUG17	S	1	PD03NOV17	6338.00	6860.59	USD	-13,064.75	
03AUG17	S	2	PD03NOV17	6337.50	6860.59	USD	-26,154.50	
03AUG17	B	3	PD03NOV17	6336.00	6860.59	USD	39,344.25	
03AUG17	B	1	PD03NOV17	6337.50	6860.59	USD	13,077.25	
03AUG17	B	2	PD03NOV17	6337.00	6860.59	USD	26,179.50	
03AUG17	B	6	PD03NOV17	6336.50	6860.59	USD	78,613.50	
03AUG17	S	1	PD03NOV17	6307.50	6860.59	USD	-13,827.25	
03AUG17	S	1	PD03NOV17	6308.00	6860.59	USD	-13,814.75	
03AUG17	S	1	PD03NOV17	6340.00	6860.59	USD	-13,014.75	
03AUG17	S	1	PD03NOV17	6340.50	6860.59	USD	-13,002.25	
03AUG17	S	2	PD03NOV17	6334.50	6860.59	USD	-26,304.50	
03AUG17	S	2	PD03NOV17	6337.00	6860.59	USD	-26,179.50	
03AUG17	S	3	PD03NOV17	6335.50	6860.59	USD	-39,381.75	
03AUG17	S	2	PD03NOV17	6336.00	6860.59	USD	-26,229.50	
03AUG17	S	1	PD03NOV17	6333.50	6860.59	USD	-13,177.25	
03AUG17	S	2	PD03NOV17	6335.00	6860.59	USD	-26,279.50	
03AUG17	S	1	PD03NOV17	6343.50	6860.59	USD	-12,927.25	
03AUG17	S	1	PD03NOV17	6344.50	6860.59	USD	-12,902.25	
03AUG17	S	2	PD03NOV17	6341.50	6860.59	USD	-25,954.50	
03AUG17	S	2	PD03NOV17	6342.00	6860.59	USD	-25,929.50	
03AUG17	S	1	PD03NOV17	6340.00	6860.59	USD	-13,014.75	
03AUG17	S	1	PD03NOV17	6338.00	6860.59	USD	-13,064.75	
03AUG17	B	1	PD03NOV17	6349.50	6860.59	USD	12,777.25	
03AUG17	B	1	PD03NOV17	6350.00	6860.59	USD	12,764.75	
04AUG17	B	1	PD03NOV17	6363.50	6860.59	USD	12,427.25	
04AUG17	B	2	PD03NOV17	6361.50	6860.59	USD	24,954.50	
04AUG17	B	2	PD03NOV17	6362.50	6860.59	USD	24,904.50	
04AUG17	B	5	PD03NOV17	6362.00	6860.59	USD	62,323.75	
04AUG17	B	1	PD03NOV17	6369.50	6860.59	USD	12,277.25	
04AUG17	B	1	PD03NOV17	6370.50	6860.59	USD	12,252.25	
04AUG17	B	2	PD03NOV17	6370.00	6860.59	USD	24,529.50	
04AUG17	B	1	PD03NOV17	6369.00	6860.59	USD	12,289.75	
04AUG17	B	2	PD03NOV17	6364.50	6860.59	USD	24,804.50	
04AUG17	S	1	PD03NOV17	6367.00	6860.59	USD	-12,339.75	
04AUG17	B	1	PD03NOV17	6373.50	6860.59	USD	12,177.25	
04AUG17	S	1	PD03NOV17	6361.50	6860.59	USD	-12,477.25	
04AUG17	B	1	PD03NOV17	6374.50	6860.59	USD	12,152.25	
04AUG17	B	3	PD03NOV17	6380.00	6860.59	USD	36,044.25	
04AUG17	S	1	PD03NOV17	6347.50	6860.59	USD	-12,827.25	
04AUG17	S	1	PD03NOV17	6345.00	6860.59	USD	-12,889.75	
04AUG17	S	2	PD03NOV17	6346.50	6860.59	USD	-25,704.50	
04AUG17	S	1	PD03NOV17	6345.50	6860.59	USD	-12,877.25	
04AUG17	S	2	PD03NOV17	6347.00	6860.59	USD	-25,679.50	
04AUG17	S	1	PD03NOV17	6348.00	6860.59	USD	-12,814.75	
04AUG17	S	1	PD03NOV17	6342.00	6860.59	USD	-12,964.75	
04AUG17	B	1	PD03NOV17	6326.00	6860.59	USD	13,364.75	
04AUG17	B	1	PD03NOV17	6323.00	6860.59	USD	13,439.75	
04AUG17	B	1	PD03NOV17	6322.50	6860.59	USD	13,452.25	
04AUG17	B	1	PD03NOV17	6320.00	6860.59	USD	13,514.75	
04AUG17	B	3	PD03NOV17	6326.50	6860.59	USD	40,056.75	
04AUG17	S	1	PD03NOV17	6331.00	6860.59	USD	-13,239.75	
04AUG17	S	1	PD03NOV17	6334.00	6860.59	USD	-13,164.75	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
04AUG17	S	1	PD03NOV17	6335.50	6860.59	USD	-13,127.25	
04AUG17	S	2	PD03NOV17	6334.50	6860.59	USD	-26,304.50	
04AUG17	B	1	PD03NOV17	6321.00	6860.59	USD	13,489.75	
07AUG17	B	9	PD03NOV17	6412.56	6860.59	USD	100,806.75	
22AUG17	S	4	PD03NOV17	6575.65	6860.59	USD	-28,494.00	
	NET	0 AVG BGHT 6361.58	SOLD 6358.79				-3,973.50	0.00
10AUG17	B	1	PD10NOV17	6463.50	6866.00	USD	10,062.50	
10AUG17	B	1	PD10NOV17	6425.50	6866.00	USD	11,012.50	
10AUG17	S	1	PD10NOV17	6405.50	6866.00	USD	-11,512.50	
10AUG17	S	1	PD10NOV17	6415.00	6866.00	USD	-11,275.00	
11AUG17	S	1	PD10NOV17	6367.50	6866.00	USD	-12,462.50	
11AUG17	S	2	PD10NOV17	6365.50	6866.00	USD	-25,025.00	
11AUG17	S	1	PD10NOV17	6360.00	6866.00	USD	-12,650.00	
11AUG17	S	1	PD10NOV17	6342.00	6866.00	USD	-13,100.00	
11AUG17	S	1	PD10NOV17	6349.50	6866.00	USD	-12,912.50	
11AUG17	S	1	PD10NOV17	6406.00	6866.00	USD	-11,500.00	
11AUG17	S	1	PD10NOV17	6430.50	6866.00	USD	-10,887.50	
18AUG17	B	4	PD10NOV17	6486.75	6866.00	USD	37,925.00	
22AUG17	B	4	PD10NOV17	6579.45	6866.00	USD	28,655.00	
	NET	0 AVG BGHT 6515.38	SOLD 6380.70				-33,670.00	0.00
14AUG17	S	1	PD14NOV17	6416.50	6864.67	USD	-11,204.25	
14AUG17	S	1	PD14NOV17	6403.50	6864.67	USD	-11,529.25	
18AUG17	B	2	PD14NOV17	6488.00	6864.67	USD	18,833.50	
	NET	0 AVG BGHT 6488.00	SOLD 6410.00				-3,900.00	0.00
15AUG17	B	1	PD15NOV17	6420.00	6864.00	USD	11,100.00	
15AUG17	S	1	PD15NOV17	6384.00	6864.00	USD	-12,000.00	
	NET	0 AVG BGHT 6420.00	SOLD 6384.00				-900.00	0.00
16AUG17	B	1	PD16NOV17	6383.50	6863.00	USD	11,987.50	
16AUG17	B	1	PD16NOV17	6516.00	6863.00	USD	8,675.00	
16AUG17	S	1	PD16NOV17	6541.00	6863.00	USD	-8,050.00	
16AUG17	S	1	PD16NOV17	6546.50	6863.00	USD	-7,912.50	
16AUG17	S	1	PD16NOV17	6479.00	6863.00	USD	-9,600.00	
16AUG17	B	1	PD16NOV17	6544.00	6863.00	USD	7,975.00	
	NET	0 AVG BGHT 6481.17	SOLD 6522.17				3,075.00	0.00
17AUG17	B	1	PD17NOV17	6553.50	6863.47	USD	7,749.25	
17AUG17	B	1	PD17NOV17	6569.00	6863.47	USD	7,361.75	
17AUG17	B	1	PD17NOV17	6579.50	6863.47	USD	7,099.25	
17AUG17	B	1	PD17NOV17	6481.50	6863.47	USD	9,549.25	
17AUG17	B	1	PD17NOV17	6484.50	6863.47	USD	9,474.25	
17AUG17	B	1	PD17NOV17	6485.50	6863.47	USD	9,449.25	
17AUG17	B	1	PD17NOV17	6475.50	6863.47	USD	9,699.25	
18AUG17	B	9	PD17NOV17	6496.00	6863.47	USD	82,680.75	
18AUG17	B	4	PD17NOV17	6508.00	6863.47	USD	35,547.00	
18AUG17	B	4	PD17NOV17	6501.50	6863.47	USD	36,197.00	
18AUG17	B	3	PD17NOV17	6505.50	6863.47	USD	26,847.75	
18AUG17	S	4	PD17NOV17	6505.00	6863.47	USD	-35,847.00	
18AUG17	B	1	PD17NOV17	6505.50	6863.47	USD	8,949.25	
18AUG17	B	3	PD17NOV17	6510.00	6863.47	USD	26,510.25	
18AUG17	B	4	PD17NOV17	6520.00	6863.47	USD	34,347.00	
18AUG17	B	3	PD17NOV17	6524.00	6863.47	USD	25,460.25	
18AUG17	B	4	PD17NOV17	6530.00	6863.47	USD	33,347.00	
18AUG17	B	4	PD17NOV17	6527.50	6863.47	USD	33,597.00	
18AUG17	B	1	PD17NOV17	6510.00	6863.47	USD	8,836.75	
18AUG17	B	1	PD17NOV17	6524.00	6863.47	USD	8,486.75	
18AUG17	S	7	PD17NOV17	6485.80	6863.47	USD	-66,092.25	
23AUG17	S	37	PD17NOV17	6563.49	6863.47	USD	-277,481.50	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0086C

13th October 2017

Sapien Capital Limited
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+44 (0) 20 7340 9081
backoffice@sapiencap.com

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	NET	0 AVG BGHT 6512.48	SOLD 6547.29				41,768.25	0.00
	<u>LME LEAD 25 TONNES. THIS IS AN</u>							
	<u>LME REGISTERED CLIENT CONTRACT</u>							
17JUL17	B	2	PD17OCT17	2315.50	2518.25	USD	10,137.50	
17JUL17	S	1	PD17OCT17	2319.50	2518.25	USD	-4,968.75	
17JUL17	S	1	PD17OCT17	2320.50	2518.25	USD	-4,943.75	
17JUL17	S	1	PD17OCT17	2320.00	2518.25	USD	-4,956.25	
17JUL17	S	2	PD17OCT17	2318.50	2518.25	USD	-9,987.50	
17JUL17	S	4	PD17OCT17	2321.00	2518.25	USD	-19,725.00	
17JUL17	S	2	PD17OCT17	2321.50	2518.25	USD	-9,837.50	
17JUL17	S	2	PD17OCT17	2325.50	2518.25	USD	-9,637.50	
17JUL17	S	1	PD17OCT17	2325.00	2518.25	USD	-4,831.25	
17JUL17	S	1	PD17OCT17	2322.00	2518.25	USD	-4,906.25	
17JUL17	B	3	PD17OCT17	2316.50	2518.25	USD	15,131.25	
17JUL17	B	2	PD17OCT17	2316.00	2518.25	USD	10,112.50	
17JUL17	B	2	PD17OCT17	2318.00	2518.25	USD	10,012.50	
17JUL17	B	1	PD17OCT17	2320.50	2518.25	USD	4,943.75	
17JUL17	B	3	PD17OCT17	2321.00	2518.25	USD	14,793.75	
17JUL17	B	3	PD17OCT17	2321.50	2518.25	USD	14,756.25	
17JUL17	B	4	PD17OCT17	2322.00	2518.25	USD	19,625.00	
17JUL17	B	1	PD17OCT17	2319.00	2518.25	USD	4,981.25	
17JUL17	B	3	PD17OCT17	2301.00	2518.25	USD	16,293.75	
17JUL17	B	1	PD17OCT17	2299.00	2518.25	USD	5,481.25	
17JUL17	B	1	PD17OCT17	2297.00	2518.25	USD	5,531.25	
17JUL17	B	1	PD17OCT17	2289.50	2518.25	USD	5,718.75	
17JUL17	S	1	PD17OCT17	2294.50	2518.25	USD	-5,593.75	
17JUL17	S	2	PD17OCT17	2294.00	2518.25	USD	-11,212.50	
17JUL17	S	1	PD17OCT17	2295.00	2518.25	USD	-5,581.25	
17JUL17	B	1	PD17OCT17	2298.50	2518.25	USD	5,493.75	
17JUL17	S	1	PD17OCT17	2297.50	2518.25	USD	-5,518.75	
17JUL17	S	1	PD17OCT17	2298.50	2518.25	USD	-5,493.75	
17JUL17	S	1	PD17OCT17	2293.50	2518.25	USD	-5,618.75	
18JUL17	S	6	PD17OCT17	2274.63	2518.25	USD	-36,543.00	
	NET	0 AVG BGHT 2313.95	SOLD 2304.89				-6,343.00	0.00
18JUL17	S	1	PD18OCT17	2297.00	2518.75	USD	-5,543.75	
18JUL17	S	3	PD18OCT17	2296.00	2518.75	USD	-16,706.25	
18JUL17	B	1	PD18OCT17	2303.50	2518.75	USD	5,381.25	
18JUL17	B	3	PD18OCT17	2304.00	2518.75	USD	16,106.25	
18JUL17	B	1	PD18OCT17	2305.50	2518.75	USD	5,331.25	
18JUL17	B	2	PD18OCT17	2306.50	2518.75	USD	10,612.50	
18JUL17	B	1	PD18OCT17	2307.00	2518.75	USD	5,293.75	
18JUL17	S	1	PD18OCT17	2303.00	2518.75	USD	-5,393.75	
18JUL17	S	2	PD18OCT17	2301.50	2518.75	USD	-10,862.50	
18JUL17	S	1	PD18OCT17	2304.00	2518.75	USD	-5,368.75	
18JUL17	S	4	PD18OCT17	2303.50	2518.75	USD	-21,525.00	
18JUL17	B	1	PD18OCT17	2273.00	2518.75	USD	6,143.75	
18JUL17	B	2	PD18OCT17	2272.50	2518.75	USD	12,312.50	
18JUL17	B	1	PD18OCT17	2270.00	2518.75	USD	6,218.75	
18JUL17	B	1	PD18OCT17	2269.50	2518.75	USD	6,231.25	
18JUL17	B	1	PD18OCT17	2275.00	2518.75	USD	6,093.75	
18JUL17	B	3	PD18OCT17	2274.50	2518.75	USD	18,318.75	
18JUL17	B	5	PD18OCT17	2270.50	2518.75	USD	31,031.25	
18JUL17	B	4	PD18OCT17	2272.00	2518.75	USD	24,675.00	
18JUL17	B	4	PD18OCT17	2265.00	2518.75	USD	25,375.00	
18JUL17	B	4	PD18OCT17	2266.50	2518.75	USD	25,225.00	
18JUL17	B	1	PD18OCT17	2272.00	2518.75	USD	6,168.75	
18JUL17	B	1	PD18OCT17	2272.50	2518.75	USD	6,156.25	
18JUL17	S	2	PD18OCT17	2276.00	2518.75	USD	-12,137.50	
18JUL17	S	3	PD18OCT17	2275.00	2518.75	USD	-18,281.25	

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	<u>LME LEAD 25 TONNES. THIS IS AN</u>							
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24JUL17	S	19	PD18OCT17	2259.05	2518.75	USD	-123,357.50	
	NET	0 AVG BGHT 2278.00	SOLD 2275.22				-2,501.25	0.00
19JUL17	S	4	PD19OCT17	2275.00	2518.90	USD	-24,390.00	
19JUL17	S	2	PD19OCT17	2263.50	2518.90	USD	-12,770.00	
19JUL17	S	1	PD19OCT17	2263.00	2518.90	USD	-6,397.50	
19JUL17	B	2	PD19OCT17	2267.00	2518.90	USD	12,595.00	
19JUL17	B	2	PD19OCT17	2266.50	2518.90	USD	12,620.00	
19JUL17	B	1	PD19OCT17	2266.00	2518.90	USD	6,322.50	
19JUL17	B	3	PD19OCT17	2262.00	2518.90	USD	19,267.50	
19JUL17	B	1	PD19OCT17	2268.00	2518.90	USD	6,272.50	
19JUL17	B	8	PD19OCT17	2267.50	2518.90	USD	50,280.00	
19JUL17	B	4	PD19OCT17	2271.50	2518.90	USD	24,740.00	
19JUL17	S	1	PD19OCT17	2273.00	2518.90	USD	-6,147.50	
19JUL17	S	6	PD19OCT17	2272.50	2518.90	USD	-36,960.00	
19JUL17	S	1	PD19OCT17	2264.00	2518.90	USD	-6,372.50	
19JUL17	S	1	PD19OCT17	2274.00	2518.90	USD	-6,122.50	
19JUL17	B	1	PD19OCT17	2246.50	2518.90	USD	6,810.00	
19JUL17	B	3	PD19OCT17	2245.50	2518.90	USD	20,505.00	
19JUL17	B	6	PD19OCT17	2246.00	2518.90	USD	40,935.00	
19JUL17	B	1	PD19OCT17	2243.00	2518.90	USD	6,897.50	
19JUL17	B	1	PD19OCT17	2237.50	2518.90	USD	7,035.00	
19JUL17	B	3	PD19OCT17	2238.00	2518.90	USD	21,067.50	
19JUL17	B	2	PD19OCT17	2230.50	2518.90	USD	14,420.00	
19JUL17	B	1	PD19OCT17	2228.00	2518.90	USD	7,272.50	
19JUL17	B	1	PD19OCT17	2228.50	2518.90	USD	7,260.00	
19JUL17	B	1	PD19OCT17	2226.50	2518.90	USD	7,310.00	
19JUL17	B	1	PD19OCT17	2226.00	2518.90	USD	7,322.50	
19JUL17	B	1	PD19OCT17	2245.50	2518.90	USD	6,835.00	
24JUL17	S	26	PD19OCT17	2259.18	2518.90	USD	-168,818.00	
24JUL17	S	1	PD19OCT17	2259.38	2518.90	USD	-6,488.00	
	NET	0 AVG BGHT 2253.07	SOLD 2263.58				11,301.50	0.00
20JUL17	S	1	PD20OCT17	2230.00	2519.05	USD	-7,226.25	
20JUL17	S	1	PD20OCT17	2229.50	2519.05	USD	-7,238.75	
20JUL17	B	1	PD20OCT17	2228.00	2519.05	USD	7,276.25	
20JUL17	B	1	PD20OCT17	2223.00	2519.05	USD	7,401.25	
20JUL17	B	1	PD20OCT17	2222.00	2519.05	USD	7,426.25	
20JUL17	B	1	PD20OCT17	2226.00	2519.05	USD	7,326.25	
20JUL17	S	1	PD20OCT17	2226.00	2519.05	USD	-7,326.25	
20JUL17	B	1	PD20OCT17	2214.50	2519.05	USD	7,613.75	
20JUL17	B	2	PD20OCT17	2213.50	2519.05	USD	15,277.50	
21JUL17	S	2	PD20OCT17	2244.00	2519.05	USD	-13,752.50	
21JUL17	B	2	PD20OCT17	2236.00	2519.05	USD	14,152.50	
21JUL17	B	1	PD20OCT17	2235.50	2519.05	USD	7,088.75	
21JUL17	B	2	PD20OCT17	2237.00	2519.05	USD	14,102.50	
21JUL17	B	1	PD20OCT17	2242.50	2519.05	USD	6,913.75	
21JUL17	S	2	PD20OCT17	2249.50	2519.05	USD	-13,477.50	
21JUL17	S	2	PD20OCT17	2234.50	2519.05	USD	-14,227.50	
21JUL17	S	1	PD20OCT17	2234.00	2519.05	USD	-7,126.25	
21JUL17	S	1	PD20OCT17	2240.50	2519.05	USD	-6,963.75	
21JUL17	S	2	PD20OCT17	2241.00	2519.05	USD	-13,902.50	
21JUL17	S	1	PD20OCT17	2240.00	2519.05	USD	-6,976.25	
21JUL17	S	3	PD20OCT17	2239.50	2519.05	USD	-20,966.25	
21JUL17	S	1	PD20OCT17	2234.50	2519.05	USD	-7,113.75	
24JUL17	B	1	PD20OCT17	2259.70	2519.05	USD	6,483.75	
07AUG17	B	4	PD20OCT17	2356.75	2519.05	USD	16,230.00	
	NET	0 AVG BGHT 2258.40	SOLD 2238.39				-9,005.00	0.00

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	<u>LME LEAD 25 TONNES. THIS IS AN</u>							
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24JUL17	S	1	PD240CT17	2256.50	2519.65	USD	-6,578.75	
24JUL17	B	1	PD240CT17	2250.00	2519.65	USD	6,741.25	
24JUL17	S	1	PD240CT17	2257.50	2519.65	USD	-6,553.75	
24JUL17	S	1	PD240CT17	2247.00	2519.65	USD	-6,816.25	
24JUL17	S	3	PD240CT17	2246.50	2519.65	USD	-20,486.25	
24JUL17	B	1	PD240CT17	2245.50	2519.65	USD	6,853.75	
07AUG17	B	4	PD240CT17	2358.35	2519.65	USD	16,130.00	
	NET	0 AVG BGHT 2321.48	SOLD 2250.08				-10,710.00	0.00
25JUL17	S	1	PD250CT17	2259.00	2519.80	USD	-6,520.00	
25JUL17	S	1	PD250CT17	2261.00	2519.80	USD	-6,470.00	
25JUL17	S	2	PD250CT17	2261.50	2519.80	USD	-12,915.00	
25JUL17	S	1	PD250CT17	2270.50	2519.80	USD	-6,232.50	
25JUL17	S	3	PD250CT17	2271.00	2519.80	USD	-18,660.00	
25JUL17	B	3	PD250CT17	2274.00	2519.80	USD	18,435.00	
25JUL17	B	1	PD250CT17	2273.00	2519.80	USD	6,170.00	
25JUL17	S	1	PD250CT17	2272.50	2519.80	USD	-6,182.50	
25JUL17	S	3	PD250CT17	2281.00	2519.80	USD	-17,910.00	
25JUL17	B	1	PD250CT17	2295.00	2519.80	USD	5,620.00	
25JUL17	B	3	PD250CT17	2294.50	2519.80	USD	16,897.50	
25JUL17	S	2	PD250CT17	2298.50	2519.80	USD	-11,065.00	
25JUL17	S	2	PD250CT17	2298.00	2519.80	USD	-11,090.00	
25JUL17	S	2	PD250CT17	2300.50	2519.80	USD	-10,965.00	
25JUL17	S	3	PD250CT17	2301.00	2519.80	USD	-16,410.00	
25JUL17	S	3	PD250CT17	2303.00	2519.80	USD	-16,260.00	
25JUL17	B	1	PD250CT17	2293.50	2519.80	USD	5,657.50	
25JUL17	B	1	PD250CT17	2292.00	2519.80	USD	5,695.00	
25JUL17	B	6	PD250CT17	2292.50	2519.80	USD	34,095.00	
25JUL17	S	4	PD250CT17	2299.00	2519.80	USD	-22,080.00	
25JUL17	S	2	PD250CT17	2306.00	2519.80	USD	-10,690.00	
25JUL17	S	2	PD250CT17	2307.00	2519.80	USD	-10,640.00	
25JUL17	S	3	PD250CT17	2318.00	2519.80	USD	-15,135.00	
25JUL17	S	1	PD250CT17	2315.00	2519.80	USD	-5,120.00	
07AUG17	B	20	PD250CT17	2358.75	2519.80	USD	80,525.00	
	NET	0 AVG BGHT 2327.47	SOLD 2292.75				-31,250.00	0.00
26JUL17	S	2	PD260CT17	2321.50	2519.95	USD	-9,922.50	
26JUL17	S	1	PD260CT17	2309.00	2519.95	USD	-5,273.75	
26JUL17	S	1	PD260CT17	2308.00	2519.95	USD	-5,298.75	
26JUL17	B	4	PD260CT17	2318.00	2519.95	USD	20,195.00	
26JUL17	S	1	PD260CT17	2338.00	2519.95	USD	-4,548.75	
26JUL17	B	5	PD260CT17	2316.00	2519.95	USD	25,493.75	
26JUL17	B	1	PD260CT17	2346.00	2519.95	USD	4,348.75	
26JUL17	B	1	PD260CT17	2316.00	2519.95	USD	5,098.75	
26JUL17	B	2	PD260CT17	2321.50	2519.95	USD	9,922.50	
26JUL17	B	3	PD260CT17	2321.00	2519.95	USD	14,921.25	
26JUL17	S	1	PD260CT17	2327.00	2519.95	USD	-4,823.75	
26JUL17	S	1	PD260CT17	2326.50	2519.95	USD	-4,836.25	
26JUL17	S	1	PD260CT17	2326.00	2519.95	USD	-4,848.75	
26JUL17	S	2	PD260CT17	2325.50	2519.95	USD	-9,722.50	
26JUL17	S	2	PD260CT17	2324.50	2519.95	USD	-9,772.50	
26JUL17	S	5	PD260CT17	2325.00	2519.95	USD	-24,368.75	
26JUL17	B	1	PD260CT17	2326.50	2519.95	USD	4,836.25	
26JUL17	B	3	PD260CT17	2326.00	2519.95	USD	14,546.25	
26JUL17	B	1	PD260CT17	2324.00	2519.95	USD	4,898.75	
26JUL17	B	3	PD260CT17	2324.50	2519.95	USD	14,658.75	
26JUL17	B	3	PD260CT17	2323.50	2519.95	USD	14,733.75	
26JUL17	B	4	PD260CT17	2322.00	2519.95	USD	19,795.00	
26JUL17	B	1	PD260CT17	2322.50	2519.95	USD	4,936.25	

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26JUL17	B	2	PD260CT17	2315.50	2519.95	USD	10,222.50	
26JUL17	B	3	PD260CT17	2314.50	2519.95	USD	15,408.75	
26JUL17	B	3	PD260CT17	2314.00	2519.95	USD	15,446.25	
26JUL17	B	2	PD260CT17	2312.50	2519.95	USD	10,372.50	
26JUL17	B	3	PD260CT17	2311.50	2519.95	USD	15,633.75	
26JUL17	B	2	PD260CT17	2311.00	2519.95	USD	10,447.50	
26JUL17	B	2	PD260CT17	2312.00	2519.95	USD	10,397.50	
26JUL17	B	1	PD260CT17	2323.00	2519.95	USD	4,923.75	
07AUG17	S	28	PD260CT17	2358.72	2519.95	USD	-112,861.00	
07AUG17	S	5	PD260CT17	2358.92	2519.95	USD	-20,128.75	
	NET	0AVG BGHT 2318.96	SOLD 2346.83				34,831.50	0.00
27JUL17	B	2	PD270CT17	2319.50	2520.10	USD	10,030.00	
27JUL17	B	1	PD270CT17	2318.50	2520.10	USD	5,040.00	
27JUL17	B	1	PD270CT17	2321.00	2520.10	USD	4,977.50	
27JUL17	B	1	PD270CT17	2322.00	2520.10	USD	4,952.50	
27JUL17	B	5	PD270CT17	2321.50	2520.10	USD	24,825.00	
27JUL17	B	1	PD270CT17	2323.50	2520.10	USD	4,915.00	
27JUL17	B	1	PD270CT17	2323.00	2520.10	USD	4,927.50	
27JUL17	B	1	PD270CT17	2324.50	2520.10	USD	4,890.00	
27JUL17	B	1	PD270CT17	2324.00	2520.10	USD	4,902.50	
27JUL17	B	1	PD270CT17	2327.00	2520.10	USD	4,827.50	
27JUL17	B	1	PD270CT17	2329.50	2520.10	USD	4,765.00	
27JUL17	B	2	PD270CT17	2329.00	2520.10	USD	9,555.00	
27JUL17	S	4	PD270CT17	2323.00	2520.10	USD	-19,710.00	
27JUL17	B	6	PD270CT17	2328.50	2520.10	USD	28,740.00	
27JUL17	B	1	PD270CT17	2331.00	2520.10	USD	4,727.50	
27JUL17	B	2	PD270CT17	2330.50	2520.10	USD	9,480.00	
27JUL17	S	2	PD270CT17	2329.00	2520.10	USD	-9,555.00	
27JUL17	B	1	PD270CT17	2316.50	2520.10	USD	5,090.00	
27JUL17	B	2	PD270CT17	2316.00	2520.10	USD	10,205.00	
27JUL17	B	2	PD270CT17	2315.50	2520.10	USD	10,230.00	
27JUL17	S	1	PD270CT17	2321.00	2520.10	USD	-4,977.50	
27JUL17	B	1	PD270CT17	2302.50	2520.10	USD	5,440.00	
27JUL17	B	1	PD270CT17	2303.00	2520.10	USD	5,427.50	
27JUL17	B	4	PD270CT17	2304.00	2520.10	USD	21,610.00	
28JUL17	B	1	PD270CT17	2318.50	2520.10	USD	5,040.00	
28JUL17	S	1	PD270CT17	2308.50	2520.10	USD	-5,290.00	
28JUL17	B	1	PD270CT17	2301.00	2520.10	USD	5,477.50	
28JUL17	S	1	PD270CT17	2296.00	2520.10	USD	-5,602.50	
28JUL17	S	1	PD270CT17	2295.50	2520.10	USD	-5,615.00	
28JUL17	S	2	PD270CT17	2292.00	2520.10	USD	-11,405.00	
28JUL17	S	5	PD270CT17	2301.00	2520.10	USD	-27,387.50	
28JUL17	S	2	PD270CT17	2302.50	2520.10	USD	-10,880.00	
28JUL17	S	1	PD270CT17	2303.00	2520.10	USD	-5,427.50	
28JUL17	S	1	PD270CT17	2291.00	2520.10	USD	-5,727.50	
28JUL17	S	1	PD270CT17	2292.50	2520.10	USD	-5,690.00	
28JUL17	S	1	PD270CT17	2292.00	2520.10	USD	-5,702.50	
28JUL17	B	1	PD270CT17	2322.50	2520.10	USD	4,940.00	
28JUL17	B	1	PD270CT17	2322.00	2520.10	USD	4,952.50	
28JUL17	B	1	PD270CT17	2321.50	2520.10	USD	4,965.00	
28JUL17	B	1	PD270CT17	2315.50	2520.10	USD	5,115.00	
28JUL17	B	5	PD270CT17	2315.00	2520.10	USD	25,637.50	
28JUL17	S	1	PD270CT17	2324.50	2520.10	USD	-4,890.00	
28JUL17	S	1	PD270CT17	2324.00	2520.10	USD	-4,902.50	
28JUL17	B	1	PD270CT17	2319.00	2520.10	USD	5,027.50	
28JUL17	B	1	PD270CT17	2320.00	2520.10	USD	5,002.50	
28JUL17	B	1	PD270CT17	2321.00	2520.10	USD	4,977.50	

OPEN POSITION STATEMENT



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SAP0086C

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

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	<u>LME LEAD 25 TONNES. THIS IS AN</u>							
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28JUL17	B	1	PD27OCT17	2317.00	2520.10	USD	5,077.50	
28JUL17	B	3	PD27OCT17	2317.50	2520.10	USD	15,195.00	
07AUG17	S	31	PD27OCT17	2359.10	2520.10	USD	-124,775.00	
	NET	0 AVG BGHT 2319.41	SOLD 2336.14				23,427.50	0.00
31JUL17	B	1	PD31OCT17	2329.00	2520.70	USD	4,792.50	
31JUL17	S	2	PD31OCT17	2336.50	2520.70	USD	-9,210.00	
31JUL17	B	1	PD31OCT17	2338.50	2520.70	USD	4,555.00	
31JUL17	B	1	PD31OCT17	2333.50	2520.70	USD	4,680.00	
31JUL17	B	1	PD31OCT17	2333.00	2520.70	USD	4,692.50	
31JUL17	S	2	PD31OCT17	2322.50	2520.70	USD	-9,910.00	
31JUL17	B	1	PD31OCT17	2328.50	2520.70	USD	4,805.00	
31JUL17	S	4	PD31OCT17	2336.00	2520.70	USD	-18,470.00	
31JUL17	S	3	PD31OCT17	2323.00	2520.70	USD	-14,827.50	
31JUL17	B	5	PD31OCT17	2335.00	2520.70	USD	23,212.50	
31JUL17	S	2	PD31OCT17	2337.50	2520.70	USD	-9,160.00	
31JUL17	B	4	PD31OCT17	2331.50	2520.70	USD	18,920.00	
31JUL17	S	1	PD31OCT17	2323.50	2520.70	USD	-4,930.00	
31JUL17	S	3	PD31OCT17	2335.50	2520.70	USD	-13,890.00	
31JUL17	S	2	PD31OCT17	2344.00	2520.70	USD	-8,835.00	
31JUL17	B	2	PD31OCT17	2340.50	2520.70	USD	9,010.00	
31JUL17	B	1	PD31OCT17	2337.00	2520.70	USD	4,592.50	
31JUL17	B	1	PD31OCT17	2323.50	2520.70	USD	4,930.00	
31JUL17	B	3	PD31OCT17	2324.00	2520.70	USD	14,752.50	
31JUL17	S	4	PD31OCT17	2337.00	2520.70	USD	-18,370.00	
31JUL17	S	2	PD31OCT17	2342.50	2520.70	USD	-8,910.00	
31JUL17	S	2	PD31OCT17	2343.50	2520.70	USD	-8,860.00	
31JUL17	S	3	PD31OCT17	2342.00	2520.70	USD	-13,402.50	
31JUL17	B	4	PD31OCT17	2327.50	2520.70	USD	19,320.00	
31JUL17	B	2	PD31OCT17	2327.00	2520.70	USD	9,685.00	
31JUL17	B	1	PD31OCT17	2326.50	2520.70	USD	4,855.00	
07AUG17	B	2	PD31OCT17	2359.99	2520.70	USD	8,035.50	
	NET	0 AVG BGHT 2332.92	SOLD 2335.67				2,063.00	0.00
01AUG17	S	1	PD01NOV17	2314.00	2520.85	USD	-5,171.25	
01AUG17	B	2	PD01NOV17	2313.00	2520.85	USD	10,392.50	
01AUG17	B	2	PD01NOV17	2314.00	2520.85	USD	10,342.50	
01AUG17	B	3	PD01NOV17	2316.50	2520.85	USD	15,326.25	
01AUG17	B	1	PD01NOV17	2315.00	2520.85	USD	5,146.25	
01AUG17	S	1	PD01NOV17	2317.00	2520.85	USD	-5,096.25	
01AUG17	S	1	PD01NOV17	2317.50	2520.85	USD	-5,083.75	
01AUG17	S	3	PD01NOV17	2316.50	2520.85	USD	-15,326.25	
01AUG17	S	4	PD01NOV17	2316.00	2520.85	USD	-20,485.00	
01AUG17	S	2	PD01NOV17	2311.00	2520.85	USD	-10,492.50	
01AUG17	S	2	PD01NOV17	2313.00	2520.85	USD	-10,392.50	
01AUG17	S	1	PD01NOV17	2312.00	2520.85	USD	-5,221.25	
01AUG17	S	1	PD01NOV17	2313.50	2520.85	USD	-5,183.75	
01AUG17	S	1	PD01NOV17	2328.50	2520.85	USD	-4,808.75	
01AUG17	S	1	PD01NOV17	2329.00	2520.85	USD	-4,796.25	
01AUG17	S	2	PD01NOV17	2330.00	2520.85	USD	-9,542.50	
01AUG17	S	1	PD01NOV17	2337.50	2520.85	USD	-4,583.75	
01AUG17	S	2	PD01NOV17	2335.50	2520.85	USD	-9,267.50	
01AUG17	S	2	PD01NOV17	2335.00	2520.85	USD	-9,292.50	
01AUG17	S	2	PD01NOV17	2336.00	2520.85	USD	-9,242.50	
07AUG17	B	19	PD01NOV17	2360.16	2520.85	USD	76,327.75	
	NET	0 AVG BGHT 2346.72	SOLD 2322.35				-16,451.00	0.00
02AUG17	B	1	PD02NOV17	2328.50	2521.00	USD	4,812.50	
02AUG17	B	1	PD02NOV17	2336.00	2521.00	USD	4,625.00	

OPEN POSITION STATEMENT



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SAP0086C

13th October 2017

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	<u>LME LEAD 25 TONNES. THIS IS AN</u>							
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02AUG17	B	1	PD02NOV17	2336.50	2521.00	USD	4,612.50	
02AUG17	B	3	PD02NOV17	2337.00	2521.00	USD	13,800.00	
02AUG17	B	2	PD02NOV17	2338.50	2521.00	USD	9,125.00	
02AUG17	B	1	PD02NOV17	2338.00	2521.00	USD	4,575.00	
02AUG17	B	2	PD02NOV17	2337.50	2521.00	USD	9,175.00	
02AUG17	S	1	PD02NOV17	2332.50	2521.00	USD	-4,712.50	
02AUG17	S	2	PD02NOV17	2331.50	2521.00	USD	-9,475.00	
02AUG17	S	2	PD02NOV17	2333.00	2521.00	USD	-9,400.00	
02AUG17	S	4	PD02NOV17	2342.50	2521.00	USD	-17,850.00	
02AUG17	B	1	PD02NOV17	2339.00	2521.00	USD	4,550.00	
02AUG17	B	1	PD02NOV17	2341.00	2521.00	USD	4,500.00	
02AUG17	B	1	PD02NOV17	2340.00	2521.00	USD	4,525.00	
02AUG17	B	3	PD02NOV17	2340.50	2521.00	USD	13,537.50	
02AUG17	B	2	PD02NOV17	2342.50	2521.00	USD	8,925.00	
02AUG17	S	2	PD02NOV17	2328.00	2521.00	USD	-9,650.00	
02AUG17	B	1	PD02NOV17	2337.00	2521.00	USD	4,600.00	
02AUG17	B	1	PD02NOV17	2340.00	2521.00	USD	4,525.00	
02AUG17	B	1	PD02NOV17	2341.00	2521.00	USD	4,500.00	
02AUG17	B	4	PD02NOV17	2343.50	2521.00	USD	17,750.00	
02AUG17	B	1	PD02NOV17	2344.00	2521.00	USD	4,425.00	
02AUG17	B	2	PD02NOV17	2344.50	2521.00	USD	8,825.00	
02AUG17	B	7	PD02NOV17	2345.00	2521.00	USD	30,800.00	
02AUG17	B	4	PD02NOV17	2345.50	2521.00	USD	17,550.00	
02AUG17	S	5	PD02NOV17	2347.50	2521.00	USD	-21,687.50	
02AUG17	S	4	PD02NOV17	2347.00	2521.00	USD	-17,400.00	
02AUG17	S	6	PD02NOV17	2348.50	2521.00	USD	-25,875.00	
02AUG17	S	2	PD02NOV17	2353.00	2521.00	USD	-8,400.00	
02AUG17	S	1	PD02NOV17	2353.50	2521.00	USD	-4,187.50	
02AUG17	S	2	PD02NOV17	2358.00	2521.00	USD	-8,150.00	
02AUG17	S	2	PD02NOV17	2359.00	2521.00	USD	-8,100.00	
02AUG17	S	2	PD02NOV17	2359.50	2521.00	USD	-8,075.00	
02AUG17	S	1	PD02NOV17	2362.50	2521.00	USD	-3,962.50	
02AUG17	S	1	PD02NOV17	2363.00	2521.00	USD	-3,950.00	
02AUG17	S	1	PD02NOV17	2364.00	2521.00	USD	-3,925.00	
02AUG17	S	1	PD02NOV17	2365.00	2521.00	USD	-3,900.00	
02AUG17	B	1	PD02NOV17	2356.50	2521.00	USD	4,112.50	
02AUG17	S	1	PD02NOV17	2360.00	2521.00	USD	-4,025.00	
02AUG17	B	1	PD02NOV17	2346.00	2521.00	USD	4,375.00	
02AUG17	B	3	PD02NOV17	2347.00	2521.00	USD	13,050.00	
02AUG17	B	2	PD02NOV17	2346.50	2521.00	USD	8,725.00	
02AUG17	B	1	PD02NOV17	2347.50	2521.00	USD	4,337.50	
02AUG17	B	2	PD02NOV17	2349.00	2521.00	USD	8,600.00	
02AUG17	B	5	PD02NOV17	2348.00	2521.00	USD	21,625.00	
07AUG17	S	15	PD02NOV17	2360.13	2521.00	USD	-60,326.25	
	NET	0AVG BGHT 2343.14	SOLD 2351.51				11,511.25	0.00
03AUG17	S	4	PD03NOV17	2362.50	2521.13	USD	-15,863.00	
03AUG17	S	2	PD03NOV17	2363.50	2521.13	USD	-7,881.50	
03AUG17	S	3	PD03NOV17	2364.00	2521.13	USD	-11,784.75	
03AUG17	S	1	PD03NOV17	2378.00	2521.13	USD	-3,578.25	
03AUG17	S	1	PD03NOV17	2377.50	2521.13	USD	-3,590.75	
03AUG17	S	3	PD03NOV17	2376.00	2521.13	USD	-10,884.75	
03AUG17	S	1	PD03NOV17	2386.00	2521.13	USD	-3,378.25	
03AUG17	S	1	PD03NOV17	2386.50	2521.13	USD	-3,365.75	
03AUG17	S	1	PD03NOV17	2385.50	2521.13	USD	-3,390.75	
03AUG17	S	2	PD03NOV17	2383.50	2521.13	USD	-6,881.50	
03AUG17	B	1	PD03NOV17	2383.00	2521.13	USD	3,453.25	
03AUG17	B	3	PD03NOV17	2384.50	2521.13	USD	10,247.25	

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03AUG17	B	2	PD03NOV17	2382.00	2521.13	USD	6,956.50	
03AUG17	S	1	PD03NOV17	2374.50	2521.13	USD	-3,665.75	
03AUG17	S	1	PD03NOV17	2368.00	2521.13	USD	-3,828.25	
03AUG17	B	2	PD03NOV17	2369.00	2521.13	USD	7,606.50	
03AUG17	S	1	PD03NOV17	2358.00	2521.13	USD	-4,078.25	
03AUG17	S	2	PD03NOV17	2358.50	2521.13	USD	-8,131.50	
03AUG17	S	2	PD03NOV17	2363.00	2521.13	USD	-7,906.50	
03AUG17	S	1	PD03NOV17	2380.00	2521.13	USD	-3,528.25	
03AUG17	S	1	PD03NOV17	2381.50	2521.13	USD	-3,490.75	
03AUG17	S	1	PD03NOV17	2358.00	2521.13	USD	-4,078.25	
03AUG17	B	6	PD03NOV17	2358.50	2521.13	USD	24,394.50	
03AUG17	B	1	PD03NOV17	2359.00	2521.13	USD	4,053.25	
03AUG17	B	3	PD03NOV17	2359.50	2521.13	USD	12,122.25	
03AUG17	B	4	PD03NOV17	2361.00	2521.13	USD	16,013.00	
03AUG17	B	1	PD03NOV17	2354.00	2521.13	USD	4,178.25	
03AUG17	B	1	PD03NOV17	2356.00	2521.13	USD	4,128.25	
03AUG17	S	1	PD03NOV17	2357.00	2521.13	USD	-4,103.25	
03AUG17	S	1	PD03NOV17	2361.50	2521.13	USD	-3,990.75	
03AUG17	S	1	PD03NOV17	2362.00	2521.13	USD	-3,978.25	
03AUG17	S	4	PD03NOV17	2361.00	2521.13	USD	-16,013.00	
03AUG17	B	1	PD03NOV17	2355.50	2521.13	USD	4,140.75	
03AUG17	B	9	PD03NOV17	2360.00	2521.13	USD	36,254.25	
03AUG17	B	1	PD03NOV17	2352.50	2521.13	USD	4,215.75	
04AUG17	B	2	PD03NOV17	2366.00	2521.13	USD	7,756.50	
04AUG17	B	2	PD03NOV17	2367.50	2521.13	USD	7,681.50	
04AUG17	B	3	PD03NOV17	2372.00	2521.13	USD	11,184.75	
04AUG17	B	3	PD03NOV17	2367.00	2521.13	USD	11,559.75	
04AUG17	B	3	PD03NOV17	2368.50	2521.13	USD	11,447.25	
04AUG17	B	2	PD03NOV17	2369.50	2521.13	USD	7,581.50	
04AUG17	S	2	PD03NOV17	2371.50	2521.13	USD	-7,481.50	
04AUG17	B	2	PD03NOV17	2372.50	2521.13	USD	7,431.50	
04AUG17	B	3	PD03NOV17	2373.00	2521.13	USD	11,109.75	
04AUG17	B	1	PD03NOV17	2370.50	2521.13	USD	3,765.75	
04AUG17	S	3	PD03NOV17	2371.50	2521.13	USD	-11,222.25	
04AUG17	S	1	PD03NOV17	2362.50	2521.13	USD	-3,965.75	
04AUG17	S	3	PD03NOV17	2362.00	2521.13	USD	-11,934.75	
04AUG17	S	1	PD03NOV17	2360.50	2521.13	USD	-4,015.75	
04AUG17	S	2	PD03NOV17	2368.00	2521.13	USD	-7,656.50	
04AUG17	S	3	PD03NOV17	2367.50	2521.13	USD	-11,522.25	
04AUG17	S	1	PD03NOV17	2369.00	2521.13	USD	-3,803.25	
04AUG17	S	1	PD03NOV17	2368.50	2521.13	USD	-3,815.75	
04AUG17	S	2	PD03NOV17	2371.00	2521.13	USD	-7,506.50	
04AUG17	S	1	PD03NOV17	2370.00	2521.13	USD	-3,778.25	
04AUG17	S	2	PD03NOV17	2366.00	2521.13	USD	-7,756.50	
04AUG17	S	4	PD03NOV17	2364.00	2521.13	USD	-15,713.00	
04AUG17	S	3	PD03NOV17	2363.50	2521.13	USD	-11,822.25	
04AUG17	S	2	PD03NOV17	2351.00	2521.13	USD	-8,506.50	
04AUG17	S	1	PD03NOV17	2371.00	2521.13	USD	-3,753.25	
04AUG17	S	6	PD03NOV17	2366.00	2521.13	USD	-23,269.50	
04AUG17	S	4	PD03NOV17	2365.50	2521.13	USD	-15,563.00	
07AUG17	B	30	PD03NOV17	2360.51	2521.13	USD	120,465.00	
18AUG17	S	1	PD03NOV17	2358.23	2521.13	USD	-4,072.50	
22AUG17	S	3	PD03NOV17	2411.75	2521.13	USD	-8,203.50	
23AUG17	S	4	PD03NOV17	2372.25	2521.13	USD	-14,888.00	
	NET	0 AVG BGHT 2364.04	SOLD 2368.74				10,104.50	0.00
07AUG17	S	1	PD07NOV17	2384.00	2521.67	USD	-3,441.75	
07AUG17	S	1	PD07NOV17	2382.50	2521.67	USD	-3,479.25	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0086C

13th October 2017

Sapien Capital Limited
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+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
07AUG17	S	1	PD07NOV17	2381.00	2521.67	USD	-3,516.75	
14AUG17	B	1	PD07NOV17	2333.60	2521.67	USD	4,701.75	
22AUG17	B	2	PD07NOV17	2412.95	2521.67	USD	5,436.00	
	NET	0 AVG BGHT 2386.50	SOLD 2382.50				-300.00	0.00
08AUG17	B	1	PD08NOV17	2347.50	2521.81	USD	4,357.75	
18AUG17	S	1	PD08NOV17	2359.38	2521.81	USD	-4,060.75	
	NET	0 AVG BGHT 2347.50	SOLD 2359.38				297.00	0.00
09AUG17	B	1	PD09NOV17	2395.50	2521.94	USD	3,161.00	
14AUG17	S	1	PD09NOV17	2333.80	2521.94	USD	-4,703.50	
	NET	0 AVG BGHT 2395.50	SOLD 2333.80				-1,542.50	0.00
10AUG17	S	1	PD10NOV17	2391.50	2522.08	USD	-3,264.50	
10AUG17	B	1	PD10NOV17	2373.50	2522.08	USD	3,714.50	
10AUG17	S	1	PD10NOV17	2364.50	2522.08	USD	-3,939.50	
18AUG17	B	1	PD10NOV17	2360.05	2522.08	USD	4,050.75	
	NET	0 AVG BGHT 2366.78	SOLD 2378.00				561.25	0.00
16AUG17	S	1	PD16NOV17	2484.50	2522.75	USD	-956.25	
18AUG17	B	1	PD16NOV17	2360.70	2522.75	USD	4,051.25	
	NET	0 AVG BGHT 2360.70	SOLD 2484.50				3,095.00	0.00
18AUG17	S	1	PD17NOV17	2429.50	2522.75	USD	-2,331.25	
18AUG17	S	1	PD17NOV17	2430.50	2522.75	USD	-2,306.25	
18AUG17	S	2	PD17NOV17	2430.00	2522.75	USD	-4,637.50	
18AUG17	B	1	PD17NOV17	2415.00	2522.75	USD	2,693.75	
18AUG17	S	1	PD17NOV17	2411.50	2522.75	USD	-2,781.25	
23AUG17	B	4	PD17NOV17	2375.45	2522.75	USD	14,730.00	
	NET	0 AVG BGHT 2383.36	SOLD 2426.30				5,367.50	0.00
21AUG17	S	1	PD21NOV17	2386.00	2523.36	USD	-3,434.00	
22AUG17	B	1	PD21NOV17	2415.95	2523.36	USD	2,685.25	
	NET	0 AVG BGHT 2415.95	SOLD 2386.00				-748.75	0.00
	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
24JUL17	B	1	PD24OCT17	9675.00	11614.76	USD	11,638.56	
24JUL17	B	1	PD24OCT17	9690.00	11614.76	USD	11,548.56	
18AUG17	S	2	PD24OCT17	10967.19	11614.76	USD	-7,770.84	
	NET	0 AVG BGHT 9682.50	SOLD 10967.19				15,416.28	0.00
25JUL17	B	1	PD25OCT17	9745.00	11615.61	USD	11,223.66	
25JUL17	B	1	PD25OCT17	9880.00	11615.61	USD	10,413.66	
25JUL17	S	1	PD25OCT17	9955.00	11615.61	USD	-9,963.66	
25JUL17	S	1	PD25OCT17	10005.00	11615.61	USD	-9,663.66	
	NET	0 AVG BGHT 9812.50	SOLD 9980.00				2,010.00	0.00
26JUL17	S	1	PD26OCT17	9975.00	11616.46	USD	-9,848.76	
26JUL17	B	1	PD26OCT17	9980.00	11616.46	USD	9,818.76	
26JUL17	S	1	PD26OCT17	9945.00	11616.46	USD	-10,028.76	
26JUL17	S	1	PD26OCT17	10015.00	11616.46	USD	-9,608.76	
26JUL17	B	1	PD26OCT17	10020.00	11616.46	USD	9,578.76	
18AUG17	B	1	PD26OCT17	10969.54	11616.46	USD	3,881.52	
	NET	0 AVG BGHT 10323.18	SOLD 9978.33				-6,207.24	0.00
27JUL17	B	2	PD27OCT17	10020.00	11617.31	USD	19,167.72	
27JUL17	S	1	PD27OCT17	10065.00	11617.31	USD	-9,313.86	
27JUL17	S	1	PD27OCT17	10080.00	11617.31	USD	-9,223.86	
27JUL17	B	1	PD27OCT17	10085.00	11617.31	USD	9,193.86	
27JUL17	B	1	PD27OCT17	10090.00	11617.31	USD	9,163.86	
27JUL17	S	1	PD27OCT17	10150.00	11617.31	USD	-8,803.86	
27JUL17	S	1	PD27OCT17	10145.00	11617.31	USD	-8,833.86	
27JUL17	S	1	PD27OCT17	10170.00	11617.31	USD	-8,683.86	

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
28JUL17	S	1	PD27OCT17	10045.00	11617.31	USD	-9,433.86	
28JUL17	B	1	PD27OCT17	10045.00	11617.31	USD	9,433.86	
28JUL17	B	1	PD27OCT17	10050.00	11617.31	USD	9,403.86	
28JUL17	B	2	PD27OCT17	10145.00	11617.31	USD	17,667.72	
28JUL17	S	1	PD27OCT17	10240.00	11617.31	USD	-8,263.86	
28JUL17	B	2	PD27OCT17	10245.00	11617.31	USD	16,467.72	
28JUL17	S	1	PD27OCT17	10255.00	11617.31	USD	-8,173.86	
28JUL17	S	2	PD27OCT17	10245.00	11617.31	USD	-16,467.72	
28JUL17	S	1	PD27OCT17	10230.00	11617.31	USD	-8,323.86	
28JUL17	B	1	PD27OCT17	10205.00	11617.31	USD	8,473.86	
28JUL17	S	1	PD27OCT17	10260.00	11617.31	USD	-8,143.86	
28JUL17	B	2	PD27OCT17	10225.00	11617.31	USD	16,707.72	
18AUG17	S	1	PD27OCT17	10969.21	11617.31	USD	-3,888.60	
	NET	0 AVG	BGHT 10134.23				8,125.26	0.00
31JUL17	B	1	PD31OCT17	10345.00	11620.72	USD	7,654.32	
31JUL17	B	1	PD31OCT17	10275.00	11620.72	USD	8,074.32	
31JUL17	S	1	PD31OCT17	10260.00	11620.72	USD	-8,164.32	
31JUL17	S	3	PD31OCT17	10245.00	11620.72	USD	-24,762.96	
31JUL17	S	1	PD31OCT17	10255.00	11620.72	USD	-8,194.32	
31JUL17	B	1	PD31OCT17	10220.00	11620.72	USD	8,404.32	
31JUL17	B	3	PD31OCT17	10230.00	11620.72	USD	25,032.96	
31JUL17	B	1	PD31OCT17	10215.00	11620.72	USD	8,434.32	
18AUG17	S	2	PD31OCT17	10971.90	11620.72	USD	-7,785.84	
	NET	0 AVG	BGHT 10249.29				8,692.80	0.00
01AUG17	B	1	PD01NOV17	10205.00	11621.57	USD	8,499.42	
01AUG17	S	1	PD01NOV17	10095.00	11621.57	USD	-9,159.42	
01AUG17	S	1	PD01NOV17	10100.00	11621.57	USD	-9,129.42	
01AUG17	B	1	PD01NOV17	10105.00	11621.57	USD	9,099.42	
01AUG17	B	1	PD01NOV17	10150.00	11621.57	USD	8,829.42	
01AUG17	S	1	PD01NOV17	10160.00	11621.57	USD	-8,769.42	
01AUG17	S	1	PD01NOV17	10115.00	11621.57	USD	-9,039.42	
01AUG17	S	1	PD01NOV17	10125.00	11621.57	USD	-8,979.42	
01AUG17	S	1	PD01NOV17	10135.00	11621.57	USD	-8,919.42	
01AUG17	S	1	PD01NOV17	10140.00	11621.57	USD	-8,889.42	
01AUG17	B	1	PD01NOV17	10225.00	11621.57	USD	8,379.42	
01AUG17	B	1	PD01NOV17	10235.00	11621.57	USD	8,319.42	
03AUG17	S	1	PD01NOV17	10379.57	11621.57	USD	-7,452.00	
03AUG17	S	1	PD01NOV17	10378.57	11621.57	USD	-7,458.00	
18AUG17	B	4	PD01NOV17	10973.58	11621.57	USD	15,551.76	
	NET	0 AVG	BGHT 10534.92				-19,117.08	0.00
02AUG17	S	2	PD02NOV17	10185.00	11622.43	USD	-17,249.16	
02AUG17	B	1	PD02NOV17	10320.00	11622.43	USD	7,814.58	
02AUG17	B	1	PD02NOV17	10335.00	11622.43	USD	7,724.58	
02AUG17	B	1	PD02NOV17	10345.00	11622.43	USD	7,664.58	
03AUG17	B	1	PD02NOV17	10380.79	11622.43	USD	7,449.84	
18AUG17	S	2	PD02NOV17	10973.25	11622.43	USD	-7,790.16	
	NET	0 AVG	BGHT 10345.20				5,614.26	0.00
03AUG17	S	1	PD03NOV17	10440.00	11623.28	USD	-7,099.68	
03AUG17	S	1	PD03NOV17	10425.00	11623.28	USD	-7,189.68	
03AUG17	B	1	PD03NOV17	10360.00	11623.28	USD	7,579.68	
03AUG17	B	1	PD03NOV17	10335.00	11623.28	USD	7,729.68	
03AUG17	S	1	PD03NOV17	10315.00	11623.28	USD	-7,849.68	
03AUG17	B	1	PD03NOV17	10290.00	11623.28	USD	7,999.68	
03AUG17	B	1	PD03NOV17	10260.00	11623.28	USD	8,179.68	
03AUG17	B	1	PD03NOV17	10370.00	11623.28	USD	7,519.68	
03AUG17	S	1	PD03NOV17	10370.00	11623.28	USD	-7,519.68	

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03AUG17	B	1	PD03NOV17	10330.00	11623.28	USD	7,759.68	
03AUG17	B	1	PD03NOV17	10315.00	11623.28	USD	7,849.68	
03AUG17	S	6	PD03NOV17	10379.00	11623.28	USD	-44,794.08	
04AUG17	B	1	PD03NOV17	10325.00	11623.28	USD	7,789.68	
04AUG17	S	1	PD03NOV17	10195.00	11623.28	USD	-8,569.68	
04AUG17	B	1	PD03NOV17	10200.00	11623.28	USD	8,539.68	
04AUG17	S	1	PD03NOV17	10260.00	11623.28	USD	-8,179.68	
04AUG17	S	1	PD03NOV17	10285.00	11623.28	USD	-8,029.68	
04AUG17	B	1	PD03NOV17	10290.00	11623.28	USD	7,999.68	
04AUG17	B	1	PD03NOV17	10280.00	11623.28	USD	8,059.68	
18AUG17	B	2	PD03NOV17	10974.92	11623.28	USD	7,780.32	
	NET	0 AVG BGHT 10408.06	SOLD 10351.08				-4,445.04	0.00
07AUG17	B	1	PD07NOV17	10385.00	11626.69	USD	7,450.14	
07AUG17	B	1	PD07NOV17	10365.00	11626.69	USD	7,570.14	
07AUG17	S	1	PD07NOV17	10380.00	11626.69	USD	-7,480.14	
18AUG17	S	1	PD07NOV17	10976.62	11626.69	USD	-3,900.42	
	NET	0 AVG BGHT 10375.00	SOLD 10678.31				3,639.72	0.00
08AUG17	B	1	PD08NOV17	10345.00	11627.54	USD	7,695.24	
08AUG17	S	1	PD08NOV17	10375.00	11627.54	USD	-7,515.24	
08AUG17	B	1	PD08NOV17	10300.00	11627.54	USD	7,965.24	
08AUG17	S	1	PD08NOV17	10335.00	11627.54	USD	-7,755.24	
08AUG17	S	1	PD08NOV17	10315.00	11627.54	USD	-7,875.24	
08AUG17	B	2	PD08NOV17	10445.00	11627.54	USD	14,190.48	
08AUG17	S	1	PD08NOV17	10480.00	11627.54	USD	-6,885.24	
08AUG17	B	1	PD08NOV17	10500.00	11627.54	USD	6,765.24	
08AUG17	B	1	PD08NOV17	10740.00	11627.54	USD	5,325.24	
08AUG17	B	1	PD08NOV17	10745.00	11627.54	USD	5,295.24	
18AUG17	S	4	PD08NOV17	10977.29	11627.54	USD	-15,606.00	
22AUG17	B	1	PD08NOV17	11410.65	11627.54	USD	1,301.34	
	NET	0 AVG BGHT 10616.33	SOLD 10676.77				2,901.06	0.00
09AUG17	S	1	PD09NOV17	10675.00	11628.39	USD	-5,720.34	
09AUG17	S	1	PD09NOV17	10705.00	11628.39	USD	-5,540.34	
09AUG17	B	1	PD09NOV17	10810.00	11628.39	USD	4,910.34	
09AUG17	B	1	PD09NOV17	10805.00	11628.39	USD	4,940.34	
09AUG17	B	1	PD09NOV17	10770.00	11628.39	USD	5,150.34	
09AUG17	S	1	PD09NOV17	10810.00	11628.39	USD	-4,910.34	
09AUG17	S	2	PD09NOV17	10805.00	11628.39	USD	-9,880.68	
09AUG17	S	1	PD09NOV17	10800.00	11628.39	USD	-4,970.34	
09AUG17	B	1	PD09NOV17	10780.00	11628.39	USD	5,090.34	
09AUG17	S	2	PD09NOV17	10775.00	11628.39	USD	-10,240.68	
09AUG17	S	1	PD09NOV17	10720.00	11628.39	USD	-5,450.34	
09AUG17	S	2	PD09NOV17	10770.00	11628.39	USD	-10,300.68	
09AUG17	S	1	PD09NOV17	10815.00	11628.39	USD	-4,880.34	
09AUG17	S	2	PD09NOV17	10785.00	11628.39	USD	-10,120.68	
09AUG17	S	1	PD09NOV17	10715.00	11628.39	USD	-5,480.34	
09AUG17	B	1	PD09NOV17	10745.00	11628.39	USD	5,300.34	
09AUG17	B	1	PD09NOV17	10735.00	11628.39	USD	5,360.34	
18AUG17	B	3	PD09NOV17	10978.96	11628.39	USD	11,689.74	
22AUG17	B	6	PD09NOV17	11411.35	11628.39	USD	7,813.44	
	NET	0 AVG BGHT 11070.00	SOLD 10767.33				-27,239.88	0.00
10AUG17	B	1	PD10NOV17	10785.00	11629.24	USD	5,065.44	
10AUG17	S	1	PD10NOV17	10830.00	11629.24	USD	-4,795.44	
10AUG17	S	1	PD10NOV17	10805.00	11629.24	USD	-4,945.44	
10AUG17	S	1	PD10NOV17	10780.00	11629.24	USD	-5,095.44	
10AUG17	S	1	PD10NOV17	10735.00	11629.24	USD	-5,365.44	
10AUG17	S	1	PD10NOV17	10740.00	11629.24	USD	-5,335.44	

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10AUG17	B	1	PD10NOV17	10770.00	11629.24	USD	5,155.44	
10AUG17	B	1	PD10NOV17	10765.00	11629.24	USD	5,185.44	
10AUG17	B	1	PD10NOV17	10775.00	11629.24	USD	5,125.44	
10AUG17	B	3	PD10NOV17	10780.00	11629.24	USD	15,286.32	
10AUG17	B	1	PD10NOV17	10860.00	11629.24	USD	4,615.44	
10AUG17	S	1	PD10NOV17	10945.00	11629.24	USD	-4,105.44	
10AUG17	S	1	PD10NOV17	10935.00	11629.24	USD	-4,165.44	
10AUG17	S	2	PD10NOV17	10940.00	11629.24	USD	-8,270.88	
10AUG17	B	1	PD10NOV17	10955.00	11629.24	USD	4,045.44	
10AUG17	B	1	PD10NOV17	10950.00	11629.24	USD	4,075.44	
10AUG17	S	1	PD10NOV17	10900.00	11629.24	USD	-4,375.44	
10AUG17	S	1	PD10NOV17	10910.00	11629.24	USD	-4,315.44	
10AUG17	S	1	PD10NOV17	10885.00	11629.24	USD	-4,465.44	
11AUG17	S	1	PD10NOV17	10835.00	11629.24	USD	-4,765.44	
11AUG17	S	1	PD10NOV17	10825.00	11629.24	USD	-4,825.44	
11AUG17	S	1	PD10NOV17	10745.00	11629.24	USD	-5,305.44	
11AUG17	S	1	PD10NOV17	10635.00	11629.24	USD	-5,965.44	
11AUG17	B	1	PD10NOV17	10615.00	11629.24	USD	6,085.44	
11AUG17	S	1	PD10NOV17	10710.00	11629.24	USD	-5,515.44	
11AUG17	B	1	PD10NOV17	10655.00	11629.24	USD	5,845.44	
11AUG17	B	1	PD10NOV17	10660.00	11629.24	USD	5,815.44	
11AUG17	B	1	PD10NOV17	10675.00	11629.24	USD	5,725.44	
11AUG17	B	1	PD10NOV17	10680.00	11629.24	USD	5,695.44	
11AUG17	S	1	PD10NOV17	10610.00	11629.24	USD	-6,115.44	
11AUG17	B	1	PD10NOV17	10635.00	11629.24	USD	5,965.44	
11AUG17	B	1	PD10NOV17	10565.00	11629.24	USD	6,385.44	
11AUG17	S	1	PD10NOV17	10655.00	11629.24	USD	-5,845.44	
11AUG17	B	3	PD10NOV17	10670.00	11629.24	USD	17,266.32	
18AUG17	S	1	PD10NOV17	10978.63	11629.24	USD	-3,903.66	
	NET	0AVG BGHT 10734.75	SOLD 10816.93				9,861.78	0.00
14AUG17	B	1	PD14NOV17	10690.00	11632.65	USD	5,655.90	
14AUG17	S	1	PD14NOV17	10640.00	11632.65	USD	-5,955.90	
14AUG17	S	1	PD14NOV17	10510.00	11632.65	USD	-6,735.90	
14AUG17	S	1	PD14NOV17	10500.00	11632.65	USD	-6,795.90	
14AUG17	S	1	PD14NOV17	10475.00	11632.65	USD	-6,945.90	
14AUG17	B	1	PD14NOV17	10510.00	11632.65	USD	6,735.90	
14AUG17	B	1	PD14NOV17	10490.00	11632.65	USD	6,855.90	
14AUG17	B	1	PD14NOV17	10415.00	11632.65	USD	7,305.90	
14AUG17	S	1	PD14NOV17	10420.00	11632.65	USD	-7,275.90	
14AUG17	S	1	PD14NOV17	10425.00	11632.65	USD	-7,245.90	
18AUG17	B	2	PD14NOV17	10982.33	11632.65	USD	7,803.84	
	NET	0AVG BGHT 10678.28	SOLD 10495.00				-6,597.96	0.00
15AUG17	B	1	PD15NOV17	10405.00	11633.50	USD	7,371.00	
15AUG17	B	1	PD15NOV17	10395.00	11633.50	USD	7,431.00	
15AUG17	B	1	PD15NOV17	10400.00	11633.50	USD	7,401.00	
15AUG17	B	1	PD15NOV17	10385.00	11633.50	USD	7,491.00	
18AUG17	S	4	PD15NOV17	10982.00	11633.50	USD	-15,636.00	
	NET	0AVG BGHT 10396.25	SOLD 10982.00				14,058.00	0.00
16AUG17	S	1	PD16NOV17	10475.00	11634.19	USD	-6,955.14	
16AUG17	S	1	PD16NOV17	10560.00	11634.19	USD	-6,445.14	
16AUG17	S	1	PD16NOV17	10565.00	11634.19	USD	-6,415.14	
16AUG17	S	1	PD16NOV17	10570.00	11634.19	USD	-6,385.14	
16AUG17	S	1	PD16NOV17	10575.00	11634.19	USD	-6,355.14	
16AUG17	S	1	PD16NOV17	10655.00	11634.19	USD	-5,875.14	
16AUG17	B	2	PD16NOV17	10680.00	11634.19	USD	11,450.28	
16AUG17	S	1	PD16NOV17	10750.00	11634.19	USD	-5,305.14	

OPEN POSITION STATEMENT



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SAP0086C

13th October 2017

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
16AUG17	S	1	PD16NOV17	10755.00	11634.19	USD	-5,275.14	
16AUG17	B	1	PD16NOV17	10745.00	11634.19	USD	5,335.14	
16AUG17	B	1	PD16NOV17	10730.00	11634.19	USD	5,425.14	
16AUG17	B	1	PD16NOV17	10725.00	11634.19	USD	5,455.14	
16AUG17	S	1	PD16NOV17	10735.00	11634.19	USD	-5,395.14	
16AUG17	B	5	PD16NOV17	10750.00	11634.19	USD	26,525.70	
16AUG17	S	2	PD16NOV17	10555.00	11634.19	USD	-12,950.28	
16AUG17	S	1	PD16NOV17	10820.00	11634.19	USD	-4,885.14	
16AUG17	S	1	PD16NOV17	10810.00	11634.19	USD	-4,945.14	
16AUG17	S	2	PD16NOV17	10815.00	11634.19	USD	-9,830.28	
16AUG17	S	1	PD16NOV17	10830.00	11634.19	USD	-4,825.14	
16AUG17	B	1	PD16NOV17	10765.00	11634.19	USD	5,215.14	
16AUG17	B	1	PD16NOV17	10755.00	11634.19	USD	5,275.14	
16AUG17	S	1	PD16NOV17	10775.00	11634.19	USD	-5,155.14	
16AUG17	B	1	PD16NOV17	10785.00	11634.19	USD	5,095.14	
16AUG17	B	1	PD16NOV17	10780.00	11634.19	USD	5,125.14	
18AUG17	B	3	PD16NOV17	10981.00	11634.19	USD	11,757.42	
	NET	0AVG BGHT	10784.59	SOLD	10683.24		-10,338.00	0.00
17AUG17	S	1	PD17NOV17	10800.00	11634.87	USD	-5,009.22	
17AUG17	B	1	PD17NOV17	10775.00	11634.87	USD	5,159.22	
17AUG17	S	1	PD17NOV17	10775.00	11634.87	USD	-5,159.22	
17AUG17	S	1	PD17NOV17	10770.00	11634.87	USD	-5,189.22	
17AUG17	S	1	PD17NOV17	10845.00	11634.87	USD	-4,739.22	
17AUG17	S	3	PD17NOV17	10830.00	11634.87	USD	-14,487.66	
17AUG17	S	2	PD17NOV17	10790.00	11634.87	USD	-10,138.44	
17AUG17	B	1	PD17NOV17	10800.00	11634.87	USD	5,009.22	
17AUG17	B	1	PD17NOV17	10800.00	11634.87	USD	5,009.22	
17AUG17	B	1	PD17NOV17	10735.00	11634.87	USD	5,399.22	
17AUG17	B	2	PD17NOV17	10760.00	11634.87	USD	10,498.44	
17AUG17	B	1	PD17NOV17	10770.00	11634.87	USD	5,189.22	
17AUG17	B	2	PD17NOV17	10765.00	11634.87	USD	10,438.44	
17AUG17	B	1	PD17NOV17	10740.00	11634.87	USD	5,369.22	
17AUG17	B	2	PD17NOV17	10750.00	11634.87	USD	10,618.44	
17AUG17	S	2	PD17NOV17	10760.00	11634.87	USD	-10,498.44	
17AUG17	B	1	PD17NOV17	10725.00	11634.87	USD	5,459.22	
17AUG17	S	4	PD17NOV17	10700.00	11634.87	USD	-22,436.88	
18AUG17	B	2	PD17NOV17	10981.00	11634.87	USD	7,846.44	
	NET	0AVG BGHT	10790.47	SOLD	10772.00		-1,662.00	0.00
	<u>LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
08AUG17	B	1	PD08NOV17	20580.00	20697.50	USD	587.50	
08AUG17	S	1	PD08NOV17	20515.00	20697.50	USD	-912.50	
08AUG17	B	1	PD08NOV17	20470.00	20697.50	USD	1,137.50	
08AUG17	B	1	PD08NOV17	20340.00	20697.50	USD	1,787.50	
08AUG17	B	1	PD08NOV17	20195.00	20697.50	USD	2,512.50	
18AUG17	S	3	PD08NOV17	20266.25	20697.50	USD	-6,468.75	
	NET	0AVG BGHT	20396.25	SOLD	20328.44		-1,356.25	0.00
11AUG17	S	1	PD10NOV17	20220.00	20692.50	USD	-2,362.50	
18AUG17	B	1	PD10NOV17	20264.75	20692.50	USD	2,138.75	
	NET	0AVG BGHT	20264.75	SOLD	20220.00		-223.75	0.00
14AUG17	S	2	PD14NOV17	20305.00	20687.50	USD	-3,825.00	
18AUG17	B	2	PD14NOV17	20262.25	20687.50	USD	4,252.50	
	NET	0AVG BGHT	20262.25	SOLD	20305.00		427.50	0.00
15AUG17	B	2	PD15NOV17	19640.00	20685.00	USD	10,450.00	
15AUG17	S	1	PD15NOV17	20005.00	20685.00	USD	-3,400.00	

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15AUG17	<u>LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u> S 1 PD15NOV17 NET 0AVG BGHT 19640.00 SOLD 20007.50 <u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>	20010.00	20685.00	USD	-3,375.00 3,675.00	0.00
17JUL17	S 3 PD17OCT17	2836.50	3294.00	USD	-34,312.50	
17JUL17	S 1 PD17OCT17	2835.00	3294.00	USD	-11,475.00	
17JUL17	S 3 PD17OCT17	2835.50	3294.00	USD	-34,387.50	
17JUL17	S 1 PD17OCT17	2836.00	3294.00	USD	-11,450.00	
17JUL17	S 1 PD17OCT17	2837.50	3294.00	USD	-11,412.50	
17JUL17	S 1 PD17OCT17	2832.00	3294.00	USD	-11,550.00	
27JUL17	B 10 PD17OCT17	2800.95	3294.00	USD	123,262.50	
	NET 0AVG BGHT 2800.95 SOLD 2835.65				8,675.00	0.00
20JUL17	B 2 PD20OCT17	2756.50	3282.00	USD	26,275.00	
20JUL17	B 2 PD20OCT17	2756.00	3282.00	USD	26,300.00	
21JUL17	S 4 PD20OCT17	2773.50	3282.00	USD	-50,850.00	
21JUL17	B 1 PD20OCT17	2746.00	3282.00	USD	13,400.00	
21JUL17	B 1 PD20OCT17	2745.50	3282.00	USD	13,412.50	
21JUL17	B 1 PD20OCT17	2745.00	3282.00	USD	13,425.00	
21JUL17	B 2 PD20OCT17	2744.50	3282.00	USD	26,875.00	
21JUL17	B 1 PD20OCT17	2742.50	3282.00	USD	13,487.50	
21JUL17	B 1 PD20OCT17	2743.00	3282.00	USD	13,475.00	
21JUL17	B 2 PD20OCT17	2744.00	3282.00	USD	26,900.00	
21JUL17	B 1 PD20OCT17	2746.00	3282.00	USD	13,400.00	
27JUL17	S 10 PD20OCT17	2798.80	3282.00	USD	-120,800.00	
	NET 0AVG BGHT 2747.86 SOLD 2791.57				15,300.00	0.00
28JUL17	S 1 PD27OCT17	2784.50	3270.73	USD	-12,155.75	
28JUL17	S 1 PD27OCT17	2788.50	3270.73	USD	-12,055.75	
28JUL17	S 1 PD27OCT17	2791.50	3270.73	USD	-11,980.75	
28JUL17	S 1 PD27OCT17	2792.50	3270.73	USD	-11,955.75	
28JUL17	S 1 PD27OCT17	2796.50	3270.73	USD	-11,855.75	
28JUL17	S 1 PD27OCT17	2797.50	3270.73	USD	-11,830.75	
28JUL17	S 1 PD27OCT17	2796.00	3270.73	USD	-11,868.25	
28JUL17	S 1 PD27OCT17	2791.00	3270.73	USD	-11,993.25	
28JUL17	S 1 PD27OCT17	2779.00	3270.73	USD	-12,293.25	
28JUL17	B 9 PD27OCT17	2776.20	3270.73	USD	111,269.25	
	NET 0AVG BGHT 2776.20 SOLD 2790.78				3,280.00	0.00
31JUL17	B 2 PD31OCT17	2781.00	3268.47	USD	24,373.50	
31JUL17	B 2 PD31OCT17	2781.50	3268.47	USD	24,348.50	
07AUG17	S 4 PD31OCT17	2856.50	3268.47	USD	-41,197.00	
	NET 0AVG BGHT 2781.25 SOLD 2856.50				7,525.00	0.00
02AUG17	B 2 PD02NOV17	2784.00	3266.20	USD	24,110.00	
02AUG17	B 2 PD02NOV17	2783.50	3266.20	USD	24,135.00	
07AUG17	S 4 PD02NOV17	2856.93	3266.20	USD	-40,927.00	
	NET 0AVG BGHT 2783.75 SOLD 2856.93				7,318.00	0.00
03AUG17	B 1 PD03NOV17	2811.00	3265.07	USD	11,351.75	
03AUG17	B 1 PD03NOV17	2795.00	3265.07	USD	11,751.75	
03AUG17	B 1 PD03NOV17	2794.00	3265.07	USD	11,776.75	
03AUG17	B 1 PD03NOV17	2794.50	3265.07	USD	11,764.25	
03AUG17	B 1 PD03NOV17	2793.50	3265.07	USD	11,789.25	
04AUG17	B 9 PD03NOV17	2826.00	3265.07	USD	98,790.75	
04AUG17	B 12 PD03NOV17	2817.50	3265.07	USD	134,271.00	
04AUG17	S 24 PD03NOV17	2811.00	3265.07	USD	-272,442.00	
04AUG17	S 1 PD03NOV17	2809.50	3265.07	USD	-11,389.25	
07AUG17	B 8 PD03NOV17	2857.34	3265.07	USD	81,546.00	
22AUG17	S 9 PD03NOV17	3117.68	3265.07	USD	-33,162.75	

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
	NET 0 AVG BGHT 2826.20 SOLD 2892.14				56,047.50	0.00
10AUG17	B 1 PD10NOV17	2939.50	3259.40	USD	7,997.50	
11AUG17	S 1 PD10NOV17	2912.00	3259.40	USD	-8,685.00	
	NET 0 AVG BGHT 2939.50 SOLD 2912.00				-687.50	0.00
16AUG17	B 1 PD16NOV17	3070.00	3253.50	USD	4,587.50	
18AUG17	S 1 PD16NOV17	3124.50	3253.50	USD	-3,225.00	
	NET 0 AVG BGHT 3070.00 SOLD 3124.50				1,362.50	0.00
17AUG17	B 1 PD17NOV17	3097.00	3251.00	USD	3,850.00	
17AUG17	S 1 PD17NOV17	3090.50	3251.00	USD	-4,012.50	
17AUG17	S 1 PD17NOV17	3068.00	3251.00	USD	-4,575.00	
18AUG17	B 1 PD17NOV17	3124.20	3251.00	USD	3,170.00	
	NET 0 AVG BGHT 3110.60 SOLD 3079.25				-1,567.50	0.00

OPEN POSITION VALUE

		USD
LME Aluminium 25 Tonnes.	FUTURES	133,946.25
LME Copper Grade A 25	FUTURES	-429,885.50
LME Lead 25 tonnes. This is an	FUTURES	23,708.50
LME Nickel 6 tonnes. This is	FUTURES	-5,288.04
LME Tin 5 tonnes. This is an	FUTURES	2,522.50
LME Zinc 25 tonnes. This is	FUTURES	97,253.00

MARKET REVALUATION BREAKDOWN

Unrealised Profits & Losses - Forwards	Amount	Profit / Loss	Total Amount	Total P&L
ALUL - Futures	USD 133,946.25	133,946.25		
COPL - Futures	USD -429,885.50	-429,885.50		
LEAD - Futures	USD 23,708.50	23,708.50		
NKL - Futures	USD -5,288.04	-5,288.04		
TIN - Futures	USD 2,522.50	2,522.50		
ZINC - Futures	USD 97,253.00	97,253.00	-177,743.29	-177,743.29

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
CASH BALANCE	(1.000000)	(1.000000)
Variation Margins	1,899,200.99	1,899,200.99
- Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	1,899,200.99	1,899,200.99
Initial Margins	-177,743.29	-177,743.29
Excess/(Shortage)	1,721,457.70	1,721,457.70
FOR INFORMATION ONLY		
Forward Unrealised P&L	-177,743.29	-177,743.29
Net Liquidating Value	1,721,457.70	1,721,457.70

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
17JUL17	S	2	PD17OCT17	5983.00	6859.00	USD	-43,800.00	
17JUL17	S	1	PD17OCT17	5992.00	6859.00	USD	-21,675.00	
17JUL17	B	1	PD17OCT17	5977.50	6859.00	USD	22,037.50	
17JUL17	B	1	PD17OCT17	6004.00	6859.00	USD	21,375.00	
17JUL17	B	1	PD17OCT17	6002.00	6859.00	USD	21,425.00	
	NET	0AVG BGHT 5994.50	SOLD 5986.00				-637.50	0.00
18JUL17	S	1	PD18OCT17	5994.00	6859.50	USD	-21,637.50	
18JUL17	S	1	PD18OCT17	5996.00	6859.50	USD	-21,587.50	
18JUL17	S	1	PD18OCT17	5990.00	6859.50	USD	-21,737.50	
18JUL17	B	3	PD18OCT17	5980.00	6859.50	USD	65,962.50	
18JUL17	B	1	PD18OCT17	5999.00	6859.50	USD	21,512.50	
18JUL17	S	1	PD18OCT17	5998.50	6859.50	USD	-21,525.00	
18JUL17	S	1	PD18OCT17	5999.00	6859.50	USD	-21,512.50	
18JUL17	B	1	PD18OCT17	6002.00	6859.50	USD	21,437.50	
18JUL17	S	2	PD18OCT17	6009.50	6859.50	USD	-42,500.00	
18JUL17	S	1	PD18OCT17	6007.50	6859.50	USD	-21,300.00	
18JUL17	B	1	PD18OCT17	6005.50	6859.50	USD	21,350.00	
18JUL17	B	2	PD18OCT17	6006.00	6859.50	USD	42,675.00	
	NET	0AVG BGHT 5994.81	SOLD 6000.50				1,137.50	0.00
19JUL17	S	1	PD19OCT17	6011.00	6857.50	USD	-21,162.50	
19JUL17	S	3	PD19OCT17	6014.50	6857.50	USD	-63,225.00	
19JUL17	B	1	PD19OCT17	6000.50	6857.50	USD	21,425.00	
19JUL17	S	1	PD19OCT17	5996.50	6857.50	USD	-21,525.00	
19JUL17	B	1	PD19OCT17	6012.00	6857.50	USD	21,137.50	
19JUL17	B	3	PD19OCT17	5997.00	6857.50	USD	64,537.50	
19JUL17	S	1	PD19OCT17	5995.00	6857.50	USD	-21,562.50	
19JUL17	S	1	PD19OCT17	5996.00	6857.50	USD	-21,537.50	
19JUL17	B	1	PD19OCT17	5983.00	6857.50	USD	21,862.50	
19JUL17	B	1	PD19OCT17	5984.00	6857.50	USD	21,837.50	
19JUL17	S	1	PD19OCT17	5980.00	6857.50	USD	-21,937.50	
19JUL17	S	1	PD19OCT17	5983.00	6857.50	USD	-21,862.50	
19JUL17	S	1	PD19OCT17	5989.50	6857.50	USD	-21,700.00	
19JUL17	S	1	PD19OCT17	5993.50	6857.50	USD	-21,600.00	
19JUL17	B	1	PD19OCT17	5985.00	6857.50	USD	21,812.50	
19JUL17	B	1	PD19OCT17	5986.00	6857.50	USD	21,787.50	
19JUL17	B	2	PD19OCT17	5982.50	6857.50	USD	43,750.00	
19JUL17	S	1	PD19OCT17	5970.00	6857.50	USD	-22,187.50	
24JUL17	B	1	PD19OCT17	6026.50	6857.50	USD	20,775.00	
	NET	0AVG BGHT 5994.42	SOLD 5996.50				625.00	0.00
20JUL17	S	1	PD20OCT17	5970.50	6857.50	USD	-22,175.00	
20JUL17	S	1	PD20OCT17	5972.50	6857.50	USD	-22,125.00	
20JUL17	B	3	PD20OCT17	5980.50	6857.50	USD	65,775.00	
20JUL17	B	1	PD20OCT17	5984.00	6857.50	USD	21,837.50	
20JUL17	S	1	PD20OCT17	5984.50	6857.50	USD	-21,825.00	
20JUL17	S	2	PD20OCT17	5984.00	6857.50	USD	-43,675.00	
20JUL17	S	1	PD20OCT17	5986.00	6857.50	USD	-21,787.50	
20JUL17	B	1	PD20OCT17	5971.00	6857.50	USD	22,162.50	
20JUL17	S	1	PD20OCT17	5978.00	6857.50	USD	-21,987.50	
20JUL17	B	2	PD20OCT17	5983.00	6857.50	USD	43,725.00	
20JUL17	S	1	PD20OCT17	5985.00	6857.50	USD	-21,812.50	
20JUL17	B	2	PD20OCT17	5975.50	6857.50	USD	44,100.00	
20JUL17	S	1	PD20OCT17	5971.50	6857.50	USD	-22,150.00	
20JUL17	S	1	PD20OCT17	5973.50	6857.50	USD	-22,100.00	
20JUL17	B	1	PD20OCT17	5951.50	6857.50	USD	22,650.00	
20JUL17	B	1	PD20OCT17	5952.50	6857.50	USD	22,625.00	
20JUL17	B	1	PD20OCT17	5970.00	6857.50	USD	22,187.50	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
20JUL17	B	1	PD200CT17	5970.50	6857.50	USD	22,175.00	
20JUL17	S	1	PD200CT17	5955.00	6857.50	USD	-22,562.50	
20JUL17	S	1	PD200CT17	5954.50	6857.50	USD	-22,575.00	
20JUL17	S	1	PD200CT17	5956.50	6857.50	USD	-22,525.00	
20JUL17	S	1	PD200CT17	5958.00	6857.50	USD	-22,487.50	
20JUL17	B	1	PD200CT17	5960.00	6857.50	USD	22,437.50	
20JUL17	S	1	PD200CT17	5965.00	6857.50	USD	-22,312.50	
20JUL17	S	2	PD200CT17	5967.50	6857.50	USD	-44,500.00	
20JUL17	B	4	PD200CT17	5983.50	6857.50	USD	87,400.00	
21JUL17	B	2	PD200CT17	6001.00	6857.50	USD	42,825.00	
21JUL17	S	1	PD200CT17	6007.00	6857.50	USD	-21,262.50	
21JUL17	S	1	PD200CT17	6014.00	6857.50	USD	-21,087.50	
21JUL17	S	1	PD200CT17	6029.00	6857.50	USD	-20,712.50	
21JUL17	B	1	PD200CT17	6039.00	6857.50	USD	20,462.50	
21JUL17	B	1	PD200CT17	6020.50	6857.50	USD	20,925.00	
21JUL17	B	1	PD200CT17	6013.50	6857.50	USD	21,100.00	
21JUL17	S	1	PD200CT17	6019.50	6857.50	USD	-20,950.00	
21JUL17	S	1	PD200CT17	6020.00	6857.50	USD	-20,937.50	
21JUL17	B	2	PD200CT17	6000.50	6857.50	USD	42,850.00	
21JUL17	S	2	PD200CT17	5999.00	6857.50	USD	-42,925.00	
24JUL17	S	1	PD200CT17	6025.30	6857.50	USD	-20,805.00	
	NET	0AVG BGHT 5985.12	SOLD 5985.05				-42.50	0.00
24JUL17	B	1	PD240CT17	5993.00	6857.50	USD	21,612.50	
24JUL17	S	1	PD240CT17	5995.00	6857.50	USD	-21,562.50	
24JUL17	S	4	PD240CT17	6026.00	6857.50	USD	-83,150.00	
24JUL17	B	1	PD240CT17	6025.00	6857.50	USD	20,812.50	
24JUL17	B	2	PD240CT17	6026.50	6857.50	USD	41,550.00	
24JUL17	B	3	PD240CT17	6027.00	6857.50	USD	62,287.50	
24JUL17	S	2	PD240CT17	6023.00	6857.50	USD	-41,725.00	
	NET	0AVG BGHT 6021.71	SOLD 6020.71				-175.00	0.00
25JUL17	B	1	PD250CT17	6042.00	6857.50	USD	20,387.50	
25JUL17	S	1	PD250CT17	6047.00	6857.50	USD	-20,262.50	
25JUL17	S	2	PD250CT17	6067.00	6857.50	USD	-39,525.00	
25JUL17	B	1	PD250CT17	6101.00	6857.50	USD	18,912.50	
25JUL17	B	1	PD250CT17	6103.00	6857.50	USD	18,862.50	
25JUL17	S	2	PD250CT17	6094.50	6857.50	USD	-38,150.00	
25JUL17	S	1	PD250CT17	6119.00	6857.50	USD	-18,462.50	
25JUL17	S	1	PD250CT17	6124.50	6857.50	USD	-18,325.00	
25JUL17	S	1	PD250CT17	6129.00	6857.50	USD	-18,212.50	
25JUL17	B	1	PD250CT17	6182.00	6857.50	USD	16,887.50	
25JUL17	S	1	PD250CT17	6189.00	6857.50	USD	-16,712.50	
25JUL17	B	2	PD250CT17	6094.00	6857.50	USD	38,175.00	
25JUL17	B	1	PD250CT17	6187.50	6857.50	USD	16,750.00	
25JUL17	S	1	PD250CT17	6194.50	6857.50	USD	-16,575.00	
25JUL17	B	1	PD250CT17	6180.00	6857.50	USD	16,937.50	
25JUL17	B	1	PD250CT17	6180.50	6857.50	USD	16,925.00	
25JUL17	S	1	PD250CT17	6199.50	6857.50	USD	-16,450.00	
25JUL17	S	1	PD250CT17	6210.00	6857.50	USD	-16,187.50	
25JUL17	S	1	PD250CT17	6219.00	6857.50	USD	-15,962.50	
25JUL17	B	1	PD250CT17	6210.50	6857.50	USD	16,175.00	
25JUL17	B	1	PD250CT17	6215.00	6857.50	USD	16,062.50	
25JUL17	S	1	PD250CT17	6228.00	6857.50	USD	-15,737.50	
25JUL17	B	1	PD250CT17	6221.00	6857.50	USD	15,912.50	
25JUL17	B	1	PD250CT17	6192.00	6857.50	USD	16,637.50	
25JUL17	B	1	PD250CT17	6202.00	6857.50	USD	16,387.50	
	NET	0AVG BGHT 6157.46	SOLD 6141.61				-5,550.00	0.00

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backoffice@sapiencap.com

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
26JUL17	S	1	PD260CT17	6264.50	6857.50	USD	-14,825.00	
26JUL17	S	1	PD260CT17	6265.00	6857.50	USD	-14,812.50	
26JUL17	B	1	PD260CT17	6335.50	6857.50	USD	13,050.00	
26JUL17	B	1	PD260CT17	6342.00	6857.50	USD	12,887.50	
26JUL17	S	2	PD260CT17	6345.00	6857.50	USD	-25,625.00	
26JUL17	B	1	PD260CT17	6362.00	6857.50	USD	12,387.50	
26JUL17	B	1	PD260CT17	6362.50	6857.50	USD	12,375.00	
26JUL17	S	1	PD260CT17	6389.50	6857.50	USD	-11,700.00	
26JUL17	B	1	PD260CT17	6291.00	6857.50	USD	14,162.50	
26JUL17	B	1	PD260CT17	6296.50	6857.50	USD	14,025.00	
26JUL17	B	1	PD260CT17	6311.50	6857.50	USD	13,650.00	
26JUL17	B	1	PD260CT17	6322.50	6857.50	USD	13,375.00	
26JUL17	B	1	PD260CT17	6332.50	6857.50	USD	13,125.00	
26JUL17	S	1	PD260CT17	6305.50	6857.50	USD	-13,800.00	
26JUL17	S	1	PD260CT17	6331.50	6857.50	USD	-13,150.00	
26JUL17	S	2	PD260CT17	6302.00	6857.50	USD	-27,775.00	
26JUL17	S	1	PD260CT17	6338.00	6857.50	USD	-12,987.50	
26JUL17	S	1	PD260CT17	6339.50	6857.50	USD	-12,950.00	
26JUL17	B	1	PD260CT17	6330.00	6857.50	USD	13,187.50	
26JUL17	S	1	PD260CT17	6340.00	6857.50	USD	-12,937.50	
26JUL17	B	1	PD260CT17	6315.50	6857.50	USD	13,550.00	
26JUL17	B	1	PD260CT17	6331.00	6857.50	USD	13,162.50	
26JUL17	S	1	PD260CT17	6327.50	6857.50	USD	-13,250.00	
14AUG17	B	1	PD260CT17	6390.25	6857.50	USD	11,681.25	
	NET	0	AVG BGHT 6332.52	SOLD 6322.69			-3,193.75	0.00
27JUL17	S	1	PD270CT17	6308.00	6857.50	USD	-13,737.50	
27JUL17	S	1	PD270CT17	6319.00	6857.50	USD	-13,462.50	
27JUL17	B	1	PD270CT17	6340.50	6857.50	USD	12,925.00	
27JUL17	S	1	PD270CT17	6338.00	6857.50	USD	-12,987.50	
27JUL17	S	1	PD270CT17	6345.50	6857.50	USD	-12,800.00	
27JUL17	B	1	PD270CT17	6347.50	6857.50	USD	12,750.00	
27JUL17	B	3	PD270CT17	6325.00	6857.50	USD	39,937.50	
27JUL17	S	1	PD270CT17	6369.50	6857.50	USD	-12,200.00	
27JUL17	B	1	PD270CT17	6356.50	6857.50	USD	12,525.00	
28JUL17	B	1	PD270CT17	6260.50	6857.50	USD	14,925.00	
28JUL17	B	1	PD270CT17	6265.50	6857.50	USD	14,800.00	
28JUL17	B	1	PD270CT17	6285.50	6857.50	USD	14,300.00	
28JUL17	S	1	PD270CT17	6267.50	6857.50	USD	-14,750.00	
28JUL17	S	1	PD270CT17	6269.50	6857.50	USD	-14,700.00	
28JUL17	B	1	PD270CT17	6290.50	6857.50	USD	14,175.00	
28JUL17	B	2	PD270CT17	6310.50	6857.50	USD	27,350.00	
28JUL17	B	2	PD270CT17	6312.00	6857.50	USD	27,275.00	
28JUL17	S	1	PD270CT17	6296.00	6857.50	USD	-14,037.50	
28JUL17	S	1	PD270CT17	6298.50	6857.50	USD	-13,975.00	
28JUL17	S	1	PD270CT17	6314.00	6857.50	USD	-13,587.50	
28JUL17	S	1	PD270CT17	6322.00	6857.50	USD	-13,387.50	
28JUL17	S	1	PD270CT17	6322.50	6857.50	USD	-13,375.00	
28JUL17	S	1	PD270CT17	6317.00	6857.50	USD	-13,512.50	
28JUL17	B	1	PD270CT17	6311.00	6857.50	USD	13,662.50	
28JUL17	B	1	PD270CT17	6340.50	6857.50	USD	12,925.00	
28JUL17	S	1	PD270CT17	6340.00	6857.50	USD	-12,937.50	
28JUL17	B	1	PD270CT17	6318.00	6857.50	USD	13,487.50	
28JUL17	S	2	PD270CT17	6324.50	6857.50	USD	-26,650.00	
14AUG17	S	1	PD270CT17	6390.41	6857.50	USD	-11,677.25	
	NET	0	AVG BGHT 6313.88	SOLD 6321.55			3,260.25	0.00
31JUL17	B	1	PD310CT17	6362.50	6858.27	USD	12,394.25	
31JUL17	B	2	PD310CT17	6381.00	6858.27	USD	23,863.50	

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31JUL17	S	1	PD31OCT17	6347.00	6858.27	USD	-12,781.75	
31JUL17	B	1	PD31OCT17	6389.00	6858.27	USD	11,731.75	
31JUL17	B	2	PD31OCT17	6403.00	6858.27	USD	22,763.50	
31JUL17	B	1	PD31OCT17	6420.50	6858.27	USD	10,944.25	
31JUL17	S	1	PD31OCT17	6350.00	6858.27	USD	-12,706.75	
31JUL17	S	1	PD31OCT17	6363.00	6858.27	USD	-12,381.75	
31JUL17	S	1	PD31OCT17	6374.00	6858.27	USD	-12,106.75	
31JUL17	S	1	PD31OCT17	6384.50	6858.27	USD	-11,844.25	
31JUL17	S	1	PD31OCT17	6394.00	6858.27	USD	-11,606.75	
31JUL17	S	1	PD31OCT17	6428.00	6858.27	USD	-10,756.75	
	NET	0 AVG BGHT 6391.43	SOLD 6377.21				-2,487.50	0.00
01AUG17	B	1	PD01NOV17	6330.50	6859.05	USD	13,213.75	
01AUG17	S	1	PD01NOV17	6336.00	6859.05	USD	-13,076.25	
01AUG17	B	1	PD01NOV17	6318.00	6859.05	USD	13,526.25	
01AUG17	S	1	PD01NOV17	6324.50	6859.05	USD	-13,363.75	
01AUG17	B	1	PD01NOV17	6338.00	6859.05	USD	13,026.25	
01AUG17	S	1	PD01NOV17	6338.00	6859.05	USD	-13,026.25	
01AUG17	B	1	PD01NOV17	6352.00	6859.05	USD	12,676.25	
01AUG17	S	1	PD01NOV17	6357.50	6859.05	USD	-12,538.75	
	NET	0 AVG BGHT 6334.63	SOLD 6339.00				437.50	0.00
02AUG17	S	1	PD02NOV17	6335.00	6859.82	USD	-13,120.50	
02AUG17	S	1	PD02NOV17	6330.00	6859.82	USD	-13,245.50	
02AUG17	B	1	PD02NOV17	6325.50	6859.82	USD	13,358.00	
02AUG17	B	1	PD02NOV17	6330.00	6859.82	USD	13,245.50	
02AUG17	S	3	PD02NOV17	6337.00	6859.82	USD	-39,211.50	
02AUG17	B	1	PD02NOV17	6334.00	6859.82	USD	13,145.50	
02AUG17	B	2	PD02NOV17	6337.00	6859.82	USD	26,141.00	
02AUG17	B	1	PD02NOV17	6331.50	6859.82	USD	13,208.00	
02AUG17	S	1	PD02NOV17	6336.50	6859.82	USD	-13,083.00	
	NET	0 AVG BGHT 6332.50	SOLD 6335.42				437.50	0.00
03AUG17	B	1	PD03NOV17	6337.00	6860.59	USD	13,089.75	
03AUG17	B	1	PD03NOV17	6342.50	6860.59	USD	12,952.25	
03AUG17	S	1	PD03NOV17	6345.00	6860.59	USD	-12,889.75	
03AUG17	S	1	PD03NOV17	6346.00	6860.59	USD	-12,864.75	
03AUG17	B	1	PD03NOV17	6323.00	6860.59	USD	13,439.75	
03AUG17	S	1	PD03NOV17	6327.00	6860.59	USD	-13,339.75	
03AUG17	S	1	PD03NOV17	6354.50	6860.59	USD	-12,652.25	
03AUG17	S	1	PD03NOV17	6349.00	6860.59	USD	-12,789.75	
04AUG17	B	1	PD03NOV17	6370.50	6860.59	USD	12,252.25	
04AUG17	B	1	PD03NOV17	6376.00	6860.59	USD	12,114.75	
04AUG17	B	1	PD03NOV17	6377.00	6860.59	USD	12,089.75	
04AUG17	S	1	PD03NOV17	6365.00	6860.59	USD	-12,389.75	
04AUG17	S	1	PD03NOV17	6368.50	6860.59	USD	-12,302.25	
04AUG17	S	2	PD03NOV17	6361.50	6860.59	USD	-24,954.50	
04AUG17	B	1	PD03NOV17	6330.50	6860.59	USD	13,252.25	
04AUG17	B	1	PD03NOV17	6344.50	6860.59	USD	12,902.25	
04AUG17	B	1	PD03NOV17	6345.00	6860.59	USD	12,889.75	
04AUG17	S	1	PD03NOV17	6332.00	6860.59	USD	-13,214.75	
04AUG17	B	3	PD03NOV17	6342.50	6860.59	USD	38,856.75	
04AUG17	S	1	PD03NOV17	6334.50	6860.59	USD	-13,152.25	
04AUG17	S	1	PD03NOV17	6337.50	6860.59	USD	-13,077.25	
04AUG17	S	1	PD03NOV17	6340.50	6860.59	USD	-13,002.25	
04AUG17	S	1	PD03NOV17	6342.00	6860.59	USD	-12,964.75	
04AUG17	S	1	PD03NOV17	6342.50	6860.59	USD	-12,952.25	
04AUG17	B	1	PD03NOV17	6348.00	6860.59	USD	12,814.75	
04AUG17	S	1	PD03NOV17	6351.50	6860.59	USD	-12,727.25	

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14AUG17	B	3	PD03NOV17	6393.09	6860.59	USD	35,062.50	
	NET	0 AVG BGHT 6356.30	SOLD 6347.41				-3,556.75	0.00
07AUG17	B	1	PD07NOV17	6340.50	6863.68	USD	13,079.50	
07AUG17	S	1	PD07NOV17	6368.50	6863.68	USD	-12,379.50	
07AUG17	B	2	PD07NOV17	6342.50	6863.68	USD	26,059.00	
07AUG17	B	3	PD07NOV17	6362.50	6863.68	USD	37,588.50	
07AUG17	B	1	PD07NOV17	6375.50	6863.68	USD	12,204.50	
07AUG17	B	1	PD07NOV17	6382.50	6863.68	USD	12,029.50	
07AUG17	B	1	PD07NOV17	6391.00	6863.68	USD	11,817.00	
07AUG17	B	1	PD07NOV17	6395.50	6863.68	USD	11,704.50	
07AUG17	B	1	PD07NOV17	6402.50	6863.68	USD	11,529.50	
07AUG17	S	1	PD07NOV17	6349.50	6863.68	USD	-12,854.50	
07AUG17	S	1	PD07NOV17	6348.00	6863.68	USD	-12,892.00	
07AUG17	S	1	PD07NOV17	6348.50	6863.68	USD	-12,879.50	
07AUG17	S	2	PD07NOV17	6349.00	6863.68	USD	-25,734.00	
07AUG17	S	2	PD07NOV17	6374.50	6863.68	USD	-24,459.00	
07AUG17	S	1	PD07NOV17	6364.00	6863.68	USD	-12,492.00	
07AUG17	S	1	PD07NOV17	6370.00	6863.68	USD	-12,342.00	
07AUG17	S	1	PD07NOV17	6375.00	6863.68	USD	-12,217.00	
07AUG17	B	1	PD07NOV17	6383.00	6863.68	USD	12,017.00	
07AUG17	S	1	PD07NOV17	6398.00	6863.68	USD	-11,642.00	
07AUG17	B	1	PD07NOV17	6408.50	6863.68	USD	11,379.50	
07AUG17	B	1	PD07NOV17	6422.50	6863.68	USD	11,029.50	
07AUG17	S	1	PD07NOV17	6418.00	6863.68	USD	-11,142.00	
07AUG17	S	1	PD07NOV17	6432.00	6863.68	USD	-10,792.00	
07AUG17	B	1	PD07NOV17	6415.50	6863.68	USD	11,204.50	
07AUG17	B	1	PD07NOV17	6423.00	6863.68	USD	11,017.00	
07AUG17	S	1	PD07NOV17	6429.00	6863.68	USD	-10,867.00	
07AUG17	B	1	PD07NOV17	6405.50	6863.68	USD	11,454.50	
07AUG17	S	1	PD07NOV17	6400.00	6863.68	USD	-11,592.00	
07AUG17	S	1	PD07NOV17	6388.50	6863.68	USD	-11,879.50	
07AUG17	B	1	PD07NOV17	6413.50	6863.68	USD	11,254.50	
07AUG17	B	1	PD07NOV17	6414.00	6863.68	USD	11,242.00	
07AUG17	B	1	PD07NOV17	6417.00	6863.68	USD	11,167.00	
14AUG17	S	3	PD07NOV17	6394.31	6863.68	USD	-35,202.75	
	NET	0 AVG BGHT 6388.13	SOLD 6380.95				-3,589.25	0.00
08AUG17	B	1	PD08NOV17	6396.00	6864.45	USD	11,711.25	
08AUG17	S	1	PD08NOV17	6404.00	6864.45	USD	-11,511.25	
08AUG17	B	1	PD08NOV17	6380.50	6864.45	USD	12,098.75	
08AUG17	S	1	PD08NOV17	6381.00	6864.45	USD	-12,086.25	
	NET	0 AVG BGHT 6388.25	SOLD 6392.50				212.50	0.00
09AUG17	B	2	PD09NOV17	6501.00	6865.23	USD	18,211.50	
09AUG17	S	1	PD09NOV17	6505.50	6865.23	USD	-8,993.25	
09AUG17	S	1	PD09NOV17	6508.00	6865.23	USD	-8,930.75	
09AUG17	S	3	PD09NOV17	6509.50	6865.23	USD	-26,679.75	
23AUG17	B	3	PD09NOV17	6563.50	6865.23	USD	22,629.75	
	NET	0 AVG BGHT 6538.50	SOLD 6508.40				-3,762.50	0.00
10AUG17	B	1	PD10NOV17	6434.00	6866.00	USD	10,800.00	
10AUG17	B	1	PD10NOV17	6400.50	6866.00	USD	11,637.50	
10AUG17	B	1	PD10NOV17	6410.50	6866.00	USD	11,387.50	
11AUG17	B	1	PD10NOV17	6351.00	6866.00	USD	12,875.00	
11AUG17	S	1	PD10NOV17	6342.00	6866.00	USD	-13,100.00	
11AUG17	B	1	PD10NOV17	6356.00	6866.00	USD	12,750.00	
11AUG17	B	1	PD10NOV17	6367.00	6866.00	USD	12,475.00	
11AUG17	S	1	PD10NOV17	6357.00	6866.00	USD	-12,725.00	
11AUG17	S	1	PD10NOV17	6363.00	6866.00	USD	-12,575.00	

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
11AUG17	B	1	PD10NOV17	6355.00	6866.00	USD	12,775.00	
11AUG17	S	1	PD10NOV17	6360.00	6866.00	USD	-12,650.00	
11AUG17	B	1	PD10NOV17	6372.50	6866.00	USD	12,337.50	
11AUG17	B	1	PD10NOV17	6374.00	6866.00	USD	12,300.00	
11AUG17	S	2	PD10NOV17	6378.00	6866.00	USD	-24,400.00	
11AUG17	S	1	PD10NOV17	6383.00	6866.00	USD	-12,075.00	
11AUG17	B	1	PD10NOV17	6407.50	6866.00	USD	11,462.50	
11AUG17	S	1	PD10NOV17	6414.50	6866.00	USD	-11,287.50	
11AUG17	B	1	PD10NOV17	6415.00	6866.00	USD	11,275.00	
11AUG17	S	1	PD10NOV17	6408.50	6866.00	USD	-11,437.50	
11AUG17	S	1	PD10NOV17	6409.00	6866.00	USD	-11,425.00	
11AUG17	S	1	PD10NOV17	6421.00	6866.00	USD	-11,125.00	
	NET	0 AVG BGHT 6385.73	SOLD 6383.09				-725.00	0.00
14AUG17	S	1	PD14NOV17	6398.00	6864.67	USD	-11,666.75	
14AUG17	B	1	PD14NOV17	6404.00	6864.67	USD	11,516.75	
14AUG17	S	1	PD14NOV17	6408.00	6864.67	USD	-11,416.75	
14AUG17	B	1	PD14NOV17	6411.50	6864.67	USD	11,329.25	
14AUG17	S	1	PD14NOV17	6414.50	6864.67	USD	-11,254.25	
14AUG17	B	1	PD14NOV17	6408.00	6864.67	USD	11,416.75	
	NET	0 AVG BGHT 6407.83	SOLD 6406.83				-75.00	0.00
15AUG17	S	1	PD15NOV17	6417.50	6864.00	USD	-11,162.50	
15AUG17	B	1	PD15NOV17	6411.50	6864.00	USD	11,312.50	
15AUG17	B	1	PD15NOV17	6429.50	6864.00	USD	10,862.50	
15AUG17	S	1	PD15NOV17	6429.50	6864.00	USD	-10,862.50	
15AUG17	S	1	PD15NOV17	6426.50	6864.00	USD	-10,937.50	
15AUG17	B	1	PD15NOV17	6421.50	6864.00	USD	11,062.50	
15AUG17	S	1	PD15NOV17	6379.50	6864.00	USD	-12,112.50	
15AUG17	B	1	PD15NOV17	6380.00	6864.00	USD	12,100.00	
	NET	0 AVG BGHT 6410.63	SOLD 6413.25				262.50	0.00
16AUG17	B	1	PD16NOV17	6387.50	6863.00	USD	11,887.50	
16AUG17	B	4	PD16NOV17	6402.00	6863.00	USD	46,100.00	
16AUG17	S	1	PD16NOV17	6408.00	6863.00	USD	-11,375.00	
16AUG17	S	1	PD16NOV17	6408.50	6863.00	USD	-11,362.50	
16AUG17	B	1	PD16NOV17	6492.50	6863.00	USD	9,262.50	
16AUG17	S	1	PD16NOV17	6489.00	6863.00	USD	-9,350.00	
16AUG17	B	2	PD16NOV17	6502.50	6863.00	USD	18,025.00	
16AUG17	S	1	PD16NOV17	6511.00	6863.00	USD	-8,800.00	
16AUG17	S	1	PD16NOV17	6514.00	6863.00	USD	-8,725.00	
16AUG17	B	4	PD16NOV17	6562.00	6863.00	USD	30,100.00	
16AUG17	S	2	PD16NOV17	6558.50	6863.00	USD	-15,225.00	
16AUG17	S	1	PD16NOV17	6568.00	6863.00	USD	-7,375.00	
16AUG17	S	1	PD16NOV17	6573.00	6863.00	USD	-7,250.00	
23AUG17	S	3	PD16NOV17	6563.30	6863.00	USD	-22,477.50	
	NET	0 AVG BGHT 6478.42	SOLD 6523.20				13,435.00	0.00
17AUG17	B	1	PD17NOV17	6483.00	6863.47	USD	9,511.75	
17AUG17	B	1	PD17NOV17	6485.50	6863.47	USD	9,449.25	
17AUG17	S	1	PD17NOV17	6489.50	6863.47	USD	-9,349.25	
17AUG17	B	1	PD17NOV17	6480.50	6863.47	USD	9,574.25	
17AUG17	B	3	PD17NOV17	6481.50	6863.47	USD	28,647.75	
17AUG17	B	1	PD17NOV17	6491.00	6863.47	USD	9,311.75	
17AUG17	S	1	PD17NOV17	6487.00	6863.47	USD	-9,411.75	
17AUG17	S	2	PD17NOV17	6488.00	6863.47	USD	-18,773.50	
17AUG17	B	1	PD17NOV17	6481.00	6863.47	USD	9,561.75	
17AUG17	S	3	PD17NOV17	6478.50	6863.47	USD	-28,872.75	
17AUG17	S	1	PD17NOV17	6495.00	6863.47	USD	-9,211.75	
	NET	0 AVG BGHT 6483.19	SOLD 6485.38				437.50	0.00

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0086D

13th October 2017

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backoffice@sapiencap.com

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Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
28JUL17	B 1 PD27OCT17	2302.50	2520.10	USD	5,440.00	
28JUL17	S 1 PD27OCT17	2297.00	2520.10	USD	-5,577.50	
	NET 0AVG BGHT 2302.50 SOLD 2297.00				-137.50	0.00
02AUG17	B 1 PD02NOV17	2352.50	2521.00	USD	4,212.50	
07AUG17	S 1 PD02NOV17	2360.13	2521.00	USD	-4,021.75	
	NET 0AVG BGHT 2352.50 SOLD 2360.13				190.75	0.00
03AUG17	S 1 PD03NOV17	2378.00	2521.13	USD	-3,578.25	
07AUG17	B 1 PD03NOV17	2360.51	2521.13	USD	4,015.50	
	NET 0AVG BGHT 2360.51 SOLD 2378.00				437.25	0.00
16AUG17	S 1 PD16NOV17	2403.50	2522.75	USD	-2,981.25	
16AUG17	B 1 PD16NOV17	2413.00	2522.75	USD	2,743.75	
16AUG17	S 1 PD16NOV17	2488.00	2522.75	USD	-868.75	
16AUG17	B 1 PD16NOV17	2495.50	2522.75	USD	681.25	
	NET 0AVG BGHT 2454.25 SOLD 2445.75				-425.00	0.00
17AUG17	B 1 PD17NOV17	2461.00	2522.75	USD	1,543.75	
17AUG17	S 1 PD17NOV17	2459.00	2522.75	USD	-1,593.75	
	NET 0AVG BGHT 2461.00 SOLD 2459.00				-50.00	0.00
	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
07AUG17	B 1 PD07NOV17	10255.00	11626.69	USD	8,230.14	
07AUG17	S 1 PD07NOV17	10245.00	11626.69	USD	-8,290.14	
07AUG17	S 1 PD07NOV17	10360.00	11626.69	USD	-7,600.14	
07AUG17	B 1 PD07NOV17	10385.00	11626.69	USD	7,450.14	
	NET 0AVG BGHT 10320.00 SOLD 10302.50				-210.00	0.00
08AUG17	B 1 PD08NOV17	10350.00	11627.54	USD	7,665.24	
08AUG17	S 1 PD08NOV17	10320.00	11627.54	USD	-7,845.24	
	NET 0AVG BGHT 10350.00 SOLD 10320.00				-180.00	0.00
11AUG17	B 1 PD10NOV17	10630.00	11629.24	USD	5,995.44	
11AUG17	B 1 PD10NOV17	10660.00	11629.24	USD	5,815.44	
11AUG17	S 2 PD10NOV17	10690.00	11629.24	USD	-11,270.88	
	NET 0AVG BGHT 10645.00 SOLD 10690.00				540.00	0.00
16AUG17	B 1 PD16NOV17	10760.00	11634.19	USD	5,245.14	
16AUG17	S 1 PD16NOV17	10750.00	11634.19	USD	-5,305.14	
	NET 0AVG BGHT 10760.00 SOLD 10750.00				-60.00	0.00
	<u>LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
24JUL17	B 1 PD24OCT17	20160.00	20725.00	USD	2,825.00	
24JUL17	S 1 PD24OCT17	20145.00	20725.00	USD	-2,900.00	
	NET 0AVG BGHT 20160.00 SOLD 20145.00				-75.00	0.00
26JUL17	B 2 PD26OCT17	20225.00	20720.00	USD	4,950.00	
26JUL17	S 1 PD26OCT17	20300.00	20720.00	USD	-2,100.00	
26JUL17	S 1 PD26OCT17	20295.00	20720.00	USD	-2,125.00	
	NET 0AVG BGHT 20225.00 SOLD 20297.50				725.00	0.00
27JUL17	S 1 PD27OCT17	20480.00	20717.50	USD	-1,187.50	
27JUL17	B 1 PD27OCT17	20530.00	20717.50	USD	937.50	
28JUL17	S 1 PD27OCT17	20600.00	20717.50	USD	-587.50	
28JUL17	B 1 PD27OCT17	20565.00	20717.50	USD	762.50	
	NET 0AVG BGHT 20547.50 SOLD 20540.00				-75.00	0.00
04AUG17	B 1 PD03NOV17	20700.00	20705.00	USD	25.00	
04AUG17	S 1 PD03NOV17	20730.00	20705.00	USD	125.00	
	NET 0AVG BGHT 20700.00 SOLD 20730.00				150.00	0.00
07AUG17	S 1 PD07NOV17	20650.00	20700.00	USD	-250.00	
07AUG17	S 1 PD07NOV17	20660.00	20700.00	USD	-200.00	

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	<u>LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
07AUG17	B	1	PD07NOV17	20620.00	20700.00	USD	400.00	
07AUG17	B	1	PD07NOV17	20615.00	20700.00	USD	425.00	
	NET	0 AVG	BGHT 20617.50				375.00	0.00
08AUG17	B	1	PD08NOV17	20405.00	20697.50	USD	1,462.50	
08AUG17	S	1	PD08NOV17	20440.00	20697.50	USD	-1,287.50	
08AUG17	S	1	PD08NOV17	20465.00	20697.50	USD	-1,162.50	
08AUG17	B	1	PD08NOV17	20515.00	20697.50	USD	912.50	
08AUG17	S	1	PD08NOV17	20590.00	20697.50	USD	-537.50	
08AUG17	B	2	PD08NOV17	20425.00	20697.50	USD	2,725.00	
08AUG17	S	1	PD08NOV17	20445.00	20697.50	USD	-1,262.50	
08AUG17	B	2	PD08NOV17	20310.00	20697.50	USD	3,875.00	
08AUG17	S	1	PD08NOV17	20290.00	20697.50	USD	-2,037.50	
08AUG17	B	1	PD08NOV17	20315.00	20697.50	USD	1,912.50	
08AUG17	B	1	PD08NOV17	20155.00	20697.50	USD	2,712.50	
08AUG17	S	1	PD08NOV17	20230.00	20697.50	USD	-2,337.50	
08AUG17	S	1	PD08NOV17	20210.00	20697.50	USD	-2,437.50	
08AUG17	S	1	PD08NOV17	20285.00	20697.50	USD	-2,062.50	
	NET	0 AVG	BGHT 20357.50				475.00	0.00
11AUG17	B	1	PD10NOV17	20220.00	20692.50	USD	2,362.50	
11AUG17	S	1	PD10NOV17	20270.00	20692.50	USD	-2,112.50	
	NET	0 AVG	BGHT 20220.00				250.00	0.00
15AUG17	S	1	PD15NOV17	20345.00	20685.00	USD	-1,700.00	
15AUG17	B	1	PD15NOV17	20310.00	20685.00	USD	1,875.00	
15AUG17	B	1	PD15NOV17	19320.00	20685.00	USD	6,825.00	
15AUG17	S	1	PD15NOV17	19800.00	20685.00	USD	-4,425.00	
15AUG17	S	2	PD15NOV17	19980.00	20685.00	USD	-7,050.00	
15AUG17	S	1	PD15NOV17	20150.00	20685.00	USD	-2,675.00	
15AUG17	B	1	PD15NOV17	20075.00	20685.00	USD	3,050.00	
15AUG17	B	1	PD15NOV17	19225.00	20685.00	USD	7,300.00	
15AUG17	B	1	PD15NOV17	19300.00	20685.00	USD	6,925.00	
	NET	0 AVG	BGHT 19646.00				10,125.00	0.00
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
27JUL17	S	2	PD27OCT17	2797.50	3270.73	USD	-23,661.50	
27JUL17	B	2	PD27OCT17	2790.00	3270.73	USD	24,036.50	
	NET	0 AVG	BGHT 2790.00				375.00	0.00
09AUG17	B	1	PD09NOV17	2982.50	3260.53	USD	6,950.75	
09AUG17	B	1	PD09NOV17	2983.00	3260.53	USD	6,938.25	
09AUG17	S	1	PD09NOV17	2967.50	3260.53	USD	-7,325.75	
09AUG17	S	1	PD09NOV17	2979.50	3260.53	USD	-7,025.75	
	NET	0 AVG	BGHT 2982.75				-462.50	0.00
16AUG17	B	1	PD16NOV17	3013.00	3253.50	USD	6,012.50	
16AUG17	S	1	PD16NOV17	3008.50	3253.50	USD	-6,125.00	
16AUG17	S	1	PD16NOV17	3077.00	3253.50	USD	-4,412.50	
16AUG17	S	2	PD16NOV17	3078.00	3253.50	USD	-8,775.00	
16AUG17	B	3	PD16NOV17	3095.50	3253.50	USD	11,850.00	
16AUG17	B	2	PD16NOV17	3120.50	3253.50	USD	6,650.00	
16AUG17	S	1	PD16NOV17	3127.00	3253.50	USD	-3,162.50	
16AUG17	S	1	PD16NOV17	3128.00	3253.50	USD	-3,137.50	
	NET	0 AVG	BGHT 3090.08				-1,100.00	0.00
17AUG17	B	1	PD17NOV17	3092.50	3251.00	USD	3,962.50	
17AUG17	B	1	PD17NOV17	3094.00	3251.00	USD	3,925.00	
17AUG17	S	2	PD17NOV17	3089.00	3251.00	USD	-8,100.00	
	NET	0 AVG	BGHT 3093.25				-212.50	0.00

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OPEN POSITION VALUE

		USD
LME Copper Grade A 25	FUTURES	-3,549.50
LME Lead 25 tonnes. This is an	FUTURES	15.50
LME Nickel 6 tonnes. This is	FUTURES	90.00
LME Tin 5 tonnes. This is an	FUTURES	11,950.00
LME Zinc 25 tonnes. This is	FUTURES	-1,400.00

MARKET REVALUATION BREAKDOWN

Unrealised Profits & Losses - Forwards	Amount	Profit / Loss	Total Amount	Total P&L
COPL - Futures USD	-3,549.50	-3,549.50		
LEAD - Futures USD	15.50	15.50		
NKL - Futures USD	90.00	90.00		
TIN - Futures USD	11,950.00	11,950.00		
ZINC - Futures USD	-1,400.00	-1,400.00	7,106.00	7,106.00

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
CASH BALANCE	(1.00000)	(1.00000)
Variation Margins - Futures	15,470.40	15,470.40
- Options	0.00	0.00
TOTAL EQUITY	15,470.40	15,470.40
Initial Margins	0.00	0.00
Excess/(Shortage)	15,470.40	15,470.40
FOR INFORMATION ONLY		
Forward Unrealised P&L	7,106.00	7,106.00
Net Liquidating Value	22,576.40	22,576.40

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	<u>LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.</u>							
14AUG17	S	1	PD14NOV17	2039.00	2119.29	USD	-2,007.25	
14AUG17	B	1	PD14NOV17	2028.50	2119.29	USD	2,269.75	
	NET	0AVG BGHT 2028.50	SOLD 2039.00				262.50	0.00
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
17JUL17	B	1	PD17OCT17	5960.00	6859.00	USD	22,475.00	
17JUL17	S	1	PD17OCT17	5952.50	6859.00	USD	-22,662.50	
17JUL17	B	1	PD17OCT17	5952.00	6859.00	USD	22,675.00	
17JUL17	B	1	PD17OCT17	5975.50	6859.00	USD	22,087.50	
17JUL17	S	1	PD17OCT17	5949.00	6859.00	USD	-22,750.00	
17JUL17	S	1	PD17OCT17	5952.00	6859.00	USD	-22,675.00	
17JUL17	S	1	PD17OCT17	5955.00	6859.00	USD	-22,600.00	
17JUL17	S	1	PD17OCT17	5979.50	6859.00	USD	-21,987.50	
17JUL17	B	1	PD17OCT17	5976.50	6859.00	USD	22,062.50	
17JUL17	S	1	PD17OCT17	5971.00	6859.00	USD	-22,200.00	
17JUL17	S	1	PD17OCT17	5978.50	6859.00	USD	-22,012.50	
17JUL17	B	1	PD17OCT17	6000.50	6859.00	USD	21,462.50	
17JUL17	B	1	PD17OCT17	6002.00	6859.00	USD	21,425.00	
17JUL17	B	1	PD17OCT17	6013.50	6859.00	USD	21,137.50	
	NET	0AVG BGHT 5982.86	SOLD 5962.50				-3,562.50	0.00
18JUL17	B	1	PD18OCT17	5972.00	6859.50	USD	22,187.50	
18JUL17	B	1	PD18OCT17	5985.00	6859.50	USD	21,862.50	
18JUL17	S	1	PD18OCT17	5977.00	6859.50	USD	-22,062.50	
18JUL17	S	1	PD18OCT17	5988.00	6859.50	USD	-21,787.50	
18JUL17	S	1	PD18OCT17	5990.00	6859.50	USD	-21,737.50	
18JUL17	S	1	PD18OCT17	5994.00	6859.50	USD	-21,637.50	
18JUL17	S	1	PD18OCT17	5993.00	6859.50	USD	-21,662.50	
18JUL17	B	3	PD18OCT17	5980.00	6859.50	USD	65,962.50	
18JUL17	S	1	PD18OCT17	6008.00	6859.50	USD	-21,287.50	
18JUL17	S	1	PD18OCT17	6009.00	6859.50	USD	-21,262.50	
24JUL17	B	2	PD18OCT17	6027.50	6859.50	USD	41,600.00	
	NET	0AVG BGHT 5993.14	SOLD 5994.14				175.00	0.00
19JUL17	B	2	PD19OCT17	5989.00	6857.50	USD	43,425.00	
19JUL17	B	1	PD19OCT17	6016.00	6857.50	USD	21,037.50	
19JUL17	B	1	PD19OCT17	6018.50	6857.50	USD	20,975.00	
19JUL17	S	1	PD19OCT17	6014.00	6857.50	USD	-21,087.50	
19JUL17	S	2	PD19OCT17	6014.50	6857.50	USD	-42,150.00	
19JUL17	S	1	PD19OCT17	6015.00	6857.50	USD	-21,062.50	
19JUL17	S	1	PD19OCT17	6016.00	6857.50	USD	-21,037.50	
19JUL17	B	3	PD19OCT17	5997.00	6857.50	USD	64,537.50	
19JUL17	S	1	PD19OCT17	5997.50	6857.50	USD	-21,500.00	
19JUL17	B	1	PD19OCT17	5990.00	6857.50	USD	21,687.50	
19JUL17	S	1	PD19OCT17	5984.00	6857.50	USD	-21,837.50	
19JUL17	S	1	PD19OCT17	5987.50	6857.50	USD	-21,750.00	
19JUL17	S	1	PD19OCT17	5985.00	6857.50	USD	-21,812.50	
19JUL17	B	1	PD19OCT17	5991.50	6857.50	USD	21,650.00	
19JUL17	B	1	PD19OCT17	5982.00	6857.50	USD	21,887.50	
19JUL17	S	1	PD19OCT17	5979.00	6857.50	USD	-21,962.50	
	NET	0AVG BGHT 5996.70	SOLD 6000.70				1,000.00	0.00
20JUL17	B	1	PD20OCT17	5991.00	6857.50	USD	21,662.50	
20JUL17	S	1	PD20OCT17	5982.00	6857.50	USD	-21,887.50	
20JUL17	S	1	PD20OCT17	5987.50	6857.50	USD	-21,750.00	
20JUL17	S	1	PD20OCT17	5983.00	6857.50	USD	-21,862.50	
20JUL17	B	4	PD20OCT17	5970.00	6857.50	USD	88,750.00	
20JUL17	S	1	PD20OCT17	5977.00	6857.50	USD	-22,012.50	
20JUL17	S	1	PD20OCT17	5979.00	6857.50	USD	-21,962.50	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0086E

13th October 2017

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+44 (0) 20 7340 9081
backoffice@sapiencap.com

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
20JUL17	B	1	PD200CT17	5975.50	6857.50	USD	22,050.00	
20JUL17	S	1	PD200CT17	5975.00	6857.50	USD	-22,062.50	
20JUL17	B	2	PD200CT17	5969.00	6857.50	USD	44,425.00	
20JUL17	B	1	PD200CT17	5970.50	6857.50	USD	22,175.00	
20JUL17	S	1	PD200CT17	5953.00	6857.50	USD	-22,612.50	
20JUL17	B	1	PD200CT17	5955.50	6857.50	USD	22,550.00	
20JUL17	S	1	PD200CT17	5967.00	6857.50	USD	-22,262.50	
20JUL17	S	2	PD200CT17	5974.50	6857.50	USD	-44,150.00	
20JUL17	S	1	PD200CT17	5977.50	6857.50	USD	-22,000.00	
20JUL17	B	1	PD200CT17	5977.00	6857.50	USD	22,012.50	
20JUL17	B	1	PD200CT17	5978.50	6857.50	USD	21,975.00	
20JUL17	B	1	PD200CT17	5981.00	6857.50	USD	21,912.50	
21JUL17	S	1	PD200CT17	5985.00	6857.50	USD	-21,812.50	
21JUL17	B	2	PD200CT17	6000.00	6857.50	USD	42,875.00	
21JUL17	B	2	PD200CT17	6001.50	6857.50	USD	42,800.00	
21JUL17	B	1	PD200CT17	6005.50	6857.50	USD	21,300.00	
21JUL17	S	1	PD200CT17	6003.50	6857.50	USD	-21,350.00	
21JUL17	S	1	PD200CT17	6004.00	6857.50	USD	-21,337.50	
21JUL17	S	1	PD200CT17	6011.00	6857.50	USD	-21,162.50	
21JUL17	S	1	PD200CT17	6012.00	6857.50	USD	-21,137.50	
21JUL17	B	1	PD200CT17	6036.00	6857.50	USD	20,537.50	
21JUL17	S	1	PD200CT17	6035.00	6857.50	USD	-20,562.50	
21JUL17	B	1	PD200CT17	6032.00	6857.50	USD	20,637.50	
21JUL17	S	2	PD200CT17	5983.00	6857.50	USD	-43,725.00	
21JUL17	B	1	PD200CT17	6035.00	6857.50	USD	20,562.50	
24JUL17	S	2	PD200CT17	6025.30	6857.50	USD	-41,610.00	
	NET	0AVG BGHT 5988.50	SOLD 5990.34				965.00	0.00
24JUL17	S	2	PD240CT17	6028.00	6857.50	USD	-41,475.00	
24JUL17	S	1	PD240CT17	6029.00	6857.50	USD	-20,712.50	
24JUL17	B	1	PD240CT17	6017.00	6857.50	USD	21,012.50	
24JUL17	B	1	PD240CT17	6026.00	6857.50	USD	20,787.50	
24JUL17	B	1	PD240CT17	6016.00	6857.50	USD	21,037.50	
	NET	0AVG BGHT 6019.67	SOLD 6028.33				650.00	0.00
25JUL17	B	1	PD250CT17	6073.50	6857.50	USD	19,600.00	
25JUL17	S	1	PD250CT17	6066.00	6857.50	USD	-19,787.50	
25JUL17	S	1	PD250CT17	6069.50	6857.50	USD	-19,700.00	
25JUL17	B	1	PD250CT17	6097.00	6857.50	USD	19,012.50	
25JUL17	B	1	PD250CT17	6101.50	6857.50	USD	18,900.00	
25JUL17	B	2	PD250CT17	6103.00	6857.50	USD	37,725.00	
25JUL17	B	1	PD250CT17	6121.00	6857.50	USD	18,412.50	
25JUL17	S	1	PD250CT17	6084.50	6857.50	USD	-19,325.00	
25JUL17	S	1	PD250CT17	6094.50	6857.50	USD	-19,075.00	
25JUL17	S	1	PD250CT17	6096.50	6857.50	USD	-19,025.00	
25JUL17	S	1	PD250CT17	6099.00	6857.50	USD	-18,962.50	
25JUL17	S	1	PD250CT17	6122.00	6857.50	USD	-18,387.50	
25JUL17	S	1	PD250CT17	6123.00	6857.50	USD	-18,362.50	
25JUL17	S	1	PD250CT17	6129.00	6857.50	USD	-18,212.50	
25JUL17	B	1	PD250CT17	6117.00	6857.50	USD	18,512.50	
25JUL17	S	1	PD250CT17	6144.50	6857.50	USD	-17,825.00	
25JUL17	B	1	PD250CT17	6181.50	6857.50	USD	16,900.00	
25JUL17	S	1	PD250CT17	6188.00	6857.50	USD	-16,737.50	
25JUL17	S	1	PD250CT17	6184.00	6857.50	USD	-16,837.50	
25JUL17	B	3	PD250CT17	6180.00	6857.50	USD	50,812.50	
25JUL17	B	2	PD250CT17	6205.00	6857.50	USD	32,625.00	
25JUL17	S	1	PD250CT17	6218.00	6857.50	USD	-15,987.50	
25JUL17	S	1	PD250CT17	6227.00	6857.50	USD	-15,762.50	
25JUL17	B	1	PD250CT17	6215.00	6857.50	USD	16,062.50	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
25JUL17	S	1	PD250CT17	6229.00	6857.50	USD	-15,712.50	
25JUL17	B	1	PD250CT17	6221.00	6857.50	USD	15,912.50	
25JUL17	B	1	PD250CT17	6202.50	6857.50	USD	16,375.00	
25JUL17	S	1	PD250CT17	6199.00	6857.50	USD	-16,462.50	
	NET	0	AVG BGHT 6155.38				-5,312.50	0.00
			SOLD 6142.09					
26JUL17	S	2	PD260CT17	6266.00	6857.50	USD	-29,575.00	
26JUL17	B	1	PD260CT17	6335.00	6857.50	USD	13,062.50	
26JUL17	S	1	PD260CT17	6295.00	6857.50	USD	-14,062.50	
26JUL17	S	1	PD260CT17	6344.50	6857.50	USD	-12,825.00	
26JUL17	B	1	PD260CT17	6292.00	6857.50	USD	14,137.50	
26JUL17	B	1	PD260CT17	6296.00	6857.50	USD	14,037.50	
26JUL17	B	1	PD260CT17	6322.00	6857.50	USD	13,387.50	
26JUL17	B	1	PD260CT17	6323.00	6857.50	USD	13,362.50	
26JUL17	B	1	PD260CT17	6333.00	6857.50	USD	13,112.50	
26JUL17	B	1	PD260CT17	6283.00	6857.50	USD	14,362.50	
26JUL17	S	1	PD260CT17	6277.00	6857.50	USD	-14,512.50	
26JUL17	S	1	PD260CT17	6315.00	6857.50	USD	-13,562.50	
26JUL17	B	1	PD260CT17	6329.00	6857.50	USD	13,212.50	
26JUL17	S	1	PD260CT17	6340.00	6857.50	USD	-12,937.50	
26JUL17	S	1	PD260CT17	6340.50	6857.50	USD	-12,925.00	
26JUL17	B	1	PD260CT17	6313.00	6857.50	USD	13,612.50	
26JUL17	S	1	PD260CT17	6310.00	6857.50	USD	-13,687.50	
26JUL17	B	2	PD260CT17	6331.00	6857.50	USD	26,325.00	
26JUL17	S	3	PD260CT17	6338.00	6857.50	USD	-38,962.50	
26JUL17	B	1	PD260CT17	6345.00	6857.50	USD	12,812.50	
26JUL17	S	1	PD260CT17	6337.50	6857.50	USD	-13,000.00	
26JUL17	B	1	PD260CT17	6330.00	6857.50	USD	13,187.50	
	NET	0	AVG BGHT 6320.23				-1,437.50	0.00
			SOLD 6315.81					
27JUL17	S	1	PD270CT17	6304.50	6857.50	USD	-13,825.00	
27JUL17	S	1	PD270CT17	6318.50	6857.50	USD	-13,475.00	
27JUL17	S	1	PD270CT17	6344.00	6857.50	USD	-12,837.50	
27JUL17	B	1	PD270CT17	6372.00	6857.50	USD	12,137.50	
27JUL17	B	1	PD270CT17	6370.50	6857.50	USD	12,175.00	
27JUL17	B	1	PD270CT17	6356.50	6857.50	USD	12,525.00	
27JUL17	S	1	PD270CT17	6355.50	6857.50	USD	-12,550.00	
27JUL17	S	2	PD270CT17	6377.00	6857.50	USD	-24,025.00	
27JUL17	B	2	PD270CT17	6350.00	6857.50	USD	25,375.00	
27JUL17	B	1	PD270CT17	6375.00	6857.50	USD	12,062.50	
27JUL17	S	1	PD270CT17	6369.50	6857.50	USD	-12,200.00	
27JUL17	B	1	PD270CT17	6361.00	6857.50	USD	12,412.50	
27JUL17	B	1	PD270CT17	6352.00	6857.50	USD	12,637.50	
27JUL17	S	1	PD270CT17	6350.00	6857.50	USD	-12,687.50	
27JUL17	B	1	PD270CT17	6339.00	6857.50	USD	12,962.50	
27JUL17	S	1	PD270CT17	6339.00	6857.50	USD	-12,962.50	
27JUL17	B	1	PD270CT17	6331.00	6857.50	USD	13,162.50	
27JUL17	S	1	PD270CT17	6336.00	6857.50	USD	-13,037.50	
28JUL17	S	1	PD270CT17	6330.00	6857.50	USD	-13,187.50	
28JUL17	B	1	PD270CT17	6260.50	6857.50	USD	14,925.00	
28JUL17	B	1	PD270CT17	6285.00	6857.50	USD	14,312.50	
28JUL17	S	1	PD270CT17	6268.00	6857.50	USD	-14,737.50	
28JUL17	B	1	PD270CT17	6306.00	6857.50	USD	13,787.50	
28JUL17	B	1	PD270CT17	6310.50	6857.50	USD	13,675.00	
28JUL17	S	1	PD270CT17	6298.00	6857.50	USD	-13,987.50	
28JUL17	S	1	PD270CT17	6308.50	6857.50	USD	-13,725.00	
28JUL17	S	1	PD270CT17	6321.00	6857.50	USD	-13,412.50	
28JUL17	B	1	PD270CT17	6326.00	6857.50	USD	13,287.50	
28JUL17	S	1	PD270CT17	6318.00	6857.50	USD	-13,487.50	

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28JUL17	B	2	PD27OCT17	6312.00	6857.50	USD	27,275.00	
28JUL17	S	1	PD27OCT17	6319.00	6857.50	USD	-13,462.50	
28JUL17	B	1	PD27OCT17	6331.00	6857.50	USD	13,162.50	
28JUL17	S	1	PD27OCT17	6338.00	6857.50	USD	-12,987.50	
	NET	0 AVG BGHT 6333.33	SOLD 6331.75				-712.50	0.00
31JUL17	B	1	PD31OCT17	6402.00	6858.27	USD	11,406.75	
31JUL17	B	1	PD31OCT17	6403.00	6858.27	USD	11,381.75	
31JUL17	B	1	PD31OCT17	6421.00	6858.27	USD	10,931.75	
31JUL17	S	2	PD31OCT17	6344.50	6858.27	USD	-25,688.50	
31JUL17	S	1	PD31OCT17	6427.50	6858.27	USD	-10,769.25	
	NET	0 AVG BGHT 6408.67	SOLD 6372.17				-2,737.50	0.00
01AUG17	S	1	PD01NOV17	6336.00	6859.05	USD	-13,076.25	
01AUG17	B	1	PD01NOV17	6341.50	6859.05	USD	12,938.75	
01AUG17	B	1	PD01NOV17	6353.00	6859.05	USD	12,651.25	
01AUG17	S	1	PD01NOV17	6357.50	6859.05	USD	-12,538.75	
	NET	0 AVG BGHT 6347.25	SOLD 6346.75				-25.00	0.00
02AUG17	S	1	PD02NOV17	6349.00	6859.82	USD	-12,770.50	
02AUG17	S	1	PD02NOV17	6350.00	6859.82	USD	-12,745.50	
02AUG17	S	1	PD02NOV17	6330.00	6859.82	USD	-13,245.50	
02AUG17	B	1	PD02NOV17	6331.00	6859.82	USD	13,220.50	
02AUG17	B	1	PD02NOV17	6332.00	6859.82	USD	13,195.50	
02AUG17	B	1	PD02NOV17	6336.00	6859.82	USD	13,095.50	
02AUG17	B	1	PD02NOV17	6343.00	6859.82	USD	12,920.50	
02AUG17	S	1	PD02NOV17	6342.00	6859.82	USD	-12,945.50	
02AUG17	S	1	PD02NOV17	6337.00	6859.82	USD	-13,070.50	
02AUG17	B	1	PD02NOV17	6335.50	6859.82	USD	13,108.00	
	NET	0 AVG BGHT 6335.50	SOLD 6341.60				762.50	0.00
03AUG17	S	1	PD03NOV17	6345.00	6860.59	USD	-12,889.75	
03AUG17	S	1	PD03NOV17	6369.50	6860.59	USD	-12,277.25	
03AUG17	B	1	PD03NOV17	6320.50	6860.59	USD	13,502.25	
03AUG17	B	1	PD03NOV17	6335.00	6860.59	USD	13,139.75	
03AUG17	B	1	PD03NOV17	6336.00	6860.59	USD	13,114.75	
03AUG17	S	1	PD03NOV17	6325.00	6860.59	USD	-13,389.75	
03AUG17	B	1	PD03NOV17	6322.00	6860.59	USD	13,464.75	
03AUG17	S	1	PD03NOV17	6327.00	6860.59	USD	-13,339.75	
03AUG17	S	1	PD03NOV17	6334.00	6860.59	USD	-13,164.75	
03AUG17	B	1	PD03NOV17	6319.00	6860.59	USD	13,539.75	
03AUG17	S	1	PD03NOV17	6355.00	6860.59	USD	-12,639.75	
04AUG17	B	2	PD03NOV17	6380.00	6860.59	USD	24,029.50	
04AUG17	B	1	PD03NOV17	6381.50	6860.59	USD	11,977.25	
04AUG17	S	1	PD03NOV17	6379.50	6860.59	USD	-12,027.25	
04AUG17	S	1	PD03NOV17	6380.00	6860.59	USD	-12,014.75	
04AUG17	S	1	PD03NOV17	6368.50	6860.59	USD	-12,302.25	
04AUG17	S	1	PD03NOV17	6369.00	6860.59	USD	-12,289.75	
04AUG17	B	1	PD03NOV17	6359.00	6860.59	USD	12,539.75	
04AUG17	S	2	PD03NOV17	6364.00	6860.59	USD	-24,829.50	
04AUG17	B	1	PD03NOV17	6355.00	6860.59	USD	12,639.75	
04AUG17	B	1	PD03NOV17	6331.00	6860.59	USD	13,239.75	
04AUG17	B	1	PD03NOV17	6346.50	6860.59	USD	12,852.25	
04AUG17	S	2	PD03NOV17	6338.00	6860.59	USD	-26,129.50	
04AUG17	S	1	PD03NOV17	6341.00	6860.59	USD	-12,989.75	
04AUG17	S	1	PD03NOV17	6358.50	6860.59	USD	-12,552.25	
04AUG17	B	1	PD03NOV17	6363.00	6860.59	USD	12,439.75	
04AUG17	B	2	PD03NOV17	6382.00	6860.59	USD	23,929.50	
04AUG17	S	2	PD03NOV17	6378.00	6860.59	USD	-24,129.50	
04AUG17	B	1	PD03NOV17	6371.00	6860.59	USD	12,239.75	

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14AUG17	B	2	PD03NOV17	6393.09	6860.59	USD	23,375.00	
	NET	0 AVG BGHT 6358.32	SOLD 6356.22				-942.00	0.00
07AUG17	B	1	PD07NOV17	6366.00	6863.68	USD	12,442.00	
07AUG17	B	1	PD07NOV17	6404.50	6863.68	USD	11,479.50	
07AUG17	S	1	PD07NOV17	6349.00	6863.68	USD	-12,867.00	
07AUG17	S	1	PD07NOV17	6369.50	6863.68	USD	-12,354.50	
07AUG17	B	1	PD07NOV17	6344.00	6863.68	USD	12,992.00	
07AUG17	B	3	PD07NOV17	6362.00	6863.68	USD	37,626.00	
07AUG17	B	1	PD07NOV17	6363.00	6863.68	USD	12,517.00	
07AUG17	B	1	PD07NOV17	6363.50	6863.68	USD	12,504.50	
07AUG17	B	1	PD07NOV17	6383.00	6863.68	USD	12,017.00	
07AUG17	B	1	PD07NOV17	6401.50	6863.68	USD	11,554.50	
07AUG17	B	1	PD07NOV17	6412.50	6863.68	USD	11,279.50	
07AUG17	B	1	PD07NOV17	6413.50	6863.68	USD	11,254.50	
07AUG17	S	2	PD07NOV17	6369.00	6863.68	USD	-24,734.00	
07AUG17	S	2	PD07NOV17	6408.00	6863.68	USD	-22,784.00	
07AUG17	S	1	PD07NOV17	6414.00	6863.68	USD	-11,242.00	
07AUG17	S	1	PD07NOV17	6427.00	6863.68	USD	-10,917.00	
07AUG17	B	2	PD07NOV17	6342.00	6863.68	USD	26,084.00	
07AUG17	B	2	PD07NOV17	6343.00	6863.68	USD	26,034.00	
07AUG17	B	1	PD07NOV17	6346.00	6863.68	USD	12,942.00	
07AUG17	B	1	PD07NOV17	6351.50	6863.68	USD	12,804.50	
07AUG17	B	2	PD07NOV17	6355.00	6863.68	USD	25,434.00	
07AUG17	S	1	PD07NOV17	6339.00	6863.68	USD	-13,117.00	
07AUG17	S	1	PD07NOV17	6342.00	6863.68	USD	-13,042.00	
07AUG17	S	2	PD07NOV17	6349.50	6863.68	USD	-25,709.00	
07AUG17	S	1	PD07NOV17	6352.00	6863.68	USD	-12,792.00	
07AUG17	S	1	PD07NOV17	6367.00	6863.68	USD	-12,417.00	
07AUG17	S	2	PD07NOV17	6368.00	6863.68	USD	-24,784.00	
07AUG17	S	1	PD07NOV17	6378.00	6863.68	USD	-12,142.00	
07AUG17	S	1	PD07NOV17	6410.00	6863.68	USD	-11,342.00	
07AUG17	B	1	PD07NOV17	6414.00	6863.68	USD	11,242.00	
07AUG17	S	2	PD07NOV17	6404.50	6863.68	USD	-22,959.00	
07AUG17	S	1	PD07NOV17	6418.00	6863.68	USD	-11,142.00	
07AUG17	B	1	PD07NOV17	6433.00	6863.68	USD	10,767.00	
07AUG17	S	1	PD07NOV17	6438.00	6863.68	USD	-10,642.00	
07AUG17	B	1	PD07NOV17	6396.00	6863.68	USD	11,692.00	
07AUG17	S	1	PD07NOV17	6400.00	6863.68	USD	-11,592.00	
07AUG17	B	2	PD07NOV17	6417.00	6863.68	USD	22,334.00	
14AUG17	S	2	PD07NOV17	6394.31	6863.68	USD	-23,468.50	
	NET	0 AVG BGHT 6375.68	SOLD 6383.60				4,953.00	0.00
08AUG17	B	1	PD08NOV17	6405.00	6864.45	USD	11,486.25	
08AUG17	S	1	PD08NOV17	6392.00	6864.45	USD	-11,811.25	
08AUG17	B	1	PD08NOV17	6453.50	6864.45	USD	10,273.75	
08AUG17	S	1	PD08NOV17	6458.00	6864.45	USD	-10,161.25	
	NET	0 AVG BGHT 6429.25	SOLD 6425.00				-212.50	0.00
09AUG17	B	1	PD09NOV17	6503.00	6865.23	USD	9,055.75	
09AUG17	S	1	PD09NOV17	6507.00	6865.23	USD	-8,955.75	
09AUG17	S	1	PD09NOV17	6509.00	6865.23	USD	-8,905.75	
09AUG17	S	1	PD09NOV17	6510.00	6865.23	USD	-8,880.75	
09AUG17	S	1	PD09NOV17	6503.00	6865.23	USD	-9,055.75	
23AUG17	B	3	PD09NOV17	6563.50	6865.23	USD	22,629.75	
	NET	0 AVG BGHT 6548.38	SOLD 6507.25				-4,112.50	0.00
10AUG17	B	1	PD10NOV17	6463.00	6866.00	USD	10,075.00	
10AUG17	S	1	PD10NOV17	6458.00	6866.00	USD	-10,200.00	
10AUG17	B	1	PD10NOV17	6425.00	6866.00	USD	11,025.00	

OPEN POSITION STATEMENT



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SAP0086E

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
10AUG17	S	1	PD10NOV17	6434.50	6866.00	USD	-10,787.50	
10AUG17	B	1	PD10NOV17	6402.00	6866.00	USD	11,600.00	
10AUG17	B	1	PD10NOV17	6405.50	6866.00	USD	11,512.50	
10AUG17	B	1	PD10NOV17	6415.00	6866.00	USD	11,275.00	
11AUG17	B	1	PD10NOV17	6345.50	6866.00	USD	13,012.50	
11AUG17	S	1	PD10NOV17	6343.00	6866.00	USD	-13,075.00	
11AUG17	B	1	PD10NOV17	6358.00	6866.00	USD	12,700.00	
11AUG17	S	1	PD10NOV17	6363.50	6866.00	USD	-12,562.50	
11AUG17	B	1	PD10NOV17	6355.00	6866.00	USD	12,775.00	
11AUG17	S	1	PD10NOV17	6360.00	6866.00	USD	-12,650.00	
11AUG17	B	1	PD10NOV17	6372.00	6866.00	USD	12,350.00	
11AUG17	S	1	PD10NOV17	6377.50	6866.00	USD	-12,212.50	
11AUG17	S	1	PD10NOV17	6381.50	6866.00	USD	-12,112.50	
11AUG17	S	1	PD10NOV17	6421.00	6866.00	USD	-11,125.00	
11AUG17	S	1	PD10NOV17	6420.00	6866.00	USD	-11,150.00	
	NET	0 AVG BGHT 6393.44	SOLD 6395.44				450.00	0.00
14AUG17	B	1	PD14NOV17	6391.00	6864.67	USD	11,841.75	
14AUG17	B	1	PD14NOV17	6392.00	6864.67	USD	11,816.75	
14AUG17	B	1	PD14NOV17	6393.00	6864.67	USD	11,791.75	
23AUG17	S	3	PD14NOV17	6565.30	6864.67	USD	-22,452.75	
	NET	0 AVG BGHT 6392.00	SOLD 6565.30				12,997.50	0.00
15AUG17	S	1	PD15NOV17	6416.50	6864.00	USD	-11,187.50	
15AUG17	B	1	PD15NOV17	6411.00	6864.00	USD	11,325.00	
15AUG17	B	1	PD15NOV17	6412.00	6864.00	USD	11,300.00	
15AUG17	S	2	PD15NOV17	6417.00	6864.00	USD	-22,350.00	
15AUG17	B	1	PD15NOV17	6377.00	6864.00	USD	12,175.00	
	NET	0 AVG BGHT 6400.00	SOLD 6416.83				1,262.50	0.00
16AUG17	S	1	PD16NOV17	6390.00	6863.00	USD	-11,825.00	
16AUG17	B	1	PD16NOV17	6401.00	6863.00	USD	11,550.00	
16AUG17	S	1	PD16NOV17	6399.50	6863.00	USD	-11,587.50	
16AUG17	B	1	PD16NOV17	6435.50	6863.00	USD	10,687.50	
16AUG17	B	2	PD16NOV17	6505.00	6863.00	USD	17,900.00	
16AUG17	B	1	PD16NOV17	6521.50	6863.00	USD	8,537.50	
16AUG17	S	1	PD16NOV17	6505.00	6863.00	USD	-8,950.00	
16AUG17	S	1	PD16NOV17	6514.00	6863.00	USD	-8,725.00	
16AUG17	S	1	PD16NOV17	6520.50	6863.00	USD	-8,562.50	
16AUG17	B	1	PD16NOV17	6535.00	6863.00	USD	8,200.00	
16AUG17	S	1	PD16NOV17	6543.50	6863.00	USD	-7,987.50	
	NET	0 AVG BGHT 6483.83	SOLD 6478.75				-762.50	0.00
17AUG17	B	1	PD17NOV17	6485.00	6863.47	USD	9,461.75	
17AUG17	S	1	PD17NOV17	6494.50	6863.47	USD	-9,224.25	
17AUG17	B	1	PD17NOV17	6481.00	6863.47	USD	9,561.75	
17AUG17	B	1	PD17NOV17	6491.50	6863.47	USD	9,299.25	
17AUG17	S	1	PD17NOV17	6477.50	6863.47	USD	-9,649.25	
17AUG17	S	1	PD17NOV17	6487.00	6863.47	USD	-9,411.75	
17AUG17	B	1	PD17NOV17	6483.00	6863.47	USD	9,511.75	
17AUG17	S	1	PD17NOV17	6479.50	6863.47	USD	-9,599.25	
	NET	0 AVG BGHT 6485.13	SOLD 6484.63				-50.00	0.00
	<u>LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
04AUG17	B	1	PD03NOV17	2370.00	2521.13	USD	3,778.25	
04AUG17	S	1	PD03NOV17	2367.00	2521.13	USD	-3,853.25	
	NET	0 AVG BGHT 2370.00	SOLD 2367.00				-75.00	0.00
09AUG17	B	1	PD09NOV17	2375.00	2521.94	USD	3,673.50	
09AUG17	S	1	PD09NOV17	2368.00	2521.94	USD	-3,848.50	

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	<u>LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
	NET 0AVG BGHT 2375.00 SOLD 2368.00				-175.00	0.00
14AUG17	S 1 PD14NOV17	2345.50	2522.62	USD	-4,428.00	
23AUG17	B 1 PD14NOV17	2375.00	2522.62	USD	3,690.50	
	NET 0AVG BGHT 2375.00 SOLD 2345.50				-737.50	0.00
15AUG17	B 1 PD15NOV17	2361.00	2522.75	USD	4,043.75	
23AUG17	S 1 PD15NOV17	2375.05	2522.75	USD	-3,692.50	
	NET 0AVG BGHT 2361.00 SOLD 2375.05				351.25	0.00
16AUG17	B 1 PD16NOV17	2445.50	2522.75	USD	1,931.25	
16AUG17	S 1 PD16NOV17	2443.50	2522.75	USD	-1,981.25	
16AUG17	S 1 PD16NOV17	2451.50	2522.75	USD	-1,781.25	
16AUG17	B 1 PD16NOV17	2492.00	2522.75	USD	768.75	
16AUG17	S 1 PD16NOV17	2490.00	2522.75	USD	-818.75	
16AUG17	S 1 PD16NOV17	2479.50	2522.75	USD	-1,081.25	
16AUG17	B 1 PD16NOV17	2497.00	2522.75	USD	643.75	
16AUG17	B 1 PD16NOV17	2501.00	2522.75	USD	543.75	
	NET 0AVG BGHT 2483.88 SOLD 2466.13				-1,775.00	0.00
	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
21JUL17	B 1 PD20OCT17	9530.00	11611.35	USD	12,488.10	
07AUG17	S 1 PD20OCT17	10391.90	11611.35	USD	-7,316.70	
	NET 0AVG BGHT 9530.00 SOLD 10391.90				5,171.40	0.00
24JUL17	S 1 PD24OCT17	9640.00	11614.76	USD	-11,848.56	
07AUG17	B 1 PD24OCT17	10394.70	11614.76	USD	7,320.36	
	NET 0AVG BGHT 10394.70 SOLD 9640.00				-4,528.20	0.00
09AUG17	S 1 PD09NOV17	10795.00	11628.39	USD	-5,000.34	
14AUG17	B 1 PD09NOV17	10446.76	11628.39	USD	7,089.78	
	NET 0AVG BGHT 10446.76 SOLD 10795.00				2,089.44	0.00
10AUG17	B 1 PD10NOV17	10845.00	11629.24	USD	4,705.44	
14AUG17	S 1 PD10NOV17	10446.41	11629.24	USD	-7,096.98	
	NET 0AVG BGHT 10845.00 SOLD 10446.41				-2,391.54	0.00
	<u>LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
19JUL17	B 1 PD19OCT17	20135.00	20732.50	USD	2,987.50	
19JUL17	S 1 PD19OCT17	20090.00	20732.50	USD	-3,212.50	
	NET 0AVG BGHT 20135.00 SOLD 20090.00				-225.00	0.00
20JUL17	S 1 PD20OCT17	20095.00	20730.00	USD	-3,175.00	
20JUL17	B 1 PD20OCT17	20080.00	20730.00	USD	3,250.00	
	NET 0AVG BGHT 20080.00 SOLD 20095.00				75.00	0.00
24JUL17	B 2 PD24OCT17	20125.00	20725.00	USD	6,000.00	
24JUL17	S 1 PD24OCT17	20160.00	20725.00	USD	-2,825.00	
24JUL17	S 1 PD24OCT17	20140.00	20725.00	USD	-2,925.00	
	NET 0AVG BGHT 20125.00 SOLD 20150.00				250.00	0.00
25JUL17	B 1 PD25OCT17	20145.00	20722.50	USD	2,887.50	
25JUL17	S 1 PD25OCT17	20190.00	20722.50	USD	-2,662.50	
	NET 0AVG BGHT 20145.00 SOLD 20190.00				225.00	0.00
27JUL17	B 1 PD27OCT17	20410.00	20717.50	USD	1,537.50	
27JUL17	S 1 PD27OCT17	20445.00	20717.50	USD	-1,362.50	
27JUL17	B 1 PD27OCT17	20465.00	20717.50	USD	1,262.50	
27JUL17	S 1 PD27OCT17	20500.00	20717.50	USD	-1,087.50	
27JUL17	S 2 PD27OCT17	20750.00	20717.50	USD	325.00	
27JUL17	B 1 PD27OCT17	20705.00	20717.50	USD	62.50	
27JUL17	B 1 PD27OCT17	20685.00	20717.50	USD	162.50	
27JUL17	B 1 PD27OCT17	20710.00	20717.50	USD	37.50	

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	<u>LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
27JUL17	S	1	PD27OCT17	20675.00	20717.50	USD	-212.50	
27JUL17	B	1	PD27OCT17	20680.00	20717.50	USD	187.50	
27JUL17	S	1	PD27OCT17	20650.00	20717.50	USD	-337.50	
28JUL17	S	1	PD27OCT17	20715.00	20717.50	USD	-12.50	
28JUL17	S	1	PD27OCT17	20725.00	20717.50	USD	37.50	
28JUL17	B	1	PD27OCT17	20700.00	20717.50	USD	87.50	
28JUL17	S	1	PD27OCT17	20745.00	20717.50	USD	137.50	
28JUL17	B	2	PD27OCT17	20810.00	20717.50	USD	-925.00	
28JUL17	B	1	PD27OCT17	20870.00	20717.50	USD	-762.50	
28JUL17	S	1	PD27OCT17	20865.00	20717.50	USD	737.50	
28JUL17	B	1	PD27OCT17	20650.00	20717.50	USD	337.50	
28JUL17	S	1	PD27OCT17	20650.00	20717.50	USD	-337.50	
	NET	0 AVG	BGHT 20681.36				-125.00	0.00
01AUG17	S	1	PD01NOV17	20600.00	20710.00	USD	-550.00	
01AUG17	B	1	PD01NOV17	20565.00	20710.00	USD	725.00	
01AUG17	B	1	PD01NOV17	20580.00	20710.00	USD	650.00	
01AUG17	S	1	PD01NOV17	20615.00	20710.00	USD	-475.00	
	NET	0 AVG	BGHT 20572.50				350.00	0.00
04AUG17	B	1	PD03NOV17	20700.00	20705.00	USD	25.00	
04AUG17	S	1	PD03NOV17	20690.00	20705.00	USD	-75.00	
	NET	0 AVG	BGHT 20700.00				-50.00	0.00
07AUG17	B	1	PD07NOV17	20615.00	20700.00	USD	425.00	
07AUG17	S	1	PD07NOV17	20615.00	20700.00	USD	-425.00	
	NET	0 AVG	BGHT 20615.00				0.00	0.00
08AUG17	B	1	PD08NOV17	20245.00	20697.50	USD	2,262.50	
08AUG17	S	1	PD08NOV17	20240.00	20697.50	USD	-2,287.50	
08AUG17	B	2	PD08NOV17	20210.00	20697.50	USD	4,875.00	
08AUG17	S	1	PD08NOV17	20235.00	20697.50	USD	-2,312.50	
08AUG17	S	1	PD08NOV17	20275.00	20697.50	USD	-2,112.50	
	NET	0 AVG	BGHT 20221.67				425.00	0.00
09AUG17	B	1	PD09NOV17	20300.00	20695.00	USD	1,975.00	
09AUG17	S	1	PD09NOV17	20295.00	20695.00	USD	-2,000.00	
	NET	0 AVG	BGHT 20300.00				-25.00	0.00
10AUG17	B	2	PD10NOV17	20350.00	20692.50	USD	3,425.00	
10AUG17	S	1	PD10NOV17	20375.00	20692.50	USD	-1,587.50	
10AUG17	S	1	PD10NOV17	20400.00	20692.50	USD	-1,462.50	
11AUG17	B	1	PD10NOV17	20240.00	20692.50	USD	2,262.50	
11AUG17	S	1	PD10NOV17	20250.00	20692.50	USD	-2,212.50	
	NET	0 AVG	BGHT 20313.33				425.00	0.00
14AUG17	B	1	PD14NOV17	20285.00	20687.50	USD	2,012.50	
14AUG17	S	1	PD14NOV17	20285.00	20687.50	USD	-2,012.50	
	NET	0 AVG	BGHT 20285.00				0.00	0.00
15AUG17	B	4	PD15NOV17	19570.00	20685.00	USD	22,300.00	
15AUG17	S	2	PD15NOV17	19955.00	20685.00	USD	-7,300.00	
15AUG17	S	2	PD15NOV17	19980.00	20685.00	USD	-7,050.00	
	NET	0 AVG	BGHT 19570.00				7,950.00	0.00
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
25JUL17	B	1	PD25OCT17	2812.50	3273.00	USD	11,512.50	
25JUL17	S	1	PD25OCT17	2820.00	3273.00	USD	-11,325.00	
	NET	0 AVG	BGHT 2812.50				187.50	0.00
28JUL17	S	1	PD27OCT17	2789.00	3270.73	USD	-12,043.25	
28JUL17	B	1	PD27OCT17	2793.00	3270.73	USD	11,943.25	
	NET	0 AVG	BGHT 2793.00				-100.00	0.00

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
31JUL17	B	1	PD31OCT17	2800.00	3268.47	USD	11,711.75	
31JUL17	S	1	PD31OCT17	2798.50	3268.47	USD	-11,749.25	
	NET	0 AVG	BGHT 2800.00				-37.50	0.00
09AUG17	B	1	PD09NOV17	2981.50	3260.53	USD	6,975.75	
09AUG17	S	2	PD09NOV17	2979.00	3260.53	USD	-14,076.50	
09AUG17	B	1	PD09NOV17	2965.00	3260.53	USD	7,388.25	
	NET	0 AVG	BGHT 2973.25				287.50	0.00
16AUG17	S	1	PD16NOV17	2980.00	3253.50	USD	-6,837.50	
16AUG17	B	1	PD16NOV17	2985.50	3253.50	USD	6,700.00	
16AUG17	B	2	PD16NOV17	3041.50	3253.50	USD	10,600.00	
16AUG17	S	2	PD16NOV17	3038.50	3253.50	USD	-10,750.00	
16AUG17	S	1	PD16NOV17	3068.00	3253.50	USD	-4,637.50	
16AUG17	B	1	PD16NOV17	3096.00	3253.50	USD	3,937.50	
	NET	0 AVG	BGHT 3041.13				-987.50	0.00

OPEN POSITION VALUE

		USD
LME Aluminium 25 Tonnes.	FUTURES	262.50
LME Copper Grade A 25	FUTURES	3,348.50
LME Lead 25 tonnes. This is an	FUTURES	-2,411.25
LME Nickel 6 tonnes. This is	FUTURES	341.10
LME Tin 5 tonnes. This is an	FUTURES	9,275.00
LME Zinc 25 tonnes. This is	FUTURES	-650.00

MARKET REVALUATION BREAKDOWN

Unrealised Profits & Losses - Forwards	Amount	Profit / Loss	Total Amount	Total P&L
ALUL - Futures USD	262.50	262.50		
COPL - Futures USD	3,348.50	3,348.50		
LEAD - Futures USD	-2,411.25	-2,411.25		
NKL - Futures USD	341.10	341.10		
TIN - Futures USD	9,275.00	9,275.00		
ZINC - Futures USD	-650.00	-650.00	10,165.85	10,165.85

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ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	9,565.70	9,565.70
Variation Margins		
- Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	9,565.70	9,565.70
Initial Margins	0.00	0.00
Excess/(Shortage)	9,565.70	9,565.70
FOR INFORMATION ONLY		
Forward Unrealised P&L	10,165.85	10,165.85
Net Liquidating Value	19,731.55	19,731.55

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
17JUL17	S	1	PD17OCT17	5951.50	6859.00	USD	-22,687.50	
17JUL17	S	2	PD17OCT17	5956.00	6859.00	USD	-45,150.00	
17JUL17	S	1	PD17OCT17	5979.50	6859.00	USD	-21,987.50	
17JUL17	B	1	PD17OCT17	5947.50	6859.00	USD	22,787.50	
17JUL17	B	1	PD17OCT17	5981.00	6859.00	USD	21,950.00	
17JUL17	S	1	PD17OCT17	5985.00	6859.00	USD	-21,850.00	
17JUL17	S	1	PD17OCT17	5999.50	6859.00	USD	-21,487.50	
17JUL17	B	1	PD17OCT17	6008.00	6859.00	USD	21,275.00	
17JUL17	B	2	PD17OCT17	6000.00	6859.00	USD	42,950.00	
17JUL17	B	1	PD17OCT17	6014.00	6859.00	USD	21,125.00	
	NET	0 AVG BGHT 5991.75	SOLD 5971.25				-3,075.00	0.00
18JUL17	S	1	PD18OCT17	5993.00	6859.50	USD	-21,662.50	
18JUL17	S	1	PD18OCT17	5997.00	6859.50	USD	-21,562.50	
18JUL17	B	2	PD18OCT17	5980.00	6859.50	USD	43,975.00	
18JUL17	S	1	PD18OCT17	6009.00	6859.50	USD	-21,262.50	
07AUG17	B	1	PD18OCT17	6408.50	6859.50	USD	11,275.00	
	NET	0 AVG BGHT 6122.83	SOLD 5999.67				-9,237.50	0.00
19JUL17	B	1	PD19OCT17	5994.50	6857.50	USD	21,575.00	
19JUL17	S	2	PD19OCT17	6015.00	6857.50	USD	-42,125.00	
19JUL17	B	1	PD19OCT17	5996.00	6857.50	USD	21,537.50	
19JUL17	B	1	PD19OCT17	6002.00	6857.50	USD	21,387.50	
19JUL17	S	1	PD19OCT17	5994.00	6857.50	USD	-21,587.50	
19JUL17	S	1	PD19OCT17	5980.00	6857.50	USD	-21,937.50	
07AUG17	B	1	PD19OCT17	6407.50	6857.50	USD	11,250.00	
	NET	0 AVG BGHT 6100.00	SOLD 6001.00				-9,900.00	0.00
20JUL17	B	1	PD20OCT17	5991.00	6857.50	USD	21,662.50	
20JUL17	S	1	PD20OCT17	5985.50	6857.50	USD	-21,800.00	
20JUL17	S	1	PD20OCT17	5989.50	6857.50	USD	-21,700.00	
20JUL17	B	1	PD20OCT17	5971.00	6857.50	USD	22,162.50	
20JUL17	B	1	PD20OCT17	5972.00	6857.50	USD	22,137.50	
20JUL17	B	1	PD20OCT17	5977.00	6857.50	USD	22,012.50	
20JUL17	S	1	PD20OCT17	5970.00	6857.50	USD	-22,187.50	
21JUL17	S	1	PD20OCT17	5981.50	6857.50	USD	-21,900.00	
21JUL17	B	1	PD20OCT17	5980.50	6857.50	USD	21,925.00	
21JUL17	S	1	PD20OCT17	5981.00	6857.50	USD	-21,912.50	
21JUL17	B	1	PD20OCT17	6001.00	6857.50	USD	21,412.50	
21JUL17	B	1	PD20OCT17	6031.50	6857.50	USD	20,650.00	
21JUL17	S	1	PD20OCT17	5983.00	6857.50	USD	-21,862.50	
21JUL17	B	1	PD20OCT17	6032.50	6857.50	USD	20,625.00	
21JUL17	S	1	PD20OCT17	5997.00	6857.50	USD	-21,512.50	
21JUL17	S	1	PD20OCT17	5999.00	6857.50	USD	-21,462.50	
	NET	0 AVG BGHT 5994.56	SOLD 5985.81				-1,750.00	0.00
24JUL17	B	1	PD24OCT17	5991.00	6857.50	USD	21,662.50	
24JUL17	S	1	PD24OCT17	6005.00	6857.50	USD	-21,312.50	
24JUL17	B	1	PD24OCT17	6000.00	6857.50	USD	21,437.50	
24JUL17	S	1	PD24OCT17	6029.00	6857.50	USD	-20,712.50	
24JUL17	B	1	PD24OCT17	6026.00	6857.50	USD	20,787.50	
24JUL17	B	1	PD24OCT17	6017.00	6857.50	USD	21,012.50	
07AUG17	S	2	PD24OCT17	6408.01	6857.50	USD	-22,474.50	
	NET	0 AVG BGHT 6008.50	SOLD 6212.51				20,400.50	0.00
25JUL17	B	1	PD25OCT17	6071.00	6857.50	USD	19,662.50	
25JUL17	S	1	PD25OCT17	6063.50	6857.50	USD	-19,850.00	
25JUL17	S	1	PD25OCT17	6067.00	6857.50	USD	-19,762.50	
25JUL17	S	1	PD25OCT17	6069.50	6857.50	USD	-19,700.00	
25JUL17	B	1	PD25OCT17	6091.00	6857.50	USD	19,162.50	
25JUL17	B	1	PD25OCT17	6102.00	6857.50	USD	18,887.50	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
25JUL17	S	1	PD250CT17	6094.50	6857.50	USD	-19,075.00	
25JUL17	S	1	PD250CT17	6098.00	6857.50	USD	-18,987.50	
25JUL17	S	1	PD250CT17	6126.00	6857.50	USD	-18,287.50	
25JUL17	B	1	PD250CT17	6160.50	6857.50	USD	17,425.00	
25JUL17	S	1	PD250CT17	6165.00	6857.50	USD	-17,312.50	
25JUL17	S	1	PD250CT17	6188.50	6857.50	USD	-16,725.00	
25JUL17	B	1	PD250CT17	6180.50	6857.50	USD	16,925.00	
25JUL17	B	1	PD250CT17	6184.00	6857.50	USD	16,837.50	
25JUL17	S	1	PD250CT17	6184.50	6857.50	USD	-16,825.00	
25JUL17	S	1	PD250CT17	6210.00	6857.50	USD	-16,187.50	
25JUL17	B	1	PD250CT17	6211.50	6857.50	USD	16,150.00	
25JUL17	B	1	PD250CT17	6215.00	6857.50	USD	16,062.50	
25JUL17	S	1	PD250CT17	6225.00	6857.50	USD	-15,812.50	
25JUL17	B	1	PD250CT17	6194.00	6857.50	USD	16,587.50	
25JUL17	B	2	PD250CT17	6220.50	6857.50	USD	31,850.00	
	NET	0 AVG BGHT 6168.23	SOLD 6135.59				-8,975.00	0.00
26JUL17	S	1	PD260CT17	6246.00	6857.50	USD	-15,287.50	
26JUL17	S	1	PD260CT17	6264.00	6857.50	USD	-14,837.50	
26JUL17	B	1	PD260CT17	6296.50	6857.50	USD	14,025.00	
26JUL17	B	1	PD260CT17	6310.50	6857.50	USD	13,675.00	
26JUL17	B	1	PD260CT17	6321.00	6857.50	USD	13,412.50	
26JUL17	B	1	PD260CT17	6330.50	6857.50	USD	13,175.00	
26JUL17	S	1	PD260CT17	6306.00	6857.50	USD	-13,787.50	
26JUL17	B	1	PD260CT17	6283.50	6857.50	USD	14,350.00	
26JUL17	S	1	PD260CT17	6278.00	6857.50	USD	-14,487.50	
26JUL17	B	1	PD260CT17	6278.00	6857.50	USD	14,487.50	
26JUL17	S	1	PD260CT17	6273.50	6857.50	USD	-14,600.00	
26JUL17	S	2	PD260CT17	6326.00	6857.50	USD	-26,575.00	
26JUL17	S	1	PD260CT17	6332.00	6857.50	USD	-13,137.50	
26JUL17	B	1	PD260CT17	6335.00	6857.50	USD	13,062.50	
26JUL17	B	1	PD260CT17	6325.00	6857.50	USD	13,312.50	
	NET	0 AVG BGHT 6310.00	SOLD 6293.94				-3,212.50	0.00
27JUL17	B	1	PD270CT17	6316.00	6857.50	USD	13,537.50	
27JUL17	B	1	PD270CT17	6320.50	6857.50	USD	13,425.00	
27JUL17	S	1	PD270CT17	6309.50	6857.50	USD	-13,700.00	
27JUL17	S	1	PD270CT17	6316.00	6857.50	USD	-13,537.50	
27JUL17	S	1	PD270CT17	6325.00	6857.50	USD	-13,312.50	
27JUL17	B	1	PD270CT17	6360.00	6857.50	USD	12,437.50	
27JUL17	S	1	PD270CT17	6353.50	6857.50	USD	-12,600.00	
27JUL17	B	1	PD270CT17	6339.00	6857.50	USD	12,962.50	
28JUL17	B	1	PD270CT17	6325.00	6857.50	USD	13,312.50	
28JUL17	S	1	PD270CT17	6330.00	6857.50	USD	-13,187.50	
28JUL17	B	1	PD270CT17	6312.00	6857.50	USD	13,637.50	
28JUL17	B	1	PD270CT17	6313.00	6857.50	USD	13,612.50	
28JUL17	S	1	PD270CT17	6303.00	6857.50	USD	-13,862.50	
28JUL17	S	1	PD270CT17	6318.50	6857.50	USD	-13,475.00	
	NET	0 AVG BGHT 6326.50	SOLD 6322.21				-750.00	0.00
31JUL17	S	1	PD310CT17	6345.00	6858.27	USD	-12,831.75	
31JUL17	S	1	PD310CT17	6388.00	6858.27	USD	-11,756.75	
31JUL17	B	1	PD310CT17	6360.00	6858.27	USD	12,456.75	
31JUL17	B	1	PD310CT17	6385.00	6858.27	USD	11,831.75	
31JUL17	B	1	PD310CT17	6402.00	6858.27	USD	11,406.75	
31JUL17	S	1	PD310CT17	6346.00	6858.27	USD	-12,806.75	
31JUL17	S	1	PD310CT17	6364.50	6858.27	USD	-12,344.25	
31JUL17	B	1	PD310CT17	6384.00	6858.27	USD	11,856.75	
	NET	0 AVG BGHT 6382.75	SOLD 6360.88				-2,187.50	0.00

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
01AUG17	S	1	PD01NOV17	6373.00	6859.05	USD	-12,151.25	
01AUG17	B	1	PD01NOV17	6362.00	6859.05	USD	12,426.25	
	NET	0 AVG BGHT 6362.00	SOLD 6373.00				275.00	0.00
02AUG17	S	1	PD02NOV17	6349.00	6859.82	USD	-12,770.50	
02AUG17	S	1	PD02NOV17	6337.50	6859.82	USD	-13,058.00	
02AUG17	B	1	PD02NOV17	6327.00	6859.82	USD	13,320.50	
02AUG17	B	1	PD02NOV17	6331.00	6859.82	USD	13,220.50	
	NET	0 AVG BGHT 6329.00	SOLD 6343.25				712.50	0.00
03AUG17	S	1	PD03NOV17	6369.00	6860.59	USD	-12,289.75	
03AUG17	B	1	PD03NOV17	6313.00	6860.59	USD	13,689.75	
03AUG17	S	1	PD03NOV17	6334.00	6860.59	USD	-13,164.75	
03AUG17	B	1	PD03NOV17	6327.00	6860.59	USD	13,339.75	
03AUG17	S	1	PD03NOV17	6349.00	6860.59	USD	-12,789.75	
03AUG17	S	1	PD03NOV17	6355.00	6860.59	USD	-12,639.75	
04AUG17	B	1	PD03NOV17	6350.00	6860.59	USD	12,764.75	
04AUG17	B	1	PD03NOV17	6368.00	6860.59	USD	12,314.75	
04AUG17	B	1	PD03NOV17	6374.50	6860.59	USD	12,152.25	
04AUG17	S	1	PD03NOV17	6372.50	6860.59	USD	-12,202.25	
04AUG17	B	1	PD03NOV17	6364.00	6860.59	USD	12,414.75	
04AUG17	S	1	PD03NOV17	6365.00	6860.59	USD	-12,389.75	
04AUG17	S	1	PD03NOV17	6368.50	6860.59	USD	-12,302.25	
04AUG17	S	1	PD03NOV17	6356.00	6860.59	USD	-12,614.75	
04AUG17	S	1	PD03NOV17	6354.00	6860.59	USD	-12,664.75	
04AUG17	B	1	PD03NOV17	6330.00	6860.59	USD	13,264.75	
04AUG17	B	1	PD03NOV17	6339.00	6860.59	USD	13,039.75	
04AUG17	B	1	PD03NOV17	6344.00	6860.59	USD	12,914.75	
04AUG17	S	1	PD03NOV17	6339.00	6860.59	USD	-13,039.75	
04AUG17	S	1	PD03NOV17	6341.00	6860.59	USD	-12,989.75	
04AUG17	S	1	PD03NOV17	6362.00	6860.59	USD	-12,464.75	
14AUG17	B	3	PD03NOV17	6393.09	6860.59	USD	35,062.50	
	NET	0 AVG BGHT 6357.40	SOLD 6355.42				-594.25	0.00
07AUG17	B	1	PD07NOV17	6340.00	6863.68	USD	13,092.00	
07AUG17	B	1	PD07NOV17	6349.00	6863.68	USD	12,867.00	
07AUG17	S	1	PD07NOV17	6371.00	6863.68	USD	-12,317.00	
07AUG17	B	1	PD07NOV17	6364.00	6863.68	USD	12,492.00	
07AUG17	B	1	PD07NOV17	6370.00	6863.68	USD	12,342.00	
07AUG17	S	1	PD07NOV17	6405.00	6863.68	USD	-11,467.00	
07AUG17	S	1	PD07NOV17	6412.00	6863.68	USD	-11,292.00	
07AUG17	B	1	PD07NOV17	6411.00	6863.68	USD	11,317.00	
14AUG17	S	2	PD07NOV17	6394.31	6863.68	USD	-23,468.50	
	NET	0 AVG BGHT 6366.80	SOLD 6395.32				3,565.50	0.00
08AUG17	B	1	PD08NOV17	6416.50	6864.45	USD	11,198.75	
08AUG17	S	1	PD08NOV17	6393.00	6864.45	USD	-11,786.25	
08AUG17	B	1	PD08NOV17	6385.00	6864.45	USD	11,986.25	
14AUG17	S	1	PD08NOV17	6394.67	6864.45	USD	-11,744.50	
	NET	0 AVG BGHT 6400.75	SOLD 6393.84				-345.75	0.00
09AUG17	B	1	PD09NOV17	6501.00	6865.23	USD	9,105.75	
09AUG17	S	1	PD09NOV17	6506.50	6865.23	USD	-8,968.25	
09AUG17	S	1	PD09NOV17	6508.00	6865.23	USD	-8,930.75	
09AUG17	S	1	PD09NOV17	6513.00	6865.23	USD	-8,805.75	
09AUG17	B	1	PD09NOV17	6435.00	6865.23	USD	10,755.75	
23AUG17	B	1	PD09NOV17	6563.50	6865.23	USD	7,543.25	
	NET	0 AVG BGHT 6499.83	SOLD 6509.17				700.00	0.00
10AUG17	B	1	PD10NOV17	6405.00	6866.00	USD	11,525.00	
11AUG17	S	1	PD10NOV17	6364.00	6866.00	USD	-12,550.00	

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11AUG17	B	1	PD10NOV17	6355.00	6866.00	USD	12,775.00	
11AUG17	B	1	PD10NOV17	6372.50	6866.00	USD	12,337.50	
11AUG17	S	1	PD10NOV17	6376.00	6866.00	USD	-12,250.00	
11AUG17	S	1	PD10NOV17	6384.50	6866.00	USD	-12,037.50	
11AUG17	S	1	PD10NOV17	6392.00	6866.00	USD	-11,850.00	
11AUG17	S	1	PD10NOV17	6413.50	6866.00	USD	-11,312.50	
11AUG17	B	1	PD10NOV17	6407.00	6866.00	USD	11,475.00	
11AUG17	B	1	PD10NOV17	6399.50	6866.00	USD	11,662.50	
11AUG17	S	1	PD10NOV17	6410.00	6866.00	USD	-11,400.00	
11AUG17	S	1	PD10NOV17	6419.00	6866.00	USD	-11,175.00	
23AUG17	B	2	PD10NOV17	6564.00	6866.00	USD	15,100.00	
	NET	0 AVG BGHT 6438.14	SOLD 6394.14				-7,700.00	0.00
14AUG17	B	1	PD14NOV17	6392.00	6864.67	USD	11,816.75	
23AUG17	S	1	PD14NOV17	6565.30	6864.67	USD	-7,484.25	
	NET	0 AVG BGHT 6392.00	SOLD 6565.30				4,332.50	0.00
15AUG17	S	1	PD15NOV17	6419.50	6864.00	USD	-11,112.50	
15AUG17	S	1	PD15NOV17	6419.00	6864.00	USD	-11,125.00	
15AUG17	B	1	PD15NOV17	6415.00	6864.00	USD	11,225.00	
15AUG17	B	1	PD15NOV17	6370.00	6864.00	USD	12,350.00	
15AUG17	B	1	PD15NOV17	6367.50	6864.00	USD	12,412.50	
23AUG17	S	1	PD15NOV17	6565.30	6864.00	USD	-7,467.50	
	NET	0 AVG BGHT 6384.17	SOLD 6467.93				6,282.50	0.00
16AUG17	B	1	PD16NOV17	6357.00	6863.00	USD	12,650.00	
16AUG17	S	1	PD16NOV17	6390.00	6863.00	USD	-11,825.00	
16AUG17	B	1	PD16NOV17	6381.50	6863.00	USD	12,037.50	
16AUG17	S	1	PD16NOV17	6383.50	6863.00	USD	-11,987.50	
16AUG17	B	1	PD16NOV17	6441.00	6863.00	USD	10,550.00	
16AUG17	S	1	PD16NOV17	6443.00	6863.00	USD	-10,500.00	
16AUG17	B	1	PD16NOV17	6480.50	6863.00	USD	9,562.50	
16AUG17	B	1	PD16NOV17	6490.50	6863.00	USD	9,312.50	
16AUG17	S	1	PD16NOV17	6489.50	6863.00	USD	-9,337.50	
16AUG17	B	1	PD16NOV17	6502.50	6863.00	USD	9,012.50	
16AUG17	S	1	PD16NOV17	6508.50	6863.00	USD	-8,862.50	
16AUG17	B	1	PD16NOV17	6535.50	6863.00	USD	8,187.50	
16AUG17	S	1	PD16NOV17	6543.00	6863.00	USD	-8,000.00	
16AUG17	B	1	PD16NOV17	6562.00	6863.00	USD	7,525.00	
16AUG17	S	1	PD16NOV17	6559.00	6863.00	USD	-7,600.00	
23AUG17	S	1	PD16NOV17	6563.30	6863.00	USD	-7,492.50	
	NET	0 AVG BGHT 6468.81	SOLD 6484.98				3,232.50	0.00
17AUG17	B	1	PD17NOV17	6484.00	6863.47	USD	9,486.75	
17AUG17	S	1	PD17NOV17	6491.00	6863.47	USD	-9,311.75	
	NET	0 AVG BGHT 6484.00	SOLD 6491.00				175.00	0.00
	<u>LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
19JUL17	B	1	PD19OCT17	2246.00	2518.90	USD	6,822.50	
19JUL17	S	1	PD19OCT17	2229.00	2518.90	USD	-7,247.50	
	NET	0 AVG BGHT 2246.00	SOLD 2229.00				-425.00	0.00
16AUG17	B	1	PD16NOV17	2500.00	2522.75	USD	568.75	
16AUG17	S	1	PD16NOV17	2515.00	2522.75	USD	-193.75	
	NET	0 AVG BGHT 2500.00	SOLD 2515.00				375.00	0.00
17AUG17	B	1	PD17NOV17	2468.50	2522.75	USD	1,356.25	
17AUG17	S	1	PD17NOV17	2453.50	2522.75	USD	-1,731.25	
	NET	0 AVG BGHT 2468.50	SOLD 2453.50				-375.00	0.00
	<u>LME NICKEL 6 TONNES. THIS IS AN LME</u>							

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19JUL17	B	2	PD19OCT17	9650.00	11610.50	USD	23,526.00	
19JUL17	S	2	PD19OCT17	9650.00	11610.50	USD	-23,526.00	
	NET	0 AVG	BGHT 9650.00				0.00	0.00
15AUG17	B	1	PD15NOV17	10450.00	11633.50	USD	7,101.00	
15AUG17	S	1	PD15NOV17	10455.00	11633.50	USD	-7,071.00	
15AUG17	S	1	PD15NOV17	10460.00	11633.50	USD	-7,041.00	
15AUG17	B	1	PD15NOV17	10420.00	11633.50	USD	7,281.00	
	NET	0 AVG	BGHT 10435.00				270.00	0.00
16AUG17	S	1	PD16NOV17	10765.00	11634.19	USD	-5,215.14	
16AUG17	B	1	PD16NOV17	10780.00	11634.19	USD	5,125.14	
	NET	0 AVG	BGHT 10780.00				-90.00	0.00
	<u>LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
17JUL17	B	1	PD17OCT17	19830.00	20735.00	USD	4,525.00	
17JUL17	S	1	PD17OCT17	19895.00	20735.00	USD	-4,200.00	
17JUL17	B	1	PD17OCT17	20035.00	20735.00	USD	3,500.00	
17JUL17	B	1	PD17OCT17	20060.00	20735.00	USD	3,375.00	
17JUL17	S	1	PD17OCT17	20025.00	20735.00	USD	-3,550.00	
17JUL17	S	1	PD17OCT17	20065.00	20735.00	USD	-3,350.00	
17JUL17	S	1	PD17OCT17	20070.00	20735.00	USD	-3,325.00	
17JUL17	B	1	PD17OCT17	19975.00	20735.00	USD	3,800.00	
17JUL17	B	1	PD17OCT17	20005.00	20735.00	USD	3,650.00	
17JUL17	S	1	PD17OCT17	20015.00	20735.00	USD	-3,600.00	
	NET	0 AVG	BGHT 19981.00				825.00	0.00
18JUL17	S	1	PD18OCT17	19950.00	20735.00	USD	-3,925.00	
18JUL17	B	1	PD18OCT17	19940.00	20735.00	USD	3,975.00	
18JUL17	S	1	PD18OCT17	19940.00	20735.00	USD	-3,975.00	
18JUL17	B	1	PD18OCT17	19970.00	20735.00	USD	3,825.00	
18JUL17	S	1	PD18OCT17	19970.00	20735.00	USD	-3,825.00	
18JUL17	S	1	PD18OCT17	19995.00	20735.00	USD	-3,700.00	
18JUL17	B	2	PD18OCT17	20000.00	20735.00	USD	7,350.00	
18JUL17	B	1	PD18OCT17	20050.00	20735.00	USD	3,425.00	
18JUL17	S	1	PD18OCT17	20045.00	20735.00	USD	-3,450.00	
18JUL17	B	1	PD18OCT17	19945.00	20735.00	USD	3,950.00	
18JUL17	S	1	PD18OCT17	19990.00	20735.00	USD	-3,725.00	
	NET	0 AVG	BGHT 19984.17				-75.00	0.00
19JUL17	S	1	PD19OCT17	20050.00	20732.50	USD	-3,412.50	
19JUL17	S	1	PD19OCT17	20080.00	20732.50	USD	-3,262.50	
19JUL17	B	1	PD19OCT17	20070.00	20732.50	USD	3,312.50	
19JUL17	B	1	PD19OCT17	20065.00	20732.50	USD	3,337.50	
19JUL17	S	1	PD19OCT17	20065.00	20732.50	USD	-3,337.50	
19JUL17	B	1	PD19OCT17	20090.00	20732.50	USD	3,212.50	
19JUL17	S	1	PD19OCT17	20100.00	20732.50	USD	-3,162.50	
19JUL17	S	1	PD19OCT17	20095.00	20732.50	USD	-3,187.50	
19JUL17	S	1	PD19OCT17	20125.00	20732.50	USD	-3,037.50	
19JUL17	B	1	PD19OCT17	20110.00	20732.50	USD	3,112.50	
19JUL17	B	1	PD19OCT17	20060.00	20732.50	USD	3,362.50	
19JUL17	S	1	PD19OCT17	20110.00	20732.50	USD	-3,112.50	
19JUL17	B	2	PD19OCT17	20100.00	20732.50	USD	6,325.00	
	NET	0 AVG	BGHT 20085.00				150.00	0.00
20JUL17	S	1	PD20OCT17	20095.00	20730.00	USD	-3,175.00	
20JUL17	S	1	PD20OCT17	20125.00	20730.00	USD	-3,025.00	
20JUL17	B	1	PD20OCT17	20095.00	20730.00	USD	3,175.00	
20JUL17	B	1	PD20OCT17	20100.00	20730.00	USD	3,150.00	
21JUL17	S	1	PD20OCT17	20120.00	20730.00	USD	-3,050.00	

OPEN POSITION STATEMENT



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SAP0086F

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	<u>LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
21JUL17	B	1	PD200CT17	20175.00	20730.00	USD	2,775.00	
21JUL17	S	1	PD200CT17	20190.00	20730.00	USD	-2,700.00	
21JUL17	B	1	PD200CT17	20200.00	20730.00	USD	2,650.00	
	NET	0 AVG	BGHT 20142.50				-200.00	0.00
24JUL17	B	1	PD240CT17	20155.00	20725.00	USD	2,850.00	
24JUL17	S	1	PD240CT17	20150.00	20725.00	USD	-2,875.00	
24JUL17	B	1	PD240CT17	20135.00	20725.00	USD	2,950.00	
24JUL17	B	2	PD240CT17	20160.00	20725.00	USD	5,650.00	
24JUL17	S	1	PD240CT17	20190.00	20725.00	USD	-2,675.00	
24JUL17	S	1	PD240CT17	20195.00	20725.00	USD	-2,650.00	
24JUL17	B	1	PD240CT17	20245.00	20725.00	USD	2,400.00	
24JUL17	S	1	PD240CT17	20245.00	20725.00	USD	-2,400.00	
24JUL17	B	1	PD240CT17	20175.00	20725.00	USD	2,750.00	
24JUL17	S	1	PD240CT17	20175.00	20725.00	USD	-2,750.00	
24JUL17	B	1	PD240CT17	20230.00	20725.00	USD	2,475.00	
24JUL17	S	1	PD240CT17	20225.00	20725.00	USD	-2,500.00	
24JUL17	S	1	PD240CT17	20240.00	20725.00	USD	-2,425.00	
24JUL17	B	1	PD240CT17	20255.00	20725.00	USD	2,350.00	
24JUL17	B	2	PD240CT17	20075.00	20725.00	USD	6,500.00	
24JUL17	S	1	PD240CT17	20160.00	20725.00	USD	-2,825.00	
24JUL17	S	2	PD240CT17	20125.00	20725.00	USD	-6,000.00	
	NET	0 AVG	BGHT 20166.50				825.00	0.00
25JUL17	B	1	PD250CT17	20165.00	20722.50	USD	2,787.50	
25JUL17	S	1	PD250CT17	20175.00	20722.50	USD	-2,737.50	
25JUL17	S	2	PD250CT17	20225.00	20722.50	USD	-4,975.00	
25JUL17	B	1	PD250CT17	20200.00	20722.50	USD	2,612.50	
25JUL17	B	1	PD250CT17	20225.00	20722.50	USD	2,487.50	
25JUL17	B	1	PD250CT17	20300.00	20722.50	USD	2,112.50	
25JUL17	S	1	PD250CT17	20300.00	20722.50	USD	-2,112.50	
25JUL17	B	1	PD250CT17	20320.00	20722.50	USD	2,012.50	
25JUL17	S	1	PD250CT17	20335.00	20722.50	USD	-1,937.50	
25JUL17	S	1	PD250CT17	20340.00	20722.50	USD	-1,912.50	
25JUL17	B	1	PD250CT17	20355.00	20722.50	USD	1,837.50	
	NET	0 AVG	BGHT 20260.83				175.00	0.00
26JUL17	S	1	PD260CT17	20370.00	20720.00	USD	-1,750.00	
26JUL17	B	2	PD260CT17	20225.00	20720.00	USD	4,950.00	
26JUL17	S	1	PD260CT17	20290.00	20720.00	USD	-2,150.00	
26JUL17	S	1	PD260CT17	20300.00	20720.00	USD	-2,100.00	
26JUL17	S	1	PD260CT17	20320.00	20720.00	USD	-2,000.00	
26JUL17	S	1	PD260CT17	20345.00	20720.00	USD	-1,875.00	
26JUL17	B	1	PD260CT17	20335.00	20720.00	USD	1,925.00	
26JUL17	B	2	PD260CT17	20350.00	20720.00	USD	3,700.00	
	NET	0 AVG	BGHT 20297.00				700.00	0.00
27JUL17	B	1	PD270CT17	20410.00	20717.50	USD	1,537.50	
27JUL17	S	1	PD270CT17	20490.00	20717.50	USD	-1,137.50	
27JUL17	B	1	PD270CT17	20435.00	20717.50	USD	1,412.50	
27JUL17	B	2	PD270CT17	20500.00	20717.50	USD	2,175.00	
27JUL17	S	1	PD270CT17	20530.00	20717.50	USD	-937.50	
27JUL17	B	1	PD270CT17	20550.00	20717.50	USD	837.50	
27JUL17	B	1	PD270CT17	20600.00	20717.50	USD	587.50	
27JUL17	S	1	PD270CT17	20585.00	20717.50	USD	-662.50	
27JUL17	S	1	PD270CT17	20590.00	20717.50	USD	-637.50	
27JUL17	S	1	PD270CT17	20405.00	20717.50	USD	-1,562.50	
27JUL17	S	1	PD270CT17	20480.00	20717.50	USD	-1,187.50	
27JUL17	S	1	PD270CT17	20740.00	20717.50	USD	112.50	
27JUL17	B	2	PD270CT17	20750.00	20717.50	USD	-325.00	

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	<u>LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
27JUL17	S	1	PD27OCT17	20745.00	20717.50	USD	137.50	
27JUL17	S	1	PD27OCT17	20750.00	20717.50	USD	162.50	
27JUL17	B	1	PD27OCT17	20705.00	20717.50	USD	62.50	
28JUL17	B	1	PD27OCT17	20815.00	20717.50	USD	-487.50	
28JUL17	S	1	PD27OCT17	20845.00	20717.50	USD	637.50	
28JUL17	S	1	PD27OCT17	20855.00	20717.50	USD	687.50	
28JUL17	B	1	PD27OCT17	20835.00	20717.50	USD	-587.50	
28JUL17	B	1	PD27OCT17	20605.00	20717.50	USD	562.50	
28JUL17	S	1	PD27OCT17	20695.00	20717.50	USD	-112.50	
28JUL17	B	2	PD27OCT17	20585.00	20717.50	USD	1,325.00	
28JUL17	S	1	PD27OCT17	20575.00	20717.50	USD	-712.50	
28JUL17	S	1	PD27OCT17	20585.00	20717.50	USD	-662.50	
	NET	0 AVG BGHT 20616.07	SOLD 20633.57				1,225.00	0.00
31JUL17	B	1	PD31OCT17	20825.00	20712.50	USD	-562.50	
31JUL17	S	1	PD31OCT17	20775.00	20712.50	USD	312.50	
31JUL17	B	1	PD31OCT17	20800.00	20712.50	USD	-437.50	
31JUL17	S	1	PD31OCT17	20825.00	20712.50	USD	562.50	
31JUL17	B	1	PD31OCT17	20775.00	20712.50	USD	-312.50	
31JUL17	S	1	PD31OCT17	20795.00	20712.50	USD	412.50	
31JUL17	B	1	PD31OCT17	20785.00	20712.50	USD	-362.50	
31JUL17	S	1	PD31OCT17	20820.00	20712.50	USD	537.50	
31JUL17	B	1	PD31OCT17	20710.00	20712.50	USD	12.50	
31JUL17	S	1	PD31OCT17	20710.00	20712.50	USD	-12.50	
	NET	0 AVG BGHT 20779.00	SOLD 20785.00				150.00	0.00
01AUG17	S	1	PD01NOV17	20630.00	20710.00	USD	-400.00	
01AUG17	S	1	PD01NOV17	20550.00	20710.00	USD	-800.00	
01AUG17	B	1	PD01NOV17	20560.00	20710.00	USD	750.00	
01AUG17	S	1	PD01NOV17	20525.00	20710.00	USD	-925.00	
01AUG17	S	1	PD01NOV17	20495.00	20710.00	USD	-1,075.00	
01AUG17	B	2	PD01NOV17	20500.00	20710.00	USD	2,100.00	
01AUG17	B	1	PD01NOV17	20580.00	20710.00	USD	650.00	
01AUG17	S	1	PD01NOV17	20450.00	20710.00	USD	-1,300.00	
01AUG17	B	1	PD01NOV17	20405.00	20710.00	USD	1,525.00	
01AUG17	S	1	PD01NOV17	20395.00	20710.00	USD	-1,575.00	
01AUG17	B	1	PD01NOV17	20350.00	20710.00	USD	1,800.00	
01AUG17	B	1	PD01NOV17	20365.00	20710.00	USD	1,725.00	
01AUG17	S	1	PD01NOV17	20400.00	20710.00	USD	-1,550.00	
	NET	0 AVG BGHT 20465.71	SOLD 20492.14				925.00	0.00
02AUG17	S	1	PD02NOV17	20540.00	20707.50	USD	-837.50	
02AUG17	B	1	PD02NOV17	20550.00	20707.50	USD	787.50	
02AUG17	S	1	PD02NOV17	20590.00	20707.50	USD	-587.50	
07AUG17	B	1	PD02NOV17	20606.43	20707.50	USD	505.35	
	NET	0 AVG BGHT 20578.22	SOLD 20565.00				-132.15	0.00
03AUG17	B	1	PD03NOV17	20590.00	20705.00	USD	575.00	
03AUG17	B	1	PD03NOV17	20555.00	20705.00	USD	750.00	
03AUG17	S	1	PD03NOV17	20580.00	20705.00	USD	-625.00	
03AUG17	S	1	PD03NOV17	20600.00	20705.00	USD	-525.00	
03AUG17	S	1	PD03NOV17	20615.00	20705.00	USD	-450.00	
03AUG17	B	2	PD03NOV17	20600.00	20705.00	USD	1,050.00	
03AUG17	S	1	PD03NOV17	20630.00	20705.00	USD	-375.00	
03AUG17	B	1	PD03NOV17	20610.00	20705.00	USD	475.00	
04AUG17	B	1	PD03NOV17	20660.00	20705.00	USD	225.00	
04AUG17	S	1	PD03NOV17	20660.00	20705.00	USD	-225.00	
04AUG17	S	1	PD03NOV17	20700.00	20705.00	USD	-25.00	
04AUG17	B	1	PD03NOV17	20800.00	20705.00	USD	-475.00	
04AUG17	S	1	PD03NOV17	20740.00	20705.00	USD	175.00	

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04AUG17	B	2	PD03NOV17	20700.00	20705.00	USD	50.00	
04AUG17	S	1	PD03NOV17	20780.00	20705.00	USD	375.00	
04AUG17	B	1	PD03NOV17	20600.00	20705.00	USD	525.00	
04AUG17	B	1	PD03NOV17	20550.00	20705.00	USD	775.00	
04AUG17	S	1	PD03NOV17	20570.00	20705.00	USD	-675.00	
04AUG17	B	1	PD03NOV17	20525.00	20705.00	USD	900.00	
04AUG17	S	2	PD03NOV17	20575.00	20705.00	USD	-1,300.00	
07AUG17	S	1	PD03NOV17	20603.29	20705.00	USD	-508.55	
	NET	0 AVG BGHT 20624.17	SOLD 20635.69				691.45	0.00
07AUG17	B	1	PD07NOV17	20600.00	20700.00	USD	500.00	
07AUG17	S	1	PD07NOV17	20640.00	20700.00	USD	-300.00	
07AUG17	B	1	PD07NOV17	20650.00	20700.00	USD	250.00	
07AUG17	B	1	PD07NOV17	20585.00	20700.00	USD	575.00	
07AUG17	S	1	PD07NOV17	20605.00	20700.00	USD	-475.00	
07AUG17	S	2	PD07NOV17	20615.00	20700.00	USD	-850.00	
07AUG17	B	1	PD07NOV17	20615.00	20700.00	USD	425.00	
07AUG17	B	1	PD07NOV17	20580.00	20700.00	USD	600.00	
07AUG17	S	1	PD07NOV17	20575.00	20700.00	USD	-625.00	
07AUG17	S	1	PD07NOV17	20665.00	20700.00	USD	-175.00	
07AUG17	B	1	PD07NOV17	20670.00	20700.00	USD	150.00	
	NET	0 AVG BGHT 20616.67	SOLD 20619.17				75.00	0.00
08AUG17	B	1	PD08NOV17	20405.00	20697.50	USD	1,462.50	
08AUG17	S	1	PD08NOV17	20440.00	20697.50	USD	-1,287.50	
08AUG17	B	1	PD08NOV17	20430.00	20697.50	USD	1,337.50	
08AUG17	S	1	PD08NOV17	20445.00	20697.50	USD	-1,262.50	
08AUG17	B	1	PD08NOV17	20480.00	20697.50	USD	1,087.50	
08AUG17	S	1	PD08NOV17	20525.00	20697.50	USD	-862.50	
08AUG17	B	1	PD08NOV17	20435.00	20697.50	USD	1,312.50	
08AUG17	B	1	PD08NOV17	20445.00	20697.50	USD	1,262.50	
08AUG17	S	2	PD08NOV17	20455.00	20697.50	USD	-2,425.00	
08AUG17	B	2	PD08NOV17	20410.00	20697.50	USD	2,875.00	
08AUG17	B	1	PD08NOV17	20310.00	20697.50	USD	1,937.50	
08AUG17	S	2	PD08NOV17	20210.00	20697.50	USD	-4,875.00	
08AUG17	S	1	PD08NOV17	20220.00	20697.50	USD	-2,387.50	
	NET	0 AVG BGHT 20415.63	SOLD 20370.00				-1,825.00	0.00
09AUG17	B	1	PD09NOV17	20335.00	20695.00	USD	1,800.00	
09AUG17	S	1	PD09NOV17	20380.00	20695.00	USD	-1,575.00	
09AUG17	B	1	PD09NOV17	20450.00	20695.00	USD	1,225.00	
09AUG17	S	1	PD09NOV17	20495.00	20695.00	USD	-1,000.00	
09AUG17	S	1	PD09NOV17	20410.00	20695.00	USD	-1,425.00	
09AUG17	B	1	PD09NOV17	20415.00	20695.00	USD	1,400.00	
09AUG17	S	1	PD09NOV17	20390.00	20695.00	USD	-1,525.00	
09AUG17	B	1	PD09NOV17	20445.00	20695.00	USD	1,250.00	
09AUG17	B	1	PD09NOV17	20495.00	20695.00	USD	1,000.00	
09AUG17	S	1	PD09NOV17	20445.00	20695.00	USD	-1,250.00	
	NET	0 AVG BGHT 20428.00	SOLD 20424.00				-100.00	0.00
10AUG17	B	1	PD10NOV17	20400.00	20692.50	USD	1,462.50	
10AUG17	S	1	PD10NOV17	20385.00	20692.50	USD	-1,537.50	
10AUG17	B	1	PD10NOV17	20270.00	20692.50	USD	2,112.50	
10AUG17	S	1	PD10NOV17	20440.00	20692.50	USD	-1,262.50	
10AUG17	B	2	PD10NOV17	20450.00	20692.50	USD	2,425.00	
10AUG17	S	2	PD10NOV17	20275.00	20692.50	USD	-4,175.00	
10AUG17	B	1	PD10NOV17	20300.00	20692.50	USD	1,962.50	
10AUG17	S	1	PD10NOV17	20375.00	20692.50	USD	-1,587.50	
11AUG17	S	1	PD10NOV17	20270.00	20692.50	USD	-2,112.50	
11AUG17	S	1	PD10NOV17	20260.00	20692.50	USD	-2,162.50	

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11AUG17	S	1	PD10NOV17	20280.00	20692.50	USD	-2,062.50	
11AUG17	S	1	PD10NOV17	20325.00	20692.50	USD	-1,837.50	
11AUG17	B	2	PD10NOV17	20285.00	20692.50	USD	4,075.00	
11AUG17	S	2	PD10NOV17	20305.00	20692.50	USD	-3,875.00	
11AUG17	B	1	PD10NOV17	20220.00	20692.50	USD	2,362.50	
11AUG17	B	1	PD10NOV17	20230.00	20692.50	USD	2,312.50	
11AUG17	B	1	PD10NOV17	20235.00	20692.50	USD	2,287.50	
11AUG17	B	1	PD10NOV17	20305.00	20692.50	USD	1,937.50	
11AUG17	B	1	PD10NOV17	20355.00	20692.50	USD	1,687.50	
11AUG17	S	1	PD10NOV17	20370.00	20692.50	USD	-1,612.50	
11AUG17	B	1	PD10NOV17	20360.00	20692.50	USD	1,662.50	
11AUG17	S	1	PD10NOV17	20360.00	20692.50	USD	-1,662.50	
	NET	0 AVG	BGHT 20318.85		SOLD 20325.00		400.00	0.00
14AUG17	B	1	PD14NOV17	20305.00	20687.50	USD	1,912.50	
14AUG17	S	1	PD14NOV17	20340.00	20687.50	USD	-1,737.50	
	NET	0 AVG	BGHT 20305.00		SOLD 20340.00		175.00	0.00
15AUG17	B	3	PD15NOV17	20325.00	20685.00	USD	5,400.00	
15AUG17	S	1	PD15NOV17	20345.00	20685.00	USD	-1,700.00	
15AUG17	S	2	PD15NOV17	20305.00	20685.00	USD	-3,800.00	
15AUG17	B	1	PD15NOV17	20275.00	20685.00	USD	2,050.00	
15AUG17	S	1	PD15NOV17	20250.00	20685.00	USD	-2,175.00	
15AUG17	S	2	PD15NOV17	20230.00	20685.00	USD	-4,550.00	
15AUG17	B	1	PD15NOV17	20265.00	20685.00	USD	2,100.00	
15AUG17	B	1	PD15NOV17	20280.00	20685.00	USD	2,025.00	
15AUG17	B	1	PD15NOV17	20300.00	20685.00	USD	1,925.00	
15AUG17	S	1	PD15NOV17	20260.00	20685.00	USD	-2,125.00	
15AUG17	B	3	PD15NOV17	19320.00	20685.00	USD	20,475.00	
15AUG17	B	1	PD15NOV17	20170.00	20685.00	USD	2,575.00	
15AUG17	S	1	PD15NOV17	20145.00	20685.00	USD	-2,700.00	
15AUG17	S	1	PD15NOV17	19895.00	20685.00	USD	-3,950.00	
15AUG17	S	1	PD15NOV17	19970.00	20685.00	USD	-3,575.00	
15AUG17	S	1	PD15NOV17	20010.00	20685.00	USD	-3,375.00	
	NET	0 AVG	BGHT 20020.45		SOLD 20176.82		8,600.00	0.00
16AUG17	B	1	PD16NOV17	19975.00	20683.13	USD	3,540.65	
16AUG17	S	1	PD16NOV17	20015.00	20683.13	USD	-3,340.65	
16AUG17	B	1	PD16NOV17	20020.00	20683.13	USD	3,315.65	
16AUG17	S	1	PD16NOV17	20050.00	20683.13	USD	-3,165.65	
	NET	0 AVG	BGHT 19997.50		SOLD 20032.50		350.00	0.00
17AUG17	B	2	PD17NOV17	20215.00	20681.25	USD	4,662.50	
17AUG17	S	1	PD17NOV17	20230.00	20681.25	USD	-2,256.25	
17AUG17	S	1	PD17NOV17	20150.00	20681.25	USD	-2,656.25	
17AUG17	S	1	PD17NOV17	20185.00	20681.25	USD	-2,481.25	
17AUG17	S	1	PD17NOV17	20340.00	20681.25	USD	-1,706.25	
17AUG17	B	1	PD17NOV17	20265.00	20681.25	USD	2,081.25	
17AUG17	B	1	PD17NOV17	20255.00	20681.25	USD	2,131.25	
17AUG17	B	1	PD17NOV17	20150.00	20681.25	USD	2,656.25	
17AUG17	B	1	PD17NOV17	20100.00	20681.25	USD	2,906.25	
17AUG17	S	2	PD17NOV17	20110.00	20681.25	USD	-5,712.50	
18AUG17	S	1	PD17NOV17	20180.00	20681.25	USD	-2,506.25	
18AUG17	B	1	PD17NOV17	20115.00	20681.25	USD	2,831.25	
	NET	0 AVG	BGHT 20187.86		SOLD 20186.43		-50.00	0.00
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
17JUL17	S	1	PD17OCT17	2838.00	3294.00	USD	-11,400.00	
17JUL17	B	1	PD17OCT17	2843.00	3294.00	USD	11,275.00	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0086F

13th October 2017

Sapien Capital Limited
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backoffice@sapiencap.com

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
	NET	0 AVG BGHT 2843.00	SOLD 2838.00				-125.00	0.00
09AUG17	S	2 PD09NOV17		2979.00	3260.53	USD	-14,076.50	
09AUG17	B	1 PD09NOV17		2970.00	3260.53	USD	7,263.25	
09AUG17	B	1 PD09NOV17		2965.50	3260.53	USD	7,375.75	
	NET	0 AVG BGHT 2967.75	SOLD 2979.00				562.50	0.00
16AUG17	B	1 PD16NOV17		3002.00	3253.50	USD	6,287.50	
16AUG17	S	1 PD16NOV17		2990.00	3253.50	USD	-6,587.50	
16AUG17	B	1 PD16NOV17		3086.00	3253.50	USD	4,187.50	
16AUG17	S	1 PD16NOV17		3077.00	3253.50	USD	-4,412.50	
16AUG17	S	1 PD16NOV17		3096.00	3253.50	USD	-3,937.50	
16AUG17	B	1 PD16NOV17		3102.00	3253.50	USD	3,787.50	
16AUG17	B	1 PD16NOV17		3121.00	3253.50	USD	3,312.50	
16AUG17	S	2 PD16NOV17		3128.00	3253.50	USD	-6,275.00	
16AUG17	B	1 PD16NOV17		3111.00	3253.50	USD	3,562.50	
16AUG17	B	1 PD16NOV17		3107.00	3253.50	USD	3,662.50	
16AUG17	S	1 PD16NOV17		3115.50	3253.50	USD	-3,450.00	
	NET	0 AVG BGHT 3088.17	SOLD 3089.08				137.50	0.00
17AUG17	B	1 PD17NOV17		3090.50	3251.00	USD	4,012.50	
17AUG17	S	1 PD17NOV17		3093.00	3251.00	USD	-3,950.00	
	NET	0 AVG BGHT 3090.50	SOLD 3093.00				62.50	0.00

OPEN POSITION VALUE

		<u>USD</u>
LME Copper Grade A 25	FUTURES	-8,051.50
LME Lead 25 tonnes. This is an	FUTURES	-425.00
LME Nickel 6 tonnes. This is	FUTURES	180.00
LME Tin 5 tonnes. This is an	FUTURES	12,884.30
LME Zinc 25 tonnes. This is	FUTURES	637.50

MARKET REVALUATION BREAKDOWN

Unrealised Profits & Losses - Forwards			Amount	Profit / Loss	Total Amount	Total P&L
COPL	- Futures	USD	-8,051.50	-8,051.50		
LEAD	- Futures	USD	-425.00	-425.00		
NKL	- Futures	USD	180.00	180.00		
TIN	- Futures	USD	12,884.30	12,884.30		
ZINC	- Futures	USD	637.50	637.50	5,225.30	5,225.30

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ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	29,164.80	29,164.80
Variation Margins		
- Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	29,164.80	29,164.80
Initial Margins	0.00	0.00
Excess/(Shortage)	29,164.80	29,164.80
FOR INFORMATION ONLY		
Forward Unrealised P&L	5,225.30	5,225.30
Net Liquidating Value	34,390.10	34,390.10

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
17JUL17	S	1	PD17OCT17	5949.00	6859.00	USD	-22,750.00	
17JUL17	B	1	PD17OCT17	5961.00	6859.00	USD	22,450.00	
17JUL17	B	1	PD17OCT17	5981.00	6859.00	USD	21,950.00	
17JUL17	S	1	PD17OCT17	5952.00	6859.00	USD	-22,675.00	
17JUL17	S	1	PD17OCT17	5955.00	6859.00	USD	-22,600.00	
17JUL17	S	1	PD17OCT17	5959.50	6859.00	USD	-22,487.50	
17JUL17	S	1	PD17OCT17	5979.50	6859.00	USD	-21,987.50	
17JUL17	B	1	PD17OCT17	5959.50	6859.00	USD	22,487.50	
17JUL17	B	1	PD17OCT17	5979.00	6859.00	USD	22,000.00	
17JUL17	S	1	PD17OCT17	5971.00	6859.00	USD	-22,200.00	
17JUL17	S	2	PD17OCT17	5979.00	6859.00	USD	-44,000.00	
17JUL17	S	1	PD17OCT17	5985.00	6859.00	USD	-21,850.00	
17JUL17	B	2	PD17OCT17	5980.00	6859.00	USD	43,950.00	
17JUL17	B	1	PD17OCT17	6000.00	6859.00	USD	21,475.00	
17JUL17	B	2	PD17OCT17	6001.00	6859.00	USD	42,900.00	
17JUL17	B	1	PD17OCT17	6022.00	6859.00	USD	20,925.00	
17JUL17	S	1	PD17OCT17	6017.00	6859.00	USD	-21,050.00	
	NET	0 AVG BGHT 5986.45	SOLD 5972.60				-3,462.50	0.00
18JUL17	B	1	PD18OCT17	5971.00	6859.50	USD	22,212.50	
18JUL17	S	1	PD18OCT17	5976.00	6859.50	USD	-22,087.50	
18JUL17	B	1	PD18OCT17	5982.00	6859.50	USD	21,937.50	
18JUL17	S	1	PD18OCT17	5980.00	6859.50	USD	-21,987.50	
18JUL17	S	2	PD18OCT17	5991.00	6859.50	USD	-43,425.00	
18JUL17	S	1	PD18OCT17	5993.00	6859.50	USD	-21,662.50	
18JUL17	B	4	PD18OCT17	5980.00	6859.50	USD	87,950.00	
18JUL17	S	1	PD18OCT17	5987.00	6859.50	USD	-21,812.50	
18JUL17	S	1	PD18OCT17	6010.00	6859.50	USD	-21,237.50	
18JUL17	S	2	PD18OCT17	6005.00	6859.50	USD	-42,725.00	
24JUL17	B	3	PD18OCT17	6027.50	6859.50	USD	62,400.00	
	NET	0 AVG BGHT 5995.06	SOLD 5993.11				-437.50	0.00
19JUL17	B	1	PD19OCT17	5995.00	6857.50	USD	21,562.50	
19JUL17	B	1	PD19OCT17	5989.00	6857.50	USD	21,712.50	
19JUL17	B	1	PD19OCT17	5990.00	6857.50	USD	21,687.50	
19JUL17	B	1	PD19OCT17	6015.00	6857.50	USD	21,062.50	
19JUL17	S	1	PD19OCT17	6009.00	6857.50	USD	-21,212.50	
19JUL17	S	2	PD19OCT17	6014.00	6857.50	USD	-42,175.00	
19JUL17	S	1	PD19OCT17	6017.00	6857.50	USD	-21,012.50	
19JUL17	B	2	PD19OCT17	5997.00	6857.50	USD	43,025.00	
19JUL17	B	1	PD19OCT17	6000.00	6857.50	USD	21,437.50	
19JUL17	S	3	PD19OCT17	5994.00	6857.50	USD	-64,762.50	
19JUL17	B	2	PD19OCT17	5985.00	6857.50	USD	43,625.00	
19JUL17	B	1	PD19OCT17	5991.00	6857.50	USD	21,662.50	
24JUL17	S	3	PD19OCT17	6026.30	6857.50	USD	-62,340.00	
	NET	0 AVG BGHT 5994.40	SOLD 6011.49				4,272.50	0.00
20JUL17	S	1	PD20OCT17	5971.00	6857.50	USD	-22,162.50	
20JUL17	S	1	PD20OCT17	5984.00	6857.50	USD	-21,837.50	
20JUL17	B	1	PD20OCT17	5981.00	6857.50	USD	21,912.50	
20JUL17	B	1	PD20OCT17	5990.50	6857.50	USD	21,675.00	
20JUL17	S	1	PD20OCT17	5977.00	6857.50	USD	-22,012.50	
20JUL17	S	1	PD20OCT17	5983.00	6857.50	USD	-21,862.50	
20JUL17	S	1	PD20OCT17	5988.00	6857.50	USD	-21,737.50	
20JUL17	S	1	PD20OCT17	5985.00	6857.50	USD	-21,812.50	
20JUL17	B	4	PD20OCT17	5970.00	6857.50	USD	88,750.00	
20JUL17	S	2	PD20OCT17	5974.00	6857.50	USD	-44,175.00	
20JUL17	B	2	PD20OCT17	5984.00	6857.50	USD	43,675.00	
20JUL17	B	1	PD20OCT17	5980.00	6857.50	USD	21,937.50	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
20JUL17	S	2	PD200CT17	5975.00	6857.50	USD	-44,125.00	
20JUL17	S	1	PD200CT17	5980.00	6857.50	USD	-21,937.50	
20JUL17	S	1	PD200CT17	5981.00	6857.50	USD	-21,912.50	
20JUL17	B	2	PD200CT17	5967.00	6857.50	USD	44,525.00	
20JUL17	B	1	PD200CT17	5968.00	6857.50	USD	22,237.50	
21JUL17	S	1	PD200CT17	5985.00	6857.50	USD	-21,812.50	
21JUL17	S	1	PD200CT17	5981.00	6857.50	USD	-21,912.50	
21JUL17	S	2	PD200CT17	5984.00	6857.50	USD	-43,675.00	
21JUL17	B	2	PD200CT17	6000.00	6857.50	USD	42,875.00	
21JUL17	B	1	PD200CT17	6005.00	6857.50	USD	21,312.50	
21JUL17	S	1	PD200CT17	5999.00	6857.50	USD	-21,462.50	
21JUL17	S	1	PD200CT17	6006.00	6857.50	USD	-21,287.50	
21JUL17	S	1	PD200CT17	6008.00	6857.50	USD	-21,237.50	
21JUL17	B	1	PD200CT17	5983.00	6857.50	USD	21,862.50	
21JUL17	B	1	PD200CT17	6035.00	6857.50	USD	20,562.50	
21JUL17	S	1	PD200CT17	6034.50	6857.50	USD	-20,575.00	
07AUG17	B	1	PD200CT17	6406.50	6857.50	USD	11,275.00	
14AUG17	B	2	PD200CT17	6387.75	6857.50	USD	23,487.50	
	NET	0 AVG BGHT 6045.33	SOLD 5986.43				-29,450.00	0.00
24JUL17	B	1	PD240CT17	6005.00	6857.50	USD	21,312.50	
24JUL17	B	1	PD240CT17	5991.00	6857.50	USD	21,662.50	
24JUL17	B	1	PD240CT17	6000.00	6857.50	USD	21,437.50	
24JUL17	S	2	PD240CT17	6025.00	6857.50	USD	-41,625.00	
24JUL17	B	3	PD240CT17	6020.00	6857.50	USD	62,812.50	
24JUL17	B	1	PD240CT17	6022.00	6857.50	USD	20,887.50	
24JUL17	S	1	PD240CT17	6024.00	6857.50	USD	-20,837.50	
24JUL17	S	1	PD240CT17	6026.00	6857.50	USD	-20,787.50	
07AUG17	S	1	PD240CT17	6408.01	6857.50	USD	-11,237.25	
07AUG17	S	2	PD240CT17	6408.21	6857.50	USD	-22,464.50	
	NET	0 AVG BGHT 6011.14	SOLD 6189.20				31,160.75	0.00
25JUL17	B	2	PD250CT17	6073.00	6857.50	USD	39,225.00	
25JUL17	B	2	PD250CT17	6080.00	6857.50	USD	38,875.00	
25JUL17	S	1	PD250CT17	6048.00	6857.50	USD	-20,237.50	
25JUL17	S	1	PD250CT17	6063.50	6857.50	USD	-19,850.00	
25JUL17	S	1	PD250CT17	6067.00	6857.50	USD	-19,762.50	
25JUL17	S	1	PD250CT17	6075.00	6857.50	USD	-19,562.50	
25JUL17	S	1	PD250CT17	6079.50	6857.50	USD	-19,450.00	
25JUL17	B	1	PD250CT17	6085.00	6857.50	USD	19,312.50	
25JUL17	B	1	PD250CT17	6086.50	6857.50	USD	19,275.00	
25JUL17	B	1	PD250CT17	6088.00	6857.50	USD	19,237.50	
25JUL17	B	1	PD250CT17	6089.00	6857.50	USD	19,212.50	
25JUL17	B	2	PD250CT17	6100.00	6857.50	USD	37,875.00	
25JUL17	B	1	PD250CT17	6102.00	6857.50	USD	18,887.50	
25JUL17	B	2	PD250CT17	6103.00	6857.50	USD	37,725.00	
25JUL17	B	1	PD250CT17	6125.00	6857.50	USD	18,312.50	
25JUL17	S	1	PD250CT17	6080.00	6857.50	USD	-19,437.50	
25JUL17	S	1	PD250CT17	6084.50	6857.50	USD	-19,325.00	
25JUL17	S	1	PD250CT17	6088.00	6857.50	USD	-19,237.50	
25JUL17	S	1	PD250CT17	6094.50	6857.50	USD	-19,075.00	
25JUL17	S	1	PD250CT17	6095.00	6857.50	USD	-19,062.50	
25JUL17	S	2	PD250CT17	6099.00	6857.50	USD	-37,925.00	
25JUL17	S	1	PD250CT17	6105.50	6857.50	USD	-18,800.00	
25JUL17	S	1	PD250CT17	6108.00	6857.50	USD	-18,737.50	
25JUL17	S	1	PD250CT17	6118.00	6857.50	USD	-18,487.50	
25JUL17	S	1	PD250CT17	6129.00	6857.50	USD	-18,212.50	
25JUL17	B	2	PD250CT17	6121.00	6857.50	USD	36,825.00	
25JUL17	S	1	PD250CT17	6124.00	6857.50	USD	-18,337.50	

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25JUL17	S	1	PD250CT17	6139.00	6857.50	USD	-17,962.50	
25JUL17	B	1	PD250CT17	6155.00	6857.50	USD	17,562.50	
25JUL17	S	1	PD250CT17	6155.00	6857.50	USD	-17,562.50	
25JUL17	B	1	PD250CT17	6161.00	6857.50	USD	17,412.50	
25JUL17	B	1	PD250CT17	6160.50	6857.50	USD	17,425.00	
25JUL17	S	1	PD250CT17	6164.00	6857.50	USD	-17,337.50	
25JUL17	S	1	PD250CT17	6184.00	6857.50	USD	-16,837.50	
25JUL17	S	1	PD250CT17	6058.00	6857.50	USD	-19,987.50	
25JUL17	S	1	PD250CT17	6123.00	6857.50	USD	-18,362.50	
25JUL17	B	3	PD250CT17	6180.00	6857.50	USD	50,812.50	
25JUL17	S	1	PD250CT17	6215.00	6857.50	USD	-16,062.50	
25JUL17	S	1	PD250CT17	6228.00	6857.50	USD	-15,737.50	
25JUL17	B	1	PD250CT17	6210.50	6857.50	USD	16,175.00	
25JUL17	B	1	PD250CT17	6215.00	6857.50	USD	16,062.50	
25JUL17	B	1	PD250CT17	6215.50	6857.50	USD	16,050.00	
25JUL17	S	1	PD250CT17	6220.00	6857.50	USD	-15,937.50	
25JUL17	B	1	PD250CT17	6202.00	6857.50	USD	16,387.50	
25JUL17	B	2	PD250CT17	6221.00	6857.50	USD	31,825.00	
25JUL17	S	1	PD250CT17	6209.00	6857.50	USD	-16,212.50	
25JUL17	S	1	PD250CT17	6199.00	6857.50	USD	-16,462.50	
25JUL17	B	1	PD250CT17	6209.50	6857.50	USD	16,200.00	
25JUL17	S	1	PD250CT17	6227.00	6857.50	USD	-15,762.50	
25JUL17	B	1	PD250CT17	6227.00	6857.50	USD	15,762.50	
25JUL17	S	2	PD250CT17	6230.00	6857.50	USD	-31,375.00	
25JUL17	B	1	PD250CT17	6241.00	6857.50	USD	15,412.50	
25JUL17	B	1	PD250CT17	6266.00	6857.50	USD	14,787.50	
25JUL17	S	1	PD250CT17	6264.00	6857.50	USD	-14,837.50	
	NET	0AVG BGHT 6149.20	SOLD 6137.58				-9,300.00	0.00
26JUL17	B	1	PD260CT17	6250.00	6857.50	USD	15,187.50	
26JUL17	S	1	PD260CT17	6245.00	6857.50	USD	-15,312.50	
26JUL17	S	1	PD260CT17	6249.00	6857.50	USD	-15,212.50	
26JUL17	S	1	PD260CT17	6255.50	6857.50	USD	-15,050.00	
26JUL17	B	1	PD260CT17	6335.00	6857.50	USD	13,062.50	
26JUL17	S	1	PD260CT17	6290.00	6857.50	USD	-14,187.50	
26JUL17	S	1	PD260CT17	6345.00	6857.50	USD	-12,812.50	
26JUL17	B	1	PD260CT17	6289.50	6857.50	USD	14,200.00	
26JUL17	B	1	PD260CT17	6300.00	6857.50	USD	13,937.50	
26JUL17	B	1	PD260CT17	6311.00	6857.50	USD	13,662.50	
26JUL17	B	1	PD260CT17	6322.00	6857.50	USD	13,387.50	
26JUL17	B	1	PD260CT17	6325.00	6857.50	USD	13,312.50	
26JUL17	B	1	PD260CT17	6333.00	6857.50	USD	13,112.50	
26JUL17	S	1	PD260CT17	6305.00	6857.50	USD	-13,812.50	
26JUL17	B	1	PD260CT17	6282.00	6857.50	USD	14,387.50	
26JUL17	S	1	PD260CT17	6278.00	6857.50	USD	-14,487.50	
26JUL17	B	1	PD260CT17	6291.50	6857.50	USD	14,150.00	
26JUL17	B	1	PD260CT17	6272.00	6857.50	USD	14,637.50	
26JUL17	S	1	PD260CT17	6279.00	6857.50	USD	-14,462.50	
26JUL17	S	1	PD260CT17	6288.00	6857.50	USD	-14,237.50	
26JUL17	S	1	PD260CT17	6302.00	6857.50	USD	-13,887.50	
26JUL17	B	1	PD260CT17	6329.50	6857.50	USD	13,200.00	
26JUL17	S	1	PD260CT17	6334.00	6857.50	USD	-13,087.50	
26JUL17	S	2	PD260CT17	6340.50	6857.50	USD	-25,850.00	
26JUL17	S	1	PD260CT17	6340.00	6857.50	USD	-12,937.50	
26JUL17	B	1	PD260CT17	6335.50	6857.50	USD	13,050.00	
26JUL17	S	1	PD260CT17	6337.50	6857.50	USD	-13,000.00	
26JUL17	B	1	PD260CT17	6311.50	6857.50	USD	13,650.00	
26JUL17	S	1	PD260CT17	6310.50	6857.50	USD	-13,675.00	

OPEN POSITION STATEMENT



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SAP0086G

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Sapien Capital Limited
Suite A, 105 Piccadilly
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+44 (0) 20 7340 9081
backoffice@sapiencap.com

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
26JUL17	S	1	PD260CT17	6328.00	6857.50	USD	-13,237.50	
26JUL17	B	1	PD260CT17	6330.00	6857.50	USD	13,187.50	
26JUL17	B	1	PD260CT17	6345.00	6857.50	USD	12,812.50	
26JUL17	S	1	PD260CT17	6338.50	6857.50	USD	-12,975.00	
07AUG17	B	2	PD260CT17	6409.27	6857.50	USD	22,411.50	
	NET	0 AVG BGHT 6321.17	SOLD 6305.89				-6,876.00	0.00
27JUL17	S	1	PD270CT17	6308.00	6857.50	USD	-13,737.50	
27JUL17	B	1	PD270CT17	6321.00	6857.50	USD	13,412.50	
27JUL17	B	2	PD270CT17	6324.00	6857.50	USD	26,675.00	
27JUL17	B	1	PD270CT17	6325.00	6857.50	USD	13,312.50	
27JUL17	B	1	PD270CT17	6341.00	6857.50	USD	12,912.50	
27JUL17	S	1	PD270CT17	6341.50	6857.50	USD	-12,900.00	
27JUL17	B	1	PD270CT17	6340.50	6857.50	USD	12,925.00	
27JUL17	S	2	PD270CT17	6340.00	6857.50	USD	-25,875.00	
27JUL17	S	1	PD270CT17	6349.00	6857.50	USD	-12,712.50	
27JUL17	B	1	PD270CT17	6372.00	6857.50	USD	12,137.50	
27JUL17	S	1	PD270CT17	6377.00	6857.50	USD	-12,012.50	
27JUL17	B	1	PD270CT17	6356.00	6857.50	USD	12,537.50	
27JUL17	B	1	PD270CT17	6345.00	6857.50	USD	12,812.50	
27JUL17	S	1	PD270CT17	6354.50	6857.50	USD	-12,575.00	
27JUL17	B	3	PD270CT17	6350.00	6857.50	USD	38,062.50	
27JUL17	B	1	PD270CT17	6370.00	6857.50	USD	12,187.50	
27JUL17	S	1	PD270CT17	6362.00	6857.50	USD	-12,387.50	
27JUL17	S	1	PD270CT17	6368.00	6857.50	USD	-12,237.50	
27JUL17	B	2	PD270CT17	6363.00	6857.50	USD	24,725.00	
27JUL17	S	3	PD270CT17	6370.00	6857.50	USD	-36,562.50	
27JUL17	B	1	PD270CT17	6354.50	6857.50	USD	12,575.00	
27JUL17	B	1	PD270CT17	6351.00	6857.50	USD	12,662.50	
27JUL17	S	4	PD270CT17	6350.00	6857.50	USD	-50,750.00	
27JUL17	B	2	PD270CT17	6371.00	6857.50	USD	24,325.00	
27JUL17	B	1	PD270CT17	6337.00	6857.50	USD	13,012.50	
27JUL17	S	2	PD270CT17	6339.00	6857.50	USD	-25,925.00	
27JUL17	S	1	PD270CT17	6336.00	6857.50	USD	-13,037.50	
27JUL17	B	1	PD270CT17	6330.00	6857.50	USD	13,187.50	
27JUL17	B	1	PD270CT17	6331.00	6857.50	USD	13,162.50	
27JUL17	S	1	PD270CT17	6334.00	6857.50	USD	-13,087.50	
28JUL17	B	2	PD270CT17	6266.00	6857.50	USD	29,575.00	
28JUL17	B	2	PD270CT17	6285.00	6857.50	USD	28,625.00	
28JUL17	S	1	PD270CT17	6260.00	6857.50	USD	-14,937.50	
28JUL17	S	1	PD270CT17	6269.50	6857.50	USD	-14,700.00	
28JUL17	S	2	PD270CT17	6330.00	6857.50	USD	-26,375.00	
28JUL17	B	1	PD270CT17	6310.50	6857.50	USD	13,675.00	
28JUL17	B	1	PD270CT17	6312.00	6857.50	USD	13,637.50	
28JUL17	S	1	PD270CT17	6299.00	6857.50	USD	-13,962.50	
28JUL17	S	1	PD270CT17	6322.50	6857.50	USD	-13,375.00	
28JUL17	B	1	PD270CT17	6306.00	6857.50	USD	13,787.50	
28JUL17	S	1	PD270CT17	6309.00	6857.50	USD	-13,712.50	
28JUL17	S	1	PD270CT17	6324.50	6857.50	USD	-13,325.00	
28JUL17	B	1	PD270CT17	6330.00	6857.50	USD	13,187.50	
28JUL17	S	1	PD270CT17	6335.00	6857.50	USD	-13,062.50	
28JUL17	B	1	PD270CT17	6340.00	6857.50	USD	12,937.50	
28JUL17	B	1	PD270CT17	6346.00	6857.50	USD	12,787.50	
28JUL17	S	1	PD270CT17	6342.00	6857.50	USD	-12,887.50	
28JUL17	B	1	PD270CT17	6319.00	6857.50	USD	13,462.50	
28JUL17	S	1	PD270CT17	6324.00	6857.50	USD	-13,337.50	
14AUG17	S	2	PD270CT17	6390.41	6857.50	USD	-23,354.50	
	NET	0 AVG BGHT 6333.50	SOLD 6340.13				5,470.50	0.00

OPEN POSITION STATEMENT



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31JUL17	B	1	PD31OCT17	6370.50	6858.27	USD	12,194.25	
31JUL17	S	1	PD31OCT17	6387.00	6858.27	USD	-11,781.75	
31JUL17	S	1	PD31OCT17	6394.00	6858.27	USD	-11,606.75	
31JUL17	B	1	PD31OCT17	6362.00	6858.27	USD	12,406.75	
31JUL17	B	2	PD31OCT17	6401.50	6858.27	USD	22,838.50	
31JUL17	B	1	PD31OCT17	6404.00	6858.27	USD	11,356.75	
31JUL17	S	1	PD31OCT17	6385.00	6858.27	USD	-11,831.75	
31JUL17	S	1	PD31OCT17	6429.00	6858.27	USD	-10,731.75	
31JUL17	B	1	PD31OCT17	6391.00	6858.27	USD	11,681.75	
31JUL17	S	2	PD31OCT17	6344.00	6858.27	USD	-25,713.50	
	NET	0 AVG BGHT 6388.42	SOLD 6380.50				-1,187.50	0.00
01AUG17	S	1	PD01NOV17	6356.00	6859.05	USD	-12,576.25	
01AUG17	B	1	PD01NOV17	6375.00	6859.05	USD	12,101.25	
01AUG17	B	2	PD01NOV17	6340.00	6859.05	USD	25,952.50	
01AUG17	S	2	PD01NOV17	6345.00	6859.05	USD	-25,702.50	
01AUG17	B	1	PD01NOV17	6354.00	6859.05	USD	12,626.25	
01AUG17	S	1	PD01NOV17	6359.50	6859.05	USD	-12,488.75	
01AUG17	S	1	PD01NOV17	6360.00	6859.05	USD	-12,476.25	
01AUG17	B	1	PD01NOV17	6362.00	6859.05	USD	12,426.25	
01AUG17	B	1	PD01NOV17	6331.00	6859.05	USD	13,201.25	
01AUG17	B	1	PD01NOV17	6316.00	6859.05	USD	13,576.25	
01AUG17	S	1	PD01NOV17	6324.00	6859.05	USD	-13,376.25	
01AUG17	S	1	PD01NOV17	6328.00	6859.05	USD	-13,276.25	
	NET	0 AVG BGHT 6345.43	SOLD 6345.36				-12.50	0.00
02AUG17	S	1	PD02NOV17	6349.00	6859.82	USD	-12,770.50	
02AUG17	S	1	PD02NOV17	6340.00	6859.82	USD	-12,995.50	
02AUG17	S	1	PD02NOV17	6329.50	6859.82	USD	-13,258.00	
02AUG17	B	1	PD02NOV17	6336.00	6859.82	USD	13,095.50	
02AUG17	B	2	PD02NOV17	6340.00	6859.82	USD	25,991.00	
02AUG17	S	1	PD02NOV17	6337.00	6859.82	USD	-13,070.50	
02AUG17	B	1	PD02NOV17	6332.00	6859.82	USD	13,195.50	
	NET	0 AVG BGHT 6337.00	SOLD 6338.88				187.50	0.00
03AUG17	B	1	PD03NOV17	6341.00	6860.59	USD	12,989.75	
03AUG17	B	1	PD03NOV17	6342.00	6860.59	USD	12,964.75	
03AUG17	S	2	PD03NOV17	6370.00	6860.59	USD	-24,529.50	
03AUG17	B	1	PD03NOV17	6335.00	6860.59	USD	13,139.75	
03AUG17	S	1	PD03NOV17	6351.00	6860.59	USD	-12,739.75	
03AUG17	S	1	PD03NOV17	6328.00	6860.59	USD	-13,314.75	
03AUG17	S	1	PD03NOV17	6334.00	6860.59	USD	-13,164.75	
03AUG17	B	2	PD03NOV17	6328.00	6860.59	USD	26,629.50	
03AUG17	B	1	PD03NOV17	6332.00	6860.59	USD	13,214.75	
03AUG17	S	1	PD03NOV17	6329.00	6860.59	USD	-13,289.75	
03AUG17	S	1	PD03NOV17	6339.00	6860.59	USD	-13,039.75	
03AUG17	B	1	PD03NOV17	6338.00	6860.59	USD	13,064.75	
03AUG17	S	1	PD03NOV17	6337.00	6860.59	USD	-13,089.75	
03AUG17	S	1	PD03NOV17	6348.00	6860.59	USD	-12,814.75	
03AUG17	S	2	PD03NOV17	6354.00	6860.59	USD	-25,329.50	
03AUG17	S	1	PD03NOV17	6355.00	6860.59	USD	-12,639.75	
03AUG17	B	1	PD03NOV17	6351.00	6860.59	USD	12,739.75	
03AUG17	B	2	PD03NOV17	6340.00	6860.59	USD	26,029.50	
03AUG17	S	1	PD03NOV17	6345.00	6860.59	USD	-12,889.75	
04AUG17	B	1	PD03NOV17	6345.00	6860.59	USD	12,889.75	
04AUG17	B	1	PD03NOV17	6365.00	6860.59	USD	12,389.75	
04AUG17	B	1	PD03NOV17	6371.00	6860.59	USD	12,239.75	
04AUG17	B	1	PD03NOV17	6374.00	6860.59	USD	12,164.75	
04AUG17	B	1	PD03NOV17	6379.50	6860.59	USD	12,027.25	

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04AUG17	B	1	PD03NOV17	6380.00	6860.59	USD	12,014.75	
04AUG17	S	1	PD03NOV17	6369.00	6860.59	USD	-12,289.75	
04AUG17	S	1	PD03NOV17	6375.00	6860.59	USD	-12,139.75	
04AUG17	S	1	PD03NOV17	6379.00	6860.59	USD	-12,039.75	
04AUG17	S	1	PD03NOV17	6380.00	6860.59	USD	-12,014.75	
04AUG17	S	2	PD03NOV17	6366.00	6860.59	USD	-24,729.50	
04AUG17	S	1	PD03NOV17	6363.00	6860.59	USD	-12,439.75	
04AUG17	B	1	PD03NOV17	6330.00	6860.59	USD	13,264.75	
04AUG17	B	1	PD03NOV17	6361.00	6860.59	USD	12,489.75	
04AUG17	B	1	PD03NOV17	6343.00	6860.59	USD	12,939.75	
04AUG17	S	1	PD03NOV17	6348.00	6860.59	USD	-12,814.75	
04AUG17	B	1	PD03NOV17	6342.00	6860.59	USD	12,964.75	
04AUG17	S	1	PD03NOV17	6332.00	6860.59	USD	-13,214.75	
04AUG17	S	1	PD03NOV17	6338.00	6860.59	USD	-13,064.75	
04AUG17	S	1	PD03NOV17	6340.00	6860.59	USD	-13,014.75	
04AUG17	S	1	PD03NOV17	6341.00	6860.59	USD	-12,989.75	
04AUG17	B	1	PD03NOV17	6333.00	6860.59	USD	13,189.75	
04AUG17	B	1	PD03NOV17	6347.00	6860.59	USD	12,839.75	
04AUG17	S	1	PD03NOV17	6352.00	6860.59	USD	-12,714.75	
04AUG17	S	1	PD03NOV17	6365.00	6860.59	USD	-12,389.75	
04AUG17	B	1	PD03NOV17	6381.00	6860.59	USD	11,989.75	
04AUG17	B	2	PD03NOV17	6360.00	6860.59	USD	25,029.50	
14AUG17	B	2	PD03NOV17	6393.09	6860.59	USD	23,375.00	
	NET	0AVG BGHT 6353.06	SOLD 6352.89				-117.00	0.00
07AUG17	B	1	PD07NOV17	6340.50	6863.68	USD	13,079.50	
07AUG17	B	1	PD07NOV17	6365.50	6863.68	USD	12,454.50	
07AUG17	S	1	PD07NOV17	6370.00	6863.68	USD	-12,342.00	
07AUG17	B	1	PD07NOV17	6345.00	6863.68	USD	12,967.00	
07AUG17	B	1	PD07NOV17	6390.50	6863.68	USD	11,829.50	
07AUG17	B	1	PD07NOV17	6403.50	6863.68	USD	11,504.50	
07AUG17	S	1	PD07NOV17	6339.00	6863.68	USD	-13,117.00	
07AUG17	S	1	PD07NOV17	6348.50	6863.68	USD	-12,879.50	
07AUG17	S	1	PD07NOV17	6408.00	6863.68	USD	-11,392.00	
07AUG17	S	1	PD07NOV17	6410.50	6863.68	USD	-11,329.50	
07AUG17	B	1	PD07NOV17	6342.50	6863.68	USD	13,029.50	
07AUG17	B	1	PD07NOV17	6362.00	6863.68	USD	12,542.00	
07AUG17	S	1	PD07NOV17	6349.00	6863.68	USD	-12,867.00	
07AUG17	S	2	PD07NOV17	6350.00	6863.68	USD	-25,684.00	
07AUG17	S	1	PD07NOV17	6358.00	6863.68	USD	-12,642.00	
07AUG17	B	2	PD07NOV17	6355.00	6863.68	USD	25,434.00	
07AUG17	B	1	PD07NOV17	6366.00	6863.68	USD	12,442.00	
07AUG17	B	1	PD07NOV17	6341.50	6863.68	USD	13,054.50	
07AUG17	B	1	PD07NOV17	6346.00	6863.68	USD	12,942.00	
07AUG17	S	1	PD07NOV17	6349.50	6863.68	USD	-12,854.50	
07AUG17	S	1	PD07NOV17	6429.00	6863.68	USD	-10,867.00	
07AUG17	S	1	PD07NOV17	6440.00	6863.68	USD	-10,592.00	
07AUG17	S	1	PD07NOV17	6410.00	6863.68	USD	-11,342.00	
07AUG17	B	1	PD07NOV17	6416.00	6863.68	USD	11,192.00	
07AUG17	B	2	PD07NOV17	6413.00	6863.68	USD	22,534.00	
14AUG17	S	2	PD07NOV17	6394.31	6863.68	USD	-23,468.50	
	NET	0AVG BGHT 6370.33	SOLD 6380.01				3,628.00	0.00
09AUG17	B	1	PD09NOV17	6503.00	6865.23	USD	9,055.75	
09AUG17	S	1	PD09NOV17	6507.00	6865.23	USD	-8,955.75	
09AUG17	S	3	PD09NOV17	6510.00	6865.23	USD	-26,642.25	
09AUG17	S	1	PD09NOV17	6485.00	6865.23	USD	-9,505.75	
09AUG17	B	1	PD09NOV17	6485.00	6865.23	USD	9,505.75	
23AUG17	B	3	PD09NOV17	6563.50	6865.23	USD	22,629.75	

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
	NET	0 AVG BGHT 6535.70	SOLD 6504.40				-3,912.50	0.00
10AUG17	B	1 PD10NOV17		6453.00	6866.00	USD	10,325.00	
10AUG17	B	1 PD10NOV17		6463.00	6866.00	USD	10,075.00	
10AUG17	S	2 PD10NOV17		6458.00	6866.00	USD	-20,400.00	
10AUG17	B	1 PD10NOV17		6405.00	6866.00	USD	11,525.00	
10AUG17	B	2 PD10NOV17		6401.00	6866.00	USD	23,250.00	
10AUG17	B	1 PD10NOV17		6427.00	6866.00	USD	10,975.00	
10AUG17	S	1 PD10NOV17		6432.00	6866.00	USD	-10,850.00	
11AUG17	B	1 PD10NOV17		6345.00	6866.00	USD	13,025.00	
11AUG17	S	1 PD10NOV17		6342.00	6866.00	USD	-13,100.00	
11AUG17	B	1 PD10NOV17		6358.00	6866.00	USD	12,700.00	
11AUG17	S	1 PD10NOV17		6363.00	6866.00	USD	-12,575.00	
11AUG17	B	1 PD10NOV17		6355.00	6866.00	USD	12,775.00	
11AUG17	S	1 PD10NOV17		6360.00	6866.00	USD	-12,650.00	
11AUG17	B	2 PD10NOV17		6372.00	6866.00	USD	24,700.00	
11AUG17	S	1 PD10NOV17		6375.50	6866.00	USD	-12,262.50	
11AUG17	S	1 PD10NOV17		6377.00	6866.00	USD	-12,225.00	
11AUG17	S	1 PD10NOV17		6379.00	6866.00	USD	-12,175.00	
11AUG17	S	2 PD10NOV17		6383.00	6866.00	USD	-24,150.00	
11AUG17	B	1 PD10NOV17		6379.00	6866.00	USD	12,175.00	
11AUG17	B	1 PD10NOV17		6414.00	6866.00	USD	11,300.00	
11AUG17	B	1 PD10NOV17		6415.00	6866.00	USD	11,275.00	
11AUG17	S	1 PD10NOV17		6409.00	6866.00	USD	-11,425.00	
11AUG17	S	1 PD10NOV17		6422.00	6866.00	USD	-11,100.00	
11AUG17	S	1 PD10NOV17		6417.00	6866.00	USD	-11,225.00	
	NET	0 AVG BGHT 6397.14	SOLD 6397.04				-37.50	0.00
14AUG17	S	1 PD14NOV17		6415.00	6864.67	USD	-11,241.75	
14AUG17	B	1 PD14NOV17		6405.00	6864.67	USD	11,491.75	
	NET	0 AVG BGHT 6405.00	SOLD 6415.00				250.00	0.00
15AUG17	S	1 PD15NOV17		6415.00	6864.00	USD	-11,225.00	
15AUG17	B	1 PD15NOV17		6418.00	6864.00	USD	11,150.00	
15AUG17	B	1 PD15NOV17		6430.00	6864.00	USD	10,850.00	
15AUG17	S	1 PD15NOV17		6429.50	6864.00	USD	-10,862.50	
15AUG17	S	1 PD15NOV17		6428.00	6864.00	USD	-10,900.00	
15AUG17	B	1 PD15NOV17		6423.00	6864.00	USD	11,025.00	
15AUG17	S	1 PD15NOV17		6422.00	6864.00	USD	-11,050.00	
15AUG17	B	1 PD15NOV17		6417.00	6864.00	USD	11,175.00	
15AUG17	B	1 PD15NOV17		6397.00	6864.00	USD	11,675.00	
15AUG17	B	1 PD15NOV17		6382.00	6864.00	USD	12,050.00	
15AUG17	S	1 PD15NOV17		6377.00	6864.00	USD	-12,175.00	
15AUG17	S	1 PD15NOV17		6392.00	6864.00	USD	-11,800.00	
15AUG17	S	1 PD15NOV17		6365.00	6864.00	USD	-12,475.00	
15AUG17	B	1 PD15NOV17		6370.00	6864.00	USD	12,350.00	
	NET	0 AVG BGHT 6405.29	SOLD 6404.07				-212.50	0.00
16AUG17	S	1 PD16NOV17		6476.50	6863.00	USD	-9,662.50	
16AUG17	S	1 PD16NOV17		6494.00	6863.00	USD	-9,225.00	
16AUG17	B	1 PD16NOV17		6495.50	6863.00	USD	9,187.50	
16AUG17	B	1 PD16NOV17		6499.50	6863.00	USD	9,087.50	
16AUG17	B	1 PD16NOV17		6500.00	6863.00	USD	9,075.00	
16AUG17	S	2 PD16NOV17		6495.00	6863.00	USD	-18,400.00	
16AUG17	B	1 PD16NOV17		6490.00	6863.00	USD	9,325.00	
16AUG17	B	5 PD16NOV17		6492.00	6863.00	USD	46,375.00	
16AUG17	S	3 PD16NOV17		6490.00	6863.00	USD	-27,975.00	
16AUG17	B	1 PD16NOV17		6505.00	6863.00	USD	8,950.00	
16AUG17	B	1 PD16NOV17		6506.00	6863.00	USD	8,925.00	
16AUG17	S	1 PD16NOV17		6504.50	6863.00	USD	-8,962.50	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0086G

13th October 2017

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Suite A, 105 Piccadilly
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+44 (0) 20 7340 9081
backoffice@sapiencap.com

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>					
16AUG17	S 1 PD16NOV17	6526.00	6863.00	USD	-8,425.00	
16AUG17	B 1 PD16NOV17	6521.00	6863.00	USD	8,550.00	
16AUG17	B 1 PD16NOV17	6538.00	6863.00	USD	8,125.00	
16AUG17	B 1 PD16NOV17	6546.00	6863.00	USD	7,925.00	
16AUG17	S 1 PD16NOV17	6538.00	6863.00	USD	-8,125.00	
16AUG17	S 1 PD16NOV17	6540.00	6863.00	USD	-8,075.00	
16AUG17	S 1 PD16NOV17	6545.00	6863.00	USD	-7,950.00	
16AUG17	B 1 PD16NOV17	6545.00	6863.00	USD	7,950.00	
16AUG17	B 1 PD16NOV17	6562.00	6863.00	USD	7,525.00	
16AUG17	S 1 PD16NOV17	6556.00	6863.00	USD	-7,675.00	
23AUG17	S 3 PD16NOV17	6563.30	6863.00	USD	-22,477.50	
	NET 0AVG BGHT 6510.50 SOLD 6520.62				4,047.50	0.00
17AUG17	S 1 PD17NOV17	6560.00	6863.47	USD	-7,586.75	
17AUG17	B 1 PD17NOV17	6532.00	6863.47	USD	8,286.75	
17AUG17	B 1 PD17NOV17	6483.00	6863.47	USD	9,511.75	
17AUG17	S 1 PD17NOV17	6496.00	6863.47	USD	-9,186.75	
17AUG17	B 2 PD17NOV17	6482.00	6863.47	USD	19,073.50	
17AUG17	B 1 PD17NOV17	6491.00	6863.47	USD	9,311.75	
17AUG17	S 1 PD17NOV17	6485.00	6863.47	USD	-9,461.75	
17AUG17	S 1 PD17NOV17	6488.00	6863.47	USD	-9,386.75	
17AUG17	B 2 PD17NOV17	6481.00	6863.47	USD	19,123.50	
17AUG17	S 1 PD17NOV17	6477.00	6863.47	USD	-9,661.75	
17AUG17	S 2 PD17NOV17	6478.00	6863.47	USD	-19,273.50	
	NET 0AVG BGHT 6490.29 SOLD 6494.57				750.00	0.00
	<u>LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
03AUG17	B 1 PD03NOV17	2350.50	2521.13	USD	4,265.75	
03AUG17	S 1 PD03NOV17	2360.50	2521.13	USD	-4,015.75	
	NET 0AVG BGHT 2350.50 SOLD 2360.50				250.00	0.00
	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
27JUL17	S 1 PD27OCT17	10165.00	11617.31	USD	-8,713.86	
27JUL17	B 1 PD27OCT17	10210.00	11617.31	USD	8,443.86	
27JUL17	S 1 PD27OCT17	10200.00	11617.31	USD	-8,503.86	
28JUL17	B 1 PD27OCT17	10080.00	11617.31	USD	9,223.86	
	NET 0AVG BGHT 10145.00 SOLD 10182.50				450.00	0.00
03AUG17	S 1 PD03NOV17	10290.00	11623.28	USD	-7,999.68	
03AUG17	B 1 PD03NOV17	10290.00	11623.28	USD	7,999.68	
	NET 0AVG BGHT 10290.00 SOLD 10290.00				0.00	0.00
10AUG17	S 1 PD10NOV17	10860.00	11629.24	USD	-4,615.44	
10AUG17	B 1 PD10NOV17	10910.00	11629.24	USD	4,315.44	
11AUG17	S 1 PD10NOV17	10710.00	11629.24	USD	-5,515.44	
11AUG17	B 1 PD10NOV17	10660.00	11629.24	USD	5,815.44	
11AUG17	B 1 PD10NOV17	10645.00	11629.24	USD	5,905.44	
11AUG17	S 1 PD10NOV17	10670.00	11629.24	USD	-5,755.44	
	NET 0AVG BGHT 10738.33 SOLD 10746.67				150.00	0.00
16AUG17	S 1 PD16NOV17	10450.00	11634.19	USD	-7,105.14	
16AUG17	B 1 PD16NOV17	10525.00	11634.19	USD	6,655.14	
16AUG17	S 1 PD16NOV17	10675.00	11634.19	USD	-5,755.14	
16AUG17	B 1 PD16NOV17	10750.00	11634.19	USD	5,305.14	
	NET 0AVG BGHT 10637.50 SOLD 10562.50				-900.00	0.00
	<u>LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
20JUL17	S 1 PD20OCT17	20095.00	20730.00	USD	-3,175.00	

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13th October 2017

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	<u>LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>						
20JUL17	B	1 PD200CT17	20105.00	20730.00	USD	3,125.00	
	NET	0 AVG BGHT 20105.00 SOLD 20095.00				-50.00	0.00
24JUL17	B	1 PD240CT17	20130.00	20725.00	USD	2,975.00	
24JUL17	S	1 PD240CT17	20160.00	20725.00	USD	-2,825.00	
	NET	0 AVG BGHT 20130.00 SOLD 20160.00				150.00	0.00
26JUL17	B	2 PD260CT17	20225.00	20720.00	USD	4,950.00	
26JUL17	S	1 PD260CT17	20300.00	20720.00	USD	-2,100.00	
26JUL17	S	1 PD260CT17	20335.00	20720.00	USD	-1,925.00	
	NET	0 AVG BGHT 20225.00 SOLD 20317.50				925.00	0.00
27JUL17	B	1 PD270CT17	20550.00	20717.50	USD	837.50	
27JUL17	S	1 PD270CT17	20585.00	20717.50	USD	-662.50	
27JUL17	S	2 PD270CT17	20780.00	20717.50	USD	625.00	
27JUL17	B	1 PD270CT17	20705.00	20717.50	USD	62.50	
27JUL17	B	1 PD270CT17	20725.00	20717.50	USD	-37.50	
27JUL17	B	1 PD270CT17	20680.00	20717.50	USD	187.50	
27JUL17	S	1 PD270CT17	20665.00	20717.50	USD	-262.50	
28JUL17	S	2 PD270CT17	20725.00	20717.50	USD	75.00	
28JUL17	B	1 PD270CT17	20810.00	20717.50	USD	-462.50	
28JUL17	B	1 PD270CT17	20825.00	20717.50	USD	-537.50	
28JUL17	B	1 PD270CT17	20620.00	20717.50	USD	487.50	
28JUL17	S	1 PD270CT17	20640.00	20717.50	USD	-387.50	
	NET	0 AVG BGHT 20702.14 SOLD 20700.00				-75.00	0.00
31JUL17	B	1 PD310CT17	20805.00	20712.50	USD	-462.50	
31JUL17	S	1 PD310CT17	20835.00	20712.50	USD	612.50	
	NET	0 AVG BGHT 20805.00 SOLD 20835.00				150.00	0.00
01AUG17	B	1 PD01NOV17	20410.00	20710.00	USD	1,500.00	
01AUG17	S	1 PD01NOV17	20395.00	20710.00	USD	-1,575.00	
	NET	0 AVG BGHT 20410.00 SOLD 20395.00				-75.00	0.00
04AUG17	B	2 PD03NOV17	20700.00	20705.00	USD	50.00	
04AUG17	S	2 PD03NOV17	20695.00	20705.00	USD	-100.00	
	NET	0 AVG BGHT 20700.00 SOLD 20695.00				-50.00	0.00
08AUG17	S	1 PD08NOV17	20450.00	20697.50	USD	-1,237.50	
08AUG17	S	1 PD08NOV17	20470.00	20697.50	USD	-1,137.50	
08AUG17	B	2 PD08NOV17	20425.00	20697.50	USD	2,725.00	
	NET	0 AVG BGHT 20425.00 SOLD 20460.00				350.00	0.00
15AUG17	B	2 PD15NOV17	19570.00	20685.00	USD	11,150.00	
15AUG17	B	2 PD15NOV17	19710.00	20685.00	USD	9,750.00	
15AUG17	S	3 PD15NOV17	19980.00	20685.00	USD	-10,575.00	
15AUG17	S	1 PD15NOV17	20000.00	20685.00	USD	-3,425.00	
15AUG17	S	1 PD15NOV17	19820.00	20685.00	USD	-4,325.00	
15AUG17	B	1 PD15NOV17	19805.00	20685.00	USD	4,400.00	
	NET	0 AVG BGHT 19673.00 SOLD 19952.00				6,975.00	0.00
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>						
24JUL17	B	1 PD240CT17	2782.00	3276.00	USD	12,350.00	
24JUL17	S	1 PD240CT17	2775.00	3276.00	USD	-12,525.00	
	NET	0 AVG BGHT 2782.00 SOLD 2775.00				-175.00	0.00
28JUL17	S	1 PD270CT17	2794.50	3270.73	USD	-11,905.75	
28JUL17	B	1 PD270CT17	2781.00	3270.73	USD	12,243.25	
	NET	0 AVG BGHT 2781.00 SOLD 2794.50				337.50	0.00
09AUG17	B	2 PD09NOV17	2982.50	3260.53	USD	13,901.50	
09AUG17	S	2 PD09NOV17	2979.00	3260.53	USD	-14,076.50	
	NET	0 AVG BGHT 2982.50 SOLD 2979.00				-175.00	0.00

OPEN POSITION STATEMENT



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SAP0086G

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>								
16AUG17	S	1	PD16NOV17		3094.00	3253.50	USD	-3,987.50	
16AUG17	B	1	PD16NOV17		3103.00	3253.50	USD	3,762.50	
	NET	0 AVG	BGHT 3103.00	SOLD 3094.00				-225.00	0.00
17AUG17	B	1	PD17NOV17		3102.00	3251.00	USD	3,725.00	
17AUG17	S	1	PD17NOV17		3089.50	3251.00	USD	-4,037.50	
	NET	0 AVG	BGHT 3102.00	SOLD 3089.50				-312.50	0.00

OPEN POSITION VALUE

		<u>USD</u>
LME Copper Grade A 25	FUTURES	-5,238.75
LME Lead 25 tonnes. This is an	FUTURES	250.00
LME Nickel 6 tonnes. This is	FUTURES	-300.00
LME Tin 5 tonnes. This is an	FUTURES	8,300.00
LME Zinc 25 tonnes. This is	FUTURES	-550.00

MARKET REVALUATION BREAKDOWN

Unrealised Profits & Losses - Forwards			Amount	Profit / Loss	Total Amount	Total P&L
COPL	- Futures	USD	-5,238.75	-5,238.75		
LEAD	- Futures	USD	250.00	250.00		
NKL	- Futures	USD	-300.00	-300.00		
TIN	- Futures	USD	8,300.00	8,300.00		
ZINC	- Futures	USD	-550.00	-550.00	2,461.25	2,461.25

ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		12,425.15	12,425.15
Variation Margins	- Futures	0.00	0.00
	- Options	0.00	0.00
TOTAL EQUITY		12,425.15	12,425.15
Initial Margins		0.00	0.00
Excess/(Shortage)		12,425.15	12,425.15
FOR INFORMATION ONLY			
Forward Unrealised P&L		2,461.25	2,461.25
Net Liquidating Value		14,886.40	14,886.40

OPEN POSITION STATEMENT



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	<u>LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.</u>							
14AUG17	B	1	PD14NOV17	2027.00	2119.29	USD	2,307.25	
14AUG17	S	1	PD14NOV17	2028.50	2119.29	USD	-2,269.75	
	NET	0AVG BGHT 2027.00	SOLD 2028.50				37.50	0.00
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
17JUL17	S	1	PD17OCT17	5966.50	6859.00	USD	-22,312.50	
17JUL17	S	1	PD17OCT17	5954.50	6859.00	USD	-22,612.50	
17JUL17	S	1	PD17OCT17	5983.50	6859.00	USD	-21,887.50	
17JUL17	B	1	PD17OCT17	6000.50	6859.00	USD	21,462.50	
17JUL17	S	1	PD17OCT17	5999.50	6859.00	USD	-21,487.50	
17JUL17	B	1	PD17OCT17	6001.00	6859.00	USD	21,450.00	
17JUL17	B	1	PD17OCT17	6005.50	6859.00	USD	21,337.50	
17JUL17	S	1	PD17OCT17	6011.50	6859.00	USD	-21,187.50	
17JUL17	B	2	PD17OCT17	6012.50	6859.00	USD	42,325.00	
	NET	0AVG BGHT 6006.40	SOLD 5983.10				-2,912.50	0.00
18JUL17	S	1	PD18OCT17	5993.00	6859.50	USD	-21,662.50	
18JUL17	S	1	PD18OCT17	5996.00	6859.50	USD	-21,587.50	
18JUL17	S	1	PD18OCT17	5996.50	6859.50	USD	-21,575.00	
18JUL17	B	3	PD18OCT17	5980.00	6859.50	USD	65,962.50	
18JUL17	S	1	PD18OCT17	6006.00	6859.50	USD	-21,337.50	
18JUL17	S	1	PD18OCT17	6008.00	6859.50	USD	-21,287.50	
24JUL17	B	2	PD18OCT17	6027.50	6859.50	USD	41,600.00	
	NET	0AVG BGHT 5999.00	SOLD 5999.90				112.50	0.00
19JUL17	B	1	PD19OCT17	5989.00	6857.50	USD	21,712.50	
19JUL17	B	1	PD19OCT17	5991.50	6857.50	USD	21,650.00	
19JUL17	S	1	PD19OCT17	6015.00	6857.50	USD	-21,062.50	
19JUL17	S	1	PD19OCT17	6018.00	6857.50	USD	-20,987.50	
19JUL17	B	1	PD19OCT17	5998.00	6857.50	USD	21,487.50	
19JUL17	B	1	PD19OCT17	5997.00	6857.50	USD	21,512.50	
19JUL17	S	1	PD19OCT17	5996.50	6857.50	USD	-21,525.00	
19JUL17	S	1	PD19OCT17	5989.50	6857.50	USD	-21,700.00	
19JUL17	S	1	PD19OCT17	5984.00	6857.50	USD	-21,837.50	
24JUL17	B	1	PD19OCT17	6026.50	6857.50	USD	20,775.00	
	NET	0AVG BGHT 6000.40	SOLD 6000.60				25.00	0.00
20JUL17	S	1	PD20OCT17	5983.00	6857.50	USD	-21,862.50	
20JUL17	B	1	PD20OCT17	5982.00	6857.50	USD	21,887.50	
20JUL17	B	1	PD20OCT17	5970.00	6857.50	USD	22,187.50	
20JUL17	B	1	PD20OCT17	5972.50	6857.50	USD	22,125.00	
20JUL17	S	1	PD20OCT17	5979.50	6857.50	USD	-21,950.00	
20JUL17	B	3	PD20OCT17	5969.00	6857.50	USD	66,637.50	
20JUL17	S	2	PD20OCT17	5977.00	6857.50	USD	-44,025.00	
20JUL17	S	1	PD20OCT17	5977.50	6857.50	USD	-22,000.00	
20JUL17	S	1	PD20OCT17	5979.00	6857.50	USD	-21,962.50	
20JUL17	B	1	PD20OCT17	5978.50	6857.50	USD	21,975.00	
21JUL17	S	1	PD20OCT17	5983.00	6857.50	USD	-21,862.50	
21JUL17	B	1	PD20OCT17	6000.00	6857.50	USD	21,437.50	
21JUL17	S	1	PD20OCT17	6008.00	6857.50	USD	-21,237.50	
21JUL17	S	1	PD20OCT17	6013.50	6857.50	USD	-21,100.00	
21JUL17	B	1	PD20OCT17	6032.00	6857.50	USD	20,637.50	
21JUL17	B	1	PD20OCT17	5996.00	6857.50	USD	21,537.50	
21JUL17	B	2	PD20OCT17	6000.50	6857.50	USD	42,850.00	
24JUL17	S	3	PD20OCT17	6025.30	6857.50	USD	-62,415.00	
	NET	0AVG BGHT 5986.58	SOLD 5996.12				2,860.00	0.00
24JUL17	S	3	PD24OCT17	5996.00	6857.50	USD	-64,612.50	
24JUL17	B	1	PD24OCT17	6000.00	6857.50	USD	21,437.50	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0086H

13th October 2017

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Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>								
24JUL17	B	1	PD240CT17		6004.00	6857.50	USD	21,337.50	
24JUL17	B	1	PD240CT17		6004.50	6857.50	USD	21,325.00	
	NET	0AVG	BGHT 6002.83	SOLD 5996.00				-512.50	0.00
25JUL17	B	1	PD250CT17		6073.00	6857.50	USD	19,612.50	
25JUL17	S	1	PD250CT17		6054.50	6857.50	USD	-20,075.00	
25JUL17	S	1	PD250CT17		6063.50	6857.50	USD	-19,850.00	
25JUL17	S	1	PD250CT17		6067.00	6857.50	USD	-19,762.50	
25JUL17	B	1	PD250CT17		6101.00	6857.50	USD	18,912.50	
25JUL17	B	1	PD250CT17		6103.00	6857.50	USD	18,862.50	
25JUL17	S	1	PD250CT17		6096.50	6857.50	USD	-19,025.00	
25JUL17	S	2	PD250CT17		6127.00	6857.50	USD	-36,525.00	
25JUL17	B	1	PD250CT17		6160.50	6857.50	USD	17,425.00	
25JUL17	S	1	PD250CT17		6164.00	6857.50	USD	-17,337.50	
25JUL17	S	1	PD250CT17		6188.50	6857.50	USD	-16,725.00	
25JUL17	B	1	PD250CT17		6187.50	6857.50	USD	16,750.00	
25JUL17	B	1	PD250CT17		6194.00	6857.50	USD	16,587.50	
25JUL17	S	1	PD250CT17		6195.50	6857.50	USD	-16,550.00	
25JUL17	B	1	PD250CT17		6182.50	6857.50	USD	16,875.00	
25JUL17	B	1	PD250CT17		6198.50	6857.50	USD	16,475.00	
25JUL17	S	1	PD250CT17		6196.50	6857.50	USD	-16,525.00	
25JUL17	B	1	PD250CT17		6201.50	6857.50	USD	16,400.00	
25JUL17	B	1	PD250CT17		6230.00	6857.50	USD	15,687.50	
25JUL17	S	1	PD250CT17		6199.50	6857.50	USD	-16,450.00	
25JUL17	S	1	PD250CT17		6211.50	6857.50	USD	-16,150.00	
25JUL17	B	1	PD250CT17		6232.00	6857.50	USD	15,637.50	
25JUL17	B	1	PD250CT17		6211.50	6857.50	USD	16,150.00	
25JUL17	B	1	PD250CT17		6215.50	6857.50	USD	16,050.00	
25JUL17	S	1	PD250CT17		6210.50	6857.50	USD	-16,175.00	
25JUL17	S	1	PD250CT17		6230.00	6857.50	USD	-15,687.50	
25JUL17	B	1	PD250CT17		6221.00	6857.50	USD	15,912.50	
	NET	0AVG	BGHT 6179.39	SOLD 6152.25				-9,500.00	0.00
26JUL17	S	1	PD260CT17		6263.50	6857.50	USD	-14,850.00	
26JUL17	S	1	PD260CT17		6276.00	6857.50	USD	-14,537.50	
26JUL17	S	1	PD260CT17		6301.50	6857.50	USD	-13,900.00	
26JUL17	S	1	PD260CT17		6338.00	6857.50	USD	-12,987.50	
26JUL17	B	1	PD260CT17		6290.00	6857.50	USD	14,187.50	
26JUL17	B	1	PD260CT17		6305.00	6857.50	USD	13,812.50	
26JUL17	B	1	PD260CT17		6320.00	6857.50	USD	13,437.50	
26JUL17	S	1	PD260CT17		6315.00	6857.50	USD	-13,562.50	
26JUL17	S	1	PD260CT17		6341.50	6857.50	USD	-12,900.00	
26JUL17	S	1	PD260CT17		6327.00	6857.50	USD	-13,262.50	
26JUL17	B	3	PD260CT17		6330.50	6857.50	USD	39,525.00	
26JUL17	B	1	PD260CT17		6326.00	6857.50	USD	13,287.50	
	NET	0AVG	BGHT 6318.93	SOLD 6308.93				-1,750.00	0.00
27JUL17	B	1	PD270CT17		6321.00	6857.50	USD	13,412.50	
27JUL17	S	1	PD270CT17		6310.00	6857.50	USD	-13,687.50	
27JUL17	S	1	PD270CT17		6370.00	6857.50	USD	-12,187.50	
27JUL17	B	1	PD270CT17		6335.00	6857.50	USD	13,062.50	
28JUL17	B	1	PD270CT17		6284.00	6857.50	USD	14,337.50	
28JUL17	S	1	PD270CT17		6330.00	6857.50	USD	-13,187.50	
	NET	0AVG	BGHT 6313.33	SOLD 6336.67				1,750.00	0.00
31JUL17	S	1	PD310CT17		6388.00	6858.27	USD	-11,756.75	
31JUL17	B	1	PD310CT17		6385.00	6858.27	USD	11,831.75	
31JUL17	B	1	PD310CT17		6402.00	6858.27	USD	11,406.75	
31JUL17	B	1	PD310CT17		6421.50	6858.27	USD	10,919.25	
31JUL17	B	1	PD310CT17		6423.50	6858.27	USD	10,869.25	

OPEN POSITION STATEMENT



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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
31JUL17	S	1	PD31OCT17	6345.00	6858.27	USD	-12,831.75	
31JUL17	S	1	PD31OCT17	6418.00	6858.27	USD	-11,006.75	
31JUL17	S	1	PD31OCT17	6429.50	6858.27	USD	-10,719.25	
	NET	0AVG BGHT 6408.00	SOLD 6395.13				-1,287.50	0.00
02AUG17	S	1	PD02NOV17	6345.00	6859.82	USD	-12,870.50	
02AUG17	S	1	PD02NOV17	6330.50	6859.82	USD	-13,233.00	
02AUG17	S	1	PD02NOV17	6341.00	6859.82	USD	-12,970.50	
02AUG17	B	1	PD02NOV17	6330.00	6859.82	USD	13,245.50	
02AUG17	B	1	PD02NOV17	6336.00	6859.82	USD	13,095.50	
02AUG17	B	1	PD02NOV17	6341.00	6859.82	USD	12,970.50	
	NET	0AVG BGHT 6335.67	SOLD 6338.83				237.50	0.00
03AUG17	S	1	PD03NOV17	6352.00	6860.59	USD	-12,714.75	
03AUG17	S	1	PD03NOV17	6356.00	6860.59	USD	-12,614.75	
04AUG17	B	1	PD03NOV17	6368.00	6860.59	USD	12,314.75	
04AUG17	B	1	PD03NOV17	6376.50	6860.59	USD	12,102.25	
04AUG17	S	2	PD03NOV17	6368.50	6860.59	USD	-24,604.50	
04AUG17	B	1	PD03NOV17	6328.00	6860.59	USD	13,314.75	
04AUG17	B	1	PD03NOV17	6341.00	6860.59	USD	12,989.75	
04AUG17	S	2	PD03NOV17	6338.00	6860.59	USD	-26,129.50	
04AUG17	S	1	PD03NOV17	6339.50	6860.59	USD	-13,027.25	
04AUG17	B	1	PD03NOV17	6361.50	6860.59	USD	12,477.25	
04AUG17	S	1	PD03NOV17	6359.50	6860.59	USD	-12,527.25	
04AUG17	B	1	PD03NOV17	6370.50	6860.59	USD	12,252.25	
14AUG17	B	2	PD03NOV17	6393.09	6860.59	USD	23,375.00	
	NET	0AVG BGHT 6366.46	SOLD 6352.50				-2,792.00	0.00
07AUG17	B	1	PD07NOV17	6341.00	6863.68	USD	13,067.00	
07AUG17	B	1	PD07NOV17	6400.50	6863.68	USD	11,579.50	
07AUG17	S	1	PD07NOV17	6417.00	6863.68	USD	-11,167.00	
07AUG17	B	1	PD07NOV17	6361.00	6863.68	USD	12,567.00	
07AUG17	B	1	PD07NOV17	6367.00	6863.68	USD	12,417.00	
07AUG17	B	1	PD07NOV17	6393.50	6863.68	USD	11,754.50	
07AUG17	S	1	PD07NOV17	6341.00	6863.68	USD	-13,067.00	
07AUG17	S	1	PD07NOV17	6369.00	6863.68	USD	-12,367.00	
07AUG17	S	1	PD07NOV17	6408.50	6863.68	USD	-11,379.50	
07AUG17	B	1	PD07NOV17	6344.50	6863.68	USD	12,979.50	
07AUG17	B	1	PD07NOV17	6350.50	6863.68	USD	12,829.50	
07AUG17	B	1	PD07NOV17	6355.50	6863.68	USD	12,704.50	
07AUG17	B	1	PD07NOV17	6361.50	6863.68	USD	12,554.50	
07AUG17	S	1	PD07NOV17	6368.00	6863.68	USD	-12,392.00	
07AUG17	S	2	PD07NOV17	6377.50	6863.68	USD	-24,309.00	
07AUG17	S	1	PD07NOV17	6428.00	6863.68	USD	-10,892.00	
07AUG17	S	1	PD07NOV17	6428.50	6863.68	USD	-10,879.50	
07AUG17	S	1	PD07NOV17	6429.50	6863.68	USD	-10,854.50	
07AUG17	B	3	PD07NOV17	6410.00	6863.68	USD	34,026.00	
14AUG17	S	2	PD07NOV17	6394.31	6863.68	USD	-23,468.50	
	NET	0AVG BGHT 6375.42	SOLD 6394.43				5,703.00	0.00
08AUG17	S	1	PD08NOV17	6388.50	6864.45	USD	-11,898.75	
08AUG17	S	2	PD08NOV17	6393.00	6864.45	USD	-23,572.50	
08AUG17	B	1	PD08NOV17	6399.00	6864.45	USD	11,636.25	
08AUG17	B	1	PD08NOV17	6385.50	6864.45	USD	11,973.75	
08AUG17	B	1	PD08NOV17	6392.00	6864.45	USD	11,811.25	
08AUG17	B	1	PD08NOV17	6451.00	6864.45	USD	10,336.25	
08AUG17	S	1	PD08NOV17	6458.50	6864.45	USD	-10,148.75	
	NET	0AVG BGHT 6406.88	SOLD 6408.25				137.50	0.00
09AUG17	S	1	PD09NOV17	6503.00	6865.23	USD	-9,055.75	
09AUG17	S	2	PD09NOV17	6504.00	6865.23	USD	-18,061.50	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
23AUG17	B	3	PD09NOV17	6563.50	6865.23	USD	22,629.75	
	NET	0 AVG	BGHT 6563.50	SOLD 6503.67			-4,487.50	0.00
10AUG17	B	1	PD10NOV17	6425.50	6866.00	USD	11,012.50	
10AUG17	S	1	PD10NOV17	6429.50	6866.00	USD	-10,912.50	
10AUG17	B	1	PD10NOV17	6400.00	6866.00	USD	11,650.00	
10AUG17	B	1	PD10NOV17	6405.00	6866.00	USD	11,525.00	
10AUG17	B	1	PD10NOV17	6403.00	6866.00	USD	11,575.00	
11AUG17	B	1	PD10NOV17	6373.50	6866.00	USD	12,312.50	
11AUG17	S	1	PD10NOV17	6379.00	6866.00	USD	-12,175.00	
11AUG17	S	1	PD10NOV17	6382.00	6866.00	USD	-12,100.00	
11AUG17	S	1	PD10NOV17	6421.00	6866.00	USD	-11,125.00	
11AUG17	S	1	PD10NOV17	6410.00	6866.00	USD	-11,400.00	
	NET	0 AVG	BGHT 6401.40	SOLD 6404.30			362.50	0.00
14AUG17	B	2	PD14NOV17	6382.00	6864.67	USD	24,133.50	
14AUG17	B	1	PD14NOV17	6410.00	6864.67	USD	11,366.75	
23AUG17	S	3	PD14NOV17	6565.30	6864.67	USD	-22,452.75	
	NET	0 AVG	BGHT 6391.33	SOLD 6565.30			13,047.50	0.00
15AUG17	S	1	PD15NOV17	6413.00	6864.00	USD	-11,275.00	
15AUG17	S	1	PD15NOV17	6415.50	6864.00	USD	-11,212.50	
15AUG17	S	1	PD15NOV17	6419.50	6864.00	USD	-11,112.50	
15AUG17	B	1	PD15NOV17	6415.00	6864.00	USD	11,225.00	
15AUG17	B	1	PD15NOV17	6372.00	6864.00	USD	12,300.00	
15AUG17	B	1	PD15NOV17	6365.00	6864.00	USD	12,475.00	
	NET	0 AVG	BGHT 6384.00	SOLD 6416.00			2,400.00	0.00
16AUG17	B	1	PD16NOV17	6420.50	6863.00	USD	11,062.50	
16AUG17	S	1	PD16NOV17	6410.00	6863.00	USD	-11,325.00	
16AUG17	S	1	PD16NOV17	6418.00	6863.00	USD	-11,125.00	
16AUG17	B	1	PD16NOV17	6421.00	6863.00	USD	11,050.00	
16AUG17	B	1	PD16NOV17	6424.50	6863.00	USD	10,962.50	
16AUG17	S	1	PD16NOV17	6419.50	6863.00	USD	-11,087.50	
16AUG17	S	1	PD16NOV17	6420.00	6863.00	USD	-11,075.00	
16AUG17	B	1	PD16NOV17	6438.00	6863.00	USD	10,625.00	
16AUG17	S	1	PD16NOV17	6419.00	6863.00	USD	-11,100.00	
16AUG17	B	1	PD16NOV17	6481.50	6863.00	USD	9,537.50	
16AUG17	B	1	PD16NOV17	6487.00	6863.00	USD	9,400.00	
16AUG17	B	1	PD16NOV17	6491.00	6863.00	USD	9,300.00	
16AUG17	S	1	PD16NOV17	6478.50	6863.00	USD	-9,612.50	
16AUG17	S	1	PD16NOV17	6484.50	6863.00	USD	-9,462.50	
16AUG17	B	1	PD16NOV17	6480.50	6863.00	USD	9,562.50	
16AUG17	B	1	PD16NOV17	6491.50	6863.00	USD	9,287.50	
16AUG17	S	2	PD16NOV17	6489.50	6863.00	USD	-18,675.00	
16AUG17	B	1	PD16NOV17	6485.50	6863.00	USD	9,437.50	
16AUG17	B	1	PD16NOV17	6496.00	6863.00	USD	9,175.00	
16AUG17	S	1	PD16NOV17	6494.50	6863.00	USD	-9,212.50	
16AUG17	B	1	PD16NOV17	6501.50	6863.00	USD	9,037.50	
16AUG17	S	1	PD16NOV17	6497.00	6863.00	USD	-9,150.00	
16AUG17	S	1	PD16NOV17	6509.50	6863.00	USD	-8,837.50	
16AUG17	B	1	PD16NOV17	6535.50	6863.00	USD	8,187.50	
16AUG17	B	1	PD16NOV17	6551.50	6863.00	USD	7,787.50	
16AUG17	S	1	PD16NOV17	6549.50	6863.00	USD	-7,837.50	
16AUG17	S	1	PD16NOV17	6544.00	6863.00	USD	-7,975.00	
	NET	0 AVG	BGHT 6478.96	SOLD 6473.07			-2,062.50	0.00
	<u>LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
19JUL17	S	1	PD19OCT17	2233.00	2518.90	USD	-7,147.50	

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	<u>LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
19JUL17	B 1 PD19OCT17	2228.00	2518.90	USD	7,272.50	
	NET 0AVG BGHT 2228.00 SOLD 2233.00				125.00	0.00
16AUG17	S 1 PD16NOV17	2479.00	2522.75	USD	-1,093.75	
16AUG17	B 1 PD16NOV17	2502.00	2522.75	USD	518.75	
	NET 0AVG BGHT 2502.00 SOLD 2479.00				-575.00	0.00
	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
17JUL17	S 1 PD17OCT17	9705.00	11610.50	USD	-11,433.00	
17JUL17	B 1 PD17OCT17	9720.00	11610.50	USD	11,343.00	
	NET 0AVG BGHT 9720.00 SOLD 9705.00				-90.00	0.00
	<u>LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
17JUL17	B 1 PD17OCT17	19955.00	20735.00	USD	3,900.00	
17JUL17	S 1 PD17OCT17	19990.00	20735.00	USD	-3,725.00	
17JUL17	B 1 PD17OCT17	19960.00	20735.00	USD	3,875.00	
17JUL17	S 1 PD17OCT17	19995.00	20735.00	USD	-3,700.00	
	NET 0AVG BGHT 19957.50 SOLD 19992.50				350.00	0.00
18JUL17	S 1 PD18OCT17	19945.00	20735.00	USD	-3,950.00	
18JUL17	B 1 PD18OCT17	19930.00	20735.00	USD	4,025.00	
18JUL17	S 1 PD18OCT17	19995.00	20735.00	USD	-3,700.00	
18JUL17	B 1 PD18OCT17	19990.00	20735.00	USD	3,725.00	
18JUL17	S 1 PD18OCT17	20080.00	20735.00	USD	-3,275.00	
18JUL17	B 1 PD18OCT17	20040.00	20735.00	USD	3,475.00	
18JUL17	S 2 PD18OCT17	20090.00	20735.00	USD	-6,450.00	
18JUL17	B 1 PD18OCT17	20035.00	20735.00	USD	3,500.00	
18JUL17	B 1 PD18OCT17	20045.00	20735.00	USD	3,450.00	
	NET 0AVG BGHT 20008.00 SOLD 20040.00				800.00	0.00
19JUL17	B 1 PD19OCT17	20050.00	20732.50	USD	3,412.50	
19JUL17	S 3 PD19OCT17	20095.00	20732.50	USD	-9,562.50	
19JUL17	S 1 PD19OCT17	20115.00	20732.50	USD	-3,087.50	
19JUL17	B 1 PD19OCT17	20095.00	20732.50	USD	3,187.50	
19JUL17	B 1 PD19OCT17	20100.00	20732.50	USD	3,162.50	
19JUL17	S 1 PD19OCT17	20090.00	20732.50	USD	-3,212.50	
19JUL17	S 2 PD19OCT17	20120.00	20732.50	USD	-6,125.00	
19JUL17	S 1 PD19OCT17	20105.00	20732.50	USD	-3,137.50	
19JUL17	B 1 PD19OCT17	20030.00	20732.50	USD	3,512.50	
19JUL17	S 1 PD19OCT17	20110.00	20732.50	USD	-3,112.50	
19JUL17	S 2 PD19OCT17	20135.00	20732.50	USD	-5,975.00	
19JUL17	B 1 PD19OCT17	20075.00	20732.50	USD	3,287.50	
19JUL17	S 1 PD19OCT17	20075.00	20732.50	USD	-3,287.50	
19JUL17	B 2 PD19OCT17	20065.00	20732.50	USD	6,675.00	
19JUL17	B 1 PD19OCT17	20130.00	20732.50	USD	3,012.50	
19JUL17	B 4 PD19OCT17	20070.00	20732.50	USD	13,250.00	
	NET 0AVG BGHT 20074.17 SOLD 20107.50				2,000.00	0.00
20JUL17	S 1 PD20OCT17	20095.00	20730.00	USD	-3,175.00	
20JUL17	S 1 PD20OCT17	20115.00	20730.00	USD	-3,075.00	
20JUL17	B 1 PD20OCT17	20100.00	20730.00	USD	3,150.00	
20JUL17	B 1 PD20OCT17	20115.00	20730.00	USD	3,075.00	
21JUL17	B 1 PD20OCT17	20050.00	20730.00	USD	3,400.00	
21JUL17	S 1 PD20OCT17	20090.00	20730.00	USD	-3,200.00	
21JUL17	S 1 PD20OCT17	20115.00	20730.00	USD	-3,075.00	
21JUL17	S 1 PD20OCT17	20150.00	20730.00	USD	-2,900.00	
21JUL17	B 3 PD20OCT17	20135.00	20730.00	USD	8,925.00	
21JUL17	B 1 PD20OCT17	20130.00	20730.00	USD	3,000.00	
21JUL17	S 1 PD20OCT17	20155.00	20730.00	USD	-2,875.00	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0086H

13th October 2017

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+44 (0) 20 7340 9081
backoffice@sapiencap.com

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
21JUL17	S	3	PD200CT17	20160.00	20730.00	USD	-8,550.00	
21JUL17	S	2	PD200CT17	20125.00	20730.00	USD	-6,050.00	
21JUL17	S	1	PD200CT17	20170.00	20730.00	USD	-2,800.00	
21JUL17	B	1	PD200CT17	20145.00	20730.00	USD	2,925.00	
21JUL17	B	2	PD200CT17	20150.00	20730.00	USD	5,800.00	
21JUL17	B	1	PD200CT17	20165.00	20730.00	USD	2,825.00	
21JUL17	B	1	PD200CT17	20195.00	20730.00	USD	2,675.00	
21JUL17	S	1	PD200CT17	20215.00	20730.00	USD	-2,575.00	
21JUL17	B	1	PD200CT17	20215.00	20730.00	USD	2,575.00	
	NET	0 AVG BGHT 20140.00	SOLD 20141.15				75.00	0.00
24JUL17	B	1	PD240CT17	20155.00	20725.00	USD	2,850.00	
24JUL17	S	1	PD240CT17	20145.00	20725.00	USD	-2,900.00	
24JUL17	S	1	PD240CT17	20245.00	20725.00	USD	-2,400.00	
24JUL17	B	1	PD240CT17	20230.00	20725.00	USD	2,475.00	
24JUL17	S	1	PD240CT17	20110.00	20725.00	USD	-3,075.00	
24JUL17	B	1	PD240CT17	20140.00	20725.00	USD	2,925.00	
	NET	0 AVG BGHT 20175.00	SOLD 20166.67				-125.00	0.00
25JUL17	S	1	PD250CT17	20225.00	20722.50	USD	-2,487.50	
25JUL17	S	1	PD250CT17	20215.00	20722.50	USD	-2,537.50	
25JUL17	S	1	PD250CT17	20245.00	20722.50	USD	-2,387.50	
25JUL17	B	2	PD250CT17	20200.00	20722.50	USD	5,225.00	
25JUL17	B	1	PD250CT17	20275.00	20722.50	USD	2,237.50	
25JUL17	S	1	PD250CT17	20335.00	20722.50	USD	-1,937.50	
25JUL17	B	1	PD250CT17	20350.00	20722.50	USD	1,862.50	
	NET	0 AVG BGHT 20256.25	SOLD 20255.00				-25.00	0.00
26JUL17	S	1	PD260CT17	20320.00	20720.00	USD	-2,000.00	
26JUL17	S	1	PD260CT17	20345.00	20720.00	USD	-1,875.00	
26JUL17	B	1	PD260CT17	20365.00	20720.00	USD	1,775.00	
26JUL17	B	1	PD260CT17	20335.00	20720.00	USD	1,925.00	
26JUL17	B	1	PD260CT17	20345.00	20720.00	USD	1,875.00	
26JUL17	B	1	PD260CT17	20355.00	20720.00	USD	1,825.00	
26JUL17	B	1	PD260CT17	20375.00	20720.00	USD	1,725.00	
26JUL17	S	3	PD260CT17	20370.00	20720.00	USD	-5,250.00	
	NET	0 AVG BGHT 20355.00	SOLD 20355.00				0.00	0.00
27JUL17	B	1	PD270CT17	20425.00	20717.50	USD	1,462.50	
27JUL17	S	1	PD270CT17	20470.00	20717.50	USD	-1,237.50	
27JUL17	S	1	PD270CT17	20490.00	20717.50	USD	-1,137.50	
27JUL17	B	2	PD270CT17	20500.00	20717.50	USD	2,175.00	
27JUL17	B	1	PD270CT17	20550.00	20717.50	USD	837.50	
27JUL17	B	1	PD270CT17	20560.00	20717.50	USD	787.50	
27JUL17	B	1	PD270CT17	20600.00	20717.50	USD	587.50	
27JUL17	S	1	PD270CT17	20530.00	20717.50	USD	-937.50	
27JUL17	S	1	PD270CT17	20545.00	20717.50	USD	-862.50	
27JUL17	S	1	PD270CT17	20575.00	20717.50	USD	-712.50	
27JUL17	S	1	PD270CT17	20590.00	20717.50	USD	-637.50	
27JUL17	S	1	PD270CT17	20745.00	20717.50	USD	137.50	
27JUL17	S	1	PD270CT17	20780.00	20717.50	USD	312.50	
27JUL17	B	2	PD270CT17	20705.00	20717.50	USD	125.00	
27JUL17	B	1	PD270CT17	20630.00	20717.50	USD	437.50	
27JUL17	S	1	PD270CT17	20610.00	20717.50	USD	-537.50	
27JUL17	S	1	PD270CT17	20670.00	20717.50	USD	-237.50	
27JUL17	S	1	PD270CT17	20665.00	20717.50	USD	-262.50	
27JUL17	B	1	PD270CT17	20640.00	20717.50	USD	387.50	
27JUL17	B	3	PD270CT17	20650.00	20717.50	USD	1,012.50	
27JUL17	S	1	PD270CT17	20710.00	20717.50	USD	-37.50	
27JUL17	S	1	PD270CT17	20680.00	20717.50	USD	-187.50	

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27JUL17	S	2	PD27OCT17	20700.00	20717.50	USD	-175.00	
27JUL17	B	2	PD27OCT17	20655.00	20717.50	USD	625.00	
27JUL17	S	1	PD27OCT17	20740.00	20717.50	USD	112.50	
27JUL17	B	1	PD27OCT17	20710.00	20717.50	USD	37.50	
27JUL17	S	1	PD27OCT17	20750.00	20717.50	USD	162.50	
27JUL17	B	1	PD27OCT17	20720.00	20717.50	USD	-12.50	
27JUL17	B	1	PD27OCT17	20735.00	20717.50	USD	-87.50	
27JUL17	S	1	PD27OCT17	20765.00	20717.50	USD	237.50	
28JUL17	B	1	PD27OCT17	20810.00	20717.50	USD	-462.50	
28JUL17	B	1	PD27OCT17	20840.00	20717.50	USD	-612.50	
28JUL17	S	1	PD27OCT17	20875.00	20717.50	USD	787.50	
28JUL17	S	1	PD27OCT17	20905.00	20717.50	USD	937.50	
28JUL17	S	1	PD27OCT17	20855.00	20717.50	USD	687.50	
28JUL17	B	1	PD27OCT17	20775.00	20717.50	USD	-287.50	
	NET	0 AVG BGHT 20650.71	SOLD 20683.33				3,425.00	0.00
31JUL17	B	1	PD31OCT17	20800.00	20712.50	USD	-437.50	
31JUL17	S	1	PD31OCT17	20790.00	20712.50	USD	387.50	
31JUL17	B	1	PD31OCT17	20805.00	20712.50	USD	-462.50	
31JUL17	B	1	PD31OCT17	20810.00	20712.50	USD	-487.50	
31JUL17	S	2	PD31OCT17	20845.00	20712.50	USD	1,325.00	
31JUL17	B	1	PD31OCT17	20775.00	20712.50	USD	-312.50	
31JUL17	S	1	PD31OCT17	20795.00	20712.50	USD	412.50	
31JUL17	B	1	PD31OCT17	20790.00	20712.50	USD	-387.50	
31JUL17	S	1	PD31OCT17	20830.00	20712.50	USD	587.50	
31JUL17	B	1	PD31OCT17	20710.00	20712.50	USD	12.50	
31JUL17	S	1	PD31OCT17	20655.00	20712.50	USD	-287.50	
	NET	0 AVG BGHT 20781.67	SOLD 20793.33				350.00	0.00
01AUG17	S	1	PD01NOV17	20620.00	20710.00	USD	-450.00	
01AUG17	S	1	PD01NOV17	20565.00	20710.00	USD	-725.00	
01AUG17	B	1	PD01NOV17	20550.00	20710.00	USD	800.00	
01AUG17	S	1	PD01NOV17	20495.00	20710.00	USD	-1,075.00	
01AUG17	B	1	PD01NOV17	20555.00	20710.00	USD	775.00	
01AUG17	S	1	PD01NOV17	20500.00	20710.00	USD	-1,050.00	
01AUG17	B	1	PD01NOV17	20465.00	20710.00	USD	1,225.00	
01AUG17	B	1	PD01NOV17	20410.00	20710.00	USD	1,500.00	
01AUG17	S	1	PD01NOV17	20395.00	20710.00	USD	-1,575.00	
01AUG17	B	1	PD01NOV17	20350.00	20710.00	USD	1,800.00	
01AUG17	S	1	PD01NOV17	20375.00	20710.00	USD	-1,675.00	
01AUG17	B	1	PD01NOV17	20430.00	20710.00	USD	1,400.00	
01AUG17	S	1	PD01NOV17	20450.00	20710.00	USD	-1,300.00	
01AUG17	S	1	PD01NOV17	20480.00	20710.00	USD	-1,150.00	
01AUG17	S	1	PD01NOV17	20490.00	20710.00	USD	-1,100.00	
01AUG17	B	3	PD01NOV17	20500.00	20710.00	USD	3,150.00	
	NET	0 AVG BGHT 20473.33	SOLD 20485.56				550.00	0.00
02AUG17	S	1	PD02NOV17	20565.00	20707.50	USD	-712.50	
02AUG17	B	1	PD02NOV17	20600.00	20707.50	USD	537.50	
	NET	0 AVG BGHT 20600.00	SOLD 20565.00				-175.00	0.00
03AUG17	S	1	PD03NOV17	20580.00	20705.00	USD	-625.00	
03AUG17	S	1	PD03NOV17	20640.00	20705.00	USD	-325.00	
03AUG17	B	1	PD03NOV17	20620.00	20705.00	USD	425.00	
03AUG17	S	1	PD03NOV17	20635.00	20705.00	USD	-350.00	
03AUG17	B	1	PD03NOV17	20600.00	20705.00	USD	525.00	
03AUG17	B	1	PD03NOV17	20605.00	20705.00	USD	500.00	
03AUG17	B	1	PD03NOV17	20595.00	20705.00	USD	550.00	
03AUG17	S	1	PD03NOV17	20625.00	20705.00	USD	-400.00	
04AUG17	B	4	PD03NOV17	20700.00	20705.00	USD	100.00	

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04AUG17	S	1	PD03NOV17	20725.00	20705.00	USD	100.00	
04AUG17	S	1	PD03NOV17	20730.00	20705.00	USD	125.00	
04AUG17	S	1	PD03NOV17	20695.00	20705.00	USD	-50.00	
04AUG17	S	1	PD03NOV17	20690.00	20705.00	USD	-75.00	
04AUG17	S	2	PD03NOV17	20685.00	20705.00	USD	-200.00	
04AUG17	B	1	PD03NOV17	20625.00	20705.00	USD	400.00	
04AUG17	B	1	PD03NOV17	20620.00	20705.00	USD	425.00	
04AUG17	B	1	PD03NOV17	20645.00	20705.00	USD	300.00	
04AUG17	S	1	PD03NOV17	20620.00	20705.00	USD	-425.00	
04AUG17	B	1	PD03NOV17	20550.00	20705.00	USD	775.00	
04AUG17	S	1	PD03NOV17	20545.00	20705.00	USD	-800.00	
	NET	0 AVG BGHT 20638.33	SOLD 20654.58				975.00	0.00
08AUG17	B	1	PD08NOV17	20430.00	20697.50	USD	1,337.50	
08AUG17	S	1	PD08NOV17	20535.00	20697.50	USD	-812.50	
08AUG17	B	1	PD08NOV17	20415.00	20697.50	USD	1,412.50	
08AUG17	B	1	PD08NOV17	20405.00	20697.50	USD	1,462.50	
08AUG17	B	2	PD08NOV17	20370.00	20697.50	USD	3,275.00	
08AUG17	B	1	PD08NOV17	20310.00	20697.50	USD	1,937.50	
08AUG17	S	3	PD08NOV17	20305.00	20697.50	USD	-5,887.50	
08AUG17	S	1	PD08NOV17	20325.00	20697.50	USD	-1,862.50	
08AUG17	B	1	PD08NOV17	20285.00	20697.50	USD	2,062.50	
08AUG17	B	1	PD08NOV17	20295.00	20697.50	USD	2,012.50	
08AUG17	B	2	PD08NOV17	20315.00	20697.50	USD	3,825.00	
08AUG17	S	1	PD08NOV17	20250.00	20697.50	USD	-2,237.50	
08AUG17	S	2	PD08NOV17	20270.00	20697.50	USD	-4,275.00	
08AUG17	S	1	PD08NOV17	20245.00	20697.50	USD	-2,262.50	
08AUG17	B	1	PD08NOV17	20215.00	20697.50	USD	2,412.50	
08AUG17	S	1	PD08NOV17	20230.00	20697.50	USD	-2,337.50	
08AUG17	B	1	PD08NOV17	20240.00	20697.50	USD	2,287.50	
08AUG17	S	1	PD08NOV17	20235.00	20697.50	USD	-2,312.50	
08AUG17	S	2	PD08NOV17	20275.00	20697.50	USD	-4,225.00	
08AUG17	B	3	PD08NOV17	20210.00	20697.50	USD	7,312.50	
08AUG17	B	1	PD08NOV17	20220.00	20697.50	USD	2,387.50	
08AUG17	S	1	PD08NOV17	20320.00	20697.50	USD	-1,887.50	
08AUG17	S	1	PD08NOV17	20345.00	20697.50	USD	-1,762.50	
08AUG17	S	1	PD08NOV17	20350.00	20697.50	USD	-1,737.50	
08AUG17	S	1	PD08NOV17	20330.00	20697.50	USD	-1,837.50	
08AUG17	B	1	PD08NOV17	20275.00	20697.50	USD	2,112.50	
	NET	0 AVG BGHT 20299.41	SOLD 20304.12				400.00	0.00
09AUG17	B	2	PD09NOV17	20450.00	20695.00	USD	2,450.00	
09AUG17	S	1	PD09NOV17	20445.00	20695.00	USD	-1,250.00	
09AUG17	S	1	PD09NOV17	20375.00	20695.00	USD	-1,600.00	
09AUG17	B	1	PD09NOV17	20390.00	20695.00	USD	1,525.00	
09AUG17	S	1	PD09NOV17	20495.00	20695.00	USD	-1,000.00	
	NET	0 AVG BGHT 20430.00	SOLD 20438.33				125.00	0.00
10AUG17	S	1	PD10NOV17	20380.00	20692.50	USD	-1,562.50	
10AUG17	B	1	PD10NOV17	20260.00	20692.50	USD	2,162.50	
10AUG17	B	1	PD10NOV17	20400.00	20692.50	USD	1,462.50	
10AUG17	B	1	PD10NOV17	20345.00	20692.50	USD	1,737.50	
10AUG17	S	1	PD10NOV17	20335.00	20692.50	USD	-1,787.50	
10AUG17	S	2	PD10NOV17	20345.00	20692.50	USD	-3,475.00	
10AUG17	B	1	PD10NOV17	20450.00	20692.50	USD	1,212.50	
10AUG17	B	1	PD10NOV17	20245.00	20692.50	USD	2,237.50	
10AUG17	S	1	PD10NOV17	20280.00	20692.50	USD	-2,062.50	
11AUG17	B	1	PD10NOV17	20230.00	20692.50	USD	2,312.50	
11AUG17	S	1	PD10NOV17	20250.00	20692.50	USD	-2,212.50	

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11AUG17	B	1	PD10NOV17	20305.00	20692.50	USD	1,937.50	
11AUG17	B	1	PD10NOV17	20345.00	20692.50	USD	1,737.50	
11AUG17	S	1	PD10NOV17	20310.00	20692.50	USD	-1,912.50	
11AUG17	S	1	PD10NOV17	20345.00	20692.50	USD	-1,737.50	
	NET	0 AVG BGHT	20322.50				50.00	0.00
14AUG17	S	1	PD14NOV17	20325.00	20687.50	USD	-1,812.50	
14AUG17	B	1	PD14NOV17	20380.00	20687.50	USD	1,537.50	
14AUG17	B	1	PD14NOV17	20275.00	20687.50	USD	2,062.50	
14AUG17	S	1	PD14NOV17	20280.00	20687.50	USD	-2,037.50	
14AUG17	B	1	PD14NOV17	20295.00	20687.50	USD	1,962.50	
14AUG17	S	1	PD14NOV17	20295.00	20687.50	USD	-1,962.50	
	NET	0 AVG BGHT	20316.67				-250.00	0.00
15AUG17	S	1	PD15NOV17	20340.00	20685.00	USD	-1,725.00	
15AUG17	S	1	PD15NOV17	20370.00	20685.00	USD	-1,575.00	
15AUG17	B	2	PD15NOV17	20295.00	20685.00	USD	3,900.00	
15AUG17	B	1	PD15NOV17	20210.00	20685.00	USD	2,375.00	
15AUG17	B	1	PD15NOV17	20220.00	20685.00	USD	2,325.00	
15AUG17	S	1	PD15NOV17	20200.00	20685.00	USD	-2,425.00	
15AUG17	S	1	PD15NOV17	20215.00	20685.00	USD	-2,350.00	
15AUG17	B	1	PD15NOV17	20320.00	20685.00	USD	1,825.00	
15AUG17	S	1	PD15NOV17	20345.00	20685.00	USD	-1,700.00	
15AUG17	B	1	PD15NOV17	20170.00	20685.00	USD	2,575.00	
15AUG17	S	1	PD15NOV17	19985.00	20685.00	USD	-3,500.00	
15AUG17	S	1	PD15NOV17	19995.00	20685.00	USD	-3,450.00	
15AUG17	S	1	PD15NOV17	20000.00	20685.00	USD	-3,425.00	
15AUG17	S	1	PD15NOV17	20015.00	20685.00	USD	-3,350.00	
15AUG17	B	4	PD15NOV17	19210.00	20685.00	USD	29,500.00	
15AUG17	S	1	PD15NOV17	19300.00	20685.00	USD	-6,925.00	
	NET	0 AVG BGHT	19835.00				12,075.00	0.00
17AUG17	B	1	PD17NOV17	20205.00	20681.25	USD	2,381.25	
17AUG17	S	1	PD17NOV17	20350.00	20681.25	USD	-1,656.25	
17AUG17	B	1	PD17NOV17	20300.00	20681.25	USD	1,906.25	
17AUG17	B	1	PD17NOV17	20255.00	20681.25	USD	2,131.25	
17AUG17	S	1	PD17NOV17	20320.00	20681.25	USD	-1,806.25	
17AUG17	S	2	PD17NOV17	20185.00	20681.25	USD	-4,962.50	
17AUG17	B	1	PD17NOV17	20285.00	20681.25	USD	1,981.25	
17AUG17	S	1	PD17NOV17	20285.00	20681.25	USD	-1,981.25	
17AUG17	S	1	PD17NOV17	20135.00	20681.25	USD	-2,731.25	
17AUG17	B	2	PD17NOV17	20110.00	20681.25	USD	5,712.50	
18AUG17	S	1	PD17NOV17	20350.00	20681.25	USD	-1,656.25	
18AUG17	B	1	PD17NOV17	20225.00	20681.25	USD	2,281.25	
	NET	0 AVG BGHT	20212.86				1,600.00	0.00
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
18JUL17	S	1	PD18OCT17	2793.50	3291.00	USD	-12,437.50	
18JUL17	B	1	PD18OCT17	2801.00	3291.00	USD	12,250.00	
	NET	0 AVG BGHT	2801.00				-187.50	0.00
20JUL17	B	1	PD20OCT17	2751.00	3282.00	USD	13,275.00	
20JUL17	S	1	PD20OCT17	2758.00	3282.00	USD	-13,100.00	
21JUL17	S	1	PD20OCT17	2765.00	3282.00	USD	-12,925.00	
21JUL17	B	1	PD20OCT17	2770.50	3282.00	USD	12,787.50	
21JUL17	B	1	PD20OCT17	2772.00	3282.00	USD	12,750.00	
21JUL17	S	1	PD20OCT17	2768.50	3282.00	USD	-12,837.50	
	NET	0 AVG BGHT	2764.50				-50.00	0.00
18AUG17	B	1	PD17NOV17	3112.50	3251.00	USD	3,462.50	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0086H

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
18AUG17	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u> S 1 PD17NOV17 NET 0 AVG BGHT 3112.50 SOLD 3109.50	3109.50	3251.00	USD	-3,537.50 -75.00	0.00

OPEN POSITION VALUE

		USD
LME Aluminium 25 Tonnes.	FUTURES	37.50
LME Copper Grade A 25	FUTURES	1,331.00
LME Lead 25 tonnes. This is an	FUTURES	-450.00
LME Nickel 6 tonnes. This is	FUTURES	-90.00
LME Tin 5 tonnes. This is an	FUTURES	22,200.00
LME Zinc 25 tonnes. This is	FUTURES	-312.50

MARKET REVALUATION BREAKDOWN

Unrealised Profits & Losses - Forwards	Amount	Profit / Loss	Total Amount	Total P&L
ALUL - Futures USD	37.50	37.50		
COPL - Futures USD	1,331.00	1,331.00		
LEAD - Futures USD	-450.00	-450.00		
NKL - Futures USD	-90.00	-90.00		
TIN - Futures USD	22,200.00	22,200.00		
ZINC - Futures USD	-312.50	-312.50	22,716.00	22,716.00

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
CASH BALANCE	(1.00000)	(1.00000)
Variation Margins	38,996.75	38,996.75
- Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	38,996.75	38,996.75
Initial Margins	0.00	0.00
Excess/(Shortage)	38,996.75	38,996.75
FOR INFORMATION ONLY		
Forward Unrealised P&L	22,716.00	22,716.00
Net Liquidating Value	61,712.75	61,712.75

OPEN POSITION STATEMENT



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SAP0086I

13th October 2017

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
17JUL17	S	1	PD17OCT17	5918.50	6859.00	USD	-23,512.50	
17JUL17	S	1	PD17OCT17	5988.00	6859.00	USD	-21,775.00	
17JUL17	S	2	PD17OCT17	5990.00	6859.00	USD	-43,450.00	
17JUL17	S	1	PD17OCT17	5989.50	6859.00	USD	-21,737.50	
17JUL17	B	1	PD17OCT17	5980.00	6859.00	USD	21,975.00	
17JUL17	B	1	PD17OCT17	5981.00	6859.00	USD	21,950.00	
17JUL17	B	1	PD17OCT17	6009.00	6859.00	USD	21,250.00	
17JUL17	B	1	PD17OCT17	6010.00	6859.00	USD	21,225.00	
17JUL17	B	1	PD17OCT17	6010.50	6859.00	USD	21,212.50	
	NET	0 AVG BGHT 5998.10	SOLD 5975.20				-2,862.50	0.00
18JUL17	B	1	PD18OCT17	5979.00	6859.50	USD	22,012.50	
18JUL17	B	1	PD18OCT17	5980.00	6859.50	USD	21,987.50	
18JUL17	S	2	PD18OCT17	5989.50	6859.50	USD	-43,500.00	
	NET	0 AVG BGHT 5979.50	SOLD 5989.50				500.00	0.00
01AUG17	B	1	PD01NOV17	6343.00	6859.05	USD	12,901.25	
01AUG17	S	1	PD01NOV17	6357.00	6859.05	USD	-12,551.25	
	NET	0 AVG BGHT 6343.00	SOLD 6357.00				350.00	0.00
02AUG17	S	1	PD02NOV17	6330.00	6859.82	USD	-13,245.50	
02AUG17	S	1	PD02NOV17	6329.00	6859.82	USD	-13,270.50	
02AUG17	B	1	PD02NOV17	6335.00	6859.82	USD	13,120.50	
02AUG17	B	1	PD02NOV17	6338.00	6859.82	USD	13,045.50	
	NET	0 AVG BGHT 6336.50	SOLD 6329.50				-350.00	0.00

OPEN POSITION VALUE

LME Copper Grade A 25 FUTURES USD
-2,362.50

MARKET REVALUATION BREAKDOWN

Unrealised Profits & Losses - Forwards	Amount	Profit / Loss	Total Amount	Total P&L
COPL - Futures USD	-2,362.50	-2,362.50	-2,362.50	-2,362.50

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
CASH BALANCE	(1.00000)	(1.00000)
Variation Margins - Futures	2,403.80	2,403.80
- Options	0.00	0.00
TOTAL EQUITY	2,403.80	2,403.80
Initial Margins	-2,362.50	-2,362.50
Excess/(Shortage)	41.30	41.30
FOR INFORMATION ONLY		
Forward Unrealised P&L	-2,362.50	-2,362.50
Net Liquidating Value	41.30	41.30

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED
SAP0086
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

GROUP NO: **SAPG0086**

13th Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343
Authorised and regulated by the Australian Prudential
Regulation Authority. Authorised by the Prudential
Regulation Authority. Subject to regulation by the Financial
Conduct Authority and limited regulation by the
Prudential Regulation Authority. Details about the extent
of our regulation by the Prudential Regulation Authority are
available from us on request.

GROUP FINANCIAL SUMMARY

	USD	NET USD
	(1.00000)	(1.00000)
CASH BALANCE	1,217,455.60	1,217,455.60
Variation Margins		
- Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	1,217,455.60	1,217,455.60
Initial Margins	-132,431.39	-132,431.39
Excess/(Shortage)	1,085,024.21	1,085,024.21
<u>FOR INFORMATION ONLY</u>		
Forward Unrealised P&L	-132,431.39	-132,431.39
Net Liquidating Value	1,085,024.21	1,085,024.21

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0087A

13th October 2017

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London W1J 7NJ UK
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backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
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Date	Open Position				Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>								
22AUG17	S	1	PD22NOV17		11520.00	11638.30	USD	-709.80	
22AUG17	S	1	PD22NOV17		11405.00	11638.30	USD	-1,399.80	
22AUG17	S	1	PD22NOV17		11470.00	11638.30	USD	-1,009.80	
29AUG17	B	3	PD22NOV17		11814.00	11638.30	USD	-3,162.60	
	NET	0 AVG	BGHT 11814.00	SOLD 11465.00				-6,282.00	0.00
29AUG17	B	3	PD29NOV17		11850.00	11643.10	USD	-3,724.20	
29AUG17	S	3	PD29NOV17		11835.00	11643.10	USD	3,454.20	
	NET	0 AVG	BGHT 11850.00	SOLD 11835.00				-270.00	0.00

OPEN POSITION VALUE

LME Nickel 6 tonnes. This is FUTURES USD
-6,552.00

MARKET REVALUATION BREAKDOWN

Unrealised Profits & Losses - Forwards	Amount	Profit / Loss	Total Amount	Total P&L
NKL - Futures USD	-6,552.00	-6,552.00	-6,552.00	-6,552.00

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
CASH BALANCE	(1.00000)	(1.00000)
Variation Margins - Futures	46,082.58	46,082.58
- Options	0.00	0.00
TOTAL EQUITY	46,082.58	46,082.58
Initial Margins	-6,552.00	-6,552.00
Excess/(Shortage)	39,530.58	39,530.58
FOR INFORMATION ONLY		
Forward Unrealised P&L	-6,552.00	-6,552.00
Net Liquidating Value	39,530.58	39,530.58

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED
SAP0087
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

GROUP NO: **SAPG0087**

13th Oct 2017

COB Global

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Regulation Authority. Subject to regulation by the Financial
Conduct Authority and limited regulation by the
Prudential Regulation Authority. Details about the extent
of our regulation by the Prudential Regulation Authority are
available from us on request.

GROUP FINANCIAL SUMMARY

	USD	NET USD
	(1.00000)	(1.00000)
CASH BALANCE	46,082.58	46,082.58
Variation Margins		
- Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	46,082.58	46,082.58
Initial Margins	-6,552.00	-6,552.00
Excess/(Shortage)	39,530.58	39,530.58
FOR INFORMATION ONLY		
Forward Unrealised P&L	-6,552.00	-6,552.00
Net Liquidating Value	39,530.58	39,530.58

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0088

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
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ACCOUNT FINANCIAL SUMMARY

	EUR	USD	NETT USD
	(1.18360)	(1.00000)	(1.00000)
CASH BALANCE	12.00	453.70	467.90
Variation Margins			
- Futures	0.00	0.00	0.00
- Options	0.00	0.00	0.00
TOTAL EQUITY	12.00	453.70	467.90
Initial Margins	0.00	0.00	0.00
Excess/(Shortage)	12.00	453.70	467.90
FOR INFORMATION ONLY			
Net Liquidating Value	12.00	453.70	467.90

OPEN POSITION STATEMENT



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SAP0088A

13th October 2017

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Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>DOW JONES EURO STOXX 50 10 ERX</u>					
10AUG17	S 200 OCT17 2500.00 Put	2.40	0.10	EUR	-200.00	4,600.00
	NET -200 AVG SOLD 2.40				-200.00	4,600.00
10AUG17	S 200 DEC17 2000.00 Put	1.40	0.10	EUR	-200.00	2,600.00
	NET -200 AVG SOLD 1.40				-200.00	2,600.00
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>					
04AUG17	B 5 PD03NOV17	6323.50	6860.59	USD	67,136.25	
04AUG17	S 5 PD03NOV17	6354.00	6860.59	USD	-63,323.75	
	NET 0 AVG BGHT 6323.50 SOLD 6354.00				3,812.50	0.00
15AUG17	B 5 PD15NOV17	6362.50	6864.00	USD	62,687.50	
15AUG17	B 5 PD15NOV17	6359.00	6864.00	USD	63,125.00	
24AUG17	S 10 PD15NOV17	6688.30	6864.00	USD	-43,925.00	
	NET 0 AVG BGHT 6360.75 SOLD 6688.30				81,887.50	0.00
16AUG17	S 5 PD16NOV17	6443.50	6863.00	USD	-52,437.50	
16AUG17	S 5 PD16NOV17	6444.00	6863.00	USD	-52,375.00	
13SEP17	B 10 PD16NOV17	6542.00	6863.00	USD	80,250.00	
	NET 0 AVG BGHT 6542.00 SOLD 6443.75				-24,562.50	0.00
25AUG17	B 5 PD24NOV17	6680.00	6866.73	USD	23,341.25	
25AUG17	B 5 PD24NOV17	6668.50	6866.73	USD	24,778.75	
25AUG17	B 5 PD24NOV17	6673.00	6866.73	USD	24,216.25	
13SEP17	S 15 PD24NOV17	6545.24	6866.73	USD	-120,558.75	
	NET 0 AVG BGHT 6673.83 SOLD 6545.24				-48,222.50	0.00
29AUG17	S 5 PD29NOV17	6807.50	6869.07	USD	-7,696.25	
29AUG17	B 5 PD29NOV17	6792.50	6869.07	USD	9,571.25	
	NET 0 AVG BGHT 6792.50 SOLD 6807.50				1,875.00	0.00
30AUG17	B 5 PD30NOV17	6782.00	6869.53	USD	10,941.25	
30AUG17	B 5 PD30NOV17	6771.00	6869.53	USD	12,316.25	
30AUG17	S 5 PD30NOV17	6772.00	6869.53	USD	-12,191.25	
31AUG17	S 4 PD30NOV17	6829.50	6869.53	USD	-4,003.00	
31AUG17	S 1 PD30NOV17	6833.00	6869.53	USD	-913.25	
31AUG17	S 1 PD30NOV17	6843.00	6869.53	USD	-663.25	
31AUG17	S 4 PD30NOV17	6845.00	6869.53	USD	-2,453.00	
31AUG17	B 5 PD30NOV17	6815.00	6869.53	USD	6,816.25	
31AUG17	B 5 PD30NOV17	6810.00	6869.53	USD	7,441.25	
31AUG17	B 5 PD30NOV17	6784.00	6869.53	USD	10,691.25	
31AUG17	S 5 PD30NOV17	6796.00	6869.53	USD	-9,191.25	
13SEP17	S 5 PD30NOV17	6547.82	6869.53	USD	-40,213.75	
	NET 0 AVG BGHT 6792.40 SOLD 6758.12				-21,422.50	0.00
01SEP17	B 5 PD01DEC17	6787.00	6870.00	USD	10,375.00	
01SEP17	S 5 PD01DEC17	6801.50	6870.00	USD	-8,562.50	
01SEP17	S 5 PD01DEC17	6818.00	6870.00	USD	-6,500.00	
01SEP17	B 5 PD01DEC17	6812.00	6870.00	USD	7,250.00	
01SEP17	S 5 PD01DEC17	6825.50	6870.00	USD	-5,562.50	
01SEP17	B 5 PD01DEC17	6805.00	6870.00	USD	8,125.00	
01SEP17	S 5 PD01DEC17	6827.00	6870.00	USD	-5,375.00	
13SEP17	B 5 PD01DEC17	6548.45	6870.00	USD	40,193.75	
	NET 0 AVG BGHT 6738.11 SOLD 6818.00				39,943.75	0.00
05SEP17	B 5 PD05DEC17	6921.00	6871.60	USD	-6,175.00	
05SEP17	B 5 PD05DEC17	6896.00	6871.60	USD	-3,050.00	
05SEP17	B 5 PD05DEC17	6869.50	6871.60	USD	262.50	
05SEP17	B 5 PD05DEC17	6841.50	6871.60	USD	3,762.50	
05SEP17	S 5 PD05DEC17	6852.50	6871.60	USD	-2,387.50	
13SEP17	S 5 PD05DEC17	6549.97	6871.60	USD	-40,203.75	
13SEP17	S 10 PD05DEC17	6550.17	6871.60	USD	-80,357.50	
	NET 0 AVG BGHT 6882.00 SOLD 6625.70				-128,148.75	0.00

OPEN POSITION STATEMENT



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SAP0088A

13th October 2017

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Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>					
06SEP17	S 4 PD06DEC17	6908.50	6872.00	USD	3,650.00	
06SEP17	S 3 PD06DEC17	6902.50	6872.00	USD	2,287.50	
06SEP17	S 3 PD06DEC17	6907.50	6872.00	USD	2,662.50	
13SEP17	B 10 PD06DEC17	6550.80	6872.00	USD	80,300.00	
	NET 0 AVG BGHT 6550.80 SOLD 6906.40				88,900.00	0.00
07SEP17	B 5 PD07DEC17	6858.00	6872.42	USD	1,802.50	
07SEP17	S 5 PD07DEC17	6885.50	6872.42	USD	1,635.00	
07SEP17	S 5 PD07DEC17	6895.50	6872.42	USD	2,885.00	
07SEP17	S 5 PD07DEC17	6906.00	6872.42	USD	4,197.50	
07SEP17	B 5 PD07DEC17	6886.00	6872.42	USD	-1,697.50	
07SEP17	B 5 PD07DEC17	6890.00	6872.42	USD	-2,197.50	
	NET 0 AVG BGHT 6878.00 SOLD 6895.67				6,625.00	0.00
08SEP17	B 5 PD08DEC17	6805.00	6872.83	USD	8,478.75	
08SEP17	B 5 PD08DEC17	6793.00	6872.83	USD	9,978.75	
08SEP17	B 4 PD08DEC17	6767.50	6872.83	USD	10,533.00	
08SEP17	B 4 PD08DEC17	6763.50	6872.83	USD	10,933.00	
08SEP17	B 5 PD08DEC17	6756.50	6872.83	USD	14,541.25	
08SEP17	B 2 PD08DEC17	6744.00	6872.83	USD	6,441.50	
08SEP17	S 5 PD08DEC17	6805.00	6872.83	USD	-8,478.75	
13SEP17	S 15 PD08DEC17	6551.46	6872.83	USD	-120,513.75	
15SEP17	S 5 PD08DEC17	6504.10	6872.83	USD	-46,091.25	
	NET 0 AVG BGHT 6775.38 SOLD 6592.70				-114,177.50	0.00
11SEP17	S 5 PD11DEC17	6755.00	6874.08	USD	-14,885.00	
11SEP17	S 5 PD11DEC17	6760.50	6874.08	USD	-14,197.50	
11SEP17	S 5 PD11DEC17	6743.50	6874.08	USD	-16,322.50	
13SEP17	B 15 PD11DEC17	6552.95	6874.08	USD	120,423.75	
	NET 0 AVG BGHT 6552.95 SOLD 6753.00				75,018.75	0.00
12SEP17	B 5 PD12DEC17	6688.00	6874.50	USD	23,312.50	
15SEP17	S 5 PD12DEC17	6505.76	6874.50	USD	-46,092.50	
	NET 0 AVG BGHT 6688.00 SOLD 6505.76				-22,780.00	0.00
13SEP17	B 5 PD13DEC17	6597.00	6874.92	USD	34,740.00	
15SEP17	S 5 PD13DEC17	6506.17	6874.92	USD	-46,093.75	
	NET 0 AVG BGHT 6597.00 SOLD 6506.17				-11,353.75	0.00
14SEP17	S 10 PD14DEC17	6488.50	6875.33	USD	-96,707.50	
14SEP17	S 5 PD14DEC17	6490.50	6875.33	USD	-48,103.75	
14SEP17	S 5 PD14DEC17	6531.50	6875.33	USD	-42,978.75	
14SEP17	B 5 PD14DEC17	6519.50	6875.33	USD	44,478.75	
15SEP17	B 15 PD14DEC17	6506.79	6875.33	USD	138,202.50	
	NET 0 AVG BGHT 6509.97 SOLD 6499.75				-5,108.75	0.00
11OCT17	B 5 PD11JAN18	6798.50	6881.67	USD	10,396.25	
11OCT17	S 5 PD11JAN18	6782.00	6881.67	USD	-12,458.75	
11OCT17	S 5 PD11JAN18	6791.00	6881.67	USD	-11,333.75	
11OCT17	S 5 PD11JAN18	6809.00	6881.67	USD	-9,083.75	
	NET -10 AVG BGHT 6798.50 SOLD 6794.00				-22,480.00	0.00
12OCT17	S 5 PD12JAN18	6853.00	6882.00	USD	-3,625.00	
12OCT17	B 4 PD12JAN18	6888.50	6882.00	USD	-650.00	
12OCT17	B 6 PD12JAN18	6886.00	6882.00	USD	-600.00	
12OCT17	B 5 PD12JAN18	6884.50	6882.00	USD	-312.50	
	NET +10 AVG BGHT 6886.17 SOLD 6853.00				-5,187.50	0.00
	<u>CMX GOLD 100 CMX</u>					
13OCT17	S 5 NOV17 1325.0 Call	3.3	3.3	USD	-1,650.00	0.00
	NET -5 AVG SOLD 3.3				-1,650.00	0.00

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0088A

13th October 2017

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Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
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OPEN POSITION VALUE

		EUR	USD
Dow Jones EURO Stoxx 50	OPTIONS	7,600.00	
LME Copper Grade A 25	FUTURES		-105,463.75
CMX Gold	OPTIONS		1,650.00

MARKET REVALUATION BREAKDOWN

Unrealised Profits & Losses - Forwards	Amount	Profit / Loss	Total Amount	Total P&L
COPL - Futures USD	-105,381.25	-105,381.25	-105,381.25	-105,381.25
Net Premium Options Market Value	Amount	Profit / Loss	Total Amount	Total P&L
EDOX - Options EUR	-400.00	7,200.00	-400.00	7,200.00
GOLD - Options USD	-1,650.00	0.00	-1,650.00	0.00

ACCOUNT FINANCIAL SUMMARY

	EUR	GBP	JPY
CASH BALANCE	(1.18360)	(1.33050)	(111.93000)
Variation Margins - Futures	73,712.13	85,000.00	240,228
- Options	0.00	0.00	0
TOTAL EQUITY	73,712.13	85,000.00	240,228
Initial Margins	-1,124.70	0.00	0
Excess/(Shortage)	72,587.43	85,000.00	240,228
FOR INFORMATION ONLY			
Net Premium Option Market Value	-400.00	0.00	0
Net Liquidating Value	73,312.13	85,000.00	240,228
	USD	NETT USD	
CASH BALANCE	(1.00000)	(1.00000)	
Variation Margins - Futures	61,322.81	263,807.22	
- Options	0.00	0.00	
TOTAL EQUITY	61,322.81	263,807.22	
Initial Margins	-129,171.25	-130,502.44	
Excess/(Shortage)	-67,848.44	133,304.78	
FOR INFORMATION ONLY			
Forward Unrealised P&L	-105,381.25	-105,381.25	
Net Premium Option Market Value	-1,650.00	-2,123.44	
Net Liquidating Value	-45,708.44	156,302.53	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED
SAP0088
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

GROUP NO: **SAPG0088**

13th Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343
Authorised and regulated by the Australian Prudential
Regulation Authority. Authorised by the Prudential
Regulation Authority. Subject to regulation by the Financial
Conduct Authority and limited regulation by the
Prudential Regulation Authority. Details about the extent
of our regulation by the Prudential Regulation Authority are
available from us on request.

GROUP FINANCIAL SUMMARY

	EUR	GBP	JPY
	(1.18360)	(1.33050)	(111.93000)
CASH BALANCE	73,724.13	85,000.00	240,228
Variation Margins	- Futures 0.00	0.00	0
	- Options 0.00	0.00	0
TOTAL EQUITY	73,724.13	85,000.00	240,228
Initial Margins	-1,124.70	0.00	0
Excess/(Shortage)	72,599.43	85,000.00	240,228

FOR INFORMATION ONLY

Net Premium Option Market Value	-400.00	0.00	0
Net Liquidating Value	73,324.13	85,000.00	240,228

	USD	NET USD
	(1.00000)	(1.00000)
CASH BALANCE	61,776.51	264,275.12
Variation Margins	- Futures 0.00	0.00
	- Options 0.00	0.00
TOTAL EQUITY	61,776.51	264,275.12
Initial Margins	-129,171.25	-130,502.44
Excess/(Shortage)	-67,394.74	133,772.68

FOR INFORMATION ONLY

Forward Unrealised P&L	-105,381.25	-105,381.25
Net Premium Option Market Value	-1,650.00	-2,123.44
Net Liquidating Value	-45,254.74	156,770.43

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0089B

13th October 2017

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Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.</u>					
09AUG17	S 5 PD09NOV17	2033.00	2116.96	USD	-10,495.00	
09AUG17	S 5 PD09NOV17	2030.00	2116.96	USD	-10,870.00	
	NET -10 AVG SOLD 2031.50				-21,365.00	0.00
16AUG17	B 5 PD16NOV17	2067.00	2120.07	USD	6,633.75	
16AUG17	B 3 PD16NOV17	2067.50	2120.07	USD	3,942.75	
16AUG17	B 2 PD16NOV17	2068.00	2120.07	USD	2,603.50	
	NET +10 AVG BGHT 2067.35				13,180.00	0.00
17AUG17	B 30 PD17NOV17	2073.50	2120.38	USD	35,160.00	
	NET +30 AVG BGHT 2073.50				35,160.00	0.00
25AUG17	B 20 PD24NOV17	2070.20	2122.60	USD	26,200.00	
	NET +20 AVG BGHT 2070.20				26,200.00	0.00
08SEP17	S 25 PD08DEC17	2092.50	2127.02	USD	-21,575.00	
	NET -25 AVG SOLD 2092.50				-21,575.00	0.00
14SEP17	B 1 PD14DEC17	2124.50	2128.92	USD	110.50	
14SEP17	B 24 PD14DEC17	2125.00	2128.92	USD	2,352.00	
	NET +25 AVG BGHT 2124.98				2,462.50	0.00
27SEP17	S 25 PD27DEC17	2132.50	2131.04	USD	912.50	
27SEP17	B 10 PD27DEC17	2134.00	2131.04	USD	-740.00	
27SEP17	S 10 PD27DEC17	2134.00	2131.04	USD	740.00	
	NET -25 AVG BGHT 2134.00 SOLD 2132.93				912.50	0.00
13OCT17	S 25 PD12JAN18	2165.50	2133.50	USD	20,000.00	
	NET -25 AVG SOLD 2165.50				20,000.00	0.00
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>					
04SEP17	B 4 PD04DEC17	6922.50	6871.20	USD	-5,130.00	
04SEP17	B 10 PD04DEC17	6923.00	6871.20	USD	-12,950.00	
	NET +14 AVG BGHT 6922.86				-18,080.00	0.00
05SEP17	S 3 PD05DEC17	6937.00	6871.60	USD	4,905.00	
05SEP17	S 1 PD05DEC17	6932.00	6871.60	USD	1,510.00	
05SEP17	S 2 PD05DEC17	6935.00	6871.60	USD	3,170.00	
05SEP17	S 3 PD05DEC17	6933.00	6871.60	USD	4,605.00	
05SEP17	S 2 PD05DEC17	6940.00	6871.60	USD	3,420.00	
05SEP17	S 2 PD05DEC17	6941.50	6871.60	USD	3,495.00	
05SEP17	S 1 PD05DEC17	6932.00	6871.60	USD	1,510.00	
	NET -14 AVG SOLD 6936.21				22,615.00	0.00
27SEP17	S 50 PD27DEC17	6429.00	6876.67	USD	-559,587.50	
27SEP17	B 10 PD27DEC17	6439.00	6876.67	USD	109,417.50	
27SEP17	B 10 PD27DEC17	6441.50	6876.67	USD	108,792.50	
27SEP17	B 10 PD27DEC17	6440.00	6876.67	USD	109,167.50	
27SEP17	B 2 PD27DEC17	6441.00	6876.67	USD	21,783.50	
27SEP17	B 18 PD27DEC17	6442.00	6876.67	USD	195,601.50	
	NET 0 AVG BGHT 6440.86 SOLD 6429.00				-14,825.00	0.00
	<u>CMX COPPER 25,000 CMX</u>					
30AUG17	B 18 DEC17	309.950	313.350	USD	15,300.00	
31AUG17	B 9 DEC17	310.450	313.350	USD	6,525.00	
14SEP17	B 75 DEC17	294.950	313.350	USD	345,000.00	
	NET +102 AVG BGHT 298.965				366,825.00	0.00
	<u>ICE COTTON NO.2 500 FIN</u>					
17AUG17	B 2 DEC17	66.96000	68.62000	USD	1,660.00	
	NET +2 AVG BGHT 66.96000				1,660.00	0.00
	<u>CMX GOLD 100 CMX</u>					
04OCT17	S 29 DEC17	1278.8	1304.6	USD	-74,820.00	

OPEN POSITION STATEMENT



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	CMX GOLD 100 CMX					
04OCT17	S 24 DEC17	1279.1	1304.6	USD	-61,200.00	
04OCT17	S 6 DEC17	1279.0	1304.6	USD	-15,360.00	
	NET -59 AVG SOLD 1278.9				-151,380.00	0.00
	<u>LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
16AUG17	B 10 PD16NOV17	2422.00	2522.75	USD	25,187.50	
	NET +10 AVG BGHT 2422.00				25,187.50	0.00
17AUG17	B 30 PD17NOV17	2434.50	2522.75	USD	66,187.50	
	NET +30 AVG BGHT 2434.50				66,187.50	0.00
25AUG17	B 10 PD24NOV17	2325.20	2523.81	USD	49,652.50	
	NET +10 AVG BGHT 2325.20				49,652.50	0.00
08SEP17	S 25 PD08DEC17	2302.50	2525.93	USD	-139,643.75	
	NET -25 AVG SOLD 2302.50				-139,643.75	0.00
19SEP17	B 25 PD19DEC17	2408.50	2527.60	USD	74,437.50	
	NET +25 AVG BGHT 2408.50				74,437.50	0.00
22SEP17	S 2 PD22DEC17	2477.00	2526.90	USD	-2,495.00	
22SEP17	S 4 PD22DEC17	2474.00	2526.90	USD	-5,290.00	
22SEP17	B 2 PD22DEC17	2485.00	2526.90	USD	2,095.00	
22SEP17	B 4 PD22DEC17	2484.50	2526.90	USD	4,240.00	
	NET 0 AVG BGHT 2484.67 SOLD 2475.00				-1,450.00	0.00
27SEP17	S 25 PD27DEC17	2451.50	2527.64	USD	-47,587.50	
	NET -25 AVG SOLD 2451.50				-47,587.50	0.00
13OCT17	S 35 PD12JAN18	2550.00	2530.00	USD	17,500.00	
13OCT17	B 4 PD12JAN18	2548.50	2530.00	USD	-1,850.00	
13OCT17	B 3 PD12JAN18	2549.50	2530.00	USD	-1,462.50	
13OCT17	B 2 PD12JAN18	2550.00	2530.00	USD	-1,000.00	
13OCT17	B 1 PD12JAN18	2549.50	2530.00	USD	-487.50	
	NET -25 AVG BGHT 2549.20 SOLD 2550.00				12,700.00	0.00
	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
31JUL17	B 10 PD31OCT17	10216.00	11620.72	USD	84,283.20	
	NET +10 AVG BGHT 10216.00				84,283.20	0.00
09AUG17	S 10 PD09NOV17	10775.00	11628.39	USD	-51,203.40	
	NET -10 AVG SOLD 10775.00				-51,203.40	0.00
10AUG17	S 10 PD10NOV17	10770.00	11629.24	USD	-51,554.40	
	NET -10 AVG SOLD 10770.00				-51,554.40	0.00
18AUG17	B 6 PD17NOV17	10865.00	11634.87	USD	27,715.32	
18AUG17	B 24 PD17NOV17	10870.00	11634.87	USD	110,141.28	
	NET +30 AVG BGHT 10869.00				137,856.60	0.00
25AUG17	B 30 PD24NOV17	11486.00	11639.67	USD	27,660.60	
	NET +30 AVG BGHT 11486.00				27,660.60	0.00
08SEP17	S 25 PD08DEC17	11930.00	11649.27	USD	42,109.50	
	NET -25 AVG SOLD 11930.00				42,109.50	0.00
19SEP17	B 10 PD19DEC17	10985.00	11656.81	USD	40,308.60	
19SEP17	B 15 PD19DEC17	10980.00	11656.81	USD	60,912.90	
	NET +25 AVG BGHT 10982.00				101,221.50	0.00
27SEP17	S 24 PD27DEC17	10345.00	11662.83	USD	-189,767.52	
27SEP17	S 1 PD27DEC17	10345.00	11662.83	USD	-7,906.98	
	NET -25 AVG SOLD 10345.00				-197,674.50	0.00
13OCT17	S 2 PD12JAN18	11600.00	11675.00	USD	-900.00	
13OCT17	S 4 PD12JAN18	11595.00	11675.00	USD	-1,920.00	
13OCT17	S 19 PD12JAN18	11590.00	11675.00	USD	-9,690.00	
	NET -25 AVG SOLD 11591.60				-12,510.00	0.00

OPEN POSITION STATEMENT



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SAP0089B

13th October 2017

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
	<u>CMX SILVER 5,000 CMX</u>					
30AUG17	B 2 DEC17	1749.0	1741.1	USD	-790.00	
31AUG17	B 20 DEC17	1742.0	1741.1	USD	-900.00	
04OCT17	B 59 DEC17	1677.5	1741.1	USD	187,620.00	
04OCT17	B 11 DEC17	1678.0	1741.1	USD	34,705.00	
04OCT17	B 30 DEC17	1678.5	1741.1	USD	93,900.00	
04OCT17	B 15 DEC17	1679.0	1741.1	USD	46,575.00	
13OCT17	B 20 DEC17	1732.5	1741.1	USD	8,600.00	
13OCT17	B 30 DEC17	1732.0	1741.1	USD	13,650.00	
	NET +187 AVG BGHT 1700.1				383,360.00	0.00
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
25SEP17	S 6 PD02NOV17	3101.98	3266.20	USD	-24,633.00	
25SEP17	S 5 PD02NOV17	3101.73	3266.20	USD	-20,558.75	
25SEP17	S 5 PD02NOV17	3100.98	3266.20	USD	-20,652.50	
	NET -16 AVG SOLD 3101.59				-65,844.25	0.00
16AUG17	B 10 PD16NOV17	3019.50	3253.50	USD	58,500.00	
	NET +10 AVG BGHT 3019.50				58,500.00	0.00
17AUG17	B 21 PD17NOV17	3087.00	3251.00	USD	86,100.00	
17AUG17	B 9 PD17NOV17	3089.00	3251.00	USD	36,450.00	
	NET +30 AVG BGHT 3087.60				122,550.00	0.00
25AUG17	B 10 PD24NOV17	3063.70	3247.50	USD	45,950.00	
	NET +10 AVG BGHT 3063.70				45,950.00	0.00
08SEP17	S 25 PD08DEC17	3087.00	3245.00	USD	-98,750.00	
	NET -25 AVG SOLD 3087.00				-98,750.00	0.00
19SEP17	B 5 PD19DEC17	3072.50	3243.25	USD	21,343.75	
19SEP17	B 20 PD19DEC17	3075.50	3243.25	USD	83,875.00	
	NET +25 AVG BGHT 3074.90				105,218.75	0.00
25SEP17	S 2 PD27DEC17	3080.50	3239.23	USD	-7,936.50	
25SEP17	S 2 PD27DEC17	3081.00	3239.23	USD	-7,911.50	
25SEP17	S 1 PD27DEC17	3082.00	3239.23	USD	-3,930.75	
25SEP17	S 1 PD27DEC17	3083.50	3239.23	USD	-3,893.25	
25SEP17	S 4 PD27DEC17	3082.50	3239.23	USD	-15,673.00	
25SEP17	S 2 PD27DEC17	3095.00	3239.23	USD	-7,211.50	
25SEP17	S 2 PD27DEC17	3096.00	3239.23	USD	-7,161.50	
25SEP17	S 2 PD27DEC17	3105.00	3239.23	USD	-6,711.50	
25SEP17	B 6 PD27DEC17	3094.66	3239.23	USD	21,685.50	
25SEP17	B 5 PD27DEC17	3094.41	3239.23	USD	18,102.50	
25SEP17	B 5 PD27DEC17	3093.66	3239.23	USD	18,196.25	
27SEP17	S 25 PD27DEC17	3112.50	3239.23	USD	-79,206.25	
	NET -25 AVG BGHT 3094.27 SOLD 3103.00				-81,651.50	0.00
13OCT17	S 14 PD12JAN18	3245.50	3235.00	USD	3,675.00	
13OCT17	S 11 PD12JAN18	3245.00	3235.00	USD	2,750.00	
	NET -25 AVG SOLD 3245.28				6,425.00	0.00

OPEN POSITION STATEMENT



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SAP0089B

13th October 2017

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OPEN POSITION VALUE

		USD
LME Aluminium 25 Tonnes.	FUTURES	66,762.50
LME Copper Grade A 25	FUTURES	-10,150.00
CMX Copper	FUTURES	-7,623,600.00
ICE Cotton No.2	FUTURES	-66,960.00
CMX Gold	FUTURES	7,545,760.00
LME Lead 25 tonnes. This is an	FUTURES	45,762.50
LME Nickel 6 tonnes. This is	FUTURES	88,530.00
CMX Silver	FUTURES	-15,895,925.00
LME Zinc 25 tonnes. This is	FUTURES	1,382,865.50

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options	Amount	Profit / Loss	Total Amount	Total P&L
COPR - Futures USD	366,825.00	366,825.00		
COTT - Futures USD	1,660.00	1,660.00		
GOLD - Futures USD	-151,380.00	-151,380.00		
SILV - Futures USD	383,360.00	383,360.00	600,465.00	600,465.00
Unrealised Profits & Losses - Forwards	Amount	Profit / Loss	Total Amount	Total P&L
ALUL - Futures USD	54,975.00	54,975.00		
COPL - Futures USD	-10,290.00	-10,290.00		
LEAD - Futures USD	39,483.75	39,483.75		
NKL - Futures USD	80,189.10	80,189.10		
ZINC - Futures USD	92,398.00	92,398.00	256,755.85	256,755.85

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	380,171.83	380,171.83
Variation Margins - Futures	600,465.00	600,465.00
- Options	0.00	0.00
TOTAL EQUITY	980,636.83	980,636.83
Initial Margins	-1,239,800.00	-1,239,800.00
Excess/(Shortage)	-259,163.17	-259,163.17
FOR INFORMATION ONLY		
Forward Unrealised P&L	256,755.85	256,755.85
Net Liquidating Value	1,237,392.68	1,237,392.68

OPEN POSITION STATEMENT



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SAP0089C

13th October 2017

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ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	-680.00	-680.00
Variation Margins		
- Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	-680.00	-680.00
Initial Margins	0.00	0.00
Excess/(Shortage)	-680.00	-680.00
FOR INFORMATION ONLY		
Net Liquidating Value	-680.00	-680.00

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0089R

13th October 2017

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Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	CMX GOLD 100 CMX					
10OCT17	S 1 DEC17	1291.6	1304.6	USD	-1,300.00	
10OCT17	S 1 DEC17	1291.3	1304.6	USD	-1,330.00	
10OCT17	S 2 DEC17	1291.1	1304.6	USD	-2,700.00	
10OCT17	S 3 DEC17	1291.4	1304.6	USD	-3,960.00	
10OCT17	S 1 DEC17	1291.5	1304.6	USD	-1,310.00	
10OCT17	S 2 DEC17	1293.3	1304.6	USD	-2,260.00	
	NET -10 AVG SOLD 1291.7				-12,860.00	0.00
	CMX SILVER 5,000 CMX					
11SEP17	B 2 DEC17	1789.0	1741.1	USD	-4,790.00	
11SEP17	B 1 DEC17	1788.0	1741.1	USD	-2,345.00	
13SEP17	B 10 DEC17	1788.0	1741.1	USD	-23,450.00	
15SEP17	B 2 DEC17	1785.5	1741.1	USD	-4,440.00	
18SEP17	B 4 DEC17	1751.0	1741.1	USD	-1,980.00	
18SEP17	B 2 DEC17	1751.5	1741.1	USD	-1,040.00	
18SEP17	B 6 DEC17	1750.0	1741.1	USD	-2,670.00	
18SEP17	B 3 DEC17	1750.5	1741.1	USD	-1,410.00	
13OCT17	B 2 DEC17	1725.5	1741.1	USD	1,560.00	
13OCT17	B 1 DEC17	1724.0	1741.1	USD	855.00	
13OCT17	B 2 DEC17	1725.0	1741.1	USD	1,610.00	
13OCT17	B 1 DEC17	1724.5	1741.1	USD	830.00	
13OCT17	B 1 DEC17	1722.5	1741.1	USD	930.00	
13OCT17	B 1 DEC17	1722.0	1741.1	USD	955.00	
	NET +38 AVG BGHT 1759.7				-35,385.00	0.00
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
25SEP17	S 6 PD02NOV17	3093.73	3266.20	USD	-25,870.50	
04OCT17	B 6 PD02NOV17	3288.50	3266.20	USD	-3,345.00	
	NET 0 AVG BGHT 3288.50 SOLD 3093.73				-29,215.50	0.00
25SEP17	S 1 PD27DEC17	3095.50	3239.23	USD	-3,593.25	
25SEP17	S 1 PD27DEC17	3097.50	3239.23	USD	-3,543.25	
25SEP17	S 1 PD27DEC17	3095.00	3239.23	USD	-3,605.75	
25SEP17	B 6 PD27DEC17	3086.91	3239.23	USD	22,848.00	
26SEP17	B 3 PD27DEC17	3124.00	3239.23	USD	8,642.25	
04OCT17	S 6 PD27DEC17	3276.13	3239.23	USD	5,535.00	
	NET 0 AVG BGHT 3099.27 SOLD 3216.09				26,283.00	0.00

OPEN POSITION VALUE

		USD
CMX Gold	FUTURES	1,291,740.00
CMX Silver	FUTURES	-3,343,475.00
LME Zinc 25 tonnes. This is	FUTURES	-2,932.50

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0089R

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	-241,658.66	-241,658.66
Variation Margins		
- Futures	-48,245.00	-48,245.00
- Options	0.00	0.00
TOTAL EQUITY	-289,903.66	-289,903.66
Initial Margins	-195,132.50	-195,132.50
Excess/(Shortage)	-485,036.16	-485,036.16
FOR INFORMATION ONLY		
Forward Unrealised P&L	-2,932.50	-2,932.50
Net Liquidating Value	-292,836.16	-292,836.16

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED
SAP0089
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

GROUP NO: **SAPG0089**

13th Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343
Authorised and regulated by the Australian Prudential
Regulation Authority. Authorised by the Prudential
Regulation Authority. Subject to regulation by the Financial
Conduct Authority and limited regulation by the
Prudential Regulation Authority. Details about the extent
of our regulation by the Prudential Regulation Authority are
available from us on request.

GROUP FINANCIAL SUMMARY

	USD	NET USD
	(1.00000)	(1.00000)
CASH BALANCE	137,833.17	137,833.17
Variation Margins		
- Futures	552,220.00	552,220.00
- Options	0.00	0.00
TOTAL EQUITY	690,053.17	690,053.17
Initial Margins	-1,432,000.00	-1,432,000.00
Excess/(Shortage)	-741,946.83	-741,946.83
<u>FOR INFORMATION ONLY</u>		
Forward Unrealised P&L	253,823.35	253,823.35
Net Liquidating Value	943,876.52	943,876.52

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0090

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
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AUTHORISED AND REGULATED BY THE
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ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	472,100.42	472,100.42
Variation Margins		
- Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	472,100.42	472,100.42
Initial Margins	0.00	0.00
Excess/(Shortage)	472,100.42	472,100.42
FOR INFORMATION ONLY		
Net Liquidating Value	472,100.42	472,100.42

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0090A

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
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Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
09AUG17	S 5 PD09NOV17	10755.00	11628.39	USD	-26,201.70	
18SEP17	B 5 PD09NOV17	11146.01	11628.39	USD	14,471.40	
	NET 0AVG BGHT 11146.01 SOLD 10755.00				-11,730.30	0.00

OPEN POSITION VALUE

LME Nickel 6 tonnes. This is FUTURES USD -11,730.30

MARKET REVALUATION BREAKDOWN

Unrealised Profits & Losses - Forwards	Amount	Profit / Loss	Total Amount	Total P&L
NKL - Futures USD	-11,730.30	-11,730.30	-11,730.30	-11,730.30

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
CASH BALANCE	(1.00000)	(1.00000)
Variation Margins	26,813.04	26,813.04
- Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	26,813.04	26,813.04
Initial Margins	-11,730.30	-11,730.30
Excess/(Shortage)	15,082.74	15,082.74
FOR INFORMATION ONLY		
Forward Unrealised P&L	-11,730.30	-11,730.30
Net Liquidating Value	15,082.74	15,082.74

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED
SAP0090
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

GROUP NO: **SAPG0090**

13th Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343
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Regulation Authority. Authorised by the Prudential
Regulation Authority. Subject to regulation by the Financial
Conduct Authority and limited regulation by the
Prudential Regulation Authority. Details about the extent
of our regulation by the Prudential Regulation Authority are
available from us on request.

GROUP FINANCIAL SUMMARY

	USD	NET USD
	(1.00000)	(1.00000)
CASH BALANCE	498,913.46	498,913.46
Variation Margins		
- Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	498,913.46	498,913.46
Initial Margins	-11,730.30	-11,730.30
Excess/(Shortage)	487,183.16	487,183.16
FOR INFORMATION ONLY		
Forward Unrealised P&L	-11,730.30	-11,730.30
Net Liquidating Value	487,183.16	487,183.16

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0094

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
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ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	6,234,201.73	6,234,201.73
Variation Margins		
- Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	6,234,201.73	6,234,201.73
Initial Margins	0.00	0.00
Excess/(Shortage)	6,234,201.73	6,234,201.73
FOR INFORMATION ONLY		
Net Liquidating Value	6,234,201.73	6,234,201.73

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0094A

13th October 2017

Sapien Capital Limited
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London W1J 7NJ UK
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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.</u>							
17JUL17	S	1	PD17OCT17	1938.00	2109.75	USD	-4,293.75	
17JUL17	S	1	PD17OCT17	1939.00	2109.75	USD	-4,268.75	
17JUL17	S	1	PD17OCT17	1940.00	2109.75	USD	-4,243.75	
17JUL17	B	1	PD17OCT17	1899.50	2109.75	USD	5,256.25	
18JUL17	B	2	PD17OCT17	1930.99	2109.75	USD	8,938.00	
	NET	0 AVG BGHT 1920.49	SOLD 1939.00				1,388.00	0.00
18JUL17	B	1	PD18OCT17	1900.50	2110.25	USD	5,243.75	
18JUL17	B	1	PD18OCT17	1904.50	2110.25	USD	5,143.75	
18JUL17	B	1	PD18OCT17	1905.00	2110.25	USD	5,131.25	
18JUL17	B	1	PD18OCT17	1906.00	2110.25	USD	5,106.25	
18JUL17	B	1	PD18OCT17	1899.50	2110.25	USD	5,268.75	
18JUL17	B	1	PD18OCT17	1900.00	2110.25	USD	5,256.25	
18JUL17	S	6	PD18OCT17	1930.80	2110.25	USD	-26,917.50	
	NET	0 AVG BGHT 1902.58	SOLD 1930.80				4,232.50	0.00
19JUL17	B	1	PD19OCT17	1918.50	2110.75	USD	4,806.25	
19JUL17	B	1	PD19OCT17	1916.50	2110.75	USD	4,856.25	
24JUL17	S	2	PD19OCT17	1911.58	2110.75	USD	-9,958.50	
	NET	0 AVG BGHT 1917.50	SOLD 1911.58				-296.00	0.00
21JUL17	S	1	PD20OCT17	1919.00	2111.25	USD	-4,806.25	
21JUL17	S	2	PD20OCT17	1916.50	2111.25	USD	-9,737.50	
24JUL17	B	2	PD20OCT17	1911.87	2111.25	USD	9,969.00	
26JUL17	B	1	PD20OCT17	1940.91	2111.25	USD	4,258.50	
	NET	0 AVG BGHT 1921.55	SOLD 1917.33				-316.25	0.00
24JUL17	S	1	PD24OCT17	1922.00	2113.25	USD	-4,781.25	
24JUL17	S	1	PD24OCT17	1923.00	2113.25	USD	-4,756.25	
24JUL17	S	1	PD24OCT17	1916.00	2113.25	USD	-4,931.25	
24JUL17	S	3	PD24OCT17	1915.00	2113.25	USD	-14,868.75	
25JUL17	B	5	PD24OCT17	1929.99	2113.25	USD	22,907.50	
26JUL17	B	1	PD24OCT17	1941.77	2113.25	USD	4,287.00	
	NET	0 AVG BGHT 1931.95	SOLD 1917.67				-2,143.00	0.00
25JUL17	S	1	PD25OCT17	1918.50	2113.75	USD	-4,881.25	
25JUL17	S	2	PD25OCT17	1919.00	2113.75	USD	-9,737.50	
25JUL17	S	1	PD25OCT17	1921.00	2113.75	USD	-4,818.75	
25JUL17	S	1	PD25OCT17	1922.00	2113.75	USD	-4,793.75	
25JUL17	S	2	PD25OCT17	1923.00	2113.75	USD	-9,537.50	
25JUL17	S	1	PD25OCT17	1923.50	2113.75	USD	-4,756.25	
25JUL17	S	1	PD25OCT17	1924.00	2113.75	USD	-4,743.75	
25JUL17	S	1	PD25OCT17	1922.50	2113.75	USD	-4,781.25	
25JUL17	S	1	PD25OCT17	1945.50	2113.75	USD	-4,206.25	
26JUL17	B	11	PD25OCT17	1941.99	2113.75	USD	47,234.00	
	NET	0 AVG BGHT 1941.99	SOLD 1923.73				-5,022.25	0.00
26JUL17	S	1	PD26OCT17	1957.50	2114.25	USD	-3,918.75	
26JUL17	S	1	PD26OCT17	1940.50	2114.25	USD	-4,343.75	
26JUL17	S	2	PD26OCT17	1954.50	2114.25	USD	-7,987.50	
26JUL17	S	1	PD26OCT17	1956.00	2114.25	USD	-3,956.25	
26JUL17	S	1	PD26OCT17	1956.50	2114.25	USD	-3,943.75	
26JUL17	S	1	PD26OCT17	1957.00	2114.25	USD	-3,931.25	
26JUL17	S	1	PD26OCT17	1962.50	2114.25	USD	-3,793.75	
26JUL17	B	1	PD26OCT17	1943.50	2114.25	USD	4,268.75	
26JUL17	B	1	PD26OCT17	1937.50	2114.25	USD	4,418.75	
26JUL17	B	5	PD26OCT17	1942.20	2114.25	USD	21,506.25	
31JUL17	B	1	PD26OCT17	1916.44	2114.25	USD	4,945.25	
	NET	0 AVG BGHT 1938.56	SOLD 1954.88				3,264.00	0.00
27JUL17	S	1	PD27OCT17	1944.50	2114.08	USD	-4,239.50	
27JUL17	S	1	PD27OCT17	1942.00	2114.08	USD	-4,302.00	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0094A

13th October 2017

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.</u>							
27JUL17	S	1	PD27OCT17	1947.50	2114.08	USD	-4,164.50	
27JUL17	S	1	PD27OCT17	1948.00	2114.08	USD	-4,152.00	
27JUL17	S	1	PD27OCT17	1949.50	2114.08	USD	-4,114.50	
27JUL17	S	1	PD27OCT17	1948.50	2114.08	USD	-4,139.50	
27JUL17	S	3	PD27OCT17	1949.00	2114.08	USD	-12,381.00	
27JUL17	S	1	PD27OCT17	1950.00	2114.08	USD	-4,102.00	
27JUL17	S	2	PD27OCT17	1951.00	2114.08	USD	-8,154.00	
27JUL17	S	1	PD27OCT17	1952.00	2114.08	USD	-4,052.00	
27JUL17	B	1	PD27OCT17	1941.50	2114.08	USD	4,314.50	
27JUL17	B	1	PD27OCT17	1942.50	2114.08	USD	4,289.50	
27JUL17	B	1	PD27OCT17	1944.00	2114.08	USD	4,252.00	
27JUL17	B	1	PD27OCT17	1944.50	2114.08	USD	4,239.50	
27JUL17	B	1	PD27OCT17	1946.00	2114.08	USD	4,202.00	
27JUL17	B	1	PD27OCT17	1938.00	2114.08	USD	4,402.00	
27JUL17	B	1	PD27OCT17	1938.50	2114.08	USD	4,389.50	
27JUL17	B	1	PD27OCT17	1940.00	2114.08	USD	4,352.00	
27JUL17	B	5	PD27OCT17	1937.50	2114.08	USD	22,072.50	
28JUL17	B	1	PD27OCT17	1928.50	2114.08	USD	4,639.50	
28JUL17	B	1	PD27OCT17	1927.50	2114.08	USD	4,664.50	
28JUL17	B	2	PD27OCT17	1928.00	2114.08	USD	9,304.00	
28JUL17	B	1	PD27OCT17	1925.50	2114.08	USD	4,714.50	
28JUL17	B	1	PD27OCT17	1925.00	2114.08	USD	4,727.00	
28JUL17	B	1	PD27OCT17	1916.50	2114.08	USD	4,939.50	
28JUL17	B	1	PD27OCT17	1916.00	2114.08	USD	4,952.00	
28JUL17	B	2	PD27OCT17	1915.00	2114.08	USD	9,954.00	
28JUL17	B	1	PD27OCT17	1909.50	2114.08	USD	5,114.50	
28JUL17	B	2	PD27OCT17	1913.00	2114.08	USD	10,054.00	
28JUL17	B	1	PD27OCT17	1910.50	2114.08	USD	5,089.50	
28JUL17	S	10	PD27OCT17	1906.80	2114.08	USD	-51,820.00	
31JUL17	S	1	PD27OCT17	1916.55	2114.08	USD	-4,938.25	
03AUG17	S	3	PD27OCT17	1914.25	2114.08	USD	-14,987.25	
	NET	0 AVG BGHT 1929.39	SOLD 1928.09				-880.00	0.00
31JUL17	B	1	PD31OCT17	1917.50	2113.75	USD	4,906.25	
31JUL17	S	2	PD31OCT17	1917.00	2113.75	USD	-9,837.50	
31JUL17	S	2	PD31OCT17	1917.50	2113.75	USD	-9,812.50	
31JUL17	S	1	PD31OCT17	1919.00	2113.75	USD	-4,868.75	
31JUL17	B	1	PD31OCT17	1920.50	2113.75	USD	4,831.25	
31JUL17	B	1	PD31OCT17	1919.00	2113.75	USD	4,868.75	
31JUL17	B	2	PD31OCT17	1917.00	2113.75	USD	9,837.50	
	NET	0 AVG BGHT 1918.20	SOLD 1917.60				-75.00	0.00
01AUG17	S	1	PD01NOV17	1909.00	2114.09	USD	-5,127.25	
01AUG17	S	1	PD01NOV17	1910.00	2114.09	USD	-5,102.25	
01AUG17	S	1	PD01NOV17	1909.50	2114.09	USD	-5,114.75	
01AUG17	B	1	PD01NOV17	1906.50	2114.09	USD	5,189.75	
01AUG17	B	2	PD01NOV17	1908.00	2114.09	USD	10,304.50	
	NET	0 AVG BGHT 1907.50	SOLD 1909.50				150.00	0.00
02AUG17	S	4	PD02NOV17	1914.50	2114.44	USD	-19,994.00	
02AUG17	S	2	PD02NOV17	1915.00	2114.44	USD	-9,972.00	
02AUG17	S	1	PD02NOV17	1927.50	2114.44	USD	-4,673.50	
02AUG17	S	1	PD02NOV17	1928.00	2114.44	USD	-4,661.00	
02AUG17	B	1	PD02NOV17	1920.00	2114.44	USD	4,861.00	
02AUG17	B	1	PD02NOV17	1920.50	2114.44	USD	4,848.50	
02AUG17	S	1	PD02NOV17	1932.00	2114.44	USD	-4,561.00	
02AUG17	S	1	PD02NOV17	1934.00	2114.44	USD	-4,511.00	
02AUG17	S	1	PD02NOV17	1935.50	2114.44	USD	-4,473.50	
02AUG17	S	1	PD02NOV17	1920.50	2114.44	USD	-4,848.50	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0094A

13th October 2017

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FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ALUMINIUM 25 TONNES. THIS IS AN</u>							
	<u>LME REGISTERED CLIENT CONTRACT.</u>							
02AUG17	S	1	PD02NOV17	1924.00	2114.44	USD	-4,761.00	
02AUG17	S	1	PD02NOV17	1925.50	2114.44	USD	-4,723.50	
02AUG17	B	1	PD02NOV17	1925.00	2114.44	USD	4,736.00	
02AUG17	S	2	PD02NOV17	1915.50	2114.44	USD	-9,947.00	
02AUG17	S	1	PD02NOV17	1923.00	2114.44	USD	-4,786.00	
02AUG17	B	1	PD02NOV17	1923.50	2114.44	USD	4,773.50	
03AUG17	B	3	PD02NOV17	1915.95	2114.44	USD	14,886.75	
04AUG17	B	2	PD02NOV17	1910.23	2114.44	USD	10,210.50	
07AUG17	B	4	PD02NOV17	1962.82	2114.44	USD	15,162.00	
08AUG17	B	4	PD02NOV17	2029.00	2114.44	USD	8,544.00	
09OCT17	S	4	PD02NOV17	2156.44	2114.44	USD	4,200.00	
12OCT17	B	4	PD02NOV17	2127.25	2114.44	USD	-1,281.00	
	NET	0 AVG BGHT 1987.31	SOLD 1966.42				-10,970.75	0.00
03AUG17	B	1	PD03NOV17	1927.50	2114.78	USD	4,682.00	
03AUG17	B	1	PD03NOV17	1910.50	2114.78	USD	5,107.00	
03AUG17	B	1	PD03NOV17	1911.00	2114.78	USD	5,094.50	
03AUG17	S	1	PD03NOV17	1920.50	2114.78	USD	-4,857.00	
04AUG17	B	1	PD03NOV17	1912.50	2114.78	USD	5,057.00	
04AUG17	B	1	PD03NOV17	1904.50	2114.78	USD	5,257.00	
04AUG17	B	2	PD03NOV17	1903.50	2114.78	USD	10,564.00	
04AUG17	S	2	PD03NOV17	1910.30	2114.78	USD	-10,224.00	
07AUG17	S	4	PD03NOV17	1962.85	2114.78	USD	-15,193.00	
	NET	0 AVG BGHT 1910.43	SOLD 1941.79				5,487.50	0.00
07AUG17	S	1	PD07NOV17	1921.50	2116.16	USD	-4,866.50	
07AUG17	S	2	PD07NOV17	1929.00	2116.16	USD	-9,358.00	
07AUG17	S	1	PD07NOV17	1930.50	2116.16	USD	-4,641.50	
07AUG17	S	1	PD07NOV17	1934.50	2116.16	USD	-4,541.50	
07AUG17	S	2	PD07NOV17	1937.50	2116.16	USD	-8,933.00	
07AUG17	S	1	PD07NOV17	1938.00	2116.16	USD	-4,454.00	
07AUG17	S	2	PD07NOV17	1947.00	2116.16	USD	-8,458.00	
07AUG17	S	1	PD07NOV17	1947.50	2116.16	USD	-4,216.50	
07AUG17	S	2	PD07NOV17	1948.00	2116.16	USD	-8,408.00	
07AUG17	S	1	PD07NOV17	1948.50	2116.16	USD	-4,191.50	
07AUG17	S	1	PD07NOV17	1959.50	2116.16	USD	-3,916.50	
07AUG17	S	2	PD07NOV17	1960.00	2116.16	USD	-7,808.00	
07AUG17	S	1	PD07NOV17	1964.00	2116.16	USD	-3,804.00	
08AUG17	B	18	PD07NOV17	2030.00	2116.16	USD	38,772.00	
	NET	0 AVG BGHT 2030.00	SOLD 1943.72				-38,825.00	0.00
08AUG17	S	1	PD08NOV17	1985.00	2116.50	USD	-3,287.50	
08AUG17	S	1	PD08NOV17	1988.00	2116.50	USD	-3,212.50	
08AUG17	S	1	PD08NOV17	1989.00	2116.50	USD	-3,187.50	
08AUG17	S	1	PD08NOV17	1998.50	2116.50	USD	-2,950.00	
08AUG17	S	1	PD08NOV17	1997.50	2116.50	USD	-2,975.00	
08AUG17	S	1	PD08NOV17	1991.50	2116.50	USD	-3,125.00	
08AUG17	S	1	PD08NOV17	1992.50	2116.50	USD	-3,100.00	
08AUG17	S	1	PD08NOV17	1991.00	2116.50	USD	-3,137.50	
08AUG17	S	2	PD08NOV17	1994.50	2116.50	USD	-6,100.00	
08AUG17	S	1	PD08NOV17	2005.00	2116.50	USD	-2,787.50	
08AUG17	S	1	PD08NOV17	2005.50	2116.50	USD	-2,775.00	
08AUG17	S	1	PD08NOV17	2004.50	2116.50	USD	-2,800.00	
08AUG17	S	1	PD08NOV17	2006.00	2116.50	USD	-2,762.50	
08AUG17	S	1	PD08NOV17	2008.50	2116.50	USD	-2,700.00	
08AUG17	S	1	PD08NOV17	2010.50	2116.50	USD	-2,650.00	
08AUG17	S	1	PD08NOV17	2007.50	2116.50	USD	-2,725.00	
08AUG17	S	1	PD08NOV17	2015.00	2116.50	USD	-2,537.50	
08AUG17	S	1	PD08NOV17	2023.00	2116.50	USD	-2,337.50	

OPEN POSITION STATEMENT



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SAP0094A

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	<u>LME ALUMINIUM 25 TONNES. THIS IS AN</u>							
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08AUG17	S	2	PD08NOV17	1995.50	2116.50	USD	-6,050.00	
08AUG17	S	2	PD08NOV17	2009.50	2116.50	USD	-5,350.00	
08AUG17	S	2	PD08NOV17	2028.50	2116.50	USD	-4,400.00	
08AUG17	B	11	PD08NOV17	2030.20	2116.50	USD	23,732.50	
09AUG17	B	13	PD08NOV17	2027.52	2116.50	USD	28,918.50	
14AUG17	B	1	PD08NOV17	2022.10	2116.50	USD	2,360.00	
	NET	0 AVG	BGHT 2028.48	SOLD 2002.98			-15,939.00	0.00
09AUG17	B	2	PD09NOV17	2031.50	2116.96	USD	4,273.00	
09AUG17	S	2	PD09NOV17	2030.50	2116.96	USD	-4,323.00	
09AUG17	B	2	PD09NOV17	2026.00	2116.96	USD	4,548.00	
09AUG17	S	1	PD09NOV17	2033.00	2116.96	USD	-2,099.00	
09AUG17	S	2	PD09NOV17	2031.00	2116.96	USD	-4,298.00	
09AUG17	S	1	PD09NOV17	2032.00	2116.96	USD	-2,124.00	
09AUG17	S	1	PD09NOV17	2033.50	2116.96	USD	-2,086.50	
14AUG17	B	3	PD09NOV17	2022.29	2116.96	USD	7,100.25	
	NET	0 AVG	BGHT 2025.98	SOLD 2031.64			990.75	0.00
10AUG17	S	1	PD10NOV17	2039.50	2117.43	USD	-1,948.25	
10AUG17	S	1	PD10NOV17	2040.00	2117.43	USD	-1,935.75	
10AUG17	S	2	PD10NOV17	2042.50	2117.43	USD	-3,746.50	
10AUG17	B	2	PD10NOV17	2040.00	2117.43	USD	3,871.50	
10AUG17	B	1	PD10NOV17	2034.50	2117.43	USD	2,073.25	
10AUG17	B	1	PD10NOV17	2035.00	2117.43	USD	2,060.75	
11AUG17	B	1	PD10NOV17	2022.50	2117.43	USD	2,373.25	
11AUG17	B	2	PD10NOV17	2023.00	2117.43	USD	4,721.50	
11AUG17	B	1	PD10NOV17	2021.00	2117.43	USD	2,410.75	
11AUG17	S	1	PD10NOV17	2044.50	2117.43	USD	-1,823.25	
11AUG17	S	2	PD10NOV17	2043.00	2117.43	USD	-3,721.50	
11AUG17	S	1	PD10NOV17	2046.50	2117.43	USD	-1,773.25	
	NET	0 AVG	BGHT 2029.88	SOLD 2042.69			2,562.50	0.00
14AUG17	S	1	PD14NOV17	2035.50	2119.29	USD	-2,094.75	
14AUG17	B	1	PD14NOV17	2025.50	2119.29	USD	2,344.75	
14AUG17	B	1	PD14NOV17	2026.50	2119.29	USD	2,319.75	
14AUG17	B	1	PD14NOV17	2027.50	2119.29	USD	2,294.75	
14AUG17	S	1	PD14NOV17	2034.00	2119.29	USD	-2,132.25	
14AUG17	S	2	PD14NOV17	2034.50	2119.29	USD	-4,239.50	
14AUG17	S	1	PD14NOV17	2035.00	2119.29	USD	-2,107.25	
14AUG17	S	1	PD14NOV17	2041.00	2119.29	USD	-1,957.25	
14AUG17	B	1	PD14NOV17	2026.00	2119.29	USD	2,332.25	
14AUG17	B	1	PD14NOV17	2027.00	2119.29	USD	2,307.25	
14AUG17	B	1	PD14NOV17	2028.00	2119.29	USD	2,282.25	
14AUG17	B	1	PD14NOV17	2030.50	2119.29	USD	2,219.75	
14AUG17	B	2	PD14NOV17	2023.20	2119.29	USD	4,804.50	
14AUG17	S	1	PD14NOV17	2024.50	2119.29	USD	-2,369.75	
14AUG17	S	2	PD14NOV17	2025.00	2119.29	USD	-4,714.50	
14AUG17	S	2	PD14NOV17	2023.50	2119.29	USD	-4,789.50	
14AUG17	S	1	PD14NOV17	2024.00	2119.29	USD	-2,382.25	
15AUG17	B	3	PD14NOV17	2049.01	2119.29	USD	5,271.00	
	NET	0 AVG	BGHT 2032.04	SOLD 2030.00			-610.75	0.00
15AUG17	B	4	PD15NOV17	2049.20	2119.75	USD	7,055.00	
16AUG17	S	2	PD15NOV17	2094.05	2119.75	USD	-1,285.00	
18AUG17	S	2	PD15NOV17	2062.05	2119.75	USD	-2,885.00	
	NET	0 AVG	BGHT 2049.20	SOLD 2078.05			2,885.00	0.00
16AUG17	S	1	PD16NOV17	2060.50	2120.07	USD	-1,489.25	
16AUG17	S	1	PD16NOV17	2061.50	2120.07	USD	-1,464.25	
16AUG17	S	2	PD16NOV17	2062.00	2120.07	USD	-2,903.50	
16AUG17	S	1	PD16NOV17	2065.00	2120.07	USD	-1,376.75	

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16AUG17	S	2	PD16NOV17	2061.00	2120.07	USD	-2,953.50	
16AUG17	B	1	PD16NOV17	2088.00	2120.07	USD	801.75	
16AUG17	B	1	PD16NOV17	2089.50	2120.07	USD	764.25	
16AUG17	B	1	PD16NOV17	2092.50	2120.07	USD	689.25	
16AUG17	B	8	PD16NOV17	2094.20	2120.07	USD	5,174.00	
18AUG17	S	4	PD16NOV17	2061.55	2120.07	USD	-5,852.00	
	NET	0AVG BGHT 2093.05	SOLD 2061.75				-8,610.00	0.00
17AUG17	B	1	PD17NOV17	2082.00	2120.38	USD	959.50	
17AUG17	B	1	PD17NOV17	2080.50	2120.38	USD	997.00	
17AUG17	B	1	PD17NOV17	2074.50	2120.38	USD	1,147.00	
17AUG17	B	1	PD17NOV17	2079.00	2120.38	USD	1,034.50	
17AUG17	B	1	PD17NOV17	2080.00	2120.38	USD	1,009.50	
18AUG17	B	1	PD17NOV17	2074.00	2120.38	USD	1,159.50	
18AUG17	B	1	PD17NOV17	2074.50	2120.38	USD	1,147.00	
18AUG17	B	1	PD17NOV17	2086.50	2120.38	USD	847.00	
18AUG17	B	1	PD17NOV17	2084.50	2120.38	USD	897.00	
18AUG17	B	1	PD17NOV17	2088.50	2120.38	USD	797.00	
18AUG17	B	3	PD17NOV17	2088.00	2120.38	USD	2,428.50	
18AUG17	B	1	PD17NOV17	2063.00	2120.38	USD	1,434.50	
18AUG17	S	8	PD17NOV17	2061.80	2120.38	USD	-11,716.00	
22AUG17	S	1	PD17NOV17	2073.96	2120.38	USD	-1,160.50	
23AUG17	S	2	PD17NOV17	2095.91	2120.38	USD	-1,223.50	
25AUG17	S	3	PD17NOV17	2068.05	2120.38	USD	-3,924.75	
	NET	0AVG BGHT 2080.79	SOLD 2068.88				-4,166.75	0.00
21AUG17	S	1	PD21NOV17	2096.50	2121.65	USD	-628.75	
22AUG17	B	1	PD21NOV17	2074.99	2121.65	USD	1,166.50	
	NET	0AVG BGHT 2074.99	SOLD 2096.50				537.75	0.00
22AUG17	B	1	PD22NOV17	2073.00	2121.96	USD	1,224.00	
22AUG17	S	2	PD22NOV17	2078.50	2121.96	USD	-2,173.00	
22AUG17	S	1	PD22NOV17	2081.50	2121.96	USD	-1,011.50	
22AUG17	S	1	PD22NOV17	2082.50	2121.96	USD	-986.50	
22AUG17	S	1	PD22NOV17	2084.00	2121.96	USD	-949.00	
22AUG17	S	1	PD22NOV17	2083.50	2121.96	USD	-961.50	
22AUG17	B	1	PD22NOV17	2076.50	2121.96	USD	1,136.50	
22AUG17	B	2	PD22NOV17	2078.00	2121.96	USD	2,198.00	
23AUG17	B	2	PD22NOV17	2096.89	2121.96	USD	1,253.50	
	NET	0AVG BGHT 2083.21	SOLD 2081.42				-269.50	0.00
23AUG17	B	1	PD24NOV17	2058.50	2122.60	USD	1,602.50	
23AUG17	B	1	PD24NOV17	2059.00	2122.60	USD	1,590.00	
23AUG17	S	1	PD24NOV17	2075.50	2122.60	USD	-1,177.50	
23AUG17	S	1	PD24NOV17	2077.00	2122.60	USD	-1,140.00	
23AUG17	S	1	PD24NOV17	2078.50	2122.60	USD	-1,102.50	
23AUG17	S	1	PD24NOV17	2082.50	2122.60	USD	-1,002.50	
23AUG17	S	1	PD24NOV17	2089.50	2122.60	USD	-827.50	
23AUG17	S	1	PD24NOV17	2091.50	2122.60	USD	-777.50	
23AUG17	S	1	PD24NOV17	2094.00	2122.60	USD	-715.00	
23AUG17	S	1	PD24NOV17	2097.50	2122.60	USD	-627.50	
23AUG17	S	1	PD24NOV17	2096.50	2122.60	USD	-652.50	
23AUG17	B	2	PD24NOV17	2097.20	2122.60	USD	1,270.00	
24AUG17	B	2	PD24NOV17	2101.00	2122.60	USD	1,080.00	
24AUG17	B	1	PD24NOV17	2103.50	2122.60	USD	477.50	
24AUG17	B	1	PD24NOV17	2091.50	2122.60	USD	777.50	
24AUG17	B	1	PD24NOV17	2092.50	2122.60	USD	752.50	
24AUG17	B	1	PD24NOV17	2093.50	2122.60	USD	727.50	
24AUG17	S	1	PD24NOV17	2118.50	2122.60	USD	-102.50	
24AUG17	S	1	PD24NOV17	2120.50	2122.60	USD	-52.50	

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24AUG17	B	1	PD24NOV17	2100.00	2122.60	USD	565.00	
24AUG17	B	1	PD24NOV17	2098.50	2122.60	USD	602.50	
25AUG17	B	1	PD24NOV17	2080.00	2122.60	USD	1,065.00	
25AUG17	B	1	PD24NOV17	2070.00	2122.60	USD	1,315.00	
25AUG17	B	1	PD24NOV17	2078.50	2122.60	USD	1,102.50	
25AUG17	B	1	PD24NOV17	2079.00	2122.60	USD	1,090.00	
25AUG17	B	1	PD24NOV17	2067.00	2122.60	USD	1,390.00	
25AUG17	B	1	PD24NOV17	2069.00	2122.60	USD	1,340.00	
25AUG17	S	1	PD24NOV17	2069.80	2122.60	USD	-1,320.00	
25AUG17	S	1	PD24NOV17	2072.00	2122.60	USD	-1,265.00	
25AUG17	S	1	PD24NOV17	2073.00	2122.60	USD	-1,240.00	
05SEP17	S	4	PD24NOV17	2093.20	2122.60	USD	-2,940.00	
	NET	0 AVG	BGHT 2085.38	SOLD 2089.39			1,805.00	0.00
29AUG17	B	1	PD29NOV17	2078.50	2124.18	USD	1,142.00	
29AUG17	B	1	PD29NOV17	2079.00	2124.18	USD	1,129.50	
29AUG17	B	1	PD29NOV17	2080.00	2124.18	USD	1,104.50	
29AUG17	S	1	PD29NOV17	2092.00	2124.18	USD	-804.50	
29AUG17	S	1	PD29NOV17	2095.50	2124.18	USD	-717.00	
29AUG17	S	1	PD29NOV17	2099.50	2124.18	USD	-617.00	
	NET	0 AVG	BGHT 2079.17	SOLD 2095.67			1,237.50	0.00
30AUG17	B	1	PD30NOV17	2091.50	2124.49	USD	824.75	
30AUG17	B	1	PD30NOV17	2092.50	2124.49	USD	799.75	
30AUG17	S	1	PD30NOV17	2095.00	2124.49	USD	-737.25	
30AUG17	S	1	PD30NOV17	2091.00	2124.49	USD	-837.25	
30AUG17	S	1	PD30NOV17	2092.50	2124.49	USD	-799.75	
30AUG17	S	1	PD30NOV17	2094.50	2124.49	USD	-749.75	
30AUG17	B	1	PD30NOV17	2090.50	2124.49	USD	849.75	
31AUG17	B	1	PD30NOV17	2130.00	2124.49	USD	-137.75	
31AUG17	B	1	PD30NOV17	2130.50	2124.49	USD	-150.25	
05SEP17	S	1	PD30NOV17	2094.62	2124.49	USD	-746.75	
	NET	0 AVG	BGHT 2107.00	SOLD 2093.52			-1,684.50	0.00
01SEP17	S	1	PD01DEC17	2141.00	2124.81	USD	404.75	
01SEP17	S	1	PD01DEC17	2140.00	2124.81	USD	379.75	
12SEP17	B	2	PD01DEC17	2133.59	2124.81	USD	-439.00	
	NET	0 AVG	BGHT 2133.59	SOLD 2140.50			345.50	0.00
04SEP17	B	1	PD04DEC17	2125.00	2125.76	USD	19.00	
04SEP17	B	1	PD04DEC17	2126.50	2125.76	USD	-18.50	
04SEP17	B	1	PD04DEC17	2120.00	2125.76	USD	144.00	
04SEP17	B	1	PD04DEC17	2121.50	2125.76	USD	106.50	
04SEP17	B	1	PD04DEC17	2122.00	2125.76	USD	94.00	
04SEP17	B	1	PD04DEC17	2126.00	2125.76	USD	-6.00	
04SEP17	B	1	PD04DEC17	2119.00	2125.76	USD	169.00	
04SEP17	B	1	PD04DEC17	2119.50	2125.76	USD	156.50	
04SEP17	B	1	PD04DEC17	2109.00	2125.76	USD	419.00	
04SEP17	B	1	PD04DEC17	2111.00	2125.76	USD	369.00	
04SEP17	B	2	PD04DEC17	2117.50	2125.76	USD	413.00	
04SEP17	B	1	PD04DEC17	2117.00	2125.76	USD	219.00	
05SEP17	S	2	PD04DEC17	2095.56	2125.76	USD	-1,510.00	
08SEP17	S	11	PD04DEC17	2097.80	2125.76	USD	-7,689.00	
	NET	0 AVG	BGHT 2119.35	SOLD 2097.46			-7,114.50	0.00
05SEP17	B	1	PD05DEC17	2105.50	2126.07	USD	514.25	
05SEP17	B	2	PD05DEC17	2108.50	2126.07	USD	878.50	
05SEP17	B	1	PD05DEC17	2096.50	2126.07	USD	739.25	
08SEP17	S	4	PD05DEC17	2098.05	2126.07	USD	-2,802.00	
	NET	0 AVG	BGHT 2104.75	SOLD 2098.05			-670.00	0.00

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06SEP17	B	2	PD06DEC17	2073.00	2126.39	USD	2,669.50	
06SEP17	S	2	PD06DEC17	2106.00	2126.39	USD	-1,019.50	
06SEP17	S	1	PD06DEC17	2108.50	2126.39	USD	-447.25	
06SEP17	S	2	PD06DEC17	2110.50	2126.39	USD	-794.50	
12SEP17	B	1	PD06DEC17	2135.14	2126.39	USD	-218.75	
27SEP17	B	2	PD06DEC17	2125.30	2126.39	USD	54.50	
	NET	0 AVG	BGHT 2106.35	SOLD 2108.30			244.00	0.00
07SEP17	S	3	PD07DEC17	2107.00	2126.71	USD	-1,478.25	
07SEP17	B	1	PD07DEC17	2090.00	2126.71	USD	917.75	
07SEP17	B	1	PD07DEC17	2093.00	2126.71	USD	842.75	
07SEP17	S	2	PD07DEC17	2093.00	2126.71	USD	-1,685.50	
27SEP17	B	3	PD07DEC17	2125.60	2126.71	USD	83.25	
	NET	0 AVG	BGHT 2111.96	SOLD 2101.40			-1,320.00	0.00
08SEP17	B	1	PD08DEC17	2092.00	2127.02	USD	875.50	
08SEP17	B	2	PD08DEC17	2090.00	2127.02	USD	1,851.00	
12SEP17	S	3	PD08DEC17	2135.56	2127.02	USD	640.50	
	NET	0 AVG	BGHT 2090.67	SOLD 2135.56			3,367.00	0.00
11SEP17	S	1	PD11DEC17	2135.00	2127.97	USD	175.75	
11SEP17	S	1	PD11DEC17	2133.00	2127.97	USD	125.75	
11SEP17	S	1	PD11DEC17	2129.50	2127.97	USD	38.25	
11SEP17	S	1	PD11DEC17	2127.50	2127.97	USD	-11.75	
11SEP17	S	1	PD11DEC17	2128.00	2127.97	USD	0.75	
11SEP17	S	2	PD11DEC17	2128.50	2127.97	USD	26.50	
11SEP17	S	2	PD11DEC17	2127.00	2127.97	USD	-48.50	
11SEP17	B	1	PD11DEC17	2117.50	2127.97	USD	261.75	
11SEP17	B	2	PD11DEC17	2114.50	2127.97	USD	673.50	
11SEP17	B	1	PD11DEC17	2119.50	2127.97	USD	211.75	
11SEP17	B	2	PD11DEC17	2123.50	2127.97	USD	223.50	
11SEP17	B	1	PD11DEC17	2124.50	2127.97	USD	86.75	
11SEP17	B	1	PD11DEC17	2117.00	2127.97	USD	274.25	
11SEP17	B	2	PD11DEC17	2118.00	2127.97	USD	498.50	
11SEP17	B	3	PD11DEC17	2119.00	2127.97	USD	672.75	
11SEP17	B	3	PD11DEC17	2122.00	2127.97	USD	447.75	
11SEP17	B	1	PD11DEC17	2120.50	2127.97	USD	186.75	
14SEP17	S	8	PD11DEC17	2097.00	2127.97	USD	-6,194.00	
	NET	0 AVG	BGHT 2119.65	SOLD 2114.12			-2,350.00	0.00
12SEP17	B	1	PD12DEC17	2119.50	2128.29	USD	219.75	
12SEP17	B	1	PD12DEC17	2120.00	2128.29	USD	207.25	
14SEP17	S	2	PD12DEC17	2097.27	2128.29	USD	-1,551.00	
	NET	0 AVG	BGHT 2119.75	SOLD 2097.27			-1,124.00	0.00
13SEP17	B	1	PD13DEC17	2117.50	2128.60	USD	277.50	
13SEP17	B	1	PD13DEC17	2118.50	2128.60	USD	252.50	
13SEP17	B	2	PD13DEC17	2119.00	2128.60	USD	480.00	
13SEP17	B	1	PD13DEC17	2116.50	2128.60	USD	302.50	
13SEP17	B	2	PD13DEC17	2118.00	2128.60	USD	530.00	
13SEP17	B	1	PD13DEC17	2108.00	2128.60	USD	515.00	
13SEP17	S	1	PD13DEC17	2108.00	2128.60	USD	-515.00	
27SEP17	S	5	PD13DEC17	2127.20	2128.60	USD	-175.00	
27SEP17	S	2	PD13DEC17	2127.40	2128.60	USD	-60.00	
	NET	0 AVG	BGHT 2116.81	SOLD 2124.85			1,607.50	0.00
14SEP17	S	2	PD14DEC17	2125.00	2128.92	USD	-196.00	
14SEP17	S	2	PD14DEC17	2125.50	2128.92	USD	-171.00	
14SEP17	S	3	PD14DEC17	2126.50	2128.92	USD	-181.50	
14SEP17	B	1	PD14DEC17	2093.50	2128.92	USD	885.50	
14SEP17	B	1	PD14DEC17	2100.50	2128.92	USD	710.50	

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	<u>LME ALUMINIUM 25 TONNES. THIS IS AN</u>							
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14SEP17	B	1	PD14DEC17	2092.50	2128.92	USD	910.50	
14SEP17	B	1	PD14DEC17	2094.00	2128.92	USD	873.00	
27SEP17	B	2	PD14DEC17	2127.90	2128.92	USD	51.00	
27SEP17	B	1	PD14DEC17	2127.70	2128.92	USD	30.50	
	NET	0 AVG	BGHT 2109.14	SOLD 2125.79			2,912.50	0.00
15SEP17	B	1	PD15DEC17	2090.00	2129.24	USD	981.00	
15SEP17	B	1	PD15DEC17	2090.50	2129.24	USD	968.50	
15SEP17	B	1	PD15DEC17	2094.00	2129.24	USD	881.00	
15SEP17	B	1	PD15DEC17	2092.50	2129.24	USD	918.50	
15SEP17	B	1	PD15DEC17	2086.50	2129.24	USD	1,068.50	
15SEP17	B	1	PD15DEC17	2087.50	2129.24	USD	1,043.50	
15SEP17	B	2	PD15DEC17	2083.50	2129.24	USD	2,287.00	
15SEP17	B	1	PD15DEC17	2084.50	2129.24	USD	1,118.50	
15SEP17	B	1	PD15DEC17	2085.50	2129.24	USD	1,093.50	
15SEP17	B	1	PD15DEC17	2073.50	2129.24	USD	1,393.50	
27SEP17	S	1	PD15DEC17	2127.80	2129.24	USD	-36.00	
27SEP17	S	10	PD15DEC17	2128.00	2129.24	USD	-310.00	
	NET	0 AVG	BGHT 2086.50	SOLD 2127.98			11,407.50	0.00
18SEP17	B	1	PD18DEC17	2090.50	2130.18	USD	992.00	
18SEP17	B	1	PD18DEC17	2100.00	2130.18	USD	754.50	
18SEP17	S	1	PD18DEC17	2096.50	2130.18	USD	-842.00	
18SEP17	S	1	PD18DEC17	2091.50	2130.18	USD	-967.00	
18SEP17	S	1	PD18DEC17	2091.00	2130.18	USD	-979.50	
18SEP17	S	1	PD18DEC17	2092.00	2130.18	USD	-954.50	
27SEP17	B	2	PD18DEC17	2129.10	2130.18	USD	54.00	
	NET	0 AVG	BGHT 2112.18	SOLD 2092.75			-1,942.50	0.00
19SEP17	S	1	PD19DEC17	2106.50	2130.50	USD	-600.00	
19SEP17	S	1	PD19DEC17	2107.00	2130.50	USD	-587.50	
19SEP17	S	2	PD19DEC17	2108.50	2130.50	USD	-1,100.00	
19SEP17	S	1	PD19DEC17	2110.00	2130.50	USD	-512.50	
19SEP17	S	1	PD19DEC17	2104.00	2130.50	USD	-662.50	
19SEP17	S	1	PD19DEC17	2112.00	2130.50	USD	-462.50	
19SEP17	S	1	PD19DEC17	2118.00	2130.50	USD	-312.50	
19SEP17	S	1	PD19DEC17	2121.50	2130.50	USD	-225.00	
19SEP17	S	2	PD19DEC17	2122.00	2130.50	USD	-425.00	
19SEP17	S	5	PD19DEC17	2121.00	2130.50	USD	-1,187.50	
19SEP17	S	1	PD19DEC17	2123.50	2130.50	USD	-175.00	
19SEP17	S	1	PD19DEC17	2129.50	2130.50	USD	-25.00	
19SEP17	S	1	PD19DEC17	2130.00	2130.50	USD	-12.50	
19SEP17	S	1	PD19DEC17	2133.50	2130.50	USD	75.00	
19SEP17	S	1	PD19DEC17	2134.00	2130.50	USD	87.50	
19SEP17	B	1	PD19DEC17	2128.50	2130.50	USD	50.00	
27SEP17	B	8	PD19DEC17	2129.40	2130.50	USD	220.00	
27SEP17	B	9	PD19DEC17	2129.20	2130.50	USD	292.50	
10OCT17	B	3	PD19DEC17	2159.75	2130.50	USD	-2,193.75	
	NET	0 AVG	BGHT 2133.61	SOLD 2118.83			-7,756.25	0.00
20SEP17	B	1	PD20DEC17	2158.00	2130.50	USD	-687.50	
20SEP17	B	1	PD20DEC17	2171.00	2130.50	USD	-1,012.50	
27SEP17	S	2	PD20DEC17	2129.30	2130.50	USD	-60.00	
	NET	0 AVG	BGHT 2164.50	SOLD 2129.30			-1,760.00	0.00
21SEP17	S	2	PD21DEC17	2170.00	2130.50	USD	1,975.00	
21SEP17	S	2	PD21DEC17	2171.00	2130.50	USD	2,025.00	
10OCT17	B	4	PD21DEC17	2159.75	2130.50	USD	-2,925.00	
	NET	0 AVG	BGHT 2159.75	SOLD 2170.50			1,075.00	0.00
22SEP17	S	2	PD22DEC17	2151.50	2130.50	USD	1,050.00	
22SEP17	B	1	PD22DEC17	2163.00	2130.50	USD	-812.50	

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	<u>LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.</u>							
22SEP17	B	1	PD22DEC17	2163.50	2130.50	USD	-825.00	
22SEP17	B	1	PD22DEC17	2161.00	2130.50	USD	-762.50	
22SEP17	B	2	PD22DEC17	2162.00	2130.50	USD	-1,575.00	
27SEP17	S	3	PD22DEC17	2129.87	2130.50	USD	-47.25	
	NET	0 AVG BGHT	2162.30				-2,972.25	0.00
25SEP17	B	2	PD27DEC17	2153.00	2131.04	USD	-1,098.00	
25SEP17	B	1	PD27DEC17	2145.00	2131.04	USD	-349.00	
25SEP17	B	1	PD27DEC17	2144.00	2131.04	USD	-324.00	
27SEP17	B	1	PD27DEC17	2125.00	2131.04	USD	151.00	
27SEP17	S	4	PD27DEC17	2131.30	2131.04	USD	26.00	
10OCT17	S	1	PD27DEC17	2160.09	2131.04	USD	726.25	
	NET	0 AVG BGHT	2144.00				-867.75	0.00
28SEP17	B	1	PD28DEC17	2124.00	2131.14	USD	178.50	
28SEP17	B	1	PD28DEC17	2123.50	2131.14	USD	191.00	
28SEP17	B	1	PD28DEC17	2118.50	2131.14	USD	316.00	
28SEP17	B	1	PD28DEC17	2121.00	2131.14	USD	253.50	
28SEP17	B	1	PD28DEC17	2137.50	2131.14	USD	-159.00	
29SEP17	S	3	PD28DEC17	2101.52	2131.14	USD	-2,221.50	
10OCT17	S	2	PD28DEC17	2160.19	2131.14	USD	1,452.50	
	NET	0 AVG BGHT	2124.90				11.00	0.00
29SEP17	B	1	PD29DEC17	2130.50	2131.25	USD	18.75	
29SEP17	B	1	PD29DEC17	2132.00	2131.25	USD	-18.75	
29SEP17	B	1	PD29DEC17	2132.50	2131.25	USD	-31.25	
29SEP17	B	1	PD29DEC17	2129.50	2131.25	USD	43.75	
29SEP17	B	1	PD29DEC17	2127.00	2131.25	USD	106.25	
29SEP17	B	1	PD29DEC17	2127.50	2131.25	USD	93.75	
29SEP17	B	1	PD29DEC17	2134.00	2131.25	USD	-68.75	
29SEP17	B	2	PD29DEC17	2131.00	2131.25	USD	12.50	
29SEP17	B	1	PD29DEC17	2129.00	2131.25	USD	56.25	
29SEP17	B	1	PD29DEC17	2130.00	2131.25	USD	31.25	
10OCT17	S	4	PD29DEC17	2160.30	2131.25	USD	2,905.00	
10OCT17	S	7	PD29DEC17	2160.50	2131.25	USD	5,118.75	
	NET	0 AVG BGHT	2130.36				8,267.50	0.00
03OCT17	S	3	PD03JAN18	2127.00	2132.05	USD	-378.75	
03OCT17	S	6	PD03JAN18	2126.50	2132.05	USD	-832.50	
10OCT17	B	7	PD03JAN18	2161.74	2132.05	USD	-5,195.75	
10OCT17	B	2	PD03JAN18	2161.54	2132.05	USD	-1,474.50	
	NET	0 AVG BGHT	2161.70				-7,881.50	0.00
04OCT17	B	1	PD04JAN18	2157.50	2132.21	USD	-632.25	
04OCT17	B	1	PD04JAN18	2158.00	2132.21	USD	-644.75	
04OCT17	B	1	PD04JAN18	2158.50	2132.21	USD	-657.25	
04OCT17	B	1	PD04JAN18	2159.00	2132.21	USD	-669.75	
10OCT17	S	2	PD04JAN18	2161.55	2132.21	USD	1,467.00	
12OCT17	S	2	PD04JAN18	2144.87	2132.21	USD	633.00	
	NET	0 AVG BGHT	2158.25				-504.00	0.00
05OCT17	B	1	PD05JAN18	2147.50	2132.38	USD	-378.00	
12OCT17	S	1	PD05JAN18	2145.05	2132.38	USD	316.75	
	NET	0 AVG BGHT	2147.50				-61.25	0.00
09OCT17	S	2	PD09JAN18	2167.50	2133.02	USD	1,724.00	
09OCT17	S	1	PD09JAN18	2168.00	2133.02	USD	874.50	
09OCT17	S	1	PD09JAN18	2168.50	2133.02	USD	887.00	
09OCT17	B	4	PD09JAN18	2173.70	2133.02	USD	-4,068.00	
	NET	0 AVG BGHT	2173.70				-582.50	0.00
10OCT17	B	1	PD10JAN18	2158.50	2133.18	USD	-633.00	
12OCT17	S	1	PD10JAN18	2145.94	2133.18	USD	319.00	

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	<u>LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.</u>					
	NET 0AVG BGHT 2158.50 SOLD 2145.94				-314.00	0.00
13OCT17	S 1 PD12JAN18	2160.00	2133.50	USD	662.50	
13OCT17	S 1 PD12JAN18	2160.50	2133.50	USD	675.00	
13OCT17	B 1 PD12JAN18	2133.50	2133.50	USD	0.00	
	NET -1AVG BGHT 2133.50 SOLD 2160.25				1,337.50	0.00
	<u>CMX COPPER 25,000 CMX</u>					
05OCT17	S 1 DEC17	304.600	313.350	USD	-2,187.50	
05OCT17	S 1 DEC17	304.850	313.350	USD	-2,125.00	
05OCT17	S 2 DEC17	304.750	313.350	USD	-4,300.00	
05OCT17	S 1 DEC17	304.700	313.350	USD	-2,162.50	
	NET -5AVG SOLD 304.730				-10,775.00	0.00
	<u>CMX GOLD 100 CMX</u>					
12OCT17	B 1 DEC17	1294.9	1304.6	USD	970.00	
	NET +1AVG BGHT 1294.9				970.00	0.00
	<u>LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
17JUL17	B 1 PD17OCT17	2323.50	2518.25	USD	4,868.75	
17JUL17	S 1 PD17OCT17	2321.00	2518.25	USD	-4,931.25	
17JUL17	B 1 PD17OCT17	2322.00	2518.25	USD	4,906.25	
17JUL17	B 1 PD17OCT17	2295.00	2518.25	USD	5,581.25	
18JUL17	S 2 PD17OCT17	2274.63	2518.25	USD	-12,181.00	
	NET 0AVG BGHT 2313.50 SOLD 2290.09				-1,756.00	0.00
18JUL17	B 2 PD18OCT17	2282.00	2518.75	USD	11,837.50	
18JUL17	B 6 PD18OCT17	2279.50	2518.75	USD	35,887.50	
18JUL17	B 2 PD18OCT17	2280.50	2518.75	USD	11,912.50	
18JUL17	B 3 PD18OCT17	2278.00	2518.75	USD	18,056.25	
18JUL17	B 2 PD18OCT17	2274.00	2518.75	USD	12,237.50	
18JUL17	B 1 PD18OCT17	2275.00	2518.75	USD	6,093.75	
18JUL17	B 1 PD18OCT17	2276.00	2518.75	USD	6,068.75	
18JUL17	B 1 PD18OCT17	2278.50	2518.75	USD	6,006.25	
18JUL17	B 1 PD18OCT17	2279.00	2518.75	USD	5,993.75	
18JUL17	B 1 PD18OCT17	2265.50	2518.75	USD	6,331.25	
18JUL17	B 1 PD18OCT17	2269.00	2518.75	USD	6,243.75	
18JUL17	S 8 PD18OCT17	2275.35	2518.75	USD	-48,680.00	
18JUL17	S 11 PD18OCT17	2274.80	2518.75	USD	-67,086.25	
19JUL17	S 2 PD18OCT17	2217.30	2518.75	USD	-15,072.50	
	NET 0AVG BGHT 2277.48 SOLD 2269.53				-4,170.00	0.00
19JUL17	S 2 PD19OCT17	2218.50	2518.90	USD	-15,020.00	
19JUL17	S 1 PD19OCT17	2217.50	2518.90	USD	-7,535.00	
19JUL17	S 1 PD19OCT17	2210.50	2518.90	USD	-7,710.00	
21JUL17	B 4 PD19OCT17	2239.63	2518.90	USD	27,927.00	
	NET 0AVG BGHT 2239.63 SOLD 2216.25				-2,338.00	0.00
20JUL17	S 1 PD20OCT17	2225.50	2519.05	USD	-7,338.75	
20JUL17	S 1 PD20OCT17	2227.00	2519.05	USD	-7,301.25	
20JUL17	S 1 PD20OCT17	2223.50	2519.05	USD	-7,388.75	
20JUL17	S 2 PD20OCT17	2227.50	2519.05	USD	-14,577.50	
20JUL17	B 1 PD20OCT17	2204.50	2519.05	USD	7,863.75	
20JUL17	B 2 PD20OCT17	2211.50	2519.05	USD	15,377.50	
20JUL17	B 3 PD20OCT17	2216.00	2519.05	USD	22,728.75	
20JUL17	B 1 PD20OCT17	2216.50	2519.05	USD	7,563.75	
20JUL17	B 2 PD20OCT17	2217.00	2519.05	USD	15,102.50	
21JUL17	B 1 PD20OCT17	2226.50	2519.05	USD	7,313.75	
21JUL17	B 1 PD20OCT17	2227.50	2519.05	USD	7,288.75	
21JUL17	B 1 PD20OCT17	2228.50	2519.05	USD	7,263.75	

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21JUL17	B	1	PD200CT17	2229.50	2519.05	USD	7,238.75	
21JUL17	S	1	PD200CT17	2237.00	2519.05	USD	-7,051.25	
21JUL17	S	1	PD200CT17	2241.50	2519.05	USD	-6,938.75	
21JUL17	S	4	PD200CT17	2239.30	2519.05	USD	-27,975.00	
26JUL17	S	2	PD200CT17	2312.36	2519.05	USD	-10,334.50	
	NET	0AVG BGHT 2218.31	SOLD 2245.49				8,835.50	0.00
25JUL17	B	1	PD250CT17	2273.50	2519.80	USD	6,157.50	
25JUL17	S	1	PD250CT17	2273.00	2519.80	USD	-6,170.00	
25JUL17	S	1	PD250CT17	2280.50	2519.80	USD	-5,982.50	
25JUL17	S	1	PD250CT17	2283.00	2519.80	USD	-5,920.00	
25JUL17	S	1	PD250CT17	2284.50	2519.80	USD	-5,882.50	
25JUL17	S	1	PD250CT17	2286.50	2519.80	USD	-5,832.50	
25JUL17	S	1	PD250CT17	2299.50	2519.80	USD	-5,507.50	
25JUL17	S	1	PD250CT17	2300.50	2519.80	USD	-5,482.50	
25JUL17	S	1	PD250CT17	2292.50	2519.80	USD	-5,682.50	
25JUL17	S	3	PD250CT17	2293.00	2519.80	USD	-17,010.00	
25JUL17	S	1	PD250CT17	2293.50	2519.80	USD	-5,657.50	
25JUL17	S	1	PD250CT17	2295.00	2519.80	USD	-5,620.00	
25JUL17	S	1	PD250CT17	2313.50	2519.80	USD	-5,157.50	
25JUL17	S	1	PD250CT17	2315.50	2519.80	USD	-5,107.50	
25JUL17	S	1	PD250CT17	2314.00	2519.80	USD	-5,145.00	
25JUL17	S	1	PD250CT17	2318.00	2519.80	USD	-5,045.00	
25JUL17	B	7	PD250CT17	2318.20	2519.80	USD	35,280.00	
25JUL17	S	1	PD250CT17	2318.50	2519.80	USD	-5,032.50	
25JUL17	S	2	PD250CT17	2316.00	2519.80	USD	-10,190.00	
26JUL17	B	12	PD250CT17	2313.09	2519.80	USD	62,013.00	
	NET	0AVG BGHT 2312.90	SOLD 2298.95				-6,974.50	0.00
26JUL17	S	1	PD260CT17	2316.00	2519.95	USD	-5,098.75	
26JUL17	S	1	PD260CT17	2316.50	2519.95	USD	-5,086.25	
26JUL17	S	1	PD260CT17	2317.50	2519.95	USD	-5,061.25	
26JUL17	S	3	PD260CT17	2319.50	2519.95	USD	-15,033.75	
26JUL17	S	1	PD260CT17	2321.00	2519.95	USD	-4,973.75	
26JUL17	S	1	PD260CT17	2314.50	2519.95	USD	-5,136.25	
26JUL17	S	1	PD260CT17	2319.00	2519.95	USD	-5,023.75	
26JUL17	S	1	PD260CT17	2326.00	2519.95	USD	-4,848.75	
26JUL17	S	1	PD260CT17	2327.00	2519.95	USD	-4,823.75	
26JUL17	S	1	PD260CT17	2333.00	2519.95	USD	-4,673.75	
26JUL17	S	1	PD260CT17	2331.00	2519.95	USD	-4,723.75	
26JUL17	B	1	PD260CT17	2312.00	2519.95	USD	5,198.75	
26JUL17	S	1	PD260CT17	2311.00	2519.95	USD	-5,223.75	
26JUL17	S	1	PD260CT17	2312.00	2519.95	USD	-5,198.75	
26JUL17	B	14	PD260CT17	2313.20	2519.95	USD	72,362.50	
26JUL17	B	1	PD260CT17	2311.50	2519.95	USD	5,211.25	
26JUL17	S	1	PD260CT17	2309.00	2519.95	USD	-5,273.75	
26JUL17	S	1	PD260CT17	2309.50	2519.95	USD	-5,261.25	
26JUL17	S	1	PD260CT17	2310.00	2519.95	USD	-5,248.75	
26JUL17	B	1	PD260CT17	2308.50	2519.95	USD	5,286.25	
31JUL17	B	1	PD260CT17	2332.65	2519.95	USD	4,682.50	
	NET	0AVG BGHT 2313.86	SOLD 2318.42				2,051.25	0.00
27JUL17	S	1	PD270CT17	2326.00	2520.10	USD	-4,852.50	
27JUL17	S	1	PD270CT17	2332.00	2520.10	USD	-4,702.50	
27JUL17	S	3	PD270CT17	2326.50	2520.10	USD	-14,520.00	
27JUL17	B	1	PD270CT17	2303.00	2520.10	USD	5,427.50	
27JUL17	B	4	PD270CT17	2304.00	2520.10	USD	21,610.00	
28JUL17	B	1	PD270CT17	2320.00	2520.10	USD	5,002.50	
31JUL17	S	1	PD270CT17	2332.72	2520.10	USD	-4,684.50	

OPEN POSITION STATEMENT



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SAP0094A

13th October 2017

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backoffice@sapiencap.com

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	<u>LME LEAD 25 TONNES. THIS IS AN</u>							
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	NET	0 AVG BGHT 2306.50	SOLD 2328.37				3,280.50	0.00
31JUL17	B	1 PD31OCT17		2329.50	2520.70	USD	4,780.00	
31JUL17	B	2 PD31OCT17		2330.00	2520.70	USD	9,535.00	
31JUL17	B	1 PD31OCT17		2331.00	2520.70	USD	4,742.50	
31JUL17	B	1 PD31OCT17		2328.50	2520.70	USD	4,805.00	
31JUL17	S	4 PD31OCT17		2333.80	2520.70	USD	-18,690.00	
01AUG17	S	1 PD31OCT17		2337.69	2520.70	USD	-4,575.25	
	NET	0 AVG BGHT 2329.80	SOLD 2334.58				597.25	0.00
01AUG17	B	1 PD01NOV17		2316.00	2520.85	USD	5,121.25	
01AUG17	B	1 PD01NOV17		2317.00	2520.85	USD	5,096.25	
01AUG17	B	1 PD01NOV17		2313.50	2520.85	USD	5,183.75	
01AUG17	B	1 PD01NOV17		2309.00	2520.85	USD	5,296.25	
01AUG17	B	1 PD01NOV17		2312.00	2520.85	USD	5,221.25	
01AUG17	B	1 PD01NOV17		2308.50	2520.85	USD	5,308.75	
01AUG17	B	1 PD01NOV17		2307.00	2520.85	USD	5,346.25	
01AUG17	B	2 PD01NOV17		2307.50	2520.85	USD	10,667.50	
01AUG17	S	1 PD01NOV17		2325.50	2520.85	USD	-4,883.75	
01AUG17	S	1 PD01NOV17		2323.00	2520.85	USD	-4,946.25	
01AUG17	S	1 PD01NOV17		2335.50	2520.85	USD	-4,633.75	
01AUG17	S	2 PD01NOV17		2337.80	2520.85	USD	-9,152.50	
01AUG17	S	2 PD01NOV17		2336.00	2520.85	USD	-9,242.50	
04AUG17	S	2 PD01NOV17		2360.72	2520.85	USD	-8,006.50	
	NET	0 AVG BGHT 2310.89	SOLD 2339.23				6,376.00	0.00
02AUG17	S	1 PD02NOV17		2337.00	2521.00	USD	-4,600.00	
02AUG17	S	1 PD02NOV17		2335.50	2521.00	USD	-4,637.50	
02AUG17	S	1 PD02NOV17		2344.00	2521.00	USD	-4,425.00	
02AUG17	S	1 PD02NOV17		2344.50	2521.00	USD	-4,412.50	
02AUG17	S	1 PD02NOV17		2343.00	2521.00	USD	-4,450.00	
02AUG17	S	1 PD02NOV17		2360.50	2521.00	USD	-4,012.50	
02AUG17	S	1 PD02NOV17		2349.50	2521.00	USD	-4,287.50	
02AUG17	S	2 PD02NOV17		2359.50	2521.00	USD	-8,075.00	
02AUG17	S	1 PD02NOV17		2359.00	2521.00	USD	-4,050.00	
04AUG17	B	10 PD02NOV17		2361.06	2521.00	USD	39,985.00	
29SEP17	S	6 PD02NOV17		2492.15	2521.00	USD	-4,327.50	
	NET	-6 AVG BGHT 2361.06	SOLD 2402.81				-7,292.50	0.00
03AUG17	S	1 PD03NOV17		2374.50	2521.13	USD	-3,665.75	
03AUG17	S	1 PD03NOV17		2367.50	2521.13	USD	-3,840.75	
03AUG17	S	1 PD03NOV17		2369.50	2521.13	USD	-3,790.75	
03AUG17	S	1 PD03NOV17		2375.00	2521.13	USD	-3,653.25	
03AUG17	B	1 PD03NOV17		2350.50	2521.13	USD	4,265.75	
03AUG17	B	1 PD03NOV17		2356.00	2521.13	USD	4,128.25	
03AUG17	B	1 PD03NOV17		2352.50	2521.13	USD	4,215.75	
03AUG17	S	1 PD03NOV17		2362.00	2521.13	USD	-3,978.25	
03AUG17	S	1 PD03NOV17		2363.50	2521.13	USD	-3,940.75	
03AUG17	B	1 PD03NOV17		2363.00	2521.13	USD	3,953.25	
04AUG17	S	2 PD03NOV17		2363.50	2521.13	USD	-7,881.50	
04AUG17	S	1 PD03NOV17		2366.00	2521.13	USD	-3,878.25	
04AUG17	S	1 PD03NOV17		2374.50	2521.13	USD	-3,665.75	
04AUG17	S	1 PD03NOV17		2372.50	2521.13	USD	-3,715.75	
04AUG17	S	1 PD03NOV17		2373.00	2521.13	USD	-3,703.25	
04AUG17	B	1 PD03NOV17		2366.00	2521.13	USD	3,878.25	
04AUG17	S	1 PD03NOV17		2367.50	2521.13	USD	-3,840.75	
04AUG17	B	1 PD03NOV17		2351.50	2521.13	USD	4,240.75	
04AUG17	B	1 PD03NOV17		2352.50	2521.13	USD	4,215.75	
04AUG17	B	1 PD03NOV17		2349.50	2521.13	USD	4,290.75	
04AUG17	B	1 PD03NOV17		2350.00	2521.13	USD	4,278.25	

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04AUG17	B	1	PD03NOV17	2353.50	2521.13	USD	4,190.75	
04AUG17	S	2	PD03NOV17	2363.00	2521.13	USD	-7,906.50	
04AUG17	S	1	PD03NOV17	2365.50	2521.13	USD	-3,890.75	
04AUG17	B	1	PD03NOV17	2357.50	2521.13	USD	4,090.75	
04AUG17	B	1	PD03NOV17	2356.00	2521.13	USD	4,128.25	
04AUG17	B	1	PD03NOV17	2354.50	2521.13	USD	4,165.75	
04AUG17	B	2	PD03NOV17	2354.00	2521.13	USD	8,356.50	
04AUG17	B	1	PD03NOV17	2353.00	2521.13	USD	4,203.25	
04AUG17	B	2	PD03NOV17	2361.20	2521.13	USD	7,996.50	
04AUG17	S	1	PD03NOV17	2357.50	2521.13	USD	-4,090.75	
07AUG17	S	1	PD03NOV17	2360.31	2521.13	USD	-4,020.50	
	NET	0 AVG BGHT 2355.36	SOLD 2366.77				5,135.25	0.00
07AUG17	S	1	PD07NOV17	2354.50	2521.67	USD	-4,179.25	
07AUG17	S	1	PD07NOV17	2358.50	2521.67	USD	-4,079.25	
07AUG17	S	1	PD07NOV17	2359.50	2521.67	USD	-4,054.25	
07AUG17	S	1	PD07NOV17	2368.50	2521.67	USD	-3,829.25	
07AUG17	S	1	PD07NOV17	2369.00	2521.67	USD	-3,816.75	
07AUG17	S	1	PD07NOV17	2373.00	2521.67	USD	-3,716.75	
07AUG17	S	1	PD07NOV17	2375.50	2521.67	USD	-3,654.25	
07AUG17	S	2	PD07NOV17	2375.00	2521.67	USD	-7,333.50	
07AUG17	B	1	PD07NOV17	2362.00	2521.67	USD	3,991.75	
07AUG17	B	1	PD07NOV17	2364.50	2521.67	USD	3,929.25	
07AUG17	S	1	PD07NOV17	2363.00	2521.67	USD	-3,966.75	
07AUG17	B	2	PD07NOV17	2363.00	2521.67	USD	7,933.50	
07AUG17	B	6	PD07NOV17	2361.20	2521.67	USD	24,070.50	
	NET	0 AVG BGHT 2361.97	SOLD 2367.15				1,295.00	0.00
08AUG17	B	1	PD08NOV17	2353.00	2521.81	USD	4,220.25	
08AUG17	B	1	PD08NOV17	2355.50	2521.81	USD	4,157.75	
08AUG17	B	1	PD08NOV17	2349.50	2521.81	USD	4,307.75	
08AUG17	B	1	PD08NOV17	2350.50	2521.81	USD	4,282.75	
08AUG17	B	1	PD08NOV17	2384.00	2521.81	USD	3,445.25	
08AUG17	S	8	PD08NOV17	2382.80	2521.81	USD	-27,802.00	
08AUG17	B	1	PD08NOV17	2390.00	2521.81	USD	3,295.25	
10AUG17	B	2	PD08NOV17	2365.58	2521.81	USD	7,811.50	
	NET	0 AVG BGHT 2364.21	SOLD 2382.80				3,718.50	0.00
09AUG17	B	1	PD09NOV17	2397.50	2521.94	USD	3,111.00	
09AUG17	B	1	PD09NOV17	2398.00	2521.94	USD	3,098.50	
09AUG17	B	2	PD09NOV17	2392.50	2521.94	USD	6,472.00	
09AUG17	B	1	PD09NOV17	2385.50	2521.94	USD	3,411.00	
09AUG17	B	1	PD09NOV17	2391.00	2521.94	USD	3,273.50	
09AUG17	B	3	PD09NOV17	2391.50	2521.94	USD	9,783.00	
09AUG17	B	1	PD09NOV17	2387.00	2521.94	USD	3,373.50	
09AUG17	B	1	PD09NOV17	2388.00	2521.94	USD	3,348.50	
09AUG17	B	1	PD09NOV17	2389.50	2521.94	USD	3,311.00	
09AUG17	B	2	PD09NOV17	2393.00	2521.94	USD	6,447.00	
09AUG17	B	2	PD09NOV17	2390.50	2521.94	USD	6,572.00	
09AUG17	S	14	PD09NOV17	2349.80	2521.94	USD	-60,249.00	
09AUG17	B	1	PD09NOV17	2355.00	2521.94	USD	4,173.50	
10AUG17	S	2	PD09NOV17	2365.60	2521.94	USD	-7,817.00	
11AUG17	S	1	PD09NOV17	2325.51	2521.94	USD	-4,910.75	
	NET	0 AVG BGHT 2389.29	SOLD 2350.23				-16,602.25	0.00
14AUG17	S	1	PD14NOV17	2346.50	2522.62	USD	-4,403.00	
14AUG17	S	1	PD14NOV17	2340.50	2522.62	USD	-4,553.00	
14AUG17	S	1	PD14NOV17	2342.50	2522.62	USD	-4,503.00	
15AUG17	B	2	PD14NOV17	2381.03	2522.62	USD	7,079.50	
16AUG17	B	1	PD14NOV17	2518.27	2522.62	USD	108.75	

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	NET	0	AVG	BGHT 2426.78	SOLD 2343.17			-6,270.75	0.00
16AUG17	S	2	PD16NOV17		2388.00	2522.75	USD	-6,737.50	
16AUG17	S	2	PD16NOV17		2388.50	2522.75	USD	-6,712.50	
16AUG17	S	1	PD16NOV17		2424.00	2522.75	USD	-2,468.75	
16AUG17	S	1	PD16NOV17		2422.00	2522.75	USD	-2,518.75	
16AUG17	S	1	PD16NOV17		2421.50	2522.75	USD	-2,531.25	
16AUG17	S	3	PD16NOV17		2423.00	2522.75	USD	-7,481.25	
16AUG17	S	1	PD16NOV17		2427.50	2522.75	USD	-2,381.25	
16AUG17	S	1	PD16NOV17		2428.00	2522.75	USD	-2,368.75	
16AUG17	S	2	PD16NOV17		2423.50	2522.75	USD	-4,962.50	
16AUG17	S	2	PD16NOV17		2422.50	2522.75	USD	-5,012.50	
16AUG17	S	3	PD16NOV17		2387.50	2522.75	USD	-10,143.75	
16AUG17	S	2	PD16NOV17		2429.00	2522.75	USD	-4,687.50	
16AUG17	B	1	PD16NOV17		2466.00	2522.75	USD	1,418.75	
16AUG17	B	1	PD16NOV17		2468.00	2522.75	USD	1,368.75	
16AUG17	B	1	PD16NOV17		2469.00	2522.75	USD	1,343.75	
16AUG17	B	1	PD16NOV17		2470.50	2522.75	USD	1,306.25	
16AUG17	B	13	PD16NOV17		2517.20	2522.75	USD	1,803.75	
21AUG17	B	4	PD16NOV17		2346.00	2522.75	USD	17,675.00	
	NET	0	AVG	BGHT 2475.29	SOLD 2412.26			-33,090.00	0.00
17AUG17	B	2	PD17NOV17		2527.50	2522.75	USD	-237.50	
17AUG17	B	2	PD17NOV17		2528.00	2522.75	USD	-262.50	
17AUG17	B	1	PD17NOV17		2464.50	2522.75	USD	1,456.25	
17AUG17	B	1	PD17NOV17		2465.00	2522.75	USD	1,443.75	
17AUG17	B	1	PD17NOV17		2432.50	2522.75	USD	2,256.25	
17AUG17	B	1	PD17NOV17		2433.00	2522.75	USD	2,243.75	
17AUG17	B	1	PD17NOV17		2433.50	2522.75	USD	2,231.25	
17AUG17	B	1	PD17NOV17		2436.00	2522.75	USD	2,168.75	
17AUG17	S	4	PD17NOV17		2413.30	2522.75	USD	-10,945.00	
18AUG17	B	1	PD17NOV17		2424.50	2522.75	USD	2,456.25	
18AUG17	S	1	PD17NOV17		2426.50	2522.75	USD	-2,406.25	
18AUG17	B	2	PD17NOV17		2438.50	2522.75	USD	4,212.50	
18AUG17	B	1	PD17NOV17		2439.50	2522.75	USD	2,081.25	
18AUG17	B	1	PD17NOV17		2437.00	2522.75	USD	2,143.75	
18AUG17	B	1	PD17NOV17		2436.00	2522.75	USD	2,168.75	
18AUG17	B	1	PD17NOV17		2418.50	2522.75	USD	2,606.25	
18AUG17	B	1	PD17NOV17		2419.00	2522.75	USD	2,593.75	
18AUG17	B	1	PD17NOV17		2403.00	2522.75	USD	2,993.75	
18AUG17	B	1	PD17NOV17		2412.50	2522.75	USD	2,756.25	
18AUG17	B	1	PD17NOV17		2413.00	2522.75	USD	2,743.75	
18AUG17	B	1	PD17NOV17		2416.00	2522.75	USD	2,668.75	
18AUG17	B	3	PD17NOV17		2415.50	2522.75	USD	8,043.75	
18AUG17	B	2	PD17NOV17		2413.50	2522.75	USD	5,462.50	
18AUG17	B	2	PD17NOV17		2415.00	2522.75	USD	5,387.50	
18AUG17	B	1	PD17NOV17		2409.50	2522.75	USD	2,831.25	
18AUG17	B	2	PD17NOV17		2416.50	2522.75	USD	5,312.50	
18AUG17	B	5	PD17NOV17		2414.00	2522.75	USD	13,593.75	
18AUG17	B	5	PD17NOV17		2411.00	2522.75	USD	13,968.75	
18AUG17	B	3	PD17NOV17		2411.50	2522.75	USD	8,343.75	
18AUG17	B	2	PD17NOV17		2410.50	2522.75	USD	5,612.50	
18AUG17	B	1	PD17NOV17		2408.00	2522.75	USD	2,868.75	
18AUG17	B	1	PD17NOV17		2409.00	2522.75	USD	2,843.75	
18AUG17	B	3	PD17NOV17		2412.00	2522.75	USD	8,306.25	
18AUG17	B	2	PD17NOV17		2362.00	2522.75	USD	8,037.50	
18AUG17	B	1	PD17NOV17		2363.00	2522.75	USD	3,993.75	
18AUG17	B	1	PD17NOV17		2358.50	2522.75	USD	4,106.25	
18AUG17	B	2	PD17NOV17		2362.50	2522.75	USD	8,012.50	

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18AUG17	B	1	PD17NOV17	2364.00	2522.75	USD	3,968.75	
18AUG17	S	25	PD17NOV17	2360.80	2522.75	USD	-101,218.75	
21AUG17	S	17	PD17NOV17	2346.00	2522.75	USD	-75,118.75	
24AUG17	S	5	PD17NOV17	2353.80	2522.75	USD	-21,118.75	
07SEP17	S	7	PD17NOV17	2333.88	2522.75	USD	-33,052.25	
	NET	0AVG BGHT 2420.09	SOLD 2357.42				-92,441.00	0.00
21AUG17	B	2	PD21NOV17	2363.50	2523.36	USD	7,993.00	
21AUG17	B	1	PD21NOV17	2377.50	2523.36	USD	3,646.50	
21AUG17	B	1	PD21NOV17	2379.50	2523.36	USD	3,596.50	
21AUG17	S	1	PD21NOV17	2377.50	2523.36	USD	-3,646.50	
21AUG17	B	1	PD21NOV17	2361.50	2523.36	USD	4,046.50	
23AUG17	S	4	PD21NOV17	2376.25	2523.36	USD	-14,711.00	
	NET	0AVG BGHT 2369.10	SOLD 2376.50				925.00	0.00
22AUG17	B	1	PD22NOV17	2340.50	2523.51	USD	4,575.25	
22AUG17	S	1	PD22NOV17	2398.00	2523.51	USD	-3,137.75	
22AUG17	S	2	PD22NOV17	2403.00	2523.51	USD	-6,025.50	
22AUG17	S	1	PD22NOV17	2403.50	2523.51	USD	-3,000.25	
22AUG17	S	1	PD22NOV17	2412.50	2523.51	USD	-2,775.25	
22AUG17	S	1	PD22NOV17	2417.00	2523.51	USD	-2,662.75	
22AUG17	B	1	PD22NOV17	2415.50	2523.51	USD	2,700.25	
22AUG17	S	1	PD22NOV17	2415.50	2523.51	USD	-2,700.25	
23AUG17	B	4	PD22NOV17	2376.70	2523.51	USD	14,681.00	
30AUG17	B	1	PD22NOV17	2367.86	2523.51	USD	3,891.25	
	NET	0AVG BGHT 2375.81	SOLD 2407.50				5,546.00	0.00
23AUG17	B	1	PD24NOV17	2416.50	2523.81	USD	2,682.75	
23AUG17	B	1	PD24NOV17	2397.50	2523.81	USD	3,157.75	
23AUG17	B	1	PD24NOV17	2394.50	2523.81	USD	3,232.75	
23AUG17	S	1	PD24NOV17	2415.00	2523.81	USD	-2,720.25	
23AUG17	S	1	PD24NOV17	2413.00	2523.81	USD	-2,770.25	
23AUG17	S	1	PD24NOV17	2412.50	2523.81	USD	-2,782.75	
23AUG17	S	2	PD24NOV17	2413.50	2523.81	USD	-5,515.50	
23AUG17	S	1	PD24NOV17	2414.50	2523.81	USD	-2,732.75	
23AUG17	S	1	PD24NOV17	2410.50	2523.81	USD	-2,832.75	
23AUG17	S	1	PD24NOV17	2405.50	2523.81	USD	-2,957.75	
23AUG17	B	1	PD24NOV17	2402.00	2523.81	USD	3,045.25	
23AUG17	B	1	PD24NOV17	2389.00	2523.81	USD	3,370.25	
23AUG17	B	2	PD24NOV17	2379.00	2523.81	USD	7,240.50	
23AUG17	B	1	PD24NOV17	2381.50	2523.81	USD	3,557.75	
23AUG17	B	1	PD24NOV17	2383.00	2523.81	USD	3,520.25	
24AUG17	B	1	PD24NOV17	2392.50	2523.81	USD	3,282.75	
24AUG17	B	1	PD24NOV17	2396.00	2523.81	USD	3,195.25	
24AUG17	B	1	PD24NOV17	2396.50	2523.81	USD	3,182.75	
24AUG17	B	1	PD24NOV17	2388.50	2523.81	USD	3,382.75	
24AUG17	B	2	PD24NOV17	2394.50	2523.81	USD	6,465.50	
24AUG17	B	1	PD24NOV17	2385.00	2523.81	USD	3,470.25	
24AUG17	B	1	PD24NOV17	2384.00	2523.81	USD	3,495.25	
24AUG17	B	1	PD24NOV17	2383.50	2523.81	USD	3,507.75	
24AUG17	B	2	PD24NOV17	2387.00	2523.81	USD	6,840.50	
24AUG17	B	1	PD24NOV17	2373.00	2523.81	USD	3,770.25	
24AUG17	B	1	PD24NOV17	2373.50	2523.81	USD	3,757.75	
24AUG17	B	1	PD24NOV17	2385.50	2523.81	USD	3,457.75	
24AUG17	B	1	PD24NOV17	2392.00	2523.81	USD	3,295.25	
24AUG17	S	1	PD24NOV17	2385.00	2523.81	USD	-3,470.25	
24AUG17	S	1	PD24NOV17	2361.00	2523.81	USD	-4,070.25	
24AUG17	S	1	PD24NOV17	2370.00	2523.81	USD	-3,845.25	
24AUG17	B	1	PD24NOV17	2350.00	2523.81	USD	4,345.25	

OPEN POSITION STATEMENT



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SAP0094A

13th October 2017

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	<u>LME LEAD 25 TONNES. THIS IS AN</u>							
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24AUG17	B	1	PD24NOV17	2350.50	2523.81	USD	4,332.75	
24AUG17	S	1	PD24NOV17	2356.50	2523.81	USD	-4,182.75	
24AUG17	S	2	PD24NOV17	2357.00	2523.81	USD	-8,340.50	
24AUG17	S	2	PD24NOV17	2358.50	2523.81	USD	-8,265.50	
25AUG17	S	1	PD24NOV17	2365.00	2523.81	USD	-3,970.25	
25AUG17	S	1	PD24NOV17	2363.50	2523.81	USD	-4,007.75	
25AUG17	S	1	PD24NOV17	2366.50	2523.81	USD	-3,932.75	
25AUG17	S	1	PD24NOV17	2367.00	2523.81	USD	-3,920.25	
25AUG17	S	1	PD24NOV17	2372.00	2523.81	USD	-3,795.25	
25AUG17	S	1	PD24NOV17	2373.50	2523.81	USD	-3,757.75	
25AUG17	S	1	PD24NOV17	2374.00	2523.81	USD	-3,745.25	
25AUG17	B	1	PD24NOV17	2361.00	2523.81	USD	4,070.25	
25AUG17	S	1	PD24NOV17	2363.00	2523.81	USD	-4,020.25	
25AUG17	S	1	PD24NOV17	2335.00	2523.81	USD	-4,720.25	
25AUG17	B	1	PD24NOV17	2325.00	2523.81	USD	4,970.25	
25AUG17	B	1	PD24NOV17	2329.00	2523.81	USD	4,870.25	
25AUG17	B	1	PD24NOV17	2324.50	2523.81	USD	4,982.75	
30AUG17	S	1	PD24NOV17	2368.19	2523.81	USD	-3,890.50	
05SEP17	S	2	PD24NOV17	2330.47	2523.81	USD	-9,667.00	
07SEP17	S	2	PD24NOV17	2336.15	2523.81	USD	-9,383.00	
	NET	0AVG	BGHT 2379.17	SOLD 2372.75			-4,814.25	0.00
29AUG17	B	1	PD29NOV17	2346.00	2524.57	USD	4,464.25	
29AUG17	S	1	PD29NOV17	2389.00	2524.57	USD	-3,389.25	
29AUG17	S	1	PD29NOV17	2388.00	2524.57	USD	-3,414.25	
29AUG17	S	1	PD29NOV17	2385.50	2524.57	USD	-3,476.75	
29AUG17	S	1	PD29NOV17	2387.50	2524.57	USD	-3,426.75	
29AUG17	S	1	PD29NOV17	2392.00	2524.57	USD	-3,314.25	
29AUG17	B	1	PD29NOV17	2343.50	2524.57	USD	4,526.75	
29AUG17	B	1	PD29NOV17	2382.50	2524.57	USD	3,551.75	
29AUG17	B	1	PD29NOV17	2378.00	2524.57	USD	3,664.25	
29AUG17	B	1	PD29NOV17	2381.00	2524.57	USD	3,589.25	
29AUG17	B	1	PD29NOV17	2384.00	2524.57	USD	3,514.25	
29AUG17	B	1	PD29NOV17	2384.50	2524.57	USD	3,501.75	
07SEP17	S	2	PD29NOV17	2337.78	2524.57	USD	-9,339.50	
	NET	0AVG	BGHT 2371.36	SOLD 2373.94			451.50	0.00
30AUG17	B	1	PD30NOV17	2389.50	2524.72	USD	3,380.50	
30AUG17	B	1	PD30NOV17	2392.50	2524.72	USD	3,305.50	
30AUG17	B	1	PD30NOV17	2393.50	2524.72	USD	3,280.50	
30AUG17	B	1	PD30NOV17	2391.50	2524.72	USD	3,330.50	
30AUG17	B	1	PD30NOV17	2395.00	2524.72	USD	3,243.00	
30AUG17	S	1	PD30NOV17	2379.50	2524.72	USD	-3,630.50	
30AUG17	S	1	PD30NOV17	2380.00	2524.72	USD	-3,618.00	
30AUG17	S	1	PD30NOV17	2377.50	2524.72	USD	-3,680.50	
30AUG17	S	1	PD30NOV17	2392.00	2524.72	USD	-3,318.00	
30AUG17	S	1	PD30NOV17	2404.00	2524.72	USD	-3,018.00	
30AUG17	S	1	PD30NOV17	2405.00	2524.72	USD	-2,993.00	
30AUG17	B	1	PD30NOV17	2376.50	2524.72	USD	3,705.50	
30AUG17	B	2	PD30NOV17	2375.00	2524.72	USD	7,486.00	
30AUG17	S	1	PD30NOV17	2375.00	2524.72	USD	-3,743.00	
30AUG17	B	1	PD30NOV17	2378.50	2524.72	USD	3,655.50	
31AUG17	B	1	PD30NOV17	2377.50	2524.72	USD	3,680.50	
31AUG17	B	1	PD30NOV17	2380.00	2524.72	USD	3,618.00	
31AUG17	S	1	PD30NOV17	2372.00	2524.72	USD	-3,818.00	
31AUG17	S	1	PD30NOV17	2382.00	2524.72	USD	-3,568.00	
31AUG17	S	1	PD30NOV17	2383.00	2524.72	USD	-3,543.00	
31AUG17	S	1	PD30NOV17	2380.00	2524.72	USD	-3,618.00	
31AUG17	S	1	PD30NOV17	2387.00	2524.72	USD	-3,443.00	

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31AUG17	S	1	PD30NOV17	2396.00	2524.72	USD	-3,218.00	
31AUG17	S	1	PD30NOV17	2400.00	2524.72	USD	-3,118.00	
31AUG17	S	2	PD30NOV17	2393.50	2524.72	USD	-6,561.00	
31AUG17	S	1	PD30NOV17	2394.00	2524.72	USD	-3,268.00	
31AUG17	S	1	PD30NOV17	2391.50	2524.72	USD	-3,330.50	
31AUG17	S	1	PD30NOV17	2392.00	2524.72	USD	-3,318.00	
31AUG17	S	1	PD30NOV17	2388.50	2524.72	USD	-3,405.50	
31AUG17	S	1	PD30NOV17	2393.00	2524.72	USD	-3,293.00	
31AUG17	S	1	PD30NOV17	2378.00	2524.72	USD	-3,668.00	
31AUG17	S	1	PD30NOV17	2390.00	2524.72	USD	-3,368.00	
31AUG17	B	2	PD30NOV17	2394.20	2524.72	USD	6,526.00	
07SEP17	B	10	PD30NOV17	2338.30	2524.72	USD	46,605.00	
	NET	0 AVG BGHT 2365.04	SOLD 2388.13				13,277.50	0.00
01SEP17	B	1	PD01DEC17	2400.00	2524.87	USD	3,121.75	
	NET	+1 AVG BGHT 2400.00					3,121.75	0.00
05SEP17	B	2	PD05DEC17	2372.00	2525.48	USD	7,674.00	
05SEP17	B	1	PD05DEC17	2372.50	2525.48	USD	3,824.50	
05SEP17	B	1	PD05DEC17	2371.00	2525.48	USD	3,862.00	
05SEP17	B	3	PD05DEC17	2363.50	2525.48	USD	12,148.50	
05SEP17	B	1	PD05DEC17	2356.50	2525.48	USD	4,224.50	
05SEP17	S	1	PD05DEC17	2351.00	2525.48	USD	-4,362.00	
05SEP17	B	1	PD05DEC17	2348.00	2525.48	USD	4,437.00	
05SEP17	B	1	PD05DEC17	2340.50	2525.48	USD	4,624.50	
05SEP17	B	1	PD05DEC17	2341.00	2525.48	USD	4,612.00	
07SEP17	S	10	PD05DEC17	2339.73	2525.48	USD	-46,437.50	
	NET	0 AVG BGHT 2360.36	SOLD 2340.75				-5,392.50	0.00
07SEP17	B	1	PD07DEC17	2334.00	2525.78	USD	4,794.50	
07SEP17	B	1	PD07DEC17	2332.00	2525.78	USD	4,844.50	
07SEP17	B	1	PD07DEC17	2333.00	2525.78	USD	4,819.50	
07SEP17	B	1	PD07DEC17	2330.50	2525.78	USD	4,882.00	
07SEP17	B	1	PD07DEC17	2328.50	2525.78	USD	4,932.00	
07SEP17	B	2	PD07DEC17	2331.00	2525.78	USD	9,739.00	
07SEP17	B	1	PD07DEC17	2337.50	2525.78	USD	4,707.00	
07SEP17	B	1	PD07DEC17	2342.00	2525.78	USD	4,594.50	
07SEP17	B	1	PD07DEC17	2342.50	2525.78	USD	4,582.00	
07SEP17	B	1	PD07DEC17	2345.50	2525.78	USD	4,507.00	
07SEP17	B	2	PD07DEC17	2346.00	2525.78	USD	8,989.00	
07SEP17	B	1	PD07DEC17	2347.50	2525.78	USD	4,457.00	
08SEP17	S	14	PD07DEC17	2264.80	2525.78	USD	-91,343.00	
	NET	0 AVG BGHT 2337.64	SOLD 2264.80				-25,495.00	0.00
08SEP17	B	1	PD08DEC17	2299.50	2525.93	USD	5,660.75	
08SEP17	B	1	PD08DEC17	2298.50	2525.93	USD	5,685.75	
08SEP17	B	1	PD08DEC17	2300.00	2525.93	USD	5,648.25	
08SEP17	B	1	PD08DEC17	2303.00	2525.93	USD	5,573.25	
08SEP17	B	1	PD08DEC17	2303.50	2525.93	USD	5,560.75	
08SEP17	B	1	PD08DEC17	2305.00	2525.93	USD	5,523.25	
08SEP17	B	1	PD08DEC17	2297.50	2525.93	USD	5,710.75	
08SEP17	B	1	PD08DEC17	2294.50	2525.93	USD	5,785.75	
22SEP17	S	6	PD08DEC17	2488.50	2525.93	USD	-5,614.50	
	NET	+2 AVG BGHT 2300.19	SOLD 2488.50				39,534.00	0.00
14SEP17	S	1	PD14DEC17	2296.00	2526.84	USD	-5,771.00	
14SEP17	S	1	PD14DEC17	2296.50	2526.84	USD	-5,758.50	
14SEP17	S	1	PD14DEC17	2297.00	2526.84	USD	-5,746.00	
14SEP17	S	1	PD14DEC17	2297.50	2526.84	USD	-5,733.50	
14SEP17	S	1	PD14DEC17	2298.50	2526.84	USD	-5,708.50	
14SEP17	S	2	PD14DEC17	2292.00	2526.84	USD	-11,742.00	

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14SEP17	S	1	PD14DEC17	2294.50	2526.84	USD	-5,808.50	
14SEP17	S	1	PD14DEC17	2310.50	2526.84	USD	-5,408.50	
14SEP17	S	2	PD14DEC17	2313.50	2526.84	USD	-10,667.00	
14SEP17	S	2	PD14DEC17	2320.00	2526.84	USD	-10,342.00	
14SEP17	S	3	PD14DEC17	2318.00	2526.84	USD	-15,663.00	
14SEP17	S	3	PD14DEC17	2319.50	2526.84	USD	-15,550.50	
14SEP17	S	1	PD14DEC17	2321.00	2526.84	USD	-5,146.00	
14SEP17	S	2	PD14DEC17	2321.50	2526.84	USD	-10,267.00	
14SEP17	B	1	PD14DEC17	2320.00	2526.84	USD	5,171.00	
14SEP17	B	1	PD14DEC17	2323.00	2526.84	USD	5,096.00	
19SEP17	B	15	PD14DEC17	2420.20	2526.84	USD	39,990.00	
21SEP17	B	5	PD14DEC17	2518.11	2526.84	USD	1,091.25	
	NET	0 AVG BGHT 2433.48	SOLD 2309.91				-67,963.75	0.00
15SEP17	S	2	PD15DEC17	2326.00	2526.99	USD	-10,049.50	
15SEP17	S	3	PD15DEC17	2349.50	2526.99	USD	-13,311.75	
15SEP17	S	1	PD15DEC17	2351.00	2526.99	USD	-4,399.75	
15SEP17	S	1	PD15DEC17	2346.00	2526.99	USD	-4,524.75	
15SEP17	S	1	PD15DEC17	2346.50	2526.99	USD	-4,512.25	
15SEP17	S	1	PD15DEC17	2348.00	2526.99	USD	-4,474.75	
15SEP17	S	1	PD15DEC17	2347.50	2526.99	USD	-4,487.25	
15SEP17	S	2	PD15DEC17	2350.00	2526.99	USD	-8,849.50	
15SEP17	S	2	PD15DEC17	2351.50	2526.99	USD	-8,774.50	
21SEP17	B	14	PD15DEC17	2518.13	2526.99	USD	3,101.00	
	NET	0 AVG BGHT 2518.13	SOLD 2345.89				-60,283.00	0.00
18SEP17	S	2	PD18DEC17	2393.00	2527.45	USD	-6,722.50	
18SEP17	S	1	PD18DEC17	2391.50	2527.45	USD	-3,398.75	
18SEP17	S	1	PD18DEC17	2398.50	2527.45	USD	-3,223.75	
18SEP17	S	1	PD18DEC17	2402.50	2527.45	USD	-3,123.75	
18SEP17	S	1	PD18DEC17	2401.50	2527.45	USD	-3,148.75	
18SEP17	S	1	PD18DEC17	2403.50	2527.45	USD	-3,098.75	
18SEP17	S	1	PD18DEC17	2405.50	2527.45	USD	-3,048.75	
21SEP17	B	8	PD18DEC17	2518.17	2527.45	USD	1,856.00	
	NET	0 AVG BGHT 2518.17	SOLD 2398.63				-23,909.00	0.00
19SEP17	S	1	PD19DEC17	2385.00	2527.60	USD	-3,565.00	
19SEP17	S	1	PD19DEC17	2387.00	2527.60	USD	-3,515.00	
19SEP17	S	1	PD19DEC17	2394.50	2527.60	USD	-3,327.50	
19SEP17	S	1	PD19DEC17	2393.50	2527.60	USD	-3,352.50	
19SEP17	S	1	PD19DEC17	2392.00	2527.60	USD	-3,390.00	
19SEP17	S	2	PD19DEC17	2393.00	2527.60	USD	-6,730.00	
19SEP17	S	1	PD19DEC17	2399.00	2527.60	USD	-3,215.00	
19SEP17	S	2	PD19DEC17	2404.50	2527.60	USD	-6,155.00	
19SEP17	S	1	PD19DEC17	2401.50	2527.60	USD	-3,152.50	
19SEP17	S	1	PD19DEC17	2402.50	2527.60	USD	-3,127.50	
19SEP17	S	1	PD19DEC17	2403.50	2527.60	USD	-3,102.50	
19SEP17	S	2	PD19DEC17	2404.00	2527.60	USD	-6,180.00	
19SEP17	S	1	PD19DEC17	2406.00	2527.60	USD	-3,040.00	
19SEP17	S	1	PD19DEC17	2415.50	2527.60	USD	-2,802.50	
19SEP17	S	1	PD19DEC17	2412.00	2527.60	USD	-2,890.00	
19SEP17	S	1	PD19DEC17	2416.00	2527.60	USD	-2,790.00	
19SEP17	S	1	PD19DEC17	2436.00	2527.60	USD	-2,290.00	
19SEP17	S	2	PD19DEC17	2409.00	2527.60	USD	-5,930.00	
19SEP17	S	1	PD19DEC17	2410.50	2527.60	USD	-2,927.50	
19SEP17	S	1	PD19DEC17	2411.50	2527.60	USD	-2,902.50	
19SEP17	S	2	PD19DEC17	2447.50	2527.60	USD	-4,005.00	
20SEP17	B	26	PD19DEC17	2460.04	2527.60	USD	43,914.00	
	NET	0 AVG BGHT 2460.04	SOLD 2407.00				-34,476.00	0.00

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20SEP17	S	1	PD20DEC17	2440.00	2527.75	USD	-2,193.75	
20SEP17	S	1	PD20DEC17	2441.00	2527.75	USD	-2,168.75	
20SEP17	S	2	PD20DEC17	2450.00	2527.75	USD	-3,887.50	
20SEP17	S	1	PD20DEC17	2454.50	2527.75	USD	-1,831.25	
20SEP17	S	1	PD20DEC17	2456.00	2527.75	USD	-1,793.75	
20SEP17	S	1	PD20DEC17	2455.00	2527.75	USD	-1,818.75	
20SEP17	S	1	PD20DEC17	2462.50	2527.75	USD	-1,631.25	
20SEP17	S	2	PD20DEC17	2440.50	2527.75	USD	-4,362.50	
20SEP17	S	1	PD20DEC17	2442.00	2527.75	USD	-2,143.75	
21SEP17	B	11	PD20DEC17	2518.20	2527.75	USD	2,626.25	
	NET	0AVG BGHT 2518.20	SOLD 2448.36				-19,205.00	0.00
21SEP17	S	1	PD21DEC17	2432.50	2526.75	USD	-2,356.25	
21SEP17	S	1	PD21DEC17	2434.50	2526.75	USD	-2,306.25	
21SEP17	S	1	PD21DEC17	2435.00	2526.75	USD	-2,293.75	
21SEP17	S	1	PD21DEC17	2435.50	2526.75	USD	-2,281.25	
21SEP17	S	1	PD21DEC17	2437.50	2526.75	USD	-2,231.25	
21SEP17	S	1	PD21DEC17	2446.00	2526.75	USD	-2,018.75	
21SEP17	S	1	PD21DEC17	2465.00	2526.75	USD	-1,543.75	
21SEP17	S	1	PD21DEC17	2471.00	2526.75	USD	-1,393.75	
21SEP17	S	1	PD21DEC17	2473.50	2526.75	USD	-1,331.25	
21SEP17	S	3	PD21DEC17	2447.00	2526.75	USD	-5,981.25	
21SEP17	S	3	PD21DEC17	2465.50	2526.75	USD	-4,593.75	
21SEP17	S	1	PD21DEC17	2467.50	2526.75	USD	-1,481.25	
21SEP17	S	1	PD21DEC17	2464.00	2526.75	USD	-1,568.75	
21SEP17	S	3	PD21DEC17	2466.50	2526.75	USD	-4,518.75	
21SEP17	S	1	PD21DEC17	2468.00	2526.75	USD	-1,468.75	
21SEP17	S	2	PD21DEC17	2464.50	2526.75	USD	-3,112.50	
21SEP17	B	1	PD21DEC17	2476.50	2526.75	USD	1,256.25	
21SEP17	B	1	PD21DEC17	2458.00	2526.75	USD	1,718.75	
21SEP17	B	1	PD21DEC17	2441.50	2526.75	USD	2,131.25	
21SEP17	B	1	PD21DEC17	2443.50	2526.75	USD	2,081.25	
21SEP17	B	1	PD21DEC17	2448.00	2526.75	USD	1,968.75	
21SEP17	B	11	PD21DEC17	2513.20	2526.75	USD	3,726.25	
21SEP17	B	1	PD21DEC17	2451.50	2526.75	USD	1,881.25	
22SEP17	B	6	PD21DEC17	2485.95	2526.75	USD	6,120.00	
	NET	0AVG BGHT 2490.43	SOLD 2456.35				-19,597.50	0.00
22SEP17	B	1	PD22DEC17	2416.00	2526.90	USD	2,772.50	
22SEP17	B	1	PD22DEC17	2416.50	2526.90	USD	2,760.00	
22SEP17	B	1	PD22DEC17	2421.50	2526.90	USD	2,635.00	
22SEP17	B	1	PD22DEC17	2425.50	2526.90	USD	2,535.00	
22SEP17	B	1	PD22DEC17	2398.00	2526.90	USD	3,222.50	
22SEP17	B	1	PD22DEC17	2398.50	2526.90	USD	3,210.00	
22SEP17	B	1	PD22DEC17	2402.00	2526.90	USD	3,122.50	
22SEP17	B	1	PD22DEC17	2402.50	2526.90	USD	3,110.00	
22SEP17	B	1	PD22DEC17	2403.00	2526.90	USD	3,097.50	
22SEP17	B	1	PD22DEC17	2406.50	2526.90	USD	3,010.00	
22SEP17	B	1	PD22DEC17	2374.50	2526.90	USD	3,810.00	
22SEP17	B	1	PD22DEC17	2381.50	2526.90	USD	3,635.00	
22SEP17	B	2	PD22DEC17	2383.50	2526.90	USD	7,170.00	
22SEP17	B	1	PD22DEC17	2384.50	2526.90	USD	3,560.00	
22SEP17	B	1	PD22DEC17	2386.00	2526.90	USD	3,522.50	
22SEP17	B	2	PD22DEC17	2393.00	2526.90	USD	6,695.00	
22SEP17	B	1	PD22DEC17	2397.00	2526.90	USD	3,247.50	
22SEP17	B	2	PD22DEC17	2397.50	2526.90	USD	6,470.00	
22SEP17	S	2	PD22DEC17	2448.00	2526.90	USD	-3,945.00	
22SEP17	S	1	PD22DEC17	2456.50	2526.90	USD	-1,760.00	
22SEP17	B	1	PD22DEC17	2479.50	2526.90	USD	1,185.00	

OPEN POSITION STATEMENT



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SAP0094A

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	<u>LME LEAD 25 TONNES. THIS IS AN</u>							
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22SEP17	B	2	PD22DEC17	2415.00	2526.90	USD	5,595.00	
22SEP17	B	1	PD22DEC17	2382.00	2526.90	USD	3,622.50	
22SEP17	B	1	PD22DEC17	2382.50	2526.90	USD	3,610.00	
22SEP17	B	1	PD22DEC17	2400.00	2526.90	USD	3,172.50	
22SEP17	B	1	PD22DEC17	2476.00	2526.90	USD	1,272.50	
22SEP17	S	5	PD22DEC17	2411.55	2526.90	USD	-14,418.75	
22SEP17	S	12	PD22DEC17	2410.55	2526.90	USD	-34,905.00	
22SEP17	B	1	PD22DEC17	2488.00	2526.90	USD	972.50	
22SEP17	B	1	PD22DEC17	2488.50	2526.90	USD	960.00	
28SEP17	S	2	PD22DEC17	2471.55	2526.90	USD	-2,767.50	
10OCT17	S	8	PD22DEC17	2554.38	2526.90	USD	5,496.00	
	NET	0AVG BGHT 2409.60	SOLD 2457.17				35,674.75	0.00
25SEP17	B	2	PD27DEC17	2468.00	2527.64	USD	2,982.00	
25SEP17	S	1	PD27DEC17	2500.00	2527.64	USD	-691.00	
25SEP17	S	2	PD27DEC17	2506.00	2527.64	USD	-1,082.00	
25SEP17	S	1	PD27DEC17	2503.00	2527.64	USD	-616.00	
25SEP17	B	2	PD27DEC17	2473.50	2527.64	USD	2,707.00	
25SEP17	B	1	PD27DEC17	2474.00	2527.64	USD	1,341.00	
26SEP17	B	1	PD27DEC17	2489.50	2527.64	USD	953.50	
26SEP17	B	1	PD27DEC17	2467.50	2527.64	USD	1,503.50	
26SEP17	B	1	PD27DEC17	2483.50	2527.64	USD	1,103.50	
26SEP17	B	1	PD27DEC17	2484.00	2527.64	USD	1,091.00	
26SEP17	B	1	PD27DEC17	2484.50	2527.64	USD	1,078.50	
26SEP17	B	1	PD27DEC17	2485.00	2527.64	USD	1,066.00	
26SEP17	S	2	PD27DEC17	2476.00	2527.64	USD	-2,582.00	
26SEP17	S	1	PD27DEC17	2476.50	2527.64	USD	-1,278.50	
26SEP17	S	1	PD27DEC17	2479.50	2527.64	USD	-1,203.50	
26SEP17	S	1	PD27DEC17	2481.50	2527.64	USD	-1,153.50	
26SEP17	S	2	PD27DEC17	2482.00	2527.64	USD	-2,282.00	
26SEP17	S	1	PD27DEC17	2480.00	2527.64	USD	-1,191.00	
26SEP17	S	1	PD27DEC17	2483.00	2527.64	USD	-1,116.00	
26SEP17	S	1	PD27DEC17	2483.50	2527.64	USD	-1,103.50	
26SEP17	S	1	PD27DEC17	2484.00	2527.64	USD	-1,091.00	
27SEP17	S	1	PD27DEC17	2479.00	2527.64	USD	-1,216.00	
27SEP17	S	1	PD27DEC17	2468.00	2527.64	USD	-1,491.00	
27SEP17	S	1	PD27DEC17	2468.50	2527.64	USD	-1,478.50	
10OCT17	B	7	PD27DEC17	2554.20	2527.64	USD	-4,648.00	
	NET	0AVG BGHT 2507.24	SOLD 2484.14				-10,397.50	0.00
28SEP17	S	1	PD28DEC17	2458.00	2527.78	USD	-1,744.50	
28SEP17	B	1	PD28DEC17	2474.00	2527.78	USD	1,344.50	
28SEP17	B	1	PD28DEC17	2471.50	2527.78	USD	1,407.00	
28SEP17	S	1	PD28DEC17	2472.50	2527.78	USD	-1,382.00	
28SEP17	S	1	PD28DEC17	2469.80	2527.78	USD	-1,449.50	
10OCT17	B	1	PD28DEC17	2554.41	2527.78	USD	-665.75	
	NET	0AVG BGHT 2499.97	SOLD 2466.77				-2,490.25	0.00
29SEP17	B	2	PD29DEC17	2492.00	2527.93	USD	1,796.50	
29SEP17	B	4	PD29DEC17	2492.50	2527.93	USD	3,543.00	
29SEP17	B	3	PD29DEC17	2495.00	2527.93	USD	2,469.75	
29SEP17	B	1	PD29DEC17	2496.00	2527.93	USD	798.25	
29SEP17	B	2	PD29DEC17	2498.00	2527.93	USD	1,496.50	
29SEP17	S	1	PD29DEC17	2509.50	2527.93	USD	-460.75	
29SEP17	S	1	PD29DEC17	2512.50	2527.93	USD	-385.75	
29SEP17	B	1	PD29DEC17	2497.50	2527.93	USD	760.75	
29SEP17	S	1	PD29DEC17	2510.00	2527.93	USD	-448.25	
29SEP17	S	1	PD29DEC17	2513.00	2527.93	USD	-373.25	
29SEP17	B	1	PD29DEC17	2470.00	2527.93	USD	1,448.25	

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29SEP17	B	1	PD29DEC17	2470.50	2527.93	USD	1,435.75	
29SEP17	B	1	PD29DEC17	2475.00	2527.93	USD	1,323.25	
29SEP17	B	1	PD29DEC17	2476.50	2527.93	USD	1,285.75	
29SEP17	S	1	PD29DEC17	2481.50	2527.93	USD	-1,160.75	
29SEP17	S	1	PD29DEC17	2482.00	2527.93	USD	-1,148.25	
	NET	+11 AVG	BGHT 2489.44				12,380.75	0.00
03OCT17	S	1	PD03JAN18	2547.00	2528.67	USD	458.25	
03OCT17	S	1	PD03JAN18	2547.50	2528.67	USD	470.75	
03OCT17	S	1	PD03JAN18	2554.00	2528.67	USD	633.25	
03OCT17	S	1	PD03JAN18	2558.50	2528.67	USD	745.75	
03OCT17	S	1	PD03JAN18	2568.00	2528.67	USD	983.25	
03OCT17	S	1	PD03JAN18	2570.00	2528.67	USD	1,033.25	
03OCT17	S	2	PD03JAN18	2569.00	2528.67	USD	2,016.50	
03OCT17	S	1	PD03JAN18	2585.00	2528.67	USD	1,408.25	
03OCT17	S	2	PD03JAN18	2590.00	2528.67	USD	3,066.50	
03OCT17	S	1	PD03JAN18	2591.00	2528.67	USD	1,558.25	
03OCT17	S	1	PD03JAN18	2589.50	2528.67	USD	1,520.75	
03OCT17	S	2	PD03JAN18	2589.00	2528.67	USD	3,016.50	
03OCT17	S	1	PD03JAN18	2590.50	2528.67	USD	1,545.75	
03OCT17	S	2	PD03JAN18	2588.00	2528.67	USD	2,966.50	
03OCT17	S	1	PD03JAN18	2584.00	2528.67	USD	1,383.25	
10OCT17	B	19	PD03JAN18	2555.50	2528.67	USD	-12,744.25	
	NET	0 AVG	BGHT 2555.50				10,062.50	0.00
04OCT17	S	1	PD04JAN18	2606.50	2528.82	USD	1,942.00	
04OCT17	B	1	PD04JAN18	2571.00	2528.82	USD	-1,054.50	
04OCT17	B	2	PD04JAN18	2571.50	2528.82	USD	-2,134.00	
04OCT17	B	2	PD04JAN18	2570.00	2528.82	USD	-2,059.00	
04OCT17	B	2	PD04JAN18	2570.50	2528.82	USD	-2,084.00	
04OCT17	B	2	PD04JAN18	2573.00	2528.82	USD	-2,209.00	
04OCT17	B	1	PD04JAN18	2574.50	2528.82	USD	-1,142.00	
04OCT17	B	1	PD04JAN18	2576.00	2528.82	USD	-1,179.50	
04OCT17	B	2	PD04JAN18	2577.00	2528.82	USD	-2,409.00	
04OCT17	B	2	PD04JAN18	2572.00	2528.82	USD	-2,159.00	
04OCT17	B	1	PD04JAN18	2573.50	2528.82	USD	-1,117.00	
04OCT17	B	2	PD04JAN18	2575.50	2528.82	USD	-2,334.00	
04OCT17	B	1	PD04JAN18	2562.50	2528.82	USD	-842.00	
04OCT17	B	1	PD04JAN18	2559.00	2528.82	USD	-754.50	
10OCT17	S	19	PD04JAN18	2555.51	2528.82	USD	12,677.75	
	NET	0 AVG	BGHT 2571.78				-6,857.75	0.00
09OCT17	B	1	PD09JAN18	2519.00	2529.56	USD	264.00	
09OCT17	B	1	PD09JAN18	2521.00	2529.56	USD	214.00	
09OCT17	B	2	PD09JAN18	2503.00	2529.56	USD	1,328.00	
09OCT17	B	1	PD09JAN18	2493.50	2529.56	USD	901.50	
09OCT17	B	2	PD09JAN18	2495.50	2529.56	USD	1,703.00	
	NET	+7 AVG	BGHT 2504.36				4,410.50	0.00
10OCT17	S	1	PD10JAN18	2540.50	2529.70	USD	270.00	
10OCT17	S	1	PD10JAN18	2550.00	2529.70	USD	507.50	
10OCT17	S	1	PD10JAN18	2550.50	2529.70	USD	520.00	
10OCT17	S	1	PD10JAN18	2553.50	2529.70	USD	595.00	
	NET	-4 AVG	SOLD 2548.63				1,892.50	0.00
11OCT17	S	4	PD11JAN18	2560.00	2529.85	USD	3,015.00	
11OCT17	S	1	PD11JAN18	2560.50	2529.85	USD	766.25	
11OCT17	S	5	PD11JAN18	2561.00	2529.85	USD	3,893.75	
	NET	-10 AVG	SOLD 2560.55				7,675.00	0.00
12OCT17	B	1	PD12JAN18	2542.00	2530.00	USD	-300.00	
12OCT17	S	1	PD12JAN18	2557.50	2530.00	USD	687.50	

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12OCT17	S	1	PD12JAN18	2565.50	2530.00	USD	887.50	
12OCT17	S	2	PD12JAN18	2565.00	2530.00	USD	1,750.00	
12OCT17	S	1	PD12JAN18	2572.50	2530.00	USD	1,062.50	
12OCT17	S	1	PD12JAN18	2574.50	2530.00	USD	1,112.50	
12OCT17	S	1	PD12JAN18	2576.00	2530.00	USD	1,150.00	
12OCT17	S	1	PD12JAN18	2578.00	2530.00	USD	1,200.00	
12OCT17	S	1	PD12JAN18	2579.00	2530.00	USD	1,225.00	
12OCT17	S	1	PD12JAN18	2581.00	2530.00	USD	1,275.00	
12OCT17	S	1	PD12JAN18	2584.50	2530.00	USD	1,362.50	
12OCT17	B	1	PD12JAN18	2585.00	2530.00	USD	-1,375.00	
12OCT17	S	2	PD12JAN18	2569.50	2530.00	USD	1,975.00	
12OCT17	S	1	PD12JAN18	2572.00	2530.00	USD	1,050.00	
13OCT17	B	1	PD12JAN18	2545.50	2530.00	USD	-387.50	
13OCT17	B	1	PD12JAN18	2548.00	2530.00	USD	-450.00	
13OCT17	B	3	PD12JAN18	2551.50	2530.00	USD	-1,612.50	
13OCT17	B	1	PD12JAN18	2552.50	2530.00	USD	-562.50	
13OCT17	B	1	PD12JAN18	2550.50	2530.00	USD	-512.50	
13OCT17	B	1	PD12JAN18	2548.50	2530.00	USD	-462.50	
13OCT17	B	1	PD12JAN18	2542.50	2530.00	USD	-312.50	
	NET	-3AVG BGHT 2551.73		SOLD 2572.11			8,762.50	0.00
	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
18JUL17	B	2	PD18OCT17	9655.00	11610.50	USD	23,466.00	
23AUG17	S	2	PD18OCT17	11637.50	11610.50	USD	324.00	
	NET	0AVG BGHT 9655.00		SOLD 11637.50			23,790.00	0.00
24JUL17	S	3	PD24OCT17	9770.00	11614.76	USD	-33,205.68	
25JUL17	B	3	PD24OCT17	10005.83	11614.76	USD	28,960.74	
	NET	0AVG BGHT 10005.83		SOLD 9770.00			-4,244.94	0.00
25JUL17	S	1	PD25OCT17	9895.00	11615.61	USD	-10,323.66	
25JUL17	S	2	PD25OCT17	9945.00	11615.61	USD	-20,047.32	
25JUL17	S	2	PD25OCT17	9940.00	11615.61	USD	-20,107.32	
25JUL17	B	5	PD25OCT17	10006.00	11615.61	USD	48,288.30	
	NET	0AVG BGHT 10006.00		SOLD 9933.00			-2,190.00	0.00
26JUL17	B	2	PD26OCT17	10020.00	11616.46	USD	19,157.52	
26JUL17	B	1	PD26OCT17	10025.00	11616.46	USD	9,548.76	
26JUL17	B	2	PD26OCT17	10035.00	11616.46	USD	18,977.52	
26JUL17	S	1	PD26OCT17	9935.00	11616.46	USD	-10,088.76	
26JUL17	S	3	PD26OCT17	9940.00	11616.46	USD	-30,176.28	
26JUL17	S	1	PD26OCT17	9945.00	11616.46	USD	-10,028.76	
26JUL17	S	1	PD26OCT17	9950.00	11616.46	USD	-9,998.76	
26JUL17	S	1	PD26OCT17	9955.00	11616.46	USD	-9,968.76	
26JUL17	S	1	PD26OCT17	9975.00	11616.46	USD	-9,848.76	
26JUL17	S	1	PD26OCT17	9960.00	11616.46	USD	-9,938.76	
26JUL17	S	2	PD26OCT17	9965.00	11616.46	USD	-19,817.52	
26JUL17	S	1	PD26OCT17	9980.00	11616.46	USD	-9,818.76	
26JUL17	S	1	PD26OCT17	9985.00	11616.46	USD	-9,788.76	
26JUL17	S	1	PD26OCT17	10040.00	11616.46	USD	-9,458.76	
26JUL17	S	1	PD26OCT17	10025.00	11616.46	USD	-9,548.76	
26JUL17	S	2	PD26OCT17	10010.00	11616.46	USD	-19,277.52	
26JUL17	S	1	PD26OCT17	10015.00	11616.46	USD	-9,608.76	
26JUL17	B	15	PD26OCT17	10041.00	11616.46	USD	141,791.40	
31AUG17	S	1	PD26OCT17	11774.73	11616.46	USD	949.62	
05SEP17	S	1	PD26OCT17	12052.85	11616.46	USD	2,618.34	
	NET	0AVG BGHT 10037.50		SOLD 10168.13			15,675.48	0.00
27JUL17	B	1	PD27OCT17	10015.00	11617.31	USD	9,613.86	

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27JUL17	B	1	PD27OCT17	10055.00	11617.31	USD	9,373.86	
27JUL17	S	1	PD27OCT17	10000.00	11617.31	USD	-9,703.86	
27JUL17	S	1	PD27OCT17	10120.00	11617.31	USD	-8,983.86	
	NET	0 AVG BGHT 10035.00	SOLD 10060.00				300.00	0.00
31JUL17	B	1	PD31OCT17	10235.00	11620.72	USD	8,314.32	
31JUL17	B	3	PD31OCT17	10225.00	11620.72	USD	25,122.96	
05SEP17	S	4	PD31OCT17	12056.88	11620.72	USD	10,467.84	
	NET	0 AVG BGHT 10227.50	SOLD 12056.88				43,905.12	0.00
01AUG17	B	2	PD01NOV17	10150.00	11621.57	USD	17,658.84	
05SEP17	S	2	PD01NOV17	12057.69	11621.57	USD	5,233.44	
	NET	0 AVG BGHT 10150.00	SOLD 12057.69				22,892.28	0.00
29SEP17	B	13	PD02NOV17	10446.50	11622.43	USD	91,722.54	
	NET	+13 AVG BGHT 10446.50					91,722.54	0.00
03AUG17	B	1	PD03NOV17	10305.00	11623.28	USD	7,909.68	
03AUG17	B	1	PD03NOV17	10335.00	11623.28	USD	7,729.68	
05SEP17	S	2	PD03NOV17	12059.31	11623.28	USD	5,232.36	
	NET	0 AVG BGHT 10320.00	SOLD 12059.31				20,871.72	0.00
07AUG17	S	1	PD07NOV17	10375.00	11626.69	USD	-7,510.14	
07AUG17	S	1	PD07NOV17	10370.00	11626.69	USD	-7,540.14	
08AUG17	B	2	PD07NOV17	10630.60	11626.69	USD	11,953.08	
	NET	0 AVG BGHT 10630.60	SOLD 10372.50				-3,097.20	0.00
14AUG17	B	1	PD14NOV17	10585.00	11632.65	USD	6,285.90	
14AUG17	B	1	PD14NOV17	10550.00	11632.65	USD	6,495.90	
05SEP17	S	2	PD14NOV17	12068.19	11632.65	USD	5,226.48	
	NET	0 AVG BGHT 10567.50	SOLD 12068.19				18,008.28	0.00
16AUG17	B	1	PD16NOV17	10425.00	11634.19	USD	7,255.14	
16AUG17	B	1	PD16NOV17	10445.00	11634.19	USD	7,135.14	
16AUG17	B	1	PD16NOV17	10450.00	11634.19	USD	7,105.14	
16AUG17	B	1	PD16NOV17	10665.00	11634.19	USD	5,815.14	
16AUG17	B	1	PD16NOV17	10670.00	11634.19	USD	5,785.14	
21AUG17	S	5	PD16NOV17	11312.50	11634.19	USD	-9,650.70	
	NET	0 AVG BGHT 10531.00	SOLD 11312.50				23,445.00	0.00
17AUG17	S	1	PD17NOV17	10650.00	11634.87	USD	-5,909.22	
17AUG17	S	1	PD17NOV17	10655.00	11634.87	USD	-5,879.22	
18AUG17	S	4	PD17NOV17	11000.00	11634.87	USD	-15,236.88	
21AUG17	B	5	PD17NOV17	11314.00	11634.87	USD	9,626.10	
22AUG17	B	1	PD17NOV17	11413.92	11634.87	USD	1,325.70	
	NET	0 AVG BGHT 11330.65	SOLD 10884.17				-16,073.52	0.00
22AUG17	S	1	PD22NOV17	11470.00	11638.30	USD	-1,009.80	
22AUG17	S	1	PD22NOV17	11445.00	11638.30	USD	-1,159.80	
22AUG17	S	2	PD22NOV17	11520.00	11638.30	USD	-1,419.60	
22AUG17	S	1	PD22NOV17	11535.00	11638.30	USD	-619.80	
22AUG17	S	1	PD22NOV17	11540.00	11638.30	USD	-589.80	
22AUG17	B	1	PD22NOV17	11445.00	11638.30	USD	1,159.80	
23AUG17	B	5	PD22NOV17	11659.75	11638.30	USD	-643.50	
	NET	0 AVG BGHT 11623.96	SOLD 11505.00				-4,282.50	0.00
23AUG17	B	1	PD24NOV17	11480.00	11639.67	USD	958.02	
25AUG17	B	2	PD24NOV17	11430.00	11639.67	USD	2,516.04	
25AUG17	B	1	PD24NOV17	11445.00	11639.67	USD	1,168.02	
25AUG17	B	1	PD24NOV17	11425.00	11639.67	USD	1,288.02	
25AUG17	B	2	PD24NOV17	11420.00	11639.67	USD	2,636.04	
05SEP17	S	1	PD24NOV17	12072.63	11639.67	USD	2,597.76	
12SEP17	S	6	PD24NOV17	11975.33	11639.67	USD	12,083.76	
	NET	0 AVG BGHT 11435.71	SOLD 11989.23				23,247.66	0.00

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SAP0094A

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
29AUG17	B	1	PD29NOV17	11620.00	11643.10	USD	138.60	
29AUG17	B	1	PD29NOV17	11715.00	11643.10	USD	-431.40	
29AUG17	B	1	PD29NOV17	11615.00	11643.10	USD	168.60	
29AUG17	B	1	PD29NOV17	11670.00	11643.10	USD	-161.40	
29AUG17	B	1	PD29NOV17	11645.00	11643.10	USD	-11.40	
29AUG17	B	1	PD29NOV17	11680.00	11643.10	USD	-221.40	
29AUG17	B	1	PD29NOV17	11700.00	11643.10	USD	-341.40	
29AUG17	B	1	PD29NOV17	11805.00	11643.10	USD	-971.40	
29AUG17	B	1	PD29NOV17	11730.00	11643.10	USD	-521.40	
29AUG17	B	2	PD29NOV17	11735.00	11643.10	USD	-1,102.80	
29AUG17	B	1	PD29NOV17	11690.00	11643.10	USD	-281.40	
12SEP17	S	12	PD29NOV17	11979.41	11643.10	USD	24,214.32	
	NET	0 AVG	BGHT 11695.00	SOLD 11979.41			20,477.52	0.00
30AUG17	B	2	PD30NOV17	11735.00	11643.79	USD	-1,094.52	
31AUG17	S	1	PD30NOV17	11700.00	11643.79	USD	337.26	
31AUG17	S	1	PD30NOV17	11675.00	11643.79	USD	187.26	
	NET	0 AVG	BGHT 11735.00	SOLD 11687.50			-570.00	0.00
01SEP17	S	1	PD01DEC17	11880.00	11644.47	USD	1,413.18	
01SEP17	S	1	PD01DEC17	11910.00	11644.47	USD	1,593.18	
01SEP17	S	1	PD01DEC17	11915.00	11644.47	USD	1,623.18	
01SEP17	S	1	PD01DEC17	11925.00	11644.47	USD	1,683.18	
01SEP17	S	1	PD01DEC17	11945.00	11644.47	USD	1,803.18	
01SEP17	S	1	PD01DEC17	11950.00	11644.47	USD	1,833.18	
01SEP17	S	1	PD01DEC17	11955.00	11644.47	USD	1,863.18	
01SEP17	S	1	PD01DEC17	11980.00	11644.47	USD	2,013.18	
01SEP17	S	2	PD01DEC17	11975.00	11644.47	USD	3,966.36	
01SEP17	S	4	PD01DEC17	11965.00	11644.47	USD	7,692.72	
01SEP17	S	2	PD01DEC17	11970.00	11644.47	USD	3,906.36	
01SEP17	S	1	PD01DEC17	12020.00	11644.47	USD	2,253.18	
01SEP17	S	1	PD01DEC17	12040.00	11644.47	USD	2,373.18	
12SEP17	B	18	PD01DEC17	11982.04	11644.47	USD	-36,457.56	
	NET	0 AVG	BGHT 11982.04	SOLD 11959.44			-2,440.32	0.00
08SEP17	B	1	PD08DEC17	11740.00	11649.27	USD	-544.38	
08SEP17	B	2	PD08DEC17	11745.00	11649.27	USD	-1,148.76	
08SEP17	B	1	PD08DEC17	11730.00	11649.27	USD	-484.38	
08SEP17	B	1	PD08DEC17	11495.00	11649.27	USD	925.62	
08SEP17	B	1	PD08DEC17	11515.00	11649.27	USD	805.62	
08SEP17	B	1	PD08DEC17	11535.00	11649.27	USD	685.62	
08SEP17	B	2	PD08DEC17	11525.00	11649.27	USD	1,491.24	
08SEP17	B	2	PD08DEC17	11490.00	11649.27	USD	1,911.24	
08SEP17	B	3	PD08DEC17	11505.00	11649.27	USD	2,596.86	
08SEP17	B	2	PD08DEC17	11510.00	11649.27	USD	1,671.24	
08SEP17	B	1	PD08DEC17	11480.00	11649.27	USD	1,015.62	
08SEP17	B	3	PD08DEC17	11485.00	11649.27	USD	2,956.86	
13SEP17	S	15	PD08DEC17	11355.46	11649.27	USD	-26,442.90	
14SEP17	S	5	PD08DEC17	11194.24	11649.27	USD	-13,650.90	
	NET	0 AVG	BGHT 11550.25	SOLD 11315.16			-28,211.40	0.00
12SEP17	B	1	PD12DEC17	11660.00	11652.01	USD	-47.94	
12SEP17	B	1	PD12DEC17	11685.00	11652.01	USD	-197.94	
22SEP17	S	2	PD12DEC17	10410.91	11652.01	USD	-14,893.20	
	NET	0 AVG	BGHT 11672.50	SOLD 10410.91			-15,139.08	0.00
13SEP17	B	2	PD13DEC17	11710.00	11652.70	USD	-687.60	
13SEP17	B	1	PD13DEC17	11680.00	11652.70	USD	-163.80	
13SEP17	B	1	PD13DEC17	11630.00	11652.70	USD	136.20	
13SEP17	B	1	PD13DEC17	11565.00	11652.70	USD	526.20	
13SEP17	B	1	PD13DEC17	11570.00	11652.70	USD	496.20	

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
13SEP17	B	2	PD13DEC17	11720.00	11652.70	USD	-807.60	
13SEP17	B	2	PD13DEC17	11725.00	11652.70	USD	-867.60	
14SEP17	S	10	PD13DEC17	11198.21	11652.70	USD	-27,269.40	
	NET	0 AVG BGHT 11675.50	SOLD 11198.21				-28,637.40	0.00
14SEP17	B	1	PD14DEC17	11430.00	11653.39	USD	1,340.34	
14SEP17	B	1	PD14DEC17	11165.00	11653.39	USD	2,930.34	
14SEP17	B	1	PD14DEC17	11235.00	11653.39	USD	2,510.34	
14SEP17	B	1	PD14DEC17	11270.00	11653.39	USD	2,300.34	
14SEP17	B	1	PD14DEC17	11335.00	11653.39	USD	1,910.34	
14SEP17	B	1	PD14DEC17	11160.00	11653.39	USD	2,960.34	
14SEP17	B	1	PD14DEC17	11140.00	11653.39	USD	3,080.34	
14SEP17	B	2	PD14DEC17	11180.00	11653.39	USD	5,680.68	
14SEP17	B	1	PD14DEC17	11155.00	11653.39	USD	2,990.34	
18SEP17	S	10	PD14DEC17	11230.97	11653.39	USD	-25,345.20	
	NET	0 AVG BGHT 11225.00	SOLD 11230.97				358.20	0.00
15SEP17	B	1	PD15DEC17	11130.00	11654.07	USD	3,144.42	
15SEP17	B	1	PD15DEC17	11135.00	11654.07	USD	3,114.42	
15SEP17	B	4	PD15DEC17	11140.00	11654.07	USD	12,337.68	
15SEP17	B	1	PD15DEC17	10945.00	11654.07	USD	4,254.42	
22SEP17	S	7	PD15DEC17	10413.57	11654.07	USD	-52,101.00	
	NET	0 AVG BGHT 11110.00	SOLD 10413.57				-29,250.06	0.00
19SEP17	B	1	PD19DEC17	11070.00	11656.81	USD	3,520.86	
19SEP17	B	1	PD19DEC17	11075.00	11656.81	USD	3,490.86	
19SEP17	S	2	PD19DEC17	11070.00	11656.81	USD	-7,041.72	
19SEP17	B	1	PD19DEC17	10980.00	11656.81	USD	4,060.86	
19SEP17	B	1	PD19DEC17	10990.00	11656.81	USD	4,000.86	
19SEP17	S	1	PD19DEC17	10985.00	11656.81	USD	-4,030.86	
19SEP17	B	1	PD19DEC17	11005.00	11656.81	USD	3,910.86	
22SEP17	S	2	PD19DEC17	10417.11	11656.81	USD	-14,876.40	
	NET	0 AVG BGHT 11024.00	SOLD 10791.84				-6,964.68	0.00
21SEP17	S	1	PD21DEC17	11100.00	11658.26	USD	-3,349.56	
21SEP17	S	3	PD21DEC17	11085.00	11658.26	USD	-10,318.68	
21SEP17	S	2	PD21DEC17	11090.00	11658.26	USD	-6,819.12	
21SEP17	S	3	PD21DEC17	11095.00	11658.26	USD	-10,138.68	
21SEP17	S	2	PD21DEC17	11110.00	11658.26	USD	-6,579.12	
21SEP17	S	2	PD21DEC17	11120.00	11658.26	USD	-6,459.12	
21SEP17	S	1	PD21DEC17	11125.00	11658.26	USD	-3,199.56	
22SEP17	B	11	PD21DEC17	10420.00	11658.26	USD	81,725.16	
29SEP17	B	3	PD21DEC17	10490.50	11658.26	USD	21,019.68	
	NET	0 AVG BGHT 10435.11	SOLD 11100.36				55,881.00	0.00
22SEP17	B	1	PD22DEC17	10625.00	11659.02	USD	6,204.12	
22SEP17	B	2	PD22DEC17	10605.00	11659.02	USD	12,648.24	
22SEP17	B	2	PD22DEC17	10525.00	11659.02	USD	13,608.24	
29SEP17	S	3	PD22DEC17	10490.50	11659.02	USD	-21,033.36	
29SEP17	S	2	PD22DEC17	10491.50	11659.02	USD	-14,010.24	
	NET	0 AVG BGHT 10577.00	SOLD 10490.90				-2,583.00	0.00
29SEP17	S	1	PD29DEC17	10440.00	11664.35	USD	-7,346.10	
29SEP17	S	2	PD29DEC17	10435.00	11664.35	USD	-14,752.20	
29SEP17	B	1	PD29DEC17	10430.00	11664.35	USD	7,406.10	
29SEP17	B	1	PD29DEC17	10505.00	11664.35	USD	6,956.10	
29SEP17	B	1	PD29DEC17	10525.00	11664.35	USD	6,836.10	
29SEP17	B	1	PD29DEC17	10455.00	11664.35	USD	7,256.10	
29SEP17	B	1	PD29DEC17	10485.00	11664.35	USD	7,076.10	
29SEP17	B	1	PD29DEC17	10490.00	11664.35	USD	7,046.10	
29SEP17	B	1	PD29DEC17	10480.00	11664.35	USD	7,106.10	
29SEP17	B	2	PD29DEC17	10501.00	11664.35	USD	13,960.20	

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
13OCT17	S 6 PD29DEC17	11664.35	11664.35	USD	0.00	
	NET 0AVG BGHT 10485.78 SOLD 11255.12				41,544.60	0.00
03OCT17	B 1 PD03JAN18	10500.00	11668.15	USD	7,008.90	
03OCT17	B 1 PD03JAN18	10485.00	11668.15	USD	7,098.90	
03OCT17	B 2 PD03JAN18	10490.00	11668.15	USD	14,137.80	
03OCT17	S 1 PD03JAN18	10710.00	11668.15	USD	-5,748.90	
13OCT17	S 3 PD03JAN18	11668.15	11668.15	USD	0.00	
	NET 0AVG BGHT 10491.25 SOLD 11428.61				22,496.70	0.00
04OCT17	S 1 PD04JAN18	10770.00	11668.91	USD	-5,393.46	
	NET -1AVG SOLD 10770.00				-5,393.46	0.00
12OCT17	S 1 PD12JAN18	11410.00	11675.00	USD	-1,590.00	
13OCT17	S 1 PD12JAN18	11600.00	11675.00	USD	-450.00	
13OCT17	S 1 PD12JAN18	11630.00	11675.00	USD	-270.00	
13OCT17	S 1 PD12JAN18	11635.00	11675.00	USD	-240.00	
13OCT17	S 5 PD12JAN18	11610.00	11675.00	USD	-1,950.00	
13OCT17	S 1 PD12JAN18	11615.00	11675.00	USD	-360.00	
13OCT17	S 1 PD12JAN18	11660.00	11675.00	USD	-90.00	
13OCT17	S 1 PD12JAN18	11650.00	11675.00	USD	-150.00	
13OCT17	S 2 PD12JAN18	11595.00	11675.00	USD	-960.00	
13OCT17	S 1 PD12JAN18	11730.00	11675.00	USD	330.00	
13OCT17	B 9 PD12JAN18	11676.00	11675.00	USD	-54.00	
	NET -6AVG BGHT 11676.00 SOLD 11611.33				-5,784.00	0.00
	<u>CMX SILVER 5,000 CMX</u>					
29SEP17	B 1 DEC17	1675.0	1741.1	USD	3,305.00	
29SEP17	B 2 DEC17	1674.5	1741.1	USD	6,660.00	
29SEP17	B 2 DEC17	1674.0	1741.1	USD	6,710.00	
29SEP17	B 2 DEC17	1673.5	1741.1	USD	6,760.00	
	NET +7AVG BGHT 1674.1				23,435.00	0.00
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
17JUL17	S 1 PD17OCT17	2814.00	3294.00	USD	-12,000.00	
17JUL17	S 1 PD17OCT17	2814.50	3294.00	USD	-11,987.50	
17JUL17	S 1 PD17OCT17	2815.50	3294.00	USD	-11,962.50	
17JUL17	S 1 PD17OCT17	2816.50	3294.00	USD	-11,937.50	
17JUL17	S 1 PD17OCT17	2835.50	3294.00	USD	-11,462.50	
17JUL17	S 1 PD17OCT17	2838.50	3294.00	USD	-11,387.50	
17JUL17	S 1 PD17OCT17	2839.00	3294.00	USD	-11,375.00	
17JUL17	B 1 PD17OCT17	2821.50	3294.00	USD	11,812.50	
17JUL17	B 1 PD17OCT17	2823.00	3294.00	USD	11,775.00	
17JUL17	B 2 PD17OCT17	2822.00	3294.00	USD	23,600.00	
17JUL17	S 1 PD17OCT17	2815.00	3294.00	USD	-11,975.00	
17JUL17	B 1 PD17OCT17	2832.50	3294.00	USD	11,537.50	
18JUL17	B 3 PD17OCT17	2794.01	3294.00	USD	37,499.25	
	NET 0AVG BGHT 2812.88 SOLD 2823.56				2,136.75	0.00
18JUL17	B 1 PD18OCT17	2821.50	3291.00	USD	11,737.50	
18JUL17	B 1 PD18OCT17	2793.00	3291.00	USD	12,450.00	
18JUL17	B 1 PD18OCT17	2795.50	3291.00	USD	12,387.50	
18JUL17	B 1 PD18OCT17	2778.50	3291.00	USD	12,812.50	
18JUL17	B 2 PD18OCT17	2779.50	3291.00	USD	25,575.00	
18JUL17	B 1 PD18OCT17	2780.00	3291.00	USD	12,775.00	
18JUL17	B 1 PD18OCT17	2776.50	3291.00	USD	12,862.50	
18JUL17	B 1 PD18OCT17	2777.00	3291.00	USD	12,850.00	
18JUL17	B 1 PD18OCT17	2777.50	3291.00	USD	12,837.50	
18JUL17	B 1 PD18OCT17	2778.00	3291.00	USD	12,825.00	
18JUL17	B 2 PD18OCT17	2776.00	3291.00	USD	25,750.00	

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18JUL17	B	1	PD18OCT17		2794.00	3291.00	USD	12,425.00	
18JUL17	S	10	PD18OCT17		2778.86	3291.00	USD	-128,035.00	
18JUL17	S	4	PD18OCT17		2793.80	3291.00	USD	-49,720.00	
	NET	0AVG BGHT 2784.46	SOLD 2783.13					-467.50	0.00
19JUL17	S	1	PD19OCT17		2792.50	3286.50	USD	-12,350.00	
19JUL17	S	1	PD19OCT17		2795.00	3286.50	USD	-12,287.50	
19JUL17	S	1	PD19OCT17		2796.50	3286.50	USD	-12,250.00	
19JUL17	S	1	PD19OCT17		2797.00	3286.50	USD	-12,237.50	
19JUL17	B	1	PD19OCT17		2772.00	3286.50	USD	12,862.50	
19JUL17	B	1	PD19OCT17		2773.00	3286.50	USD	12,837.50	
19JUL17	B	1	PD19OCT17		2773.50	3286.50	USD	12,825.00	
19JUL17	B	1	PD19OCT17		2766.00	3286.50	USD	13,012.50	
19JUL17	B	2	PD19OCT17		2768.00	3286.50	USD	25,925.00	
19JUL17	B	1	PD19OCT17		2770.50	3286.50	USD	12,900.00	
19JUL17	B	2	PD19OCT17		2774.00	3286.50	USD	25,625.00	
19JUL17	S	1	PD19OCT17		2773.50	3286.50	USD	-12,825.00	
19JUL17	B	1	PD19OCT17		2756.00	3286.50	USD	13,262.50	
19JUL17	B	1	PD19OCT17		2756.50	3286.50	USD	13,250.00	
19JUL17	B	1	PD19OCT17		2759.50	3286.50	USD	13,175.00	
19JUL17	B	1	PD19OCT17		2767.00	3286.50	USD	12,987.50	
19JUL17	B	1	PD19OCT17		2747.00	3286.50	USD	13,487.50	
19JUL17	S	1	PD19OCT17		2739.00	3286.50	USD	-13,687.50	
19JUL17	S	1	PD19OCT17		2740.00	3286.50	USD	-13,662.50	
21JUL17	S	5	PD19OCT17		2753.50	3286.50	USD	-66,625.00	
24JUL17	S	2	PD19OCT17		2787.50	3286.50	USD	-24,950.00	
	NET	0AVG BGHT 2766.07	SOLD 2769.71					1,275.00	0.00
20JUL17	S	2	PD20OCT17		2735.50	3282.00	USD	-27,325.00	
20JUL17	S	1	PD20OCT17		2726.50	3282.00	USD	-13,887.50	
20JUL17	S	2	PD20OCT17		2725.50	3282.00	USD	-27,825.00	
21JUL17	S	1	PD20OCT17		2754.00	3282.00	USD	-13,200.00	
21JUL17	S	1	PD20OCT17		2756.50	3282.00	USD	-13,137.50	
21JUL17	S	1	PD20OCT17		2758.50	3282.00	USD	-13,087.50	
21JUL17	S	2	PD20OCT17		2766.00	3282.00	USD	-25,800.00	
21JUL17	S	1	PD20OCT17		2764.50	3282.00	USD	-12,937.50	
21JUL17	S	4	PD20OCT17		2765.50	3282.00	USD	-51,650.00	
21JUL17	S	2	PD20OCT17		2766.50	3282.00	USD	-25,775.00	
21JUL17	S	1	PD20OCT17		2763.00	3282.00	USD	-12,975.00	
21JUL17	S	3	PD20OCT17		2765.00	3282.00	USD	-38,775.00	
21JUL17	S	1	PD20OCT17		2762.00	3282.00	USD	-13,000.00	
21JUL17	B	1	PD20OCT17		2756.00	3282.00	USD	13,150.00	
21JUL17	B	1	PD20OCT17		2743.00	3282.00	USD	13,475.00	
21JUL17	B	1	PD20OCT17		2743.50	3282.00	USD	13,462.50	
21JUL17	B	1	PD20OCT17		2744.50	3282.00	USD	13,437.50	
21JUL17	B	1	PD20OCT17		2747.00	3282.00	USD	13,375.00	
21JUL17	B	1	PD20OCT17		2753.00	3282.00	USD	13,225.00	
21JUL17	B	1	PD20OCT17		2755.00	3282.00	USD	13,175.00	
21JUL17	B	1	PD20OCT17		2751.50	3282.00	USD	13,262.50	
21JUL17	B	1	PD20OCT17		2750.50	3282.00	USD	13,287.50	
21JUL17	B	1	PD20OCT17		2751.00	3282.00	USD	13,275.00	
21JUL17	B	4	PD20OCT17		2754.00	3282.00	USD	52,800.00	
21JUL17	B	5	PD20OCT17		2753.70	3282.00	USD	66,037.50	
21JUL17	B	1	PD20OCT17		2757.50	3282.00	USD	13,112.50	
24JUL17	B	2	PD20OCT17		2787.80	3282.00	USD	24,710.00	
	NET	0AVG BGHT 2755.12	SOLD 2755.86					410.00	0.00
24JUL17	S	1	PD24OCT17		2776.50	3276.00	USD	-12,487.50	
24JUL17	S	3	PD24OCT17		2777.50	3276.00	USD	-37,387.50	

OPEN POSITION STATEMENT



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SAP0094A

13th October 2017

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Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
24JUL17	S	1	PD240CT17	2779.50	3276.00	USD	-12,412.50	
24JUL17	S	2	PD240CT17	2777.00	3276.00	USD	-24,950.00	
24JUL17	S	1	PD240CT17	2778.00	3276.00	USD	-12,450.00	
24JUL17	B	2	PD240CT17	2768.50	3276.00	USD	25,375.00	
24JUL17	B	1	PD240CT17	2771.00	3276.00	USD	12,625.00	
24JUL17	B	1	PD240CT17	2783.00	3276.00	USD	12,325.00	
24JUL17	S	1	PD240CT17	2787.50	3276.00	USD	-12,212.50	
25JUL17	B	5	PD240CT17	2836.03	3276.00	USD	54,996.25	
	NET	0AVG	BGHT 2807.91	SOLD 2778.67			-6,578.75	0.00
25JUL17	S	1	PD250CT17	2804.50	3273.00	USD	-11,712.50	
25JUL17	S	1	PD250CT17	2805.00	3273.00	USD	-11,700.00	
25JUL17	S	1	PD250CT17	2812.50	3273.00	USD	-11,512.50	
25JUL17	S	1	PD250CT17	2815.50	3273.00	USD	-11,437.50	
25JUL17	S	1	PD250CT17	2820.00	3273.00	USD	-11,325.00	
25JUL17	S	1	PD250CT17	2821.00	3273.00	USD	-11,300.00	
25JUL17	S	1	PD250CT17	2826.50	3273.00	USD	-11,162.50	
25JUL17	S	1	PD250CT17	2827.00	3273.00	USD	-11,150.00	
25JUL17	S	5	PD250CT17	2828.00	3273.00	USD	-55,625.00	
25JUL17	S	1	PD250CT17	2828.50	3273.00	USD	-11,112.50	
25JUL17	S	1	PD250CT17	2832.50	3273.00	USD	-11,012.50	
25JUL17	S	1	PD250CT17	2833.00	3273.00	USD	-11,000.00	
25JUL17	S	3	PD250CT17	2833.50	3273.00	USD	-32,962.50	
25JUL17	S	5	PD250CT17	2831.00	3273.00	USD	-55,250.00	
25JUL17	S	1	PD250CT17	2831.50	3273.00	USD	-11,037.50	
25JUL17	B	1	PD250CT17	2827.50	3273.00	USD	11,137.50	
25JUL17	B	2	PD250CT17	2829.00	3273.00	USD	22,200.00	
25JUL17	B	1	PD250CT17	2826.00	3273.00	USD	11,175.00	
25JUL17	B	2	PD250CT17	2826.50	3273.00	USD	22,325.00	
25JUL17	B	2	PD250CT17	2839.50	3273.00	USD	21,675.00	
25JUL17	B	2	PD250CT17	2838.00	3273.00	USD	21,750.00	
25JUL17	B	3	PD250CT17	2839.00	3273.00	USD	32,550.00	
25JUL17	B	1	PD250CT17	2838.50	3273.00	USD	10,862.50	
25JUL17	B	10	PD250CT17	2836.20	3273.00	USD	109,200.00	
27JUL17	B	1	PD250CT17	2800.63	3273.00	USD	11,809.25	
	NET	0AVG	BGHT 2833.51	SOLD 2826.12			-4,615.75	0.00
26JUL17	B	2	PD260CT17	2817.00	3271.87	USD	22,743.50	
26JUL17	B	1	PD260CT17	2822.50	3271.87	USD	11,234.25	
26JUL17	B	1	PD260CT17	2824.00	3271.87	USD	11,196.75	
26JUL17	B	1	PD260CT17	2821.50	3271.87	USD	11,259.25	
26JUL17	B	2	PD260CT17	2818.00	3271.87	USD	22,693.50	
26JUL17	B	2	PD260CT17	2818.50	3271.87	USD	22,668.50	
26JUL17	B	1	PD260CT17	2819.00	3271.87	USD	11,321.75	
26JUL17	B	1	PD260CT17	2814.00	3271.87	USD	11,446.75	
26JUL17	B	1	PD260CT17	2813.00	3271.87	USD	11,471.75	
26JUL17	B	3	PD260CT17	2806.00	3271.87	USD	34,940.25	
26JUL17	B	1	PD260CT17	2808.50	3271.87	USD	11,584.25	
26JUL17	B	1	PD260CT17	2812.00	3271.87	USD	11,496.75	
26JUL17	B	2	PD260CT17	2807.50	3271.87	USD	23,218.50	
26JUL17	B	1	PD260CT17	2808.00	3271.87	USD	11,596.75	
26JUL17	B	2	PD260CT17	2807.00	3271.87	USD	23,243.50	
26JUL17	B	1	PD260CT17	2805.50	3271.87	USD	11,659.25	
26JUL17	B	1	PD260CT17	2805.00	3271.87	USD	11,671.75	
26JUL17	B	1	PD260CT17	2803.50	3271.87	USD	11,709.25	
26JUL17	S	10	PD260CT17	2807.80	3271.87	USD	-116,017.50	
26JUL17	B	1	PD260CT17	2802.00	3271.87	USD	11,746.75	
26JUL17	S	1	PD260CT17	2800.00	3271.87	USD	-11,796.75	
27JUL17	S	1	PD260CT17	2800.55	3271.87	USD	-11,783.00	

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>								
28JUL17	S	1	PD260CT17		2775.75	3271.87	USD	-12,403.00	
01AUG17	S	13	PD260CT17		2778.31	3271.87	USD	-160,407.00	
	NET	0AVG	BGHT 2812.02	SOLD 2791.24				-13,504.25	0.00
27JUL17	S	1	PD270CT17		2828.00	3270.73	USD	-11,068.25	
27JUL17	S	1	PD270CT17		2828.50	3270.73	USD	-11,055.75	
27JUL17	S	1	PD270CT17		2820.50	3270.73	USD	-11,255.75	
27JUL17	S	1	PD270CT17		2821.50	3270.73	USD	-11,230.75	
27JUL17	B	1	PD270CT17		2790.00	3270.73	USD	12,018.25	
27JUL17	B	1	PD270CT17		2791.00	3270.73	USD	11,993.25	
27JUL17	B	1	PD270CT17		2792.50	3270.73	USD	11,955.75	
28JUL17	B	2	PD270CT17		2780.00	3270.73	USD	24,536.50	
28JUL17	B	1	PD270CT17		2785.50	3270.73	USD	12,130.75	
28JUL17	B	1	PD270CT17		2772.00	3270.73	USD	12,468.25	
28JUL17	B	1	PD270CT17		2775.50	3270.73	USD	12,380.75	
28JUL17	B	1	PD270CT17		2770.50	3270.73	USD	12,505.75	
28JUL17	B	1	PD270CT17		2773.50	3270.73	USD	12,430.75	
28JUL17	B	1	PD270CT17		2774.00	3270.73	USD	12,418.25	
28JUL17	B	1	PD270CT17		2768.50	3270.73	USD	12,555.75	
28JUL17	B	2	PD270CT17		2769.00	3270.73	USD	25,086.50	
28JUL17	B	2	PD270CT17		2769.50	3270.73	USD	25,061.50	
28JUL17	B	2	PD270CT17		2771.50	3270.73	USD	24,961.50	
28JUL17	B	3	PD270CT17		2786.50	3270.73	USD	36,317.25	
28JUL17	B	2	PD270CT17		2792.00	3270.73	USD	23,936.50	
28JUL17	B	1	PD270CT17		2793.00	3270.73	USD	11,943.25	
28JUL17	B	1	PD270CT17		2793.50	3270.73	USD	11,930.75	
28JUL17	B	1	PD270CT17		2778.50	3270.73	USD	12,305.75	
28JUL17	B	1	PD270CT17		2780.50	3270.73	USD	12,255.75	
28JUL17	S	1	PD270CT17		2785.00	3270.73	USD	-12,143.25	
28JUL17	S	1	PD270CT17		2787.00	3270.73	USD	-12,093.25	
28JUL17	S	1	PD270CT17		2787.50	3270.73	USD	-12,080.75	
28JUL17	S	1	PD270CT17		2786.50	3270.73	USD	-12,105.75	
28JUL17	B	1	PD270CT17		2784.50	3270.73	USD	12,155.75	
28JUL17	S	1	PD270CT17		2783.50	3270.73	USD	-12,180.75	
28JUL17	S	1	PD270CT17		2784.00	3270.73	USD	-12,168.25	
28JUL17	S	4	PD270CT17		2783.00	3270.73	USD	-48,773.00	
28JUL17	S	1	PD270CT17		2785.50	3270.73	USD	-12,130.75	
28JUL17	B	1	PD270CT17		2776.20	3270.73	USD	12,363.25	
03AUG17	S	2	PD270CT17		2790.25	3270.73	USD	-24,024.00	
04AUG17	S	8	PD270CT17		2810.50	3270.73	USD	-92,046.00	
09AUG17	S	1	PD270CT17		2929.75	3270.73	USD	-8,524.50	
17AUG17	S	3	PD270CT17		3060.49	3270.73	USD	-15,768.00	
	NET	0AVG	BGHT 2780.09	SOLD 2831.21				37,063.00	0.00
31JUL17	S	1	PD310CT17		2810.50	3268.47	USD	-11,449.25	
31JUL17	S	1	PD310CT17		2812.00	3268.47	USD	-11,411.75	
31JUL17	B	2	PD310CT17		2817.00	3268.47	USD	22,573.50	
31JUL17	B	1	PD310CT17		2819.00	3268.47	USD	11,236.75	
31JUL17	B	1	PD310CT17		2821.00	3268.47	USD	11,186.75	
31JUL17	S	1	PD310CT17		2803.00	3268.47	USD	-11,636.75	
31JUL17	S	1	PD310CT17		2812.50	3268.47	USD	-11,399.25	
31JUL17	S	1	PD310CT17		2813.00	3268.47	USD	-11,386.75	
31JUL17	S	1	PD310CT17		2822.50	3268.47	USD	-11,149.25	
31JUL17	S	1	PD310CT17		2823.00	3268.47	USD	-11,136.75	
31JUL17	S	1	PD310CT17		2826.00	3268.47	USD	-11,061.75	
31JUL17	S	1	PD310CT17		2826.50	3268.47	USD	-11,049.25	
31JUL17	B	2	PD310CT17		2818.50	3268.47	USD	22,498.50	
31JUL17	B	1	PD310CT17		2812.00	3268.47	USD	11,411.75	
31JUL17	B	1	PD310CT17		2815.00	3268.47	USD	11,336.75	

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31JUL17	B	2	PD31OCT17	2815.50	3268.47	USD	22,648.50	
31JUL17	S	1	PD31OCT17	2807.50	3268.47	USD	-11,524.25	
31JUL17	S	1	PD31OCT17	2798.00	3268.47	USD	-11,761.75	
31JUL17	S	1	PD31OCT17	2800.50	3268.47	USD	-11,699.25	
31JUL17	S	1	PD31OCT17	2796.00	3268.47	USD	-11,811.75	
31JUL17	S	1	PD31OCT17	2794.00	3268.47	USD	-11,861.75	
31JUL17	B	1	PD31OCT17	2798.50	3268.47	USD	11,749.25	
31JUL17	S	3	PD31OCT17	2803.50	3268.47	USD	-34,872.75	
31JUL17	S	2	PD31OCT17	2825.50	3268.47	USD	-22,148.50	
31JUL17	B	2	PD31OCT17	2822.00	3268.47	USD	22,323.50	
31JUL17	B	2	PD31OCT17	2816.00	3268.47	USD	22,623.50	
31JUL17	S	2	PD31OCT17	2792.50	3268.47	USD	-23,798.50	
31JUL17	S	5	PD31OCT17	2798.50	3268.47	USD	-58,746.25	
31JUL17	S	1	PD31OCT17	2811.50	3268.47	USD	-11,424.25	
31JUL17	S	2	PD31OCT17	2807.00	3268.47	USD	-23,073.50	
31JUL17	B	1	PD31OCT17	2794.50	3268.47	USD	11,849.25	
01AUG17	B	13	PD31OCT17	2779.92	3268.47	USD	158,778.75	
	NET	0AVG BGHT 2799.21	SOLD 2807.22				5,813.50	0.00
01AUG17	B	1	PD01NOV17	2786.00	3267.33	USD	12,033.25	
01AUG17	B	1	PD01NOV17	2775.00	3267.33	USD	12,308.25	
01AUG17	S	1	PD01NOV17	2784.50	3267.33	USD	-12,070.75	
01AUG17	S	1	PD01NOV17	2786.00	3267.33	USD	-12,033.25	
01AUG17	S	1	PD01NOV17	2786.50	3267.33	USD	-12,020.75	
01AUG17	B	1	PD01NOV17	2782.50	3267.33	USD	12,120.75	
	NET	0AVG BGHT 2781.17	SOLD 2785.67				337.50	0.00
02AUG17	B	2	PD02NOV17	2769.00	3266.20	USD	24,860.00	
02AUG17	B	1	PD02NOV17	2767.50	3266.20	USD	12,467.50	
02AUG17	B	2	PD02NOV17	2768.00	3266.20	USD	24,910.00	
02AUG17	S	1	PD02NOV17	2785.50	3266.20	USD	-12,017.50	
02AUG17	S	2	PD02NOV17	2801.50	3266.20	USD	-23,235.00	
02AUG17	S	1	PD02NOV17	2802.50	3266.20	USD	-11,592.50	
02AUG17	S	2	PD02NOV17	2804.00	3266.20	USD	-23,110.00	
02AUG17	S	1	PD02NOV17	2804.50	3266.20	USD	-11,542.50	
03AUG17	B	2	PD02NOV17	2791.95	3266.20	USD	23,712.50	
27SEP17	S	6	PD02NOV17	3113.00	3266.20	USD	-22,980.00	
02OCT17	S	2	PD02NOV17	3242.00	3266.20	USD	-1,210.00	
03OCT17	S	10	PD02NOV17	3241.35	3266.20	USD	-6,212.50	
03OCT17	S	20	PD02NOV17	3273.50	3266.20	USD	3,650.00	
10OCT17	B	6	PD02NOV17	3249.00	3266.20	USD	2,580.00	
13OCT17	S	5	PD02NOV17	3266.20	3266.20	USD	0.00	
	NET	-37AVG BGHT 2993.80	SOLD 3179.60				-19,720.00	0.00
03AUG17	B	1	PD03NOV17	2807.00	3265.07	USD	11,451.75	
03AUG17	B	1	PD03NOV17	2817.50	3265.07	USD	11,189.25	
03AUG17	S	1	PD03NOV17	2815.50	3265.07	USD	-11,239.25	
03AUG17	S	1	PD03NOV17	2817.00	3265.07	USD	-11,201.75	
03AUG17	S	1	PD03NOV17	2818.00	3265.07	USD	-11,176.75	
03AUG17	S	1	PD03NOV17	2819.00	3265.07	USD	-11,151.75	
03AUG17	B	2	PD03NOV17	2819.00	3265.07	USD	22,303.50	
03AUG17	S	2	PD03NOV17	2818.50	3265.07	USD	-22,328.50	
03AUG17	S	1	PD03NOV17	2819.50	3265.07	USD	-11,139.25	
03AUG17	S	1	PD03NOV17	2815.00	3265.07	USD	-11,251.75	
03AUG17	S	1	PD03NOV17	2803.00	3265.07	USD	-11,551.75	
03AUG17	S	2	PD03NOV17	2804.50	3265.07	USD	-23,028.50	
03AUG17	S	1	PD03NOV17	2805.00	3265.07	USD	-11,501.75	
03AUG17	S	1	PD03NOV17	2806.50	3265.07	USD	-11,464.25	
03AUG17	S	1	PD03NOV17	2807.00	3265.07	USD	-11,451.75	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0094A

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
03AUG17	B	1	PD03NOV17	2799.50	3265.07	USD	11,639.25	
03AUG17	B	1	PD03NOV17	2798.50	3265.07	USD	11,664.25	
03AUG17	B	1	PD03NOV17	2797.50	3265.07	USD	11,689.25	
03AUG17	B	1	PD03NOV17	2793.00	3265.07	USD	11,801.75	
03AUG17	B	1	PD03NOV17	2793.50	3265.07	USD	11,789.25	
03AUG17	S	1	PD03NOV17	2792.00	3265.07	USD	-11,826.75	
03AUG17	S	1	PD03NOV17	2792.50	3265.07	USD	-11,814.25	
03AUG17	S	1	PD03NOV17	2793.00	3265.07	USD	-11,801.75	
04AUG17	S	2	PD03NOV17	2805.50	3265.07	USD	-22,978.50	
04AUG17	S	1	PD03NOV17	2806.00	3265.07	USD	-11,476.75	
04AUG17	S	1	PD03NOV17	2808.00	3265.07	USD	-11,426.75	
04AUG17	S	1	PD03NOV17	2819.00	3265.07	USD	-11,151.75	
04AUG17	S	1	PD03NOV17	2825.50	3265.07	USD	-10,989.25	
04AUG17	S	1	PD03NOV17	2812.50	3265.07	USD	-11,314.25	
04AUG17	B	1	PD03NOV17	2811.50	3265.07	USD	11,339.25	
04AUG17	B	1	PD03NOV17	2816.00	3265.07	USD	11,226.75	
04AUG17	B	1	PD03NOV17	2818.50	3265.07	USD	11,164.25	
04AUG17	B	1	PD03NOV17	2819.00	3265.07	USD	11,151.75	
04AUG17	B	6	PD03NOV17	2820.00	3265.07	USD	66,760.50	
04AUG17	B	1	PD03NOV17	2808.50	3265.07	USD	11,414.25	
04AUG17	B	1	PD03NOV17	2809.50	3265.07	USD	11,389.25	
04AUG17	B	1	PD03NOV17	2803.00	3265.07	USD	11,551.75	
04AUG17	S	1	PD03NOV17	2813.00	3265.07	USD	-11,301.75	
04AUG17	S	1	PD03NOV17	2816.00	3265.07	USD	-11,226.75	
04AUG17	B	8	PD03NOV17	2812.20	3265.07	USD	90,574.00	
04AUG17	B	1	PD03NOV17	2813.00	3265.07	USD	11,301.75	
04AUG17	B	2	PD03NOV17	2813.50	3265.07	USD	22,578.50	
04AUG17	S	1	PD03NOV17	2813.50	3265.07	USD	-11,289.25	
17AUG17	S	2	PD03NOV17	3060.69	3265.07	USD	-10,219.00	
23AUG17	S	3	PD03NOV17	3095.42	3265.07	USD	-12,723.75	
30AUG17	S	1	PD03NOV17	3092.40	3265.07	USD	-4,316.75	
	NET	0 AVG BGHT 2811.76	SOLD 2859.80				39,636.00	0.00
07AUG17	S	1	PD07NOV17	2820.00	3262.80	USD	-11,070.00	
07AUG17	S	1	PD07NOV17	2871.50	3262.80	USD	-9,782.50	
07AUG17	B	1	PD07NOV17	2865.00	3262.80	USD	9,945.00	
07AUG17	B	1	PD07NOV17	2863.00	3262.80	USD	9,995.00	
07AUG17	B	1	PD07NOV17	2867.00	3262.80	USD	9,895.00	
07AUG17	B	1	PD07NOV17	2868.50	3262.80	USD	9,857.50	
07AUG17	B	2	PD07NOV17	2868.00	3262.80	USD	19,740.00	
07AUG17	B	8	PD07NOV17	2858.20	3262.80	USD	80,920.00	
29AUG17	S	5	PD07NOV17	3108.50	3262.80	USD	-19,287.50	
30AUG17	S	7	PD07NOV17	3093.00	3262.80	USD	-29,715.00	
	NET	0 AVG BGHT 2861.79	SOLD 3063.21				70,497.50	0.00
08AUG17	S	2	PD08NOV17	2877.50	3261.67	USD	-19,208.50	
08AUG17	S	1	PD08NOV17	2879.00	3261.67	USD	-9,566.75	
08AUG17	S	2	PD08NOV17	2880.50	3261.67	USD	-19,058.50	
08AUG17	S	1	PD08NOV17	2881.00	3261.67	USD	-9,516.75	
08AUG17	S	1	PD08NOV17	2881.50	3261.67	USD	-9,504.25	
08AUG17	S	1	PD08NOV17	2904.50	3261.67	USD	-8,929.25	
08AUG17	S	1	PD08NOV17	2922.00	3261.67	USD	-8,491.75	
08AUG17	S	1	PD08NOV17	2923.00	3261.67	USD	-8,466.75	
08AUG17	S	1	PD08NOV17	2928.50	3261.67	USD	-8,329.25	
08AUG17	S	1	PD08NOV17	2929.00	3261.67	USD	-8,316.75	
08AUG17	S	1	PD08NOV17	2930.00	3261.67	USD	-8,291.75	
08AUG17	B	12	PD08NOV17	2925.20	3261.67	USD	100,941.00	
09AUG17	B	1	PD08NOV17	2931.51	3261.67	USD	8,254.00	
	NET	0 AVG BGHT 2925.69	SOLD 2899.58				-8,485.25	0.00

OPEN POSITION STATEMENT



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09AUG17	S	1	PD09NOV17	2966.50	3260.53	USD	-7,350.75	
09AUG17	S	3	PD09NOV17	2976.50	3260.53	USD	-21,302.25	
09AUG17	S	1	PD09NOV17	2978.00	3260.53	USD	-7,063.25	
09AUG17	S	2	PD09NOV17	2979.50	3260.53	USD	-14,051.50	
09AUG17	S	1	PD09NOV17	2962.00	3260.53	USD	-7,463.25	
09AUG17	S	1	PD09NOV17	2963.00	3260.53	USD	-7,438.25	
09AUG17	S	1	PD09NOV17	2963.50	3260.53	USD	-7,425.75	
09AUG17	S	1	PD09NOV17	2968.00	3260.53	USD	-7,313.25	
09AUG17	B	1	PD09NOV17	2942.50	3260.53	USD	7,950.75	
09AUG17	B	20	PD09NOV17	2931.70	3260.53	USD	164,415.00	
30AUG17	S	2	PD09NOV17	3093.30	3260.53	USD	-8,361.50	
31AUG17	S	7	PD09NOV17	3144.30	3260.53	USD	-20,340.25	
05SEP17	S	1	PD09NOV17	3138.10	3260.53	USD	-3,060.75	
	NET	0 AVG BGHT 2932.21	SOLD 3048.78				61,195.00	0.00
11AUG17	B	1	PD10NOV17	2910.50	3259.40	USD	8,722.50	
11AUG17	B	1	PD10NOV17	2892.00	3259.40	USD	9,185.00	
11AUG17	B	1	PD10NOV17	2900.00	3259.40	USD	8,985.00	
11AUG17	S	1	PD10NOV17	2902.00	3259.40	USD	-8,935.00	
11AUG17	S	1	PD10NOV17	2900.00	3259.40	USD	-8,985.00	
05SEP17	S	1	PD10NOV17	3138.19	3259.40	USD	-3,030.25	
	NET	0 AVG BGHT 2900.83	SOLD 2980.06				5,942.25	0.00
14AUG17	S	1	PD14NOV17	2919.00	3257.13	USD	-8,453.25	
14AUG17	S	2	PD14NOV17	2917.00	3257.13	USD	-17,006.50	
14AUG17	S	1	PD14NOV17	2918.00	3257.13	USD	-8,478.25	
14AUG17	B	1	PD14NOV17	2907.50	3257.13	USD	8,740.75	
14AUG17	B	1	PD14NOV17	2909.00	3257.13	USD	8,703.25	
14AUG17	B	1	PD14NOV17	2903.50	3257.13	USD	8,840.75	
14AUG17	B	1	PD14NOV17	2909.50	3257.13	USD	8,690.75	
14AUG17	B	1	PD14NOV17	2900.00	3257.13	USD	8,928.25	
14AUG17	B	1	PD14NOV17	2900.50	3257.13	USD	8,915.75	
14AUG17	B	2	PD14NOV17	2901.50	3257.13	USD	17,781.50	
14AUG17	B	1	PD14NOV17	2905.00	3257.13	USD	8,803.25	
14AUG17	B	1	PD14NOV17	2908.00	3257.13	USD	8,728.25	
14AUG17	B	1	PD14NOV17	2905.50	3257.13	USD	8,790.75	
14AUG17	B	1	PD14NOV17	2906.50	3257.13	USD	8,765.75	
14AUG17	B	1	PD14NOV17	2904.50	3257.13	USD	8,815.75	
14AUG17	B	2	PD14NOV17	2906.00	3257.13	USD	17,556.50	
14AUG17	S	2	PD14NOV17	2914.00	3257.13	USD	-17,156.50	
14AUG17	S	1	PD14NOV17	2914.50	3257.13	USD	-8,565.75	
14AUG17	S	1	PD14NOV17	2915.00	3257.13	USD	-8,553.25	
14AUG17	S	3	PD14NOV17	2915.50	3257.13	USD	-25,622.25	
14AUG17	S	1	PD14NOV17	2916.50	3257.13	USD	-8,515.75	
23AUG17	S	3	PD14NOV17	3096.68	3257.13	USD	-12,033.75	
30AUG17	B	10	PD14NOV17	3094.45	3257.13	USD	40,670.00	
12SEP17	S	10	PD14NOV17	3057.75	3257.13	USD	-49,845.00	
	NET	0 AVG BGHT 2980.76	SOLD 2994.36				8,501.00	0.00
16AUG17	S	1	PD16NOV17	2979.00	3253.50	USD	-6,862.50	
16AUG17	S	2	PD16NOV17	2979.50	3253.50	USD	-13,700.00	
16AUG17	S	1	PD16NOV17	2980.50	3253.50	USD	-6,825.00	
16AUG17	S	1	PD16NOV17	2982.50	3253.50	USD	-6,775.00	
16AUG17	S	1	PD16NOV17	2984.00	3253.50	USD	-6,737.50	
16AUG17	S	1	PD16NOV17	2986.00	3253.50	USD	-6,687.50	
16AUG17	S	1	PD16NOV17	2990.00	3253.50	USD	-6,587.50	
16AUG17	S	1	PD16NOV17	2990.50	3253.50	USD	-6,575.00	
16AUG17	S	2	PD16NOV17	2987.00	3253.50	USD	-13,325.00	
16AUG17	S	1	PD16NOV17	2987.50	3253.50	USD	-6,650.00	

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16AUG17	S	1	PD16NOV17	2989.00	3253.50	USD	-6,612.50	
16AUG17	S	3	PD16NOV17	2989.50	3253.50	USD	-19,800.00	
16AUG17	S	2	PD16NOV17	2994.00	3253.50	USD	-12,975.00	
16AUG17	S	1	PD16NOV17	3013.00	3253.50	USD	-6,012.50	
16AUG17	S	1	PD16NOV17	3012.00	3253.50	USD	-6,037.50	
16AUG17	S	1	PD16NOV17	3010.00	3253.50	USD	-6,087.50	
16AUG17	S	1	PD16NOV17	3016.50	3253.50	USD	-5,925.00	
16AUG17	S	1	PD16NOV17	3023.00	3253.50	USD	-5,762.50	
16AUG17	S	4	PD16NOV17	2981.00	3253.50	USD	-27,250.00	
16AUG17	S	3	PD16NOV17	3015.50	3253.50	USD	-17,850.00	
16AUG17	S	1	PD16NOV17	3025.00	3253.50	USD	-5,712.50	
16AUG17	S	1	PD16NOV17	3025.50	3253.50	USD	-5,700.00	
16AUG17	B	1	PD16NOV17	3088.00	3253.50	USD	4,137.50	
16AUG17	B	1	PD16NOV17	3097.00	3253.50	USD	3,912.50	
16AUG17	B	1	PD16NOV17	3120.50	3253.50	USD	3,325.00	
16AUG17	B	2	PD16NOV17	3121.50	3253.50	USD	6,600.00	
16AUG17	S	1	PD16NOV17	3024.00	3253.50	USD	-5,737.50	
16AUG17	B	1	PD16NOV17	3106.50	3253.50	USD	3,675.00	
16AUG17	B	1	PD16NOV17	3110.50	3253.50	USD	3,575.00	
16AUG17	B	4	PD16NOV17	3108.50	3253.50	USD	14,500.00	
16AUG17	B	1	PD16NOV17	3109.50	3253.50	USD	3,600.00	
16AUG17	B	2	PD16NOV17	3110.00	3253.50	USD	7,175.00	
16AUG17	B	2	PD16NOV17	3107.50	3253.50	USD	7,300.00	
16AUG17	B	1	PD16NOV17	3108.00	3253.50	USD	3,637.50	
16AUG17	B	1	PD16NOV17	3112.00	3253.50	USD	3,537.50	
16AUG17	B	1	PD16NOV17	3116.50	3253.50	USD	3,425.00	
16AUG17	B	2	PD16NOV17	3107.00	3253.50	USD	7,325.00	
16AUG17	B	1	PD16NOV17	3118.00	3253.50	USD	3,387.50	
16AUG17	B	2	PD16NOV17	3121.00	3253.50	USD	6,625.00	
16AUG17	B	5	PD16NOV17	3119.50	3253.50	USD	16,750.00	
16AUG17	B	10	PD16NOV17	3119.20	3253.50	USD	33,575.00	
21AUG17	S	2	PD16NOV17	3124.50	3253.50	USD	-6,450.00	
23AUG17	S	4	PD16NOV17	3094.80	3253.50	USD	-15,870.00	
	NET	0 AVG BGHT 3113.95	SOLD 3012.98				-98,445.00	0.00
17AUG17	S	1	PD17NOV17	3135.00	3251.00	USD	-2,900.00	
17AUG17	S	1	PD17NOV17	3141.50	3251.00	USD	-2,737.50	
17AUG17	B	2	PD17NOV17	3141.00	3251.00	USD	5,500.00	
17AUG17	S	3	PD17NOV17	3138.00	3251.00	USD	-8,475.00	
17AUG17	S	1	PD17NOV17	3104.00	3251.00	USD	-3,675.00	
17AUG17	S	1	PD17NOV17	3105.00	3251.00	USD	-3,650.00	
17AUG17	S	1	PD17NOV17	3103.00	3251.00	USD	-3,700.00	
17AUG17	B	1	PD17NOV17	3090.50	3251.00	USD	4,012.50	
17AUG17	B	1	PD17NOV17	3091.50	3251.00	USD	3,987.50	
17AUG17	S	1	PD17NOV17	3139.50	3251.00	USD	-2,787.50	
17AUG17	S	1	PD17NOV17	3089.50	3251.00	USD	-4,037.50	
17AUG17	B	1	PD17NOV17	3096.50	3251.00	USD	3,862.50	
17AUG17	B	1	PD17NOV17	3057.00	3251.00	USD	4,850.00	
17AUG17	B	1	PD17NOV17	3049.50	3251.00	USD	5,037.50	
17AUG17	B	1	PD17NOV17	3050.00	3251.00	USD	5,025.00	
17AUG17	B	1	PD17NOV17	3052.00	3251.00	USD	4,975.00	
17AUG17	B	1	PD17NOV17	3054.00	3251.00	USD	4,925.00	
18AUG17	S	1	PD17NOV17	3102.00	3251.00	USD	-3,725.00	
18AUG17	S	1	PD17NOV17	3095.00	3251.00	USD	-3,900.00	
18AUG17	B	1	PD17NOV17	3111.00	3251.00	USD	3,500.00	
18AUG17	S	1	PD17NOV17	3115.00	3251.00	USD	-3,400.00	
21AUG17	B	2	PD17NOV17	3124.20	3251.00	USD	6,340.00	
	NET	0 AVG BGHT 3090.95	SOLD 3118.73				9,027.50	0.00

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22AUG17	S	1	PD22NOV17	3120.00	3248.38	USD	-3,209.50	
22AUG17	S	1	PD22NOV17	3125.00	3248.38	USD	-3,084.50	
22AUG17	S	1	PD22NOV17	3127.00	3248.38	USD	-3,034.50	
22AUG17	B	1	PD22NOV17	3106.00	3248.38	USD	3,559.50	
22AUG17	B	1	PD22NOV17	3101.00	3248.38	USD	3,684.50	
22AUG17	B	1	PD22NOV17	3115.00	3248.38	USD	3,334.50	
22AUG17	B	2	PD22NOV17	3115.50	3248.38	USD	6,644.00	
22AUG17	B	1	PD22NOV17	3117.00	3248.38	USD	3,284.50	
22SEP17	S	3	PD22NOV17	3031.43	3248.38	USD	-16,271.25	
	NET	0AVG BGHT 3111.67	SOLD 3077.72				-5,092.75	0.00
23AUG17	S	1	PD24NOV17	3118.00	3247.50	USD	-3,237.50	
23AUG17	S	1	PD24NOV17	3126.00	3247.50	USD	-3,037.50	
23AUG17	S	1	PD24NOV17	3126.50	3247.50	USD	-3,025.00	
23AUG17	S	1	PD24NOV17	3143.00	3247.50	USD	-2,612.50	
23AUG17	S	2	PD24NOV17	3141.50	3247.50	USD	-5,300.00	
23AUG17	B	1	PD24NOV17	3115.00	3247.50	USD	3,312.50	
23AUG17	B	1	PD24NOV17	3090.00	3247.50	USD	3,937.50	
23AUG17	B	1	PD24NOV17	3094.00	3247.50	USD	3,837.50	
23AUG17	B	1	PD24NOV17	3095.50	3247.50	USD	3,800.00	
23AUG17	B	1	PD24NOV17	3097.00	3247.50	USD	3,762.50	
23AUG17	S	2	PD24NOV17	3100.00	3247.50	USD	-7,375.00	
23AUG17	B	1	PD24NOV17	3108.00	3247.50	USD	3,487.50	
23AUG17	B	1	PD24NOV17	3103.50	3247.50	USD	3,600.00	
23AUG17	B	1	PD24NOV17	3104.00	3247.50	USD	3,587.50	
23AUG17	B	1	PD24NOV17	3107.00	3247.50	USD	3,512.50	
23AUG17	B	1	PD24NOV17	3107.50	3247.50	USD	3,500.00	
24AUG17	S	1	PD24NOV17	3111.50	3247.50	USD	-3,400.00	
24AUG17	S	1	PD24NOV17	3113.50	3247.50	USD	-3,350.00	
24AUG17	B	1	PD24NOV17	3102.50	3247.50	USD	3,625.00	
24AUG17	B	1	PD24NOV17	3100.00	3247.50	USD	3,687.50	
24AUG17	S	1	PD24NOV17	3108.00	3247.50	USD	-3,487.50	
24AUG17	S	2	PD24NOV17	3112.00	3247.50	USD	-6,775.00	
24AUG17	S	1	PD24NOV17	3118.50	3247.50	USD	-3,225.00	
24AUG17	B	1	PD24NOV17	3107.00	3247.50	USD	3,512.50	
24AUG17	B	1	PD24NOV17	3113.00	3247.50	USD	3,362.50	
24AUG17	B	1	PD24NOV17	3105.00	3247.50	USD	3,562.50	
24AUG17	B	2	PD24NOV17	3106.50	3247.50	USD	7,050.00	
25AUG17	S	1	PD24NOV17	3128.00	3247.50	USD	-2,987.50	
25AUG17	B	1	PD24NOV17	3129.00	3247.50	USD	2,962.50	
25AUG17	S	1	PD24NOV17	3127.00	3247.50	USD	-3,012.50	
25AUG17	S	3	PD24NOV17	3129.50	3247.50	USD	-8,850.00	
25AUG17	S	1	PD24NOV17	3133.00	3247.50	USD	-2,862.50	
25AUG17	B	2	PD24NOV17	3106.50	3247.50	USD	7,050.00	
25AUG17	B	1	PD24NOV17	3096.00	3247.50	USD	3,787.50	
25AUG17	B	1	PD24NOV17	3076.00	3247.50	USD	4,287.50	
25AUG17	B	1	PD24NOV17	3064.50	3247.50	USD	4,575.00	
25AUG17	B	1	PD24NOV17	3056.50	3247.50	USD	4,775.00	
25AUG17	B	1	PD24NOV17	3058.50	3247.50	USD	4,725.00	
25AUG17	B	2	PD24NOV17	3060.00	3247.50	USD	9,375.00	
25AUG17	S	1	PD24NOV17	3063.50	3247.50	USD	-4,600.00	
25AUG17	S	1	PD24NOV17	3072.50	3247.50	USD	-4,375.00	
25AUG17	S	1	PD24NOV17	3066.00	3247.50	USD	-4,537.50	
25AUG17	B	1	PD24NOV17	3069.00	3247.50	USD	4,462.50	
25AUG17	S	1	PD24NOV17	3066.50	3247.50	USD	-4,525.00	
25AUG17	S	1	PD24NOV17	3068.00	3247.50	USD	-4,487.50	
25AUG17	S	2	PD24NOV17	3065.00	3247.50	USD	-9,125.00	
25AUG17	S	1	PD24NOV17	3065.50	3247.50	USD	-4,550.00	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0094A

13th October 2017

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backoffice@sapiencap.com

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
	NET	0 AVG BGHT 3094.45	SOLD 3106.45				8,400.00	0.00
29AUG17	B	1	PD29NOV17	3102.50	3246.75	USD	3,606.25	
29AUG17	B	1	PD29NOV17	3097.50	3246.75	USD	3,731.25	
29AUG17	B	1	PD29NOV17	3095.50	3246.75	USD	3,781.25	
29AUG17	B	1	PD29NOV17	3091.00	3246.75	USD	3,893.75	
29AUG17	S	1	PD29NOV17	3118.50	3246.75	USD	-3,206.25	
29AUG17	B	1	PD29NOV17	3113.00	3246.75	USD	3,343.75	
29AUG17	B	1	PD29NOV17	3099.00	3246.75	USD	3,693.75	
29AUG17	S	5	PD29NOV17	3109.20	3246.75	USD	-17,193.75	
29AUG17	B	1	PD29NOV17	3111.00	3246.75	USD	3,393.75	
29AUG17	B	1	PD29NOV17	3112.00	3246.75	USD	3,368.75	
29AUG17	B	1	PD29NOV17	3111.50	3246.75	USD	3,381.25	
29AUG17	B	3	PD29NOV17	3112.50	3246.75	USD	10,068.75	
15SEP17	S	6	PD29NOV17	3028.32	3246.75	USD	-32,764.50	
	NET	0 AVG BGHT 3105.88	SOLD 3069.54				-10,902.00	0.00
30AUG17	S	2	PD30NOV17	3126.00	3246.50	USD	-6,025.00	
30AUG17	S	1	PD30NOV17	3127.00	3246.50	USD	-2,987.50	
30AUG17	S	1	PD30NOV17	3133.50	3246.50	USD	-2,825.00	
30AUG17	S	1	PD30NOV17	3145.00	3246.50	USD	-2,537.50	
30AUG17	S	2	PD30NOV17	3146.00	3246.50	USD	-5,025.00	
30AUG17	B	2	PD30NOV17	3135.50	3246.50	USD	5,550.00	
30AUG17	B	2	PD30NOV17	3131.00	3246.50	USD	5,775.00	
30AUG17	B	1	PD30NOV17	3132.50	3246.50	USD	2,850.00	
30AUG17	B	2	PD30NOV17	3133.50	3246.50	USD	5,650.00	
30AUG17	B	1	PD30NOV17	3125.00	3246.50	USD	3,037.50	
30AUG17	B	1	PD30NOV17	3127.50	3246.50	USD	2,975.00	
30AUG17	B	1	PD30NOV17	3121.00	3246.50	USD	3,137.50	
30AUG17	B	1	PD30NOV17	3123.00	3246.50	USD	3,087.50	
30AUG17	S	1	PD30NOV17	3125.00	3246.50	USD	-3,037.50	
30AUG17	B	1	PD30NOV17	3098.50	3246.50	USD	3,700.00	
30AUG17	B	1	PD30NOV17	3103.00	3246.50	USD	3,587.50	
30AUG17	S	1	PD30NOV17	3097.50	3246.50	USD	-3,725.00	
30AUG17	S	1	PD30NOV17	3098.00	3246.50	USD	-3,712.50	
30AUG17	S	1	PD30NOV17	3099.00	3246.50	USD	-3,687.50	
30AUG17	B	1	PD30NOV17	3094.00	3246.50	USD	3,812.50	
30AUG17	S	1	PD30NOV17	3096.50	3246.50	USD	-3,750.00	
30AUG17	B	1	PD30NOV17	3095.50	3246.50	USD	3,775.00	
30AUG17	S	1	PD30NOV17	3091.50	3246.50	USD	-3,875.00	
31AUG17	B	1	PD30NOV17	3099.50	3246.50	USD	3,675.00	
31AUG17	B	1	PD30NOV17	3100.50	3246.50	USD	3,650.00	
31AUG17	B	1	PD30NOV17	3102.00	3246.50	USD	3,612.50	
31AUG17	B	1	PD30NOV17	3105.50	3246.50	USD	3,525.00	
31AUG17	S	1	PD30NOV17	3119.00	3246.50	USD	-3,187.50	
31AUG17	S	2	PD30NOV17	3125.50	3246.50	USD	-6,050.00	
31AUG17	B	1	PD30NOV17	3133.00	3246.50	USD	2,837.50	
31AUG17	S	2	PD30NOV17	3123.50	3246.50	USD	-6,150.00	
31AUG17	B	2	PD30NOV17	3127.00	3246.50	USD	5,975.00	
31AUG17	B	1	PD30NOV17	3126.00	3246.50	USD	3,012.50	
31AUG17	B	3	PD30NOV17	3128.00	3246.50	USD	8,887.50	
31AUG17	S	1	PD30NOV17	3126.50	3246.50	USD	-3,000.00	
31AUG17	S	1	PD30NOV17	3140.50	3246.50	USD	-2,650.00	
31AUG17	S	2	PD30NOV17	3141.00	3246.50	USD	-5,275.00	
31AUG17	S	1	PD30NOV17	3139.50	3246.50	USD	-2,675.00	
31AUG17	B	2	PD30NOV17	3127.50	3246.50	USD	5,950.00	
31AUG17	B	7	PD30NOV17	3146.20	3246.50	USD	17,552.50	
22SEP17	S	12	PD30NOV17	3030.70	3246.50	USD	-64,740.00	
	NET	0 AVG BGHT 3125.80	SOLD 3092.31				-29,300.00	0.00

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
01SEP17	S	1	PD01DEC17	3165.00	3246.25	USD	-2,031.25	
01SEP17	S	1	PD01DEC17	3168.50	3246.25	USD	-1,943.75	
01SEP17	B	1	PD01DEC17	3147.00	3246.25	USD	2,481.25	
01SEP17	B	1	PD01DEC17	3150.50	3246.25	USD	2,393.75	
01SEP17	B	1	PD01DEC17	3151.50	3246.25	USD	2,368.75	
01SEP17	B	1	PD01DEC17	3145.50	3246.25	USD	2,518.75	
01SEP17	B	1	PD01DEC17	3152.00	3246.25	USD	2,356.25	
01SEP17	S	1	PD01DEC17	3165.50	3246.25	USD	-2,018.75	
01SEP17	S	1	PD01DEC17	3167.00	3246.25	USD	-1,981.25	
01SEP17	S	1	PD01DEC17	3172.50	3246.25	USD	-1,843.75	
01SEP17	B	1	PD01DEC17	3178.00	3246.25	USD	1,706.25	
01SEP17	S	1	PD01DEC17	3175.00	3246.25	USD	-1,781.25	
01SEP17	S	1	PD01DEC17	3183.00	3246.25	USD	-1,581.25	
01SEP17	S	1	PD01DEC17	3183.50	3246.25	USD	-1,568.75	
01SEP17	S	1	PD01DEC17	3182.00	3246.25	USD	-1,606.25	
01SEP17	S	1	PD01DEC17	3185.50	3246.25	USD	-1,518.75	
01SEP17	S	1	PD01DEC17	3186.50	3246.25	USD	-1,493.75	
01SEP17	S	1	PD01DEC17	3189.50	3246.25	USD	-1,418.75	
01SEP17	S	1	PD01DEC17	3196.00	3246.25	USD	-1,256.25	
01SEP17	B	1	PD01DEC17	3200.00	3246.25	USD	1,156.25	
01SEP17	S	1	PD01DEC17	3199.50	3246.25	USD	-1,168.75	
01SEP17	B	1	PD01DEC17	3204.50	3246.25	USD	1,043.75	
01SEP17	B	1	PD01DEC17	3205.00	3246.25	USD	1,031.25	
01SEP17	B	1	PD01DEC17	3209.50	3246.25	USD	918.75	
01SEP17	B	2	PD01DEC17	3210.00	3246.25	USD	1,812.50	
01SEP17	B	1	PD01DEC17	3211.00	3246.25	USD	881.25	
01SEP17	B	3	PD01DEC17	3208.50	3246.25	USD	2,831.25	
22SEP17	S	2	PD01DEC17	3030.86	3246.25	USD	-10,769.50	
	NET	0 AVG BGHT 3187.50	SOLD 3161.30				-10,482.00	0.00
04SEP17	S	1	PD04DEC17	3195.00	3246.00	USD	-1,275.00	
04SEP17	S	1	PD04DEC17	3196.50	3246.00	USD	-1,237.50	
04SEP17	S	1	PD04DEC17	3195.50	3246.00	USD	-1,262.50	
04SEP17	B	1	PD04DEC17	3194.00	3246.00	USD	1,300.00	
04SEP17	S	1	PD04DEC17	3200.00	3246.00	USD	-1,150.00	
04SEP17	S	2	PD04DEC17	3200.50	3246.00	USD	-2,275.00	
04SEP17	S	1	PD04DEC17	3201.50	3246.00	USD	-1,112.50	
04SEP17	S	1	PD04DEC17	3198.00	3246.00	USD	-1,200.00	
12SEP17	B	7	PD04DEC17	3059.51	3246.00	USD	32,635.75	
	NET	0 AVG BGHT 3076.32	SOLD 3198.44				24,423.25	0.00
05SEP17	S	1	PD05DEC17	3204.50	3245.75	USD	-1,031.25	
05SEP17	S	1	PD05DEC17	3206.00	3245.75	USD	-993.75	
05SEP17	S	1	PD05DEC17	3206.50	3245.75	USD	-981.25	
05SEP17	S	1	PD05DEC17	3201.00	3245.75	USD	-1,118.75	
05SEP17	S	1	PD05DEC17	3200.00	3245.75	USD	-1,143.75	
05SEP17	S	1	PD05DEC17	3198.00	3245.75	USD	-1,193.75	
05SEP17	S	2	PD05DEC17	3197.50	3245.75	USD	-2,412.50	
05SEP17	S	2	PD05DEC17	3203.00	3245.75	USD	-2,137.50	
05SEP17	S	1	PD05DEC17	3192.50	3245.75	USD	-1,331.25	
05SEP17	B	1	PD05DEC17	3131.50	3245.75	USD	2,856.25	
05SEP17	B	1	PD05DEC17	3142.50	3245.75	USD	2,581.25	
05SEP17	B	1	PD05DEC17	3143.50	3245.75	USD	2,556.25	
05SEP17	B	1	PD05DEC17	3140.00	3245.75	USD	2,643.75	
05SEP17	B	2	PD05DEC17	3139.00	3245.75	USD	5,337.50	
05SEP17	B	1	PD05DEC17	3133.00	3245.75	USD	2,818.75	
05SEP17	B	3	PD05DEC17	3133.50	3245.75	USD	8,418.75	
05SEP17	B	1	PD05DEC17	3112.50	3245.75	USD	3,331.25	

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
05SEP17	B	1	PD05DEC17	3115.50	3245.75	USD	3,256.25	
05SEP17	B	1	PD05DEC17	3105.50	3245.75	USD	3,506.25	
05SEP17	B	1	PD05DEC17	3108.50	3245.75	USD	3,431.25	
05SEP17	B	1	PD05DEC17	3095.50	3245.75	USD	3,756.25	
05SEP17	B	1	PD05DEC17	3096.50	3245.75	USD	3,731.25	
05SEP17	B	1	PD05DEC17	3101.50	3245.75	USD	3,606.25	
05SEP17	B	1	PD05DEC17	3102.00	3245.75	USD	3,593.75	
05SEP17	B	1	PD05DEC17	3103.00	3245.75	USD	3,568.75	
05SEP17	B	1	PD05DEC17	3106.00	3245.75	USD	3,493.75	
22SEP17	S	9	PD05DEC17	3031.48	3245.75	USD	-48,210.75	
	NET	0 AVG BGHT 3120.78	SOLD 3124.64				1,933.00	0.00
06SEP17	B	1	PD06DEC17	3119.50	3245.50	USD	3,150.00	
06SEP17	B	1	PD06DEC17	3120.00	3245.50	USD	3,137.50	
06SEP17	S	6	PD06DEC17	3119.00	3245.50	USD	-18,975.00	
06SEP17	S	2	PD06DEC17	3120.00	3245.50	USD	-6,275.00	
06SEP17	S	2	PD06DEC17	3120.50	3245.50	USD	-6,250.00	
06SEP17	S	2	PD06DEC17	3123.50	3245.50	USD	-6,100.00	
06SEP17	B	1	PD06DEC17	3101.50	3245.50	USD	3,600.00	
06SEP17	S	1	PD06DEC17	3094.00	3245.50	USD	-3,787.50	
06SEP17	S	2	PD06DEC17	3104.50	3245.50	USD	-7,050.00	
06SEP17	B	1	PD06DEC17	3088.00	3245.50	USD	3,937.50	
06SEP17	B	1	PD06DEC17	3087.00	3245.50	USD	3,962.50	
06SEP17	B	1	PD06DEC17	3087.50	3245.50	USD	3,950.00	
06SEP17	B	1	PD06DEC17	3084.50	3245.50	USD	4,025.00	
06SEP17	B	1	PD06DEC17	3103.50	3245.50	USD	3,550.00	
06SEP17	B	1	PD06DEC17	3104.50	3245.50	USD	3,525.00	
06SEP17	B	1	PD06DEC17	3107.00	3245.50	USD	3,462.50	
06SEP17	S	1	PD06DEC17	3106.00	3245.50	USD	-3,487.50	
06SEP17	B	3	PD06DEC17	3106.50	3245.50	USD	10,425.00	
12SEP17	B	3	PD06DEC17	3059.93	3245.50	USD	13,917.75	
	NET	0 AVG BGHT 3093.89	SOLD 3115.69				8,717.75	0.00
07SEP17	B	1	PD07DEC17	3076.00	3245.25	USD	4,231.25	
07SEP17	B	2	PD07DEC17	3079.00	3245.25	USD	8,312.50	
07SEP17	B	2	PD07DEC17	3081.00	3245.25	USD	8,212.50	
07SEP17	B	2	PD07DEC17	3084.50	3245.25	USD	8,037.50	
07SEP17	S	1	PD07DEC17	3091.00	3245.25	USD	-3,856.25	
07SEP17	S	1	PD07DEC17	3142.50	3245.25	USD	-2,568.75	
07SEP17	S	1	PD07DEC17	3137.00	3245.25	USD	-2,706.25	
07SEP17	S	1	PD07DEC17	3139.50	3245.25	USD	-2,643.75	
22SEP17	S	3	PD07DEC17	3031.79	3245.25	USD	-16,009.50	
	NET	0 AVG BGHT 3080.71	SOLD 3086.48				1,009.25	0.00
11SEP17	S	1	PD11DEC17	3063.00	3244.75	USD	-4,543.75	
11SEP17	S	1	PD11DEC17	3063.50	3244.75	USD	-4,531.25	
11SEP17	S	1	PD11DEC17	3104.50	3244.75	USD	-3,506.25	
11SEP17	S	1	PD11DEC17	3092.00	3244.75	USD	-3,818.75	
11SEP17	S	1	PD11DEC17	3093.50	3244.75	USD	-3,781.25	
11SEP17	S	1	PD11DEC17	3094.50	3244.75	USD	-3,756.25	
11SEP17	S	1	PD11DEC17	3095.00	3244.75	USD	-3,743.75	
11SEP17	S	2	PD11DEC17	3062.50	3244.75	USD	-9,112.50	
11SEP17	B	1	PD11DEC17	3050.00	3244.75	USD	4,868.75	
11SEP17	B	1	PD11DEC17	3053.50	3244.75	USD	4,781.25	
22SEP17	B	7	PD11DEC17	3032.61	3244.75	USD	37,124.50	
	NET	0 AVG BGHT 3036.86	SOLD 3081.22				9,980.75	0.00
12SEP17	S	1	PD12DEC17	3083.50	3244.50	USD	-4,025.00	
12SEP17	S	1	PD12DEC17	3084.00	3244.50	USD	-4,012.50	
12SEP17	B	1	PD12DEC17	3063.50	3244.50	USD	4,525.00	

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12SEP17	B	1	PD12DEC17	3064.00	3244.50	USD	4,512.50	
12SEP17	B	3	PD12DEC17	3064.50	3244.50	USD	13,500.00	
22SEP17	S	3	PD12DEC17	3032.56	3244.50	USD	-15,895.50	
	NET	0AVG BGHT 3064.20	SOLD 3053.04				-1,395.50	0.00
13SEP17	B	2	PD13DEC17	3022.00	3244.25	USD	11,112.50	
13SEP17	B	1	PD13DEC17	3023.00	3244.25	USD	5,531.25	
13SEP17	B	2	PD13DEC17	3025.00	3244.25	USD	10,962.50	
13SEP17	B	1	PD13DEC17	3029.50	3244.25	USD	5,368.75	
13SEP17	B	1	PD13DEC17	3031.00	3244.25	USD	5,331.25	
22SEP17	S	7	PD13DEC17	3032.72	3244.25	USD	-37,017.75	
	NET	0AVG BGHT 3025.36	SOLD 3032.72				1,288.50	0.00
14SEP17	B	1	PD14DEC17	3001.50	3244.00	USD	6,062.50	
14SEP17	B	1	PD14DEC17	3002.00	3244.00	USD	6,050.00	
14SEP17	B	1	PD14DEC17	3015.50	3244.00	USD	5,712.50	
14SEP17	B	1	PD14DEC17	2989.00	3244.00	USD	6,375.00	
14SEP17	B	1	PD14DEC17	2999.00	3244.00	USD	6,125.00	
14SEP17	B	1	PD14DEC17	3000.00	3244.00	USD	6,100.00	
14SEP17	B	1	PD14DEC17	2995.50	3244.00	USD	6,212.50	
14SEP17	B	1	PD14DEC17	2996.50	3244.00	USD	6,187.50	
14SEP17	B	1	PD14DEC17	2989.50	3244.00	USD	6,362.50	
14SEP17	B	1	PD14DEC17	2990.50	3244.00	USD	6,337.50	
14SEP17	B	1	PD14DEC17	2992.00	3244.00	USD	6,300.00	
14SEP17	B	1	PD14DEC17	2992.50	3244.00	USD	6,287.50	
14SEP17	B	1	PD14DEC17	2993.00	3244.00	USD	6,275.00	
14SEP17	B	3	PD14DEC17	2994.00	3244.00	USD	18,750.00	
14SEP17	B	1	PD14DEC17	2994.50	3244.00	USD	6,237.50	
14SEP17	B	1	PD14DEC17	2988.00	3244.00	USD	6,400.00	
14SEP17	B	1	PD14DEC17	2981.50	3244.00	USD	6,562.50	
14SEP17	B	1	PD14DEC17	2982.00	3244.00	USD	6,550.00	
14SEP17	B	2	PD14DEC17	2980.50	3244.00	USD	13,175.00	
14SEP17	S	1	PD14DEC17	3012.50	3244.00	USD	-5,787.50	
22SEP17	S	21	PD14DEC17	3033.07	3244.00	USD	-110,738.25	
	NET	0AVG BGHT 2992.98	SOLD 3032.14				21,536.75	0.00
15SEP17	S	2	PD15DEC17	3012.50	3243.75	USD	-11,562.50	
15SEP17	S	1	PD15DEC17	3013.00	3243.75	USD	-5,768.75	
15SEP17	S	1	PD15DEC17	3013.50	3243.75	USD	-5,756.25	
15SEP17	S	1	PD15DEC17	3015.00	3243.75	USD	-5,718.75	
15SEP17	S	1	PD15DEC17	3017.50	3243.75	USD	-5,656.25	
15SEP17	S	1	PD15DEC17	3027.50	3243.75	USD	-5,406.25	
15SEP17	S	1	PD15DEC17	3027.00	3243.75	USD	-5,418.75	
15SEP17	S	1	PD15DEC17	3018.50	3243.75	USD	-5,631.25	
15SEP17	S	1	PD15DEC17	3036.50	3243.75	USD	-5,181.25	
15SEP17	S	1	PD15DEC17	3039.00	3243.75	USD	-5,118.75	
15SEP17	S	2	PD15DEC17	3039.50	3243.75	USD	-10,212.50	
15SEP17	S	1	PD15DEC17	3041.00	3243.75	USD	-5,068.75	
15SEP17	S	1	PD15DEC17	3045.50	3243.75	USD	-4,956.25	
15SEP17	S	2	PD15DEC17	3029.50	3243.75	USD	-10,712.50	
15SEP17	S	1	PD15DEC17	3030.50	3243.75	USD	-5,331.25	
15SEP17	S	3	PD15DEC17	3031.00	3243.75	USD	-15,956.25	
22SEP17	B	21	PD15DEC17	3033.43	3243.75	USD	110,418.00	
	NET	0AVG BGHT 3033.43	SOLD 3027.64				-3,038.25	0.00
18SEP17	S	1	PD18DEC17	3066.00	3243.50	USD	-4,437.50	
18SEP17	B	1	PD18DEC17	3068.00	3243.50	USD	4,387.50	
18SEP17	S	1	PD18DEC17	3087.50	3243.50	USD	-3,900.00	
18SEP17	S	1	PD18DEC17	3084.50	3243.50	USD	-3,975.00	
18SEP17	S	1	PD18DEC17	3084.00	3243.50	USD	-3,987.50	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0094A

13th October 2017

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Suite A, 105 Piccadilly
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+44 (0) 20 7340 9081
backoffice@sapiencap.com

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
18SEP17	S	1	PD18DEC17	3088.00	3243.50	USD	-3,887.50	
18SEP17	S	2	PD18DEC17	3085.00	3243.50	USD	-7,925.00	
18SEP17	S	1	PD18DEC17	3091.50	3243.50	USD	-3,800.00	
18SEP17	S	2	PD18DEC17	3086.00	3243.50	USD	-7,875.00	
18SEP17	S	1	PD18DEC17	3087.00	3243.50	USD	-3,912.50	
18SEP17	B	1	PD18DEC17	3065.50	3243.50	USD	4,450.00	
18SEP17	B	2	PD18DEC17	3063.50	3243.50	USD	9,000.00	
18SEP17	B	1	PD18DEC17	3066.00	3243.50	USD	4,437.50	
18SEP17	S	1	PD18DEC17	3082.50	3243.50	USD	-4,025.00	
18SEP17	S	2	PD18DEC17	3092.50	3243.50	USD	-7,550.00	
18SEP17	S	1	PD18DEC17	3093.50	3243.50	USD	-3,750.00	
18SEP17	S	1	PD18DEC17	3098.00	3243.50	USD	-3,637.50	
18SEP17	S	1	PD18DEC17	3098.50	3243.50	USD	-3,625.00	
18SEP17	B	1	PD18DEC17	3104.00	3243.50	USD	3,487.50	
18SEP17	B	1	PD18DEC17	3106.00	3243.50	USD	3,437.50	
18SEP17	S	1	PD18DEC17	3110.50	3243.50	USD	-3,325.00	
18SEP17	B	1	PD18DEC17	3110.50	3243.50	USD	3,325.00	
18SEP17	B	1	PD18DEC17	3111.00	3243.50	USD	3,312.50	
18SEP17	B	2	PD18DEC17	3112.00	3243.50	USD	6,575.00	
22SEP17	B	7	PD18DEC17	3033.69	3243.50	USD	36,716.75	
	NET	0 AVG BGHT 3067.66	SOLD 3088.81				9,516.75	0.00
19SEP17	B	1	PD19DEC17	3098.50	3243.25	USD	3,618.75	
19SEP17	S	1	PD19DEC17	3096.00	3243.25	USD	-3,681.25	
19SEP17	B	2	PD19DEC17	3095.50	3243.25	USD	7,387.50	
19SEP17	B	2	PD19DEC17	3096.00	3243.25	USD	7,362.50	
19SEP17	S	1	PD19DEC17	3095.50	3243.25	USD	-3,693.75	
19SEP17	S	1	PD19DEC17	3104.00	3243.25	USD	-3,481.25	
19SEP17	B	1	PD19DEC17	3111.00	3243.25	USD	3,306.25	
22SEP17	S	3	PD19DEC17	3033.65	3243.25	USD	-15,720.00	
	NET	0 AVG BGHT 3098.75	SOLD 3066.08				-4,901.25	0.00
20SEP17	B	1	PD20DEC17	3101.50	3243.00	USD	3,537.50	
20SEP17	B	1	PD20DEC17	3104.00	3243.00	USD	3,475.00	
20SEP17	B	2	PD20DEC17	3123.50	3243.00	USD	5,975.00	
20SEP17	S	1	PD20DEC17	3127.50	3243.00	USD	-2,887.50	
20SEP17	B	1	PD20DEC17	3100.50	3243.00	USD	3,562.50	
20SEP17	B	1	PD20DEC17	3136.00	3243.00	USD	2,675.00	
20SEP17	B	1	PD20DEC17	3119.50	3243.00	USD	3,087.50	
20SEP17	B	1	PD20DEC17	3130.50	3243.00	USD	2,812.50	
22SEP17	S	7	PD20DEC17	3033.80	3243.00	USD	-36,610.00	
	NET	0 AVG BGHT 3117.38	SOLD 3045.51				-14,372.50	0.00
21SEP17	B	1	PD21DEC17	3097.00	3240.00	USD	3,575.00	
21SEP17	B	1	PD21DEC17	3097.50	3240.00	USD	3,562.50	
21SEP17	B	2	PD21DEC17	3098.00	3240.00	USD	7,100.00	
21SEP17	B	1	PD21DEC17	3098.50	3240.00	USD	3,537.50	
21SEP17	S	3	PD21DEC17	3098.00	3240.00	USD	-10,650.00	
21SEP17	B	1	PD21DEC17	3066.50	3240.00	USD	4,337.50	
21SEP17	B	1	PD21DEC17	3069.50	3240.00	USD	4,262.50	
21SEP17	B	1	PD21DEC17	3079.50	3240.00	USD	4,012.50	
21SEP17	B	1	PD21DEC17	3081.50	3240.00	USD	3,962.50	
21SEP17	B	1	PD21DEC17	3070.00	3240.00	USD	4,250.00	
21SEP17	B	1	PD21DEC17	3072.50	3240.00	USD	4,187.50	
21SEP17	B	1	PD21DEC17	3073.00	3240.00	USD	4,175.00	
21SEP17	B	2	PD21DEC17	3076.00	3240.00	USD	8,200.00	
21SEP17	B	1	PD21DEC17	3068.50	3240.00	USD	4,287.50	
21SEP17	B	1	PD21DEC17	3084.50	3240.00	USD	3,887.50	
21SEP17	B	1	PD21DEC17	3029.50	3240.00	USD	5,262.50	

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
21SEP17	B	1	PD21DEC17	3037.50	3240.00	USD	5,062.50	
21SEP17	S	1	PD21DEC17	3044.00	3240.00	USD	-4,900.00	
21SEP17	S	1	PD21DEC17	3043.50	3240.00	USD	-4,912.50	
22SEP17	S	11	PD21DEC17	3032.50	3240.00	USD	-57,062.50	
22SEP17	S	2	PD21DEC17	3032.30	3240.00	USD	-10,385.00	
	NET	0AVG BGHT 3076.31	SOLD 3044.64				-14,247.50	0.00
22SEP17	S	1	PD22DEC17	3029.50	3239.62	USD	-5,253.00	
22SEP17	B	1	PD22DEC17	3004.00	3239.62	USD	5,890.50	
22SEP17	B	1	PD22DEC17	3008.00	3239.62	USD	5,790.50	
22SEP17	S	1	PD22DEC17	3031.00	3239.62	USD	-5,215.50	
22SEP17	S	1	PD22DEC17	3031.50	3239.62	USD	-5,203.00	
22SEP17	S	1	PD22DEC17	3033.00	3239.62	USD	-5,165.50	
22SEP17	S	1	PD22DEC17	3034.00	3239.62	USD	-5,140.50	
22SEP17	S	1	PD22DEC17	3034.50	3239.62	USD	-5,128.00	
22SEP17	S	2	PD22DEC17	3036.00	3239.62	USD	-10,181.00	
22SEP17	S	1	PD22DEC17	3037.00	3239.62	USD	-5,065.50	
22SEP17	S	1	PD22DEC17	3039.50	3239.62	USD	-5,003.00	
22SEP17	S	1	PD22DEC17	3055.00	3239.62	USD	-4,615.50	
22SEP17	B	11	PD22DEC17	3031.20	3239.62	USD	57,315.50	
22SEP17	B	1	PD22DEC17	3063.50	3239.62	USD	4,403.00	
22SEP17	B	1	PD22DEC17	3064.00	3239.62	USD	4,390.50	
10OCT17	S	4	PD22DEC17	3284.45	3239.62	USD	4,483.00	
	NET	0AVG BGHT 3032.18	SOLD 3102.32				26,302.50	0.00
25SEP17	S	1	PD27DEC17	3074.00	3239.23	USD	-4,130.75	
25SEP17	S	1	PD27DEC17	3073.50	3239.23	USD	-4,143.25	
25SEP17	S	1	PD27DEC17	3084.00	3239.23	USD	-3,880.75	
25SEP17	S	1	PD27DEC17	3084.50	3239.23	USD	-3,868.25	
25SEP17	S	1	PD27DEC17	3085.00	3239.23	USD	-3,855.75	
25SEP17	S	1	PD27DEC17	3082.50	3239.23	USD	-3,918.25	
25SEP17	B	1	PD27DEC17	3095.50	3239.23	USD	3,593.25	
25SEP17	B	1	PD27DEC17	3078.00	3239.23	USD	4,030.75	
25SEP17	B	2	PD27DEC17	3083.00	3239.23	USD	7,811.50	
25SEP17	B	1	PD27DEC17	3088.00	3239.23	USD	3,780.75	
25SEP17	S	1	PD27DEC17	3093.00	3239.23	USD	-3,655.75	
25SEP17	B	1	PD27DEC17	3097.50	3239.23	USD	3,543.25	
25SEP17	B	2	PD27DEC17	3098.39	3239.23	USD	7,042.00	
25SEP17	B	2	PD27DEC17	3101.39	3239.23	USD	6,892.00	
26SEP17	S	1	PD27DEC17	3121.00	3239.23	USD	-2,955.75	
26SEP17	S	1	PD27DEC17	3125.00	3239.23	USD	-2,855.75	
26SEP17	S	1	PD27DEC17	3127.50	3239.23	USD	-2,793.25	
26SEP17	S	1	PD27DEC17	3138.00	3239.23	USD	-2,530.75	
26SEP17	S	2	PD27DEC17	3139.00	3239.23	USD	-5,011.50	
26SEP17	S	1	PD27DEC17	3133.00	3239.23	USD	-2,655.75	
26SEP17	S	1	PD27DEC17	3134.00	3239.23	USD	-2,630.75	
26SEP17	S	1	PD27DEC17	3135.50	3239.23	USD	-2,593.25	
26SEP17	S	1	PD27DEC17	3148.50	3239.23	USD	-2,268.25	
26SEP17	S	1	PD27DEC17	3149.50	3239.23	USD	-2,243.25	
26SEP17	B	1	PD27DEC17	3117.50	3239.23	USD	3,043.25	
26SEP17	B	2	PD27DEC17	3119.00	3239.23	USD	6,011.50	
26SEP17	B	1	PD27DEC17	3119.50	3239.23	USD	2,993.25	
26SEP17	B	2	PD27DEC17	3120.00	3239.23	USD	5,961.50	
26SEP17	B	2	PD27DEC17	3121.50	3239.23	USD	5,886.50	
26SEP17	B	1	PD27DEC17	3122.00	3239.23	USD	2,930.75	
26SEP17	B	1	PD27DEC17	3121.00	3239.23	USD	2,955.75	
26SEP17	B	2	PD27DEC17	3112.00	3239.23	USD	6,361.50	
26SEP17	B	1	PD27DEC17	3112.50	3239.23	USD	3,168.25	
26SEP17	B	2	PD27DEC17	3113.50	3239.23	USD	6,286.50	

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26SEP17	B	1	PD27DEC17	3114.50	3239.23	USD	3,118.25	
26SEP17	B	5	PD27DEC17	3115.50	3239.23	USD	15,466.25	
26SEP17	B	5	PD27DEC17	3116.00	3239.23	USD	15,403.75	
26SEP17	B	3	PD27DEC17	3116.50	3239.23	USD	9,204.75	
26SEP17	B	1	PD27DEC17	3107.00	3239.23	USD	3,305.75	
26SEP17	B	2	PD27DEC17	3107.50	3239.23	USD	6,586.50	
26SEP17	B	3	PD27DEC17	3110.50	3239.23	USD	9,654.75	
26SEP17	B	1	PD27DEC17	3108.00	3239.23	USD	3,280.75	
26SEP17	B	2	PD27DEC17	3118.00	3239.23	USD	6,061.50	
26SEP17	B	2	PD27DEC17	3106.00	3239.23	USD	6,661.50	
26SEP17	B	1	PD27DEC17	3115.00	3239.23	USD	3,105.75	
26SEP17	S	15	PD27DEC17	3094.81	3239.23	USD	-54,157.50	
26SEP17	S	2	PD27DEC17	3109.00	3239.23	USD	-6,511.50	
26SEP17	S	2	PD27DEC17	3110.00	3239.23	USD	-6,461.50	
26SEP17	S	1	PD27DEC17	3111.50	3239.23	USD	-3,193.25	
26SEP17	S	1	PD27DEC17	3112.00	3239.23	USD	-3,180.75	
26SEP17	S	1	PD27DEC17	3112.50	3239.23	USD	-3,168.25	
26SEP17	S	2	PD27DEC17	3109.50	3239.23	USD	-6,486.50	
26SEP17	S	3	PD27DEC17	3110.50	3239.23	USD	-9,654.75	
26SEP17	S	3	PD27DEC17	3111.00	3239.23	USD	-9,617.25	
26SEP17	S	1	PD27DEC17	3113.50	3239.23	USD	-3,143.25	
27SEP17	S	1	PD27DEC17	3131.50	3239.23	USD	-2,693.25	
27SEP17	S	1	PD27DEC17	3138.00	3239.23	USD	-2,530.75	
27SEP17	S	1	PD27DEC17	3139.00	3239.23	USD	-2,505.75	
27SEP17	S	1	PD27DEC17	3139.50	3239.23	USD	-2,493.25	
27SEP17	S	3	PD27DEC17	3140.00	3239.23	USD	-7,442.25	
27SEP17	S	1	PD27DEC17	3140.50	3239.23	USD	-2,468.25	
27SEP17	S	1	PD27DEC17	3141.00	3239.23	USD	-2,455.75	
27SEP17	S	1	PD27DEC17	3141.50	3239.23	USD	-2,443.25	
27SEP17	S	3	PD27DEC17	3142.00	3239.23	USD	-7,292.25	
27SEP17	S	3	PD27DEC17	3142.50	3239.23	USD	-7,254.75	
27SEP17	S	2	PD27DEC17	3143.00	3239.23	USD	-4,811.50	
27SEP17	S	3	PD27DEC17	3143.50	3239.23	USD	-7,179.75	
27SEP17	S	1	PD27DEC17	3144.00	3239.23	USD	-2,380.75	
27SEP17	S	2	PD27DEC17	3145.00	3239.23	USD	-4,711.50	
27SEP17	S	1	PD27DEC17	3146.00	3239.23	USD	-2,330.75	
27SEP17	S	1	PD27DEC17	3147.50	3239.23	USD	-2,293.25	
27SEP17	S	1	PD27DEC17	3115.50	3239.23	USD	-3,093.25	
27SEP17	B	1	PD27DEC17	3125.00	3239.23	USD	2,855.75	
27SEP17	B	2	PD27DEC17	3126.50	3239.23	USD	5,636.50	
27SEP17	B	2	PD27DEC17	3126.00	3239.23	USD	5,661.50	
27SEP17	B	1	PD27DEC17	3128.50	3239.23	USD	2,768.25	
27SEP17	B	1	PD27DEC17	3127.50	3239.23	USD	2,793.25	
27SEP17	B	1	PD27DEC17	3133.00	3239.23	USD	2,655.75	
27SEP17	B	1	PD27DEC17	3134.00	3239.23	USD	2,630.75	
27SEP17	B	1	PD27DEC17	3116.00	3239.23	USD	3,080.75	
27SEP17	B	2	PD27DEC17	3105.00	3239.23	USD	6,711.50	
27SEP17	B	1	PD27DEC17	3108.00	3239.23	USD	3,280.75	
27SEP17	B	1	PD27DEC17	3116.50	3239.23	USD	3,068.25	
27SEP17	B	1	PD27DEC17	3117.50	3239.23	USD	3,043.25	
27SEP17	B	1	PD27DEC17	3098.00	3239.23	USD	3,530.75	
27SEP17	B	1	PD27DEC17	3102.00	3239.23	USD	3,430.75	
27SEP17	B	12	PD27DEC17	3105.20	3239.23	USD	40,209.00	
27SEP17	B	1	PD27DEC17	3095.50	3239.23	USD	3,593.25	
10OCT17	S	5	PD27DEC17	3283.91	3239.23	USD	5,585.00	
	NET	0 AVG BGHT 3111.28	SOLD 3129.42				36,731.00	0.00
28SEP17	S	1	PD28DEC17	3105.00	3238.85	USD	-3,346.25	

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28SEP17	S	1	PD28DEC17		3142.50	3238.85	USD	-2,408.75	
28SEP17	B	1	PD28DEC17		3153.50	3238.85	USD	2,133.75	
10OCT17	B	1	PD28DEC17		3283.36	3238.85	USD	-1,112.75	
	NET	0	AVG BGHT 3218.43	SOLD 3123.75				-4,734.00	0.00
29SEP17	B	1	PD29DEC17		3148.50	3238.46	USD	2,249.00	
29SEP17	S	1	PD29DEC17		3144.00	3238.46	USD	-2,361.50	
29SEP17	S	1	PD29DEC17		3146.00	3238.46	USD	-2,311.50	
29SEP17	B	2	PD29DEC17		3145.50	3238.46	USD	4,648.00	
29SEP17	B	1	PD29DEC17		3149.00	3238.46	USD	2,236.50	
29SEP17	B	1	PD29DEC17		3150.50	3238.46	USD	2,199.00	
29SEP17	B	1	PD29DEC17		3150.00	3238.46	USD	2,211.50	
29SEP17	B	1	PD29DEC17		3151.50	3238.46	USD	2,174.00	
29SEP17	S	1	PD29DEC17		3168.00	3238.46	USD	-1,761.50	
29SEP17	S	1	PD29DEC17		3171.00	3238.46	USD	-1,686.50	
29SEP17	B	3	PD29DEC17		3151.00	3238.46	USD	6,559.50	
29SEP17	B	2	PD29DEC17		3147.00	3238.46	USD	4,573.00	
29SEP17	S	2	PD29DEC17		3163.00	3238.46	USD	-3,773.00	
29SEP17	S	1	PD29DEC17		3162.50	3238.46	USD	-1,899.00	
29SEP17	S	1	PD29DEC17		3171.50	3238.46	USD	-1,674.00	
29SEP17	S	1	PD29DEC17		3157.50	3238.46	USD	-2,024.00	
29SEP17	S	1	PD29DEC17		3155.00	3238.46	USD	-2,086.50	
29SEP17	S	1	PD29DEC17		3148.00	3238.46	USD	-2,261.50	
29SEP17	S	1	PD29DEC17		3154.00	3238.46	USD	-2,111.50	
29SEP17	S	1	PD29DEC17		3154.50	3238.46	USD	-2,099.00	
29SEP17	S	2	PD29DEC17		3156.50	3238.46	USD	-4,098.00	
29SEP17	S	1	PD29DEC17		3160.00	3238.46	USD	-1,961.50	
29SEP17	S	2	PD29DEC17		3161.50	3238.46	USD	-3,848.00	
29SEP17	S	1	PD29DEC17		3163.50	3238.46	USD	-1,874.00	
29SEP17	S	2	PD29DEC17		3164.00	3238.46	USD	-3,723.00	
29SEP17	S	1	PD29DEC17		3161.00	3238.46	USD	-1,936.50	
29SEP17	S	1	PD29DEC17		3167.50	3238.46	USD	-1,774.00	
02OCT17	B	2	PD29DEC17		3236.20	3238.46	USD	113.00	
10OCT17	B	9	PD29DEC17		3283.02	3238.46	USD	-10,026.00	
	NET	0	AVG BGHT 3209.00	SOLD 3159.74				-28,327.00	0.00
03OCT17	S	2	PD03JAN18		3227.50	3237.69	USD	-509.50	
03OCT17	S	2	PD03JAN18		3228.00	3237.69	USD	-484.50	
03OCT17	S	1	PD03JAN18		3227.00	3237.69	USD	-267.25	
03OCT17	S	1	PD03JAN18		3224.00	3237.69	USD	-342.25	
03OCT17	S	1	PD03JAN18		3225.00	3237.69	USD	-317.25	
03OCT17	S	1	PD03JAN18		3225.50	3237.69	USD	-304.75	
03OCT17	S	3	PD03JAN18		3229.00	3237.69	USD	-651.75	
03OCT17	S	1	PD03JAN18		3230.00	3237.69	USD	-192.25	
03OCT17	S	1	PD03JAN18		3231.50	3237.69	USD	-154.75	
03OCT17	S	2	PD03JAN18		3235.00	3237.69	USD	-134.50	
03OCT17	S	1	PD03JAN18		3235.50	3237.69	USD	-54.75	
03OCT17	S	1	PD03JAN18		3236.00	3237.69	USD	-42.25	
03OCT17	S	1	PD03JAN18		3236.50	3237.69	USD	-29.75	
03OCT17	S	1	PD03JAN18		3238.50	3237.69	USD	20.25	
03OCT17	S	2	PD03JAN18		3239.50	3237.69	USD	90.50	
03OCT17	S	1	PD03JAN18		3241.00	3237.69	USD	82.75	
03OCT17	S	1	PD03JAN18		3242.50	3237.69	USD	120.25	
03OCT17	S	1	PD03JAN18		3254.50	3237.69	USD	420.25	
03OCT17	S	2	PD03JAN18		3255.00	3237.69	USD	865.50	
03OCT17	S	1	PD03JAN18		3259.50	3237.69	USD	545.25	
03OCT17	S	2	PD03JAN18		3261.00	3237.69	USD	1,165.50	
03OCT17	S	1	PD03JAN18		3265.50	3237.69	USD	695.25	
03OCT17	S	1	PD03JAN18		3266.50	3237.69	USD	720.25	

OPEN POSITION STATEMENT



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SAP0094A

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backoffice@sapiencap.com

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
03OCT17	S	1	PD03JAN18	3267.00	3237.69	USD	732.75	
03OCT17	S	1	PD03JAN18	3263.50	3237.69	USD	645.25	
03OCT17	S	1	PD03JAN18	3259.00	3237.69	USD	532.75	
03OCT17	S	1	PD03JAN18	3258.00	3237.69	USD	507.75	
03OCT17	S	2	PD03JAN18	3269.00	3237.69	USD	1,565.50	
03OCT17	S	2	PD03JAN18	3268.00	3237.69	USD	1,515.50	
03OCT17	B	1	PD03JAN18	3231.00	3237.69	USD	167.25	
03OCT17	S	1	PD03JAN18	3222.50	3237.69	USD	-379.75	
03OCT17	S	1	PD03JAN18	3226.50	3237.69	USD	-279.75	
03OCT17	S	1	PD03JAN18	3242.00	3237.69	USD	107.75	
03OCT17	S	1	PD03JAN18	3262.00	3237.69	USD	607.75	
03OCT17	S	1	PD03JAN18	3262.50	3237.69	USD	620.25	
03OCT17	S	1	PD03JAN18	3268.50	3237.69	USD	770.25	
03OCT17	S	1	PD03JAN18	3264.50	3237.69	USD	670.25	
03OCT17	S	2	PD03JAN18	3267.50	3237.69	USD	1,490.50	
03OCT17	S	2	PD03JAN18	3266.00	3237.69	USD	1,415.50	
03OCT17	S	1	PD03JAN18	3271.50	3237.69	USD	845.25	
03OCT17	S	1	PD03JAN18	3272.00	3237.69	USD	857.75	
03OCT17	S	1	PD03JAN18	3275.00	3237.69	USD	932.75	
03OCT17	B	2	PD03JAN18	3287.50	3237.69	USD	-2,490.50	
03OCT17	B	10	PD03JAN18	3237.15	3237.69	USD	135.00	
03OCT17	B	1	PD03JAN18	3275.50	3237.69	USD	-945.25	
03OCT17	B	5	PD03JAN18	3278.00	3237.69	USD	-5,038.75	
03OCT17	B	2	PD03JAN18	3279.50	3237.69	USD	-2,090.50	
03OCT17	B	20	PD03JAN18	3264.20	3237.69	USD	-13,255.00	
03OCT17	B	4	PD03JAN18	3279.00	3237.69	USD	-4,131.00	
03OCT17	B	3	PD03JAN18	3281.00	3237.69	USD	-3,248.25	
03OCT17	B	2	PD03JAN18	3281.50	3237.69	USD	-2,190.50	
03OCT17	B	2	PD03JAN18	3280.00	3237.69	USD	-2,115.50	
03OCT17	B	2	PD03JAN18	3283.00	3237.69	USD	-2,265.50	
10OCT17	S	1	PD03JAN18	3281.53	3237.69	USD	1,096.00	
	NET	0 AVG BGHT 3265.44	SOLD 3249.17				-21,974.25	0.00
04OCT17	B	2	PD04JAN18	3290.00	3237.31	USD	-2,634.50	
04OCT17	B	1	PD04JAN18	3290.50	3237.31	USD	-1,329.75	
04OCT17	B	1	PD04JAN18	3289.00	3237.31	USD	-1,292.25	
04OCT17	B	1	PD04JAN18	3295.00	3237.31	USD	-1,442.25	
04OCT17	B	2	PD04JAN18	3281.50	3237.31	USD	-2,209.50	
04OCT17	S	1	PD04JAN18	3295.00	3237.31	USD	1,442.25	
04OCT17	S	1	PD04JAN18	3297.50	3237.31	USD	1,504.75	
04OCT17	S	2	PD04JAN18	3301.00	3237.31	USD	3,184.50	
04OCT17	S	1	PD04JAN18	3304.00	3237.31	USD	1,667.25	
04OCT17	S	1	PD04JAN18	3304.50	3237.31	USD	1,679.75	
04OCT17	S	1	PD04JAN18	3289.50	3237.31	USD	1,304.75	
04OCT17	S	1	PD04JAN18	3290.50	3237.31	USD	1,329.75	
04OCT17	S	1	PD04JAN18	3286.50	3237.31	USD	1,229.75	
04OCT17	S	3	PD04JAN18	3291.00	3237.31	USD	4,026.75	
04OCT17	S	1	PD04JAN18	3293.50	3237.31	USD	1,404.75	
04OCT17	S	1	PD04JAN18	3292.50	3237.31	USD	1,379.75	
04OCT17	S	2	PD04JAN18	3297.00	3237.31	USD	2,984.50	
04OCT17	S	1	PD04JAN18	3300.50	3237.31	USD	1,579.75	
04OCT17	B	1	PD04JAN18	3282.00	3237.31	USD	-1,117.25	
04OCT17	B	1	PD04JAN18	3276.50	3237.31	USD	-979.75	
04OCT17	B	1	PD04JAN18	3279.50	3237.31	USD	-1,054.75	
04OCT17	B	2	PD04JAN18	3277.00	3237.31	USD	-1,984.50	
04OCT17	B	1	PD04JAN18	3278.50	3237.31	USD	-1,029.75	
04OCT17	B	1	PD04JAN18	3277.50	3237.31	USD	-1,004.75	
04OCT17	B	2	PD04JAN18	3279.00	3237.31	USD	-2,084.50	

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04OCT17	B	1	PD04JAN18		3280.00	3237.31	USD	-1,067.25	
04OCT17	B	2	PD04JAN18		3281.00	3237.31	USD	-2,184.50	
	NET	+2 AVG	BGHT 3282.39	SOLD 3295.47				3,303.00	0.00
05OCT17	S	1	PD05JAN18		3279.50	3236.92	USD	1,064.50	
05OCT17	S	1	PD05JAN18		3282.00	3236.92	USD	1,127.00	
05OCT17	S	1	PD05JAN18		3290.50	3236.92	USD	1,339.50	
05OCT17	B	1	PD05JAN18		3291.00	3236.92	USD	-1,352.00	
05OCT17	B	1	PD05JAN18		3288.50	3236.92	USD	-1,289.50	
05OCT17	B	1	PD05JAN18		3289.50	3236.92	USD	-1,314.50	
05OCT17	B	1	PD05JAN18		3290.50	3236.92	USD	-1,339.50	
05OCT17	S	2	PD05JAN18		3296.00	3236.92	USD	2,954.00	
05OCT17	S	1	PD05JAN18		3295.50	3236.92	USD	1,464.50	
05OCT17	S	1	PD05JAN18		3297.00	3236.92	USD	1,502.00	
05OCT17	S	1	PD05JAN18		3298.00	3236.92	USD	1,527.00	
05OCT17	S	1	PD05JAN18		3300.00	3236.92	USD	1,577.00	
05OCT17	B	1	PD05JAN18		3298.00	3236.92	USD	-1,527.00	
05OCT17	B	1	PD05JAN18		3296.00	3236.92	USD	-1,477.00	
06OCT17	B	1	PD05JAN18		3286.00	3236.92	USD	-1,227.00	
06OCT17	B	3	PD05JAN18		3288.00	3236.92	USD	-3,831.00	
06OCT17	B	1	PD05JAN18		3290.00	3236.92	USD	-1,327.00	
06OCT17	B	1	PD05JAN18		3284.00	3236.92	USD	-1,177.00	
06OCT17	B	1	PD05JAN18		3280.50	3236.92	USD	-1,089.50	
06OCT17	B	1	PD05JAN18		3255.00	3236.92	USD	-452.00	
06OCT17	B	1	PD05JAN18		3256.00	3236.92	USD	-477.00	
06OCT17	B	1	PD05JAN18		3253.50	3236.92	USD	-414.50	
06OCT17	B	1	PD05JAN18		3250.50	3236.92	USD	-339.50	
06OCT17	B	1	PD05JAN18		3236.50	3236.92	USD	10.50	
06OCT17	S	1	PD05JAN18		3245.00	3236.92	USD	202.00	
06OCT17	B	2	PD05JAN18		3238.00	3236.92	USD	-54.00	
06OCT17	B	1	PD05JAN18		3239.00	3236.92	USD	-52.00	
06OCT17	S	1	PD05JAN18		3247.00	3236.92	USD	252.00	
06OCT17	S	1	PD05JAN18		3248.00	3236.92	USD	277.00	
06OCT17	B	1	PD05JAN18		3239.50	3236.92	USD	-64.50	
06OCT17	B	1	PD05JAN18		3246.50	3236.92	USD	-239.50	
06OCT17	B	1	PD05JAN18		3245.50	3236.92	USD	-214.50	
06OCT17	B	1	PD05JAN18		3246.00	3236.92	USD	-227.00	
06OCT17	B	2	PD05JAN18		3245.00	3236.92	USD	-404.00	
06OCT17	B	2	PD05JAN18		3244.00	3236.92	USD	-354.00	
06OCT17	B	1	PD05JAN18		3238.50	3236.92	USD	-39.50	
06OCT17	B	1	PD05JAN18		3247.00	3236.92	USD	-252.00	
	NET	+19 AVG	BGHT 3263.40	SOLD 3281.21				-7,238.00	0.00
09OCT17	S	1	PD09JAN18		3268.50	3236.15	USD	808.75	
09OCT17	S	2	PD09JAN18		3268.00	3236.15	USD	1,592.50	
09OCT17	S	1	PD09JAN18		3267.00	3236.15	USD	771.25	
09OCT17	S	1	PD09JAN18		3267.50	3236.15	USD	783.75	
09OCT17	S	2	PD09JAN18		3273.00	3236.15	USD	1,842.50	
09OCT17	S	1	PD09JAN18		3269.50	3236.15	USD	833.75	
09OCT17	S	1	PD09JAN18		3272.50	3236.15	USD	908.75	
09OCT17	S	1	PD09JAN18		3274.00	3236.15	USD	946.25	
09OCT17	S	1	PD09JAN18		3275.00	3236.15	USD	971.25	
09OCT17	S	2	PD09JAN18		3270.50	3236.15	USD	1,717.50	
09OCT17	S	2	PD09JAN18		3270.00	3236.15	USD	1,692.50	
09OCT17	S	1	PD09JAN18		3238.50	3236.15	USD	58.75	
09OCT17	S	1	PD09JAN18		3239.00	3236.15	USD	71.25	
09OCT17	B	2	PD09JAN18		3256.50	3236.15	USD	-1,017.50	
09OCT17	B	3	PD09JAN18		3257.50	3236.15	USD	-1,601.25	
09OCT17	B	1	PD09JAN18		3259.00	3236.15	USD	-571.25	

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09OCT17	B	3	PD09JAN18		3254.00	3236.15	USD	-1,338.75	
09OCT17	B	2	PD09JAN18		3254.50	3236.15	USD	-917.50	
09OCT17	B	5	PD09JAN18		3255.00	3236.15	USD	-2,356.25	
09OCT17	B	3	PD09JAN18		3255.50	3236.15	USD	-1,451.25	
09OCT17	B	7	PD09JAN18		3256.00	3236.15	USD	-3,473.75	
	NET	+9 AVG	BGHT 3255.73	SOLD 3266.74				271.25	0.00
10OCT17	S	6	PD10JAN18		3224.85	3235.77	USD	-1,638.00	
10OCT17	B	1	PD10JAN18		3214.00	3235.77	USD	544.25	
10OCT17	B	1	PD10JAN18		3214.50	3235.77	USD	531.75	
10OCT17	B	1	PD10JAN18		3215.00	3235.77	USD	519.25	
10OCT17	B	1	PD10JAN18		3216.50	3235.77	USD	481.75	
10OCT17	B	1	PD10JAN18		3217.00	3235.77	USD	469.25	
10OCT17	B	1	PD10JAN18		3218.00	3235.77	USD	444.25	
10OCT17	B	1	PD10JAN18		3221.50	3235.77	USD	356.75	
10OCT17	B	1	PD10JAN18		3223.50	3235.77	USD	306.75	
10OCT17	B	1	PD10JAN18		3228.00	3235.77	USD	194.25	
10OCT17	B	2	PD10JAN18		3232.00	3235.77	USD	188.50	
10OCT17	B	1	PD10JAN18		3240.00	3235.77	USD	-105.75	
10OCT17	B	1	PD10JAN18		3248.00	3235.77	USD	-305.75	
10OCT17	B	1	PD10JAN18		3248.50	3235.77	USD	-318.25	
10OCT17	B	1	PD10JAN18		3249.00	3235.77	USD	-330.75	
10OCT17	B	1	PD10JAN18		3250.00	3235.77	USD	-355.75	
10OCT17	B	1	PD10JAN18		3251.00	3235.77	USD	-380.75	
10OCT17	S	2	PD10JAN18		3231.50	3235.77	USD	-213.50	
10OCT17	S	1	PD10JAN18		3232.50	3235.77	USD	-81.75	
10OCT17	S	1	PD10JAN18		3233.00	3235.77	USD	-69.25	
10OCT17	S	1	PD10JAN18		3235.00	3235.77	USD	-19.25	
10OCT17	S	1	PD10JAN18		3237.00	3235.77	USD	30.75	
10OCT17	S	1	PD10JAN18		3237.50	3235.77	USD	43.25	
10OCT17	S	1	PD10JAN18		3238.00	3235.77	USD	55.75	
10OCT17	S	1	PD10JAN18		3240.00	3235.77	USD	105.75	
10OCT17	S	1	PD10JAN18		3241.00	3235.77	USD	130.75	
10OCT17	S	1	PD10JAN18		3241.50	3235.77	USD	143.25	
10OCT17	S	2	PD10JAN18		3242.00	3235.77	USD	311.50	
10OCT17	S	1	PD10JAN18		3242.50	3235.77	USD	168.25	
10OCT17	S	2	PD10JAN18		3243.50	3235.77	USD	386.50	
10OCT17	S	1	PD10JAN18		3252.50	3235.77	USD	418.25	
10OCT17	S	1	PD10JAN18		3253.50	3235.77	USD	443.25	
10OCT17	S	1	PD10JAN18		3274.00	3235.77	USD	955.75	
10OCT17	S	1	PD10JAN18		3278.00	3235.77	USD	1,055.75	
10OCT17	S	1	PD10JAN18		3279.50	3235.77	USD	1,093.25	
10OCT17	S	1	PD10JAN18		3281.50	3235.77	USD	1,143.25	
10OCT17	S	1	PD10JAN18		3284.50	3235.77	USD	1,218.25	
10OCT17	B	1	PD10JAN18		3290.00	3235.77	USD	-1,355.75	
10OCT17	B	3	PD10JAN18		3290.50	3235.77	USD	-4,104.75	
10OCT17	B	1	PD10JAN18		3291.00	3235.77	USD	-1,380.75	
10OCT17	B	2	PD10JAN18		3291.50	3235.77	USD	-2,786.50	
10OCT17	B	2	PD10JAN18		3292.00	3235.77	USD	-2,811.50	
	NET	-3 AVG	BGHT 3251.46	SOLD 3243.61				-4,517.75	0.00
11OCT17	B	1	PD11JAN18		3212.50	3235.38	USD	572.00	
11OCT17	B	1	PD11JAN18		3213.00	3235.38	USD	559.50	
11OCT17	B	1	PD11JAN18		3213.50	3235.38	USD	547.00	
11OCT17	B	3	PD11JAN18		3249.00	3235.38	USD	-1,021.50	
11OCT17	B	1	PD11JAN18		3249.50	3235.38	USD	-353.00	
11OCT17	B	2	PD11JAN18		3250.00	3235.38	USD	-731.00	
11OCT17	S	1	PD11JAN18		3220.00	3235.38	USD	-384.50	
	NET	+8 AVG	BGHT 3237.28	SOLD 3220.00				-811.50	0.00

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12OCT17	S	1	PD12JAN18	3256.00	3235.00	USD	525.00	
12OCT17	S	1	PD12JAN18	3256.50	3235.00	USD	537.50	
12OCT17	S	1	PD12JAN18	3257.50	3235.00	USD	562.50	
12OCT17	S	1	PD12JAN18	3251.00	3235.00	USD	400.00	
12OCT17	S	1	PD12JAN18	3254.00	3235.00	USD	475.00	
12OCT17	S	1	PD12JAN18	3259.50	3235.00	USD	612.50	
12OCT17	S	1	PD12JAN18	3265.50	3235.00	USD	762.50	
12OCT17	S	1	PD12JAN18	3259.00	3235.00	USD	600.00	
12OCT17	S	1	PD12JAN18	3249.00	3235.00	USD	350.00	
12OCT17	S	1	PD12JAN18	3250.50	3235.00	USD	387.50	
12OCT17	S	1	PD12JAN18	3253.00	3235.00	USD	450.00	
12OCT17	B	2	PD12JAN18	3260.00	3235.00	USD	-1,250.00	
12OCT17	B	2	PD12JAN18	3260.50	3235.00	USD	-1,275.00	
12OCT17	B	2	PD12JAN18	3261.00	3235.00	USD	-1,300.00	
12OCT17	S	2	PD12JAN18	3258.50	3235.00	USD	1,175.00	
12OCT17	S	1	PD12JAN18	3258.00	3235.00	USD	575.00	
13OCT17	S	1	PD12JAN18	3268.00	3235.00	USD	825.00	
13OCT17	S	2	PD12JAN18	3269.00	3235.00	USD	1,700.00	
13OCT17	S	1	PD12JAN18	3278.00	3235.00	USD	1,075.00	
13OCT17	S	1	PD12JAN18	3278.50	3235.00	USD	1,087.50	
13OCT17	B	1	PD12JAN18	3238.00	3235.00	USD	-75.00	
13OCT17	B	1	PD12JAN18	3238.50	3235.00	USD	-87.50	
13OCT17	B	1	PD12JAN18	3239.50	3235.00	USD	-112.50	
13OCT17	B	1	PD12JAN18	3233.50	3235.00	USD	37.50	
13OCT17	B	1	PD12JAN18	3236.00	3235.00	USD	-25.00	
13OCT17	B	3	PD12JAN18	3239.00	3235.00	USD	-300.00	
13OCT17	B	1	PD12JAN18	3218.00	3235.00	USD	425.00	
13OCT17	B	1	PD12JAN18	3218.50	3235.00	USD	412.50	
13OCT17	B	1	PD12JAN18	3223.00	3235.00	USD	300.00	
13OCT17	B	1	PD12JAN18	3229.00	3235.00	USD	150.00	
13OCT17	B	5	PD12JAN18	3235.20	3235.00	USD	-25.00	
	NET	+4 AVG BGHT 3240.43	SOLD 3260.47				8,975.00	0.00

OPEN POSITION VALUE

		USD
LME Aluminium 25 Tonnes.	FUTURES	-18,576.25
CMX Copper	FUTURES	380,912.50
CMX Gold	FUTURES	-129,490.00
LME Lead 25 tonnes. This is an	FUTURES	-150,752.50
LME Nickel 6 tonnes. This is	FUTURES	-146,481.54
CMX Silver	FUTURES	-585,950.00
LME Zinc 25 tonnes. This is	FUTURES	-43,121.50

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0094A

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
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MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options	Amount	Profit / Loss	Total Amount	Total P&L
COPR - Futures USD	-10,775.00	-10,775.00		
GOLD - Futures USD	970.00	970.00		
SILV - Futures USD	23,435.00	23,435.00	13,630.00	13,630.00
Unrealised Profits & Losses - Forwards	Amount	Profit / Loss	Total Amount	Total P&L
ALUL - Futures USD	-71,913.75	-71,913.75		
LEAD - Futures USD	-276,813.00	-276,813.00		
NKL - Futures USD	269,754.54	269,754.54		
ZINC - Futures USD	91,073.00	91,073.00	12,100.79	12,100.79

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	184,437.10	184,437.10
Variation Margins - Futures	13,630.00	13,630.00
- Options	0.00	0.00
TOTAL EQUITY	198,067.10	198,067.10
Initial Margins	-145,032.21	-145,032.21
Excess/(Shortage)	53,034.89	53,034.89
FOR INFORMATION ONLY		
Forward Unrealised P&L	12,100.79	12,100.79
Net Liquidating Value	210,167.89	210,167.89

OPEN POSITION STATEMENT



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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ALUMINIUM 25 TONNES. THIS IS AN</u>							
	<u>LME REGISTERED CLIENT CONTRACT.</u>							
17JUL17	S	1	PD17OCT17	1935.50	2109.75	USD	-4,356.25	
17JUL17	S	1	PD17OCT17	1939.50	2109.75	USD	-4,256.25	
17JUL17	S	1	PD17OCT17	1938.50	2109.75	USD	-4,281.25	
17JUL17	S	2	PD17OCT17	1940.50	2109.75	USD	-8,462.50	
17JUL17	B	1	PD17OCT17	1924.50	2109.75	USD	4,631.25	
17JUL17	B	1	PD17OCT17	1926.00	2109.75	USD	4,593.75	
17JUL17	B	2	PD17OCT17	1927.00	2109.75	USD	9,137.50	
17JUL17	B	3	PD17OCT17	1927.50	2109.75	USD	13,668.75	
17JUL17	B	1	PD17OCT17	1925.50	2109.75	USD	4,606.25	
17JUL17	B	1	PD17OCT17	1926.50	2109.75	USD	4,581.25	
17JUL17	B	1	PD17OCT17	1914.00	2109.75	USD	4,893.75	
17JUL17	B	1	PD17OCT17	1914.50	2109.75	USD	4,881.25	
17JUL17	B	1	PD17OCT17	1911.50	2109.75	USD	4,956.25	
17JUL17	B	1	PD17OCT17	1913.00	2109.75	USD	4,918.75	
17JUL17	B	1	PD17OCT17	1900.00	2109.75	USD	5,243.75	
17JUL17	B	1	PD17OCT17	1900.50	2109.75	USD	5,231.25	
18JUL17	S	10	PD17OCT17	1930.59	2109.75	USD	-44,790.00	
	NET	0AVG BGHT 1919.50	SOLD 1933.36				5,197.50	0.00
18JUL17	B	2	PD18OCT17	1904.00	2110.25	USD	10,312.50	
18JUL17	B	1	PD18OCT17	1904.50	2110.25	USD	5,143.75	
18JUL17	B	1	PD18OCT17	1900.00	2110.25	USD	5,256.25	
18JUL17	B	1	PD18OCT17	1902.50	2110.25	USD	5,193.75	
18JUL17	B	2	PD18OCT17	1903.50	2110.25	USD	10,337.50	
18JUL17	B	3	PD18OCT17	1902.00	2110.25	USD	15,618.75	
18JUL17	B	1	PD18OCT17	1903.00	2110.25	USD	5,181.25	
18JUL17	B	1	PD18OCT17	1901.00	2110.25	USD	5,231.25	
18JUL17	B	2	PD18OCT17	1900.50	2110.25	USD	10,487.50	
18JUL17	B	3	PD18OCT17	1901.50	2110.25	USD	15,656.25	
18JUL17	S	12	PD18OCT17	1930.80	2110.25	USD	-53,835.00	
21JUL17	S	5	PD18OCT17	1915.25	2110.25	USD	-24,375.00	
	NET	0AVG BGHT 1902.21	SOLD 1926.23				10,208.75	0.00
19JUL17	B	2	PD19OCT17	1926.00	2110.75	USD	9,237.50	
19JUL17	B	2	PD19OCT17	1927.00	2110.75	USD	9,187.50	
19JUL17	S	5	PD19OCT17	1935.00	2110.75	USD	-21,968.75	
19JUL17	B	1	PD19OCT17	1925.50	2110.75	USD	4,631.25	
19JUL17	B	2	PD19OCT17	1926.50	2110.75	USD	9,212.50	
19JUL17	S	1	PD19OCT17	1937.00	2110.75	USD	-4,343.75	
19JUL17	S	1	PD19OCT17	1937.50	2110.75	USD	-4,331.25	
19JUL17	S	4	PD19OCT17	1936.00	2110.75	USD	-17,475.00	
19JUL17	S	1	PD19OCT17	1936.50	2110.75	USD	-4,356.25	
19JUL17	B	1	PD19OCT17	1923.00	2110.75	USD	4,693.75	
19JUL17	S	1	PD19OCT17	1920.00	2110.75	USD	-4,768.75	
21JUL17	B	5	PD19OCT17	1915.33	2110.75	USD	24,427.50	
	NET	0AVG BGHT 1921.86	SOLD 1934.62				4,146.25	0.00
20JUL17	S	4	PD20OCT17	1927.00	2111.25	USD	-18,425.00	
20JUL17	S	4	PD20OCT17	1928.00	2111.25	USD	-18,325.00	
20JUL17	S	2	PD20OCT17	1927.50	2111.25	USD	-9,187.50	
20JUL17	B	2	PD20OCT17	1918.50	2111.25	USD	9,637.50	
20JUL17	B	1	PD20OCT17	1919.00	2111.25	USD	4,806.25	
20JUL17	B	1	PD20OCT17	1917.50	2111.25	USD	4,843.75	
20JUL17	B	2	PD20OCT17	1916.50	2111.25	USD	9,737.50	
20JUL17	B	1	PD20OCT17	1920.50	2111.25	USD	4,768.75	
21JUL17	S	1	PD20OCT17	1929.50	2111.25	USD	-4,543.75	
21JUL17	S	1	PD20OCT17	1930.00	2111.25	USD	-4,531.25	
21JUL17	S	1	PD20OCT17	1931.50	2111.25	USD	-4,493.75	
21JUL17	S	1	PD20OCT17	1927.00	2111.25	USD	-4,606.25	

OPEN POSITION STATEMENT



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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.</u>							
21JUL17	S	1	PD200CT17	1926.00	2111.25	USD	-4,631.25	
21JUL17	S	1	PD200CT17	1929.00	2111.25	USD	-4,556.25	
21JUL17	B	1	PD200CT17	1913.50	2111.25	USD	4,943.75	
21JUL17	B	1	PD200CT17	1915.50	2111.25	USD	4,893.75	
24JUL17	B	7	PD200CT17	1911.87	2111.25	USD	34,891.50	
	NET	0AVG BGHT 1914.94	SOLD 1928.00				5,222.75	0.00
24JUL17	S	2	PD240CT17	1923.00	2113.25	USD	-9,512.50	
24JUL17	S	1	PD240CT17	1924.50	2113.25	USD	-4,718.75	
24JUL17	S	1	PD240CT17	1922.00	2113.25	USD	-4,781.25	
24JUL17	B	4	PD240CT17	1912.50	2113.25	USD	20,075.00	
24JUL17	B	1	PD240CT17	1913.50	2113.25	USD	4,993.75	
24JUL17	B	1	PD240CT17	1913.00	2113.25	USD	5,006.25	
24JUL17	S	1	PD240CT17	1914.00	2113.25	USD	-4,981.25	
24JUL17	S	4	PD240CT17	1915.00	2113.25	USD	-19,825.00	
24JUL17	B	3	PD240CT17	1912.20	2113.25	USD	15,078.75	
24JUL17	S	1	PD240CT17	1915.50	2113.25	USD	-4,943.75	
26JUL17	B	1	PD240CT17	1941.77	2113.25	USD	4,287.00	
	NET	0AVG BGHT 1915.49	SOLD 1918.20				678.25	0.00
25JUL17	S	1	PD250CT17	1919.50	2113.75	USD	-4,856.25	
26JUL17	B	1	PD250CT17	1941.99	2113.75	USD	4,294.00	
	NET	0AVG BGHT 1941.99	SOLD 1919.50				-562.25	0.00
26JUL17	S	1	PD260CT17	1943.00	2114.25	USD	-4,281.25	
26JUL17	S	1	PD260CT17	1943.50	2114.25	USD	-4,268.75	
26JUL17	S	1	PD260CT17	1941.00	2114.25	USD	-4,331.25	
26JUL17	S	1	PD260CT17	1942.50	2114.25	USD	-4,293.75	
26JUL17	S	1	PD260CT17	1947.50	2114.25	USD	-4,168.75	
26JUL17	S	1	PD260CT17	1944.50	2114.25	USD	-4,243.75	
26JUL17	S	1	PD260CT17	1946.00	2114.25	USD	-4,206.25	
26JUL17	S	1	PD260CT17	1947.00	2114.25	USD	-4,181.25	
26JUL17	S	1	PD260CT17	1948.00	2114.25	USD	-4,156.25	
26JUL17	S	1	PD260CT17	1948.50	2114.25	USD	-4,143.75	
26JUL17	S	2	PD260CT17	1949.00	2114.25	USD	-8,262.50	
26JUL17	S	1	PD260CT17	1951.00	2114.25	USD	-4,081.25	
26JUL17	S	1	PD260CT17	1953.50	2114.25	USD	-4,018.75	
26JUL17	S	1	PD260CT17	1955.00	2114.25	USD	-3,981.25	
26JUL17	B	1	PD260CT17	1957.50	2114.25	USD	3,918.75	
26JUL17	S	1	PD260CT17	1959.50	2114.25	USD	-3,868.75	
26JUL17	B	1	PD260CT17	1954.50	2114.25	USD	3,993.75	
26JUL17	S	4	PD260CT17	1956.50	2114.25	USD	-15,775.00	
26JUL17	S	3	PD260CT17	1957.00	2114.25	USD	-11,793.75	
26JUL17	B	8	PD260CT17	1942.20	2114.25	USD	34,410.00	
27JUL17	B	5	PD260CT17	1937.95	2114.25	USD	22,037.50	
28JUL17	B	8	PD260CT17	1906.63	2114.25	USD	41,524.00	
	NET	0AVG BGHT 1930.10	SOLD 1950.67				11,827.75	0.00
27JUL17	B	1	PD270CT17	1942.00	2114.08	USD	4,302.00	
27JUL17	B	1	PD270CT17	1934.50	2114.08	USD	4,489.50	
27JUL17	B	1	PD270CT17	1935.00	2114.08	USD	4,477.00	
27JUL17	B	1	PD270CT17	1940.00	2114.08	USD	4,352.00	
27JUL17	B	6	PD270CT17	1942.50	2114.08	USD	25,737.00	
27JUL17	S	2	PD270CT17	1944.00	2114.08	USD	-8,504.00	
27JUL17	S	1	PD270CT17	1945.50	2114.08	USD	-4,214.50	
27JUL17	S	1	PD270CT17	1944.50	2114.08	USD	-4,239.50	
27JUL17	S	4	PD270CT17	1945.00	2114.08	USD	-16,908.00	
27JUL17	S	1	PD270CT17	1946.50	2114.08	USD	-4,189.50	
27JUL17	B	5	PD270CT17	1943.00	2114.08	USD	21,385.00	
27JUL17	B	3	PD270CT17	1937.50	2114.08	USD	13,243.50	

OPEN POSITION STATEMENT



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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ALUMINIUM 25 TONNES. THIS IS AN</u>							
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27JUL17	B	1	PD27OCT17	1938.00	2114.08	USD	4,402.00	
27JUL17	S	1	PD27OCT17	1938.00	2114.08	USD	-4,402.00	
28JUL17	B	1	PD27OCT17	1926.00	2114.08	USD	4,702.00	
28JUL17	B	1	PD27OCT17	1933.50	2114.08	USD	4,514.50	
28JUL17	B	2	PD27OCT17	1928.00	2114.08	USD	9,304.00	
28JUL17	B	2	PD27OCT17	1929.00	2114.08	USD	9,254.00	
28JUL17	B	2	PD27OCT17	1931.50	2114.08	USD	9,129.00	
28JUL17	B	1	PD27OCT17	1932.00	2114.08	USD	4,552.00	
28JUL17	B	3	PD27OCT17	1926.50	2114.08	USD	14,068.50	
28JUL17	S	1	PD27OCT17	1929.00	2114.08	USD	-4,627.00	
28JUL17	B	1	PD27OCT17	1912.50	2114.08	USD	5,039.50	
28JUL17	B	1	PD27OCT17	1913.00	2114.08	USD	5,027.00	
28JUL17	B	2	PD27OCT17	1914.00	2114.08	USD	10,004.00	
28JUL17	B	2	PD27OCT17	1914.50	2114.08	USD	9,979.00	
28JUL17	B	1	PD27OCT17	1913.50	2114.08	USD	5,014.50	
28JUL17	B	1	PD27OCT17	1915.00	2114.08	USD	4,977.00	
28JUL17	B	1	PD27OCT17	1915.50	2114.08	USD	4,964.50	
28JUL17	B	4	PD27OCT17	1916.00	2114.08	USD	19,808.00	
28JUL17	B	1	PD27OCT17	1908.50	2114.08	USD	5,139.50	
28JUL17	B	3	PD27OCT17	1909.50	2114.08	USD	15,343.50	
28JUL17	B	1	PD27OCT17	1910.00	2114.08	USD	5,102.00	
28JUL17	B	4	PD27OCT17	1910.50	2114.08	USD	20,358.00	
28JUL17	B	3	PD27OCT17	1911.00	2114.08	USD	15,231.00	
28JUL17	S	13	PD27OCT17	1906.80	2114.08	USD	-67,366.00	
31JUL17	S	5	PD27OCT17	1916.55	2114.08	USD	-24,691.25	
01AUG17	S	9	PD27OCT17	1905.56	2114.08	USD	-46,917.00	
03AUG17	S	8	PD27OCT17	1914.05	2114.08	USD	-40,006.00	
08AUG17	S	10	PD27OCT17	2027.60	2114.08	USD	-21,620.00	
	NET	0AVG BGHT 1925.58	SOLD 1937.16				16,214.75	0.00
31JUL17	S	1	PD31OCT17	1918.00	2113.75	USD	-4,893.75	
31JUL17	S	1	PD31OCT17	1918.50	2113.75	USD	-4,881.25	
31JUL17	S	1	PD31OCT17	1924.00	2113.75	USD	-4,743.75	
31JUL17	S	1	PD31OCT17	1925.50	2113.75	USD	-4,706.25	
31JUL17	S	1	PD31OCT17	1926.00	2113.75	USD	-4,693.75	
31JUL17	S	1	PD31OCT17	1920.50	2113.75	USD	-4,831.25	
31JUL17	S	3	PD31OCT17	1923.50	2113.75	USD	-14,268.75	
31JUL17	S	3	PD31OCT17	1919.50	2113.75	USD	-14,568.75	
31JUL17	B	1	PD31OCT17	1919.50	2113.75	USD	4,856.25	
31JUL17	S	2	PD31OCT17	1924.50	2113.75	USD	-9,462.50	
31JUL17	B	1	PD31OCT17	1915.50	2113.75	USD	4,956.25	
31JUL17	B	1	PD31OCT17	1917.50	2113.75	USD	4,906.25	
31JUL17	B	1	PD31OCT17	1913.50	2113.75	USD	5,006.25	
31JUL17	B	1	PD31OCT17	1917.00	2113.75	USD	4,918.75	
01AUG17	B	9	PD31OCT17	1906.91	2113.75	USD	46,539.00	
	NET	0AVG BGHT 1910.37	SOLD 1922.18				4,132.75	0.00
01AUG17	B	1	PD01NOV17	1905.50	2114.09	USD	5,214.75	
01AUG17	B	2	PD01NOV17	1906.00	2114.09	USD	10,404.50	
01AUG17	B	1	PD01NOV17	1907.50	2114.09	USD	5,164.75	
08AUG17	S	4	PD01NOV17	2028.60	2114.09	USD	-8,549.00	
	NET	0AVG BGHT 1906.25	SOLD 2028.60				12,235.00	0.00
02AUG17	S	1	PD02NOV17	1914.50	2114.44	USD	-4,998.50	
02AUG17	S	1	PD02NOV17	1927.50	2114.44	USD	-4,673.50	
02AUG17	B	2	PD02NOV17	1920.50	2114.44	USD	9,697.00	
02AUG17	S	1	PD02NOV17	1925.00	2114.44	USD	-4,736.00	
02AUG17	S	1	PD02NOV17	1925.50	2114.44	USD	-4,723.50	
02AUG17	S	1	PD02NOV17	1915.50	2114.44	USD	-4,973.50	

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	<u>LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.</u>							
02AUG17	S	1	PD02NOV17	1923.00	2114.44	USD	-4,786.00	
02AUG17	B	1	PD02NOV17	1924.00	2114.44	USD	4,761.00	
02AUG17	B	1	PD02NOV17	1922.50	2114.44	USD	4,798.50	
02AUG17	B	1	PD02NOV17	1923.00	2114.44	USD	4,786.00	
02AUG17	B	1	PD02NOV17	1923.50	2114.44	USD	4,773.50	
11SEP17	S	9	PD02NOV17	2112.79	2114.44	USD	-371.25	
12SEP17	S	7	PD02NOV17	2125.08	2114.44	USD	1,862.00	
20SEP17	S	5	PD02NOV17	2162.54	2114.44	USD	6,012.50	
09OCT17	S	7	PD02NOV17	2156.44	2114.44	USD	7,350.00	
11OCT17	B	8	PD02NOV17	2111.13	2114.44	USD	662.00	
12OCT17	B	20	PD02NOV17	2127.25	2114.44	USD	-6,405.00	
	NET	0 AVG BGHT 2087.30	SOLD 2097.93				9,035.25	0.00
03AUG17	B	1	PD03NOV17	1921.50	2114.78	USD	4,832.00	
03AUG17	B	1	PD03NOV17	1918.00	2114.78	USD	4,919.50	
03AUG17	B	3	PD03NOV17	1918.50	2114.78	USD	14,721.00	
03AUG17	B	2	PD03NOV17	1919.00	2114.78	USD	9,789.00	
03AUG17	S	1	PD03NOV17	1918.50	2114.78	USD	-4,907.00	
03AUG17	B	1	PD03NOV17	1911.50	2114.78	USD	5,082.00	
04AUG17	B	1	PD03NOV17	1905.00	2114.78	USD	5,244.50	
04AUG17	B	1	PD03NOV17	1906.50	2114.78	USD	5,207.00	
04AUG17	S	2	PD03NOV17	1913.50	2114.78	USD	-10,064.00	
04AUG17	B	1	PD03NOV17	1914.00	2114.78	USD	5,019.50	
04AUG17	S	5	PD03NOV17	1910.30	2114.78	USD	-25,560.00	
08AUG17	S	3	PD03NOV17	2029.00	2114.78	USD	-6,433.50	
	NET	0 AVG BGHT 1915.45	SOLD 1944.00				7,850.00	0.00
07AUG17	S	8	PD07NOV17	1922.00	2116.16	USD	-38,832.00	
07AUG17	S	1	PD07NOV17	1922.50	2116.16	USD	-4,841.50	
07AUG17	S	3	PD07NOV17	1923.00	2116.16	USD	-14,487.00	
07AUG17	S	1	PD07NOV17	1924.50	2116.16	USD	-4,791.50	
07AUG17	S	1	PD07NOV17	1928.50	2116.16	USD	-4,691.50	
07AUG17	S	3	PD07NOV17	1929.50	2116.16	USD	-13,999.50	
07AUG17	S	2	PD07NOV17	1930.00	2116.16	USD	-9,308.00	
07AUG17	S	1	PD07NOV17	1930.50	2116.16	USD	-4,641.50	
07AUG17	S	1	PD07NOV17	1936.00	2116.16	USD	-4,504.00	
07AUG17	S	1	PD07NOV17	1937.00	2116.16	USD	-4,479.00	
07AUG17	S	2	PD07NOV17	1929.00	2116.16	USD	-9,358.00	
07AUG17	S	2	PD07NOV17	1933.00	2116.16	USD	-9,158.00	
07AUG17	S	2	PD07NOV17	1923.50	2116.16	USD	-9,633.00	
07AUG17	S	1	PD07NOV17	1931.50	2116.16	USD	-4,616.50	
07AUG17	S	1	PD07NOV17	1934.00	2116.16	USD	-4,554.00	
07AUG17	B	1	PD07NOV17	1959.50	2116.16	USD	3,916.50	
07AUG17	S	1	PD07NOV17	1958.50	2116.16	USD	-3,941.50	
07AUG17	S	1	PD07NOV17	1959.50	2116.16	USD	-3,916.50	
07AUG17	S	1	PD07NOV17	1960.00	2116.16	USD	-3,904.00	
08AUG17	B	32	PD07NOV17	2030.00	2116.16	USD	68,928.00	
	NET	0 AVG BGHT 2027.86	SOLD 1929.91				-80,812.50	0.00
08AUG17	S	1	PD08NOV17	1988.00	2116.50	USD	-3,212.50	
08AUG17	S	2	PD08NOV17	1990.50	2116.50	USD	-6,300.00	
08AUG17	S	1	PD08NOV17	1991.00	2116.50	USD	-3,137.50	
08AUG17	S	1	PD08NOV17	1997.00	2116.50	USD	-2,987.50	
08AUG17	S	1	PD08NOV17	2005.00	2116.50	USD	-2,787.50	
08AUG17	S	2	PD08NOV17	1999.00	2116.50	USD	-5,875.00	
08AUG17	S	1	PD08NOV17	2001.00	2116.50	USD	-2,887.50	
08AUG17	S	1	PD08NOV17	1998.00	2116.50	USD	-2,962.50	
08AUG17	S	1	PD08NOV17	2004.50	2116.50	USD	-2,800.00	
08AUG17	S	1	PD08NOV17	2006.00	2116.50	USD	-2,762.50	

OPEN POSITION STATEMENT



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SAP0094E

13th October 2017

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Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

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Date	Open Position				Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ALUMINIUM 25 TONNES. THIS IS AN</u>								
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08AUG17	S	1	PD08NOV17		2011.00	2116.50	USD	-2,637.50	
08AUG17	S	1	PD08NOV17		2010.50	2116.50	USD	-2,650.00	
08AUG17	S	1	PD08NOV17		2012.50	2116.50	USD	-2,600.00	
08AUG17	S	1	PD08NOV17		2009.00	2116.50	USD	-2,687.50	
08AUG17	B	13	PD08NOV17		2030.20	2116.50	USD	28,047.50	
09AUG17	B	3	PD08NOV17		2027.52	2116.50	USD	6,673.50	
	NET	0AVG	BGHT 2029.70	SOLD 2000.78				-11,566.50	0.00
09AUG17	B	1	PD09NOV17		2030.50	2116.96	USD	2,161.50	
09AUG17	S	1	PD09NOV17		2030.50	2116.96	USD	-2,161.50	
09AUG17	S	2	PD09NOV17		2031.00	2116.96	USD	-4,298.00	
09AUG17	S	1	PD09NOV17		2033.50	2116.96	USD	-2,086.50	
09AUG17	B	2	PD09NOV17		2027.70	2116.96	USD	4,463.00	
11AUG17	B	1	PD09NOV17		2042.56	2116.96	USD	1,860.00	
	NET	0AVG	BGHT 2032.12	SOLD 2031.50				-61.50	0.00
10AUG17	B	1	PD10NOV17		2019.00	2117.43	USD	2,460.75	
10AUG17	B	1	PD10NOV17		2021.50	2117.43	USD	2,398.25	
10AUG17	B	1	PD10NOV17		2023.50	2117.43	USD	2,348.25	
10AUG17	B	1	PD10NOV17		2024.00	2117.43	USD	2,335.75	
10AUG17	B	2	PD10NOV17		2024.50	2117.43	USD	4,646.50	
10AUG17	B	1	PD10NOV17		2025.00	2117.43	USD	2,310.75	
10AUG17	S	1	PD10NOV17		2022.50	2117.43	USD	-2,373.25	
10AUG17	S	1	PD10NOV17		2023.50	2117.43	USD	-2,348.25	
10AUG17	S	2	PD10NOV17		2037.50	2117.43	USD	-3,996.50	
10AUG17	S	1	PD10NOV17		2038.50	2117.43	USD	-1,973.25	
10AUG17	S	1	PD10NOV17		2040.00	2117.43	USD	-1,935.75	
10AUG17	S	2	PD10NOV17		2040.50	2117.43	USD	-3,846.50	
10AUG17	S	3	PD10NOV17		2039.50	2117.43	USD	-5,844.75	
10AUG17	S	1	PD10NOV17		2041.00	2117.43	USD	-1,910.75	
11AUG17	B	1	PD10NOV17		2023.50	2117.43	USD	2,348.25	
11AUG17	B	1	PD10NOV17		2036.00	2117.43	USD	2,035.75	
11AUG17	S	1	PD10NOV17		2044.50	2117.43	USD	-1,823.25	
11AUG17	S	1	PD10NOV17		2045.00	2117.43	USD	-1,810.75	
11AUG17	S	1	PD10NOV17		2047.00	2117.43	USD	-1,760.75	
11AUG17	B	5	PD10NOV17		2042.70	2117.43	USD	9,341.25	
14AUG17	B	1	PD10NOV17		2022.47	2117.43	USD	2,374.00	
	NET	0AVG	BGHT 2030.50	SOLD 2038.43				2,975.75	0.00
14AUG17	S	1	PD14NOV17		2039.00	2119.29	USD	-2,007.25	
14AUG17	S	1	PD14NOV17		2039.50	2119.29	USD	-1,994.75	
14AUG17	S	1	PD14NOV17		2038.50	2119.29	USD	-2,019.75	
14AUG17	S	1	PD14NOV17		2043.00	2119.29	USD	-1,907.25	
14AUG17	S	1	PD14NOV17		2034.00	2119.29	USD	-2,132.25	
14AUG17	S	2	PD14NOV17		2035.00	2119.29	USD	-4,214.50	
14AUG17	S	1	PD14NOV17		2040.00	2119.29	USD	-1,982.25	
14AUG17	B	1	PD14NOV17		2025.00	2119.29	USD	2,357.25	
14AUG17	B	2	PD14NOV17		2027.00	2119.29	USD	4,614.50	
14AUG17	B	1	PD14NOV17		2031.00	2119.29	USD	2,207.25	
14AUG17	B	1	PD14NOV17		2031.50	2119.29	USD	2,194.75	
14AUG17	B	6	PD14NOV17		2023.20	2119.29	USD	14,413.50	
17AUG17	S	2	PD14NOV17		2076.00	2119.29	USD	-2,164.50	
18AUG17	S	1	PD14NOV17		2062.00	2119.29	USD	-1,432.25	
	NET	0AVG	BGHT 2025.52	SOLD 2047.09				5,932.50	0.00
16AUG17	S	4	PD16NOV17		2062.00	2120.07	USD	-5,807.00	
16AUG17	S	1	PD16NOV17		2064.50	2120.07	USD	-1,389.25	
16AUG17	S	3	PD16NOV17		2065.00	2120.07	USD	-4,130.25	
16AUG17	S	1	PD16NOV17		2069.50	2120.07	USD	-1,264.25	
16AUG17	S	1	PD16NOV17		2074.00	2120.07	USD	-1,151.75	

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	<u>LME ALUMINIUM 25 TONNES. THIS IS AN</u>							
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16AUG17	S	2	PD16NOV17	2066.00	2120.07	USD	-2,703.50	
16AUG17	S	1	PD16NOV17	2065.50	2120.07	USD	-1,364.25	
16AUG17	S	1	PD16NOV17	2067.50	2120.07	USD	-1,314.25	
16AUG17	S	3	PD16NOV17	2068.00	2120.07	USD	-3,905.25	
16AUG17	B	1	PD16NOV17	2070.50	2120.07	USD	1,239.25	
16AUG17	B	1	PD16NOV17	2071.00	2120.07	USD	1,226.75	
16AUG17	B	1	PD16NOV17	2071.50	2120.07	USD	1,214.25	
16AUG17	B	1	PD16NOV17	2088.00	2120.07	USD	801.75	
16AUG17	B	1	PD16NOV17	2090.50	2120.07	USD	739.25	
16AUG17	B	1	PD16NOV17	2092.00	2120.07	USD	701.75	
16AUG17	B	8	PD16NOV17	2094.20	2120.07	USD	5,174.00	
16AUG17	B	1	PD16NOV17	2087.00	2120.07	USD	826.75	
17AUG17	B	2	PD16NOV17	2075.95	2120.07	USD	2,206.00	
	NET	0 AVG BGHT 2086.82	SOLD 2065.88				-8,900.00	0.00
17AUG17	S	2	PD17NOV17	2109.50	2120.38	USD	-544.00	
18AUG17	B	1	PD17NOV17	2089.00	2120.38	USD	784.50	
18AUG17	B	2	PD17NOV17	2090.50	2120.38	USD	1,494.00	
18AUG17	B	1	PD17NOV17	2068.50	2120.38	USD	1,297.00	
18AUG17	S	1	PD17NOV17	2067.50	2120.38	USD	-1,322.00	
18AUG17	B	1	PD17NOV17	2062.50	2120.38	USD	1,447.00	
18AUG17	B	1	PD17NOV17	2063.00	2120.38	USD	1,434.50	
18AUG17	B	1	PD17NOV17	2062.20	2120.38	USD	1,454.50	
23AUG17	S	2	PD17NOV17	2095.91	2120.38	USD	-1,223.50	
30AUG17	S	2	PD17NOV17	2085.00	2120.38	USD	-1,769.00	
	NET	0 AVG BGHT 2075.17	SOLD 2092.62				3,053.00	0.00
21AUG17	S	1	PD21NOV17	2090.50	2121.65	USD	-778.75	
21AUG17	S	1	PD21NOV17	2091.00	2121.65	USD	-766.25	
21AUG17	S	1	PD21NOV17	2093.00	2121.65	USD	-716.25	
21AUG17	S	1	PD21NOV17	2075.50	2121.65	USD	-1,153.75	
21AUG17	B	1	PD21NOV17	2074.50	2121.65	USD	1,178.75	
21AUG17	B	1	PD21NOV17	2075.00	2121.65	USD	1,166.25	
21AUG17	B	2	PD21NOV17	2078.50	2121.65	USD	2,157.50	
21AUG17	B	2	PD21NOV17	2074.00	2121.65	USD	2,382.50	
21AUG17	S	2	PD21NOV17	2087.00	2121.65	USD	-1,732.50	
	NET	0 AVG BGHT 2075.75	SOLD 2087.33				1,737.50	0.00
22AUG17	B	2	PD22NOV17	2074.00	2121.96	USD	2,398.00	
22AUG17	S	1	PD22NOV17	2074.00	2121.96	USD	-1,199.00	
22AUG17	B	1	PD22NOV17	2079.50	2121.96	USD	1,061.50	
22AUG17	B	1	PD22NOV17	2080.00	2121.96	USD	1,049.00	
22AUG17	B	1	PD22NOV17	2080.50	2121.96	USD	1,036.50	
22AUG17	B	1	PD22NOV17	2073.00	2121.96	USD	1,224.00	
22AUG17	S	4	PD22NOV17	2084.00	2121.96	USD	-3,796.00	
22AUG17	S	1	PD22NOV17	2082.50	2121.96	USD	-986.50	
22AUG17	S	1	PD22NOV17	2083.00	2121.96	USD	-974.00	
22AUG17	S	1	PD22NOV17	2083.50	2121.96	USD	-961.50	
23AUG17	B	2	PD22NOV17	2096.89	2121.96	USD	1,253.50	
	NET	0 AVG BGHT 2081.85	SOLD 2082.38				105.50	0.00
23AUG17	B	1	PD24NOV17	2057.00	2122.60	USD	1,640.00	
23AUG17	B	1	PD24NOV17	2059.00	2122.60	USD	1,590.00	
23AUG17	B	2	PD24NOV17	2060.50	2122.60	USD	3,105.00	
23AUG17	B	1	PD24NOV17	2061.50	2122.60	USD	1,527.50	
23AUG17	B	1	PD24NOV17	2062.00	2122.60	USD	1,515.00	
23AUG17	B	2	PD24NOV17	2066.50	2122.60	USD	2,805.00	
23AUG17	B	1	PD24NOV17	2068.50	2122.60	USD	1,352.50	
23AUG17	B	1	PD24NOV17	2071.00	2122.60	USD	1,290.00	
23AUG17	B	1	PD24NOV17	2071.50	2122.60	USD	1,277.50	

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23AUG17	B	2	PD24NOV17	2073.00	2122.60	USD	2,480.00	
23AUG17	S	1	PD24NOV17	2062.00	2122.60	USD	-1,515.00	
23AUG17	S	1	PD24NOV17	2064.00	2122.60	USD	-1,465.00	
23AUG17	S	1	PD24NOV17	2070.50	2122.60	USD	-1,302.50	
23AUG17	S	1	PD24NOV17	2074.00	2122.60	USD	-1,215.00	
23AUG17	S	2	PD24NOV17	2073.00	2122.60	USD	-2,480.00	
23AUG17	S	1	PD24NOV17	2075.50	2122.60	USD	-1,177.50	
23AUG17	S	1	PD24NOV17	2078.00	2122.60	USD	-1,115.00	
23AUG17	S	1	PD24NOV17	2078.50	2122.60	USD	-1,102.50	
23AUG17	S	1	PD24NOV17	2079.00	2122.60	USD	-1,090.00	
23AUG17	S	2	PD24NOV17	2084.00	2122.60	USD	-1,930.00	
23AUG17	S	1	PD24NOV17	2083.50	2122.60	USD	-977.50	
23AUG17	S	1	PD24NOV17	2084.50	2122.60	USD	-952.50	
23AUG17	S	1	PD24NOV17	2094.00	2122.60	USD	-715.00	
23AUG17	S	1	PD24NOV17	2094.50	2122.60	USD	-702.50	
23AUG17	S	1	PD24NOV17	2085.50	2122.60	USD	-927.50	
23AUG17	S	2	PD24NOV17	2097.00	2122.60	USD	-1,280.00	
23AUG17	S	1	PD24NOV17	2098.00	2122.60	USD	-615.00	
23AUG17	S	3	PD24NOV17	2103.50	2122.60	USD	-1,432.50	
23AUG17	B	1	PD24NOV17	2103.50	2122.60	USD	477.50	
23AUG17	S	1	PD24NOV17	2106.00	2122.60	USD	-415.00	
23AUG17	S	1	PD24NOV17	2117.00	2122.60	USD	-140.00	
23AUG17	S	1	PD24NOV17	2118.50	2122.60	USD	-102.50	
23AUG17	S	1	PD24NOV17	2120.00	2122.60	USD	-65.00	
23AUG17	B	2	PD24NOV17	2097.20	2122.60	USD	1,270.00	
24AUG17	B	2	PD24NOV17	2091.50	2122.60	USD	1,555.00	
24AUG17	B	2	PD24NOV17	2090.50	2122.60	USD	1,605.00	
24AUG17	B	1	PD24NOV17	2094.00	2122.60	USD	715.00	
24AUG17	B	1	PD24NOV17	2095.00	2122.60	USD	690.00	
24AUG17	S	1	PD24NOV17	2102.50	2122.60	USD	-502.50	
24AUG17	B	2	PD24NOV17	2100.50	2122.60	USD	1,105.00	
24AUG17	B	2	PD24NOV17	2101.00	2122.60	USD	1,080.00	
24AUG17	B	1	PD24NOV17	2099.50	2122.60	USD	577.50	
25AUG17	B	1	PD24NOV17	2095.50	2122.60	USD	677.50	
25AUG17	B	2	PD24NOV17	2094.50	2122.60	USD	1,405.00	
25AUG17	B	1	PD24NOV17	2086.50	2122.60	USD	902.50	
25AUG17	B	1	PD24NOV17	2080.00	2122.60	USD	1,065.00	
25AUG17	B	1	PD24NOV17	2075.50	2122.60	USD	1,177.50	
25AUG17	B	1	PD24NOV17	2078.00	2122.60	USD	1,115.00	
25AUG17	B	1	PD24NOV17	2065.50	2122.60	USD	1,427.50	
25AUG17	B	1	PD24NOV17	2067.00	2122.60	USD	1,390.00	
25AUG17	B	1	PD24NOV17	2068.00	2122.60	USD	1,365.00	
30AUG17	S	1	PD24NOV17	2086.35	2122.60	USD	-906.25	
05SEP17	S	2	PD24NOV17	2093.20	2122.60	USD	-1,470.00	
08SEP17	S	6	PD24NOV17	2095.30	2122.60	USD	-4,095.00	
	NET	0AVG	BGHT 2081.32	SOLD 2090.50			8,491.25	0.00
29AUG17	B	1	PD29NOV17	2073.00	2124.18	USD	1,279.50	
29AUG17	B	1	PD29NOV17	2071.50	2124.18	USD	1,317.00	
29AUG17	S	1	PD29NOV17	2075.50	2124.18	USD	-1,217.00	
29AUG17	S	2	PD29NOV17	2087.50	2124.18	USD	-1,834.00	
29AUG17	S	1	PD29NOV17	2091.00	2124.18	USD	-829.50	
29AUG17	B	1	PD29NOV17	2091.00	2124.18	USD	829.50	
29AUG17	S	2	PD29NOV17	2092.00	2124.18	USD	-1,609.00	
29AUG17	S	1	PD29NOV17	2094.50	2124.18	USD	-742.00	
29AUG17	S	1	PD29NOV17	2101.50	2124.18	USD	-567.00	
29AUG17	S	1	PD29NOV17	2103.50	2124.18	USD	-517.00	
29AUG17	B	1	PD29NOV17	2097.00	2124.18	USD	679.50	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0094E

13th October 2017

Sapien Capital Limited
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London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ALUMINIUM 25 TONNES. THIS IS AN</u>							
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29AUG17	B	1	PD29NOV17	2095.50	2124.18	USD	717.00	
29AUG17	B	1	PD29NOV17	2095.00	2124.18	USD	729.50	
30AUG17	B	3	PD29NOV17	2087.51	2124.18	USD	2,750.25	
	NET	0 AVG	BGHT 2087.28				986.75	0.00
			SOLD 2091.67					
30AUG17	B	2	PD30NOV17	2092.50	2124.49	USD	1,599.50	
30AUG17	S	1	PD30NOV17	2096.50	2124.49	USD	-699.75	
30AUG17	S	1	PD30NOV17	2095.50	2124.49	USD	-724.75	
30AUG17	S	2	PD30NOV17	2097.00	2124.49	USD	-1,374.50	
30AUG17	B	2	PD30NOV17	2089.00	2124.49	USD	1,774.50	
30AUG17	B	1	PD30NOV17	2088.50	2124.49	USD	899.75	
31AUG17	S	2	PD30NOV17	2095.50	2124.49	USD	-1,449.50	
31AUG17	S	2	PD30NOV17	2097.50	2124.49	USD	-1,349.50	
31AUG17	S	2	PD30NOV17	2098.50	2124.49	USD	-1,299.50	
31AUG17	S	1	PD30NOV17	2099.50	2124.49	USD	-624.75	
31AUG17	S	1	PD30NOV17	2106.50	2124.49	USD	-449.75	
31AUG17	S	1	PD30NOV17	2107.50	2124.49	USD	-424.75	
31AUG17	S	3	PD30NOV17	2135.00	2124.49	USD	788.25	
31AUG17	S	1	PD30NOV17	2099.00	2124.49	USD	-637.25	
31AUG17	S	1	PD30NOV17	2130.50	2124.49	USD	150.25	
31AUG17	S	2	PD30NOV17	2132.00	2124.49	USD	375.50	
31AUG17	B	1	PD30NOV17	2132.00	2124.49	USD	-187.75	
31AUG17	S	1	PD30NOV17	2131.00	2124.49	USD	162.75	
31AUG17	S	1	PD30NOV17	2128.00	2124.49	USD	87.75	
11SEP17	B	9	PD30NOV17	2119.11	2124.49	USD	1,210.50	
27SEP17	B	7	PD30NOV17	2123.50	2124.49	USD	173.25	
	NET	0 AVG	BGHT 2114.55				-1,999.75	0.00
			SOLD 2110.91					
01SEP17	S	1	PD01DEC17	2133.50	2124.81	USD	217.25	
01SEP17	S	1	PD01DEC17	2134.00	2124.81	USD	229.75	
01SEP17	S	2	PD01DEC17	2137.50	2124.81	USD	634.50	
01SEP17	S	1	PD01DEC17	2138.00	2124.81	USD	329.75	
01SEP17	S	1	PD01DEC17	2126.00	2124.81	USD	29.75	
01SEP17	S	1	PD01DEC17	2144.00	2124.81	USD	479.75	
01SEP17	B	1	PD01DEC17	2137.50	2124.81	USD	-317.25	
01SEP17	B	1	PD01DEC17	2138.00	2124.81	USD	-329.75	
12SEP17	B	5	PD01DEC17	2133.59	2124.81	USD	-1,097.50	
	NET	0 AVG	BGHT 2134.78				176.25	0.00
			SOLD 2135.79					
04SEP17	B	1	PD04DEC17	2122.50	2125.76	USD	81.50	
04SEP17	B	1	PD04DEC17	2124.00	2125.76	USD	44.00	
04SEP17	S	1	PD04DEC17	2124.50	2125.76	USD	-31.50	
04SEP17	S	1	PD04DEC17	2125.00	2125.76	USD	-19.00	
04SEP17	B	1	PD04DEC17	2123.00	2125.76	USD	69.00	
04SEP17	B	3	PD04DEC17	2125.50	2125.76	USD	19.50	
04SEP17	B	1	PD04DEC17	2118.50	2125.76	USD	181.50	
04SEP17	B	2	PD04DEC17	2119.00	2125.76	USD	338.00	
04SEP17	B	1	PD04DEC17	2108.00	2125.76	USD	444.00	
04SEP17	B	2	PD04DEC17	2109.00	2125.76	USD	838.00	
04SEP17	B	1	PD04DEC17	2111.00	2125.76	USD	369.00	
04SEP17	B	2	PD04DEC17	2108.50	2125.76	USD	863.00	
04SEP17	B	1	PD04DEC17	2107.50	2125.76	USD	456.50	
04SEP17	B	1	PD04DEC17	2114.50	2125.76	USD	281.50	
04SEP17	S	1	PD04DEC17	2114.50	2125.76	USD	-281.50	
18SEP17	S	14	PD04DEC17	2085.16	2125.76	USD	-14,210.00	
	NET	0 AVG	BGHT 2116.38				-10,556.50	0.00
			SOLD 2091.54					
05SEP17	B	1	PD05DEC17	2106.50	2126.07	USD	489.25	
05SEP17	B	1	PD05DEC17	2108.50	2126.07	USD	439.25	
05SEP17	B	1	PD05DEC17	2109.50	2126.07	USD	414.25	

OPEN POSITION STATEMENT



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	<u>LME ALUMINIUM 25 TONNES. THIS IS AN</u>								
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05SEP17	S	1	PD05DEC17		2108.00	2126.07	USD	-451.75	
05SEP17	B	4	PD05DEC17		2107.50	2126.07	USD	1,857.00	
05SEP17	B	2	PD05DEC17		2107.00	2126.07	USD	953.50	
05SEP17	B	1	PD05DEC17		2104.50	2126.07	USD	539.25	
12SEP17	S	5	PD05DEC17		2134.63	2126.07	USD	1,070.00	
12SEP17	S	2	PD05DEC17		2134.83	2126.07	USD	438.00	
27SEP17	S	2	PD05DEC17		2124.80	2126.07	USD	-63.50	
	NET	0 AVG	BGHT 2107.30	SOLD 2130.04				5,685.25	0.00
06SEP17	B	2	PD06DEC17		2092.00	2126.39	USD	1,719.50	
06SEP17	B	1	PD06DEC17		2075.50	2126.39	USD	1,272.25	
06SEP17	B	2	PD06DEC17		2076.50	2126.39	USD	2,494.50	
06SEP17	B	1	PD06DEC17		2077.00	2126.39	USD	1,234.75	
06SEP17	B	1	PD06DEC17		2086.00	2126.39	USD	1,009.75	
06SEP17	B	1	PD06DEC17		2087.00	2126.39	USD	984.75	
06SEP17	S	1	PD06DEC17		2108.00	2126.39	USD	-459.75	
06SEP17	S	1	PD06DEC17		2110.50	2126.39	USD	-397.25	
06SEP17	S	1	PD06DEC17		2109.00	2126.39	USD	-434.75	
06SEP17	S	1	PD06DEC17		2111.50	2126.39	USD	-372.25	
06SEP17	B	1	PD06DEC17		2113.50	2126.39	USD	322.25	
06SEP17	S	1	PD06DEC17		2111.00	2126.39	USD	-384.75	
06SEP17	S	1	PD06DEC17		2112.50	2126.39	USD	-347.25	
06SEP17	S	1	PD06DEC17		2114.50	2126.39	USD	-297.25	
12SEP17	S	1	PD06DEC17		2135.14	2126.39	USD	218.75	
27SEP17	S	1	PD06DEC17		2125.10	2126.39	USD	-32.25	
	NET	0 AVG	BGHT 2086.22	SOLD 2115.25				6,531.00	0.00
07SEP17	S	1	PD07DEC17		2105.00	2126.71	USD	-542.75	
07SEP17	S	2	PD07DEC17		2106.50	2126.71	USD	-1,010.50	
07SEP17	B	2	PD07DEC17		2098.50	2126.71	USD	1,410.50	
07SEP17	S	1	PD07DEC17		2098.50	2126.71	USD	-705.25	
07SEP17	S	1	PD07DEC17		2113.00	2126.71	USD	-342.75	
12SEP17	B	3	PD07DEC17		2135.65	2126.71	USD	-670.50	
	NET	0 AVG	BGHT 2120.79	SOLD 2105.90				-1,861.25	0.00
08SEP17	B	1	PD08DEC17		2102.00	2127.02	USD	625.50	
08SEP17	B	2	PD08DEC17		2096.00	2127.02	USD	1,551.00	
08SEP17	B	1	PD08DEC17		2093.50	2127.02	USD	838.00	
08SEP17	B	1	PD08DEC17		2095.00	2127.02	USD	800.50	
08SEP17	B	1	PD08DEC17		2096.50	2127.02	USD	763.00	
08SEP17	B	1	PD08DEC17		2097.50	2127.02	USD	738.00	
08SEP17	B	1	PD08DEC17		2090.00	2127.02	USD	925.50	
08SEP17	B	2	PD08DEC17		2091.00	2127.02	USD	1,801.00	
08SEP17	B	2	PD08DEC17		2089.50	2127.02	USD	1,876.00	
08SEP17	B	1	PD08DEC17		2091.50	2127.02	USD	888.00	
27SEP17	S	4	PD08DEC17		2125.70	2127.02	USD	-132.00	
27SEP17	S	9	PD08DEC17		2125.90	2127.02	USD	-252.00	
	NET	0 AVG	BGHT 2093.77	SOLD 2125.84				10,422.50	0.00
11SEP17	S	1	PD11DEC17		2116.00	2127.97	USD	-299.25	
11SEP17	S	1	PD11DEC17		2128.50	2127.97	USD	13.25	
11SEP17	S	1	PD11DEC17		2134.50	2127.97	USD	163.25	
11SEP17	S	2	PD11DEC17		2132.50	2127.97	USD	226.50	
11SEP17	S	1	PD11DEC17		2133.50	2127.97	USD	138.25	
11SEP17	S	2	PD11DEC17		2128.00	2127.97	USD	1.50	
11SEP17	S	1	PD11DEC17		2127.50	2127.97	USD	-11.75	
11SEP17	B	1	PD11DEC17		2131.00	2127.97	USD	-75.75	
12SEP17	B	7	PD11DEC17		2136.89	2127.97	USD	-1,561.00	
27SEP17	B	1	PD11DEC17		2127.00	2127.97	USD	24.25	
	NET	0 AVG	BGHT 2135.14	SOLD 2129.00				-1,380.75	0.00

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	<u>LME ALUMINIUM 25 TONNES. THIS IS AN</u>							
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12SEP17	S	1	PD12DEC17	2126.00	2128.29	USD	-57.25	
12SEP17	S	1	PD12DEC17	2126.50	2128.29	USD	-44.75	
12SEP17	S	1	PD12DEC17	2125.50	2128.29	USD	-69.75	
12SEP17	S	1	PD12DEC17	2119.50	2128.29	USD	-219.75	
12SEP17	S	1	PD12DEC17	2122.50	2128.29	USD	-144.75	
12SEP17	S	1	PD12DEC17	2135.00	2128.29	USD	167.75	
12SEP17	S	1	PD12DEC17	2135.50	2128.29	USD	180.25	
27SEP17	B	7	PD12DEC17	2127.30	2128.29	USD	173.25	
	NET	0AVG BGHT 2127.30	SOLD 2127.21				-15.00	0.00
13SEP17	B	1	PD13DEC17	2116.50	2128.60	USD	302.50	
13SEP17	B	1	PD13DEC17	2117.00	2128.60	USD	290.00	
13SEP17	B	1	PD13DEC17	2118.50	2128.60	USD	252.50	
13SEP17	B	1	PD13DEC17	2119.00	2128.60	USD	240.00	
13SEP17	B	1	PD13DEC17	2109.50	2128.60	USD	477.50	
13SEP17	B	1	PD13DEC17	2114.00	2128.60	USD	365.00	
13SEP17	S	4	PD13DEC17	2114.50	2128.60	USD	-1,410.00	
13SEP17	B	2	PD13DEC17	2111.50	2128.60	USD	855.00	
13SEP17	B	2	PD13DEC17	2112.50	2128.60	USD	805.00	
13SEP17	S	1	PD13DEC17	2118.50	2128.60	USD	-252.50	
13SEP17	S	1	PD13DEC17	2118.00	2128.60	USD	-265.00	
27SEP17	S	4	PD13DEC17	2127.40	2128.60	USD	-120.00	
	NET	0AVG BGHT 2114.25	SOLD 2120.41				1,540.00	0.00
14SEP17	S	1	PD14DEC17	2125.50	2128.92	USD	-85.50	
14SEP17	B	1	PD14DEC17	2092.00	2128.92	USD	923.00	
14SEP17	B	3	PD14DEC17	2092.50	2128.92	USD	2,731.50	
14SEP17	B	2	PD14DEC17	2090.00	2128.92	USD	1,946.00	
14SEP17	S	3	PD14DEC17	2126.00	2128.92	USD	-219.00	
14SEP17	B	1	PD14DEC17	2102.00	2128.92	USD	673.00	
14SEP17	S	1	PD14DEC17	2100.00	2128.92	USD	-723.00	
14SEP17	S	1	PD14DEC17	2100.50	2128.92	USD	-710.50	
14SEP17	S	1	PD14DEC17	2095.50	2128.92	USD	-835.50	
14SEP17	S	2	PD14DEC17	2098.00	2128.92	USD	-1,546.00	
27SEP17	B	2	PD14DEC17	2127.90	2128.92	USD	51.00	
	NET	0AVG BGHT 2100.81	SOLD 2110.61				2,205.00	0.00
15SEP17	B	1	PD15DEC17	2093.50	2129.24	USD	893.50	
15SEP17	S	1	PD15DEC17	2093.00	2129.24	USD	-906.00	
15SEP17	B	2	PD15DEC17	2090.00	2129.24	USD	1,962.00	
15SEP17	B	3	PD15DEC17	2089.50	2129.24	USD	2,980.50	
15SEP17	B	2	PD15DEC17	2083.50	2129.24	USD	2,287.00	
15SEP17	B	2	PD15DEC17	2084.50	2129.24	USD	2,237.00	
15SEP17	B	2	PD15DEC17	2073.50	2129.24	USD	2,787.00	
15SEP17	B	1	PD15DEC17	2074.50	2129.24	USD	1,368.50	
15SEP17	B	1	PD15DEC17	2082.50	2129.24	USD	1,168.50	
27SEP17	S	13	PD15DEC17	2128.00	2129.24	USD	-403.00	
	NET	0AVG BGHT 2084.43	SOLD 2125.50				14,375.00	0.00
18SEP17	B	1	PD18DEC17	2094.00	2130.18	USD	904.50	
18SEP17	B	1	PD18DEC17	2098.00	2130.18	USD	804.50	
18SEP17	B	1	PD18DEC17	2097.50	2130.18	USD	817.00	
18SEP17	B	2	PD18DEC17	2093.00	2130.18	USD	1,859.00	
29SEP17	S	5	PD18DEC17	2098.70	2130.18	USD	-3,935.00	
	NET	0AVG BGHT 2095.10	SOLD 2098.70				450.00	0.00
19SEP17	S	3	PD19DEC17	2105.00	2130.50	USD	-1,912.50	
19SEP17	S	2	PD19DEC17	2105.50	2130.50	USD	-1,250.00	
19SEP17	S	2	PD19DEC17	2106.00	2130.50	USD	-1,225.00	
19SEP17	S	1	PD19DEC17	2123.50	2130.50	USD	-175.00	
19SEP17	S	2	PD19DEC17	2123.00	2130.50	USD	-375.00	

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19SEP17	S	2	PD19DEC17	2124.50	2130.50	USD	-300.00	
19SEP17	S	1	PD19DEC17	2125.50	2130.50	USD	-125.00	
19SEP17	S	1	PD19DEC17	2125.00	2130.50	USD	-137.50	
19SEP17	B	2	PD19DEC17	2127.00	2130.50	USD	175.00	
20SEP17	B	5	PD19DEC17	2176.92	2130.50	USD	-5,802.50	
27SEP17	B	7	PD19DEC17	2129.40	2130.50	USD	192.50	
	NET	0 AVG BGHT 2146.03	SOLD 2114.79				-10,935.00	0.00
20SEP17	S	1	PD20DEC17	2149.50	2130.50	USD	475.00	
20SEP17	B	1	PD20DEC17	2175.50	2130.50	USD	-1,125.00	
20SEP17	S	2	PD20DEC17	2176.00	2130.50	USD	2,275.00	
20SEP17	S	1	PD20DEC17	2179.00	2130.50	USD	1,212.50	
20SEP17	S	1	PD20DEC17	2186.50	2130.50	USD	1,400.00	
20SEP17	S	1	PD20DEC17	2187.50	2130.50	USD	1,425.00	
20SEP17	S	2	PD20DEC17	2184.50	2130.50	USD	2,700.00	
20SEP17	S	2	PD20DEC17	2189.50	2130.50	USD	2,950.00	
27SEP17	B	9	PD20DEC17	2129.70	2130.50	USD	180.00	
	NET	0 AVG BGHT 2134.28	SOLD 2180.25				11,492.50	0.00
22SEP17	B	2	PD22DEC17	2134.00	2130.50	USD	-175.00	
22SEP17	S	1	PD22DEC17	2151.50	2130.50	USD	525.00	
29SEP17	S	1	PD22DEC17	2099.86	2130.50	USD	-766.00	
	NET	0 AVG BGHT 2134.00	SOLD 2125.68				-416.00	0.00
25SEP17	B	2	PD27DEC17	2155.00	2131.04	USD	-1,198.00	
25SEP17	B	1	PD27DEC17	2146.00	2131.04	USD	-374.00	
25SEP17	B	1	PD27DEC17	2155.50	2131.04	USD	-611.50	
25SEP17	S	1	PD27DEC17	2156.50	2131.04	USD	636.50	
25SEP17	S	1	PD27DEC17	2157.00	2131.04	USD	649.00	
25SEP17	S	1	PD27DEC17	2153.50	2131.04	USD	561.50	
27SEP17	B	1	PD27DEC17	2125.00	2131.04	USD	151.00	
29SEP17	S	2	PD27DEC17	2101.24	2131.04	USD	-1,490.00	
	NET	0 AVG BGHT 2147.30	SOLD 2133.90				-1,675.50	0.00
28SEP17	B	1	PD28DEC17	2123.50	2131.14	USD	191.00	
28SEP17	S	1	PD28DEC17	2122.00	2131.14	USD	-228.50	
29SEP17	S	2	PD28DEC17	2133.00	2131.14	USD	93.00	
10OCT17	B	1	PD28DEC17	2160.39	2131.14	USD	-731.25	
	NET	-1 AVG BGHT 2141.95	SOLD 2129.33				-675.75	0.00
29SEP17	B	1	PD29DEC17	2131.00	2131.25	USD	6.25	
29SEP17	B	1	PD29DEC17	2129.00	2131.25	USD	56.25	
29SEP17	B	1	PD29DEC17	2126.50	2131.25	USD	118.75	
29SEP17	B	2	PD29DEC17	2127.50	2131.25	USD	187.50	
29SEP17	B	1	PD29DEC17	2128.00	2131.25	USD	81.25	
29SEP17	B	1	PD29DEC17	2106.00	2131.25	USD	631.25	
29SEP17	S	6	PD29DEC17	2101.80	2131.25	USD	-4,417.50	
10OCT17	S	1	PD29DEC17	2160.30	2131.25	USD	726.25	
	NET	0 AVG BGHT 2125.07	SOLD 2110.16				-2,610.00	0.00
03OCT17	S	1	PD03JAN18	2125.50	2132.05	USD	-163.75	
09OCT17	B	1	PD03JAN18	2172.47	2132.05	USD	-1,010.50	
	NET	0 AVG BGHT 2172.47	SOLD 2125.50				-1,174.25	0.00
04OCT17	S	1	PD04JAN18	2152.00	2132.21	USD	494.75	
04OCT17	S	1	PD04JAN18	2152.50	2132.21	USD	507.25	
04OCT17	S	1	PD04JAN18	2155.00	2132.21	USD	569.75	
09OCT17	B	3	PD04JAN18	2172.68	2132.21	USD	-3,035.25	
	NET	0 AVG BGHT 2172.68	SOLD 2153.17				-1,463.50	0.00
06OCT17	S	1	PD05JAN18	2163.00	2132.38	USD	765.50	
09OCT17	B	1	PD05JAN18	2172.88	2132.38	USD	-1,012.50	
	NET	0 AVG BGHT 2172.88	SOLD 2163.00				-247.00	0.00

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0094E

13th October 2017

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backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ALUMINIUM 25 TONNES. THIS IS AN</u>							
	<u>LME REGISTERED CLIENT CONTRACT.</u>							
09OCT17	B	1	PD09JAN18	2148.00	2133.02	USD	-374.50	
09OCT17	S	1	PD09JAN18	2158.50	2133.02	USD	637.00	
09OCT17	S	1	PD09JAN18	2159.00	2133.02	USD	649.50	
09OCT17	S	1	PD09JAN18	2159.50	2133.02	USD	662.00	
09OCT17	S	1	PD09JAN18	2168.00	2133.02	USD	874.50	
09OCT17	S	1	PD09JAN18	2165.50	2133.02	USD	812.00	
09OCT17	S	1	PD09JAN18	2169.00	2133.02	USD	899.50	
09OCT17	B	2	PD09JAN18	2173.70	2133.02	USD	-2,034.00	
	NET	-3 AVG BGHT 2165.13	SOLD 2163.25				2,126.00	0.00
10OCT17	B	2	PD10JAN18	2158.00	2133.18	USD	-1,241.00	
10OCT17	B	1	PD10JAN18	2158.50	2133.18	USD	-633.00	
10OCT17	B	3	PD10JAN18	2159.00	2133.18	USD	-1,936.50	
10OCT17	B	1	PD10JAN18	2159.50	2133.18	USD	-658.00	
10OCT17	B	2	PD10JAN18	2160.50	2133.18	USD	-1,366.00	
11OCT17	S	8	PD10JAN18	2129.61	2133.18	USD	-714.00	
	NET	+1 AVG BGHT 2159.11	SOLD 2129.61				-6,548.50	0.00
11OCT17	B	2	PD11JAN18	2131.00	2133.34	USD	117.00	
11OCT17	B	1	PD11JAN18	2131.50	2133.34	USD	46.00	
11OCT17	B	2	PD11JAN18	2135.00	2133.34	USD	-83.00	
11OCT17	B	2	PD11JAN18	2136.00	2133.34	USD	-133.00	
11OCT17	B	1	PD11JAN18	2138.50	2133.34	USD	-129.00	
11OCT17	B	1	PD11JAN18	2139.00	2133.34	USD	-141.50	
11OCT17	B	1	PD11JAN18	2141.50	2133.34	USD	-204.00	
11OCT17	B	3	PD11JAN18	2142.00	2133.34	USD	-649.50	
11OCT17	B	2	PD11JAN18	2142.50	2133.34	USD	-458.00	
11OCT17	B	2	PD11JAN18	2143.00	2133.34	USD	-483.00	
11OCT17	B	2	PD11JAN18	2143.50	2133.34	USD	-508.00	
11OCT17	B	1	PD11JAN18	2146.00	2133.34	USD	-316.50	
11OCT17	B	1	PD11JAN18	2147.50	2133.34	USD	-354.00	
12OCT17	S	20	PD11JAN18	2146.12	2133.34	USD	6,390.00	
	NET	+1 AVG BGHT 2139.62	SOLD 2146.12				3,093.50	0.00
	<u>LME LEAD 25 TONNES. THIS IS AN</u>							
	<u>LME REGISTERED CLIENT CONTRACT</u>							
17JUL17	B	1	PD17OCT17	2320.00	2518.25	USD	4,956.25	
17JUL17	B	1	PD17OCT17	2322.00	2518.25	USD	4,906.25	
17JUL17	B	2	PD17OCT17	2321.50	2518.25	USD	9,837.50	
17JUL17	B	1	PD17OCT17	2321.00	2518.25	USD	4,931.25	
17JUL17	B	1	PD17OCT17	2319.00	2518.25	USD	4,981.25	
17JUL17	B	1	PD17OCT17	2318.50	2518.25	USD	4,993.75	
17JUL17	B	5	PD17OCT17	2319.50	2518.25	USD	24,843.75	
17JUL17	B	1	PD17OCT17	2316.50	2518.25	USD	5,043.75	
17JUL17	B	2	PD17OCT17	2316.00	2518.25	USD	10,112.50	
17JUL17	B	2	PD17OCT17	2290.00	2518.25	USD	11,412.50	
17JUL17	B	1	PD17OCT17	2292.50	2518.25	USD	5,643.75	
17JUL17	B	4	PD17OCT17	2295.50	2518.25	USD	22,275.00	
17JUL17	S	7	PD17OCT17	2296.30	2518.25	USD	-38,841.25	
17JUL17	S	1	PD17OCT17	2295.00	2518.25	USD	-5,581.25	
17JUL17	B	2	PD17OCT17	2295.00	2518.25	USD	11,162.50	
17JUL17	S	1	PD17OCT17	2295.50	2518.25	USD	-5,568.75	
18JUL17	S	16	PD17OCT17	2274.63	2518.25	USD	-97,448.00	
19JUL17	B	1	PD17OCT17	2217.30	2518.25	USD	7,523.75	
	NET	0 AVG BGHT 2306.05	SOLD 2282.35				-14,815.50	0.00
18JUL17	S	1	PD18OCT17	2293.50	2518.75	USD	-5,631.25	
18JUL17	B	1	PD18OCT17	2287.50	2518.75	USD	5,781.25	
18JUL17	B	1	PD18OCT17	2301.50	2518.75	USD	5,431.25	
18JUL17	B	1	PD18OCT17	2302.00	2518.75	USD	5,418.75	

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	<u>LME LEAD 25 TONNES. THIS IS AN</u>							
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18JUL17	S	1	PD18OCT17	2298.50	2518.75	USD	-5,506.25	
18JUL17	S	1	PD18OCT17	2299.50	2518.75	USD	-5,481.25	
18JUL17	S	1	PD18OCT17	2300.00	2518.75	USD	-5,468.75	
18JUL17	B	1	PD18OCT17	2278.50	2518.75	USD	6,006.25	
18JUL17	B	1	PD18OCT17	2279.50	2518.75	USD	5,981.25	
18JUL17	B	1	PD18OCT17	2277.00	2518.75	USD	6,043.75	
18JUL17	B	1	PD18OCT17	2276.50	2518.75	USD	6,056.25	
18JUL17	B	1	PD18OCT17	2272.50	2518.75	USD	6,156.25	
18JUL17	B	1	PD18OCT17	2268.00	2518.75	USD	6,268.75	
18JUL17	B	3	PD18OCT17	2282.00	2518.75	USD	17,756.25	
18JUL17	B	1	PD18OCT17	2269.00	2518.75	USD	6,243.75	
18JUL17	S	3	PD18OCT17	2274.80	2518.75	USD	-18,296.25	
18JUL17	S	1	PD18OCT17	2271.00	2518.75	USD	-6,193.75	
19JUL17	S	5	PD18OCT17	2217.30	2518.75	USD	-37,681.25	
	NET	0AVG BGHT 2281.38	SOLD 2259.49				-7,115.00	0.00
19JUL17	B	1	PD19OCT17	2261.50	2518.90	USD	6,435.00	
19JUL17	B	1	PD19OCT17	2262.50	2518.90	USD	6,410.00	
19JUL17	S	1	PD19OCT17	2260.50	2518.90	USD	-6,460.00	
19JUL17	B	1	PD19OCT17	2256.00	2518.90	USD	6,572.50	
19JUL17	B	2	PD19OCT17	2254.50	2518.90	USD	13,220.00	
19JUL17	B	1	PD19OCT17	2255.50	2518.90	USD	6,585.00	
19JUL17	B	1	PD19OCT17	2257.00	2518.90	USD	6,547.50	
19JUL17	B	3	PD19OCT17	2254.00	2518.90	USD	19,867.50	
19JUL17	S	1	PD19OCT17	2261.00	2518.90	USD	-6,447.50	
19JUL17	B	2	PD19OCT17	2240.00	2518.90	USD	13,945.00	
19JUL17	B	2	PD19OCT17	2244.00	2518.90	USD	13,745.00	
19JUL17	B	1	PD19OCT17	2237.00	2518.90	USD	7,047.50	
19JUL17	B	1	PD19OCT17	2241.50	2518.90	USD	6,935.00	
19JUL17	S	1	PD19OCT17	2212.00	2518.90	USD	-7,672.50	
19JUL17	S	5	PD19OCT17	2217.50	2518.90	USD	-37,675.00	
19JUL17	S	1	PD19OCT17	2213.00	2518.90	USD	-7,647.50	
21JUL17	S	7	PD19OCT17	2239.63	2518.90	USD	-48,872.25	
	NET	0AVG BGHT 2250.63	SOLD 2231.96				-7,464.75	0.00
20JUL17	S	1	PD20OCT17	2226.00	2519.05	USD	-7,326.25	
20JUL17	S	1	PD20OCT17	2227.00	2519.05	USD	-7,301.25	
20JUL17	S	1	PD20OCT17	2221.50	2519.05	USD	-7,438.75	
20JUL17	S	1	PD20OCT17	2222.00	2519.05	USD	-7,426.25	
20JUL17	S	1	PD20OCT17	2222.50	2519.05	USD	-7,413.75	
20JUL17	S	2	PD20OCT17	2223.50	2519.05	USD	-14,777.50	
20JUL17	S	4	PD20OCT17	2224.00	2519.05	USD	-29,505.00	
21JUL17	B	1	PD20OCT17	2225.00	2519.05	USD	7,351.25	
21JUL17	B	2	PD20OCT17	2227.00	2519.05	USD	14,602.50	
21JUL17	B	2	PD20OCT17	2226.00	2519.05	USD	14,652.50	
21JUL17	B	1	PD20OCT17	2226.50	2519.05	USD	7,313.75	
21JUL17	B	1	PD20OCT17	2228.00	2519.05	USD	7,276.25	
21JUL17	S	1	PD20OCT17	2239.00	2519.05	USD	-7,001.25	
21JUL17	S	2	PD20OCT17	2239.50	2519.05	USD	-13,977.50	
21JUL17	S	1	PD20OCT17	2240.50	2519.05	USD	-6,963.75	
21JUL17	B	7	PD20OCT17	2239.70	2519.05	USD	48,886.25	
25JUL17	B	1	PD20OCT17	2317.17	2519.05	USD	5,047.00	
	NET	0AVG BGHT 2238.70	SOLD 2228.03				-4,001.75	0.00
24JUL17	B	3	PD24OCT17	2251.00	2519.65	USD	20,148.75	
25JUL17	S	1	PD24OCT17	2317.63	2519.65	USD	-5,050.50	
27JUL17	S	2	PD24OCT17	2308.53	2519.65	USD	-10,556.00	
	NET	0AVG BGHT 2251.00	SOLD 2311.56				4,542.25	0.00
25JUL17	S	1	PD25OCT17	2287.00	2519.80	USD	-5,820.00	

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25JUL17	S	1	PD250CT17	2287.50	2519.80	USD	-5,807.50	
25JUL17	S	1	PD250CT17	2290.00	2519.80	USD	-5,745.00	
25JUL17	S	2	PD250CT17	2292.50	2519.80	USD	-11,365.00	
25JUL17	S	1	PD250CT17	2294.50	2519.80	USD	-5,632.50	
25JUL17	S	4	PD250CT17	2296.00	2519.80	USD	-22,380.00	
25JUL17	S	2	PD250CT17	2295.00	2519.80	USD	-11,240.00	
25JUL17	S	1	PD250CT17	2302.50	2519.80	USD	-5,432.50	
25JUL17	S	1	PD250CT17	2296.50	2519.80	USD	-5,582.50	
25JUL17	S	1	PD250CT17	2288.50	2519.80	USD	-5,782.50	
25JUL17	S	1	PD250CT17	2314.50	2519.80	USD	-5,132.50	
25JUL17	S	1	PD250CT17	2315.00	2519.80	USD	-5,120.00	
25JUL17	S	1	PD250CT17	2316.50	2519.80	USD	-5,082.50	
25JUL17	S	1	PD250CT17	2312.50	2519.80	USD	-5,182.50	
25JUL17	S	2	PD250CT17	2317.00	2519.80	USD	-10,140.00	
25JUL17	B	19	PD250CT17	2318.20	2519.80	USD	95,760.00	
25JUL17	S	1	PD250CT17	2313.50	2519.80	USD	-5,157.50	
26JUL17	B	1	PD250CT17	2313.09	2519.80	USD	5,167.75	
27JUL17	B	2	PD250CT17	2308.89	2519.80	USD	10,545.50	
	NET	0AVG BGHT 2317.12	SOLD 2300.52				-9,129.25	0.00
26JUL17	S	1	PD260CT17	2312.00	2519.95	USD	-5,198.75	
26JUL17	S	1	PD260CT17	2314.50	2519.95	USD	-5,136.25	
26JUL17	S	1	PD260CT17	2311.00	2519.95	USD	-5,223.75	
26JUL17	S	1	PD260CT17	2313.00	2519.95	USD	-5,173.75	
26JUL17	S	1	PD260CT17	2316.00	2519.95	USD	-5,098.75	
26JUL17	S	2	PD260CT17	2334.00	2519.95	USD	-9,297.50	
26JUL17	S	1	PD260CT17	2325.00	2519.95	USD	-4,873.75	
26JUL17	B	7	PD260CT17	2313.20	2519.95	USD	36,181.25	
27JUL17	B	1	PD260CT17	2309.04	2519.95	USD	5,272.75	
	NET	0AVG BGHT 2312.68	SOLD 2319.94				1,451.50	0.00
27JUL17	S	1	PD270CT17	2324.50	2520.10	USD	-4,890.00	
27JUL17	S	2	PD270CT17	2326.50	2520.10	USD	-9,680.00	
27JUL17	S	1	PD270CT17	2327.50	2520.10	USD	-4,815.00	
27JUL17	S	1	PD270CT17	2331.50	2520.10	USD	-4,715.00	
27JUL17	S	1	PD270CT17	2331.00	2520.10	USD	-4,727.50	
27JUL17	B	4	PD270CT17	2309.20	2520.10	USD	21,090.00	
01AUG17	B	2	PD270CT17	2337.46	2520.10	USD	9,132.00	
	NET	0AVG BGHT 2318.62	SOLD 2327.92				1,394.50	0.00
31JUL17	B	2	PD310CT17	2335.50	2520.70	USD	9,260.00	
31JUL17	B	1	PD310CT17	2329.00	2520.70	USD	4,792.50	
31JUL17	B	1	PD310CT17	2326.00	2520.70	USD	4,867.50	
31JUL17	B	1	PD310CT17	2327.00	2520.70	USD	4,842.50	
31JUL17	B	3	PD310CT17	2330.50	2520.70	USD	14,265.00	
31JUL17	S	3	PD310CT17	2333.80	2520.70	USD	-14,017.50	
01AUG17	S	4	PD310CT17	2337.69	2520.70	USD	-18,301.00	
02AUG17	S	1	PD310CT17	2352.75	2520.70	USD	-4,198.75	
	NET	0AVG BGHT 2330.56	SOLD 2338.11				1,510.25	0.00
01AUG17	S	1	PD01NOV17	2324.00	2520.85	USD	-4,921.25	
01AUG17	S	1	PD01NOV17	2328.00	2520.85	USD	-4,821.25	
01AUG17	S	1	PD01NOV17	2329.50	2520.85	USD	-4,783.75	
01AUG17	S	1	PD01NOV17	2332.00	2520.85	USD	-4,721.25	
01AUG17	S	2	PD01NOV17	2335.00	2520.85	USD	-9,292.50	
01AUG17	S	1	PD01NOV17	2336.00	2520.85	USD	-4,621.25	
01AUG17	S	1	PD01NOV17	2337.00	2520.85	USD	-4,596.25	
02AUG17	B	1	PD01NOV17	2353.08	2520.85	USD	4,194.25	
04AUG17	B	5	PD01NOV17	2360.92	2520.85	USD	19,991.25	
07AUG17	B	2	PD01NOV17	2360.16	2520.85	USD	8,034.50	

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	<u>LME LEAD 25 TONNES. THIS IS AN</u>								
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	NET	0 AVG	BGHT	2359.75				-5,537.50	0.00
02AUG17	B	1	PD02NOV17		2342.50	2521.00	USD	4,462.50	
02AUG17	S	1	PD02NOV17		2342.00	2521.00	USD	-4,475.00	
02AUG17	S	1	PD02NOV17		2342.50	2521.00	USD	-4,462.50	
02AUG17	S	1	PD02NOV17		2344.00	2521.00	USD	-4,425.00	
02AUG17	S	1	PD02NOV17		2343.50	2521.00	USD	-4,437.50	
02AUG17	S	1	PD02NOV17		2353.50	2521.00	USD	-4,187.50	
07AUG17	B	4	PD02NOV17		2360.33	2521.00	USD	16,067.00	
28SEP17	S	10	PD02NOV17		2470.79	2521.00	USD	-12,552.50	
29SEP17	S	28	PD02NOV17		2492.15	2521.00	USD	-20,195.00	
09OCT17	B	10	PD02NOV17		2501.04	2521.00	USD	4,990.00	
11OCT17	B	16	PD02NOV17		2556.89	2521.00	USD	-14,356.00	
	NET	-12 AVG	BGHT	2506.60				-43,571.50	0.00
03AUG17	S	1	PD03NOV17		2364.50	2521.13	USD	-3,915.75	
03AUG17	S	1	PD03NOV17		2368.50	2521.13	USD	-3,815.75	
03AUG17	S	1	PD03NOV17		2369.00	2521.13	USD	-3,803.25	
04AUG17	S	1	PD03NOV17		2368.50	2521.13	USD	-3,815.75	
04AUG17	S	1	PD03NOV17		2373.50	2521.13	USD	-3,690.75	
07AUG17	B	4	PD03NOV17		2360.51	2521.13	USD	16,062.00	
08AUG17	B	1	PD03NOV17		2382.31	2521.13	USD	3,470.50	
	NET	0 AVG	BGHT	2364.87				491.25	0.00
07AUG17	S	2	PD07NOV17		2363.50	2521.67	USD	-7,908.50	
07AUG17	B	1	PD07NOV17		2366.50	2521.67	USD	3,879.25	
07AUG17	S	1	PD07NOV17		2357.00	2521.67	USD	-4,116.75	
07AUG17	S	1	PD07NOV17		2358.00	2521.67	USD	-4,091.75	
07AUG17	S	1	PD07NOV17		2358.50	2521.67	USD	-4,079.25	
07AUG17	S	1	PD07NOV17		2359.00	2521.67	USD	-4,066.75	
07AUG17	S	1	PD07NOV17		2361.00	2521.67	USD	-4,016.75	
07AUG17	S	2	PD07NOV17		2360.00	2521.67	USD	-8,083.50	
07AUG17	S	1	PD07NOV17		2367.50	2521.67	USD	-3,854.25	
07AUG17	S	1	PD07NOV17		2364.00	2521.67	USD	-3,941.75	
07AUG17	S	1	PD07NOV17		2364.50	2521.67	USD	-3,929.25	
07AUG17	S	1	PD07NOV17		2368.00	2521.67	USD	-3,841.75	
07AUG17	S	1	PD07NOV17		2368.50	2521.67	USD	-3,829.25	
07AUG17	S	1	PD07NOV17		2365.00	2521.67	USD	-3,916.75	
07AUG17	S	1	PD07NOV17		2365.50	2521.67	USD	-3,904.25	
07AUG17	S	1	PD07NOV17		2369.00	2521.67	USD	-3,816.75	
07AUG17	S	1	PD07NOV17		2369.50	2521.67	USD	-3,804.25	
07AUG17	S	1	PD07NOV17		2386.00	2521.67	USD	-3,391.75	
07AUG17	B	1	PD07NOV17		2362.50	2521.67	USD	3,979.25	
07AUG17	B	2	PD07NOV17		2364.00	2521.67	USD	7,883.50	
07AUG17	B	3	PD07NOV17		2361.50	2521.67	USD	12,012.75	
07AUG17	B	1	PD07NOV17		2369.00	2521.67	USD	3,816.75	
07AUG17	B	5	PD07NOV17		2363.00	2521.67	USD	19,833.75	
07AUG17	B	1	PD07NOV17		2362.00	2521.67	USD	3,991.75	
07AUG17	B	1	PD07NOV17		2363.50	2521.67	USD	3,954.25	
08AUG17	B	4	PD07NOV17		2383.00	2521.67	USD	13,867.00	
	NET	0 AVG	BGHT	2367.53				-1,375.00	0.00
08AUG17	B	3	PD08NOV17		2374.00	2521.81	USD	11,085.75	
08AUG17	B	1	PD08NOV17		2370.00	2521.81	USD	3,795.25	
08AUG17	B	1	PD08NOV17		2365.00	2521.81	USD	3,920.25	
08AUG17	B	1	PD08NOV17		2363.50	2521.81	USD	3,957.75	
08AUG17	B	1	PD08NOV17		2357.00	2521.81	USD	4,120.25	
08AUG17	B	1	PD08NOV17		2357.50	2521.81	USD	4,107.75	
08AUG17	B	2	PD08NOV17		2355.00	2521.81	USD	8,340.50	
08AUG17	B	2	PD08NOV17		2356.00	2521.81	USD	8,290.50	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0094E

13th October 2017

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backoffice@sapiencap.com

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
08AUG17	B	2	PD08NOV17	2353.50	2521.81	USD	8,415.50	
08AUG17	B	1	PD08NOV17	2353.00	2521.81	USD	4,220.25	
08AUG17	B	1	PD08NOV17	2354.00	2521.81	USD	4,195.25	
08AUG17	B	1	PD08NOV17	2346.00	2521.81	USD	4,395.25	
08AUG17	S	1	PD08NOV17	2348.00	2521.81	USD	-4,345.25	
08AUG17	B	2	PD08NOV17	2356.50	2521.81	USD	8,265.50	
08AUG17	B	2	PD08NOV17	2354.50	2521.81	USD	8,365.50	
08AUG17	S	20	PD08NOV17	2382.80	2521.81	USD	-69,505.00	
	NET	0 AVG BGHT 2359.00	SOLD 2381.14				11,625.00	0.00
09AUG17	B	1	PD09NOV17	2374.50	2521.94	USD	3,686.00	
09AUG17	B	1	PD09NOV17	2352.50	2521.94	USD	4,236.00	
09AUG17	B	3	PD09NOV17	2354.00	2521.94	USD	12,595.50	
11AUG17	S	5	PD09NOV17	2325.51	2521.94	USD	-24,553.75	
	NET	0 AVG BGHT 2357.80	SOLD 2325.51				-4,036.25	0.00
11AUG17	B	1	PD10NOV17	2332.00	2522.08	USD	4,752.00	
17AUG17	S	1	PD10NOV17	2412.85	2522.08	USD	-2,730.75	
	NET	0 AVG BGHT 2332.00	SOLD 2412.85				2,021.25	0.00
16AUG17	S	1	PD16NOV17	2389.00	2522.75	USD	-3,343.75	
16AUG17	S	1	PD16NOV17	2402.50	2522.75	USD	-3,006.25	
16AUG17	S	1	PD16NOV17	2422.50	2522.75	USD	-2,506.25	
16AUG17	S	2	PD16NOV17	2387.50	2522.75	USD	-6,762.50	
16AUG17	S	2	PD16NOV17	2388.50	2522.75	USD	-6,712.50	
16AUG17	S	1	PD16NOV17	2480.00	2522.75	USD	-1,068.75	
16AUG17	B	1	PD16NOV17	2463.50	2522.75	USD	1,481.25	
16AUG17	B	1	PD16NOV17	2470.50	2522.75	USD	1,306.25	
16AUG17	B	5	PD16NOV17	2517.20	2522.75	USD	693.75	
17AUG17	B	1	PD16NOV17	2413.30	2522.75	USD	2,736.25	
	NET	0 AVG BGHT 2491.66	SOLD 2405.75				-17,182.50	0.00
17AUG17	S	1	PD17NOV17	2511.50	2522.75	USD	-281.25	
17AUG17	S	1	PD17NOV17	2509.50	2522.75	USD	-331.25	
17AUG17	S	1	PD17NOV17	2511.00	2522.75	USD	-293.75	
17AUG17	B	1	PD17NOV17	2463.50	2522.75	USD	1,481.25	
18AUG17	B	1	PD17NOV17	2428.00	2522.75	USD	2,368.75	
18AUG17	B	1	PD17NOV17	2444.00	2522.75	USD	1,968.75	
18AUG17	B	1	PD17NOV17	2437.00	2522.75	USD	2,143.75	
18AUG17	B	1	PD17NOV17	2363.50	2522.75	USD	3,981.25	
18AUG17	B	3	PD17NOV17	2360.50	2522.75	USD	12,168.75	
18AUG17	B	1	PD17NOV17	2359.00	2522.75	USD	4,093.75	
18AUG17	B	2	PD17NOV17	2359.50	2522.75	USD	8,162.50	
21AUG17	S	8	PD17NOV17	2346.00	2522.75	USD	-35,350.00	
	NET	0 AVG BGHT 2390.50	SOLD 2390.91				112.50	0.00
21AUG17	B	2	PD21NOV17	2360.00	2523.36	USD	8,168.00	
21AUG17	B	1	PD21NOV17	2360.50	2523.36	USD	4,071.50	
21AUG17	B	1	PD21NOV17	2362.00	2523.36	USD	4,034.00	
21AUG17	B	1	PD21NOV17	2356.50	2523.36	USD	4,171.50	
21AUG17	B	1	PD21NOV17	2363.00	2523.36	USD	4,009.00	
21AUG17	B	2	PD21NOV17	2350.00	2523.36	USD	8,668.00	
23AUG17	S	6	PD21NOV17	2376.25	2523.36	USD	-22,066.50	
24AUG17	S	2	PD21NOV17	2355.51	2523.36	USD	-8,392.50	
	NET	0 AVG BGHT 2357.75	SOLD 2371.07				2,663.00	0.00
22AUG17	B	1	PD22NOV17	2337.50	2523.51	USD	4,650.25	
22AUG17	B	1	PD22NOV17	2358.00	2523.51	USD	4,137.75	
22AUG17	B	1	PD22NOV17	2357.00	2523.51	USD	4,162.75	
22AUG17	B	1	PD22NOV17	2351.00	2523.51	USD	4,312.75	
22AUG17	B	1	PD22NOV17	2355.50	2523.51	USD	4,200.25	

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22AUG17	B	1	PD22NOV17		2348.50	2523.51	USD	4,375.25	
22AUG17	B	1	PD22NOV17		2351.50	2523.51	USD	4,300.25	
22AUG17	S	1	PD22NOV17		2375.50	2523.51	USD	-3,700.25	
22AUG17	S	1	PD22NOV17		2377.00	2523.51	USD	-3,662.75	
22AUG17	S	1	PD22NOV17		2381.00	2523.51	USD	-3,562.75	
22AUG17	S	1	PD22NOV17		2387.00	2523.51	USD	-3,412.75	
22AUG17	S	1	PD22NOV17		2388.50	2523.51	USD	-3,375.25	
22AUG17	S	1	PD22NOV17		2389.50	2523.51	USD	-3,350.25	
22AUG17	S	1	PD22NOV17		2390.00	2523.51	USD	-3,337.75	
22AUG17	S	1	PD22NOV17		2400.50	2523.51	USD	-3,075.25	
22AUG17	S	1	PD22NOV17		2402.00	2523.51	USD	-3,037.75	
22AUG17	S	1	PD22NOV17		2416.50	2523.51	USD	-2,675.25	
22AUG17	S	3	PD22NOV17		2417.00	2523.51	USD	-7,988.25	
23AUG17	B	6	PD22NOV17		2376.70	2523.51	USD	22,021.50	
	NET	0 AVG BGHT 2363.02	SOLD 2396.81					10,982.50	0.00
23AUG17	B	3	PD24NOV17		2394.50	2523.81	USD	9,698.25	
23AUG17	S	1	PD24NOV17		2396.50	2523.81	USD	-3,182.75	
23AUG17	S	1	PD24NOV17		2408.50	2523.81	USD	-2,882.75	
23AUG17	S	1	PD24NOV17		2413.50	2523.81	USD	-2,757.75	
23AUG17	S	1	PD24NOV17		2414.50	2523.81	USD	-2,732.75	
23AUG17	S	2	PD24NOV17		2408.00	2523.81	USD	-5,790.50	
23AUG17	S	2	PD24NOV17		2409.50	2523.81	USD	-5,715.50	
23AUG17	B	1	PD24NOV17		2379.00	2523.81	USD	3,620.25	
24AUG17	B	1	PD24NOV17		2389.50	2523.81	USD	3,357.75	
24AUG17	B	1	PD24NOV17		2396.00	2523.81	USD	3,195.25	
24AUG17	B	2	PD24NOV17		2398.00	2523.81	USD	6,290.50	
24AUG17	B	1	PD24NOV17		2392.50	2523.81	USD	3,282.75	
24AUG17	B	2	PD24NOV17		2394.00	2523.81	USD	6,490.50	
24AUG17	B	1	PD24NOV17		2396.50	2523.81	USD	3,182.75	
24AUG17	B	1	PD24NOV17		2385.50	2523.81	USD	3,457.75	
24AUG17	B	2	PD24NOV17		2390.00	2523.81	USD	6,690.50	
24AUG17	B	1	PD24NOV17		2385.00	2523.81	USD	3,470.25	
24AUG17	B	1	PD24NOV17		2380.00	2523.81	USD	3,595.25	
24AUG17	B	2	PD24NOV17		2377.50	2523.81	USD	7,315.50	
24AUG17	B	1	PD24NOV17		2372.50	2523.81	USD	3,782.75	
24AUG17	B	1	PD24NOV17		2374.00	2523.81	USD	3,745.25	
24AUG17	B	1	PD24NOV17		2374.50	2523.81	USD	3,732.75	
24AUG17	B	1	PD24NOV17		2376.50	2523.81	USD	3,682.75	
24AUG17	B	1	PD24NOV17		2382.00	2523.81	USD	3,545.25	
24AUG17	S	1	PD24NOV17		2371.00	2523.81	USD	-3,820.25	
24AUG17	S	3	PD24NOV17		2356.80	2523.81	USD	-12,525.75	
25AUG17	S	1	PD24NOV17		2373.50	2523.81	USD	-3,757.75	
25AUG17	S	1	PD24NOV17		2332.50	2523.81	USD	-4,782.75	
25AUG17	B	2	PD24NOV17		2328.00	2523.81	USD	9,790.50	
25AUG17	B	1	PD24NOV17		2326.50	2523.81	USD	4,932.75	
25AUG17	S	2	PD24NOV17		2326.00	2523.81	USD	-9,890.50	
25AUG17	S	1	PD24NOV17		2325.50	2523.81	USD	-4,957.75	
30AUG17	S	3	PD24NOV17		2368.39	2523.81	USD	-11,656.50	
08SEP17	S	7	PD24NOV17		2260.26	2523.81	USD	-46,121.25	
	NET	0 AVG BGHT 2380.31	SOLD 2345.18					-23,715.25	0.00
29AUG17	B	2	PD29NOV17		2347.50	2524.57	USD	8,853.50	
29AUG17	S	2	PD29NOV17		2388.00	2524.57	USD	-6,828.50	
29AUG17	S	1	PD29NOV17		2386.50	2524.57	USD	-3,451.75	
29AUG17	S	2	PD29NOV17		2388.50	2524.57	USD	-6,803.50	
30AUG17	B	3	PD29NOV17		2369.93	2524.57	USD	11,598.00	
	NET	0 AVG BGHT 2360.96	SOLD 2387.90					3,367.75	0.00

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30AUG17	B	1	PD30NOV17	2390.50	2524.72	USD	3,355.50	
30AUG17	B	3	PD30NOV17	2389.00	2524.72	USD	10,179.00	
30AUG17	B	1	PD30NOV17	2389.50	2524.72	USD	3,380.50	
30AUG17	B	1	PD30NOV17	2391.50	2524.72	USD	3,330.50	
30AUG17	S	1	PD30NOV17	2379.50	2524.72	USD	-3,630.50	
30AUG17	S	1	PD30NOV17	2381.00	2524.72	USD	-3,593.00	
30AUG17	S	1	PD30NOV17	2382.00	2524.72	USD	-3,568.00	
30AUG17	S	1	PD30NOV17	2382.50	2524.72	USD	-3,555.50	
31AUG17	B	1	PD30NOV17	2377.50	2524.72	USD	3,680.50	
31AUG17	B	1	PD30NOV17	2385.00	2524.72	USD	3,493.00	
31AUG17	B	1	PD30NOV17	2376.00	2524.72	USD	3,718.00	
31AUG17	S	1	PD30NOV17	2383.50	2524.72	USD	-3,530.50	
31AUG17	S	1	PD30NOV17	2384.50	2524.72	USD	-3,505.50	
31AUG17	S	1	PD30NOV17	2389.00	2524.72	USD	-3,393.00	
31AUG17	S	2	PD30NOV17	2388.50	2524.72	USD	-6,811.00	
31AUG17	S	3	PD30NOV17	2389.50	2524.72	USD	-10,141.50	
31AUG17	S	1	PD30NOV17	2392.00	2524.72	USD	-3,318.00	
31AUG17	S	1	PD30NOV17	2393.00	2524.72	USD	-3,293.00	
31AUG17	B	1	PD30NOV17	2396.50	2524.72	USD	3,205.50	
31AUG17	B	1	PD30NOV17	2397.00	2524.72	USD	3,193.00	
31AUG17	B	1	PD30NOV17	2397.50	2524.72	USD	3,180.50	
31AUG17	B	8	PD30NOV17	2394.20	2524.72	USD	26,104.00	
08SEP17	S	6	PD30NOV17	2262.30	2524.72	USD	-39,363.00	
	NET	0 AVG BGHT 2391.08	SOLD 2349.32				-20,882.50	0.00
01SEP17	S	1	PD01DEC17	2409.00	2524.87	USD	-2,896.75	
01SEP17	S	1	PD01DEC17	2402.00	2524.87	USD	-3,071.75	
01SEP17	S	1	PD01DEC17	2407.00	2524.87	USD	-2,946.75	
01SEP17	S	1	PD01DEC17	2407.50	2524.87	USD	-2,934.25	
01SEP17	B	1	PD01DEC17	2395.50	2524.87	USD	3,234.25	
01SEP17	S	1	PD01DEC17	2391.50	2524.87	USD	-3,334.25	
01SEP17	B	2	PD01DEC17	2390.00	2524.87	USD	6,743.50	
01SEP17	B	1	PD01DEC17	2400.00	2524.87	USD	3,121.75	
12SEP17	B	1	PD01DEC17	2308.12	2524.87	USD	5,418.75	
	NET	0 AVG BGHT 2376.72	SOLD 2403.40				3,334.50	0.00
04SEP17	B	1	PD04DEC17	2397.00	2525.33	USD	3,208.25	
04SEP17	B	1	PD04DEC17	2397.50	2525.33	USD	3,195.75	
04SEP17	B	1	PD04DEC17	2400.00	2525.33	USD	3,133.25	
04SEP17	B	1	PD04DEC17	2401.00	2525.33	USD	3,108.25	
04SEP17	B	2	PD04DEC17	2395.50	2525.33	USD	6,491.50	
04SEP17	B	1	PD04DEC17	2393.00	2525.33	USD	3,308.25	
04SEP17	B	1	PD04DEC17	2393.50	2525.33	USD	3,295.75	
04SEP17	B	1	PD04DEC17	2386.50	2525.33	USD	3,470.75	
04SEP17	B	1	PD04DEC17	2389.50	2525.33	USD	3,395.75	
04SEP17	B	1	PD04DEC17	2386.00	2525.33	USD	3,483.25	
04SEP17	B	2	PD04DEC17	2387.00	2525.33	USD	6,916.50	
04SEP17	B	2	PD04DEC17	2394.00	2525.33	USD	6,566.50	
04SEP17	B	1	PD04DEC17	2398.00	2525.33	USD	3,183.25	
05SEP17	S	16	PD04DEC17	2333.50	2525.33	USD	-76,732.00	
	NET	0 AVG BGHT 2393.44	SOLD 2333.50				-23,975.00	0.00
05SEP17	B	1	PD05DEC17	2369.00	2525.48	USD	3,912.00	
05SEP17	B	2	PD05DEC17	2370.00	2525.48	USD	7,774.00	
05SEP17	B	1	PD05DEC17	2371.50	2525.48	USD	3,849.50	
12SEP17	S	1	PD05DEC17	2309.15	2525.48	USD	-5,408.25	
22SEP17	S	3	PD05DEC17	2488.50	2525.48	USD	-2,773.50	
	NET	0 AVG BGHT 2370.13	SOLD 2443.66				7,353.75	0.00
06SEP17	S	1	PD06DEC17	2357.00	2525.63	USD	-4,215.75	

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06SEP17	S	1	PD06DEC17	2359.00	2525.63	USD	-4,165.75	
06SEP17	S	1	PD06DEC17	2362.00	2525.63	USD	-4,090.75	
06SEP17	S	1	PD06DEC17	2362.50	2525.63	USD	-4,078.25	
06SEP17	B	1	PD06DEC17	2342.00	2525.63	USD	4,590.75	
06SEP17	B	1	PD06DEC17	2343.50	2525.63	USD	4,553.25	
06SEP17	B	1	PD06DEC17	2344.00	2525.63	USD	4,540.75	
06SEP17	B	1	PD06DEC17	2345.00	2525.63	USD	4,515.75	
06SEP17	B	1	PD06DEC17	2344.50	2525.63	USD	4,528.25	
22SEP17	S	1	PD06DEC17	2488.50	2525.63	USD	-928.25	
	NET	0 AVG BGHT 2343.80	SOLD 2385.80				5,250.00	0.00
07SEP17	B	1	PD07DEC17	2348.00	2525.78	USD	4,444.50	
07SEP17	B	1	PD07DEC17	2348.50	2525.78	USD	4,432.00	
07SEP17	B	1	PD07DEC17	2349.00	2525.78	USD	4,419.50	
07SEP17	B	1	PD07DEC17	2337.50	2525.78	USD	4,707.00	
07SEP17	S	1	PD07DEC17	2331.50	2525.78	USD	-4,857.00	
07SEP17	B	1	PD07DEC17	2331.50	2525.78	USD	4,857.00	
07SEP17	B	1	PD07DEC17	2331.00	2525.78	USD	4,869.50	
07SEP17	B	1	PD07DEC17	2332.50	2525.78	USD	4,832.00	
07SEP17	B	1	PD07DEC17	2333.00	2525.78	USD	4,819.50	
22SEP17	S	7	PD07DEC17	2488.50	2525.78	USD	-6,524.00	
	NET	0 AVG BGHT 2338.88	SOLD 2468.88				26,000.00	0.00
08SEP17	B	1	PD08DEC17	2347.00	2525.93	USD	4,473.25	
08SEP17	B	1	PD08DEC17	2348.00	2525.93	USD	4,448.25	
08SEP17	B	1	PD08DEC17	2356.00	2525.93	USD	4,248.25	
08SEP17	B	3	PD08DEC17	2358.00	2525.93	USD	12,594.75	
08SEP17	B	1	PD08DEC17	2344.50	2525.93	USD	4,535.75	
08SEP17	B	1	PD08DEC17	2305.50	2525.93	USD	5,510.75	
08SEP17	B	1	PD08DEC17	2307.50	2525.93	USD	5,460.75	
08SEP17	B	1	PD08DEC17	2313.00	2525.93	USD	5,323.25	
08SEP17	B	1	PD08DEC17	2301.50	2525.93	USD	5,610.75	
08SEP17	B	1	PD08DEC17	2302.50	2525.93	USD	5,585.75	
08SEP17	B	1	PD08DEC17	2298.00	2525.93	USD	5,698.25	
08SEP17	S	1	PD08DEC17	2297.50	2525.93	USD	-5,710.75	
08SEP17	B	3	PD08DEC17	2299.50	2525.93	USD	16,982.25	
08SEP17	B	1	PD08DEC17	2294.00	2525.93	USD	5,798.25	
08SEP17	B	1	PD08DEC17	2296.00	2525.93	USD	5,748.25	
08SEP17	B	1	PD08DEC17	2267.50	2525.93	USD	6,460.75	
13SEP17	S	17	PD08DEC17	2288.23	2525.93	USD	-101,022.50	
22SEP17	S	1	PD08DEC17	2488.50	2525.93	USD	-935.75	
	NET	0 AVG BGHT 2318.61	SOLD 2299.26				-9,189.75	0.00
11SEP17	B	1	PD11DEC17	2274.50	2526.39	USD	6,297.25	
11SEP17	B	1	PD11DEC17	2277.50	2526.39	USD	6,222.25	
22SEP17	S	2	PD11DEC17	2488.50	2526.39	USD	-1,894.50	
	NET	0 AVG BGHT 2276.00	SOLD 2488.50				10,625.00	0.00
14SEP17	S	1	PD14DEC17	2291.00	2526.84	USD	-5,896.00	
14SEP17	S	1	PD14DEC17	2291.50	2526.84	USD	-5,883.50	
14SEP17	S	1	PD14DEC17	2293.00	2526.84	USD	-5,846.00	
14SEP17	S	1	PD14DEC17	2293.50	2526.84	USD	-5,833.50	
14SEP17	S	1	PD14DEC17	2294.00	2526.84	USD	-5,821.00	
14SEP17	S	1	PD14DEC17	2306.50	2526.84	USD	-5,508.50	
14SEP17	S	1	PD14DEC17	2309.00	2526.84	USD	-5,446.00	
14SEP17	S	2	PD14DEC17	2315.50	2526.84	USD	-10,567.00	
14SEP17	S	1	PD14DEC17	2322.50	2526.84	USD	-5,108.50	
14SEP17	S	1	PD14DEC17	2307.00	2526.84	USD	-5,496.00	
14SEP17	S	1	PD14DEC17	2307.50	2526.84	USD	-5,483.50	
14SEP17	S	1	PD14DEC17	2309.50	2526.84	USD	-5,433.50	

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	<u>LME LEAD 25 TONNES. THIS IS AN</u>							
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14SEP17	S	2	PD14DEC17	2312.00	2526.84	USD	-10,742.00	
14SEP17	S	1	PD14DEC17	2312.50	2526.84	USD	-5,358.50	
14SEP17	S	2	PD14DEC17	2313.00	2526.84	USD	-10,692.00	
14SEP17	S	3	PD14DEC17	2313.50	2526.84	USD	-16,000.50	
14SEP17	B	2	PD14DEC17	2317.50	2526.84	USD	10,467.00	
14SEP17	S	3	PD14DEC17	2319.50	2526.84	USD	-15,550.50	
14SEP17	S	1	PD14DEC17	2320.50	2526.84	USD	-5,158.50	
14SEP17	S	1	PD14DEC17	2321.50	2526.84	USD	-5,133.50	
14SEP17	S	1	PD14DEC17	2320.00	2526.84	USD	-5,171.00	
18SEP17	B	5	PD14DEC17	2389.14	2526.84	USD	17,212.50	
19SEP17	B	20	PD14DEC17	2383.71	2526.84	USD	71,565.00	
	NET	0AVG BGHT 2379.81	SOLD 2310.35				-46,885.00	0.00
15SEP17	S	2	PD15DEC17	2324.00	2526.99	USD	-10,149.50	
15SEP17	S	3	PD15DEC17	2326.00	2526.99	USD	-15,074.25	
15SEP17	S	1	PD15DEC17	2350.00	2526.99	USD	-4,424.75	
15SEP17	S	1	PD15DEC17	2350.50	2526.99	USD	-4,412.25	
15SEP17	S	2	PD15DEC17	2351.00	2526.99	USD	-8,799.50	
15SEP17	S	1	PD15DEC17	2352.00	2526.99	USD	-4,374.75	
15SEP17	S	1	PD15DEC17	2352.50	2526.99	USD	-4,362.25	
15SEP17	S	2	PD15DEC17	2327.00	2526.99	USD	-9,999.50	
15SEP17	S	1	PD15DEC17	2325.50	2526.99	USD	-5,037.25	
15SEP17	S	1	PD15DEC17	2326.50	2526.99	USD	-5,012.25	
15SEP17	S	1	PD15DEC17	2351.50	2526.99	USD	-4,387.25	
15SEP17	S	1	PD15DEC17	2360.50	2526.99	USD	-4,162.25	
18SEP17	B	17	PD15DEC17	2389.40	2526.99	USD	58,475.75	
	NET	0AVG BGHT 2389.40	SOLD 2338.29				-21,720.00	0.00
18SEP17	S	1	PD18DEC17	2393.00	2527.45	USD	-3,361.25	
18SEP17	S	1	PD18DEC17	2392.00	2527.45	USD	-3,386.25	
18SEP17	S	1	PD18DEC17	2390.00	2527.45	USD	-3,436.25	
18SEP17	S	1	PD18DEC17	2397.50	2527.45	USD	-3,248.75	
18SEP17	S	1	PD18DEC17	2398.00	2527.45	USD	-3,236.25	
18SEP17	S	1	PD18DEC17	2402.50	2527.45	USD	-3,123.75	
18SEP17	S	1	PD18DEC17	2401.50	2527.45	USD	-3,148.75	
18SEP17	S	1	PD18DEC17	2402.00	2527.45	USD	-3,136.25	
18SEP17	S	1	PD18DEC17	2388.00	2527.45	USD	-3,486.25	
20SEP17	B	4	PD18DEC17	2459.89	2527.45	USD	6,756.00	
22SEP17	B	5	PD18DEC17	2488.70	2527.45	USD	4,843.75	
	NET	0AVG BGHT 2475.90	SOLD 2396.06				-17,964.00	0.00
19SEP17	S	1	PD19DEC17	2392.50	2527.60	USD	-3,377.50	
19SEP17	S	1	PD19DEC17	2393.50	2527.60	USD	-3,352.50	
19SEP17	S	2	PD19DEC17	2396.50	2527.60	USD	-6,555.00	
19SEP17	S	2	PD19DEC17	2402.00	2527.60	USD	-6,280.00	
19SEP17	S	1	PD19DEC17	2403.50	2527.60	USD	-3,102.50	
19SEP17	S	1	PD19DEC17	2404.00	2527.60	USD	-3,090.00	
19SEP17	S	2	PD19DEC17	2405.00	2527.60	USD	-6,130.00	
19SEP17	S	5	PD19DEC17	2405.50	2527.60	USD	-15,262.50	
19SEP17	S	3	PD19DEC17	2438.00	2527.60	USD	-6,720.00	
21SEP17	B	18	PD19DEC17	2518.19	2527.60	USD	4,234.50	
	NET	0AVG BGHT 2518.19	SOLD 2407.89				-49,635.50	0.00
20SEP17	S	1	PD20DEC17	2441.00	2527.75	USD	-2,168.75	
20SEP17	S	1	PD20DEC17	2451.50	2527.75	USD	-1,906.25	
20SEP17	S	1	PD20DEC17	2453.50	2527.75	USD	-1,856.25	
20SEP17	S	2	PD20DEC17	2456.50	2527.75	USD	-3,562.50	
20SEP17	S	1	PD20DEC17	2452.00	2527.75	USD	-1,893.75	
20SEP17	S	2	PD20DEC17	2461.50	2527.75	USD	-3,312.50	
20SEP17	S	1	PD20DEC17	2462.00	2527.75	USD	-1,643.75	

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21SEP17	B	9	PD20DEC17	2518.20	2527.75	USD	2,148.75	
	NET	0 AVG BGHT 2518.20	SOLD 2455.11				-14,195.00	0.00
21SEP17	S	1	PD21DEC17	2438.00	2526.75	USD	-2,218.75	
21SEP17	S	1	PD21DEC17	2439.00	2526.75	USD	-2,193.75	
21SEP17	S	1	PD21DEC17	2441.00	2526.75	USD	-2,143.75	
21SEP17	S	2	PD21DEC17	2438.50	2526.75	USD	-4,412.50	
21SEP17	S	1	PD21DEC17	2433.00	2526.75	USD	-2,343.75	
21SEP17	S	2	PD21DEC17	2472.00	2526.75	USD	-2,737.50	
21SEP17	S	1	PD21DEC17	2470.50	2526.75	USD	-1,406.25	
21SEP17	S	1	PD21DEC17	2472.50	2526.75	USD	-1,356.25	
21SEP17	S	2	PD21DEC17	2468.50	2526.75	USD	-2,912.50	
21SEP17	S	2	PD21DEC17	2473.50	2526.75	USD	-2,662.50	
21SEP17	S	2	PD21DEC17	2470.00	2526.75	USD	-2,837.50	
21SEP17	S	4	PD21DEC17	2464.00	2526.75	USD	-6,275.00	
21SEP17	S	1	PD21DEC17	2463.50	2526.75	USD	-1,581.25	
21SEP17	S	2	PD21DEC17	2476.50	2526.75	USD	-2,512.50	
21SEP17	S	1	PD21DEC17	2477.50	2526.75	USD	-1,231.25	
21SEP17	S	2	PD21DEC17	2464.50	2526.75	USD	-3,112.50	
21SEP17	S	3	PD21DEC17	2465.50	2526.75	USD	-4,593.75	
21SEP17	S	2	PD21DEC17	2465.00	2526.75	USD	-3,087.50	
21SEP17	S	1	PD21DEC17	2501.50	2526.75	USD	-631.25	
21SEP17	S	1	PD21DEC17	2502.50	2526.75	USD	-606.25	
21SEP17	S	1	PD21DEC17	2504.50	2526.75	USD	-556.25	
21SEP17	B	1	PD21DEC17	2475.00	2526.75	USD	1,293.75	
21SEP17	B	1	PD21DEC17	2477.00	2526.75	USD	1,243.75	
21SEP17	B	1	PD21DEC17	2471.50	2526.75	USD	1,381.25	
21SEP17	B	1	PD21DEC17	2472.50	2526.75	USD	1,356.25	
21SEP17	B	2	PD21DEC17	2468.50	2526.75	USD	2,912.50	
21SEP17	B	1	PD21DEC17	2462.50	2526.75	USD	1,606.25	
21SEP17	B	1	PD21DEC17	2444.00	2526.75	USD	2,068.75	
21SEP17	B	1	PD21DEC17	2445.00	2526.75	USD	2,043.75	
21SEP17	B	1	PD21DEC17	2447.00	2526.75	USD	1,993.75	
21SEP17	B	1	PD21DEC17	2449.50	2526.75	USD	1,931.25	
21SEP17	B	1	PD21DEC17	2450.00	2526.75	USD	1,918.75	
21SEP17	B	5	PD21DEC17	2491.65	2526.75	USD	4,387.50	
21SEP17	B	2	PD21DEC17	2455.00	2526.75	USD	3,587.50	
21SEP17	B	1	PD21DEC17	2464.00	2526.75	USD	1,568.75	
21SEP17	S	1	PD21DEC17	2510.00	2526.75	USD	-418.75	
22SEP17	B	9	PD21DEC17	2485.95	2526.75	USD	9,180.00	
10OCT17	B	6	PD21DEC17	2554.75	2526.75	USD	-4,200.00	
	NET	0 AVG BGHT 2487.58	SOLD 2467.51				-17,557.50	0.00
22SEP17	S	1	PD22DEC17	2455.00	2526.90	USD	-1,797.50	
22SEP17	S	1	PD22DEC17	2455.50	2526.90	USD	-1,785.00	
22SEP17	S	1	PD22DEC17	2481.50	2526.90	USD	-1,135.00	
	NET	-3 AVG SOLD 2464.00					-4,717.50	0.00
25SEP17	B	1	PD27DEC17	2468.00	2527.64	USD	1,491.00	
25SEP17	S	1	PD27DEC17	2484.50	2527.64	USD	-1,078.50	
25SEP17	S	1	PD27DEC17	2485.50	2527.64	USD	-1,053.50	
25SEP17	B	1	PD27DEC17	2481.50	2527.64	USD	1,153.50	
25SEP17	B	4	PD27DEC17	2482.00	2527.64	USD	4,564.00	
26SEP17	B	1	PD27DEC17	2482.50	2527.64	USD	1,128.50	
26SEP17	B	1	PD27DEC17	2483.50	2527.64	USD	1,103.50	
26SEP17	S	1	PD27DEC17	2511.00	2527.64	USD	-416.00	
26SEP17	B	1	PD27DEC17	2487.00	2527.64	USD	1,016.00	
26SEP17	B	1	PD27DEC17	2483.00	2527.64	USD	1,116.00	
26SEP17	B	1	PD27DEC17	2485.50	2527.64	USD	1,053.50	

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26SEP17	S	1	PD27DEC17	2477.00	2527.64	USD	-1,266.00	
26SEP17	S	1	PD27DEC17	2482.00	2527.64	USD	-1,141.00	
26SEP17	B	3	PD27DEC17	2484.00	2527.64	USD	3,273.00	
26SEP17	S	1	PD27DEC17	2484.00	2527.64	USD	-1,091.00	
27SEP17	B	1	PD27DEC17	2478.50	2527.64	USD	1,228.50	
27SEP17	S	1	PD27DEC17	2478.50	2527.64	USD	-1,228.50	
27SEP17	S	1	PD27DEC17	2468.00	2527.64	USD	-1,491.00	
27SEP17	S	1	PD27DEC17	2461.00	2527.64	USD	-1,666.00	
10OCT17	S	6	PD27DEC17	2553.80	2527.64	USD	3,924.00	
	NET	0 AVG BGHT 2481.97	SOLD 2510.29				10,620.00	0.00
28SEP17	S	1	PD28DEC17	2475.00	2527.78	USD	-1,319.50	
28SEP17	S	2	PD28DEC17	2467.00	2527.78	USD	-3,039.00	
28SEP17	S	2	PD28DEC17	2476.00	2527.78	USD	-2,589.00	
28SEP17	S	1	PD28DEC17	2478.00	2527.78	USD	-1,244.50	
28SEP17	S	1	PD28DEC17	2479.00	2527.78	USD	-1,219.50	
28SEP17	S	1	PD28DEC17	2483.50	2527.78	USD	-1,107.00	
28SEP17	S	1	PD28DEC17	2474.00	2527.78	USD	-1,344.50	
28SEP17	S	2	PD28DEC17	2472.50	2527.78	USD	-2,764.00	
28SEP17	S	1	PD28DEC17	2473.50	2527.78	USD	-1,357.00	
28SEP17	B	10	PD28DEC17	2470.20	2527.78	USD	14,395.00	
28SEP17	B	1	PD28DEC17	2485.50	2527.78	USD	1,057.00	
10OCT17	B	1	PD28DEC17	2554.21	2527.78	USD	-660.75	
	NET	0 AVG BGHT 2478.48	SOLD 2474.50				-1,192.75	0.00
29SEP17	B	2	PD29DEC17	2493.00	2527.93	USD	1,746.50	
29SEP17	S	1	PD29DEC17	2507.00	2527.93	USD	-523.25	
29SEP17	S	1	PD29DEC17	2509.00	2527.93	USD	-473.25	
29SEP17	S	2	PD29DEC17	2513.00	2527.93	USD	-746.50	
29SEP17	S	1	PD29DEC17	2506.50	2527.93	USD	-535.75	
29SEP17	S	1	PD29DEC17	2511.00	2527.93	USD	-423.25	
29SEP17	S	2	PD29DEC17	2512.00	2527.93	USD	-796.50	
29SEP17	B	1	PD29DEC17	2468.00	2527.93	USD	1,498.25	
29SEP17	B	1	PD29DEC17	2474.00	2527.93	USD	1,348.25	
10OCT17	B	4	PD29DEC17	2554.43	2527.93	USD	-2,650.00	
	NET	0 AVG BGHT 2518.22	SOLD 2510.44				-1,555.50	0.00
03OCT17	S	1	PD03JAN18	2525.00	2528.67	USD	-91.75	
03OCT17	S	1	PD03JAN18	2525.50	2528.67	USD	-79.25	
03OCT17	S	1	PD03JAN18	2541.50	2528.67	USD	320.75	
03OCT17	S	1	PD03JAN18	2544.50	2528.67	USD	395.75	
03OCT17	S	1	PD03JAN18	2547.50	2528.67	USD	470.75	
03OCT17	S	1	PD03JAN18	2548.00	2528.67	USD	483.25	
03OCT17	S	1	PD03JAN18	2563.00	2528.67	USD	858.25	
03OCT17	S	1	PD03JAN18	2556.00	2528.67	USD	683.25	
03OCT17	S	1	PD03JAN18	2561.50	2528.67	USD	820.75	
03OCT17	S	1	PD03JAN18	2564.50	2528.67	USD	895.75	
03OCT17	S	1	PD03JAN18	2568.00	2528.67	USD	983.25	
03OCT17	B	1	PD03JAN18	2596.50	2528.67	USD	-1,695.75	
03OCT17	S	1	PD03JAN18	2554.50	2528.67	USD	645.75	
10OCT17	B	11	PD03JAN18	2555.50	2528.67	USD	-7,378.25	
	NET	0 AVG BGHT 2558.92	SOLD 2549.96				-2,687.50	0.00
04OCT17	S	1	PD04JAN18	2605.00	2528.82	USD	1,904.50	
04OCT17	S	1	PD04JAN18	2608.00	2528.82	USD	1,979.50	
04OCT17	B	1	PD04JAN18	2569.00	2528.82	USD	-1,004.50	
04OCT17	B	1	PD04JAN18	2575.00	2528.82	USD	-1,154.50	
04OCT17	B	1	PD04JAN18	2575.50	2528.82	USD	-1,167.00	
04OCT17	B	1	PD04JAN18	2581.50	2528.82	USD	-1,317.00	
04OCT17	B	2	PD04JAN18	2582.00	2528.82	USD	-2,659.00	

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04OCT17	B	1	PD04JAN18	2576.00	2528.82	USD	-1,179.50	
04OCT17	B	1	PD04JAN18	2572.50	2528.82	USD	-1,092.00	
04OCT17	B	1	PD04JAN18	2571.00	2528.82	USD	-1,054.50	
04OCT17	B	2	PD04JAN18	2571.50	2528.82	USD	-2,134.00	
04OCT17	S	1	PD04JAN18	2606.00	2528.82	USD	1,929.50	
04OCT17	S	1	PD04JAN18	2607.00	2528.82	USD	1,954.50	
04OCT17	B	1	PD04JAN18	2572.00	2528.82	USD	-1,079.50	
04OCT17	B	2	PD04JAN18	2574.50	2528.82	USD	-2,284.00	
04OCT17	B	2	PD04JAN18	2570.00	2528.82	USD	-2,059.00	
04OCT17	B	1	PD04JAN18	2556.00	2528.82	USD	-679.50	
04OCT17	B	1	PD04JAN18	2556.50	2528.82	USD	-692.00	
04OCT17	B	1	PD04JAN18	2559.00	2528.82	USD	-754.50	
04OCT17	B	1	PD04JAN18	2555.50	2528.82	USD	-667.00	
10OCT17	S	16	PD04JAN18	2555.51	2528.82	USD	10,676.00	
	NET	0 AVG BGHT 2570.78	SOLD 2565.71				-2,533.50	0.00
05OCT17	S	1	PD05JAN18	2580.00	2528.97	USD	1,275.75	
05OCT17	B	1	PD05JAN18	2588.50	2528.97	USD	-1,488.25	
06OCT17	B	1	PD05JAN18	2584.00	2528.97	USD	-1,375.75	
06OCT17	B	1	PD05JAN18	2577.00	2528.97	USD	-1,200.75	
06OCT17	B	1	PD05JAN18	2579.00	2528.97	USD	-1,250.75	
06OCT17	B	1	PD05JAN18	2573.00	2528.97	USD	-1,100.75	
06OCT17	B	1	PD05JAN18	2568.00	2528.97	USD	-975.75	
06OCT17	B	1	PD05JAN18	2553.00	2528.97	USD	-600.75	
06OCT17	B	1	PD05JAN18	2553.50	2528.97	USD	-613.25	
06OCT17	B	4	PD05JAN18	2551.50	2528.97	USD	-2,253.00	
06OCT17	B	1	PD05JAN18	2524.00	2528.97	USD	124.25	
06OCT17	B	1	PD05JAN18	2524.50	2528.97	USD	111.75	
06OCT17	B	1	PD05JAN18	2544.50	2528.97	USD	-388.25	
06OCT17	S	1	PD05JAN18	2542.50	2528.97	USD	338.25	
06OCT17	S	1	PD05JAN18	2545.00	2528.97	USD	400.75	
09OCT17	S	10	PD05JAN18	2510.88	2528.97	USD	-4,522.50	
	NET	+2 AVG BGHT 2558.33	SOLD 2521.25				-13,519.00	0.00
09OCT17	B	1	PD09JAN18	2528.00	2529.56	USD	39.00	
09OCT17	B	1	PD09JAN18	2517.00	2529.56	USD	314.00	
09OCT17	B	1	PD09JAN18	2530.00	2529.56	USD	-11.00	
09OCT17	B	2	PD09JAN18	2519.50	2529.56	USD	503.00	
09OCT17	B	1	PD09JAN18	2518.00	2529.56	USD	289.00	
09OCT17	B	3	PD09JAN18	2518.50	2529.56	USD	829.50	
09OCT17	B	1	PD09JAN18	2524.50	2529.56	USD	126.50	
09OCT17	B	1	PD09JAN18	2516.50	2529.56	USD	326.50	
09OCT17	B	2	PD09JAN18	2517.50	2529.56	USD	603.00	
09OCT17	B	1	PD09JAN18	2510.00	2529.56	USD	489.00	
09OCT17	B	2	PD09JAN18	2505.00	2529.56	USD	1,228.00	
11OCT17	S	16	PD09JAN18	2564.60	2529.56	USD	14,016.00	
	NET	0 AVG BGHT 2517.72	SOLD 2564.60				18,752.50	0.00
11OCT17	B	1	PD11JAN18	2512.50	2529.85	USD	433.75	
11OCT17	B	1	PD11JAN18	2513.00	2529.85	USD	421.25	
11OCT17	B	1	PD11JAN18	2514.00	2529.85	USD	396.25	
11OCT17	B	1	PD11JAN18	2517.00	2529.85	USD	321.25	
	NET	+4 AVG BGHT 2514.13					1,572.50	0.00
13OCT17	B	1	PD12JAN18	2546.00	2530.00	USD	-400.00	
13OCT17	B	2	PD12JAN18	2552.50	2530.00	USD	-1,125.00	
13OCT17	B	1	PD12JAN18	2552.00	2530.00	USD	-550.00	
13OCT17	B	1	PD12JAN18	2554.50	2530.00	USD	-612.50	
13OCT17	B	1	PD12JAN18	2556.00	2530.00	USD	-650.00	
13OCT17	B	1	PD12JAN18	2544.00	2530.00	USD	-350.00	

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Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
13OCT17	<u>LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u> B 1 PD12JAN18 NET +8AVG BGHT 2550.88 <u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>	2549.50	2530.00	USD	-487.50 -4,175.00	0.00
18JUL17	B 1 PD18OCT17	9580.00	11610.50	USD	12,183.00	
18JUL17	B 2 PD18OCT17	9645.00	11610.50	USD	23,586.00	
22AUG17	S 1 PD18OCT17	11395.50	11610.50	USD	-1,290.00	
05SEP17	S 2 PD18OCT17	12047.00	11610.50	USD	5,238.00	
	NET 0AVG BGHT 9623.33 SOLD 11829.83				39,717.00	0.00
21JUL17	B 2 PD20OCT17	9530.00	11611.35	USD	24,976.20	
21JUL17	B 1 PD20OCT17	9535.00	11611.35	USD	12,458.10	
21JUL17	B 1 PD20OCT17	9545.00	11611.35	USD	12,398.10	
05SEP17	S 4 PD20OCT17	12048.00	11611.35	USD	10,479.60	
	NET 0AVG BGHT 9535.00 SOLD 12048.00				60,312.00	0.00
24JUL17	B 1 PD24OCT17	9625.00	11614.76	USD	11,938.56	
24JUL17	B 1 PD24OCT17	9620.00	11614.76	USD	11,968.56	
24JUL17	B 2 PD24OCT17	9655.00	11614.76	USD	23,517.12	
24JUL17	S 1 PD24OCT17	9765.00	11614.76	USD	-11,098.56	
05SEP17	S 3 PD24OCT17	12051.23	11614.76	USD	7,856.46	
	NET 0AVG BGHT 9638.75 SOLD 11479.67				44,182.14	0.00
25JUL17	S 1 PD25OCT17	9945.00	11615.61	USD	-10,023.66	
26JUL17	B 1 PD25OCT17	10041.33	11615.61	USD	9,445.68	
	NET 0AVG BGHT 10041.33 SOLD 9945.00				-577.98	0.00
26JUL17	B 1 PD26OCT17	10015.00	11616.46	USD	9,608.76	
26JUL17	B 1 PD26OCT17	9940.00	11616.46	USD	10,058.76	
26JUL17	S 1 PD26OCT17	10045.00	11616.46	USD	-9,428.76	
26JUL17	S 1 PD26OCT17	10065.00	11616.46	USD	-9,308.76	
26JUL17	B 1 PD26OCT17	9875.00	11616.46	USD	10,448.76	
26JUL17	B 1 PD26OCT17	9895.00	11616.46	USD	10,328.76	
26JUL17	B 1 PD26OCT17	9910.00	11616.46	USD	10,238.76	
26JUL17	B 1 PD26OCT17	9945.00	11616.46	USD	10,028.76	
26JUL17	S 2 PD26OCT17	9925.00	11616.46	USD	-20,297.52	
26JUL17	S 1 PD26OCT17	9935.00	11616.46	USD	-10,088.76	
26JUL17	S 2 PD26OCT17	9940.00	11616.46	USD	-20,117.52	
28JUL17	B 1 PD26OCT17	10200.94	11616.46	USD	8,493.12	
	NET 0AVG BGHT 9968.71 SOLD 9967.86				-35.64	0.00
31JUL17	B 1 PD31OCT17	10220.00	11620.72	USD	8,404.32	
31JUL17	B 2 PD31OCT17	10250.00	11620.72	USD	16,448.64	
31JUL17	B 1 PD31OCT17	10210.00	11620.72	USD	8,464.32	
05SEP17	S 4 PD31OCT17	12056.88	11620.72	USD	10,467.84	
	NET 0AVG BGHT 10232.50 SOLD 12056.88				43,785.12	0.00
01AUG17	B 2 PD01NOV17	10150.00	11621.57	USD	17,658.84	
05SEP17	S 2 PD01NOV17	12057.69	11621.57	USD	5,233.44	
	NET 0AVG BGHT 10150.00 SOLD 12057.69				22,892.28	0.00
04AUG17	S 1 PD03NOV17	10280.00	11623.28	USD	-8,059.68	
08AUG17	B 1 PD03NOV17	10628.98	11623.28	USD	5,965.80	
	NET 0AVG BGHT 10628.98 SOLD 10280.00				-2,093.88	0.00
07AUG17	S 1 PD07NOV17	10365.00	11626.69	USD	-7,570.14	
08AUG17	B 1 PD07NOV17	10630.60	11626.69	USD	5,976.54	
	NET 0AVG BGHT 10630.60 SOLD 10365.00				-1,593.60	0.00
10AUG17	S 1 PD10NOV17	10850.00	11629.24	USD	-4,675.44	
10AUG17	S 1 PD10NOV17	10835.00	11629.24	USD	-4,765.44	
11AUG17	B 2 PD10NOV17	10661.00	11629.24	USD	11,618.88	
	NET 0AVG BGHT 10661.00 SOLD 10842.50				2,178.00	0.00

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
14AUG17	B	1	PD14NOV17	10575.00	11632.65	USD	6,345.90	
14AUG17	B	3	PD14NOV17	10570.00	11632.65	USD	19,127.70	
14AUG17	B	1	PD14NOV17	10560.00	11632.65	USD	6,435.90	
14AUG17	B	2	PD14NOV17	10550.00	11632.65	USD	12,991.80	
14AUG17	B	1	PD14NOV17	10540.00	11632.65	USD	6,555.90	
14AUG17	B	1	PD14NOV17	10500.00	11632.65	USD	6,795.90	
21AUG17	S	6	PD14NOV17	11313.81	11632.65	USD	-11,478.24	
05SEP17	S	3	PD14NOV17	12068.19	11632.65	USD	7,839.72	
	NET	0 AVG	BGHT 10553.89				54,614.58	0.00
16AUG17	B	1	PD16NOV17	10440.00	11634.19	USD	7,165.14	
16AUG17	B	1	PD16NOV17	10460.00	11634.19	USD	7,045.14	
21AUG17	S	2	PD16NOV17	11312.50	11634.19	USD	-3,860.28	
	NET	0 AVG	BGHT 10450.00				10,350.00	0.00
17AUG17	S	1	PD17NOV17	10650.00	11634.87	USD	-5,909.22	
17AUG17	S	1	PD17NOV17	10665.00	11634.87	USD	-5,819.22	
17AUG17	S	2	PD17NOV17	10660.00	11634.87	USD	-11,698.44	
17AUG17	S	1	PD17NOV17	10670.00	11634.87	USD	-5,789.22	
18AUG17	S	2	PD17NOV17	11000.00	11634.87	USD	-7,618.44	
18AUG17	S	1	PD17NOV17	10990.00	11634.87	USD	-3,869.22	
21AUG17	B	8	PD17NOV17	11314.00	11634.87	USD	15,401.76	
	NET	0 AVG	BGHT 11314.00				-25,302.00	0.00
21AUG17	S	1	PD21NOV17	11245.00	11637.61	USD	-2,355.66	
21AUG17	S	1	PD21NOV17	11265.00	11637.61	USD	-2,235.66	
21AUG17	S	1	PD21NOV17	11335.00	11637.61	USD	-1,815.66	
22AUG17	B	3	PD21NOV17	11415.58	11637.61	USD	3,996.54	
	NET	0 AVG	BGHT 11415.58				-2,410.44	0.00
22AUG17	S	1	PD22NOV17	11410.00	11638.30	USD	-1,369.80	
22AUG17	S	1	PD22NOV17	11445.00	11638.30	USD	-1,159.80	
22AUG17	S	1	PD22NOV17	11440.00	11638.30	USD	-1,189.80	
22AUG17	S	1	PD22NOV17	11475.00	11638.30	USD	-979.80	
22AUG17	B	1	PD22NOV17	11420.00	11638.30	USD	1,309.80	
22AUG17	B	1	PD22NOV17	11430.00	11638.30	USD	1,249.80	
22AUG17	B	1	PD22NOV17	11340.00	11638.30	USD	1,789.80	
22AUG17	B	1	PD22NOV17	11355.00	11638.30	USD	1,699.80	
	NET	0 AVG	BGHT 11386.25				1,350.00	0.00
23AUG17	B	1	PD24NOV17	11330.00	11639.67	USD	1,858.02	
23AUG17	B	1	PD24NOV17	11335.00	11639.67	USD	1,828.02	
23AUG17	S	1	PD24NOV17	11480.00	11639.67	USD	-958.02	
23AUG17	S	4	PD24NOV17	11545.00	11639.67	USD	-2,272.08	
23AUG17	S	1	PD24NOV17	11560.00	11639.67	USD	-478.02	
23AUG17	S	1	PD24NOV17	11515.00	11639.67	USD	-748.02	
24AUG17	B	2	PD24NOV17	11710.00	11639.67	USD	-843.96	
24AUG17	B	2	PD24NOV17	11715.00	11639.67	USD	-903.96	
24AUG17	B	1	PD24NOV17	11665.00	11639.67	USD	-151.98	
25AUG17	B	1	PD24NOV17	11530.00	11639.67	USD	658.02	
25AUG17	B	1	PD24NOV17	11515.00	11639.67	USD	748.02	
25AUG17	B	1	PD24NOV17	11450.00	11639.67	USD	1,138.02	
05SEP17	S	1	PD24NOV17	12072.63	11639.67	USD	2,597.76	
12SEP17	S	2	PD24NOV17	11975.33	11639.67	USD	4,027.92	
	NET	0 AVG	BGHT 11567.50				6,499.74	0.00
29AUG17	B	1	PD29NOV17	11675.00	11643.10	USD	-191.40	
29AUG17	B	1	PD29NOV17	11680.00	11643.10	USD	-221.40	
29AUG17	B	1	PD29NOV17	11705.00	11643.10	USD	-371.40	
29AUG17	B	2	PD29NOV17	11715.00	11643.10	USD	-862.80	
29AUG17	B	1	PD29NOV17	11735.00	11643.10	USD	-551.40	
12SEP17	S	6	PD29NOV17	11979.41	11643.10	USD	12,107.16	

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
	NET	0 AVG BGHT 11704.17	SOLD 11799.41				9,908.76	0.00
30AUG17	B	1 PD30NOV17		11720.00	11643.79	USD	-457.26	
30AUG17	B	1 PD30NOV17		11675.00	11643.79	USD	-187.26	
30AUG17	B	1 PD30NOV17		11670.00	11643.79	USD	-157.26	
30AUG17	B	1 PD30NOV17		11680.00	11643.79	USD	-217.26	
30AUG17	S	1 PD30NOV17		11640.00	11643.79	USD	-22.74	
31AUG17	S	1 PD30NOV17		11780.00	11643.79	USD	817.26	
31AUG17	S	1 PD30NOV17		11790.00	11643.79	USD	877.26	
12SEP17	S	1 PD30NOV17		11980.22	11643.79	USD	2,018.58	
	NET	0 AVG BGHT 11686.25	SOLD 11797.56				2,671.32	0.00
01SEP17	S	1 PD01DEC17		11945.00	11644.47	USD	1,803.18	
01SEP17	S	3 PD01DEC17		11975.00	11644.47	USD	5,949.54	
01SEP17	S	2 PD01DEC17		11960.00	11644.47	USD	3,786.36	
01SEP17	S	2 PD01DEC17		11965.00	11644.47	USD	3,846.36	
01SEP17	S	1 PD01DEC17		12015.00	11644.47	USD	2,223.18	
12SEP17	B	9 PD01DEC17		11982.04	11644.47	USD	-18,228.78	
	NET	0 AVG BGHT 11982.04	SOLD 11970.56				-620.16	0.00
13SEP17	B	2 PD13DEC17		11725.00	11652.70	USD	-867.60	
13SEP17	B	1 PD13DEC17		11730.00	11652.70	USD	-463.80	
13SEP17	B	1 PD13DEC17		11720.00	11652.70	USD	-403.80	
14SEP17	S	4 PD13DEC17		11198.21	11652.70	USD	-10,907.76	
	NET	0 AVG BGHT 11725.00	SOLD 11198.21				-12,642.96	0.00
14SEP17	B	1 PD14DEC17		11430.00	11653.39	USD	1,340.34	
14SEP17	S	1 PD14DEC17		11425.00	11653.39	USD	-1,370.34	
14SEP17	B	1 PD14DEC17		11175.00	11653.39	USD	2,870.34	
14SEP17	B	1 PD14DEC17		11225.00	11653.39	USD	2,570.34	
14SEP17	B	1 PD14DEC17		11165.00	11653.39	USD	2,930.34	
14SEP17	B	1 PD14DEC17		11160.00	11653.39	USD	2,960.34	
14SEP17	B	1 PD14DEC17		11170.00	11653.39	USD	2,900.34	
14SEP17	B	1 PD14DEC17		11190.00	11653.39	USD	2,780.34	
14SEP17	B	1 PD14DEC17		11200.00	11653.39	USD	2,720.34	
18SEP17	S	7 PD14DEC17		11230.97	11653.39	USD	-17,741.64	
	NET	0 AVG BGHT 11214.38	SOLD 11255.22				1,960.74	0.00
15SEP17	B	4 PD15DEC17		11140.00	11654.07	USD	12,337.68	
15SEP17	B	1 PD15DEC17		11150.00	11654.07	USD	3,024.42	
15SEP17	B	1 PD15DEC17		11155.00	11654.07	USD	2,994.42	
22SEP17	S	2 PD15DEC17		10413.57	11654.07	USD	-14,886.00	
	NET	+4 AVG BGHT 11144.17	SOLD 10413.57				3,470.52	0.00
18SEP17	B	1 PD18DEC17		11170.00	11656.13	USD	2,916.78	
	NET	+1 AVG BGHT 11170.00					2,916.78	0.00
19SEP17	S	1 PD19DEC17		11135.00	11656.81	USD	-3,130.86	
19SEP17	S	1 PD19DEC17		11140.00	11656.81	USD	-3,100.86	
22SEP17	B	2 PD19DEC17		10418.11	11656.81	USD	14,864.40	
	NET	0 AVG BGHT 10418.11	SOLD 11137.50				8,632.68	0.00
21SEP17	S	1 PD21DEC17		11105.00	11658.26	USD	-3,319.56	
21SEP17	B	1 PD21DEC17		11115.00	11658.26	USD	3,259.56	
	NET	0 AVG BGHT 11115.00	SOLD 11105.00				-60.00	0.00
22SEP17	B	2 PD22DEC17		10610.00	11659.02	USD	12,588.24	
22SEP17	B	3 PD22DEC17		10530.00	11659.02	USD	20,322.36	
22SEP17	B	1 PD22DEC17		10545.00	11659.02	USD	6,684.12	
22SEP17	B	2 PD22DEC17		10535.00	11659.02	USD	13,488.24	
22SEP17	S	1 PD22DEC17		10560.00	11659.02	USD	-6,594.12	
22SEP17	S	1 PD22DEC17		10555.00	11659.02	USD	-6,624.12	
22SEP17	S	1 PD22DEC17		10565.00	11659.02	USD	-6,564.12	
22SEP17	S	1 PD22DEC17		10600.00	11659.02	USD	-6,354.12	

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22SEP17	S	1	PD22DEC17	10615.00	11659.02	USD	-6,264.12	
22SEP17	S	1	PD22DEC17	10625.00	11659.02	USD	-6,204.12	
22SEP17	S	1	PD22DEC17	10620.00	11659.02	USD	-6,234.12	
22SEP17	S	1	PD22DEC17	10640.00	11659.02	USD	-6,114.12	
	NET	0 AVG BGHT 10553.13	SOLD 10597.50				2,130.00	0.00
29SEP17	B	1	PD29DEC17	10420.00	11664.35	USD	7,466.10	
29SEP17	B	2	PD29DEC17	10425.00	11664.35	USD	14,872.20	
29SEP17	B	1	PD29DEC17	10430.00	11664.35	USD	7,406.10	
29SEP17	B	1	PD29DEC17	10490.00	11664.35	USD	7,046.10	
	NET	+5 AVG BGHT 10438.00					36,790.50	0.00
03OCT17	B	1	PD03JAN18	10475.00	11668.15	USD	7,158.90	
03OCT17	B	1	PD03JAN18	10480.00	11668.15	USD	7,128.90	
03OCT17	B	1	PD03JAN18	10435.00	11668.15	USD	7,398.90	
03OCT17	B	2	PD03JAN18	10455.00	11668.15	USD	14,557.80	
03OCT17	S	3	PD03JAN18	10700.00	11668.15	USD	-17,426.70	
	NET	+2 AVG BGHT 10460.00	SOLD 10700.00				18,817.80	0.00
	<u>CMX SILVER 5,000 CMX</u>							
15SEP17	B	1	DEC17	1770.5	1741.1	USD	-1,470.00	
19SEP17	B	1	DEC17	1718.5	1741.1	USD	1,130.00	
19SEP17	B	2	DEC17	1721.0	1741.1	USD	2,010.00	
19SEP17	B	1	DEC17	1719.0	1741.1	USD	1,105.00	
29SEP17	B	1	DEC17	1679.0	1741.1	USD	3,105.00	
03OCT17	B	1	DEC17	1661.5	1741.1	USD	3,980.00	
03OCT17	B	1	DEC17	1661.0	1741.1	USD	4,005.00	
	NET	+8 AVG BGHT 1706.4					13,865.00	0.00
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
17JUL17	S	1	PD17OCT17	2824.50	3294.00	USD	-11,737.50	
17JUL17	S	1	PD17OCT17	2815.00	3294.00	USD	-11,975.00	
17JUL17	S	1	PD17OCT17	2816.50	3294.00	USD	-11,937.50	
17JUL17	S	1	PD17OCT17	2823.00	3294.00	USD	-11,775.00	
17JUL17	S	1	PD17OCT17	2814.00	3294.00	USD	-12,000.00	
17JUL17	S	1	PD17OCT17	2825.00	3294.00	USD	-11,725.00	
17JUL17	S	1	PD17OCT17	2835.50	3294.00	USD	-11,462.50	
17JUL17	S	1	PD17OCT17	2836.50	3294.00	USD	-11,437.50	
17JUL17	S	1	PD17OCT17	2840.00	3294.00	USD	-11,350.00	
17JUL17	S	2	PD17OCT17	2841.00	3294.00	USD	-22,650.00	
17JUL17	S	1	PD17OCT17	2842.50	3294.00	USD	-11,287.50	
17JUL17	S	1	PD17OCT17	2843.00	3294.00	USD	-11,275.00	
17JUL17	B	2	PD17OCT17	2822.50	3294.00	USD	23,575.00	
17JUL17	B	1	PD17OCT17	2823.50	3294.00	USD	11,762.50	
17JUL17	B	2	PD17OCT17	2821.50	3294.00	USD	23,625.00	
17JUL17	S	2	PD17OCT17	2816.00	3294.00	USD	-23,900.00	
17JUL17	S	1	PD17OCT17	2814.50	3294.00	USD	-11,987.50	
17JUL17	S	1	PD17OCT17	2824.00	3294.00	USD	-11,750.00	
17JUL17	B	1	PD17OCT17	2832.00	3294.00	USD	11,550.00	
17JUL17	B	1	PD17OCT17	2832.50	3294.00	USD	11,537.50	
17JUL17	B	2	PD17OCT17	2831.50	3294.00	USD	23,125.00	
19JUL17	B	8	PD17OCT17	2747.54	3294.00	USD	109,292.00	
	NET	0 AVG BGHT 2789.37	SOLD 2827.53				16,217.00	0.00
18JUL17	B	1	PD18OCT17	2799.50	3291.00	USD	12,287.50	
18JUL17	B	2	PD18OCT17	2792.00	3291.00	USD	24,950.00	
18JUL17	B	1	PD18OCT17	2793.00	3291.00	USD	12,450.00	
18JUL17	B	2	PD18OCT17	2795.00	3291.00	USD	24,800.00	
18JUL17	B	2	PD18OCT17	2795.50	3291.00	USD	24,775.00	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0094E

13th October 2017

Sapien Capital Limited
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backoffice@sapiencap.com

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Date	Open Position				Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>								
18JUL17	B	1	PD18OCT17		2796.50	3291.00	USD	12,362.50	
18JUL17	B	3	PD18OCT17		2800.00	3291.00	USD	36,825.00	
18JUL17	B	1	PD18OCT17		2801.00	3291.00	USD	12,250.00	
18JUL17	B	2	PD18OCT17		2779.00	3291.00	USD	25,600.00	
18JUL17	B	2	PD18OCT17		2777.00	3291.00	USD	25,700.00	
18JUL17	B	1	PD18OCT17		2777.50	3291.00	USD	12,837.50	
18JUL17	B	4	PD18OCT17		2778.00	3291.00	USD	51,300.00	
18JUL17	B	1	PD18OCT17		2774.50	3291.00	USD	12,912.50	
18JUL17	B	1	PD18OCT17		2775.50	3291.00	USD	12,887.50	
18JUL17	B	4	PD18OCT17		2776.50	3291.00	USD	51,450.00	
18JUL17	B	1	PD18OCT17		2773.00	3291.00	USD	12,950.00	
18JUL17	B	2	PD18OCT17		2773.50	3291.00	USD	25,875.00	
18JUL17	B	1	PD18OCT17		2775.00	3291.00	USD	12,900.00	
18JUL17	B	2	PD18OCT17		2771.00	3291.00	USD	26,000.00	
18JUL17	B	5	PD18OCT17		2771.50	3291.00	USD	64,937.50	
18JUL17	B	1	PD18OCT17		2772.50	3291.00	USD	12,962.50	
18JUL17	B	1	PD18OCT17		2798.00	3291.00	USD	12,325.00	
18JUL17	B	1	PD18OCT17		2800.50	3291.00	USD	12,262.50	
18JUL17	B	1	PD18OCT17		2774.00	3291.00	USD	12,925.00	
18JUL17	B	1	PD18OCT17		2772.00	3291.00	USD	12,975.00	
18JUL17	B	1	PD18OCT17		2781.00	3291.00	USD	12,750.00	
18JUL17	B	2	PD18OCT17		2780.00	3291.00	USD	25,550.00	
18JUL17	B	2	PD18OCT17		2780.50	3291.00	USD	25,525.00	
18JUL17	B	4	PD18OCT17		2779.50	3291.00	USD	51,150.00	
18JUL17	S	1	PD18OCT17		2794.00	3291.00	USD	-12,425.00	
18JUL17	S	2	PD18OCT17		2794.50	3291.00	USD	-24,825.00	
18JUL17	S	3	PD18OCT17		2795.00	3291.00	USD	-37,200.00	
18JUL17	S	30	PD18OCT17		2793.80	3291.00	USD	-372,900.00	
19JUL17	S	8	PD18OCT17		2747.55	3291.00	USD	-108,690.00	
21JUL17	S	9	PD18OCT17		2755.50	3291.00	USD	-120,487.50	
	NET	0	AVG BGHT 2781.96	SOLD 2780.41				-2,052.50	0.00
19JUL17	S	2	PD19OCT17		2795.50	3286.50	USD	-24,550.00	
19JUL17	S	2	PD19OCT17		2796.00	3286.50	USD	-24,525.00	
19JUL17	S	1	PD19OCT17		2796.50	3286.50	USD	-12,250.00	
19JUL17	B	1	PD19OCT17		2770.00	3286.50	USD	12,912.50	
19JUL17	B	1	PD19OCT17		2769.00	3286.50	USD	12,937.50	
19JUL17	B	1	PD19OCT17		2767.50	3286.50	USD	12,975.00	
19JUL17	B	1	PD19OCT17		2755.00	3286.50	USD	13,287.50	
19JUL17	B	1	PD19OCT17		2755.50	3286.50	USD	13,275.00	
19JUL17	B	1	PD19OCT17		2756.00	3286.50	USD	13,262.50	
19JUL17	B	1	PD19OCT17		2758.00	3286.50	USD	13,212.50	
19JUL17	B	1	PD19OCT17		2759.00	3286.50	USD	13,187.50	
19JUL17	B	1	PD19OCT17		2765.50	3286.50	USD	13,025.00	
19JUL17	B	1	PD19OCT17		2747.00	3286.50	USD	13,487.50	
19JUL17	B	1	PD19OCT17		2748.50	3286.50	USD	13,450.00	
19JUL17	S	1	PD19OCT17		2748.00	3286.50	USD	-13,462.50	
19JUL17	B	1	PD19OCT17		2742.50	3286.50	USD	13,600.00	
19JUL17	B	2	PD19OCT17		2744.00	3286.50	USD	27,125.00	
19JUL17	B	2	PD19OCT17		2737.50	3286.50	USD	27,450.00	
19JUL17	B	1	PD19OCT17		2741.50	3286.50	USD	13,625.00	
19JUL17	B	1	PD19OCT17		2743.00	3286.50	USD	13,587.50	
19JUL17	S	3	PD19OCT17		2739.00	3286.50	USD	-41,062.50	
19JUL17	S	6	PD19OCT17		2735.00	3286.50	USD	-82,725.00	
21JUL17	S	3	PD19OCT17		2753.50	3286.50	USD	-39,975.00	
	NET	0	AVG BGHT 2752.28	SOLD 2756.39				1,850.00	0.00
20JUL17	S	1	PD20OCT17		2737.50	3282.00	USD	-13,612.50	
20JUL17	S	1	PD20OCT17		2738.50	3282.00	USD	-13,587.50	

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20JUL17	S	1	PD200CT17	2739.00	3282.00	USD	-13,575.00	
20JUL17	S	1	PD200CT17	2743.50	3282.00	USD	-13,462.50	
20JUL17	S	2	PD200CT17	2738.00	3282.00	USD	-27,200.00	
20JUL17	S	1	PD200CT17	2752.00	3282.00	USD	-13,250.00	
20JUL17	S	3	PD200CT17	2752.50	3282.00	USD	-39,712.50	
20JUL17	S	1	PD200CT17	2753.50	3282.00	USD	-13,212.50	
20JUL17	S	1	PD200CT17	2755.50	3282.00	USD	-13,162.50	
20JUL17	S	1	PD200CT17	2756.00	3282.00	USD	-13,150.00	
20JUL17	S	2	PD200CT17	2757.50	3282.00	USD	-26,225.00	
20JUL17	S	2	PD200CT17	2749.00	3282.00	USD	-26,650.00	
20JUL17	S	2	PD200CT17	2746.50	3282.00	USD	-26,775.00	
20JUL17	S	5	PD200CT17	2741.00	3282.00	USD	-67,625.00	
20JUL17	B	1	PD200CT17	2744.50	3282.00	USD	13,437.50	
20JUL17	S	1	PD200CT17	2742.50	3282.00	USD	-13,487.50	
20JUL17	S	2	PD200CT17	2744.50	3282.00	USD	-26,875.00	
20JUL17	S	2	PD200CT17	2745.50	3282.00	USD	-26,825.00	
20JUL17	S	1	PD200CT17	2747.00	3282.00	USD	-13,375.00	
20JUL17	S	1	PD200CT17	2747.50	3282.00	USD	-13,362.50	
20JUL17	B	1	PD200CT17	2727.00	3282.00	USD	13,875.00	
21JUL17	S	1	PD200CT17	2747.00	3282.00	USD	-13,375.00	
21JUL17	S	1	PD200CT17	2748.00	3282.00	USD	-13,350.00	
21JUL17	S	2	PD200CT17	2749.50	3282.00	USD	-26,625.00	
21JUL17	B	1	PD200CT17	2749.50	3282.00	USD	13,312.50	
21JUL17	S	2	PD200CT17	2757.50	3282.00	USD	-26,225.00	
21JUL17	S	1	PD200CT17	2762.50	3282.00	USD	-12,987.50	
21JUL17	S	1	PD200CT17	2763.00	3282.00	USD	-12,975.00	
21JUL17	S	1	PD200CT17	2749.00	3282.00	USD	-13,325.00	
21JUL17	S	1	PD200CT17	2751.50	3282.00	USD	-13,262.50	
21JUL17	S	1	PD200CT17	2752.00	3282.00	USD	-13,250.00	
21JUL17	S	1	PD200CT17	2767.00	3282.00	USD	-12,875.00	
21JUL17	S	1	PD200CT17	2765.00	3282.00	USD	-12,925.00	
21JUL17	S	2	PD200CT17	2761.50	3282.00	USD	-26,025.00	
21JUL17	S	4	PD200CT17	2764.00	3282.00	USD	-51,800.00	
21JUL17	B	1	PD200CT17	2764.00	3282.00	USD	12,950.00	
21JUL17	S	1	PD200CT17	2766.00	3282.00	USD	-12,900.00	
21JUL17	S	1	PD200CT17	2766.50	3282.00	USD	-12,887.50	
21JUL17	S	1	PD200CT17	2769.50	3282.00	USD	-12,812.50	
21JUL17	S	1	PD200CT17	2770.50	3282.00	USD	-12,787.50	
21JUL17	S	2	PD200CT17	2771.00	3282.00	USD	-25,550.00	
21JUL17	S	1	PD200CT17	2776.00	3282.00	USD	-12,650.00	
21JUL17	S	1	PD200CT17	2772.50	3282.00	USD	-12,737.50	
21JUL17	S	1	PD200CT17	2773.50	3282.00	USD	-12,712.50	
21JUL17	B	2	PD200CT17	2768.50	3282.00	USD	25,675.00	
21JUL17	B	2	PD200CT17	2769.50	3282.00	USD	25,625.00	
21JUL17	S	2	PD200CT17	2771.50	3282.00	USD	-25,525.00	
21JUL17	B	1	PD200CT17	2772.00	3282.00	USD	12,750.00	
21JUL17	B	1	PD200CT17	2754.50	3282.00	USD	13,187.50	
21JUL17	B	1	PD200CT17	2744.50	3282.00	USD	13,437.50	
21JUL17	B	2	PD200CT17	2742.50	3282.00	USD	26,975.00	
21JUL17	B	1	PD200CT17	2744.00	3282.00	USD	13,450.00	
21JUL17	B	2	PD200CT17	2753.00	3282.00	USD	26,450.00	
21JUL17	B	1	PD200CT17	2753.50	3282.00	USD	13,212.50	
21JUL17	B	1	PD200CT17	2750.50	3282.00	USD	13,287.50	
21JUL17	B	2	PD200CT17	2751.00	3282.00	USD	26,550.00	
21JUL17	B	1	PD200CT17	2752.50	3282.00	USD	13,237.50	
21JUL17	B	22	PD200CT17	2753.70	3282.00	USD	290,565.00	
21JUL17	B	3	PD200CT17	2751.50	3282.00	USD	39,787.50	

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24JUL17	B	7	PD200CT17	2787.80	3282.00	USD	86,485.00	
25JUL17	B	8	PD200CT17	2835.37	3282.00	USD	89,326.00	
	NET	0AVG	BGHT 2768.18				-21,111.50	0.00
			SOLD 2754.34					
24JUL17	S	3	PD240CT17	2783.00	3276.00	USD	-36,975.00	
24JUL17	S	2	PD240CT17	2784.00	3276.00	USD	-24,600.00	
24JUL17	S	1	PD240CT17	2785.00	3276.00	USD	-12,275.00	
24JUL17	S	1	PD240CT17	2787.00	3276.00	USD	-12,225.00	
24JUL17	S	1	PD240CT17	2776.00	3276.00	USD	-12,500.00	
24JUL17	S	1	PD240CT17	2776.50	3276.00	USD	-12,487.50	
24JUL17	S	2	PD240CT17	2777.50	3276.00	USD	-24,925.00	
24JUL17	S	2	PD240CT17	2779.50	3276.00	USD	-24,825.00	
24JUL17	S	2	PD240CT17	2778.50	3276.00	USD	-24,875.00	
24JUL17	S	1	PD240CT17	2781.00	3276.00	USD	-12,375.00	
24JUL17	S	1	PD240CT17	2781.50	3276.00	USD	-12,362.50	
24JUL17	S	2	PD240CT17	2780.00	3276.00	USD	-24,800.00	
24JUL17	S	3	PD240CT17	2780.50	3276.00	USD	-37,162.50	
24JUL17	S	1	PD240CT17	2782.00	3276.00	USD	-12,350.00	
24JUL17	S	1	PD240CT17	2791.00	3276.00	USD	-12,125.00	
24JUL17	S	1	PD240CT17	2792.00	3276.00	USD	-12,100.00	
24JUL17	S	1	PD240CT17	2793.50	3276.00	USD	-12,062.50	
24JUL17	S	1	PD240CT17	2794.00	3276.00	USD	-12,050.00	
24JUL17	S	1	PD240CT17	2795.00	3276.00	USD	-12,025.00	
24JUL17	S	1	PD240CT17	2795.50	3276.00	USD	-12,012.50	
24JUL17	S	3	PD240CT17	2796.00	3276.00	USD	-36,000.00	
24JUL17	S	3	PD240CT17	2779.00	3276.00	USD	-37,275.00	
24JUL17	B	1	PD240CT17	2775.00	3276.00	USD	12,525.00	
24JUL17	B	1	PD240CT17	2775.50	3276.00	USD	12,512.50	
24JUL17	B	1	PD240CT17	2767.50	3276.00	USD	12,712.50	
24JUL17	B	1	PD240CT17	2768.50	3276.00	USD	12,687.50	
24JUL17	B	1	PD240CT17	2769.00	3276.00	USD	12,675.00	
24JUL17	B	2	PD240CT17	2773.00	3276.00	USD	25,150.00	
24JUL17	B	1	PD240CT17	2773.50	3276.00	USD	12,562.50	
24JUL17	B	2	PD240CT17	2771.50	3276.00	USD	25,225.00	
24JUL17	S	1	PD240CT17	2782.50	3276.00	USD	-12,337.50	
24JUL17	S	4	PD240CT17	2785.50	3276.00	USD	-49,050.00	
24JUL17	S	4	PD240CT17	2786.50	3276.00	USD	-48,950.00	
24JUL17	B	1	PD240CT17	2784.00	3276.00	USD	12,300.00	
24JUL17	B	2	PD240CT17	2785.00	3276.00	USD	24,550.00	
25JUL17	B	10	PD240CT17	2836.03	3276.00	USD	109,992.50	
26JUL17	S	2	PD240CT17	2807.71	3276.00	USD	-23,414.50	
27JUL17	B	14	PD240CT17	2800.70	3276.00	USD	166,355.00	
31JUL17	B	5	PD240CT17	2793.45	3276.00	USD	60,318.75	
02AUG17	B	4	PD240CT17	2799.00	3276.00	USD	47,700.00	
	NET	0AVG	BGHT 2800.12				-16,873.25	0.00
			SOLD 2785.44					
25JUL17	S	2	PD250CT17	2805.00	3273.00	USD	-23,400.00	
25JUL17	S	1	PD250CT17	2811.00	3273.00	USD	-11,550.00	
25JUL17	S	2	PD250CT17	2813.00	3273.00	USD	-23,000.00	
25JUL17	S	1	PD250CT17	2813.50	3273.00	USD	-11,487.50	
25JUL17	S	1	PD250CT17	2816.50	3273.00	USD	-11,412.50	
25JUL17	S	1	PD250CT17	2818.50	3273.00	USD	-11,362.50	
25JUL17	S	1	PD250CT17	2819.50	3273.00	USD	-11,337.50	
25JUL17	S	3	PD250CT17	2820.00	3273.00	USD	-33,975.00	
25JUL17	S	2	PD250CT17	2821.50	3273.00	USD	-22,575.00	
25JUL17	S	1	PD250CT17	2822.00	3273.00	USD	-11,275.00	
25JUL17	S	2	PD250CT17	2824.50	3273.00	USD	-22,425.00	
25JUL17	S	1	PD250CT17	2820.50	3273.00	USD	-11,312.50	
25JUL17	S	2	PD250CT17	2821.00	3273.00	USD	-22,600.00	

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25JUL17	S	2	PD250CT17	2822.50	3273.00	USD	-22,525.00	
25JUL17	S	2	PD250CT17	2823.00	3273.00	USD	-22,500.00	
25JUL17	S	1	PD250CT17	2825.50	3273.00	USD	-11,187.50	
25JUL17	S	1	PD250CT17	2826.00	3273.00	USD	-11,175.00	
25JUL17	S	2	PD250CT17	2827.00	3273.00	USD	-22,300.00	
25JUL17	S	1	PD250CT17	2827.50	3273.00	USD	-11,137.50	
25JUL17	S	2	PD250CT17	2836.00	3273.00	USD	-21,850.00	
25JUL17	S	2	PD250CT17	2837.00	3273.00	USD	-21,800.00	
25JUL17	S	1	PD250CT17	2834.50	3273.00	USD	-10,962.50	
25JUL17	S	1	PD250CT17	2835.00	3273.00	USD	-10,950.00	
25JUL17	S	1	PD250CT17	2830.00	3273.00	USD	-11,075.00	
25JUL17	S	3	PD250CT17	2805.50	3273.00	USD	-35,062.50	
25JUL17	S	2	PD250CT17	2804.50	3273.00	USD	-23,425.00	
25JUL17	B	1	PD250CT17	2827.50	3273.00	USD	11,137.50	
25JUL17	B	2	PD250CT17	2825.50	3273.00	USD	22,375.00	
25JUL17	B	1	PD250CT17	2823.00	3273.00	USD	11,250.00	
25JUL17	S	3	PD250CT17	2831.00	3273.00	USD	-33,150.00	
25JUL17	S	1	PD250CT17	2832.00	3273.00	USD	-11,025.00	
25JUL17	S	1	PD250CT17	2830.50	3273.00	USD	-11,062.50	
25JUL17	S	1	PD250CT17	2838.00	3273.00	USD	-10,875.00	
25JUL17	S	1	PD250CT17	2839.00	3273.00	USD	-10,850.00	
25JUL17	S	1	PD250CT17	2842.50	3273.00	USD	-10,762.50	
25JUL17	S	1	PD250CT17	2844.50	3273.00	USD	-10,712.50	
25JUL17	S	1	PD250CT17	2846.50	3273.00	USD	-10,662.50	
25JUL17	S	1	PD250CT17	2847.00	3273.00	USD	-10,650.00	
25JUL17	S	1	PD250CT17	2854.50	3273.00	USD	-10,462.50	
25JUL17	B	1	PD250CT17	2844.50	3273.00	USD	10,712.50	
25JUL17	B	1	PD250CT17	2836.50	3273.00	USD	10,912.50	
25JUL17	B	1	PD250CT17	2838.50	3273.00	USD	10,862.50	
25JUL17	B	1	PD250CT17	2839.00	3273.00	USD	10,850.00	
25JUL17	B	1	PD250CT17	2845.50	3273.00	USD	10,687.50	
25JUL17	B	1	PD250CT17	2846.00	3273.00	USD	10,675.00	
25JUL17	B	2	PD250CT17	2838.00	3273.00	USD	21,750.00	
25JUL17	B	6	PD250CT17	2837.50	3273.00	USD	65,325.00	
25JUL17	B	2	PD250CT17	2837.00	3273.00	USD	21,800.00	
25JUL17	B	10	PD250CT17	2834.15	3273.00	USD	109,712.50	
25JUL17	B	4	PD250CT17	2839.50	3273.00	USD	43,350.00	
25JUL17	B	3	PD250CT17	2840.00	3273.00	USD	32,475.00	
25JUL17	B	1	PD250CT17	2840.50	3273.00	USD	10,812.50	
25JUL17	B	1	PD250CT17	2841.50	3273.00	USD	10,787.50	
25JUL17	B	1	PD250CT17	2842.50	3273.00	USD	10,762.50	
25JUL17	B	3	PD250CT17	2843.50	3273.00	USD	32,212.50	
25JUL17	B	5	PD250CT17	2836.20	3273.00	USD	54,600.00	
26JUL17	B	2	PD250CT17	2808.06	3273.00	USD	23,247.00	
02AUG17	B	3	PD250CT17	2799.28	3273.00	USD	35,529.00	
	NET	0AVG BGHT 2833.89	SOLD 2824.79				-12,049.00	0.00
26JUL17	B	2	PD260CT17	2846.00	3271.87	USD	21,293.50	
26JUL17	B	1	PD260CT17	2846.50	3271.87	USD	10,634.25	
26JUL17	B	2	PD260CT17	2848.00	3271.87	USD	21,193.50	
26JUL17	B	1	PD260CT17	2848.50	3271.87	USD	10,584.25	
26JUL17	B	1	PD260CT17	2849.00	3271.87	USD	10,571.75	
26JUL17	B	1	PD260CT17	2821.50	3271.87	USD	11,259.25	
26JUL17	B	1	PD260CT17	2822.50	3271.87	USD	11,234.25	
26JUL17	B	1	PD260CT17	2824.50	3271.87	USD	11,184.25	
26JUL17	B	3	PD260CT17	2820.00	3271.87	USD	33,890.25	
26JUL17	B	1	PD260CT17	2808.50	3271.87	USD	11,584.25	
26JUL17	B	1	PD260CT17	2809.50	3271.87	USD	11,559.25	

OPEN POSITION STATEMENT



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SAP0094E

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backoffice@sapiencap.com

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26JUL17	B	1	PD260CT17		2810.00	3271.87	USD	11,546.75	
26JUL17	B	1	PD260CT17		2806.50	3271.87	USD	11,634.25	
26JUL17	B	3	PD260CT17		2808.00	3271.87	USD	34,790.25	
26JUL17	B	3	PD260CT17		2809.00	3271.87	USD	34,715.25	
26JUL17	B	1	PD260CT17		2810.50	3271.87	USD	11,534.25	
26JUL17	B	1	PD260CT17		2847.00	3271.87	USD	10,621.75	
26JUL17	B	1	PD260CT17		2805.50	3271.87	USD	11,659.25	
26JUL17	B	1	PD260CT17		2813.50	3271.87	USD	11,459.25	
26JUL17	B	1	PD260CT17		2806.00	3271.87	USD	11,646.75	
26JUL17	S	2	PD260CT17		2820.00	3271.87	USD	-22,593.50	
26JUL17	S	2	PD260CT17		2820.50	3271.87	USD	-22,568.50	
26JUL17	S	3	PD260CT17		2822.00	3271.87	USD	-33,740.25	
26JUL17	B	2	PD260CT17		2805.00	3271.87	USD	23,343.50	
26JUL17	B	2	PD260CT17		2811.50	3271.87	USD	23,018.50	
26JUL17	B	2	PD260CT17		2814.50	3271.87	USD	22,868.50	
26JUL17	B	1	PD260CT17		2816.00	3271.87	USD	11,396.75	
26JUL17	B	1	PD260CT17		2817.50	3271.87	USD	11,359.25	
26JUL17	B	3	PD260CT17		2807.50	3271.87	USD	34,827.75	
26JUL17	S	3	PD260CT17		2812.35	3271.87	USD	-34,464.00	
26JUL17	S	1	PD260CT17		2811.85	3271.87	USD	-11,500.50	
26JUL17	S	1	PD260CT17		2810.35	3271.87	USD	-11,538.00	
26JUL17	S	30	PD260CT17		2807.80	3271.87	USD	-348,052.50	
27JUL17	B	3	PD260CT17		2800.95	3271.87	USD	35,319.00	
	NET	0AVG BGHT 2817.84	SOLD 2810.48					-7,727.50	0.00
27JUL17	B	2	PD270CT17		2811.00	3270.73	USD	22,986.50	
27JUL17	B	1	PD270CT17		2812.50	3270.73	USD	11,455.75	
27JUL17	B	2	PD270CT17		2813.50	3270.73	USD	22,861.50	
27JUL17	S	1	PD270CT17		2824.00	3270.73	USD	-11,168.25	
27JUL17	S	1	PD270CT17		2827.50	3270.73	USD	-11,080.75	
27JUL17	S	1	PD270CT17		2829.50	3270.73	USD	-11,030.75	
27JUL17	S	1	PD270CT17		2830.50	3270.73	USD	-11,005.75	
27JUL17	S	1	PD270CT17		2832.50	3270.73	USD	-10,955.75	
27JUL17	S	1	PD270CT17		2833.00	3270.73	USD	-10,943.25	
27JUL17	S	1	PD270CT17		2832.00	3270.73	USD	-10,968.25	
27JUL17	S	1	PD270CT17		2833.50	3270.73	USD	-10,930.75	
27JUL17	S	2	PD270CT17		2831.50	3270.73	USD	-21,961.50	
27JUL17	S	4	PD270CT17		2821.00	3270.73	USD	-44,973.00	
27JUL17	S	2	PD270CT17		2815.00	3270.73	USD	-22,786.50	
27JUL17	S	1	PD270CT17		2830.00	3270.73	USD	-11,018.25	
27JUL17	S	1	PD270CT17		2812.50	3270.73	USD	-11,455.75	
27JUL17	B	1	PD270CT17		2820.00	3270.73	USD	11,268.25	
27JUL17	S	2	PD270CT17		2820.00	3270.73	USD	-22,536.50	
27JUL17	S	2	PD270CT17		2820.50	3270.73	USD	-22,511.50	
27JUL17	S	1	PD270CT17		2822.00	3270.73	USD	-11,218.25	
27JUL17	S	1	PD270CT17		2824.50	3270.73	USD	-11,155.75	
27JUL17	S	1	PD270CT17		2825.50	3270.73	USD	-11,130.75	
27JUL17	S	3	PD270CT17		2823.50	3270.73	USD	-33,542.25	
27JUL17	B	1	PD270CT17		2803.50	3270.73	USD	11,680.75	
27JUL17	B	2	PD270CT17		2804.50	3270.73	USD	23,311.50	
27JUL17	B	1	PD270CT17		2802.50	3270.73	USD	11,705.75	
27JUL17	B	1	PD270CT17		2789.00	3270.73	USD	12,043.25	
27JUL17	B	1	PD270CT17		2789.50	3270.73	USD	12,030.75	
27JUL17	B	1	PD270CT17		2792.50	3270.73	USD	11,955.75	
27JUL17	B	1	PD270CT17		2795.50	3270.73	USD	11,880.75	
27JUL17	B	1	PD270CT17		2796.00	3270.73	USD	11,868.25	
27JUL17	B	3	PD270CT17		2794.50	3270.73	USD	35,717.25	
27JUL17	B	1	PD270CT17		2793.50	3270.73	USD	11,930.75	

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27JUL17	B	1	PD27OCT17	2797.00	3270.73	USD	11,843.25	
28JUL17	B	1	PD27OCT17	2776.00	3270.73	USD	12,368.25	
28JUL17	B	1	PD27OCT17	2776.50	3270.73	USD	12,355.75	
28JUL17	B	1	PD27OCT17	2781.00	3270.73	USD	12,243.25	
28JUL17	B	2	PD27OCT17	2783.00	3270.73	USD	24,386.50	
28JUL17	B	1	PD27OCT17	2773.50	3270.73	USD	12,430.75	
28JUL17	B	1	PD27OCT17	2774.50	3270.73	USD	12,405.75	
28JUL17	B	2	PD27OCT17	2777.00	3270.73	USD	24,686.50	
28JUL17	B	3	PD27OCT17	2771.50	3270.73	USD	37,442.25	
28JUL17	B	3	PD27OCT17	2769.50	3270.73	USD	37,592.25	
28JUL17	B	4	PD27OCT17	2770.00	3270.73	USD	50,073.00	
28JUL17	B	2	PD27OCT17	2759.50	3270.73	USD	25,561.50	
28JUL17	B	1	PD27OCT17	2763.00	3270.73	USD	12,693.25	
28JUL17	B	1	PD27OCT17	2763.50	3270.73	USD	12,680.75	
28JUL17	B	1	PD27OCT17	2765.00	3270.73	USD	12,643.25	
28JUL17	B	1	PD27OCT17	2768.00	3270.73	USD	12,568.25	
28JUL17	B	1	PD27OCT17	2772.50	3270.73	USD	12,455.75	
28JUL17	B	1	PD27OCT17	2773.00	3270.73	USD	12,443.25	
28JUL17	B	2	PD27OCT17	2774.00	3270.73	USD	24,836.50	
28JUL17	B	2	PD27OCT17	2772.00	3270.73	USD	24,936.50	
28JUL17	B	1	PD27OCT17	2790.00	3270.73	USD	12,018.25	
28JUL17	B	1	PD27OCT17	2789.50	3270.73	USD	12,030.75	
28JUL17	B	4	PD27OCT17	2775.00	3270.73	USD	49,573.00	
28JUL17	B	2	PD27OCT17	2779.00	3270.73	USD	24,586.50	
28JUL17	B	1	PD27OCT17	2788.50	3270.73	USD	12,055.75	
28JUL17	B	1	PD27OCT17	2780.00	3270.73	USD	12,268.25	
28JUL17	B	1	PD27OCT17	2779.50	3270.73	USD	12,280.75	
28JUL17	S	35	PD27OCT17	2775.80	3270.73	USD	-433,063.75	
02AUG17	B	1	PD27OCT17	2799.83	3270.73	USD	11,772.50	
	NET	0	AVG BGHT 2783.16	SOLD 2797.44			22,491.75	0.00
31JUL17	B	1	PD31OCT17	2804.50	3268.47	USD	11,599.25	
31JUL17	B	1	PD31OCT17	2805.50	3268.47	USD	11,574.25	
31JUL17	S	1	PD31OCT17	2810.00	3268.47	USD	-11,461.75	
31JUL17	S	1	PD31OCT17	2810.50	3268.47	USD	-11,449.25	
31JUL17	B	1	PD31OCT17	2805.00	3268.47	USD	11,586.75	
31JUL17	B	1	PD31OCT17	2806.00	3268.47	USD	11,561.75	
31JUL17	B	2	PD31OCT17	2809.50	3268.47	USD	22,948.50	
31JUL17	B	1	PD31OCT17	2816.00	3268.47	USD	11,311.75	
31JUL17	B	3	PD31OCT17	2817.00	3268.47	USD	33,860.25	
31JUL17	S	4	PD31OCT17	2803.50	3268.47	USD	-46,497.00	
31JUL17	S	1	PD31OCT17	2811.00	3268.47	USD	-11,436.75	
31JUL17	S	1	PD31OCT17	2819.00	3268.47	USD	-11,236.75	
31JUL17	S	1	PD31OCT17	2819.50	3268.47	USD	-11,224.25	
31JUL17	S	1	PD31OCT17	2821.00	3268.47	USD	-11,186.75	
31JUL17	S	2	PD31OCT17	2821.50	3268.47	USD	-22,348.50	
31JUL17	S	1	PD31OCT17	2825.50	3268.47	USD	-11,074.25	
31JUL17	S	1	PD31OCT17	2826.00	3268.47	USD	-11,061.75	
31JUL17	S	2	PD31OCT17	2826.50	3268.47	USD	-22,098.50	
31JUL17	S	1	PD31OCT17	2827.50	3268.47	USD	-11,024.25	
31JUL17	B	1	PD31OCT17	2812.50	3268.47	USD	11,399.25	
31JUL17	S	1	PD31OCT17	2815.00	3268.47	USD	-11,336.75	
31JUL17	S	1	PD31OCT17	2806.00	3268.47	USD	-11,561.75	
31JUL17	B	1	PD31OCT17	2797.50	3268.47	USD	11,774.25	
31JUL17	B	1	PD31OCT17	2792.50	3268.47	USD	11,899.25	
31JUL17	B	1	PD31OCT17	2800.00	3268.47	USD	11,711.75	
31JUL17	B	1	PD31OCT17	2799.50	3268.47	USD	11,724.25	
31JUL17	B	3	PD31OCT17	2803.50	3268.47	USD	34,872.75	

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31JUL17	B	1	PD31OCT17	2798.50	3268.47	USD	11,749.25	
31JUL17	B	1	PD31OCT17	2799.00	3268.47	USD	11,736.75	
31JUL17	S	1	PD31OCT17	2806.50	3268.47	USD	-11,549.25	
31JUL17	B	1	PD31OCT17	2811.50	3268.47	USD	11,424.25	
31JUL17	S	1	PD31OCT17	2800.00	3268.47	USD	-11,711.75	
31JUL17	S	1	PD31OCT17	2802.50	3268.47	USD	-11,649.25	
31JUL17	S	1	PD31OCT17	2812.50	3268.47	USD	-11,399.25	
31JUL17	B	1	PD31OCT17	2811.00	3268.47	USD	11,436.75	
31JUL17	B	2	PD31OCT17	2794.50	3268.47	USD	23,698.50	
31JUL17	B	1	PD31OCT17	2795.50	3268.47	USD	11,824.25	
31JUL17	S	13	PD31OCT17	2794.80	3268.47	USD	-153,942.75	
31JUL17	B	1	PD31OCT17	2793.00	3268.47	USD	11,886.75	
02AUG17	B	1	PD31OCT17	2800.94	3268.47	USD	11,688.25	
04AUG17	B	7	PD31OCT17	2810.70	3268.47	USD	80,109.75	
07AUG17	B	2	PD31OCT17	2856.70	3268.47	USD	20,588.50	
	NET	0AVG BGHT 2808.51	SOLD 2807.08				-1,283.50	0.00
01AUG17	B	2	PD01NOV17	2786.00	3267.33	USD	24,066.50	
01AUG17	B	1	PD01NOV17	2789.50	3267.33	USD	11,945.75	
01AUG17	B	1	PD01NOV17	2773.50	3267.33	USD	12,345.75	
01AUG17	B	1	PD01NOV17	2774.00	3267.33	USD	12,333.25	
01AUG17	B	1	PD01NOV17	2774.50	3267.33	USD	12,320.75	
01AUG17	B	1	PD01NOV17	2769.50	3267.33	USD	12,445.75	
01AUG17	B	1	PD01NOV17	2766.50	3267.33	USD	12,520.75	
01AUG17	B	1	PD01NOV17	2787.50	3267.33	USD	11,995.75	
02AUG17	S	9	PD01NOV17	2801.02	3267.33	USD	-104,919.75	
	NET	0AVG BGHT 2778.56	SOLD 2801.02				5,054.50	0.00
02AUG17	S	1	PD02NOV17	2762.50	3266.20	USD	-12,592.50	
02AUG17	S	1	PD02NOV17	2763.00	3266.20	USD	-12,580.00	
02AUG17	S	1	PD02NOV17	2763.50	3266.20	USD	-12,567.50	
02AUG17	S	1	PD02NOV17	2769.50	3266.20	USD	-12,417.50	
02AUG17	S	1	PD02NOV17	2771.50	3266.20	USD	-12,367.50	
02AUG17	S	1	PD02NOV17	2772.50	3266.20	USD	-12,342.50	
02AUG17	S	1	PD02NOV17	2775.00	3266.20	USD	-12,280.00	
02AUG17	B	2	PD02NOV17	2768.00	3266.20	USD	24,910.00	
02AUG17	B	3	PD02NOV17	2763.00	3266.20	USD	37,740.00	
02AUG17	B	1	PD02NOV17	2766.00	3266.20	USD	12,505.00	
02AUG17	B	1	PD02NOV17	2766.50	3266.20	USD	12,492.50	
02AUG17	B	2	PD02NOV17	2767.50	3266.20	USD	24,935.00	
02AUG17	B	1	PD02NOV17	2765.50	3266.20	USD	12,517.50	
02AUG17	B	1	PD02NOV17	2764.50	3266.20	USD	12,542.50	
02AUG17	S	1	PD02NOV17	2786.50	3266.20	USD	-11,992.50	
02AUG17	S	1	PD02NOV17	2801.50	3266.20	USD	-11,617.50	
02AUG17	S	1	PD02NOV17	2802.00	3266.20	USD	-11,605.00	
02AUG17	S	1	PD02NOV17	2800.00	3266.20	USD	-11,655.00	
02AUG17	S	2	PD02NOV17	2803.50	3266.20	USD	-23,135.00	
07AUG17	B	2	PD02NOV17	2857.13	3266.20	USD	20,453.50	
27SEP17	S	6	PD02NOV17	3113.00	3266.20	USD	-22,980.00	
28SEP17	S	9	PD02NOV17	3154.50	3266.20	USD	-25,132.50	
28SEP17	S	45	PD02NOV17	3154.30	3266.20	USD	-125,887.50	
02OCT17	S	12	PD02NOV17	3242.00	3266.20	USD	-7,260.00	
03OCT17	S	20	PD02NOV17	3273.50	3266.20	USD	3,650.00	
11OCT17	B	6	PD02NOV17	3261.15	3266.20	USD	757.50	
11OCT17	B	8	PD02NOV17	3271.18	3266.20	USD	-996.00	
11OCT17	B	6	PD02NOV17	3267.15	3266.20	USD	-142.50	
	NET	-72AVG BGHT 3075.03	SOLD 3138.67				-177,047.50	0.00
03AUG17	S	1	PD03NOV17	2828.50	3265.07	USD	-10,914.25	

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
03AUG17	S	1	PD03NOV17	2830.00	3265.07	USD	-10,876.75	
03AUG17	S	1	PD03NOV17	2830.50	3265.07	USD	-10,864.25	
03AUG17	B	1	PD03NOV17	2811.00	3265.07	USD	11,351.75	
03AUG17	B	1	PD03NOV17	2811.50	3265.07	USD	11,339.25	
03AUG17	B	1	PD03NOV17	2813.50	3265.07	USD	11,289.25	
03AUG17	B	1	PD03NOV17	2818.00	3265.07	USD	11,176.75	
03AUG17	S	1	PD03NOV17	2817.00	3265.07	USD	-11,201.75	
03AUG17	S	1	PD03NOV17	2817.50	3265.07	USD	-11,189.25	
03AUG17	S	1	PD03NOV17	2818.00	3265.07	USD	-11,176.75	
03AUG17	S	1	PD03NOV17	2821.00	3265.07	USD	-11,101.75	
03AUG17	S	5	PD03NOV17	2819.50	3265.07	USD	-55,696.25	
03AUG17	S	1	PD03NOV17	2820.00	3265.07	USD	-11,126.75	
03AUG17	S	4	PD03NOV17	2829.00	3265.07	USD	-43,607.00	
03AUG17	S	2	PD03NOV17	2805.50	3265.07	USD	-22,978.50	
03AUG17	S	1	PD03NOV17	2806.50	3265.07	USD	-11,464.25	
03AUG17	S	1	PD03NOV17	2807.50	3265.07	USD	-11,439.25	
03AUG17	B	1	PD03NOV17	2794.00	3265.07	USD	11,776.75	
03AUG17	B	1	PD03NOV17	2794.50	3265.07	USD	11,764.25	
04AUG17	S	1	PD03NOV17	2805.50	3265.07	USD	-11,489.25	
04AUG17	S	5	PD03NOV17	2806.00	3265.07	USD	-57,383.75	
04AUG17	S	1	PD03NOV17	2807.50	3265.07	USD	-11,439.25	
04AUG17	S	1	PD03NOV17	2812.00	3265.07	USD	-11,326.75	
04AUG17	S	1	PD03NOV17	2822.00	3265.07	USD	-11,076.75	
04AUG17	S	3	PD03NOV17	2828.00	3265.07	USD	-32,780.25	
04AUG17	S	1	PD03NOV17	2829.00	3265.07	USD	-10,901.75	
04AUG17	S	1	PD03NOV17	2831.50	3265.07	USD	-10,839.25	
04AUG17	S	1	PD03NOV17	2832.00	3265.07	USD	-10,826.75	
04AUG17	S	2	PD03NOV17	2833.00	3265.07	USD	-21,603.50	
04AUG17	S	1	PD03NOV17	2825.00	3265.07	USD	-11,001.75	
04AUG17	B	1	PD03NOV17	2812.00	3265.07	USD	11,326.75	
04AUG17	B	1	PD03NOV17	2814.00	3265.07	USD	11,276.75	
04AUG17	B	3	PD03NOV17	2816.50	3265.07	USD	33,642.75	
04AUG17	B	2	PD03NOV17	2817.00	3265.07	USD	22,403.50	
04AUG17	B	3	PD03NOV17	2809.50	3265.07	USD	34,167.75	
04AUG17	B	2	PD03NOV17	2804.00	3265.07	USD	23,053.50	
04AUG17	B	1	PD03NOV17	2797.00	3265.07	USD	11,701.75	
04AUG17	B	2	PD03NOV17	2802.00	3265.07	USD	23,153.50	
04AUG17	B	1	PD03NOV17	2802.50	3265.07	USD	11,564.25	
04AUG17	B	2	PD03NOV17	2803.00	3265.07	USD	23,103.50	
04AUG17	B	1	PD03NOV17	2798.50	3265.07	USD	11,664.25	
04AUG17	S	1	PD03NOV17	2816.00	3265.07	USD	-11,226.75	
04AUG17	S	2	PD03NOV17	2816.50	3265.07	USD	-22,428.50	
04AUG17	S	2	PD03NOV17	2817.00	3265.07	USD	-22,403.50	
04AUG17	B	2	PD03NOV17	2813.50	3265.07	USD	22,578.50	
04AUG17	S	4	PD03NOV17	2813.50	3265.07	USD	-45,157.00	
04AUG17	S	2	PD03NOV17	2814.50	3265.07	USD	-22,528.50	
04AUG17	S	2	PD03NOV17	2812.50	3265.07	USD	-22,628.50	
04AUG17	S	1	PD03NOV17	2814.00	3265.07	USD	-11,276.75	
04AUG17	S	3	PD03NOV17	2815.00	3265.07	USD	-33,755.25	
04AUG17	S	1	PD03NOV17	2815.50	3265.07	USD	-11,239.25	
04AUG17	S	1	PD03NOV17	2818.00	3265.07	USD	-11,176.75	
07AUG17	B	19	PD03NOV17	2857.34	3265.07	USD	193,671.75	
08AUG17	B	1	PD03NOV17	2924.70	3265.07	USD	8,509.25	
09AUG17	B	11	PD03NOV17	2930.53	3265.07	USD	91,998.50	
	NET	0 AVG BGHT 2849.54	SOLD 2818.09				-45,612.25	0.00
07AUG17	S	1	PD07NOV17	2817.00	3262.80	USD	-11,145.00	
07AUG17	S	3	PD07NOV17	2819.50	3262.80	USD	-33,247.50	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0094E

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

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FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
07AUG17	S	2	PD07NOV17	2820.00	3262.80	USD	-22,140.00	
07AUG17	S	1	PD07NOV17	2822.00	3262.80	USD	-11,020.00	
07AUG17	B	1	PD07NOV17	2826.00	3262.80	USD	10,920.00	
07AUG17	S	3	PD07NOV17	2826.50	3262.80	USD	-32,722.50	
07AUG17	S	1	PD07NOV17	2827.50	3262.80	USD	-10,882.50	
07AUG17	S	1	PD07NOV17	2826.00	3262.80	USD	-10,920.00	
07AUG17	S	3	PD07NOV17	2825.50	3262.80	USD	-32,797.50	
07AUG17	S	1	PD07NOV17	2831.50	3262.80	USD	-10,782.50	
07AUG17	S	3	PD07NOV17	2831.00	3262.80	USD	-32,385.00	
07AUG17	S	1	PD07NOV17	2833.00	3262.80	USD	-10,745.00	
07AUG17	S	4	PD07NOV17	2821.00	3262.80	USD	-44,180.00	
07AUG17	S	1	PD07NOV17	2859.00	3262.80	USD	-10,095.00	
07AUG17	S	1	PD07NOV17	2862.00	3262.80	USD	-10,020.00	
07AUG17	S	1	PD07NOV17	2863.00	3262.80	USD	-9,995.00	
07AUG17	S	1	PD07NOV17	2864.50	3262.80	USD	-9,957.50	
07AUG17	B	1	PD07NOV17	2862.00	3262.80	USD	10,020.00	
07AUG17	S	1	PD07NOV17	2863.50	3262.80	USD	-9,982.50	
07AUG17	S	1	PD07NOV17	2865.50	3262.80	USD	-9,932.50	
07AUG17	S	1	PD07NOV17	2874.50	3262.80	USD	-9,707.50	
07AUG17	S	1	PD07NOV17	2875.00	3262.80	USD	-9,695.00	
07AUG17	B	1	PD07NOV17	2878.50	3262.80	USD	9,607.50	
07AUG17	B	1	PD07NOV17	2883.50	3262.80	USD	9,482.50	
07AUG17	B	1	PD07NOV17	2884.50	3262.80	USD	9,457.50	
07AUG17	S	2	PD07NOV17	2881.00	3262.80	USD	-19,090.00	
07AUG17	B	1	PD07NOV17	2875.00	3262.80	USD	9,695.00	
07AUG17	B	1	PD07NOV17	2879.00	3262.80	USD	9,595.00	
07AUG17	B	2	PD07NOV17	2880.50	3262.80	USD	19,115.00	
07AUG17	B	1	PD07NOV17	2881.00	3262.80	USD	9,545.00	
07AUG17	B	1	PD07NOV17	2864.00	3262.80	USD	9,970.00	
07AUG17	B	1	PD07NOV17	2864.50	3262.80	USD	9,957.50	
07AUG17	B	3	PD07NOV17	2871.00	3262.80	USD	29,385.00	
07AUG17	B	1	PD07NOV17	2868.00	3262.80	USD	9,870.00	
07AUG17	B	1	PD07NOV17	2868.50	3262.80	USD	9,857.50	
07AUG17	B	2	PD07NOV17	2869.50	3262.80	USD	19,665.00	
07AUG17	B	3	PD07NOV17	2871.50	3262.80	USD	29,347.50	
07AUG17	B	2	PD07NOV17	2872.00	3262.80	USD	19,540.00	
07AUG17	B	1	PD07NOV17	2872.50	3262.80	USD	9,757.50	
09AUG17	B	9	PD07NOV17	2931.31	3262.80	USD	74,585.25	
	NET	0AVG	BGHT 2887.07				-42,069.75	0.00
08AUG17	B	1	PD08NOV17	2871.00	3261.67	USD	9,766.75	
08AUG17	B	1	PD08NOV17	2872.50	3261.67	USD	9,729.25	
08AUG17	B	1	PD08NOV17	2873.50	3261.67	USD	9,704.25	
08AUG17	B	2	PD08NOV17	2874.00	3261.67	USD	19,383.50	
08AUG17	B	2	PD08NOV17	2875.00	3261.67	USD	19,333.50	
08AUG17	B	1	PD08NOV17	2878.50	3261.67	USD	9,579.25	
08AUG17	S	1	PD08NOV17	2875.00	3261.67	USD	-9,666.75	
08AUG17	S	1	PD08NOV17	2877.00	3261.67	USD	-9,616.75	
08AUG17	S	1	PD08NOV17	2877.50	3261.67	USD	-9,604.25	
08AUG17	S	2	PD08NOV17	2872.50	3261.67	USD	-19,458.50	
08AUG17	S	1	PD08NOV17	2873.00	3261.67	USD	-9,716.75	
08AUG17	S	1	PD08NOV17	2875.50	3261.67	USD	-9,654.25	
08AUG17	S	1	PD08NOV17	2876.00	3261.67	USD	-9,641.75	
08AUG17	S	3	PD08NOV17	2882.00	3261.67	USD	-28,475.25	
08AUG17	S	2	PD08NOV17	2886.00	3261.67	USD	-18,783.50	
08AUG17	S	2	PD08NOV17	2887.50	3261.67	USD	-18,708.50	
08AUG17	S	1	PD08NOV17	2883.50	3261.67	USD	-9,454.25	
08AUG17	B	2	PD08NOV17	2870.50	3261.67	USD	19,558.50	

OPEN POSITION STATEMENT



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13th October 2017

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
08AUG17	S	1	PD08NOV17	2884.50	3261.67	USD	-9,429.25	
08AUG17	S	2	PD08NOV17	2880.00	3261.67	USD	-19,083.50	
08AUG17	S	1	PD08NOV17	2882.50	3261.67	USD	-9,479.25	
08AUG17	S	1	PD08NOV17	2876.50	3261.67	USD	-9,629.25	
08AUG17	B	3	PD08NOV17	2877.50	3261.67	USD	28,812.75	
08AUG17	S	3	PD08NOV17	2878.50	3261.67	USD	-28,737.75	
08AUG17	S	1	PD08NOV17	2879.00	3261.67	USD	-9,566.75	
08AUG17	S	2	PD08NOV17	2880.50	3261.67	USD	-19,058.50	
08AUG17	S	1	PD08NOV17	2904.00	3261.67	USD	-8,941.75	
08AUG17	S	1	PD08NOV17	2919.00	3261.67	USD	-8,566.75	
08AUG17	S	2	PD08NOV17	2921.00	3261.67	USD	-17,033.50	
08AUG17	S	1	PD08NOV17	2921.50	3261.67	USD	-8,504.25	
08AUG17	S	3	PD08NOV17	2881.00	3261.67	USD	-28,550.25	
08AUG17	S	1	PD08NOV17	2931.00	3261.67	USD	-8,266.75	
08AUG17	S	3	PD08NOV17	2926.00	3261.67	USD	-25,175.25	
08AUG17	S	3	PD08NOV17	2929.00	3261.67	USD	-24,950.25	
08AUG17	S	2	PD08NOV17	2930.50	3261.67	USD	-16,558.50	
08AUG17	S	1	PD08NOV17	2933.00	3261.67	USD	-8,216.75	
08AUG17	S	1	PD08NOV17	2934.00	3261.67	USD	-8,191.75	
08AUG17	S	1	PD08NOV17	2934.50	3261.67	USD	-8,179.25	
08AUG17	S	1	PD08NOV17	2938.00	3261.67	USD	-8,091.75	
08AUG17	S	2	PD08NOV17	2925.50	3261.67	USD	-16,808.50	
08AUG17	S	1	PD08NOV17	2929.50	3261.67	USD	-8,304.25	
09AUG17	B	25	PD08NOV17	2931.51	3261.67	USD	206,350.00	
10AUG17	B	2	PD08NOV17	2937.84	3261.67	USD	16,191.50	
11AUG17	B	8	PD08NOV17	2896.14	3261.67	USD	73,106.00	
15AUG17	B	3	PD08NOV17	2958.76	3261.67	USD	22,718.25	
	NET	0AVG BGHT 2913.25	SOLD 2899.24				-17,870.75	0.00
09AUG17	S	1	PD09NOV17	2936.00	3260.53	USD	-8,113.25	
09AUG17	S	1	PD09NOV17	2936.50	3260.53	USD	-8,100.75	
09AUG17	S	1	PD09NOV17	2943.00	3260.53	USD	-7,938.25	
09AUG17	S	2	PD09NOV17	2943.50	3260.53	USD	-15,851.50	
09AUG17	S	1	PD09NOV17	2944.00	3260.53	USD	-7,913.25	
09AUG17	S	1	PD09NOV17	2945.00	3260.53	USD	-7,888.25	
09AUG17	S	1	PD09NOV17	2945.50	3260.53	USD	-7,875.75	
09AUG17	S	1	PD09NOV17	2950.00	3260.53	USD	-7,763.25	
09AUG17	S	1	PD09NOV17	2951.50	3260.53	USD	-7,725.75	
09AUG17	S	1	PD09NOV17	2953.00	3260.53	USD	-7,688.25	
09AUG17	S	1	PD09NOV17	2955.50	3260.53	USD	-7,625.75	
09AUG17	S	1	PD09NOV17	2968.50	3260.53	USD	-7,300.75	
09AUG17	S	1	PD09NOV17	2970.00	3260.53	USD	-7,263.25	
09AUG17	S	2	PD09NOV17	2975.50	3260.53	USD	-14,251.50	
09AUG17	S	1	PD09NOV17	2976.00	3260.53	USD	-7,113.25	
09AUG17	S	3	PD09NOV17	2976.50	3260.53	USD	-21,302.25	
09AUG17	S	1	PD09NOV17	2977.00	3260.53	USD	-7,088.25	
09AUG17	S	2	PD09NOV17	2979.50	3260.53	USD	-14,051.50	
09AUG17	S	1	PD09NOV17	2982.00	3260.53	USD	-6,963.25	
09AUG17	S	2	PD09NOV17	2937.50	3260.53	USD	-16,151.50	
09AUG17	S	3	PD09NOV17	2937.00	3260.53	USD	-24,264.75	
09AUG17	S	2	PD09NOV17	2978.00	3260.53	USD	-14,126.50	
09AUG17	S	1	PD09NOV17	2964.00	3260.53	USD	-7,413.25	
09AUG17	S	1	PD09NOV17	2966.00	3260.53	USD	-7,363.25	
09AUG17	B	1	PD09NOV17	2940.50	3260.53	USD	8,000.75	
09AUG17	B	1	PD09NOV17	2942.00	3260.53	USD	7,963.25	
09AUG17	B	1	PD09NOV17	2945.00	3260.53	USD	7,888.25	
09AUG17	B	1	PD09NOV17	2957.50	3260.53	USD	7,575.75	
09AUG17	S	2	PD09NOV17	2956.00	3260.53	USD	-15,226.50	

OPEN POSITION STATEMENT



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SAP0094E

13th October 2017

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>								
09AUG17	B	4	PD09NOV17		2934.00	3260.53	USD	32,653.00	
09AUG17	B	1	PD09NOV17		2937.00	3260.53	USD	8,088.25	
15AUG17	B	7	PD09NOV17		2958.89	3260.53	USD	52,787.00	
16AUG17	B	2	PD09NOV17		3120.01	3260.53	USD	7,026.00	
18AUG17	B	14	PD09NOV17		3125.69	3260.53	USD	47,194.00	
29AUG17	B	3	PD09NOV17		3109.20	3260.53	USD	11,349.75	
	NET	0AVG	BGHT 3042.79	SOLD 2958.40				-73,837.75	0.00
10AUG17	B	1	PD10NOV17		2945.50	3259.40	USD	7,847.50	
10AUG17	B	1	PD10NOV17		2946.00	3259.40	USD	7,835.00	
10AUG17	B	1	PD10NOV17		2946.50	3259.40	USD	7,822.50	
10AUG17	B	1	PD10NOV17		2947.00	3259.40	USD	7,810.00	
10AUG17	S	2	PD10NOV17		2947.50	3259.40	USD	-15,595.00	
10AUG17	S	1	PD10NOV17		2948.50	3259.40	USD	-7,772.50	
10AUG17	S	1	PD10NOV17		2949.50	3259.40	USD	-7,747.50	
10AUG17	B	2	PD10NOV17		2947.50	3259.40	USD	15,595.00	
10AUG17	B	1	PD10NOV17		2920.50	3259.40	USD	8,472.50	
10AUG17	B	1	PD10NOV17		2924.00	3259.40	USD	8,385.00	
10AUG17	S	1	PD10NOV17		2924.00	3259.40	USD	-8,385.00	
10AUG17	B	1	PD10NOV17		2933.00	3259.40	USD	8,160.00	
10AUG17	B	2	PD10NOV17		2930.50	3259.40	USD	16,445.00	
10AUG17	B	1	PD10NOV17		2929.00	3259.40	USD	8,260.00	
10AUG17	B	1	PD10NOV17		2929.50	3259.40	USD	8,247.50	
11AUG17	B	1	PD10NOV17		2901.00	3259.40	USD	8,960.00	
11AUG17	B	1	PD10NOV17		2909.00	3259.40	USD	8,760.00	
11AUG17	S	1	PD10NOV17		2905.00	3259.40	USD	-8,860.00	
11AUG17	S	1	PD10NOV17		2908.00	3259.40	USD	-8,785.00	
11AUG17	S	1	PD10NOV17		2910.50	3259.40	USD	-8,722.50	
11AUG17	B	1	PD10NOV17		2889.00	3259.40	USD	9,260.00	
11AUG17	B	2	PD10NOV17		2890.50	3259.40	USD	18,445.00	
11AUG17	B	1	PD10NOV17		2891.00	3259.40	USD	9,210.00	
11AUG17	B	1	PD10NOV17		2892.50	3259.40	USD	9,172.50	
11AUG17	B	1	PD10NOV17		2894.50	3259.40	USD	9,122.50	
11AUG17	B	1	PD10NOV17		2896.00	3259.40	USD	9,085.00	
11AUG17	B	1	PD10NOV17		2903.00	3259.40	USD	8,910.00	
11AUG17	B	2	PD10NOV17		2904.50	3259.40	USD	17,745.00	
11AUG17	B	2	PD10NOV17		2907.50	3259.40	USD	17,595.00	
11AUG17	S	1	PD10NOV17		2893.00	3259.40	USD	-9,160.00	
11AUG17	B	2	PD10NOV17		2897.00	3259.40	USD	18,120.00	
11AUG17	B	1	PD10NOV17		2900.00	3259.40	USD	8,985.00	
11AUG17	S	1	PD10NOV17		2897.00	3259.40	USD	-9,060.00	
11AUG17	S	1	PD10NOV17		2926.00	3259.40	USD	-8,335.00	
11AUG17	S	2	PD10NOV17		2899.00	3259.40	USD	-18,020.00	
11AUG17	S	1	PD10NOV17		2909.50	3259.40	USD	-8,747.50	
11AUG17	S	1	PD10NOV17		2925.00	3259.40	USD	-8,360.00	
11AUG17	B	1	PD10NOV17		2906.00	3259.40	USD	8,835.00	
11AUG17	B	1	PD10NOV17		2909.50	3259.40	USD	8,747.50	
11AUG17	B	3	PD10NOV17		2911.00	3259.40	USD	26,130.00	
11AUG17	B	1	PD10NOV17		2905.50	3259.40	USD	8,847.50	
11AUG17	B	1	PD10NOV17		2891.50	3259.40	USD	9,197.50	
11AUG17	B	1	PD10NOV17		2895.50	3259.40	USD	9,097.50	
11AUG17	B	2	PD10NOV17		2898.50	3259.40	USD	18,045.00	
11AUG17	B	1	PD10NOV17		2899.50	3259.40	USD	8,997.50	
11AUG17	S	8	PD10NOV17		2896.30	3259.40	USD	-72,620.00	
11AUG17	S	3	PD10NOV17		2902.00	3259.40	USD	-26,805.00	
14AUG17	S	15	PD10NOV17		2916.16	3259.40	USD	-128,715.00	
	NET	0AVG	BGHT 2911.94	SOLD 2912.39				457.50	0.00
14AUG17	S	2	PD14NOV17		2917.00	3257.13	USD	-17,006.50	

OPEN POSITION STATEMENT



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SAP0094E

13th October 2017

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backoffice@sapiencap.com

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
14AUG17	S	1	PD14NOV17	2920.00	3257.13	USD	-8,428.25	
14AUG17	S	1	PD14NOV17	2918.00	3257.13	USD	-8,478.25	
14AUG17	S	2	PD14NOV17	2914.00	3257.13	USD	-17,156.50	
14AUG17	B	1	PD14NOV17	2899.50	3257.13	USD	8,940.75	
14AUG17	B	2	PD14NOV17	2905.50	3257.13	USD	17,581.50	
14AUG17	S	1	PD14NOV17	2913.50	3257.13	USD	-8,590.75	
14AUG17	S	1	PD14NOV17	2915.50	3257.13	USD	-8,540.75	
14AUG17	S	8	PD14NOV17	2916.80	3257.13	USD	-68,066.00	
14AUG17	S	3	PD14NOV17	2914.50	3257.13	USD	-25,697.25	
14AUG17	S	6	PD14NOV17	2915.00	3257.13	USD	-51,319.50	
29AUG17	B	7	PD14NOV17	3109.95	3257.13	USD	25,756.50	
31AUG17	B	2	PD14NOV17	3145.45	3257.13	USD	5,584.00	
05SEP17	B	13	PD14NOV17	3138.95	3257.13	USD	38,408.50	
	NET	0 AVG	BGHT 3103.10		SOLD 2915.88		-117,012.50	0.00
16AUG17	S	1	PD16NOV17	2980.00	3253.50	USD	-6,837.50	
16AUG17	S	2	PD16NOV17	2982.00	3253.50	USD	-13,575.00	
16AUG17	S	1	PD16NOV17	2984.00	3253.50	USD	-6,737.50	
16AUG17	S	1	PD16NOV17	2985.00	3253.50	USD	-6,712.50	
16AUG17	S	1	PD16NOV17	2986.00	3253.50	USD	-6,687.50	
16AUG17	S	1	PD16NOV17	2986.50	3253.50	USD	-6,675.00	
16AUG17	S	2	PD16NOV17	2988.50	3253.50	USD	-13,250.00	
16AUG17	S	1	PD16NOV17	2990.00	3253.50	USD	-6,587.50	
16AUG17	S	1	PD16NOV17	2991.50	3253.50	USD	-6,550.00	
16AUG17	S	1	PD16NOV17	2995.00	3253.50	USD	-6,462.50	
16AUG17	S	1	PD16NOV17	2995.50	3253.50	USD	-6,450.00	
16AUG17	S	1	PD16NOV17	2997.50	3253.50	USD	-6,400.00	
16AUG17	S	1	PD16NOV17	3011.00	3253.50	USD	-6,062.50	
16AUG17	S	1	PD16NOV17	3013.50	3253.50	USD	-6,000.00	
16AUG17	S	1	PD16NOV17	3010.00	3253.50	USD	-6,087.50	
16AUG17	S	1	PD16NOV17	3012.50	3253.50	USD	-6,025.00	
16AUG17	S	2	PD16NOV17	3013.00	3253.50	USD	-12,025.00	
16AUG17	S	1	PD16NOV17	3012.00	3253.50	USD	-6,037.50	
16AUG17	S	2	PD16NOV17	3019.50	3253.50	USD	-11,700.00	
16AUG17	S	2	PD16NOV17	3020.50	3253.50	USD	-11,650.00	
16AUG17	S	2	PD16NOV17	2981.00	3253.50	USD	-13,625.00	
16AUG17	S	1	PD16NOV17	3030.50	3253.50	USD	-5,575.00	
16AUG17	S	1	PD16NOV17	3031.00	3253.50	USD	-5,562.50	
16AUG17	S	1	PD16NOV17	3033.00	3253.50	USD	-5,512.50	
16AUG17	S	1	PD16NOV17	3034.50	3253.50	USD	-5,475.00	
16AUG17	S	1	PD16NOV17	3035.50	3253.50	USD	-5,450.00	
16AUG17	B	1	PD16NOV17	3106.50	3253.50	USD	3,675.00	
16AUG17	B	1	PD16NOV17	3111.50	3253.50	USD	3,550.00	
16AUG17	B	1	PD16NOV17	3113.50	3253.50	USD	3,500.00	
16AUG17	B	2	PD16NOV17	3107.00	3253.50	USD	7,325.00	
16AUG17	B	1	PD16NOV17	3108.00	3253.50	USD	3,637.50	
16AUG17	B	1	PD16NOV17	3108.50	3253.50	USD	3,625.00	
16AUG17	B	1	PD16NOV17	3109.50	3253.50	USD	3,600.00	
16AUG17	B	5	PD16NOV17	3020.16	3253.50	USD	29,167.50	
16AUG17	B	5	PD16NOV17	3019.66	3253.50	USD	29,230.00	
16AUG17	B	1	PD16NOV17	3109.00	3253.50	USD	3,612.50	
16AUG17	B	1	PD16NOV17	3110.50	3253.50	USD	3,575.00	
16AUG17	B	1	PD16NOV17	3111.00	3253.50	USD	3,562.50	
16AUG17	B	1	PD16NOV17	3119.00	3253.50	USD	3,362.50	
16AUG17	B	1	PD16NOV17	3119.50	3253.50	USD	3,350.00	
16AUG17	B	1	PD16NOV17	3120.50	3253.50	USD	3,325.00	
16AUG17	B	1	PD16NOV17	3121.00	3253.50	USD	3,312.50	
16AUG17	B	4	PD16NOV17	3120.00	3253.50	USD	13,350.00	

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
29AUG17	B	3	PD16NOV17	3107.50	3253.50	USD	10,950.00	
	NET	0 AVG BGHT 3083.86	SOLD 3003.86				-64,002.50	0.00
17AUG17	B	2	PD17NOV17	3141.00	3251.00	USD	5,500.00	
17AUG17	B	2	PD17NOV17	3141.50	3251.00	USD	5,475.00	
17AUG17	B	7	PD17NOV17	3142.00	3251.00	USD	19,075.00	
17AUG17	B	1	PD17NOV17	3114.00	3251.00	USD	3,425.00	
17AUG17	B	1	PD17NOV17	3115.00	3251.00	USD	3,400.00	
17AUG17	B	1	PD17NOV17	3118.50	3251.00	USD	3,312.50	
17AUG17	B	1	PD17NOV17	3119.50	3251.00	USD	3,287.50	
17AUG17	S	1	PD17NOV17	3105.50	3251.00	USD	-3,637.50	
17AUG17	B	1	PD17NOV17	3094.00	3251.00	USD	3,925.00	
17AUG17	S	1	PD17NOV17	3087.00	3251.00	USD	-4,100.00	
17AUG17	S	1	PD17NOV17	3090.00	3251.00	USD	-4,025.00	
17AUG17	S	1	PD17NOV17	3092.00	3251.00	USD	-3,975.00	
17AUG17	B	1	PD17NOV17	3103.00	3251.00	USD	3,700.00	
17AUG17	B	1	PD17NOV17	3056.50	3251.00	USD	4,862.50	
18AUG17	B	1	PD17NOV17	3094.50	3251.00	USD	3,912.50	
18AUG17	S	1	PD17NOV17	3093.00	3251.00	USD	-3,950.00	
18AUG17	B	1	PD17NOV17	3114.50	3251.00	USD	3,412.50	
18AUG17	B	1	PD17NOV17	3111.50	3251.00	USD	3,487.50	
18AUG17	B	1	PD17NOV17	3112.00	3251.00	USD	3,475.00	
18AUG17	S	2	PD17NOV17	3109.50	3251.00	USD	-7,075.00	
18AUG17	S	2	PD17NOV17	3094.50	3251.00	USD	-7,825.00	
18AUG17	S	1	PD17NOV17	3118.00	3251.00	USD	-3,325.00	
18AUG17	S	1	PD17NOV17	3120.50	3251.00	USD	-3,262.50	
18AUG17	S	1	PD17NOV17	3124.50	3251.00	USD	-3,162.50	
18AUG17	S	1	PD17NOV17	3126.00	3251.00	USD	-3,125.00	
18AUG17	S	1	PD17NOV17	3123.00	3251.00	USD	-3,200.00	
18AUG17	S	2	PD17NOV17	3124.00	3251.00	USD	-6,350.00	
18AUG17	B	1	PD17NOV17	3113.50	3251.00	USD	3,437.50	
18AUG17	B	1	PD17NOV17	3114.00	3251.00	USD	3,425.00	
18AUG17	B	1	PD17NOV17	3109.50	3251.00	USD	3,537.50	
18AUG17	S	1	PD17NOV17	3125.50	3251.00	USD	-3,137.50	
18AUG17	S	14	PD17NOV17	3123.80	3251.00	USD	-44,520.00	
23AUG17	B	2	PD17NOV17	3094.70	3251.00	USD	7,815.00	
29AUG17	B	4	PD17NOV17	3107.00	3251.00	USD	14,400.00	
	NET	0 AVG BGHT 3118.27	SOLD 3115.94				-1,805.00	0.00
21AUG17	B	2	PD21NOV17	3174.50	3249.25	USD	3,737.50	
21AUG17	B	1	PD21NOV17	3127.00	3249.25	USD	3,056.25	
29AUG17	S	3	PD21NOV17	3107.94	3249.25	USD	-10,598.25	
	NET	0 AVG BGHT 3158.67	SOLD 3107.94				-3,804.50	0.00
22AUG17	S	1	PD22NOV17	3118.00	3248.38	USD	-3,259.50	
22AUG17	S	1	PD22NOV17	3121.00	3248.38	USD	-3,184.50	
22AUG17	B	1	PD22NOV17	3101.50	3248.38	USD	3,672.00	
22AUG17	B	1	PD22NOV17	3104.00	3248.38	USD	3,609.50	
22AUG17	S	1	PD22NOV17	3105.00	3248.38	USD	-3,584.50	
22AUG17	S	2	PD22NOV17	3120.50	3248.38	USD	-6,394.00	
22AUG17	S	1	PD22NOV17	3123.00	3248.38	USD	-3,134.50	
22AUG17	S	1	PD22NOV17	3119.00	3248.38	USD	-3,234.50	
22AUG17	S	2	PD22NOV17	3121.50	3248.38	USD	-6,344.00	
22AUG17	S	1	PD22NOV17	3119.50	3248.38	USD	-3,222.00	
23AUG17	B	8	PD22NOV17	3096.13	3248.38	USD	30,450.00	
	NET	0 AVG BGHT 3097.45	SOLD 3118.95				5,374.00	0.00
23AUG17	B	1	PD24NOV17	3118.00	3247.50	USD	3,237.50	
23AUG17	B	1	PD24NOV17	3118.50	3247.50	USD	3,225.00	
23AUG17	B	1	PD24NOV17	3120.50	3247.50	USD	3,175.00	

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23AUG17	S	2	PD24NOV17	3118.50	3247.50	USD	-6,450.00	
23AUG17	S	2	PD24NOV17	3119.00	3247.50	USD	-6,425.00	
23AUG17	S	1	PD24NOV17	3139.00	3247.50	USD	-2,712.50	
23AUG17	S	2	PD24NOV17	3143.00	3247.50	USD	-5,225.00	
23AUG17	B	1	PD24NOV17	3115.00	3247.50	USD	3,312.50	
23AUG17	B	1	PD24NOV17	3115.50	3247.50	USD	3,300.00	
23AUG17	B	1	PD24NOV17	3116.50	3247.50	USD	3,275.00	
23AUG17	B	1	PD24NOV17	3107.00	3247.50	USD	3,512.50	
23AUG17	B	1	PD24NOV17	3108.00	3247.50	USD	3,487.50	
23AUG17	B	1	PD24NOV17	3090.00	3247.50	USD	3,937.50	
23AUG17	B	3	PD24NOV17	3094.00	3247.50	USD	11,512.50	
23AUG17	B	1	PD24NOV17	3097.50	3247.50	USD	3,750.00	
23AUG17	B	1	PD24NOV17	3098.00	3247.50	USD	3,737.50	
23AUG17	B	1	PD24NOV17	3096.50	3247.50	USD	3,775.00	
23AUG17	B	1	PD24NOV17	3099.50	3247.50	USD	3,700.00	
23AUG17	S	1	PD24NOV17	3099.00	3247.50	USD	-3,712.50	
23AUG17	S	4	PD24NOV17	3100.00	3247.50	USD	-14,750.00	
23AUG17	S	2	PD24NOV17	3101.00	3247.50	USD	-7,325.00	
24AUG17	B	2	PD24NOV17	3104.50	3247.50	USD	7,150.00	
24AUG17	B	2	PD24NOV17	3107.50	3247.50	USD	7,000.00	
24AUG17	S	1	PD24NOV17	3108.50	3247.50	USD	-3,475.00	
24AUG17	B	1	PD24NOV17	3106.50	3247.50	USD	3,525.00	
24AUG17	S	1	PD24NOV17	3106.50	3247.50	USD	-3,525.00	
24AUG17	S	1	PD24NOV17	3105.00	3247.50	USD	-3,562.50	
24AUG17	S	1	PD24NOV17	3107.00	3247.50	USD	-3,512.50	
24AUG17	B	1	PD24NOV17	3109.00	3247.50	USD	3,462.50	
24AUG17	S	1	PD24NOV17	3111.00	3247.50	USD	-3,412.50	
24AUG17	B	2	PD24NOV17	3105.50	3247.50	USD	7,100.00	
24AUG17	B	1	PD24NOV17	3106.00	3247.50	USD	3,537.50	
25AUG17	S	1	PD24NOV17	3126.00	3247.50	USD	-3,037.50	
25AUG17	S	2	PD24NOV17	3129.00	3247.50	USD	-5,925.00	
25AUG17	B	2	PD24NOV17	3072.50	3247.50	USD	8,750.00	
25AUG17	B	1	PD24NOV17	3065.00	3247.50	USD	4,562.50	
25AUG17	B	2	PD24NOV17	3066.50	3247.50	USD	9,050.00	
25AUG17	B	1	PD24NOV17	3052.50	3247.50	USD	4,875.00	
25AUG17	S	2	PD24NOV17	3063.00	3247.50	USD	-9,225.00	
25AUG17	S	1	PD24NOV17	3070.00	3247.50	USD	-4,437.50	
25AUG17	S	1	PD24NOV17	3069.00	3247.50	USD	-4,462.50	
29AUG17	S	4	PD24NOV17	3108.80	3247.50	USD	-13,870.00	
30AUG17	B	1	PD24NOV17	3094.00	3247.50	USD	3,837.50	
31AUG17	S	2	PD24NOV17	3144.80	3247.50	USD	-5,135.00	
	NET	0 AVG BGHT 3097.77	SOLD 3109.78				9,607.50	0.00
29AUG17	S	1	PD29NOV17	3106.00	3246.75	USD	-3,518.75	
29AUG17	S	1	PD29NOV17	3107.50	3246.75	USD	-3,481.25	
29AUG17	S	2	PD29NOV17	3107.00	3246.75	USD	-6,987.50	
29AUG17	S	1	PD29NOV17	3097.50	3246.75	USD	-3,731.25	
29AUG17	S	1	PD29NOV17	3099.00	3246.75	USD	-3,693.75	
29AUG17	S	1	PD29NOV17	3093.50	3246.75	USD	-3,831.25	
29AUG17	S	1	PD29NOV17	3102.50	3246.75	USD	-3,606.25	
29AUG17	S	1	PD29NOV17	3103.50	3246.75	USD	-3,581.25	
29AUG17	S	1	PD29NOV17	3104.00	3246.75	USD	-3,568.75	
29AUG17	S	1	PD29NOV17	3104.50	3246.75	USD	-3,556.25	
29AUG17	S	1	PD29NOV17	3109.50	3246.75	USD	-3,431.25	
29AUG17	S	2	PD29NOV17	3115.00	3246.75	USD	-6,587.50	
29AUG17	S	1	PD29NOV17	3116.00	3246.75	USD	-3,268.75	
29AUG17	S	1	PD29NOV17	3113.00	3246.75	USD	-3,343.75	
29AUG17	S	1	PD29NOV17	3118.00	3246.75	USD	-3,218.75	

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29AUG17	S	2	PD29NOV17	3117.50	3246.75	USD	-6,462.50	
29AUG17	S	1	PD29NOV17	3120.50	3246.75	USD	-3,156.25	
29AUG17	S	1	PD29NOV17	3120.00	3246.75	USD	-3,168.75	
29AUG17	S	1	PD29NOV17	3122.00	3246.75	USD	-3,118.75	
29AUG17	S	1	PD29NOV17	3124.00	3246.75	USD	-3,068.75	
29AUG17	B	1	PD29NOV17	3095.00	3246.75	USD	3,793.75	
29AUG17	B	1	PD29NOV17	3104.00	3246.75	USD	3,568.75	
29AUG17	B	1	PD29NOV17	3106.00	3246.75	USD	3,518.75	
29AUG17	B	1	PD29NOV17	3106.50	3246.75	USD	3,506.25	
29AUG17	B	1	PD29NOV17	3107.00	3246.75	USD	3,493.75	
29AUG17	B	1	PD29NOV17	3108.00	3246.75	USD	3,468.75	
29AUG17	B	2	PD29NOV17	3110.50	3246.75	USD	6,812.50	
30AUG17	S	1	PD29NOV17	3094.63	3246.75	USD	-3,803.00	
31AUG17	B	13	PD29NOV17	3146.03	3246.75	USD	32,734.00	
12SEP17	B	3	PD29NOV17	3058.25	3246.75	USD	14,137.50	
	NET	0AVG BGHT 3121.69	SOLD 3109.78				-7,150.25	0.00
30AUG17	S	1	PD30NOV17	3126.50	3246.50	USD	-3,000.00	
30AUG17	S	2	PD30NOV17	3128.50	3246.50	USD	-5,900.00	
30AUG17	S	1	PD30NOV17	3139.00	3246.50	USD	-2,687.50	
30AUG17	S	1	PD30NOV17	3145.00	3246.50	USD	-2,537.50	
30AUG17	S	1	PD30NOV17	3147.00	3246.50	USD	-2,487.50	
30AUG17	S	1	PD30NOV17	3148.50	3246.50	USD	-2,450.00	
30AUG17	S	2	PD30NOV17	3150.50	3246.50	USD	-4,800.00	
30AUG17	S	1	PD30NOV17	3151.00	3246.50	USD	-2,387.50	
30AUG17	S	1	PD30NOV17	3151.50	3246.50	USD	-2,375.00	
30AUG17	B	1	PD30NOV17	3134.50	3246.50	USD	2,800.00	
30AUG17	B	1	PD30NOV17	3134.00	3246.50	USD	2,812.50	
30AUG17	B	1	PD30NOV17	3130.50	3246.50	USD	2,900.00	
30AUG17	B	3	PD30NOV17	3132.50	3246.50	USD	8,550.00	
30AUG17	B	3	PD30NOV17	3131.50	3246.50	USD	8,625.00	
30AUG17	B	1	PD30NOV17	3126.50	3246.50	USD	3,000.00	
30AUG17	B	1	PD30NOV17	3128.50	3246.50	USD	2,950.00	
30AUG17	B	1	PD30NOV17	3103.00	3246.50	USD	3,587.50	
30AUG17	S	1	PD30NOV17	3108.00	3246.50	USD	-3,462.50	
30AUG17	S	1	PD30NOV17	3109.50	3246.50	USD	-3,425.00	
30AUG17	S	1	PD30NOV17	3106.00	3246.50	USD	-3,512.50	
30AUG17	S	2	PD30NOV17	3110.50	3246.50	USD	-6,800.00	
30AUG17	S	1	PD30NOV17	3110.00	3246.50	USD	-3,412.50	
30AUG17	B	2	PD30NOV17	3103.50	3246.50	USD	7,150.00	
30AUG17	B	1	PD30NOV17	3106.50	3246.50	USD	3,500.00	
30AUG17	B	1	PD30NOV17	3099.50	3246.50	USD	3,675.00	
30AUG17	B	1	PD30NOV17	3102.00	3246.50	USD	3,612.50	
30AUG17	S	1	PD30NOV17	3099.00	3246.50	USD	-3,687.50	
30AUG17	S	1	PD30NOV17	3099.50	3246.50	USD	-3,675.00	
30AUG17	S	5	PD30NOV17	3127.35	3246.50	USD	-14,893.75	
30AUG17	S	5	PD30NOV17	3131.85	3246.50	USD	-14,331.25	
30AUG17	S	5	PD30NOV17	3132.35	3246.50	USD	-14,268.75	
30AUG17	S	5	PD30NOV17	3132.84	3246.50	USD	-14,207.50	
30AUG17	B	2	PD30NOV17	3095.00	3246.50	USD	7,575.00	
30AUG17	B	1	PD30NOV17	3095.50	3246.50	USD	3,775.00	
30AUG17	S	1	PD30NOV17	3095.50	3246.50	USD	-3,775.00	
31AUG17	B	1	PD30NOV17	3108.00	3246.50	USD	3,462.50	
31AUG17	B	3	PD30NOV17	3109.00	3246.50	USD	10,312.50	
31AUG17	S	1	PD30NOV17	3109.50	3246.50	USD	-3,425.00	
31AUG17	B	1	PD30NOV17	3103.00	3246.50	USD	3,587.50	
31AUG17	B	1	PD30NOV17	3107.00	3246.50	USD	3,487.50	
31AUG17	S	1	PD30NOV17	3106.50	3246.50	USD	-3,500.00	

OPEN POSITION STATEMENT



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SAP0094E

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backoffice@sapiencap.com

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
31AUG17	S	1	PD30NOV17	3120.50	3246.50	USD	-3,150.00	
31AUG17	S	1	PD30NOV17	3114.00	3246.50	USD	-3,312.50	
31AUG17	S	1	PD30NOV17	3115.00	3246.50	USD	-3,287.50	
31AUG17	S	1	PD30NOV17	3116.50	3246.50	USD	-3,250.00	
31AUG17	B	2	PD30NOV17	3130.00	3246.50	USD	5,825.00	
31AUG17	B	1	PD30NOV17	3128.00	3246.50	USD	2,962.50	
31AUG17	B	3	PD30NOV17	3126.00	3246.50	USD	9,037.50	
31AUG17	S	3	PD30NOV17	3108.50	3246.50	USD	-10,350.00	
31AUG17	B	1	PD30NOV17	3125.00	3246.50	USD	3,037.50	
31AUG17	B	1	PD30NOV17	3126.50	3246.50	USD	3,000.00	
31AUG17	S	2	PD30NOV17	3108.00	3246.50	USD	-6,925.00	
31AUG17	S	1	PD30NOV17	3125.00	3246.50	USD	-3,037.50	
31AUG17	S	1	PD30NOV17	3141.00	3246.50	USD	-2,637.50	
31AUG17	S	2	PD30NOV17	3142.00	3246.50	USD	-5,225.00	
31AUG17	S	1	PD30NOV17	3135.00	3246.50	USD	-2,787.50	
31AUG17	S	1	PD30NOV17	3155.00	3246.50	USD	-2,287.50	
31AUG17	S	5	PD30NOV17	3127.60	3246.50	USD	-14,862.50	
31AUG17	S	5	PD30NOV17	3126.35	3246.50	USD	-15,018.75	
31AUG17	S	5	PD30NOV17	3127.10	3246.50	USD	-14,925.00	
31AUG17	S	13	PD30NOV17	3145.80	3246.50	USD	-32,727.50	
05SEP17	B	7	PD30NOV17	3139.63	3246.50	USD	18,702.25	
12SEP17	B	19	PD30NOV17	3058.46	3246.50	USD	89,319.00	
22SEP17	B	25	PD30NOV17	3031.10	3246.50	USD	134,625.00	
	NET	0AVG BGHT 3080.91	SOLD 3129.42				103,086.25	0.00
01SEP17	S	1	PD01DEC17	3167.00	3246.25	USD	-1,981.25	
01SEP17	S	1	PD01DEC17	3148.00	3246.25	USD	-2,456.25	
01SEP17	B	1	PD01DEC17	3146.50	3246.25	USD	2,493.75	
01SEP17	B	2	PD01DEC17	3148.00	3246.25	USD	4,912.50	
01SEP17	B	1	PD01DEC17	3153.00	3246.25	USD	2,331.25	
01SEP17	B	1	PD01DEC17	3154.00	3246.25	USD	2,306.25	
01SEP17	B	1	PD01DEC17	3147.00	3246.25	USD	2,481.25	
01SEP17	S	1	PD01DEC17	3166.00	3246.25	USD	-2,006.25	
01SEP17	S	1	PD01DEC17	3167.50	3246.25	USD	-1,968.75	
01SEP17	S	1	PD01DEC17	3172.00	3246.25	USD	-1,856.25	
01SEP17	S	1	PD01DEC17	3173.00	3246.25	USD	-1,831.25	
01SEP17	B	1	PD01DEC17	3149.50	3246.25	USD	2,418.75	
01SEP17	S	1	PD01DEC17	3176.50	3246.25	USD	-1,743.75	
01SEP17	B	1	PD01DEC17	3207.50	3246.25	USD	968.75	
01SEP17	B	1	PD01DEC17	3208.00	3246.25	USD	956.25	
01SEP17	B	1	PD01DEC17	3209.00	3246.25	USD	931.25	
12SEP17	S	3	PD01DEC17	3058.47	3246.25	USD	-14,083.50	
	NET	0AVG BGHT 3167.05	SOLD 3134.54				-8,127.25	0.00
04SEP17	B	1	PD04DEC17	3196.50	3246.00	USD	1,237.50	
04SEP17	S	1	PD04DEC17	3198.00	3246.00	USD	-1,200.00	
04SEP17	S	1	PD04DEC17	3199.00	3246.00	USD	-1,175.00	
04SEP17	S	1	PD04DEC17	3199.50	3246.00	USD	-1,162.50	
04SEP17	S	1	PD04DEC17	3200.00	3246.00	USD	-1,150.00	
04SEP17	S	1	PD04DEC17	3200.50	3246.00	USD	-1,137.50	
04SEP17	S	1	PD04DEC17	3212.00	3246.00	USD	-850.00	
04SEP17	B	1	PD04DEC17	3208.00	3246.00	USD	950.00	
04SEP17	B	1	PD04DEC17	3209.00	3246.00	USD	925.00	
22SEP17	B	3	PD04DEC17	3031.52	3246.00	USD	16,086.00	
	NET	0AVG BGHT 3118.01	SOLD 3201.50				12,523.50	0.00
05SEP17	S	1	PD05DEC17	3204.00	3245.75	USD	-1,043.75	
05SEP17	S	1	PD05DEC17	3205.50	3245.75	USD	-1,006.25	
05SEP17	S	1	PD05DEC17	3206.50	3245.75	USD	-981.25	

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05SEP17	S	2	PD05DEC17	3195.00	3245.75	USD	-2,537.50	
05SEP17	B	1	PD05DEC17	3195.50	3245.75	USD	1,256.25	
05SEP17	S	2	PD05DEC17	3199.00	3245.75	USD	-2,337.50	
05SEP17	S	1	PD05DEC17	3200.00	3245.75	USD	-1,143.75	
05SEP17	S	2	PD05DEC17	3202.00	3245.75	USD	-2,187.50	
05SEP17	S	1	PD05DEC17	3202.50	3245.75	USD	-1,081.25	
05SEP17	S	1	PD05DEC17	3189.50	3245.75	USD	-1,406.25	
05SEP17	B	1	PD05DEC17	3132.50	3245.75	USD	2,831.25	
05SEP17	B	1	PD05DEC17	3143.00	3245.75	USD	2,568.75	
05SEP17	B	1	PD05DEC17	3145.00	3245.75	USD	2,518.75	
05SEP17	B	1	PD05DEC17	3140.00	3245.75	USD	2,643.75	
05SEP17	B	1	PD05DEC17	3138.00	3245.75	USD	2,693.75	
05SEP17	B	1	PD05DEC17	3138.50	3245.75	USD	2,681.25	
05SEP17	B	1	PD05DEC17	3133.50	3245.75	USD	2,806.25	
05SEP17	B	1	PD05DEC17	3134.00	3245.75	USD	2,793.75	
05SEP17	B	1	PD05DEC17	3110.50	3245.75	USD	3,381.25	
05SEP17	B	1	PD05DEC17	3110.00	3245.75	USD	3,393.75	
05SEP17	B	1	PD05DEC17	3106.00	3245.75	USD	3,493.75	
05SEP17	B	1	PD05DEC17	3105.00	3245.75	USD	3,518.75	
12SEP17	S	1	PD05DEC17	3059.32	3245.75	USD	-4,660.75	
	NET	0AVG BGHT 3133.19	SOLD 3189.18				18,195.50	0.00
06SEP17	B	1	PD06DEC17	3120.50	3245.50	USD	3,125.00	
06SEP17	S	2	PD06DEC17	3120.00	3245.50	USD	-6,275.00	
06SEP17	S	1	PD06DEC17	3123.00	3245.50	USD	-3,062.50	
06SEP17	S	1	PD06DEC17	3123.50	3245.50	USD	-3,050.00	
06SEP17	S	1	PD06DEC17	3126.50	3245.50	USD	-2,975.00	
06SEP17	S	1	PD06DEC17	3089.50	3245.50	USD	-3,900.00	
06SEP17	S	2	PD06DEC17	3122.00	3245.50	USD	-6,175.00	
06SEP17	S	1	PD06DEC17	3109.00	3245.50	USD	-3,412.50	
06SEP17	B	1	PD06DEC17	3082.50	3245.50	USD	4,075.00	
06SEP17	B	1	PD06DEC17	3083.00	3245.50	USD	4,062.50	
06SEP17	B	1	PD06DEC17	3085.50	3245.50	USD	4,000.00	
06SEP17	B	1	PD06DEC17	3084.50	3245.50	USD	4,025.00	
22SEP17	B	4	PD06DEC17	3031.83	3245.50	USD	21,367.00	
	NET	0AVG BGHT 3064.81	SOLD 3117.28				11,804.50	0.00
07SEP17	B	1	PD07DEC17	3075.50	3245.25	USD	4,243.75	
07SEP17	B	1	PD07DEC17	3078.00	3245.25	USD	4,181.25	
07SEP17	B	2	PD07DEC17	3078.50	3245.25	USD	8,337.50	
07SEP17	B	1	PD07DEC17	3079.00	3245.25	USD	4,156.25	
07SEP17	B	1	PD07DEC17	3080.50	3245.25	USD	4,118.75	
07SEP17	B	1	PD07DEC17	3081.00	3245.25	USD	4,106.25	
07SEP17	B	1	PD07DEC17	3083.50	3245.25	USD	4,043.75	
07SEP17	B	1	PD07DEC17	3084.50	3245.25	USD	4,018.75	
07SEP17	B	1	PD07DEC17	3088.00	3245.25	USD	3,931.25	
07SEP17	B	1	PD07DEC17	3089.00	3245.25	USD	3,906.25	
07SEP17	B	1	PD07DEC17	3076.00	3245.25	USD	4,231.25	
07SEP17	S	1	PD07DEC17	3141.50	3245.25	USD	-2,593.75	
07SEP17	S	1	PD07DEC17	3135.50	3245.25	USD	-2,743.75	
07SEP17	S	2	PD07DEC17	3137.50	3245.25	USD	-5,387.50	
07SEP17	S	2	PD07DEC17	3140.00	3245.25	USD	-5,262.50	
07SEP17	S	1	PD07DEC17	3141.00	3245.25	USD	-2,606.25	
07SEP17	S	1	PD07DEC17	3142.50	3245.25	USD	-2,568.75	
07SEP17	S	1	PD07DEC17	3142.00	3245.25	USD	-2,581.25	
07SEP17	B	1	PD07DEC17	3134.50	3245.25	USD	2,768.75	
07SEP17	B	1	PD07DEC17	3134.00	3245.25	USD	2,781.25	
07SEP17	B	1	PD07DEC17	3136.00	3245.25	USD	2,731.25	
12SEP17	S	6	PD07DEC17	3059.74	3245.25	USD	-27,826.50	

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	NET	0AVG	BGHT	3091.77	SOLD	3107.73		5,986.00	0.00
08SEP17	B	1	PD08DEC17		3087.00	3245.00	USD	3,950.00	
08SEP17	B	1	PD08DEC17		3086.50	3245.00	USD	3,962.50	
08SEP17	B	2	PD08DEC17		3089.50	3245.00	USD	7,775.00	
08SEP17	B	1	PD08DEC17		3081.00	3245.00	USD	4,100.00	
08SEP17	B	1	PD08DEC17		3082.50	3245.00	USD	4,062.50	
08SEP17	B	3	PD08DEC17		3084.00	3245.00	USD	12,075.00	
08SEP17	B	1	PD08DEC17		3084.50	3245.00	USD	4,012.50	
08SEP17	B	2	PD08DEC17		3085.50	3245.00	USD	7,975.00	
08SEP17	B	1	PD08DEC17		3088.00	3245.00	USD	3,925.00	
08SEP17	S	1	PD08DEC17		3038.00	3245.00	USD	-5,175.00	
12SEP17	S	12	PD08DEC17		3059.95	3245.00	USD	-55,515.00	
	NET	0AVG	BGHT	3085.50	SOLD	3058.26		-8,852.50	0.00
11SEP17	S	1	PD11DEC17		3058.50	3244.75	USD	-4,656.25	
11SEP17	S	1	PD11DEC17		3056.00	3244.75	USD	-4,718.75	
11SEP17	S	1	PD11DEC17		3056.50	3244.75	USD	-4,706.25	
11SEP17	S	1	PD11DEC17		3057.50	3244.75	USD	-4,681.25	
11SEP17	S	1	PD11DEC17		3058.00	3244.75	USD	-4,668.75	
11SEP17	S	1	PD11DEC17		3060.50	3244.75	USD	-4,606.25	
11SEP17	S	1	PD11DEC17		3055.00	3244.75	USD	-4,743.75	
11SEP17	S	1	PD11DEC17		3071.00	3244.75	USD	-4,343.75	
11SEP17	S	1	PD11DEC17		3089.00	3244.75	USD	-3,893.75	
11SEP17	S	1	PD11DEC17		3093.50	3244.75	USD	-3,781.25	
11SEP17	S	1	PD11DEC17		3100.50	3244.75	USD	-3,606.25	
11SEP17	S	1	PD11DEC17		3102.50	3244.75	USD	-3,556.25	
11SEP17	S	2	PD11DEC17		3092.00	3244.75	USD	-7,637.50	
11SEP17	B	2	PD11DEC17		3050.50	3244.75	USD	9,712.50	
11SEP17	B	1	PD11DEC17		3083.00	3244.75	USD	4,043.75	
11SEP17	B	2	PD11DEC17		3084.50	3244.75	USD	8,012.50	
11SEP17	B	1	PD11DEC17		3085.00	3244.75	USD	3,993.75	
11SEP17	B	3	PD11DEC17		3083.50	3244.75	USD	12,093.75	
11SEP17	B	2	PD11DEC17		3084.00	3244.75	USD	8,037.50	
11SEP17	B	2	PD11DEC17		3086.00	3244.75	USD	7,937.50	
11SEP17	B	1	PD11DEC17		3087.50	3244.75	USD	3,931.25	
11SEP17	B	2	PD11DEC17		3088.00	3244.75	USD	7,837.50	
15SEP17	S	2	PD11DEC17		3030.18	3244.75	USD	-10,728.50	
	NET	0AVG	BGHT	3080.75	SOLD	3068.93		-4,728.50	0.00
12SEP17	S	1	PD12DEC17		3082.50	3244.50	USD	-4,050.00	
12SEP17	S	1	PD12DEC17		3083.00	3244.50	USD	-4,037.50	
12SEP17	S	6	PD12DEC17		3083.50	3244.50	USD	-24,150.00	
12SEP17	S	4	PD12DEC17		3084.00	3244.50	USD	-16,050.00	
12SEP17	S	1	PD12DEC17		3084.50	3244.50	USD	-4,000.00	
12SEP17	S	1	PD12DEC17		3085.50	3244.50	USD	-3,975.00	
12SEP17	B	1	PD12DEC17		3060.50	3244.50	USD	4,600.00	
12SEP17	B	1	PD12DEC17		3053.00	3244.50	USD	4,787.50	
12SEP17	B	1	PD12DEC17		3055.50	3244.50	USD	4,725.00	
12SEP17	B	1	PD12DEC17		3057.00	3244.50	USD	4,687.50	
12SEP17	B	1	PD12DEC17		3058.00	3244.50	USD	4,662.50	
12SEP17	B	1	PD12DEC17		3058.50	3244.50	USD	4,650.00	
12SEP17	B	1	PD12DEC17		3053.50	3244.50	USD	4,775.00	
12SEP17	B	3	PD12DEC17		3054.00	3244.50	USD	14,287.50	
12SEP17	S	1	PD12DEC17		3057.00	3244.50	USD	-4,687.50	
12SEP17	B	1	PD12DEC17		3063.50	3244.50	USD	4,525.00	
12SEP17	B	2	PD12DEC17		3064.00	3244.50	USD	9,025.00	
22SEP17	B	2	PD12DEC17		3032.76	3244.50	USD	10,587.00	
	NET	0AVG	BGHT	3054.33	SOLD	3081.97		10,362.00	0.00

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13SEP17	S	1	PD13DEC17	3055.00	3244.25	USD	-4,731.25	
13SEP17	S	1	PD13DEC17	3055.50	3244.25	USD	-4,718.75	
13SEP17	S	1	PD13DEC17	3056.00	3244.25	USD	-4,706.25	
13SEP17	S	1	PD13DEC17	3058.00	3244.25	USD	-4,656.25	
13SEP17	S	1	PD13DEC17	3059.00	3244.25	USD	-4,631.25	
13SEP17	S	1	PD13DEC17	3060.00	3244.25	USD	-4,606.25	
13SEP17	B	1	PD13DEC17	3047.50	3244.25	USD	4,918.75	
13SEP17	B	2	PD13DEC17	3048.00	3244.25	USD	9,812.50	
13SEP17	B	1	PD13DEC17	3043.00	3244.25	USD	5,031.25	
13SEP17	B	1	PD13DEC17	3043.50	3244.25	USD	5,018.75	
13SEP17	S	1	PD13DEC17	3048.50	3244.25	USD	-4,893.75	
13SEP17	B	1	PD13DEC17	3034.50	3244.25	USD	5,243.75	
13SEP17	B	1	PD13DEC17	3036.00	3244.25	USD	5,206.25	
13SEP17	B	1	PD13DEC17	3036.50	3244.25	USD	5,193.75	
13SEP17	S	1	PD13DEC17	3032.00	3244.25	USD	-5,306.25	
13SEP17	B	2	PD13DEC17	3030.00	3244.25	USD	10,712.50	
13SEP17	B	1	PD13DEC17	3030.50	3244.25	USD	5,343.75	
13SEP17	B	1	PD13DEC17	3027.00	3244.25	USD	5,431.25	
13SEP17	B	1	PD13DEC17	3028.00	3244.25	USD	5,406.25	
13SEP17	B	1	PD13DEC17	3024.00	3244.25	USD	5,506.25	
13SEP17	B	1	PD13DEC17	3025.00	3244.25	USD	5,481.25	
13SEP17	B	1	PD13DEC17	3023.00	3244.25	USD	5,531.25	
13SEP17	B	2	PD13DEC17	3029.50	3244.25	USD	10,737.50	
13SEP17	B	1	PD13DEC17	3028.50	3244.25	USD	5,393.75	
13SEP17	B	1	PD13DEC17	3029.00	3244.25	USD	5,381.25	
15SEP17	S	12	PD13DEC17	3030.49	3244.25	USD	-64,128.00	
	NET	0 AVG	BGHT 3033.55	SOLD 3039.49			2,972.00	0.00
14SEP17	B	1	PD14DEC17	3001.00	3244.00	USD	6,075.00	
14SEP17	B	2	PD14DEC17	3002.00	3244.00	USD	12,100.00	
14SEP17	B	1	PD14DEC17	2990.00	3244.00	USD	6,350.00	
14SEP17	B	2	PD14DEC17	2992.00	3244.00	USD	12,600.00	
14SEP17	B	1	PD14DEC17	2996.00	3244.00	USD	6,200.00	
14SEP17	B	1	PD14DEC17	2998.50	3244.00	USD	6,137.50	
14SEP17	B	2	PD14DEC17	2999.00	3244.00	USD	12,250.00	
14SEP17	B	2	PD14DEC17	2999.50	3244.00	USD	12,225.00	
14SEP17	B	1	PD14DEC17	3000.00	3244.00	USD	6,100.00	
14SEP17	B	1	PD14DEC17	2995.50	3244.00	USD	6,212.50	
14SEP17	B	1	PD14DEC17	2988.00	3244.00	USD	6,400.00	
14SEP17	B	1	PD14DEC17	2981.50	3244.00	USD	6,562.50	
14SEP17	B	1	PD14DEC17	2982.50	3244.00	USD	6,537.50	
14SEP17	B	1	PD14DEC17	2985.50	3244.00	USD	6,462.50	
14SEP17	B	1	PD14DEC17	2980.00	3244.00	USD	6,600.00	
22SEP17	S	9	PD14DEC17	3032.87	3244.00	USD	-47,504.25	
22SEP17	S	10	PD14DEC17	3033.07	3244.00	USD	-52,732.50	
	NET	0 AVG	BGHT 2993.87	SOLD 3032.98			18,575.75	0.00
15SEP17	S	1	PD15DEC17	3015.00	3243.75	USD	-5,718.75	
15SEP17	S	1	PD15DEC17	3017.00	3243.75	USD	-5,668.75	
15SEP17	S	1	PD15DEC17	3020.00	3243.75	USD	-5,593.75	
15SEP17	S	1	PD15DEC17	3021.00	3243.75	USD	-5,568.75	
15SEP17	S	2	PD15DEC17	3020.50	3243.75	USD	-11,162.50	
15SEP17	S	1	PD15DEC17	3029.00	3243.75	USD	-5,368.75	
15SEP17	S	1	PD15DEC17	3030.00	3243.75	USD	-5,343.75	
15SEP17	S	1	PD15DEC17	3027.50	3243.75	USD	-5,406.25	
15SEP17	S	2	PD15DEC17	3028.00	3243.75	USD	-10,787.50	
15SEP17	S	3	PD15DEC17	3026.50	3243.75	USD	-16,293.75	
15SEP17	S	2	PD15DEC17	3032.50	3243.75	USD	-10,562.50	
15SEP17	S	1	PD15DEC17	3034.50	3243.75	USD	-5,231.25	

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backoffice@sapiencap.com

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
15SEP17	S	1	PD15DEC17	3036.00	3243.75	USD	-5,193.75	
15SEP17	S	1	PD15DEC17	3033.00	3243.75	USD	-5,268.75	
15SEP17	S	2	PD15DEC17	3035.00	3243.75	USD	-10,437.50	
15SEP17	S	1	PD15DEC17	3037.00	3243.75	USD	-5,168.75	
15SEP17	S	1	PD15DEC17	3038.00	3243.75	USD	-5,143.75	
15SEP17	S	1	PD15DEC17	3038.50	3243.75	USD	-5,131.25	
15SEP17	S	1	PD15DEC17	3039.00	3243.75	USD	-5,118.75	
15SEP17	S	1	PD15DEC17	3040.00	3243.75	USD	-5,093.75	
15SEP17	S	1	PD15DEC17	3045.50	3243.75	USD	-4,956.25	
15SEP17	S	1	PD15DEC17	3030.50	3243.75	USD	-5,331.25	
15SEP17	S	1	PD15DEC17	3031.00	3243.75	USD	-5,318.75	
15SEP17	S	2	PD15DEC17	3031.50	3243.75	USD	-10,612.50	
15SEP17	B	3	PD15DEC17	3032.00	3243.75	USD	15,881.25	
15SEP17	B	1	PD15DEC17	3033.50	3243.75	USD	5,256.25	
18SEP17	B	22	PD15DEC17	3097.85	3243.75	USD	80,245.00	
22SEP17	B	5	PD15DEC17	3033.23	3243.75	USD	26,315.00	
	NET	0 AVG BGHT 3078.98	SOLD 3030.23				-37,783.75	0.00
18SEP17	S	1	PD18DEC17	3072.50	3243.50	USD	-4,275.00	
18SEP17	B	1	PD18DEC17	3072.50	3243.50	USD	4,275.00	
18SEP17	S	1	PD18DEC17	3070.50	3243.50	USD	-4,325.00	
18SEP17	S	1	PD18DEC17	3085.50	3243.50	USD	-3,950.00	
18SEP17	S	1	PD18DEC17	3083.50	3243.50	USD	-4,000.00	
18SEP17	S	1	PD18DEC17	3085.00	3243.50	USD	-3,962.50	
18SEP17	S	1	PD18DEC17	3086.00	3243.50	USD	-3,937.50	
18SEP17	S	1	PD18DEC17	3087.50	3243.50	USD	-3,900.00	
18SEP17	S	1	PD18DEC17	3090.00	3243.50	USD	-3,837.50	
18SEP17	S	1	PD18DEC17	3092.00	3243.50	USD	-3,787.50	
18SEP17	S	1	PD18DEC17	3094.00	3243.50	USD	-3,737.50	
18SEP17	S	2	PD18DEC17	3088.00	3243.50	USD	-7,775.00	
18SEP17	B	1	PD18DEC17	3063.50	3243.50	USD	4,500.00	
18SEP17	B	2	PD18DEC17	3065.00	3243.50	USD	8,925.00	
18SEP17	B	2	PD18DEC17	3065.50	3243.50	USD	8,900.00	
18SEP17	S	1	PD18DEC17	3086.50	3243.50	USD	-3,925.00	
18SEP17	S	1	PD18DEC17	3095.00	3243.50	USD	-3,712.50	
18SEP17	S	1	PD18DEC17	3097.00	3243.50	USD	-3,662.50	
18SEP17	B	1	PD18DEC17	3100.00	3243.50	USD	3,587.50	
18SEP17	S	2	PD18DEC17	3101.50	3243.50	USD	-7,100.00	
18SEP17	B	2	PD18DEC17	3111.50	3243.50	USD	6,600.00	
18SEP17	B	2	PD18DEC17	3110.50	3243.50	USD	6,650.00	
18SEP17	S	2	PD18DEC17	3094.50	3243.50	USD	-7,450.00	
22SEP17	B	8	PD18DEC17	3033.89	3243.50	USD	41,922.00	
	NET	0 AVG BGHT 3063.80	SOLD 3089.11				12,022.00	0.00
19SEP17	B	1	PD19DEC17	3099.50	3243.25	USD	3,593.75	
19SEP17	S	1	PD19DEC17	3094.00	3243.25	USD	-3,731.25	
19SEP17	B	2	PD19DEC17	3095.50	3243.25	USD	7,387.50	
19SEP17	S	1	PD19DEC17	3109.50	3243.25	USD	-3,343.75	
19SEP17	B	1	PD19DEC17	3110.50	3243.25	USD	3,318.75	
22SEP17	S	2	PD19DEC17	3033.85	3243.25	USD	-10,470.00	
	NET	0 AVG BGHT 3100.25	SOLD 3067.80				-3,245.00	0.00
20SEP17	B	2	PD20DEC17	3104.00	3243.00	USD	6,950.00	
20SEP17	B	1	PD20DEC17	3143.00	3243.00	USD	2,500.00	
20SEP17	S	1	PD20DEC17	3146.00	3243.00	USD	-2,425.00	
20SEP17	S	1	PD20DEC17	3148.00	3243.00	USD	-2,375.00	
20SEP17	B	2	PD20DEC17	3138.00	3243.00	USD	5,250.00	
20SEP17	B	2	PD20DEC17	3137.00	3243.00	USD	5,300.00	
20SEP17	B	1	PD20DEC17	3144.00	3243.00	USD	2,475.00	

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20SEP17	B	1	PD20DEC17	3131.50	3243.00	USD	2,787.50	
20SEP17	B	1	PD20DEC17	3132.50	3243.00	USD	2,762.50	
20SEP17	B	1	PD20DEC17	3135.00	3243.00	USD	2,700.00	
22SEP17	S	9	PD20DEC17	3034.00	3243.00	USD	-47,025.00	
	NET	0AVG BGHT 3131.27	SOLD 3054.55				-21,100.00	0.00
21SEP17	B	1	PD21DEC17	3097.50	3240.00	USD	3,562.50	
21SEP17	B	1	PD21DEC17	3098.00	3240.00	USD	3,550.00	
21SEP17	B	1	PD21DEC17	3099.00	3240.00	USD	3,525.00	
21SEP17	B	1	PD21DEC17	3065.00	3240.00	USD	4,375.00	
21SEP17	B	2	PD21DEC17	3065.50	3240.00	USD	8,725.00	
21SEP17	B	2	PD21DEC17	3069.50	3240.00	USD	8,525.00	
21SEP17	B	1	PD21DEC17	3075.50	3240.00	USD	4,112.50	
21SEP17	B	1	PD21DEC17	3080.50	3240.00	USD	3,987.50	
21SEP17	B	1	PD21DEC17	3081.00	3240.00	USD	3,975.00	
21SEP17	S	1	PD21DEC17	3079.00	3240.00	USD	-4,025.00	
21SEP17	S	2	PD21DEC17	3080.50	3240.00	USD	-7,975.00	
21SEP17	S	1	PD21DEC17	3081.50	3240.00	USD	-3,962.50	
21SEP17	S	2	PD21DEC17	3082.00	3240.00	USD	-7,900.00	
21SEP17	S	1	PD21DEC17	3077.00	3240.00	USD	-4,075.00	
21SEP17	S	2	PD21DEC17	3078.00	3240.00	USD	-8,100.00	
21SEP17	S	1	PD21DEC17	3078.50	3240.00	USD	-4,037.50	
21SEP17	B	1	PD21DEC17	3094.00	3240.00	USD	3,650.00	
21SEP17	B	1	PD21DEC17	3081.50	3240.00	USD	3,962.50	
21SEP17	B	1	PD21DEC17	3084.50	3240.00	USD	3,887.50	
21SEP17	B	1	PD21DEC17	3086.00	3240.00	USD	3,850.00	
21SEP17	B	1	PD21DEC17	3046.50	3240.00	USD	4,837.50	
21SEP17	B	1	PD21DEC17	3047.00	3240.00	USD	4,825.00	
21SEP17	B	1	PD21DEC17	3047.50	3240.00	USD	4,812.50	
21SEP17	B	1	PD21DEC17	3030.00	3240.00	USD	5,250.00	
21SEP17	B	1	PD21DEC17	3038.00	3240.00	USD	5,050.00	
21SEP17	B	2	PD21DEC17	3039.00	3240.00	USD	10,050.00	
21SEP17	B	1	PD21DEC17	3042.50	3240.00	USD	4,937.50	
21SEP17	B	1	PD21DEC17	3045.50	3240.00	USD	4,862.50	
21SEP17	B	1	PD21DEC17	3026.50	3240.00	USD	5,337.50	
21SEP17	B	1	PD21DEC17	3027.50	3240.00	USD	5,312.50	
21SEP17	B	1	PD21DEC17	3029.50	3240.00	USD	5,262.50	
21SEP17	S	1	PD21DEC17	3043.00	3240.00	USD	-4,925.00	
22SEP17	S	5	PD21DEC17	3032.30	3240.00	USD	-25,962.50	
22SEP17	S	11	PD21DEC17	3032.50	3240.00	USD	-57,062.50	
	NET	0AVG BGHT 3061.89	SOLD 3050.33				-7,800.00	0.00
22SEP17	S	2	PD22DEC17	3033.00	3239.62	USD	-10,331.00	
22SEP17	S	2	PD22DEC17	3033.50	3239.62	USD	-10,306.00	
22SEP17	B	1	PD22DEC17	3006.00	3239.62	USD	5,840.50	
22SEP17	B	1	PD22DEC17	3009.00	3239.62	USD	5,765.50	
22SEP17	B	1	PD22DEC17	3012.50	3239.62	USD	5,678.00	
22SEP17	B	1	PD22DEC17	3032.00	3239.62	USD	5,190.50	
22SEP17	B	1	PD22DEC17	3033.50	3239.62	USD	5,153.00	
22SEP17	S	1	PD22DEC17	3032.00	3239.62	USD	-5,190.50	
22SEP17	S	1	PD22DEC17	3032.50	3239.62	USD	-5,178.00	
22SEP17	S	1	PD22DEC17	3037.50	3239.62	USD	-5,053.00	
22SEP17	S	1	PD22DEC17	3040.50	3239.62	USD	-4,978.00	
22SEP17	S	1	PD22DEC17	3042.00	3239.62	USD	-4,940.50	
22SEP17	S	1	PD22DEC17	3035.00	3239.62	USD	-5,115.50	
22SEP17	S	2	PD22DEC17	3034.00	3239.62	USD	-10,281.00	
22SEP17	S	2	PD22DEC17	3036.00	3239.62	USD	-10,181.00	
22SEP17	S	2	PD22DEC17	3038.00	3239.62	USD	-10,081.00	
22SEP17	S	3	PD22DEC17	3039.50	3239.62	USD	-15,009.00	

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22SEP17	S	1	PD22DEC17		3064.00	3239.62	USD	-4,390.50	
22SEP17	S	2	PD22DEC17		3067.00	3239.62	USD	-8,631.00	
22SEP17	S	1	PD22DEC17		3069.00	3239.62	USD	-4,265.50	
22SEP17	S	1	PD22DEC17		3070.00	3239.62	USD	-4,240.50	
22SEP17	S	1	PD22DEC17		3074.00	3239.62	USD	-4,140.50	
22SEP17	S	1	PD22DEC17		3075.00	3239.62	USD	-4,115.50	
22SEP17	S	1	PD22DEC17		3077.00	3239.62	USD	-4,065.50	
22SEP17	S	2	PD22DEC17		3036.50	3239.62	USD	-10,156.00	
22SEP17	B	2	PD22DEC17		3011.00	3239.62	USD	11,431.00	
22SEP17	S	1	PD22DEC17		3068.50	3239.62	USD	-4,278.00	
22SEP17	S	3	PD22DEC17		3066.50	3239.62	USD	-12,984.00	
22SEP17	S	2	PD22DEC17		3067.50	3239.62	USD	-8,606.00	
22SEP17	S	1	PD22DEC17		3052.00	3239.62	USD	-4,690.50	
22SEP17	S	2	PD22DEC17		3052.50	3239.62	USD	-9,356.00	
22SEP17	S	2	PD22DEC17		3053.00	3239.62	USD	-9,331.00	
22SEP17	S	1	PD22DEC17		3053.50	3239.62	USD	-4,653.00	
22SEP17	S	1	PD22DEC17		3043.50	3239.62	USD	-4,903.00	
22SEP17	S	1	PD22DEC17		3068.00	3239.62	USD	-4,290.50	
22SEP17	B	36	PD22DEC17		3031.20	3239.62	USD	187,578.00	
	NET	0AVG	BGHT 3028.80	SOLD 3050.09				22,895.00	0.00
25SEP17	B	1	PD27DEC17		3086.00	3239.23	USD	3,830.75	
25SEP17	S	1	PD27DEC17		3085.50	3239.23	USD	-3,843.25	
25SEP17	S	2	PD27DEC17		3084.50	3239.23	USD	-7,736.50	
25SEP17	S	1	PD27DEC17		3095.50	3239.23	USD	-3,593.25	
25SEP17	S	1	PD27DEC17		3097.00	3239.23	USD	-3,555.75	
25SEP17	S	1	PD27DEC17		3098.50	3239.23	USD	-3,518.25	
25SEP17	S	1	PD27DEC17		3105.50	3239.23	USD	-3,343.25	
25SEP17	B	1	PD27DEC17		3078.00	3239.23	USD	4,030.75	
25SEP17	B	1	PD27DEC17		3078.50	3239.23	USD	4,018.25	
25SEP17	B	1	PD27DEC17		3081.50	3239.23	USD	3,943.25	
25SEP17	B	1	PD27DEC17		3083.50	3239.23	USD	3,893.25	
25SEP17	S	1	PD27DEC17		3100.50	3239.23	USD	-3,468.25	
25SEP17	B	1	PD27DEC17		3095.00	3239.23	USD	3,605.75	
25SEP17	B	1	PD27DEC17		3095.50	3239.23	USD	3,593.25	
25SEP17	S	1	PD27DEC17		3097.50	3239.23	USD	-3,543.25	
25SEP17	S	3	PD27DEC17		3098.00	3239.23	USD	-10,592.25	
26SEP17	S	1	PD27DEC17		3123.00	3239.23	USD	-2,905.75	
26SEP17	S	1	PD27DEC17		3126.00	3239.23	USD	-2,830.75	
26SEP17	S	1	PD27DEC17		3135.50	3239.23	USD	-2,593.25	
26SEP17	S	1	PD27DEC17		3137.50	3239.23	USD	-2,543.25	
26SEP17	S	2	PD27DEC17		3139.00	3239.23	USD	-5,011.50	
26SEP17	S	1	PD27DEC17		3149.00	3239.23	USD	-2,255.75	
26SEP17	S	1	PD27DEC17		3149.50	3239.23	USD	-2,243.25	
26SEP17	B	1	PD27DEC17		3148.50	3239.23	USD	2,268.25	
26SEP17	S	4	PD27DEC17		3146.50	3239.23	USD	-9,273.00	
26SEP17	S	2	PD27DEC17		3148.50	3239.23	USD	-4,536.50	
26SEP17	B	1	PD27DEC17		3117.00	3239.23	USD	3,055.75	
26SEP17	B	1	PD27DEC17		3117.50	3239.23	USD	3,043.25	
26SEP17	B	1	PD27DEC17		3118.50	3239.23	USD	3,018.25	
26SEP17	B	1	PD27DEC17		3120.00	3239.23	USD	2,980.75	
26SEP17	B	1	PD27DEC17		3105.50	3239.23	USD	3,343.25	
26SEP17	B	1	PD27DEC17		3109.50	3239.23	USD	3,243.25	
26SEP17	B	1	PD27DEC17		3111.00	3239.23	USD	3,205.75	
26SEP17	B	1	PD27DEC17		3112.50	3239.23	USD	3,168.25	
26SEP17	B	1	PD27DEC17		3111.50	3239.23	USD	3,193.25	
26SEP17	B	1	PD27DEC17		3112.00	3239.23	USD	3,180.75	
26SEP17	B	1	PD27DEC17		3113.50	3239.23	USD	3,143.25	

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backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
26SEP17	B	1	PD27DEC17	3114.00	3239.23	USD	3,130.75	
26SEP17	B	1	PD27DEC17	3114.50	3239.23	USD	3,118.25	
26SEP17	B	1	PD27DEC17	3115.00	3239.23	USD	3,105.75	
26SEP17	B	3	PD27DEC17	3115.50	3239.23	USD	9,279.75	
26SEP17	S	1	PD27DEC17	3112.00	3239.23	USD	-3,180.75	
26SEP17	B	1	PD27DEC17	3106.00	3239.23	USD	3,330.75	
26SEP17	B	2	PD27DEC17	3107.50	3239.23	USD	6,586.50	
26SEP17	B	2	PD27DEC17	3108.50	3239.23	USD	6,536.50	
26SEP17	S	1	PD27DEC17	3109.50	3239.23	USD	-3,243.25	
26SEP17	S	1	PD27DEC17	3111.00	3239.23	USD	-3,205.75	
26SEP17	S	2	PD27DEC17	3112.50	3239.23	USD	-6,336.50	
27SEP17	S	1	PD27DEC17	3137.50	3239.23	USD	-2,543.25	
27SEP17	S	2	PD27DEC17	3140.50	3239.23	USD	-4,936.50	
27SEP17	S	1	PD27DEC17	3142.00	3239.23	USD	-2,430.75	
27SEP17	S	3	PD27DEC17	3143.50	3239.23	USD	-7,179.75	
27SEP17	S	1	PD27DEC17	3144.00	3239.23	USD	-2,380.75	
27SEP17	S	2	PD27DEC17	3145.00	3239.23	USD	-4,711.50	
27SEP17	S	1	PD27DEC17	3145.50	3239.23	USD	-2,343.25	
27SEP17	S	2	PD27DEC17	3146.00	3239.23	USD	-4,661.50	
27SEP17	S	1	PD27DEC17	3147.00	3239.23	USD	-2,305.75	
27SEP17	B	1	PD27DEC17	3123.00	3239.23	USD	2,905.75	
27SEP17	B	1	PD27DEC17	3105.50	3239.23	USD	3,343.25	
27SEP17	B	1	PD27DEC17	3107.00	3239.23	USD	3,305.75	
27SEP17	B	1	PD27DEC17	3117.00	3239.23	USD	3,055.75	
27SEP17	B	6	PD27DEC17	3105.20	3239.23	USD	20,104.50	
28SEP17	B	5	PD27DEC17	3147.45	3239.23	USD	11,472.50	
	NET	0AVG BGHT 3112.09	SOLD 3126.48				16,188.75	0.00
28SEP17	S	1	PD28DEC17	3106.00	3238.85	USD	-3,321.25	
28SEP17	S	1	PD28DEC17	3109.50	3238.85	USD	-3,233.75	
28SEP17	S	1	PD28DEC17	3103.50	3238.85	USD	-3,383.75	
28SEP17	S	1	PD28DEC17	3109.00	3238.85	USD	-3,246.25	
28SEP17	S	1	PD28DEC17	3110.50	3238.85	USD	-3,208.75	
28SEP17	S	1	PD28DEC17	3112.00	3238.85	USD	-3,171.25	
28SEP17	S	1	PD28DEC17	3131.00	3238.85	USD	-2,696.25	
28SEP17	S	1	PD28DEC17	3130.00	3238.85	USD	-2,721.25	
28SEP17	S	1	PD28DEC17	3145.00	3238.85	USD	-2,346.25	
28SEP17	S	1	PD28DEC17	3128.50	3238.85	USD	-2,758.75	
28SEP17	S	1	PD28DEC17	3128.00	3238.85	USD	-2,771.25	
28SEP17	S	1	PD28DEC17	3134.50	3238.85	USD	-2,608.75	
28SEP17	B	2	PD28DEC17	3159.50	3238.85	USD	3,967.50	
28SEP17	B	1	PD28DEC17	3154.50	3238.85	USD	2,108.75	
28SEP17	B	1	PD28DEC17	3158.00	3238.85	USD	2,021.25	
28SEP17	B	4	PD28DEC17	3147.20	3238.85	USD	9,165.00	
02OCT17	B	4	PD28DEC17	3236.20	3238.85	USD	265.00	
	NET	0AVG BGHT 3180.43	SOLD 3120.63				-17,940.00	0.00
29SEP17	B	1	PD29DEC17	3152.50	3238.46	USD	2,149.00	
29SEP17	B	1	PD29DEC17	3150.00	3238.46	USD	2,211.50	
29SEP17	B	2	PD29DEC17	3148.00	3238.46	USD	4,523.00	
29SEP17	S	1	PD29DEC17	3167.00	3238.46	USD	-1,786.50	
29SEP17	B	1	PD29DEC17	3147.50	3238.46	USD	2,274.00	
29SEP17	B	1	PD29DEC17	3149.00	3238.46	USD	2,236.50	
29SEP17	S	2	PD29DEC17	3149.00	3238.46	USD	-4,473.00	
29SEP17	S	2	PD29DEC17	3163.00	3238.46	USD	-3,773.00	
29SEP17	S	1	PD29DEC17	3168.00	3238.46	USD	-1,761.50	
29SEP17	S	4	PD29DEC17	3146.85	3238.46	USD	-9,161.00	
29SEP17	S	1	PD29DEC17	3150.50	3238.46	USD	-2,199.00	
29SEP17	S	1	PD29DEC17	3151.00	3238.46	USD	-2,186.50	

OPEN POSITION STATEMENT



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SAP0094E

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>								
29SEP17	S	1	PD29DEC17		3155.00	3238.46	USD	-2,086.50	
29SEP17	S	1	PD29DEC17		3155.50	3238.46	USD	-2,074.00	
02OCT17	B	8	PD29DEC17		3236.20	3238.46	USD	452.00	
	NET	0 AVG	BGHT 3198.90	SOLD 3154.17				-15,655.00	0.00
03OCT17	S	1	PD03JAN18		3227.50	3237.69	USD	-254.75	
03OCT17	S	2	PD03JAN18		3228.50	3237.69	USD	-459.50	
03OCT17	S	1	PD03JAN18		3224.00	3237.69	USD	-342.25	
03OCT17	S	3	PD03JAN18		3225.00	3237.69	USD	-951.75	
03OCT17	S	1	PD03JAN18		3253.50	3237.69	USD	395.25	
03OCT17	S	1	PD03JAN18		3262.50	3237.69	USD	620.25	
03OCT17	S	2	PD03JAN18		3266.50	3237.69	USD	1,440.50	
03OCT17	S	1	PD03JAN18		3259.00	3237.69	USD	532.75	
03OCT17	S	1	PD03JAN18		3258.50	3237.69	USD	520.25	
03OCT17	S	1	PD03JAN18		3260.00	3237.69	USD	557.75	
03OCT17	S	2	PD03JAN18		3260.50	3237.69	USD	1,140.50	
03OCT17	S	2	PD03JAN18		3264.50	3237.69	USD	1,340.50	
03OCT17	S	2	PD03JAN18		3267.50	3237.69	USD	1,490.50	
03OCT17	S	2	PD03JAN18		3269.00	3237.69	USD	1,565.50	
03OCT17	S	1	PD03JAN18		3244.00	3237.69	USD	157.75	
03OCT17	S	1	PD03JAN18		3246.50	3237.69	USD	220.25	
03OCT17	S	1	PD03JAN18		3268.50	3237.69	USD	770.25	
03OCT17	S	1	PD03JAN18		3270.00	3237.69	USD	807.75	
03OCT17	S	2	PD03JAN18		3263.00	3237.69	USD	1,265.50	
03OCT17	S	1	PD03JAN18		3263.50	3237.69	USD	645.25	
03OCT17	S	2	PD03JAN18		3265.00	3237.69	USD	1,365.50	
03OCT17	S	2	PD03JAN18		3262.00	3237.69	USD	1,215.50	
03OCT17	S	2	PD03JAN18		3264.00	3237.69	USD	1,315.50	
03OCT17	S	1	PD03JAN18		3266.00	3237.69	USD	707.75	
03OCT17	B	1	PD03JAN18		3276.00	3237.69	USD	-957.75	
03OCT17	S	1	PD03JAN18		3270.50	3237.69	USD	820.25	
03OCT17	S	1	PD03JAN18		3279.50	3237.69	USD	1,045.25	
03OCT17	S	1	PD03JAN18		3280.50	3237.69	USD	1,070.25	
03OCT17	B	1	PD03JAN18		3287.50	3237.69	USD	-1,245.25	
03OCT17	S	3	PD03JAN18		3275.00	3237.69	USD	2,798.25	
03OCT17	B	1	PD03JAN18		3275.00	3237.69	USD	-932.75	
03OCT17	B	20	PD03JAN18		3264.20	3237.69	USD	-13,255.00	
	NET	-19 AVG	BGHT 3266.20	SOLD 3258.45				5,409.75	0.00
04OCT17	S	1	PD04JAN18		3276.50	3237.31	USD	979.75	
04OCT17	B	1	PD04JAN18		3282.00	3237.31	USD	-1,117.25	
04OCT17	S	1	PD04JAN18		3295.50	3237.31	USD	1,454.75	
04OCT17	S	1	PD04JAN18		3296.50	3237.31	USD	1,479.75	
04OCT17	S	2	PD04JAN18		3297.00	3237.31	USD	2,984.50	
04OCT17	S	1	PD04JAN18		3298.00	3237.31	USD	1,517.25	
04OCT17	S	1	PD04JAN18		3300.00	3237.31	USD	1,567.25	
04OCT17	S	1	PD04JAN18		3301.00	3237.31	USD	1,592.25	
04OCT17	S	1	PD04JAN18		3293.50	3237.31	USD	1,404.75	
04OCT17	S	1	PD04JAN18		3294.50	3237.31	USD	1,429.75	
04OCT17	S	1	PD04JAN18		3290.50	3237.31	USD	1,329.75	
04OCT17	S	1	PD04JAN18		3291.50	3237.31	USD	1,354.75	
04OCT17	S	2	PD04JAN18		3292.50	3237.31	USD	2,759.50	
04OCT17	B	1	PD04JAN18		3279.00	3237.31	USD	-1,042.25	
	NET	-12 AVG	BGHT 3280.50	SOLD 3294.04				17,694.50	0.00
05OCT17	S	1	PD05JAN18		3273.00	3236.92	USD	902.00	
05OCT17	S	1	PD05JAN18		3274.00	3236.92	USD	927.00	
05OCT17	S	1	PD05JAN18		3274.50	3236.92	USD	939.50	
05OCT17	S	1	PD05JAN18		3281.00	3236.92	USD	1,102.00	

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05OCT17	S	1	PD05JAN18	3281.50	3236.92	USD	1,114.50	
05OCT17	S	1	PD05JAN18	3282.00	3236.92	USD	1,127.00	
05OCT17	B	1	PD05JAN18	3289.00	3236.92	USD	-1,302.00	
05OCT17	B	1	PD05JAN18	3294.00	3236.92	USD	-1,427.00	
05OCT17	B	1	PD05JAN18	3290.00	3236.92	USD	-1,327.00	
05OCT17	B	1	PD05JAN18	3289.50	3236.92	USD	-1,314.50	
05OCT17	B	1	PD05JAN18	3287.00	3236.92	USD	-1,252.00	
05OCT17	B	1	PD05JAN18	3287.50	3236.92	USD	-1,264.50	
05OCT17	S	1	PD05JAN18	3300.00	3236.92	USD	1,577.00	
05OCT17	B	1	PD05JAN18	3295.50	3236.92	USD	-1,464.50	
05OCT17	B	1	PD05JAN18	3296.00	3236.92	USD	-1,477.00	
06OCT17	B	1	PD05JAN18	3291.00	3236.92	USD	-1,352.00	
06OCT17	B	1	PD05JAN18	3282.00	3236.92	USD	-1,127.00	
06OCT17	B	1	PD05JAN18	3281.50	3236.92	USD	-1,114.50	
06OCT17	B	1	PD05JAN18	3282.50	3236.92	USD	-1,139.50	
06OCT17	B	1	PD05JAN18	3250.00	3236.92	USD	-327.00	
06OCT17	B	1	PD05JAN18	3251.00	3236.92	USD	-352.00	
10OCT17	S	7	PD05JAN18	3280.64	3236.92	USD	7,651.00	
	NET	0 AVG BGHT 3283.32	SOLD 3280.75				-900.50	0.00
09OCT17	S	1	PD09JAN18	3265.00	3236.15	USD	721.25	
09OCT17	S	2	PD09JAN18	3265.50	3236.15	USD	1,467.50	
09OCT17	S	1	PD09JAN18	3264.00	3236.15	USD	696.25	
09OCT17	S	1	PD09JAN18	3264.50	3236.15	USD	708.75	
09OCT17	S	1	PD09JAN18	3270.00	3236.15	USD	846.25	
09OCT17	S	2	PD09JAN18	3270.50	3236.15	USD	1,717.50	
09OCT17	S	1	PD09JAN18	3273.00	3236.15	USD	921.25	
09OCT17	S	3	PD09JAN18	3267.00	3236.15	USD	2,313.75	
09OCT17	B	1	PD09JAN18	3256.50	3236.15	USD	-508.75	
10OCT17	B	7	PD09JAN18	3279.75	3236.15	USD	-7,630.00	
	NET	-4 AVG BGHT 3276.84	SOLD 3267.46				1,253.75	0.00
11OCT17	S	6	PD11JAN18	3222.35	3235.38	USD	-1,954.50	
11OCT17	S	8	PD11JAN18	3231.10	3235.38	USD	-856.00	
11OCT17	S	6	PD11JAN18	3229.85	3235.38	USD	-829.50	
11OCT17	B	1	PD11JAN18	3204.00	3235.38	USD	784.50	
11OCT17	B	3	PD11JAN18	3208.00	3235.38	USD	2,053.50	
11OCT17	B	1	PD11JAN18	3211.50	3235.38	USD	597.00	
11OCT17	B	1	PD11JAN18	3215.00	3235.38	USD	509.50	
11OCT17	B	1	PD11JAN18	3216.00	3235.38	USD	484.50	
11OCT17	B	1	PD11JAN18	3217.50	3235.38	USD	447.00	
11OCT17	B	1	PD11JAN18	3219.00	3235.38	USD	409.50	
11OCT17	B	2	PD11JAN18	3220.00	3235.38	USD	769.00	
11OCT17	B	2	PD11JAN18	3220.50	3235.38	USD	744.00	
11OCT17	B	1	PD11JAN18	3221.00	3235.38	USD	359.50	
11OCT17	B	1	PD11JAN18	3223.00	3235.38	USD	309.50	
11OCT17	B	1	PD11JAN18	3229.00	3235.38	USD	159.50	
11OCT17	B	1	PD11JAN18	3229.50	3235.38	USD	147.00	
11OCT17	B	1	PD11JAN18	3232.50	3235.38	USD	72.00	
11OCT17	B	1	PD11JAN18	3236.50	3235.38	USD	-28.00	
11OCT17	B	1	PD11JAN18	3241.00	3235.38	USD	-140.50	
11OCT17	B	1	PD11JAN18	3242.00	3235.38	USD	-165.50	
11OCT17	B	1	PD11JAN18	3245.00	3235.38	USD	-240.50	
11OCT17	B	2	PD11JAN18	3247.00	3235.38	USD	-581.00	
11OCT17	B	1	PD11JAN18	3247.50	3235.38	USD	-303.00	
11OCT17	B	2	PD11JAN18	3249.00	3235.38	USD	-681.00	
11OCT17	B	1	PD11JAN18	3250.50	3235.38	USD	-378.00	
11OCT17	B	1	PD11JAN18	3251.00	3235.38	USD	-390.50	
11OCT17	B	4	PD11JAN18	3251.50	3235.38	USD	-1,612.00	

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11OCT17	B	1	PD11JAN18	3252.00	3235.38	USD	-415.50	
11OCT17	B	2	PD11JAN18	3253.00	3235.38	USD	-881.00	
11OCT17	B	1	PD11JAN18	3253.50	3235.38	USD	-453.00	
	NET	+17 AVG BGHT 3233.68	SOLD 3228.10				-2,063.50	0.00
13OCT17	B	2	PD12JAN18	3249.50	3235.00	USD	-725.00	
13OCT17	B	1	PD12JAN18	3263.00	3235.00	USD	-700.00	
13OCT17	B	1	PD12JAN18	3265.00	3235.00	USD	-750.00	
13OCT17	B	1	PD12JAN18	3253.50	3235.00	USD	-462.50	
13OCT17	B	1	PD12JAN18	3254.00	3235.00	USD	-475.00	
13OCT17	B	1	PD12JAN18	3257.00	3235.00	USD	-550.00	
13OCT17	B	1	PD12JAN18	3260.00	3235.00	USD	-625.00	
13OCT17	B	1	PD12JAN18	3243.00	3235.00	USD	-200.00	
13OCT17	B	1	PD12JAN18	3244.00	3235.00	USD	-225.00	
13OCT17	B	1	PD12JAN18	3247.00	3235.00	USD	-300.00	
13OCT17	B	1	PD12JAN18	3246.50	3235.00	USD	-287.50	
13OCT17	B	3	PD12JAN18	3248.00	3235.00	USD	-975.00	
13OCT17	B	5	PD12JAN18	3250.00	3235.00	USD	-1,875.00	
13OCT17	B	1	PD12JAN18	3251.00	3235.00	USD	-400.00	
13OCT17	B	3	PD12JAN18	3251.50	3235.00	USD	-1,237.50	
13OCT17	B	3	PD12JAN18	3253.00	3235.00	USD	-1,350.00	
13OCT17	B	1	PD12JAN18	3254.50	3235.00	USD	-487.50	
13OCT17	B	1	PD12JAN18	3255.00	3235.00	USD	-500.00	
13OCT17	B	1	PD12JAN18	3245.50	3235.00	USD	-262.50	
13OCT17	B	1	PD12JAN18	3248.50	3235.00	USD	-337.50	
13OCT17	B	4	PD12JAN18	3249.00	3235.00	USD	-1,400.00	
13OCT17	B	1	PD12JAN18	3265.50	3235.00	USD	-762.50	
13OCT17	B	4	PD12JAN18	3239.50	3235.00	USD	-450.00	
13OCT17	B	1	PD12JAN18	3234.50	3235.00	USD	12.50	
13OCT17	B	1	PD12JAN18	3241.50	3235.00	USD	-162.50	
13OCT17	B	1	PD12JAN18	3244.50	3235.00	USD	-237.50	
13OCT17	B	3	PD12JAN18	3245.00	3235.00	USD	-750.00	
13OCT17	B	1	PD12JAN18	3238.50	3235.00	USD	-87.50	
13OCT17	S	4	PD12JAN18	3247.00	3235.00	USD	1,200.00	
	NET	+43 AVG BGHT 3249.10	SOLD 3247.00				-15,362.50	0.00

OPEN POSITION VALUE

		USD
LME Aluminium 25 Tonnes.	FUTURES	131,258.75
LME Lead 25 tonnes. This is an	FUTURES	-206,275.25
LME Nickel 6 tonnes. This is	FUTURES	-511,739.46
CMX Silver	FUTURES	-682,575.00
LME Zinc 25 tonnes. This is	FUTURES	3,424,362.25

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MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options	Amount	Profit / Loss	Total Amount	Total P&L
SILV - Futures USD	13,865.00	13,865.00	13,865.00	13,865.00
Unrealised Profits & Losses - Forwards	Amount	Profit / Loss	Total Amount	Total P&L
ALUL - Futures USD	24,666.75	24,666.75		
LEAD - Futures USD	-266,659.25	-266,659.25		
NKL - Futures USD	327,843.30	327,843.30		
ZINC - Futures USD	-434,847.00	-434,847.00	-348,996.20	-348,996.20

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	218,326.80	218,326.80
Variation Margins - Futures	13,865.00	13,865.00
- Options	0.00	0.00
TOTAL EQUITY	232,191.80	232,191.80
Initial Margins	-772,498.20	-772,498.20
Excess/(Shortage)	-540,306.40	-540,306.40
FOR INFORMATION ONLY		
Forward Unrealised P&L	-348,996.20	-348,996.20
Net Liquidating Value	-116,804.40	-116,804.40

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0094F

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
12SEP17	<u>CME JAPANESE YEN 12,500,000 CME</u> S 4 DEC17 NET -4 AVG SOLD 91.335	91.335	89.625	USD	8,550.00 8,550.00	0.00
12SEP17	<u>CME E-MINI JAPANESE YEN 6,250,000 CME</u> S 1 DEC17 NET -1 AVG SOLD 0.091360	0.091360	0.089625	USD	1,084.37 1,084.37	0.00

OPEN POSITION VALUE

		USD
CME Japanese Yen	FUTURES	456,675.00
CME E-mini Japanese Yen	FUTURES	57,100.00

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options	Amount	Profit / Loss	Total Amount	Total P&L
JPY - Futures	8,550.00	8,550.00		
MJY - Futures	1,084.37	1,084.37	9,634.37	9,634.37

ACCOUNT FINANCIAL SUMMARY

	JPY	USD	NETT USD
	(111.93000)	(1.00000)	(1.00000)
CASH BALANCE	54,868,575	-499,464.04	-9,259.67
Variation Margins - Futures	0	9,634.37	9,634.37
- Options	0	0.00	0.00
TOTAL EQUITY	54,868,575	-489,829.67	374.70
Initial Margins	0	-12,375.00	-12,375.00
Excess/(Shortage)	54,868,575	-502,204.67	-12,000.30
FOR INFORMATION ONLY			
Net Liquidating Value	54,868,575	-489,829.67	374.70

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	<u>LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.</u>							
28JUL17	B	1	PD27OCT17	1913.50	2114.08	USD	5,014.50	
28JUL17	S	1	PD27OCT17	1911.50	2114.08	USD	-5,064.50	
	NET	0 AVG	BGHT 1913.50	SOLD 1911.50			-50.00	0.00
31JUL17	S	1	PD31OCT17	1924.50	2113.75	USD	-4,731.25	
31JUL17	B	1	PD31OCT17	1918.20	2113.75	USD	4,888.75	
	NET	0 AVG	BGHT 1918.20	SOLD 1924.50			157.50	0.00
16AUG17	S	1	PD01NOV17	2091.30	2114.09	USD	-569.75	
01SEP17	B	1	PD01NOV17	2131.13	2114.09	USD	-426.00	
	NET	0 AVG	BGHT 2131.13	SOLD 2091.30			-995.75	0.00
02AUG17	B	1	PD02NOV17	1925.50	2114.44	USD	4,723.50	
01SEP17	S	1	PD02NOV17	2131.17	2114.44	USD	418.25	
	NET	0 AVG	BGHT 1925.50	SOLD 2131.17			5,141.75	0.00
03AUG17	B	1	PD03NOV17	1913.50	2114.78	USD	5,032.00	
03AUG17	S	1	PD03NOV17	1921.00	2114.78	USD	-4,844.50	
03AUG17	B	1	PD03NOV17	1911.00	2114.78	USD	5,094.50	
16AUG17	S	1	PD03NOV17	2091.62	2114.78	USD	-579.00	
	NET	0 AVG	BGHT 1912.25	SOLD 2006.31			4,703.00	0.00
07AUG17	S	1	PD07NOV17	1923.00	2116.16	USD	-4,829.00	
07AUG17	S	1	PD07NOV17	1923.50	2116.16	USD	-4,816.50	
07AUG17	B	1	PD07NOV17	1928.50	2116.16	USD	4,691.50	
07AUG17	S	1	PD07NOV17	1930.00	2116.16	USD	-4,654.00	
07AUG17	S	4	PD07NOV17	1929.00	2116.16	USD	-18,716.00	
07AUG17	S	1	PD07NOV17	1931.50	2116.16	USD	-4,616.50	
07AUG17	S	1	PD07NOV17	1963.00	2116.16	USD	-3,829.00	
07AUG17	S	1	PD07NOV17	1964.00	2116.16	USD	-3,804.00	
16AUG17	B	7	PD07NOV17	2092.25	2116.16	USD	4,184.25	
16AUG17	B	2	PD07NOV17	2092.45	2116.16	USD	1,185.50	
	NET	0 AVG	BGHT 2075.92	SOLD 1935.10			-35,203.75	0.00
08AUG17	S	1	PD08NOV17	2008.00	2116.50	USD	-2,712.50	
08AUG17	S	1	PD08NOV17	2009.00	2116.50	USD	-2,687.50	
16AUG17	B	1	PD08NOV17	2092.50	2116.50	USD	600.00	
01SEP17	B	1	PD08NOV17	2132.81	2116.50	USD	-407.75	
	NET	0 AVG	BGHT 2112.66	SOLD 2008.50			-5,207.75	0.00
09AUG17	B	1	PD09NOV17	2031.00	2116.96	USD	2,149.00	
09AUG17	B	1	PD09NOV17	2031.50	2116.96	USD	2,136.50	
09AUG17	S	1	PD09NOV17	2031.50	2116.96	USD	-2,136.50	
09AUG17	B	1	PD09NOV17	2027.00	2116.96	USD	2,249.00	
09AUG17	B	1	PD09NOV17	2028.00	2116.96	USD	2,224.00	
09AUG17	B	1	PD09NOV17	2021.50	2116.96	USD	2,386.50	
16AUG17	S	4	PD09NOV17	2092.55	2116.96	USD	-2,441.00	
	NET	0 AVG	BGHT 2027.80	SOLD 2080.34			6,567.50	0.00
10AUG17	S	1	PD10NOV17	2038.50	2117.43	USD	-1,973.25	
10AUG17	S	1	PD10NOV17	2040.00	2117.43	USD	-1,935.75	
10AUG17	B	1	PD10NOV17	2040.00	2117.43	USD	1,935.75	
11AUG17	B	1	PD10NOV17	2043.00	2117.43	USD	1,860.75	
11AUG17	B	4	PD10NOV17	2044.00	2117.43	USD	7,343.00	
16AUG17	S	4	PD10NOV17	2092.80	2117.43	USD	-2,463.00	
	NET	0 AVG	BGHT 2043.17	SOLD 2074.95			4,767.50	0.00
14AUG17	B	1	PD14NOV17	2029.00	2119.29	USD	2,257.25	
14AUG17	S	1	PD14NOV17	2031.00	2119.29	USD	-2,207.25	
	NET	0 AVG	BGHT 2029.00	SOLD 2031.00			50.00	0.00
16AUG17	B	1	PD16NOV17	2076.50	2120.07	USD	1,089.25	
01SEP17	S	1	PD16NOV17	2133.55	2120.07	USD	337.00	
	NET	0 AVG	BGHT 2076.50	SOLD 2133.55			1,426.25	0.00

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	<u>LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.</u>					
17AUG17	S 1 PD17NOV17	2107.00	2120.38	USD	-334.50	
01SEP17	B 1 PD17NOV17	2133.00	2120.38	USD	-315.50	
	NET 0AVG BGHT 2133.00 SOLD 2107.00				-650.00	0.00
31AUG17	B 1 PD30NOV17	2128.50	2124.49	USD	-100.25	
01SEP17	S 1 PD30NOV17	2135.59	2124.49	USD	277.50	
	NET 0AVG BGHT 2128.50 SOLD 2135.59				177.25	0.00
	<u>LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
31JUL17	B 1 PD31OCT17	2334.50	2520.70	USD	4,655.00	
16AUG17	S 1 PD31OCT17	2515.31	2520.70	USD	-134.75	
	NET 0AVG BGHT 2334.50 SOLD 2515.31				4,520.25	0.00
07AUG17	B 1 PD07NOV17	2370.00	2521.67	USD	3,791.75	
07AUG17	B 1 PD07NOV17	2370.50	2521.67	USD	3,779.25	
07AUG17	S 1 PD07NOV17	2367.50	2521.67	USD	-3,854.25	
16AUG17	S 1 PD07NOV17	2516.79	2521.67	USD	-122.00	
	NET 0AVG BGHT 2370.25 SOLD 2442.15				3,594.75	0.00
08AUG17	B 1 PD08NOV17	2349.50	2521.81	USD	4,307.75	
08AUG17	S 1 PD08NOV17	2378.00	2521.81	USD	-3,595.25	
08AUG17	S 1 PD08NOV17	2382.00	2521.81	USD	-3,495.25	
08AUG17	B 1 PD08NOV17	2386.00	2521.81	USD	3,395.25	
	NET 0AVG BGHT 2367.75 SOLD 2380.00				612.50	0.00
09AUG17	B 1 PD09NOV17	2399.00	2521.94	USD	3,073.50	
09AUG17	S 1 PD09NOV17	2405.00	2521.94	USD	-2,923.50	
09AUG17	B 1 PD09NOV17	2378.00	2521.94	USD	3,598.50	
09AUG17	S 1 PD09NOV17	2371.00	2521.94	USD	-3,773.50	
09AUG17	B 1 PD09NOV17	2367.50	2521.94	USD	3,861.00	
01SEP17	S 1 PD09NOV17	2388.87	2521.94	USD	-3,326.75	
	NET 0AVG BGHT 2381.50 SOLD 2388.29				509.25	0.00
10AUG17	S 1 PD10NOV17	2379.50	2522.08	USD	-3,564.50	
10AUG17	S 1 PD10NOV17	2366.50	2522.08	USD	-3,889.50	
10AUG17	B 1 PD10NOV17	2369.00	2522.08	USD	3,827.00	
11AUG17	S 1 PD10NOV17	2342.00	2522.08	USD	-4,502.00	
11AUG17	B 1 PD10NOV17	2344.00	2522.08	USD	4,452.00	
11AUG17	S 1 PD10NOV17	2356.00	2522.08	USD	-4,152.00	
11AUG17	B 1 PD10NOV17	2340.50	2522.08	USD	4,539.50	
16AUG17	B 1 PD10NOV17	2517.54	2522.08	USD	113.50	
	NET 0AVG BGHT 2392.76 SOLD 2361.00				-3,176.00	0.00
16AUG17	B 1 PD16NOV17	2432.50	2522.75	USD	2,256.25	
16AUG17	S 1 PD16NOV17	2512.00	2522.75	USD	-268.75	
	NET 0AVG BGHT 2432.50 SOLD 2512.00				1,987.50	0.00
17AUG17	S 1 PD17NOV17	2531.00	2522.75	USD	206.25	
01SEP17	B 1 PD17NOV17	2391.23	2522.75	USD	3,288.00	
	NET 0AVG BGHT 2391.23 SOLD 2531.00				3,494.25	0.00
	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
28JUL17	S 1 PD27OCT17	10210.00	11617.31	USD	-8,443.86	
28JUL17	S 1 PD27OCT17	10195.00	11617.31	USD	-8,533.86	
28JUL17	S 1 PD27OCT17	10215.00	11617.31	USD	-8,413.86	
28JUL17	B 3 PD27OCT17	10201.00	11617.31	USD	25,493.58	
	NET 0AVG BGHT 10201.00 SOLD 10206.67				102.00	0.00
31JUL17	B 1 PD31OCT17	10260.00	11620.72	USD	8,164.32	
31JUL17	B 1 PD31OCT17	10275.00	11620.72	USD	8,074.32	
31JUL17	B 1 PD31OCT17	10285.00	11620.72	USD	8,014.32	
31JUL17	B 2 PD31OCT17	10280.00	11620.72	USD	16,088.64	

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
01SEP17	S	5	PD31OCT17	12013.74	11620.72	USD	11,790.60	
	NET	0 AVG BGHT 10276.00		SOLD 12013.74			52,132.20	0.00
03AUG17	S	1	PD03NOV17	10365.00	11623.28	USD	-7,549.68	
03AUG17	S	3	PD03NOV17	10370.00	11623.28	USD	-22,559.04	
16AUG17	B	1	PD03NOV17	10753.69	11623.28	USD	5,217.54	
01SEP17	B	3	PD03NOV17	12017.19	11623.28	USD	-7,090.38	
	NET	0 AVG BGHT 11701.32		SOLD 10368.75			-31,981.56	0.00
10AUG17	S	1	PD10NOV17	10935.00	11629.24	USD	-4,165.44	
11AUG17	B	1	PD10NOV17	10655.00	11629.24	USD	5,845.44	
	NET	0 AVG BGHT 10655.00		SOLD 10935.00			1,680.00	0.00
14AUG17	B	1	PD14NOV17	10505.00	11632.65	USD	6,765.90	
14AUG17	B	1	PD14NOV17	10490.00	11632.65	USD	6,855.90	
14AUG17	B	1	PD14NOV17	10415.00	11632.65	USD	7,305.90	
14AUG17	B	1	PD14NOV17	10420.00	11632.65	USD	7,275.90	
01SEP17	S	4	PD14NOV17	12025.18	11632.65	USD	9,420.72	
	NET	0 AVG BGHT 10457.50		SOLD 12025.18			37,624.32	0.00
17AUG17	S	2	PD17NOV17	10775.00	11634.87	USD	-10,318.44	
01SEP17	B	2	PD17NOV17	12026.67	11634.87	USD	-4,701.60	
	NET	0 AVG BGHT 12026.67		SOLD 10775.00			-15,020.04	0.00
21AUG17	S	1	PD21NOV17	11260.00	11637.61	USD	-2,265.66	
21AUG17	S	1	PD21NOV17	11265.00	11637.61	USD	-2,235.66	
21AUG17	S	1	PD21NOV17	11330.00	11637.61	USD	-1,845.66	
01SEP17	B	3	PD21NOV17	12029.33	11637.61	USD	-7,050.96	
	NET	0 AVG BGHT 12029.33		SOLD 11285.00			-13,397.94	0.00
23AUG17	S	1	PD24NOV17	11685.00	11639.67	USD	271.98	
01SEP17	B	1	PD24NOV17	12031.33	11639.67	USD	-2,349.96	
	NET	0 AVG BGHT 12031.33		SOLD 11685.00			-2,077.98	0.00
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
28JUL17	B	1	PD27OCT17	2768.00	3270.73	USD	12,568.25	
28JUL17	B	2	PD27OCT17	2772.00	3270.73	USD	24,936.50	
28JUL17	B	1	PD27OCT17	2771.00	3270.73	USD	12,493.25	
28JUL17	S	2	PD27OCT17	2787.00	3270.73	USD	-24,186.50	
28JUL17	S	1	PD27OCT17	2798.00	3270.73	USD	-11,818.25	
28JUL17	S	1	PD27OCT17	2775.80	3270.73	USD	-12,373.25	
	NET	0 AVG BGHT 2770.75		SOLD 2786.95			1,620.00	0.00
31JUL17	B	1	PD31OCT17	2812.00	3268.47	USD	11,411.75	
31JUL17	S	1	PD31OCT17	2811.00	3268.47	USD	-11,436.75	
31JUL17	S	1	PD31OCT17	2806.00	3268.47	USD	-11,561.75	
31JUL17	S	1	PD31OCT17	2806.50	3268.47	USD	-11,549.25	
31JUL17	S	2	PD31OCT17	2807.00	3268.47	USD	-23,073.50	
16AUG17	B	4	PD31OCT17	3118.77	3268.47	USD	14,970.00	
	NET	0 AVG BGHT 3057.42		SOLD 2807.50			-31,239.50	0.00
01AUG17	S	1	PD01NOV17	2785.00	3267.33	USD	-12,058.25	
16AUG17	B	1	PD01NOV17	3118.88	3267.33	USD	3,711.25	
	NET	0 AVG BGHT 3118.88		SOLD 2785.00			-8,347.00	0.00
02AUG17	B	1	PD02NOV17	2766.00	3266.20	USD	12,505.00	
02AUG17	S	1	PD02NOV17	2785.50	3266.20	USD	-12,017.50	
	NET	0 AVG BGHT 2766.00		SOLD 2785.50			487.50	0.00
04AUG17	B	1	PD03NOV17	2804.00	3265.07	USD	11,526.75	
04AUG17	B	2	PD03NOV17	2812.50	3265.07	USD	22,628.50	
16AUG17	S	3	PD03NOV17	3118.92	3265.07	USD	-10,961.25	
	NET	0 AVG BGHT 2809.67		SOLD 3118.92			23,194.00	0.00

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07AUG17	S	1	PD07NOV17	2826.50	3262.80	USD	-10,907.50	
07AUG17	S	1	PD07NOV17	2826.00	3262.80	USD	-10,920.00	
07AUG17	S	1	PD07NOV17	2828.00	3262.80	USD	-10,870.00	
07AUG17	S	1	PD07NOV17	2824.50	3262.80	USD	-10,957.50	
07AUG17	S	1	PD07NOV17	2825.00	3262.80	USD	-10,945.00	
07AUG17	S	2	PD07NOV17	2825.50	3262.80	USD	-21,865.00	
07AUG17	S	2	PD07NOV17	2830.50	3262.80	USD	-21,615.00	
07AUG17	S	1	PD07NOV17	2831.50	3262.80	USD	-10,782.50	
07AUG17	B	1	PD07NOV17	2886.50	3262.80	USD	9,407.50	
07AUG17	B	1	PD07NOV17	2879.50	3262.80	USD	9,582.50	
07AUG17	B	1	PD07NOV17	2881.00	3262.80	USD	9,545.00	
07AUG17	B	1	PD07NOV17	2884.00	3262.80	USD	9,470.00	
07AUG17	B	1	PD07NOV17	2871.00	3262.80	USD	9,795.00	
07AUG17	B	1	PD07NOV17	2867.00	3262.80	USD	9,895.00	
07AUG17	B	1	PD07NOV17	2868.00	3262.80	USD	9,870.00	
07AUG17	B	1	PD07NOV17	2872.50	3262.80	USD	9,757.50	
07AUG17	B	1	PD07NOV17	2867.50	3262.80	USD	9,882.50	
16AUG17	B	1	PD07NOV17	3119.58	3262.80	USD	3,580.50	
	NET	0 AVG BGHT 2899.66	SOLD 2827.35				-18,077.00	0.00
08AUG17	S	1	PD08NOV17	2880.00	3261.67	USD	-9,541.75	
08AUG17	B	1	PD08NOV17	2895.50	3261.67	USD	9,154.25	
08AUG17	B	1	PD08NOV17	2897.50	3261.67	USD	9,104.25	
08AUG17	S	1	PD08NOV17	2913.00	3261.67	USD	-8,716.75	
08AUG17	B	1	PD08NOV17	2914.50	3261.67	USD	8,679.25	
08AUG17	S	1	PD08NOV17	2913.50	3261.67	USD	-8,704.25	
08AUG17	S	2	PD08NOV17	2914.50	3261.67	USD	-17,358.50	
08AUG17	S	1	PD08NOV17	2926.50	3261.67	USD	-8,379.25	
16AUG17	B	3	PD08NOV17	3119.69	3261.67	USD	10,648.50	
	NET	0 AVG BGHT 3011.10	SOLD 2910.33				-15,114.25	0.00
09AUG17	S	1	PD09NOV17	2947.50	3260.53	USD	-7,825.75	
09AUG17	S	1	PD09NOV17	2979.50	3260.53	USD	-7,025.75	
09AUG17	S	1	PD09NOV17	2974.00	3260.53	USD	-7,163.25	
09AUG17	S	1	PD09NOV17	2973.50	3260.53	USD	-7,175.75	
09AUG17	S	1	PD09NOV17	2961.50	3260.53	USD	-7,475.75	
09AUG17	B	1	PD09NOV17	2957.00	3260.53	USD	7,588.25	
09AUG17	B	3	PD09NOV17	2956.00	3260.53	USD	22,839.75	
09AUG17	B	2	PD09NOV17	2950.00	3260.53	USD	15,526.50	
09AUG17	B	1	PD09NOV17	2951.00	3260.53	USD	7,738.25	
09AUG17	S	1	PD09NOV17	2954.00	3260.53	USD	-7,663.25	
09AUG17	S	1	PD09NOV17	2955.00	3260.53	USD	-7,638.25	
09AUG17	S	1	PD09NOV17	2967.00	3260.53	USD	-7,338.25	
09AUG17	S	1	PD09NOV17	2965.50	3260.53	USD	-7,375.75	
09AUG17	S	1	PD09NOV17	2975.00	3260.53	USD	-7,138.25	
09AUG17	B	1	PD09NOV17	2972.50	3260.53	USD	7,200.75	
09AUG17	S	2	PD09NOV17	2953.00	3260.53	USD	-15,376.50	
09AUG17	B	1	PD09NOV17	2941.00	3260.53	USD	7,988.25	
09AUG17	S	1	PD09NOV17	2946.50	3260.53	USD	-7,850.75	
09AUG17	S	1	PD09NOV17	2956.50	3260.53	USD	-7,600.75	
16AUG17	B	4	PD09NOV17	3119.81	3260.53	USD	14,072.00	
01SEP17	B	1	PD09NOV17	3183.90	3260.53	USD	1,915.75	
	NET	0 AVG BGHT 3018.05	SOLD 2961.54				-19,778.50	0.00
10AUG17	B	1	PD10NOV17	2943.00	3259.40	USD	7,910.00	
10AUG17	B	1	PD10NOV17	2949.50	3259.40	USD	7,747.50	
10AUG17	B	1	PD10NOV17	2921.00	3259.40	USD	8,460.00	
10AUG17	S	1	PD10NOV17	2939.50	3259.40	USD	-7,997.50	
11AUG17	B	1	PD10NOV17	2895.00	3259.40	USD	9,110.00	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0094G

13th October 2017

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
11AUG17	S	1	PD10NOV17	2911.00	3259.40	USD	-8,710.00	
11AUG17	B	1	PD10NOV17	2911.00	3259.40	USD	8,710.00	
11AUG17	S	1	PD10NOV17	2907.00	3259.40	USD	-8,810.00	
11AUG17	B	1	PD10NOV17	2905.00	3259.40	USD	8,860.00	
11AUG17	B	1	PD10NOV17	2899.00	3259.40	USD	9,010.00	
11AUG17	B	1	PD10NOV17	2901.50	3259.40	USD	8,947.50	
11AUG17	B	1	PD10NOV17	2902.50	3259.40	USD	8,922.50	
11AUG17	B	1	PD10NOV17	2897.00	3259.40	USD	9,060.00	
11AUG17	B	1	PD10NOV17	2898.50	3259.40	USD	9,022.50	
11AUG17	B	1	PD10NOV17	2899.50	3259.40	USD	8,997.50	
11AUG17	B	1	PD10NOV17	2900.50	3259.40	USD	8,972.50	
16AUG17	S	10	PD10NOV17	3119.72	3259.40	USD	-34,920.00	
	NET	0 AVG	BGHT 2909.46	SOLD 3073.44			53,292.50	0.00
14AUG17	S	1	PD14NOV17	2916.00	3257.13	USD	-8,528.25	
14AUG17	S	1	PD14NOV17	2917.00	3257.13	USD	-8,503.25	
01SEP17	B	2	PD14NOV17	3184.25	3257.13	USD	3,644.00	
	NET	0 AVG	BGHT 3184.25	SOLD 2916.50			-13,387.50	0.00
16AUG17	S	1	PD16NOV17	3023.00	3253.50	USD	-5,762.50	
16AUG17	S	1	PD16NOV17	3023.50	3253.50	USD	-5,750.00	
16AUG17	S	3	PD16NOV17	3022.00	3253.50	USD	-17,362.50	
16AUG17	S	1	PD16NOV17	3024.00	3253.50	USD	-5,737.50	
16AUG17	S	1	PD16NOV17	3028.50	3253.50	USD	-5,625.00	
16AUG17	S	1	PD16NOV17	3030.00	3253.50	USD	-5,587.50	
16AUG17	B	1	PD16NOV17	3022.00	3253.50	USD	5,787.50	
16AUG17	S	1	PD16NOV17	3035.00	3253.50	USD	-5,462.50	
16AUG17	S	1	PD16NOV17	3050.00	3253.50	USD	-5,087.50	
16AUG17	B	1	PD16NOV17	3114.50	3253.50	USD	3,475.00	
16AUG17	B	1	PD16NOV17	3111.50	3253.50	USD	3,550.00	
16AUG17	B	1	PD16NOV17	3121.00	3253.50	USD	3,312.50	
16AUG17	B	7	PD16NOV17	3119.20	3253.50	USD	23,502.50	
01SEP17	S	1	PD16NOV17	3181.80	3253.50	USD	-1,792.50	
	NET	0 AVG	BGHT 3109.40	SOLD 3041.98			-18,540.00	0.00
17AUG17	B	1	PD17NOV17	3142.00	3251.00	USD	2,725.00	
17AUG17	B	1	PD17NOV17	3134.00	3251.00	USD	2,925.00	
01SEP17	S	2	PD17NOV17	3181.80	3251.00	USD	-3,460.00	
	NET	0 AVG	BGHT 3138.00	SOLD 3181.80			2,190.00	0.00
21AUG17	S	1	PD21NOV17	3139.50	3249.25	USD	-2,743.75	
21AUG17	B	1	PD21NOV17	3125.70	3249.25	USD	3,088.75	
	NET	0 AVG	BGHT 3125.70	SOLD 3139.50			345.00	0.00
24AUG17	B	1	PD24NOV17	3111.50	3247.50	USD	3,400.00	
25AUG17	S	1	PD24NOV17	3090.50	3247.50	USD	-3,925.00	
	NET	0 AVG	BGHT 3111.50	SOLD 3090.50			-525.00	0.00
29AUG17	S	1	PD29NOV17	3107.50	3246.75	USD	-3,481.25	
29AUG17	B	1	PD29NOV17	3093.50	3246.75	USD	3,831.25	
29AUG17	B	1	PD29NOV17	3109.00	3246.75	USD	3,443.75	
29AUG17	S	1	PD29NOV17	3119.00	3246.75	USD	-3,193.75	
	NET	0 AVG	BGHT 3101.25	SOLD 3113.25			600.00	0.00
31AUG17	B	1	PD30NOV17	3127.50	3246.50	USD	2,975.00	
31AUG17	B	3	PD30NOV17	3127.00	3246.50	USD	8,962.50	
31AUG17	B	2	PD30NOV17	3125.00	3246.50	USD	6,075.00	
31AUG17	B	1	PD30NOV17	3128.00	3246.50	USD	2,962.50	
31AUG17	B	2	PD30NOV17	3128.50	3246.50	USD	5,900.00	
31AUG17	S	1	PD30NOV17	3141.50	3246.50	USD	-2,625.00	
31AUG17	B	1	PD30NOV17	3158.50	3246.50	USD	2,200.00	
31AUG17	B	5	PD30NOV17	3126.50	3246.50	USD	15,000.00	

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
31AUG17	S	5	PD30NOV17	3127.60	3246.50	USD	-14,862.50	
31AUG17	S	3	PD30NOV17	3125.60	3246.50	USD	-9,067.50	
31AUG17	S	6	PD30NOV17	3145.80	3246.50	USD	-15,105.00	
	NET	0AVG	BGHT 3128.97		SOLD 3135.41		2,415.00	0.00

OPEN POSITION VALUE

		USD
LME Aluminium 25 Tonnes.	FUTURES	-19,116.50
LME Lead 25 tonnes. This is an	FUTURES	11,542.50
LME Nickel 6 tonnes. This is	FUTURES	29,061.00
LME Zinc 25 tonnes. This is	FUTURES	-40,864.75

MARKET REVALUATION BREAKDOWN

Unrealised Profits & Losses - Forwards	Amount	Profit / Loss	Total Amount	Total P&L
ALUL - Futures	USD -19,116.50	-19,116.50		
LEAD - Futures	USD 11,542.50	11,542.50		
NKL - Futures	USD 29,061.00	29,061.00		
ZINC - Futures	USD -40,864.75	-40,864.75	-19,377.75	-19,377.75

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
(1.00000)	(1.00000)	
CASH BALANCE	19,377.75	19,377.75
Variation Margins - Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	19,377.75	19,377.75
Initial Margins	-19,377.75	-19,377.75
Excess/(Shortage)	0.00	0.00
FOR INFORMATION ONLY		
Forward Unrealised P&L	-19,377.75	-19,377.75
Net Liquidating Value	0.00	0.00

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17JUL17	B	1	PD17OCT17	1905.00	2109.75	USD	5,118.75	
17JUL17	B	1	PD17OCT17	1913.00	2109.75	USD	4,918.75	
17JUL17	B	1	PD17OCT17	1900.50	2109.75	USD	5,231.25	
31JUL17	S	1	PD17OCT17	1914.33	2109.75	USD	-4,885.50	
31JUL17	S	2	PD17OCT17	1914.53	2109.75	USD	-9,761.00	
	NET	0 AVG	BGHT 1906.17	SOLD 1914.46			622.25	0.00
18JUL17	S	1	PD18OCT17	1918.50	2110.25	USD	-4,793.75	
18JUL17	S	1	PD18OCT17	1921.50	2110.25	USD	-4,718.75	
18JUL17	S	1	PD18OCT17	1924.00	2110.25	USD	-4,656.25	
18JUL17	S	1	PD18OCT17	1933.00	2110.25	USD	-4,431.25	
31JUL17	B	2	PD18OCT17	1914.95	2110.25	USD	9,765.00	
31JUL17	B	2	PD18OCT17	1914.75	2110.25	USD	9,775.00	
	NET	0 AVG	BGHT 1914.85	SOLD 1924.25			940.00	0.00
19JUL17	S	1	PD19OCT17	1934.00	2110.75	USD	-4,418.75	
19JUL17	S	1	PD19OCT17	1935.50	2110.75	USD	-4,381.25	
19JUL17	S	1	PD19OCT17	1939.00	2110.75	USD	-4,293.75	
19JUL17	B	1	PD19OCT17	1921.50	2110.75	USD	4,731.25	
19JUL17	B	2	PD19OCT17	1921.00	2110.75	USD	9,487.50	
19JUL17	S	1	PD19OCT17	1920.50	2110.75	USD	-4,756.25	
31JUL17	B	1	PD19OCT17	1914.25	2110.75	USD	4,912.50	
	NET	0 AVG	BGHT 1919.44	SOLD 1932.25			1,281.25	0.00
21JUL17	B	1	PD20OCT17	1916.00	2111.25	USD	4,881.25	
21JUL17	B	1	PD20OCT17	1915.50	2111.25	USD	4,893.75	
31JUL17	S	2	PD20OCT17	1914.36	2111.25	USD	-9,844.50	
	NET	0 AVG	BGHT 1915.75	SOLD 1914.36			-69.50	0.00
24JUL17	B	1	PD24OCT17	1911.00	2113.25	USD	5,056.25	
31JUL17	S	1	PD24OCT17	1915.61	2113.25	USD	-4,941.00	
	NET	0 AVG	BGHT 1911.00	SOLD 1915.61			115.25	0.00
25JUL17	S	2	PD25OCT17	1925.50	2113.75	USD	-9,412.50	
25JUL17	S	1	PD25OCT17	1931.50	2113.75	USD	-4,556.25	
25JUL17	S	1	PD25OCT17	1948.50	2113.75	USD	-4,131.25	
25JUL17	S	1	PD25OCT17	1945.00	2113.75	USD	-4,218.75	
31JUL17	B	5	PD25OCT17	1916.13	2113.75	USD	24,702.50	
	NET	0 AVG	BGHT 1916.13	SOLD 1935.20			2,383.75	0.00
26JUL17	S	1	PD26OCT17	1959.00	2114.25	USD	-3,881.25	
26JUL17	S	1	PD26OCT17	1960.00	2114.25	USD	-3,856.25	
26JUL17	B	1	PD26OCT17	1962.50	2114.25	USD	3,793.75	
26JUL17	S	2	PD26OCT17	1957.50	2114.25	USD	-7,837.50	
26JUL17	S	2	PD26OCT17	1961.50	2114.25	USD	-7,637.50	
26JUL17	S	4	PD26OCT17	1962.00	2114.25	USD	-15,225.00	
26JUL17	B	2	PD26OCT17	1936.00	2114.25	USD	8,912.50	
26JUL17	B	1	PD26OCT17	1937.50	2114.25	USD	4,418.75	
26JUL17	B	1	PD26OCT17	1934.50	2114.25	USD	4,493.75	
26JUL17	B	1	PD26OCT17	1935.00	2114.25	USD	4,481.25	
26JUL17	B	2	PD26OCT17	1935.50	2114.25	USD	8,937.50	
26JUL17	B	1	PD26OCT17	1933.00	2114.25	USD	4,531.25	
26JUL17	B	1	PD26OCT17	1933.50	2114.25	USD	4,518.75	
26JUL17	B	1	PD26OCT17	1958.00	2114.25	USD	3,906.25	
26JUL17	B	1	PD26OCT17	1958.50	2114.25	USD	3,893.75	
26JUL17	B	1	PD26OCT17	1931.50	2114.25	USD	4,568.75	
26JUL17	S	1	PD26OCT17	1956.50	2114.25	USD	-3,943.75	
26JUL17	S	1	PD26OCT17	1957.00	2114.25	USD	-3,931.25	
26JUL17	S	1	PD26OCT17	1960.50	2114.25	USD	-3,843.75	
26JUL17	B	1	PD26OCT17	1938.00	2114.25	USD	4,406.25	
26JUL17	S	1	PD26OCT17	1937.50	2114.25	USD	-4,418.75	
26JUL17	B	1	PD26OCT17	1936.50	2114.25	USD	4,443.75	

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26JUL17	S	1	PD26OCT17	1938.50	2114.25	USD	-4,393.75	
	NET	0 AVG	BGHT 1940.10	SOLD 1957.00			6,337.50	0.00
27JUL17	S	1	PD27OCT17	1953.50	2114.08	USD	-4,014.50	
27JUL17	B	1	PD27OCT17	1940.50	2114.08	USD	4,339.50	
27JUL17	B	1	PD27OCT17	1934.50	2114.08	USD	4,489.50	
27JUL17	B	1	PD27OCT17	1935.50	2114.08	USD	4,464.50	
27JUL17	S	1	PD27OCT17	1934.50	2114.08	USD	-4,489.50	
28JUL17	B	1	PD27OCT17	1934.00	2114.08	USD	4,502.00	
28JUL17	S	1	PD27OCT17	1932.00	2114.08	USD	-4,552.00	
28JUL17	B	1	PD27OCT17	1929.00	2114.08	USD	4,627.00	
28JUL17	B	1	PD27OCT17	1927.00	2114.08	USD	4,677.00	
28JUL17	B	1	PD27OCT17	1925.50	2114.08	USD	4,714.50	
28JUL17	B	1	PD27OCT17	1922.00	2114.08	USD	4,802.00	
28JUL17	B	1	PD27OCT17	1913.50	2114.08	USD	5,014.50	
31JUL17	S	6	PD27OCT17	1916.55	2114.08	USD	-29,629.50	
	NET	0 AVG	BGHT 1929.06	SOLD 1924.37			-1,055.00	0.00
31JUL17	B	2	PD31OCT17	1913.50	2113.75	USD	10,012.50	
31JUL17	B	1	PD31OCT17	1912.50	2113.75	USD	5,031.25	
31JUL17	B	2	PD31OCT17	1913.00	2113.75	USD	10,037.50	
31JUL17	S	5	PD31OCT17	1917.80	2113.75	USD	-24,493.75	
	NET	0 AVG	BGHT 1913.10	SOLD 1917.80			587.50	0.00
01AUG17	B	2	PD01NOV17	1916.00	2114.09	USD	9,904.50	
03AUG17	S	2	PD01NOV17	1915.30	2114.09	USD	-9,939.50	
	NET	0 AVG	BGHT 1916.00	SOLD 1915.30			-35.00	0.00
02AUG17	B	1	PD02NOV17	1922.00	2114.44	USD	4,811.00	
03AUG17	S	1	PD02NOV17	1915.55	2114.44	USD	-4,972.25	
21SEP17	S	3	PD02NOV17	2157.11	2114.44	USD	3,200.25	
25SEP17	S	1	PD02NOV17	2132.91	2114.44	USD	461.75	
27SEP17	S	1	PD02NOV17	2114.82	2114.44	USD	9.50	
13OCT17	B	1	PD02NOV17	2120.80	2114.44	USD	-159.00	
	NET	-4 AVG	BGHT 2021.40	SOLD 2105.77			3,351.25	0.00
03AUG17	B	1	PD03NOV17	1914.00	2114.78	USD	5,019.50	
03AUG17	B	1	PD03NOV17	1914.50	2114.78	USD	5,007.00	
03AUG17	B	1	PD03NOV17	1915.00	2114.78	USD	4,994.50	
03AUG17	B	1	PD03NOV17	1912.50	2114.78	USD	5,057.00	
03AUG17	B	3	PD03NOV17	1913.50	2114.78	USD	15,096.00	
03AUG17	S	4	PD03NOV17	1915.80	2114.78	USD	-19,898.00	
09AUG17	S	3	PD03NOV17	2026.43	2114.78	USD	-6,626.25	
	NET	0 AVG	BGHT 1913.79	SOLD 1963.21			8,649.75	0.00
07AUG17	S	2	PD07NOV17	1928.50	2116.16	USD	-9,383.00	
07AUG17	S	1	PD07NOV17	1929.50	2116.16	USD	-4,666.50	
07AUG17	B	1	PD07NOV17	1937.00	2116.16	USD	4,479.00	
07AUG17	S	1	PD07NOV17	1934.50	2116.16	USD	-4,541.50	
07AUG17	S	1	PD07NOV17	1945.00	2116.16	USD	-4,279.00	
07AUG17	S	1	PD07NOV17	1946.00	2116.16	USD	-4,254.00	
07AUG17	S	1	PD07NOV17	1947.00	2116.16	USD	-4,229.00	
07AUG17	S	1	PD07NOV17	1951.50	2116.16	USD	-4,116.50	
07AUG17	S	1	PD07NOV17	1952.50	2116.16	USD	-4,091.50	
07AUG17	S	1	PD07NOV17	1953.50	2116.16	USD	-4,066.50	
07AUG17	B	1	PD07NOV17	1956.50	2116.16	USD	3,991.50	
07AUG17	S	1	PD07NOV17	1954.50	2116.16	USD	-4,041.50	
07AUG17	S	3	PD07NOV17	1955.00	2116.16	USD	-12,087.00	
07AUG17	S	1	PD07NOV17	1955.50	2116.16	USD	-4,016.50	
07AUG17	S	1	PD07NOV17	1956.50	2116.16	USD	-3,991.50	
07AUG17	S	1	PD07NOV17	1951.00	2116.16	USD	-4,129.00	
07AUG17	S	1	PD07NOV17	1954.00	2116.16	USD	-4,054.00	

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07AUG17	S	1	PD07NOV17	1963.50	2116.16	USD	-3,816.50	
07AUG17	S	1	PD07NOV17	1964.50	2116.16	USD	-3,791.50	
07AUG17	S	3	PD07NOV17	1968.50	2116.16	USD	-11,074.50	
07AUG17	S	1	PD07NOV17	1969.00	2116.16	USD	-3,679.00	
07AUG17	S	1	PD07NOV17	1971.50	2116.16	USD	-3,616.50	
07AUG17	S	1	PD07NOV17	1972.00	2116.16	USD	-3,604.00	
07AUG17	B	1	PD07NOV17	1975.00	2116.16	USD	3,529.00	
07AUG17	S	3	PD07NOV17	1977.50	2116.16	USD	-10,399.50	
07AUG17	B	1	PD07NOV17	1976.50	2116.16	USD	3,491.50	
09AUG17	B	23	PD07NOV17	2027.34	2116.16	USD	51,071.50	
11AUG17	B	2	PD07NOV17	2042.29	2116.16	USD	3,693.50	
	NET	0AVG BGHT 2019.26	SOLD 1956.26				-45,672.50	0.00
08AUG17	S	1	PD08NOV17	1984.50	2116.50	USD	-3,300.00	
08AUG17	S	1	PD08NOV17	1985.00	2116.50	USD	-3,287.50	
08AUG17	S	1	PD08NOV17	1987.00	2116.50	USD	-3,237.50	
08AUG17	S	1	PD08NOV17	1989.00	2116.50	USD	-3,187.50	
08AUG17	S	1	PD08NOV17	1992.50	2116.50	USD	-3,100.00	
08AUG17	S	1	PD08NOV17	1993.00	2116.50	USD	-3,087.50	
08AUG17	S	1	PD08NOV17	1998.00	2116.50	USD	-2,962.50	
08AUG17	S	2	PD08NOV17	2003.00	2116.50	USD	-5,675.00	
08AUG17	S	1	PD08NOV17	2004.00	2116.50	USD	-2,812.50	
08AUG17	S	1	PD08NOV17	1997.00	2116.50	USD	-2,987.50	
08AUG17	S	2	PD08NOV17	1998.50	2116.50	USD	-5,900.00	
08AUG17	S	2	PD08NOV17	2001.50	2116.50	USD	-5,750.00	
08AUG17	S	1	PD08NOV17	2002.50	2116.50	USD	-2,850.00	
08AUG17	S	1	PD08NOV17	2003.50	2116.50	USD	-2,825.00	
08AUG17	S	1	PD08NOV17	2004.50	2116.50	USD	-2,800.00	
08AUG17	B	2	PD08NOV17	2034.00	2116.50	USD	4,125.00	
08AUG17	B	1	PD08NOV17	2034.50	2116.50	USD	2,050.00	
08AUG17	B	1	PD08NOV17	2032.50	2116.50	USD	2,100.00	
08AUG17	B	1	PD08NOV17	2027.00	2116.50	USD	2,237.50	
08AUG17	B	1	PD08NOV17	2025.50	2116.50	USD	2,275.00	
08AUG17	B	4	PD08NOV17	2027.50	2116.50	USD	8,900.00	
08AUG17	B	1	PD08NOV17	2028.00	2116.50	USD	2,212.50	
11AUG17	B	7	PD08NOV17	2042.43	2116.50	USD	12,962.25	
	NET	0AVG BGHT 2034.58	SOLD 1997.03				-16,900.25	0.00
09AUG17	S	1	PD09NOV17	2030.50	2116.96	USD	-2,161.50	
09AUG17	S	1	PD09NOV17	2032.50	2116.96	USD	-2,111.50	
09AUG17	S	1	PD09NOV17	2033.50	2116.96	USD	-2,086.50	
09AUG17	S	2	PD09NOV17	2028.50	2116.96	USD	-4,423.00	
09AUG17	S	3	PD09NOV17	2029.50	2116.96	USD	-6,559.50	
09AUG17	S	1	PD09NOV17	2032.00	2116.96	USD	-2,124.00	
09AUG17	S	1	PD09NOV17	2037.00	2116.96	USD	-1,999.00	
09AUG17	S	1	PD09NOV17	2038.00	2116.96	USD	-1,974.00	
09AUG17	S	1	PD09NOV17	2041.50	2116.96	USD	-1,886.50	
09AUG17	B	1	PD09NOV17	2034.50	2116.96	USD	2,061.50	
09AUG17	B	1	PD09NOV17	2026.50	2116.96	USD	2,261.50	
11AUG17	B	10	PD09NOV17	2042.56	2116.96	USD	18,600.00	
	NET	0AVG BGHT 2040.55	SOLD 2032.54				-2,402.50	0.00
10AUG17	S	1	PD10NOV17	2031.50	2117.43	USD	-2,148.25	
10AUG17	S	1	PD10NOV17	2032.00	2117.43	USD	-2,135.75	
10AUG17	S	2	PD10NOV17	2033.00	2117.43	USD	-4,221.50	
10AUG17	B	1	PD10NOV17	2031.00	2117.43	USD	2,160.75	
10AUG17	S	1	PD10NOV17	2042.00	2117.43	USD	-1,885.75	
10AUG17	S	2	PD10NOV17	2043.00	2117.43	USD	-3,721.50	
10AUG17	B	1	PD10NOV17	2038.50	2117.43	USD	1,973.25	

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Suite A, 105 Piccadilly
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backoffice@sapiencap.com

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10AUG17	B	2	PD10NOV17	2040.00	2117.43	USD	3,871.50	
10AUG17	S	8	PD10NOV17	2039.50	2117.43	USD	-15,586.00	
10AUG17	S	3	PD10NOV17	2040.00	2117.43	USD	-5,807.25	
10AUG17	S	1	PD10NOV17	2040.50	2117.43	USD	-1,923.25	
10AUG17	B	1	PD10NOV17	2033.50	2117.43	USD	2,098.25	
10AUG17	B	6	PD10NOV17	2034.00	2117.43	USD	12,514.50	
10AUG17	B	2	PD10NOV17	2034.50	2117.43	USD	4,146.50	
10AUG17	B	2	PD10NOV17	2035.50	2117.43	USD	4,096.50	
10AUG17	B	3	PD10NOV17	2040.50	2117.43	USD	5,769.75	
10AUG17	B	3	PD10NOV17	2039.00	2117.43	USD	5,882.25	
10AUG17	B	4	PD10NOV17	2035.00	2117.43	USD	8,243.00	
10AUG17	B	1	PD10NOV17	2032.50	2117.43	USD	2,123.25	
10AUG17	S	1	PD10NOV17	2039.00	2117.43	USD	-1,960.75	
10AUG17	S	2	PD10NOV17	2033.50	2117.43	USD	-4,196.50	
11AUG17	S	1	PD10NOV17	2026.00	2117.43	USD	-2,285.75	
11AUG17	S	1	PD10NOV17	2029.00	2117.43	USD	-2,210.75	
11AUG17	S	1	PD10NOV17	2032.50	2117.43	USD	-2,123.25	
11AUG17	S	1	PD10NOV17	2035.00	2117.43	USD	-2,060.75	
11AUG17	S	1	PD10NOV17	2037.50	2117.43	USD	-1,998.25	
11AUG17	S	1	PD10NOV17	2037.00	2117.43	USD	-2,010.75	
11AUG17	S	3	PD10NOV17	2040.50	2117.43	USD	-5,769.75	
11AUG17	S	1	PD10NOV17	2042.50	2117.43	USD	-1,873.25	
11AUG17	S	1	PD10NOV17	2039.50	2117.43	USD	-1,948.25	
11AUG17	B	1	PD10NOV17	2043.50	2117.43	USD	1,848.25	
11AUG17	S	1	PD10NOV17	2045.50	2117.43	USD	-1,798.25	
11AUG17	S	2	PD10NOV17	2046.00	2117.43	USD	-3,571.50	
11AUG17	B	1	PD10NOV17	2044.00	2117.43	USD	1,835.75	
30AUG17	B	8	PD10NOV17	2084.50	2117.43	USD	6,586.00	
	NET	0 AVG BGHT 2047.26	SOLD 2038.28				-8,087.50	0.00
14AUG17	B	1	PD14NOV17	2033.50	2119.29	USD	2,144.75	
14AUG17	B	1	PD14NOV17	2038.50	2119.29	USD	2,019.75	
14AUG17	B	2	PD14NOV17	2034.50	2119.29	USD	4,239.50	
14AUG17	B	1	PD14NOV17	2034.00	2119.29	USD	2,132.25	
14AUG17	B	2	PD14NOV17	2035.00	2119.29	USD	4,214.50	
14AUG17	B	1	PD14NOV17	2035.50	2119.29	USD	2,094.75	
14AUG17	B	1	PD14NOV17	2026.00	2119.29	USD	2,332.25	
14AUG17	B	1	PD14NOV17	2028.50	2119.29	USD	2,269.75	
14AUG17	S	1	PD14NOV17	2028.00	2119.29	USD	-2,282.25	
14AUG17	S	1	PD14NOV17	2029.00	2119.29	USD	-2,257.25	
14AUG17	S	1	PD14NOV17	2027.50	2119.29	USD	-2,294.75	
14AUG17	B	3	PD14NOV17	2025.50	2119.29	USD	7,034.25	
14AUG17	B	2	PD14NOV17	2025.00	2119.29	USD	4,714.50	
14AUG17	B	4	PD14NOV17	2023.50	2119.29	USD	9,579.00	
14AUG17	B	1	PD14NOV17	2024.50	2119.29	USD	2,369.75	
14AUG17	B	2	PD14NOV17	2027.50	2119.29	USD	4,589.50	
14AUG17	B	4	PD14NOV17	2024.00	2119.29	USD	9,529.00	
30AUG17	S	18	PD14NOV17	2085.90	2119.29	USD	-15,025.50	
31AUG17	S	2	PD14NOV17	2115.40	2119.29	USD	-194.50	
31AUG17	S	3	PD14NOV17	2115.60	2119.29	USD	-276.75	
	NET	0 AVG BGHT 2028.12	SOLD 2084.93				36,932.50	0.00
16AUG17	S	1	PD16NOV17	2062.00	2120.07	USD	-1,451.75	
16AUG17	S	1	PD16NOV17	2063.00	2120.07	USD	-1,426.75	
16AUG17	S	2	PD16NOV17	2063.50	2120.07	USD	-2,828.50	
16AUG17	S	1	PD16NOV17	2064.00	2120.07	USD	-1,401.75	
16AUG17	B	1	PD16NOV17	2061.00	2120.07	USD	1,476.75	
16AUG17	B	3	PD16NOV17	2061.50	2120.07	USD	4,392.75	
16AUG17	B	1	PD16NOV17	2062.50	2120.07	USD	1,439.25	

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16AUG17	B	1	PD16NOV17		2091.00	2120.07	USD	726.75	
30AUG17	S	1	PD16NOV17		2085.75	2120.07	USD	-858.00	
	NET	0AVG	BGHT 2066.50	SOLD 2066.96				68.75	0.00
17AUG17	B	2	PD17NOV17		2109.50	2120.38	USD	544.00	
17AUG17	S	2	PD17NOV17		2110.50	2120.38	USD	-494.00	
17AUG17	S	1	PD17NOV17		2111.50	2120.38	USD	-222.00	
17AUG17	B	1	PD17NOV17		2081.00	2120.38	USD	984.50	
17AUG17	B	1	PD17NOV17		2081.50	2120.38	USD	972.00	
17AUG17	B	1	PD17NOV17		2065.50	2120.38	USD	1,372.00	
17AUG17	B	1	PD17NOV17		2068.50	2120.38	USD	1,297.00	
18AUG17	B	1	PD17NOV17		2085.00	2120.38	USD	884.50	
18AUG17	B	1	PD17NOV17		2093.50	2120.38	USD	672.00	
18AUG17	B	1	PD17NOV17		2070.00	2120.38	USD	1,259.50	
18AUG17	B	1	PD17NOV17		2070.50	2120.38	USD	1,247.00	
18AUG17	B	1	PD17NOV17		2074.00	2120.38	USD	1,159.50	
18AUG17	B	1	PD17NOV17		2058.50	2120.38	USD	1,547.00	
18AUG17	B	1	PD17NOV17		2059.50	2120.38	USD	1,522.00	
18AUG17	B	1	PD17NOV17		2067.00	2120.38	USD	1,334.50	
18AUG17	B	1	PD17NOV17		2061.50	2120.38	USD	1,472.00	
18AUG17	B	2	PD17NOV17		2062.00	2120.38	USD	2,919.00	
18AUG17	S	1	PD17NOV17		2059.00	2120.38	USD	-1,534.50	
18AUG17	S	1	PD17NOV17		2061.00	2120.38	USD	-1,484.50	
18AUG17	S	1	PD17NOV17		2063.00	2120.38	USD	-1,434.50	
18AUG17	S	1	PD17NOV17		2064.00	2120.38	USD	-1,409.50	
31AUG17	S	10	PD17NOV17		2114.25	2120.38	USD	-1,532.50	
	NET	0AVG	BGHT 2075.24	SOLD 2101.29				11,075.00	0.00
21AUG17	S	1	PD21NOV17		2094.00	2121.65	USD	-691.25	
21AUG17	B	1	PD21NOV17		2094.50	2121.65	USD	678.75	
21AUG17	B	1	PD21NOV17		2074.50	2121.65	USD	1,178.75	
21AUG17	B	1	PD21NOV17		2080.50	2121.65	USD	1,028.75	
21AUG17	S	1	PD21NOV17		2086.00	2121.65	USD	-891.25	
30AUG17	S	1	PD21NOV17		2085.77	2121.65	USD	-897.00	
	NET	0AVG	BGHT 2083.17	SOLD 2088.59				406.75	0.00
22AUG17	B	1	PD22NOV17		2071.00	2121.96	USD	1,274.00	
22AUG17	S	1	PD22NOV17		2080.50	2121.96	USD	-1,036.50	
22AUG17	S	1	PD22NOV17		2084.50	2121.96	USD	-936.50	
22AUG17	B	2	PD22NOV17		2072.50	2121.96	USD	2,473.00	
22AUG17	B	1	PD22NOV17		2074.00	2121.96	USD	1,199.00	
22AUG17	B	3	PD22NOV17		2071.50	2121.96	USD	3,784.50	
22AUG17	B	2	PD22NOV17		2076.50	2121.96	USD	2,273.00	
22AUG17	S	2	PD22NOV17		2079.50	2121.96	USD	-2,123.00	
22AUG17	B	1	PD22NOV17		2078.00	2121.96	USD	1,099.00	
22AUG17	S	1	PD22NOV17		2075.50	2121.96	USD	-1,161.50	
30AUG17	S	5	PD22NOV17		2085.96	2121.96	USD	-4,500.00	
	NET	0AVG	BGHT 2073.55	SOLD 2082.93				2,345.00	0.00
23AUG17	B	1	PD24NOV17		2058.50	2122.60	USD	1,602.50	
23AUG17	S	1	PD24NOV17		2072.50	2122.60	USD	-1,252.50	
23AUG17	S	1	PD24NOV17		2074.50	2122.60	USD	-1,202.50	
23AUG17	S	1	PD24NOV17		2080.50	2122.60	USD	-1,052.50	
23AUG17	S	1	PD24NOV17		2082.00	2122.60	USD	-1,015.00	
23AUG17	S	1	PD24NOV17		2082.50	2122.60	USD	-1,002.50	
23AUG17	S	1	PD24NOV17		2088.50	2122.60	USD	-852.50	
23AUG17	S	1	PD24NOV17		2089.00	2122.60	USD	-840.00	
23AUG17	S	1	PD24NOV17		2093.50	2122.60	USD	-727.50	
23AUG17	S	1	PD24NOV17		2103.50	2122.60	USD	-477.50	
23AUG17	B	1	PD24NOV17		2106.50	2122.60	USD	402.50	

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23AUG17	B	1	PD24NOV17	2106.00	2122.60	USD	415.00	
23AUG17	S	1	PD24NOV17	2105.00	2122.60	USD	-440.00	
24AUG17	B	2	PD24NOV17	2092.00	2122.60	USD	1,530.00	
24AUG17	S	1	PD24NOV17	2106.50	2122.60	USD	-402.50	
25AUG17	B	1	PD24NOV17	2066.50	2122.60	USD	1,402.50	
30AUG17	B	5	PD24NOV17	2086.55	2122.60	USD	4,506.25	
	NET	0AVG BGHT 2086.75	SOLD 2088.91				593.75	0.00
29AUG17	S	1	PD29NOV17	2091.00	2124.18	USD	-829.50	
29AUG17	S	1	PD29NOV17	2091.50	2124.18	USD	-817.00	
30AUG17	B	2	PD29NOV17	2087.51	2124.18	USD	1,833.50	
	NET	0AVG BGHT 2087.51	SOLD 2091.25				187.00	0.00
30AUG17	S	1	PD30NOV17	2101.50	2124.49	USD	-574.75	
30AUG17	S	1	PD30NOV17	2101.00	2124.49	USD	-587.25	
30AUG17	S	1	PD30NOV17	2102.00	2124.49	USD	-562.25	
30AUG17	S	1	PD30NOV17	2102.50	2124.49	USD	-549.75	
30AUG17	S	1	PD30NOV17	2091.00	2124.49	USD	-837.25	
30AUG17	S	2	PD30NOV17	2088.50	2124.49	USD	-1,799.50	
30AUG17	S	1	PD30NOV17	2089.00	2124.49	USD	-887.25	
30AUG17	S	2	PD30NOV17	2090.50	2124.49	USD	-1,699.50	
30AUG17	S	1	PD30NOV17	2098.00	2124.49	USD	-662.25	
31AUG17	S	1	PD30NOV17	2096.50	2124.49	USD	-699.75	
31AUG17	S	1	PD30NOV17	2101.00	2124.49	USD	-587.25	
31AUG17	S	1	PD30NOV17	2105.00	2124.49	USD	-487.25	
31AUG17	S	1	PD30NOV17	2107.50	2124.49	USD	-424.75	
31AUG17	S	1	PD30NOV17	2106.50	2124.49	USD	-449.75	
31AUG17	S	1	PD30NOV17	2112.00	2124.49	USD	-312.25	
31AUG17	S	1	PD30NOV17	2116.50	2124.49	USD	-199.75	
31AUG17	S	1	PD30NOV17	2115.00	2124.49	USD	-237.25	
31AUG17	S	1	PD30NOV17	2134.50	2124.49	USD	250.25	
31AUG17	S	1	PD30NOV17	2137.00	2124.49	USD	312.75	
31AUG17	S	1	PD30NOV17	2135.00	2124.49	USD	262.75	
31AUG17	B	22	PD30NOV17	2117.20	2124.49	USD	4,009.50	
	NET	0AVG BGHT 2117.20	SOLD 2104.98				-6,722.50	0.00
04SEP17	B	1	PD04DEC17	2125.50	2125.76	USD	6.50	
04SEP17	B	1	PD04DEC17	2121.00	2125.76	USD	119.00	
04SEP17	B	1	PD04DEC17	2108.00	2125.76	USD	444.00	
13SEP17	S	2	PD04DEC17	2107.39	2125.76	USD	-918.50	
22SEP17	S	1	PD04DEC17	2154.59	2125.76	USD	720.75	
	NET	0AVG BGHT 2118.17	SOLD 2123.12				371.75	0.00
05SEP17	B	1	PD05DEC17	2107.00	2126.07	USD	476.75	
22SEP17	S	1	PD05DEC17	2154.88	2126.07	USD	720.25	
	NET	0AVG BGHT 2107.00	SOLD 2154.88				1,197.00	0.00
06SEP17	S	1	PD06DEC17	2113.00	2126.39	USD	-334.75	
22SEP17	B	1	PD06DEC17	2155.38	2126.39	USD	-724.75	
	NET	0AVG BGHT 2155.38	SOLD 2113.00				-1,059.50	0.00
07SEP17	B	1	PD07DEC17	2091.50	2126.71	USD	880.25	
07SEP17	S	1	PD07DEC17	2117.00	2126.71	USD	-242.75	
	NET	0AVG BGHT 2091.50	SOLD 2117.00				637.50	0.00
12SEP17	S	1	PD12DEC17	2130.50	2128.29	USD	55.25	
12SEP17	S	1	PD12DEC17	2140.00	2128.29	USD	292.75	
22SEP17	B	1	PD12DEC17	2157.14	2128.29	USD	-721.25	
22SEP17	B	1	PD12DEC17	2156.94	2128.29	USD	-716.25	
	NET	0AVG BGHT 2157.04	SOLD 2135.25				-1,089.50	0.00
13SEP17	B	1	PD13DEC17	2105.50	2128.60	USD	577.50	
22SEP17	S	1	PD13DEC17	2157.04	2128.60	USD	711.00	

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	NET	0 AVG	BGHT	2105.50	SOLD	2157.04		1,288.50	0.00
14SEP17	B	1	PD14DEC17		2090.50	2128.92	USD	960.50	
14SEP17	B	1	PD14DEC17		2100.50	2128.92	USD	710.50	
14SEP17	B	1	PD14DEC17		2095.50	2128.92	USD	835.50	
14SEP17	B	1	PD14DEC17		2091.50	2128.92	USD	935.50	
14SEP17	B	1	PD14DEC17		2089.00	2128.92	USD	998.00	
14SEP17	B	2	PD14DEC17		2089.50	2128.92	USD	1,971.00	
14SEP17	S	7	PD14DEC17		2097.80	2128.92	USD	-5,446.00	
	NET	0 AVG	BGHT	2092.29	SOLD	2097.80		965.00	0.00
15SEP17	B	1	PD15DEC17		2089.50	2129.24	USD	993.50	
15SEP17	B	1	PD15DEC17		2091.00	2129.24	USD	956.00	
15SEP17	B	1	PD15DEC17		2072.50	2129.24	USD	1,418.50	
18SEP17	S	3	PD15DEC17		2088.41	2129.24	USD	-3,062.25	
	NET	0 AVG	BGHT	2084.33	SOLD	2088.41		305.75	0.00
18SEP17	B	1	PD18DEC17		2095.00	2130.18	USD	879.50	
18SEP17	B	1	PD18DEC17		2091.00	2130.18	USD	979.50	
18SEP17	S	2	PD18DEC17		2089.30	2130.18	USD	-2,044.00	
	NET	0 AVG	BGHT	2093.00	SOLD	2089.30		-185.00	0.00
19SEP17	S	1	PD19DEC17		2126.00	2130.50	USD	-112.50	
19SEP17	B	1	PD19DEC17		2124.00	2130.50	USD	162.50	
19SEP17	S	1	PD19DEC17		2124.00	2130.50	USD	-162.50	
19SEP17	B	1	PD19DEC17		2127.00	2130.50	USD	87.50	
	NET	0 AVG	BGHT	2125.50	SOLD	2125.00		-25.00	0.00
21SEP17	S	1	PD21DEC17		2171.00	2130.50	USD	1,012.50	
21SEP17	S	1	PD21DEC17		2167.50	2130.50	USD	925.00	
21SEP17	S	1	PD21DEC17		2165.00	2130.50	USD	862.50	
21SEP17	B	3	PD21DEC17		2171.20	2130.50	USD	-3,052.50	
	NET	0 AVG	BGHT	2171.20	SOLD	2167.83		-252.50	0.00
22SEP17	S	1	PD22DEC17		2162.00	2130.50	USD	787.50	
	NET	-1 AVG	SOLD	2162.00				787.50	0.00
25SEP17	B	1	PD27DEC17		2143.00	2131.04	USD	-299.00	
26SEP17	B	1	PD27DEC17		2148.00	2131.04	USD	-424.00	
26SEP17	S	1	PD27DEC17		2125.80	2131.04	USD	-131.00	
27SEP17	S	1	PD27DEC17		2142.00	2131.04	USD	274.00	
27SEP17	B	1	PD27DEC17		2131.70	2131.04	USD	-16.50	
	NET	+1 AVG	BGHT	2140.90	SOLD	2133.90		-596.50	0.00
28SEP17	B	1	PD28DEC17		2119.00	2131.14	USD	303.50	
28SEP17	S	1	PD28DEC17		2118.50	2131.14	USD	-316.00	
	NET	0 AVG	BGHT	2119.00	SOLD	2118.50		-12.50	0.00
29SEP17	B	3	PD29DEC17		2128.00	2131.25	USD	243.75	
29SEP17	B	1	PD29DEC17		2130.00	2131.25	USD	31.25	
29SEP17	B	3	PD29DEC17		2127.50	2131.25	USD	281.25	
29SEP17	B	1	PD29DEC17		2129.50	2131.25	USD	43.75	
29SEP17	S	1	PD29DEC17		2130.00	2131.25	USD	-31.25	
29SEP17	S	1	PD29DEC17		2126.10	2131.25	USD	-128.75	
29SEP17	S	1	PD29DEC17		2127.60	2131.25	USD	-91.25	
29SEP17	S	1	PD29DEC17		2127.10	2131.25	USD	-103.75	
29SEP17	S	4	PD29DEC17		2127.85	2131.25	USD	-340.00	
	NET	0 AVG	BGHT	2128.25	SOLD	2127.78		-95.00	0.00
05OCT17	B	1	PD05JAN18		2147.00	2132.38	USD	-365.50	
05OCT17	S	1	PD05JAN18		2168.50	2132.38	USD	903.00	
	NET	0 AVG	BGHT	2147.00	SOLD	2168.50		537.50	0.00
10OCT17	B	1	PD10JAN18		2162.00	2133.18	USD	-720.50	
	NET	+1 AVG	BGHT	2162.00				-720.50	0.00

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	<u>LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.</u>					
13OCT17	S 1 PD12JAN18	2167.00	2133.50	USD	837.50	
13OCT17	B 1 PD12JAN18	2149.50	2133.50	USD	-400.00	
13OCT17	B 1 PD12JAN18	2128.00	2133.50	USD	137.50	
13OCT17	S 1 PD12JAN18	2137.85	2133.50	USD	108.75	
13OCT17	B 1 PD12JAN18	2137.00	2133.50	USD	-87.50	
	NET +1AVG BGHT 2138.17 SOLD 2152.43				596.25	0.00
	<u>LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
18JUL17	B 1 PD18OCT17	2274.00	2518.75	USD	6,118.75	
18JUL17	B 1 PD18OCT17	2274.50	2518.75	USD	6,106.25	
31JUL17	S 2 PD18OCT17	2331.30	2518.75	USD	-9,372.50	
	NET 0AVG BGHT 2274.25 SOLD 2331.30				2,852.50	0.00
19JUL17	B 1 PD19OCT17	2227.50	2518.90	USD	7,285.00	
31JUL17	S 1 PD19OCT17	2330.75	2518.90	USD	-4,703.75	
	NET 0AVG BGHT 2227.50 SOLD 2330.75				2,581.25	0.00
21JUL17	S 2 PD20OCT17	2233.50	2519.05	USD	-14,277.50	
21JUL17	S 1 PD20OCT17	2236.50	2519.05	USD	-7,063.75	
31JUL17	B 1 PD20OCT17	2331.22	2519.05	USD	4,695.75	
31JUL17	B 2 PD20OCT17	2331.02	2519.05	USD	9,401.50	
	NET 0AVG BGHT 2331.09 SOLD 2234.50				-7,244.00	0.00
26JUL17	S 1 PD26OCT17	2318.50	2519.95	USD	-5,036.25	
26JUL17	S 1 PD26OCT17	2321.50	2519.95	USD	-4,961.25	
27JUL17	B 2 PD26OCT17	2309.04	2519.95	USD	10,545.50	
	NET 0AVG BGHT 2309.04 SOLD 2320.00				548.00	0.00
28JUL17	B 1 PD27OCT17	2320.00	2520.10	USD	5,002.50	
31JUL17	S 1 PD27OCT17	2332.72	2520.10	USD	-4,684.50	
	NET 0AVG BGHT 2320.00 SOLD 2332.72				318.00	0.00
31JUL17	B 1 PD31OCT17	2335.50	2520.70	USD	4,630.00	
31JUL17	B 1 PD31OCT17	2328.50	2520.70	USD	4,805.00	
31JUL17	B 1 PD31OCT17	2329.50	2520.70	USD	4,780.00	
31JUL17	B 1 PD31OCT17	2330.00	2520.70	USD	4,767.50	
31JUL17	S 4 PD31OCT17	2333.80	2520.70	USD	-18,690.00	
	NET 0AVG BGHT 2330.88 SOLD 2333.80				292.50	0.00
08AUG17	B 2 PD08NOV17	2352.00	2521.81	USD	8,490.50	
08AUG17	S 1 PD08NOV17	2390.00	2521.81	USD	-3,295.25	
30AUG17	S 1 PD08NOV17	2364.41	2521.81	USD	-3,935.00	
	NET 0AVG BGHT 2352.00 SOLD 2377.21				1,260.25	0.00
09AUG17	S 1 PD09NOV17	2393.50	2521.94	USD	-3,211.00	
30AUG17	B 1 PD09NOV17	2364.91	2521.94	USD	3,925.75	
	NET 0AVG BGHT 2364.91 SOLD 2393.50				714.75	0.00
25AUG17	B 1 PD24NOV17	2326.00	2523.81	USD	4,945.25	
25AUG17	S 1 PD24NOV17	2325.50	2523.81	USD	-4,957.75	
	NET 0AVG BGHT 2326.00 SOLD 2325.50				-12.50	0.00
07SEP17	B 1 PD07DEC17	2331.50	2525.78	USD	4,857.00	
07SEP17	B 1 PD07DEC17	2322.50	2525.78	USD	5,082.00	
07SEP17	S 1 PD07DEC17	2345.00	2525.78	USD	-4,519.50	
22SEP17	S 1 PD07DEC17	2488.50	2525.78	USD	-932.00	
	NET 0AVG BGHT 2327.00 SOLD 2416.75				4,487.50	0.00
08SEP17	B 1 PD08DEC17	2329.50	2525.93	USD	4,910.75	
08SEP17	B 1 PD08DEC17	2315.00	2525.93	USD	5,273.25	
08SEP17	S 1 PD08DEC17	2261.00	2525.93	USD	-6,623.25	
08SEP17	S 1 PD08DEC17	2268.50	2525.93	USD	-6,435.75	
08SEP17	S 1 PD08DEC17	2266.00	2525.93	USD	-6,498.25	
22SEP17	B 1 PD08DEC17	2488.70	2525.93	USD	930.75	

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	<u>LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>								
	NET	0 AVG	BGHT	2377.73	SOLD	2265.17		-8,442.50	0.00
11SEP17	B	1	PD11DEC17		2274.00	2526.39	USD	6,309.75	
11SEP17	S	1	PD11DEC17		2273.50	2526.39	USD	-6,322.25	
	NET	0 AVG	BGHT	2274.00	SOLD	2273.50		-12.50	0.00
18SEP17	S	1	PD18DEC17		2389.00	2527.45	USD	-3,461.25	
18SEP17	B	1	PD18DEC17		2395.50	2527.45	USD	3,298.75	
	NET	0 AVG	BGHT	2395.50	SOLD	2389.00		-162.50	0.00
19SEP17	S	1	PD19DEC17		2385.00	2527.60	USD	-3,565.00	
19SEP17	S	1	PD19DEC17		2393.50	2527.60	USD	-3,352.50	
19SEP17	S	1	PD19DEC17		2392.50	2527.60	USD	-3,377.50	
19SEP17	B	1	PD19DEC17		2423.50	2527.60	USD	2,602.50	
19SEP17	B	1	PD19DEC17		2422.50	2527.60	USD	2,627.50	
19SEP17	B	1	PD19DEC17		2424.00	2527.60	USD	2,590.00	
	NET	0 AVG	BGHT	2423.33	SOLD	2390.33		-2,475.00	0.00
20SEP17	S	1	PD20DEC17		2440.00	2527.75	USD	-2,193.75	
20SEP17	S	1	PD20DEC17		2442.00	2527.75	USD	-2,143.75	
20SEP17	S	1	PD20DEC17		2456.50	2527.75	USD	-1,781.25	
20SEP17	S	1	PD20DEC17		2452.50	2527.75	USD	-1,881.25	
20SEP17	S	1	PD20DEC17		2456.00	2527.75	USD	-1,793.75	
20SEP17	B	1	PD20DEC17		2463.50	2527.75	USD	1,606.25	
20SEP17	B	1	PD20DEC17		2465.00	2527.75	USD	1,568.75	
22SEP17	B	2	PD20DEC17		2488.50	2527.75	USD	1,962.50	
13OCT17	B	1	PD20DEC17		2527.75	2527.75	USD	0.00	
	NET	0 AVG	BGHT	2486.65	SOLD	2449.40		-4,656.25	0.00
21SEP17	S	1	PD21DEC17		2449.00	2526.75	USD	-1,943.75	
21SEP17	S	1	PD21DEC17		2460.00	2526.75	USD	-1,668.75	
21SEP17	B	1	PD21DEC17		2477.00	2526.75	USD	1,243.75	
21SEP17	B	1	PD21DEC17		2478.50	2526.75	USD	1,206.25	
21SEP17	B	1	PD21DEC17		2439.50	2526.75	USD	2,181.25	
21SEP17	B	1	PD21DEC17		2457.00	2526.75	USD	1,743.75	
22SEP17	S	2	PD21DEC17		2485.55	2526.75	USD	-2,060.00	
	NET	0 AVG	BGHT	2463.00	SOLD	2470.03		702.50	0.00
22SEP17	S	1	PD22DEC17		2453.50	2526.90	USD	-1,835.00	
22SEP17	B	1	PD22DEC17		2455.50	2526.90	USD	1,785.00	
22SEP17	B	1	PD22DEC17		2412.50	2526.90	USD	2,860.00	
13OCT17	S	1	PD22DEC17		2526.70	2526.90	USD	-5.00	
	NET	0 AVG	BGHT	2434.00	SOLD	2490.10		2,805.00	0.00
03OCT17	S	1	PD03JAN18		2589.00	2528.67	USD	1,508.25	
03OCT17	S	1	PD03JAN18		2590.00	2528.67	USD	1,533.25	
03OCT17	B	1	PD03JAN18		2594.00	2528.67	USD	-1,633.25	
03OCT17	B	1	PD03JAN18		2597.00	2528.67	USD	-1,708.25	
	NET	0 AVG	BGHT	2595.50	SOLD	2589.50		-300.00	0.00
04OCT17	S	1	PD04JAN18		2605.50	2528.82	USD	1,917.00	
04OCT17	B	1	PD04JAN18		2576.00	2528.82	USD	-1,179.50	
04OCT17	B	1	PD04JAN18		2589.50	2528.82	USD	-1,517.00	
04OCT17	B	1	PD04JAN18		2571.50	2528.82	USD	-1,067.00	
04OCT17	S	1	PD04JAN18		2602.00	2528.82	USD	1,829.50	
04OCT17	B	1	PD04JAN18		2570.00	2528.82	USD	-1,029.50	
04OCT17	S	1	PD04JAN18		2589.00	2528.82	USD	1,504.50	
04OCT17	S	1	PD04JAN18		2593.00	2528.82	USD	1,604.50	
04OCT17	B	1	PD04JAN18		2548.50	2528.82	USD	-492.00	
04OCT17	B	1	PD04JAN18		2545.50	2528.82	USD	-417.00	
04OCT17	S	1	PD04JAN18		2547.50	2528.82	USD	467.00	
04OCT17	S	1	PD04JAN18		2550.00	2528.82	USD	529.50	
	NET	0 AVG	BGHT	2566.83	SOLD	2581.17		2,150.00	0.00

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	<u>LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
09OCT17	B	1	PD09JAN18	2526.50	2529.56	USD	76.50	
09OCT17	B	1	PD09JAN18	2518.00	2529.56	USD	289.00	
09OCT17	B	1	PD09JAN18	2519.00	2529.56	USD	264.00	
09OCT17	B	1	PD09JAN18	2509.00	2529.56	USD	514.00	
09OCT17	S	1	PD09JAN18	2514.00	2529.56	USD	-389.00	
09OCT17	S	1	PD09JAN18	2517.00	2529.56	USD	-314.00	
09OCT17	S	1	PD09JAN18	2516.50	2529.56	USD	-326.50	
09OCT17	S	1	PD09JAN18	2518.50	2529.56	USD	-276.50	
	NET	0AVG BGHT 2518.13	SOLD 2516.50				-162.50	0.00
11OCT17	B	1	PD11JAN18	2513.00	2529.85	USD	421.25	
11OCT17	B	1	PD11JAN18	2523.50	2529.85	USD	158.75	
11OCT17	S	1	PD11JAN18	2530.00	2529.85	USD	3.75	
11OCT17	S	1	PD11JAN18	2532.50	2529.85	USD	66.25	
11OCT17	S	1	PD11JAN18	2560.00	2529.85	USD	753.75	
11OCT17	S	1	PD11JAN18	2562.00	2529.85	USD	803.75	
13OCT17	B	2	PD11JAN18	2529.85	2529.85	USD	0.00	
	NET	0AVG BGHT 2524.05	SOLD 2546.13				2,207.50	0.00
12OCT17	B	1	PD12JAN18	2575.50	2530.00	USD	-1,137.50	
12OCT17	S	1	PD12JAN18	2579.00	2530.00	USD	1,225.00	
12OCT17	B	1	PD12JAN18	2570.00	2530.00	USD	-1,000.00	
12OCT17	B	1	PD12JAN18	2565.50	2530.00	USD	-887.50	
13OCT17	S	2	PD12JAN18	2529.80	2530.00	USD	-10.00	
	NET	0AVG BGHT 2570.33	SOLD 2546.20				-1,810.00	0.00
	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
28JUL17	B	1	PD27OCT17	10020.00	11617.31	USD	9,583.86	
28JUL17	B	1	PD27OCT17	10045.00	11617.31	USD	9,433.86	
28JUL17	B	1	PD27OCT17	10060.00	11617.31	USD	9,343.86	
28JUL17	B	1	PD27OCT17	10090.00	11617.31	USD	9,163.86	
28JUL17	S	1	PD27OCT17	10250.00	11617.31	USD	-8,203.86	
28JUL17	S	1	PD27OCT17	10255.00	11617.31	USD	-8,173.86	
28JUL17	S	1	PD27OCT17	10260.00	11617.31	USD	-8,143.86	
09AUG17	S	1	PD27OCT17	10753.50	11617.31	USD	-5,182.86	
	NET	0AVG BGHT 10053.75	SOLD 10379.63				7,821.00	0.00
04AUG17	S	1	PD03NOV17	10315.00	11623.28	USD	-7,849.68	
09AUG17	B	1	PD03NOV17	10758.00	11623.28	USD	5,191.68	
	NET	0AVG BGHT 10758.00	SOLD 10315.00				-2,658.00	0.00
29AUG17	B	1	PD29NOV17	11800.00	11643.10	USD	-941.40	
29AUG17	S	1	PD29NOV17	11815.00	11643.10	USD	1,031.40	
29AUG17	B	2	PD29NOV17	11810.00	11643.10	USD	-2,002.80	
29AUG17	S	1	PD29NOV17	11795.00	11643.10	USD	911.40	
29AUG17	B	5	PD29NOV17	11820.00	11643.10	USD	-5,307.00	
29AUG17	S	1	PD29NOV17	11820.00	11643.10	USD	1,061.40	
29AUG17	S	3	PD29NOV17	11800.00	11643.10	USD	2,824.20	
29AUG17	S	1	PD29NOV17	11665.00	11643.10	USD	131.40	
31AUG17	S	1	PD29NOV17	11799.32	11643.10	USD	937.32	
	NET	0AVG BGHT 11815.00	SOLD 11786.79				-1,354.08	0.00
30AUG17	S	1	PD30NOV17	11630.00	11643.79	USD	-82.74	
31AUG17	B	1	PD30NOV17	11801.00	11643.79	USD	-943.26	
	NET	0AVG BGHT 11801.00	SOLD 11630.00				-1,026.00	0.00
13SEP17	B	1	PD13DEC17	11725.00	11652.70	USD	-433.80	
22SEP17	S	1	PD13DEC17	10411.80	11652.70	USD	-7,445.40	
	NET	0AVG BGHT 11725.00	SOLD 10411.80				-7,879.20	0.00
18SEP17	S	1	PD18DEC17	11170.00	11656.13	USD	-2,916.78	
22SEP17	B	1	PD18DEC17	10417.23	11656.13	USD	7,433.40	

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
	NET	0 AVG BGHT	10417.23				4,516.62	0.00
22SEP17	B	2	PD22DEC17	10530.00	11659.02	USD	13,548.24	
22SEP17	S	1	PD22DEC17	10620.00	11659.02	USD	-6,234.12	
13OCT17	S	1	PD22DEC17	11659.02	11659.02	USD	0.00	
	NET	0 AVG BGHT	10530.00				7,314.12	0.00
25SEP17	B	1	PD27DEC17	10490.00	11662.83	USD	7,036.98	
25SEP17	S	1	PD27DEC17	10605.00	11662.83	USD	-6,346.98	
27SEP17	S	1	PD27DEC17	10315.00	11662.83	USD	-8,086.98	
13OCT17	B	1	PD27DEC17	11663.83	11662.83	USD	-6.00	
	NET	0 AVG BGHT	11076.92				-7,402.98	0.00
29SEP17	B	1	PD29DEC17	10425.00	11664.35	USD	7,436.10	
29SEP17	B	1	PD29DEC17	10415.00	11664.35	USD	7,496.10	
13OCT17	S	2	PD29DEC17	11664.35	11664.35	USD	0.00	
	NET	0 AVG BGHT	10420.00				14,932.20	0.00
06OCT17	S	1	PD05JAN18	10590.00	11669.67	USD	-6,478.02	
06OCT17	S	1	PD05JAN18	10585.00	11669.67	USD	-6,508.02	
13OCT17	B	2	PD05JAN18	11670.67	11669.67	USD	-12.00	
	NET	0 AVG BGHT	11670.67				-12,998.04	0.00
	<u>CMX SILVER 5,000 CMX</u>							
28SEP17	B	1	DEC17	1676.0	1741.1	USD	3,255.00	
	NET	+1 AVG BGHT	1676.0				3,255.00	0.00
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
17JUL17	B	1	PD17OCT17	2815.50	3294.00	USD	11,962.50	
17JUL17	S	1	PD17OCT17	2814.00	3294.00	USD	-12,000.00	
17JUL17	S	1	PD17OCT17	2815.00	3294.00	USD	-11,975.00	
17JUL17	B	1	PD17OCT17	2825.50	3294.00	USD	11,712.50	
	NET	0 AVG BGHT	2820.50				-300.00	0.00
19JUL17	B	1	PD19OCT17	2766.50	3286.50	USD	13,000.00	
19JUL17	B	1	PD19OCT17	2757.00	3286.50	USD	13,237.50	
19JUL17	B	1	PD19OCT17	2753.00	3286.50	USD	13,337.50	
19JUL17	S	2	PD19OCT17	2735.00	3286.50	USD	-27,575.00	
19JUL17	S	1	PD19OCT17	2737.00	3286.50	USD	-13,737.50	
19JUL17	B	1	PD19OCT17	2735.50	3286.50	USD	13,775.00	
21JUL17	S	1	PD19OCT17	2753.30	3286.50	USD	-13,330.00	
	NET	0 AVG BGHT	2753.00				-1,292.50	0.00
24JUL17	S	2	PD24OCT17	2777.00	3276.00	USD	-24,950.00	
24JUL17	S	1	PD24OCT17	2776.50	3276.00	USD	-12,487.50	
24JUL17	B	2	PD24OCT17	2777.00	3276.00	USD	24,950.00	
24JUL17	B	1	PD24OCT17	2776.00	3276.00	USD	12,500.00	
	NET	0 AVG BGHT	2776.67				12.50	0.00
25JUL17	S	1	PD25OCT17	2805.00	3273.00	USD	-11,700.00	
25JUL17	S	1	PD25OCT17	2807.00	3273.00	USD	-11,650.00	
25JUL17	S	1	PD25OCT17	2807.50	3273.00	USD	-11,637.50	
25JUL17	S	1	PD25OCT17	2810.00	3273.00	USD	-11,575.00	
25JUL17	S	1	PD25OCT17	2814.50	3273.00	USD	-11,462.50	
25JUL17	S	1	PD25OCT17	2817.00	3273.00	USD	-11,400.00	
25JUL17	S	1	PD25OCT17	2819.50	3273.00	USD	-11,337.50	
25JUL17	S	1	PD25OCT17	2821.50	3273.00	USD	-11,287.50	
25JUL17	S	1	PD25OCT17	2822.50	3273.00	USD	-11,262.50	
25JUL17	S	1	PD25OCT17	2823.50	3273.00	USD	-11,237.50	
25JUL17	S	1	PD25OCT17	2824.50	3273.00	USD	-11,212.50	
25JUL17	S	1	PD25OCT17	2821.00	3273.00	USD	-11,300.00	
25JUL17	S	1	PD25OCT17	2827.50	3273.00	USD	-11,137.50	

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backoffice@sapiencap.com

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
25JUL17	B	1	PD250CT17	2832.50	3273.00	USD	11,012.50	
25JUL17	S	1	PD250CT17	2833.00	3273.00	USD	-11,000.00	
25JUL17	S	1	PD250CT17	2834.00	3273.00	USD	-10,975.00	
25JUL17	B	1	PD250CT17	2840.00	3273.00	USD	10,825.00	
25JUL17	B	2	PD250CT17	2838.50	3273.00	USD	21,725.00	
25JUL17	B	3	PD250CT17	2838.00	3273.00	USD	32,625.00	
27JUL17	B	7	PD250CT17	2800.83	3273.00	USD	82,629.75	
31JUL17	B	1	PD250CT17	2793.50	3273.00	USD	11,987.50	
	NET	0AVG	BGHT 2817.52	SOLD 2819.20			629.75	0.00
26JUL17	B	1	PD260CT17	2846.50	3271.87	USD	10,634.25	
26JUL17	B	1	PD260CT17	2842.00	3271.87	USD	10,746.75	
26JUL17	B	1	PD260CT17	2844.00	3271.87	USD	10,696.75	
26JUL17	B	1	PD260CT17	2845.50	3271.87	USD	10,659.25	
26JUL17	B	1	PD260CT17	2848.00	3271.87	USD	10,596.75	
26JUL17	B	2	PD260CT17	2849.00	3271.87	USD	21,143.50	
26JUL17	B	1	PD260CT17	2807.50	3271.87	USD	11,609.25	
26JUL17	B	1	PD260CT17	2812.50	3271.87	USD	11,484.25	
26JUL17	B	1	PD260CT17	2808.20	3271.87	USD	11,591.75	
31JUL17	S	10	PD260CT17	2793.55	3271.87	USD	-119,580.00	
	NET	0AVG	BGHT 2835.22	SOLD 2793.55			-10,417.50	0.00
27JUL17	S	1	PD270CT17	2816.50	3270.73	USD	-11,355.75	
27JUL17	S	1	PD270CT17	2818.50	3270.73	USD	-11,305.75	
27JUL17	S	3	PD270CT17	2823.00	3270.73	USD	-33,579.75	
27JUL17	S	1	PD270CT17	2825.50	3270.73	USD	-11,130.75	
27JUL17	S	1	PD270CT17	2826.00	3270.73	USD	-11,118.25	
27JUL17	S	1	PD270CT17	2827.00	3270.73	USD	-11,093.25	
27JUL17	S	1	PD270CT17	2833.00	3270.73	USD	-10,943.25	
27JUL17	B	2	PD270CT17	2812.00	3270.73	USD	22,936.50	
27JUL17	S	1	PD270CT17	2819.00	3270.73	USD	-11,293.25	
27JUL17	S	1	PD270CT17	2822.50	3270.73	USD	-11,205.75	
27JUL17	B	1	PD270CT17	2811.50	3270.73	USD	11,480.75	
27JUL17	B	1	PD270CT17	2800.50	3270.73	USD	11,755.75	
27JUL17	B	1	PD270CT17	2793.00	3270.73	USD	11,943.25	
27JUL17	B	1	PD270CT17	2798.00	3270.73	USD	11,818.25	
28JUL17	B	1	PD270CT17	2782.00	3270.73	USD	12,218.25	
28JUL17	B	1	PD270CT17	2784.00	3270.73	USD	12,168.25	
28JUL17	B	1	PD270CT17	2785.50	3270.73	USD	12,130.75	
28JUL17	S	3	PD270CT17	2787.00	3270.73	USD	-36,279.75	
28JUL17	B	1	PD270CT17	2780.00	3270.73	USD	12,268.25	
28JUL17	B	1	PD270CT17	2782.50	3270.73	USD	12,205.75	
28JUL17	B	1	PD270CT17	2783.00	3270.73	USD	12,193.25	
28JUL17	B	1	PD270CT17	2781.50	3270.73	USD	12,230.75	
28JUL17	B	1	PD270CT17	2772.50	3270.73	USD	12,455.75	
28JUL17	B	1	PD270CT17	2773.50	3270.73	USD	12,430.75	
28JUL17	B	1	PD270CT17	2771.00	3270.73	USD	12,493.25	
28JUL17	B	1	PD270CT17	2773.00	3270.73	USD	12,443.25	
28JUL17	B	3	PD270CT17	2788.00	3270.73	USD	36,204.75	
28JUL17	S	1	PD270CT17	2788.00	3270.73	USD	-12,068.25	
28JUL17	S	1	PD270CT17	2789.00	3270.73	USD	-12,043.25	
28JUL17	S	1	PD270CT17	2791.50	3270.73	USD	-11,980.75	
28JUL17	S	1	PD270CT17	2793.50	3270.73	USD	-11,930.75	
28JUL17	S	1	PD270CT17	2797.00	3270.73	USD	-11,843.25	
28JUL17	S	1	PD270CT17	2792.50	3270.73	USD	-11,955.75	
28JUL17	B	1	PD270CT17	2777.00	3270.73	USD	12,343.25	
28JUL17	B	2	PD270CT17	2781.00	3270.73	USD	24,486.50	
28JUL17	B	1	PD270CT17	2779.50	3270.73	USD	12,280.75	
28JUL17	B	1	PD270CT17	2780.50	3270.73	USD	12,255.75	

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28JUL17	S	1	PD27OCT17	2790.00	3270.73	USD	-12,018.25	
28JUL17	B	2	PD27OCT17	2776.50	3270.73	USD	24,711.50	
28JUL17	B	1	PD27OCT17	2778.00	3270.73	USD	12,318.25	
28JUL17	B	1	PD27OCT17	2785.00	3270.73	USD	12,143.25	
31JUL17	S	8	PD27OCT17	2793.80	3270.73	USD	-95,386.00	
	NET	0AVG BGHT 2785.33	SOLD 2803.79				13,385.00	0.00
31JUL17	B	1	PD31OCT17	2803.00	3268.47	USD	11,636.75	
31JUL17	B	1	PD31OCT17	2806.00	3268.47	USD	11,561.75	
31JUL17	B	1	PD31OCT17	2807.00	3268.47	USD	11,536.75	
31JUL17	B	1	PD31OCT17	2807.50	3268.47	USD	11,524.25	
31JUL17	B	1	PD31OCT17	2808.50	3268.47	USD	11,499.25	
31JUL17	B	2	PD31OCT17	2805.00	3268.47	USD	23,173.50	
31JUL17	B	4	PD31OCT17	2804.50	3268.47	USD	46,397.00	
31JUL17	B	1	PD31OCT17	2804.00	3268.47	USD	11,611.75	
31JUL17	B	1	PD31OCT17	2794.50	3268.47	USD	11,849.25	
31JUL17	S	12	PD31OCT17	2794.80	3268.47	USD	-142,101.00	
09AUG17	S	1	PD31OCT17	2929.75	3268.47	USD	-8,468.00	
	NET	0AVG BGHT 2804.50	SOLD 2805.18				221.25	0.00
01AUG17	B	2	PD01NOV17	2785.00	3267.33	USD	24,116.50	
01AUG17	B	1	PD01NOV17	2772.50	3267.33	USD	12,370.75	
01AUG17	B	1	PD01NOV17	2775.00	3267.33	USD	12,308.25	
01AUG17	S	1	PD01NOV17	2782.00	3267.33	USD	-12,133.25	
01AUG17	S	2	PD01NOV17	2781.50	3267.33	USD	-24,291.50	
09AUG17	S	1	PD01NOV17	2929.94	3267.33	USD	-8,434.75	
	NET	0AVG BGHT 2779.38	SOLD 2818.74				3,936.00	0.00
02AUG17	S	1	PD02NOV17	2763.50	3266.20	USD	-12,567.50	
02AUG17	S	1	PD02NOV17	2765.00	3266.20	USD	-12,530.00	
02AUG17	S	1	PD02NOV17	2773.00	3266.20	USD	-12,330.00	
02AUG17	S	2	PD02NOV17	2775.50	3266.20	USD	-24,535.00	
02AUG17	B	1	PD02NOV17	2763.00	3266.20	USD	12,580.00	
02AUG17	B	2	PD02NOV17	2766.50	3266.20	USD	24,985.00	
02AUG17	B	1	PD02NOV17	2765.50	3266.20	USD	12,517.50	
02AUG17	S	1	PD02NOV17	2794.50	3266.20	USD	-11,792.50	
02AUG17	S	1	PD02NOV17	2801.50	3266.20	USD	-11,617.50	
02AUG17	S	1	PD02NOV17	2804.00	3266.20	USD	-11,555.00	
02AUG17	S	1	PD02NOV17	2804.50	3266.20	USD	-11,542.50	
02AUG17	S	1	PD02NOV17	2805.00	3266.20	USD	-11,530.00	
02AUG17	B	2	PD02NOV17	2805.00	3266.20	USD	23,060.00	
02AUG17	B	1	PD02NOV17	2806.50	3266.20	USD	11,492.50	
09AUG17	B	2	PD02NOV17	2930.34	3266.20	USD	16,793.00	
09AUG17	B	1	PD02NOV17	2930.14	3266.20	USD	8,401.50	
29SEP17	S	3	PD02NOV17	3170.25	3266.20	USD	-7,196.25	
29SEP17	S	4	PD02NOV17	3170.05	3266.20	USD	-9,615.00	
	NET	-7AVG BGHT 2826.88	SOLD 2944.29				-26,981.75	0.00
03AUG17	B	1	PD03NOV17	2807.50	3265.07	USD	11,439.25	
03AUG17	B	1	PD03NOV17	2810.00	3265.07	USD	11,376.75	
03AUG17	B	1	PD03NOV17	2815.50	3265.07	USD	11,239.25	
03AUG17	B	1	PD03NOV17	2798.00	3265.07	USD	11,676.75	
03AUG17	B	1	PD03NOV17	2795.00	3265.07	USD	11,751.75	
03AUG17	B	1	PD03NOV17	2793.00	3265.07	USD	11,801.75	
03AUG17	B	2	PD03NOV17	2794.00	3265.07	USD	23,553.50	
04AUG17	S	1	PD03NOV17	2819.50	3265.07	USD	-11,139.25	
04AUG17	S	1	PD03NOV17	2821.00	3265.07	USD	-11,101.75	
04AUG17	S	1	PD03NOV17	2822.00	3265.07	USD	-11,076.75	
04AUG17	S	1	PD03NOV17	2832.50	3265.07	USD	-10,814.25	
04AUG17	S	1	PD03NOV17	2830.50	3265.07	USD	-10,864.25	

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04AUG17	S	1	PD03NOV17	2831.00	3265.07	USD	-10,851.75	
04AUG17	S	1	PD03NOV17	2828.00	3265.07	USD	-10,926.75	
04AUG17	S	1	PD03NOV17	2824.50	3265.07	USD	-11,014.25	
04AUG17	B	1	PD03NOV17	2802.00	3265.07	USD	11,576.75	
04AUG17	B	1	PD03NOV17	2805.50	3265.07	USD	11,489.25	
04AUG17	B	1	PD03NOV17	2798.00	3265.07	USD	11,676.75	
04AUG17	B	1	PD03NOV17	2801.50	3265.07	USD	11,589.25	
04AUG17	B	1	PD03NOV17	2803.00	3265.07	USD	11,551.75	
04AUG17	B	1	PD03NOV17	2798.50	3265.07	USD	11,664.25	
07AUG17	S	5	PD03NOV17	2856.94	3265.07	USD	-51,016.25	
09AUG17	S	1	PD03NOV17	2930.13	3265.07	USD	-8,373.50	
	NET	0 AVG BGHT 2801.11	SOLD 2844.56				15,208.25	0.00
07AUG17	S	1	PD07NOV17	2824.50	3262.80	USD	-10,957.50	
07AUG17	S	1	PD07NOV17	2827.50	3262.80	USD	-10,882.50	
07AUG17	S	4	PD07NOV17	2826.00	3262.80	USD	-43,680.00	
07AUG17	S	1	PD07NOV17	2831.00	3262.80	USD	-10,795.00	
07AUG17	S	4	PD07NOV17	2825.00	3262.80	USD	-43,780.00	
07AUG17	S	1	PD07NOV17	2833.50	3262.80	USD	-10,732.50	
07AUG17	B	1	PD07NOV17	2831.50	3262.80	USD	10,782.50	
07AUG17	S	1	PD07NOV17	2860.00	3262.80	USD	-10,070.00	
07AUG17	S	1	PD07NOV17	2864.00	3262.80	USD	-9,970.00	
07AUG17	S	1	PD07NOV17	2866.00	3262.80	USD	-9,920.00	
07AUG17	S	1	PD07NOV17	2874.50	3262.80	USD	-9,707.50	
07AUG17	S	1	PD07NOV17	2867.50	3262.80	USD	-9,882.50	
07AUG17	S	1	PD07NOV17	2886.50	3262.80	USD	-9,407.50	
07AUG17	S	1	PD07NOV17	2889.50	3262.80	USD	-9,332.50	
07AUG17	B	1	PD07NOV17	2862.50	3262.80	USD	10,007.50	
07AUG17	B	1	PD07NOV17	2869.00	3262.80	USD	9,845.00	
07AUG17	B	2	PD07NOV17	2871.50	3262.80	USD	19,565.00	
07AUG17	B	2	PD07NOV17	2873.00	3262.80	USD	19,490.00	
07AUG17	B	1	PD07NOV17	2872.00	3262.80	USD	9,770.00	
07AUG17	B	1	PD07NOV17	2874.50	3262.80	USD	9,707.50	
07AUG17	S	1	PD07NOV17	2872.50	3262.80	USD	-9,757.50	
09AUG17	B	10	PD07NOV17	2931.31	3262.80	USD	82,872.50	
14AUG17	B	1	PD07NOV17	2915.88	3262.80	USD	8,673.00	
	NET	0 AVG BGHT 2901.37	SOLD 2845.05				-28,162.00	0.00
08AUG17	S	1	PD08NOV17	2880.00	3261.67	USD	-9,541.75	
08AUG17	S	1	PD08NOV17	2881.50	3261.67	USD	-9,504.25	
08AUG17	S	2	PD08NOV17	2872.50	3261.67	USD	-19,458.50	
08AUG17	S	1	PD08NOV17	2884.00	3261.67	USD	-9,441.75	
08AUG17	B	1	PD08NOV17	2869.50	3261.67	USD	9,804.25	
08AUG17	B	1	PD08NOV17	2873.00	3261.67	USD	9,716.75	
08AUG17	S	1	PD08NOV17	2915.00	3261.67	USD	-8,666.75	
08AUG17	S	1	PD08NOV17	2936.00	3261.67	USD	-8,141.75	
14AUG17	B	5	PD08NOV17	2916.04	3261.67	USD	43,203.75	
	NET	0 AVG BGHT 2903.24	SOLD 2891.64				-2,030.00	0.00
09AUG17	B	1	PD09NOV17	2964.00	3260.53	USD	7,413.25	
09AUG17	S	1	PD09NOV17	2963.50	3260.53	USD	-7,425.75	
09AUG17	B	2	PD09NOV17	2953.50	3260.53	USD	15,351.50	
09AUG17	B	1	PD09NOV17	2952.00	3260.53	USD	7,713.25	
09AUG17	B	1	PD09NOV17	2950.50	3260.53	USD	7,750.75	
09AUG17	B	1	PD09NOV17	2940.50	3260.53	USD	8,000.75	
09AUG17	B	1	PD09NOV17	2943.50	3260.53	USD	7,925.75	
09AUG17	B	1	PD09NOV17	2951.50	3260.53	USD	7,725.75	
09AUG17	B	1	PD09NOV17	2932.50	3260.53	USD	8,200.75	
09AUG17	B	1	PD09NOV17	2935.00	3260.53	USD	8,138.25	

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14AUG17	S	9	PD09NOV17	2916.00	3260.53	USD	-77,519.25	
	NET	0 AVG BGHT 2947.65	SOLD 2920.75				-6,725.00	0.00
10AUG17	S	1	PD10NOV17	2943.00	3259.40	USD	-7,910.00	
10AUG17	S	1	PD10NOV17	2946.50	3259.40	USD	-7,822.50	
10AUG17	B	1	PD10NOV17	2918.50	3259.40	USD	8,522.50	
10AUG17	B	1	PD10NOV17	2915.00	3259.40	USD	8,610.00	
10AUG17	B	1	PD10NOV17	2923.00	3259.40	USD	8,410.00	
10AUG17	B	1	PD10NOV17	2923.50	3259.40	USD	8,397.50	
10AUG17	B	1	PD10NOV17	2947.50	3259.40	USD	7,797.50	
10AUG17	B	1	PD10NOV17	2936.50	3259.40	USD	8,072.50	
10AUG17	B	1	PD10NOV17	2937.00	3259.40	USD	8,060.00	
10AUG17	S	1	PD10NOV17	2936.00	3259.40	USD	-8,085.00	
10AUG17	B	4	PD10NOV17	2928.50	3259.40	USD	33,090.00	
10AUG17	B	1	PD10NOV17	2938.00	3259.40	USD	8,035.00	
11AUG17	S	1	PD10NOV17	2917.00	3259.40	USD	-8,560.00	
11AUG17	B	1	PD10NOV17	2892.00	3259.40	USD	9,185.00	
11AUG17	B	1	PD10NOV17	2893.50	3259.40	USD	9,147.50	
11AUG17	B	1	PD10NOV17	2899.00	3259.40	USD	9,010.00	
11AUG17	B	1	PD10NOV17	2891.00	3259.40	USD	9,210.00	
11AUG17	S	1	PD10NOV17	2903.50	3259.40	USD	-8,897.50	
11AUG17	S	1	PD10NOV17	2900.50	3259.40	USD	-8,972.50	
11AUG17	S	1	PD10NOV17	2901.50	3259.40	USD	-8,947.50	
11AUG17	S	3	PD10NOV17	2896.30	3259.40	USD	-27,232.50	
14AUG17	S	6	PD10NOV17	2916.16	3259.40	USD	-51,486.00	
	NET	0 AVG BGHT 2920.53	SOLD 2914.62				-2,366.00	0.00
14AUG17	S	3	PD14NOV17	2911.00	3257.13	USD	-25,959.75	
14AUG17	S	1	PD14NOV17	2912.00	3257.13	USD	-8,628.25	
14AUG17	S	1	PD14NOV17	2913.50	3257.13	USD	-8,590.75	
14AUG17	S	1	PD14NOV17	2915.00	3257.13	USD	-8,553.25	
14AUG17	S	2	PD14NOV17	2914.00	3257.13	USD	-17,156.50	
14AUG17	S	2	PD14NOV17	2914.50	3257.13	USD	-17,131.50	
18AUG17	B	7	PD14NOV17	3126.57	3257.13	USD	22,848.00	
30AUG17	B	3	PD14NOV17	3094.25	3257.13	USD	12,216.00	
	NET	0 AVG BGHT 3116.87	SOLD 2913.05				-50,956.00	0.00
16AUG17	B	1	PD16NOV17	2985.00	3253.50	USD	6,712.50	
16AUG17	S	1	PD16NOV17	2979.00	3253.50	USD	-6,862.50	
16AUG17	S	1	PD16NOV17	2979.50	3253.50	USD	-6,850.00	
16AUG17	S	1	PD16NOV17	2980.50	3253.50	USD	-6,825.00	
16AUG17	S	1	PD16NOV17	2982.00	3253.50	USD	-6,787.50	
16AUG17	S	1	PD16NOV17	2984.00	3253.50	USD	-6,737.50	
16AUG17	S	1	PD16NOV17	2985.50	3253.50	USD	-6,700.00	
16AUG17	S	1	PD16NOV17	2990.00	3253.50	USD	-6,587.50	
16AUG17	S	1	PD16NOV17	2992.00	3253.50	USD	-6,537.50	
16AUG17	S	1	PD16NOV17	2982.50	3253.50	USD	-6,775.00	
16AUG17	B	1	PD16NOV17	2981.50	3253.50	USD	6,800.00	
16AUG17	S	1	PD16NOV17	2980.00	3253.50	USD	-6,837.50	
16AUG17	B	1	PD16NOV17	3081.00	3253.50	USD	4,312.50	
16AUG17	B	1	PD16NOV17	3085.00	3253.50	USD	4,212.50	
16AUG17	B	1	PD16NOV17	3084.00	3253.50	USD	4,237.50	
16AUG17	B	2	PD16NOV17	3121.00	3253.50	USD	6,625.00	
16AUG17	B	1	PD16NOV17	3125.00	3253.50	USD	3,212.50	
16AUG17	B	1	PD16NOV17	3075.00	3253.50	USD	4,462.50	
16AUG17	B	2	PD16NOV17	3109.50	3253.50	USD	7,200.00	
16AUG17	B	1	PD16NOV17	3116.50	3253.50	USD	3,425.00	
16AUG17	B	1	PD16NOV17	3107.50	3253.50	USD	3,650.00	
16AUG17	B	1	PD16NOV17	3108.00	3253.50	USD	3,637.50	

OPEN POSITION STATEMENT



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SAP0094H

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
16AUG17	B	1	PD16NOV17	3113.00	3253.50	USD	3,512.50	
16AUG17	B	1	PD16NOV17	3114.50	3253.50	USD	3,475.00	
16AUG17	B	1	PD16NOV17	3120.50	3253.50	USD	3,325.00	
29AUG17	S	7	PD16NOV17	3107.30	3253.50	USD	-25,585.00	
	NET	0 AVG BGHT 3091.62	SOLD 3034.48				-24,285.00	0.00
17AUG17	S	1	PD17NOV17	3139.50	3251.00	USD	-2,787.50	
17AUG17	S	1	PD17NOV17	3140.00	3251.00	USD	-2,775.00	
17AUG17	S	2	PD17NOV17	3140.50	3251.00	USD	-5,525.00	
17AUG17	S	1	PD17NOV17	3132.00	3251.00	USD	-2,975.00	
17AUG17	S	1	PD17NOV17	3133.50	3251.00	USD	-2,937.50	
17AUG17	S	1	PD17NOV17	3135.00	3251.00	USD	-2,900.00	
17AUG17	S	1	PD17NOV17	3138.50	3251.00	USD	-2,812.50	
17AUG17	B	1	PD17NOV17	3068.00	3251.00	USD	4,575.00	
17AUG17	B	1	PD17NOV17	3063.00	3251.00	USD	4,700.00	
17AUG17	B	1	PD17NOV17	3065.50	3251.00	USD	4,637.50	
17AUG17	B	1	PD17NOV17	3054.50	3251.00	USD	4,912.50	
17AUG17	B	1	PD17NOV17	3056.00	3251.00	USD	4,875.00	
17AUG17	S	1	PD17NOV17	3056.00	3251.00	USD	-4,875.00	
17AUG17	S	1	PD17NOV17	3057.00	3251.00	USD	-4,850.00	
17AUG17	B	1	PD17NOV17	3047.50	3251.00	USD	5,087.50	
17AUG17	B	1	PD17NOV17	3056.50	3251.00	USD	4,862.50	
17AUG17	B	1	PD17NOV17	3057.00	3251.00	USD	4,850.00	
18AUG17	B	1	PD17NOV17	3123.00	3251.00	USD	3,200.00	
18AUG17	B	1	PD17NOV17	3127.00	3251.00	USD	3,100.00	
18AUG17	S	1	PD17NOV17	3116.00	3251.00	USD	-3,375.00	
18AUG17	S	1	PD17NOV17	3118.00	3251.00	USD	-3,325.00	
18AUG17	S	1	PD17NOV17	3118.50	3251.00	USD	-3,312.50	
18AUG17	S	1	PD17NOV17	3119.50	3251.00	USD	-3,287.50	
18AUG17	S	1	PD17NOV17	3120.50	3251.00	USD	-3,262.50	
18AUG17	S	1	PD17NOV17	3135.50	3251.00	USD	-2,887.50	
18AUG17	B	1	PD17NOV17	3115.00	3251.00	USD	3,400.00	
18AUG17	B	1	PD17NOV17	3114.50	3251.00	USD	3,412.50	
18AUG17	S	1	PD17NOV17	3115.50	3251.00	USD	-3,387.50	
18AUG17	B	1	PD17NOV17	3135.50	3251.00	USD	2,887.50	
18AUG17	S	1	PD17NOV17	3125.50	3251.00	USD	-3,137.50	
18AUG17	S	1	PD17NOV17	3126.00	3251.00	USD	-3,125.00	
18AUG17	B	1	PD17NOV17	3140.00	3251.00	USD	2,775.00	
23AUG17	B	1	PD17NOV17	3094.70	3251.00	USD	3,907.50	
31AUG17	B	4	PD17NOV17	3143.00	3251.00	USD	10,800.00	
	NET	0 AVG BGHT 3099.46	SOLD 3121.45				10,445.00	0.00
21AUG17	S	1	PD21NOV17	3149.50	3249.25	USD	-2,493.75	
21AUG17	S	1	PD21NOV17	3150.00	3249.25	USD	-2,481.25	
21AUG17	B	1	PD21NOV17	3125.50	3249.25	USD	3,093.75	
21AUG17	B	1	PD21NOV17	3128.00	3249.25	USD	3,031.25	
21AUG17	B	1	PD21NOV17	3123.50	3249.25	USD	3,143.75	
21AUG17	B	1	PD21NOV17	3127.00	3249.25	USD	3,056.25	
21AUG17	S	1	PD21NOV17	3122.50	3249.25	USD	-3,168.75	
29AUG17	S	1	PD21NOV17	3107.94	3249.25	USD	-3,532.75	
	NET	0 AVG BGHT 3126.00	SOLD 3132.49				648.50	0.00
22AUG17	B	1	PD22NOV17	3143.00	3248.38	USD	2,634.50	
22AUG17	S	2	PD22NOV17	3138.00	3248.38	USD	-5,519.00	
22AUG17	S	1	PD22NOV17	3138.50	3248.38	USD	-2,747.00	
22AUG17	S	1	PD22NOV17	3148.00	3248.38	USD	-2,509.50	
22AUG17	B	1	PD22NOV17	3121.50	3248.38	USD	3,172.00	
22AUG17	B	1	PD22NOV17	3116.50	3248.38	USD	3,297.00	
22AUG17	B	1	PD22NOV17	3110.50	3248.38	USD	3,447.00	

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
	NET	0 AVG BGHT 3122.88	SOLD 3140.63				1,775.00	0.00
23AUG17	S	1 PD24NOV17		3140.50	3247.50	USD	-2,675.00	
23AUG17	S	1 PD24NOV17		3109.00	3247.50	USD	-3,462.50	
23AUG17	B	1 PD24NOV17		3110.00	3247.50	USD	3,437.50	
24AUG17	S	1 PD24NOV17		3110.00	3247.50	USD	-3,437.50	
24AUG17	S	1 PD24NOV17		3105.00	3247.50	USD	-3,562.50	
24AUG17	S	1 PD24NOV17		3118.50	3247.50	USD	-3,225.00	
24AUG17	B	1 PD24NOV17		3108.50	3247.50	USD	3,475.00	
25AUG17	B	1 PD24NOV17		3071.50	3247.50	USD	4,400.00	
25AUG17	B	1 PD24NOV17		3066.50	3247.50	USD	4,525.00	
25AUG17	B	1 PD24NOV17		3056.50	3247.50	USD	4,775.00	
25AUG17	B	1 PD24NOV17		3060.00	3247.50	USD	4,687.50	
25AUG17	B	1 PD24NOV17		3059.00	3247.50	USD	4,712.50	
25AUG17	B	1 PD24NOV17		3065.00	3247.50	USD	4,562.50	
25AUG17	B	1 PD24NOV17		3068.50	3247.50	USD	4,475.00	
25AUG17	S	1 PD24NOV17		3065.00	3247.50	USD	-4,562.50	
30AUG17	S	3 PD24NOV17		3093.80	3247.50	USD	-11,527.50	
	NET	0 AVG BGHT 3073.94	SOLD 3103.27				6,597.50	0.00
29AUG17	B	1 PD29NOV17		3109.00	3246.75	USD	3,443.75	
29AUG17	S	1 PD29NOV17		3093.00	3246.75	USD	-3,843.75	
29AUG17	B	5 PD29NOV17		3094.00	3246.75	USD	19,093.75	
29AUG17	B	1 PD29NOV17		3118.50	3246.75	USD	3,206.25	
29AUG17	B	1 PD29NOV17		3096.50	3246.75	USD	3,756.25	
29AUG17	S	1 PD29NOV17		3093.50	3246.75	USD	-3,831.25	
29AUG17	S	1 PD29NOV17		3094.00	3246.75	USD	-3,818.75	
29AUG17	B	1 PD29NOV17		3118.00	3246.75	USD	3,218.75	
29AUG17	S	1 PD29NOV17		3118.50	3246.75	USD	-3,206.25	
29AUG17	S	1 PD29NOV17		3116.50	3246.75	USD	-3,256.25	
29AUG17	S	1 PD29NOV17		3121.50	3246.75	USD	-3,131.25	
29AUG17	S	3 PD29NOV17		3121.00	3246.75	USD	-9,431.25	
29AUG17	S	3 PD29NOV17		3119.00	3246.75	USD	-9,581.25	
29AUG17	S	1 PD29NOV17		3107.00	3246.75	USD	-3,493.75	
31AUG17	B	4 PD29NOV17		3145.83	3246.75	USD	10,092.00	
	NET	0 AVG BGHT 3115.02	SOLD 3112.62				-783.00	0.00
30AUG17	B	1 PD30NOV17		3098.50	3246.50	USD	3,700.00	
30AUG17	B	1 PD30NOV17		3103.50	3246.50	USD	3,575.00	
30AUG17	B	1 PD30NOV17		3104.50	3246.50	USD	3,550.00	
30AUG17	B	1 PD30NOV17		3111.00	3246.50	USD	3,387.50	
30AUG17	B	1 PD30NOV17		3096.50	3246.50	USD	3,750.00	
30AUG17	B	2 PD30NOV17		3094.50	3246.50	USD	7,600.00	
30AUG17	B	1 PD30NOV17		3084.50	3246.50	USD	4,050.00	
31AUG17	B	2 PD30NOV17		3100.00	3246.50	USD	7,325.00	
31AUG17	B	1 PD30NOV17		3104.50	3246.50	USD	3,550.00	
31AUG17	B	1 PD30NOV17		3106.50	3246.50	USD	3,500.00	
31AUG17	B	1 PD30NOV17		3108.00	3246.50	USD	3,462.50	
31AUG17	S	1 PD30NOV17		3106.00	3246.50	USD	-3,512.50	
31AUG17	S	1 PD30NOV17		3113.50	3246.50	USD	-3,325.00	
31AUG17	S	2 PD30NOV17		3114.50	3246.50	USD	-6,600.00	
31AUG17	S	2 PD30NOV17		3119.00	3246.50	USD	-6,375.00	
31AUG17	B	1 PD30NOV17		3130.00	3246.50	USD	2,912.50	
31AUG17	B	1 PD30NOV17		3128.00	3246.50	USD	2,962.50	
31AUG17	B	1 PD30NOV17		3124.50	3246.50	USD	3,050.00	
31AUG17	B	1 PD30NOV17		3124.00	3246.50	USD	3,062.50	
31AUG17	B	5 PD30NOV17		3126.00	3246.50	USD	15,062.50	
31AUG17	B	3 PD30NOV17		3125.00	3246.50	USD	9,112.50	
31AUG17	B	1 PD30NOV17		3108.50	3246.50	USD	3,450.00	

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31AUG17	B	2	PD30NOV17	3125.50	3246.50	USD	6,050.00	
31AUG17	B	1	PD30NOV17	3126.50	3246.50	USD	3,000.00	
31AUG17	B	1	PD30NOV17	3127.00	3246.50	USD	2,987.50	
31AUG17	S	8	PD30NOV17	3145.80	3246.50	USD	-20,140.00	
04SEP17	S	16	PD30NOV17	3204.30	3246.50	USD	-16,880.00	
	NET	0 AVG BGHT	3114.37	SOLD 3170.72			42,267.50	0.00
05SEP17	S	1	PD05DEC17	3195.00	3245.75	USD	-1,268.75	
22SEP17	B	1	PD05DEC17	3031.68	3245.75	USD	5,351.75	
	NET	0 AVG BGHT	3031.68	SOLD 3195.00			4,083.00	0.00
06SEP17	B	1	PD06DEC17	3089.00	3245.50	USD	3,912.50	
06SEP17	B	1	PD06DEC17	3081.00	3245.50	USD	4,112.50	
06SEP17	S	1	PD06DEC17	3102.50	3245.50	USD	-3,575.00	
22SEP17	S	1	PD06DEC17	3031.63	3245.50	USD	-5,346.75	
	NET	0 AVG BGHT	3085.00	SOLD 3067.07			-896.75	0.00
11SEP17	S	1	PD11DEC17	3093.00	3244.75	USD	-3,793.75	
11SEP17	S	1	PD11DEC17	3101.50	3244.75	USD	-3,581.25	
11SEP17	B	1	PD11DEC17	3085.00	3244.75	USD	3,993.75	
22SEP17	B	1	PD11DEC17	3032.61	3244.75	USD	5,303.50	
	NET	0 AVG BGHT	3058.81	SOLD 3097.25			1,922.25	0.00
12SEP17	B	1	PD12DEC17	3077.50	3244.50	USD	4,175.00	
22SEP17	S	1	PD12DEC17	3032.56	3244.50	USD	-5,298.50	
	NET	0 AVG BGHT	3077.50	SOLD 3032.56			-1,123.50	0.00
21SEP17	S	1	PD21DEC17	3078.00	3240.00	USD	-4,050.00	
21SEP17	S	1	PD21DEC17	3080.00	3240.00	USD	-4,000.00	
21SEP17	B	2	PD21DEC17	3095.46	3240.00	USD	7,227.00	
	NET	0 AVG BGHT	3095.46	SOLD 3079.00			-823.00	0.00
26SEP17	S	1	PD27DEC17	3142.00	3239.23	USD	-2,430.75	
26SEP17	B	1	PD27DEC17	3142.50	3239.23	USD	2,418.25	
27SEP17	B	1	PD27DEC17	3101.00	3239.23	USD	3,455.75	
27SEP17	S	1	PD27DEC17	3114.00	3239.23	USD	-3,130.75	
	NET	0 AVG BGHT	3121.75	SOLD 3128.00			312.50	0.00
29SEP17	B	1	PD29DEC17	3145.50	3238.46	USD	2,324.00	
29SEP17	B	1	PD29DEC17	3149.50	3238.46	USD	2,224.00	
29SEP17	B	1	PD29DEC17	3148.00	3238.46	USD	2,261.50	
29SEP17	S	1	PD29DEC17	3169.00	3238.46	USD	-1,736.50	
29SEP17	S	1	PD29DEC17	3163.00	3238.46	USD	-1,886.50	
29SEP17	S	1	PD29DEC17	3161.50	3238.46	USD	-1,924.00	
29SEP17	S	1	PD29DEC17	3147.35	3238.46	USD	-2,277.75	
29SEP17	S	1	PD29DEC17	3147.85	3238.46	USD	-2,265.25	
29SEP17	S	1	PD29DEC17	3143.85	3238.46	USD	-2,365.25	
29SEP17	B	3	PD29DEC17	3162.20	3238.46	USD	5,719.50	
	NET	0 AVG BGHT	3154.93	SOLD 3155.43			73.75	0.00
03OCT17	S	1	PD03JAN18	3219.00	3237.69	USD	-467.25	
03OCT17	S	1	PD03JAN18	3240.00	3237.69	USD	57.75	
03OCT17	S	1	PD03JAN18	3241.50	3237.69	USD	95.25	
03OCT17	B	1	PD03JAN18	3288.00	3237.69	USD	-1,257.75	
03OCT17	B	1	PD03JAN18	3265.00	3237.69	USD	-682.75	
03OCT17	B	1	PD03JAN18	3263.50	3237.69	USD	-645.25	
	NET	0 AVG BGHT	3272.17	SOLD 3233.50			-2,900.00	0.00
05OCT17	S	1	PD05JAN18	3280.00	3236.92	USD	1,077.00	
05OCT17	S	1	PD05JAN18	3280.50	3236.92	USD	1,089.50	
05OCT17	S	1	PD05JAN18	3282.00	3236.92	USD	1,127.00	
05OCT17	S	1	PD05JAN18	3285.00	3236.92	USD	1,202.00	
05OCT17	B	1	PD05JAN18	3305.00	3236.92	USD	-1,702.00	
05OCT17	B	1	PD05JAN18	3297.00	3236.92	USD	-1,502.00	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0094H

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
05OCT17	B	1	PD05JAN18	3294.00	3236.92	USD	-1,427.00	
05OCT17	B	1	PD05JAN18	3297.50	3236.92	USD	-1,514.50	
06OCT17	S	1	PD05JAN18	3263.50	3236.92	USD	664.50	
06OCT17	B	1	PD05JAN18	3243.00	3236.92	USD	-152.00	
	NET	0 AVG	BGHT 3287.30				-1,137.50	0.00
09OCT17	S	1	PD09JAN18	3267.00	3236.15	USD	771.25	
09OCT17	S	1	PD09JAN18	3264.00	3236.15	USD	696.25	
09OCT17	S	1	PD09JAN18	3245.50	3236.15	USD	233.75	
09OCT17	B	1	PD09JAN18	3255.50	3236.15	USD	-483.75	
	NET	-2 AVG	BGHT 3255.50				1,217.50	0.00
10OCT17	B	1	PD10JAN18	3287.50	3235.77	USD	-1,293.25	
10OCT17	B	1	PD10JAN18	3222.00	3235.77	USD	344.25	
10OCT17	B	1	PD10JAN18	3223.00	3235.77	USD	319.25	
10OCT17	B	1	PD10JAN18	3284.00	3235.77	USD	-1,205.75	
10OCT17	S	1	PD10JAN18	3277.50	3235.77	USD	1,043.25	
10OCT17	S	1	PD10JAN18	3280.00	3235.77	USD	1,105.75	
	NET	+2 AVG	BGHT 3254.13				313.50	0.00
12OCT17	S	1	PD12JAN18	3253.50	3235.00	USD	462.50	
12OCT17	S	1	PD12JAN18	3255.00	3235.00	USD	500.00	
12OCT17	B	1	PD12JAN18	3252.00	3235.00	USD	-425.00	
12OCT17	B	1	PD12JAN18	3251.00	3235.00	USD	-400.00	
13OCT17	B	1	PD12JAN18	3252.50	3235.00	USD	-437.50	
13OCT17	S	1	PD12JAN18	3265.00	3235.00	USD	750.00	
	NET	0 AVG	BGHT 3251.83				450.00	0.00

OPEN POSITION VALUE

		USD
LME Aluminium 25 Tonnes.	FUTURES	102,346.75
LME Lead 25 tonnes. This is an	FUTURES	-4,358.00
LME Nickel 6 tonnes. This is	FUTURES	1,265.64
CMX Silver	FUTURES	-83,800.00
LME Zinc 25 tonnes. This is	FUTURES	513,923.25

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options	Amount	Profit / Loss	Total Amount	Total P&L
SILV - Futures USD	3,255.00	3,255.00	3,255.00	3,255.00
Unrealised Profits & Losses - Forwards	Amount	Profit / Loss	Total Amount	Total P&L
ALUL - Futures USD	-2,416.75	-2,416.75		
LEAD - Futures USD	-4,358.00	-4,358.00		
NKL - Futures USD	1,265.64	1,265.64		
ZINC - Futures USD	-57,680.75	-57,680.75	-63,189.86	-63,189.86

OPEN POSITION STATEMENT



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ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		46,085.69	46,085.69
Variation Margins	- Futures	3,255.00	3,255.00
	- Options	0.00	0.00
TOTAL EQUITY		49,340.69	49,340.69
Initial Margins		-115,564.86	-115,564.86
Excess/(Shortage)		-66,224.17	-66,224.17
FOR INFORMATION ONLY			
Forward Unrealised P&L		-63,189.86	-63,189.86
Net Liquidating Value		-13,849.17	-13,849.17

OPEN POSITION STATEMENT



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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.</u>							
17JUL17	B	1	PD17OCT17	1919.50	2109.75	USD	4,756.25	
21JUL17	S	1	PD17OCT17	1914.85	2109.75	USD	-4,872.50	
	NET	0 AVG	BGHT 1919.50				-116.25	0.00
18JUL17	B	5	PD18OCT17	1917.50	2110.25	USD	24,093.75	
18JUL17	S	5	PD18OCT17	1917.00	2110.25	USD	-24,156.25	
18JUL17	B	10	PD18OCT17	1917.00	2110.25	USD	48,312.50	
18JUL17	B	20	PD18OCT17	1922.00	2110.25	USD	94,125.00	
18JUL17	B	8	PD18OCT17	1923.50	2110.25	USD	37,350.00	
18JUL17	B	2	PD18OCT17	1924.00	2110.25	USD	9,312.50	
18JUL17	B	10	PD18OCT17	1925.50	2110.25	USD	46,187.50	
18JUL17	B	10	PD18OCT17	1926.00	2110.25	USD	46,062.50	
21JUL17	S	60	PD18OCT17	1915.05	2110.25	USD	-292,800.00	
	NET	0 AVG	BGHT 1922.28				-11,512.50	0.00
19JUL17	B	5	PD19OCT17	1938.50	2110.75	USD	21,531.25	
19JUL17	B	10	PD19OCT17	1939.00	2110.75	USD	42,937.50	
19JUL17	B	20	PD19OCT17	1934.00	2110.75	USD	88,375.00	
19JUL17	B	5	PD19OCT17	1928.00	2110.75	USD	22,843.75	
19JUL17	B	14	PD19OCT17	1933.50	2110.75	USD	62,037.50	
19JUL17	B	16	PD19OCT17	1933.00	2110.75	USD	71,100.00	
21JUL17	S	70	PD19OCT17	1914.93	2110.75	USD	-342,685.00	
	NET	0 AVG	BGHT 1934.28				-33,860.00	0.00
20JUL17	B	6	PD20OCT17	1925.00	2111.25	USD	27,937.50	
20JUL17	B	4	PD20OCT17	1926.00	2111.25	USD	18,525.00	
20JUL17	B	19	PD20OCT17	1927.00	2111.25	USD	87,518.75	
20JUL17	B	20	PD20OCT17	1927.50	2111.25	USD	91,875.00	
20JUL17	B	2	PD20OCT17	1929.00	2111.25	USD	9,112.50	
20JUL17	B	3	PD20OCT17	1929.50	2111.25	USD	13,631.25	
20JUL17	B	12	PD20OCT17	1926.50	2111.25	USD	55,425.00	
21JUL17	S	61	PD20OCT17	1914.80	2111.25	USD	-299,586.25	
03AUG17	S	5	PD20OCT17	1912.50	2111.25	USD	-24,843.75	
	NET	0 AVG	BGHT 1926.99				-20,405.00	0.00
26JUL17	S	1	PD26OCT17	1959.50	2114.25	USD	-3,868.75	
26JUL17	S	4	PD26OCT17	1958.50	2114.25	USD	-15,575.00	
03AUG17	B	5	PD26OCT17	1914.20	2114.25	USD	25,006.25	
	NET	0 AVG	BGHT 1914.20				5,562.50	0.00
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
17JUL17	S	5	PD17OCT17	6011.50	6859.00	USD	-105,937.50	
03AUG17	B	5	PD17OCT17	6349.20	6859.00	USD	63,725.00	
	NET	0 AVG	BGHT 6349.20				-42,212.50	0.00
18JUL17	S	5	PD18OCT17	6000.50	6859.50	USD	-107,375.00	
18JUL17	S	5	PD18OCT17	6001.50	6859.50	USD	-107,250.00	
18JUL17	S	5	PD18OCT17	6004.00	6859.50	USD	-106,937.50	
18JUL17	B	5	PD18OCT17	5998.00	6859.50	USD	107,687.50	
03AUG17	B	10	PD18OCT17	6349.20	6859.50	USD	127,575.00	
	NET	0 AVG	BGHT 6232.13				-86,300.00	0.00
19JUL17	B	5	PD19OCT17	6001.00	6857.50	USD	107,062.50	
19JUL17	S	10	PD19OCT17	6000.00	6857.50	USD	-214,375.00	
19JUL17	S	10	PD19OCT17	6000.50	6857.50	USD	-214,250.00	
19JUL17	B	5	PD19OCT17	6001.50	6857.50	USD	107,000.00	
03AUG17	B	10	PD19OCT17	6348.00	6857.50	USD	127,375.00	
	NET	0 AVG	BGHT 6174.63				-87,187.50	0.00
20JUL17	B	5	PD20OCT17	5968.00	6857.50	USD	111,187.50	
20JUL17	B	1	PD20OCT17	5986.50	6857.50	USD	21,775.00	
20JUL17	B	4	PD20OCT17	5987.00	6857.50	USD	87,050.00	

OPEN POSITION STATEMENT



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03AUG17	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>					
	S 10 PD20OCT17	6346.80	6857.50	USD	-127,675.00	
	NET 0AVG BGHT 5977.45 SOLD 6346.80				92,337.50	0.00
10AUG17	S 4 PD10NOV17	6420.00	6866.00	USD	-44,600.00	
10AUG17	S 2 PD10NOV17	6420.50	6866.00	USD	-22,275.00	
22AUG17	B 6 PD10NOV17	6579.45	6866.00	USD	42,982.50	
	NET 0AVG BGHT 6579.45 SOLD 6420.17				-23,892.50	0.00
09OCT17	<u>CMX GOLD 100 CMX</u>					
	B 1 DEC17	1285.0	1304.6	USD	1,960.00	
	NET +1AVG BGHT 1285.0				1,960.00	0.00
20JUL17	<u>LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
	B 5 PD20OCT17	2223.00	2519.05	USD	37,006.25	
	S 5 PD20OCT17	2408.25	2519.05	USD	-13,850.00	
	NET 0AVG BGHT 2223.00 SOLD 2408.25				23,156.25	0.00
07AUG17	S 5 PD07NOV17	2355.00	2521.67	USD	-20,833.75	
07AUG17	S 22 PD07NOV17	2356.00	2521.67	USD	-91,118.50	
07AUG17	S 2 PD07NOV17	2356.50	2521.67	USD	-8,258.50	
07AUG17	S 11 PD07NOV17	2357.00	2521.67	USD	-45,284.25	
07AUG17	B 1 PD07NOV17	2358.50	2521.67	USD	4,079.25	
07AUG17	S 3 PD07NOV17	2382.50	2521.67	USD	-10,437.75	
22AUG17	B 42 PD07NOV17	2412.95	2521.67	USD	114,156.00	
	NET 0AVG BGHT 2411.68 SOLD 2358.01				-57,697.50	0.00
17JUL17	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
	S 5 PD17OCT17	9600.00	11610.50	USD	-60,315.00	
	B 5 PD17OCT17	10371.80	11610.50	USD	37,161.00	
	NET 0AVG BGHT 10371.80 SOLD 9600.00				-23,154.00	0.00
26JUL17	S 5 PD26OCT17	10005.00	11616.46	USD	-48,343.80	
26JUL17	S 5 PD26OCT17	10010.00	11616.46	USD	-48,193.80	
26JUL17	S 25 PD26OCT17	10015.00	11616.46	USD	-240,219.00	
26JUL17	S 5 PD26OCT17	10020.00	11616.46	USD	-47,893.80	
26JUL17	S 5 PD26OCT17	10050.00	11616.46	USD	-46,993.80	
03AUG17	B 45 PD26OCT17	10378.72	11616.46	USD	334,189.80	
	NET 0AVG BGHT 10378.72 SOLD 10017.78				-97,454.40	0.00
11SEP17	B 4 PD11DEC17	11485.00	11651.33	USD	3,991.92	
11SEP17	B 4 PD11DEC17	11515.00	11651.33	USD	3,271.92	
11SEP17	B 4 PD11DEC17	11500.00	11651.33	USD	3,631.92	
	NET +12AVG BGHT 11500.00				10,895.76	0.00
21SEP17	B 2 PD21DEC17	10925.00	11658.26	USD	8,799.12	
21SEP17	B 2 PD21DEC17	10945.00	11658.26	USD	8,559.12	
21SEP17	B 2 PD21DEC17	11065.00	11658.26	USD	7,119.12	
21SEP17	S 1 PD21DEC17	11065.00	11658.26	USD	-3,559.56	
	NET +5AVG BGHT 10978.33 SOLD 11065.00				20,917.80	0.00
29AUG17	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
	S 1 PD29NOV17	3099.50	3246.75	USD	-3,681.25	
	S 1 PD29NOV17	3094.50	3246.75	USD	-3,806.25	
29AUG17	B 1 PD29NOV17	3106.50	3246.75	USD	3,506.25	
29AUG17	B 2 PD29NOV17	3113.00	3246.75	USD	6,687.50	
29AUG17	S 1 PD29NOV17	3112.50	3246.75	USD	-3,356.25	
	NET 0AVG BGHT 3110.83 SOLD 3102.17				-650.00	0.00

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0094J

13th October 2017

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Suite A, 105 Piccadilly
London W1J 7NJ UK
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Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
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OPEN POSITION VALUE

		USD
LME Aluminium 25 Tonnes.	FUTURES	-60,331.25
LME Copper Grade A 25	FUTURES	-147,255.00
CMX Gold	FUTURES	-128,500.00
LME Lead 25 tonnes. This is an	FUTURES	-34,541.25
LME Nickel 6 tonnes. This is	FUTURES	-1,277,438.40
LME Zinc 25 tonnes. This is	FUTURES	-650.00

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options	Amount	Profit / Loss	Total Amount	Total P&L
GOLD - Futures USD	1,960.00	1,960.00	1,960.00	1,960.00
Unrealised Profits & Losses - Forwards	Amount	Profit / Loss	Total Amount	Total P&L
ALUL - Futures USD	-60,331.25	-60,331.25		
COPL - Futures USD	-147,255.00	-147,255.00		
LEAD - Futures USD	-34,541.25	-34,541.25		
NKL - Futures USD	-88,794.84	-88,794.84		
ZINC - Futures USD	-650.00	-650.00	-331,572.34	-331,572.34

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
CASH BALANCE	(1.00000)	(1.00000)
Variation Margins - Futures	456,564.92	456,564.92
- Options	1,960.00	1,960.00
	0.00	0.00
TOTAL EQUITY	458,524.92	458,524.92
Initial Margins	-448,630.34	-448,630.34
Excess/(Shortage)	9,894.58	9,894.58
FOR INFORMATION ONLY		
Forward Unrealised P&L	-331,572.34	-331,572.34
Net Liquidating Value	126,952.58	126,952.58

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0094K

13th October 2017

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London W1J 7NJ UK
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Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>NYM LIGHT SWEET CRUDE OIL 1,000 NYM</u>					
13OCT17	S 1 NOV17	51.64	51.45	USD	190.00	
13OCT17	S 1 NOV17	51.45	51.45	USD	0.00	
13OCT17	S 1 NOV17	51.46	51.45	USD	10.00	
13OCT17	S 1 NOV17	51.43	51.45	USD	-20.00	
13OCT17	S 2 NOV17	51.41	51.45	USD	-80.00	
	NET -6 AVG SOLD 51.47				100.00	0.00
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>					
08AUG17	B 1 PD08NOV17	6395.50	6864.45	USD	11,723.75	
08AUG17	S 2 PD08NOV17	6395.50	6864.45	USD	-23,447.50	
08AUG17	B 1 PD08NOV17	6394.00	6864.45	USD	11,761.25	
	NET 0 AVG BGHT 6394.75 SOLD 6395.50				37.50	0.00
09AUG17	S 1 PD09NOV17	6487.00	6865.23	USD	-9,455.75	
09AUG17	B 1 PD09NOV17	6495.00	6865.23	USD	9,255.75	
	NET 0 AVG BGHT 6495.00 SOLD 6487.00				-200.00	0.00
24AUG17	S 1 PD24NOV17	6720.50	6866.73	USD	-3,655.75	
24AUG17	S 3 PD24NOV17	6721.50	6866.73	USD	-10,892.25	
24AUG17	B 1 PD24NOV17	6706.50	6866.73	USD	4,005.75	
24AUG17	B 1 PD24NOV17	6705.50	6866.73	USD	4,030.75	
24AUG17	B 2 PD24NOV17	6699.00	6866.73	USD	8,386.50	
24AUG17	B 1 PD24NOV17	6698.00	6866.73	USD	4,218.25	
24AUG17	S 1 PD24NOV17	6721.50	6866.73	USD	-3,630.75	
25AUG17	B 1 PD24NOV17	6728.00	6866.73	USD	3,468.25	
25AUG17	B 1 PD24NOV17	6725.50	6866.73	USD	3,530.75	
25AUG17	B 2 PD24NOV17	6723.50	6866.73	USD	7,161.50	
25AUG17	B 1 PD24NOV17	6722.50	6866.73	USD	3,605.75	
25AUG17	S 5 PD24NOV17	6680.00	6866.73	USD	-23,341.25	
	NET 0 AVG BGHT 6713.10 SOLD 6700.65				-3,112.50	0.00
	<u>CMX COPPER 25,000 CMX</u>					
11OCT17	S 1 DEC17	309.500	313.350	USD	-962.50	
11OCT17	S 1 DEC17	309.550	313.350	USD	-950.00	
12OCT17	S 1 DEC17	311.400	313.350	USD	-487.50	
12OCT17	S 1 DEC17	311.450	313.350	USD	-475.00	
12OCT17	S 1 DEC17	311.850	313.350	USD	-375.00	
	NET -5 AVG SOLD 310.750				-3,250.00	0.00

OPEN POSITION VALUE

		USD
NYM Light Sweet Crude Oil	FUTURES	308,800.00
LME Copper Grade A 25	FUTURES	-3,275.00
CMX Copper	FUTURES	388,437.50

OPEN POSITION STATEMENT



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MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options	Amount	Profit / Loss	Total Amount	Total P&L
COIL - Futures USD	100.00	100.00		
COPR - Futures USD	-3,250.00	-3,250.00	-3,150.00	-3,150.00
Unrealised Profits & Losses - Forwards				
COPL - Futures USD	-3,275.00	-3,275.00	-3,275.00	-3,275.00

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
CASH BALANCE	(1.00000)	(1.00000)
Variation Margins - Futures	676.35	676.35
- Options	-3,150.00	-3,150.00
	0.00	0.00
TOTAL EQUITY	-2,473.65	-2,473.65
Initial Margins	-35,450.00	-35,450.00
Excess/(Shortage)	-37,923.65	-37,923.65
<u>FOR INFORMATION ONLY</u>		
Forward Unrealised P&L	-3,275.00	-3,275.00
Net Liquidating Value	-5,748.65	-5,748.65

OPEN POSITION STATEMENT



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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.</u>							
17JUL17	B	1	PD17OCT17	1914.00	2109.75	USD	4,893.75	
13SEP17	B	1	PD17OCT17	2090.37	2109.75	USD	484.50	
19SEP17	S	2	PD17OCT17	2103.45	2109.75	USD	-315.00	
	NET	0 AVG	BGHT 2002.19				5,063.25	0.00
18JUL17	S	1	PD18OCT17	1917.00	2110.25	USD	-4,831.25	
18JUL17	S	1	PD18OCT17	1910.00	2110.25	USD	-5,006.25	
18JUL17	B	1	PD18OCT17	1926.00	2110.25	USD	4,606.25	
18JUL17	B	1	PD18OCT17	1931.50	2110.25	USD	4,468.75	
	NET	0 AVG	BGHT 1928.75				-762.50	0.00
20JUL17	B	1	PD20OCT17	1927.50	2111.25	USD	4,593.75	
13SEP17	S	1	PD20OCT17	2091.59	2111.25	USD	-491.50	
	NET	0 AVG	BGHT 1927.50				4,102.25	0.00
26JUL17	S	1	PD26OCT17	1943.50	2114.25	USD	-4,268.75	
26JUL17	S	1	PD26OCT17	1953.00	2114.25	USD	-4,031.25	
26JUL17	B	1	PD26OCT17	1941.50	2114.25	USD	4,318.75	
26JUL17	S	1	PD26OCT17	1947.50	2114.25	USD	-4,168.75	
26JUL17	S	1	PD26OCT17	1956.50	2114.25	USD	-3,943.75	
26JUL17	S	1	PD26OCT17	1956.00	2114.25	USD	-3,956.25	
26JUL17	B	1	PD26OCT17	1949.50	2114.25	USD	4,118.75	
26JUL17	B	1	PD26OCT17	1943.50	2114.25	USD	4,268.75	
26JUL17	B	1	PD26OCT17	1938.00	2114.25	USD	4,406.25	
08AUG17	B	2	PD26OCT17	2027.40	2114.25	USD	4,342.50	
09AUG17	S	1	PD26OCT17	2025.00	2114.25	USD	-2,231.25	
	NET	0 AVG	BGHT 1971.22				-1,145.00	0.00
27JUL17	B	1	PD27OCT17	1944.00	2114.08	USD	4,252.00	
27JUL17	S	1	PD27OCT17	1943.50	2114.08	USD	-4,264.50	
27JUL17	S	2	PD27OCT17	1950.00	2114.08	USD	-8,204.00	
27JUL17	B	2	PD27OCT17	1937.50	2114.08	USD	8,829.00	
28JUL17	S	1	PD27OCT17	1931.00	2114.08	USD	-4,577.00	
28JUL17	S	1	PD27OCT17	1929.00	2114.08	USD	-4,627.00	
28JUL17	B	1	PD27OCT17	1917.50	2114.08	USD	4,914.50	
28JUL17	B	1	PD27OCT17	1917.00	2114.08	USD	4,927.00	
28JUL17	B	1	PD27OCT17	1915.50	2114.08	USD	4,964.50	
28JUL17	S	1	PD27OCT17	1925.00	2114.08	USD	-4,727.00	
28JUL17	B	1	PD27OCT17	1913.50	2114.08	USD	5,014.50	
08AUG17	S	2	PD27OCT17	2027.40	2114.08	USD	-4,334.00	
09AUG17	B	1	PD27OCT17	2025.38	2114.08	USD	2,217.50	
	NET	0 AVG	BGHT 1938.49				4,385.50	0.00
02AUG17	B	1	PD02NOV17	1920.50	2114.44	USD	4,848.50	
02AUG17	B	2	PD02NOV17	1923.00	2114.44	USD	9,572.00	
02AUG17	B	1	PD02NOV17	1929.00	2114.44	USD	4,636.00	
02AUG17	B	1	PD02NOV17	1924.50	2114.44	USD	4,748.50	
02AUG17	B	1	PD02NOV17	1925.00	2114.44	USD	4,736.00	
02AUG17	B	4	PD02NOV17	1926.50	2114.44	USD	18,794.00	
08AUG17	S	12	PD02NOV17	2028.80	2114.44	USD	-25,692.00	
09AUG17	B	5	PD02NOV17	2026.25	2114.44	USD	11,023.75	
13SEP17	S	3	PD02NOV17	2097.04	2114.44	USD	-1,305.00	
	NET	0 AVG	BGHT 1958.82				31,361.75	0.00
04AUG17	B	3	PD03NOV17	1918.00	2114.78	USD	14,758.50	
04AUG17	B	1	PD03NOV17	1914.50	2114.78	USD	5,007.00	
23AUG17	S	3	PD03NOV17	2093.36	2114.78	USD	-1,606.50	
13SEP17	S	1	PD03NOV17	2097.46	2114.78	USD	-433.00	
	NET	0 AVG	BGHT 1917.13				17,726.00	0.00
07AUG17	S	1	PD07NOV17	1922.50	2116.16	USD	-4,841.50	
07AUG17	B	1	PD07NOV17	1917.50	2116.16	USD	4,966.50	

OPEN POSITION STATEMENT



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SAP0094L

13th October 2017

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	<u>LME ALUMINIUM 25 TONNES. THIS IS AN</u>							
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07AUG17	B	1	PD07NOV17	1919.00	2116.16	USD	4,929.00	
07AUG17	B	2	PD07NOV17	1918.00	2116.16	USD	9,908.00	
07AUG17	S	1	PD07NOV17	1924.00	2116.16	USD	-4,804.00	
07AUG17	S	1	PD07NOV17	1924.50	2116.16	USD	-4,791.50	
07AUG17	S	1	PD07NOV17	1926.50	2116.16	USD	-4,741.50	
07AUG17	S	1	PD07NOV17	1930.00	2116.16	USD	-4,654.00	
07AUG17	S	3	PD07NOV17	1929.00	2116.16	USD	-14,037.00	
07AUG17	S	2	PD07NOV17	1926.00	2116.16	USD	-9,508.00	
07AUG17	S	1	PD07NOV17	1925.50	2116.16	USD	-4,766.50	
07AUG17	S	1	PD07NOV17	1944.00	2116.16	USD	-4,304.00	
07AUG17	S	1	PD07NOV17	1969.00	2116.16	USD	-3,679.00	
07AUG17	S	1	PD07NOV17	1968.50	2116.16	USD	-3,691.50	
08AUG17	B	12	PD07NOV17	2030.00	2116.16	USD	25,848.00	
09AUG17	S	5	PD07NOV17	2026.94	2116.16	USD	-11,152.50	
23AUG17	S	1	PD07NOV17	2094.32	2116.16	USD	-546.00	
13SEP17	B	4	PD07NOV17	2099.34	2116.16	USD	1,682.00	
	NET	0 AVG BGHT 2021.49	SOLD 1965.13				-28,183.50	0.00
08AUG17	B	1	PD08NOV17	1982.50	2116.50	USD	3,350.00	
08AUG17	S	1	PD08NOV17	1984.00	2116.50	USD	-3,312.50	
08AUG17	B	1	PD08NOV17	1974.50	2116.50	USD	3,550.00	
08AUG17	B	1	PD08NOV17	1977.00	2116.50	USD	3,487.50	
08AUG17	B	1	PD08NOV17	1979.50	2116.50	USD	3,425.00	
08AUG17	S	1	PD08NOV17	1995.00	2116.50	USD	-3,037.50	
08AUG17	S	3	PD08NOV17	1994.00	2116.50	USD	-9,187.50	
08AUG17	B	2	PD08NOV17	1999.00	2116.50	USD	5,875.00	
08AUG17	B	2	PD08NOV17	1998.00	2116.50	USD	5,925.00	
08AUG17	B	3	PD08NOV17	1999.50	2116.50	USD	8,775.00	
08AUG17	B	2	PD08NOV17	2000.00	2116.50	USD	5,825.00	
08AUG17	B	1	PD08NOV17	2003.50	2116.50	USD	2,825.00	
08AUG17	B	1	PD08NOV17	2001.50	2116.50	USD	2,875.00	
08AUG17	B	1	PD08NOV17	2004.50	2116.50	USD	2,800.00	
08AUG17	B	1	PD08NOV17	2010.00	2116.50	USD	2,662.50	
08AUG17	B	4	PD08NOV17	2018.00	2116.50	USD	9,850.00	
08AUG17	B	1	PD08NOV17	2022.00	2116.50	USD	2,362.50	
08AUG17	S	1	PD08NOV17	2027.00	2116.50	USD	-2,237.50	
08AUG17	B	1	PD08NOV17	2029.00	2116.50	USD	2,187.50	
08AUG17	S	1	PD08NOV17	2028.50	2116.50	USD	-2,200.00	
23AUG17	S	2	PD08NOV17	2094.56	2116.50	USD	-1,097.00	
13SEP17	S	6	PD08NOV17	2099.56	2116.50	USD	-2,541.00	
13SEP17	S	2	PD08NOV17	2099.36	2116.50	USD	-857.00	
	NET	+6 AVG BGHT 2002.11	SOLD 2058.92				41,305.00	0.00
09AUG17	B	1	PD09NOV17	2037.00	2116.96	USD	1,999.00	
09AUG17	S	1	PD09NOV17	2037.00	2116.96	USD	-1,999.00	
	NET	0 AVG BGHT 2037.00	SOLD 2037.00				0.00	0.00
10AUG17	B	1	PD10NOV17	2040.00	2117.43	USD	1,935.75	
10AUG17	S	1	PD10NOV17	2037.50	2117.43	USD	-1,998.25	
10AUG17	S	1	PD10NOV17	2038.00	2117.43	USD	-1,985.75	
10AUG17	B	1	PD10NOV17	2038.50	2117.43	USD	1,973.25	
11AUG17	S	2	PD10NOV17	2021.50	2117.43	USD	-4,796.50	
11AUG17	S	1	PD10NOV17	2038.50	2117.43	USD	-1,973.25	
23AUG17	B	2	PD10NOV17	2095.25	2117.43	USD	1,109.00	
13SEP17	B	1	PD10NOV17	2100.40	2117.43	USD	425.75	
	NET	0 AVG BGHT 2073.88	SOLD 2031.40				-5,310.00	0.00
14AUG17	S	1	PD14NOV17	2030.50	2119.29	USD	-2,219.75	
14AUG17	S	1	PD14NOV17	2037.00	2119.29	USD	-2,057.25	
14AUG17	S	1	PD14NOV17	2033.00	2119.29	USD	-2,157.25	

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	<u>LME ALUMINIUM 25 TONNES. THIS IS AN</u>							
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14AUG17	S	1	PD14NOV17	2033.50	2119.29	USD	-2,144.75	
14AUG17	B	1	PD14NOV17	2031.00	2119.29	USD	2,207.25	
14AUG17	S	1	PD14NOV17	2033.50	2119.29	USD	-2,144.75	
23AUG17	B	2	PD14NOV17	2096.21	2119.29	USD	1,154.00	
13SEP17	B	2	PD14NOV17	2102.08	2119.29	USD	860.50	
	NET	0 AVG	BGHT 2085.52	SOLD 2033.50			-6,502.00	0.00
15AUG17	S	2	PD15NOV17	2023.00	2119.75	USD	-4,837.50	
15AUG17	S	1	PD15NOV17	2028.00	2119.75	USD	-2,293.75	
15AUG17	S	1	PD15NOV17	2030.00	2119.75	USD	-2,243.75	
23AUG17	B	2	PD15NOV17	2096.45	2119.75	USD	1,165.00	
13SEP17	S	1	PD15NOV17	2102.30	2119.75	USD	-436.25	
13SEP17	B	1	PD15NOV17	2102.70	2119.75	USD	426.25	
19SEP17	B	2	PD15NOV17	2115.45	2119.75	USD	215.00	
	NET	0 AVG	BGHT 2105.30	SOLD 2041.26			-8,005.00	0.00
16AUG17	B	1	PD16NOV17	2053.50	2120.07	USD	1,664.25	
16AUG17	B	1	PD16NOV17	2054.00	2120.07	USD	1,651.75	
16AUG17	B	1	PD16NOV17	2055.00	2120.07	USD	1,626.75	
16AUG17	B	1	PD16NOV17	2067.50	2120.07	USD	1,314.25	
16AUG17	S	1	PD16NOV17	2090.50	2120.07	USD	-739.25	
16AUG17	B	1	PD16NOV17	2098.00	2120.07	USD	551.75	
16AUG17	B	1	PD16NOV17	2096.50	2120.07	USD	589.25	
16AUG17	B	1	PD16NOV17	2089.50	2120.07	USD	764.25	
13SEP17	S	2	PD16NOV17	2102.57	2120.07	USD	-875.00	
13SEP17	S	1	PD16NOV17	2102.77	2120.07	USD	-432.50	
	NET	+3 AVG	BGHT 2073.43	SOLD 2099.60			6,115.50	0.00
17AUG17	B	1	PD17NOV17	2096.00	2120.38	USD	609.50	
17AUG17	S	1	PD17NOV17	2100.00	2120.38	USD	-509.50	
17AUG17	S	1	PD17NOV17	2106.00	2120.38	USD	-359.50	
13SEP17	B	1	PD17NOV17	2103.24	2120.38	USD	428.50	
	NET	0 AVG	BGHT 2099.62	SOLD 2103.00			169.00	0.00
21AUG17	B	1	PD21NOV17	2072.00	2121.65	USD	1,241.25	
	NET	+1 AVG	BGHT 2072.00				1,241.25	0.00
22AUG17	S	1	PD22NOV17	2075.00	2121.96	USD	-1,174.00	
22AUG17	S	1	PD22NOV17	2077.50	2121.96	USD	-1,111.50	
22AUG17	S	1	PD22NOV17	2068.00	2121.96	USD	-1,349.00	
22AUG17	S	1	PD22NOV17	2070.50	2121.96	USD	-1,286.50	
13SEP17	B	4	PD22NOV17	2104.58	2121.96	USD	1,738.00	
	NET	0 AVG	BGHT 2104.58	SOLD 2072.75			-3,183.00	0.00
23AUG17	B	1	PD24NOV17	2084.00	2122.60	USD	965.00	
23AUG17	B	1	PD24NOV17	2089.00	2122.60	USD	840.00	
23AUG17	B	1	PD24NOV17	2094.50	2122.60	USD	702.50	
24AUG17	S	1	PD24NOV17	2103.00	2122.60	USD	-490.00	
24AUG17	S	1	PD24NOV17	2102.00	2122.60	USD	-515.00	
24AUG17	S	1	PD24NOV17	2100.00	2122.60	USD	-565.00	
24AUG17	S	1	PD24NOV17	2091.00	2122.60	USD	-790.00	
24AUG17	S	2	PD24NOV17	2101.50	2122.60	USD	-1,055.00	
24AUG17	S	1	PD24NOV17	2120.00	2122.60	USD	-65.00	
25AUG17	B	1	PD24NOV17	2096.00	2122.60	USD	665.00	
25AUG17	B	1	PD24NOV17	2097.00	2122.60	USD	640.00	
25AUG17	B	1	PD24NOV17	2097.50	2122.60	USD	627.50	
25AUG17	S	1	PD24NOV17	2086.00	2122.60	USD	-915.00	
13SEP17	B	2	PD24NOV17	2105.11	2122.60	USD	874.50	
	NET	0 AVG	BGHT 2096.03	SOLD 2100.63			919.50	0.00
29AUG17	B	1	PD29NOV17	2076.50	2124.18	USD	1,192.00	
29AUG17	S	1	PD29NOV17	2098.50	2124.18	USD	-642.00	

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29AUG17	S	2	PD29NOV17	2095.00	2124.18	USD	-1,459.00	
29AUG17	S	1	PD29NOV17	2096.00	2124.18	USD	-704.50	
29AUG17	S	1	PD29NOV17	2092.00	2124.18	USD	-804.50	
07SEP17	B	4	PD29NOV17	2105.00	2124.18	USD	1,918.00	
	NET	0 AVG	BGHT 2099.30				-500.00	0.00
31AUG17	B	1	PD30NOV17	2092.00	2124.49	USD	812.25	
31AUG17	B	2	PD30NOV17	2097.00	2124.49	USD	1,374.50	
31AUG17	B	2	PD30NOV17	2098.00	2124.49	USD	1,324.50	
31AUG17	S	1	PD30NOV17	2131.00	2124.49	USD	162.75	
31AUG17	B	1	PD30NOV17	2127.00	2124.49	USD	-62.75	
07SEP17	S	4	PD30NOV17	2105.05	2124.49	USD	-1,944.00	
	NET	+1 AVG	BGHT 2101.50				1,667.25	0.00
01SEP17	B	1	PD01DEC17	2127.00	2124.81	USD	-54.75	
13SEP17	S	1	PD01DEC17	2106.79	2124.81	USD	-450.50	
	NET	0 AVG	BGHT 2127.00				-505.25	0.00
05SEP17	S	1	PD05DEC17	2117.00	2126.07	USD	-226.75	
05SEP17	S	1	PD05DEC17	2098.00	2126.07	USD	-701.75	
13SEP17	B	2	PD05DEC17	2107.86	2126.07	USD	910.50	
	NET	0 AVG	BGHT 2107.86				-18.00	0.00
06SEP17	B	1	PD06DEC17	2095.50	2126.39	USD	772.25	
06SEP17	S	1	PD06DEC17	2086.50	2126.39	USD	-997.25	
	NET	0 AVG	BGHT 2095.50				-225.00	0.00
07SEP17	S	1	PD07DEC17	2117.00	2126.71	USD	-242.75	
	NET	-1 AVG	SOLD 2117.00				-242.75	0.00
08SEP17	S	1	PD08DEC17	2109.50	2127.02	USD	-438.00	
08SEP17	S	1	PD08DEC17	2110.00	2127.02	USD	-425.50	
08SEP17	S	1	PD08DEC17	2105.50	2127.02	USD	-538.00	
08SEP17	S	1	PD08DEC17	2101.00	2127.02	USD	-650.50	
08SEP17	S	1	PD08DEC17	2094.50	2127.02	USD	-813.00	
08SEP17	S	1	PD08DEC17	2095.50	2127.02	USD	-788.00	
08SEP17	S	2	PD08DEC17	2096.50	2127.02	USD	-1,526.00	
08SEP17	S	1	PD08DEC17	2096.00	2127.02	USD	-775.50	
13SEP17	B	1	PD08DEC17	2108.86	2127.02	USD	454.00	
	NET	-8 AVG	BGHT 2108.86				-5,500.50	0.00
11SEP17	B	1	PD11DEC17	2102.50	2127.97	USD	636.75	
11SEP17	B	1	PD11DEC17	2126.00	2127.97	USD	49.25	
11SEP17	B	1	PD11DEC17	2122.50	2127.97	USD	136.75	
13SEP17	S	3	PD11DEC17	2109.26	2127.97	USD	-1,403.25	
	NET	0 AVG	BGHT 2117.00				-580.50	0.00
13SEP17	S	1	PD13DEC17	2141.50	2128.60	USD	322.50	
19SEP17	B	1	PD13DEC17	2123.28	2128.60	USD	133.00	
	NET	0 AVG	BGHT 2123.28				455.50	0.00
14SEP17	B	1	PD14DEC17	2101.00	2128.92	USD	698.00	
19SEP17	S	1	PD14DEC17	2123.37	2128.92	USD	-138.75	
	NET	0 AVG	BGHT 2101.00				559.25	0.00
15SEP17	S	1	PD15DEC17	2088.00	2129.24	USD	-1,031.00	
15SEP17	S	1	PD15DEC17	2095.50	2129.24	USD	-843.50	
19SEP17	B	2	PD15DEC17	2123.85	2129.24	USD	269.50	
	NET	0 AVG	BGHT 2123.85				-1,605.00	0.00
18SEP17	B	1	PD18DEC17	2097.00	2130.18	USD	829.50	
18SEP17	S	1	PD18DEC17	2090.50	2130.18	USD	-992.00	
18SEP17	B	1	PD18DEC17	2090.00	2130.18	USD	1,004.50	
18SEP17	B	1	PD18DEC17	2092.50	2130.18	USD	942.00	
19SEP17	S	2	PD18DEC17	2124.51	2130.18	USD	-283.50	

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	NET 0AVG BGHT 2093.17 SOLD 2113.17				1,500.50	0.00
19SEP17	S 1 PD19DEC17	2127.00	2130.50	USD	-87.50	
19SEP17	S 1 PD19DEC17	2124.00	2130.50	USD	-162.50	
	NET -2AVG SOLD 2125.50				-250.00	0.00
20SEP17	B 1 PD20DEC17	2123.00	2130.50	USD	187.50	
20SEP17	B 1 PD20DEC17	2150.00	2130.50	USD	-487.50	
20SEP17	B 1 PD20DEC17	2165.00	2130.50	USD	-862.50	
20SEP17	B 1 PD20DEC17	2157.50	2130.50	USD	-675.00	
20SEP17	S 1 PD20DEC17	2175.00	2130.50	USD	1,112.50	
20SEP17	B 1 PD20DEC17	2138.50	2130.50	USD	-200.00	
20SEP17	S 1 PD20DEC17	2164.00	2130.50	USD	837.50	
20SEP17	S 1 PD20DEC17	2181.00	2130.50	USD	1,262.50	
	NET +2AVG BGHT 2146.80 SOLD 2173.33				1,175.00	0.00
21SEP17	S 1 PD21DEC17	2176.50	2130.50	USD	1,150.00	
21SEP17	S 1 PD21DEC17	2162.50	2130.50	USD	800.00	
21SEP17	B 1 PD21DEC17	2182.00	2130.50	USD	-1,287.50	
21SEP17	S 1 PD21DEC17	2161.00	2130.50	USD	762.50	
21SEP17	S 1 PD21DEC17	2145.50	2130.50	USD	375.00	
21SEP17	B 1 PD21DEC17	2145.00	2130.50	USD	-362.50	
21SEP17	S 1 PD21DEC17	2171.00	2130.50	USD	1,012.50	
	NET -3AVG BGHT 2163.50 SOLD 2163.30				2,450.00	0.00
22SEP17	B 1 PD22DEC17	2138.50	2130.50	USD	-200.00	
22SEP17	S 1 PD22DEC17	2127.00	2130.50	USD	-87.50	
22SEP17	S 1 PD22DEC17	2126.50	2130.50	USD	-100.00	
22SEP17	S 1 PD22DEC17	2126.00	2130.50	USD	-112.50	
22SEP17	B 1 PD22DEC17	2125.50	2130.50	USD	125.00	
22SEP17	B 1 PD22DEC17	2123.00	2130.50	USD	187.50	
22SEP17	B 1 PD22DEC17	2120.50	2130.50	USD	250.00	
22SEP17	S 1 PD22DEC17	2154.50	2130.50	USD	600.00	
22SEP17	S 1 PD22DEC17	2152.00	2130.50	USD	537.50	
	NET -1AVG BGHT 2126.88 SOLD 2137.20				1,200.00	0.00
25SEP17	B 1 PD27DEC17	2170.00	2131.04	USD	-974.00	
25SEP17	S 1 PD27DEC17	2161.00	2131.04	USD	749.00	
25SEP17	B 1 PD27DEC17	2151.00	2131.04	USD	-499.00	
25SEP17	B 1 PD27DEC17	2143.50	2131.04	USD	-311.50	
26SEP17	S 1 PD27DEC17	2158.50	2131.04	USD	686.50	
26SEP17	B 1 PD27DEC17	2127.00	2131.04	USD	101.00	
27SEP17	S 1 PD27DEC17	2140.50	2131.04	USD	236.50	
27SEP17	B 1 PD27DEC17	2126.50	2131.04	USD	113.50	
	NET +2AVG BGHT 2143.60 SOLD 2153.33				102.00	0.00
28SEP17	S 1 PD28DEC17	2121.50	2131.14	USD	-241.00	
	NET -1AVG SOLD 2121.50				-241.00	0.00
09OCT17	B 1 PD09JAN18	2153.50	2133.02	USD	-512.00	
	NET +1AVG BGHT 2153.50				-512.00	0.00
11OCT17	S 1 PD11JAN18	2153.50	2133.34	USD	504.00	
	NET -1AVG SOLD 2153.50				504.00	0.00
12OCT17	B 1 PD12JAN18	2137.50	2133.50	USD	-100.00	
12OCT17	B 1 PD12JAN18	2141.00	2133.50	USD	-187.50	
	NET +2AVG BGHT 2139.25				-287.50	0.00
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>					
17JUL17	B 1 PD17OCT17	5950.00	6859.00	USD	22,725.00	
17JUL17	S 1 PD17OCT17	5977.00	6859.00	USD	-22,050.00	
17JUL17	S 1 PD17OCT17	5981.00	6859.00	USD	-21,950.00	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
17JUL17	S	1	PD17OCT17	5918.00	6859.00	USD	-23,525.00	
17JUL17	B	1	PD17OCT17	5921.50	6859.00	USD	23,437.50	
17JUL17	B	1	PD17OCT17	5989.00	6859.00	USD	21,750.00	
17JUL17	S	1	PD17OCT17	5984.00	6859.00	USD	-21,875.00	
17JUL17	B	1	PD17OCT17	6010.00	6859.00	USD	21,225.00	
17JUL17	S	1	PD17OCT17	6018.00	6859.00	USD	-21,025.00	
19SEP17	B	1	PD17OCT17	6508.25	6859.00	USD	8,768.75	
	NET	0 AVG BGHT 6075.75	SOLD 5975.60				-12,518.75	0.00
18JUL17	S	1	PD18OCT17	5989.50	6859.50	USD	-21,750.00	
18JUL17	B	1	PD18OCT17	5995.00	6859.50	USD	21,612.50	
18JUL17	S	1	PD18OCT17	6005.00	6859.50	USD	-21,362.50	
19SEP17	B	1	PD18OCT17	6508.75	6859.50	USD	8,768.75	
	NET	0 AVG BGHT 6251.88	SOLD 5997.25				-12,731.25	0.00
19JUL17	S	5	PD19OCT17	5999.50	6857.50	USD	-107,250.00	
19JUL17	S	1	PD19OCT17	6000.50	6857.50	USD	-21,425.00	
19JUL17	B	1	PD19OCT17	6008.00	6857.50	USD	21,237.50	
19JUL17	B	1	PD19OCT17	5997.00	6857.50	USD	21,512.50	
19JUL17	B	1	PD19OCT17	5996.00	6857.50	USD	21,537.50	
21JUL17	B	5	PD19OCT17	6005.20	6857.50	USD	106,537.50	
19SEP17	S	2	PD19OCT17	6508.75	6857.50	USD	-17,437.50	
	NET	0 AVG BGHT 6003.38	SOLD 6126.94				24,712.50	0.00
20JUL17	B	1	PD20OCT17	5986.50	6857.50	USD	21,775.00	
20JUL17	S	1	PD20OCT17	5973.00	6857.50	USD	-22,112.50	
21JUL17	S	1	PD20OCT17	6001.00	6857.50	USD	-21,412.50	
21JUL17	S	1	PD20OCT17	5991.50	6857.50	USD	-21,650.00	
19SEP17	B	2	PD20OCT17	6509.75	6857.50	USD	17,387.50	
	NET	0 AVG BGHT 6335.33	SOLD 5988.50				-26,012.50	0.00
24JUL17	S	1	PD24OCT17	5994.00	6857.50	USD	-21,587.50	
24JUL17	B	1	PD24OCT17	5995.00	6857.50	USD	21,562.50	
24JUL17	S	1	PD24OCT17	5995.00	6857.50	USD	-21,562.50	
24JUL17	S	1	PD24OCT17	5999.00	6857.50	USD	-21,462.50	
24JUL17	S	1	PD24OCT17	6016.00	6857.50	USD	-21,037.50	
24JUL17	S	1	PD24OCT17	6009.00	6857.50	USD	-21,212.50	
24JUL17	S	1	PD24OCT17	6015.50	6857.50	USD	-21,050.00	
24JUL17	S	1	PD24OCT17	6027.00	6857.50	USD	-20,762.50	
24JUL17	S	1	PD24OCT17	6027.50	6857.50	USD	-20,750.00	
08AUG17	B	10	PD24OCT17	6474.27	6857.50	USD	95,807.50	
09AUG17	S	5	PD24OCT17	6448.51	6857.50	USD	-51,123.75	
19SEP17	B	2	PD24OCT17	6512.75	6857.50	USD	17,237.50	
	NET	0 AVG BGHT 6443.32	SOLD 6178.89				-85,941.25	0.00
25JUL17	S	1	PD25OCT17	6050.00	6857.50	USD	-20,187.50	
25JUL17	S	1	PD25OCT17	6071.00	6857.50	USD	-19,662.50	
25JUL17	B	1	PD25OCT17	6098.50	6857.50	USD	18,975.00	
25JUL17	B	1	PD25OCT17	6099.50	6857.50	USD	18,950.00	
25JUL17	S	1	PD25OCT17	6178.00	6857.50	USD	-16,987.50	
25JUL17	S	1	PD25OCT17	6218.50	6857.50	USD	-15,975.00	
25JUL17	S	1	PD25OCT17	6194.50	6857.50	USD	-16,575.00	
25JUL17	S	1	PD25OCT17	6199.50	6857.50	USD	-16,450.00	
25JUL17	S	1	PD25OCT17	6202.00	6857.50	USD	-16,387.50	
25JUL17	B	2	PD25OCT17	6206.00	6857.50	USD	32,575.00	
25JUL17	S	1	PD25OCT17	6198.50	6857.50	USD	-16,475.00	
25JUL17	B	2	PD25OCT17	6210.00	6857.50	USD	32,375.00	
08AUG17	B	10	PD25OCT17	6474.66	6857.50	USD	95,710.00	
09AUG17	S	5	PD25OCT17	6448.94	6857.50	USD	-51,070.00	
19SEP17	S	3	PD25OCT17	6512.91	6857.50	USD	-25,844.25	
	NET	0 AVG BGHT 6361.04	SOLD 6318.46				-17,029.25	0.00

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26JUL17	S	1	PD26OCT17	6370.00	6857.50	USD	-12,187.50	
26JUL17	B	1	PD26OCT17	6383.00	6857.50	USD	11,862.50	
	NET	0AVG BGHT 6383.00	SOLD 6370.00				-325.00	0.00
27JUL17	S	1	PD27OCT17	6341.50	6857.50	USD	-12,900.00	
27JUL17	S	1	PD27OCT17	6342.50	6857.50	USD	-12,875.00	
27JUL17	S	1	PD27OCT17	6353.50	6857.50	USD	-12,600.00	
27JUL17	S	1	PD27OCT17	6355.50	6857.50	USD	-12,550.00	
27JUL17	S	1	PD27OCT17	6354.50	6857.50	USD	-12,575.00	
27JUL17	B	2	PD27OCT17	6355.00	6857.50	USD	25,125.00	
27JUL17	B	3	PD27OCT17	6360.00	6857.50	USD	37,312.50	
	NET	0AVG BGHT 6358.00	SOLD 6349.50				-1,062.50	0.00
08AUG17	B	1	PD08NOV17	6480.50	6864.45	USD	9,598.75	
08AUG17	S	1	PD08NOV17	6480.00	6864.45	USD	-9,611.25	
	NET	0AVG BGHT 6480.50	SOLD 6480.00				-12.50	0.00
10AUG17	S	1	PD10NOV17	6414.50	6866.00	USD	-11,287.50	
10AUG17	B	1	PD10NOV17	6421.00	6866.00	USD	11,125.00	
11AUG17	B	1	PD10NOV17	6400.50	6866.00	USD	11,637.50	
11AUG17	B	1	PD10NOV17	6346.00	6866.00	USD	13,000.00	
11AUG17	S	1	PD10NOV17	6366.00	6866.00	USD	-12,500.00	
11AUG17	S	1	PD10NOV17	6367.00	6866.00	USD	-12,475.00	
11AUG17	S	1	PD10NOV17	6362.00	6866.00	USD	-12,600.00	
11AUG17	S	1	PD10NOV17	6370.50	6866.00	USD	-12,387.50	
11AUG17	S	1	PD10NOV17	6371.00	6866.00	USD	-12,375.00	
11AUG17	S	3	PD10NOV17	6361.00	6866.00	USD	-37,875.00	
11AUG17	S	1	PD10NOV17	6346.00	6866.00	USD	-13,000.00	
23AUG17	B	4	PD10NOV17	6564.00	6866.00	USD	30,200.00	
19SEP17	B	3	PD10NOV17	6521.58	6866.00	USD	25,831.50	
	NET	0AVG BGHT 6498.82	SOLD 6368.00				-32,706.00	0.00
14AUG17	S	1	PD14NOV17	6406.00	6864.67	USD	-11,466.75	
14AUG17	S	1	PD14NOV17	6412.00	6864.67	USD	-11,316.75	
14AUG17	S	2	PD14NOV17	6405.50	6864.67	USD	-22,958.50	
14AUG17	S	1	PD14NOV17	6400.00	6864.67	USD	-11,616.75	
23AUG17	B	3	PD14NOV17	6565.50	6864.67	USD	22,437.75	
19SEP17	B	2	PD14NOV17	6524.12	6864.67	USD	17,027.50	
	NET	0AVG BGHT 6548.95	SOLD 6405.80				-17,893.50	0.00
15AUG17	B	1	PD15NOV17	6420.00	6864.00	USD	11,100.00	
15AUG17	S	1	PD15NOV17	6416.50	6864.00	USD	-11,187.50	
	NET	0AVG BGHT 6420.00	SOLD 6416.50				-87.50	0.00
16AUG17	B	1	PD16NOV17	6521.00	6863.00	USD	8,550.00	
16AUG17	B	1	PD16NOV17	6514.00	6863.00	USD	8,725.00	
16AUG17	S	1	PD16NOV17	6544.00	6863.00	USD	-7,975.00	
	NET	+1AVG BGHT 6517.50	SOLD 6544.00				9,300.00	0.00
17AUG17	B	1	PD17NOV17	6497.00	6863.47	USD	9,161.75	
18AUG17	B	1	PD17NOV17	6515.50	6863.47	USD	8,699.25	
18AUG17	B	1	PD17NOV17	6473.00	6863.47	USD	9,761.75	
18AUG17	B	2	PD17NOV17	6468.50	6863.47	USD	19,748.50	
18AUG17	B	2	PD17NOV17	6470.00	6863.47	USD	19,673.50	
18AUG17	B	1	PD17NOV17	6470.50	6863.47	USD	9,824.25	
23AUG17	S	7	PD17NOV17	6563.49	6863.47	USD	-52,496.50	
19SEP17	S	1	PD17NOV17	6524.48	6863.47	USD	-8,474.75	
	NET	0AVG BGHT 6479.13	SOLD 6558.61				15,897.75	0.00
21AUG17	B	1	PD21NOV17	6558.00	6865.33	USD	7,683.25	
21AUG17	B	1	PD21NOV17	6571.50	6865.33	USD	7,345.75	
21AUG17	B	1	PD21NOV17	6580.50	6865.33	USD	7,120.75	
21AUG17	B	1	PD21NOV17	6565.00	6865.33	USD	7,508.25	

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21AUG17	B	1	PD21NOV17	6571.00	6865.33	USD	7,358.25	
21AUG17	B	1	PD21NOV17	6590.50	6865.33	USD	6,870.75	
19SEP17	S	6	PD21NOV17	6526.42	6865.33	USD	-50,836.50	
	NET	0 AVG BGHT 6572.75	SOLD 6526.42				-6,949.50	0.00
22AUG17	B	1	PD22NOV17	6620.50	6865.80	USD	6,132.50	
22AUG17	S	1	PD22NOV17	6599.50	6865.80	USD	-6,657.50	
	NET	0 AVG BGHT 6620.50	SOLD 6599.50				-525.00	0.00
23AUG17	B	1	PD24NOV17	6562.50	6866.73	USD	7,605.75	
23AUG17	B	1	PD24NOV17	6553.50	6866.73	USD	7,830.75	
23AUG17	B	1	PD24NOV17	6569.00	6866.73	USD	7,443.25	
24AUG17	S	1	PD24NOV17	6606.00	6866.73	USD	-6,518.25	
24AUG17	B	1	PD24NOV17	6629.00	6866.73	USD	5,943.25	
24AUG17	S	1	PD24NOV17	6605.50	6866.73	USD	-6,530.75	
24AUG17	S	1	PD24NOV17	6631.00	6866.73	USD	-5,893.25	
24AUG17	S	1	PD24NOV17	6668.50	6866.73	USD	-4,955.75	
24AUG17	S	1	PD24NOV17	6710.50	6866.73	USD	-3,905.75	
24AUG17	S	1	PD24NOV17	6711.00	6866.73	USD	-3,893.25	
25AUG17	S	1	PD24NOV17	6706.50	6866.73	USD	-4,005.75	
19SEP17	B	3	PD24NOV17	6527.72	6866.73	USD	25,425.75	
	NET	0 AVG BGHT 6556.74	SOLD 6662.71				18,546.00	0.00
29AUG17	S	1	PD29NOV17	6755.50	6869.07	USD	-2,839.25	
19SEP17	B	1	PD29NOV17	6529.88	6869.07	USD	8,479.75	
	NET	0 AVG BGHT 6529.88	SOLD 6755.50				5,640.50	0.00
30AUG17	B	1	PD30NOV17	6772.00	6869.53	USD	2,438.25	
19SEP17	S	1	PD30NOV17	6530.12	6869.53	USD	-8,485.25	
	NET	0 AVG BGHT 6772.00	SOLD 6530.12				-6,047.00	0.00
01SEP17	B	1	PD01DEC17	6812.50	6870.00	USD	1,437.50	
13SEP17	S	1	PD01DEC17	6548.45	6870.00	USD	-8,038.75	
	NET	0 AVG BGHT 6812.50	SOLD 6548.45				-6,601.25	0.00
04SEP17	B	2	PD04DEC17	6894.50	6871.20	USD	-1,165.00	
04SEP17	B	2	PD04DEC17	6893.00	6871.20	USD	-1,090.00	
04SEP17	B	1	PD04DEC17	6892.00	6871.20	USD	-520.00	
04SEP17	S	3	PD04DEC17	6905.00	6871.20	USD	2,535.00	
04SEP17	B	3	PD04DEC17	6921.00	6871.20	USD	-3,735.00	
13SEP17	S	5	PD04DEC17	6549.74	6871.20	USD	-40,182.50	
	NET	0 AVG BGHT 6903.75	SOLD 6682.96				-44,157.50	0.00
05SEP17	S	1	PD05DEC17	6932.00	6871.60	USD	1,510.00	
05SEP17	S	1	PD05DEC17	6930.50	6871.60	USD	1,472.50	
05SEP17	S	1	PD05DEC17	6923.00	6871.60	USD	1,285.00	
05SEP17	B	1	PD05DEC17	6941.50	6871.60	USD	-1,747.50	
05SEP17	S	1	PD05DEC17	6933.50	6871.60	USD	1,547.50	
05SEP17	S	1	PD05DEC17	6932.50	6871.60	USD	1,522.50	
05SEP17	B	1	PD05DEC17	6964.50	6871.60	USD	-2,322.50	
05SEP17	B	1	PD05DEC17	6962.00	6871.60	USD	-2,260.00	
05SEP17	S	1	PD05DEC17	6961.50	6871.60	USD	2,247.50	
05SEP17	B	2	PD05DEC17	6963.50	6871.60	USD	-4,595.00	
05SEP17	S	1	PD05DEC17	6930.50	6871.60	USD	1,472.50	
05SEP17	B	1	PD05DEC17	6917.00	6871.60	USD	-1,135.00	
05SEP17	B	1	PD05DEC17	6892.50	6871.60	USD	-522.50	
05SEP17	B	1	PD05DEC17	6886.50	6871.60	USD	-372.50	
05SEP17	B	1	PD05DEC17	6885.50	6871.60	USD	-347.50	
05SEP17	B	1	PD05DEC17	6885.00	6871.60	USD	-335.00	
05SEP17	B	1	PD05DEC17	6886.00	6871.60	USD	-360.00	
05SEP17	B	2	PD05DEC17	6888.50	6871.60	USD	-845.00	
05SEP17	B	1	PD05DEC17	6874.00	6871.60	USD	-60.00	

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13SEP17	S	1	PD05DEC17		6550.17	6871.60	USD	-8,035.75	
20SEP17	S	6	PD05DEC17		6521.50	6871.60	USD	-52,515.00	
	NET	0 AVG	BGHT 6914.18	SOLD 6730.19				-64,395.75	0.00
06SEP17	B	1	PD06DEC17		6879.50	6872.00	USD	-187.50	
06SEP17	S	1	PD06DEC17		6909.50	6872.00	USD	937.50	
06SEP17	S	1	PD06DEC17		6912.00	6872.00	USD	1,000.00	
06SEP17	S	2	PD06DEC17		6911.50	6872.00	USD	1,975.00	
06SEP17	S	2	PD06DEC17		6910.00	6872.00	USD	1,900.00	
06SEP17	S	1	PD06DEC17		6906.00	6872.00	USD	850.00	
06SEP17	S	1	PD06DEC17		6908.50	6872.00	USD	912.50	
06SEP17	S	2	PD06DEC17		6908.00	6872.00	USD	1,800.00	
06SEP17	S	1	PD06DEC17		6907.50	6872.00	USD	887.50	
06SEP17	B	1	PD06DEC17		6890.00	6872.00	USD	-450.00	
06SEP17	B	1	PD06DEC17		6891.00	6872.00	USD	-475.00	
06SEP17	B	1	PD06DEC17		6900.00	6872.00	USD	-700.00	
06SEP17	B	1	PD06DEC17		6896.50	6872.00	USD	-612.50	
06SEP17	B	1	PD06DEC17		6888.50	6872.00	USD	-412.50	
06SEP17	B	1	PD06DEC17		6875.50	6872.00	USD	-87.50	
06SEP17	B	1	PD06DEC17		6872.50	6872.00	USD	-12.50	
06SEP17	B	1	PD06DEC17		6892.00	6872.00	USD	-500.00	
13SEP17	B	1	PD06DEC17		6550.80	6872.00	USD	8,030.00	
20SEP17	B	1	PD06DEC17		6522.20	6872.00	USD	8,745.00	
	NET	0 AVG	BGHT 6823.50	SOLD 6909.32				23,600.00	0.00
07SEP17	B	1	PD07DEC17		6925.00	6872.42	USD	-1,314.50	
07SEP17	S	1	PD07DEC17		6875.50	6872.42	USD	77.00	
07SEP17	S	1	PD07DEC17		6875.00	6872.42	USD	64.50	
07SEP17	S	1	PD07DEC17		6865.00	6872.42	USD	-185.50	
07SEP17	S	1	PD07DEC17		6868.50	6872.42	USD	-98.00	
07SEP17	S	1	PD07DEC17		6869.50	6872.42	USD	-73.00	
07SEP17	S	1	PD07DEC17		6864.00	6872.42	USD	-210.50	
07SEP17	S	1	PD07DEC17		6860.00	6872.42	USD	-310.50	
07SEP17	S	1	PD07DEC17		6861.50	6872.42	USD	-273.00	
07SEP17	S	1	PD07DEC17		6859.50	6872.42	USD	-323.00	
07SEP17	S	1	PD07DEC17		6858.00	6872.42	USD	-360.50	
07SEP17	S	1	PD07DEC17		6875.50	6872.42	USD	77.00	
07SEP17	B	1	PD07DEC17		6893.50	6872.42	USD	-527.00	
07SEP17	B	1	PD07DEC17		6897.50	6872.42	USD	-627.00	
07SEP17	B	1	PD07DEC17		6901.00	6872.42	USD	-714.50	
07SEP17	B	1	PD07DEC17		6900.50	6872.42	USD	-702.00	
07SEP17	B	1	PD07DEC17		6898.50	6872.42	USD	-652.00	
07SEP17	B	1	PD07DEC17		6896.00	6872.42	USD	-589.50	
07SEP17	B	1	PD07DEC17		6896.50	6872.42	USD	-602.00	
07SEP17	B	1	PD07DEC17		6904.50	6872.42	USD	-802.00	
07SEP17	B	1	PD07DEC17		6889.50	6872.42	USD	-427.00	
07SEP17	B	1	PD07DEC17		6886.50	6872.42	USD	-352.00	
07SEP17	S	2	PD07DEC17		6879.00	6872.42	USD	329.00	
07SEP17	S	1	PD07DEC17		6871.50	6872.42	USD	-23.00	
07SEP17	S	1	PD07DEC17		6876.00	6872.42	USD	89.50	
07SEP17	S	1	PD07DEC17		6880.00	6872.42	USD	189.50	
07SEP17	S	1	PD07DEC17		6878.00	6872.42	USD	139.50	
07SEP17	S	2	PD07DEC17		6878.50	6872.42	USD	304.00	
07SEP17	S	1	PD07DEC17		6883.00	6872.42	USD	264.50	
07SEP17	S	1	PD07DEC17		6902.00	6872.42	USD	739.50	
07SEP17	S	1	PD07DEC17		6900.50	6872.42	USD	702.00	
13SEP17	B	6	PD07DEC17		6551.23	6872.42	USD	48,178.50	
	NET	-5 AVG	BGHT 6776.26	SOLD 6874.45				41,988.00	0.00

OPEN POSITION STATEMENT



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SAP0094L

13th October 2017

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backoffice@sapiencap.com

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
08SEP17	B	1	PD08DEC17	6948.00	6872.83	USD	-1,879.25	
08SEP17	S	2	PD08DEC17	6923.00	6872.83	USD	2,508.50	
08SEP17	B	1	PD08DEC17	6918.50	6872.83	USD	-1,141.75	
08SEP17	S	1	PD08DEC17	6801.50	6872.83	USD	-1,783.25	
08SEP17	B	1	PD08DEC17	6739.00	6872.83	USD	3,345.75	
08SEP17	S	1	PD08DEC17	6702.50	6872.83	USD	-4,258.25	
08SEP17	S	1	PD08DEC17	6695.00	6872.83	USD	-4,445.75	
08SEP17	B	1	PD08DEC17	6695.00	6872.83	USD	4,445.75	
20SEP17	B	5	PD08DEC17	6523.01	6872.83	USD	43,727.50	
20SEP17	B	1	PD08DEC17	6522.81	6872.83	USD	8,750.50	
	NET	+5 AVG BGHT	6643.84		SOLD 6809.00		49,269.75	0.00
11SEP17	B	1	PD11DEC17	6725.00	6874.08	USD	3,727.00	
20SEP17	S	1	PD11DEC17	6523.55	6874.08	USD	-8,763.25	
	NET	0 AVG BGHT	6725.00		SOLD 6523.55		-5,036.25	0.00
12SEP17	B	1	PD12DEC17	6670.00	6874.50	USD	5,112.50	
	NET	+1 AVG BGHT	6670.00				5,112.50	0.00
13SEP17	B	1	PD13DEC17	6674.50	6874.92	USD	5,010.50	
13SEP17	B	1	PD13DEC17	6644.50	6874.92	USD	5,760.50	
13SEP17	B	1	PD13DEC17	6629.50	6874.92	USD	6,135.50	
13SEP17	B	1	PD13DEC17	6562.50	6874.92	USD	7,810.50	
13SEP17	B	1	PD13DEC17	6566.50	6874.92	USD	7,710.50	
13SEP17	B	1	PD13DEC17	6564.50	6874.92	USD	7,760.50	
13SEP17	B	1	PD13DEC17	6571.50	6874.92	USD	7,585.50	
13SEP17	B	1	PD13DEC17	6565.50	6874.92	USD	7,735.50	
13SEP17	S	1	PD13DEC17	6563.50	6874.92	USD	-7,785.50	
13SEP17	B	1	PD13DEC17	6567.00	6874.92	USD	7,698.00	
19SEP17	S	8	PD13DEC17	6536.43	6874.92	USD	-67,698.00	
	NET	0 AVG BGHT	6594.00		SOLD 6539.44		-12,276.50	0.00
14SEP17	B	1	PD14DEC17	6510.00	6875.33	USD	9,133.25	
	NET	+1 AVG BGHT	6510.00				9,133.25	0.00
15SEP17	S	1	PD15DEC17	6470.50	6875.75	USD	-10,131.25	
15SEP17	S	1	PD15DEC17	6469.00	6875.75	USD	-10,168.75	
15SEP17	B	1	PD15DEC17	6500.00	6875.75	USD	9,393.75	
15SEP17	B	1	PD15DEC17	6501.50	6875.75	USD	9,356.25	
15SEP17	B	1	PD15DEC17	6507.50	6875.75	USD	9,206.25	
	NET	+1 AVG BGHT	6503.00		SOLD 6469.75		7,656.25	0.00
18SEP17	S	1	PD18DEC17	6519.00	6877.00	USD	-8,950.00	
18SEP17	S	1	PD18DEC17	6541.00	6877.00	USD	-8,400.00	
18SEP17	S	1	PD18DEC17	6507.50	6877.00	USD	-9,237.50	
18SEP17	S	1	PD18DEC17	6548.50	6877.00	USD	-8,212.50	
18SEP17	S	1	PD18DEC17	6556.50	6877.00	USD	-8,012.50	
18SEP17	S	1	PD18DEC17	6535.00	6877.00	USD	-8,550.00	
19SEP17	B	6	PD18DEC17	6538.80	6877.00	USD	50,730.00	
	NET	0 AVG BGHT	6538.80		SOLD 6534.58		-632.50	0.00
19SEP17	S	1	PD19DEC17	6529.00	6877.00	USD	-8,700.00	
19SEP17	B	1	PD19DEC17	6518.00	6877.00	USD	8,975.00	
	NET	0 AVG BGHT	6518.00		SOLD 6529.00		275.00	0.00
20SEP17	S	1	PD20DEC17	6545.50	6877.00	USD	-8,287.50	
20SEP17	S	1	PD20DEC17	6526.00	6877.00	USD	-8,775.00	
05OCT17	B	1	PD20DEC17	6698.70	6877.00	USD	4,457.50	
	NET	-1 AVG BGHT	6698.70		SOLD 6535.75		-12,605.00	0.00
21SEP17	S	1	PD21DEC17	6465.50	6876.00	USD	-10,262.50	
21SEP17	B	1	PD21DEC17	6436.50	6876.00	USD	10,987.50	
21SEP17	B	1	PD21DEC17	6460.00	6876.00	USD	10,400.00	
21SEP17	B	1	PD21DEC17	6465.50	6876.00	USD	10,262.50	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
21SEP17	S	1	PD21DEC17	6440.00	6876.00	USD	-10,900.00	
21SEP17	S	1	PD21DEC17	6432.00	6876.00	USD	-11,100.00	
21SEP17	S	1	PD21DEC17	6427.50	6876.00	USD	-11,212.50	
21SEP17	S	1	PD21DEC17	6426.50	6876.00	USD	-11,237.50	
21SEP17	B	1	PD21DEC17	6441.50	6876.00	USD	10,862.50	
21SEP17	B	1	PD21DEC17	6445.50	6876.00	USD	10,762.50	
	NET	0 AVG BGHT 6449.80	SOLD 6438.30				-1,437.50	0.00
22SEP17	S	1	PD22DEC17	6400.50	6875.00	USD	-11,862.50	
22SEP17	B	1	PD22DEC17	6389.50	6875.00	USD	12,137.50	
22SEP17	S	1	PD22DEC17	6388.50	6875.00	USD	-12,162.50	
22SEP17	B	1	PD22DEC17	6466.00	6875.00	USD	10,225.00	
	NET	0 AVG BGHT 6427.75	SOLD 6394.50				-1,662.50	0.00
25SEP17	S	1	PD27DEC17	6464.00	6876.67	USD	-10,316.75	
26SEP17	B	1	PD27DEC17	6499.50	6876.67	USD	9,429.25	
26SEP17	B	1	PD27DEC17	6441.50	6876.67	USD	10,879.25	
26SEP17	B	1	PD27DEC17	6425.50	6876.67	USD	11,279.25	
27SEP17	S	1	PD27DEC17	6449.00	6876.67	USD	-10,691.75	
27SEP17	S	1	PD27DEC17	6436.00	6876.67	USD	-11,016.75	
27SEP17	S	1	PD27DEC17	6422.50	6876.67	USD	-11,354.25	
27SEP17	S	1	PD27DEC17	6441.00	6876.67	USD	-10,891.75	
27SEP17	S	1	PD27DEC17	6442.50	6876.67	USD	-10,854.25	
	NET	-3 AVG BGHT 6455.50	SOLD 6442.50				-33,537.75	0.00
28SEP17	B	1	PD28DEC17	6475.00	6877.00	USD	10,050.00	
28SEP17	B	1	PD28DEC17	6461.50	6877.00	USD	10,387.50	
28SEP17	B	1	PD28DEC17	6511.00	6877.00	USD	9,150.00	
28SEP17	B	1	PD28DEC17	6515.00	6877.00	USD	9,050.00	
28SEP17	S	1	PD28DEC17	6554.50	6877.00	USD	-8,062.50	
	NET	+3 AVG BGHT 6490.63	SOLD 6554.50				30,575.00	0.00
29SEP17	S	1	PD29DEC17	6540.50	6877.33	USD	-8,420.75	
29SEP17	S	1	PD29DEC17	6532.00	6877.33	USD	-8,633.25	
29SEP17	S	1	PD29DEC17	6527.00	6877.33	USD	-8,758.25	
29SEP17	B	2	PD29DEC17	6568.00	6877.33	USD	15,466.50	
29SEP17	B	1	PD29DEC17	6562.00	6877.33	USD	7,883.25	
29SEP17	B	1	PD29DEC17	6568.50	6877.33	USD	7,720.75	
29SEP17	B	2	PD29DEC17	6570.00	6877.33	USD	15,366.50	
29SEP17	B	1	PD29DEC17	6574.50	6877.33	USD	7,570.75	
29SEP17	B	1	PD29DEC17	6569.50	6877.33	USD	7,695.75	
	NET	+5 AVG BGHT 6568.81	SOLD 6533.17				35,891.25	0.00
09OCT17	S	1	PD09JAN18	6661.00	6881.00	USD	-5,500.00	
09OCT17	B	1	PD09JAN18	6680.50	6881.00	USD	5,012.50	
09OCT17	B	1	PD09JAN18	6685.00	6881.00	USD	4,900.00	
09OCT17	B	1	PD09JAN18	6675.00	6881.00	USD	5,150.00	
09OCT17	S	1	PD09JAN18	6655.50	6881.00	USD	-5,637.50	
09OCT17	S	3	PD09JAN18	6660.00	6881.00	USD	-16,575.00	
09OCT17	S	2	PD09JAN18	6655.00	6881.00	USD	-11,300.00	
09OCT17	S	1	PD09JAN18	6660.50	6881.00	USD	-5,512.50	
09OCT17	S	2	PD09JAN18	6659.00	6881.00	USD	-11,100.00	
09OCT17	S	1	PD09JAN18	6657.00	6881.00	USD	-5,600.00	
09OCT17	B	1	PD09JAN18	6667.00	6881.00	USD	5,350.00	
09OCT17	S	1	PD09JAN18	6682.50	6881.00	USD	-4,962.50	
	NET	-8 AVG BGHT 6676.88	SOLD 6660.38				-45,775.00	0.00
10OCT17	B	1	PD10JAN18	6724.50	6881.33	USD	3,920.75	
10OCT17	S	1	PD10JAN18	6723.50	6881.33	USD	-3,945.75	
10OCT17	S	1	PD10JAN18	6713.00	6881.33	USD	-4,208.25	
10OCT17	B	1	PD10JAN18	6700.50	6881.33	USD	4,520.75	
10OCT17	B	1	PD10JAN18	6692.50	6881.33	USD	4,720.75	

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10OCT17	B 1 PD10JAN18	6749.00	6881.33	USD	3,308.25	
10OCT17	B 1 PD10JAN18	6757.00	6881.33	USD	3,108.25	
10OCT17	B 1 PD10JAN18	6754.00	6881.33	USD	3,183.25	
	NET +4 AVG BGHT 6729.58 SOLD 6718.25				14,608.00	0.00
11OCT17	B 1 PD11JAN18	6738.00	6881.67	USD	3,591.75	
11OCT17	B 1 PD11JAN18	6771.50	6881.67	USD	2,754.25	
11OCT17	B 1 PD11JAN18	6786.50	6881.67	USD	2,379.25	
11OCT17	B 1 PD11JAN18	6792.50	6881.67	USD	2,229.25	
11OCT17	S 1 PD11JAN18	6779.50	6881.67	USD	-2,554.25	
11OCT17	S 1 PD11JAN18	6778.00	6881.67	USD	-2,591.75	
11OCT17	S 1 PD11JAN18	6773.50	6881.67	USD	-2,704.25	
11OCT17	S 1 PD11JAN18	6774.00	6881.67	USD	-2,691.75	
11OCT17	B 1 PD11JAN18	6788.50	6881.67	USD	2,329.25	
11OCT17	B 1 PD11JAN18	6792.00	6881.67	USD	2,241.75	
11OCT17	B 1 PD11JAN18	6800.50	6881.67	USD	2,029.25	
11OCT17	B 1 PD11JAN18	6806.50	6881.67	USD	1,879.25	
11OCT17	B 1 PD11JAN18	6810.00	6881.67	USD	1,791.75	
11OCT17	B 1 PD11JAN18	6798.50	6881.67	USD	2,079.25	
11OCT17	B 1 PD11JAN18	6801.50	6881.67	USD	2,004.25	
	NET +7 AVG BGHT 6789.64 SOLD 6776.25				14,767.25	0.00
12OCT17	S 1 PD12JAN18	6813.50	6882.00	USD	-1,712.50	
12OCT17	S 1 PD12JAN18	6806.00	6882.00	USD	-1,900.00	
12OCT17	S 1 PD12JAN18	6802.50	6882.00	USD	-1,987.50	
12OCT17	S 1 PD12JAN18	6793.50	6882.00	USD	-2,212.50	
12OCT17	S 1 PD12JAN18	6801.50	6882.00	USD	-2,012.50	
12OCT17	B 1 PD12JAN18	6843.00	6882.00	USD	975.00	
12OCT17	S 1 PD12JAN18	6879.50	6882.00	USD	-62.50	
12OCT17	S 1 PD12JAN18	6902.00	6882.00	USD	500.00	
12OCT17	S 1 PD12JAN18	6888.00	6882.00	USD	150.00	
13OCT17	S 2 PD12JAN18	6865.50	6882.00	USD	-825.00	
13OCT17	S 1 PD12JAN18	6873.00	6882.00	USD	-225.00	
13OCT17	S 1 PD12JAN18	6908.50	6882.00	USD	662.50	
	NET -11 AVG BGHT 6843.00 SOLD 6849.92				-8,650.00	0.00
	<u>CMX GOLD 100 CMX</u>					
10OCT17	B 1 DEC17	1291.1	1304.6	USD	1,350.00	
	NET +1 AVG BGHT 1291.1				1,350.00	0.00
	<u>LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
17JUL17	B 1 PD17OCT17	2326.00	2518.25	USD	4,806.25	
13SEP17	S 1 PD17OCT17	2270.36	2518.25	USD	-6,197.25	
	NET 0 AVG BGHT 2326.00 SOLD 2270.36				-1,391.00	0.00
18JUL17	S 1 PD18OCT17	2284.50	2518.75	USD	-5,856.25	
18JUL17	B 1 PD18OCT17	2273.00	2518.75	USD	6,143.75	
	NET 0 AVG BGHT 2273.00 SOLD 2284.50				287.50	0.00
19JUL17	B 1 PD19OCT17	2240.00	2518.90	USD	6,972.50	
19JUL17	S 1 PD19OCT17	2217.50	2518.90	USD	-7,535.00	
	NET 0 AVG BGHT 2240.00 SOLD 2217.50				-562.50	0.00
20JUL17	S 1 PD20OCT17	2218.50	2519.05	USD	-7,513.75	
21JUL17	B 1 PD20OCT17	2244.00	2519.05	USD	6,876.25	
21JUL17	S 1 PD20OCT17	2228.00	2519.05	USD	-7,276.25	
13SEP17	B 1 PD20OCT17	2270.70	2519.05	USD	6,208.75	
	NET 0 AVG BGHT 2257.35 SOLD 2223.25				-1,705.00	0.00
24JUL17	B 1 PD24OCT17	2252.00	2519.65	USD	6,691.25	
24JUL17	S 1 PD24OCT17	2245.50	2519.65	USD	-6,853.75	

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24JUL17	S	1	PD24OCT17	2256.50	2519.65	USD	-6,578.75	
13SEP17	B	1	PD24OCT17	2272.19	2519.65	USD	6,186.50	
	NET	0 AVG BGHT 2262.10	SOLD 2251.00				-554.75	0.00
25JUL17	B	1	PD25OCT17	2271.00	2519.80	USD	6,220.00	
25JUL17	S	1	PD25OCT17	2312.50	2519.80	USD	-5,182.50	
	NET	0 AVG BGHT 2271.00	SOLD 2312.50				1,037.50	0.00
27JUL17	B	1	PD27OCT17	2324.50	2520.10	USD	4,890.00	
27JUL17	B	1	PD27OCT17	2304.00	2520.10	USD	5,402.50	
28JUL17	S	1	PD27OCT17	2318.50	2520.10	USD	-5,040.00	
13SEP17	S	1	PD27OCT17	2273.46	2520.10	USD	-6,166.00	
	NET	0 AVG BGHT 2314.25	SOLD 2295.98				-913.50	0.00
31JUL17	B	1	PD31OCT17	2329.00	2520.70	USD	4,792.50	
23AUG17	S	1	PD31OCT17	2371.50	2520.70	USD	-3,730.00	
	NET	0 AVG BGHT 2329.00	SOLD 2371.50				1,062.50	0.00
13SEP17	S	1	PD02NOV17	2276.00	2521.00	USD	-6,125.00	
	NET	-1 AVG SOLD 2276.00					-6,125.00	0.00
03AUG17	S	1	PD03NOV17	2377.50	2521.13	USD	-3,590.75	
03AUG17	B	1	PD03NOV17	2357.50	2521.13	USD	4,090.75	
04AUG17	B	1	PD03NOV17	2354.00	2521.13	USD	4,178.25	
	NET	+1 AVG BGHT 2355.75	SOLD 2377.50				4,678.25	0.00
07AUG17	B	1	PD07NOV17	2352.00	2521.67	USD	4,241.75	
07AUG17	S	1	PD07NOV17	2350.00	2521.67	USD	-4,291.75	
07AUG17	S	1	PD07NOV17	2351.00	2521.67	USD	-4,266.75	
07AUG17	B	1	PD07NOV17	2387.50	2521.67	USD	3,354.25	
	NET	0 AVG BGHT 2369.75	SOLD 2350.50				-962.50	0.00
08AUG17	S	1	PD08NOV17	2350.00	2521.81	USD	-4,295.25	
08AUG17	S	1	PD08NOV17	2388.50	2521.81	USD	-3,332.75	
13SEP17	B	2	PD08NOV17	2278.54	2521.81	USD	12,163.50	
	NET	0 AVG BGHT 2278.54	SOLD 2369.25				4,535.50	0.00
09AUG17	B	1	PD09NOV17	2389.50	2521.94	USD	3,311.00	
09AUG17	S	1	PD09NOV17	2376.50	2521.94	USD	-3,636.00	
09AUG17	B	1	PD09NOV17	2394.00	2521.94	USD	3,198.50	
09AUG17	B	1	PD09NOV17	2388.50	2521.94	USD	3,336.00	
13SEP17	S	2	PD09NOV17	2278.76	2521.94	USD	-12,159.00	
	NET	0 AVG BGHT 2390.67	SOLD 2311.34				-5,949.50	0.00
10AUG17	S	1	PD10NOV17	2378.00	2522.08	USD	-3,602.00	
10AUG17	S	1	PD10NOV17	2369.00	2522.08	USD	-3,827.00	
10AUG17	B	1	PD10NOV17	2365.00	2522.08	USD	3,927.00	
11AUG17	S	1	PD10NOV17	2361.50	2522.08	USD	-4,014.50	
11AUG17	B	1	PD10NOV17	2357.50	2522.08	USD	4,114.50	
11AUG17	S	1	PD10NOV17	2339.00	2522.08	USD	-4,577.00	
11AUG17	B	1	PD10NOV17	2345.00	2522.08	USD	4,427.00	
11AUG17	B	1	PD10NOV17	2351.00	2522.08	USD	4,277.00	
11AUG17	S	1	PD10NOV17	2350.50	2522.08	USD	-4,289.50	
11AUG17	S	1	PD10NOV17	2354.50	2522.08	USD	-4,189.50	
11AUG17	B	1	PD10NOV17	2342.00	2522.08	USD	4,502.00	
11AUG17	B	1	PD10NOV17	2333.50	2522.08	USD	4,714.50	
11AUG17	B	1	PD10NOV17	2326.00	2522.08	USD	4,902.00	
11AUG17	B	1	PD10NOV17	2350.50	2522.08	USD	4,289.50	
13SEP17	S	1	PD10NOV17	2279.38	2522.08	USD	-6,067.50	
20SEP17	S	1	PD10NOV17	2453.88	2522.08	USD	-1,705.00	
	NET	0 AVG BGHT 2346.31	SOLD 2360.72				2,881.50	0.00
14AUG17	S	1	PD14NOV17	2336.00	2522.62	USD	-4,665.50	
14AUG17	S	1	PD14NOV17	2340.00	2522.62	USD	-4,565.50	

OPEN POSITION STATEMENT



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SAP0094L

13th October 2017

Sapien Capital Limited
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backoffice@sapiencap.com

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	<u>LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
14AUG17	S	1	PD14NOV17	2346.50	2522.62	USD	-4,403.00	
14AUG17	B	1	PD14NOV17	2345.50	2522.62	USD	4,428.00	
13SEP17	B	1	PD14NOV17	2281.28	2522.62	USD	6,033.50	
13SEP17	B	1	PD14NOV17	2281.08	2522.62	USD	6,038.50	
	NET	0 AVG	BGHT 2302.62	SOLD 2340.83			2,866.00	0.00
15AUG17	S	1	PD15NOV17	2342.50	2522.75	USD	-4,506.25	
15AUG17	B	1	PD15NOV17	2354.00	2522.75	USD	4,218.75	
15AUG17	S	1	PD15NOV17	2387.00	2522.75	USD	-3,393.75	
15AUG17	B	1	PD15NOV17	2360.50	2522.75	USD	4,056.25	
	NET	0 AVG	BGHT 2357.25	SOLD 2364.75			375.00	0.00
16AUG17	B	1	PD16NOV17	2393.00	2522.75	USD	3,243.75	
16AUG17	S	1	PD16NOV17	2388.50	2522.75	USD	-3,356.25	
16AUG17	S	1	PD16NOV17	2390.00	2522.75	USD	-3,318.75	
16AUG17	S	1	PD16NOV17	2389.00	2522.75	USD	-3,343.75	
16AUG17	B	1	PD16NOV17	2392.50	2522.75	USD	3,256.25	
16AUG17	S	1	PD16NOV17	2408.00	2522.75	USD	-2,868.75	
16AUG17	S	1	PD16NOV17	2418.50	2522.75	USD	-2,606.25	
16AUG17	B	1	PD16NOV17	2420.00	2522.75	USD	2,568.75	
16AUG17	B	1	PD16NOV17	2422.50	2522.75	USD	2,506.25	
16AUG17	B	1	PD16NOV17	2468.00	2522.75	USD	1,368.75	
23AUG17	B	1	PD16NOV17	2375.20	2522.75	USD	3,688.75	
13SEP17	S	1	PD16NOV17	2281.50	2522.75	USD	-6,031.25	
	NET	0 AVG	BGHT 2411.87	SOLD 2379.25			-4,892.50	0.00
17AUG17	S	1	PD17NOV17	2534.00	2522.75	USD	281.25	
17AUG17	B	1	PD17NOV17	2512.50	2522.75	USD	256.25	
17AUG17	S	1	PD17NOV17	2495.00	2522.75	USD	-693.75	
17AUG17	B	1	PD17NOV17	2467.50	2522.75	USD	1,381.25	
17AUG17	S	1	PD17NOV17	2413.00	2522.75	USD	-2,743.75	
18AUG17	S	1	PD17NOV17	2392.50	2522.75	USD	-3,256.25	
13SEP17	B	2	PD17NOV17	2282.01	2522.75	USD	12,037.00	
	NET	0 AVG	BGHT 2386.01	SOLD 2458.63			7,262.00	0.00
21AUG17	B	1	PD21NOV17	2363.50	2523.36	USD	3,996.50	
13SEP17	S	1	PD21NOV17	2283.07	2523.36	USD	-6,007.25	
	NET	0 AVG	BGHT 2363.50	SOLD 2283.07			-2,010.75	0.00
22AUG17	S	1	PD22NOV17	2341.50	2523.51	USD	-4,550.25	
13SEP17	B	1	PD22NOV17	2283.59	2523.51	USD	5,998.00	
	NET	0 AVG	BGHT 2283.59	SOLD 2341.50			1,447.75	0.00
25AUG17	B	1	PD24NOV17	2357.00	2523.81	USD	4,170.25	
25AUG17	S	1	PD24NOV17	2353.00	2523.81	USD	-4,270.25	
25AUG17	B	1	PD24NOV17	2374.00	2523.81	USD	3,745.25	
25AUG17	S	1	PD24NOV17	2359.50	2523.81	USD	-4,107.75	
25AUG17	S	1	PD24NOV17	2325.00	2523.81	USD	-4,970.25	
13SEP17	B	1	PD24NOV17	2284.22	2523.81	USD	5,989.75	
	NET	0 AVG	BGHT 2338.41	SOLD 2345.83			557.00	0.00
29AUG17	B	1	PD29NOV17	2344.00	2524.57	USD	4,514.25	
29AUG17	B	1	PD29NOV17	2348.00	2524.57	USD	4,414.25	
29AUG17	S	1	PD29NOV17	2408.00	2524.57	USD	-2,914.25	
13SEP17	S	1	PD29NOV17	2285.39	2524.57	USD	-5,979.50	
	NET	0 AVG	BGHT 2346.00	SOLD 2346.70			34.75	0.00
30AUG17	B	1	PD30NOV17	2392.00	2524.72	USD	3,318.00	
30AUG17	B	1	PD30NOV17	2377.50	2524.72	USD	3,680.50	
31AUG17	S	1	PD30NOV17	2382.00	2524.72	USD	-3,568.00	
31AUG17	B	2	PD30NOV17	2388.00	2524.72	USD	6,836.00	
31AUG17	S	1	PD30NOV17	2377.00	2524.72	USD	-3,693.00	
	NET	+2 AVG	BGHT 2386.38	SOLD 2379.50			6,573.50	0.00

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01SEP17	S	1	PD01DEC17	2387.00	2524.87	USD	-3,446.75	
01SEP17	B	1	PD01DEC17	2402.50	2524.87	USD	3,059.25	
	NET	0 AVG	BGHT 2402.50				-387.50	0.00
05SEP17	S	1	PD05DEC17	2334.00	2525.48	USD	-4,787.00	
05SEP17	S	1	PD05DEC17	2332.00	2525.48	USD	-4,837.00	
05SEP17	S	1	PD05DEC17	2324.50	2525.48	USD	-5,024.50	
13SEP17	B	1	PD05DEC17	2287.68	2525.48	USD	5,945.00	
13SEP17	B	1	PD05DEC17	2287.48	2525.48	USD	5,950.00	
20SEP17	B	1	PD05DEC17	2457.84	2525.48	USD	1,691.00	
	NET	0 AVG	BGHT 2344.33				-1,062.50	0.00
06SEP17	B	1	PD06DEC17	2325.50	2525.63	USD	5,003.25	
06SEP17	S	1	PD06DEC17	2357.50	2525.63	USD	-4,203.25	
06SEP17	B	1	PD06DEC17	2345.50	2525.63	USD	4,503.25	
13SEP17	S	1	PD06DEC17	2287.60	2525.63	USD	-5,950.75	
	NET	0 AVG	BGHT 2335.50				-647.50	0.00
07SEP17	S	1	PD07DEC17	2345.50	2525.78	USD	-4,507.00	
07SEP17	B	1	PD07DEC17	2340.50	2525.78	USD	4,632.00	
07SEP17	S	1	PD07DEC17	2345.00	2525.78	USD	-4,519.50	
13SEP17	B	1	PD07DEC17	2288.11	2525.78	USD	5,941.75	
	NET	0 AVG	BGHT 2314.31				1,547.25	0.00
08SEP17	B	1	PD08DEC17	2350.50	2525.93	USD	4,385.75	
08SEP17	S	1	PD08DEC17	2332.00	2525.93	USD	-4,848.25	
08SEP17	B	1	PD08DEC17	2294.00	2525.93	USD	5,798.25	
08SEP17	S	1	PD08DEC17	2296.00	2525.93	USD	-5,748.25	
08SEP17	B	1	PD08DEC17	2270.00	2525.93	USD	6,398.25	
13SEP17	S	1	PD08DEC17	2288.23	2525.93	USD	-5,942.50	
	NET	0 AVG	BGHT 2304.83				43.25	0.00
11SEP17	S	1	PD11DEC17	2267.00	2526.39	USD	-6,484.75	
11SEP17	B	1	PD11DEC17	2275.00	2526.39	USD	6,284.75	
11SEP17	B	1	PD11DEC17	2281.50	2526.39	USD	6,122.25	
13SEP17	S	1	PD11DEC17	2289.17	2526.39	USD	-5,930.50	
	NET	0 AVG	BGHT 2278.25				-8.25	0.00
12SEP17	S	1	PD12DEC17	2277.00	2526.54	USD	-6,238.50	
12SEP17	B	1	PD12DEC17	2258.00	2526.54	USD	6,713.50	
	NET	0 AVG	BGHT 2258.00				475.00	0.00
13SEP17	S	1	PD13DEC17	2297.00	2526.69	USD	-5,742.25	
13SEP17	B	1	PD13DEC17	2299.00	2526.69	USD	5,692.25	
13SEP17	S	1	PD13DEC17	2294.00	2526.69	USD	-5,817.25	
	NET	-1 AVG	BGHT 2299.00				-5,867.25	0.00
15SEP17	S	1	PD15DEC17	2321.00	2526.99	USD	-5,149.75	
15SEP17	S	1	PD15DEC17	2350.00	2526.99	USD	-4,424.75	
	NET	-2 AVG	SOLD 2335.50				-9,574.50	0.00
18SEP17	B	1	PD18DEC17	2377.00	2527.45	USD	3,761.25	
18SEP17	B	1	PD18DEC17	2386.00	2527.45	USD	3,536.25	
	NET	+2 AVG	BGHT 2381.50				7,297.50	0.00
19SEP17	S	1	PD19DEC17	2415.00	2527.60	USD	-2,815.00	
19SEP17	B	1	PD19DEC17	2428.00	2527.60	USD	2,490.00	
	NET	0 AVG	BGHT 2428.00				-325.00	0.00
20SEP17	B	1	PD20DEC17	2444.00	2527.75	USD	2,093.75	
	NET	+1 AVG	BGHT 2444.00				2,093.75	0.00
21SEP17	S	1	PD21DEC17	2490.00	2526.75	USD	-918.75	
21SEP17	S	1	PD21DEC17	2479.00	2526.75	USD	-1,193.75	
	NET	-2 AVG	SOLD 2484.50				-2,112.50	0.00

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22SEP17	B	1	PD22DEC17	2418.00	2526.90	USD	2,722.50	
22SEP17	S	1	PD22DEC17	2464.00	2526.90	USD	-1,572.50	
22SEP17	B	1	PD22DEC17	2476.50	2526.90	USD	1,260.00	
22SEP17	S	1	PD22DEC17	2480.50	2526.90	USD	-1,160.00	
	NET	0 AVG BGHT 2447.25	SOLD 2472.25				1,250.00	0.00
25SEP17	S	1	PD27DEC17	2478.50	2527.64	USD	-1,228.50	
25SEP17	B	1	PD27DEC17	2507.50	2527.64	USD	503.50	
25SEP17	B	1	PD27DEC17	2505.00	2527.64	USD	566.00	
25SEP17	S	1	PD27DEC17	2499.50	2527.64	USD	-703.50	
	NET	0 AVG BGHT 2506.25	SOLD 2489.00				-862.50	0.00
09OCT17	B	1	PD09JAN18	2528.50	2529.56	USD	26.50	
09OCT17	B	1	PD09JAN18	2535.50	2529.56	USD	-148.50	
09OCT17	S	1	PD09JAN18	2528.00	2529.56	USD	-39.00	
09OCT17	S	1	PD09JAN18	2530.50	2529.56	USD	23.50	
	NET	0 AVG BGHT 2532.00	SOLD 2529.25				-137.50	0.00
10OCT17	S	1	PD10JAN18	2505.50	2529.70	USD	-605.00	
	NET	-1 AVG SOLD 2505.50					-605.00	0.00
12OCT17	B	1	PD12JAN18	2552.50	2530.00	USD	-562.50	
	NET	+1 AVG BGHT 2552.50					-562.50	0.00
	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
17JUL17	S	1	PD17OCT17	9585.00	11610.50	USD	-12,153.00	
17JUL17	S	1	PD17OCT17	9570.00	11610.50	USD	-12,243.00	
17JUL17	S	1	PD17OCT17	9580.00	11610.50	USD	-12,183.00	
17JUL17	S	1	PD17OCT17	9615.00	11610.50	USD	-11,973.00	
17JUL17	B	1	PD17OCT17	9640.00	11610.50	USD	11,823.00	
05OCT17	B	3	PD17OCT17	10458.63	11610.50	USD	20,733.66	
	NET	0 AVG BGHT 10253.97	SOLD 9587.50				-15,995.34	0.00
19JUL17	S	1	PD19OCT17	9720.00	11610.50	USD	-11,343.00	
19JUL17	B	1	PD19OCT17	9740.00	11610.50	USD	11,223.00	
19JUL17	S	1	PD19OCT17	9725.00	11610.50	USD	-11,313.00	
05OCT17	B	1	PD19OCT17	10461.04	11610.50	USD	6,896.76	
	NET	0 AVG BGHT 10100.52	SOLD 9722.50				-4,536.24	0.00
20JUL17	S	1	PD20OCT17	9565.00	11611.35	USD	-12,278.10	
20JUL17	B	1	PD20OCT17	9560.00	11611.35	USD	12,308.10	
20JUL17	B	1	PD20OCT17	9580.00	11611.35	USD	12,188.10	
20JUL17	S	1	PD20OCT17	9575.00	11611.35	USD	-12,218.10	
20JUL17	B	1	PD20OCT17	9575.00	11611.35	USD	12,218.10	
20JUL17	B	1	PD20OCT17	9570.00	11611.35	USD	12,248.10	
20JUL17	B	1	PD20OCT17	9495.00	11611.35	USD	12,698.10	
21JUL17	S	1	PD20OCT17	9575.00	11611.35	USD	-12,218.10	
21JUL17	S	1	PD20OCT17	9655.00	11611.35	USD	-11,738.10	
21JUL17	S	1	PD20OCT17	9685.00	11611.35	USD	-11,558.10	
21JUL17	S	1	PD20OCT17	9620.00	11611.35	USD	-11,948.10	
13OCT17	B	1	PD20OCT17	11611.35	11611.35	USD	0.00	
	NET	0 AVG BGHT 9898.56	SOLD 9612.50				-10,298.10	0.00
24JUL17	B	1	PD24OCT17	9530.00	11614.76	USD	12,508.56	
24JUL17	S	1	PD24OCT17	9625.00	11614.76	USD	-11,938.56	
24JUL17	B	3	PD24OCT17	9630.00	11614.76	USD	35,725.68	
24JUL17	B	1	PD24OCT17	9635.00	11614.76	USD	11,878.56	
24JUL17	B	2	PD24OCT17	9640.00	11614.76	USD	23,697.12	
24JUL17	B	2	PD24OCT17	9655.00	11614.76	USD	23,517.12	
24JUL17	B	1	PD24OCT17	9675.00	11614.76	USD	11,638.56	
08AUG17	S	10	PD24OCT17	10623.93	11614.76	USD	-59,449.80	
09AUG17	B	5	PD24OCT17	10752.00	11614.76	USD	25,882.80	

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13OCT17	S	1	PD24OCT17		11613.76	11614.76	USD	-6.00	
13OCT17	S	3	PD24OCT17		11614.76	11614.76	USD	0.00	
	NET	0AVG	BGHT 10005.33	SOLD 10821.49				73,454.04	0.00
25JUL17	S	1	PD25OCT17		9830.00	11615.61	USD	-10,713.66	
25JUL17	B	2	PD25OCT17		9860.00	11615.61	USD	21,067.32	
25JUL17	S	1	PD25OCT17		9870.00	11615.61	USD	-10,473.66	
25JUL17	B	1	PD25OCT17		9905.00	11615.61	USD	10,263.66	
25JUL17	S	2	PD25OCT17		9920.00	11615.61	USD	-20,347.32	
25JUL17	B	1	PD25OCT17		9920.00	11615.61	USD	10,173.66	
25JUL17	S	2	PD25OCT17		9965.00	11615.61	USD	-19,807.32	
25JUL17	S	1	PD25OCT17		9950.00	11615.61	USD	-9,993.66	
25JUL17	S	1	PD25OCT17		9955.00	11615.61	USD	-9,963.66	
25JUL17	S	1	PD25OCT17		9980.00	11615.61	USD	-9,813.66	
25JUL17	S	1	PD25OCT17		9975.00	11615.61	USD	-9,843.66	
25JUL17	S	1	PD25OCT17		9990.00	11615.61	USD	-9,753.66	
25JUL17	B	1	PD25OCT17		9990.00	11615.61	USD	9,753.66	
25JUL17	S	1	PD25OCT17		10000.00	11615.61	USD	-9,693.66	
25JUL17	B	1	PD25OCT17		10000.00	11615.61	USD	9,693.66	
25JUL17	S	1	PD25OCT17		9995.00	11615.61	USD	-9,723.66	
25JUL17	S	2	PD25OCT17		10005.00	11615.61	USD	-19,327.32	
08AUG17	B	10	PD25OCT17		10625.33	11615.61	USD	59,416.80	
09AUG17	S	5	PD25OCT17		10751.50	11615.61	USD	-25,923.30	
13OCT17	B	3	PD25OCT17		11616.61	11615.61	USD	-18.00	
13OCT17	B	1	PD25OCT17		11615.61	11615.61	USD	0.00	
	NET	0AVG	BGHT 10612.69	SOLD 10154.13				-55,027.44	0.00
26JUL17	B	1	PD26OCT17		10005.00	11616.46	USD	9,668.76	
26JUL17	B	1	PD26OCT17		10080.00	11616.46	USD	9,218.76	
26JUL17	S	1	PD26OCT17		10005.00	11616.46	USD	-9,668.76	
26JUL17	B	1	PD26OCT17		9930.00	11616.46	USD	10,118.76	
26JUL17	B	1	PD26OCT17		9940.00	11616.46	USD	10,058.76	
26JUL17	B	1	PD26OCT17		9925.00	11616.46	USD	10,148.76	
26JUL17	S	1	PD26OCT17		9885.00	11616.46	USD	-10,388.76	
26JUL17	B	1	PD26OCT17		9900.00	11616.46	USD	10,298.76	
26JUL17	S	1	PD26OCT17		9900.00	11616.46	USD	-10,298.76	
26JUL17	S	1	PD26OCT17		10040.00	11616.46	USD	-9,458.76	
26JUL17	S	2	PD26OCT17		9955.00	11616.46	USD	-19,937.52	
26JUL17	S	1	PD26OCT17		9960.00	11616.46	USD	-9,938.76	
26JUL17	S	1	PD26OCT17		9940.00	11616.46	USD	-10,058.76	
26JUL17	S	2	PD26OCT17		9945.00	11616.46	USD	-20,057.52	
26JUL17	S	1	PD26OCT17		10030.00	11616.46	USD	-9,518.76	
26JUL17	B	1	PD26OCT17		9980.00	11616.46	USD	9,818.76	
26JUL17	S	1	PD26OCT17		10020.00	11616.46	USD	-9,578.76	
26JUL17	B	1	PD26OCT17		10020.00	11616.46	USD	9,578.76	
26JUL17	B	1	PD26OCT17		9980.00	11616.46	USD	9,818.76	
13OCT17	B	3	PD26OCT17		11616.46	11616.46	USD	0.00	
	NET	0AVG	BGHT 10384.12	SOLD 9965.00				-30,176.28	0.00
27JUL17	B	1	PD27OCT17		9990.00	11617.31	USD	9,763.86	
27JUL17	B	2	PD27OCT17		9985.00	11617.31	USD	19,587.72	
27JUL17	B	1	PD27OCT17		10005.00	11617.31	USD	9,673.86	
27JUL17	B	3	PD27OCT17		10015.00	11617.31	USD	28,841.58	
27JUL17	B	1	PD27OCT17		10020.00	11617.31	USD	9,583.86	
27JUL17	B	2	PD27OCT17		10025.00	11617.31	USD	19,107.72	
27JUL17	B	1	PD27OCT17		9995.00	11617.31	USD	9,733.86	
27JUL17	S	3	PD27OCT17		10050.00	11617.31	USD	-28,211.58	
27JUL17	S	1	PD27OCT17		10075.00	11617.31	USD	-9,253.86	
27JUL17	S	1	PD27OCT17		10090.00	11617.31	USD	-9,163.86	

OPEN POSITION STATEMENT



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SAP0094L

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backoffice@sapiencap.com

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
27JUL17	S	1	PD27OCT17	10145.00	11617.31	USD	-8,833.86	
27JUL17	S	2	PD27OCT17	10140.00	11617.31	USD	-17,727.72	
27JUL17	S	1	PD27OCT17	10135.00	11617.31	USD	-8,893.86	
27JUL17	S	2	PD27OCT17	10150.00	11617.31	USD	-17,607.72	
27JUL17	S	1	PD27OCT17	10185.00	11617.31	USD	-8,593.86	
27JUL17	S	2	PD27OCT17	10140.00	11617.31	USD	-17,727.72	
27JUL17	S	1	PD27OCT17	10175.00	11617.31	USD	-8,653.86	
27JUL17	S	1	PD27OCT17	10190.00	11617.31	USD	-8,563.86	
27JUL17	B	1	PD27OCT17	10170.00	11617.31	USD	8,683.86	
27JUL17	B	1	PD27OCT17	10165.00	11617.31	USD	8,713.86	
28JUL17	B	1	PD27OCT17	10150.00	11617.31	USD	8,803.86	
28JUL17	S	1	PD27OCT17	10135.00	11617.31	USD	-8,893.86	
28JUL17	S	1	PD27OCT17	10090.00	11617.31	USD	-9,163.86	
28JUL17	S	1	PD27OCT17	10060.00	11617.31	USD	-9,343.86	
28JUL17	B	1	PD27OCT17	10055.00	11617.31	USD	9,373.86	
28JUL17	S	1	PD27OCT17	10020.00	11617.31	USD	-9,583.86	
28JUL17	B	3	PD27OCT17	10050.00	11617.31	USD	28,211.58	
28JUL17	B	1	PD27OCT17	10140.00	11617.31	USD	8,863.86	
28JUL17	B	1	PD27OCT17	10035.00	11617.31	USD	9,493.86	
28JUL17	S	1	PD27OCT17	10240.00	11617.31	USD	-8,263.86	
28JUL17	S	1	PD27OCT17	10255.00	11617.31	USD	-8,173.86	
28JUL17	S	2	PD27OCT17	10245.00	11617.31	USD	-16,467.72	
28JUL17	S	1	PD27OCT17	10220.00	11617.31	USD	-8,383.86	
28JUL17	B	1	PD27OCT17	10225.00	11617.31	USD	8,353.86	
28JUL17	S	1	PD27OCT17	10245.00	11617.31	USD	-8,233.86	
28JUL17	B	2	PD27OCT17	10230.00	11617.31	USD	16,647.72	
28JUL17	B	1	PD27OCT17	10220.00	11617.31	USD	8,383.86	
28JUL17	B	1	PD27OCT17	10210.00	11617.31	USD	8,443.86	
28JUL17	B	1	PD27OCT17	10205.00	11617.31	USD	8,473.86	
28JUL17	S	3	PD27OCT17	10250.00	11617.31	USD	-24,611.58	
28JUL17	S	1	PD27OCT17	10260.00	11617.31	USD	-8,143.86	
08AUG17	B	2	PD27OCT17	10625.14	11617.31	USD	11,906.04	
23AUG17	S	1	PD27OCT17	11643.48	11617.31	USD	157.02	
13OCT17	B	3	PD27OCT17	11617.31	11617.31	USD	0.00	
	NET	0 AVG BGHT 10269.75	SOLD 10206.89				-11,692.38	0.00
31JUL17	B	1	PD31OCT17	10280.00	11620.72	USD	8,044.32	
31JUL17	B	1	PD31OCT17	10345.00	11620.72	USD	7,654.32	
31JUL17	B	1	PD31OCT17	10250.00	11620.72	USD	8,224.32	
31JUL17	B	1	PD31OCT17	10230.00	11620.72	USD	8,344.32	
31JUL17	B	1	PD31OCT17	10225.00	11620.72	USD	8,374.32	
31JUL17	B	1	PD31OCT17	10240.00	11620.72	USD	8,284.32	
31JUL17	B	1	PD31OCT17	10260.00	11620.72	USD	8,164.32	
31JUL17	B	1	PD31OCT17	10215.00	11620.72	USD	8,434.32	
08AUG17	S	8	PD31OCT17	10626.76	11620.72	USD	-47,710.08	
09AUG17	B	4	PD31OCT17	10755.50	11620.72	USD	20,765.28	
13OCT17	S	4	PD31OCT17	11620.72	11620.72	USD	0.00	
	NET	0 AVG BGHT 10422.25	SOLD 10958.08				38,579.76	0.00
01AUG17	S	1	PD01NOV17	10120.00	11621.57	USD	-9,009.42	
01AUG17	S	1	PD01NOV17	10105.00	11621.57	USD	-9,099.42	
01AUG17	S	1	PD01NOV17	10115.00	11621.57	USD	-9,039.42	
01AUG17	B	1	PD01NOV17	10100.00	11621.57	USD	9,129.42	
01AUG17	B	2	PD01NOV17	10105.00	11621.57	USD	18,198.84	
01AUG17	B	1	PD01NOV17	10125.00	11621.57	USD	8,979.42	
01AUG17	B	1	PD01NOV17	10175.00	11621.57	USD	8,679.42	
01AUG17	S	2	PD01NOV17	10110.00	11621.57	USD	-18,138.84	
01AUG17	S	2	PD01NOV17	10100.00	11621.57	USD	-18,258.84	
01AUG17	B	1	PD01NOV17	10120.00	11621.57	USD	9,009.42	

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01AUG17	S	1	PD01NOV17	10205.00	11621.57	USD	-8,499.42	
01AUG17	S	1	PD01NOV17	10200.00	11621.57	USD	-8,529.42	
01AUG17	S	2	PD01NOV17	10190.00	11621.57	USD	-17,178.84	
01AUG17	S	2	PD01NOV17	10195.00	11621.57	USD	-17,118.84	
01AUG17	S	3	PD01NOV17	10200.00	11621.57	USD	-25,588.26	
01AUG17	S	1	PD01NOV17	10195.00	11621.57	USD	-8,559.42	
01AUG17	B	1	PD01NOV17	10255.00	11621.57	USD	8,199.42	
08AUG17	B	4	PD01NOV17	10627.17	11621.57	USD	23,865.60	
08AUG17	B	8	PD01NOV17	10628.17	11621.57	USD	47,683.20	
09AUG17	S	4	PD01NOV17	10755.00	11621.57	USD	-20,797.68	
23AUG17	S	2	PD01NOV17	11647.04	11621.57	USD	305.64	
13OCT17	B	4	PD01NOV17	11621.57	11621.57	USD	0.00	
	NET	0 AVG BGHT 10652.41	SOLD 10393.22				-35,767.44	0.00
02AUG17	B	1	PD02NOV17	10210.00	11622.43	USD	8,474.58	
02AUG17	S	1	PD02NOV17	10210.00	11622.43	USD	-8,474.58	
02AUG17	B	1	PD02NOV17	10325.00	11622.43	USD	7,784.58	
02AUG17	B	1	PD02NOV17	10320.00	11622.43	USD	7,814.58	
08AUG17	S	2	PD02NOV17	10626.57	11622.43	USD	-11,950.32	
	NET	0 AVG BGHT 10285.00	SOLD 10487.71				3,648.84	0.00
03AUG17	S	1	PD03NOV17	10340.00	11623.28	USD	-7,699.68	
03AUG17	B	1	PD03NOV17	10300.00	11623.28	USD	7,939.68	
04AUG17	B	1	PD03NOV17	10350.00	11623.28	USD	7,639.68	
04AUG17	B	1	PD03NOV17	10380.00	11623.28	USD	7,459.68	
04AUG17	B	1	PD03NOV17	10390.00	11623.28	USD	7,399.68	
04AUG17	S	1	PD03NOV17	10365.00	11623.28	USD	-7,549.68	
04AUG17	S	2	PD03NOV17	10310.00	11623.28	USD	-15,759.36	
04AUG17	S	1	PD03NOV17	10305.00	11623.28	USD	-7,909.68	
04AUG17	B	1	PD03NOV17	10335.00	11623.28	USD	7,729.68	
04AUG17	B	1	PD03NOV17	10345.00	11623.28	USD	7,669.68	
04AUG17	B	1	PD03NOV17	10360.00	11623.28	USD	7,579.68	
04AUG17	B	1	PD03NOV17	10340.00	11623.28	USD	7,699.68	
04AUG17	B	1	PD03NOV17	10300.00	11623.28	USD	7,939.68	
04AUG17	S	1	PD03NOV17	10330.00	11623.28	USD	-7,759.68	
04AUG17	B	1	PD03NOV17	10310.00	11623.28	USD	7,879.68	
04AUG17	S	2	PD03NOV17	10300.00	11623.28	USD	-15,879.36	
04AUG17	B	1	PD03NOV17	10275.00	11623.28	USD	8,089.68	
13OCT17	S	3	PD03NOV17	11622.28	11623.28	USD	-18.00	
	NET	0 AVG BGHT 10335.00	SOLD 10675.17				22,451.04	0.00
07AUG17	B	1	PD07NOV17	10385.00	11626.69	USD	7,450.14	
07AUG17	B	1	PD07NOV17	10370.00	11626.69	USD	7,540.14	
07AUG17	S	1	PD07NOV17	10335.00	11626.69	USD	-7,750.14	
07AUG17	S	1	PD07NOV17	10340.00	11626.69	USD	-7,720.14	
07AUG17	S	1	PD07NOV17	10300.00	11626.69	USD	-7,960.14	
07AUG17	S	2	PD07NOV17	10260.00	11626.69	USD	-16,400.28	
07AUG17	B	1	PD07NOV17	10255.00	11626.69	USD	8,230.14	
07AUG17	B	1	PD07NOV17	10345.00	11626.69	USD	7,690.14	
07AUG17	B	1	PD07NOV17	10375.00	11626.69	USD	7,510.14	
07AUG17	B	1	PD07NOV17	10350.00	11626.69	USD	7,660.14	
07AUG17	B	1	PD07NOV17	10290.00	11626.69	USD	8,020.14	
07AUG17	B	1	PD07NOV17	10355.00	11626.69	USD	7,630.14	
07AUG17	B	1	PD07NOV17	10340.00	11626.69	USD	7,720.14	
07AUG17	B	2	PD07NOV17	10360.00	11626.69	USD	15,200.28	
07AUG17	B	1	PD07NOV17	10380.00	11626.69	USD	7,480.14	
07AUG17	B	1	PD07NOV17	10395.00	11626.69	USD	7,390.14	
07AUG17	S	1	PD07NOV17	10375.00	11626.69	USD	-7,510.14	
08AUG17	S	4	PD07NOV17	10628.60	11626.69	USD	-23,954.16	

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	NET	+3 AVG	BGHT	10350.77				28,226.82	0.00
08AUG17	B	2	PD08NOV17		10355.00	11627.54	USD	15,270.48	
08AUG17	S	1	PD08NOV17		10300.00	11627.54	USD	-7,965.24	
08AUG17	B	1	PD08NOV17		10325.00	11627.54	USD	7,815.24	
08AUG17	S	1	PD08NOV17		10295.00	11627.54	USD	-7,995.24	
08AUG17	S	2	PD08NOV17		10330.00	11627.54	USD	-15,570.48	
08AUG17	B	1	PD08NOV17		10535.00	11627.54	USD	6,555.24	
08AUG17	B	1	PD08NOV17		10585.00	11627.54	USD	6,255.24	
08AUG17	S	1	PD08NOV17		10580.00	11627.54	USD	-6,285.24	
08AUG17	B	2	PD08NOV17		10580.00	11627.54	USD	12,570.48	
08AUG17	S	2	PD08NOV17		10610.00	11627.54	USD	-12,210.48	
08AUG17	B	1	PD08NOV17		10615.00	11627.54	USD	6,075.24	
08AUG17	B	1	PD08NOV17		10470.00	11627.54	USD	6,945.24	
08AUG17	B	1	PD08NOV17		10755.00	11627.54	USD	5,235.24	
08AUG17	B	1	PD08NOV17		10745.00	11627.54	USD	5,295.24	
08AUG17	B	1	PD08NOV17		10740.00	11627.54	USD	5,325.24	
08AUG17	S	1	PD08NOV17		10735.00	11627.54	USD	-5,355.24	
08AUG17	S	1	PD08NOV17		10700.00	11627.54	USD	-5,565.24	
23AUG17	S	3	PD08NOV17		11652.02	11627.54	USD	440.64	
	NET	0 AVG	BGHT	10553.33				16,836.36	0.00
09AUG17	S	1	PD09NOV17		10785.00	11628.39	USD	-5,060.34	
09AUG17	S	1	PD09NOV17		10780.00	11628.39	USD	-5,090.34	
09AUG17	B	1	PD09NOV17		10790.00	11628.39	USD	5,030.34	
09AUG17	S	1	PD09NOV17		10795.00	11628.39	USD	-5,000.34	
09AUG17	B	1	PD09NOV17		10800.00	11628.39	USD	4,970.34	
09AUG17	S	1	PD09NOV17		10800.00	11628.39	USD	-4,970.34	
09AUG17	S	1	PD09NOV17		10735.00	11628.39	USD	-5,360.34	
09AUG17	S	2	PD09NOV17		10745.00	11628.39	USD	-10,600.68	
09AUG17	B	2	PD09NOV17		10740.00	11628.39	USD	10,660.68	
23AUG17	B	2	PD09NOV17		11653.73	11628.39	USD	-304.08	
	NET	-1 AVG	BGHT	11062.91				-15,725.10	0.00
10AUG17	B	1	PD10NOV17		10820.00	11629.24	USD	4,855.44	
10AUG17	S	1	PD10NOV17		10830.00	11629.24	USD	-4,795.44	
10AUG17	S	1	PD10NOV17		10790.00	11629.24	USD	-5,035.44	
10AUG17	S	1	PD10NOV17		10785.00	11629.24	USD	-5,065.44	
10AUG17	B	1	PD10NOV17		10790.00	11629.24	USD	5,035.44	
10AUG17	S	1	PD10NOV17		10740.00	11629.24	USD	-5,335.44	
10AUG17	B	1	PD10NOV17		10770.00	11629.24	USD	5,155.44	
10AUG17	B	2	PD10NOV17		10780.00	11629.24	USD	10,190.88	
10AUG17	B	1	PD10NOV17		10850.00	11629.24	USD	4,675.44	
10AUG17	S	1	PD10NOV17		10930.00	11629.24	USD	-4,195.44	
10AUG17	B	1	PD10NOV17		10975.00	11629.24	USD	3,925.44	
10AUG17	B	1	PD10NOV17		10945.00	11629.24	USD	4,105.44	
10AUG17	S	1	PD10NOV17		10905.00	11629.24	USD	-4,345.44	
10AUG17	S	1	PD10NOV17		10900.00	11629.24	USD	-4,375.44	
11AUG17	S	1	PD10NOV17		10845.00	11629.24	USD	-4,705.44	
11AUG17	S	1	PD10NOV17		10810.00	11629.24	USD	-4,915.44	
11AUG17	S	1	PD10NOV17		10820.00	11629.24	USD	-4,855.44	
11AUG17	S	1	PD10NOV17		10835.00	11629.24	USD	-4,765.44	
11AUG17	S	1	PD10NOV17		10745.00	11629.24	USD	-5,305.44	
11AUG17	B	1	PD10NOV17		10755.00	11629.24	USD	5,245.44	
11AUG17	S	1	PD10NOV17		10660.00	11629.24	USD	-5,815.44	
11AUG17	S	1	PD10NOV17		10605.00	11629.24	USD	-6,145.44	
11AUG17	B	1	PD10NOV17		10600.00	11629.24	USD	6,175.44	
11AUG17	B	1	PD10NOV17		10595.00	11629.24	USD	6,205.44	
11AUG17	B	3	PD10NOV17		10625.00	11629.24	USD	18,076.32	

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11AUG17	S	2	PD10NOV17	10620.00	11629.24	USD	-12,110.88	
11AUG17	B	1	PD10NOV17	10615.00	11629.24	USD	6,085.44	
11AUG17	S	1	PD10NOV17	10810.00	11629.24	USD	-4,915.44	
11AUG17	B	1	PD10NOV17	10855.00	11629.24	USD	4,645.44	
11AUG17	S	1	PD10NOV17	10710.00	11629.24	USD	-5,515.44	
11AUG17	B	1	PD10NOV17	10675.00	11629.24	USD	5,725.44	
11AUG17	B	1	PD10NOV17	10660.00	11629.24	USD	5,815.44	
11AUG17	B	1	PD10NOV17	10685.00	11629.24	USD	5,665.44	
11AUG17	B	3	PD10NOV17	10670.00	11629.24	USD	17,266.32	
11AUG17	S	1	PD10NOV17	10675.00	11629.24	USD	-5,725.44	
11AUG17	S	3	PD10NOV17	10610.00	11629.24	USD	-18,346.32	
11AUG17	B	1	PD10NOV17	10635.00	11629.24	USD	5,965.44	
11AUG17	S	3	PD10NOV17	10630.00	11629.24	USD	-17,986.32	
11AUG17	B	2	PD10NOV17	10650.00	11629.24	USD	11,750.88	
11AUG17	B	1	PD10NOV17	10570.00	11629.24	USD	6,355.44	
11AUG17	B	1	PD10NOV17	10630.00	11629.24	USD	5,995.44	
11AUG17	S	1	PD10NOV17	10665.00	11629.24	USD	-5,785.44	
23AUG17	S	1	PD10NOV17	11653.44	11629.24	USD	145.20	
	NET	0 AVG BGHT 10710.00	SOLD 10765.68				9,020.64	0.00
14AUG17	B	1	PD14NOV17	10665.00	11632.65	USD	5,805.90	
14AUG17	S	1	PD14NOV17	10660.00	11632.65	USD	-5,835.90	
14AUG17	S	1	PD14NOV17	10540.00	11632.65	USD	-6,555.90	
14AUG17	S	1	PD14NOV17	10530.00	11632.65	USD	-6,615.90	
14AUG17	S	1	PD14NOV17	10515.00	11632.65	USD	-6,705.90	
14AUG17	S	1	PD14NOV17	10510.00	11632.65	USD	-6,735.90	
14AUG17	S	2	PD14NOV17	10495.00	11632.65	USD	-13,651.80	
14AUG17	S	1	PD14NOV17	10475.00	11632.65	USD	-6,945.90	
14AUG17	B	2	PD14NOV17	10490.00	11632.65	USD	13,711.80	
14AUG17	B	2	PD14NOV17	10500.00	11632.65	USD	13,591.80	
14AUG17	S	1	PD14NOV17	10450.00	11632.65	USD	-7,095.90	
14AUG17	B	2	PD14NOV17	10435.00	11632.65	USD	14,371.80	
14AUG17	B	1	PD14NOV17	10420.00	11632.65	USD	7,275.90	
14AUG17	B	1	PD14NOV17	10515.00	11632.65	USD	6,705.90	
14AUG17	S	1	PD14NOV17	10420.00	11632.65	USD	-7,275.90	
14AUG17	S	3	PD14NOV17	10415.00	11632.65	USD	-21,917.70	
14AUG17	S	1	PD14NOV17	10410.00	11632.65	USD	-7,335.90	
14AUG17	S	1	PD14NOV17	10440.00	11632.65	USD	-7,155.90	
14AUG17	B	1	PD14NOV17	10410.00	11632.65	USD	7,335.90	
23AUG17	B	3	PD14NOV17	11657.29	11632.65	USD	-443.52	
	NET	-2 AVG BGHT 10756.30	SOLD 10479.00				-35,473.02	0.00
15AUG17	S	1	PD15NOV17	10365.00	11633.50	USD	-7,611.00	
15AUG17	S	1	PD15NOV17	10360.00	11633.50	USD	-7,641.00	
15AUG17	B	1	PD15NOV17	10375.00	11633.50	USD	7,551.00	
15AUG17	B	1	PD15NOV17	10385.00	11633.50	USD	7,491.00	
15AUG17	B	1	PD15NOV17	10390.00	11633.50	USD	7,461.00	
15AUG17	S	1	PD15NOV17	10485.00	11633.50	USD	-6,891.00	
15AUG17	S	1	PD15NOV17	10410.00	11633.50	USD	-7,341.00	
15AUG17	S	1	PD15NOV17	10405.00	11633.50	USD	-7,371.00	
15AUG17	B	1	PD15NOV17	10405.00	11633.50	USD	7,371.00	
15AUG17	B	1	PD15NOV17	10410.00	11633.50	USD	7,341.00	
15AUG17	B	1	PD15NOV17	10395.00	11633.50	USD	7,431.00	
15AUG17	B	3	PD15NOV17	10400.00	11633.50	USD	22,203.00	
15AUG17	B	2	PD15NOV17	10380.00	11633.50	USD	15,042.00	
15AUG17	B	2	PD15NOV17	10355.00	11633.50	USD	15,342.00	
15AUG17	S	1	PD15NOV17	10375.00	11633.50	USD	-7,551.00	
15AUG17	S	1	PD15NOV17	10355.00	11633.50	USD	-7,671.00	
15AUG17	B	1	PD15NOV17	10360.00	11633.50	USD	7,641.00	

OPEN POSITION STATEMENT



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SAP0094L

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Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
23AUG17	S 5 PD15NOV17	11657.00	11633.50	USD	705.00	
	NET +2 AVG BGHT 10385.00 SOLD 10920.00				53,502.00	0.00
16AUG17	S 2 PD16NOV17	10555.00	11634.19	USD	-12,950.28	
16AUG17	S 2 PD16NOV17	10560.00	11634.19	USD	-12,890.28	
16AUG17	S 2 PD16NOV17	10565.00	11634.19	USD	-12,830.28	
16AUG17	S 2 PD16NOV17	10570.00	11634.19	USD	-12,770.28	
16AUG17	S 1 PD16NOV17	10650.00	11634.19	USD	-5,905.14	
16AUG17	S 1 PD16NOV17	10675.00	11634.19	USD	-5,755.14	
16AUG17	B 3 PD16NOV17	10685.00	11634.19	USD	17,085.42	
16AUG17	B 1 PD16NOV17	10680.00	11634.19	USD	5,725.14	
16AUG17	S 1 PD16NOV17	10750.00	11634.19	USD	-5,305.14	
16AUG17	S 1 PD16NOV17	10760.00	11634.19	USD	-5,245.14	
16AUG17	B 3 PD16NOV17	10750.00	11634.19	USD	15,915.42	
16AUG17	B 1 PD16NOV17	10730.00	11634.19	USD	5,425.14	
16AUG17	B 1 PD16NOV17	10715.00	11634.19	USD	5,515.14	
16AUG17	B 1 PD16NOV17	10725.00	11634.19	USD	5,455.14	
16AUG17	S 3 PD16NOV17	10555.00	11634.19	USD	-19,425.42	
16AUG17	S 1 PD16NOV17	10820.00	11634.19	USD	-4,885.14	
16AUG17	S 3 PD16NOV17	10815.00	11634.19	USD	-14,745.42	
16AUG17	B 3 PD16NOV17	10755.00	11634.19	USD	15,825.42	
16AUG17	B 1 PD16NOV17	10760.00	11634.19	USD	5,245.14	
16AUG17	S 1 PD16NOV17	10765.00	11634.19	USD	-5,215.14	
23AUG17	B 4 PD16NOV17	11656.00	11634.19	USD	-523.44	
	NET -2 AVG BGHT 10933.56 SOLD 10651.50				-42,254.28	0.00
17AUG17	B 1 PD17NOV17	10790.00	11634.87	USD	5,069.22	
17AUG17	B 1 PD17NOV17	10805.00	11634.87	USD	4,979.22	
17AUG17	S 1 PD17NOV17	10785.00	11634.87	USD	-5,099.22	
17AUG17	S 1 PD17NOV17	10780.00	11634.87	USD	-5,129.22	
17AUG17	S 1 PD17NOV17	10770.00	11634.87	USD	-5,189.22	
17AUG17	S 1 PD17NOV17	10765.00	11634.87	USD	-5,219.22	
17AUG17	S 1 PD17NOV17	10845.00	11634.87	USD	-4,739.22	
17AUG17	S 3 PD17NOV17	10865.00	11634.87	USD	-13,857.66	
17AUG17	S 1 PD17NOV17	10815.00	11634.87	USD	-4,919.22	
17AUG17	B 1 PD17NOV17	10780.00	11634.87	USD	5,129.22	
17AUG17	B 1 PD17NOV17	10800.00	11634.87	USD	5,009.22	
17AUG17	B 1 PD17NOV17	10735.00	11634.87	USD	5,399.22	
17AUG17	B 2 PD17NOV17	10750.00	11634.87	USD	10,618.44	
17AUG17	B 1 PD17NOV17	10760.00	11634.87	USD	5,249.22	
17AUG17	B 1 PD17NOV17	10680.00	11634.87	USD	5,729.22	
18AUG17	S 1 PD17NOV17	10715.00	11634.87	USD	-5,519.22	
18AUG17	B 1 PD17NOV17	10855.00	11634.87	USD	4,679.22	
18AUG17	B 1 PD17NOV17	10880.00	11634.87	USD	4,529.22	
18AUG17	B 1 PD17NOV17	10945.00	11634.87	USD	4,139.22	
18AUG17	B 1 PD17NOV17	10860.00	11634.87	USD	4,649.22	
18AUG17	S 1 PD17NOV17	10870.00	11634.87	USD	-4,589.22	
18AUG17	B 1 PD17NOV17	10960.00	11634.87	USD	4,049.22	
18AUG17	B 1 PD17NOV17	10970.00	11634.87	USD	3,989.22	
18AUG17	B 1 PD17NOV17	10980.00	11634.87	USD	3,929.22	
23AUG17	B 3 PD17NOV17	11656.63	11634.87	USD	-391.68	
19SEP17	S 7 PD17NOV17	11115.53	11634.87	USD	-21,812.28	
	NET +1 AVG BGHT 10961.57 SOLD 10930.48				682.14	0.00
21AUG17	S 1 PD21NOV17	11130.00	11637.61	USD	-3,045.66	
21AUG17	S 1 PD21NOV17	11195.00	11637.61	USD	-2,655.66	
21AUG17	S 1 PD21NOV17	11255.00	11637.61	USD	-2,295.66	
21AUG17	S 1 PD21NOV17	11240.00	11637.61	USD	-2,385.66	
21AUG17	B 1 PD21NOV17	11295.00	11637.61	USD	2,055.66	

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>								
21AUG17	S	1	PD21NOV17		11285.00	11637.61	USD	-2,115.66	
13OCT17	B	4	PD21NOV17		11637.61	11637.61	USD	0.00	
	NET	0AVG	BGHT 11569.09	SOLD 11221.00				-10,442.64	0.00
22AUG17	S	1	PD22NOV17		11270.00	11638.30	USD	-2,209.80	
22AUG17	S	1	PD22NOV17		11250.00	11638.30	USD	-2,329.80	
22AUG17	B	1	PD22NOV17		11305.00	11638.30	USD	1,999.80	
22AUG17	S	1	PD22NOV17		11300.00	11638.30	USD	-2,029.80	
22AUG17	B	1	PD22NOV17		11390.00	11638.30	USD	1,489.80	
22AUG17	S	1	PD22NOV17		11395.00	11638.30	USD	-1,459.80	
22AUG17	B	1	PD22NOV17		11405.00	11638.30	USD	1,399.80	
22AUG17	S	1	PD22NOV17		11515.00	11638.30	USD	-739.80	
22AUG17	S	1	PD22NOV17		11495.00	11638.30	USD	-859.80	
22AUG17	S	1	PD22NOV17		11485.00	11638.30	USD	-919.80	
22AUG17	S	1	PD22NOV17		11410.00	11638.30	USD	-1,369.80	
22AUG17	S	1	PD22NOV17		11430.00	11638.30	USD	-1,249.80	
22AUG17	B	1	PD22NOV17		11495.00	11638.30	USD	859.80	
22AUG17	B	1	PD22NOV17		11490.00	11638.30	USD	889.80	
22AUG17	B	1	PD22NOV17		11470.00	11638.30	USD	1,009.80	
22AUG17	B	1	PD22NOV17		11415.00	11638.30	USD	1,339.80	
22AUG17	S	1	PD22NOV17		11330.00	11638.30	USD	-1,849.80	
22AUG17	S	1	PD22NOV17		11385.00	11638.30	USD	-1,519.80	
22AUG17	S	1	PD22NOV17		11400.00	11638.30	USD	-1,429.80	
22AUG17	S	1	PD22NOV17		11405.00	11638.30	USD	-1,399.80	
19SEP17	B	6	PD22NOV17		11119.35	11638.30	USD	18,682.20	
	NET	0AVG	BGHT 11283.55	SOLD 11390.00				8,303.40	0.00
23AUG17	S	1	PD24NOV17		11350.00	11639.67	USD	-1,738.02	
23AUG17	B	1	PD24NOV17		11270.00	11639.67	USD	2,218.02	
23AUG17	B	1	PD24NOV17		11360.00	11639.67	USD	1,678.02	
23AUG17	B	1	PD24NOV17		11415.00	11639.67	USD	1,348.02	
23AUG17	S	1	PD24NOV17		11465.00	11639.67	USD	-1,048.02	
23AUG17	S	1	PD24NOV17		11500.00	11639.67	USD	-838.02	
23AUG17	B	1	PD24NOV17		11460.00	11639.67	USD	1,078.02	
23AUG17	S	1	PD24NOV17		11515.00	11639.67	USD	-748.02	
23AUG17	S	1	PD24NOV17		11580.00	11639.67	USD	-358.02	
23AUG17	B	1	PD24NOV17		11530.00	11639.67	USD	658.02	
23AUG17	B	1	PD24NOV17		11585.00	11639.67	USD	328.02	
23AUG17	S	1	PD24NOV17		11685.00	11639.67	USD	271.98	
23AUG17	S	1	PD24NOV17		11690.00	11639.67	USD	301.98	
23AUG17	B	2	PD24NOV17		11690.00	11639.67	USD	-603.96	
23AUG17	S	1	PD24NOV17		11730.00	11639.67	USD	541.98	
23AUG17	B	1	PD24NOV17		11720.00	11639.67	USD	-481.98	
24AUG17	S	1	PD24NOV17		11720.00	11639.67	USD	481.98	
24AUG17	B	1	PD24NOV17		11630.00	11639.67	USD	58.02	
24AUG17	S	1	PD24NOV17		11645.00	11639.67	USD	31.98	
24AUG17	S	1	PD24NOV17		11710.00	11639.67	USD	421.98	
24AUG17	S	1	PD24NOV17		11680.00	11639.67	USD	241.98	
24AUG17	B	1	PD24NOV17		11690.00	11639.67	USD	-301.98	
24AUG17	S	1	PD24NOV17		11770.00	11639.67	USD	781.98	
24AUG17	B	1	PD24NOV17		11765.00	11639.67	USD	-751.98	
25AUG17	B	1	PD24NOV17		11610.00	11639.67	USD	178.02	
25AUG17	B	1	PD24NOV17		11705.00	11639.67	USD	-391.98	
25AUG17	B	1	PD24NOV17		11650.00	11639.67	USD	-61.98	
25AUG17	S	1	PD24NOV17		11550.00	11639.67	USD	-538.02	
25AUG17	S	1	PD24NOV17		11435.00	11639.67	USD	-1,228.02	
25AUG17	S	1	PD24NOV17		11460.00	11639.67	USD	-1,078.02	
25AUG17	B	1	PD24NOV17		11440.00	11639.67	USD	1,198.02	
	NET	0AVG	BGHT 11575.63	SOLD 11592.81				1,650.00	0.00

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29AUG17	B	1	PD29NOV17	11830.00	11643.10	USD	-1,121.40	
29AUG17	B	3	PD29NOV17	11815.00	11643.10	USD	-3,094.20	
29AUG17	B	2	PD29NOV17	11805.00	11643.10	USD	-1,942.80	
29AUG17	B	2	PD29NOV17	11800.00	11643.10	USD	-1,882.80	
29AUG17	S	1	PD29NOV17	11645.00	11643.10	USD	11.40	
29AUG17	B	1	PD29NOV17	11730.00	11643.10	USD	-521.40	
29AUG17	S	1	PD29NOV17	11850.00	11643.10	USD	1,241.40	
29AUG17	S	1	PD29NOV17	11855.00	11643.10	USD	1,271.40	
29AUG17	S	1	PD29NOV17	11820.00	11643.10	USD	1,061.40	
29AUG17	S	1	PD29NOV17	11685.00	11643.10	USD	251.40	
29AUG17	S	1	PD29NOV17	11720.00	11643.10	USD	461.40	
29AUG17	S	1	PD29NOV17	11700.00	11643.10	USD	341.40	
29AUG17	S	1	PD29NOV17	11660.00	11643.10	USD	101.40	
	NET	+1 AVG BGHT 11801.67	SOLD 11741.88				-3,821.40	0.00
30AUG17	S	1	PD30NOV17	11680.00	11643.79	USD	217.26	
30AUG17	S	1	PD30NOV17	11685.00	11643.79	USD	247.26	
30AUG17	B	1	PD30NOV17	11680.00	11643.79	USD	-217.26	
30AUG17	B	1	PD30NOV17	11690.00	11643.79	USD	-277.26	
30AUG17	B	1	PD30NOV17	11705.00	11643.79	USD	-367.26	
30AUG17	B	1	PD30NOV17	11735.00	11643.79	USD	-547.26	
30AUG17	B	1	PD30NOV17	11760.00	11643.79	USD	-697.26	
30AUG17	B	1	PD30NOV17	11620.00	11643.79	USD	142.74	
30AUG17	B	1	PD30NOV17	11655.00	11643.79	USD	-67.26	
31AUG17	B	1	PD30NOV17	11665.00	11643.79	USD	-127.26	
31AUG17	B	1	PD30NOV17	11655.00	11643.79	USD	-67.26	
31AUG17	S	1	PD30NOV17	11710.00	11643.79	USD	397.26	
31AUG17	B	1	PD30NOV17	11690.00	11643.79	USD	-277.26	
31AUG17	S	1	PD30NOV17	11725.00	11643.79	USD	487.26	
31AUG17	S	1	PD30NOV17	11775.00	11643.79	USD	787.26	
31AUG17	S	1	PD30NOV17	11785.00	11643.79	USD	847.26	
31AUG17	S	1	PD30NOV17	11805.00	11643.79	USD	967.26	
31AUG17	S	1	PD30NOV17	11820.00	11643.79	USD	1,057.26	
31AUG17	S	2	PD30NOV17	11800.00	11643.79	USD	1,874.52	
31AUG17	B	1	PD30NOV17	11770.00	11643.79	USD	-757.26	
31AUG17	B	1	PD30NOV17	11765.00	11643.79	USD	-727.26	
	NET	+2 AVG BGHT 11699.17	SOLD 11758.50				2,895.48	0.00
01SEP17	B	1	PD01DEC17	11785.00	11644.47	USD	-843.18	
01SEP17	S	4	PD01DEC17	12030.00	11644.47	USD	9,252.72	
	NET	-3 AVG BGHT 11785.00	SOLD 12030.00				8,409.54	0.00
04SEP17	B	1	PD04DEC17	12235.00	11646.53	USD	-3,530.82	
04SEP17	B	1	PD04DEC17	12250.00	11646.53	USD	-3,620.82	
04SEP17	B	1	PD04DEC17	12230.00	11646.53	USD	-3,500.82	
04SEP17	B	1	PD04DEC17	12215.00	11646.53	USD	-3,410.82	
04SEP17	B	1	PD04DEC17	12190.00	11646.53	USD	-3,260.82	
04SEP17	S	1	PD04DEC17	12260.00	11646.53	USD	3,680.82	
04SEP17	S	1	PD04DEC17	12265.00	11646.53	USD	3,710.82	
	NET	+3 AVG BGHT 12224.00	SOLD 12262.50				-9,932.46	0.00
05SEP17	S	1	PD05DEC17	12265.00	11647.21	USD	3,706.74	
05SEP17	S	1	PD05DEC17	12320.00	11647.21	USD	4,036.74	
05SEP17	S	1	PD05DEC17	12250.00	11647.21	USD	3,616.74	
05SEP17	B	1	PD05DEC17	12230.00	11647.21	USD	-3,496.74	
05SEP17	B	2	PD05DEC17	12210.00	11647.21	USD	-6,753.48	
05SEP17	B	1	PD05DEC17	12165.00	11647.21	USD	-3,106.74	
05SEP17	S	1	PD05DEC17	12235.00	11647.21	USD	3,526.74	
05SEP17	S	1	PD05DEC17	12255.00	11647.21	USD	3,646.74	
05SEP17	S	1	PD05DEC17	12175.00	11647.21	USD	3,166.74	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0094L

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
05SEP17	S	1	PD05DEC17	12080.00	11647.21	USD	2,596.74	
05SEP17	B	1	PD05DEC17	12040.00	11647.21	USD	-2,356.74	
05SEP17	B	1	PD05DEC17	11955.00	11647.21	USD	-1,846.74	
	NET	-1 AVG BGHT 12135.00	SOLD 12225.71				6,736.74	0.00
06SEP17	B	1	PD06DEC17	12025.00	11647.90	USD	-2,262.60	
06SEP17	B	3	PD06DEC17	12010.00	11647.90	USD	-6,517.80	
06SEP17	B	1	PD06DEC17	12015.00	11647.90	USD	-2,202.60	
06SEP17	B	1	PD06DEC17	12080.00	11647.90	USD	-2,592.60	
06SEP17	B	1	PD06DEC17	12035.00	11647.90	USD	-2,322.60	
06SEP17	B	1	PD06DEC17	12040.00	11647.90	USD	-2,352.60	
06SEP17	B	1	PD06DEC17	12030.00	11647.90	USD	-2,292.60	
06SEP17	B	1	PD06DEC17	12020.00	11647.90	USD	-2,232.60	
06SEP17	S	1	PD06DEC17	12065.00	11647.90	USD	2,502.60	
06SEP17	S	1	PD06DEC17	12070.00	11647.90	USD	2,532.60	
06SEP17	S	1	PD06DEC17	12060.00	11647.90	USD	2,472.60	
06SEP17	S	4	PD06DEC17	12075.00	11647.90	USD	10,250.40	
06SEP17	S	1	PD06DEC17	12080.00	11647.90	USD	2,592.60	
06SEP17	S	1	PD06DEC17	12095.00	11647.90	USD	2,682.60	
06SEP17	S	1	PD06DEC17	12110.00	11647.90	USD	2,772.60	
06SEP17	S	1	PD06DEC17	12135.00	11647.90	USD	2,922.60	
06SEP17	S	1	PD06DEC17	12185.00	11647.90	USD	3,222.60	
	NET	-2 AVG BGHT 12027.50	SOLD 12091.67				9,175.20	0.00
07SEP17	B	1	PD07DEC17	12260.00	11648.59	USD	-3,668.46	
07SEP17	B	2	PD07DEC17	12230.00	11648.59	USD	-6,976.92	
07SEP17	S	1	PD07DEC17	12205.00	11648.59	USD	3,338.46	
07SEP17	B	1	PD07DEC17	12190.00	11648.59	USD	-3,248.46	
07SEP17	B	2	PD07DEC17	12185.00	11648.59	USD	-6,436.92	
07SEP17	B	1	PD07DEC17	12180.00	11648.59	USD	-3,188.46	
07SEP17	B	2	PD07DEC17	12150.00	11648.59	USD	-6,016.92	
07SEP17	B	4	PD07DEC17	12110.00	11648.59	USD	-11,073.84	
07SEP17	B	1	PD07DEC17	12055.00	11648.59	USD	-2,438.46	
07SEP17	S	1	PD07DEC17	12140.00	11648.59	USD	2,948.46	
07SEP17	S	1	PD07DEC17	12155.00	11648.59	USD	3,038.46	
07SEP17	S	1	PD07DEC17	12170.00	11648.59	USD	3,128.46	
07SEP17	B	1	PD07DEC17	12200.00	11648.59	USD	-3,308.46	
13SEP17	S	11	PD07DEC17	11355.62	11648.59	USD	-19,336.02	
	NET	0 AVG BGHT 12163.67	SOLD 11572.12				-53,239.08	0.00
08SEP17	S	1	PD08DEC17	12275.00	11649.27	USD	3,754.38	
08SEP17	S	1	PD08DEC17	12285.00	11649.27	USD	3,814.38	
08SEP17	S	1	PD08DEC17	12295.00	11649.27	USD	3,874.38	
08SEP17	B	2	PD08DEC17	12330.00	11649.27	USD	-8,168.76	
08SEP17	B	1	PD08DEC17	12315.00	11649.27	USD	-3,994.38	
08SEP17	S	1	PD08DEC17	12265.00	11649.27	USD	3,694.38	
08SEP17	S	1	PD08DEC17	12180.00	11649.27	USD	3,184.38	
08SEP17	S	1	PD08DEC17	12160.00	11649.27	USD	3,064.38	
08SEP17	S	1	PD08DEC17	12185.00	11649.27	USD	3,214.38	
08SEP17	S	1	PD08DEC17	12095.00	11649.27	USD	2,674.38	
08SEP17	S	1	PD08DEC17	12070.00	11649.27	USD	2,524.38	
08SEP17	S	1	PD08DEC17	12060.00	11649.27	USD	2,464.38	
08SEP17	S	1	PD08DEC17	12055.00	11649.27	USD	2,434.38	
08SEP17	S	1	PD08DEC17	12010.00	11649.27	USD	2,164.38	
08SEP17	S	2	PD08DEC17	11985.00	11649.27	USD	4,028.76	
08SEP17	S	2	PD08DEC17	11955.00	11649.27	USD	3,668.76	
08SEP17	S	3	PD08DEC17	11940.00	11649.27	USD	5,233.14	
08SEP17	S	4	PD08DEC17	11960.00	11649.27	USD	7,457.52	
08SEP17	S	3	PD08DEC17	11965.00	11649.27	USD	5,683.14	

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
08SEP17	B	1	PD08DEC17	11960.00	11649.27	USD	-1,864.38	
08SEP17	B	1	PD08DEC17	11945.00	11649.27	USD	-1,774.38	
08SEP17	B	2	PD08DEC17	11940.00	11649.27	USD	-3,488.76	
08SEP17	B	1	PD08DEC17	11955.00	11649.27	USD	-1,834.38	
08SEP17	B	1	PD08DEC17	11920.00	11649.27	USD	-1,624.38	
08SEP17	S	1	PD08DEC17	12170.00	11649.27	USD	3,124.38	
08SEP17	S	1	PD08DEC17	11885.00	11649.27	USD	1,414.38	
08SEP17	S	1	PD08DEC17	11865.00	11649.27	USD	1,294.38	
08SEP17	S	1	PD08DEC17	11850.00	11649.27	USD	1,204.38	
08SEP17	S	3	PD08DEC17	11840.00	11649.27	USD	3,433.14	
08SEP17	S	1	PD08DEC17	11835.00	11649.27	USD	1,114.38	
08SEP17	B	2	PD08DEC17	11795.00	11649.27	USD	-1,748.76	
08SEP17	B	1	PD08DEC17	11790.00	11649.27	USD	-844.38	
08SEP17	B	1	PD08DEC17	11780.00	11649.27	USD	-784.38	
08SEP17	B	2	PD08DEC17	11770.00	11649.27	USD	-1,448.76	
08SEP17	B	1	PD08DEC17	11730.00	11649.27	USD	-484.38	
08SEP17	B	1	PD08DEC17	11720.00	11649.27	USD	-424.38	
08SEP17	B	1	PD08DEC17	11715.00	11649.27	USD	-394.38	
08SEP17	B	1	PD08DEC17	11710.00	11649.27	USD	-364.38	
08SEP17	S	1	PD08DEC17	11765.00	11649.27	USD	694.38	
08SEP17	S	1	PD08DEC17	11760.00	11649.27	USD	664.38	
08SEP17	S	1	PD08DEC17	11735.00	11649.27	USD	514.38	
08SEP17	B	2	PD08DEC17	11700.00	11649.27	USD	-608.76	
08SEP17	B	1	PD08DEC17	11690.00	11649.27	USD	-244.38	
08SEP17	B	1	PD08DEC17	11540.00	11649.27	USD	655.62	
08SEP17	S	1	PD08DEC17	11580.00	11649.27	USD	-415.62	
08SEP17	B	1	PD08DEC17	11570.00	11649.27	USD	475.62	
08SEP17	B	1	PD08DEC17	11565.00	11649.27	USD	505.62	
08SEP17	B	1	PD08DEC17	11505.00	11649.27	USD	865.62	
08SEP17	S	2	PD08DEC17	11565.00	11649.27	USD	-1,011.24	
08SEP17	S	1	PD08DEC17	11575.00	11649.27	USD	-445.62	
08SEP17	S	1	PD08DEC17	11590.00	11649.27	USD	-355.62	
08SEP17	S	1	PD08DEC17	11570.00	11649.27	USD	-475.62	
08SEP17	S	1	PD08DEC17	11560.00	11649.27	USD	-535.62	
13SEP17	B	11	PD08DEC17	11357.46	11649.27	USD	19,259.46	
19SEP17	B	7	PD08DEC17	11132.59	11649.27	USD	21,700.56	
	NET	0AVG BGHT 11598.64	SOLD 11926.36				86,518.86	0.00
11SEP17	B	4	PD11DEC17	11480.00	11651.33	USD	4,111.92	
11SEP17	B	1	PD11DEC17	11510.00	11651.33	USD	847.98	
11SEP17	B	1	PD11DEC17	11575.00	11651.33	USD	457.98	
11SEP17	B	2	PD11DEC17	11535.00	11651.33	USD	1,395.96	
11SEP17	B	1	PD11DEC17	11655.00	11651.33	USD	-22.02	
11SEP17	S	1	PD11DEC17	11800.00	11651.33	USD	892.02	
11SEP17	S	1	PD11DEC17	11820.00	11651.33	USD	1,012.02	
11SEP17	S	1	PD11DEC17	11830.00	11651.33	USD	1,072.02	
19SEP17	S	6	PD11DEC17	11132.88	11651.33	USD	-18,664.20	
	NET	0AVG BGHT 11525.56	SOLD 11360.81				-8,896.32	0.00
12SEP17	B	1	PD12DEC17	11675.00	11652.01	USD	-137.94	
12SEP17	B	1	PD12DEC17	11665.00	11652.01	USD	-77.94	
12SEP17	B	2	PD12DEC17	11670.00	11652.01	USD	-215.88	
12SEP17	B	1	PD12DEC17	11705.00	11652.01	USD	-317.94	
12SEP17	B	1	PD12DEC17	11695.00	11652.01	USD	-257.94	
12SEP17	S	1	PD12DEC17	11695.00	11652.01	USD	257.94	
12SEP17	S	1	PD12DEC17	11760.00	11652.01	USD	647.94	
12SEP17	S	1	PD12DEC17	11885.00	11652.01	USD	1,397.94	
12SEP17	S	1	PD12DEC17	11890.00	11652.01	USD	1,427.94	
12SEP17	B	1	PD12DEC17	11880.00	11652.01	USD	-1,367.94	

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12SEP17	S	2	PD12DEC17	11970.00	11652.01	USD	3,815.88	
12SEP17	B	1	PD12DEC17	11925.00	11652.01	USD	-1,637.94	
12SEP17	B	1	PD12DEC17	11930.00	11652.01	USD	-1,667.94	
12SEP17	B	1	PD12DEC17	11910.00	11652.01	USD	-1,547.94	
13OCT17	S	4	PD12DEC17	11651.01	11652.01	USD	-24.00	
	NET	0AVG BGHT 11772.50	SOLD 11777.40				294.24	0.00
13SEP17	B	1	PD13DEC17	11930.00	11652.70	USD	-1,663.80	
13SEP17	B	1	PD13DEC17	11795.00	11652.70	USD	-853.80	
13SEP17	B	1	PD13DEC17	11575.00	11652.70	USD	466.20	
13SEP17	B	1	PD13DEC17	11555.00	11652.70	USD	586.20	
13SEP17	B	1	PD13DEC17	11595.00	11652.70	USD	346.20	
13SEP17	B	2	PD13DEC17	11580.00	11652.70	USD	872.40	
13SEP17	B	1	PD13DEC17	11455.00	11652.70	USD	1,186.20	
13SEP17	B	1	PD13DEC17	11445.00	11652.70	USD	1,246.20	
13SEP17	B	1	PD13DEC17	11405.00	11652.70	USD	1,486.20	
19SEP17	S	10	PD13DEC17	11135.41	11652.70	USD	-31,037.40	
	NET	0AVG BGHT 11591.50	SOLD 11135.41				-27,365.40	0.00
14SEP17	S	1	PD14DEC17	11435.00	11653.39	USD	-1,310.34	
14SEP17	S	3	PD14DEC17	11440.00	11653.39	USD	-3,841.02	
14SEP17	S	1	PD14DEC17	11495.00	11653.39	USD	-950.34	
14SEP17	S	1	PD14DEC17	11460.00	11653.39	USD	-1,160.34	
14SEP17	S	1	PD14DEC17	11330.00	11653.39	USD	-1,940.34	
14SEP17	B	1	PD14DEC17	11285.00	11653.39	USD	2,210.34	
14SEP17	B	1	PD14DEC17	11280.00	11653.39	USD	2,240.34	
14SEP17	B	1	PD14DEC17	11255.00	11653.39	USD	2,390.34	
14SEP17	B	1	PD14DEC17	11265.00	11653.39	USD	2,330.34	
14SEP17	B	1	PD14DEC17	11225.00	11653.39	USD	2,570.34	
14SEP17	B	1	PD14DEC17	11210.00	11653.39	USD	2,660.34	
14SEP17	B	1	PD14DEC17	11195.00	11653.39	USD	2,750.34	
14SEP17	B	1	PD14DEC17	11200.00	11653.39	USD	2,720.34	
14SEP17	S	1	PD14DEC17	11435.00	11653.39	USD	-1,310.34	
14SEP17	S	2	PD14DEC17	11275.00	11653.39	USD	-4,540.68	
14SEP17	S	3	PD14DEC17	11280.00	11653.39	USD	-6,721.02	
14SEP17	S	3	PD14DEC17	11300.00	11653.39	USD	-6,361.02	
14SEP17	S	1	PD14DEC17	11225.00	11653.39	USD	-2,570.34	
14SEP17	S	2	PD14DEC17	11285.00	11653.39	USD	-4,420.68	
14SEP17	S	1	PD14DEC17	11290.00	11653.39	USD	-2,180.34	
14SEP17	B	1	PD14DEC17	11260.00	11653.39	USD	2,360.34	
14SEP17	S	1	PD14DEC17	11285.00	11653.39	USD	-2,210.34	
14SEP17	B	1	PD14DEC17	11220.00	11653.39	USD	2,600.34	
14SEP17	B	1	PD14DEC17	11205.00	11653.39	USD	2,690.34	
19SEP17	B	10	PD14DEC17	11137.18	11653.39	USD	30,972.60	
	NET	0AVG BGHT 11189.13	SOLD 11339.76				18,979.20	0.00
15SEP17	B	1	PD15DEC17	11225.00	11654.07	USD	2,574.42	
15SEP17	S	1	PD15DEC17	11260.00	11654.07	USD	-2,364.42	
15SEP17	B	1	PD15DEC17	11160.00	11654.07	USD	2,964.42	
15SEP17	S	1	PD15DEC17	10995.00	11654.07	USD	-3,954.42	
15SEP17	S	1	PD15DEC17	10935.00	11654.07	USD	-4,314.42	
15SEP17	S	1	PD15DEC17	10940.00	11654.07	USD	-4,284.42	
15SEP17	S	1	PD15DEC17	11040.00	11654.07	USD	-3,684.42	
15SEP17	S	1	PD15DEC17	11050.00	11654.07	USD	-3,624.42	
15SEP17	S	1	PD15DEC17	11000.00	11654.07	USD	-3,924.42	
19SEP17	B	5	PD15DEC17	11137.94	11654.07	USD	15,483.90	
	NET	0AVG BGHT 11153.53	SOLD 11031.43				-5,128.20	0.00
18SEP17	B	1	PD18DEC17	11115.00	11656.13	USD	3,246.78	
18SEP17	B	1	PD18DEC17	11110.00	11656.13	USD	3,276.78	

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18SEP17	B	1	PD18DEC17	11195.00	11656.13	USD	2,766.78	
18SEP17	S	1	PD18DEC17	11185.00	11656.13	USD	-2,826.78	
18SEP17	S	1	PD18DEC17	11180.00	11656.13	USD	-2,856.78	
	NET	+1AVG BGHT 11140.00	SOLD 11182.50				3,606.78	0.00
19SEP17	B	1	PD19DEC17	11140.00	11656.81	USD	3,100.86	
19SEP17	B	1	PD19DEC17	11130.00	11656.81	USD	3,160.86	
19SEP17	B	2	PD19DEC17	11100.00	11656.81	USD	6,681.72	
19SEP17	B	1	PD19DEC17	11095.00	11656.81	USD	3,370.86	
19SEP17	B	1	PD19DEC17	11035.00	11656.81	USD	3,730.86	
19SEP17	S	1	PD19DEC17	11005.00	11656.81	USD	-3,910.86	
19SEP17	B	1	PD19DEC17	11110.00	11656.81	USD	3,280.86	
19SEP17	B	1	PD19DEC17	10965.00	11656.81	USD	4,150.86	
19SEP17	B	1	PD19DEC17	10980.00	11656.81	USD	4,060.86	
19SEP17	B	1	PD19DEC17	11005.00	11656.81	USD	3,910.86	
19SEP17	S	1	PD19DEC17	11210.00	11656.81	USD	-2,680.86	
19SEP17	S	1	PD19DEC17	11210.00	11656.81	USD	-2,680.86	
13OCT17	S	7	PD19DEC17	11656.81	11656.81	USD	0.00	
	NET	0AVG BGHT 11066.00	SOLD 11502.27				26,176.02	0.00
20SEP17	B	2	PD20DEC17	11285.00	11657.50	USD	4,470.00	
20SEP17	B	1	PD20DEC17	11385.00	11657.50	USD	1,635.00	
20SEP17	S	1	PD20DEC17	11375.00	11657.50	USD	-1,695.00	
20SEP17	S	3	PD20DEC17	11380.00	11657.50	USD	-4,995.00	
20SEP17	S	1	PD20DEC17	11355.00	11657.50	USD	-1,815.00	
20SEP17	S	1	PD20DEC17	11305.00	11657.50	USD	-2,115.00	
20SEP17	S	1	PD20DEC17	11345.00	11657.50	USD	-1,875.00	
20SEP17	S	1	PD20DEC17	11350.00	11657.50	USD	-1,845.00	
20SEP17	S	3	PD20DEC17	11365.00	11657.50	USD	-5,265.00	
13OCT17	B	7	PD20DEC17	11658.50	11657.50	USD	-42.00	
	NET	-1AVG BGHT 11556.45	SOLD 11360.45				-13,542.00	0.00
21SEP17	S	1	PD21DEC17	11255.00	11658.26	USD	-2,419.56	
21SEP17	B	1	PD21DEC17	11200.00	11658.26	USD	2,749.56	
21SEP17	B	1	PD21DEC17	11205.00	11658.26	USD	2,719.56	
21SEP17	B	2	PD21DEC17	11210.00	11658.26	USD	5,379.12	
21SEP17	S	1	PD21DEC17	11115.00	11658.26	USD	-3,259.56	
21SEP17	B	1	PD21DEC17	11120.00	11658.26	USD	3,229.56	
21SEP17	B	1	PD21DEC17	11035.00	11658.26	USD	3,739.56	
21SEP17	S	1	PD21DEC17	11035.00	11658.26	USD	-3,739.56	
21SEP17	B	2	PD21DEC17	10980.00	11658.26	USD	8,139.12	
21SEP17	B	1	PD21DEC17	10985.00	11658.26	USD	4,039.56	
21SEP17	B	1	PD21DEC17	10995.00	11658.26	USD	3,979.56	
21SEP17	B	3	PD21DEC17	11005.00	11658.26	USD	11,758.68	
21SEP17	B	1	PD21DEC17	10945.00	11658.26	USD	4,279.56	
21SEP17	B	2	PD21DEC17	11080.00	11658.26	USD	6,939.12	
21SEP17	B	2	PD21DEC17	11110.00	11658.26	USD	6,579.12	
21SEP17	B	2	PD21DEC17	11090.00	11658.26	USD	6,819.12	
21SEP17	B	2	PD21DEC17	11085.00	11658.26	USD	6,879.12	
21SEP17	B	1	PD21DEC17	11015.00	11658.26	USD	3,859.56	
21SEP17	B	1	PD21DEC17	10920.00	11658.26	USD	4,429.56	
21SEP17	B	1	PD21DEC17	10845.00	11658.26	USD	4,879.56	
21SEP17	S	1	PD21DEC17	10805.00	11658.26	USD	-5,119.56	
21SEP17	S	1	PD21DEC17	10720.00	11658.26	USD	-5,629.56	
21SEP17	S	1	PD21DEC17	10640.00	11658.26	USD	-6,109.56	
21SEP17	S	1	PD21DEC17	10645.00	11658.26	USD	-6,079.56	
21SEP17	S	1	PD21DEC17	10650.00	11658.26	USD	-6,049.56	
21SEP17	S	1	PD21DEC17	10765.00	11658.26	USD	-5,359.56	
21SEP17	S	1	PD21DEC17	10830.00	11658.26	USD	-4,969.56	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0094L

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
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+44 (0) 20 7340 9081
backoffice@sapiencap.com

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>								
21SEP17	S	1	PD21DEC17		10750.00	11658.26	USD	-5,449.56	
21SEP17	S	1	PD21DEC17		10725.00	11658.26	USD	-5,599.56	
13OCT17	S	9	PD21DEC17		11658.26	11658.26	USD	0.00	
13OCT17	S	4	PD21DEC17		11657.26	11658.26	USD	-24.00	
	NET	0 AVG	BGHT 11055.60	SOLD 11259.54				30,590.28	0.00
22SEP17	B	1	PD22DEC17		10725.00	11659.02	USD	5,604.12	
22SEP17	S	1	PD22DEC17		10775.00	11659.02	USD	-5,304.12	
22SEP17	B	1	PD22DEC17		10795.00	11659.02	USD	5,184.12	
22SEP17	B	2	PD22DEC17		10770.00	11659.02	USD	10,668.24	
22SEP17	S	1	PD22DEC17		10695.00	11659.02	USD	-5,784.12	
22SEP17	B	1	PD22DEC17		10655.00	11659.02	USD	6,024.12	
22SEP17	S	1	PD22DEC17		10610.00	11659.02	USD	-6,294.12	
22SEP17	S	1	PD22DEC17		10540.00	11659.02	USD	-6,714.12	
22SEP17	S	3	PD22DEC17		10535.00	11659.02	USD	-20,232.36	
22SEP17	S	1	PD22DEC17		10535.00	11659.02	USD	-6,744.12	
22SEP17	S	2	PD22DEC17		10585.00	11659.02	USD	-12,888.24	
22SEP17	B	1	PD22DEC17		10570.00	11659.02	USD	6,534.12	
22SEP17	B	1	PD22DEC17		10600.00	11659.02	USD	6,354.12	
22SEP17	B	1	PD22DEC17		10585.00	11659.02	USD	6,444.12	
22SEP17	B	2	PD22DEC17		10605.00	11659.02	USD	12,648.24	
22SEP17	B	1	PD22DEC17		10595.00	11659.02	USD	6,384.12	
22SEP17	B	3	PD22DEC17		10580.00	11659.02	USD	19,422.36	
22SEP17	B	2	PD22DEC17		10575.00	11659.02	USD	13,008.24	
22SEP17	B	1	PD22DEC17		10530.00	11659.02	USD	6,774.12	
22SEP17	B	1	PD22DEC17		10470.00	11659.02	USD	7,134.12	
22SEP17	B	1	PD22DEC17		10455.00	11659.02	USD	7,224.12	
22SEP17	B	1	PD22DEC17		10535.00	11659.02	USD	6,744.12	
13OCT17	B	10	PD22DEC17		11659.02	11659.02	USD	0.00	
	NET	+20 AVG	BGHT 10958.17	SOLD 10593.00				62,191.20	0.00
25SEP17	S	1	PD27DEC17		10585.00	11662.83	USD	-6,466.98	
25SEP17	S	1	PD27DEC17		10535.00	11662.83	USD	-6,766.98	
25SEP17	S	1	PD27DEC17		10625.00	11662.83	USD	-6,226.98	
25SEP17	S	6	PD27DEC17		10620.00	11662.83	USD	-37,541.88	
25SEP17	S	1	PD27DEC17		10520.00	11662.83	USD	-6,856.98	
25SEP17	B	1	PD27DEC17		10510.00	11662.83	USD	6,916.98	
25SEP17	B	1	PD27DEC17		10520.00	11662.83	USD	6,856.98	
25SEP17	B	1	PD27DEC17		10495.00	11662.83	USD	7,006.98	
25SEP17	B	2	PD27DEC17		10525.00	11662.83	USD	13,653.96	
25SEP17	B	2	PD27DEC17		10530.00	11662.83	USD	13,593.96	
25SEP17	S	1	PD27DEC17		10550.00	11662.83	USD	-6,676.98	
25SEP17	S	2	PD27DEC17		10560.00	11662.83	USD	-13,233.96	
25SEP17	S	1	PD27DEC17		10565.00	11662.83	USD	-6,586.98	
25SEP17	S	1	PD27DEC17		10590.00	11662.83	USD	-6,436.98	
25SEP17	S	3	PD27DEC17		10570.00	11662.83	USD	-19,670.94	
25SEP17	S	1	PD27DEC17		10575.00	11662.83	USD	-6,526.98	
25SEP17	B	1	PD27DEC17		10630.00	11662.83	USD	6,196.98	
25SEP17	S	2	PD27DEC17		10595.00	11662.83	USD	-12,813.96	
25SEP17	B	1	PD27DEC17		10585.00	11662.83	USD	6,466.98	
25SEP17	S	1	PD27DEC17		10575.00	11662.83	USD	-6,526.98	
26SEP17	B	1	PD27DEC17		10615.00	11662.83	USD	6,286.98	
26SEP17	B	1	PD27DEC17		10630.00	11662.83	USD	6,196.98	
26SEP17	S	1	PD27DEC17		10660.00	11662.83	USD	-6,016.98	
26SEP17	S	2	PD27DEC17		10655.00	11662.83	USD	-12,093.96	
26SEP17	S	1	PD27DEC17		10640.00	11662.83	USD	-6,136.98	
26SEP17	S	1	PD27DEC17		10620.00	11662.83	USD	-6,256.98	
26SEP17	B	1	PD27DEC17		10490.00	11662.83	USD	7,036.98	
26SEP17	B	1	PD27DEC17		10480.00	11662.83	USD	7,096.98	

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
26SEP17	B	2	PD27DEC17	10475.00	11662.83	USD	14,253.96	
26SEP17	B	1	PD27DEC17	10465.00	11662.83	USD	7,186.98	
26SEP17	B	1	PD27DEC17	10445.00	11662.83	USD	7,306.98	
26SEP17	B	2	PD27DEC17	10450.00	11662.83	USD	14,553.96	
26SEP17	B	1	PD27DEC17	10415.00	11662.83	USD	7,486.98	
26SEP17	B	2	PD27DEC17	10505.00	11662.83	USD	13,893.96	
26SEP17	B	3	PD27DEC17	10500.00	11662.83	USD	20,930.94	
26SEP17	B	3	PD27DEC17	10495.00	11662.83	USD	21,020.94	
27SEP17	B	1	PD27DEC17	10500.00	11662.83	USD	6,976.98	
27SEP17	S	2	PD27DEC17	10485.00	11662.83	USD	-14,133.96	
27SEP17	S	1	PD27DEC17	10450.00	11662.83	USD	-7,276.98	
27SEP17	S	1	PD27DEC17	10445.00	11662.83	USD	-7,306.98	
27SEP17	S	1	PD27DEC17	10460.00	11662.83	USD	-7,216.98	
27SEP17	S	1	PD27DEC17	10475.00	11662.83	USD	-7,126.98	
27SEP17	B	1	PD27DEC17	10475.00	11662.83	USD	7,126.98	
27SEP17	S	1	PD27DEC17	10490.00	11662.83	USD	-7,036.98	
27SEP17	S	2	PD27DEC17	10505.00	11662.83	USD	-13,893.96	
27SEP17	S	1	PD27DEC17	10515.00	11662.83	USD	-6,886.98	
27SEP17	S	1	PD27DEC17	10520.00	11662.83	USD	-6,856.98	
27SEP17	S	1	PD27DEC17	10560.00	11662.83	USD	-6,616.98	
27SEP17	S	1	PD27DEC17	10575.00	11662.83	USD	-6,526.98	
27SEP17	S	1	PD27DEC17	10590.00	11662.83	USD	-6,436.98	
27SEP17	S	1	PD27DEC17	10595.00	11662.83	USD	-6,406.98	
27SEP17	S	1	PD27DEC17	10480.00	11662.83	USD	-7,096.98	
27SEP17	S	1	PD27DEC17	10485.00	11662.83	USD	-7,066.98	
27SEP17	S	1	PD27DEC17	10530.00	11662.83	USD	-6,796.98	
27SEP17	S	1	PD27DEC17	10535.00	11662.83	USD	-6,766.98	
27SEP17	S	2	PD27DEC17	10550.00	11662.83	USD	-13,353.96	
27SEP17	B	2	PD27DEC17	10490.00	11662.83	USD	14,073.96	
27SEP17	B	1	PD27DEC17	10460.00	11662.83	USD	7,216.98	
27SEP17	S	1	PD27DEC17	10410.00	11662.83	USD	-7,516.98	
27SEP17	S	1	PD27DEC17	10380.00	11662.83	USD	-7,696.98	
27SEP17	S	1	PD27DEC17	10375.00	11662.83	USD	-7,726.98	
27SEP17	S	1	PD27DEC17	10365.00	11662.83	USD	-7,786.98	
27SEP17	B	1	PD27DEC17	10350.00	11662.83	USD	7,876.98	
27SEP17	B	2	PD27DEC17	10360.00	11662.83	USD	15,633.96	
27SEP17	S	1	PD27DEC17	10340.00	11662.83	USD	-7,936.98	
27SEP17	S	1	PD27DEC17	10325.00	11662.83	USD	-8,026.98	
27SEP17	B	1	PD27DEC17	10390.00	11662.83	USD	7,636.98	
27SEP17	B	1	PD27DEC17	10395.00	11662.83	USD	7,606.98	
27SEP17	S	1	PD27DEC17	10560.00	11662.83	USD	-6,616.98	
27SEP17	S	1	PD27DEC17	10300.00	11662.83	USD	-8,176.98	
27SEP17	B	1	PD27DEC17	10315.00	11662.83	USD	8,086.98	
27SEP17	S	1	PD27DEC17	10290.00	11662.83	USD	-8,236.98	
27SEP17	S	1	PD27DEC17	10270.00	11662.83	USD	-8,356.98	
27SEP17	S	2	PD27DEC17	10265.00	11662.83	USD	-16,773.96	
27SEP17	B	1	PD27DEC17	10220.00	11662.83	USD	8,656.98	
27SEP17	B	1	PD27DEC17	10235.00	11662.83	USD	8,566.98	
27SEP17	B	1	PD27DEC17	10225.00	11662.83	USD	8,626.98	
27SEP17	B	1	PD27DEC17	10230.00	11662.83	USD	8,596.98	
27SEP17	S	1	PD27DEC17	10255.00	11662.83	USD	-8,446.98	
27SEP17	B	1	PD27DEC17	10370.00	11662.83	USD	7,756.98	
27SEP17	B	2	PD27DEC17	10365.00	11662.83	USD	15,573.96	
27SEP17	B	1	PD27DEC17	10345.00	11662.83	USD	7,906.98	
13OCT17	B	4	PD27DEC17	11663.83	11662.83	USD	-24.00	
13OCT17	S	10	PD27DEC17	11661.83	11662.83	USD	-60.00	
NET	-20 AVG BGHT 10545.69 SOLD 10674.55						-79,161.72	0.00

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
28SEP17	B	1	PD28DEC17	10300.00	11663.59	USD	8,181.54	
28SEP17	S	1	PD28DEC17	10280.00	11663.59	USD	-8,301.54	
28SEP17	B	1	PD28DEC17	10330.00	11663.59	USD	8,001.54	
28SEP17	B	1	PD28DEC17	10350.00	11663.59	USD	7,881.54	
28SEP17	B	1	PD28DEC17	10280.00	11663.59	USD	8,301.54	
28SEP17	B	2	PD28DEC17	10285.00	11663.59	USD	16,543.08	
28SEP17	S	2	PD28DEC17	10235.00	11663.59	USD	-17,143.08	
28SEP17	S	3	PD28DEC17	10255.00	11663.59	USD	-25,354.62	
28SEP17	S	2	PD28DEC17	10260.00	11663.59	USD	-16,843.08	
28SEP17	B	2	PD28DEC17	10290.00	11663.59	USD	16,483.08	
28SEP17	B	1	PD28DEC17	10325.00	11663.59	USD	8,031.54	
28SEP17	B	3	PD28DEC17	10310.00	11663.59	USD	24,364.62	
28SEP17	B	2	PD28DEC17	10335.00	11663.59	USD	15,943.08	
28SEP17	B	1	PD28DEC17	10445.00	11663.59	USD	7,311.54	
13OCT17	S	4	PD28DEC17	11662.59	11663.59	USD	-24.00	
	NET	+3 AVG BGHT 10318.67	SOLD 10723.78				53,376.78	0.00
29SEP17	S	2	PD29DEC17	10515.00	11664.35	USD	-13,792.20	
29SEP17	S	1	PD29DEC17	10485.00	11664.35	USD	-7,076.10	
29SEP17	S	3	PD29DEC17	10475.00	11664.35	USD	-21,408.30	
29SEP17	S	3	PD29DEC17	10480.00	11664.35	USD	-21,318.30	
29SEP17	S	1	PD29DEC17	10470.00	11664.35	USD	-7,166.10	
29SEP17	B	1	PD29DEC17	10450.00	11664.35	USD	7,286.10	
29SEP17	B	1	PD29DEC17	10445.00	11664.35	USD	7,316.10	
29SEP17	B	1	PD29DEC17	10435.00	11664.35	USD	7,376.10	
29SEP17	B	1	PD29DEC17	10430.00	11664.35	USD	7,406.10	
29SEP17	B	1	PD29DEC17	10465.00	11664.35	USD	7,196.10	
29SEP17	B	1	PD29DEC17	10485.00	11664.35	USD	7,076.10	
29SEP17	B	1	PD29DEC17	10475.00	11664.35	USD	7,136.10	
29SEP17	B	1	PD29DEC17	10480.00	11664.35	USD	7,106.10	
29SEP17	B	2	PD29DEC17	10490.00	11664.35	USD	14,092.20	
29SEP17	B	2	PD29DEC17	10470.00	11664.35	USD	14,332.20	
	NET	+2 AVG BGHT 10465.42	SOLD 10485.00				15,562.20	0.00
09OCT17	B	1	PD09JAN18	10730.00	11672.72	USD	5,656.32	
09OCT17	B	1	PD09JAN18	10750.00	11672.72	USD	5,536.32	
09OCT17	B	1	PD09JAN18	10735.00	11672.72	USD	5,626.32	
09OCT17	B	1	PD09JAN18	10745.00	11672.72	USD	5,566.32	
09OCT17	S	2	PD09JAN18	10610.00	11672.72	USD	-12,752.64	
09OCT17	S	1	PD09JAN18	10620.00	11672.72	USD	-6,316.32	
09OCT17	B	2	PD09JAN18	10740.00	11672.72	USD	11,192.64	
09OCT17	S	2	PD09JAN18	10770.00	11672.72	USD	-10,832.64	
09OCT17	S	1	PD09JAN18	10775.00	11672.72	USD	-5,386.32	
09OCT17	S	1	PD09JAN18	10765.00	11672.72	USD	-5,446.32	
09OCT17	B	1	PD09JAN18	10765.00	11672.72	USD	5,446.32	
09OCT17	B	1	PD09JAN18	10770.00	11672.72	USD	5,416.32	
09OCT17	B	1	PD09JAN18	10780.00	11672.72	USD	5,356.32	
09OCT17	B	1	PD09JAN18	10735.00	11672.72	USD	5,626.32	
09OCT17	S	1	PD09JAN18	10830.00	11672.72	USD	-5,056.32	
09OCT17	S	1	PD09JAN18	10820.00	11672.72	USD	-5,116.32	
09OCT17	S	1	PD09JAN18	10815.00	11672.72	USD	-5,146.32	
09OCT17	S	1	PD09JAN18	10865.00	11672.72	USD	-4,846.32	
09OCT17	S	1	PD09JAN18	10895.00	11672.72	USD	-4,666.32	
09OCT17	S	1	PD09JAN18	10910.00	11672.72	USD	-4,576.32	
09OCT17	S	1	PD09JAN18	10900.00	11672.72	USD	-4,636.32	
09OCT17	S	2	PD09JAN18	10915.00	11672.72	USD	-9,092.64	
09OCT17	S	1	PD09JAN18	10910.00	11672.72	USD	-4,576.32	
09OCT17	B	1	PD09JAN18	10875.00	11672.72	USD	4,786.32	
09OCT17	S	1	PD09JAN18	10970.00	11672.72	USD	-4,216.32	

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09OCT17	S	1	PD09JAN18	10975.00	11672.72	USD	-4,186.32	
09OCT17	S	1	PD09JAN18	10950.00	11672.72	USD	-4,336.32	
13OCT17	B	9	PD09JAN18	11673.72	11672.72	USD	-54.00	
	NET	0 AVG	BGHT 11171.42				-41,030.88	0.00
			SOLD 10829.50					
10OCT17	B	1	PD10JAN18	10975.00	11673.48	USD	4,190.88	
10OCT17	B	2	PD10JAN18	10965.00	11673.48	USD	8,501.76	
10OCT17	B	1	PD10JAN18	10970.00	11673.48	USD	4,220.88	
10OCT17	B	1	PD10JAN18	10960.00	11673.48	USD	4,280.88	
10OCT17	B	1	PD10JAN18	10945.00	11673.48	USD	4,370.88	
10OCT17	B	1	PD10JAN18	10950.00	11673.48	USD	4,340.88	
10OCT17	S	1	PD10JAN18	10905.00	11673.48	USD	-4,610.88	
10OCT17	S	1	PD10JAN18	10875.00	11673.48	USD	-4,790.88	
10OCT17	S	1	PD10JAN18	10830.00	11673.48	USD	-5,060.88	
10OCT17	B	1	PD10JAN18	11005.00	11673.48	USD	4,010.88	
10OCT17	B	1	PD10JAN18	11015.00	11673.48	USD	3,950.88	
10OCT17	S	2	PD10JAN18	11045.00	11673.48	USD	-7,541.76	
10OCT17	S	1	PD10JAN18	11045.00	11673.48	USD	-3,770.88	
10OCT17	S	1	PD10JAN18	11050.00	11673.48	USD	-3,740.88	
	NET	+2 AVG	BGHT 10972.22				8,351.76	0.00
			SOLD 10970.71					
11OCT17	S	1	PD11JAN18	10995.00	11674.24	USD	-4,075.44	
11OCT17	S	1	PD11JAN18	10985.00	11674.24	USD	-4,135.44	
11OCT17	S	1	PD11JAN18	10990.00	11674.24	USD	-4,105.44	
11OCT17	S	1	PD11JAN18	11015.00	11674.24	USD	-3,955.44	
11OCT17	S	1	PD11JAN18	10965.00	11674.24	USD	-4,255.44	
11OCT17	S	1	PD11JAN18	10895.00	11674.24	USD	-4,675.44	
11OCT17	B	1	PD11JAN18	11090.00	11674.24	USD	3,505.44	
11OCT17	B	1	PD11JAN18	11075.00	11674.24	USD	3,595.44	
11OCT17	S	1	PD11JAN18	11120.00	11674.24	USD	-3,325.44	
11OCT17	S	2	PD11JAN18	11145.00	11674.24	USD	-6,350.88	
11OCT17	B	1	PD11JAN18	11195.00	11674.24	USD	2,875.44	
11OCT17	B	1	PD11JAN18	11205.00	11674.24	USD	2,815.44	
11OCT17	S	1	PD11JAN18	11235.00	11674.24	USD	-2,635.44	
11OCT17	S	1	PD11JAN18	11225.00	11674.24	USD	-2,695.44	
11OCT17	S	1	PD11JAN18	11230.00	11674.24	USD	-2,665.44	
	NET	-8 AVG	BGHT 11141.25				-30,083.52	0.00
			SOLD 11078.75					
12OCT17	B	1	PD12JAN18	11170.00	11675.00	USD	3,030.00	
12OCT17	B	1	PD12JAN18	11175.00	11675.00	USD	3,000.00	
12OCT17	B	1	PD12JAN18	11180.00	11675.00	USD	2,970.00	
12OCT17	S	1	PD12JAN18	11170.00	11675.00	USD	-3,030.00	
12OCT17	B	1	PD12JAN18	11195.00	11675.00	USD	2,880.00	
12OCT17	B	1	PD12JAN18	11215.00	11675.00	USD	2,760.00	
12OCT17	B	1	PD12JAN18	11225.00	11675.00	USD	2,700.00	
12OCT17	B	1	PD12JAN18	11235.00	11675.00	USD	2,640.00	
12OCT17	B	1	PD12JAN18	11240.00	11675.00	USD	2,610.00	
12OCT17	B	2	PD12JAN18	11260.00	11675.00	USD	4,980.00	
12OCT17	B	1	PD12JAN18	11365.00	11675.00	USD	1,860.00	
12OCT17	B	1	PD12JAN18	11360.00	11675.00	USD	1,890.00	
12OCT17	S	1	PD12JAN18	11330.00	11675.00	USD	-2,070.00	
12OCT17	S	1	PD12JAN18	11325.00	11675.00	USD	-2,100.00	
12OCT17	B	1	PD12JAN18	11340.00	11675.00	USD	2,010.00	
12OCT17	S	1	PD12JAN18	11360.00	11675.00	USD	-1,890.00	
12OCT17	B	1	PD12JAN18	11375.00	11675.00	USD	1,800.00	
12OCT17	B	1	PD12JAN18	11420.00	11675.00	USD	1,530.00	
12OCT17	B	1	PD12JAN18	11425.00	11675.00	USD	1,500.00	
12OCT17	B	1	PD12JAN18	11400.00	11675.00	USD	1,650.00	
12OCT17	B	1	PD12JAN18	11405.00	11675.00	USD	1,620.00	

OPEN POSITION STATEMENT



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SAP0094L

13th October 2017

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
12OCT17	S	1	PD12JAN18	11400.00	11675.00	USD	-1,650.00	
13OCT17	S	2	PD12JAN18	11605.00	11675.00	USD	-840.00	
13OCT17	S	1	PD12JAN18	11585.00	11675.00	USD	-540.00	
13OCT17	S	1	PD12JAN18	11640.00	11675.00	USD	-210.00	
13OCT17	S	3	PD12JAN18	11610.00	11675.00	USD	-1,170.00	
13OCT17	S	1	PD12JAN18	11615.00	11675.00	USD	-360.00	
13OCT17	S	1	PD12JAN18	11635.00	11675.00	USD	-240.00	
13OCT17	S	2	PD12JAN18	11610.00	11675.00	USD	-780.00	
13OCT17	S	1	PD12JAN18	11605.00	11675.00	USD	-420.00	
13OCT17	S	1	PD12JAN18	11640.00	11675.00	USD	-210.00	
13OCT17	S	1	PD12JAN18	11700.00	11675.00	USD	150.00	
13OCT17	S	1	PD12JAN18	11635.00	11675.00	USD	-240.00	
	NET	-2 AVG BGHT	11291.39	SOLD	11545.00		25,830.00	0.00
	<u>LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
20JUL17	S	1	PD20OCT17	20090.00	20730.00	USD	-3,200.00	
20JUL17	S	1	PD20OCT17	20105.00	20730.00	USD	-3,125.00	
20JUL17	B	1	PD20OCT17	20095.00	20730.00	USD	3,175.00	
21JUL17	S	1	PD20OCT17	20150.00	20730.00	USD	-2,900.00	
08AUG17	B	2	PD20OCT17	20225.60	20730.00	USD	5,044.00	
09AUG17	S	1	PD20OCT17	20226.88	20730.00	USD	-2,515.60	
13SEP17	B	1	PD20OCT17	20567.50	20730.00	USD	812.50	
	NET	0 AVG BGHT	20278.43	SOLD	20142.97		-2,709.10	0.00
24JUL17	B	2	PD24OCT17	20250.00	20725.00	USD	4,750.00	
24JUL17	B	1	PD24OCT17	20155.00	20725.00	USD	2,850.00	
24JUL17	B	1	PD24OCT17	20075.00	20725.00	USD	3,250.00	
24JUL17	B	1	PD24OCT17	20220.00	20725.00	USD	2,525.00	
08AUG17	S	4	PD24OCT17	20223.20	20725.00	USD	-10,036.00	
08AUG17	S	2	PD24OCT17	20222.20	20725.00	USD	-5,028.00	
09AUG17	B	1	PD24OCT17	20224.75	20725.00	USD	2,501.25	
09AUG17	B	3	PD24OCT17	20223.75	20725.00	USD	7,518.75	
13SEP17	S	1	PD24OCT17	20564.00	20725.00	USD	-805.00	
13SEP17	S	2	PD24OCT17	20565.00	20725.00	USD	-1,600.00	
	NET	0 AVG BGHT	20205.11	SOLD	20336.80		5,926.00	0.00
25JUL17	B	1	PD25OCT17	20185.00	20722.50	USD	2,687.50	
25JUL17	S	1	PD25OCT17	20330.00	20722.50	USD	-1,962.50	
25JUL17	B	1	PD25OCT17	20340.00	20722.50	USD	1,912.50	
13SEP17	S	1	PD25OCT17	20563.75	20722.50	USD	-793.75	
	NET	0 AVG BGHT	20262.50	SOLD	20446.88		1,843.75	0.00
26JUL17	S	1	PD26OCT17	20285.00	20720.00	USD	-2,175.00	
26JUL17	S	2	PD26OCT17	20325.00	20720.00	USD	-3,950.00	
26JUL17	B	1	PD26OCT17	20225.00	20720.00	USD	2,475.00	
26JUL17	S	1	PD26OCT17	20300.00	20720.00	USD	-2,100.00	
26JUL17	S	1	PD26OCT17	20315.00	20720.00	USD	-2,025.00	
26JUL17	S	1	PD26OCT17	20310.00	20720.00	USD	-2,050.00	
26JUL17	B	1	PD26OCT17	20370.00	20720.00	USD	1,750.00	
26JUL17	B	1	PD26OCT17	20375.00	20720.00	USD	1,725.00	
26JUL17	B	2	PD26OCT17	20370.00	20720.00	USD	3,500.00	
26JUL17	S	1	PD26OCT17	20360.00	20720.00	USD	-1,800.00	
26JUL17	B	1	PD26OCT17	20365.00	20720.00	USD	1,775.00	
26JUL17	S	1	PD26OCT17	20345.00	20720.00	USD	-1,875.00	
13SEP17	B	1	PD26OCT17	20562.50	20720.00	USD	787.50	
13SEP17	B	1	PD26OCT17	20563.50	20720.00	USD	782.50	
	NET	0 AVG BGHT	20400.13	SOLD	20320.63		-3,180.00	0.00
27JUL17	B	2	PD27OCT17	20400.00	20717.50	USD	3,175.00	

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	<u>LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
27JUL17	S	1	PD27OCT17	20740.00	20717.50	USD	112.50	
27JUL17	S	1	PD27OCT17	20750.00	20717.50	USD	162.50	
28JUL17	S	1	PD27OCT17	20740.00	20717.50	USD	112.50	
28JUL17	S	1	PD27OCT17	20695.00	20717.50	USD	-112.50	
28JUL17	S	1	PD27OCT17	20755.00	20717.50	USD	187.50	
28JUL17	B	1	PD27OCT17	20755.00	20717.50	USD	-187.50	
28JUL17	S	1	PD27OCT17	20820.00	20717.50	USD	512.50	
28JUL17	S	1	PD27OCT17	20855.00	20717.50	USD	687.50	
28JUL17	B	2	PD27OCT17	20700.00	20717.50	USD	175.00	
28JUL17	B	1	PD27OCT17	20625.00	20717.50	USD	462.50	
28JUL17	S	1	PD27OCT17	20635.00	20717.50	USD	-412.50	
08AUG17	B	4	PD27OCT17	20220.60	20717.50	USD	9,938.00	
09AUG17	S	3	PD27OCT17	20218.06	20717.50	USD	-7,491.60	
13SEP17	B	1	PD27OCT17	20561.25	20717.50	USD	781.25	
	NET	0 AVG BGHT 20456.70	SOLD 20604.02				8,102.65	0.00
31JUL17	S	1	PD31OCT17	20845.00	20712.50	USD	662.50	
31JUL17	S	1	PD31OCT17	20835.00	20712.50	USD	612.50	
31JUL17	B	2	PD31OCT17	20635.00	20712.50	USD	775.00	
	NET	0 AVG BGHT 20635.00	SOLD 20840.00				2,050.00	0.00
01AUG17	S	1	PD01NOV17	20630.00	20710.00	USD	-400.00	
01AUG17	S	1	PD01NOV17	20635.00	20710.00	USD	-375.00	
01AUG17	B	1	PD01NOV17	20485.00	20710.00	USD	1,125.00	
01AUG17	B	1	PD01NOV17	20380.00	20710.00	USD	1,650.00	
01AUG17	B	2	PD01NOV17	20500.00	20710.00	USD	2,100.00	
01AUG17	S	1	PD01NOV17	20490.00	20710.00	USD	-1,100.00	
01AUG17	S	1	PD01NOV17	20525.00	20710.00	USD	-925.00	
	NET	0 AVG BGHT 20466.25	SOLD 20570.00				2,075.00	0.00
03AUG17	S	1	PD03NOV17	20625.00	20705.00	USD	-400.00	
03AUG17	B	1	PD03NOV17	20650.00	20705.00	USD	275.00	
	NET	0 AVG BGHT 20650.00	SOLD 20625.00				-125.00	0.00
07AUG17	B	1	PD07NOV17	20600.00	20700.00	USD	500.00	
07AUG17	B	1	PD07NOV17	20605.00	20700.00	USD	475.00	
23AUG17	S	1	PD07NOV17	20566.92	20700.00	USD	-665.40	
13SEP17	S	1	PD07NOV17	20552.50	20700.00	USD	-737.50	
	NET	0 AVG BGHT 20602.50	SOLD 20559.71				-427.90	0.00
08AUG17	B	1	PD08NOV17	20350.00	20697.50	USD	1,737.50	
08AUG17	B	1	PD08NOV17	20240.00	20697.50	USD	2,287.50	
08AUG17	B	1	PD08NOV17	20340.00	20697.50	USD	1,787.50	
08AUG17	S	1	PD08NOV17	20210.00	20697.50	USD	-2,437.50	
23AUG17	S	1	PD08NOV17	20565.77	20697.50	USD	-658.65	
13SEP17	S	1	PD08NOV17	20551.25	20697.50	USD	-731.25	
	NET	0 AVG BGHT 20310.00	SOLD 20442.34				1,985.10	0.00
09AUG17	B	1	PD09NOV17	20370.00	20695.00	USD	1,625.00	
13SEP17	S	1	PD09NOV17	20550.00	20695.00	USD	-725.00	
	NET	0 AVG BGHT 20370.00	SOLD 20550.00				900.00	0.00
10AUG17	B	1	PD10NOV17	20300.00	20692.50	USD	1,962.50	
10AUG17	S	1	PD10NOV17	20315.00	20692.50	USD	-1,887.50	
10AUG17	S	1	PD10NOV17	20325.00	20692.50	USD	-1,837.50	
11AUG17	S	1	PD10NOV17	20185.00	20692.50	USD	-2,537.50	
11AUG17	S	1	PD10NOV17	20210.00	20692.50	USD	-2,412.50	
23AUG17	B	1	PD10NOV17	20564.46	20692.50	USD	640.20	
13SEP17	B	2	PD10NOV17	20549.75	20692.50	USD	1,427.50	
	NET	0 AVG BGHT 20490.99	SOLD 20258.75				-4,644.80	0.00
14AUG17	S	1	PD14NOV17	20260.00	20687.50	USD	-2,137.50	
13SEP17	B	1	PD14NOV17	20546.25	20687.50	USD	706.25	

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	<u>LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>								
	NET	0 AVG	BGHT	20546.25	SOLD	20260.00		-1,431.25	0.00
15AUG17	S	1	PD15NOV17		20350.00	20685.00	USD	-1,675.00	
15AUG17	B	1	PD15NOV17		20210.00	20685.00	USD	2,375.00	
15AUG17	B	1	PD15NOV17		19800.00	20685.00	USD	4,425.00	
15AUG17	B	1	PD15NOV17		20010.00	20685.00	USD	3,375.00	
15AUG17	S	1	PD15NOV17		19980.00	20685.00	USD	-3,525.00	
15AUG17	B	1	PD15NOV17		19970.00	20685.00	USD	3,575.00	
13SEP17	S	1	PD15NOV17		20544.00	20685.00	USD	-705.00	
13SEP17	S	1	PD15NOV17		20545.00	20685.00	USD	-700.00	
	NET	0 AVG	BGHT	19997.50	SOLD	20354.75		7,145.00	0.00
16AUG17	S	1	PD16NOV17		19940.00	20683.13	USD	-3,715.65	
16AUG17	B	1	PD16NOV17		19985.00	20683.13	USD	3,490.65	
	NET	0 AVG	BGHT	19985.00	SOLD	19940.00		-225.00	0.00
17AUG17	S	1	PD17NOV17		20155.00	20681.25	USD	-2,631.25	
17AUG17	S	1	PD17NOV17		20200.00	20681.25	USD	-2,406.25	
18AUG17	S	1	PD17NOV17		20350.00	20681.25	USD	-1,656.25	
18AUG17	B	1	PD17NOV17		20160.00	20681.25	USD	2,606.25	
23AUG17	B	1	PD17NOV17		20549.33	20681.25	USD	659.60	
13SEP17	B	1	PD17NOV17		20542.84	20681.25	USD	692.05	
	NET	0 AVG	BGHT	20417.39	SOLD	20235.00		-2,735.85	0.00
21AUG17	S	1	PD21NOV17		20400.00	20677.50	USD	-1,387.50	
13SEP17	B	1	PD21NOV17		20539.68	20677.50	USD	689.10	
	NET	0 AVG	BGHT	20539.68	SOLD	20400.00		-698.40	0.00
22AUG17	S	1	PD22NOV17		20425.00	20675.63	USD	-1,253.15	
13SEP17	B	1	PD22NOV17		20538.11	20675.63	USD	687.60	
	NET	0 AVG	BGHT	20538.11	SOLD	20425.00		-565.55	0.00
24AUG17	B	1	PD24NOV17		20465.00	20673.75	USD	1,043.75	
25AUG17	S	1	PD24NOV17		20375.00	20673.75	USD	-1,493.75	
25AUG17	B	1	PD24NOV17		20300.00	20673.75	USD	1,868.75	
13SEP17	S	1	PD24NOV17		20534.53	20673.75	USD	-696.10	
	NET	0 AVG	BGHT	20382.50	SOLD	20454.77		722.65	0.00
29AUG17	B	1	PD29NOV17		20525.00	20668.13	USD	715.65	
13SEP17	S	1	PD29NOV17		20529.79	20668.13	USD	-691.70	
	NET	0 AVG	BGHT	20525.00	SOLD	20529.79		23.95	0.00
30AUG17	S	1	PD30NOV17		20570.00	20666.25	USD	-481.25	
	NET	-1 AVG	SOLD	20570.00				-481.25	0.00
01SEP17	B	1	PD01DEC17		20685.00	20664.38	USD	-103.10	
13SEP17	S	1	PD01DEC17		20527.63	20664.38	USD	-683.75	
	NET	0 AVG	BGHT	20685.00	SOLD	20527.63		-786.85	0.00
05SEP17	S	1	PD05DEC17		20740.00	20660.63	USD	396.85	
13SEP17	B	1	PD05DEC17		20525.47	20660.63	USD	675.80	
	NET	0 AVG	BGHT	20525.47	SOLD	20740.00		1,072.65	0.00
06SEP17	S	1	PD06DEC17		20650.00	20658.75	USD	-43.75	
06SEP17	S	1	PD06DEC17		20760.00	20658.75	USD	506.25	
13SEP17	B	1	PD06DEC17		20522.89	20658.75	USD	679.30	
13SEP17	B	1	PD06DEC17		20523.89	20658.75	USD	674.30	
	NET	0 AVG	BGHT	20523.39	SOLD	20705.00		1,816.10	0.00
07SEP17	B	1	PD07DEC17		20725.00	20656.88	USD	-340.60	
13SEP17	S	1	PD07DEC17		20520.32	20656.88	USD	-682.80	
	NET	0 AVG	BGHT	20725.00	SOLD	20520.32		-1,023.40	0.00
08SEP17	B	1	PD08DEC17		20750.00	20655.00	USD	-475.00	
08SEP17	S	1	PD08DEC17		20520.00	20655.00	USD	-675.00	
	NET	0 AVG	BGHT	20750.00	SOLD	20520.00		-1,150.00	0.00

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12SEP17	S 1 PD12DEC17	20675.00	20651.25	USD	118.75	
12SEP17	B 1 PD12DEC17	20700.00	20651.25	USD	-243.75	
	NET 0AVG BGHT 20700.00 SOLD 20675.00				-125.00	0.00
14SEP17	B 1 PD14DEC17	20675.00	20647.50	USD	-137.50	
14SEP17	S 1 PD14DEC17	20650.00	20647.50	USD	12.50	
14SEP17	B 1 PD14DEC17	20620.00	20647.50	USD	137.50	
	NET +1AVG BGHT 20647.50 SOLD 20650.00				12.50	0.00
15SEP17	S 1 PD15DEC17	20555.00	20645.63	USD	-453.15	
15SEP17	S 1 PD15DEC17	20505.00	20645.63	USD	-703.15	
15SEP17	B 1 PD15DEC17	20430.00	20645.63	USD	1,078.15	
	NET -1AVG BGHT 20430.00 SOLD 20530.00				-78.15	0.00
18SEP17	S 1 PD18DEC17	20640.00	20643.75	USD	-18.75	
	NET -1AVG SOLD 20640.00				-18.75	0.00
19SEP17	B 1 PD19DEC17	20700.00	20641.88	USD	-290.60	
	NET +1AVG BGHT 20700.00				-290.60	0.00
20SEP17	B 1 PD20DEC17	20765.00	20640.00	USD	-625.00	
	NET +1AVG BGHT 20765.00				-625.00	0.00
21SEP17	S 1 PD21DEC17	20575.00	20637.14	USD	-310.70	
21SEP17	B 1 PD21DEC17	20420.00	20637.14	USD	1,085.70	
21SEP17	S 1 PD21DEC17	20390.00	20637.14	USD	-1,235.70	
	NET -1AVG BGHT 20420.00 SOLD 20482.50				-460.70	0.00
22SEP17	S 1 PD22DEC17	20470.00	20634.29	USD	-821.45	
22SEP17	B 1 PD22DEC17	20450.00	20634.29	USD	921.45	
	NET 0AVG BGHT 20450.00 SOLD 20470.00				100.00	0.00
28SEP17	S 1 PD28DEC17	20620.00	20628.57	USD	-42.85	
28SEP17	B 1 PD28DEC17	20620.00	20628.57	USD	42.85	
	NET 0AVG BGHT 20620.00 SOLD 20620.00				0.00	0.00
29SEP17	S 1 PD29DEC17	20695.00	20625.71	USD	346.45	
	NET -1AVG SOLD 20695.00				346.45	0.00
09OCT17	B 1 PD09JAN18	20700.00	20608.57	USD	-457.15	
09OCT17	B 1 PD09JAN18	20625.00	20608.57	USD	-82.15	
	NET +2AVG BGHT 20662.50				-539.30	0.00
11OCT17	S 1 PD11JAN18	20720.00	20602.86	USD	585.70	
11OCT17	B 1 PD11JAN18	20735.00	20602.86	USD	-660.70	
11OCT17	S 1 PD11JAN18	20810.00	20602.86	USD	1,035.70	
	NET -1AVG BGHT 20735.00 SOLD 20765.00				960.70	0.00
12OCT17	B 1 PD12JAN18	20840.00	20600.00	USD	-1,200.00	
13OCT17	B 1 PD12JAN18	20750.00	20600.00	USD	-750.00	
	NET +2AVG BGHT 20795.00				-1,950.00	0.00
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
17JUL17	B 1 PD17OCT17	2818.00	3294.00	USD	11,900.00	
17JUL17	S 1 PD17OCT17	2805.50	3294.00	USD	-12,212.50	
17JUL17	S 1 PD17OCT17	2816.50	3294.00	USD	-11,937.50	
17JUL17	B 1 PD17OCT17	2836.50	3294.00	USD	11,437.50	
17JUL17	S 1 PD17OCT17	2839.00	3294.00	USD	-11,375.00	
13SEP17	B 1 PD17OCT17	3019.22	3294.00	USD	6,869.50	
	NET 0AVG BGHT 2891.24 SOLD 2820.33				-5,318.00	0.00
18JUL17	B 1 PD18OCT17	2781.50	3291.00	USD	12,737.50	
18JUL17	S 1 PD18OCT17	2789.00	3291.00	USD	-12,550.00	
18JUL17	B 1 PD18OCT17	2784.00	3291.00	USD	12,675.00	
13SEP17	S 1 PD18OCT17	3019.25	3291.00	USD	-6,793.75	
	NET 0AVG BGHT 2782.75 SOLD 2904.13					0.00

OPEN POSITION STATEMENT



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SAP0094L

13th October 2017

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backoffice@sapiencap.com

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>						6,068.75	
19JUL17	S	1	PD19OCT17	2779.00	3286.50	USD	-12,687.50	
19JUL17	B	1	PD19OCT17	2790.50	3286.50	USD	12,400.00	
19JUL17	S	1	PD19OCT17	2791.00	3286.50	USD	-12,387.50	
19JUL17	B	1	PD19OCT17	2775.50	3286.50	USD	12,775.00	
19JUL17	S	1	PD19OCT17	2772.00	3286.50	USD	-12,862.50	
19JUL17	B	1	PD19OCT17	2738.00	3286.50	USD	13,712.50	
	NET	0 AVG BGHT 2768.00	SOLD 2780.67				950.00	0.00
20JUL17	B	1	PD20OCT17	2731.00	3282.00	USD	13,775.00	
21JUL17	S	1	PD20OCT17	2773.50	3282.00	USD	-12,712.50	
21JUL17	S	1	PD20OCT17	2773.00	3282.00	USD	-12,725.00	
21JUL17	B	1	PD20OCT17	2743.00	3282.00	USD	13,475.00	
	NET	0 AVG BGHT 2737.00	SOLD 2773.25				1,812.50	0.00
24JUL17	B	1	PD24OCT17	2759.50	3276.00	USD	12,912.50	
24JUL17	S	1	PD24OCT17	2780.50	3276.00	USD	-12,387.50	
24JUL17	B	1	PD24OCT17	2776.50	3276.00	USD	12,487.50	
13SEP17	S	1	PD24OCT17	3018.23	3276.00	USD	-6,444.25	
	NET	0 AVG BGHT 2768.00	SOLD 2899.37				6,568.25	0.00
25JUL17	B	1	PD25OCT17	2786.50	3273.00	USD	12,162.50	
25JUL17	S	1	PD25OCT17	2805.50	3273.00	USD	-11,687.50	
25JUL17	S	1	PD25OCT17	2804.00	3273.00	USD	-11,725.00	
25JUL17	S	1	PD25OCT17	2800.50	3273.00	USD	-11,812.50	
25JUL17	B	1	PD25OCT17	2833.50	3273.00	USD	10,987.50	
25JUL17	B	1	PD25OCT17	2834.00	3273.00	USD	10,975.00	
25JUL17	S	1	PD25OCT17	2818.50	3273.00	USD	-11,362.50	
25JUL17	S	1	PD25OCT17	2822.50	3273.00	USD	-11,262.50	
25JUL17	B	1	PD25OCT17	2839.00	3273.00	USD	10,850.00	
13SEP17	B	1	PD25OCT17	3018.55	3273.00	USD	6,361.25	
	NET	0 AVG BGHT 2862.31	SOLD 2810.20				-6,513.75	0.00
26JUL17	B	1	PD26OCT17	2812.00	3271.87	USD	11,496.75	
26JUL17	B	1	PD26OCT17	2811.00	3271.87	USD	11,521.75	
08AUG17	S	2	PD26OCT17	2924.00	3271.87	USD	-17,393.50	
09AUG17	B	1	PD26OCT17	2929.75	3271.87	USD	8,553.00	
13SEP17	S	1	PD26OCT17	3018.47	3271.87	USD	-6,335.00	
	NET	0 AVG BGHT 2850.92	SOLD 2955.49				7,843.00	0.00
27JUL17	S	1	PD27OCT17	2822.00	3270.73	USD	-11,218.25	
28JUL17	S	1	PD27OCT17	2805.00	3270.73	USD	-11,643.25	
28JUL17	S	1	PD27OCT17	2804.00	3270.73	USD	-11,668.25	
28JUL17	B	1	PD27OCT17	2780.50	3270.73	USD	12,255.75	
28JUL17	B	1	PD27OCT17	2781.50	3270.73	USD	12,230.75	
28JUL17	B	1	PD27OCT17	2780.00	3270.73	USD	12,268.25	
	NET	0 AVG BGHT 2780.67	SOLD 2810.33				2,225.00	0.00
01AUG17	S	1	PD01NOV17	2778.00	3267.33	USD	-12,233.25	
01AUG17	S	1	PD01NOV17	2776.50	3267.33	USD	-12,270.75	
08AUG17	B	2	PD01NOV17	2924.37	3267.33	USD	17,148.00	
09AUG17	S	1	PD01NOV17	2929.74	3267.33	USD	-8,439.75	
13SEP17	B	1	PD01NOV17	3019.19	3267.33	USD	6,203.50	
	NET	0 AVG BGHT 2955.98	SOLD 2828.08				-9,592.25	0.00
03AUG17	B	1	PD03NOV17	2794.50	3265.07	USD	11,764.25	
03AUG17	B	1	PD03NOV17	2794.00	3265.07	USD	11,776.75	
04AUG17	S	1	PD03NOV17	2816.00	3265.07	USD	-11,226.75	
07SEP17	S	1	PD03NOV17	3125.97	3265.07	USD	-3,477.50	
	NET	0 AVG BGHT 2794.25	SOLD 2970.99				8,836.75	0.00
07AUG17	B	1	PD07NOV17	2792.00	3262.80	USD	11,770.00	
07AUG17	S	1	PD07NOV17	2879.50	3262.80	USD	-9,582.50	

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
07AUG17	B	1	PD07NOV17	2866.00	3262.80	USD	9,920.00	
23AUG17	S	1	PD07NOV17	3096.08	3262.80	USD	-4,168.00	
	NET	0 AVG	BGHT 2829.00				7,939.50	0.00
08AUG17	S	1	PD08NOV17	2881.50	3261.67	USD	-9,504.25	
08AUG17	B	1	PD08NOV17	2909.50	3261.67	USD	8,804.25	
08AUG17	B	1	PD08NOV17	2929.00	3261.67	USD	8,316.75	
13SEP17	S	1	PD08NOV17	3020.03	3261.67	USD	-6,041.00	
	NET	0 AVG	BGHT 2919.25				1,575.75	0.00
09AUG17	S	1	PD09NOV17	2953.50	3260.53	USD	-7,675.75	
09AUG17	S	1	PD09NOV17	2974.00	3260.53	USD	-7,163.25	
09AUG17	S	1	PD09NOV17	2972.00	3260.53	USD	-7,213.25	
09AUG17	S	1	PD09NOV17	2957.00	3260.53	USD	-7,588.25	
09AUG17	B	1	PD09NOV17	2954.50	3260.53	USD	7,650.75	
23AUG17	B	1	PD09NOV17	3096.51	3260.53	USD	4,100.50	
13SEP17	B	1	PD09NOV17	3020.35	3260.53	USD	6,004.50	
13SEP17	B	1	PD09NOV17	3020.15	3260.53	USD	6,009.50	
	NET	0 AVG	BGHT 3022.88				-5,875.25	0.00
10AUG17	B	1	PD10NOV17	2947.00	3259.40	USD	7,810.00	
10AUG17	S	1	PD10NOV17	2942.00	3259.40	USD	-7,935.00	
10AUG17	B	1	PD10NOV17	2950.00	3259.40	USD	7,735.00	
10AUG17	B	1	PD10NOV17	2929.00	3259.40	USD	8,260.00	
10AUG17	B	1	PD10NOV17	2912.00	3259.40	USD	8,685.00	
10AUG17	B	1	PD10NOV17	2923.50	3259.40	USD	8,397.50	
10AUG17	S	1	PD10NOV17	2948.00	3259.40	USD	-7,785.00	
10AUG17	S	1	PD10NOV17	2939.50	3259.40	USD	-7,997.50	
10AUG17	B	1	PD10NOV17	2930.50	3259.40	USD	8,222.50	
10AUG17	B	1	PD10NOV17	2930.00	3259.40	USD	8,235.00	
10AUG17	S	1	PD10NOV17	2929.50	3259.40	USD	-8,247.50	
11AUG17	B	1	PD10NOV17	2916.00	3259.40	USD	8,585.00	
11AUG17	S	1	PD10NOV17	2930.00	3259.40	USD	-8,235.00	
11AUG17	S	1	PD10NOV17	2912.50	3259.40	USD	-8,672.50	
11AUG17	S	1	PD10NOV17	2911.50	3259.40	USD	-8,697.50	
11AUG17	B	1	PD10NOV17	2896.50	3259.40	USD	9,072.50	
11AUG17	S	1	PD10NOV17	2915.50	3259.40	USD	-8,597.50	
11AUG17	S	1	PD10NOV17	2922.50	3259.40	USD	-8,422.50	
11AUG17	B	1	PD10NOV17	2908.00	3259.40	USD	8,785.00	
11AUG17	B	1	PD10NOV17	2906.50	3259.40	USD	8,822.50	
11AUG17	S	1	PD10NOV17	2903.00	3259.40	USD	-8,910.00	
11AUG17	S	1	PD10NOV17	2904.50	3259.40	USD	-8,872.50	
	NET	0 AVG	BGHT 2922.64				237.50	0.00
14AUG17	B	1	PD14NOV17	2915.00	3257.13	USD	8,553.25	
14AUG17	B	1	PD14NOV17	2913.50	3257.13	USD	8,590.75	
14AUG17	S	1	PD14NOV17	2911.50	3257.13	USD	-8,640.75	
14AUG17	S	1	PD14NOV17	2916.00	3257.13	USD	-8,528.25	
14AUG17	S	1	PD14NOV17	2923.50	3257.13	USD	-8,340.75	
23AUG17	B	1	PD14NOV17	3096.88	3257.13	USD	4,006.25	
	NET	0 AVG	BGHT 2975.13				-4,359.50	0.00
15AUG17	B	1	PD15NOV17	2914.00	3256.00	USD	8,550.00	
15AUG17	S	1	PD15NOV17	2932.00	3256.00	USD	-8,100.00	
15AUG17	B	1	PD15NOV17	2962.00	3256.00	USD	7,350.00	
15AUG17	S	1	PD15NOV17	2939.00	3256.00	USD	-7,925.00	
	NET	0 AVG	BGHT 2938.00				-125.00	0.00
16AUG17	S	1	PD16NOV17	2980.00	3253.50	USD	-6,837.50	
16AUG17	B	2	PD16NOV17	2980.50	3253.50	USD	13,650.00	
16AUG17	S	1	PD16NOV17	2981.00	3253.50	USD	-6,812.50	
16AUG17	B	1	PD16NOV17	3002.00	3253.50	USD	6,287.50	

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16AUG17	B	1	PD16NOV17	3009.00	3253.50	USD	6,112.50	
16AUG17	S	2	PD16NOV17	3008.00	3253.50	USD	-12,275.00	
16AUG17	S	1	PD16NOV17	3021.50	3253.50	USD	-5,800.00	
16AUG17	B	1	PD16NOV17	3049.50	3253.50	USD	5,100.00	
16AUG17	B	1	PD16NOV17	3050.50	3253.50	USD	5,075.00	
16AUG17	S	1	PD16NOV17	3088.50	3253.50	USD	-4,125.00	
16AUG17	B	1	PD16NOV17	3094.50	3253.50	USD	3,975.00	
16AUG17	B	1	PD16NOV17	3108.50	3253.50	USD	3,625.00	
16AUG17	B	1	PD16NOV17	3109.00	3253.50	USD	3,612.50	
13SEP17	S	1	PD16NOV17	3018.30	3253.50	USD	-5,880.00	
20SEP17	S	2	PD16NOV17	3128.25	3253.50	USD	-6,262.50	
	NET	0 AVG	BGHT 3042.67	SOLD 3040.20			-555.00	0.00
17AUG17	B	1	PD17NOV17	3121.00	3251.00	USD	3,250.00	
17AUG17	B	1	PD17NOV17	3135.00	3251.00	USD	2,900.00	
17AUG17	S	1	PD17NOV17	3135.00	3251.00	USD	-2,900.00	
17AUG17	B	1	PD17NOV17	3098.00	3251.00	USD	3,825.00	
17AUG17	S	1	PD17NOV17	3091.00	3251.00	USD	-4,000.00	
17AUG17	B	1	PD17NOV17	3097.00	3251.00	USD	3,850.00	
17AUG17	B	1	PD17NOV17	3064.00	3251.00	USD	4,675.00	
18AUG17	S	1	PD17NOV17	3115.00	3251.00	USD	-3,400.00	
18AUG17	B	1	PD17NOV17	3092.50	3251.00	USD	3,962.50	
18AUG17	S	1	PD17NOV17	3099.00	3251.00	USD	-3,800.00	
23AUG17	S	1	PD17NOV17	3094.30	3251.00	USD	-3,917.50	
13SEP17	S	1	PD17NOV17	3018.64	3251.00	USD	-5,809.00	
	NET	0 AVG	BGHT 3101.25	SOLD 3092.16			-1,364.00	0.00
22AUG17	S	1	PD22NOV17	3138.50	3248.38	USD	-2,747.00	
22AUG17	S	1	PD22NOV17	3101.50	3248.38	USD	-3,672.00	
07SEP17	B	1	PD22NOV17	3126.88	3248.38	USD	3,037.50	
13SEP17	B	1	PD22NOV17	3020.74	3248.38	USD	5,691.00	
	NET	0 AVG	BGHT 3073.81	SOLD 3120.00			2,309.50	0.00
23AUG17	B	1	PD24NOV17	3109.00	3247.50	USD	3,462.50	
24AUG17	B	1	PD24NOV17	3127.00	3247.50	USD	3,012.50	
24AUG17	S	1	PD24NOV17	3119.00	3247.50	USD	-3,212.50	
25AUG17	B	1	PD24NOV17	3134.00	3247.50	USD	2,837.50	
25AUG17	S	1	PD24NOV17	3133.50	3247.50	USD	-2,850.00	
25AUG17	B	1	PD24NOV17	3129.50	3247.50	USD	2,950.00	
25AUG17	S	1	PD24NOV17	3125.50	3247.50	USD	-3,050.00	
07SEP17	S	1	PD24NOV17	3127.25	3247.50	USD	-3,006.25	
	NET	0 AVG	BGHT 3124.88	SOLD 3126.31			143.75	0.00
29AUG17	S	1	PD29NOV17	3118.50	3246.75	USD	-3,206.25	
07SEP17	B	1	PD29NOV17	3127.45	3246.75	USD	2,982.50	
	NET	0 AVG	BGHT 3127.45	SOLD 3118.50			-223.75	0.00
31AUG17	S	1	PD30NOV17	3153.50	3246.50	USD	-2,325.00	
31AUG17	B	1	PD30NOV17	3138.00	3246.50	USD	2,712.50	
31AUG17	S	1	PD30NOV17	3154.00	3246.50	USD	-2,312.50	
13SEP17	B	1	PD30NOV17	3023.45	3246.50	USD	5,576.25	
	NET	0 AVG	BGHT 3080.73	SOLD 3153.75			3,651.25	0.00
01SEP17	B	1	PD01DEC17	3140.00	3246.25	USD	2,656.25	
01SEP17	B	1	PD01DEC17	3138.50	3246.25	USD	2,693.75	
13SEP17	S	2	PD01DEC17	3023.31	3246.25	USD	-11,147.00	
	NET	0 AVG	BGHT 3139.25	SOLD 3023.31			-5,797.00	0.00
05SEP17	S	1	PD05DEC17	3202.50	3245.75	USD	-1,081.25	
05SEP17	S	1	PD05DEC17	3155.00	3245.75	USD	-2,268.75	
05SEP17	S	1	PD05DEC17	3154.50	3245.75	USD	-2,281.25	
05SEP17	S	1	PD05DEC17	3144.50	3245.75	USD	-2,531.25	

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13SEP17	B	2	PD05DEC17	3023.76	3245.75	USD	11,099.50	
20SEP17	B	2	PD05DEC17	3128.22	3245.75	USD	5,876.50	
	NET	0 AVG	BGHT 3075.99				8,813.50	0.00
06SEP17	B	1	PD06DEC17	3101.50	3245.50	USD	3,600.00	
13SEP17	S	1	PD06DEC17	3023.43	3245.50	USD	-5,551.75	
	NET	0 AVG	BGHT 3101.50				-1,951.75	0.00
07SEP17	S	1	PD07DEC17	3139.00	3245.25	USD	-2,656.25	
13SEP17	B	1	PD07DEC17	3023.69	3245.25	USD	5,539.00	
	NET	0 AVG	BGHT 3023.69				2,882.75	0.00
08SEP17	B	1	PD08DEC17	3144.50	3245.00	USD	2,512.50	
08SEP17	S	1	PD08DEC17	3094.00	3245.00	USD	-3,775.00	
08SEP17	B	1	PD08DEC17	3083.00	3245.00	USD	4,050.00	
08SEP17	S	1	PD08DEC17	3121.00	3245.00	USD	-3,100.00	
08SEP17	B	1	PD08DEC17	3060.00	3245.00	USD	4,625.00	
08SEP17	S	1	PD08DEC17	3052.50	3245.00	USD	-4,812.50	
08SEP17	B	1	PD08DEC17	3031.50	3245.00	USD	5,337.50	
13SEP17	S	1	PD08DEC17	3023.55	3245.00	USD	-5,536.25	
	NET	0 AVG	BGHT 3079.75				-698.75	0.00
12SEP17	B	1	PD12DEC17	3061.50	3244.50	USD	4,575.00	
	NET	+1 AVG	BGHT 3061.50				4,575.00	0.00
13SEP17	S	1	PD13DEC17	3056.00	3244.25	USD	-4,706.25	
	NET	-1 AVG	SOLD 3056.00				-4,706.25	0.00
14SEP17	B	1	PD14DEC17	3000.00	3244.00	USD	6,100.00	
	NET	+1 AVG	BGHT 3000.00				6,100.00	0.00
15SEP17	S	1	PD15DEC17	3000.00	3243.75	USD	-6,093.75	
	NET	-1 AVG	SOLD 3000.00				-6,093.75	0.00
18SEP17	B	1	PD18DEC17	3047.00	3243.50	USD	4,912.50	
18SEP17	B	1	PD18DEC17	3072.50	3243.50	USD	4,275.00	
18SEP17	S	1	PD18DEC17	3077.50	3243.50	USD	-4,150.00	
18SEP17	B	1	PD18DEC17	3089.50	3243.50	USD	3,850.00	
	NET	+2 AVG	BGHT 3069.67				8,887.50	0.00
19SEP17	S	1	PD19DEC17	3108.00	3243.25	USD	-3,381.25	
19SEP17	S	1	PD19DEC17	3083.00	3243.25	USD	-4,006.25	
19SEP17	B	1	PD19DEC17	3104.00	3243.25	USD	3,481.25	
	NET	-1 AVG	BGHT 3104.00				-3,906.25	0.00
20SEP17	B	1	PD20DEC17	3096.00	3243.00	USD	3,675.00	
20SEP17	S	1	PD20DEC17	3137.00	3243.00	USD	-2,650.00	
	NET	0 AVG	BGHT 3096.00				1,025.00	0.00
21SEP17	S	1	PD21DEC17	3069.00	3240.00	USD	-4,275.00	
21SEP17	B	1	PD21DEC17	3108.50	3240.00	USD	3,287.50	
21SEP17	S	1	PD21DEC17	3077.00	3240.00	USD	-4,075.00	
	NET	-1 AVG	BGHT 3108.50				-5,062.50	0.00
25SEP17	B	1	PD27DEC17	3096.50	3239.23	USD	3,568.25	
26SEP17	S	1	PD27DEC17	3115.00	3239.23	USD	-3,105.75	
26SEP17	B	1	PD27DEC17	3110.00	3239.23	USD	3,230.75	
27SEP17	B	1	PD27DEC17	3139.00	3239.23	USD	2,505.75	
27SEP17	S	1	PD27DEC17	3109.00	3239.23	USD	-3,255.75	
27SEP17	S	1	PD27DEC17	3114.00	3239.23	USD	-3,130.75	
	NET	0 AVG	BGHT 3115.17				-187.50	0.00
28SEP17	B	1	PD28DEC17	3136.00	3238.85	USD	2,571.25	
28SEP17	S	1	PD28DEC17	3135.50	3238.85	USD	-2,583.75	
28SEP17	S	1	PD28DEC17	3121.00	3238.85	USD	-2,946.25	
28SEP17	B	1	PD28DEC17	3148.00	3238.85	USD	2,271.25	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0094L

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
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backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position				Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>								
28SEP17	B	1	PD28DEC17		3150.00	3238.85	USD	2,221.25	
28SEP17	S	1	PD28DEC17		3156.50	3238.85	USD	-2,058.75	
	NET	0 AVG	BGHT 3144.67	SOLD 3137.67				-525.00	0.00
29SEP17	B	1	PD29DEC17		3141.00	3238.46	USD	2,436.50	
29SEP17	B	1	PD29DEC17		3140.50	3238.46	USD	2,449.00	
29SEP17	S	2	PD29DEC17		3139.00	3238.46	USD	-4,973.00	
29SEP17	S	1	PD29DEC17		3137.00	3238.46	USD	-2,536.50	
29SEP17	B	1	PD29DEC17		3158.50	3238.46	USD	1,999.00	
29SEP17	B	1	PD29DEC17		3160.00	3238.46	USD	1,961.50	
	NET	+1 AVG	BGHT 3150.00	SOLD 3138.33				1,336.50	0.00
09OCT17	S	1	PD09JAN18		3263.00	3236.15	USD	671.25	
09OCT17	B	1	PD09JAN18		3263.00	3236.15	USD	-671.25	
09OCT17	S	1	PD09JAN18		3236.50	3236.15	USD	8.75	
09OCT17	B	1	PD09JAN18		3233.50	3236.15	USD	66.25	
	NET	0 AVG	BGHT 3248.25	SOLD 3249.75				75.00	0.00
10OCT17	S	1	PD10JAN18		3233.00	3235.77	USD	-69.25	
	NET	-1 AVG	SOLD 3233.00					-69.25	0.00
12OCT17	B	1	PD12JAN18		3229.50	3235.00	USD	137.50	
13OCT17	S	1	PD12JAN18		3272.00	3235.00	USD	925.00	
	NET	0 AVG	BGHT 3229.50	SOLD 3272.00				1,062.50	0.00

OPEN POSITION VALUE

		USD
LME Aluminium 25 Tonnes.	FUTURES	7,491.50
LME Copper Grade A 25	FUTURES	-148,343.00
CMX Gold	FUTURES	-129,110.00
LME Lead 25 tonnes. This is an	FUTURES	-872.75
LME Nickel 6 tonnes. This is	FUTURES	216,708.12
LME Tin 5 tonnes. This is an	FUTURES	-91,815.25
LME Zinc 25 tonnes. This is	FUTURES	21,821.25

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options	Amount	Profit / Loss	Total Amount	Total P&L
GOLD - Futures USD	1,350.00	1,350.00	1,350.00	1,350.00
Unrealised Profits & Losses - Forwards	Amount	Profit / Loss	Total Amount	Total P&L
ALUL - Futures USD	58,444.00	58,444.00		
COPL - Futures USD	-149,636.00	-149,636.00		
LEAD - Futures USD	-914.00	-914.00		
NKL - Futures USD	75,460.08	75,460.08		
TIN - Futures USD	10,810.65	10,810.65		
ZINC - Futures USD	21,994.75	21,994.75	16,159.48	16,159.48

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ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		125,887.78	125,887.78
Variation Margins	- Futures	1,350.00	1,350.00
	- Options	0.00	0.00
TOTAL EQUITY		127,237.78	127,237.78
Initial Margins		-31,413.52	-31,413.52
Excess/(Shortage)		95,824.26	95,824.26
FOR INFORMATION ONLY			
Forward Unrealised P&L		16,159.48	16,159.48
Net Liquidating Value		143,397.26	143,397.26

OPEN POSITION STATEMENT



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Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>NYM LIGHT SWEET CRUDE OIL 1,000 NYM</u>					
12OCT17	S 1 NOV17	50.74	51.45	USD	-710.00	
12OCT17	S 1 NOV17	50.72	51.45	USD	-730.00	
12OCT17	S 3 NOV17	50.73	51.45	USD	-2,160.00	
12OCT17	S 1 NOV17	50.64	51.45	USD	-810.00	
12OCT17	S 3 NOV17	50.65	51.45	USD	-2,400.00	
12OCT17	S 2 NOV17	50.67	51.45	USD	-1,560.00	
12OCT17	S 1 NOV17	50.57	51.45	USD	-880.00	
12OCT17	S 1 NOV17	50.45	51.45	USD	-1,000.00	
12OCT17	S 3 NOV17	50.62	51.45	USD	-2,490.00	
12OCT17	S 1 NOV17	50.63	51.45	USD	-820.00	
	NET -17 AVG SOLD 50.65				-13,560.00	0.00
11OCT17	S 2 DEC17	51.56	51.73	USD	-340.00	
	NET -2 AVG SOLD 51.56				-340.00	0.00
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>					
17JUL17	S 3 PD17OCT17	6017.00	6859.00	USD	-63,150.00	
18JUL17	B 3 PD17OCT17	6006.79	6859.00	USD	63,915.75	
	NET 0 AVG BGHT 6006.79 SOLD 6017.00				765.75	0.00
25JUL17	S 1 PD25OCT17	6122.50	6857.50	USD	-18,375.00	
25JUL17	S 2 PD25OCT17	6125.00	6857.50	USD	-36,625.00	
25JUL17	S 1 PD25OCT17	6125.50	6857.50	USD	-18,300.00	
25JUL17	S 1 PD25OCT17	6127.00	6857.50	USD	-18,262.50	
25JUL17	S 1 PD25OCT17	6119.50	6857.50	USD	-18,450.00	
08AUG17	B 6 PD25OCT17	6474.66	6857.50	USD	57,426.00	
	NET 0 AVG BGHT 6474.66 SOLD 6124.08				-52,586.50	0.00
	<u>CMX COPPER 25,000 CMX</u>					
11OCT17	S 1 DEC17	309.400	313.350	USD	-987.50	
12OCT17	S 1 DEC17	311.500	313.350	USD	-462.50	
12OCT17	S 1 DEC17	312.300	313.350	USD	-262.50	
12OCT17	S 1 DEC17	313.250	313.350	USD	-25.00	
	NET -4 AVG SOLD 311.613				-1,737.50	0.00
	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
20SEP17	S 3 PD20DEC17	11460.00	11657.50	USD	-3,555.00	
20SEP17	S 4 PD20DEC17	11455.00	11657.50	USD	-4,860.00	
20SEP17	S 1 PD20DEC17	11395.00	11657.50	USD	-1,575.00	
20SEP17	B 1 PD20DEC17	11370.00	11657.50	USD	1,725.00	
20SEP17	B 1 PD20DEC17	11340.00	11657.50	USD	1,905.00	
20SEP17	S 2 PD20DEC17	11305.00	11657.50	USD	-4,230.00	
20SEP17	S 4 PD20DEC17	11315.00	11657.50	USD	-8,220.00	
20SEP17	B 1 PD20DEC17	11305.00	11657.50	USD	2,115.00	
20SEP17	S 1 PD20DEC17	11295.00	11657.50	USD	-2,175.00	
20SEP17	S 1 PD20DEC17	11300.00	11657.50	USD	-2,145.00	
20SEP17	B 1 PD20DEC17	11375.00	11657.50	USD	1,695.00	
20SEP17	S 2 PD20DEC17	11370.00	11657.50	USD	-3,450.00	
	NET -14 AVG BGHT 11347.50 SOLD 11377.78				-22,770.00	0.00
21SEP17	B 1 PD21DEC17	11160.00	11658.26	USD	2,989.56	
21SEP17	B 1 PD21DEC17	11030.00	11658.26	USD	3,769.56	
21SEP17	B 1 PD21DEC17	11060.00	11658.26	USD	3,589.56	
21SEP17	B 1 PD21DEC17	11050.00	11658.26	USD	3,649.56	
21SEP17	B 1 PD21DEC17	11055.00	11658.26	USD	3,619.56	
21SEP17	B 1 PD21DEC17	11035.00	11658.26	USD	3,739.56	
21SEP17	B 1 PD21DEC17	11025.00	11658.26	USD	3,799.56	
21SEP17	B 1 PD21DEC17	11155.00	11658.26	USD	3,019.56	
21SEP17	B 1 PD21DEC17	10940.00	11658.26	USD	4,309.56	
21SEP17	B 1 PD21DEC17	10945.00	11658.26	USD	4,279.56	

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
21SEP17	B	1	PD21DEC17	10955.00	11658.26	USD	4,219.56	
21SEP17	B	3	PD21DEC17	10950.00	11658.26	USD	12,748.68	
21SEP17	S	1	PD21DEC17	11010.00	11658.26	USD	-3,889.56	
21SEP17	S	1	PD21DEC17	11040.00	11658.26	USD	-3,709.56	
21SEP17	S	1	PD21DEC17	11030.00	11658.26	USD	-3,769.56	
21SEP17	S	1	PD21DEC17	11035.00	11658.26	USD	-3,739.56	
21SEP17	S	3	PD21DEC17	11080.00	11658.26	USD	-10,408.68	
21SEP17	S	1	PD21DEC17	11100.00	11658.26	USD	-3,349.56	
21SEP17	S	1	PD21DEC17	11085.00	11658.26	USD	-3,439.56	
21SEP17	S	1	PD21DEC17	11105.00	11658.26	USD	-3,319.56	
21SEP17	S	1	PD21DEC17	11120.00	11658.26	USD	-3,229.56	
21SEP17	S	2	PD21DEC17	11140.00	11658.26	USD	-6,219.12	
21SEP17	S	1	PD21DEC17	11125.00	11658.26	USD	-3,199.56	
21SEP17	S	2	PD21DEC17	11115.00	11658.26	USD	-6,519.12	
21SEP17	S	1	PD21DEC17	11130.00	11658.26	USD	-3,169.56	
21SEP17	S	1	PD21DEC17	11095.00	11658.26	USD	-3,379.56	
21SEP17	S	1	PD21DEC17	11075.00	11658.26	USD	-3,499.56	
21SEP17	B	2	PD21DEC17	11115.00	11658.26	USD	6,519.12	
21SEP17	B	1	PD21DEC17	11100.00	11658.26	USD	3,349.56	
21SEP17	B	1	PD21DEC17	11075.00	11658.26	USD	3,499.56	
21SEP17	B	3	PD21DEC17	11045.00	11658.26	USD	11,038.68	
21SEP17	B	1	PD21DEC17	10985.00	11658.26	USD	4,039.56	
21SEP17	B	3	PD21DEC17	10960.00	11658.26	USD	12,568.68	
21SEP17	B	4	PD21DEC17	10935.00	11658.26	USD	17,358.24	
21SEP17	B	1	PD21DEC17	10920.00	11658.26	USD	4,429.56	
21SEP17	B	1	PD21DEC17	10915.00	11658.26	USD	4,459.56	
21SEP17	B	1	PD21DEC17	10905.00	11658.26	USD	4,519.56	
21SEP17	B	1	PD21DEC17	10885.00	11658.26	USD	4,639.56	
21SEP17	B	1	PD21DEC17	10880.00	11658.26	USD	4,669.56	
21SEP17	B	1	PD21DEC17	10865.00	11658.26	USD	4,759.56	
21SEP17	B	1	PD21DEC17	10890.00	11658.26	USD	4,609.56	
21SEP17	B	1	PD21DEC17	10870.00	11658.26	USD	4,729.56	
21SEP17	B	1	PD21DEC17	10830.00	11658.26	USD	4,969.56	
21SEP17	B	2	PD21DEC17	10820.00	11658.26	USD	10,059.12	
21SEP17	B	1	PD21DEC17	10815.00	11658.26	USD	5,059.56	
21SEP17	B	1	PD21DEC17	10730.00	11658.26	USD	5,569.56	
21SEP17	B	1	PD21DEC17	10745.00	11658.26	USD	5,479.56	
21SEP17	B	1	PD21DEC17	10735.00	11658.26	USD	5,539.56	
21SEP17	B	1	PD21DEC17	10680.00	11658.26	USD	5,869.56	
21SEP17	B	1	PD21DEC17	10675.00	11658.26	USD	5,899.56	
21SEP17	B	1	PD21DEC17	10685.00	11658.26	USD	5,839.56	
21SEP17	S	1	PD21DEC17	10735.00	11658.26	USD	-5,539.56	
21SEP17	B	1	PD21DEC17	10715.00	11658.26	USD	5,659.56	
21SEP17	B	2	PD21DEC17	11035.00	11658.26	USD	7,479.12	
21SEP17	B	1	PD21DEC17	10890.00	11658.26	USD	4,609.56	
	NET	+31 AVG BGHT 10936.18	SOLD 11071.75				150,576.36	0.00
22SEP17	S	2	PD22DEC17	10600.00	11659.02	USD	-12,708.24	
22SEP17	S	1	PD22DEC17	10585.00	11659.02	USD	-6,444.12	
22SEP17	S	1	PD22DEC17	10635.00	11659.02	USD	-6,144.12	
22SEP17	S	1	PD22DEC17	10645.00	11659.02	USD	-6,084.12	
22SEP17	B	2	PD22DEC17	10530.00	11659.02	USD	13,548.24	
22SEP17	S	1	PD22DEC17	10530.00	11659.02	USD	-6,774.12	
22SEP17	S	4	PD22DEC17	10580.00	11659.02	USD	-25,896.48	
22SEP17	B	1	PD22DEC17	10585.00	11659.02	USD	6,444.12	
22SEP17	S	1	PD22DEC17	10585.00	11659.02	USD	-6,444.12	
22SEP17	B	1	PD22DEC17	10605.00	11659.02	USD	6,324.12	
22SEP17	B	1	PD22DEC17	10575.00	11659.02	USD	6,504.12	

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
22SEP17	B	1	PD22DEC17	10520.00	11659.02	USD	6,834.12	
22SEP17	B	1	PD22DEC17	10540.00	11659.02	USD	6,714.12	
22SEP17	B	2	PD22DEC17	10525.00	11659.02	USD	13,608.24	
22SEP17	B	1	PD22DEC17	10515.00	11659.02	USD	6,864.12	
22SEP17	B	1	PD22DEC17	10465.00	11659.02	USD	7,164.12	
22SEP17	B	1	PD22DEC17	10475.00	11659.02	USD	7,104.12	
22SEP17	S	1	PD22DEC17	10515.00	11659.02	USD	-6,864.12	
22SEP17	S	1	PD22DEC17	10495.00	11659.02	USD	-6,984.12	
22SEP17	B	1	PD22DEC17	10440.00	11659.02	USD	7,314.12	
22SEP17	B	1	PD22DEC17	10455.00	11659.02	USD	7,224.12	
22SEP17	B	1	PD22DEC17	10445.00	11659.02	USD	7,284.12	
22SEP17	B	2	PD22DEC17	10450.00	11659.02	USD	14,508.24	
22SEP17	S	1	PD22DEC17	10520.00	11659.02	USD	-6,834.12	
22SEP17	S	2	PD22DEC17	10565.00	11659.02	USD	-13,128.24	
22SEP17	S	1	PD22DEC17	10620.00	11659.02	USD	-6,234.12	
22SEP17	S	2	PD22DEC17	10625.00	11659.02	USD	-12,408.24	
22SEP17	B	1	PD22DEC17	10530.00	11659.02	USD	6,774.12	
	NET	-1 AVG BGHT 10508.89	SOLD 10580.53				1,265.88	0.00
25SEP17	S	1	PD27DEC17	10550.00	11662.83	USD	-6,676.98	
25SEP17	S	1	PD27DEC17	10530.00	11662.83	USD	-6,796.98	
25SEP17	S	1	PD27DEC17	10540.00	11662.83	USD	-6,736.98	
25SEP17	S	1	PD27DEC17	10540.00	11662.83	USD	-6,736.98	
25SEP17	S	1	PD27DEC17	10560.00	11662.83	USD	-6,616.98	
25SEP17	S	2	PD27DEC17	10565.00	11662.83	USD	-13,173.96	
25SEP17	S	1	PD27DEC17	10610.00	11662.83	USD	-6,316.98	
25SEP17	S	3	PD27DEC17	10615.00	11662.83	USD	-18,860.94	
26SEP17	S	1	PD27DEC17	10610.00	11662.83	USD	-6,316.98	
26SEP17	S	1	PD27DEC17	10520.00	11662.83	USD	-6,856.98	
26SEP17	S	1	PD27DEC17	10535.00	11662.83	USD	-6,766.98	
26SEP17	S	1	PD27DEC17	10530.00	11662.83	USD	-6,796.98	
26SEP17	S	1	PD27DEC17	10465.00	11662.83	USD	-7,186.98	
27SEP17	S	1	PD27DEC17	10535.00	11662.83	USD	-6,766.98	
27SEP17	S	1	PD27DEC17	10550.00	11662.83	USD	-6,676.98	
27SEP17	S	1	PD27DEC17	10565.00	11662.83	USD	-6,586.98	
27SEP17	B	1	PD27DEC17	10370.00	11662.83	USD	7,756.98	
27SEP17	B	1	PD27DEC17	10360.00	11662.83	USD	7,816.98	
27SEP17	B	1	PD27DEC17	10355.00	11662.83	USD	7,846.98	
	NET	-16 AVG BGHT 10361.67	SOLD 10558.68				-102,451.68	0.00
04OCT17	B	1	PD04JAN18	10680.00	11668.91	USD	5,933.46	
04OCT17	B	1	PD04JAN18	10675.00	11668.91	USD	5,963.46	
04OCT17	B	1	PD04JAN18	10655.00	11668.91	USD	6,083.46	
04OCT17	B	1	PD04JAN18	10640.00	11668.91	USD	6,173.46	
04OCT17	S	1	PD04JAN18	10795.00	11668.91	USD	-5,243.46	
04OCT17	S	3	PD04JAN18	10800.00	11668.91	USD	-15,640.38	
04OCT17	S	1	PD04JAN18	10805.00	11668.91	USD	-5,183.46	
04OCT17	B	1	PD04JAN18	10725.00	11668.91	USD	5,663.46	
04OCT17	B	2	PD04JAN18	10730.00	11668.91	USD	11,266.92	
04OCT17	B	2	PD04JAN18	10735.00	11668.91	USD	11,206.92	
04OCT17	B	1	PD04JAN18	10665.00	11668.91	USD	6,023.46	
04OCT17	B	2	PD04JAN18	10650.00	11668.91	USD	12,226.92	
04OCT17	B	1	PD04JAN18	10625.00	11668.91	USD	6,263.46	
04OCT17	B	2	PD04JAN18	10620.00	11668.91	USD	12,586.92	
04OCT17	S	2	PD04JAN18	10595.00	11668.91	USD	-12,886.92	
04OCT17	S	2	PD04JAN18	10590.00	11668.91	USD	-12,946.92	
04OCT17	S	1	PD04JAN18	10585.00	11668.91	USD	-6,503.46	
04OCT17	S	2	PD04JAN18	10570.00	11668.91	USD	-13,186.92	
04OCT17	S	1	PD04JAN18	10570.00	11668.91	USD	-6,593.46	

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Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
	NET	+2 AVG BGHT 10675.67	SOLD 10666.54				11,206.92	0.00
05OCT17	B	1	PD05JAN18	10625.00	11669.67	USD	6,268.02	
05OCT17	B	3	PD05JAN18	10615.00	11669.67	USD	18,984.06	
05OCT17	B	1	PD05JAN18	10555.00	11669.67	USD	6,688.02	
05OCT17	B	2	PD05JAN18	10580.00	11669.67	USD	13,076.04	
05OCT17	B	1	PD05JAN18	10610.00	11669.67	USD	6,358.02	
05OCT17	B	1	PD05JAN18	10550.00	11669.67	USD	6,718.02	
05OCT17	B	2	PD05JAN18	10565.00	11669.67	USD	13,256.04	
05OCT17	S	3	PD05JAN18	10580.00	11669.67	USD	-19,614.06	
05OCT17	S	1	PD05JAN18	10590.00	11669.67	USD	-6,478.02	
06OCT17	S	2	PD05JAN18	10650.00	11669.67	USD	-12,236.04	
06OCT17	S	1	PD05JAN18	10570.00	11669.67	USD	-6,598.02	
06OCT17	S	1	PD05JAN18	10590.00	11669.67	USD	-6,478.02	
06OCT17	S	1	PD05JAN18	10585.00	11669.67	USD	-6,508.02	
	NET	+2 AVG BGHT 10588.64	SOLD 10597.22				13,436.04	0.00
09OCT17	S	2	PD09JAN18	10700.00	11672.72	USD	-11,672.64	
09OCT17	S	1	PD09JAN18	10820.00	11672.72	USD	-5,116.32	
09OCT17	S	1	PD09JAN18	10805.00	11672.72	USD	-5,206.32	
09OCT17	S	2	PD09JAN18	10790.00	11672.72	USD	-10,592.64	
09OCT17	S	1	PD09JAN18	10705.00	11672.72	USD	-5,806.32	
09OCT17	S	1	PD09JAN18	10985.00	11672.72	USD	-4,126.32	
	NET	-8 AVG SOLD 10786.88					-42,520.56	0.00
11OCT17	B	1	PD11JAN18	10935.00	11674.24	USD	4,435.44	
11OCT17	S	1	PD11JAN18	11230.00	11674.24	USD	-2,665.44	
11OCT17	S	1	PD11JAN18	11235.00	11674.24	USD	-2,635.44	
11OCT17	S	2	PD11JAN18	11225.00	11674.24	USD	-5,390.88	
11OCT17	S	3	PD11JAN18	11210.00	11674.24	USD	-8,356.32	
	NET	-6 AVG BGHT 10935.00	SOLD 11220.71				-14,612.64	0.00
12OCT17	S	1	PD12JAN18	11240.00	11675.00	USD	-2,610.00	
12OCT17	S	1	PD12JAN18	11345.00	11675.00	USD	-1,980.00	
12OCT17	S	1	PD12JAN18	11370.00	11675.00	USD	-1,830.00	
12OCT17	S	1	PD12JAN18	11395.00	11675.00	USD	-1,680.00	
12OCT17	S	2	PD12JAN18	11400.00	11675.00	USD	-3,300.00	
12OCT17	S	1	PD12JAN18	11405.00	11675.00	USD	-1,620.00	
12OCT17	S	1	PD12JAN18	11375.00	11675.00	USD	-1,800.00	
12OCT17	B	1	PD12JAN18	11410.00	11675.00	USD	1,590.00	
12OCT17	B	2	PD12JAN18	11415.00	11675.00	USD	3,120.00	
13OCT17	B	1	PD12JAN18	11430.00	11675.00	USD	1,470.00	
13OCT17	S	1	PD12JAN18	11540.00	11675.00	USD	-810.00	
13OCT17	S	1	PD12JAN18	11570.00	11675.00	USD	-630.00	
13OCT17	S	1	PD12JAN18	11610.00	11675.00	USD	-390.00	
13OCT17	B	2	PD12JAN18	11645.00	11675.00	USD	360.00	
13OCT17	B	2	PD12JAN18	11610.00	11675.00	USD	780.00	
13OCT17	B	1	PD12JAN18	11590.00	11675.00	USD	510.00	
13OCT17	B	1	PD12JAN18	11605.00	11675.00	USD	420.00	
13OCT17	B	1	PD12JAN18	11615.00	11675.00	USD	360.00	
13OCT17	B	3	PD12JAN18	11595.00	11675.00	USD	1,440.00	
13OCT17	S	2	PD12JAN18	11705.00	11675.00	USD	360.00	
13OCT17	S	2	PD12JAN18	11710.00	11675.00	USD	420.00	
13OCT17	B	1	PD12JAN18	11655.00	11675.00	USD	120.00	
13OCT17	B	1	PD12JAN18	11640.00	11675.00	USD	210.00	
13OCT17	B	2	PD12JAN18	11600.00	11675.00	USD	900.00	
13OCT17	B	3	PD12JAN18	11580.00	11675.00	USD	1,710.00	
13OCT17	B	1	PD12JAN18	11600.00	11675.00	USD	450.00	
13OCT17	S	1	PD12JAN18	11600.00	11675.00	USD	-450.00	
13OCT17	S	1	PD12JAN18	11605.00	11675.00	USD	-420.00	

OPEN POSITION STATEMENT



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SAP0094M

13th October 2017

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
13OCT17	S 1 PD12JAN18	11620.00	11675.00	USD	-330.00	
13OCT17	S 1 PD12JAN18	11615.00	11675.00	USD	-360.00	
13OCT17	B 2 PD12JAN18	11585.00	11675.00	USD	1,080.00	
13OCT17	S 1 PD12JAN18	11645.00	11675.00	USD	-180.00	
13OCT17	S 2 PD12JAN18	11680.00	11675.00	USD	60.00	
13OCT17	S 1 PD12JAN18	11685.00	11675.00	USD	60.00	
13OCT17	S 2 PD12JAN18	11690.00	11675.00	USD	180.00	
13OCT17	S 2 PD12JAN18	11720.00	11675.00	USD	540.00	
13OCT17	S 1 PD12JAN18	11700.00	11675.00	USD	150.00	
13OCT17	B 1 PD12JAN18	11735.00	11675.00	USD	-360.00	
13OCT17	B 4 PD12JAN18	11720.00	11675.00	USD	-1,080.00	
13OCT17	S 1 PD12JAN18	11720.00	11675.00	USD	270.00	
13OCT17	S 1 PD12JAN18	11725.00	11675.00	USD	300.00	
13OCT17	S 1 PD12JAN18	11730.00	11675.00	USD	330.00	
	NET -2AVG BGHT 11599.83 SOLD 11590.48				-2,640.00	0.00

OPEN POSITION VALUE

		USD
NYM Light Sweet Crude Oil	FUTURES	964,210.00
LME Copper Grade A 25	FUTURES	-51,820.75
CMX Copper	FUTURES	311,612.50
LME Nickel 6 tonnes. This is	FUTURES	832,470.00

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options	Amount	Profit / Loss	Total Amount	Total P&L
COIL - Futures USD	-13,900.00	-13,900.00		
COPR - Futures USD	-1,737.50	-1,737.50	-15,637.50	-15,637.50
Unrealised Profits & Losses - Forwards				
COPL - Futures USD	-51,820.75	-51,820.75		
NKL - Futures USD	-8,509.68	-8,509.68	-60,330.43	-60,330.43

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	-56,344.72	-56,344.72
Variation Margins - Futures	-15,637.50	-15,637.50
- Options	0.00	0.00
TOTAL EQUITY	-71,982.22	-71,982.22
Initial Margins	-201,767.43	-201,767.43
Excess/(Shortage)	-273,749.65	-273,749.65
FOR INFORMATION ONLY		
Forward Unrealised P&L	-60,330.43	-60,330.43
Net Liquidating Value	-132,312.65	-132,312.65

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	<u>LME ALUMINIUM 25 TONNES. THIS IS AN</u>							
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26JUL17	S	2	PD26OCT17	1943.50	2114.25	USD	-8,537.50	
26JUL17	S	2	PD26OCT17	1957.50	2114.25	USD	-7,837.50	
26JUL17	B	2	PD26OCT17	1943.50	2114.25	USD	8,537.50	
08AUG17	B	2	PD26OCT17	2027.40	2114.25	USD	4,342.50	
	NET	0 AVG	BGHT 1985.45				-3,495.00	0.00
27JUL17	S	2	PD27OCT17	1950.00	2114.08	USD	-8,204.00	
27JUL17	B	1	PD27OCT17	1938.00	2114.08	USD	4,402.00	
27JUL17	B	1	PD27OCT17	1939.00	2114.08	USD	4,377.00	
28JUL17	S	1	PD27OCT17	1929.00	2114.08	USD	-4,627.00	
28JUL17	S	1	PD27OCT17	1932.00	2114.08	USD	-4,552.00	
28JUL17	B	1	PD27OCT17	1917.50	2114.08	USD	4,914.50	
28JUL17	B	1	PD27OCT17	1916.50	2114.08	USD	4,939.50	
28JUL17	S	1	PD27OCT17	1924.50	2114.08	USD	-4,739.50	
28JUL17	S	1	PD27OCT17	1923.50	2114.08	USD	-4,764.50	
28JUL17	B	1	PD27OCT17	1915.00	2114.08	USD	4,977.00	
28JUL17	B	1	PD27OCT17	1915.50	2114.08	USD	4,964.50	
28JUL17	B	1	PD27OCT17	1913.50	2114.08	USD	5,014.50	
28JUL17	B	1	PD27OCT17	1914.00	2114.08	USD	5,002.00	
08AUG17	S	2	PD27OCT17	2027.40	2114.08	USD	-4,334.00	
	NET	0 AVG	BGHT 1921.13				7,370.00	0.00
02AUG17	B	2	PD02NOV17	1923.00	2114.44	USD	9,572.00	
02AUG17	B	1	PD02NOV17	1924.50	2114.44	USD	4,748.50	
02AUG17	B	1	PD02NOV17	1924.50	2114.44	USD	4,748.50	
02AUG17	B	1	PD02NOV17	1925.50	2114.44	USD	4,723.50	
02AUG17	B	4	PD02NOV17	1926.00	2114.44	USD	18,844.00	
02AUG17	B	4	PD02NOV17	1926.50	2114.44	USD	18,794.00	
02AUG17	B	1	PD02NOV17	1927.00	2114.44	USD	4,686.00	
08AUG17	S	12	PD02NOV17	2028.80	2114.44	USD	-25,692.00	
07SEP17	S	2	PD02NOV17	2099.50	2114.44	USD	-747.00	
	NET	0 AVG	BGHT 1925.54				39,677.50	0.00
04AUG17	B	4	PD03NOV17	1918.00	2114.78	USD	19,678.00	
04AUG17	B	2	PD03NOV17	1917.00	2114.78	USD	9,889.00	
07SEP17	S	6	PD03NOV17	2099.79	2114.78	USD	-2,248.50	
	NET	0 AVG	BGHT 1917.67				27,318.50	0.00
07AUG17	B	1	PD07NOV17	1919.50	2116.16	USD	4,916.50	
07AUG17	B	2	PD07NOV17	1920.50	2116.16	USD	9,783.00	
07AUG17	B	2	PD07NOV17	1920.00	2116.16	USD	9,808.00	
07AUG17	B	2	PD07NOV17	1931.50	2116.16	USD	9,233.00	
07AUG17	S	8	PD07NOV17	1926.00	2116.16	USD	-38,032.00	
07AUG17	S	4	PD07NOV17	1925.00	2116.16	USD	-19,116.00	
07AUG17	S	4	PD07NOV17	1925.50	2116.16	USD	-19,066.00	
07AUG17	B	4	PD07NOV17	1925.00	2116.16	USD	19,116.00	
07AUG17	S	1	PD07NOV17	1920.00	2116.16	USD	-4,904.00	
07AUG17	S	2	PD07NOV17	1969.00	2116.16	USD	-7,358.00	
07AUG17	S	2	PD07NOV17	1969.50	2116.16	USD	-7,333.00	
07AUG17	S	2	PD07NOV17	1970.00	2116.16	USD	-7,308.00	
08AUG17	B	12	PD07NOV17	2030.00	2116.16	USD	25,848.00	
	NET	0 AVG	BGHT 1979.28				-24,412.50	0.00
08AUG17	B	2	PD08NOV17	1979.50	2116.50	USD	6,850.00	
08AUG17	S	4	PD08NOV17	1994.00	2116.50	USD	-12,250.00	
08AUG17	B	2	PD08NOV17	1997.50	2116.50	USD	5,950.00	
08AUG17	B	2	PD08NOV17	1998.00	2116.50	USD	5,925.00	
08AUG17	B	6	PD08NOV17	2000.00	2116.50	USD	17,475.00	
08AUG17	B	2	PD08NOV17	2003.50	2116.50	USD	5,650.00	
08AUG17	B	2	PD08NOV17	2010.50	2116.50	USD	5,300.00	
08AUG17	B	4	PD08NOV17	2020.00	2116.50	USD	9,650.00	

OPEN POSITION STATEMENT



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23AUG17	S	12	PD08NOV17		2094.56	2116.50	USD	-6,582.00	
07SEP17	S	4	PD08NOV17		2101.23	2116.50	USD	-1,527.00	
	NET	0 AVG	BGHT 2002.90	SOLD 2075.78				36,441.00	0.00
11AUG17	S	2	PD10NOV17		2025.50	2117.43	USD	-4,596.50	
11AUG17	S	2	PD10NOV17		2038.50	2117.43	USD	-3,946.50	
23AUG17	B	4	PD10NOV17		2095.25	2117.43	USD	2,218.00	
	NET	0 AVG	BGHT 2095.25	SOLD 2032.00				-6,325.00	0.00
14AUG17	S	4	PD14NOV17		2027.00	2119.29	USD	-9,229.00	
14AUG17	B	2	PD14NOV17		2027.50	2119.29	USD	4,589.50	
14AUG17	S	2	PD14NOV17		2033.00	2119.29	USD	-4,314.50	
14AUG17	S	1	PD14NOV17		2030.00	2119.29	USD	-2,232.25	
14AUG17	S	1	PD14NOV17		2031.00	2119.29	USD	-2,207.25	
14AUG17	B	2	PD14NOV17		2041.50	2119.29	USD	3,889.50	
23AUG17	B	4	PD14NOV17		2096.21	2119.29	USD	2,308.00	
	NET	0 AVG	BGHT 2065.36	SOLD 2029.38				-7,196.00	0.00
15AUG17	S	2	PD15NOV17		2023.00	2119.75	USD	-4,837.50	
15AUG17	S	1	PD15NOV17		2032.00	2119.75	USD	-2,193.75	
15AUG17	S	1	PD15NOV17		2031.50	2119.75	USD	-2,206.25	
23AUG17	B	4	PD15NOV17		2096.45	2119.75	USD	2,330.00	
	NET	0 AVG	BGHT 2096.45	SOLD 2027.38				-6,907.50	0.00
16AUG17	B	1	PD16NOV17		2053.50	2120.07	USD	1,664.25	
16AUG17	B	1	PD16NOV17		2053.00	2120.07	USD	1,676.75	
16AUG17	B	2	PD16NOV17		2054.50	2120.07	USD	3,278.50	
16AUG17	B	2	PD16NOV17		2096.50	2120.07	USD	1,178.50	
07SEP17	S	6	PD16NOV17		2102.63	2120.07	USD	-2,616.00	
	NET	0 AVG	BGHT 2068.08	SOLD 2102.63				5,182.00	0.00
17AUG17	B	2	PD17NOV17		2096.00	2120.38	USD	1,219.00	
17AUG17	S	2	PD17NOV17		2100.00	2120.38	USD	-1,019.00	
	NET	0 AVG	BGHT 2096.00	SOLD 2100.00				200.00	0.00
21AUG17	B	2	PD21NOV17		2084.50	2121.65	USD	1,857.50	
07SEP17	S	2	PD21NOV17		2103.00	2121.65	USD	-932.50	
	NET	0 AVG	BGHT 2084.50	SOLD 2103.00				925.00	0.00
22AUG17	S	1	PD22NOV17		2079.50	2121.96	USD	-1,061.50	
22AUG17	S	1	PD22NOV17		2079.00	2121.96	USD	-1,074.00	
22AUG17	S	2	PD22NOV17		2078.00	2121.96	USD	-2,198.00	
22AUG17	S	2	PD22NOV17		2068.00	2121.96	USD	-2,698.00	
22AUG17	S	2	PD22NOV17		2070.50	2121.96	USD	-2,573.00	
22AUG17	S	2	PD22NOV17		2071.50	2121.96	USD	-2,523.00	
07SEP17	B	10	PD22NOV17		2103.45	2121.96	USD	4,627.50	
	NET	0 AVG	BGHT 2103.45	SOLD 2073.45				-7,500.00	0.00
23AUG17	B	2	PD24NOV17		2085.50	2122.60	USD	1,855.00	
23AUG17	B	2	PD24NOV17		2090.00	2122.60	USD	1,630.00	
23AUG17	B	2	PD24NOV17		2095.00	2122.60	USD	1,380.00	
23AUG17	B	2	PD24NOV17		2084.50	2122.60	USD	1,905.00	
24AUG17	S	2	PD24NOV17		2103.50	2122.60	USD	-955.00	
24AUG17	S	1	PD24NOV17		2098.50	2122.60	USD	-602.50	
24AUG17	S	1	PD24NOV17		2098.00	2122.60	USD	-615.00	
24AUG17	S	1	PD24NOV17		2099.00	2122.60	USD	-590.00	
24AUG17	S	1	PD24NOV17		2095.50	2122.60	USD	-677.50	
24AUG17	S	1	PD24NOV17		2095.00	2122.60	USD	-690.00	
24AUG17	S	2	PD24NOV17		2094.00	2122.60	USD	-1,430.00	
24AUG17	S	2	PD24NOV17		2091.50	2122.60	USD	-1,555.00	
24AUG17	S	2	PD24NOV17		2092.00	2122.60	USD	-1,530.00	
24AUG17	S	1	PD24NOV17		2099.50	2122.60	USD	-577.50	
07SEP17	B	6	PD24NOV17		2103.95	2122.60	USD	2,797.50	

OPEN POSITION STATEMENT



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	<u>LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.</u>								
	NET	0 AVG	BGHT	2095.26	SOLD	2096.25		345.00	0.00
29AUG17	B	2	PD29NOV17		2077.00	2124.18	USD	2,359.00	
29AUG17	S	2	PD29NOV17		2098.50	2124.18	USD	-1,284.00	
29AUG17	S	4	PD29NOV17		2097.00	2124.18	USD	-2,718.00	
29AUG17	B	2	PD29NOV17		2095.00	2124.18	USD	1,459.00	
29AUG17	S	2	PD29NOV17		2093.00	2124.18	USD	-1,559.00	
07SEP17	B	4	PD29NOV17		2105.20	2124.18	USD	1,898.00	
	NET	0 AVG	BGHT	2095.60	SOLD	2096.38		155.00	0.00
30AUG17	B	2	PD30NOV17		2088.50	2124.49	USD	1,799.50	
30AUG17	S	2	PD30NOV17		2086.00	2124.49	USD	-1,924.50	
31AUG17	B	2	PD30NOV17		2089.00	2124.49	USD	1,774.50	
31AUG17	B	2	PD30NOV17		2098.00	2124.49	USD	1,324.50	
31AUG17	S	2	PD30NOV17		2130.00	2124.49	USD	275.50	
31AUG17	S	2	PD30NOV17		2131.50	2124.49	USD	350.50	
31AUG17	B	2	PD30NOV17		2124.00	2124.49	USD	24.50	
13SEP17	S	2	PD30NOV17		2106.52	2124.49	USD	-898.50	
	NET	0 AVG	BGHT	2099.88	SOLD	2113.51		2,726.00	0.00
01SEP17	B	2	PD01DEC17		2128.50	2124.81	USD	-184.50	
13SEP17	S	2	PD01DEC17		2106.79	2124.81	USD	-901.00	
	NET	0 AVG	BGHT	2128.50	SOLD	2106.79		-1,085.50	0.00
05SEP17	S	2	PD05DEC17		2106.00	2126.07	USD	-1,003.50	
13SEP17	B	2	PD05DEC17		2108.06	2126.07	USD	900.50	
	NET	0 AVG	BGHT	2108.06	SOLD	2106.00		-103.00	0.00
06SEP17	S	2	PD06DEC17		2087.00	2126.39	USD	-1,969.50	
13SEP17	B	2	PD06DEC17		2108.33	2126.39	USD	903.00	
	NET	0 AVG	BGHT	2108.33	SOLD	2087.00		-1,066.50	0.00
08SEP17	S	2	PD08DEC17		2097.50	2127.02	USD	-1,476.00	
13SEP17	B	2	PD08DEC17		2108.66	2127.02	USD	918.00	
	NET	0 AVG	BGHT	2108.66	SOLD	2097.50		-558.00	0.00
11SEP17	B	2	PD11DEC17		2103.00	2127.97	USD	1,248.50	
11SEP17	B	2	PD11DEC17		2104.00	2127.97	USD	1,198.50	
11SEP17	B	2	PD11DEC17		2126.00	2127.97	USD	98.50	
13SEP17	S	2	PD11DEC17		2109.26	2127.97	USD	-935.50	
20SEP17	S	4	PD11DEC17		2174.49	2127.97	USD	4,652.00	
	NET	0 AVG	BGHT	2111.00	SOLD	2152.75		6,262.00	0.00
13SEP17	S	2	PD13DEC17		2131.00	2128.60	USD	120.00	
20SEP17	B	2	PD13DEC17		2175.25	2128.60	USD	-2,332.50	
	NET	0 AVG	BGHT	2175.25	SOLD	2131.00		-2,212.50	0.00
14SEP17	B	2	PD14DEC17		2096.50	2128.92	USD	1,621.00	
14SEP17	B	2	PD14DEC17		2098.00	2128.92	USD	1,546.00	
14SEP17	S	2	PD14DEC17		2105.00	2128.92	USD	-1,196.00	
14SEP17	S	2	PD14DEC17		2105.50	2128.92	USD	-1,171.00	
14SEP17	B	4	PD14DEC17		2105.50	2128.92	USD	2,342.00	
20SEP17	S	4	PD14DEC17		2175.33	2128.92	USD	4,641.00	
	NET	0 AVG	BGHT	2101.38	SOLD	2140.29		7,783.00	0.00
15SEP17	S	2	PD15DEC17		2092.00	2129.24	USD	-1,862.00	
15SEP17	S	1	PD15DEC17		2090.50	2129.24	USD	-968.50	
15SEP17	S	3	PD15DEC17		2090.00	2129.24	USD	-2,943.00	
20SEP17	B	6	PD15DEC17		2175.81	2129.24	USD	-6,985.50	
	NET	0 AVG	BGHT	2175.81	SOLD	2090.75		-12,759.00	0.00
18SEP17	B	2	PD18DEC17		2090.50	2130.18	USD	1,984.00	
18SEP17	S	2	PD18DEC17		2090.50	2130.18	USD	-1,984.00	
18SEP17	B	2	PD18DEC17		2090.00	2130.18	USD	2,009.00	
18SEP17	B	1	PD18DEC17		2092.00	2130.18	USD	954.50	

OPEN POSITION STATEMENT



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SAP00940

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	<u>LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.</u>							
18SEP17	B	1	PD18DEC17	2093.00	2130.18	USD	929.50	
20SEP17	S	2	PD18DEC17	2176.44	2130.18	USD	2,313.00	
29SEP17	S	2	PD18DEC17	2098.90	2130.18	USD	-1,564.00	
	NET	0 AVG BGHT 2091.00	SOLD 2121.95				4,642.00	0.00
19SEP17	B	2	PD19DEC17	2105.00	2130.50	USD	1,275.00	
19SEP17	S	2	PD19DEC17	2108.00	2130.50	USD	-1,125.00	
19SEP17	S	2	PD19DEC17	2126.50	2130.50	USD	-200.00	
19SEP17	S	2	PD19DEC17	2124.00	2130.50	USD	-325.00	
19SEP17	B	2	PD19DEC17	2130.00	2130.50	USD	25.00	
20SEP17	B	2	PD19DEC17	2176.92	2130.50	USD	-2,321.00	
	NET	0 AVG BGHT 2137.31	SOLD 2119.50				-2,671.00	0.00
20SEP17	B	2	PD20DEC17	2130.00	2130.50	USD	25.00	
20SEP17	B	2	PD20DEC17	2147.00	2130.50	USD	-825.00	
29SEP17	S	4	PD20DEC17	2099.50	2130.50	USD	-3,100.00	
	NET	0 AVG BGHT 2138.50	SOLD 2099.50				-3,900.00	0.00
21SEP17	S	2	PD21DEC17	2169.00	2130.50	USD	1,925.00	
21SEP17	S	2	PD21DEC17	2163.00	2130.50	USD	1,625.00	
21SEP17	S	2	PD21DEC17	2181.50	2130.50	USD	2,550.00	
21SEP17	B	2	PD21DEC17	2179.00	2130.50	USD	-2,425.00	
21SEP17	S	2	PD21DEC17	2164.00	2130.50	USD	1,675.00	
21SEP17	S	2	PD21DEC17	2145.50	2130.50	USD	750.00	
21SEP17	B	1	PD21DEC17	2145.50	2130.50	USD	-375.00	
21SEP17	B	1	PD21DEC17	2145.00	2130.50	USD	-362.50	
29SEP17	B	6	PD21DEC17	2099.98	2130.50	USD	4,578.00	
	NET	0 AVG BGHT 2124.84	SOLD 2164.60				9,940.50	0.00
22SEP17	S	2	PD22DEC17	2165.00	2130.50	USD	1,725.00	
	NET	-2 AVG SOLD 2165.00					1,725.00	0.00
25SEP17	S	2	PD27DEC17	2144.00	2131.04	USD	648.00	
25SEP17	B	2	PD27DEC17	2168.00	2131.04	USD	-1,848.00	
25SEP17	B	2	PD27DEC17	2142.50	2131.04	USD	-573.00	
27SEP17	S	2	PD27DEC17	2138.00	2131.04	USD	348.00	
	NET	0 AVG BGHT 2155.25	SOLD 2141.00				-1,425.00	0.00
28SEP17	S	2	PD28DEC17	2127.50	2131.14	USD	-182.00	
	NET	-2 AVG SOLD 2127.50					-182.00	0.00
09OCT17	B	2	PD09JAN18	2156.00	2133.02	USD	-1,149.00	
	NET	+2 AVG BGHT 2156.00					-1,149.00	0.00
10OCT17	B	2	PD10JAN18	2157.00	2133.18	USD	-1,191.00	
	NET	+2 AVG BGHT 2157.00					-1,191.00	0.00
11OCT17	S	2	PD11JAN18	2158.50	2133.34	USD	1,258.00	
11OCT17	B	2	PD11JAN18	2143.00	2133.34	USD	-483.00	
	NET	0 AVG BGHT 2143.00	SOLD 2158.50				775.00	0.00
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
19JUL17	S	3	PD19OCT17	6001.00	6857.50	USD	-64,237.50	
19JUL17	S	3	PD19OCT17	6000.50	6857.50	USD	-64,275.00	
19JUL17	S	3	PD19OCT17	6000.00	6857.50	USD	-64,312.50	
19JUL17	S	1	PD19OCT17	5999.50	6857.50	USD	-21,450.00	
19JUL17	B	3	PD19OCT17	5999.50	6857.50	USD	64,350.00	
19JUL17	B	2	PD19OCT17	5996.00	6857.50	USD	43,075.00	
19JUL17	B	4	PD19OCT17	5996.50	6857.50	USD	86,100.00	
19JUL17	B	1	PD19OCT17	5996.00	6857.50	USD	21,537.50	
	NET	0 AVG BGHT 5997.25	SOLD 6000.40				787.50	0.00
20JUL17	S	3	PD20OCT17	5971.50	6857.50	USD	-66,450.00	
20JUL17	S	3	PD20OCT17	5972.00	6857.50	USD	-66,412.50	

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20JUL17	S	4	PD200CT17	5976.00	6857.50	USD	-88,150.00	
21JUL17	B	10	PD200CT17	6004.20	6857.50	USD	213,325.00	
	NET	0AVG	BGHT 6004.20				-7,687.50	0.00
24JUL17	S	2	PD240CT17	6015.50	6857.50	USD	-42,100.00	
24JUL17	S	1	PD240CT17	6016.00	6857.50	USD	-21,037.50	
24JUL17	S	2	PD240CT17	6012.00	6857.50	USD	-42,275.00	
24JUL17	S	1	PD240CT17	6025.00	6857.50	USD	-20,812.50	
24JUL17	S	1	PD240CT17	6024.50	6857.50	USD	-20,825.00	
24JUL17	S	1	PD240CT17	6025.50	6857.50	USD	-20,800.00	
24JUL17	S	1	PD240CT17	6026.00	6857.50	USD	-20,787.50	
08AUG17	B	9	PD240CT17	6474.27	6857.50	USD	86,226.75	
	NET	0AVG	BGHT 6474.27				-102,410.75	0.00
25JUL17	S	1	PD250CT17	6070.50	6857.50	USD	-19,675.00	
25JUL17	B	1	PD250CT17	6094.50	6857.50	USD	19,075.00	
25JUL17	S	1	PD250CT17	6217.50	6857.50	USD	-16,000.00	
25JUL17	S	2	PD250CT17	6215.50	6857.50	USD	-32,100.00	
25JUL17	S	1	PD250CT17	6217.00	6857.50	USD	-16,012.50	
25JUL17	S	1	PD250CT17	6206.00	6857.50	USD	-16,287.50	
25JUL17	S	1	PD250CT17	6210.50	6857.50	USD	-16,175.00	
25JUL17	S	2	PD250CT17	6210.00	6857.50	USD	-32,375.00	
25JUL17	S	2	PD250CT17	6209.00	6857.50	USD	-32,425.00	
25JUL17	S	1	PD250CT17	6198.50	6857.50	USD	-16,475.00	
08AUG17	B	11	PD250CT17	6474.66	6857.50	USD	105,281.00	
	NET	0AVG	BGHT 6442.98				-73,169.00	0.00
27JUL17	S	1	PD270CT17	6342.50	6857.50	USD	-12,875.00	
27JUL17	S	1	PD270CT17	6344.00	6857.50	USD	-12,837.50	
27JUL17	B	2	PD270CT17	6373.50	6857.50	USD	24,200.00	
	NET	0AVG	BGHT 6373.50				-1,512.50	0.00
08AUG17	B	1	PD08NOV17	6481.00	6864.45	USD	9,586.25	
08AUG17	S	1	PD08NOV17	6481.00	6864.45	USD	-9,586.25	
	NET	0AVG	BGHT 6481.00				0.00	0.00
09AUG17	B	1	PD09NOV17	6489.00	6865.23	USD	9,405.75	
09AUG17	B	1	PD09NOV17	6489.50	6865.23	USD	9,393.25	
09AUG17	S	2	PD09NOV17	6491.50	6865.23	USD	-18,686.50	
	NET	0AVG	BGHT 6489.25				112.50	0.00
11AUG17	B	1	PD10NOV17	6359.50	6866.00	USD	12,662.50	
11AUG17	B	1	PD10NOV17	6360.00	6866.00	USD	12,650.00	
11AUG17	S	4	PD10NOV17	6362.00	6866.00	USD	-50,400.00	
11AUG17	S	2	PD10NOV17	6359.50	6866.00	USD	-25,325.00	
11AUG17	S	2	PD10NOV17	6361.50	6866.00	USD	-25,225.00	
11AUG17	S	2	PD10NOV17	6363.50	6866.00	USD	-25,125.00	
23AUG17	B	8	PD10NOV17	6564.00	6866.00	USD	60,400.00	
	NET	0AVG	BGHT 6523.15				-40,362.50	0.00
14AUG17	S	2	PD14NOV17	6412.00	6864.67	USD	-22,633.50	
14AUG17	S	2	PD14NOV17	6406.50	6864.67	USD	-22,908.50	
14AUG17	S	2	PD14NOV17	6403.50	6864.67	USD	-23,058.50	
23AUG17	B	6	PD14NOV17	6565.50	6864.67	USD	44,875.50	
	NET	0AVG	BGHT 6565.50				-23,725.00	0.00
16AUG17	B	2	PD16NOV17	6389.50	6863.00	USD	23,675.00	
16AUG17	S	2	PD16NOV17	6382.50	6863.00	USD	-24,025.00	
	NET	0AVG	BGHT 6389.50				-350.00	0.00
17AUG17	B	2	PD17NOV17	6498.00	6863.47	USD	18,273.50	
18AUG17	B	2	PD17NOV17	6526.00	6863.47	USD	16,873.50	
18AUG17	B	2	PD17NOV17	6469.50	6863.47	USD	19,698.50	
23AUG17	S	6	PD17NOV17	6563.49	6863.47	USD	-44,997.00	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
	NET	0 AVG	BGHT 6497.83	SOLD 6563.49			9,848.50	0.00
21AUG17	B	2	PD21NOV17	6486.50	6865.33	USD	18,941.50	
21AUG17	B	2	PD21NOV17	6564.50	6865.33	USD	15,041.50	
21AUG17	B	2	PD21NOV17	6580.00	6865.33	USD	14,266.50	
21AUG17	B	2	PD21NOV17	6589.00	6865.33	USD	13,816.50	
21AUG17	B	4	PD21NOV17	6588.00	6865.33	USD	27,733.00	
21AUG17	S	2	PD21NOV17	6541.50	6865.33	USD	-16,191.50	
21AUG17	S	2	PD21NOV17	6541.00	6865.33	USD	-16,216.50	
21AUG17	B	1	PD21NOV17	6569.00	6865.33	USD	7,408.25	
21AUG17	B	1	PD21NOV17	6567.50	6865.33	USD	7,445.75	
21AUG17	B	2	PD21NOV17	6575.00	6865.33	USD	14,516.50	
21AUG17	B	2	PD21NOV17	6587.50	6865.33	USD	13,891.50	
21AUG17	B	2	PD21NOV17	6568.50	6865.33	USD	14,841.50	
21AUG17	B	2	PD21NOV17	6567.00	6865.33	USD	14,916.50	
21AUG17	B	2	PD21NOV17	6621.00	6865.33	USD	12,216.50	
21AUG17	S	2	PD21NOV17	6576.50	6865.33	USD	-14,441.50	
23AUG17	S	8	PD21NOV17	6564.24	6865.33	USD	-60,218.00	
07SEP17	S	10	PD21NOV17	6892.40	6865.33	USD	6,767.50	
	NET	0 AVG	BGHT 6573.60	SOLD 6698.16			74,735.50	0.00
22AUG17	S	2	PD22NOV17	6577.00	6865.80	USD	-14,440.00	
22AUG17	B	2	PD22NOV17	6588.50	6865.80	USD	13,865.00	
22AUG17	B	2	PD22NOV17	6639.50	6865.80	USD	11,315.00	
22AUG17	B	2	PD22NOV17	6639.00	6865.80	USD	11,340.00	
22AUG17	S	2	PD22NOV17	6607.50	6865.80	USD	-12,915.00	
22AUG17	S	1	PD22NOV17	6606.50	6865.80	USD	-6,482.50	
22AUG17	S	1	PD22NOV17	6608.50	6865.80	USD	-6,432.50	
	NET	0 AVG	BGHT 6622.33	SOLD 6597.33			-3,750.00	0.00
23AUG17	B	2	PD24NOV17	6566.00	6866.73	USD	15,036.50	
24AUG17	S	2	PD24NOV17	6605.00	6866.73	USD	-13,086.50	
24AUG17	B	2	PD24NOV17	6621.50	6866.73	USD	12,261.50	
24AUG17	S	1	PD24NOV17	6624.50	6866.73	USD	-6,055.75	
24AUG17	S	1	PD24NOV17	6627.00	6866.73	USD	-5,993.25	
24AUG17	S	2	PD24NOV17	6633.50	6866.73	USD	-11,661.50	
24AUG17	S	1	PD24NOV17	6667.50	6866.73	USD	-4,980.75	
24AUG17	S	1	PD24NOV17	6667.50	6866.73	USD	-4,980.75	
24AUG17	S	2	PD24NOV17	6700.00	6866.73	USD	-8,336.50	
25AUG17	S	2	PD24NOV17	6727.00	6866.73	USD	-6,986.50	
25AUG17	B	2	PD24NOV17	6706.50	6866.73	USD	8,011.50	
25AUG17	S	2	PD24NOV17	6676.50	6866.73	USD	-9,511.50	
07SEP17	B	8	PD24NOV17	6893.75	6866.73	USD	-5,404.00	
	NET	0 AVG	BGHT 6781.29	SOLD 6662.18			-41,687.50	0.00
29AUG17	S	2	PD29NOV17	6804.50	6869.07	USD	-3,228.50	
07SEP17	B	2	PD29NOV17	6895.65	6869.07	USD	-1,329.00	
	NET	0 AVG	BGHT 6895.65	SOLD 6804.50			-4,557.50	0.00
31AUG17	B	2	PD30NOV17	6784.50	6869.53	USD	4,251.50	
13SEP17	S	2	PD30NOV17	6548.02	6869.53	USD	-16,075.50	
	NET	0 AVG	BGHT 6784.50	SOLD 6548.02			-11,824.00	0.00
01SEP17	B	2	PD01DEC17	6811.00	6870.00	USD	2,950.00	
01SEP17	B	2	PD01DEC17	6842.00	6870.00	USD	1,400.00	
13SEP17	S	4	PD01DEC17	6548.45	6870.00	USD	-32,155.00	
	NET	0 AVG	BGHT 6826.50	SOLD 6548.45			-27,805.00	0.00
04SEP17	B	2	PD04DEC17	6912.00	6871.20	USD	-2,040.00	
13SEP17	S	2	PD04DEC17	6549.74	6871.20	USD	-16,073.00	
	NET	0 AVG	BGHT 6912.00	SOLD 6549.74			-18,113.00	0.00
05SEP17	S	2	PD05DEC17	6930.50	6871.60	USD	2,945.00	

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05SEP17	S	2	PD05DEC17	6925.00	6871.60	USD	2,670.00	
05SEP17	B	2	PD05DEC17	6937.00	6871.60	USD	-3,270.00	
05SEP17	B	2	PD05DEC17	6940.00	6871.60	USD	-3,420.00	
05SEP17	S	2	PD05DEC17	6941.50	6871.60	USD	3,495.00	
05SEP17	S	2	PD05DEC17	6932.50	6871.60	USD	3,045.00	
05SEP17	B	2	PD05DEC17	6964.00	6871.60	USD	-4,620.00	
05SEP17	B	1	PD05DEC17	6964.50	6871.60	USD	-2,322.50	
05SEP17	B	1	PD05DEC17	6965.00	6871.60	USD	-2,335.00	
05SEP17	B	2	PD05DEC17	6958.00	6871.60	USD	-4,320.00	
05SEP17	B	2	PD05DEC17	6917.00	6871.60	USD	-2,270.00	
05SEP17	B	2	PD05DEC17	6905.50	6871.60	USD	-1,695.00	
05SEP17	B	2	PD05DEC17	6903.50	6871.60	USD	-1,595.00	
05SEP17	B	2	PD05DEC17	6902.50	6871.60	USD	-1,545.00	
13SEP17	S	10	PD05DEC17	6550.17	6871.60	USD	-80,357.50	
	NET	0AVG	BGHT 6932.47	SOLD 6720.04			-95,595.00	0.00
06SEP17	B	2	PD06DEC17	6874.00	6872.00	USD	-100.00	
06SEP17	B	2	PD06DEC17	6877.00	6872.00	USD	-250.00	
06SEP17	S	2	PD06DEC17	6911.50	6872.00	USD	1,975.00	
06SEP17	S	4	PD06DEC17	6909.00	6872.00	USD	3,700.00	
06SEP17	S	2	PD06DEC17	6908.50	6872.00	USD	1,825.00	
06SEP17	S	2	PD06DEC17	6905.00	6872.00	USD	1,650.00	
06SEP17	S	1	PD06DEC17	6913.00	6872.00	USD	1,025.00	
06SEP17	S	1	PD06DEC17	6907.50	6872.00	USD	887.50	
06SEP17	S	2	PD06DEC17	6908.00	6872.00	USD	1,800.00	
06SEP17	B	1	PD06DEC17	6895.00	6872.00	USD	-575.00	
06SEP17	B	1	PD06DEC17	6900.50	6872.00	USD	-712.50	
06SEP17	B	2	PD06DEC17	6876.00	6872.00	USD	-200.00	
06SEP17	B	4	PD06DEC17	6889.50	6872.00	USD	-1,750.00	
06SEP17	B	4	PD06DEC17	6868.50	6872.00	USD	350.00	
06SEP17	B	1	PD06DEC17	6875.00	6872.00	USD	-75.00	
06SEP17	S	1	PD06DEC17	6873.00	6872.00	USD	25.00	
06SEP17	B	2	PD06DEC17	6886.00	6872.00	USD	-700.00	
13SEP17	S	4	PD06DEC17	6550.60	6872.00	USD	-32,140.00	
	NET	0AVG	BGHT 6880.45	SOLD 6831.47			-23,265.00	0.00
07SEP17	B	2	PD07DEC17	6924.50	6872.42	USD	-2,604.00	
07SEP17	B	2	PD07DEC17	6924.00	6872.42	USD	-2,579.00	
07SEP17	B	2	PD07DEC17	6920.00	6872.42	USD	-2,379.00	
07SEP17	S	4	PD07DEC17	6875.50	6872.42	USD	308.00	
07SEP17	S	2	PD07DEC17	6875.00	6872.42	USD	129.00	
07SEP17	S	2	PD07DEC17	6872.50	6872.42	USD	4.00	
07SEP17	B	1	PD07DEC17	6868.50	6872.42	USD	98.00	
07SEP17	S	3	PD07DEC17	6868.00	6872.42	USD	-331.50	
07SEP17	S	1	PD07DEC17	6868.50	6872.42	USD	-98.00	
07SEP17	S	3	PD07DEC17	6869.50	6872.42	USD	-219.00	
07SEP17	S	4	PD07DEC17	6867.00	6872.42	USD	-542.00	
07SEP17	S	2	PD07DEC17	6858.00	6872.42	USD	-721.00	
07SEP17	B	1	PD07DEC17	6893.00	6872.42	USD	-514.50	
07SEP17	B	1	PD07DEC17	6893.50	6872.42	USD	-527.00	
07SEP17	B	2	PD07DEC17	6894.50	6872.42	USD	-1,104.00	
07SEP17	B	2	PD07DEC17	6902.00	6872.42	USD	-1,479.00	
07SEP17	B	2	PD07DEC17	6896.50	6872.42	USD	-1,204.00	
07SEP17	B	3	PD07DEC17	6901.00	6872.42	USD	-2,143.50	
07SEP17	B	2	PD07DEC17	6894.00	6872.42	USD	-1,079.00	
07SEP17	B	2	PD07DEC17	6889.50	6872.42	USD	-854.00	
07SEP17	B	2	PD07DEC17	6886.50	6872.42	USD	-704.00	
07SEP17	S	4	PD07DEC17	6876.00	6872.42	USD	358.00	
07SEP17	S	2	PD07DEC17	6880.50	6872.42	USD	404.00	

OPEN POSITION STATEMENT



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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
07SEP17	S	1	PD07DEC17	6884.50	6872.42	USD	302.00	
07SEP17	S	1	PD07DEC17	6885.00	6872.42	USD	314.50	
07SEP17	S	2	PD07DEC17	6879.00	6872.42	USD	329.00	
07SEP17	S	2	PD07DEC17	6878.00	6872.42	USD	279.00	
07SEP17	S	2	PD07DEC17	6901.50	6872.42	USD	1,454.00	
07SEP17	S	2	PD07DEC17	6905.00	6872.42	USD	1,629.00	
07SEP17	S	2	PD07DEC17	6905.50	6872.42	USD	1,654.00	
07SEP17	S	1	PD07DEC17	6901.00	6872.42	USD	714.50	
13SEP17	B	16	PD07DEC17	6551.23	6872.42	USD	128,476.00	
	NET	0 AVG BGHT 6761.02	SOLD 6878.39				117,370.50	0.00
08SEP17	B	4	PD08DEC17	6929.00	6872.83	USD	-5,617.00	
08SEP17	S	1	PD08DEC17	6926.50	6872.83	USD	1,341.75	
08SEP17	S	3	PD08DEC17	6926.00	6872.83	USD	3,987.75	
08SEP17	S	1	PD08DEC17	6902.50	6872.83	USD	741.75	
08SEP17	S	1	PD08DEC17	6895.00	6872.83	USD	554.25	
08SEP17	S	2	PD08DEC17	6898.50	6872.83	USD	1,283.50	
08SEP17	S	2	PD08DEC17	6900.50	6872.83	USD	1,383.50	
08SEP17	S	1	PD08DEC17	6853.50	6872.83	USD	-483.25	
08SEP17	B	2	PD08DEC17	6835.50	6872.83	USD	1,866.50	
08SEP17	S	1	PD08DEC17	6854.00	6872.83	USD	-470.75	
08SEP17	S	1	PD08DEC17	6802.50	6872.83	USD	-1,758.25	
08SEP17	S	1	PD08DEC17	6802.00	6872.83	USD	-1,770.75	
08SEP17	S	2	PD08DEC17	6757.50	6872.83	USD	-5,766.50	
08SEP17	B	2	PD08DEC17	6739.50	6872.83	USD	6,666.50	
08SEP17	B	2	PD08DEC17	6737.50	6872.83	USD	6,766.50	
13SEP17	B	6	PD08DEC17	6551.66	6872.83	USD	48,175.50	
	NET	0 AVG BGHT 6728.19	SOLD 6870.44				56,901.00	0.00
12SEP17	B	2	PD12DEC17	6669.50	6874.50	USD	10,250.00	
29SEP17	S	2	PD12DEC17	6475.52	6874.50	USD	-19,949.00	
	NET	0 AVG BGHT 6669.50	SOLD 6475.52				-9,699.00	0.00
13SEP17	B	2	PD13DEC17	6685.00	6874.92	USD	9,496.00	
13SEP17	B	2	PD13DEC17	6630.50	6874.92	USD	12,221.00	
13SEP17	B	1	PD13DEC17	6564.00	6874.92	USD	7,773.00	
13SEP17	B	1	PD13DEC17	6565.00	6874.92	USD	7,748.00	
13SEP17	B	1	PD13DEC17	6565.50	6874.92	USD	7,735.50	
13SEP17	B	1	PD13DEC17	6566.00	6874.92	USD	7,723.00	
13SEP17	S	1	PD13DEC17	6564.50	6874.92	USD	-7,760.50	
13SEP17	B	1	PD13DEC17	6564.50	6874.92	USD	7,760.50	
13SEP17	B	3	PD13DEC17	6571.00	6874.92	USD	22,794.00	
13SEP17	B	2	PD13DEC17	6574.50	6874.92	USD	15,021.00	
13SEP17	B	2	PD13DEC17	6573.50	6874.92	USD	15,071.00	
13SEP17	B	1	PD13DEC17	6566.50	6874.92	USD	7,710.50	
13SEP17	B	1	PD13DEC17	6567.00	6874.92	USD	7,698.00	
13SEP17	B	2	PD13DEC17	6557.50	6874.92	USD	15,871.00	
13SEP17	B	1	PD13DEC17	6564.50	6874.92	USD	7,760.50	
20SEP17	S	10	PD13DEC17	6524.38	6874.92	USD	-87,635.00	
29SEP17	S	10	PD13DEC17	6475.98	6874.92	USD	-99,735.00	
	NET	0 AVG BGHT 6584.67	SOLD 6503.24				-42,747.50	0.00
14SEP17	B	2	PD14DEC17	6561.50	6875.33	USD	15,691.50	
14SEP17	B	2	PD14DEC17	6525.00	6875.33	USD	17,516.50	
14SEP17	B	2	PD14DEC17	6498.00	6875.33	USD	18,866.50	
14SEP17	B	2	PD14DEC17	6507.00	6875.33	USD	18,416.50	
14SEP17	B	2	PD14DEC17	6506.00	6875.33	USD	18,466.50	
14SEP17	B	2	PD14DEC17	6505.50	6875.33	USD	18,491.50	
	NET	+12 AVG BGHT 6517.17					107,449.00	0.00
15SEP17	S	4	PD15DEC17	6510.50	6875.75	USD	-36,525.00	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
15SEP17	B	1	PD15DEC17	6478.00	6875.75	USD	9,943.75	
15SEP17	B	1	PD15DEC17	6477.50	6875.75	USD	9,956.25	
15SEP17	S	2	PD15DEC17	6461.00	6875.75	USD	-20,737.50	
15SEP17	S	2	PD15DEC17	6455.00	6875.75	USD	-21,037.50	
15SEP17	B	2	PD15DEC17	6501.00	6875.75	USD	18,737.50	
15SEP17	B	2	PD15DEC17	6501.50	6875.75	USD	18,712.50	
15SEP17	B	2	PD15DEC17	6498.50	6875.75	USD	18,862.50	
15SEP17	B	1	PD15DEC17	6500.00	6875.75	USD	9,393.75	
15SEP17	B	1	PD15DEC17	6499.50	6875.75	USD	9,406.25	
	NET	+2 AVG BGHT 6495.70	SOLD 6484.25				16,712.50	0.00
18SEP17	S	2	PD18DEC17	6545.00	6877.00	USD	-16,600.00	
18SEP17	S	8	PD18DEC17	6541.00	6877.00	USD	-67,200.00	
20SEP17	B	10	PD18DEC17	6526.14	6877.00	USD	87,715.00	
	NET	0 AVG BGHT 6526.14	SOLD 6541.80				3,915.00	0.00
19SEP17	S	2	PD19DEC17	6528.50	6877.00	USD	-17,425.00	
19SEP17	S	2	PD19DEC17	6531.00	6877.00	USD	-17,300.00	
19SEP17	B	2	PD19DEC17	6519.50	6877.00	USD	17,875.00	
19SEP17	B	2	PD19DEC17	6517.50	6877.00	USD	17,975.00	
19SEP17	B	2	PD19DEC17	6514.00	6877.00	USD	18,150.00	
	NET	+2 AVG BGHT 6517.00	SOLD 6529.75				19,275.00	0.00
20SEP17	S	2	PD20DEC17	6574.00	6877.00	USD	-15,150.00	
20SEP17	S	2	PD20DEC17	6549.50	6877.00	USD	-16,375.00	
20SEP17	S	2	PD20DEC17	6546.00	6877.00	USD	-16,550.00	
20SEP17	S	1	PD20DEC17	6535.50	6877.00	USD	-8,537.50	
20SEP17	S	1	PD20DEC17	6535.00	6877.00	USD	-8,550.00	
29SEP17	B	8	PD20DEC17	6479.45	6877.00	USD	79,510.00	
	NET	0 AVG BGHT 6479.45	SOLD 6551.19				14,347.50	0.00
21SEP17	B	1	PD21DEC17	6466.00	6876.00	USD	10,250.00	
21SEP17	B	1	PD21DEC17	6466.50	6876.00	USD	10,237.50	
21SEP17	S	2	PD21DEC17	6427.00	6876.00	USD	-22,450.00	
21SEP17	S	2	PD21DEC17	6431.50	6876.00	USD	-22,225.00	
21SEP17	S	2	PD21DEC17	6434.00	6876.00	USD	-22,100.00	
21SEP17	B	2	PD21DEC17	6444.50	6876.00	USD	21,575.00	
21SEP17	B	2	PD21DEC17	6453.50	6876.00	USD	21,125.00	
21SEP17	S	1	PD21DEC17	6431.00	6876.00	USD	-11,125.00	
21SEP17	S	1	PD21DEC17	6430.00	6876.00	USD	-11,150.00	
29SEP17	B	2	PD21DEC17	6478.45	6876.00	USD	19,877.50	
	NET	0 AVG BGHT 6460.68	SOLD 6430.75				-5,985.00	0.00
22SEP17	S	2	PD22DEC17	6428.00	6875.00	USD	-22,350.00	
22SEP17	B	2	PD22DEC17	6434.50	6875.00	USD	22,025.00	
22SEP17	B	4	PD22DEC17	6416.00	6875.00	USD	45,900.00	
22SEP17	S	2	PD22DEC17	6400.50	6875.00	USD	-23,725.00	
22SEP17	S	2	PD22DEC17	6403.00	6875.00	USD	-23,600.00	
22SEP17	S	2	PD22DEC17	6405.50	6875.00	USD	-23,475.00	
22SEP17	S	2	PD22DEC17	6370.50	6875.00	USD	-25,225.00	
22SEP17	B	2	PD22DEC17	6473.00	6875.00	USD	20,100.00	
22SEP17	B	2	PD22DEC17	6456.00	6875.00	USD	20,950.00	
22SEP17	B	2	PD22DEC17	6479.00	6875.00	USD	19,800.00	
22SEP17	B	2	PD22DEC17	6477.50	6875.00	USD	19,875.00	
22SEP17	S	2	PD22DEC17	6476.50	6875.00	USD	-19,925.00	
29SEP17	S	2	PD22DEC17	6477.25	6875.00	USD	-19,887.50	
	NET	0 AVG BGHT 6450.29	SOLD 6423.04				-9,537.50	0.00
25SEP17	S	2	PD27DEC17	6478.50	6876.67	USD	-19,908.50	
25SEP17	S	2	PD27DEC17	6466.00	6876.67	USD	-20,533.50	
25SEP17	S	2	PD27DEC17	6474.50	6876.67	USD	-20,108.50	
25SEP17	S	2	PD27DEC17	6458.50	6876.67	USD	-20,908.50	

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26SEP17	B	2	PD27DEC17	6499.00	6876.67	USD	18,883.50	
26SEP17	B	2	PD27DEC17	6439.50	6876.67	USD	21,858.50	
26SEP17	B	1	PD27DEC17	6436.50	6876.67	USD	11,004.25	
26SEP17	B	1	PD27DEC17	6437.00	6876.67	USD	10,991.75	
26SEP17	B	2	PD27DEC17	6438.50	6876.67	USD	21,908.50	
26SEP17	B	2	PD27DEC17	6422.50	6876.67	USD	22,708.50	
27SEP17	S	2	PD27DEC17	6423.50	6876.67	USD	-22,658.50	
27SEP17	S	2	PD27DEC17	6416.00	6876.67	USD	-23,033.50	
27SEP17	S	2	PD27DEC17	6418.00	6876.67	USD	-22,933.50	
27SEP17	S	2	PD27DEC17	6420.00	6876.67	USD	-22,833.50	
29SEP17	B	4	PD27DEC17	6480.13	6876.67	USD	39,654.00	
29SEP17	B	2	PD27DEC17	6479.93	6876.67	USD	19,837.00	
	NET	0 AVG BGHT 6459.56	SOLD 6444.38				-6,072.00	0.00
28SEP17	S	2	PD28DEC17	6441.50	6877.00	USD	-21,775.00	
28SEP17	S	2	PD28DEC17	6439.50	6877.00	USD	-21,875.00	
28SEP17	S	2	PD28DEC17	6411.50	6877.00	USD	-23,275.00	
28SEP17	S	1	PD28DEC17	6410.00	6877.00	USD	-11,675.00	
28SEP17	S	1	PD28DEC17	6408.50	6877.00	USD	-11,712.50	
28SEP17	S	2	PD28DEC17	6408.00	6877.00	USD	-23,450.00	
28SEP17	B	2	PD28DEC17	6438.00	6877.00	USD	21,950.00	
28SEP17	B	2	PD28DEC17	6440.00	6877.00	USD	21,850.00	
28SEP17	B	2	PD28DEC17	6459.00	6877.00	USD	20,900.00	
28SEP17	B	2	PD28DEC17	6462.50	6877.00	USD	20,725.00	
28SEP17	B	2	PD28DEC17	6485.00	6877.00	USD	19,600.00	
28SEP17	B	2	PD28DEC17	6518.00	6877.00	USD	17,950.00	
29SEP17	S	2	PD28DEC17	6480.26	6877.00	USD	-19,837.00	
	NET	0 AVG BGHT 6467.08	SOLD 6431.67				-10,624.50	0.00
29SEP17	S	2	PD29DEC17	6534.50	6877.33	USD	-17,141.50	
29SEP17	S	2	PD29DEC17	6534.00	6877.33	USD	-17,166.50	
29SEP17	S	8	PD29DEC17	6525.00	6877.33	USD	-70,466.00	
29SEP17	S	3	PD29DEC17	6525.50	6877.33	USD	-26,387.25	
29SEP17	S	1	PD29DEC17	6524.00	6877.33	USD	-8,833.25	
29SEP17	B	2	PD29DEC17	6568.00	6877.33	USD	15,466.50	
29SEP17	B	6	PD29DEC17	6570.00	6877.33	USD	46,099.50	
29SEP17	B	2	PD29DEC17	6563.50	6877.33	USD	15,691.50	
29SEP17	B	2	PD29DEC17	6570.50	6877.33	USD	15,341.50	
29SEP17	B	1	PD29DEC17	6571.00	6877.33	USD	7,658.25	
29SEP17	B	1	PD29DEC17	6571.50	6877.33	USD	7,645.75	
29SEP17	B	2	PD29DEC17	6574.00	6877.33	USD	15,166.50	
29SEP17	B	2	PD29DEC17	6573.00	6877.33	USD	15,216.50	
29SEP17	S	2	PD29DEC17	6565.50	6877.33	USD	-15,591.50	
	NET	0 AVG BGHT 6570.03	SOLD 6531.58				-17,300.00	0.00
09OCT17	S	1	PD09JAN18	6635.50	6881.00	USD	-6,137.50	
09OCT17	S	2	PD09JAN18	6638.50	6881.00	USD	-12,125.00	
09OCT17	S	2	PD09JAN18	6662.00	6881.00	USD	-10,950.00	
09OCT17	S	2	PD09JAN18	6664.50	6881.00	USD	-10,825.00	
09OCT17	S	4	PD09JAN18	6658.50	6881.00	USD	-22,250.00	
09OCT17	S	1	PD09JAN18	6633.50	6881.00	USD	-6,187.50	
09OCT17	S	2	PD09JAN18	6640.00	6881.00	USD	-12,050.00	
09OCT17	S	2	PD09JAN18	6638.00	6881.00	USD	-12,150.00	
	NET	-16 AVG SOLD 6649.31					-92,675.00	0.00
10OCT17	S	2	PD10JAN18	6724.00	6881.33	USD	-7,866.50	
10OCT17	S	2	PD10JAN18	6707.50	6881.33	USD	-8,691.50	
10OCT17	B	2	PD10JAN18	6708.50	6881.33	USD	8,641.50	
10OCT17	S	2	PD10JAN18	6688.00	6881.33	USD	-9,666.50	
10OCT17	B	2	PD10JAN18	6693.00	6881.33	USD	9,416.50	

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10OCT17	B	4	PD10JAN18		6694.50	6881.33	USD	18,683.00	
10OCT17	B	2	PD10JAN18		6749.00	6881.33	USD	6,616.50	
10OCT17	B	2	PD10JAN18		6748.00	6881.33	USD	6,666.50	
10OCT17	B	2	PD10JAN18		6759.50	6881.33	USD	6,091.50	
10OCT17	B	2	PD10JAN18		6751.00	6881.33	USD	6,516.50	
10OCT17	B	2	PD10JAN18		6742.00	6881.33	USD	6,966.50	
10OCT17	B	2	PD10JAN18		6740.50	6881.33	USD	7,041.50	
10OCT17	B	2	PD10JAN18		6759.50	6881.33	USD	6,091.50	
	NET	+16 AVG BGHT 6730.91	SOLD 6706.50					56,507.00	0.00
11OCT17	B	2	PD11JAN18		6734.50	6881.67	USD	7,358.50	
11OCT17	B	2	PD11JAN18		6738.00	6881.67	USD	7,183.50	
11OCT17	S	4	PD11JAN18		6727.00	6881.67	USD	-15,467.00	
11OCT17	B	2	PD11JAN18		6731.00	6881.67	USD	7,533.50	
11OCT17	B	1	PD11JAN18		6733.00	6881.67	USD	3,716.75	
11OCT17	B	1	PD11JAN18		6733.50	6881.67	USD	3,704.25	
11OCT17	S	2	PD11JAN18		6759.00	6881.67	USD	-6,133.50	
11OCT17	S	2	PD11JAN18		6758.00	6881.67	USD	-6,183.50	
11OCT17	B	2	PD11JAN18		6774.00	6881.67	USD	5,383.50	
11OCT17	B	2	PD11JAN18		6790.00	6881.67	USD	4,583.50	
11OCT17	S	1	PD11JAN18		6779.00	6881.67	USD	-2,566.75	
11OCT17	S	2	PD11JAN18		6774.50	6881.67	USD	-5,358.50	
11OCT17	S	1	PD11JAN18		6779.00	6881.67	USD	-2,566.75	
11OCT17	B	2	PD11JAN18		6792.00	6881.67	USD	4,483.50	
11OCT17	B	1	PD11JAN18		6800.00	6881.67	USD	2,041.75	
11OCT17	B	2	PD11JAN18		6806.50	6881.67	USD	3,758.50	
11OCT17	B	2	PD11JAN18		6796.00	6881.67	USD	4,283.50	
11OCT17	B	2	PD11JAN18		6798.00	6881.67	USD	4,183.50	
11OCT17	B	1	PD11JAN18		6798.50	6881.67	USD	2,079.25	
11OCT17	B	1	PD11JAN18		6799.00	6881.67	USD	2,066.75	
11OCT17	B	2	PD11JAN18		6802.00	6881.67	USD	3,983.50	
11OCT17	B	1	PD11JAN18		6799.50	6881.67	USD	2,054.25	
	NET	+14 AVG BGHT 6776.44	SOLD 6754.08					30,122.00	0.00
12OCT17	S	2	PD12JAN18		6814.00	6882.00	USD	-3,400.00	
12OCT17	S	2	PD12JAN18		6808.00	6882.00	USD	-3,700.00	
12OCT17	S	2	PD12JAN18		6799.50	6882.00	USD	-4,125.00	
12OCT17	S	2	PD12JAN18		6798.50	6882.00	USD	-4,175.00	
12OCT17	S	2	PD12JAN18		6795.50	6882.00	USD	-4,325.00	
12OCT17	B	2	PD12JAN18		6831.50	6882.00	USD	2,525.00	
12OCT17	S	2	PD12JAN18		6867.50	6882.00	USD	-725.00	
12OCT17	S	2	PD12JAN18		6881.00	6882.00	USD	-50.00	
12OCT17	S	2	PD12JAN18		6885.00	6882.00	USD	150.00	
12OCT17	S	2	PD12JAN18		6897.00	6882.00	USD	750.00	
12OCT17	B	2	PD12JAN18		6871.00	6882.00	USD	550.00	
13OCT17	S	2	PD12JAN18		6853.50	6882.00	USD	-1,425.00	
13OCT17	B	2	PD12JAN18		6868.50	6882.00	USD	675.00	
13OCT17	S	2	PD12JAN18		6883.00	6882.00	USD	50.00	
13OCT17	S	2	PD12JAN18		6873.00	6882.00	USD	-450.00	
13OCT17	S	2	PD12JAN18		6872.50	6882.00	USD	-475.00	
13OCT17	S	4	PD12JAN18		6866.50	6882.00	USD	-1,550.00	
13OCT17	S	2	PD12JAN18		6881.50	6882.00	USD	-25.00	
	NET	-26 AVG BGHT 6857.00	SOLD 6852.66					-19,725.00	0.00
	<u>CMX GOLD 100 CMX</u>								
10OCT17	B	2	DEC17		1291.0	1304.6	USD	2,720.00	
10OCT17	B	4	DEC17		1295.4	1304.6	USD	3,680.00	
10OCT17	B	2	DEC17		1292.4	1304.6	USD	2,440.00	
	NET	+8 AVG BGHT 1293.6						8,840.00	0.00

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SAP00940

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backoffice@sapiencap.com

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	<u>LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
31JUL17	B	1	PD31OCT17	2329.50	2520.70	USD	4,780.00	
31JUL17	B	1	PD31OCT17	2330.00	2520.70	USD	4,767.50	
07SEP17	S	2	PD31OCT17	2327.94	2520.70	USD	-9,638.00	
	NET	0 AVG	BGHT 2329.75				-90.50	0.00
03AUG17	S	1	PD03NOV17	2380.50	2521.13	USD	-3,515.75	
03AUG17	S	1	PD03NOV17	2381.00	2521.13	USD	-3,503.25	
03AUG17	B	1	PD03NOV17	2359.50	2521.13	USD	4,040.75	
03AUG17	B	2	PD03NOV17	2358.50	2521.13	USD	8,131.50	
03AUG17	S	2	PD03NOV17	2351.00	2521.13	USD	-8,506.50	
03AUG17	S	2	PD03NOV17	2351.50	2521.13	USD	-8,481.50	
03AUG17	B	2	PD03NOV17	2353.00	2521.13	USD	8,406.50	
03AUG17	B	1	PD03NOV17	2359.50	2521.13	USD	4,040.75	
	NET	0 AVG	BGHT 2357.00				612.50	0.00
07AUG17	B	2	PD07NOV17	2352.00	2521.67	USD	8,483.50	
07AUG17	S	2	PD07NOV17	2353.00	2521.67	USD	-8,433.50	
	NET	0 AVG	BGHT 2352.00				50.00	0.00
11AUG17	B	2	PD10NOV17	2356.00	2522.08	USD	8,304.00	
23AUG17	S	2	PD10NOV17	2374.00	2522.08	USD	-7,404.00	
	NET	0 AVG	BGHT 2356.00				900.00	0.00
16AUG17	S	2	PD16NOV17	2389.00	2522.75	USD	-6,687.50	
23AUG17	B	2	PD16NOV17	2375.20	2522.75	USD	7,377.50	
	NET	0 AVG	BGHT 2375.20				690.00	0.00
17AUG17	B	1	PD17NOV17	2517.50	2522.75	USD	131.25	
17AUG17	B	2	PD17NOV17	2414.50	2522.75	USD	5,412.50	
17AUG17	S	1	PD17NOV17	2415.00	2522.75	USD	-2,693.75	
18AUG17	S	2	PD17NOV17	2402.00	2522.75	USD	-6,037.50	
18AUG17	S	2	PD17NOV17	2372.50	2522.75	USD	-7,512.50	
18AUG17	B	2	PD17NOV17	2379.50	2522.75	USD	7,162.50	
	NET	0 AVG	BGHT 2421.10				-3,537.50	0.00
21AUG17	B	2	PD21NOV17	2361.50	2523.36	USD	8,093.00	
07SEP17	S	2	PD21NOV17	2335.38	2523.36	USD	-9,399.00	
	NET	0 AVG	BGHT 2361.50				-1,306.00	0.00
22AUG17	S	2	PD22NOV17	2347.00	2523.51	USD	-8,825.50	
07SEP17	B	2	PD22NOV17	2335.90	2523.51	USD	9,380.50	
	NET	0 AVG	BGHT 2335.90				555.00	0.00
24AUG17	S	2	PD24NOV17	2388.50	2523.81	USD	-6,765.50	
24AUG17	B	2	PD24NOV17	2355.50	2523.81	USD	8,415.50	
25AUG17	B	2	PD24NOV17	2374.00	2523.81	USD	7,490.50	
25AUG17	S	1	PD24NOV17	2348.00	2523.81	USD	-4,395.25	
25AUG17	S	1	PD24NOV17	2347.00	2523.81	USD	-4,420.25	
25AUG17	S	2	PD24NOV17	2345.50	2523.81	USD	-8,915.50	
07SEP17	B	2	PD24NOV17	2336.55	2523.81	USD	9,363.00	
	NET	0 AVG	BGHT 2355.35				772.50	0.00
29AUG17	B	2	PD29NOV17	2351.50	2524.57	USD	8,653.50	
29AUG17	S	2	PD29NOV17	2408.00	2524.57	USD	-5,828.50	
	NET	0 AVG	BGHT 2351.50				2,825.00	0.00
30AUG17	B	2	PD30NOV17	2393.00	2524.72	USD	6,586.00	
13SEP17	S	2	PD30NOV17	2285.91	2524.72	USD	-11,940.50	
	NET	0 AVG	BGHT 2393.00				-5,354.50	0.00
01SEP17	B	2	PD01DEC17	2397.50	2524.87	USD	6,368.50	
13SEP17	S	2	PD01DEC17	2286.22	2524.87	USD	-11,932.50	
	NET	0 AVG	BGHT 2397.50				-5,564.00	0.00
05SEP17	S	2	PD05DEC17	2327.50	2525.48	USD	-9,899.00	
13SEP17	B	2	PD05DEC17	2287.68	2525.48	USD	11,890.00	

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	<u>LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>								
	NET	0 AVG	BGHT	2287.68	SOLD	2327.50		1,991.00	0.00
06SEP17	B	2	PD06DEC17		2332.00	2525.63	USD	9,681.50	
06SEP17	S	2	PD06DEC17		2347.00	2525.63	USD	-8,931.50	
06SEP17	B	2	PD06DEC17		2342.50	2525.63	USD	9,156.50	
20SEP17	S	2	PD06DEC17		2457.80	2525.63	USD	-3,391.50	
	NET	0 AVG	BGHT	2337.25	SOLD	2402.40		6,515.00	0.00
07SEP17	S	2	PD07DEC17		2345.50	2525.78	USD	-9,014.00	
13SEP17	B	2	PD07DEC17		2288.31	2525.78	USD	11,873.50	
	NET	0 AVG	BGHT	2288.31	SOLD	2345.50		2,859.50	0.00
08SEP17	B	2	PD08DEC17		2351.00	2525.93	USD	8,746.50	
08SEP17	S	2	PD08DEC17		2331.50	2525.93	USD	-9,721.50	
08SEP17	B	2	PD08DEC17		2269.00	2525.93	USD	12,846.50	
20SEP17	S	2	PD08DEC17		2458.11	2525.93	USD	-3,391.00	
	NET	0 AVG	BGHT	2310.00	SOLD	2394.81		8,480.50	0.00
11SEP17	S	2	PD11DEC17		2265.50	2526.39	USD	-13,044.50	
11SEP17	B	2	PD11DEC17		2281.50	2526.39	USD	12,244.50	
11SEP17	B	2	PD11DEC17		2275.00	2526.39	USD	12,569.50	
20SEP17	S	2	PD11DEC17		2458.59	2526.39	USD	-3,390.00	
	NET	0 AVG	BGHT	2278.25	SOLD	2362.05		8,379.50	0.00
12SEP17	S	2	PD12DEC17		2277.00	2526.54	USD	-12,477.00	
12SEP17	B	2	PD12DEC17		2282.50	2526.54	USD	12,202.00	
	NET	0 AVG	BGHT	2282.50	SOLD	2277.00		-275.00	0.00
13SEP17	S	2	PD13DEC17		2290.00	2526.69	USD	-11,834.50	
13SEP17	B	2	PD13DEC17		2300.00	2526.69	USD	11,334.50	
	NET	0 AVG	BGHT	2300.00	SOLD	2290.00		-500.00	0.00
15SEP17	S	1	PD15DEC17		2327.50	2526.99	USD	-4,987.25	
15SEP17	S	1	PD15DEC17		2327.00	2526.99	USD	-4,999.75	
15SEP17	S	1	PD15DEC17		2346.00	2526.99	USD	-4,524.75	
15SEP17	S	1	PD15DEC17		2345.00	2526.99	USD	-4,549.75	
15SEP17	S	2	PD15DEC17		2335.00	2526.99	USD	-9,599.50	
20SEP17	B	6	PD15DEC17		2459.41	2526.99	USD	10,137.00	
	NET	0 AVG	BGHT	2459.41	SOLD	2335.92		-18,524.00	0.00
18SEP17	B	2	PD18DEC17		2395.50	2527.45	USD	6,597.50	
29SEP17	S	2	PD18DEC17		2490.08	2527.45	USD	-1,868.50	
	NET	0 AVG	BGHT	2395.50	SOLD	2490.08		4,729.00	0.00
19SEP17	B	2	PD19DEC17		2384.00	2527.60	USD	7,180.00	
19SEP17	S	2	PD19DEC17		2410.50	2527.60	USD	-5,855.00	
19SEP17	B	2	PD19DEC17		2421.50	2527.60	USD	5,305.00	
19SEP17	B	2	PD19DEC17		2419.50	2527.60	USD	5,405.00	
29SEP17	S	4	PD19DEC17		2490.04	2527.60	USD	-3,756.00	
	NET	0 AVG	BGHT	2408.33	SOLD	2463.53		8,279.00	0.00
20SEP17	B	1	PD20DEC17		2448.50	2527.75	USD	1,981.25	
20SEP17	B	1	PD20DEC17		2449.00	2527.75	USD	1,968.75	
29SEP17	S	2	PD20DEC17		2490.00	2527.75	USD	-1,887.50	
	NET	0 AVG	BGHT	2448.75	SOLD	2490.00		2,062.50	0.00
21SEP17	S	2	PD21DEC17		2491.50	2526.75	USD	-1,762.50	
21SEP17	S	2	PD21DEC17		2487.00	2526.75	USD	-1,987.50	
21SEP17	S	2	PD21DEC17		2473.50	2526.75	USD	-2,662.50	
21SEP17	S	1	PD21DEC17		2466.50	2526.75	USD	-1,506.25	
21SEP17	S	1	PD21DEC17		2464.00	2526.75	USD	-1,568.75	
29SEP17	B	8	PD21DEC17		2489.20	2526.75	USD	7,510.00	
	NET	0 AVG	BGHT	2489.20	SOLD	2479.31		-1,977.50	0.00
22SEP17	B	2	PD22DEC17		2434.00	2526.90	USD	4,645.00	
22SEP17	B	2	PD22DEC17		2390.00	2526.90	USD	6,845.00	

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	<u>LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
22SEP17	S	1	PD22DEC17	2463.00	2526.90	USD	-1,597.50	
22SEP17	S	1	PD22DEC17	2462.50	2526.90	USD	-1,610.00	
22SEP17	B	2	PD22DEC17	2476.00	2526.90	USD	2,545.00	
22SEP17	S	1	PD22DEC17	2482.50	2526.90	USD	-1,110.00	
22SEP17	S	2	PD22DEC17	2475.50	2526.90	USD	-2,570.00	
22SEP17	S	1	PD22DEC17	2484.50	2526.90	USD	-1,060.00	
	NET	0AVG BGHT 2433.33	SOLD 2473.92				6,087.50	0.00
25SEP17	S	2	PD27DEC17	2489.50	2527.64	USD	-1,907.00	
25SEP17	B	2	PD27DEC17	2501.00	2527.64	USD	1,332.00	
25SEP17	B	2	PD27DEC17	2502.00	2527.64	USD	1,282.00	
25SEP17	S	2	PD27DEC17	2503.00	2527.64	USD	-1,232.00	
25SEP17	B	2	PD27DEC17	2507.00	2527.64	USD	1,032.00	
25SEP17	S	2	PD27DEC17	2476.00	2527.64	USD	-2,582.00	
	NET	0AVG BGHT 2503.33	SOLD 2489.50				-2,075.00	0.00
10OCT17	S	2	PD10JAN18	2511.00	2529.70	USD	-935.00	
	NET	-2AVG SOLD 2511.00					-935.00	0.00
	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
20JUL17	B	2	PD20OCT17	9490.00	11611.35	USD	25,456.20	
20JUL17	B	1	PD20OCT17	9470.00	11611.35	USD	12,848.10	
20JUL17	B	1	PD20OCT17	9495.00	11611.35	USD	12,698.10	
20JUL17	B	2	PD20OCT17	9505.00	11611.35	USD	25,276.20	
21JUL17	S	1	PD20OCT17	9665.00	11611.35	USD	-11,678.10	
21JUL17	S	1	PD20OCT17	9670.00	11611.35	USD	-11,648.10	
21JUL17	S	1	PD20OCT17	9640.00	11611.35	USD	-11,828.10	
21JUL17	B	1	PD20OCT17	9645.00	11611.35	USD	11,798.10	
21JUL17	S	1	PD20OCT17	9610.00	11611.35	USD	-12,008.10	
21JUL17	B	1	PD20OCT17	9615.00	11611.35	USD	11,978.10	
21JUL17	S	1	PD20OCT17	9600.00	11611.35	USD	-12,068.10	
21JUL17	S	3	PD20OCT17	9605.00	11611.35	USD	-36,114.30	
	NET	0AVG BGHT 9526.88	SOLD 9625.00				4,710.00	0.00
24JUL17	B	1	PD24OCT17	9625.00	11614.76	USD	11,938.56	
24JUL17	B	1	PD24OCT17	9620.00	11614.76	USD	11,968.56	
24JUL17	B	2	PD24OCT17	9640.00	11614.76	USD	23,697.12	
24JUL17	B	1	PD24OCT17	9645.00	11614.76	USD	11,818.56	
24JUL17	B	1	PD24OCT17	9650.00	11614.76	USD	11,788.56	
24JUL17	B	1	PD24OCT17	9655.00	11614.76	USD	11,758.56	
24JUL17	B	1	PD24OCT17	9675.00	11614.76	USD	11,638.56	
24JUL17	B	1	PD24OCT17	9670.00	11614.76	USD	11,668.56	
24JUL17	B	1	PD24OCT17	9655.00	11614.76	USD	11,758.56	
08AUG17	S	10	PD24OCT17	10623.93	11614.76	USD	-59,449.80	
	NET	0AVG BGHT 9647.50	SOLD 10623.93				58,585.80	0.00
25JUL17	B	1	PD25OCT17	9860.00	11615.61	USD	10,533.66	
25JUL17	S	1	PD25OCT17	9875.00	11615.61	USD	-10,443.66	
25JUL17	S	1	PD25OCT17	9965.00	11615.61	USD	-9,903.66	
25JUL17	S	1	PD25OCT17	9960.00	11615.61	USD	-9,933.66	
25JUL17	S	1	PD25OCT17	9950.00	11615.61	USD	-9,993.66	
25JUL17	S	1	PD25OCT17	9945.00	11615.61	USD	-10,023.66	
25JUL17	S	1	PD25OCT17	9955.00	11615.61	USD	-9,963.66	
25JUL17	S	1	PD25OCT17	9975.00	11615.61	USD	-9,843.66	
25JUL17	S	2	PD25OCT17	10000.00	11615.61	USD	-19,387.32	
25JUL17	S	1	PD25OCT17	10010.00	11615.61	USD	-9,633.66	
25JUL17	S	1	PD25OCT17	10015.00	11615.61	USD	-9,603.66	
25JUL17	B	1	PD25OCT17	10010.00	11615.61	USD	9,633.66	
25JUL17	S	1	PD25OCT17	10005.00	11615.61	USD	-9,663.66	

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08AUG17	B	10	PD250CT17	10625.33	11615.61	USD	59,416.80	
	NET	0 AVG BGHT 10510.28	SOLD 9971.25				-38,809.80	0.00
26JUL17	B	1	PD260CT17	10080.00	11616.46	USD	9,218.76	
26JUL17	S	1	PD260CT17	10010.00	11616.46	USD	-9,638.76	
26JUL17	S	1	PD260CT17	9995.00	11616.46	USD	-9,728.76	
26JUL17	B	1	PD260CT17	9930.00	11616.46	USD	10,118.76	
26JUL17	S	1	PD260CT17	9955.00	11616.46	USD	-9,968.76	
26JUL17	B	1	PD260CT17	10080.00	11616.46	USD	9,218.76	
	NET	0 AVG BGHT 10030.00	SOLD 9986.67				-780.00	0.00
27JUL17	B	1	PD270CT17	10000.00	11617.31	USD	9,703.86	
27JUL17	B	1	PD270CT17	9995.00	11617.31	USD	9,733.86	
27JUL17	B	1	PD270CT17	9990.00	11617.31	USD	9,763.86	
27JUL17	B	1	PD270CT17	9980.00	11617.31	USD	9,823.86	
27JUL17	B	1	PD270CT17	10005.00	11617.31	USD	9,673.86	
27JUL17	B	2	PD270CT17	10015.00	11617.31	USD	19,227.72	
27JUL17	B	1	PD270CT17	10030.00	11617.31	USD	9,523.86	
27JUL17	B	6	PD270CT17	10025.00	11617.31	USD	57,323.16	
27JUL17	S	2	PD270CT17	10050.00	11617.31	USD	-18,807.72	
27JUL17	S	2	PD270CT17	10055.00	11617.31	USD	-18,747.72	
27JUL17	S	1	PD270CT17	10090.00	11617.31	USD	-9,163.86	
27JUL17	S	1	PD270CT17	10150.00	11617.31	USD	-8,803.86	
27JUL17	S	1	PD270CT17	10140.00	11617.31	USD	-8,863.86	
27JUL17	S	1	PD270CT17	10135.00	11617.31	USD	-8,893.86	
27JUL17	S	2	PD270CT17	10130.00	11617.31	USD	-17,847.72	
27JUL17	S	4	PD270CT17	10125.00	11617.31	USD	-35,815.44	
28JUL17	S	1	PD270CT17	10090.00	11617.31	USD	-9,163.86	
28JUL17	S	1	PD270CT17	10060.00	11617.31	USD	-9,343.86	
28JUL17	B	1	PD270CT17	10055.00	11617.31	USD	9,373.86	
28JUL17	S	1	PD270CT17	10025.00	11617.31	USD	-9,553.86	
28JUL17	B	1	PD270CT17	10060.00	11617.31	USD	9,343.86	
28JUL17	B	2	PD270CT17	10050.00	11617.31	USD	18,807.72	
28JUL17	B	1	PD270CT17	10105.00	11617.31	USD	9,073.86	
28JUL17	B	1	PD270CT17	10130.00	11617.31	USD	8,923.86	
28JUL17	S	1	PD270CT17	10245.00	11617.31	USD	-8,233.86	
28JUL17	S	1	PD270CT17	10240.00	11617.31	USD	-8,263.86	
28JUL17	S	1	PD270CT17	10250.00	11617.31	USD	-8,203.86	
28JUL17	S	1	PD270CT17	10255.00	11617.31	USD	-8,173.86	
28JUL17	S	1	PD270CT17	10275.00	11617.31	USD	-8,053.86	
28JUL17	S	1	PD270CT17	10285.00	11617.31	USD	-7,993.86	
28JUL17	S	2	PD270CT17	10280.00	11617.31	USD	-16,047.72	
28JUL17	B	1	PD270CT17	10205.00	11617.31	USD	8,473.86	
28JUL17	B	1	PD270CT17	10200.00	11617.31	USD	8,503.86	
28JUL17	B	2	PD270CT17	10245.00	11617.31	USD	16,467.72	
28JUL17	B	2	PD270CT17	10250.00	11617.31	USD	16,407.72	
28JUL17	S	3	PD270CT17	10260.00	11617.31	USD	-24,431.58	
08AUG17	B	2	PD270CT17	10625.14	11617.31	USD	11,906.04	
	NET	0 AVG BGHT 10116.97	SOLD 10162.50				7,648.32	0.00
31JUL17	B	2	PD310CT17	10250.00	11620.72	USD	16,448.64	
31JUL17	B	2	PD310CT17	10240.00	11620.72	USD	16,568.64	
31JUL17	B	3	PD310CT17	10225.00	11620.72	USD	25,122.96	
31JUL17	B	1	PD310CT17	10225.00	11620.72	USD	8,374.32	
08AUG17	S	8	PD310CT17	10626.76	11620.72	USD	-47,710.08	
	NET	0 AVG BGHT 10235.00	SOLD 10626.76				18,804.48	0.00
01AUG17	S	2	PD01NOV17	10095.00	11621.57	USD	-18,318.84	
01AUG17	S	2	PD01NOV17	10110.00	11621.57	USD	-18,138.84	
01AUG17	B	1	PD01NOV17	10105.00	11621.57	USD	9,099.42	

OPEN POSITION STATEMENT



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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
01AUG17	B	1	PD01NOV17	10110.00	11621.57	USD	9,069.42	
01AUG17	B	2	PD01NOV17	10155.00	11621.57	USD	17,598.84	
01AUG17	S	2	PD01NOV17	10100.00	11621.57	USD	-18,258.84	
01AUG17	S	2	PD01NOV17	10090.00	11621.57	USD	-18,378.84	
01AUG17	S	2	PD01NOV17	10200.00	11621.57	USD	-17,058.84	
01AUG17	S	4	PD01NOV17	10175.00	11621.57	USD	-34,717.68	
01AUG17	S	2	PD01NOV17	10190.00	11621.57	USD	-17,178.84	
08AUG17	B	4	PD01NOV17	10627.17	11621.57	USD	23,865.60	
08AUG17	B	8	PD01NOV17	10628.17	11621.57	USD	47,683.20	
	NET	0AVG BGHT 10503.69	SOLD 10141.88				-34,734.24	0.00
02AUG17	B	2	PD02NOV17	10210.00	11622.43	USD	16,949.16	
02AUG17	S	2	PD02NOV17	10210.00	11622.43	USD	-16,949.16	
02AUG17	B	2	PD02NOV17	10335.00	11622.43	USD	15,449.16	
08AUG17	S	2	PD02NOV17	10626.57	11622.43	USD	-11,950.32	
	NET	0AVG BGHT 10272.50	SOLD 10418.29				3,498.84	0.00
07AUG17	S	2	PD07NOV17	10300.00	11626.69	USD	-15,920.28	
07AUG17	B	2	PD07NOV17	10345.00	11626.69	USD	15,380.28	
07AUG17	B	2	PD07NOV17	10380.00	11626.69	USD	14,960.28	
07AUG17	B	1	PD07NOV17	10370.00	11626.69	USD	7,540.14	
07AUG17	B	1	PD07NOV17	10365.00	11626.69	USD	7,570.14	
07AUG17	B	3	PD07NOV17	10355.00	11626.69	USD	22,890.42	
07AUG17	B	3	PD07NOV17	10360.00	11626.69	USD	22,800.42	
07AUG17	B	2	PD07NOV17	10385.00	11626.69	USD	14,900.28	
07AUG17	S	1	PD07NOV17	10385.00	11626.69	USD	-7,450.14	
08AUG17	S	4	PD07NOV17	10628.60	11626.69	USD	-23,954.16	
23AUG17	S	7	PD07NOV17	11651.31	11626.69	USD	1,034.04	
	NET	0AVG BGHT 10364.29	SOLD 11075.61				59,751.42	0.00
08AUG17	S	2	PD08NOV17	10360.00	11627.54	USD	-15,210.48	
08AUG17	S	2	PD08NOV17	10365.00	11627.54	USD	-15,150.48	
08AUG17	B	2	PD08NOV17	10400.00	11627.54	USD	14,730.48	
08AUG17	B	2	PD08NOV17	10530.00	11627.54	USD	13,170.48	
08AUG17	B	2	PD08NOV17	10535.00	11627.54	USD	13,110.48	
08AUG17	B	2	PD08NOV17	10655.00	11627.54	USD	11,670.48	
08AUG17	S	1	PD08NOV17	10770.00	11627.54	USD	-5,145.24	
08AUG17	S	1	PD08NOV17	10755.00	11627.54	USD	-5,235.24	
08AUG17	B	1	PD08NOV17	10755.00	11627.54	USD	5,235.24	
08AUG17	S	1	PD08NOV17	10755.00	11627.54	USD	-5,235.24	
23AUG17	S	2	PD08NOV17	11652.02	11627.54	USD	293.76	
	NET	0AVG BGHT 10555.00	SOLD 10781.56				12,234.24	0.00
09AUG17	S	2	PD09NOV17	10805.00	11628.39	USD	-9,880.68	
09AUG17	B	1	PD09NOV17	10755.00	11628.39	USD	5,240.34	
09AUG17	S	1	PD09NOV17	10755.00	11628.39	USD	-5,240.34	
09AUG17	B	2	PD09NOV17	10775.00	11628.39	USD	10,240.68	
09AUG17	S	2	PD09NOV17	10765.00	11628.39	USD	-10,360.68	
09AUG17	B	4	PD09NOV17	10740.00	11628.39	USD	21,321.36	
09AUG17	S	1	PD09NOV17	10735.00	11628.39	USD	-5,360.34	
09AUG17	S	3	PD09NOV17	10740.00	11628.39	USD	-15,991.02	
09AUG17	B	2	PD09NOV17	10735.00	11628.39	USD	10,720.68	
	NET	0AVG BGHT 10748.33	SOLD 10761.11				690.00	0.00
10AUG17	S	1	PD10NOV17	10800.00	11629.24	USD	-4,975.44	
10AUG17	S	3	PD10NOV17	10795.00	11629.24	USD	-15,016.32	
10AUG17	B	2	PD10NOV17	10800.00	11629.24	USD	9,950.88	
10AUG17	B	2	PD10NOV17	10795.00	11629.24	USD	10,010.88	
10AUG17	B	2	PD10NOV17	10780.00	11629.24	USD	10,190.88	
10AUG17	S	1	PD10NOV17	10915.00	11629.24	USD	-4,285.44	
10AUG17	S	1	PD10NOV17	10925.00	11629.24	USD	-4,225.44	

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11AUG17	S	1	PD10NOV17	10820.00	11629.24	USD	-4,855.44	
11AUG17	S	1	PD10NOV17	10815.00	11629.24	USD	-4,885.44	
11AUG17	S	4	PD10NOV17	10835.00	11629.24	USD	-19,061.76	
11AUG17	S	2	PD10NOV17	10735.00	11629.24	USD	-10,730.88	
11AUG17	S	2	PD10NOV17	10635.00	11629.24	USD	-11,930.88	
11AUG17	S	4	PD10NOV17	10655.00	11629.24	USD	-23,381.76	
11AUG17	B	2	PD10NOV17	10660.00	11629.24	USD	11,630.88	
11AUG17	S	4	PD10NOV17	10660.00	11629.24	USD	-23,261.76	
11AUG17	B	7	PD10NOV17	10655.00	11629.24	USD	40,918.08	
11AUG17	B	12	PD10NOV17	10680.00	11629.24	USD	68,345.28	
11AUG17	S	2	PD10NOV17	10605.00	11629.24	USD	-12,290.88	
11AUG17	S	2	PD10NOV17	10650.00	11629.24	USD	-11,750.88	
11AUG17	B	1	PD10NOV17	10655.00	11629.24	USD	5,845.44	
	NET	0 AVG BGHT 10695.36	SOLD 10732.50				6,240.00	0.00
14AUG17	S	4	PD14NOV17	10490.00	11632.65	USD	-27,423.60	
14AUG17	B	2	PD14NOV17	10515.00	11632.65	USD	13,411.80	
14AUG17	B	2	PD14NOV17	10525.00	11632.65	USD	13,291.80	
14AUG17	B	2	PD14NOV17	10490.00	11632.65	USD	13,711.80	
14AUG17	B	2	PD14NOV17	10435.00	11632.65	USD	14,371.80	
14AUG17	S	2	PD14NOV17	10440.00	11632.65	USD	-14,311.80	
14AUG17	S	2	PD14NOV17	10415.00	11632.65	USD	-14,611.80	
14AUG17	S	2	PD14NOV17	10420.00	11632.65	USD	-14,551.80	
14AUG17	S	2	PD14NOV17	10410.00	11632.65	USD	-14,671.80	
14AUG17	S	2	PD14NOV17	10430.00	11632.65	USD	-14,431.80	
23AUG17	B	6	PD14NOV17	11657.29	11632.65	USD	-887.04	
	NET	0 AVG BGHT 10990.98	SOLD 10442.14				-46,102.44	0.00
15AUG17	B	2	PD15NOV17	10415.00	11633.50	USD	14,622.00	
15AUG17	B	4	PD15NOV17	10455.00	11633.50	USD	28,284.00	
15AUG17	S	2	PD15NOV17	10495.00	11633.50	USD	-13,662.00	
15AUG17	S	2	PD15NOV17	10410.00	11633.50	USD	-14,682.00	
15AUG17	B	4	PD15NOV17	10410.00	11633.50	USD	29,364.00	
15AUG17	B	2	PD15NOV17	10355.00	11633.50	USD	15,342.00	
23AUG17	S	8	PD15NOV17	11657.00	11633.50	USD	1,128.00	
	NET	0 AVG BGHT 10416.67	SOLD 11255.50				60,396.00	0.00
16AUG17	S	4	PD16NOV17	10555.00	11634.19	USD	-25,900.56	
16AUG17	S	2	PD16NOV17	10560.00	11634.19	USD	-12,890.28	
16AUG17	S	1	PD16NOV17	10565.00	11634.19	USD	-6,415.14	
16AUG17	S	4	PD16NOV17	10570.00	11634.19	USD	-25,540.56	
16AUG17	S	6	PD16NOV17	10575.00	11634.19	USD	-38,130.84	
16AUG17	B	2	PD16NOV17	10690.00	11634.19	USD	11,330.28	
16AUG17	B	4	PD16NOV17	10685.00	11634.19	USD	22,780.56	
16AUG17	B	4	PD16NOV17	10680.00	11634.19	USD	22,900.56	
16AUG17	B	2	PD16NOV17	10705.00	11634.19	USD	11,150.28	
16AUG17	S	2	PD16NOV17	10750.00	11634.19	USD	-10,610.28	
16AUG17	B	4	PD16NOV17	10720.00	11634.19	USD	21,940.56	
16AUG17	S	1	PD16NOV17	10565.00	11634.19	USD	-6,415.14	
16AUG17	S	2	PD16NOV17	10570.00	11634.19	USD	-12,770.28	
16AUG17	S	2	PD16NOV17	10815.00	11634.19	USD	-9,830.28	
23AUG17	B	8	PD16NOV17	11656.00	11634.19	USD	-1,046.88	
	NET	0 AVG BGHT 11015.75	SOLD 10602.92				-59,448.00	0.00
17AUG17	B	4	PD17NOV17	10805.00	11634.87	USD	19,916.88	
17AUG17	S	2	PD17NOV17	10780.00	11634.87	USD	-10,258.44	
17AUG17	S	2	PD17NOV17	10825.00	11634.87	USD	-9,718.44	
17AUG17	S	2	PD17NOV17	10850.00	11634.87	USD	-9,418.44	
17AUG17	S	2	PD17NOV17	10865.00	11634.87	USD	-9,238.44	
17AUG17	S	2	PD17NOV17	10830.00	11634.87	USD	-9,658.44	

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17AUG17	S	2	PD17NOV17	10815.00	11634.87	USD	-9,838.44	
17AUG17	S	1	PD17NOV17	10810.00	11634.87	USD	-4,949.22	
17AUG17	S	1	PD17NOV17	10800.00	11634.87	USD	-5,009.22	
17AUG17	S	2	PD17NOV17	10790.00	11634.87	USD	-10,138.44	
17AUG17	B	2	PD17NOV17	10810.00	11634.87	USD	9,898.44	
17AUG17	B	2	PD17NOV17	10725.00	11634.87	USD	10,918.44	
17AUG17	B	1	PD17NOV17	10730.00	11634.87	USD	5,429.22	
17AUG17	B	4	PD17NOV17	10770.00	11634.87	USD	20,756.88	
17AUG17	B	9	PD17NOV17	10725.00	11634.87	USD	49,132.98	
17AUG17	B	2	PD17NOV17	10740.00	11634.87	USD	10,738.44	
18AUG17	B	1	PD17NOV17	10890.00	11634.87	USD	4,469.22	
18AUG17	B	4	PD17NOV17	10915.00	11634.87	USD	17,276.88	
18AUG17	B	2	PD17NOV17	11020.00	11634.87	USD	7,378.44	
18AUG17	B	2	PD17NOV17	11005.00	11634.87	USD	7,558.44	
18AUG17	S	2	PD17NOV17	10895.00	11634.87	USD	-8,878.44	
18AUG17	B	2	PD17NOV17	10875.00	11634.87	USD	9,118.44	
18AUG17	S	2	PD17NOV17	10865.00	11634.87	USD	-9,238.44	
18AUG17	S	1	PD17NOV17	10845.00	11634.87	USD	-4,739.22	
18AUG17	S	1	PD17NOV17	10815.00	11634.87	USD	-4,919.22	
18AUG17	B	2	PD17NOV17	10865.00	11634.87	USD	9,238.44	
18AUG17	B	1	PD17NOV17	10855.00	11634.87	USD	4,679.22	
18AUG17	B	2	PD17NOV17	10975.00	11634.87	USD	7,918.44	
18AUG17	B	2	PD17NOV17	10970.00	11634.87	USD	7,978.44	
23AUG17	S	11	PD17NOV17	11655.63	11634.87	USD	1,370.16	
07SEP17	S	9	PD17NOV17	12138.69	11634.87	USD	27,206.28	
	NET	0 AVG BGHT	10831.67	SOLD	11327.62		124,980.84	0.00
21AUG17	S	1	PD21NOV17	11225.00	11637.61	USD	-2,475.66	
21AUG17	S	1	PD21NOV17	11220.00	11637.61	USD	-2,505.66	
21AUG17	S	2	PD21NOV17	11110.00	11637.61	USD	-6,331.32	
21AUG17	S	2	PD21NOV17	11090.00	11637.61	USD	-6,571.32	
21AUG17	B	1	PD21NOV17	11225.00	11637.61	USD	2,475.66	
21AUG17	S	4	PD21NOV17	11015.00	11637.61	USD	-14,942.64	
21AUG17	S	2	PD21NOV17	11120.00	11637.61	USD	-6,211.32	
21AUG17	S	2	PD21NOV17	11115.00	11637.61	USD	-6,271.32	
21AUG17	S	2	PD21NOV17	11005.00	11637.61	USD	-7,591.32	
21AUG17	B	2	PD21NOV17	11175.00	11637.61	USD	5,551.32	
21AUG17	S	2	PD21NOV17	11200.00	11637.61	USD	-5,251.32	
21AUG17	S	2	PD21NOV17	11160.00	11637.61	USD	-5,731.32	
21AUG17	B	3	PD21NOV17	11220.00	11637.61	USD	7,516.98	
21AUG17	B	2	PD21NOV17	11155.00	11637.61	USD	5,791.32	
21AUG17	S	2	PD21NOV17	11195.00	11637.61	USD	-5,311.32	
21AUG17	B	2	PD21NOV17	11140.00	11637.61	USD	5,971.32	
21AUG17	S	2	PD21NOV17	11220.00	11637.61	USD	-5,011.32	
21AUG17	B	2	PD21NOV17	11295.00	11637.61	USD	4,111.32	
21AUG17	B	2	PD21NOV17	11265.00	11637.61	USD	4,471.32	
21AUG17	S	2	PD21NOV17	11280.00	11637.61	USD	-4,291.32	
21AUG17	S	2	PD21NOV17	11270.00	11637.61	USD	-4,411.32	
23AUG17	B	14	PD21NOV17	11659.13	11637.61	USD	-1,807.68	
	NET	0 AVG BGHT	11434.74	SOLD	11144.11		-48,826.92	0.00
22AUG17	S	4	PD22NOV17	11480.00	11638.30	USD	-3,799.20	
22AUG17	S	4	PD22NOV17	11475.00	11638.30	USD	-3,919.20	
22AUG17	S	2	PD22NOV17	11430.00	11638.30	USD	-2,499.60	
22AUG17	S	2	PD22NOV17	11410.00	11638.30	USD	-2,739.60	
22AUG17	B	2	PD22NOV17	11475.00	11638.30	USD	1,959.60	
22AUG17	B	4	PD22NOV17	11450.00	11638.30	USD	4,519.20	
22AUG17	B	2	PD22NOV17	11425.00	11638.30	USD	2,559.60	
22AUG17	B	2	PD22NOV17	11400.00	11638.30	USD	2,859.60	

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London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
22AUG17	S	2	PD22NOV17	11415.00	11638.30	USD	-2,679.60	
22AUG17	S	2	PD22NOV17	11420.00	11638.30	USD	-2,619.60	
22AUG17	S	2	PD22NOV17	11425.00	11638.30	USD	-2,559.60	
22AUG17	B	4	PD22NOV17	11405.00	11638.30	USD	5,599.20	
22AUG17	S	2	PD22NOV17	11395.00	11638.30	USD	-2,919.60	
22AUG17	S	2	PD22NOV17	11330.00	11638.30	USD	-3,699.60	
22AUG17	S	4	PD22NOV17	11390.00	11638.30	USD	-5,959.20	
22AUG17	S	2	PD22NOV17	11405.00	11638.30	USD	-2,799.60	
07SEP17	B	5	PD22NOV17	12141.67	11638.30	USD	-15,101.10	
07SEP17	B	9	PD22NOV17	12142.67	11638.30	USD	-27,235.98	
	NET	0 AVG BGHT 11786.16	SOLD 11422.86				-61,034.28	0.00
23AUG17	S	1	PD24NOV17	11340.00	11639.67	USD	-1,798.02	
23AUG17	S	1	PD24NOV17	11345.00	11639.67	USD	-1,768.02	
23AUG17	B	2	PD24NOV17	11345.00	11639.67	USD	3,536.04	
23AUG17	B	2	PD24NOV17	11365.00	11639.67	USD	3,296.04	
23AUG17	B	1	PD24NOV17	11380.00	11639.67	USD	1,558.02	
23AUG17	B	1	PD24NOV17	11400.00	11639.67	USD	1,438.02	
23AUG17	B	2	PD24NOV17	11385.00	11639.67	USD	3,056.04	
23AUG17	S	1	PD24NOV17	11455.00	11639.67	USD	-1,108.02	
23AUG17	S	2	PD24NOV17	11440.00	11639.67	USD	-2,396.04	
23AUG17	B	2	PD24NOV17	11450.00	11639.67	USD	2,276.04	
23AUG17	S	2	PD24NOV17	11450.00	11639.67	USD	-2,276.04	
23AUG17	S	2	PD24NOV17	11580.00	11639.67	USD	-716.04	
23AUG17	B	2	PD24NOV17	11550.00	11639.67	USD	1,076.04	
23AUG17	S	1	PD24NOV17	11455.00	11639.67	USD	-1,108.02	
23AUG17	S	2	PD24NOV17	11685.00	11639.67	USD	543.96	
23AUG17	B	2	PD24NOV17	11690.00	11639.67	USD	-603.96	
24AUG17	S	2	PD24NOV17	11750.00	11639.67	USD	1,323.96	
24AUG17	S	2	PD24NOV17	11660.00	11639.67	USD	243.96	
25AUG17	B	2	PD24NOV17	11680.00	11639.67	USD	-483.96	
25AUG17	B	4	PD24NOV17	11690.00	11639.67	USD	-1,207.92	
25AUG17	B	2	PD24NOV17	11520.00	11639.67	USD	1,436.04	
25AUG17	S	2	PD24NOV17	11540.00	11639.67	USD	-1,196.04	
25AUG17	S	2	PD24NOV17	11435.00	11639.67	USD	-2,456.04	
25AUG17	S	2	PD24NOV17	11445.00	11639.67	USD	-2,336.04	
25AUG17	S	1	PD24NOV17	11465.00	11639.67	USD	-1,048.02	
25AUG17	B	2	PD24NOV17	11405.00	11639.67	USD	2,816.04	
25AUG17	B	2	PD24NOV17	11420.00	11639.67	USD	2,636.04	
25AUG17	S	2	PD24NOV17	11410.00	11639.67	USD	-2,756.04	
25AUG17	S	1	PD24NOV17	11465.00	11639.67	USD	-1,048.02	
	NET	0 AVG BGHT 11506.15	SOLD 11512.12				930.00	0.00
29AUG17	S	2	PD29NOV17	11615.00	11643.10	USD	-337.20	
29AUG17	S	1	PD29NOV17	11835.00	11643.10	USD	1,151.40	
29AUG17	B	1	PD29NOV17	11830.00	11643.10	USD	-1,121.40	
29AUG17	B	1	PD29NOV17	11790.00	11643.10	USD	-881.40	
29AUG17	B	3	PD29NOV17	11795.00	11643.10	USD	-2,734.20	
29AUG17	S	3	PD29NOV17	11810.00	11643.10	USD	3,004.20	
29AUG17	B	2	PD29NOV17	11805.00	11643.10	USD	-1,942.80	
29AUG17	B	3	PD29NOV17	11810.00	11643.10	USD	-3,004.20	
29AUG17	B	2	PD29NOV17	11825.00	11643.10	USD	-2,182.80	
29AUG17	S	2	PD29NOV17	11600.00	11643.10	USD	-517.20	
29AUG17	B	4	PD29NOV17	11835.00	11643.10	USD	-4,605.60	
29AUG17	S	2	PD29NOV17	11640.00	11643.10	USD	-37.20	
29AUG17	B	4	PD29NOV17	11730.00	11643.10	USD	-2,085.60	
29AUG17	B	4	PD29NOV17	11725.00	11643.10	USD	-1,965.60	
29AUG17	S	2	PD29NOV17	11875.00	11643.10	USD	2,782.80	
29AUG17	S	2	PD29NOV17	11855.00	11643.10	USD	2,542.80	

OPEN POSITION STATEMENT



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SAP00940

13th October 2017

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
29AUG17	S	2	PD29NOV17	11805.00	11643.10	USD	1,942.80	
29AUG17	S	2	PD29NOV17	11865.00	11643.10	USD	2,662.80	
29AUG17	S	2	PD29NOV17	11695.00	11643.10	USD	622.80	
07SEP17	S	4	PD29NOV17	12144.83	11643.10	USD	12,041.52	
	NET	0 AVG BGHT 11785.63	SOLD 11822.68				5,335.92	0.00
30AUG17	B	2	PD30NOV17	11760.00	11643.79	USD	-1,394.52	
30AUG17	S	2	PD30NOV17	11715.00	11643.79	USD	854.52	
30AUG17	B	1	PD30NOV17	11580.00	11643.79	USD	382.74	
30AUG17	B	1	PD30NOV17	11585.00	11643.79	USD	352.74	
30AUG17	B	4	PD30NOV17	11620.00	11643.79	USD	570.96	
30AUG17	B	2	PD30NOV17	11645.00	11643.79	USD	-14.52	
30AUG17	B	2	PD30NOV17	11650.00	11643.79	USD	-74.52	
31AUG17	B	1	PD30NOV17	11665.00	11643.79	USD	-127.26	
31AUG17	S	1	PD30NOV17	11665.00	11643.79	USD	127.26	
31AUG17	B	1	PD30NOV17	11675.00	11643.79	USD	-187.26	
31AUG17	B	1	PD30NOV17	11675.00	11643.79	USD	-187.26	
31AUG17	B	2	PD30NOV17	11655.00	11643.79	USD	-134.52	
31AUG17	B	4	PD30NOV17	11670.00	11643.79	USD	-629.04	
31AUG17	B	2	PD30NOV17	11715.00	11643.79	USD	-854.52	
31AUG17	S	2	PD30NOV17	11735.00	11643.79	USD	1,094.52	
31AUG17	S	2	PD30NOV17	11715.00	11643.79	USD	854.52	
31AUG17	S	2	PD30NOV17	11720.00	11643.79	USD	914.52	
31AUG17	S	2	PD30NOV17	11770.00	11643.79	USD	1,514.52	
31AUG17	S	2	PD30NOV17	11795.00	11643.79	USD	1,814.52	
31AUG17	S	4	PD30NOV17	11820.00	11643.79	USD	4,229.04	
31AUG17	S	6	PD30NOV17	11785.00	11643.79	USD	5,083.56	
31AUG17	S	2	PD30NOV17	11800.00	11643.79	USD	1,874.52	
31AUG17	B	2	PD30NOV17	11775.00	11643.79	USD	-1,574.52	
	NET	0 AVG BGHT 11669.60	SOLD 11766.20				14,490.00	0.00
01SEP17	B	4	PD01DEC17	11815.00	11644.47	USD	-4,092.72	
01SEP17	B	2	PD01DEC17	11805.00	11644.47	USD	-1,926.36	
01SEP17	S	4	PD01DEC17	12000.00	11644.47	USD	8,532.72	
01SEP17	S	4	PD01DEC17	11995.00	11644.47	USD	8,412.72	
01SEP17	S	2	PD01DEC17	11985.00	11644.47	USD	4,086.36	
01SEP17	S	2	PD01DEC17	11990.00	11644.47	USD	4,146.36	
01SEP17	B	2	PD01DEC17	12040.00	11644.47	USD	-4,746.36	
01SEP17	B	4	PD01DEC17	12045.00	11644.47	USD	-9,612.72	
01SEP17	B	2	PD01DEC17	12030.00	11644.47	USD	-4,626.36	
01SEP17	S	2	PD01DEC17	11995.00	11644.47	USD	4,206.36	
	NET	0 AVG BGHT 11942.14	SOLD 11994.29				4,380.00	0.00
04SEP17	B	2	PD04DEC17	12225.00	11646.53	USD	-6,941.64	
04SEP17	B	2	PD04DEC17	12210.00	11646.53	USD	-6,761.64	
04SEP17	S	2	PD04DEC17	12250.00	11646.53	USD	7,241.64	
07SEP17	S	1	PD04DEC17	12147.81	11646.53	USD	3,007.68	
13SEP17	S	1	PD04DEC17	11353.08	11646.53	USD	-1,760.70	
	NET	0 AVG BGHT 12217.50	SOLD 12000.22				-5,214.66	0.00
05SEP17	S	2	PD05DEC17	12270.00	11647.21	USD	7,473.48	
05SEP17	S	2	PD05DEC17	12235.00	11647.21	USD	7,053.48	
05SEP17	S	2	PD05DEC17	12225.00	11647.21	USD	6,933.48	
05SEP17	B	2	PD05DEC17	12225.00	11647.21	USD	-6,933.48	
05SEP17	S	2	PD05DEC17	12215.00	11647.21	USD	6,813.48	
05SEP17	B	4	PD05DEC17	12215.00	11647.21	USD	-13,626.96	
05SEP17	B	4	PD05DEC17	12210.00	11647.21	USD	-13,506.96	
05SEP17	S	2	PD05DEC17	12255.00	11647.21	USD	7,293.48	
05SEP17	S	2	PD05DEC17	12075.00	11647.21	USD	5,133.48	
05SEP17	S	2	PD05DEC17	12080.00	11647.21	USD	5,193.48	

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>								
13SEP17	B	1	PD05DEC17		11354.92	11647.21	USD	1,753.74	
13SEP17	B	3	PD05DEC17		11353.92	11647.21	USD	5,279.22	
	NET	0AVG	BGHT 11969.05	SOLD 12193.57				18,859.92	0.00
06SEP17	B	2	PD06DEC17		11995.00	11647.90	USD	-4,165.20	
06SEP17	B	8	PD06DEC17		12000.00	11647.90	USD	-16,900.80	
06SEP17	B	4	PD06DEC17		12010.00	11647.90	USD	-8,690.40	
06SEP17	S	1	PD06DEC17		12070.00	11647.90	USD	2,532.60	
06SEP17	S	3	PD06DEC17		12065.00	11647.90	USD	7,507.80	
06SEP17	S	2	PD06DEC17		12075.00	11647.90	USD	5,125.20	
06SEP17	S	2	PD06DEC17		12080.00	11647.90	USD	5,185.20	
06SEP17	S	2	PD06DEC17		12095.00	11647.90	USD	5,365.20	
06SEP17	S	2	PD06DEC17		12115.00	11647.90	USD	5,605.20	
06SEP17	S	2	PD06DEC17		12130.00	11647.90	USD	5,785.20	
	NET	0AVG	BGHT 12002.14	SOLD 12089.64				7,350.00	0.00
07SEP17	B	2	PD07DEC17		12250.00	11648.59	USD	-7,216.92	
07SEP17	B	2	PD07DEC17		12230.00	11648.59	USD	-6,976.92	
07SEP17	S	2	PD07DEC17		12290.00	11648.59	USD	7,696.92	
07SEP17	B	2	PD07DEC17		12105.00	11648.59	USD	-5,476.92	
07SEP17	S	1	PD07DEC17		12075.00	11648.59	USD	2,558.46	
07SEP17	S	1	PD07DEC17		12075.00	11648.59	USD	2,558.46	
07SEP17	B	4	PD07DEC17		12110.00	11648.59	USD	-11,073.84	
07SEP17	S	1	PD07DEC17		12150.00	11648.59	USD	3,008.46	
07SEP17	S	1	PD07DEC17		12155.00	11648.59	USD	3,038.46	
07SEP17	S	4	PD07DEC17		12165.00	11648.59	USD	12,393.84	
07SEP17	S	2	PD07DEC17		12170.00	11648.59	USD	6,256.92	
07SEP17	B	2	PD07DEC17		12175.00	11648.59	USD	-6,316.92	
07SEP17	B	18	PD07DEC17		12180.00	11648.59	USD	-57,392.28	
07SEP17	B	4	PD07DEC17		12200.00	11648.59	USD	-13,233.84	
07SEP17	B	2	PD07DEC17		12205.00	11648.59	USD	-6,676.92	
13SEP17	S	3	PD07DEC17		11354.62	11648.59	USD	-5,291.46	
13SEP17	S	21	PD07DEC17		11355.62	11648.59	USD	-36,914.22	
	NET	0AVG	BGHT 12178.06	SOLD 11626.86				-119,058.72	0.00
08SEP17	S	2	PD08DEC17		12275.00	11649.27	USD	7,508.76	
08SEP17	S	2	PD08DEC17		12280.00	11649.27	USD	7,568.76	
08SEP17	S	3	PD08DEC17		12290.00	11649.27	USD	11,533.14	
08SEP17	B	1	PD08DEC17		12285.00	11649.27	USD	-3,814.38	
08SEP17	S	2	PD08DEC17		12260.00	11649.27	USD	7,328.76	
08SEP17	S	2	PD08DEC17		12255.00	11649.27	USD	7,268.76	
08SEP17	B	1	PD08DEC17		12345.00	11649.27	USD	-4,174.38	
08SEP17	B	1	PD08DEC17		12350.00	11649.27	USD	-4,204.38	
08SEP17	B	4	PD08DEC17		12340.00	11649.27	USD	-16,577.52	
08SEP17	B	3	PD08DEC17		12335.00	11649.27	USD	-12,343.14	
08SEP17	B	1	PD08DEC17		12330.00	11649.27	USD	-4,084.38	
08SEP17	S	2	PD08DEC17		12160.00	11649.27	USD	6,128.76	
08SEP17	S	4	PD08DEC17		12175.00	11649.27	USD	12,617.52	
08SEP17	S	4	PD08DEC17		12155.00	11649.27	USD	12,137.52	
08SEP17	S	2	PD08DEC17		12130.00	11649.27	USD	5,768.76	
08SEP17	S	2	PD08DEC17		12105.00	11649.27	USD	5,468.76	
08SEP17	S	1	PD08DEC17		12090.00	11649.27	USD	2,644.38	
08SEP17	S	3	PD08DEC17		12095.00	11649.27	USD	8,023.14	
08SEP17	S	2	PD08DEC17		12060.00	11649.27	USD	4,928.76	
08SEP17	S	2	PD08DEC17		12030.00	11649.27	USD	4,568.76	
08SEP17	S	2	PD08DEC17		11985.00	11649.27	USD	4,028.76	
08SEP17	S	2	PD08DEC17		11980.00	11649.27	USD	3,968.76	
08SEP17	S	2	PD08DEC17		11960.00	11649.27	USD	3,728.76	
08SEP17	S	2	PD08DEC17		11950.00	11649.27	USD	3,608.76	

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08SEP17	S	3	PD08DEC17	11940.00	11649.27	USD	5,233.14	
08SEP17	S	1	PD08DEC17	11935.00	11649.27	USD	1,714.38	
08SEP17	B	2	PD08DEC17	11955.00	11649.27	USD	-3,668.76	
08SEP17	B	4	PD08DEC17	11940.00	11649.27	USD	-6,977.52	
08SEP17	S	2	PD08DEC17	11845.00	11649.27	USD	2,348.76	
08SEP17	S	1	PD08DEC17	11835.00	11649.27	USD	1,114.38	
08SEP17	S	5	PD08DEC17	11840.00	11649.27	USD	5,721.90	
08SEP17	S	6	PD08DEC17	11815.00	11649.27	USD	5,966.28	
08SEP17	S	2	PD08DEC17	11820.00	11649.27	USD	2,048.76	
08SEP17	S	2	PD08DEC17	11805.00	11649.27	USD	1,868.76	
08SEP17	S	2	PD08DEC17	11765.00	11649.27	USD	1,388.76	
08SEP17	S	2	PD08DEC17	11760.00	11649.27	USD	1,328.76	
08SEP17	B	2	PD08DEC17	11775.00	11649.27	USD	-1,508.76	
08SEP17	B	4	PD08DEC17	11780.00	11649.27	USD	-3,137.52	
08SEP17	B	2	PD08DEC17	11770.00	11649.27	USD	-1,448.76	
08SEP17	B	8	PD08DEC17	11765.00	11649.27	USD	-5,555.04	
08SEP17	B	2	PD08DEC17	11760.00	11649.27	USD	-1,328.76	
08SEP17	B	4	PD08DEC17	11755.00	11649.27	USD	-2,537.52	
08SEP17	S	2	PD08DEC17	11755.00	11649.27	USD	1,268.76	
08SEP17	B	2	PD08DEC17	11670.00	11649.27	USD	-248.76	
08SEP17	B	2	PD08DEC17	11520.00	11649.27	USD	1,551.24	
08SEP17	S	2	PD08DEC17	11565.00	11649.27	USD	-1,011.24	
08SEP17	B	2	PD08DEC17	11535.00	11649.27	USD	1,371.24	
08SEP17	S	2	PD08DEC17	11575.00	11649.27	USD	-891.24	
08SEP17	B	2	PD08DEC17	11540.00	11649.27	USD	1,311.24	
08SEP17	S	12	PD08DEC17	11590.00	11649.27	USD	-4,267.44	
13SEP17	B	21	PD08DEC17	11357.46	11649.27	USD	36,768.06	
13SEP17	B	17	PD08DEC17	11356.46	11649.27	USD	29,866.62	
	NET	0 AVG BGHT 11650.72	SOLD 11929.00				141,921.12	0.00
11SEP17	B	1	PD11DEC17	11490.00	11651.33	USD	967.98	
11SEP17	B	2	PD11DEC17	11480.00	11651.33	USD	2,055.96	
11SEP17	B	5	PD11DEC17	11485.00	11651.33	USD	4,989.90	
11SEP17	B	6	PD11DEC17	11495.00	11651.33	USD	5,627.88	
11SEP17	B	2	PD11DEC17	11615.00	11651.33	USD	435.96	
11SEP17	B	2	PD11DEC17	11655.00	11651.33	USD	-44.04	
11SEP17	B	2	PD11DEC17	11750.00	11651.33	USD	-1,184.04	
11SEP17	S	2	PD11DEC17	11815.00	11651.33	USD	1,964.04	
11SEP17	S	2	PD11DEC17	11820.00	11651.33	USD	2,024.04	
13SEP17	S	16	PD11DEC17	11358.00	11651.33	USD	-28,159.68	
	NET	0 AVG BGHT 11544.25	SOLD 11449.90				-11,322.00	0.00
12SEP17	B	2	PD12DEC17	11660.00	11652.01	USD	-95.88	
12SEP17	B	2	PD12DEC17	11670.00	11652.01	USD	-215.88	
12SEP17	B	1	PD12DEC17	11680.00	11652.01	USD	-167.94	
12SEP17	B	1	PD12DEC17	11675.00	11652.01	USD	-137.94	
12SEP17	B	2	PD12DEC17	11700.00	11652.01	USD	-575.88	
12SEP17	S	2	PD12DEC17	11735.00	11652.01	USD	995.88	
12SEP17	S	4	PD12DEC17	11885.00	11652.01	USD	5,591.76	
12SEP17	B	2	PD12DEC17	11885.00	11652.01	USD	-2,795.88	
12SEP17	S	2	PD12DEC17	11925.00	11652.01	USD	3,275.88	
12SEP17	S	2	PD12DEC17	11955.00	11652.01	USD	3,635.88	
12SEP17	B	2	PD12DEC17	11930.00	11652.01	USD	-3,335.88	
13SEP17	S	1	PD12DEC17	11358.50	11652.01	USD	-1,761.06	
20SEP17	S	1	PD12DEC17	11373.83	11652.01	USD	-1,669.08	
	NET	0 AVG BGHT 11753.75	SOLD 11791.86				2,743.98	0.00
13SEP17	B	1	PD13DEC17	11890.00	11652.70	USD	-1,423.80	
13SEP17	B	1	PD13DEC17	11900.00	11652.70	USD	-1,483.80	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP00940

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
13SEP17	B	1	PD13DEC17	11930.00	11652.70	USD	-1,663.80	
13SEP17	S	1	PD13DEC17	11930.00	11652.70	USD	1,663.80	
13SEP17	B	2	PD13DEC17	11800.00	11652.70	USD	-1,767.60	
13SEP17	B	2	PD13DEC17	11830.00	11652.70	USD	-2,127.60	
13SEP17	B	2	PD13DEC17	11585.00	11652.70	USD	812.40	
13SEP17	B	8	PD13DEC17	11590.00	11652.70	USD	3,009.60	
13SEP17	B	1	PD13DEC17	11600.00	11652.70	USD	316.20	
13SEP17	B	3	PD13DEC17	11605.00	11652.70	USD	858.60	
13SEP17	S	1	PD13DEC17	11425.00	11652.70	USD	-1,366.20	
13SEP17	B	2	PD13DEC17	11400.00	11652.70	USD	3,032.40	
13SEP17	B	2	PD13DEC17	11395.00	11652.70	USD	3,092.40	
13SEP17	S	1	PD13DEC17	11425.00	11652.70	USD	-1,366.20	
13SEP17	B	2	PD13DEC17	11390.00	11652.70	USD	3,152.40	
13SEP17	S	1	PD13DEC17	11385.00	11652.70	USD	-1,606.20	
13SEP17	B	4	PD13DEC17	11380.00	11652.70	USD	6,544.80	
13SEP17	B	2	PD13DEC17	11375.00	11652.70	USD	3,332.40	
13SEP17	B	1	PD13DEC17	11380.00	11652.70	USD	1,636.20	
20SEP17	S	30	PD13DEC17	11374.60	11652.70	USD	-50,058.00	
	NET	0 AVG BGHT 11567.79	SOLD 11394.21				-35,412.00	0.00
14SEP17	S	8	PD14DEC17	11440.00	11653.39	USD	-10,242.72	
14SEP17	S	6	PD14DEC17	11465.00	11653.39	USD	-6,782.04	
14SEP17	S	2	PD14DEC17	11455.00	11653.39	USD	-2,380.68	
14SEP17	B	2	PD14DEC17	11180.00	11653.39	USD	5,680.68	
14SEP17	B	2	PD14DEC17	11170.00	11653.39	USD	5,800.68	
14SEP17	B	4	PD14DEC17	11165.00	11653.39	USD	11,721.36	
14SEP17	B	6	PD14DEC17	11185.00	11653.39	USD	16,862.04	
14SEP17	S	2	PD14DEC17	11490.00	11653.39	USD	-1,960.68	
14SEP17	B	1	PD14DEC17	11185.00	11653.39	USD	2,810.34	
14SEP17	B	3	PD14DEC17	11180.00	11653.39	USD	8,521.02	
14SEP17	S	2	PD14DEC17	11280.00	11653.39	USD	-4,480.68	
14SEP17	S	2	PD14DEC17	11270.00	11653.39	USD	-4,600.68	
14SEP17	S	4	PD14DEC17	11300.00	11653.39	USD	-8,481.36	
14SEP17	S	4	PD14DEC17	11295.00	11653.39	USD	-8,601.36	
14SEP17	S	9	PD14DEC17	11275.00	11653.39	USD	-20,433.06	
14SEP17	S	1	PD14DEC17	11255.00	11653.39	USD	-2,390.34	
14SEP17	S	4	PD14DEC17	11260.00	11653.39	USD	-9,441.36	
14SEP17	S	2	PD14DEC17	11285.00	11653.39	USD	-4,420.68	
14SEP17	S	2	PD14DEC17	11290.00	11653.39	USD	-4,360.68	
14SEP17	S	1	PD14DEC17	11275.00	11653.39	USD	-2,270.34	
14SEP17	S	1	PD14DEC17	11255.00	11653.39	USD	-2,390.34	
20SEP17	B	31	PD14DEC17	11376.37	11653.39	USD	51,525.72	
20SEP17	B	1	PD14DEC17	11375.37	11653.39	USD	1,668.12	
	NET	0 AVG BGHT 11304.76	SOLD 11342.60				11,352.96	0.00
15SEP17	B	3	PD15DEC17	11265.00	11654.07	USD	7,003.26	
15SEP17	B	1	PD15DEC17	11260.00	11654.07	USD	2,364.42	
15SEP17	S	2	PD15DEC17	11155.00	11654.07	USD	-5,988.84	
15SEP17	S	2	PD15DEC17	11145.00	11654.07	USD	-6,108.84	
15SEP17	B	2	PD15DEC17	11125.00	11654.07	USD	6,348.84	
15SEP17	S	2	PD15DEC17	10890.00	11654.07	USD	-9,168.84	
15SEP17	S	1	PD15DEC17	10885.00	11654.07	USD	-4,614.42	
15SEP17	S	2	PD15DEC17	11035.00	11654.07	USD	-7,428.84	
15SEP17	S	4	PD15DEC17	11050.00	11654.07	USD	-14,497.68	
15SEP17	B	3	PD15DEC17	11135.00	11654.07	USD	9,343.26	
15SEP17	B	1	PD15DEC17	11130.00	11654.07	USD	3,144.42	
15SEP17	S	1	PD15DEC17	10890.00	11654.07	USD	-4,584.42	
20SEP17	B	4	PD15DEC17	11376.14	11654.07	USD	6,670.32	
	NET	0 AVG BGHT 11238.90	SOLD 11030.36				-17,517.36	0.00

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
18SEP17	B	1	PD18DEC17	11170.00	11656.13	USD	2,916.78	
18SEP17	B	3	PD18DEC17	11160.00	11656.13	USD	8,930.34	
18SEP17	S	4	PD18DEC17	11175.00	11656.13	USD	-11,547.12	
	NET	0 AVG BGHT 11162.50	SOLD 11175.00				300.00	0.00
19SEP17	B	1	PD19DEC17	11145.00	11656.81	USD	3,070.86	
19SEP17	B	3	PD19DEC17	11140.00	11656.81	USD	9,302.58	
19SEP17	B	6	PD19DEC17	11100.00	11656.81	USD	20,045.16	
19SEP17	B	2	PD19DEC17	11055.00	11656.81	USD	7,221.72	
19SEP17	B	2	PD19DEC17	11030.00	11656.81	USD	7,521.72	
19SEP17	S	2	PD19DEC17	11010.00	11656.81	USD	-7,761.72	
19SEP17	S	4	PD19DEC17	11000.00	11656.81	USD	-15,763.44	
19SEP17	B	2	PD19DEC17	10925.00	11656.81	USD	8,781.72	
19SEP17	B	2	PD19DEC17	10935.00	11656.81	USD	8,661.72	
19SEP17	B	4	PD19DEC17	10930.00	11656.81	USD	17,443.44	
19SEP17	B	2	PD19DEC17	10985.00	11656.81	USD	8,061.72	
19SEP17	S	4	PD19DEC17	11200.00	11656.81	USD	-10,963.44	
19SEP17	S	3	PD19DEC17	11210.00	11656.81	USD	-8,042.58	
19SEP17	B	2	PD19DEC17	11175.00	11656.81	USD	5,781.72	
19SEP17	B	4	PD19DEC17	11165.00	11656.81	USD	11,803.44	
19SEP17	S	1	PD19DEC17	11210.00	11656.81	USD	-2,680.86	
19SEP17	B	2	PD19DEC17	11170.00	11656.81	USD	5,841.72	
20SEP17	S	5	PD19DEC17	11378.23	11656.81	USD	-8,357.40	
29SEP17	S	13	PD19DEC17	10488.61	11656.81	USD	-91,119.60	
	NET	0 AVG BGHT 11065.47	SOLD 10903.22				-31,151.52	0.00
20SEP17	B	1	PD20DEC17	11390.00	11657.50	USD	1,605.00	
20SEP17	B	1	PD20DEC17	11375.00	11657.50	USD	1,695.00	
20SEP17	B	1	PD20DEC17	11390.00	11657.50	USD	1,605.00	
20SEP17	S	2	PD20DEC17	11390.00	11657.50	USD	-3,210.00	
20SEP17	S	2	PD20DEC17	11370.00	11657.50	USD	-3,450.00	
20SEP17	S	3	PD20DEC17	11375.00	11657.50	USD	-5,085.00	
20SEP17	S	2	PD20DEC17	11345.00	11657.50	USD	-3,750.00	
20SEP17	S	2	PD20DEC17	11350.00	11657.50	USD	-3,690.00	
20SEP17	S	12	PD20DEC17	11380.00	11657.50	USD	-19,980.00	
29SEP17	B	13	PD20DEC17	10490.50	11657.50	USD	91,026.00	
29SEP17	B	7	PD20DEC17	10489.50	11657.50	USD	49,056.00	
	NET	0 AVG BGHT 10606.87	SOLD 11373.70				105,822.00	0.00
21SEP17	S	2	PD21DEC17	11265.00	11658.26	USD	-4,719.12	
21SEP17	B	1	PD21DEC17	11210.00	11658.26	USD	2,689.56	
21SEP17	B	5	PD21DEC17	11205.00	11658.26	USD	13,597.80	
21SEP17	B	2	PD21DEC17	11200.00	11658.26	USD	5,499.12	
21SEP17	B	2	PD21DEC17	10980.00	11658.26	USD	8,139.12	
21SEP17	B	3	PD21DEC17	11000.00	11658.26	USD	11,848.68	
21SEP17	B	9	PD21DEC17	11005.00	11658.26	USD	35,276.04	
21SEP17	B	6	PD21DEC17	11105.00	11658.26	USD	19,917.36	
21SEP17	S	2	PD21DEC17	11090.00	11658.26	USD	-6,819.12	
21SEP17	B	6	PD21DEC17	11100.00	11658.26	USD	20,097.36	
21SEP17	B	2	PD21DEC17	11090.00	11658.26	USD	6,819.12	
21SEP17	B	2	PD21DEC17	11080.00	11658.26	USD	6,939.12	
21SEP17	S	2	PD21DEC17	11080.00	11658.26	USD	-6,939.12	
21SEP17	B	2	PD21DEC17	11085.00	11658.26	USD	6,879.12	
21SEP17	B	2	PD21DEC17	11005.00	11658.26	USD	7,839.12	
21SEP17	S	4	PD21DEC17	10805.00	11658.26	USD	-20,478.24	
21SEP17	S	2	PD21DEC17	10720.00	11658.26	USD	-11,259.12	
21SEP17	S	2	PD21DEC17	10715.00	11658.26	USD	-11,319.12	
21SEP17	S	2	PD21DEC17	10710.00	11658.26	USD	-11,379.12	
21SEP17	S	2	PD21DEC17	10650.00	11658.26	USD	-12,099.12	

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
21SEP17	S	2	PD21DEC17	10640.00	11658.26	USD	-12,219.12	
21SEP17	S	2	PD21DEC17	10830.00	11658.26	USD	-9,939.12	
21SEP17	S	2	PD21DEC17	10750.00	11658.26	USD	-10,899.12	
21SEP17	S	2	PD21DEC17	10720.00	11658.26	USD	-11,259.12	
29SEP17	S	7	PD21DEC17	10489.50	11658.26	USD	-49,087.92	
29SEP17	S	9	PD21DEC17	10490.50	11658.26	USD	-63,059.04	
	NET	0 AVG BGHT 11080.71	SOLD 10700.02				-95,934.00	0.00
22SEP17	B	4	PD22DEC17	10790.00	11659.02	USD	20,856.48	
22SEP17	B	2	PD22DEC17	10800.00	11659.02	USD	10,308.24	
22SEP17	B	4	PD22DEC17	10795.00	11659.02	USD	20,736.48	
22SEP17	S	2	PD22DEC17	10715.00	11659.02	USD	-11,328.24	
22SEP17	S	2	PD22DEC17	10690.00	11659.02	USD	-11,628.24	
22SEP17	S	3	PD22DEC17	10700.00	11659.02	USD	-17,262.36	
22SEP17	S	12	PD22DEC17	10695.00	11659.02	USD	-69,409.44	
22SEP17	S	2	PD22DEC17	10670.00	11659.02	USD	-11,868.24	
22SEP17	S	6	PD22DEC17	10675.00	11659.02	USD	-35,424.72	
22SEP17	B	3	PD22DEC17	10695.00	11659.02	USD	17,352.36	
22SEP17	B	2	PD22DEC17	10710.00	11659.02	USD	11,388.24	
22SEP17	S	2	PD22DEC17	10705.00	11659.02	USD	-11,448.24	
22SEP17	B	2	PD22DEC17	10705.00	11659.02	USD	11,448.24	
22SEP17	B	2	PD22DEC17	10715.00	11659.02	USD	11,328.24	
22SEP17	B	2	PD22DEC17	10675.00	11659.02	USD	11,808.24	
22SEP17	B	2	PD22DEC17	10665.00	11659.02	USD	11,928.24	
22SEP17	B	2	PD22DEC17	10660.00	11659.02	USD	11,988.24	
22SEP17	B	2	PD22DEC17	10610.00	11659.02	USD	12,588.24	
22SEP17	B	2	PD22DEC17	10635.00	11659.02	USD	12,288.24	
22SEP17	S	2	PD22DEC17	10590.00	11659.02	USD	-12,828.24	
22SEP17	S	2	PD22DEC17	10585.00	11659.02	USD	-12,888.24	
22SEP17	B	1	PD22DEC17	10555.00	11659.02	USD	6,624.12	
22SEP17	S	6	PD22DEC17	10565.00	11659.02	USD	-39,384.72	
22SEP17	S	2	PD22DEC17	10555.00	11659.02	USD	-13,248.24	
22SEP17	S	1	PD22DEC17	10560.00	11659.02	USD	-6,594.12	
22SEP17	B	4	PD22DEC17	10585.00	11659.02	USD	25,776.48	
22SEP17	B	4	PD22DEC17	10575.00	11659.02	USD	26,016.48	
22SEP17	B	8	PD22DEC17	10570.00	11659.02	USD	52,272.96	
22SEP17	B	2	PD22DEC17	10605.00	11659.02	USD	12,648.24	
22SEP17	B	6	PD22DEC17	10595.00	11659.02	USD	38,304.72	
22SEP17	B	8	PD22DEC17	10580.00	11659.02	USD	51,792.96	
22SEP17	B	2	PD22DEC17	10470.00	11659.02	USD	14,268.24	
22SEP17	B	4	PD22DEC17	10465.00	11659.02	USD	28,656.48	
22SEP17	B	4	PD22DEC17	10455.00	11659.02	USD	28,896.48	
29SEP17	S	20	PD22DEC17	10491.50	11659.02	USD	-140,102.40	
	NET	+10 AVG BGHT 10619.03	SOLD 10601.45				55,861.20	0.00
25SEP17	S	4	PD27DEC17	10630.00	11662.83	USD	-24,787.92	
25SEP17	S	1	PD27DEC17	10500.00	11662.83	USD	-6,976.98	
25SEP17	S	1	PD27DEC17	10655.00	11662.83	USD	-6,046.98	
25SEP17	S	3	PD27DEC17	10650.00	11662.83	USD	-18,230.94	
25SEP17	S	2	PD27DEC17	10555.00	11662.83	USD	-13,293.96	
25SEP17	S	3	PD27DEC17	10565.00	11662.83	USD	-19,760.94	
25SEP17	B	1	PD27DEC17	10570.00	11662.83	USD	6,556.98	
25SEP17	S	2	PD27DEC17	10495.00	11662.83	USD	-14,013.96	
25SEP17	S	4	PD27DEC17	10635.00	11662.83	USD	-24,667.92	
25SEP17	S	2	PD27DEC17	10625.00	11662.83	USD	-12,453.96	
25SEP17	S	2	PD27DEC17	10600.00	11662.83	USD	-12,753.96	
25SEP17	S	1	PD27DEC17	10505.00	11662.83	USD	-6,946.98	
25SEP17	S	6	PD27DEC17	10525.00	11662.83	USD	-40,961.88	
25SEP17	B	2	PD27DEC17	10525.00	11662.83	USD	13,653.96	

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25SEP17	B	1	PD27DEC17	10510.00	11662.83	USD	6,916.98	
25SEP17	B	1	PD27DEC17	10515.00	11662.83	USD	6,886.98	
25SEP17	S	2	PD27DEC17	10520.00	11662.83	USD	-13,713.96	
25SEP17	B	8	PD27DEC17	10520.00	11662.83	USD	54,855.84	
25SEP17	B	2	PD27DEC17	10530.00	11662.83	USD	13,593.96	
25SEP17	B	2	PD27DEC17	10470.00	11662.83	USD	14,313.96	
25SEP17	S	6	PD27DEC17	10550.00	11662.83	USD	-40,061.88	
25SEP17	S	2	PD27DEC17	10560.00	11662.83	USD	-13,233.96	
25SEP17	S	6	PD27DEC17	10590.00	11662.83	USD	-38,621.88	
25SEP17	S	8	PD27DEC17	10570.00	11662.83	USD	-52,455.84	
25SEP17	S	2	PD27DEC17	10560.00	11662.83	USD	-13,233.96	
26SEP17	B	2	PD27DEC17	10595.00	11662.83	USD	12,813.96	
26SEP17	B	1	PD27DEC17	10610.00	11662.83	USD	6,316.98	
26SEP17	B	2	PD27DEC17	10470.00	11662.83	USD	14,313.96	
26SEP17	B	11	PD27DEC17	10475.00	11662.83	USD	78,396.76	
26SEP17	B	2	PD27DEC17	10445.00	11662.83	USD	14,613.96	
26SEP17	B	4	PD27DEC17	10430.00	11662.83	USD	29,587.92	
26SEP17	B	4	PD27DEC17	10425.00	11662.83	USD	29,707.92	
26SEP17	B	2	PD27DEC17	10450.00	11662.83	USD	14,553.96	
26SEP17	S	2	PD27DEC17	10440.00	11662.83	USD	-14,673.96	
26SEP17	B	2	PD27DEC17	10515.00	11662.83	USD	13,773.96	
26SEP17	B	2	PD27DEC17	10520.00	11662.83	USD	13,713.96	
26SEP17	B	2	PD27DEC17	10525.00	11662.83	USD	13,653.96	
26SEP17	B	4	PD27DEC17	10510.00	11662.83	USD	27,667.92	
26SEP17	B	2	PD27DEC17	10495.00	11662.83	USD	14,013.96	
26SEP17	S	1	PD27DEC17	10495.00	11662.83	USD	-7,006.98	
26SEP17	B	3	PD27DEC17	10490.00	11662.83	USD	21,110.94	
26SEP17	B	2	PD27DEC17	10500.00	11662.83	USD	13,953.96	
26SEP17	B	6	PD27DEC17	10480.00	11662.83	USD	42,581.88	
26SEP17	B	1	PD27DEC17	10485.00	11662.83	USD	7,066.98	
27SEP17	B	2	PD27DEC17	10505.00	11662.83	USD	13,893.96	
27SEP17	S	2	PD27DEC17	10460.00	11662.83	USD	-14,433.96	
27SEP17	S	4	PD27DEC17	10450.00	11662.83	USD	-29,107.92	
27SEP17	S	4	PD27DEC17	10455.00	11662.83	USD	-28,987.92	
27SEP17	B	2	PD27DEC17	10450.00	11662.83	USD	14,553.96	
27SEP17	S	2	PD27DEC17	10475.00	11662.83	USD	-14,253.96	
27SEP17	B	1	PD27DEC17	10475.00	11662.83	USD	7,126.98	
27SEP17	S	5	PD27DEC17	10470.00	11662.83	USD	-35,784.90	
27SEP17	S	4	PD27DEC17	10490.00	11662.83	USD	-28,147.92	
27SEP17	S	4	PD27DEC17	10505.00	11662.83	USD	-27,787.92	
27SEP17	S	4	PD27DEC17	10510.00	11662.83	USD	-27,667.92	
27SEP17	S	4	PD27DEC17	10515.00	11662.83	USD	-27,547.92	
27SEP17	S	2	PD27DEC17	10495.00	11662.83	USD	-14,013.96	
27SEP17	S	2	PD27DEC17	10525.00	11662.83	USD	-13,653.96	
27SEP17	S	2	PD27DEC17	10530.00	11662.83	USD	-13,593.96	
27SEP17	S	2	PD27DEC17	10540.00	11662.83	USD	-13,473.96	
27SEP17	S	2	PD27DEC17	10545.00	11662.83	USD	-13,413.96	
27SEP17	S	4	PD27DEC17	10550.00	11662.83	USD	-26,707.92	
27SEP17	B	2	PD27DEC17	10395.00	11662.83	USD	15,213.96	
27SEP17	B	2	PD27DEC17	10375.00	11662.83	USD	15,453.96	
27SEP17	B	1	PD27DEC17	10295.00	11662.83	USD	8,206.98	
27SEP17	S	2	PD27DEC17	10285.00	11662.83	USD	-16,533.96	
27SEP17	S	2	PD27DEC17	10275.00	11662.83	USD	-16,653.96	
27SEP17	B	2	PD27DEC17	10325.00	11662.83	USD	16,053.96	
27SEP17	S	1	PD27DEC17	10370.00	11662.83	USD	-7,756.98	
27SEP17	B	3	PD27DEC17	10365.00	11662.83	USD	23,360.94	
27SEP17	B	2	PD27DEC17	10345.00	11662.83	USD	15,813.96	

OPEN POSITION STATEMENT



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SAP00940

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>								
27SEP17	B	4	PD27DEC17		10370.00	11662.83	USD	31,027.92	
27SEP17	S	4	PD27DEC17		10365.00	11662.83	USD	-31,147.92	
27SEP17	B	4	PD27DEC17		10360.00	11662.83	USD	31,267.92	
27SEP17	S	2	PD27DEC17		10290.00	11662.83	USD	-16,473.96	
27SEP17	S	5	PD27DEC17		10295.00	11662.83	USD	-41,034.90	
29SEP17	B	29	PD27DEC17		10498.57	11662.83	USD	202,581.24	
	NET	0	AVG BGHT 10471.53	SOLD 10508.25				27,098.82	0.00
28SEP17	S	2	PD28DEC17		10280.00	11663.59	USD	-16,603.08	
28SEP17	S	2	PD28DEC17		10295.00	11663.59	USD	-16,423.08	
28SEP17	S	1	PD28DEC17		10325.00	11663.59	USD	-8,031.54	
28SEP17	S	1	PD28DEC17		10350.00	11663.59	USD	-7,881.54	
28SEP17	S	1	PD28DEC17		10290.00	11663.59	USD	-8,241.54	
28SEP17	B	2	PD28DEC17		10280.00	11663.59	USD	16,603.08	
28SEP17	B	5	PD28DEC17		10285.00	11663.59	USD	41,357.70	
28SEP17	B	2	PD28DEC17		10300.00	11663.59	USD	16,363.08	
28SEP17	S	6	PD28DEC17		10255.00	11663.59	USD	-50,709.24	
28SEP17	S	2	PD28DEC17		10260.00	11663.59	USD	-16,843.08	
28SEP17	S	7	PD28DEC17		10250.00	11663.59	USD	-59,370.78	
28SEP17	S	3	PD28DEC17		10245.00	11663.59	USD	-25,534.62	
28SEP17	S	2	PD28DEC17		10235.00	11663.59	USD	-17,143.08	
28SEP17	B	2	PD28DEC17		10245.00	11663.59	USD	17,023.08	
28SEP17	B	6	PD28DEC17		10290.00	11663.59	USD	49,449.24	
28SEP17	B	2	PD28DEC17		10305.00	11663.59	USD	16,303.08	
28SEP17	B	6	PD28DEC17		10340.00	11663.59	USD	47,649.24	
28SEP17	B	3	PD28DEC17		10335.00	11663.59	USD	23,914.62	
28SEP17	B	9	PD28DEC17		10330.00	11663.59	USD	72,013.86	
28SEP17	B	2	PD28DEC17		10320.00	11663.59	USD	16,123.08	
28SEP17	B	1	PD28DEC17		10480.00	11663.59	USD	7,101.54	
28SEP17	S	1	PD28DEC17		10490.00	11663.59	USD	-7,041.54	
28SEP17	S	2	PD28DEC17		10485.00	11663.59	USD	-14,143.08	
	NET	+10	AVG BGHT 10314.00	SOLD 10286.00				75,935.40	0.00
29SEP17	S	2	PD29DEC17		10515.00	11664.35	USD	-13,792.20	
29SEP17	S	2	PD29DEC17		10490.00	11664.35	USD	-14,092.20	
29SEP17	S	2	PD29DEC17		10480.00	11664.35	USD	-14,212.20	
29SEP17	S	4	PD29DEC17		10470.00	11664.35	USD	-28,664.40	
29SEP17	S	13	PD29DEC17		10475.00	11664.35	USD	-92,769.30	
29SEP17	B	2	PD29DEC17		10435.00	11664.35	USD	14,752.20	
29SEP17	B	4	PD29DEC17		10430.00	11664.35	USD	29,624.40	
29SEP17	B	4	PD29DEC17		10450.00	11664.35	USD	29,144.40	
29SEP17	B	2	PD29DEC17		10475.00	11664.35	USD	14,272.20	
29SEP17	B	1	PD29DEC17		10465.00	11664.35	USD	7,196.10	
29SEP17	B	3	PD29DEC17		10490.00	11664.35	USD	21,138.30	
29SEP17	S	1	PD29DEC17		10485.00	11664.35	USD	-7,076.10	
29SEP17	B	5	PD29DEC17		10470.00	11664.35	USD	35,830.50	
29SEP17	B	1	PD29DEC17		10470.00	11664.35	USD	7,166.10	
29SEP17	B	2	PD29DEC17		10495.00	11664.35	USD	14,032.20	
	NET	0	AVG BGHT 10461.88	SOLD 10479.58				2,550.00	0.00
09OCT17	S	2	PD09JAN18		10605.00	11672.72	USD	-12,812.64	
09OCT17	S	12	PD09JAN18		10985.00	11672.72	USD	-49,515.84	
	NET	-14	AVG SOLD 10930.71					-62,328.48	0.00
10OCT17	B	4	PD10JAN18		10940.00	11673.48	USD	17,603.52	
10OCT17	B	1	PD10JAN18		10945.00	11673.48	USD	4,370.88	
10OCT17	B	2	PD10JAN18		10950.00	11673.48	USD	8,681.76	
10OCT17	S	1	PD10JAN18		10945.00	11673.48	USD	-4,370.88	
10OCT17	B	10	PD10JAN18		11010.00	11673.48	USD	39,808.80	
10OCT17	S	2	PD10JAN18		10880.00	11673.48	USD	-9,521.76	

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10OCT17	B	1	PD10JAN18	10875.00	11673.48	USD	4,790.88	
10OCT17	S	2	PD10JAN18	10840.00	11673.48	USD	-10,001.76	
10OCT17	S	3	PD10JAN18	10850.00	11673.48	USD	-14,822.64	
10OCT17	S	2	PD10JAN18	10860.00	11673.48	USD	-9,761.76	
10OCT17	S	1	PD10JAN18	10875.00	11673.48	USD	-4,790.88	
10OCT17	B	1	PD10JAN18	10880.00	11673.48	USD	4,760.88	
10OCT17	B	4	PD10JAN18	10980.00	11673.48	USD	16,643.52	
10OCT17	B	2	PD10JAN18	10990.00	11673.48	USD	8,201.76	
10OCT17	B	2	PD10JAN18	10985.00	11673.48	USD	8,261.76	
10OCT17	S	1	PD10JAN18	10870.00	11673.48	USD	-4,820.88	
10OCT17	S	1	PD10JAN18	10850.00	11673.48	USD	-4,940.88	
10OCT17	B	4	PD10JAN18	10995.00	11673.48	USD	16,283.52	
10OCT17	B	2	PD10JAN18	11015.00	11673.48	USD	7,901.76	
10OCT17	S	2	PD10JAN18	11045.00	11673.48	USD	-7,541.76	
	NET	+18 AVG BGHT	10980.00	SOLD	10889.33		66,735.84	0.00
11OCT17	S	2	PD11JAN18	11015.00	11674.24	USD	-7,910.88	
11OCT17	S	2	PD11JAN18	10980.00	11674.24	USD	-8,330.88	
11OCT17	S	8	PD11JAN18	10960.00	11674.24	USD	-34,283.52	
11OCT17	S	2	PD11JAN18	10895.00	11674.24	USD	-9,350.88	
11OCT17	B	2	PD11JAN18	11095.00	11674.24	USD	6,950.88	
11OCT17	B	2	PD11JAN18	11090.00	11674.24	USD	7,010.88	
11OCT17	B	2	PD11JAN18	11085.00	11674.24	USD	7,070.88	
11OCT17	B	4	PD11JAN18	11115.00	11674.24	USD	13,421.76	
11OCT17	B	2	PD11JAN18	11120.00	11674.24	USD	6,650.88	
11OCT17	S	2	PD11JAN18	11135.00	11674.24	USD	-6,470.88	
11OCT17	S	2	PD11JAN18	11115.00	11674.24	USD	-6,710.88	
11OCT17	S	4	PD11JAN18	11120.00	11674.24	USD	-13,301.76	
11OCT17	S	2	PD11JAN18	11105.00	11674.24	USD	-6,830.88	
11OCT17	S	2	PD11JAN18	11015.00	11674.24	USD	-7,910.88	
11OCT17	B	8	PD11JAN18	11195.00	11674.24	USD	23,003.52	
11OCT17	B	4	PD11JAN18	11205.00	11674.24	USD	11,261.76	
11OCT17	S	2	PD11JAN18	11230.00	11674.24	USD	-5,330.88	
11OCT17	S	2	PD11JAN18	11170.00	11674.24	USD	-6,050.88	
	NET	-6 AVG BGHT	11150.83	SOLD	11049.33		-37,112.64	0.00
12OCT17	B	2	PD12JAN18	11225.00	11675.00	USD	5,400.00	
12OCT17	B	3	PD12JAN18	11235.00	11675.00	USD	7,920.00	
12OCT17	B	2	PD12JAN18	11230.00	11675.00	USD	5,340.00	
12OCT17	B	2	PD12JAN18	11300.00	11675.00	USD	4,500.00	
12OCT17	B	1	PD12JAN18	11235.00	11675.00	USD	2,640.00	
12OCT17	B	1	PD12JAN18	11420.00	11675.00	USD	1,530.00	
12OCT17	B	2	PD12JAN18	11425.00	11675.00	USD	3,000.00	
12OCT17	B	4	PD12JAN18	11400.00	11675.00	USD	6,600.00	
12OCT17	B	4	PD12JAN18	11370.00	11675.00	USD	7,320.00	
12OCT17	B	1	PD12JAN18	11420.00	11675.00	USD	1,530.00	
13OCT17	S	2	PD12JAN18	11435.00	11675.00	USD	-2,880.00	
13OCT17	S	8	PD12JAN18	11440.00	11675.00	USD	-11,280.00	
13OCT17	S	4	PD12JAN18	11580.00	11675.00	USD	-2,280.00	
13OCT17	S	13	PD12JAN18	11595.00	11675.00	USD	-6,240.00	
13OCT17	S	2	PD12JAN18	11600.00	11675.00	USD	-900.00	
13OCT17	S	2	PD12JAN18	11605.00	11675.00	USD	-840.00	
13OCT17	S	2	PD12JAN18	11610.00	11675.00	USD	-780.00	
13OCT17	S	1	PD12JAN18	11640.00	11675.00	USD	-210.00	
13OCT17	S	2	PD12JAN18	11590.00	11675.00	USD	-1,020.00	
13OCT17	S	1	PD12JAN18	11595.00	11675.00	USD	-480.00	
13OCT17	S	1	PD12JAN18	11640.00	11675.00	USD	-210.00	
13OCT17	S	2	PD12JAN18	11645.00	11675.00	USD	-360.00	
13OCT17	S	2	PD12JAN18	11635.00	11675.00	USD	-480.00	

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
13OCT17	S	2	PD12JAN18	11655.00	11675.00	USD	-240.00	
13OCT17	S	2	PD12JAN18	11685.00	11675.00	USD	120.00	
13OCT17	S	2	PD12JAN18	11675.00	11675.00	USD	0.00	
	NET	-26 AVG BGHT 11328.18	SOLD 11577.50				17,700.00	0.00
	<u>LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
21JUL17	S	1	PD20OCT17	20090.00	20730.00	USD	-3,200.00	
21JUL17	S	1	PD20OCT17	20145.00	20730.00	USD	-2,925.00	
08AUG17	B	2	PD20OCT17	20225.60	20730.00	USD	5,044.00	
	NET	0 AVG BGHT 20225.60	SOLD 20117.50				-1,081.00	0.00
24JUL17	B	1	PD24OCT17	20255.00	20725.00	USD	2,350.00	
24JUL17	B	1	PD24OCT17	20250.00	20725.00	USD	2,375.00	
24JUL17	B	1	PD24OCT17	20160.00	20725.00	USD	2,825.00	
24JUL17	B	1	PD24OCT17	20220.00	20725.00	USD	2,525.00	
08AUG17	S	4	PD24OCT17	20223.20	20725.00	USD	-10,036.00	
	NET	0 AVG BGHT 20221.25	SOLD 20223.20				39.00	0.00
26JUL17	B	1	PD26OCT17	20370.00	20720.00	USD	1,750.00	
26JUL17	S	1	PD26OCT17	20325.00	20720.00	USD	-1,975.00	
26JUL17	S	2	PD26OCT17	20310.00	20720.00	USD	-4,100.00	
26JUL17	S	1	PD26OCT17	20335.00	20720.00	USD	-1,925.00	
26JUL17	B	3	PD26OCT17	20375.00	20720.00	USD	5,175.00	
	NET	0 AVG BGHT 20373.75	SOLD 20320.00				-1,075.00	0.00
27JUL17	B	2	PD27OCT17	20425.00	20717.50	USD	2,925.00	
27JUL17	S	1	PD27OCT17	20485.00	20717.50	USD	-1,162.50	
27JUL17	B	1	PD27OCT17	20485.00	20717.50	USD	1,162.50	
27JUL17	S	2	PD27OCT17	20750.00	20717.50	USD	325.00	
28JUL17	S	1	PD27OCT17	20695.00	20717.50	USD	-112.50	
28JUL17	S	1	PD27OCT17	20685.00	20717.50	USD	-162.50	
28JUL17	S	1	PD27OCT17	20845.00	20717.50	USD	637.50	
28JUL17	S	1	PD27OCT17	20830.00	20717.50	USD	562.50	
28JUL17	B	2	PD27OCT17	20700.00	20717.50	USD	175.00	
08AUG17	B	2	PD27OCT17	20219.60	20717.50	USD	4,979.00	
	NET	0 AVG BGHT 20453.46	SOLD 20720.00				9,329.00	0.00
31JUL17	S	1	PD31OCT17	20845.00	20712.50	USD	662.50	
31JUL17	S	1	PD31OCT17	20805.00	20712.50	USD	462.50	
31JUL17	B	1	PD31OCT17	20635.00	20712.50	USD	387.50	
31JUL17	B	1	PD31OCT17	20650.00	20712.50	USD	312.50	
	NET	0 AVG BGHT 20642.50	SOLD 20825.00				1,825.00	0.00
01AUG17	S	2	PD01NOV17	20615.00	20710.00	USD	-950.00	
01AUG17	S	1	PD01NOV17	20615.00	20710.00	USD	-475.00	
01AUG17	B	1	PD01NOV17	20650.00	20710.00	USD	300.00	
01AUG17	B	1	PD01NOV17	20500.00	20710.00	USD	1,050.00	
01AUG17	S	2	PD01NOV17	20525.00	20710.00	USD	-1,850.00	
01AUG17	S	1	PD01NOV17	20495.00	20710.00	USD	-1,075.00	
08AUG17	B	4	PD01NOV17	20217.00	20710.00	USD	9,860.00	
	NET	0 AVG BGHT 20336.33	SOLD 20565.00				6,860.00	0.00
02AUG17	B	1	PD02NOV17	20515.00	20707.50	USD	962.50	
02AUG17	B	1	PD02NOV17	20495.00	20707.50	USD	1,062.50	
08AUG17	S	2	PD02NOV17	20213.80	20707.50	USD	-4,937.00	
	NET	0 AVG BGHT 20505.00	SOLD 20213.80				-2,912.00	0.00
03AUG17	B	1	PD03NOV17	20620.00	20705.00	USD	425.00	
03AUG17	B	1	PD03NOV17	20600.00	20705.00	USD	525.00	
03AUG17	B	2	PD03NOV17	20630.00	20705.00	USD	750.00	
03AUG17	S	2	PD03NOV17	20625.00	20705.00	USD	-800.00	
08AUG17	S	2	PD03NOV17	20212.60	20705.00	USD	-4,924.00	

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	NET	0 AVG	BGHT	20620.00	SOLD	20418.80		-4,024.00	0.00
07AUG17	S	1	PD07NOV17		20610.00	20700.00	USD	-450.00	
07AUG17	B	1	PD07NOV17		20665.00	20700.00	USD	175.00	
07AUG17	B	2	PD07NOV17		20600.00	20700.00	USD	1,000.00	
07AUG17	S	1	PD07NOV17		20605.00	20700.00	USD	-475.00	
07AUG17	B	1	PD07NOV17		20655.00	20700.00	USD	225.00	
23AUG17	S	2	PD07NOV17		20566.92	20700.00	USD	-1,330.80	
	NET	0 AVG	BGHT	20630.00	SOLD	20587.21		-855.80	0.00
08AUG17	B	1	PD08NOV17		20345.00	20697.50	USD	1,762.50	
08AUG17	B	1	PD08NOV17		20340.00	20697.50	USD	1,787.50	
23AUG17	S	2	PD08NOV17		20565.77	20697.50	USD	-1,317.30	
	NET	0 AVG	BGHT	20342.50	SOLD	20565.77		2,232.70	0.00
10AUG17	B	1	PD10NOV17		20300.00	20692.50	USD	1,962.50	
10AUG17	S	1	PD10NOV17		20325.00	20692.50	USD	-1,837.50	
10AUG17	S	1	PD10NOV17		20345.00	20692.50	USD	-1,737.50	
10AUG17	B	1	PD10NOV17		20320.00	20692.50	USD	1,862.50	
11AUG17	S	1	PD10NOV17		20190.00	20692.50	USD	-2,512.50	
11AUG17	S	1	PD10NOV17		20200.00	20692.50	USD	-2,462.50	
11AUG17	S	1	PD10NOV17		20205.00	20692.50	USD	-2,437.50	
11AUG17	B	1	PD10NOV17		20325.00	20692.50	USD	1,837.50	
23AUG17	B	2	PD10NOV17		20564.46	20692.50	USD	1,280.40	
	NET	0 AVG	BGHT	20414.78	SOLD	20253.00		-4,044.60	0.00
15AUG17	S	1	PD15NOV17		20310.00	20685.00	USD	-1,875.00	
15AUG17	B	1	PD15NOV17		19640.00	20685.00	USD	5,225.00	
15AUG17	S	1	PD15NOV17		19980.00	20685.00	USD	-3,525.00	
15AUG17	B	1	PD15NOV17		19970.00	20685.00	USD	3,575.00	
15AUG17	B	1	PD15NOV17		19965.00	20685.00	USD	3,600.00	
23AUG17	S	1	PD15NOV17		20560.00	20685.00	USD	-625.00	
	NET	0 AVG	BGHT	19858.33	SOLD	20283.33		6,375.00	0.00
18AUG17	S	1	PD17NOV17		20175.00	20681.25	USD	-2,531.25	
18AUG17	S	1	PD17NOV17		20170.00	20681.25	USD	-2,556.25	
18AUG17	S	1	PD17NOV17		20280.00	20681.25	USD	-2,006.25	
18AUG17	B	2	PD17NOV17		20160.00	20681.25	USD	5,212.50	
23AUG17	B	1	PD17NOV17		20549.33	20681.25	USD	659.60	
	NET	0 AVG	BGHT	20289.78	SOLD	20208.33		-1,221.65	0.00
21AUG17	S	1	PD21NOV17		20330.00	20677.50	USD	-1,737.50	
21AUG17	S	1	PD21NOV17		20335.00	20677.50	USD	-1,712.50	
23AUG17	B	2	PD21NOV17		20537.67	20677.50	USD	1,398.30	
	NET	0 AVG	BGHT	20537.67	SOLD	20332.50		-2,051.70	0.00
22AUG17	S	1	PD22NOV17		20450.00	20675.63	USD	-1,128.15	
22AUG17	S	1	PD22NOV17		20420.00	20675.63	USD	-1,278.15	
07SEP17	B	2	PD22NOV17		20765.33	20675.63	USD	-897.00	
	NET	0 AVG	BGHT	20765.33	SOLD	20435.00		-3,303.30	0.00
29AUG17	B	1	PD29NOV17		20550.00	20668.13	USD	590.65	
29AUG17	B	1	PD29NOV17		20675.00	20668.13	USD	-34.35	
29AUG17	B	1	PD29NOV17		20490.00	20668.13	USD	890.65	
29AUG17	B	1	PD29NOV17		20470.00	20668.13	USD	990.65	
07SEP17	S	2	PD29NOV17		20758.20	20668.13	USD	900.70	
07SEP17	S	2	PD29NOV17		20759.20	20668.13	USD	910.70	
	NET	0 AVG	BGHT	20546.25	SOLD	20758.70		4,249.00	0.00
30AUG17	S	2	PD30NOV17		20365.00	20666.25	USD	-3,012.50	
07SEP17	B	2	PD30NOV17		20758.67	20666.25	USD	-924.20	
	NET	0 AVG	BGHT	20758.67	SOLD	20365.00		-3,936.70	0.00
04SEP17	B	1	PD04DEC17		20755.00	20662.50	USD	-462.50	

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	<u>LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
04SEP17	B	2	PD04DEC17	20760.00	20662.50	USD	-975.00	
04SEP17	S	1	PD04DEC17	20735.00	20662.50	USD	362.50	
13SEP17	S	2	PD04DEC17	20526.05	20662.50	USD	-1,364.50	
	NET	0 AVG	BGHT 20758.33				-2,439.50	0.00
05SEP17	S	2	PD05DEC17	20740.00	20660.63	USD	793.70	
05SEP17	S	1	PD05DEC17	20765.00	20660.63	USD	521.85	
05SEP17	B	1	PD05DEC17	20740.00	20660.63	USD	-396.85	
13SEP17	B	2	PD05DEC17	20525.47	20660.63	USD	1,351.60	
	NET	0 AVG	BGHT 20596.98				2,270.30	0.00
06SEP17	S	1	PD06DEC17	20605.00	20658.75	USD	-268.75	
06SEP17	S	1	PD06DEC17	20610.00	20658.75	USD	-243.75	
20SEP17	B	2	PD06DEC17	20641.67	20658.75	USD	170.80	
	NET	0 AVG	BGHT 20641.67				-341.70	0.00
07SEP17	S	1	PD07DEC17	20775.00	20656.88	USD	590.60	
07SEP17	S	1	PD07DEC17	20755.00	20656.88	USD	490.60	
07SEP17	B	2	PD07DEC17	20730.00	20656.88	USD	-731.20	
	NET	0 AVG	BGHT 20730.00				350.00	0.00
08SEP17	B	2	PD08DEC17	20785.00	20655.00	USD	-1,300.00	
08SEP17	S	2	PD08DEC17	20700.00	20655.00	USD	450.00	
	NET	0 AVG	BGHT 20785.00				-850.00	0.00
11SEP17	S	2	PD11DEC17	20700.00	20653.13	USD	468.70	
20SEP17	B	2	PD11DEC17	20636.67	20653.13	USD	164.60	
	NET	0 AVG	BGHT 20636.67				633.30	0.00
13SEP17	B	2	PD13DEC17	20665.00	20649.38	USD	-156.20	
20SEP17	S	2	PD13DEC17	20632.33	20649.38	USD	-170.50	
	NET	0 AVG	BGHT 20665.00				-326.70	0.00
14SEP17	B	1	PD14DEC17	20570.00	20647.50	USD	387.50	
14SEP17	B	1	PD14DEC17	20565.00	20647.50	USD	412.50	
20SEP17	S	2	PD14DEC17	20630.67	20647.50	USD	-168.30	
	NET	0 AVG	BGHT 20567.50				631.70	0.00
15SEP17	S	1	PD15DEC17	20560.00	20645.63	USD	-428.15	
15SEP17	S	1	PD15DEC17	20555.00	20645.63	USD	-453.15	
15SEP17	S	1	PD15DEC17	20505.00	20645.63	USD	-703.15	
15SEP17	S	1	PD15DEC17	20510.00	20645.63	USD	-678.15	
20SEP17	B	2	PD15DEC17	20630.00	20645.63	USD	156.30	
29SEP17	B	2	PD15DEC17	20726.25	20645.63	USD	-806.20	
	NET	0 AVG	BGHT 20678.13				-2,912.50	0.00
19SEP17	B	2	PD19DEC17	20675.00	20641.88	USD	-331.20	
20SEP17	S	2	PD19DEC17	20625.67	20641.88	USD	-162.10	
	NET	0 AVG	BGHT 20675.00				-493.30	0.00
20SEP17	B	2	PD20DEC17	20655.00	20640.00	USD	-150.00	
29SEP17	S	2	PD20DEC17	20719.00	20640.00	USD	790.00	
	NET	0 AVG	BGHT 20655.00				640.00	0.00
21SEP17	S	2	PD21DEC17	20600.00	20637.14	USD	-371.40	
	NET	-2 AVG	SOLD 20600.00				-371.40	0.00
28SEP17	S	1	PD28DEC17	20625.00	20628.57	USD	-17.85	
28SEP17	S	1	PD28DEC17	20620.00	20628.57	USD	-42.85	
28SEP17	B	2	PD28DEC17	20660.00	20628.57	USD	-314.30	
	NET	0 AVG	BGHT 20660.00				-375.00	0.00
29SEP17	S	2	PD29DEC17	20695.00	20625.71	USD	692.90	
	NET	-2 AVG	SOLD 20695.00				692.90	0.00
09OCT17	B	1	PD09JAN18	20580.00	20608.57	USD	142.85	
09OCT17	B	1	PD09JAN18	20635.00	20608.57	USD	-132.15	

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	<u>LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
	NET +2 AVG BGHT 20607.50				10.70	0.00
10OCT17	B 1 PD10JAN18	20795.00	20605.71	USD	-946.45	
10OCT17	B 1 PD10JAN18	20800.00	20605.71	USD	-971.45	
	NET +2 AVG BGHT 20797.50				-1,917.90	0.00
11OCT17	S 1 PD11JAN18	20785.00	20602.86	USD	910.70	
11OCT17	S 1 PD11JAN18	20790.00	20602.86	USD	935.70	
	NET -2 AVG SOLD 20787.50				1,846.40	0.00
12OCT17	B 3 PD12JAN18	20820.00	20600.00	USD	-3,300.00	
12OCT17	B 1 PD12JAN18	20815.00	20600.00	USD	-1,075.00	
12OCT17	S 1 PD12JAN18	20800.00	20600.00	USD	1,000.00	
12OCT17	S 1 PD12JAN18	20805.00	20600.00	USD	1,025.00	
13OCT17	B 2 PD12JAN18	20745.00	20600.00	USD	-1,450.00	
	NET +4 AVG BGHT 20794.17 SOLD 20802.50				-3,800.00	0.00
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
26JUL17	B 1 PD26OCT17	2809.50	3271.87	USD	11,559.25	
26JUL17	B 1 PD26OCT17	2809.00	3271.87	USD	11,571.75	
08AUG17	S 2 PD26OCT17	2924.00	3271.87	USD	-17,393.50	
	NET 0 AVG BGHT 2809.25 SOLD 2924.00				5,737.50	0.00
28JUL17	S 1 PD27OCT17	2805.00	3270.73	USD	-11,643.25	
28JUL17	S 1 PD27OCT17	2806.00	3270.73	USD	-11,618.25	
28JUL17	B 1 PD27OCT17	2780.00	3270.73	USD	12,268.25	
28JUL17	B 1 PD27OCT17	2779.00	3270.73	USD	12,293.25	
	NET 0 AVG BGHT 2779.50 SOLD 2805.50				1,300.00	0.00
01AUG17	S 2 PD01NOV17	2778.00	3267.33	USD	-24,466.50	
08AUG17	B 2 PD01NOV17	2924.37	3267.33	USD	17,148.00	
	NET 0 AVG BGHT 2924.37 SOLD 2778.00				-7,318.50	0.00
03AUG17	B 2 PD03NOV17	2794.00	3265.07	USD	23,553.50	
23AUG17	S 2 PD03NOV17	3095.62	3265.07	USD	-8,472.50	
	NET 0 AVG BGHT 2794.00 SOLD 3095.62				15,081.00	0.00
07AUG17	B 1 PD07NOV17	2866.00	3262.80	USD	9,920.00	
07AUG17	B 1 PD07NOV17	2861.00	3262.80	USD	10,045.00	
23AUG17	S 2 PD07NOV17	3096.08	3262.80	USD	-8,336.00	
	NET 0 AVG BGHT 2863.50 SOLD 3096.08				11,629.00	0.00
08AUG17	S 2 PD08NOV17	2883.00	3261.67	USD	-18,933.50	
08AUG17	B 2 PD08NOV17	2914.00	3261.67	USD	17,383.50	
	NET 0 AVG BGHT 2914.00 SOLD 2883.00				-1,550.00	0.00
09AUG17	S 2 PD09NOV17	2972.50	3260.53	USD	-14,401.50	
23AUG17	B 2 PD09NOV17	3096.51	3260.53	USD	8,201.00	
	NET 0 AVG BGHT 3096.51 SOLD 2972.50				-6,200.50	0.00
10AUG17	B 1 PD10NOV17	2943.00	3259.40	USD	7,910.00	
10AUG17	B 1 PD10NOV17	2945.00	3259.40	USD	7,860.00	
10AUG17	S 1 PD10NOV17	2947.50	3259.40	USD	-7,797.50	
10AUG17	S 1 PD10NOV17	2947.00	3259.40	USD	-7,810.00	
10AUG17	B 2 PD10NOV17	2912.00	3259.40	USD	17,370.00	
11AUG17	S 2 PD10NOV17	2913.00	3259.40	USD	-17,320.00	
	NET 0 AVG BGHT 2928.00 SOLD 2930.13				212.50	0.00
14AUG17	S 2 PD14NOV17	2913.50	3257.13	USD	-17,181.50	
23AUG17	B 2 PD14NOV17	3097.08	3257.13	USD	8,002.50	
	NET 0 AVG BGHT 3097.08 SOLD 2913.50				-9,179.00	0.00
16AUG17	B 2 PD16NOV17	3051.00	3253.50	USD	10,125.00	
16AUG17	B 2 PD16NOV17	3108.50	3253.50	USD	7,250.00	
07SEP17	S 4 PD16NOV17	3125.25	3253.50	USD	-12,825.00	

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	NET	0 AVG BGHT 3079.75	SOLD 3125.25				4,550.00	0.00
17AUG17	B	1 PD17NOV17		3118.50	3251.00	USD	3,312.50	
17AUG17	B	1 PD17NOV17		3119.00	3251.00	USD	3,300.00	
17AUG17	S	1 PD17NOV17		3137.00	3251.00	USD	-2,850.00	
17AUG17	B	1 PD17NOV17		3066.00	3251.00	USD	4,625.00	
18AUG17	B	2 PD17NOV17		3113.00	3251.00	USD	6,900.00	
18AUG17	S	2 PD17NOV17		3112.50	3251.00	USD	-6,925.00	
18AUG17	S	2 PD17NOV17		3113.50	3251.00	USD	-6,875.00	
	NET	0 AVG BGHT 3105.90	SOLD 3117.80				1,487.50	0.00
21AUG17	S	2 PD21NOV17		3133.50	3249.25	USD	-5,787.50	
21AUG17	B	2 PD21NOV17		3132.50	3249.25	USD	5,837.50	
	NET	0 AVG BGHT 3132.50	SOLD 3133.50				50.00	0.00
22AUG17	S	2 PD22NOV17		3149.00	3248.38	USD	-4,969.00	
22AUG17	S	2 PD22NOV17		3101.50	3248.38	USD	-7,344.00	
07SEP17	B	4 PD22NOV17		3126.88	3248.38	USD	12,150.00	
	NET	0 AVG BGHT 3126.88	SOLD 3125.25				-163.00	0.00
23AUG17	B	2 PD24NOV17		3108.50	3247.50	USD	6,950.00	
25AUG17	S	2 PD24NOV17		3068.00	3247.50	USD	-8,975.00	
	NET	0 AVG BGHT 3108.50	SOLD 3068.00				-2,025.00	0.00
31AUG17	B	2 PD30NOV17		3103.00	3246.50	USD	7,175.00	
31AUG17	S	2 PD30NOV17		3131.50	3246.50	USD	-5,750.00	
31AUG17	B	2 PD30NOV17		3155.00	3246.50	USD	4,575.00	
31AUG17	S	2 PD30NOV17		3154.00	3246.50	USD	-4,625.00	
	NET	0 AVG BGHT 3129.00	SOLD 3142.75				1,375.00	0.00
01SEP17	B	2 PD01DEC17		3142.00	3246.25	USD	5,212.50	
01SEP17	B	2 PD01DEC17		3179.50	3246.25	USD	3,337.50	
07SEP17	S	2 PD01DEC17		3127.69	3246.25	USD	-5,928.00	
13SEP17	S	2 PD01DEC17		3023.31	3246.25	USD	-11,147.00	
	NET	0 AVG BGHT 3160.75	SOLD 3075.50				-8,525.00	0.00
04SEP17	S	2 PD04DEC17		3188.50	3246.00	USD	-2,875.00	
07SEP17	B	2 PD04DEC17		3128.54	3246.00	USD	5,873.00	
	NET	0 AVG BGHT 3128.54	SOLD 3188.50				2,998.00	0.00
05SEP17	S	2 PD05DEC17		3181.00	3245.75	USD	-3,237.50	
05SEP17	S	2 PD05DEC17		3147.50	3245.75	USD	-4,912.50	
13SEP17	B	2 PD05DEC17		3023.76	3245.75	USD	11,099.50	
13SEP17	B	2 PD05DEC17		3023.56	3245.75	USD	11,109.50	
	NET	0 AVG BGHT 3023.66	SOLD 3164.25				14,059.00	0.00
06SEP17	B	2 PD06DEC17		3099.00	3245.50	USD	7,325.00	
13SEP17	S	2 PD06DEC17		3023.43	3245.50	USD	-11,103.50	
	NET	0 AVG BGHT 3099.00	SOLD 3023.43				-3,778.50	0.00
07SEP17	S	2 PD07DEC17		3079.00	3245.25	USD	-8,312.50	
13SEP17	B	2 PD07DEC17		3023.69	3245.25	USD	11,078.00	
	NET	0 AVG BGHT 3023.69	SOLD 3079.00				2,765.50	0.00
08SEP17	S	2 PD08DEC17		3135.00	3245.00	USD	-5,500.00	
08SEP17	B	2 PD08DEC17		3145.00	3245.00	USD	5,000.00	
	NET	0 AVG BGHT 3145.00	SOLD 3135.00				-500.00	0.00
11SEP17	B	1 PD11DEC17		3058.00	3244.75	USD	4,668.75	
11SEP17	B	1 PD11DEC17		3058.00	3244.75	USD	4,668.75	
11SEP17	B	2 PD11DEC17		3059.00	3244.75	USD	9,287.50	
13SEP17	S	2 PD11DEC17		3023.47	3244.75	USD	-11,064.00	
20SEP17	S	2 PD11DEC17		3129.61	3244.75	USD	-5,757.00	
	NET	0 AVG BGHT 3058.50	SOLD 3076.54				1,804.00	0.00
13SEP17	B	2 PD13DEC17		3068.00	3244.25	USD	8,812.50	

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13SEP17	S	2	PD13DEC17	3058.00	3244.25	USD	-9,312.50	
	NET	0 AVG BGHT 3068.00	SOLD 3058.00				-500.00	0.00
14SEP17	B	1	PD14DEC17	3007.50	3244.00	USD	5,912.50	
14SEP17	B	1	PD14DEC17	3007.50	3244.00	USD	5,912.50	
29SEP17	S	2	PD14DEC17	3165.63	3244.00	USD	-3,918.50	
	NET	0 AVG BGHT 3007.50	SOLD 3165.63				7,906.50	0.00
15SEP17	S	1	PD15DEC17	3001.50	3243.75	USD	-6,056.25	
15SEP17	S	1	PD15DEC17	3000.00	3243.75	USD	-6,093.75	
20SEP17	B	2	PD15DEC17	3130.87	3243.75	USD	5,644.00	
	NET	0 AVG BGHT 3130.87	SOLD 3000.75				-6,506.00	0.00
18SEP17	B	2	PD18DEC17	3071.00	3243.50	USD	8,625.00	
18SEP17	S	2	PD18DEC17	3079.00	3243.50	USD	-8,225.00	
18SEP17	B	2	PD18DEC17	3089.50	3243.50	USD	7,700.00	
18SEP17	S	1	PD18DEC17	3101.50	3243.50	USD	-3,550.00	
18SEP17	B	2	PD18DEC17	3102.00	3243.50	USD	7,075.00	
18SEP17	B	1	PD18DEC17	3099.50	3243.50	USD	3,600.00	
29SEP17	S	4	PD18DEC17	3165.88	3243.50	USD	-7,762.00	
	NET	0 AVG BGHT 3089.21	SOLD 3131.86				7,463.00	0.00
19SEP17	S	2	PD19DEC17	3108.00	3243.25	USD	-6,762.50	
19SEP17	S	2	PD19DEC17	3104.50	3243.25	USD	-6,937.50	
19SEP17	B	4	PD19DEC17	3112.50	3243.25	USD	13,075.00	
19SEP17	B	2	PD19DEC17	3109.00	3243.25	USD	6,712.50	
29SEP17	S	2	PD19DEC17	3165.94	3243.25	USD	-3,865.50	
	NET	0 AVG BGHT 3111.33	SOLD 3126.15				2,222.00	0.00
20SEP17	S	2	PD20DEC17	3136.00	3243.00	USD	-5,350.00	
20SEP17	S	2	PD20DEC17	3136.50	3243.00	USD	-5,325.00	
29SEP17	B	4	PD20DEC17	3166.20	3243.00	USD	7,680.00	
	NET	0 AVG BGHT 3166.20	SOLD 3136.25				-2,995.00	0.00
21SEP17	S	2	PD21DEC17	3078.00	3240.00	USD	-8,100.00	
21SEP17	S	2	PD21DEC17	3027.50	3240.00	USD	-10,625.00	
29SEP17	B	4	PD21DEC17	3162.95	3240.00	USD	7,705.00	
	NET	0 AVG BGHT 3162.95	SOLD 3052.75				-11,020.00	0.00
26SEP17	B	2	PD27DEC17	3127.00	3239.23	USD	5,611.50	
26SEP17	S	1	PD27DEC17	3126.50	3239.23	USD	-2,818.25	
26SEP17	B	1	PD27DEC17	3126.50	3239.23	USD	2,818.25	
26SEP17	S	2	PD27DEC17	3112.00	3239.23	USD	-6,361.50	
27SEP17	B	2	PD27DEC17	3138.50	3239.23	USD	5,036.50	
27SEP17	S	2	PD27DEC17	3112.50	3239.23	USD	-6,336.50	
	NET	0 AVG BGHT 3131.50	SOLD 3115.10				-2,050.00	0.00
28SEP17	B	2	PD28DEC17	3141.00	3238.85	USD	4,892.50	
28SEP17	S	2	PD28DEC17	3156.50	3238.85	USD	-4,117.50	
	NET	0 AVG BGHT 3141.00	SOLD 3156.50				775.00	0.00
29SEP17	B	2	PD29DEC17	3159.00	3238.46	USD	3,973.00	
	NET	+2 AVG BGHT 3159.00					3,973.00	0.00
09OCT17	B	1	PD09JAN18	3263.00	3236.15	USD	-671.25	
09OCT17	B	1	PD09JAN18	3264.00	3236.15	USD	-696.25	
09OCT17	S	1	PD09JAN18	3247.50	3236.15	USD	283.75	
09OCT17	B	1	PD09JAN18	3248.50	3236.15	USD	-308.75	
09OCT17	S	1	PD09JAN18	3243.50	3236.15	USD	183.75	
09OCT17	S	2	PD09JAN18	3261.50	3236.15	USD	1,267.50	
09OCT17	S	1	PD09JAN18	3250.00	3236.15	USD	346.25	
09OCT17	S	1	PD09JAN18	3244.50	3236.15	USD	208.75	
09OCT17	B	1	PD09JAN18	3251.50	3236.15	USD	-383.75	
	NET	-2 AVG BGHT 3256.75	SOLD 3251.42				230.00	0.00

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP00940

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
12OCT17	B	2	PD12JAN18	3229.00	3235.00	USD	300.00	
12OCT17	S	2	PD12JAN18	3254.50	3235.00	USD	975.00	
12OCT17	B	2	PD12JAN18	3255.50	3235.00	USD	-1,025.00	
13OCT17	S	1	PD12JAN18	3274.50	3235.00	USD	987.50	
13OCT17	S	1	PD12JAN18	3274.00	3235.00	USD	975.00	
	NET	0AVG BGHT 3242.25	SOLD 3264.38				2,212.50	0.00

OPEN POSITION VALUE

		USD
LME Aluminium 25 Tonnes.	FUTURES	67,101.00
LME Copper Grade A 25	FUTURES	-867,749.25
CMX Gold	FUTURES	-1,034,840.00
LME Lead 25 tonnes. This is an	FUTURES	142,134.50
LME Nickel 6 tonnes. This is	FUTURES	774,108.72
LME Tin 5 tonnes. This is an	FUTURES	-205,834.45
LME Zinc 25 tonnes. This is	FUTURES	25,405.00

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options	Amount	Profit / Loss	Total Amount	Total P&L
GOLD - Futures USD	8,840.00	8,840.00	8,840.00	8,840.00
Unrealised Profits & Losses - Forwards	Amount	Profit / Loss	Total Amount	Total P&L
ALUL - Futures USD	67,329.00	67,329.00		
COPL - Futures USD	-182,096.25	-182,096.25		
LEAD - Futures USD	15,649.50	15,649.50		
NKL - Futures USD	212,120.04	212,120.04		
TIN - Futures USD	-348.75	-348.75		
ZINC - Futures USD	25,520.50	25,520.50	138,174.04	138,174.04

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
CASH BALANCE	(1.00000)	(1.00000)
Variation Margins - Futures	140,881.78	140,881.78
- Options	8,840.00	8,840.00
	0.00	0.00
TOTAL EQUITY	149,721.78	149,721.78
Initial Margins	-48,199.96	-48,199.96
Excess/(Shortage)	101,521.82	101,521.82
FOR INFORMATION ONLY		
Forward Unrealised P&L	138,174.04	138,174.04
Net Liquidating Value	287,895.82	287,895.82

OPEN POSITION STATEMENT



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	<u>LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.</u>					
31JUL17	B 1 PD31OCT17	1915.00	2113.75	USD	4,968.75	
31JUL17	S 1 PD31OCT17	1916.50	2113.75	USD	-4,931.25	
	NET 0AVG BGHT 1915.00 SOLD 1916.50				37.50	0.00
01AUG17	B 2 PD01NOV17	1907.00	2114.09	USD	10,354.50	
08AUG17	S 2 PD01NOV17	2028.60	2114.09	USD	-4,274.50	
	NET 0AVG BGHT 1907.00 SOLD 2028.60				6,080.00	0.00
02AUG17	S 1 PD02NOV17	1912.00	2114.44	USD	-5,061.00	
02AUG17	S 1 PD02NOV17	1931.50	2114.44	USD	-4,573.50	
08AUG17	B 2 PD02NOV17	2029.00	2114.44	USD	4,272.00	
	NET 0AVG BGHT 2029.00 SOLD 1921.75				-5,362.50	0.00
03AUG17	B 1 PD03NOV17	1928.00	2114.78	USD	4,669.50	
03AUG17	S 1 PD03NOV17	1914.00	2114.78	USD	-5,019.50	
04AUG17	B 1 PD03NOV17	1905.00	2114.78	USD	5,244.50	
04AUG17	S 1 PD03NOV17	1913.50	2114.78	USD	-5,032.00	
	NET 0AVG BGHT 1916.50 SOLD 1913.75				-137.50	0.00
07AUG17	B 1 PD07NOV17	1952.50	2116.16	USD	4,091.50	
07AUG17	S 1 PD07NOV17	1964.50	2116.16	USD	-3,791.50	
	NET 0AVG BGHT 1952.50 SOLD 1964.50				300.00	0.00
09AUG17	B 1 PD09NOV17	2036.00	2116.96	USD	2,024.00	
09AUG17	S 1 PD09NOV17	2017.50	2116.96	USD	-2,486.50	
	NET 0AVG BGHT 2036.00 SOLD 2017.50				-462.50	0.00
16AUG17	B 1 PD16NOV17	2067.50	2120.07	USD	1,314.25	
12OCT17	S 1 PD16NOV17	2133.54	2120.07	USD	336.75	
	NET 0AVG BGHT 2067.50 SOLD 2133.54				1,651.00	0.00
18AUG17	S 1 PD17NOV17	2063.50	2120.38	USD	-1,422.00	
12OCT17	B 1 PD17NOV17	2134.04	2120.38	USD	-341.50	
	NET 0AVG BGHT 2134.04 SOLD 2063.50				-1,763.50	0.00
22AUG17	B 1 PD22NOV17	2081.00	2121.96	USD	1,024.00	
22AUG17	B 1 PD22NOV17	2077.00	2121.96	USD	1,124.00	
12OCT17	S 1 PD22NOV17	2135.31	2121.96	USD	333.75	
	NET +1AVG BGHT 2079.00 SOLD 2135.31				2,481.75	0.00
23AUG17	S 1 PD24NOV17	2072.50	2122.60	USD	-1,252.50	
23AUG17	S 1 PD24NOV17	2079.00	2122.60	USD	-1,090.00	
25AUG17	B 1 PD24NOV17	2068.00	2122.60	USD	1,365.00	
12OCT17	B 1 PD24NOV17	2136.10	2122.60	USD	-337.50	
	NET 0AVG BGHT 2102.05 SOLD 2075.75				-1,315.00	0.00
30AUG17	S 1 PD30NOV17	2094.00	2124.49	USD	-762.25	
	NET -1AVG SOLD 2094.00				-762.25	0.00
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>					
17JUL17	S 1 PD17OCT17	5987.50	6859.00	USD	-21,787.50	
17JUL17	B 1 PD17OCT17	6004.50	6859.00	USD	21,362.50	
17JUL17	S 1 PD17OCT17	6004.50	6859.00	USD	-21,362.50	
17JUL17	B 1 PD17OCT17	5996.50	6859.00	USD	21,562.50	
	NET 0AVG BGHT 6000.50 SOLD 5996.00				-225.00	0.00
25JUL17	S 1 PD25OCT17	6211.00	6857.50	USD	-16,162.50	
25JUL17	B 1 PD25OCT17	6218.00	6857.50	USD	15,987.50	
	NET 0AVG BGHT 6218.00 SOLD 6211.00				-175.00	0.00
26JUL17	B 1 PD26OCT17	6299.50	6857.50	USD	13,950.00	
26JUL17	S 1 PD26OCT17	6329.50	6857.50	USD	-13,200.00	
	NET 0AVG BGHT 6299.50 SOLD 6329.50				750.00	0.00
27JUL17	S 1 PD27OCT17	6370.50	6857.50	USD	-12,175.00	
27JUL17	B 1 PD27OCT17	6369.50	6857.50	USD	12,200.00	

OPEN POSITION STATEMENT



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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
	NET	0 AVG BGHT 6369.50	SOLD 6370.50				25.00	0.00
29AUG17	S	1 PD29NOV17		6752.00	6869.07	USD	-2,926.75	
29AUG17	S	1 PD29NOV17		6755.00	6869.07	USD	-2,851.75	
29AUG17	B	2 PD29NOV17		6752.50	6869.07	USD	5,828.50	
	NET	0 AVG BGHT 6752.50	SOLD 6753.50				50.00	0.00
31AUG17	S	1 PD30NOV17		6834.00	6869.53	USD	-888.25	
31AUG17	B	1 PD30NOV17		6830.50	6869.53	USD	975.75	
	NET	0 AVG BGHT 6830.50	SOLD 6834.00				87.50	0.00
12SEP17	B	1 PD12DEC17		6672.50	6874.50	USD	5,050.00	
12SEP17	S	1 PD12DEC17		6678.00	6874.50	USD	-4,912.50	
12SEP17	B	1 PD12DEC17		6653.00	6874.50	USD	5,537.50	
12SEP17	B	1 PD12DEC17		6641.00	6874.50	USD	5,837.50	
12SEP17	S	1 PD12DEC17		6653.00	6874.50	USD	-5,537.50	
12SEP17	S	1 PD12DEC17		6652.00	6874.50	USD	-5,562.50	
	NET	0 AVG BGHT 6655.50	SOLD 6661.00				412.50	0.00
13SEP17	B	1 PD13DEC17		6570.50	6874.92	USD	7,610.50	
13SEP17	B	1 PD13DEC17		6553.00	6874.92	USD	8,048.00	
13SEP17	S	1 PD13DEC17		6550.00	6874.92	USD	-8,123.00	
13SEP17	S	1 PD13DEC17		6564.50	6874.92	USD	-7,760.50	
	NET	0 AVG BGHT 6561.75	SOLD 6557.25				-225.00	0.00
	<u>LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
17JUL17	B	1 PD17OCT17		2315.50	2518.25	USD	5,068.75	
17JUL17	B	1 PD17OCT17		2325.00	2518.25	USD	4,831.25	
17JUL17	B	1 PD17OCT17		2299.00	2518.25	USD	5,481.25	
08AUG17	S	3 PD17OCT17		2378.96	2518.25	USD	-10,446.75	
	NET	0 AVG BGHT 2313.17	SOLD 2378.96				4,934.50	0.00
18JUL17	S	1 PD18OCT17		2292.00	2518.75	USD	-5,668.75	
18JUL17	S	1 PD18OCT17		2293.00	2518.75	USD	-5,643.75	
18JUL17	B	1 PD18OCT17		2270.00	2518.75	USD	6,218.75	
20JUL17	B	1 PD18OCT17		2213.45	2518.75	USD	7,632.50	
	NET	0 AVG BGHT 2241.73	SOLD 2292.50				2,538.75	0.00
19JUL17	B	1 PD19OCT17		2217.50	2518.90	USD	7,535.00	
19JUL17	S	1 PD19OCT17		2218.50	2518.90	USD	-7,510.00	
	NET	0 AVG BGHT 2217.50	SOLD 2218.50				25.00	0.00
20JUL17	S	1 PD20OCT17		2226.00	2519.05	USD	-7,326.25	
20JUL17	B	1 PD20OCT17		2203.50	2519.05	USD	7,888.75	
20JUL17	S	1 PD20OCT17		2206.50	2519.05	USD	-7,813.75	
20JUL17	S	1 PD20OCT17		2213.00	2519.05	USD	-7,651.25	
21JUL17	B	1 PD20OCT17		2242.00	2519.05	USD	6,926.25	
21JUL17	S	1 PD20OCT17		2239.50	2519.05	USD	-6,988.75	
08AUG17	B	2 PD20OCT17		2378.95	2519.05	USD	7,005.00	
	NET	0 AVG BGHT 2300.85	SOLD 2221.25				-7,960.00	0.00
24JUL17	B	1 PD24OCT17		2245.50	2519.65	USD	6,853.75	
24JUL17	S	1 PD24OCT17		2256.50	2519.65	USD	-6,578.75	
	NET	0 AVG BGHT 2245.50	SOLD 2256.50				275.00	0.00
25JUL17	B	1 PD25OCT17		2275.00	2519.80	USD	6,120.00	
08AUG17	S	1 PD25OCT17		2380.75	2519.80	USD	-3,476.25	
	NET	0 AVG BGHT 2275.00	SOLD 2380.75				2,643.75	0.00
26JUL17	S	1 PD26OCT17		2314.50	2519.95	USD	-5,136.25	
26JUL17	S	1 PD26OCT17		2329.50	2519.95	USD	-4,761.25	
08AUG17	B	2 PD26OCT17		2381.12	2519.95	USD	6,941.50	
	NET	0 AVG BGHT 2381.12	SOLD 2322.00				-2,956.00	0.00

OPEN POSITION STATEMENT



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13th October 2017

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	<u>LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
01AUG17	S	2	PD01NOV17	2335.00	2520.85	USD	-9,292.50	
08AUG17	B	2	PD01NOV17	2381.96	2520.85	USD	6,944.50	
	NET	0 AVG	BGHT 2381.96				SOLD 2335.00	0.00
02AUG17	B	1	PD02NOV17	2328.50	2521.00	USD	4,812.50	
02AUG17	B	1	PD02NOV17	2339.50	2521.00	USD	4,537.50	
08AUG17	S	2	PD02NOV17	2381.93	2521.00	USD	-6,953.50	
	NET	0 AVG	BGHT 2334.00				SOLD 2381.93	0.00
07AUG17	B	1	PD07NOV17	2385.50	2521.67	USD	3,404.25	
07AUG17	B	1	PD07NOV17	2384.50	2521.67	USD	3,429.25	
07AUG17	S	1	PD07NOV17	2363.00	2521.67	USD	-3,966.75	
07AUG17	B	1	PD07NOV17	2362.50	2521.67	USD	3,979.25	
12OCT17	S	2	PD07NOV17	2549.75	2521.67	USD	1,404.00	
	NET	0 AVG	BGHT 2377.50				SOLD 2487.50	0.00
08AUG17	B	1	PD08NOV17	2355.00	2521.81	USD	4,170.25	
12OCT17	S	1	PD08NOV17	2549.88	2521.81	USD	701.75	
	NET	0 AVG	BGHT 2355.00				SOLD 2549.88	0.00
09AUG17	B	1	PD09NOV17	2416.50	2521.94	USD	2,636.00	
12OCT17	S	1	PD09NOV17	2550.00	2521.94	USD	701.50	
	NET	0 AVG	BGHT 2416.50				SOLD 2550.00	0.00
11AUG17	B	1	PD10NOV17	2335.00	2522.08	USD	4,677.00	
12OCT17	S	1	PD10NOV17	2550.13	2522.08	USD	701.25	
	NET	0 AVG	BGHT 2335.00				SOLD 2550.13	0.00
15AUG17	S	1	PD15NOV17	2366.50	2522.75	USD	-3,906.25	
12OCT17	B	1	PD15NOV17	2550.95	2522.75	USD	-705.00	
	NET	0 AVG	BGHT 2550.95				SOLD 2366.50	0.00
16AUG17	S	1	PD16NOV17	2480.00	2522.75	USD	-1,068.75	
12OCT17	B	1	PD16NOV17	2550.95	2522.75	USD	-705.00	
	NET	0 AVG	BGHT 2550.95				SOLD 2480.00	0.00
17AUG17	B	1	PD17NOV17	2436.50	2522.75	USD	2,156.25	
18AUG17	S	2	PD17NOV17	2420.00	2522.75	USD	-5,137.50	
18AUG17	S	2	PD17NOV17	2370.00	2522.75	USD	-7,637.50	
12OCT17	B	3	PD17NOV17	2550.95	2522.75	USD	-2,115.00	
	NET	0 AVG	BGHT 2522.34				SOLD 2395.00	0.00
22AUG17	B	1	PD22NOV17	2325.00	2523.51	USD	4,962.75	
22AUG17	S	1	PD22NOV17	2356.00	2523.51	USD	-4,187.75	
22AUG17	B	1	PD22NOV17	2388.00	2523.51	USD	3,387.75	
22AUG17	S	1	PD22NOV17	2390.00	2523.51	USD	-3,337.75	
22AUG17	S	1	PD22NOV17	2418.00	2523.51	USD	-2,637.75	
12OCT17	B	1	PD22NOV17	2551.43	2523.51	USD	-698.00	
	NET	0 AVG	BGHT 2421.48				SOLD 2388.00	0.00
23AUG17	B	1	PD24NOV17	2397.00	2523.81	USD	3,170.25	
25AUG17	B	1	PD24NOV17	2327.00	2523.81	USD	4,920.25	
12OCT17	S	1	PD24NOV17	2551.50	2523.81	USD	692.25	
12OCT17	S	1	PD24NOV17	2551.70	2523.81	USD	697.25	
	NET	0 AVG	BGHT 2362.00				SOLD 2551.60	0.00
29AUG17	S	1	PD29NOV17	2395.50	2524.57	USD	-3,226.75	
12OCT17	B	1	PD29NOV17	2552.59	2524.57	USD	-700.50	
	NET	0 AVG	BGHT 2552.59				SOLD 2395.50	0.00
30AUG17	B	1	PD30NOV17	2395.00	2524.72	USD	3,243.00	
30AUG17	B	1	PD30NOV17	2370.00	2524.72	USD	3,868.00	
30AUG17	S	1	PD30NOV17	2369.00	2524.72	USD	-3,893.00	
30AUG17	S	1	PD30NOV17	2369.50	2524.72	USD	-3,880.50	
31AUG17	B	1	PD30NOV17	2400.00	2524.72	USD	3,118.00	
12OCT17	S	1	PD30NOV17	2552.52	2524.72	USD	695.00	

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	<u>LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
	NET	0 AVG BGHT 2388.33	SOLD 2430.34				3,150.50	0.00
01SEP17	S	1 PD01DEC17		2400.50	2524.87	USD	-3,109.25	
01SEP17	B	1 PD01DEC17		2394.00	2524.87	USD	3,271.75	
01SEP17	S	1 PD01DEC17		2399.00	2524.87	USD	-3,146.75	
12OCT17	B	1 PD01DEC17		2552.86	2524.87	USD	-699.75	
	NET	0 AVG BGHT 2473.43	SOLD 2399.75				-3,684.00	0.00
	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
25JUL17	S	1 PD25OCT17		9990.00	11615.61	USD	-9,753.66	
25JUL17	B	1 PD25OCT17		9990.00	11615.61	USD	9,753.66	
	NET	0 AVG BGHT 9990.00	SOLD 9990.00				0.00	0.00
27JUL17	S	1 PD27OCT17		10185.00	11617.31	USD	-8,593.86	
27JUL17	B	1 PD27OCT17		10160.00	11617.31	USD	8,743.86	
28JUL17	B	1 PD27OCT17		10205.00	11617.31	USD	8,473.86	
28JUL17	S	1 PD27OCT17		10205.00	11617.31	USD	-8,473.86	
	NET	0 AVG BGHT 10182.50	SOLD 10195.00				150.00	0.00
01AUG17	S	1 PD01NOV17		10175.00	11621.57	USD	-8,679.42	
08AUG17	B	1 PD01NOV17		10627.17	11621.57	USD	5,966.40	
	NET	0 AVG BGHT 10627.17	SOLD 10175.00				-2,713.02	0.00
03AUG17	B	1 PD03NOV17		10305.00	11623.28	USD	7,909.68	
08AUG17	S	1 PD03NOV17		10626.98	11623.28	USD	-5,977.80	
	NET	0 AVG BGHT 10305.00	SOLD 10626.98				1,931.88	0.00
07AUG17	S	2 PD07NOV17		10365.00	11626.69	USD	-15,140.28	
07AUG17	B	1 PD07NOV17		10355.00	11626.69	USD	7,630.14	
07AUG17	B	1 PD07NOV17		10380.00	11626.69	USD	7,480.14	
	NET	0 AVG BGHT 10367.50	SOLD 10365.00				-30.00	0.00
08AUG17	S	1 PD08NOV17		10325.00	11627.54	USD	-7,815.24	
08AUG17	S	1 PD08NOV17		10535.00	11627.54	USD	-6,555.24	
08AUG17	B	1 PD08NOV17		10610.00	11627.54	USD	6,105.24	
08AUG17	S	1 PD08NOV17		10600.00	11627.54	USD	-6,165.24	
08AUG17	S	1 PD08NOV17		10685.00	11627.54	USD	-5,655.24	
08AUG17	S	1 PD08NOV17		10760.00	11627.54	USD	-5,205.24	
08AUG17	B	1 PD08NOV17		10710.00	11627.54	USD	5,505.24	
08AUG17	S	1 PD08NOV17		10745.00	11627.54	USD	-5,295.24	
08AUG17	B	1 PD08NOV17		10740.00	11627.54	USD	5,325.24	
12OCT17	B	3 PD08NOV17		11351.00	11627.54	USD	4,977.72	
	NET	0 AVG BGHT 11018.83	SOLD 10608.33				-14,778.00	0.00
09AUG17	B	1 PD09NOV17		10745.00	11628.39	USD	5,300.34	
12OCT17	S	1 PD09NOV17		11350.71	11628.39	USD	-1,666.08	
	NET	0 AVG BGHT 10745.00	SOLD 11350.71				3,634.26	0.00
10AUG17	S	1 PD10NOV17		10880.00	11629.24	USD	-4,495.44	
10AUG17	B	1 PD10NOV17		10900.00	11629.24	USD	4,375.44	
	NET	0 AVG BGHT 10900.00	SOLD 10880.00				-120.00	0.00
14AUG17	B	1 PD14NOV17		10600.00	11632.65	USD	6,195.90	
12OCT17	S	1 PD14NOV17		11354.29	11632.65	USD	-1,670.16	
	NET	0 AVG BGHT 10600.00	SOLD 11354.29				4,525.74	0.00
16AUG17	S	1 PD16NOV17		10595.00	11634.19	USD	-6,235.14	
16AUG17	S	1 PD16NOV17		10760.00	11634.19	USD	-5,245.14	
16AUG17	B	1 PD16NOV17		10720.00	11634.19	USD	5,485.14	
16AUG17	S	1 PD16NOV17		10765.00	11634.19	USD	-5,215.14	
12OCT17	B	2 PD16NOV17		11356.68	11634.19	USD	3,330.12	
	NET	0 AVG BGHT 11144.45	SOLD 10706.67				-7,880.16	0.00
17AUG17	B	1 PD17NOV17		10845.00	11634.87	USD	4,739.22	

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12OCT17	S	1	PD17NOV17	11356.37	11634.87	USD	-1,671.00	
	NET	0 AVG BGHT	10845.00	SOLD 11356.37			3,068.22	0.00
23AUG17	B	1	PD24NOV17	11655.00	11639.67	USD	-91.98	
23AUG17	B	1	PD24NOV17	11660.00	11639.67	USD	-121.98	
23AUG17	S	2	PD24NOV17	11660.00	11639.67	USD	243.96	
25AUG17	B	1	PD24NOV17	11655.00	11639.67	USD	-91.98	
25AUG17	B	1	PD24NOV17	11455.00	11639.67	USD	1,108.02	
25AUG17	B	1	PD24NOV17	11460.00	11639.67	USD	1,078.02	
25AUG17	B	1	PD24NOV17	11405.00	11639.67	USD	1,408.02	
25AUG17	S	2	PD24NOV17	11435.00	11639.67	USD	-2,456.04	
25AUG17	S	1	PD24NOV17	11445.00	11639.67	USD	-1,168.02	
12OCT17	S	1	PD24NOV17	11361.15	11639.67	USD	-1,671.12	
	NET	0 AVG BGHT	11548.33	SOLD 11499.36			-1,763.10	0.00
29AUG17	S	1	PD29NOV17	11820.00	11643.10	USD	1,061.40	
29AUG17	B	1	PD29NOV17	11805.00	11643.10	USD	-971.40	
29AUG17	B	1	PD29NOV17	11800.00	11643.10	USD	-941.40	
29AUG17	S	1	PD29NOV17	11825.00	11643.10	USD	1,091.40	
29AUG17	B	1	PD29NOV17	11825.00	11643.10	USD	-1,091.40	
29AUG17	S	1	PD29NOV17	11800.00	11643.10	USD	941.40	
	NET	0 AVG BGHT	11810.00	SOLD 11815.00			90.00	0.00
05SEP17	B	1	PD05DEC17	12030.00	11647.21	USD	-2,296.74	
05SEP17	S	1	PD05DEC17	12070.00	11647.21	USD	2,536.74	
05SEP17	B	1	PD05DEC17	12010.00	11647.21	USD	-2,176.74	
05SEP17	S	1	PD05DEC17	12010.00	11647.21	USD	2,176.74	
	NET	0 AVG BGHT	12020.00	SOLD 12040.00			240.00	0.00
06SEP17	S	1	PD06DEC17	12210.00	11647.90	USD	3,372.60	
06SEP17	S	1	PD06DEC17	12225.00	11647.90	USD	3,462.60	
12OCT17	B	2	PD06DEC17	11370.35	11647.90	USD	3,330.60	
	NET	0 AVG BGHT	11370.35	SOLD 12217.50			10,165.80	0.00
07SEP17	B	2	PD07DEC17	12225.00	11648.59	USD	-6,916.92	
07SEP17	B	1	PD07DEC17	12010.00	11648.59	USD	-2,168.46	
12OCT17	S	3	PD07DEC17	11370.03	11648.59	USD	-5,014.08	
	NET	0 AVG BGHT	12153.33	SOLD 11370.03			-14,099.46	0.00
08SEP17	B	1	PD08DEC17	11930.00	11649.27	USD	-1,684.38	
08SEP17	B	1	PD08DEC17	11740.00	11649.27	USD	-544.38	
08SEP17	S	1	PD08DEC17	11725.00	11649.27	USD	454.38	
08SEP17	B	1	PD08DEC17	11575.00	11649.27	USD	445.62	
12OCT17	S	2	PD08DEC17	11371.72	11649.27	USD	-3,330.60	
	NET	0 AVG BGHT	11748.33	SOLD 11489.48			-4,659.36	0.00
11SEP17	S	1	PD11DEC17	11740.00	11651.33	USD	532.02	
11SEP17	S	1	PD11DEC17	11825.00	11651.33	USD	1,042.02	
11SEP17	B	1	PD11DEC17	11795.00	11651.33	USD	-862.02	
12OCT17	B	1	PD11DEC17	11374.77	11651.33	USD	1,659.36	
	NET	0 AVG BGHT	11584.89	SOLD 11782.50			2,371.38	0.00
12SEP17	S	1	PD12DEC17	11865.00	11652.01	USD	1,277.94	
12OCT17	B	1	PD12DEC17	11375.45	11652.01	USD	1,659.36	
	NET	0 AVG BGHT	11375.45	SOLD 11865.00			2,937.30	0.00
13SEP17	B	1	PD13DEC17	11585.00	11652.70	USD	406.20	
13SEP17	S	1	PD13DEC17	11485.00	11652.70	USD	-1,006.20	
13SEP17	B	1	PD13DEC17	11405.00	11652.70	USD	1,486.20	
12OCT17	S	1	PD13DEC17	11375.13	11652.70	USD	-1,665.42	
	NET	0 AVG BGHT	11495.00	SOLD 11430.07			-779.22	0.00
14SEP17	B	1	PD14DEC17	11155.00	11653.39	USD	2,990.34	
14SEP17	S	1	PD14DEC17	11245.00	11653.39	USD	-2,450.34	

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>								
	NET	0 AVG	BGHT	11155.00	SOLD	11245.00		540.00	0.00
15SEP17	S	1	PD15DEC17		11130.00	11654.07	USD	-3,144.42	
15SEP17	B	1	PD15DEC17		11005.00	11654.07	USD	3,894.42	
15SEP17	S	1	PD15DEC17		11045.00	11654.07	USD	-3,654.42	
15SEP17	S	1	PD15DEC17		11085.00	11654.07	USD	-3,414.42	
15SEP17	B	1	PD15DEC17		11065.00	11654.07	USD	3,534.42	
15SEP17	S	1	PD15DEC17		11145.00	11654.07	USD	-3,054.42	
15SEP17	B	2	PD15DEC17		11090.00	11654.07	USD	6,768.84	
15SEP17	B	1	PD15DEC17		11110.00	11654.07	USD	3,264.42	
15SEP17	S	2	PD15DEC17		11150.00	11654.07	USD	-6,048.84	
15SEP17	B	2	PD15DEC17		11125.00	11654.07	USD	6,348.84	
15SEP17	S	1	PD15DEC17		11110.00	11654.07	USD	-3,264.42	
	NET	0 AVG	BGHT	11087.14	SOLD	11116.43		1,230.00	0.00
18SEP17	B	1	PD18DEC17		11130.00	11656.13	USD	3,156.78	
18SEP17	S	1	PD18DEC17		11255.00	11656.13	USD	-2,406.78	
	NET	0 AVG	BGHT	11130.00	SOLD	11255.00		750.00	0.00
19SEP17	B	1	PD19DEC17		10965.00	11656.81	USD	4,150.86	
19SEP17	S	1	PD19DEC17		11105.00	11656.81	USD	-3,310.86	
19SEP17	S	1	PD19DEC17		11180.00	11656.81	USD	-2,860.86	
19SEP17	B	1	PD19DEC17		11160.00	11656.81	USD	2,980.86	
19SEP17	B	1	PD19DEC17		11150.00	11656.81	USD	3,040.86	
19SEP17	S	1	PD19DEC17		11150.00	11656.81	USD	-3,040.86	
	NET	0 AVG	BGHT	11091.67	SOLD	11145.00		960.00	0.00
20SEP17	S	1	PD20DEC17		11360.00	11657.50	USD	-1,785.00	
12OCT17	B	1	PD20DEC17		11380.00	11657.50	USD	1,665.00	
	NET	0 AVG	BGHT	11380.00	SOLD	11360.00		-120.00	0.00
21SEP17	B	1	PD21DEC17		10975.00	11658.26	USD	4,099.56	
21SEP17	B	1	PD21DEC17		11010.00	11658.26	USD	3,889.56	
21SEP17	B	1	PD21DEC17		10935.00	11658.26	USD	4,339.56	
21SEP17	B	1	PD21DEC17		10905.00	11658.26	USD	4,519.56	
21SEP17	B	1	PD21DEC17		10830.00	11658.26	USD	4,969.56	
12OCT17	S	1	PD21DEC17		11379.70	11658.26	USD	-1,671.36	
	NET	+4 AVG	BGHT	10931.00	SOLD	11379.70		20,146.44	0.00
22SEP17	S	1	PD22DEC17		10770.00	11659.02	USD	-5,334.12	
22SEP17	S	1	PD22DEC17		10780.00	11659.02	USD	-5,274.12	
22SEP17	B	1	PD22DEC17		10585.00	11659.02	USD	6,444.12	
22SEP17	B	1	PD22DEC17		10455.00	11659.02	USD	7,224.12	
22SEP17	S	1	PD22DEC17		10625.00	11659.02	USD	-6,204.12	
12OCT17	B	1	PD22DEC17		11381.39	11659.02	USD	1,665.78	
	NET	0 AVG	BGHT	10807.13	SOLD	10725.00		-1,478.34	0.00
27SEP17	B	1	PD27DEC17		10595.00	11662.83	USD	6,406.98	
27SEP17	B	1	PD27DEC17		10605.00	11662.83	USD	6,346.98	
27SEP17	S	1	PD27DEC17		10575.00	11662.83	USD	-6,526.98	
27SEP17	S	1	PD27DEC17		10580.00	11662.83	USD	-6,496.98	
27SEP17	S	1	PD27DEC17		10610.00	11662.83	USD	-6,316.98	
27SEP17	S	1	PD27DEC17		10615.00	11662.83	USD	-6,286.98	
	NET	-2 AVG	BGHT	10600.00	SOLD	10595.00		-12,873.96	0.00
03OCT17	S	1	PD03JAN18		10595.00	11668.15	USD	-6,438.90	
03OCT17	S	1	PD03JAN18		10670.00	11668.15	USD	-5,988.90	
	NET	-2 AVG	SOLD	10632.50				-12,427.80	0.00
11OCT17	B	1	PD11JAN18		11175.00	11674.24	USD	2,995.44	
11OCT17	S	1	PD11JAN18		11175.00	11674.24	USD	-2,995.44	
	NET	0 AVG	BGHT	11175.00	SOLD	11175.00		0.00	0.00
12OCT17	S	1	PD12JAN18		11250.00	11675.00	USD	-2,550.00	

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12OCT17	B	1	PD12JAN18	11250.00	11675.00	USD	2,550.00	
13OCT17	S	1	PD12JAN18	11610.00	11675.00	USD	-390.00	
13OCT17	B	1	PD12JAN18	11590.00	11675.00	USD	510.00	
	NET	0 AVG	BGHT 11420.00				120.00	0.00
	<u>LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
17JUL17	B	1	PD17OCT17	20050.00	20735.00	USD	3,425.00	
17JUL17	S	1	PD17OCT17	20070.00	20735.00	USD	-3,325.00	
17JUL17	B	1	PD17OCT17	19900.00	20735.00	USD	4,175.00	
08AUG17	S	1	PD17OCT17	20228.79	20735.00	USD	-2,531.05	
	NET	0 AVG	BGHT 19975.00				1,743.95	0.00
18JUL17	S	1	PD18OCT17	19970.00	20735.00	USD	-3,825.00	
08AUG17	B	1	PD18OCT17	20229.00	20735.00	USD	2,530.00	
	NET	0 AVG	BGHT 20229.00				-1,295.00	0.00
19JUL17	B	1	PD19OCT17	20100.00	20732.50	USD	3,162.50	
19JUL17	S	1	PD19OCT17	20120.00	20732.50	USD	-3,062.50	
19JUL17	S	1	PD19OCT17	20110.00	20732.50	USD	-3,112.50	
19JUL17	B	1	PD19OCT17	20050.00	20732.50	USD	3,412.50	
19JUL17	B	1	PD19OCT17	20080.00	20732.50	USD	3,262.50	
19JUL17	S	1	PD19OCT17	20075.00	20732.50	USD	-3,287.50	
19JUL17	S	1	PD19OCT17	20105.00	20732.50	USD	-3,137.50	
19JUL17	S	1	PD19OCT17	20085.00	20732.50	USD	-3,237.50	
19JUL17	S	1	PD19OCT17	20095.00	20732.50	USD	-3,187.50	
19JUL17	S	1	PD19OCT17	20125.00	20732.50	USD	-3,037.50	
08AUG17	B	4	PD19OCT17	20226.80	20732.50	USD	10,114.00	
	NET	0 AVG	BGHT 20162.46				-2,111.00	0.00
20JUL17	S	3	PD20OCT17	20095.00	20730.00	USD	-9,525.00	
20JUL17	B	3	PD20OCT17	20100.00	20730.00	USD	9,450.00	
20JUL17	B	1	PD20OCT17	20110.00	20730.00	USD	3,100.00	
20JUL17	S	1	PD20OCT17	20090.00	20730.00	USD	-3,200.00	
20JUL17	B	1	PD20OCT17	20080.00	20730.00	USD	3,250.00	
20JUL17	S	1	PD20OCT17	20080.00	20730.00	USD	-3,250.00	
20JUL17	S	1	PD20OCT17	20100.00	20730.00	USD	-3,150.00	
20JUL17	B	3	PD20OCT17	20105.00	20730.00	USD	9,375.00	
20JUL17	B	2	PD20OCT17	20075.00	20730.00	USD	6,550.00	
20JUL17	B	1	PD20OCT17	20065.00	20730.00	USD	3,325.00	
20JUL17	S	1	PD20OCT17	20065.00	20730.00	USD	-3,325.00	
08AUG17	S	4	PD20OCT17	20224.60	20730.00	USD	-10,108.00	
	NET	0 AVG	BGHT 20092.73				2,492.00	0.00
25JUL17	S	1	PD25OCT17	20305.00	20722.50	USD	-2,087.50	
25JUL17	B	1	PD25OCT17	20285.00	20722.50	USD	2,187.50	
25JUL17	B	1	PD25OCT17	20300.00	20722.50	USD	2,112.50	
25JUL17	S	2	PD25OCT17	20350.00	20722.50	USD	-3,725.00	
08AUG17	B	1	PD25OCT17	20222.00	20722.50	USD	2,502.50	
	NET	0 AVG	BGHT 20269.00				990.00	0.00
26JUL17	S	1	PD26OCT17	20350.00	20720.00	USD	-1,850.00	
26JUL17	B	1	PD26OCT17	20350.00	20720.00	USD	1,850.00	
26JUL17	S	2	PD26OCT17	20325.00	20720.00	USD	-3,950.00	
26JUL17	B	3	PD26OCT17	20305.00	20720.00	USD	6,225.00	
08AUG17	S	1	PD26OCT17	20219.80	20720.00	USD	-2,501.00	
	NET	0 AVG	BGHT 20316.25				-226.00	0.00
27JUL17	B	1	PD27OCT17	20550.00	20717.50	USD	837.50	
27JUL17	S	1	PD27OCT17	20595.00	20717.50	USD	-612.50	
27JUL17	B	2	PD27OCT17	20705.00	20717.50	USD	125.00	
27JUL17	S	2	PD27OCT17	20730.00	20717.50	USD	125.00	

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27JUL17	B	1	PD27OCT17	20685.00	20717.50	USD	162.50	
27JUL17	S	1	PD27OCT17	20695.00	20717.50	USD	-112.50	
27JUL17	S	2	PD27OCT17	20655.00	20717.50	USD	-625.00	
27JUL17	S	2	PD27OCT17	20600.00	20717.50	USD	-1,175.00	
27JUL17	S	1	PD27OCT17	20670.00	20717.50	USD	-237.50	
27JUL17	B	3	PD27OCT17	20615.00	20717.50	USD	1,537.50	
28JUL17	B	1	PD27OCT17	20595.00	20717.50	USD	612.50	
28JUL17	S	2	PD27OCT17	20695.00	20717.50	USD	-225.00	
28JUL17	S	1	PD27OCT17	20710.00	20717.50	USD	-37.50	
28JUL17	B	1	PD27OCT17	20715.00	20717.50	USD	12.50	
28JUL17	B	1	PD27OCT17	20615.00	20717.50	USD	512.50	
28JUL17	B	1	PD27OCT17	20710.00	20717.50	USD	37.50	
28JUL17	S	3	PD27OCT17	20725.00	20717.50	USD	112.50	
28JUL17	B	1	PD27OCT17	20755.00	20717.50	USD	-187.50	
28JUL17	B	1	PD27OCT17	20800.00	20717.50	USD	-412.50	
28JUL17	B	1	PD27OCT17	20795.00	20717.50	USD	-387.50	
28JUL17	B	1	PD27OCT17	20760.00	20717.50	USD	-212.50	
28JUL17	B	1	PD27OCT17	20685.00	20717.50	USD	162.50	
28JUL17	B	2	PD27OCT17	20640.00	20717.50	USD	775.00	
28JUL17	B	1	PD27OCT17	20605.00	20717.50	USD	562.50	
28JUL17	S	2	PD27OCT17	20645.00	20717.50	USD	-725.00	
28JUL17	S	1	PD27OCT17	20670.00	20717.50	USD	-237.50	
28JUL17	S	2	PD27OCT17	20750.00	20717.50	USD	325.00	
28JUL17	S	2	PD27OCT17	20650.00	20717.50	USD	-675.00	
28JUL17	B	3	PD27OCT17	20625.00	20717.50	USD	1,387.50	
28JUL17	B	1	PD27OCT17	20750.00	20717.50	USD	-162.50	
28JUL17	S	1	PD27OCT17	20665.00	20717.50	USD	-262.50	
	NET	0 AVG BGHT 20670.87	SOLD 20679.57				1,000.00	0.00
01AUG17	B	1	PD01NOV17	20400.00	20710.00	USD	1,550.00	
08AUG17	S	1	PD01NOV17	20216.00	20710.00	USD	-2,470.00	
	NET	0 AVG BGHT 20400.00	SOLD 20216.00				-920.00	0.00
03AUG17	S	1	PD03NOV17	20600.00	20705.00	USD	-525.00	
04AUG17	S	1	PD03NOV17	20735.00	20705.00	USD	150.00	
04AUG17	B	1	PD03NOV17	20665.00	20705.00	USD	200.00	
04AUG17	B	1	PD03NOV17	20540.00	20705.00	USD	825.00	
04AUG17	S	1	PD03NOV17	20595.00	20705.00	USD	-550.00	
08AUG17	B	1	PD03NOV17	20214.60	20705.00	USD	2,452.00	
	NET	0 AVG BGHT 20473.20	SOLD 20643.33				2,552.00	0.00
07AUG17	B	2	PD07NOV17	20570.00	20700.00	USD	1,300.00	
07AUG17	S	1	PD07NOV17	20615.00	20700.00	USD	-425.00	
07AUG17	S	1	PD07NOV17	20655.00	20700.00	USD	-225.00	
	NET	0 AVG BGHT 20570.00	SOLD 20635.00				650.00	0.00
08AUG17	B	1	PD08NOV17	20445.00	20697.50	USD	1,262.50	
08AUG17	B	1	PD08NOV17	20340.00	20697.50	USD	1,787.50	
08AUG17	B	1	PD08NOV17	20165.00	20697.50	USD	2,662.50	
08AUG17	B	1	PD08NOV17	20175.00	20697.50	USD	2,612.50	
08AUG17	B	1	PD08NOV17	20215.00	20697.50	USD	2,412.50	
08AUG17	B	1	PD08NOV17	20220.00	20697.50	USD	2,387.50	
08AUG17	S	3	PD08NOV17	20200.00	20697.50	USD	-7,462.50	
08AUG17	S	1	PD08NOV17	20350.00	20697.50	USD	-1,737.50	
12OCT17	S	2	PD08NOV17	20827.50	20697.50	USD	1,300.00	
	NET	0 AVG BGHT 20260.00	SOLD 20434.17				5,225.00	0.00
09AUG17	B	1	PD09NOV17	20305.00	20695.00	USD	1,950.00	
09AUG17	S	1	PD09NOV17	20365.00	20695.00	USD	-1,650.00	
	NET	0 AVG BGHT 20305.00	SOLD 20365.00				300.00	0.00
10AUG17	S	1	PD10NOV17	20325.00	20692.50	USD	-1,837.50	

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	<u>LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
10AUG17	B	1	PD10NOV17	20320.00	20692.50	USD	1,862.50	
10AUG17	S	2	PD10NOV17	20290.00	20692.50	USD	-4,025.00	
10AUG17	B	1	PD10NOV17	20300.00	20692.50	USD	1,962.50	
10AUG17	B	1	PD10NOV17	20325.00	20692.50	USD	1,837.50	
11AUG17	B	2	PD10NOV17	20305.00	20692.50	USD	3,875.00	
11AUG17	S	1	PD10NOV17	20320.00	20692.50	USD	-1,862.50	
11AUG17	S	1	PD10NOV17	20345.00	20692.50	USD	-1,737.50	
	NET	0 AVG	BGHT 20311.00				75.00	0.00
14AUG17	S	1	PD14NOV17	20380.00	20687.50	USD	-1,537.50	
14AUG17	S	2	PD14NOV17	20290.00	20687.50	USD	-3,975.00	
12OCT17	B	2	PD14NOV17	20818.50	20687.50	USD	-1,310.00	
12OCT17	B	1	PD14NOV17	20817.50	20687.50	USD	-650.00	
	NET	0 AVG	BGHT 20818.17				-7,472.50	0.00
15AUG17	B	1	PD15NOV17	20215.00	20685.00	USD	2,350.00	
15AUG17	B	1	PD15NOV17	20240.00	20685.00	USD	2,225.00	
15AUG17	B	1	PD15NOV17	19670.00	20685.00	USD	5,075.00	
15AUG17	B	1	PD15NOV17	19900.00	20685.00	USD	3,925.00	
15AUG17	B	1	PD15NOV17	19990.00	20685.00	USD	3,475.00	
15AUG17	B	2	PD15NOV17	20045.00	20685.00	USD	6,400.00	
15AUG17	S	1	PD15NOV17	19795.00	20685.00	USD	-4,450.00	
15AUG17	S	1	PD15NOV17	19985.00	20685.00	USD	-3,500.00	
12OCT17	S	1	PD15NOV17	20814.00	20685.00	USD	645.00	
12OCT17	S	4	PD15NOV17	20815.00	20685.00	USD	2,600.00	
	NET	0 AVG	BGHT 20015.00				18,745.00	0.00
16AUG17	S	1	PD16NOV17	20050.00	20683.13	USD	-3,165.65	
16AUG17	B	2	PD16NOV17	20010.00	20683.13	USD	6,731.30	
16AUG17	S	2	PD16NOV17	19970.00	20683.13	USD	-7,131.30	
16AUG17	B	2	PD16NOV17	20045.00	20683.13	USD	6,381.30	
16AUG17	S	1	PD16NOV17	20055.00	20683.13	USD	-3,140.65	
16AUG17	S	1	PD16NOV17	20035.00	20683.13	USD	-3,240.65	
12OCT17	B	1	PD16NOV17	20814.13	20683.13	USD	-655.00	
	NET	0 AVG	BGHT 20184.83				-4,220.65	0.00
17AUG17	S	1	PD17NOV17	20280.00	20681.25	USD	-2,006.25	
17AUG17	S	2	PD17NOV17	20100.00	20681.25	USD	-5,812.50	
17AUG17	B	2	PD17NOV17	20110.00	20681.25	USD	5,712.50	
18AUG17	B	1	PD17NOV17	20200.00	20681.25	USD	2,406.25	
18AUG17	S	1	PD17NOV17	20275.00	20681.25	USD	-2,031.25	
12OCT17	B	1	PD17NOV17	20811.25	20681.25	USD	-650.00	
	NET	0 AVG	BGHT 20307.81				-2,381.25	0.00
21AUG17	S	1	PD21NOV17	20380.00	20677.50	USD	-1,487.50	
21AUG17	S	1	PD21NOV17	20460.00	20677.50	USD	-1,087.50	
21AUG17	S	1	PD21NOV17	20470.00	20677.50	USD	-1,037.50	
12OCT17	B	3	PD21NOV17	20808.50	20677.50	USD	-1,965.00	
	NET	0 AVG	BGHT 20808.50				-5,577.50	0.00
22AUG17	B	2	PD22NOV17	20425.00	20675.63	USD	2,506.30	
22AUG17	B	1	PD22NOV17	20390.00	20675.63	USD	1,428.15	
22AUG17	B	2	PD22NOV17	20335.00	20675.63	USD	3,406.30	
22AUG17	S	1	PD22NOV17	20335.00	20675.63	USD	-1,703.15	
12OCT17	S	4	PD22NOV17	20805.63	20675.63	USD	2,600.00	
	NET	0 AVG	BGHT 20382.00				8,237.60	0.00
23AUG17	S	1	PD24NOV17	20385.00	20673.75	USD	-1,443.75	
23AUG17	B	1	PD24NOV17	20490.00	20673.75	USD	918.75	
23AUG17	S	1	PD24NOV17	20490.00	20673.75	USD	-918.75	
24AUG17	B	1	PD24NOV17	20500.00	20673.75	USD	868.75	
24AUG17	B	1	PD24NOV17	20400.00	20673.75	USD	1,368.75	

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24AUG17	S	2	PD24NOV17	20480.00	20673.75	USD	-1,937.50	
24AUG17	S	1	PD24NOV17	20490.00	20673.75	USD	-918.75	
24AUG17	B	1	PD24NOV17	20450.00	20673.75	USD	1,118.75	
24AUG17	B	1	PD24NOV17	20475.00	20673.75	USD	993.75	
24AUG17	S	1	PD24NOV17	20475.00	20673.75	USD	-993.75	
25AUG17	S	1	PD24NOV17	20490.00	20673.75	USD	-918.75	
25AUG17	S	1	PD24NOV17	20375.00	20673.75	USD	-1,493.75	
25AUG17	S	1	PD24NOV17	20400.00	20673.75	USD	-1,368.75	
25AUG17	B	1	PD24NOV17	20385.00	20673.75	USD	1,443.75	
25AUG17	B	1	PD24NOV17	20360.00	20673.75	USD	1,568.75	
25AUG17	B	3	PD24NOV17	20300.00	20673.75	USD	5,606.25	
25AUG17	S	1	PD24NOV17	20345.00	20673.75	USD	-1,643.75	
25AUG17	S	1	PD24NOV17	20300.00	20673.75	USD	-1,868.75	
25AUG17	S	1	PD24NOV17	20315.00	20673.75	USD	-1,793.75	
12OCT17	B	2	PD24NOV17	20804.75	20673.75	USD	-1,310.00	
	NET	0 AVG BGHT 20464.13	SOLD 20418.75				-2,722.50	0.00
29AUG17	B	1	PD29NOV17	20400.00	20668.13	USD	1,340.65	
29AUG17	S	1	PD29NOV17	20450.00	20668.13	USD	-1,090.65	
	NET	0 AVG BGHT 20400.00	SOLD 20450.00				250.00	0.00
30AUG17	S	1	PD30NOV17	20450.00	20666.25	USD	-1,081.25	
30AUG17	S	2	PD30NOV17	20500.00	20666.25	USD	-1,662.50	
30AUG17	S	1	PD30NOV17	20555.00	20666.25	USD	-556.25	
30AUG17	S	1	PD30NOV17	20545.00	20666.25	USD	-606.25	
31AUG17	B	2	PD30NOV17	20580.00	20666.25	USD	862.50	
31AUG17	S	2	PD30NOV17	20680.00	20666.25	USD	137.50	
31AUG17	B	1	PD30NOV17	20665.00	20666.25	USD	6.25	
31AUG17	B	4	PD30NOV17	20650.00	20666.25	USD	325.00	
	NET	0 AVG BGHT 20632.14	SOLD 20558.57				-2,575.00	0.00
01SEP17	S	2	PD01DEC17	20695.00	20664.38	USD	306.20	
01SEP17	B	1	PD01DEC17	20715.00	20664.38	USD	-253.10	
01SEP17	B	1	PD01DEC17	20690.00	20664.38	USD	-128.10	
01SEP17	B	2	PD01DEC17	20665.00	20664.38	USD	-6.20	
01SEP17	S	2	PD01DEC17	20675.00	20664.38	USD	106.20	
01SEP17	S	2	PD01DEC17	20685.00	20664.38	USD	206.20	
12OCT17	B	2	PD01DEC17	20795.38	20664.38	USD	-1,310.00	
	NET	0 AVG BGHT 20720.96	SOLD 20685.00				-1,078.80	0.00
04SEP17	B	1	PD04DEC17	20690.00	20662.50	USD	-137.50	
12OCT17	S	1	PD04DEC17	20791.50	20662.50	USD	645.00	
	NET	0 AVG BGHT 20690.00	SOLD 20791.50				507.50	0.00
05SEP17	B	1	PD05DEC17	20705.00	20660.63	USD	-221.85	
05SEP17	S	1	PD05DEC17	20710.00	20660.63	USD	246.85	
05SEP17	B	1	PD05DEC17	20725.00	20660.63	USD	-321.85	
05SEP17	S	1	PD05DEC17	20735.00	20660.63	USD	371.85	
05SEP17	B	1	PD05DEC17	20700.00	20660.63	USD	-196.85	
12OCT17	S	1	PD05DEC17	20790.63	20660.63	USD	650.00	
	NET	0 AVG BGHT 20710.00	SOLD 20745.21				528.15	0.00
06SEP17	S	1	PD06DEC17	20630.00	20658.75	USD	-143.75	
06SEP17	S	1	PD06DEC17	20715.00	20658.75	USD	281.25	
12OCT17	B	1	PD06DEC17	20789.75	20658.75	USD	-655.00	
12OCT17	B	1	PD06DEC17	20788.75	20658.75	USD	-650.00	
	NET	0 AVG BGHT 20789.25	SOLD 20672.50				-1,167.50	0.00
07SEP17	B	1	PD07DEC17	20680.00	20656.88	USD	-115.60	
12OCT17	S	1	PD07DEC17	20785.88	20656.88	USD	645.00	
	NET	0 AVG BGHT 20680.00	SOLD 20785.88				529.40	0.00
08SEP17	S	1	PD08DEC17	20675.00	20655.00	USD	100.00	

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08SEP17	S	1	PD08DEC17	20665.00	20655.00	USD	50.00	
08SEP17	B	1	PD08DEC17	20520.00	20655.00	USD	675.00	
08SEP17	S	1	PD08DEC17	20525.00	20655.00	USD	-650.00	
12OCT17	B	1	PD08DEC17	20785.00	20655.00	USD	-650.00	
	NET	-1 AVG BGHT	20652.50	SOLD	20621.67		-475.00	0.00
11SEP17	B	1	PD11DEC17	20645.00	20653.13	USD	40.65	
11SEP17	B	1	PD11DEC17	20630.00	20653.13	USD	115.65	
11SEP17	S	1	PD11DEC17	20645.00	20653.13	USD	-40.65	
12OCT17	S	1	PD11DEC17	20782.13	20653.13	USD	645.00	
	NET	0 AVG BGHT	20637.50	SOLD	20713.57		760.65	0.00
12SEP17	B	1	PD12DEC17	20720.00	20651.25	USD	-343.75	
	NET	+1 AVG BGHT	20720.00				-343.75	0.00
13SEP17	S	1	PD13DEC17	20680.00	20649.38	USD	153.10	
13SEP17	B	1	PD13DEC17	20595.00	20649.38	USD	271.90	
13SEP17	S	1	PD13DEC17	20590.00	20649.38	USD	-296.90	
	NET	-1 AVG BGHT	20595.00	SOLD	20635.00		128.10	0.00
14SEP17	B	1	PD14DEC17	20575.00	20647.50	USD	362.50	
14SEP17	S	1	PD14DEC17	20595.00	20647.50	USD	-262.50	
	NET	0 AVG BGHT	20575.00	SOLD	20595.00		100.00	0.00
15SEP17	S	2	PD15DEC17	20530.00	20645.63	USD	-1,156.30	
15SEP17	S	3	PD15DEC17	20520.00	20645.63	USD	-1,884.45	
15SEP17	B	4	PD15DEC17	20505.00	20645.63	USD	2,812.60	
	NET	-1 AVG BGHT	20505.00	SOLD	20524.00		-228.15	0.00
18SEP17	B	1	PD18DEC17	20675.00	20643.75	USD	-156.25	
	NET	+1 AVG BGHT	20675.00				-156.25	0.00
19SEP17	S	1	PD19DEC17	20645.00	20641.88	USD	15.60	
19SEP17	B	1	PD19DEC17	20700.00	20641.88	USD	-290.60	
	NET	0 AVG BGHT	20700.00	SOLD	20645.00		-275.00	0.00
20SEP17	B	1	PD20DEC17	20740.00	20640.00	USD	-500.00	
20SEP17	B	1	PD20DEC17	20665.00	20640.00	USD	-125.00	
20SEP17	S	1	PD20DEC17	20685.00	20640.00	USD	225.00	
	NET	+1 AVG BGHT	20702.50	SOLD	20685.00		-400.00	0.00
21SEP17	B	1	PD21DEC17	20470.00	20637.14	USD	835.70	
21SEP17	S	3	PD21DEC17	20450.00	20637.14	USD	-2,807.10	
21SEP17	S	2	PD21DEC17	20410.00	20637.14	USD	-2,271.40	
21SEP17	S	2	PD21DEC17	20400.00	20637.14	USD	-2,371.40	
21SEP17	B	1	PD21DEC17	20410.00	20637.14	USD	1,135.70	
21SEP17	B	1	PD21DEC17	20400.00	20637.14	USD	1,185.70	
21SEP17	S	1	PD21DEC17	20415.00	20637.14	USD	-1,110.70	
	NET	-5 AVG BGHT	20426.67	SOLD	20423.13		-5,403.50	0.00
22SEP17	B	1	PD22DEC17	20360.00	20634.29	USD	1,371.45	
22SEP17	S	2	PD22DEC17	20455.00	20634.29	USD	-1,792.90	
22SEP17	B	1	PD22DEC17	20405.00	20634.29	USD	1,146.45	
22SEP17	S	1	PD22DEC17	20550.00	20634.29	USD	-421.45	
22SEP17	B	1	PD22DEC17	20550.00	20634.29	USD	421.45	
22SEP17	B	1	PD22DEC17	20575.00	20634.29	USD	296.45	
	NET	+1 AVG BGHT	20472.50	SOLD	20486.67		1,021.45	0.00
27SEP17	B	2	PD27DEC17	20700.00	20631.43	USD	-685.70	
	NET	+2 AVG BGHT	20700.00				-685.70	0.00
03OCT17	B	2	PD03JAN18	20700.00	20620.00	USD	-800.00	
	NET	+2 AVG BGHT	20700.00				-800.00	0.00
09OCT17	S	1	PD09JAN18	20800.00	20608.57	USD	957.15	
09OCT17	B	2	PD09JAN18	20715.00	20608.57	USD	-1,064.30	

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09OCT17	S	1 PD09JAN18	20765.00	20608.57	USD	782.15	
	NET	0 AVG BGHT 20715.00 SOLD 20782.50				675.00	0.00
10OCT17	S	1 PD10JAN18	20850.00	20605.71	USD	1,221.45	
10OCT17	B	4 PD10JAN18	20750.00	20605.71	USD	-2,885.80	
10OCT17	S	2 PD10JAN18	20795.00	20605.71	USD	1,892.90	
10OCT17	S	1 PD10JAN18	20835.00	20605.71	USD	1,146.45	
	NET	0 AVG BGHT 20750.00 SOLD 20818.75				1,375.00	0.00
11OCT17	B	2 PD11JAN18	20740.00	20602.86	USD	-1,371.40	
11OCT17	B	1 PD11JAN18	20760.00	20602.86	USD	-785.70	
11OCT17	B	1 PD11JAN18	20770.00	20602.86	USD	-835.70	
11OCT17	S	1 PD11JAN18	20720.00	20602.86	USD	585.70	
11OCT17	S	1 PD11JAN18	20750.00	20602.86	USD	735.70	
11OCT17	S	1 PD11JAN18	20790.00	20602.86	USD	935.70	
11OCT17	S	2 PD11JAN18	20825.00	20602.86	USD	2,221.40	
11OCT17	B	2 PD11JAN18	20815.00	20602.86	USD	-2,121.40	
11OCT17	S	1 PD11JAN18	20775.00	20602.86	USD	860.70	
	NET	0 AVG BGHT 20773.33 SOLD 20780.83				225.00	0.00
12OCT17	S	1 PD12JAN18	20810.00	20600.00	USD	1,050.00	
12OCT17	S	1 PD12JAN18	20790.00	20600.00	USD	950.00	
12OCT17	B	1 PD12JAN18	20785.00	20600.00	USD	-925.00	
12OCT17	B	1 PD12JAN18	20805.00	20600.00	USD	-1,025.00	
13OCT17	B	1 PD12JAN18	20770.00	20600.00	USD	-850.00	
13OCT17	B	1 PD12JAN18	20705.00	20600.00	USD	-525.00	
13OCT17	S	2 PD12JAN18	20685.00	20600.00	USD	850.00	
13OCT17	B	1 PD12JAN18	20720.00	20600.00	USD	-600.00	
13OCT17	S	1 PD12JAN18	20760.00	20600.00	USD	800.00	
13OCT17	S	2 PD12JAN18	20640.00	20600.00	USD	400.00	
13OCT17	B	2 PD12JAN18	20630.00	20600.00	USD	-300.00	
	NET	0 AVG BGHT 20720.71 SOLD 20715.71				-175.00	0.00
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>						
17JUL17	S	1 PD17OCT17	2806.00	3294.00	USD	-12,200.00	
17JUL17	S	1 PD17OCT17	2838.00	3294.00	USD	-11,400.00	
17JUL17	S	1 PD17OCT17	2818.00	3294.00	USD	-11,900.00	
08AUG17	B	3 PD17OCT17	2924.33	3294.00	USD	27,725.25	
	NET	0 AVG BGHT 2924.33 SOLD 2820.67				-7,774.75	0.00
18JUL17	B	1 PD18OCT17	2798.50	3291.00	USD	12,312.50	
18JUL17	B	1 PD18OCT17	2796.50	3291.00	USD	12,362.50	
18JUL17	S	1 PD18OCT17	2784.00	3291.00	USD	-12,675.00	
08AUG17	S	1 PD18OCT17	2924.30	3291.00	USD	-9,167.50	
	NET	0 AVG BGHT 2797.50 SOLD 2854.15				2,832.50	0.00
19JUL17	S	1 PD19OCT17	2748.50	3286.50	USD	-13,450.00	
19JUL17	B	1 PD19OCT17	2739.50	3286.50	USD	13,675.00	
	NET	0 AVG BGHT 2739.50 SOLD 2748.50				225.00	0.00
20JUL17	B	1 PD20OCT17	2737.50	3282.00	USD	13,612.50	
20JUL17	S	1 PD20OCT17	2727.00	3282.00	USD	-13,875.00	
20JUL17	S	1 PD20OCT17	2727.50	3282.00	USD	-13,862.50	
20JUL17	B	1 PD20OCT17	2720.00	3282.00	USD	14,050.00	
20JUL17	B	1 PD20OCT17	2721.00	3282.00	USD	14,025.00	
20JUL17	B	1 PD20OCT17	2726.00	3282.00	USD	13,900.00	
20JUL17	B	1 PD20OCT17	2724.00	3282.00	USD	13,950.00	
20JUL17	S	1 PD20OCT17	2721.00	3282.00	USD	-14,025.00	
21JUL17	S	1 PD20OCT17	2772.00	3282.00	USD	-12,750.00	
21JUL17	B	1 PD20OCT17	2748.50	3282.00	USD	13,337.50	
08AUG17	S	2 PD20OCT17	2922.80	3282.00	USD	-17,960.00	

OPEN POSITION STATEMENT



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SAP0094Q

13th October 2017

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
	NET	0 AVG BGHT 2729.50	SOLD 2798.85				10,402.50	0.00
24JUL17	S	1 PD24OCT17		2779.00	3276.00	USD	-12,425.00	
24JUL17	B	1 PD24OCT17		2776.50	3276.00	USD	12,487.50	
	NET	0 AVG BGHT 2776.50	SOLD 2779.00				62.50	0.00
25JUL17	S	1 PD25OCT17		2805.00	3273.00	USD	-11,700.00	
25JUL17	B	1 PD25OCT17		2833.50	3273.00	USD	10,987.50	
25JUL17	B	1 PD25OCT17		2834.00	3273.00	USD	10,975.00	
25JUL17	S	1 PD25OCT17		2842.00	3273.00	USD	-10,775.00	
25JUL17	S	1 PD25OCT17		2842.50	3273.00	USD	-10,762.50	
08AUG17	B	1 PD25OCT17		2924.00	3273.00	USD	8,725.00	
	NET	0 AVG BGHT 2863.83	SOLD 2829.83				-2,550.00	0.00
26JUL17	B	1 PD26OCT17		2834.50	3271.87	USD	10,934.25	
26JUL17	S	1 PD26OCT17		2817.00	3271.87	USD	-11,371.75	
26JUL17	B	1 PD26OCT17		2825.00	3271.87	USD	11,171.75	
26JUL17	S	1 PD26OCT17		2822.00	3271.87	USD	-11,246.75	
26JUL17	B	2 PD26OCT17		2820.00	3271.87	USD	22,593.50	
08AUG17	S	2 PD26OCT17		2923.80	3271.87	USD	-17,403.50	
	NET	0 AVG BGHT 2824.88	SOLD 2871.65				4,677.50	0.00
31JUL17	S	1 PD31OCT17		2804.50	3268.47	USD	-11,599.25	
31JUL17	B	1 PD31OCT17		2795.50	3268.47	USD	11,824.25	
	NET	0 AVG BGHT 2795.50	SOLD 2804.50				225.00	0.00
03AUG17	S	1 PD03NOV17		2836.50	3265.07	USD	-10,714.25	
03AUG17	B	1 PD03NOV17		2793.50	3265.07	USD	11,789.25	
04AUG17	S	1 PD03NOV17		2827.00	3265.07	USD	-10,951.75	
04AUG17	B	1 PD03NOV17		2816.00	3265.07	USD	11,226.75	
	NET	0 AVG BGHT 2804.75	SOLD 2831.75				1,350.00	0.00
07AUG17	S	1 PD07NOV17		2871.50	3262.80	USD	-9,782.50	
07AUG17	S	1 PD07NOV17		2873.50	3262.80	USD	-9,732.50	
07AUG17	S	1 PD07NOV17		2872.50	3262.80	USD	-9,757.50	
07AUG17	B	1 PD07NOV17		2861.00	3262.80	USD	10,045.00	
07AUG17	S	1 PD07NOV17		2868.50	3262.80	USD	-9,857.50	
07AUG17	B	1 PD07NOV17		2868.00	3262.80	USD	9,870.00	
12OCT17	B	2 PD07NOV17		3292.00	3262.80	USD	-1,460.00	
	NET	0 AVG BGHT 3078.25	SOLD 2871.50				-20,675.00	0.00
08AUG17	S	1 PD08NOV17		2874.00	3261.67	USD	-9,691.75	
08AUG17	S	1 PD08NOV17		2918.00	3261.67	USD	-8,591.75	
08AUG17	B	1 PD08NOV17		2935.50	3261.67	USD	8,154.25	
12OCT17	B	1 PD08NOV17		3290.33	3261.67	USD	-716.50	
	NET	0 AVG BGHT 3112.92	SOLD 2896.00				-10,845.75	0.00
09AUG17	S	1 PD09NOV17		2974.50	3260.53	USD	-7,150.75	
09AUG17	S	1 PD09NOV17		2972.50	3260.53	USD	-7,200.75	
09AUG17	B	1 PD09NOV17		2932.00	3260.53	USD	8,213.25	
09AUG17	B	1 PD09NOV17		2934.50	3260.53	USD	8,150.75	
09AUG17	S	1 PD09NOV17		2932.00	3260.53	USD	-8,213.25	
12OCT17	B	1 PD09NOV17		3288.67	3260.53	USD	-703.50	
	NET	0 AVG BGHT 3051.72	SOLD 2959.67				-6,904.25	0.00
11AUG17	S	1 PD10NOV17		2910.00	3259.40	USD	-8,735.00	
12OCT17	B	1 PD10NOV17		3287.00	3259.40	USD	-690.00	
	NET	0 AVG BGHT 3287.00	SOLD 2910.00				-9,425.00	0.00
15AUG17	B	1 PD15NOV17		2937.00	3256.00	USD	7,975.00	
12OCT17	S	1 PD15NOV17		3281.80	3256.00	USD	645.00	
	NET	0 AVG BGHT 2937.00	SOLD 3281.80				8,620.00	0.00
16AUG17	S	1 PD16NOV17		3007.50	3253.50	USD	-6,150.00	
16AUG17	B	1 PD16NOV17		3081.00	3253.50	USD	4,312.50	

OPEN POSITION STATEMENT



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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>								
16AUG17	B	1	PD16NOV17		3120.00	3253.50	USD	3,337.50	
16AUG17	S	1	PD16NOV17		3115.50	3253.50	USD	-3,450.00	
	NET	0 AVG	BGHT 3100.50	SOLD 3061.50				-1,950.00	0.00
17AUG17	B	1	PD17NOV17		3139.50	3251.00	USD	2,787.50	
17AUG17	S	1	PD17NOV17		3139.50	3251.00	USD	-2,787.50	
17AUG17	S	1	PD17NOV17		3088.50	3251.00	USD	-4,062.50	
18AUG17	B	2	PD17NOV17		3109.00	3251.00	USD	7,100.00	
18AUG17	B	1	PD17NOV17		3135.00	3251.00	USD	2,900.00	
18AUG17	B	2	PD17NOV17		3142.00	3251.00	USD	5,450.00	
18AUG17	S	3	PD17NOV17		3147.00	3251.00	USD	-7,800.00	
18AUG17	B	3	PD17NOV17		3123.00	3251.00	USD	9,600.00	
12OCT17	S	4	PD17NOV17		3276.80	3251.00	USD	2,580.00	
	NET	0 AVG	BGHT 3127.28	SOLD 3197.36				15,767.50	0.00
22AUG17	S	1	PD22NOV17		3101.00	3248.38	USD	-3,684.50	
22AUG17	B	1	PD22NOV17		3126.50	3248.38	USD	3,047.00	
22AUG17	B	1	PD22NOV17		3130.50	3248.38	USD	2,947.00	
22AUG17	S	1	PD22NOV17		3119.00	3248.38	USD	-3,234.50	
22AUG17	S	1	PD22NOV17		3120.00	3248.38	USD	-3,209.50	
12OCT17	B	1	PD22NOV17		3274.00	3248.38	USD	-640.50	
	NET	0 AVG	BGHT 3177.00	SOLD 3113.33				-4,775.00	0.00
23AUG17	B	1	PD24NOV17		3094.00	3247.50	USD	3,837.50	
24AUG17	B	1	PD24NOV17		3126.50	3247.50	USD	3,025.00	
24AUG17	B	1	PD24NOV17		3127.00	3247.50	USD	3,012.50	
24AUG17	S	1	PD24NOV17		3134.00	3247.50	USD	-2,837.50	
24AUG17	S	1	PD24NOV17		3125.00	3247.50	USD	-3,062.50	
25AUG17	S	1	PD24NOV17		3063.50	3247.50	USD	-4,600.00	
25AUG17	S	1	PD24NOV17		3064.00	3247.50	USD	-4,587.50	
12OCT17	B	1	PD24NOV17		3273.00	3247.50	USD	-637.50	
	NET	0 AVG	BGHT 3155.13	SOLD 3096.63				-5,850.00	0.00
29AUG17	B	1	PD29NOV17		3119.00	3246.75	USD	3,193.75	
12OCT17	S	1	PD29NOV17		3271.00	3246.75	USD	606.25	
	NET	0 AVG	BGHT 3119.00	SOLD 3271.00				3,800.00	0.00
30AUG17	S	1	PD30NOV17		3150.00	3246.50	USD	-2,412.50	
30AUG17	B	1	PD30NOV17		3100.50	3246.50	USD	3,650.00	
30AUG17	B	1	PD30NOV17		3095.50	3246.50	USD	3,775.00	
31AUG17	S	1	PD30NOV17		3139.00	3246.50	USD	-2,687.50	
	NET	0 AVG	BGHT 3098.00	SOLD 3144.50				2,325.00	0.00
01SEP17	B	1	PD01DEC17		3165.00	3246.25	USD	2,031.25	
01SEP17	S	1	PD01DEC17		3183.00	3246.25	USD	-1,581.25	
01SEP17	B	1	PD01DEC17		3196.50	3246.25	USD	1,243.75	
12OCT17	S	1	PD01DEC17		3269.80	3246.25	USD	588.75	
	NET	0 AVG	BGHT 3180.75	SOLD 3226.40				2,282.50	0.00

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OPEN POSITION VALUE

		USD
LME Aluminium 25 Tonnes.	FUTURES	810.25
LME Copper Grade A 25	FUTURES	700.00
LME Lead 25 tonnes. This is an	FUTURES	4,777.00
LME Nickel 6 tonnes. This is	FUTURES	-20,687.88
LME Tin 5 tonnes. This is an	FUTURES	7,738.55
LME Zinc 25 tonnes. This is	FUTURES	-18,179.75

MARKET REVALUATION BREAKDOWN

Unrealised Profits & Losses - Forwards	Amount	Profit / Loss	Total Amount	Total P&L
ALUL - Futures	USD 747.00	747.00		
COPL - Futures	USD 700.00	700.00		
LEAD - Futures	USD 4,777.00	4,777.00		
NKL - Futures	USD -20,861.40	-20,861.40		
TIN - Futures	USD 7,420.75	7,420.75		
ZINC - Futures	USD -18,179.75	-18,179.75	-25,396.40	-25,396.40

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
CASH BALANCE	(1.000000)	(1.000000)
Variation Margins	27,370.45	27,370.45
- Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	27,370.45	27,370.45
Initial Margins	-29,579.40	-29,579.40
Excess/(Shortage)	-2,208.95	-2,208.95
FOR INFORMATION ONLY		
Forward Unrealised P&L	-25,396.40	-25,396.40
Net Liquidating Value	1,974.05	1,974.05

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	<u>LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.</u>							
01AUG17	S	1	PD01NOV17	1909.00	2114.09	USD	-5,127.25	
01AUG17	B	1	PD01NOV17	1907.00	2114.09	USD	5,177.25	
	NET	0 AVG	BGHT 1907.00	SOLD 1909.00			50.00	0.00
04AUG17	B	1	PD03NOV17	1913.00	2114.78	USD	5,044.50	
04AUG17	S	1	PD03NOV17	1913.50	2114.78	USD	-5,032.00	
	NET	0 AVG	BGHT 1913.00	SOLD 1913.50			12.50	0.00
08AUG17	B	1	PD08NOV17	2026.00	2116.50	USD	2,262.50	
12OCT17	S	1	PD08NOV17	2129.50	2116.50	USD	325.00	
	NET	0 AVG	BGHT 2026.00	SOLD 2129.50			2,587.50	0.00
09AUG17	B	1	PD09NOV17	2034.50	2116.96	USD	2,061.50	
09AUG17	S	1	PD09NOV17	2028.00	2116.96	USD	-2,224.00	
	NET	0 AVG	BGHT 2034.50	SOLD 2028.00			-162.50	0.00
10AUG17	B	1	PD10NOV17	2025.00	2117.43	USD	2,310.75	
10AUG17	S	1	PD10NOV17	2031.00	2117.43	USD	-2,160.75	
10AUG17	B	2	PD10NOV17	2035.50	2117.43	USD	4,096.50	
10AUG17	S	2	PD10NOV17	2038.50	2117.43	USD	-3,946.50	
11AUG17	S	1	PD10NOV17	2031.00	2117.43	USD	-2,160.75	
12OCT17	B	1	PD10NOV17	2130.77	2117.43	USD	-333.50	
	NET	0 AVG	BGHT 2056.69	SOLD 2034.75			-2,194.25	0.00
15AUG17	B	1	PD15NOV17	2030.00	2119.75	USD	2,243.75	
15AUG17	B	1	PD15NOV17	2035.00	2119.75	USD	2,118.75	
15AUG17	S	1	PD15NOV17	2039.00	2119.75	USD	-2,018.75	
15AUG17	S	1	PD15NOV17	2041.00	2119.75	USD	-1,968.75	
15AUG17	B	1	PD15NOV17	2046.00	2119.75	USD	1,843.75	
15AUG17	B	1	PD15NOV17	2042.00	2119.75	USD	1,943.75	
15AUG17	S	1	PD15NOV17	2049.50	2119.75	USD	-1,756.25	
12OCT17	S	1	PD15NOV17	2133.25	2119.75	USD	337.50	
	NET	0 AVG	BGHT 2038.25	SOLD 2065.69			2,743.75	0.00
16AUG17	S	1	PD16NOV17	2059.00	2120.07	USD	-1,526.75	
16AUG17	B	1	PD16NOV17	2064.00	2120.07	USD	1,401.75	
16AUG17	B	1	PD16NOV17	2065.00	2120.07	USD	1,376.75	
16AUG17	B	1	PD16NOV17	2084.00	2120.07	USD	901.75	
12OCT17	S	2	PD16NOV17	2133.54	2120.07	USD	673.50	
	NET	0 AVG	BGHT 2071.00	SOLD 2108.69			2,827.00	0.00
17AUG17	S	1	PD17NOV17	2077.50	2120.38	USD	-1,072.00	
12OCT17	B	1	PD17NOV17	2134.04	2120.38	USD	-341.50	
	NET	0 AVG	BGHT 2134.04	SOLD 2077.50			-1,413.50	0.00
21AUG17	S	1	PD21NOV17	2077.00	2121.65	USD	-1,116.25	
12OCT17	B	1	PD21NOV17	2135.21	2121.65	USD	-339.00	
	NET	0 AVG	BGHT 2135.21	SOLD 2077.00			-1,455.25	0.00
22AUG17	S	1	PD22NOV17	2071.50	2121.96	USD	-1,261.50	
22AUG17	S	1	PD22NOV17	2076.00	2121.96	USD	-1,149.00	
22AUG17	B	3	PD22NOV17	2076.00	2121.96	USD	3,447.00	
22AUG17	B	1	PD22NOV17	2082.00	2121.96	USD	999.00	
12OCT17	S	2	PD22NOV17	2135.31	2121.96	USD	667.50	
	NET	0 AVG	BGHT 2077.50	SOLD 2104.53			2,703.00	0.00
23AUG17	S	1	PD24NOV17	2076.50	2122.60	USD	-1,152.50	
23AUG17	S	1	PD24NOV17	2072.00	2122.60	USD	-1,265.00	
23AUG17	S	1	PD24NOV17	2078.00	2122.60	USD	-1,115.00	
25AUG17	B	1	PD24NOV17	2070.00	2122.60	USD	1,315.00	
12OCT17	B	2	PD24NOV17	2136.10	2122.60	USD	-675.00	
	NET	0 AVG	BGHT 2114.07	SOLD 2075.50			-2,892.50	0.00
29AUG17	B	1	PD29NOV17	2081.00	2124.18	USD	1,079.50	
12OCT17	S	1	PD29NOV17	2137.37	2124.18	USD	329.75	

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	<u>LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.</u>							
	NET	0 AVG BGHT 2081.00	SOLD 2137.37				1,409.25	0.00
30AUG17	S	1 PD30NOV17		2087.00	2124.49	USD	-937.25	
30AUG17	S	1 PD30NOV17		2091.50	2124.49	USD	-824.75	
31AUG17	B	1 PD30NOV17		2126.00	2124.49	USD	-37.75	
31AUG17	S	1 PD30NOV17		2118.00	2124.49	USD	-162.25	
12OCT17	B	2 PD30NOV17		2137.86	2124.49	USD	-668.50	
	NET	0 AVG BGHT 2133.91	SOLD 2098.83				-2,630.50	0.00
11OCT17	B	1 PD11JAN18		2132.50	2133.34	USD	21.00	
11OCT17	B	1 PD11JAN18		2133.00	2133.34	USD	8.50	
11OCT17	B	1 PD11JAN18		2144.00	2133.34	USD	-266.50	
11OCT17	B	1 PD11JAN18		2155.00	2133.34	USD	-541.50	
11OCT17	S	1 PD11JAN18		2137.50	2133.34	USD	104.00	
11OCT17	S	1 PD11JAN18		2142.50	2133.34	USD	229.00	
11OCT17	S	1 PD11JAN18		2143.50	2133.34	USD	254.00	
	NET	+1 AVG BGHT 2141.13	SOLD 2141.17				-191.50	0.00
12OCT17	S	1 PD12JAN18		2136.00	2133.50	USD	62.50	
	NET	-1 AVG SOLD 2136.00					62.50	0.00
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
21JUL17	B	1 PD20OCT17		6001.50	6857.50	USD	21,400.00	
21JUL17	S	1 PD20OCT17		6014.00	6857.50	USD	-21,087.50	
21JUL17	S	1 PD20OCT17		6032.00	6857.50	USD	-20,637.50	
21JUL17	B	1 PD20OCT17		6034.00	6857.50	USD	20,587.50	
21JUL17	S	1 PD20OCT17		6039.50	6857.50	USD	-20,450.00	
21JUL17	S	1 PD20OCT17		6048.00	6857.50	USD	-20,237.50	
21JUL17	B	1 PD20OCT17		6033.00	6857.50	USD	20,612.50	
21JUL17	B	1 PD20OCT17		6031.00	6857.50	USD	20,662.50	
	NET	0 AVG BGHT 6024.88	SOLD 6033.38				850.00	0.00
24JUL17	S	1 PD24OCT17		6022.00	6857.50	USD	-20,887.50	
24JUL17	B	1 PD24OCT17		6016.00	6857.50	USD	21,037.50	
	NET	0 AVG BGHT 6016.00	SOLD 6022.00				150.00	0.00
25JUL17	S	1 PD25OCT17		6155.50	6857.50	USD	-17,550.00	
25JUL17	S	1 PD25OCT17		6158.00	6857.50	USD	-17,487.50	
25JUL17	S	1 PD25OCT17		6178.00	6857.50	USD	-16,987.50	
25JUL17	S	1 PD25OCT17		6184.50	6857.50	USD	-16,825.00	
25JUL17	B	1 PD25OCT17		6221.50	6857.50	USD	15,900.00	
25JUL17	B	1 PD25OCT17		6201.50	6857.50	USD	16,400.00	
25JUL17	S	1 PD25OCT17		6240.00	6857.50	USD	-15,437.50	
25JUL17	S	1 PD25OCT17		6210.00	6857.50	USD	-16,187.50	
08AUG17	B	4 PD25OCT17		6474.46	6857.50	USD	38,304.00	
	NET	0 AVG BGHT 6386.81	SOLD 6187.67				-29,871.00	0.00
26JUL17	B	1 PD26OCT17		6325.00	6857.50	USD	13,312.50	
26JUL17	B	1 PD26OCT17		6347.00	6857.50	USD	12,762.50	
26JUL17	B	1 PD26OCT17		6350.00	6857.50	USD	12,687.50	
26JUL17	B	2 PD26OCT17		6370.00	6857.50	USD	24,375.00	
26JUL17	B	1 PD26OCT17		6399.00	6857.50	USD	11,462.50	
26JUL17	B	1 PD26OCT17		6399.50	6857.50	USD	11,450.00	
26JUL17	B	1 PD26OCT17		6400.00	6857.50	USD	11,437.50	
26JUL17	S	4 PD26OCT17		6390.00	6857.50	USD	-46,750.00	
26JUL17	S	1 PD26OCT17		6330.00	6857.50	USD	-13,187.50	
08AUG17	S	3 PD26OCT17		6474.66	6857.50	USD	-28,713.00	
	NET	0 AVG BGHT 6370.06	SOLD 6414.25				8,837.00	0.00
27JUL17	S	1 PD27OCT17		6370.00	6857.50	USD	-12,187.50	
27JUL17	B	1 PD27OCT17		6352.00	6857.50	USD	12,637.50	
	NET	0 AVG BGHT 6352.00	SOLD 6370.00					0.00

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Suite A, 105 Piccadilly
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backoffice@sapiencap.com

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>						450.00	
02AUG17	B	1	PD02NOV17	6330.00	6859.82	USD	13,245.50	
08AUG17	S	1	PD02NOV17	6477.43	6859.82	USD	-9,559.75	
	NET	0 AVG	BGHT 6330.00				3,685.75	0.00
12SEP17	B	1	PD12DEC17	6659.50	6874.50	USD	5,375.00	
12SEP17	S	1	PD12DEC17	6650.00	6874.50	USD	-5,612.50	
12SEP17	B	1	PD12DEC17	6644.00	6874.50	USD	5,762.50	
12SEP17	B	1	PD12DEC17	6650.50	6874.50	USD	5,600.00	
12SEP17	S	1	PD12DEC17	6653.00	6874.50	USD	-5,537.50	
12SEP17	S	1	PD12DEC17	6658.00	6874.50	USD	-5,412.50	
	NET	0 AVG	BGHT 6651.33				175.00	0.00
13SEP17	B	1	PD13DEC17	6550.50	6874.92	USD	8,110.50	
13SEP17	S	1	PD13DEC17	6567.00	6874.92	USD	-7,698.00	
	NET	0 AVG	BGHT 6550.50				412.50	0.00
20SEP17	B	1	PD20DEC17	6548.00	6877.00	USD	8,225.00	
20SEP17	S	1	PD20DEC17	6547.00	6877.00	USD	-8,250.00	
	NET	0 AVG	BGHT 6548.00				-25.00	0.00
26SEP17	B	1	PD27DEC17	6454.00	6876.67	USD	10,566.75	
26SEP17	S	1	PD27DEC17	6455.00	6876.67	USD	-10,541.75	
	NET	0 AVG	BGHT 6454.00				25.00	0.00
	<u>LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
17JUL17	B	1	PD17OCT17	2325.00	2518.25	USD	4,831.25	
17JUL17	B	1	PD17OCT17	2324.50	2518.25	USD	4,843.75	
17JUL17	B	1	PD17OCT17	2328.50	2518.25	USD	4,743.75	
17JUL17	B	1	PD17OCT17	2320.50	2518.25	USD	4,943.75	
17JUL17	S	1	PD17OCT17	2317.00	2518.25	USD	-5,031.25	
08AUG17	S	3	PD17OCT17	2378.96	2518.25	USD	-10,446.75	
	NET	0 AVG	BGHT 2324.63				3,884.50	0.00
18JUL17	S	1	PD18OCT17	2286.00	2518.75	USD	-5,818.75	
18JUL17	B	1	PD18OCT17	2267.50	2518.75	USD	6,281.25	
	NET	0 AVG	BGHT 2267.50				462.50	0.00
20JUL17	S	1	PD20OCT17	2213.00	2519.05	USD	-7,651.25	
20JUL17	S	1	PD20OCT17	2217.00	2519.05	USD	-7,551.25	
21JUL17	S	1	PD20OCT17	2247.00	2519.05	USD	-6,801.25	
21JUL17	B	1	PD20OCT17	2240.00	2519.05	USD	6,976.25	
21JUL17	S	1	PD20OCT17	2238.50	2519.05	USD	-7,013.75	
08AUG17	B	3	PD20OCT17	2378.95	2519.05	USD	10,507.50	
	NET	0 AVG	BGHT 2344.21				-11,533.75	0.00
24JUL17	B	1	PD24OCT17	2252.50	2519.65	USD	6,678.75	
08AUG17	S	1	PD24OCT17	2380.35	2519.65	USD	-3,482.50	
	NET	0 AVG	BGHT 2252.50				3,196.25	0.00
25JUL17	B	1	PD25OCT17	2299.50	2519.80	USD	5,507.50	
25JUL17	S	1	PD25OCT17	2294.50	2519.80	USD	-5,632.50	
25JUL17	S	1	PD25OCT17	2298.50	2519.80	USD	-5,532.50	
08AUG17	B	1	PD25OCT17	2380.95	2519.80	USD	3,471.25	
	NET	0 AVG	BGHT 2340.23				-2,186.25	0.00
27JUL17	S	1	PD27OCT17	2314.00	2520.10	USD	-5,152.50	
08AUG17	B	1	PD27OCT17	2381.10	2520.10	USD	3,475.00	
	NET	0 AVG	BGHT 2381.10				-1,677.50	0.00
31JUL17	B	1	PD31OCT17	2329.50	2520.70	USD	4,780.00	
08AUG17	S	1	PD31OCT17	2381.59	2520.70	USD	-3,477.75	
	NET	0 AVG	BGHT 2329.50				1,302.25	0.00

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	<u>LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
01AUG17	S	1	PD01NOV17	2336.00	2520.85	USD	-4,621.25	
01AUG17	B	1	PD01NOV17	2338.00	2520.85	USD	4,571.25	
	NET	0 AVG BGHT 2338.00	SOLD 2336.00				-50.00	0.00
07AUG17	B	1	PD07NOV17	2382.00	2521.67	USD	3,491.75	
07AUG17	B	1	PD07NOV17	2384.00	2521.67	USD	3,441.75	
12OCT17	S	2	PD07NOV17	2549.75	2521.67	USD	1,404.00	
	NET	0 AVG BGHT 2383.00	SOLD 2549.75				8,337.50	0.00
09AUG17	B	1	PD09NOV17	2402.50	2521.94	USD	2,986.00	
12OCT17	S	1	PD09NOV17	2550.00	2521.94	USD	701.50	
	NET	0 AVG BGHT 2402.50	SOLD 2550.00				3,687.50	0.00
11AUG17	B	1	PD10NOV17	2341.00	2522.08	USD	4,527.00	
11AUG17	B	1	PD10NOV17	2346.50	2522.08	USD	4,389.50	
11AUG17	S	1	PD10NOV17	2341.00	2522.08	USD	-4,527.00	
11AUG17	B	1	PD10NOV17	2330.00	2522.08	USD	4,802.00	
12OCT17	S	2	PD10NOV17	2550.13	2522.08	USD	1,402.50	
	NET	0 AVG BGHT 2339.17	SOLD 2480.42				10,594.00	0.00
14AUG17	S	1	PD14NOV17	2331.00	2522.62	USD	-4,790.50	
14AUG17	S	1	PD14NOV17	2332.00	2522.62	USD	-4,765.50	
12OCT17	B	2	PD14NOV17	2550.83	2522.62	USD	-1,410.50	
	NET	0 AVG BGHT 2550.83	SOLD 2331.50				-10,966.50	0.00
16AUG17	B	1	PD16NOV17	2472.00	2522.75	USD	1,268.75	
16AUG17	S	1	PD16NOV17	2472.00	2522.75	USD	-1,268.75	
16AUG17	S	1	PD16NOV17	2479.00	2522.75	USD	-1,093.75	
12OCT17	B	1	PD16NOV17	2550.95	2522.75	USD	-705.00	
	NET	0 AVG BGHT 2511.48	SOLD 2475.50				-1,798.75	0.00
17AUG17	B	1	PD17NOV17	2416.00	2522.75	USD	2,668.75	
18AUG17	S	1	PD17NOV17	2416.00	2522.75	USD	-2,668.75	
18AUG17	S	1	PD17NOV17	2420.50	2522.75	USD	-2,556.25	
18AUG17	S	1	PD17NOV17	2370.50	2522.75	USD	-3,806.25	
12OCT17	B	2	PD17NOV17	2550.95	2522.75	USD	-1,410.00	
	NET	0 AVG BGHT 2505.97	SOLD 2402.33				-7,772.50	0.00
21AUG17	B	1	PD21NOV17	2375.00	2523.36	USD	3,709.00	
12OCT17	S	1	PD21NOV17	2551.30	2523.36	USD	698.50	
	NET	0 AVG BGHT 2375.00	SOLD 2551.30				4,407.50	0.00
22AUG17	S	1	PD22NOV17	2358.00	2523.51	USD	-4,137.75	
22AUG17	B	1	PD22NOV17	2354.00	2523.51	USD	4,237.75	
22AUG17	S	1	PD22NOV17	2355.00	2523.51	USD	-4,212.75	
22AUG17	S	1	PD22NOV17	2390.00	2523.51	USD	-3,337.75	
22AUG17	S	1	PD22NOV17	2409.00	2523.51	USD	-2,862.75	
22AUG17	B	1	PD22NOV17	2395.00	2523.51	USD	3,212.75	
12OCT17	B	2	PD22NOV17	2551.43	2523.51	USD	-1,396.00	
	NET	0 AVG BGHT 2462.97	SOLD 2378.00				-8,496.50	0.00
23AUG17	B	1	PD24NOV17	2392.50	2523.81	USD	3,282.75	
23AUG17	S	1	PD24NOV17	2407.50	2523.81	USD	-2,907.75	
23AUG17	B	1	PD24NOV17	2400.50	2523.81	USD	3,082.75	
25AUG17	B	1	PD24NOV17	2335.00	2523.81	USD	4,720.25	
25AUG17	S	1	PD24NOV17	2335.00	2523.81	USD	-4,720.25	
25AUG17	B	1	PD24NOV17	2328.50	2523.81	USD	4,882.75	
12OCT17	S	2	PD24NOV17	2551.50	2523.81	USD	1,384.50	
	NET	0 AVG BGHT 2364.13	SOLD 2461.38				9,725.00	0.00
29AUG17	S	1	PD29NOV17	2388.00	2524.57	USD	-3,414.25	
12OCT17	B	1	PD29NOV17	2552.59	2524.57	USD	-700.50	
	NET	0 AVG BGHT 2552.59	SOLD 2388.00				-4,114.75	0.00
30AUG17	B	1	PD30NOV17	2400.00	2524.72	USD	3,118.00	

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30AUG17	S	1	PD30NOV17	2366.00	2524.72	USD	-3,968.00	
31AUG17	B	1	PD30NOV17	2390.00	2524.72	USD	3,368.00	
120CT17	S	1	PD30NOV17	2552.52	2524.72	USD	695.00	
	NET	0 AVG BGHT 2395.00	SOLD 2459.26				3,213.00	0.00
01SEP17	S	1	PD01DEC17	2398.00	2524.87	USD	-3,171.75	
120CT17	B	1	PD01DEC17	2552.86	2524.87	USD	-699.75	
	NET	0 AVG BGHT 2552.86	SOLD 2398.00				-3,871.50	0.00
060CT17	B	1	PD05JAN18	2545.00	2528.97	USD	-400.75	
060CT17	S	1	PD05JAN18	2551.00	2528.97	USD	550.75	
060CT17	B	1	PD05JAN18	2526.50	2528.97	USD	61.75	
060CT17	S	1	PD05JAN18	2525.50	2528.97	USD	-86.75	
	NET	0 AVG BGHT 2535.75	SOLD 2538.25				125.00	0.00
110CT17	B	1	PD11JAN18	2554.00	2529.85	USD	-603.75	
110CT17	S	1	PD11JAN18	2545.00	2529.85	USD	378.75	
110CT17	S	1	PD11JAN18	2554.00	2529.85	USD	603.75	
	NET	-1 AVG BGHT 2554.00	SOLD 2549.50				378.75	0.00
120CT17	B	1	PD12JAN18	2550.50	2530.00	USD	-512.50	
	NET	+1 AVG BGHT 2550.50					-512.50	0.00
	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
17JUL17	S	1	PD17OCT17	9650.00	11610.50	USD	-11,763.00	
17JUL17	B	1	PD17OCT17	9640.00	11610.50	USD	11,823.00	
	NET	0 AVG BGHT 9640.00	SOLD 9650.00				60.00	0.00
18JUL17	S	1	PD18OCT17	9650.00	11610.50	USD	-11,763.00	
18JUL17	B	1	PD18OCT17	9625.00	11610.50	USD	11,913.00	
18JUL17	S	1	PD18OCT17	9760.00	11610.50	USD	-11,103.00	
18JUL17	B	1	PD18OCT17	9750.00	11610.50	USD	11,163.00	
18JUL17	B	1	PD18OCT17	9740.00	11610.50	USD	11,223.00	
18JUL17	S	1	PD18OCT17	9735.00	11610.50	USD	-11,253.00	
	NET	0 AVG BGHT 9705.00	SOLD 9715.00				180.00	0.00
19JUL17	S	1	PD19OCT17	9745.00	11610.50	USD	-11,193.00	
19JUL17	B	1	PD19OCT17	9730.00	11610.50	USD	11,283.00	
19JUL17	B	1	PD19OCT17	9740.00	11610.50	USD	11,223.00	
19JUL17	S	1	PD19OCT17	9765.00	11610.50	USD	-11,073.00	
	NET	0 AVG BGHT 9735.00	SOLD 9755.00				240.00	0.00
21JUL17	S	1	PD20OCT17	9585.00	11611.35	USD	-12,158.10	
21JUL17	S	1	PD20OCT17	9620.00	11611.35	USD	-11,948.10	
21JUL17	S	1	PD20OCT17	9630.00	11611.35	USD	-11,888.10	
21JUL17	S	1	PD20OCT17	9645.00	11611.35	USD	-11,798.10	
21JUL17	B	1	PD20OCT17	9640.00	11611.35	USD	11,828.10	
21JUL17	B	1	PD20OCT17	9610.00	11611.35	USD	12,008.10	
21JUL17	B	1	PD20OCT17	9555.00	11611.35	USD	12,338.10	
21JUL17	B	1	PD20OCT17	9520.00	11611.35	USD	12,548.10	
	NET	0 AVG BGHT 9581.25	SOLD 9620.00				930.00	0.00
24JUL17	S	1	PD24OCT17	9725.00	11614.76	USD	-11,338.56	
24JUL17	S	2	PD24OCT17	9760.00	11614.76	USD	-22,257.12	
24JUL17	S	1	PD24OCT17	9775.00	11614.76	USD	-11,038.56	
24JUL17	B	1	PD24OCT17	9750.00	11614.76	USD	11,188.56	
24JUL17	B	1	PD24OCT17	9760.00	11614.76	USD	11,128.56	
08AUG17	B	2	PD24OCT17	10623.93	11614.76	USD	11,889.96	
	NET	0 AVG BGHT 10189.47	SOLD 9755.00				-10,427.16	0.00
25JUL17	B	1	PD25OCT17	9740.00	11615.61	USD	11,253.66	
25JUL17	B	1	PD25OCT17	9750.00	11615.61	USD	11,193.66	
25JUL17	S	1	PD25OCT17	9940.00	11615.61	USD	-10,053.66	

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
25JUL17	B	1	PD25OCT17	9890.00	11615.61	USD	10,353.66	
25JUL17	B	1	PD25OCT17	9980.00	11615.61	USD	9,813.66	
25JUL17	S	1	PD25OCT17	10000.00	11615.61	USD	-9,693.66	
08AUG17	S	2	PD25OCT17	10623.33	11615.61	USD	-11,907.36	
	NET	0 AVG	BGHT 9840.00				10,959.96	0.00
27JUL17	S	2	PD27OCT17	10180.00	11617.31	USD	-17,247.72	
27JUL17	B	1	PD27OCT17	10145.00	11617.31	USD	8,833.86	
27JUL17	B	1	PD27OCT17	10155.00	11617.31	USD	8,773.86	
28JUL17	S	1	PD27OCT17	10260.00	11617.31	USD	-8,143.86	
08AUG17	B	1	PD27OCT17	10625.14	11617.31	USD	5,953.02	
	NET	0 AVG	BGHT 10308.38				-1,830.84	0.00
31JUL17	B	1	PD31OCT17	10255.00	11620.72	USD	8,194.32	
31JUL17	B	1	PD31OCT17	10230.00	11620.72	USD	8,344.32	
31JUL17	S	1	PD31OCT17	10225.00	11620.72	USD	-8,374.32	
08AUG17	S	1	PD31OCT17	10625.76	11620.72	USD	-5,969.76	
	NET	0 AVG	BGHT 10242.50				2,194.56	0.00
01AUG17	S	1	PD01NOV17	10175.00	11621.57	USD	-8,679.42	
01AUG17	S	1	PD01NOV17	10195.00	11621.57	USD	-8,559.42	
01AUG17	S	1	PD01NOV17	10295.00	11621.57	USD	-7,959.42	
01AUG17	B	1	PD01NOV17	10240.00	11621.57	USD	8,289.42	
01AUG17	S	1	PD01NOV17	10280.00	11621.57	USD	-8,049.42	
01AUG17	B	1	PD01NOV17	10260.00	11621.57	USD	8,169.42	
08AUG17	B	2	PD01NOV17	10627.17	11621.57	USD	11,932.80	
	NET	0 AVG	BGHT 10438.59				-4,856.04	0.00
02AUG17	S	1	PD02NOV17	10195.00	11622.43	USD	-8,564.58	
02AUG17	B	2	PD02NOV17	10160.00	11622.43	USD	17,549.16	
08AUG17	S	1	PD02NOV17	10626.57	11622.43	USD	-5,975.16	
	NET	0 AVG	BGHT 10160.00				3,009.42	0.00
03AUG17	B	1	PD03NOV17	10280.00	11623.28	USD	8,059.68	
04AUG17	B	1	PD03NOV17	10200.00	11623.28	USD	8,539.68	
04AUG17	S	1	PD03NOV17	10250.00	11623.28	USD	-8,239.68	
04AUG17	B	1	PD03NOV17	10260.00	11623.28	USD	8,179.68	
04AUG17	B	1	PD03NOV17	10330.00	11623.28	USD	7,759.68	
04AUG17	S	1	PD03NOV17	10275.00	11623.28	USD	-8,089.68	
04AUG17	S	1	PD03NOV17	10285.00	11623.28	USD	-8,029.68	
08AUG17	S	1	PD03NOV17	10626.98	11623.28	USD	-5,977.80	
	NET	0 AVG	BGHT 10267.50				2,201.88	0.00
07AUG17	S	1	PD07NOV17	10365.00	11626.69	USD	-7,570.14	
07AUG17	B	1	PD07NOV17	10330.00	11626.69	USD	7,780.14	
	NET	0 AVG	BGHT 10330.00				210.00	0.00
08AUG17	S	1	PD08NOV17	10345.00	11627.54	USD	-7,695.24	
08AUG17	S	1	PD08NOV17	10330.00	11627.54	USD	-7,785.24	
08AUG17	S	1	PD08NOV17	10340.00	11627.54	USD	-7,725.24	
08AUG17	S	1	PD08NOV17	10350.00	11627.54	USD	-7,665.24	
08AUG17	B	1	PD08NOV17	10340.00	11627.54	USD	7,725.24	
08AUG17	B	2	PD08NOV17	10500.00	11627.54	USD	13,530.48	
08AUG17	S	1	PD08NOV17	10370.00	11627.54	USD	-7,545.24	
08AUG17	S	1	PD08NOV17	10445.00	11627.54	USD	-7,095.24	
08AUG17	S	1	PD08NOV17	10520.00	11627.54	USD	-6,645.24	
08AUG17	S	1	PD08NOV17	10530.00	11627.54	USD	-6,585.24	
12OCT17	B	5	PD08NOV17	11351.00	11627.54	USD	8,296.20	
	NET	0 AVG	BGHT 11011.88				-29,190.00	0.00
09AUG17	B	1	PD09NOV17	10780.00	11628.39	USD	5,090.34	
09AUG17	B	2	PD09NOV17	10800.00	11628.39	USD	9,940.68	
09AUG17	B	1	PD09NOV17	10805.00	11628.39	USD	4,940.34	

OPEN POSITION STATEMENT



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SAP0094R

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+44 (0) 20 7340 9081
backoffice@sapiencap.com

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
09AUG17	S	1	PD09NOV17	10755.00	11628.39	USD	-5,240.34	
09AUG17	S	2	PD09NOV17	10805.00	11628.39	USD	-9,880.68	
09AUG17	S	1	PD09NOV17	10780.00	11628.39	USD	-5,090.34	
09AUG17	S	1	PD09NOV17	10750.00	11628.39	USD	-5,270.34	
09AUG17	B	3	PD09NOV17	10755.00	11628.39	USD	15,721.02	
09AUG17	S	2	PD09NOV17	10745.00	11628.39	USD	-10,600.68	
09AUG17	S	1	PD09NOV17	10760.00	11628.39	USD	-5,210.34	
09AUG17	B	3	PD09NOV17	10750.00	11628.39	USD	15,811.02	
09AUG17	S	1	PD09NOV17	10785.00	11628.39	USD	-5,060.34	
09AUG17	B	1	PD09NOV17	10735.00	11628.39	USD	5,360.34	
12OCT17	S	2	PD09NOV17	11350.71	11628.39	USD	-3,332.16	
	NET	0 AVG BGHT 10766.82	SOLD 10875.58				7,178.52	0.00
10AUG17	S	1	PD10NOV17	10780.00	11629.24	USD	-5,095.44	
10AUG17	B	1	PD10NOV17	10780.00	11629.24	USD	5,095.44	
10AUG17	B	2	PD10NOV17	10900.00	11629.24	USD	8,750.88	
10AUG17	S	2	PD10NOV17	10905.00	11629.24	USD	-8,690.88	
10AUG17	B	1	PD10NOV17	10925.00	11629.24	USD	4,225.44	
10AUG17	B	1	PD10NOV17	10875.00	11629.24	USD	4,525.44	
11AUG17	B	1	PD10NOV17	10835.00	11629.24	USD	4,765.44	
11AUG17	S	1	PD10NOV17	10850.00	11629.24	USD	-4,675.44	
11AUG17	S	2	PD10NOV17	10870.00	11629.24	USD	-9,110.88	
11AUG17	B	1	PD10NOV17	10635.00	11629.24	USD	5,965.44	
11AUG17	S	1	PD10NOV17	10625.00	11629.24	USD	-6,025.44	
11AUG17	B	1	PD10NOV17	10640.00	11629.24	USD	5,935.44	
12OCT17	S	1	PD10NOV17	11351.43	11629.24	USD	-1,666.86	
	NET	0 AVG BGHT 10811.25	SOLD 10894.55				3,998.58	0.00
15AUG17	B	1	PD15NOV17	10375.00	11633.50	USD	7,551.00	
15AUG17	B	1	PD15NOV17	10355.00	11633.50	USD	7,671.00	
15AUG17	S	1	PD15NOV17	10350.00	11633.50	USD	-7,701.00	
12OCT17	S	1	PD15NOV17	11355.00	11633.50	USD	-1,671.00	
	NET	0 AVG BGHT 10365.00	SOLD 10852.50				5,850.00	0.00
16AUG17	S	1	PD16NOV17	10470.00	11634.19	USD	-6,985.14	
16AUG17	S	1	PD16NOV17	10530.00	11634.19	USD	-6,625.14	
16AUG17	B	1	PD16NOV17	10530.00	11634.19	USD	6,625.14	
16AUG17	S	1	PD16NOV17	10625.00	11634.19	USD	-6,055.14	
16AUG17	B	1	PD16NOV17	10555.00	11634.19	USD	6,475.14	
16AUG17	S	2	PD16NOV17	10770.00	11634.19	USD	-10,370.28	
16AUG17	S	1	PD16NOV17	10780.00	11634.19	USD	-5,125.14	
16AUG17	S	1	PD16NOV17	10775.00	11634.19	USD	-5,155.14	
16AUG17	B	4	PD16NOV17	10755.00	11634.19	USD	21,100.56	
12OCT17	B	4	PD16NOV17	11356.68	11634.19	USD	6,660.24	
	NET	+3 AVG BGHT 10953.17	SOLD 10674.29				545.10	0.00
17AUG17	B	1	PD17NOV17	10850.00	11634.87	USD	4,709.22	
17AUG17	S	1	PD17NOV17	10790.00	11634.87	USD	-5,069.22	
18AUG17	S	1	PD17NOV17	11000.00	11634.87	USD	-3,809.22	
18AUG17	B	1	PD17NOV17	10975.00	11634.87	USD	3,959.22	
18AUG17	S	1	PD17NOV17	10995.00	11634.87	USD	-3,839.22	
18AUG17	B	1	PD17NOV17	10985.00	11634.87	USD	3,899.22	
	NET	0 AVG BGHT 10936.67	SOLD 10928.33				-150.00	0.00
21AUG17	B	1	PD21NOV17	11090.00	11637.61	USD	3,285.66	
21AUG17	S	1	PD21NOV17	11130.00	11637.61	USD	-3,045.66	
21AUG17	S	1	PD21NOV17	11215.00	11637.61	USD	-2,535.66	
21AUG17	B	1	PD21NOV17	11215.00	11637.61	USD	2,535.66	
21AUG17	B	1	PD21NOV17	11240.00	11637.61	USD	2,385.66	
21AUG17	S	1	PD21NOV17	11240.00	11637.61	USD	-2,385.66	
21AUG17	S	1	PD21NOV17	11250.00	11637.61	USD	-2,325.66	

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
21AUG17	S	1	PD21NOV17	11290.00	11637.61	USD	-2,085.66	
21AUG17	B	1	PD21NOV17	11280.00	11637.61	USD	2,145.66	
21AUG17	B	1	PD21NOV17	11255.00	11637.61	USD	2,295.66	
21AUG17	S	2	PD21NOV17	11300.00	11637.61	USD	-4,051.32	
21AUG17	S	1	PD21NOV17	11310.00	11637.61	USD	-1,965.66	
	NET	-3 AVG BGHT	11216.00	SOLD	11254.38		-5,746.98	0.00
22AUG17	B	1	PD22NOV17	11280.00	11638.30	USD	2,149.80	
22AUG17	B	1	PD22NOV17	11320.00	11638.30	USD	1,909.80	
22AUG17	S	1	PD22NOV17	11490.00	11638.30	USD	-889.80	
22AUG17	B	1	PD22NOV17	11430.00	11638.30	USD	1,249.80	
22AUG17	S	1	PD22NOV17	11475.00	11638.30	USD	-979.80	
22AUG17	S	1	PD22NOV17	11480.00	11638.30	USD	-949.80	
22AUG17	B	2	PD22NOV17	11325.00	11638.30	USD	3,759.60	
22AUG17	B	1	PD22NOV17	11490.00	11638.30	USD	889.80	
22AUG17	S	1	PD22NOV17	11400.00	11638.30	USD	-1,429.80	
22AUG17	S	1	PD22NOV17	11440.00	11638.30	USD	-1,189.80	
12OCT17	S	1	PD22NOV17	11359.78	11638.30	USD	-1,671.12	
	NET	0 AVG BGHT	11361.67	SOLD	11440.80		2,848.68	0.00
23AUG17	B	1	PD24NOV17	11375.00	11639.67	USD	1,588.02	
23AUG17	B	1	PD24NOV17	11410.00	11639.67	USD	1,378.02	
23AUG17	S	1	PD24NOV17	11440.00	11639.67	USD	-1,198.02	
23AUG17	S	1	PD24NOV17	11480.00	11639.67	USD	-958.02	
23AUG17	S	1	PD24NOV17	11535.00	11639.67	USD	-628.02	
23AUG17	B	1	PD24NOV17	11525.00	11639.67	USD	688.02	
23AUG17	B	1	PD24NOV17	11475.00	11639.67	USD	988.02	
23AUG17	B	1	PD24NOV17	11505.00	11639.67	USD	808.02	
23AUG17	S	1	PD24NOV17	11660.00	11639.67	USD	121.98	
23AUG17	S	1	PD24NOV17	11730.00	11639.67	USD	541.98	
23AUG17	S	1	PD24NOV17	11665.00	11639.67	USD	151.98	
24AUG17	B	1	PD24NOV17	11690.00	11639.67	USD	-301.98	
24AUG17	B	2	PD24NOV17	11705.00	11639.67	USD	-783.96	
24AUG17	B	1	PD24NOV17	11640.00	11639.67	USD	-1.98	
24AUG17	S	1	PD24NOV17	11745.00	11639.67	USD	631.98	
24AUG17	B	1	PD24NOV17	11755.00	11639.67	USD	-691.98	
24AUG17	B	1	PD24NOV17	11670.00	11639.67	USD	-181.98	
24AUG17	S	1	PD24NOV17	11720.00	11639.67	USD	481.98	
25AUG17	B	1	PD24NOV17	11445.00	11639.67	USD	1,168.02	
25AUG17	B	2	PD24NOV17	11405.00	11639.67	USD	2,816.04	
25AUG17	S	2	PD24NOV17	11430.00	11639.67	USD	-2,516.04	
25AUG17	S	1	PD24NOV17	11475.00	11639.67	USD	-988.02	
25AUG17	B	1	PD24NOV17	11465.00	11639.67	USD	1,048.02	
25AUG17	S	1	PD24NOV17	11445.00	11639.67	USD	-1,168.02	
12OCT17	S	3	PD24NOV17	11361.15	11639.67	USD	-5,013.36	
	NET	0 AVG BGHT	11545.00	SOLD	11522.56		-2,019.30	0.00
29AUG17	S	1	PD29NOV17	11790.00	11643.10	USD	881.40	
29AUG17	S	1	PD29NOV17	11800.00	11643.10	USD	941.40	
29AUG17	B	1	PD29NOV17	11765.00	11643.10	USD	-731.40	
29AUG17	S	1	PD29NOV17	11775.00	11643.10	USD	791.40	
29AUG17	B	2	PD29NOV17	11805.00	11643.10	USD	-1,942.80	
29AUG17	B	1	PD29NOV17	11840.00	11643.10	USD	-1,181.40	
29AUG17	S	1	PD29NOV17	11835.00	11643.10	USD	1,151.40	
	NET	0 AVG BGHT	11803.75	SOLD	11800.00		-90.00	0.00
05SEP17	B	1	PD05DEC17	12035.00	11647.21	USD	-2,326.74	
05SEP17	B	1	PD05DEC17	12025.00	11647.21	USD	-2,266.74	
05SEP17	B	1	PD05DEC17	11985.00	11647.21	USD	-2,026.74	
05SEP17	B	1	PD05DEC17	11930.00	11647.21	USD	-1,696.74	

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05SEP17	S	1	PD05DEC17	11980.00	11647.21	USD	1,996.74	
12OCT17	S	1	PD05DEC17	11368.67	11647.21	USD	-1,671.24	
12OCT17	S	2	PD05DEC17	11369.67	11647.21	USD	-3,330.48	
	NET	0 AVG	BGHT 11993.75				-11,321.94	0.00
06SEP17	S	1	PD06DEC17	12020.00	11647.90	USD	2,232.60	
06SEP17	S	1	PD06DEC17	12060.00	11647.90	USD	2,472.60	
06SEP17	S	1	PD06DEC17	12200.00	11647.90	USD	3,312.60	
06SEP17	S	1	PD06DEC17	12230.00	11647.90	USD	3,492.60	
06SEP17	S	1	PD06DEC17	12245.00	11647.90	USD	3,582.60	
12OCT17	B	2	PD06DEC17	11371.35	11647.90	USD	3,318.60	
12OCT17	B	3	PD06DEC17	11370.35	11647.90	USD	4,995.90	
	NET	0 AVG	BGHT 11370.75				23,407.50	0.00
07SEP17	B	1	PD07DEC17	12220.00	11648.59	USD	-3,428.46	
07SEP17	B	1	PD07DEC17	12250.00	11648.59	USD	-3,608.46	
07SEP17	B	1	PD07DEC17	12135.00	11648.59	USD	-2,918.46	
07SEP17	S	1	PD07DEC17	12185.00	11648.59	USD	3,218.46	
07SEP17	B	1	PD07DEC17	12130.00	11648.59	USD	-2,888.46	
12OCT17	S	3	PD07DEC17	11370.03	11648.59	USD	-5,014.08	
	NET	0 AVG	BGHT 12183.75				-14,639.46	0.00
08SEP17	B	1	PD08DEC17	11920.00	11649.27	USD	-1,624.38	
08SEP17	B	1	PD08DEC17	11725.00	11649.27	USD	-454.38	
08SEP17	B	1	PD08DEC17	11750.00	11649.27	USD	-604.38	
08SEP17	S	1	PD08DEC17	11720.00	11649.27	USD	424.38	
08SEP17	S	2	PD08DEC17	11760.00	11649.27	USD	1,328.76	
08SEP17	B	1	PD08DEC17	11595.00	11649.27	USD	325.62	
08SEP17	B	1	PD08DEC17	11510.00	11649.27	USD	835.62	
12OCT17	S	2	PD08DEC17	11371.72	11649.27	USD	-3,330.60	
	NET	0 AVG	BGHT 11700.00				-3,099.36	0.00
11SEP17	S	1	PD11DEC17	11665.00	11651.33	USD	82.02	
11SEP17	B	1	PD11DEC17	11670.00	11651.33	USD	-112.02	
11SEP17	S	1	PD11DEC17	11745.00	11651.33	USD	562.02	
11SEP17	S	1	PD11DEC17	11810.00	11651.33	USD	952.02	
11SEP17	S	1	PD11DEC17	11825.00	11651.33	USD	1,042.02	
11SEP17	B	1	PD11DEC17	11790.00	11651.33	USD	-832.02	
12OCT17	B	2	PD11DEC17	11374.77	11651.33	USD	3,318.72	
	NET	0 AVG	BGHT 11552.39				5,012.76	0.00
12SEP17	B	1	PD12DEC17	11685.00	11652.01	USD	-197.94	
12SEP17	B	1	PD12DEC17	11705.00	11652.01	USD	-317.94	
12SEP17	B	1	PD12DEC17	11620.00	11652.01	USD	192.06	
12SEP17	S	1	PD12DEC17	11650.00	11652.01	USD	-12.06	
12SEP17	B	1	PD12DEC17	11630.00	11652.01	USD	132.06	
12SEP17	S	1	PD12DEC17	11660.00	11652.01	USD	47.94	
12SEP17	S	2	PD12DEC17	11670.00	11652.01	USD	215.88	
12SEP17	B	1	PD12DEC17	11700.00	11652.01	USD	-287.94	
12SEP17	S	1	PD12DEC17	11600.00	11652.01	USD	-312.06	
	NET	0 AVG	BGHT 11668.00				-540.00	0.00
13SEP17	B	1	PD13DEC17	11820.00	11652.70	USD	-1,003.80	
13SEP17	S	1	PD13DEC17	11800.00	11652.70	USD	883.80	
13SEP17	B	1	PD13DEC17	11710.00	11652.70	USD	-343.80	
13SEP17	S	1	PD13DEC17	11785.00	11652.70	USD	793.80	
13SEP17	B	1	PD13DEC17	11570.00	11652.70	USD	496.20	
13SEP17	B	1	PD13DEC17	11465.00	11652.70	USD	1,126.20	
13SEP17	S	2	PD13DEC17	11500.00	11652.70	USD	-1,832.40	
13SEP17	S	1	PD13DEC17	11435.00	11652.70	USD	-1,306.20	
12OCT17	B	1	PD13DEC17	11375.13	11652.70	USD	1,665.42	

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	NET	0 AVG BGHT 11588.03	SOLD 11604.00				479.22	0.00
14SEP17	B	1 PD14DEC17		11465.00	11653.39	USD	1,130.34	
14SEP17	B	1 PD14DEC17		11225.00	11653.39	USD	2,570.34	
14SEP17	S	1 PD14DEC17		11325.00	11653.39	USD	-1,970.34	
14SEP17	B	1 PD14DEC17		11210.00	11653.39	USD	2,660.34	
14SEP17	S	1 PD14DEC17		11235.00	11653.39	USD	-2,510.34	
14SEP17	B	1 PD14DEC17		11205.00	11653.39	USD	2,690.34	
14SEP17	S	1 PD14DEC17		11230.00	11653.39	USD	-2,540.34	
12OCT17	S	1 PD14DEC17		11374.82	11653.39	USD	-1,671.42	
	NET	0 AVG BGHT 11276.25	SOLD 11291.21				358.92	0.00
15SEP17	B	1 PD15DEC17		11060.00	11654.07	USD	3,564.42	
15SEP17	B	1 PD15DEC17		11105.00	11654.07	USD	3,294.42	
15SEP17	B	1 PD15DEC17		11065.00	11654.07	USD	3,534.42	
15SEP17	S	2 PD15DEC17		11095.00	11654.07	USD	-6,708.84	
15SEP17	S	1 PD15DEC17		11135.00	11654.07	USD	-3,114.42	
15SEP17	B	1 PD15DEC17		10980.00	11654.07	USD	4,044.42	
15SEP17	B	1 PD15DEC17		10970.00	11654.07	USD	4,104.42	
15SEP17	S	1 PD15DEC17		11075.00	11654.07	USD	-3,474.42	
15SEP17	S	2 PD15DEC17		11125.00	11654.07	USD	-6,348.84	
15SEP17	S	1 PD15DEC17		11150.00	11654.07	USD	-3,024.42	
15SEP17	B	1 PD15DEC17		11115.00	11654.07	USD	3,234.42	
	NET	-1 AVG BGHT 11049.17	SOLD 11114.29				-894.42	0.00
18SEP17	B	1 PD18DEC17		11110.00	11656.13	USD	3,276.78	
18SEP17	B	1 PD18DEC17		11140.00	11656.13	USD	3,096.78	
18SEP17	B	1 PD18DEC17		11070.00	11656.13	USD	3,516.78	
18SEP17	S	1 PD18DEC17		11110.00	11656.13	USD	-3,276.78	
18SEP17	S	1 PD18DEC17		11135.00	11656.13	USD	-3,126.78	
18SEP17	B	1 PD18DEC17		11130.00	11656.13	USD	3,156.78	
18SEP17	S	1 PD18DEC17		11165.00	11656.13	USD	-2,946.78	
18SEP17	B	2 PD18DEC17		11155.00	11656.13	USD	6,013.56	
18SEP17	S	2 PD18DEC17		11180.00	11656.13	USD	-5,713.56	
	NET	+1 AVG BGHT 11126.67	SOLD 11154.00				3,996.78	0.00
19SEP17	B	1 PD19DEC17		11015.00	11656.81	USD	3,850.86	
19SEP17	B	1 PD19DEC17		11075.00	11656.81	USD	3,490.86	
19SEP17	S	1 PD19DEC17		11065.00	11656.81	USD	-3,550.86	
19SEP17	B	1 PD19DEC17		10980.00	11656.81	USD	4,060.86	
19SEP17	S	1 PD19DEC17		10960.00	11656.81	USD	-4,180.86	
19SEP17	B	1 PD19DEC17		10925.00	11656.81	USD	4,390.86	
19SEP17	S	1 PD19DEC17		10975.00	11656.81	USD	-4,090.86	
19SEP17	S	1 PD19DEC17		11020.00	11656.81	USD	-3,820.86	
19SEP17	S	1 PD19DEC17		11185.00	11656.81	USD	-2,830.86	
19SEP17	S	1 PD19DEC17		11180.00	11656.81	USD	-2,860.86	
19SEP17	B	1 PD19DEC17		11155.00	11656.81	USD	3,010.86	
19SEP17	B	1 PD19DEC17		11160.00	11656.81	USD	2,980.86	
	NET	0 AVG BGHT 11051.67	SOLD 11064.17				450.00	0.00
20SEP17	B	1 PD20DEC17		11325.00	11657.50	USD	1,995.00	
20SEP17	S	1 PD20DEC17		11385.00	11657.50	USD	-1,635.00	
20SEP17	S	1 PD20DEC17		11380.00	11657.50	USD	-1,665.00	
20SEP17	S	1 PD20DEC17		11440.00	11657.50	USD	-1,305.00	
20SEP17	B	1 PD20DEC17		11415.00	11657.50	USD	1,455.00	
20SEP17	B	1 PD20DEC17		11420.00	11657.50	USD	1,425.00	
20SEP17	S	1 PD20DEC17		11395.00	11657.50	USD	-1,575.00	
20SEP17	B	1 PD20DEC17		11365.00	11657.50	USD	1,755.00	
	NET	0 AVG BGHT 11381.25	SOLD 11400.00				450.00	0.00
21SEP17	B	1 PD21DEC17		10960.00	11658.26	USD	4,189.56	

OPEN POSITION STATEMENT



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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
21SEP17	B	1	PD21DEC17	10970.00	11658.26	USD	4,129.56	
21SEP17	B	1	PD21DEC17	11010.00	11658.26	USD	3,889.56	
21SEP17	B	1	PD21DEC17	10930.00	11658.26	USD	4,369.56	
21SEP17	B	2	PD21DEC17	10850.00	11658.26	USD	9,699.12	
21SEP17	B	1	PD21DEC17	10860.00	11658.26	USD	4,789.56	
21SEP17	S	2	PD21DEC17	10845.00	11658.26	USD	-9,759.12	
	NET	+5 AVG BGHT 10918.57	SOLD 10845.00				21,307.80	0.00
22SEP17	S	2	PD22DEC17	10780.00	11659.02	USD	-10,548.24	
22SEP17	B	1	PD22DEC17	10560.00	11659.02	USD	6,594.12	
22SEP17	B	1	PD22DEC17	10635.00	11659.02	USD	6,144.12	
22SEP17	S	1	PD22DEC17	10625.00	11659.02	USD	-6,204.12	
22SEP17	B	1	PD22DEC17	10605.00	11659.02	USD	6,324.12	
22SEP17	S	1	PD22DEC17	10600.00	11659.02	USD	-6,354.12	
22SEP17	B	1	PD22DEC17	10575.00	11659.02	USD	6,504.12	
22SEP17	S	1	PD22DEC17	10550.00	11659.02	USD	-6,654.12	
22SEP17	B	1	PD22DEC17	10565.00	11659.02	USD	6,564.12	
22SEP17	S	1	PD22DEC17	10495.00	11659.02	USD	-6,984.12	
22SEP17	S	1	PD22DEC17	10545.00	11659.02	USD	-6,684.12	
22SEP17	S	1	PD22DEC17	10590.00	11659.02	USD	-6,414.12	
22SEP17	S	2	PD22DEC17	10615.00	11659.02	USD	-12,528.24	
22SEP17	S	1	PD22DEC17	10645.00	11659.02	USD	-6,084.12	
	NET	-6 AVG BGHT 10588.00	SOLD 10621.82				-36,324.72	0.00
25SEP17	B	1	PD27DEC17	10535.00	11662.83	USD	6,766.98	
25SEP17	S	1	PD27DEC17	10550.00	11662.83	USD	-6,676.98	
25SEP17	B	1	PD27DEC17	10615.00	11662.83	USD	6,286.98	
25SEP17	B	2	PD27DEC17	10605.00	11662.83	USD	12,693.96	
25SEP17	B	1	PD27DEC17	10500.00	11662.83	USD	6,976.98	
25SEP17	S	1	PD27DEC17	10510.00	11662.83	USD	-6,916.98	
25SEP17	S	2	PD27DEC17	10545.00	11662.83	USD	-13,413.96	
25SEP17	B	2	PD27DEC17	10505.00	11662.83	USD	13,893.96	
25SEP17	B	2	PD27DEC17	10465.00	11662.83	USD	14,373.96	
25SEP17	S	1	PD27DEC17	10595.00	11662.83	USD	-6,406.98	
25SEP17	S	1	PD27DEC17	10610.00	11662.83	USD	-6,316.98	
25SEP17	S	2	PD27DEC17	10630.00	11662.83	USD	-12,393.96	
26SEP17	B	1	PD27DEC17	10620.00	11662.83	USD	6,256.98	
26SEP17	B	1	PD27DEC17	10575.00	11662.83	USD	6,526.98	
26SEP17	S	1	PD27DEC17	10575.00	11662.83	USD	-6,526.98	
26SEP17	S	1	PD27DEC17	10625.00	11662.83	USD	-6,226.98	
26SEP17	B	1	PD27DEC17	10430.00	11662.83	USD	7,396.98	
26SEP17	S	1	PD27DEC17	10485.00	11662.83	USD	-7,066.98	
26SEP17	B	1	PD27DEC17	10500.00	11662.83	USD	6,976.98	
26SEP17	B	1	PD27DEC17	10485.00	11662.83	USD	7,066.98	
26SEP17	S	1	PD27DEC17	10505.00	11662.83	USD	-6,946.98	
26SEP17	S	1	PD27DEC17	10510.00	11662.83	USD	-6,916.98	
26SEP17	B	1	PD27DEC17	10465.00	11662.83	USD	7,186.98	
27SEP17	S	1	PD27DEC17	10625.00	11662.83	USD	-6,226.98	
27SEP17	B	1	PD27DEC17	10545.00	11662.83	USD	6,706.98	
27SEP17	B	1	PD27DEC17	10540.00	11662.83	USD	6,736.98	
27SEP17	B	1	PD27DEC17	10465.00	11662.83	USD	7,186.98	
27SEP17	B	1	PD27DEC17	10370.00	11662.83	USD	7,756.98	
27SEP17	B	2	PD27DEC17	10295.00	11662.83	USD	16,413.96	
27SEP17	S	2	PD27DEC17	10300.00	11662.83	USD	-16,353.96	
27SEP17	B	1	PD27DEC17	10350.00	11662.83	USD	7,876.98	
27SEP17	S	1	PD27DEC17	10290.00	11662.83	USD	-8,236.98	
27SEP17	S	1	PD27DEC17	10370.00	11662.83	USD	-7,756.98	
	NET	+4 AVG BGHT 10487.95	SOLD 10511.11				30,697.92	0.00

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
28SEP17	S	1	PD28DEC17	10340.00	11663.59	USD	-7,941.54	
28SEP17	B	1	PD28DEC17	10255.00	11663.59	USD	8,451.54	
28SEP17	S	2	PD28DEC17	10310.00	11663.59	USD	-16,243.08	
28SEP17	S	1	PD28DEC17	10345.00	11663.59	USD	-7,911.54	
28SEP17	B	2	PD28DEC17	10380.00	11663.59	USD	15,403.08	
28SEP17	S	2	PD28DEC17	10365.00	11663.59	USD	-15,583.08	
	NET	-3 AVG BGHT 10338.33	SOLD 10339.17				-23,824.62	0.00
29SEP17	S	1	PD29DEC17	10580.00	11664.35	USD	-6,506.10	
29SEP17	S	1	PD29DEC17	10620.00	11664.35	USD	-6,266.10	
29SEP17	S	1	PD29DEC17	10680.00	11664.35	USD	-5,906.10	
29SEP17	B	1	PD29DEC17	10640.00	11664.35	USD	6,146.10	
29SEP17	S	1	PD29DEC17	10710.00	11664.35	USD	-5,726.10	
29SEP17	B	1	PD29DEC17	10670.00	11664.35	USD	5,966.10	
29SEP17	S	1	PD29DEC17	10675.00	11664.35	USD	-5,936.10	
29SEP17	B	1	PD29DEC17	10725.00	11664.35	USD	5,636.10	
29SEP17	B	1	PD29DEC17	10575.00	11664.35	USD	6,536.10	
29SEP17	B	1	PD29DEC17	10525.00	11664.35	USD	6,836.10	
	NET	0 AVG BGHT 10627.00	SOLD 10653.00				780.00	0.00
02OCT17	B	1	PD02JAN18	10370.00	11667.39	USD	7,784.34	
02OCT17	B	1	PD02JAN18	10380.00	11667.39	USD	7,724.34	
02OCT17	S	1	PD02JAN18	10460.00	11667.39	USD	-7,244.34	
02OCT17	S	1	PD02JAN18	10450.00	11667.39	USD	-7,304.34	
	NET	0 AVG BGHT 10375.00	SOLD 10455.00				960.00	0.00
05OCT17	B	1	PD05JAN18	10610.00	11669.67	USD	6,358.02	
05OCT17	B	1	PD05JAN18	10560.00	11669.67	USD	6,658.02	
05OCT17	S	1	PD05JAN18	10625.00	11669.67	USD	-6,268.02	
05OCT17	B	1	PD05JAN18	10625.00	11669.67	USD	6,268.02	
05OCT17	S	1	PD05JAN18	10650.00	11669.67	USD	-6,118.02	
05OCT17	B	1	PD05JAN18	10600.00	11669.67	USD	6,418.02	
05OCT17	S	1	PD05JAN18	10575.00	11669.67	USD	-6,568.02	
05OCT17	S	1	PD05JAN18	10590.00	11669.67	USD	-6,478.02	
05OCT17	B	1	PD05JAN18	10520.00	11669.67	USD	6,898.02	
05OCT17	B	1	PD05JAN18	10570.00	11669.67	USD	6,598.02	
05OCT17	S	1	PD05JAN18	10585.00	11669.67	USD	-6,508.02	
05OCT17	S	1	PD05JAN18	10595.00	11669.67	USD	-6,448.02	
06OCT17	B	1	PD05JAN18	10560.00	11669.67	USD	6,658.02	
06OCT17	S	1	PD05JAN18	10570.00	11669.67	USD	-6,598.02	
06OCT17	B	1	PD05JAN18	10540.00	11669.67	USD	6,778.02	
06OCT17	B	1	PD05JAN18	10600.00	11669.67	USD	6,418.02	
06OCT17	S	1	PD05JAN18	10600.00	11669.67	USD	-6,418.02	
06OCT17	S	1	PD05JAN18	10635.00	11669.67	USD	-6,208.02	
	NET	0 AVG BGHT 10576.11	SOLD 10602.78				1,440.00	0.00
09OCT17	S	1	PD09JAN18	10820.00	11672.72	USD	-5,116.32	
09OCT17	S	1	PD09JAN18	10860.00	11672.72	USD	-4,876.32	
09OCT17	B	1	PD09JAN18	10785.00	11672.72	USD	5,326.32	
09OCT17	B	1	PD09JAN18	10765.00	11672.72	USD	5,446.32	
	NET	0 AVG BGHT 10775.00	SOLD 10840.00				780.00	0.00
11OCT17	B	1	PD11JAN18	10990.00	11674.24	USD	4,105.44	
11OCT17	B	2	PD11JAN18	11005.00	11674.24	USD	8,030.88	
11OCT17	B	1	PD11JAN18	11180.00	11674.24	USD	2,965.44	
11OCT17	S	1	PD11JAN18	10985.00	11674.24	USD	-4,135.44	
11OCT17	S	1	PD11JAN18	11020.00	11674.24	USD	-3,925.44	
11OCT17	S	1	PD11JAN18	11030.00	11674.24	USD	-3,865.44	
11OCT17	S	1	PD11JAN18	11175.00	11674.24	USD	-2,995.44	
11OCT17	S	1	PD11JAN18	11195.00	11674.24	USD	-2,875.44	
	NET	-1 AVG BGHT 11045.00	SOLD 11081.00				-2,695.44	0.00

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12OCT17	B	1	PD12JAN18	11160.00	11675.00	USD	3,090.00	
13OCT17	S	1	PD12JAN18	11625.00	11675.00	USD	-300.00	
	NET	0AVG	BGHT 11160.00				2,790.00	0.00
	<u>LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
17JUL17	B	1	PD17OCT17	20050.00	20735.00	USD	3,425.00	
17JUL17	S	1	PD17OCT17	20070.00	20735.00	USD	-3,325.00	
17JUL17	B	2	PD17OCT17	19945.00	20735.00	USD	7,900.00	
20JUL17	S	2	PD17OCT17	20091.58	20735.00	USD	-6,434.20	
	NET	0AVG	BGHT 19980.00				1,565.80	0.00
18JUL17	S	1	PD18OCT17	19910.00	20735.00	USD	-4,125.00	
18JUL17	S	3	PD18OCT17	19950.00	20735.00	USD	-11,775.00	
18JUL17	S	1	PD18OCT17	19965.00	20735.00	USD	-3,850.00	
18JUL17	S	1	PD18OCT17	20035.00	20735.00	USD	-3,500.00	
18JUL17	S	1	PD18OCT17	20040.00	20735.00	USD	-3,475.00	
18JUL17	B	2	PD18OCT17	19930.00	20735.00	USD	8,050.00	
18JUL17	B	1	PD18OCT17	20060.00	20735.00	USD	3,375.00	
18JUL17	S	1	PD18OCT17	20010.00	20735.00	USD	-3,625.00	
20JUL17	B	2	PD18OCT17	20091.00	20735.00	USD	6,440.00	
08AUG17	B	3	PD18OCT17	20228.00	20735.00	USD	7,605.00	
	NET	0AVG	BGHT 20098.25				-4,880.00	0.00
19JUL17	S	1	PD19OCT17	20110.00	20732.50	USD	-3,112.50	
19JUL17	B	1	PD19OCT17	20045.00	20732.50	USD	3,437.50	
19JUL17	S	1	PD19OCT17	20105.00	20732.50	USD	-3,137.50	
19JUL17	B	1	PD19OCT17	19990.00	20732.50	USD	3,712.50	
19JUL17	B	1	PD19OCT17	20035.00	20732.50	USD	3,487.50	
19JUL17	S	1	PD19OCT17	20055.00	20732.50	USD	-3,387.50	
19JUL17	B	4	PD19OCT17	20055.00	20732.50	USD	13,550.00	
19JUL17	S	1	PD19OCT17	20045.00	20732.50	USD	-3,437.50	
19JUL17	B	1	PD19OCT17	20085.00	20732.50	USD	3,237.50	
19JUL17	S	2	PD19OCT17	20090.00	20732.50	USD	-6,425.00	
19JUL17	S	1	PD19OCT17	20145.00	20732.50	USD	-2,937.50	
19JUL17	S	1	PD19OCT17	20095.00	20732.50	USD	-3,187.50	
	NET	0AVG	BGHT 20046.88				1,800.00	0.00
20JUL17	B	2	PD20OCT17	20105.00	20730.00	USD	6,250.00	
20JUL17	B	1	PD20OCT17	20100.00	20730.00	USD	3,150.00	
20JUL17	B	1	PD20OCT17	20085.00	20730.00	USD	3,225.00	
20JUL17	B	1	PD20OCT17	20075.00	20730.00	USD	3,275.00	
20JUL17	S	1	PD20OCT17	20105.00	20730.00	USD	-3,125.00	
20JUL17	B	1	PD20OCT17	20080.00	20730.00	USD	3,250.00	
20JUL17	S	1	PD20OCT17	20095.00	20730.00	USD	-3,175.00	
21JUL17	S	1	PD20OCT17	20120.00	20730.00	USD	-3,050.00	
21JUL17	B	2	PD20OCT17	20100.00	20730.00	USD	6,300.00	
21JUL17	S	1	PD20OCT17	20125.00	20730.00	USD	-3,025.00	
21JUL17	B	2	PD20OCT17	20150.00	20730.00	USD	5,800.00	
21JUL17	S	1	PD20OCT17	20180.00	20730.00	USD	-2,750.00	
21JUL17	B	2	PD20OCT17	20130.00	20730.00	USD	6,000.00	
21JUL17	S	1	PD20OCT17	20160.00	20730.00	USD	-2,850.00	
21JUL17	B	1	PD20OCT17	20135.00	20730.00	USD	2,975.00	
21JUL17	S	1	PD20OCT17	20155.00	20730.00	USD	-2,875.00	
21JUL17	S	1	PD20OCT17	20140.00	20730.00	USD	-2,950.00	
21JUL17	S	1	PD20OCT17	20170.00	20730.00	USD	-2,800.00	
21JUL17	B	1	PD20OCT17	20200.00	20730.00	USD	2,650.00	
21JUL17	S	1	PD20OCT17	20235.00	20730.00	USD	-2,475.00	
21JUL17	S	1	PD20OCT17	20245.00	20730.00	USD	-2,425.00	
08AUG17	S	3	PD20OCT17	20224.60	20730.00	USD	-7,581.00	

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	NET	0 AVG	BGHT	20117.50	SOLD	20171.70		3,794.00	0.00
24JUL17	S	1	PD240CT17		20225.00	20725.00	USD	-2,500.00	
24JUL17	S	1	PD240CT17		20250.00	20725.00	USD	-2,375.00	
24JUL17	B	2	PD240CT17		20230.00	20725.00	USD	4,950.00	
24JUL17	B	2	PD240CT17		20225.00	20725.00	USD	5,000.00	
24JUL17	B	3	PD240CT17		20160.00	20725.00	USD	8,475.00	
24JUL17	S	1	PD240CT17		20160.00	20725.00	USD	-2,825.00	
24JUL17	S	1	PD240CT17		20170.00	20725.00	USD	-2,775.00	
24JUL17	S	1	PD240CT17		20195.00	20725.00	USD	-2,650.00	
24JUL17	S	3	PD240CT17		20230.00	20725.00	USD	-7,425.00	
08AUG17	B	1	PD240CT17		20223.20	20725.00	USD	2,509.00	
	NET	0 AVG	BGHT	20201.65	SOLD	20211.25		384.00	0.00
25JUL17	B	1	PD250CT17		20250.00	20722.50	USD	2,362.50	
25JUL17	B	1	PD250CT17		20255.00	20722.50	USD	2,337.50	
25JUL17	B	1	PD250CT17		20160.00	20722.50	USD	2,812.50	
25JUL17	S	1	PD250CT17		20295.00	20722.50	USD	-2,137.50	
25JUL17	S	1	PD250CT17		20340.00	20722.50	USD	-1,912.50	
25JUL17	S	2	PD250CT17		20350.00	20722.50	USD	-3,725.00	
08AUG17	B	1	PD250CT17		20222.00	20722.50	USD	2,502.50	
	NET	0 AVG	BGHT	20221.75	SOLD	20333.75		2,240.00	0.00
26JUL17	B	1	PD260CT17		20295.00	20720.00	USD	2,125.00	
26JUL17	B	1	PD260CT17		20310.00	20720.00	USD	2,050.00	
26JUL17	S	3	PD260CT17		20275.00	20720.00	USD	-6,675.00	
26JUL17	B	2	PD260CT17		20375.00	20720.00	USD	3,450.00	
26JUL17	S	1	PD260CT17		20375.00	20720.00	USD	-1,725.00	
26JUL17	B	5	PD260CT17		20390.00	20720.00	USD	8,250.00	
26JUL17	S	3	PD260CT17		20370.00	20720.00	USD	-5,250.00	
26JUL17	S	3	PD260CT17		20385.00	20720.00	USD	-5,025.00	
08AUG17	B	1	PD260CT17		20220.80	20720.00	USD	2,496.00	
	NET	0 AVG	BGHT	20352.58	SOLD	20346.50		-304.00	0.00
27JUL17	B	1	PD270CT17		20605.00	20717.50	USD	562.50	
27JUL17	S	1	PD270CT17		20585.00	20717.50	USD	-662.50	
27JUL17	S	1	PD270CT17		20595.00	20717.50	USD	-612.50	
27JUL17	B	1	PD270CT17		20625.00	20717.50	USD	462.50	
27JUL17	S	1	PD270CT17		20695.00	20717.50	USD	-112.50	
27JUL17	B	1	PD270CT17		20645.00	20717.50	USD	362.50	
27JUL17	B	1	PD270CT17		20720.00	20717.50	USD	-12.50	
27JUL17	S	1	PD270CT17		20745.00	20717.50	USD	137.50	
27JUL17	S	3	PD270CT17		20740.00	20717.50	USD	337.50	
27JUL17	B	1	PD270CT17		20675.00	20717.50	USD	212.50	
27JUL17	S	3	PD270CT17		20755.00	20717.50	USD	562.50	
27JUL17	B	3	PD270CT17		20655.00	20717.50	USD	937.50	
27JUL17	B	3	PD270CT17		20680.00	20717.50	USD	562.50	
27JUL17	S	1	PD270CT17		20655.00	20717.50	USD	-312.50	
27JUL17	B	1	PD270CT17		20670.00	20717.50	USD	237.50	
27JUL17	B	1	PD270CT17		20575.00	20717.50	USD	712.50	
27JUL17	B	2	PD270CT17		20615.00	20717.50	USD	1,025.00	
27JUL17	S	2	PD270CT17		20680.00	20717.50	USD	-375.00	
27JUL17	S	1	PD270CT17		20700.00	20717.50	USD	-87.50	
28JUL17	B	1	PD270CT17		20630.00	20717.50	USD	437.50	
28JUL17	B	1	PD270CT17		20685.00	20717.50	USD	162.50	
28JUL17	S	2	PD270CT17		20725.00	20717.50	USD	75.00	
28JUL17	S	1	PD270CT17		20755.00	20717.50	USD	187.50	
28JUL17	B	2	PD270CT17		20855.00	20717.50	USD	-1,375.00	
28JUL17	S	1	PD270CT17		20900.00	20717.50	USD	912.50	
28JUL17	S	1	PD270CT17		20855.00	20717.50	USD	687.50	

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SAP0094R

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backoffice@sapiencap.com

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	<u>LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
28JUL17	B	1	PD27OCT17	20805.00	20717.50	USD	-437.50	
28JUL17	B	1	PD27OCT17	20770.00	20717.50	USD	-262.50	
28JUL17	B	1	PD27OCT17	20745.00	20717.50	USD	-137.50	
28JUL17	B	1	PD27OCT17	20625.00	20717.50	USD	462.50	
28JUL17	B	1	PD27OCT17	20675.00	20717.50	USD	212.50	
28JUL17	B	1	PD27OCT17	20710.00	20717.50	USD	37.50	
28JUL17	B	1	PD27OCT17	20590.00	20717.50	USD	637.50	
28JUL17	B	1	PD27OCT17	20605.00	20717.50	USD	562.50	
28JUL17	S	3	PD27OCT17	20650.00	20717.50	USD	-1,012.50	
28JUL17	S	1	PD27OCT17	20675.00	20717.50	USD	-212.50	
28JUL17	S	1	PD27OCT17	20680.00	20717.50	USD	-187.50	
28JUL17	S	1	PD27OCT17	20695.00	20717.50	USD	-112.50	
28JUL17	B	1	PD27OCT17	20650.00	20717.50	USD	337.50	
28JUL17	S	2	PD27OCT17	20690.00	20717.50	USD	-275.00	
28JUL17	B	1	PD27OCT17	20665.00	20717.50	USD	262.50	
28JUL17	S	1	PD27OCT17	20660.00	20717.50	USD	-287.50	
08AUG17	S	1	PD27OCT17	20218.60	20717.50	USD	-2,494.50	
	NET	0 AVG BGHT 20676.38	SOLD 20690.99				2,118.00	0.00
31JUL17	B	1	PD31OCT17	20800.00	20712.50	USD	-437.50	
31JUL17	B	2	PD31OCT17	20710.00	20712.50	USD	25.00	
31JUL17	B	1	PD31OCT17	20755.00	20712.50	USD	-212.50	
31JUL17	B	1	PD31OCT17	20625.00	20712.50	USD	437.50	
31JUL17	S	1	PD31OCT17	20645.00	20712.50	USD	-337.50	
31JUL17	S	1	PD31OCT17	20690.00	20712.50	USD	-112.50	
31JUL17	S	1	PD31OCT17	20710.00	20712.50	USD	-12.50	
31JUL17	B	2	PD31OCT17	20655.00	20712.50	USD	575.00	
31JUL17	S	1	PD31OCT17	20720.00	20712.50	USD	37.50	
08AUG17	S	2	PD31OCT17	20216.20	20712.50	USD	-4,963.00	
08AUG17	S	1	PD31OCT17	20217.20	20712.50	USD	-2,476.50	
	NET	0 AVG BGHT 20701.43	SOLD 20487.80				-7,477.00	0.00
01AUG17	B	1	PD01NOV17	20620.00	20710.00	USD	450.00	
01AUG17	S	2	PD01NOV17	20620.00	20710.00	USD	-900.00	
01AUG17	B	1	PD01NOV17	20515.00	20710.00	USD	975.00	
01AUG17	B	1	PD01NOV17	20615.00	20710.00	USD	475.00	
01AUG17	S	1	PD01NOV17	20550.00	20710.00	USD	-800.00	
01AUG17	B	2	PD01NOV17	20465.00	20710.00	USD	2,450.00	
01AUG17	B	1	PD01NOV17	20405.00	20710.00	USD	1,525.00	
01AUG17	S	1	PD01NOV17	20450.00	20710.00	USD	-1,300.00	
01AUG17	S	1	PD01NOV17	20485.00	20710.00	USD	-1,125.00	
01AUG17	S	1	PD01NOV17	20510.00	20710.00	USD	-1,000.00	
01AUG17	S	1	PD01NOV17	20525.00	20710.00	USD	-925.00	
01AUG17	B	1	PD01NOV17	20495.00	20710.00	USD	1,075.00	
	NET	0 AVG BGHT 20511.43	SOLD 20537.14				900.00	0.00
02AUG17	B	1	PD02NOV17	20455.00	20707.50	USD	1,262.50	
02AUG17	S	1	PD02NOV17	20495.00	20707.50	USD	-1,062.50	
	NET	0 AVG BGHT 20455.00	SOLD 20495.00				200.00	0.00
03AUG17	B	1	PD03NOV17	20545.00	20705.00	USD	800.00	
03AUG17	S	1	PD03NOV17	20595.00	20705.00	USD	-550.00	
03AUG17	S	1	PD03NOV17	20630.00	20705.00	USD	-375.00	
03AUG17	S	2	PD03NOV17	20600.00	20705.00	USD	-1,050.00	
03AUG17	S	1	PD03NOV17	20645.00	20705.00	USD	-300.00	
03AUG17	S	1	PD03NOV17	20670.00	20705.00	USD	-175.00	
04AUG17	B	1	PD03NOV17	20670.00	20705.00	USD	175.00	
04AUG17	S	1	PD03NOV17	20735.00	20705.00	USD	150.00	
04AUG17	B	1	PD03NOV17	20645.00	20705.00	USD	300.00	
04AUG17	S	1	PD03NOV17	20645.00	20705.00	USD	-300.00	

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04AUG17	S	1	PD03NOV17	20795.00	20705.00	USD	450.00	
04AUG17	B	1	PD03NOV17	20665.00	20705.00	USD	200.00	
04AUG17	B	1	PD03NOV17	20700.00	20705.00	USD	25.00	
04AUG17	S	1	PD03NOV17	20685.00	20705.00	USD	-100.00	
04AUG17	S	1	PD03NOV17	20635.00	20705.00	USD	-350.00	
04AUG17	B	1	PD03NOV17	20610.00	20705.00	USD	475.00	
04AUG17	B	2	PD03NOV17	20730.00	20705.00	USD	-250.00	
04AUG17	B	1	PD03NOV17	20680.00	20705.00	USD	125.00	
04AUG17	B	1	PD03NOV17	20685.00	20705.00	USD	100.00	
04AUG17	S	1	PD03NOV17	20665.00	20705.00	USD	-200.00	
04AUG17	S	1	PD03NOV17	20730.00	20705.00	USD	125.00	
04AUG17	B	1	PD03NOV17	20585.00	20705.00	USD	600.00	
04AUG17	B	1	PD03NOV17	20550.00	20705.00	USD	775.00	
04AUG17	B	1	PD03NOV17	20525.00	20705.00	USD	900.00	
04AUG17	B	1	PD03NOV17	20540.00	20705.00	USD	825.00	
04AUG17	S	1	PD03NOV17	20560.00	20705.00	USD	-725.00	
04AUG17	S	1	PD03NOV17	20570.00	20705.00	USD	-675.00	
08AUG17	B	1	PD03NOV17	20214.60	20705.00	USD	2,452.00	
	NET	0 AVG BGHT 20604.97	SOLD 20650.67				3,427.00	0.00
07AUG17	B	1	PD07NOV17	20560.00	20700.00	USD	700.00	
07AUG17	S	1	PD07NOV17	20575.00	20700.00	USD	-625.00	
07AUG17	B	1	PD07NOV17	20590.00	20700.00	USD	550.00	
07AUG17	S	1	PD07NOV17	20585.00	20700.00	USD	-575.00	
07AUG17	B	1	PD07NOV17	20565.00	20700.00	USD	675.00	
07AUG17	S	1	PD07NOV17	20595.00	20700.00	USD	-525.00	
07AUG17	S	1	PD07NOV17	20610.00	20700.00	USD	-450.00	
07AUG17	S	1	PD07NOV17	20685.00	20700.00	USD	-75.00	
07AUG17	S	1	PD07NOV17	20600.00	20700.00	USD	-500.00	
07AUG17	S	1	PD07NOV17	20690.00	20700.00	USD	-50.00	
07AUG17	B	1	PD07NOV17	20625.00	20700.00	USD	375.00	
07AUG17	B	3	PD07NOV17	20605.00	20700.00	USD	1,425.00	
	NET	0 AVG BGHT 20593.57	SOLD 20620.00				925.00	0.00
08AUG17	B	2	PD08NOV17	20440.00	20697.50	USD	2,575.00	
08AUG17	S	1	PD08NOV17	20530.00	20697.50	USD	-837.50	
08AUG17	B	1	PD08NOV17	20510.00	20697.50	USD	937.50	
08AUG17	B	1	PD08NOV17	20475.00	20697.50	USD	1,112.50	
08AUG17	S	1	PD08NOV17	20320.00	20697.50	USD	-1,887.50	
08AUG17	B	1	PD08NOV17	20235.00	20697.50	USD	2,312.50	
08AUG17	S	5	PD08NOV17	20255.00	20697.50	USD	-11,062.50	
08AUG17	B	1	PD08NOV17	20215.00	20697.50	USD	2,412.50	
08AUG17	B	2	PD08NOV17	20210.00	20697.50	USD	4,875.00	
08AUG17	S	1	PD08NOV17	20195.00	20697.50	USD	-2,512.50	
08AUG17	B	1	PD08NOV17	20220.00	20697.50	USD	2,387.50	
08AUG17	B	2	PD08NOV17	20160.00	20697.50	USD	5,375.00	
08AUG17	B	1	PD08NOV17	20325.00	20697.50	USD	1,862.50	
08AUG17	B	1	PD08NOV17	20430.00	20697.50	USD	1,337.50	
08AUG17	B	1	PD08NOV17	20465.00	20697.50	USD	1,162.50	
08AUG17	S	2	PD08NOV17	20265.00	20697.50	USD	-4,325.00	
08AUG17	B	1	PD08NOV17	20270.00	20697.50	USD	2,137.50	
08AUG17	S	1	PD08NOV17	20330.00	20697.50	USD	-1,837.50	
08AUG17	S	1	PD08NOV17	20345.00	20697.50	USD	-1,762.50	
12OCT17	S	3	PD08NOV17	20827.50	20697.50	USD	1,950.00	
	NET	0 AVG BGHT 20317.67	SOLD 20400.50				6,212.50	0.00
09AUG17	B	1	PD09NOV17	20410.00	20695.00	USD	1,425.00	
09AUG17	B	1	PD09NOV17	20415.00	20695.00	USD	1,400.00	
09AUG17	S	2	PD09NOV17	20410.00	20695.00	USD	-2,850.00	

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09AUG17	B	2	PD09NOV17	20420.00	20695.00	USD	2,750.00	
09AUG17	S	2	PD09NOV17	20390.00	20695.00	USD	-3,050.00	
09AUG17	B	1	PD09NOV17	20370.00	20695.00	USD	1,625.00	
09AUG17	B	1	PD09NOV17	20315.00	20695.00	USD	1,900.00	
09AUG17	S	1	PD09NOV17	20265.00	20695.00	USD	-2,150.00	
09AUG17	B	1	PD09NOV17	20235.00	20695.00	USD	2,300.00	
09AUG17	B	1	PD09NOV17	20365.00	20695.00	USD	1,650.00	
12OCT17	S	3	PD09NOV17	20825.00	20695.00	USD	1,950.00	
	NET	0AVG BGHT 20368.75	SOLD 20542.50				6,950.00	0.00
10AUG17	B	1	PD10NOV17	20400.00	20692.50	USD	1,462.50	
10AUG17	S	1	PD10NOV17	20390.00	20692.50	USD	-1,512.50	
10AUG17	S	1	PD10NOV17	20395.00	20692.50	USD	-1,487.50	
10AUG17	B	1	PD10NOV17	20355.00	20692.50	USD	1,687.50	
10AUG17	S	1	PD10NOV17	20365.00	20692.50	USD	-1,637.50	
10AUG17	S	1	PD10NOV17	20260.00	20692.50	USD	-2,162.50	
10AUG17	S	1	PD10NOV17	20375.00	20692.50	USD	-1,587.50	
10AUG17	S	1	PD10NOV17	20305.00	20692.50	USD	-1,937.50	
10AUG17	S	1	PD10NOV17	20290.00	20692.50	USD	-2,012.50	
10AUG17	B	1	PD10NOV17	20240.00	20692.50	USD	2,262.50	
10AUG17	S	1	PD10NOV17	20240.00	20692.50	USD	-2,262.50	
10AUG17	S	1	PD10NOV17	20445.00	20692.50	USD	-1,237.50	
10AUG17	B	1	PD10NOV17	20360.00	20692.50	USD	1,662.50	
10AUG17	B	1	PD10NOV17	20300.00	20692.50	USD	1,962.50	
10AUG17	B	1	PD10NOV17	20305.00	20692.50	USD	1,937.50	
11AUG17	S	1	PD10NOV17	20295.00	20692.50	USD	-1,987.50	
11AUG17	S	1	PD10NOV17	20370.00	20692.50	USD	-1,612.50	
11AUG17	B	2	PD10NOV17	20305.00	20692.50	USD	3,875.00	
11AUG17	B	2	PD10NOV17	20290.00	20692.50	USD	4,025.00	
11AUG17	S	1	PD10NOV17	20330.00	20692.50	USD	-1,812.50	
11AUG17	B	1	PD10NOV17	20320.00	20692.50	USD	1,862.50	
12OCT17	B	1	PD10NOV17	20823.50	20692.50	USD	-655.00	
	NET	0AVG BGHT 20357.79	SOLD 20338.33				-1,167.50	0.00
14AUG17	S	1	PD14NOV17	20295.00	20687.50	USD	-1,962.50	
14AUG17	S	1	PD14NOV17	20310.00	20687.50	USD	-1,887.50	
14AUG17	B	1	PD14NOV17	20310.00	20687.50	USD	1,887.50	
14AUG17	B	1	PD14NOV17	20320.00	20687.50	USD	1,837.50	
14AUG17	B	2	PD14NOV17	20270.00	20687.50	USD	4,175.00	
14AUG17	B	1	PD14NOV17	20275.00	20687.50	USD	2,062.50	
14AUG17	S	1	PD14NOV17	20300.00	20687.50	USD	-1,937.50	
14AUG17	S	2	PD14NOV17	20320.00	20687.50	USD	-3,675.00	
14AUG17	B	1	PD14NOV17	20315.00	20687.50	USD	1,862.50	
14AUG17	S	1	PD14NOV17	20315.00	20687.50	USD	-1,862.50	
14AUG17	S	1	PD14NOV17	20330.00	20687.50	USD	-1,787.50	
14AUG17	S	1	PD14NOV17	20325.00	20687.50	USD	-1,812.50	
12OCT17	B	2	PD14NOV17	20818.50	20687.50	USD	-1,310.00	
	NET	0AVG BGHT 20424.63	SOLD 20314.38				-4,410.00	0.00
15AUG17	S	1	PD15NOV17	20355.00	20685.00	USD	-1,650.00	
15AUG17	B	1	PD15NOV17	20270.00	20685.00	USD	2,075.00	
15AUG17	B	1	PD15NOV17	20250.00	20685.00	USD	2,175.00	
15AUG17	B	2	PD15NOV17	19710.00	20685.00	USD	9,750.00	
15AUG17	B	1	PD15NOV17	20190.00	20685.00	USD	2,475.00	
15AUG17	S	1	PD15NOV17	19980.00	20685.00	USD	-3,525.00	
15AUG17	B	1	PD15NOV17	19810.00	20685.00	USD	4,375.00	
15AUG17	S	1	PD15NOV17	19895.00	20685.00	USD	-3,950.00	
15AUG17	S	1	PD15NOV17	19985.00	20685.00	USD	-3,500.00	
12OCT17	S	2	PD15NOV17	20815.00	20685.00	USD	1,300.00	

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	NET	0 AVG	BGHT 19990.00	SOLD 20307.50			9,525.00	0.00
16AUG17	S	1	PD16NOV17	19970.00	20683.13	USD	-3,565.65	
16AUG17	S	1	PD16NOV17	20010.00	20683.13	USD	-3,365.65	
16AUG17	S	2	PD16NOV17	20095.00	20683.13	USD	-5,881.30	
16AUG17	B	1	PD16NOV17	20015.00	20683.13	USD	3,340.65	
16AUG17	S	1	PD16NOV17	20015.00	20683.13	USD	-3,340.65	
16AUG17	S	2	PD16NOV17	20045.00	20683.13	USD	-6,381.30	
16AUG17	S	1	PD16NOV17	20065.00	20683.13	USD	-3,090.65	
16AUG17	B	3	PD16NOV17	19970.00	20683.13	USD	10,696.95	
16AUG17	B	2	PD16NOV17	20060.00	20683.13	USD	6,231.30	
16AUG17	S	2	PD16NOV17	20040.00	20683.13	USD	-6,431.30	
16AUG17	B	1	PD16NOV17	20045.00	20683.13	USD	3,190.65	
12OCT17	B	3	PD16NOV17	20814.13	20683.13	USD	-1,965.00	
	NET	0 AVG	BGHT 20253.24	SOLD 20042.00			-10,561.95	0.00
17AUG17	S	1	PD17NOV17	20295.00	20681.25	USD	-1,931.25	
17AUG17	B	1	PD17NOV17	20140.00	20681.25	USD	2,706.25	
17AUG17	B	1	PD17NOV17	20020.00	20681.25	USD	3,306.25	
17AUG17	S	1	PD17NOV17	20180.00	20681.25	USD	-2,506.25	
17AUG17	B	1	PD17NOV17	20150.00	20681.25	USD	2,656.25	
17AUG17	S	1	PD17NOV17	20110.00	20681.25	USD	-2,856.25	
18AUG17	S	1	PD17NOV17	20295.00	20681.25	USD	-1,931.25	
18AUG17	S	1	PD17NOV17	20280.00	20681.25	USD	-2,006.25	
18AUG17	B	4	PD17NOV17	20300.00	20681.25	USD	7,625.00	
18AUG17	S	1	PD17NOV17	20335.00	20681.25	USD	-1,731.25	
18AUG17	B	1	PD17NOV17	20260.00	20681.25	USD	2,106.25	
18AUG17	S	2	PD17NOV17	20305.00	20681.25	USD	-3,762.50	
18AUG17	B	1	PD17NOV17	20225.00	20681.25	USD	2,281.25	
18AUG17	B	2	PD17NOV17	20160.00	20681.25	USD	5,212.50	
18AUG17	B	1	PD17NOV17	20175.00	20681.25	USD	2,531.25	
18AUG17	S	1	PD17NOV17	20155.00	20681.25	USD	-2,631.25	
18AUG17	B	1	PD17NOV17	20200.00	20681.25	USD	2,406.25	
18AUG17	S	1	PD17NOV17	20230.00	20681.25	USD	-2,256.25	
18AUG17	S	1	PD17NOV17	20240.00	20681.25	USD	-2,206.25	
18AUG17	S	1	PD17NOV17	20255.00	20681.25	USD	-2,131.25	
18AUG17	S	2	PD17NOV17	20270.00	20681.25	USD	-4,112.50	
18AUG17	B	2	PD17NOV17	20250.00	20681.25	USD	4,312.50	
18AUG17	S	1	PD17NOV17	20250.00	20681.25	USD	-2,156.25	
	NET	0 AVG	BGHT 20212.67	SOLD 20251.67			2,925.00	0.00
21AUG17	S	1	PD21NOV17	20375.00	20677.50	USD	-1,512.50	
21AUG17	B	1	PD21NOV17	20315.00	20677.50	USD	1,812.50	
21AUG17	S	1	PD21NOV17	20315.00	20677.50	USD	-1,812.50	
21AUG17	S	1	PD21NOV17	20410.00	20677.50	USD	-1,337.50	
21AUG17	B	1	PD21NOV17	20395.00	20677.50	USD	1,412.50	
21AUG17	S	1	PD21NOV17	20380.00	20677.50	USD	-1,487.50	
21AUG17	S	1	PD21NOV17	20435.00	20677.50	USD	-1,212.50	
21AUG17	B	2	PD21NOV17	20480.00	20677.50	USD	1,975.00	
21AUG17	S	1	PD21NOV17	20460.00	20677.50	USD	-1,087.50	
21AUG17	S	1	PD21NOV17	20480.00	20677.50	USD	-987.50	
21AUG17	B	1	PD21NOV17	20465.00	20677.50	USD	1,062.50	
12OCT17	B	2	PD21NOV17	20808.50	20677.50	USD	-1,310.00	
	NET	0 AVG	BGHT 20536.00	SOLD 20407.86			-4,485.00	0.00
22AUG17	B	1	PD22NOV17	20440.00	20675.63	USD	1,178.15	
22AUG17	B	1	PD22NOV17	20475.00	20675.63	USD	1,003.15	
22AUG17	B	2	PD22NOV17	20500.00	20675.63	USD	1,756.30	
22AUG17	S	1	PD22NOV17	20420.00	20675.63	USD	-1,278.15	
22AUG17	S	1	PD22NOV17	20450.00	20675.63	USD	-1,128.15	

OPEN POSITION STATEMENT



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backoffice@sapiencap.com

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22AUG17	B	3	PD22NOV17	20420.00	20675.63	USD	3,834.45	
22AUG17	S	1	PD22NOV17	20425.00	20675.63	USD	-1,253.15	
22AUG17	B	1	PD22NOV17	20330.00	20675.63	USD	1,728.15	
22AUG17	S	2	PD22NOV17	20275.00	20675.63	USD	-4,006.30	
22AUG17	S	1	PD22NOV17	20495.00	20675.63	USD	-903.15	
22AUG17	S	1	PD22NOV17	20340.00	20675.63	USD	-1,678.15	
22AUG17	S	1	PD22NOV17	20330.00	20675.63	USD	-1,728.15	
22AUG17	B	2	PD22NOV17	20280.00	20675.63	USD	3,956.30	
12OCT17	S	2	PD22NOV17	20805.63	20675.63	USD	1,300.00	
	NET	0AVG	BGHT 20406.50	SOLD 20462.13			2,781.30	0.00
23AUG17	S	1	PD24NOV17	20400.00	20673.75	USD	-1,368.75	
23AUG17	B	1	PD24NOV17	20305.00	20673.75	USD	1,843.75	
23AUG17	B	1	PD24NOV17	20330.00	20673.75	USD	1,718.75	
23AUG17	S	1	PD24NOV17	20370.00	20673.75	USD	-1,518.75	
23AUG17	B	1	PD24NOV17	20325.00	20673.75	USD	1,743.75	
23AUG17	S	1	PD24NOV17	20410.00	20673.75	USD	-1,318.75	
23AUG17	B	2	PD24NOV17	20335.00	20673.75	USD	3,387.50	
23AUG17	B	2	PD24NOV17	20400.00	20673.75	USD	2,737.50	
23AUG17	B	1	PD24NOV17	20320.00	20673.75	USD	1,768.75	
23AUG17	S	2	PD24NOV17	20320.00	20673.75	USD	-3,537.50	
23AUG17	S	1	PD24NOV17	20335.00	20673.75	USD	-1,693.75	
23AUG17	S	3	PD24NOV17	20390.00	20673.75	USD	-4,256.25	
23AUG17	S	1	PD24NOV17	20380.00	20673.75	USD	-1,468.75	
23AUG17	B	1	PD24NOV17	20365.00	20673.75	USD	1,543.75	
23AUG17	S	1	PD24NOV17	20455.00	20673.75	USD	-1,093.75	
23AUG17	S	1	PD24NOV17	20500.00	20673.75	USD	-868.75	
23AUG17	B	1	PD24NOV17	20515.00	20673.75	USD	793.75	
23AUG17	B	1	PD24NOV17	20460.00	20673.75	USD	1,068.75	
24AUG17	B	3	PD24NOV17	20550.00	20673.75	USD	1,856.25	
24AUG17	S	2	PD24NOV17	20525.00	20673.75	USD	-1,487.50	
24AUG17	S	1	PD24NOV17	20510.00	20673.75	USD	-818.75	
24AUG17	B	1	PD24NOV17	20510.00	20673.75	USD	818.75	
24AUG17	B	1	PD24NOV17	20460.00	20673.75	USD	1,068.75	
24AUG17	B	1	PD24NOV17	20420.00	20673.75	USD	1,268.75	
24AUG17	S	1	PD24NOV17	20485.00	20673.75	USD	-943.75	
24AUG17	S	1	PD24NOV17	20495.00	20673.75	USD	-893.75	
24AUG17	B	1	PD24NOV17	20455.00	20673.75	USD	1,093.75	
24AUG17	B	1	PD24NOV17	20465.00	20673.75	USD	1,043.75	
24AUG17	B	1	PD24NOV17	20475.00	20673.75	USD	993.75	
24AUG17	S	2	PD24NOV17	20465.00	20673.75	USD	-2,087.50	
24AUG17	S	1	PD24NOV17	20490.00	20673.75	USD	-918.75	
24AUG17	S	2	PD24NOV17	20470.00	20673.75	USD	-2,037.50	
24AUG17	B	1	PD24NOV17	20430.00	20673.75	USD	1,218.75	
25AUG17	S	2	PD24NOV17	20375.00	20673.75	USD	-2,987.50	
25AUG17	B	1	PD24NOV17	20385.00	20673.75	USD	1,443.75	
25AUG17	B	1	PD24NOV17	20405.00	20673.75	USD	1,343.75	
25AUG17	B	2	PD24NOV17	20350.00	20673.75	USD	3,237.50	
25AUG17	S	1	PD24NOV17	20345.00	20673.75	USD	-1,643.75	
25AUG17	S	1	PD24NOV17	20360.00	20673.75	USD	-1,568.75	
12OCT17	B	1	PD24NOV17	20803.75	20673.75	USD	-650.00	
	NET	0AVG	BGHT 20432.64	SOLD 20423.65			-1,168.75	0.00
29AUG17	S	1	PD29NOV17	20680.00	20668.13	USD	59.35	
29AUG17	S	1	PD29NOV17	20640.00	20668.13	USD	-140.65	
29AUG17	B	1	PD29NOV17	20545.00	20668.13	USD	615.65	
29AUG17	B	1	PD29NOV17	20555.00	20668.13	USD	565.65	
29AUG17	S	1	PD29NOV17	20595.00	20668.13	USD	-365.65	
29AUG17	S	1	PD29NOV17	20570.00	20668.13	USD	-490.65	

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29AUG17	B	1	PD29NOV17	20430.00	20668.13	USD	1,190.65	
29AUG17	B	1	PD29NOV17	20480.00	20668.13	USD	940.65	
29AUG17	B	1	PD29NOV17	20540.00	20668.13	USD	640.65	
29AUG17	S	1	PD29NOV17	20490.00	20668.13	USD	-890.65	
29AUG17	B	1	PD29NOV17	20615.00	20668.13	USD	265.65	
29AUG17	B	2	PD29NOV17	20500.00	20668.13	USD	1,681.30	
29AUG17	S	1	PD29NOV17	20450.00	20668.13	USD	-1,090.65	
29AUG17	S	1	PD29NOV17	20470.00	20668.13	USD	-990.65	
29AUG17	S	1	PD29NOV17	20495.00	20668.13	USD	-865.65	
29AUG17	B	1	PD29NOV17	20420.00	20668.13	USD	1,240.65	
29AUG17	B	1	PD29NOV17	20365.00	20668.13	USD	1,515.65	
29AUG17	S	2	PD29NOV17	20435.00	20668.13	USD	-2,331.30	
	NET	0 AVG	BGHT 20495.00	SOLD 20526.00			1,550.00	0.00
30AUG17	B	2	PD30NOV17	20350.00	20666.25	USD	3,162.50	
30AUG17	S	1	PD30NOV17	20355.00	20666.25	USD	-1,556.25	
30AUG17	S	2	PD30NOV17	20350.00	20666.25	USD	-3,162.50	
30AUG17	S	1	PD30NOV17	20445.00	20666.25	USD	-1,106.25	
30AUG17	B	1	PD30NOV17	20380.00	20666.25	USD	1,431.25	
30AUG17	B	1	PD30NOV17	20395.00	20666.25	USD	1,356.25	
30AUG17	S	1	PD30NOV17	20440.00	20666.25	USD	-1,131.25	
30AUG17	S	1	PD30NOV17	20490.00	20666.25	USD	-881.25	
30AUG17	S	2	PD30NOV17	20500.00	20666.25	USD	-1,662.50	
30AUG17	S	1	PD30NOV17	20655.00	20666.25	USD	-56.25	
31AUG17	B	1	PD30NOV17	20600.00	20666.25	USD	331.25	
31AUG17	B	1	PD30NOV17	20575.00	20666.25	USD	456.25	
31AUG17	S	1	PD30NOV17	20575.00	20666.25	USD	-456.25	
31AUG17	S	1	PD30NOV17	20620.00	20666.25	USD	-231.25	
31AUG17	B	1	PD30NOV17	20570.00	20666.25	USD	481.25	
31AUG17	S	1	PD30NOV17	20645.00	20666.25	USD	-106.25	
31AUG17	B	5	PD30NOV17	20650.00	20666.25	USD	406.25	
31AUG17	B	1	PD30NOV17	20690.00	20666.25	USD	-118.75	
31AUG17	B	1	PD30NOV17	20695.00	20666.25	USD	-143.75	
31AUG17	S	1	PD30NOV17	20695.00	20666.25	USD	143.75	
31AUG17	S	1	PD30NOV17	20680.00	20666.25	USD	68.75	
	NET	0 AVG	BGHT 20561.07	SOLD 20521.43			-2,775.00	0.00
01SEP17	B	2	PD01DEC17	20745.00	20664.38	USD	-806.20	
01SEP17	S	1	PD01DEC17	20725.00	20664.38	USD	303.10	
01SEP17	S	1	PD01DEC17	20740.00	20664.38	USD	378.10	
01SEP17	S	1	PD01DEC17	20685.00	20664.38	USD	103.10	
01SEP17	S	1	PD01DEC17	20705.00	20664.38	USD	203.10	
01SEP17	B	1	PD01DEC17	20690.00	20664.38	USD	-128.10	
01SEP17	B	1	PD01DEC17	20665.00	20664.38	USD	-3.10	
01SEP17	B	1	PD01DEC17	20640.00	20664.38	USD	121.90	
01SEP17	S	1	PD01DEC17	20640.00	20664.38	USD	-121.90	
01SEP17	S	1	PD01DEC17	20675.00	20664.38	USD	53.10	
01SEP17	S	1	PD01DEC17	20700.00	20664.38	USD	178.10	
12OCT17	B	2	PD01DEC17	20795.38	20664.38	USD	-1,310.00	
	NET	0 AVG	BGHT 20725.11	SOLD 20695.71			-1,028.80	0.00
04SEP17	B	2	PD04DEC17	20715.00	20662.50	USD	-525.00	
12OCT17	S	1	PD04DEC17	20791.50	20662.50	USD	645.00	
12OCT17	S	1	PD04DEC17	20792.50	20662.50	USD	650.00	
	NET	0 AVG	BGHT 20715.00	SOLD 20792.00			770.00	0.00
05SEP17	S	1	PD05DEC17	20740.00	20660.63	USD	396.85	
05SEP17	S	1	PD05DEC17	20750.00	20660.63	USD	446.85	
05SEP17	B	1	PD05DEC17	20700.00	20660.63	USD	-196.85	
05SEP17	S	2	PD05DEC17	20710.00	20660.63	USD	493.70	

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05SEP17	S	1	PD05DEC17	20720.00	20660.63	USD	296.85	
05SEP17	S	1	PD05DEC17	20730.00	20660.63	USD	346.85	
05SEP17	B	2	PD05DEC17	20705.00	20660.63	USD	-443.70	
05SEP17	S	1	PD05DEC17	20705.00	20660.63	USD	221.85	
05SEP17	S	1	PD05DEC17	20735.00	20660.63	USD	371.85	
05SEP17	B	1	PD05DEC17	20685.00	20660.63	USD	-121.85	
05SEP17	B	1	PD05DEC17	20690.00	20660.63	USD	-146.85	
05SEP17	B	1	PD05DEC17	20695.00	20660.63	USD	-171.85	
05SEP17	B	1	PD05DEC17	20710.00	20660.63	USD	-246.85	
12OCT17	B	1	PD05DEC17	20791.63	20660.63	USD	-655.00	
	NET	0 AVG BGHT 20710.20	SOLD 20725.00				591.85	0.00
06SEP17	S	1	PD06DEC17	20655.00	20658.75	USD	-18.75	
06SEP17	B	1	PD06DEC17	20650.00	20658.75	USD	43.75	
06SEP17	S	1	PD06DEC17	20665.00	20658.75	USD	31.25	
06SEP17	S	1	PD06DEC17	20640.00	20658.75	USD	-93.75	
06SEP17	S	1	PD06DEC17	20725.00	20658.75	USD	331.25	
06SEP17	S	1	PD06DEC17	20765.00	20658.75	USD	531.25	
12OCT17	B	3	PD06DEC17	20788.75	20658.75	USD	-1,950.00	
	NET	-1 AVG BGHT 20754.06	SOLD 20690.00				-1,125.00	0.00
07SEP17	B	1	PD07DEC17	20750.00	20656.88	USD	-465.60	
07SEP17	B	1	PD07DEC17	20760.00	20656.88	USD	-515.60	
07SEP17	S	1	PD07DEC17	20745.00	20656.88	USD	440.60	
07SEP17	B	1	PD07DEC17	20700.00	20656.88	USD	-215.60	
07SEP17	B	1	PD07DEC17	20740.00	20656.88	USD	-415.60	
07SEP17	B	1	PD07DEC17	20705.00	20656.88	USD	-240.60	
07SEP17	S	1	PD07DEC17	20780.00	20656.88	USD	615.60	
12OCT17	S	3	PD07DEC17	20785.88	20656.88	USD	1,935.00	
	NET	0 AVG BGHT 20731.00	SOLD 20776.53				1,138.20	0.00
08SEP17	B	1	PD08DEC17	20800.00	20655.00	USD	-725.00	
08SEP17	S	1	PD08DEC17	20755.00	20655.00	USD	500.00	
08SEP17	S	1	PD08DEC17	20790.00	20655.00	USD	675.00	
08SEP17	B	1	PD08DEC17	20695.00	20655.00	USD	-200.00	
08SEP17	B	1	PD08DEC17	20545.00	20655.00	USD	550.00	
08SEP17	S	1	PD08DEC17	20560.00	20655.00	USD	-475.00	
08SEP17	S	1	PD08DEC17	20500.00	20655.00	USD	-775.00	
	NET	-1 AVG BGHT 20680.00	SOLD 20651.25				-450.00	0.00
11SEP17	S	1	PD11DEC17	20690.00	20653.13	USD	184.35	
11SEP17	B	2	PD11DEC17	20630.00	20653.13	USD	231.30	
11SEP17	B	1	PD11DEC17	20725.00	20653.13	USD	-359.35	
	NET	+2 AVG BGHT 20661.67	SOLD 20690.00				56.30	0.00
12SEP17	S	1	PD12DEC17	20680.00	20651.25	USD	143.75	
12SEP17	B	1	PD12DEC17	20690.00	20651.25	USD	-193.75	
12SEP17	B	1	PD12DEC17	20680.00	20651.25	USD	-143.75	
12SEP17	B	1	PD12DEC17	20700.00	20651.25	USD	-243.75	
12SEP17	S	1	PD12DEC17	20700.00	20651.25	USD	243.75	
12SEP17	B	1	PD12DEC17	20715.00	20651.25	USD	-318.75	
12SEP17	S	2	PD12DEC17	20695.00	20651.25	USD	437.50	
	NET	0 AVG BGHT 20696.25	SOLD 20692.50				-75.00	0.00
13SEP17	S	1	PD13DEC17	20680.00	20649.38	USD	153.10	
13SEP17	B	1	PD13DEC17	20650.00	20649.38	USD	-3.10	
13SEP17	B	1	PD13DEC17	20585.00	20649.38	USD	321.90	
13SEP17	S	2	PD13DEC17	20550.00	20649.38	USD	-993.80	
13SEP17	S	2	PD13DEC17	20605.00	20649.38	USD	-443.80	
	NET	-3 AVG BGHT 20617.50	SOLD 20598.00				-965.70	0.00
14SEP17	B	1	PD14DEC17	20560.00	20647.50	USD	437.50	

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14SEP17	S	2	PD14DEC17	20600.00	20647.50	USD	-475.00	
14SEP17	B	2	PD14DEC17	20575.00	20647.50	USD	725.00	
14SEP17	B	1	PD14DEC17	20595.00	20647.50	USD	262.50	
14SEP17	S	1	PD14DEC17	20575.00	20647.50	USD	-362.50	
14SEP17	S	1	PD14DEC17	20615.00	20647.50	USD	-162.50	
14SEP17	S	1	PD14DEC17	20680.00	20647.50	USD	162.50	
14SEP17	B	3	PD14DEC17	20615.00	20647.50	USD	487.50	
14SEP17	B	1	PD14DEC17	20635.00	20647.50	USD	62.50	
14SEP17	B	1	PD14DEC17	20540.00	20647.50	USD	537.50	
14SEP17	S	1	PD14DEC17	20570.00	20647.50	USD	-387.50	
	NET	+3 AVG BGHT	20591.67	SOLD	20606.67		1,287.50	0.00
15SEP17	S	1	PD15DEC17	20560.00	20645.63	USD	-428.15	
15SEP17	B	1	PD15DEC17	20580.00	20645.63	USD	328.15	
15SEP17	S	1	PD15DEC17	20610.00	20645.63	USD	-178.15	
15SEP17	B	1	PD15DEC17	20565.00	20645.63	USD	403.15	
15SEP17	S	1	PD15DEC17	20505.00	20645.63	USD	-703.15	
15SEP17	S	2	PD15DEC17	20500.00	20645.63	USD	-1,456.30	
15SEP17	B	1	PD15DEC17	20505.00	20645.63	USD	703.15	
15SEP17	B	1	PD15DEC17	20500.00	20645.63	USD	728.15	
	NET	-1 AVG BGHT	20537.50	SOLD	20535.00		-603.15	0.00
18SEP17	S	1	PD18DEC17	20735.00	20643.75	USD	456.25	
18SEP17	B	1	PD18DEC17	20585.00	20643.75	USD	293.75	
18SEP17	S	1	PD18DEC17	20670.00	20643.75	USD	131.25	
18SEP17	B	2	PD18DEC17	20635.00	20643.75	USD	87.50	
	NET	+1 AVG BGHT	20618.33	SOLD	20702.50		968.75	0.00
19SEP17	S	1	PD19DEC17	20620.00	20641.88	USD	-109.40	
19SEP17	S	1	PD19DEC17	20675.00	20641.88	USD	165.60	
19SEP17	B	1	PD19DEC17	20650.00	20641.88	USD	-40.60	
19SEP17	S	1	PD19DEC17	20700.00	20641.88	USD	290.60	
19SEP17	B	1	PD19DEC17	20705.00	20641.88	USD	-315.60	
19SEP17	B	2	PD19DEC17	20695.00	20641.88	USD	-531.20	
19SEP17	B	1	PD19DEC17	20700.00	20641.88	USD	-290.60	
19SEP17	B	2	PD19DEC17	20670.00	20641.88	USD	-281.20	
19SEP17	S	1	PD19DEC17	20680.00	20641.88	USD	190.60	
19SEP17	S	1	PD19DEC17	20665.00	20641.88	USD	115.60	
19SEP17	S	1	PD19DEC17	20685.00	20641.88	USD	215.60	
	NET	+1 AVG BGHT	20683.57	SOLD	20670.83		-590.60	0.00
20SEP17	B	1	PD20DEC17	20770.00	20640.00	USD	-650.00	
20SEP17	S	1	PD20DEC17	20725.00	20640.00	USD	425.00	
20SEP17	S	1	PD20DEC17	20720.00	20640.00	USD	400.00	
20SEP17	S	1	PD20DEC17	20745.00	20640.00	USD	525.00	
20SEP17	S	1	PD20DEC17	20760.00	20640.00	USD	600.00	
20SEP17	B	1	PD20DEC17	20655.00	20640.00	USD	-75.00	
20SEP17	B	1	PD20DEC17	20665.00	20640.00	USD	-125.00	
	NET	-1 AVG BGHT	20696.67	SOLD	20737.50		1,100.00	0.00
21SEP17	S	1	PD21DEC17	20595.00	20637.14	USD	-210.70	
21SEP17	B	1	PD21DEC17	20550.00	20637.14	USD	435.70	
21SEP17	B	1	PD21DEC17	20575.00	20637.14	USD	310.70	
21SEP17	S	1	PD21DEC17	20625.00	20637.14	USD	-60.70	
21SEP17	S	1	PD21DEC17	20470.00	20637.14	USD	-835.70	
21SEP17	S	1	PD21DEC17	20475.00	20637.14	USD	-810.70	
21SEP17	S	1	PD21DEC17	20420.00	20637.14	USD	-1,085.70	
21SEP17	B	1	PD21DEC17	20415.00	20637.14	USD	1,110.70	
	NET	-2 AVG BGHT	20513.33	SOLD	20517.00		-1,146.40	0.00
22SEP17	S	1	PD22DEC17	20495.00	20634.29	USD	-696.45	
22SEP17	S	1	PD22DEC17	20450.00	20634.29	USD	-921.45	

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	<u>LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
22SEP17	S	1	PD22DEC17	20465.00	20634.29	USD	-846.45	
	NET	-3AVG SOLD	20470.00				-2,464.35	0.00
25SEP17	B	1	PD27DEC17	20590.00	20631.43	USD	207.15	
25SEP17	B	2	PD27DEC17	20585.00	20631.43	USD	464.30	
25SEP17	B	1	PD27DEC17	20605.00	20631.43	USD	132.15	
25SEP17	S	1	PD27DEC17	20600.00	20631.43	USD	-157.15	
25SEP17	B	1	PD27DEC17	20655.00	20631.43	USD	-117.85	
25SEP17	B	1	PD27DEC17	20630.00	20631.43	USD	7.15	
25SEP17	S	1	PD27DEC17	20675.00	20631.43	USD	217.85	
25SEP17	S	1	PD27DEC17	20690.00	20631.43	USD	292.85	
25SEP17	S	1	PD27DEC17	20725.00	20631.43	USD	467.85	
25SEP17	B	1	PD27DEC17	20645.00	20631.43	USD	-67.85	
26SEP17	B	1	PD27DEC17	20705.00	20631.43	USD	-367.85	
26SEP17	S	1	PD27DEC17	20680.00	20631.43	USD	242.85	
26SEP17	S	1	PD27DEC17	20705.00	20631.43	USD	367.85	
26SEP17	B	1	PD27DEC17	20625.00	20631.43	USD	32.15	
26SEP17	B	1	PD27DEC17	20630.00	20631.43	USD	7.15	
26SEP17	S	1	PD27DEC17	20650.00	20631.43	USD	92.85	
26SEP17	B	1	PD27DEC17	20650.00	20631.43	USD	-92.85	
26SEP17	B	3	PD27DEC17	20660.00	20631.43	USD	-428.55	
26SEP17	S	2	PD27DEC17	20655.00	20631.43	USD	235.70	
26SEP17	S	2	PD27DEC17	20660.00	20631.43	USD	285.70	
26SEP17	B	3	PD27DEC17	20665.00	20631.43	USD	-503.55	
26SEP17	S	2	PD27DEC17	20695.00	20631.43	USD	635.70	
26SEP17	B	1	PD27DEC17	20655.00	20631.43	USD	-117.85	
26SEP17	S	1	PD27DEC17	20690.00	20631.43	USD	292.85	
27SEP17	B	2	PD27DEC17	20660.00	20631.43	USD	-285.70	
27SEP17	S	1	PD27DEC17	20720.00	20631.43	USD	442.85	
27SEP17	S	1	PD27DEC17	20675.00	20631.43	USD	217.85	
27SEP17	S	2	PD27DEC17	20670.00	20631.43	USD	385.70	
27SEP17	B	1	PD27DEC17	20655.00	20631.43	USD	-117.85	
27SEP17	S	2	PD27DEC17	20685.00	20631.43	USD	535.70	
	NET	+1AVG BGHT	20643.33				3,307.15	0.00
			SOLD 20677.00					
28SEP17	B	1	PD28DEC17	20630.00	20628.57	USD	-7.15	
28SEP17	S	1	PD28DEC17	20690.00	20628.57	USD	307.15	
28SEP17	S	2	PD28DEC17	20700.00	20628.57	USD	714.30	
28SEP17	B	1	PD28DEC17	20700.00	20628.57	USD	-357.15	
28SEP17	B	1	PD28DEC17	20705.00	20628.57	USD	-382.15	
28SEP17	B	1	PD28DEC17	20695.00	20628.57	USD	-332.15	
28SEP17	B	2	PD28DEC17	20680.00	20628.57	USD	-514.30	
28SEP17	B	1	PD28DEC17	20650.00	20628.57	USD	-107.15	
28SEP17	S	1	PD28DEC17	20650.00	20628.57	USD	107.15	
28SEP17	S	1	PD28DEC17	20655.00	20628.57	USD	132.15	
28SEP17	S	1	PD28DEC17	20680.00	20628.57	USD	257.15	
28SEP17	S	1	PD28DEC17	20670.00	20628.57	USD	207.15	
28SEP17	S	1	PD28DEC17	20685.00	20628.57	USD	282.15	
28SEP17	S	1	PD28DEC17	20730.00	20628.57	USD	507.15	
28SEP17	B	1	PD28DEC17	20625.00	20628.57	USD	17.85	
	NET	-1AVG BGHT	20670.63				832.15	0.00
			SOLD 20684.44					
29SEP17	S	1	PD29DEC17	20770.00	20625.71	USD	721.45	
29SEP17	B	1	PD29DEC17	20675.00	20625.71	USD	-246.45	
29SEP17	B	1	PD29DEC17	20685.00	20625.71	USD	-296.45	
29SEP17	B	2	PD29DEC17	20745.00	20625.71	USD	-1,192.90	
29SEP17	S	1	PD29DEC17	20745.00	20625.71	USD	596.45	
29SEP17	S	1	PD29DEC17	20760.00	20625.71	USD	671.45	
29SEP17	B	2	PD29DEC17	20730.00	20625.71	USD	-1,042.90	

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	<u>LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
	NET +3 AVG BGHT 20718.33 SOLD 20758.33				-789.35	0.00
020CT17	B 2 PD02JAN18	20755.00	20622.86	USD	-1,321.40	
	NET +2 AVG BGHT 20755.00				-1,321.40	0.00
050CT17	S 1 PD05JAN18	20905.00	20614.29	USD	1,453.55	
050CT17	S 1 PD05JAN18	20960.00	20614.29	USD	1,728.55	
050CT17	B 1 PD05JAN18	20965.00	20614.29	USD	-1,753.55	
050CT17	S 2 PD05JAN18	20965.00	20614.29	USD	3,507.10	
050CT17	S 3 PD05JAN18	20975.00	20614.29	USD	5,410.65	
050CT17	S 1 PD05JAN18	20985.00	20614.29	USD	1,853.55	
050CT17	S 1 PD05JAN18	21075.00	20614.29	USD	2,303.55	
050CT17	S 1 PD05JAN18	21080.00	20614.29	USD	2,328.55	
050CT17	S 1 PD05JAN18	21110.00	20614.29	USD	2,478.55	
050CT17	B 1 PD05JAN18	21100.00	20614.29	USD	-2,428.55	
050CT17	B 2 PD05JAN18	21180.00	20614.29	USD	-5,657.10	
050CT17	B 1 PD05JAN18	21165.00	20614.29	USD	-2,753.55	
050CT17	S 1 PD05JAN18	21165.00	20614.29	USD	2,753.55	
050CT17	S 3 PD05JAN18	21175.00	20614.29	USD	8,410.65	
050CT17	B 1 PD05JAN18	21095.00	20614.29	USD	-2,403.55	
050CT17	B 1 PD05JAN18	21150.00	20614.29	USD	-2,678.55	
050CT17	S 2 PD05JAN18	21145.00	20614.29	USD	5,307.10	
050CT17	B 1 PD05JAN18	21030.00	20614.29	USD	-2,078.55	
050CT17	B 1 PD05JAN18	21135.00	20614.29	USD	-2,603.55	
050CT17	S 1 PD05JAN18	21135.00	20614.29	USD	2,603.55	
050CT17	B 8 PD05JAN18	20975.00	20614.29	USD	-14,428.40	
050CT17	B 1 PD05JAN18	21045.00	20614.29	USD	-2,153.55	
050CT17	S 2 PD05JAN18	21155.00	20614.29	USD	5,407.10	
050CT17	S 3 PD05JAN18	21050.00	20614.29	USD	6,535.65	
050CT17	B 4 PD05JAN18	21025.00	20614.29	USD	-8,214.20	
050CT17	B 1 PD05JAN18	21090.00	20614.29	USD	-2,378.55	
060CT17	B 1 PD05JAN18	20930.00	20614.29	USD	-1,578.55	
060CT17	B 1 PD05JAN18	20950.00	20614.29	USD	-1,678.55	
060CT17	S 1 PD05JAN18	20965.00	20614.29	USD	1,753.55	
060CT17	B 1 PD05JAN18	20850.00	20614.29	USD	-1,178.55	
060CT17	S 2 PD05JAN18	21015.00	20614.29	USD	4,007.10	
060CT17	S 2 PD05JAN18	20995.00	20614.29	USD	3,807.10	
060CT17	B 1 PD05JAN18	20995.00	20614.29	USD	-1,903.55	
060CT17	B 1 PD05JAN18	21020.00	20614.29	USD	-2,028.55	
060CT17	S 1 PD05JAN18	20775.00	20614.29	USD	803.55	
060CT17	B 1 PD05JAN18	20705.00	20614.29	USD	-453.55	
060CT17	B 1 PD05JAN18	20610.00	20614.29	USD	21.45	
060CT17	S 1 PD05JAN18	20605.00	20614.29	USD	-46.45	
	NET 0AVG BGHT 21003.17 SOLD 21030.33				4,075.00	0.00
090CT17	B 1 PD09JAN18	20815.00	20608.57	USD	-1,032.15	
090CT17	B 1 PD09JAN18	20810.00	20608.57	USD	-1,007.15	
090CT17	S 1 PD09JAN18	20785.00	20608.57	USD	882.15	
090CT17	B 1 PD09JAN18	20820.00	20608.57	USD	-1,057.15	
090CT17	S 1 PD09JAN18	20830.00	20608.57	USD	1,107.15	
090CT17	S 1 PD09JAN18	20815.00	20608.57	USD	1,032.15	
	NET 0AVG BGHT 20815.00 SOLD 20810.00				-75.00	0.00
100CT17	B 1 PD10JAN18	20835.00	20605.71	USD	-1,146.45	
100CT17	B 1 PD10JAN18	20845.00	20605.71	USD	-1,196.45	
100CT17	B 2 PD10JAN18	20850.00	20605.71	USD	-2,442.90	
100CT17	B 2 PD10JAN18	20860.00	20605.71	USD	-2,542.90	
100CT17	S 1 PD10JAN18	20790.00	20605.71	USD	921.45	
100CT17	S 3 PD10JAN18	20870.00	20605.71	USD	3,964.35	
100CT17	S 1 PD10JAN18	20875.00	20605.71	USD	1,346.45	

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10OCT17	S	1	PD10JAN18	20880.00	20605.71	USD	1,371.45	
10OCT17	S	1	PD10JAN18	20890.00	20605.71	USD	1,421.45	
10OCT17	B	1	PD10JAN18	20765.00	20605.71	USD	-796.45	
	NET	0AVG	BGHT 20837.86				900.00	0.00
11OCT17	B	1	PD11JAN18	20695.00	20602.86	USD	-460.70	
11OCT17	B	1	PD11JAN18	20730.00	20602.86	USD	-635.70	
11OCT17	B	1	PD11JAN18	20735.00	20602.86	USD	-660.70	
11OCT17	B	4	PD11JAN18	20745.00	20602.86	USD	-2,842.80	
11OCT17	B	3	PD11JAN18	20750.00	20602.86	USD	-2,207.10	
11OCT17	B	3	PD11JAN18	20755.00	20602.86	USD	-2,282.10	
11OCT17	B	1	PD11JAN18	20760.00	20602.86	USD	-785.70	
11OCT17	B	1	PD11JAN18	20775.00	20602.86	USD	-860.70	
11OCT17	B	1	PD11JAN18	20790.00	20602.86	USD	-935.70	
11OCT17	B	2	PD11JAN18	20795.00	20602.86	USD	-1,921.40	
11OCT17	B	3	PD11JAN18	20800.00	20602.86	USD	-2,957.10	
11OCT17	S	1	PD11JAN18	20725.00	20602.86	USD	610.70	
11OCT17	S	2	PD11JAN18	20745.00	20602.86	USD	1,421.40	
11OCT17	S	1	PD11JAN18	20760.00	20602.86	USD	785.70	
11OCT17	S	2	PD11JAN18	20765.00	20602.86	USD	1,621.40	
11OCT17	S	2	PD11JAN18	20770.00	20602.86	USD	1,671.40	
11OCT17	S	1	PD11JAN18	20785.00	20602.86	USD	910.70	
11OCT17	S	1	PD11JAN18	20790.00	20602.86	USD	935.70	
11OCT17	S	6	PD11JAN18	20800.00	20602.86	USD	5,914.20	
11OCT17	S	3	PD11JAN18	20805.00	20602.86	USD	3,032.10	
11OCT17	S	2	PD11JAN18	20810.00	20602.86	USD	2,071.40	
11OCT17	S	1	PD11JAN18	20815.00	20602.86	USD	1,060.70	
11OCT17	S	1	PD11JAN18	20825.00	20602.86	USD	1,110.70	
11OCT17	B	3	PD11JAN18	20780.00	20602.86	USD	-2,657.10	
11OCT17	S	1	PD11JAN18	20775.00	20602.86	USD	860.70	
	NET	0AVG	BGHT 20762.92				2,800.00	0.00
12OCT17	B	1	PD12JAN18	20780.00	20600.00	USD	-900.00	
12OCT17	S	1	PD12JAN18	20795.00	20600.00	USD	975.00	
13OCT17	S	1	PD12JAN18	20720.00	20600.00	USD	600.00	
13OCT17	B	1	PD12JAN18	20750.00	20600.00	USD	-750.00	
13OCT17	B	1	PD12JAN18	20755.00	20600.00	USD	-775.00	
	NET	+1AVG	BGHT 20761.67				-850.00	0.00
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
17JUL17	S	1	PD17OCT17	2822.00	3294.00	USD	-11,800.00	
17JUL17	S	1	PD17OCT17	2831.50	3294.00	USD	-11,562.50	
17JUL17	S	1	PD17OCT17	2834.00	3294.00	USD	-11,500.00	
17JUL17	S	1	PD17OCT17	2844.00	3294.00	USD	-11,250.00	
17JUL17	B	1	PD17OCT17	2822.00	3294.00	USD	11,800.00	
08AUG17	B	3	PD17OCT17	2924.33	3294.00	USD	27,725.25	
	NET	0AVG	BGHT 2898.75				-6,587.25	0.00
18JUL17	B	1	PD18OCT17	2786.00	3291.00	USD	12,625.00	
18JUL17	S	1	PD18OCT17	2784.00	3291.00	USD	-12,675.00	
	NET	0AVG	BGHT 2786.00				-50.00	0.00
20JUL17	B	1	PD20OCT17	2723.00	3282.00	USD	13,975.00	
20JUL17	B	1	PD20OCT17	2727.00	3282.00	USD	13,875.00	
21JUL17	B	1	PD20OCT17	2764.00	3282.00	USD	12,950.00	
21JUL17	S	1	PD20OCT17	2763.00	3282.00	USD	-12,975.00	
21JUL17	B	1	PD20OCT17	2765.00	3282.00	USD	12,925.00	
21JUL17	S	1	PD20OCT17	2771.00	3282.00	USD	-12,775.00	
21JUL17	B	1	PD20OCT17	2755.00	3282.00	USD	13,175.00	

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08AUG17	S	3	PD200CT17		2922.80	3282.00	USD	-26,940.00	
	NET	0 AVG	BGHT 2746.80	SOLD 2860.48				14,210.00	0.00
24JUL17	S	1	PD240CT17		2783.00	3276.00	USD	-12,325.00	
08AUG17	B	1	PD240CT17		2923.80	3276.00	USD	8,805.00	
	NET	0 AVG	BGHT 2923.80	SOLD 2783.00				-3,520.00	0.00
25JUL17	S	1	PD250CT17		2837.50	3273.00	USD	-10,887.50	
25JUL17	S	1	PD250CT17		2823.00	3273.00	USD	-11,250.00	
25JUL17	B	1	PD250CT17		2820.50	3273.00	USD	11,312.50	
25JUL17	B	1	PD250CT17		2818.50	3273.00	USD	11,362.50	
25JUL17	B	1	PD250CT17		2822.00	3273.00	USD	11,275.00	
08AUG17	S	1	PD250CT17		2923.80	3273.00	USD	-8,730.00	
	NET	0 AVG	BGHT 2820.33	SOLD 2861.43				3,082.50	0.00
26JUL17	B	1	PD260CT17		2849.00	3271.87	USD	10,571.75	
26JUL17	S	1	PD260CT17		2849.00	3271.87	USD	-10,571.75	
26JUL17	B	1	PD260CT17		2818.00	3271.87	USD	11,346.75	
26JUL17	B	1	PD260CT17		2822.00	3271.87	USD	11,246.75	
08AUG17	S	2	PD260CT17		2924.00	3271.87	USD	-17,393.50	
	NET	0 AVG	BGHT 2829.67	SOLD 2899.00				5,200.00	0.00
27JUL17	B	1	PD270CT17		2814.00	3270.73	USD	11,418.25	
28JUL17	S	1	PD270CT17		2770.00	3270.73	USD	-12,518.25	
28JUL17	B	1	PD270CT17		2770.00	3270.73	USD	12,518.25	
28JUL17	S	1	PD270CT17		2772.00	3270.73	USD	-12,468.25	
28JUL17	S	1	PD270CT17		2779.50	3270.73	USD	-12,280.75	
28JUL17	S	1	PD270CT17		2786.50	3270.73	USD	-12,105.75	
28JUL17	B	1	PD270CT17		2785.00	3270.73	USD	12,143.25	
28JUL17	B	2	PD270CT17		2790.00	3270.73	USD	24,036.50	
28JUL17	S	2	PD270CT17		2789.00	3270.73	USD	-24,086.50	
28JUL17	B	2	PD270CT17		2775.50	3270.73	USD	24,761.50	
08AUG17	S	1	PD270CT17		2924.00	3270.73	USD	-8,668.25	
	NET	0 AVG	BGHT 2785.71	SOLD 2801.43				2,750.00	0.00
31JUL17	B	1	PD310CT17		2783.00	3268.47	USD	12,136.75	
31JUL17	S	1	PD310CT17		2780.50	3268.47	USD	-12,199.25	
31JUL17	S	1	PD310CT17		2782.00	3268.47	USD	-12,161.75	
31JUL17	S	1	PD310CT17		2801.50	3268.47	USD	-11,674.25	
31JUL17	B	1	PD310CT17		2816.00	3268.47	USD	11,311.75	
31JUL17	S	1	PD310CT17		2786.00	3268.47	USD	-12,061.75	
31JUL17	S	1	PD310CT17		2827.00	3268.47	USD	-11,036.75	
31JUL17	B	1	PD310CT17		2796.50	3268.47	USD	11,799.25	
31JUL17	B	1	PD310CT17		2821.00	3268.47	USD	11,186.75	
08AUG17	B	1	PD310CT17		2924.20	3268.47	USD	8,606.75	
	NET	0 AVG	BGHT 2828.14	SOLD 2795.40				-4,092.50	0.00
02AUG17	S	1	PD02NOV17		2783.00	3266.20	USD	-12,080.00	
02AUG17	S	1	PD02NOV17		2784.00	3266.20	USD	-12,055.00	
08AUG17	B	2	PD02NOV17		2924.53	3266.20	USD	17,083.50	
	NET	0 AVG	BGHT 2924.53	SOLD 2783.50				-7,051.50	0.00
04AUG17	S	1	PD03NOV17		2823.50	3265.07	USD	-11,039.25	
04AUG17	S	1	PD03NOV17		2833.00	3265.07	USD	-10,801.75	
04AUG17	B	1	PD03NOV17		2826.00	3265.07	USD	10,976.75	
04AUG17	B	1	PD03NOV17		2814.00	3265.07	USD	11,276.75	
	NET	0 AVG	BGHT 2820.00	SOLD 2828.25				412.50	0.00
07AUG17	S	1	PD07NOV17		2875.00	3262.80	USD	-9,695.00	
07AUG17	B	1	PD07NOV17		2868.00	3262.80	USD	9,870.00	
07AUG17	S	1	PD07NOV17		2880.50	3262.80	USD	-9,557.50	
07AUG17	S	1	PD07NOV17		2884.50	3262.80	USD	-9,457.50	
12OCT17	B	2	PD07NOV17		3292.00	3262.80	USD	-1,460.00	

OPEN POSITION STATEMENT



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Date	Open Position				Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>								
	NET	0 AVG	BGHT	3150.67	SOLD	2880.00		-20,300.00	0.00
08AUG17	S	1	PD08NOV17		2924.00	3261.67	USD	-8,441.75	
08AUG17	B	1	PD08NOV17		2925.00	3261.67	USD	8,416.75	
08AUG17	S	1	PD08NOV17		2929.00	3261.67	USD	-8,316.75	
12OCT17	B	1	PD08NOV17		3290.33	3261.67	USD	-716.50	
	NET	0 AVG	BGHT	3107.67	SOLD	2926.50		-9,058.25	0.00
09AUG17	S	1	PD09NOV17		2967.00	3260.53	USD	-7,338.25	
09AUG17	S	1	PD09NOV17		2975.00	3260.53	USD	-7,138.25	
09AUG17	B	2	PD09NOV17		2935.00	3260.53	USD	16,276.50	
09AUG17	S	1	PD09NOV17		2935.00	3260.53	USD	-8,138.25	
12OCT17	B	1	PD09NOV17		3288.67	3260.53	USD	-703.50	
	NET	0 AVG	BGHT	3052.89	SOLD	2959.00		-7,041.75	0.00
10AUG17	S	1	PD10NOV17		2947.00	3259.40	USD	-7,810.00	
10AUG17	B	1	PD10NOV17		2940.50	3259.40	USD	7,972.50	
10AUG17	B	1	PD10NOV17		2924.00	3259.40	USD	8,385.00	
10AUG17	S	1	PD10NOV17		2938.00	3259.40	USD	-8,035.00	
10AUG17	B	1	PD10NOV17		2938.00	3259.40	USD	8,035.00	
10AUG17	S	2	PD10NOV17		2937.00	3259.40	USD	-16,120.00	
10AUG17	B	1	PD10NOV17		2930.50	3259.40	USD	8,222.50	
10AUG17	S	1	PD10NOV17		2931.00	3259.40	USD	-8,210.00	
10AUG17	B	1	PD10NOV17		2931.50	3259.40	USD	8,197.50	
11AUG17	B	1	PD10NOV17		2917.00	3259.40	USD	8,560.00	
11AUG17	S	1	PD10NOV17		2925.00	3259.40	USD	-8,360.00	
11AUG17	S	1	PD10NOV17		2905.00	3259.40	USD	-8,860.00	
11AUG17	B	1	PD10NOV17		2900.00	3259.40	USD	8,985.00	
11AUG17	S	1	PD10NOV17		2900.00	3259.40	USD	-8,985.00	
12OCT17	B	1	PD10NOV17		3287.00	3259.40	USD	-690.00	
	NET	0 AVG	BGHT	2971.06	SOLD	2927.50		-8,712.50	0.00
14AUG17	B	1	PD14NOV17		2897.00	3257.13	USD	9,003.25	
14AUG17	B	1	PD14NOV17		2901.00	3257.13	USD	8,903.25	
14AUG17	S	1	PD14NOV17		2914.50	3257.13	USD	-8,565.75	
14AUG17	B	1	PD14NOV17		2914.50	3257.13	USD	8,565.75	
12OCT17	S	2	PD14NOV17		3283.47	3257.13	USD	1,317.00	
	NET	0 AVG	BGHT	2904.17	SOLD	3160.48		19,223.50	0.00
15AUG17	S	1	PD15NOV17		2933.50	3256.00	USD	-8,062.50	
15AUG17	S	1	PD15NOV17		2936.00	3256.00	USD	-8,000.00	
15AUG17	B	1	PD15NOV17		2932.50	3256.00	USD	8,087.50	
15AUG17	B	1	PD15NOV17		2936.00	3256.00	USD	8,000.00	
15AUG17	S	1	PD15NOV17		2952.00	3256.00	USD	-7,600.00	
15AUG17	S	1	PD15NOV17		2956.00	3256.00	USD	-7,500.00	
15AUG17	B	1	PD15NOV17		2954.50	3256.00	USD	7,537.50	
12OCT17	B	1	PD15NOV17		3282.00	3256.00	USD	-650.00	
	NET	0 AVG	BGHT	3026.25	SOLD	2944.38		-8,187.50	0.00
16AUG17	B	1	PD16NOV17		2953.00	3253.50	USD	7,512.50	
16AUG17	S	1	PD16NOV17		2979.00	3253.50	USD	-6,862.50	
16AUG17	S	1	PD16NOV17		2983.00	3253.50	USD	-6,762.50	
16AUG17	B	2	PD16NOV17		3035.00	3253.50	USD	10,925.00	
16AUG17	S	1	PD16NOV17		3033.00	3253.50	USD	-5,512.50	
16AUG17	S	1	PD16NOV17		3034.00	3253.50	USD	-5,487.50	
16AUG17	B	1	PD16NOV17		3078.00	3253.50	USD	4,387.50	
16AUG17	S	1	PD16NOV17		3084.00	3253.50	USD	-4,237.50	
16AUG17	B	1	PD16NOV17		3114.00	3253.50	USD	3,487.50	
16AUG17	S	1	PD16NOV17		3110.00	3253.50	USD	-3,587.50	
12OCT17	B	1	PD16NOV17		3279.50	3253.50	USD	-650.00	
	NET	0 AVG	BGHT	3082.42	SOLD	3037.17		-6,787.50	0.00

OPEN POSITION STATEMENT



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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
17AUG17	B	1	PD17NOV17	3137.50	3251.00	USD	2,837.50	
17AUG17	S	1	PD17NOV17	3137.00	3251.00	USD	-2,850.00	
17AUG17	B	1	PD17NOV17	3086.00	3251.00	USD	4,125.00	
17AUG17	B	1	PD17NOV17	3076.00	3251.00	USD	4,375.00	
17AUG17	S	2	PD17NOV17	3076.00	3251.00	USD	-8,750.00	
17AUG17	B	2	PD17NOV17	3061.50	3251.00	USD	9,475.00	
17AUG17	S	1	PD17NOV17	3060.00	3251.00	USD	-4,775.00	
17AUG17	S	1	PD17NOV17	3068.50	3251.00	USD	-4,562.50	
18AUG17	B	1	PD17NOV17	3108.00	3251.00	USD	3,575.00	
18AUG17	B	2	PD17NOV17	3110.00	3251.00	USD	7,050.00	
18AUG17	B	1	PD17NOV17	3112.00	3251.00	USD	3,475.00	
18AUG17	S	1	PD17NOV17	3108.00	3251.00	USD	-3,575.00	
18AUG17	B	1	PD17NOV17	3123.00	3251.00	USD	3,200.00	
18AUG17	S	1	PD17NOV17	3129.50	3251.00	USD	-3,037.50	
18AUG17	S	1	PD17NOV17	3109.00	3251.00	USD	-3,550.00	
18AUG17	B	1	PD17NOV17	3121.50	3251.00	USD	3,237.50	
12OCT17	S	3	PD17NOV17	3276.80	3251.00	USD	1,935.00	
	NET	0 AVG BGHT 3100.64	SOLD 3144.95				12,185.00	0.00
21AUG17	B	1	PD21NOV17	3137.00	3249.25	USD	2,806.25	
21AUG17	S	1	PD21NOV17	3140.00	3249.25	USD	-2,731.25	
	NET	0 AVG BGHT 3137.00	SOLD 3140.00				75.00	0.00
22AUG17	B	1	PD22NOV17	3105.50	3248.38	USD	3,572.00	
22AUG17	S	1	PD22NOV17	3107.50	3248.38	USD	-3,522.00	
22AUG17	B	1	PD22NOV17	3101.00	3248.38	USD	3,684.50	
22AUG17	B	1	PD22NOV17	3101.50	3248.38	USD	3,672.00	
22AUG17	S	1	PD22NOV17	3099.50	3248.38	USD	-3,722.00	
22AUG17	S	1	PD22NOV17	3140.00	3248.38	USD	-2,709.50	
22AUG17	S	1	PD22NOV17	3156.00	3248.38	USD	-2,309.50	
22AUG17	B	2	PD22NOV17	3142.00	3248.38	USD	5,319.00	
22AUG17	B	1	PD22NOV17	3144.00	3248.38	USD	2,609.50	
22AUG17	B	1	PD22NOV17	3130.00	3248.38	USD	2,959.50	
22AUG17	S	1	PD22NOV17	3114.00	3248.38	USD	-3,359.50	
22AUG17	S	1	PD22NOV17	3115.00	3248.38	USD	-3,334.50	
22AUG17	S	1	PD22NOV17	3120.00	3248.38	USD	-3,209.50	
22AUG17	B	1	PD22NOV17	3115.00	3248.38	USD	3,334.50	
22AUG17	S	1	PD22NOV17	3109.00	3248.38	USD	-3,484.50	
	NET	0 AVG BGHT 3122.63	SOLD 3120.13				-500.00	0.00
23AUG17	S	1	PD24NOV17	3119.50	3247.50	USD	-3,200.00	
23AUG17	B	1	PD24NOV17	3116.50	3247.50	USD	3,275.00	
23AUG17	B	1	PD24NOV17	3119.50	3247.50	USD	3,200.00	
23AUG17	B	1	PD24NOV17	3090.00	3247.50	USD	3,937.50	
25AUG17	S	1	PD24NOV17	3066.00	3247.50	USD	-4,537.50	
25AUG17	S	1	PD24NOV17	3069.50	3247.50	USD	-4,450.00	
	NET	0 AVG BGHT 3108.67	SOLD 3085.00				-1,775.00	0.00
29AUG17	S	1	PD29NOV17	3092.50	3246.75	USD	-3,856.25	
29AUG17	B	1	PD29NOV17	3110.50	3246.75	USD	3,406.25	
29AUG17	B	1	PD29NOV17	3112.50	3246.75	USD	3,356.25	
29AUG17	S	1	PD29NOV17	3125.00	3246.75	USD	-3,043.75	
	NET	0 AVG BGHT 3111.50	SOLD 3108.75				-137.50	0.00
30AUG17	S	1	PD30NOV17	3145.00	3246.50	USD	-2,537.50	
30AUG17	B	1	PD30NOV17	3107.00	3246.50	USD	3,487.50	
30AUG17	B	1	PD30NOV17	3095.00	3246.50	USD	3,787.50	
30AUG17	B	1	PD30NOV17	3087.50	3246.50	USD	3,975.00	
30AUG17	B	1	PD30NOV17	3090.50	3246.50	USD	3,900.00	
30AUG17	S	1	PD30NOV17	3087.00	3246.50	USD	-3,987.50	
31AUG17	S	1	PD30NOV17	3114.00	3246.50	USD	-3,312.50	

OPEN POSITION STATEMENT



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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
31AUG17	B	1	PD30NOV17	3125.50	3246.50	USD	3,025.00	
31AUG17	S	1	PD30NOV17	3129.00	3246.50	USD	-2,937.50	
31AUG17	S	1	PD30NOV17	3142.00	3246.50	USD	-2,612.50	
31AUG17	S	1	PD30NOV17	3157.50	3246.50	USD	-2,225.00	
31AUG17	B	1	PD30NOV17	3126.00	3246.50	USD	3,012.50	
31AUG17	B	1	PD30NOV17	3135.00	3246.50	USD	2,787.50	
12OCT17	S	1	PD30NOV17	3270.40	3246.50	USD	597.50	
	NET	0 AVG	BGHT 3109.50				6,960.00	0.00
01SEP17	B	1	PD01DEC17	3162.50	3246.25	USD	2,093.75	
12OCT17	S	1	PD01DEC17	3269.80	3246.25	USD	588.75	
	NET	0 AVG	BGHT 3162.50				2,682.50	0.00
06OCT17	S	1	PD05JAN18	3279.50	3236.92	USD	1,064.50	
06OCT17	B	1	PD05JAN18	3266.00	3236.92	USD	-727.00	
06OCT17	S	1	PD05JAN18	3252.00	3236.92	USD	377.00	
06OCT17	B	1	PD05JAN18	3257.00	3236.92	USD	-502.00	
06OCT17	S	1	PD05JAN18	3257.50	3236.92	USD	514.50	
06OCT17	B	1	PD05JAN18	3235.00	3236.92	USD	48.00	
	NET	0 AVG	BGHT 3252.67				775.00	0.00
11OCT17	B	1	PD11JAN18	3231.50	3235.38	USD	97.00	
11OCT17	B	1	PD11JAN18	3234.50	3235.38	USD	22.00	
11OCT17	B	1	PD11JAN18	3240.50	3235.38	USD	-128.00	
11OCT17	S	1	PD11JAN18	3236.50	3235.38	USD	28.00	
11OCT17	S	1	PD11JAN18	3243.00	3235.38	USD	190.50	
11OCT17	S	1	PD11JAN18	3244.00	3235.38	USD	215.50	
	NET	0 AVG	BGHT 3235.50				425.00	0.00

OPEN POSITION VALUE

		USD
LME Aluminium 25 Tonnes.	FUTURES	1,459.50
LME Copper Grade A 25	FUTURES	-15,310.75
LME Lead 25 tonnes. This is an	FUTURES	-3,670.50
LME Nickel 6 tonnes. This is	FUTURES	55,775.58
LME Tin 5 tonnes. This is an	FUTURES	-86,266.60
LME Zinc 25 tonnes. This is	FUTURES	-15,820.25

MARKET REVALUATION BREAKDOWN

Unrealised Profits & Losses - Forwards			Amount	Profit / Loss	Total Amount	Total P&L
ALUL	- Futures	USD	1,455.50	1,455.50		
COPL	- Futures	USD	-15,310.75	-15,310.75		
LEAD	- Futures	USD	-3,666.75	-3,666.75		
NKL	- Futures	USD	-14,332.68	-14,332.68		
TIN	- Futures	USD	16,410.55	16,410.55		
ZINC	- Futures	USD	-15,820.25	-15,820.25	-31,264.38	-31,264.38

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ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		39,294.28	39,294.28
Variation Margins	- Futures	0.00	0.00
	- Options	0.00	0.00
TOTAL EQUITY		39,294.28	39,294.28
Initial Margins		-53,598.38	-53,598.38
Excess/(Shortage)		-14,304.10	-14,304.10
FOR INFORMATION ONLY			
Forward Unrealised P&L		-31,264.38	-31,264.38
Net Liquidating Value		8,029.90	8,029.90

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	<u>LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.</u>							
17JUL17	B	1	PD17OCT17	1899.00	2109.75	USD	5,268.75	
17JUL17	B	1	PD17OCT17	1899.50	2109.75	USD	5,256.25	
17JUL17	B	1	PD17OCT17	1900.00	2109.75	USD	5,243.75	
17JUL17	S	1	PD17OCT17	1911.00	2109.75	USD	-4,968.75	
26JUL17	S	2	PD17OCT17	1940.80	2109.75	USD	-8,447.50	
	NET	0AVG BGHT 1899.50	SOLD 1930.87				2,352.50	0.00
18JUL17	S	2	PD18OCT17	1908.50	2110.25	USD	-10,087.50	
18JUL17	S	2	PD18OCT17	1931.00	2110.25	USD	-8,962.50	
18JUL17	S	1	PD18OCT17	1930.00	2110.25	USD	-4,506.25	
26JUL17	B	5	PD18OCT17	1941.00	2110.25	USD	21,156.25	
	NET	0AVG BGHT 1941.00	SOLD 1921.80				-2,400.00	0.00
19JUL17	S	1	PD19OCT17	1934.50	2110.75	USD	-4,406.25	
19JUL17	S	2	PD19OCT17	1933.50	2110.75	USD	-8,862.50	
19JUL17	S	1	PD19OCT17	1935.50	2110.75	USD	-4,381.25	
19JUL17	S	2	PD19OCT17	1936.00	2110.75	USD	-8,737.50	
19JUL17	B	3	PD19OCT17	1919.00	2110.75	USD	14,381.25	
19JUL17	S	1	PD19OCT17	1925.00	2110.75	USD	-4,643.75	
19JUL17	B	2	PD19OCT17	1920.50	2110.75	USD	9,512.50	
26JUL17	B	2	PD19OCT17	1940.70	2110.75	USD	8,502.50	
	NET	0AVG BGHT 1925.63	SOLD 1933.43				1,365.00	0.00
20JUL17	B	1	PD20OCT17	1925.00	2111.25	USD	4,656.25	
20JUL17	B	1	PD20OCT17	1922.00	2111.25	USD	4,731.25	
20JUL17	B	1	PD20OCT17	1921.00	2111.25	USD	4,756.25	
20JUL17	B	1	PD20OCT17	1916.50	2111.25	USD	4,868.75	
20JUL17	B	1	PD20OCT17	1918.00	2111.25	USD	4,831.25	
21JUL17	S	1	PD20OCT17	1923.50	2111.25	USD	-4,693.75	
21JUL17	S	1	PD20OCT17	1918.00	2111.25	USD	-4,831.25	
21JUL17	S	2	PD20OCT17	1919.00	2111.25	USD	-9,612.50	
26JUL17	S	1	PD20OCT17	1940.51	2111.25	USD	-4,268.50	
	NET	0AVG BGHT 1920.50	SOLD 1924.00				437.75	0.00
24JUL17	S	1	PD24OCT17	1922.50	2113.25	USD	-4,768.75	
24JUL17	S	1	PD24OCT17	1921.00	2113.25	USD	-4,806.25	
24JUL17	S	1	PD24OCT17	1921.50	2113.25	USD	-4,793.75	
24JUL17	B	3	PD24OCT17	1912.50	2113.25	USD	15,056.25	
24JUL17	B	2	PD24OCT17	1915.00	2113.25	USD	9,912.50	
24JUL17	B	1	PD24OCT17	1911.50	2113.25	USD	5,043.75	
24JUL17	B	1	PD24OCT17	1913.00	2113.25	USD	5,006.25	
26JUL17	S	4	PD24OCT17	1941.37	2113.25	USD	-17,188.00	
	NET	0AVG BGHT 1913.14	SOLD 1932.93				3,462.00	0.00
25JUL17	S	1	PD25OCT17	1919.00	2113.75	USD	-4,868.75	
25JUL17	S	1	PD25OCT17	1933.50	2113.75	USD	-4,506.25	
25JUL17	S	1	PD25OCT17	1935.00	2113.75	USD	-4,468.75	
25JUL17	S	1	PD25OCT17	1938.00	2113.75	USD	-4,393.75	
25JUL17	S	1	PD25OCT17	1939.50	2113.75	USD	-4,356.25	
25JUL17	S	2	PD25OCT17	1940.00	2113.75	USD	-8,687.50	
25JUL17	S	1	PD25OCT17	1941.50	2113.75	USD	-4,306.25	
25JUL17	S	1	PD25OCT17	1942.00	2113.75	USD	-4,293.75	
27JUL17	B	7	PD25OCT17	1937.50	2113.75	USD	30,843.75	
28JUL17	B	2	PD25OCT17	1906.25	2113.75	USD	10,375.00	
	NET	0AVG BGHT 1930.56	SOLD 1936.50				1,337.50	0.00
26JUL17	S	1	PD26OCT17	1960.00	2114.25	USD	-3,856.25	
26JUL17	S	1	PD26OCT17	1961.50	2114.25	USD	-3,818.75	
26JUL17	B	1	PD26OCT17	1935.50	2114.25	USD	4,468.75	
26JUL17	B	1	PD26OCT17	1933.00	2114.25	USD	4,531.25	
26JUL17	B	2	PD26OCT17	1934.50	2114.25	USD	8,987.50	
26JUL17	B	1	PD26OCT17	1933.50	2114.25	USD	4,518.75	

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	<u>LME ALUMINIUM 25 TONNES. THIS IS AN</u>							
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26JUL17	B	1	PD26OCT17	1935.00	2114.25	USD	4,481.25	
26JUL17	B	1	PD26OCT17	1932.00	2114.25	USD	4,556.25	
26JUL17	B	1	PD26OCT17	1934.00	2114.25	USD	4,506.25	
26JUL17	B	1	PD26OCT17	1937.00	2114.25	USD	4,431.25	
27JUL17	S	7	PD26OCT17	1937.55	2114.25	USD	-30,922.50	
	NET	0 AVG	BGHT 1934.33	SOLD 1942.71			1,883.75	0.00
27JUL17	S	2	PD27OCT17	1949.00	2114.08	USD	-8,254.00	
27JUL17	S	1	PD27OCT17	1950.00	2114.08	USD	-4,102.00	
27JUL17	B	1	PD27OCT17	1942.00	2114.08	USD	4,302.00	
27JUL17	B	1	PD27OCT17	1943.50	2114.08	USD	4,264.50	
27JUL17	B	1	PD27OCT17	1937.50	2114.08	USD	4,414.50	
27JUL17	B	1	PD27OCT17	1938.50	2114.08	USD	4,389.50	
27JUL17	B	1	PD27OCT17	1937.00	2114.08	USD	4,427.00	
28JUL17	B	1	PD27OCT17	1915.00	2114.08	USD	4,977.00	
28JUL17	B	1	PD27OCT17	1916.50	2114.08	USD	4,939.50	
28JUL17	B	2	PD27OCT17	1910.50	2114.08	USD	10,179.00	
28JUL17	B	1	PD27OCT17	1912.00	2114.08	USD	5,052.00	
28JUL17	B	1	PD27OCT17	1914.00	2114.08	USD	5,002.00	
28JUL17	S	1	PD27OCT17	1924.00	2114.08	USD	-4,752.00	
28JUL17	S	2	PD27OCT17	1924.50	2114.08	USD	-9,479.00	
28JUL17	B	2	PD27OCT17	1915.50	2114.08	USD	9,929.00	
28JUL17	B	1	PD27OCT17	1916.00	2114.08	USD	4,952.00	
28JUL17	S	2	PD27OCT17	1906.80	2114.08	USD	-10,364.00	
28JUL17	S	5	PD27OCT17	1911.00	2114.08	USD	-25,385.00	
28JUL17	S	1	PD27OCT17	1911.50	2114.08	USD	-5,064.50	
28JUL17	B	1	PD27OCT17	1909.00	2114.08	USD	5,127.00	
09AUG17	S	1	PD27OCT17	2025.18	2114.08	USD	-2,222.50	
	NET	0 AVG	BGHT 1922.20	SOLD 1928.42			2,332.00	0.00
31JUL17	S	1	PD31OCT17	1917.00	2113.75	USD	-4,918.75	
31JUL17	S	2	PD31OCT17	1921.00	2113.75	USD	-9,637.50	
31JUL17	S	1	PD31OCT17	1924.50	2113.75	USD	-4,731.25	
31JUL17	B	1	PD31OCT17	1914.50	2113.75	USD	4,981.25	
31JUL17	B	1	PD31OCT17	1915.50	2113.75	USD	4,956.25	
31JUL17	B	1	PD31OCT17	1916.50	2113.75	USD	4,931.25	
31JUL17	S	1	PD31OCT17	1917.50	2113.75	USD	-4,906.25	
31JUL17	S	1	PD31OCT17	1918.50	2113.75	USD	-4,881.25	
31JUL17	B	3	PD31OCT17	1918.20	2113.75	USD	14,666.25	
	NET	0 AVG	BGHT 1916.85	SOLD 1919.92			460.00	0.00
01AUG17	B	1	PD01NOV17	1910.00	2114.09	USD	5,102.25	
01AUG17	B	1	PD01NOV17	1909.00	2114.09	USD	5,127.25	
01AUG17	B	2	PD01NOV17	1907.00	2114.09	USD	10,354.50	
09AUG17	S	4	PD01NOV17	2026.07	2114.09	USD	-8,802.00	
	NET	0 AVG	BGHT 1908.25	SOLD 2026.07			11,782.00	0.00
02AUG17	S	1	PD02NOV17	1916.00	2114.44	USD	-4,961.00	
02AUG17	S	1	PD02NOV17	1923.00	2114.44	USD	-4,786.00	
02AUG17	S	1	PD02NOV17	1923.50	2114.44	USD	-4,773.50	
02AUG17	S	1	PD02NOV17	1927.50	2114.44	USD	-4,673.50	
02AUG17	S	1	PD02NOV17	1928.00	2114.44	USD	-4,661.00	
09AUG17	B	5	PD02NOV17	2026.45	2114.44	USD	10,998.75	
	NET	0 AVG	BGHT 2026.45	SOLD 1923.60			-12,856.25	0.00
03AUG17	B	2	PD03NOV17	1910.50	2114.78	USD	10,214.00	
03AUG17	S	1	PD03NOV17	1913.00	2114.78	USD	-5,044.50	
03AUG17	S	1	PD03NOV17	1913.50	2114.78	USD	-5,032.00	
	NET	0 AVG	BGHT 1910.50	SOLD 1913.25			137.50	0.00
07AUG17	S	1	PD07NOV17	1929.50	2116.16	USD	-4,666.50	
07AUG17	S	1	PD07NOV17	1930.00	2116.16	USD	-4,654.00	

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07AUG17	S	2	PD07NOV17	1929.00	2116.16	USD	-9,358.00	
07AUG17	S	1	PD07NOV17	1951.50	2116.16	USD	-4,116.50	
09AUG17	B	2	PD07NOV17	2027.34	2116.16	USD	4,441.00	
23AUG17	B	3	PD07NOV17	2094.32	2116.16	USD	1,638.00	
	NET	0 AVG	BGHT 2067.53	SOLD 1933.80			-16,716.00	0.00
08AUG17	S	2	PD08NOV17	1999.50	2116.50	USD	-5,850.00	
08AUG17	S	1	PD08NOV17	2002.50	2116.50	USD	-2,850.00	
08AUG17	B	1	PD08NOV17	2006.50	2116.50	USD	2,750.00	
08AUG17	S	3	PD08NOV17	2004.50	2116.50	USD	-8,400.00	
08AUG17	S	1	PD08NOV17	2006.00	2116.50	USD	-2,762.50	
08AUG17	S	1	PD08NOV17	2006.50	2116.50	USD	-2,750.00	
08AUG17	S	1	PD08NOV17	2009.00	2116.50	USD	-2,687.50	
09AUG17	B	8	PD08NOV17	2027.52	2116.50	USD	17,796.00	
	NET	0 AVG	BGHT 2025.18	SOLD 2004.06			-4,754.00	0.00
09AUG17	B	1	PD09NOV17	2016.00	2116.96	USD	2,524.00	
09AUG17	B	1	PD09NOV17	2020.00	2116.96	USD	2,424.00	
23AUG17	S	2	PD09NOV17	2094.61	2116.96	USD	-1,117.50	
	NET	0 AVG	BGHT 2018.00	SOLD 2094.61			3,830.50	0.00
16AUG17	S	1	PD16NOV17	2060.50	2120.07	USD	-1,489.25	
16AUG17	S	1	PD16NOV17	2061.00	2120.07	USD	-1,476.75	
16AUG17	S	1	PD16NOV17	2062.00	2120.07	USD	-1,451.75	
16AUG17	S	1	PD16NOV17	2063.00	2120.07	USD	-1,426.75	
16AUG17	S	1	PD16NOV17	2065.50	2120.07	USD	-1,364.25	
16AUG17	B	1	PD16NOV17	2093.50	2120.07	USD	664.25	
16AUG17	B	2	PD16NOV17	2094.00	2120.07	USD	1,303.50	
16AUG17	B	1	PD16NOV17	2091.50	2120.07	USD	714.25	
16AUG17	B	1	PD16NOV17	2093.00	2120.07	USD	676.75	
	NET	0 AVG	BGHT 2093.20	SOLD 2062.40			-3,850.00	0.00
18AUG17	B	1	PD17NOV17	2065.50	2120.38	USD	1,372.00	
18AUG17	B	1	PD17NOV17	2063.00	2120.38	USD	1,434.50	
23AUG17	S	1	PD17NOV17	2095.71	2120.38	USD	-616.75	
23AUG17	S	1	PD17NOV17	2095.91	2120.38	USD	-611.75	
	NET	0 AVG	BGHT 2064.25	SOLD 2095.81			1,578.00	0.00
21AUG17	B	1	PD21NOV17	2070.00	2121.65	USD	1,291.25	
21AUG17	B	4	PD21NOV17	2074.50	2121.65	USD	4,715.00	
21AUG17	B	1	PD21NOV17	2071.50	2121.65	USD	1,253.75	
21AUG17	B	1	PD21NOV17	2073.00	2121.65	USD	1,216.25	
21AUG17	B	1	PD21NOV17	2076.00	2121.65	USD	1,141.25	
21AUG17	B	1	PD21NOV17	2077.00	2121.65	USD	1,116.25	
21AUG17	S	1	PD21NOV17	2082.50	2121.65	USD	-978.75	
21AUG17	S	1	PD21NOV17	2083.00	2121.65	USD	-966.25	
21AUG17	S	3	PD21NOV17	2083.50	2121.65	USD	-2,861.25	
21AUG17	S	1	PD21NOV17	2078.50	2121.65	USD	-1,078.75	
21AUG17	S	1	PD21NOV17	2079.00	2121.65	USD	-1,066.25	
21AUG17	S	1	PD21NOV17	2086.00	2121.65	USD	-891.25	
21AUG17	S	1	PD21NOV17	2086.50	2121.65	USD	-878.75	
	NET	0 AVG	BGHT 2073.94	SOLD 2082.89			2,012.50	0.00
22AUG17	S	1	PD22NOV17	2073.50	2121.96	USD	-1,211.50	
22AUG17	S	1	PD22NOV17	2078.50	2121.96	USD	-1,086.50	
22AUG17	S	1	PD22NOV17	2079.50	2121.96	USD	-1,061.50	
22AUG17	S	1	PD22NOV17	2083.50	2121.96	USD	-961.50	
22AUG17	S	2	PD22NOV17	2085.00	2121.96	USD	-1,848.00	
22AUG17	S	5	PD22NOV17	2070.60	2121.96	USD	-6,420.00	
22AUG17	B	1	PD22NOV17	2076.50	2121.96	USD	1,136.50	
22AUG17	B	2	PD22NOV17	2076.00	2121.96	USD	2,298.00	
23AUG17	B	6	PD22NOV17	2096.89	2121.96	USD	3,760.50	

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30AUG17	B	2	PD22NOV17	2085.96	2121.96	USD	1,800.00	
	NET	0 AVG BGHT 2089.25	SOLD 2076.18				-3,594.00	0.00
23AUG17	B	1	PD24NOV17	2063.00	2122.60	USD	1,490.00	
23AUG17	B	1	PD24NOV17	2062.50	2122.60	USD	1,502.50	
24AUG17	B	1	PD24NOV17	2092.00	2122.60	USD	765.00	
24AUG17	S	1	PD24NOV17	2120.50	2122.60	USD	-52.50	
25AUG17	B	2	PD24NOV17	2096.00	2122.60	USD	1,330.00	
25AUG17	B	1	PD24NOV17	2096.50	2122.60	USD	652.50	
25AUG17	S	1	PD24NOV17	2096.50	2122.60	USD	-652.50	
25AUG17	B	1	PD24NOV17	2091.50	2122.60	USD	777.50	
25AUG17	B	1	PD24NOV17	2079.00	2122.60	USD	1,090.00	
30AUG17	S	2	PD24NOV17	2086.15	2122.60	USD	-1,822.50	
30AUG17	S	4	PD24NOV17	2086.35	2122.60	USD	-3,625.00	
	NET	0 AVG BGHT 2084.56	SOLD 2091.84				1,455.00	0.00
29AUG17	B	1	PD29NOV17	2078.50	2124.18	USD	1,142.00	
29AUG17	S	1	PD29NOV17	2077.00	2124.18	USD	-1,179.50	
29AUG17	B	1	PD29NOV17	2077.00	2124.18	USD	1,179.50	
29AUG17	B	1	PD29NOV17	2073.50	2124.18	USD	1,267.00	
29AUG17	S	1	PD29NOV17	2078.50	2124.18	USD	-1,142.00	
29AUG17	S	1	PD29NOV17	2079.50	2124.18	USD	-1,117.00	
29AUG17	S	1	PD29NOV17	2084.00	2124.18	USD	-1,004.50	
29AUG17	S	1	PD29NOV17	2084.50	2124.18	USD	-992.00	
29AUG17	S	1	PD29NOV17	2086.50	2124.18	USD	-942.00	
29AUG17	S	1	PD29NOV17	2089.00	2124.18	USD	-879.50	
30AUG17	B	4	PD29NOV17	2087.51	2124.18	USD	3,667.00	
	NET	0 AVG BGHT 2082.72	SOLD 2082.71				-1.00	0.00
30AUG17	S	1	PD30NOV17	2096.50	2124.49	USD	-699.75	
30AUG17	B	1	PD30NOV17	2089.00	2124.49	USD	887.25	
30AUG17	B	1	PD30NOV17	2092.50	2124.49	USD	799.75	
31AUG17	S	1	PD30NOV17	2100.00	2124.49	USD	-612.25	
31AUG17	S	1	PD30NOV17	2117.50	2124.49	USD	-174.75	
31AUG17	B	1	PD30NOV17	2126.00	2124.49	USD	-37.75	
31AUG17	B	1	PD30NOV17	2127.00	2124.49	USD	-62.75	
31AUG17	B	1	PD30NOV17	2131.00	2124.49	USD	-162.75	
31AUG17	B	1	PD30NOV17	2131.50	2124.49	USD	-175.25	
31AUG17	B	2	PD30NOV17	2129.50	2124.49	USD	-250.50	
31AUG17	B	1	PD30NOV17	2130.00	2124.49	USD	-137.75	
31AUG17	B	1	PD30NOV17	2127.50	2124.49	USD	-75.25	
31AUG17	B	1	PD30NOV17	2115.50	2124.49	USD	224.75	
31AUG17	S	1	PD30NOV17	2131.50	2124.49	USD	175.25	
31AUG17	S	3	PD30NOV17	2132.50	2124.49	USD	600.75	
31AUG17	B	1	PD30NOV17	2117.50	2124.49	USD	174.75	
31AUG17	S	2	PD30NOV17	2127.10	2124.49	USD	130.50	
31AUG17	S	2	PD30NOV17	2135.10	2124.49	USD	530.50	
31AUG17	B	1	PD30NOV17	2125.50	2124.49	USD	-25.25	
31AUG17	S	3	PD30NOV17	2116.80	2124.49	USD	-576.75	
06SEP17	B	1	PD30NOV17	2103.08	2124.49	USD	535.25	
	NET	0 AVG BGHT 2119.65	SOLD 2122.70				1,068.00	0.00
01SEP17	B	1	PD01DEC17	2126.50	2124.81	USD	-42.25	
06SEP17	S	1	PD01DEC17	2103.12	2124.81	USD	-542.25	
	NET	0 AVG BGHT 2126.50	SOLD 2103.12				-584.50	0.00
04SEP17	B	1	PD04DEC17	2107.00	2125.76	USD	469.00	
04SEP17	B	1	PD04DEC17	2108.50	2125.76	USD	431.50	
06SEP17	S	2	PD04DEC17	2103.83	2125.76	USD	-1,096.50	
	NET	0 AVG BGHT 2107.75	SOLD 2103.83				-196.00	0.00
05SEP17	B	1	PD05DEC17	2109.00	2126.07	USD	426.75	

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06SEP17	S 1 PD05DEC17	2104.06	2126.07	USD	-550.25	
	NET 0 AVG BGHT 2109.00 SOLD 2104.06				-123.50	0.00
12SEP17	S 1 PD12DEC17	2135.50	2128.29	USD	180.25	
12SEP17	S 2 PD12DEC17	2134.50	2128.29	USD	310.50	
14SEP17	B 3 PD12DEC17	2097.47	2128.29	USD	2,311.50	
	NET 0 AVG BGHT 2097.47 SOLD 2134.83				2,802.25	0.00
13SEP17	B 1 PD13DEC17	2132.00	2128.60	USD	-85.00	
13SEP17	B 1 PD13DEC17	2133.50	2128.60	USD	-122.50	
13SEP17	B 1 PD13DEC17	2134.00	2128.60	USD	-135.00	
14SEP17	S 3 PD13DEC17	2097.53	2128.60	USD	-2,330.25	
	NET 0 AVG BGHT 2133.17 SOLD 2097.53				-2,672.75	0.00
19SEP17	S 1 PD19DEC17	2118.00	2130.50	USD	-312.50	
19SEP17	S 1 PD19DEC17	2118.50	2130.50	USD	-300.00	
19SEP17	S 1 PD19DEC17	2121.50	2130.50	USD	-225.00	
21SEP17	B 2 PD19DEC17	2171.22	2130.50	USD	-2,036.00	
26SEP17	B 1 PD19DEC17	2123.94	2130.50	USD	164.00	
	NET 0 AVG BGHT 2155.46 SOLD 2119.33				-2,709.50	0.00
20SEP17	B 1 PD20DEC17	2136.00	2130.50	USD	-137.50	
20SEP17	B 1 PD20DEC17	2185.50	2130.50	USD	-1,375.00	
21SEP17	S 2 PD20DEC17	2171.30	2130.50	USD	2,040.00	
	NET 0 AVG BGHT 2160.75 SOLD 2171.30				527.50	0.00
21SEP17	B 1 PD21DEC17	2182.00	2130.50	USD	-1,287.50	
26SEP17	S 1 PD21DEC17	2124.30	2130.50	USD	-155.00	
	NET 0 AVG BGHT 2182.00 SOLD 2124.30				-1,442.50	0.00
29SEP17	S 2 PD29DEC17	2131.00	2131.25	USD	-12.50	
29SEP17	B 2 PD29DEC17	2128.50	2131.25	USD	137.50	
	NET 0 AVG BGHT 2128.50 SOLD 2131.00				125.00	0.00
04OCT17	S 1 PD04JAN18	2149.00	2132.21	USD	419.75	
04OCT17	S 2 PD04JAN18	2149.50	2132.21	USD	864.50	
04OCT17	B 1 PD04JAN18	2159.00	2132.21	USD	-669.75	
04OCT17	B 2 PD04JAN18	2156.50	2132.21	USD	-1,214.50	
	NET 0 AVG BGHT 2157.33 SOLD 2149.33				-600.00	0.00
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>					
17JUL17	B 1 PD17OCT17	5974.50	6859.00	USD	22,112.50	
17JUL17	B 1 PD17OCT17	5975.50	6859.00	USD	22,087.50	
17JUL17	B 1 PD17OCT17	5976.00	6859.00	USD	22,075.00	
17JUL17	S 5 PD17OCT17	5980.00	6859.00	USD	-109,875.00	
17JUL17	B 2 PD17OCT17	5977.00	6859.00	USD	44,100.00	
17JUL17	S 1 PD17OCT17	5993.50	6859.00	USD	-21,637.50	
17JUL17	B 1 PD17OCT17	6002.00	6859.00	USD	21,425.00	
17JUL17	B 1 PD17OCT17	6006.50	6859.00	USD	21,312.50	
17JUL17	S 1 PD17OCT17	6014.00	6859.00	USD	-21,125.00	
	NET 0 AVG BGHT 5984.07 SOLD 5986.79				475.00	0.00
19JUL17	S 1 PD19OCT17	6018.50	6857.50	USD	-20,975.00	
19JUL17	B 1 PD19OCT17	6011.00	6857.50	USD	21,162.50	
19JUL17	S 2 PD19OCT17	6018.00	6857.50	USD	-41,975.00	
19JUL17	B 1 PD19OCT17	6012.00	6857.50	USD	21,137.50	
19JUL17	B 1 PD19OCT17	6013.00	6857.50	USD	21,112.50	
19JUL17	S 2 PD19OCT17	6012.00	6857.50	USD	-42,275.00	
19JUL17	B 1 PD19OCT17	6004.50	6857.50	USD	21,325.00	
19JUL17	B 1 PD19OCT17	6006.00	6857.50	USD	21,287.50	
19JUL17	B 1 PD19OCT17	5986.00	6857.50	USD	21,787.50	
19JUL17	S 1 PD19OCT17	5993.50	6857.50	USD	-21,600.00	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>					
	NET	0AVG BGHT 6005.42	SOLD 6012.00		987.50	0.00
25JUL17	S 1 PD250CT17	6143.00	6857.50	USD	-17,862.50	
25JUL17	S 1 PD250CT17	6143.50	6857.50	USD	-17,850.00	
25JUL17	S 1 PD250CT17	6166.50	6857.50	USD	-17,275.00	
25JUL17	S 1 PD250CT17	6167.50	6857.50	USD	-17,250.00	
25JUL17	S 2 PD250CT17	6163.50	6857.50	USD	-34,700.00	
25JUL17	B 1 PD250CT17	6180.00	6857.50	USD	16,937.50	
25JUL17	B 1 PD250CT17	6181.00	6857.50	USD	16,912.50	
25JUL17	B 2 PD250CT17	6155.50	6857.50	USD	35,100.00	
25JUL17	S 1 PD250CT17	6185.50	6857.50	USD	-16,800.00	
25JUL17	S 1 PD250CT17	6186.00	6857.50	USD	-16,787.50	
25JUL17	B 1 PD250CT17	6190.50	6857.50	USD	16,675.00	
25JUL17	B 1 PD250CT17	6191.50	6857.50	USD	16,650.00	
25JUL17	S 2 PD250CT17	6191.00	6857.50	USD	-33,325.00	
25JUL17	B 4 PD250CT17	6192.00	6857.50	USD	66,550.00	
25JUL17	B 3 PD250CT17	6192.50	6857.50	USD	49,875.00	
25JUL17	B 3 PD250CT17	6197.00	6857.50	USD	49,537.50	
25JUL17	B 1 PD250CT17	6201.00	6857.50	USD	16,412.50	
25JUL17	S 1 PD250CT17	6220.00	6857.50	USD	-15,937.50	
25JUL17	S 1 PD250CT17	6222.50	6857.50	USD	-15,875.00	
25JUL17	S 1 PD250CT17	6224.50	6857.50	USD	-15,825.00	
25JUL17	S 1 PD250CT17	6229.00	6857.50	USD	-15,712.50	
25JUL17	B 1 PD250CT17	6214.50	6857.50	USD	16,075.00	
25JUL17	B 2 PD250CT17	6215.00	6857.50	USD	32,125.00	
25JUL17	B 1 PD250CT17	6216.50	6857.50	USD	16,025.00	
25JUL17	S 5 PD250CT17	6207.00	6857.50	USD	-81,312.50	
25JUL17	B 2 PD250CT17	6211.50	6857.50	USD	32,300.00	
25JUL17	B 3 PD250CT17	6212.00	6857.50	USD	48,412.50	
25JUL17	B 1 PD250CT17	6221.50	6857.50	USD	15,900.00	
25JUL17	S 5 PD250CT17	6217.00	6857.50	USD	-80,062.50	
25JUL17	B 1 PD250CT17	6224.00	6857.50	USD	15,837.50	
25JUL17	B 1 PD250CT17	6225.00	6857.50	USD	15,812.50	
25JUL17	B 2 PD250CT17	6230.50	6857.50	USD	31,350.00	
25JUL17	S 7 PD250CT17	6190.50	6857.50	USD	-116,725.00	
25JUL17	B 1 PD250CT17	6249.50	6857.50	USD	15,200.00	
25JUL17	B 1 PD250CT17	6263.50	6857.50	USD	14,850.00	
25JUL17	B 1 PD250CT17	6265.00	6857.50	USD	14,812.50	
25JUL17	S 5 PD250CT17	6248.00	6857.50	USD	-76,187.50	
25JUL17	B 1 PD250CT17	6247.50	6857.50	USD	15,250.00	
25JUL17	B 1 PD250CT17	6267.00	6857.50	USD	14,762.50	
	NET	0AVG BGHT 6209.32	SOLD 6202.51		-6,125.00	0.00
26JUL17	B 1 PD260CT17	6277.50	6857.50	USD	14,500.00	
26JUL17	S 1 PD260CT17	6279.50	6857.50	USD	-14,450.00	
26JUL17	B 1 PD260CT17	6282.00	6857.50	USD	14,387.50	
26JUL17	S 1 PD260CT17	6280.00	6857.50	USD	-14,437.50	
26JUL17	S 5 PD260CT17	6299.50	6857.50	USD	-69,750.00	
26JUL17	B 1 PD260CT17	6298.00	6857.50	USD	13,987.50	
26JUL17	B 1 PD260CT17	6299.50	6857.50	USD	13,950.00	
26JUL17	B 2 PD260CT17	6302.00	6857.50	USD	27,775.00	
26JUL17	B 1 PD260CT17	6304.50	6857.50	USD	13,825.00	
26JUL17	S 5 PD260CT17	6300.00	6857.50	USD	-69,687.50	
26JUL17	B 4 PD260CT17	6305.50	6857.50	USD	55,200.00	
26JUL17	B 1 PD260CT17	6315.00	6857.50	USD	13,562.50	
	NET	0AVG BGHT 6300.21	SOLD 6296.42		-1,137.50	0.00
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17JUL17	B	1	PD17OCT17	2319.00	2518.25	USD	4,981.25	
17JUL17	B	2	PD17OCT17	2320.00	2518.25	USD	9,912.50	
17JUL17	B	2	PD17OCT17	2322.00	2518.25	USD	9,812.50	
17JUL17	B	1	PD17OCT17	2324.00	2518.25	USD	4,856.25	
17JUL17	B	2	PD17OCT17	2318.50	2518.25	USD	9,987.50	
17JUL17	B	1	PD17OCT17	2297.50	2518.25	USD	5,518.75	
26JUL17	S	6	PD17OCT17	2312.49	2518.25	USD	-30,864.00	
26JUL17	S	3	PD17OCT17	2312.69	2518.25	USD	-15,417.00	
	NET	0AVG BGHT 2317.94	SOLD 2312.56				-1,212.25	0.00
18JUL17	S	1	PD18OCT17	2287.50	2518.75	USD	-5,781.25	
18JUL17	S	1	PD18OCT17	2290.00	2518.75	USD	-5,718.75	
18JUL17	S	1	PD18OCT17	2286.00	2518.75	USD	-5,818.75	
18JUL17	B	2	PD18OCT17	2271.50	2518.75	USD	12,362.50	
18JUL17	B	1	PD18OCT17	2272.00	2518.75	USD	6,168.75	
18JUL17	B	1	PD18OCT17	2272.50	2518.75	USD	6,156.25	
18JUL17	S	1	PD18OCT17	2270.00	2518.75	USD	-6,218.75	
18JUL17	B	1	PD18OCT17	2265.50	2518.75	USD	6,331.25	
18JUL17	S	2	PD18OCT17	2275.00	2518.75	USD	-12,187.50	
18JUL17	S	1	PD18OCT17	2274.50	2518.75	USD	-6,106.25	
18JUL17	S	1	PD18OCT17	2276.00	2518.75	USD	-6,068.75	
26JUL17	B	3	PD18OCT17	2313.20	2518.75	USD	15,416.25	
	NET	0AVG BGHT 2286.58	SOLD 2279.25				-1,465.00	0.00
19JUL17	B	2	PD19OCT17	2241.00	2518.90	USD	13,895.00	
19JUL17	B	1	PD19OCT17	2238.50	2518.90	USD	7,010.00	
19JUL17	S	1	PD19OCT17	2211.50	2518.90	USD	-7,685.00	
26JUL17	S	2	PD19OCT17	2312.25	2518.90	USD	-10,332.50	
	NET	0AVG BGHT 2240.17	SOLD 2278.67				2,887.50	0.00
20JUL17	S	1	PD20OCT17	2226.00	2519.05	USD	-7,326.25	
20JUL17	S	1	PD20OCT17	2227.50	2519.05	USD	-7,288.75	
26JUL17	B	2	PD20OCT17	2312.56	2519.05	USD	10,324.50	
	NET	0AVG BGHT 2312.56	SOLD 2226.75				-4,290.50	0.00
24JUL17	B	2	PD24OCT17	2258.00	2519.65	USD	13,082.50	
24JUL17	S	1	PD24OCT17	2258.00	2519.65	USD	-6,541.25	
24JUL17	S	1	PD24OCT17	2260.00	2519.65	USD	-6,491.25	
	NET	0AVG BGHT 2258.00	SOLD 2259.00				50.00	0.00
25JUL17	S	1	PD25OCT17	2312.00	2519.80	USD	-5,195.00	
25JUL17	S	1	PD25OCT17	2313.50	2519.80	USD	-5,157.50	
25JUL17	S	1	PD25OCT17	2313.00	2519.80	USD	-5,170.00	
25JUL17	S	1	PD25OCT17	2314.00	2519.80	USD	-5,145.00	
25JUL17	S	1	PD25OCT17	2317.00	2519.80	USD	-5,070.00	
25JUL17	S	1	PD25OCT17	2320.00	2519.80	USD	-4,995.00	
25JUL17	S	1	PD25OCT17	2321.50	2519.80	USD	-4,957.50	
27JUL17	B	5	PD25OCT17	2308.89	2519.80	USD	26,363.75	
28JUL17	B	2	PD25OCT17	2319.75	2519.80	USD	10,002.50	
	NET	0AVG BGHT 2311.99	SOLD 2315.86				676.25	0.00
26JUL17	S	2	PD26OCT17	2333.00	2519.95	USD	-9,347.50	
26JUL17	S	1	PD26OCT17	2333.50	2519.95	USD	-4,661.25	
26JUL17	B	1	PD26OCT17	2316.50	2519.95	USD	5,086.25	
26JUL17	S	1	PD26OCT17	2318.00	2519.95	USD	-5,048.75	
26JUL17	S	1	PD26OCT17	2319.00	2519.95	USD	-5,023.75	
26JUL17	S	1	PD26OCT17	2322.00	2519.95	USD	-4,948.75	
26JUL17	B	1	PD26OCT17	2314.50	2519.95	USD	5,136.25	
26JUL17	B	2	PD26OCT17	2316.00	2519.95	USD	10,197.50	
26JUL17	B	1	PD26OCT17	2318.50	2519.95	USD	5,036.25	
26JUL17	S	1	PD26OCT17	2316.00	2519.95	USD	-5,098.75	
26JUL17	S	1	PD26OCT17	2316.50	2519.95	USD	-5,086.25	

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26JUL17	S	2	PD26OCT17	2317.50	2519.95	USD	-10,122.50	
26JUL17	S	1	PD26OCT17	2318.50	2519.95	USD	-5,036.25	
26JUL17	S	1	PD26OCT17	2320.50	2519.95	USD	-4,986.25	
26JUL17	B	1	PD26OCT17	2307.00	2519.95	USD	5,323.75	
26JUL17	B	1	PD26OCT17	2309.50	2519.95	USD	5,261.25	
26JUL17	B	3	PD26OCT17	2311.50	2519.95	USD	15,633.75	
26JUL17	B	1	PD26OCT17	2310.00	2519.95	USD	5,248.75	
26JUL17	S	4	PD26OCT17	2313.00	2519.95	USD	-20,695.00	
26JUL17	B	4	PD26OCT17	2311.00	2519.95	USD	20,895.00	
26JUL17	B	1	PD26OCT17	2307.50	2519.95	USD	5,311.25	
	NET	0 AVG BGHT 2312.13	SOLD 2319.81				3,075.00	0.00
27JUL17	B	1	PD27OCT17	2332.00	2520.10	USD	4,702.50	
27JUL17	B	1	PD27OCT17	2330.50	2520.10	USD	4,740.00	
28JUL17	S	2	PD27OCT17	2319.80	2520.10	USD	-10,015.00	
	NET	0 AVG BGHT 2331.25	SOLD 2319.80				-572.50	0.00
31JUL17	B	1	PD31OCT17	2327.00	2520.70	USD	4,842.50	
31JUL17	B	1	PD31OCT17	2330.00	2520.70	USD	4,767.50	
31JUL17	B	1	PD31OCT17	2333.00	2520.70	USD	4,692.50	
31JUL17	B	1	PD31OCT17	2336.50	2520.70	USD	4,605.00	
31JUL17	B	1	PD31OCT17	2326.00	2520.70	USD	4,867.50	
11AUG17	S	5	PD31OCT17	2322.94	2520.70	USD	-24,720.00	
	NET	0 AVG BGHT 2330.50	SOLD 2322.94				-945.00	0.00
01AUG17	S	2	PD01NOV17	2323.00	2520.85	USD	-9,892.50	
01AUG17	S	2	PD01NOV17	2323.50	2520.85	USD	-9,867.50	
01AUG17	S	1	PD01NOV17	2324.50	2520.85	USD	-4,908.75	
09AUG17	B	5	PD01NOV17	2348.73	2520.85	USD	21,515.00	
	NET	0 AVG BGHT 2348.73	SOLD 2323.50				-3,153.75	0.00
02AUG17	S	1	PD02NOV17	2360.50	2521.00	USD	-4,012.50	
02AUG17	S	1	PD02NOV17	2364.00	2521.00	USD	-3,925.00	
09AUG17	B	2	PD02NOV17	2348.94	2521.00	USD	8,603.00	
29SEP17	S	16	PD02NOV17	2492.15	2521.00	USD	-11,540.00	
09OCT17	B	8	PD02NOV17	2501.04	2521.00	USD	3,992.00	
	NET	-8 AVG BGHT 2470.62	SOLD 2477.72				-6,882.50	0.00
03AUG17	B	1	PD03NOV17	2373.00	2521.13	USD	3,703.25	
03AUG17	B	1	PD03NOV17	2374.00	2521.13	USD	3,678.25	
03AUG17	S	2	PD03NOV17	2355.50	2521.13	USD	-8,281.50	
03AUG17	B	1	PD03NOV17	2364.50	2521.13	USD	3,915.75	
04AUG17	S	1	PD03NOV17	2372.50	2521.13	USD	-3,715.75	
04AUG17	S	1	PD03NOV17	2373.00	2521.13	USD	-3,703.25	
04AUG17	B	1	PD03NOV17	2351.50	2521.13	USD	4,240.75	
04AUG17	B	2	PD03NOV17	2353.00	2521.13	USD	8,406.50	
09AUG17	S	2	PD03NOV17	2348.95	2521.13	USD	-8,609.00	
	NET	0 AVG BGHT 2361.50	SOLD 2359.07				-365.00	0.00
07AUG17	B	1	PD07NOV17	2363.00	2521.67	USD	3,966.75	
07AUG17	S	1	PD07NOV17	2365.00	2521.67	USD	-3,916.75	
	NET	0 AVG BGHT 2363.00	SOLD 2365.00				50.00	0.00
08AUG17	B	1	PD08NOV17	2355.00	2521.81	USD	4,170.25	
08AUG17	B	1	PD08NOV17	2352.50	2521.81	USD	4,232.75	
08AUG17	B	1	PD08NOV17	2353.00	2521.81	USD	4,220.25	
08AUG17	B	1	PD08NOV17	2354.00	2521.81	USD	4,195.25	
08AUG17	B	1	PD08NOV17	2356.00	2521.81	USD	4,145.25	
09AUG17	S	5	PD08NOV17	2349.80	2521.81	USD	-21,501.25	
	NET	0 AVG BGHT 2354.10	SOLD 2349.80				-537.50	0.00
18AUG17	B	1	PD17NOV17	2362.50	2522.75	USD	4,006.25	
18AUG17	B	1	PD17NOV17	2358.50	2522.75	USD	4,106.25	

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18AUG17	B	2	PD17NOV17	2359.00	2522.75	USD	8,187.50	
18AUG17	S	1	PD17NOV17	2358.00	2522.75	USD	-4,118.75	
23AUG17	S	3	PD17NOV17	2375.25	2522.75	USD	-11,062.50	
	NET	0 AVG	BGHT 2359.75				1,118.75	0.00
21AUG17	B	1	PD21NOV17	2357.50	2523.36	USD	4,146.50	
21AUG17	B	2	PD21NOV17	2360.00	2523.36	USD	8,168.00	
21AUG17	B	1	PD21NOV17	2355.50	2523.36	USD	4,196.50	
21AUG17	S	1	PD21NOV17	2349.50	2523.36	USD	-4,346.50	
21AUG17	S	1	PD21NOV17	2344.50	2523.36	USD	-4,471.50	
21AUG17	S	1	PD21NOV17	2348.00	2523.36	USD	-4,384.00	
23AUG17	S	1	PD21NOV17	2376.25	2523.36	USD	-3,677.75	
	NET	0 AVG	BGHT 2358.25				-368.75	0.00
22AUG17	B	1	PD22NOV17	2339.00	2523.51	USD	4,612.75	
22AUG17	B	1	PD22NOV17	2351.00	2523.51	USD	4,312.75	
22AUG17	S	1	PD22NOV17	2371.00	2523.51	USD	-3,812.75	
22AUG17	S	1	PD22NOV17	2371.50	2523.51	USD	-3,800.25	
22AUG17	S	1	PD22NOV17	2374.50	2523.51	USD	-3,725.25	
22AUG17	S	1	PD22NOV17	2375.00	2523.51	USD	-3,712.75	
22AUG17	S	1	PD22NOV17	2377.50	2523.51	USD	-3,650.25	
22AUG17	S	1	PD22NOV17	2381.50	2523.51	USD	-3,550.25	
23AUG17	B	4	PD22NOV17	2376.70	2523.51	USD	14,681.00	
	NET	0 AVG	BGHT 2366.13				1,355.00	0.00
24AUG17	B	1	PD24NOV17	2362.00	2523.81	USD	4,045.25	
24AUG17	B	1	PD24NOV17	2361.00	2523.81	USD	4,070.25	
24AUG17	S	1	PD24NOV17	2360.00	2523.81	USD	-4,095.25	
24AUG17	S	1	PD24NOV17	2357.00	2523.81	USD	-4,170.25	
	NET	0 AVG	BGHT 2361.50				-150.00	0.00
29AUG17	B	1	PD29NOV17	2343.00	2524.57	USD	4,539.25	
29AUG17	B	1	PD29NOV17	2344.00	2524.57	USD	4,514.25	
29AUG17	B	1	PD29NOV17	2347.00	2524.57	USD	4,439.25	
29AUG17	B	1	PD29NOV17	2351.00	2524.57	USD	4,339.25	
29AUG17	B	1	PD29NOV17	2345.00	2524.57	USD	4,489.25	
29AUG17	S	1	PD29NOV17	2350.50	2524.57	USD	-4,351.75	
29AUG17	S	1	PD29NOV17	2353.50	2524.57	USD	-4,276.75	
29AUG17	S	1	PD29NOV17	2372.50	2524.57	USD	-3,801.75	
29AUG17	S	1	PD29NOV17	2374.00	2524.57	USD	-3,764.25	
29AUG17	S	1	PD29NOV17	2375.50	2524.57	USD	-3,726.75	
	NET	0 AVG	BGHT 2346.00				2,400.00	0.00
01SEP17	B	1	PD01DEC17	2402.00	2524.87	USD	3,071.75	
06SEP17	S	1	PD01DEC17	2338.63	2524.87	USD	-4,656.00	
	NET	0 AVG	BGHT 2402.00				-1,584.25	0.00
04SEP17	S	1	PD04DEC17	2393.00	2525.33	USD	-3,308.25	
06SEP17	B	1	PD04DEC17	2339.65	2525.33	USD	4,642.00	
	NET	0 AVG	BGHT 2339.65				1,333.75	0.00
05SEP17	B	1	PD05DEC17	2396.50	2525.48	USD	3,224.50	
05SEP17	B	1	PD05DEC17	2399.00	2525.48	USD	3,162.00	
05SEP17	B	1	PD05DEC17	2339.00	2525.48	USD	4,662.00	
05SEP17	S	2	PD05DEC17	2328.00	2525.48	USD	-9,874.00	
05SEP17	S	1	PD05DEC17	2331.50	2525.48	USD	-4,849.50	
	NET	0 AVG	BGHT 2378.17				-3,675.00	0.00
07SEP17	B	1	PD07DEC17	2331.50	2525.78	USD	4,857.00	
07SEP17	B	2	PD07DEC17	2330.50	2525.78	USD	9,764.00	
07SEP17	B	1	PD07DEC17	2332.00	2525.78	USD	4,844.50	
07SEP17	B	1	PD07DEC17	2332.50	2525.78	USD	4,832.00	
07SEP17	B	1	PD07DEC17	2345.50	2525.78	USD	4,507.00	

OPEN POSITION STATEMENT



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SAP0094S

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	<u>LME LEAD 25 TONNES. THIS IS AN</u>								
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07SEP17	B	1	PD07DEC17		2346.00	2525.78	USD	4,494.50	
14SEP17	S	7	PD07DEC17		2305.01	2525.78	USD	-38,634.75	
	NET	0AVG	BGHT 2335.50	SOLD 2305.01				-5,335.75	0.00
08SEP17	B	1	PD08DEC17		2295.50	2525.93	USD	5,760.75	
08SEP17	B	1	PD08DEC17		2281.00	2525.93	USD	6,123.25	
08SEP17	B	1	PD08DEC17		2281.50	2525.93	USD	6,110.75	
08SEP17	S	2	PD08DEC17		2270.00	2525.93	USD	-12,796.50	
08SEP17	S	1	PD08DEC17		2266.50	2525.93	USD	-6,485.75	
08SEP17	S	2	PD08DEC17		2267.00	2525.93	USD	-12,946.50	
08SEP17	S	2	PD08DEC17		2265.00	2525.93	USD	-13,046.50	
14SEP17	B	4	PD08DEC17		2305.49	2525.93	USD	22,044.00	
	NET	0AVG	BGHT 2297.14	SOLD 2267.21				-5,236.50	0.00
11SEP17	B	1	PD11DEC17		2271.50	2526.39	USD	6,372.25	
11SEP17	B	1	PD11DEC17		2272.00	2526.39	USD	6,359.75	
11SEP17	B	1	PD11DEC17		2276.00	2526.39	USD	6,259.75	
11SEP17	B	1	PD11DEC17		2277.50	2526.39	USD	6,222.25	
11SEP17	B	1	PD11DEC17		2282.00	2526.39	USD	6,109.75	
11SEP17	S	1	PD11DEC17		2287.50	2526.39	USD	-5,972.25	
11SEP17	S	1	PD11DEC17		2277.50	2526.39	USD	-6,222.25	
11SEP17	S	1	PD11DEC17		2279.50	2526.39	USD	-6,172.25	
14SEP17	S	2	PD11DEC17		2306.15	2526.39	USD	-11,012.00	
	NET	0AVG	BGHT 2275.80	SOLD 2291.36				1,945.00	0.00
12SEP17	S	2	PD12DEC17		2279.50	2526.54	USD	-12,352.00	
12SEP17	S	1	PD12DEC17		2280.00	2526.54	USD	-6,163.50	
12SEP17	S	2	PD12DEC17		2285.50	2526.54	USD	-12,052.00	
12SEP17	S	1	PD12DEC17		2301.50	2526.54	USD	-5,626.00	
12SEP17	S	1	PD12DEC17		2304.00	2526.54	USD	-5,563.50	
12SEP17	B	2	PD12DEC17		2306.00	2526.54	USD	11,027.00	
14SEP17	B	5	PD12DEC17		2306.63	2526.54	USD	27,488.75	
	NET	0AVG	BGHT 2306.45	SOLD 2287.93				-3,241.25	0.00
13SEP17	B	2	PD13DEC17		2290.00	2526.69	USD	11,834.50	
13SEP17	B	1	PD13DEC17		2276.00	2526.69	USD	6,267.25	
13SEP17	B	1	PD13DEC17		2277.50	2526.69	USD	6,229.75	
13SEP17	S	2	PD13DEC17		2295.50	2526.69	USD	-11,559.50	
13SEP17	S	2	PD13DEC17		2296.00	2526.69	USD	-11,534.50	
	NET	0AVG	BGHT 2283.38	SOLD 2295.75				1,237.50	0.00
14SEP17	S	1	PD14DEC17		2304.00	2526.84	USD	-5,571.00	
14SEP17	S	1	PD14DEC17		2307.50	2526.84	USD	-5,483.50	
14SEP17	S	1	PD14DEC17		2308.00	2526.84	USD	-5,471.00	
14SEP17	B	1	PD14DEC17		2323.00	2526.84	USD	5,096.00	
14SEP17	B	1	PD14DEC17		2324.00	2526.84	USD	5,071.00	
21SEP17	B	1	PD14DEC17		2517.91	2526.84	USD	223.25	
	NET	0AVG	BGHT 2388.30	SOLD 2306.50				-6,135.25	0.00
15SEP17	B	1	PD15DEC17		2323.50	2526.99	USD	5,087.25	
15SEP17	S	1	PD15DEC17		2348.50	2526.99	USD	-4,462.25	
15SEP17	S	1	PD15DEC17		2349.00	2526.99	USD	-4,449.75	
15SEP17	S	1	PD15DEC17		2360.50	2526.99	USD	-4,162.25	
15SEP17	S	1	PD15DEC17		2350.00	2526.99	USD	-4,424.75	
15SEP17	S	1	PD15DEC17		2361.00	2526.99	USD	-4,149.75	
15SEP17	B	2	PD15DEC17		2366.00	2526.99	USD	8,049.50	
15SEP17	B	2	PD15DEC17		2366.50	2526.99	USD	8,024.50	
	NET	0AVG	BGHT 2357.70	SOLD 2353.80				-487.50	0.00
18SEP17	B	1	PD18DEC17		2388.00	2527.45	USD	3,486.25	
21SEP17	S	1	PD18DEC17		2517.77	2527.45	USD	-242.00	
	NET	0AVG	BGHT 2388.00	SOLD 2517.77				3,244.25	0.00

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19SEP17	S	1	PD19DEC17	2411.50	2527.60	USD	-2,902.50	
19SEP17	S	1	PD19DEC17	2413.50	2527.60	USD	-2,852.50	
19SEP17	S	3	PD19DEC17	2415.00	2527.60	USD	-8,445.00	
19SEP17	S	1	PD19DEC17	2416.00	2527.60	USD	-2,790.00	
19SEP17	S	1	PD19DEC17	2447.50	2527.60	USD	-2,002.50	
19SEP17	B	1	PD19DEC17	2423.50	2527.60	USD	2,602.50	
19SEP17	B	2	PD19DEC17	2424.50	2527.60	USD	5,155.00	
19SEP17	B	4	PD19DEC17	2424.00	2527.60	USD	10,360.00	
	NET	0AVG BGHT 2424.07	SOLD 2419.07				-875.00	0.00
20SEP17	S	1	PD20DEC17	2442.00	2527.75	USD	-2,143.75	
20SEP17	S	1	PD20DEC17	2442.50	2527.75	USD	-2,131.25	
20SEP17	S	1	PD20DEC17	2464.00	2527.75	USD	-1,593.75	
20SEP17	S	2	PD20DEC17	2440.50	2527.75	USD	-4,362.50	
20SEP17	S	1	PD20DEC17	2457.50	2527.75	USD	-1,756.25	
20SEP17	S	1	PD20DEC17	2458.50	2527.75	USD	-1,731.25	
20SEP17	S	1	PD20DEC17	2465.00	2527.75	USD	-1,568.75	
20SEP17	S	1	PD20DEC17	2469.50	2527.75	USD	-1,456.25	
20SEP17	S	1	PD20DEC17	2453.50	2527.75	USD	-1,856.25	
21SEP17	B	10	PD20DEC17	2518.20	2527.75	USD	2,387.50	
	NET	0AVG BGHT 2518.20	SOLD 2453.35				-16,212.50	0.00
21SEP17	S	1	PD21DEC17	2446.50	2526.75	USD	-2,006.25	
21SEP17	S	1	PD21DEC17	2447.00	2526.75	USD	-1,993.75	
21SEP17	S	1	PD21DEC17	2445.00	2526.75	USD	-2,043.75	
21SEP17	S	1	PD21DEC17	2445.50	2526.75	USD	-2,031.25	
21SEP17	S	1	PD21DEC17	2464.50	2526.75	USD	-1,556.25	
21SEP17	S	1	PD21DEC17	2473.50	2526.75	USD	-1,331.25	
21SEP17	S	1	PD21DEC17	2443.00	2526.75	USD	-2,093.75	
21SEP17	S	1	PD21DEC17	2475.50	2526.75	USD	-1,281.25	
21SEP17	S	1	PD21DEC17	2476.50	2526.75	USD	-1,256.25	
21SEP17	S	1	PD21DEC17	2474.50	2526.75	USD	-1,306.25	
21SEP17	S	1	PD21DEC17	2470.50	2526.75	USD	-1,406.25	
21SEP17	B	1	PD21DEC17	2467.00	2526.75	USD	1,493.75	
21SEP17	B	1	PD21DEC17	2473.00	2526.75	USD	1,343.75	
21SEP17	B	1	PD21DEC17	2475.50	2526.75	USD	1,281.25	
21SEP17	B	1	PD21DEC17	2476.50	2526.75	USD	1,256.25	
21SEP17	B	1	PD21DEC17	2479.00	2526.75	USD	1,193.75	
21SEP17	B	1	PD21DEC17	2480.50	2526.75	USD	1,156.25	
21SEP17	B	1	PD21DEC17	2481.00	2526.75	USD	1,143.75	
21SEP17	B	1	PD21DEC17	2484.00	2526.75	USD	1,068.75	
21SEP17	B	1	PD21DEC17	2469.00	2526.75	USD	1,443.75	
21SEP17	B	2	PD21DEC17	2472.00	2526.75	USD	2,737.50	
21SEP17	B	1	PD21DEC17	2456.50	2526.75	USD	1,756.25	
21SEP17	B	1	PD21DEC17	2459.00	2526.75	USD	1,693.75	
21SEP17	S	2	PD21DEC17	2462.50	2526.75	USD	-3,212.50	
	NET	0AVG BGHT 2472.69	SOLD 2460.54				-3,950.00	0.00
22SEP17	B	1	PD22DEC17	2415.00	2526.90	USD	2,797.50	
22SEP17	B	2	PD22DEC17	2416.00	2526.90	USD	5,545.00	
22SEP17	B	1	PD22DEC17	2383.00	2526.90	USD	3,597.50	
22SEP17	B	1	PD22DEC17	2385.50	2526.90	USD	3,535.00	
22SEP17	B	1	PD22DEC17	2386.00	2526.90	USD	3,522.50	
22SEP17	B	1	PD22DEC17	2392.00	2526.90	USD	3,372.50	
22SEP17	B	1	PD22DEC17	2392.50	2526.90	USD	3,360.00	
22SEP17	S	2	PD22DEC17	2419.50	2526.90	USD	-5,370.00	
22SEP17	S	1	PD22DEC17	2420.50	2526.90	USD	-2,660.00	
22SEP17	S	1	PD22DEC17	2421.50	2526.90	USD	-2,635.00	
22SEP17	S	1	PD22DEC17	2435.50	2526.90	USD	-2,285.00	

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22SEP17	S	1	PD22DEC17	2443.50	2526.90	USD	-2,085.00	
22SEP17	S	1	PD22DEC17	2444.00	2526.90	USD	-2,072.50	
22SEP17	S	1	PD22DEC17	2447.00	2526.90	USD	-1,997.50	
22SEP17	S	1	PD22DEC17	2449.50	2526.90	USD	-1,935.00	
22SEP17	S	1	PD22DEC17	2452.00	2526.90	USD	-1,872.50	
22SEP17	B	2	PD22DEC17	2416.50	2526.90	USD	5,520.00	
22SEP17	S	1	PD22DEC17	2482.00	2526.90	USD	-1,122.50	
22SEP17	S	1	PD22DEC17	2481.50	2526.90	USD	-1,135.00	
22SEP17	S	1	PD22DEC17	2473.50	2526.90	USD	-1,335.00	
22SEP17	B	1	PD22DEC17	2483.50	2526.90	USD	1,085.00	
22SEP17	B	1	PD22DEC17	2484.00	2526.90	USD	1,072.50	
22SEP17	B	1	PD22DEC17	2489.00	2526.90	USD	947.50	
22SEP17	B	1	PD22DEC17	2488.00	2526.90	USD	972.50	
22SEP17	S	1	PD22DEC17	2475.50	2526.90	USD	-1,285.00	
	NET	0AVG	BGHT 2425.96	SOLD 2447.50			7,537.50	0.00
25SEP17	B	1	PD27DEC17	2483.50	2527.64	USD	1,103.50	
25SEP17	B	1	PD27DEC17	2484.50	2527.64	USD	1,078.50	
25SEP17	B	1	PD27DEC17	2481.50	2527.64	USD	1,153.50	
25SEP17	B	1	PD27DEC17	2477.50	2527.64	USD	1,253.50	
25SEP17	B	1	PD27DEC17	2480.50	2527.64	USD	1,178.50	
26SEP17	B	1	PD27DEC17	2484.00	2527.64	USD	1,091.00	
26SEP17	B	2	PD27DEC17	2488.50	2527.64	USD	1,957.00	
26SEP17	B	2	PD27DEC17	2490.00	2527.64	USD	1,882.00	
26SEP17	S	1	PD27DEC17	2502.50	2527.64	USD	-628.50	
26SEP17	S	1	PD27DEC17	2509.00	2527.64	USD	-466.00	
26SEP17	S	1	PD27DEC17	2510.00	2527.64	USD	-441.00	
26SEP17	B	3	PD27DEC17	2494.00	2527.64	USD	2,523.00	
26SEP17	S	10	PD27DEC17	2487.80	2527.64	USD	-9,960.00	
27SEP17	B	1	PD27DEC17	2479.50	2527.64	USD	1,203.50	
27SEP17	B	1	PD27DEC17	2480.00	2527.64	USD	1,191.00	
27SEP17	S	1	PD27DEC17	2457.50	2527.64	USD	-1,753.50	
27SEP17	S	1	PD27DEC17	2452.00	2527.64	USD	-1,891.00	
	NET	0AVG	BGHT 2486.00	SOLD 2487.27			475.00	0.00
28SEP17	S	1	PD28DEC17	2458.50	2527.78	USD	-1,732.00	
28SEP17	S	1	PD28DEC17	2460.50	2527.78	USD	-1,682.00	
28SEP17	S	1	PD28DEC17	2465.00	2527.78	USD	-1,569.00	
28SEP17	S	1	PD28DEC17	2465.50	2527.78	USD	-1,557.00	
28SEP17	S	1	PD28DEC17	2467.00	2527.78	USD	-1,519.50	
28SEP17	B	2	PD28DEC17	2464.50	2527.78	USD	3,164.00	
28SEP17	B	1	PD28DEC17	2468.00	2527.78	USD	1,494.50	
28SEP17	B	1	PD28DEC17	2472.50	2527.78	USD	1,382.00	
28SEP17	B	1	PD28DEC17	2473.00	2527.78	USD	1,369.50	
28SEP17	S	1	PD28DEC17	2475.00	2527.78	USD	-1,319.50	
28SEP17	S	1	PD28DEC17	2473.50	2527.78	USD	-1,357.00	
28SEP17	B	1	PD28DEC17	2459.50	2527.78	USD	1,707.00	
28SEP17	B	1	PD28DEC17	2460.50	2527.78	USD	1,682.00	
28SEP17	B	1	PD28DEC17	2461.00	2527.78	USD	1,669.50	
28SEP17	B	1	PD28DEC17	2465.50	2527.78	USD	1,557.00	
28SEP17	B	1	PD28DEC17	2462.50	2527.78	USD	1,632.00	
28SEP17	S	1	PD28DEC17	2471.50	2527.78	USD	-1,407.00	
28SEP17	S	3	PD28DEC17	2473.00	2527.78	USD	-4,108.50	
28SEP17	S	4	PD28DEC17	2474.00	2527.78	USD	-5,378.00	
28SEP17	S	1	PD28DEC17	2483.00	2527.78	USD	-1,119.50	
28SEP17	S	1	PD28DEC17	2484.50	2527.78	USD	-1,082.00	
28SEP17	S	1	PD28DEC17	2479.50	2527.78	USD	-1,207.00	
28SEP17	S	2	PD28DEC17	2485.00	2527.78	USD	-2,139.00	
28SEP17	S	1	PD28DEC17	2487.00	2527.78	USD	-1,019.50	

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10OCT17	B	11	PD28DEC17	2554.21	2527.78	USD	-7,268.25	
	NET	0 AVG BGHT 2511.80	SOLD 2474.07				-19,807.75	0.00
29SEP17	S	1	PD29DEC17	2487.00	2527.93	USD	-1,023.25	
29SEP17	S	1	PD29DEC17	2491.50	2527.93	USD	-910.75	
29SEP17	S	1	PD29DEC17	2503.00	2527.93	USD	-623.25	
29SEP17	S	1	PD29DEC17	2491.00	2527.93	USD	-923.25	
29SEP17	B	1	PD29DEC17	2495.50	2527.93	USD	810.75	
29SEP17	B	1	PD29DEC17	2495.00	2527.93	USD	823.25	
29SEP17	B	1	PD29DEC17	2496.00	2527.93	USD	798.25	
29SEP17	B	3	PD29DEC17	2497.50	2527.93	USD	2,282.25	
29SEP17	B	1	PD29DEC17	2496.50	2527.93	USD	785.75	
29SEP17	B	1	PD29DEC17	2487.00	2527.93	USD	1,023.25	
29SEP17	B	3	PD29DEC17	2488.00	2527.93	USD	2,994.75	
29SEP17	B	2	PD29DEC17	2489.00	2527.93	USD	1,946.50	
10OCT17	S	9	PD29DEC17	2554.23	2527.93	USD	5,917.50	
	NET	0 AVG BGHT 2492.65	SOLD 2535.43				13,901.75	0.00
03OCT17	S	1	PD03JAN18	2542.50	2528.67	USD	345.75	
03OCT17	S	1	PD03JAN18	2563.50	2528.67	USD	870.75	
03OCT17	S	1	PD03JAN18	2564.00	2528.67	USD	883.25	
03OCT17	B	1	PD03JAN18	2594.50	2528.67	USD	-1,645.75	
03OCT17	B	2	PD03JAN18	2597.00	2528.67	USD	-3,416.50	
	NET	0 AVG BGHT 2596.17	SOLD 2556.67				-2,962.50	0.00
04OCT17	S	1	PD04JAN18	2607.00	2528.82	USD	1,954.50	
04OCT17	B	2	PD04JAN18	2590.50	2528.82	USD	-3,084.00	
04OCT17	B	1	PD04JAN18	2591.00	2528.82	USD	-1,554.50	
04OCT17	B	1	PD04JAN18	2592.00	2528.82	USD	-1,579.50	
04OCT17	B	1	PD04JAN18	2590.00	2528.82	USD	-1,529.50	
04OCT17	S	1	PD04JAN18	2612.50	2528.82	USD	2,092.00	
04OCT17	S	1	PD04JAN18	2614.00	2528.82	USD	2,129.50	
04OCT17	B	1	PD04JAN18	2561.50	2528.82	USD	-817.00	
04OCT17	S	1	PD04JAN18	2583.50	2528.82	USD	1,367.00	
04OCT17	S	1	PD04JAN18	2592.00	2528.82	USD	1,579.50	
04OCT17	B	1	PD04JAN18	2582.50	2528.82	USD	-1,342.00	
04OCT17	B	1	PD04JAN18	2564.50	2528.82	USD	-892.00	
04OCT17	B	1	PD04JAN18	2556.50	2528.82	USD	-692.00	
04OCT17	B	2	PD04JAN18	2557.00	2528.82	USD	-1,409.00	
04OCT17	B	1	PD04JAN18	2548.50	2528.82	USD	-492.00	
04OCT17	B	1	PD04JAN18	2546.00	2528.82	USD	-429.50	
04OCT17	S	1	PD04JAN18	2547.50	2528.82	USD	467.00	
04OCT17	S	1	PD04JAN18	2548.50	2528.82	USD	492.00	
10OCT17	S	2	PD04JAN18	2555.51	2528.82	USD	1,334.50	
10OCT17	S	4	PD04JAN18	2555.71	2528.82	USD	2,689.00	
	NET	0 AVG BGHT 2571.35	SOLD 2572.22				284.00	0.00
05OCT17	S	2	PD05JAN18	2555.50	2528.97	USD	1,326.50	
05OCT17	S	1	PD05JAN18	2556.50	2528.97	USD	688.25	
05OCT17	S	1	PD05JAN18	2572.00	2528.97	USD	1,075.75	
05OCT17	B	1	PD05JAN18	2582.50	2528.97	USD	-1,338.25	
05OCT17	B	1	PD05JAN18	2583.00	2528.97	USD	-1,350.75	
06OCT17	B	1	PD05JAN18	2558.50	2528.97	USD	-738.25	
06OCT17	B	1	PD05JAN18	2560.00	2528.97	USD	-775.75	
06OCT17	B	2	PD05JAN18	2559.00	2528.97	USD	-1,501.50	
06OCT17	B	1	PD05JAN18	2554.50	2528.97	USD	-638.25	
06OCT17	B	1	PD05JAN18	2551.50	2528.97	USD	-563.25	
06OCT17	B	1	PD05JAN18	2552.00	2528.97	USD	-575.75	
06OCT17	S	1	PD05JAN18	2551.50	2528.97	USD	563.25	
06OCT17	S	2	PD05JAN18	2582.00	2528.97	USD	2,651.50	

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	<u>LME LEAD 25 TONNES. THIS IS AN</u>							
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06OCT17	B	1	PD05JAN18	2545.50	2528.97	USD	-413.25	
06OCT17	B	1	PD05JAN18	2544.50	2528.97	USD	-388.25	
06OCT17	S	1	PD05JAN18	2548.00	2528.97	USD	475.75	
06OCT17	B	1	PD05JAN18	2526.00	2528.97	USD	74.25	
06OCT17	B	1	PD05JAN18	2523.00	2528.97	USD	149.25	
06OCT17	S	1	PD05JAN18	2527.50	2528.97	USD	-36.75	
06OCT17	S	2	PD05JAN18	2528.00	2528.97	USD	-48.50	
06OCT17	S	1	PD05JAN18	2529.50	2528.97	USD	13.25	
06OCT17	B	1	PD05JAN18	2527.00	2528.97	USD	49.25	
06OCT17	S	5	PD05JAN18	2529.00	2528.97	USD	3.75	
06OCT17	B	1	PD05JAN18	2541.50	2528.97	USD	-313.25	
06OCT17	S	1	PD05JAN18	2537.00	2528.97	USD	200.75	
06OCT17	S	1	PD05JAN18	2542.00	2528.97	USD	325.75	
06OCT17	S	1	PD05JAN18	2545.00	2528.97	USD	400.75	
06OCT17	S	1	PD05JAN18	2546.00	2528.97	USD	425.75	
06OCT17	B	2	PD05JAN18	2542.50	2528.97	USD	-676.50	
10OCT17	B	4	PD05JAN18	2556.13	2528.97	USD	-2,716.00	
	NET	0 AVG BGHT 2551.29	SOLD 2544.33				-3,650.50	0.00
09OCT17	B	2	PD09JAN18	2526.00	2529.56	USD	178.00	
09OCT17	B	1	PD09JAN18	2528.50	2529.56	USD	26.50	
09OCT17	B	1	PD09JAN18	2529.50	2529.56	USD	1.50	
09OCT17	B	1	PD09JAN18	2530.00	2529.56	USD	-11.00	
09OCT17	B	1	PD09JAN18	2525.00	2529.56	USD	114.00	
09OCT17	B	2	PD09JAN18	2505.00	2529.56	USD	1,228.00	
09OCT17	B	1	PD09JAN18	2508.00	2529.56	USD	539.00	
09OCT17	B	1	PD09JAN18	2509.00	2529.56	USD	514.00	
09OCT17	B	2	PD09JAN18	2509.50	2529.56	USD	1,003.00	
09OCT17	B	1	PD09JAN18	2501.00	2529.56	USD	714.00	
09OCT17	S	1	PD09JAN18	2522.50	2529.56	USD	-176.50	
09OCT17	S	2	PD09JAN18	2526.00	2529.56	USD	-178.00	
09OCT17	B	2	PD09JAN18	2516.50	2529.56	USD	653.00	
09OCT17	S	1	PD09JAN18	2519.00	2529.56	USD	-264.00	
09OCT17	B	2	PD09JAN18	2501.50	2529.56	USD	1,403.00	
09OCT17	B	2	PD09JAN18	2503.50	2529.56	USD	1,303.00	
09OCT17	B	1	PD09JAN18	2497.00	2529.56	USD	814.00	
09OCT17	S	2	PD09JAN18	2517.00	2529.56	USD	-628.00	
09OCT17	S	2	PD09JAN18	2515.00	2529.56	USD	-728.00	
09OCT17	S	8	PD09JAN18	2511.80	2529.56	USD	-3,552.00	
09OCT17	S	1	PD09JAN18	2516.00	2529.56	USD	-339.00	
09OCT17	S	1	PD09JAN18	2518.50	2529.56	USD	-276.50	
	NET	+2 AVG BGHT 2512.60	SOLD 2515.91				2,338.00	0.00
10OCT17	B	1	PD10JAN18	2498.00	2529.70	USD	792.50	
10OCT17	B	2	PD10JAN18	2498.50	2529.70	USD	1,560.00	
10OCT17	B	1	PD10JAN18	2499.00	2529.70	USD	767.50	
10OCT17	B	1	PD10JAN18	2499.50	2529.70	USD	755.00	
10OCT17	B	1	PD10JAN18	2502.00	2529.70	USD	692.50	
10OCT17	B	1	PD10JAN18	2519.00	2529.70	USD	267.50	
10OCT17	S	1	PD10JAN18	2500.50	2529.70	USD	-730.00	
10OCT17	S	1	PD10JAN18	2501.00	2529.70	USD	-717.50	
10OCT17	S	1	PD10JAN18	2503.00	2529.70	USD	-667.50	
10OCT17	S	2	PD10JAN18	2504.00	2529.70	USD	-1,285.00	
10OCT17	S	1	PD10JAN18	2505.00	2529.70	USD	-617.50	
10OCT17	S	1	PD10JAN18	2513.00	2529.70	USD	-417.50	
10OCT17	S	1	PD10JAN18	2515.50	2529.70	USD	-355.00	
10OCT17	S	1	PD10JAN18	2520.00	2529.70	USD	-242.50	
10OCT17	S	1	PD10JAN18	2520.50	2529.70	USD	-230.00	
10OCT17	B	2	PD10JAN18	2559.00	2529.70	USD	-1,465.00	

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	<u>LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>								
	NET	-1 AVG BGHT 2514.72	SOLD 2508.65					-1,892.50	0.00
11OCT17	B	1	PD11JAN18		2518.00	2529.85	USD	296.25	
11OCT17	B	1	PD11JAN18		2518.50	2529.85	USD	283.75	
11OCT17	B	3	PD11JAN18		2524.50	2529.85	USD	401.25	
11OCT17	B	1	PD11JAN18		2525.50	2529.85	USD	108.75	
11OCT17	B	1	PD11JAN18		2527.00	2529.85	USD	71.25	
11OCT17	S	1	PD11JAN18		2526.00	2529.85	USD	-96.25	
11OCT17	S	1	PD11JAN18		2526.50	2529.85	USD	-83.75	
11OCT17	S	1	PD11JAN18		2528.00	2529.85	USD	-46.25	
11OCT17	S	1	PD11JAN18		2534.00	2529.85	USD	103.75	
11OCT17	S	1	PD11JAN18		2541.00	2529.85	USD	278.75	
11OCT17	S	1	PD11JAN18		2558.00	2529.85	USD	703.75	
11OCT17	S	3	PD11JAN18		2561.00	2529.85	USD	2,336.25	
11OCT17	S	1	PD11JAN18		2561.50	2529.85	USD	791.25	
11OCT17	S	1	PD11JAN18		2560.00	2529.85	USD	753.75	
	NET	-4 AVG BGHT 2523.21	SOLD 2547.09					5,902.50	0.00
12OCT17	S	1	PD12JAN18		2543.50	2530.00	USD	337.50	
12OCT17	S	1	PD12JAN18		2545.00	2530.00	USD	375.00	
12OCT17	S	1	PD12JAN18		2544.50	2530.00	USD	362.50	
12OCT17	S	1	PD12JAN18		2567.00	2530.00	USD	925.00	
12OCT17	S	2	PD12JAN18		2566.00	2530.00	USD	1,800.00	
12OCT17	B	1	PD12JAN18		2571.50	2530.00	USD	-1,037.50	
12OCT17	B	1	PD12JAN18		2572.00	2530.00	USD	-1,050.00	
12OCT17	B	1	PD12JAN18		2574.00	2530.00	USD	-1,100.00	
12OCT17	B	1	PD12JAN18		2569.00	2530.00	USD	-975.00	
12OCT17	B	2	PD12JAN18		2570.00	2530.00	USD	-2,000.00	
12OCT17	S	1	PD12JAN18		2583.50	2530.00	USD	1,337.50	
12OCT17	S	1	PD12JAN18		2581.00	2530.00	USD	1,275.00	
12OCT17	B	2	PD12JAN18		2565.00	2530.00	USD	-1,750.00	
12OCT17	B	4	PD12JAN18		2565.50	2530.00	USD	-3,550.00	
13OCT17	S	1	PD12JAN18		2542.00	2530.00	USD	300.00	
13OCT17	B	1	PD12JAN18		2551.50	2530.00	USD	-537.50	
13OCT17	S	1	PD12JAN18		2538.50	2530.00	USD	212.50	
	NET	+3 AVG BGHT 2566.92	SOLD 2557.70					-5,075.00	0.00
	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>								
18JUL17	S	1	PD18OCT17		9635.00	11610.50	USD	-11,853.00	
18JUL17	S	1	PD18OCT17		9640.00	11610.50	USD	-11,823.00	
27JUL17	B	2	PD18OCT17		10131.00	11610.50	USD	17,754.00	
	NET	0 AVG BGHT 10131.00	SOLD 9637.50					-5,922.00	0.00
19JUL17	S	2	PD19OCT17		9755.00	11610.50	USD	-22,266.00	
19JUL17	S	1	PD19OCT17		9760.00	11610.50	USD	-11,103.00	
27JUL17	B	3	PD19OCT17		10131.00	11610.50	USD	26,631.00	
	NET	0 AVG BGHT 10131.00	SOLD 9756.67					-6,738.00	0.00
28JUL17	B	1	PD27OCT17		10050.00	11617.31	USD	9,403.86	
09AUG17	S	1	PD27OCT17		10753.50	11617.31	USD	-5,182.86	
	NET	0 AVG BGHT 10050.00	SOLD 10753.50					4,221.00	0.00
01AUG17	B	1	PD01NOV17		10130.00	11621.57	USD	8,949.42	
09AUG17	S	1	PD01NOV17		10756.00	11621.57	USD	-5,193.42	
	NET	0 AVG BGHT 10130.00	SOLD 10756.00					3,756.00	0.00
02AUG17	S	1	PD02NOV17		10180.00	11622.43	USD	-8,654.58	
02AUG17	S	1	PD02NOV17		10190.00	11622.43	USD	-8,594.58	
09AUG17	B	2	PD02NOV17		10757.50	11622.43	USD	10,379.16	
	NET	0 AVG BGHT 10757.50	SOLD 10185.00					-6,870.00	0.00
13SEP17	B	2	PD13DEC17		11685.00	11652.70	USD	-387.60	

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
13SEP17	B	1	PD13DEC17	11635.00	11652.70	USD	106.20	
13SEP17	B	1	PD13DEC17	11615.00	11652.70	USD	226.20	
13SEP17	S	1	PD13DEC17	11555.00	11652.70	USD	-586.20	
13SEP17	B	1	PD13DEC17	11570.00	11652.70	USD	496.20	
13SEP17	S	1	PD13DEC17	11560.00	11652.70	USD	-556.20	
21SEP17	S	3	PD13DEC17	10998.70	11652.70	USD	-11,772.00	
	NET	0 AVG	BGHT 11638.00				-12,473.40	0.00
20SEP17	S	1	PD20DEC17	11365.00	11657.50	USD	-1,755.00	
20SEP17	S	1	PD20DEC17	11360.00	11657.50	USD	-1,785.00	
20SEP17	S	1	PD20DEC17	11370.00	11657.50	USD	-1,725.00	
21SEP17	B	3	PD20DEC17	11005.50	11657.50	USD	11,736.00	
	NET	0 AVG	BGHT 11005.50				6,471.00	0.00
22SEP17	B	1	PD22DEC17	10625.00	11659.02	USD	6,204.12	
22SEP17	B	1	PD22DEC17	10630.00	11659.02	USD	6,174.12	
22SEP17	B	1	PD22DEC17	10610.00	11659.02	USD	6,294.12	
22SEP17	B	1	PD22DEC17	10530.00	11659.02	USD	6,774.12	
22SEP17	B	1	PD22DEC17	10540.00	11659.02	USD	6,714.12	
22SEP17	S	1	PD22DEC17	10620.00	11659.02	USD	-6,234.12	
22SEP17	S	1	PD22DEC17	10625.00	11659.02	USD	-6,204.12	
22SEP17	S	1	PD22DEC17	10630.00	11659.02	USD	-6,174.12	
22SEP17	S	2	PD22DEC17	10640.00	11659.02	USD	-12,228.24	
	NET	0 AVG	BGHT 10587.00				1,320.00	0.00
26SEP17	B	1	PD27DEC17	10645.00	11662.83	USD	6,106.98	
26SEP17	B	2	PD27DEC17	10650.00	11662.83	USD	12,153.96	
26SEP17	B	1	PD27DEC17	10575.00	11662.83	USD	6,526.98	
27SEP17	S	1	PD27DEC17	10565.00	11662.83	USD	-6,586.98	
27SEP17	S	1	PD27DEC17	10570.00	11662.83	USD	-6,556.98	
27SEP17	S	1	PD27DEC17	10325.00	11662.83	USD	-8,026.98	
27SEP17	S	1	PD27DEC17	10315.00	11662.83	USD	-8,086.98	
	NET	0 AVG	BGHT 10630.00				-4,470.00	0.00
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
17JUL17	S	2	PD17OCT17	2824.00	3294.00	USD	-23,500.00	
17JUL17	S	1	PD17OCT17	2835.50	3294.00	USD	-11,462.50	
17JUL17	S	1	PD17OCT17	2837.00	3294.00	USD	-11,425.00	
17JUL17	B	2	PD17OCT17	2824.50	3294.00	USD	23,475.00	
17JUL17	B	1	PD17OCT17	2823.50	3294.00	USD	11,762.50	
17JUL17	S	1	PD17OCT17	2835.00	3294.00	USD	-11,475.00	
17JUL17	S	1	PD17OCT17	2836.50	3294.00	USD	-11,437.50	
17JUL17	B	1	PD17OCT17	2819.50	3294.00	USD	11,862.50	
17JUL17	B	2	PD17OCT17	2822.50	3294.00	USD	23,575.00	
	NET	0 AVG	BGHT 2822.83				1,375.00	0.00
18JUL17	B	1	PD18OCT17	2802.00	3291.00	USD	12,225.00	
18JUL17	S	1	PD18OCT17	2793.00	3291.00	USD	-12,450.00	
18JUL17	B	1	PD18OCT17	2792.50	3291.00	USD	12,462.50	
18JUL17	B	2	PD18OCT17	2793.00	3291.00	USD	24,900.00	
18JUL17	B	1	PD18OCT17	2794.50	3291.00	USD	12,412.50	
18JUL17	S	3	PD18OCT17	2795.50	3291.00	USD	-37,162.50	
18JUL17	S	1	PD18OCT17	2795.00	3291.00	USD	-12,400.00	
18JUL17	S	2	PD18OCT17	2789.50	3291.00	USD	-25,075.00	
18JUL17	S	1	PD18OCT17	2788.50	3291.00	USD	-12,562.50	
26JUL17	B	3	PD18OCT17	2809.00	3291.00	USD	36,150.00	
	NET	0 AVG	BGHT 2800.25				-1,500.00	0.00
19JUL17	B	1	PD19OCT17	2793.00	3286.50	USD	12,337.50	
19JUL17	B	1	PD19OCT17	2794.00	3286.50	USD	12,312.50	

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19JUL17	B	1	PD19OCT17	2795.50	3286.50	USD	12,275.00	
19JUL17	B	1	PD19OCT17	2796.00	3286.50	USD	12,262.50	
19JUL17	B	1	PD19OCT17	2797.50	3286.50	USD	12,225.00	
19JUL17	S	5	PD19OCT17	2795.50	3286.50	USD	-61,375.00	
19JUL17	B	1	PD19OCT17	2766.50	3286.50	USD	13,000.00	
19JUL17	B	4	PD19OCT17	2773.50	3286.50	USD	51,300.00	
19JUL17	B	2	PD19OCT17	2774.00	3286.50	USD	25,625.00	
19JUL17	B	2	PD19OCT17	2766.00	3286.50	USD	26,025.00	
19JUL17	B	1	PD19OCT17	2767.00	3286.50	USD	12,987.50	
19JUL17	S	1	PD19OCT17	2767.50	3286.50	USD	-12,975.00	
19JUL17	S	3	PD19OCT17	2735.00	3286.50	USD	-41,362.50	
19JUL17	S	2	PD19OCT17	2734.50	3286.50	USD	-27,600.00	
19JUL17	S	1	PD19OCT17	2735.50	3286.50	USD	-13,775.00	
26JUL17	S	3	PD19OCT17	2806.80	3286.50	USD	-35,977.50	
	NET	0	AVG BGHT 2778.90	SOLD 2771.66			-2,715.00	0.00
20JUL17	B	1	PD20OCT17	2730.00	3282.00	USD	13,800.00	
20JUL17	B	2	PD20OCT17	2731.00	3282.00	USD	27,550.00	
20JUL17	B	2	PD20OCT17	2737.00	3282.00	USD	27,250.00	
20JUL17	S	1	PD20OCT17	2736.50	3282.00	USD	-13,637.50	
20JUL17	S	2	PD20OCT17	2749.00	3282.00	USD	-26,650.00	
20JUL17	S	2	PD20OCT17	2749.50	3282.00	USD	-26,625.00	
20JUL17	B	2	PD20OCT17	2744.00	3282.00	USD	26,900.00	
20JUL17	B	1	PD20OCT17	2743.50	3282.00	USD	13,462.50	
20JUL17	B	1	PD20OCT17	2741.50	3282.00	USD	13,512.50	
21JUL17	S	2	PD20OCT17	2740.00	3282.00	USD	-27,100.00	
21JUL17	S	1	PD20OCT17	2749.00	3282.00	USD	-13,325.00	
21JUL17	B	1	PD20OCT17	2764.50	3282.00	USD	12,937.50	
21JUL17	B	1	PD20OCT17	2765.50	3282.00	USD	12,912.50	
21JUL17	S	1	PD20OCT17	2763.50	3282.00	USD	-12,962.50	
21JUL17	S	1	PD20OCT17	2761.50	3282.00	USD	-13,012.50	
21JUL17	S	2	PD20OCT17	2763.00	3282.00	USD	-25,950.00	
21JUL17	S	2	PD20OCT17	2762.50	3282.00	USD	-25,975.00	
21JUL17	S	3	PD20OCT17	2764.00	3282.00	USD	-38,850.00	
21JUL17	S	2	PD20OCT17	2764.50	3282.00	USD	-25,875.00	
21JUL17	S	1	PD20OCT17	2758.50	3282.00	USD	-13,087.50	
21JUL17	S	1	PD20OCT17	2760.00	3282.00	USD	-13,050.00	
21JUL17	S	1	PD20OCT17	2741.00	3282.00	USD	-13,525.00	
21JUL17	B	1	PD20OCT17	2765.00	3282.00	USD	12,925.00	
21JUL17	S	1	PD20OCT17	2772.50	3282.00	USD	-12,737.50	
21JUL17	S	1	PD20OCT17	2767.50	3282.00	USD	-12,862.50	
21JUL17	B	1	PD20OCT17	2744.50	3282.00	USD	13,437.50	
21JUL17	B	1	PD20OCT17	2747.50	3282.00	USD	13,362.50	
21JUL17	B	1	PD20OCT17	2748.00	3282.00	USD	13,350.00	
21JUL17	B	1	PD20OCT17	2750.00	3282.00	USD	13,300.00	
21JUL17	B	1	PD20OCT17	2743.00	3282.00	USD	13,475.00	
21JUL17	B	4	PD20OCT17	2743.50	3282.00	USD	53,850.00	
21JUL17	B	1	PD20OCT17	2745.00	3282.00	USD	13,425.00	
21JUL17	B	1	PD20OCT17	2742.00	3282.00	USD	13,500.00	
21JUL17	B	1	PD20OCT17	2745.50	3282.00	USD	13,412.50	
	NET	0	AVG BGHT 2744.73	SOLD 2756.63			7,137.50	0.00
24JUL17	S	1	PD24OCT17	2779.50	3276.00	USD	-12,412.50	
24JUL17	S	1	PD24OCT17	2787.00	3276.00	USD	-12,225.00	
24JUL17	S	1	PD24OCT17	2779.00	3276.00	USD	-12,425.00	
24JUL17	S	2	PD24OCT17	2786.50	3276.00	USD	-24,475.00	
24JUL17	S	1	PD24OCT17	2778.50	3276.00	USD	-12,437.50	
24JUL17	S	5	PD24OCT17	2777.50	3276.00	USD	-62,312.50	
24JUL17	B	1	PD24OCT17	2777.50	3276.00	USD	12,462.50	

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24JUL17	B	1	PD240CT17		2775.00	3276.00	USD	12,525.00	
24JUL17	B	2	PD240CT17		2775.50	3276.00	USD	25,025.00	
24JUL17	B	1	PD240CT17		2776.00	3276.00	USD	12,500.00	
24JUL17	B	1	PD240CT17		2774.50	3276.00	USD	12,537.50	
24JUL17	B	1	PD240CT17		2776.50	3276.00	USD	12,487.50	
24JUL17	B	1	PD240CT17		2777.00	3276.00	USD	12,475.00	
24JUL17	B	2	PD240CT17		2770.50	3276.00	USD	25,275.00	
24JUL17	S	1	PD240CT17		2783.00	3276.00	USD	-12,325.00	
24JUL17	S	1	PD240CT17		2783.50	3276.00	USD	-12,312.50	
24JUL17	S	1	PD240CT17		2787.50	3276.00	USD	-12,212.50	
26JUL17	B	3	PD240CT17		2807.71	3276.00	USD	35,121.75	
27JUL17	B	1	PD240CT17		2800.50	3276.00	USD	11,887.50	
	NET	0 AVG	BGHT 2783.72	SOLD 2781.32				-840.75	0.00
25JUL17	B	1	PD250CT17		2802.00	3273.00	USD	11,775.00	
25JUL17	B	2	PD250CT17		2802.50	3273.00	USD	23,525.00	
25JUL17	S	2	PD250CT17		2812.00	3273.00	USD	-23,050.00	
25JUL17	S	2	PD250CT17		2812.50	3273.00	USD	-23,025.00	
25JUL17	S	1	PD250CT17		2814.00	3273.00	USD	-11,475.00	
25JUL17	S	1	PD250CT17		2816.50	3273.00	USD	-11,412.50	
25JUL17	S	1	PD250CT17		2817.50	3273.00	USD	-11,387.50	
25JUL17	S	1	PD250CT17		2819.00	3273.00	USD	-11,350.00	
25JUL17	S	1	PD250CT17		2822.50	3273.00	USD	-11,262.50	
25JUL17	S	1	PD250CT17		2823.00	3273.00	USD	-11,250.00	
25JUL17	S	1	PD250CT17		2828.00	3273.00	USD	-11,125.00	
25JUL17	B	1	PD250CT17		2832.00	3273.00	USD	11,025.00	
25JUL17	S	1	PD250CT17		2813.00	3273.00	USD	-11,500.00	
25JUL17	S	2	PD250CT17		2820.50	3273.00	USD	-22,625.00	
25JUL17	B	1	PD250CT17		2825.50	3273.00	USD	11,187.50	
25JUL17	B	2	PD250CT17		2826.00	3273.00	USD	22,350.00	
25JUL17	B	1	PD250CT17		2821.00	3273.00	USD	11,300.00	
25JUL17	B	1	PD250CT17		2822.00	3273.00	USD	11,275.00	
25JUL17	S	2	PD250CT17		2821.00	3273.00	USD	-22,600.00	
25JUL17	B	1	PD250CT17		2842.50	3273.00	USD	10,762.50	
25JUL17	B	1	PD250CT17		2843.50	3273.00	USD	10,737.50	
25JUL17	B	1	PD250CT17		2836.50	3273.00	USD	10,912.50	
25JUL17	B	2	PD250CT17		2837.00	3273.00	USD	21,800.00	
25JUL17	B	2	PD250CT17		2838.00	3273.00	USD	21,750.00	
25JUL17	B	1	PD250CT17		2835.50	3273.00	USD	10,937.50	
25JUL17	B	1	PD250CT17		2836.00	3273.00	USD	10,925.00	
25JUL17	B	1	PD250CT17		2840.50	3273.00	USD	10,812.50	
26JUL17	S	3	PD250CT17		2807.66	3273.00	USD	-34,900.50	
	NET	0 AVG	BGHT 2828.63	SOLD 2816.24				-5,888.00	0.00
26JUL17	B	1	PD260CT17		2810.00	3271.87	USD	11,546.75	
26JUL17	S	1	PD260CT17		2805.50	3271.87	USD	-11,659.25	
26JUL17	S	1	PD260CT17		2820.00	3271.87	USD	-11,296.75	
26JUL17	S	1	PD260CT17		2821.50	3271.87	USD	-11,259.25	
26JUL17	B	1	PD260CT17		2810.50	3271.87	USD	11,534.25	
26JUL17	B	1	PD260CT17		2821.00	3271.87	USD	11,271.75	
26JUL17	B	1	PD260CT17		2805.50	3271.87	USD	11,659.25	
26JUL17	B	1	PD260CT17		2806.00	3271.87	USD	11,646.75	
26JUL17	B	1	PD260CT17		2806.50	3271.87	USD	11,634.25	
27JUL17	S	1	PD260CT17		2800.55	3271.87	USD	-11,783.00	
28JUL17	S	2	PD260CT17		2775.75	3271.87	USD	-24,806.00	
	NET	0 AVG	BGHT 2809.92	SOLD 2799.84				-1,511.25	0.00
27JUL17	S	2	PD270CT17		2817.00	3270.73	USD	-22,686.50	
27JUL17	S	1	PD270CT17		2818.00	3270.73	USD	-11,318.25	

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27JUL17	S	1	PD27OCT17	2824.00	3270.73	USD	-11,168.25	
27JUL17	S	1	PD27OCT17	2823.50	3270.73	USD	-11,180.75	
27JUL17	S	1	PD27OCT17	2824.50	3270.73	USD	-11,155.75	
27JUL17	S	1	PD27OCT17	2825.00	3270.73	USD	-11,143.25	
27JUL17	B	1	PD27OCT17	2811.00	3270.73	USD	11,493.25	
27JUL17	B	1	PD27OCT17	2812.50	3270.73	USD	11,455.75	
27JUL17	B	1	PD27OCT17	2813.00	3270.73	USD	11,443.25	
27JUL17	B	2	PD27OCT17	2801.20	3270.73	USD	23,476.50	
28JUL17	B	1	PD27OCT17	2777.50	3270.73	USD	12,330.75	
28JUL17	B	1	PD27OCT17	2781.00	3270.73	USD	12,243.25	
28JUL17	B	1	PD27OCT17	2781.50	3270.73	USD	12,230.75	
28JUL17	B	1	PD27OCT17	2780.50	3270.73	USD	12,255.75	
28JUL17	B	2	PD27OCT17	2760.00	3270.73	USD	25,536.50	
28JUL17	B	1	PD27OCT17	2772.00	3270.73	USD	12,468.25	
28JUL17	S	1	PD27OCT17	2781.50	3270.73	USD	-12,230.75	
28JUL17	S	1	PD27OCT17	2783.00	3270.73	USD	-12,193.25	
28JUL17	S	1	PD27OCT17	2783.50	3270.73	USD	-12,180.75	
28JUL17	S	1	PD27OCT17	2785.50	3270.73	USD	-12,130.75	
28JUL17	S	1	PD27OCT17	2786.00	3270.73	USD	-12,118.25	
28JUL17	S	1	PD27OCT17	2786.50	3270.73	USD	-12,105.75	
28JUL17	S	1	PD27OCT17	2787.00	3270.73	USD	-12,093.25	
28JUL17	S	2	PD27OCT17	2787.50	3270.73	USD	-24,161.50	
28JUL17	S	1	PD27OCT17	2797.00	3270.73	USD	-11,843.25	
28JUL17	S	1	PD27OCT17	2798.50	3270.73	USD	-11,805.75	
28JUL17	B	1	PD27OCT17	2778.50	3270.73	USD	12,305.75	
28JUL17	B	2	PD27OCT17	2775.50	3270.73	USD	24,761.50	
28JUL17	B	2	PD27OCT17	2776.50	3270.73	USD	24,711.50	
28JUL17	B	3	PD27OCT17	2779.00	3270.73	USD	36,879.75	
28JUL17	B	2	PD27OCT17	2776.20	3270.73	USD	24,726.50	
31JUL17	S	4	PD27OCT17	2793.80	3270.73	USD	-47,693.00	
	NET	0	AVG BGHT 2782.88	SOLD 2799.44			9,110.00	0.00
31JUL17	S	2	PD31OCT17	2809.50	3268.47	USD	-22,948.50	
31JUL17	S	2	PD31OCT17	2814.00	3268.47	USD	-22,723.50	
31JUL17	B	1	PD31OCT17	2814.00	3268.47	USD	11,361.75	
31JUL17	B	1	PD31OCT17	2815.50	3268.47	USD	11,324.25	
31JUL17	B	1	PD31OCT17	2806.00	3268.47	USD	11,561.75	
31JUL17	B	1	PD31OCT17	2809.00	3268.47	USD	11,486.75	
31JUL17	B	1	PD31OCT17	2809.50	3268.47	USD	11,474.25	
31JUL17	B	2	PD31OCT17	2807.50	3268.47	USD	23,048.50	
31JUL17	B	1	PD31OCT17	2800.50	3268.47	USD	11,699.25	
31JUL17	B	2	PD31OCT17	2806.50	3268.47	USD	23,098.50	
31JUL17	S	1	PD31OCT17	2807.00	3268.47	USD	-11,536.75	
31JUL17	S	1	PD31OCT17	2802.50	3268.47	USD	-11,649.25	
31JUL17	S	1	PD31OCT17	2804.00	3268.47	USD	-11,611.75	
31JUL17	S	2	PD31OCT17	2804.50	3268.47	USD	-23,198.50	
31JUL17	S	1	PD31OCT17	2806.00	3268.47	USD	-11,561.75	
31JUL17	S	2	PD31OCT17	2807.50	3268.47	USD	-23,048.50	
31JUL17	S	6	PD31OCT17	2794.80	3268.47	USD	-71,050.50	
09AUG17	B	8	PD31OCT17	2929.75	3268.47	USD	67,744.00	
	NET	0	AVG BGHT 2862.25	SOLD 2803.29			-26,530.00	0.00
01AUG17	B	1	PD01NOV17	2771.00	3267.33	USD	12,408.25	
01AUG17	B	1	PD01NOV17	2774.50	3267.33	USD	12,320.75	
01AUG17	B	1	PD01NOV17	2775.50	3267.33	USD	12,295.75	
01AUG17	B	1	PD01NOV17	2774.00	3267.33	USD	12,333.25	
01AUG17	B	1	PD01NOV17	2777.50	3267.33	USD	12,245.75	
01AUG17	B	1	PD01NOV17	2781.00	3267.33	USD	12,158.25	
01AUG17	B	1	PD01NOV17	2782.00	3267.33	USD	12,133.25	

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01AUG17	B	1	PD01NOV17	2770.50	3267.33	USD	12,420.75	
01AUG17	B	1	PD01NOV17	2784.00	3267.33	USD	12,083.25	
01AUG17	B	1	PD01NOV17	2784.50	3267.33	USD	12,070.75	
01AUG17	B	1	PD01NOV17	2772.00	3267.33	USD	12,383.25	
01AUG17	B	1	PD01NOV17	2773.50	3267.33	USD	12,345.75	
01AUG17	S	1	PD01NOV17	2778.50	3267.33	USD	-12,220.75	
01AUG17	S	1	PD01NOV17	2779.50	3267.33	USD	-12,195.75	
01AUG17	S	1	PD01NOV17	2786.50	3267.33	USD	-12,020.75	
01AUG17	S	2	PD01NOV17	2787.50	3267.33	USD	-23,991.50	
01AUG17	S	2	PD01NOV17	2779.00	3267.33	USD	-24,416.50	
09AUG17	S	5	PD01NOV17	2929.74	3267.33	USD	-42,198.75	
	NET	0 AVG BGHT 2776.67	SOLD 2843.85				20,155.00	0.00
02AUG17	B	1	PD02NOV17	2773.00	3266.20	USD	12,330.00	
02AUG17	B	1	PD02NOV17	2766.50	3266.20	USD	12,492.50	
02AUG17	B	1	PD02NOV17	2761.00	3266.20	USD	12,630.00	
02AUG17	B	1	PD02NOV17	2790.50	3266.20	USD	11,892.50	
02AUG17	S	1	PD02NOV17	2790.50	3266.20	USD	-11,892.50	
02AUG17	S	2	PD02NOV17	2792.00	3266.20	USD	-23,710.00	
02AUG17	S	1	PD02NOV17	2789.00	3266.20	USD	-11,930.00	
02AUG17	S	1	PD02NOV17	2790.00	3266.20	USD	-11,905.00	
02AUG17	B	2	PD02NOV17	2807.50	3266.20	USD	22,935.00	
02AUG17	B	1	PD02NOV17	2805.00	3266.20	USD	11,530.00	
02AUG17	B	1	PD02NOV17	2805.50	3266.20	USD	11,517.50	
02AUG17	B	1	PD02NOV17	2806.00	3266.20	USD	11,505.00	
02AUG17	B	1	PD02NOV17	2806.50	3266.20	USD	11,492.50	
09AUG17	S	3	PD02NOV17	2929.94	3266.20	USD	-25,219.50	
09AUG17	S	2	PD02NOV17	2930.14	3266.20	USD	-16,803.00	
28SEP17	S	7	PD02NOV17	3154.30	3266.20	USD	-19,582.50	
	NET	-7 AVG BGHT 2792.90	SOLD 2981.39				-2,717.50	0.00
03AUG17	S	1	PD03NOV17	2822.00	3265.07	USD	-11,076.75	
03AUG17	S	1	PD03NOV17	2820.00	3265.07	USD	-11,126.75	
03AUG17	S	1	PD03NOV17	2821.00	3265.07	USD	-11,101.75	
03AUG17	S	1	PD03NOV17	2821.50	3265.07	USD	-11,089.25	
03AUG17	B	1	PD03NOV17	2797.00	3265.07	USD	11,701.75	
03AUG17	S	1	PD03NOV17	2802.00	3265.07	USD	-11,576.75	
03AUG17	B	1	PD03NOV17	2794.50	3265.07	USD	11,764.25	
03AUG17	S	1	PD03NOV17	2805.50	3265.07	USD	-11,489.25	
03AUG17	B	1	PD03NOV17	2806.00	3265.07	USD	11,476.75	
03AUG17	S	2	PD03NOV17	2806.00	3265.07	USD	-22,953.50	
04AUG17	B	1	PD03NOV17	2812.00	3265.07	USD	11,326.75	
04AUG17	B	1	PD03NOV17	2816.50	3265.07	USD	11,214.25	
04AUG17	B	2	PD03NOV17	2805.50	3265.07	USD	22,978.50	
04AUG17	B	1	PD03NOV17	2813.00	3265.07	USD	11,301.75	
	NET	0 AVG BGHT 2806.25	SOLD 2813.00				1,350.00	0.00
07AUG17	S	1	PD07NOV17	2817.00	3262.80	USD	-11,145.00	
07AUG17	S	2	PD07NOV17	2818.00	3262.80	USD	-22,240.00	
07AUG17	S	1	PD07NOV17	2818.50	3262.80	USD	-11,107.50	
07AUG17	S	1	PD07NOV17	2819.50	3262.80	USD	-11,082.50	
07AUG17	S	2	PD07NOV17	2834.50	3262.80	USD	-21,415.00	
07AUG17	S	3	PD07NOV17	2835.50	3262.80	USD	-32,047.50	
07AUG17	B	1	PD07NOV17	2863.50	3262.80	USD	9,982.50	
07AUG17	B	3	PD07NOV17	2868.00	3262.80	USD	29,610.00	
09AUG17	B	6	PD07NOV17	2931.31	3262.80	USD	49,723.50	
	NET	0 AVG BGHT 2905.54	SOLD 2826.65				-19,721.50	0.00
09AUG17	S	1	PD09NOV17	2962.50	3260.53	USD	-7,450.75	
09AUG17	S	1	PD09NOV17	2963.50	3260.53	USD	-7,425.75	

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09AUG17	S	1	PD09NOV17	2963.00	3260.53	USD	-7,438.25	
09AUG17	S	1	PD09NOV17	2942.50	3260.53	USD	-7,950.75	
09AUG17	S	1	PD09NOV17	2943.50	3260.53	USD	-7,925.75	
09AUG17	B	1	PD09NOV17	2931.70	3260.53	USD	8,220.75	
17AUG17	B	3	PD09NOV17	3061.63	3260.53	USD	14,917.50	
23AUG17	B	1	PD09NOV17	3096.31	3260.53	USD	4,105.50	
	NET	0 AVG	BGHT 3042.58	SOLD 2955.00			-10,947.50	0.00
11AUG17	B	1	PD10NOV17	2908.00	3259.40	USD	8,785.00	
11AUG17	B	1	PD10NOV17	2903.50	3259.40	USD	8,897.50	
11AUG17	S	1	PD10NOV17	2901.50	3259.40	USD	-8,947.50	
17AUG17	S	1	PD10NOV17	3061.74	3259.40	USD	-4,941.50	
	NET	0 AVG	BGHT 2905.75	SOLD 2981.62			3,793.50	0.00
16AUG17	B	1	PD16NOV17	2992.00	3253.50	USD	6,537.50	
16AUG17	B	1	PD16NOV17	2996.00	3253.50	USD	6,437.50	
16AUG17	S	1	PD16NOV17	2987.50	3253.50	USD	-6,650.00	
16AUG17	S	1	PD16NOV17	2988.50	3253.50	USD	-6,625.00	
16AUG17	S	3	PD16NOV17	2990.50	3253.50	USD	-19,725.00	
16AUG17	S	2	PD16NOV17	2991.50	3253.50	USD	-13,100.00	
16AUG17	S	1	PD16NOV17	3029.50	3253.50	USD	-5,600.00	
16AUG17	B	1	PD16NOV17	3094.50	3253.50	USD	3,975.00	
16AUG17	B	3	PD16NOV17	3120.50	3253.50	USD	9,975.00	
16AUG17	B	1	PD16NOV17	3125.50	3253.50	USD	3,200.00	
16AUG17	B	1	PD16NOV17	3110.50	3253.50	USD	3,575.00	
16AUG17	B	2	PD16NOV17	3121.00	3253.50	USD	6,625.00	
17AUG17	S	2	PD16NOV17	3062.05	3253.50	USD	-9,572.50	
	NET	0 AVG	BGHT 3092.20	SOLD 3008.41			-20,947.50	0.00
21AUG17	S	2	PD21NOV17	3173.00	3249.25	USD	-3,812.50	
21AUG17	S	1	PD21NOV17	3149.50	3249.25	USD	-2,493.75	
21AUG17	S	1	PD21NOV17	3153.00	3249.25	USD	-2,406.25	
21AUG17	B	1	PD21NOV17	3126.50	3249.25	USD	3,068.75	
21AUG17	B	1	PD21NOV17	3129.00	3249.25	USD	3,006.25	
21AUG17	B	2	PD21NOV17	3127.00	3249.25	USD	6,112.50	
21AUG17	B	2	PD21NOV17	3128.50	3249.25	USD	6,037.50	
23AUG17	S	1	PD21NOV17	3095.44	3249.25	USD	-3,845.25	
30AUG17	S	1	PD21NOV17	3093.14	3249.25	USD	-3,902.75	
	NET	0 AVG	BGHT 3127.75	SOLD 3139.51			1,764.50	0.00
22AUG17	S	1	PD22NOV17	3117.00	3248.38	USD	-3,284.50	
22AUG17	S	1	PD22NOV17	3120.00	3248.38	USD	-3,209.50	
22AUG17	B	1	PD22NOV17	3117.50	3248.38	USD	3,272.00	
22AUG17	B	1	PD22NOV17	3125.50	3248.38	USD	3,072.00	
22AUG17	B	1	PD22NOV17	3115.50	3248.38	USD	3,322.00	
22AUG17	B	1	PD22NOV17	3113.50	3248.38	USD	3,372.00	
22AUG17	B	1	PD22NOV17	3101.00	3248.38	USD	3,684.50	
30AUG17	S	3	PD22NOV17	3093.43	3248.38	USD	-11,621.25	
	NET	0 AVG	BGHT 3114.60	SOLD 3103.46			-1,392.75	0.00
23AUG17	S	1	PD24NOV17	3128.50	3247.50	USD	-2,975.00	
23AUG17	S	1	PD24NOV17	3129.00	3247.50	USD	-2,962.50	
23AUG17	S	2	PD24NOV17	3129.50	3247.50	USD	-5,900.00	
23AUG17	S	1	PD24NOV17	3134.00	3247.50	USD	-2,837.50	
23AUG17	S	1	PD24NOV17	3135.00	3247.50	USD	-2,812.50	
23AUG17	S	2	PD24NOV17	3141.50	3247.50	USD	-5,300.00	
23AUG17	S	1	PD24NOV17	3145.50	3247.50	USD	-2,550.00	
23AUG17	S	1	PD24NOV17	3143.50	3247.50	USD	-2,600.00	
23AUG17	B	1	PD24NOV17	3100.50	3247.50	USD	3,675.00	
23AUG17	B	1	PD24NOV17	3097.00	3247.50	USD	3,762.50	
23AUG17	B	1	PD24NOV17	3103.00	3247.50	USD	3,612.50	

OPEN POSITION STATEMENT



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SAP0094S

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
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+44 (0) 20 7340 9081
backoffice@sapiencap.com

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
23AUG17	B	2	PD24NOV17	3098.50	3247.50	USD	7,450.00	
23AUG17	B	1	PD24NOV17	3096.50	3247.50	USD	3,775.00	
24AUG17	S	1	PD24NOV17	3116.00	3247.50	USD	-3,287.50	
24AUG17	S	1	PD24NOV17	3115.00	3247.50	USD	-3,312.50	
24AUG17	S	1	PD24NOV17	3108.50	3247.50	USD	-3,475.00	
24AUG17	S	2	PD24NOV17	3108.00	3247.50	USD	-6,975.00	
24AUG17	S	1	PD24NOV17	3112.00	3247.50	USD	-3,387.50	
24AUG17	B	1	PD24NOV17	3110.00	3247.50	USD	3,437.50	
24AUG17	B	1	PD24NOV17	3110.50	3247.50	USD	3,425.00	
24AUG17	B	1	PD24NOV17	3129.00	3247.50	USD	2,962.50	
24AUG17	B	1	PD24NOV17	3124.00	3247.50	USD	3,087.50	
24AUG17	B	2	PD24NOV17	3106.50	3247.50	USD	7,050.00	
24AUG17	B	2	PD24NOV17	3105.50	3247.50	USD	7,100.00	
24AUG17	B	1	PD24NOV17	3107.00	3247.50	USD	3,512.50	
24AUG17	B	1	PD24NOV17	3102.50	3247.50	USD	3,625.00	
24AUG17	B	1	PD24NOV17	3105.00	3247.50	USD	3,562.50	
24AUG17	B	1	PD24NOV17	3108.00	3247.50	USD	3,487.50	
24AUG17	B	1	PD24NOV17	3107.50	3247.50	USD	3,500.00	
25AUG17	S	1	PD24NOV17	3127.00	3247.50	USD	-3,012.50	
25AUG17	S	1	PD24NOV17	3127.50	3247.50	USD	-3,000.00	
25AUG17	S	1	PD24NOV17	3129.00	3247.50	USD	-2,962.50	
25AUG17	S	1	PD24NOV17	3129.50	3247.50	USD	-2,950.00	
25AUG17	S	2	PD24NOV17	3130.00	3247.50	USD	-5,875.00	
25AUG17	S	1	PD24NOV17	3130.50	3247.50	USD	-2,925.00	
25AUG17	B	1	PD24NOV17	3130.00	3247.50	USD	2,937.50	
25AUG17	B	1	PD24NOV17	3072.50	3247.50	USD	4,375.00	
25AUG17	B	1	PD24NOV17	3075.50	3247.50	USD	4,300.00	
25AUG17	B	1	PD24NOV17	3066.50	3247.50	USD	4,525.00	
25AUG17	B	2	PD24NOV17	3067.50	3247.50	USD	9,000.00	
25AUG17	S	1	PD24NOV17	3090.50	3247.50	USD	-3,925.00	
25AUG17	B	1	PD24NOV17	3071.50	3247.50	USD	4,400.00	
25AUG17	B	1	PD24NOV17	3078.50	3247.50	USD	4,225.00	
25AUG17	B	1	PD24NOV17	3056.00	3247.50	USD	4,787.50	
30AUG17	S	4	PD24NOV17	3094.00	3247.50	USD	-15,350.00	
	NET	0 AVG BGHT 3096.68	SOLD 3121.25				17,200.00	0.00
29AUG17	B	1	PD29NOV17	3116.50	3246.75	USD	3,256.25	
29AUG17	B	2	PD29NOV17	3124.00	3246.75	USD	6,137.50	
29AUG17	B	1	PD29NOV17	3097.00	3246.75	USD	3,743.75	
29AUG17	B	1	PD29NOV17	3127.00	3246.75	USD	2,993.75	
29AUG17	B	1	PD29NOV17	3096.50	3246.75	USD	3,756.25	
29AUG17	S	1	PD29NOV17	3104.50	3246.75	USD	-3,556.25	
29AUG17	S	1	PD29NOV17	3108.50	3246.75	USD	-3,456.25	
29AUG17	S	1	PD29NOV17	3114.50	3246.75	USD	-3,306.25	
29AUG17	S	1	PD29NOV17	3115.50	3246.75	USD	-3,281.25	
29AUG17	S	2	PD29NOV17	3115.00	3246.75	USD	-6,587.50	
29AUG17	S	2	PD29NOV17	3117.00	3246.75	USD	-6,487.50	
29AUG17	B	1	PD29NOV17	3122.50	3246.75	USD	3,106.25	
29AUG17	S	1	PD29NOV17	3119.50	3246.75	USD	-3,181.25	
29AUG17	S	1	PD29NOV17	3123.00	3246.75	USD	-3,093.75	
29AUG17	S	1	PD29NOV17	3123.50	3246.75	USD	-3,081.25	
29AUG17	B	1	PD29NOV17	3123.00	3246.75	USD	3,093.75	
29AUG17	S	1	PD29NOV17	3122.00	3246.75	USD	-3,118.75	
29AUG17	S	2	PD29NOV17	3119.00	3246.75	USD	-6,387.50	
29AUG17	S	1	PD29NOV17	3120.50	3246.75	USD	-3,156.25	
29AUG17	S	1	PD29NOV17	3112.50	3246.75	USD	-3,356.25	
30AUG17	B	8	PD29NOV17	3095.03	3246.75	USD	30,344.00	
	NET	0 AVG BGHT 3105.67	SOLD 3116.63				4,381.50	0.00

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
30AUG17	B	1	PD30NOV17	3149.50	3246.50	USD	2,425.00	
30AUG17	S	1	PD30NOV17	3145.50	3246.50	USD	-2,525.00	
30AUG17	S	1	PD30NOV17	3147.50	3246.50	USD	-2,475.00	
30AUG17	S	1	PD30NOV17	3149.50	3246.50	USD	-2,425.00	
30AUG17	S	1	PD30NOV17	3150.50	3246.50	USD	-2,400.00	
30AUG17	S	1	PD30NOV17	3151.50	3246.50	USD	-2,375.00	
30AUG17	B	1	PD30NOV17	3127.50	3246.50	USD	2,975.00	
30AUG17	B	1	PD30NOV17	3128.50	3246.50	USD	2,950.00	
30AUG17	S	1	PD30NOV17	3128.50	3246.50	USD	-2,950.00	
30AUG17	B	2	PD30NOV17	3127.00	3246.50	USD	5,975.00	
30AUG17	B	1	PD30NOV17	3106.00	3246.50	USD	3,512.50	
30AUG17	B	1	PD30NOV17	3107.50	3246.50	USD	3,475.00	
30AUG17	B	1	PD30NOV17	3105.00	3246.50	USD	3,537.50	
30AUG17	B	1	PD30NOV17	3111.50	3246.50	USD	3,375.00	
30AUG17	S	3	PD30NOV17	3094.80	3246.50	USD	-11,377.50	
31AUG17	S	1	PD30NOV17	3126.50	3246.50	USD	-3,000.00	
31AUG17	B	1	PD30NOV17	3128.00	3246.50	USD	2,962.50	
31AUG17	S	3	PD30NOV17	3126.00	3246.50	USD	-9,037.50	
31AUG17	S	1	PD30NOV17	3125.00	3246.50	USD	-3,037.50	
31AUG17	S	2	PD30NOV17	3142.00	3246.50	USD	-5,225.00	
31AUG17	S	1	PD30NOV17	3141.50	3246.50	USD	-2,625.00	
31AUG17	S	1	PD30NOV17	3128.50	3246.50	USD	-2,950.00	
31AUG17	S	1	PD30NOV17	3160.00	3246.50	USD	-2,162.50	
31AUG17	S	1	PD30NOV17	3159.00	3246.50	USD	-2,187.50	
31AUG17	S	2	PD30NOV17	3159.50	3246.50	USD	-4,350.00	
31AUG17	B	1	PD30NOV17	3159.00	3246.50	USD	2,187.50	
31AUG17	B	1	PD30NOV17	3160.00	3246.50	USD	2,162.50	
04SEP17	B	5	PD30NOV17	3204.70	3246.50	USD	5,225.00	
05SEP17	B	3	PD30NOV17	3139.63	3246.50	USD	8,015.25	
06SEP17	B	2	PD30NOV17	3093.50	3246.50	USD	7,650.00	
	NET	0 AVG BGHT 3143.90	SOLD 3135.40				-4,674.75	0.00
01SEP17	S	1	PD01DEC17	3162.00	3246.25	USD	-2,106.25	
01SEP17	B	1	PD01DEC17	3148.50	3246.25	USD	2,443.75	
01SEP17	B	1	PD01DEC17	3155.50	3246.25	USD	2,268.75	
01SEP17	B	1	PD01DEC17	3156.00	3246.25	USD	2,256.25	
01SEP17	S	1	PD01DEC17	3159.50	3246.25	USD	-2,168.75	
01SEP17	S	1	PD01DEC17	3160.00	3246.25	USD	-2,156.25	
01SEP17	S	3	PD01DEC17	3164.00	3246.25	USD	-6,168.75	
01SEP17	B	1	PD01DEC17	3205.00	3246.25	USD	1,031.25	
01SEP17	B	1	PD01DEC17	3210.00	3246.25	USD	906.25	
01SEP17	B	2	PD01DEC17	3207.50	3246.25	USD	1,937.50	
06SEP17	S	1	PD01DEC17	3093.55	3246.25	USD	-3,817.50	
	NET	0 AVG BGHT 3184.29	SOLD 3152.44				-5,573.75	0.00
04SEP17	S	1	PD04DEC17	3194.50	3246.00	USD	-1,287.50	
04SEP17	S	2	PD04DEC17	3197.00	3246.00	USD	-2,450.00	
04SEP17	S	1	PD04DEC17	3194.00	3246.00	USD	-1,300.00	
04SEP17	S	1	PD04DEC17	3197.50	3246.00	USD	-1,212.50	
04SEP17	S	1	PD04DEC17	3198.00	3246.00	USD	-1,200.00	
04SEP17	B	1	PD04DEC17	3205.00	3246.00	USD	1,025.00	
04SEP17	B	1	PD04DEC17	3207.50	3246.00	USD	962.50	
04SEP17	B	1	PD04DEC17	3208.00	3246.00	USD	950.00	
04SEP17	B	3	PD04DEC17	3209.50	3246.00	USD	2,737.50	
04SEP17	B	1	PD04DEC17	3210.00	3246.00	USD	900.00	
06SEP17	S	1	PD04DEC17	3094.30	3246.00	USD	-3,792.50	
	NET	0 AVG BGHT 3208.43	SOLD 3181.76				-4,667.50	0.00
05SEP17	S	1	PD05DEC17	3199.00	3245.75	USD	-1,168.75	

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05SEP17	S	2	PD05DEC17	3199.50	3245.75	USD	-2,312.50	
05SEP17	B	1	PD05DEC17	3162.00	3245.75	USD	2,093.75	
05SEP17	B	1	PD05DEC17	3166.50	3245.75	USD	1,981.25	
05SEP17	B	1	PD05DEC17	3168.00	3245.75	USD	1,943.75	
05SEP17	B	1	PD05DEC17	3105.50	3245.75	USD	3,506.25	
05SEP17	B	1	PD05DEC17	3098.50	3245.75	USD	3,681.25	
05SEP17	B	1	PD05DEC17	3102.50	3245.75	USD	3,581.25	
14SEP17	S	3	PD05DEC17	3004.71	3245.75	USD	-18,078.00	
	NET	0AVG BGHT 3133.83	SOLD 3102.02				-4,771.75	0.00
06SEP17	S	1	PD06DEC17	3123.00	3245.50	USD	-3,062.50	
06SEP17	S	1	PD06DEC17	3126.50	3245.50	USD	-2,975.00	
06SEP17	S	1	PD06DEC17	3120.50	3245.50	USD	-3,125.00	
06SEP17	B	3	PD06DEC17	3087.50	3245.50	USD	11,850.00	
06SEP17	B	1	PD06DEC17	3090.50	3245.50	USD	3,875.00	
06SEP17	B	1	PD06DEC17	3081.00	3245.50	USD	4,112.50	
06SEP17	S	1	PD06DEC17	3095.00	3245.50	USD	-3,762.50	
06SEP17	S	1	PD06DEC17	3097.00	3245.50	USD	-3,712.50	
06SEP17	S	1	PD06DEC17	3104.00	3245.50	USD	-3,537.50	
14SEP17	B	1	PD06DEC17	3005.06	3245.50	USD	6,011.00	
	NET	0AVG BGHT 3073.18	SOLD 3111.00				5,673.50	0.00
07SEP17	S	1	PD07DEC17	3103.00	3245.25	USD	-3,556.25	
07SEP17	B	1	PD07DEC17	3106.00	3245.25	USD	3,481.25	
07SEP17	B	1	PD07DEC17	3108.50	3245.25	USD	3,418.75	
07SEP17	B	1	PD07DEC17	3125.50	3245.25	USD	2,993.75	
07SEP17	B	2	PD07DEC17	3136.00	3245.25	USD	5,462.50	
14SEP17	S	4	PD07DEC17	3005.00	3245.25	USD	-24,025.00	
	NET	0AVG BGHT 3122.40	SOLD 3024.60				-12,225.00	0.00
08SEP17	B	1	PD08DEC17	3077.50	3245.00	USD	4,187.50	
08SEP17	B	1	PD08DEC17	3079.00	3245.00	USD	4,150.00	
08SEP17	S	2	PD08DEC17	3037.50	3245.00	USD	-10,375.00	
08SEP17	S	1	PD08DEC17	3032.50	3245.00	USD	-5,312.50	
08SEP17	S	1	PD08DEC17	3033.00	3245.00	USD	-5,300.00	
08SEP17	S	1	PD08DEC17	3025.00	3245.00	USD	-5,500.00	
14SEP17	B	3	PD08DEC17	3005.34	3245.00	USD	17,974.50	
	NET	0AVG BGHT 3034.50	SOLD 3033.10				-175.50	0.00
11SEP17	S	1	PD11DEC17	3061.50	3244.75	USD	-4,581.25	
11SEP17	S	1	PD11DEC17	3057.50	3244.75	USD	-4,681.25	
11SEP17	S	1	PD11DEC17	3058.00	3244.75	USD	-4,668.75	
11SEP17	S	1	PD11DEC17	3058.50	3244.75	USD	-4,656.25	
11SEP17	S	1	PD11DEC17	3060.50	3244.75	USD	-4,606.25	
11SEP17	B	1	PD11DEC17	3061.00	3244.75	USD	4,593.75	
11SEP17	B	1	PD11DEC17	3061.50	3244.75	USD	4,581.25	
11SEP17	B	1	PD11DEC17	3049.50	3244.75	USD	4,881.25	
11SEP17	B	1	PD11DEC17	3050.00	3244.75	USD	4,868.75	
14SEP17	B	1	PD11DEC17	3005.77	3244.75	USD	5,974.50	
	NET	0AVG BGHT 3045.55	SOLD 3059.20				1,705.75	0.00
12SEP17	S	1	PD12DEC17	3083.00	3244.50	USD	-4,037.50	
12SEP17	S	1	PD12DEC17	3084.00	3244.50	USD	-4,012.50	
12SEP17	S	2	PD12DEC17	3084.50	3244.50	USD	-8,000.00	
12SEP17	S	1	PD12DEC17	3085.00	3244.50	USD	-3,987.50	
12SEP17	B	1	PD12DEC17	3060.50	3244.50	USD	4,600.00	
12SEP17	B	1	PD12DEC17	3064.50	3244.50	USD	4,500.00	
12SEP17	B	1	PD12DEC17	3060.00	3244.50	USD	4,612.50	
12SEP17	B	1	PD12DEC17	3055.50	3244.50	USD	4,725.00	
12SEP17	B	1	PD12DEC17	3054.00	3244.50	USD	4,762.50	
12SEP17	B	1	PD12DEC17	3055.00	3244.50	USD	4,737.50	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0094S

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
12SEP17	S	1	PD12DEC17	3078.00	3244.50	USD	-4,162.50	
	NET	0 AVG BGHT 3058.25	SOLD 3083.17				3,737.50	0.00
13SEP17	B	1	PD13DEC17	3055.00	3244.25	USD	4,731.25	
13SEP17	S	1	PD13DEC17	3056.50	3244.25	USD	-4,693.75	
13SEP17	S	1	PD13DEC17	3057.50	3244.25	USD	-4,668.75	
13SEP17	S	1	PD13DEC17	3059.50	3244.25	USD	-4,618.75	
13SEP17	B	1	PD13DEC17	3023.50	3244.25	USD	5,518.75	
13SEP17	B	1	PD13DEC17	3022.00	3244.25	USD	5,556.25	
13SEP17	S	1	PD13DEC17	3037.50	3244.25	USD	-5,168.75	
13SEP17	S	1	PD13DEC17	3038.00	3244.25	USD	-5,156.25	
14SEP17	B	2	PD13DEC17	3006.06	3244.25	USD	11,909.50	
	NET	0 AVG BGHT 3022.52	SOLD 3049.80				3,409.50	0.00
14SEP17	B	1	PD14DEC17	2993.00	3244.00	USD	6,275.00	
14SEP17	B	1	PD14DEC17	2980.00	3244.00	USD	6,600.00	
14SEP17	B	1	PD14DEC17	2982.00	3244.00	USD	6,550.00	
14SEP17	B	1	PD14DEC17	2977.50	3244.00	USD	6,662.50	
14SEP17	B	1	PD14DEC17	2988.50	3244.00	USD	6,387.50	
14SEP17	S	1	PD14DEC17	3015.00	3244.00	USD	-5,725.00	
14SEP17	S	1	PD14DEC17	3015.50	3244.00	USD	-5,712.50	
14SEP17	S	3	PD14DEC17	3016.50	3244.00	USD	-17,062.50	
14SEP17	B	2	PD14DEC17	3005.00	3244.00	USD	11,950.00	
21SEP17	S	2	PD14DEC17	3100.43	3244.00	USD	-7,178.50	
	NET	0 AVG BGHT 2990.14	SOLD 3040.12				8,746.50	0.00
15SEP17	S	2	PD15DEC17	3012.00	3243.75	USD	-11,587.50	
15SEP17	B	2	PD15DEC17	3001.00	3243.75	USD	12,137.50	
15SEP17	B	1	PD15DEC17	3000.00	3243.75	USD	6,093.75	
15SEP17	S	1	PD15DEC17	3030.00	3243.75	USD	-5,343.75	
15SEP17	S	1	PD15DEC17	3032.00	3243.75	USD	-5,293.75	
15SEP17	S	3	PD15DEC17	3030.80	3243.75	USD	-15,971.25	
15SEP17	B	2	PD15DEC17	3032.50	3243.75	USD	10,562.50	
15SEP17	S	1	PD15DEC17	3031.00	3243.75	USD	-5,318.75	
21SEP17	B	1	PD15DEC17	3100.69	3243.75	USD	3,576.50	
21SEP17	B	2	PD15DEC17	3100.89	3243.75	USD	7,143.00	
	NET	0 AVG BGHT 3046.18	SOLD 3026.18				-4,001.75	0.00
18SEP17	S	1	PD18DEC17	3084.50	3243.50	USD	-3,975.00	
18SEP17	S	1	PD18DEC17	3065.00	3243.50	USD	-4,462.50	
18SEP17	S	1	PD18DEC17	3066.00	3243.50	USD	-4,437.50	
18SEP17	S	2	PD18DEC17	3068.50	3243.50	USD	-8,750.00	
18SEP17	S	1	PD18DEC17	3073.00	3243.50	USD	-4,262.50	
18SEP17	S	1	PD18DEC17	3074.00	3243.50	USD	-4,237.50	
18SEP17	B	1	PD18DEC17	3066.00	3243.50	USD	4,437.50	
18SEP17	S	1	PD18DEC17	3087.50	3243.50	USD	-3,900.00	
18SEP17	S	1	PD18DEC17	3088.50	3243.50	USD	-3,875.00	
18SEP17	S	1	PD18DEC17	3081.50	3243.50	USD	-4,050.00	
18SEP17	S	2	PD18DEC17	3081.00	3243.50	USD	-8,125.00	
18SEP17	S	1	PD18DEC17	3094.50	3243.50	USD	-3,725.00	
18SEP17	B	1	PD18DEC17	3096.50	3243.50	USD	3,675.00	
18SEP17	B	1	PD18DEC17	3098.00	3243.50	USD	3,637.50	
18SEP17	B	1	PD18DEC17	3101.00	3243.50	USD	3,562.50	
18SEP17	B	1	PD18DEC17	3105.00	3243.50	USD	3,462.50	
18SEP17	B	2	PD18DEC17	3111.50	3243.50	USD	6,600.00	
18SEP17	B	4	PD18DEC17	3110.50	3243.50	USD	13,300.00	
18SEP17	B	2	PD18DEC17	3111.00	3243.50	USD	6,625.00	
	NET	0 AVG BGHT 3104.12	SOLD 3077.96				-8,500.00	0.00
19SEP17	S	1	PD19DEC17	3104.00	3243.25	USD	-3,481.25	
19SEP17	S	1	PD19DEC17	3106.00	3243.25	USD	-3,431.25	

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
19SEP17	S	1	PD19DEC17	3106.50	3243.25	USD	-3,418.75	
19SEP17	S	1	PD19DEC17	3100.50	3243.25	USD	-3,568.75	
19SEP17	S	1	PD19DEC17	3102.50	3243.25	USD	-3,518.75	
19SEP17	S	1	PD19DEC17	3105.00	3243.25	USD	-3,456.25	
19SEP17	S	1	PD19DEC17	3102.00	3243.25	USD	-3,531.25	
19SEP17	B	1	PD19DEC17	3088.00	3243.25	USD	3,881.25	
19SEP17	B	1	PD19DEC17	3088.50	3243.25	USD	3,868.75	
19SEP17	B	1	PD19DEC17	3085.50	3243.25	USD	3,943.75	
19SEP17	B	1	PD19DEC17	3087.50	3243.25	USD	3,893.75	
19SEP17	B	1	PD19DEC17	3078.00	3243.25	USD	4,131.25	
19SEP17	B	1	PD19DEC17	3081.50	3243.25	USD	4,043.75	
19SEP17	B	1	PD19DEC17	3079.00	3243.25	USD	4,106.25	
19SEP17	B	1	PD19DEC17	3080.50	3243.25	USD	4,068.75	
21SEP17	S	1	PD19DEC17	3101.54	3243.25	USD	-3,542.75	
	NET	0AVG BGHT 3083.56	SOLD 3103.51				3,988.50	0.00
20SEP17	S	1	PD20DEC17	3149.50	3243.00	USD	-2,337.50	
20SEP17	S	2	PD20DEC17	3150.50	3243.00	USD	-4,625.00	
20SEP17	S	1	PD20DEC17	3147.00	3243.00	USD	-2,400.00	
20SEP17	B	1	PD20DEC17	3126.00	3243.00	USD	2,925.00	
20SEP17	B	1	PD20DEC17	3129.00	3243.00	USD	2,850.00	
20SEP17	B	1	PD20DEC17	3129.50	3243.00	USD	2,837.50	
20SEP17	B	1	PD20DEC17	3130.50	3243.00	USD	2,812.50	
	NET	0AVG BGHT 3128.75	SOLD 3149.38				2,062.50	0.00
21SEP17	B	1	PD21DEC17	3026.50	3240.00	USD	5,337.50	
21SEP17	B	1	PD21DEC17	3027.00	3240.00	USD	5,325.00	
21SEP17	B	1	PD21DEC17	3038.00	3240.00	USD	5,050.00	
21SEP17	S	1	PD21DEC17	3044.00	3240.00	USD	-4,900.00	
21SEP17	S	1	PD21DEC17	3044.50	3240.00	USD	-4,887.50	
26SEP17	S	1	PD21DEC17	3118.00	3240.00	USD	-3,050.00	
	NET	0AVG BGHT 3030.50	SOLD 3068.83				2,875.00	0.00
22SEP17	B	1	PD22DEC17	3046.00	3239.62	USD	4,840.50	
22SEP17	S	2	PD22DEC17	3035.00	3239.62	USD	-10,231.00	
22SEP17	B	1	PD22DEC17	3061.50	3239.62	USD	4,453.00	
22SEP17	B	1	PD22DEC17	3062.00	3239.62	USD	4,440.50	
22SEP17	B	1	PD22DEC17	3065.00	3239.62	USD	4,365.50	
22SEP17	S	1	PD22DEC17	3050.50	3239.62	USD	-4,728.00	
22SEP17	S	1	PD22DEC17	3052.00	3239.62	USD	-4,690.50	
22SEP17	S	1	PD22DEC17	3053.50	3239.62	USD	-4,653.00	
22SEP17	S	1	PD22DEC17	3054.00	3239.62	USD	-4,640.50	
22SEP17	B	1	PD22DEC17	3063.50	3239.62	USD	4,403.00	
22SEP17	B	1	PD22DEC17	3064.00	3239.62	USD	4,390.50	
	NET	0AVG BGHT 3060.33	SOLD 3046.67				-2,050.00	0.00
25SEP17	S	1	PD27DEC17	3095.00	3239.23	USD	-3,605.75	
25SEP17	S	1	PD27DEC17	3090.00	3239.23	USD	-3,730.75	
25SEP17	S	1	PD27DEC17	3094.00	3239.23	USD	-3,630.75	
25SEP17	S	1	PD27DEC17	3089.00	3239.23	USD	-3,755.75	
25SEP17	S	1	PD27DEC17	3091.50	3239.23	USD	-3,693.25	
25SEP17	S	1	PD27DEC17	3085.50	3239.23	USD	-3,843.25	
25SEP17	S	2	PD27DEC17	3086.00	3239.23	USD	-7,661.50	
25SEP17	S	1	PD27DEC17	3089.50	3239.23	USD	-3,743.25	
25SEP17	S	1	PD27DEC17	3092.00	3239.23	USD	-3,680.75	
25SEP17	B	2	PD27DEC17	3097.50	3239.23	USD	7,086.50	
25SEP17	B	1	PD27DEC17	3099.00	3239.23	USD	3,505.75	
25SEP17	B	1	PD27DEC17	3098.50	3239.23	USD	3,518.25	
25SEP17	B	1	PD27DEC17	3104.50	3239.23	USD	3,368.25	
25SEP17	B	1	PD27DEC17	3114.00	3239.23	USD	3,130.75	

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25SEP17	B	2	PD27DEC17	3113.50	3239.23	USD	6,286.50	
26SEP17	S	1	PD27DEC17	3131.50	3239.23	USD	-2,693.25	
26SEP17	S	1	PD27DEC17	3133.50	3239.23	USD	-2,643.25	
26SEP17	S	1	PD27DEC17	3141.50	3239.23	USD	-2,443.25	
26SEP17	S	1	PD27DEC17	3142.00	3239.23	USD	-2,430.75	
26SEP17	S	1	PD27DEC17	3147.50	3239.23	USD	-2,293.25	
26SEP17	S	1	PD27DEC17	3149.50	3239.23	USD	-2,243.25	
26SEP17	S	2	PD27DEC17	3142.50	3239.23	USD	-4,836.50	
26SEP17	S	1	PD27DEC17	3143.50	3239.23	USD	-2,393.25	
26SEP17	S	1	PD27DEC17	3144.00	3239.23	USD	-2,380.75	
26SEP17	B	1	PD27DEC17	3120.50	3239.23	USD	2,968.25	
26SEP17	B	1	PD27DEC17	3136.00	3239.23	USD	2,580.75	
26SEP17	B	1	PD27DEC17	3113.00	3239.23	USD	3,155.75	
26SEP17	B	3	PD27DEC17	3118.50	3239.23	USD	9,054.75	
26SEP17	B	1	PD27DEC17	3119.00	3239.23	USD	3,005.75	
26SEP17	B	1	PD27DEC17	3119.50	3239.23	USD	2,993.25	
26SEP17	B	2	PD27DEC17	3120.00	3239.23	USD	5,961.50	
26SEP17	B	1	PD27DEC17	3112.50	3239.23	USD	3,168.25	
26SEP17	B	1	PD27DEC17	3115.50	3239.23	USD	3,093.25	
26SEP17	B	1	PD27DEC17	3114.50	3239.23	USD	3,118.25	
26SEP17	B	1	PD27DEC17	3115.00	3239.23	USD	3,105.75	
26SEP17	S	2	PD27DEC17	3133.00	3239.23	USD	-5,311.50	
26SEP17	S	1	PD27DEC17	3129.00	3239.23	USD	-2,755.75	
26SEP17	B	1	PD27DEC17	3112.00	3239.23	USD	3,180.75	
26SEP17	S	1	PD27DEC17	3113.50	3239.23	USD	-3,143.25	
26SEP17	B	1	PD27DEC17	3116.20	3239.23	USD	3,075.75	
27SEP17	B	2	PD27DEC17	3116.00	3239.23	USD	6,161.50	
27SEP17	B	1	PD27DEC17	3117.00	3239.23	USD	3,055.75	
27SEP17	B	1	PD27DEC17	3135.00	3239.23	USD	2,605.75	
27SEP17	S	1	PD27DEC17	3116.00	3239.23	USD	-3,080.75	
27SEP17	S	2	PD27DEC17	3116.50	3239.23	USD	-6,136.50	
27SEP17	S	3	PD27DEC17	3139.50	3239.23	USD	-7,479.75	
27SEP17	S	1	PD27DEC17	3140.00	3239.23	USD	-2,480.75	
27SEP17	S	1	PD27DEC17	3141.00	3239.23	USD	-2,455.75	
27SEP17	B	1	PD27DEC17	3136.50	3239.23	USD	2,568.25	
27SEP17	B	1	PD27DEC17	3137.50	3239.23	USD	2,543.25	
27SEP17	B	1	PD27DEC17	3139.00	3239.23	USD	2,505.75	
27SEP17	B	1	PD27DEC17	3141.00	3239.23	USD	2,455.75	
27SEP17	B	1	PD27DEC17	3096.50	3239.23	USD	3,568.25	
27SEP17	B	1	PD27DEC17	3097.50	3239.23	USD	3,543.25	
27SEP17	B	1	PD27DEC17	3098.00	3239.23	USD	3,530.75	
27SEP17	B	1	PD27DEC17	3105.00	3239.23	USD	3,355.75	
27SEP17	B	1	PD27DEC17	3106.50	3239.23	USD	3,318.25	
27SEP17	B	1	PD27DEC17	3107.50	3239.23	USD	3,293.25	
27SEP17	S	2	PD27DEC17	3113.50	3239.23	USD	-6,286.50	
27SEP17	S	1	PD27DEC17	3114.00	3239.23	USD	-3,130.75	
27SEP17	S	1	PD27DEC17	3115.00	3239.23	USD	-3,105.75	
27SEP17	S	4	PD27DEC17	3113.00	3239.23	USD	-12,623.00	
27SEP17	B	1	PD27DEC17	3122.00	3239.23	USD	2,930.75	
27SEP17	B	1	PD27DEC17	3102.50	3239.23	USD	3,418.25	
27SEP17	B	1	PD27DEC17	3103.50	3239.23	USD	3,393.25	
27SEP17	B	1	PD27DEC17	3123.00	3239.23	USD	2,905.75	
29SEP17	S	2	PD27DEC17	3162.38	3239.23	USD	-3,842.50	
	NET	0 AVG BGHT 3114.93	SOLD 3121.58				6,976.50	0.00
28SEP17	S	1	PD28DEC17	3111.00	3238.85	USD	-3,196.25	
28SEP17	S	1	PD28DEC17	3110.00	3238.85	USD	-3,221.25	
28SEP17	S	1	PD28DEC17	3134.00	3238.85	USD	-2,621.25	

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28SEP17	S	2	PD28DEC17	3124.00	3238.85	USD	-5,742.50	
28SEP17	S	1	PD28DEC17	3125.00	3238.85	USD	-2,846.25	
28SEP17	S	2	PD28DEC17	3126.00	3238.85	USD	-5,642.50	
28SEP17	B	1	PD28DEC17	3131.50	3238.85	USD	2,683.75	
28SEP17	S	1	PD28DEC17	3132.50	3238.85	USD	-2,658.75	
28SEP17	S	1	PD28DEC17	3138.00	3238.85	USD	-2,521.25	
28SEP17	S	1	PD28DEC17	3137.50	3238.85	USD	-2,533.75	
28SEP17	B	2	PD28DEC17	3136.00	3238.85	USD	5,142.50	
28SEP17	B	1	PD28DEC17	3114.50	3238.85	USD	3,108.75	
28SEP17	B	1	PD28DEC17	3117.00	3238.85	USD	3,046.25	
28SEP17	B	1	PD28DEC17	3119.00	3238.85	USD	2,996.25	
28SEP17	B	1	PD28DEC17	3130.00	3238.85	USD	2,721.25	
28SEP17	B	1	PD28DEC17	3130.50	3238.85	USD	2,708.75	
28SEP17	S	1	PD28DEC17	3147.00	3238.85	USD	-2,296.25	
28SEP17	B	1	PD28DEC17	3138.00	3238.85	USD	2,521.25	
28SEP17	B	1	PD28DEC17	3145.50	3238.85	USD	2,333.75	
28SEP17	S	1	PD28DEC17	3151.00	3238.85	USD	-2,196.25	
28SEP17	S	1	PD28DEC17	3152.00	3238.85	USD	-2,171.25	
28SEP17	S	1	PD28DEC17	3154.50	3238.85	USD	-2,108.75	
28SEP17	S	2	PD28DEC17	3154.00	3238.85	USD	-4,242.50	
28SEP17	B	2	PD28DEC17	3156.50	3238.85	USD	4,117.50	
28SEP17	B	1	PD28DEC17	3156.00	3238.85	USD	2,071.25	
29SEP17	B	2	PD28DEC17	3162.39	3238.85	USD	3,823.00	
10OCT17	B	2	PD28DEC17	3283.36	3238.85	USD	-2,225.50	
	NET	0AVG BGHT 3156.38	SOLD 3135.32				-8,950.00	0.00
29SEP17	B	1	PD29DEC17	3148.00	3238.46	USD	2,261.50	
29SEP17	B	1	PD29DEC17	3148.50	3238.46	USD	2,249.00	
29SEP17	B	1	PD29DEC17	3146.00	3238.46	USD	2,311.50	
29SEP17	B	2	PD29DEC17	3147.00	3238.46	USD	4,573.00	
29SEP17	S	1	PD29DEC17	3147.00	3238.46	USD	-2,286.50	
29SEP17	B	3	PD29DEC17	3150.00	3238.46	USD	6,634.50	
29SEP17	B	1	PD29DEC17	3149.00	3238.46	USD	2,236.50	
29SEP17	B	2	PD29DEC17	3151.00	3238.46	USD	4,373.00	
29SEP17	S	11	PD29DEC17	3161.80	3238.46	USD	-21,081.50	
29SEP17	B	1	PD29DEC17	3160.00	3238.46	USD	1,961.50	
29SEP17	B	1	PD29DEC17	3161.00	3238.46	USD	1,936.50	
29SEP17	B	1	PD29DEC17	3168.00	3238.46	USD	1,761.50	
10OCT17	S	2	PD29DEC17	3282.62	3238.46	USD	2,208.00	
	NET	0AVG BGHT 3151.89	SOLD 3178.00				9,138.50	0.00
03OCT17	S	1	PD03JAN18	3226.00	3237.69	USD	-292.25	
03OCT17	S	1	PD03JAN18	3228.00	3237.69	USD	-242.25	
03OCT17	S	1	PD03JAN18	3229.50	3237.69	USD	-204.75	
03OCT17	S	1	PD03JAN18	3230.00	3237.69	USD	-192.25	
03OCT17	S	1	PD03JAN18	3236.50	3237.69	USD	-29.75	
03OCT17	B	1	PD03JAN18	3288.00	3237.69	USD	-1,257.75	
03OCT17	B	1	PD03JAN18	3288.50	3237.69	USD	-1,270.25	
03OCT17	B	3	PD03JAN18	3289.50	3237.69	USD	-3,885.75	
03OCT17	S	1	PD03JAN18	3282.00	3237.69	USD	1,107.75	
03OCT17	S	1	PD03JAN18	3283.50	3237.69	USD	1,145.25	
03OCT17	B	1	PD03JAN18	3262.00	3237.69	USD	-607.75	
03OCT17	B	1	PD03JAN18	3263.50	3237.69	USD	-645.25	
03OCT17	B	1	PD03JAN18	3278.00	3237.69	USD	-1,007.75	
03OCT17	B	1	PD03JAN18	3281.50	3237.69	USD	-1,095.25	
10OCT17	S	2	PD03JAN18	3281.73	3237.69	USD	2,202.00	
	NET	0AVG BGHT 3281.11	SOLD 3253.22				-6,276.00	0.00
04OCT17	B	1	PD04JAN18	3281.00	3237.31	USD	-1,092.25	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0094S

13th October 2017

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backoffice@sapiencap.com

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
04OCT17	S	1	PD04JAN18	3276.50	3237.31	USD	979.75	
04OCT17	S	1	PD04JAN18	3278.00	3237.31	USD	1,017.25	
04OCT17	S	1	PD04JAN18	3292.00	3237.31	USD	1,367.25	
04OCT17	S	1	PD04JAN18	3279.00	3237.31	USD	1,042.25	
04OCT17	S	1	PD04JAN18	3297.50	3237.31	USD	1,504.75	
04OCT17	S	1	PD04JAN18	3298.00	3237.31	USD	1,517.25	
04OCT17	B	1	PD04JAN18	3298.00	3237.31	USD	-1,517.25	
04OCT17	B	1	PD04JAN18	3285.00	3237.31	USD	-1,192.25	
04OCT17	B	2	PD04JAN18	3279.00	3237.31	USD	-2,084.50	
04OCT17	B	1	PD04JAN18	3276.50	3237.31	USD	-979.75	
	NET	0 AVG BGHT 3283.08	SOLD 3286.83				562.50	0.00
05OCT17	S	1	PD05JAN18	3274.00	3236.92	USD	927.00	
05OCT17	S	1	PD05JAN18	3274.50	3236.92	USD	939.50	
05OCT17	S	1	PD05JAN18	3275.00	3236.92	USD	952.00	
05OCT17	S	1	PD05JAN18	3281.50	3236.92	USD	1,114.50	
05OCT17	S	1	PD05JAN18	3282.00	3236.92	USD	1,127.00	
05OCT17	B	1	PD05JAN18	3287.50	3236.92	USD	-1,264.50	
05OCT17	B	1	PD05JAN18	3291.50	3236.92	USD	-1,364.50	
05OCT17	B	1	PD05JAN18	3294.50	3236.92	USD	-1,439.50	
05OCT17	S	1	PD05JAN18	3303.50	3236.92	USD	1,664.50	
05OCT17	S	1	PD05JAN18	3303.00	3236.92	USD	1,652.00	
05OCT17	B	1	PD05JAN18	3295.00	3236.92	USD	-1,452.00	
05OCT17	B	1	PD05JAN18	3296.50	3236.92	USD	-1,489.50	
05OCT17	B	2	PD05JAN18	3296.00	3236.92	USD	-2,954.00	
06OCT17	S	1	PD05JAN18	3292.50	3236.92	USD	1,389.50	
06OCT17	S	1	PD05JAN18	3288.50	3236.92	USD	1,289.50	
06OCT17	S	1	PD05JAN18	3290.00	3236.92	USD	1,327.00	
06OCT17	S	2	PD05JAN18	3293.00	3236.92	USD	2,804.00	
06OCT17	S	1	PD05JAN18	3292.00	3236.92	USD	1,377.00	
06OCT17	S	1	PD05JAN18	3286.00	3236.92	USD	1,227.00	
06OCT17	B	1	PD05JAN18	3259.00	3236.92	USD	-552.00	
06OCT17	B	1	PD05JAN18	3251.50	3236.92	USD	-364.50	
06OCT17	B	1	PD05JAN18	3255.00	3236.92	USD	-452.00	
06OCT17	B	2	PD05JAN18	3253.00	3236.92	USD	-804.00	
06OCT17	B	1	PD05JAN18	3243.00	3236.92	USD	-152.00	
06OCT17	B	1	PD05JAN18	3245.00	3236.92	USD	-202.00	
	NET	0 AVG BGHT 3272.61	SOLD 3287.75				5,300.00	0.00
09OCT17	B	1	PD09JAN18	3261.00	3236.15	USD	-621.25	
09OCT17	B	1	PD09JAN18	3264.00	3236.15	USD	-696.25	
09OCT17	S	1	PD09JAN18	3270.50	3236.15	USD	858.75	
09OCT17	S	1	PD09JAN18	3271.50	3236.15	USD	883.75	
09OCT17	S	1	PD09JAN18	3272.50	3236.15	USD	908.75	
09OCT17	S	3	PD09JAN18	3274.00	3236.15	USD	2,838.75	
09OCT17	S	1	PD09JAN18	3268.50	3236.15	USD	808.75	
09OCT17	B	1	PD09JAN18	3266.00	3236.15	USD	-746.25	
09OCT17	S	1	PD09JAN18	3267.00	3236.15	USD	771.25	
09OCT17	S	1	PD09JAN18	3268.00	3236.15	USD	796.25	
09OCT17	S	1	PD09JAN18	3269.50	3236.15	USD	833.75	
09OCT17	B	2	PD09JAN18	3253.00	3236.15	USD	-842.50	
09OCT17	B	1	PD09JAN18	3256.00	3236.15	USD	-496.25	
09OCT17	S	1	PD09JAN18	3262.00	3236.15	USD	646.25	
09OCT17	S	2	PD09JAN18	3264.00	3236.15	USD	1,392.50	
09OCT17	S	3	PD09JAN18	3266.00	3236.15	USD	2,238.75	
09OCT17	S	1	PD09JAN18	3262.50	3236.15	USD	658.75	
09OCT17	B	2	PD09JAN18	3242.00	3236.15	USD	-292.50	
09OCT17	B	3	PD09JAN18	3242.50	3236.15	USD	-476.25	
09OCT17	S	1	PD09JAN18	3254.00	3236.15	USD	446.25	

OPEN POSITION STATEMENT



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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
09OCT17	S	1	PD09JAN18	3254.50	3236.15	USD	458.75	
09OCT17	B	1	PD09JAN18	3237.50	3236.15	USD	-33.75	
09OCT17	B	1	PD09JAN18	3238.00	3236.15	USD	-46.25	
09OCT17	B	1	PD09JAN18	3238.50	3236.15	USD	-58.75	
09OCT17	B	2	PD09JAN18	3243.50	3236.15	USD	-367.50	
09OCT17	S	2	PD09JAN18	3246.00	3236.15	USD	492.50	
09OCT17	S	1	PD09JAN18	3246.50	3236.15	USD	258.75	
09OCT17	S	2	PD09JAN18	3247.00	3236.15	USD	542.50	
09OCT17	S	1	PD09JAN18	3250.00	3236.15	USD	346.25	
09OCT17	S	1	PD09JAN18	3251.00	3236.15	USD	371.25	
09OCT17	S	1	PD09JAN18	3252.50	3236.15	USD	408.75	
09OCT17	B	1	PD09JAN18	3254.00	3236.15	USD	-446.25	
09OCT17	S	2	PD09JAN18	3247.50	3236.15	USD	567.50	
09OCT17	B	2	PD09JAN18	3255.00	3236.15	USD	-942.50	
10OCT17	B	2	PD09JAN18	3279.75	3236.15	USD	-2,180.00	
	NET	-8 AVG BGHT 3251.86	SOLD 3260.33				9,282.50	0.00
10OCT17	B	1	PD10JAN18	3213.00	3235.77	USD	569.25	
10OCT17	B	1	PD10JAN18	3213.50	3235.77	USD	556.75	
10OCT17	B	1	PD10JAN18	3215.00	3235.77	USD	519.25	
10OCT17	B	1	PD10JAN18	3215.50	3235.77	USD	506.75	
10OCT17	B	1	PD10JAN18	3222.50	3235.77	USD	331.75	
10OCT17	B	1	PD10JAN18	3226.00	3235.77	USD	244.25	
10OCT17	B	1	PD10JAN18	3230.50	3235.77	USD	131.75	
10OCT17	B	1	PD10JAN18	3234.00	3235.77	USD	44.25	
10OCT17	B	1	PD10JAN18	3234.50	3235.77	USD	31.75	
10OCT17	B	2	PD10JAN18	3239.00	3235.77	USD	-161.50	
10OCT17	B	1	PD10JAN18	3287.50	3235.77	USD	-1,293.25	
10OCT17	B	2	PD10JAN18	3288.50	3235.77	USD	-2,636.50	
10OCT17	S	1	PD10JAN18	3221.00	3235.77	USD	-369.25	
10OCT17	S	1	PD10JAN18	3235.00	3235.77	USD	-19.25	
10OCT17	S	1	PD10JAN18	3235.50	3235.77	USD	-6.75	
10OCT17	S	1	PD10JAN18	3236.50	3235.77	USD	18.25	
10OCT17	S	1	PD10JAN18	3238.00	3235.77	USD	55.75	
10OCT17	S	2	PD10JAN18	3247.00	3235.77	USD	561.50	
10OCT17	S	1	PD10JAN18	3264.00	3235.77	USD	705.75	
10OCT17	S	1	PD10JAN18	3265.00	3235.77	USD	730.75	
10OCT17	S	1	PD10JAN18	3276.50	3235.77	USD	1,018.25	
	NET	+4 AVG BGHT 3239.07	SOLD 3246.55				1,539.50	0.00
11OCT17	B	1	PD11JAN18	3220.00	3235.38	USD	384.50	
11OCT17	B	1	PD11JAN18	3221.00	3235.38	USD	359.50	
11OCT17	B	1	PD11JAN18	3230.50	3235.38	USD	122.00	
11OCT17	B	1	PD11JAN18	3231.00	3235.38	USD	109.50	
11OCT17	B	2	PD11JAN18	3233.00	3235.38	USD	119.00	
11OCT17	B	1	PD11JAN18	3234.50	3235.38	USD	22.00	
11OCT17	B	1	PD11JAN18	3236.00	3235.38	USD	-15.50	
11OCT17	B	1	PD11JAN18	3243.50	3235.38	USD	-203.00	
11OCT17	B	1	PD11JAN18	3247.50	3235.38	USD	-303.00	
11OCT17	B	2	PD11JAN18	3252.50	3235.38	USD	-856.00	
11OCT17	B	2	PD11JAN18	3253.00	3235.38	USD	-881.00	
11OCT17	S	1	PD11JAN18	3230.00	3235.38	USD	-134.50	
11OCT17	S	1	PD11JAN18	3230.50	3235.38	USD	-122.00	
11OCT17	S	1	PD11JAN18	3233.00	3235.38	USD	-59.50	
11OCT17	S	1	PD11JAN18	3234.00	3235.38	USD	-34.50	
11OCT17	S	2	PD11JAN18	3242.00	3235.38	USD	331.00	
11OCT17	S	1	PD11JAN18	3243.50	3235.38	USD	203.00	
11OCT17	S	1	PD11JAN18	3250.00	3235.38	USD	365.50	
11OCT17	S	1	PD11JAN18	3253.50	3235.38	USD	453.00	

OPEN POSITION STATEMENT



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11OCT17	S	2	PD11JAN18		3222.50	3235.38	USD	-644.00	
11OCT17	B	1	PD11JAN18		3229.00	3235.38	USD	159.50	
	NET	+4 AVG	BGHT 3238.00	SOLD 3236.68				-624.50	0.00
12OCT17	B	1	PD12JAN18		3209.00	3235.00	USD	650.00	
12OCT17	B	1	PD12JAN18		3212.50	3235.00	USD	562.50	
12OCT17	B	1	PD12JAN18		3214.50	3235.00	USD	512.50	
12OCT17	B	1	PD12JAN18		3215.50	3235.00	USD	487.50	
12OCT17	S	1	PD12JAN18		3236.00	3235.00	USD	25.00	
12OCT17	S	1	PD12JAN18		3237.00	3235.00	USD	50.00	
12OCT17	S	1	PD12JAN18		3239.00	3235.00	USD	100.00	
12OCT17	S	1	PD12JAN18		3239.50	3235.00	USD	112.50	
12OCT17	S	1	PD12JAN18		3243.00	3235.00	USD	200.00	
12OCT17	S	1	PD12JAN18		3243.50	3235.00	USD	212.50	
12OCT17	S	1	PD12JAN18		3255.00	3235.00	USD	500.00	
12OCT17	S	1	PD12JAN18		3256.50	3235.00	USD	537.50	
12OCT17	S	1	PD12JAN18		3253.50	3235.00	USD	462.50	
12OCT17	S	1	PD12JAN18		3258.50	3235.00	USD	587.50	
12OCT17	S	1	PD12JAN18		3261.50	3235.00	USD	662.50	
12OCT17	S	1	PD12JAN18		3263.50	3235.00	USD	712.50	
12OCT17	B	2	PD12JAN18		3251.00	3235.00	USD	-800.00	
13OCT17	B	2	PD12JAN18		3242.50	3235.00	USD	-375.00	
13OCT17	S	1	PD12JAN18		3250.50	3235.00	USD	387.50	
13OCT17	S	1	PD12JAN18		3260.50	3235.00	USD	637.50	
13OCT17	S	1	PD12JAN18		3262.00	3235.00	USD	675.00	
13OCT17	S	2	PD12JAN18		3262.50	3235.00	USD	1,375.00	
13OCT17	B	1	PD12JAN18		3264.00	3235.00	USD	-725.00	
13OCT17	B	1	PD12JAN18		3260.00	3235.00	USD	-625.00	
13OCT17	B	1	PD12JAN18		3254.00	3235.00	USD	-475.00	
13OCT17	B	1	PD12JAN18		3254.50	3235.00	USD	-487.50	
13OCT17	B	3	PD12JAN18		3248.50	3235.00	USD	-1,012.50	
13OCT17	B	2	PD12JAN18		3251.00	3235.00	USD	-800.00	
13OCT17	B	1	PD12JAN18		3249.00	3235.00	USD	-350.00	
13OCT17	B	1	PD12JAN18		3245.00	3235.00	USD	-250.00	
13OCT17	B	4	PD12JAN18		3250.00	3235.00	USD	-1,500.00	
13OCT17	B	2	PD12JAN18		3265.50	3235.00	USD	-1,525.00	
13OCT17	S	2	PD12JAN18		3254.00	3235.00	USD	950.00	
13OCT17	S	1	PD12JAN18		3257.00	3235.00	USD	550.00	
13OCT17	S	2	PD12JAN18		3255.00	3235.00	USD	1,000.00	
13OCT17	S	1	PD12JAN18		3268.00	3235.00	USD	825.00	
13OCT17	S	1	PD12JAN18		3267.50	3235.00	USD	812.50	
13OCT17	S	1	PD12JAN18		3269.50	3235.00	USD	862.50	
13OCT17	S	1	PD12JAN18		3268.50	3235.00	USD	837.50	
13OCT17	S	1	PD12JAN18		3270.00	3235.00	USD	875.00	
13OCT17	B	1	PD12JAN18		3272.00	3235.00	USD	-925.00	
13OCT17	B	1	PD12JAN18		3271.00	3235.00	USD	-900.00	
13OCT17	B	1	PD12JAN18		3247.00	3235.00	USD	-300.00	
13OCT17	B	1	PD12JAN18		3249.50	3235.00	USD	-362.50	
13OCT17	B	1	PD12JAN18		3251.50	3235.00	USD	-412.50	
13OCT17	B	1	PD12JAN18		3252.50	3235.00	USD	-437.50	
13OCT17	B	1	PD12JAN18		3233.00	3235.00	USD	50.00	
13OCT17	B	2	PD12JAN18		3233.50	3235.00	USD	75.00	
13OCT17	B	1	PD12JAN18		3234.00	3235.00	USD	25.00	
13OCT17	B	1	PD12JAN18		3238.50	3235.00	USD	-87.50	
13OCT17	B	1	PD12JAN18		3241.00	3235.00	USD	-150.00	
13OCT17	B	1	PD12JAN18		3242.00	3235.00	USD	-175.00	
13OCT17	B	1	PD12JAN18		3228.00	3235.00	USD	175.00	
13OCT17	B	1	PD12JAN18		3229.50	3235.00	USD	137.50	

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13OCT17	S	1	PD12JAN18	3233.00	3235.00	USD	-50.00	
13OCT17	S	1	PD12JAN18	3233.50	3235.00	USD	-37.50	
13OCT17	S	1	PD12JAN18	3243.00	3235.00	USD	200.00	
13OCT17	S	1	PD12JAN18	3244.50	3235.00	USD	237.50	
13OCT17	S	1	PD12JAN18	3245.00	3235.00	USD	250.00	
13OCT17	S	1	PD12JAN18	3246.00	3235.00	USD	275.00	
13OCT17	S	1	PD12JAN18	3246.50	3235.00	USD	287.50	
13OCT17	S	1	PD12JAN18	3247.00	3235.00	USD	300.00	
13OCT17	S	1	PD12JAN18	3249.00	3235.00	USD	350.00	
13OCT17	S	2	PD12JAN18	3247.50	3235.00	USD	625.00	
13OCT17	S	2	PD12JAN18	3248.00	3235.00	USD	650.00	
	NET	0AVG BGHT 3245.00	SOLD 3252.04				7,037.50	0.00

OPEN POSITION VALUE

		USD
LME Aluminium 25 Tonnes.	FUTURES	-13,551.25
LME Copper Grade A 25	FUTURES	-5,800.00
LME Lead 25 tonnes. This is an	FUTURES	453,947.25
LME Nickel 6 tonnes. This is	FUTURES	-20,705.40
LME Zinc 25 tonnes. This is	FUTURES	552,800.50

MARKET REVALUATION BREAKDOWN

Unrealised Profits & Losses - Forwards			Amount	Profit / Loss	Total Amount	Total P&L
ALUL	- Futures	USD	-13,551.25	-13,551.25		
COPL	- Futures	USD	-5,800.00	-5,800.00		
LEAD	- Futures	USD	-50,252.25	-50,252.25		
NKL	- Futures	USD	-20,705.40	-20,705.40		
ZINC	- Futures	USD	-18,899.50	-18,899.50	-109,208.40	-109,208.40

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	94,806.52	94,806.52
Variation Margins - Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	94,806.52	94,806.52
Initial Margins	-189,203.40	-189,203.40
Excess/(Shortage)	-94,396.88	-94,396.88
<u>FOR INFORMATION ONLY</u>		
Forward Unrealised P&L	-109,208.40	-109,208.40
Net Liquidating Value	-14,401.88	-14,401.88

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ACCOUNT FINANCIAL SUMMARY

	EUR	USD	NETT USD
	(1.18360)	(1.00000)	(1.00000)
CASH BALANCE	-385.00	390.13	-65.56
Variation Margins			
- Futures	0.00	0.00	0.00
- Options	0.00	0.00	0.00
TOTAL EQUITY	-385.00	390.13	-65.56
Initial Margins	0.00	0.00	0.00
Excess/(Shortage)	-385.00	390.13	-65.56
FOR INFORMATION ONLY			
Net Liquidating Value	-385.00	390.13	-65.56

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	<u>LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.</u>							
19JUL17	S	1	PD19OCT17	1920.00	2110.75	USD	-4,768.75	
19JUL17	S	1	PD19OCT17	1922.00	2110.75	USD	-4,718.75	
26JUL17	B	2	PD19OCT17	1940.50	2110.75	USD	8,512.50	
	NET	0 AVG	BGHT 1940.50				-975.00	0.00
20JUL17	B	1	PD20OCT17	1918.00	2111.25	USD	4,831.25	
20JUL17	B	1	PD20OCT17	1916.00	2111.25	USD	4,881.25	
26JUL17	S	2	PD20OCT17	1940.51	2111.25	USD	-8,537.00	
	NET	0 AVG	BGHT 1917.00				1,175.50	0.00
24JUL17	S	1	PD24OCT17	1923.50	2113.25	USD	-4,743.75	
24JUL17	B	1	PD24OCT17	1911.00	2113.25	USD	5,056.25	
	NET	0 AVG	BGHT 1911.00				312.50	0.00
25JUL17	S	1	PD25OCT17	1919.50	2113.75	USD	-4,856.25	
28JUL17	B	1	PD25OCT17	1906.25	2113.75	USD	5,187.50	
	NET	0 AVG	BGHT 1906.25				331.25	0.00
26JUL17	S	1	PD26OCT17	1961.50	2114.25	USD	-3,818.75	
28JUL17	B	1	PD26OCT17	1906.63	2114.25	USD	5,190.50	
	NET	0 AVG	BGHT 1906.63				1,371.75	0.00
27JUL17	B	1	PD27OCT17	1941.50	2114.08	USD	4,314.50	
27JUL17	B	1	PD27OCT17	1941.00	2114.08	USD	4,327.00	
28JUL17	B	3	PD27OCT17	1914.00	2114.08	USD	15,006.00	
28JUL17	B	2	PD27OCT17	1913.00	2114.08	USD	10,054.00	
28JUL17	S	2	PD27OCT17	1906.80	2114.08	USD	-10,364.00	
28JUL17	S	1	PD27OCT17	1911.00	2114.08	USD	-5,077.00	
28JUL17	S	2	PD27OCT17	1911.50	2114.08	USD	-10,129.00	
28JUL17	B	1	PD27OCT17	1911.50	2114.08	USD	5,064.50	
01AUG17	S	3	PD27OCT17	1905.56	2114.08	USD	-15,639.00	
	NET	0 AVG	BGHT 1920.25				-2,443.00	0.00
31JUL17	S	3	PD31OCT17	1917.00	2113.75	USD	-14,756.25	
31JUL17	S	3	PD31OCT17	1921.00	2113.75	USD	-14,456.25	
31JUL17	S	1	PD31OCT17	1923.00	2113.75	USD	-4,768.75	
31JUL17	S	1	PD31OCT17	1924.00	2113.75	USD	-4,743.75	
31JUL17	S	1	PD31OCT17	1924.50	2113.75	USD	-4,731.25	
31JUL17	B	6	PD31OCT17	1918.20	2113.75	USD	29,332.50	
01AUG17	B	3	PD31OCT17	1906.91	2113.75	USD	15,513.00	
	NET	0 AVG	BGHT 1914.44				1,389.25	0.00
01AUG17	B	1	PD01NOV17	1909.00	2114.09	USD	5,127.25	
01AUG17	B	2	PD01NOV17	1909.50	2114.09	USD	10,229.50	
09AUG17	S	3	PD01NOV17	2026.07	2114.09	USD	-6,601.50	
	NET	0 AVG	BGHT 1909.33				8,755.25	0.00
02AUG17	S	1	PD02NOV17	1935.00	2114.44	USD	-4,486.00	
02AUG17	S	1	PD02NOV17	1933.00	2114.44	USD	-4,536.00	
02AUG17	S	1	PD02NOV17	1936.00	2114.44	USD	-4,461.00	
09AUG17	B	3	PD02NOV17	2026.45	2114.44	USD	6,599.25	
	NET	0 AVG	BGHT 2026.45				-6,883.75	0.00
04AUG17	B	1	PD03NOV17	1907.50	2114.78	USD	5,182.00	
04AUG17	B	1	PD03NOV17	1907.00	2114.78	USD	5,194.50	
09AUG17	S	2	PD03NOV17	2026.43	2114.78	USD	-4,417.50	
	NET	0 AVG	BGHT 1907.25				5,959.00	0.00
07AUG17	S	2	PD07NOV17	1923.00	2116.16	USD	-9,658.00	
07AUG17	S	1	PD07NOV17	1923.50	2116.16	USD	-4,816.50	
07AUG17	S	1	PD07NOV17	1953.50	2116.16	USD	-4,066.50	
07AUG17	S	1	PD07NOV17	1954.00	2116.16	USD	-4,054.00	
07AUG17	S	1	PD07NOV17	1954.50	2116.16	USD	-4,041.50	
07AUG17	S	2	PD07NOV17	1955.50	2116.16	USD	-8,033.00	

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	<u>LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.</u>							
09AUG17	B	8	PD07NOV17	2027.34	2116.16	USD	17,764.00	
	NET	0 AVG	BGHT 2027.34	SOLD 1942.81			-16,905.50	0.00
08AUG17	S	1	PD08NOV17	2009.50	2116.50	USD	-2,675.00	
08AUG17	S	1	PD08NOV17	2010.00	2116.50	USD	-2,662.50	
08AUG17	S	3	PD08NOV17	2010.50	2116.50	USD	-7,950.00	
09AUG17	B	5	PD08NOV17	2027.52	2116.50	USD	11,122.50	
	NET	0 AVG	BGHT 2027.52	SOLD 2010.20			-2,165.00	0.00
16AUG17	S	1	PD16NOV17	2060.00	2120.07	USD	-1,501.75	
16AUG17	S	1	PD16NOV17	2061.00	2120.07	USD	-1,476.75	
16AUG17	B	1	PD16NOV17	2085.00	2120.07	USD	876.75	
16AUG17	B	1	PD16NOV17	2085.50	2120.07	USD	864.25	
	NET	0 AVG	BGHT 2085.25	SOLD 2060.50			-1,237.50	0.00
17AUG17	B	3	PD17NOV17	2074.50	2120.38	USD	3,441.00	
17AUG17	B	2	PD17NOV17	2076.00	2120.38	USD	2,219.00	
17AUG17	S	5	PD17NOV17	2075.80	2120.38	USD	-5,572.50	
18AUG17	B	1	PD17NOV17	2060.50	2120.38	USD	1,497.00	
18AUG17	B	1	PD17NOV17	2061.50	2120.38	USD	1,472.00	
18AUG17	B	1	PD17NOV17	2065.00	2120.38	USD	1,384.50	
18AUG17	B	2	PD17NOV17	2062.50	2120.38	USD	2,894.00	
18AUG17	B	1	PD17NOV17	2066.00	2120.38	USD	1,359.50	
18AUG17	S	5	PD17NOV17	2061.80	2120.38	USD	-7,322.50	
30AUG17	S	1	PD17NOV17	2085.00	2120.38	USD	-884.50	
	NET	0 AVG	BGHT 2068.50	SOLD 2070.27			487.50	0.00
23AUG17	B	1	PD24NOV17	2062.50	2122.60	USD	1,502.50	
23AUG17	S	2	PD24NOV17	2076.50	2122.60	USD	-2,305.00	
25AUG17	B	1	PD24NOV17	2067.50	2122.60	USD	1,377.50	
25AUG17	S	1	PD24NOV17	2068.00	2122.60	USD	-1,365.00	
25AUG17	S	1	PD24NOV17	2071.00	2122.60	USD	-1,290.00	
30AUG17	B	1	PD24NOV17	2086.55	2122.60	USD	901.25	
31AUG17	B	1	PD24NOV17	2115.93	2122.60	USD	166.75	
	NET	0 AVG	BGHT 2083.12	SOLD 2073.00			-1,012.00	0.00
30AUG17	B	1	PD30NOV17	2098.50	2124.49	USD	649.75	
30AUG17	S	1	PD30NOV17	2099.00	2124.49	USD	-637.25	
30AUG17	S	1	PD30NOV17	2099.50	2124.49	USD	-624.75	
30AUG17	S	1	PD30NOV17	2096.50	2124.49	USD	-699.75	
31AUG17	S	1	PD30NOV17	2127.50	2124.49	USD	75.25	
31AUG17	S	1	PD30NOV17	2125.00	2124.49	USD	12.75	
31AUG17	S	1	PD30NOV17	2128.50	2124.49	USD	100.25	
31AUG17	S	2	PD30NOV17	2131.50	2124.49	USD	350.50	
31AUG17	S	2	PD30NOV17	2132.00	2124.49	USD	375.50	
31AUG17	S	1	PD30NOV17	2132.50	2124.49	USD	200.25	
31AUG17	S	1	PD30NOV17	2133.00	2124.49	USD	212.75	
31AUG17	B	1	PD30NOV17	2133.00	2124.49	USD	-212.75	
31AUG17	B	4	PD30NOV17	2117.20	2124.49	USD	729.00	
06SEP17	B	6	PD30NOV17	2103.08	2124.49	USD	3,211.50	
	NET	0 AVG	BGHT 2109.90	SOLD 2122.38			3,743.00	0.00
01SEP17	B	1	PD01DEC17	2126.50	2124.81	USD	-42.25	
01SEP17	B	1	PD01DEC17	2126.00	2124.81	USD	-29.75	
06SEP17	S	2	PD01DEC17	2103.12	2124.81	USD	-1,084.50	
	NET	0 AVG	BGHT 2126.25	SOLD 2103.12			-1,156.50	0.00
04SEP17	B	1	PD04DEC17	2107.00	2125.76	USD	469.00	
04SEP17	B	1	PD04DEC17	2106.50	2125.76	USD	481.50	
04SEP17	B	1	PD04DEC17	2118.00	2125.76	USD	194.00	
04SEP17	S	1	PD04DEC17	2118.50	2125.76	USD	-181.50	
06SEP17	S	2	PD04DEC17	2103.83	2125.76	USD	-1,096.50	

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	<u>LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.</u>					
	NET 0AVG BGHT 2110.50 SOLD 2108.72				-133.50	0.00
05SEP17	B 1 PD05DEC17	2095.50	2126.07	USD	764.25	
05SEP17	B 2 PD05DEC17	2097.50	2126.07	USD	1,428.50	
05SEP17	B 1 PD05DEC17	2088.50	2126.07	USD	939.25	
05SEP17	B 1 PD05DEC17	2105.50	2126.07	USD	514.25	
06SEP17	S 2 PD05DEC17	2104.06	2126.07	USD	-1,100.50	
14SEP17	S 3 PD05DEC17	2095.59	2126.07	USD	-2,286.00	
	NET 0AVG BGHT 2096.90 SOLD 2098.98				259.75	0.00
06SEP17	S 1 PD06DEC17	2093.00	2126.39	USD	-834.75	
06SEP17	S 1 PD06DEC17	2093.50	2126.39	USD	-822.25	
06SEP17	S 2 PD06DEC17	2107.00	2126.39	USD	-969.50	
06SEP17	B 1 PD06DEC17	2107.50	2126.39	USD	472.25	
14SEP17	B 3 PD06DEC17	2096.06	2126.39	USD	2,274.75	
	NET 0AVG BGHT 2098.92 SOLD 2100.13				120.50	0.00
14SEP17	B 1 PD14DEC17	2091.50	2128.92	USD	935.50	
14SEP17	B 2 PD14DEC17	2092.00	2128.92	USD	1,846.00	
14SEP17	B 2 PD14DEC17	2092.50	2128.92	USD	1,821.00	
14SEP17	S 1 PD14DEC17	2102.00	2128.92	USD	-673.00	
14SEP17	S 1 PD14DEC17	2101.00	2128.92	USD	-698.00	
14SEP17	S 3 PD14DEC17	2097.80	2128.92	USD	-2,334.00	
	NET 0AVG BGHT 2092.10 SOLD 2099.28				897.50	0.00
15SEP17	B 1 PD15DEC17	2075.50	2129.24	USD	1,343.50	
15SEP17	S 1 PD15DEC17	2075.00	2129.24	USD	-1,356.00	
	NET 0AVG BGHT 2075.50 SOLD 2075.00				-12.50	0.00
18SEP17	B 2 PD18DEC17	2090.00	2130.18	USD	2,009.00	
20SEP17	S 2 PD18DEC17	2176.44	2130.18	USD	2,313.00	
	NET 0AVG BGHT 2090.00 SOLD 2176.44				4,322.00	0.00
19SEP17	B 1 PD19DEC17	2116.00	2130.50	USD	362.50	
19SEP17	S 1 PD19DEC17	2115.50	2130.50	USD	-375.00	
19SEP17	S 1 PD19DEC17	2116.00	2130.50	USD	-362.50	
19SEP17	S 1 PD19DEC17	2119.50	2130.50	USD	-275.00	
20SEP17	B 2 PD19DEC17	2176.92	2130.50	USD	-2,321.00	
	NET 0AVG BGHT 2156.61 SOLD 2117.00				-2,971.00	0.00
20SEP17	S 1 PD20DEC17	2187.00	2130.50	USD	1,412.50	
20SEP17	B 1 PD20DEC17	2185.50	2130.50	USD	-1,375.00	
	NET 0AVG BGHT 2185.50 SOLD 2187.00				37.50	0.00
21SEP17	B 2 PD21DEC17	2178.50	2130.50	USD	-2,400.00	
21SEP17	S 1 PD21DEC17	2178.00	2130.50	USD	1,187.50	
21SEP17	S 1 PD21DEC17	2170.80	2130.50	USD	1,007.50	
	NET 0AVG BGHT 2178.50 SOLD 2174.40				-205.00	0.00
22SEP17	B 1 PD22DEC17	2134.00	2130.50	USD	-87.50	
22SEP17	S 1 PD22DEC17	2164.00	2130.50	USD	837.50	
	NET 0AVG BGHT 2134.00 SOLD 2164.00				750.00	0.00
27SEP17	B 1 PD27DEC17	2130.00	2131.04	USD	26.00	
28SEP17	S 1 PD27DEC17	2130.52	2131.04	USD	-13.00	
	NET 0AVG BGHT 2130.00 SOLD 2130.52				13.00	0.00
	<u>LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
19JUL17	B 2 PD19OCT17	2222.50	2518.90	USD	14,820.00	
26JUL17	S 2 PD19OCT17	2312.25	2518.90	USD	-10,332.50	
	NET 0AVG BGHT 2222.50 SOLD 2312.25				4,487.50	0.00
20JUL17	S 1 PD20OCT17	2226.00	2519.05	USD	-7,326.25	
20JUL17	S 1 PD20OCT17	2227.00	2519.05	USD	-7,301.25	

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26JUL17	B 2 PD20OCT17	2312.56	2519.05	USD	10,324.50	
	NET 0 AVG BGHT 2312.56 SOLD 2226.50				-4,303.00	0.00
26JUL17	S 1 PD26OCT17	2324.50	2519.95	USD	-4,886.25	
26JUL17	S 1 PD26OCT17	2333.00	2519.95	USD	-4,673.75	
26JUL17	S 1 PD26OCT17	2314.50	2519.95	USD	-5,136.25	
26JUL17	S 1 PD26OCT17	2317.00	2519.95	USD	-5,073.75	
28JUL17	B 4 PD26OCT17	2319.88	2519.95	USD	20,007.00	
	NET 0 AVG BGHT 2319.88 SOLD 2322.25				237.00	0.00
27JUL17	B 2 PD27OCT17	2331.00	2520.10	USD	9,455.00	
27JUL17	B 1 PD27OCT17	2302.00	2520.10	USD	5,452.50	
27JUL17	B 1 PD27OCT17	2304.00	2520.10	USD	5,402.50	
28JUL17	S 4 PD27OCT17	2319.80	2520.10	USD	-20,030.00	
	NET 0 AVG BGHT 2317.00 SOLD 2319.80				280.00	0.00
29SEP17	S 12 PD02NOV17	2492.15	2521.00	USD	-8,655.00	
09OCT17	B 3 PD02NOV17	2501.04	2521.00	USD	1,497.00	
	NET -9 AVG BGHT 2501.04 SOLD 2492.15				-7,158.00	0.00
04AUG17	B 1 PD03NOV17	2371.00	2521.13	USD	3,753.25	
04AUG17	S 1 PD03NOV17	2364.50	2521.13	USD	-3,915.75	
04AUG17	S 1 PD03NOV17	2364.00	2521.13	USD	-3,928.25	
04AUG17	S 1 PD03NOV17	2366.00	2521.13	USD	-3,878.25	
04AUG17	B 1 PD03NOV17	2350.50	2521.13	USD	4,265.75	
04AUG17	B 1 PD03NOV17	2351.00	2521.13	USD	4,253.25	
	NET 0 AVG BGHT 2357.50 SOLD 2364.83				550.00	0.00
18AUG17	B 3 PD17NOV17	2361.50	2522.75	USD	12,093.75	
18AUG17	B 1 PD17NOV17	2359.50	2522.75	USD	4,081.25	
23AUG17	S 4 PD17NOV17	2375.25	2522.75	USD	-14,750.00	
	NET 0 AVG BGHT 2361.00 SOLD 2375.25				1,425.00	0.00
22AUG17	S 1 PD22NOV17	2379.50	2523.51	USD	-3,600.25	
22AUG17	S 1 PD22NOV17	2391.50	2523.51	USD	-3,300.25	
22AUG17	S 2 PD22NOV17	2381.00	2523.51	USD	-7,125.50	
23AUG17	B 4 PD22NOV17	2376.70	2523.51	USD	14,681.00	
	NET 0 AVG BGHT 2376.70 SOLD 2383.25				655.00	0.00
29AUG17	B 1 PD29NOV17	2348.50	2524.57	USD	4,401.75	
29AUG17	B 1 PD29NOV17	2342.00	2524.57	USD	4,564.25	
29AUG17	S 1 PD29NOV17	2382.50	2524.57	USD	-3,551.75	
31AUG17	S 1 PD29NOV17	2393.71	2524.57	USD	-3,271.50	
	NET 0 AVG BGHT 2345.25 SOLD 2388.11				2,142.75	0.00
30AUG17	S 1 PD30NOV17	2384.00	2524.72	USD	-3,518.00	
31AUG17	S 1 PD30NOV17	2391.50	2524.72	USD	-3,330.50	
31AUG17	S 1 PD30NOV17	2393.00	2524.72	USD	-3,293.00	
31AUG17	B 3 PD30NOV17	2394.20	2524.72	USD	9,789.00	
	NET 0 AVG BGHT 2394.20 SOLD 2389.50				-352.50	0.00
05SEP17	B 1 PD05DEC17	2388.50	2525.48	USD	3,424.50	
05SEP17	B 1 PD05DEC17	2389.00	2525.48	USD	3,412.00	
05SEP17	B 1 PD05DEC17	2390.00	2525.48	USD	3,387.00	
06SEP17	S 3 PD05DEC17	2339.53	2525.48	USD	-13,946.25	
	NET 0 AVG BGHT 2389.17 SOLD 2339.53				-3,722.75	0.00
07SEP17	B 1 PD07DEC17	2351.00	2525.78	USD	4,369.50	
07SEP17	B 1 PD07DEC17	2344.50	2525.78	USD	4,532.00	
07SEP17	B 2 PD07DEC17	2348.50	2525.78	USD	8,864.00	
07SEP17	S 1 PD07DEC17	2348.00	2525.78	USD	-4,444.50	
07SEP17	B 2 PD07DEC17	2343.50	2525.78	USD	9,114.00	
14SEP17	S 5 PD07DEC17	2305.01	2525.78	USD	-27,596.25	
	NET 0 AVG BGHT 2346.58 SOLD 2312.18				-5,161.25	0.00

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08SEP17	S	1	PD08DEC17	2265.00	2525.93	USD	-6,523.25	
14SEP17	B	1	PD08DEC17	2305.49	2525.93	USD	5,511.00	
	NET	0 AVG BGHT 2305.49	SOLD 2265.00				-1,012.25	0.00
12SEP17	B	1	PD12DEC17	2285.50	2526.54	USD	6,026.00	
12SEP17	S	2	PD12DEC17	2284.00	2526.54	USD	-12,127.00	
12SEP17	S	1	PD12DEC17	2285.00	2526.54	USD	-6,038.50	
12SEP17	S	1	PD12DEC17	2283.00	2526.54	USD	-6,088.50	
12SEP17	S	1	PD12DEC17	2285.50	2526.54	USD	-6,026.00	
12SEP17	S	1	PD12DEC17	2301.50	2526.54	USD	-5,626.00	
12SEP17	S	1	PD12DEC17	2304.00	2526.54	USD	-5,563.50	
12SEP17	S	1	PD12DEC17	2308.50	2526.54	USD	-5,451.00	
13SEP17	B	2	PD12DEC17	2289.89	2526.54	USD	11,832.50	
14SEP17	B	5	PD12DEC17	2306.63	2526.54	USD	27,488.75	
	NET	0 AVG BGHT 2299.80	SOLD 2291.94				-1,573.25	0.00
13SEP17	B	2	PD13DEC17	2287.50	2526.69	USD	11,959.50	
13SEP17	B	1	PD13DEC17	2288.50	2526.69	USD	5,954.75	
13SEP17	S	1	PD13DEC17	2300.00	2526.69	USD	-5,667.25	
13SEP17	S	1	PD13DEC17	2298.50	2526.69	USD	-5,704.75	
13SEP17	S	1	PD13DEC17	2302.50	2526.69	USD	-5,604.75	
	NET	0 AVG BGHT 2287.83	SOLD 2300.33				937.50	0.00
15SEP17	S	2	PD15DEC17	2323.00	2526.99	USD	-10,199.50	
26SEP17	B	2	PD15DEC17	2489.52	2526.99	USD	1,873.50	
	NET	0 AVG BGHT 2489.52	SOLD 2323.00				-8,326.00	0.00
18SEP17	S	1	PD18DEC17	2391.00	2527.45	USD	-3,411.25	
18SEP17	S	1	PD18DEC17	2393.00	2527.45	USD	-3,361.25	
18SEP17	S	1	PD18DEC17	2392.00	2527.45	USD	-3,386.25	
18SEP17	B	1	PD18DEC17	2391.50	2527.45	USD	3,398.75	
18SEP17	B	1	PD18DEC17	2394.00	2527.45	USD	3,336.25	
18SEP17	B	1	PD18DEC17	2395.00	2527.45	USD	3,311.25	
18SEP17	B	1	PD18DEC17	2395.50	2527.45	USD	3,298.75	
20SEP17	S	1	PD18DEC17	2459.69	2527.45	USD	-1,694.00	
	NET	0 AVG BGHT 2394.00	SOLD 2408.92				1,492.25	0.00
19SEP17	S	1	PD19DEC17	2431.50	2527.60	USD	-2,402.50	
19SEP17	S	1	PD19DEC17	2433.00	2527.60	USD	-2,365.00	
19SEP17	S	1	PD19DEC17	2436.50	2527.60	USD	-2,277.50	
19SEP17	S	2	PD19DEC17	2437.00	2527.60	USD	-4,530.00	
19SEP17	B	1	PD19DEC17	2422.50	2527.60	USD	2,627.50	
19SEP17	B	1	PD19DEC17	2421.50	2527.60	USD	2,652.50	
19SEP17	B	1	PD19DEC17	2422.00	2527.60	USD	2,640.00	
20SEP17	B	1	PD19DEC17	2460.04	2527.60	USD	1,689.00	
26SEP17	B	1	PD19DEC17	2489.90	2527.60	USD	942.50	
	NET	0 AVG BGHT 2443.19	SOLD 2435.00				-1,023.50	0.00
20SEP17	S	1	PD20DEC17	2444.00	2527.75	USD	-2,093.75	
20SEP17	S	1	PD20DEC17	2455.50	2527.75	USD	-1,806.25	
20SEP17	S	1	PD20DEC17	2456.00	2527.75	USD	-1,793.75	
20SEP17	S	1	PD20DEC17	2456.50	2527.75	USD	-1,781.25	
20SEP17	S	1	PD20DEC17	2459.50	2527.75	USD	-1,706.25	
20SEP17	S	1	PD20DEC17	2462.50	2527.75	USD	-1,631.25	
20SEP17	S	1	PD20DEC17	2466.00	2527.75	USD	-1,543.75	
20SEP17	S	1	PD20DEC17	2468.50	2527.75	USD	-1,481.25	
20SEP17	S	1	PD20DEC17	2468.00	2527.75	USD	-1,493.75	
20SEP17	S	1	PD20DEC17	2469.00	2527.75	USD	-1,468.75	
21SEP17	B	10	PD20DEC17	2518.20	2527.75	USD	2,387.50	
	NET	0 AVG BGHT 2518.20	SOLD 2460.55				-14,412.50	0.00
21SEP17	S	1	PD21DEC17	2473.50	2526.75	USD	-1,331.25	

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	<u>LME LEAD 25 TONNES. THIS IS AN</u>							
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21SEP17	B	1	PD21DEC17	2476.00	2526.75	USD	1,268.75	
21SEP17	B	1	PD21DEC17	2476.50	2526.75	USD	1,256.25	
21SEP17	B	1	PD21DEC17	2477.00	2526.75	USD	1,243.75	
21SEP17	B	1	PD21DEC17	2482.50	2526.75	USD	1,106.25	
26SEP17	S	3	PD21DEC17	2489.13	2526.75	USD	-2,821.50	
	NET	0 AVG BGHT 2478.00	SOLD 2485.22				722.25	0.00
22SEP17	B	1	PD22DEC17	2390.50	2526.90	USD	3,410.00	
22SEP17	B	1	PD22DEC17	2403.00	2526.90	USD	3,097.50	
22SEP17	S	1	PD22DEC17	2448.00	2526.90	USD	-1,972.50	
22SEP17	S	1	PD22DEC17	2448.50	2526.90	USD	-1,960.00	
22SEP17	S	1	PD22DEC17	2450.00	2526.90	USD	-1,922.50	
22SEP17	B	1	PD22DEC17	2388.00	2526.90	USD	3,472.50	
	NET	0 AVG BGHT 2393.83	SOLD 2448.83				4,125.00	0.00
25SEP17	B	1	PD27DEC17	2479.00	2527.64	USD	1,216.00	
25SEP17	B	1	PD27DEC17	2479.50	2527.64	USD	1,203.50	
25SEP17	B	1	PD27DEC17	2506.50	2527.64	USD	528.50	
25SEP17	S	1	PD27DEC17	2500.00	2527.64	USD	-691.00	
25SEP17	S	1	PD27DEC17	2505.00	2527.64	USD	-566.00	
25SEP17	S	1	PD27DEC17	2507.00	2527.64	USD	-516.00	
25SEP17	B	1	PD27DEC17	2484.00	2527.64	USD	1,091.00	
25SEP17	B	1	PD27DEC17	2487.50	2527.64	USD	1,003.50	
25SEP17	B	1	PD27DEC17	2488.00	2527.64	USD	991.00	
25SEP17	B	1	PD27DEC17	2476.50	2527.64	USD	1,278.50	
25SEP17	B	1	PD27DEC17	2480.00	2527.64	USD	1,191.00	
26SEP17	S	5	PD27DEC17	2487.80	2527.64	USD	-4,980.00	
27SEP17	B	1	PD27DEC17	2453.50	2527.64	USD	1,853.50	
27SEP17	B	1	PD27DEC17	2461.50	2527.64	USD	1,653.50	
29SEP17	S	2	PD27DEC17	2487.00	2527.64	USD	-2,032.00	
	NET	0 AVG BGHT 2479.60	SOLD 2492.50				3,225.00	0.00
28SEP17	S	2	PD28DEC17	2458.50	2527.78	USD	-3,464.00	
28SEP17	B	1	PD28DEC17	2485.00	2527.78	USD	1,069.50	
28SEP17	S	1	PD28DEC17	2479.00	2527.78	USD	-1,219.50	
28SEP17	S	1	PD28DEC17	2481.00	2527.78	USD	-1,169.50	
28SEP17	S	1	PD28DEC17	2485.50	2527.78	USD	-1,057.00	
28SEP17	B	1	PD28DEC17	2485.50	2527.78	USD	1,057.00	
29SEP17	B	2	PD28DEC17	2486.20	2527.78	USD	2,079.00	
10OCT17	B	1	PD28DEC17	2554.21	2527.78	USD	-660.75	
	NET	0 AVG BGHT 2499.42	SOLD 2472.50				-3,365.25	0.00
29SEP17	S	1	PD29DEC17	2488.50	2527.93	USD	-985.75	
29SEP17	S	1	PD29DEC17	2491.00	2527.93	USD	-923.25	
29SEP17	S	1	PD29DEC17	2492.00	2527.93	USD	-898.25	
29SEP17	S	1	PD29DEC17	2494.50	2527.93	USD	-835.75	
29SEP17	S	1	PD29DEC17	2497.00	2527.93	USD	-773.25	
29SEP17	S	1	PD29DEC17	2495.00	2527.93	USD	-823.25	
29SEP17	B	1	PD29DEC17	2496.00	2527.93	USD	798.25	
29SEP17	B	1	PD29DEC17	2496.50	2527.93	USD	785.75	
29SEP17	B	1	PD29DEC17	2497.50	2527.93	USD	760.75	
29SEP17	B	2	PD29DEC17	2495.00	2527.93	USD	1,646.50	
29SEP17	B	1	PD29DEC17	2497.00	2527.93	USD	773.25	
29SEP17	B	2	PD29DEC17	2498.00	2527.93	USD	1,496.50	
29SEP17	S	1	PD29DEC17	2484.80	2527.93	USD	-1,078.25	
10OCT17	S	1	PD29DEC17	2554.23	2527.93	USD	657.50	
	NET	0 AVG BGHT 2496.63	SOLD 2499.63				600.75	0.00
03OCT17	S	1	PD03JAN18	2590.00	2528.67	USD	1,533.25	
03OCT17	S	1	PD03JAN18	2591.00	2528.67	USD	1,558.25	
03OCT17	B	1	PD03JAN18	2588.00	2528.67	USD	-1,483.25	

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	<u>LME LEAD 25 TONNES. THIS IS AN</u>							
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030CT17	S	1	PD03JAN18	2592.00	2528.67	USD	1,583.25	
100CT17	B	2	PD03JAN18	2555.50	2528.67	USD	-1,341.50	
	NET	0AVG	BGHT 2566.33				1,850.00	0.00
040CT17	B	1	PD04JAN18	2572.50	2528.82	USD	-1,092.00	
040CT17	B	1	PD04JAN18	2573.00	2528.82	USD	-1,104.50	
040CT17	B	1	PD04JAN18	2547.00	2528.82	USD	-454.50	
040CT17	B	1	PD04JAN18	2544.50	2528.82	USD	-392.00	
040CT17	S	1	PD04JAN18	2548.00	2528.82	USD	479.50	
100CT17	S	2	PD04JAN18	2555.51	2528.82	USD	1,334.50	
100CT17	S	1	PD04JAN18	2555.71	2528.82	USD	672.25	
	NET	0AVG	BGHT 2559.25				-556.75	0.00
050CT17	S	1	PD05JAN18	2552.00	2528.97	USD	575.75	
050CT17	S	1	PD05JAN18	2555.00	2528.97	USD	650.75	
050CT17	B	1	PD05JAN18	2588.00	2528.97	USD	-1,475.75	
100CT17	B	1	PD05JAN18	2556.13	2528.97	USD	-679.00	
	NET	0AVG	BGHT 2572.07				-928.25	0.00
090CT17	B	1	PD09JAN18	2516.00	2529.56	USD	339.00	
090CT17	B	1	PD09JAN18	2516.50	2529.56	USD	326.50	
090CT17	B	1	PD09JAN18	2517.00	2529.56	USD	314.00	
090CT17	B	1	PD09JAN18	2521.00	2529.56	USD	214.00	
090CT17	B	1	PD09JAN18	2523.00	2529.56	USD	164.00	
090CT17	B	1	PD09JAN18	2524.50	2529.56	USD	126.50	
090CT17	B	1	PD09JAN18	2490.00	2529.56	USD	989.00	
090CT17	B	1	PD09JAN18	2490.50	2529.56	USD	976.50	
090CT17	S	1	PD09JAN18	2513.00	2529.56	USD	-414.00	
090CT17	S	1	PD09JAN18	2514.00	2529.56	USD	-389.00	
090CT17	S	1	PD09JAN18	2515.00	2529.56	USD	-364.00	
090CT17	S	1	PD09JAN18	2515.50	2529.56	USD	-351.50	
090CT17	S	1	PD09JAN18	2513.50	2529.56	USD	-401.50	
090CT17	S	3	PD09JAN18	2511.80	2529.56	USD	-1,332.00	
	NET	0AVG	BGHT 2512.31				197.50	0.00
100CT17	B	2	PD10JAN18	2556.50	2529.70	USD	-1,340.00	
100CT17	S	1	PD10JAN18	2515.50	2529.70	USD	-355.00	
100CT17	S	1	PD10JAN18	2516.00	2529.70	USD	-342.50	
100CT17	S	1	PD10JAN18	2517.00	2529.70	USD	-317.50	
100CT17	B	1	PD10JAN18	2559.00	2529.70	USD	-732.50	
100CT17	B	2	PD10JAN18	2558.50	2529.70	USD	-1,440.00	
	NET	+2AVG	BGHT 2557.80				-4,527.50	0.00
110CT17	B	1	PD11JAN18	2523.00	2529.85	USD	171.25	
110CT17	B	1	PD11JAN18	2523.50	2529.85	USD	158.75	
110CT17	B	1	PD11JAN18	2524.00	2529.85	USD	146.25	
110CT17	B	5	PD11JAN18	2540.50	2529.85	USD	-1,331.25	
110CT17	S	1	PD11JAN18	2526.00	2529.85	USD	-96.25	
110CT17	S	1	PD11JAN18	2535.00	2529.85	USD	128.75	
110CT17	S	1	PD11JAN18	2536.00	2529.85	USD	153.75	
110CT17	S	2	PD11JAN18	2540.50	2529.85	USD	532.50	
110CT17	S	1	PD11JAN18	2541.00	2529.85	USD	278.75	
110CT17	S	3	PD11JAN18	2541.50	2529.85	USD	873.75	
110CT17	S	1	PD11JAN18	2542.00	2529.85	USD	303.75	
110CT17	S	2	PD11JAN18	2561.00	2529.85	USD	1,557.50	
110CT17	S	1	PD11JAN18	2558.00	2529.85	USD	703.75	
110CT17	S	4	PD11JAN18	2560.00	2529.85	USD	3,015.00	
	NET	-9AVG	BGHT 2534.13				6,596.25	0.00
120CT17	B	1	PD12JAN18	2570.50	2530.00	USD	-1,012.50	
120CT17	B	1	PD12JAN18	2571.50	2530.00	USD	-1,037.50	
120CT17	B	3	PD12JAN18	2563.50	2530.00	USD	-2,512.50	

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	<u>LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
12OCT17	B 1 PD12JAN18	2566.50	2530.00	USD	-912.50	
13OCT17	B 1 PD12JAN18	2540.50	2530.00	USD	-262.50	
13OCT17	B 1 PD12JAN18	2541.00	2530.00	USD	-275.00	
13OCT17	B 1 PD12JAN18	2553.50	2530.00	USD	-587.50	
13OCT17	S 2 PD12JAN18	2539.50	2530.00	USD	475.00	
	NET +7 AVG BGHT 2559.33 SOLD 2539.50				-6,125.00	0.00
	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
13SEP17	B 3 PD13DEC17	11590.00	11652.70	USD	1,128.60	
13SEP17	S 1 PD13DEC17	11600.00	11652.70	USD	-316.20	
21SEP17	S 2 PD13DEC17	10998.70	11652.70	USD	-7,848.00	
	NET 0 AVG BGHT 11590.00 SOLD 11199.13				-7,035.60	0.00
20SEP17	S 1 PD20DEC17	11335.00	11657.50	USD	-1,935.00	
20SEP17	S 1 PD20DEC17	11370.00	11657.50	USD	-1,725.00	
21SEP17	B 2 PD20DEC17	11005.50	11657.50	USD	7,824.00	
	NET 0 AVG BGHT 11005.50 SOLD 11352.50				4,164.00	0.00
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
17JUL17	S 1 PD17OCT17	2815.00	3294.00	USD	-11,975.00	
17JUL17	S 1 PD17OCT17	2814.50	3294.00	USD	-11,987.50	
26JUL17	B 2 PD17OCT17	2808.99	3294.00	USD	24,250.50	
	NET 0 AVG BGHT 2808.99 SOLD 2814.75				288.00	0.00
18JUL17	B 1 PD18OCT17	2796.50	3291.00	USD	12,362.50	
18JUL17	B 1 PD18OCT17	2797.00	3291.00	USD	12,350.00	
18JUL17	B 1 PD18OCT17	2795.00	3291.00	USD	12,400.00	
18JUL17	S 2 PD18OCT17	2793.00	3291.00	USD	-24,900.00	
18JUL17	S 1 PD18OCT17	2794.00	3291.00	USD	-12,425.00	
	NET 0 AVG BGHT 2796.17 SOLD 2793.33				-212.50	0.00
19JUL17	B 1 PD19OCT17	2745.00	3286.50	USD	13,537.50	
19JUL17	B 1 PD19OCT17	2742.50	3286.50	USD	13,600.00	
19JUL17	B 1 PD19OCT17	2737.00	3286.50	USD	13,737.50	
19JUL17	S 1 PD19OCT17	2737.00	3286.50	USD	-13,737.50	
19JUL17	S 1 PD19OCT17	2738.00	3286.50	USD	-13,712.50	
26JUL17	S 1 PD19OCT17	2807.00	3286.50	USD	-11,987.50	
	NET 0 AVG BGHT 2741.50 SOLD 2760.67				1,437.50	0.00
20JUL17	B 1 PD20OCT17	2731.00	3282.00	USD	13,775.00	
20JUL17	B 1 PD20OCT17	2741.00	3282.00	USD	13,525.00	
20JUL17	B 1 PD20OCT17	2718.50	3282.00	USD	14,087.50	
21JUL17	S 2 PD20OCT17	2747.50	3282.00	USD	-26,725.00	
21JUL17	S 1 PD20OCT17	2749.00	3282.00	USD	-13,325.00	
21JUL17	S 1 PD20OCT17	2750.00	3282.00	USD	-13,300.00	
21JUL17	S 1 PD20OCT17	2772.00	3282.00	USD	-12,750.00	
21JUL17	S 2 PD20OCT17	2772.50	3282.00	USD	-25,475.00	
21JUL17	S 1 PD20OCT17	2773.00	3282.00	USD	-12,725.00	
21JUL17	S 1 PD20OCT17	2770.50	3282.00	USD	-12,787.50	
21JUL17	B 2 PD20OCT17	2753.50	3282.00	USD	26,425.00	
21JUL17	B 1 PD20OCT17	2742.50	3282.00	USD	13,487.50	
21JUL17	B 1 PD20OCT17	2743.00	3282.00	USD	13,475.00	
21JUL17	B 1 PD20OCT17	2757.50	3282.00	USD	13,112.50	
26JUL17	B 1 PD20OCT17	2807.34	3282.00	USD	11,866.50	
	NET 0 AVG BGHT 2749.76 SOLD 2761.61				2,666.50	0.00
24JUL17	S 1 PD24OCT17	2777.00	3276.00	USD	-12,475.00	
24JUL17	S 1 PD24OCT17	2779.50	3276.00	USD	-12,412.50	
24JUL17	S 1 PD24OCT17	2776.00	3276.00	USD	-12,500.00	

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24JUL17	B	1	PD240CT17	2775.50	3276.00	USD	12,512.50	
24JUL17	B	1	PD240CT17	2774.00	3276.00	USD	12,550.00	
24JUL17	B	1	PD240CT17	2771.50	3276.00	USD	12,612.50	
	NET	0 AVG BGHT 2773.67	SOLD 2777.50				287.50	0.00
25JUL17	B	1	PD250CT17	2823.50	3273.00	USD	11,237.50	
25JUL17	S	1	PD250CT17	2820.50	3273.00	USD	-11,312.50	
25JUL17	S	1	PD250CT17	2821.50	3273.00	USD	-11,287.50	
25JUL17	S	2	PD250CT17	2822.50	3273.00	USD	-22,525.00	
25JUL17	S	2	PD250CT17	2823.50	3273.00	USD	-22,475.00	
25JUL17	S	1	PD250CT17	2837.00	3273.00	USD	-10,900.00	
25JUL17	S	1	PD250CT17	2832.00	3273.00	USD	-11,025.00	
25JUL17	B	2	PD250CT17	2838.00	3273.00	USD	21,750.00	
25JUL17	B	1	PD250CT17	2836.50	3273.00	USD	10,912.50	
25JUL17	B	1	PD250CT17	2840.00	3273.00	USD	10,825.00	
25JUL17	B	1	PD250CT17	2839.00	3273.00	USD	10,850.00	
27JUL17	B	2	PD250CT17	2800.63	3273.00	USD	23,618.50	
	NET	0 AVG BGHT 2827.03	SOLD 2825.38				-331.50	0.00
26JUL17	B	1	PD260CT17	2845.00	3271.87	USD	10,671.75	
26JUL17	B	1	PD260CT17	2845.50	3271.87	USD	10,659.25	
26JUL17	B	1	PD260CT17	2848.00	3271.87	USD	10,596.75	
26JUL17	B	1	PD260CT17	2848.50	3271.87	USD	10,584.25	
26JUL17	S	2	PD260CT17	2824.50	3271.87	USD	-22,368.50	
27JUL17	S	2	PD260CT17	2800.55	3271.87	USD	-23,566.00	
	NET	0 AVG BGHT 2846.75	SOLD 2812.53				-3,422.50	0.00
27JUL17	S	1	PD270CT17	2827.50	3270.73	USD	-11,080.75	
27JUL17	S	1	PD270CT17	2827.00	3270.73	USD	-11,093.25	
27JUL17	B	1	PD270CT17	2822.00	3270.73	USD	11,218.25	
27JUL17	B	1	PD270CT17	2814.50	3270.73	USD	11,405.75	
28JUL17	B	1	PD270CT17	2773.50	3270.73	USD	12,430.75	
28JUL17	B	1	PD270CT17	2769.50	3270.73	USD	12,530.75	
28JUL17	B	1	PD270CT17	2770.00	3270.73	USD	12,518.25	
28JUL17	B	1	PD270CT17	2768.00	3270.73	USD	12,568.25	
28JUL17	S	1	PD270CT17	2786.00	3270.73	USD	-12,118.25	
28JUL17	S	1	PD270CT17	2787.50	3270.73	USD	-12,080.75	
28JUL17	S	1	PD270CT17	2788.50	3270.73	USD	-12,055.75	
28JUL17	S	1	PD270CT17	2794.50	3270.73	USD	-11,905.75	
28JUL17	B	1	PD270CT17	2775.50	3270.73	USD	12,380.75	
28JUL17	B	2	PD270CT17	2779.50	3270.73	USD	24,561.50	
01AUG17	S	3	PD270CT17	2778.59	3270.73	USD	-36,910.50	
	NET	0 AVG BGHT 2783.56	SOLD 2794.09				2,369.25	0.00
31JUL17	B	1	PD310CT17	2802.50	3268.47	USD	11,649.25	
31JUL17	S	1	PD310CT17	2803.50	3268.47	USD	-11,624.25	
31JUL17	S	1	PD310CT17	2813.00	3268.47	USD	-11,386.75	
31JUL17	B	1	PD310CT17	2803.00	3268.47	USD	11,636.75	
31JUL17	S	1	PD310CT17	2814.00	3268.47	USD	-11,361.75	
31JUL17	S	1	PD310CT17	2817.50	3268.47	USD	-11,274.25	
31JUL17	S	1	PD310CT17	2819.00	3268.47	USD	-11,236.75	
31JUL17	S	2	PD310CT17	2823.00	3268.47	USD	-22,273.50	
31JUL17	S	1	PD310CT17	2826.00	3268.47	USD	-11,061.75	
31JUL17	B	2	PD310CT17	2807.50	3268.47	USD	23,048.50	
31JUL17	B	1	PD310CT17	2809.50	3268.47	USD	11,474.25	
31JUL17	B	3	PD310CT17	2806.50	3268.47	USD	34,647.75	
31JUL17	B	1	PD310CT17	2798.00	3268.47	USD	11,761.75	
31JUL17	B	1	PD310CT17	2799.00	3268.47	USD	11,736.75	
31JUL17	B	1	PD310CT17	2803.50	3268.47	USD	11,624.25	
31JUL17	S	1	PD310CT17	2802.50	3268.47	USD	-11,649.25	

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London W1J 7NJ UK
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backoffice@sapiencap.com

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
31JUL17	S	1	PD31OCT17	2807.50	3268.47	USD	-11,524.25	
31JUL17	S	1	PD31OCT17	2805.00	3268.47	USD	-11,586.75	
31JUL17	S	7	PD31OCT17	2794.80	3268.47	USD	-82,892.25	
01AUG17	B	7	PD31OCT17	2779.92	3268.47	USD	85,496.25	
	NET	0 AVG BGHT 2794.97	SOLD 2806.53				5,204.00	0.00
29SEP17	S	5	PD02NOV17	3170.05	3266.20	USD	-12,018.75	
	NET	-5 AVG SOLD 3170.05					-12,018.75	0.00
04AUG17	S	1	PD03NOV17	2806.00	3265.07	USD	-11,476.75	
04AUG17	S	1	PD03NOV17	2806.50	3265.07	USD	-11,464.25	
04AUG17	S	1	PD03NOV17	2807.50	3265.07	USD	-11,439.25	
04AUG17	S	1	PD03NOV17	2815.50	3265.07	USD	-11,239.25	
04AUG17	S	1	PD03NOV17	2817.50	3265.07	USD	-11,189.25	
04AUG17	B	1	PD03NOV17	2813.00	3265.07	USD	11,301.75	
04AUG17	B	3	PD03NOV17	2813.50	3265.07	USD	33,867.75	
04AUG17	S	1	PD03NOV17	2813.50	3265.07	USD	-11,289.25	
04AUG17	S	1	PD03NOV17	2814.00	3265.07	USD	-11,276.75	
09AUG17	B	3	PD03NOV17	2930.33	3265.07	USD	25,105.50	
	NET	0 AVG BGHT 2863.50	SOLD 2811.50				-9,099.75	0.00
07AUG17	S	1	PD07NOV17	2819.50	3262.80	USD	-11,082.50	
07AUG17	S	1	PD07NOV17	2820.50	3262.80	USD	-11,057.50	
07AUG17	S	1	PD07NOV17	2831.50	3262.80	USD	-10,782.50	
07AUG17	B	1	PD07NOV17	2872.50	3262.80	USD	9,757.50	
07AUG17	B	1	PD07NOV17	2874.50	3262.80	USD	9,707.50	
07AUG17	B	1	PD07NOV17	2871.00	3262.80	USD	9,795.00	
07AUG17	B	1	PD07NOV17	2872.00	3262.80	USD	9,770.00	
07AUG17	B	1	PD07NOV17	2873.00	3262.80	USD	9,745.00	
07AUG17	B	1	PD07NOV17	2881.50	3262.80	USD	9,532.50	
09AUG17	S	3	PD07NOV17	2930.91	3262.80	USD	-24,891.75	
	NET	0 AVG BGHT 2874.08	SOLD 2877.37				493.25	0.00
09AUG17	S	1	PD09NOV17	2974.50	3260.53	USD	-7,150.75	
09AUG17	S	2	PD09NOV17	2975.00	3260.53	USD	-14,276.50	
09AUG17	S	1	PD09NOV17	2974.00	3260.53	USD	-7,163.25	
09AUG17	S	1	PD09NOV17	2961.50	3260.53	USD	-7,475.75	
09AUG17	S	1	PD09NOV17	2961.00	3260.53	USD	-7,488.25	
09AUG17	B	5	PD09NOV17	2931.70	3260.53	USD	41,103.75	
17AUG17	B	1	PD09NOV17	3061.63	3260.53	USD	4,972.50	
	NET	0 AVG BGHT 2953.36	SOLD 2970.17				2,521.75	0.00
16AUG17	S	1	PD16NOV17	2979.00	3253.50	USD	-6,862.50	
16AUG17	S	2	PD16NOV17	2979.50	3253.50	USD	-13,700.00	
16AUG17	B	1	PD16NOV17	3087.50	3253.50	USD	4,150.00	
16AUG17	B	1	PD16NOV17	3091.50	3253.50	USD	4,050.00	
16AUG17	S	1	PD16NOV17	3089.00	3253.50	USD	-4,112.50	
16AUG17	S	1	PD16NOV17	3090.50	3253.50	USD	-4,075.00	
16AUG17	B	1	PD16NOV17	3094.00	3253.50	USD	3,987.50	
16AUG17	S	1	PD16NOV17	3094.00	3253.50	USD	-3,987.50	
16AUG17	B	1	PD16NOV17	3114.00	3253.50	USD	3,487.50	
16AUG17	B	1	PD16NOV17	3115.00	3253.50	USD	3,462.50	
16AUG17	B	1	PD16NOV17	3117.00	3253.50	USD	3,412.50	
16AUG17	B	1	PD16NOV17	3118.50	3253.50	USD	3,375.00	
17AUG17	S	1	PD16NOV17	3062.05	3253.50	USD	-4,786.25	
	NET	0 AVG BGHT 3105.36	SOLD 3039.08				-11,598.75	0.00
22AUG17	S	1	PD22NOV17	3117.50	3248.38	USD	-3,272.00	
22AUG17	S	1	PD22NOV17	3119.50	3248.38	USD	-3,222.00	
22AUG17	B	1	PD22NOV17	3113.50	3248.38	USD	3,372.00	
22AUG17	B	1	PD22NOV17	3118.50	3248.38	USD	3,247.00	

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22AUG17	B	1	PD22NOV17		3123.00	3248.38	USD	3,134.50	
22AUG17	B	1	PD22NOV17		3111.50	3248.38	USD	3,422.00	
22AUG17	B	1	PD22NOV17		3110.50	3248.38	USD	3,447.00	
22AUG17	B	1	PD22NOV17		3101.50	3248.38	USD	3,672.00	
30AUG17	S	2	PD22NOV17		3093.43	3248.38	USD	-7,747.50	
30AUG17	S	2	PD22NOV17		3093.23	3248.38	USD	-7,757.50	
	NET	0 AVG	BGHT 3113.08	SOLD 3101.72				-1,704.50	0.00
23AUG17	S	1	PD24NOV17		3116.50	3247.50	USD	-3,275.00	
23AUG17	S	1	PD24NOV17		3117.50	3247.50	USD	-3,250.00	
23AUG17	S	2	PD24NOV17		3120.50	3247.50	USD	-6,350.00	
23AUG17	S	1	PD24NOV17		3143.00	3247.50	USD	-2,612.50	
23AUG17	S	3	PD24NOV17		3143.50	3247.50	USD	-7,800.00	
23AUG17	B	1	PD24NOV17		3099.50	3247.50	USD	3,700.00	
23AUG17	B	1	PD24NOV17		3109.50	3247.50	USD	3,450.00	
23AUG17	B	1	PD24NOV17		3105.50	3247.50	USD	3,550.00	
23AUG17	B	1	PD24NOV17		3107.00	3247.50	USD	3,512.50	
25AUG17	B	1	PD24NOV17		3065.50	3247.50	USD	4,550.00	
25AUG17	B	1	PD24NOV17		3066.50	3247.50	USD	4,525.00	
25AUG17	B	1	PD24NOV17		3062.50	3247.50	USD	4,625.00	
25AUG17	B	2	PD24NOV17		3063.50	3247.50	USD	9,200.00	
25AUG17	S	1	PD24NOV17		3067.50	3247.50	USD	-4,500.00	
25AUG17	S	1	PD24NOV17		3065.00	3247.50	USD	-4,562.50	
30AUG17	B	1	PD24NOV17		3094.20	3247.50	USD	3,832.50	
	NET	0 AVG	BGHT 3083.72	SOLD 3118.10				8,595.00	0.00
29AUG17	B	5	PD29NOV17		3087.00	3246.75	USD	19,968.75	
29AUG17	S	1	PD29NOV17		3120.50	3246.75	USD	-3,156.25	
29AUG17	S	3	PD29NOV17		3122.50	3246.75	USD	-9,318.75	
29AUG17	S	2	PD29NOV17		3117.50	3246.75	USD	-6,462.50	
30AUG17	B	1	PD29NOV17		3095.03	3246.75	USD	3,793.00	
	NET	0 AVG	BGHT 3088.34	SOLD 3120.50				4,824.25	0.00
30AUG17	S	1	PD30NOV17		3137.50	3246.50	USD	-2,725.00	
30AUG17	S	1	PD30NOV17		3147.00	3246.50	USD	-2,487.50	
30AUG17	S	1	PD30NOV17		3150.50	3246.50	USD	-2,400.00	
30AUG17	S	1	PD30NOV17		3138.00	3246.50	USD	-2,712.50	
30AUG17	S	1	PD30NOV17		3138.50	3246.50	USD	-2,700.00	
30AUG17	B	1	PD30NOV17		3120.50	3246.50	USD	3,150.00	
30AUG17	B	1	PD30NOV17		3122.50	3246.50	USD	3,100.00	
30AUG17	B	1	PD30NOV17		3121.00	3246.50	USD	3,137.50	
30AUG17	B	1	PD30NOV17		3102.50	3246.50	USD	3,600.00	
30AUG17	B	1	PD30NOV17		3110.00	3246.50	USD	3,412.50	
30AUG17	B	1	PD30NOV17		3108.00	3246.50	USD	3,462.50	
30AUG17	B	1	PD30NOV17		3110.50	3246.50	USD	3,400.00	
31AUG17	S	1	PD30NOV17		3122.50	3246.50	USD	-3,100.00	
31AUG17	S	1	PD30NOV17		3123.00	3246.50	USD	-3,087.50	
31AUG17	S	1	PD30NOV17		3126.50	3246.50	USD	-3,000.00	
31AUG17	B	4	PD30NOV17		3127.00	3246.50	USD	11,950.00	
31AUG17	B	1	PD30NOV17		3128.00	3246.50	USD	2,962.50	
31AUG17	B	1	PD30NOV17		3125.50	3246.50	USD	3,025.00	
31AUG17	B	1	PD30NOV17		3126.50	3246.50	USD	3,000.00	
31AUG17	S	1	PD30NOV17		3125.50	3246.50	USD	-3,025.00	
31AUG17	B	3	PD30NOV17		3127.50	3246.50	USD	8,925.00	
31AUG17	S	1	PD30NOV17		3140.00	3246.50	USD	-2,662.50	
31AUG17	B	1	PD30NOV17		3155.50	3246.50	USD	2,275.00	
31AUG17	B	1	PD30NOV17		3156.50	3246.50	USD	2,250.00	
31AUG17	S	11	PD30NOV17		3145.80	3246.50	USD	-27,692.50	
14SEP17	B	2	PD30NOV17		3004.00	3246.50	USD	12,125.00	

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
	NET	0 AVG BGHT 3113.60	SOLD 3140.61				14,182.50	0.00
01SEP17	S	1 PD01DEC17		3169.00	3246.25	USD	-1,931.25	
01SEP17	S	1 PD01DEC17		3169.50	3246.25	USD	-1,918.75	
01SEP17	B	1 PD01DEC17		3138.00	3246.25	USD	2,706.25	
01SEP17	B	1 PD01DEC17		3144.00	3246.25	USD	2,556.25	
01SEP17	S	1 PD01DEC17		3163.50	3246.25	USD	-2,068.75	
01SEP17	B	1 PD01DEC17		3174.50	3246.25	USD	1,793.75	
01SEP17	S	1 PD01DEC17		3179.50	3246.25	USD	-1,668.75	
01SEP17	S	1 PD01DEC17		3185.00	3246.25	USD	-1,531.25	
14SEP17	B	2 PD01DEC17		3004.14	3246.25	USD	12,105.50	
	NET	0 AVG BGHT 3092.96	SOLD 3173.30				10,043.00	0.00
04SEP17	S	1 PD04DEC17		3194.00	3246.00	USD	-1,300.00	
04SEP17	S	1 PD04DEC17		3185.00	3246.00	USD	-1,525.00	
04SEP17	S	1 PD04DEC17		3181.00	3246.00	USD	-1,625.00	
04SEP17	B	1 PD04DEC17		3203.50	3246.00	USD	1,062.50	
04SEP17	B	1 PD04DEC17		3200.50	3246.00	USD	1,137.50	
04SEP17	B	1 PD04DEC17		3204.00	3246.00	USD	1,050.00	
	NET	0 AVG BGHT 3202.67	SOLD 3186.67				-1,200.00	0.00
05SEP17	S	1 PD05DEC17		3198.50	3245.75	USD	-1,181.25	
05SEP17	S	1 PD05DEC17		3199.00	3245.75	USD	-1,168.75	
05SEP17	B	1 PD05DEC17		3111.50	3245.75	USD	3,356.25	
05SEP17	B	1 PD05DEC17		3116.00	3245.75	USD	3,243.75	
	NET	0 AVG BGHT 3113.75	SOLD 3198.75				4,250.00	0.00
06SEP17	B	1 PD06DEC17		3078.50	3245.50	USD	4,175.00	
14SEP17	S	1 PD06DEC17		3004.86	3245.50	USD	-6,016.00	
	NET	0 AVG BGHT 3078.50	SOLD 3004.86				-1,841.00	0.00
07SEP17	B	2 PD07DEC17		3085.00	3245.25	USD	8,012.50	
07SEP17	B	1 PD07DEC17		3127.50	3245.25	USD	2,943.75	
07SEP17	B	1 PD07DEC17		3134.00	3245.25	USD	2,781.25	
07SEP17	B	1 PD07DEC17		3135.00	3245.25	USD	2,756.25	
07SEP17	S	1 PD07DEC17		3138.00	3245.25	USD	-2,681.25	
14SEP17	S	4 PD07DEC17		3004.80	3245.25	USD	-24,045.00	
	NET	0 AVG BGHT 3113.30	SOLD 3031.44				-10,232.50	0.00
11SEP17	S	1 PD11DEC17		3058.50	3244.75	USD	-4,656.25	
11SEP17	S	1 PD11DEC17		3100.00	3244.75	USD	-3,618.75	
11SEP17	S	1 PD11DEC17		3101.00	3244.75	USD	-3,593.75	
11SEP17	S	1 PD11DEC17		3102.00	3244.75	USD	-3,568.75	
11SEP17	B	1 PD11DEC17		3059.00	3244.75	USD	4,643.75	
11SEP17	B	1 PD11DEC17		3060.00	3244.75	USD	4,618.75	
11SEP17	B	1 PD11DEC17		3061.50	3244.75	USD	4,581.25	
14SEP17	B	1 PD11DEC17		3005.77	3244.75	USD	5,974.50	
	NET	0 AVG BGHT 3046.57	SOLD 3090.38				4,380.75	0.00
12SEP17	S	1 PD12DEC17		3083.00	3244.50	USD	-4,037.50	
12SEP17	S	2 PD12DEC17		3084.00	3244.50	USD	-8,025.00	
12SEP17	B	1 PD12DEC17		3055.50	3244.50	USD	4,725.00	
12SEP17	B	1 PD12DEC17		3076.00	3244.50	USD	4,212.50	
12SEP17	B	1 PD12DEC17		3078.50	3244.50	USD	4,150.00	
	NET	0 AVG BGHT 3070.00	SOLD 3083.67				1,025.00	0.00
13SEP17	S	1 PD13DEC17		3049.00	3244.25	USD	-4,881.25	
13SEP17	S	1 PD13DEC17		3053.00	3244.25	USD	-4,781.25	
13SEP17	B	1 PD13DEC17		3028.00	3244.25	USD	5,406.25	
13SEP17	B	1 PD13DEC17		3028.50	3244.25	USD	5,393.75	
	NET	0 AVG BGHT 3028.25	SOLD 3051.00				1,137.50	0.00
15SEP17	S	2 PD15DEC17		3011.50	3243.75	USD	-11,612.50	
15SEP17	S	1 PD15DEC17		3012.50	3243.75	USD	-5,781.25	

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15SEP17	B	1	PD15DEC17		3031.50	3243.75	USD	5,306.25	
15SEP17	B	2	PD15DEC17		3035.00	3243.75	USD	10,437.50	
	NET	0AVG	BGHT 3033.83	SOLD 3011.83				-1,650.00	0.00
18SEP17	S	1	PD18DEC17		3066.00	3243.50	USD	-4,437.50	
18SEP17	S	1	PD18DEC17		3065.50	3243.50	USD	-4,450.00	
18SEP17	S	1	PD18DEC17		3085.50	3243.50	USD	-3,950.00	
18SEP17	S	2	PD18DEC17		3068.00	3243.50	USD	-8,775.00	
18SEP17	B	1	PD18DEC17		3081.50	3243.50	USD	4,050.00	
18SEP17	S	1	PD18DEC17		3081.50	3243.50	USD	-4,050.00	
18SEP17	S	1	PD18DEC17		3084.50	3243.50	USD	-3,975.00	
18SEP17	S	2	PD18DEC17		3086.50	3243.50	USD	-7,850.00	
18SEP17	S	2	PD18DEC17		3094.50	3243.50	USD	-7,450.00	
18SEP17	B	1	PD18DEC17		3105.50	3243.50	USD	3,450.00	
18SEP17	B	1	PD18DEC17		3111.00	3243.50	USD	3,312.50	
18SEP17	B	1	PD18DEC17		3111.50	3243.50	USD	3,300.00	
18SEP17	S	2	PD18DEC17		3093.50	3243.50	USD	-7,500.00	
18SEP17	B	1	PD18DEC17		3105.00	3243.50	USD	3,462.50	
20SEP17	B	8	PD18DEC17		3131.47	3243.50	USD	22,406.00	
	NET	0AVG	BGHT 3120.48	SOLD 3082.15				-12,456.50	0.00
19SEP17	B	1	PD19DEC17		3090.00	3243.25	USD	3,831.25	
19SEP17	B	1	PD19DEC17		3082.00	3243.25	USD	4,031.25	
19SEP17	B	1	PD19DEC17		3082.50	3243.25	USD	4,018.75	
19SEP17	B	2	PD19DEC17		3078.50	3243.25	USD	8,237.50	
19SEP17	B	1	PD19DEC17		3065.50	3243.25	USD	4,443.75	
19SEP17	B	1	PD19DEC17		3076.50	3243.25	USD	4,168.75	
19SEP17	B	1	PD19DEC17		3078.00	3243.25	USD	4,131.25	
19SEP17	S	1	PD19DEC17		3076.00	3243.25	USD	-4,181.25	
19SEP17	B	1	PD19DEC17		3066.50	3243.25	USD	4,418.75	
20SEP17	S	8	PD19DEC17		3131.53	3243.25	USD	-22,344.00	
	NET	0AVG	BGHT 3077.56	SOLD 3125.36				10,756.00	0.00
20SEP17	B	1	PD20DEC17		3137.00	3243.00	USD	2,650.00	
26SEP17	S	1	PD20DEC17		3119.00	3243.00	USD	-3,100.00	
	NET	0AVG	BGHT 3137.00	SOLD 3119.00				-450.00	0.00
21SEP17	S	1	PD21DEC17		3119.00	3240.00	USD	-3,025.00	
26SEP17	B	1	PD21DEC17		3118.20	3240.00	USD	3,045.00	
	NET	0AVG	BGHT 3118.20	SOLD 3119.00				20.00	0.00
25SEP17	B	1	PD27DEC17		3074.50	3239.23	USD	4,118.25	
25SEP17	S	2	PD27DEC17		3075.00	3239.23	USD	-8,211.50	
25SEP17	S	3	PD27DEC17		3074.00	3239.23	USD	-12,392.25	
25SEP17	S	1	PD27DEC17		3087.50	3239.23	USD	-3,793.25	
25SEP17	S	1	PD27DEC17		3084.50	3239.23	USD	-3,868.25	
25SEP17	S	1	PD27DEC17		3085.50	3239.23	USD	-3,843.25	
25SEP17	B	1	PD27DEC17		3097.50	3239.23	USD	3,543.25	
25SEP17	B	1	PD27DEC17		3102.00	3239.23	USD	3,430.75	
25SEP17	B	1	PD27DEC17		3100.00	3239.23	USD	3,480.75	
25SEP17	B	1	PD27DEC17		3105.50	3239.23	USD	3,343.25	
25SEP17	B	1	PD27DEC17		3109.00	3239.23	USD	3,255.75	
25SEP17	B	1	PD27DEC17		3109.50	3239.23	USD	3,243.25	
25SEP17	B	1	PD27DEC17		3115.00	3239.23	USD	3,105.75	
26SEP17	B	1	PD27DEC17		3136.00	3239.23	USD	2,580.75	
26SEP17	S	1	PD27DEC17		3136.00	3239.23	USD	-2,580.75	
26SEP17	B	1	PD27DEC17		3109.50	3239.23	USD	3,243.25	
26SEP17	B	1	PD27DEC17		3112.00	3239.23	USD	3,180.75	
26SEP17	S	1	PD27DEC17		3111.00	3239.23	USD	-3,205.75	
26SEP17	S	1	PD27DEC17		3115.00	3239.23	USD	-3,105.75	
26SEP17	B	1	PD27DEC17		3106.50	3239.23	USD	3,318.25	

OPEN POSITION STATEMENT



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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>								
26SEP17	B	1	PD27DEC17		3107.50	3239.23	USD	3,293.25	
26SEP17	B	2	PD27DEC17		3115.00	3239.23	USD	6,211.50	
26SEP17	S	4	PD27DEC17		3110.10	3239.23	USD	-12,913.00	
27SEP17	B	1	PD27DEC17		3096.50	3239.23	USD	3,568.25	
27SEP17	B	1	PD27DEC17		3100.50	3239.23	USD	3,468.25	
27SEP17	S	1	PD27DEC17		3115.50	3239.23	USD	-3,093.25	
29SEP17	S	1	PD27DEC17		3162.18	3239.23	USD	-1,926.25	
	NET	0AVG BGHT 3106.56	SOLD 3100.56					-2,548.00	0.00
28SEP17	S	1	PD28DEC17		3110.50	3238.85	USD	-3,208.75	
28SEP17	S	1	PD28DEC17		3144.50	3238.85	USD	-2,358.75	
28SEP17	S	1	PD28DEC17		3146.50	3238.85	USD	-2,308.75	
28SEP17	B	1	PD28DEC17		3143.50	3238.85	USD	2,383.75	
28SEP17	S	1	PD28DEC17		3141.00	3238.85	USD	-2,446.25	
28SEP17	S	2	PD28DEC17		3143.00	3238.85	USD	-4,792.50	
28SEP17	S	1	PD28DEC17		3144.00	3238.85	USD	-2,371.25	
28SEP17	B	1	PD28DEC17		3135.50	3238.85	USD	2,583.75	
28SEP17	S	1	PD28DEC17		3136.00	3238.85	USD	-2,571.25	
28SEP17	S	1	PD28DEC17		3151.00	3238.85	USD	-2,196.25	
28SEP17	S	2	PD28DEC17		3153.50	3238.85	USD	-4,267.50	
28SEP17	B	1	PD28DEC17		3159.50	3238.85	USD	1,983.75	
28SEP17	B	1	PD28DEC17		3160.50	3238.85	USD	1,958.75	
10OCT17	B	7	PD28DEC17		3283.36	3238.85	USD	-7,789.25	
	NET	0AVG BGHT 3234.77	SOLD 3142.41					-25,400.50	0.00
29SEP17	B	1	PD29DEC17		3149.50	3238.46	USD	2,224.00	
29SEP17	B	1	PD29DEC17		3146.50	3238.46	USD	2,299.00	
29SEP17	B	6	PD29DEC17		3150.00	3238.46	USD	13,269.00	
29SEP17	S	1	PD29DEC17		3150.50	3238.46	USD	-2,199.00	
29SEP17	S	1	PD29DEC17		3151.00	3238.46	USD	-2,186.50	
29SEP17	B	1	PD29DEC17		3147.50	3238.46	USD	2,274.00	
29SEP17	S	2	PD29DEC17		3147.00	3238.46	USD	-4,573.00	
29SEP17	S	2	PD29DEC17		3161.80	3238.46	USD	-3,833.00	
10OCT17	S	3	PD29DEC17		3282.62	3238.46	USD	3,312.00	
	NET	0AVG BGHT 3149.28	SOLD 3196.33					10,586.50	0.00
03OCT17	S	3	PD03JAN18		3230.00	3237.69	USD	-576.75	
03OCT17	S	1	PD03JAN18		3230.50	3237.69	USD	-179.75	
03OCT17	B	1	PD03JAN18		3236.50	3237.69	USD	29.75	
03OCT17	S	1	PD03JAN18		3234.50	3237.69	USD	-79.75	
03OCT17	S	1	PD03JAN18		3236.50	3237.69	USD	-29.75	
03OCT17	B	1	PD03JAN18		3267.50	3237.69	USD	-745.25	
03OCT17	B	1	PD03JAN18		3268.50	3237.69	USD	-770.25	
03OCT17	B	1	PD03JAN18		3262.00	3237.69	USD	-607.75	
03OCT17	B	2	PD03JAN18		3262.50	3237.69	USD	-1,240.50	
03OCT17	B	4	PD03JAN18		3264.00	3237.69	USD	-2,631.00	
10OCT17	S	4	PD03JAN18		3281.53	3237.69	USD	4,384.00	
	NET	0AVG BGHT 3261.55	SOLD 3251.76					-2,447.00	0.00
04OCT17	S	1	PD04JAN18		3279.50	3237.31	USD	1,054.75	
04OCT17	B	1	PD04JAN18		3277.50	3237.31	USD	-1,004.75	
04OCT17	B	1	PD04JAN18		3297.50	3237.31	USD	-1,504.75	
04OCT17	S	1	PD04JAN18		3294.00	3237.31	USD	1,417.25	
04OCT17	S	1	PD04JAN18		3295.50	3237.31	USD	1,454.75	
04OCT17	S	1	PD04JAN18		3296.50	3237.31	USD	1,479.75	
04OCT17	S	1	PD04JAN18		3298.50	3237.31	USD	1,529.75	
04OCT17	B	1	PD04JAN18		3285.50	3237.31	USD	-1,204.75	
04OCT17	B	2	PD04JAN18		3285.00	3237.31	USD	-2,384.50	
04OCT17	B	1	PD04JAN18		3280.00	3237.31	USD	-1,067.25	
10OCT17	S	1	PD04JAN18		3281.18	3237.31	USD	1,096.75	

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
	NET 0AVG BGHT 3285.08 SOLD 3290.86				867.00	0.00
05OCT17	B 1 PD05JAN18	3283.50	3236.92	USD	-1,164.50	
05OCT17	S 1 PD05JAN18	3276.50	3236.92	USD	989.50	
05OCT17	S 1 PD05JAN18	3277.00	3236.92	USD	1,002.00	
05OCT17	S 1 PD05JAN18	3280.00	3236.92	USD	1,077.00	
05OCT17	S 2 PD05JAN18	3281.50	3236.92	USD	2,229.00	
05OCT17	S 1 PD05JAN18	3283.00	3236.92	USD	1,152.00	
05OCT17	B 2 PD05JAN18	3293.50	3236.92	USD	-2,829.00	
05OCT17	S 1 PD05JAN18	3303.00	3236.92	USD	1,652.00	
05OCT17	S 1 PD05JAN18	3307.50	3236.92	USD	1,764.50	
05OCT17	S 1 PD05JAN18	3308.00	3236.92	USD	1,777.00	
05OCT17	B 1 PD05JAN18	3287.00	3236.92	USD	-1,252.00	
05OCT17	B 1 PD05JAN18	3289.50	3236.92	USD	-1,314.50	
05OCT17	B 1 PD05JAN18	3288.50	3236.92	USD	-1,289.50	
05OCT17	B 1 PD05JAN18	3291.00	3236.92	USD	-1,352.00	
05OCT17	S 2 PD05JAN18	3300.00	3236.92	USD	3,154.00	
05OCT17	B 1 PD05JAN18	3296.00	3236.92	USD	-1,477.00	
05OCT17	B 2 PD05JAN18	3298.50	3236.92	USD	-3,079.00	
05OCT17	B 1 PD05JAN18	3290.50	3236.92	USD	-1,339.50	
06OCT17	S 1 PD05JAN18	3290.00	3236.92	USD	1,327.00	
06OCT17	S 1 PD05JAN18	3291.00	3236.92	USD	1,352.00	
06OCT17	S 2 PD05JAN18	3291.50	3236.92	USD	2,729.00	
06OCT17	S 1 PD05JAN18	3292.00	3236.92	USD	1,377.00	
06OCT17	B 2 PD05JAN18	3252.00	3236.92	USD	-754.00	
06OCT17	B 1 PD05JAN18	3235.50	3236.92	USD	35.50	
06OCT17	S 1 PD05JAN18	3245.00	3236.92	USD	202.00	
06OCT17	B 2 PD05JAN18	3237.50	3236.92	USD	-29.00	
10OCT17	B 1 PD05JAN18	3280.84	3236.92	USD	-1,098.00	
	NET 0AVG BGHT 3276.78 SOLD 3288.18				4,841.50	0.00
09OCT17	B 2 PD09JAN18	3264.00	3236.15	USD	-1,392.50	
09OCT17	S 2 PD09JAN18	3273.00	3236.15	USD	1,842.50	
09OCT17	S 1 PD09JAN18	3264.00	3236.15	USD	696.25	
09OCT17	S 1 PD09JAN18	3265.00	3236.15	USD	721.25	
09OCT17	B 2 PD09JAN18	3232.50	3236.15	USD	182.50	
	NET 0AVG BGHT 3248.25 SOLD 3268.75				2,050.00	0.00
10OCT17	B 1 PD10JAN18	3280.00	3235.77	USD	-1,105.75	
10OCT17	B 1 PD10JAN18	3281.00	3235.77	USD	-1,130.75	
10OCT17	B 1 PD10JAN18	3282.00	3235.77	USD	-1,155.75	
10OCT17	B 1 PD10JAN18	3282.50	3235.77	USD	-1,168.25	
10OCT17	B 1 PD10JAN18	3283.50	3235.77	USD	-1,193.25	
10OCT17	B 1 PD10JAN18	3286.00	3235.77	USD	-1,255.75	
10OCT17	S 2 PD10JAN18	3235.00	3235.77	USD	-38.50	
10OCT17	S 1 PD10JAN18	3241.00	3235.77	USD	130.75	
10OCT17	S 1 PD10JAN18	3242.00	3235.77	USD	155.75	
10OCT17	S 1 PD10JAN18	3246.00	3235.77	USD	255.75	
10OCT17	S 1 PD10JAN18	3247.00	3235.77	USD	280.75	
10OCT17	S 1 PD10JAN18	3251.50	3235.77	USD	393.25	
10OCT17	S 1 PD10JAN18	3253.00	3235.77	USD	430.75	
10OCT17	S 1 PD10JAN18	3254.00	3235.77	USD	455.75	
	NET -3AVG BGHT 3282.50 SOLD 3244.94				-4,945.25	0.00
11OCT17	B 1 PD11JAN18	3252.00	3235.38	USD	-415.50	
11OCT17	B 2 PD11JAN18	3252.50	3235.38	USD	-856.00	
	NET +3AVG BGHT 3252.33				-1,271.50	0.00
12OCT17	S 2 PD12JAN18	3258.00	3235.00	USD	1,150.00	
12OCT17	S 1 PD12JAN18	3259.50	3235.00	USD	612.50	
12OCT17	S 1 PD12JAN18	3255.50	3235.00	USD	512.50	

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
12OCT17	S	1	PD12JAN18	3256.50	3235.00	USD	537.50	
12OCT17	S	1	PD12JAN18	3250.50	3235.00	USD	387.50	
12OCT17	S	1	PD12JAN18	3250.00	3235.00	USD	375.00	
12OCT17	S	1	PD12JAN18	3251.00	3235.00	USD	400.00	
12OCT17	B	1	PD12JAN18	3254.50	3235.00	USD	-487.50	
12OCT17	B	1	PD12JAN18	3255.50	3235.00	USD	-512.50	
12OCT17	B	1	PD12JAN18	3255.00	3235.00	USD	-500.00	
12OCT17	B	1	PD12JAN18	3253.50	3235.00	USD	-462.50	
12OCT17	B	1	PD12JAN18	3248.50	3235.00	USD	-337.50	
12OCT17	B	1	PD12JAN18	3249.50	3235.00	USD	-362.50	
12OCT17	B	1	PD12JAN18	3251.50	3235.00	USD	-412.50	
12OCT17	B	1	PD12JAN18	3252.50	3235.00	USD	-437.50	
13OCT17	B	1	PD12JAN18	3236.50	3235.00	USD	-37.50	
13OCT17	B	1	PD12JAN18	3237.00	3235.00	USD	-50.00	
13OCT17	B	1	PD12JAN18	3238.00	3235.00	USD	-75.00	
13OCT17	B	1	PD12JAN18	3231.00	3235.00	USD	100.00	
13OCT17	B	1	PD12JAN18	3231.50	3235.00	USD	87.50	
13OCT17	B	1	PD12JAN18	3216.50	3235.00	USD	462.50	
13OCT17	S	1	PD12JAN18	3241.00	3235.00	USD	150.00	
13OCT17	S	1	PD12JAN18	3243.00	3235.00	USD	200.00	
13OCT17	S	2	PD12JAN18	3250.50	3235.00	USD	775.00	
13OCT17	S	1	PD12JAN18	3246.50	3235.00	USD	287.50	
13OCT17	S	3	PD12JAN18	3247.00	3235.00	USD	900.00	
	NET	-2 AVG BGHT	3243.64	SOLD	3250.72		3,262.50	0.00

OPEN POSITION VALUE

			<u>USD</u>
LME Aluminium 25 Tonnes.	FUTURES	-6,175.00	
LME Lead 25 tonnes. This is an	FUTURES	534,182.25	
LME Nickel 6 tonnes. This is	FUTURES	-2,871.60	
LME Zinc 25 tonnes. This is	FUTURES	563,313.00	

MARKET REVALUATION BREAKDOWN

Unrealised Profits & Losses - Forwards			Amount	Profit / Loss	Total Amount	Total P&L
ALUL	- Futures	USD	-6,175.00	-6,175.00		
LEAD	- Futures	USD	-33,024.00	-33,024.00		
NKL	- Futures	USD	-2,871.60	-2,871.60		
ZINC	- Futures	USD	-6,741.25	-6,741.25	-48,811.85	-48,811.85

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ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		17,183.84	17,183.84
Variation Margins	- Futures	0.00	0.00
	- Options	0.00	0.00
TOTAL EQUITY		17,183.84	17,183.84
Initial Margins		-133,725.85	-133,725.85
Excess/(Shortage)		-116,542.01	-116,542.01
FOR INFORMATION ONLY			
Forward Unrealised P&L		-48,811.85	-48,811.85
Net Liquidating Value		-31,628.01	-31,628.01

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	<u>LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.</u>							
31JUL17	S	1	PD31OCT17	1916.50	2113.75	USD	-4,931.25	
31AUG17	B	1	PD31OCT17	2112.27	2113.75	USD	37.00	
	NET	0 AVG	BGHT 2112.27				-4,894.25	0.00
08AUG17	S	1	PD08NOV17	1994.00	2116.50	USD	-3,062.50	
31AUG17	B	1	PD08NOV17	2113.81	2116.50	USD	67.25	
	NET	0 AVG	BGHT 2113.81				-2,995.25	0.00
31AUG17	B	1	PD30NOV17	2117.20	2124.49	USD	182.25	
27SEP17	S	1	PD30NOV17	2123.50	2124.49	USD	-24.75	
	NET	0 AVG	BGHT 2117.20				157.50	0.00
05SEP17	B	1	PD05DEC17	2096.20	2126.07	USD	746.75	
27SEP17	S	1	PD05DEC17	2125.00	2126.07	USD	-26.75	
	NET	0 AVG	BGHT 2096.20				720.00	0.00
06SEP17	S	1	PD06DEC17	2092.50	2126.39	USD	-847.25	
06SEP17	B	1	PD06DEC17	2092.50	2126.39	USD	847.25	
06SEP17	B	1	PD06DEC17	2093.00	2126.39	USD	834.75	
06SEP17	S	1	PD06DEC17	2103.00	2126.39	USD	-584.75	
06SEP17	S	1	PD06DEC17	2108.00	2126.39	USD	-459.75	
06SEP17	S	1	PD06DEC17	2108.50	2126.39	USD	-447.25	
06SEP17	S	1	PD06DEC17	2110.50	2126.39	USD	-397.25	
06SEP17	S	1	PD06DEC17	2109.00	2126.39	USD	-434.75	
06SEP17	S	1	PD06DEC17	2111.00	2126.39	USD	-384.75	
06SEP17	S	2	PD06DEC17	2111.50	2126.39	USD	-744.50	
06SEP17	S	1	PD06DEC17	2113.00	2126.39	USD	-334.75	
06SEP17	S	5	PD06DEC17	2113.50	2126.39	USD	-1,611.25	
06SEP17	S	1	PD06DEC17	2112.50	2126.39	USD	-347.25	
27SEP17	B	2	PD06DEC17	2125.50	2126.39	USD	44.50	
27SEP17	B	12	PD06DEC17	2125.30	2126.39	USD	327.00	
	NET	0 AVG	BGHT 2121.26				-4,540.00	0.00
07SEP17	B	2	PD07DEC17	2092.00	2126.71	USD	1,735.50	
07SEP17	B	1	PD07DEC17	2093.50	2126.71	USD	830.25	
07SEP17	B	1	PD07DEC17	2094.00	2126.71	USD	817.75	
07SEP17	B	1	PD07DEC17	2097.00	2126.71	USD	742.75	
07SEP17	B	1	PD07DEC17	2098.00	2126.71	USD	717.75	
07SEP17	B	1	PD07DEC17	2100.00	2126.71	USD	667.75	
07SEP17	B	4	PD07DEC17	2092.50	2126.71	USD	3,421.00	
07SEP17	B	1	PD07DEC17	2093.00	2126.71	USD	842.75	
27SEP17	S	12	PD07DEC17	2125.40	2126.71	USD	-393.00	
	NET	0 AVG	BGHT 2094.13				9,382.50	0.00
	<u>ICE COTTON NO.2 500 FIN</u>							
07JUL17	S	2	MAR18	68.00000	68.16000	USD	-160.00	
07JUL17	S	10	MAR18	67.88000	68.16000	USD	-1,400.00	
07JUL17	S	7	MAR18	67.93000	68.16000	USD	-805.00	
07JUL17	S	4	MAR18	67.87000	68.16000	USD	-580.00	
07JUL17	S	7	MAR18	68.02000	68.16000	USD	-490.00	
10JUL17	S	3	MAR18	68.35000	68.16000	USD	285.00	
10JUL17	S	1	MAR18	68.38000	68.16000	USD	110.00	
12JUL17	S	1	MAR18	67.15000	68.16000	USD	-505.00	
12JUL17	S	1	MAR18	67.14000	68.16000	USD	-510.00	
12JUL17	S	3	MAR18	67.28000	68.16000	USD	-1,320.00	
12JUL17	S	31	MAR18	67.29000	68.16000	USD	-13,485.00	
	NET	-70 AVG	SOLD 67.62114				-18,860.00	0.00
07JUL17	B	1	MAY18	68.41000	68.97000	USD	280.00	
07JUL17	B	2	MAY18	68.39000	68.97000	USD	580.00	
07JUL17	B	10	MAY18	68.26000	68.97000	USD	3,550.00	
07JUL17	B	7	MAY18	68.32000	68.97000	USD	2,275.00	

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>ICE COTTON NO.2 500 FIN</u>							
07JUL17	B	4	MAY18	68.23000	68.97000	USD	1,480.00	
07JUL17	B	6	MAY18	68.38000	68.97000	USD	1,770.00	
10JUL17	B	3	MAY18	68.71000	68.97000	USD	390.00	
10JUL17	B	1	MAY18	68.74000	68.97000	USD	115.00	
12JUL17	B	1	MAY18	67.50000	68.97000	USD	735.00	
12JUL17	B	1	MAY18	67.51000	68.97000	USD	730.00	
12JUL17	B	3	MAY18	67.64000	68.97000	USD	1,995.00	
12JUL17	B	31	MAY18	67.65000	68.97000	USD	20,460.00	
	NET	+70 AVG BGHT 67.98829					34,360.00	0.00
	<u>LME LEAD 25 TONNES. THIS IS AN</u>							
	<u>LME REGISTERED CLIENT CONTRACT</u>							
25JUL17	S	30	PD25OCT17	2314.50	2519.80	USD	-153,975.00	
26JUL17	B	30	PD25OCT17	2313.09	2519.80	USD	155,032.50	
	NET	0 AVG BGHT 2313.09		SOLD 2314.50			1,057.50	0.00
27JUL17	S	1	PD27OCT17	2330.50	2520.10	USD	-4,740.00	
27JUL17	B	1	PD27OCT17	2331.00	2520.10	USD	4,727.50	
	NET	0 AVG BGHT 2331.00		SOLD 2330.50			-12.50	0.00
08AUG17	S	1	PD08NOV17	2366.00	2521.81	USD	-3,895.25	
08AUG17	S	1	PD08NOV17	2366.50	2521.81	USD	-3,882.75	
08AUG17	S	1	PD08NOV17	2369.00	2521.81	USD	-3,820.25	
18AUG17	B	3	PD08NOV17	2359.38	2521.81	USD	12,182.25	
	NET	0 AVG BGHT 2359.38		SOLD 2367.17			584.00	0.00
17AUG17	B	1	PD17NOV17	2468.50	2522.75	USD	1,356.25	
17AUG17	B	1	PD17NOV17	2465.00	2522.75	USD	1,443.75	
17AUG17	B	1	PD17NOV17	2466.00	2522.75	USD	1,418.75	
18AUG17	B	1	PD17NOV17	2411.00	2522.75	USD	2,793.75	
18AUG17	S	1	PD17NOV17	2410.50	2522.75	USD	-2,806.25	
18AUG17	B	2	PD17NOV17	2360.50	2522.75	USD	8,112.50	
18AUG17	S	1	PD17NOV17	2364.00	2522.75	USD	-3,968.75	
18AUG17	S	1	PD17NOV17	2362.50	2522.75	USD	-4,006.25	
18AUG17	S	3	PD17NOV17	2360.80	2522.75	USD	-12,146.25	
	NET	0 AVG BGHT 2421.92		SOLD 2369.90			-7,802.50	0.00
22AUG17	S	1	PD22NOV17	2415.50	2523.51	USD	-2,700.25	
22AUG17	B	1	PD22NOV17	2415.50	2523.51	USD	2,700.25	
	NET	0 AVG BGHT 2415.50		SOLD 2415.50			0.00	0.00
24AUG17	B	1	PD24NOV17	2393.00	2523.81	USD	3,270.25	
24AUG17	S	1	PD24NOV17	2392.00	2523.81	USD	-3,295.25	
24AUG17	B	1	PD24NOV17	2382.50	2523.81	USD	3,532.75	
24AUG17	B	1	PD24NOV17	2385.00	2523.81	USD	3,470.25	
24AUG17	S	1	PD24NOV17	2382.00	2523.81	USD	-3,545.25	
24AUG17	S	1	PD24NOV17	2385.50	2523.81	USD	-3,457.75	
24AUG17	B	1	PD24NOV17	2361.00	2523.81	USD	4,070.25	
24AUG17	S	10	PD24NOV17	2359.85	2523.81	USD	-40,990.00	
25AUG17	B	1	PD24NOV17	2363.00	2523.81	USD	4,020.25	
25AUG17	S	1	PD24NOV17	2361.00	2523.81	USD	-4,070.25	
29SEP17	B	3	PD24NOV17	2490.95	2523.81	USD	2,464.50	
10OCT17	B	6	PD24NOV17	2550.25	2523.81	USD	-3,966.00	
	NET	0 AVG BGHT 2475.63		SOLD 2365.64			-38,496.25	0.00
29AUG17	B	1	PD29NOV17	2344.50	2524.57	USD	4,501.75	
29AUG17	S	1	PD29NOV17	2343.50	2524.57	USD	-4,526.75	
	NET	0 AVG BGHT 2344.50		SOLD 2343.50			-25.00	0.00
31AUG17	S	1	PD30NOV17	2390.00	2524.72	USD	-3,368.00	
31AUG17	B	1	PD30NOV17	2378.00	2524.72	USD	3,668.00	
31AUG17	B	1	PD30NOV17	2389.50	2524.72	USD	3,380.50	
31AUG17	B	1	PD30NOV17	2390.00	2524.72	USD	3,368.00	
31AUG17	S	1	PD30NOV17	2393.80	2524.72	USD	-3,273.00	

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	<u>LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
05SEP17	S 1 PD30NOV17	2332.29	2524.72	USD	-4,810.75	
	NET 0 AVG BGHT 2385.83 SOLD 2372.03				-1,035.25	0.00
05SEP17	S 1 PD05DEC17	2333.80	2525.48	USD	-4,792.00	
10OCT17	B 1 PD05DEC17	2552.58	2525.48	USD	-677.50	
	NET 0 AVG BGHT 2552.58 SOLD 2333.80				-5,469.50	0.00
06SEP17	B 1 PD06DEC17	2343.00	2525.63	USD	4,565.75	
06SEP17	B 1 PD06DEC17	2346.50	2525.63	USD	4,478.25	
06SEP17	B 1 PD06DEC17	2345.50	2525.63	USD	4,503.25	
06SEP17	B 1 PD06DEC17	2353.00	2525.63	USD	4,315.75	
06SEP17	B 1 PD06DEC17	2352.50	2525.63	USD	4,328.25	
06SEP17	B 1 PD06DEC17	2354.00	2525.63	USD	4,290.75	
10OCT17	S 6 PD06DEC17	2552.59	2525.63	USD	4,044.00	
	NET 0 AVG BGHT 2349.08 SOLD 2552.59				30,526.00	0.00
07SEP17	B 2 PD07DEC17	2346.50	2525.78	USD	8,964.00	
07SEP17	B 1 PD07DEC17	2348.00	2525.78	USD	4,444.50	
28SEP17	S 2 PD07DEC17	2472.46	2525.78	USD	-2,666.00	
10OCT17	S 1 PD07DEC17	2552.80	2525.78	USD	675.50	
	NET 0 AVG BGHT 2347.00 SOLD 2499.24				11,418.00	0.00
14SEP17	S 1 PD14DEC17	2295.50	2526.84	USD	-5,783.50	
14SEP17	B 1 PD14DEC17	2297.50	2526.84	USD	5,733.50	
18SEP17	B 15 PD14DEC17	2390.00	2526.84	USD	51,315.00	
21SEP17	S 15 PD14DEC17	2517.71	2526.84	USD	-3,423.75	
	NET 0 AVG BGHT 2384.22 SOLD 2503.82				47,841.25	0.00
18SEP17	B 10 PD15DEC17	2390.00	2526.99	USD	34,247.50	
21SEP17	S 10 PD15DEC17	2517.73	2526.99	USD	-2,315.00	
	NET 0 AVG BGHT 2390.00 SOLD 2517.73				31,932.50	0.00
	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
31JUL17	B 1 PD31OCT17	10225.00	11620.72	USD	8,374.32	
31JUL17	S 1 PD31OCT17	10225.00	11620.72	USD	-8,374.32	
	NET 0 AVG BGHT 10225.00 SOLD 10225.00				0.00	0.00
01AUG17	B 1 PD01NOV17	10155.00	11621.57	USD	8,799.42	
18AUG17	S 1 PD01NOV17	10972.58	11621.57	USD	-3,893.94	
	NET 0 AVG BGHT 10155.00 SOLD 10972.58				4,905.48	0.00
17AUG17	B 2 PD17NOV17	10825.00	11634.87	USD	9,718.44	
17AUG17	S 2 PD17NOV17	10775.00	11634.87	USD	-10,318.44	
17AUG17	S 1 PD17NOV17	10645.00	11634.87	USD	-5,939.22	
18AUG17	B 1 PD17NOV17	10981.00	11634.87	USD	3,923.22	
	NET 0 AVG BGHT 10877.00 SOLD 10731.67				-2,616.00	0.00
29AUG17	B 1 PD29NOV17	11830.00	11643.10	USD	-1,121.40	
29AUG17	B 1 PD29NOV17	11780.00	11643.10	USD	-821.40	
29AUG17	B 1 PD29NOV17	11795.00	11643.10	USD	-911.40	
29AUG17	B 2 PD29NOV17	11785.00	11643.10	USD	-1,702.80	
29AUG17	B 1 PD29NOV17	11810.00	11643.10	USD	-1,001.40	
31AUG17	S 6 PD29NOV17	11798.32	11643.10	USD	5,587.92	
	NET 0 AVG BGHT 11797.50 SOLD 11798.32				29.52	0.00
31AUG17	S 1 PD30NOV17	11799.00	11643.79	USD	931.26	
05SEP17	B 1 PD30NOV17	12078.11	11643.79	USD	-2,605.92	
	NET 0 AVG BGHT 12078.11 SOLD 11799.00				-1,674.66	0.00
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
25JUL17	B 10 PD25OCT17	2831.65	3273.00	USD	110,337.50	
25JUL17	B 1 PD25OCT17	2840.50	3273.00	USD	10,812.50	

OPEN POSITION STATEMENT



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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
25JUL17	B	4	PD25OCT17	2841.00	3273.00	USD	43,200.00	
25JUL17	B	5	PD25OCT17	2843.00	3273.00	USD	53,750.00	
25JUL17	S	10	PD25OCT17	2835.80	3273.00	USD	-109,300.00	
25JUL17	B	10	PD25OCT17	2839.50	3273.00	USD	108,375.00	
25JUL17	B	7	PD25OCT17	2837.00	3273.00	USD	76,300.00	
25JUL17	B	3	PD25OCT17	2837.50	3273.00	USD	32,662.50	
26JUL17	S	30	PD25OCT17	2807.66	3273.00	USD	-349,005.00	
	NET	0 AVG	BGHT 2837.56				-22,867.50	0.00
31JUL17	B	1	PD31OCT17	2816.00	3268.47	USD	11,311.75	
31JUL17	S	1	PD31OCT17	2803.50	3268.47	USD	-11,624.25	
31JUL17	S	1	PD31OCT17	2816.00	3268.47	USD	-11,311.75	
31JUL17	B	1	PD31OCT17	2795.20	3268.47	USD	11,831.75	
	NET	0 AVG	BGHT 2805.60				207.50	0.00
04AUG17	B	1	PD03NOV17	2813.50	3265.07	USD	11,289.25	
04AUG17	B	1	PD03NOV17	2815.00	3265.07	USD	11,251.75	
04AUG17	S	1	PD03NOV17	2813.50	3265.07	USD	-11,289.25	
08AUG17	S	1	PD03NOV17	2924.50	3265.07	USD	-8,514.25	
	NET	0 AVG	BGHT 2814.25				2,737.50	0.00
07AUG17	B	1	PD07NOV17	2821.50	3262.80	USD	11,032.50	
07AUG17	S	3	PD07NOV17	2801.50	3262.80	USD	-34,597.50	
07AUG17	B	1	PD07NOV17	2819.50	3262.80	USD	11,082.50	
08AUG17	B	1	PD07NOV17	2925.10	3262.80	USD	8,442.50	
	NET	0 AVG	BGHT 2855.37				-4,040.00	0.00
09AUG17	B	1	PD09NOV17	2937.50	3260.53	USD	8,075.75	
09AUG17	S	1	PD09NOV17	2937.50	3260.53	USD	-8,075.75	
09AUG17	S	1	PD09NOV17	2953.50	3260.53	USD	-7,675.75	
17AUG17	B	1	PD09NOV17	3061.63	3260.53	USD	4,972.50	
	NET	0 AVG	BGHT 2999.57				-2,703.25	0.00
11AUG17	S	1	PD10NOV17	2921.50	3259.40	USD	-8,447.50	
11AUG17	S	1	PD10NOV17	2909.00	3259.40	USD	-8,760.00	
17AUG17	B	2	PD10NOV17	3061.94	3259.40	USD	9,873.00	
	NET	0 AVG	BGHT 3061.94				-7,334.50	0.00
14AUG17	S	1	PD14NOV17	2914.00	3257.13	USD	-8,578.25	
31AUG17	B	1	PD14NOV17	3145.45	3257.13	USD	2,792.00	
	NET	0 AVG	BGHT 3145.45				-5,786.25	0.00
16AUG17	B	3	PD16NOV17	3108.50	3253.50	USD	10,875.00	
17AUG17	S	3	PD16NOV17	3062.05	3253.50	USD	-14,358.75	
	NET	0 AVG	BGHT 3108.50				-3,483.75	0.00
29AUG17	B	1	PD29NOV17	3097.00	3246.75	USD	3,743.75	
29AUG17	B	1	PD29NOV17	3112.50	3246.75	USD	3,356.25	
29AUG17	S	2	PD29NOV17	3113.00	3246.75	USD	-6,687.50	
05SEP17	S	2	PD29NOV17	3139.12	3246.75	USD	-5,381.50	
10OCT17	B	2	PD29NOV17	3292.33	3246.75	USD	-2,279.00	
	NET	0 AVG	BGHT 3198.54				-7,248.00	0.00
06SEP17	B	1	PD06DEC17	3090.00	3245.50	USD	3,887.50	
06SEP17	B	1	PD06DEC17	3092.00	3245.50	USD	3,837.50	
06SEP17	B	1	PD06DEC17	3086.50	3245.50	USD	3,975.00	
06SEP17	B	1	PD06DEC17	3088.00	3245.50	USD	3,937.50	
06SEP17	B	1	PD06DEC17	3084.50	3245.50	USD	4,025.00	
06SEP17	B	1	PD06DEC17	3086.00	3245.50	USD	3,987.50	
10OCT17	S	2	PD06DEC17	3291.02	3245.50	USD	2,276.00	
	NET	+4 AVG	BGHT 3087.83				25,926.00	0.00
07SEP17	B	1	PD07DEC17	3077.00	3245.25	USD	4,206.25	
07SEP17	B	2	PD07DEC17	3078.00	3245.25	USD	8,362.50	

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
07SEP17	S 1 PD07DEC17	3087.50	3245.25	USD	-3,943.75	
07SEP17	S 1 PD07DEC17	3088.00	3245.25	USD	-3,931.25	
07SEP17	S 1 PD07DEC17	3086.50	3245.25	USD	-3,968.75	
	NET 0 AVG BGHT 3077.67 SOLD 3087.33				725.00	0.00

OPEN POSITION VALUE

		USD
LME Aluminium 25 Tonnes.	FUTURES	-2,169.50
ICE Cotton No.2	FUTURES	-12,850.00
LME Lead 25 tonnes. This is an	FUTURES	70,518.25
LME Nickel 6 tonnes. This is	FUTURES	644.34
LME Zinc 25 tonnes. This is	FUTURES	-348,417.25

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options	Amount	Profit / Loss	Total Amount	Total P&L
COTT - Futures USD	15,500.00	15,500.00	15,500.00	15,500.00
Unrealised Profits & Losses - Forwards	Amount	Profit / Loss	Total Amount	Total P&L
ALUL - Futures USD	-2,169.50	-2,169.50		
LEAD - Futures USD	70,518.25	70,518.25		
NKL - Futures USD	644.34	644.34		
ZINC - Futures USD	-23,867.25	-23,867.25	45,125.84	45,125.84

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
CASH BALANCE	(1.00000)	(1.00000)
Variation Margins - Futures	-44,022.44	-44,022.44
- Options	15,500.00	15,500.00
	0.00	0.00
TOTAL EQUITY	-28,522.44	-28,522.44
Initial Margins	-66,500.00	-66,500.00
Excess/(Shortage)	-95,022.44	-95,022.44
FOR INFORMATION ONLY		
Forward Unrealised P&L	45,125.84	45,125.84
Net Liquidating Value	16,603.40	16,603.40

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	<u>LME ALUMINIUM 25 TONNES. THIS IS AN</u>							
	<u>LME REGISTERED CLIENT CONTRACT.</u>							
17JUL17	B	10	PD17OCT17	1932.50	2109.75	USD	44,312.50	
17JUL17	B	5	PD17OCT17	1930.00	2109.75	USD	22,468.75	
17JUL17	B	1	PD17OCT17	1928.50	2109.75	USD	4,531.25	
17JUL17	B	3	PD17OCT17	1928.00	2109.75	USD	13,631.25	
17JUL17	S	1	PD17OCT17	1938.00	2109.75	USD	-4,293.75	
17JUL17	B	5	PD17OCT17	1923.00	2109.75	USD	23,343.75	
17JUL17	B	1	PD17OCT17	1924.50	2109.75	USD	4,631.25	
17JUL17	B	1	PD17OCT17	1925.50	2109.75	USD	4,606.25	
17JUL17	B	2	PD17OCT17	1925.00	2109.75	USD	9,237.50	
17JUL17	B	2	PD17OCT17	1923.50	2109.75	USD	9,312.50	
17JUL17	B	2	PD17OCT17	1924.00	2109.75	USD	9,287.50	
17JUL17	S	5	PD17OCT17	1926.00	2109.75	USD	-22,968.75	
17JUL17	B	3	PD17OCT17	1927.50	2109.75	USD	13,668.75	
17JUL17	B	4	PD17OCT17	1927.00	2109.75	USD	18,275.00	
17JUL17	S	6	PD17OCT17	1927.00	2109.75	USD	-27,412.50	
17JUL17	S	1	PD17OCT17	1927.50	2109.75	USD	-4,556.25	
17JUL17	S	1	PD17OCT17	1928.00	2109.75	USD	-4,543.75	
17JUL17	S	2	PD17OCT17	1925.00	2109.75	USD	-9,237.50	
17JUL17	B	2	PD17OCT17	1926.00	2109.75	USD	9,187.50	
17JUL17	S	1	PD17OCT17	1929.00	2109.75	USD	-4,518.75	
17JUL17	B	1	PD17OCT17	1919.50	2109.75	USD	4,756.25	
17JUL17	S	1	PD17OCT17	1926.50	2109.75	USD	-4,581.25	
17JUL17	B	3	PD17OCT17	1913.50	2109.75	USD	14,718.75	
17JUL17	B	1	PD17OCT17	1913.00	2109.75	USD	4,918.75	
17JUL17	B	1	PD17OCT17	1908.00	2109.75	USD	5,043.75	
17JUL17	B	1	PD17OCT17	1905.00	2109.75	USD	5,118.75	
17JUL17	B	1	PD17OCT17	1904.00	2109.75	USD	5,143.75	
17JUL17	B	3	PD17OCT17	1910.50	2109.75	USD	14,943.75	
17JUL17	B	1	PD17OCT17	1911.00	2109.75	USD	4,968.75	
17JUL17	B	1	PD17OCT17	1910.00	2109.75	USD	4,993.75	
19JUL17	S	28	PD17OCT17	1919.83	2109.75	USD	-132,944.00	
21JUL17	S	2	PD17OCT17	1914.85	2109.75	USD	-9,745.00	
26JUL17	S	3	PD17OCT17	1940.60	2109.75	USD	-12,686.25	
10AUG17	S	2	PD17OCT17	2034.05	2109.75	USD	-3,785.00	
10AUG17	S	1	PD17OCT17	2034.25	2109.75	USD	-1,887.50	
	NET	0AVG BGHT 1923.75	SOLD 1929.63				7,939.75	0.00
18JUL17	S	1	PD18OCT17	1915.50	2110.25	USD	-4,868.75	
18JUL17	S	1	PD18OCT17	1913.50	2110.25	USD	-4,918.75	
18JUL17	S	1	PD18OCT17	1914.00	2110.25	USD	-4,906.25	
18JUL17	S	4	PD18OCT17	1912.50	2110.25	USD	-19,775.00	
18JUL17	S	3	PD18OCT17	1913.00	2110.25	USD	-14,793.75	
18JUL17	S	1	PD18OCT17	1907.00	2110.25	USD	-5,081.25	
18JUL17	S	1	PD18OCT17	1921.00	2110.25	USD	-4,731.25	
18JUL17	B	4	PD18OCT17	1918.50	2110.25	USD	19,175.00	
18JUL17	B	1	PD18OCT17	1917.50	2110.25	USD	4,818.75	
18JUL17	B	1	PD18OCT17	1923.50	2110.25	USD	4,668.75	
18JUL17	B	9	PD18OCT17	1922.00	2110.25	USD	42,356.25	
18JUL17	B	4	PD18OCT17	1922.50	2110.25	USD	18,775.00	
18JUL17	B	25	PD18OCT17	1923.00	2110.25	USD	117,031.25	
18JUL17	B	3	PD18OCT17	1917.00	2110.25	USD	14,493.75	
18JUL17	B	1	PD18OCT17	1923.00	2110.25	USD	4,681.25	
18JUL17	B	4	PD18OCT17	1924.50	2110.25	USD	18,575.00	
18JUL17	B	1	PD18OCT17	1925.50	2110.25	USD	4,618.75	
18JUL17	B	14	PD18OCT17	1926.00	2110.25	USD	64,487.50	
19JUL17	S	60	PD18OCT17	1920.05	2110.25	USD	-285,300.00	
27JUL17	B	1	PD18OCT17	1936.50	2110.25	USD	4,343.75	
28JUL17	B	3	PD18OCT17	1904.50	2110.25	USD	15,431.25	

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Suite A, 105 Piccadilly
London W1J 7NJ UK
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	<u>LME ALUMINIUM 25 TONNES. THIS IS AN</u>							
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10AUG17	B	1	PD180CT17	2033.95	2110.25	USD	1,907.50	
	NET	0 AVG	BGHT 1923.94				-9,011.25	0.00
19JUL17	S	1	PD190CT17	1930.00	2110.75	USD	-4,518.75	
19JUL17	S	1	PD190CT17	1927.50	2110.75	USD	-4,581.25	
19JUL17	S	1	PD190CT17	1934.00	2110.75	USD	-4,418.75	
19JUL17	B	1	PD190CT17	1934.50	2110.75	USD	4,406.25	
19JUL17	B	1	PD190CT17	1935.00	2110.75	USD	4,393.75	
19JUL17	B	2	PD190CT17	1935.50	2110.75	USD	8,762.50	
19JUL17	B	5	PD190CT17	1934.00	2110.75	USD	22,093.75	
19JUL17	B	1	PD190CT17	1930.00	2110.75	USD	4,518.75	
19JUL17	B	1	PD190CT17	1925.00	2110.75	USD	4,643.75	
19JUL17	B	1	PD190CT17	1932.00	2110.75	USD	4,468.75	
19JUL17	B	1	PD190CT17	1915.50	2110.75	USD	4,881.25	
27JUL17	S	7	PD190CT17	1935.80	2110.75	USD	-30,616.25	
28JUL17	S	3	PD190CT17	1903.80	2110.75	USD	-15,521.25	
	NET	0 AVG	BGHT 1931.77				-1,487.50	0.00
20JUL17	B	1	PD200CT17	1927.50	2111.25	USD	4,593.75	
20JUL17	B	11	PD200CT17	1927.00	2111.25	USD	50,668.75	
20JUL17	B	11	PD200CT17	1929.00	2111.25	USD	50,118.75	
20JUL17	B	8	PD200CT17	1928.00	2111.25	USD	36,650.00	
20JUL17	S	1	PD200CT17	1927.50	2111.25	USD	-4,593.75	
20JUL17	S	1	PD200CT17	1923.50	2111.25	USD	-4,693.75	
20JUL17	B	1	PD200CT17	1919.50	2111.25	USD	4,793.75	
20JUL17	B	1	PD200CT17	1920.00	2111.25	USD	4,781.25	
20JUL17	S	1	PD200CT17	1918.00	2111.25	USD	-4,831.25	
20JUL17	S	1	PD200CT17	1918.50	2111.25	USD	-4,818.75	
21JUL17	S	1	PD200CT17	1931.00	2111.25	USD	-4,506.25	
21JUL17	B	1	PD200CT17	1933.50	2111.25	USD	4,443.75	
21JUL17	B	1	PD200CT17	1927.00	2111.25	USD	4,606.25	
21JUL17	B	1	PD200CT17	1927.50	2111.25	USD	4,593.75	
21JUL17	B	1	PD200CT17	1926.50	2111.25	USD	4,618.75	
21JUL17	B	1	PD200CT17	1922.50	2111.25	USD	4,718.75	
21JUL17	B	1	PD200CT17	1921.00	2111.25	USD	4,756.25	
21JUL17	S	1	PD200CT17	1924.00	2111.25	USD	-4,681.25	
21JUL17	S	1	PD200CT17	1924.50	2111.25	USD	-4,668.75	
21JUL17	S	1	PD200CT17	1919.00	2111.25	USD	-4,806.25	
27JUL17	S	31	PD200CT17	1936.05	2111.25	USD	-135,780.00	
	NET	0 AVG	BGHT 1927.31				5,963.75	0.00
24JUL17	B	4	PD240CT17	1912.50	2113.25	USD	20,075.00	
24JUL17	B	1	PD240CT17	1912.00	2113.25	USD	5,031.25	
24JUL17	S	4	PD240CT17	1913.50	2113.25	USD	-19,975.00	
24JUL17	B	1	PD240CT17	1910.00	2113.25	USD	5,081.25	
08AUG17	S	2	PD240CT17	2027.00	2113.25	USD	-4,312.50	
	NET	0 AVG	BGHT 1912.00				5,900.00	0.00
25JUL17	S	1	PD250CT17	1914.50	2113.75	USD	-4,981.25	
25JUL17	S	1	PD250CT17	1915.50	2113.75	USD	-4,956.25	
25JUL17	B	1	PD250CT17	1920.00	2113.75	USD	4,843.75	
25JUL17	S	1	PD250CT17	1935.50	2113.75	USD	-4,456.25	
25JUL17	S	1	PD250CT17	1933.00	2113.75	USD	-4,518.75	
25JUL17	S	1	PD250CT17	1940.50	2113.75	USD	-4,331.25	
25JUL17	S	1	PD250CT17	1947.00	2113.75	USD	-4,168.75	
25JUL17	S	1	PD250CT17	1945.50	2113.75	USD	-4,206.25	
25JUL17	S	1	PD250CT17	1945.00	2113.75	USD	-4,218.75	
08AUG17	B	2	PD250CT17	2027.40	2113.75	USD	4,317.50	
08AUG17	B	3	PD250CT17	2027.20	2113.75	USD	6,491.25	
12SEP17	B	2	PD250CT17	2121.75	2113.75	USD	-400.00	

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	<u>LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.</u>							
	NET	0 AVG BGHT 2037.49	SOLD 1934.56				-20,585.00	0.00
26JUL17	S	2	PD26OCT17	1936.00	2114.25	USD	-8,912.50	
26JUL17	B	1	PD26OCT17	1937.00	2114.25	USD	4,431.25	
26JUL17	S	1	PD26OCT17	1947.50	2114.25	USD	-4,168.75	
26JUL17	S	3	PD26OCT17	1953.00	2114.25	USD	-12,093.75	
26JUL17	B	1	PD26OCT17	1957.50	2114.25	USD	3,918.75	
26JUL17	B	2	PD26OCT17	1934.50	2114.25	USD	8,987.50	
26JUL17	B	1	PD26OCT17	1933.50	2114.25	USD	4,518.75	
26JUL17	S	1	PD26OCT17	1937.50	2114.25	USD	-4,418.75	
26JUL17	S	2	PD26OCT17	1938.00	2114.25	USD	-8,812.50	
26JUL17	B	1	PD26OCT17	1941.50	2114.25	USD	4,318.75	
26JUL17	B	1	PD26OCT17	1942.50	2114.25	USD	4,293.75	
26JUL17	S	2	PD26OCT17	1951.00	2114.25	USD	-8,162.50	
26JUL17	S	1	PD26OCT17	1952.50	2114.25	USD	-4,043.75	
26JUL17	S	1	PD26OCT17	1958.00	2114.25	USD	-3,906.25	
26JUL17	S	1	PD26OCT17	1959.00	2114.25	USD	-3,881.25	
26JUL17	S	2	PD26OCT17	1959.50	2114.25	USD	-7,737.50	
26JUL17	B	1	PD26OCT17	1947.50	2114.25	USD	4,168.75	
26JUL17	B	2	PD26OCT17	1946.50	2114.25	USD	8,387.50	
26JUL17	B	1	PD26OCT17	1946.00	2114.25	USD	4,206.25	
26JUL17	B	1	PD26OCT17	1937.50	2114.25	USD	4,418.75	
08AUG17	B	2	PD26OCT17	2027.40	2114.25	USD	4,342.50	
10AUG17	B	4	PD26OCT17	2034.44	2114.25	USD	7,981.00	
12SEP17	S	2	PD26OCT17	2121.97	2114.25	USD	386.00	
	NET	0 AVG BGHT 1972.09	SOLD 1968.14				-1,778.00	0.00
27JUL17	B	1	PD27OCT17	1941.50	2114.08	USD	4,314.50	
27JUL17	S	1	PD27OCT17	1942.00	2114.08	USD	-4,302.00	
27JUL17	B	2	PD27OCT17	1933.00	2114.08	USD	9,054.00	
27JUL17	S	1	PD27OCT17	1950.50	2114.08	USD	-4,089.50	
27JUL17	B	1	PD27OCT17	1941.00	2114.08	USD	4,327.00	
27JUL17	S	1	PD27OCT17	1949.00	2114.08	USD	-4,127.00	
27JUL17	S	1	PD27OCT17	1955.00	2114.08	USD	-3,977.00	
27JUL17	S	1	PD27OCT17	1954.50	2114.08	USD	-3,989.50	
27JUL17	B	1	PD27OCT17	1938.50	2114.08	USD	4,389.50	
28JUL17	B	1	PD27OCT17	1925.50	2114.08	USD	4,714.50	
28JUL17	S	2	PD27OCT17	1929.00	2114.08	USD	-9,254.00	
28JUL17	S	2	PD27OCT17	1931.00	2114.08	USD	-9,154.00	
28JUL17	B	2	PD27OCT17	1917.50	2114.08	USD	9,829.00	
28JUL17	B	1	PD27OCT17	1943.00	2114.08	USD	4,277.00	
28JUL17	B	1	PD27OCT17	1912.00	2114.08	USD	5,052.00	
28JUL17	B	1	PD27OCT17	1911.50	2114.08	USD	5,064.50	
28JUL17	B	1	PD27OCT17	1912.50	2114.08	USD	5,039.50	
28JUL17	B	1	PD27OCT17	1913.00	2114.08	USD	5,027.00	
28JUL17	B	4	PD27OCT17	1914.00	2114.08	USD	20,008.00	
28JUL17	B	1	PD27OCT17	1914.50	2114.08	USD	4,989.50	
28JUL17	B	2	PD27OCT17	1916.00	2114.08	USD	9,904.00	
28JUL17	B	4	PD27OCT17	1917.00	2114.08	USD	19,708.00	
28JUL17	S	10	PD27OCT17	1916.50	2114.08	USD	-49,395.00	
28JUL17	S	1	PD27OCT17	1914.50	2114.08	USD	-4,989.50	
28JUL17	S	12	PD27OCT17	1915.00	2114.08	USD	-59,724.00	
28JUL17	B	6	PD27OCT17	1915.00	2114.08	USD	29,862.00	
28JUL17	B	6	PD27OCT17	1915.50	2114.08	USD	29,787.00	
28JUL17	B	4	PD27OCT17	1916.50	2114.08	USD	19,758.00	
28JUL17	S	1	PD27OCT17	1917.50	2114.08	USD	-4,914.50	
28JUL17	S	1	PD27OCT17	1915.00	2114.08	USD	-4,977.00	
28JUL17	B	2	PD27OCT17	1915.50	2114.08	USD	9,929.00	
28JUL17	S	1	PD27OCT17	1915.50	2114.08	USD	-4,964.50	

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28JUL17	B	1	PD27OCT17	1909.50	2114.08	USD	5,114.50	
28JUL17	B	1	PD27OCT17	1910.00	2114.08	USD	5,102.00	
07AUG17	B	1	PD27OCT17	1961.39	2114.08	USD	3,817.25	
08AUG17	S	5	PD27OCT17	2027.40	2114.08	USD	-10,835.00	
09AUG17	S	2	PD27OCT17	2025.18	2114.08	USD	-4,445.00	
10AUG17	S	2	PD27OCT17	2034.41	2114.08	USD	-3,983.50	
12SEP17	S	1	PD27OCT17	2122.58	2114.08	USD	212.50	
	NET	0 AVG	BGHT 1919.35	SOLD 1947.94			32,159.25	0.00
31JUL17	B	2	PD31OCT17	1917.00	2113.75	USD	9,837.50	
31JUL17	B	1	PD31OCT17	1917.50	2113.75	USD	4,906.25	
31JUL17	B	1	PD31OCT17	1919.00	2113.75	USD	4,868.75	
31JUL17	B	1	PD31OCT17	1918.50	2113.75	USD	4,881.25	
31JUL17	B	4	PD31OCT17	1921.00	2113.75	USD	19,275.00	
31JUL17	S	4	PD31OCT17	1919.00	2113.75	USD	-19,475.00	
31JUL17	B	1	PD31OCT17	1912.50	2113.75	USD	5,031.25	
31JUL17	S	1	PD31OCT17	1916.00	2113.75	USD	-4,943.75	
31JUL17	S	1	PD31OCT17	1918.00	2113.75	USD	-4,893.75	
07AUG17	S	1	PD31OCT17	1962.14	2113.75	USD	-3,790.25	
08AUG17	S	1	PD31OCT17	2028.40	2113.75	USD	-2,133.75	
09AUG17	B	1	PD31OCT17	2026.09	2113.75	USD	2,191.50	
10AUG17	S	2	PD31OCT17	2035.10	2113.75	USD	-3,932.50	
10AUG17	S	1	PD31OCT17	2035.30	2113.75	USD	-1,961.25	
	NET	0 AVG	BGHT 1928.33	SOLD 1964.19			9,861.25	0.00
01AUG17	S	1	PD01NOV17	1914.00	2114.09	USD	-5,002.25	
01AUG17	B	1	PD01NOV17	1911.50	2114.09	USD	5,064.75	
01AUG17	B	1	PD01NOV17	1910.50	2114.09	USD	5,089.75	
01AUG17	B	1	PD01NOV17	1911.00	2114.09	USD	5,077.25	
01AUG17	B	1	PD01NOV17	1909.50	2114.09	USD	5,114.75	
01AUG17	B	2	PD01NOV17	1907.50	2114.09	USD	10,329.50	
01AUG17	B	1	PD01NOV17	1907.00	2114.09	USD	5,177.25	
01AUG17	S	1	PD01NOV17	1905.50	2114.09	USD	-5,214.75	
01AUG17	S	1	PD01NOV17	1909.50	2114.09	USD	-5,114.75	
01AUG17	S	1	PD01NOV17	1908.00	2114.09	USD	-5,152.25	
01AUG17	S	2	PD01NOV17	1908.50	2114.09	USD	-10,279.50	
01AUG17	B	1	PD01NOV17	1907.00	2114.09	USD	5,177.25	
08AUG17	S	1	PD01NOV17	2028.60	2114.09	USD	-2,137.25	
09AUG17	B	1	PD01NOV17	2026.27	2114.09	USD	2,195.50	
11SEP17	S	1	PD01NOV17	2112.50	2114.09	USD	-39.75	
12SEP17	S	1	PD01NOV17	2124.67	2114.09	USD	264.50	
	NET	0 AVG	BGHT 1921.97	SOLD 1968.86			10,550.00	0.00
02AUG17	S	1	PD02NOV17	1909.00	2114.44	USD	-5,136.00	
02AUG17	S	1	PD02NOV17	1912.50	2114.44	USD	-5,048.50	
02AUG17	B	1	PD02NOV17	1914.00	2114.44	USD	5,011.00	
02AUG17	B	1	PD02NOV17	1918.00	2114.44	USD	4,911.00	
02AUG17	S	1	PD02NOV17	1928.00	2114.44	USD	-4,661.00	
02AUG17	B	1	PD02NOV17	1920.00	2114.44	USD	4,861.00	
02AUG17	B	3	PD02NOV17	1920.50	2114.44	USD	14,545.50	
02AUG17	B	1	PD02NOV17	1923.00	2114.44	USD	4,786.00	
02AUG17	B	1	PD02NOV17	1922.00	2114.44	USD	4,811.00	
02AUG17	B	3	PD02NOV17	1926.50	2114.44	USD	14,095.50	
02AUG17	B	1	PD02NOV17	1929.50	2114.44	USD	4,623.50	
02AUG17	B	1	PD02NOV17	1924.00	2114.44	USD	4,761.00	
02AUG17	B	2	PD02NOV17	1925.00	2114.44	USD	9,472.00	
02AUG17	B	1	PD02NOV17	1928.00	2114.44	USD	4,661.00	
02AUG17	S	1	PD02NOV17	1927.00	2114.44	USD	-4,686.00	
02AUG17	B	1	PD02NOV17	1927.00	2114.44	USD	4,686.00	

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	<u>LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.</u>							
02AUG17	B	1	PD02NOV17	1922.50	2114.44	USD	4,798.50	
02AUG17	B	1	PD02NOV17	1924.50	2114.44	USD	4,748.50	
02AUG17	B	4	PD02NOV17	1926.00	2114.44	USD	18,844.00	
08AUG17	S	1	PD02NOV17	2028.80	2114.44	USD	-2,141.00	
09AUG17	S	1	PD02NOV17	2026.25	2114.44	USD	-2,204.75	
10AUG17	S	3	PD02NOV17	2035.64	2114.44	USD	-5,910.00	
17AUG17	S	1	PD02NOV17	2073.33	2114.44	USD	-1,027.75	
23AUG17	S	5	PD02NOV17	2093.12	2114.44	USD	-2,665.00	
30AUG17	S	2	PD02NOV17	2082.95	2114.44	USD	-1,574.50	
11SEP17	S	2	PD02NOV17	2112.79	2114.44	USD	-82.50	
12SEP17	S	2	PD02NOV17	2125.08	2114.44	USD	532.00	
25SEP17	S	2	PD02NOV17	2133.11	2114.44	USD	933.50	
	NET	0 AVG BGHT 1923.80	SOLD 2055.88				75,944.00	0.00
03AUG17	B	1	PD03NOV17	1929.00	2114.78	USD	4,644.50	
03AUG17	B	2	PD03NOV17	1930.00	2114.78	USD	9,239.00	
03AUG17	B	1	PD03NOV17	1931.00	2114.78	USD	4,594.50	
03AUG17	S	1	PD03NOV17	1927.00	2114.78	USD	-4,694.50	
03AUG17	B	1	PD03NOV17	1927.50	2114.78	USD	4,682.00	
03AUG17	B	1	PD03NOV17	1927.00	2114.78	USD	4,694.50	
03AUG17	S	1	PD03NOV17	1924.50	2114.78	USD	-4,757.00	
03AUG17	S	2	PD03NOV17	1926.00	2114.78	USD	-9,439.00	
03AUG17	S	2	PD03NOV17	1926.50	2114.78	USD	-9,414.00	
03AUG17	S	1	PD03NOV17	1924.00	2114.78	USD	-4,769.50	
03AUG17	S	1	PD03NOV17	1923.00	2114.78	USD	-4,794.50	
03AUG17	S	1	PD03NOV17	1922.50	2114.78	USD	-4,807.00	
03AUG17	S	1	PD03NOV17	1922.00	2114.78	USD	-4,819.50	
03AUG17	B	2	PD03NOV17	1916.50	2114.78	USD	9,914.00	
03AUG17	B	1	PD03NOV17	1917.00	2114.78	USD	4,944.50	
03AUG17	B	1	PD03NOV17	1914.50	2114.78	USD	5,007.00	
03AUG17	S	2	PD03NOV17	1918.00	2114.78	USD	-9,839.00	
03AUG17	S	2	PD03NOV17	1918.50	2114.78	USD	-9,814.00	
03AUG17	B	2	PD03NOV17	1917.50	2114.78	USD	9,864.00	
03AUG17	S	2	PD03NOV17	1917.50	2114.78	USD	-9,864.00	
04AUG17	B	1	PD03NOV17	1915.00	2114.78	USD	4,994.50	
04AUG17	B	1	PD03NOV17	1917.00	2114.78	USD	4,944.50	
04AUG17	B	1	PD03NOV17	1918.00	2114.78	USD	4,919.50	
04AUG17	B	2	PD03NOV17	1917.50	2114.78	USD	9,864.00	
04AUG17	B	1	PD03NOV17	1918.50	2114.78	USD	4,907.00	
04AUG17	B	1	PD03NOV17	1913.50	2114.78	USD	5,032.00	
04AUG17	B	2	PD03NOV17	1913.00	2114.78	USD	10,089.00	
04AUG17	S	1	PD03NOV17	1913.50	2114.78	USD	-5,032.00	
04AUG17	S	1	PD03NOV17	1908.50	2114.78	USD	-5,157.00	
04AUG17	B	1	PD03NOV17	1906.50	2114.78	USD	5,207.00	
04AUG17	S	2	PD03NOV17	1907.00	2114.78	USD	-10,389.00	
04AUG17	B	1	PD03NOV17	1909.50	2114.78	USD	5,132.00	
04AUG17	S	1	PD03NOV17	1909.00	2114.78	USD	-5,144.50	
04AUG17	B	2	PD03NOV17	1908.00	2114.78	USD	10,339.00	
04AUG17	B	1	PD03NOV17	1906.00	2114.78	USD	5,219.50	
08AUG17	S	1	PD03NOV17	2029.00	2114.78	USD	-2,144.50	
09AUG17	S	6	PD03NOV17	2026.43	2114.78	USD	-13,252.50	
10AUG17	B	2	PD03NOV17	2036.01	2114.78	USD	3,938.50	
	NET	0 AVG BGHT 1925.97	SOLD 1946.02				14,039.00	0.00
07AUG17	S	1	PD07NOV17	1914.00	2116.16	USD	-5,054.00	
07AUG17	S	1	PD07NOV17	1916.00	2116.16	USD	-5,004.00	
07AUG17	S	1	PD07NOV17	1909.50	2116.16	USD	-5,166.50	
07AUG17	B	1	PD07NOV17	1918.50	2116.16	USD	4,941.50	
07AUG17	B	1	PD07NOV17	1917.00	2116.16	USD	4,979.00	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0094X

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ALUMINIUM 25 TONNES. THIS IS AN</u>							
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07AUG17	B	1	PD07NOV17	1916.50	2116.16	USD	4,991.50	
07AUG17	S	2	PD07NOV17	1922.50	2116.16	USD	-9,683.00	
07AUG17	B	1	PD07NOV17	1931.50	2116.16	USD	4,616.50	
07AUG17	B	1	PD07NOV17	1922.50	2116.16	USD	4,841.50	
07AUG17	B	1	PD07NOV17	1931.50	2116.16	USD	4,616.50	
07AUG17	S	1	PD07NOV17	1922.50	2116.16	USD	-4,841.50	
07AUG17	B	1	PD07NOV17	1919.00	2116.16	USD	4,929.00	
07AUG17	S	1	PD07NOV17	1948.50	2116.16	USD	-4,191.50	
07AUG17	S	1	PD07NOV17	1946.00	2116.16	USD	-4,254.00	
07AUG17	S	1	PD07NOV17	1944.50	2116.16	USD	-4,291.50	
07AUG17	S	4	PD07NOV17	1942.00	2116.16	USD	-17,416.00	
07AUG17	B	1	PD07NOV17	1943.50	2116.16	USD	4,316.50	
07AUG17	S	1	PD07NOV17	1943.00	2116.16	USD	-4,329.00	
07AUG17	S	3	PD07NOV17	1942.50	2116.16	USD	-13,024.50	
07AUG17	S	1	PD07NOV17	1943.50	2116.16	USD	-4,316.50	
07AUG17	B	1	PD07NOV17	1944.50	2116.16	USD	4,291.50	
07AUG17	B	1	PD07NOV17	1948.50	2116.16	USD	4,191.50	
07AUG17	B	4	PD07NOV17	1951.50	2116.16	USD	16,466.00	
07AUG17	S	1	PD07NOV17	1953.50	2116.16	USD	-4,066.50	
07AUG17	B	3	PD07NOV17	1951.00	2116.16	USD	12,387.00	
07AUG17	B	20	PD07NOV17	1952.50	2116.16	USD	81,830.00	
07AUG17	S	1	PD07NOV17	1955.00	2116.16	USD	-4,029.00	
07AUG17	B	4	PD07NOV17	1953.50	2116.16	USD	16,266.00	
07AUG17	B	21	PD07NOV17	1952.00	2116.16	USD	86,184.00	
07AUG17	B	9	PD07NOV17	1953.00	2116.16	USD	36,711.00	
07AUG17	B	1	PD07NOV17	1954.50	2116.16	USD	4,041.50	
07AUG17	B	4	PD07NOV17	1954.00	2116.16	USD	16,216.00	
07AUG17	S	1	PD07NOV17	1943.50	2116.16	USD	-4,316.50	
07AUG17	B	1	PD07NOV17	1943.50	2116.16	USD	4,316.50	
07AUG17	S	1	PD07NOV17	1943.00	2116.16	USD	-4,329.00	
07AUG17	S	1	PD07NOV17	1956.00	2116.16	USD	-4,004.00	
07AUG17	S	1	PD07NOV17	1964.50	2116.16	USD	-3,791.50	
07AUG17	S	1	PD07NOV17	1970.50	2116.16	USD	-3,641.50	
07AUG17	S	1	PD07NOV17	1968.50	2116.16	USD	-3,691.50	
07AUG17	S	1	PD07NOV17	1971.50	2116.16	USD	-3,616.50	
07AUG17	S	3	PD07NOV17	1976.50	2116.16	USD	-10,474.50	
08AUG17	B	4	PD07NOV17	2030.00	2116.16	USD	8,616.00	
09AUG17	B	7	PD07NOV17	2027.34	2116.16	USD	15,543.50	
10AUG17	B	1	PD07NOV17	2036.69	2116.16	USD	1,986.75	
22AUG17	S	60	PD07NOV17	2072.25	2116.16	USD	-65,865.00	
05SEP17	B	1	PD07NOV17	2090.93	2116.16	USD	630.75	
	NET	0AVG BGHT 1961.53	SOLD 2030.21				154,512.50	0.00
08AUG17	B	1	PD08NOV17	1981.00	2116.50	USD	3,387.50	
08AUG17	B	2	PD08NOV17	1982.50	2116.50	USD	6,700.00	
08AUG17	B	2	PD08NOV17	1981.50	2116.50	USD	6,750.00	
08AUG17	S	1	PD08NOV17	1983.00	2116.50	USD	-3,337.50	
08AUG17	S	2	PD08NOV17	1979.50	2116.50	USD	-6,850.00	
08AUG17	B	1	PD08NOV17	1975.00	2116.50	USD	3,537.50	
08AUG17	B	3	PD08NOV17	1976.00	2116.50	USD	10,537.50	
08AUG17	B	1	PD08NOV17	1974.00	2116.50	USD	3,562.50	
08AUG17	S	1	PD08NOV17	1999.50	2116.50	USD	-2,925.00	
08AUG17	S	1	PD08NOV17	1998.00	2116.50	USD	-2,962.50	
08AUG17	S	1	PD08NOV17	2006.00	2116.50	USD	-2,762.50	
08AUG17	S	1	PD08NOV17	1996.00	2116.50	USD	-3,012.50	
08AUG17	B	1	PD08NOV17	1989.00	2116.50	USD	3,187.50	
08AUG17	S	1	PD08NOV17	1993.00	2116.50	USD	-3,087.50	
08AUG17	S	1	PD08NOV17	1993.50	2116.50	USD	-3,075.00	

OPEN POSITION STATEMENT



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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ALUMINIUM 25 TONNES. THIS IS AN</u>							
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08AUG17	S	2	PD08NOV17	1997.00	2116.50	USD	-5,975.00	
08AUG17	S	2	PD08NOV17	1995.50	2116.50	USD	-6,050.00	
08AUG17	B	4	PD08NOV17	1994.50	2116.50	USD	12,200.00	
08AUG17	B	2	PD08NOV17	1995.00	2116.50	USD	6,075.00	
08AUG17	S	3	PD08NOV17	1994.50	2116.50	USD	-9,150.00	
08AUG17	S	1	PD08NOV17	1994.00	2116.50	USD	-3,062.50	
08AUG17	B	1	PD08NOV17	1997.00	2116.50	USD	2,987.50	
08AUG17	B	4	PD08NOV17	1998.00	2116.50	USD	11,850.00	
08AUG17	B	2	PD08NOV17	1998.50	2116.50	USD	5,900.00	
08AUG17	B	1	PD08NOV17	1996.50	2116.50	USD	3,000.00	
08AUG17	B	3	PD08NOV17	1999.50	2116.50	USD	8,775.00	
08AUG17	B	2	PD08NOV17	2000.00	2116.50	USD	5,825.00	
08AUG17	B	6	PD08NOV17	2001.00	2116.50	USD	17,325.00	
08AUG17	B	4	PD08NOV17	2002.50	2116.50	USD	11,400.00	
08AUG17	B	3	PD08NOV17	2003.50	2116.50	USD	8,475.00	
08AUG17	B	1	PD08NOV17	2002.00	2116.50	USD	2,862.50	
08AUG17	B	4	PD08NOV17	1999.00	2116.50	USD	11,750.00	
08AUG17	B	1	PD08NOV17	2006.00	2116.50	USD	2,762.50	
08AUG17	B	6	PD08NOV17	2005.00	2116.50	USD	16,725.00	
08AUG17	S	1	PD08NOV17	2009.00	2116.50	USD	-2,687.50	
08AUG17	B	1	PD08NOV17	2010.00	2116.50	USD	2,662.50	
08AUG17	B	11	PD08NOV17	2017.00	2116.50	USD	27,362.50	
08AUG17	B	2	PD08NOV17	2016.00	2116.50	USD	5,025.00	
08AUG17	B	1	PD08NOV17	2019.00	2116.50	USD	2,437.50	
08AUG17	B	5	PD08NOV17	2020.00	2116.50	USD	12,062.50	
08AUG17	B	2	PD08NOV17	2020.50	2116.50	USD	4,800.00	
08AUG17	B	8	PD08NOV17	2021.00	2116.50	USD	19,100.00	
08AUG17	B	4	PD08NOV17	2005.00	2116.50	USD	11,150.00	
08AUG17	S	1	PD08NOV17	1993.00	2116.50	USD	-3,087.50	
08AUG17	S	1	PD08NOV17	2029.00	2116.50	USD	-2,187.50	
17AUG17	S	7	PD08NOV17	2074.50	2116.50	USD	-7,350.00	
22AUG17	S	26	PD08NOV17	2072.50	2116.50	USD	-28,600.00	
23AUG17	S	5	PD08NOV17	2094.56	2116.50	USD	-2,742.50	
30AUG17	S	1	PD08NOV17	2084.11	2116.50	USD	-809.75	
05SEP17	S	1	PD08NOV17	2090.99	2116.50	USD	-637.75	
05SEP17	S	5	PD08NOV17	2091.19	2116.50	USD	-3,163.75	
11SEP17	S	5	PD08NOV17	2114.50	2116.50	USD	-250.00	
12SEP17	S	3	PD08NOV17	2127.58	2116.50	USD	831.00	
14SEP17	S	15	PD08NOV17	2087.25	2116.50	USD	-10,968.75	
15SEP17	S	1	PD08NOV17	2074.75	2116.50	USD	-1,043.75	
	NET	0 AVG BGHT 2004.06	SOLD 2064.84				135,227.25	0.00
09AUG17	S	1	PD09NOV17	2030.50	2116.96	USD	-2,161.50	
09AUG17	B	2	PD09NOV17	2031.00	2116.96	USD	4,298.00	
09AUG17	S	1	PD09NOV17	2025.00	2116.96	USD	-2,299.00	
09AUG17	S	1	PD09NOV17	2030.00	2116.96	USD	-2,174.00	
09AUG17	B	1	PD09NOV17	2029.00	2116.96	USD	2,199.00	
09AUG17	B	4	PD09NOV17	2035.00	2116.96	USD	8,196.00	
09AUG17	B	1	PD09NOV17	2037.00	2116.96	USD	1,999.00	
09AUG17	B	8	PD09NOV17	2040.50	2116.96	USD	15,292.00	
09AUG17	B	2	PD09NOV17	2042.00	2116.96	USD	3,748.00	
09AUG17	S	1	PD09NOV17	2036.50	2116.96	USD	-2,011.50	
09AUG17	B	1	PD09NOV17	2034.50	2116.96	USD	2,061.50	
09AUG17	S	1	PD09NOV17	2036.00	2116.96	USD	-2,024.00	
09AUG17	S	2	PD09NOV17	2035.50	2116.96	USD	-4,073.00	
09AUG17	S	1	PD09NOV17	2035.00	2116.96	USD	-2,049.00	
09AUG17	S	1	PD09NOV17	2034.00	2116.96	USD	-2,074.00	
09AUG17	S	1	PD09NOV17	2033.50	2116.96	USD	-2,086.50	

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	<u>LME ALUMINIUM 25 TONNES. THIS IS AN</u>							
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09AUG17	S	2	PD09NOV17	2028.50	2116.96	USD	-4,423.00	
09AUG17	B	1	PD09NOV17	2021.50	2116.96	USD	2,386.50	
09AUG17	B	1	PD09NOV17	2015.00	2116.96	USD	2,549.00	
09AUG17	B	1	PD09NOV17	2016.50	2116.96	USD	2,511.50	
09AUG17	S	1	PD09NOV17	2021.00	2116.96	USD	-2,399.00	
09AUG17	B	1	PD09NOV17	2026.00	2116.96	USD	2,274.00	
09AUG17	S	1	PD09NOV17	2024.00	2116.96	USD	-2,324.00	
10AUG17	B	1	PD09NOV17	2037.03	2116.96	USD	1,998.25	
22AUG17	S	11	PD09NOV17	2072.75	2116.96	USD	-12,157.75	
30AUG17	S	2	PD09NOV17	2084.31	2116.96	USD	-1,632.50	
05SEP17	B	1	PD09NOV17	2091.64	2116.96	USD	633.00	
15SEP17	B	1	PD09NOV17	2075.38	2116.96	USD	1,039.50	
15SEP17	B	1	PD09NOV17	2075.18	2116.96	USD	1,044.50	
	NET	0 AVG	BGHT 2039.58	SOLD 2051.94			8,341.00	0.00
10AUG17	B	1	PD10NOV17	2034.50	2117.43	USD	2,073.25	
10AUG17	B	1	PD10NOV17	2030.50	2117.43	USD	2,173.25	
10AUG17	B	1	PD10NOV17	2034.00	2117.43	USD	2,085.75	
10AUG17	B	1	PD10NOV17	2039.00	2117.43	USD	1,960.75	
10AUG17	S	1	PD10NOV17	2032.50	2117.43	USD	-2,123.25	
10AUG17	B	1	PD10NOV17	2026.50	2117.43	USD	2,273.25	
10AUG17	S	1	PD10NOV17	2039.50	2117.43	USD	-1,948.25	
10AUG17	S	1	PD10NOV17	2036.50	2117.43	USD	-2,023.25	
10AUG17	S	2	PD10NOV17	2035.00	2117.43	USD	-4,121.50	
10AUG17	B	1	PD10NOV17	2037.50	2117.43	USD	1,998.25	
10AUG17	S	2	PD10NOV17	2032.00	2117.43	USD	-4,271.50	
10AUG17	S	1	PD10NOV17	2029.50	2117.43	USD	-2,198.25	
10AUG17	S	2	PD10NOV17	2025.00	2117.43	USD	-4,621.50	
10AUG17	S	2	PD10NOV17	2033.50	2117.43	USD	-4,196.50	
10AUG17	S	1	PD10NOV17	2034.50	2117.43	USD	-2,073.25	
10AUG17	B	2	PD10NOV17	2035.50	2117.43	USD	4,096.50	
10AUG17	B	1	PD10NOV17	2036.50	2117.43	USD	2,023.25	
10AUG17	B	2	PD10NOV17	2038.50	2117.43	USD	3,946.50	
10AUG17	B	2	PD10NOV17	2040.00	2117.43	USD	3,871.50	
10AUG17	B	1	PD10NOV17	2041.00	2117.43	USD	1,910.75	
10AUG17	S	1	PD10NOV17	2042.00	2117.43	USD	-1,885.75	
10AUG17	S	1	PD10NOV17	2045.50	2117.43	USD	-1,798.25	
10AUG17	B	1	PD10NOV17	2045.00	2117.43	USD	1,810.75	
10AUG17	S	1	PD10NOV17	2043.50	2117.43	USD	-1,848.25	
10AUG17	S	1	PD10NOV17	2039.00	2117.43	USD	-1,960.75	
10AUG17	B	1	PD10NOV17	2033.50	2117.43	USD	2,098.25	
11AUG17	S	1	PD10NOV17	2031.00	2117.43	USD	-2,160.75	
11AUG17	S	1	PD10NOV17	2026.50	2117.43	USD	-2,273.25	
11AUG17	S	1	PD10NOV17	2022.00	2117.43	USD	-2,385.75	
11AUG17	S	1	PD10NOV17	2030.50	2117.43	USD	-2,173.25	
11AUG17	S	2	PD10NOV17	2030.00	2117.43	USD	-4,371.50	
11AUG17	S	1	PD10NOV17	2029.50	2117.43	USD	-2,198.25	
11AUG17	B	1	PD10NOV17	2023.50	2117.43	USD	2,348.25	
11AUG17	S	1	PD10NOV17	2024.00	2117.43	USD	-2,335.75	
11AUG17	S	1	PD10NOV17	2023.50	2117.43	USD	-2,348.25	
11AUG17	S	2	PD10NOV17	2021.50	2117.43	USD	-4,796.50	
11AUG17	B	3	PD10NOV17	2024.50	2117.43	USD	6,969.75	
11AUG17	B	1	PD10NOV17	2029.00	2117.43	USD	2,210.75	
11AUG17	B	1	PD10NOV17	2036.00	2117.43	USD	2,035.75	
11AUG17	B	1	PD10NOV17	2027.00	2117.43	USD	2,260.75	
11AUG17	B	1	PD10NOV17	2044.50	2117.43	USD	1,823.25	
11AUG17	S	2	PD10NOV17	2040.00	2117.43	USD	-3,871.50	
11AUG17	S	1	PD10NOV17	2042.00	2117.43	USD	-1,885.75	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0094X

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ALUMINIUM 25 TONNES. THIS IS AN</u>							
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11AUG17	S	2	PD10NOV17	2041.00	2117.43	USD	-3,821.50	
11AUG17	S	1	PD10NOV17	2038.50	2117.43	USD	-1,973.25	
11AUG17	B	1	PD10NOV17	2045.00	2117.43	USD	1,810.75	
17AUG17	B	3	PD10NOV17	2075.20	2117.43	USD	3,167.25	
22AUG17	B	2	PD10NOV17	2073.20	2117.43	USD	2,211.50	
05SEP17	B	2	PD10NOV17	2091.90	2117.43	USD	1,276.50	
11SEP17	B	1	PD10NOV17	2115.27	2117.43	USD	54.00	
12SEP17	B	2	PD10NOV17	2128.62	2117.43	USD	-559.50	
15SEP17	S	1	PD10NOV17	2075.41	2117.43	USD	-1,050.50	
	NET	0 AVG BGHT 2051.22	SOLD 2034.33				-14,785.00	0.00
14AUG17	S	1	PD14NOV17	2041.50	2119.29	USD	-1,944.75	
14AUG17	S	1	PD14NOV17	2040.50	2119.29	USD	-1,969.75	
14AUG17	B	1	PD14NOV17	2029.00	2119.29	USD	2,257.25	
14AUG17	S	1	PD14NOV17	2040.00	2119.29	USD	-1,982.25	
14AUG17	S	1	PD14NOV17	2036.00	2119.29	USD	-2,082.25	
14AUG17	S	1	PD14NOV17	2045.00	2119.29	USD	-1,857.25	
14AUG17	B	1	PD14NOV17	2045.50	2119.29	USD	1,844.75	
14AUG17	S	4	PD14NOV17	2030.50	2119.29	USD	-8,879.00	
14AUG17	B	1	PD14NOV17	2028.00	2119.29	USD	2,282.25	
14AUG17	B	1	PD14NOV17	2027.50	2119.29	USD	2,294.75	
14AUG17	S	5	PD14NOV17	2029.00	2119.29	USD	-11,286.25	
14AUG17	S	1	PD14NOV17	2036.50	2119.29	USD	-2,069.75	
14AUG17	S	1	PD14NOV17	2032.00	2119.29	USD	-2,182.25	
14AUG17	B	3	PD14NOV17	2043.50	2119.29	USD	5,684.25	
14AUG17	S	2	PD14NOV17	2031.00	2119.29	USD	-4,414.50	
14AUG17	S	6	PD14NOV17	2033.00	2119.29	USD	-12,943.50	
14AUG17	B	1	PD14NOV17	2032.50	2119.29	USD	2,169.75	
14AUG17	S	1	PD14NOV17	2033.50	2119.29	USD	-2,144.75	
14AUG17	S	1	PD14NOV17	2034.50	2119.29	USD	-2,119.75	
14AUG17	S	2	PD14NOV17	2034.00	2119.29	USD	-4,264.50	
14AUG17	S	1	PD14NOV17	2032.50	2119.29	USD	-2,169.75	
14AUG17	B	3	PD14NOV17	2033.00	2119.29	USD	6,471.75	
14AUG17	S	3	PD14NOV17	2030.00	2119.29	USD	-6,696.75	
14AUG17	B	1	PD14NOV17	2030.50	2119.29	USD	2,219.75	
14AUG17	B	1	PD14NOV17	2031.50	2119.29	USD	2,194.75	
14AUG17	B	1	PD14NOV17	2029.50	2119.29	USD	2,244.75	
14AUG17	B	1	PD14NOV17	2024.00	2119.29	USD	2,382.25	
17AUG17	B	5	PD14NOV17	2076.20	2119.29	USD	5,386.25	
22AUG17	B	5	PD14NOV17	2074.20	2119.29	USD	5,636.25	
05SEP17	B	2	PD14NOV17	2092.74	2119.29	USD	1,327.50	
05SEP17	B	2	PD14NOV17	2092.94	2119.29	USD	1,317.50	
11SEP17	B	2	PD14NOV17	2116.41	2119.29	USD	144.00	
12SEP17	B	2	PD14NOV17	2130.28	2119.29	USD	-549.50	
19SEP17	S	1	PD14NOV17	2114.83	2119.29	USD	-111.50	
	NET	0 AVG BGHT 2064.37	SOLD 2035.51				-23,810.25	0.00
15AUG17	S	15	PD15NOV17	2028.00	2119.75	USD	-34,406.25	
15AUG17	S	1	PD15NOV17	2026.00	2119.75	USD	-2,343.75	
15AUG17	S	5	PD15NOV17	2025.50	2119.75	USD	-11,781.25	
15AUG17	S	1	PD15NOV17	2022.50	2119.75	USD	-2,431.25	
15AUG17	B	1	PD15NOV17	2030.00	2119.75	USD	2,243.75	
15AUG17	B	1	PD15NOV17	2031.00	2119.75	USD	2,218.75	
15AUG17	B	1	PD15NOV17	2032.00	2119.75	USD	2,193.75	
15AUG17	B	1	PD15NOV17	2034.00	2119.75	USD	2,143.75	
15AUG17	B	1	PD15NOV17	2035.50	2119.75	USD	2,106.25	
15AUG17	S	1	PD15NOV17	2054.00	2119.75	USD	-1,643.75	
15AUG17	S	1	PD15NOV17	2053.50	2119.75	USD	-1,656.25	
15AUG17	S	1	PD15NOV17	2051.50	2119.75	USD	-1,706.25	

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	<u>LME ALUMINIUM 25 TONNES. THIS IS AN</u>							
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15AUG17	S	2	PD15NOV17	2050.00	2119.75	USD	-3,487.50	
15AUG17	S	1	PD15NOV17	2052.00	2119.75	USD	-1,693.75	
15AUG17	B	7	PD15NOV17	2052.00	2119.75	USD	11,856.25	
15AUG17	B	1	PD15NOV17	2053.50	2119.75	USD	1,656.25	
15AUG17	S	2	PD15NOV17	2051.00	2119.75	USD	-3,437.50	
15AUG17	S	1	PD15NOV17	2048.00	2119.75	USD	-1,793.75	
15AUG17	B	1	PD15NOV17	2044.50	2119.75	USD	1,881.25	
15AUG17	B	1	PD15NOV17	2046.00	2119.75	USD	1,843.75	
15AUG17	S	1	PD15NOV17	2046.00	2119.75	USD	-1,843.75	
15AUG17	B	1	PD15NOV17	2043.50	2119.75	USD	1,906.25	
15AUG17	B	1	PD15NOV17	2044.00	2119.75	USD	1,893.75	
15AUG17	S	2	PD15NOV17	2049.50	2119.75	USD	-3,512.50	
15AUG17	S	3	PD15NOV17	2050.50	2119.75	USD	-5,193.75	
22AUG17	B	20	PD15NOV17	2074.45	2119.75	USD	22,650.00	
05SEP17	S	2	PD15NOV17	2092.80	2119.75	USD	-1,347.50	
11SEP17	B	2	PD15NOV17	2116.70	2119.75	USD	152.50	
12SEP17	S	2	PD15NOV17	2130.50	2119.75	USD	537.50	
12SEP17	B	1	PD15NOV17	2130.70	2119.75	USD	-273.75	
19SEP17	B	1	PD15NOV17	2115.45	2119.75	USD	107.50	
	NET	0 AVG BGHT 2066.50	SOLD 2043.90				-23,161.25	0.00
16AUG17	S	1	PD16NOV17	2051.00	2120.07	USD	-1,726.75	
16AUG17	B	1	PD16NOV17	2053.50	2120.07	USD	1,664.25	
16AUG17	B	1	PD16NOV17	2055.00	2120.07	USD	1,626.75	
16AUG17	S	1	PD16NOV17	2061.00	2120.07	USD	-1,476.75	
16AUG17	S	1	PD16NOV17	2064.00	2120.07	USD	-1,401.75	
16AUG17	B	2	PD16NOV17	2064.00	2120.07	USD	2,803.50	
16AUG17	B	1	PD16NOV17	2061.00	2120.07	USD	1,476.75	
16AUG17	B	1	PD16NOV17	2065.00	2120.07	USD	1,376.75	
16AUG17	S	1	PD16NOV17	2061.50	2120.07	USD	-1,464.25	
16AUG17	B	2	PD16NOV17	2060.00	2120.07	USD	3,003.50	
16AUG17	B	2	PD16NOV17	2064.50	2120.07	USD	2,778.50	
16AUG17	B	1	PD16NOV17	2067.50	2120.07	USD	1,314.25	
16AUG17	B	1	PD16NOV17	2074.50	2120.07	USD	1,139.25	
16AUG17	B	1	PD16NOV17	2068.00	2120.07	USD	1,301.75	
16AUG17	B	1	PD16NOV17	2068.50	2120.07	USD	1,289.25	
16AUG17	B	1	PD16NOV17	2071.00	2120.07	USD	1,226.75	
16AUG17	B	1	PD16NOV17	2072.00	2120.07	USD	1,201.75	
16AUG17	B	1	PD16NOV17	2070.50	2120.07	USD	1,239.25	
16AUG17	S	2	PD16NOV17	2074.00	2120.07	USD	-2,303.50	
16AUG17	B	2	PD16NOV17	2076.50	2120.07	USD	2,178.50	
16AUG17	B	1	PD16NOV17	2080.00	2120.07	USD	1,001.75	
16AUG17	B	1	PD16NOV17	2091.50	2120.07	USD	714.25	
16AUG17	B	1	PD16NOV17	2092.00	2120.07	USD	701.75	
16AUG17	S	1	PD16NOV17	2090.50	2120.07	USD	-739.25	
16AUG17	S	1	PD16NOV17	2089.00	2120.07	USD	-776.75	
16AUG17	S	1	PD16NOV17	2088.50	2120.07	USD	-789.25	
16AUG17	B	1	PD16NOV17	2096.00	2120.07	USD	601.75	
16AUG17	S	1	PD16NOV17	2094.50	2120.07	USD	-639.25	
16AUG17	B	1	PD16NOV17	2096.50	2120.07	USD	589.25	
16AUG17	B	2	PD16NOV17	2098.00	2120.07	USD	1,103.50	
16AUG17	B	2	PD16NOV17	2095.00	2120.07	USD	1,253.50	
16AUG17	B	1	PD16NOV17	2101.50	2120.07	USD	464.25	
16AUG17	B	2	PD16NOV17	2094.00	2120.07	USD	1,303.50	
16AUG17	B	1	PD16NOV17	2087.00	2120.07	USD	826.75	
30AUG17	S	4	PD16NOV17	2085.75	2120.07	USD	-3,432.00	
05SEP17	S	6	PD16NOV17	2092.38	2120.07	USD	-4,153.50	
06SEP17	S	2	PD16NOV17	2100.63	2120.07	USD	-972.00	

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11SEP17	S	5	PD16NOV17	2115.88	2120.07	USD	-523.75	
12SEP17	B	1	PD16NOV17	2129.88	2120.07	USD	-245.25	
12SEP17	S	4	PD16NOV17	2129.88	2120.07	USD	981.00	
20SEP17	S	2	PD16NOV17	2167.53	2120.07	USD	2,373.00	
	NET	0AVG BGHT 2078.94	SOLD 2099.41				16,891.00	0.00
17AUG17	S	1	PD17NOV17	2091.50	2120.38	USD	-722.00	
17AUG17	B	1	PD17NOV17	2090.50	2120.38	USD	747.00	
17AUG17	B	1	PD17NOV17	2094.00	2120.38	USD	659.50	
17AUG17	S	1	PD17NOV17	2097.50	2120.38	USD	-572.00	
17AUG17	S	1	PD17NOV17	2109.50	2120.38	USD	-272.00	
17AUG17	S	1	PD17NOV17	2109.00	2120.38	USD	-284.50	
17AUG17	S	1	PD17NOV17	2101.00	2120.38	USD	-484.50	
17AUG17	B	1	PD17NOV17	2101.00	2120.38	USD	484.50	
17AUG17	S	2	PD17NOV17	2107.00	2120.38	USD	-669.00	
17AUG17	S	1	PD17NOV17	2080.00	2120.38	USD	-1,009.50	
17AUG17	S	1	PD17NOV17	2107.50	2120.38	USD	-322.00	
17AUG17	B	3	PD17NOV17	2081.00	2120.38	USD	2,953.50	
17AUG17	B	1	PD17NOV17	2082.00	2120.38	USD	959.50	
17AUG17	B	1	PD17NOV17	2082.50	2120.38	USD	947.00	
17AUG17	S	5	PD17NOV17	2082.50	2120.38	USD	-4,735.00	
17AUG17	B	1	PD17NOV17	2083.50	2120.38	USD	922.00	
17AUG17	B	1	PD17NOV17	2084.00	2120.38	USD	909.50	
17AUG17	B	1	PD17NOV17	2067.50	2120.38	USD	1,322.00	
17AUG17	S	1	PD17NOV17	2076.00	2120.38	USD	-1,109.50	
17AUG17	S	1	PD17NOV17	2069.50	2120.38	USD	-1,272.00	
17AUG17	S	3	PD17NOV17	2071.00	2120.38	USD	-3,703.50	
17AUG17	B	1	PD17NOV17	2076.00	2120.38	USD	1,109.50	
17AUG17	S	1	PD17NOV17	2077.00	2120.38	USD	-1,084.50	
17AUG17	S	2	PD17NOV17	2074.00	2120.38	USD	-2,319.00	
17AUG17	S	2	PD17NOV17	2078.00	2120.38	USD	-2,119.00	
17AUG17	B	1	PD17NOV17	2073.50	2120.38	USD	1,172.00	
17AUG17	S	3	PD17NOV17	2068.50	2120.38	USD	-3,891.00	
18AUG17	S	1	PD17NOV17	2065.00	2120.38	USD	-1,384.50	
18AUG17	B	1	PD17NOV17	2068.50	2120.38	USD	1,297.00	
18AUG17	B	1	PD17NOV17	2071.00	2120.38	USD	1,234.50	
18AUG17	S	2	PD17NOV17	2072.50	2120.38	USD	-2,394.00	
18AUG17	S	11	PD17NOV17	2071.00	2120.38	USD	-13,579.50	
18AUG17	S	25	PD17NOV17	2072.00	2120.38	USD	-30,237.50	
18AUG17	S	29	PD17NOV17	2071.50	2120.38	USD	-35,438.00	
18AUG17	B	1	PD17NOV17	2081.50	2120.38	USD	972.00	
18AUG17	B	1	PD17NOV17	2085.50	2120.38	USD	872.00	
18AUG17	B	1	PD17NOV17	2084.50	2120.38	USD	897.00	
18AUG17	S	1	PD17NOV17	2084.00	2120.38	USD	-909.50	
18AUG17	S	1	PD17NOV17	2082.50	2120.38	USD	-947.00	
18AUG17	S	1	PD17NOV17	2082.00	2120.38	USD	-959.50	
18AUG17	S	1	PD17NOV17	2071.00	2120.38	USD	-1,234.50	
18AUG17	S	2	PD17NOV17	2071.50	2120.38	USD	-2,444.00	
18AUG17	B	1	PD17NOV17	2084.50	2120.38	USD	897.00	
18AUG17	B	1	PD17NOV17	2086.50	2120.38	USD	847.00	
18AUG17	S	1	PD17NOV17	2088.50	2120.38	USD	-797.00	
18AUG17	B	1	PD17NOV17	2087.50	2120.38	USD	822.00	
18AUG17	S	1	PD17NOV17	2087.50	2120.38	USD	-822.00	
18AUG17	S	1	PD17NOV17	2079.50	2120.38	USD	-1,022.00	
18AUG17	S	1	PD17NOV17	2069.50	2120.38	USD	-1,272.00	
18AUG17	B	2	PD17NOV17	2063.00	2120.38	USD	2,869.00	
18AUG17	S	1	PD17NOV17	2062.00	2120.38	USD	-1,459.50	
22AUG17	B	70	PD17NOV17	2074.16	2120.38	USD	80,885.00	

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	<u>LME ALUMINIUM 25 TONNES. THIS IS AN</u>							
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23AUG17	B	7	PD17NOV17	2096.11	2120.38	USD	4,247.25	
05SEP17	B	4	PD17NOV17	2091.95	2120.38	USD	2,843.00	
11SEP17	B	2	PD17NOV17	2115.45	2120.38	USD	246.50	
12SEP17	B	1	PD17NOV17	2129.45	2120.38	USD	-226.75	
12SEP17	S	1	PD17NOV17	2129.05	2120.38	USD	216.75	
15SEP17	S	1	PD17NOV17	2078.32	2120.38	USD	-1,051.50	
20SEP17	B	2	PD17NOV17	2168.01	2120.38	USD	-2,381.50	
	NET	+1AVG BGHT 2080.56	SOLD 2075.82				-11,797.25	0.00
21AUG17	B	1	PD21NOV17	2096.50	2121.65	USD	628.75	
21AUG17	S	1	PD21NOV17	2087.50	2121.65	USD	-853.75	
21AUG17	S	1	PD21NOV17	2076.00	2121.65	USD	-1,141.25	
21AUG17	B	1	PD21NOV17	2074.50	2121.65	USD	1,178.75	
21AUG17	S	1	PD21NOV17	2076.50	2121.65	USD	-1,128.75	
21AUG17	B	1	PD21NOV17	2073.50	2121.65	USD	1,203.75	
21AUG17	B	1	PD21NOV17	2077.50	2121.65	USD	1,103.75	
21AUG17	B	1	PD21NOV17	2076.50	2121.65	USD	1,128.75	
05SEP17	S	2	PD21NOV17	2092.69	2121.65	USD	-1,448.00	
11SEP17	S	1	PD21NOV17	2116.38	2121.65	USD	-131.75	
12SEP17	B	2	PD21NOV17	2130.69	2121.65	USD	-452.00	
	NET	+1AVG BGHT 2094.27	SOLD 2090.29				88.25	0.00
22AUG17	S	1	PD22NOV17	2071.00	2121.96	USD	-1,274.00	
22AUG17	S	1	PD22NOV17	2070.00	2121.96	USD	-1,299.00	
22AUG17	S	1	PD22NOV17	2075.50	2121.96	USD	-1,161.50	
22AUG17	S	1	PD22NOV17	2075.00	2121.96	USD	-1,174.00	
22AUG17	S	3	PD22NOV17	2074.00	2121.96	USD	-3,597.00	
22AUG17	S	3	PD22NOV17	2074.50	2121.96	USD	-3,559.50	
22AUG17	S	1	PD22NOV17	2073.50	2121.96	USD	-1,211.50	
22AUG17	S	3	PD22NOV17	2077.50	2121.96	USD	-3,334.50	
22AUG17	B	1	PD22NOV17	2082.00	2121.96	USD	999.00	
22AUG17	B	1	PD22NOV17	2080.50	2121.96	USD	1,036.50	
22AUG17	S	1	PD22NOV17	2078.50	2121.96	USD	-1,086.50	
22AUG17	B	1	PD22NOV17	2079.00	2121.96	USD	1,074.00	
22AUG17	B	1	PD22NOV17	2080.00	2121.96	USD	1,049.00	
22AUG17	B	1	PD22NOV17	2083.00	2121.96	USD	974.00	
22AUG17	B	1	PD22NOV17	2081.00	2121.96	USD	1,024.00	
22AUG17	B	1	PD22NOV17	2076.00	2121.96	USD	1,149.00	
22AUG17	B	3	PD22NOV17	2077.00	2121.96	USD	3,372.00	
22AUG17	B	1	PD22NOV17	2075.50	2121.96	USD	1,161.50	
22AUG17	S	1	PD22NOV17	2072.50	2121.96	USD	-1,236.50	
22AUG17	S	1	PD22NOV17	2071.50	2121.96	USD	-1,261.50	
22AUG17	B	1	PD22NOV17	2074.00	2121.96	USD	1,199.00	
22AUG17	S	1	PD22NOV17	2069.00	2121.96	USD	-1,324.00	
22AUG17	S	2	PD22NOV17	2083.50	2121.96	USD	-1,923.00	
22AUG17	S	2	PD22NOV17	2081.00	2121.96	USD	-2,048.00	
22AUG17	S	5	PD22NOV17	2076.00	2121.96	USD	-5,745.00	
22AUG17	S	1	PD22NOV17	2077.00	2121.96	USD	-1,124.00	
22AUG17	S	4	PD22NOV17	2076.50	2121.96	USD	-4,546.00	
22AUG17	B	1	PD22NOV17	2079.50	2121.96	USD	1,061.50	
23AUG17	B	3	PD22NOV17	2096.89	2121.96	USD	1,880.25	
05SEP17	B	3	PD22NOV17	2093.13	2121.96	USD	2,162.25	
14SEP17	B	10	PD22NOV17	2092.32	2121.96	USD	7,410.00	
15SEP17	B	1	PD22NOV17	2079.93	2121.96	USD	1,050.75	
	NET	-2AVG BGHT 2086.49	SOLD 2075.83				-10,302.75	0.00
23AUG17	S	1	PD24NOV17	2066.00	2122.60	USD	-1,415.00	
23AUG17	S	1	PD24NOV17	2067.00	2122.60	USD	-1,390.00	
23AUG17	B	1	PD24NOV17	2069.50	2122.60	USD	1,327.50	

OPEN POSITION STATEMENT



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SAP0094X

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Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

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	<u>LME ALUMINIUM 25 TONNES. THIS IS AN</u>							
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23AUG17	S	1	PD24NOV17	2074.00	2122.60	USD	-1,215.00	
23AUG17	S	1	PD24NOV17	2072.50	2122.60	USD	-1,252.50	
23AUG17	S	1	PD24NOV17	2072.00	2122.60	USD	-1,265.00	
23AUG17	B	1	PD24NOV17	2080.50	2122.60	USD	1,052.50	
23AUG17	B	1	PD24NOV17	2078.00	2122.60	USD	1,115.00	
23AUG17	B	1	PD24NOV17	2082.50	2122.60	USD	1,002.50	
23AUG17	B	3	PD24NOV17	2083.00	2122.60	USD	2,970.00	
23AUG17	S	1	PD24NOV17	2083.50	2122.60	USD	-977.50	
23AUG17	S	1	PD24NOV17	2087.50	2122.60	USD	-877.50	
23AUG17	B	2	PD24NOV17	2090.00	2122.60	USD	1,630.00	
23AUG17	B	2	PD24NOV17	2089.00	2122.60	USD	1,680.00	
23AUG17	B	1	PD24NOV17	2094.00	2122.60	USD	715.00	
23AUG17	B	1	PD24NOV17	2092.00	2122.60	USD	765.00	
23AUG17	B	2	PD24NOV17	2093.00	2122.60	USD	1,480.00	
23AUG17	B	1	PD24NOV17	2093.50	2122.60	USD	727.50	
23AUG17	S	1	PD24NOV17	2094.50	2122.60	USD	-702.50	
23AUG17	B	2	PD24NOV17	2094.50	2122.60	USD	1,405.00	
23AUG17	B	1	PD24NOV17	2090.50	2122.60	USD	802.50	
23AUG17	B	2	PD24NOV17	2089.50	2122.60	USD	1,655.00	
23AUG17	S	1	PD24NOV17	2092.50	2122.60	USD	-752.50	
23AUG17	B	1	PD24NOV17	2085.50	2122.60	USD	927.50	
23AUG17	S	1	PD24NOV17	2101.00	2122.60	USD	-540.00	
23AUG17	S	1	PD24NOV17	2105.50	2122.60	USD	-427.50	
23AUG17	S	1	PD24NOV17	2103.50	2122.60	USD	-477.50	
23AUG17	S	1	PD24NOV17	2117.50	2122.60	USD	-127.50	
23AUG17	B	2	PD24NOV17	2110.00	2122.60	USD	630.00	
23AUG17	S	1	PD24NOV17	2106.00	2122.60	USD	-415.00	
24AUG17	B	1	PD24NOV17	2103.00	2122.60	USD	490.00	
24AUG17	S	3	PD24NOV17	2097.00	2122.60	USD	-1,920.00	
24AUG17	S	2	PD24NOV17	2096.00	2122.60	USD	-1,330.00	
24AUG17	S	1	PD24NOV17	2096.50	2122.60	USD	-652.50	
24AUG17	S	1	PD24NOV17	2100.50	2122.60	USD	-552.50	
24AUG17	S	2	PD24NOV17	2099.00	2122.60	USD	-1,180.00	
24AUG17	S	2	PD24NOV17	2095.00	2122.60	USD	-1,380.00	
24AUG17	S	1	PD24NOV17	2094.00	2122.60	USD	-715.00	
24AUG17	S	1	PD24NOV17	2090.50	2122.60	USD	-802.50	
24AUG17	S	1	PD24NOV17	2092.00	2122.60	USD	-765.00	
24AUG17	B	1	PD24NOV17	2092.50	2122.60	USD	752.50	
24AUG17	B	1	PD24NOV17	2102.00	2122.60	USD	515.00	
24AUG17	S	2	PD24NOV17	2103.50	2122.60	USD	-955.00	
24AUG17	S	1	PD24NOV17	2103.00	2122.60	USD	-490.00	
24AUG17	S	5	PD24NOV17	2102.50	2122.60	USD	-2,512.50	
24AUG17	S	1	PD24NOV17	2101.50	2122.60	USD	-527.50	
24AUG17	S	1	PD24NOV17	2100.00	2122.60	USD	-565.00	
24AUG17	S	3	PD24NOV17	2102.00	2122.60	USD	-1,545.00	
24AUG17	S	1	PD24NOV17	2102.00	2122.60	USD	-515.00	
24AUG17	S	1	PD24NOV17	2119.00	2122.60	USD	-90.00	
25AUG17	S	1	PD24NOV17	2109.00	2122.60	USD	-340.00	
25AUG17	B	1	PD24NOV17	2102.00	2122.60	USD	515.00	
25AUG17	B	1	PD24NOV17	2112.00	2122.60	USD	265.00	
25AUG17	B	1	PD24NOV17	2100.00	2122.60	USD	565.00	
25AUG17	B	1	PD24NOV17	2098.50	2122.60	USD	602.50	
25AUG17	B	1	PD24NOV17	2097.50	2122.60	USD	627.50	
25AUG17	B	1	PD24NOV17	2096.00	2122.60	USD	665.00	
25AUG17	B	1	PD24NOV17	2095.50	2122.60	USD	677.50	
25AUG17	B	1	PD24NOV17	2095.00	2122.60	USD	690.00	
25AUG17	B	1	PD24NOV17	2094.50	2122.60	USD	702.50	

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	<u>LME ALUMINIUM 25 TONNES. THIS IS AN</u>							
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25AUG17	B	1	PD24NOV17	2093.50	2122.60	USD	727.50	
25AUG17	B	1	PD24NOV17	2094.00	2122.60	USD	715.00	
25AUG17	S	1	PD24NOV17	2096.00	2122.60	USD	-665.00	
25AUG17	S	1	PD24NOV17	2095.50	2122.60	USD	-677.50	
25AUG17	S	1	PD24NOV17	2094.50	2122.60	USD	-702.50	
25AUG17	B	1	PD24NOV17	2098.00	2122.60	USD	615.00	
25AUG17	B	3	PD24NOV17	2097.00	2122.60	USD	1,920.00	
25AUG17	B	1	PD24NOV17	2086.50	2122.60	USD	902.50	
25AUG17	S	1	PD24NOV17	2086.50	2122.60	USD	-902.50	
25AUG17	S	1	PD24NOV17	2086.00	2122.60	USD	-915.00	
25AUG17	S	1	PD24NOV17	2085.00	2122.60	USD	-940.00	
25AUG17	B	1	PD24NOV17	2085.50	2122.60	USD	927.50	
25AUG17	B	1	PD24NOV17	2091.00	2122.60	USD	790.00	
25AUG17	S	1	PD24NOV17	2093.00	2122.60	USD	-740.00	
25AUG17	S	4	PD24NOV17	2096.00	2122.60	USD	-2,660.00	
25AUG17	S	2	PD24NOV17	2095.50	2122.60	USD	-1,355.00	
25AUG17	S	1	PD24NOV17	2095.00	2122.60	USD	-690.00	
25AUG17	S	1	PD24NOV17	2076.50	2122.60	USD	-1,152.50	
25AUG17	B	2	PD24NOV17	2071.00	2122.60	USD	2,580.00	
30AUG17	B	8	PD24NOV17	2086.55	2122.60	USD	7,210.00	
05SEP17	S	1	PD24NOV17	2093.40	2122.60	USD	-730.00	
11SEP17	B	1	PD24NOV17	2117.42	2122.60	USD	129.50	
12SEP17	B	5	PD24NOV17	2131.62	2122.60	USD	-1,127.50	
15SEP17	S	1	PD24NOV17	2080.29	2122.60	USD	-1,057.75	
	NET	0 AVG BGHT 2094.83	SOLD 2095.15				481.75	0.00
29AUG17	B	2	PD29NOV17	2076.00	2124.18	USD	2,409.00	
29AUG17	B	1	PD29NOV17	2077.00	2124.18	USD	1,179.50	
29AUG17	B	1	PD29NOV17	2080.00	2124.18	USD	1,104.50	
29AUG17	B	1	PD29NOV17	2075.50	2124.18	USD	1,217.00	
29AUG17	S	1	PD29NOV17	2071.50	2124.18	USD	-1,317.00	
29AUG17	S	1	PD29NOV17	2071.00	2124.18	USD	-1,329.50	
29AUG17	B	1	PD29NOV17	2072.00	2124.18	USD	1,304.50	
29AUG17	B	1	PD29NOV17	2082.50	2124.18	USD	1,042.00	
29AUG17	S	1	PD29NOV17	2099.50	2124.18	USD	-617.00	
29AUG17	S	1	PD29NOV17	2098.00	2124.18	USD	-654.50	
29AUG17	S	1	PD29NOV17	2098.50	2124.18	USD	-642.00	
29AUG17	S	1	PD29NOV17	2097.50	2124.18	USD	-667.00	
29AUG17	S	2	PD29NOV17	2099.00	2124.18	USD	-1,259.00	
29AUG17	S	3	PD29NOV17	2095.00	2124.18	USD	-2,188.50	
29AUG17	S	1	PD29NOV17	2094.00	2124.18	USD	-754.50	
30AUG17	B	1	PD29NOV17	2087.51	2124.18	USD	916.75	
05SEP17	B	1	PD29NOV17	2094.78	2124.18	USD	735.00	
12SEP17	B	2	PD29NOV17	2132.97	2124.18	USD	-439.50	
15SEP17	B	1	PD29NOV17	2081.91	2124.18	USD	1,056.75	
	NET	0 AVG BGHT 2089.10	SOLD 2092.75				1,096.50	0.00
30AUG17	B	1	PD30NOV17	2093.50	2124.49	USD	774.75	
30AUG17	B	1	PD30NOV17	2097.00	2124.49	USD	687.25	
30AUG17	B	1	PD30NOV17	2086.50	2124.49	USD	949.75	
30AUG17	B	1	PD30NOV17	2087.00	2124.49	USD	937.25	
30AUG17	B	1	PD30NOV17	2094.00	2124.49	USD	762.25	
30AUG17	B	2	PD30NOV17	2087.50	2124.49	USD	1,849.50	
30AUG17	B	2	PD30NOV17	2086.00	2124.49	USD	1,924.50	
30AUG17	B	2	PD30NOV17	2088.50	2124.49	USD	1,799.50	
30AUG17	S	5	PD30NOV17	2088.00	2124.49	USD	-4,561.25	
30AUG17	S	1	PD30NOV17	2090.50	2124.49	USD	-849.75	
30AUG17	S	1	PD30NOV17	2090.00	2124.49	USD	-862.25	
30AUG17	S	1	PD30NOV17	2086.50	2124.49	USD	-949.75	

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	<u>LME ALUMINIUM 25 TONNES. THIS IS AN</u>							
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30AUG17	B	1	PD30NOV17	2089.00	2124.49	USD	887.25	
30AUG17	S	2	PD30NOV17	2089.00	2124.49	USD	-1,774.50	
31AUG17	B	3	PD30NOV17	2093.00	2124.49	USD	2,361.75	
31AUG17	B	2	PD30NOV17	2093.50	2124.49	USD	1,549.50	
31AUG17	B	7	PD30NOV17	2094.00	2124.49	USD	5,335.75	
31AUG17	B	3	PD30NOV17	2095.00	2124.49	USD	2,211.75	
31AUG17	B	2	PD30NOV17	2096.00	2124.49	USD	1,424.50	
31AUG17	B	1	PD30NOV17	2098.00	2124.49	USD	662.25	
31AUG17	B	1	PD30NOV17	2101.00	2124.49	USD	587.25	
31AUG17	S	1	PD30NOV17	2102.00	2124.49	USD	-562.25	
31AUG17	B	1	PD30NOV17	2099.50	2124.49	USD	624.75	
31AUG17	B	1	PD30NOV17	2099.00	2124.49	USD	637.25	
31AUG17	S	1	PD30NOV17	2131.50	2124.49	USD	175.25	
31AUG17	S	3	PD30NOV17	2132.00	2124.49	USD	563.25	
31AUG17	S	1	PD30NOV17	2132.50	2124.49	USD	200.25	
31AUG17	S	2	PD30NOV17	2129.50	2124.49	USD	250.50	
31AUG17	B	5	PD30NOV17	2131.00	2124.49	USD	-813.75	
31AUG17	B	3	PD30NOV17	2130.50	2124.49	USD	-450.75	
31AUG17	S	4	PD30NOV17	2130.00	2124.49	USD	551.00	
31AUG17	S	1	PD30NOV17	2128.00	2124.49	USD	87.75	
31AUG17	B	1	PD30NOV17	2127.50	2124.49	USD	-75.25	
31AUG17	B	1	PD30NOV17	2124.00	2124.49	USD	12.25	
31AUG17	B	2	PD30NOV17	2118.00	2124.49	USD	324.50	
31AUG17	B	2	PD30NOV17	2118.50	2124.49	USD	299.50	
31AUG17	B	2	PD30NOV17	2119.00	2124.49	USD	274.50	
31AUG17	S	2	PD30NOV17	2131.00	2124.49	USD	325.50	
31AUG17	S	1	PD30NOV17	2131.50	2124.49	USD	175.25	
31AUG17	B	1	PD30NOV17	2116.00	2124.49	USD	212.25	
06SEP17	S	2	PD30NOV17	2103.08	2124.49	USD	-1,070.50	
11SEP17	S	1	PD30NOV17	2118.91	2124.49	USD	-139.50	
12SEP17	S	3	PD30NOV17	2133.28	2124.49	USD	659.25	
14SEP17	S	15	PD30NOV17	2094.26	2124.49	USD	-11,336.25	
15SEP17	S	2	PD30NOV17	2081.99	2124.49	USD	-2,125.00	
19SEP17	S	1	PD30NOV17	2119.55	2124.49	USD	-123.50	
	NET	0 AVG BGHT 2103.89	SOLD 2107.40				4,383.50	0.00
01SEP17	B	1	PD01DEC17	2128.00	2124.81	USD	-79.75	
01SEP17	S	1	PD01DEC17	2140.00	2124.81	USD	379.75	
01SEP17	S	1	PD01DEC17	2144.00	2124.81	USD	479.75	
01SEP17	S	1	PD01DEC17	2142.00	2124.81	USD	429.75	
01SEP17	B	1	PD01DEC17	2124.00	2124.81	USD	20.25	
01SEP17	B	3	PD01DEC17	2122.50	2124.81	USD	173.25	
01SEP17	S	2	PD01DEC17	2125.00	2124.81	USD	9.50	
01SEP17	B	1	PD01DEC17	2129.00	2124.81	USD	-104.75	
01SEP17	B	2	PD01DEC17	2128.50	2124.81	USD	-184.50	
01SEP17	B	2	PD01DEC17	2127.00	2124.81	USD	-109.50	
01SEP17	B	3	PD01DEC17	2126.00	2124.81	USD	-89.25	
01SEP17	B	1	PD01DEC17	2130.50	2124.81	USD	-142.25	
01SEP17	B	1	PD01DEC17	2131.00	2124.81	USD	-154.75	
01SEP17	B	1	PD01DEC17	2136.50	2124.81	USD	-292.25	
06SEP17	B	1	PD01DEC17	2103.52	2124.81	USD	532.25	
06SEP17	S	2	PD01DEC17	2103.32	2124.81	USD	-1,074.50	
11SEP17	S	2	PD01DEC17	2119.19	2124.81	USD	-281.00	
12SEP17	S	2	PD01DEC17	2133.39	2124.81	USD	429.00	
15SEP17	S	4	PD01DEC17	2082.27	2124.81	USD	-4,254.00	
19SEP17	S	2	PD01DEC17	2119.84	2124.81	USD	-248.50	
	NET	0 AVG BGHT 2125.82	SOLD 2115.09				-4,561.50	0.00
04SEP17	S	1	PD04DEC17	2136.00	2125.76	USD	256.00	

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04SEP17	B	1	PD04DEC17	2138.50	2125.76	USD	-318.50	
04SEP17	B	2	PD04DEC17	2138.00	2125.76	USD	-612.00	
04SEP17	B	1	PD04DEC17	2140.00	2125.76	USD	-356.00	
04SEP17	B	1	PD04DEC17	2141.00	2125.76	USD	-381.00	
04SEP17	S	1	PD04DEC17	2134.50	2125.76	USD	218.50	
04SEP17	S	1	PD04DEC17	2133.50	2125.76	USD	193.50	
04SEP17	S	1	PD04DEC17	2131.50	2125.76	USD	143.50	
04SEP17	S	1	PD04DEC17	2124.00	2125.76	USD	-44.00	
04SEP17	S	1	PD04DEC17	2122.00	2125.76	USD	-94.00	
04SEP17	S	1	PD04DEC17	2124.50	2125.76	USD	-31.50	
04SEP17	S	1	PD04DEC17	2126.50	2125.76	USD	18.50	
04SEP17	S	2	PD04DEC17	2123.50	2125.76	USD	-113.00	
04SEP17	S	3	PD04DEC17	2125.50	2125.76	USD	-19.50	
04SEP17	S	1	PD04DEC17	2125.50	2125.76	USD	-6.50	
04SEP17	B	1	PD04DEC17	2109.00	2125.76	USD	419.00	
04SEP17	B	2	PD04DEC17	2110.00	2125.76	USD	788.00	
04SEP17	B	1	PD04DEC17	2113.50	2125.76	USD	306.50	
04SEP17	B	1	PD04DEC17	2112.50	2125.76	USD	331.50	
04SEP17	S	3	PD04DEC17	2113.50	2125.76	USD	-919.50	
04SEP17	S	3	PD04DEC17	2114.00	2125.76	USD	-882.00	
04SEP17	S	1	PD04DEC17	2116.50	2125.76	USD	-231.50	
04SEP17	B	2	PD04DEC17	2116.50	2125.76	USD	463.00	
04SEP17	B	1	PD04DEC17	2117.00	2125.76	USD	219.00	
04SEP17	B	1	PD04DEC17	2118.00	2125.76	USD	194.00	
04SEP17	B	2	PD04DEC17	2111.00	2125.76	USD	738.00	
05SEP17	B	1	PD04DEC17	2095.96	2125.76	USD	745.00	
06SEP17	B	2	PD04DEC17	2104.23	2125.76	USD	1,076.50	
11SEP17	B	2	PD04DEC17	2120.23	2125.76	USD	276.50	
11SEP17	S	2	PD04DEC17	2120.03	2125.76	USD	-286.50	
12SEP17	B	1	PD04DEC17	2134.72	2125.76	USD	-224.00	
15SEP17	B	1	PD04DEC17	2083.32	2125.76	USD	1,061.00	
	NET	0 AVG BGHT 2117.54	SOLD 2122.63				2,928.50	0.00
05SEP17	S	1	PD05DEC17	2119.00	2126.07	USD	-176.75	
05SEP17	B	1	PD05DEC17	2108.00	2126.07	USD	451.75	
05SEP17	S	2	PD05DEC17	2105.00	2126.07	USD	-1,053.50	
05SEP17	B	1	PD05DEC17	2111.00	2126.07	USD	376.75	
05SEP17	S	2	PD05DEC17	2115.00	2126.07	USD	-553.50	
05SEP17	S	2	PD05DEC17	2106.50	2126.07	USD	-978.50	
05SEP17	S	1	PD05DEC17	2097.50	2126.07	USD	-714.25	
05SEP17	S	1	PD05DEC17	2103.50	2126.07	USD	-564.25	
05SEP17	S	1	PD05DEC17	2098.50	2126.07	USD	-689.25	
05SEP17	S	1	PD05DEC17	2098.00	2126.07	USD	-701.75	
06SEP17	B	3	PD05DEC17	2104.46	2126.07	USD	1,620.75	
12SEP17	B	2	PD05DEC17	2135.03	2126.07	USD	-448.00	
15SEP17	B	2	PD05DEC17	2083.60	2126.07	USD	2,123.50	
19SEP17	B	1	PD05DEC17	2121.19	2126.07	USD	122.00	
20SEP17	B	1	PD05DEC17	2172.82	2126.07	USD	-1,168.75	
	NET	0 AVG BGHT 2114.88	SOLD 2106.32				-2,353.75	0.00
06SEP17	B	1	PD06DEC17	2093.00	2126.39	USD	834.75	
06SEP17	S	1	PD06DEC17	2092.50	2126.39	USD	-847.25	
06SEP17	B	1	PD06DEC17	2092.50	2126.39	USD	847.25	
06SEP17	B	1	PD06DEC17	2091.00	2126.39	USD	884.75	
06SEP17	B	1	PD06DEC17	2091.50	2126.39	USD	872.25	
06SEP17	B	2	PD06DEC17	2095.50	2126.39	USD	1,544.50	
06SEP17	S	1	PD06DEC17	2091.00	2126.39	USD	-884.75	
06SEP17	S	1	PD06DEC17	2089.00	2126.39	USD	-934.75	
06SEP17	S	1	PD06DEC17	2086.50	2126.39	USD	-997.25	

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SAP0094X

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Suite A, 105 Piccadilly
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backoffice@sapiencap.com

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	<u>LME ALUMINIUM 25 TONNES. THIS IS AN</u>							
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06SEP17	S	2	PD06DEC17	2086.00	2126.39	USD	-2,019.50	
06SEP17	S	1	PD06DEC17	2085.50	2126.39	USD	-1,022.25	
06SEP17	S	1	PD06DEC17	2087.00	2126.39	USD	-984.75	
06SEP17	S	2	PD06DEC17	2090.00	2126.39	USD	-1,819.50	
06SEP17	S	1	PD06DEC17	2103.50	2126.39	USD	-572.25	
06SEP17	S	2	PD06DEC17	2108.00	2126.39	USD	-919.50	
11SEP17	B	2	PD06DEC17	2120.79	2126.39	USD	280.00	
12SEP17	B	2	PD06DEC17	2135.14	2126.39	USD	-437.50	
15SEP17	B	2	PD06DEC17	2083.88	2126.39	USD	2,125.50	
19SEP17	B	1	PD06DEC17	2121.47	2126.39	USD	123.00	
	NET	0AVG BGHT 2104.62	SOLD 2092.54				-3,927.25	0.00
07SEP17	B	1	PD07DEC17	2110.50	2126.71	USD	405.25	
07SEP17	B	1	PD07DEC17	2104.50	2126.71	USD	555.25	
07SEP17	S	1	PD07DEC17	2097.50	2126.71	USD	-730.25	
07SEP17	S	1	PD07DEC17	2098.50	2126.71	USD	-705.25	
07SEP17	S	8	PD07DEC17	2098.00	2126.71	USD	-5,742.00	
07SEP17	S	2	PD07DEC17	2091.00	2126.71	USD	-1,785.50	
07SEP17	S	6	PD07DEC17	2091.50	2126.71	USD	-5,281.50	
07SEP17	B	2	PD07DEC17	2092.50	2126.71	USD	1,710.50	
07SEP17	B	1	PD07DEC17	2089.00	2126.71	USD	942.75	
07SEP17	B	1	PD07DEC17	2090.50	2126.71	USD	905.25	
07SEP17	S	3	PD07DEC17	2094.00	2126.71	USD	-2,453.25	
07SEP17	S	1	PD07DEC17	2098.00	2126.71	USD	-717.75	
07SEP17	S	1	PD07DEC17	2092.50	2126.71	USD	-855.25	
07SEP17	S	1	PD07DEC17	2108.00	2126.71	USD	-467.75	
07SEP17	S	1	PD07DEC17	2109.00	2126.71	USD	-442.75	
07SEP17	S	1	PD07DEC17	2111.00	2126.71	USD	-392.75	
07SEP17	S	3	PD07DEC17	2110.00	2126.71	USD	-1,253.25	
07SEP17	S	4	PD07DEC17	2110.50	2126.71	USD	-1,621.00	
07SEP17	B	1	PD07DEC17	2106.50	2126.71	USD	505.25	
07SEP17	S	2	PD07DEC17	2109.50	2126.71	USD	-860.50	
11SEP17	B	5	PD07DEC17	2121.08	2126.71	USD	703.75	
14SEP17	B	20	PD07DEC17	2096.33	2126.71	USD	15,190.00	
15SEP17	B	1	PD07DEC17	2084.17	2126.71	USD	1,063.50	
19SEP17	B	1	PD07DEC17	2121.76	2126.71	USD	123.75	
20SEP17	B	1	PD07DEC17	2173.38	2126.71	USD	-1,166.75	
	NET	0AVG BGHT 2102.78	SOLD 2100.07				-2,370.25	0.00
08SEP17	B	1	PD08DEC17	2117.00	2127.02	USD	250.50	
08SEP17	B	3	PD08DEC17	2119.50	2127.02	USD	564.00	
08SEP17	B	3	PD08DEC17	2119.00	2127.02	USD	601.50	
08SEP17	S	1	PD08DEC17	2121.50	2127.02	USD	-138.00	
08SEP17	S	1	PD08DEC17	2116.00	2127.02	USD	-275.50	
08SEP17	S	3	PD08DEC17	2111.00	2127.02	USD	-1,201.50	
08SEP17	S	1	PD08DEC17	2110.50	2127.02	USD	-413.00	
08SEP17	S	1	PD08DEC17	2109.00	2127.02	USD	-450.50	
08SEP17	S	1	PD08DEC17	2109.50	2127.02	USD	-438.00	
08SEP17	S	1	PD08DEC17	2095.00	2127.02	USD	-800.50	
08SEP17	B	1	PD08DEC17	2098.00	2127.02	USD	725.50	
08SEP17	S	1	PD08DEC17	2093.00	2127.02	USD	-850.50	
08SEP17	B	1	PD08DEC17	2088.50	2127.02	USD	963.00	
08SEP17	S	1	PD08DEC17	2086.50	2127.02	USD	-1,013.00	
08SEP17	B	1	PD08DEC17	2095.00	2127.02	USD	800.50	
08SEP17	S	1	PD08DEC17	2106.00	2127.02	USD	-525.50	
08SEP17	B	1	PD08DEC17	2094.00	2127.02	USD	825.50	
08SEP17	B	1	PD08DEC17	2093.00	2127.02	USD	850.50	
08SEP17	S	1	PD08DEC17	2104.00	2127.02	USD	-575.50	
08SEP17	S	1	PD08DEC17	2103.50	2127.02	USD	-588.00	

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	<u>LME ALUMINIUM 25 TONNES. THIS IS AN</u>							
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08SEP17	S	1	PD08DEC17	2101.50	2127.02	USD	-638.00	
08SEP17	S	2	PD08DEC17	2102.50	2127.02	USD	-1,226.00	
08SEP17	S	1	PD08DEC17	2103.00	2127.02	USD	-600.50	
08SEP17	S	2	PD08DEC17	2101.00	2127.02	USD	-1,301.00	
08SEP17	S	4	PD08DEC17	2100.00	2127.02	USD	-2,702.00	
08SEP17	S	3	PD08DEC17	2102.00	2127.02	USD	-1,876.50	
08SEP17	B	9	PD08DEC17	2100.00	2127.02	USD	6,079.50	
08SEP17	S	1	PD08DEC17	2096.50	2127.02	USD	-763.00	
08SEP17	S	5	PD08DEC17	2097.00	2127.02	USD	-3,752.50	
08SEP17	S	2	PD08DEC17	2100.50	2127.02	USD	-1,326.00	
08SEP17	B	1	PD08DEC17	2090.50	2127.02	USD	913.00	
08SEP17	B	1	PD08DEC17	2093.00	2127.02	USD	850.50	
08SEP17	S	1	PD08DEC17	2097.50	2127.02	USD	-738.00	
08SEP17	S	2	PD08DEC17	2095.50	2127.02	USD	-1,576.00	
08SEP17	B	2	PD08DEC17	2095.00	2127.02	USD	1,601.00	
08SEP17	S	2	PD08DEC17	2090.00	2127.02	USD	-1,851.00	
08SEP17	S	2	PD08DEC17	2100.00	2127.02	USD	-1,351.00	
08SEP17	B	1	PD08DEC17	2100.00	2127.02	USD	675.50	
08SEP17	S	1	PD08DEC17	2096.00	2127.02	USD	-775.50	
08SEP17	B	3	PD08DEC17	2096.00	2127.02	USD	2,326.50	
11SEP17	B	2	PD08DEC17	2121.36	2127.02	USD	283.00	
14SEP17	B	7	PD08DEC17	2096.40	2127.02	USD	5,358.50	
19SEP17	B	2	PD08DEC17	2121.85	2127.02	USD	258.50	
20SEP17	B	1	PD08DEC17	2173.66	2127.02	USD	-1,166.00	
	NET	-2 AVG BGHT 2104.81	SOLD 2101.21				-4,985.50	0.00
11SEP17	B	1	PD11DEC17	2096.00	2127.97	USD	799.25	
11SEP17	B	3	PD11DEC17	2098.00	2127.97	USD	2,247.75	
11SEP17	B	1	PD11DEC17	2101.50	2127.97	USD	661.75	
11SEP17	B	5	PD11DEC17	2102.00	2127.97	USD	3,246.25	
11SEP17	B	3	PD11DEC17	2103.00	2127.97	USD	1,872.75	
11SEP17	B	3	PD11DEC17	2102.50	2127.97	USD	1,910.25	
11SEP17	B	3	PD11DEC17	2103.50	2127.97	USD	1,835.25	
11SEP17	B	2	PD11DEC17	2105.00	2127.97	USD	1,148.50	
11SEP17	B	1	PD11DEC17	2109.50	2127.97	USD	461.75	
11SEP17	B	1	PD11DEC17	2112.00	2127.97	USD	399.25	
11SEP17	B	2	PD11DEC17	2112.50	2127.97	USD	773.50	
11SEP17	S	1	PD11DEC17	2127.50	2127.97	USD	-11.75	
11SEP17	S	1	PD11DEC17	2125.50	2127.97	USD	-61.75	
11SEP17	S	1	PD11DEC17	2127.00	2127.97	USD	-24.25	
11SEP17	S	1	PD11DEC17	2126.50	2127.97	USD	-36.75	
11SEP17	B	2	PD11DEC17	2126.00	2127.97	USD	98.50	
11SEP17	B	1	PD11DEC17	2121.00	2127.97	USD	174.25	
11SEP17	B	4	PD11DEC17	2122.00	2127.97	USD	597.00	
11SEP17	B	1	PD11DEC17	2121.50	2127.97	USD	161.75	
11SEP17	B	1	PD11DEC17	2123.00	2127.97	USD	124.25	
11SEP17	B	3	PD11DEC17	2126.50	2127.97	USD	110.25	
11SEP17	B	3	PD11DEC17	2127.00	2127.97	USD	72.75	
11SEP17	B	3	PD11DEC17	2128.50	2127.97	USD	-39.75	
11SEP17	B	2	PD11DEC17	2127.50	2127.97	USD	23.50	
11SEP17	B	5	PD11DEC17	2128.00	2127.97	USD	-3.75	
11SEP17	B	3	PD11DEC17	2125.50	2127.97	USD	185.25	
11SEP17	B	3	PD11DEC17	2125.00	2127.97	USD	222.75	
11SEP17	B	2	PD11DEC17	2124.50	2127.97	USD	173.50	
11SEP17	B	2	PD11DEC17	2124.00	2127.97	USD	198.50	
11SEP17	B	1	PD11DEC17	2122.50	2127.97	USD	136.75	
11SEP17	B	2	PD11DEC17	2120.00	2127.97	USD	398.50	
11SEP17	B	1	PD11DEC17	2116.50	2127.97	USD	286.75	

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	<u>LME ALUMINIUM 25 TONNES. THIS IS AN</u>							
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11SEP17	B	1	PD11DEC17	2118.00	2127.97	USD	249.25	
11SEP17	B	1	PD11DEC17	2119.00	2127.97	USD	224.25	
12SEP17	S	2	PD11DEC17	2136.49	2127.97	USD	426.00	
14SEP17	S	7	PD11DEC17	2097.00	2127.97	USD	-5,419.75	
14SEP17	S	17	PD11DEC17	2097.20	2127.97	USD	-13,077.25	
15SEP17	S	3	PD11DEC17	2085.00	2127.97	USD	-3,222.75	
18SEP17	S	4	PD11DEC17	2087.43	2127.97	USD	-4,054.00	
19SEP17	S	2	PD11DEC17	2122.51	2127.97	USD	-273.00	
19SEP17	S	4	PD11DEC17	2122.71	2127.97	USD	-526.00	
20SEP17	S	3	PD11DEC17	2174.29	2127.97	USD	3,474.00	
20SEP17	S	9	PD11DEC17	2174.49	2127.97	USD	10,467.00	
21SEP17	S	4	PD11DEC17	2168.99	2127.97	USD	4,102.00	
	NET	+7 AVG BGHT 2116.61	SOLD 2122.38				10,512.25	0.00
12SEP17	S	2	PD12DEC17	2118.00	2128.29	USD	-514.50	
12SEP17	S	2	PD12DEC17	2116.00	2128.29	USD	-614.50	
12SEP17	S	1	PD12DEC17	2115.50	2128.29	USD	-319.75	
12SEP17	S	1	PD12DEC17	2114.00	2128.29	USD	-357.25	
12SEP17	S	2	PD12DEC17	2114.50	2128.29	USD	-689.50	
12SEP17	B	2	PD12DEC17	2120.00	2128.29	USD	414.50	
12SEP17	S	1	PD12DEC17	2124.50	2128.29	USD	-94.75	
12SEP17	B	1	PD12DEC17	2115.00	2128.29	USD	332.25	
12SEP17	B	2	PD12DEC17	2121.00	2128.29	USD	364.50	
12SEP17	B	1	PD12DEC17	2122.50	2128.29	USD	144.75	
12SEP17	B	2	PD12DEC17	2123.50	2128.29	USD	239.50	
12SEP17	B	1	PD12DEC17	2123.00	2128.29	USD	132.25	
12SEP17	B	2	PD12DEC17	2125.00	2128.29	USD	164.50	
12SEP17	S	1	PD12DEC17	2135.50	2128.29	USD	180.25	
12SEP17	S	1	PD12DEC17	2129.00	2128.29	USD	17.75	
12SEP17	S	2	PD12DEC17	2140.00	2128.29	USD	585.50	
12SEP17	S	1	PD12DEC17	2140.50	2128.29	USD	305.25	
14SEP17	B	1	PD12DEC17	2097.67	2128.29	USD	765.50	
20SEP17	B	1	PD12DEC17	2174.97	2128.29	USD	-1,167.00	
	NET	-1 AVG BGHT 2124.01	SOLD 2124.00				-110.75	0.00
13SEP17	S	1	PD13DEC17	2136.50	2128.60	USD	197.50	
13SEP17	S	1	PD13DEC17	2140.50	2128.60	USD	297.50	
13SEP17	S	1	PD13DEC17	2139.00	2128.60	USD	260.00	
13SEP17	S	1	PD13DEC17	2142.00	2128.60	USD	335.00	
13SEP17	S	1	PD13DEC17	2138.50	2128.60	USD	247.50	
13SEP17	S	1	PD13DEC17	2135.50	2128.60	USD	172.50	
13SEP17	S	5	PD13DEC17	2135.00	2128.60	USD	800.00	
13SEP17	S	5	PD13DEC17	2134.00	2128.60	USD	675.00	
13SEP17	S	2	PD13DEC17	2131.00	2128.60	USD	120.00	
13SEP17	S	2	PD13DEC17	2129.50	2128.60	USD	45.00	
13SEP17	S	3	PD13DEC17	2131.50	2128.60	USD	217.50	
13SEP17	S	1	PD13DEC17	2132.00	2128.60	USD	85.00	
13SEP17	B	2	PD13DEC17	2112.00	2128.60	USD	830.00	
13SEP17	B	2	PD13DEC17	2111.50	2128.60	USD	855.00	
13SEP17	S	1	PD13DEC17	2116.00	2128.60	USD	-315.00	
13SEP17	S	1	PD13DEC17	2116.50	2128.60	USD	-302.50	
13SEP17	S	5	PD13DEC17	2114.50	2128.60	USD	-1,762.50	
13SEP17	S	2	PD13DEC17	2114.00	2128.60	USD	-730.00	
13SEP17	S	1	PD13DEC17	2107.00	2128.60	USD	-540.00	
13SEP17	B	1	PD13DEC17	2113.00	2128.60	USD	390.00	
14SEP17	B	16	PD13DEC17	2097.93	2128.60	USD	12,268.00	
15SEP17	B	3	PD13DEC17	2085.70	2128.60	USD	3,217.50	
19SEP17	B	2	PD13DEC17	2123.48	2128.60	USD	256.00	
20SEP17	B	4	PD13DEC17	2175.25	2128.60	USD	-4,665.00	

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	<u>LME ALUMINIUM 25 TONNES. THIS IS AN</u>							
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25SEP17	B	2	PD13DEC17	2146.14	2128.60	USD	-877.00	
	NET	-2 AVG BGHT 2113.26	SOLD 2128.37				12,077.00	0.00
14SEP17	B	1	PD14DEC17	2119.00	2128.92	USD	248.00	
14SEP17	S	1	PD14DEC17	2111.50	2128.92	USD	-435.50	
14SEP17	B	1	PD14DEC17	2112.50	2128.92	USD	410.50	
14SEP17	B	1	PD14DEC17	2113.00	2128.92	USD	398.00	
14SEP17	S	1	PD14DEC17	2112.50	2128.92	USD	-410.50	
14SEP17	B	5	PD14DEC17	2117.50	2128.92	USD	1,427.50	
14SEP17	B	1	PD14DEC17	2112.00	2128.92	USD	423.00	
14SEP17	B	4	PD14DEC17	2111.00	2128.92	USD	1,792.00	
14SEP17	B	1	PD14DEC17	2110.00	2128.92	USD	473.00	
14SEP17	B	1	PD14DEC17	2081.00	2128.92	USD	1,198.00	
14SEP17	B	1	PD14DEC17	2080.50	2128.92	USD	1,210.50	
14SEP17	B	1	PD14DEC17	2082.00	2128.92	USD	1,173.00	
14SEP17	B	1	PD14DEC17	2084.00	2128.92	USD	1,123.00	
14SEP17	B	1	PD14DEC17	2086.50	2128.92	USD	1,060.50	
14SEP17	S	2	PD14DEC17	2094.50	2128.92	USD	-1,721.00	
14SEP17	S	1	PD14DEC17	2095.50	2128.92	USD	-835.50	
14SEP17	B	2	PD14DEC17	2094.50	2128.92	USD	1,721.00	
14SEP17	B	1	PD14DEC17	2095.00	2128.92	USD	848.00	
14SEP17	B	1	PD14DEC17	2095.50	2128.92	USD	835.50	
14SEP17	B	1	PD14DEC17	2101.50	2128.92	USD	685.50	
14SEP17	B	1	PD14DEC17	2102.50	2128.92	USD	660.50	
14SEP17	B	1	PD14DEC17	2102.00	2128.92	USD	673.00	
14SEP17	S	2	PD14DEC17	2093.50	2128.92	USD	-1,771.00	
14SEP17	S	2	PD14DEC17	2096.00	2128.92	USD	-1,646.00	
14SEP17	S	4	PD14DEC17	2093.00	2128.92	USD	-3,592.00	
14SEP17	S	1	PD14DEC17	2096.50	2128.92	USD	-810.50	
14SEP17	B	1	PD14DEC17	2100.00	2128.92	USD	723.00	
14SEP17	B	4	PD14DEC17	2097.00	2128.92	USD	3,192.00	
21SEP17	S	11	PD14DEC17	2169.83	2128.92	USD	11,250.25	
25SEP17	S	2	PD14DEC17	2146.24	2128.92	USD	866.00	
	NET	+4 AVG BGHT 2102.76	SOLD 2130.24				21,169.75	0.00
15SEP17	B	2	PD15DEC17	2104.00	2129.24	USD	1,262.00	
15SEP17	B	2	PD15DEC17	2104.50	2129.24	USD	1,237.00	
15SEP17	B	1	PD15DEC17	2106.00	2129.24	USD	581.00	
15SEP17	B	2	PD15DEC17	2107.00	2129.24	USD	1,112.00	
15SEP17	S	1	PD15DEC17	2105.00	2129.24	USD	-606.00	
15SEP17	S	1	PD15DEC17	2104.00	2129.24	USD	-631.00	
15SEP17	S	1	PD15DEC17	2100.00	2129.24	USD	-731.00	
15SEP17	S	1	PD15DEC17	2097.00	2129.24	USD	-806.00	
15SEP17	S	3	PD15DEC17	2092.00	2129.24	USD	-2,793.00	
15SEP17	S	2	PD15DEC17	2087.50	2129.24	USD	-2,087.00	
15SEP17	S	8	PD15DEC17	2087.00	2129.24	USD	-8,448.00	
15SEP17	S	1	PD15DEC17	2086.50	2129.24	USD	-1,068.50	
15SEP17	S	2	PD15DEC17	2086.00	2129.24	USD	-2,162.00	
15SEP17	S	1	PD15DEC17	2085.00	2129.24	USD	-1,106.00	
15SEP17	B	1	PD15DEC17	2086.00	2129.24	USD	1,081.00	
15SEP17	S	2	PD15DEC17	2092.50	2129.24	USD	-1,837.00	
15SEP17	S	4	PD15DEC17	2088.00	2129.24	USD	-4,124.00	
15SEP17	S	4	PD15DEC17	2090.00	2129.24	USD	-3,924.00	
15SEP17	S	1	PD15DEC17	2090.50	2129.24	USD	-968.50	
15SEP17	S	1	PD15DEC17	2092.50	2129.24	USD	-918.50	
15SEP17	S	1	PD15DEC17	2093.50	2129.24	USD	-893.50	
15SEP17	S	3	PD15DEC17	2095.00	2129.24	USD	-2,568.00	
15SEP17	S	3	PD15DEC17	2095.50	2129.24	USD	-2,530.50	
15SEP17	S	1	PD15DEC17	2077.50	2129.24	USD	-1,293.50	

OPEN POSITION STATEMENT



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SAP0094X

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	<u>LME ALUMINIUM 25 TONNES. THIS IS AN</u>							
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15SEP17	S	1	PD15DEC17	2079.00	2129.24	USD	-1,256.00	
15SEP17	B	1	PD15DEC17	2088.50	2129.24	USD	1,018.50	
15SEP17	B	2	PD15DEC17	2089.00	2129.24	USD	2,012.00	
15SEP17	B	1	PD15DEC17	2089.50	2129.24	USD	993.50	
15SEP17	B	1	PD15DEC17	2087.00	2129.24	USD	1,056.00	
15SEP17	S	1	PD15DEC17	2085.50	2129.24	USD	-1,093.50	
18SEP17	B	4	PD15DEC17	2088.81	2129.24	USD	4,043.00	
19SEP17	B	2	PD15DEC17	2124.05	2129.24	USD	259.50	
20SEP17	B	3	PD15DEC17	2175.81	2129.24	USD	-3,492.75	
21SEP17	B	14	PD15DEC17	2170.31	2129.24	USD	-14,374.50	
25SEP17	B	2	PD15DEC17	2146.74	2129.24	USD	-875.00	
	NET	-5 AVG	BGHT 2133.54				-45,932.25	0.00
			SOLD 2090.31					
18SEP17	B	1	PD18DEC17	2100.50	2130.18	USD	742.00	
18SEP17	S	1	PD18DEC17	2094.50	2130.18	USD	-892.00	
18SEP17	B	1	PD18DEC17	2096.50	2130.18	USD	842.00	
18SEP17	B	1	PD18DEC17	2099.00	2130.18	USD	779.50	
18SEP17	S	1	PD18DEC17	2097.50	2130.18	USD	-817.00	
18SEP17	S	1	PD18DEC17	2090.00	2130.18	USD	-1,004.50	
18SEP17	B	4	PD18DEC17	2097.00	2130.18	USD	3,318.00	
18SEP17	S	1	PD18DEC17	2096.50	2130.18	USD	-842.00	
18SEP17	B	1	PD18DEC17	2091.50	2130.18	USD	967.00	
18SEP17	B	1	PD18DEC17	2090.50	2130.18	USD	992.00	
18SEP17	B	1	PD18DEC17	2100.00	2130.18	USD	754.50	
18SEP17	S	1	PD18DEC17	2098.00	2130.18	USD	-804.50	
18SEP17	S	1	PD18DEC17	2091.00	2130.18	USD	-979.50	
18SEP17	S	1	PD18DEC17	2090.50	2130.18	USD	-992.00	
18SEP17	S	1	PD18DEC17	2091.00	2130.18	USD	-979.50	
18SEP17	B	1	PD18DEC17	2088.00	2130.18	USD	1,054.50	
18SEP17	B	1	PD18DEC17	2089.50	2130.18	USD	1,017.00	
18SEP17	B	7	PD18DEC17	2092.50	2130.18	USD	6,594.00	
18SEP17	B	1	PD18DEC17	2092.00	2130.18	USD	954.50	
18SEP17	B	14	PD18DEC17	2093.00	2130.18	USD	13,013.00	
18SEP17	B	6	PD18DEC17	2095.00	2130.18	USD	5,277.00	
18SEP17	B	1	PD18DEC17	2094.50	2130.18	USD	892.00	
20SEP17	S	7	PD18DEC17	2176.44	2130.18	USD	8,095.50	
21SEP17	S	12	PD18DEC17	2170.94	2130.18	USD	12,228.00	
25SEP17	S	11	PD18DEC17	2147.45	2130.18	USD	4,749.25	
03OCT17	S	1	PD18DEC17	2127.21	2130.18	USD	-74.25	
	NET	+2 AVG	BGHT 2093.89				54,884.50	0.00
			SOLD 2148.32					
19SEP17	B	1	PD19DEC17	2108.00	2130.50	USD	562.50	
19SEP17	S	1	PD19DEC17	2106.00	2130.50	USD	-612.50	
19SEP17	S	1	PD19DEC17	2108.00	2130.50	USD	-562.50	
19SEP17	B	2	PD19DEC17	2108.50	2130.50	USD	1,100.00	
19SEP17	B	1	PD19DEC17	2109.50	2130.50	USD	525.00	
19SEP17	S	1	PD19DEC17	2109.50	2130.50	USD	-525.00	
19SEP17	B	2	PD19DEC17	2105.50	2130.50	USD	1,250.00	
19SEP17	B	1	PD19DEC17	2106.00	2130.50	USD	612.50	
19SEP17	S	1	PD19DEC17	2104.50	2130.50	USD	-650.00	
19SEP17	S	2	PD19DEC17	2102.50	2130.50	USD	-1,400.00	
19SEP17	S	1	PD19DEC17	2103.00	2130.50	USD	-687.50	
19SEP17	B	1	PD19DEC17	2103.00	2130.50	USD	687.50	
19SEP17	B	1	PD19DEC17	2103.50	2130.50	USD	675.00	
19SEP17	B	1	PD19DEC17	2106.50	2130.50	USD	600.00	
19SEP17	B	1	PD19DEC17	2105.50	2130.50	USD	625.00	
19SEP17	B	1	PD19DEC17	2105.00	2130.50	USD	637.50	
19SEP17	S	1	PD19DEC17	2131.50	2130.50	USD	25.00	
19SEP17	S	1	PD19DEC17	2129.50	2130.50	USD	-25.00	

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	<u>LME ALUMINIUM 25 TONNES. THIS IS AN</u>							
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19SEP17	S	2	PD19DEC17	2129.00	2130.50	USD	-75.00	
19SEP17	S	3	PD19DEC17	2128.50	2130.50	USD	-150.00	
19SEP17	S	7	PD19DEC17	2128.00	2130.50	USD	-437.50	
19SEP17	B	1	PD19DEC17	2128.00	2130.50	USD	62.50	
19SEP17	S	8	PD19DEC17	2127.50	2130.50	USD	-600.00	
19SEP17	S	4	PD19DEC17	2127.00	2130.50	USD	-350.00	
19SEP17	S	10	PD19DEC17	2126.50	2130.50	USD	-1,000.00	
19SEP17	S	7	PD19DEC17	2124.50	2130.50	USD	-1,050.00	
19SEP17	S	4	PD19DEC17	2124.00	2130.50	USD	-650.00	
19SEP17	B	8	PD19DEC17	2124.00	2130.50	USD	1,300.00	
19SEP17	B	7	PD19DEC17	2124.50	2130.50	USD	1,050.00	
19SEP17	B	1	PD19DEC17	2126.00	2130.50	USD	112.50	
19SEP17	S	2	PD19DEC17	2121.50	2130.50	USD	-450.00	
19SEP17	S	4	PD19DEC17	2121.00	2130.50	USD	-950.00	
19SEP17	B	5	PD19DEC17	2115.50	2130.50	USD	1,875.00	
19SEP17	B	5	PD19DEC17	2117.50	2130.50	USD	1,625.00	
19SEP17	B	2	PD19DEC17	2124.50	2130.50	USD	300.00	
19SEP17	S	2	PD19DEC17	2124.50	2130.50	USD	-300.00	
19SEP17	B	5	PD19DEC17	2124.00	2130.50	USD	812.50	
19SEP17	S	3	PD19DEC17	2124.00	2130.50	USD	-487.50	
19SEP17	B	1	PD19DEC17	2127.50	2130.50	USD	75.00	
19SEP17	B	3	PD19DEC17	2127.00	2130.50	USD	262.50	
19SEP17	B	1	PD19DEC17	2126.50	2130.50	USD	100.00	
20SEP17	B	8	PD19DEC17	2176.92	2130.50	USD	-9,284.00	
21SEP17	B	3	PD19DEC17	2171.42	2130.50	USD	-3,069.00	
25SEP17	B	1	PD19DEC17	2147.95	2130.50	USD	-436.25	
	NET	-2 AVG BGHT 2129.19	SOLD 2123.77				-8,876.75	0.00
20SEP17	B	4	PD20DEC17	2126.50	2130.50	USD	400.00	
20SEP17	B	1	PD20DEC17	2127.00	2130.50	USD	87.50	
20SEP17	B	2	PD20DEC17	2129.50	2130.50	USD	50.00	
20SEP17	B	1	PD20DEC17	2130.00	2130.50	USD	12.50	
20SEP17	B	1	PD20DEC17	2137.50	2130.50	USD	-175.00	
20SEP17	B	1	PD20DEC17	2140.50	2130.50	USD	-250.00	
20SEP17	B	2	PD20DEC17	2147.00	2130.50	USD	-825.00	
20SEP17	B	9	PD20DEC17	2146.50	2130.50	USD	-3,600.00	
20SEP17	B	1	PD20DEC17	2147.50	2130.50	USD	-425.00	
20SEP17	B	1	PD20DEC17	2149.00	2130.50	USD	-462.50	
20SEP17	B	1	PD20DEC17	2149.50	2130.50	USD	-475.00	
20SEP17	B	1	PD20DEC17	2151.00	2130.50	USD	-512.50	
20SEP17	B	1	PD20DEC17	2155.00	2130.50	USD	-612.50	
20SEP17	B	1	PD20DEC17	2155.50	2130.50	USD	-625.00	
20SEP17	B	4	PD20DEC17	2156.50	2130.50	USD	-2,600.00	
20SEP17	B	1	PD20DEC17	2157.00	2130.50	USD	-662.50	
20SEP17	B	1	PD20DEC17	2157.50	2130.50	USD	-675.00	
20SEP17	B	2	PD20DEC17	2158.00	2130.50	USD	-1,375.00	
20SEP17	B	2	PD20DEC17	2161.50	2130.50	USD	-1,550.00	
20SEP17	B	5	PD20DEC17	2166.00	2130.50	USD	-4,437.50	
20SEP17	B	2	PD20DEC17	2163.50	2130.50	USD	-1,650.00	
20SEP17	B	3	PD20DEC17	2164.00	2130.50	USD	-2,512.50	
20SEP17	B	1	PD20DEC17	2163.00	2130.50	USD	-812.50	
20SEP17	B	4	PD20DEC17	2164.50	2130.50	USD	-3,400.00	
20SEP17	B	2	PD20DEC17	2165.50	2130.50	USD	-1,750.00	
20SEP17	B	1	PD20DEC17	2159.50	2130.50	USD	-725.00	
20SEP17	B	4	PD20DEC17	2156.00	2130.50	USD	-2,550.00	
20SEP17	S	1	PD20DEC17	2172.00	2130.50	USD	1,037.50	
20SEP17	S	1	PD20DEC17	2177.00	2130.50	USD	1,162.50	
20SEP17	S	1	PD20DEC17	2175.00	2130.50	USD	1,112.50	

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	<u>LME ALUMINIUM 25 TONNES. THIS IS AN</u>							
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20SEP17	S	1	PD20DEC17	2175.50	2130.50	USD	1,125.00	
20SEP17	B	1	PD20DEC17	2179.50	2130.50	USD	-1,225.00	
20SEP17	B	1	PD20DEC17	2175.50	2130.50	USD	-1,125.00	
20SEP17	B	1	PD20DEC17	2174.50	2130.50	USD	-1,100.00	
20SEP17	S	1	PD20DEC17	2172.50	2130.50	USD	1,050.00	
20SEP17	B	1	PD20DEC17	2172.00	2130.50	USD	-1,037.50	
20SEP17	B	1	PD20DEC17	2170.00	2130.50	USD	-987.50	
20SEP17	B	1	PD20DEC17	2168.50	2130.50	USD	-950.00	
20SEP17	B	1	PD20DEC17	2155.50	2130.50	USD	-625.00	
20SEP17	B	1	PD20DEC17	2157.00	2130.50	USD	-662.50	
20SEP17	B	2	PD20DEC17	2166.00	2130.50	USD	-1,775.00	
20SEP17	S	1	PD20DEC17	2171.50	2130.50	USD	1,025.00	
20SEP17	B	1	PD20DEC17	2138.50	2130.50	USD	-200.00	
20SEP17	S	1	PD20DEC17	2190.50	2130.50	USD	1,500.00	
20SEP17	S	1	PD20DEC17	2189.00	2130.50	USD	1,462.50	
20SEP17	S	1	PD20DEC17	2191.50	2130.50	USD	1,525.00	
20SEP17	S	1	PD20DEC17	2184.50	2130.50	USD	1,350.00	
20SEP17	B	2	PD20DEC17	2181.50	2130.50	USD	-2,550.00	
20SEP17	B	2	PD20DEC17	2182.00	2130.50	USD	-2,575.00	
20SEP17	S	4	PD20DEC17	2180.50	2130.50	USD	5,000.00	
20SEP17	B	5	PD20DEC17	2186.50	2130.50	USD	-7,000.00	
20SEP17	S	8	PD20DEC17	2180.00	2130.50	USD	9,900.00	
20SEP17	B	7	PD20DEC17	2186.00	2130.50	USD	-9,712.50	
20SEP17	B	1	PD20DEC17	2185.50	2130.50	USD	-1,375.00	
20SEP17	S	2	PD20DEC17	2176.00	2130.50	USD	2,275.00	
20SEP17	S	5	PD20DEC17	2179.50	2130.50	USD	6,125.00	
20SEP17	S	3	PD20DEC17	2182.50	2130.50	USD	3,900.00	
20SEP17	S	2	PD20DEC17	2181.50	2130.50	USD	2,550.00	
20SEP17	S	2	PD20DEC17	2181.00	2130.50	USD	2,525.00	
20SEP17	S	1	PD20DEC17	2177.50	2130.50	USD	1,175.00	
20SEP17	S	9	PD20DEC17	2163.00	2130.50	USD	7,312.50	
20SEP17	S	4	PD20DEC17	2162.50	2130.50	USD	3,200.00	
20SEP17	S	1	PD20DEC17	2162.00	2130.50	USD	787.50	
20SEP17	S	4	PD20DEC17	2164.50	2130.50	USD	3,400.00	
20SEP17	S	2	PD20DEC17	2165.00	2130.50	USD	1,725.00	
20SEP17	S	1	PD20DEC17	2173.50	2130.50	USD	1,075.00	
20SEP17	S	18	PD20DEC17	2182.00	2130.50	USD	23,175.00	
20SEP17	S	1	PD20DEC17	2182.00	2130.50	USD	1,287.50	
25SEP17	S	21	PD20DEC17	2147.50	2130.50	USD	8,925.00	
26SEP17	S	8	PD20DEC17	2124.25	2130.50	USD	-1,250.00	
29SEP17	S	2	PD20DEC17	2099.50	2130.50	USD	-1,550.00	
	NET	-21	AVG BGHT 2160.39	SOLD 2165.27			28,875.00	0.00
21SEP17	S	1	PD21DEC17	2179.50	2130.50	USD	1,225.00	
21SEP17	S	1	PD21DEC17	2179.00	2130.50	USD	1,212.50	
21SEP17	S	1	PD21DEC17	2176.50	2130.50	USD	1,150.00	
21SEP17	S	2	PD21DEC17	2176.00	2130.50	USD	2,275.00	
21SEP17	S	5	PD21DEC17	2181.00	2130.50	USD	6,312.50	
21SEP17	S	5	PD21DEC17	2175.50	2130.50	USD	5,625.00	
21SEP17	S	14	PD21DEC17	2175.00	2130.50	USD	15,575.00	
21SEP17	S	1	PD21DEC17	2161.00	2130.50	USD	762.50	
21SEP17	S	1	PD21DEC17	2167.00	2130.50	USD	912.50	
21SEP17	B	1	PD21DEC17	2177.50	2130.50	USD	-1,175.00	
21SEP17	S	3	PD21DEC17	2182.00	2130.50	USD	3,862.50	
21SEP17	B	1	PD21DEC17	2189.50	2130.50	USD	-1,475.00	
21SEP17	B	1	PD21DEC17	2190.00	2130.50	USD	-1,487.50	
21SEP17	B	1	PD21DEC17	2178.00	2130.50	USD	-1,187.50	
21SEP17	B	2	PD21DEC17	2182.00	2130.50	USD	-2,575.00	

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21SEP17	B	5	PD21DEC17	2173.50	2130.50	USD	-5,375.00	
21SEP17	S	1	PD21DEC17	2170.00	2130.50	USD	987.50	
21SEP17	S	1	PD21DEC17	2171.00	2130.50	USD	1,012.50	
21SEP17	S	3	PD21DEC17	2171.50	2130.50	USD	3,075.00	
21SEP17	S	1	PD21DEC17	2166.00	2130.50	USD	887.50	
21SEP17	S	2	PD21DEC17	2165.00	2130.50	USD	1,725.00	
21SEP17	S	2	PD21DEC17	2163.00	2130.50	USD	1,625.00	
21SEP17	S	1	PD21DEC17	2162.50	2130.50	USD	800.00	
21SEP17	S	6	PD21DEC17	2162.00	2130.50	USD	4,725.00	
21SEP17	B	1	PD21DEC17	2160.50	2130.50	USD	-750.00	
21SEP17	S	1	PD21DEC17	2157.00	2130.50	USD	662.50	
21SEP17	S	1	PD21DEC17	2154.00	2130.50	USD	587.50	
21SEP17	S	5	PD21DEC17	2149.50	2130.50	USD	2,375.00	
21SEP17	S	5	PD21DEC17	2151.00	2130.50	USD	2,562.50	
21SEP17	S	1	PD21DEC17	2145.00	2130.50	USD	362.50	
21SEP17	B	1	PD21DEC17	2142.00	2130.50	USD	-287.50	
21SEP17	B	10	PD21DEC17	2171.20	2130.50	USD	-10,175.00	
25SEP17	B	1	PD21DEC17	2146.70	2130.50	USD	-405.00	
26SEP17	B	8	PD21DEC17	2124.70	2130.50	USD	1,160.00	
28SEP17	S	8	PD21DEC17	2129.03	2130.50	USD	-294.00	
03OCT17	B	1	PD21DEC17	2128.18	2130.50	USD	58.00	
03OCT17	B	1	PD21DEC17	2127.98	2130.50	USD	63.00	
	NET	-38 AVG BGHT 2158.28	SOLD 2163.84				36,394.50	0.00
22SEP17	S	1	PD22DEC17	2140.00	2130.50	USD	237.50	
22SEP17	B	2	PD22DEC17	2115.00	2130.50	USD	775.00	
22SEP17	B	2	PD22DEC17	2121.50	2130.50	USD	450.00	
22SEP17	B	1	PD22DEC17	2124.50	2130.50	USD	150.00	
22SEP17	S	1	PD22DEC17	2125.50	2130.50	USD	-125.00	
22SEP17	S	1	PD22DEC17	2125.00	2130.50	USD	-137.50	
22SEP17	S	1	PD22DEC17	2155.50	2130.50	USD	625.00	
22SEP17	S	1	PD22DEC17	2161.50	2130.50	USD	775.00	
22SEP17	S	1	PD22DEC17	2125.00	2130.50	USD	-137.50	
22SEP17	B	1	PD22DEC17	2137.00	2130.50	USD	-162.50	
22SEP17	S	1	PD22DEC17	2160.00	2130.50	USD	737.50	
22SEP17	S	1	PD22DEC17	2157.50	2130.50	USD	675.00	
22SEP17	S	1	PD22DEC17	2158.00	2130.50	USD	687.50	
22SEP17	S	1	PD22DEC17	2155.00	2130.50	USD	612.50	
22SEP17	S	1	PD22DEC17	2156.50	2130.50	USD	650.00	
22SEP17	B	5	PD22DEC17	2151.00	2130.50	USD	-2,562.50	
22SEP17	B	1	PD22DEC17	2154.00	2130.50	USD	-587.50	
22SEP17	B	2	PD22DEC17	2152.50	2130.50	USD	-1,100.00	
22SEP17	S	2	PD22DEC17	2147.00	2130.50	USD	825.00	
22SEP17	S	3	PD22DEC17	2149.50	2130.50	USD	1,425.00	
22SEP17	S	5	PD22DEC17	2151.50	2130.50	USD	2,625.00	
22SEP17	S	5	PD22DEC17	2151.00	2130.50	USD	2,562.50	
22SEP17	S	5	PD22DEC17	2150.50	2130.50	USD	2,500.00	
22SEP17	S	5	PD22DEC17	2141.00	2130.50	USD	1,312.50	
22SEP17	S	5	PD22DEC17	2141.50	2130.50	USD	1,375.00	
22SEP17	S	1	PD22DEC17	2146.50	2130.50	USD	400.00	
22SEP17	S	2	PD22DEC17	2156.00	2130.50	USD	1,275.00	
22SEP17	S	1	PD22DEC17	2168.50	2130.50	USD	950.00	
22SEP17	B	1	PD22DEC17	2166.50	2130.50	USD	-900.00	
22SEP17	B	1	PD22DEC17	2154.00	2130.50	USD	-587.50	
25SEP17	B	30	PD22DEC17	2146.95	2130.50	USD	-12,337.50	
	NET	+1 AVG BGHT 2145.16	SOLD 2148.14				2,987.50	0.00
25SEP17	B	1	PD27DEC17	2151.00	2131.04	USD	-499.00	
25SEP17	S	1	PD27DEC17	2163.50	2131.04	USD	811.50	

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	<u>LME ALUMINIUM 25 TONNES. THIS IS AN</u>							
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25SEP17	B	1	PD27DEC17	2167.50	2131.04	USD	-911.50	
25SEP17	S	1	PD27DEC17	2144.00	2131.04	USD	324.00	
25SEP17	B	2	PD27DEC17	2141.50	2131.04	USD	-523.00	
25SEP17	B	1	PD27DEC17	2142.00	2131.04	USD	-274.00	
25SEP17	B	2	PD27DEC17	2137.50	2131.04	USD	-323.00	
25SEP17	S	2	PD27DEC17	2141.50	2131.04	USD	523.00	
25SEP17	S	2	PD27DEC17	2142.50	2131.04	USD	573.00	
25SEP17	S	2	PD27DEC17	2144.50	2131.04	USD	673.00	
25SEP17	S	1	PD27DEC17	2149.50	2131.04	USD	461.50	
25SEP17	S	1	PD27DEC17	2146.50	2131.04	USD	386.50	
25SEP17	B	1	PD27DEC17	2147.00	2131.04	USD	-399.00	
25SEP17	B	1	PD27DEC17	2147.50	2131.04	USD	-411.50	
25SEP17	B	7	PD27DEC17	2144.00	2131.04	USD	-2,268.00	
25SEP17	B	7	PD27DEC17	2143.50	2131.04	USD	-2,180.50	
25SEP17	B	1	PD27DEC17	2142.50	2131.04	USD	-286.50	
26SEP17	B	9	PD27DEC17	2128.00	2131.04	USD	684.00	
27SEP17	B	1	PD27DEC17	2132.00	2131.04	USD	-24.00	
27SEP17	S	1	PD27DEC17	2139.50	2131.04	USD	211.50	
27SEP17	S	1	PD27DEC17	2140.50	2131.04	USD	236.50	
27SEP17	S	1	PD27DEC17	2138.00	2131.04	USD	174.00	
27SEP17	B	1	PD27DEC17	2138.00	2131.04	USD	-174.00	
27SEP17	S	1	PD27DEC17	2132.00	2131.04	USD	24.00	
27SEP17	S	1	PD27DEC17	2127.50	2131.04	USD	-88.50	
27SEP17	B	1	PD27DEC17	2126.00	2131.04	USD	126.00	
28SEP17	B	8	PD27DEC17	2130.92	2131.04	USD	24.00	
	NET	+29 AVG BGHT 2137.80	SOLD 2142.53				-3,130.00	0.00
28SEP17	B	1	PD28DEC17	2130.00	2131.14	USD	28.50	
28SEP17	B	1	PD28DEC17	2119.00	2131.14	USD	303.50	
28SEP17	S	1	PD28DEC17	2119.00	2131.14	USD	-303.50	
28SEP17	S	1	PD28DEC17	2129.00	2131.14	USD	-53.50	
28SEP17	S	1	PD28DEC17	2128.00	2131.14	USD	-78.50	
28SEP17	S	1	PD28DEC17	2143.50	2131.14	USD	309.00	
28SEP17	S	2	PD28DEC17	2136.00	2131.14	USD	243.00	
28SEP17	S	3	PD28DEC17	2134.50	2131.14	USD	252.00	
28SEP17	S	7	PD28DEC17	2135.50	2131.14	USD	763.00	
28SEP17	B	1	PD28DEC17	2137.00	2131.14	USD	-146.50	
28SEP17	B	1	PD28DEC17	2136.00	2131.14	USD	-121.50	
28SEP17	B	1	PD28DEC17	2137.50	2131.14	USD	-159.00	
29SEP17	B	2	PD28DEC17	2101.92	2131.14	USD	1,461.00	
	NET	-9 AVG BGHT 2123.33	SOLD 2133.97				2,497.50	0.00
29SEP17	B	1	PD29DEC17	2136.50	2131.25	USD	-131.25	
03OCT17	S	1	PD29DEC17	2129.64	2131.25	USD	-40.25	
	NET	0 AVG BGHT 2136.50	SOLD 2129.64				-171.50	0.00
09OCT17	S	1	PD09JAN18	2152.00	2133.02	USD	474.50	
09OCT17	B	5	PD09JAN18	2151.00	2133.02	USD	-2,247.50	
09OCT17	B	1	PD09JAN18	2148.50	2133.02	USD	-387.00	
09OCT17	B	1	PD09JAN18	2156.00	2133.02	USD	-574.50	
09OCT17	B	1	PD09JAN18	2150.50	2133.02	USD	-437.00	
09OCT17	B	1	PD09JAN18	2153.00	2133.02	USD	-499.50	
	NET	+8 AVG BGHT 2151.44	SOLD 2152.00				-3,671.00	0.00
10OCT17	S	1	PD10JAN18	2162.00	2133.18	USD	720.50	
10OCT17	B	1	PD10JAN18	2161.00	2133.18	USD	-695.50	
	NET	0 AVG BGHT 2161.00	SOLD 2162.00				25.00	0.00
11OCT17	S	1	PD11JAN18	2157.00	2133.34	USD	591.50	
11OCT17	S	1	PD11JAN18	2151.00	2133.34	USD	441.50	
11OCT17	S	1	PD11JAN18	2154.00	2133.34	USD	516.50	

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	<u>LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.</u>							
11OCT17	S	1	PD11JAN18	2156.50	2133.34	USD	579.00	
11OCT17	S	1	PD11JAN18	2159.50	2133.34	USD	654.00	
11OCT17	B	1	PD11JAN18	2150.50	2133.34	USD	-429.00	
11OCT17	B	1	PD11JAN18	2151.00	2133.34	USD	-441.50	
11OCT17	B	2	PD11JAN18	2148.50	2133.34	USD	-758.00	
11OCT17	B	1	PD11JAN18	2133.00	2133.34	USD	8.50	
11OCT17	B	1	PD11JAN18	2131.00	2133.34	USD	58.50	
11OCT17	S	1	PD11JAN18	2131.50	2133.34	USD	-46.00	
	NET	0AVG BGHT 2143.75	SOLD 2151.58				1,175.00	0.00
12OCT17	B	1	PD12JAN18	2138.00	2133.50	USD	-112.50	
12OCT17	B	1	PD12JAN18	2146.00	2133.50	USD	-312.50	
12OCT17	B	1	PD12JAN18	2142.00	2133.50	USD	-212.50	
13OCT17	B	1	PD12JAN18	2129.50	2133.50	USD	100.00	
13OCT17	B	1	PD12JAN18	2127.00	2133.50	USD	162.50	
13OCT17	B	2	PD12JAN18	2128.00	2133.50	USD	275.00	
	NET	+7AVG BGHT 2134.07					-100.00	0.00
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
17JUL17	B	1	PD17OCT17	5959.50	6859.00	USD	22,487.50	
17JUL17	S	1	PD17OCT17	5918.00	6859.00	USD	-23,525.00	
17JUL17	B	2	PD17OCT17	5950.00	6859.00	USD	45,450.00	
17JUL17	B	1	PD17OCT17	5921.00	6859.00	USD	23,450.00	
17JUL17	B	1	PD17OCT17	5948.50	6859.00	USD	22,762.50	
17JUL17	B	1	PD17OCT17	5949.50	6859.00	USD	22,737.50	
17JUL17	B	1	PD17OCT17	5951.00	6859.00	USD	22,700.00	
17JUL17	B	2	PD17OCT17	5918.00	6859.00	USD	47,050.00	
17JUL17	B	1	PD17OCT17	5960.00	6859.00	USD	22,475.00	
17JUL17	S	1	PD17OCT17	5922.00	6859.00	USD	-23,425.00	
17JUL17	S	1	PD17OCT17	5982.00	6859.00	USD	-21,925.00	
17JUL17	S	5	PD17OCT17	5981.00	6859.00	USD	-109,750.00	
17JUL17	B	1	PD17OCT17	5974.00	6859.00	USD	22,125.00	
17JUL17	S	1	PD17OCT17	5922.50	6859.00	USD	-23,412.50	
17JUL17	S	1	PD17OCT17	5925.00	6859.00	USD	-23,350.00	
17JUL17	S	2	PD17OCT17	5913.00	6859.00	USD	-47,300.00	
17JUL17	S	2	PD17OCT17	5923.50	6859.00	USD	-46,775.00	
17JUL17	S	1	PD17OCT17	5920.00	6859.00	USD	-23,475.00	
17JUL17	B	2	PD17OCT17	5950.50	6859.00	USD	45,425.00	
17JUL17	S	1	PD17OCT17	5980.00	6859.00	USD	-21,975.00	
17JUL17	S	2	PD17OCT17	5980.50	6859.00	USD	-43,925.00	
17JUL17	S	1	PD17OCT17	5983.00	6859.00	USD	-21,900.00	
17JUL17	S	1	PD17OCT17	5982.50	6859.00	USD	-21,912.50	
17JUL17	S	1	PD17OCT17	5977.00	6859.00	USD	-22,050.00	
17JUL17	S	3	PD17OCT17	5981.50	6859.00	USD	-65,812.50	
17JUL17	S	2	PD17OCT17	5985.00	6859.00	USD	-43,700.00	
17JUL17	S	1	PD17OCT17	5985.50	6859.00	USD	-21,837.50	
17JUL17	B	1	PD17OCT17	6006.00	6859.00	USD	21,325.00	
17JUL17	B	2	PD17OCT17	6004.00	6859.00	USD	42,750.00	
17JUL17	S	1	PD17OCT17	6010.50	6859.00	USD	-21,212.50	
17JUL17	S	2	PD17OCT17	6009.50	6859.00	USD	-42,475.00	
17JUL17	S	1	PD17OCT17	6010.00	6859.00	USD	-21,225.00	
17JUL17	S	2	PD17OCT17	6011.50	6859.00	USD	-42,375.00	
17JUL17	S	1	PD17OCT17	6012.00	6859.00	USD	-21,175.00	
17JUL17	S	4	PD17OCT17	6013.00	6859.00	USD	-84,600.00	
17JUL17	S	1	PD17OCT17	6019.00	6859.00	USD	-21,000.00	
17JUL17	S	1	PD17OCT17	6016.00	6859.00	USD	-21,075.00	
17JUL17	S	2	PD17OCT17	6016.50	6859.00	USD	-42,125.00	

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17JUL17	S	2	PD17OCT17	6018.00	6859.00	USD	-42,050.00	
17JUL17	S	2	PD17OCT17	6015.50	6859.00	USD	-42,175.00	
17JUL17	S	1	PD17OCT17	6007.50	6859.00	USD	-21,287.50	
17JUL17	S	1	PD17OCT17	6001.00	6859.00	USD	-21,450.00	
17JUL17	S	1	PD17OCT17	6003.00	6859.00	USD	-21,400.00	
17JUL17	S	1	PD17OCT17	6004.50	6859.00	USD	-21,362.50	
17JUL17	B	1	PD17OCT17	6001.50	6859.00	USD	21,437.50	
28JUL17	B	2	PD17OCT17	6324.14	6859.00	USD	26,743.00	
03AUG17	B	12	PD17OCT17	6349.20	6859.00	USD	152,940.00	
07AUG17	B	3	PD17OCT17	6408.50	6859.00	USD	33,787.50	
09AUG17	B	1	PD17OCT17	6448.95	6859.00	USD	10,251.25	
09AUG17	B	1	PD17OCT17	6448.75	6859.00	USD	10,256.25	
10AUG17	B	7	PD17OCT17	6416.20	6859.00	USD	77,490.00	
10AUG17	B	7	PD17OCT17	6416.00	6859.00	USD	77,525.00	
	NET	0AVG BGHT 6242.07	SOLD 5984.57				-321,869.50	0.00
18JUL17	S	1	PD18OCT17	6002.50	6859.50	USD	-21,425.00	
18JUL17	B	1	PD18OCT17	5997.50	6859.50	USD	21,550.00	
18JUL17	S	1	PD18OCT17	6010.00	6859.50	USD	-21,237.50	
18JUL17	B	1	PD18OCT17	6013.00	6859.50	USD	21,162.50	
18JUL17	S	2	PD18OCT17	5997.00	6859.50	USD	-43,125.00	
18JUL17	B	2	PD18OCT17	5981.00	6859.50	USD	43,925.00	
18JUL17	S	1	PD18OCT17	5987.50	6859.50	USD	-21,800.00	
18JUL17	S	3	PD18OCT17	5996.50	6859.50	USD	-64,725.00	
18JUL17	B	1	PD18OCT17	5991.00	6859.50	USD	21,712.50	
18JUL17	B	1	PD18OCT17	5991.50	6859.50	USD	21,700.00	
18JUL17	B	1	PD18OCT17	5994.50	6859.50	USD	21,625.00	
18JUL17	B	1	PD18OCT17	5996.00	6859.50	USD	21,587.50	
18JUL17	B	1	PD18OCT17	5995.00	6859.50	USD	21,612.50	
18JUL17	B	1	PD18OCT17	5994.00	6859.50	USD	21,637.50	
18JUL17	B	1	PD18OCT17	6002.00	6859.50	USD	21,437.50	
18JUL17	S	1	PD18OCT17	6003.50	6859.50	USD	-21,400.00	
18JUL17	S	1	PD18OCT17	5998.00	6859.50	USD	-21,537.50	
18JUL17	S	1	PD18OCT17	6003.00	6859.50	USD	-21,412.50	
18JUL17	B	1	PD18OCT17	6004.00	6859.50	USD	21,387.50	
18JUL17	B	1	PD18OCT17	5993.50	6859.50	USD	21,650.00	
18JUL17	S	1	PD18OCT17	6011.50	6859.50	USD	-21,200.00	
18JUL17	S	1	PD18OCT17	6007.00	6859.50	USD	-21,312.50	
18JUL17	S	1	PD18OCT17	6009.00	6859.50	USD	-21,262.50	
28JUL17	B	2	PD18OCT17	6324.50	6859.50	USD	26,750.00	
10AUG17	B	1	PD18OCT17	6416.25	6859.50	USD	11,081.25	
10AUG17	S	1	PD18OCT17	6416.05	6859.50	USD	-11,086.25	
15AUG17	S	1	PD18OCT17	6369.80	6859.50	USD	-12,242.50	
	NET	0AVG BGHT 6062.45	SOLD 6050.08				-4,947.50	0.00
19JUL17	S	3	PD19OCT17	5998.50	6857.50	USD	-64,425.00	
19JUL17	S	1	PD19OCT17	5999.00	6857.50	USD	-21,462.50	
19JUL17	S	4	PD19OCT17	5999.50	6857.50	USD	-85,800.00	
19JUL17	S	1	PD19OCT17	6000.00	6857.50	USD	-21,437.50	
19JUL17	S	1	PD19OCT17	6001.00	6857.50	USD	-21,412.50	
19JUL17	S	1	PD19OCT17	6001.50	6857.50	USD	-21,400.00	
19JUL17	B	1	PD19OCT17	5995.00	6857.50	USD	21,562.50	
19JUL17	S	2	PD19OCT17	5994.00	6857.50	USD	-43,175.00	
19JUL17	B	1	PD19OCT17	6008.00	6857.50	USD	21,237.50	
19JUL17	S	1	PD19OCT17	6014.50	6857.50	USD	-21,075.00	
19JUL17	S	1	PD19OCT17	6018.00	6857.50	USD	-20,987.50	
19JUL17	B	1	PD19OCT17	6016.00	6857.50	USD	21,037.50	
19JUL17	B	1	PD19OCT17	6007.50	6857.50	USD	21,250.00	
19JUL17	B	1	PD19OCT17	6003.00	6857.50	USD	21,362.50	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
19JUL17	B	1	PD19OCT17	6001.00	6857.50	USD	21,412.50	
19JUL17	B	1	PD19OCT17	5995.50	6857.50	USD	21,550.00	
19JUL17	S	1	PD19OCT17	6008.00	6857.50	USD	-21,237.50	
19JUL17	S	1	PD19OCT17	6007.50	6857.50	USD	-21,250.00	
19JUL17	S	1	PD19OCT17	6008.50	6857.50	USD	-21,225.00	
19JUL17	B	2	PD19OCT17	6005.00	6857.50	USD	42,625.00	
19JUL17	B	1	PD19OCT17	5997.00	6857.50	USD	21,512.50	
19JUL17	B	2	PD19OCT17	5996.50	6857.50	USD	43,050.00	
19JUL17	B	2	PD19OCT17	6000.00	6857.50	USD	42,875.00	
19JUL17	B	2	PD19OCT17	5999.50	6857.50	USD	42,900.00	
19JUL17	B	1	PD19OCT17	5992.50	6857.50	USD	21,625.00	
19JUL17	B	1	PD19OCT17	5994.00	6857.50	USD	21,587.50	
19JUL17	B	2	PD19OCT17	5993.50	6857.50	USD	43,200.00	
19JUL17	B	2	PD19OCT17	5991.50	6857.50	USD	43,300.00	
19JUL17	B	1	PD19OCT17	5993.00	6857.50	USD	21,612.50	
19JUL17	B	1	PD19OCT17	5998.50	6857.50	USD	21,475.00	
19JUL17	B	1	PD19OCT17	5997.50	6857.50	USD	21,500.00	
19JUL17	B	1	PD19OCT17	5992.00	6857.50	USD	21,637.50	
19JUL17	B	2	PD19OCT17	5991.00	6857.50	USD	43,325.00	
19JUL17	B	3	PD19OCT17	5989.50	6857.50	USD	65,100.00	
19JUL17	B	1	PD19OCT17	5975.00	6857.50	USD	22,062.50	
19JUL17	B	2	PD19OCT17	5978.50	6857.50	USD	43,950.00	
19JUL17	B	1	PD19OCT17	5977.50	6857.50	USD	22,000.00	
19JUL17	S	3	PD19OCT17	6008.00	6857.50	USD	-63,712.50	
19JUL17	B	2	PD19OCT17	5973.50	6857.50	USD	44,200.00	
19JUL17	B	2	PD19OCT17	5972.00	6857.50	USD	44,275.00	
19JUL17	B	1	PD19OCT17	5971.50	6857.50	USD	22,150.00	
19JUL17	S	1	PD19OCT17	5974.50	6857.50	USD	-22,075.00	
19JUL17	S	1	PD19OCT17	5975.00	6857.50	USD	-22,062.50	
27JUL17	S	1	PD19OCT17	6329.00	6857.50	USD	-13,212.50	
28JUL17	S	8	PD19OCT17	6323.30	6857.50	USD	-106,840.00	
03AUG17	B	1	PD19OCT17	6348.20	6857.50	USD	12,732.50	
09AUG17	S	1	PD19OCT17	6447.80	6857.50	USD	-10,242.50	
10AUG17	S	5	PD19OCT17	6415.05	6857.50	USD	-55,306.25	
15AUG17	S	2	PD19OCT17	6368.80	6857.50	USD	-24,435.00	
06SEP17	S	1	PD19OCT17	6883.80	6857.50	USD	657.50	
	NET	0	AVG BGHT 6000.81	SOLD 6172.51			175,991.25	0.00
20JUL17	B	1	PD20OCT17	5974.00	6857.50	USD	22,087.50	
20JUL17	B	1	PD20OCT17	5974.50	6857.50	USD	22,075.00	
20JUL17	B	5	PD20OCT17	5968.50	6857.50	USD	111,125.00	
20JUL17	B	1	PD20OCT17	5967.50	6857.50	USD	22,250.00	
20JUL17	B	1	PD20OCT17	5977.50	6857.50	USD	22,000.00	
20JUL17	B	1	PD20OCT17	5978.50	6857.50	USD	21,975.00	
20JUL17	B	1	PD20OCT17	5979.00	6857.50	USD	21,962.50	
20JUL17	B	4	PD20OCT17	5985.00	6857.50	USD	87,250.00	
20JUL17	B	1	PD20OCT17	5986.00	6857.50	USD	21,787.50	
20JUL17	S	1	PD20OCT17	5985.50	6857.50	USD	-21,800.00	
20JUL17	S	1	PD20OCT17	5986.00	6857.50	USD	-21,787.50	
20JUL17	S	1	PD20OCT17	5974.00	6857.50	USD	-22,087.50	
20JUL17	B	1	PD20OCT17	5975.50	6857.50	USD	22,050.00	
20JUL17	B	4	PD20OCT17	5981.00	6857.50	USD	87,650.00	
20JUL17	B	2	PD20OCT17	5987.00	6857.50	USD	43,525.00	
20JUL17	S	1	PD20OCT17	5989.00	6857.50	USD	-21,712.50	
20JUL17	B	1	PD20OCT17	5972.50	6857.50	USD	22,125.00	
20JUL17	B	2	PD20OCT17	5984.00	6857.50	USD	43,675.00	
20JUL17	B	1	PD20OCT17	5981.50	6857.50	USD	21,900.00	
20JUL17	S	1	PD20OCT17	5982.00	6857.50	USD	-21,887.50	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0094X

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
20JUL17	B	1	PD200CT17	5983.00	6857.50	USD	21,862.50	
20JUL17	S	1	PD200CT17	5970.00	6857.50	USD	-22,187.50	
20JUL17	B	1	PD200CT17	5973.00	6857.50	USD	22,112.50	
20JUL17	B	4	PD200CT17	5968.00	6857.50	USD	88,950.00	
20JUL17	B	3	PD200CT17	5967.00	6857.50	USD	66,787.50	
20JUL17	B	1	PD200CT17	5966.00	6857.50	USD	22,287.50	
20JUL17	B	1	PD200CT17	5965.50	6857.50	USD	22,300.00	
20JUL17	B	1	PD200CT17	5966.50	6857.50	USD	22,275.00	
20JUL17	B	1	PD200CT17	5965.00	6857.50	USD	22,312.50	
20JUL17	B	1	PD200CT17	5970.50	6857.50	USD	22,175.00	
20JUL17	S	2	PD200CT17	5983.00	6857.50	USD	-43,725.00	
20JUL17	S	1	PD200CT17	5982.50	6857.50	USD	-21,875.00	
20JUL17	S	1	PD200CT17	5983.00	6857.50	USD	-21,862.50	
20JUL17	B	1	PD200CT17	5983.00	6857.50	USD	21,862.50	
20JUL17	S	1	PD200CT17	5975.00	6857.50	USD	-22,062.50	
20JUL17	S	1	PD200CT17	5948.50	6857.50	USD	-22,725.00	
20JUL17	B	3	PD200CT17	5978.00	6857.50	USD	65,962.50	
20JUL17	S	1	PD200CT17	5980.00	6857.50	USD	-21,937.50	
20JUL17	S	1	PD200CT17	5984.00	6857.50	USD	-21,837.50	
20JUL17	B	3	PD200CT17	5980.00	6857.50	USD	65,812.50	
20JUL17	S	2	PD200CT17	5978.50	6857.50	USD	-43,950.00	
20JUL17	B	4	PD200CT17	5980.50	6857.50	USD	87,700.00	
20JUL17	S	2	PD200CT17	5976.00	6857.50	USD	-44,075.00	
20JUL17	B	6	PD200CT17	5976.00	6857.50	USD	132,225.00	
20JUL17	B	2	PD200CT17	5976.50	6857.50	USD	44,050.00	
20JUL17	B	3	PD200CT17	5975.00	6857.50	USD	66,187.50	
21JUL17	B	1	PD200CT17	5988.00	6857.50	USD	21,737.50	
21JUL17	B	1	PD200CT17	5996.50	6857.50	USD	21,525.00	
21JUL17	B	1	PD200CT17	6001.00	6857.50	USD	21,412.50	
21JUL17	S	1	PD200CT17	6004.00	6857.50	USD	-21,337.50	
21JUL17	B	1	PD200CT17	6009.00	6857.50	USD	21,212.50	
21JUL17	S	1	PD200CT17	6001.50	6857.50	USD	-21,400.00	
21JUL17	B	1	PD200CT17	6024.00	6857.50	USD	20,837.50	
21JUL17	B	1	PD200CT17	6032.00	6857.50	USD	20,637.50	
21JUL17	S	1	PD200CT17	6033.50	6857.50	USD	-20,600.00	
21JUL17	S	1	PD200CT17	6040.00	6857.50	USD	-20,437.50	
21JUL17	S	1	PD200CT17	6049.50	6857.50	USD	-20,200.00	
21JUL17	S	2	PD200CT17	6034.00	6857.50	USD	-41,175.00	
21JUL17	S	1	PD200CT17	6036.00	6857.50	USD	-20,537.50	
21JUL17	S	1	PD200CT17	6032.00	6857.50	USD	-20,637.50	
21JUL17	S	1	PD200CT17	6034.50	6857.50	USD	-20,575.00	
21JUL17	B	2	PD200CT17	6035.50	6857.50	USD	41,100.00	
21JUL17	B	2	PD200CT17	6034.00	6857.50	USD	41,175.00	
21JUL17	B	1	PD200CT17	6033.50	6857.50	USD	20,600.00	
21JUL17	B	2	PD200CT17	6032.50	6857.50	USD	41,250.00	
21JUL17	S	2	PD200CT17	6030.50	6857.50	USD	-41,350.00	
21JUL17	B	2	PD200CT17	6031.00	6857.50	USD	41,325.00	
21JUL17	S	1	PD200CT17	6027.00	6857.50	USD	-20,762.50	
21JUL17	S	1	PD200CT17	6026.50	6857.50	USD	-20,775.00	
21JUL17	S	2	PD200CT17	6027.50	6857.50	USD	-41,500.00	
21JUL17	S	2	PD200CT17	6028.00	6857.50	USD	-41,475.00	
21JUL17	S	2	PD200CT17	6030.00	6857.50	USD	-41,375.00	
21JUL17	S	3	PD200CT17	6032.50	6857.50	USD	-61,875.00	
21JUL17	S	3	PD200CT17	6029.50	6857.50	USD	-62,100.00	
21JUL17	S	1	PD200CT17	6025.00	6857.50	USD	-20,812.50	
21JUL17	S	3	PD200CT17	6012.50	6857.50	USD	-63,375.00	
21JUL17	S	2	PD200CT17	6009.00	6857.50	USD	-42,425.00	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
21JUL17	S	4	PD200CT17	6014.50	6857.50	USD	-84,300.00	
21JUL17	S	1	PD200CT17	6013.00	6857.50	USD	-21,112.50	
21JUL17	S	1	PD200CT17	6015.50	6857.50	USD	-21,050.00	
21JUL17	S	7	PD200CT17	6015.00	6857.50	USD	-147,437.50	
21JUL17	S	1	PD200CT17	5999.50	6857.50	USD	-21,450.00	
21JUL17	S	1	PD200CT17	6009.00	6857.50	USD	-21,212.50	
21JUL17	S	1	PD200CT17	5991.50	6857.50	USD	-21,650.00	
21JUL17	S	3	PD200CT17	5992.50	6857.50	USD	-64,875.00	
21JUL17	S	2	PD200CT17	5992.00	6857.50	USD	-43,275.00	
21JUL17	B	1	PD200CT17	5995.00	6857.50	USD	21,562.50	
21JUL17	S	1	PD200CT17	5996.00	6857.50	USD	-21,537.50	
27JUL17	S	2	PD200CT17	6327.80	6857.50	USD	-26,485.00	
27JUL17	S	14	PD200CT17	6328.00	6857.50	USD	-185,325.00	
28JUL17	B	2	PD200CT17	6322.50	6857.50	USD	26,750.00	
08AUG17	B	1	PD200CT17	6472.50	6857.50	USD	9,625.00	
10AUG17	S	2	PD200CT17	6414.05	6857.50	USD	-22,172.50	
10AUG17	B	2	PD200CT17	6414.25	6857.50	USD	22,162.50	
15AUG17	B	2	PD200CT17	6368.00	6857.50	USD	24,475.00	
05SEP17	B	1	PD200CT17	6881.70	6857.50	USD	-605.00	
14SEP17	B	3	PD200CT17	6472.95	6857.50	USD	28,841.25	
	NET	0 AVG BGHT 6042.42	SOLD 6074.34				71,803.75	0.00
24JUL17	B	1	PD240CT17	5991.00	6857.50	USD	21,662.50	
24JUL17	S	1	PD240CT17	5992.50	6857.50	USD	-21,625.00	
24JUL17	S	1	PD240CT17	5989.00	6857.50	USD	-21,712.50	
24JUL17	B	1	PD240CT17	6008.50	6857.50	USD	21,225.00	
24JUL17	B	1	PD240CT17	5995.00	6857.50	USD	21,562.50	
24JUL17	B	2	PD240CT17	5995.50	6857.50	USD	43,100.00	
24JUL17	S	1	PD240CT17	5988.50	6857.50	USD	-21,725.00	
24JUL17	B	1	PD240CT17	5991.50	6857.50	USD	21,650.00	
24JUL17	S	3	PD240CT17	5994.00	6857.50	USD	-64,762.50	
24JUL17	S	3	PD240CT17	5994.50	6857.50	USD	-64,725.00	
24JUL17	S	1	PD240CT17	5995.00	6857.50	USD	-21,562.50	
24JUL17	S	2	PD240CT17	5995.50	6857.50	USD	-43,100.00	
24JUL17	S	2	PD240CT17	5997.50	6857.50	USD	-43,000.00	
24JUL17	S	1	PD240CT17	5999.50	6857.50	USD	-21,450.00	
24JUL17	S	1	PD240CT17	5999.00	6857.50	USD	-21,462.50	
24JUL17	B	1	PD240CT17	6000.00	6857.50	USD	21,437.50	
24JUL17	S	1	PD240CT17	5998.50	6857.50	USD	-21,475.00	
24JUL17	B	1	PD240CT17	5989.00	6857.50	USD	21,712.50	
24JUL17	S	1	PD240CT17	6022.00	6857.50	USD	-20,887.50	
24JUL17	S	1	PD240CT17	6018.00	6857.50	USD	-20,987.50	
24JUL17	S	1	PD240CT17	6023.00	6857.50	USD	-20,862.50	
24JUL17	B	1	PD240CT17	6020.00	6857.50	USD	20,937.50	
24JUL17	S	1	PD240CT17	6011.50	6857.50	USD	-21,150.00	
24JUL17	S	2	PD240CT17	6021.50	6857.50	USD	-41,800.00	
24JUL17	S	1	PD240CT17	6021.00	6857.50	USD	-20,912.50	
24JUL17	S	5	PD240CT17	6019.00	6857.50	USD	-104,812.50	
24JUL17	S	3	PD240CT17	6016.50	6857.50	USD	-63,075.00	
24JUL17	S	1	PD240CT17	6019.50	6857.50	USD	-20,950.00	
24JUL17	S	2	PD240CT17	6018.00	6857.50	USD	-41,975.00	
24JUL17	S	1	PD240CT17	6026.50	6857.50	USD	-20,775.00	
24JUL17	S	3	PD240CT17	6026.00	6857.50	USD	-62,362.50	
24JUL17	S	1	PD240CT17	6027.50	6857.50	USD	-20,750.00	
24JUL17	S	2	PD240CT17	6028.00	6857.50	USD	-41,475.00	
27JUL17	B	14	PD240CT17	6329.34	6857.50	USD	184,856.00	
28JUL17	B	2	PD240CT17	6323.93	6857.50	USD	26,678.50	
03AUG17	B	1	PD240CT17	6348.91	6857.50	USD	12,714.75	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
08AUG17	B	1	PD240CT17	6474.07	6857.50	USD	9,585.75	
09AUG17	B	1	PD240CT17	6448.71	6857.50	USD	10,219.75	
10AUG17	B	8	PD240CT17	6415.96	6857.50	USD	88,308.00	
17AUG17	B	4	PD240CT17	6481.58	6857.50	USD	37,592.00	
22AUG17	B	2	PD240CT17	6570.33	6857.50	USD	14,358.50	
	NET	0AVG BGHT 6307.40	SOLD 6010.48				-311,774.25	0.00
25JUL17	S	1	PD250CT17	6033.50	6857.50	USD	-20,600.00	
25JUL17	S	1	PD250CT17	6028.50	6857.50	USD	-20,725.00	
25JUL17	S	1	PD250CT17	6026.50	6857.50	USD	-20,775.00	
25JUL17	S	1	PD250CT17	6029.00	6857.50	USD	-20,712.50	
25JUL17	S	3	PD250CT17	6028.00	6857.50	USD	-62,212.50	
25JUL17	S	1	PD250CT17	6035.00	6857.50	USD	-20,562.50	
25JUL17	S	1	PD250CT17	6034.50	6857.50	USD	-20,575.00	
25JUL17	S	2	PD250CT17	6042.50	6857.50	USD	-40,750.00	
25JUL17	S	1	PD250CT17	6043.00	6857.50	USD	-20,362.50	
25JUL17	S	2	PD250CT17	6044.00	6857.50	USD	-40,675.00	
25JUL17	S	1	PD250CT17	6050.00	6857.50	USD	-20,187.50	
25JUL17	S	1	PD250CT17	6059.50	6857.50	USD	-19,950.00	
25JUL17	S	1	PD250CT17	6066.00	6857.50	USD	-19,787.50	
25JUL17	S	1	PD250CT17	6091.50	6857.50	USD	-19,150.00	
25JUL17	B	1	PD250CT17	6096.00	6857.50	USD	19,037.50	
25JUL17	B	1	PD250CT17	6094.00	6857.50	USD	19,087.50	
25JUL17	B	3	PD250CT17	6086.00	6857.50	USD	57,862.50	
25JUL17	B	2	PD250CT17	6086.50	6857.50	USD	38,550.00	
25JUL17	S	1	PD250CT17	6085.50	6857.50	USD	-19,300.00	
25JUL17	S	1	PD250CT17	6086.50	6857.50	USD	-19,275.00	
25JUL17	S	2	PD250CT17	6087.00	6857.50	USD	-38,525.00	
25JUL17	B	2	PD250CT17	6087.00	6857.50	USD	38,525.00	
25JUL17	B	1	PD250CT17	6088.00	6857.50	USD	19,237.50	
25JUL17	S	1	PD250CT17	6085.00	6857.50	USD	-19,312.50	
25JUL17	S	1	PD250CT17	6084.50	6857.50	USD	-19,325.00	
25JUL17	S	1	PD250CT17	6108.00	6857.50	USD	-18,737.50	
25JUL17	S	1	PD250CT17	6116.00	6857.50	USD	-18,537.50	
25JUL17	S	1	PD250CT17	6158.00	6857.50	USD	-17,487.50	
25JUL17	S	2	PD250CT17	6176.00	6857.50	USD	-34,075.00	
25JUL17	S	1	PD250CT17	6181.50	6857.50	USD	-16,900.00	
25JUL17	B	1	PD250CT17	6186.50	6857.50	USD	16,775.00	
25JUL17	S	1	PD250CT17	6189.00	6857.50	USD	-16,712.50	
25JUL17	S	1	PD250CT17	6189.50	6857.50	USD	-16,700.00	
25JUL17	B	1	PD250CT17	6089.00	6857.50	USD	19,212.50	
25JUL17	S	1	PD250CT17	6086.50	6857.50	USD	-19,275.00	
25JUL17	S	1	PD250CT17	6086.00	6857.50	USD	-19,287.50	
25JUL17	S	2	PD250CT17	6181.00	6857.50	USD	-33,825.00	
25JUL17	B	1	PD250CT17	6183.00	6857.50	USD	16,862.50	
25JUL17	S	1	PD250CT17	6174.50	6857.50	USD	-17,075.00	
25JUL17	S	1	PD250CT17	6180.50	6857.50	USD	-16,925.00	
25JUL17	S	1	PD250CT17	6178.50	6857.50	USD	-16,975.00	
25JUL17	S	2	PD250CT17	6180.00	6857.50	USD	-33,875.00	
25JUL17	S	1	PD250CT17	6186.00	6857.50	USD	-16,787.50	
25JUL17	S	1	PD250CT17	6185.50	6857.50	USD	-16,800.00	
25JUL17	S	9	PD250CT17	6185.00	6857.50	USD	-151,312.50	
25JUL17	S	11	PD250CT17	6184.00	6857.50	USD	-185,212.50	
25JUL17	S	2	PD250CT17	6183.00	6857.50	USD	-33,725.00	
25JUL17	S	1	PD250CT17	6182.50	6857.50	USD	-16,875.00	
25JUL17	S	1	PD250CT17	6182.00	6857.50	USD	-16,887.50	
25JUL17	B	3	PD250CT17	6180.00	6857.50	USD	50,812.50	
25JUL17	B	2	PD250CT17	6180.50	6857.50	USD	33,850.00	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0094X

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
25JUL17	S	2	PD250CT17	6178.00	6857.50	USD	-33,975.00	
25JUL17	B	1	PD250CT17	6178.50	6857.50	USD	16,975.00	
25JUL17	B	6	PD250CT17	6179.00	6857.50	USD	101,775.00	
25JUL17	B	6	PD250CT17	6182.50	6857.50	USD	101,250.00	
25JUL17	S	1	PD250CT17	6191.00	6857.50	USD	-16,662.50	
25JUL17	B	2	PD250CT17	6190.00	6857.50	USD	33,375.00	
25JUL17	B	1	PD250CT17	6197.50	6857.50	USD	16,500.00	
25JUL17	B	1	PD250CT17	6199.50	6857.50	USD	16,450.00	
25JUL17	S	1	PD250CT17	6198.50	6857.50	USD	-16,475.00	
25JUL17	B	1	PD250CT17	6198.50	6857.50	USD	16,475.00	
25JUL17	S	1	PD250CT17	6199.50	6857.50	USD	-16,450.00	
25JUL17	S	1	PD250CT17	6215.00	6857.50	USD	-16,062.50	
25JUL17	S	1	PD250CT17	6220.00	6857.50	USD	-15,937.50	
25JUL17	B	1	PD250CT17	6223.50	6857.50	USD	15,850.00	
25JUL17	B	1	PD250CT17	6222.00	6857.50	USD	15,887.50	
25JUL17	S	1	PD250CT17	6228.00	6857.50	USD	-15,737.50	
25JUL17	B	1	PD250CT17	6225.00	6857.50	USD	15,812.50	
25JUL17	B	1	PD250CT17	6232.00	6857.50	USD	15,637.50	
25JUL17	B	2	PD250CT17	6220.00	6857.50	USD	31,875.00	
25JUL17	B	1	PD250CT17	6215.00	6857.50	USD	16,062.50	
25JUL17	B	1	PD250CT17	6209.00	6857.50	USD	16,212.50	
25JUL17	B	1	PD250CT17	6212.00	6857.50	USD	16,137.50	
25JUL17	S	2	PD250CT17	6217.50	6857.50	USD	-32,000.00	
25JUL17	S	2	PD250CT17	6219.00	6857.50	USD	-31,925.00	
25JUL17	S	1	PD250CT17	6222.00	6857.50	USD	-15,887.50	
25JUL17	S	1	PD250CT17	6225.50	6857.50	USD	-15,800.00	
25JUL17	S	1	PD250CT17	6225.00	6857.50	USD	-15,812.50	
25JUL17	S	1	PD250CT17	6230.50	6857.50	USD	-15,675.00	
25JUL17	B	2	PD250CT17	6224.00	6857.50	USD	31,675.00	
25JUL17	B	1	PD250CT17	6201.00	6857.50	USD	16,412.50	
25JUL17	B	6	PD250CT17	6192.00	6857.50	USD	99,825.00	
25JUL17	S	1	PD250CT17	6192.50	6857.50	USD	-16,625.00	
25JUL17	B	2	PD250CT17	6194.00	6857.50	USD	33,175.00	
25JUL17	S	1	PD250CT17	6207.50	6857.50	USD	-16,250.00	
25JUL17	S	1	PD250CT17	6211.00	6857.50	USD	-16,162.50	
25JUL17	S	2	PD250CT17	6212.50	6857.50	USD	-32,250.00	
25JUL17	B	4	PD250CT17	6178.50	6857.50	USD	67,900.00	
25JUL17	B	1	PD250CT17	6192.00	6857.50	USD	16,637.50	
25JUL17	S	1	PD250CT17	6198.50	6857.50	USD	-16,475.00	
25JUL17	S	1	PD250CT17	6230.50	6857.50	USD	-15,675.00	
25JUL17	S	1	PD250CT17	6203.00	6857.50	USD	-16,362.50	
25JUL17	S	1	PD250CT17	6233.00	6857.50	USD	-15,612.50	
25JUL17	S	1	PD250CT17	6244.50	6857.50	USD	-15,325.00	
25JUL17	S	1	PD250CT17	6253.50	6857.50	USD	-15,100.00	
25JUL17	B	1	PD250CT17	6257.00	6857.50	USD	15,012.50	
25JUL17	B	1	PD250CT17	6265.50	6857.50	USD	14,800.00	
25JUL17	S	1	PD250CT17	6266.00	6857.50	USD	-14,787.50	
25JUL17	S	3	PD250CT17	6279.00	6857.50	USD	-43,387.50	
25JUL17	B	1	PD250CT17	6257.50	6857.50	USD	15,000.00	
25JUL17	B	1	PD250CT17	6258.00	6857.50	USD	14,987.50	
25JUL17	S	1	PD250CT17	6263.50	6857.50	USD	-14,850.00	
25JUL17	S	1	PD250CT17	6264.00	6857.50	USD	-14,837.50	
25JUL17	S	1	PD250CT17	6263.00	6857.50	USD	-14,862.50	
25JUL17	B	1	PD250CT17	6252.50	6857.50	USD	15,125.00	
25JUL17	B	1	PD250CT17	6253.00	6857.50	USD	15,112.50	
25JUL17	S	1	PD250CT17	6247.50	6857.50	USD	-15,250.00	
27JUL17	B	1	PD250CT17	6329.63	6857.50	USD	13,196.75	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>								
09AUG17	B	2	PD250CT17		6449.14	6857.50	USD	20,418.00	
10AUG17	B	3	PD250CT17		6416.39	6857.50	USD	33,083.25	
17AUG17	B	4	PD250CT17		6482.17	6857.50	USD	37,533.00	
22AUG17	B	5	PD250CT17		6570.92	6857.50	USD	35,822.50	
22AUG17	B	7	PD250CT17		6571.12	6857.50	USD	50,116.50	
06SEP17	B	3	PD250CT17		6885.86	6857.50	USD	-2,127.00	
11SEP17	B	6	PD250CT17		6727.29	6857.50	USD	19,531.50	
12SEP17	B	4	PD250CT17		6645.29	6857.50	USD	21,221.00	
	NET	0 AVG BGHT 6323.95		SOLD 6163.79				-408,417.00	0.00
26JUL17	S	1	PD260CT17		6267.50	6857.50	USD	-14,750.00	
26JUL17	S	1	PD260CT17		6256.50	6857.50	USD	-15,025.00	
26JUL17	S	1	PD260CT17		6257.00	6857.50	USD	-15,012.50	
26JUL17	S	1	PD260CT17		6260.00	6857.50	USD	-14,937.50	
26JUL17	S	2	PD260CT17		6263.00	6857.50	USD	-29,725.00	
26JUL17	B	1	PD260CT17		6264.00	6857.50	USD	14,837.50	
26JUL17	S	1	PD260CT17		6265.00	6857.50	USD	-14,812.50	
26JUL17	S	1	PD260CT17		6254.00	6857.50	USD	-15,087.50	
26JUL17	B	1	PD260CT17		6246.50	6857.50	USD	15,275.00	
26JUL17	S	1	PD260CT17		6237.00	6857.50	USD	-15,512.50	
26JUL17	S	1	PD260CT17		6235.00	6857.50	USD	-15,562.50	
26JUL17	S	2	PD260CT17		6275.00	6857.50	USD	-29,125.00	
26JUL17	S	1	PD260CT17		6277.00	6857.50	USD	-14,512.50	
26JUL17	S	1	PD260CT17		6278.00	6857.50	USD	-14,487.50	
26JUL17	S	1	PD260CT17		6279.00	6857.50	USD	-14,462.50	
26JUL17	S	2	PD260CT17		6281.50	6857.50	USD	-28,800.00	
26JUL17	S	1	PD260CT17		6292.50	6857.50	USD	-14,125.00	
26JUL17	S	2	PD260CT17		6293.00	6857.50	USD	-28,225.00	
26JUL17	S	1	PD260CT17		6300.50	6857.50	USD	-13,925.00	
26JUL17	B	1	PD260CT17		6331.00	6857.50	USD	13,162.50	
26JUL17	B	1	PD260CT17		6331.50	6857.50	USD	13,150.00	
26JUL17	S	1	PD260CT17		6334.50	6857.50	USD	-13,075.00	
26JUL17	S	1	PD260CT17		6337.50	6857.50	USD	-13,000.00	
26JUL17	S	2	PD260CT17		6343.50	6857.50	USD	-25,700.00	
26JUL17	B	1	PD260CT17		6360.00	6857.50	USD	12,437.50	
26JUL17	S	1	PD260CT17		6350.00	6857.50	USD	-12,687.50	
26JUL17	S	1	PD260CT17		6346.50	6857.50	USD	-12,775.00	
26JUL17	B	1	PD260CT17		6352.50	6857.50	USD	12,625.00	
26JUL17	B	1	PD260CT17		6350.00	6857.50	USD	12,687.50	
26JUL17	S	2	PD260CT17		6366.50	6857.50	USD	-24,550.00	
26JUL17	S	1	PD260CT17		6369.50	6857.50	USD	-12,200.00	
26JUL17	B	1	PD260CT17		6395.00	6857.50	USD	11,562.50	
26JUL17	S	1	PD260CT17		6386.00	6857.50	USD	-11,787.50	
26JUL17	B	1	PD260CT17		6366.00	6857.50	USD	12,287.50	
26JUL17	S	1	PD260CT17		6336.00	6857.50	USD	-13,037.50	
26JUL17	S	1	PD260CT17		6336.50	6857.50	USD	-13,025.00	
26JUL17	S	1	PD260CT17		6340.00	6857.50	USD	-12,937.50	
26JUL17	S	1	PD260CT17		6327.50	6857.50	USD	-13,250.00	
26JUL17	B	1	PD260CT17		6318.00	6857.50	USD	13,487.50	
26JUL17	B	1	PD260CT17		6314.50	6857.50	USD	13,575.00	
26JUL17	S	3	PD260CT17		6304.50	6857.50	USD	-41,475.00	
26JUL17	B	1	PD260CT17		6274.00	6857.50	USD	14,587.50	
26JUL17	B	1	PD260CT17		6301.00	6857.50	USD	13,912.50	
26JUL17	B	1	PD260CT17		6281.00	6857.50	USD	14,412.50	
26JUL17	B	1	PD260CT17		6388.00	6857.50	USD	11,737.50	
26JUL17	S	1	PD260CT17		6310.00	6857.50	USD	-13,687.50	
26JUL17	B	2	PD260CT17		6272.00	6857.50	USD	29,275.00	
26JUL17	B	1	PD260CT17		6292.50	6857.50	USD	14,125.00	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
26JUL17	B	1	PD260CT17	6286.50	6857.50	USD	14,275.00	
26JUL17	B	1	PD260CT17	6295.50	6857.50	USD	14,050.00	
26JUL17	S	1	PD260CT17	6295.50	6857.50	USD	-14,050.00	
26JUL17	S	2	PD260CT17	6301.50	6857.50	USD	-27,800.00	
26JUL17	B	1	PD260CT17	6299.50	6857.50	USD	13,950.00	
26JUL17	S	1	PD260CT17	6296.50	6857.50	USD	-14,025.00	
26JUL17	S	1	PD260CT17	6297.50	6857.50	USD	-14,000.00	
26JUL17	B	1	PD260CT17	6298.00	6857.50	USD	13,987.50	
26JUL17	B	1	PD260CT17	6303.00	6857.50	USD	13,862.50	
26JUL17	B	1	PD260CT17	6302.50	6857.50	USD	13,875.00	
26JUL17	B	1	PD260CT17	6305.00	6857.50	USD	13,812.50	
26JUL17	B	2	PD260CT17	6297.00	6857.50	USD	28,025.00	
26JUL17	B	1	PD260CT17	6304.50	6857.50	USD	13,825.00	
26JUL17	B	1	PD260CT17	6311.00	6857.50	USD	13,662.50	
26JUL17	S	1	PD260CT17	6338.00	6857.50	USD	-12,987.50	
26JUL17	S	1	PD260CT17	6322.50	6857.50	USD	-13,375.00	
26JUL17	B	2	PD260CT17	6323.00	6857.50	USD	26,725.00	
27JUL17	S	1	PD260CT17	6329.51	6857.50	USD	-13,199.75	
08AUG17	S	1	PD260CT17	6474.66	6857.50	USD	-9,571.00	
09AUG17	B	3	PD260CT17	6449.57	6857.50	USD	30,594.75	
10AUG17	B	1	PD260CT17	6416.82	6857.50	USD	11,017.00	
17AUG17	B	3	PD260CT17	6482.75	6857.50	USD	28,106.25	
22AUG17	B	4	PD260CT17	6571.70	6857.50	USD	28,580.00	
12SEP17	B	6	PD260CT17	6645.57	6857.50	USD	31,789.50	
	NET	0	AVG BGHT 6396.44	SOLD 6307.26			-107,008.25	0.00
27JUL17	B	1	PD270CT17	6343.50	6857.50	USD	12,850.00	
27JUL17	B	1	PD270CT17	6346.00	6857.50	USD	12,787.50	
27JUL17	B	1	PD270CT17	6353.00	6857.50	USD	12,612.50	
27JUL17	B	2	PD270CT17	6317.50	6857.50	USD	27,000.00	
27JUL17	S	1	PD270CT17	6362.50	6857.50	USD	-12,375.00	
27JUL17	S	1	PD270CT17	6356.00	6857.50	USD	-12,537.50	
27JUL17	S	1	PD270CT17	6354.50	6857.50	USD	-12,575.00	
27JUL17	S	1	PD270CT17	6352.50	6857.50	USD	-12,625.00	
27JUL17	B	2	PD270CT17	6351.50	6857.50	USD	25,300.00	
27JUL17	B	6	PD270CT17	6351.00	6857.50	USD	75,975.00	
27JUL17	S	1	PD270CT17	6349.00	6857.50	USD	-12,712.50	
27JUL17	B	2	PD270CT17	6349.00	6857.50	USD	25,425.00	
27JUL17	B	1	PD270CT17	6348.50	6857.50	USD	12,725.00	
27JUL17	S	1	PD270CT17	6340.00	6857.50	USD	-12,937.50	
27JUL17	B	1	PD270CT17	6344.00	6857.50	USD	12,837.50	
27JUL17	S	2	PD270CT17	6344.00	6857.50	USD	-25,675.00	
27JUL17	S	2	PD270CT17	6353.50	6857.50	USD	-25,200.00	
27JUL17	S	2	PD270CT17	6353.00	6857.50	USD	-25,225.00	
27JUL17	S	1	PD270CT17	6351.50	6857.50	USD	-12,650.00	
27JUL17	B	1	PD270CT17	6365.50	6857.50	USD	12,300.00	
27JUL17	B	1	PD270CT17	6370.50	6857.50	USD	12,175.00	
27JUL17	B	1	PD270CT17	6369.00	6857.50	USD	12,212.50	
27JUL17	S	2	PD270CT17	6358.00	6857.50	USD	-24,975.00	
27JUL17	S	4	PD270CT17	6357.00	6857.50	USD	-50,050.00	
27JUL17	B	1	PD270CT17	6361.50	6857.50	USD	12,400.00	
27JUL17	S	2	PD270CT17	6345.00	6857.50	USD	-25,625.00	
27JUL17	S	1	PD270CT17	6357.50	6857.50	USD	-12,500.00	
27JUL17	S	1	PD270CT17	6355.00	6857.50	USD	-12,562.50	
27JUL17	S	4	PD270CT17	6352.50	6857.50	USD	-50,500.00	
27JUL17	S	1	PD270CT17	6351.00	6857.50	USD	-12,662.50	
27JUL17	B	1	PD270CT17	6351.00	6857.50	USD	12,662.50	
27JUL17	S	1	PD270CT17	6343.50	6857.50	USD	-12,850.00	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
27JUL17	S	1	PD27OCT17	6348.50	6857.50	USD	-12,725.00	
27JUL17	B	1	PD27OCT17	6336.50	6857.50	USD	13,025.00	
27JUL17	B	2	PD27OCT17	6337.00	6857.50	USD	26,025.00	
28JUL17	B	1	PD27OCT17	6315.50	6857.50	USD	13,550.00	
28JUL17	B	2	PD27OCT17	6314.50	6857.50	USD	27,150.00	
28JUL17	B	1	PD27OCT17	6313.50	6857.50	USD	13,600.00	
28JUL17	B	1	PD27OCT17	6313.00	6857.50	USD	13,612.50	
28JUL17	B	1	PD27OCT17	6317.50	6857.50	USD	13,500.00	
28JUL17	S	2	PD27OCT17	6319.50	6857.50	USD	-26,900.00	
28JUL17	S	2	PD27OCT17	6318.00	6857.50	USD	-26,975.00	
28JUL17	S	2	PD27OCT17	6319.00	6857.50	USD	-26,925.00	
28JUL17	B	1	PD27OCT17	6320.50	6857.50	USD	13,425.00	
28JUL17	B	1	PD27OCT17	6319.50	6857.50	USD	13,450.00	
28JUL17	B	1	PD27OCT17	6324.00	6857.50	USD	13,337.50	
28JUL17	B	1	PD27OCT17	6315.00	6857.50	USD	13,562.50	
28JUL17	S	1	PD27OCT17	6326.50	6857.50	USD	-13,275.00	
28JUL17	B	1	PD27OCT17	6320.00	6857.50	USD	13,437.50	
28JUL17	B	1	PD27OCT17	6280.00	6857.50	USD	14,437.50	
28JUL17	B	1	PD27OCT17	6280.50	6857.50	USD	14,425.00	
28JUL17	S	1	PD27OCT17	6298.50	6857.50	USD	-13,975.00	
28JUL17	B	1	PD27OCT17	6309.50	6857.50	USD	13,700.00	
28JUL17	S	1	PD27OCT17	6295.50	6857.50	USD	-14,050.00	
28JUL17	B	1	PD27OCT17	6324.00	6857.50	USD	13,337.50	
28JUL17	S	1	PD27OCT17	6324.00	6857.50	USD	-13,337.50	
28JUL17	S	1	PD27OCT17	6334.00	6857.50	USD	-13,087.50	
28JUL17	S	1	PD27OCT17	6326.00	6857.50	USD	-13,287.50	
28JUL17	B	3	PD27OCT17	6324.50	6857.50	USD	39,975.00	
28JUL17	B	1	PD27OCT17	6325.50	6857.50	USD	13,300.00	
28JUL17	S	1	PD27OCT17	6333.00	6857.50	USD	-13,112.50	
28JUL17	S	1	PD27OCT17	6337.00	6857.50	USD	-13,012.50	
28JUL17	S	4	PD27OCT17	6324.80	6857.50	USD	-53,270.00	
09AUG17	B	2	PD27OCT17	6450.00	6857.50	USD	20,375.00	
10AUG17	B	1	PD27OCT17	6417.25	6857.50	USD	11,006.25	
10AUG17	S	1	PD27OCT17	6417.05	6857.50	USD	-11,011.25	
22AUG17	B	1	PD27OCT17	6572.22	6857.50	USD	7,132.00	
06SEP17	B	1	PD27OCT17	6886.57	6857.50	USD	-726.75	
	NET	0	AVG BGHT 6356.36	SOLD 6342.25			-17,282.25	0.00
31JUL17	B	1	PD31OCT17	6397.50	6858.27	USD	11,519.25	
31JUL17	B	1	PD31OCT17	6375.00	6858.27	USD	12,081.75	
31JUL17	B	1	PD31OCT17	6383.00	6858.27	USD	11,881.75	
31JUL17	S	1	PD31OCT17	6348.50	6858.27	USD	-12,744.25	
31JUL17	B	1	PD31OCT17	6347.50	6858.27	USD	12,769.25	
31JUL17	S	1	PD31OCT17	6345.50	6858.27	USD	-12,819.25	
31JUL17	S	1	PD31OCT17	6375.50	6858.27	USD	-12,069.25	
31JUL17	S	2	PD31OCT17	6346.00	6858.27	USD	-25,613.50	
31JUL17	B	1	PD31OCT17	6354.00	6858.27	USD	12,606.75	
31JUL17	B	1	PD31OCT17	6389.00	6858.27	USD	11,731.75	
31JUL17	S	1	PD31OCT17	6382.00	6858.27	USD	-11,906.75	
31JUL17	B	1	PD31OCT17	6393.50	6858.27	USD	11,619.25	
31JUL17	B	3	PD31OCT17	6399.00	6858.27	USD	34,445.25	
31JUL17	B	3	PD31OCT17	6402.00	6858.27	USD	34,220.25	
31JUL17	B	1	PD31OCT17	6401.00	6858.27	USD	11,431.75	
31JUL17	B	1	PD31OCT17	6401.50	6858.27	USD	11,419.25	
31JUL17	S	1	PD31OCT17	6418.00	6858.27	USD	-11,006.75	
31JUL17	B	1	PD31OCT17	6419.00	6858.27	USD	10,981.75	
31JUL17	B	1	PD31OCT17	6419.50	6858.27	USD	10,969.25	
31JUL17	B	2	PD31OCT17	6418.50	6858.27	USD	21,988.50	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0094X

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
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backoffice@sapiencap.com

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
31JUL17	B	2	PD31OCT17	6420.00	6858.27	USD	21,913.50	
31JUL17	B	4	PD31OCT17	6418.00	6858.27	USD	44,027.00	
31JUL17	B	1	PD31OCT17	6425.50	6858.27	USD	10,819.25	
31JUL17	S	1	PD31OCT17	6409.00	6858.27	USD	-11,231.75	
31JUL17	B	1	PD31OCT17	6385.00	6858.27	USD	11,831.75	
31JUL17	B	1	PD31OCT17	6382.00	6858.27	USD	11,906.75	
31JUL17	B	1	PD31OCT17	6384.50	6858.27	USD	11,844.25	
31JUL17	B	1	PD31OCT17	6386.50	6858.27	USD	11,794.25	
31JUL17	B	1	PD31OCT17	6371.50	6858.27	USD	12,169.25	
31JUL17	B	1	PD31OCT17	6370.50	6858.27	USD	12,194.25	
31JUL17	B	2	PD31OCT17	6374.50	6858.27	USD	24,188.50	
31JUL17	B	3	PD31OCT17	6375.50	6858.27	USD	36,207.75	
31JUL17	S	2	PD31OCT17	6376.50	6858.27	USD	-24,088.50	
31JUL17	S	5	PD31OCT17	6376.00	6858.27	USD	-60,283.75	
31JUL17	S	1	PD31OCT17	6371.50	6858.27	USD	-12,169.25	
31JUL17	S	1	PD31OCT17	6370.00	6858.27	USD	-12,206.75	
31JUL17	S	1	PD31OCT17	6368.50	6858.27	USD	-12,244.25	
31JUL17	B	1	PD31OCT17	6377.00	6858.27	USD	12,031.75	
31JUL17	B	1	PD31OCT17	6347.00	6858.27	USD	12,781.75	
31JUL17	S	1	PD31OCT17	6363.50	6858.27	USD	-12,369.25	
31JUL17	S	2	PD31OCT17	6364.50	6858.27	USD	-24,688.50	
31JUL17	S	3	PD31OCT17	6363.00	6858.27	USD	-37,145.25	
31JUL17	S	3	PD31OCT17	6364.00	6858.27	USD	-37,070.25	
31JUL17	S	1	PD31OCT17	6366.50	6858.27	USD	-12,294.25	
31JUL17	S	1	PD31OCT17	6373.50	6858.27	USD	-12,119.25	
31JUL17	S	1	PD31OCT17	6374.00	6858.27	USD	-12,106.75	
31JUL17	S	1	PD31OCT17	6373.00	6858.27	USD	-12,131.75	
08AUG17	S	1	PD31OCT17	6476.63	6858.27	USD	-9,541.00	
09AUG17	S	3	PD31OCT17	6451.34	6858.27	USD	-30,519.75	
10AUG17	S	9	PD31OCT17	6418.69	6858.27	USD	-98,905.50	
15AUG17	S	1	PD31OCT17	6373.47	6858.27	USD	-12,120.00	
22AUG17	B	6	PD31OCT17	6574.30	6858.27	USD	42,595.50	
	NET	0AVG BGHT 6417.41	SOLD 6387.70				-33,424.25	0.00
01AUG17	B	1	PD01NOV17	6372.00	6859.05	USD	12,176.25	
01AUG17	B	1	PD01NOV17	6371.50	6859.05	USD	12,188.75	
01AUG17	S	1	PD01NOV17	6371.00	6859.05	USD	-12,201.25	
01AUG17	S	1	PD01NOV17	6373.50	6859.05	USD	-12,138.75	
01AUG17	B	2	PD01NOV17	6380.50	6859.05	USD	23,927.50	
01AUG17	B	2	PD01NOV17	6380.00	6859.05	USD	23,952.50	
01AUG17	S	3	PD01NOV17	6376.00	6859.05	USD	-36,228.75	
01AUG17	S	1	PD01NOV17	6375.00	6859.05	USD	-12,101.25	
01AUG17	S	1	PD01NOV17	6379.00	6859.05	USD	-12,001.25	
01AUG17	B	2	PD01NOV17	6341.00	6859.05	USD	25,902.50	
01AUG17	B	1	PD01NOV17	6341.50	6859.05	USD	12,938.75	
01AUG17	B	2	PD01NOV17	6342.50	6859.05	USD	25,827.50	
01AUG17	B	1	PD01NOV17	6343.50	6859.05	USD	12,888.75	
01AUG17	B	1	PD01NOV17	6339.00	6859.05	USD	13,001.25	
01AUG17	S	1	PD01NOV17	6340.00	6859.05	USD	-12,976.25	
01AUG17	S	3	PD01NOV17	6339.00	6859.05	USD	-39,003.75	
01AUG17	S	1	PD01NOV17	6343.00	6859.05	USD	-12,901.25	
01AUG17	B	1	PD01NOV17	6348.00	6859.05	USD	12,776.25	
01AUG17	B	1	PD01NOV17	6350.50	6859.05	USD	12,713.75	
01AUG17	B	1	PD01NOV17	6322.00	6859.05	USD	13,426.25	
01AUG17	B	1	PD01NOV17	6318.00	6859.05	USD	13,526.25	
01AUG17	B	1	PD01NOV17	6327.00	6859.05	USD	13,301.25	
01AUG17	S	1	PD01NOV17	6345.50	6859.05	USD	-12,838.75	
01AUG17	S	2	PD01NOV17	6346.50	6859.05	USD	-25,627.50	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
01AUG17	B	1	PD01NOV17	6350.00	6859.05	USD	12,726.25	
07AUG17	S	3	PD01NOV17	6411.35	6859.05	USD	-33,577.50	
15AUG17	S	1	PD01NOV17	6373.90	6859.05	USD	-12,128.75	
	NET	0AVG BGHT 6351.11	SOLD 6367.00				7,548.75	0.00
02AUG17	B	1	PD02NOV17	6335.00	6859.82	USD	13,120.50	
02AUG17	S	1	PD02NOV17	6350.00	6859.82	USD	-12,745.50	
02AUG17	S	1	PD02NOV17	6327.00	6859.82	USD	-13,320.50	
02AUG17	S	1	PD02NOV17	6326.00	6859.82	USD	-13,345.50	
02AUG17	B	1	PD02NOV17	6341.00	6859.82	USD	12,970.50	
02AUG17	B	1	PD02NOV17	6339.00	6859.82	USD	13,020.50	
02AUG17	S	1	PD02NOV17	6363.50	6859.82	USD	-12,408.00	
02AUG17	B	1	PD02NOV17	6353.00	6859.82	USD	12,670.50	
09AUG17	B	1	PD02NOV17	6452.31	6859.82	USD	10,187.75	
10AUG17	S	1	PD02NOV17	6419.51	6859.82	USD	-11,007.75	
22AUG17	S	1	PD02NOV17	6575.13	6859.82	USD	-7,117.25	
30AUG17	B	1	PD02NOV17	6761.02	6859.82	USD	2,470.00	
	NET	0AVG BGHT 6430.22	SOLD 6393.52				-5,504.75	0.00
03AUG17	S	1	PD03NOV17	6346.50	6860.59	USD	-12,852.25	
03AUG17	S	1	PD03NOV17	6347.00	6860.59	USD	-12,839.75	
03AUG17	B	1	PD03NOV17	6344.50	6860.59	USD	12,902.25	
03AUG17	S	1	PD03NOV17	6361.00	6860.59	USD	-12,489.75	
03AUG17	S	1	PD03NOV17	6359.50	6860.59	USD	-12,527.25	
03AUG17	S	2	PD03NOV17	6365.00	6860.59	USD	-24,779.50	
03AUG17	S	1	PD03NOV17	6370.00	6860.59	USD	-12,264.75	
03AUG17	B	1	PD03NOV17	6325.00	6860.59	USD	13,389.75	
03AUG17	B	1	PD03NOV17	6320.50	6860.59	USD	13,502.25	
03AUG17	B	1	PD03NOV17	6305.50	6860.59	USD	13,877.25	
03AUG17	S	1	PD03NOV17	6307.50	6860.59	USD	-13,827.25	
03AUG17	S	1	PD03NOV17	6313.50	6860.59	USD	-13,677.25	
03AUG17	S	1	PD03NOV17	6318.50	6860.59	USD	-13,552.25	
03AUG17	S	1	PD03NOV17	6316.50	6860.59	USD	-13,602.25	
03AUG17	B	1	PD03NOV17	6308.00	6860.59	USD	13,814.75	
03AUG17	S	1	PD03NOV17	6329.00	6860.59	USD	-13,289.75	
03AUG17	S	1	PD03NOV17	6329.50	6860.59	USD	-13,277.25	
03AUG17	S	1	PD03NOV17	6339.00	6860.59	USD	-13,039.75	
03AUG17	S	1	PD03NOV17	6343.00	6860.59	USD	-12,939.75	
03AUG17	S	3	PD03NOV17	6342.50	6860.59	USD	-38,856.75	
03AUG17	S	2	PD03NOV17	6344.00	6860.59	USD	-25,829.50	
03AUG17	S	17	PD03NOV17	6342.00	6860.59	USD	-220,400.75	
03AUG17	B	14	PD03NOV17	6341.50	6860.59	USD	181,681.50	
03AUG17	B	5	PD03NOV17	6340.50	6860.59	USD	65,011.25	
03AUG17	B	3	PD03NOV17	6342.00	6860.59	USD	38,894.25	
03AUG17	B	2	PD03NOV17	6342.50	6860.59	USD	25,904.50	
03AUG17	B	2	PD03NOV17	6341.00	6860.59	USD	25,979.50	
03AUG17	S	1	PD03NOV17	6341.00	6860.59	USD	-12,989.75	
03AUG17	S	5	PD03NOV17	6330.50	6860.59	USD	-66,261.25	
03AUG17	S	1	PD03NOV17	6327.50	6860.59	USD	-13,327.25	
03AUG17	S	1	PD03NOV17	6338.00	6860.59	USD	-13,064.75	
03AUG17	S	1	PD03NOV17	6336.50	6860.59	USD	-13,102.25	
03AUG17	S	1	PD03NOV17	6340.00	6860.59	USD	-13,014.75	
03AUG17	S	3	PD03NOV17	6341.50	6860.59	USD	-38,931.75	
03AUG17	B	1	PD03NOV17	6351.50	6860.59	USD	12,727.25	
03AUG17	B	2	PD03NOV17	6346.50	6860.59	USD	25,704.50	
04AUG17	B	1	PD03NOV17	6373.00	6860.59	USD	12,189.75	
04AUG17	B	1	PD03NOV17	6366.00	6860.59	USD	12,364.75	
04AUG17	B	1	PD03NOV17	6371.50	6860.59	USD	12,227.25	
04AUG17	B	1	PD03NOV17	6379.50	6860.59	USD	12,027.25	

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04AUG17	B	1	PD03NOV17	6380.50	6860.59	USD	12,002.25	
04AUG17	B	2	PD03NOV17	6380.00	6860.59	USD	24,029.50	
04AUG17	S	1	PD03NOV17	6356.00	6860.59	USD	-12,614.75	
04AUG17	B	3	PD03NOV17	6334.00	6860.59	USD	39,494.25	
04AUG17	B	1	PD03NOV17	6340.50	6860.59	USD	13,002.25	
04AUG17	B	1	PD03NOV17	6355.00	6860.59	USD	12,639.75	
04AUG17	S	1	PD03NOV17	6369.00	6860.59	USD	-12,289.75	
04AUG17	B	1	PD03NOV17	6360.00	6860.59	USD	12,514.75	
04AUG17	S	1	PD03NOV17	6360.00	6860.59	USD	-12,514.75	
07AUG17	S	1	PD03NOV17	6412.16	6860.59	USD	-11,210.75	
09AUG17	S	3	PD03NOV17	6452.49	6860.59	USD	-30,607.50	
10AUG17	S	1	PD03NOV17	6419.93	6860.59	USD	-11,016.50	
17AUG17	S	1	PD03NOV17	6486.86	6860.59	USD	-9,343.25	
22AUG17	B	1	PD03NOV17	6575.85	6860.59	USD	7,118.50	
22AUG17	B	8	PD03NOV17	6575.65	6860.59	USD	56,988.00	
06SEP17	B	1	PD03NOV17	6889.70	6860.59	USD	-727.75	
11SEP17	B	2	PD03NOV17	6731.00	6860.59	USD	6,479.50	
	NET	0AVG BGHT 6402.46	SOLD 6351.89				-74,595.75	0.00
07AUG17	S	1	PD07NOV17	6361.00	6863.68	USD	-12,567.00	
07AUG17	B	1	PD07NOV17	6349.00	6863.68	USD	12,867.00	
07AUG17	B	1	PD07NOV17	6350.00	6863.68	USD	12,842.00	
07AUG17	B	1	PD07NOV17	6349.50	6863.68	USD	12,854.50	
07AUG17	S	1	PD07NOV17	6391.50	6863.68	USD	-11,804.50	
07AUG17	S	1	PD07NOV17	6369.50	6863.68	USD	-12,354.50	
07AUG17	S	1	PD07NOV17	6368.50	6863.68	USD	-12,379.50	
07AUG17	S	1	PD07NOV17	6346.00	6863.68	USD	-12,942.00	
07AUG17	B	1	PD07NOV17	6390.50	6863.68	USD	11,829.50	
07AUG17	S	1	PD07NOV17	6371.50	6863.68	USD	-12,304.50	
07AUG17	S	1	PD07NOV17	6366.50	6863.68	USD	-12,429.50	
07AUG17	S	1	PD07NOV17	6350.00	6863.68	USD	-12,842.00	
07AUG17	S	1	PD07NOV17	6366.00	6863.68	USD	-12,442.00	
07AUG17	B	1	PD07NOV17	6389.00	6863.68	USD	11,867.00	
07AUG17	S	1	PD07NOV17	6367.00	6863.68	USD	-12,417.00	
07AUG17	S	1	PD07NOV17	6372.00	6863.68	USD	-12,292.00	
07AUG17	S	1	PD07NOV17	6365.00	6863.68	USD	-12,467.00	
07AUG17	B	2	PD07NOV17	6346.50	6863.68	USD	25,859.00	
07AUG17	S	1	PD07NOV17	6345.00	6863.68	USD	-12,967.00	
07AUG17	S	1	PD07NOV17	6357.50	6863.68	USD	-12,654.50	
07AUG17	B	1	PD07NOV17	6423.00	6863.68	USD	11,017.00	
07AUG17	S	2	PD07NOV17	6373.00	6863.68	USD	-24,534.00	
07AUG17	B	1	PD07NOV17	6347.50	6863.68	USD	12,904.50	
07AUG17	S	1	PD07NOV17	6355.00	6863.68	USD	-12,717.00	
07AUG17	B	3	PD07NOV17	6366.00	6863.68	USD	37,326.00	
07AUG17	B	1	PD07NOV17	6357.00	6863.68	USD	12,667.00	
07AUG17	B	1	PD07NOV17	6367.50	6863.68	USD	12,404.50	
07AUG17	B	1	PD07NOV17	6362.00	6863.68	USD	12,542.00	
07AUG17	S	1	PD07NOV17	6372.50	6863.68	USD	-12,279.50	
07AUG17	B	1	PD07NOV17	6349.50	6863.68	USD	12,854.50	
07AUG17	B	1	PD07NOV17	6380.00	6863.68	USD	12,092.00	
07AUG17	B	2	PD07NOV17	6373.50	6863.68	USD	24,509.00	
07AUG17	B	2	PD07NOV17	6386.50	6863.68	USD	23,859.00	
07AUG17	B	1	PD07NOV17	6383.50	6863.68	USD	12,004.50	
07AUG17	B	1	PD07NOV17	6384.50	6863.68	USD	11,979.50	
07AUG17	S	1	PD07NOV17	6398.50	6863.68	USD	-11,629.50	
07AUG17	B	2	PD07NOV17	6420.00	6863.68	USD	22,184.00	
07AUG17	B	1	PD07NOV17	6419.00	6863.68	USD	11,117.00	
07AUG17	B	1	PD07NOV17	6418.00	6863.68	USD	11,142.00	

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07AUG17	B	1	PD07NOV17	6424.00	6863.68	USD	10,992.00	
07AUG17	S	1	PD07NOV17	6447.00	6863.68	USD	-10,417.00	
07AUG17	B	1	PD07NOV17	6440.50	6863.68	USD	10,579.50	
07AUG17	B	1	PD07NOV17	6439.00	6863.68	USD	10,617.00	
07AUG17	B	2	PD07NOV17	6438.00	6863.68	USD	21,284.00	
07AUG17	B	3	PD07NOV17	6439.50	6863.68	USD	31,813.50	
07AUG17	S	1	PD07NOV17	6439.00	6863.68	USD	-10,617.00	
07AUG17	S	1	PD07NOV17	6431.00	6863.68	USD	-10,817.00	
07AUG17	S	1	PD07NOV17	6419.50	6863.68	USD	-11,104.50	
07AUG17	B	1	PD07NOV17	6414.00	6863.68	USD	11,242.00	
07AUG17	S	1	PD07NOV17	6420.50	6863.68	USD	-11,079.50	
07AUG17	B	1	PD07NOV17	6422.00	6863.68	USD	11,042.00	
07AUG17	B	1	PD07NOV17	6403.00	6863.68	USD	11,517.00	
07AUG17	S	1	PD07NOV17	6405.00	6863.68	USD	-11,467.00	
07AUG17	S	1	PD07NOV17	6414.00	6863.68	USD	-11,242.00	
07AUG17	S	1	PD07NOV17	6410.00	6863.68	USD	-11,342.00	
09AUG17	S	1	PD07NOV17	6454.03	6863.68	USD	-10,241.25	
10AUG17	S	3	PD07NOV17	6421.57	6863.68	USD	-33,158.25	
17AUG17	S	1	PD07NOV17	6489.01	6863.68	USD	-9,366.75	
22AUG17	S	6	PD07NOV17	6577.53	6863.68	USD	-42,922.50	
06SEP17	S	1	PD07NOV17	6891.77	6863.68	USD	702.25	
12SEP17	B	1	PD07NOV17	6651.78	6863.68	USD	5,297.50	
	NET	0 AVG BGHT 6398.96	SOLD 6433.84				34,010.50	0.00
08AUG17	B	1	PD08NOV17	6431.00	6864.45	USD	10,836.25	
08AUG17	B	1	PD08NOV17	6426.50	6864.45	USD	10,948.75	
08AUG17	B	1	PD08NOV17	6405.00	6864.45	USD	11,486.25	
08AUG17	B	1	PD08NOV17	6409.50	6864.45	USD	11,373.75	
08AUG17	S	1	PD08NOV17	6396.00	6864.45	USD	-11,711.25	
08AUG17	S	1	PD08NOV17	6398.00	6864.45	USD	-11,661.25	
08AUG17	B	1	PD08NOV17	6401.00	6864.45	USD	11,586.25	
08AUG17	B	3	PD08NOV17	6403.50	6864.45	USD	34,571.25	
08AUG17	S	2	PD08NOV17	6406.00	6864.45	USD	-22,922.50	
08AUG17	S	2	PD08NOV17	6405.50	6864.45	USD	-22,947.50	
08AUG17	S	1	PD08NOV17	6410.50	6864.45	USD	-11,348.75	
08AUG17	B	1	PD08NOV17	6410.00	6864.45	USD	11,361.25	
08AUG17	B	1	PD08NOV17	6408.00	6864.45	USD	11,411.25	
08AUG17	S	1	PD08NOV17	6384.50	6864.45	USD	-11,998.75	
08AUG17	S	2	PD08NOV17	6393.50	6864.45	USD	-23,547.50	
08AUG17	B	1	PD08NOV17	6402.00	6864.45	USD	11,561.25	
08AUG17	B	1	PD08NOV17	6404.00	6864.45	USD	11,511.25	
08AUG17	S	4	PD08NOV17	6393.00	6864.45	USD	-47,145.00	
08AUG17	B	2	PD08NOV17	6407.50	6864.45	USD	22,847.50	
08AUG17	S	2	PD08NOV17	6408.50	6864.45	USD	-22,797.50	
08AUG17	B	2	PD08NOV17	6404.00	6864.45	USD	23,022.50	
08AUG17	S	1	PD08NOV17	6407.00	6864.45	USD	-11,436.25	
08AUG17	B	1	PD08NOV17	6399.50	6864.45	USD	11,623.75	
08AUG17	B	1	PD08NOV17	6414.50	6864.45	USD	11,248.75	
08AUG17	B	1	PD08NOV17	6417.00	6864.45	USD	11,186.25	
08AUG17	B	3	PD08NOV17	6419.00	6864.45	USD	33,408.75	
08AUG17	B	2	PD08NOV17	6418.50	6864.45	USD	22,297.50	
08AUG17	B	2	PD08NOV17	6418.00	6864.45	USD	22,322.50	
08AUG17	B	4	PD08NOV17	6419.50	6864.45	USD	44,495.00	
08AUG17	B	1	PD08NOV17	6425.00	6864.45	USD	10,986.25	
08AUG17	B	4	PD08NOV17	6431.50	6864.45	USD	43,295.00	
08AUG17	B	2	PD08NOV17	6436.00	6864.45	USD	21,422.50	
08AUG17	B	1	PD08NOV17	6437.00	6864.45	USD	10,686.25	
08AUG17	B	2	PD08NOV17	6436.50	6864.45	USD	21,397.50	

OPEN POSITION STATEMENT



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SAP0094X

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
08AUG17	B	3	PD08NOV17	6438.00	6864.45	USD	31,983.75	
08AUG17	B	1	PD08NOV17	6442.00	6864.45	USD	10,561.25	
08AUG17	B	1	PD08NOV17	6441.00	6864.45	USD	10,586.25	
08AUG17	B	1	PD08NOV17	6450.00	6864.45	USD	10,361.25	
08AUG17	B	2	PD08NOV17	6449.00	6864.45	USD	20,772.50	
08AUG17	B	1	PD08NOV17	6458.00	6864.45	USD	10,161.25	
08AUG17	B	1	PD08NOV17	6462.50	6864.45	USD	10,048.75	
08AUG17	B	3	PD08NOV17	6469.00	6864.45	USD	29,658.75	
08AUG17	B	1	PD08NOV17	6464.00	6864.45	USD	10,011.25	
08AUG17	B	1	PD08NOV17	6471.50	6864.45	USD	9,823.75	
08AUG17	B	2	PD08NOV17	6469.50	6864.45	USD	19,747.50	
08AUG17	B	3	PD08NOV17	6480.00	6864.45	USD	28,833.75	
08AUG17	B	3	PD08NOV17	6474.50	6864.45	USD	29,246.25	
08AUG17	B	2	PD08NOV17	6476.50	6864.45	USD	19,397.50	
08AUG17	B	2	PD08NOV17	6488.00	6864.45	USD	18,822.50	
08AUG17	B	1	PD08NOV17	6483.50	6864.45	USD	9,523.75	
08AUG17	B	1	PD08NOV17	6482.00	6864.45	USD	9,561.25	
08AUG17	S	1	PD08NOV17	6474.00	6864.45	USD	-9,761.25	
09AUG17	S	2	PD08NOV17	6454.42	6864.45	USD	-20,501.50	
17AUG17	S	6	PD08NOV17	6489.55	6864.45	USD	-56,235.00	
22AUG17	S	4	PD08NOV17	6578.05	6864.45	USD	-28,640.00	
22AUG17	S	5	PD08NOV17	6578.25	6864.45	USD	-35,775.00	
30AUG17	S	1	PD08NOV17	6764.26	6864.45	USD	-2,504.75	
06SEP17	S	4	PD08NOV17	6892.33	6864.45	USD	2,788.00	
11SEP17	S	8	PD08NOV17	6734.13	6864.45	USD	-26,064.00	
11SEP17	S	12	PD08NOV17	6734.33	6864.45	USD	-39,036.00	
12SEP17	S	9	PD08NOV17	6652.27	6864.45	USD	-47,740.50	
	NET	0AVG BGHT 6437.79	SOLD 6597.21				275,002.50	0.00
09AUG17	S	1	PD09NOV17	6456.50	6865.23	USD	-10,218.25	
09AUG17	S	1	PD09NOV17	6458.00	6865.23	USD	-10,180.75	
09AUG17	S	2	PD09NOV17	6465.50	6865.23	USD	-19,986.50	
09AUG17	S	1	PD09NOV17	6483.00	6865.23	USD	-9,555.75	
09AUG17	B	1	PD09NOV17	6485.50	6865.23	USD	9,493.25	
09AUG17	B	1	PD09NOV17	6481.00	6865.23	USD	9,605.75	
09AUG17	B	1	PD09NOV17	6478.00	6865.23	USD	9,680.75	
09AUG17	B	1	PD09NOV17	6498.00	6865.23	USD	9,180.75	
09AUG17	B	2	PD09NOV17	6497.50	6865.23	USD	18,386.50	
09AUG17	B	1	PD09NOV17	6509.50	6865.23	USD	8,893.25	
09AUG17	B	1	PD09NOV17	6510.00	6865.23	USD	8,880.75	
09AUG17	B	1	PD09NOV17	6513.00	6865.23	USD	8,805.75	
09AUG17	B	1	PD09NOV17	6488.00	6865.23	USD	9,430.75	
09AUG17	B	1	PD09NOV17	6489.50	6865.23	USD	9,393.25	
09AUG17	B	7	PD09NOV17	6486.00	6865.23	USD	66,365.25	
09AUG17	S	2	PD09NOV17	6486.00	6865.23	USD	-18,961.50	
09AUG17	S	4	PD09NOV17	6487.00	6865.23	USD	-37,823.00	
09AUG17	S	1	PD09NOV17	6491.00	6865.23	USD	-9,355.75	
09AUG17	B	1	PD09NOV17	6480.50	6865.23	USD	9,618.25	
09AUG17	B	1	PD09NOV17	6492.50	6865.23	USD	9,318.25	
09AUG17	B	2	PD09NOV17	6474.50	6865.23	USD	19,536.50	
09AUG17	B	1	PD09NOV17	6476.50	6865.23	USD	9,718.25	
09AUG17	B	1	PD09NOV17	6472.50	6865.23	USD	9,818.25	
09AUG17	B	1	PD09NOV17	6470.50	6865.23	USD	9,868.25	
09AUG17	S	1	PD09NOV17	6443.00	6865.23	USD	-10,555.75	
09AUG17	S	1	PD09NOV17	6450.50	6865.23	USD	-10,368.25	
09AUG17	S	1	PD09NOV17	6453.50	6865.23	USD	-10,293.25	
09AUG17	S	1	PD09NOV17	6455.00	6865.23	USD	-10,255.75	
09AUG17	S	1	PD09NOV17	6450.00	6865.23	USD	-10,380.75	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
09AUG17	S	1	PD09NOV17	6452.00	6865.23	USD	-10,330.75	
09AUG17	S	1	PD09NOV17	6452.50	6865.23	USD	-10,318.25	
17AUG17	S	3	PD09NOV17	6490.05	6865.23	USD	-28,138.50	
17AUG17	B	1	PD09NOV17	6490.25	6865.23	USD	9,374.50	
30AUG17	S	1	PD09NOV17	6764.60	6865.23	USD	-2,515.75	
11SEP17	S	1	PD09NOV17	6735.00	6865.23	USD	-3,255.75	
12SEP17	S	2	PD09NOV17	6653.15	6865.23	USD	-10,604.00	
	NET	0 AVG BGHT 6487.74	SOLD 6506.62				12,270.00	0.00
10AUG17	B	1	PD10NOV17	6459.00	6866.00	USD	10,175.00	
10AUG17	S	2	PD10NOV17	6456.50	6866.00	USD	-20,475.00	
10AUG17	S	1	PD10NOV17	6459.50	6866.00	USD	-10,162.50	
10AUG17	B	1	PD10NOV17	6455.00	6866.00	USD	10,275.00	
10AUG17	B	1	PD10NOV17	6446.50	6866.00	USD	10,487.50	
10AUG17	B	1	PD10NOV17	6454.00	6866.00	USD	10,300.00	
10AUG17	B	1	PD10NOV17	6451.50	6866.00	USD	10,362.50	
10AUG17	B	2	PD10NOV17	6450.50	6866.00	USD	20,775.00	
10AUG17	B	1	PD10NOV17	6447.00	6866.00	USD	10,475.00	
10AUG17	B	5	PD10NOV17	6447.50	6866.00	USD	52,312.50	
10AUG17	B	11	PD10NOV17	6448.00	6866.00	USD	114,950.00	
10AUG17	S	5	PD10NOV17	6446.50	6866.00	USD	-52,437.50	
10AUG17	S	10	PD10NOV17	6443.50	6866.00	USD	-105,625.00	
10AUG17	S	5	PD10NOV17	6445.00	6866.00	USD	-52,625.00	
10AUG17	S	1	PD10NOV17	6402.00	6866.00	USD	-11,600.00	
10AUG17	S	1	PD10NOV17	6402.50	6866.00	USD	-11,587.50	
10AUG17	S	1	PD10NOV17	6406.50	6866.00	USD	-11,487.50	
10AUG17	S	1	PD10NOV17	6413.50	6866.00	USD	-11,312.50	
10AUG17	S	2	PD10NOV17	6411.50	6866.00	USD	-22,725.00	
10AUG17	S	5	PD10NOV17	6416.00	6866.00	USD	-56,250.00	
11AUG17	B	1	PD10NOV17	6397.00	6866.00	USD	11,725.00	
11AUG17	S	1	PD10NOV17	6392.50	6866.00	USD	-11,837.50	
11AUG17	B	1	PD10NOV17	6393.00	6866.00	USD	11,825.00	
11AUG17	B	2	PD10NOV17	6388.50	6866.00	USD	23,875.00	
11AUG17	S	1	PD10NOV17	6389.00	6866.00	USD	-11,925.00	
11AUG17	S	1	PD10NOV17	6390.50	6866.00	USD	-11,887.50	
11AUG17	S	1	PD10NOV17	6391.00	6866.00	USD	-11,875.00	
11AUG17	S	1	PD10NOV17	6393.00	6866.00	USD	-11,825.00	
11AUG17	B	1	PD10NOV17	6392.00	6866.00	USD	11,850.00	
11AUG17	B	1	PD10NOV17	6395.50	6866.00	USD	11,762.50	
11AUG17	B	1	PD10NOV17	6398.50	6866.00	USD	11,687.50	
11AUG17	B	1	PD10NOV17	6399.50	6866.00	USD	11,662.50	
11AUG17	B	4	PD10NOV17	6398.00	6866.00	USD	46,800.00	
11AUG17	B	1	PD10NOV17	6391.00	6866.00	USD	11,875.00	
11AUG17	S	2	PD10NOV17	6370.00	6866.00	USD	-24,800.00	
11AUG17	S	1	PD10NOV17	6367.00	6866.00	USD	-12,475.00	
11AUG17	S	2	PD10NOV17	6361.50	6866.00	USD	-25,225.00	
11AUG17	S	1	PD10NOV17	6360.00	6866.00	USD	-12,650.00	
11AUG17	S	1	PD10NOV17	6361.00	6866.00	USD	-12,625.00	
11AUG17	S	1	PD10NOV17	6355.50	6866.00	USD	-12,762.50	
11AUG17	S	1	PD10NOV17	6346.50	6866.00	USD	-12,987.50	
11AUG17	S	1	PD10NOV17	6347.50	6866.00	USD	-12,962.50	
11AUG17	S	1	PD10NOV17	6349.50	6866.00	USD	-12,912.50	
11AUG17	S	1	PD10NOV17	6353.50	6866.00	USD	-12,812.50	
11AUG17	S	1	PD10NOV17	6348.00	6866.00	USD	-12,950.00	
11AUG17	S	2	PD10NOV17	6365.50	6866.00	USD	-25,025.00	
11AUG17	S	6	PD10NOV17	6363.00	6866.00	USD	-75,450.00	
11AUG17	S	1	PD10NOV17	6362.00	6866.00	USD	-12,600.00	
11AUG17	S	4	PD10NOV17	6363.50	6866.00	USD	-50,250.00	

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11AUG17	S	1	PD10NOV17	6367.50	6866.00	USD	-12,462.50	
11AUG17	S	1	PD10NOV17	6364.00	6866.00	USD	-12,550.00	
11AUG17	S	3	PD10NOV17	6369.50	6866.00	USD	-37,237.50	
11AUG17	S	1	PD10NOV17	6370.50	6866.00	USD	-12,387.50	
11AUG17	S	6	PD10NOV17	6365.00	6866.00	USD	-75,150.00	
11AUG17	S	2	PD10NOV17	6364.50	6866.00	USD	-25,075.00	
11AUG17	B	1	PD10NOV17	6364.50	6866.00	USD	12,537.50	
11AUG17	B	2	PD10NOV17	6361.00	6866.00	USD	25,250.00	
11AUG17	S	3	PD10NOV17	6354.50	6866.00	USD	-38,362.50	
11AUG17	S	1	PD10NOV17	6354.00	6866.00	USD	-12,800.00	
11AUG17	S	1	PD10NOV17	6356.00	6866.00	USD	-12,750.00	
11AUG17	B	1	PD10NOV17	6354.00	6866.00	USD	12,800.00	
11AUG17	B	1	PD10NOV17	6358.50	6866.00	USD	12,687.50	
11AUG17	S	4	PD10NOV17	6359.50	6866.00	USD	-50,650.00	
11AUG17	S	3	PD10NOV17	6360.50	6866.00	USD	-37,912.50	
11AUG17	B	2	PD10NOV17	6357.50	6866.00	USD	25,425.00	
11AUG17	B	2	PD10NOV17	6356.00	6866.00	USD	25,500.00	
11AUG17	S	3	PD10NOV17	6349.00	6866.00	USD	-38,775.00	
11AUG17	S	2	PD10NOV17	6350.00	6866.00	USD	-25,800.00	
11AUG17	S	1	PD10NOV17	6351.00	6866.00	USD	-12,875.00	
11AUG17	S	2	PD10NOV17	6359.00	6866.00	USD	-25,350.00	
11AUG17	S	2	PD10NOV17	6345.50	6866.00	USD	-26,025.00	
11AUG17	S	4	PD10NOV17	6350.50	6866.00	USD	-51,550.00	
11AUG17	S	1	PD10NOV17	6352.00	6866.00	USD	-12,850.00	
11AUG17	S	1	PD10NOV17	6342.50	6866.00	USD	-13,087.50	
11AUG17	S	1	PD10NOV17	6341.00	6866.00	USD	-13,125.00	
11AUG17	S	1	PD10NOV17	6345.00	6866.00	USD	-13,025.00	
11AUG17	S	2	PD10NOV17	6346.00	6866.00	USD	-26,000.00	
11AUG17	S	1	PD10NOV17	6382.50	6866.00	USD	-12,087.50	
11AUG17	B	1	PD10NOV17	6384.00	6866.00	USD	12,050.00	
11AUG17	S	1	PD10NOV17	6381.50	6866.00	USD	-12,112.50	
11AUG17	B	1	PD10NOV17	6356.50	6866.00	USD	12,737.50	
11AUG17	S	1	PD10NOV17	6345.00	6866.00	USD	-13,025.00	
11AUG17	S	1	PD10NOV17	6397.00	6866.00	USD	-11,725.00	
11AUG17	S	1	PD10NOV17	6396.00	6866.00	USD	-11,750.00	
11AUG17	S	1	PD10NOV17	6409.50	6866.00	USD	-11,412.50	
11AUG17	S	1	PD10NOV17	6405.50	6866.00	USD	-11,512.50	
11AUG17	S	1	PD10NOV17	6402.00	6866.00	USD	-11,600.00	
11AUG17	S	1	PD10NOV17	6403.00	6866.00	USD	-11,575.00	
11AUG17	S	1	PD10NOV17	6399.50	6866.00	USD	-11,662.50	
11AUG17	B	5	PD10NOV17	6400.00	6866.00	USD	58,250.00	
11AUG17	S	1	PD10NOV17	6399.00	6866.00	USD	-11,675.00	
11AUG17	B	2	PD10NOV17	6400.50	6866.00	USD	23,275.00	
11AUG17	B	3	PD10NOV17	6399.00	6866.00	USD	35,025.00	
11AUG17	B	1	PD10NOV17	6396.00	6866.00	USD	11,750.00	
11AUG17	S	1	PD10NOV17	6407.50	6866.00	USD	-11,462.50	
11AUG17	S	2	PD10NOV17	6411.00	6866.00	USD	-22,750.00	
11AUG17	S	1	PD10NOV17	6409.00	6866.00	USD	-11,425.00	
11AUG17	S	2	PD10NOV17	6420.50	6866.00	USD	-22,275.00	
11AUG17	S	2	PD10NOV17	6421.00	6866.00	USD	-22,250.00	
11AUG17	S	2	PD10NOV17	6421.50	6866.00	USD	-22,225.00	
11AUG17	S	1	PD10NOV17	6415.00	6866.00	USD	-11,275.00	
11AUG17	S	3	PD10NOV17	6415.50	6866.00	USD	-33,787.50	
11AUG17	S	1	PD10NOV17	6413.50	6866.00	USD	-11,312.50	
11AUG17	S	1	PD10NOV17	6427.00	6866.00	USD	-10,975.00	
11AUG17	S	1	PD10NOV17	6431.50	6866.00	USD	-10,862.50	
11AUG17	S	1	PD10NOV17	6426.00	6866.00	USD	-11,000.00	

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FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
11AUG17	S	1	PD10NOV17	6399.00	6866.00	USD	-11,675.00	
17AUG17	B	5	PD10NOV17	6490.75	6866.00	USD	46,906.25	
22AUG17	B	5	PD10NOV17	6579.45	6866.00	USD	35,818.75	
22AUG17	B	7	PD10NOV17	6579.25	6866.00	USD	50,181.25	
30AUG17	B	1	PD10NOV17	6765.54	6866.00	USD	2,511.50	
30AUG17	B	17	PD10NOV17	6765.34	6866.00	USD	42,780.50	
06SEP17	B	2	PD10NOV17	6893.67	6866.00	USD	-1,383.50	
11SEP17	B	10	PD10NOV17	6735.67	6866.00	USD	32,582.50	
11SEP17	B	13	PD10NOV17	6735.87	6866.00	USD	42,292.25	
12SEP17	B	2	PD10NOV17	6654.03	6866.00	USD	10,598.50	
12SEP17	B	20	PD10NOV17	6653.83	6866.00	USD	106,085.00	
	NET	0 AVG BGHT 6571.29	SOLD 6388.46				-644,502.00	0.00
14AUG17	S	2	PD14NOV17	6405.00	6864.67	USD	-22,983.50	
14AUG17	B	1	PD14NOV17	6436.50	6864.67	USD	10,704.25	
14AUG17	S	1	PD14NOV17	6437.50	6864.67	USD	-10,679.25	
14AUG17	S	1	PD14NOV17	6438.00	6864.67	USD	-10,666.75	
14AUG17	B	1	PD14NOV17	6418.50	6864.67	USD	11,154.25	
14AUG17	S	1	PD14NOV17	6413.00	6864.67	USD	-11,291.75	
14AUG17	S	1	PD14NOV17	6418.50	6864.67	USD	-11,154.25	
14AUG17	S	3	PD14NOV17	6405.50	6864.67	USD	-34,437.75	
14AUG17	S	1	PD14NOV17	6420.50	6864.67	USD	-11,104.25	
14AUG17	S	1	PD14NOV17	6423.50	6864.67	USD	-11,029.25	
14AUG17	S	1	PD14NOV17	6424.00	6864.67	USD	-11,016.75	
14AUG17	S	2	PD14NOV17	6407.00	6864.67	USD	-22,883.50	
14AUG17	S	2	PD14NOV17	6412.50	6864.67	USD	-22,608.50	
14AUG17	B	1	PD14NOV17	6434.50	6864.67	USD	10,754.25	
14AUG17	S	1	PD14NOV17	6414.50	6864.67	USD	-11,254.25	
14AUG17	S	2	PD14NOV17	6408.00	6864.67	USD	-22,833.50	
14AUG17	S	1	PD14NOV17	6408.50	6864.67	USD	-11,404.25	
14AUG17	S	2	PD14NOV17	6406.50	6864.67	USD	-22,908.50	
14AUG17	S	1	PD14NOV17	6404.00	6864.67	USD	-11,516.75	
14AUG17	S	1	PD14NOV17	6404.50	6864.67	USD	-11,504.25	
14AUG17	B	2	PD14NOV17	6403.00	6864.67	USD	23,083.50	
14AUG17	B	1	PD14NOV17	6402.50	6864.67	USD	11,554.25	
14AUG17	B	1	PD14NOV17	6403.50	6864.67	USD	11,529.25	
14AUG17	B	2	PD14NOV17	6406.00	6864.67	USD	22,933.50	
14AUG17	B	1	PD14NOV17	6405.00	6864.67	USD	11,491.75	
14AUG17	S	2	PD14NOV17	6406.00	6864.67	USD	-22,933.50	
14AUG17	S	1	PD14NOV17	6397.50	6864.67	USD	-11,679.25	
14AUG17	S	1	PD14NOV17	6401.50	6864.67	USD	-11,579.25	
14AUG17	S	2	PD14NOV17	6411.00	6864.67	USD	-22,683.50	
14AUG17	S	3	PD14NOV17	6413.50	6864.67	USD	-33,837.75	
14AUG17	S	4	PD14NOV17	6416.00	6864.67	USD	-44,867.00	
14AUG17	B	1	PD14NOV17	6391.50	6864.67	USD	11,829.25	
30AUG17	B	10	PD14NOV17	6767.50	6864.67	USD	24,292.50	
06SEP17	B	1	PD14NOV17	6895.93	6864.67	USD	-781.50	
11SEP17	B	6	PD14NOV17	6738.33	6864.67	USD	18,951.00	
12SEP17	B	9	PD14NOV17	6656.57	6864.67	USD	46,822.50	
	NET	0 AVG BGHT 6632.97	SOLD 6411.85				-204,538.50	0.00
15AUG17	S	1	PD15NOV17	6395.50	6864.00	USD	-11,712.50	
15AUG17	B	2	PD15NOV17	6418.00	6864.00	USD	22,300.00	
15AUG17	B	1	PD15NOV17	6420.00	6864.00	USD	11,100.00	
15AUG17	B	1	PD15NOV17	6415.00	6864.00	USD	11,225.00	
15AUG17	S	4	PD15NOV17	6416.50	6864.00	USD	-44,750.00	
15AUG17	S	5	PD15NOV17	6418.00	6864.00	USD	-55,750.00	
15AUG17	B	2	PD15NOV17	6413.00	6864.00	USD	22,550.00	
15AUG17	B	2	PD15NOV17	6414.50	6864.00	USD	22,475.00	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0094X

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
15AUG17	S	1	PD15NOV17	6412.00	6864.00	USD	-11,300.00	
15AUG17	B	8	PD15NOV17	6414.00	6864.00	USD	90,000.00	
15AUG17	S	1	PD15NOV17	6413.00	6864.00	USD	-11,275.00	
15AUG17	S	2	PD15NOV17	6417.50	6864.00	USD	-22,325.00	
15AUG17	S	1	PD15NOV17	6419.00	6864.00	USD	-11,125.00	
15AUG17	S	1	PD15NOV17	6389.00	6864.00	USD	-11,875.00	
15AUG17	S	1	PD15NOV17	6381.50	6864.00	USD	-12,062.50	
15AUG17	B	1	PD15NOV17	6367.50	6864.00	USD	12,412.50	
15AUG17	B	1	PD15NOV17	6364.50	6864.00	USD	12,487.50	
17AUG17	S	2	PD15NOV17	6491.80	6864.00	USD	-18,610.00	
30AUG17	B	1	PD15NOV17	6767.50	6864.00	USD	2,412.50	
	NET	0AVG BGHT 6428.29	SOLD 6420.24				-3,822.50	0.00
16AUG17	B	1	PD16NOV17	6358.50	6863.00	USD	12,612.50	
16AUG17	S	1	PD16NOV17	6357.50	6863.00	USD	-12,637.50	
16AUG17	B	1	PD16NOV17	6365.50	6863.00	USD	12,437.50	
16AUG17	B	1	PD16NOV17	6371.00	6863.00	USD	12,300.00	
16AUG17	B	2	PD16NOV17	6385.00	6863.00	USD	23,900.00	
16AUG17	B	5	PD16NOV17	6384.50	6863.00	USD	59,812.50	
16AUG17	B	1	PD16NOV17	6389.50	6863.00	USD	11,837.50	
16AUG17	B	1	PD16NOV17	6384.00	6863.00	USD	11,975.00	
16AUG17	B	1	PD16NOV17	6390.00	6863.00	USD	11,825.00	
16AUG17	B	1	PD16NOV17	6363.50	6863.00	USD	12,487.50	
16AUG17	S	1	PD16NOV17	6466.00	6863.00	USD	-9,925.00	
16AUG17	S	1	PD16NOV17	6461.00	6863.00	USD	-10,050.00	
16AUG17	B	1	PD16NOV17	6467.50	6863.00	USD	9,887.50	
16AUG17	S	1	PD16NOV17	6460.00	6863.00	USD	-10,075.00	
16AUG17	S	2	PD16NOV17	6457.00	6863.00	USD	-20,300.00	
16AUG17	S	1	PD16NOV17	6449.50	6863.00	USD	-10,337.50	
16AUG17	S	1	PD16NOV17	6450.00	6863.00	USD	-10,325.00	
16AUG17	S	1	PD16NOV17	6447.00	6863.00	USD	-10,400.00	
16AUG17	S	7	PD16NOV17	6445.50	6863.00	USD	-73,062.50	
16AUG17	S	10	PD16NOV17	6445.00	6863.00	USD	-104,500.00	
16AUG17	S	1	PD16NOV17	6444.50	6863.00	USD	-10,462.50	
16AUG17	B	10	PD16NOV17	6442.50	6863.00	USD	105,125.00	
16AUG17	B	10	PD16NOV17	6446.00	6863.00	USD	104,250.00	
16AUG17	B	3	PD16NOV17	6448.50	6863.00	USD	31,087.50	
16AUG17	B	1	PD16NOV17	6449.50	6863.00	USD	10,337.50	
16AUG17	S	2	PD16NOV17	6476.50	6863.00	USD	-19,325.00	
16AUG17	B	1	PD16NOV17	6487.50	6863.00	USD	9,387.50	
16AUG17	B	2	PD16NOV17	6508.50	6863.00	USD	17,725.00	
16AUG17	B	1	PD16NOV17	6509.50	6863.00	USD	8,837.50	
16AUG17	B	1	PD16NOV17	6526.50	6863.00	USD	8,412.50	
16AUG17	B	1	PD16NOV17	6520.00	6863.00	USD	8,575.00	
16AUG17	B	2	PD16NOV17	6522.50	6863.00	USD	17,025.00	
16AUG17	B	1	PD16NOV17	6516.50	6863.00	USD	8,662.50	
16AUG17	B	1	PD16NOV17	6512.00	6863.00	USD	8,775.00	
16AUG17	B	2	PD16NOV17	6517.00	6863.00	USD	17,300.00	
16AUG17	B	1	PD16NOV17	6519.00	6863.00	USD	8,600.00	
16AUG17	B	1	PD16NOV17	6516.00	6863.00	USD	8,675.00	
16AUG17	S	2	PD16NOV17	6541.00	6863.00	USD	-16,100.00	
16AUG17	S	1	PD16NOV17	6536.00	6863.00	USD	-8,175.00	
16AUG17	S	4	PD16NOV17	6537.50	6863.00	USD	-32,550.00	
16AUG17	S	1	PD16NOV17	6492.00	6863.00	USD	-9,275.00	
16AUG17	S	1	PD16NOV17	6542.00	6863.00	USD	-8,025.00	
17AUG17	S	4	PD16NOV17	6489.80	6863.00	USD	-37,320.00	
06SEP17	S	1	PD16NOV17	6893.30	6863.00	USD	757.50	
11SEP17	S	2	PD16NOV17	6736.30	6863.00	USD	-6,335.00	

OPEN POSITION STATEMENT



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13th October 2017

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
12SEP17	S	8	PD16NOV17	6656.05	6863.00	USD	-41,390.00	
	NET	0 AVG BGHT 6446.51	SOLD 6515.97				92,037.50	0.00
17AUG17	B	1	PD17NOV17	6549.00	6863.47	USD	7,861.75	
17AUG17	B	1	PD17NOV17	6554.00	6863.47	USD	7,736.75	
17AUG17	B	1	PD17NOV17	6565.50	6863.47	USD	7,449.25	
17AUG17	B	1	PD17NOV17	6565.00	6863.47	USD	7,461.75	
17AUG17	B	1	PD17NOV17	6575.00	6863.47	USD	7,211.75	
17AUG17	B	1	PD17NOV17	6580.00	6863.47	USD	7,086.75	
17AUG17	B	1	PD17NOV17	6569.00	6863.47	USD	7,361.75	
17AUG17	B	1	PD17NOV17	6574.50	6863.47	USD	7,224.25	
17AUG17	B	2	PD17NOV17	6549.50	6863.47	USD	15,698.50	
17AUG17	B	1	PD17NOV17	6507.50	6863.47	USD	8,899.25	
17AUG17	B	1	PD17NOV17	6495.50	6863.47	USD	9,199.25	
17AUG17	B	1	PD17NOV17	6498.00	6863.47	USD	9,136.75	
17AUG17	B	1	PD17NOV17	6502.00	6863.47	USD	9,036.75	
17AUG17	B	3	PD17NOV17	6503.50	6863.47	USD	26,997.75	
17AUG17	B	4	PD17NOV17	6504.00	6863.47	USD	35,947.00	
17AUG17	B	3	PD17NOV17	6500.50	6863.47	USD	27,222.75	
17AUG17	B	2	PD17NOV17	6502.50	6863.47	USD	18,048.50	
17AUG17	B	3	PD17NOV17	6503.00	6863.47	USD	27,035.25	
17AUG17	B	7	PD17NOV17	6501.50	6863.47	USD	63,344.75	
17AUG17	S	3	PD17NOV17	6500.00	6863.47	USD	-27,260.25	
17AUG17	S	7	PD17NOV17	6499.00	6863.47	USD	-63,782.25	
17AUG17	S	1	PD17NOV17	6504.00	6863.47	USD	-8,986.75	
17AUG17	S	3	PD17NOV17	6503.00	6863.47	USD	-27,035.25	
17AUG17	S	1	PD17NOV17	6500.50	6863.47	USD	-9,074.25	
17AUG17	S	7	PD17NOV17	6501.00	6863.47	USD	-63,432.25	
17AUG17	S	1	PD17NOV17	6502.00	6863.47	USD	-9,036.75	
17AUG17	S	1	PD17NOV17	6501.50	6863.47	USD	-9,049.25	
17AUG17	S	2	PD17NOV17	6503.50	6863.47	USD	-17,998.50	
17AUG17	B	4	PD17NOV17	6500.00	6863.47	USD	36,347.00	
17AUG17	B	1	PD17NOV17	6504.50	6863.47	USD	8,974.25	
17AUG17	B	1	PD17NOV17	6515.50	6863.47	USD	8,699.25	
17AUG17	B	2	PD17NOV17	6510.00	6863.47	USD	17,673.50	
17AUG17	B	2	PD17NOV17	6499.50	6863.47	USD	18,198.50	
17AUG17	B	1	PD17NOV17	6499.00	6863.47	USD	9,111.75	
17AUG17	B	1	PD17NOV17	6494.50	6863.47	USD	9,224.25	
17AUG17	B	1	PD17NOV17	6497.00	6863.47	USD	9,161.75	
17AUG17	B	1	PD17NOV17	6484.00	6863.47	USD	9,486.75	
17AUG17	B	1	PD17NOV17	6481.50	6863.47	USD	9,549.25	
17AUG17	B	2	PD17NOV17	6485.00	6863.47	USD	18,923.50	
17AUG17	B	1	PD17NOV17	6483.50	6863.47	USD	9,499.25	
17AUG17	B	2	PD17NOV17	6503.00	6863.47	USD	18,023.50	
17AUG17	S	1	PD17NOV17	6502.00	6863.47	USD	-9,036.75	
17AUG17	B	4	PD17NOV17	6500.50	6863.47	USD	36,297.00	
17AUG17	B	1	PD17NOV17	6489.50	6863.47	USD	9,349.25	
17AUG17	B	1	PD17NOV17	6488.00	6863.47	USD	9,386.75	
17AUG17	B	2	PD17NOV17	6487.00	6863.47	USD	18,823.50	
17AUG17	B	1	PD17NOV17	6473.50	6863.47	USD	9,749.25	
17AUG17	B	1	PD17NOV17	6476.50	6863.47	USD	9,674.25	
18AUG17	B	1	PD17NOV17	6473.50	6863.47	USD	9,749.25	
18AUG17	B	1	PD17NOV17	6483.50	6863.47	USD	9,499.25	
18AUG17	S	1	PD17NOV17	6490.00	6863.47	USD	-9,336.75	
18AUG17	S	1	PD17NOV17	6493.00	6863.47	USD	-9,261.75	
18AUG17	S	2	PD17NOV17	6493.50	6863.47	USD	-18,498.50	
18AUG17	B	1	PD17NOV17	6459.50	6863.47	USD	10,099.25	
18AUG17	B	1	PD17NOV17	6496.00	6863.47	USD	9,186.75	

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13th October 2017

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
18AUG17	B	2	PD17NOV17	6504.50	6863.47	USD	17,948.50	
18AUG17	B	5	PD17NOV17	6508.50	6863.47	USD	44,371.25	
18AUG17	B	4	PD17NOV17	6508.00	6863.47	USD	35,547.00	
18AUG17	B	5	PD17NOV17	6507.00	6863.47	USD	44,558.75	
18AUG17	S	1	PD17NOV17	6510.50	6863.47	USD	-8,824.25	
18AUG17	S	9	PD17NOV17	6510.00	6863.47	USD	-79,530.75	
18AUG17	B	1	PD17NOV17	6511.00	6863.47	USD	8,811.75	
18AUG17	S	1	PD17NOV17	6507.50	6863.47	USD	-8,899.25	
18AUG17	S	7	PD17NOV17	6508.50	6863.47	USD	-62,119.75	
18AUG17	B	1	PD17NOV17	6520.00	6863.47	USD	8,586.75	
18AUG17	B	1	PD17NOV17	6526.00	6863.47	USD	8,436.75	
18AUG17	B	1	PD17NOV17	6518.50	6863.47	USD	8,624.25	
18AUG17	B	1	PD17NOV17	6475.50	6863.47	USD	9,699.25	
18AUG17	B	2	PD17NOV17	6474.50	6863.47	USD	19,448.50	
18AUG17	B	2	PD17NOV17	6473.00	6863.47	USD	19,523.50	
18AUG17	B	1	PD17NOV17	6461.00	6863.47	USD	10,061.75	
18AUG17	B	1	PD17NOV17	6461.50	6863.47	USD	10,049.25	
18AUG17	B	3	PD17NOV17	6462.00	6863.47	USD	30,110.25	
18AUG17	B	1	PD17NOV17	6466.50	6863.47	USD	9,924.25	
18AUG17	B	1	PD17NOV17	6476.50	6863.47	USD	9,674.25	
18AUG17	B	1	PD17NOV17	6460.50	6863.47	USD	10,074.25	
18AUG17	B	1	PD17NOV17	6468.50	6863.47	USD	9,874.25	
18AUG17	B	2	PD17NOV17	6467.50	6863.47	USD	19,798.50	
18AUG17	B	1	PD17NOV17	6508.00	6863.47	USD	8,886.75	
18AUG17	B	1	PD17NOV17	6489.00	6863.47	USD	9,361.75	
18AUG17	B	3	PD17NOV17	6488.00	6863.47	USD	28,160.25	
22AUG17	S	7	PD17NOV17	6578.76	6863.47	USD	-49,824.25	
30AUG17	S	17	PD17NOV17	6765.55	6863.47	USD	-41,616.00	
06SEP17	S	2	PD17NOV17	6893.68	6863.47	USD	1,510.50	
06SEP17	S	2	PD17NOV17	6893.88	6863.47	USD	1,520.50	
11SEP17	S	11	PD17NOV17	6736.73	6863.47	USD	-34,853.50	
12SEP17	S	14	PD17NOV17	6656.27	6863.47	USD	-72,520.00	
19SEP17	S	1	PD17NOV17	6524.68	6863.47	USD	-8,469.75	
	NET	+8 AVG BGHT 6500.88	SOLD 6612.82				360,763.50	0.00
21AUG17	B	1	PD21NOV17	6529.50	6865.33	USD	8,395.75	
21AUG17	B	1	PD21NOV17	6508.00	6865.33	USD	8,933.25	
21AUG17	B	1	PD21NOV17	6490.00	6865.33	USD	9,383.25	
21AUG17	B	2	PD21NOV17	6529.00	6865.33	USD	16,816.50	
21AUG17	S	1	PD21NOV17	6484.00	6865.33	USD	-9,533.25	
21AUG17	B	1	PD21NOV17	6512.50	6865.33	USD	8,820.75	
21AUG17	B	2	PD21NOV17	6479.00	6865.33	USD	19,316.50	
21AUG17	S	1	PD21NOV17	6488.50	6865.33	USD	-9,420.75	
21AUG17	S	1	PD21NOV17	6489.00	6865.33	USD	-9,408.25	
21AUG17	B	1	PD21NOV17	6479.50	6865.33	USD	9,645.75	
21AUG17	B	1	PD21NOV17	6493.00	6865.33	USD	9,308.25	
21AUG17	S	1	PD21NOV17	6483.50	6865.33	USD	-9,545.75	
21AUG17	B	1	PD21NOV17	6481.50	6865.33	USD	9,595.75	
21AUG17	S	1	PD21NOV17	6514.00	6865.33	USD	-8,783.25	
21AUG17	B	1	PD21NOV17	6535.00	6865.33	USD	8,258.25	
21AUG17	B	2	PD21NOV17	6559.00	6865.33	USD	15,316.50	
21AUG17	B	1	PD21NOV17	6558.50	6865.33	USD	7,670.75	
21AUG17	B	2	PD21NOV17	6556.50	6865.33	USD	15,441.50	
21AUG17	B	1	PD21NOV17	6558.00	6865.33	USD	7,683.25	
21AUG17	B	1	PD21NOV17	6561.00	6865.33	USD	7,608.25	
21AUG17	B	2	PD21NOV17	6569.00	6865.33	USD	14,816.50	
21AUG17	B	1	PD21NOV17	6572.00	6865.33	USD	7,333.25	
21AUG17	B	1	PD21NOV17	6571.50	6865.33	USD	7,345.75	

OPEN POSITION STATEMENT



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SAP0094X

13th October 2017

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backoffice@sapiencap.com

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FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
21AUG17	B	2	PD21NOV17	6571.00	6865.33	USD	14,716.50	
21AUG17	B	1	PD21NOV17	6585.00	6865.33	USD	7,008.25	
21AUG17	B	2	PD21NOV17	6584.50	6865.33	USD	14,041.50	
21AUG17	B	1	PD21NOV17	6583.00	6865.33	USD	7,058.25	
21AUG17	B	1	PD21NOV17	6590.50	6865.33	USD	6,870.75	
21AUG17	B	1	PD21NOV17	6592.00	6865.33	USD	6,833.25	
21AUG17	B	2	PD21NOV17	6591.50	6865.33	USD	13,691.50	
21AUG17	B	1	PD21NOV17	6582.50	6865.33	USD	7,070.75	
21AUG17	S	1	PD21NOV17	6541.50	6865.33	USD	-8,095.75	
21AUG17	S	1	PD21NOV17	6541.00	6865.33	USD	-8,108.25	
21AUG17	S	1	PD21NOV17	6559.50	6865.33	USD	-7,645.75	
21AUG17	B	5	PD21NOV17	6560.00	6865.33	USD	38,166.25	
21AUG17	S	1	PD21NOV17	6543.00	6865.33	USD	-8,058.25	
21AUG17	B	1	PD21NOV17	6479.00	6865.33	USD	9,658.25	
21AUG17	B	1	PD21NOV17	6551.50	6865.33	USD	7,845.75	
21AUG17	B	1	PD21NOV17	6554.50	6865.33	USD	7,770.75	
21AUG17	B	1	PD21NOV17	6551.00	6865.33	USD	7,858.25	
21AUG17	B	2	PD21NOV17	6555.50	6865.33	USD	15,491.50	
21AUG17	B	1	PD21NOV17	6556.00	6865.33	USD	7,733.25	
21AUG17	B	4	PD21NOV17	6557.00	6865.33	USD	30,833.00	
21AUG17	B	4	PD21NOV17	6557.50	6865.33	USD	30,783.00	
21AUG17	B	2	PD21NOV17	6565.50	6865.33	USD	14,991.50	
21AUG17	B	4	PD21NOV17	6563.50	6865.33	USD	30,183.00	
21AUG17	B	5	PD21NOV17	6563.00	6865.33	USD	37,791.25	
21AUG17	B	5	PD21NOV17	6564.00	6865.33	USD	37,666.25	
21AUG17	S	1	PD21NOV17	6563.50	6865.33	USD	-7,545.75	
21AUG17	S	2	PD21NOV17	6562.50	6865.33	USD	-15,141.50	
21AUG17	B	2	PD21NOV17	6564.50	6865.33	USD	15,041.50	
21AUG17	B	3	PD21NOV17	6566.50	6865.33	USD	22,412.25	
21AUG17	B	2	PD21NOV17	6570.00	6865.33	USD	14,766.50	
21AUG17	B	1	PD21NOV17	6570.50	6865.33	USD	7,370.75	
21AUG17	S	2	PD21NOV17	6567.50	6865.33	USD	-14,891.50	
21AUG17	S	4	PD21NOV17	6563.00	6865.33	USD	-30,233.00	
21AUG17	B	1	PD21NOV17	6587.50	6865.33	USD	6,945.75	
21AUG17	B	3	PD21NOV17	6590.00	6865.33	USD	20,649.75	
21AUG17	B	4	PD21NOV17	6591.00	6865.33	USD	27,433.00	
21AUG17	B	1	PD21NOV17	6586.00	6865.33	USD	6,983.25	
21AUG17	S	1	PD21NOV17	6568.00	6865.33	USD	-7,433.25	
21AUG17	S	1	PD21NOV17	6553.50	6865.33	USD	-7,795.75	
21AUG17	S	1	PD21NOV17	6554.00	6865.33	USD	-7,783.25	
21AUG17	B	4	PD21NOV17	6599.00	6865.33	USD	26,633.00	
21AUG17	B	1	PD21NOV17	6614.50	6865.33	USD	6,270.75	
21AUG17	B	1	PD21NOV17	6620.50	6865.33	USD	6,120.75	
21AUG17	B	2	PD21NOV17	6609.50	6865.33	USD	12,791.50	
21AUG17	B	2	PD21NOV17	6621.50	6865.33	USD	12,191.50	
21AUG17	B	2	PD21NOV17	6622.50	6865.33	USD	12,141.50	
21AUG17	B	2	PD21NOV17	6622.00	6865.33	USD	12,166.50	
21AUG17	S	1	PD21NOV17	6577.00	6865.33	USD	-7,208.25	
21AUG17	S	1	PD21NOV17	6576.00	6865.33	USD	-7,233.25	
21AUG17	S	2	PD21NOV17	6579.00	6865.33	USD	-14,316.50	
21AUG17	B	1	PD21NOV17	6600.00	6865.33	USD	6,633.25	
21AUG17	B	1	PD21NOV17	6588.00	6865.33	USD	6,933.25	
21AUG17	S	5	PD21NOV17	6578.00	6865.33	USD	-35,916.25	
21AUG17	S	1	PD21NOV17	6569.00	6865.33	USD	-7,408.25	
22AUG17	S	5	PD21NOV17	6579.59	6865.33	USD	-35,717.50	
30AUG17	S	11	PD21NOV17	6766.55	6865.33	USD	-27,164.50	
30AUG17	S	15	PD21NOV17	6766.75	6865.33	USD	-36,967.50	

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06SEP17	B	1	PD21NOV17	6895.58	6865.33	USD	-756.25	
11SEP17	S	3	PD21NOV17	6738.47	6865.33	USD	-9,514.50	
11SEP17	S	9	PD21NOV17	6738.67	6865.33	USD	-28,498.50	
12SEP17	S	9	PD21NOV17	6657.14	6865.33	USD	-46,842.75	
12SEP17	S	19	PD21NOV17	6657.34	6865.33	USD	-98,795.25	
	NET	+2 AVG BGHT 6569.76	SOLD 6659.45				243,474.75	0.00
22AUG17	S	1	PD22NOV17	6576.00	6865.80	USD	-7,245.00	
22AUG17	B	1	PD22NOV17	6571.50	6865.80	USD	7,357.50	
22AUG17	B	1	PD22NOV17	6588.50	6865.80	USD	6,932.50	
22AUG17	B	2	PD22NOV17	6590.00	6865.80	USD	13,790.00	
22AUG17	B	1	PD22NOV17	6591.50	6865.80	USD	6,857.50	
22AUG17	B	1	PD22NOV17	6587.50	6865.80	USD	6,957.50	
22AUG17	B	1	PD22NOV17	6640.50	6865.80	USD	5,632.50	
22AUG17	B	2	PD22NOV17	6637.50	6865.80	USD	11,415.00	
22AUG17	B	1	PD22NOV17	6611.50	6865.80	USD	6,357.50	
22AUG17	B	2	PD22NOV17	6617.00	6865.80	USD	12,440.00	
22AUG17	B	2	PD22NOV17	6621.00	6865.80	USD	12,240.00	
22AUG17	S	1	PD22NOV17	6623.50	6865.80	USD	-6,057.50	
22AUG17	B	1	PD22NOV17	6645.00	6865.80	USD	5,520.00	
22AUG17	B	1	PD22NOV17	6646.50	6865.80	USD	5,482.50	
22AUG17	B	1	PD22NOV17	6647.00	6865.80	USD	5,470.00	
22AUG17	B	1	PD22NOV17	6647.50	6865.80	USD	5,457.50	
22AUG17	S	1	PD22NOV17	6646.00	6865.80	USD	-5,495.00	
22AUG17	B	1	PD22NOV17	6644.50	6865.80	USD	5,532.50	
22AUG17	B	1	PD22NOV17	6642.00	6865.80	USD	5,595.00	
22AUG17	B	1	PD22NOV17	6639.00	6865.80	USD	5,670.00	
22AUG17	S	1	PD22NOV17	6613.50	6865.80	USD	-6,307.50	
22AUG17	S	1	PD22NOV17	6604.50	6865.80	USD	-6,532.50	
22AUG17	S	1	PD22NOV17	6606.50	6865.80	USD	-6,482.50	
22AUG17	S	1	PD22NOV17	6607.00	6865.80	USD	-6,470.00	
22AUG17	S	1	PD22NOV17	6610.50	6865.80	USD	-6,382.50	
22AUG17	S	1	PD22NOV17	6614.50	6865.80	USD	-6,282.50	
22AUG17	S	1	PD22NOV17	6597.00	6865.80	USD	-6,720.00	
22AUG17	S	1	PD22NOV17	6608.50	6865.80	USD	-6,432.50	
22AUG17	S	1	PD22NOV17	6596.50	6865.80	USD	-6,732.50	
22AUG17	S	3	PD22NOV17	6598.50	6865.80	USD	-20,047.50	
22AUG17	B	3	PD22NOV17	6583.00	6865.80	USD	21,210.00	
22AUG17	B	1	PD22NOV17	6586.00	6865.80	USD	6,995.00	
22AUG17	S	1	PD22NOV17	6557.00	6865.80	USD	-7,720.00	
22AUG17	S	1	PD22NOV17	6571.50	6865.80	USD	-7,357.50	
22AUG17	S	1	PD22NOV17	6623.50	6865.80	USD	-6,057.50	
22AUG17	S	1	PD22NOV17	6579.00	6865.80	USD	-7,170.00	
22AUG17	S	2	PD22NOV17	6578.00	6865.80	USD	-14,390.00	
06SEP17	B	1	PD22NOV17	6895.95	6865.80	USD	-753.75	
06SEP17	S	1	PD22NOV17	6895.75	6865.80	USD	748.75	
12SEP17	B	1	PD22NOV17	6657.56	6865.80	USD	5,206.00	
15SEP17	S	3	PD22NOV17	6497.48	6865.80	USD	-27,624.00	
19SEP17	B	1	PD22NOV17	6527.05	6865.80	USD	8,468.75	
20SEP17	S	1	PD22NOV17	6515.60	6865.80	USD	-8,755.00	
	NET	+2 AVG BGHT 6623.18	SOLD 6595.78				-5,679.25	0.00
23AUG17	B	2	PD24NOV17	6559.00	6866.73	USD	15,386.50	
23AUG17	B	2	PD24NOV17	6554.50	6866.73	USD	15,611.50	
23AUG17	B	2	PD24NOV17	6553.00	6866.73	USD	15,686.50	
23AUG17	S	1	PD24NOV17	6569.00	6866.73	USD	-7,443.25	
23AUG17	B	1	PD24NOV17	6563.00	6866.73	USD	7,593.25	
23AUG17	S	2	PD24NOV17	6579.00	6866.73	USD	-14,386.50	
23AUG17	B	2	PD24NOV17	6565.00	6866.73	USD	15,086.50	

OPEN POSITION STATEMENT



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23AUG17	B	3	PD24NOV17	6569.00	6866.73	USD	22,329.75	
23AUG17	S	1	PD24NOV17	6573.50	6866.73	USD	-7,330.75	
23AUG17	B	1	PD24NOV17	6587.50	6866.73	USD	6,980.75	
23AUG17	B	1	PD24NOV17	6566.00	6866.73	USD	7,518.25	
23AUG17	B	1	PD24NOV17	6570.00	6866.73	USD	7,418.25	
23AUG17	S	1	PD24NOV17	6579.50	6866.73	USD	-7,180.75	
23AUG17	B	1	PD24NOV17	6581.00	6866.73	USD	7,143.25	
24AUG17	B	1	PD24NOV17	6589.00	6866.73	USD	6,943.25	
24AUG17	B	1	PD24NOV17	6587.00	6866.73	USD	6,993.25	
24AUG17	S	1	PD24NOV17	6609.00	6866.73	USD	-6,443.25	
24AUG17	S	1	PD24NOV17	6599.00	6866.73	USD	-6,693.25	
24AUG17	B	1	PD24NOV17	6635.50	6866.73	USD	5,780.75	
24AUG17	B	1	PD24NOV17	6632.50	6866.73	USD	5,855.75	
24AUG17	B	1	PD24NOV17	6621.50	6866.73	USD	6,130.75	
24AUG17	B	1	PD24NOV17	6626.00	6866.73	USD	6,018.25	
24AUG17	B	1	PD24NOV17	6620.50	6866.73	USD	6,155.75	
24AUG17	S	1	PD24NOV17	6602.50	6866.73	USD	-6,605.75	
24AUG17	B	3	PD24NOV17	6625.00	6866.73	USD	18,129.75	
24AUG17	S	6	PD24NOV17	6610.00	6866.73	USD	-38,509.50	
24AUG17	B	4	PD24NOV17	6611.00	6866.73	USD	25,573.00	
24AUG17	B	1	PD24NOV17	6613.50	6866.73	USD	6,330.75	
24AUG17	S	4	PD24NOV17	6604.50	6866.73	USD	-26,223.00	
24AUG17	S	1	PD24NOV17	6603.00	6866.73	USD	-6,593.25	
24AUG17	S	1	PD24NOV17	6607.00	6866.73	USD	-6,493.25	
24AUG17	S	7	PD24NOV17	6612.00	6866.73	USD	-44,577.75	
24AUG17	S	3	PD24NOV17	6613.50	6866.73	USD	-18,992.25	
24AUG17	S	1	PD24NOV17	6617.50	6866.73	USD	-6,230.75	
24AUG17	S	2	PD24NOV17	6616.00	6866.73	USD	-12,536.50	
24AUG17	S	2	PD24NOV17	6619.00	6866.73	USD	-12,386.50	
24AUG17	S	2	PD24NOV17	6627.00	6866.73	USD	-11,986.50	
24AUG17	S	1	PD24NOV17	6627.50	6866.73	USD	-5,980.75	
24AUG17	S	1	PD24NOV17	6628.00	6866.73	USD	-5,968.25	
24AUG17	S	2	PD24NOV17	6629.50	6866.73	USD	-11,861.50	
24AUG17	S	1	PD24NOV17	6630.00	6866.73	USD	-5,918.25	
24AUG17	S	3	PD24NOV17	6631.00	6866.73	USD	-17,679.75	
24AUG17	S	2	PD24NOV17	6629.00	6866.73	USD	-11,886.50	
24AUG17	S	1	PD24NOV17	6657.50	6866.73	USD	-5,230.75	
24AUG17	S	3	PD24NOV17	6658.00	6866.73	USD	-15,654.75	
24AUG17	S	1	PD24NOV17	6664.00	6866.73	USD	-5,068.25	
24AUG17	S	3	PD24NOV17	6665.50	6866.73	USD	-15,092.25	
24AUG17	S	1	PD24NOV17	6666.50	6866.73	USD	-5,005.75	
24AUG17	S	1	PD24NOV17	6668.00	6866.73	USD	-4,968.25	
24AUG17	S	2	PD24NOV17	6667.50	6866.73	USD	-9,961.50	
24AUG17	S	1	PD24NOV17	6667.00	6866.73	USD	-4,993.25	
24AUG17	S	1	PD24NOV17	6678.00	6866.73	USD	-4,718.25	
24AUG17	S	1	PD24NOV17	6683.00	6866.73	USD	-4,593.25	
24AUG17	S	2	PD24NOV17	6682.50	6866.73	USD	-9,211.50	
24AUG17	B	1	PD24NOV17	6670.00	6866.73	USD	4,918.25	
24AUG17	S	1	PD24NOV17	6676.50	6866.73	USD	-4,755.75	
24AUG17	S	1	PD24NOV17	6677.50	6866.73	USD	-4,730.75	
24AUG17	S	1	PD24NOV17	6680.50	6866.73	USD	-4,655.75	
24AUG17	S	1	PD24NOV17	6687.50	6866.73	USD	-4,480.75	
24AUG17	S	1	PD24NOV17	6694.50	6866.73	USD	-4,305.75	
24AUG17	S	1	PD24NOV17	6695.00	6866.73	USD	-4,293.25	
24AUG17	S	4	PD24NOV17	6700.50	6866.73	USD	-16,623.00	
24AUG17	S	1	PD24NOV17	6709.00	6866.73	USD	-3,943.25	
24AUG17	S	1	PD24NOV17	6709.50	6866.73	USD	-3,930.75	

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24AUG17	S	1	PD24NOV17	6710.00	6866.73	USD	-3,918.25	
24AUG17	S	1	PD24NOV17	6712.00	6866.73	USD	-3,868.25	
24AUG17	S	2	PD24NOV17	6708.50	6866.73	USD	-7,911.50	
24AUG17	S	2	PD24NOV17	6711.00	6866.73	USD	-7,786.50	
24AUG17	S	1	PD24NOV17	6704.50	6866.73	USD	-4,055.75	
24AUG17	S	1	PD24NOV17	6706.00	6866.73	USD	-4,018.25	
24AUG17	S	1	PD24NOV17	6707.50	6866.73	USD	-3,980.75	
24AUG17	B	1	PD24NOV17	6700.50	6866.73	USD	4,155.75	
24AUG17	S	1	PD24NOV17	6710.50	6866.73	USD	-3,905.75	
24AUG17	S	1	PD24NOV17	6715.50	6866.73	USD	-3,780.75	
24AUG17	S	3	PD24NOV17	6716.50	6866.73	USD	-11,267.25	
24AUG17	S	1	PD24NOV17	6729.50	6866.73	USD	-3,430.75	
24AUG17	B	2	PD24NOV17	6710.50	6866.73	USD	7,811.50	
24AUG17	S	1	PD24NOV17	6697.50	6866.73	USD	-4,230.75	
24AUG17	S	1	PD24NOV17	6702.50	6866.73	USD	-4,105.75	
24AUG17	S	1	PD24NOV17	6696.50	6866.73	USD	-4,255.75	
24AUG17	S	2	PD24NOV17	6697.00	6866.73	USD	-8,486.50	
24AUG17	S	1	PD24NOV17	6698.00	6866.73	USD	-4,218.25	
24AUG17	S	1	PD24NOV17	6696.00	6866.73	USD	-4,268.25	
25AUG17	S	1	PD24NOV17	6702.50	6866.73	USD	-4,105.75	
25AUG17	B	1	PD24NOV17	6701.00	6866.73	USD	4,143.25	
25AUG17	B	1	PD24NOV17	6712.50	6866.73	USD	3,855.75	
25AUG17	S	2	PD24NOV17	6703.00	6866.73	USD	-8,186.50	
25AUG17	S	1	PD24NOV17	6714.00	6866.73	USD	-3,818.25	
25AUG17	B	1	PD24NOV17	6714.00	6866.73	USD	3,818.25	
25AUG17	S	1	PD24NOV17	6729.00	6866.73	USD	-3,443.25	
25AUG17	S	2	PD24NOV17	6730.50	6866.73	USD	-6,811.50	
25AUG17	S	1	PD24NOV17	6724.00	6866.73	USD	-3,568.25	
25AUG17	S	1	PD24NOV17	6732.00	6866.73	USD	-3,368.25	
25AUG17	B	1	PD24NOV17	6734.00	6866.73	USD	3,318.25	
25AUG17	B	1	PD24NOV17	6727.00	6866.73	USD	3,493.25	
25AUG17	B	1	PD24NOV17	6731.50	6866.73	USD	3,380.75	
25AUG17	B	1	PD24NOV17	6733.50	6866.73	USD	3,330.75	
25AUG17	B	1	PD24NOV17	6732.50	6866.73	USD	3,355.75	
25AUG17	S	3	PD24NOV17	6741.00	6866.73	USD	-9,429.75	
25AUG17	B	1	PD24NOV17	6735.00	6866.73	USD	3,293.25	
25AUG17	B	1	PD24NOV17	6733.00	6866.73	USD	3,343.25	
25AUG17	B	1	PD24NOV17	6731.00	6866.73	USD	3,393.25	
25AUG17	S	1	PD24NOV17	6735.50	6866.73	USD	-3,280.75	
25AUG17	S	1	PD24NOV17	6738.50	6866.73	USD	-3,205.75	
25AUG17	S	1	PD24NOV17	6740.50	6866.73	USD	-3,155.75	
25AUG17	S	1	PD24NOV17	6739.00	6866.73	USD	-3,193.25	
25AUG17	B	1	PD24NOV17	6736.00	6866.73	USD	3,268.25	
25AUG17	B	1	PD24NOV17	6738.00	6866.73	USD	3,218.25	
25AUG17	B	1	PD24NOV17	6715.50	6866.73	USD	3,780.75	
25AUG17	B	1	PD24NOV17	6706.00	6866.73	USD	4,018.25	
25AUG17	B	1	PD24NOV17	6705.50	6866.73	USD	4,030.75	
25AUG17	B	1	PD24NOV17	6709.50	6866.73	USD	3,930.75	
25AUG17	B	1	PD24NOV17	6713.50	6866.73	USD	3,830.75	
25AUG17	B	1	PD24NOV17	6691.50	6866.73	USD	4,380.75	
25AUG17	S	2	PD24NOV17	6675.50	6866.73	USD	-9,561.50	
25AUG17	S	1	PD24NOV17	6675.00	6866.73	USD	-4,793.25	
25AUG17	S	1	PD24NOV17	6676.00	6866.73	USD	-4,768.25	
25AUG17	S	1	PD24NOV17	6677.00	6866.73	USD	-4,743.25	
25AUG17	S	1	PD24NOV17	6697.00	6866.73	USD	-4,243.25	
25AUG17	B	1	PD24NOV17	6697.00	6866.73	USD	4,243.25	
30AUG17	B	15	PD24NOV17	6767.70	6866.73	USD	37,136.25	

OPEN POSITION STATEMENT



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SAP0094X

13th October 2017

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backoffice@sapiencap.com

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Date	Open Position				Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>								
06SEP17	B	1	PD24NOV17		6896.70	6866.73	USD	-749.25	
11SEP17	B	7	PD24NOV17		6740.17	6866.73	USD	22,148.00	
12SEP17	B	14	PD24NOV17		6658.20	6866.73	USD	72,985.50	
14SEP17	B	6	PD24NOV17		6489.79	6866.73	USD	56,541.00	
15SEP17	B	3	PD24NOV17		6498.51	6866.73	USD	27,616.50	
15SEP17	B	7	PD24NOV17		6498.31	6866.73	USD	64,473.50	
	NET	-12 AVG	BGHT 6650.02	SOLD 6664.30				-22,159.75	0.00
29AUG17	B	1	PD29NOV17		6800.00	6869.07	USD	1,726.75	
29AUG17	S	1	PD29NOV17		6787.00	6869.07	USD	-2,051.75	
29AUG17	S	1	PD29NOV17		6789.50	6869.07	USD	-1,989.25	
29AUG17	B	1	PD29NOV17		6806.00	6869.07	USD	1,576.75	
29AUG17	S	3	PD29NOV17		6756.00	6869.07	USD	-8,480.25	
29AUG17	S	1	PD29NOV17		6758.00	6869.07	USD	-2,776.75	
29AUG17	S	1	PD29NOV17		6798.50	6869.07	USD	-1,764.25	
29AUG17	S	1	PD29NOV17		6817.50	6869.07	USD	-1,289.25	
29AUG17	S	1	PD29NOV17		6804.50	6869.07	USD	-1,614.25	
29AUG17	S	1	PD29NOV17		6809.00	6869.07	USD	-1,501.75	
29AUG17	S	1	PD29NOV17		6819.00	6869.07	USD	-1,251.75	
29AUG17	S	1	PD29NOV17		6821.50	6869.07	USD	-1,189.25	
29AUG17	S	1	PD29NOV17		6830.00	6869.07	USD	-976.75	
29AUG17	S	1	PD29NOV17		6833.50	6869.07	USD	-889.25	
29AUG17	S	1	PD29NOV17		6832.50	6869.07	USD	-914.25	
29AUG17	B	1	PD29NOV17		6816.00	6869.07	USD	1,326.75	
29AUG17	B	1	PD29NOV17		6810.50	6869.07	USD	1,464.25	
29AUG17	B	1	PD29NOV17		6822.50	6869.07	USD	1,164.25	
29AUG17	S	3	PD29NOV17		6793.50	6869.07	USD	-5,667.75	
29AUG17	S	1	PD29NOV17		6803.50	6869.07	USD	-1,639.25	
29AUG17	S	2	PD29NOV17		6804.00	6869.07	USD	-3,253.50	
29AUG17	S	2	PD29NOV17		6803.00	6869.07	USD	-3,303.50	
29AUG17	B	2	PD29NOV17		6803.50	6869.07	USD	3,278.50	
29AUG17	B	4	PD29NOV17		6802.50	6869.07	USD	6,657.00	
29AUG17	S	2	PD29NOV17		6802.00	6869.07	USD	-3,353.50	
06SEP17	B	6	PD29NOV17		6898.38	6869.07	USD	-4,396.50	
12SEP17	B	5	PD29NOV17		6660.98	6869.07	USD	26,011.25	
15SEP17	B	3	PD29NOV17		6500.38	6869.07	USD	27,651.75	
	NET	0 AVG	BGHT 6762.73	SOLD 6798.82				22,554.50	0.00
30AUG17	B	1	PD30NOV17		6815.50	6869.53	USD	1,350.75	
30AUG17	B	1	PD30NOV17		6799.50	6869.53	USD	1,750.75	
30AUG17	S	1	PD30NOV17		6800.50	6869.53	USD	-1,725.75	
30AUG17	B	1	PD30NOV17		6775.00	6869.53	USD	2,363.25	
30AUG17	B	2	PD30NOV17		6772.50	6869.53	USD	4,851.50	
30AUG17	B	1	PD30NOV17		6772.00	6869.53	USD	2,438.25	
30AUG17	S	5	PD30NOV17		6763.50	6869.53	USD	-13,253.75	
30AUG17	S	1	PD30NOV17		6778.00	6869.53	USD	-2,288.25	
30AUG17	B	2	PD30NOV17		6778.00	6869.53	USD	4,576.50	
30AUG17	B	2	PD30NOV17		6765.50	6869.53	USD	5,201.50	
31AUG17	S	1	PD30NOV17		6802.50	6869.53	USD	-1,675.75	
31AUG17	B	1	PD30NOV17		6799.00	6869.53	USD	1,763.25	
31AUG17	B	1	PD30NOV17		6806.00	6869.53	USD	1,588.25	
31AUG17	S	1	PD30NOV17		6812.00	6869.53	USD	-1,438.25	
31AUG17	B	4	PD30NOV17		6813.00	6869.53	USD	5,653.00	
31AUG17	B	1	PD30NOV17		6813.50	6869.53	USD	1,400.75	
31AUG17	B	1	PD30NOV17		6811.50	6869.53	USD	1,450.75	
31AUG17	S	1	PD30NOV17		6811.00	6869.53	USD	-1,463.25	
31AUG17	S	1	PD30NOV17		6807.00	6869.53	USD	-1,563.25	
31AUG17	S	1	PD30NOV17		6806.00	6869.53	USD	-1,588.25	
31AUG17	S	1	PD30NOV17		6801.00	6869.53	USD	-1,713.25	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
31AUG17	B	3	PD30NOV17	6792.00	6869.53	USD	5,814.75	
31AUG17	B	1	PD30NOV17	6791.50	6869.53	USD	1,950.75	
31AUG17	S	1	PD30NOV17	6796.00	6869.53	USD	-1,838.25	
31AUG17	B	1	PD30NOV17	6788.00	6869.53	USD	2,038.25	
31AUG17	B	1	PD30NOV17	6787.00	6869.53	USD	2,063.25	
31AUG17	B	2	PD30NOV17	6784.00	6869.53	USD	4,276.50	
31AUG17	B	2	PD30NOV17	6784.50	6869.53	USD	4,251.50	
31AUG17	B	1	PD30NOV17	6775.50	6869.53	USD	2,350.75	
31AUG17	B	2	PD30NOV17	6791.00	6869.53	USD	3,926.50	
31AUG17	B	4	PD30NOV17	6789.00	6869.53	USD	8,053.00	
31AUG17	B	1	PD30NOV17	6789.50	6869.53	USD	2,000.75	
31AUG17	B	2	PD30NOV17	6787.50	6869.53	USD	4,101.50	
31AUG17	S	1	PD30NOV17	6789.00	6869.53	USD	-2,013.25	
06SEP17	S	3	PD30NOV17	6898.55	6869.53	USD	2,176.50	
06SEP17	S	2	PD30NOV17	6898.75	6869.53	USD	1,461.00	
11SEP17	S	6	PD30NOV17	6742.57	6869.53	USD	-19,044.00	
12SEP17	S	1	PD30NOV17	6661.13	6869.53	USD	-5,210.00	
12SEP17	S	1	PD30NOV17	6661.33	6869.53	USD	-5,205.00	
14SEP17	S	2	PD30NOV17	6492.05	6869.53	USD	-18,874.00	
15SEP17	S	5	PD30NOV17	6500.59	6869.53	USD	-46,117.50	
19SEP17	S	1	PD30NOV17	6530.32	6869.53	USD	-8,480.25	
20SEP17	S	2	PD30NOV17	6519.07	6869.53	USD	-17,523.00	
	NET	0 AVG BGHT 6790.36	SOLD 6714.40				-72,161.50	0.00
01SEP17	B	1	PD01DEC17	6799.00	6870.00	USD	1,775.00	
01SEP17	S	1	PD01DEC17	6802.50	6870.00	USD	-1,687.50	
01SEP17	B	1	PD01DEC17	6805.00	6870.00	USD	1,625.00	
01SEP17	B	1	PD01DEC17	6803.00	6870.00	USD	1,675.00	
01SEP17	B	1	PD01DEC17	6803.50	6870.00	USD	1,662.50	
01SEP17	S	1	PD01DEC17	6800.50	6870.00	USD	-1,737.50	
01SEP17	B	1	PD01DEC17	6802.50	6870.00	USD	1,687.50	
01SEP17	B	1	PD01DEC17	6789.50	6870.00	USD	2,012.50	
01SEP17	B	1	PD01DEC17	6800.50	6870.00	USD	1,737.50	
01SEP17	B	3	PD01DEC17	6801.00	6870.00	USD	5,175.00	
01SEP17	S	1	PD01DEC17	6812.50	6870.00	USD	-1,437.50	
01SEP17	S	2	PD01DEC17	6811.00	6870.00	USD	-2,950.00	
01SEP17	S	2	PD01DEC17	6810.50	6870.00	USD	-2,975.00	
01SEP17	B	2	PD01DEC17	6815.00	6870.00	USD	2,750.00	
01SEP17	B	3	PD01DEC17	6813.50	6870.00	USD	4,237.50	
01SEP17	S	1	PD01DEC17	6815.50	6870.00	USD	-1,362.50	
01SEP17	B	2	PD01DEC17	6814.50	6870.00	USD	2,775.00	
01SEP17	B	1	PD01DEC17	6814.00	6870.00	USD	1,400.00	
01SEP17	B	2	PD01DEC17	6812.50	6870.00	USD	2,875.00	
01SEP17	B	2	PD01DEC17	6812.00	6870.00	USD	2,900.00	
01SEP17	B	5	PD01DEC17	6811.50	6870.00	USD	7,312.50	
01SEP17	B	5	PD01DEC17	6813.00	6870.00	USD	7,125.00	
01SEP17	B	1	PD01DEC17	6811.00	6870.00	USD	1,475.00	
01SEP17	B	3	PD01DEC17	6810.50	6870.00	USD	4,462.50	
01SEP17	B	2	PD01DEC17	6810.00	6870.00	USD	3,000.00	
01SEP17	B	1	PD01DEC17	6817.50	6870.00	USD	1,312.50	
01SEP17	S	1	PD01DEC17	6825.00	6870.00	USD	-1,125.00	
01SEP17	B	1	PD01DEC17	6818.00	6870.00	USD	1,300.00	
01SEP17	B	1	PD01DEC17	6807.00	6870.00	USD	1,575.00	
01SEP17	S	1	PD01DEC17	6831.50	6870.00	USD	-962.50	
01SEP17	B	1	PD01DEC17	6836.00	6870.00	USD	850.00	
01SEP17	B	1	PD01DEC17	6837.50	6870.00	USD	812.50	
01SEP17	B	1	PD01DEC17	6789.00	6870.00	USD	2,025.00	
01SEP17	B	2	PD01DEC17	6798.50	6870.00	USD	3,575.00	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
01SEP17	S	2	PD01DEC17	6812.50	6870.00	USD	-2,875.00	
06SEP17	S	3	PD01DEC17	6898.93	6870.00	USD	2,169.75	
06SEP17	S	1	PD01DEC17	6899.13	6870.00	USD	728.25	
11SEP17	S	10	PD01DEC17	6743.00	6870.00	USD	-31,750.00	
12SEP17	S	2	PD01DEC17	6661.89	6870.00	USD	-10,405.50	
14SEP17	S	6	PD01DEC17	6492.66	6870.00	USD	-56,601.00	
15SEP17	S	5	PD01DEC17	6501.01	6870.00	USD	-46,123.75	
15SEP17	S	1	PD01DEC17	6501.21	6870.00	USD	-9,219.75	
19SEP17	S	1	PD01DEC17	6530.75	6870.00	USD	-8,481.25	
20SEP17	S	5	PD01DEC17	6519.50	6870.00	USD	-43,812.50	
	NET	0 AVG BGHT 6809.90	SOLD 6678.17				-151,495.75	0.00
04SEP17	S	1	PD04DEC17	6868.50	6871.20	USD	-67.50	
04SEP17	S	1	PD04DEC17	6892.00	6871.20	USD	520.00	
04SEP17	B	1	PD04DEC17	6906.50	6871.20	USD	-882.50	
04SEP17	S	1	PD04DEC17	6899.50	6871.20	USD	707.50	
04SEP17	B	1	PD04DEC17	6886.00	6871.20	USD	-370.00	
04SEP17	S	1	PD04DEC17	6882.50	6871.20	USD	282.50	
04SEP17	S	2	PD04DEC17	6880.00	6871.20	USD	440.00	
04SEP17	B	1	PD04DEC17	6882.50	6871.20	USD	-282.50	
04SEP17	S	1	PD04DEC17	6889.00	6871.20	USD	445.00	
04SEP17	S	1	PD04DEC17	6908.00	6871.20	USD	920.00	
04SEP17	S	1	PD04DEC17	6907.50	6871.20	USD	907.50	
04SEP17	B	3	PD04DEC17	6905.00	6871.20	USD	-2,535.00	
04SEP17	B	1	PD04DEC17	6897.00	6871.20	USD	-645.00	
04SEP17	B	2	PD04DEC17	6900.50	6871.20	USD	-1,465.00	
04SEP17	B	2	PD04DEC17	6896.00	6871.20	USD	-1,240.00	
04SEP17	B	3	PD04DEC17	6901.00	6871.20	USD	-2,235.00	
04SEP17	B	2	PD04DEC17	6901.50	6871.20	USD	-1,515.00	
04SEP17	B	3	PD04DEC17	6902.00	6871.20	USD	-2,310.00	
04SEP17	B	3	PD04DEC17	6902.50	6871.20	USD	-2,347.50	
04SEP17	S	1	PD04DEC17	6906.50	6871.20	USD	882.50	
04SEP17	S	4	PD04DEC17	6906.00	6871.20	USD	3,480.00	
04SEP17	S	1	PD04DEC17	6910.50	6871.20	USD	982.50	
04SEP17	S	4	PD04DEC17	6910.00	6871.20	USD	3,880.00	
04SEP17	S	3	PD04DEC17	6911.50	6871.20	USD	3,022.50	
04SEP17	B	1	PD04DEC17	6910.50	6871.20	USD	-982.50	
04SEP17	B	1	PD04DEC17	6915.50	6871.20	USD	-1,107.50	
04SEP17	B	1	PD04DEC17	6922.50	6871.20	USD	-1,282.50	
04SEP17	B	4	PD04DEC17	6909.00	6871.20	USD	-3,780.00	
04SEP17	B	1	PD04DEC17	6911.50	6871.20	USD	-1,007.50	
04SEP17	B	1	PD04DEC17	6920.50	6871.20	USD	-1,232.50	
04SEP17	B	3	PD04DEC17	6921.00	6871.20	USD	-3,735.00	
06SEP17	B	2	PD04DEC17	6900.45	6871.20	USD	-1,462.50	
11SEP17	S	8	PD04DEC17	6744.50	6871.20	USD	-25,340.00	
14SEP17	S	4	PD04DEC17	6493.89	6871.20	USD	-37,731.00	
15SEP17	S	1	PD04DEC17	6502.45	6871.20	USD	-9,218.75	
25SEP17	S	1	PD04DEC17	6441.50	6871.20	USD	-10,742.50	
	NET	0 AVG BGHT 6905.00	SOLD 6797.17				-97,047.25	0.00
05SEP17	S	2	PD05DEC17	6930.50	6871.60	USD	2,945.00	
05SEP17	S	1	PD05DEC17	6925.00	6871.60	USD	1,335.00	
05SEP17	S	1	PD05DEC17	6924.50	6871.60	USD	1,322.50	
05SEP17	S	1	PD05DEC17	6922.00	6871.60	USD	1,260.00	
05SEP17	S	1	PD05DEC17	6924.00	6871.60	USD	1,310.00	
05SEP17	S	5	PD05DEC17	6925.50	6871.60	USD	6,737.50	
05SEP17	S	1	PD05DEC17	6927.50	6871.60	USD	1,397.50	
05SEP17	B	1	PD05DEC17	6938.00	6871.60	USD	-1,660.00	
05SEP17	B	1	PD05DEC17	6943.50	6871.60	USD	-1,797.50	

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05SEP17	B	1	PD05DEC17	6943.00	6871.60	USD	-1,785.00	
05SEP17	B	2	PD05DEC17	6942.00	6871.60	USD	-3,520.00	
05SEP17	B	1	PD05DEC17	6939.00	6871.60	USD	-1,685.00	
05SEP17	B	1	PD05DEC17	6938.50	6871.60	USD	-1,672.50	
05SEP17	B	1	PD05DEC17	6941.50	6871.60	USD	-1,747.50	
05SEP17	B	1	PD05DEC17	6941.00	6871.60	USD	-1,735.00	
05SEP17	B	2	PD05DEC17	6942.50	6871.60	USD	-3,545.00	
05SEP17	S	1	PD05DEC17	6942.00	6871.60	USD	1,760.00	
05SEP17	S	2	PD05DEC17	6938.50	6871.60	USD	3,345.00	
05SEP17	S	1	PD05DEC17	6939.00	6871.60	USD	1,685.00	
05SEP17	S	1	PD05DEC17	6941.50	6871.60	USD	1,747.50	
05SEP17	S	1	PD05DEC17	6940.00	6871.60	USD	1,710.00	
05SEP17	S	3	PD05DEC17	6935.50	6871.60	USD	4,792.50	
05SEP17	S	1	PD05DEC17	6933.50	6871.60	USD	1,547.50	
05SEP17	S	4	PD05DEC17	6932.50	6871.60	USD	6,090.00	
05SEP17	S	11	PD05DEC17	6932.00	6871.60	USD	16,610.00	
05SEP17	S	1	PD05DEC17	6943.50	6871.60	USD	1,797.50	
05SEP17	S	2	PD05DEC17	6940.50	6871.60	USD	3,445.00	
05SEP17	B	1	PD05DEC17	6940.00	6871.60	USD	-1,710.00	
05SEP17	B	1	PD05DEC17	6951.00	6871.60	USD	-1,985.00	
05SEP17	B	1	PD05DEC17	6951.50	6871.60	USD	-1,997.50	
05SEP17	B	1	PD05DEC17	6955.00	6871.60	USD	-2,085.00	
05SEP17	B	1	PD05DEC17	6965.50	6871.60	USD	-2,347.50	
05SEP17	B	1	PD05DEC17	6966.50	6871.60	USD	-2,372.50	
05SEP17	B	5	PD05DEC17	6963.50	6871.60	USD	-11,487.50	
05SEP17	B	4	PD05DEC17	6963.00	6871.60	USD	-9,140.00	
05SEP17	B	2	PD05DEC17	6964.00	6871.60	USD	-4,620.00	
05SEP17	B	1	PD05DEC17	6957.50	6871.60	USD	-2,147.50	
05SEP17	B	2	PD05DEC17	6957.00	6871.60	USD	-4,270.00	
05SEP17	B	7	PD05DEC17	6959.00	6871.60	USD	-15,295.00	
05SEP17	B	2	PD05DEC17	6954.00	6871.60	USD	-4,120.00	
05SEP17	B	1	PD05DEC17	6922.50	6871.60	USD	-1,272.50	
05SEP17	B	1	PD05DEC17	6922.00	6871.60	USD	-1,260.00	
05SEP17	B	1	PD05DEC17	6924.50	6871.60	USD	-1,322.50	
05SEP17	S	4	PD05DEC17	6926.50	6871.60	USD	5,490.00	
05SEP17	B	2	PD05DEC17	6914.00	6871.60	USD	-2,120.00	
05SEP17	B	1	PD05DEC17	6919.50	6871.60	USD	-1,197.50	
05SEP17	B	1	PD05DEC17	6925.50	6871.60	USD	-1,347.50	
05SEP17	B	2	PD05DEC17	6917.50	6871.60	USD	-2,295.00	
05SEP17	B	1	PD05DEC17	6904.50	6871.60	USD	-822.50	
05SEP17	B	2	PD05DEC17	6900.50	6871.60	USD	-1,445.00	
05SEP17	B	4	PD05DEC17	6906.00	6871.60	USD	-3,440.00	
05SEP17	B	1	PD05DEC17	6922.00	6871.60	USD	-1,260.00	
05SEP17	B	1	PD05DEC17	6899.50	6871.60	USD	-697.50	
05SEP17	B	1	PD05DEC17	6898.50	6871.60	USD	-672.50	
05SEP17	B	1	PD05DEC17	6897.50	6871.60	USD	-647.50	
05SEP17	B	2	PD05DEC17	6885.00	6871.60	USD	-670.00	
05SEP17	B	1	PD05DEC17	6884.50	6871.60	USD	-322.50	
05SEP17	B	2	PD05DEC17	6884.00	6871.60	USD	-620.00	
05SEP17	B	3	PD05DEC17	6886.00	6871.60	USD	-1,080.00	
05SEP17	B	1	PD05DEC17	6888.50	6871.60	USD	-422.50	
05SEP17	S	1	PD05DEC17	6848.00	6871.60	USD	-590.00	
05SEP17	B	1	PD05DEC17	6836.00	6871.60	USD	890.00	
05SEP17	S	1	PD05DEC17	6854.00	6871.60	USD	-440.00	
06SEP17	B	1	PD05DEC17	6900.83	6871.60	USD	-730.75	
11SEP17	S	7	PD05DEC17	6745.00	6871.60	USD	-22,155.00	
12SEP17	S	2	PD05DEC17	6664.11	6871.60	USD	-10,374.50	

OPEN POSITION STATEMENT



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SAP0094X

13th October 2017

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backoffice@sapiencap.com

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
14SEP17	S	3	PD05DEC17	6494.30	6871.60	USD	-28,297.50	
15SEP17	S	5	PD05DEC17	6502.86	6871.60	USD	-46,092.50	
19SEP17	S	1	PD05DEC17	6533.08	6871.60	USD	-8,463.00	
20SEP17	S	7	PD05DEC17	6521.50	6871.60	USD	-61,267.50	
	NET	0 AVG BGHT 6931.03	SOLD 6808.87				-216,833.25	0.00
06SEP17	S	1	PD06DEC17	6873.50	6872.00	USD	37.50	
06SEP17	S	1	PD06DEC17	6872.00	6872.00	USD	0.00	
06SEP17	S	1	PD06DEC17	6884.00	6872.00	USD	300.00	
06SEP17	S	1	PD06DEC17	6889.00	6872.00	USD	425.00	
06SEP17	B	1	PD06DEC17	6895.50	6872.00	USD	-587.50	
06SEP17	B	1	PD06DEC17	6897.00	6872.00	USD	-625.00	
06SEP17	B	1	PD06DEC17	6899.50	6872.00	USD	-687.50	
06SEP17	S	1	PD06DEC17	6902.00	6872.00	USD	750.00	
06SEP17	S	1	PD06DEC17	6897.00	6872.00	USD	625.00	
06SEP17	S	1	PD06DEC17	6899.00	6872.00	USD	675.00	
06SEP17	S	1	PD06DEC17	6898.50	6872.00	USD	662.50	
06SEP17	S	2	PD06DEC17	6898.00	6872.00	USD	1,300.00	
06SEP17	S	2	PD06DEC17	6904.00	6872.00	USD	1,600.00	
06SEP17	S	2	PD06DEC17	6904.50	6872.00	USD	1,625.00	
06SEP17	S	2	PD06DEC17	6908.00	6872.00	USD	1,800.00	
06SEP17	S	2	PD06DEC17	6909.00	6872.00	USD	1,850.00	
06SEP17	S	2	PD06DEC17	6909.50	6872.00	USD	1,875.00	
06SEP17	S	2	PD06DEC17	6913.00	6872.00	USD	2,050.00	
06SEP17	S	3	PD06DEC17	6913.50	6872.00	USD	3,112.50	
06SEP17	S	1	PD06DEC17	6914.00	6872.00	USD	1,050.00	
06SEP17	S	2	PD06DEC17	6910.50	6872.00	USD	1,925.00	
06SEP17	S	7	PD06DEC17	6911.50	6872.00	USD	6,912.50	
06SEP17	S	1	PD06DEC17	6911.00	6872.00	USD	975.00	
06SEP17	S	2	PD06DEC17	6912.50	6872.00	USD	2,025.00	
06SEP17	S	3	PD06DEC17	6912.00	6872.00	USD	3,000.00	
06SEP17	S	1	PD06DEC17	6905.00	6872.00	USD	825.00	
06SEP17	S	2	PD06DEC17	6906.00	6872.00	USD	1,700.00	
06SEP17	S	3	PD06DEC17	6908.50	6872.00	USD	2,737.50	
06SEP17	S	11	PD06DEC17	6910.00	6872.00	USD	10,450.00	
06SEP17	S	4	PD06DEC17	6907.50	6872.00	USD	3,550.00	
06SEP17	S	2	PD06DEC17	6906.50	6872.00	USD	1,725.00	
06SEP17	B	1	PD06DEC17	6893.50	6872.00	USD	-537.50	
06SEP17	B	1	PD06DEC17	6883.00	6872.00	USD	-275.00	
06SEP17	B	1	PD06DEC17	6878.50	6872.00	USD	-162.50	
06SEP17	B	1	PD06DEC17	6892.00	6872.00	USD	-500.00	
06SEP17	B	1	PD06DEC17	6886.50	6872.00	USD	-362.50	
06SEP17	B	1	PD06DEC17	6887.00	6872.00	USD	-375.00	
06SEP17	B	1	PD06DEC17	6888.50	6872.00	USD	-412.50	
06SEP17	B	1	PD06DEC17	6889.50	6872.00	USD	-437.50	
06SEP17	B	1	PD06DEC17	6889.00	6872.00	USD	-425.00	
06SEP17	S	3	PD06DEC17	6889.50	6872.00	USD	1,312.50	
06SEP17	B	1	PD06DEC17	6890.50	6872.00	USD	-462.50	
06SEP17	S	1	PD06DEC17	6894.00	6872.00	USD	550.00	
06SEP17	S	2	PD06DEC17	6893.50	6872.00	USD	1,075.00	
06SEP17	B	1	PD06DEC17	6895.00	6872.00	USD	-575.00	
06SEP17	B	1	PD06DEC17	6896.00	6872.00	USD	-600.00	
06SEP17	S	1	PD06DEC17	6895.00	6872.00	USD	575.00	
06SEP17	B	1	PD06DEC17	6896.50	6872.00	USD	-612.50	
06SEP17	B	2	PD06DEC17	6898.50	6872.00	USD	-1,325.00	
06SEP17	B	2	PD06DEC17	6898.00	6872.00	USD	-1,300.00	
06SEP17	B	6	PD06DEC17	6890.00	6872.00	USD	-2,700.00	
06SEP17	B	1	PD06DEC17	6876.00	6872.00	USD	-100.00	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
06SEP17	B	1	PD06DEC17	6876.50	6872.00	USD	-112.50	
06SEP17	S	3	PD06DEC17	6894.50	6872.00	USD	1,687.50	
06SEP17	B	1	PD06DEC17	6877.50	6872.00	USD	-137.50	
06SEP17	B	1	PD06DEC17	6885.00	6872.00	USD	-325.00	
06SEP17	S	2	PD06DEC17	6889.50	6872.00	USD	875.00	
06SEP17	B	1	PD06DEC17	6880.50	6872.00	USD	-212.50	
06SEP17	B	2	PD06DEC17	6880.00	6872.00	USD	-400.00	
06SEP17	B	2	PD06DEC17	6891.00	6872.00	USD	-950.00	
06SEP17	B	2	PD06DEC17	6893.00	6872.00	USD	-1,050.00	
06SEP17	B	1	PD06DEC17	6905.50	6872.00	USD	-837.50	
06SEP17	B	1	PD06DEC17	6908.00	6872.00	USD	-900.00	
06SEP17	B	1	PD06DEC17	6907.50	6872.00	USD	-887.50	
06SEP17	S	2	PD06DEC17	6919.00	6872.00	USD	2,350.00	
06SEP17	B	1	PD06DEC17	6893.00	6872.00	USD	-525.00	
11SEP17	B	15	PD06DEC17	6745.70	6872.00	USD	47,362.50	
12SEP17	B	1	PD06DEC17	6664.87	6872.00	USD	5,178.25	
14SEP17	B	6	PD06DEC17	6494.91	6872.00	USD	56,563.50	
15SEP17	B	7	PD06DEC17	6503.48	6872.00	USD	64,491.00	
20SEP17	B	7	PD06DEC17	6522.20	6872.00	USD	61,215.00	
20SEP17	B	1	PD06DEC17	6522.00	6872.00	USD	8,750.00	
	NET	0AVG BGHT 6757.05	SOLD 6904.81				288,147.75	0.00
07SEP17	B	1	PD07DEC17	6925.00	6872.42	USD	-1,314.50	
07SEP17	S	1	PD07DEC17	6913.50	6872.42	USD	1,027.00	
07SEP17	S	1	PD07DEC17	6919.00	6872.42	USD	1,164.50	
07SEP17	S	1	PD07DEC17	6918.00	6872.42	USD	1,139.50	
07SEP17	S	1	PD07DEC17	6917.50	6872.42	USD	1,127.00	
07SEP17	S	2	PD07DEC17	6909.00	6872.42	USD	1,829.00	
07SEP17	S	1	PD07DEC17	6889.50	6872.42	USD	427.00	
07SEP17	S	1	PD07DEC17	6880.50	6872.42	USD	202.00	
07SEP17	S	5	PD07DEC17	6876.00	6872.42	USD	447.50	
07SEP17	S	2	PD07DEC17	6875.00	6872.42	USD	129.00	
07SEP17	S	1	PD07DEC17	6868.50	6872.42	USD	-98.00	
07SEP17	S	3	PD07DEC17	6868.00	6872.42	USD	-331.50	
07SEP17	S	1	PD07DEC17	6857.50	6872.42	USD	-373.00	
07SEP17	B	1	PD07DEC17	6871.00	6872.42	USD	35.50	
07SEP17	S	3	PD07DEC17	6869.00	6872.42	USD	-256.50	
07SEP17	B	1	PD07DEC17	6875.50	6872.42	USD	-77.00	
07SEP17	B	2	PD07DEC17	6864.00	6872.42	USD	421.00	
07SEP17	B	1	PD07DEC17	6857.00	6872.42	USD	385.50	
07SEP17	B	1	PD07DEC17	6863.00	6872.42	USD	235.50	
07SEP17	B	2	PD07DEC17	6865.00	6872.42	USD	371.00	
07SEP17	B	1	PD07DEC17	6869.50	6872.42	USD	73.00	
07SEP17	B	1	PD07DEC17	6872.50	6872.42	USD	-2.00	
07SEP17	B	2	PD07DEC17	6870.50	6872.42	USD	96.00	
07SEP17	B	1	PD07DEC17	6876.50	6872.42	USD	-102.00	
07SEP17	B	8	PD07DEC17	6880.00	6872.42	USD	-1,516.00	
07SEP17	B	1	PD07DEC17	6882.00	6872.42	USD	-239.50	
07SEP17	B	5	PD07DEC17	6884.50	6872.42	USD	-1,510.00	
07SEP17	B	4	PD07DEC17	6884.00	6872.42	USD	-1,158.00	
07SEP17	B	4	PD07DEC17	6881.50	6872.42	USD	-908.00	
07SEP17	B	2	PD07DEC17	6883.00	6872.42	USD	-529.00	
07SEP17	B	2	PD07DEC17	6883.50	6872.42	USD	-554.00	
07SEP17	B	3	PD07DEC17	6885.00	6872.42	USD	-943.50	
07SEP17	B	1	PD07DEC17	6885.50	6872.42	USD	-327.00	
07SEP17	B	2	PD07DEC17	6891.50	6872.42	USD	-954.00	
07SEP17	B	2	PD07DEC17	6893.00	6872.42	USD	-1,029.00	
07SEP17	B	2	PD07DEC17	6893.50	6872.42	USD	-1,054.00	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
07SEP17	S	5	PD07DEC17	6892.50	6872.42	USD	2,510.00	
07SEP17	S	2	PD07DEC17	6892.00	6872.42	USD	979.00	
07SEP17	S	1	PD07DEC17	6891.50	6872.42	USD	477.00	
07SEP17	S	1	PD07DEC17	6891.00	6872.42	USD	464.50	
07SEP17	S	1	PD07DEC17	6890.50	6872.42	USD	452.00	
07SEP17	S	5	PD07DEC17	6898.00	6872.42	USD	3,197.50	
07SEP17	S	3	PD07DEC17	6897.00	6872.42	USD	1,843.50	
07SEP17	S	1	PD07DEC17	6896.50	6872.42	USD	602.00	
07SEP17	B	1	PD07DEC17	6899.00	6872.42	USD	-664.50	
07SEP17	S	5	PD07DEC17	6900.00	6872.42	USD	3,447.50	
07SEP17	B	1	PD07DEC17	6903.00	6872.42	USD	-764.50	
07SEP17	B	2	PD07DEC17	6902.50	6872.42	USD	-1,504.00	
07SEP17	B	1	PD07DEC17	6904.00	6872.42	USD	-789.50	
07SEP17	B	3	PD07DEC17	6902.00	6872.42	USD	-2,218.50	
07SEP17	B	2	PD07DEC17	6901.50	6872.42	USD	-1,454.00	
07SEP17	B	1	PD07DEC17	6901.00	6872.42	USD	-714.50	
07SEP17	B	2	PD07DEC17	6900.50	6872.42	USD	-1,404.00	
07SEP17	B	1	PD07DEC17	6898.00	6872.42	USD	-639.50	
07SEP17	B	2	PD07DEC17	6898.50	6872.42	USD	-1,304.00	
07SEP17	B	2	PD07DEC17	6897.50	6872.42	USD	-1,254.00	
07SEP17	B	3	PD07DEC17	6897.00	6872.42	USD	-1,843.50	
07SEP17	B	2	PD07DEC17	6896.00	6872.42	USD	-1,179.00	
07SEP17	B	1	PD07DEC17	6909.00	6872.42	USD	-914.50	
07SEP17	B	1	PD07DEC17	6906.00	6872.42	USD	-839.50	
07SEP17	B	2	PD07DEC17	6904.50	6872.42	USD	-1,604.00	
07SEP17	B	2	PD07DEC17	6895.00	6872.42	USD	-1,129.00	
07SEP17	S	1	PD07DEC17	6874.00	6872.42	USD	39.50	
07SEP17	S	1	PD07DEC17	6877.50	6872.42	USD	127.00	
07SEP17	S	2	PD07DEC17	6879.00	6872.42	USD	329.00	
07SEP17	S	11	PD07DEC17	6878.00	6872.42	USD	1,534.50	
07SEP17	S	6	PD07DEC17	6872.00	6872.42	USD	-63.00	
07SEP17	S	4	PD07DEC17	6872.50	6872.42	USD	8.00	
07SEP17	S	6	PD07DEC17	6870.50	6872.42	USD	-288.00	
07SEP17	S	6	PD07DEC17	6870.00	6872.42	USD	-363.00	
07SEP17	S	2	PD07DEC17	6871.00	6872.42	USD	-71.00	
07SEP17	S	2	PD07DEC17	6882.50	6872.42	USD	504.00	
07SEP17	S	2	PD07DEC17	6877.00	6872.42	USD	229.00	
07SEP17	S	1	PD07DEC17	6876.50	6872.42	USD	102.00	
07SEP17	S	3	PD07DEC17	6880.00	6872.42	USD	568.50	
07SEP17	S	3	PD07DEC17	6879.50	6872.42	USD	531.00	
07SEP17	S	7	PD07DEC17	6878.50	6872.42	USD	1,064.00	
07SEP17	S	1	PD07DEC17	6883.00	6872.42	USD	264.50	
07SEP17	S	3	PD07DEC17	6885.00	6872.42	USD	943.50	
07SEP17	S	2	PD07DEC17	6884.00	6872.42	USD	579.00	
07SEP17	S	3	PD07DEC17	6885.50	6872.42	USD	981.00	
07SEP17	S	3	PD07DEC17	6900.50	6872.42	USD	2,106.00	
07SEP17	S	3	PD07DEC17	6902.00	6872.42	USD	2,218.50	
07SEP17	S	3	PD07DEC17	6901.00	6872.42	USD	2,143.50	
07SEP17	S	6	PD07DEC17	6902.50	6872.42	USD	4,512.00	
07SEP17	B	1	PD07DEC17	6869.50	6872.42	USD	73.00	
07SEP17	B	1	PD07DEC17	6885.00	6872.42	USD	-314.50	
07SEP17	B	1	PD07DEC17	6893.00	6872.42	USD	-514.50	
07SEP17	S	1	PD07DEC17	6898.00	6872.42	USD	639.50	
07SEP17	S	1	PD07DEC17	6901.50	6872.42	USD	727.00	
07SEP17	B	1	PD07DEC17	6901.50	6872.42	USD	-727.00	
07SEP17	S	3	PD07DEC17	6903.00	6872.42	USD	2,293.50	
07SEP17	B	1	PD07DEC17	6898.00	6872.42	USD	-639.50	

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
07SEP17	B	1	PD07DEC17	6899.00	6872.42	USD	-664.50	
07SEP17	B	1	PD07DEC17	6889.00	6872.42	USD	-414.50	
07SEP17	B	1	PD07DEC17	6887.50	6872.42	USD	-377.00	
07SEP17	B	1	PD07DEC17	6894.00	6872.42	USD	-539.50	
11SEP17	B	12	PD07DEC17	6746.20	6872.42	USD	37,866.00	
12SEP17	B	2	PD07DEC17	6665.42	6872.42	USD	10,350.00	
14SEP17	B	7	PD07DEC17	6495.33	6872.42	USD	65,990.75	
14SEP17	B	4	PD07DEC17	6495.13	6872.42	USD	37,729.00	
15SEP17	B	1	PD07DEC17	6503.69	6872.42	USD	9,218.25	
19SEP17	B	3	PD07DEC17	6534.45	6872.42	USD	25,347.75	
20SEP17	B	7	PD07DEC17	6522.70	6872.42	USD	61,201.00	
20SEP17	B	8	PD07DEC17	6522.50	6872.42	USD	69,984.00	
21SEP17	S	1	PD07DEC17	6478.00	6872.42	USD	-9,860.50	
25SEP17	B	1	PD07DEC17	6443.45	6872.42	USD	10,724.25	
	NET	-1 AVG BGHT 6784.16	SOLD 6882.03				325,678.00	0.00
08SEP17	S	1	PD08DEC17	6907.00	6872.83	USD	854.25	
08SEP17	S	2	PD08DEC17	6906.00	6872.83	USD	1,658.50	
08SEP17	S	2	PD08DEC17	6902.50	6872.83	USD	1,483.50	
08SEP17	S	2	PD08DEC17	6894.00	6872.83	USD	1,058.50	
08SEP17	B	1	PD08DEC17	6925.00	6872.83	USD	-1,304.25	
08SEP17	B	2	PD08DEC17	6926.00	6872.83	USD	-2,658.50	
08SEP17	B	2	PD08DEC17	6922.00	6872.83	USD	-2,458.50	
08SEP17	B	3	PD08DEC17	6928.50	6872.83	USD	-4,175.25	
08SEP17	B	1	PD08DEC17	6931.00	6872.83	USD	-1,454.25	
08SEP17	B	2	PD08DEC17	6930.50	6872.83	USD	-2,883.50	
08SEP17	B	6	PD08DEC17	6930.00	6872.83	USD	-8,575.50	
08SEP17	B	7	PD08DEC17	6929.00	6872.83	USD	-9,829.75	
08SEP17	B	1	PD08DEC17	6935.00	6872.83	USD	-1,554.25	
08SEP17	B	4	PD08DEC17	6947.50	6872.83	USD	-7,467.00	
08SEP17	B	1	PD08DEC17	6948.50	6872.83	USD	-1,891.75	
08SEP17	S	1	PD08DEC17	6941.50	6872.83	USD	1,716.75	
08SEP17	S	1	PD08DEC17	6937.50	6872.83	USD	1,616.75	
08SEP17	S	1	PD08DEC17	6935.00	6872.83	USD	1,554.25	
08SEP17	S	1	PD08DEC17	6931.50	6872.83	USD	1,466.75	
08SEP17	S	4	PD08DEC17	6927.00	6872.83	USD	5,417.00	
08SEP17	S	1	PD08DEC17	6918.50	6872.83	USD	1,141.75	
08SEP17	S	3	PD08DEC17	6910.50	6872.83	USD	2,825.25	
08SEP17	S	6	PD08DEC17	6901.50	6872.83	USD	4,300.50	
08SEP17	S	5	PD08DEC17	6902.00	6872.83	USD	3,646.25	
08SEP17	S	1	PD08DEC17	6894.50	6872.83	USD	541.75	
08SEP17	S	1	PD08DEC17	6895.50	6872.83	USD	566.75	
08SEP17	S	3	PD08DEC17	6895.00	6872.83	USD	1,662.75	
08SEP17	S	1	PD08DEC17	6896.50	6872.83	USD	591.75	
08SEP17	S	1	PD08DEC17	6898.50	6872.83	USD	641.75	
08SEP17	S	2	PD08DEC17	6905.00	6872.83	USD	1,608.50	
08SEP17	S	2	PD08DEC17	6898.00	6872.83	USD	1,258.50	
08SEP17	B	1	PD08DEC17	6892.00	6872.83	USD	-479.25	
08SEP17	S	1	PD08DEC17	6890.50	6872.83	USD	441.75	
08SEP17	S	1	PD08DEC17	6883.50	6872.83	USD	266.75	
08SEP17	B	1	PD08DEC17	6884.00	6872.83	USD	-279.25	
08SEP17	S	1	PD08DEC17	6880.00	6872.83	USD	179.25	
08SEP17	S	3	PD08DEC17	6880.50	6872.83	USD	575.25	
08SEP17	S	1	PD08DEC17	6878.50	6872.83	USD	141.75	
08SEP17	B	2	PD08DEC17	6880.00	6872.83	USD	-358.50	
08SEP17	S	3	PD08DEC17	6876.00	6872.83	USD	237.75	
08SEP17	S	1	PD08DEC17	6870.00	6872.83	USD	-70.75	
08SEP17	S	3	PD08DEC17	6870.50	6872.83	USD	-174.75	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0094X

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
08SEP17	S	3	PD08DEC17	6865.00	6872.83	USD	-587.25	
08SEP17	S	1	PD08DEC17	6863.50	6872.83	USD	-233.25	
08SEP17	S	1	PD08DEC17	6864.00	6872.83	USD	-220.75	
08SEP17	S	1	PD08DEC17	6856.00	6872.83	USD	-420.75	
08SEP17	S	1	PD08DEC17	6853.00	6872.83	USD	-495.75	
08SEP17	S	2	PD08DEC17	6854.50	6872.83	USD	-916.50	
08SEP17	S	1	PD08DEC17	6854.00	6872.83	USD	-470.75	
08SEP17	S	1	PD08DEC17	6850.50	6872.83	USD	-558.25	
08SEP17	S	1	PD08DEC17	6856.50	6872.83	USD	-408.25	
08SEP17	S	5	PD08DEC17	6857.00	6872.83	USD	-1,978.75	
08SEP17	S	1	PD08DEC17	6852.50	6872.83	USD	-508.25	
08SEP17	S	2	PD08DEC17	6851.50	6872.83	USD	-1,066.50	
08SEP17	S	1	PD08DEC17	6846.50	6872.83	USD	-658.25	
08SEP17	S	1	PD08DEC17	6848.00	6872.83	USD	-620.75	
08SEP17	S	2	PD08DEC17	6848.50	6872.83	USD	-1,216.50	
08SEP17	S	1	PD08DEC17	6847.50	6872.83	USD	-633.25	
08SEP17	S	1	PD08DEC17	6850.00	6872.83	USD	-570.75	
08SEP17	S	2	PD08DEC17	6843.50	6872.83	USD	-1,466.50	
08SEP17	S	2	PD08DEC17	6846.00	6872.83	USD	-1,341.50	
08SEP17	S	1	PD08DEC17	6830.00	6872.83	USD	-1,070.75	
08SEP17	B	5	PD08DEC17	6830.00	6872.83	USD	5,353.75	
08SEP17	S	1	PD08DEC17	6827.00	6872.83	USD	-1,145.75	
08SEP17	S	1	PD08DEC17	6828.00	6872.83	USD	-1,120.75	
08SEP17	S	1	PD08DEC17	6829.00	6872.83	USD	-1,095.75	
08SEP17	S	3	PD08DEC17	6820.00	6872.83	USD	-3,962.25	
08SEP17	B	2	PD08DEC17	6829.00	6872.83	USD	2,191.50	
08SEP17	B	2	PD08DEC17	6812.00	6872.83	USD	3,041.50	
08SEP17	S	1	PD08DEC17	6809.00	6872.83	USD	-1,595.75	
08SEP17	S	1	PD08DEC17	6802.00	6872.83	USD	-1,770.75	
08SEP17	B	1	PD08DEC17	6783.00	6872.83	USD	2,245.75	
08SEP17	S	1	PD08DEC17	6794.00	6872.83	USD	-1,970.75	
08SEP17	S	1	PD08DEC17	6870.00	6872.83	USD	-70.75	
08SEP17	S	5	PD08DEC17	6803.50	6872.83	USD	-8,666.25	
08SEP17	S	1	PD08DEC17	6800.00	6872.83	USD	-1,820.75	
08SEP17	S	1	PD08DEC17	6799.50	6872.83	USD	-1,833.25	
08SEP17	S	4	PD08DEC17	6799.00	6872.83	USD	-7,383.00	
08SEP17	S	1	PD08DEC17	6803.00	6872.83	USD	-1,745.75	
08SEP17	B	5	PD08DEC17	6803.00	6872.83	USD	8,728.75	
08SEP17	B	2	PD08DEC17	6800.50	6872.83	USD	3,616.50	
08SEP17	S	1	PD08DEC17	6801.00	6872.83	USD	-1,795.75	
08SEP17	B	2	PD08DEC17	6787.00	6872.83	USD	4,291.50	
08SEP17	B	3	PD08DEC17	6782.50	6872.83	USD	6,774.75	
08SEP17	B	2	PD08DEC17	6777.00	6872.83	USD	4,791.50	
08SEP17	B	2	PD08DEC17	6779.50	6872.83	USD	4,666.50	
08SEP17	B	2	PD08DEC17	6778.50	6872.83	USD	4,716.50	
08SEP17	S	1	PD08DEC17	6782.50	6872.83	USD	-2,258.25	
08SEP17	S	1	PD08DEC17	6783.50	6872.83	USD	-2,233.25	
08SEP17	B	1	PD08DEC17	6781.00	6872.83	USD	2,295.75	
08SEP17	B	1	PD08DEC17	6779.00	6872.83	USD	2,345.75	
08SEP17	S	3	PD08DEC17	6755.00	6872.83	USD	-8,837.25	
08SEP17	S	3	PD08DEC17	6750.00	6872.83	USD	-9,212.25	
08SEP17	S	1	PD08DEC17	6749.00	6872.83	USD	-3,095.75	
08SEP17	S	1	PD08DEC17	6746.50	6872.83	USD	-3,158.25	
08SEP17	S	1	PD08DEC17	6737.50	6872.83	USD	-3,383.25	
08SEP17	S	2	PD08DEC17	6739.00	6872.83	USD	-6,691.50	
08SEP17	B	1	PD08DEC17	6736.50	6872.83	USD	3,408.25	
08SEP17	B	2	PD08DEC17	6739.50	6872.83	USD	6,666.50	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
08SEP17	B	2	PD08DEC17	6739.00	6872.83	USD	6,691.50	
08SEP17	B	1	PD08DEC17	6738.00	6872.83	USD	3,370.75	
08SEP17	B	1	PD08DEC17	6743.00	6872.83	USD	3,245.75	
08SEP17	B	1	PD08DEC17	6729.50	6872.83	USD	3,583.25	
08SEP17	S	1	PD08DEC17	6706.50	6872.83	USD	-4,158.25	
08SEP17	S	1	PD08DEC17	6706.00	6872.83	USD	-4,170.75	
08SEP17	B	1	PD08DEC17	6706.00	6872.83	USD	4,170.75	
08SEP17	B	2	PD08DEC17	6698.00	6872.83	USD	8,741.50	
08SEP17	B	1	PD08DEC17	6695.50	6872.83	USD	4,433.25	
08SEP17	B	1	PD08DEC17	6677.00	6872.83	USD	4,895.75	
08SEP17	B	1	PD08DEC17	6677.50	6872.83	USD	4,883.25	
08SEP17	B	1	PD08DEC17	6679.00	6872.83	USD	4,845.75	
08SEP17	B	2	PD08DEC17	6685.00	6872.83	USD	9,391.50	
08SEP17	B	1	PD08DEC17	6684.50	6872.83	USD	4,708.25	
08SEP17	B	1	PD08DEC17	6685.50	6872.83	USD	4,683.25	
08SEP17	B	2	PD08DEC17	6686.50	6872.83	USD	9,316.50	
08SEP17	B	1	PD08DEC17	6687.50	6872.83	USD	4,633.25	
08SEP17	B	1	PD08DEC17	6686.00	6872.83	USD	4,670.75	
11SEP17	B	6	PD08DEC17	6746.70	6872.83	USD	18,919.50	
12SEP17	B	2	PD08DEC17	6665.98	6872.83	USD	10,342.50	
14SEP17	B	17	PD08DEC17	6495.54	6872.83	USD	160,348.25	
15SEP17	B	6	PD08DEC17	6504.10	6872.83	USD	55,309.50	
19SEP17	B	2	PD08DEC17	6534.65	6872.83	USD	16,909.00	
20SEP17	B	2	PD08DEC17	6522.81	6872.83	USD	17,501.00	
20SEP17	S	1	PD08DEC17	6522.61	6872.83	USD	-8,755.50	
25SEP17	B	1	PD08DEC17	6443.71	6872.83	USD	10,728.00	
	NET	-2 AVG BGHT 6744.02	SOLD 6850.38				325,922.00	0.00
11SEP17	S	1	PD11DEC17	6702.50	6874.08	USD	-4,289.50	
11SEP17	S	1	PD11DEC17	6703.00	6874.08	USD	-4,277.00	
11SEP17	S	1	PD11DEC17	6710.50	6874.08	USD	-4,089.50	
11SEP17	S	2	PD11DEC17	6711.50	6874.08	USD	-8,129.00	
11SEP17	S	1	PD11DEC17	6712.00	6874.08	USD	-4,052.00	
11SEP17	S	1	PD11DEC17	6758.00	6874.08	USD	-2,902.00	
11SEP17	S	1	PD11DEC17	6758.50	6874.08	USD	-2,889.50	
11SEP17	S	1	PD11DEC17	6752.00	6874.08	USD	-3,052.00	
11SEP17	S	6	PD11DEC17	6748.00	6874.08	USD	-18,912.00	
11SEP17	S	4	PD11DEC17	6748.50	6874.08	USD	-12,558.00	
11SEP17	S	2	PD11DEC17	6746.50	6874.08	USD	-6,379.00	
11SEP17	B	3	PD11DEC17	6747.00	6874.08	USD	9,531.00	
11SEP17	B	5	PD11DEC17	6745.00	6874.08	USD	16,135.00	
11SEP17	B	3	PD11DEC17	6743.00	6874.08	USD	9,831.00	
11SEP17	S	1	PD11DEC17	6746.00	6874.08	USD	-3,202.00	
11SEP17	S	1	PD11DEC17	6751.50	6874.08	USD	-3,064.50	
11SEP17	B	1	PD11DEC17	6751.00	6874.08	USD	3,077.00	
11SEP17	B	4	PD11DEC17	6747.50	6874.08	USD	12,658.00	
11SEP17	B	1	PD11DEC17	6724.00	6874.08	USD	3,752.00	
11SEP17	B	2	PD11DEC17	6725.50	6874.08	USD	7,429.00	
11SEP17	B	4	PD11DEC17	6725.00	6874.08	USD	14,908.00	
11SEP17	B	1	PD11DEC17	6726.00	6874.08	USD	3,702.00	
11SEP17	S	2	PD11DEC17	6748.00	6874.08	USD	-6,304.00	
11SEP17	B	1	PD11DEC17	6744.50	6874.08	USD	3,239.50	
11SEP17	B	2	PD11DEC17	6748.00	6874.08	USD	6,304.00	
11SEP17	B	1	PD11DEC17	6746.50	6874.08	USD	3,189.50	
11SEP17	B	2	PD11DEC17	6745.50	6874.08	USD	6,429.00	
11SEP17	B	4	PD11DEC17	6748.50	6874.08	USD	12,558.00	
11SEP17	B	2	PD11DEC17	6749.50	6874.08	USD	6,229.00	
11SEP17	B	3	PD11DEC17	6740.00	6874.08	USD	10,056.00	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
14SEP17	S	2	PD11DEC17	6496.57	6874.08	USD	-18,875.50	
15SEP17	S	1	PD11DEC17	6505.14	6874.08	USD	-9,223.50	
19SEP17	S	1	PD11DEC17	6535.63	6874.08	USD	-8,461.25	
20SEP17	S	2	PD11DEC17	6523.55	6874.08	USD	-17,526.50	
20SEP17	S	1	PD11DEC17	6523.75	6874.08	USD	-8,758.25	
21SEP17	S	2	PD11DEC17	6479.33	6874.08	USD	-19,737.50	
25SEP17	S	1	PD11DEC17	6444.88	6874.08	USD	-10,730.00	
	NET	+4 AVG BGHT 6741.74	SOLD 6671.32				-48,384.50	0.00
12SEP17	S	1	PD12DEC17	6748.50	6874.50	USD	-3,150.00	
12SEP17	S	1	PD12DEC17	6749.50	6874.50	USD	-3,125.00	
12SEP17	S	1	PD12DEC17	6750.50	6874.50	USD	-3,100.00	
12SEP17	S	1	PD12DEC17	6748.00	6874.50	USD	-3,162.50	
12SEP17	B	1	PD12DEC17	6742.00	6874.50	USD	3,312.50	
12SEP17	S	1	PD12DEC17	6731.50	6874.50	USD	-3,575.00	
12SEP17	S	1	PD12DEC17	6731.00	6874.50	USD	-3,587.50	
12SEP17	S	7	PD12DEC17	6736.00	6874.50	USD	-24,237.50	
12SEP17	S	1	PD12DEC17	6725.50	6874.50	USD	-3,725.00	
12SEP17	S	1	PD12DEC17	6711.50	6874.50	USD	-4,075.00	
12SEP17	S	2	PD12DEC17	6708.50	6874.50	USD	-8,300.00	
12SEP17	S	1	PD12DEC17	6712.00	6874.50	USD	-4,062.50	
12SEP17	S	2	PD12DEC17	6713.50	6874.50	USD	-8,050.00	
12SEP17	S	1	PD12DEC17	6707.00	6874.50	USD	-4,187.50	
12SEP17	S	2	PD12DEC17	6705.50	6874.50	USD	-8,450.00	
12SEP17	S	2	PD12DEC17	6706.00	6874.50	USD	-8,425.00	
12SEP17	S	1	PD12DEC17	6703.50	6874.50	USD	-4,275.00	
12SEP17	S	1	PD12DEC17	6703.00	6874.50	USD	-4,287.50	
12SEP17	S	1	PD12DEC17	6709.50	6874.50	USD	-4,125.00	
12SEP17	B	1	PD12DEC17	6708.00	6874.50	USD	4,162.50	
12SEP17	B	2	PD12DEC17	6717.50	6874.50	USD	7,850.00	
12SEP17	B	2	PD12DEC17	6719.50	6874.50	USD	7,750.00	
12SEP17	B	4	PD12DEC17	6720.00	6874.50	USD	15,450.00	
12SEP17	B	1	PD12DEC17	6718.00	6874.50	USD	3,912.50	
12SEP17	B	3	PD12DEC17	6724.00	6874.50	USD	11,287.50	
12SEP17	B	1	PD12DEC17	6728.50	6874.50	USD	3,650.00	
12SEP17	B	1	PD12DEC17	6727.00	6874.50	USD	3,687.50	
12SEP17	B	1	PD12DEC17	6736.50	6874.50	USD	3,450.00	
12SEP17	B	1	PD12DEC17	6738.00	6874.50	USD	3,412.50	
12SEP17	B	3	PD12DEC17	6730.00	6874.50	USD	10,837.50	
12SEP17	B	2	PD12DEC17	6732.00	6874.50	USD	7,125.00	
12SEP17	B	1	PD12DEC17	6736.00	6874.50	USD	3,462.50	
12SEP17	B	1	PD12DEC17	6731.00	6874.50	USD	3,587.50	
12SEP17	B	1	PD12DEC17	6687.50	6874.50	USD	4,675.00	
12SEP17	B	1	PD12DEC17	6652.00	6874.50	USD	5,562.50	
12SEP17	B	1	PD12DEC17	6737.00	6874.50	USD	3,437.50	
12SEP17	B	1	PD12DEC17	6645.50	6874.50	USD	5,725.00	
12SEP17	B	1	PD12DEC17	6643.00	6874.50	USD	5,787.50	
12SEP17	S	3	PD12DEC17	6638.50	6874.50	USD	-17,700.00	
12SEP17	B	1	PD12DEC17	6639.00	6874.50	USD	5,887.50	
12SEP17	S	1	PD12DEC17	6640.00	6874.50	USD	-5,862.50	
12SEP17	B	1	PD12DEC17	6641.00	6874.50	USD	5,837.50	
12SEP17	B	3	PD12DEC17	6639.50	6874.50	USD	17,625.00	
12SEP17	B	3	PD12DEC17	6642.00	6874.50	USD	17,437.50	
12SEP17	B	5	PD12DEC17	6641.50	6874.50	USD	29,125.00	
12SEP17	B	1	PD12DEC17	6648.00	6874.50	USD	5,662.50	
12SEP17	S	1	PD12DEC17	6653.50	6874.50	USD	-5,525.00	
12SEP17	B	2	PD12DEC17	6653.50	6874.50	USD	11,050.00	
12SEP17	B	3	PD12DEC17	6651.50	6874.50	USD	16,725.00	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0094X

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
12SEP17	B	3	PD12DEC17	6651.00	6874.50	USD	16,762.50	
12SEP17	B	1	PD12DEC17	6657.50	6874.50	USD	5,425.00	
12SEP17	B	1	PD12DEC17	6653.00	6874.50	USD	5,537.50	
12SEP17	B	11	PD12DEC17	6654.00	6874.50	USD	60,637.50	
12SEP17	B	1	PD12DEC17	6655.00	6874.50	USD	5,487.50	
12SEP17	B	3	PD12DEC17	6664.50	6874.50	USD	15,750.00	
12SEP17	B	1	PD12DEC17	6667.50	6874.50	USD	5,175.00	
12SEP17	B	1	PD12DEC17	6675.50	6874.50	USD	4,975.00	
12SEP17	B	12	PD12DEC17	6672.00	6874.50	USD	60,750.00	
12SEP17	S	1	PD12DEC17	6637.50	6874.50	USD	-5,925.00	
12SEP17	B	1	PD12DEC17	6654.00	6874.50	USD	5,512.50	
12SEP17	B	2	PD12DEC17	6656.50	6874.50	USD	10,900.00	
12SEP17	B	4	PD12DEC17	6671.50	6874.50	USD	20,300.00	
12SEP17	B	1	PD12DEC17	6671.00	6874.50	USD	5,087.50	
12SEP17	B	5	PD12DEC17	6670.50	6874.50	USD	25,500.00	
12SEP17	B	2	PD12DEC17	6668.50	6874.50	USD	10,300.00	
12SEP17	S	1	PD12DEC17	6669.00	6874.50	USD	-5,137.50	
12SEP17	B	1	PD12DEC17	6666.50	6874.50	USD	5,200.00	
12SEP17	B	3	PD12DEC17	6667.00	6874.50	USD	15,562.50	
12SEP17	B	2	PD12DEC17	6669.50	6874.50	USD	10,250.00	
14SEP17	S	15	PD12DEC17	6496.98	6874.50	USD	-141,570.00	
15SEP17	S	6	PD12DEC17	6505.56	6874.50	USD	-55,341.00	
19SEP17	S	1	PD12DEC17	6536.03	6874.50	USD	-8,461.75	
19SEP17	S	4	PD12DEC17	6536.23	6874.50	USD	-33,827.00	
20SEP17	S	8	PD12DEC17	6523.86	6874.50	USD	-70,128.00	
20SEP17	S	17	PD12DEC17	6524.06	6874.50	USD	-148,937.00	
21SEP17	S	8	PD12DEC17	6479.67	6874.50	USD	-78,966.00	
25SEP17	S	1	PD12DEC17	6445.54	6874.50	USD	-10,724.00	
	NET	+9 AVG BGHT 6675.81	SOLD 6582.29				-177,417.25	0.00
13SEP17	B	1	PD13DEC17	6685.50	6874.92	USD	4,735.50	
13SEP17	B	1	PD13DEC17	6647.00	6874.92	USD	5,698.00	
13SEP17	B	1	PD13DEC17	6653.00	6874.92	USD	5,548.00	
13SEP17	B	1	PD13DEC17	6645.00	6874.92	USD	5,748.00	
13SEP17	B	2	PD13DEC17	6630.50	6874.92	USD	12,221.00	
13SEP17	B	1	PD13DEC17	6628.50	6874.92	USD	6,160.50	
13SEP17	B	2	PD13DEC17	6622.50	6874.92	USD	12,621.00	
13SEP17	B	1	PD13DEC17	6553.00	6874.92	USD	8,048.00	
13SEP17	B	1	PD13DEC17	6554.00	6874.92	USD	8,023.00	
13SEP17	B	1	PD13DEC17	6552.00	6874.92	USD	8,073.00	
13SEP17	B	1	PD13DEC17	6557.50	6874.92	USD	7,935.50	
13SEP17	B	1	PD13DEC17	6560.50	6874.92	USD	7,860.50	
13SEP17	B	10	PD13DEC17	6561.00	6874.92	USD	78,480.00	
13SEP17	B	1	PD13DEC17	6561.50	6874.92	USD	7,835.50	
13SEP17	B	2	PD13DEC17	6562.00	6874.92	USD	15,646.00	
13SEP17	B	1	PD13DEC17	6563.00	6874.92	USD	7,798.00	
13SEP17	B	1	PD13DEC17	6564.00	6874.92	USD	7,773.00	
13SEP17	B	2	PD13DEC17	6564.50	6874.92	USD	15,521.00	
13SEP17	S	1	PD13DEC17	6565.50	6874.92	USD	-7,735.50	
13SEP17	S	1	PD13DEC17	6565.00	6874.92	USD	-7,748.00	
13SEP17	B	1	PD13DEC17	6567.00	6874.92	USD	7,698.00	
13SEP17	B	2	PD13DEC17	6566.50	6874.92	USD	15,421.00	
13SEP17	S	1	PD13DEC17	6567.00	6874.92	USD	-7,698.00	
13SEP17	S	3	PD13DEC17	6566.50	6874.92	USD	-23,131.50	
13SEP17	S	8	PD13DEC17	6564.50	6874.92	USD	-62,084.00	
13SEP17	S	2	PD13DEC17	6564.00	6874.92	USD	-15,546.00	
13SEP17	B	4	PD13DEC17	6570.00	6874.92	USD	30,492.00	
13SEP17	S	1	PD13DEC17	6570.50	6874.92	USD	-7,610.50	

OPEN POSITION STATEMENT



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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
13SEP17	B	1	PD13DEC17	6571.00	6874.92	USD	7,598.00	
13SEP17	B	2	PD13DEC17	6569.50	6874.92	USD	15,271.00	
13SEP17	B	2	PD13DEC17	6570.50	6874.92	USD	15,221.00	
13SEP17	S	1	PD13DEC17	6574.00	6874.92	USD	-7,523.00	
13SEP17	S	1	PD13DEC17	6570.00	6874.92	USD	-7,623.00	
13SEP17	S	3	PD13DEC17	6571.50	6874.92	USD	-22,756.50	
13SEP17	B	3	PD13DEC17	6571.50	6874.92	USD	22,756.50	
13SEP17	B	4	PD13DEC17	6563.50	6874.92	USD	31,142.00	
13SEP17	B	2	PD13DEC17	6568.00	6874.92	USD	15,346.00	
13SEP17	B	1	PD13DEC17	6565.00	6874.92	USD	7,748.00	
13SEP17	S	1	PD13DEC17	6552.00	6874.92	USD	-8,073.00	
13SEP17	B	1	PD13DEC17	6564.50	6874.92	USD	7,760.50	
13SEP17	S	1	PD13DEC17	6566.50	6874.92	USD	-7,710.50	
13SEP17	B	1	PD13DEC17	6556.00	6874.92	USD	7,973.00	
13SEP17	B	3	PD13DEC17	6554.50	6874.92	USD	24,031.50	
13SEP17	B	1	PD13DEC17	6552.50	6874.92	USD	8,060.50	
14SEP17	S	8	PD13DEC17	6497.39	6874.92	USD	-75,506.00	
20SEP17	S	2	PD13DEC17	6524.38	6874.92	USD	-17,527.00	
21SEP17	S	11	PD13DEC17	6480.00	6874.92	USD	-108,603.00	
25SEP17	S	2	PD13DEC17	6446.00	6874.92	USD	-21,446.00	
03OCT17	S	1	PD13DEC17	6514.98	6874.92	USD	-8,998.50	
	NET	+11 AVG BGHT 6575.09	SOLD 6527.15				24,924.50	0.00
14SEP17	B	1	PD14DEC17	6560.00	6875.33	USD	7,883.25	
14SEP17	B	1	PD14DEC17	6563.50	6875.33	USD	7,795.75	
14SEP17	B	1	PD14DEC17	6564.00	6875.33	USD	7,783.25	
14SEP17	B	3	PD14DEC17	6565.50	6875.33	USD	23,237.25	
14SEP17	B	4	PD14DEC17	6563.00	6875.33	USD	31,233.00	
14SEP17	B	1	PD14DEC17	6561.00	6875.33	USD	7,858.25	
14SEP17	B	3	PD14DEC17	6561.50	6875.33	USD	23,537.25	
14SEP17	B	1	PD14DEC17	6552.00	6875.33	USD	8,083.25	
14SEP17	B	1	PD14DEC17	6543.50	6875.33	USD	8,295.75	
14SEP17	B	1	PD14DEC17	6527.50	6875.33	USD	8,695.75	
14SEP17	B	1	PD14DEC17	6525.50	6875.33	USD	8,745.75	
14SEP17	B	1	PD14DEC17	6537.50	6875.33	USD	8,445.75	
14SEP17	B	1	PD14DEC17	6538.50	6875.33	USD	8,420.75	
14SEP17	B	1	PD14DEC17	6543.00	6875.33	USD	8,308.25	
14SEP17	S	1	PD14DEC17	6543.00	6875.33	USD	-8,308.25	
14SEP17	B	2	PD14DEC17	6540.00	6875.33	USD	16,766.50	
14SEP17	B	1	PD14DEC17	6540.50	6875.33	USD	8,370.75	
14SEP17	S	1	PD14DEC17	6535.00	6875.33	USD	-8,508.25	
14SEP17	S	1	PD14DEC17	6536.00	6875.33	USD	-8,483.25	
14SEP17	B	1	PD14DEC17	6536.00	6875.33	USD	8,483.25	
14SEP17	B	1	PD14DEC17	6457.50	6875.33	USD	10,445.75	
14SEP17	B	1	PD14DEC17	6465.50	6875.33	USD	10,245.75	
14SEP17	B	1	PD14DEC17	6470.50	6875.33	USD	10,120.75	
14SEP17	S	1	PD14DEC17	6519.50	6875.33	USD	-8,895.75	
14SEP17	S	1	PD14DEC17	6487.00	6875.33	USD	-9,708.25	
14SEP17	S	1	PD14DEC17	6488.50	6875.33	USD	-9,670.75	
14SEP17	S	1	PD14DEC17	6497.00	6875.33	USD	-9,458.25	
14SEP17	B	1	PD14DEC17	6516.00	6875.33	USD	8,983.25	
14SEP17	S	1	PD14DEC17	6524.50	6875.33	USD	-8,770.75	
14SEP17	S	1	PD14DEC17	6515.00	6875.33	USD	-9,008.25	
14SEP17	S	1	PD14DEC17	6516.00	6875.33	USD	-8,983.25	
14SEP17	S	1	PD14DEC17	6516.50	6875.33	USD	-8,970.75	
14SEP17	S	2	PD14DEC17	6517.00	6875.33	USD	-17,916.50	
14SEP17	B	1	PD14DEC17	6524.00	6875.33	USD	8,783.25	
14SEP17	B	3	PD14DEC17	6520.00	6875.33	USD	26,649.75	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
14SEP17	B	1	PD14DEC17	6518.50	6875.33	USD	8,920.75	
14SEP17	B	1	PD14DEC17	6512.00	6875.33	USD	9,083.25	
14SEP17	B	1	PD14DEC17	6513.00	6875.33	USD	9,058.25	
14SEP17	B	3	PD14DEC17	6506.50	6875.33	USD	27,662.25	
14SEP17	B	1	PD14DEC17	6500.00	6875.33	USD	9,383.25	
14SEP17	B	1	PD14DEC17	6500.50	6875.33	USD	9,370.75	
14SEP17	B	1	PD14DEC17	6499.50	6875.33	USD	9,395.75	
14SEP17	B	1	PD14DEC17	6494.00	6875.33	USD	9,533.25	
14SEP17	S	1	PD14DEC17	6498.50	6875.33	USD	-9,420.75	
14SEP17	S	1	PD14DEC17	6499.00	6875.33	USD	-9,408.25	
14SEP17	B	1	PD14DEC17	6504.50	6875.33	USD	9,270.75	
21SEP17	S	16	PD14DEC17	6480.33	6875.33	USD	-158,000.00	
25SEP17	S	2	PD14DEC17	6446.46	6875.33	USD	-21,443.50	
26SEP17	S	3	PD14DEC17	6407.06	6875.33	USD	-35,120.25	
28SEP17	S	1	PD14DEC17	6517.20	6875.33	USD	-8,953.25	
03OCT17	S	1	PD14DEC17	6515.38	6875.33	USD	-8,998.75	
	NET	+6 AVG BGHT 6530.92	SOLD 6487.93				10,823.50	0.00
15SEP17	B	1	PD15DEC17	6502.00	6875.75	USD	9,343.75	
15SEP17	S	1	PD15DEC17	6505.50	6875.75	USD	-9,256.25	
15SEP17	S	1	PD15DEC17	6504.50	6875.75	USD	-9,281.25	
15SEP17	S	1	PD15DEC17	6507.50	6875.75	USD	-9,206.25	
15SEP17	S	1	PD15DEC17	6510.00	6875.75	USD	-9,143.75	
15SEP17	B	1	PD15DEC17	6510.00	6875.75	USD	9,143.75	
15SEP17	B	1	PD15DEC17	6515.50	6875.75	USD	9,006.25	
15SEP17	B	1	PD15DEC17	6519.00	6875.75	USD	8,918.75	
15SEP17	B	2	PD15DEC17	6520.00	6875.75	USD	17,787.50	
15SEP17	S	1	PD15DEC17	6520.00	6875.75	USD	-8,893.75	
15SEP17	S	1	PD15DEC17	6524.50	6875.75	USD	-8,781.25	
15SEP17	S	1	PD15DEC17	6526.50	6875.75	USD	-8,731.25	
15SEP17	S	1	PD15DEC17	6522.00	6875.75	USD	-8,843.75	
15SEP17	S	3	PD15DEC17	6506.50	6875.75	USD	-27,693.75	
15SEP17	S	4	PD15DEC17	6513.00	6875.75	USD	-36,275.00	
15SEP17	S	1	PD15DEC17	6492.00	6875.75	USD	-9,593.75	
15SEP17	B	2	PD15DEC17	6525.00	6875.75	USD	17,537.50	
15SEP17	B	1	PD15DEC17	6505.00	6875.75	USD	9,268.75	
15SEP17	B	1	PD15DEC17	6504.00	6875.75	USD	9,293.75	
15SEP17	S	1	PD15DEC17	6509.00	6875.75	USD	-9,168.75	
15SEP17	B	1	PD15DEC17	6497.00	6875.75	USD	9,468.75	
15SEP17	B	1	PD15DEC17	6496.00	6875.75	USD	9,493.75	
15SEP17	B	1	PD15DEC17	6498.00	6875.75	USD	9,443.75	
15SEP17	B	1	PD15DEC17	6495.50	6875.75	USD	9,506.25	
15SEP17	B	1	PD15DEC17	6485.50	6875.75	USD	9,756.25	
15SEP17	B	1	PD15DEC17	6488.50	6875.75	USD	9,681.25	
15SEP17	S	5	PD15DEC17	6493.00	6875.75	USD	-47,843.75	
15SEP17	S	2	PD15DEC17	6494.00	6875.75	USD	-19,087.50	
15SEP17	S	1	PD15DEC17	6495.50	6875.75	USD	-9,506.25	
15SEP17	S	5	PD15DEC17	6495.00	6875.75	USD	-47,593.75	
15SEP17	S	2	PD15DEC17	6496.50	6875.75	USD	-18,962.50	
15SEP17	B	4	PD15DEC17	6489.50	6875.75	USD	38,625.00	
15SEP17	B	2	PD15DEC17	6491.50	6875.75	USD	19,212.50	
15SEP17	B	1	PD15DEC17	6478.00	6875.75	USD	9,943.75	
15SEP17	B	1	PD15DEC17	6479.00	6875.75	USD	9,918.75	
15SEP17	B	1	PD15DEC17	6477.50	6875.75	USD	9,956.25	
15SEP17	B	2	PD15DEC17	6476.50	6875.75	USD	19,962.50	
15SEP17	B	2	PD15DEC17	6474.50	6875.75	USD	20,062.50	
15SEP17	B	2	PD15DEC17	6478.50	6875.75	USD	19,862.50	
15SEP17	B	2	PD15DEC17	6477.00	6875.75	USD	19,937.50	

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15SEP17	S	1	PD15DEC17	6470.00	6875.75	USD	-10,143.75	
15SEP17	S	2	PD15DEC17	6468.00	6875.75	USD	-20,387.50	
15SEP17	S	2	PD15DEC17	6467.50	6875.75	USD	-20,412.50	
15SEP17	S	3	PD15DEC17	6466.50	6875.75	USD	-30,693.75	
15SEP17	S	3	PD15DEC17	6467.00	6875.75	USD	-30,656.25	
15SEP17	S	1	PD15DEC17	6476.50	6875.75	USD	-9,981.25	
15SEP17	S	1	PD15DEC17	6475.00	6875.75	USD	-10,018.75	
15SEP17	S	1	PD15DEC17	6463.00	6875.75	USD	-10,318.75	
15SEP17	S	3	PD15DEC17	6462.50	6875.75	USD	-30,993.75	
15SEP17	S	1	PD15DEC17	6461.50	6875.75	USD	-10,356.25	
15SEP17	S	2	PD15DEC17	6462.00	6875.75	USD	-20,687.50	
15SEP17	S	3	PD15DEC17	6466.00	6875.75	USD	-30,731.25	
15SEP17	S	1	PD15DEC17	6460.00	6875.75	USD	-10,393.75	
15SEP17	S	2	PD15DEC17	6461.00	6875.75	USD	-20,737.50	
15SEP17	S	1	PD15DEC17	6455.50	6875.75	USD	-10,506.25	
15SEP17	S	2	PD15DEC17	6455.00	6875.75	USD	-21,037.50	
15SEP17	S	1	PD15DEC17	6456.00	6875.75	USD	-10,493.75	
15SEP17	B	1	PD15DEC17	6490.50	6875.75	USD	9,631.25	
15SEP17	B	2	PD15DEC17	6494.00	6875.75	USD	19,087.50	
15SEP17	S	3	PD15DEC17	6494.00	6875.75	USD	-28,631.25	
15SEP17	B	1	PD15DEC17	6496.00	6875.75	USD	9,493.75	
15SEP17	B	1	PD15DEC17	6477.00	6875.75	USD	9,968.75	
15SEP17	B	1	PD15DEC17	6479.50	6875.75	USD	9,906.25	
15SEP17	S	1	PD15DEC17	6468.50	6875.75	USD	-10,181.25	
15SEP17	S	1	PD15DEC17	6464.50	6875.75	USD	-10,281.25	
15SEP17	S	1	PD15DEC17	6463.50	6875.75	USD	-10,306.25	
15SEP17	B	2	PD15DEC17	6488.00	6875.75	USD	19,387.50	
15SEP17	B	10	PD15DEC17	6490.00	6875.75	USD	96,437.50	
15SEP17	B	3	PD15DEC17	6490.50	6875.75	USD	28,893.75	
15SEP17	B	1	PD15DEC17	6493.50	6875.75	USD	9,556.25	
15SEP17	B	5	PD15DEC17	6494.00	6875.75	USD	47,718.75	
15SEP17	B	2	PD15DEC17	6500.00	6875.75	USD	18,787.50	
15SEP17	B	4	PD15DEC17	6497.50	6875.75	USD	37,825.00	
15SEP17	B	6	PD15DEC17	6502.50	6875.75	USD	55,987.50	
15SEP17	B	2	PD15DEC17	6500.50	6875.75	USD	18,762.50	
15SEP17	B	2	PD15DEC17	6501.50	6875.75	USD	18,712.50	
15SEP17	B	2	PD15DEC17	6517.00	6875.75	USD	17,937.50	
15SEP17	B	1	PD15DEC17	6512.50	6875.75	USD	9,081.25	
15SEP17	B	1	PD15DEC17	6511.00	6875.75	USD	9,118.75	
15SEP17	B	1	PD15DEC17	6508.00	6875.75	USD	9,193.75	
15SEP17	B	1	PD15DEC17	6507.50	6875.75	USD	9,206.25	
15SEP17	S	1	PD15DEC17	6498.00	6875.75	USD	-9,443.75	
15SEP17	S	1	PD15DEC17	6493.50	6875.75	USD	-9,556.25	
15SEP17	S	1	PD15DEC17	6491.00	6875.75	USD	-9,618.75	
15SEP17	B	1	PD15DEC17	6481.50	6875.75	USD	9,856.25	
21SEP17	B	1	PD15DEC17	6480.87	6875.75	USD	9,872.00	
25SEP17	B	1	PD15DEC17	6447.12	6875.75	USD	10,715.75	
25SEP17	S	8	PD15DEC17	6446.92	6875.75	USD	-85,766.00	
	NET	+6 AVG BGHT 6494.45	SOLD 6480.71				30,071.75	0.00
18SEP17	S	1	PD18DEC17	6541.50	6877.00	USD	-8,387.50	
18SEP17	S	1	PD18DEC17	6555.00	6877.00	USD	-8,050.00	
18SEP17	S	1	PD18DEC17	6545.00	6877.00	USD	-8,300.00	
18SEP17	S	1	PD18DEC17	6554.00	6877.00	USD	-8,075.00	
18SEP17	B	1	PD18DEC17	6514.00	6877.00	USD	9,075.00	
18SEP17	S	1	PD18DEC17	6519.00	6877.00	USD	-8,950.00	
18SEP17	S	1	PD18DEC17	6505.50	6877.00	USD	-9,287.50	
18SEP17	S	3	PD18DEC17	6552.00	6877.00	USD	-24,375.00	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0094X

13th October 2017

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
18SEP17	B	1	PD18DEC17	6516.00	6877.00	USD	9,025.00	
18SEP17	S	1	PD18DEC17	6544.00	6877.00	USD	-8,325.00	
18SEP17	S	1	PD18DEC17	6563.00	6877.00	USD	-7,850.00	
18SEP17	S	1	PD18DEC17	6562.00	6877.00	USD	-7,875.00	
18SEP17	S	1	PD18DEC17	6554.50	6877.00	USD	-8,062.50	
18SEP17	S	1	PD18DEC17	6556.00	6877.00	USD	-8,025.00	
18SEP17	S	2	PD18DEC17	6553.00	6877.00	USD	-16,200.00	
18SEP17	S	1	PD18DEC17	6563.50	6877.00	USD	-7,837.50	
18SEP17	B	1	PD18DEC17	6567.00	6877.00	USD	7,750.00	
18SEP17	S	1	PD18DEC17	6560.50	6877.00	USD	-7,912.50	
18SEP17	S	3	PD18DEC17	6541.00	6877.00	USD	-25,200.00	
18SEP17	S	2	PD18DEC17	6550.50	6877.00	USD	-16,325.00	
18SEP17	S	1	PD18DEC17	6557.50	6877.00	USD	-7,987.50	
18SEP17	S	3	PD18DEC17	6565.00	6877.00	USD	-23,400.00	
18SEP17	B	1	PD18DEC17	6513.00	6877.00	USD	9,100.00	
18SEP17	S	3	PD18DEC17	6550.00	6877.00	USD	-24,525.00	
18SEP17	S	2	PD18DEC17	6505.00	6877.00	USD	-18,600.00	
18SEP17	B	1	PD18DEC17	6562.00	6877.00	USD	7,875.00	
18SEP17	B	1	PD18DEC17	6549.50	6877.00	USD	8,187.50	
18SEP17	S	1	PD18DEC17	6555.50	6877.00	USD	-8,037.50	
18SEP17	B	1	PD18DEC17	6564.00	6877.00	USD	7,825.00	
18SEP17	B	1	PD18DEC17	6556.50	6877.00	USD	8,012.50	
18SEP17	B	1	PD18DEC17	6550.00	6877.00	USD	8,175.00	
18SEP17	S	1	PD18DEC17	6551.00	6877.00	USD	-8,150.00	
18SEP17	B	1	PD18DEC17	6514.50	6877.00	USD	9,062.50	
18SEP17	S	1	PD18DEC17	6544.50	6877.00	USD	-8,312.50	
18SEP17	S	1	PD18DEC17	6553.50	6877.00	USD	-8,087.50	
18SEP17	S	1	PD18DEC17	6507.00	6877.00	USD	-9,250.00	
18SEP17	S	1	PD18DEC17	6565.50	6877.00	USD	-7,787.50	
18SEP17	S	1	PD18DEC17	6542.00	6877.00	USD	-8,375.00	
18SEP17	B	2	PD18DEC17	6553.50	6877.00	USD	16,175.00	
18SEP17	S	1	PD18DEC17	6552.00	6877.00	USD	-8,125.00	
18SEP17	S	1	PD18DEC17	6555.50	6877.00	USD	-8,037.50	
18SEP17	S	1	PD18DEC17	6552.50	6877.00	USD	-8,112.50	
18SEP17	S	3	PD18DEC17	6547.00	6877.00	USD	-24,750.00	
18SEP17	S	3	PD18DEC17	6549.50	6877.00	USD	-24,562.50	
18SEP17	S	2	PD18DEC17	6537.50	6877.00	USD	-16,975.00	
18SEP17	S	1	PD18DEC17	6537.00	6877.00	USD	-8,500.00	
18SEP17	S	3	PD18DEC17	6536.50	6877.00	USD	-25,537.50	
18SEP17	B	4	PD18DEC17	6536.50	6877.00	USD	34,050.00	
18SEP17	S	1	PD18DEC17	6535.00	6877.00	USD	-8,550.00	
18SEP17	S	1	PD18DEC17	6531.50	6877.00	USD	-8,637.50	
18SEP17	S	1	PD18DEC17	6525.50	6877.00	USD	-8,787.50	
18SEP17	B	2	PD18DEC17	6548.00	6877.00	USD	16,450.00	
18SEP17	B	3	PD18DEC17	6541.00	6877.00	USD	25,200.00	
18SEP17	B	1	PD18DEC17	6524.50	6877.00	USD	8,812.50	
18SEP17	B	1	PD18DEC17	6520.50	6877.00	USD	8,912.50	
18SEP17	B	1	PD18DEC17	6517.50	6877.00	USD	8,987.50	
18SEP17	B	1	PD18DEC17	6518.50	6877.00	USD	8,962.50	
18SEP17	B	1	PD18DEC17	6536.00	6877.00	USD	8,525.00	
18SEP17	S	3	PD18DEC17	6535.50	6877.00	USD	-25,612.50	
18SEP17	S	1	PD18DEC17	6530.50	6877.00	USD	-8,662.50	
18SEP17	S	2	PD18DEC17	6536.00	6877.00	USD	-17,050.00	
18SEP17	S	1	PD18DEC17	6527.50	6877.00	USD	-8,737.50	
18SEP17	S	1	PD18DEC17	6529.00	6877.00	USD	-8,700.00	
18SEP17	B	2	PD18DEC17	6531.50	6877.00	USD	17,275.00	
18SEP17	S	1	PD18DEC17	6536.50	6877.00	USD	-8,512.50	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
19SEP17	B	4	PD18DEC17	6538.80	6877.00	USD	33,820.00	
20SEP17	B	22	PD18DEC17	6526.14	6877.00	USD	192,973.00	
21SEP17	B	2	PD18DEC17	6481.87	6877.00	USD	19,756.50	
	NET	-10 AVG BGHT 6531.30	SOLD 6544.03				-65,413.00	0.00
19SEP17	S	1	PD19DEC17	6545.00	6877.00	USD	-8,300.00	
19SEP17	B	1	PD19DEC17	6545.50	6877.00	USD	8,287.50	
19SEP17	S	1	PD19DEC17	6548.50	6877.00	USD	-8,212.50	
19SEP17	S	1	PD19DEC17	6539.00	6877.00	USD	-8,450.00	
19SEP17	S	2	PD19DEC17	6538.00	6877.00	USD	-16,950.00	
19SEP17	S	1	PD19DEC17	6536.00	6877.00	USD	-8,525.00	
19SEP17	S	1	PD19DEC17	6540.50	6877.00	USD	-8,412.50	
19SEP17	S	2	PD19DEC17	6525.00	6877.00	USD	-17,600.00	
19SEP17	S	3	PD19DEC17	6525.50	6877.00	USD	-26,362.50	
19SEP17	S	4	PD19DEC17	6534.00	6877.00	USD	-34,300.00	
19SEP17	S	1	PD19DEC17	6526.00	6877.00	USD	-8,775.00	
19SEP17	S	1	PD19DEC17	6532.50	6877.00	USD	-8,612.50	
19SEP17	S	1	PD19DEC17	6535.00	6877.00	USD	-8,550.00	
19SEP17	S	1	PD19DEC17	6536.50	6877.00	USD	-8,512.50	
19SEP17	S	2	PD19DEC17	6537.50	6877.00	USD	-16,975.00	
19SEP17	S	2	PD19DEC17	6537.00	6877.00	USD	-17,000.00	
19SEP17	S	4	PD19DEC17	6538.50	6877.00	USD	-33,850.00	
19SEP17	S	1	PD19DEC17	6530.00	6877.00	USD	-8,675.00	
19SEP17	S	3	PD19DEC17	6531.00	6877.00	USD	-25,950.00	
19SEP17	B	2	PD19DEC17	6521.00	6877.00	USD	17,800.00	
19SEP17	B	1	PD19DEC17	6519.50	6877.00	USD	8,937.50	
19SEP17	B	4	PD19DEC17	6518.50	6877.00	USD	35,850.00	
19SEP17	B	2	PD19DEC17	6514.00	6877.00	USD	18,150.00	
19SEP17	B	1	PD19DEC17	6530.00	6877.00	USD	8,675.00	
19SEP17	B	2	PD19DEC17	6532.50	6877.00	USD	17,225.00	
19SEP17	B	1	PD19DEC17	6534.50	6877.00	USD	8,562.50	
19SEP17	B	4	PD19DEC17	6535.00	6877.00	USD	34,200.00	
19SEP17	B	3	PD19DEC17	6542.00	6877.00	USD	25,125.00	
20SEP17	B	1	PD19DEC17	6526.45	6877.00	USD	8,763.75	
21SEP17	B	10	PD19DEC17	6482.20	6877.00	USD	98,700.00	
25SEP17	B	1	PD19DEC17	6448.95	6877.00	USD	10,701.25	
26SEP17	S	5	PD19DEC17	6409.93	6877.00	USD	-58,383.75	
	NET	-4 AVG BGHT 6512.18	SOLD 6517.65				-31,418.75	0.00
20SEP17	S	1	PD20DEC17	6546.50	6877.00	USD	-8,262.50	
20SEP17	B	1	PD20DEC17	6546.50	6877.00	USD	8,262.50	
20SEP17	S	1	PD20DEC17	6551.50	6877.00	USD	-8,137.50	
20SEP17	S	1	PD20DEC17	6553.00	6877.00	USD	-8,100.00	
20SEP17	S	1	PD20DEC17	6552.00	6877.00	USD	-8,125.00	
20SEP17	S	1	PD20DEC17	6553.50	6877.00	USD	-8,087.50	
20SEP17	S	2	PD20DEC17	6552.50	6877.00	USD	-16,225.00	
20SEP17	S	1	PD20DEC17	6554.50	6877.00	USD	-8,062.50	
20SEP17	S	1	PD20DEC17	6556.50	6877.00	USD	-8,012.50	
20SEP17	S	1	PD20DEC17	6565.00	6877.00	USD	-7,800.00	
20SEP17	S	1	PD20DEC17	6570.50	6877.00	USD	-7,662.50	
20SEP17	S	3	PD20DEC17	6549.50	6877.00	USD	-24,562.50	
20SEP17	S	1	PD20DEC17	6546.00	6877.00	USD	-8,275.00	
20SEP17	S	3	PD20DEC17	6549.00	6877.00	USD	-24,600.00	
20SEP17	S	2	PD20DEC17	6545.00	6877.00	USD	-16,600.00	
20SEP17	S	1	PD20DEC17	6544.00	6877.00	USD	-8,325.00	
20SEP17	S	1	PD20DEC17	6543.50	6877.00	USD	-8,337.50	
20SEP17	S	2	PD20DEC17	6547.00	6877.00	USD	-16,500.00	
20SEP17	S	4	PD20DEC17	6542.50	6877.00	USD	-33,450.00	
20SEP17	S	2	PD20DEC17	6542.00	6877.00	USD	-16,750.00	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
20SEP17	S	1	PD20DEC17	6522.00	6877.00	USD	-8,875.00	
20SEP17	S	1	PD20DEC17	6515.00	6877.00	USD	-9,050.00	
21SEP17	B	25	PD20DEC17	6482.20	6877.00	USD	246,750.00	
25SEP17	B	3	PD20DEC17	6448.95	6877.00	USD	32,103.75	
	NET	-3 AVG BGHT 6480.98	SOLD 6547.25				23,316.25	0.00
21SEP17	S	1	PD21DEC17	6509.00	6876.00	USD	-9,175.00	
21SEP17	B	1	PD21DEC17	6507.50	6876.00	USD	9,212.50	
21SEP17	S	1	PD21DEC17	6490.50	6876.00	USD	-9,637.50	
21SEP17	S	1	PD21DEC17	6491.00	6876.00	USD	-9,625.00	
21SEP17	S	1	PD21DEC17	6492.00	6876.00	USD	-9,600.00	
21SEP17	S	1	PD21DEC17	6492.50	6876.00	USD	-9,587.50	
21SEP17	S	1	PD21DEC17	6479.50	6876.00	USD	-9,912.50	
21SEP17	S	1	PD21DEC17	6470.50	6876.00	USD	-10,137.50	
21SEP17	S	1	PD21DEC17	6465.50	6876.00	USD	-10,262.50	
21SEP17	B	1	PD21DEC17	6453.00	6876.00	USD	10,575.00	
21SEP17	B	1	PD21DEC17	6441.00	6876.00	USD	10,875.00	
21SEP17	B	2	PD21DEC17	6440.50	6876.00	USD	21,775.00	
21SEP17	B	1	PD21DEC17	6447.00	6876.00	USD	10,725.00	
21SEP17	S	1	PD21DEC17	6453.00	6876.00	USD	-10,575.00	
21SEP17	S	1	PD21DEC17	6454.50	6876.00	USD	-10,537.50	
21SEP17	B	1	PD21DEC17	6457.50	6876.00	USD	10,462.50	
21SEP17	B	1	PD21DEC17	6460.00	6876.00	USD	10,400.00	
21SEP17	S	1	PD21DEC17	6466.00	6876.00	USD	-10,250.00	
21SEP17	S	1	PD21DEC17	6440.50	6876.00	USD	-10,887.50	
21SEP17	S	2	PD21DEC17	6432.00	6876.00	USD	-22,200.00	
21SEP17	S	1	PD21DEC17	6436.50	6876.00	USD	-10,987.50	
21SEP17	B	2	PD21DEC17	6442.50	6876.00	USD	21,675.00	
21SEP17	S	1	PD21DEC17	6428.00	6876.00	USD	-11,200.00	
21SEP17	S	1	PD21DEC17	6434.50	6876.00	USD	-11,037.50	
21SEP17	S	3	PD21DEC17	6426.50	6876.00	USD	-33,712.50	
21SEP17	S	1	PD21DEC17	6427.00	6876.00	USD	-11,225.00	
21SEP17	S	2	PD21DEC17	6433.50	6876.00	USD	-22,125.00	
25SEP17	B	4	PD21DEC17	6446.95	6876.00	USD	42,905.00	
26SEP17	B	3	PD21DEC17	6409.20	6876.00	USD	35,010.00	
	NET	-6 AVG BGHT 6443.96	SOLD 6453.96				-59,060.00	0.00
22SEP17	S	1	PD22DEC17	6426.50	6875.00	USD	-11,212.50	
22SEP17	B	2	PD22DEC17	6442.50	6875.00	USD	21,625.00	
22SEP17	B	3	PD22DEC17	6432.00	6875.00	USD	33,225.00	
22SEP17	S	1	PD22DEC17	6401.00	6875.00	USD	-11,850.00	
22SEP17	S	1	PD22DEC17	6401.50	6875.00	USD	-11,837.50	
22SEP17	S	1	PD22DEC17	6400.00	6875.00	USD	-11,875.00	
22SEP17	S	4	PD22DEC17	6400.50	6875.00	USD	-47,450.00	
22SEP17	S	2	PD22DEC17	6402.00	6875.00	USD	-23,650.00	
22SEP17	S	1	PD22DEC17	6405.00	6875.00	USD	-11,750.00	
22SEP17	S	1	PD22DEC17	6389.50	6875.00	USD	-12,137.50	
22SEP17	S	2	PD22DEC17	6388.50	6875.00	USD	-24,325.00	
22SEP17	S	2	PD22DEC17	6383.00	6875.00	USD	-24,600.00	
22SEP17	S	1	PD22DEC17	6380.50	6875.00	USD	-12,362.50	
22SEP17	S	1	PD22DEC17	6381.50	6875.00	USD	-12,337.50	
22SEP17	S	1	PD22DEC17	6373.00	6875.00	USD	-12,550.00	
22SEP17	S	1	PD22DEC17	6402.00	6875.00	USD	-11,825.00	
22SEP17	B	1	PD22DEC17	6478.50	6875.00	USD	9,912.50	
22SEP17	S	1	PD22DEC17	6476.00	6875.00	USD	-9,975.00	
22SEP17	B	1	PD22DEC17	6480.50	6875.00	USD	9,862.50	
22SEP17	S	1	PD22DEC17	6478.50	6875.00	USD	-9,912.50	
25SEP17	B	4	PD22DEC17	6447.49	6875.00	USD	42,751.00	
03OCT17	B	1	PD22DEC17	6515.95	6875.00	USD	8,976.25	

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10OCT17	B	2 PD22DEC17	6750.00	6875.00	USD	6,250.00	
	NET	-8 AVG BGHT 6496.14 SOLD 6402.91				-127,047.75	0.00
25SEP17	S	1 PD27DEC17	6478.00	6876.67	USD	-9,966.75	
25SEP17	B	1 PD27DEC17	6472.00	6876.67	USD	10,116.75	
25SEP17	S	2 PD27DEC17	6478.50	6876.67	USD	-19,908.50	
25SEP17	B	1 PD27DEC17	6477.50	6876.67	USD	9,979.25	
25SEP17	S	1 PD27DEC17	6458.00	6876.67	USD	-10,466.75	
25SEP17	S	1 PD27DEC17	6458.50	6876.67	USD	-10,454.25	
25SEP17	B	1 PD27DEC17	6461.00	6876.67	USD	10,391.75	
25SEP17	S	1 PD27DEC17	6457.50	6876.67	USD	-10,479.25	
25SEP17	B	1 PD27DEC17	6445.50	6876.67	USD	10,779.25	
26SEP17	S	3 PD27DEC17	6479.00	6876.67	USD	-29,825.25	
26SEP17	B	1 PD27DEC17	6480.50	6876.67	USD	9,904.25	
26SEP17	B	2 PD27DEC17	6479.00	6876.67	USD	19,883.50	
26SEP17	B	1 PD27DEC17	6477.00	6876.67	USD	9,991.75	
26SEP17	S	1 PD27DEC17	6479.00	6876.67	USD	-9,941.75	
26SEP17	B	1 PD27DEC17	6454.50	6876.67	USD	10,554.25	
26SEP17	B	2 PD27DEC17	6458.00	6876.67	USD	20,933.50	
26SEP17	S	1 PD27DEC17	6457.50	6876.67	USD	-10,479.25	
26SEP17	S	1 PD27DEC17	6455.00	6876.67	USD	-10,541.75	
26SEP17	B	1 PD27DEC17	6442.50	6876.67	USD	10,854.25	
26SEP17	B	1 PD27DEC17	6440.50	6876.67	USD	10,904.25	
26SEP17	B	1 PD27DEC17	6439.00	6876.67	USD	10,941.75	
26SEP17	B	1 PD27DEC17	6437.50	6876.67	USD	10,979.25	
26SEP17	B	2 PD27DEC17	6439.50	6876.67	USD	21,858.50	
26SEP17	B	1 PD27DEC17	6435.00	6876.67	USD	11,041.75	
26SEP17	B	1 PD27DEC17	6429.50	6876.67	USD	11,179.25	
26SEP17	B	1 PD27DEC17	6429.00	6876.67	USD	11,191.75	
26SEP17	B	1 PD27DEC17	6427.50	6876.67	USD	11,229.25	
26SEP17	B	1 PD27DEC17	6418.00	6876.67	USD	11,466.75	
26SEP17	B	1 PD27DEC17	6424.50	6876.67	USD	11,304.25	
26SEP17	B	2 PD27DEC17	6416.50	6876.67	USD	23,008.50	
26SEP17	S	1 PD27DEC17	6412.00	6876.67	USD	-11,616.75	
26SEP17	B	5 PD27DEC17	6413.20	6876.67	USD	57,933.75	
26SEP17	B	1 PD27DEC17	6418.50	6876.67	USD	11,454.25	
27SEP17	B	1 PD27DEC17	6453.00	6876.67	USD	10,591.75	
27SEP17	B	1 PD27DEC17	6450.50	6876.67	USD	10,654.25	
27SEP17	B	1 PD27DEC17	6463.50	6876.67	USD	10,329.25	
27SEP17	B	2 PD27DEC17	6470.50	6876.67	USD	20,308.50	
27SEP17	S	1 PD27DEC17	6458.00	6876.67	USD	-10,466.75	
27SEP17	S	1 PD27DEC17	6466.00	6876.67	USD	-10,266.75	
27SEP17	S	1 PD27DEC17	6462.00	6876.67	USD	-10,366.75	
27SEP17	S	2 PD27DEC17	6457.00	6876.67	USD	-20,983.50	
27SEP17	S	2 PD27DEC17	6456.50	6876.67	USD	-21,008.50	
27SEP17	S	7 PD27DEC17	6456.00	6876.67	USD	-73,617.25	
27SEP17	B	14 PD27DEC17	6454.50	6876.67	USD	147,759.50	
27SEP17	S	1 PD27DEC17	6455.00	6876.67	USD	-10,541.75	
27SEP17	S	1 PD27DEC17	6449.00	6876.67	USD	-10,691.75	
27SEP17	S	2 PD27DEC17	6448.00	6876.67	USD	-21,433.50	
27SEP17	S	1 PD27DEC17	6432.00	6876.67	USD	-11,116.75	
27SEP17	S	2 PD27DEC17	6430.00	6876.67	USD	-22,333.50	
27SEP17	S	1 PD27DEC17	6427.50	6876.67	USD	-11,229.25	
27SEP17	B	1 PD27DEC17	6454.00	6876.67	USD	10,566.75	
27SEP17	S	1 PD27DEC17	6428.00	6876.67	USD	-11,216.75	
27SEP17	S	1 PD27DEC17	6443.50	6876.67	USD	-10,829.25	
27SEP17	S	1 PD27DEC17	6441.50	6876.67	USD	-10,879.25	
27SEP17	S	1 PD27DEC17	6434.00	6876.67	USD	-11,066.75	

OPEN POSITION STATEMENT



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SAP0094X

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Suite A, 105 Piccadilly
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backoffice@sapiencap.com

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
27SEP17	S	3	PD27DEC17	6444.50	6876.67	USD	-32,412.75	
27SEP17	S	2	PD27DEC17	6446.50	6876.67	USD	-21,508.50	
27SEP17	S	1	PD27DEC17	6426.00	6876.67	USD	-11,266.75	
28SEP17	B	1	PD27DEC17	6521.53	6876.67	USD	8,878.50	
03OCT17	B	1	PD27DEC17	6517.72	6876.67	USD	8,973.75	
10OCT17	B	5	PD27DEC17	6752.50	6876.67	USD	15,521.25	
	NET	+13 AVG BGHT 6475.66	SOLD 6452.74				104,549.00	0.00
28SEP17	B	1	PD28DEC17	6436.50	6877.00	USD	11,012.50	
28SEP17	B	1	PD28DEC17	6425.50	6877.00	USD	11,287.50	
28SEP17	S	1	PD28DEC17	6423.50	6877.00	USD	-11,337.50	
28SEP17	S	1	PD28DEC17	6411.50	6877.00	USD	-11,637.50	
28SEP17	S	1	PD28DEC17	6407.50	6877.00	USD	-11,737.50	
28SEP17	S	1	PD28DEC17	6407.00	6877.00	USD	-11,750.00	
28SEP17	S	2	PD28DEC17	6408.00	6877.00	USD	-23,450.00	
28SEP17	S	4	PD28DEC17	6409.00	6877.00	USD	-46,800.00	
28SEP17	S	7	PD28DEC17	6408.50	6877.00	USD	-81,987.50	
28SEP17	S	1	PD28DEC17	6401.00	6877.00	USD	-11,900.00	
28SEP17	S	2	PD28DEC17	6402.00	6877.00	USD	-23,750.00	
28SEP17	S	2	PD28DEC17	6400.50	6877.00	USD	-23,825.00	
28SEP17	S	1	PD28DEC17	6415.00	6877.00	USD	-11,550.00	
28SEP17	B	1	PD28DEC17	6423.50	6877.00	USD	11,337.50	
28SEP17	B	2	PD28DEC17	6429.50	6877.00	USD	22,375.00	
28SEP17	B	2	PD28DEC17	6436.00	6877.00	USD	22,050.00	
28SEP17	B	4	PD28DEC17	6441.00	6877.00	USD	43,600.00	
28SEP17	B	1	PD28DEC17	6440.50	6877.00	USD	10,912.50	
28SEP17	B	2	PD28DEC17	6438.00	6877.00	USD	21,950.00	
28SEP17	B	2	PD28DEC17	6437.50	6877.00	USD	21,975.00	
28SEP17	B	3	PD28DEC17	6434.00	6877.00	USD	33,225.00	
28SEP17	B	2	PD28DEC17	6433.00	6877.00	USD	22,200.00	
28SEP17	B	1	PD28DEC17	6433.50	6877.00	USD	11,087.50	
28SEP17	S	1	PD28DEC17	6436.00	6877.00	USD	-11,025.00	
28SEP17	B	1	PD28DEC17	6466.50	6877.00	USD	10,262.50	
28SEP17	B	1	PD28DEC17	6476.00	6877.00	USD	10,025.00	
28SEP17	B	1	PD28DEC17	6476.50	6877.00	USD	10,012.50	
28SEP17	B	1	PD28DEC17	6467.00	6877.00	USD	10,250.00	
28SEP17	B	1	PD28DEC17	6470.50	6877.00	USD	10,162.50	
28SEP17	B	1	PD28DEC17	6469.50	6877.00	USD	10,187.50	
28SEP17	B	1	PD28DEC17	6470.00	6877.00	USD	10,175.00	
28SEP17	B	1	PD28DEC17	6462.50	6877.00	USD	10,362.50	
28SEP17	B	1	PD28DEC17	6489.00	6877.00	USD	9,700.00	
28SEP17	B	2	PD28DEC17	6488.00	6877.00	USD	19,450.00	
28SEP17	B	1	PD28DEC17	6513.00	6877.00	USD	9,100.00	
28SEP17	B	1	PD28DEC17	6514.50	6877.00	USD	9,062.50	
28SEP17	B	2	PD28DEC17	6514.00	6877.00	USD	18,150.00	
28SEP17	B	1	PD28DEC17	6528.50	6877.00	USD	8,712.50	
28SEP17	S	2	PD28DEC17	6512.00	6877.00	USD	-18,250.00	
28SEP17	S	1	PD28DEC17	6510.00	6877.00	USD	-9,175.00	
28SEP17	S	1	PD28DEC17	6515.00	6877.00	USD	-9,050.00	
28SEP17	S	1	PD28DEC17	6520.50	6877.00	USD	-8,912.50	
28SEP17	S	1	PD28DEC17	6520.00	6877.00	USD	-8,925.00	
28SEP17	S	2	PD28DEC17	6573.00	6877.00	USD	-15,200.00	
28SEP17	S	1	PD28DEC17	6555.00	6877.00	USD	-8,050.00	
10OCT17	B	3	PD28DEC17	6753.04	6877.00	USD	9,297.00	
	NET	+8 AVG BGHT 6479.03	SOLD 6442.68				49,609.50	0.00
29SEP17	S	2	PD29DEC17	6530.00	6877.33	USD	-17,366.50	
29SEP17	S	2	PD29DEC17	6533.50	6877.33	USD	-17,191.50	
29SEP17	S	1	PD29DEC17	6535.00	6877.33	USD	-8,558.25	

OPEN POSITION STATEMENT



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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
29SEP17	S	3	PD29DEC17	6525.00	6877.33	USD	-26,424.75	
29SEP17	S	2	PD29DEC17	6525.50	6877.33	USD	-17,591.50	
29SEP17	S	1	PD29DEC17	6529.50	6877.33	USD	-8,695.75	
29SEP17	S	2	PD29DEC17	6528.00	6877.33	USD	-17,466.50	
29SEP17	B	1	PD29DEC17	6553.00	6877.33	USD	8,108.25	
29SEP17	B	1	PD29DEC17	6567.00	6877.33	USD	7,758.25	
29SEP17	B	1	PD29DEC17	6568.00	6877.33	USD	7,733.25	
29SEP17	B	1	PD29DEC17	6560.00	6877.33	USD	7,933.25	
29SEP17	B	2	PD29DEC17	6571.50	6877.33	USD	15,291.50	
29SEP17	B	1	PD29DEC17	6571.00	6877.33	USD	7,658.25	
29SEP17	B	2	PD29DEC17	6570.00	6877.33	USD	15,366.50	
29SEP17	B	4	PD29DEC17	6569.00	6877.33	USD	30,833.00	
29SEP17	B	2	PD29DEC17	6562.00	6877.33	USD	15,766.50	
29SEP17	B	1	PD29DEC17	6568.50	6877.33	USD	7,720.75	
29SEP17	B	2	PD29DEC17	6572.50	6877.33	USD	15,241.50	
29SEP17	B	4	PD29DEC17	6572.00	6877.33	USD	30,533.00	
29SEP17	B	1	PD29DEC17	6574.00	6877.33	USD	7,583.25	
29SEP17	B	2	PD29DEC17	6574.50	6877.33	USD	15,141.50	
29SEP17	B	4	PD29DEC17	6575.00	6877.33	USD	30,233.00	
29SEP17	B	3	PD29DEC17	6573.00	6877.33	USD	22,824.75	
29SEP17	B	1	PD29DEC17	6573.50	6877.33	USD	7,595.75	
29SEP17	S	1	PD29DEC17	6567.50	6877.33	USD	-7,745.75	
29SEP17	B	5	PD29DEC17	6579.50	6877.33	USD	37,228.75	
10OCT17	S	10	PD29DEC17	6753.37	6877.33	USD	-30,990.00	
	NET	+14 AVG BGHT 6571.49	SOLD 6623.95				138,520.50	0.00
09OCT17	S	1	PD09JAN18	6659.00	6881.00	USD	-5,550.00	
09OCT17	S	1	PD09JAN18	6655.00	6881.00	USD	-5,650.00	
09OCT17	S	1	PD09JAN18	6654.00	6881.00	USD	-5,675.00	
09OCT17	B	2	PD09JAN18	6678.50	6881.00	USD	10,125.00	
09OCT17	S	1	PD09JAN18	6641.50	6881.00	USD	-5,987.50	
09OCT17	S	2	PD09JAN18	6632.00	6881.00	USD	-12,450.00	
09OCT17	S	2	PD09JAN18	6632.50	6881.00	USD	-12,425.00	
09OCT17	S	4	PD09JAN18	6657.50	6881.00	USD	-22,350.00	
09OCT17	S	1	PD09JAN18	6659.50	6881.00	USD	-5,537.50	
09OCT17	S	11	PD09JAN18	6658.50	6881.00	USD	-61,187.50	
09OCT17	S	1	PD09JAN18	6655.50	6881.00	USD	-5,637.50	
09OCT17	S	1	PD09JAN18	6650.00	6881.00	USD	-5,775.00	
09OCT17	S	3	PD09JAN18	6658.00	6881.00	USD	-16,725.00	
09OCT17	B	1	PD09JAN18	6664.50	6881.00	USD	5,412.50	
	NET	-26 AVG BGHT 6673.83	SOLD 6653.48				-149,412.50	0.00
10OCT17	B	1	PD10JAN18	6723.00	6881.33	USD	3,958.25	
10OCT17	S	1	PD10JAN18	6723.50	6881.33	USD	-3,945.75	
10OCT17	S	1	PD10JAN18	6686.50	6881.33	USD	-4,870.75	
10OCT17	S	1	PD10JAN18	6687.50	6881.33	USD	-4,845.75	
10OCT17	B	1	PD10JAN18	6695.00	6881.33	USD	4,658.25	
10OCT17	B	1	PD10JAN18	6701.50	6881.33	USD	4,495.75	
10OCT17	B	1	PD10JAN18	6690.00	6881.33	USD	4,783.25	
10OCT17	B	1	PD10JAN18	6695.50	6881.33	USD	4,645.75	
10OCT17	B	1	PD10JAN18	6692.50	6881.33	USD	4,720.75	
10OCT17	B	1	PD10JAN18	6738.50	6881.33	USD	3,570.75	
10OCT17	B	1	PD10JAN18	6740.00	6881.33	USD	3,533.25	
10OCT17	B	2	PD10JAN18	6747.00	6881.33	USD	6,716.50	
10OCT17	B	1	PD10JAN18	6747.50	6881.33	USD	3,345.75	
10OCT17	B	1	PD10JAN18	6743.00	6881.33	USD	3,458.25	
10OCT17	S	1	PD10JAN18	6742.50	6881.33	USD	-3,470.75	
	NET	+8 AVG BGHT 6721.71	SOLD 6710.00				30,753.50	0.00

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11OCT17	S	1	PD11JAN18	6750.50	6881.67	USD	-3,279.25	
11OCT17	S	1	PD11JAN18	6760.00	6881.67	USD	-3,041.75	
11OCT17	B	2	PD11JAN18	6770.00	6881.67	USD	5,583.50	
11OCT17	B	1	PD11JAN18	6782.00	6881.67	USD	2,491.75	
11OCT17	B	1	PD11JAN18	6783.00	6881.67	USD	2,466.75	
11OCT17	B	1	PD11JAN18	6792.50	6881.67	USD	2,229.25	
11OCT17	B	1	PD11JAN18	6790.00	6881.67	USD	2,291.75	
11OCT17	B	1	PD11JAN18	6791.50	6881.67	USD	2,254.25	
11OCT17	S	1	PD11JAN18	6789.00	6881.67	USD	-2,316.75	
11OCT17	S	1	PD11JAN18	6773.00	6881.67	USD	-2,716.75	
11OCT17	S	1	PD11JAN18	6777.50	6881.67	USD	-2,604.25	
11OCT17	S	1	PD11JAN18	6780.00	6881.67	USD	-2,541.75	
11OCT17	S	1	PD11JAN18	6779.00	6881.67	USD	-2,566.75	
11OCT17	S	1	PD11JAN18	6766.50	6881.67	USD	-2,879.25	
11OCT17	B	1	PD11JAN18	6790.50	6881.67	USD	2,279.25	
11OCT17	B	1	PD11JAN18	6794.50	6881.67	USD	2,179.25	
11OCT17	B	1	PD11JAN18	6801.50	6881.67	USD	2,004.25	
11OCT17	B	1	PD11JAN18	6806.50	6881.67	USD	1,879.25	
11OCT17	B	1	PD11JAN18	6809.50	6881.67	USD	1,804.25	
11OCT17	B	1	PD11JAN18	6804.00	6881.67	USD	1,941.75	
11OCT17	B	1	PD11JAN18	6801.00	6881.67	USD	2,016.75	
11OCT17	S	1	PD11JAN18	6802.50	6881.67	USD	-1,979.25	
11OCT17	S	1	PD11JAN18	6812.50	6881.67	USD	-1,729.25	
	NET	+4 AVG BGHT 6791.89	SOLD 6779.05				5,767.00	0.00
12OCT17	S	1	PD12JAN18	6799.00	6882.00	USD	-2,075.00	
12OCT17	S	1	PD12JAN18	6792.50	6882.00	USD	-2,237.50	
12OCT17	B	1	PD12JAN18	6835.50	6882.00	USD	1,162.50	
12OCT17	B	1	PD12JAN18	6840.00	6882.00	USD	1,050.00	
12OCT17	S	1	PD12JAN18	6862.50	6882.00	USD	-487.50	
12OCT17	S	1	PD12JAN18	6870.50	6882.00	USD	-287.50	
12OCT17	S	1	PD12JAN18	6873.50	6882.00	USD	-212.50	
12OCT17	S	1	PD12JAN18	6881.50	6882.00	USD	-12.50	
12OCT17	S	1	PD12JAN18	6889.50	6882.00	USD	187.50	
12OCT17	S	1	PD12JAN18	6890.00	6882.00	USD	200.00	
12OCT17	B	1	PD12JAN18	6870.50	6882.00	USD	287.50	
13OCT17	S	1	PD12JAN18	6865.00	6882.00	USD	-425.00	
13OCT17	S	1	PD12JAN18	6875.50	6882.00	USD	-162.50	
13OCT17	S	1	PD12JAN18	6872.50	6882.00	USD	-237.50	
13OCT17	S	1	PD12JAN18	6874.50	6882.00	USD	-187.50	
13OCT17	S	1	PD12JAN18	6866.50	6882.00	USD	-387.50	
13OCT17	S	1	PD12JAN18	6880.00	6882.00	USD	-50.00	
	NET	-11 AVG BGHT 6848.67	SOLD 6863.79				-3,875.00	0.00
	<u>CMX COPPER 25,000 CMX</u>							
14SEP17	B	1	DEC17	295.800	313.350	USD	4,387.50	
14SEP17	B	1	DEC17	295.650	313.350	USD	4,425.00	
14SEP17	B	1	DEC17	295.700	313.350	USD	4,412.50	
15SEP17	B	1	DEC17	295.700	313.350	USD	4,412.50	
15SEP17	B	1	DEC17	296.000	313.350	USD	4,337.50	
15SEP17	B	2	DEC17	295.950	313.350	USD	8,700.00	
26SEP17	B	1	DEC17	292.650	313.350	USD	5,175.00	
26SEP17	B	4	DEC17	292.600	313.350	USD	20,750.00	
26SEP17	B	2	DEC17	292.500	313.350	USD	10,425.00	
26SEP17	B	1	DEC17	292.550	313.350	USD	5,200.00	
26SEP17	B	1	DEC17	292.350	313.350	USD	5,250.00	
26SEP17	B	1	DEC17	292.100	313.350	USD	5,312.50	
26SEP17	B	1	DEC17	291.900	313.350	USD	5,362.50	
26SEP17	B	1	DEC17	291.850	313.350	USD	5,375.00	

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	CMX COPPER 25,000 CMX							
26SEP17	B	1	DEC17	291.950	313.350	USD	5,350.00	
26SEP17	B	1	DEC17	291.350	313.350	USD	5,500.00	
26SEP17	B	1	DEC17	291.500	313.350	USD	5,462.50	
28SEP17	B	1	DEC17	293.750	313.350	USD	4,900.00	
28SEP17	B	2	DEC17	294.850	313.350	USD	9,250.00	
29SEP17	B	1	DEC17	298.550	313.350	USD	3,700.00	
29SEP17	B	1	DEC17	298.350	313.350	USD	3,750.00	
29SEP17	B	1	DEC17	298.850	313.350	USD	3,625.00	
29SEP17	B	1	DEC17	298.900	313.350	USD	3,612.50	
29SEP17	B	1	DEC17	298.950	313.350	USD	3,600.00	
04OCT17	B	1	DEC17	295.400	313.350	USD	4,487.50	
10OCT17	B	1	DEC17	306.850	313.350	USD	1,625.00	
10OCT17	B	1	DEC17	306.550	313.350	USD	1,700.00	
	NET +33 AVG BGHT 295.158						150,087.50	0.00
	CMX GOLD 100 CMX							
15SEP17	B	5	DEC17	1327.6	1304.6	USD	-11,500.00	
15SEP17	B	1	DEC17	1327.4	1304.6	USD	-2,280.00	
15SEP17	B	1	DEC17	1327.0	1304.6	USD	-2,240.00	
15SEP17	B	1	DEC17	1326.7	1304.6	USD	-2,210.00	
15SEP17	B	1	DEC17	1326.3	1304.6	USD	-2,170.00	
15SEP17	B	1	DEC17	1325.1	1304.6	USD	-2,050.00	
15SEP17	B	1	DEC17	1326.6	1304.6	USD	-2,200.00	
15SEP17	B	1	DEC17	1325.9	1304.6	USD	-2,130.00	
15SEP17	B	1	DEC17	1325.7	1304.6	USD	-2,110.00	
21SEP17	B	1	DEC17	1297.3	1304.6	USD	730.00	
21SEP17	B	1	DEC17	1292.9	1304.6	USD	1,170.00	
21SEP17	B	1	DEC17	1293.5	1304.6	USD	1,110.00	
21SEP17	B	1	DEC17	1293.7	1304.6	USD	1,090.00	
21SEP17	B	1	DEC17	1292.6	1304.6	USD	1,200.00	
21SEP17	B	1	DEC17	1292.4	1304.6	USD	1,220.00	
21SEP17	B	2	DEC17	1296.9	1304.6	USD	1,540.00	
27SEP17	B	1	DEC17	1295.9	1304.6	USD	870.00	
27SEP17	B	3	DEC17	1296.3	1304.6	USD	2,490.00	
27SEP17	B	1	DEC17	1297.8	1304.6	USD	680.00	
27SEP17	B	2	DEC17	1287.8	1304.6	USD	3,360.00	
09OCT17	B	1	DEC17	1282.4	1304.6	USD	2,220.00	
09OCT17	B	1	DEC17	1282.3	1304.6	USD	2,230.00	
09OCT17	B	2	DEC17	1282.8	1304.6	USD	4,360.00	
09OCT17	B	1	DEC17	1283.8	1304.6	USD	2,080.00	
09OCT17	B	4	DEC17	1283.5	1304.6	USD	8,440.00	
09OCT17	B	2	DEC17	1281.8	1304.6	USD	4,560.00	
	NET +39 AVG BGHT 1301.9						10,460.00	0.00
	LME LEAD 25 TONNES. THIS IS AN							
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17JUL17	B	1	PD17OCT17	2319.00	2518.25	USD	4,981.25	
17JUL17	S	3	PD17OCT17	2325.00	2518.25	USD	-14,493.75	
17JUL17	B	1	PD17OCT17	2312.50	2518.25	USD	5,143.75	
17JUL17	B	1	PD17OCT17	2324.00	2518.25	USD	4,856.25	
17JUL17	B	1	PD17OCT17	2323.50	2518.25	USD	4,868.75	
17JUL17	B	2	PD17OCT17	2320.00	2518.25	USD	9,912.50	
17JUL17	S	2	PD17OCT17	2312.00	2518.25	USD	-10,312.50	
17JUL17	S	1	PD17OCT17	2313.00	2518.25	USD	-5,131.25	
17JUL17	S	1	PD17OCT17	2324.00	2518.25	USD	-4,856.25	
17JUL17	B	3	PD17OCT17	2325.00	2518.25	USD	14,493.75	
17JUL17	B	1	PD17OCT17	2325.50	2518.25	USD	4,818.75	
17JUL17	B	1	PD17OCT17	2330.50	2518.25	USD	4,693.75	
17JUL17	B	1	PD17OCT17	2325.00	2518.25	USD	4,831.25	

OPEN POSITION STATEMENT



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SAP0094X

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backoffice@sapiencap.com

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	<u>LME LEAD 25 TONNES. THIS IS AN</u>							
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17JUL17	B	1	PD17OCT17	2298.50	2518.25	USD	5,493.75	
17JUL17	B	1	PD17OCT17	2296.50	2518.25	USD	5,543.75	
17JUL17	B	2	PD17OCT17	2291.00	2518.25	USD	11,362.50	
17JUL17	S	1	PD17OCT17	2294.50	2518.25	USD	-5,593.75	
17JUL17	B	1	PD17OCT17	2298.50	2518.25	USD	5,493.75	
19JUL17	B	1	PD17OCT17	2217.50	2518.25	USD	7,518.75	
26JUL17	S	3	PD17OCT17	2312.69	2518.25	USD	-15,417.00	
28JUL17	S	1	PD17OCT17	2319.55	2518.25	USD	-4,967.50	
08AUG17	S	4	PD17OCT17	2378.96	2518.25	USD	-13,929.00	
10AUG17	S	1	PD17OCT17	2360.47	2518.25	USD	-3,944.50	
10AUG17	S	1	PD17OCT17	2360.67	2518.25	USD	-3,939.50	
	NET	0 AVG BGHT 2309.33	SOLD 2334.73				11,427.50	0.00
18JUL17	S	1	PD18OCT17	2293.00	2518.75	USD	-5,643.75	
18JUL17	S	1	PD18OCT17	2291.50	2518.75	USD	-5,681.25	
18JUL17	S	1	PD18OCT17	2287.50	2518.75	USD	-5,781.25	
18JUL17	S	1	PD18OCT17	2287.00	2518.75	USD	-5,793.75	
18JUL17	S	1	PD18OCT17	2283.00	2518.75	USD	-5,893.75	
18JUL17	B	1	PD18OCT17	2281.00	2518.75	USD	5,943.75	
18JUL17	B	1	PD18OCT17	2280.00	2518.75	USD	5,968.75	
18JUL17	B	1	PD18OCT17	2277.50	2518.75	USD	6,031.25	
18JUL17	B	1	PD18OCT17	2272.50	2518.75	USD	6,156.25	
18JUL17	B	1	PD18OCT17	2270.00	2518.75	USD	6,218.75	
18JUL17	S	1	PD18OCT17	2269.50	2518.75	USD	-6,231.25	
18JUL17	S	2	PD18OCT17	2269.00	2518.75	USD	-12,487.50	
18JUL17	S	1	PD18OCT17	2268.00	2518.75	USD	-6,268.75	
18JUL17	S	1	PD18OCT17	2274.50	2518.75	USD	-6,106.25	
18JUL17	B	3	PD18OCT17	2271.50	2518.75	USD	18,543.75	
18JUL17	B	1	PD18OCT17	2272.00	2518.75	USD	6,168.75	
18JUL17	B	1	PD18OCT17	2274.00	2518.75	USD	6,118.75	
	NET	0 AVG BGHT 2274.15	SOLD 2279.20				1,262.50	0.00
19JUL17	B	1	PD19OCT17	2242.00	2518.90	USD	6,922.50	
19JUL17	B	1	PD19OCT17	2243.00	2518.90	USD	6,897.50	
19JUL17	B	1	PD19OCT17	2245.50	2518.90	USD	6,835.00	
19JUL17	S	1	PD19OCT17	2245.50	2518.90	USD	-6,835.00	
19JUL17	S	1	PD19OCT17	2245.00	2518.90	USD	-6,847.50	
19JUL17	S	1	PD19OCT17	2242.50	2518.90	USD	-6,910.00	
	NET	0 AVG BGHT 2243.50	SOLD 2244.33				62.50	0.00
20JUL17	S	1	PD20OCT17	2224.00	2519.05	USD	-7,376.25	
20JUL17	B	1	PD20OCT17	2226.00	2519.05	USD	7,326.25	
20JUL17	S	1	PD20OCT17	2207.50	2519.05	USD	-7,788.75	
20JUL17	S	1	PD20OCT17	2212.00	2519.05	USD	-7,676.25	
20JUL17	S	1	PD20OCT17	2211.50	2519.05	USD	-7,688.75	
20JUL17	S	1	PD20OCT17	2213.50	2519.05	USD	-7,638.75	
20JUL17	S	2	PD20OCT17	2217.50	2519.05	USD	-15,077.50	
21JUL17	S	1	PD20OCT17	2244.50	2519.05	USD	-6,863.75	
21JUL17	S	1	PD20OCT17	2247.50	2519.05	USD	-6,788.75	
21JUL17	B	1	PD20OCT17	2246.50	2519.05	USD	6,813.75	
21JUL17	B	1	PD20OCT17	2244.00	2519.05	USD	6,876.25	
21JUL17	B	1	PD20OCT17	2242.00	2519.05	USD	6,926.25	
21JUL17	B	1	PD20OCT17	2237.00	2519.05	USD	7,051.25	
21JUL17	S	1	PD20OCT17	2236.00	2519.05	USD	-7,076.25	
21JUL17	S	1	PD20OCT17	2237.50	2519.05	USD	-7,038.75	
21JUL17	S	1	PD20OCT17	2232.00	2519.05	USD	-7,176.25	
21JUL17	S	1	PD20OCT17	2233.50	2519.05	USD	-7,138.75	
21JUL17	S	5	PD20OCT17	2239.00	2519.05	USD	-35,006.25	
21JUL17	S	1	PD20OCT17	2240.50	2519.05	USD	-6,963.75	

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	<u>LME LEAD 25 TONNES. THIS IS AN</u>							
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21JUL17	S	1	PD200CT17	2239.50	2519.05	USD	-6,988.75	
21JUL17	S	4	PD200CT17	2241.00	2519.05	USD	-27,805.00	
26JUL17	B	3	PD200CT17	2312.56	2519.05	USD	15,486.75	
27JUL17	B	10	PD200CT17	2308.11	2519.05	USD	52,735.00	
08AUG17	B	4	PD200CT17	2378.95	2519.05	USD	14,010.00	
10AUG17	B	2	PD200CT17	2360.70	2519.05	USD	7,917.50	
	NET	0 AVG BGHT 2310.48	SOLD 2232.23				-46,949.50	0.00
24JUL17	B	1	PD240CT17	2257.50	2519.65	USD	6,553.75	
24JUL17	B	1	PD240CT17	2258.00	2519.65	USD	6,541.25	
24JUL17	S	1	PD240CT17	2239.50	2519.65	USD	-7,003.75	
24JUL17	B	1	PD240CT17	2256.50	2519.65	USD	6,578.75	
24JUL17	B	1	PD240CT17	2256.00	2519.65	USD	6,591.25	
24JUL17	B	2	PD240CT17	2244.50	2519.65	USD	13,757.50	
24JUL17	S	1	PD240CT17	2255.50	2519.65	USD	-6,603.75	
28JUL17	S	1	PD240CT17	2319.63	2519.65	USD	-5,000.50	
08AUG17	S	3	PD240CT17	2380.35	2519.65	USD	-10,447.50	
	NET	0 AVG BGHT 2252.83	SOLD 2325.95				10,967.00	0.00
25JUL17	B	1	PD250CT17	2265.50	2519.80	USD	6,357.50	
25JUL17	B	1	PD250CT17	2280.00	2519.80	USD	5,995.00	
25JUL17	B	1	PD250CT17	2272.00	2519.80	USD	6,195.00	
25JUL17	B	1	PD250CT17	2305.00	2519.80	USD	5,370.00	
25JUL17	S	1	PD250CT17	2312.50	2519.80	USD	-5,182.50	
25JUL17	S	1	PD250CT17	2311.50	2519.80	USD	-5,207.50	
25JUL17	S	1	PD250CT17	2313.00	2519.80	USD	-5,170.00	
25JUL17	S	1	PD250CT17	2312.00	2519.80	USD	-5,195.00	
25JUL17	S	1	PD250CT17	2322.50	2519.80	USD	-4,932.50	
28JUL17	S	1	PD250CT17	2319.75	2519.80	USD	-5,001.25	
08AUG17	B	2	PD250CT17	2380.95	2519.80	USD	6,942.50	
	NET	0 AVG BGHT 2314.07	SOLD 2315.21				171.25	0.00
26JUL17	S	2	PD260CT17	2321.00	2519.95	USD	-9,947.50	
26JUL17	S	1	PD260CT17	2317.50	2519.95	USD	-5,061.25	
26JUL17	S	1	PD260CT17	2336.50	2519.95	USD	-4,586.25	
26JUL17	S	1	PD260CT17	2337.50	2519.95	USD	-4,561.25	
26JUL17	S	1	PD260CT17	2325.50	2519.95	USD	-4,861.25	
26JUL17	S	1	PD260CT17	2310.00	2519.95	USD	-5,248.75	
26JUL17	S	1	PD260CT17	2312.00	2519.95	USD	-5,198.75	
26JUL17	S	1	PD260CT17	2310.50	2519.95	USD	-5,236.25	
26JUL17	S	1	PD260CT17	2313.00	2519.95	USD	-5,173.75	
26JUL17	S	2	PD260CT17	2317.00	2519.95	USD	-10,147.50	
26JUL17	S	1	PD260CT17	2316.50	2519.95	USD	-5,086.25	
26JUL17	B	1	PD260CT17	2312.00	2519.95	USD	5,198.75	
26JUL17	S	1	PD260CT17	2314.00	2519.95	USD	-5,148.75	
26JUL17	S	2	PD260CT17	2316.00	2519.95	USD	-10,197.50	
26JUL17	B	1	PD260CT17	2315.00	2519.95	USD	5,123.75	
26JUL17	B	1	PD260CT17	2313.00	2519.95	USD	5,173.75	
26JUL17	S	1	PD260CT17	2312.50	2519.95	USD	-5,186.25	
26JUL17	S	2	PD260CT17	2310.50	2519.95	USD	-10,472.50	
26JUL17	B	2	PD260CT17	2317.50	2519.95	USD	10,122.50	
26JUL17	S	2	PD260CT17	2316.00	2519.95	USD	-10,197.50	
26JUL17	B	1	PD260CT17	2320.50	2519.95	USD	4,986.25	
26JUL17	S	1	PD260CT17	2318.50	2519.95	USD	-5,036.25	
26JUL17	B	1	PD260CT17	2316.50	2519.95	USD	5,086.25	
26JUL17	S	1	PD260CT17	2314.50	2519.95	USD	-5,136.25	
26JUL17	S	1	PD260CT17	2311.50	2519.95	USD	-5,211.25	
26JUL17	B	1	PD260CT17	2316.00	2519.95	USD	5,098.75	
26JUL17	S	1	PD260CT17	2330.50	2519.95	USD	-4,736.25	

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26JUL17	S	2	PD26OCT17	2335.50	2519.95	USD	-9,222.50	
26JUL17	S	1	PD26OCT17	2323.50	2519.95	USD	-4,911.25	
26JUL17	S	1	PD26OCT17	2322.00	2519.95	USD	-4,948.75	
26JUL17	S	1	PD26OCT17	2323.00	2519.95	USD	-4,923.75	
26JUL17	S	1	PD26OCT17	2324.00	2519.95	USD	-4,898.75	
26JUL17	S	1	PD26OCT17	2322.50	2519.95	USD	-4,936.25	
26JUL17	B	3	PD26OCT17	2317.50	2519.95	USD	15,183.75	
26JUL17	B	1	PD26OCT17	2312.50	2519.95	USD	5,186.25	
26JUL17	S	1	PD26OCT17	2311.00	2519.95	USD	-5,223.75	
26JUL17	S	3	PD26OCT17	2311.50	2519.95	USD	-15,633.75	
26JUL17	S	1	PD26OCT17	2323.00	2519.95	USD	-4,923.75	
26JUL17	B	4	PD26OCT17	2313.00	2519.95	USD	20,695.00	
26JUL17	S	6	PD26OCT17	2311.00	2519.95	USD	-31,342.50	
28JUL17	B	4	PD26OCT17	2320.08	2519.95	USD	19,987.00	
03AUG17	B	19	PD26OCT17	2366.09	2519.95	USD	73,083.50	
08AUG17	B	3	PD26OCT17	2381.12	2519.95	USD	10,412.25	
10AUG17	B	1	PD26OCT17	2362.92	2519.95	USD	3,925.75	
	NET	0 AVG BGHT 2343.89	SOLD 2317.72				-28,132.75	0.00
27JUL17	B	1	PD27OCT17	2306.00	2520.10	USD	5,352.50	
28JUL17	B	1	PD27OCT17	2296.00	2520.10	USD	5,602.50	
28JUL17	S	1	PD27OCT17	2292.50	2520.10	USD	-5,690.00	
28JUL17	S	1	PD27OCT17	2302.00	2520.10	USD	-5,452.50	
	NET	0 AVG BGHT 2301.00	SOLD 2297.25				-187.50	0.00
31JUL17	B	1	PD31OCT17	2344.50	2520.70	USD	4,405.00	
31JUL17	S	1	PD31OCT17	2341.00	2520.70	USD	-4,492.50	
31JUL17	B	1	PD31OCT17	2343.50	2520.70	USD	4,430.00	
31JUL17	S	1	PD31OCT17	2339.50	2520.70	USD	-4,530.00	
	NET	0 AVG BGHT 2344.00	SOLD 2340.25				-187.50	0.00
01AUG17	S	1	PD01NOV17	2311.50	2520.85	USD	-5,233.75	
01AUG17	S	1	PD01NOV17	2322.50	2520.85	USD	-4,958.75	
01AUG17	B	1	PD01NOV17	2320.00	2520.85	USD	5,021.25	
01AUG17	S	1	PD01NOV17	2330.00	2520.85	USD	-4,771.25	
01AUG17	S	1	PD01NOV17	2337.00	2520.85	USD	-4,596.25	
01AUG17	S	2	PD01NOV17	2335.50	2520.85	USD	-9,267.50	
01AUG17	B	2	PD01NOV17	2335.50	2520.85	USD	9,267.50	
01AUG17	B	1	PD01NOV17	2338.00	2520.85	USD	4,571.25	
01AUG17	B	2	PD01NOV17	2337.00	2520.85	USD	9,192.50	
01AUG17	S	1	PD01NOV17	2336.00	2520.85	USD	-4,621.25	
10AUG17	B	1	PD01NOV17	2364.04	2520.85	USD	3,920.25	
	NET	0 AVG BGHT 2338.15	SOLD 2329.71				-1,476.00	0.00
02AUG17	B	1	PD02NOV17	2328.50	2521.00	USD	4,812.50	
02AUG17	S	1	PD02NOV17	2363.00	2521.00	USD	-3,950.00	
02AUG17	B	1	PD02NOV17	2355.50	2521.00	USD	4,137.50	
02AUG17	B	1	PD02NOV17	2356.00	2521.00	USD	4,125.00	
09AUG17	S	1	PD02NOV17	2348.94	2521.00	USD	-4,301.50	
10AUG17	S	1	PD02NOV17	2364.06	2521.00	USD	-3,923.50	
	NET	0 AVG BGHT 2346.67	SOLD 2358.67				900.00	0.00
03AUG17	S	1	PD03NOV17	2375.00	2521.13	USD	-3,653.25	
03AUG17	B	1	PD03NOV17	2374.00	2521.13	USD	3,678.25	
03AUG17	B	1	PD03NOV17	2363.50	2521.13	USD	3,940.75	
09AUG17	S	1	PD03NOV17	2349.15	2521.13	USD	-4,299.50	
	NET	0 AVG BGHT 2368.75	SOLD 2362.08				-333.75	0.00
07AUG17	S	2	PD07NOV17	2360.00	2521.67	USD	-8,083.50	
07AUG17	S	2	PD07NOV17	2358.50	2521.67	USD	-8,158.50	
07AUG17	S	2	PD07NOV17	2359.00	2521.67	USD	-8,133.50	

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07AUG17	S	4	PD07NOV17	2358.00	2521.67	USD	-16,367.00	
07AUG17	S	4	PD07NOV17	2356.50	2521.67	USD	-16,517.00	
07AUG17	S	1	PD07NOV17	2357.00	2521.67	USD	-4,116.75	
07AUG17	B	1	PD07NOV17	2387.50	2521.67	USD	3,354.25	
07AUG17	B	1	PD07NOV17	2384.50	2521.67	USD	3,429.25	
07AUG17	B	1	PD07NOV17	2382.00	2521.67	USD	3,491.75	
07AUG17	B	1	PD07NOV17	2383.00	2521.67	USD	3,466.75	
07AUG17	B	1	PD07NOV17	2381.50	2521.67	USD	3,504.25	
07AUG17	B	1	PD07NOV17	2367.00	2521.67	USD	3,866.75	
07AUG17	B	1	PD07NOV17	2363.50	2521.67	USD	3,954.25	
09AUG17	S	1	PD07NOV17	2350.00	2521.67	USD	-4,291.75	
22AUG17	B	12	PD07NOV17	2412.95	2521.67	USD	32,616.00	
22AUG17	B	3	PD07NOV17	2412.75	2521.67	USD	8,169.00	
23AUG17	S	1	PD07NOV17	2373.25	2521.67	USD	-3,710.50	
06SEP17	S	1	PD07NOV17	2331.52	2521.67	USD	-4,753.75	
11SEP17	S	2	PD07NOV17	2265.54	2521.67	USD	-12,806.50	
12SEP17	S	2	PD07NOV17	2299.88	2521.67	USD	-11,089.50	
	NET	0 AVG BGHT 2401.94	SOLD 2343.44				-32,176.00	0.00
08AUG17	S	1	PD08NOV17	2377.00	2521.81	USD	-3,620.25	
08AUG17	B	5	PD08NOV17	2370.00	2521.81	USD	18,976.25	
08AUG17	S	1	PD08NOV17	2377.00	2521.81	USD	-3,620.25	
08AUG17	B	1	PD08NOV17	2355.00	2521.81	USD	4,170.25	
08AUG17	B	1	PD08NOV17	2354.50	2521.81	USD	4,182.75	
08AUG17	B	1	PD08NOV17	2349.50	2521.81	USD	4,307.75	
08AUG17	S	1	PD08NOV17	2381.50	2521.81	USD	-3,507.75	
08AUG17	S	1	PD08NOV17	2385.00	2521.81	USD	-3,420.25	
08AUG17	B	2	PD08NOV17	2380.00	2521.81	USD	7,090.50	
08AUG17	B	1	PD08NOV17	2385.00	2521.81	USD	3,420.25	
08AUG17	S	1	PD08NOV17	2355.00	2521.81	USD	-4,170.25	
08AUG17	S	3	PD08NOV17	2388.50	2521.81	USD	-9,998.25	
08AUG17	S	3	PD08NOV17	2388.00	2521.81	USD	-10,035.75	
08AUG17	B	1	PD08NOV17	2394.50	2521.81	USD	3,182.75	
08AUG17	S	1	PD08NOV17	2392.00	2521.81	USD	-3,245.25	
09AUG17	B	3	PD08NOV17	2350.20	2521.81	USD	12,870.75	
22AUG17	S	5	PD08NOV17	2413.00	2521.81	USD	-13,601.25	
06SEP17	B	3	PD08NOV17	2331.92	2521.81	USD	14,241.75	
11SEP17	S	1	PD08NOV17	2265.97	2521.81	USD	-6,396.00	
	NET	0 AVG BGHT 2360.83	SOLD 2384.89				10,827.75	0.00
09AUG17	B	1	PD09NOV17	2410.00	2521.94	USD	2,798.50	
09AUG17	B	1	PD09NOV17	2416.50	2521.94	USD	2,636.00	
09AUG17	B	1	PD09NOV17	2400.00	2521.94	USD	3,048.50	
09AUG17	B	1	PD09NOV17	2386.00	2521.94	USD	3,398.50	
09AUG17	B	1	PD09NOV17	2387.50	2521.94	USD	3,361.00	
09AUG17	S	5	PD09NOV17	2377.50	2521.94	USD	-18,055.00	
09AUG17	S	2	PD09NOV17	2378.00	2521.94	USD	-7,197.00	
09AUG17	B	1	PD09NOV17	2382.00	2521.94	USD	3,498.50	
09AUG17	B	1	PD09NOV17	2383.00	2521.94	USD	3,473.50	
09AUG17	B	1	PD09NOV17	2381.00	2521.94	USD	3,523.50	
09AUG17	S	1	PD09NOV17	2384.50	2521.94	USD	-3,436.00	
09AUG17	B	1	PD09NOV17	2394.00	2521.94	USD	3,198.50	
09AUG17	B	1	PD09NOV17	2352.00	2521.94	USD	4,248.50	
09AUG17	B	1	PD09NOV17	2351.00	2521.94	USD	4,273.50	
09AUG17	S	1	PD09NOV17	2355.00	2521.94	USD	-4,173.50	
09AUG17	B	1	PD09NOV17	2367.00	2521.94	USD	3,873.50	
09AUG17	B	1	PD09NOV17	2365.00	2521.94	USD	3,923.50	
15AUG17	S	1	PD09NOV17	2379.96	2521.94	USD	-3,549.50	
22AUG17	B	5	PD09NOV17	2413.45	2521.94	USD	13,561.25	

OPEN POSITION STATEMENT



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SAP0094X

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
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+44 (0) 20 7340 9081
backoffice@sapiencap.com

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	<u>LME LEAD 25 TONNES. THIS IS AN</u>							
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22AUG17	B	2	PD09NOV17	2413.25	2521.94	USD	5,434.50	
23AUG17	S	4	PD09NOV17	2373.75	2521.94	USD	-14,819.00	
06SEP17	S	3	PD09NOV17	2332.13	2521.94	USD	-14,235.75	
11SEP17	S	1	PD09NOV17	2266.40	2521.94	USD	-6,388.50	
12SEP17	S	2	PD09NOV17	2300.79	2521.94	USD	-11,057.50	
	NET	0 AVG BGHT 2393.44	SOLD 2356.12				-18,660.50	0.00
10AUG17	S	1	PD10NOV17	2377.00	2522.08	USD	-3,627.00	
10AUG17	S	3	PD10NOV17	2378.50	2522.08	USD	-10,768.50	
10AUG17	S	1	PD10NOV17	2374.00	2522.08	USD	-3,702.00	
10AUG17	S	1	PD10NOV17	2359.50	2522.08	USD	-4,064.50	
10AUG17	B	1	PD10NOV17	2359.00	2522.08	USD	4,077.00	
10AUG17	B	1	PD10NOV17	2355.00	2522.08	USD	4,177.00	
10AUG17	B	1	PD10NOV17	2383.00	2522.08	USD	3,477.00	
10AUG17	B	1	PD10NOV17	2367.00	2522.08	USD	3,877.00	
10AUG17	S	1	PD10NOV17	2364.50	2522.08	USD	-3,939.50	
11AUG17	S	1	PD10NOV17	2364.00	2522.08	USD	-3,952.00	
11AUG17	B	1	PD10NOV17	2355.00	2522.08	USD	4,177.00	
11AUG17	B	1	PD10NOV17	2358.00	2522.08	USD	4,102.00	
11AUG17	S	1	PD10NOV17	2355.00	2522.08	USD	-4,177.00	
11AUG17	B	1	PD10NOV17	2337.00	2522.08	USD	4,627.00	
11AUG17	S	1	PD10NOV17	2339.50	2522.08	USD	-4,564.50	
11AUG17	B	1	PD10NOV17	2340.50	2522.08	USD	4,539.50	
11AUG17	B	1	PD10NOV17	2347.00	2522.08	USD	4,377.00	
11AUG17	B	1	PD10NOV17	2348.50	2522.08	USD	4,339.50	
11AUG17	B	1	PD10NOV17	2350.00	2522.08	USD	4,302.00	
11AUG17	S	1	PD10NOV17	2338.50	2522.08	USD	-4,589.50	
11AUG17	S	1	PD10NOV17	2340.00	2522.08	USD	-4,552.00	
11AUG17	S	1	PD10NOV17	2340.50	2522.08	USD	-4,539.50	
11AUG17	S	1	PD10NOV17	2350.50	2522.08	USD	-4,289.50	
11AUG17	S	1	PD10NOV17	2352.50	2522.08	USD	-4,239.50	
11AUG17	B	1	PD10NOV17	2339.50	2522.08	USD	4,564.50	
11AUG17	B	2	PD10NOV17	2334.00	2522.08	USD	9,404.00	
11AUG17	B	1	PD10NOV17	2331.50	2522.08	USD	4,764.50	
11AUG17	B	1	PD10NOV17	2327.50	2522.08	USD	4,864.50	
11AUG17	B	1	PD10NOV17	2330.50	2522.08	USD	4,789.50	
11AUG17	B	1	PD10NOV17	2329.50	2522.08	USD	4,814.50	
15AUG17	S	2	PD10NOV17	2380.13	2522.08	USD	-7,097.50	
06SEP17	S	1	PD10NOV17	2332.73	2522.08	USD	-4,733.75	
	NET	0 AVG BGHT 2345.92	SOLD 2360.22				6,437.25	0.00
14AUG17	S	1	PD14NOV17	2336.00	2522.62	USD	-4,665.50	
14AUG17	S	1	PD14NOV17	2333.00	2522.62	USD	-4,740.50	
14AUG17	S	1	PD14NOV17	2346.00	2522.62	USD	-4,415.50	
14AUG17	S	1	PD14NOV17	2329.00	2522.62	USD	-4,840.50	
14AUG17	B	1	PD14NOV17	2339.00	2522.62	USD	4,590.50	
15AUG17	B	3	PD14NOV17	2381.03	2522.62	USD	10,619.25	
	NET	0 AVG BGHT 2370.52	SOLD 2336.00				-3,452.25	0.00
15AUG17	B	1	PD15NOV17	2369.50	2522.75	USD	3,831.25	
15AUG17	B	1	PD15NOV17	2370.00	2522.75	USD	3,818.75	
15AUG17	S	1	PD15NOV17	2366.00	2522.75	USD	-3,918.75	
15AUG17	B	1	PD15NOV17	2362.50	2522.75	USD	4,006.25	
15AUG17	B	1	PD15NOV17	2354.00	2522.75	USD	4,218.75	
15AUG17	S	1	PD15NOV17	2369.50	2522.75	USD	-3,831.25	
15AUG17	S	1	PD15NOV17	2386.00	2522.75	USD	-3,418.75	
15AUG17	B	1	PD15NOV17	2379.50	2522.75	USD	3,581.25	
15AUG17	B	1	PD15NOV17	2378.50	2522.75	USD	3,606.25	
15AUG17	S	1	PD15NOV17	2370.00	2522.75	USD	-3,818.75	

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	<u>LME LEAD 25 TONNES. THIS IS AN</u>							
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15AUG17	S	1	PD15NOV17	2368.00	2522.75	USD	-3,868.75	
15AUG17	S	1	PD15NOV17	2367.50	2522.75	USD	-3,881.25	
15AUG17	B	1	PD15NOV17	2367.50	2522.75	USD	3,881.25	
15AUG17	B	1	PD15NOV17	2366.00	2522.75	USD	3,918.75	
15AUG17	B	1	PD15NOV17	2364.00	2522.75	USD	3,968.75	
15AUG17	B	1	PD15NOV17	2372.00	2522.75	USD	3,768.75	
15AUG17	S	1	PD15NOV17	2378.00	2522.75	USD	-3,618.75	
15AUG17	S	1	PD15NOV17	2382.50	2522.75	USD	-3,506.25	
23AUG17	S	2	PD15NOV17	2375.25	2522.75	USD	-7,375.00	
	NET	0 AVG BGHT 2368.35	SOLD 2373.80				1,362.50	0.00
16AUG17	B	2	PD16NOV17	2392.00	2522.75	USD	6,537.50	
16AUG17	B	1	PD16NOV17	2419.50	2522.75	USD	2,581.25	
16AUG17	B	1	PD16NOV17	2415.00	2522.75	USD	2,693.75	
16AUG17	B	1	PD16NOV17	2451.00	2522.75	USD	1,793.75	
16AUG17	S	1	PD16NOV17	2477.50	2522.75	USD	-1,131.25	
16AUG17	S	1	PD16NOV17	2479.00	2522.75	USD	-1,093.75	
16AUG17	S	1	PD16NOV17	2479.50	2522.75	USD	-1,081.25	
16AUG17	S	1	PD16NOV17	2482.50	2522.75	USD	-1,006.25	
16AUG17	S	1	PD16NOV17	2488.00	2522.75	USD	-868.75	
16AUG17	B	1	PD16NOV17	2477.50	2522.75	USD	1,131.25	
16AUG17	B	1	PD16NOV17	2476.50	2522.75	USD	1,156.25	
16AUG17	B	1	PD16NOV17	2465.00	2522.75	USD	1,443.75	
16AUG17	B	1	PD16NOV17	2488.00	2522.75	USD	868.75	
16AUG17	B	1	PD16NOV17	2488.50	2522.75	USD	856.25	
16AUG17	B	1	PD16NOV17	2492.50	2522.75	USD	756.25	
16AUG17	S	1	PD16NOV17	2500.00	2522.75	USD	-568.75	
16AUG17	S	1	PD16NOV17	2499.50	2522.75	USD	-581.25	
16AUG17	S	1	PD16NOV17	2499.00	2522.75	USD	-593.75	
16AUG17	S	1	PD16NOV17	2506.50	2522.75	USD	-406.25	
16AUG17	S	1	PD16NOV17	2512.00	2522.75	USD	-268.75	
16AUG17	S	1	PD16NOV17	2515.00	2522.75	USD	-193.75	
16AUG17	S	1	PD16NOV17	2504.00	2522.75	USD	-468.75	
11SEP17	B	1	PD16NOV17	2269.20	2522.75	USD	6,338.75	
	NET	0 AVG BGHT 2435.56	SOLD 2495.21				17,895.00	0.00
17AUG17	B	1	PD17NOV17	2507.50	2522.75	USD	381.25	
17AUG17	S	1	PD17NOV17	2519.00	2522.75	USD	-93.75	
17AUG17	S	1	PD17NOV17	2533.00	2522.75	USD	256.25	
17AUG17	S	1	PD17NOV17	2535.00	2522.75	USD	306.25	
17AUG17	B	1	PD17NOV17	2519.00	2522.75	USD	93.75	
17AUG17	S	1	PD17NOV17	2510.00	2522.75	USD	-318.75	
17AUG17	S	1	PD17NOV17	2495.00	2522.75	USD	-693.75	
17AUG17	B	2	PD17NOV17	2469.00	2522.75	USD	2,687.50	
17AUG17	B	1	PD17NOV17	2468.50	2522.75	USD	1,356.25	
17AUG17	B	1	PD17NOV17	2469.50	2522.75	USD	1,331.25	
17AUG17	B	2	PD17NOV17	2466.00	2522.75	USD	2,837.50	
17AUG17	B	2	PD17NOV17	2466.50	2522.75	USD	2,812.50	
17AUG17	B	1	PD17NOV17	2465.00	2522.75	USD	1,443.75	
17AUG17	B	1	PD17NOV17	2463.00	2522.75	USD	1,493.75	
17AUG17	B	1	PD17NOV17	2464.50	2522.75	USD	1,456.25	
17AUG17	S	3	PD17NOV17	2458.00	2522.75	USD	-4,856.25	
17AUG17	S	1	PD17NOV17	2458.50	2522.75	USD	-1,606.25	
17AUG17	B	1	PD17NOV17	2457.00	2522.75	USD	1,643.75	
17AUG17	S	2	PD17NOV17	2460.00	2522.75	USD	-3,137.50	
17AUG17	B	1	PD17NOV17	2449.00	2522.75	USD	1,843.75	
17AUG17	S	1	PD17NOV17	2458.00	2522.75	USD	-1,618.75	
17AUG17	S	1	PD17NOV17	2408.00	2522.75	USD	-2,868.75	
18AUG17	B	1	PD17NOV17	2390.50	2522.75	USD	3,306.25	

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18AUG17	B	1	PD17NOV17	2390.00	2522.75	USD	3,318.75	
18AUG17	B	1	PD17NOV17	2391.50	2522.75	USD	3,281.25	
18AUG17	S	1	PD17NOV17	2418.00	2522.75	USD	-2,618.75	
18AUG17	S	1	PD17NOV17	2448.00	2522.75	USD	-1,868.75	
18AUG17	S	1	PD17NOV17	2443.00	2522.75	USD	-1,993.75	
18AUG17	B	1	PD17NOV17	2443.50	2522.75	USD	1,981.25	
18AUG17	S	1	PD17NOV17	2405.50	2522.75	USD	-2,931.25	
18AUG17	S	3	PD17NOV17	2406.00	2522.75	USD	-8,756.25	
18AUG17	S	1	PD17NOV17	2404.50	2522.75	USD	-2,956.25	
18AUG17	S	1	PD17NOV17	2413.00	2522.75	USD	-2,743.75	
18AUG17	S	3	PD17NOV17	2400.00	2522.75	USD	-9,206.25	
18AUG17	S	1	PD17NOV17	2399.00	2522.75	USD	-3,093.75	
18AUG17	S	1	PD17NOV17	2390.00	2522.75	USD	-3,318.75	
18AUG17	B	1	PD17NOV17	2383.50	2522.75	USD	3,481.25	
18AUG17	S	1	PD17NOV17	2373.00	2522.75	USD	-3,743.75	
18AUG17	S	1	PD17NOV17	2374.00	2522.75	USD	-3,718.75	
18AUG17	S	2	PD17NOV17	2361.00	2522.75	USD	-8,087.50	
18AUG17	B	1	PD17NOV17	2394.50	2522.75	USD	3,206.25	
18AUG17	S	2	PD17NOV17	2379.50	2522.75	USD	-7,162.50	
18AUG17	B	1	PD17NOV17	2370.00	2522.75	USD	3,818.75	
18AUG17	B	1	PD17NOV17	2362.00	2522.75	USD	4,018.75	
18AUG17	S	1	PD17NOV17	2358.50	2522.75	USD	-4,106.25	
18AUG17	S	1	PD17NOV17	2364.50	2522.75	USD	-3,956.25	
18AUG17	S	2	PD17NOV17	2359.50	2522.75	USD	-8,162.50	
18AUG17	S	1	PD17NOV17	2360.50	2522.75	USD	-4,056.25	
18AUG17	B	1	PD17NOV17	2364.50	2522.75	USD	3,956.25	
23AUG17	B	7	PD17NOV17	2375.45	2522.75	USD	25,777.50	
06SEP17	B	2	PD17NOV17	2334.98	2522.75	USD	9,388.50	
11SEP17	B	3	PD17NOV17	2269.52	2522.75	USD	18,992.25	
12SEP17	B	2	PD17NOV17	2304.01	2522.75	USD	10,937.00	
12SEP17	B	1	PD17NOV17	2303.81	2522.75	USD	5,473.50	
	NET	+1AVG BGHT 2399.35	SOLD 2420.53				23,206.25	0.00
21AUG17	B	1	PD21NOV17	2367.00	2523.36	USD	3,909.00	
21AUG17	B	1	PD21NOV17	2366.00	2523.36	USD	3,934.00	
21AUG17	B	6	PD21NOV17	2390.00	2523.36	USD	20,004.00	
21AUG17	B	6	PD21NOV17	2365.00	2523.36	USD	23,754.00	
21AUG17	B	7	PD21NOV17	2365.50	2523.36	USD	27,625.50	
21AUG17	B	2	PD21NOV17	2396.00	2523.36	USD	6,368.00	
21AUG17	B	2	PD21NOV17	2361.50	2523.36	USD	8,093.00	
21AUG17	B	3	PD21NOV17	2362.50	2523.36	USD	12,064.50	
21AUG17	B	1	PD21NOV17	2389.50	2523.36	USD	3,346.50	
21AUG17	B	1	PD21NOV17	2380.50	2523.36	USD	3,571.50	
21AUG17	B	3	PD21NOV17	2383.00	2523.36	USD	10,527.00	
21AUG17	B	3	PD21NOV17	2381.50	2523.36	USD	10,639.50	
21AUG17	B	2	PD21NOV17	2381.00	2523.36	USD	7,118.00	
21AUG17	B	1	PD21NOV17	2394.00	2523.36	USD	3,234.00	
21AUG17	S	1	PD21NOV17	2381.00	2523.36	USD	-3,559.00	
21AUG17	B	1	PD21NOV17	2381.00	2523.36	USD	3,559.00	
21AUG17	S	1	PD21NOV17	2375.50	2523.36	USD	-3,696.50	
21AUG17	B	1	PD21NOV17	2375.00	2523.36	USD	3,709.00	
21AUG17	B	1	PD21NOV17	2372.50	2523.36	USD	3,771.50	
21AUG17	B	1	PD21NOV17	2345.50	2523.36	USD	4,446.50	
22AUG17	S	5	PD21NOV17	2415.55	2523.36	USD	-13,476.25	
12SEP17	S	1	PD21NOV17	2304.84	2523.36	USD	-5,463.00	
14SEP17	S	35	PD21NOV17	2300.46	2523.36	USD	-195,037.50	
	NET	0AVG BGHT 2374.83	SOLD 2317.56				-61,557.75	0.00
22AUG17	S	1	PD22NOV17	2364.00	2523.51	USD	-3,987.75	

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backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
22AUG17	S	1	PD22NOV17	2336.50	2523.51	USD	-4,675.25	
22AUG17	B	1	PD22NOV17	2325.00	2523.51	USD	4,962.75	
22AUG17	B	1	PD22NOV17	2324.00	2523.51	USD	4,987.75	
22AUG17	S	1	PD22NOV17	2355.50	2523.51	USD	-4,200.25	
22AUG17	S	1	PD22NOV17	2352.00	2523.51	USD	-4,287.75	
22AUG17	S	1	PD22NOV17	2372.00	2523.51	USD	-3,787.75	
22AUG17	S	1	PD22NOV17	2400.50	2523.51	USD	-3,075.25	
22AUG17	S	1	PD22NOV17	2397.00	2523.51	USD	-3,162.75	
22AUG17	S	1	PD22NOV17	2394.00	2523.51	USD	-3,237.75	
22AUG17	S	1	PD22NOV17	2417.00	2523.51	USD	-2,662.75	
22AUG17	S	1	PD22NOV17	2419.00	2523.51	USD	-2,612.75	
22AUG17	S	1	PD22NOV17	2415.50	2523.51	USD	-2,700.25	
22AUG17	S	1	PD22NOV17	2415.00	2523.51	USD	-2,712.75	
22AUG17	B	1	PD22NOV17	2394.00	2523.51	USD	3,237.75	
22AUG17	B	1	PD22NOV17	2416.00	2523.51	USD	2,687.75	
22AUG17	B	1	PD22NOV17	2410.50	2523.51	USD	2,825.25	
22AUG17	B	1	PD22NOV17	2407.50	2523.51	USD	2,900.25	
22AUG17	B	1	PD22NOV17	2408.00	2523.51	USD	2,887.75	
22AUG17	S	1	PD22NOV17	2415.50	2523.51	USD	-2,700.25	
12SEP17	B	2	PD22NOV17	2305.55	2523.51	USD	10,898.00	
12SEP17	B	3	PD22NOV17	2305.35	2523.51	USD	16,362.00	
	NET	-1 AVG BGHT 2351.01	SOLD 2388.73				7,946.00	0.00
23AUG17	B	1	PD24NOV17	2406.50	2523.81	USD	2,932.75	
23AUG17	B	1	PD24NOV17	2396.50	2523.81	USD	3,182.75	
23AUG17	B	1	PD24NOV17	2401.00	2523.81	USD	3,070.25	
23AUG17	B	1	PD24NOV17	2391.50	2523.81	USD	3,307.75	
23AUG17	B	3	PD24NOV17	2392.50	2523.81	USD	9,848.25	
23AUG17	B	8	PD24NOV17	2392.00	2523.81	USD	26,362.00	
23AUG17	B	8	PD24NOV17	2391.00	2523.81	USD	26,562.00	
23AUG17	B	6	PD24NOV17	2390.50	2523.81	USD	19,996.50	
23AUG17	B	8	PD24NOV17	2390.00	2523.81	USD	26,762.00	
23AUG17	B	2	PD24NOV17	2389.00	2523.81	USD	6,740.50	
23AUG17	B	4	PD24NOV17	2393.50	2523.81	USD	13,031.00	
23AUG17	B	1	PD24NOV17	2378.00	2523.81	USD	3,645.25	
23AUG17	B	1	PD24NOV17	2379.00	2523.81	USD	3,620.25	
23AUG17	S	1	PD24NOV17	2384.50	2523.81	USD	-3,482.75	
23AUG17	S	1	PD24NOV17	2388.00	2523.81	USD	-3,395.25	
24AUG17	S	8	PD24NOV17	2399.00	2523.81	USD	-24,962.00	
24AUG17	S	2	PD24NOV17	2396.50	2523.81	USD	-6,365.50	
24AUG17	B	1	PD24NOV17	2380.50	2523.81	USD	3,582.75	
24AUG17	B	1	PD24NOV17	2377.00	2523.81	USD	3,670.25	
24AUG17	S	1	PD24NOV17	2392.00	2523.81	USD	-3,295.25	
24AUG17	B	1	PD24NOV17	2353.00	2523.81	USD	4,270.25	
24AUG17	B	1	PD24NOV17	2350.50	2523.81	USD	4,332.75	
24AUG17	S	1	PD24NOV17	2352.50	2523.81	USD	-4,282.75	
24AUG17	B	1	PD24NOV17	2373.50	2523.81	USD	3,757.75	
24AUG17	B	2	PD24NOV17	2370.00	2523.81	USD	7,690.50	
24AUG17	B	3	PD24NOV17	2369.50	2523.81	USD	11,573.25	
24AUG17	B	2	PD24NOV17	2368.00	2523.81	USD	7,790.50	
24AUG17	B	1	PD24NOV17	2358.00	2523.81	USD	4,145.25	
24AUG17	S	1	PD24NOV17	2355.00	2523.81	USD	-4,220.25	
24AUG17	B	1	PD24NOV17	2356.00	2523.81	USD	4,195.25	
24AUG17	S	1	PD24NOV17	2357.00	2523.81	USD	-4,170.25	
24AUG17	B	2	PD24NOV17	2371.50	2523.81	USD	7,615.50	
24AUG17	S	1	PD24NOV17	2349.00	2523.81	USD	-4,370.25	
25AUG17	B	1	PD24NOV17	2369.00	2523.81	USD	3,870.25	
25AUG17	B	1	PD24NOV17	2368.50	2523.81	USD	3,882.75	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0094X

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME LEAD 25 TONNES. THIS IS AN</u>							
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25AUG17	S	1	PD24NOV17	2361.00	2523.81	USD	-4,070.25	
25AUG17	S	1	PD24NOV17	2355.50	2523.81	USD	-4,207.75	
25AUG17	S	1	PD24NOV17	2349.00	2523.81	USD	-4,370.25	
25AUG17	S	1	PD24NOV17	2342.00	2523.81	USD	-4,545.25	
25AUG17	S	2	PD24NOV17	2344.00	2523.81	USD	-8,990.50	
25AUG17	S	1	PD24NOV17	2342.50	2523.81	USD	-4,532.75	
25AUG17	S	2	PD24NOV17	2341.00	2523.81	USD	-9,140.50	
25AUG17	S	4	PD24NOV17	2341.50	2523.81	USD	-18,231.00	
25AUG17	S	1	PD24NOV17	2342.00	2523.81	USD	-4,545.25	
25AUG17	S	1	PD24NOV17	2321.00	2523.81	USD	-5,070.25	
25AUG17	S	1	PD24NOV17	2324.00	2523.81	USD	-4,995.25	
25AUG17	S	2	PD24NOV17	2324.50	2523.81	USD	-9,965.50	
25AUG17	S	1	PD24NOV17	2328.00	2523.81	USD	-4,895.25	
25AUG17	B	1	PD24NOV17	2327.00	2523.81	USD	4,920.25	
25AUG17	B	1	PD24NOV17	2330.00	2523.81	USD	4,845.25	
25AUG17	B	2	PD24NOV17	2326.00	2523.81	USD	9,890.50	
25AUG17	S	1	PD24NOV17	2326.50	2523.81	USD	-4,932.75	
25AUG17	S	3	PD24NOV17	2327.00	2523.81	USD	-14,760.75	
25AUG17	S	4	PD24NOV17	2325.00	2523.81	USD	-19,881.00	
30AUG17	S	1	PD24NOV17	2368.39	2523.81	USD	-3,885.50	
12SEP17	S	2	PD24NOV17	2305.76	2523.81	USD	-10,902.50	
14SEP17	S	20	PD24NOV17	2301.31	2523.81	USD	-111,250.00	
	NET	0AVG BGHT 2381.07	SOLD 2337.71				-72,622.25	0.00
29AUG17	B	1	PD29NOV17	2344.00	2524.57	USD	4,514.25	
29AUG17	B	2	PD29NOV17	2343.00	2524.57	USD	9,078.50	
29AUG17	B	1	PD29NOV17	2346.00	2524.57	USD	4,464.25	
29AUG17	S	1	PD29NOV17	2341.00	2524.57	USD	-4,589.25	
29AUG17	B	1	PD29NOV17	2341.00	2524.57	USD	4,589.25	
29AUG17	S	1	PD29NOV17	2340.50	2524.57	USD	-4,601.75	
29AUG17	B	1	PD29NOV17	2347.50	2524.57	USD	4,426.75	
29AUG17	S	1	PD29NOV17	2353.00	2524.57	USD	-4,289.25	
29AUG17	S	1	PD29NOV17	2350.00	2524.57	USD	-4,364.25	
29AUG17	S	1	PD29NOV17	2389.00	2524.57	USD	-3,389.25	
29AUG17	S	1	PD29NOV17	2344.50	2524.57	USD	-4,501.75	
29AUG17	S	4	PD29NOV17	2395.50	2524.57	USD	-12,907.00	
29AUG17	S	4	PD29NOV17	2395.00	2524.57	USD	-12,957.00	
29AUG17	S	2	PD29NOV17	2393.50	2524.57	USD	-6,553.50	
29AUG17	S	1	PD29NOV17	2394.50	2524.57	USD	-3,251.75	
30AUG17	B	1	PD29NOV17	2369.93	2524.57	USD	3,866.00	
05SEP17	B	10	PD29NOV17	2332.38	2524.57	USD	48,047.50	
	NET	0AVG BGHT 2338.72	SOLD 2380.09				17,581.75	0.00
30AUG17	B	2	PD30NOV17	2392.00	2524.72	USD	6,636.00	
30AUG17	B	1	PD30NOV17	2393.50	2524.72	USD	3,280.50	
30AUG17	B	2	PD30NOV17	2394.00	2524.72	USD	6,536.00	
30AUG17	B	4	PD30NOV17	2390.00	2524.72	USD	13,472.00	
30AUG17	B	2	PD30NOV17	2391.50	2524.72	USD	6,661.00	
30AUG17	B	3	PD30NOV17	2393.00	2524.72	USD	9,879.00	
30AUG17	B	1	PD30NOV17	2398.50	2524.72	USD	3,155.50	
30AUG17	B	1	PD30NOV17	2396.00	2524.72	USD	3,218.00	
30AUG17	B	1	PD30NOV17	2395.00	2524.72	USD	3,243.00	
30AUG17	B	1	PD30NOV17	2375.00	2524.72	USD	3,743.00	
30AUG17	S	1	PD30NOV17	2370.50	2524.72	USD	-3,855.50	
30AUG17	S	1	PD30NOV17	2365.00	2524.72	USD	-3,993.00	
30AUG17	S	1	PD30NOV17	2383.00	2524.72	USD	-3,543.00	
30AUG17	S	1	PD30NOV17	2392.50	2524.72	USD	-3,305.50	
30AUG17	B	1	PD30NOV17	2381.50	2524.72	USD	3,580.50	
30AUG17	B	1	PD30NOV17	2379.00	2524.72	USD	3,643.00	

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	<u>LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
30AUG17	B	1	PD30NOV17	2377.00	2524.72	USD	3,693.00	
30AUG17	S	1	PD30NOV17	2378.00	2524.72	USD	-3,668.00	
30AUG17	B	1	PD30NOV17	2378.50	2524.72	USD	3,655.50	
31AUG17	S	1	PD30NOV17	2385.50	2524.72	USD	-3,480.50	
31AUG17	S	1	PD30NOV17	2384.00	2524.72	USD	-3,518.00	
31AUG17	B	1	PD30NOV17	2389.00	2524.72	USD	3,393.00	
31AUG17	S	1	PD30NOV17	2377.50	2524.72	USD	-3,680.50	
31AUG17	S	1	PD30NOV17	2374.50	2524.72	USD	-3,755.50	
31AUG17	S	1	PD30NOV17	2385.00	2524.72	USD	-3,493.00	
31AUG17	B	1	PD30NOV17	2386.50	2524.72	USD	3,455.50	
31AUG17	B	1	PD30NOV17	2386.00	2524.72	USD	3,468.00	
31AUG17	B	1	PD30NOV17	2396.00	2524.72	USD	3,218.00	
31AUG17	B	1	PD30NOV17	2382.50	2524.72	USD	3,555.50	
31AUG17	S	2	PD30NOV17	2386.00	2524.72	USD	-6,936.00	
06SEP17	S	2	PD30NOV17	2338.35	2524.72	USD	-9,318.50	
11SEP17	S	1	PD30NOV17	2273.48	2524.72	USD	-6,281.00	
12SEP17	S	1	PD30NOV17	2307.61	2524.72	USD	-5,427.75	
12SEP17	S	1	PD30NOV17	2307.81	2524.72	USD	-5,422.75	
14SEP17	S	4	PD30NOV17	2303.02	2524.72	USD	-22,170.00	
21SEP17	S	2	PD30NOV17	2517.71	2524.72	USD	-350.50	
25SEP17	S	2	PD30NOV17	2474.14	2524.72	USD	-2,529.00	
29SEP17	S	2	PD30NOV17	2490.58	2524.72	USD	-1,707.00	
	NET	0 AVG BGHT 2389.19	SOLD 2381.85				-4,949.00	0.00
01SEP17	B	1	PD01DEC17	2406.00	2524.87	USD	2,971.75	
01SEP17	S	1	PD01DEC17	2393.00	2524.87	USD	-3,296.75	
01SEP17	B	1	PD01DEC17	2406.50	2524.87	USD	2,959.25	
01SEP17	B	1	PD01DEC17	2408.50	2524.87	USD	2,909.25	
01SEP17	B	1	PD01DEC17	2404.00	2524.87	USD	3,021.75	
01SEP17	B	1	PD01DEC17	2407.00	2524.87	USD	2,946.75	
01SEP17	B	1	PD01DEC17	2394.00	2524.87	USD	3,271.75	
01SEP17	B	1	PD01DEC17	2391.50	2524.87	USD	3,334.25	
01SEP17	S	1	PD01DEC17	2399.00	2524.87	USD	-3,146.75	
11SEP17	S	1	PD01DEC17	2273.80	2524.87	USD	-6,276.75	
12SEP17	S	2	PD01DEC17	2308.12	2524.87	USD	-10,837.50	
19SEP17	S	2	PD01DEC17	2416.75	2524.87	USD	-5,406.00	
	NET	0 AVG BGHT 2402.50	SOLD 2359.36				-7,549.00	0.00
04SEP17	S	1	PD04DEC17	2413.00	2525.33	USD	-2,808.25	
04SEP17	B	1	PD04DEC17	2417.00	2525.33	USD	2,708.25	
04SEP17	S	1	PD04DEC17	2398.00	2525.33	USD	-3,183.25	
19SEP17	B	1	PD04DEC17	2417.70	2525.33	USD	2,690.75	
	NET	0 AVG BGHT 2417.35	SOLD 2405.50				-592.50	0.00
05SEP17	B	1	PD05DEC17	2363.50	2525.48	USD	4,049.50	
05SEP17	S	1	PD05DEC17	2370.00	2525.48	USD	-3,887.00	
05SEP17	B	1	PD05DEC17	2346.00	2525.48	USD	4,487.00	
05SEP17	B	1	PD05DEC17	2344.00	2525.48	USD	4,537.00	
05SEP17	S	1	PD05DEC17	2327.00	2525.48	USD	-4,962.00	
05SEP17	B	1	PD05DEC17	2337.00	2525.48	USD	4,712.00	
05SEP17	S	1	PD05DEC17	2333.50	2525.48	USD	-4,799.50	
05SEP17	S	1	PD05DEC17	2334.00	2525.48	USD	-4,787.00	
05SEP17	S	3	PD05DEC17	2325.00	2525.48	USD	-15,036.00	
05SEP17	B	1	PD05DEC17	2328.00	2525.48	USD	4,937.00	
05SEP17	S	2	PD05DEC17	2327.50	2525.48	USD	-9,899.00	
05SEP17	S	1	PD05DEC17	2332.00	2525.48	USD	-4,837.00	
06SEP17	B	2	PD05DEC17	2339.93	2525.48	USD	9,277.50	
11SEP17	B	1	PD05DEC17	2275.28	2525.48	USD	6,255.00	
12SEP17	B	2	PD05DEC17	2309.55	2525.48	USD	10,796.50	

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	<u>LME LEAD 25 TONNES. THIS IS AN</u>							
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	NET	0 AVG BGHT 2329.27	SOLD 2332.65				844.00	0.00
06SEP17	B	1	PD06DEC17	2328.50	2525.63	USD	4,928.25	
06SEP17	B	1	PD06DEC17	2328.00	2525.63	USD	4,940.75	
06SEP17	B	1	PD06DEC17	2336.50	2525.63	USD	4,728.25	
06SEP17	S	1	PD06DEC17	2322.00	2525.63	USD	-5,090.75	
06SEP17	S	1	PD06DEC17	2348.00	2525.63	USD	-4,440.75	
06SEP17	B	1	PD06DEC17	2346.00	2525.63	USD	4,490.75	
06SEP17	B	1	PD06DEC17	2343.00	2525.63	USD	4,565.75	
06SEP17	B	1	PD06DEC17	2342.00	2525.63	USD	4,590.75	
06SEP17	B	1	PD06DEC17	2343.50	2525.63	USD	4,553.25	
06SEP17	S	1	PD06DEC17	2342.50	2525.63	USD	-4,578.25	
06SEP17	S	1	PD06DEC17	2353.50	2525.63	USD	-4,303.25	
11SEP17	S	1	PD06DEC17	2275.40	2525.63	USD	-6,255.75	
12SEP17	S	1	PD06DEC17	2309.65	2525.63	USD	-5,399.50	
20SEP17	S	1	PD06DEC17	2457.80	2525.63	USD	-1,695.75	
	NET	0 AVG BGHT 2338.21	SOLD 2344.12				1,033.75	0.00
07SEP17	S	1	PD07DEC17	2345.50	2525.78	USD	-4,507.00	
07SEP17	B	1	PD07DEC17	2344.50	2525.78	USD	4,532.00	
07SEP17	S	1	PD07DEC17	2341.50	2525.78	USD	-4,607.00	
07SEP17	S	1	PD07DEC17	2335.50	2525.78	USD	-4,757.00	
07SEP17	S	1	PD07DEC17	2334.50	2525.78	USD	-4,782.00	
07SEP17	S	2	PD07DEC17	2334.00	2525.78	USD	-9,589.00	
07SEP17	B	1	PD07DEC17	2334.00	2525.78	USD	4,794.50	
07SEP17	B	1	PD07DEC17	2333.50	2525.78	USD	4,807.00	
07SEP17	B	1	PD07DEC17	2336.50	2525.78	USD	4,732.00	
07SEP17	S	1	PD07DEC17	2348.00	2525.78	USD	-4,444.50	
07SEP17	S	1	PD07DEC17	2354.00	2525.78	USD	-4,294.50	
07SEP17	B	1	PD07DEC17	2363.00	2525.78	USD	4,069.50	
07SEP17	B	1	PD07DEC17	2350.00	2525.78	USD	4,394.50	
07SEP17	B	1	PD07DEC17	2346.00	2525.78	USD	4,494.50	
07SEP17	B	1	PD07DEC17	2341.00	2525.78	USD	4,619.50	
07SEP17	B	1	PD07DEC17	2341.50	2525.78	USD	4,607.00	
07SEP17	S	1	PD07DEC17	2342.50	2525.78	USD	-4,582.00	
07SEP17	S	1	PD07DEC17	2337.50	2525.78	USD	-4,707.00	
11SEP17	B	1	PD07DEC17	2275.92	2525.78	USD	6,246.50	
12SEP17	B	1	PD07DEC17	2310.16	2525.78	USD	5,390.50	
19SEP17	S	1	PD07DEC17	2418.25	2525.78	USD	-2,688.25	
	NET	0 AVG BGHT 2334.19	SOLD 2347.75				3,729.25	0.00
08SEP17	B	1	PD08DEC17	2344.50	2525.93	USD	4,535.75	
08SEP17	S	1	PD08DEC17	2343.50	2525.93	USD	-4,560.75	
08SEP17	S	4	PD08DEC17	2344.50	2525.93	USD	-18,143.00	
08SEP17	S	2	PD08DEC17	2343.00	2525.93	USD	-9,146.50	
08SEP17	S	1	PD08DEC17	2342.50	2525.93	USD	-4,585.75	
08SEP17	S	6	PD08DEC17	2342.00	2525.93	USD	-27,589.50	
08SEP17	S	1	PD08DEC17	2341.50	2525.93	USD	-4,610.75	
08SEP17	S	5	PD08DEC17	2337.00	2525.93	USD	-23,616.25	
08SEP17	S	5	PD08DEC17	2338.00	2525.93	USD	-23,491.25	
08SEP17	S	4	PD08DEC17	2338.50	2525.93	USD	-18,743.00	
08SEP17	B	1	PD08DEC17	2349.50	2525.93	USD	4,410.75	
08SEP17	B	1	PD08DEC17	2354.00	2525.93	USD	4,298.25	
08SEP17	B	1	PD08DEC17	2355.00	2525.93	USD	4,273.25	
08SEP17	S	1	PD08DEC17	2333.50	2525.93	USD	-4,810.75	
08SEP17	S	1	PD08DEC17	2335.50	2525.93	USD	-4,760.75	
08SEP17	S	1	PD08DEC17	2331.50	2525.93	USD	-4,860.75	
08SEP17	S	1	PD08DEC17	2328.50	2525.93	USD	-4,935.75	
08SEP17	S	1	PD08DEC17	2320.00	2525.93	USD	-5,148.25	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0094X

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME LEAD 25 TONNES. THIS IS AN</u>							
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08SEP17	S	1	PD08DEC17	2317.50	2525.93	USD	-5,210.75	
08SEP17	S	1	PD08DEC17	2314.00	2525.93	USD	-5,298.25	
08SEP17	S	7	PD08DEC17	2313.50	2525.93	USD	-37,175.25	
08SEP17	S	1	PD08DEC17	2316.00	2525.93	USD	-5,248.25	
08SEP17	B	1	PD08DEC17	2316.50	2525.93	USD	5,235.75	
08SEP17	B	2	PD08DEC17	2294.50	2525.93	USD	11,571.50	
08SEP17	S	4	PD08DEC17	2301.00	2525.93	USD	-22,493.00	
08SEP17	S	5	PD08DEC17	2304.50	2525.93	USD	-27,678.75	
08SEP17	S	5	PD08DEC17	2295.50	2525.93	USD	-28,803.75	
08SEP17	S	1	PD08DEC17	2295.00	2525.93	USD	-5,773.25	
08SEP17	S	4	PD08DEC17	2294.50	2525.93	USD	-23,143.00	
08SEP17	S	1	PD08DEC17	2281.50	2525.93	USD	-6,110.75	
08SEP17	B	1	PD08DEC17	2265.50	2525.93	USD	6,510.75	
08SEP17	S	1	PD08DEC17	2264.00	2525.93	USD	-6,548.25	
11SEP17	B	1	PD08DEC17	2276.24	2525.93	USD	6,242.25	
12SEP17	B	1	PD08DEC17	2310.47	2525.93	USD	5,386.50	
14SEP17	B	54	PD08DEC17	2305.49	2525.93	USD	297,594.00	
19SEP17	B	1	PD08DEC17	2418.70	2525.93	USD	2,680.75	
	NET	0 AVG BGHT 2308.86	SOLD 2321.32				20,253.25	0.00
11SEP17	B	1	PD11DEC17	2272.00	2526.39	USD	6,359.75	
11SEP17	B	1	PD11DEC17	2270.50	2526.39	USD	6,397.25	
11SEP17	B	1	PD11DEC17	2285.50	2526.39	USD	6,022.25	
11SEP17	B	1	PD11DEC17	2279.50	2526.39	USD	6,172.25	
11SEP17	B	1	PD11DEC17	2274.50	2526.39	USD	6,297.25	
11SEP17	B	1	PD11DEC17	2279.00	2526.39	USD	6,184.75	
11SEP17	B	1	PD11DEC17	2272.50	2526.39	USD	6,347.25	
11SEP17	B	1	PD11DEC17	2267.00	2526.39	USD	6,484.75	
11SEP17	B	1	PD11DEC17	2273.00	2526.39	USD	6,334.75	
11SEP17	B	1	PD11DEC17	2274.00	2526.39	USD	6,309.75	
11SEP17	S	1	PD11DEC17	2278.50	2526.39	USD	-6,197.25	
11SEP17	B	1	PD11DEC17	2278.00	2526.39	USD	6,209.75	
19SEP17	S	3	PD11DEC17	2419.25	2526.39	USD	-8,035.50	
20SEP17	S	4	PD11DEC17	2458.59	2526.39	USD	-6,780.00	
	NET	+3 AVG BGHT 2275.05	SOLD 2421.33				48,107.00	0.00
12SEP17	S	1	PD12DEC17	2278.50	2526.54	USD	-6,201.00	
12SEP17	S	1	PD12DEC17	2269.50	2526.54	USD	-6,426.00	
12SEP17	B	1	PD12DEC17	2272.00	2526.54	USD	6,363.50	
12SEP17	S	2	PD12DEC17	2268.00	2526.54	USD	-12,927.00	
12SEP17	B	1	PD12DEC17	2289.50	2526.54	USD	5,926.00	
12SEP17	S	1	PD12DEC17	2258.50	2526.54	USD	-6,701.00	
12SEP17	S	2	PD12DEC17	2273.50	2526.54	USD	-12,652.00	
12SEP17	B	1	PD12DEC17	2275.50	2526.54	USD	6,276.00	
12SEP17	B	1	PD12DEC17	2273.50	2526.54	USD	6,326.00	
12SEP17	S	3	PD12DEC17	2275.00	2526.54	USD	-18,865.50	
12SEP17	B	2	PD12DEC17	2283.00	2526.54	USD	12,177.00	
12SEP17	S	1	PD12DEC17	2286.50	2526.54	USD	-6,001.00	
12SEP17	S	1	PD12DEC17	2303.50	2526.54	USD	-5,576.00	
12SEP17	B	1	PD12DEC17	2309.50	2526.54	USD	5,426.00	
12SEP17	S	1	PD12DEC17	2304.50	2526.54	USD	-5,551.00	
14SEP17	B	5	PD12DEC17	2306.63	2526.54	USD	27,488.75	
19SEP17	B	1	PD12DEC17	2419.70	2526.54	USD	2,671.00	
	NET	0 AVG BGHT 2302.99	SOLD 2277.62				-8,246.25	0.00
13SEP17	B	1	PD13DEC17	2308.50	2526.69	USD	5,454.75	
13SEP17	S	1	PD13DEC17	2310.00	2526.69	USD	-5,417.25	
13SEP17	S	1	PD13DEC17	2324.50	2526.69	USD	-5,054.75	
13SEP17	B	1	PD13DEC17	2290.50	2526.69	USD	5,904.75	

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	<u>LME LEAD 25 TONNES. THIS IS AN</u>							
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13SEP17	B	1	PD13DEC17	2288.00	2526.69	USD	5,967.25	
13SEP17	S	2	PD13DEC17	2301.00	2526.69	USD	-11,284.50	
13SEP17	S	1	PD13DEC17	2307.00	2526.69	USD	-5,492.25	
13SEP17	S	1	PD13DEC17	2294.00	2526.69	USD	-5,817.25	
13SEP17	S	2	PD13DEC17	2294.00	2526.69	USD	-11,634.50	
13SEP17	B	1	PD13DEC17	2299.50	2526.69	USD	5,679.75	
13SEP17	B	1	PD13DEC17	2299.00	2526.69	USD	5,692.25	
13SEP17	B	2	PD13DEC17	2291.00	2526.69	USD	11,784.50	
13SEP17	S	4	PD13DEC17	2295.00	2526.69	USD	-23,169.00	
19SEP17	B	1	PD13DEC17	2419.95	2526.69	USD	2,668.50	
20SEP17	B	3	PD13DEC17	2459.10	2526.69	USD	5,069.25	
	NET	-1 AVG	BGHT 2351.34	SOLD 2300.46			-19,648.50	0.00
14SEP17	B	2	PD14DEC17	2302.00	2526.84	USD	11,242.00	
14SEP17	S	1	PD14DEC17	2294.00	2526.84	USD	-5,821.00	
14SEP17	B	1	PD14DEC17	2294.50	2526.84	USD	5,808.50	
14SEP17	S	1	PD14DEC17	2288.50	2526.84	USD	-5,958.50	
14SEP17	S	1	PD14DEC17	2287.50	2526.84	USD	-5,983.50	
14SEP17	S	1	PD14DEC17	2309.00	2526.84	USD	-5,446.00	
14SEP17	B	1	PD14DEC17	2309.00	2526.84	USD	5,446.00	
14SEP17	B	1	PD14DEC17	2306.50	2526.84	USD	5,508.50	
14SEP17	S	2	PD14DEC17	2308.50	2526.84	USD	-10,917.00	
14SEP17	S	1	PD14DEC17	2311.00	2526.84	USD	-5,396.00	
14SEP17	S	2	PD14DEC17	2322.50	2526.84	USD	-10,217.00	
14SEP17	S	1	PD14DEC17	2323.50	2526.84	USD	-5,083.50	
19SEP17	B	1	PD14DEC17	2420.20	2526.84	USD	2,666.00	
20SEP17	B	2	PD14DEC17	2459.26	2526.84	USD	3,379.00	
21SEP17	B	2	PD14DEC17	2518.11	2526.84	USD	436.50	
	NET	0 AVG	BGHT 2388.89	SOLD 2307.55			-20,336.00	0.00
15SEP17	B	1	PD15DEC17	2329.00	2526.99	USD	4,949.75	
15SEP17	B	1	PD15DEC17	2335.50	2526.99	USD	4,787.25	
15SEP17	B	3	PD15DEC17	2334.50	2526.99	USD	14,436.75	
15SEP17	B	2	PD15DEC17	2333.50	2526.99	USD	9,674.50	
15SEP17	B	6	PD15DEC17	2332.50	2526.99	USD	29,173.50	
15SEP17	S	1	PD15DEC17	2330.00	2526.99	USD	-4,924.75	
15SEP17	S	2	PD15DEC17	2331.00	2526.99	USD	-9,799.50	
15SEP17	S	2	PD15DEC17	2328.00	2526.99	USD	-9,949.50	
15SEP17	S	2	PD15DEC17	2325.50	2526.99	USD	-10,074.50	
15SEP17	S	2	PD15DEC17	2324.50	2526.99	USD	-10,124.50	
15SEP17	S	1	PD15DEC17	2324.00	2526.99	USD	-5,074.75	
15SEP17	S	1	PD15DEC17	2327.50	2526.99	USD	-4,987.25	
15SEP17	S	2	PD15DEC17	2325.00	2526.99	USD	-10,099.50	
15SEP17	S	1	PD15DEC17	2319.50	2526.99	USD	-5,187.25	
15SEP17	S	1	PD15DEC17	2320.50	2526.99	USD	-5,162.25	
15SEP17	B	1	PD15DEC17	2318.50	2526.99	USD	5,212.25	
15SEP17	B	1	PD15DEC17	2314.50	2526.99	USD	5,312.25	
15SEP17	S	1	PD15DEC17	2320.00	2526.99	USD	-5,174.75	
15SEP17	S	2	PD15DEC17	2319.00	2526.99	USD	-10,399.50	
15SEP17	S	2	PD15DEC17	2329.00	2526.99	USD	-9,899.50	
15SEP17	S	1	PD15DEC17	2328.00	2526.99	USD	-4,974.75	
15SEP17	B	1	PD15DEC17	2313.50	2526.99	USD	5,337.25	
15SEP17	S	1	PD15DEC17	2312.50	2526.99	USD	-5,362.25	
15SEP17	S	1	PD15DEC17	2348.50	2526.99	USD	-4,462.25	
15SEP17	S	1	PD15DEC17	2346.00	2526.99	USD	-4,524.75	
15SEP17	S	1	PD15DEC17	2346.50	2526.99	USD	-4,512.25	
15SEP17	S	2	PD15DEC17	2348.00	2526.99	USD	-8,949.50	
15SEP17	S	2	PD15DEC17	2349.00	2526.99	USD	-8,899.50	
15SEP17	B	2	PD15DEC17	2351.50	2526.99	USD	8,774.50	

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	<u>LME LEAD 25 TONNES. THIS IS AN</u>							
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15SEP17	B	2	PD15DEC17	2349.50	2526.99	USD	8,874.50	
15SEP17	B	1	PD15DEC17	2349.00	2526.99	USD	4,449.75	
15SEP17	B	2	PD15DEC17	2345.50	2526.99	USD	9,074.50	
15SEP17	B	1	PD15DEC17	2342.50	2526.99	USD	4,612.25	
15SEP17	S	1	PD15DEC17	2355.00	2526.99	USD	-4,299.75	
15SEP17	B	1	PD15DEC17	2338.00	2526.99	USD	4,724.75	
15SEP17	S	1	PD15DEC17	2350.50	2526.99	USD	-4,412.25	
15SEP17	S	3	PD15DEC17	2350.00	2526.99	USD	-13,274.25	
15SEP17	S	1	PD15DEC17	2360.50	2526.99	USD	-4,162.25	
15SEP17	B	1	PD15DEC17	2361.00	2526.99	USD	4,149.75	
19SEP17	B	1	PD15DEC17	2420.45	2526.99	USD	2,663.50	
20SEP17	B	4	PD15DEC17	2459.21	2526.99	USD	6,778.00	
	NET	-4 AVG	BGHT 2355.40	SOLD 2334.20			-35,706.25	0.00
18SEP17	B	1	PD18DEC17	2395.00	2527.45	USD	3,311.25	
18SEP17	B	2	PD18DEC17	2396.00	2527.45	USD	6,572.50	
18SEP17	B	2	PD18DEC17	2405.00	2527.45	USD	6,122.50	
18SEP17	B	1	PD18DEC17	2403.00	2527.45	USD	3,111.25	
18SEP17	B	1	PD18DEC17	2388.00	2527.45	USD	3,486.25	
18SEP17	S	1	PD18DEC17	2400.50	2527.45	USD	-3,173.75	
18SEP17	B	2	PD18DEC17	2392.00	2527.45	USD	6,772.50	
18SEP17	B	1	PD18DEC17	2391.00	2527.45	USD	3,411.25	
18SEP17	S	1	PD18DEC17	2384.50	2527.45	USD	-3,573.75	
18SEP17	S	1	PD18DEC17	2388.00	2527.45	USD	-3,486.25	
20SEP17	S	2	PD18DEC17	2459.49	2527.45	USD	-3,398.00	
03OCT17	S	1	PD18DEC17	2592.24	2527.45	USD	1,619.75	
	NET	+4 AVG	BGHT 2396.30	SOLD 2447.37			20,775.50	0.00
19SEP17	B	1	PD19DEC17	2384.00	2527.60	USD	3,590.00	
19SEP17	B	2	PD19DEC17	2391.00	2527.60	USD	6,830.00	
19SEP17	S	1	PD19DEC17	2389.50	2527.60	USD	-3,452.50	
19SEP17	S	2	PD19DEC17	2385.50	2527.60	USD	-7,105.00	
19SEP17	S	1	PD19DEC17	2411.00	2527.60	USD	-2,915.00	
19SEP17	S	1	PD19DEC17	2412.00	2527.60	USD	-2,890.00	
19SEP17	S	1	PD19DEC17	2411.50	2527.60	USD	-2,902.50	
19SEP17	B	1	PD19DEC17	2438.00	2527.60	USD	2,240.00	
19SEP17	B	1	PD19DEC17	2437.00	2527.60	USD	2,265.00	
19SEP17	S	1	PD19DEC17	2411.00	2527.60	USD	-2,915.00	
19SEP17	B	1	PD19DEC17	2437.50	2527.60	USD	2,252.50	
19SEP17	B	1	PD19DEC17	2430.00	2527.60	USD	2,440.00	
19SEP17	B	1	PD19DEC17	2433.00	2527.60	USD	2,365.00	
19SEP17	B	2	PD19DEC17	2424.00	2527.60	USD	5,180.00	
19SEP17	B	2	PD19DEC17	2422.00	2527.60	USD	5,280.00	
19SEP17	S	1	PD19DEC17	2422.00	2527.60	USD	-2,640.00	
19SEP17	S	1	PD19DEC17	2421.00	2527.60	USD	-2,665.00	
20SEP17	S	2	PD19DEC17	2459.64	2527.60	USD	-3,398.00	
	NET	+1 AVG	BGHT 2419.46	SOLD 2415.30			1,559.50	0.00
20SEP17	B	1	PD20DEC17	2438.00	2527.75	USD	2,243.75	
20SEP17	B	1	PD20DEC17	2444.00	2527.75	USD	2,093.75	
20SEP17	B	1	PD20DEC17	2446.50	2527.75	USD	2,031.25	
20SEP17	B	1	PD20DEC17	2454.50	2527.75	USD	1,831.25	
20SEP17	S	1	PD20DEC17	2454.50	2527.75	USD	-1,831.25	
20SEP17	B	1	PD20DEC17	2453.00	2527.75	USD	1,868.75	
20SEP17	B	1	PD20DEC17	2467.00	2527.75	USD	1,518.75	
20SEP17	S	1	PD20DEC17	2460.00	2527.75	USD	-1,693.75	
20SEP17	S	1	PD20DEC17	2452.00	2527.75	USD	-1,893.75	
20SEP17	B	1	PD20DEC17	2459.50	2527.75	USD	1,706.25	
03OCT17	S	1	PD20DEC17	2592.50	2527.75	USD	1,618.75	

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	<u>LME LEAD 25 TONNES. THIS IS AN</u>								
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	NET	+3 AVG BGHT 2451.79	SOLD 2489.75					9,493.75	0.00
21SEP17	B	1	PD21DEC17		2452.00	2526.75	USD	1,868.75	
21SEP17	B	1	PD21DEC17		2443.00	2526.75	USD	2,093.75	
21SEP17	S	1	PD21DEC17		2439.00	2526.75	USD	-2,193.75	
21SEP17	B	1	PD21DEC17		2442.50	2526.75	USD	2,106.25	
21SEP17	S	1	PD21DEC17		2433.50	2526.75	USD	-2,331.25	
21SEP17	S	1	PD21DEC17		2432.50	2526.75	USD	-2,356.25	
21SEP17	B	1	PD21DEC17		2429.50	2526.75	USD	2,431.25	
21SEP17	B	1	PD21DEC17		2432.00	2526.75	USD	2,368.75	
21SEP17	S	1	PD21DEC17		2435.50	2526.75	USD	-2,281.25	
21SEP17	B	1	PD21DEC17		2430.00	2526.75	USD	2,418.75	
21SEP17	S	2	PD21DEC17		2480.00	2526.75	USD	-2,337.50	
21SEP17	S	1	PD21DEC17		2473.50	2526.75	USD	-1,331.25	
21SEP17	B	1	PD21DEC17		2480.50	2526.75	USD	1,156.25	
21SEP17	B	2	PD21DEC17		2481.50	2526.75	USD	2,262.50	
21SEP17	S	1	PD21DEC17		2483.50	2526.75	USD	-1,081.25	
21SEP17	S	1	PD21DEC17		2491.00	2526.75	USD	-893.75	
21SEP17	S	1	PD21DEC17		2499.50	2526.75	USD	-681.25	
21SEP17	S	1	PD21DEC17		2478.00	2526.75	USD	-1,218.75	
21SEP17	S	1	PD21DEC17		2471.50	2526.75	USD	-1,381.25	
21SEP17	S	1	PD21DEC17		2506.00	2526.75	USD	-518.75	
21SEP17	S	1	PD21DEC17		2482.50	2526.75	USD	-1,106.25	
21SEP17	S	3	PD21DEC17		2474.00	2526.75	USD	-3,956.25	
21SEP17	S	1	PD21DEC17		2463.50	2526.75	USD	-1,581.25	
21SEP17	B	1	PD21DEC17		2440.50	2526.75	USD	2,156.25	
21SEP17	B	1	PD21DEC17		2453.00	2526.75	USD	1,843.75	
21SEP17	S	1	PD21DEC17		2451.50	2526.75	USD	-1,881.25	
21SEP17	S	1	PD21DEC17		2450.00	2526.75	USD	-1,918.75	
25SEP17	B	2	PD21DEC17		2474.20	2526.75	USD	2,627.50	
03OCT17	B	2	PD21DEC17		2592.49	2526.75	USD	-3,287.00	
	NET	-5 AVG BGHT 2473.29	SOLD 2468.65					-9,003.25	0.00
22SEP17	B	1	PD22DEC17		2438.00	2526.90	USD	2,222.50	
22SEP17	B	2	PD22DEC17		2435.00	2526.90	USD	4,595.00	
22SEP17	B	1	PD22DEC17		2413.50	2526.90	USD	2,835.00	
22SEP17	S	1	PD22DEC17		2421.00	2526.90	USD	-2,647.50	
22SEP17	S	1	PD22DEC17		2426.00	2526.90	USD	-2,522.50	
22SEP17	B	2	PD22DEC17		2424.50	2526.90	USD	5,120.00	
22SEP17	B	1	PD22DEC17		2426.50	2526.90	USD	2,510.00	
22SEP17	B	1	PD22DEC17		2401.50	2526.90	USD	3,135.00	
22SEP17	S	1	PD22DEC17		2478.00	2526.90	USD	-1,222.50	
22SEP17	B	1	PD22DEC17		2415.50	2526.90	USD	2,785.00	
22SEP17	S	1	PD22DEC17		2423.50	2526.90	USD	-2,585.00	
22SEP17	S	1	PD22DEC17		2425.00	2526.90	USD	-2,547.50	
22SEP17	B	1	PD22DEC17		2426.00	2526.90	USD	2,522.50	
22SEP17	S	1	PD22DEC17		2476.00	2526.90	USD	-1,272.50	
22SEP17	S	1	PD22DEC17		2475.00	2526.90	USD	-1,297.50	
22SEP17	S	1	PD22DEC17		2469.00	2526.90	USD	-1,447.50	
22SEP17	S	1	PD22DEC17		2464.00	2526.90	USD	-1,572.50	
22SEP17	S	1	PD22DEC17		2465.00	2526.90	USD	-1,547.50	
22SEP17	B	4	PD22DEC17		2458.50	2526.90	USD	6,840.00	
22SEP17	B	2	PD22DEC17		2458.00	2526.90	USD	3,445.00	
22SEP17	S	2	PD22DEC17		2458.00	2526.90	USD	-3,445.00	
22SEP17	S	1	PD22DEC17		2470.00	2526.90	USD	-1,422.50	
22SEP17	S	1	PD22DEC17		2474.50	2526.90	USD	-1,310.00	
22SEP17	B	1	PD22DEC17		2488.50	2526.90	USD	960.00	
26SEP17	B	1	PD22DEC17		2488.67	2526.90	USD	955.75	
	NET	+4 AVG BGHT 2442.62	SOLD 2455.93					13,085.75	0.00

OPEN POSITION STATEMENT



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SAP0094X

13th October 2017

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backoffice@sapiencap.com

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	<u>LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
25SEP17	B	1	PD27DEC17	2495.00	2527.64	USD	816.00	
25SEP17	S	3	PD27DEC17	2499.00	2527.64	USD	-2,148.00	
25SEP17	S	1	PD27DEC17	2497.00	2527.64	USD	-766.00	
25SEP17	S	1	PD27DEC17	2503.50	2527.64	USD	-603.50	
25SEP17	B	1	PD27DEC17	2503.00	2527.64	USD	616.00	
25SEP17	B	1	PD27DEC17	2502.50	2527.64	USD	628.50	
25SEP17	B	2	PD27DEC17	2503.50	2527.64	USD	1,207.00	
25SEP17	S	1	PD27DEC17	2492.00	2527.64	USD	-891.00	
25SEP17	B	1	PD27DEC17	2502.50	2527.64	USD	628.50	
25SEP17	B	1	PD27DEC17	2479.00	2527.64	USD	1,216.00	
26SEP17	B	1	PD27DEC17	2496.50	2527.64	USD	778.50	
26SEP17	S	1	PD27DEC17	2466.50	2527.64	USD	-1,528.50	
26SEP17	B	1	PD27DEC17	2485.00	2527.64	USD	1,066.00	
26SEP17	S	1	PD27DEC17	2484.00	2527.64	USD	-1,091.00	
26SEP17	S	1	PD27DEC17	2487.80	2527.64	USD	-996.00	
27SEP17	B	3	PD27DEC17	2464.00	2527.64	USD	4,773.00	
	NET	+3 AVG BGHT 2488.54	SOLD 2491.98				3,705.50	0.00
28SEP17	B	1	PD28DEC17	2488.50	2527.78	USD	982.00	
28SEP17	S	4	PD28DEC17	2461.00	2527.78	USD	-6,678.00	
28SEP17	B	2	PD28DEC17	2460.00	2527.78	USD	3,389.00	
28SEP17	B	2	PD28DEC17	2460.50	2527.78	USD	3,364.00	
28SEP17	S	1	PD28DEC17	2458.00	2527.78	USD	-1,744.50	
28SEP17	B	1	PD28DEC17	2477.00	2527.78	USD	1,269.50	
28SEP17	S	2	PD28DEC17	2480.50	2527.78	USD	-2,364.00	
29SEP17	B	2	PD28DEC17	2486.20	2527.78	USD	2,079.00	
	NET	+1 AVG BGHT 2472.36	SOLD 2466.14				297.00	0.00
29SEP17	S	1	PD29DEC17	2483.50	2527.93	USD	-1,110.75	
29SEP17	B	2	PD29DEC17	2503.50	2527.93	USD	1,221.50	
29SEP17	B	1	PD29DEC17	2503.50	2527.93	USD	610.75	
	NET	+2 AVG BGHT 2503.50	SOLD 2483.50				721.50	0.00
11OCT17	S	1	PD11JAN18	2537.00	2529.85	USD	178.75	
11OCT17	S	1	PD11JAN18	2542.00	2529.85	USD	303.75	
11OCT17	S	1	PD11JAN18	2551.00	2529.85	USD	528.75	
11OCT17	S	1	PD11JAN18	2561.00	2529.85	USD	778.75	
	NET	-4 AVG SOLD 2547.75					1,790.00	0.00
13OCT17	B	1	PD12JAN18	2562.50	2530.00	USD	-812.50	
13OCT17	B	1	PD12JAN18	2564.50	2530.00	USD	-862.50	
13OCT17	B	1	PD12JAN18	2547.50	2530.00	USD	-437.50	
13OCT17	B	1	PD12JAN18	2548.00	2530.00	USD	-450.00	
13OCT17	S	1	PD12JAN18	2542.00	2530.00	USD	300.00	
13OCT17	B	1	PD12JAN18	2546.00	2530.00	USD	-400.00	
	NET	+4 AVG BGHT 2553.70	SOLD 2542.00				-2,662.50	0.00
	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
17JUL17	B	1	PD17OCT17	9530.00	11610.50	USD	12,483.00	
17JUL17	B	1	PD17OCT17	9555.00	11610.50	USD	12,333.00	
17JUL17	B	1	PD17OCT17	9545.00	11610.50	USD	12,393.00	
17JUL17	S	6	PD17OCT17	9595.00	11610.50	USD	-72,558.00	
17JUL17	S	2	PD17OCT17	9565.00	11610.50	USD	-24,546.00	
17JUL17	B	2	PD17OCT17	9580.00	11610.50	USD	24,366.00	
17JUL17	B	1	PD17OCT17	9525.00	11610.50	USD	12,513.00	
17JUL17	B	2	PD17OCT17	9590.00	11610.50	USD	24,246.00	
17JUL17	S	8	PD17OCT17	9585.00	11610.50	USD	-97,224.00	
17JUL17	S	5	PD17OCT17	9580.00	11610.50	USD	-60,915.00	
17JUL17	B	10	PD17OCT17	9595.00	11610.50	USD	120,930.00	

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17JUL17	B	1	PD17OCT17	9560.00	11610.50	USD	12,303.00	
17JUL17	S	1	PD17OCT17	9550.00	11610.50	USD	-12,363.00	
17JUL17	B	1	PD17OCT17	9550.00	11610.50	USD	12,363.00	
17JUL17	S	7	PD17OCT17	9560.00	11610.50	USD	-86,121.00	
17JUL17	S	1	PD17OCT17	9555.00	11610.50	USD	-12,333.00	
17JUL17	B	2	PD17OCT17	9570.00	11610.50	USD	24,486.00	
17JUL17	S	9	PD17OCT17	9570.00	11610.50	USD	-110,187.00	
17JUL17	B	3	PD17OCT17	9615.00	11610.50	USD	35,919.00	
17JUL17	B	4	PD17OCT17	9585.00	11610.50	USD	48,612.00	
17JUL17	S	17	PD17OCT17	9600.00	11610.50	USD	-205,071.00	
17JUL17	S	19	PD17OCT17	9605.00	11610.50	USD	-228,627.00	
17JUL17	B	2	PD17OCT17	9615.00	11610.50	USD	23,946.00	
17JUL17	B	1	PD17OCT17	9655.00	11610.50	USD	11,733.00	
17JUL17	B	1	PD17OCT17	9685.00	11610.50	USD	11,553.00	
17JUL17	B	1	PD17OCT17	9695.00	11610.50	USD	11,493.00	
17JUL17	B	1	PD17OCT17	9690.00	11610.50	USD	11,523.00	
17JUL17	B	1	PD17OCT17	9675.00	11610.50	USD	11,613.00	
17JUL17	B	5	PD17OCT17	9610.00	11610.50	USD	60,015.00	
17JUL17	S	9	PD17OCT17	9620.00	11610.50	USD	-107,487.00	
17JUL17	B	3	PD17OCT17	9620.00	11610.50	USD	35,829.00	
17JUL17	S	4	PD17OCT17	9625.00	11610.50	USD	-47,652.00	
17JUL17	S	8	PD17OCT17	9615.00	11610.50	USD	-95,784.00	
17JUL17	S	8	PD17OCT17	9610.00	11610.50	USD	-96,024.00	
17JUL17	B	1	PD17OCT17	9625.00	11610.50	USD	11,913.00	
17JUL17	B	2	PD17OCT17	9601.00	11610.50	USD	24,114.00	
17JUL17	S	1	PD17OCT17	9615.00	11610.50	USD	-11,973.00	
19JUL17	B	1	PD17OCT17	9651.32	11610.50	USD	11,755.08	
19JUL17	B	54	PD17OCT17	9652.32	11610.50	USD	634,450.32	
27JUL17	S	1	PD17OCT17	10129.43	11610.50	USD	-8,886.42	
28JUL17	S	1	PD17OCT17	10197.91	11610.50	USD	-8,475.54	
03AUG17	B	3	PD17OCT17	10371.80	11610.50	USD	22,296.60	
15AUG17	B	2	PD17OCT17	10332.72	11610.50	USD	15,333.36	
	NET	0AVG	BGHT 9662.66	SOLD 9607.03			-35,712.60	0.00
18JUL17	B	1	PD18OCT17	9635.00	11610.50	USD	11,853.00	
18JUL17	B	4	PD18OCT17	9640.00	11610.50	USD	47,292.00	
18JUL17	B	2	PD18OCT17	9630.00	11610.50	USD	23,766.00	
18JUL17	B	1	PD18OCT17	9660.00	11610.50	USD	11,703.00	
18JUL17	S	2	PD18OCT17	9590.00	11610.50	USD	-24,246.00	
18JUL17	S	1	PD18OCT17	9640.00	11610.50	USD	-11,823.00	
18JUL17	B	2	PD18OCT17	9670.00	11610.50	USD	23,286.00	
18JUL17	B	1	PD18OCT17	9680.00	11610.50	USD	11,583.00	
18JUL17	B	1	PD18OCT17	9675.00	11610.50	USD	11,613.00	
18JUL17	S	1	PD18OCT17	9740.00	11610.50	USD	-11,223.00	
18JUL17	S	1	PD18OCT17	9770.00	11610.50	USD	-11,043.00	
18JUL17	S	3	PD18OCT17	9765.00	11610.50	USD	-33,219.00	
18JUL17	S	3	PD18OCT17	9715.00	11610.50	USD	-34,119.00	
18JUL17	S	1	PD18OCT17	9730.00	11610.50	USD	-11,283.00	
18JUL17	B	2	PD18OCT17	9781.00	11610.50	USD	21,954.00	
19JUL17	S	1	PD18OCT17	9651.00	11610.50	USD	-11,757.00	
28JUL17	S	2	PD18OCT17	10199.50	11610.50	USD	-16,932.00	
28JUL17	B	3	PD18OCT17	10200.50	11610.50	USD	25,380.00	
03AUG17	S	5	PD18OCT17	10371.50	11610.50	USD	-37,170.00	
10AUG17	B	3	PD18OCT17	10972.50	11610.50	USD	11,484.00	
	NET	0AVG	BGHT 9944.55	SOLD 9920.38			-2,901.00	0.00
19JUL17	S	1	PD19OCT17	9710.00	11610.50	USD	-11,403.00	
19JUL17	S	4	PD19OCT17	9695.00	11610.50	USD	-45,972.00	
19JUL17	S	1	PD19OCT17	9705.00	11610.50	USD	-11,433.00	

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19JUL17	S	1	PD19OCT17	9720.00	11610.50	USD	-11,343.00	
19JUL17	S	3	PD19OCT17	9715.00	11610.50	USD	-34,119.00	
19JUL17	S	1	PD19OCT17	9765.00	11610.50	USD	-11,073.00	
19JUL17	S	2	PD19OCT17	9770.00	11610.50	USD	-22,086.00	
19JUL17	S	1	PD19OCT17	9775.00	11610.50	USD	-11,013.00	
19JUL17	B	1	PD19OCT17	9765.00	11610.50	USD	11,073.00	
19JUL17	B	1	PD19OCT17	9735.00	11610.50	USD	11,253.00	
19JUL17	S	3	PD19OCT17	9735.00	11610.50	USD	-33,759.00	
19JUL17	B	1	PD19OCT17	9710.00	11610.50	USD	11,403.00	
19JUL17	S	2	PD19OCT17	9690.00	11610.50	USD	-23,046.00	
19JUL17	S	1	PD19OCT17	9700.00	11610.50	USD	-11,463.00	
19JUL17	B	1	PD19OCT17	9720.00	11610.50	USD	11,343.00	
19JUL17	B	3	PD19OCT17	9730.00	11610.50	USD	33,849.00	
19JUL17	S	6	PD19OCT17	9680.00	11610.50	USD	-69,498.00	
19JUL17	B	1	PD19OCT17	9670.00	11610.50	USD	11,643.00	
19JUL17	S	1	PD19OCT17	9670.00	11610.50	USD	-11,643.00	
19JUL17	S	3	PD19OCT17	9655.00	11610.50	USD	-35,199.00	
19JUL17	S	2	PD19OCT17	9650.00	11610.50	USD	-23,526.00	
19JUL17	S	1	PD19OCT17	9650.00	11610.50	USD	-11,763.00	
19JUL17	S	1	PD19OCT17	9655.00	11610.50	USD	-11,733.00	
27JUL17	S	4	PD19OCT17	10130.00	11610.50	USD	-35,532.00	
27JUL17	B	9	PD19OCT17	10131.00	11610.50	USD	79,893.00	
28JUL17	B	4	PD19OCT17	10200.56	11610.50	USD	33,838.56	
03AUG17	B	5	PD19OCT17	10373.28	11610.50	USD	37,116.60	
10AUG17	B	12	PD19OCT17	10973.09	11610.50	USD	45,893.52	
	NET	0 AVG BGHT 10350.39	SOLD 9743.82				-138,298.32	0.00
20JUL17	B	1	PD20OCT17	9665.00	11611.35	USD	11,678.10	
20JUL17	B	1	PD20OCT17	9600.00	11611.35	USD	12,068.10	
20JUL17	S	1	PD20OCT17	9665.00	11611.35	USD	-11,678.10	
20JUL17	S	1	PD20OCT17	9610.00	11611.35	USD	-12,008.10	
20JUL17	S	3	PD20OCT17	9625.00	11611.35	USD	-35,754.30	
20JUL17	B	1	PD20OCT17	9595.00	11611.35	USD	12,098.10	
20JUL17	S	2	PD20OCT17	9560.00	11611.35	USD	-24,616.20	
20JUL17	B	1	PD20OCT17	9565.00	11611.35	USD	12,278.10	
20JUL17	B	2	PD20OCT17	9580.00	11611.35	USD	24,376.20	
20JUL17	S	4	PD20OCT17	9570.00	11611.35	USD	-48,992.40	
20JUL17	B	3	PD20OCT17	9570.00	11611.35	USD	36,744.30	
20JUL17	B	1	PD20OCT17	9575.00	11611.35	USD	12,218.10	
20JUL17	S	2	PD20OCT17	9555.00	11611.35	USD	-24,676.20	
20JUL17	S	1	PD20OCT17	9530.00	11611.35	USD	-12,488.10	
20JUL17	S	2	PD20OCT17	9520.00	11611.35	USD	-25,096.20	
20JUL17	S	5	PD20OCT17	9515.00	11611.35	USD	-62,890.50	
20JUL17	S	1	PD20OCT17	9525.00	11611.35	USD	-12,518.10	
20JUL17	B	2	PD20OCT17	9525.00	11611.35	USD	25,036.20	
20JUL17	B	2	PD20OCT17	9520.00	11611.35	USD	25,096.20	
20JUL17	B	1	PD20OCT17	9480.00	11611.35	USD	12,788.10	
20JUL17	B	3	PD20OCT17	9470.00	11611.35	USD	38,544.30	
20JUL17	S	2	PD20OCT17	9500.00	11611.35	USD	-25,336.20	
20JUL17	B	1	PD20OCT17	9500.00	11611.35	USD	12,668.10	
20JUL17	B	1	PD20OCT17	9495.00	11611.35	USD	12,698.10	
20JUL17	S	2	PD20OCT17	9495.00	11611.35	USD	-25,396.20	
20JUL17	B	1	PD20OCT17	9505.00	11611.35	USD	12,638.10	
20JUL17	B	1	PD20OCT17	9510.00	11611.35	USD	12,608.10	
20JUL17	B	2	PD20OCT17	9490.00	11611.35	USD	25,456.20	
21JUL17	S	1	PD20OCT17	9500.00	11611.35	USD	-12,668.10	
21JUL17	S	1	PD20OCT17	9510.00	11611.35	USD	-12,608.10	
21JUL17	B	1	PD20OCT17	9555.00	11611.35	USD	12,338.10	

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21JUL17	B	4	PD200CT17	9560.00	11611.35	USD	49,232.40	
21JUL17	S	2	PD200CT17	9585.00	11611.35	USD	-24,316.20	
21JUL17	B	1	PD200CT17	9585.00	11611.35	USD	12,158.10	
21JUL17	S	1	PD200CT17	9590.00	11611.35	USD	-12,128.10	
21JUL17	S	1	PD200CT17	9570.00	11611.35	USD	-12,248.10	
21JUL17	S	3	PD200CT17	9575.00	11611.35	USD	-36,654.30	
21JUL17	B	1	PD200CT17	9610.00	11611.35	USD	12,008.10	
21JUL17	S	1	PD200CT17	9525.00	11611.35	USD	-12,518.10	
21JUL17	S	1	PD200CT17	9530.00	11611.35	USD	-12,488.10	
21JUL17	B	1	PD200CT17	9560.00	11611.35	USD	12,308.10	
21JUL17	S	2	PD200CT17	9620.00	11611.35	USD	-23,896.20	
21JUL17	B	4	PD200CT17	9615.00	11611.35	USD	47,912.40	
21JUL17	S	3	PD200CT17	9615.00	11611.35	USD	-35,934.30	
21JUL17	B	1	PD200CT17	9625.00	11611.35	USD	11,918.10	
21JUL17	B	1	PD200CT17	9620.00	11611.35	USD	11,948.10	
21JUL17	S	1	PD200CT17	9655.00	11611.35	USD	-11,738.10	
21JUL17	S	1	PD200CT17	9675.00	11611.35	USD	-11,618.10	
21JUL17	B	2	PD200CT17	9660.00	11611.35	USD	23,416.20	
21JUL17	S	2	PD200CT17	9625.00	11611.35	USD	-23,836.20	
21JUL17	S	4	PD200CT17	9610.00	11611.35	USD	-48,032.40	
21JUL17	S	1	PD200CT17	9605.00	11611.35	USD	-12,038.10	
21JUL17	S	2	PD200CT17	9565.00	11611.35	USD	-24,556.20	
21JUL17	B	4	PD200CT17	9565.00	11611.35	USD	49,112.40	
21JUL17	S	3	PD200CT17	9555.00	11611.35	USD	-37,014.30	
21JUL17	B	3	PD200CT17	9535.00	11611.35	USD	37,374.30	
21JUL17	S	5	PD200CT17	9545.00	11611.35	USD	-61,990.50	
21JUL17	S	1	PD200CT17	9530.00	11611.35	USD	-12,488.10	
21JUL17	S	1	PD200CT17	9515.00	11611.35	USD	-12,578.10	
21JUL17	S	3	PD200CT17	9525.00	11611.35	USD	-37,554.30	
21JUL17	B	7	PD200CT17	9525.00	11611.35	USD	87,626.70	
21JUL17	B	1	PD200CT17	9520.00	11611.35	USD	12,548.10	
21JUL17	S	1	PD200CT17	9540.00	11611.35	USD	-12,428.10	
27JUL17	B	8	PD200CT17	10131.00	11611.35	USD	71,056.80	
27JUL17	S	6	PD200CT17	10130.00	11611.35	USD	-53,328.60	
28JUL17	S	2	PD200CT17	10199.61	11611.35	USD	-16,940.88	
28JUL17	B	3	PD200CT17	10200.61	11611.35	USD	25,393.32	
03AUG17	B	2	PD200CT17	10374.06	11611.35	USD	14,847.48	
10AUG17	B	6	PD200CT17	10973.67	11611.35	USD	22,956.48	
15AUG17	B	1	PD200CT17	10334.75	11611.35	USD	7,659.60	
	NET	0AVG BGHT 9787.33	SOLD 9626.79				-72,243.00	0.00
24JUL17	B	2	PD240CT17	9600.00	11614.76	USD	24,177.12	
24JUL17	B	1	PD240CT17	9555.00	11614.76	USD	12,358.56	
24JUL17	S	1	PD240CT17	9595.00	11614.76	USD	-12,118.56	
24JUL17	B	2	PD240CT17	9635.00	11614.76	USD	23,757.12	
24JUL17	S	3	PD240CT17	9615.00	11614.76	USD	-35,995.68	
24JUL17	S	4	PD240CT17	9620.00	11614.76	USD	-47,874.24	
24JUL17	B	2	PD240CT17	9630.00	11614.76	USD	23,817.12	
24JUL17	B	1	PD240CT17	9615.00	11614.76	USD	11,998.56	
24JUL17	B	5	PD240CT17	9620.00	11614.76	USD	59,842.80	
24JUL17	B	5	PD240CT17	9615.00	11614.76	USD	59,992.80	
24JUL17	B	2	PD240CT17	9610.00	11614.76	USD	24,057.12	
24JUL17	B	6	PD240CT17	9625.00	11614.76	USD	71,631.36	
24JUL17	S	7	PD240CT17	9625.00	11614.76	USD	-83,569.92	
24JUL17	S	3	PD240CT17	9630.00	11614.76	USD	-35,725.68	
24JUL17	S	4	PD240CT17	9635.00	11614.76	USD	-47,514.24	
24JUL17	B	6	PD240CT17	9640.00	11614.76	USD	71,091.36	
24JUL17	B	2	PD240CT17	9645.00	11614.76	USD	23,637.12	

OPEN POSITION STATEMENT



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SAP0094X

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
24JUL17	B	3	PD240CT17	9650.00	11614.76	USD	35,365.68	
24JUL17	S	1	PD240CT17	9660.00	11614.76	USD	-11,728.56	
24JUL17	B	7	PD240CT17	9665.00	11614.76	USD	81,889.92	
24JUL17	B	2	PD240CT17	9655.00	11614.76	USD	23,517.12	
24JUL17	B	2	PD240CT17	9680.00	11614.76	USD	23,217.12	
24JUL17	B	2	PD240CT17	9670.00	11614.76	USD	23,337.12	
24JUL17	B	2	PD240CT17	9660.00	11614.76	USD	23,457.12	
24JUL17	S	1	PD240CT17	9710.00	11614.76	USD	-11,428.56	
24JUL17	S	4	PD240CT17	9720.00	11614.76	USD	-45,474.24	
24JUL17	S	1	PD240CT17	9715.00	11614.76	USD	-11,398.56	
24JUL17	S	1	PD240CT17	9630.00	11614.76	USD	-11,908.56	
24JUL17	S	1	PD240CT17	9730.00	11614.76	USD	-11,308.56	
24JUL17	B	4	PD240CT17	9720.00	11614.76	USD	45,474.24	
24JUL17	B	4	PD240CT17	9750.00	11614.76	USD	44,754.24	
24JUL17	S	1	PD240CT17	9750.00	11614.76	USD	-11,188.56	
24JUL17	B	3	PD240CT17	9760.00	11614.76	USD	33,385.68	
24JUL17	B	2	PD240CT17	9755.00	11614.76	USD	22,317.12	
24JUL17	B	2	PD240CT17	9765.00	11614.76	USD	22,197.12	
24JUL17	S	1	PD240CT17	9760.00	11614.76	USD	-11,128.56	
24JUL17	S	1	PD240CT17	9775.00	11614.76	USD	-11,038.56	
24JUL17	S	2	PD240CT17	9770.00	11614.76	USD	-22,137.12	
24JUL17	S	1	PD240CT17	9765.00	11614.76	USD	-11,098.56	
24JUL17	S	5	PD240CT17	9755.00	11614.76	USD	-55,792.80	
24JUL17	B	2	PD240CT17	9781.00	11614.76	USD	22,005.12	
24JUL17	S	1	PD240CT17	9760.00	11614.76	USD	-11,128.56	
27JUL17	S	10	PD240CT17	10130.00	11614.76	USD	-89,085.60	
28JUL17	B	1	PD240CT17	10200.83	11614.76	USD	8,483.58	
28JUL17	S	3	PD240CT17	10199.83	11614.76	USD	-25,468.74	
03AUG17	S	4	PD240CT17	10376.17	11614.76	USD	-29,726.16	
10AUG17	S	12	PD240CT17	10975.02	11614.76	USD	-46,061.28	
15AUG17	B	2	PD240CT17	10337.25	11614.76	USD	15,330.12	
	NET	0AVG BGHT 9690.94	SOLD 10017.77				141,192.48	0.00
25JUL17	S	1	PD250CT17	9745.00	11615.61	USD	-11,223.66	
25JUL17	S	2	PD250CT17	9760.00	11615.61	USD	-22,267.32	
25JUL17	B	2	PD250CT17	9795.00	11615.61	USD	21,847.32	
25JUL17	B	1	PD250CT17	9790.00	11615.61	USD	10,953.66	
25JUL17	B	1	PD250CT17	9800.00	11615.61	USD	10,893.66	
25JUL17	B	4	PD250CT17	9815.00	11615.61	USD	43,214.64	
25JUL17	B	1	PD250CT17	9820.00	11615.61	USD	10,773.66	
25JUL17	S	3	PD250CT17	9845.00	11615.61	USD	-31,870.98	
25JUL17	B	1	PD250CT17	9840.00	11615.61	USD	10,653.66	
25JUL17	B	4	PD250CT17	9855.00	11615.61	USD	42,254.64	
25JUL17	S	5	PD250CT17	9840.00	11615.61	USD	-53,268.30	
25JUL17	B	4	PD250CT17	9835.00	11615.61	USD	42,734.64	
25JUL17	B	1	PD250CT17	9850.00	11615.61	USD	10,593.66	
25JUL17	B	4	PD250CT17	9860.00	11615.61	USD	42,134.64	
25JUL17	S	6	PD250CT17	9875.00	11615.61	USD	-62,661.96	
25JUL17	S	2	PD250CT17	9880.00	11615.61	USD	-20,827.32	
25JUL17	B	2	PD250CT17	9870.00	11615.61	USD	20,947.32	
25JUL17	B	1	PD250CT17	9875.00	11615.61	USD	10,443.66	
25JUL17	B	1	PD250CT17	9890.00	11615.61	USD	10,353.66	
25JUL17	B	5	PD250CT17	9905.00	11615.61	USD	51,318.30	
25JUL17	S	1	PD250CT17	9940.00	11615.61	USD	-10,053.66	
25JUL17	S	1	PD250CT17	9835.00	11615.61	USD	-10,683.66	
25JUL17	S	1	PD250CT17	9895.00	11615.61	USD	-10,323.66	
25JUL17	B	1	PD250CT17	9895.00	11615.61	USD	10,323.66	
25JUL17	B	1	PD250CT17	9900.00	11615.61	USD	10,293.66	

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
25JUL17	S	5	PD250CT17	9925.00	11615.61	USD	-50,718.30	
25JUL17	B	2	PD250CT17	9915.00	11615.61	USD	20,407.32	
25JUL17	S	1	PD250CT17	9915.00	11615.61	USD	-10,203.66	
25JUL17	B	1	PD250CT17	9925.00	11615.61	USD	10,143.66	
25JUL17	S	1	PD250CT17	9930.00	11615.61	USD	-10,113.66	
25JUL17	S	4	PD250CT17	9920.00	11615.61	USD	-40,694.64	
25JUL17	B	1	PD250CT17	9945.00	11615.61	USD	10,023.66	
25JUL17	B	1	PD250CT17	9930.00	11615.61	USD	10,113.66	
25JUL17	S	6	PD250CT17	9945.00	11615.61	USD	-60,141.96	
25JUL17	B	4	PD250CT17	9950.00	11615.61	USD	39,974.64	
25JUL17	S	6	PD250CT17	9950.00	11615.61	USD	-59,961.96	
25JUL17	S	4	PD250CT17	9960.00	11615.61	USD	-39,734.64	
25JUL17	S	9	PD250CT17	9955.00	11615.61	USD	-89,672.94	
25JUL17	S	2	PD250CT17	9975.00	11615.61	USD	-19,687.32	
25JUL17	S	1	PD250CT17	9970.00	11615.61	USD	-9,873.66	
25JUL17	S	2	PD250CT17	9985.00	11615.61	USD	-19,567.32	
25JUL17	S	1	PD250CT17	10000.00	11615.61	USD	-9,693.66	
25JUL17	S	2	PD250CT17	10005.00	11615.61	USD	-19,327.32	
25JUL17	S	3	PD250CT17	9995.00	11615.61	USD	-29,170.98	
25JUL17	S	1	PD250CT17	10015.00	11615.61	USD	-9,603.66	
25JUL17	S	1	PD250CT17	10005.00	11615.61	USD	-9,663.66	
27JUL17	S	2	PD250CT17	10130.00	11615.61	USD	-17,827.32	
27JUL17	B	10	PD250CT17	10131.00	11615.61	USD	89,076.60	
28JUL17	B	5	PD250CT17	10200.89	11615.61	USD	42,441.60	
03AUG17	B	5	PD250CT17	10377.94	11615.61	USD	37,130.10	
09AUG17	B	2	PD250CT17	10753.50	11615.61	USD	10,345.32	
09AUG17	S	3	PD250CT17	10752.50	11615.61	USD	-15,535.98	
10AUG17	B	12	PD250CT17	10976.61	11615.61	USD	46,008.00	
17AUG17	S	1	PD250CT17	10712.87	11615.61	USD	-5,416.44	
	NET	0AVG BGHT 10153.71	SOLD 9971.04				-84,390.60	0.00
26JUL17	S	1	PD260CT17	9925.00	11616.46	USD	-10,148.76	
26JUL17	S	1	PD260CT17	10035.00	11616.46	USD	-9,488.76	
26JUL17	B	1	PD260CT17	10025.00	11616.46	USD	9,548.76	
26JUL17	S	1	PD260CT17	10070.00	11616.46	USD	-9,278.76	
26JUL17	S	3	PD260CT17	10060.00	11616.46	USD	-28,016.28	
26JUL17	S	1	PD260CT17	10030.00	11616.46	USD	-9,518.76	
26JUL17	B	4	PD260CT17	9925.00	11616.46	USD	40,595.04	
26JUL17	B	2	PD260CT17	9915.00	11616.46	USD	20,417.52	
26JUL17	S	3	PD260CT17	9910.00	11616.46	USD	-30,716.28	
26JUL17	B	1	PD260CT17	9895.00	11616.46	USD	10,328.76	
26JUL17	S	1	PD260CT17	9900.00	11616.46	USD	-10,298.76	
26JUL17	S	4	PD260CT17	9965.00	11616.46	USD	-39,635.04	
26JUL17	S	1	PD260CT17	9985.00	11616.46	USD	-9,788.76	
26JUL17	B	4	PD260CT17	9940.00	11616.46	USD	40,235.04	
26JUL17	S	1	PD260CT17	9940.00	11616.46	USD	-10,058.76	
26JUL17	B	4	PD260CT17	9945.00	11616.46	USD	40,115.04	
26JUL17	B	3	PD260CT17	9960.00	11616.46	USD	29,816.28	
26JUL17	B	3	PD260CT17	9950.00	11616.46	USD	29,996.28	
26JUL17	B	3	PD260CT17	9955.00	11616.46	USD	29,906.28	
26JUL17	S	8	PD260CT17	9960.00	11616.46	USD	-79,510.08	
26JUL17	B	1	PD260CT17	9935.00	11616.46	USD	10,088.76	
26JUL17	S	5	PD260CT17	9945.00	11616.46	USD	-50,143.80	
26JUL17	S	3	PD260CT17	9930.00	11616.46	USD	-30,356.28	
26JUL17	S	4	PD260CT17	9935.00	11616.46	USD	-40,355.04	
26JUL17	S	1	PD260CT17	9950.00	11616.46	USD	-9,998.76	
26JUL17	S	3	PD260CT17	9955.00	11616.46	USD	-29,906.28	
26JUL17	B	2	PD260CT17	9985.00	11616.46	USD	19,577.52	

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26JUL17	S	2	PD26OCT17	9990.00	11616.46	USD	-19,517.52	
26JUL17	S	4	PD26OCT17	9995.00	11616.46	USD	-38,915.04	
26JUL17	B	2	PD26OCT17	10035.00	11616.46	USD	18,977.52	
26JUL17	B	1	PD26OCT17	10040.00	11616.46	USD	9,458.76	
26JUL17	S	1	PD26OCT17	9940.00	11616.46	USD	-10,058.76	
26JUL17	B	2	PD26OCT17	9940.00	11616.46	USD	20,117.52	
26JUL17	S	1	PD26OCT17	9930.00	11616.46	USD	-10,118.76	
26JUL17	S	6	PD26OCT17	10015.00	11616.46	USD	-57,652.56	
26JUL17	S	2	PD26OCT17	10045.00	11616.46	USD	-18,857.52	
26JUL17	B	1	PD26OCT17	10020.00	11616.46	USD	9,578.76	
26JUL17	B	2	PD26OCT17	10015.00	11616.46	USD	19,217.52	
26JUL17	B	2	PD26OCT17	10010.00	11616.46	USD	19,277.52	
26JUL17	B	1	PD26OCT17	10005.00	11616.46	USD	9,668.76	
26JUL17	B	3	PD26OCT17	10000.00	11616.46	USD	29,096.28	
26JUL17	B	1	PD26OCT17	9995.00	11616.46	USD	9,728.76	
26JUL17	S	5	PD26OCT17	9975.00	11616.46	USD	-49,243.80	
26JUL17	S	5	PD26OCT17	9980.00	11616.46	USD	-49,093.80	
26JUL17	S	12	PD26OCT17	9970.00	11616.46	USD	-118,545.12	
26JUL17	S	1	PD26OCT17	9980.00	11616.46	USD	-9,818.76	
27JUL17	B	14	PD26OCT17	10131.00	11616.46	USD	124,778.64	
28JUL17	B	3	PD26OCT17	10200.94	11616.46	USD	25,479.36	
28JUL17	S	2	PD26OCT17	10199.94	11616.46	USD	-16,998.24	
09AUG17	B	2	PD26OCT17	10754.00	11616.46	USD	10,349.52	
10AUG17	B	5	PD26OCT17	10977.20	11616.46	USD	19,177.80	
10AUG17	B	12	PD26OCT17	10976.20	11616.46	USD	46,098.72	
15AUG17	B	2	PD26OCT17	10338.50	11616.46	USD	15,335.52	
17AUG17	B	1	PD26OCT17	10714.54	11616.46	USD	5,411.52	
	NET	0 AVG BGHT 10249.84	SOLD 9978.17				-133,661.28	0.00
27JUL17	B	5	PD27OCT17	10025.00	11617.31	USD	47,769.30	
27JUL17	B	1	PD27OCT17	10005.00	11617.31	USD	9,673.86	
27JUL17	B	9	PD27OCT17	10020.00	11617.31	USD	86,254.74	
27JUL17	B	5	PD27OCT17	10010.00	11617.31	USD	48,219.30	
27JUL17	B	3	PD27OCT17	9995.00	11617.31	USD	29,201.58	
27JUL17	B	1	PD27OCT17	9985.00	11617.31	USD	9,793.86	
27JUL17	B	7	PD27OCT17	10000.00	11617.31	USD	67,927.02	
27JUL17	B	8	PD27OCT17	10015.00	11617.31	USD	76,910.88	
27JUL17	B	3	PD27OCT17	10040.00	11617.31	USD	28,391.58	
27JUL17	S	3	PD27OCT17	10045.00	11617.31	USD	-28,301.58	
27JUL17	S	3	PD27OCT17	10050.00	11617.31	USD	-28,211.58	
27JUL17	S	1	PD27OCT17	10060.00	11617.31	USD	-9,343.86	
27JUL17	S	1	PD27OCT17	10075.00	11617.31	USD	-9,253.86	
27JUL17	S	4	PD27OCT17	10090.00	11617.31	USD	-36,655.44	
27JUL17	B	1	PD27OCT17	10080.00	11617.31	USD	9,223.86	
27JUL17	S	1	PD27OCT17	10095.00	11617.31	USD	-9,133.86	
27JUL17	B	1	PD27OCT17	10115.00	11617.31	USD	9,013.86	
27JUL17	B	1	PD27OCT17	10110.00	11617.31	USD	9,043.86	
27JUL17	S	1	PD27OCT17	10115.00	11617.31	USD	-9,013.86	
27JUL17	S	1	PD27OCT17	10165.00	11617.31	USD	-8,713.86	
27JUL17	B	3	PD27OCT17	10180.00	11617.31	USD	25,871.58	
27JUL17	B	1	PD27OCT17	10165.00	11617.31	USD	8,713.86	
27JUL17	S	1	PD27OCT17	10170.00	11617.31	USD	-8,683.86	
27JUL17	S	2	PD27OCT17	9995.00	11617.31	USD	-19,467.72	
27JUL17	S	3	PD27OCT17	10040.00	11617.31	USD	-28,391.58	
27JUL17	S	3	PD27OCT17	10145.00	11617.31	USD	-26,501.58	
27JUL17	S	3	PD27OCT17	10150.00	11617.31	USD	-26,411.58	
27JUL17	B	5	PD27OCT17	10145.00	11617.31	USD	44,169.30	
27JUL17	S	1	PD27OCT17	10135.00	11617.31	USD	-8,893.86	

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27JUL17	B	1	PD27OCT17	10135.00	11617.31	USD	8,893.86	
27JUL17	S	1	PD27OCT17	10130.00	11617.31	USD	-8,923.86	
27JUL17	S	4	PD27OCT17	10140.00	11617.31	USD	-35,455.44	
27JUL17	S	1	PD27OCT17	10160.00	11617.31	USD	-8,743.86	
27JUL17	B	9	PD27OCT17	10185.00	11617.31	USD	77,344.74	
27JUL17	S	2	PD27OCT17	10210.00	11617.31	USD	-16,887.72	
27JUL17	B	4	PD27OCT17	10190.00	11617.31	USD	34,255.44	
27JUL17	B	2	PD27OCT17	10200.00	11617.31	USD	17,007.72	
27JUL17	B	6	PD27OCT17	10140.00	11617.31	USD	53,183.16	
27JUL17	B	1	PD27OCT17	10150.00	11617.31	USD	8,803.86	
27JUL17	S	3	PD27OCT17	10140.00	11617.31	USD	-26,591.58	
27JUL17	S	1	PD27OCT17	10125.00	11617.31	USD	-8,953.86	
27JUL17	S	2	PD27OCT17	10150.00	11617.31	USD	-17,607.72	
27JUL17	S	2	PD27OCT17	10155.00	11617.31	USD	-17,547.72	
27JUL17	S	3	PD27OCT17	10185.00	11617.31	USD	-25,781.58	
27JUL17	S	10	PD27OCT17	10190.00	11617.31	USD	-85,638.60	
27JUL17	S	10	PD27OCT17	10185.00	11617.31	USD	-85,938.60	
27JUL17	S	8	PD27OCT17	10170.00	11617.31	USD	-69,470.88	
27JUL17	S	5	PD27OCT17	10175.00	11617.31	USD	-43,269.30	
27JUL17	B	1	PD27OCT17	10155.00	11617.31	USD	8,773.86	
27JUL17	B	2	PD27OCT17	10130.00	11617.31	USD	17,847.72	
27JUL17	S	3	PD27OCT17	10180.00	11617.31	USD	-25,871.58	
27JUL17	S	7	PD27OCT17	10190.00	11617.31	USD	-59,947.02	
27JUL17	S	3	PD27OCT17	10155.00	11617.31	USD	-26,321.58	
27JUL17	B	5	PD27OCT17	10160.00	11617.31	USD	43,719.30	
28JUL17	B	1	PD27OCT17	10150.00	11617.31	USD	8,803.86	
28JUL17	B	2	PD27OCT17	10155.00	11617.31	USD	17,547.72	
28JUL17	S	1	PD27OCT17	10150.00	11617.31	USD	-8,803.86	
28JUL17	S	1	PD27OCT17	10090.00	11617.31	USD	-9,163.86	
28JUL17	B	1	PD27OCT17	10095.00	11617.31	USD	9,133.86	
28JUL17	S	2	PD27OCT17	10060.00	11617.31	USD	-18,687.72	
28JUL17	B	3	PD27OCT17	10045.00	11617.31	USD	28,301.58	
28JUL17	B	2	PD27OCT17	10055.00	11617.31	USD	18,747.72	
28JUL17	S	1	PD27OCT17	10025.00	11617.31	USD	-9,553.86	
28JUL17	B	1	PD27OCT17	10035.00	11617.31	USD	9,493.86	
28JUL17	B	4	PD27OCT17	10050.00	11617.31	USD	37,615.44	
28JUL17	B	1	PD27OCT17	10110.00	11617.31	USD	9,043.86	
28JUL17	B	1	PD27OCT17	10120.00	11617.31	USD	8,983.86	
28JUL17	B	1	PD27OCT17	10130.00	11617.31	USD	8,923.86	
28JUL17	S	1	PD27OCT17	10120.00	11617.31	USD	-8,983.86	
28JUL17	S	2	PD27OCT17	10105.00	11617.31	USD	-18,147.72	
28JUL17	B	1	PD27OCT17	10145.00	11617.31	USD	8,833.86	
28JUL17	B	2	PD27OCT17	10140.00	11617.31	USD	17,727.72	
28JUL17	B	1	PD27OCT17	10160.00	11617.31	USD	8,743.86	
28JUL17	S	1	PD27OCT17	10155.00	11617.31	USD	-8,773.86	
28JUL17	B	1	PD27OCT17	10155.00	11617.31	USD	8,773.86	
28JUL17	B	1	PD27OCT17	10130.00	11617.31	USD	8,923.86	
28JUL17	B	1	PD27OCT17	10145.00	11617.31	USD	8,833.86	
28JUL17	S	2	PD27OCT17	10215.00	11617.31	USD	-16,827.72	
28JUL17	S	2	PD27OCT17	10230.00	11617.31	USD	-16,647.72	
28JUL17	S	2	PD27OCT17	10240.00	11617.31	USD	-16,527.72	
28JUL17	B	3	PD27OCT17	10245.00	11617.31	USD	24,701.58	
28JUL17	B	7	PD27OCT17	10255.00	11617.31	USD	57,217.02	
28JUL17	S	2	PD27OCT17	10245.00	11617.31	USD	-16,467.72	
28JUL17	B	2	PD27OCT17	10250.00	11617.31	USD	16,407.72	
28JUL17	S	4	PD27OCT17	10285.00	11617.31	USD	-31,975.44	
28JUL17	S	7	PD27OCT17	10280.00	11617.31	USD	-56,167.02	

OPEN POSITION STATEMENT



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SAP0094X

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
28JUL17	B	1	PD27OCT17	10270.00	11617.31	USD	8,083.86	
28JUL17	B	1	PD27OCT17	10265.00	11617.31	USD	8,113.86	
28JUL17	B	2	PD27OCT17	10240.00	11617.31	USD	16,527.72	
28JUL17	S	5	PD27OCT17	10220.00	11617.31	USD	-41,919.30	
28JUL17	B	2	PD27OCT17	10200.00	11617.31	USD	17,007.72	
28JUL17	B	1	PD27OCT17	10195.00	11617.31	USD	8,533.86	
28JUL17	S	1	PD27OCT17	10185.00	11617.31	USD	-8,593.86	
28JUL17	B	1	PD27OCT17	10210.00	11617.31	USD	8,443.86	
28JUL17	B	1	PD27OCT17	10245.00	11617.31	USD	8,233.86	
28JUL17	S	3	PD27OCT17	10245.00	11617.31	USD	-24,701.58	
28JUL17	B	1	PD27OCT17	10255.00	11617.31	USD	8,173.86	
28JUL17	S	2	PD27OCT17	10225.00	11617.31	USD	-16,707.72	
28JUL17	S	6	PD27OCT17	10225.00	11617.31	USD	-50,123.16	
28JUL17	S	6	PD27OCT17	10255.00	11617.31	USD	-49,043.16	
28JUL17	S	4	PD27OCT17	10275.00	11617.31	USD	-32,215.44	
28JUL17	B	2	PD27OCT17	10235.00	11617.31	USD	16,587.72	
28JUL17	B	2	PD27OCT17	10230.00	11617.31	USD	16,647.72	
28JUL17	B	2	PD27OCT17	10225.00	11617.31	USD	16,707.72	
28JUL17	B	1	PD27OCT17	10220.00	11617.31	USD	8,383.86	
28JUL17	B	1	PD27OCT17	10215.00	11617.31	USD	8,413.86	
28JUL17	B	3	PD27OCT17	10205.00	11617.31	USD	25,421.58	
28JUL17	S	3	PD27OCT17	10250.00	11617.31	USD	-24,611.58	
28JUL17	S	1	PD27OCT17	10260.00	11617.31	USD	-8,143.86	
28JUL17	S	2	PD27OCT17	10265.00	11617.31	USD	-16,227.72	
28JUL17	B	5	PD27OCT17	10201.00	11617.31	USD	42,489.30	
03AUG17	B	7	PD27OCT17	10379.50	11617.31	USD	51,988.02	
07AUG17	B	1	PD27OCT17	10395.05	11617.31	USD	7,333.56	
09AUG17	B	2	PD27OCT17	10754.50	11617.31	USD	10,353.72	
10AUG17	S	2	PD27OCT17	10976.78	11617.31	USD	-7,686.36	
10AUG17	S	6	PD27OCT17	10975.78	11617.31	USD	-23,095.08	
14AUG17	B	8	PD27OCT17	10439.33	11617.31	USD	56,543.04	
15AUG17	S	3	PD27OCT17	10338.13	11617.31	USD	-23,025.24	
05SEP17	B	2	PD27OCT17	12055.65	11617.31	USD	-5,260.08	
12SEP17	S	2	PD27OCT17	11949.00	11617.31	USD	3,980.28	
	NET	0 AVG BGHT 10184.68	SOLD 10241.29				56,724.84	0.00
31JUL17	B	1	PD31OCT17	10300.00	11620.72	USD	7,924.32	
31JUL17	B	2	PD31OCT17	10350.00	11620.72	USD	15,248.64	
31JUL17	B	1	PD31OCT17	10305.00	11620.72	USD	7,894.32	
31JUL17	B	3	PD31OCT17	10325.00	11620.72	USD	23,322.96	
31JUL17	B	3	PD31OCT17	10320.00	11620.72	USD	23,412.96	
31JUL17	B	1	PD31OCT17	10285.00	11620.72	USD	8,014.32	
31JUL17	S	3	PD31OCT17	10300.00	11620.72	USD	-23,772.96	
31JUL17	S	4	PD31OCT17	10315.00	11620.72	USD	-31,337.28	
31JUL17	B	3	PD31OCT17	10315.00	11620.72	USD	23,502.96	
31JUL17	B	4	PD31OCT17	10290.00	11620.72	USD	31,937.28	
31JUL17	B	2	PD31OCT17	10295.00	11620.72	USD	15,908.64	
31JUL17	S	3	PD31OCT17	10230.00	11620.72	USD	-25,032.96	
31JUL17	S	2	PD31OCT17	10265.00	11620.72	USD	-16,268.64	
31JUL17	S	3	PD31OCT17	10270.00	11620.72	USD	-24,312.96	
31JUL17	B	1	PD31OCT17	10320.00	11620.72	USD	7,804.32	
31JUL17	S	1	PD31OCT17	10320.00	11620.72	USD	-7,804.32	
31JUL17	B	2	PD31OCT17	10275.00	11620.72	USD	16,148.64	
31JUL17	S	1	PD31OCT17	10250.00	11620.72	USD	-8,224.32	
31JUL17	S	2	PD31OCT17	10245.00	11620.72	USD	-16,508.64	
31JUL17	S	3	PD31OCT17	10260.00	11620.72	USD	-24,492.96	
31JUL17	S	4	PD31OCT17	10240.00	11620.72	USD	-33,137.28	
31JUL17	B	2	PD31OCT17	10180.00	11620.72	USD	17,288.64	

OPEN POSITION STATEMENT



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Suite A, 105 Piccadilly
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backoffice@sapiencap.com

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
31JUL17	S	2	PD31OCT17	10280.00	11620.72	USD	-16,088.64	
31JUL17	S	4	PD31OCT17	10275.00	11620.72	USD	-32,297.28	
31JUL17	B	8	PD31OCT17	10280.00	11620.72	USD	64,354.56	
31JUL17	B	14	PD31OCT17	10275.00	11620.72	USD	113,040.48	
31JUL17	B	2	PD31OCT17	10270.00	11620.72	USD	16,208.64	
31JUL17	B	3	PD31OCT17	10255.00	11620.72	USD	24,582.96	
31JUL17	B	5	PD31OCT17	10250.00	11620.72	USD	41,121.60	
31JUL17	B	3	PD31OCT17	10245.00	11620.72	USD	24,762.96	
31JUL17	B	1	PD31OCT17	10240.00	11620.72	USD	8,284.32	
31JUL17	B	4	PD31OCT17	10225.00	11620.72	USD	33,497.28	
31JUL17	B	1	PD31OCT17	10220.00	11620.72	USD	8,404.32	
31JUL17	B	5	PD31OCT17	10230.00	11620.72	USD	41,721.60	
31JUL17	S	1	PD31OCT17	10265.00	11620.72	USD	-8,134.32	
31JUL17	B	5	PD31OCT17	10235.00	11620.72	USD	41,571.60	
31JUL17	B	3	PD31OCT17	10215.00	11620.72	USD	25,302.96	
31JUL17	S	2	PD31OCT17	10225.00	11620.72	USD	-16,748.64	
31JUL17	S	3	PD31OCT17	10220.00	11620.72	USD	-25,212.96	
31JUL17	S	2	PD31OCT17	10225.00	11620.72	USD	-16,748.64	
03AUG17	S	2	PD31OCT17	10379.36	11620.72	USD	-14,896.32	
07AUG17	S	11	PD31OCT17	10396.85	11620.72	USD	-80,775.42	
08AUG17	S	2	PD31OCT17	10625.76	11620.72	USD	-11,939.52	
08AUG17	S	2	PD31OCT17	10626.76	11620.72	USD	-11,927.52	
09AUG17	S	6	PD31OCT17	10755.50	11620.72	USD	-31,147.92	
10AUG17	S	6	PD31OCT17	10978.13	11620.72	USD	-23,133.24	
10AUG17	S	8	PD31OCT17	10979.13	11620.72	USD	-30,796.32	
22AUG17	S	1	PD31OCT17	11404.12	11620.72	USD	-1,299.60	
	NET	+1AVG BGHT 10267.85	SOLD 10483.89				109,222.62	0.00
01AUG17	B	2	PD01NOV17	10205.00	11621.57	USD	16,998.84	
01AUG17	S	4	PD01NOV17	10125.00	11621.57	USD	-35,917.68	
01AUG17	S	2	PD01NOV17	10110.00	11621.57	USD	-18,138.84	
01AUG17	B	1	PD01NOV17	10105.00	11621.57	USD	9,099.42	
01AUG17	B	2	PD01NOV17	10120.00	11621.57	USD	18,018.84	
01AUG17	B	4	PD01NOV17	10130.00	11621.57	USD	35,797.68	
01AUG17	B	2	PD01NOV17	10150.00	11621.57	USD	17,658.84	
01AUG17	S	2	PD01NOV17	10105.00	11621.57	USD	-18,198.84	
01AUG17	S	4	PD01NOV17	10095.00	11621.57	USD	-36,637.68	
01AUG17	S	1	PD01NOV17	10115.00	11621.57	USD	-9,039.42	
01AUG17	B	1	PD01NOV17	10105.00	11621.57	USD	9,099.42	
01AUG17	S	2	PD01NOV17	10115.00	11621.57	USD	-18,078.84	
01AUG17	B	1	PD01NOV17	10150.00	11621.57	USD	8,829.42	
01AUG17	S	1	PD01NOV17	10215.00	11621.57	USD	-8,439.42	
01AUG17	S	10	PD01NOV17	10210.00	11621.57	USD	-84,694.20	
01AUG17	S	16	PD01NOV17	10205.00	11621.57	USD	-135,990.72	
01AUG17	S	10	PD01NOV17	10200.00	11621.57	USD	-85,294.20	
01AUG17	B	9	PD01NOV17	10195.00	11621.57	USD	77,034.78	
01AUG17	S	6	PD01NOV17	10190.00	11621.57	USD	-51,536.52	
01AUG17	B	2	PD01NOV17	10190.00	11621.57	USD	17,178.84	
01AUG17	S	4	PD01NOV17	10175.00	11621.57	USD	-34,717.68	
01AUG17	S	3	PD01NOV17	10195.00	11621.57	USD	-25,678.26	
01AUG17	S	1	PD01NOV17	10180.00	11621.57	USD	-8,649.42	
01AUG17	S	4	PD01NOV17	10185.00	11621.57	USD	-34,477.68	
01AUG17	S	1	PD01NOV17	10240.00	11621.57	USD	-8,289.42	
01AUG17	S	2	PD01NOV17	10200.00	11621.57	USD	-17,058.84	
01AUG17	S	2	PD01NOV17	10190.00	11621.57	USD	-17,178.84	
01AUG17	B	1	PD01NOV17	10195.00	11621.57	USD	8,559.42	
01AUG17	S	2	PD01NOV17	10295.00	11621.57	USD	-15,918.84	
01AUG17	S	2	PD01NOV17	10300.00	11621.57	USD	-15,858.84	

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01AUG17	S	1	PD01NOV17	10245.00	11621.57	USD	-8,259.42	
01AUG17	S	2	PD01NOV17	10255.00	11621.57	USD	-16,398.84	
01AUG17	S	2	PD01NOV17	10260.00	11621.57	USD	-16,338.84	
01AUG17	S	5	PD01NOV17	10265.00	11621.57	USD	-40,697.10	
01AUG17	S	4	PD01NOV17	10280.00	11621.57	USD	-32,197.68	
01AUG17	B	1	PD01NOV17	10255.00	11621.57	USD	8,199.42	
03AUG17	B	2	PD01NOV17	10380.57	11621.57	USD	14,892.00	
07AUG17	B	11	PD01NOV17	10398.30	11621.57	USD	80,735.82	
08AUG17	B	2	PD01NOV17	10628.17	11621.57	USD	11,920.80	
08AUG17	B	5	PD01NOV17	10627.17	11621.57	USD	29,832.00	
09AUG17	B	11	PD01NOV17	10757.00	11621.57	USD	57,061.62	
09AUG17	B	5	PD01NOV17	10756.00	11621.57	USD	25,967.10	
10AUG17	B	8	PD01NOV17	10980.72	11621.57	USD	30,760.80	
10AUG17	B	5	PD01NOV17	10979.72	11621.57	USD	19,255.50	
14AUG17	B	8	PD01NOV17	10442.57	11621.57	USD	56,592.00	
15AUG17	B	3	PD01NOV17	10342.25	11621.57	USD	23,027.76	
12SEP17	B	7	PD01NOV17	11954.00	11621.57	USD	-13,962.06	
	NET	0AVG BGHT 10613.40	SOLD 10199.19				-231,127.80	0.00
02AUG17	B	1	PD02NOV17	10185.00	11622.43	USD	8,624.58	
02AUG17	S	2	PD02NOV17	10150.00	11622.43	USD	-17,669.16	
02AUG17	B	2	PD02NOV17	10145.00	11622.43	USD	17,729.16	
02AUG17	B	3	PD02NOV17	10205.00	11622.43	USD	25,513.74	
02AUG17	S	2	PD02NOV17	10190.00	11622.43	USD	-17,189.16	
02AUG17	B	1	PD02NOV17	10170.00	11622.43	USD	8,714.58	
02AUG17	S	1	PD02NOV17	10200.00	11622.43	USD	-8,534.58	
02AUG17	S	2	PD02NOV17	10255.00	11622.43	USD	-16,409.16	
02AUG17	S	2	PD02NOV17	10260.00	11622.43	USD	-16,349.16	
02AUG17	S	2	PD02NOV17	10265.00	11622.43	USD	-16,289.16	
02AUG17	B	1	PD02NOV17	10245.00	11622.43	USD	8,264.58	
02AUG17	S	3	PD02NOV17	10240.00	11622.43	USD	-24,883.74	
02AUG17	S	5	PD02NOV17	10245.00	11622.43	USD	-41,322.90	
02AUG17	B	10	PD02NOV17	10215.00	11622.43	USD	84,445.80	
02AUG17	B	5	PD02NOV17	10220.00	11622.43	USD	42,072.90	
02AUG17	B	1	PD02NOV17	10250.00	11622.43	USD	8,234.58	
02AUG17	B	2	PD02NOV17	10265.00	11622.43	USD	16,289.16	
02AUG17	S	2	PD02NOV17	10285.00	11622.43	USD	-16,049.16	
02AUG17	B	2	PD02NOV17	10270.00	11622.43	USD	16,229.16	
02AUG17	B	1	PD02NOV17	10275.00	11622.43	USD	8,084.58	
02AUG17	S	2	PD02NOV17	10310.00	11622.43	USD	-15,749.16	
02AUG17	S	1	PD02NOV17	10335.00	11622.43	USD	-7,724.58	
02AUG17	B	2	PD02NOV17	10330.00	11622.43	USD	15,509.16	
02AUG17	B	1	PD02NOV17	10325.00	11622.43	USD	7,784.58	
02AUG17	B	1	PD02NOV17	10335.00	11622.43	USD	7,724.58	
08AUG17	S	2	PD02NOV17	10626.57	11622.43	USD	-11,950.32	
09AUG17	S	3	PD02NOV17	10755.50	11622.43	USD	-15,604.74	
09AUG17	S	2	PD02NOV17	10756.50	11622.43	USD	-10,391.16	
10AUG17	S	1	PD02NOV17	10979.30	11622.43	USD	-3,858.78	
17AUG17	S	1	PD02NOV17	10718.25	11622.43	USD	-5,425.08	
	NET	0AVG BGHT 10232.42	SOLD 10383.04				29,821.14	0.00
03AUG17	S	1	PD03NOV17	10445.00	11623.28	USD	-7,069.68	
03AUG17	B	2	PD03NOV17	10425.00	11623.28	USD	14,379.36	
03AUG17	S	2	PD03NOV17	10430.00	11623.28	USD	-14,319.36	
03AUG17	S	2	PD03NOV17	10425.00	11623.28	USD	-14,379.36	
03AUG17	B	2	PD03NOV17	10435.00	11623.28	USD	14,259.36	
03AUG17	B	1	PD03NOV17	10430.00	11623.28	USD	7,159.68	
03AUG17	S	1	PD03NOV17	10435.00	11623.28	USD	-7,129.68	
03AUG17	S	2	PD03NOV17	10395.00	11623.28	USD	-14,739.36	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0094X

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
03AUG17	S	1	PD03NOV17	10335.00	11623.28	USD	-7,729.68	
03AUG17	S	3	PD03NOV17	10315.00	11623.28	USD	-23,549.04	
03AUG17	S	3	PD03NOV17	10275.00	11623.28	USD	-24,269.04	
03AUG17	S	1	PD03NOV17	10265.00	11623.28	USD	-8,149.68	
03AUG17	B	1	PD03NOV17	10260.00	11623.28	USD	8,179.68	
03AUG17	B	1	PD03NOV17	10270.00	11623.28	USD	8,119.68	
03AUG17	S	1	PD03NOV17	10425.00	11623.28	USD	-7,189.68	
03AUG17	S	1	PD03NOV17	10280.00	11623.28	USD	-8,059.68	
03AUG17	B	1	PD03NOV17	10270.00	11623.28	USD	8,119.68	
03AUG17	B	2	PD03NOV17	10300.00	11623.28	USD	15,879.36	
03AUG17	S	4	PD03NOV17	10300.00	11623.28	USD	-31,758.72	
03AUG17	S	3	PD03NOV17	10305.00	11623.28	USD	-23,729.04	
03AUG17	S	4	PD03NOV17	10295.00	11623.28	USD	-31,878.72	
03AUG17	S	1	PD03NOV17	10285.00	11623.28	USD	-8,029.68	
03AUG17	B	5	PD03NOV17	10290.00	11623.28	USD	39,998.40	
03AUG17	B	4	PD03NOV17	10285.00	11623.28	USD	32,118.72	
03AUG17	B	1	PD03NOV17	10280.00	11623.28	USD	8,059.68	
03AUG17	B	1	PD03NOV17	10325.00	11623.28	USD	7,789.68	
03AUG17	S	1	PD03NOV17	10350.00	11623.28	USD	-7,639.68	
03AUG17	B	1	PD03NOV17	10375.00	11623.28	USD	7,489.68	
04AUG17	B	2	PD03NOV17	10325.00	11623.28	USD	15,579.36	
04AUG17	B	1	PD03NOV17	10375.00	11623.28	USD	7,489.68	
04AUG17	B	1	PD03NOV17	10400.00	11623.28	USD	7,339.68	
04AUG17	B	1	PD03NOV17	10395.00	11623.28	USD	7,369.68	
04AUG17	S	1	PD03NOV17	10380.00	11623.28	USD	-7,459.68	
04AUG17	S	2	PD03NOV17	10385.00	11623.28	USD	-14,859.36	
04AUG17	B	5	PD03NOV17	10380.00	11623.28	USD	37,298.40	
04AUG17	B	4	PD03NOV17	10390.00	11623.28	USD	29,598.72	
04AUG17	B	2	PD03NOV17	10385.00	11623.28	USD	14,859.36	
04AUG17	B	1	PD03NOV17	10365.00	11623.28	USD	7,549.68	
04AUG17	S	4	PD03NOV17	10310.00	11623.28	USD	-31,518.72	
04AUG17	B	1	PD03NOV17	10305.00	11623.28	USD	7,909.68	
04AUG17	S	1	PD03NOV17	10325.00	11623.28	USD	-7,789.68	
04AUG17	B	1	PD03NOV17	10345.00	11623.28	USD	7,669.68	
04AUG17	B	2	PD03NOV17	10355.00	11623.28	USD	15,219.36	
04AUG17	S	1	PD03NOV17	10340.00	11623.28	USD	-7,699.68	
04AUG17	S	1	PD03NOV17	10300.00	11623.28	USD	-7,939.68	
04AUG17	S	5	PD03NOV17	10315.00	11623.28	USD	-39,248.40	
04AUG17	B	2	PD03NOV17	10290.00	11623.28	USD	15,999.36	
04AUG17	B	1	PD03NOV17	10250.00	11623.28	USD	8,239.68	
04AUG17	B	1	PD03NOV17	10245.00	11623.28	USD	8,269.68	
04AUG17	B	1	PD03NOV17	10235.00	11623.28	USD	8,329.68	
04AUG17	B	1	PD03NOV17	10240.00	11623.28	USD	8,299.68	
04AUG17	B	1	PD03NOV17	10205.00	11623.28	USD	8,509.68	
04AUG17	S	2	PD03NOV17	10205.00	11623.28	USD	-17,019.36	
04AUG17	B	2	PD03NOV17	10215.00	11623.28	USD	16,899.36	
04AUG17	B	1	PD03NOV17	10200.00	11623.28	USD	8,539.68	
04AUG17	S	1	PD03NOV17	10275.00	11623.28	USD	-8,089.68	
04AUG17	B	2	PD03NOV17	10200.00	11623.28	USD	17,079.36	
04AUG17	S	1	PD03NOV17	10295.00	11623.28	USD	-7,969.68	
04AUG17	S	2	PD03NOV17	10285.00	11623.28	USD	-16,059.36	
04AUG17	S	3	PD03NOV17	10290.00	11623.28	USD	-23,999.04	
04AUG17	B	1	PD03NOV17	10280.00	11623.28	USD	8,059.68	
04AUG17	B	3	PD03NOV17	10270.00	11623.28	USD	24,359.04	
04AUG17	B	1	PD03NOV17	10265.00	11623.28	USD	8,149.68	
04AUG17	B	2	PD03NOV17	10320.00	11623.28	USD	15,639.36	
04AUG17	B	2	PD03NOV17	10310.00	11623.28	USD	15,759.36	

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
04AUG17	S	4	PD03NOV17	10305.00	11623.28	USD	-31,638.72	
04AUG17	B	1	PD03NOV17	10275.00	11623.28	USD	8,089.68	
07AUG17	S	1	PD03NOV17	10397.20	11623.28	USD	-7,356.48	
08AUG17	S	2	PD03NOV17	10626.98	11623.28	USD	-11,955.60	
09AUG17	B	1	PD03NOV17	10758.00	11623.28	USD	5,191.68	
10AUG17	B	2	PD03NOV17	10981.89	11623.28	USD	7,696.68	
17AUG17	S	5	PD03NOV17	10718.92	11623.28	USD	-27,130.80	
22AUG17	B	1	PD03NOV17	11406.19	11623.28	USD	1,302.54	
12SEP17	S	2	PD03NOV17	11955.00	11623.28	USD	3,980.64	
	NET	0AVG	BGHT 10357.94	SOLD 10407.40			20,476.74	0.00
07AUG17	S	2	PD07NOV17	10290.00	11626.69	USD	-16,040.28	
07AUG17	S	2	PD07NOV17	10355.00	11626.69	USD	-15,260.28	
07AUG17	S	1	PD07NOV17	10330.00	11626.69	USD	-7,780.14	
07AUG17	B	1	PD07NOV17	10400.00	11626.69	USD	7,360.14	
07AUG17	S	2	PD07NOV17	10300.00	11626.69	USD	-15,920.28	
07AUG17	S	3	PD07NOV17	10335.00	11626.69	USD	-23,250.42	
07AUG17	S	1	PD07NOV17	10410.00	11626.69	USD	-7,300.14	
07AUG17	S	1	PD07NOV17	10295.00	11626.69	USD	-7,990.14	
07AUG17	B	2	PD07NOV17	10375.00	11626.69	USD	15,020.28	
07AUG17	B	5	PD07NOV17	10345.00	11626.69	USD	38,450.70	
07AUG17	S	1	PD07NOV17	10360.00	11626.69	USD	-7,600.14	
07AUG17	B	3	PD07NOV17	10340.00	11626.69	USD	23,160.42	
07AUG17	B	3	PD07NOV17	10370.00	11626.69	USD	22,620.42	
07AUG17	B	1	PD07NOV17	10390.00	11626.69	USD	7,420.14	
07AUG17	B	7	PD07NOV17	10350.00	11626.69	USD	53,620.98	
07AUG17	B	1	PD07NOV17	10355.00	11626.69	USD	7,630.14	
07AUG17	B	4	PD07NOV17	10385.00	11626.69	USD	29,800.56	
07AUG17	B	3	PD07NOV17	10380.00	11626.69	USD	22,440.42	
07AUG17	B	3	PD07NOV17	10365.00	11626.69	USD	22,710.42	
07AUG17	B	6	PD07NOV17	10360.00	11626.69	USD	45,600.84	
07AUG17	S	3	PD07NOV17	10380.00	11626.69	USD	-22,440.42	
07AUG17	S	1	PD07NOV17	10385.00	11626.69	USD	-7,450.14	
08AUG17	S	1	PD07NOV17	10628.60	11626.69	USD	-5,988.54	
09AUG17	S	4	PD07NOV17	10759.00	11626.69	USD	-20,824.56	
09AUG17	S	2	PD07NOV17	10758.00	11626.69	USD	-10,424.28	
10AUG17	S	4	PD07NOV17	10982.24	11626.69	USD	-15,466.80	
22AUG17	S	2	PD07NOV17	11408.96	11626.69	USD	-2,612.76	
05SEP17	S	1	PD07NOV17	12063.54	11626.69	USD	2,621.10	
11SEP17	S	4	PD07NOV17	11740.62	11626.69	USD	2,734.32	
12SEP17	S	4	PD07NOV17	11959.00	11626.69	USD	7,975.44	
	NET	0AVG	BGHT 10362.44	SOLD 10887.29			122,817.00	0.00
08AUG17	B	2	PD08NOV17	10355.00	11627.54	USD	15,270.48	
08AUG17	B	2	PD08NOV17	10360.00	11627.54	USD	15,210.48	
08AUG17	B	1	PD08NOV17	10375.00	11627.54	USD	7,515.24	
08AUG17	S	2	PD08NOV17	10290.00	11627.54	USD	-16,050.48	
08AUG17	B	2	PD08NOV17	10295.00	11627.54	USD	15,990.48	
08AUG17	B	1	PD08NOV17	10340.00	11627.54	USD	7,725.24	
08AUG17	B	3	PD08NOV17	10310.00	11627.54	USD	23,715.72	
08AUG17	B	8	PD08NOV17	10290.00	11627.54	USD	64,201.92	
08AUG17	S	1	PD08NOV17	10280.00	11627.54	USD	-8,085.24	
08AUG17	S	10	PD08NOV17	10295.00	11627.54	USD	-79,952.40	
08AUG17	B	1	PD08NOV17	10305.00	11627.54	USD	7,935.24	
08AUG17	B	1	PD08NOV17	10295.00	11627.54	USD	7,995.24	
08AUG17	S	1	PD08NOV17	10330.00	11627.54	USD	-7,785.24	
08AUG17	B	3	PD08NOV17	10330.00	11627.54	USD	23,355.72	
08AUG17	S	2	PD08NOV17	10335.00	11627.54	USD	-15,510.48	
08AUG17	S	6	PD08NOV17	10330.00	11627.54	USD	-46,711.44	

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
08AUG17	S	10	PD08NOV17	10325.00	11627.54	USD	-78,152.40	
08AUG17	B	1	PD08NOV17	10365.00	11627.54	USD	7,575.24	
08AUG17	S	1	PD08NOV17	10370.00	11627.54	USD	-7,545.24	
08AUG17	S	5	PD08NOV17	10340.00	11627.54	USD	-38,626.20	
08AUG17	B	3	PD08NOV17	10350.00	11627.54	USD	22,995.72	
08AUG17	S	1	PD08NOV17	10350.00	11627.54	USD	-7,665.24	
08AUG17	S	1	PD08NOV17	10355.00	11627.54	USD	-7,635.24	
08AUG17	S	4	PD08NOV17	10360.00	11627.54	USD	-30,420.96	
08AUG17	S	3	PD08NOV17	10365.00	11627.54	USD	-22,725.72	
08AUG17	B	3	PD08NOV17	10395.00	11627.54	USD	22,185.72	
08AUG17	B	1	PD08NOV17	10425.00	11627.54	USD	7,215.24	
08AUG17	B	2	PD08NOV17	10445.00	11627.54	USD	14,190.48	
08AUG17	B	2	PD08NOV17	10480.00	11627.54	USD	13,770.48	
08AUG17	B	2	PD08NOV17	10475.00	11627.54	USD	13,830.48	
08AUG17	S	1	PD08NOV17	10470.00	11627.54	USD	-6,945.24	
08AUG17	B	2	PD08NOV17	10490.00	11627.54	USD	13,650.48	
08AUG17	B	1	PD08NOV17	10515.00	11627.54	USD	6,675.24	
08AUG17	B	1	PD08NOV17	10530.00	11627.54	USD	6,585.24	
08AUG17	B	1	PD08NOV17	10525.00	11627.54	USD	6,615.24	
08AUG17	S	2	PD08NOV17	10535.00	11627.54	USD	-13,110.48	
08AUG17	B	2	PD08NOV17	10585.00	11627.54	USD	12,510.48	
08AUG17	S	2	PD08NOV17	10595.00	11627.54	USD	-12,390.48	
08AUG17	S	1	PD08NOV17	10605.00	11627.54	USD	-6,135.24	
08AUG17	B	2	PD08NOV17	10565.00	11627.54	USD	12,750.48	
08AUG17	B	1	PD08NOV17	10580.00	11627.54	USD	6,285.24	
08AUG17	S	2	PD08NOV17	10630.00	11627.54	USD	-11,970.48	
08AUG17	B	1	PD08NOV17	10625.00	11627.54	USD	6,015.24	
08AUG17	B	1	PD08NOV17	10610.00	11627.54	USD	6,105.24	
08AUG17	B	2	PD08NOV17	10605.00	11627.54	USD	12,270.48	
08AUG17	S	1	PD08NOV17	10600.00	11627.54	USD	-6,165.24	
08AUG17	S	1	PD08NOV17	10625.00	11627.54	USD	-6,015.24	
08AUG17	S	1	PD08NOV17	10620.00	11627.54	USD	-6,045.24	
08AUG17	B	2	PD08NOV17	10640.00	11627.54	USD	11,850.48	
08AUG17	B	1	PD08NOV17	10645.00	11627.54	USD	5,895.24	
08AUG17	B	1	PD08NOV17	10650.00	11627.54	USD	5,865.24	
08AUG17	B	2	PD08NOV17	10670.00	11627.54	USD	11,490.48	
08AUG17	B	2	PD08NOV17	10680.00	11627.54	USD	11,370.48	
08AUG17	B	3	PD08NOV17	10690.00	11627.54	USD	16,875.72	
08AUG17	S	1	PD08NOV17	10695.00	11627.54	USD	-5,595.24	
08AUG17	S	1	PD08NOV17	10720.00	11627.54	USD	-5,445.24	
08AUG17	B	1	PD08NOV17	10735.00	11627.54	USD	5,355.24	
08AUG17	B	1	PD08NOV17	10760.00	11627.54	USD	5,205.24	
08AUG17	B	2	PD08NOV17	10755.00	11627.54	USD	10,470.48	
08AUG17	B	2	PD08NOV17	10745.00	11627.54	USD	10,590.48	
08AUG17	S	1	PD08NOV17	10715.00	11627.54	USD	-5,475.24	
09AUG17	B	6	PD08NOV17	10760.50	11627.54	USD	31,213.44	
17AUG17	S	1	PD08NOV17	10722.29	11627.54	USD	-5,431.50	
22AUG17	S	3	PD08NOV17	11409.65	11627.54	USD	-3,922.02	
05SEP17	S	2	PD08NOV17	12064.35	11627.54	USD	5,241.72	
11SEP17	S	2	PD08NOV17	11741.54	11627.54	USD	1,368.00	
12SEP17	S	3	PD08NOV17	11961.00	11627.54	USD	6,002.28	
15SEP17	S	3	PD08NOV17	11059.25	11627.54	USD	-10,229.22	
	NET	0 AVG BGHT 10502.37	SOLD 10607.25				47,194.62	0.00
09AUG17	S	2	PD09NOV17	10790.00	11628.39	USD	-10,060.68	
09AUG17	S	2	PD09NOV17	10750.00	11628.39	USD	-10,540.68	
09AUG17	S	1	PD09NOV17	10760.00	11628.39	USD	-5,210.34	
09AUG17	S	1	PD09NOV17	10690.00	11628.39	USD	-5,630.34	

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09AUG17	S	2	PD09NOV17	10710.00	11628.39	USD	-11,020.68	
09AUG17	B	1	PD09NOV17	10785.00	11628.39	USD	5,060.34	
09AUG17	B	3	PD09NOV17	10810.00	11628.39	USD	14,731.02	
09AUG17	B	1	PD09NOV17	10835.00	11628.39	USD	4,760.34	
09AUG17	B	2	PD09NOV17	10805.00	11628.39	USD	9,880.68	
09AUG17	B	1	PD09NOV17	10795.00	11628.39	USD	5,000.34	
09AUG17	B	1	PD09NOV17	10750.00	11628.39	USD	5,270.34	
09AUG17	B	2	PD09NOV17	10800.00	11628.39	USD	9,940.68	
09AUG17	B	1	PD09NOV17	10725.00	11628.39	USD	5,420.34	
09AUG17	B	1	PD09NOV17	10690.00	11628.39	USD	5,630.34	
09AUG17	S	1	PD09NOV17	10690.00	11628.39	USD	-5,630.34	
09AUG17	S	3	PD09NOV17	10740.00	11628.39	USD	-15,991.02	
09AUG17	B	4	PD09NOV17	10770.00	11628.39	USD	20,601.36	
09AUG17	S	3	PD09NOV17	10765.00	11628.39	USD	-15,541.02	
09AUG17	S	1	PD09NOV17	10785.00	11628.39	USD	-5,060.34	
09AUG17	S	1	PD09NOV17	10795.00	11628.39	USD	-5,000.34	
09AUG17	S	3	PD09NOV17	10820.00	11628.39	USD	-14,551.02	
09AUG17	S	3	PD09NOV17	10730.00	11628.39	USD	-16,171.02	
09AUG17	S	2	PD09NOV17	10725.00	11628.39	USD	-10,840.68	
09AUG17	S	1	PD09NOV17	10755.00	11628.39	USD	-5,240.34	
09AUG17	S	2	PD09NOV17	10735.00	11628.39	USD	-10,720.68	
09AUG17	B	5	PD09NOV17	10755.00	11628.39	USD	26,201.70	
09AUG17	S	1	PD09NOV17	10775.00	11628.39	USD	-5,120.34	
09AUG17	B	1	PD09NOV17	10735.00	11628.39	USD	5,360.34	
09AUG17	B	1	PD09NOV17	10745.00	11628.39	USD	5,300.34	
09AUG17	B	3	PD09NOV17	10740.00	11628.39	USD	15,991.02	
09AUG17	B	1	PD09NOV17	10730.00	11628.39	USD	5,390.34	
17AUG17	B	1	PD09NOV17	10722.96	11628.39	USD	5,432.58	
17AUG17	B	4	PD09NOV17	10723.96	11628.39	USD	21,706.32	
05SEP17	S	1	PD09NOV17	12065.15	11628.39	USD	2,620.56	
12SEP17	S	1	PD09NOV17	11961.00	11628.39	USD	1,995.66	
12SEP17	S	2	PD09NOV17	11962.00	11628.39	USD	4,003.32	
	NET	0AVG BGHT 10761.33	SOLD 10902.58				27,968.10	0.00
10AUG17	B	1	PD10NOV17	10765.00	11629.24	USD	5,185.44	
10AUG17	S	1	PD10NOV17	10770.00	11629.24	USD	-5,155.44	
10AUG17	S	5	PD10NOV17	10830.00	11629.24	USD	-23,977.20	
10AUG17	S	1	PD10NOV17	10815.00	11629.24	USD	-4,885.44	
10AUG17	S	1	PD10NOV17	10820.00	11629.24	USD	-4,855.44	
10AUG17	S	2	PD10NOV17	10800.00	11629.24	USD	-9,950.88	
10AUG17	S	2	PD10NOV17	10785.00	11629.24	USD	-10,130.88	
10AUG17	S	1	PD10NOV17	10805.00	11629.24	USD	-4,945.44	
10AUG17	S	1	PD10NOV17	10795.00	11629.24	USD	-5,005.44	
10AUG17	B	1	PD10NOV17	10805.00	11629.24	USD	4,945.44	
10AUG17	S	1	PD10NOV17	10825.00	11629.24	USD	-4,825.44	
10AUG17	S	2	PD10NOV17	10810.00	11629.24	USD	-9,830.88	
10AUG17	B	1	PD10NOV17	10835.00	11629.24	USD	4,765.44	
10AUG17	B	2	PD10NOV17	10795.00	11629.24	USD	10,010.88	
10AUG17	S	2	PD10NOV17	10720.00	11629.24	USD	-10,910.88	
10AUG17	S	1	PD10NOV17	10735.00	11629.24	USD	-5,365.44	
10AUG17	S	1	PD10NOV17	10740.00	11629.24	USD	-5,335.44	
10AUG17	B	2	PD10NOV17	10770.00	11629.24	USD	10,310.88	
10AUG17	B	3	PD10NOV17	10760.00	11629.24	USD	15,646.32	
10AUG17	B	14	PD10NOV17	10780.00	11629.24	USD	71,336.16	
10AUG17	B	7	PD10NOV17	10775.00	11629.24	USD	35,878.08	
10AUG17	S	2	PD10NOV17	10790.00	11629.24	USD	-10,070.88	
10AUG17	B	2	PD10NOV17	10855.00	11629.24	USD	9,290.88	
10AUG17	B	3	PD10NOV17	10850.00	11629.24	USD	14,026.32	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0094X

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
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backoffice@sapiencap.com

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FINANCIAL CONDUCT AUTHORITY

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
10AUG17	B	2	PD10NOV17	10835.00	11629.24	USD	9,530.88	
10AUG17	S	5	PD10NOV17	10930.00	11629.24	USD	-20,977.20	
10AUG17	S	2	PD10NOV17	10925.00	11629.24	USD	-8,450.88	
10AUG17	S	3	PD10NOV17	10940.00	11629.24	USD	-12,406.32	
10AUG17	B	1	PD10NOV17	10955.00	11629.24	USD	4,045.44	
10AUG17	B	2	PD10NOV17	10950.00	11629.24	USD	8,150.88	
10AUG17	B	1	PD10NOV17	10930.00	11629.24	USD	4,195.44	
10AUG17	S	1	PD10NOV17	10910.00	11629.24	USD	-4,315.44	
10AUG17	S	3	PD10NOV17	10900.00	11629.24	USD	-13,126.32	
10AUG17	S	1	PD10NOV17	10885.00	11629.24	USD	-4,465.44	
10AUG17	B	1	PD10NOV17	10890.00	11629.24	USD	4,435.44	
11AUG17	S	1	PD10NOV17	10805.00	11629.24	USD	-4,945.44	
11AUG17	S	2	PD10NOV17	10830.00	11629.24	USD	-9,590.88	
11AUG17	S	1	PD10NOV17	10840.00	11629.24	USD	-4,735.44	
11AUG17	S	2	PD10NOV17	10815.00	11629.24	USD	-9,770.88	
11AUG17	S	2	PD10NOV17	10810.00	11629.24	USD	-9,830.88	
11AUG17	S	2	PD10NOV17	10845.00	11629.24	USD	-9,410.88	
11AUG17	S	4	PD10NOV17	10835.00	11629.24	USD	-19,061.76	
11AUG17	S	4	PD10NOV17	10820.00	11629.24	USD	-19,421.76	
11AUG17	B	1	PD10NOV17	10820.00	11629.24	USD	4,855.44	
11AUG17	S	1	PD10NOV17	10740.00	11629.24	USD	-5,335.44	
11AUG17	B	1	PD10NOV17	10760.00	11629.24	USD	5,215.44	
11AUG17	S	4	PD10NOV17	10735.00	11629.24	USD	-21,461.76	
11AUG17	B	1	PD10NOV17	10740.00	11629.24	USD	5,335.44	
11AUG17	B	1	PD10NOV17	10745.00	11629.24	USD	5,305.44	
11AUG17	B	1	PD10NOV17	10750.00	11629.24	USD	5,275.44	
11AUG17	S	3	PD10NOV17	10745.00	11629.24	USD	-15,916.32	
11AUG17	S	1	PD10NOV17	10750.00	11629.24	USD	-5,275.44	
11AUG17	S	1	PD10NOV17	10755.00	11629.24	USD	-5,245.44	
11AUG17	S	2	PD10NOV17	10715.00	11629.24	USD	-10,970.88	
11AUG17	B	2	PD10NOV17	10715.00	11629.24	USD	10,970.88	
11AUG17	B	1	PD10NOV17	10710.00	11629.24	USD	5,515.44	
11AUG17	S	1	PD10NOV17	10675.00	11629.24	USD	-5,725.44	
11AUG17	S	3	PD10NOV17	10665.00	11629.24	USD	-17,356.32	
11AUG17	S	2	PD10NOV17	10670.00	11629.24	USD	-11,510.88	
11AUG17	S	1	PD10NOV17	10620.00	11629.24	USD	-6,055.44	
11AUG17	S	4	PD10NOV17	10625.00	11629.24	USD	-24,101.76	
11AUG17	S	1	PD10NOV17	10635.00	11629.24	USD	-5,965.44	
11AUG17	S	9	PD10NOV17	10630.00	11629.24	USD	-53,958.96	
11AUG17	B	1	PD10NOV17	10600.00	11629.24	USD	6,175.44	
11AUG17	B	3	PD10NOV17	10665.00	11629.24	USD	17,356.32	
11AUG17	S	4	PD10NOV17	10640.00	11629.24	USD	-23,741.76	
11AUG17	B	1	PD10NOV17	10635.00	11629.24	USD	5,965.44	
11AUG17	B	1	PD10NOV17	10595.00	11629.24	USD	6,205.44	
11AUG17	S	2	PD10NOV17	10700.00	11629.24	USD	-11,150.88	
11AUG17	S	1	PD10NOV17	10745.00	11629.24	USD	-5,305.44	
11AUG17	S	1	PD10NOV17	10640.00	11629.24	USD	-5,935.44	
11AUG17	S	1	PD10NOV17	10630.00	11629.24	USD	-5,995.44	
11AUG17	S	1	PD10NOV17	10675.00	11629.24	USD	-5,725.44	
11AUG17	S	1	PD10NOV17	10670.00	11629.24	USD	-5,755.44	
11AUG17	B	2	PD10NOV17	10610.00	11629.24	USD	12,230.88	
11AUG17	B	1	PD10NOV17	10650.00	11629.24	USD	5,875.44	
11AUG17	S	1	PD10NOV17	10655.00	11629.24	USD	-5,845.44	
11AUG17	B	2	PD10NOV17	10695.00	11629.24	USD	11,210.88	
11AUG17	B	9	PD10NOV17	10685.00	11629.24	USD	50,988.96	
11AUG17	B	1	PD10NOV17	10675.00	11629.24	USD	5,725.44	
11AUG17	B	4	PD10NOV17	10670.00	11629.24	USD	23,021.76	

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11AUG17	S	1	PD10NOV17	10660.00	11629.24	USD	-5,815.44	
11AUG17	B	5	PD10NOV17	10655.00	11629.24	USD	29,227.20	
11AUG17	B	1	PD10NOV17	10645.00	11629.24	USD	5,905.44	
11AUG17	B	1	PD10NOV17	10625.00	11629.24	USD	6,025.44	
11AUG17	B	1	PD10NOV17	10620.00	11629.24	USD	6,055.44	
11AUG17	B	1	PD10NOV17	10585.00	11629.24	USD	6,265.44	
11AUG17	B	1	PD10NOV17	10560.00	11629.24	USD	6,415.44	
11AUG17	B	1	PD10NOV17	10550.00	11629.24	USD	6,475.44	
11AUG17	B	1	PD10NOV17	10645.00	11629.24	USD	5,905.44	
11AUG17	B	1	PD10NOV17	10650.00	11629.24	USD	5,875.44	
11AUG17	B	1	PD10NOV17	10655.00	11629.24	USD	5,845.44	
11AUG17	B	2	PD10NOV17	10660.00	11629.24	USD	11,630.88	
11AUG17	B	1	PD10NOV17	10640.00	11629.24	USD	5,935.44	
11AUG17	B	2	PD10NOV17	10630.00	11629.24	USD	11,990.88	
17AUG17	B	4	PD10NOV17	10724.63	11629.24	USD	21,710.64	
17AUG17	S	1	PD10NOV17	10722.63	11629.24	USD	-5,439.66	
22AUG17	B	2	PD10NOV17	11412.04	11629.24	USD	2,606.40	
23AUG17	S	1	PD10NOV17	11653.44	11629.24	USD	145.20	
05SEP17	S	2	PD10NOV17	12065.96	11629.24	USD	5,240.64	
11SEP17	B	4	PD10NOV17	11744.38	11629.24	USD	-2,763.36	
12SEP17	B	1	PD10NOV17	11963.00	11629.24	USD	-2,002.56	
	NET	0	AVG BGHT 10792.62	SOLD 10795.97			2,129.22	0.00
14AUG17	S	1	PD14NOV17	10615.00	11632.65	USD	-6,105.90	
14AUG17	B	1	PD14NOV17	10610.00	11632.65	USD	6,135.90	
14AUG17	S	1	PD14NOV17	10635.00	11632.65	USD	-5,985.90	
14AUG17	B	1	PD14NOV17	10660.00	11632.65	USD	5,835.90	
14AUG17	S	6	PD14NOV17	10645.00	11632.65	USD	-35,555.40	
14AUG17	B	7	PD14NOV17	10650.00	11632.65	USD	41,271.30	
14AUG17	S	1	PD14NOV17	10640.00	11632.65	USD	-5,955.90	
14AUG17	S	3	PD14NOV17	10650.00	11632.65	USD	-17,687.70	
14AUG17	B	2	PD14NOV17	10600.00	11632.65	USD	12,391.80	
14AUG17	S	1	PD14NOV17	10640.00	11632.65	USD	-5,955.90	
14AUG17	S	1	PD14NOV17	10545.00	11632.65	USD	-6,525.90	
14AUG17	S	1	PD14NOV17	10550.00	11632.65	USD	-6,495.90	
14AUG17	S	3	PD14NOV17	10505.00	11632.65	USD	-20,297.70	
14AUG17	S	1	PD14NOV17	10510.00	11632.65	USD	-6,735.90	
14AUG17	S	6	PD14NOV17	10500.00	11632.65	USD	-40,775.40	
14AUG17	S	7	PD14NOV17	10495.00	11632.65	USD	-47,781.30	
14AUG17	S	2	PD14NOV17	10480.00	11632.65	USD	-13,831.80	
14AUG17	S	1	PD14NOV17	10485.00	11632.65	USD	-6,885.90	
14AUG17	B	1	PD14NOV17	10495.00	11632.65	USD	6,825.90	
14AUG17	B	1	PD14NOV17	10485.00	11632.65	USD	6,885.90	
14AUG17	B	5	PD14NOV17	10490.00	11632.65	USD	34,279.50	
14AUG17	B	2	PD14NOV17	10500.00	11632.65	USD	13,591.80	
14AUG17	B	1	PD14NOV17	10480.00	11632.65	USD	6,915.90	
14AUG17	B	1	PD14NOV17	10455.00	11632.65	USD	7,065.90	
14AUG17	B	1	PD14NOV17	10510.00	11632.65	USD	6,735.90	
14AUG17	B	1	PD14NOV17	10515.00	11632.65	USD	6,705.90	
14AUG17	S	5	PD14NOV17	10415.00	11632.65	USD	-36,529.50	
14AUG17	S	2	PD14NOV17	10410.00	11632.65	USD	-14,671.80	
14AUG17	B	6	PD14NOV17	10420.00	11632.65	USD	43,655.40	
14AUG17	S	1	PD14NOV17	10420.00	11632.65	USD	-7,275.90	
14AUG17	S	2	PD14NOV17	10430.00	11632.65	USD	-14,431.80	
14AUG17	S	1	PD14NOV17	10425.00	11632.65	USD	-7,245.90	
14AUG17	S	1	PD14NOV17	10435.00	11632.65	USD	-7,185.90	
14AUG17	S	3	PD14NOV17	10445.00	11632.65	USD	-21,377.70	
14AUG17	S	8	PD14NOV17	10440.00	11632.65	USD	-57,247.20	

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14AUG17	B	1	PD14NOV17	10405.00	11632.65	USD	7,365.90	
14AUG17	B	2	PD14NOV17	10410.00	11632.65	USD	14,671.80	
14AUG17	B	3	PD14NOV17	10440.00	11632.65	USD	21,467.70	
14AUG17	B	2	PD14NOV17	10435.00	11632.65	USD	14,371.80	
14AUG17	S	1	PD14NOV17	10435.00	11632.65	USD	-7,185.90	
17AUG17	B	2	PD14NOV17	10726.33	11632.65	USD	10,875.84	
17AUG17	S	1	PD14NOV17	10726.33	11632.65	USD	-5,437.92	
17AUG17	B	5	PD14NOV17	10727.33	11632.65	USD	27,159.60	
22AUG17	B	2	PD14NOV17	11414.81	11632.65	USD	2,614.08	
05SEP17	B	4	PD14NOV17	12070.19	11632.65	USD	-10,500.96	
11SEP17	B	1	PD14NOV17	11747.08	11632.65	USD	-686.58	
11SEP17	B	2	PD14NOV17	11748.08	11632.65	USD	-1,385.16	
12SEP17	B	2	PD14NOV17	11968.00	11632.65	USD	-4,024.20	
12SEP17	B	4	PD14NOV17	11967.00	11632.65	USD	-8,024.40	
	NET	0AVG BGHT 10876.53	SOLD 10507.19				-132,963.60	0.00
15AUG17	S	2	PD15NOV17	10360.00	11633.50	USD	-15,282.00	
15AUG17	B	1	PD15NOV17	10430.00	11633.50	USD	7,221.00	
15AUG17	B	1	PD15NOV17	10460.00	11633.50	USD	7,041.00	
15AUG17	B	1	PD15NOV17	10450.00	11633.50	USD	7,101.00	
15AUG17	S	7	PD15NOV17	10405.00	11633.50	USD	-51,597.00	
15AUG17	B	2	PD15NOV17	10390.00	11633.50	USD	14,922.00	
15AUG17	S	1	PD15NOV17	10420.00	11633.50	USD	-7,281.00	
15AUG17	B	3	PD15NOV17	10415.00	11633.50	USD	21,933.00	
15AUG17	S	6	PD15NOV17	10415.00	11633.50	USD	-43,866.00	
15AUG17	B	2	PD15NOV17	10420.00	11633.50	USD	14,562.00	
15AUG17	B	3	PD15NOV17	10405.00	11633.50	USD	22,113.00	
15AUG17	B	4	PD15NOV17	10410.00	11633.50	USD	29,364.00	
15AUG17	B	13	PD15NOV17	10400.00	11633.50	USD	96,213.00	
15AUG17	B	3	PD15NOV17	10395.00	11633.50	USD	22,293.00	
15AUG17	B	2	PD15NOV17	10385.00	11633.50	USD	14,982.00	
15AUG17	B	6	PD15NOV17	10380.00	11633.50	USD	45,126.00	
15AUG17	B	3	PD15NOV17	10350.00	11633.50	USD	23,103.00	
15AUG17	B	2	PD15NOV17	10360.00	11633.50	USD	15,282.00	
15AUG17	B	3	PD15NOV17	10370.00	11633.50	USD	22,743.00	
15AUG17	B	1	PD15NOV17	10365.00	11633.50	USD	7,611.00	
15AUG17	S	1	PD15NOV17	10365.00	11633.50	USD	-7,611.00	
15AUG17	S	6	PD15NOV17	10370.00	11633.50	USD	-45,486.00	
15AUG17	S	2	PD15NOV17	10355.00	11633.50	USD	-15,342.00	
15AUG17	B	2	PD15NOV17	10355.00	11633.50	USD	15,342.00	
15AUG17	S	1	PD15NOV17	10415.00	11633.50	USD	-7,311.00	
15AUG17	B	1	PD15NOV17	10415.00	11633.50	USD	7,311.00	
15AUG17	B	2	PD15NOV17	10360.00	11633.50	USD	15,282.00	
17AUG17	S	2	PD15NOV17	10726.00	11633.50	USD	-10,890.00	
17AUG17	S	11	PD15NOV17	10727.00	11633.50	USD	-59,829.00	
23AUG17	S	3	PD15NOV17	11657.00	11633.50	USD	423.00	
05SEP17	S	2	PD15NOV17	12070.00	11633.50	USD	5,238.00	
11SEP17	S	1	PD15NOV17	11747.00	11633.50	USD	681.00	
12SEP17	S	1	PD15NOV17	11967.00	11633.50	USD	2,001.00	
12SEP17	S	7	PD15NOV17	11968.00	11633.50	USD	14,049.00	
14SEP17	S	2	PD15NOV17	11177.00	11633.50	USD	-5,478.00	
	NET	0AVG BGHT 10392.45	SOLD 10883.25				161,964.00	0.00
16AUG17	B	1	PD16NOV17	10390.00	11634.19	USD	7,465.14	
16AUG17	S	3	PD16NOV17	10475.00	11634.19	USD	-20,865.42	
16AUG17	S	1	PD16NOV17	10555.00	11634.19	USD	-6,475.14	
16AUG17	S	1	PD16NOV17	10620.00	11634.19	USD	-6,085.14	
16AUG17	S	1	PD16NOV17	10590.00	11634.19	USD	-6,265.14	
16AUG17	S	2	PD16NOV17	10595.00	11634.19	USD	-12,470.28	

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16AUG17	S	2	PD16NOV17	10605.00	11634.19	USD	-12,350.28	
16AUG17	S	3	PD16NOV17	10600.00	11634.19	USD	-18,615.42	
16AUG17	S	2	PD16NOV17	10585.00	11634.19	USD	-12,590.28	
16AUG17	S	10	PD16NOV17	10575.00	11634.19	USD	-63,551.40	
16AUG17	S	5	PD16NOV17	10565.00	11634.19	USD	-32,075.70	
16AUG17	S	6	PD16NOV17	10560.00	11634.19	USD	-38,670.84	
16AUG17	B	14	PD16NOV17	10565.00	11634.19	USD	89,811.96	
16AUG17	S	4	PD16NOV17	10570.00	11634.19	USD	-25,540.56	
16AUG17	B	1	PD16NOV17	10580.00	11634.19	USD	6,325.14	
16AUG17	B	1	PD16NOV17	10575.00	11634.19	USD	6,355.14	
16AUG17	S	1	PD16NOV17	10615.00	11634.19	USD	-6,115.14	
16AUG17	S	6	PD16NOV17	10610.00	11634.19	USD	-36,870.84	
16AUG17	S	3	PD16NOV17	10655.00	11634.19	USD	-17,625.42	
16AUG17	S	1	PD16NOV17	10670.00	11634.19	USD	-5,785.14	
16AUG17	B	3	PD16NOV17	10685.00	11634.19	USD	17,085.42	
16AUG17	B	1	PD16NOV17	10680.00	11634.19	USD	5,725.14	
16AUG17	B	1	PD16NOV17	10690.00	11634.19	USD	5,665.14	
16AUG17	S	4	PD16NOV17	10730.00	11634.19	USD	-21,700.56	
16AUG17	S	2	PD16NOV17	10720.00	11634.19	USD	-10,970.28	
16AUG17	S	3	PD16NOV17	10750.00	11634.19	USD	-15,915.42	
16AUG17	S	1	PD16NOV17	10755.00	11634.19	USD	-5,275.14	
16AUG17	B	3	PD16NOV17	10755.00	11634.19	USD	15,825.42	
16AUG17	B	1	PD16NOV17	10745.00	11634.19	USD	5,335.14	
16AUG17	B	1	PD16NOV17	10740.00	11634.19	USD	5,365.14	
16AUG17	B	4	PD16NOV17	10715.00	11634.19	USD	22,060.56	
16AUG17	B	7	PD16NOV17	10730.00	11634.19	USD	37,975.98	
16AUG17	B	6	PD16NOV17	10725.00	11634.19	USD	32,730.84	
16AUG17	B	2	PD16NOV17	10720.00	11634.19	USD	10,970.28	
16AUG17	B	2	PD16NOV17	10565.00	11634.19	USD	12,830.28	
16AUG17	S	3	PD16NOV17	10565.00	11634.19	USD	-19,245.42	
16AUG17	B	1	PD16NOV17	10795.00	11634.19	USD	5,035.14	
16AUG17	S	1	PD16NOV17	10815.00	11634.19	USD	-4,915.14	
16AUG17	S	1	PD16NOV17	10825.00	11634.19	USD	-4,855.14	
16AUG17	S	4	PD16NOV17	10820.00	11634.19	USD	-19,540.56	
16AUG17	B	3	PD16NOV17	10770.00	11634.19	USD	15,555.42	
17AUG17	B	12	PD16NOV17	10726.00	11634.19	USD	65,389.68	
22AUG17	B	3	PD16NOV17	11413.50	11634.19	USD	3,972.42	
05SEP17	B	1	PD16NOV17	12070.00	11634.19	USD	-2,614.86	
11SEP17	B	2	PD16NOV17	11748.65	11634.19	USD	-1,373.52	
	NET	0AVG BGHT 10759.21	SOLD 10623.79				-56,878.80	0.00
17AUG17	B	1	PD17NOV17	10755.00	11634.87	USD	5,279.22	
17AUG17	B	2	PD17NOV17	10785.00	11634.87	USD	10,198.44	
17AUG17	S	1	PD17NOV17	10770.00	11634.87	USD	-5,189.22	
17AUG17	B	1	PD17NOV17	10825.00	11634.87	USD	4,859.22	
17AUG17	S	1	PD17NOV17	10850.00	11634.87	USD	-4,709.22	
17AUG17	B	1	PD17NOV17	10850.00	11634.87	USD	4,709.22	
17AUG17	S	1	PD17NOV17	10870.00	11634.87	USD	-4,589.22	
17AUG17	S	7	PD17NOV17	10865.00	11634.87	USD	-32,334.54	
17AUG17	S	1	PD17NOV17	10835.00	11634.87	USD	-4,799.22	
17AUG17	S	6	PD17NOV17	10830.00	11634.87	USD	-28,975.32	
17AUG17	S	1	PD17NOV17	10820.00	11634.87	USD	-4,889.22	
17AUG17	S	1	PD17NOV17	10805.00	11634.87	USD	-4,979.22	
17AUG17	S	1	PD17NOV17	10800.00	11634.87	USD	-5,009.22	
17AUG17	S	3	PD17NOV17	10785.00	11634.87	USD	-15,297.66	
17AUG17	S	1	PD17NOV17	10795.00	11634.87	USD	-5,039.22	
17AUG17	S	7	PD17NOV17	10790.00	11634.87	USD	-35,484.54	
17AUG17	B	2	PD17NOV17	10800.00	11634.87	USD	10,018.44	

OPEN POSITION STATEMENT



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SAP0094X

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Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
17AUG17	B	2	PD17NOV17	10725.00	11634.87	USD	10,918.44	
17AUG17	B	2	PD17NOV17	10795.00	11634.87	USD	10,078.44	
17AUG17	B	2	PD17NOV17	10800.00	11634.87	USD	10,018.44	
17AUG17	S	5	PD17NOV17	10810.00	11634.87	USD	-24,746.10	
17AUG17	S	3	PD17NOV17	10815.00	11634.87	USD	-14,757.66	
17AUG17	B	13	PD17NOV17	10770.00	11634.87	USD	67,459.86	
17AUG17	B	5	PD17NOV17	10760.00	11634.87	USD	26,246.10	
17AUG17	B	1	PD17NOV17	10725.00	11634.87	USD	5,459.22	
17AUG17	B	1	PD17NOV17	10720.00	11634.87	USD	5,489.22	
17AUG17	B	5	PD17NOV17	10780.00	11634.87	USD	25,646.10	
17AUG17	B	10	PD17NOV17	10775.00	11634.87	USD	51,592.20	
17AUG17	B	10	PD17NOV17	10765.00	11634.87	USD	52,192.20	
17AUG17	S	2	PD17NOV17	10750.00	11634.87	USD	-10,618.44	
17AUG17	B	11	PD17NOV17	10750.00	11634.87	USD	58,401.42	
17AUG17	B	1	PD17NOV17	10745.00	11634.87	USD	5,339.22	
17AUG17	B	7	PD17NOV17	10740.00	11634.87	USD	37,584.54	
17AUG17	B	9	PD17NOV17	10735.00	11634.87	USD	48,592.98	
17AUG17	S	1	PD17NOV17	10740.00	11634.87	USD	-5,369.22	
17AUG17	B	1	PD17NOV17	10685.00	11634.87	USD	5,699.22	
17AUG17	B	1	PD17NOV17	10665.00	11634.87	USD	5,819.22	
18AUG17	S	2	PD17NOV17	10630.00	11634.87	USD	-12,058.44	
18AUG17	S	2	PD17NOV17	10665.00	11634.87	USD	-11,638.44	
18AUG17	S	1	PD17NOV17	10710.00	11634.87	USD	-5,549.22	
18AUG17	S	4	PD17NOV17	10810.00	11634.87	USD	-19,796.88	
18AUG17	S	3	PD17NOV17	10815.00	11634.87	USD	-14,757.66	
18AUG17	B	1	PD17NOV17	10865.00	11634.87	USD	4,619.22	
18AUG17	B	5	PD17NOV17	10870.00	11634.87	USD	22,946.10	
18AUG17	B	6	PD17NOV17	10875.00	11634.87	USD	27,355.32	
18AUG17	B	14	PD17NOV17	10880.00	11634.87	USD	63,409.08	
18AUG17	S	2	PD17NOV17	10920.00	11634.87	USD	-8,578.44	
18AUG17	B	3	PD17NOV17	10920.00	11634.87	USD	12,867.66	
18AUG17	B	2	PD17NOV17	10945.00	11634.87	USD	8,278.44	
18AUG17	B	1	PD17NOV17	10960.00	11634.87	USD	4,049.22	
18AUG17	B	3	PD17NOV17	10970.00	11634.87	USD	11,967.66	
18AUG17	B	3	PD17NOV17	10975.00	11634.87	USD	11,877.66	
18AUG17	S	1	PD17NOV17	10995.00	11634.87	USD	-3,839.22	
18AUG17	S	1	PD17NOV17	11000.00	11634.87	USD	-3,809.22	
18AUG17	S	2	PD17NOV17	11005.00	11634.87	USD	-7,558.44	
18AUG17	S	1	PD17NOV17	11050.00	11634.87	USD	-3,509.22	
18AUG17	B	1	PD17NOV17	10995.00	11634.87	USD	3,839.22	
18AUG17	B	3	PD17NOV17	10915.00	11634.87	USD	12,957.66	
18AUG17	B	7	PD17NOV17	10910.00	11634.87	USD	30,444.54	
18AUG17	B	7	PD17NOV17	10860.00	11634.87	USD	32,544.54	
18AUG17	S	1	PD17NOV17	10870.00	11634.87	USD	-4,589.22	
18AUG17	S	1	PD17NOV17	10895.00	11634.87	USD	-4,439.22	
18AUG17	S	3	PD17NOV17	10890.00	11634.87	USD	-13,407.66	
18AUG17	B	2	PD17NOV17	10850.00	11634.87	USD	9,418.44	
18AUG17	S	5	PD17NOV17	10855.00	11634.87	USD	-23,396.10	
18AUG17	B	2	PD17NOV17	10845.00	11634.87	USD	9,478.44	
18AUG17	S	2	PD17NOV17	10850.00	11634.87	USD	-9,418.44	
18AUG17	S	5	PD17NOV17	10900.00	11634.87	USD	-22,046.10	
18AUG17	B	1	PD17NOV17	10855.00	11634.87	USD	4,679.22	
18AUG17	S	3	PD17NOV17	10930.00	11634.87	USD	-12,687.66	
18AUG17	B	1	PD17NOV17	10990.00	11634.87	USD	3,869.22	
18AUG17	S	2	PD17NOV17	10940.00	11634.87	USD	-8,338.44	
18AUG17	S	1	PD17NOV17	10950.00	11634.87	USD	-4,109.22	
18AUG17	B	1	PD17NOV17	10860.00	11634.87	USD	4,649.22	

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
18AUG17	S	1	PD17NOV17	11025.00	11634.87	USD	-3,659.22	
18AUG17	S	3	PD17NOV17	11020.00	11634.87	USD	-11,067.66	
18AUG17	B	1	PD17NOV17	11005.00	11634.87	USD	3,779.22	
18AUG17	S	1	PD17NOV17	10990.00	11634.87	USD	-3,869.22	
18AUG17	S	4	PD17NOV17	10975.00	11634.87	USD	-15,836.88	
18AUG17	S	3	PD17NOV17	10970.00	11634.87	USD	-11,967.66	
18AUG17	S	5	PD17NOV17	10960.00	11634.87	USD	-20,246.10	
18AUG17	S	1	PD17NOV17	10960.00	11634.87	USD	-4,049.22	
23AUG17	S	8	PD17NOV17	11655.63	11634.87	USD	996.48	
05SEP17	S	5	PD17NOV17	12069.58	11634.87	USD	13,041.30	
11SEP17	S	2	PD17NOV17	11748.31	11634.87	USD	1,361.28	
11SEP17	S	13	PD17NOV17	11749.31	11634.87	USD	8,926.32	
12SEP17	S	4	PD17NOV17	11968.63	11634.87	USD	8,010.24	
12SEP17	S	7	PD17NOV17	11969.63	11634.87	USD	14,059.92	
15SEP17	S	7	PD17NOV17	11067.60	11634.87	USD	-23,825.34	
	NET	+4 AVG BGHT 10818.39	SOLD 11129.87				296,191.20	0.00
21AUG17	B	2	PD21NOV17	11030.00	11637.61	USD	7,291.32	
21AUG17	S	1	PD21NOV17	11080.00	11637.61	USD	-3,345.66	
21AUG17	S	1	PD21NOV17	11130.00	11637.61	USD	-3,045.66	
21AUG17	S	3	PD21NOV17	11205.00	11637.61	USD	-7,786.98	
21AUG17	S	1	PD21NOV17	11210.00	11637.61	USD	-2,565.66	
21AUG17	S	2	PD21NOV17	11000.00	11637.61	USD	-7,651.32	
21AUG17	B	3	PD21NOV17	11095.00	11637.61	USD	9,766.98	
21AUG17	S	1	PD21NOV17	11185.00	11637.61	USD	-2,715.66	
21AUG17	S	1	PD21NOV17	11020.00	11637.61	USD	-3,705.66	
21AUG17	S	6	PD21NOV17	11125.00	11637.61	USD	-18,453.96	
21AUG17	B	1	PD21NOV17	11150.00	11637.61	USD	2,925.66	
21AUG17	B	3	PD21NOV17	11155.00	11637.61	USD	8,686.98	
21AUG17	S	3	PD21NOV17	11120.00	11637.61	USD	-9,316.98	
21AUG17	S	3	PD21NOV17	11015.00	11637.61	USD	-11,206.98	
21AUG17	S	4	PD21NOV17	11195.00	11637.61	USD	-10,622.64	
21AUG17	S	2	PD21NOV17	11105.00	11637.61	USD	-6,391.32	
21AUG17	S	1	PD21NOV17	11215.00	11637.61	USD	-2,535.66	
21AUG17	B	1	PD21NOV17	11215.00	11637.61	USD	2,535.66	
21AUG17	B	2	PD21NOV17	11170.00	11637.61	USD	5,611.32	
21AUG17	S	1	PD21NOV17	11190.00	11637.61	USD	-2,685.66	
21AUG17	B	1	PD21NOV17	11165.00	11637.61	USD	2,835.66	
21AUG17	B	2	PD21NOV17	11025.00	11637.61	USD	7,351.32	
21AUG17	S	1	PD21NOV17	11260.00	11637.61	USD	-2,265.66	
21AUG17	B	1	PD21NOV17	11275.00	11637.61	USD	2,175.66	
21AUG17	B	5	PD21NOV17	11245.00	11637.61	USD	11,778.30	
21AUG17	S	7	PD21NOV17	11250.00	11637.61	USD	-16,279.62	
21AUG17	S	2	PD21NOV17	11255.00	11637.61	USD	-4,591.32	
21AUG17	S	1	PD21NOV17	11235.00	11637.61	USD	-2,415.66	
21AUG17	S	6	PD21NOV17	11240.00	11637.61	USD	-14,313.96	
21AUG17	B	1	PD21NOV17	11240.00	11637.61	USD	2,385.66	
21AUG17	S	6	PD21NOV17	11245.00	11637.61	USD	-14,133.96	
21AUG17	S	2	PD21NOV17	11340.00	11637.61	USD	-3,571.32	
21AUG17	S	1	PD21NOV17	11345.00	11637.61	USD	-1,755.66	
21AUG17	S	3	PD21NOV17	11330.00	11637.61	USD	-5,536.98	
21AUG17	B	1	PD21NOV17	11345.00	11637.61	USD	1,755.66	
21AUG17	B	3	PD21NOV17	11315.00	11637.61	USD	5,806.98	
21AUG17	B	1	PD21NOV17	11285.00	11637.61	USD	2,115.66	
21AUG17	S	2	PD21NOV17	11315.00	11637.61	USD	-3,871.32	
21AUG17	S	2	PD21NOV17	11245.00	11637.61	USD	-4,711.32	
21AUG17	B	3	PD21NOV17	11310.00	11637.61	USD	5,896.98	
21AUG17	B	3	PD21NOV17	11300.00	11637.61	USD	6,076.98	

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21AUG17	B	8	PD21NOV17	11290.00	11637.61	USD	16,685.28	
21AUG17	B	5	PD21NOV17	11295.00	11637.61	USD	10,278.30	
21AUG17	S	1	PD21NOV17	11305.00	11637.61	USD	-1,995.66	
21AUG17	B	4	PD21NOV17	11265.00	11637.61	USD	8,942.64	
21AUG17	S	1	PD21NOV17	11265.00	11637.61	USD	-2,235.66	
21AUG17	S	3	PD21NOV17	11275.00	11637.61	USD	-6,526.98	
21AUG17	S	2	PD21NOV17	11280.00	11637.61	USD	-4,291.32	
21AUG17	S	2	PD21NOV17	11285.00	11637.61	USD	-4,231.32	
21AUG17	S	4	PD21NOV17	11300.00	11637.61	USD	-8,102.64	
21AUG17	B	2	PD21NOV17	11305.00	11637.61	USD	3,991.32	
21AUG17	S	1	PD21NOV17	11335.00	11637.61	USD	-1,815.66	
21AUG17	S	2	PD21NOV17	11280.00	11637.61	USD	-4,291.32	
22AUG17	S	1	PD21NOV17	11413.58	11637.61	USD	-1,344.18	
23AUG17	B	7	PD21NOV17	11659.13	11637.61	USD	-903.84	
05SEP17	B	3	PD21NOV17	12072.89	11637.61	USD	-7,835.04	
11SEP17	B	6	PD21NOV17	11752.92	11637.61	USD	-4,151.16	
12SEP17	B	10	PD21NOV17	11973.89	11637.61	USD	-20,176.80	
	NET	-2 AVG BGHT	11441.40		SOLD	11220.29	-108,483.84	0.00
22AUG17	S	1	PD22NOV17	11365.00	11638.30	USD	-1,639.80	
22AUG17	B	1	PD22NOV17	11360.00	11638.30	USD	1,669.80	
22AUG17	B	1	PD22NOV17	11350.00	11638.30	USD	1,729.80	
22AUG17	B	1	PD22NOV17	11340.00	11638.30	USD	1,789.80	
22AUG17	B	1	PD22NOV17	11325.00	11638.30	USD	1,879.80	
22AUG17	S	1	PD22NOV17	11270.00	11638.30	USD	-2,209.80	
22AUG17	S	1	PD22NOV17	11275.00	11638.30	USD	-2,179.80	
22AUG17	S	1	PD22NOV17	11235.00	11638.30	USD	-2,419.80	
22AUG17	S	2	PD22NOV17	11245.00	11638.30	USD	-4,719.60	
22AUG17	S	1	PD22NOV17	11265.00	11638.30	USD	-2,239.80	
22AUG17	B	3	PD22NOV17	11305.00	11638.30	USD	5,999.40	
22AUG17	S	1	PD22NOV17	11325.00	11638.30	USD	-1,879.80	
22AUG17	S	1	PD22NOV17	11320.00	11638.30	USD	-1,909.80	
22AUG17	B	2	PD22NOV17	11395.00	11638.30	USD	2,919.60	
22AUG17	S	5	PD22NOV17	11355.00	11638.30	USD	-8,499.00	
22AUG17	S	1	PD22NOV17	11445.00	11638.30	USD	-1,159.80	
22AUG17	S	1	PD22NOV17	11365.00	11638.30	USD	-1,639.80	
22AUG17	S	3	PD22NOV17	11470.00	11638.30	USD	-3,029.40	
22AUG17	B	1	PD22NOV17	11535.00	11638.30	USD	619.80	
22AUG17	S	1	PD22NOV17	11520.00	11638.30	USD	-709.80	
22AUG17	B	1	PD22NOV17	11540.00	11638.30	USD	589.80	
22AUG17	B	1	PD22NOV17	11550.00	11638.30	USD	529.80	
22AUG17	S	1	PD22NOV17	11540.00	11638.30	USD	-589.80	
22AUG17	S	1	PD22NOV17	11530.00	11638.30	USD	-649.80	
22AUG17	S	1	PD22NOV17	11515.00	11638.30	USD	-739.80	
22AUG17	B	7	PD22NOV17	11510.00	11638.30	USD	5,388.60	
22AUG17	S	3	PD22NOV17	11510.00	11638.30	USD	-2,309.40	
22AUG17	S	1	PD22NOV17	11505.00	11638.30	USD	-799.80	
22AUG17	B	1	PD22NOV17	11505.00	11638.30	USD	799.80	
22AUG17	S	4	PD22NOV17	11490.00	11638.30	USD	-3,559.20	
22AUG17	S	4	PD22NOV17	11495.00	11638.30	USD	-3,439.20	
22AUG17	S	1	PD22NOV17	11500.00	11638.30	USD	-829.80	
22AUG17	S	4	PD22NOV17	11485.00	11638.30	USD	-3,679.20	
22AUG17	S	5	PD22NOV17	11480.00	11638.30	USD	-4,749.00	
22AUG17	S	2	PD22NOV17	11475.00	11638.30	USD	-1,959.60	
22AUG17	S	5	PD22NOV17	11425.00	11638.30	USD	-6,399.00	
22AUG17	B	1	PD22NOV17	11430.00	11638.30	USD	1,249.80	
22AUG17	S	1	PD22NOV17	11455.00	11638.30	USD	-1,099.80	
22AUG17	S	1	PD22NOV17	11465.00	11638.30	USD	-1,039.80	

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22AUG17	B	1	PD22NOV17	11490.00	11638.30	USD	889.80	
22AUG17	B	4	PD22NOV17	11485.00	11638.30	USD	3,679.20	
22AUG17	B	1	PD22NOV17	11475.00	11638.30	USD	979.80	
22AUG17	B	2	PD22NOV17	11470.00	11638.30	USD	2,019.60	
22AUG17	B	1	PD22NOV17	11455.00	11638.30	USD	1,099.80	
22AUG17	B	2	PD22NOV17	11450.00	11638.30	USD	2,259.60	
22AUG17	B	2	PD22NOV17	11440.00	11638.30	USD	2,379.60	
22AUG17	B	1	PD22NOV17	11420.00	11638.30	USD	1,309.80	
22AUG17	S	1	PD22NOV17	11435.00	11638.30	USD	-1,219.80	
22AUG17	B	1	PD22NOV17	11410.00	11638.30	USD	1,369.80	
22AUG17	B	8	PD22NOV17	11405.00	11638.30	USD	11,198.40	
22AUG17	S	4	PD22NOV17	11430.00	11638.30	USD	-4,999.20	
22AUG17	S	5	PD22NOV17	11420.00	11638.30	USD	-6,549.00	
22AUG17	B	7	PD22NOV17	11415.00	11638.30	USD	9,378.60	
22AUG17	B	8	PD22NOV17	11400.00	11638.30	USD	11,438.40	
22AUG17	S	2	PD22NOV17	11375.00	11638.30	USD	-3,159.60	
22AUG17	S	2	PD22NOV17	11345.00	11638.30	USD	-3,519.60	
22AUG17	S	4	PD22NOV17	11330.00	11638.30	USD	-7,399.20	
22AUG17	S	3	PD22NOV17	11335.00	11638.30	USD	-5,459.40	
22AUG17	S	1	PD22NOV17	11525.00	11638.30	USD	-679.80	
22AUG17	S	2	PD22NOV17	11380.00	11638.30	USD	-3,099.60	
22AUG17	B	2	PD22NOV17	11365.00	11638.30	USD	3,279.60	
22AUG17	S	4	PD22NOV17	11385.00	11638.30	USD	-6,079.20	
22AUG17	S	3	PD22NOV17	11390.00	11638.30	USD	-4,469.40	
22AUG17	S	9	PD22NOV17	11400.00	11638.30	USD	-12,868.20	
22AUG17	S	7	PD22NOV17	11405.00	11638.30	USD	-9,798.60	
22AUG17	S	11	PD22NOV17	11410.00	11638.30	USD	-15,067.80	
22AUG17	S	6	PD22NOV17	11395.00	11638.30	USD	-8,758.80	
22AUG17	B	6	PD22NOV17	11445.00	11638.30	USD	6,958.80	
23AUG17	B	5	PD22NOV17	11659.75	11638.30	USD	-643.50	
30AUG17	B	4	PD22NOV17	11579.00	11638.30	USD	1,423.20	
05SEP17	B	3	PD22NOV17	12073.47	11638.30	USD	-7,833.06	
06SEP17	B	2	PD22NOV17	12156.60	11638.30	USD	-6,219.60	
11SEP17	B	3	PD22NOV17	11752.58	11638.30	USD	-2,057.04	
11SEP17	B	7	PD22NOV17	11753.58	11638.30	USD	-4,841.76	
12SEP17	B	8	PD22NOV17	11973.70	11638.30	USD	-16,099.20	
12SEP17	B	10	PD22NOV17	11974.70	11638.30	USD	-20,184.00	
14SEP17	B	1	PD22NOV17	11182.55	11638.30	USD	2,734.50	
14SEP17	B	2	PD22NOV17	11183.55	11638.30	USD	5,457.00	
15SEP17	B	4	PD22NOV17	11072.60	11638.30	USD	13,576.80	
	NET	-2AVG BGHT 11568.30	SOLD 11413.43				-110,486.46	0.00
23AUG17	S	2	PD24NOV17	11355.00	11639.67	USD	-3,416.04	
23AUG17	B	2	PD24NOV17	11355.00	11639.67	USD	3,416.04	
23AUG17	S	1	PD24NOV17	11320.00	11639.67	USD	-1,918.02	
23AUG17	B	1	PD24NOV17	11350.00	11639.67	USD	1,738.02	
23AUG17	S	3	PD24NOV17	11265.00	11639.67	USD	-6,744.06	
23AUG17	B	2	PD24NOV17	11270.00	11639.67	USD	4,436.04	
23AUG17	S	2	PD24NOV17	11275.00	11639.67	USD	-4,376.04	
23AUG17	B	2	PD24NOV17	11305.00	11639.67	USD	4,016.04	
23AUG17	S	2	PD24NOV17	11365.00	11639.67	USD	-3,296.04	
23AUG17	B	2	PD24NOV17	11370.00	11639.67	USD	3,236.04	
23AUG17	B	5	PD24NOV17	11360.00	11639.67	USD	8,390.10	
23AUG17	B	2	PD24NOV17	11385.00	11639.67	USD	3,056.04	
23AUG17	B	4	PD24NOV17	11415.00	11639.67	USD	5,392.08	
23AUG17	B	1	PD24NOV17	11425.00	11639.67	USD	1,288.02	
23AUG17	B	2	PD24NOV17	11420.00	11639.67	USD	2,636.04	
23AUG17	S	1	PD24NOV17	11415.00	11639.67	USD	-1,348.02	

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SAP0094X

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Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
23AUG17	B	3	PD24NOV17	11375.00	11639.67	USD	4,764.06	
23AUG17	B	1	PD24NOV17	11365.00	11639.67	USD	1,648.02	
23AUG17	S	1	PD24NOV17	11540.00	11639.67	USD	-598.02	
23AUG17	B	1	PD24NOV17	11415.00	11639.67	USD	1,348.02	
23AUG17	B	1	PD24NOV17	11345.00	11639.67	USD	1,768.02	
23AUG17	S	1	PD24NOV17	11550.00	11639.67	USD	-538.02	
23AUG17	S	1	PD24NOV17	11510.00	11639.67	USD	-778.02	
23AUG17	B	1	PD24NOV17	11475.00	11639.67	USD	988.02	
23AUG17	S	1	PD24NOV17	11485.00	11639.67	USD	-928.02	
23AUG17	S	2	PD24NOV17	11480.00	11639.67	USD	-1,916.04	
23AUG17	S	1	PD24NOV17	11470.00	11639.67	USD	-1,018.02	
23AUG17	B	4	PD24NOV17	11450.00	11639.67	USD	4,552.08	
23AUG17	S	2	PD24NOV17	11450.00	11639.67	USD	-2,276.04	
23AUG17	S	7	PD24NOV17	11425.00	11639.67	USD	-9,016.14	
23AUG17	S	1	PD24NOV17	11435.00	11639.67	USD	-1,228.02	
23AUG17	B	1	PD24NOV17	11430.00	11639.67	USD	1,258.02	
23AUG17	S	3	PD24NOV17	11455.00	11639.67	USD	-3,324.06	
23AUG17	S	4	PD24NOV17	11460.00	11639.67	USD	-4,312.08	
23AUG17	S	2	PD24NOV17	11490.00	11639.67	USD	-1,796.04	
23AUG17	S	2	PD24NOV17	11475.00	11639.67	USD	-1,976.04	
23AUG17	S	2	PD24NOV17	11495.00	11639.67	USD	-1,736.04	
23AUG17	S	5	PD24NOV17	11500.00	11639.67	USD	-4,190.10	
23AUG17	B	1	PD24NOV17	11460.00	11639.67	USD	1,078.02	
23AUG17	S	6	PD24NOV17	11580.00	11639.67	USD	-2,148.12	
23AUG17	S	3	PD24NOV17	11585.00	11639.67	USD	-984.06	
23AUG17	S	3	PD24NOV17	11570.00	11639.67	USD	-1,254.06	
23AUG17	B	2	PD24NOV17	11555.00	11639.67	USD	1,016.04	
23AUG17	B	2	PD24NOV17	11550.00	11639.67	USD	1,076.04	
23AUG17	B	1	PD24NOV17	11540.00	11639.67	USD	598.02	
23AUG17	B	1	PD24NOV17	11530.00	11639.67	USD	658.02	
23AUG17	B	1	PD24NOV17	11520.00	11639.67	USD	718.02	
23AUG17	B	2	PD24NOV17	11495.00	11639.67	USD	1,736.04	
23AUG17	B	3	PD24NOV17	11490.00	11639.67	USD	2,694.06	
23AUG17	B	1	PD24NOV17	11485.00	11639.67	USD	928.02	
23AUG17	S	4	PD24NOV17	11455.00	11639.67	USD	-4,432.08	
23AUG17	B	5	PD24NOV17	11455.00	11639.67	USD	5,540.10	
23AUG17	S	2	PD24NOV17	11465.00	11639.67	USD	-2,096.04	
23AUG17	B	1	PD24NOV17	11570.00	11639.67	USD	418.02	
23AUG17	B	5	PD24NOV17	11585.00	11639.67	USD	1,640.10	
23AUG17	B	2	PD24NOV17	11600.00	11639.67	USD	476.04	
23AUG17	S	3	PD24NOV17	11615.00	11639.67	USD	-444.06	
23AUG17	S	3	PD24NOV17	11685.00	11639.67	USD	815.94	
23AUG17	B	2	PD24NOV17	11650.00	11639.67	USD	-123.96	
23AUG17	S	4	PD24NOV17	11670.00	11639.67	USD	727.92	
23AUG17	S	2	PD24NOV17	11690.00	11639.67	USD	603.96	
23AUG17	S	1	PD24NOV17	11675.00	11639.67	USD	211.98	
23AUG17	B	6	PD24NOV17	11690.00	11639.67	USD	-1,811.88	
23AUG17	S	1	PD24NOV17	11700.00	11639.67	USD	361.98	
23AUG17	S	1	PD24NOV17	11720.00	11639.67	USD	481.98	
23AUG17	S	2	PD24NOV17	11725.00	11639.67	USD	1,023.96	
23AUG17	S	1	PD24NOV17	11735.00	11639.67	USD	571.98	
23AUG17	S	6	PD24NOV17	11730.00	11639.67	USD	3,251.88	
23AUG17	S	1	PD24NOV17	11740.00	11639.67	USD	601.98	
23AUG17	B	3	PD24NOV17	11720.00	11639.67	USD	-1,445.94	
23AUG17	S	1	PD24NOV17	11715.00	11639.67	USD	451.98	
23AUG17	S	1	PD24NOV17	11710.00	11639.67	USD	421.98	
23AUG17	B	1	PD24NOV17	11715.00	11639.67	USD	-451.98	

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London W1J 7NJ UK
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backoffice@sapiencap.com

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
23AUG17	B	6	PD24NOV17	11725.00	11639.67	USD	-3,071.88	
23AUG17	S	1	PD24NOV17	11750.00	11639.67	USD	661.98	
23AUG17	S	2	PD24NOV17	11680.00	11639.67	USD	483.96	
23AUG17	S	4	PD24NOV17	11685.00	11639.67	USD	1,087.92	
23AUG17	B	1	PD24NOV17	11695.00	11639.67	USD	-331.98	
24AUG17	S	2	PD24NOV17	11760.00	11639.67	USD	1,443.96	
24AUG17	B	2	PD24NOV17	11720.00	11639.67	USD	-963.96	
24AUG17	S	2	PD24NOV17	11670.00	11639.67	USD	363.96	
24AUG17	S	3	PD24NOV17	11735.00	11639.67	USD	1,715.94	
24AUG17	S	2	PD24NOV17	11720.00	11639.67	USD	963.96	
24AUG17	B	2	PD24NOV17	11695.00	11639.67	USD	-663.96	
24AUG17	S	2	PD24NOV17	11705.00	11639.67	USD	783.96	
24AUG17	S	1	PD24NOV17	11680.00	11639.67	USD	241.98	
24AUG17	B	2	PD24NOV17	11640.00	11639.67	USD	-3.96	
24AUG17	B	4	PD24NOV17	11630.00	11639.67	USD	232.08	
24AUG17	S	5	PD24NOV17	11625.00	11639.67	USD	-440.10	
24AUG17	B	9	PD24NOV17	11635.00	11639.67	USD	252.18	
24AUG17	S	3	PD24NOV17	11635.00	11639.67	USD	-84.06	
24AUG17	S	5	PD24NOV17	11645.00	11639.67	USD	159.90	
24AUG17	S	3	PD24NOV17	11640.00	11639.67	USD	5.94	
24AUG17	S	3	PD24NOV17	11655.00	11639.67	USD	275.94	
24AUG17	B	1	PD24NOV17	11665.00	11639.67	USD	-151.98	
24AUG17	S	2	PD24NOV17	11710.00	11639.67	USD	843.96	
24AUG17	S	2	PD24NOV17	11695.00	11639.67	USD	663.96	
24AUG17	S	2	PD24NOV17	11700.00	11639.67	USD	723.96	
24AUG17	S	3	PD24NOV17	11685.00	11639.67	USD	815.94	
24AUG17	S	5	PD24NOV17	11665.00	11639.67	USD	759.90	
24AUG17	S	4	PD24NOV17	11660.00	11639.67	USD	487.92	
24AUG17	B	2	PD24NOV17	11700.00	11639.67	USD	-723.96	
24AUG17	B	1	PD24NOV17	11690.00	11639.67	USD	-301.98	
24AUG17	S	3	PD24NOV17	11690.00	11639.67	USD	905.94	
24AUG17	B	1	PD24NOV17	11670.00	11639.67	USD	-181.98	
24AUG17	B	3	PD24NOV17	11655.00	11639.67	USD	-275.94	
24AUG17	B	4	PD24NOV17	11645.00	11639.67	USD	-127.92	
24AUG17	B	3	PD24NOV17	11660.00	11639.67	USD	-365.94	
24AUG17	B	2	PD24NOV17	11705.00	11639.67	USD	-783.96	
24AUG17	S	1	PD24NOV17	11730.00	11639.67	USD	541.98	
24AUG17	S	1	PD24NOV17	11740.00	11639.67	USD	601.98	
24AUG17	S	1	PD24NOV17	11750.00	11639.67	USD	661.98	
24AUG17	S	6	PD24NOV17	11770.00	11639.67	USD	4,691.88	
24AUG17	S	1	PD24NOV17	11765.00	11639.67	USD	751.98	
24AUG17	B	3	PD24NOV17	11765.00	11639.67	USD	-2,255.94	
24AUG17	B	3	PD24NOV17	11710.00	11639.67	USD	-1,265.94	
24AUG17	B	5	PD24NOV17	11680.00	11639.67	USD	-1,209.90	
25AUG17	S	2	PD24NOV17	11665.00	11639.67	USD	303.96	
25AUG17	B	2	PD24NOV17	11630.00	11639.67	USD	116.04	
25AUG17	B	1	PD24NOV17	11615.00	11639.67	USD	148.02	
25AUG17	B	2	PD24NOV17	11610.00	11639.67	USD	356.04	
25AUG17	B	3	PD24NOV17	11680.00	11639.67	USD	-725.94	
25AUG17	B	1	PD24NOV17	11705.00	11639.67	USD	-391.98	
25AUG17	B	6	PD24NOV17	11685.00	11639.67	USD	-1,631.88	
25AUG17	B	1	PD24NOV17	11675.00	11639.67	USD	-211.98	
25AUG17	B	1	PD24NOV17	11655.00	11639.67	USD	-91.98	
25AUG17	S	4	PD24NOV17	11655.00	11639.67	USD	367.92	
25AUG17	B	9	PD24NOV17	11650.00	11639.67	USD	-557.82	
25AUG17	S	1	PD24NOV17	11645.00	11639.67	USD	31.98	
25AUG17	S	3	PD24NOV17	11650.00	11639.67	USD	185.94	

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25AUG17	B	6	PD24NOV17	11665.00	11639.67	USD	-911.88	
25AUG17	B	5	PD24NOV17	11670.00	11639.67	USD	-909.90	
25AUG17	B	8	PD24NOV17	11660.00	11639.67	USD	-975.84	
25AUG17	B	1	PD24NOV17	11645.00	11639.67	USD	-31.98	
25AUG17	B	3	PD24NOV17	11625.00	11639.67	USD	264.06	
25AUG17	B	1	PD24NOV17	11595.00	11639.67	USD	268.02	
25AUG17	B	1	PD24NOV17	11580.00	11639.67	USD	358.02	
25AUG17	B	4	PD24NOV17	11505.00	11639.67	USD	3,232.08	
25AUG17	S	2	PD24NOV17	11500.00	11639.67	USD	-1,676.04	
25AUG17	S	2	PD24NOV17	11540.00	11639.67	USD	-1,196.04	
25AUG17	B	3	PD24NOV17	11500.00	11639.67	USD	2,514.06	
25AUG17	B	1	PD24NOV17	11525.00	11639.67	USD	688.02	
25AUG17	B	4	PD24NOV17	11555.00	11639.67	USD	2,032.08	
25AUG17	B	2	PD24NOV17	11520.00	11639.67	USD	1,436.04	
25AUG17	S	2	PD24NOV17	11560.00	11639.67	USD	-956.04	
25AUG17	B	1	PD24NOV17	11560.00	11639.67	USD	478.02	
25AUG17	S	2	PD24NOV17	11530.00	11639.67	USD	-1,316.04	
25AUG17	S	1	PD24NOV17	11510.00	11639.67	USD	-778.02	
25AUG17	S	2	PD24NOV17	11515.00	11639.67	USD	-1,496.04	
25AUG17	S	3	PD24NOV17	11550.00	11639.67	USD	-1,614.06	
25AUG17	B	1	PD24NOV17	11465.00	11639.67	USD	1,048.02	
25AUG17	B	2	PD24NOV17	11445.00	11639.67	USD	2,336.04	
25AUG17	S	1	PD24NOV17	11420.00	11639.67	USD	-1,318.02	
25AUG17	S	8	PD24NOV17	11435.00	11639.67	USD	-9,824.16	
25AUG17	S	3	PD24NOV17	11440.00	11639.67	USD	-3,594.06	
25AUG17	S	2	PD24NOV17	11445.00	11639.67	USD	-2,336.04	
25AUG17	S	1	PD24NOV17	11455.00	11639.67	USD	-1,108.02	
25AUG17	S	1	PD24NOV17	11460.00	11639.67	USD	-1,078.02	
25AUG17	S	3	PD24NOV17	11465.00	11639.67	USD	-3,144.06	
25AUG17	B	1	PD24NOV17	11440.00	11639.67	USD	1,198.02	
25AUG17	B	2	PD24NOV17	11425.00	11639.67	USD	2,576.04	
25AUG17	B	1	PD24NOV17	11410.00	11639.67	USD	1,378.02	
25AUG17	S	1	PD24NOV17	11425.00	11639.67	USD	-1,288.02	
25AUG17	S	4	PD24NOV17	11410.00	11639.67	USD	-5,512.08	
25AUG17	S	1	PD24NOV17	11475.00	11639.67	USD	-988.02	
25AUG17	S	2	PD24NOV17	11445.00	11639.67	USD	-2,336.04	
25AUG17	B	4	PD24NOV17	11445.00	11639.67	USD	4,672.08	
30AUG17	B	1	PD24NOV17	11580.50	11639.67	USD	355.02	
30AUG17	S	1	PD24NOV17	11579.50	11639.67	USD	-361.02	
05SEP17	B	2	PD24NOV17	12074.63	11639.67	USD	-5,219.52	
06SEP17	B	3	PD24NOV17	12157.80	11639.67	USD	-9,326.34	
11SEP17	S	3	PD24NOV17	11752.88	11639.67	USD	2,037.78	
12SEP17	S	6	PD24NOV17	11974.33	11639.67	USD	12,047.76	
14SEP17	B	1	PD24NOV17	11184.14	11639.67	USD	2,733.18	
15SEP17	B	6	PD24NOV17	11074.20	11639.67	USD	20,356.92	
25SEP17	B	3	PD24NOV17	10546.49	11639.67	USD	19,677.24	
	NET	0 AVG BGHT 11561.46	SOLD 11590.36				38,322.90	0.00
29AUG17	B	1	PD29NOV17	11660.00	11643.10	USD	-101.40	
29AUG17	B	1	PD29NOV17	11635.00	11643.10	USD	48.60	
29AUG17	B	7	PD29NOV17	11800.00	11643.10	USD	-6,589.80	
29AUG17	S	1	PD29NOV17	11615.00	11643.10	USD	-168.60	
29AUG17	B	1	PD29NOV17	11665.00	11643.10	USD	-131.40	
29AUG17	B	1	PD29NOV17	11770.00	11643.10	USD	-761.40	
29AUG17	B	5	PD29NOV17	11835.00	11643.10	USD	-5,757.00	
29AUG17	B	1	PD29NOV17	11760.00	11643.10	USD	-701.40	
29AUG17	S	2	PD29NOV17	11605.00	11643.10	USD	-457.20	
29AUG17	S	3	PD29NOV17	11610.00	11643.10	USD	-595.80	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0094X

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
29AUG17	B	5	PD29NOV17	11750.00	11643.10	USD	-3,207.00	
29AUG17	B	1	PD29NOV17	11825.00	11643.10	USD	-1,091.40	
29AUG17	B	5	PD29NOV17	11805.00	11643.10	USD	-4,857.00	
29AUG17	B	1	PD29NOV17	11690.00	11643.10	USD	-281.40	
29AUG17	B	5	PD29NOV17	11830.00	11643.10	USD	-5,607.00	
29AUG17	B	2	PD29NOV17	11780.00	11643.10	USD	-1,642.80	
29AUG17	B	1	PD29NOV17	11775.00	11643.10	USD	-791.40	
29AUG17	B	2	PD29NOV17	11715.00	11643.10	USD	-862.80	
29AUG17	B	11	PD29NOV17	11820.00	11643.10	USD	-11,675.40	
29AUG17	B	5	PD29NOV17	11815.00	11643.10	USD	-5,157.00	
29AUG17	S	1	PD29NOV17	11645.00	11643.10	USD	11.40	
29AUG17	S	2	PD29NOV17	11635.00	11643.10	USD	-97.20	
29AUG17	B	3	PD29NOV17	11720.00	11643.10	USD	-1,384.20	
29AUG17	S	1	PD29NOV17	11730.00	11643.10	USD	521.40	
29AUG17	S	1	PD29NOV17	11735.00	11643.10	USD	551.40	
29AUG17	S	3	PD29NOV17	11795.00	11643.10	USD	2,734.20	
29AUG17	B	1	PD29NOV17	11785.00	11643.10	USD	-851.40	
29AUG17	B	1	PD29NOV17	11800.00	11643.10	USD	-941.40	
29AUG17	B	3	PD29NOV17	11755.00	11643.10	USD	-2,014.20	
29AUG17	B	1	PD29NOV17	11730.00	11643.10	USD	-521.40	
29AUG17	B	1	PD29NOV17	11705.00	11643.10	USD	-371.40	
29AUG17	B	7	PD29NOV17	11810.00	11643.10	USD	-7,009.80	
29AUG17	S	1	PD29NOV17	11845.00	11643.10	USD	1,211.40	
29AUG17	B	4	PD29NOV17	11840.00	11643.10	USD	-4,725.60	
29AUG17	S	1	PD29NOV17	11855.00	11643.10	USD	1,271.40	
29AUG17	S	4	PD29NOV17	11860.00	11643.10	USD	5,205.60	
29AUG17	S	4	PD29NOV17	11835.00	11643.10	USD	4,605.60	
29AUG17	S	7	PD29NOV17	11805.00	11643.10	USD	6,799.80	
29AUG17	S	1	PD29NOV17	11830.00	11643.10	USD	1,121.40	
29AUG17	S	2	PD29NOV17	11825.00	11643.10	USD	2,182.80	
29AUG17	S	6	PD29NOV17	11820.00	11643.10	USD	6,368.40	
29AUG17	S	5	PD29NOV17	11815.00	11643.10	USD	5,157.00	
29AUG17	S	2	PD29NOV17	11810.00	11643.10	USD	2,002.80	
29AUG17	S	2	PD29NOV17	11845.00	11643.10	USD	2,422.80	
29AUG17	S	2	PD29NOV17	11850.00	11643.10	USD	2,482.80	
29AUG17	S	1	PD29NOV17	11785.00	11643.10	USD	851.40	
29AUG17	B	3	PD29NOV17	11785.00	11643.10	USD	-2,554.20	
29AUG17	S	1	PD29NOV17	11745.00	11643.10	USD	611.40	
29AUG17	S	2	PD29NOV17	11675.00	11643.10	USD	382.80	
29AUG17	S	1	PD29NOV17	11690.00	11643.10	USD	281.40	
29AUG17	S	4	PD29NOV17	11685.00	11643.10	USD	1,005.60	
29AUG17	S	9	PD29NOV17	11710.00	11643.10	USD	3,612.60	
29AUG17	S	4	PD29NOV17	11725.00	11643.10	USD	1,965.60	
29AUG17	S	7	PD29NOV17	11680.00	11643.10	USD	1,549.80	
29AUG17	S	9	PD29NOV17	11700.00	11643.10	USD	3,072.60	
29AUG17	S	10	PD29NOV17	11705.00	11643.10	USD	3,714.00	
29AUG17	S	3	PD29NOV17	11715.00	11643.10	USD	1,294.20	
29AUG17	B	2	PD29NOV17	11685.00	11643.10	USD	-502.80	
29AUG17	B	8	PD29NOV17	11680.00	11643.10	USD	-1,771.20	
29AUG17	B	2	PD29NOV17	11675.00	11643.10	USD	-382.80	
29AUG17	B	2	PD29NOV17	11670.00	11643.10	USD	-322.80	
29AUG17	S	1	PD29NOV17	11655.00	11643.10	USD	71.40	
29AUG17	S	3	PD29NOV17	11660.00	11643.10	USD	304.20	
29AUG17	S	12	PD29NOV17	11695.00	11643.10	USD	3,736.80	
29AUG17	B	2	PD29NOV17	11695.00	11643.10	USD	-622.80	
29AUG17	B	4	PD29NOV17	11700.00	11643.10	USD	-1,365.60	
29AUG17	B	4	PD29NOV17	11705.00	11643.10	USD	-1,485.60	

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
29AUG17	B	4	PD29NOV17	11725.00	11643.10	USD	-1,965.60	
29AUG17	B	6	PD29NOV17	11730.00	11643.10	USD	-3,128.40	
29AUG17	B	1	PD29NOV17	11735.00	11643.10	USD	-551.40	
30AUG17	S	4	PD29NOV17	11583.25	11643.10	USD	-1,436.40	
05SEP17	B	7	PD29NOV17	12076.53	11643.10	USD	-18,204.06	
11SEP17	B	4	PD29NOV17	11757.15	11643.10	USD	-2,737.20	
12SEP17	S	2	PD29NOV17	11978.41	11643.10	USD	4,023.72	
12SEP17	S	3	PD29NOV17	11979.41	11643.10	USD	6,053.58	
14SEP17	B	2	PD29NOV17	11188.10	11643.10	USD	5,460.00	
	NET	0	AVG BGHT 11770.56	SOLD 11740.77			-22,694.76	0.00
30AUG17	S	1	PD30NOV17	11680.00	11643.79	USD	217.26	
30AUG17	S	1	PD30NOV17	11690.00	11643.79	USD	277.26	
30AUG17	S	3	PD30NOV17	11645.00	11643.79	USD	21.78	
30AUG17	B	2	PD30NOV17	11685.00	11643.79	USD	-494.52	
30AUG17	B	1	PD30NOV17	11680.00	11643.79	USD	-217.26	
30AUG17	B	4	PD30NOV17	11690.00	11643.79	USD	-1,109.04	
30AUG17	B	3	PD30NOV17	11710.00	11643.79	USD	-1,191.78	
30AUG17	B	5	PD30NOV17	11700.00	11643.79	USD	-1,686.30	
30AUG17	B	2	PD30NOV17	11715.00	11643.79	USD	-854.52	
30AUG17	B	1	PD30NOV17	11725.00	11643.79	USD	-487.26	
30AUG17	B	4	PD30NOV17	11730.00	11643.79	USD	-2,069.04	
30AUG17	B	4	PD30NOV17	11705.00	11643.79	USD	-1,469.04	
30AUG17	B	3	PD30NOV17	11720.00	11643.79	USD	-1,371.78	
30AUG17	B	6	PD30NOV17	11735.00	11643.79	USD	-3,283.56	
30AUG17	B	2	PD30NOV17	11755.00	11643.79	USD	-1,334.52	
30AUG17	B	5	PD30NOV17	11760.00	11643.79	USD	-3,486.30	
30AUG17	B	4	PD30NOV17	11775.00	11643.79	USD	-3,149.04	
30AUG17	B	3	PD30NOV17	11780.00	11643.79	USD	-2,451.78	
30AUG17	B	3	PD30NOV17	11790.00	11643.79	USD	-2,631.78	
30AUG17	S	2	PD30NOV17	11700.00	11643.79	USD	674.52	
30AUG17	S	1	PD30NOV17	11705.00	11643.79	USD	367.26	
30AUG17	S	2	PD30NOV17	11710.00	11643.79	USD	794.52	
30AUG17	S	9	PD30NOV17	11715.00	11643.79	USD	3,845.34	
30AUG17	B	1	PD30NOV17	11630.00	11643.79	USD	82.74	
30AUG17	B	2	PD30NOV17	11640.00	11643.79	USD	45.48	
30AUG17	S	2	PD30NOV17	11620.00	11643.79	USD	-285.48	
30AUG17	S	6	PD30NOV17	11615.00	11643.79	USD	-1,036.44	
30AUG17	B	4	PD30NOV17	11580.00	11643.79	USD	1,530.96	
30AUG17	B	2	PD30NOV17	11575.00	11643.79	USD	825.48	
30AUG17	B	6	PD30NOV17	11635.00	11643.79	USD	316.44	
30AUG17	B	4	PD30NOV17	11625.00	11643.79	USD	450.96	
30AUG17	B	7	PD30NOV17	11620.00	11643.79	USD	999.18	
30AUG17	B	1	PD30NOV17	11600.00	11643.79	USD	262.74	
30AUG17	B	7	PD30NOV17	11610.00	11643.79	USD	1,419.18	
30AUG17	B	3	PD30NOV17	11645.00	11643.79	USD	-21.78	
30AUG17	B	1	PD30NOV17	11655.00	11643.79	USD	-67.26	
30AUG17	B	1	PD30NOV17	11650.00	11643.79	USD	-37.26	
30AUG17	B	2	PD30NOV17	11605.00	11643.79	USD	465.48	
30AUG17	S	4	PD30NOV17	11580.00	11643.79	USD	-1,530.96	
30AUG17	B	2	PD30NOV17	11585.00	11643.79	USD	705.48	
30AUG17	S	2	PD30NOV17	11600.00	11643.79	USD	-525.48	
30AUG17	B	1	PD30NOV17	11595.00	11643.79	USD	292.74	
30AUG17	B	1	PD30NOV17	11580.00	11643.79	USD	382.74	
31AUG17	B	1	PD30NOV17	11615.00	11643.79	USD	172.74	
31AUG17	B	1	PD30NOV17	11620.00	11643.79	USD	142.74	
31AUG17	S	1	PD30NOV17	11620.00	11643.79	USD	-142.74	
31AUG17	B	1	PD30NOV17	11655.00	11643.79	USD	-67.26	

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31AUG17	S	1	PD30NOV17	11685.00	11643.79	USD	247.26	
31AUG17	B	1	PD30NOV17	11645.00	11643.79	USD	-7.26	
31AUG17	S	1	PD30NOV17	11660.00	11643.79	USD	97.26	
31AUG17	B	5	PD30NOV17	11660.00	11643.79	USD	-486.30	
31AUG17	B	10	PD30NOV17	11665.00	11643.79	USD	-1,272.60	
31AUG17	B	2	PD30NOV17	11670.00	11643.79	USD	-314.52	
31AUG17	S	1	PD30NOV17	11650.00	11643.79	USD	37.26	
31AUG17	B	4	PD30NOV17	11650.00	11643.79	USD	-149.04	
31AUG17	B	3	PD30NOV17	11690.00	11643.79	USD	-831.78	
31AUG17	B	3	PD30NOV17	11695.00	11643.79	USD	-921.78	
31AUG17	S	3	PD30NOV17	11735.00	11643.79	USD	1,641.78	
31AUG17	S	2	PD30NOV17	11715.00	11643.79	USD	854.52	
31AUG17	B	1	PD30NOV17	11660.00	11643.79	USD	-97.26	
31AUG17	B	1	PD30NOV17	11695.00	11643.79	USD	-307.26	
31AUG17	S	8	PD30NOV17	11720.00	11643.79	USD	3,658.08	
31AUG17	S	1	PD30NOV17	11725.00	11643.79	USD	487.26	
31AUG17	S	3	PD30NOV17	11730.00	11643.79	USD	1,551.78	
31AUG17	S	2	PD30NOV17	11740.00	11643.79	USD	1,154.52	
31AUG17	B	1	PD30NOV17	11730.00	11643.79	USD	-517.26	
31AUG17	S	1	PD30NOV17	11750.00	11643.79	USD	637.26	
31AUG17	S	1	PD30NOV17	11755.00	11643.79	USD	667.26	
31AUG17	S	4	PD30NOV17	11770.00	11643.79	USD	3,029.04	
31AUG17	S	3	PD30NOV17	11775.00	11643.79	USD	2,361.78	
31AUG17	S	3	PD30NOV17	11780.00	11643.79	USD	2,451.78	
31AUG17	S	1	PD30NOV17	11785.00	11643.79	USD	847.26	
31AUG17	S	8	PD30NOV17	11820.00	11643.79	USD	8,458.08	
31AUG17	S	1	PD30NOV17	11810.00	11643.79	USD	997.26	
31AUG17	B	1	PD30NOV17	11790.00	11643.79	USD	-877.26	
31AUG17	S	12	PD30NOV17	11790.00	11643.79	USD	10,527.12	
31AUG17	S	6	PD30NOV17	11795.00	11643.79	USD	5,443.56	
31AUG17	S	15	PD30NOV17	11800.00	11643.79	USD	14,058.90	
31AUG17	B	3	PD30NOV17	11770.00	11643.79	USD	-2,271.78	
31AUG17	B	2	PD30NOV17	11765.00	11643.79	USD	-1,454.52	
31AUG17	B	6	PD30NOV17	11775.00	11643.79	USD	-4,723.56	
31AUG17	S	1	PD30NOV17	11780.00	11643.79	USD	817.26	
05SEP17	S	7	PD30NOV17	12076.11	11643.79	USD	18,157.44	
06SEP17	B	5	PD30NOV17	12161.40	11643.79	USD	-15,528.30	
06SEP17	S	3	PD30NOV17	12160.40	11643.79	USD	9,298.98	
06SEP17	S	10	PD30NOV17	12161.40	11643.79	USD	31,056.60	
11SEP17	S	4	PD30NOV17	11756.81	11643.79	USD	2,712.48	
11SEP17	S	5	PD30NOV17	11757.81	11643.79	USD	3,420.60	
12SEP17	S	4	PD30NOV17	11980.22	11643.79	USD	8,074.32	
14SEP17	S	2	PD30NOV17	11187.90	11643.79	USD	-5,470.68	
20SEP17	S	1	PD30NOV17	11364.57	11643.79	USD	-1,675.32	
	NET	0AVG BGHT 11699.14	SOLD 11788.25				79,131.06	0.00
01SEP17	B	2	PD01DEC17	11790.00	11644.47	USD	-1,746.36	
01SEP17	B	1	PD01DEC17	11800.00	11644.47	USD	-933.18	
01SEP17	B	1	PD01DEC17	11805.00	11644.47	USD	-963.18	
01SEP17	B	1	PD01DEC17	11810.00	11644.47	USD	-993.18	
01SEP17	B	1	PD01DEC17	11775.00	11644.47	USD	-783.18	
01SEP17	B	2	PD01DEC17	11765.00	11644.47	USD	-1,446.36	
01SEP17	S	2	PD01DEC17	11810.00	11644.47	USD	1,986.36	
01SEP17	B	2	PD01DEC17	11780.00	11644.47	USD	-1,626.36	
01SEP17	S	3	PD01DEC17	11830.00	11644.47	USD	3,339.54	
01SEP17	B	2	PD01DEC17	11885.00	11644.47	USD	-2,886.36	
01SEP17	B	2	PD01DEC17	11890.00	11644.47	USD	-2,946.36	
01SEP17	B	2	PD01DEC17	11915.00	11644.47	USD	-3,246.36	

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
01SEP17	S	1	PD01DEC17	11905.00	11644.47	USD	1,563.18	
01SEP17	S	1	PD01DEC17	11910.00	11644.47	USD	1,593.18	
01SEP17	B	2	PD01DEC17	11910.00	11644.47	USD	-3,186.36	
01SEP17	B	1	PD01DEC17	11950.00	11644.47	USD	-1,833.18	
01SEP17	S	1	PD01DEC17	12080.00	11644.47	USD	2,613.18	
01SEP17	B	1	PD01DEC17	11995.00	11644.47	USD	-2,103.18	
01SEP17	S	9	PD01DEC17	11990.00	11644.47	USD	18,658.62	
01SEP17	S	2	PD01DEC17	12005.00	11644.47	USD	4,326.36	
01SEP17	B	7	PD01DEC17	12050.00	11644.47	USD	-17,032.26	
01SEP17	S	2	PD01DEC17	12035.00	11644.47	USD	4,686.36	
01SEP17	S	16	PD01DEC17	12010.00	11644.47	USD	35,090.88	
01SEP17	S	3	PD01DEC17	12020.00	11644.47	USD	6,759.54	
01SEP17	B	6	PD01DEC17	12000.00	11644.47	USD	-12,799.08	
01SEP17	S	2	PD01DEC17	11975.00	11644.47	USD	3,966.36	
01SEP17	S	3	PD01DEC17	11985.00	11644.47	USD	6,129.54	
01SEP17	B	1	PD01DEC17	11980.00	11644.47	USD	-2,013.18	
01SEP17	S	6	PD01DEC17	11930.00	11644.47	USD	10,279.08	
01SEP17	S	2	PD01DEC17	11950.00	11644.47	USD	3,666.36	
01SEP17	S	3	PD01DEC17	11965.00	11644.47	USD	5,769.54	
01SEP17	S	11	PD01DEC17	12015.00	11644.47	USD	24,454.98	
01SEP17	B	2	PD01DEC17	12010.00	11644.47	USD	-4,386.36	
01SEP17	B	7	PD01DEC17	12060.00	11644.47	USD	-17,452.26	
01SEP17	B	4	PD01DEC17	12055.00	11644.47	USD	-9,852.72	
01SEP17	B	2	PD01DEC17	12070.00	11644.47	USD	-5,106.36	
01SEP17	B	1	PD01DEC17	12075.00	11644.47	USD	-2,583.18	
01SEP17	S	3	PD01DEC17	12010.00	11644.47	USD	6,579.54	
01SEP17	S	4	PD01DEC17	12000.00	11644.47	USD	8,532.72	
01SEP17	S	6	PD01DEC17	12005.00	11644.47	USD	12,979.08	
01SEP17	S	1	PD01DEC17	12020.00	11644.47	USD	2,253.18	
01SEP17	S	1	PD01DEC17	12065.00	11644.47	USD	2,523.18	
01SEP17	B	3	PD01DEC17	12040.00	11644.47	USD	-7,119.54	
01SEP17	B	7	PD01DEC17	12045.00	11644.47	USD	-16,822.26	
01SEP17	B	2	PD01DEC17	12035.00	11644.47	USD	-4,686.36	
01SEP17	B	4	PD01DEC17	12030.00	11644.47	USD	-9,252.72	
01SEP17	B	4	PD01DEC17	12025.00	11644.47	USD	-9,132.72	
01SEP17	S	2	PD01DEC17	12045.00	11644.47	USD	4,806.36	
01SEP17	S	12	PD01DEC17	12040.00	11644.47	USD	28,478.16	
01SEP17	S	9	PD01DEC17	12050.00	11644.47	USD	21,898.62	
01SEP17	S	1	PD01DEC17	12055.00	11644.47	USD	2,463.18	
01SEP17	S	6	PD01DEC17	12060.00	11644.47	USD	14,959.08	
01SEP17	B	1	PD01DEC17	12080.00	11644.47	USD	-2,613.18	
01SEP17	B	1	PD01DEC17	12055.00	11644.47	USD	-2,463.18	
06SEP17	S	3	PD01DEC17	12161.00	11644.47	USD	9,297.54	
06SEP17	B	10	PD01DEC17	12163.00	11644.47	USD	-31,111.80	
06SEP17	B	7	PD01DEC17	12162.00	11644.47	USD	-21,736.26	
11SEP17	B	12	PD01DEC17	11758.46	11644.47	USD	-8,207.28	
12SEP17	B	5	PD01DEC17	11981.04	11644.47	USD	-10,097.10	
12SEP17	B	6	PD01DEC17	11982.04	11644.47	USD	-12,152.52	
14SEP17	B	2	PD01DEC17	11189.69	11644.47	USD	5,457.36	
20SEP17	B	1	PD01DEC17	11365.34	11644.47	USD	1,674.78	
	NET	0AVG BGHT 11969.37	SOLD 12006.29				25,471.92	0.00
04SEP17	S	1	PD04DEC17	12370.00	11646.53	USD	4,340.82	
04SEP17	S	2	PD04DEC17	12350.00	11646.53	USD	8,441.64	
04SEP17	S	1	PD04DEC17	12355.00	11646.53	USD	4,250.82	
04SEP17	S	1	PD04DEC17	12270.00	11646.53	USD	3,740.82	
04SEP17	S	1	PD04DEC17	12280.00	11646.53	USD	3,800.82	
04SEP17	S	1	PD04DEC17	12310.00	11646.53	USD	3,980.82	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0094X

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
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+44 (0) 20 7340 9081
backoffice@sapiencap.com

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
04SEP17	S	2	PD04DEC17	12340.00	11646.53	USD	8,321.64	
04SEP17	S	1	PD04DEC17	12345.00	11646.53	USD	4,190.82	
04SEP17	B	1	PD04DEC17	12260.00	11646.53	USD	-3,680.82	
04SEP17	B	2	PD04DEC17	12280.00	11646.53	USD	-7,601.64	
04SEP17	B	1	PD04DEC17	12325.00	11646.53	USD	-4,070.82	
04SEP17	B	1	PD04DEC17	12350.00	11646.53	USD	-4,220.82	
04SEP17	B	1	PD04DEC17	12345.00	11646.53	USD	-4,190.82	
04SEP17	B	1	PD04DEC17	12340.00	11646.53	USD	-4,160.82	
04SEP17	S	2	PD04DEC17	12305.00	11646.53	USD	7,901.64	
04SEP17	B	3	PD04DEC17	12305.00	11646.53	USD	-11,852.46	
04SEP17	B	6	PD04DEC17	12315.00	11646.53	USD	-24,064.92	
04SEP17	B	2	PD04DEC17	12330.00	11646.53	USD	-8,201.64	
04SEP17	B	5	PD04DEC17	12310.00	11646.53	USD	-19,904.10	
04SEP17	B	4	PD04DEC17	12300.00	11646.53	USD	-15,683.28	
04SEP17	B	4	PD04DEC17	12295.00	11646.53	USD	-15,563.28	
04SEP17	B	1	PD04DEC17	12290.00	11646.53	USD	-3,860.82	
04SEP17	B	2	PD04DEC17	12285.00	11646.53	USD	-7,661.64	
04SEP17	S	4	PD04DEC17	12295.00	11646.53	USD	15,563.28	
04SEP17	S	3	PD04DEC17	12300.00	11646.53	USD	11,762.46	
04SEP17	B	3	PD04DEC17	12250.00	11646.53	USD	-10,862.46	
04SEP17	B	2	PD04DEC17	12265.00	11646.53	USD	-7,421.64	
04SEP17	B	2	PD04DEC17	12225.00	11646.53	USD	-6,941.64	
04SEP17	S	1	PD04DEC17	12160.00	11646.53	USD	3,080.82	
04SEP17	S	1	PD04DEC17	12300.00	11646.53	USD	3,920.82	
04SEP17	B	2	PD04DEC17	12185.00	11646.53	USD	-6,461.64	
04SEP17	B	2	PD04DEC17	12180.00	11646.53	USD	-6,401.64	
04SEP17	B	2	PD04DEC17	12175.00	11646.53	USD	-6,341.64	
04SEP17	B	2	PD04DEC17	12195.00	11646.53	USD	-6,581.64	
04SEP17	B	2	PD04DEC17	12200.00	11646.53	USD	-6,641.64	
04SEP17	S	1	PD04DEC17	12210.00	11646.53	USD	3,380.82	
04SEP17	B	3	PD04DEC17	12210.00	11646.53	USD	-10,142.46	
04SEP17	B	2	PD04DEC17	12215.00	11646.53	USD	-6,821.64	
04SEP17	B	5	PD04DEC17	12190.00	11646.53	USD	-16,304.10	
04SEP17	B	3	PD04DEC17	12205.00	11646.53	USD	-10,052.46	
04SEP17	B	1	PD04DEC17	12155.00	11646.53	USD	-3,050.82	
04SEP17	S	3	PD04DEC17	12175.00	11646.53	USD	9,512.46	
04SEP17	S	2	PD04DEC17	12215.00	11646.53	USD	6,821.64	
04SEP17	S	4	PD04DEC17	12220.00	11646.53	USD	13,763.28	
04SEP17	S	3	PD04DEC17	12225.00	11646.53	USD	10,412.46	
04SEP17	B	6	PD04DEC17	12230.00	11646.53	USD	-21,004.92	
04SEP17	B	12	PD04DEC17	12220.00	11646.53	USD	-41,289.84	
04SEP17	B	8	PD04DEC17	12240.00	11646.53	USD	-28,486.56	
04SEP17	B	10	PD04DEC17	12235.00	11646.53	USD	-35,308.20	
04SEP17	B	4	PD04DEC17	12245.00	11646.53	USD	-14,363.28	
04SEP17	B	4	PD04DEC17	12255.00	11646.53	USD	-14,603.28	
04SEP17	B	1	PD04DEC17	12205.00	11646.53	USD	-3,350.82	
04SEP17	S	1	PD04DEC17	12205.00	11646.53	USD	3,350.82	
04SEP17	S	2	PD04DEC17	12245.00	11646.53	USD	7,181.64	
04SEP17	S	4	PD04DEC17	12250.00	11646.53	USD	14,483.28	
04SEP17	S	2	PD04DEC17	12255.00	11646.53	USD	7,301.64	
04SEP17	S	10	PD04DEC17	12260.00	11646.53	USD	36,808.20	
04SEP17	S	1	PD04DEC17	12265.00	11646.53	USD	3,710.82	
04SEP17	S	1	PD04DEC17	12260.00	11646.53	USD	3,680.82	
06SEP17	S	11	PD04DEC17	12162.80	11646.53	USD	34,073.82	
06SEP17	S	5	PD04DEC17	12163.80	11646.53	USD	15,518.10	
11SEP17	S	12	PD04DEC17	11759.42	11646.53	USD	8,128.08	
11SEP17	S	5	PD04DEC17	11760.42	11646.53	USD	3,416.70	

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
12SEP17	S	5	PD04DEC17	11982.48	11646.53	USD	10,078.50	
14SEP17	B	1	PD04DEC17	11192.07	11646.53	USD	2,726.76	
14SEP17	S	7	PD04DEC17	11192.07	11646.53	USD	-19,087.32	
19SEP17	S	8	PD04DEC17	11128.53	11646.53	USD	-24,864.00	
25SEP17	S	3	PD04DEC17	10554.91	11646.53	USD	-19,649.16	
	NET	0 AVG BGHT 12238.76	SOLD 11963.83				-183,103.62	0.00
05SEP17	S	1	PD05DEC17	12275.00	11647.21	USD	3,766.74	
05SEP17	S	3	PD05DEC17	12270.00	11647.21	USD	11,210.22	
05SEP17	S	2	PD05DEC17	12265.00	11647.21	USD	7,413.48	
05SEP17	S	1	PD05DEC17	12315.00	11647.21	USD	4,006.74	
05SEP17	S	1	PD05DEC17	12310.00	11647.21	USD	3,976.74	
05SEP17	S	4	PD05DEC17	12290.00	11647.21	USD	15,426.96	
05SEP17	S	2	PD05DEC17	12235.00	11647.21	USD	7,053.48	
05SEP17	S	1	PD05DEC17	12230.00	11647.21	USD	3,496.74	
05SEP17	S	9	PD05DEC17	12225.00	11647.21	USD	31,200.66	
05SEP17	S	2	PD05DEC17	12245.00	11647.21	USD	7,173.48	
05SEP17	B	4	PD05DEC17	12225.00	11647.21	USD	-13,866.96	
05SEP17	B	5	PD05DEC17	12215.00	11647.21	USD	-17,033.70	
05SEP17	B	6	PD05DEC17	12220.00	11647.21	USD	-20,620.44	
05SEP17	B	1	PD05DEC17	12205.00	11647.21	USD	-3,346.74	
05SEP17	B	1	PD05DEC17	12235.00	11647.21	USD	-3,526.74	
05SEP17	B	7	PD05DEC17	12230.00	11647.21	USD	-24,477.18	
05SEP17	S	1	PD05DEC17	12200.00	11647.21	USD	3,316.74	
05SEP17	B	1	PD05DEC17	12155.00	11647.21	USD	-3,046.74	
05SEP17	B	5	PD05DEC17	12190.00	11647.21	USD	-16,283.70	
05SEP17	S	1	PD05DEC17	12240.00	11647.21	USD	3,556.74	
05SEP17	S	1	PD05DEC17	12195.00	11647.21	USD	3,286.74	
05SEP17	B	7	PD05DEC17	12210.00	11647.21	USD	-23,637.18	
05SEP17	B	2	PD05DEC17	12200.00	11647.21	USD	-6,633.48	
05SEP17	B	2	PD05DEC17	12185.00	11647.21	USD	-6,453.48	
05SEP17	B	4	PD05DEC17	12175.00	11647.21	USD	-12,666.96	
05SEP17	B	2	PD05DEC17	12195.00	11647.21	USD	-6,573.48	
05SEP17	B	1	PD05DEC17	12170.00	11647.21	USD	-3,136.74	
05SEP17	B	5	PD05DEC17	12165.00	11647.21	USD	-15,533.70	
05SEP17	B	1	PD05DEC17	12180.00	11647.21	USD	-3,196.74	
05SEP17	S	3	PD05DEC17	12210.00	11647.21	USD	10,130.22	
05SEP17	S	4	PD05DEC17	12215.00	11647.21	USD	13,626.96	
05SEP17	S	2	PD05DEC17	12220.00	11647.21	USD	6,873.48	
05SEP17	S	13	PD05DEC17	12240.00	11647.21	USD	46,237.62	
05SEP17	S	5	PD05DEC17	12255.00	11647.21	USD	18,233.70	
05SEP17	S	2	PD05DEC17	12260.00	11647.21	USD	7,353.48	
05SEP17	S	11	PD05DEC17	12250.00	11647.21	USD	39,784.14	
05SEP17	B	1	PD05DEC17	12210.00	11647.21	USD	-3,376.74	
05SEP17	S	1	PD05DEC17	12175.00	11647.21	USD	3,166.74	
05SEP17	S	2	PD05DEC17	12180.00	11647.21	USD	6,393.48	
05SEP17	B	1	PD05DEC17	12080.00	11647.21	USD	-2,596.74	
05SEP17	B	1	PD05DEC17	12075.00	11647.21	USD	-2,566.74	
05SEP17	B	4	PD05DEC17	12070.00	11647.21	USD	-10,146.96	
05SEP17	B	2	PD05DEC17	12085.00	11647.21	USD	-5,253.48	
05SEP17	S	1	PD05DEC17	12060.00	11647.21	USD	2,476.74	
05SEP17	S	5	PD05DEC17	12070.00	11647.21	USD	12,683.70	
05SEP17	S	6	PD05DEC17	12080.00	11647.21	USD	15,580.44	
05SEP17	S	12	PD05DEC17	12075.00	11647.21	USD	30,800.88	
05SEP17	B	1	PD05DEC17	12060.00	11647.21	USD	-2,476.74	
05SEP17	B	2	PD05DEC17	12035.00	11647.21	USD	-4,653.48	
05SEP17	B	4	PD05DEC17	12030.00	11647.21	USD	-9,186.96	
05SEP17	B	5	PD05DEC17	12040.00	11647.21	USD	-11,783.70	

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
05SEP17	B	4	PD05DEC17	12000.00	11647.21	USD	-8,466.96	
05SEP17	B	1	PD05DEC17	11985.00	11647.21	USD	-2,026.74	
05SEP17	B	6	PD05DEC17	11980.00	11647.21	USD	-11,980.44	
05SEP17	B	2	PD05DEC17	11975.00	11647.21	USD	-3,933.48	
05SEP17	B	1	PD05DEC17	11965.00	11647.21	USD	-1,906.74	
05SEP17	B	10	PD05DEC17	11955.00	11647.21	USD	-18,467.40	
05SEP17	S	2	PD05DEC17	11955.00	11647.21	USD	3,693.48	
05SEP17	B	1	PD05DEC17	11930.00	11647.21	USD	-1,696.74	
05SEP17	B	1	PD05DEC17	11935.00	11647.21	USD	-1,726.74	
05SEP17	S	1	PD05DEC17	11975.00	11647.21	USD	1,966.74	
05SEP17	S	1	PD05DEC17	11965.00	11647.21	USD	1,906.74	
05SEP17	S	1	PD05DEC17	11970.00	11647.21	USD	1,936.74	
05SEP17	S	1	PD05DEC17	12010.00	11647.21	USD	2,176.74	
05SEP17	B	1	PD05DEC17	11985.00	11647.21	USD	-2,026.74	
06SEP17	B	5	PD05DEC17	12165.40	11647.21	USD	-15,545.70	
11SEP17	B	5	PD05DEC17	11762.08	11647.21	USD	-3,446.10	
12SEP17	B	1	PD05DEC17	11985.30	11647.21	USD	-2,028.54	
14SEP17	S	5	PD05DEC17	11191.86	11647.21	USD	-13,660.50	
20SEP17	S	1	PD05DEC17	11367.43	11647.21	USD	-1,678.68	
20SEP17	S	5	PD05DEC17	11368.43	11647.21	USD	-8,363.40	
	NET	0AVG	BGHT 12097.55	SOLD 12098.84			877.08	0.00
06SEP17	B	5	PD06DEC17	11995.00	11647.90	USD	-10,413.00	
06SEP17	S	1	PD06DEC17	12020.00	11647.90	USD	2,232.60	
06SEP17	B	2	PD06DEC17	12025.00	11647.90	USD	-4,525.20	
06SEP17	S	1	PD06DEC17	12000.00	11647.90	USD	2,112.60	
06SEP17	B	4	PD06DEC17	12005.00	11647.90	USD	-8,570.40	
06SEP17	B	18	PD06DEC17	12010.00	11647.90	USD	-39,106.80	
06SEP17	S	2	PD06DEC17	12010.00	11647.90	USD	4,345.20	
06SEP17	S	1	PD06DEC17	12030.00	11647.90	USD	2,292.60	
06SEP17	S	1	PD06DEC17	12040.00	11647.90	USD	2,352.60	
06SEP17	B	2	PD06DEC17	12040.00	11647.90	USD	-4,705.20	
06SEP17	B	3	PD06DEC17	12035.00	11647.90	USD	-6,967.80	
06SEP17	B	1	PD06DEC17	12050.00	11647.90	USD	-2,412.60	
06SEP17	B	2	PD06DEC17	12055.00	11647.90	USD	-4,885.20	
06SEP17	B	2	PD06DEC17	12080.00	11647.90	USD	-5,185.20	
06SEP17	B	2	PD06DEC17	12010.00	11647.90	USD	-4,345.20	
06SEP17	B	3	PD06DEC17	12065.00	11647.90	USD	-7,507.80	
06SEP17	B	7	PD06DEC17	12015.00	11647.90	USD	-15,418.20	
06SEP17	B	2	PD06DEC17	12030.00	11647.90	USD	-4,585.20	
06SEP17	S	1	PD06DEC17	11985.00	11647.90	USD	2,022.60	
06SEP17	S	5	PD06DEC17	12015.00	11647.90	USD	11,013.00	
06SEP17	S	2	PD06DEC17	12005.00	11647.90	USD	4,285.20	
06SEP17	S	2	PD06DEC17	12055.00	11647.90	USD	4,885.20	
06SEP17	S	5	PD06DEC17	12070.00	11647.90	USD	12,663.00	
06SEP17	S	1	PD06DEC17	12090.00	11647.90	USD	2,652.60	
06SEP17	S	10	PD06DEC17	12065.00	11647.90	USD	25,026.00	
06SEP17	S	3	PD06DEC17	12060.00	11647.90	USD	7,417.80	
06SEP17	S	3	PD06DEC17	12045.00	11647.90	USD	7,147.80	
06SEP17	S	3	PD06DEC17	12080.00	11647.90	USD	7,777.80	
06SEP17	S	11	PD06DEC17	12075.00	11647.90	USD	28,188.60	
06SEP17	S	1	PD06DEC17	12085.00	11647.90	USD	2,622.60	
06SEP17	S	2	PD06DEC17	12095.00	11647.90	USD	5,365.20	
06SEP17	S	5	PD06DEC17	12105.00	11647.90	USD	13,713.00	
06SEP17	S	3	PD06DEC17	12110.00	11647.90	USD	8,317.80	
06SEP17	S	1	PD06DEC17	12115.00	11647.90	USD	2,802.60	
06SEP17	S	3	PD06DEC17	12135.00	11647.90	USD	8,767.80	
06SEP17	S	4	PD06DEC17	12130.00	11647.90	USD	11,570.40	

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
06SEP17	B	1	PD06DEC17	12150.00	11647.90	USD	-3,012.60	
06SEP17	S	1	PD06DEC17	12170.00	11647.90	USD	3,132.60	
06SEP17	S	9	PD06DEC17	12165.00	11647.90	USD	27,923.40	
06SEP17	S	3	PD06DEC17	12175.00	11647.90	USD	9,487.80	
06SEP17	S	1	PD06DEC17	12185.00	11647.90	USD	3,222.60	
06SEP17	S	8	PD06DEC17	12180.00	11647.90	USD	25,540.80	
06SEP17	S	1	PD06DEC17	12190.00	11647.90	USD	3,252.60	
06SEP17	B	1	PD06DEC17	12205.00	11647.90	USD	-3,342.60	
06SEP17	B	2	PD06DEC17	12210.00	11647.90	USD	-6,745.20	
06SEP17	S	1	PD06DEC17	12240.00	11647.90	USD	3,552.60	
06SEP17	S	1	PD06DEC17	12245.00	11647.90	USD	3,582.60	
06SEP17	S	2	PD06DEC17	12170.00	11647.90	USD	6,265.20	
06SEP17	S	1	PD06DEC17	12210.00	11647.90	USD	3,372.60	
11SEP17	B	5	PD06DEC17	11762.73	11647.90	USD	-3,444.90	
12SEP17	B	2	PD06DEC17	11985.11	11647.90	USD	-4,046.52	
14SEP17	B	2	PD06DEC17	11193.66	11647.90	USD	5,450.88	
14SEP17	B	4	PD06DEC17	11194.66	11647.90	USD	10,877.76	
19SEP17	B	5	PD06DEC17	11131.06	11647.90	USD	15,505.20	
20SEP17	B	6	PD06DEC17	11370.20	11647.90	USD	9,997.20	
20SEP17	B	18	PD06DEC17	11369.20	11647.90	USD	30,099.60	
	NET	0AVG BGHT 11761.18	SOLD 12100.61				201,618.42	0.00
07SEP17	B	2	PD07DEC17	12265.00	11648.59	USD	-7,396.92	
07SEP17	B	2	PD07DEC17	12240.00	11648.59	USD	-7,096.92	
07SEP17	B	1	PD07DEC17	12235.00	11648.59	USD	-3,518.46	
07SEP17	B	14	PD07DEC17	12230.00	11648.59	USD	-48,838.44	
07SEP17	B	1	PD07DEC17	12260.00	11648.59	USD	-3,668.46	
07SEP17	B	1	PD07DEC17	12310.00	11648.59	USD	-3,968.46	
07SEP17	S	1	PD07DEC17	12285.00	11648.59	USD	3,818.46	
07SEP17	B	1	PD07DEC17	12275.00	11648.59	USD	-3,758.46	
07SEP17	B	1	PD07DEC17	12250.00	11648.59	USD	-3,608.46	
07SEP17	B	1	PD07DEC17	12220.00	11648.59	USD	-3,428.46	
07SEP17	S	1	PD07DEC17	12225.00	11648.59	USD	3,458.46	
07SEP17	S	1	PD07DEC17	12250.00	11648.59	USD	3,608.46	
07SEP17	S	1	PD07DEC17	12245.00	11648.59	USD	3,578.46	
07SEP17	B	6	PD07DEC17	12225.00	11648.59	USD	-20,750.76	
07SEP17	B	1	PD07DEC17	12160.00	11648.59	USD	-3,068.46	
07SEP17	B	6	PD07DEC17	12085.00	11648.59	USD	-15,710.76	
07SEP17	S	1	PD07DEC17	12075.00	11648.59	USD	2,558.46	
07SEP17	S	2	PD07DEC17	12095.00	11648.59	USD	5,356.92	
07SEP17	B	3	PD07DEC17	12095.00	11648.59	USD	-8,035.38	
07SEP17	B	4	PD07DEC17	12120.00	11648.59	USD	-11,313.84	
07SEP17	B	1	PD07DEC17	12070.00	11648.59	USD	-2,528.46	
07SEP17	B	6	PD07DEC17	12165.00	11648.59	USD	-18,590.76	
07SEP17	B	6	PD07DEC17	12170.00	11648.59	USD	-18,770.76	
07SEP17	B	3	PD07DEC17	12145.00	11648.59	USD	-8,935.38	
07SEP17	B	11	PD07DEC17	12175.00	11648.59	USD	-34,743.06	
07SEP17	B	1	PD07DEC17	12185.00	11648.59	USD	-3,218.46	
07SEP17	B	8	PD07DEC17	12155.00	11648.59	USD	-24,307.68	
07SEP17	B	10	PD07DEC17	12150.00	11648.59	USD	-30,084.60	
07SEP17	B	8	PD07DEC17	12105.00	11648.59	USD	-21,907.68	
07SEP17	B	3	PD07DEC17	12100.00	11648.59	USD	-8,125.38	
07SEP17	B	9	PD07DEC17	12115.00	11648.59	USD	-25,186.14	
07SEP17	B	24	PD07DEC17	12110.00	11648.59	USD	-66,443.04	
07SEP17	B	1	PD07DEC17	12065.00	11648.59	USD	-2,498.46	
07SEP17	B	1	PD07DEC17	12035.00	11648.59	USD	-2,318.46	
07SEP17	S	3	PD07DEC17	12035.00	11648.59	USD	6,955.38	
07SEP17	S	2	PD07DEC17	12005.00	11648.59	USD	4,276.92	

OPEN POSITION STATEMENT



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SAP0094X

13th October 2017

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>								
07SEP17	S	1	PD07DEC17		12020.00	11648.59	USD	2,228.46	
07SEP17	B	5	PD07DEC17		12080.00	11648.59	USD	-12,942.30	
07SEP17	B	1	PD07DEC17		12075.00	11648.59	USD	-2,558.46	
07SEP17	S	1	PD07DEC17		12120.00	11648.59	USD	2,828.46	
07SEP17	S	6	PD07DEC17		12135.00	11648.59	USD	17,510.76	
07SEP17	S	1	PD07DEC17		12155.00	11648.59	USD	3,038.46	
07SEP17	S	2	PD07DEC17		12015.00	11648.59	USD	4,396.92	
07SEP17	B	3	PD07DEC17		12130.00	11648.59	USD	-8,665.38	
07SEP17	S	2	PD07DEC17		12125.00	11648.59	USD	5,716.92	
07SEP17	S	8	PD07DEC17		12145.00	11648.59	USD	23,827.68	
07SEP17	S	1	PD07DEC17		12150.00	11648.59	USD	3,008.46	
07SEP17	S	4	PD07DEC17		12140.00	11648.59	USD	11,793.84	
07SEP17	S	4	PD07DEC17		12155.00	11648.59	USD	12,153.84	
07SEP17	S	15	PD07DEC17		12165.00	11648.59	USD	46,476.90	
07SEP17	S	8	PD07DEC17		12170.00	11648.59	USD	25,027.68	
07SEP17	S	1	PD07DEC17		12175.00	11648.59	USD	3,158.46	
07SEP17	B	5	PD07DEC17		12180.00	11648.59	USD	-15,942.30	
07SEP17	S	9	PD07DEC17		12205.00	11648.59	USD	30,046.14	
07SEP17	B	2	PD07DEC17		12200.00	11648.59	USD	-6,616.92	
07SEP17	B	5	PD07DEC17		12205.00	11648.59	USD	-16,692.30	
07SEP17	S	1	PD07DEC17		12145.00	11648.59	USD	2,978.46	
11SEP17	S	10	PD07DEC17		11762.38	11648.59	USD	6,827.40	
12SEP17	S	2	PD07DEC17		11984.93	11648.59	USD	4,036.08	
14SEP17	S	2	PD07DEC17		11193.45	11648.59	USD	-5,461.68	
14SEP17	S	6	PD07DEC17		11194.45	11648.59	USD	-16,349.04	
15SEP17	S	1	PD07DEC17		11083.60	11648.59	USD	-3,389.94	
19SEP17	S	14	PD07DEC17		11130.82	11648.59	USD	-43,492.68	
20SEP17	S	6	PD07DEC17		11368.97	11648.59	USD	-10,066.32	
20SEP17	S	32	PD07DEC17		11369.97	11648.59	USD	-53,495.04	
21SEP17	S	4	PD07DEC17		10993.73	11648.59	USD	-15,716.64	
25SEP17	S	4	PD07DEC17		10558.74	11648.59	USD	-26,156.40	
	NET	0	AVG BGHT 12153.09	SOLD 11717.10				-410,699.52	0.00
08SEP17	S	1	PD08DEC17		12240.00	11649.27	USD	3,544.38	
08SEP17	S	1	PD08DEC17		12245.00	11649.27	USD	3,574.38	
08SEP17	S	1	PD08DEC17		12270.00	11649.27	USD	3,724.38	
08SEP17	S	3	PD08DEC17		12280.00	11649.27	USD	11,353.14	
08SEP17	S	1	PD08DEC17		12285.00	11649.27	USD	3,814.38	
08SEP17	S	1	PD08DEC17		12290.00	11649.27	USD	3,844.38	
08SEP17	S	1	PD08DEC17		12295.00	11649.27	USD	3,874.38	
08SEP17	S	2	PD08DEC17		12275.00	11649.27	USD	7,508.76	
08SEP17	S	1	PD08DEC17		12255.00	11649.27	USD	3,634.38	
08SEP17	B	1	PD08DEC17		12275.00	11649.27	USD	-3,754.38	
08SEP17	B	1	PD08DEC17		12270.00	11649.27	USD	-3,724.38	
08SEP17	B	1	PD08DEC17		12300.00	11649.27	USD	-3,904.38	
08SEP17	B	1	PD08DEC17		12310.00	11649.27	USD	-3,964.38	
08SEP17	B	1	PD08DEC17		12350.00	11649.27	USD	-4,204.38	
08SEP17	B	4	PD08DEC17		12340.00	11649.27	USD	-16,577.52	
08SEP17	B	8	PD08DEC17		12335.00	11649.27	USD	-32,915.04	
08SEP17	B	5	PD08DEC17		12330.00	11649.27	USD	-20,421.90	
08SEP17	B	3	PD08DEC17		12315.00	11649.27	USD	-11,983.14	
08SEP17	B	16	PD08DEC17		12320.00	11649.27	USD	-64,390.08	
08SEP17	B	6	PD08DEC17		12325.00	11649.27	USD	-24,326.28	
08SEP17	S	8	PD08DEC17		12250.00	11649.27	USD	28,835.04	
08SEP17	S	9	PD08DEC17		12265.00	11649.27	USD	33,249.42	
08SEP17	S	1	PD08DEC17		12260.00	11649.27	USD	3,664.38	
08SEP17	S	4	PD08DEC17		12170.00	11649.27	USD	12,497.52	
08SEP17	S	2	PD08DEC17		12165.00	11649.27	USD	6,188.76	

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
08SEP17	S	1	PD08DEC17	12145.00	11649.27	USD	2,974.38	
08SEP17	S	9	PD08DEC17	12150.00	11649.27	USD	27,039.42	
08SEP17	S	1	PD08DEC17	12180.00	11649.27	USD	3,184.38	
08SEP17	S	5	PD08DEC17	12185.00	11649.27	USD	16,071.90	
08SEP17	S	13	PD08DEC17	12160.00	11649.27	USD	39,836.94	
08SEP17	S	4	PD08DEC17	12175.00	11649.27	USD	12,617.52	
08SEP17	S	3	PD08DEC17	12155.00	11649.27	USD	9,103.14	
08SEP17	S	4	PD08DEC17	12135.00	11649.27	USD	11,657.52	
08SEP17	S	1	PD08DEC17	12125.00	11649.27	USD	2,854.38	
08SEP17	S	6	PD08DEC17	12130.00	11649.27	USD	17,306.28	
08SEP17	S	2	PD08DEC17	12115.00	11649.27	USD	5,588.76	
08SEP17	S	4	PD08DEC17	12090.00	11649.27	USD	10,577.52	
08SEP17	S	4	PD08DEC17	12100.00	11649.27	USD	10,817.52	
08SEP17	S	1	PD08DEC17	12095.00	11649.27	USD	2,674.38	
08SEP17	S	1	PD08DEC17	12080.00	11649.27	USD	2,584.38	
08SEP17	S	1	PD08DEC17	12075.00	11649.27	USD	2,554.38	
08SEP17	S	3	PD08DEC17	12070.00	11649.27	USD	7,573.14	
08SEP17	S	9	PD08DEC17	12065.00	11649.27	USD	22,449.42	
08SEP17	S	2	PD08DEC17	12060.00	11649.27	USD	4,928.76	
08SEP17	S	6	PD08DEC17	12055.00	11649.27	USD	14,606.28	
08SEP17	S	3	PD08DEC17	12045.00	11649.27	USD	7,123.14	
08SEP17	S	9	PD08DEC17	12050.00	11649.27	USD	21,639.42	
08SEP17	S	1	PD08DEC17	12035.00	11649.27	USD	2,314.38	
08SEP17	S	1	PD08DEC17	12015.00	11649.27	USD	2,194.38	
08SEP17	S	4	PD08DEC17	11990.00	11649.27	USD	8,177.52	
08SEP17	S	1	PD08DEC17	12005.00	11649.27	USD	2,134.38	
08SEP17	S	10	PD08DEC17	12010.00	11649.27	USD	21,643.80	
08SEP17	B	1	PD08DEC17	11995.00	11649.27	USD	-2,074.38	
08SEP17	S	9	PD08DEC17	11955.00	11649.27	USD	16,509.42	
08SEP17	S	2	PD08DEC17	11965.00	11649.27	USD	3,788.76	
08SEP17	S	23	PD08DEC17	11960.00	11649.27	USD	42,880.74	
08SEP17	S	5	PD08DEC17	11995.00	11649.27	USD	10,371.90	
08SEP17	S	4	PD08DEC17	11985.00	11649.27	USD	8,057.52	
08SEP17	B	2	PD08DEC17	11980.00	11649.27	USD	-3,968.76	
08SEP17	B	13	PD08DEC17	11975.00	11649.27	USD	-25,406.94	
08SEP17	B	6	PD08DEC17	11970.00	11649.27	USD	-11,546.28	
08SEP17	B	1	PD08DEC17	11965.00	11649.27	USD	-1,894.38	
08SEP17	S	2	PD08DEC17	11950.00	11649.27	USD	3,608.76	
08SEP17	S	16	PD08DEC17	11935.00	11649.27	USD	27,430.08	
08SEP17	S	4	PD08DEC17	11945.00	11649.27	USD	7,097.52	
08SEP17	S	1	PD08DEC17	11930.00	11649.27	USD	1,684.38	
08SEP17	S	2	PD08DEC17	11920.00	11649.27	USD	3,248.76	
08SEP17	B	5	PD08DEC17	11935.00	11649.27	USD	-8,571.90	
08SEP17	B	4	PD08DEC17	11955.00	11649.27	USD	-7,337.52	
08SEP17	B	4	PD08DEC17	11945.00	11649.27	USD	-7,097.52	
08SEP17	B	6	PD08DEC17	11950.00	11649.27	USD	-10,826.28	
08SEP17	S	3	PD08DEC17	11970.00	11649.27	USD	5,773.14	
08SEP17	B	8	PD08DEC17	11960.00	11649.27	USD	-14,915.04	
08SEP17	S	13	PD08DEC17	11940.00	11649.27	USD	22,676.94	
08SEP17	B	4	PD08DEC17	11920.00	11649.27	USD	-6,497.52	
08SEP17	B	5	PD08DEC17	11925.00	11649.27	USD	-8,271.90	
08SEP17	B	5	PD08DEC17	11940.00	11649.27	USD	-8,721.90	
08SEP17	S	1	PD08DEC17	11925.00	11649.27	USD	1,654.38	
08SEP17	S	1	PD08DEC17	12255.00	11649.27	USD	3,634.38	
08SEP17	S	1	PD08DEC17	12170.00	11649.27	USD	3,124.38	
08SEP17	S	1	PD08DEC17	12150.00	11649.27	USD	3,004.38	
08SEP17	B	1	PD08DEC17	12150.00	11649.27	USD	-3,004.38	

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
08SEP17	S	1	PD08DEC17	12115.00	11649.27	USD	2,794.38	
08SEP17	B	1	PD08DEC17	12010.00	11649.27	USD	-2,164.38	
08SEP17	S	2	PD08DEC17	12010.00	11649.27	USD	4,328.76	
08SEP17	S	1	PD08DEC17	12005.00	11649.27	USD	2,134.38	
08SEP17	S	1	PD08DEC17	11970.00	11649.27	USD	1,924.38	
08SEP17	B	1	PD08DEC17	11970.00	11649.27	USD	-1,924.38	
08SEP17	B	1	PD08DEC17	11950.00	11649.27	USD	-1,804.38	
08SEP17	S	1	PD08DEC17	11935.00	11649.27	USD	1,714.38	
08SEP17	S	1	PD08DEC17	11930.00	11649.27	USD	1,684.38	
08SEP17	S	2	PD08DEC17	11880.00	11649.27	USD	2,768.76	
08SEP17	S	5	PD08DEC17	11865.00	11649.27	USD	6,471.90	
08SEP17	S	3	PD08DEC17	11860.00	11649.27	USD	3,793.14	
08SEP17	S	5	PD08DEC17	11855.00	11649.27	USD	6,171.90	
08SEP17	S	3	PD08DEC17	11850.00	11649.27	USD	3,613.14	
08SEP17	S	15	PD08DEC17	11845.00	11649.27	USD	17,615.70	
08SEP17	S	6	PD08DEC17	11885.00	11649.27	USD	8,486.28	
08SEP17	S	8	PD08DEC17	11840.00	11649.27	USD	9,155.04	
08SEP17	S	2	PD08DEC17	11835.00	11649.27	USD	2,228.76	
08SEP17	S	1	PD08DEC17	11830.00	11649.27	USD	1,084.38	
08SEP17	S	2	PD08DEC17	11825.00	11649.27	USD	2,108.76	
08SEP17	S	2	PD08DEC17	11800.00	11649.27	USD	1,808.76	
08SEP17	S	2	PD08DEC17	11805.00	11649.27	USD	1,868.76	
08SEP17	S	2	PD08DEC17	11810.00	11649.27	USD	1,928.76	
08SEP17	S	1	PD08DEC17	11790.00	11649.27	USD	844.38	
08SEP17	B	1	PD08DEC17	11780.00	11649.27	USD	-784.38	
08SEP17	B	1	PD08DEC17	11775.00	11649.27	USD	-754.38	
08SEP17	S	2	PD08DEC17	11775.00	11649.27	USD	1,508.76	
08SEP17	S	1	PD08DEC17	11765.00	11649.27	USD	694.38	
08SEP17	B	4	PD08DEC17	11770.00	11649.27	USD	-2,897.52	
08SEP17	B	11	PD08DEC17	11785.00	11649.27	USD	-8,958.18	
08SEP17	B	3	PD08DEC17	11765.00	11649.27	USD	-2,083.14	
08SEP17	B	4	PD08DEC17	11740.00	11649.27	USD	-2,177.52	
08SEP17	B	3	PD08DEC17	11760.00	11649.27	USD	-1,993.14	
08SEP17	B	8	PD08DEC17	11755.00	11649.27	USD	-5,075.04	
08SEP17	B	5	PD08DEC17	11750.00	11649.27	USD	-3,021.90	
08SEP17	B	1	PD08DEC17	11720.00	11649.27	USD	-424.38	
08SEP17	B	5	PD08DEC17	11715.00	11649.27	USD	-1,971.90	
08SEP17	S	6	PD08DEC17	11750.00	11649.27	USD	3,626.28	
08SEP17	S	1	PD08DEC17	11760.00	11649.27	USD	664.38	
08SEP17	S	3	PD08DEC17	11725.00	11649.27	USD	1,363.14	
08SEP17	S	1	PD08DEC17	11720.00	11649.27	USD	424.38	
08SEP17	B	2	PD08DEC17	11710.00	11649.27	USD	-728.76	
08SEP17	S	6	PD08DEC17	11735.00	11649.27	USD	3,086.28	
08SEP17	S	1	PD08DEC17	11740.00	11649.27	USD	544.38	
08SEP17	B	2	PD08DEC17	11705.00	11649.27	USD	-668.76	
08SEP17	B	4	PD08DEC17	11700.00	11649.27	USD	-1,217.52	
08SEP17	B	2	PD08DEC17	11690.00	11649.27	USD	-488.76	
08SEP17	B	2	PD08DEC17	11685.00	11649.27	USD	-428.76	
08SEP17	B	1	PD08DEC17	11620.00	11649.27	USD	175.62	
08SEP17	B	1	PD08DEC17	11535.00	11649.27	USD	685.62	
08SEP17	B	2	PD08DEC17	11545.00	11649.27	USD	1,251.24	
08SEP17	B	2	PD08DEC17	11540.00	11649.27	USD	1,311.24	
08SEP17	S	1	PD08DEC17	11555.00	11649.27	USD	-565.62	
08SEP17	B	5	PD08DEC17	11570.00	11649.27	USD	2,378.10	
08SEP17	B	4	PD08DEC17	11505.00	11649.27	USD	3,462.48	
08SEP17	B	2	PD08DEC17	11495.00	11649.27	USD	1,851.24	
08SEP17	S	2	PD08DEC17	11535.00	11649.27	USD	-1,371.24	

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08SEP17	S	4	PD08DEC17	11550.00	11649.27	USD	-2,382.48	
08SEP17	S	3	PD08DEC17	11565.00	11649.27	USD	-1,516.86	
08SEP17	B	5	PD08DEC17	11560.00	11649.27	USD	2,678.10	
08SEP17	B	4	PD08DEC17	11575.00	11649.27	USD	1,782.48	
08SEP17	S	6	PD08DEC17	11575.00	11649.27	USD	-2,673.72	
08SEP17	S	6	PD08DEC17	11580.00	11649.27	USD	-2,493.72	
08SEP17	S	3	PD08DEC17	11595.00	11649.27	USD	-976.86	
08SEP17	S	11	PD08DEC17	11590.00	11649.27	USD	-3,911.82	
08SEP17	B	1	PD08DEC17	11590.00	11649.27	USD	355.62	
08SEP17	S	3	PD08DEC17	11600.00	11649.27	USD	-886.86	
08SEP17	S	1	PD08DEC17	11605.00	11649.27	USD	-265.62	
08SEP17	S	5	PD08DEC17	11570.00	11649.27	USD	-2,378.10	
08SEP17	S	1	PD08DEC17	11585.00	11649.27	USD	-385.62	
08SEP17	S	6	PD08DEC17	11515.00	11649.27	USD	-4,833.72	
08SEP17	B	8	PD08DEC17	11525.00	11649.27	USD	5,964.96	
08SEP17	B	2	PD08DEC17	11520.00	11649.27	USD	1,551.24	
08SEP17	S	1	PD08DEC17	11505.00	11649.27	USD	-865.62	
08SEP17	B	10	PD08DEC17	11510.00	11649.27	USD	8,356.20	
08SEP17	B	6	PD08DEC17	11515.00	11649.27	USD	4,833.72	
08SEP17	S	2	PD08DEC17	11500.00	11649.27	USD	-1,791.24	
08SEP17	S	1	PD08DEC17	11590.00	11649.27	USD	-355.62	
08SEP17	B	1	PD08DEC17	11590.00	11649.27	USD	355.62	
11SEP17	B	10	PD08DEC17	11764.04	11649.27	USD	-6,886.20	
14SEP17	B	17	PD08DEC17	11195.24	11649.27	USD	46,311.06	
14SEP17	B	7	PD08DEC17	11196.24	11649.27	USD	19,027.26	
14SEP17	S	15	PD08DEC17	11195.24	11649.27	USD	-40,862.70	
15SEP17	B	1	PD08DEC17	11085.40	11649.27	USD	3,383.22	
15SEP17	B	1	PD08DEC17	11084.40	11649.27	USD	3,389.22	
18SEP17	B	30	PD08DEC17	11227.42	11649.27	USD	75,933.00	
19SEP17	B	17	PD08DEC17	11132.59	11649.27	USD	52,701.36	
19SEP17	B	10	PD08DEC17	11131.59	11649.27	USD	31,060.80	
20SEP17	B	18	PD08DEC17	11370.74	11649.27	USD	30,081.24	
20SEP17	B	32	PD08DEC17	11371.74	11649.27	USD	53,285.76	
25SEP17	B	4	PD08DEC17	10560.69	11649.27	USD	26,125.92	
25SEP17	B	6	PD08DEC17	10559.69	11649.27	USD	39,224.88	
	NET	-11 AVG BGHT 11622.62	SOLD 11910.99				674,767.44	0.00
11SEP17	S	1	PD11DEC17	11510.00	11651.33	USD	-847.98	
11SEP17	S	1	PD11DEC17	11585.00	11651.33	USD	-397.98	
11SEP17	S	1	PD11DEC17	11505.00	11651.33	USD	-877.98	
11SEP17	B	3	PD11DEC17	11570.00	11651.33	USD	1,463.94	
11SEP17	B	7	PD11DEC17	11485.00	11651.33	USD	6,985.86	
11SEP17	B	4	PD11DEC17	11495.00	11651.33	USD	3,751.92	
11SEP17	B	1	PD11DEC17	11520.00	11651.33	USD	787.98	
11SEP17	B	3	PD11DEC17	11500.00	11651.33	USD	2,723.94	
11SEP17	B	2	PD11DEC17	11505.00	11651.33	USD	1,755.96	
11SEP17	B	1	PD11DEC17	11545.00	11651.33	USD	637.98	
11SEP17	B	1	PD11DEC17	11560.00	11651.33	USD	547.98	
11SEP17	B	5	PD11DEC17	11515.00	11651.33	USD	4,089.90	
11SEP17	B	2	PD11DEC17	11510.00	11651.33	USD	1,695.96	
11SEP17	B	1	PD11DEC17	11580.00	11651.33	USD	427.98	
11SEP17	B	11	PD11DEC17	11535.00	11651.33	USD	7,677.78	
11SEP17	B	2	PD11DEC17	11595.00	11651.33	USD	675.96	
11SEP17	B	4	PD11DEC17	11590.00	11651.33	USD	1,471.92	
11SEP17	B	2	PD11DEC17	11600.00	11651.33	USD	615.96	
11SEP17	S	2	PD11DEC17	11595.00	11651.33	USD	-675.96	
11SEP17	S	2	PD11DEC17	11580.00	11651.33	USD	-855.96	
11SEP17	S	1	PD11DEC17	11570.00	11651.33	USD	-487.98	

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backoffice@sapiencap.com

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
11SEP17	S	2	PD11DEC17	11575.00	11651.33	USD	-915.96	
11SEP17	B	9	PD11DEC17	11575.00	11651.33	USD	4,121.82	
11SEP17	B	2	PD11DEC17	11555.00	11651.33	USD	1,155.96	
11SEP17	B	2	PD11DEC17	11565.00	11651.33	USD	1,035.96	
11SEP17	B	16	PD11DEC17	11540.00	11651.33	USD	10,687.68	
11SEP17	B	1	PD11DEC17	11595.00	11651.33	USD	337.98	
11SEP17	S	2	PD11DEC17	11660.00	11651.33	USD	104.04	
11SEP17	B	1	PD11DEC17	11650.00	11651.33	USD	7.98	
11SEP17	S	3	PD11DEC17	11655.00	11651.33	USD	66.06	
11SEP17	S	3	PD11DEC17	11685.00	11651.33	USD	606.06	
11SEP17	S	3	PD11DEC17	11715.00	11651.33	USD	1,146.06	
11SEP17	S	6	PD11DEC17	11710.00	11651.33	USD	2,112.12	
11SEP17	S	4	PD11DEC17	11705.00	11651.33	USD	1,288.08	
11SEP17	S	1	PD11DEC17	11700.00	11651.33	USD	292.02	
11SEP17	S	1	PD11DEC17	11730.00	11651.33	USD	472.02	
11SEP17	S	1	PD11DEC17	11745.00	11651.33	USD	562.02	
11SEP17	B	3	PD11DEC17	11745.00	11651.33	USD	-1,686.06	
11SEP17	B	6	PD11DEC17	11700.00	11651.33	USD	-1,752.12	
11SEP17	B	2	PD11DEC17	11685.00	11651.33	USD	-404.04	
11SEP17	B	1	PD11DEC17	11695.00	11651.33	USD	-262.02	
11SEP17	B	3	PD11DEC17	11705.00	11651.33	USD	-966.06	
11SEP17	B	1	PD11DEC17	11710.00	11651.33	USD	-352.02	
11SEP17	S	1	PD11DEC17	11770.00	11651.33	USD	712.02	
11SEP17	B	1	PD11DEC17	11615.00	11651.33	USD	217.98	
11SEP17	B	3	PD11DEC17	11655.00	11651.33	USD	-66.06	
11SEP17	B	3	PD11DEC17	11665.00	11651.33	USD	-246.06	
11SEP17	B	2	PD11DEC17	11725.00	11651.33	USD	-884.04	
11SEP17	B	6	PD11DEC17	11730.00	11651.33	USD	-2,832.12	
11SEP17	B	2	PD11DEC17	11770.00	11651.33	USD	-1,424.04	
11SEP17	S	5	PD11DEC17	11795.00	11651.33	USD	4,310.10	
11SEP17	S	1	PD11DEC17	11805.00	11651.33	USD	922.02	
11SEP17	S	1	PD11DEC17	11835.00	11651.33	USD	1,102.02	
11SEP17	S	1	PD11DEC17	11790.00	11651.33	USD	832.02	
11SEP17	S	15	PD11DEC17	11800.00	11651.33	USD	13,380.30	
11SEP17	B	1	PD11DEC17	11800.00	11651.33	USD	-892.02	
11SEP17	S	14	PD11DEC17	11820.00	11651.33	USD	14,168.28	
11SEP17	S	11	PD11DEC17	11825.00	11651.33	USD	11,462.22	
11SEP17	S	4	PD11DEC17	11830.00	11651.33	USD	4,288.08	
14SEP17	B	7	PD11DEC17	11197.62	11651.33	USD	19,055.82	
14SEP17	S	4	PD11DEC17	11197.62	11651.33	USD	-10,889.04	
14SEP17	B	2	PD11DEC17	11198.62	11651.33	USD	5,432.52	
15SEP17	S	1	PD11DEC17	11085.80	11651.33	USD	-3,393.18	
19SEP17	S	5	PD11DEC17	11132.88	11651.33	USD	-15,553.50	
20SEP17	S	10	PD11DEC17	11372.06	11651.33	USD	-16,756.20	
25SEP17	S	6	PD11DEC17	10561.51	11651.33	USD	-39,233.52	
	NET	+10 AVG BGHT 11562.44	SOLD 11602.57				32,538.36	0.00
12SEP17	S	2	PD12DEC17	11755.00	11652.01	USD	1,235.88	
12SEP17	S	1	PD12DEC17	11695.00	11652.01	USD	257.94	
12SEP17	S	1	PD12DEC17	11690.00	11652.01	USD	227.94	
12SEP17	B	1	PD12DEC17	11665.00	11652.01	USD	-77.94	
12SEP17	B	3	PD12DEC17	11680.00	11652.01	USD	-503.82	
12SEP17	B	5	PD12DEC17	11660.00	11652.01	USD	-239.70	
12SEP17	B	30	PD12DEC17	11670.00	11652.01	USD	-3,238.20	
12SEP17	B	9	PD12DEC17	11650.00	11652.01	USD	108.54	
12SEP17	S	2	PD12DEC17	11670.00	11652.01	USD	215.88	
12SEP17	B	8	PD12DEC17	11645.00	11652.01	USD	336.48	
12SEP17	B	15	PD12DEC17	11675.00	11652.01	USD	-2,069.10	

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
12SEP17	B	2	PD12DEC17	11725.00	11652.01	USD	-875.88	
12SEP17	B	2	PD12DEC17	11710.00	11652.01	USD	-695.88	
12SEP17	B	8	PD12DEC17	11700.00	11652.01	USD	-2,303.52	
12SEP17	B	1	PD12DEC17	11620.00	11652.01	USD	192.06	
12SEP17	S	1	PD12DEC17	11590.00	11652.01	USD	-372.06	
12SEP17	B	3	PD12DEC17	11595.00	11652.01	USD	1,026.18	
12SEP17	B	5	PD12DEC17	11635.00	11652.01	USD	510.30	
12SEP17	B	6	PD12DEC17	11640.00	11652.01	USD	432.36	
12SEP17	B	11	PD12DEC17	11625.00	11652.01	USD	1,782.66	
12SEP17	B	1	PD12DEC17	11630.00	11652.01	USD	132.06	
12SEP17	B	3	PD12DEC17	11685.00	11652.01	USD	-593.82	
12SEP17	S	2	PD12DEC17	11720.00	11652.01	USD	815.88	
12SEP17	S	2	PD12DEC17	11735.00	11652.01	USD	995.88	
12SEP17	S	3	PD12DEC17	11730.00	11652.01	USD	1,403.82	
12SEP17	S	1	PD12DEC17	11745.00	11652.01	USD	557.94	
12SEP17	B	3	PD12DEC17	11740.00	11652.01	USD	-1,583.82	
12SEP17	B	1	PD12DEC17	11760.00	11652.01	USD	-647.94	
12SEP17	B	4	PD12DEC17	11780.00	11652.01	USD	-3,071.76	
12SEP17	B	2	PD12DEC17	11770.00	11652.01	USD	-1,415.88	
12SEP17	S	4	PD12DEC17	11770.00	11652.01	USD	2,831.76	
12SEP17	S	1	PD12DEC17	11780.00	11652.01	USD	767.94	
12SEP17	S	1	PD12DEC17	11795.00	11652.01	USD	857.94	
12SEP17	S	1	PD12DEC17	11855.00	11652.01	USD	1,217.94	
12SEP17	S	6	PD12DEC17	11880.00	11652.01	USD	8,207.64	
12SEP17	S	5	PD12DEC17	11890.00	11652.01	USD	7,139.70	
12SEP17	S	9	PD12DEC17	11885.00	11652.01	USD	12,581.46	
12SEP17	S	2	PD12DEC17	11900.00	11652.01	USD	2,975.88	
12SEP17	B	2	PD12DEC17	11855.00	11652.01	USD	-2,435.88	
12SEP17	S	7	PD12DEC17	11925.00	11652.01	USD	11,465.58	
12SEP17	S	2	PD12DEC17	11940.00	11652.01	USD	3,455.88	
12SEP17	S	3	PD12DEC17	11935.00	11652.01	USD	5,093.82	
12SEP17	S	1	PD12DEC17	11950.00	11652.01	USD	1,787.94	
12SEP17	S	3	PD12DEC17	11955.00	11652.01	USD	5,453.82	
12SEP17	S	19	PD12DEC17	11960.00	11652.01	USD	35,110.86	
12SEP17	S	4	PD12DEC17	11975.00	11652.01	USD	7,751.76	
12SEP17	S	17	PD12DEC17	11965.00	11652.01	USD	31,924.98	
12SEP17	S	19	PD12DEC17	11970.00	11652.01	USD	36,250.86	
12SEP17	S	1	PD12DEC17	11940.00	11652.01	USD	1,727.94	
12SEP17	S	3	PD12DEC17	11955.00	11652.01	USD	5,453.82	
12SEP17	B	1	PD12DEC17	11930.00	11652.01	USD	-1,667.94	
12SEP17	B	2	PD12DEC17	11915.00	11652.01	USD	-3,155.88	
12SEP17	B	1	PD12DEC17	11905.00	11652.01	USD	-1,517.94	
12SEP17	B	6	PD12DEC17	11910.00	11652.01	USD	-9,287.64	
12SEP17	B	16	PD12DEC17	11925.00	11652.01	USD	-26,207.04	
12SEP17	B	4	PD12DEC17	11920.00	11652.01	USD	-6,431.76	
14SEP17	S	15	PD12DEC17	11197.41	11652.01	USD	-40,914.00	
14SEP17	B	4	PD12DEC17	11199.41	11652.01	USD	10,862.40	
19SEP17	S	5	PD12DEC17	11133.65	11652.01	USD	-15,550.80	
19SEP17	S	1	PD12DEC17	11134.65	11652.01	USD	-3,104.16	
20SEP17	S	12	PD12DEC17	11372.83	11652.01	USD	-20,100.96	
21SEP17	S	4	PD12DEC17	10997.87	11652.01	USD	-15,699.36	
	NET	-1 AVG BGHT 11707.19	SOLD 11747.87				39,389.04	0.00
13SEP17	B	2	PD13DEC17	11895.00	11652.70	USD	-2,907.60	
13SEP17	B	3	PD13DEC17	11885.00	11652.70	USD	-4,181.40	
13SEP17	B	11	PD13DEC17	11890.00	11652.70	USD	-15,661.80	
13SEP17	B	2	PD13DEC17	11905.00	11652.70	USD	-3,027.60	
13SEP17	B	8	PD13DEC17	11920.00	11652.70	USD	-12,830.40	

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
13SEP17	B	6	PD13DEC17	11930.00	11652.70	USD	-9,982.80	
13SEP17	B	3	PD13DEC17	11900.00	11652.70	USD	-4,451.40	
13SEP17	B	1	PD13DEC17	11850.00	11652.70	USD	-1,183.80	
13SEP17	B	2	PD13DEC17	11860.00	11652.70	USD	-2,487.60	
13SEP17	B	2	PD13DEC17	11790.00	11652.70	USD	-1,647.60	
13SEP17	B	2	PD13DEC17	11795.00	11652.70	USD	-1,707.60	
13SEP17	B	16	PD13DEC17	11815.00	11652.70	USD	-15,580.80	
13SEP17	B	3	PD13DEC17	11830.00	11652.70	USD	-3,191.40	
13SEP17	B	7	PD13DEC17	11825.00	11652.70	USD	-7,236.60	
13SEP17	B	5	PD13DEC17	11770.00	11652.70	USD	-3,519.00	
13SEP17	B	1	PD13DEC17	11765.00	11652.70	USD	-673.80	
13SEP17	B	1	PD13DEC17	11735.00	11652.70	USD	-493.80	
13SEP17	B	1	PD13DEC17	11745.00	11652.70	USD	-553.80	
13SEP17	B	3	PD13DEC17	11710.00	11652.70	USD	-1,031.40	
13SEP17	B	3	PD13DEC17	11730.00	11652.70	USD	-1,391.40	
13SEP17	B	16	PD13DEC17	11740.00	11652.70	USD	-8,380.80	
13SEP17	B	2	PD13DEC17	11775.00	11652.70	USD	-1,467.60	
13SEP17	B	1	PD13DEC17	11720.00	11652.70	USD	-403.80	
13SEP17	S	2	PD13DEC17	11760.00	11652.70	USD	1,287.60	
13SEP17	B	1	PD13DEC17	11725.00	11652.70	USD	-433.80	
13SEP17	B	1	PD13DEC17	11550.00	11652.70	USD	616.20	
13SEP17	B	1	PD13DEC17	11560.00	11652.70	USD	556.20	
13SEP17	S	1	PD13DEC17	11755.00	11652.70	USD	613.80	
13SEP17	B	1	PD13DEC17	11755.00	11652.70	USD	-613.80	
13SEP17	S	1	PD13DEC17	11730.00	11652.70	USD	463.80	
13SEP17	B	1	PD13DEC17	11530.00	11652.70	USD	736.20	
13SEP17	B	3	PD13DEC17	11535.00	11652.70	USD	2,118.60	
13SEP17	B	1	PD13DEC17	11545.00	11652.70	USD	646.20	
13SEP17	B	5	PD13DEC17	11560.00	11652.70	USD	2,781.00	
13SEP17	B	11	PD13DEC17	11565.00	11652.70	USD	5,788.20	
13SEP17	B	18	PD13DEC17	11555.00	11652.70	USD	10,551.60	
13SEP17	S	5	PD13DEC17	11560.00	11652.70	USD	-2,781.00	
13SEP17	S	1	PD13DEC17	11575.00	11652.70	USD	-466.20	
13SEP17	S	13	PD13DEC17	11585.00	11652.70	USD	-5,280.60	
13SEP17	S	4	PD13DEC17	11580.00	11652.70	USD	-1,744.80	
13SEP17	B	3	PD13DEC17	11585.00	11652.70	USD	1,218.60	
13SEP17	B	4	PD13DEC17	11590.00	11652.70	USD	1,504.80	
13SEP17	B	2	PD13DEC17	11605.00	11652.70	USD	572.40	
13SEP17	B	4	PD13DEC17	11595.00	11652.70	USD	1,384.80	
13SEP17	B	19	PD13DEC17	11580.00	11652.70	USD	8,287.80	
13SEP17	B	12	PD13DEC17	11575.00	11652.70	USD	5,594.40	
13SEP17	B	4	PD13DEC17	11570.00	11652.70	USD	1,984.80	
13SEP17	S	1	PD13DEC17	11400.00	11652.70	USD	-1,516.20	
13SEP17	B	1	PD13DEC17	11420.00	11652.70	USD	1,396.20	
13SEP17	B	4	PD13DEC17	11370.00	11652.70	USD	6,784.80	
13SEP17	B	4	PD13DEC17	11400.00	11652.70	USD	6,064.80	
13SEP17	S	4	PD13DEC17	11405.00	11652.70	USD	-5,944.80	
13SEP17	B	5	PD13DEC17	11365.00	11652.70	USD	8,631.00	
13SEP17	S	1	PD13DEC17	11370.00	11652.70	USD	-1,696.20	
13SEP17	S	2	PD13DEC17	11365.00	11652.70	USD	-3,452.40	
13SEP17	S	3	PD13DEC17	11355.00	11652.70	USD	-5,358.60	
13SEP17	S	2	PD13DEC17	11340.00	11652.70	USD	-3,752.40	
13SEP17	S	4	PD13DEC17	11335.00	11652.70	USD	-7,624.80	
13SEP17	S	5	PD13DEC17	11360.00	11652.70	USD	-8,781.00	
13SEP17	B	1	PD13DEC17	11355.00	11652.70	USD	1,786.20	
13SEP17	B	3	PD13DEC17	11395.00	11652.70	USD	4,638.60	
13SEP17	B	4	PD13DEC17	11380.00	11652.70	USD	6,544.80	

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
13SEP17	B	1	PD13DEC17	11565.00	11652.70	USD	526.20	
13SEP17	B	1	PD13DEC17	11580.00	11652.70	USD	436.20	
13SEP17	S	1	PD13DEC17	11580.00	11652.70	USD	-436.20	
13SEP17	B	1	PD13DEC17	11595.00	11652.70	USD	346.20	
13SEP17	S	1	PD13DEC17	11585.00	11652.70	USD	-406.20	
13SEP17	B	4	PD13DEC17	11570.00	11652.70	USD	1,984.80	
13SEP17	B	5	PD13DEC17	11390.00	11652.70	USD	7,881.00	
13SEP17	S	3	PD13DEC17	11490.00	11652.70	USD	-2,928.60	
13SEP17	B	5	PD13DEC17	11455.00	11652.70	USD	5,931.00	
13SEP17	S	3	PD13DEC17	11455.00	11652.70	USD	-3,558.60	
13SEP17	B	2	PD13DEC17	11450.00	11652.70	USD	2,432.40	
13SEP17	B	1	PD13DEC17	11375.00	11652.70	USD	1,666.20	
13SEP17	B	3	PD13DEC17	11385.00	11652.70	USD	4,818.60	
13SEP17	S	2	PD13DEC17	11435.00	11652.70	USD	-2,612.40	
13SEP17	S	2	PD13DEC17	11410.00	11652.70	USD	-2,912.40	
13SEP17	S	6	PD13DEC17	11425.00	11652.70	USD	-8,197.20	
13SEP17	S	4	PD13DEC17	11430.00	11652.70	USD	-5,344.80	
13SEP17	S	4	PD13DEC17	11420.00	11652.70	USD	-5,584.80	
13SEP17	S	10	PD13DEC17	11415.00	11652.70	USD	-14,262.00	
14SEP17	B	15	PD13DEC17	11200.21	11652.70	USD	40,724.10	
15SEP17	S	3	PD13DEC17	11088.40	11652.70	USD	-10,157.40	
18SEP17	S	30	PD13DEC17	11230.21	11652.70	USD	-76,048.20	
18SEP17	S	23	PD13DEC17	11231.21	11652.70	USD	-58,165.62	
19SEP17	S	18	PD13DEC17	11135.41	11652.70	USD	-55,867.32	
20SEP17	S	8	PD13DEC17	11373.60	11652.70	USD	-13,396.80	
20SEP17	S	39	PD13DEC17	11374.60	11652.70	USD	-65,075.40	
21SEP17	S	22	PD13DEC17	10998.70	11652.70	USD	-86,328.00	
25SEP17	S	7	PD13DEC17	10564.40	11652.70	USD	-45,708.60	
	NET	+17 AVG BGHT 11624.99	SOLD 11295.95				-461,130.84	0.00
14SEP17	S	2	PD14DEC17	11435.00	11653.39	USD	-2,620.68	
14SEP17	S	1	PD14DEC17	11445.00	11653.39	USD	-1,250.34	
14SEP17	S	1	PD14DEC17	11465.00	11653.39	USD	-1,130.34	
14SEP17	S	3	PD14DEC17	11495.00	11653.39	USD	-2,851.02	
14SEP17	B	2	PD14DEC17	11500.00	11653.39	USD	1,840.68	
14SEP17	S	2	PD14DEC17	11505.00	11653.39	USD	-1,780.68	
14SEP17	S	4	PD14DEC17	11500.00	11653.39	USD	-3,681.36	
14SEP17	S	3	PD14DEC17	11485.00	11653.39	USD	-3,031.02	
14SEP17	B	1	PD14DEC17	11465.00	11653.39	USD	1,130.34	
14SEP17	S	3	PD14DEC17	11475.00	11653.39	USD	-3,211.02	
14SEP17	S	11	PD14DEC17	11470.00	11653.39	USD	-12,103.74	
14SEP17	S	1	PD14DEC17	11440.00	11653.39	USD	-1,280.34	
14SEP17	S	2	PD14DEC17	11455.00	11653.39	USD	-2,380.68	
14SEP17	S	3	PD14DEC17	11450.00	11653.39	USD	-3,661.02	
14SEP17	S	3	PD14DEC17	11380.00	11653.39	USD	-4,921.02	
14SEP17	S	3	PD14DEC17	11375.00	11653.39	USD	-5,011.02	
14SEP17	B	1	PD14DEC17	11305.00	11653.39	USD	2,090.34	
14SEP17	B	1	PD14DEC17	11260.00	11653.39	USD	2,360.34	
14SEP17	B	2	PD14DEC17	11270.00	11653.39	USD	4,600.68	
14SEP17	B	1	PD14DEC17	11255.00	11653.39	USD	2,390.34	
14SEP17	S	1	PD14DEC17	11195.00	11653.39	USD	-2,750.34	
14SEP17	S	2	PD14DEC17	11230.00	11653.39	USD	-5,080.68	
14SEP17	B	3	PD14DEC17	11185.00	11653.39	USD	8,431.02	
14SEP17	B	3	PD14DEC17	11160.00	11653.39	USD	8,881.02	
14SEP17	B	1	PD14DEC17	11155.00	11653.39	USD	2,990.34	
14SEP17	B	6	PD14DEC17	11165.00	11653.39	USD	17,582.04	
14SEP17	S	2	PD14DEC17	11165.00	11653.39	USD	-5,860.68	
14SEP17	S	1	PD14DEC17	11155.00	11653.39	USD	-2,990.34	

OPEN POSITION STATEMENT



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SAP0094X

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
14SEP17	S	1	PD14DEC17	11160.00	11653.39	USD	-2,960.34	
14SEP17	S	3	PD14DEC17	11150.00	11653.39	USD	-9,061.02	
14SEP17	S	1	PD14DEC17	11145.00	11653.39	USD	-3,050.34	
14SEP17	B	1	PD14DEC17	11235.00	11653.39	USD	2,510.34	
14SEP17	S	2	PD14DEC17	11445.00	11653.39	USD	-2,500.68	
14SEP17	S	2	PD14DEC17	11455.00	11653.39	USD	-2,380.68	
14SEP17	S	1	PD14DEC17	11515.00	11653.39	USD	-830.34	
14SEP17	S	1	PD14DEC17	11320.00	11653.39	USD	-2,000.34	
14SEP17	S	1	PD14DEC17	11245.00	11653.39	USD	-2,450.34	
14SEP17	S	1	PD14DEC17	11180.00	11653.39	USD	-2,840.34	
14SEP17	S	1	PD14DEC17	11185.00	11653.39	USD	-2,810.34	
14SEP17	S	1	PD14DEC17	11320.00	11653.39	USD	-2,000.34	
14SEP17	S	1	PD14DEC17	11405.00	11653.39	USD	-1,490.34	
14SEP17	S	1	PD14DEC17	11350.00	11653.39	USD	-1,820.34	
14SEP17	S	2	PD14DEC17	11330.00	11653.39	USD	-3,880.68	
14SEP17	S	5	PD14DEC17	11335.00	11653.39	USD	-9,551.70	
14SEP17	S	2	PD14DEC17	11355.00	11653.39	USD	-3,580.68	
14SEP17	S	2	PD14DEC17	11345.00	11653.39	USD	-3,700.68	
14SEP17	S	2	PD14DEC17	11340.00	11653.39	USD	-3,760.68	
14SEP17	S	3	PD14DEC17	11325.00	11653.39	USD	-5,911.02	
14SEP17	B	3	PD14DEC17	11300.00	11653.39	USD	6,361.02	
14SEP17	S	14	PD14DEC17	11280.00	11653.39	USD	-31,364.76	
14SEP17	S	5	PD14DEC17	11315.00	11653.39	USD	-10,151.70	
14SEP17	S	20	PD14DEC17	11300.00	11653.39	USD	-42,406.80	
14SEP17	S	1	PD14DEC17	11305.00	11653.39	USD	-2,090.34	
14SEP17	S	1	PD14DEC17	11250.00	11653.39	USD	-2,420.34	
14SEP17	S	2	PD14DEC17	11255.00	11653.39	USD	-4,780.68	
14SEP17	S	7	PD14DEC17	11270.00	11653.39	USD	-16,102.38	
14SEP17	S	16	PD14DEC17	11275.00	11653.39	USD	-36,325.44	
14SEP17	S	2	PD14DEC17	11220.00	11653.39	USD	-5,200.68	
14SEP17	S	5	PD14DEC17	11225.00	11653.39	USD	-12,851.70	
14SEP17	S	1	PD14DEC17	11215.00	11653.39	USD	-2,630.34	
14SEP17	S	11	PD14DEC17	11295.00	11653.39	USD	-23,653.74	
14SEP17	S	9	PD14DEC17	11285.00	11653.39	USD	-19,893.06	
14SEP17	S	9	PD14DEC17	11290.00	11653.39	USD	-19,623.06	
14SEP17	B	8	PD14DEC17	11290.00	11653.39	USD	17,442.72	
14SEP17	B	12	PD14DEC17	11280.00	11653.39	USD	26,884.08	
14SEP17	B	5	PD14DEC17	11275.00	11653.39	USD	11,351.70	
14SEP17	B	4	PD14DEC17	11175.00	11653.39	USD	11,481.36	
14SEP17	B	5	PD14DEC17	11180.00	11653.39	USD	14,201.70	
14SEP17	S	1	PD14DEC17	11385.00	11653.39	USD	-1,610.34	
14SEP17	S	2	PD14DEC17	11310.00	11653.39	USD	-4,120.68	
14SEP17	B	1	PD14DEC17	11280.00	11653.39	USD	2,240.34	
14SEP17	S	1	PD14DEC17	11275.00	11653.39	USD	-2,270.34	
14SEP17	S	2	PD14DEC17	11280.00	11653.39	USD	-4,480.68	
14SEP17	S	1	PD14DEC17	11290.00	11653.39	USD	-2,180.34	
14SEP17	S	1	PD14DEC17	11225.00	11653.39	USD	-2,570.34	
14SEP17	S	1	PD14DEC17	11240.00	11653.39	USD	-2,480.34	
14SEP17	S	4	PD14DEC17	11265.00	11653.39	USD	-9,321.36	
14SEP17	B	4	PD14DEC17	11245.00	11653.39	USD	9,801.36	
14SEP17	B	10	PD14DEC17	11200.00	11653.39	USD	27,203.40	
14SEP17	B	2	PD14DEC17	11210.00	11653.39	USD	5,320.68	
14SEP17	B	5	PD14DEC17	11205.00	11653.39	USD	13,451.70	
14SEP17	B	3	PD14DEC17	11225.00	11653.39	USD	7,711.02	
14SEP17	B	4	PD14DEC17	11215.00	11653.39	USD	10,521.36	
14SEP17	B	12	PD14DEC17	11220.00	11653.39	USD	31,204.08	
14SEP17	S	9	PD14DEC17	11199.00	11653.39	USD	-24,537.06	

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>								
14SEP17	B	1	PD14DEC17		11205.00	11653.39	USD	2,690.34	
14SEP17	B	1	PD14DEC17		11195.00	11653.39	USD	2,750.34	
14SEP17	B	3	PD14DEC17		11215.00	11653.39	USD	7,891.02	
15SEP17	B	3	PD14DEC17		11090.20	11653.39	USD	10,137.42	
18SEP17	B	23	PD14DEC17		11232.97	11653.39	USD	58,017.96	
19SEP17	B	11	PD14DEC17		11137.18	11653.39	USD	34,069.86	
20SEP17	B	16	PD14DEC17		11376.37	11653.39	USD	26,593.92	
20SEP17	B	8	PD14DEC17		11375.37	11653.39	USD	13,344.96	
21SEP17	B	22	PD14DEC17		11000.53	11653.39	USD	86,177.52	
25SEP17	B	4	PD14DEC17		10566.34	11653.39	USD	26,089.20	
	NET	-14 AVG BGHT 11203.96	SOLD 11317.43					102,502.50	0.00
15SEP17	B	2	PD15DEC17		11220.00	11654.07	USD	5,208.84	
15SEP17	B	1	PD15DEC17		11225.00	11654.07	USD	2,574.42	
15SEP17	B	1	PD15DEC17		11210.00	11654.07	USD	2,664.42	
15SEP17	S	2	PD15DEC17		11235.00	11654.07	USD	-5,028.84	
15SEP17	S	1	PD15DEC17		11230.00	11654.07	USD	-2,544.42	
15SEP17	S	1	PD15DEC17		11200.00	11654.07	USD	-2,724.42	
15SEP17	S	1	PD15DEC17		11170.00	11654.07	USD	-2,904.42	
15SEP17	B	1	PD15DEC17		11145.00	11654.07	USD	3,054.42	
15SEP17	B	2	PD15DEC17		11155.00	11654.07	USD	5,988.84	
15SEP17	B	8	PD15DEC17		11170.00	11654.07	USD	23,235.36	
15SEP17	S	6	PD15DEC17		11165.00	11654.07	USD	-17,606.52	
15SEP17	S	3	PD15DEC17		11190.00	11654.07	USD	-8,353.26	
15SEP17	B	1	PD15DEC17		11075.00	11654.07	USD	3,474.42	
15SEP17	S	1	PD15DEC17		11085.00	11654.07	USD	-3,414.42	
15SEP17	S	2	PD15DEC17		11105.00	11654.07	USD	-6,588.84	
15SEP17	S	6	PD15DEC17		11120.00	11654.07	USD	-19,226.52	
15SEP17	S	1	PD15DEC17		11130.00	11654.07	USD	-3,144.42	
15SEP17	B	1	PD15DEC17		11090.00	11654.07	USD	3,384.42	
15SEP17	B	5	PD15DEC17		11070.00	11654.07	USD	17,522.10	
15SEP17	S	7	PD15DEC17		11115.00	11654.07	USD	-22,640.94	
15SEP17	B	2	PD15DEC17		11130.00	11654.07	USD	6,288.84	
15SEP17	B	3	PD15DEC17		11140.00	11654.07	USD	9,253.26	
15SEP17	B	3	PD15DEC17		11160.00	11654.07	USD	8,893.26	
15SEP17	B	6	PD15DEC17		11135.00	11654.07	USD	18,686.52	
15SEP17	S	1	PD15DEC17		11015.00	11654.07	USD	-3,834.42	
15SEP17	S	1	PD15DEC17		11005.00	11654.07	USD	-3,894.42	
15SEP17	B	2	PD15DEC17		10980.00	11654.07	USD	8,088.84	
15SEP17	S	2	PD15DEC17		10970.00	11654.07	USD	-8,208.84	
15SEP17	S	1	PD15DEC17		10945.00	11654.07	USD	-4,254.42	
15SEP17	S	1	PD15DEC17		10930.00	11654.07	USD	-4,344.42	
15SEP17	S	9	PD15DEC17		10935.00	11654.07	USD	-38,829.78	
15SEP17	S	5	PD15DEC17		10940.00	11654.07	USD	-21,422.10	
15SEP17	S	2	PD15DEC17		10890.00	11654.07	USD	-9,168.84	
15SEP17	S	2	PD15DEC17		10885.00	11654.07	USD	-9,228.84	
15SEP17	S	6	PD15DEC17		10880.00	11654.07	USD	-27,866.52	
15SEP17	S	3	PD15DEC17		10850.00	11654.07	USD	-14,473.26	
15SEP17	B	1	PD15DEC17		10970.00	11654.07	USD	4,104.42	
15SEP17	S	2	PD15DEC17		10995.00	11654.07	USD	-7,908.84	
15SEP17	S	2	PD15DEC17		10985.00	11654.07	USD	-8,028.84	
15SEP17	S	1	PD15DEC17		11010.00	11654.07	USD	-3,864.42	
15SEP17	S	1	PD15DEC17		11025.00	11654.07	USD	-3,774.42	
15SEP17	S	1	PD15DEC17		11030.00	11654.07	USD	-3,744.42	
15SEP17	S	6	PD15DEC17		11035.00	11654.07	USD	-22,286.52	
15SEP17	S	2	PD15DEC17		11040.00	11654.07	USD	-7,368.84	
15SEP17	S	9	PD15DEC17		11050.00	11654.07	USD	-32,619.78	
15SEP17	S	3	PD15DEC17		11045.00	11654.07	USD	-10,963.26	

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
15SEP17	B	1	PD15DEC17	11050.00	11654.07	USD	3,624.42	
15SEP17	B	2	PD15DEC17	11085.00	11654.07	USD	6,828.84	
15SEP17	B	2	PD15DEC17	11080.00	11654.07	USD	6,888.84	
15SEP17	S	1	PD15DEC17	11080.00	11654.07	USD	-3,444.42	
15SEP17	S	7	PD15DEC17	11090.00	11654.07	USD	-23,690.94	
15SEP17	S	4	PD15DEC17	11095.00	11654.07	USD	-13,417.68	
15SEP17	B	8	PD15DEC17	11125.00	11654.07	USD	25,395.36	
15SEP17	B	6	PD15DEC17	11120.00	11654.07	USD	19,226.52	
15SEP17	S	2	PD15DEC17	11150.00	11654.07	USD	-6,048.84	
15SEP17	B	2	PD15DEC17	11110.00	11654.07	USD	6,528.84	
15SEP17	B	4	PD15DEC17	11100.00	11654.07	USD	13,297.68	
15SEP17	B	10	PD15DEC17	11105.00	11654.07	USD	32,944.20	
15SEP17	B	1	PD15DEC17	11110.00	11654.07	USD	3,264.42	
15SEP17	S	2	PD15DEC17	10995.00	11654.07	USD	-7,908.84	
15SEP17	S	6	PD15DEC17	11000.00	11654.07	USD	-23,546.52	
19SEP17	B	8	PD15DEC17	11137.94	11654.07	USD	24,774.24	
20SEP17	B	2	PD15DEC17	11376.14	11654.07	USD	3,335.16	
20SEP17	B	14	PD15DEC17	11377.14	11654.07	USD	23,262.12	
21SEP17	B	8	PD15DEC17	11001.36	11654.07	USD	31,330.08	
21SEP17	B	2	PD15DEC17	11000.36	11654.07	USD	7,844.52	
25SEP17	B	2	PD15DEC17	10567.29	11654.07	USD	13,041.36	
	NET	-2 AVG BGHT 11137.54	SOLD 11037.08				-74,310.48	0.00
18SEP17	B	2	PD18DEC17	11155.00	11656.13	USD	6,013.56	
18SEP17	S	1	PD18DEC17	11140.00	11656.13	USD	-3,096.78	
18SEP17	B	2	PD18DEC17	11220.00	11656.13	USD	5,233.56	
18SEP17	B	1	PD18DEC17	11225.00	11656.13	USD	2,586.78	
18SEP17	B	5	PD18DEC17	11115.00	11656.13	USD	16,233.90	
18SEP17	B	5	PD18DEC17	11195.00	11656.13	USD	13,833.90	
18SEP17	B	5	PD18DEC17	11110.00	11656.13	USD	16,383.90	
18SEP17	B	1	PD18DEC17	11100.00	11656.13	USD	3,336.78	
18SEP17	S	1	PD18DEC17	11185.00	11656.13	USD	-2,826.78	
18SEP17	B	1	PD18DEC17	11185.00	11656.13	USD	2,826.78	
18SEP17	S	5	PD18DEC17	11215.00	11656.13	USD	-13,233.90	
18SEP17	S	1	PD18DEC17	11195.00	11656.13	USD	-2,766.78	
18SEP17	B	1	PD18DEC17	11190.00	11656.13	USD	2,796.78	
18SEP17	B	1	PD18DEC17	11185.00	11656.13	USD	2,826.78	
18SEP17	S	2	PD18DEC17	11200.00	11656.13	USD	-5,473.56	
18SEP17	S	3	PD18DEC17	11230.00	11656.13	USD	-7,670.34	
18SEP17	S	1	PD18DEC17	11255.00	11656.13	USD	-2,406.78	
18SEP17	S	1	PD18DEC17	11250.00	11656.13	USD	-2,436.78	
18SEP17	B	1	PD18DEC17	11245.00	11656.13	USD	2,466.78	
18SEP17	B	1	PD18DEC17	11170.00	11656.13	USD	2,916.78	
18SEP17	B	1	PD18DEC17	11175.00	11656.13	USD	2,886.78	
18SEP17	B	1	PD18DEC17	11150.00	11656.13	USD	3,036.78	
18SEP17	B	2	PD18DEC17	11205.00	11656.13	USD	5,413.56	
18SEP17	B	2	PD18DEC17	11190.00	11656.13	USD	5,593.56	
18SEP17	S	1	PD18DEC17	11210.00	11656.13	USD	-2,676.78	
18SEP17	S	2	PD18DEC17	11220.00	11656.13	USD	-5,233.56	
18SEP17	S	3	PD18DEC17	11225.00	11656.13	USD	-7,760.34	
18SEP17	S	1	PD18DEC17	11205.00	11656.13	USD	-2,706.78	
18SEP17	S	2	PD18DEC17	11190.00	11656.13	USD	-5,593.56	
18SEP17	S	3	PD18DEC17	11185.00	11656.13	USD	-8,480.34	
18SEP17	S	7	PD18DEC17	11175.00	11656.13	USD	-20,207.46	
18SEP17	S	11	PD18DEC17	11180.00	11656.13	USD	-31,424.58	
18SEP17	S	2	PD18DEC17	11160.00	11656.13	USD	-5,953.56	
20SEP17	B	9	PD18DEC17	11379.46	11656.13	USD	14,940.18	
20SEP17	B	2	PD18DEC17	11378.46	11656.13	USD	3,332.04	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0094X

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
21SEP17	B	5	PD18DEC17	11002.84	11656.13	USD	19,598.70	
21SEP17	S	2	PD18DEC17	11001.84	11656.13	USD	-7,851.48	
25SEP17	B	1	PD18DEC17	10570.11	11656.13	USD	6,516.12	
	NET	0AVG	BGHT 11184.11				973.86	0.00
			SOLD 11187.42					
19SEP17	B	1	PD19DEC17	11225.00	11656.81	USD	2,590.86	
19SEP17	B	1	PD19DEC17	11215.00	11656.81	USD	2,650.86	
19SEP17	B	18	PD19DEC17	11140.00	11656.81	USD	55,815.48	
19SEP17	B	6	PD19DEC17	11135.00	11656.81	USD	18,785.16	
19SEP17	B	3	PD19DEC17	11110.00	11656.81	USD	9,842.58	
19SEP17	B	3	PD19DEC17	11115.00	11656.81	USD	9,752.58	
19SEP17	B	3	PD19DEC17	11080.00	11656.81	USD	10,382.58	
19SEP17	B	5	PD19DEC17	11100.00	11656.81	USD	16,704.30	
19SEP17	B	10	PD19DEC17	11095.00	11656.81	USD	33,708.60	
19SEP17	B	1	PD19DEC17	11090.00	11656.81	USD	3,400.86	
19SEP17	B	2	PD19DEC17	11060.00	11656.81	USD	7,161.72	
19SEP17	B	3	PD19DEC17	11050.00	11656.81	USD	10,922.58	
19SEP17	B	3	PD19DEC17	11040.00	11656.81	USD	11,102.58	
19SEP17	B	7	PD19DEC17	11035.00	11656.81	USD	26,116.02	
19SEP17	B	2	PD19DEC17	11030.00	11656.81	USD	7,521.72	
19SEP17	B	2	PD19DEC17	11045.00	11656.81	USD	7,341.72	
19SEP17	B	1	PD19DEC17	10995.00	11656.81	USD	3,970.86	
19SEP17	B	1	PD19DEC17	10965.00	11656.81	USD	4,150.86	
19SEP17	S	2	PD19DEC17	11020.00	11656.81	USD	-7,641.72	
19SEP17	S	1	PD19DEC17	11040.00	11656.81	USD	-3,700.86	
19SEP17	S	2	PD19DEC17	11015.00	11656.81	USD	-7,701.72	
19SEP17	S	3	PD19DEC17	11000.00	11656.81	USD	-11,822.58	
19SEP17	S	4	PD19DEC17	11010.00	11656.81	USD	-15,523.44	
19SEP17	S	1	PD19DEC17	11015.00	11656.81	USD	-3,850.86	
19SEP17	S	1	PD19DEC17	11005.00	11656.81	USD	-3,910.86	
19SEP17	B	2	PD19DEC17	11145.00	11656.81	USD	6,141.72	
19SEP17	B	1	PD19DEC17	11115.00	11656.81	USD	3,250.86	
19SEP17	B	12	PD19DEC17	11110.00	11656.81	USD	39,370.32	
19SEP17	B	1	PD19DEC17	11100.00	11656.81	USD	3,340.86	
19SEP17	S	1	PD19DEC17	10985.00	11656.81	USD	-4,030.86	
19SEP17	B	1	PD19DEC17	10970.00	11656.81	USD	4,120.86	
19SEP17	S	1	PD19DEC17	10985.00	11656.81	USD	-4,030.86	
19SEP17	S	4	PD19DEC17	10995.00	11656.81	USD	-15,883.44	
19SEP17	B	2	PD19DEC17	10900.00	11656.81	USD	9,081.72	
19SEP17	B	1	PD19DEC17	10935.00	11656.81	USD	4,330.86	
19SEP17	B	4	PD19DEC17	10930.00	11656.81	USD	17,443.44	
19SEP17	B	4	PD19DEC17	10990.00	11656.81	USD	16,003.44	
19SEP17	B	4	PD19DEC17	10985.00	11656.81	USD	16,123.44	
19SEP17	B	4	PD19DEC17	10980.00	11656.81	USD	16,243.44	
19SEP17	B	2	PD19DEC17	11020.00	11656.81	USD	7,641.72	
19SEP17	B	8	PD19DEC17	11005.00	11656.81	USD	31,286.88	
19SEP17	S	1	PD19DEC17	11025.00	11656.81	USD	-3,790.86	
19SEP17	S	1	PD19DEC17	11055.00	11656.81	USD	-3,610.86	
19SEP17	S	1	PD19DEC17	11065.00	11656.81	USD	-3,550.86	
19SEP17	S	1	PD19DEC17	11070.00	11656.81	USD	-3,520.86	
19SEP17	B	1	PD19DEC17	11025.00	11656.81	USD	3,790.86	
19SEP17	S	6	PD19DEC17	11005.00	11656.81	USD	-23,465.16	
19SEP17	B	2	PD19DEC17	11105.00	11656.81	USD	6,621.72	
19SEP17	S	2	PD19DEC17	11140.00	11656.81	USD	-6,201.72	
19SEP17	S	1	PD19DEC17	11185.00	11656.81	USD	-2,830.86	
19SEP17	S	1	PD19DEC17	11190.00	11656.81	USD	-2,800.86	
19SEP17	S	1	PD19DEC17	11215.00	11656.81	USD	-2,650.86	
19SEP17	S	3	PD19DEC17	11205.00	11656.81	USD	-8,132.58	

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
19SEP17	S	11	PD19DEC17	11210.00	11656.81	USD	-29,489.46	
19SEP17	S	1	PD19DEC17	11040.00	11656.81	USD	-3,700.86	
19SEP17	B	2	PD19DEC17	11150.00	11656.81	USD	6,081.72	
19SEP17	B	5	PD19DEC17	11145.00	11656.81	USD	15,354.30	
19SEP17	B	1	PD19DEC17	11160.00	11656.81	USD	2,980.86	
19SEP17	S	1	PD19DEC17	11150.00	11656.81	USD	-3,040.86	
19SEP17	S	3	PD19DEC17	11210.00	11656.81	USD	-8,042.58	
19SEP17	B	1	PD19DEC17	11150.00	11656.81	USD	3,040.86	
19SEP17	B	1	PD19DEC17	11170.00	11656.81	USD	2,920.86	
19SEP17	B	4	PD19DEC17	11155.00	11656.81	USD	12,043.44	
20SEP17	S	12	PD19DEC17	11378.23	11656.81	USD	-20,057.76	
21SEP17	S	5	PD19DEC17	11002.67	11656.81	USD	-19,624.20	
21SEP17	S	12	PD19DEC17	11003.67	11656.81	USD	-47,026.08	
25SEP17	S	3	PD19DEC17	10570.06	11656.81	USD	-19,561.50	
03OCT17	S	10	PD19DEC17	10606.11	11656.81	USD	-63,042.00	
	NET	+39 AVG BGHT 11077.63	SOLD 11045.29				116,898.12	0.00
20SEP17	S	1	PD20DEC17	11235.00	11657.50	USD	-2,535.00	
20SEP17	S	1	PD20DEC17	11215.00	11657.50	USD	-2,655.00	
20SEP17	S	1	PD20DEC17	11205.00	11657.50	USD	-2,715.00	
20SEP17	B	3	PD20DEC17	11280.00	11657.50	USD	6,795.00	
20SEP17	B	2	PD20DEC17	11295.00	11657.50	USD	4,350.00	
20SEP17	B	4	PD20DEC17	11290.00	11657.50	USD	8,820.00	
20SEP17	B	1	PD20DEC17	11285.00	11657.50	USD	2,235.00	
20SEP17	B	1	PD20DEC17	11380.00	11657.50	USD	1,665.00	
20SEP17	B	2	PD20DEC17	11385.00	11657.50	USD	3,270.00	
20SEP17	B	1	PD20DEC17	11390.00	11657.50	USD	1,605.00	
20SEP17	S	1	PD20DEC17	11405.00	11657.50	USD	-1,515.00	
20SEP17	S	1	PD20DEC17	11425.00	11657.50	USD	-1,395.00	
20SEP17	S	1	PD20DEC17	11450.00	11657.50	USD	-1,245.00	
20SEP17	S	1	PD20DEC17	11480.00	11657.50	USD	-1,065.00	
20SEP17	S	1	PD20DEC17	11380.00	11657.50	USD	-1,665.00	
20SEP17	B	1	PD20DEC17	11380.00	11657.50	USD	1,665.00	
20SEP17	S	1	PD20DEC17	11445.00	11657.50	USD	-1,275.00	
20SEP17	B	1	PD20DEC17	11435.00	11657.50	USD	1,335.00	
20SEP17	S	1	PD20DEC17	11435.00	11657.50	USD	-1,335.00	
20SEP17	S	1	PD20DEC17	11430.00	11657.50	USD	-1,365.00	
20SEP17	S	2	PD20DEC17	11410.00	11657.50	USD	-2,970.00	
20SEP17	S	1	PD20DEC17	11400.00	11657.50	USD	-1,545.00	
20SEP17	S	1	PD20DEC17	11390.00	11657.50	USD	-1,605.00	
20SEP17	S	2	PD20DEC17	11385.00	11657.50	USD	-3,270.00	
20SEP17	B	1	PD20DEC17	11375.00	11657.50	USD	1,695.00	
20SEP17	B	2	PD20DEC17	11365.00	11657.50	USD	3,510.00	
20SEP17	B	4	PD20DEC17	11360.00	11657.50	USD	7,140.00	
20SEP17	B	5	PD20DEC17	11355.00	11657.50	USD	9,075.00	
20SEP17	S	3	PD20DEC17	11395.00	11657.50	USD	-4,725.00	
20SEP17	S	8	PD20DEC17	11375.00	11657.50	USD	-13,560.00	
20SEP17	B	2	PD20DEC17	11265.00	11657.50	USD	4,710.00	
20SEP17	S	1	PD20DEC17	11310.00	11657.50	USD	-2,085.00	
20SEP17	S	1	PD20DEC17	11385.00	11657.50	USD	-1,635.00	
20SEP17	S	1	PD20DEC17	11365.00	11657.50	USD	-1,755.00	
20SEP17	S	2	PD20DEC17	11325.00	11657.50	USD	-3,990.00	
20SEP17	S	14	PD20DEC17	11330.00	11657.50	USD	-27,510.00	
20SEP17	S	3	PD20DEC17	11335.00	11657.50	USD	-5,805.00	
20SEP17	S	3	PD20DEC17	11340.00	11657.50	USD	-5,715.00	
20SEP17	S	4	PD20DEC17	11345.00	11657.50	USD	-7,500.00	
20SEP17	S	5	PD20DEC17	11350.00	11657.50	USD	-9,225.00	
20SEP17	S	13	PD20DEC17	11355.00	11657.50	USD	-23,595.00	

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
20SEP17	S	13	PD20DEC17	11360.00	11657.50	USD	-23,205.00	
20SEP17	S	8	PD20DEC17	11380.00	11657.50	USD	-13,320.00	
20SEP17	S	14	PD20DEC17	11365.00	11657.50	USD	-24,570.00	
20SEP17	S	7	PD20DEC17	11370.00	11657.50	USD	-12,075.00	
20SEP17	S	2	PD20DEC17	11325.00	11657.50	USD	-3,990.00	
20SEP17	S	1	PD20DEC17	11340.00	11657.50	USD	-1,905.00	
21SEP17	B	12	PD20DEC17	11005.50	11657.50	USD	46,944.00	
25SEP17	B	3	PD20DEC17	10572.00	11657.50	USD	19,539.00	
25SEP17	B	1	PD20DEC17	10571.00	11657.50	USD	6,519.00	
26SEP17	B	5	PD20DEC17	10471.00	11657.50	USD	35,595.00	
03OCT17	B	10	PD20DEC17	10608.00	11657.50	USD	62,970.00	
03OCT17	B	6	PD20DEC17	10607.00	11657.50	USD	37,818.00	
	NET	-53 AVG BGHT 10992.69	SOLD 11359.83				52,935.00	0.00
21SEP17	S	1	PD21DEC17	11285.00	11658.26	USD	-2,239.56	
21SEP17	S	1	PD21DEC17	11280.00	11658.26	USD	-2,269.56	
21SEP17	S	1	PD21DEC17	11260.00	11658.26	USD	-2,389.56	
21SEP17	S	2	PD21DEC17	11200.00	11658.26	USD	-5,499.12	
21SEP17	B	6	PD21DEC17	11200.00	11658.26	USD	16,497.36	
21SEP17	B	10	PD21DEC17	11210.00	11658.26	USD	26,895.60	
21SEP17	B	1	PD21DEC17	11215.00	11658.26	USD	2,659.56	
21SEP17	S	1	PD21DEC17	11155.00	11658.26	USD	-3,019.56	
21SEP17	S	4	PD21DEC17	11165.00	11658.26	USD	-11,838.24	
21SEP17	S	4	PD21DEC17	11110.00	11658.26	USD	-13,158.24	
21SEP17	B	1	PD21DEC17	11160.00	11658.26	USD	2,989.56	
21SEP17	B	1	PD21DEC17	11150.00	11658.26	USD	3,049.56	
21SEP17	B	9	PD21DEC17	11085.00	11658.26	USD	30,956.04	
21SEP17	B	8	PD21DEC17	11080.00	11658.26	USD	27,756.48	
21SEP17	B	1	PD21DEC17	11055.00	11658.26	USD	3,619.56	
21SEP17	B	2	PD21DEC17	11030.00	11658.26	USD	7,539.12	
21SEP17	B	5	PD21DEC17	11040.00	11658.26	USD	18,547.80	
21SEP17	S	1	PD21DEC17	11090.00	11658.26	USD	-3,409.56	
21SEP17	B	1	PD21DEC17	11160.00	11658.26	USD	2,989.56	
21SEP17	B	2	PD21DEC17	10935.00	11658.26	USD	8,679.12	
21SEP17	B	4	PD21DEC17	10940.00	11658.26	USD	17,238.24	
21SEP17	B	1	PD21DEC17	10930.00	11658.26	USD	4,369.56	
21SEP17	B	4	PD21DEC17	10950.00	11658.26	USD	16,998.24	
21SEP17	B	3	PD21DEC17	10960.00	11658.26	USD	12,568.68	
21SEP17	B	4	PD21DEC17	10965.00	11658.26	USD	16,638.24	
21SEP17	B	1	PD21DEC17	10970.00	11658.26	USD	4,129.56	
21SEP17	S	1	PD21DEC17	10970.00	11658.26	USD	-4,129.56	
21SEP17	B	5	PD21DEC17	10975.00	11658.26	USD	20,497.80	
21SEP17	S	3	PD21DEC17	10995.00	11658.26	USD	-11,938.68	
21SEP17	S	4	PD21DEC17	11005.00	11658.26	USD	-15,678.24	
21SEP17	S	8	PD21DEC17	11000.00	11658.26	USD	-31,596.48	
21SEP17	B	10	PD21DEC17	11005.00	11658.26	USD	39,195.60	
21SEP17	S	3	PD21DEC17	10990.00	11658.26	USD	-12,028.68	
21SEP17	B	2	PD21DEC17	10990.00	11658.26	USD	8,019.12	
21SEP17	B	7	PD21DEC17	10995.00	11658.26	USD	27,856.92	
21SEP17	B	8	PD21DEC17	11000.00	11658.26	USD	31,596.48	
21SEP17	B	3	PD21DEC17	10945.00	11658.26	USD	12,838.68	
21SEP17	B	7	PD21DEC17	10980.00	11658.26	USD	28,486.92	
21SEP17	B	8	PD21DEC17	11070.00	11658.26	USD	28,236.48	
21SEP17	B	1	PD21DEC17	11120.00	11658.26	USD	3,229.56	
21SEP17	S	1	PD21DEC17	11095.00	11658.26	USD	-3,379.56	
21SEP17	B	4	PD21DEC17	11095.00	11658.26	USD	13,518.24	
21SEP17	B	1	PD21DEC17	10945.00	11658.26	USD	4,279.56	
21SEP17	B	2	PD21DEC17	10960.00	11658.26	USD	8,379.12	

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
21SEP17	B	1	PD21DEC17	10980.00	11658.26	USD	4,069.56	
21SEP17	S	2	PD21DEC17	11005.00	11658.26	USD	-7,839.12	
21SEP17	S	5	PD21DEC17	11010.00	11658.26	USD	-19,447.80	
21SEP17	S	1	PD21DEC17	11000.00	11658.26	USD	-3,949.56	
21SEP17	B	2	PD21DEC17	11000.00	11658.26	USD	7,899.12	
21SEP17	B	3	PD21DEC17	11105.00	11658.26	USD	9,958.68	
21SEP17	B	5	PD21DEC17	11090.00	11658.26	USD	17,047.80	
21SEP17	B	6	PD21DEC17	11060.00	11658.26	USD	21,537.36	
21SEP17	B	2	PD21DEC17	11075.00	11658.26	USD	6,999.12	
21SEP17	B	2	PD21DEC17	11010.00	11658.26	USD	7,779.12	
21SEP17	B	1	PD21DEC17	10985.00	11658.26	USD	4,039.56	
21SEP17	B	1	PD21DEC17	10910.00	11658.26	USD	4,489.56	
21SEP17	S	2	PD21DEC17	10880.00	11658.26	USD	-9,339.12	
21SEP17	S	2	PD21DEC17	10890.00	11658.26	USD	-9,219.12	
21SEP17	B	1	PD21DEC17	10835.00	11658.26	USD	4,939.56	
21SEP17	S	2	PD21DEC17	10830.00	11658.26	USD	-9,939.12	
21SEP17	S	1	PD21DEC17	10810.00	11658.26	USD	-5,089.56	
21SEP17	S	1	PD21DEC17	10745.00	11658.26	USD	-5,479.56	
21SEP17	B	1	PD21DEC17	10735.00	11658.26	USD	5,539.56	
21SEP17	S	2	PD21DEC17	10720.00	11658.26	USD	-11,259.12	
21SEP17	B	1	PD21DEC17	10725.00	11658.26	USD	5,599.56	
21SEP17	S	2	PD21DEC17	10750.00	11658.26	USD	-10,899.12	
21SEP17	B	1	PD21DEC17	10685.00	11658.26	USD	5,839.56	
21SEP17	B	1	PD21DEC17	10715.00	11658.26	USD	5,659.56	
21SEP17	B	1	PD21DEC17	10635.00	11658.26	USD	6,139.56	
21SEP17	S	2	PD21DEC17	10650.00	11658.26	USD	-12,099.12	
21SEP17	S	1	PD21DEC17	10630.00	11658.26	USD	-6,169.56	
21SEP17	S	1	PD21DEC17	10695.00	11658.26	USD	-5,779.56	
21SEP17	B	2	PD21DEC17	10650.00	11658.26	USD	12,099.12	
21SEP17	S	1	PD21DEC17	10740.00	11658.26	USD	-5,509.56	
21SEP17	B	6	PD21DEC17	11110.00	11658.26	USD	19,737.36	
21SEP17	S	6	PD21DEC17	10830.00	11658.26	USD	-29,817.36	
21SEP17	S	2	PD21DEC17	10725.00	11658.26	USD	-11,199.12	
21SEP17	S	2	PD21DEC17	10815.00	11658.26	USD	-10,119.12	
21SEP17	S	2	PD21DEC17	10750.00	11658.26	USD	-10,899.12	
21SEP17	S	1	PD21DEC17	10630.00	11658.26	USD	-6,169.56	
25SEP17	S	1	PD21DEC17	10570.75	11658.26	USD	-6,525.06	
26SEP17	S	5	PD21DEC17	10470.75	11658.26	USD	-35,625.30	
26SEP17	S	15	PD21DEC17	10471.75	11658.26	USD	-106,785.90	
28SEP17	S	2	PD21DEC17	10431.00	11658.26	USD	-14,727.12	
03OCT17	S	6	PD21DEC17	10607.00	11658.26	USD	-37,845.36	
03OCT17	S	5	PD21DEC17	10608.00	11658.26	USD	-31,507.80	
10OCT17	S	4	PD21DEC17	11041.67	11658.26	USD	-14,798.16	
	NET	+48 AVG BGHT 11029.72	SOLD 10816.50				39,017.46	0.00
22SEP17	S	3	PD22DEC17	10745.00	11659.02	USD	-16,452.36	
22SEP17	B	2	PD22DEC17	10745.00	11659.02	USD	10,968.24	
22SEP17	B	1	PD22DEC17	10730.00	11659.02	USD	5,574.12	
22SEP17	B	1	PD22DEC17	10725.00	11659.02	USD	5,604.12	
22SEP17	B	3	PD22DEC17	10735.00	11659.02	USD	16,632.36	
22SEP17	S	2	PD22DEC17	10670.00	11659.02	USD	-11,868.24	
22SEP17	B	1	PD22DEC17	10765.00	11659.02	USD	5,364.12	
22SEP17	B	1	PD22DEC17	10760.00	11659.02	USD	5,394.12	
22SEP17	B	5	PD22DEC17	10790.00	11659.02	USD	26,070.60	
22SEP17	B	1	PD22DEC17	10775.00	11659.02	USD	5,304.12	
22SEP17	S	1	PD22DEC17	10785.00	11659.02	USD	-5,244.12	
22SEP17	B	4	PD22DEC17	10770.00	11659.02	USD	21,336.48	
22SEP17	S	4	PD22DEC17	10695.00	11659.02	USD	-23,136.48	

OPEN POSITION STATEMENT



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SAP0094X

13th October 2017

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
22SEP17	S	2	PD22DEC17	10675.00	11659.02	USD	-11,808.24	
22SEP17	B	2	PD22DEC17	10660.00	11659.02	USD	11,988.24	
22SEP17	B	2	PD22DEC17	10655.00	11659.02	USD	12,048.24	
22SEP17	B	1	PD22DEC17	10650.00	11659.02	USD	6,054.12	
22SEP17	B	2	PD22DEC17	10610.00	11659.02	USD	12,588.24	
22SEP17	B	1	PD22DEC17	10605.00	11659.02	USD	6,324.12	
22SEP17	B	2	PD22DEC17	10590.00	11659.02	USD	12,828.24	
22SEP17	B	1	PD22DEC17	10595.00	11659.02	USD	6,384.12	
22SEP17	S	1	PD22DEC17	10635.00	11659.02	USD	-6,144.12	
22SEP17	B	3	PD22DEC17	10630.00	11659.02	USD	18,522.36	
22SEP17	B	3	PD22DEC17	10635.00	11659.02	USD	18,432.36	
22SEP17	S	7	PD22DEC17	10585.00	11659.02	USD	-45,108.84	
22SEP17	S	3	PD22DEC17	10550.00	11659.02	USD	-19,962.36	
22SEP17	S	17	PD22DEC17	10535.00	11659.02	USD	-114,650.04	
22SEP17	B	1	PD22DEC17	10510.00	11659.02	USD	6,894.12	
22SEP17	S	1	PD22DEC17	10765.00	11659.02	USD	-5,364.12	
22SEP17	B	1	PD22DEC17	10570.00	11659.02	USD	6,534.12	
22SEP17	S	1	PD22DEC17	10590.00	11659.02	USD	-6,414.12	
22SEP17	S	1	PD22DEC17	10565.00	11659.02	USD	-6,564.12	
22SEP17	S	1	PD22DEC17	10570.00	11659.02	USD	-6,534.12	
22SEP17	B	3	PD22DEC17	10580.00	11659.02	USD	19,422.36	
22SEP17	B	1	PD22DEC17	10460.00	11659.02	USD	7,194.12	
22SEP17	B	2	PD22DEC17	10485.00	11659.02	USD	14,088.24	
22SEP17	B	6	PD22DEC17	10475.00	11659.02	USD	42,624.72	
22SEP17	B	1	PD22DEC17	10480.00	11659.02	USD	7,074.12	
22SEP17	B	1	PD22DEC17	10455.00	11659.02	USD	7,224.12	
22SEP17	B	1	PD22DEC17	10440.00	11659.02	USD	7,314.12	
22SEP17	B	3	PD22DEC17	10435.00	11659.02	USD	22,032.36	
22SEP17	B	2	PD22DEC17	10445.00	11659.02	USD	14,568.24	
22SEP17	B	1	PD22DEC17	10490.00	11659.02	USD	7,014.12	
22SEP17	S	1	PD22DEC17	10510.00	11659.02	USD	-6,894.12	
22SEP17	S	4	PD22DEC17	10540.00	11659.02	USD	-26,856.48	
22SEP17	S	1	PD22DEC17	10525.00	11659.02	USD	-6,804.12	
22SEP17	S	1	PD22DEC17	10530.00	11659.02	USD	-6,774.12	
22SEP17	B	1	PD22DEC17	10555.00	11659.02	USD	6,624.12	
22SEP17	S	1	PD22DEC17	10595.00	11659.02	USD	-6,384.12	
22SEP17	S	1	PD22DEC17	10605.00	11659.02	USD	-6,324.12	
22SEP17	B	1	PD22DEC17	10625.00	11659.02	USD	6,204.12	
22SEP17	S	1	PD22DEC17	10530.00	11659.02	USD	-6,774.12	
26SEP17	S	6	PD22DEC17	10472.50	11659.02	USD	-42,714.72	
28SEP17	S	6	PD22DEC17	10432.00	11659.02	USD	-44,172.72	
03OCT17	S	3	PD22DEC17	10609.00	11659.02	USD	-18,900.36	
	NET	-8 AVG	BGHT 10614.67	SOLD 10567.59			-69,618.96	0.00
25SEP17	B	1	PD27DEC17	10635.00	11662.83	USD	6,166.98	
25SEP17	S	2	PD27DEC17	10515.00	11662.83	USD	-13,773.96	
25SEP17	B	1	PD27DEC17	10625.00	11662.83	USD	6,226.98	
25SEP17	B	2	PD27DEC17	10490.00	11662.83	USD	14,073.96	
25SEP17	S	2	PD27DEC17	10620.00	11662.83	USD	-12,513.96	
25SEP17	S	3	PD27DEC17	10610.00	11662.83	USD	-18,950.94	
25SEP17	B	2	PD27DEC17	10540.00	11662.83	USD	13,473.96	
25SEP17	S	1	PD27DEC17	10615.00	11662.83	USD	-6,286.98	
25SEP17	S	4	PD27DEC17	10525.00	11662.83	USD	-27,307.92	
25SEP17	S	2	PD27DEC17	10510.00	11662.83	USD	-13,833.96	
25SEP17	B	1	PD27DEC17	10520.00	11662.83	USD	6,856.98	
25SEP17	B	1	PD27DEC17	10495.00	11662.83	USD	7,006.98	
25SEP17	B	5	PD27DEC17	10525.00	11662.83	USD	34,134.90	
25SEP17	B	4	PD27DEC17	10500.00	11662.83	USD	27,907.92	

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
25SEP17	S	2	PD27DEC17	10505.00	11662.83	USD	-13,893.96	
25SEP17	S	1	PD27DEC17	10550.00	11662.83	USD	-6,676.98	
25SEP17	S	3	PD27DEC17	10560.00	11662.83	USD	-19,850.94	
25SEP17	S	2	PD27DEC17	10575.00	11662.83	USD	-13,053.96	
25SEP17	S	1	PD27DEC17	10575.00	11662.83	USD	-6,526.98	
25SEP17	S	1	PD27DEC17	10640.00	11662.83	USD	-6,136.98	
26SEP17	S	1	PD27DEC17	10665.00	11662.83	USD	-5,986.98	
26SEP17	B	1	PD27DEC17	10660.00	11662.83	USD	6,016.98	
26SEP17	S	2	PD27DEC17	10660.00	11662.83	USD	-12,033.96	
26SEP17	S	1	PD27DEC17	10620.00	11662.83	USD	-6,256.98	
26SEP17	S	1	PD27DEC17	10640.00	11662.83	USD	-6,136.98	
26SEP17	B	1	PD27DEC17	10640.00	11662.83	USD	6,136.98	
26SEP17	S	1	PD27DEC17	10575.00	11662.83	USD	-6,526.98	
26SEP17	B	1	PD27DEC17	10570.00	11662.83	USD	6,556.98	
26SEP17	B	1	PD27DEC17	10660.00	11662.83	USD	6,016.98	
26SEP17	B	1	PD27DEC17	10480.00	11662.83	USD	7,096.98	
26SEP17	B	1	PD27DEC17	10485.00	11662.83	USD	7,066.98	
26SEP17	B	3	PD27DEC17	10475.00	11662.83	USD	21,380.94	
26SEP17	B	5	PD27DEC17	10465.00	11662.83	USD	35,934.90	
26SEP17	B	1	PD27DEC17	10440.00	11662.83	USD	7,336.98	
26SEP17	B	2	PD27DEC17	10420.00	11662.83	USD	14,913.96	
26SEP17	B	2	PD27DEC17	10410.00	11662.83	USD	15,033.96	
26SEP17	B	1	PD27DEC17	10415.00	11662.83	USD	7,486.98	
26SEP17	B	2	PD27DEC17	10455.00	11662.83	USD	14,493.96	
26SEP17	B	5	PD27DEC17	10445.00	11662.83	USD	36,534.90	
26SEP17	B	2	PD27DEC17	10550.00	11662.83	USD	13,353.96	
26SEP17	B	1	PD27DEC17	10510.00	11662.83	USD	6,916.98	
26SEP17	B	1	PD27DEC17	10505.00	11662.83	USD	6,946.98	
26SEP17	B	4	PD27DEC17	10500.00	11662.83	USD	27,907.92	
26SEP17	S	2	PD27DEC17	10500.00	11662.83	USD	-13,953.96	
26SEP17	S	1	PD27DEC17	10485.00	11662.83	USD	-7,066.98	
26SEP17	S	4	PD27DEC17	10490.00	11662.83	USD	-28,147.92	
26SEP17	S	3	PD27DEC17	10495.00	11662.83	USD	-21,020.94	
26SEP17	B	21	PD27DEC17	10481.00	11662.83	USD	148,910.58	
27SEP17	S	1	PD27DEC17	10590.00	11662.83	USD	-6,436.98	
27SEP17	S	3	PD27DEC17	10600.00	11662.83	USD	-19,130.94	
27SEP17	S	3	PD27DEC17	10595.00	11662.83	USD	-19,220.94	
27SEP17	S	2	PD27DEC17	10545.00	11662.83	USD	-13,413.96	
27SEP17	S	3	PD27DEC17	10570.00	11662.83	USD	-19,670.94	
27SEP17	S	1	PD27DEC17	10580.00	11662.83	USD	-6,496.98	
27SEP17	S	6	PD27DEC17	10565.00	11662.83	USD	-39,521.88	
27SEP17	S	4	PD27DEC17	10555.00	11662.83	USD	-26,587.92	
27SEP17	S	4	PD27DEC17	10560.00	11662.83	USD	-26,467.92	
27SEP17	B	5	PD27DEC17	10555.00	11662.83	USD	33,234.90	
27SEP17	B	6	PD27DEC17	10550.00	11662.83	USD	40,061.88	
27SEP17	B	2	PD27DEC17	10545.00	11662.83	USD	13,413.96	
27SEP17	S	7	PD27DEC17	10550.00	11662.83	USD	-46,738.86	
27SEP17	B	2	PD27DEC17	10500.00	11662.83	USD	13,953.96	
27SEP17	B	1	PD27DEC17	10480.00	11662.83	USD	7,096.98	
27SEP17	B	2	PD27DEC17	10460.00	11662.83	USD	14,433.96	
27SEP17	S	1	PD27DEC17	10415.00	11662.83	USD	-7,486.98	
27SEP17	S	1	PD27DEC17	10390.00	11662.83	USD	-7,636.98	
27SEP17	S	1	PD27DEC17	10365.00	11662.83	USD	-7,786.98	
27SEP17	S	1	PD27DEC17	10355.00	11662.83	USD	-7,846.98	
27SEP17	S	1	PD27DEC17	10345.00	11662.83	USD	-7,906.98	
27SEP17	B	1	PD27DEC17	10335.00	11662.83	USD	7,966.98	
27SEP17	B	2	PD27DEC17	10355.00	11662.83	USD	15,693.96	

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27SEP17	B	1	PD27DEC17		10380.00	11662.83	USD	7,696.98	
27SEP17	B	2	PD27DEC17		10385.00	11662.83	USD	15,333.96	
27SEP17	B	5	PD27DEC17		10375.00	11662.83	USD	38,634.90	
27SEP17	S	2	PD27DEC17		10375.00	11662.83	USD	-15,453.96	
27SEP17	S	2	PD27DEC17		10350.00	11662.83	USD	-15,753.96	
27SEP17	S	3	PD27DEC17		10560.00	11662.83	USD	-19,850.94	
27SEP17	S	2	PD27DEC17		10300.00	11662.83	USD	-16,353.96	
27SEP17	B	1	PD27DEC17		10305.00	11662.83	USD	8,146.98	
27SEP17	B	2	PD27DEC17		10295.00	11662.83	USD	16,413.96	
27SEP17	S	4	PD27DEC17		10265.00	11662.83	USD	-33,547.92	
27SEP17	B	1	PD27DEC17		10215.00	11662.83	USD	8,686.98	
27SEP17	B	5	PD27DEC17		10230.00	11662.83	USD	42,984.90	
27SEP17	S	1	PD27DEC17		10245.00	11662.83	USD	-8,506.98	
27SEP17	S	1	PD27DEC17		10250.00	11662.83	USD	-8,476.98	
27SEP17	S	2	PD27DEC17		10275.00	11662.83	USD	-16,653.96	
27SEP17	B	3	PD27DEC17		10360.00	11662.83	USD	23,450.94	
27SEP17	B	3	PD27DEC17		10370.00	11662.83	USD	23,270.94	
27SEP17	B	1	PD27DEC17		10345.00	11662.83	USD	7,906.98	
27SEP17	B	4	PD27DEC17		10365.00	11662.83	USD	31,147.92	
27SEP17	S	1	PD27DEC17		10335.00	11662.83	USD	-7,966.98	
27SEP17	S	1	PD27DEC17		10320.00	11662.83	USD	-8,056.98	
27SEP17	S	2	PD27DEC17		10325.00	11662.83	USD	-16,053.96	
27SEP17	S	1	PD27DEC17		10280.00	11662.83	USD	-8,296.98	
27SEP17	S	2	PD27DEC17		10285.00	11662.83	USD	-16,533.96	
27SEP17	S	2	PD27DEC17		10290.00	11662.83	USD	-16,473.96	
27SEP17	S	2	PD27DEC17		10295.00	11662.83	USD	-16,413.96	
27SEP17	B	1	PD27DEC17		10315.00	11662.83	USD	8,086.98	
27SEP17	B	1	PD27DEC17		10330.00	11662.83	USD	7,996.98	
27SEP17	B	1	PD27DEC17		10325.00	11662.83	USD	8,026.98	
27SEP17	B	1	PD27DEC17		10285.00	11662.83	USD	8,266.98	
27SEP17	S	1	PD27DEC17		10320.00	11662.83	USD	-8,056.98	
28SEP17	B	8	PD27DEC17		10439.67	11662.83	USD	58,711.68	
03OCT17	B	3	PD27DEC17		10614.58	11662.83	USD	18,868.50	
	NET	+29 AVG	BGHT 10456.77	SOLD 10488.84				230,639.82	0.00
28SEP17	B	3	PD28DEC17		10305.00	11663.59	USD	24,454.62	
28SEP17	B	2	PD28DEC17		10310.00	11663.59	USD	16,243.08	
28SEP17	S	1	PD28DEC17		10310.00	11663.59	USD	-8,121.54	
28SEP17	S	1	PD28DEC17		10305.00	11663.59	USD	-8,151.54	
28SEP17	S	1	PD28DEC17		10295.00	11663.59	USD	-8,211.54	
28SEP17	S	1	PD28DEC17		10285.00	11663.59	USD	-8,271.54	
28SEP17	S	1	PD28DEC17		10280.00	11663.59	USD	-8,301.54	
28SEP17	B	1	PD28DEC17		10325.00	11663.59	USD	8,031.54	
28SEP17	B	1	PD28DEC17		10300.00	11663.59	USD	8,181.54	
28SEP17	S	1	PD28DEC17		10320.00	11663.59	USD	-8,061.54	
28SEP17	B	1	PD28DEC17		10330.00	11663.59	USD	8,001.54	
28SEP17	B	2	PD28DEC17		10340.00	11663.59	USD	15,883.08	
28SEP17	B	1	PD28DEC17		10355.00	11663.59	USD	7,851.54	
28SEP17	S	1	PD28DEC17		10290.00	11663.59	USD	-8,241.54	
28SEP17	B	1	PD28DEC17		10285.00	11663.59	USD	8,271.54	
28SEP17	B	4	PD28DEC17		10275.00	11663.59	USD	33,326.16	
28SEP17	S	1	PD28DEC17		10255.00	11663.59	USD	-8,451.54	
28SEP17	S	2	PD28DEC17		10260.00	11663.59	USD	-16,843.08	
28SEP17	S	1	PD28DEC17		10245.00	11663.59	USD	-8,511.54	
28SEP17	S	2	PD28DEC17		10265.00	11663.59	USD	-16,783.08	
28SEP17	S	2	PD28DEC17		10280.00	11663.59	USD	-16,603.08	
28SEP17	B	2	PD28DEC17		10445.00	11663.59	USD	14,623.08	
28SEP17	S	2	PD28DEC17		10460.00	11663.59	USD	-14,443.08	

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28SEP17	S	2	PD28DEC17	10495.00	11663.59	USD	-14,023.08	
28SEP17	B	1	PD28DEC17	10470.00	11663.59	USD	7,161.54	
	NET	0AVG BGHT 10330.00	SOLD 10321.32				-990.00	0.00
29SEP17	S	1	PD29DEC17	10495.00	11664.35	USD	-7,016.10	
03OCT17	B	5	PD29DEC17	10616.42	11664.35	USD	31,437.90	
10OCT17	B	4	PD29DEC17	11048.00	11664.35	USD	14,792.40	
	NET	+8AVG BGHT 10808.23	SOLD 10495.00				39,214.20	0.00
09OCT17	B	1	PD09JAN18	10785.00	11672.72	USD	5,326.32	
09OCT17	B	1	PD09JAN18	10710.00	11672.72	USD	5,776.32	
09OCT17	B	3	PD09JAN18	10795.00	11672.72	USD	15,798.96	
09OCT17	B	3	PD09JAN18	10800.00	11672.72	USD	15,708.96	
09OCT17	S	2	PD09JAN18	10775.00	11672.72	USD	-10,772.64	
09OCT17	S	2	PD09JAN18	10765.00	11672.72	USD	-10,892.64	
09OCT17	B	2	PD09JAN18	10770.00	11672.72	USD	10,832.64	
09OCT17	B	2	PD09JAN18	10780.00	11672.72	USD	10,712.64	
09OCT17	B	2	PD09JAN18	10760.00	11672.72	USD	10,952.64	
09OCT17	S	6	PD09JAN18	10770.00	11672.72	USD	-32,497.92	
09OCT17	S	2	PD09JAN18	10795.00	11672.72	USD	-10,532.64	
09OCT17	S	2	PD09JAN18	10865.00	11672.72	USD	-9,692.64	
09OCT17	S	2	PD09JAN18	10905.00	11672.72	USD	-9,212.64	
09OCT17	B	2	PD09JAN18	10885.00	11672.72	USD	9,452.64	
	NET	0AVG BGHT 10791.88	SOLD 10801.88				960.00	0.00
10OCT17	B	2	PD10JAN18	10950.00	11673.48	USD	8,681.76	
10OCT17	B	2	PD10JAN18	10945.00	11673.48	USD	8,741.76	
10OCT17	B	2	PD10JAN18	10940.00	11673.48	USD	8,801.76	
10OCT17	B	2	PD10JAN18	10970.00	11673.48	USD	8,441.76	
10OCT17	B	2	PD10JAN18	10910.00	11673.48	USD	9,161.76	
10OCT17	S	2	PD10JAN18	10900.00	11673.48	USD	-9,281.76	
10OCT17	S	2	PD10JAN18	11050.00	11673.48	USD	-7,481.76	
	NET	+6AVG BGHT 10943.00	SOLD 10975.00				27,065.28	0.00
11OCT17	S	2	PD11JAN18	11005.00	11674.24	USD	-8,030.88	
11OCT17	S	2	PD11JAN18	10965.00	11674.24	USD	-8,510.88	
11OCT17	S	2	PD11JAN18	10895.00	11674.24	USD	-9,350.88	
11OCT17	B	2	PD11JAN18	11095.00	11674.24	USD	6,950.88	
11OCT17	B	2	PD11JAN18	11065.00	11674.24	USD	7,310.88	
11OCT17	B	2	PD11JAN18	11145.00	11674.24	USD	6,350.88	
11OCT17	S	2	PD11JAN18	11145.00	11674.24	USD	-6,350.88	
11OCT17	S	2	PD11JAN18	11230.00	11674.24	USD	-5,330.88	
	NET	-4AVG BGHT 11101.67	SOLD 11048.00				-16,961.76	0.00
12OCT17	B	2	PD12JAN18	11250.00	11675.00	USD	5,100.00	
12OCT17	S	1	PD12JAN18	11295.00	11675.00	USD	-2,280.00	
12OCT17	B	2	PD12JAN18	11305.00	11675.00	USD	4,440.00	
12OCT17	B	2	PD12JAN18	11240.00	11675.00	USD	5,220.00	
12OCT17	B	2	PD12JAN18	11260.00	11675.00	USD	4,980.00	
12OCT17	B	2	PD12JAN18	11425.00	11675.00	USD	3,000.00	
12OCT17	B	1	PD12JAN18	11370.00	11675.00	USD	1,830.00	
12OCT17	B	2	PD12JAN18	11380.00	11675.00	USD	3,540.00	
12OCT17	S	1	PD12JAN18	11380.00	11675.00	USD	-1,770.00	
13OCT17	S	4	PD12JAN18	11595.00	11675.00	USD	-1,920.00	
13OCT17	S	1	PD12JAN18	11600.00	11675.00	USD	-450.00	
13OCT17	S	1	PD12JAN18	11645.00	11675.00	USD	-180.00	
13OCT17	S	2	PD12JAN18	11640.00	11675.00	USD	-420.00	
13OCT17	S	2	PD12JAN18	11570.00	11675.00	USD	-1,260.00	
13OCT17	S	2	PD12JAN18	11620.00	11675.00	USD	-660.00	
13OCT17	S	1	PD12JAN18	11645.00	11675.00	USD	-180.00	
13OCT17	S	2	PD12JAN18	11610.00	11675.00	USD	-780.00	

OPEN POSITION STATEMENT



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backoffice@sapiencap.com

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Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
13OCT17	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u> S 5 PD12JAN18 NET -9AVG BGHT 11314.62 SOLD 11584.09	11605.00	11675.00	USD	-2,100.00 16,110.00	0.00
10OCT17	<u>CMX SILVER 5,000 CMX</u> S 1 DEC17 NET -1AVG SOLD 1724.5	1724.5	1741.1	USD	-830.00 -830.00	0.00
17JUL17	<u>LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u> B 1 PD17OCT17	19955.00	20735.00	USD	3,900.00	
17JUL17	B 1 PD17OCT17	19960.00	20735.00	USD	3,875.00	
17JUL17	B 1 PD17OCT17	19985.00	20735.00	USD	3,750.00	
26JUL17	S 1 PD17OCT17	20361.11	20735.00	USD	-1,869.45	
27JUL17	S 1 PD17OCT17	20692.37	20735.00	USD	-213.15	
10AUG17	S 1 PD17OCT17 NET 0AVG BGHT 19966.67 SOLD 20464.84	20341.05	20735.00	USD	-1,969.75 7,472.65	0.00
18JUL17	B 1 PD18OCT17	19950.00	20735.00	USD	3,925.00	
18JUL17	B 1 PD18OCT17	19915.00	20735.00	USD	4,100.00	
18JUL17	B 2 PD18OCT17	19975.00	20735.00	USD	7,600.00	
18JUL17	B 2 PD18OCT17	20000.00	20735.00	USD	7,350.00	
18JUL17	B 1 PD18OCT17	20045.00	20735.00	USD	3,450.00	
18JUL17	B 1 PD18OCT17	20030.00	20735.00	USD	3,525.00	
18JUL17	S 1 PD18OCT17	20045.00	20735.00	USD	-3,450.00	
18JUL17	B 2 PD18OCT17	20095.00	20735.00	USD	6,400.00	
18JUL17	B 1 PD18OCT17	19990.00	20735.00	USD	3,725.00	
18JUL17	B 1 PD18OCT17	20065.00	20735.00	USD	3,350.00	
18JUL17	B 1 PD18OCT17	20060.00	20735.00	USD	3,375.00	
18JUL17	B 1 PD18OCT17	20040.00	20735.00	USD	3,475.00	
18JUL17	B 2 PD18OCT17	20035.00	20735.00	USD	7,000.00	
18JUL17	S 1 PD18OCT17	20030.00	20735.00	USD	-3,525.00	
18JUL17	B 2 PD18OCT17	20050.00	20735.00	USD	6,850.00	
18JUL17	S 1 PD18OCT17	20000.00	20735.00	USD	-3,675.00	
18JUL17	S 1 PD18OCT17	20000.00	20735.00	USD	-3,675.00	
18JUL17	S 1 PD18OCT17	20005.00	20735.00	USD	-3,650.00	
27JUL17	S 4 PD18OCT17	20690.00	20735.00	USD	-900.00	
28JUL17	S 4 PD18OCT17	20625.00	20735.00	USD	-2,200.00	
08AUG17	S 2 PD18OCT17	20228.00	20735.00	USD	-5,070.00	
10AUG17	S 2 PD18OCT17	20340.00	20735.00	USD	-3,950.00	
17AUG17	S 1 PD18OCT17 NET 0AVG BGHT 20022.50 SOLD 20373.11	20240.00	20735.00	USD	-2,475.00 31,555.00	0.00
19JUL17	S 1 PD19OCT17	20065.00	20732.50	USD	-3,337.50	
19JUL17	S 2 PD19OCT17	20050.00	20732.50	USD	-6,825.00	
19JUL17	S 1 PD19OCT17	20120.00	20732.50	USD	-3,062.50	
19JUL17	S 1 PD19OCT17	20030.00	20732.50	USD	-3,512.50	
19JUL17	S 1 PD19OCT17	20100.00	20732.50	USD	-3,162.50	
19JUL17	B 1 PD19OCT17	20065.00	20732.50	USD	3,337.50	
19JUL17	B 1 PD19OCT17	20070.00	20732.50	USD	3,312.50	
19JUL17	S 1 PD19OCT17	20120.00	20732.50	USD	-3,062.50	
19JUL17	B 1 PD19OCT17	20105.00	20732.50	USD	3,137.50	
27JUL17	B 1 PD19OCT17	20685.29	20732.50	USD	236.05	
28JUL17	B 1 PD19OCT17	20622.43	20732.50	USD	550.35	
08AUG17	B 1 PD19OCT17	20227.80	20732.50	USD	2,523.50	
10AUG17	B 1 PD19OCT17 NET 0AVG BGHT 20302.23 SOLD 20076.43	20340.12	20732.50	USD	1,961.90 -7,903.20	0.00
20JUL17	S 1 PD20OCT17	20125.00	20730.00	USD	-3,025.00	
20JUL17	S 4 PD20OCT17	20095.00	20730.00	USD	-12,700.00	
20JUL17	S 2 PD20OCT17	20100.00	20730.00	USD	-6,300.00	

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20JUL17	S	1	PD200CT17		20115.00	20730.00	USD	-3,075.00	
20JUL17	S	4	PD200CT17		20110.00	20730.00	USD	-12,400.00	
20JUL17	S	1	PD200CT17		20105.00	20730.00	USD	-3,125.00	
20JUL17	B	1	PD200CT17		20090.00	20730.00	USD	3,200.00	
20JUL17	S	1	PD200CT17		20100.00	20730.00	USD	-3,150.00	
20JUL17	S	2	PD200CT17		20105.00	20730.00	USD	-6,250.00	
20JUL17	S	1	PD200CT17		20070.00	20730.00	USD	-3,300.00	
20JUL17	S	1	PD200CT17		20080.00	20730.00	USD	-3,250.00	
21JUL17	B	1	PD200CT17		20090.00	20730.00	USD	3,200.00	
21JUL17	B	1	PD200CT17		20085.00	20730.00	USD	3,225.00	
21JUL17	B	1	PD200CT17		20010.00	20730.00	USD	3,600.00	
21JUL17	B	1	PD200CT17		20025.00	20730.00	USD	3,525.00	
21JUL17	B	1	PD200CT17		20145.00	20730.00	USD	2,925.00	
21JUL17	B	1	PD200CT17		20150.00	20730.00	USD	2,900.00	
21JUL17	S	1	PD200CT17		20165.00	20730.00	USD	-2,825.00	
21JUL17	S	1	PD200CT17		20185.00	20730.00	USD	-2,725.00	
21JUL17	S	1	PD200CT17		20195.00	20730.00	USD	-2,675.00	
21JUL17	S	1	PD200CT17		20180.00	20730.00	USD	-2,750.00	
21JUL17	S	1	PD200CT17		20200.00	20730.00	USD	-2,650.00	
21JUL17	S	1	PD200CT17		20225.00	20730.00	USD	-2,525.00	
21JUL17	S	1	PD200CT17		20215.00	20730.00	USD	-2,575.00	
21JUL17	S	1	PD200CT17		20185.00	20730.00	USD	-2,725.00	
27JUL17	B	2	PD200CT17		20678.57	20730.00	USD	514.30	
27JUL17	B	5	PD200CT17		20679.57	20730.00	USD	1,260.75	
28JUL17	B	3	PD200CT17		20618.86	20730.00	USD	1,667.10	
08AUG17	B	1	PD200CT17		20225.60	20730.00	USD	2,522.00	
08AUG17	B	1	PD200CT17		20226.60	20730.00	USD	2,517.00	
10AUG17	B	2	PD200CT17		20339.24	20730.00	USD	3,907.60	
10AUG17	B	1	PD200CT17		20338.24	20730.00	USD	1,958.80	
10AUG17	S	1	PD200CT17		20338.24	20730.00	USD	-1,958.80	
17AUG17	B	1	PD200CT17		20239.00	20730.00	USD	2,455.00	
17AUG17	B	1	PD200CT17		20238.00	20730.00	USD	2,460.00	
22AUG17	B	3	PD200CT17		20405.32	20730.00	USD	4,870.20	
	NET	0 AVG	BGHT 20384.02	SOLD 20137.53				-33,276.05	0.00
24JUL17	S	1	PD240CT17		20245.00	20725.00	USD	-2,400.00	
24JUL17	B	1	PD240CT17		20175.00	20725.00	USD	2,750.00	
24JUL17	B	1	PD240CT17		20150.00	20725.00	USD	2,875.00	
24JUL17	B	1	PD240CT17		20135.00	20725.00	USD	2,950.00	
24JUL17	B	1	PD240CT17		20140.00	20725.00	USD	2,925.00	
24JUL17	B	1	PD240CT17		20145.00	20725.00	USD	2,900.00	
24JUL17	B	3	PD240CT17		20255.00	20725.00	USD	7,050.00	
24JUL17	B	5	PD240CT17		20245.00	20725.00	USD	12,000.00	
24JUL17	B	1	PD240CT17		20225.00	20725.00	USD	2,500.00	
24JUL17	B	2	PD240CT17		20195.00	20725.00	USD	5,300.00	
24JUL17	B	2	PD240CT17		20130.00	20725.00	USD	5,950.00	
24JUL17	B	5	PD240CT17		20075.00	20725.00	USD	16,250.00	
24JUL17	B	1	PD240CT17		20140.00	20725.00	USD	2,925.00	
24JUL17	S	1	PD240CT17		20145.00	20725.00	USD	-2,900.00	
27JUL17	S	1	PD240CT17		20666.14	20725.00	USD	-294.30	
27JUL17	S	3	PD240CT17		20667.14	20725.00	USD	-867.90	
28JUL17	S	6	PD240CT17		20610.71	20725.00	USD	-3,428.70	
07AUG17	S	4	PD240CT17		20621.43	20725.00	USD	-2,071.40	
08AUG17	S	1	PD240CT17		20222.20	20725.00	USD	-2,514.00	
10AUG17	S	1	PD240CT17		20335.47	20725.00	USD	-1,947.65	
10AUG17	S	2	PD240CT17		20336.47	20725.00	USD	-3,885.30	
17AUG17	S	1	PD240CT17		20235.00	20725.00	USD	-2,450.00	
17AUG17	S	3	PD240CT17		20236.00	20725.00	USD	-7,335.00	

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	<u>LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
	NET	0 AVG BGHT 20171.88	SOLD 20474.21				36,280.75	0.00
25JUL17	B	1	PD25OCT17	20200.00	20722.50	USD	2,612.50	
25JUL17	S	1	PD25OCT17	20160.00	20722.50	USD	-2,812.50	
25JUL17	B	1	PD25OCT17	20200.00	20722.50	USD	2,612.50	
25JUL17	S	1	PD25OCT17	20200.00	20722.50	USD	-2,612.50	
25JUL17	S	1	PD25OCT17	20325.00	20722.50	USD	-1,987.50	
25JUL17	S	1	PD25OCT17	20330.00	20722.50	USD	-1,962.50	
25JUL17	B	1	PD25OCT17	20250.00	20722.50	USD	2,362.50	
25JUL17	S	1	PD25OCT17	20345.00	20722.50	USD	-1,887.50	
25JUL17	S	1	PD25OCT17	20355.00	20722.50	USD	-1,837.50	
27JUL17	S	1	PD25OCT17	20660.43	20722.50	USD	-310.35	
27JUL17	B	2	PD25OCT17	20662.43	20722.50	USD	600.70	
28JUL17	B	2	PD25OCT17	20608.14	20722.50	USD	1,143.60	
10AUG17	S	1	PD25OCT17	20335.59	20722.50	USD	-1,934.55	
17AUG17	B	1	PD25OCT17	20236.00	20722.50	USD	2,432.50	
	NET	0 AVG BGHT 20428.39	SOLD 20338.88				-3,580.60	0.00
26JUL17	S	1	PD26OCT17	20290.00	20720.00	USD	-2,150.00	
26JUL17	S	2	PD26OCT17	20325.00	20720.00	USD	-3,950.00	
26JUL17	S	2	PD26OCT17	20320.00	20720.00	USD	-4,000.00	
26JUL17	S	1	PD26OCT17	20330.00	20720.00	USD	-1,950.00	
26JUL17	S	1	PD26OCT17	20360.00	20720.00	USD	-1,800.00	
26JUL17	B	2	PD26OCT17	20370.00	20720.00	USD	3,500.00	
26JUL17	B	2	PD26OCT17	20375.00	20720.00	USD	3,450.00	
27JUL17	B	1	PD26OCT17	20656.71	20720.00	USD	316.45	
28JUL17	B	3	PD26OCT17	20604.57	20720.00	USD	1,731.45	
10AUG17	S	1	PD26OCT17	20334.71	20720.00	USD	-1,926.45	
	NET	0 AVG BGHT 20495.05	SOLD 20325.59				-6,778.55	0.00
27JUL17	B	1	PD27OCT17	20370.00	20717.50	USD	1,737.50	
27JUL17	S	1	PD27OCT17	20395.00	20717.50	USD	-1,612.50	
27JUL17	B	1	PD27OCT17	20395.00	20717.50	USD	1,612.50	
27JUL17	B	3	PD27OCT17	20410.00	20717.50	USD	4,612.50	
27JUL17	S	1	PD27OCT17	20480.00	20717.50	USD	-1,187.50	
27JUL17	S	1	PD27OCT17	20490.00	20717.50	USD	-1,137.50	
27JUL17	B	1	PD27OCT17	20560.00	20717.50	USD	787.50	
27JUL17	S	1	PD27OCT17	20690.00	20717.50	USD	-137.50	
27JUL17	B	1	PD27OCT17	20615.00	20717.50	USD	512.50	
27JUL17	B	1	PD27OCT17	20405.00	20717.50	USD	1,562.50	
27JUL17	S	1	PD27OCT17	20485.00	20717.50	USD	-1,162.50	
27JUL17	S	2	PD27OCT17	20560.00	20717.50	USD	-1,575.00	
27JUL17	B	1	PD27OCT17	20480.00	20717.50	USD	1,187.50	
27JUL17	B	1	PD27OCT17	20555.00	20717.50	USD	812.50	
27JUL17	S	1	PD27OCT17	20760.00	20717.50	USD	212.50	
27JUL17	S	1	PD27OCT17	20675.00	20717.50	USD	-212.50	
27JUL17	B	1	PD27OCT17	20750.00	20717.50	USD	-162.50	
27JUL17	S	1	PD27OCT17	20740.00	20717.50	USD	112.50	
27JUL17	S	1	PD27OCT17	20745.00	20717.50	USD	137.50	
27JUL17	S	1	PD27OCT17	20765.00	20717.50	USD	237.50	
27JUL17	S	1	PD27OCT17	20735.00	20717.50	USD	87.50	
27JUL17	S	1	PD27OCT17	20700.00	20717.50	USD	-87.50	
27JUL17	S	1	PD27OCT17	20665.00	20717.50	USD	-262.50	
27JUL17	S	1	PD27OCT17	20680.00	20717.50	USD	-187.50	
27JUL17	S	1	PD27OCT17	20655.00	20717.50	USD	-312.50	
27JUL17	S	2	PD27OCT17	20650.00	20717.50	USD	-675.00	
27JUL17	B	2	PD27OCT17	20580.00	20717.50	USD	1,375.00	
27JUL17	S	1	PD27OCT17	20635.00	20717.50	USD	-412.50	
27JUL17	S	1	PD27OCT17	20660.00	20717.50	USD	-287.50	

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	<u>LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
27JUL17	S	1	PD27OCT17	20620.00	20717.50	USD	-487.50	
27JUL17	S	2	PD27OCT17	20700.00	20717.50	USD	-175.00	
27JUL17	S	1	PD27OCT17	20655.00	20717.50	USD	-312.50	
27JUL17	S	1	PD27OCT17	20620.00	20717.50	USD	-487.50	
28JUL17	S	1	PD27OCT17	20580.00	20717.50	USD	-687.50	
28JUL17	S	1	PD27OCT17	20595.00	20717.50	USD	-612.50	
28JUL17	S	1	PD27OCT17	20585.00	20717.50	USD	-662.50	
28JUL17	B	1	PD27OCT17	20460.00	20717.50	USD	1,287.50	
28JUL17	S	1	PD27OCT17	20575.00	20717.50	USD	-712.50	
28JUL17	B	1	PD27OCT17	20695.00	20717.50	USD	112.50	
28JUL17	S	1	PD27OCT17	20730.00	20717.50	USD	62.50	
28JUL17	S	1	PD27OCT17	20615.00	20717.50	USD	-512.50	
28JUL17	S	1	PD27OCT17	20565.00	20717.50	USD	-762.50	
28JUL17	S	2	PD27OCT17	20740.00	20717.50	USD	225.00	
28JUL17	S	1	PD27OCT17	20745.00	20717.50	USD	137.50	
28JUL17	S	1	PD27OCT17	20755.00	20717.50	USD	187.50	
28JUL17	S	1	PD27OCT17	20750.00	20717.50	USD	162.50	
28JUL17	B	1	PD27OCT17	20760.00	20717.50	USD	-212.50	
28JUL17	S	1	PD27OCT17	20800.00	20717.50	USD	412.50	
28JUL17	S	1	PD27OCT17	20850.00	20717.50	USD	662.50	
28JUL17	S	1	PD27OCT17	20865.00	20717.50	USD	737.50	
28JUL17	S	1	PD27OCT17	20900.00	20717.50	USD	912.50	
28JUL17	S	1	PD27OCT17	20845.00	20717.50	USD	637.50	
28JUL17	S	1	PD27OCT17	20795.00	20717.50	USD	387.50	
28JUL17	S	1	PD27OCT17	20825.00	20717.50	USD	537.50	
28JUL17	B	2	PD27OCT17	20775.00	20717.50	USD	-575.00	
28JUL17	B	1	PD27OCT17	20755.00	20717.50	USD	-187.50	
28JUL17	B	1	PD27OCT17	20735.00	20717.50	USD	-87.50	
28JUL17	B	1	PD27OCT17	20725.00	20717.50	USD	-37.50	
28JUL17	B	3	PD27OCT17	20685.00	20717.50	USD	487.50	
28JUL17	B	2	PD27OCT17	20655.00	20717.50	USD	625.00	
28JUL17	B	1	PD27OCT17	20635.00	20717.50	USD	412.50	
28JUL17	B	1	PD27OCT17	20630.00	20717.50	USD	437.50	
28JUL17	B	8	PD27OCT17	20625.00	20717.50	USD	3,700.00	
28JUL17	S	1	PD27OCT17	20650.00	20717.50	USD	-337.50	
28JUL17	S	2	PD27OCT17	20635.00	20717.50	USD	-825.00	
28JUL17	S	2	PD27OCT17	20645.00	20717.50	USD	-725.00	
28JUL17	S	2	PD27OCT17	20660.00	20717.50	USD	-575.00	
28JUL17	S	2	PD27OCT17	20695.00	20717.50	USD	-225.00	
28JUL17	S	1	PD27OCT17	20680.00	20717.50	USD	-187.50	
28JUL17	B	1	PD27OCT17	20601.00	20717.50	USD	582.50	
28JUL17	B	1	PD27OCT17	20650.00	20717.50	USD	337.50	
28JUL17	B	1	PD27OCT17	20630.00	20717.50	USD	437.50	
28JUL17	S	1	PD27OCT17	20625.00	20717.50	USD	-462.50	
28JUL17	B	1	PD27OCT17	20575.00	20717.50	USD	712.50	
07AUG17	B	3	PD27OCT17	20616.00	20717.50	USD	1,522.50	
08AUG17	S	1	PD27OCT17	20219.60	20717.50	USD	-2,489.50	
10AUG17	B	5	PD27OCT17	20334.82	20717.50	USD	9,567.00	
10AUG17	B	3	PD27OCT17	20333.82	20717.50	USD	5,755.20	
17AUG17	B	2	PD27OCT17	20234.00	20717.50	USD	4,835.00	
17AUG17	B	2	PD27OCT17	20233.00	20717.50	USD	4,845.00	
22AUG17	B	2	PD27OCT17	20396.89	20717.50	USD	3,206.10	
	NET	0 AVG BGHT 20535.74	SOLD 20666.13				37,161.30	0.00
31JUL17	S	1	PD31OCT17	20720.00	20712.50	USD	37.50	
31JUL17	S	1	PD31OCT17	20825.00	20712.50	USD	562.50	
31JUL17	B	2	PD31OCT17	20795.00	20712.50	USD	-825.00	
31JUL17	B	1	PD31OCT17	20775.00	20712.50	USD	-312.50	

OPEN POSITION STATEMENT



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SAP0094X

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
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backoffice@sapiencap.com

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	<u>LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
31JUL17	S	1	PD31OCT17	20740.00	20712.50	USD	137.50	
31JUL17	S	1	PD31OCT17	20765.00	20712.50	USD	262.50	
31JUL17	S	1	PD31OCT17	20805.00	20712.50	USD	462.50	
31JUL17	S	4	PD31OCT17	20845.00	20712.50	USD	2,650.00	
31JUL17	S	1	PD31OCT17	20810.00	20712.50	USD	487.50	
31JUL17	S	1	PD31OCT17	20835.00	20712.50	USD	612.50	
31JUL17	S	1	PD31OCT17	20840.00	20712.50	USD	637.50	
31JUL17	S	1	PD31OCT17	20825.00	20712.50	USD	562.50	
31JUL17	B	1	PD31OCT17	20725.00	20712.50	USD	-62.50	
31JUL17	B	1	PD31OCT17	20710.00	20712.50	USD	12.50	
31JUL17	B	1	PD31OCT17	20680.00	20712.50	USD	162.50	
31JUL17	B	1	PD31OCT17	20655.00	20712.50	USD	287.50	
31JUL17	B	1	PD31OCT17	20655.00	20712.50	USD	287.50	
07AUG17	B	1	PD31OCT17	20611.71	20712.50	USD	503.95	
07AUG17	B	1	PD31OCT17	20610.71	20712.50	USD	508.95	
08AUG17	B	1	PD31OCT17	20218.20	20712.50	USD	2,471.50	
09AUG17	B	1	PD31OCT17	20215.94	20712.50	USD	2,482.80	
09AUG17	S	1	PD31OCT17	20215.94	20712.50	USD	-2,482.80	
10AUG17	B	1	PD31OCT17	20332.06	20712.50	USD	1,902.20	
12SEP17	B	1	PD31OCT17	20734.25	20712.50	USD	-108.75	
	NET	0AVG	BGHT 20608.06	SOLD 20768.64			11,240.35	0.00
01AUG17	S	1	PD01NOV17	20645.00	20710.00	USD	-325.00	
01AUG17	S	1	PD01NOV17	20615.00	20710.00	USD	-475.00	
01AUG17	B	1	PD01NOV17	20630.00	20710.00	USD	400.00	
01AUG17	S	1	PD01NOV17	20620.00	20710.00	USD	-450.00	
01AUG17	S	2	PD01NOV17	20610.00	20710.00	USD	-1,000.00	
01AUG17	S	1	PD01NOV17	20555.00	20710.00	USD	-775.00	
01AUG17	S	1	PD01NOV17	20590.00	20710.00	USD	-600.00	
01AUG17	B	1	PD01NOV17	20550.00	20710.00	USD	800.00	
01AUG17	B	1	PD01NOV17	20515.00	20710.00	USD	975.00	
01AUG17	S	1	PD01NOV17	20615.00	20710.00	USD	-475.00	
01AUG17	S	1	PD01NOV17	20555.00	20710.00	USD	-775.00	
01AUG17	S	1	PD01NOV17	20580.00	20710.00	USD	-650.00	
01AUG17	B	1	PD01NOV17	20500.00	20710.00	USD	1,050.00	
01AUG17	B	3	PD01NOV17	20465.00	20710.00	USD	3,675.00	
01AUG17	B	1	PD01NOV17	20480.00	20710.00	USD	1,150.00	
01AUG17	B	1	PD01NOV17	20460.00	20710.00	USD	1,250.00	
01AUG17	S	1	PD01NOV17	20435.00	20710.00	USD	-1,375.00	
01AUG17	B	1	PD01NOV17	20385.00	20710.00	USD	1,625.00	
01AUG17	B	3	PD01NOV17	20380.00	20710.00	USD	4,950.00	
01AUG17	B	2	PD01NOV17	20390.00	20710.00	USD	3,200.00	
01AUG17	S	1	PD01NOV17	20445.00	20710.00	USD	-1,325.00	
01AUG17	B	1	PD01NOV17	20450.00	20710.00	USD	1,300.00	
01AUG17	B	1	PD01NOV17	20470.00	20710.00	USD	1,200.00	
01AUG17	B	1	PD01NOV17	20485.00	20710.00	USD	1,125.00	
01AUG17	S	1	PD01NOV17	20485.00	20710.00	USD	-1,125.00	
01AUG17	B	1	PD01NOV17	20490.00	20710.00	USD	1,100.00	
01AUG17	B	2	PD01NOV17	20500.00	20710.00	USD	2,100.00	
01AUG17	S	1	PD01NOV17	20520.00	20710.00	USD	-950.00	
01AUG17	S	3	PD01NOV17	20470.00	20710.00	USD	-3,600.00	
01AUG17	S	1	PD01NOV17	20525.00	20710.00	USD	-925.00	
01AUG17	S	1	PD01NOV17	20490.00	20710.00	USD	-1,100.00	
07AUG17	S	1	PD01NOV17	20607.57	20710.00	USD	-512.15	
09AUG17	B	1	PD01NOV17	20214.38	20710.00	USD	2,478.10	
09AUG17	S	1	PD01NOV17	20214.38	20710.00	USD	-2,478.10	
10AUG17	B	1	PD01NOV17	20331.18	20710.00	USD	1,894.10	
10AUG17	S	1	PD01NOV17	20330.18	20710.00	USD	-1,899.10	

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	<u>LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
17AUG17	S	2	PD01NOV17	20230.00	20710.00	USD	-4,800.00	
17AUG17	S	2	PD01NOV17	20229.00	20710.00	USD	-4,810.00	
22AUG17	B	3	PD01NOV17	20391.84	20710.00	USD	4,772.40	
	NET	0	AVG BGHT 20440.43				4,620.25	0.00
			SOLD 20475.97					
02AUG17	S	1	PD02NOV17	20500.00	20707.50	USD	-1,037.50	
02AUG17	S	1	PD02NOV17	20485.00	20707.50	USD	-1,112.50	
02AUG17	S	1	PD02NOV17	20460.00	20707.50	USD	-1,237.50	
02AUG17	B	1	PD02NOV17	20395.00	20707.50	USD	1,562.50	
02AUG17	S	1	PD02NOV17	20540.00	20707.50	USD	-837.50	
02AUG17	S	1	PD02NOV17	20475.00	20707.50	USD	-1,162.50	
02AUG17	B	1	PD02NOV17	20495.00	20707.50	USD	1,062.50	
02AUG17	S	1	PD02NOV17	20515.00	20707.50	USD	-962.50	
02AUG17	S	1	PD02NOV17	20495.00	20707.50	USD	-1,062.50	
02AUG17	B	1	PD02NOV17	20425.00	20707.50	USD	1,412.50	
02AUG17	S	1	PD02NOV17	20530.00	20707.50	USD	-887.50	
02AUG17	B	1	PD02NOV17	20525.00	20707.50	USD	912.50	
02AUG17	B	1	PD02NOV17	20540.00	20707.50	USD	837.50	
02AUG17	B	1	PD02NOV17	20570.00	20707.50	USD	687.50	
08AUG17	B	1	PD02NOV17	20214.80	20707.50	USD	2,463.50	
09AUG17	B	1	PD02NOV17	20213.81	20707.50	USD	2,468.45	
	NET	0	AVG BGHT 20422.33				3,106.95	0.00
			SOLD 20500.00					
03AUG17	B	1	PD03NOV17	20645.00	20705.00	USD	300.00	
03AUG17	B	1	PD03NOV17	20590.00	20705.00	USD	575.00	
03AUG17	S	1	PD03NOV17	20565.00	20705.00	USD	-700.00	
03AUG17	S	1	PD03NOV17	20560.00	20705.00	USD	-725.00	
03AUG17	S	1	PD03NOV17	20575.00	20705.00	USD	-650.00	
03AUG17	S	1	PD03NOV17	20580.00	20705.00	USD	-625.00	
03AUG17	B	1	PD03NOV17	20645.00	20705.00	USD	300.00	
03AUG17	S	2	PD03NOV17	20565.00	20705.00	USD	-1,400.00	
03AUG17	S	1	PD03NOV17	20635.00	20705.00	USD	-350.00	
03AUG17	B	1	PD03NOV17	20640.00	20705.00	USD	325.00	
03AUG17	B	1	PD03NOV17	20600.00	20705.00	USD	525.00	
03AUG17	B	1	PD03NOV17	20625.00	20705.00	USD	400.00	
03AUG17	S	1	PD03NOV17	20690.00	20705.00	USD	-75.00	
03AUG17	S	1	PD03NOV17	20630.00	20705.00	USD	-375.00	
03AUG17	B	3	PD03NOV17	20625.00	20705.00	USD	1,200.00	
03AUG17	B	1	PD03NOV17	20655.00	20705.00	USD	250.00	
03AUG17	B	1	PD03NOV17	20610.00	20705.00	USD	475.00	
03AUG17	S	1	PD03NOV17	20665.00	20705.00	USD	-200.00	
03AUG17	S	2	PD03NOV17	20670.00	20705.00	USD	-350.00	
03AUG17	B	1	PD03NOV17	20600.00	20705.00	USD	525.00	
03AUG17	S	1	PD03NOV17	20655.00	20705.00	USD	-250.00	
04AUG17	S	2	PD03NOV17	20655.00	20705.00	USD	-500.00	
04AUG17	B	2	PD03NOV17	20695.00	20705.00	USD	100.00	
04AUG17	B	1	PD03NOV17	20700.00	20705.00	USD	25.00	
04AUG17	S	1	PD03NOV17	20660.00	20705.00	USD	-225.00	
04AUG17	B	1	PD03NOV17	20715.00	20705.00	USD	-50.00	
04AUG17	S	1	PD03NOV17	20760.00	20705.00	USD	275.00	
04AUG17	S	1	PD03NOV17	20770.00	20705.00	USD	325.00	
04AUG17	S	2	PD03NOV17	20725.00	20705.00	USD	200.00	
04AUG17	S	1	PD03NOV17	20730.00	20705.00	USD	125.00	
04AUG17	S	1	PD03NOV17	20650.00	20705.00	USD	-275.00	
04AUG17	S	1	PD03NOV17	20640.00	20705.00	USD	-325.00	
04AUG17	S	1	PD03NOV17	20605.00	20705.00	USD	-500.00	
04AUG17	S	1	PD03NOV17	20635.00	20705.00	USD	-350.00	
04AUG17	B	1	PD03NOV17	20600.00	20705.00	USD	525.00	
04AUG17	B	1	PD03NOV17	20585.00	20705.00	USD	600.00	

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04AUG17	S	1	PD03NOV17	20780.00	20705.00	USD	375.00	
04AUG17	S	1	PD03NOV17	20730.00	20705.00	USD	125.00	
04AUG17	S	1	PD03NOV17	20675.00	20705.00	USD	-150.00	
04AUG17	S	2	PD03NOV17	20685.00	20705.00	USD	-200.00	
04AUG17	S	1	PD03NOV17	20645.00	20705.00	USD	-300.00	
04AUG17	B	1	PD03NOV17	20560.00	20705.00	USD	725.00	
04AUG17	B	4	PD03NOV17	20550.00	20705.00	USD	3,100.00	
04AUG17	B	2	PD03NOV17	20540.00	20705.00	USD	1,650.00	
04AUG17	B	3	PD03NOV17	20525.00	20705.00	USD	2,700.00	
04AUG17	B	1	PD03NOV17	20530.00	20705.00	USD	875.00	
04AUG17	B	1	PD03NOV17	20545.00	20705.00	USD	800.00	
08AUG17	S	1	PD03NOV17	20212.60	20705.00	USD	-2,462.00	
09AUG17	B	1	PD03NOV17	20212.25	20705.00	USD	2,463.75	
10AUG17	B	1	PD03NOV17	20329.41	20705.00	USD	1,877.95	
	NET	0AVG	BGHT 20578.33	SOLD 20645.24			10,704.70	0.00
07AUG17	B	1	PD07NOV17	20600.00	20700.00	USD	500.00	
07AUG17	B	2	PD07NOV17	20620.00	20700.00	USD	800.00	
07AUG17	S	1	PD07NOV17	20655.00	20700.00	USD	-225.00	
07AUG17	S	1	PD07NOV17	20695.00	20700.00	USD	-25.00	
07AUG17	S	1	PD07NOV17	20665.00	20700.00	USD	-175.00	
07AUG17	S	1	PD07NOV17	20670.00	20700.00	USD	-150.00	
07AUG17	S	1	PD07NOV17	20605.00	20700.00	USD	-475.00	
07AUG17	B	1	PD07NOV17	20615.00	20700.00	USD	425.00	
07AUG17	S	1	PD07NOV17	20600.00	20700.00	USD	-500.00	
07AUG17	B	2	PD07NOV17	20605.00	20700.00	USD	950.00	
07AUG17	S	1	PD07NOV17	20615.00	20700.00	USD	-425.00	
07AUG17	B	1	PD07NOV17	20615.00	20700.00	USD	425.00	
07AUG17	B	2	PD07NOV17	20570.00	20700.00	USD	1,300.00	
07AUG17	B	1	PD07NOV17	20565.00	20700.00	USD	675.00	
07AUG17	B	2	PD07NOV17	20560.00	20700.00	USD	1,400.00	
07AUG17	S	1	PD07NOV17	20550.00	20700.00	USD	-750.00	
17AUG17	S	2	PD07NOV17	20226.00	20700.00	USD	-4,740.00	
22AUG17	S	1	PD07NOV17	20384.11	20700.00	USD	-1,579.45	
05SEP17	S	1	PD07NOV17	20774.00	20700.00	USD	370.00	
	NET	0AVG	BGHT 20592.08	SOLD 20555.43			-2,199.45	0.00
08AUG17	B	1	PD08NOV17	20510.00	20697.50	USD	937.50	
08AUG17	S	1	PD08NOV17	20480.00	20697.50	USD	-1,087.50	
08AUG17	B	2	PD08NOV17	20470.00	20697.50	USD	2,275.00	
08AUG17	B	1	PD08NOV17	20400.00	20697.50	USD	1,487.50	
08AUG17	B	1	PD08NOV17	20440.00	20697.50	USD	1,287.50	
08AUG17	S	1	PD08NOV17	20530.00	20697.50	USD	-837.50	
08AUG17	B	1	PD08NOV17	20465.00	20697.50	USD	1,162.50	
08AUG17	B	1	PD08NOV17	20585.00	20697.50	USD	562.50	
08AUG17	B	1	PD08NOV17	20445.00	20697.50	USD	1,262.50	
08AUG17	B	2	PD08NOV17	20425.00	20697.50	USD	2,725.00	
08AUG17	B	2	PD08NOV17	20415.00	20697.50	USD	2,825.00	
08AUG17	B	2	PD08NOV17	20405.00	20697.50	USD	2,925.00	
08AUG17	B	1	PD08NOV17	20385.00	20697.50	USD	1,562.50	
08AUG17	B	1	PD08NOV17	20375.00	20697.50	USD	1,612.50	
08AUG17	B	2	PD08NOV17	20365.00	20697.50	USD	3,325.00	
08AUG17	B	3	PD08NOV17	20355.00	20697.50	USD	5,137.50	
08AUG17	B	2	PD08NOV17	20325.00	20697.50	USD	3,725.00	
08AUG17	B	3	PD08NOV17	20320.00	20697.50	USD	5,662.50	
08AUG17	B	3	PD08NOV17	20345.00	20697.50	USD	5,287.50	
08AUG17	B	1	PD08NOV17	20315.00	20697.50	USD	1,912.50	
08AUG17	B	1	PD08NOV17	20310.00	20697.50	USD	1,937.50	
08AUG17	B	2	PD08NOV17	20290.00	20697.50	USD	4,075.00	

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08AUG17	S	1	PD08NOV17	20295.00	20697.50	USD	-2,012.50	
08AUG17	B	1	PD08NOV17	20250.00	20697.50	USD	2,237.50	
08AUG17	B	1	PD08NOV17	20245.00	20697.50	USD	2,262.50	
08AUG17	B	1	PD08NOV17	20210.00	20697.50	USD	2,437.50	
08AUG17	B	1	PD08NOV17	20215.00	20697.50	USD	2,412.50	
08AUG17	B	1	PD08NOV17	20195.00	20697.50	USD	2,512.50	
08AUG17	B	1	PD08NOV17	20185.00	20697.50	USD	2,562.50	
08AUG17	B	1	PD08NOV17	20190.00	20697.50	USD	2,537.50	
08AUG17	B	1	PD08NOV17	20165.00	20697.50	USD	2,662.50	
08AUG17	S	1	PD08NOV17	20230.00	20697.50	USD	-2,337.50	
08AUG17	B	1	PD08NOV17	20320.00	20697.50	USD	1,887.50	
08AUG17	B	2	PD08NOV17	20310.00	20697.50	USD	3,875.00	
08AUG17	B	1	PD08NOV17	20285.00	20697.50	USD	2,062.50	
08AUG17	B	1	PD08NOV17	20280.00	20697.50	USD	2,087.50	
08AUG17	B	1	PD08NOV17	20275.00	20697.50	USD	2,112.50	
08AUG17	B	1	PD08NOV17	20200.00	20697.50	USD	2,487.50	
08AUG17	B	1	PD08NOV17	20255.00	20697.50	USD	2,212.50	
09AUG17	S	2	PD08NOV17	20205.56	20697.50	USD	-4,919.40	
10AUG17	S	5	PD08NOV17	20325.76	20697.50	USD	-9,293.50	
17AUG17	S	19	PD08NOV17	20225.00	20697.50	USD	-44,887.50	
22AUG17	S	6	PD08NOV17	20382.42	20697.50	USD	-9,452.40	
23AUG17	S	1	PD08NOV17	20565.77	20697.50	USD	-658.65	
05SEP17	S	5	PD08NOV17	20772.50	20697.50	USD	1,875.00	
11SEP17	S	2	PD08NOV17	20795.00	20697.50	USD	975.00	
12SEP17	S	5	PD08NOV17	20723.75	20697.50	USD	656.25	
	NET	0 AVG BGHT 20338.16	SOLD 20403.70				16,057.30	0.00
09AUG17	S	1	PD09NOV17	20285.00	20695.00	USD	-2,050.00	
09AUG17	S	1	PD09NOV17	20365.00	20695.00	USD	-1,650.00	
09AUG17	B	1	PD09NOV17	20310.00	20695.00	USD	1,925.00	
09AUG17	B	1	PD09NOV17	20355.00	20695.00	USD	1,700.00	
09AUG17	B	1	PD09NOV17	20450.00	20695.00	USD	1,225.00	
09AUG17	B	1	PD09NOV17	20370.00	20695.00	USD	1,625.00	
09AUG17	S	2	PD09NOV17	20390.00	20695.00	USD	-3,050.00	
09AUG17	S	1	PD09NOV17	20490.00	20695.00	USD	-1,025.00	
09AUG17	B	1	PD09NOV17	20290.00	20695.00	USD	2,025.00	
09AUG17	B	1	PD09NOV17	20250.00	20695.00	USD	2,225.00	
09AUG17	B	2	PD09NOV17	20230.00	20695.00	USD	4,650.00	
09AUG17	B	1	PD09NOV17	20235.00	20695.00	USD	2,300.00	
22AUG17	S	1	PD09NOV17	20381.74	20695.00	USD	-1,566.30	
05SEP17	B	1	PD09NOV17	20772.00	20695.00	USD	-385.00	
11SEP17	S	1	PD09NOV17	20793.00	20695.00	USD	490.00	
12SEP17	S	2	PD09NOV17	20722.00	20695.00	USD	270.00	
12SEP17	S	1	PD09NOV17	20721.00	20695.00	USD	130.00	
	NET	0 AVG BGHT 20349.20	SOLD 20525.97				8,838.70	0.00
10AUG17	B	1	PD10NOV17	20300.00	20692.50	USD	1,962.50	
10AUG17	S	1	PD10NOV17	20400.00	20692.50	USD	-1,462.50	
10AUG17	S	1	PD10NOV17	20435.00	20692.50	USD	-1,287.50	
10AUG17	S	1	PD10NOV17	20355.00	20692.50	USD	-1,687.50	
10AUG17	B	1	PD10NOV17	20260.00	20692.50	USD	2,162.50	
10AUG17	B	1	PD10NOV17	20260.00	20692.50	USD	2,162.50	
10AUG17	B	2	PD10NOV17	20290.00	20692.50	USD	4,025.00	
10AUG17	S	1	PD10NOV17	20285.00	20692.50	USD	-2,037.50	
10AUG17	B	1	PD10NOV17	20280.00	20692.50	USD	2,062.50	
10AUG17	S	2	PD10NOV17	20245.00	20692.50	USD	-4,475.00	
10AUG17	B	1	PD10NOV17	20245.00	20692.50	USD	2,237.50	
10AUG17	B	1	PD10NOV17	20275.00	20692.50	USD	2,087.50	
10AUG17	B	1	PD10NOV17	20285.00	20692.50	USD	2,037.50	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0094X

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

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FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
10AUG17	S	1	PD10NOV17	20445.00	20692.50	USD	-1,237.50	
10AUG17	S	2	PD10NOV17	20450.00	20692.50	USD	-2,425.00	
10AUG17	B	1	PD10NOV17	20360.00	20692.50	USD	1,662.50	
10AUG17	B	1	PD10NOV17	20350.00	20692.50	USD	1,712.50	
10AUG17	B	1	PD10NOV17	20325.00	20692.50	USD	1,837.50	
10AUG17	B	3	PD10NOV17	20320.00	20692.50	USD	5,587.50	
10AUG17	B	1	PD10NOV17	20305.00	20692.50	USD	1,937.50	
10AUG17	S	1	PD10NOV17	20320.00	20692.50	USD	-1,862.50	
11AUG17	S	1	PD10NOV17	20240.00	20692.50	USD	-2,262.50	
11AUG17	S	2	PD10NOV17	20210.00	20692.50	USD	-4,825.00	
11AUG17	S	1	PD10NOV17	20225.00	20692.50	USD	-2,337.50	
11AUG17	S	1	PD10NOV17	20200.00	20692.50	USD	-2,462.50	
11AUG17	S	1	PD10NOV17	20220.00	20692.50	USD	-2,362.50	
11AUG17	S	1	PD10NOV17	20265.00	20692.50	USD	-2,137.50	
11AUG17	S	1	PD10NOV17	20270.00	20692.50	USD	-2,112.50	
11AUG17	S	2	PD10NOV17	20230.00	20692.50	USD	-4,625.00	
11AUG17	S	1	PD10NOV17	20220.00	20692.50	USD	-2,362.50	
11AUG17	S	1	PD10NOV17	20285.00	20692.50	USD	-2,037.50	
11AUG17	S	1	PD10NOV17	20235.00	20692.50	USD	-2,287.50	
11AUG17	S	1	PD10NOV17	20230.00	20692.50	USD	-2,312.50	
11AUG17	S	1	PD10NOV17	20340.00	20692.50	USD	-1,762.50	
11AUG17	S	1	PD10NOV17	20335.00	20692.50	USD	-1,787.50	
11AUG17	S	1	PD10NOV17	20355.00	20692.50	USD	-1,687.50	
11AUG17	S	1	PD10NOV17	20345.00	20692.50	USD	-1,737.50	
11AUG17	B	1	PD10NOV17	20305.00	20692.50	USD	1,937.50	
11AUG17	S	1	PD10NOV17	20290.00	20692.50	USD	-2,012.50	
11AUG17	S	1	PD10NOV17	20340.00	20692.50	USD	-1,762.50	
11AUG17	S	1	PD10NOV17	20370.00	20692.50	USD	-1,612.50	
11AUG17	S	2	PD10NOV17	20355.00	20692.50	USD	-3,375.00	
11AUG17	B	1	PD10NOV17	20345.00	20692.50	USD	1,737.50	
11AUG17	S	1	PD10NOV17	20315.00	20692.50	USD	-1,887.50	
11AUG17	S	1	PD10NOV17	20290.00	20692.50	USD	-2,012.50	
17AUG17	B	9	PD10NOV17	20224.00	20692.50	USD	21,082.50	
22AUG17	B	1	PD10NOV17	20381.05	20692.50	USD	1,557.25	
05SEP17	B	4	PD10NOV17	20770.50	20692.50	USD	-1,560.00	
11SEP17	S	1	PD10NOV17	20791.00	20692.50	USD	492.50	
12SEP17	B	4	PD10NOV17	20721.25	20692.50	USD	-575.00	
	NET	0 AVG BGHT 20383.31	SOLD 20316.14				-12,090.25	0.00
14AUG17	S	1	PD14NOV17	20230.00	20687.50	USD	-2,287.50	
14AUG17	S	1	PD14NOV17	20235.00	20687.50	USD	-2,262.50	
14AUG17	S	2	PD14NOV17	20250.00	20687.50	USD	-4,375.00	
14AUG17	S	2	PD14NOV17	20255.00	20687.50	USD	-4,325.00	
14AUG17	S	1	PD14NOV17	20295.00	20687.50	USD	-1,962.50	
14AUG17	S	1	PD14NOV17	20285.00	20687.50	USD	-2,012.50	
14AUG17	S	1	PD14NOV17	20380.00	20687.50	USD	-1,537.50	
14AUG17	S	1	PD14NOV17	20375.00	20687.50	USD	-1,562.50	
14AUG17	S	1	PD14NOV17	20260.00	20687.50	USD	-2,137.50	
14AUG17	S	1	PD14NOV17	20305.00	20687.50	USD	-1,912.50	
14AUG17	S	2	PD14NOV17	20340.00	20687.50	USD	-3,475.00	
14AUG17	S	1	PD14NOV17	20310.00	20687.50	USD	-1,887.50	
14AUG17	S	1	PD14NOV17	20275.00	20687.50	USD	-2,062.50	
14AUG17	S	1	PD14NOV17	20280.00	20687.50	USD	-2,037.50	
14AUG17	B	1	PD14NOV17	20255.00	20687.50	USD	2,162.50	
14AUG17	S	1	PD14NOV17	20265.00	20687.50	USD	-2,112.50	
14AUG17	B	1	PD14NOV17	20275.00	20687.50	USD	2,062.50	
14AUG17	S	1	PD14NOV17	20320.00	20687.50	USD	-1,837.50	
14AUG17	S	2	PD14NOV17	20290.00	20687.50	USD	-3,975.00	

OPEN POSITION STATEMENT



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13th October 2017

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	<u>LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
14AUG17	S	1	PD14NOV17	20325.00	20687.50	USD	-1,812.50	
14AUG17	B	1	PD14NOV17	20320.00	20687.50	USD	1,837.50	
14AUG17	B	1	PD14NOV17	20300.00	20687.50	USD	1,937.50	
14AUG17	S	1	PD14NOV17	20300.00	20687.50	USD	-1,937.50	
17AUG17	B	14	PD14NOV17	20222.00	20687.50	USD	32,585.00	
17AUG17	B	1	PD14NOV17	20221.00	20687.50	USD	2,332.50	
05SEP17	B	1	PD14NOV17	20766.50	20687.50	USD	-395.00	
05SEP17	B	1	PD14NOV17	20767.50	20687.50	USD	-400.00	
11SEP17	B	3	PD14NOV17	20788.00	20687.50	USD	-1,507.50	
12SEP17	S	1	PD14NOV17	20716.75	20687.50	USD	146.25	
	NET	0 AVG BGHT 20349.04	SOLD 20309.45				-4,751.25	0.00
15AUG17	S	1	PD15NOV17	20375.00	20685.00	USD	-1,550.00	
15AUG17	S	1	PD15NOV17	20255.00	20685.00	USD	-2,150.00	
15AUG17	B	1	PD15NOV17	20240.00	20685.00	USD	2,225.00	
15AUG17	B	1	PD15NOV17	20235.00	20685.00	USD	2,250.00	
15AUG17	B	1	PD15NOV17	20225.00	20685.00	USD	2,300.00	
15AUG17	B	1	PD15NOV17	20190.00	20685.00	USD	2,475.00	
15AUG17	B	1	PD15NOV17	20185.00	20685.00	USD	2,500.00	
15AUG17	B	1	PD15NOV17	20180.00	20685.00	USD	2,525.00	
15AUG17	B	1	PD15NOV17	20115.00	20685.00	USD	2,850.00	
15AUG17	B	3	PD15NOV17	19640.00	20685.00	USD	15,675.00	
15AUG17	B	2	PD15NOV17	19320.00	20685.00	USD	13,650.00	
15AUG17	B	1	PD15NOV17	19310.00	20685.00	USD	6,875.00	
15AUG17	B	1	PD15NOV17	19305.00	20685.00	USD	6,900.00	
15AUG17	B	1	PD15NOV17	19210.00	20685.00	USD	7,375.00	
15AUG17	B	1	PD15NOV17	19650.00	20685.00	USD	5,175.00	
15AUG17	B	3	PD15NOV17	19670.00	20685.00	USD	15,225.00	
15AUG17	B	1	PD15NOV17	19700.00	20685.00	USD	4,925.00	
15AUG17	B	1	PD15NOV17	19710.00	20685.00	USD	4,875.00	
15AUG17	S	1	PD15NOV17	20140.00	20685.00	USD	-2,725.00	
15AUG17	S	1	PD15NOV17	19980.00	20685.00	USD	-3,525.00	
15AUG17	S	1	PD15NOV17	20035.00	20685.00	USD	-3,250.00	
15AUG17	B	1	PD15NOV17	19925.00	20685.00	USD	3,800.00	
15AUG17	B	1	PD15NOV17	19900.00	20685.00	USD	3,925.00	
15AUG17	B	1	PD15NOV17	19890.00	20685.00	USD	3,975.00	
15AUG17	S	1	PD15NOV17	19900.00	20685.00	USD	-3,925.00	
15AUG17	B	1	PD15NOV17	19845.00	20685.00	USD	4,200.00	
15AUG17	B	1	PD15NOV17	19835.00	20685.00	USD	4,250.00	
15AUG17	B	1	PD15NOV17	19805.00	20685.00	USD	4,400.00	
15AUG17	B	1	PD15NOV17	19770.00	20685.00	USD	4,575.00	
15AUG17	B	1	PD15NOV17	19750.00	20685.00	USD	4,675.00	
15AUG17	S	1	PD15NOV17	19825.00	20685.00	USD	-4,300.00	
15AUG17	B	1	PD15NOV17	19830.00	20685.00	USD	4,275.00	
15AUG17	S	1	PD15NOV17	19870.00	20685.00	USD	-4,075.00	
15AUG17	S	1	PD15NOV17	19925.00	20685.00	USD	-3,800.00	
15AUG17	S	2	PD15NOV17	19945.00	20685.00	USD	-7,400.00	
15AUG17	S	1	PD15NOV17	19950.00	20685.00	USD	-3,675.00	
15AUG17	B	1	PD15NOV17	19995.00	20685.00	USD	3,450.00	
15AUG17	S	1	PD15NOV17	19995.00	20685.00	USD	-3,450.00	
15AUG17	B	1	PD15NOV17	19800.00	20685.00	USD	4,425.00	
17AUG17	S	1	PD15NOV17	20219.00	20685.00	USD	-2,330.00	
22AUG17	S	4	PD15NOV17	20375.00	20685.00	USD	-6,200.00	
23AUG17	S	1	PD15NOV17	20560.00	20685.00	USD	-625.00	
05SEP17	S	3	PD15NOV17	20765.00	20685.00	USD	1,200.00	
11SEP17	S	3	PD15NOV17	20785.00	20685.00	USD	1,500.00	
12SEP17	S	6	PD15NOV17	20715.00	20685.00	USD	900.00	
15SEP17	S	1	PD15NOV17	20580.00	20685.00	USD	-525.00	

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	<u>LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>								
	NET	0 AVG	BGHT	19786.56	SOLD	20373.09		93,845.00	0.00
16AUG17	S	1	PD16NOV17		19940.00	20683.13	USD	-3,715.65	
16AUG17	S	1	PD16NOV17		19985.00	20683.13	USD	-3,490.65	
16AUG17	S	1	PD16NOV17		19990.00	20683.13	USD	-3,465.65	
16AUG17	S	2	PD16NOV17		19970.00	20683.13	USD	-7,131.60	
16AUG17	B	1	PD16NOV17		19980.00	20683.13	USD	3,515.65	
16AUG17	B	1	PD16NOV17		19995.00	20683.13	USD	3,440.65	
16AUG17	B	1	PD16NOV17		20005.00	20683.13	USD	3,390.65	
16AUG17	B	2	PD16NOV17		20030.00	20683.13	USD	6,531.30	
16AUG17	B	1	PD16NOV17		20030.00	20683.13	USD	3,265.65	
16AUG17	B	1	PD16NOV17		20040.00	20683.13	USD	3,215.65	
16AUG17	B	1	PD16NOV17		20035.00	20683.13	USD	3,240.65	
22AUG17	B	1	PD16NOV17		20371.00	20683.13	USD	1,560.65	
23AUG17	S	1	PD16NOV17		20554.17	20683.13	USD	-644.80	
05SEP17	S	1	PD16NOV17		20761.31	20683.13	USD	390.90	
12SEP17	S	2	PD16NOV17		20712.78	20683.13	USD	296.50	
	NET	0 AVG	BGHT	20057.33	SOLD	20288.45		10,400.20	0.00
17AUG17	B	1	PD17NOV17		20095.00	20681.25	USD	2,931.25	
17AUG17	B	2	PD17NOV17		20100.00	20681.25	USD	5,812.50	
17AUG17	S	1	PD17NOV17		20145.00	20681.25	USD	-2,681.25	
17AUG17	S	1	PD17NOV17		20195.00	20681.25	USD	-2,431.25	
17AUG17	S	2	PD17NOV17		20210.00	20681.25	USD	-4,712.50	
17AUG17	S	3	PD17NOV17		20230.00	20681.25	USD	-6,768.75	
17AUG17	B	4	PD17NOV17		20260.00	20681.25	USD	8,425.00	
17AUG17	S	2	PD17NOV17		20235.00	20681.25	USD	-4,462.50	
17AUG17	S	1	PD17NOV17		20320.00	20681.25	USD	-1,806.25	
17AUG17	S	1	PD17NOV17		20290.00	20681.25	USD	-1,956.25	
17AUG17	S	2	PD17NOV17		20270.00	20681.25	USD	-4,112.50	
17AUG17	S	2	PD17NOV17		20295.00	20681.25	USD	-3,862.50	
17AUG17	S	2	PD17NOV17		20255.00	20681.25	USD	-4,262.50	
17AUG17	S	1	PD17NOV17		20350.00	20681.25	USD	-1,656.25	
17AUG17	S	1	PD17NOV17		20345.00	20681.25	USD	-1,681.25	
17AUG17	B	1	PD17NOV17		20135.00	20681.25	USD	2,731.25	
17AUG17	B	1	PD17NOV17		20135.00	20681.25	USD	2,731.25	
17AUG17	B	2	PD17NOV17		20020.00	20681.25	USD	6,612.50	
17AUG17	S	1	PD17NOV17		20180.00	20681.25	USD	-2,506.25	
17AUG17	S	1	PD17NOV17		20185.00	20681.25	USD	-2,481.25	
17AUG17	S	1	PD17NOV17		20200.00	20681.25	USD	-2,406.25	
17AUG17	S	1	PD17NOV17		20215.00	20681.25	USD	-2,331.25	
17AUG17	S	1	PD17NOV17		20185.00	20681.25	USD	-2,481.25	
17AUG17	S	1	PD17NOV17		20215.00	20681.25	USD	-2,331.25	
17AUG17	S	1	PD17NOV17		20205.00	20681.25	USD	-2,381.25	
18AUG17	S	1	PD17NOV17		20170.00	20681.25	USD	-2,556.25	
18AUG17	S	1	PD17NOV17		20350.00	20681.25	USD	-1,656.25	
18AUG17	S	1	PD17NOV17		20140.00	20681.25	USD	-2,706.25	
18AUG17	B	1	PD17NOV17		20275.00	20681.25	USD	2,031.25	
18AUG17	B	4	PD17NOV17		20290.00	20681.25	USD	7,825.00	
18AUG17	S	2	PD17NOV17		20295.00	20681.25	USD	-3,862.50	
18AUG17	B	1	PD17NOV17		20150.00	20681.25	USD	2,656.25	
18AUG17	B	1	PD17NOV17		20160.00	20681.25	USD	2,606.25	
18AUG17	B	1	PD17NOV17		20145.00	20681.25	USD	2,681.25	
18AUG17	B	1	PD17NOV17		20155.00	20681.25	USD	2,631.25	
22AUG17	B	3	PD17NOV17		20366.00	20681.25	USD	4,728.75	
22AUG17	S	1	PD17NOV17		20364.00	20681.25	USD	-1,586.25	
23AUG17	B	2	PD17NOV17		20549.33	20681.25	USD	1,319.20	
05SEP17	B	2	PD17NOV17		20760.62	20681.25	USD	-793.70	
12SEP17	B	5	PD17NOV17		20711.56	20681.25	USD	-757.75	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0094X

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position				Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>								
	NET	0 AVG	BGHT	20342.68	SOLD	20245.75		-15,508.50	0.00
21AUG17	B	1	PD21NOV17		20320.00	20677.50	USD	1,787.50	
21AUG17	S	1	PD21NOV17		20320.00	20677.50	USD	-1,787.50	
21AUG17	S	2	PD21NOV17		20360.00	20677.50	USD	-3,175.00	
21AUG17	S	2	PD21NOV17		20365.00	20677.50	USD	-3,125.00	
21AUG17	S	1	PD21NOV17		20275.00	20677.50	USD	-2,012.50	
21AUG17	B	1	PD21NOV17		20275.00	20677.50	USD	2,012.50	
21AUG17	S	2	PD21NOV17		20375.00	20677.50	USD	-3,025.00	
21AUG17	S	1	PD21NOV17		20395.00	20677.50	USD	-1,412.50	
21AUG17	S	1	PD21NOV17		20430.00	20677.50	USD	-1,237.50	
21AUG17	S	1	PD21NOV17		20395.00	20677.50	USD	-1,412.50	
21AUG17	S	1	PD21NOV17		20375.00	20677.50	USD	-1,512.50	
21AUG17	S	1	PD21NOV17		20385.00	20677.50	USD	-1,462.50	
21AUG17	S	1	PD21NOV17		20475.00	20677.50	USD	-1,012.50	
21AUG17	B	1	PD21NOV17		20480.00	20677.50	USD	987.50	
21AUG17	S	2	PD21NOV17		20480.00	20677.50	USD	-1,975.00	
21AUG17	S	1	PD21NOV17		20450.00	20677.50	USD	-1,137.50	
21AUG17	S	2	PD21NOV17		20465.00	20677.50	USD	-2,125.00	
23AUG17	B	1	PD21NOV17		20537.67	20677.50	USD	699.15	
05SEP17	B	1	PD21NOV17		20755.23	20677.50	USD	-388.65	
06SEP17	B	1	PD21NOV17		20811.43	20677.50	USD	-669.65	
11SEP17	B	1	PD21NOV17		20777.76	20677.50	USD	-501.30	
12SEP17	B	4	PD21NOV17		20707.11	20677.50	USD	-592.20	
14SEP17	B	7	PD21NOV17		20559.00	20677.50	USD	4,147.50	
15SEP17	B	1	PD21NOV17		20573.38	20677.50	USD	520.60	
	NET	0 AVG	BGHT	20593.26	SOLD	20399.47		-18,409.55	0.00
22AUG17	S	1	PD22NOV17		20435.00	20675.63	USD	-1,203.15	
22AUG17	S	2	PD22NOV17		20430.00	20675.63	USD	-2,456.30	
22AUG17	S	1	PD22NOV17		20425.00	20675.63	USD	-1,253.15	
22AUG17	B	1	PD22NOV17		20435.00	20675.63	USD	1,203.15	
22AUG17	S	1	PD22NOV17		20420.00	20675.63	USD	-1,278.15	
22AUG17	S	1	PD22NOV17		20430.00	20675.63	USD	-1,228.15	
22AUG17	S	1	PD22NOV17		20445.00	20675.63	USD	-1,153.15	
22AUG17	S	1	PD22NOV17		20425.00	20675.63	USD	-1,253.15	
22AUG17	B	1	PD22NOV17		20485.00	20675.63	USD	953.15	
22AUG17	S	2	PD22NOV17		20460.00	20675.63	USD	-2,156.30	
22AUG17	S	3	PD22NOV17		20450.00	20675.63	USD	-3,384.45	
22AUG17	S	1	PD22NOV17		20440.00	20675.63	USD	-1,178.15	
22AUG17	B	1	PD22NOV17		20270.00	20675.63	USD	2,028.15	
22AUG17	S	1	PD22NOV17		20270.00	20675.63	USD	-2,028.15	
22AUG17	S	1	PD22NOV17		20280.00	20675.63	USD	-1,978.15	
11SEP17	B	1	PD22NOV17		20775.71	20675.63	USD	-500.40	
12SEP17	B	3	PD22NOV17		20704.89	20675.63	USD	-438.90	
12SEP17	B	2	PD22NOV17		20703.89	20675.63	USD	-282.60	
14SEP17	B	6	PD22NOV17		20557.50	20675.63	USD	3,543.90	
	NET	-1 AVG	BGHT	20588.88	SOLD	20418.75		-14,043.95	0.00
23AUG17	S	1	PD24NOV17		20310.00	20673.75	USD	-1,818.75	
23AUG17	S	1	PD24NOV17		20335.00	20673.75	USD	-1,693.75	
23AUG17	S	1	PD24NOV17		20400.00	20673.75	USD	-1,368.75	
23AUG17	S	2	PD24NOV17		20350.00	20673.75	USD	-3,237.50	
23AUG17	S	1	PD24NOV17		20390.00	20673.75	USD	-1,418.75	
23AUG17	S	1	PD24NOV17		20375.00	20673.75	USD	-1,493.75	
23AUG17	B	1	PD24NOV17		20355.00	20673.75	USD	1,593.75	
23AUG17	B	1	PD24NOV17		20325.00	20673.75	USD	1,743.75	
23AUG17	B	1	PD24NOV17		20350.00	20673.75	USD	1,618.75	
23AUG17	B	1	PD24NOV17		20455.00	20673.75	USD	1,093.75	

OPEN POSITION STATEMENT



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	<u>LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
23AUG17	S	1	PD24NOV17	20395.00	20673.75	USD	-1,393.75	
23AUG17	S	1	PD24NOV17	20425.00	20673.75	USD	-1,243.75	
23AUG17	S	1	PD24NOV17	20445.00	20673.75	USD	-1,143.75	
23AUG17	B	1	PD24NOV17	20470.00	20673.75	USD	1,018.75	
23AUG17	B	2	PD24NOV17	20515.00	20673.75	USD	1,587.50	
23AUG17	B	1	PD24NOV17	20540.00	20673.75	USD	668.75	
24AUG17	S	1	PD24NOV17	20530.00	20673.75	USD	-718.75	
24AUG17	B	1	PD24NOV17	20535.00	20673.75	USD	693.75	
24AUG17	B	1	PD24NOV17	20430.00	20673.75	USD	1,218.75	
24AUG17	B	1	PD24NOV17	20390.00	20673.75	USD	1,418.75	
24AUG17	S	1	PD24NOV17	20450.00	20673.75	USD	-1,118.75	
24AUG17	B	1	PD24NOV17	20510.00	20673.75	USD	818.75	
24AUG17	B	2	PD24NOV17	20465.00	20673.75	USD	2,087.50	
24AUG17	S	1	PD24NOV17	20455.00	20673.75	USD	-1,093.75	
24AUG17	B	1	PD24NOV17	20460.00	20673.75	USD	1,068.75	
24AUG17	B	1	PD24NOV17	20425.00	20673.75	USD	1,243.75	
24AUG17	B	1	PD24NOV17	20410.00	20673.75	USD	1,318.75	
24AUG17	B	1	PD24NOV17	20385.00	20673.75	USD	1,443.75	
24AUG17	B	2	PD24NOV17	20380.00	20673.75	USD	2,937.50	
24AUG17	B	3	PD24NOV17	20400.00	20673.75	USD	4,106.25	
24AUG17	S	2	PD24NOV17	20490.00	20673.75	USD	-1,837.50	
24AUG17	B	2	PD24NOV17	20450.00	20673.75	USD	2,237.50	
24AUG17	S	1	PD24NOV17	20445.00	20673.75	USD	-1,143.75	
24AUG17	S	1	PD24NOV17	20430.00	20673.75	USD	-1,218.75	
25AUG17	S	1	PD24NOV17	20410.00	20673.75	USD	-1,318.75	
25AUG17	S	1	PD24NOV17	20485.00	20673.75	USD	-943.75	
25AUG17	S	1	PD24NOV17	20475.00	20673.75	USD	-993.75	
25AUG17	S	1	PD24NOV17	20430.00	20673.75	USD	-1,218.75	
25AUG17	S	2	PD24NOV17	20425.00	20673.75	USD	-2,487.50	
25AUG17	S	1	PD24NOV17	20420.00	20673.75	USD	-1,268.75	
25AUG17	S	3	PD24NOV17	20405.00	20673.75	USD	-4,031.25	
25AUG17	S	1	PD24NOV17	20410.00	20673.75	USD	-1,318.75	
25AUG17	S	1	PD24NOV17	20375.00	20673.75	USD	-1,493.75	
05SEP17	B	2	PD24NOV17	20748.85	20673.75	USD	-751.00	
11SEP17	B	1	PD24NOV17	20773.65	20673.75	USD	-499.50	
14SEP17	B	1	PD24NOV17	20556.00	20673.75	USD	588.75	
20SEP17	B	1	PD24NOV17	20655.00	20673.75	USD	93.75	
	NET	+1AVG BGHT 20478.08	SOLD 20418.45				-7,668.00	0.00
29AUG17	B	1	PD29NOV17	20445.00	20668.13	USD	1,115.65	
29AUG17	S	1	PD29NOV17	20680.00	20668.13	USD	59.35	
29AUG17	B	1	PD29NOV17	20450.00	20668.13	USD	1,090.65	
29AUG17	B	1	PD29NOV17	20535.00	20668.13	USD	665.65	
29AUG17	B	5	PD29NOV17	20550.00	20668.13	USD	2,953.25	
29AUG17	B	1	PD29NOV17	20530.00	20668.13	USD	690.65	
29AUG17	B	2	PD29NOV17	20415.00	20668.13	USD	2,531.30	
29AUG17	B	1	PD29NOV17	20505.00	20668.13	USD	815.65	
29AUG17	B	1	PD29NOV17	20435.00	20668.13	USD	1,165.65	
29AUG17	B	1	PD29NOV17	20430.00	20668.13	USD	1,190.65	
29AUG17	B	1	PD29NOV17	20545.00	20668.13	USD	615.65	
29AUG17	B	1	PD29NOV17	20640.00	20668.13	USD	140.65	
29AUG17	S	1	PD29NOV17	20465.00	20668.13	USD	-1,015.65	
29AUG17	B	1	PD29NOV17	20495.00	20668.13	USD	865.65	
29AUG17	B	1	PD29NOV17	20475.00	20668.13	USD	965.65	
29AUG17	B	2	PD29NOV17	20500.00	20668.13	USD	1,681.30	
29AUG17	B	1	PD29NOV17	20450.00	20668.13	USD	1,090.65	
29AUG17	B	1	PD29NOV17	20470.00	20668.13	USD	990.65	
29AUG17	S	1	PD29NOV17	20450.00	20668.13	USD	-1,090.65	

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29AUG17	B	3	PD29NOV17	20490.00	20668.13	USD	2,671.95	
29AUG17	B	1	PD29NOV17	20465.00	20668.13	USD	1,015.65	
29AUG17	B	1	PD29NOV17	20420.00	20668.13	USD	1,240.65	
29AUG17	B	1	PD29NOV17	20370.00	20668.13	USD	1,490.65	
29AUG17	B	2	PD29NOV17	20400.00	20668.13	USD	2,681.30	
29AUG17	S	1	PD29NOV17	20520.00	20668.13	USD	-740.65	
29AUG17	B	1	PD29NOV17	20520.00	20668.13	USD	740.65	
29AUG17	B	4	PD29NOV17	20495.00	20668.13	USD	3,462.60	
29AUG17	B	1	PD29NOV17	20435.00	20668.13	USD	1,165.65	
29AUG17	B	1	PD29NOV17	20420.00	20668.13	USD	1,240.65	
05SEP17	S	2	PD29NOV17	20739.77	20668.13	USD	716.40	
06SEP17	S	1	PD29NOV17	20799.71	20668.13	USD	657.90	
11SEP17	S	3	PD29NOV17	20766.47	20668.13	USD	1,475.10	
12SEP17	S	2	PD29NOV17	20694.00	20668.13	USD	258.70	
12SEP17	S	10	PD29NOV17	20695.00	20668.13	USD	1,343.50	
14SEP17	S	14	PD29NOV17	20550.50	20668.13	USD	-8,234.10	
14SEP17	S	1	PD29NOV17	20551.50	20668.13	USD	-583.15	
	NET	0AVG	BGHT 20482.84	SOLD 20629.46			27,125.80	0.00
30AUG17	B	1	PD30NOV17	20450.00	20666.25	USD	1,081.25	
30AUG17	B	1	PD30NOV17	20430.00	20666.25	USD	1,181.25	
30AUG17	B	1	PD30NOV17	20445.00	20666.25	USD	1,106.25	
30AUG17	B	1	PD30NOV17	20395.00	20666.25	USD	1,356.25	
30AUG17	S	1	PD30NOV17	20445.00	20666.25	USD	-1,106.25	
30AUG17	S	1	PD30NOV17	20450.00	20666.25	USD	-1,081.25	
30AUG17	S	2	PD30NOV17	20530.00	20666.25	USD	-1,362.50	
30AUG17	S	1	PD30NOV17	20535.00	20666.25	USD	-656.25	
30AUG17	S	1	PD30NOV17	20545.00	20666.25	USD	-606.25	
30AUG17	S	1	PD30NOV17	20650.00	20666.25	USD	-81.25	
30AUG17	S	1	PD30NOV17	20545.00	20666.25	USD	-606.25	
30AUG17	S	1	PD30NOV17	20635.00	20666.25	USD	-156.25	
31AUG17	B	1	PD30NOV17	20635.00	20666.25	USD	156.25	
31AUG17	S	1	PD30NOV17	20625.00	20666.25	USD	-206.25	
31AUG17	S	1	PD30NOV17	20600.00	20666.25	USD	-331.25	
05SEP17	B	2	PD30NOV17	20738.08	20666.25	USD	-718.30	
11SEP17	B	2	PD30NOV17	20765.41	20666.25	USD	-991.60	
12SEP17	B	2	PD30NOV17	20692.78	20666.25	USD	-265.30	
	NET	0AVG	BGHT 20613.41	SOLD 20553.64			-3,287.70	0.00
01SEP17	S	1	PD01DEC17	20655.00	20664.38	USD	-46.90	
01SEP17	S	2	PD01DEC17	20650.00	20664.38	USD	-143.80	
01SEP17	S	2	PD01DEC17	20700.00	20664.38	USD	356.20	
01SEP17	S	1	PD01DEC17	20715.00	20664.38	USD	253.10	
01SEP17	B	1	PD01DEC17	20740.00	20664.38	USD	-378.10	
01SEP17	B	1	PD01DEC17	20730.00	20664.38	USD	-328.10	
01SEP17	B	1	PD01DEC17	20755.00	20664.38	USD	-453.10	
01SEP17	S	1	PD01DEC17	20710.00	20664.38	USD	228.10	
01SEP17	B	1	PD01DEC17	20750.00	20664.38	USD	-428.10	
01SEP17	B	1	PD01DEC17	20700.00	20664.38	USD	-178.10	
01SEP17	S	1	PD01DEC17	20770.00	20664.38	USD	528.10	
01SEP17	B	1	PD01DEC17	20735.00	20664.38	USD	-353.10	
01SEP17	B	2	PD01DEC17	20740.00	20664.38	USD	-756.20	
01SEP17	B	1	PD01DEC17	20680.00	20664.38	USD	-78.10	
01SEP17	B	1	PD01DEC17	20675.00	20664.38	USD	-53.10	
01SEP17	B	1	PD01DEC17	20665.00	20664.38	USD	-3.10	
01SEP17	B	1	PD01DEC17	20695.00	20664.38	USD	-153.10	
01SEP17	B	1	PD01DEC17	20690.00	20664.38	USD	-128.10	
01SEP17	B	1	PD01DEC17	20675.00	20664.38	USD	-53.10	
05SEP17	S	2	PD01DEC17	20734.38	20664.38	USD	700.00	

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11SEP17	S	2	PD01DEC17	20762.35	20664.38	USD	979.70	
12SEP17	S	2	PD01DEC17	20689.56	20664.38	USD	251.80	
14SEP17	B	3	PD01DEC17	20548.50	20664.38	USD	1,738.20	
14SEP17	S	3	PD01DEC17	20548.50	20664.38	USD	-1,738.20	
	NET	0 AVG BGHT 20683.26	SOLD 20680.48				-237.10	0.00
04SEP17	B	1	PD04DEC17	20750.00	20662.50	USD	-437.50	
04SEP17	B	1	PD04DEC17	20755.00	20662.50	USD	-462.50	
04SEP17	B	2	PD04DEC17	20760.00	20662.50	USD	-975.00	
04SEP17	B	1	PD04DEC17	20745.00	20662.50	USD	-412.50	
04SEP17	B	1	PD04DEC17	20725.00	20662.50	USD	-312.50	
04SEP17	S	1	PD04DEC17	20735.00	20662.50	USD	362.50	
04SEP17	S	1	PD04DEC17	20675.00	20662.50	USD	62.50	
04SEP17	S	1	PD04DEC17	20730.00	20662.50	USD	337.50	
04SEP17	S	1	PD04DEC17	20750.00	20662.50	USD	437.50	
11SEP17	S	1	PD04DEC17	20760.29	20662.50	USD	488.95	
14SEP17	S	3	PD04DEC17	20546.00	20662.50	USD	-1,747.50	
14SEP17	B	3	PD04DEC17	20548.00	20662.50	USD	1,717.50	
15SEP17	S	1	PD04DEC17	20557.14	20662.50	USD	-526.80	
	NET	0 AVG BGHT 20682.11	SOLD 20649.49				-1,467.85	0.00
05SEP17	S	1	PD05DEC17	20770.00	20660.63	USD	546.85	
05SEP17	S	2	PD05DEC17	20700.00	20660.63	USD	393.70	
05SEP17	S	2	PD05DEC17	20705.00	20660.63	USD	443.70	
05SEP17	S	1	PD05DEC17	20685.00	20660.63	USD	121.85	
05SEP17	B	1	PD05DEC17	20715.00	20660.63	USD	-271.85	
05SEP17	S	1	PD05DEC17	20750.00	20660.63	USD	446.85	
05SEP17	S	1	PD05DEC17	20780.00	20660.63	USD	596.85	
05SEP17	S	1	PD05DEC17	20745.00	20660.63	USD	421.85	
05SEP17	S	1	PD05DEC17	20735.00	20660.63	USD	371.85	
05SEP17	S	1	PD05DEC17	20720.00	20660.63	USD	296.85	
05SEP17	S	1	PD05DEC17	20715.00	20660.63	USD	271.85	
05SEP17	B	1	PD05DEC17	20715.00	20660.63	USD	-271.85	
05SEP17	S	1	PD05DEC17	20705.00	20660.63	USD	221.85	
05SEP17	S	6	PD05DEC17	20710.00	20660.63	USD	1,481.10	
05SEP17	S	1	PD05DEC17	20680.00	20660.63	USD	96.85	
05SEP17	S	2	PD05DEC17	20730.00	20660.63	USD	693.70	
05SEP17	S	4	PD05DEC17	20715.00	20660.63	USD	1,087.40	
05SEP17	S	2	PD05DEC17	20710.00	20660.63	USD	493.70	
05SEP17	S	1	PD05DEC17	20695.00	20660.63	USD	171.85	
05SEP17	S	3	PD05DEC17	20700.00	20660.63	USD	590.55	
11SEP17	B	2	PD05DEC17	20758.24	20660.63	USD	-976.10	
11SEP17	B	4	PD05DEC17	20759.24	20660.63	USD	-1,972.20	
12SEP17	B	8	PD05DEC17	20687.11	20660.63	USD	-1,059.20	
14SEP17	B	5	PD05DEC17	20545.50	20660.63	USD	2,878.25	
15SEP17	B	1	PD05DEC17	20556.24	20660.63	USD	521.95	
18SEP17	B	1	PD05DEC17	20716.36	20660.63	USD	-278.65	
19SEP17	B	2	PD05DEC17	20667.39	20660.63	USD	-67.60	
21SEP17	B	7	PD05DEC17	20482.92	20660.63	USD	6,219.85	
	NET	0 AVG BGHT 20631.11	SOLD 20715.31				13,471.80	0.00
06SEP17	B	1	PD06DEC17	20630.00	20658.75	USD	143.75	
06SEP17	S	1	PD06DEC17	20640.00	20658.75	USD	-93.75	
06SEP17	S	1	PD06DEC17	20630.00	20658.75	USD	-143.75	
06SEP17	S	3	PD06DEC17	20635.00	20658.75	USD	-356.25	
06SEP17	S	1	PD06DEC17	20680.00	20658.75	USD	106.25	
06SEP17	S	1	PD06DEC17	20760.00	20658.75	USD	506.25	
06SEP17	S	2	PD06DEC17	20765.00	20658.75	USD	1,062.50	
06SEP17	B	1	PD06DEC17	20775.00	20658.75	USD	-581.25	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0094X

13th October 2017

Sapien Capital Limited
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backoffice@sapiencap.com

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
11SEP17	B	1	PD06DEC17	20757.18	20658.75	USD	-492.15	
12SEP17	B	2	PD06DEC17	20684.89	20658.75	USD	-261.40	
12SEP17	B	1	PD06DEC17	20683.89	20658.75	USD	-125.70	
14SEP17	B	1	PD06DEC17	20545.00	20658.75	USD	568.75	
20SEP17	B	2	PD06DEC17	20641.67	20658.75	USD	170.80	
	NET	0AVG BGHT	20671.58	SOLD	20682.78		504.05	0.00
07SEP17	B	1	PD07DEC17	20750.00	20656.88	USD	-465.60	
07SEP17	S	1	PD07DEC17	20765.00	20656.88	USD	540.60	
07SEP17	S	1	PD07DEC17	20740.00	20656.88	USD	415.60	
07SEP17	B	2	PD07DEC17	20715.00	20656.88	USD	-581.20	
07SEP17	B	1	PD07DEC17	20730.00	20656.88	USD	-365.60	
07SEP17	B	2	PD07DEC17	20755.00	20656.88	USD	-981.20	
07SEP17	S	1	PD07DEC17	20700.00	20656.88	USD	215.60	
07SEP17	B	1	PD07DEC17	20775.00	20656.88	USD	-590.60	
07SEP17	B	1	PD07DEC17	20785.00	20656.88	USD	-640.60	
11SEP17	S	1	PD07DEC17	20753.12	20656.88	USD	481.20	
12SEP17	S	1	PD07DEC17	20680.67	20656.88	USD	118.95	
14SEP17	S	2	PD07DEC17	20541.50	20656.88	USD	-1,153.80	
20SEP17	S	1	PD07DEC17	20639.00	20656.88	USD	-89.40	
	NET	0AVG BGHT	20747.50	SOLD	20670.10		-3,096.05	0.00
08SEP17	B	2	PD08DEC17	20790.00	20655.00	USD	-1,350.00	
08SEP17	B	1	PD08DEC17	20795.00	20655.00	USD	-700.00	
08SEP17	B	2	PD08DEC17	20800.00	20655.00	USD	-1,450.00	
08SEP17	B	1	PD08DEC17	20810.00	20655.00	USD	-775.00	
08SEP17	S	1	PD08DEC17	20800.00	20655.00	USD	725.00	
08SEP17	B	1	PD08DEC17	20740.00	20655.00	USD	-425.00	
08SEP17	B	4	PD08DEC17	20750.00	20655.00	USD	-1,900.00	
08SEP17	S	1	PD08DEC17	20705.00	20655.00	USD	250.00	
08SEP17	S	3	PD08DEC17	20680.00	20655.00	USD	375.00	
08SEP17	S	1	PD08DEC17	20695.00	20655.00	USD	200.00	
08SEP17	S	1	PD08DEC17	20675.00	20655.00	USD	100.00	
08SEP17	S	1	PD08DEC17	20690.00	20655.00	USD	175.00	
08SEP17	B	1	PD08DEC17	20785.00	20655.00	USD	-650.00	
08SEP17	B	1	PD08DEC17	20790.00	20655.00	USD	-675.00	
08SEP17	S	1	PD08DEC17	20755.00	20655.00	USD	500.00	
08SEP17	B	1	PD08DEC17	20745.00	20655.00	USD	-450.00	
08SEP17	S	1	PD08DEC17	20710.00	20655.00	USD	275.00	
08SEP17	S	1	PD08DEC17	20750.00	20655.00	USD	475.00	
08SEP17	S	2	PD08DEC17	20700.00	20655.00	USD	450.00	
08SEP17	S	1	PD08DEC17	20665.00	20655.00	USD	50.00	
08SEP17	B	1	PD08DEC17	20560.00	20655.00	USD	475.00	
08SEP17	B	1	PD08DEC17	20590.00	20655.00	USD	325.00	
08SEP17	B	2	PD08DEC17	20545.00	20655.00	USD	1,100.00	
08SEP17	B	1	PD08DEC17	20525.00	20655.00	USD	650.00	
08SEP17	S	1	PD08DEC17	20630.00	20655.00	USD	-125.00	
08SEP17	S	1	PD08DEC17	20620.00	20655.00	USD	-175.00	
08SEP17	S	1	PD08DEC17	20575.00	20655.00	USD	-400.00	
08SEP17	S	1	PD08DEC17	20570.00	20655.00	USD	-425.00	
08SEP17	S	1	PD08DEC17	20520.00	20655.00	USD	-675.00	
08SEP17	S	1	PD08DEC17	20675.00	20655.00	USD	100.00	
08SEP17	S	1	PD08DEC17	20665.00	20655.00	USD	50.00	
08SEP17	S	1	PD08DEC17	20525.00	20655.00	USD	-650.00	
08SEP17	B	1	PD08DEC17	20525.00	20655.00	USD	650.00	
08SEP17	S	2	PD08DEC17	20550.00	20655.00	USD	-1,050.00	
11SEP17	S	1	PD08DEC17	20751.06	20655.00	USD	480.30	
12SEP17	S	1	PD08DEC17	20679.44	20655.00	USD	122.20	
21SEP17	B	6	PD08DEC17	20476.67	20655.00	USD	5,349.90	

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	<u>LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>								
	NET	0 AVG BGHT	20653.65	SOLD 20661.37				1,002.40	0.00
11SEP17	S	1	PD11DEC17		20735.00	20653.13	USD	409.35	
11SEP17	S	1	PD11DEC17		20795.00	20653.13	USD	709.35	
11SEP17	B	1	PD11DEC17		20710.00	20653.13	USD	-284.35	
11SEP17	S	1	PD11DEC17		20765.00	20653.13	USD	559.35	
11SEP17	S	1	PD11DEC17		20685.00	20653.13	USD	159.35	
11SEP17	S	1	PD11DEC17		20670.00	20653.13	USD	84.35	
11SEP17	S	3	PD11DEC17		20660.00	20653.13	USD	103.05	
11SEP17	S	1	PD11DEC17		20655.00	20653.13	USD	9.35	
11SEP17	B	1	PD11DEC17		20665.00	20653.13	USD	-59.35	
11SEP17	B	1	PD11DEC17		20680.00	20653.13	USD	-134.35	
11SEP17	S	2	PD11DEC17		20650.00	20653.13	USD	-31.30	
11SEP17	S	2	PD11DEC17		20700.00	20653.13	USD	468.70	
11SEP17	B	1	PD11DEC17		20730.00	20653.13	USD	-384.35	
12SEP17	B	1	PD11DEC17		20678.22	20653.13	USD	-125.45	
20SEP17	B	3	PD11DEC17		20636.67	20653.13	USD	246.90	
28SEP17	B	1	PD11DEC17		20653.75	20653.13	USD	-3.10	
29SEP17	B	1	PD11DEC17		20734.58	20653.13	USD	-407.25	
	NET	-3 AVG BGHT	20676.16	SOLD 20691.15				1,320.25	0.00
12SEP17	S	1	PD12DEC17		20715.00	20651.25	USD	318.75	
12SEP17	S	1	PD12DEC17		20700.00	20651.25	USD	243.75	
12SEP17	S	1	PD12DEC17		20690.00	20651.25	USD	193.75	
12SEP17	S	3	PD12DEC17		20675.00	20651.25	USD	356.25	
12SEP17	S	1	PD12DEC17		20695.00	20651.25	USD	218.75	
12SEP17	B	1	PD12DEC17		20695.00	20651.25	USD	-218.75	
12SEP17	B	1	PD12DEC17		20720.00	20651.25	USD	-343.75	
12SEP17	B	2	PD12DEC17		20700.00	20651.25	USD	-487.50	
12SEP17	S	1	PD12DEC17		20730.00	20651.25	USD	393.75	
15SEP17	B	1	PD12DEC17		20545.71	20651.25	USD	527.70	
19SEP17	S	2	PD12DEC17		20657.70	20651.25	USD	64.50	
	NET	-5 AVG BGHT	20672.14	SOLD 20687.04				1,267.20	0.00
13SEP17	B	1	PD13DEC17		20695.00	20649.38	USD	-228.10	
13SEP17	B	2	PD13DEC17		20700.00	20649.38	USD	-506.20	
13SEP17	S	1	PD13DEC17		20660.00	20649.38	USD	53.10	
13SEP17	B	1	PD13DEC17		20665.00	20649.38	USD	-78.10	
13SEP17	S	1	PD13DEC17		20655.00	20649.38	USD	28.10	
13SEP17	S	1	PD13DEC17		20650.00	20649.38	USD	3.10	
13SEP17	S	1	PD13DEC17		20705.00	20649.38	USD	278.10	
13SEP17	S	1	PD13DEC17		20720.00	20649.38	USD	353.10	
13SEP17	B	1	PD13DEC17		20525.00	20649.38	USD	621.90	
13SEP17	S	3	PD13DEC17		20575.00	20649.38	USD	-1,115.70	
14SEP17	S	3	PD13DEC17		20535.50	20649.38	USD	-1,708.20	
21SEP17	B	2	PD13DEC17		20470.42	20649.38	USD	1,789.60	
	NET	-4 AVG BGHT	20603.69	SOLD 20611.05				-509.30	0.00
14SEP17	S	1	PD14DEC17		20630.00	20647.50	USD	-87.50	
14SEP17	B	1	PD14DEC17		20665.00	20647.50	USD	-87.50	
14SEP17	B	1	PD14DEC17		20680.00	20647.50	USD	-162.50	
14SEP17	B	4	PD14DEC17		20675.00	20647.50	USD	-550.00	
14SEP17	S	1	PD14DEC17		20625.00	20647.50	USD	-112.50	
14SEP17	B	1	PD14DEC17		20620.00	20647.50	USD	137.50	
14SEP17	S	1	PD14DEC17		20600.00	20647.50	USD	-237.50	
14SEP17	S	1	PD14DEC17		20615.00	20647.50	USD	-162.50	
14SEP17	S	1	PD14DEC17		20600.00	20647.50	USD	-237.50	
14SEP17	B	1	PD14DEC17		20630.00	20647.50	USD	87.50	
14SEP17	S	1	PD14DEC17		20675.00	20647.50	USD	137.50	
14SEP17	B	1	PD14DEC17		20615.00	20647.50	USD	162.50	

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14SEP17	B	2	PD14DEC17	20600.00	20647.50	USD	475.00	
14SEP17	B	1	PD14DEC17	20595.00	20647.50	USD	262.50	
14SEP17	B	1	PD14DEC17	20665.00	20647.50	USD	-87.50	
14SEP17	S	1	PD14DEC17	20655.00	20647.50	USD	37.50	
14SEP17	B	1	PD14DEC17	20550.00	20647.50	USD	487.50	
14SEP17	S	1	PD14DEC17	20575.00	20647.50	USD	-362.50	
15SEP17	S	1	PD14DEC17	20540.90	20647.50	USD	-533.00	
18SEP17	S	1	PD14DEC17	20702.64	20647.50	USD	275.70	
20SEP17	S	2	PD14DEC17	20630.67	20647.50	USD	-168.30	
21SEP17	S	1	PD14DEC17	20467.33	20647.50	USD	-900.85	
	NET	+1 AVG BGHT 20637.14	SOLD 20611.32				-1,626.45	0.00
15SEP17	S	1	PD15DEC17	20570.00	20645.63	USD	-378.15	
15SEP17	S	1	PD15DEC17	20555.00	20645.63	USD	-453.15	
15SEP17	S	1	PD15DEC17	20550.00	20645.63	USD	-478.15	
15SEP17	S	1	PD15DEC17	20515.00	20645.63	USD	-653.15	
15SEP17	S	1	PD15DEC17	20505.00	20645.63	USD	-703.15	
15SEP17	S	2	PD15DEC17	20500.00	20645.63	USD	-1,456.30	
15SEP17	S	1	PD15DEC17	20525.00	20645.63	USD	-603.15	
15SEP17	S	1	PD15DEC17	20530.00	20645.63	USD	-578.15	
15SEP17	S	1	PD15DEC17	20520.00	20645.63	USD	-628.15	
15SEP17	S	1	PD15DEC17	20515.00	20645.63	USD	-653.15	
15SEP17	S	2	PD15DEC17	20540.00	20645.63	USD	-1,056.30	
15SEP17	S	1	PD15DEC17	20535.00	20645.63	USD	-553.15	
15SEP17	B	1	PD15DEC17	20475.00	20645.63	USD	853.15	
15SEP17	B	2	PD15DEC17	20440.00	20645.63	USD	2,056.30	
26SEP17	B	1	PD15DEC17	20743.50	20645.63	USD	-489.35	
03OCT17	B	1	PD15DEC17	20843.75	20645.63	USD	-990.60	
	NET	-9 AVG BGHT 20588.45	SOLD 20528.57				-6,764.60	0.00
18SEP17	B	2	PD18DEC17	20600.00	20643.75	USD	437.50	
18SEP17	B	1	PD18DEC17	20590.00	20643.75	USD	268.75	
18SEP17	S	1	PD18DEC17	20685.00	20643.75	USD	206.25	
18SEP17	B	1	PD18DEC17	20605.00	20643.75	USD	193.75	
18SEP17	S	1	PD18DEC17	20650.00	20643.75	USD	31.25	
18SEP17	S	1	PD18DEC17	20670.00	20643.75	USD	131.25	
18SEP17	S	1	PD18DEC17	20700.00	20643.75	USD	281.25	
18SEP17	S	1	PD18DEC17	20680.00	20643.75	USD	181.25	
18SEP17	S	1	PD18DEC17	20660.00	20643.75	USD	81.25	
18SEP17	S	1	PD18DEC17	20655.00	20643.75	USD	56.25	
18SEP17	S	1	PD18DEC17	20630.00	20643.75	USD	-68.75	
18SEP17	B	1	PD18DEC17	20640.00	20643.75	USD	18.75	
18SEP17	B	1	PD18DEC17	20645.00	20643.75	USD	-6.25	
18SEP17	S	1	PD18DEC17	20645.00	20643.75	USD	6.25	
18SEP17	S	1	PD18DEC17	20635.00	20643.75	USD	-43.75	
18SEP17	B	1	PD18DEC17	20635.00	20643.75	USD	43.75	
18SEP17	B	1	PD18DEC17	20670.00	20643.75	USD	-131.25	
18SEP17	S	1	PD18DEC17	20670.00	20643.75	USD	131.25	
18SEP17	S	1	PD18DEC17	20675.00	20643.75	USD	156.25	
18SEP17	S	1	PD18DEC17	20655.00	20643.75	USD	56.25	
18SEP17	B	1	PD18DEC17	20650.00	20643.75	USD	-31.25	
26SEP17	B	1	PD18DEC17	20741.00	20643.75	USD	-486.25	
	NET	-3 AVG BGHT 20637.60	SOLD 20662.31				1,513.75	0.00
19SEP17	S	3	PD19DEC17	20645.00	20641.88	USD	46.80	
19SEP17	B	1	PD19DEC17	20670.00	20641.88	USD	-140.60	
19SEP17	B	1	PD19DEC17	20695.00	20641.88	USD	-265.60	
19SEP17	B	1	PD19DEC17	20695.00	20641.88	USD	-265.60	
19SEP17	B	10	PD19DEC17	20700.00	20641.88	USD	-2,906.00	

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20SEP17	S	3	PD19DEC17		20625.67	20641.88	USD	-243.15	
21SEP17	S	5	PD19DEC17		20461.08	20641.88	USD	-4,520.00	
	NET	+2 AVG BGHT 20696.92	SOLD 20556.13					-8,294.15	0.00
20SEP17	B	1	PD20DEC17		20720.00	20640.00	USD	-400.00	
20SEP17	B	1	PD20DEC17		20705.00	20640.00	USD	-325.00	
20SEP17	B	2	PD20DEC17		20715.00	20640.00	USD	-750.00	
20SEP17	B	1	PD20DEC17		20745.00	20640.00	USD	-525.00	
20SEP17	B	1	PD20DEC17		20770.00	20640.00	USD	-650.00	
20SEP17	B	2	PD20DEC17		20765.00	20640.00	USD	-1,250.00	
20SEP17	S	1	PD20DEC17		20720.00	20640.00	USD	400.00	
20SEP17	B	1	PD20DEC17		20720.00	20640.00	USD	-400.00	
20SEP17	B	2	PD20DEC17		20730.00	20640.00	USD	-900.00	
20SEP17	B	1	PD20DEC17		20760.00	20640.00	USD	-600.00	
20SEP17	B	2	PD20DEC17		20745.00	20640.00	USD	-1,050.00	
20SEP17	S	1	PD20DEC17		20745.00	20640.00	USD	525.00	
20SEP17	B	1	PD20DEC17		20750.00	20640.00	USD	-550.00	
20SEP17	S	1	PD20DEC17		20730.00	20640.00	USD	450.00	
20SEP17	B	2	PD20DEC17		20655.00	20640.00	USD	-150.00	
20SEP17	B	2	PD20DEC17		20710.00	20640.00	USD	-700.00	
20SEP17	B	1	PD20DEC17		20675.00	20640.00	USD	-175.00	
20SEP17	B	1	PD20DEC17		20670.00	20640.00	USD	-150.00	
21SEP17	S	9	PD20DEC17		20459.00	20640.00	USD	-8,145.00	
	NET	+9 AVG BGHT 20721.67	SOLD 20527.17					-15,345.00	0.00
21SEP17	S	1	PD21DEC17		20630.00	20637.14	USD	-35.70	
21SEP17	S	1	PD21DEC17		20595.00	20637.14	USD	-210.70	
21SEP17	S	1	PD21DEC17		20560.00	20637.14	USD	-385.70	
21SEP17	S	1	PD21DEC17		20535.00	20637.14	USD	-510.70	
21SEP17	S	1	PD21DEC17		20555.00	20637.14	USD	-410.70	
21SEP17	B	1	PD21DEC17		20580.00	20637.14	USD	285.70	
21SEP17	B	1	PD21DEC17		20570.00	20637.14	USD	335.70	
21SEP17	S	1	PD21DEC17		20630.00	20637.14	USD	-35.70	
21SEP17	S	1	PD21DEC17		20580.00	20637.14	USD	-285.70	
21SEP17	S	1	PD21DEC17		20535.00	20637.14	USD	-510.70	
21SEP17	B	1	PD21DEC17		20585.00	20637.14	USD	260.70	
21SEP17	S	1	PD21DEC17		20600.00	20637.14	USD	-185.70	
21SEP17	B	1	PD21DEC17		20500.00	20637.14	USD	685.70	
21SEP17	B	1	PD21DEC17		20480.00	20637.14	USD	785.70	
21SEP17	S	1	PD21DEC17		20410.00	20637.14	USD	-1,135.70	
21SEP17	S	1	PD21DEC17		20380.00	20637.14	USD	-1,285.70	
21SEP17	B	1	PD21DEC17		20395.00	20637.14	USD	1,210.70	
21SEP17	B	1	PD21DEC17		20420.00	20637.14	USD	1,085.70	
21SEP17	B	1	PD21DEC17		20400.00	20637.14	USD	1,185.70	
21SEP17	B	1	PD21DEC17		20385.00	20637.14	USD	1,260.70	
21SEP17	B	2	PD21DEC17		20390.00	20637.14	USD	2,471.40	
21SEP17	S	1	PD21DEC17		20400.00	20637.14	USD	-1,185.70	
21SEP17	B	1	PD21DEC17		20390.00	20637.14	USD	1,235.70	
26SEP17	S	2	PD21DEC17		20726.33	20637.14	USD	891.90	
03OCT17	B	1	PD21DEC17		20833.40	20637.14	USD	-981.30	
	NET	-1 AVG BGHT 20486.03	SOLD 20561.62					4,535.60	0.00
22SEP17	S	1	PD22DEC17		20455.00	20634.29	USD	-896.45	
22SEP17	S	1	PD22DEC17		20470.00	20634.29	USD	-821.45	
22SEP17	S	2	PD22DEC17		20425.00	20634.29	USD	-2,092.90	
22SEP17	S	1	PD22DEC17		20565.00	20634.29	USD	-346.45	
22SEP17	B	1	PD22DEC17		20565.00	20634.29	USD	346.45	
22SEP17	B	1	PD22DEC17		20580.00	20634.29	USD	271.45	
22SEP17	S	1	PD22DEC17		20560.00	20634.29	USD	-371.45	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0094X

13th October 2017

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backoffice@sapiencap.com

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	<u>LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
22SEP17	B	1	PD22DEC17	20575.00	20634.29	USD	296.45	
22SEP17	S	3	PD22DEC17	20570.00	20634.29	USD	-964.35	
22SEP17	B	1	PD22DEC17	20590.00	20634.29	USD	221.45	
22SEP17	S	1	PD22DEC17	20555.00	20634.29	USD	-396.45	
22SEP17	B	1	PD22DEC17	20595.00	20634.29	USD	196.45	
22SEP17	S	2	PD22DEC17	20580.00	20634.29	USD	-542.90	
22SEP17	S	1	PD22DEC17	20570.00	20634.29	USD	-321.45	
22SEP17	B	1	PD22DEC17	20570.00	20634.29	USD	321.45	
	NET	-7 AVG	BGHT 20579.17	SOLD 20530.38			-5,100.15	0.00
25SEP17	S	1	PD27DEC17	20635.00	20631.43	USD	17.85	
25SEP17	B	1	PD27DEC17	20595.00	20631.43	USD	182.15	
25SEP17	B	2	PD27DEC17	20600.00	20631.43	USD	314.30	
25SEP17	B	1	PD27DEC17	20590.00	20631.43	USD	207.15	
25SEP17	S	1	PD27DEC17	20600.00	20631.43	USD	-157.15	
25SEP17	S	1	PD27DEC17	20640.00	20631.43	USD	42.85	
25SEP17	S	1	PD27DEC17	20600.00	20631.43	USD	-157.15	
25SEP17	B	1	PD27DEC17	20655.00	20631.43	USD	-117.85	
25SEP17	S	1	PD27DEC17	20705.00	20631.43	USD	367.85	
25SEP17	S	1	PD27DEC17	20700.00	20631.43	USD	342.85	
25SEP17	B	1	PD27DEC17	20645.00	20631.43	USD	-67.85	
26SEP17	B	1	PD27DEC17	20735.00	20631.43	USD	-517.85	
26SEP17	S	2	PD27DEC17	20720.00	20631.43	USD	885.70	
26SEP17	B	2	PD27DEC17	20730.00	20631.43	USD	-985.70	
26SEP17	S	1	PD27DEC17	20655.00	20631.43	USD	117.85	
27SEP17	B	1	PD27DEC17	20660.00	20631.43	USD	-142.85	
27SEP17	B	1	PD27DEC17	20720.00	20631.43	USD	-442.85	
27SEP17	S	1	PD27DEC17	20660.00	20631.43	USD	142.85	
27SEP17	S	2	PD27DEC17	20650.00	20631.43	USD	185.70	
27SEP17	S	2	PD27DEC17	20680.00	20631.43	USD	485.70	
28SEP17	S	1	PD27DEC17	20610.25	20631.43	USD	-105.90	
	NET	-4 AVG	BGHT 20660.00	SOLD 20660.35			597.65	0.00
28SEP17	S	1	PD28DEC17	20610.00	20628.57	USD	-92.85	
28SEP17	B	1	PD28DEC17	20680.00	20628.57	USD	-257.15	
29SEP17	S	1	PD28DEC17	20683.00	20628.57	USD	272.15	
03OCT17	S	1	PD28DEC17	20812.60	20628.57	USD	920.15	
	NET	-2 AVG	BGHT 20680.00	SOLD 20701.87			842.30	0.00
29SEP17	B	1	PD29DEC17	20720.00	20625.71	USD	-471.45	
29SEP17	S	1	PD29DEC17	20675.00	20625.71	USD	246.45	
03OCT17	S	1	PD29DEC17	20806.00	20625.71	USD	901.45	
	NET	-1 AVG	BGHT 20720.00	SOLD 20740.50			676.45	0.00
09OCT17	B	1	PD09JAN18	20720.00	20608.57	USD	-557.15	
09OCT17	B	1	PD09JAN18	20700.00	20608.57	USD	-457.15	
09OCT17	B	1	PD09JAN18	20690.00	20608.57	USD	-407.15	
09OCT17	B	1	PD09JAN18	20745.00	20608.57	USD	-682.15	
09OCT17	B	1	PD09JAN18	20725.00	20608.57	USD	-582.15	
09OCT17	B	2	PD09JAN18	20740.00	20608.57	USD	-1,314.30	
09OCT17	B	1	PD09JAN18	20765.00	20608.57	USD	-782.15	
	NET	+8 AVG	BGHT 20728.13				-4,782.20	0.00
11OCT17	S	1	PD11JAN18	20820.00	20602.86	USD	1,085.70	
	NET	-1 AVG	SOLD 20820.00				1,085.70	0.00
12OCT17	S	1	PD12JAN18	20730.00	20600.00	USD	650.00	
12OCT17	B	1	PD12JAN18	20800.00	20600.00	USD	-1,000.00	
12OCT17	B	1	PD12JAN18	20740.00	20600.00	USD	-700.00	
13OCT17	B	1	PD12JAN18	20700.00	20600.00	USD	-500.00	
13OCT17	B	1	PD12JAN18	20760.00	20600.00	USD	-800.00	

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	<u>LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
	NET	+3AVG BGHT 20750.00	SOLD 20730.00				-2,350.00	0.00
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
17JUL17	S	1	PD17OCT17	2788.00	3294.00	USD	-12,650.00	
17JUL17	S	1	PD17OCT17	2817.50	3294.00	USD	-11,912.50	
17JUL17	S	1	PD17OCT17	2816.50	3294.00	USD	-11,937.50	
17JUL17	S	1	PD17OCT17	2817.00	3294.00	USD	-11,925.00	
17JUL17	S	1	PD17OCT17	2813.00	3294.00	USD	-12,025.00	
17JUL17	S	2	PD17OCT17	2823.50	3294.00	USD	-23,525.00	
17JUL17	S	1	PD17OCT17	2819.00	3294.00	USD	-11,875.00	
17JUL17	B	1	PD17OCT17	2823.50	3294.00	USD	11,762.50	
17JUL17	B	3	PD17OCT17	2783.00	3294.00	USD	38,325.00	
17JUL17	S	2	PD17OCT17	2788.50	3294.00	USD	-25,275.00	
17JUL17	B	1	PD17OCT17	2815.00	3294.00	USD	11,975.00	
17JUL17	S	1	PD17OCT17	2809.50	3294.00	USD	-12,112.50	
17JUL17	S	1	PD17OCT17	2818.50	3294.00	USD	-11,887.50	
17JUL17	S	2	PD17OCT17	2804.50	3294.00	USD	-24,475.00	
17JUL17	S	1	PD17OCT17	2823.00	3294.00	USD	-11,775.00	
17JUL17	B	1	PD17OCT17	2828.50	3294.00	USD	11,637.50	
17JUL17	S	2	PD17OCT17	2830.50	3294.00	USD	-23,175.00	
17JUL17	S	1	PD17OCT17	2836.50	3294.00	USD	-11,437.50	
17JUL17	B	1	PD17OCT17	2824.50	3294.00	USD	11,737.50	
17JUL17	S	1	PD17OCT17	2843.00	3294.00	USD	-11,275.00	
17JUL17	S	1	PD17OCT17	2843.50	3294.00	USD	-11,262.50	
17JUL17	B	1	PD17OCT17	2824.00	3294.00	USD	11,750.00	
17JUL17	B	1	PD17OCT17	2825.50	3294.00	USD	11,712.50	
17JUL17	S	1	PD17OCT17	2822.00	3294.00	USD	-11,800.00	
17JUL17	B	1	PD17OCT17	2835.00	3294.00	USD	11,475.00	
17JUL17	B	1	PD17OCT17	2826.50	3294.00	USD	11,687.50	
17JUL17	S	1	PD17OCT17	2829.00	3294.00	USD	-11,625.00	
17JUL17	B	1	PD17OCT17	2832.50	3294.00	USD	11,537.50	
17JUL17	S	1	PD17OCT17	2832.50	3294.00	USD	-11,537.50	
17JUL17	B	2	PD17OCT17	2829.00	3294.00	USD	23,250.00	
17JUL17	B	1	PD17OCT17	2830.50	3294.00	USD	11,587.50	
17JUL17	B	1	PD17OCT17	2831.00	3294.00	USD	11,575.00	
17JUL17	S	2	PD17OCT17	2836.00	3294.00	USD	-22,900.00	
17JUL17	S	1	PD17OCT17	2831.00	3294.00	USD	-11,575.00	
17JUL17	B	1	PD17OCT17	2833.50	3294.00	USD	11,512.50	
17JUL17	B	1	PD17OCT17	2818.50	3294.00	USD	11,887.50	
17JUL17	S	1	PD17OCT17	2818.00	3294.00	USD	-11,900.00	
17JUL17	B	2	PD17OCT17	2817.00	3294.00	USD	23,850.00	
17JUL17	B	2	PD17OCT17	2816.00	3294.00	USD	23,900.00	
17JUL17	B	1	PD17OCT17	2812.00	3294.00	USD	12,050.00	
17JUL17	S	2	PD17OCT17	2814.00	3294.00	USD	-24,000.00	
17JUL17	B	2	PD17OCT17	2815.50	3294.00	USD	23,925.00	
17JUL17	S	1	PD17OCT17	2820.00	3294.00	USD	-11,850.00	
17JUL17	S	2	PD17OCT17	2819.00	3294.00	USD	-23,750.00	
19JUL17	B	1	PD17OCT17	2747.54	3294.00	USD	13,661.50	
19JUL17	S	2	PD17OCT17	2747.34	3294.00	USD	-27,333.00	
26JUL17	B	4	PD17OCT17	2808.99	3294.00	USD	48,501.00	
26JUL17	B	1	PD17OCT17	2808.79	3294.00	USD	12,130.25	
27JUL17	S	1	PD17OCT17	2800.95	3294.00	USD	-12,326.25	
03AUG17	S	3	PD17OCT17	2789.03	3294.00	USD	-37,872.75	
08AUG17	B	1	PD17OCT17	2924.33	3294.00	USD	9,241.75	
10AUG17	B	1	PD17OCT17	2935.76	3294.00	USD	8,956.00	
10AUG17	B	5	PD17OCT17	2935.56	3294.00	USD	44,805.00	
	NET	0AVG BGHT 2836.70	SOLD 2812.95				-22,561.50	0.00

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18JUL17	B	1	PD18OCT17	2825.00	3291.00	USD	11,650.00	
18JUL17	S	1	PD18OCT17	2799.00	3291.00	USD	-12,300.00	
18JUL17	B	1	PD18OCT17	2798.50	3291.00	USD	12,312.50	
18JUL17	S	1	PD18OCT17	2790.50	3291.00	USD	-12,512.50	
18JUL17	B	1	PD18OCT17	2797.00	3291.00	USD	12,350.00	
18JUL17	B	1	PD18OCT17	2792.50	3291.00	USD	12,462.50	
18JUL17	B	1	PD18OCT17	2774.50	3291.00	USD	12,912.50	
18JUL17	B	1	PD18OCT17	2797.50	3291.00	USD	12,337.50	
18JUL17	S	1	PD18OCT17	2801.50	3291.00	USD	-12,237.50	
18JUL17	S	1	PD18OCT17	2795.00	3291.00	USD	-12,400.00	
18JUL17	B	1	PD18OCT17	2776.00	3291.00	USD	12,875.00	
18JUL17	B	2	PD18OCT17	2778.00	3291.00	USD	25,650.00	
18JUL17	B	1	PD18OCT17	2786.00	3291.00	USD	12,625.00	
18JUL17	B	2	PD18OCT17	2785.50	3291.00	USD	25,275.00	
18JUL17	B	2	PD18OCT17	2783.00	3291.00	USD	25,400.00	
18JUL17	B	1	PD18OCT17	2780.00	3291.00	USD	12,775.00	
18JUL17	B	1	PD18OCT17	2781.50	3291.00	USD	12,737.50	
18JUL17	B	1	PD18OCT17	2782.00	3291.00	USD	12,725.00	
18JUL17	B	1	PD18OCT17	2780.50	3291.00	USD	12,762.50	
18JUL17	B	1	PD18OCT17	2784.50	3291.00	USD	12,662.50	
18JUL17	B	1	PD18OCT17	2784.00	3291.00	USD	12,675.00	
18JUL17	B	2	PD18OCT17	2788.00	3291.00	USD	25,150.00	
18JUL17	S	1	PD18OCT17	2788.50	3291.00	USD	-12,562.50	
18JUL17	S	3	PD18OCT17	2788.00	3291.00	USD	-37,725.00	
18JUL17	S	2	PD18OCT17	2787.50	3291.00	USD	-25,175.00	
18JUL17	B	1	PD18OCT17	2788.50	3291.00	USD	12,562.50	
18JUL17	S	3	PD18OCT17	2787.00	3291.00	USD	-37,800.00	
18JUL17	S	4	PD18OCT17	2786.50	3291.00	USD	-50,450.00	
18JUL17	S	1	PD18OCT17	2784.50	3291.00	USD	-12,662.50	
18JUL17	B	1	PD18OCT17	2792.00	3291.00	USD	12,475.00	
18JUL17	S	1	PD18OCT17	2791.50	3291.00	USD	-12,487.50	
18JUL17	S	5	PD18OCT17	2791.00	3291.00	USD	-62,500.00	
18JUL17	B	2	PD18OCT17	2783.50	3291.00	USD	25,375.00	
18JUL17	B	1	PD18OCT17	2784.00	3291.00	USD	12,675.00	
18JUL17	B	1	PD18OCT17	2782.00	3291.00	USD	12,725.00	
18JUL17	B	1	PD18OCT17	2784.50	3291.00	USD	12,662.50	
18JUL17	S	1	PD18OCT17	2787.00	3291.00	USD	-12,600.00	
18JUL17	S	2	PD18OCT17	2795.00	3291.00	USD	-24,800.00	
18JUL17	S	1	PD18OCT17	2793.00	3291.00	USD	-12,450.00	
19JUL17	S	1	PD18OCT17	2747.55	3291.00	USD	-13,586.25	
26JUL17	B	2	PD18OCT17	2809.00	3291.00	USD	24,100.00	
28JUL17	S	3	PD18OCT17	2775.75	3291.00	USD	-38,643.75	
03AUG17	B	2	PD18OCT17	2789.50	3291.00	USD	25,075.00	
10AUG17	S	1	PD18OCT17	2935.55	3291.00	USD	-8,886.25	
10AUG17	B	1	PD18OCT17	2935.95	3291.00	USD	8,876.25	
15AUG17	S	1	PD18OCT17	2957.50	3291.00	USD	-8,337.50	
	NET	0	AVG BGHT 2792.34	SOLD 2796.75			3,747.50	0.00
19JUL17	B	1	PD19OCT17	2778.50	3286.50	USD	12,700.00	
19JUL17	B	1	PD19OCT17	2778.00	3286.50	USD	12,712.50	
19JUL17	S	1	PD19OCT17	2778.00	3286.50	USD	-12,712.50	
19JUL17	B	1	PD19OCT17	2790.00	3286.50	USD	12,412.50	
19JUL17	S	1	PD19OCT17	2791.00	3286.50	USD	-12,387.50	
19JUL17	B	1	PD19OCT17	2794.50	3286.50	USD	12,300.00	
19JUL17	S	1	PD19OCT17	2798.00	3286.50	USD	-12,212.50	
19JUL17	B	1	PD19OCT17	2775.00	3286.50	USD	12,787.50	
19JUL17	B	2	PD19OCT17	2773.00	3286.50	USD	25,675.00	
19JUL17	B	1	PD19OCT17	2775.00	3286.50	USD	12,787.50	

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19JUL17	B	1	PD19OCT17		2772.00	3286.50	USD	12,862.50	
19JUL17	B	3	PD19OCT17		2773.50	3286.50	USD	38,475.00	
19JUL17	S	3	PD19OCT17		2776.00	3286.50	USD	-38,287.50	
19JUL17	S	3	PD19OCT17		2774.00	3286.50	USD	-38,437.50	
19JUL17	S	3	PD19OCT17		2775.50	3286.50	USD	-38,325.00	
19JUL17	B	1	PD19OCT17		2776.50	3286.50	USD	12,750.00	
19JUL17	S	1	PD19OCT17		2773.50	3286.50	USD	-12,825.00	
19JUL17	B	2	PD19OCT17		2775.50	3286.50	USD	25,550.00	
19JUL17	B	1	PD19OCT17		2776.00	3286.50	USD	12,762.50	
19JUL17	B	1	PD19OCT17		2763.50	3286.50	USD	13,075.00	
19JUL17	S	1	PD19OCT17		2758.00	3286.50	USD	-13,212.50	
19JUL17	B	1	PD19OCT17		2748.00	3286.50	USD	13,462.50	
19JUL17	B	1	PD19OCT17		2773.50	3286.50	USD	12,825.00	
19JUL17	B	1	PD19OCT17		2774.00	3286.50	USD	12,812.50	
19JUL17	B	1	PD19OCT17		2777.00	3286.50	USD	12,737.50	
19JUL17	S	2	PD19OCT17		2774.00	3286.50	USD	-25,625.00	
19JUL17	B	2	PD19OCT17		2743.50	3286.50	USD	27,150.00	
19JUL17	B	1	PD19OCT17		2736.00	3286.50	USD	13,762.50	
19JUL17	S	1	PD19OCT17		2737.00	3286.50	USD	-13,737.50	
27JUL17	S	1	PD19OCT17		2800.13	3286.50	USD	-12,159.25	
03AUG17	S	3	PD19OCT17		2788.55	3286.50	USD	-37,346.25	
10AUG17	S	2	PD19OCT17		2934.80	3286.50	USD	-17,585.00	
15AUG17	S	1	PD19OCT17		2956.75	3286.50	USD	-8,243.75	
	NET	0AVG BGHT 2770.50	SOLD 2798.01					16,503.25	0.00
20JUL17	S	1	PD20OCT17		2743.00	3282.00	USD	-13,475.00	
20JUL17	B	1	PD20OCT17		2745.00	3282.00	USD	13,425.00	
20JUL17	B	1	PD20OCT17		2745.50	3282.00	USD	13,412.50	
20JUL17	S	1	PD20OCT17		2736.50	3282.00	USD	-13,637.50	
20JUL17	S	1	PD20OCT17		2737.00	3282.00	USD	-13,625.00	
20JUL17	B	1	PD20OCT17		2732.00	3282.00	USD	13,750.00	
20JUL17	B	1	PD20OCT17		2729.50	3282.00	USD	13,812.50	
20JUL17	B	1	PD20OCT17		2736.00	3282.00	USD	13,650.00	
20JUL17	B	1	PD20OCT17		2746.50	3282.00	USD	13,387.50	
20JUL17	S	2	PD20OCT17		2749.00	3282.00	USD	-26,650.00	
20JUL17	B	1	PD20OCT17		2749.00	3282.00	USD	13,325.00	
20JUL17	S	1	PD20OCT17		2751.00	3282.00	USD	-13,275.00	
20JUL17	S	1	PD20OCT17		2752.00	3282.00	USD	-13,250.00	
20JUL17	B	1	PD20OCT17		2752.50	3282.00	USD	13,237.50	
20JUL17	S	1	PD20OCT17		2746.00	3282.00	USD	-13,400.00	
20JUL17	S	2	PD20OCT17		2747.50	3282.00	USD	-26,725.00	
20JUL17	B	1	PD20OCT17		2740.50	3282.00	USD	13,537.50	
20JUL17	B	2	PD20OCT17		2740.00	3282.00	USD	27,100.00	
20JUL17	B	2	PD20OCT17		2743.50	3282.00	USD	26,925.00	
20JUL17	S	3	PD20OCT17		2743.50	3282.00	USD	-40,387.50	
20JUL17	S	2	PD20OCT17		2744.00	3282.00	USD	-26,900.00	
20JUL17	B	1	PD20OCT17		2744.50	3282.00	USD	13,437.50	
20JUL17	S	1	PD20OCT17		2733.00	3282.00	USD	-13,725.00	
20JUL17	S	1	PD20OCT17		2728.00	3282.00	USD	-13,850.00	
20JUL17	B	1	PD20OCT17		2720.00	3282.00	USD	14,050.00	
20JUL17	B	2	PD20OCT17		2722.50	3282.00	USD	27,975.00	
20JUL17	S	1	PD20OCT17		2726.50	3282.00	USD	-13,887.50	
20JUL17	B	1	PD20OCT17		2726.50	3282.00	USD	13,887.50	
20JUL17	B	1	PD20OCT17		2729.00	3282.00	USD	13,825.00	
20JUL17	B	1	PD20OCT17		2731.00	3282.00	USD	13,775.00	
20JUL17	B	1	PD20OCT17		2727.50	3282.00	USD	13,862.50	
21JUL17	B	1	PD20OCT17		2747.50	3282.00	USD	13,362.50	
21JUL17	S	1	PD20OCT17		2748.00	3282.00	USD	-13,350.00	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0094X

13th October 2017

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backoffice@sapiencap.com

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FINANCIAL CONDUCT AUTHORITY

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
21JUL17	S	1	PD200CT17	2747.50	3282.00	USD	-13,362.50	
21JUL17	S	1	PD200CT17	2757.00	3282.00	USD	-13,125.00	
21JUL17	B	1	PD200CT17	2764.00	3282.00	USD	12,950.00	
21JUL17	B	1	PD200CT17	2762.00	3282.00	USD	13,000.00	
21JUL17	B	1	PD200CT17	2757.50	3282.00	USD	13,112.50	
21JUL17	S	1	PD200CT17	2759.00	3282.00	USD	-13,075.00	
21JUL17	S	1	PD200CT17	2766.50	3282.00	USD	-12,887.50	
21JUL17	S	1	PD200CT17	2766.00	3282.00	USD	-12,900.00	
21JUL17	B	1	PD200CT17	2766.00	3282.00	USD	12,900.00	
21JUL17	S	1	PD200CT17	2775.00	3282.00	USD	-12,675.00	
21JUL17	S	1	PD200CT17	2773.50	3282.00	USD	-12,712.50	
21JUL17	S	2	PD200CT17	2771.50	3282.00	USD	-25,525.00	
21JUL17	S	1	PD200CT17	2771.00	3282.00	USD	-12,775.00	
21JUL17	S	2	PD200CT17	2773.00	3282.00	USD	-25,450.00	
21JUL17	S	1	PD200CT17	2772.00	3282.00	USD	-12,750.00	
21JUL17	S	1	PD200CT17	2765.00	3282.00	USD	-12,925.00	
21JUL17	B	1	PD200CT17	2759.00	3282.00	USD	13,075.00	
21JUL17	B	1	PD200CT17	2759.50	3282.00	USD	13,062.50	
21JUL17	B	1	PD200CT17	2758.50	3282.00	USD	13,087.50	
21JUL17	B	1	PD200CT17	2745.00	3282.00	USD	13,425.00	
21JUL17	B	3	PD200CT17	2746.00	3282.00	USD	40,200.00	
21JUL17	S	1	PD200CT17	2750.00	3282.00	USD	-13,300.00	
21JUL17	B	1	PD200CT17	2753.50	3282.00	USD	13,212.50	
21JUL17	B	2	PD200CT17	2752.50	3282.00	USD	26,475.00	
21JUL17	B	1	PD200CT17	2752.00	3282.00	USD	13,250.00	
21JUL17	B	2	PD200CT17	2751.50	3282.00	USD	26,525.00	
21JUL17	B	3	PD200CT17	2754.00	3282.00	USD	39,600.00	
21JUL17	B	1	PD200CT17	2754.50	3282.00	USD	13,187.50	
21JUL17	B	2	PD200CT17	2753.70	3282.00	USD	26,415.00	
26JUL17	S	3	PD200CT17	2806.94	3282.00	USD	-35,629.50	
27JUL17	S	10	PD200CT17	2798.80	3282.00	USD	-120,800.00	
27JUL17	B	1	PD200CT17	2799.00	3282.00	USD	12,075.00	
27JUL17	B	4	PD200CT17	2799.20	3282.00	USD	48,280.00	
28JUL17	B	1	PD200CT17	2773.95	3282.00	USD	12,701.25	
08AUG17	S	1	PD200CT17	2922.80	3282.00	USD	-8,980.00	
10AUG17	S	2	PD200CT17	2934.25	3282.00	USD	-17,387.50	
10AUG17	S	2	PD200CT17	2934.05	3282.00	USD	-17,397.50	
15AUG17	B	1	PD200CT17	2956.20	3282.00	USD	8,145.00	
	NET	0AVG BGHT 2755.53	SOLD 2782.16				34,621.75	0.00
24JUL17	S	1	PD240CT17	2773.50	3276.00	USD	-12,562.50	
24JUL17	B	1	PD240CT17	2745.00	3276.00	USD	13,275.00	
24JUL17	B	1	PD240CT17	2767.50	3276.00	USD	12,712.50	
24JUL17	B	1	PD240CT17	2779.00	3276.00	USD	12,425.00	
24JUL17	B	1	PD240CT17	2778.00	3276.00	USD	12,450.00	
24JUL17	B	1	PD240CT17	2771.50	3276.00	USD	12,612.50	
24JUL17	S	2	PD240CT17	2783.50	3276.00	USD	-24,625.00	
24JUL17	S	1	PD240CT17	2777.50	3276.00	USD	-12,462.50	
24JUL17	B	1	PD240CT17	2779.50	3276.00	USD	12,412.50	
24JUL17	B	1	PD240CT17	2777.00	3276.00	USD	12,475.00	
24JUL17	S	1	PD240CT17	2777.00	3276.00	USD	-12,475.00	
24JUL17	B	1	PD240CT17	2775.00	3276.00	USD	12,525.00	
24JUL17	S	1	PD240CT17	2773.00	3276.00	USD	-12,575.00	
24JUL17	S	1	PD240CT17	2776.00	3276.00	USD	-12,500.00	
24JUL17	S	1	PD240CT17	2775.50	3276.00	USD	-12,512.50	
24JUL17	B	4	PD240CT17	2778.50	3276.00	USD	49,750.00	
24JUL17	B	1	PD240CT17	2777.50	3276.00	USD	12,462.50	
24JUL17	B	2	PD240CT17	2775.50	3276.00	USD	25,025.00	

OPEN POSITION STATEMENT



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24JUL17	S	3	PD240CT17	2784.50	3276.00	USD	-36,862.50	
24JUL17	S	3	PD240CT17	2785.50	3276.00	USD	-36,787.50	
24JUL17	S	4	PD240CT17	2786.00	3276.00	USD	-49,000.00	
24JUL17	S	1	PD240CT17	2787.00	3276.00	USD	-12,225.00	
24JUL17	B	4	PD240CT17	2784.00	3276.00	USD	49,200.00	
24JUL17	S	1	PD240CT17	2784.00	3276.00	USD	-12,300.00	
27JUL17	S	1	PD240CT17	2800.50	3276.00	USD	-11,887.50	
08AUG17	B	1	PD240CT17	2923.80	3276.00	USD	8,805.00	
10AUG17	B	2	PD240CT17	2934.96	3276.00	USD	17,052.00	
15AUG17	S	1	PD240CT17	2956.54	3276.00	USD	-7,986.50	
	NET	0 AVG BGHT 2797.49	SOLD 2790.98				-3,579.50	0.00
25JUL17	B	1	PD250CT17	2786.00	3273.00	USD	12,175.00	
25JUL17	B	1	PD250CT17	2785.50	3273.00	USD	12,187.50	
25JUL17	B	1	PD250CT17	2786.50	3273.00	USD	12,162.50	
25JUL17	S	1	PD250CT17	2781.50	3273.00	USD	-12,287.50	
25JUL17	S	1	PD250CT17	2778.50	3273.00	USD	-12,362.50	
25JUL17	S	1	PD250CT17	2777.50	3273.00	USD	-12,387.50	
25JUL17	S	1	PD250CT17	2791.50	3273.00	USD	-12,037.50	
25JUL17	S	1	PD250CT17	2793.00	3273.00	USD	-12,000.00	
25JUL17	S	1	PD250CT17	2805.50	3273.00	USD	-11,687.50	
25JUL17	S	1	PD250CT17	2801.00	3273.00	USD	-11,800.00	
25JUL17	S	1	PD250CT17	2809.00	3273.00	USD	-11,600.00	
25JUL17	S	2	PD250CT17	2805.00	3273.00	USD	-23,400.00	
25JUL17	S	1	PD250CT17	2815.00	3273.00	USD	-11,450.00	
25JUL17	S	1	PD250CT17	2817.50	3273.00	USD	-11,387.50	
25JUL17	S	1	PD250CT17	2824.50	3273.00	USD	-11,212.50	
25JUL17	B	2	PD250CT17	2787.00	3273.00	USD	24,300.00	
25JUL17	B	2	PD250CT17	2834.00	3273.00	USD	21,950.00	
25JUL17	S	1	PD250CT17	2823.00	3273.00	USD	-11,250.00	
25JUL17	S	1	PD250CT17	2831.50	3273.00	USD	-11,037.50	
25JUL17	B	2	PD250CT17	2831.00	3273.00	USD	22,100.00	
25JUL17	S	2	PD250CT17	2833.00	3273.00	USD	-22,000.00	
25JUL17	S	1	PD250CT17	2839.50	3273.00	USD	-10,837.50	
25JUL17	S	1	PD250CT17	2845.00	3273.00	USD	-10,700.00	
25JUL17	S	1	PD250CT17	2845.50	3273.00	USD	-10,687.50	
25JUL17	S	1	PD250CT17	2851.00	3273.00	USD	-10,550.00	
25JUL17	B	2	PD250CT17	2838.50	3273.00	USD	21,725.00	
25JUL17	B	2	PD250CT17	2838.00	3273.00	USD	21,750.00	
25JUL17	B	1	PD250CT17	2837.00	3273.00	USD	10,900.00	
25JUL17	B	1	PD250CT17	2836.50	3273.00	USD	10,912.50	
25JUL17	B	2	PD250CT17	2839.50	3273.00	USD	21,675.00	
25JUL17	B	1	PD250CT17	2845.00	3273.00	USD	10,700.00	
27JUL17	B	4	PD250CT17	2800.83	3273.00	USD	47,217.00	
28JUL17	B	1	PD250CT17	2775.70	3273.00	USD	12,432.50	
08AUG17	S	2	PD250CT17	2923.80	3273.00	USD	-17,460.00	
10AUG17	S	2	PD250CT17	2935.14	3273.00	USD	-16,893.00	
10AUG17	B	1	PD250CT17	2935.14	3273.00	USD	8,446.50	
15AUG17	B	1	PD250CT17	2956.87	3273.00	USD	7,903.25	
	NET	0 AVG BGHT 2827.34	SOLD 2832.96				3,508.75	0.00
26JUL17	S	1	PD260CT17	2844.50	3271.87	USD	-10,684.25	
26JUL17	S	1	PD260CT17	2847.50	3271.87	USD	-10,609.25	
26JUL17	S	1	PD260CT17	2846.00	3271.87	USD	-10,646.75	
26JUL17	B	1	PD260CT17	2830.00	3271.87	USD	11,046.75	
26JUL17	B	1	PD260CT17	2836.00	3271.87	USD	10,896.75	
26JUL17	B	2	PD260CT17	2837.00	3271.87	USD	21,743.50	
26JUL17	B	1	PD260CT17	2832.50	3271.87	USD	10,984.25	
26JUL17	S	1	PD260CT17	2849.00	3271.87	USD	-10,571.75	

OPEN POSITION STATEMENT



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26JUL17	B	1	PD260CT17	2843.00	3271.87	USD	10,721.75	
26JUL17	B	2	PD260CT17	2845.00	3271.87	USD	21,343.50	
26JUL17	B	1	PD260CT17	2828.00	3271.87	USD	11,096.75	
26JUL17	B	2	PD260CT17	2819.00	3271.87	USD	22,643.50	
26JUL17	B	1	PD260CT17	2818.00	3271.87	USD	11,346.75	
26JUL17	B	2	PD260CT17	2817.00	3271.87	USD	22,743.50	
26JUL17	B	3	PD260CT17	2818.50	3271.87	USD	34,002.75	
26JUL17	B	1	PD260CT17	2820.00	3271.87	USD	11,296.75	
26JUL17	B	2	PD260CT17	2819.50	3271.87	USD	22,618.50	
26JUL17	B	1	PD260CT17	2825.00	3271.87	USD	11,171.75	
26JUL17	S	1	PD260CT17	2820.50	3271.87	USD	-11,284.25	
26JUL17	B	1	PD260CT17	2816.00	3271.87	USD	11,396.75	
26JUL17	S	1	PD260CT17	2819.50	3271.87	USD	-11,309.25	
26JUL17	S	1	PD260CT17	2820.00	3271.87	USD	-11,296.75	
26JUL17	S	1	PD260CT17	2825.50	3271.87	USD	-11,159.25	
26JUL17	S	2	PD260CT17	2825.00	3271.87	USD	-22,343.50	
26JUL17	B	1	PD260CT17	2820.00	3271.87	USD	11,296.75	
26JUL17	S	1	PD260CT17	2827.50	3271.87	USD	-11,109.25	
26JUL17	B	2	PD260CT17	2821.00	3271.87	USD	22,543.50	
26JUL17	B	1	PD260CT17	2825.50	3271.87	USD	11,159.25	
26JUL17	B	2	PD260CT17	2820.50	3271.87	USD	22,568.50	
26JUL17	B	2	PD260CT17	2826.00	3271.87	USD	22,293.50	
26JUL17	B	1	PD260CT17	2815.50	3271.87	USD	11,409.25	
26JUL17	B	1	PD260CT17	2813.00	3271.87	USD	11,471.75	
26JUL17	B	2	PD260CT17	2814.00	3271.87	USD	22,893.50	
26JUL17	B	1	PD260CT17	2813.50	3271.87	USD	11,459.25	
26JUL17	S	1	PD260CT17	2817.50	3271.87	USD	-11,359.25	
26JUL17	B	2	PD260CT17	2815.00	3271.87	USD	22,843.50	
26JUL17	B	1	PD260CT17	2811.00	3271.87	USD	11,521.75	
26JUL17	B	1	PD260CT17	2806.00	3271.87	USD	11,646.75	
26JUL17	B	1	PD260CT17	2805.50	3271.87	USD	11,659.25	
26JUL17	B	1	PD260CT17	2805.00	3271.87	USD	11,671.75	
27JUL17	S	1	PD260CT17	2800.55	3271.87	USD	-11,783.00	
03AUG17	S	20	PD260CT17	2789.80	3271.87	USD	-241,035.00	
07AUG17	S	2	PD260CT17	2856.50	3271.87	USD	-20,768.50	
10AUG17	S	3	PD260CT17	2935.12	3271.87	USD	-25,256.25	
10AUG17	S	3	PD260CT17	2935.32	3271.87	USD	-25,241.25	
	NET	0 AVG BGHT 2821.63	SOLD 2826.55				5,034.25	0.00
27JUL17	B	1	PD270CT17	2806.00	3270.73	USD	11,618.25	
27JUL17	B	1	PD270CT17	2805.50	3270.73	USD	11,630.75	
27JUL17	S	1	PD270CT17	2808.50	3270.73	USD	-11,555.75	
27JUL17	B	1	PD270CT17	2815.00	3270.73	USD	11,393.25	
27JUL17	S	1	PD270CT17	2816.00	3270.73	USD	-11,368.25	
27JUL17	B	1	PD270CT17	2808.00	3270.73	USD	11,568.25	
27JUL17	S	1	PD270CT17	2814.50	3270.73	USD	-11,405.75	
27JUL17	S	1	PD270CT17	2818.00	3270.73	USD	-11,318.25	
27JUL17	S	3	PD270CT17	2832.50	3270.73	USD	-32,867.25	
27JUL17	B	1	PD270CT17	2822.00	3270.73	USD	11,218.25	
27JUL17	S	1	PD270CT17	2808.50	3270.73	USD	-11,555.75	
27JUL17	B	2	PD270CT17	2808.50	3270.73	USD	23,111.50	
27JUL17	B	1	PD270CT17	2816.00	3270.73	USD	11,368.25	
27JUL17	B	3	PD270CT17	2804.50	3270.73	USD	34,967.25	
27JUL17	S	1	PD270CT17	2797.50	3270.73	USD	-11,830.75	
27JUL17	S	1	PD270CT17	2795.00	3270.73	USD	-11,893.25	
27JUL17	S	1	PD270CT17	2798.50	3270.73	USD	-11,805.75	
27JUL17	S	1	PD270CT17	2799.00	3270.73	USD	-11,793.25	
27JUL17	B	3	PD270CT17	2799.00	3270.73	USD	35,379.75	

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28JUL17	S	1	PD27OCT17	2805.00	3270.73	USD	-11,643.25	
28JUL17	S	1	PD27OCT17	2804.00	3270.73	USD	-11,668.25	
28JUL17	S	1	PD27OCT17	2786.00	3270.73	USD	-12,118.25	
28JUL17	S	3	PD27OCT17	2786.50	3270.73	USD	-36,317.25	
28JUL17	S	3	PD27OCT17	2787.50	3270.73	USD	-36,242.25	
28JUL17	B	2	PD27OCT17	2773.50	3270.73	USD	24,861.50	
28JUL17	B	1	PD27OCT17	2762.50	3270.73	USD	12,705.75	
28JUL17	B	1	PD27OCT17	2768.00	3270.73	USD	12,568.25	
28JUL17	B	1	PD27OCT17	2773.00	3270.73	USD	12,443.25	
28JUL17	S	1	PD27OCT17	2785.00	3270.73	USD	-12,143.25	
28JUL17	S	1	PD27OCT17	2772.00	3270.73	USD	-12,468.25	
28JUL17	B	2	PD27OCT17	2772.50	3270.73	USD	24,911.50	
28JUL17	B	2	PD27OCT17	2774.50	3270.73	USD	24,811.50	
28JUL17	B	1	PD27OCT17	2774.00	3270.73	USD	12,418.25	
28JUL17	B	1	PD27OCT17	2777.00	3270.73	USD	12,343.25	
28JUL17	S	1	PD27OCT17	2782.00	3270.73	USD	-12,218.25	
28JUL17	S	5	PD27OCT17	2783.00	3270.73	USD	-60,966.25	
28JUL17	S	1	PD27OCT17	2788.00	3270.73	USD	-12,068.25	
28JUL17	S	1	PD27OCT17	2785.50	3270.73	USD	-12,130.75	
28JUL17	B	1	PD27OCT17	2789.00	3270.73	USD	12,043.25	
28JUL17	B	1	PD27OCT17	2788.00	3270.73	USD	12,068.25	
28JUL17	B	1	PD27OCT17	2779.50	3270.73	USD	12,280.75	
28JUL17	B	1	PD27OCT17	2784.00	3270.73	USD	12,168.25	
28JUL17	B	1	PD27OCT17	2780.00	3270.73	USD	12,268.25	
28JUL17	B	1	PD27OCT17	2778.50	3270.73	USD	12,305.75	
28JUL17	B	1	PD27OCT17	2776.20	3270.73	USD	12,363.25	
07AUG17	S	1	PD27OCT17	2856.50	3270.73	USD	-10,355.75	
09AUG17	B	2	PD27OCT17	2929.75	3270.73	USD	17,049.00	
10AUG17	S	2	PD27OCT17	2935.50	3270.73	USD	-16,761.50	
10AUG17	B	2	PD27OCT17	2935.70	3270.73	USD	16,751.50	
22AUG17	S	1	PD27OCT17	3116.94	3270.73	USD	-3,844.75	
14SEP17	S	1	PD27OCT17	3002.45	3270.73	USD	-6,707.00	
	NET	0AVG	BGHT 2805.60	SOLD 2820.68			13,569.75	0.00
31JUL17	S	1	PD31OCT17	2810.50	3268.47	USD	-11,449.25	
31JUL17	B	1	PD31OCT17	2803.00	3268.47	USD	11,636.75	
31JUL17	B	1	PD31OCT17	2791.00	3268.47	USD	11,936.75	
31JUL17	B	1	PD31OCT17	2790.50	3268.47	USD	11,949.25	
31JUL17	S	1	PD31OCT17	2814.00	3268.47	USD	-11,361.75	
31JUL17	S	1	PD31OCT17	2824.00	3268.47	USD	-11,111.75	
31JUL17	S	1	PD31OCT17	2827.00	3268.47	USD	-11,036.75	
31JUL17	S	1	PD31OCT17	2821.00	3268.47	USD	-11,186.75	
31JUL17	S	1	PD31OCT17	2822.50	3268.47	USD	-11,149.25	
31JUL17	B	1	PD31OCT17	2794.00	3268.47	USD	11,861.75	
31JUL17	S	1	PD31OCT17	2813.50	3268.47	USD	-11,374.25	
31JUL17	B	1	PD31OCT17	2804.50	3268.47	USD	11,599.25	
31JUL17	B	1	PD31OCT17	2809.00	3268.47	USD	11,486.75	
31JUL17	B	1	PD31OCT17	2808.00	3268.47	USD	11,511.75	
31JUL17	B	1	PD31OCT17	2801.50	3268.47	USD	11,674.25	
31JUL17	B	1	PD31OCT17	2802.00	3268.47	USD	11,661.75	
31JUL17	B	3	PD31OCT17	2800.00	3268.47	USD	35,135.25	
31JUL17	S	2	PD31OCT17	2802.00	3268.47	USD	-23,323.50	
31JUL17	S	2	PD31OCT17	2801.00	3268.47	USD	-23,373.50	
31JUL17	S	1	PD31OCT17	2800.50	3268.47	USD	-11,699.25	
31JUL17	S	3	PD31OCT17	2802.50	3268.47	USD	-34,947.75	
31JUL17	S	1	PD31OCT17	2804.50	3268.47	USD	-11,599.25	
31JUL17	B	1	PD31OCT17	2791.50	3268.47	USD	11,924.25	
31JUL17	B	1	PD31OCT17	2800.50	3268.47	USD	11,699.25	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0094X

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
31JUL17	B	1	PD31OCT17	2797.00	3268.47	USD	11,786.75	
31JUL17	B	1	PD31OCT17	2795.00	3268.47	USD	11,836.75	
31JUL17	B	1	PD31OCT17	2793.00	3268.47	USD	11,886.75	
31JUL17	B	1	PD31OCT17	2807.50	3268.47	USD	11,524.25	
07AUG17	B	1	PD31OCT17	2856.70	3268.47	USD	10,294.25	
09AUG17	S	2	PD31OCT17	2929.55	3268.47	USD	-16,946.00	
09AUG17	S	1	PD31OCT17	2929.75	3268.47	USD	-8,468.00	
	NET	0 AVG BGHT 2802.35	SOLD 2828.41				12,378.75	0.00
01AUG17	B	1	PD01NOV17	2780.50	3267.33	USD	12,170.75	
01AUG17	B	1	PD01NOV17	2782.00	3267.33	USD	12,133.25	
01AUG17	S	1	PD01NOV17	2780.50	3267.33	USD	-12,170.75	
01AUG17	B	2	PD01NOV17	2786.00	3267.33	USD	24,066.50	
01AUG17	B	1	PD01NOV17	2774.00	3267.33	USD	12,333.25	
01AUG17	B	1	PD01NOV17	2773.50	3267.33	USD	12,345.75	
01AUG17	B	2	PD01NOV17	2771.00	3267.33	USD	24,816.50	
01AUG17	S	1	PD01NOV17	2772.00	3267.33	USD	-12,383.25	
01AUG17	S	1	PD01NOV17	2772.50	3267.33	USD	-12,370.75	
01AUG17	S	1	PD01NOV17	2765.50	3267.33	USD	-12,545.75	
01AUG17	S	2	PD01NOV17	2765.00	3267.33	USD	-25,116.50	
01AUG17	S	2	PD01NOV17	2766.50	3267.33	USD	-25,041.50	
01AUG17	S	1	PD01NOV17	2772.00	3267.33	USD	-12,383.25	
01AUG17	B	1	PD01NOV17	2770.50	3267.33	USD	12,420.75	
01AUG17	S	1	PD01NOV17	2779.50	3267.33	USD	-12,195.75	
01AUG17	S	1	PD01NOV17	2781.00	3267.33	USD	-12,158.25	
01AUG17	S	1	PD01NOV17	2781.50	3267.33	USD	-12,145.75	
01AUG17	S	2	PD01NOV17	2782.00	3267.33	USD	-24,266.50	
01AUG17	S	2	PD01NOV17	2778.00	3267.33	USD	-24,466.50	
01AUG17	B	2	PD01NOV17	2775.00	3267.33	USD	24,616.50	
01AUG17	B	3	PD01NOV17	2776.50	3267.33	USD	36,812.25	
01AUG17	S	1	PD01NOV17	2773.00	3267.33	USD	-12,358.25	
01AUG17	S	1	PD01NOV17	2778.50	3267.33	USD	-12,220.75	
01AUG17	S	1	PD01NOV17	2786.00	3267.33	USD	-12,033.25	
01AUG17	B	1	PD01NOV17	2788.00	3267.33	USD	11,983.25	
01AUG17	B	1	PD01NOV17	2788.50	3267.33	USD	11,970.75	
01AUG17	B	1	PD01NOV17	2790.00	3267.33	USD	11,933.25	
01AUG17	S	1	PD01NOV17	2775.00	3267.33	USD	-12,308.25	
01AUG17	S	1	PD01NOV17	2774.50	3267.33	USD	-12,320.75	
01AUG17	S	2	PD01NOV17	2774.00	3267.33	USD	-24,666.50	
01AUG17	S	1	PD01NOV17	2788.00	3267.33	USD	-11,983.25	
03AUG17	B	1	PD01NOV17	2791.50	3267.33	USD	11,895.75	
07AUG17	B	2	PD01NOV17	2856.91	3267.33	USD	20,521.00	
09AUG17	B	1	PD01NOV17	2930.14	3267.33	USD	8,429.75	
15AUG17	B	1	PD01NOV17	2957.82	3267.33	USD	7,737.75	
15AUG17	B	1	PD01NOV17	2957.62	3267.33	USD	7,742.75	
05SEP17	B	1	PD01NOV17	3137.58	3267.33	USD	3,243.75	
	NET	0 AVG BGHT 2822.04	SOLD 2775.44				-27,962.00	0.00
02AUG17	B	1	PD02NOV17	2778.50	3266.20	USD	12,192.50	
02AUG17	S	1	PD02NOV17	2762.50	3266.20	USD	-12,592.50	
02AUG17	B	1	PD02NOV17	2771.00	3266.20	USD	12,380.00	
02AUG17	B	1	PD02NOV17	2770.00	3266.20	USD	12,405.00	
02AUG17	B	1	PD02NOV17	2772.50	3266.20	USD	12,342.50	
02AUG17	S	1	PD02NOV17	2769.00	3266.20	USD	-12,430.00	
02AUG17	B	1	PD02NOV17	2762.00	3266.20	USD	12,605.00	
02AUG17	B	1	PD02NOV17	2764.50	3266.20	USD	12,542.50	
02AUG17	S	1	PD02NOV17	2768.50	3266.20	USD	-12,442.50	
02AUG17	B	1	PD02NOV17	2763.00	3266.20	USD	12,580.00	
02AUG17	S	1	PD02NOV17	2767.00	3266.20	USD	-12,480.00	

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
02AUG17	S	1	PD02NOV17	2770.50	3266.20	USD	-12,392.50	
02AUG17	S	1	PD02NOV17	2787.00	3266.20	USD	-11,980.00	
02AUG17	S	1	PD02NOV17	2785.50	3266.20	USD	-12,017.50	
02AUG17	S	1	PD02NOV17	2789.50	3266.20	USD	-11,917.50	
02AUG17	S	1	PD02NOV17	2799.00	3266.20	USD	-11,680.00	
02AUG17	S	1	PD02NOV17	2803.00	3266.20	USD	-11,580.00	
02AUG17	S	1	PD02NOV17	2804.00	3266.20	USD	-11,555.00	
02AUG17	S	1	PD02NOV17	2799.50	3266.20	USD	-11,667.50	
02AUG17	B	1	PD02NOV17	2801.50	3266.20	USD	11,617.50	
02AUG17	B	1	PD02NOV17	2805.00	3266.20	USD	11,530.00	
02AUG17	S	1	PD02NOV17	2806.50	3266.20	USD	-11,492.50	
02AUG17	S	3	PD02NOV17	2806.00	3266.20	USD	-34,515.00	
02AUG17	S	1	PD02NOV17	2805.00	3266.20	USD	-11,530.00	
02AUG17	B	1	PD02NOV17	2805.50	3266.20	USD	11,517.50	
02AUG17	B	1	PD02NOV17	2803.50	3266.20	USD	11,567.50	
02AUG17	B	1	PD02NOV17	2806.50	3266.20	USD	11,492.50	
03AUG17	S	1	PD02NOV17	2791.55	3266.20	USD	-11,866.25	
09AUG17	B	3	PD02NOV17	2930.14	3266.20	USD	25,204.50	
10AUG17	B	4	PD02NOV17	2936.77	3266.20	USD	32,943.00	
10AUG17	B	1	PD02NOV17	2936.57	3266.20	USD	8,240.75	
15AUG17	S	1	PD02NOV17	2957.55	3266.20	USD	-7,716.25	
15AUG17	S	1	PD02NOV17	2957.75	3266.20	USD	-7,711.25	
17AUG17	S	1	PD02NOV17	3060.86	3266.20	USD	-5,133.50	
12SEP17	B	1	PD02NOV17	3056.07	3266.20	USD	5,253.25	
	NET	0 AVG	BGHT 2853.98	SOLD 2819.15			-18,285.75	0.00
03AUG17	S	1	PD03NOV17	2814.00	3265.07	USD	-11,276.75	
03AUG17	B	1	PD03NOV17	2825.00	3265.07	USD	11,001.75	
03AUG17	B	1	PD03NOV17	2825.50	3265.07	USD	10,989.25	
03AUG17	S	1	PD03NOV17	2824.00	3265.07	USD	-11,026.75	
03AUG17	S	1	PD03NOV17	2826.50	3265.07	USD	-10,964.25	
03AUG17	S	1	PD03NOV17	2831.00	3265.07	USD	-10,851.75	
03AUG17	B	1	PD03NOV17	2835.50	3265.07	USD	10,739.25	
03AUG17	S	1	PD03NOV17	2842.50	3265.07	USD	-10,564.25	
03AUG17	S	1	PD03NOV17	2840.50	3265.07	USD	-10,614.25	
03AUG17	S	1	PD03NOV17	2838.50	3265.07	USD	-10,664.25	
03AUG17	B	1	PD03NOV17	2832.00	3265.07	USD	10,826.75	
03AUG17	B	3	PD03NOV17	2829.50	3265.07	USD	32,667.75	
03AUG17	S	1	PD03NOV17	2814.50	3265.07	USD	-11,264.25	
03AUG17	S	1	PD03NOV17	2815.00	3265.07	USD	-11,251.75	
03AUG17	S	2	PD03NOV17	2812.50	3265.07	USD	-22,628.50	
03AUG17	S	1	PD03NOV17	2813.50	3265.07	USD	-11,289.25	
03AUG17	B	1	PD03NOV17	2812.00	3265.07	USD	11,326.75	
03AUG17	B	1	PD03NOV17	2821.50	3265.07	USD	11,089.25	
03AUG17	B	1	PD03NOV17	2820.00	3265.07	USD	11,126.75	
03AUG17	B	1	PD03NOV17	2819.50	3265.07	USD	11,139.25	
03AUG17	B	1	PD03NOV17	2820.50	3265.07	USD	11,114.25	
03AUG17	S	1	PD03NOV17	2820.50	3265.07	USD	-11,114.25	
03AUG17	B	1	PD03NOV17	2811.50	3265.07	USD	11,339.25	
03AUG17	B	2	PD03NOV17	2812.50	3265.07	USD	22,628.50	
03AUG17	B	1	PD03NOV17	2807.50	3265.07	USD	11,439.25	
03AUG17	S	1	PD03NOV17	2798.00	3265.07	USD	-11,676.75	
03AUG17	B	1	PD03NOV17	2800.00	3265.07	USD	11,626.75	
03AUG17	S	1	PD03NOV17	2795.00	3265.07	USD	-11,751.75	
03AUG17	B	1	PD03NOV17	2803.50	3265.07	USD	11,539.25	
03AUG17	B	1	PD03NOV17	2795.50	3265.07	USD	11,739.25	
03AUG17	B	1	PD03NOV17	2796.50	3265.07	USD	11,714.25	
03AUG17	B	1	PD03NOV17	2793.50	3265.07	USD	11,789.25	

OPEN POSITION STATEMENT



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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
04AUG17	B	1	PD03NOV17	2797.50	3265.07	USD	11,689.25	
04AUG17	S	1	PD03NOV17	2823.00	3265.07	USD	-11,051.75	
04AUG17	S	1	PD03NOV17	2833.50	3265.07	USD	-10,789.25	
04AUG17	S	1	PD03NOV17	2833.00	3265.07	USD	-10,801.75	
04AUG17	B	1	PD03NOV17	2832.50	3265.07	USD	10,814.25	
04AUG17	S	1	PD03NOV17	2834.00	3265.07	USD	-10,776.75	
04AUG17	B	1	PD03NOV17	2825.00	3265.07	USD	11,001.75	
04AUG17	B	1	PD03NOV17	2828.00	3265.07	USD	10,926.75	
04AUG17	B	1	PD03NOV17	2814.50	3265.07	USD	11,264.25	
04AUG17	B	2	PD03NOV17	2815.50	3265.07	USD	22,478.50	
04AUG17	B	1	PD03NOV17	2816.00	3265.07	USD	11,226.75	
04AUG17	B	1	PD03NOV17	2818.50	3265.07	USD	11,164.25	
04AUG17	S	1	PD03NOV17	2821.50	3265.07	USD	-11,089.25	
04AUG17	B	1	PD03NOV17	2819.00	3265.07	USD	11,151.75	
04AUG17	S	1	PD03NOV17	2817.50	3265.07	USD	-11,189.25	
04AUG17	S	1	PD03NOV17	2816.00	3265.07	USD	-11,226.75	
04AUG17	S	1	PD03NOV17	2818.00	3265.07	USD	-11,176.75	
04AUG17	S	1	PD03NOV17	2819.50	3265.07	USD	-11,139.25	
04AUG17	S	1	PD03NOV17	2808.50	3265.07	USD	-11,414.25	
04AUG17	B	1	PD03NOV17	2810.50	3265.07	USD	11,364.25	
04AUG17	B	1	PD03NOV17	2809.50	3265.07	USD	11,389.25	
04AUG17	S	1	PD03NOV17	2812.50	3265.07	USD	-11,314.25	
04AUG17	B	2	PD03NOV17	2813.00	3265.07	USD	22,603.50	
04AUG17	S	1	PD03NOV17	2804.00	3265.07	USD	-11,526.75	
04AUG17	S	1	PD03NOV17	2814.00	3265.07	USD	-11,276.75	
04AUG17	B	1	PD03NOV17	2801.00	3265.07	USD	11,601.75	
04AUG17	B	1	PD03NOV17	2800.00	3265.07	USD	11,626.75	
04AUG17	S	1	PD03NOV17	2821.50	3265.07	USD	-11,089.25	
04AUG17	S	1	PD03NOV17	2820.50	3265.07	USD	-11,114.25	
04AUG17	B	1	PD03NOV17	2813.50	3265.07	USD	11,289.25	
04AUG17	B	1	PD03NOV17	2814.00	3265.07	USD	11,276.75	
04AUG17	B	1	PD03NOV17	2809.00	3265.07	USD	11,401.75	
04AUG17	S	2	PD03NOV17	2815.00	3265.07	USD	-22,503.50	
09AUG17	S	2	PD03NOV17	2930.33	3265.07	USD	-16,737.00	
10AUG17	S	1	PD03NOV17	2936.55	3265.07	USD	-8,213.00	
15AUG17	S	2	PD03NOV17	2957.88	3265.07	USD	-15,359.50	
17AUG17	S	1	PD03NOV17	3060.89	3265.07	USD	-5,104.50	
14SEP17	S	2	PD03NOV17	3003.15	3265.07	USD	-13,096.00	
	NET	0AVG BGHT 2814.96	SOLD 2850.14				35,179.00	0.00
07AUG17	S	2	PD07NOV17	2813.00	3262.80	USD	-22,490.00	
07AUG17	B	1	PD07NOV17	2791.00	3262.80	USD	11,795.00	
07AUG17	S	1	PD07NOV17	2813.50	3262.80	USD	-11,232.50	
07AUG17	B	1	PD07NOV17	2807.00	3262.80	USD	11,395.00	
07AUG17	S	1	PD07NOV17	2807.50	3262.80	USD	-11,382.50	
07AUG17	B	1	PD07NOV17	2805.50	3262.80	USD	11,432.50	
07AUG17	S	1	PD07NOV17	2816.50	3262.80	USD	-11,157.50	
07AUG17	S	1	PD07NOV17	2833.00	3262.80	USD	-10,745.00	
07AUG17	B	1	PD07NOV17	2833.00	3262.80	USD	10,745.00	
07AUG17	B	1	PD07NOV17	2835.00	3262.80	USD	10,695.00	
07AUG17	B	3	PD07NOV17	2835.50	3262.80	USD	32,047.50	
07AUG17	B	1	PD07NOV17	2837.00	3262.80	USD	10,645.00	
07AUG17	S	1	PD07NOV17	2838.50	3262.80	USD	-10,607.50	
07AUG17	S	2	PD07NOV17	2851.00	3262.80	USD	-20,590.00	
07AUG17	S	2	PD07NOV17	2852.50	3262.80	USD	-20,515.00	
07AUG17	B	1	PD07NOV17	2865.00	3262.80	USD	9,945.00	
07AUG17	S	1	PD07NOV17	2865.50	3262.80	USD	-9,932.50	
07AUG17	S	1	PD07NOV17	2873.00	3262.80	USD	-9,745.00	

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07AUG17	S	1	PD07NOV17	2874.50	3262.80	USD	-9,707.50	
07AUG17	S	1	PD07NOV17	2876.50	3262.80	USD	-9,657.50	
07AUG17	S	1	PD07NOV17	2878.50	3262.80	USD	-9,607.50	
07AUG17	B	2	PD07NOV17	2879.00	3262.80	USD	19,190.00	
07AUG17	B	2	PD07NOV17	2872.50	3262.80	USD	19,515.00	
07AUG17	S	1	PD07NOV17	2872.50	3262.80	USD	-9,757.50	
07AUG17	S	2	PD07NOV17	2867.00	3262.80	USD	-19,790.00	
07AUG17	S	2	PD07NOV17	2871.00	3262.80	USD	-19,590.00	
07AUG17	S	2	PD07NOV17	2872.00	3262.80	USD	-19,540.00	
07AUG17	S	1	PD07NOV17	2879.50	3262.80	USD	-9,582.50	
07AUG17	S	1	PD07NOV17	2881.50	3262.80	USD	-9,532.50	
07AUG17	S	2	PD07NOV17	2876.00	3262.80	USD	-19,340.00	
07AUG17	B	1	PD07NOV17	2883.50	3262.80	USD	9,482.50	
07AUG17	B	3	PD07NOV17	2880.00	3262.80	USD	28,710.00	
07AUG17	S	3	PD07NOV17	2874.00	3262.80	USD	-29,160.00	
07AUG17	S	1	PD07NOV17	2873.50	3262.80	USD	-9,732.50	
07AUG17	B	2	PD07NOV17	2871.00	3262.80	USD	19,590.00	
07AUG17	B	1	PD07NOV17	2866.00	3262.80	USD	9,920.00	
07AUG17	B	2	PD07NOV17	2870.50	3262.80	USD	19,615.00	
07AUG17	B	1	PD07NOV17	2869.50	3262.80	USD	9,832.50	
07AUG17	B	1	PD07NOV17	2868.50	3262.80	USD	9,857.50	
07AUG17	B	1	PD07NOV17	2868.00	3262.80	USD	9,870.00	
07AUG17	B	1	PD07NOV17	2867.00	3262.80	USD	9,895.00	
07AUG17	B	1	PD07NOV17	2864.00	3262.80	USD	9,970.00	
09AUG17	B	2	PD07NOV17	2931.31	3262.80	USD	16,574.50	
10AUG17	B	2	PD07NOV17	2937.66	3262.80	USD	16,257.00	
15AUG17	B	3	PD07NOV17	2958.62	3262.80	USD	22,813.50	
17AUG17	S	1	PD07NOV17	3061.00	3262.80	USD	-5,045.00	
11SEP17	B	2	PD07NOV17	3080.19	3262.80	USD	9,130.50	
14SEP17	S	5	PD07NOV17	3003.55	3262.80	USD	-32,406.25	
	NET	0	AVG BGHT 2885.59	SOLD 2883.51			-1,923.25	0.00
08AUG17	S	1	PD08NOV17	2882.50	3261.67	USD	-9,479.25	
08AUG17	S	2	PD08NOV17	2877.50	3261.67	USD	-19,208.50	
08AUG17	B	2	PD08NOV17	2878.00	3261.67	USD	19,183.50	
08AUG17	S	1	PD08NOV17	2872.00	3261.67	USD	-9,741.75	
08AUG17	B	2	PD08NOV17	2863.00	3261.67	USD	19,933.50	
08AUG17	S	1	PD08NOV17	2879.00	3261.67	USD	-9,566.75	
08AUG17	S	1	PD08NOV17	2878.00	3261.67	USD	-9,591.75	
08AUG17	B	4	PD08NOV17	2872.00	3261.67	USD	38,967.00	
08AUG17	S	1	PD08NOV17	2882.00	3261.67	USD	-9,491.75	
08AUG17	B	1	PD08NOV17	2871.00	3261.67	USD	9,766.75	
08AUG17	B	1	PD08NOV17	2872.00	3261.67	USD	9,741.75	
08AUG17	B	1	PD08NOV17	2884.00	3261.67	USD	9,441.75	
08AUG17	B	2	PD08NOV17	2875.00	3261.67	USD	19,333.50	
08AUG17	B	1	PD08NOV17	2874.50	3261.67	USD	9,679.25	
08AUG17	S	2	PD08NOV17	2875.00	3261.67	USD	-19,333.50	
08AUG17	S	1	PD08NOV17	2871.50	3261.67	USD	-9,754.25	
08AUG17	S	1	PD08NOV17	2879.50	3261.67	USD	-9,554.25	
08AUG17	B	2	PD08NOV17	2883.00	3261.67	USD	18,933.50	
08AUG17	B	1	PD08NOV17	2876.50	3261.67	USD	9,629.25	
08AUG17	S	1	PD08NOV17	2894.00	3261.67	USD	-9,191.75	
08AUG17	B	2	PD08NOV17	2897.00	3261.67	USD	18,233.50	
08AUG17	B	1	PD08NOV17	2898.50	3261.67	USD	9,079.25	
08AUG17	B	3	PD08NOV17	2896.50	3261.67	USD	27,387.75	
08AUG17	B	1	PD08NOV17	2899.00	3261.67	USD	9,066.75	
08AUG17	B	1	PD08NOV17	2915.50	3261.67	USD	8,654.25	
08AUG17	B	1	PD08NOV17	2914.50	3261.67	USD	8,679.25	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0094X

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

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Date	Open Position				Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>								
08AUG17	S	1	PD08NOV17		2910.00	3261.67	USD	-8,791.75	
08AUG17	S	1	PD08NOV17		2913.00	3261.67	USD	-8,716.75	
08AUG17	S	1	PD08NOV17		2918.00	3261.67	USD	-8,591.75	
08AUG17	S	1	PD08NOV17		2920.00	3261.67	USD	-8,541.75	
08AUG17	S	1	PD08NOV17		2921.00	3261.67	USD	-8,516.75	
08AUG17	S	2	PD08NOV17		2930.00	3261.67	USD	-16,583.50	
08AUG17	B	2	PD08NOV17		2927.00	3261.67	USD	16,733.50	
08AUG17	B	5	PD08NOV17		2924.00	3261.67	USD	42,208.75	
08AUG17	B	1	PD08NOV17		2935.00	3261.67	USD	8,166.75	
08AUG17	S	1	PD08NOV17		2936.50	3261.67	USD	-8,129.25	
08AUG17	B	1	PD08NOV17		2933.50	3261.67	USD	8,204.25	
08AUG17	B	1	PD08NOV17		2928.50	3261.67	USD	8,329.25	
09AUG17	S	3	PD08NOV17		2931.11	3261.67	USD	-24,792.00	
10AUG17	B	1	PD08NOV17		2937.84	3261.67	USD	8,095.75	
17AUG17	S	2	PD08NOV17		3061.31	3261.67	USD	-10,018.00	
22AUG17	S	10	PD08NOV17		3118.56	3261.67	USD	-35,777.50	
05SEP17	S	3	PD08NOV17		3138.21	3261.67	USD	-9,259.50	
12SEP17	B	2	PD08NOV17		3056.91	3261.67	USD	10,238.00	
12SEP17	S	1	PD08NOV17		3056.91	3261.67	USD	-5,119.00	
	NET	0 AVG	BGHT 2905.07	SOLD 2987.05				79,935.75	0.00
09AUG17	S	1	PD09NOV17		2945.00	3260.53	USD	-7,888.25	
09AUG17	S	1	PD09NOV17		2969.00	3260.53	USD	-7,288.25	
09AUG17	S	1	PD09NOV17		2974.00	3260.53	USD	-7,163.25	
09AUG17	S	1	PD09NOV17		2975.00	3260.53	USD	-7,138.25	
09AUG17	S	2	PD09NOV17		2963.00	3260.53	USD	-14,876.50	
09AUG17	B	1	PD09NOV17		2948.00	3260.53	USD	7,813.25	
09AUG17	B	2	PD09NOV17		2956.50	3260.53	USD	15,201.50	
09AUG17	S	1	PD09NOV17		2951.50	3260.53	USD	-7,725.75	
09AUG17	S	1	PD09NOV17		2952.50	3260.53	USD	-7,700.75	
09AUG17	S	1	PD09NOV17		2954.00	3260.53	USD	-7,663.25	
09AUG17	B	1	PD09NOV17		2957.00	3260.53	USD	7,588.25	
09AUG17	B	6	PD09NOV17		2954.00	3260.53	USD	45,979.50	
09AUG17	B	1	PD09NOV17		2953.50	3260.53	USD	7,675.75	
09AUG17	B	1	PD09NOV17		2958.50	3260.53	USD	7,550.75	
09AUG17	S	1	PD09NOV17		2958.00	3260.53	USD	-7,563.25	
09AUG17	S	1	PD09NOV17		2961.00	3260.53	USD	-7,488.25	
09AUG17	S	1	PD09NOV17		2965.50	3260.53	USD	-7,375.75	
09AUG17	S	1	PD09NOV17		2965.00	3260.53	USD	-7,388.25	
09AUG17	S	2	PD09NOV17		2964.50	3260.53	USD	-14,801.50	
09AUG17	S	1	PD09NOV17		2974.50	3260.53	USD	-7,150.75	
09AUG17	S	1	PD09NOV17		2976.00	3260.53	USD	-7,113.25	
09AUG17	S	2	PD09NOV17		2973.00	3260.53	USD	-14,376.50	
09AUG17	S	1	PD09NOV17		2960.00	3260.53	USD	-7,513.25	
09AUG17	S	1	PD09NOV17		2952.50	3260.53	USD	-7,700.75	
09AUG17	B	1	PD09NOV17		2958.00	3260.53	USD	7,563.25	
09AUG17	S	1	PD09NOV17		2946.00	3260.53	USD	-7,863.25	
09AUG17	S	1	PD09NOV17		2944.00	3260.53	USD	-7,913.25	
09AUG17	S	1	PD09NOV17		2940.50	3260.53	USD	-8,000.75	
09AUG17	B	2	PD09NOV17		2932.50	3260.53	USD	16,401.50	
09AUG17	B	1	PD09NOV17		2935.00	3260.53	USD	8,138.25	
09AUG17	B	1	PD09NOV17		2934.50	3260.53	USD	8,150.75	
09AUG17	S	2	PD09NOV17		2934.50	3260.53	USD	-16,301.50	
09AUG17	S	1	PD09NOV17		2935.00	3260.53	USD	-8,138.25	
17AUG17	B	5	PD09NOV17		3061.83	3260.53	USD	24,837.50	
17AUG17	B	2	PD09NOV17		3061.63	3260.53	USD	9,945.00	
23AUG17	B	1	PD09NOV17		3096.31	3260.53	USD	4,105.50	
05SEP17	B	3	PD09NOV17		3138.50	3260.53	USD	9,152.25	

OPEN POSITION STATEMENT



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SAP0094X

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+44 (0) 20 7340 9081
backoffice@sapiencap.com

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
11SEP17	B	1	PD09NOV17	3080.35	3260.53	USD	4,504.50	
12SEP17	B	1	PD09NOV17	3057.25	3260.53	USD	5,082.00	
12SEP17	B	4	PD09NOV17	3057.05	3260.53	USD	20,348.00	
14SEP17	S	7	PD09NOV17	3003.75	3260.53	USD	-44,936.50	
	NET	0AVG BGHT 3013.43	SOLD 2967.51				-39,031.75	0.00
10AUG17	B	1	PD10NOV17	2949.00	3259.40	USD	7,760.00	
10AUG17	B	1	PD10NOV17	2948.50	3259.40	USD	7,772.50	
10AUG17	B	1	PD10NOV17	2948.00	3259.40	USD	7,785.00	
10AUG17	B	1	PD10NOV17	2946.50	3259.40	USD	7,822.50	
10AUG17	S	1	PD10NOV17	2946.50	3259.40	USD	-7,822.50	
10AUG17	B	1	PD10NOV17	2936.50	3259.40	USD	8,072.50	
10AUG17	B	1	PD10NOV17	2924.00	3259.40	USD	8,385.00	
10AUG17	B	1	PD10NOV17	2910.50	3259.40	USD	8,722.50	
10AUG17	B	1	PD10NOV17	2912.00	3259.40	USD	8,685.00	
10AUG17	B	1	PD10NOV17	2906.50	3259.40	USD	8,822.50	
10AUG17	B	1	PD10NOV17	2907.00	3259.40	USD	8,810.00	
10AUG17	S	1	PD10NOV17	2913.50	3259.40	USD	-8,647.50	
10AUG17	B	1	PD10NOV17	2917.50	3259.40	USD	8,547.50	
10AUG17	S	1	PD10NOV17	2918.00	3259.40	USD	-8,535.00	
10AUG17	B	2	PD10NOV17	2938.00	3259.40	USD	16,070.00	
10AUG17	S	2	PD10NOV17	2938.50	3259.40	USD	-16,045.00	
10AUG17	S	1	PD10NOV17	2941.50	3259.40	USD	-7,947.50	
10AUG17	S	1	PD10NOV17	2949.00	3259.40	USD	-7,760.00	
10AUG17	S	1	PD10NOV17	2946.00	3259.40	USD	-7,835.00	
10AUG17	B	1	PD10NOV17	2943.50	3259.40	USD	7,897.50	
10AUG17	B	1	PD10NOV17	2939.00	3259.40	USD	8,010.00	
10AUG17	B	1	PD10NOV17	2940.00	3259.40	USD	7,985.00	
10AUG17	B	1	PD10NOV17	2937.50	3259.40	USD	8,047.50	
10AUG17	B	2	PD10NOV17	2936.00	3259.40	USD	16,170.00	
10AUG17	B	1	PD10NOV17	2932.00	3259.40	USD	8,185.00	
10AUG17	B	1	PD10NOV17	2933.50	3259.40	USD	8,147.50	
10AUG17	S	1	PD10NOV17	2930.00	3259.40	USD	-8,235.00	
10AUG17	S	1	PD10NOV17	2928.50	3259.40	USD	-8,272.50	
11AUG17	B	1	PD10NOV17	2923.50	3259.40	USD	8,397.50	
11AUG17	B	1	PD10NOV17	2919.00	3259.40	USD	8,510.00	
11AUG17	B	1	PD10NOV17	2918.50	3259.40	USD	8,522.50	
11AUG17	S	1	PD10NOV17	2918.50	3259.40	USD	-8,522.50	
11AUG17	S	1	PD10NOV17	2920.00	3259.40	USD	-8,485.00	
11AUG17	S	1	PD10NOV17	2926.50	3259.40	USD	-8,322.50	
11AUG17	S	1	PD10NOV17	2922.50	3259.40	USD	-8,422.50	
11AUG17	S	1	PD10NOV17	2923.50	3259.40	USD	-8,397.50	
11AUG17	S	1	PD10NOV17	2930.50	3259.40	USD	-8,222.50	
11AUG17	S	2	PD10NOV17	2932.00	3259.40	USD	-16,370.00	
11AUG17	S	2	PD10NOV17	2927.00	3259.40	USD	-16,620.00	
11AUG17	S	1	PD10NOV17	2923.00	3259.40	USD	-8,410.00	
11AUG17	B	2	PD10NOV17	2916.00	3259.40	USD	17,170.00	
11AUG17	S	1	PD10NOV17	2910.00	3259.40	USD	-8,735.00	
11AUG17	S	3	PD10NOV17	2908.00	3259.40	USD	-26,355.00	
11AUG17	B	1	PD10NOV17	2899.50	3259.40	USD	8,997.50	
11AUG17	B	1	PD10NOV17	2891.00	3259.40	USD	9,210.00	
11AUG17	B	1	PD10NOV17	2889.50	3259.40	USD	9,247.50	
11AUG17	B	1	PD10NOV17	2894.50	3259.40	USD	9,122.50	
11AUG17	S	1	PD10NOV17	2903.50	3259.40	USD	-8,897.50	
11AUG17	S	1	PD10NOV17	2906.50	3259.40	USD	-8,822.50	
11AUG17	S	1	PD10NOV17	2917.50	3259.40	USD	-8,547.50	
11AUG17	S	1	PD10NOV17	2916.50	3259.40	USD	-8,572.50	
11AUG17	S	2	PD10NOV17	2922.00	3259.40	USD	-16,870.00	

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11AUG17	S	2	PD10NOV17	2919.00	3259.40	USD	-17,020.00	
11AUG17	S	1	PD10NOV17	2917.00	3259.40	USD	-8,560.00	
11AUG17	S	1	PD10NOV17	2914.00	3259.40	USD	-8,635.00	
11AUG17	B	1	PD10NOV17	2903.00	3259.40	USD	8,910.00	
11AUG17	B	1	PD10NOV17	2904.50	3259.40	USD	8,872.50	
11AUG17	S	1	PD10NOV17	2909.00	3259.40	USD	-8,760.00	
11AUG17	S	1	PD10NOV17	2915.00	3259.40	USD	-8,610.00	
11AUG17	B	1	PD10NOV17	2910.50	3259.40	USD	8,722.50	
11AUG17	B	1	PD10NOV17	2910.00	3259.40	USD	8,735.00	
11AUG17	B	1	PD10NOV17	2911.50	3259.40	USD	8,697.50	
11AUG17	B	1	PD10NOV17	2909.50	3259.40	USD	8,747.50	
11AUG17	B	2	PD10NOV17	2903.50	3259.40	USD	17,795.00	
11AUG17	B	2	PD10NOV17	2905.00	3259.40	USD	17,720.00	
11AUG17	B	1	PD10NOV17	2901.00	3259.40	USD	8,960.00	
11AUG17	S	2	PD10NOV17	2904.00	3259.40	USD	-17,770.00	
11AUG17	S	2	PD10NOV17	2902.50	3259.40	USD	-17,845.00	
11AUG17	S	1	PD10NOV17	2904.50	3259.40	USD	-8,872.50	
11AUG17	S	1	PD10NOV17	2905.50	3259.40	USD	-8,847.50	
15AUG17	B	2	PD10NOV17	2958.83	3259.40	USD	15,028.50	
17AUG17	S	2	PD10NOV17	3061.94	3259.40	USD	-9,873.00	
17AUG17	S	2	PD10NOV17	3061.74	3259.40	USD	-9,883.00	
22AUG17	B	6	PD10NOV17	3119.03	3259.40	USD	21,055.50	
05SEP17	B	1	PD10NOV17	3138.39	3259.40	USD	3,025.25	
11SEP17	S	1	PD10NOV17	3080.23	3259.40	USD	-4,479.25	
12SEP17	S	2	PD10NOV17	3056.99	3259.40	USD	-10,120.50	
	NET	0 AVG BGHT 2949.68	SOLD 2940.24				-11,804.00	0.00
14AUG17	S	1	PD14NOV17	2915.50	3257.13	USD	-8,540.75	
14AUG17	B	1	PD14NOV17	2908.50	3257.13	USD	8,715.75	
14AUG17	B	1	PD14NOV17	2904.00	3257.13	USD	8,828.25	
14AUG17	B	1	PD14NOV17	2908.00	3257.13	USD	8,728.25	
14AUG17	B	1	PD14NOV17	2901.50	3257.13	USD	8,890.75	
14AUG17	B	1	PD14NOV17	2914.50	3257.13	USD	8,565.75	
14AUG17	B	1	PD14NOV17	2909.00	3257.13	USD	8,703.25	
14AUG17	S	2	PD14NOV17	2913.50	3257.13	USD	-17,181.50	
14AUG17	S	3	PD14NOV17	2916.00	3257.13	USD	-25,584.75	
14AUG17	S	6	PD14NOV17	2915.00	3257.13	USD	-51,319.50	
14AUG17	S	5	PD14NOV17	2914.00	3257.13	USD	-42,891.25	
15AUG17	S	2	PD14NOV17	2959.17	3257.13	USD	-14,898.00	
17AUG17	B	2	PD14NOV17	3063.39	3257.13	USD	9,687.00	
22AUG17	B	10	PD14NOV17	3119.57	3257.13	USD	34,390.00	
23AUG17	B	1	PD14NOV17	3096.88	3257.13	USD	4,006.25	
	NET	0 AVG BGHT 3045.52	SOLD 2919.41				-59,900.50	0.00
15AUG17	S	1	PD15NOV17	2933.00	3256.00	USD	-8,075.00	
15AUG17	S	1	PD15NOV17	2939.00	3256.00	USD	-7,925.00	
15AUG17	S	1	PD15NOV17	2933.50	3256.00	USD	-8,062.50	
15AUG17	B	1	PD15NOV17	2945.50	3256.00	USD	7,762.50	
15AUG17	B	1	PD15NOV17	2949.00	3256.00	USD	7,675.00	
15AUG17	S	1	PD15NOV17	2949.50	3256.00	USD	-7,662.50	
15AUG17	S	1	PD15NOV17	2953.50	3256.00	USD	-7,562.50	
15AUG17	B	1	PD15NOV17	2939.00	3256.00	USD	7,925.00	
15AUG17	S	1	PD15NOV17	2940.00	3256.00	USD	-7,900.00	
15AUG17	S	1	PD15NOV17	2937.00	3256.00	USD	-7,975.00	
15AUG17	B	1	PD15NOV17	2947.00	3256.00	USD	7,725.00	
15AUG17	B	1	PD15NOV17	2961.00	3256.00	USD	7,375.00	
15AUG17	S	2	PD15NOV17	2956.50	3256.00	USD	-14,975.00	
15AUG17	B	1	PD15NOV17	2944.00	3256.00	USD	7,800.00	
15AUG17	B	1	PD15NOV17	2940.00	3256.00	USD	7,900.00	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0094X

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position				Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>								
15AUG17	B	1	PD15NOV17		2939.50	3256.00	USD	7,912.50	
15AUG17	B	1	PD15NOV17		2934.50	3256.00	USD	8,037.50	
15AUG17	B	1	PD15NOV17		2931.50	3256.00	USD	8,112.50	
15AUG17	S	2	PD15NOV17		2944.50	3256.00	USD	-15,575.00	
15AUG17	S	2	PD15NOV17		2944.00	3256.00	USD	-15,600.00	
15AUG17	S	4	PD15NOV17		2947.50	3256.00	USD	-30,850.00	
15AUG17	S	1	PD15NOV17		2950.00	3256.00	USD	-7,650.00	
15AUG17	S	1	PD15NOV17		2955.50	3256.00	USD	-7,512.50	
15AUG17	S	1	PD15NOV17		2958.00	3256.00	USD	-7,450.00	
15AUG17	S	1	PD15NOV17		2964.00	3256.00	USD	-7,300.00	
15AUG17	B	1	PD15NOV17		2955.50	3256.00	USD	7,512.50	
15AUG17	B	1	PD15NOV17		2955.00	3256.00	USD	7,525.00	
15AUG17	B	1	PD15NOV17		2954.00	3256.00	USD	7,550.00	
15AUG17	B	1	PD15NOV17		2956.00	3256.00	USD	7,500.00	
17AUG17	B	2	PD15NOV17		3063.50	3256.00	USD	9,625.00	
23AUG17	B	3	PD15NOV17		3097.00	3256.00	USD	11,925.00	
05SEP17	B	1	PD15NOV17		3138.50	3256.00	USD	2,937.50	
12SEP17	B	2	PD15NOV17		3057.50	3256.00	USD	9,925.00	
12SEP17	S	1	PD15NOV17		3057.30	3256.00	USD	-4,967.50	
	NET	0 AVG BGHT 2996.50		SOLD 2952.29				-24,317.50	0.00
16AUG17	B	1	PD16NOV17		2952.00	3253.50	USD	7,537.50	
16AUG17	B	1	PD16NOV17		2954.00	3253.50	USD	7,487.50	
16AUG17	B	1	PD16NOV17		2955.00	3253.50	USD	7,462.50	
16AUG17	S	1	PD16NOV17		2970.00	3253.50	USD	-7,087.50	
16AUG17	S	1	PD16NOV17		2974.50	3253.50	USD	-6,975.00	
16AUG17	S	1	PD16NOV17		2977.00	3253.50	USD	-6,912.50	
16AUG17	S	2	PD16NOV17		2978.00	3253.50	USD	-13,775.00	
16AUG17	S	1	PD16NOV17		2981.00	3253.50	USD	-6,812.50	
16AUG17	S	1	PD16NOV17		2988.50	3253.50	USD	-6,625.00	
16AUG17	S	1	PD16NOV17		2988.00	3253.50	USD	-6,637.50	
16AUG17	S	1	PD16NOV17		2990.00	3253.50	USD	-6,587.50	
16AUG17	S	1	PD16NOV17		3004.50	3253.50	USD	-6,225.00	
16AUG17	S	2	PD16NOV17		3011.00	3253.50	USD	-12,125.00	
16AUG17	S	1	PD16NOV17		3021.50	3253.50	USD	-5,800.00	
16AUG17	S	1	PD16NOV17		3016.00	3253.50	USD	-5,937.50	
16AUG17	S	1	PD16NOV17		3023.00	3253.50	USD	-5,762.50	
16AUG17	S	1	PD16NOV17		3020.00	3253.50	USD	-5,837.50	
16AUG17	S	1	PD16NOV17		3032.00	3253.50	USD	-5,537.50	
16AUG17	S	1	PD16NOV17		3031.50	3253.50	USD	-5,550.00	
16AUG17	B	1	PD16NOV17		2979.50	3253.50	USD	6,850.00	
16AUG17	S	1	PD16NOV17		3025.50	3253.50	USD	-5,700.00	
16AUG17	S	1	PD16NOV17		3019.50	3253.50	USD	-5,850.00	
16AUG17	B	1	PD16NOV17		3023.00	3253.50	USD	5,762.50	
16AUG17	S	1	PD16NOV17		3024.50	3253.50	USD	-5,725.00	
16AUG17	S	1	PD16NOV17		3029.00	3253.50	USD	-5,612.50	
16AUG17	S	1	PD16NOV17		3028.00	3253.50	USD	-5,637.50	
16AUG17	B	3	PD16NOV17		3030.50	3253.50	USD	16,725.00	
16AUG17	B	1	PD16NOV17		3032.00	3253.50	USD	5,537.50	
16AUG17	B	1	PD16NOV17		3035.00	3253.50	USD	5,462.50	
16AUG17	B	1	PD16NOV17		3039.00	3253.50	USD	5,362.50	
16AUG17	S	1	PD16NOV17		3045.50	3253.50	USD	-5,200.00	
16AUG17	B	1	PD16NOV17		3048.00	3253.50	USD	5,137.50	
16AUG17	B	1	PD16NOV17		3050.00	3253.50	USD	5,087.50	
16AUG17	B	2	PD16NOV17		3050.50	3253.50	USD	10,150.00	
16AUG17	B	1	PD16NOV17		3056.50	3253.50	USD	4,925.00	
16AUG17	S	1	PD16NOV17		3060.00	3253.50	USD	-4,837.50	
16AUG17	B	1	PD16NOV17		3063.00	3253.50	USD	4,762.50	

OPEN POSITION STATEMENT



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SAP0094X

13th October 2017

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
16AUG17	B	1	PD16NOV17	3067.50	3253.50	USD	4,650.00	
16AUG17	B	1	PD16NOV17	3067.00	3253.50	USD	4,662.50	
16AUG17	S	1	PD16NOV17	3068.50	3253.50	USD	-4,625.00	
16AUG17	S	1	PD16NOV17	3080.50	3253.50	USD	-4,325.00	
16AUG17	S	1	PD16NOV17	3085.00	3253.50	USD	-4,212.50	
16AUG17	B	1	PD16NOV17	3082.50	3253.50	USD	4,275.00	
16AUG17	B	1	PD16NOV17	3074.00	3253.50	USD	4,487.50	
16AUG17	B	1	PD16NOV17	3076.50	3253.50	USD	4,425.00	
16AUG17	B	1	PD16NOV17	3078.50	3253.50	USD	4,375.00	
16AUG17	B	1	PD16NOV17	3079.50	3253.50	USD	4,350.00	
16AUG17	B	2	PD16NOV17	3079.00	3253.50	USD	8,725.00	
16AUG17	B	1	PD16NOV17	3080.00	3253.50	USD	4,337.50	
16AUG17	B	1	PD16NOV17	3095.50	3253.50	USD	3,950.00	
16AUG17	S	1	PD16NOV17	3095.50	3253.50	USD	-3,950.00	
16AUG17	S	1	PD16NOV17	3095.00	3253.50	USD	-3,962.50	
16AUG17	B	1	PD16NOV17	3093.50	3253.50	USD	4,000.00	
16AUG17	S	1	PD16NOV17	3088.00	3253.50	USD	-4,137.50	
16AUG17	B	1	PD16NOV17	3103.00	3253.50	USD	3,762.50	
16AUG17	S	1	PD16NOV17	3121.50	3253.50	USD	-3,300.00	
16AUG17	S	1	PD16NOV17	3126.00	3253.50	USD	-3,187.50	
16AUG17	S	1	PD16NOV17	3129.00	3253.50	USD	-3,112.50	
16AUG17	S	1	PD16NOV17	3123.50	3253.50	USD	-3,250.00	
16AUG17	B	1	PD16NOV17	3027.00	3253.50	USD	5,662.50	
16AUG17	B	1	PD16NOV17	3107.50	3253.50	USD	3,650.00	
16AUG17	B	1	PD16NOV17	3118.00	3253.50	USD	3,387.50	
16AUG17	B	1	PD16NOV17	3115.50	3253.50	USD	3,450.00	
16AUG17	B	1	PD16NOV17	3115.00	3253.50	USD	3,462.50	
16AUG17	B	1	PD16NOV17	3118.50	3253.50	USD	3,375.00	
16AUG17	B	1	PD16NOV17	3117.00	3253.50	USD	3,412.50	
16AUG17	B	1	PD16NOV17	3119.50	3253.50	USD	3,350.00	
16AUG17	B	1	PD16NOV17	3113.50	3253.50	USD	3,500.00	
16AUG17	B	1	PD16NOV17	3110.00	3253.50	USD	3,587.50	
16AUG17	B	1	PD16NOV17	3109.00	3253.50	USD	3,612.50	
16AUG17	S	1	PD16NOV17	3120.00	3253.50	USD	-3,337.50	
17AUG17	S	2	PD16NOV17	3062.05	3253.50	USD	-9,572.50	
22AUG17	S	4	PD16NOV17	3117.50	3253.50	USD	-13,600.00	
05SEP17	B	4	PD16NOV17	3136.50	3253.50	USD	11,700.00	
12SEP17	B	3	PD16NOV17	3055.50	3253.50	USD	14,850.00	
12SEP17	S	3	PD16NOV17	3055.50	3253.50	USD	-14,850.00	
15SEP17	S	3	PD16NOV17	3026.50	3253.50	USD	-17,025.00	
	NET	0 AVG BGHT 3069.13	SOLD 3045.84				-27,947.50	0.00
17AUG17	B	1	PD17NOV17	3129.00	3251.00	USD	3,050.00	
17AUG17	B	1	PD17NOV17	3126.50	3251.00	USD	3,112.50	
17AUG17	S	1	PD17NOV17	3132.00	3251.00	USD	-2,975.00	
17AUG17	B	1	PD17NOV17	3140.50	3251.00	USD	2,762.50	
17AUG17	B	1	PD17NOV17	3141.50	3251.00	USD	2,737.50	
17AUG17	B	1	PD17NOV17	3127.50	3251.00	USD	3,087.50	
17AUG17	B	1	PD17NOV17	3116.50	3251.00	USD	3,362.50	
17AUG17	B	2	PD17NOV17	3131.00	3251.00	USD	6,000.00	
17AUG17	B	2	PD17NOV17	3135.50	3251.00	USD	5,775.00	
17AUG17	B	1	PD17NOV17	3135.00	3251.00	USD	2,900.00	
17AUG17	B	1	PD17NOV17	3132.50	3251.00	USD	2,962.50	
17AUG17	S	1	PD17NOV17	3139.50	3251.00	USD	-2,787.50	
17AUG17	S	1	PD17NOV17	3143.50	3251.00	USD	-2,687.50	
17AUG17	B	1	PD17NOV17	3142.00	3251.00	USD	2,725.00	
17AUG17	B	1	PD17NOV17	3115.00	3251.00	USD	3,400.00	
17AUG17	B	1	PD17NOV17	3122.00	3251.00	USD	3,225.00	

OPEN POSITION STATEMENT



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SAP0094X

13th October 2017

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
17AUG17	B	1	PD17NOV17	3098.00	3251.00	USD	3,825.00	
17AUG17	S	1	PD17NOV17	3100.50	3251.00	USD	-3,762.50	
17AUG17	B	2	PD17NOV17	3100.00	3251.00	USD	7,550.00	
17AUG17	B	1	PD17NOV17	3090.50	3251.00	USD	4,012.50	
17AUG17	B	1	PD17NOV17	3090.00	3251.00	USD	4,025.00	
17AUG17	B	2	PD17NOV17	3091.00	3251.00	USD	8,000.00	
17AUG17	S	1	PD17NOV17	3129.50	3251.00	USD	-3,037.50	
17AUG17	B	1	PD17NOV17	3093.50	3251.00	USD	3,937.50	
17AUG17	B	2	PD17NOV17	3093.00	3251.00	USD	7,900.00	
17AUG17	B	2	PD17NOV17	3094.00	3251.00	USD	7,850.00	
17AUG17	S	3	PD17NOV17	3093.50	3251.00	USD	-11,812.50	
17AUG17	B	10	PD17NOV17	3095.00	3251.00	USD	39,000.00	
17AUG17	B	6	PD17NOV17	3094.50	3251.00	USD	23,475.00	
17AUG17	B	1	PD17NOV17	3097.00	3251.00	USD	3,850.00	
17AUG17	B	12	PD17NOV17	3096.50	3251.00	USD	46,350.00	
17AUG17	B	2	PD17NOV17	3095.50	3251.00	USD	7,775.00	
17AUG17	B	6	PD17NOV17	3097.50	3251.00	USD	23,025.00	
17AUG17	S	22	PD17NOV17	3096.00	3251.00	USD	-85,250.00	
17AUG17	S	8	PD17NOV17	3096.50	3251.00	USD	-30,900.00	
17AUG17	S	1	PD17NOV17	3095.50	3251.00	USD	-3,887.50	
17AUG17	B	2	PD17NOV17	3096.00	3251.00	USD	7,750.00	
17AUG17	B	2	PD17NOV17	3106.00	3251.00	USD	7,250.00	
17AUG17	B	1	PD17NOV17	3104.50	3251.00	USD	3,662.50	
17AUG17	S	1	PD17NOV17	3104.00	3251.00	USD	-3,675.00	
17AUG17	S	3	PD17NOV17	3092.00	3251.00	USD	-11,925.00	
17AUG17	S	2	PD17NOV17	3091.50	3251.00	USD	-7,975.00	
17AUG17	S	1	PD17NOV17	3085.00	3251.00	USD	-4,150.00	
17AUG17	S	1	PD17NOV17	3099.00	3251.00	USD	-3,800.00	
17AUG17	S	5	PD17NOV17	3097.00	3251.00	USD	-19,250.00	
17AUG17	B	1	PD17NOV17	3079.50	3251.00	USD	4,287.50	
17AUG17	S	1	PD17NOV17	3082.00	3251.00	USD	-4,225.00	
17AUG17	S	1	PD17NOV17	3081.50	3251.00	USD	-4,237.50	
17AUG17	S	1	PD17NOV17	3090.00	3251.00	USD	-4,025.00	
17AUG17	B	1	PD17NOV17	3094.50	3251.00	USD	3,912.50	
17AUG17	S	2	PD17NOV17	3096.00	3251.00	USD	-7,750.00	
17AUG17	S	2	PD17NOV17	3094.00	3251.00	USD	-7,850.00	
17AUG17	S	1	PD17NOV17	3077.00	3251.00	USD	-4,350.00	
17AUG17	B	1	PD17NOV17	3076.00	3251.00	USD	4,375.00	
17AUG17	B	1	PD17NOV17	3071.50	3251.00	USD	4,487.50	
17AUG17	B	1	PD17NOV17	3069.50	3251.00	USD	4,537.50	
17AUG17	B	1	PD17NOV17	3069.00	3251.00	USD	4,550.00	
17AUG17	B	1	PD17NOV17	3055.50	3251.00	USD	4,887.50	
17AUG17	B	1	PD17NOV17	3059.50	3251.00	USD	4,787.50	
17AUG17	S	1	PD17NOV17	3067.50	3251.00	USD	-4,587.50	
17AUG17	B	1	PD17NOV17	3056.00	3251.00	USD	4,875.00	
17AUG17	B	1	PD17NOV17	3050.50	3251.00	USD	5,012.50	
17AUG17	B	1	PD17NOV17	3067.00	3251.00	USD	4,600.00	
17AUG17	B	1	PD17NOV17	3058.00	3251.00	USD	4,825.00	
18AUG17	S	2	PD17NOV17	3078.50	3251.00	USD	-8,625.00	
18AUG17	S	2	PD17NOV17	3081.00	3251.00	USD	-8,500.00	
18AUG17	S	1	PD17NOV17	3080.00	3251.00	USD	-4,275.00	
18AUG17	S	1	PD17NOV17	3083.00	3251.00	USD	-4,200.00	
18AUG17	S	1	PD17NOV17	3087.00	3251.00	USD	-4,100.00	
18AUG17	B	1	PD17NOV17	3104.50	3251.00	USD	3,662.50	
18AUG17	S	2	PD17NOV17	3111.00	3251.00	USD	-7,000.00	
18AUG17	S	1	PD17NOV17	3119.00	3251.00	USD	-3,300.00	
18AUG17	B	1	PD17NOV17	3121.00	3251.00	USD	3,250.00	

The Sapien logo, featuring the word "sapien" in a bold, dark blue, sans-serif font. A yellow triangle is positioned above the letter 'i', pointing towards the top right.

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
18AUG17	S	1	PD17NOV17	3116.00	3251.00	USD	-3,375.00	
18AUG17	S	2	PD17NOV17	3117.50	3251.00	USD	-6,675.00	
18AUG17	B	1	PD17NOV17	3118.50	3251.00	USD	3,312.50	
18AUG17	S	1	PD17NOV17	3123.50	3251.00	USD	-3,187.50	
18AUG17	B	1	PD17NOV17	3128.00	3251.00	USD	3,075.00	
18AUG17	S	1	PD17NOV17	3133.00	3251.00	USD	-2,950.00	
18AUG17	B	1	PD17NOV17	3110.50	3251.00	USD	3,512.50	
18AUG17	B	1	PD17NOV17	3112.00	3251.00	USD	3,475.00	
18AUG17	B	1	PD17NOV17	3113.00	3251.00	USD	3,450.00	
18AUG17	B	1	PD17NOV17	3119.50	3251.00	USD	3,287.50	
18AUG17	B	1	PD17NOV17	3120.50	3251.00	USD	3,262.50	
18AUG17	B	1	PD17NOV17	3121.50	3251.00	USD	3,237.50	
18AUG17	B	2	PD17NOV17	3114.00	3251.00	USD	6,850.00	
18AUG17	B	2	PD17NOV17	3117.00	3251.00	USD	6,700.00	
18AUG17	S	1	PD17NOV17	3118.50	3251.00	USD	-3,312.50	
18AUG17	S	1	PD17NOV17	3113.00	3251.00	USD	-3,450.00	
18AUG17	B	1	PD17NOV17	3133.50	3251.00	USD	2,937.50	
18AUG17	B	1	PD17NOV17	3112.50	3251.00	USD	3,462.50	
18AUG17	S	1	PD17NOV17	3114.00	3251.00	USD	-3,425.00	
18AUG17	B	2	PD17NOV17	3125.00	3251.00	USD	6,300.00	
18AUG17	B	1	PD17NOV17	3128.50	3251.00	USD	3,062.50	
18AUG17	B	1	PD17NOV17	3130.00	3251.00	USD	3,025.00	
18AUG17	S	1	PD17NOV17	3125.00	3251.00	USD	-3,150.00	
18AUG17	S	1	PD17NOV17	3131.00	3251.00	USD	-3,000.00	
18AUG17	S	2	PD17NOV17	3120.00	3251.00	USD	-6,550.00	
18AUG17	S	1	PD17NOV17	3116.50	3251.00	USD	-3,362.50	
18AUG17	B	1	PD17NOV17	3104.00	3251.00	USD	3,675.00	
18AUG17	B	1	PD17NOV17	3093.00	3251.00	USD	3,950.00	
18AUG17	S	1	PD17NOV17	3088.50	3251.00	USD	-4,062.50	
18AUG17	B	1	PD17NOV17	3079.50	3251.00	USD	4,287.50	
18AUG17	S	1	PD17NOV17	3079.00	3251.00	USD	-4,300.00	
18AUG17	S	1	PD17NOV17	3097.50	3251.00	USD	-3,837.50	
18AUG17	S	1	PD17NOV17	3103.00	3251.00	USD	-3,700.00	
18AUG17	S	2	PD17NOV17	3107.00	3251.00	USD	-7,200.00	
18AUG17	S	2	PD17NOV17	3115.00	3251.00	USD	-6,800.00	
18AUG17	B	2	PD17NOV17	3124.00	3251.00	USD	6,350.00	
18AUG17	B	1	PD17NOV17	3123.50	3251.00	USD	3,187.50	
18AUG17	S	2	PD17NOV17	3119.50	3251.00	USD	-6,575.00	
18AUG17	B	2	PD17NOV17	3113.50	3251.00	USD	6,875.00	
18AUG17	B	1	PD17NOV17	3109.00	3251.00	USD	3,550.00	
18AUG17	B	1	PD17NOV17	3106.00	3251.00	USD	3,625.00	
18AUG17	S	1	PD17NOV17	3122.00	3251.00	USD	-3,225.00	
18AUG17	S	1	PD17NOV17	3121.50	3251.00	USD	-3,237.50	
18AUG17	S	1	PD17NOV17	3123.00	3251.00	USD	-3,200.00	
18AUG17	B	2	PD17NOV17	3126.50	3251.00	USD	6,225.00	
18AUG17	B	1	PD17NOV17	3127.00	3251.00	USD	3,100.00	
18AUG17	B	1	PD17NOV17	3132.00	3251.00	USD	2,975.00	
18AUG17	S	1	PD17NOV17	3137.00	3251.00	USD	-2,850.00	
22AUG17	B	4	PD17NOV17	3117.20	3251.00	USD	13,380.00	
23AUG17	S	5	PD17NOV17	3094.30	3251.00	USD	-19,587.50	
23AUG17	S	4	PD17NOV17	3094.50	3251.00	USD	-15,650.00	
05SEP17	S	7	PD17NOV17	3136.30	3251.00	USD	-20,072.50	
11SEP17	S	2	PD17NOV17	3078.48	3251.00	USD	-8,626.00	
11SEP17	S	2	PD17NOV17	3078.68	3251.00	USD	-8,616.00	
12SEP17	S	4	PD17NOV17	3055.51	3251.00	USD	-19,549.00	
12SEP17	B	3	PD17NOV17	3055.91	3251.00	USD	14,631.75	
	NET	0 AVG BGHT 3103.08 SOLD 3100.70					-7,276.75	0.00

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0094X

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

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FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
21AUG17	B	1	PD21NOV17	3144.50	3249.25	USD	2,618.75	
21AUG17	S	1	PD21NOV17	3152.00	3249.25	USD	-2,431.25	
21AUG17	B	1	PD21NOV17	3136.50	3249.25	USD	2,818.75	
21AUG17	B	1	PD21NOV17	3151.00	3249.25	USD	2,456.25	
21AUG17	B	1	PD21NOV17	3137.50	3249.25	USD	2,793.75	
21AUG17	B	2	PD21NOV17	3127.00	3249.25	USD	6,112.50	
21AUG17	B	1	PD21NOV17	3141.00	3249.25	USD	2,706.25	
21AUG17	S	1	PD21NOV17	3139.50	3249.25	USD	-2,743.75	
21AUG17	S	1	PD21NOV17	3140.50	3249.25	USD	-2,718.75	
21AUG17	B	1	PD21NOV17	3116.00	3249.25	USD	3,331.25	
21AUG17	S	1	PD21NOV17	3114.50	3249.25	USD	-3,368.75	
21AUG17	S	1	PD21NOV17	3125.50	3249.25	USD	-3,093.75	
12SEP17	S	3	PD21NOV17	3056.36	3249.25	USD	-14,466.75	
	NET	0 AVG	BGHT 3135.06				-5,985.50	0.00
			SOLD 3105.14					
22AUG17	B	1	PD22NOV17	3124.50	3248.38	USD	3,097.00	
22AUG17	S	1	PD22NOV17	3098.50	3248.38	USD	-3,747.00	
22AUG17	S	1	PD22NOV17	3104.00	3248.38	USD	-3,609.00	
22AUG17	S	1	PD22NOV17	3103.50	3248.38	USD	-3,622.00	
22AUG17	S	1	PD22NOV17	3111.50	3248.38	USD	-3,422.00	
22AUG17	B	1	PD22NOV17	3156.00	3248.38	USD	2,309.50	
22AUG17	S	1	PD22NOV17	3149.00	3248.38	USD	-2,484.50	
22AUG17	S	1	PD22NOV17	3129.50	3248.38	USD	-2,972.00	
22AUG17	S	1	PD22NOV17	3125.50	3248.38	USD	-3,072.00	
22AUG17	B	1	PD22NOV17	3122.00	3248.38	USD	3,159.50	
22AUG17	B	1	PD22NOV17	3133.00	3248.38	USD	2,884.50	
22AUG17	S	1	PD22NOV17	3124.00	3248.38	USD	-3,109.50	
22AUG17	B	1	PD22NOV17	3127.00	3248.38	USD	3,034.50	
22AUG17	S	1	PD22NOV17	3122.00	3248.38	USD	-3,159.50	
22AUG17	S	3	PD22NOV17	3122.50	3248.38	USD	-9,441.00	
22AUG17	S	1	PD22NOV17	3117.00	3248.38	USD	-3,284.50	
22AUG17	S	1	PD22NOV17	3125.00	3248.38	USD	-3,084.50	
22AUG17	S	4	PD22NOV17	3114.50	3248.38	USD	-13,388.00	
22AUG17	S	1	PD22NOV17	3119.00	3248.38	USD	-3,234.50	
22AUG17	S	4	PD22NOV17	3121.00	3248.38	USD	-12,738.00	
22AUG17	B	1	PD22NOV17	3112.50	3248.38	USD	3,397.00	
22AUG17	S	1	PD22NOV17	3118.00	3248.38	USD	-3,259.50	
22AUG17	S	1	PD22NOV17	3102.00	3248.38	USD	-3,659.50	
22AUG17	B	1	PD22NOV17	3108.50	3248.38	USD	3,497.00	
22AUG17	B	3	PD22NOV17	3109.00	3248.38	USD	10,453.50	
22AUG17	B	2	PD22NOV17	3109.50	3248.38	USD	6,944.00	
22AUG17	B	4	PD22NOV17	3110.00	3248.38	USD	13,838.00	
22AUG17	S	1	PD22NOV17	3127.50	3248.38	USD	-3,022.00	
22AUG17	B	2	PD22NOV17	3118.50	3248.38	USD	6,494.00	
22AUG17	B	1	PD22NOV17	3119.50	3248.38	USD	3,222.00	
23AUG17	B	4	PD22NOV17	3096.13	3248.38	USD	15,225.00	
06SEP17	B	2	PD22NOV17	3092.61	3248.38	USD	7,788.50	
11SEP17	B	1	PD22NOV17	3079.78	3248.38	USD	4,215.00	
12SEP17	S	2	PD22NOV17	3056.57	3248.38	USD	-9,590.50	
14SEP17	B	2	PD22NOV17	3003.06	3248.38	USD	12,266.00	
	NET	0 AVG	BGHT 3102.92				7,925.00	0.00
			SOLD 3114.24					
23AUG17	S	1	PD24NOV17	3108.00	3247.50	USD	-3,487.50	
23AUG17	S	1	PD24NOV17	3115.50	3247.50	USD	-3,300.00	
23AUG17	B	1	PD24NOV17	3122.00	3247.50	USD	3,137.50	
23AUG17	S	1	PD24NOV17	3125.00	3247.50	USD	-3,062.50	
23AUG17	S	1	PD24NOV17	3124.50	3247.50	USD	-3,075.00	
23AUG17	S	3	PD24NOV17	3126.00	3247.50	USD	-9,112.50	
23AUG17	S	3	PD24NOV17	3127.00	3247.50	USD	-9,037.50	

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
23AUG17	S	3	PD24NOV17	3127.50	3247.50	USD	-9,000.00	
23AUG17	S	2	PD24NOV17	3125.50	3247.50	USD	-6,100.00	
23AUG17	B	1	PD24NOV17	3098.50	3247.50	USD	3,725.00	
23AUG17	B	1	PD24NOV17	3093.00	3247.50	USD	3,862.50	
23AUG17	B	1	PD24NOV17	3094.50	3247.50	USD	3,825.00	
23AUG17	B	1	PD24NOV17	3090.00	3247.50	USD	3,937.50	
23AUG17	S	1	PD24NOV17	3104.50	3247.50	USD	-3,575.00	
23AUG17	S	1	PD24NOV17	3107.50	3247.50	USD	-3,500.00	
23AUG17	B	1	PD24NOV17	3112.00	3247.50	USD	3,387.50	
23AUG17	B	1	PD24NOV17	3103.50	3247.50	USD	3,600.00	
23AUG17	B	1	PD24NOV17	3105.00	3247.50	USD	3,562.50	
23AUG17	S	1	PD24NOV17	3106.00	3247.50	USD	-3,537.50	
23AUG17	B	1	PD24NOV17	3108.00	3247.50	USD	3,487.50	
23AUG17	S	1	PD24NOV17	3106.00	3247.50	USD	-3,537.50	
23AUG17	B	1	PD24NOV17	3096.00	3247.50	USD	3,787.50	
23AUG17	B	2	PD24NOV17	3098.00	3247.50	USD	7,475.00	
23AUG17	S	1	PD24NOV17	3099.00	3247.50	USD	-3,712.50	
23AUG17	B	1	PD24NOV17	3105.50	3247.50	USD	3,550.00	
23AUG17	S	1	PD24NOV17	3105.00	3247.50	USD	-3,562.50	
23AUG17	B	1	PD24NOV17	3109.00	3247.50	USD	3,462.50	
23AUG17	B	1	PD24NOV17	3099.50	3247.50	USD	3,700.00	
24AUG17	B	1	PD24NOV17	3113.50	3247.50	USD	3,350.00	
24AUG17	S	1	PD24NOV17	3138.00	3247.50	USD	-2,737.50	
24AUG17	B	2	PD24NOV17	3109.50	3247.50	USD	6,900.00	
24AUG17	B	1	PD24NOV17	3114.50	3247.50	USD	3,325.00	
24AUG17	B	1	PD24NOV17	3117.00	3247.50	USD	3,262.50	
24AUG17	B	1	PD24NOV17	3113.00	3247.50	USD	3,362.50	
24AUG17	S	1	PD24NOV17	3110.00	3247.50	USD	-3,437.50	
24AUG17	S	1	PD24NOV17	3104.00	3247.50	USD	-3,587.50	
24AUG17	S	1	PD24NOV17	3111.50	3247.50	USD	-3,400.00	
24AUG17	S	1	PD24NOV17	3111.00	3247.50	USD	-3,412.50	
24AUG17	B	1	PD24NOV17	3107.50	3247.50	USD	3,500.00	
24AUG17	S	1	PD24NOV17	3104.50	3247.50	USD	-3,575.00	
24AUG17	S	2	PD24NOV17	3105.00	3247.50	USD	-7,125.00	
24AUG17	B	1	PD24NOV17	3115.00	3247.50	USD	3,312.50	
24AUG17	B	1	PD24NOV17	3114.00	3247.50	USD	3,337.50	
24AUG17	B	1	PD24NOV17	3125.00	3247.50	USD	3,062.50	
24AUG17	B	1	PD24NOV17	3126.00	3247.50	USD	3,037.50	
24AUG17	B	1	PD24NOV17	3119.00	3247.50	USD	3,212.50	
24AUG17	B	1	PD24NOV17	3111.00	3247.50	USD	3,412.50	
24AUG17	S	1	PD24NOV17	3116.50	3247.50	USD	-3,275.00	
24AUG17	S	2	PD24NOV17	3112.00	3247.50	USD	-6,775.00	
25AUG17	B	1	PD24NOV17	3117.00	3247.50	USD	3,262.50	
25AUG17	S	1	PD24NOV17	3110.00	3247.50	USD	-3,437.50	
25AUG17	S	1	PD24NOV17	3105.50	3247.50	USD	-3,550.00	
25AUG17	S	1	PD24NOV17	3104.50	3247.50	USD	-3,575.00	
25AUG17	B	1	PD24NOV17	3129.50	3247.50	USD	2,950.00	
25AUG17	S	2	PD24NOV17	3127.00	3247.50	USD	-6,025.00	
25AUG17	B	1	PD24NOV17	3126.00	3247.50	USD	3,037.50	
25AUG17	B	1	PD24NOV17	3130.50	3247.50	USD	2,925.00	
25AUG17	S	2	PD24NOV17	3129.50	3247.50	USD	-5,900.00	
25AUG17	B	1	PD24NOV17	3134.00	3247.50	USD	2,837.50	
25AUG17	S	1	PD24NOV17	3123.50	3247.50	USD	-3,100.00	
25AUG17	B	1	PD24NOV17	3104.00	3247.50	USD	3,587.50	
25AUG17	S	1	PD24NOV17	3089.50	3247.50	USD	-3,950.00	
25AUG17	B	1	PD24NOV17	3081.50	3247.50	USD	4,150.00	
25AUG17	S	1	PD24NOV17	3075.00	3247.50	USD	-4,312.50	

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25AUG17	B	1	PD24NOV17	3070.00	3247.50	USD	4,437.50	
25AUG17	S	1	PD24NOV17	3070.00	3247.50	USD	-4,437.50	
25AUG17	B	1	PD24NOV17	3065.00	3247.50	USD	4,562.50	
25AUG17	S	1	PD24NOV17	3079.50	3247.50	USD	-4,200.00	
25AUG17	S	1	PD24NOV17	3069.50	3247.50	USD	-4,450.00	
25AUG17	S	1	PD24NOV17	3081.00	3247.50	USD	-4,162.50	
25AUG17	S	1	PD24NOV17	3090.00	3247.50	USD	-3,937.50	
25AUG17	B	1	PD24NOV17	3122.00	3247.50	USD	3,137.50	
25AUG17	S	2	PD24NOV17	3065.50	3247.50	USD	-9,100.00	
25AUG17	S	2	PD24NOV17	3068.00	3247.50	USD	-8,975.00	
25AUG17	S	1	PD24NOV17	3064.00	3247.50	USD	-4,587.50	
25AUG17	S	1	PD24NOV17	3068.50	3247.50	USD	-4,475.00	
30AUG17	B	3	PD24NOV17	3094.00	3247.50	USD	11,512.50	
06SEP17	B	1	PD24NOV17	3093.25	3247.50	USD	3,856.25	
11SEP17	S	1	PD24NOV17	3079.94	3247.50	USD	-4,189.00	
12SEP17	B	1	PD24NOV17	3057.19	3247.50	USD	4,757.75	
14SEP17	B	10	PD24NOV17	3003.34	3247.50	USD	61,040.00	
15SEP17	B	1	PD24NOV17	3027.94	3247.50	USD	5,489.00	
	NET	0 AVG	BGHT 3085.19	SOLD 3105.80			27,829.00	0.00
29AUG17	S	1	PD29NOV17	3119.00	3246.75	USD	-3,193.75	
29AUG17	S	1	PD29NOV17	3116.00	3246.75	USD	-3,268.75	
29AUG17	S	1	PD29NOV17	3116.50	3246.75	USD	-3,256.25	
29AUG17	B	1	PD29NOV17	3111.00	3246.75	USD	3,393.75	
29AUG17	B	1	PD29NOV17	3094.00	3246.75	USD	3,818.75	
29AUG17	S	1	PD29NOV17	3120.00	3246.75	USD	-3,168.75	
29AUG17	B	1	PD29NOV17	3115.00	3246.75	USD	3,293.75	
29AUG17	S	1	PD29NOV17	3119.50	3246.75	USD	-3,181.25	
29AUG17	B	1	PD29NOV17	3105.50	3246.75	USD	3,531.25	
29AUG17	S	2	PD29NOV17	3110.00	3246.75	USD	-6,837.50	
29AUG17	B	1	PD29NOV17	3091.50	3246.75	USD	3,881.25	
29AUG17	B	1	PD29NOV17	3114.00	3246.75	USD	3,318.75	
29AUG17	B	1	PD29NOV17	3111.50	3246.75	USD	3,381.25	
29AUG17	S	1	PD29NOV17	3117.00	3246.75	USD	-3,243.75	
29AUG17	B	2	PD29NOV17	3120.50	3246.75	USD	6,312.50	
29AUG17	S	1	PD29NOV17	3118.50	3246.75	USD	-3,206.25	
29AUG17	B	1	PD29NOV17	3119.00	3246.75	USD	3,193.75	
29AUG17	B	1	PD29NOV17	3100.00	3246.75	USD	3,668.75	
29AUG17	S	1	PD29NOV17	3103.00	3246.75	USD	-3,593.75	
30AUG17	S	3	PD29NOV17	3094.63	3246.75	USD	-11,409.00	
06SEP17	B	2	PD29NOV17	3093.25	3246.75	USD	7,675.00	
11SEP17	B	1	PD29NOV17	3081.04	3246.75	USD	4,142.75	
12SEP17	S	1	PD29NOV17	3058.05	3246.75	USD	-4,717.50	
	NET	0 AVG	BGHT 3105.00	SOLD 3106.53			535.00	0.00
30AUG17	B	1	PD30NOV17	3099.00	3246.50	USD	3,687.50	
30AUG17	B	1	PD30NOV17	3126.00	3246.50	USD	3,012.50	
30AUG17	B	1	PD30NOV17	3125.50	3246.50	USD	3,025.00	
30AUG17	B	1	PD30NOV17	3135.00	3246.50	USD	2,787.50	
30AUG17	S	2	PD30NOV17	3137.00	3246.50	USD	-5,475.00	
30AUG17	B	1	PD30NOV17	3149.00	3246.50	USD	2,437.50	
30AUG17	S	1	PD30NOV17	3151.50	3246.50	USD	-2,375.00	
30AUG17	S	1	PD30NOV17	3150.00	3246.50	USD	-2,412.50	
30AUG17	B	1	PD30NOV17	3124.50	3246.50	USD	3,050.00	
30AUG17	B	1	PD30NOV17	3123.00	3246.50	USD	3,087.50	
30AUG17	B	2	PD30NOV17	3121.50	3246.50	USD	6,250.00	
30AUG17	B	1	PD30NOV17	3119.00	3246.50	USD	3,187.50	
30AUG17	B	1	PD30NOV17	3117.50	3246.50	USD	3,225.00	
30AUG17	S	1	PD30NOV17	3116.50	3246.50	USD	-3,250.00	

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30AUG17	S	3	PD30NOV17	3116.00	3246.50	USD	-9,787.50	
30AUG17	S	1	PD30NOV17	3115.00	3246.50	USD	-3,287.50	
30AUG17	B	1	PD30NOV17	3115.50	3246.50	USD	3,275.00	
30AUG17	S	3	PD30NOV17	3114.50	3246.50	USD	-9,900.00	
30AUG17	B	1	PD30NOV17	3106.50	3246.50	USD	3,500.00	
30AUG17	B	1	PD30NOV17	3104.50	3246.50	USD	3,550.00	
30AUG17	B	1	PD30NOV17	3105.00	3246.50	USD	3,537.50	
30AUG17	B	1	PD30NOV17	3095.50	3246.50	USD	3,775.00	
30AUG17	B	1	PD30NOV17	3108.00	3246.50	USD	3,462.50	
30AUG17	B	1	PD30NOV17	3122.00	3246.50	USD	3,112.50	
30AUG17	B	1	PD30NOV17	3117.00	3246.50	USD	3,237.50	
30AUG17	B	1	PD30NOV17	3090.00	3246.50	USD	3,912.50	
31AUG17	S	1	PD30NOV17	3119.00	3246.50	USD	-3,187.50	
31AUG17	S	2	PD30NOV17	3140.50	3246.50	USD	-5,300.00	
31AUG17	S	1	PD30NOV17	3139.50	3246.50	USD	-2,675.00	
31AUG17	S	1	PD30NOV17	3138.50	3246.50	USD	-2,700.00	
31AUG17	S	1	PD30NOV17	3136.50	3246.50	USD	-2,750.00	
31AUG17	B	1	PD30NOV17	3133.00	3246.50	USD	2,837.50	
31AUG17	B	4	PD30NOV17	3132.50	3246.50	USD	11,400.00	
31AUG17	S	1	PD30NOV17	3134.50	3246.50	USD	-2,800.00	
31AUG17	S	1	PD30NOV17	3139.00	3246.50	USD	-2,687.50	
31AUG17	B	1	PD30NOV17	3148.50	3246.50	USD	2,450.00	
31AUG17	S	1	PD30NOV17	3152.50	3246.50	USD	-2,350.00	
31AUG17	B	1	PD30NOV17	3154.50	3246.50	USD	2,300.00	
31AUG17	B	1	PD30NOV17	3136.00	3246.50	USD	2,762.50	
05SEP17	B	1	PD30NOV17	3139.43	3246.50	USD	2,676.75	
06SEP17	S	5	PD30NOV17	3093.30	3246.50	USD	-19,150.00	
06SEP17	S	4	PD30NOV17	3093.50	3246.50	USD	-15,300.00	
11SEP17	S	1	PD30NOV17	3081.02	3246.50	USD	-4,137.00	
12SEP17	S	2	PD30NOV17	3058.46	3246.50	USD	-9,402.00	
20SEP17	B	4	PD30NOV17	3127.84	3246.50	USD	11,866.00	
	NET	0 AVG BGHT 3123.58	SOLD 3114.47				-7,521.25	0.00
01SEP17	B	1	PD01DEC17	3159.00	3246.25	USD	2,181.25	
01SEP17	B	1	PD01DEC17	3157.00	3246.25	USD	2,231.25	
01SEP17	B	1	PD01DEC17	3144.00	3246.25	USD	2,556.25	
01SEP17	B	2	PD01DEC17	3144.50	3246.25	USD	5,087.50	
01SEP17	B	2	PD01DEC17	3148.50	3246.25	USD	4,887.50	
01SEP17	B	1	PD01DEC17	3147.50	3246.25	USD	2,468.75	
01SEP17	S	5	PD01DEC17	3155.50	3246.25	USD	-11,343.75	
01SEP17	S	2	PD01DEC17	3153.00	3246.25	USD	-4,662.50	
01SEP17	B	1	PD01DEC17	3173.50	3246.25	USD	1,818.75	
01SEP17	B	1	PD01DEC17	3179.00	3246.25	USD	1,681.25	
01SEP17	B	2	PD01DEC17	3148.50	3246.25	USD	4,887.50	
01SEP17	S	1	PD01DEC17	3184.50	3246.25	USD	-1,543.75	
01SEP17	S	1	PD01DEC17	3202.00	3246.25	USD	-1,106.25	
01SEP17	B	1	PD01DEC17	3203.00	3246.25	USD	1,081.25	
01SEP17	S	1	PD01DEC17	3203.50	3246.25	USD	-1,068.75	
01SEP17	B	1	PD01DEC17	3205.00	3246.25	USD	1,031.25	
05SEP17	S	1	PD01DEC17	3139.35	3246.25	USD	-2,672.50	
06SEP17	S	2	PD01DEC17	3093.75	3246.25	USD	-7,625.00	
11SEP17	S	1	PD01DEC17	3081.20	3246.25	USD	-4,126.25	
12SEP17	B	1	PD01DEC17	3058.87	3246.25	USD	4,684.50	
15SEP17	S	1	PD01DEC17	3028.83	3246.25	USD	-5,435.50	
19SEP17	B	2	PD01DEC17	3103.52	3246.25	USD	7,136.50	
20SEP17	S	2	PD01DEC17	3127.60	3246.25	USD	-5,932.50	
	NET	0 AVG BGHT 3148.05	SOLD 3139.15				-3,783.25	0.00
04SEP17	S	1	PD04DEC17	3210.00	3246.00	USD	-900.00	

OPEN POSITION STATEMENT



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SAP0094X

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backoffice@sapiencap.com

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
04SEP17	S	2	PD04DEC17	3210.50	3246.00	USD	-1,775.00	
04SEP17	B	1	PD04DEC17	3193.50	3246.00	USD	1,312.50	
04SEP17	B	1	PD04DEC17	3185.50	3246.00	USD	1,512.50	
04SEP17	S	1	PD04DEC17	3183.50	3246.00	USD	-1,562.50	
04SEP17	S	1	PD04DEC17	3194.50	3246.00	USD	-1,287.50	
04SEP17	S	1	PD04DEC17	3190.00	3246.00	USD	-1,400.00	
04SEP17	S	1	PD04DEC17	3197.00	3246.00	USD	-1,225.00	
06SEP17	B	4	PD04DEC17	3094.70	3246.00	USD	15,130.00	
11SEP17	B	1	PD04DEC17	3081.94	3246.00	USD	4,101.50	
12SEP17	B	1	PD04DEC17	3059.51	3246.00	USD	4,662.25	
15SEP17	B	1	PD04DEC17	3029.49	3246.00	USD	5,412.75	
20SEP17	S	2	PD04DEC17	3127.55	3246.00	USD	-5,922.50	
	NET	0 AVG BGHT 3103.19	SOLD 3183.46				18,059.00	0.00
05SEP17	S	1	PD05DEC17	3201.50	3245.75	USD	-1,106.25	
05SEP17	S	1	PD05DEC17	3181.50	3245.75	USD	-1,606.25	
05SEP17	S	1	PD05DEC17	3189.00	3245.75	USD	-1,418.75	
05SEP17	S	1	PD05DEC17	3176.50	3245.75	USD	-1,731.25	
05SEP17	S	1	PD05DEC17	3172.50	3245.75	USD	-1,831.25	
05SEP17	S	1	PD05DEC17	3160.00	3245.75	USD	-2,143.75	
05SEP17	S	1	PD05DEC17	3145.50	3245.75	USD	-2,506.25	
05SEP17	B	1	PD05DEC17	3140.00	3245.75	USD	2,643.75	
05SEP17	S	1	PD05DEC17	3144.50	3245.75	USD	-2,531.25	
05SEP17	S	1	PD05DEC17	3125.00	3245.75	USD	-3,018.75	
05SEP17	B	1	PD05DEC17	3102.00	3245.75	USD	3,593.75	
05SEP17	S	1	PD05DEC17	3092.00	3245.75	USD	-3,843.75	
05SEP17	B	1	PD05DEC17	3100.50	3245.75	USD	3,631.25	
05SEP17	S	1	PD05DEC17	3100.00	3245.75	USD	-3,643.75	
05SEP17	B	2	PD05DEC17	3101.00	3245.75	USD	7,237.50	
05SEP17	B	1	PD05DEC17	3103.50	3245.75	USD	3,556.25	
05SEP17	B	1	PD05DEC17	3101.50	3245.75	USD	3,606.25	
05SEP17	S	3	PD05DEC17	3101.50	3245.75	USD	-10,818.75	
05SEP17	S	1	PD05DEC17	3102.50	3245.75	USD	-3,581.25	
05SEP17	S	1	PD05DEC17	3100.50	3245.75	USD	-3,631.25	
05SEP17	S	1	PD05DEC17	3125.00	3245.75	USD	-3,018.75	
06SEP17	B	2	PD05DEC17	3094.95	3245.75	USD	7,540.00	
11SEP17	B	2	PD05DEC17	3081.92	3245.75	USD	8,191.50	
11SEP17	B	2	PD05DEC17	3082.12	3245.75	USD	8,181.50	
14SEP17	B	3	PD05DEC17	3004.71	3245.75	USD	18,078.00	
15SEP17	B	2	PD05DEC17	3029.65	3245.75	USD	10,805.00	
19SEP17	S	1	PD05DEC17	3103.51	3245.75	USD	-3,556.00	
	NET	0 AVG BGHT 3074.50	SOLD 3134.67				27,077.50	0.00
06SEP17	B	1	PD06DEC17	3102.50	3245.50	USD	3,575.00	
06SEP17	B	1	PD06DEC17	3101.50	3245.50	USD	3,600.00	
06SEP17	B	1	PD06DEC17	3107.00	3245.50	USD	3,462.50	
06SEP17	B	2	PD06DEC17	3102.00	3245.50	USD	7,175.00	
06SEP17	B	1	PD06DEC17	3111.00	3245.50	USD	3,362.50	
06SEP17	B	2	PD06DEC17	3101.00	3245.50	USD	7,225.00	
06SEP17	S	2	PD06DEC17	3090.00	3245.50	USD	-7,775.00	
06SEP17	B	1	PD06DEC17	3075.00	3245.50	USD	4,262.50	
06SEP17	B	1	PD06DEC17	3081.00	3245.50	USD	4,112.50	
06SEP17	S	1	PD06DEC17	3088.00	3245.50	USD	-3,937.50	
06SEP17	B	1	PD06DEC17	3100.00	3245.50	USD	3,637.50	
06SEP17	B	1	PD06DEC17	3083.00	3245.50	USD	4,062.50	
06SEP17	S	1	PD06DEC17	3103.00	3245.50	USD	-3,562.50	
11SEP17	S	2	PD06DEC17	3081.90	3245.50	USD	-8,180.00	
14SEP17	S	3	PD06DEC17	3004.66	3245.50	USD	-18,063.00	
15SEP17	S	2	PD06DEC17	3029.60	3245.50	USD	-10,795.00	

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
19SEP17	S	1	PD06DEC17	3103.73	3245.50	USD	-3,544.25	
	NET	0 AVG BGHT 3097.25	SOLD 3059.31				-11,382.25	0.00
07SEP17	S	1	PD07DEC17	3107.50	3245.25	USD	-3,443.75	
07SEP17	B	1	PD07DEC17	3112.50	3245.25	USD	3,318.75	
07SEP17	B	1	PD07DEC17	3076.50	3245.25	USD	4,218.75	
07SEP17	S	1	PD07DEC17	3077.50	3245.25	USD	-4,193.75	
07SEP17	S	1	PD07DEC17	3096.50	3245.25	USD	-3,718.75	
07SEP17	S	1	PD07DEC17	3115.00	3245.25	USD	-3,256.25	
07SEP17	S	1	PD07DEC17	3137.00	3245.25	USD	-2,706.25	
07SEP17	B	1	PD07DEC17	3124.00	3245.25	USD	3,031.25	
07SEP17	S	1	PD07DEC17	3129.00	3245.25	USD	-2,906.25	
07SEP17	S	1	PD07DEC17	3131.50	3245.25	USD	-2,843.75	
07SEP17	S	1	PD07DEC17	3130.50	3245.25	USD	-2,868.75	
07SEP17	S	1	PD07DEC17	3134.50	3245.25	USD	-2,768.75	
14SEP17	B	1	PD07DEC17	3005.00	3245.25	USD	6,006.25	
14SEP17	B	3	PD07DEC17	3005.20	3245.25	USD	18,003.75	
15SEP17	B	1	PD07DEC17	3029.96	3245.25	USD	5,382.25	
19SEP17	B	1	PD07DEC17	3104.14	3245.25	USD	3,527.75	
	NET	0 AVG BGHT 3051.97	SOLD 3117.67				14,782.50	0.00
08SEP17	S	1	PD08DEC17	3142.50	3245.00	USD	-2,562.50	
08SEP17	S	1	PD08DEC17	3132.00	3245.00	USD	-2,825.00	
08SEP17	S	2	PD08DEC17	3139.00	3245.00	USD	-5,300.00	
08SEP17	B	1	PD08DEC17	3144.00	3245.00	USD	2,525.00	
08SEP17	B	1	PD08DEC17	3146.00	3245.00	USD	2,475.00	
08SEP17	B	1	PD08DEC17	3147.00	3245.00	USD	2,450.00	
08SEP17	B	1	PD08DEC17	3146.50	3245.00	USD	2,462.50	
08SEP17	B	1	PD08DEC17	3153.00	3245.00	USD	2,300.00	
08SEP17	S	1	PD08DEC17	3120.00	3245.00	USD	-3,125.00	
08SEP17	S	1	PD08DEC17	3116.00	3245.00	USD	-3,225.00	
08SEP17	S	1	PD08DEC17	3115.00	3245.00	USD	-3,250.00	
08SEP17	S	1	PD08DEC17	3106.00	3245.00	USD	-3,475.00	
08SEP17	S	1	PD08DEC17	3102.00	3245.00	USD	-3,575.00	
08SEP17	S	1	PD08DEC17	3092.50	3245.00	USD	-3,812.50	
08SEP17	B	1	PD08DEC17	3085.50	3245.00	USD	3,987.50	
08SEP17	B	1	PD08DEC17	3088.50	3245.00	USD	3,912.50	
08SEP17	B	1	PD08DEC17	3086.50	3245.00	USD	3,962.50	
08SEP17	S	1	PD08DEC17	3103.50	3245.00	USD	-3,537.50	
08SEP17	S	1	PD08DEC17	3105.00	3245.00	USD	-3,500.00	
08SEP17	S	1	PD08DEC17	3093.50	3245.00	USD	-3,787.50	
08SEP17	B	1	PD08DEC17	3072.50	3245.00	USD	4,312.50	
08SEP17	B	2	PD08DEC17	3069.50	3245.00	USD	8,775.00	
08SEP17	B	1	PD08DEC17	3059.50	3245.00	USD	4,637.50	
08SEP17	B	1	PD08DEC17	3056.50	3245.00	USD	4,712.50	
08SEP17	B	1	PD08DEC17	3055.50	3245.00	USD	4,737.50	
08SEP17	S	1	PD08DEC17	3054.50	3245.00	USD	-4,762.50	
08SEP17	S	1	PD08DEC17	3053.00	3245.00	USD	-4,800.00	
08SEP17	S	1	PD08DEC17	3032.50	3245.00	USD	-5,312.50	
08SEP17	B	1	PD08DEC17	3031.50	3245.00	USD	5,337.50	
14SEP17	B	1	PD08DEC17	3005.14	3245.00	USD	5,996.50	
14SEP17	S	1	PD08DEC17	3004.94	3245.00	USD	-6,001.50	
15SEP17	B	1	PD08DEC17	3030.11	3245.00	USD	5,372.25	
19SEP17	S	1	PD08DEC17	3104.16	3245.00	USD	-3,521.00	
20SEP17	B	1	PD08DEC17	3128.81	3245.00	USD	2,904.75	
	NET	0 AVG BGHT 3087.53	SOLD 3097.51				4,488.50	0.00
11SEP17	B	1	PD11DEC17	3056.00	3244.75	USD	4,718.75	
11SEP17	S	1	PD11DEC17	3053.00	3244.75	USD	-4,793.75	

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11SEP17	B	2	PD11DEC17	3057.00	3244.75	USD	9,387.50	
11SEP17	S	1	PD11DEC17	3063.00	3244.75	USD	-4,543.75	
11SEP17	B	1	PD11DEC17	3064.50	3244.75	USD	4,506.25	
11SEP17	S	1	PD11DEC17	3083.00	3244.75	USD	-4,043.75	
11SEP17	B	1	PD11DEC17	3098.00	3244.75	USD	3,668.75	
11SEP17	B	1	PD11DEC17	3099.50	3244.75	USD	3,631.25	
11SEP17	S	1	PD11DEC17	3088.00	3244.75	USD	-3,918.75	
11SEP17	B	1	PD11DEC17	3078.00	3244.75	USD	4,168.75	
11SEP17	B	1	PD11DEC17	3055.00	3244.75	USD	4,743.75	
11SEP17	B	1	PD11DEC17	3071.50	3244.75	USD	4,331.25	
11SEP17	B	2	PD11DEC17	3065.00	3244.75	USD	8,987.50	
11SEP17	B	1	PD11DEC17	3063.00	3244.75	USD	4,543.75	
11SEP17	B	1	PD11DEC17	3052.00	3244.75	USD	4,818.75	
11SEP17	S	1	PD11DEC17	3085.00	3244.75	USD	-3,993.75	
11SEP17	B	1	PD11DEC17	3087.50	3244.75	USD	3,931.25	
11SEP17	B	1	PD11DEC17	3090.00	3244.75	USD	3,868.75	
14SEP17	S	2	PD11DEC17	3005.57	3244.75	USD	-11,959.00	
15SEP17	S	1	PD11DEC17	3030.38	3244.75	USD	-5,359.25	
18SEP17	S	3	PD11DEC17	3097.19	3244.75	USD	-11,067.00	
20SEP17	S	1	PD11DEC17	3129.41	3244.75	USD	-2,883.50	
20SEP17	S	1	PD11DEC17	3129.61	3244.75	USD	-2,878.50	
	NET	+2 AVG BGHT 3070.60	SOLD 3074.16				9,865.25	0.00
12SEP17	S	1	PD12DEC17	3082.00	3244.50	USD	-4,062.50	
12SEP17	B	1	PD12DEC17	3091.50	3244.50	USD	3,825.00	
12SEP17	B	1	PD12DEC17	3093.50	3244.50	USD	3,775.00	
12SEP17	B	1	PD12DEC17	3088.00	3244.50	USD	3,912.50	
12SEP17	B	1	PD12DEC17	3092.00	3244.50	USD	3,812.50	
12SEP17	B	1	PD12DEC17	3053.50	3244.50	USD	4,775.00	
12SEP17	B	1	PD12DEC17	3054.00	3244.50	USD	4,762.50	
12SEP17	S	1	PD12DEC17	3055.50	3244.50	USD	-4,725.00	
12SEP17	S	1	PD12DEC17	3056.50	3244.50	USD	-4,700.00	
12SEP17	B	2	PD12DEC17	3059.50	3244.50	USD	9,250.00	
12SEP17	B	1	PD12DEC17	3077.00	3244.50	USD	4,187.50	
12SEP17	B	1	PD12DEC17	3069.00	3244.50	USD	4,387.50	
12SEP17	B	1	PD12DEC17	3063.00	3244.50	USD	4,537.50	
12SEP17	B	1	PD12DEC17	3054.50	3244.50	USD	4,750.00	
14SEP17	S	2	PD12DEC17	3005.71	3244.50	USD	-11,939.50	
18SEP17	S	1	PD12DEC17	3097.31	3244.50	USD	-3,679.75	
19SEP17	S	2	PD12DEC17	3105.21	3244.50	USD	-6,964.50	
20SEP17	S	1	PD12DEC17	3129.88	3244.50	USD	-2,865.50	
21SEP17	S	1	PD12DEC17	3099.90	3244.50	USD	-3,615.00	
	NET	+2 AVG BGHT 3071.25	SOLD 3074.29				9,423.25	0.00
13SEP17	B	1	PD13DEC17	3067.50	3244.25	USD	4,418.75	
13SEP17	S	1	PD13DEC17	3057.50	3244.25	USD	-4,668.75	
13SEP17	S	3	PD13DEC17	3056.00	3244.25	USD	-14,118.75	
13SEP17	S	1	PD13DEC17	3055.00	3244.25	USD	-4,731.25	
13SEP17	S	1	PD13DEC17	3052.50	3244.25	USD	-4,793.75	
13SEP17	S	1	PD13DEC17	3061.00	3244.25	USD	-4,581.25	
13SEP17	S	1	PD13DEC17	3061.50	3244.25	USD	-4,568.75	
13SEP17	B	1	PD13DEC17	3048.50	3244.25	USD	4,893.75	
13SEP17	S	1	PD13DEC17	3043.50	3244.25	USD	-5,018.75	
14SEP17	S	1	PD13DEC17	3005.66	3244.25	USD	-5,964.75	
14SEP17	B	4	PD13DEC17	3006.06	3244.25	USD	23,819.00	
15SEP17	B	1	PD13DEC17	3030.89	3244.25	USD	5,334.00	
18SEP17	B	2	PD13DEC17	3097.62	3244.25	USD	7,331.50	
20SEP17	B	1	PD13DEC17	3130.34	3244.25	USD	2,847.75	
	NET	0 AVG BGHT 3049.67	SOLD 3050.47				198.75	0.00

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London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
14SEP17	B	1	PD14DEC17	3035.00	3244.00	USD	5,225.00	
14SEP17	S	1	PD14DEC17	3044.00	3244.00	USD	-5,000.00	
14SEP17	B	1	PD14DEC17	3038.50	3244.00	USD	5,137.50	
14SEP17	S	1	PD14DEC17	3031.50	3244.00	USD	-5,312.50	
14SEP17	B	1	PD14DEC17	3031.00	3244.00	USD	5,325.00	
14SEP17	S	1	PD14DEC17	3030.00	3244.00	USD	-5,350.00	
14SEP17	S	1	PD14DEC17	3026.00	3244.00	USD	-5,450.00	
14SEP17	B	1	PD14DEC17	3012.00	3244.00	USD	5,800.00	
14SEP17	S	1	PD14DEC17	3010.00	3244.00	USD	-5,850.00	
14SEP17	B	1	PD14DEC17	3002.50	3244.00	USD	6,037.50	
14SEP17	B	1	PD14DEC17	2982.50	3244.00	USD	6,537.50	
14SEP17	S	1	PD14DEC17	2996.50	3244.00	USD	-6,187.50	
14SEP17	S	1	PD14DEC17	2996.00	3244.00	USD	-6,200.00	
14SEP17	B	1	PD14DEC17	2998.50	3244.00	USD	6,137.50	
14SEP17	B	1	PD14DEC17	2998.00	3244.00	USD	6,150.00	
14SEP17	B	1	PD14DEC17	3003.50	3244.00	USD	6,012.50	
14SEP17	S	1	PD14DEC17	3004.00	3244.00	USD	-6,000.00	
14SEP17	B	2	PD14DEC17	3013.50	3244.00	USD	11,525.00	
14SEP17	B	1	PD14DEC17	3014.50	3244.00	USD	5,737.50	
14SEP17	B	1	PD14DEC17	3004.50	3244.00	USD	5,987.50	
14SEP17	B	1	PD14DEC17	3010.00	3244.00	USD	5,850.00	
14SEP17	B	1	PD14DEC17	3009.50	3244.00	USD	5,862.50	
14SEP17	B	1	PD14DEC17	3006.00	3244.00	USD	5,950.00	
20SEP17	S	1	PD14DEC17	3130.41	3244.00	USD	-2,839.75	
21SEP17	S	5	PD14DEC17	3100.43	3244.00	USD	-17,946.25	
	NET	+2 AVG BGHT 3010.81	SOLD 3055.04				27,139.00	0.00
15SEP17	B	1	PD15DEC17	3032.00	3243.75	USD	5,293.75	
15SEP17	S	1	PD15DEC17	3037.00	3243.75	USD	-5,168.75	
15SEP17	S	1	PD15DEC17	3035.00	3243.75	USD	-5,218.75	
15SEP17	S	2	PD15DEC17	3033.50	3243.75	USD	-10,512.50	
15SEP17	S	1	PD15DEC17	3032.00	3243.75	USD	-5,293.75	
15SEP17	S	1	PD15DEC17	3027.50	3243.75	USD	-5,406.25	
15SEP17	S	1	PD15DEC17	3022.00	3243.75	USD	-5,543.75	
15SEP17	S	1	PD15DEC17	3016.00	3243.75	USD	-5,693.75	
15SEP17	B	1	PD15DEC17	3019.50	3243.75	USD	5,606.25	
15SEP17	B	1	PD15DEC17	3018.00	3243.75	USD	5,643.75	
15SEP17	S	1	PD15DEC17	3021.00	3243.75	USD	-5,568.75	
15SEP17	S	1	PD15DEC17	3012.50	3243.75	USD	-5,781.25	
15SEP17	S	1	PD15DEC17	3004.00	3243.75	USD	-5,993.75	
15SEP17	S	1	PD15DEC17	3007.50	3243.75	USD	-5,906.25	
15SEP17	B	1	PD15DEC17	3031.00	3243.75	USD	5,318.75	
15SEP17	S	1	PD15DEC17	3042.00	3243.75	USD	-5,043.75	
15SEP17	S	1	PD15DEC17	3040.50	3243.75	USD	-5,081.25	
15SEP17	S	2	PD15DEC17	3039.50	3243.75	USD	-10,212.50	
15SEP17	S	1	PD15DEC17	3036.00	3243.75	USD	-5,193.75	
15SEP17	S	1	PD15DEC17	3031.50	3243.75	USD	-5,306.25	
15SEP17	S	1	PD15DEC17	3026.00	3243.75	USD	-5,443.75	
15SEP17	S	1	PD15DEC17	3034.00	3243.75	USD	-5,243.75	
15SEP17	S	1	PD15DEC17	3018.50	3243.75	USD	-5,631.25	
15SEP17	S	2	PD15DEC17	3019.50	3243.75	USD	-11,212.50	
15SEP17	S	1	PD15DEC17	3011.50	3243.75	USD	-5,806.25	
15SEP17	S	1	PD15DEC17	2993.00	3243.75	USD	-6,268.75	
15SEP17	S	1	PD15DEC17	2990.00	3243.75	USD	-6,343.75	
15SEP17	S	2	PD15DEC17	2994.00	3243.75	USD	-12,487.50	
15SEP17	S	1	PD15DEC17	3003.50	3243.75	USD	-6,006.25	
15SEP17	S	1	PD15DEC17	2996.50	3243.75	USD	-6,181.25	
15SEP17	B	1	PD15DEC17	3029.00	3243.75	USD	5,368.75	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0094X

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
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backoffice@sapiencap.com

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
15SEP17	B	1	PD15DEC17	3024.00	3243.75	USD	5,493.75	
15SEP17	B	1	PD15DEC17	3034.00	3243.75	USD	5,243.75	
15SEP17	B	1	PD15DEC17	3031.00	3243.75	USD	5,318.75	
15SEP17	B	7	PD15DEC17	3029.50	3243.75	USD	37,493.75	
15SEP17	B	1	PD15DEC17	3033.00	3243.75	USD	5,268.75	
15SEP17	S	1	PD15DEC17	2991.00	3243.75	USD	-6,318.75	
18SEP17	B	2	PD15DEC17	3097.85	3243.75	USD	7,295.00	
19SEP17	B	2	PD15DEC17	3106.06	3243.75	USD	6,884.50	
20SEP17	B	2	PD15DEC17	3130.87	3243.75	USD	5,644.00	
21SEP17	B	5	PD15DEC17	3100.89	3243.75	USD	17,857.50	
	NET	-4 AVG BGHT 3060.44	SOLD 3019.40				-50,137.75	0.00
18SEP17	B	1	PD18DEC17	3046.50	3243.50	USD	4,925.00	
18SEP17	B	1	PD18DEC17	3073.00	3243.50	USD	4,262.50	
18SEP17	B	1	PD18DEC17	3068.50	3243.50	USD	4,375.00	
18SEP17	B	2	PD18DEC17	3060.00	3243.50	USD	9,175.00	
18SEP17	B	1	PD18DEC17	3073.50	3243.50	USD	4,250.00	
18SEP17	B	1	PD18DEC17	3077.50	3243.50	USD	4,150.00	
18SEP17	S	1	PD18DEC17	3039.00	3243.50	USD	-5,112.50	
18SEP17	B	1	PD18DEC17	3075.00	3243.50	USD	4,212.50	
18SEP17	B	1	PD18DEC17	3082.50	3243.50	USD	4,025.00	
18SEP17	B	1	PD18DEC17	3063.00	3243.50	USD	4,512.50	
18SEP17	B	1	PD18DEC17	3050.50	3243.50	USD	4,825.00	
18SEP17	B	2	PD18DEC17	3086.00	3243.50	USD	7,875.00	
18SEP17	B	1	PD18DEC17	3069.50	3243.50	USD	4,350.00	
18SEP17	B	3	PD18DEC17	3065.00	3243.50	USD	13,387.50	
18SEP17	B	1	PD18DEC17	3073.50	3243.50	USD	4,250.00	
18SEP17	S	1	PD18DEC17	3087.00	3243.50	USD	-3,912.50	
18SEP17	S	1	PD18DEC17	3088.00	3243.50	USD	-3,887.50	
18SEP17	B	1	PD18DEC17	3089.00	3243.50	USD	3,862.50	
18SEP17	S	1	PD18DEC17	3085.50	3243.50	USD	-3,950.00	
18SEP17	S	2	PD18DEC17	3086.50	3243.50	USD	-7,850.00	
18SEP17	B	1	PD18DEC17	3090.00	3243.50	USD	3,837.50	
18SEP17	S	1	PD18DEC17	3086.00	3243.50	USD	-3,937.50	
18SEP17	S	1	PD18DEC17	3089.00	3243.50	USD	-3,862.50	
18SEP17	S	1	PD18DEC17	3088.50	3243.50	USD	-3,875.00	
18SEP17	S	2	PD18DEC17	3087.50	3243.50	USD	-7,800.00	
18SEP17	S	1	PD18DEC17	3083.00	3243.50	USD	-4,012.50	
18SEP17	S	1	PD18DEC17	3084.50	3243.50	USD	-3,975.00	
18SEP17	S	1	PD18DEC17	3085.00	3243.50	USD	-3,962.50	
18SEP17	S	1	PD18DEC17	3083.50	3243.50	USD	-4,000.00	
18SEP17	S	2	PD18DEC17	3084.00	3243.50	USD	-7,975.00	
18SEP17	S	1	PD18DEC17	3082.00	3243.50	USD	-4,037.50	
18SEP17	B	4	PD18DEC17	3081.00	3243.50	USD	16,250.00	
18SEP17	B	1	PD18DEC17	3079.50	3243.50	USD	4,100.00	
18SEP17	S	2	PD18DEC17	3070.00	3243.50	USD	-8,675.00	
18SEP17	S	1	PD18DEC17	3077.50	3243.50	USD	-4,150.00	
18SEP17	S	2	PD18DEC17	3072.00	3243.50	USD	-8,575.00	
18SEP17	S	1	PD18DEC17	3071.50	3243.50	USD	-4,300.00	
18SEP17	B	5	PD18DEC17	3080.00	3243.50	USD	20,437.50	
18SEP17	S	1	PD18DEC17	3079.00	3243.50	USD	-4,112.50	
18SEP17	B	6	PD18DEC17	3081.50	3243.50	USD	24,300.00	
18SEP17	B	1	PD18DEC17	3089.50	3243.50	USD	3,850.00	
18SEP17	B	1	PD18DEC17	3091.00	3243.50	USD	3,812.50	
18SEP17	B	2	PD18DEC17	3093.50	3243.50	USD	7,500.00	
18SEP17	S	1	PD18DEC17	3105.50	3243.50	USD	-3,450.00	
18SEP17	S	1	PD18DEC17	3110.50	3243.50	USD	-3,325.00	
18SEP17	B	1	PD18DEC17	3110.50	3243.50	USD	3,325.00	

OPEN POSITION STATEMENT



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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
18SEP17	B	1	PD18DEC17	3086.00	3243.50	USD	3,937.50	
18SEP17	B	1	PD18DEC17	3090.00	3243.50	USD	3,837.50	
18SEP17	B	1	PD18DEC17	3093.50	3243.50	USD	3,750.00	
21SEP17	S	7	PD18DEC17	3101.48	3243.50	USD	-24,853.50	
25SEP17	S	1	PD18DEC17	3101.26	3243.50	USD	-3,556.00	
26SEP17	S	2	PD18DEC17	3119.00	3243.50	USD	-6,225.00	
03OCT17	S	1	PD18DEC17	3267.29	3243.50	USD	594.75	
	NET	+6 AVG BGHT 3078.61	SOLD 3093.21				38,597.75	0.00
19SEP17	S	1	PD19DEC17	3114.00	3243.25	USD	-3,231.25	
19SEP17	S	1	PD19DEC17	3109.50	3243.25	USD	-3,343.75	
19SEP17	S	1	PD19DEC17	3109.00	3243.25	USD	-3,356.25	
19SEP17	S	1	PD19DEC17	3115.00	3243.25	USD	-3,206.25	
19SEP17	S	1	PD19DEC17	3115.50	3243.25	USD	-3,193.75	
19SEP17	S	1	PD19DEC17	3113.50	3243.25	USD	-3,243.75	
19SEP17	B	1	PD19DEC17	3102.50	3243.25	USD	3,518.75	
19SEP17	B	1	PD19DEC17	3101.00	3243.25	USD	3,556.25	
19SEP17	S	1	PD19DEC17	3102.50	3243.25	USD	-3,518.75	
19SEP17	S	1	PD19DEC17	3102.00	3243.25	USD	-3,531.25	
19SEP17	S	1	PD19DEC17	3112.00	3243.25	USD	-3,281.25	
19SEP17	B	1	PD19DEC17	3086.50	3243.25	USD	3,918.75	
19SEP17	B	1	PD19DEC17	3089.50	3243.25	USD	3,843.75	
19SEP17	B	1	PD19DEC17	3088.00	3243.25	USD	3,881.25	
19SEP17	B	1	PD19DEC17	3088.50	3243.25	USD	3,868.75	
19SEP17	B	4	PD19DEC17	3089.00	3243.25	USD	15,425.00	
19SEP17	S	1	PD19DEC17	3087.00	3243.25	USD	-3,906.25	
19SEP17	S	1	PD19DEC17	3084.50	3243.25	USD	-3,968.75	
19SEP17	S	2	PD19DEC17	3084.00	3243.25	USD	-7,962.50	
19SEP17	S	2	PD19DEC17	3086.00	3243.25	USD	-7,862.50	
19SEP17	S	1	PD19DEC17	3087.50	3243.25	USD	-3,893.75	
19SEP17	S	1	PD19DEC17	3069.50	3243.25	USD	-4,343.75	
19SEP17	S	1	PD19DEC17	3075.00	3243.25	USD	-4,206.25	
19SEP17	B	1	PD19DEC17	3100.50	3243.25	USD	3,568.75	
19SEP17	B	1	PD19DEC17	3105.50	3243.25	USD	3,443.75	
19SEP17	B	1	PD19DEC17	3109.50	3243.25	USD	3,343.75	
19SEP17	B	1	PD19DEC17	3112.50	3243.25	USD	3,268.75	
19SEP17	B	1	PD19DEC17	3108.50	3243.25	USD	3,368.75	
19SEP17	S	1	PD19DEC17	3085.50	3243.25	USD	-3,943.75	
19SEP17	B	1	PD19DEC17	3109.00	3243.25	USD	3,356.25	
21SEP17	B	1	PD19DEC17	3101.94	3243.25	USD	3,532.75	
	NET	-2 AVG BGHT 3097.61	SOLD 3095.89				-8,098.50	0.00
20SEP17	B	1	PD20DEC17	3109.50	3243.00	USD	3,337.50	
20SEP17	B	1	PD20DEC17	3100.00	3243.00	USD	3,575.00	
20SEP17	B	2	PD20DEC17	3123.50	3243.00	USD	5,975.00	
20SEP17	B	1	PD20DEC17	3119.50	3243.00	USD	3,087.50	
20SEP17	S	2	PD20DEC17	3151.00	3243.00	USD	-4,600.00	
20SEP17	S	1	PD20DEC17	3149.50	3243.00	USD	-2,337.50	
20SEP17	S	1	PD20DEC17	3148.00	3243.00	USD	-2,375.00	
20SEP17	S	1	PD20DEC17	3147.50	3243.00	USD	-2,387.50	
20SEP17	S	1	PD20DEC17	3146.50	3243.00	USD	-2,412.50	
20SEP17	S	1	PD20DEC17	3147.00	3243.00	USD	-2,400.00	
20SEP17	S	3	PD20DEC17	3146.00	3243.00	USD	-7,275.00	
20SEP17	S	3	PD20DEC17	3145.00	3243.00	USD	-7,350.00	
20SEP17	S	1	PD20DEC17	3144.50	3243.00	USD	-2,462.50	
20SEP17	S	1	PD20DEC17	3144.00	3243.00	USD	-2,475.00	
20SEP17	B	5	PD20DEC17	3141.00	3243.00	USD	12,750.00	
20SEP17	B	1	PD20DEC17	3142.00	3243.00	USD	2,525.00	
20SEP17	S	1	PD20DEC17	3141.00	3243.00	USD	-2,550.00	

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13th October 2017

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
20SEP17	B	7	PD20DEC17	3141.50	3243.00	USD	17,762.50	
20SEP17	S	1	PD20DEC17	3140.50	3243.00	USD	-2,562.50	
20SEP17	S	1	PD20DEC17	3139.00	3243.00	USD	-2,600.00	
20SEP17	S	8	PD20DEC17	3136.50	3243.00	USD	-21,300.00	
20SEP17	S	1	PD20DEC17	3140.00	3243.00	USD	-2,575.00	
20SEP17	S	1	PD20DEC17	3133.50	3243.00	USD	-2,737.50	
20SEP17	S	1	PD20DEC17	3136.00	3243.00	USD	-2,675.00	
20SEP17	S	1	PD20DEC17	3141.50	3243.00	USD	-2,537.50	
20SEP17	S	2	PD20DEC17	3135.00	3243.00	USD	-5,400.00	
20SEP17	S	4	PD20DEC17	3137.00	3243.00	USD	-10,600.00	
20SEP17	S	1	PD20DEC17	3128.50	3243.00	USD	-2,862.50	
20SEP17	S	1	PD20DEC17	3124.50	3243.00	USD	-2,962.50	
20SEP17	S	1	PD20DEC17	3132.00	3243.00	USD	-2,775.00	
20SEP17	S	1	PD20DEC17	3126.50	3243.00	USD	-2,912.50	
20SEP17	S	1	PD20DEC17	3119.50	3243.00	USD	-3,087.50	
21SEP17	B	7	PD20DEC17	3102.20	3243.00	USD	24,640.00	
25SEP17	B	1	PD20DEC17	3101.70	3243.00	USD	3,532.50	
28SEP17	B	8	PD20DEC17	3151.00	3243.00	USD	18,400.00	
29SEP17	B	2	PD20DEC17	3166.00	3243.00	USD	3,850.00	
	NET	-5 AVG BGHT 3132.52	SOLD 3139.38				-6,777.50	0.00
21SEP17	S	2	PD21DEC17	3122.50	3240.00	USD	-5,875.00	
21SEP17	B	1	PD21DEC17	3119.50	3240.00	USD	3,012.50	
21SEP17	B	1	PD21DEC17	3120.50	3240.00	USD	2,987.50	
21SEP17	B	1	PD21DEC17	3093.00	3240.00	USD	3,675.00	
21SEP17	S	1	PD21DEC17	3092.00	3240.00	USD	-3,700.00	
21SEP17	S	1	PD21DEC17	3068.00	3240.00	USD	-4,300.00	
21SEP17	S	1	PD21DEC17	3076.50	3240.00	USD	-4,087.50	
21SEP17	B	1	PD21DEC17	3105.50	3240.00	USD	3,362.50	
21SEP17	S	1	PD21DEC17	3077.50	3240.00	USD	-4,062.50	
21SEP17	S	2	PD21DEC17	3058.50	3240.00	USD	-9,075.00	
21SEP17	B	1	PD21DEC17	3040.50	3240.00	USD	4,987.50	
21SEP17	B	1	PD21DEC17	3035.50	3240.00	USD	5,112.50	
26SEP17	B	2	PD21DEC17	3118.20	3240.00	USD	6,090.00	
03OCT17	B	1	PD21DEC17	3264.20	3240.00	USD	-605.00	
	NET	+1 AVG BGHT 3112.79	SOLD 3084.50				-2,477.50	0.00
22SEP17	S	1	PD22DEC17	3040.50	3239.62	USD	-4,978.00	
22SEP17	S	1	PD22DEC17	3011.50	3239.62	USD	-5,703.00	
22SEP17	S	1	PD22DEC17	3075.00	3239.62	USD	-4,115.50	
22SEP17	S	1	PD22DEC17	3015.50	3239.62	USD	-5,603.00	
22SEP17	S	1	PD22DEC17	3072.00	3239.62	USD	-4,190.50	
22SEP17	S	1	PD22DEC17	3064.00	3239.62	USD	-4,390.50	
22SEP17	S	1	PD22DEC17	3069.50	3239.62	USD	-4,253.00	
22SEP17	S	1	PD22DEC17	3065.00	3239.62	USD	-4,365.50	
22SEP17	S	1	PD22DEC17	3064.50	3239.62	USD	-4,378.00	
22SEP17	S	2	PD22DEC17	3066.00	3239.62	USD	-8,681.00	
22SEP17	S	2	PD22DEC17	3061.50	3239.62	USD	-8,906.00	
22SEP17	B	1	PD22DEC17	3062.00	3239.62	USD	4,440.50	
22SEP17	B	2	PD22DEC17	3062.50	3239.62	USD	8,856.00	
22SEP17	B	2	PD22DEC17	3060.50	3239.62	USD	8,956.00	
22SEP17	B	4	PD22DEC17	3061.00	3239.62	USD	17,862.00	
22SEP17	B	3	PD22DEC17	3060.00	3239.62	USD	13,471.50	
22SEP17	S	9	PD22DEC17	3055.00	3239.62	USD	-41,539.50	
22SEP17	S	1	PD22DEC17	3063.50	3239.62	USD	-4,403.00	
22SEP17	S	1	PD22DEC17	3061.00	3239.62	USD	-4,465.00	
22SEP17	B	1	PD22DEC17	3072.50	3239.62	USD	4,178.00	
22SEP17	B	1	PD22DEC17	3069.50	3239.62	USD	4,253.00	
22SEP17	S	1	PD22DEC17	3013.00	3239.62	USD	-5,665.50	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0094X

13th October 2017

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backoffice@sapiencap.com

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
22SEP17	S	1	PD22DEC17	3072.00	3239.62	USD	-4,190.50	
28SEP17	B	1	PD22DEC17	3147.50	3239.62	USD	2,303.00	
29SEP17	B	9	PD22DEC17	3162.56	3239.62	USD	17,338.50	
10OCT17	B	1	PD22DEC17	3284.45	3239.62	USD	-1,120.75	
	NET	-1AVG BGHT 3110.76	SOLD 3055.27				-39,290.25	0.00
25SEP17	B	1	PD27DEC17	3075.00	3239.23	USD	4,105.75	
25SEP17	B	1	PD27DEC17	3101.00	3239.23	USD	3,455.75	
25SEP17	S	1	PD27DEC17	3098.50	3239.23	USD	-3,518.25	
25SEP17	S	2	PD27DEC17	3095.50	3239.23	USD	-7,186.50	
25SEP17	S	1	PD27DEC17	3094.50	3239.23	USD	-3,618.25	
25SEP17	S	1	PD27DEC17	3092.50	3239.23	USD	-3,668.25	
25SEP17	S	1	PD27DEC17	3093.00	3239.23	USD	-3,655.75	
25SEP17	S	4	PD27DEC17	3095.00	3239.23	USD	-14,423.00	
25SEP17	S	6	PD27DEC17	3096.50	3239.23	USD	-21,409.50	
25SEP17	S	1	PD27DEC17	3093.50	3239.23	USD	-3,643.25	
25SEP17	S	3	PD27DEC17	3094.00	3239.23	USD	-10,892.25	
25SEP17	B	2	PD27DEC17	3091.00	3239.23	USD	7,411.50	
25SEP17	B	6	PD27DEC17	3090.50	3239.23	USD	22,309.50	
25SEP17	B	11	PD27DEC17	3091.50	3239.23	USD	40,625.75	
25SEP17	B	1	PD27DEC17	3095.00	3239.23	USD	3,605.75	
25SEP17	B	1	PD27DEC17	3098.50	3239.23	USD	3,518.25	
25SEP17	B	1	PD27DEC17	3104.00	3239.23	USD	3,380.75	
25SEP17	S	1	PD27DEC17	3113.00	3239.23	USD	-3,155.75	
25SEP17	S	1	PD27DEC17	3114.00	3239.23	USD	-3,130.75	
26SEP17	B	1	PD27DEC17	3109.50	3239.23	USD	3,243.25	
26SEP17	B	1	PD27DEC17	3109.00	3239.23	USD	3,255.75	
26SEP17	B	1	PD27DEC17	3124.00	3239.23	USD	2,880.75	
26SEP17	B	1	PD27DEC17	3127.50	3239.23	USD	2,793.25	
26SEP17	S	1	PD27DEC17	3125.50	3239.23	USD	-2,843.25	
26SEP17	S	1	PD27DEC17	3124.00	3239.23	USD	-2,880.75	
26SEP17	S	1	PD27DEC17	3127.00	3239.23	USD	-2,805.75	
26SEP17	S	1	PD27DEC17	3129.00	3239.23	USD	-2,755.75	
26SEP17	B	1	PD27DEC17	3126.50	3239.23	USD	2,818.25	
26SEP17	S	2	PD27DEC17	3147.00	3239.23	USD	-4,611.50	
26SEP17	S	1	PD27DEC17	3142.00	3239.23	USD	-2,430.75	
26SEP17	S	1	PD27DEC17	3138.50	3239.23	USD	-2,518.25	
26SEP17	B	1	PD27DEC17	3133.00	3239.23	USD	2,655.75	
26SEP17	B	1	PD27DEC17	3132.50	3239.23	USD	2,668.25	
26SEP17	B	1	PD27DEC17	3122.00	3239.23	USD	2,930.75	
26SEP17	B	1	PD27DEC17	3120.50	3239.23	USD	2,968.25	
26SEP17	B	1	PD27DEC17	3121.00	3239.23	USD	2,955.75	
26SEP17	B	1	PD27DEC17	3128.50	3239.23	USD	2,768.25	
26SEP17	B	1	PD27DEC17	3122.50	3239.23	USD	2,918.25	
26SEP17	B	1	PD27DEC17	3112.00	3239.23	USD	3,180.75	
26SEP17	B	1	PD27DEC17	3109.50	3239.23	USD	3,243.25	
27SEP17	B	1	PD27DEC17	3108.00	3239.23	USD	3,280.75	
27SEP17	B	1	PD27DEC17	3108.50	3239.23	USD	3,268.25	
27SEP17	S	1	PD27DEC17	3106.00	3239.23	USD	-3,330.75	
27SEP17	S	1	PD27DEC17	3107.50	3239.23	USD	-3,293.25	
27SEP17	S	1	PD27DEC17	3109.00	3239.23	USD	-3,255.75	
27SEP17	B	1	PD27DEC17	3109.00	3239.23	USD	3,255.75	
27SEP17	B	1	PD27DEC17	3136.00	3239.23	USD	2,580.75	
27SEP17	B	1	PD27DEC17	3145.00	3239.23	USD	2,355.75	
27SEP17	B	1	PD27DEC17	3140.50	3239.23	USD	2,468.25	
27SEP17	S	1	PD27DEC17	3142.50	3239.23	USD	-2,418.25	
27SEP17	B	2	PD27DEC17	3139.00	3239.23	USD	5,011.50	
27SEP17	B	1	PD27DEC17	3144.50	3239.23	USD	2,368.25	

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
27SEP17	S	1	PD27DEC17	3115.00	3239.23	USD	-3,105.75	
27SEP17	B	1	PD27DEC17	3124.00	3239.23	USD	2,880.75	
27SEP17	S	1	PD27DEC17	3113.50	3239.23	USD	-3,143.25	
27SEP17	S	1	PD27DEC17	3114.00	3239.23	USD	-3,130.75	
27SEP17	S	1	PD27DEC17	3108.50	3239.23	USD	-3,268.25	
27SEP17	S	1	PD27DEC17	3102.50	3239.23	USD	-3,418.25	
27SEP17	B	1	PD27DEC17	3109.50	3239.23	USD	3,243.25	
27SEP17	S	1	PD27DEC17	3121.50	3239.23	USD	-2,943.25	
27SEP17	B	1	PD27DEC17	3121.50	3239.23	USD	2,943.25	
28SEP17	S	9	PD27DEC17	3147.05	3239.23	USD	-20,740.50	
	NET	+1AVG BGHT 3108.55	SOLD 3115.81				12,154.50	0.00
28SEP17	B	1	PD28DEC17	3120.00	3238.85	USD	2,971.25	
28SEP17	B	1	PD28DEC17	3117.00	3238.85	USD	3,046.25	
28SEP17	S	1	PD28DEC17	3113.50	3238.85	USD	-3,133.75	
28SEP17	B	1	PD28DEC17	3114.00	3238.85	USD	3,121.25	
28SEP17	S	1	PD28DEC17	3107.50	3238.85	USD	-3,283.75	
28SEP17	B	1	PD28DEC17	3136.00	3238.85	USD	2,571.25	
28SEP17	B	1	PD28DEC17	3138.50	3238.85	USD	2,508.75	
28SEP17	B	1	PD28DEC17	3137.50	3238.85	USD	2,533.75	
28SEP17	B	2	PD28DEC17	3138.00	3238.85	USD	5,042.50	
28SEP17	B	1	PD28DEC17	3139.50	3238.85	USD	2,483.75	
28SEP17	B	3	PD28DEC17	3142.00	3238.85	USD	7,263.75	
28SEP17	B	3	PD28DEC17	3143.00	3238.85	USD	7,188.75	
28SEP17	B	3	PD28DEC17	3141.50	3238.85	USD	7,301.25	
28SEP17	S	1	PD28DEC17	3147.00	3238.85	USD	-2,296.25	
28SEP17	S	2	PD28DEC17	3144.00	3238.85	USD	-4,742.50	
28SEP17	S	3	PD28DEC17	3144.50	3238.85	USD	-7,076.25	
28SEP17	S	1	PD28DEC17	3135.50	3238.85	USD	-2,583.75	
28SEP17	B	1	PD28DEC17	3150.00	3238.85	USD	2,221.25	
28SEP17	B	2	PD28DEC17	3146.50	3238.85	USD	4,617.50	
29SEP17	S	11	PD28DEC17	3161.99	3238.85	USD	-21,136.50	
	NET	+1AVG BGHT 3138.14	SOLD 3150.34				8,618.50	0.00
29SEP17	S	1	PD29DEC17	3139.00	3238.46	USD	-2,486.50	
29SEP17	B	2	PD29DEC17	3163.50	3238.46	USD	3,748.00	
29SEP17	B	3	PD29DEC17	3163.00	3238.46	USD	5,659.50	
10OCT17	S	1	PD29DEC17	3282.62	3238.46	USD	1,104.00	
	NET	+3AVG BGHT 3163.20	SOLD 3210.81				8,025.00	0.00
11OCT17	S	1	PD11JAN18	3221.00	3235.38	USD	-359.50	
	NET	-1AVG SOLD 3221.00					-359.50	0.00
12OCT17	B	1	PD12JAN18	3205.50	3235.00	USD	737.50	
12OCT17	B	1	PD12JAN18	3221.50	3235.00	USD	337.50	
13OCT17	S	1	PD12JAN18	3223.00	3235.00	USD	-300.00	
13OCT17	S	1	PD12JAN18	3236.50	3235.00	USD	37.50	
13OCT17	B	1	PD12JAN18	3250.00	3235.00	USD	-375.00	
	NET	+1AVG BGHT 3225.67	SOLD 3229.75				437.50	0.00

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OPEN POSITION VALUE

		USD
LME Aluminium 25 Tonnes.	FUTURES	1,630,677.25
LME Copper Grade A 25	FUTURES	-2,770,258.00
CMX Copper	FUTURES	-2,435,050.00
CMX Gold	FUTURES	-5,077,480.00
LME Lead 25 tonnes. This is an	FUTURES	-834,121.50
LME Nickel 6 tonnes. This is	FUTURES	-3,529,720.02
CMX Silver	FUTURES	86,225.00
LME Tin 5 tonnes. This is an	FUTURES	1,902,584.90
LME Zinc 25 tonnes. This is	FUTURES	-446,880.00

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options	Amount	Profit / Loss	Total Amount	Total P&L
COPR - Futures USD	150,087.50	150,087.50		
GOLD - Futures USD	10,460.00	10,460.00		
SILV - Futures USD	-830.00	-830.00	159,717.50	159,717.50
Unrealised Profits & Losses - Forwards	Amount	Profit / Loss	Total Amount	Total P&L
ALUL - Futures USD	459,996.50	459,996.50		
COPL - Futures USD	-711,551.75	-711,551.75		
LEAD - Futures USD	-138,986.00	-138,986.00		
NKL - Futures USD	387,477.18	387,477.18		
TIN - Futures USD	146,156.20	146,156.20		
ZINC - Futures USD	39,231.50	39,231.50	182,323.63	182,323.63

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	609,635.39	609,635.39
Variation Margins - Futures	159,717.50	159,717.50
- Options	0.00	0.00
TOTAL EQUITY	769,352.89	769,352.89
Initial Margins	-1,014,378.37	-1,014,378.37
Excess/(Shortage)	-245,025.48	-245,025.48
FOR INFORMATION ONLY		
Forward Unrealised P&L	182,323.63	182,323.63
Net Liquidating Value	951,676.52	951,676.52

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	<u>LME ALUMINIUM 25 TONNES. THIS IS AN</u>							
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25JUL17	B	2	PD25OCT17	1920.50	2113.75	USD	9,662.50	
25JUL17	B	2	PD25OCT17	1920.00	2113.75	USD	9,687.50	
25JUL17	B	1	PD25OCT17	1919.00	2113.75	USD	4,868.75	
25JUL17	S	3	PD25OCT17	1947.50	2113.75	USD	-12,468.75	
25JUL17	S	2	PD25OCT17	1947.00	2113.75	USD	-8,337.50	
	NET	0 AVG	BGHT 1920.00	SOLD 1947.30			3,412.50	0.00
02AUG17	B	11	PD02NOV17	1920.00	2114.44	USD	53,471.00	
02AUG17	B	2	PD02NOV17	1921.00	2114.44	USD	9,672.00	
02AUG17	B	7	PD02NOV17	1920.50	2114.44	USD	33,939.50	
02AUG17	B	8	PD02NOV17	1922.50	2114.44	USD	38,388.00	
02AUG17	B	9	PD02NOV17	1923.00	2114.44	USD	43,074.00	
02AUG17	B	4	PD02NOV17	1924.50	2114.44	USD	18,994.00	
02AUG17	B	5	PD02NOV17	1924.00	2114.44	USD	23,805.00	
02AUG17	B	9	PD02NOV17	1923.50	2114.44	USD	42,961.50	
02AUG17	B	5	PD02NOV17	1928.50	2114.44	USD	23,242.50	
02AUG17	B	1	PD02NOV17	1927.50	2114.44	USD	4,673.50	
02AUG17	S	1	PD02NOV17	1928.00	2114.44	USD	-4,661.00	
02AUG17	B	5	PD02NOV17	1927.00	2114.44	USD	23,430.00	
22AUG17	S	65	PD02NOV17	2071.13	2114.44	USD	-70,378.75	
	NET	0 AVG	BGHT 1923.14	SOLD 2068.96			240,611.25	0.00
08AUG17	S	1	PD08NOV17	1994.00	2116.50	USD	-3,062.50	
08AUG17	B	1	PD08NOV17	1997.00	2116.50	USD	2,987.50	
08AUG17	B	1	PD08NOV17	1998.00	2116.50	USD	2,962.50	
08AUG17	B	3	PD08NOV17	1998.50	2116.50	USD	8,850.00	
08AUG17	B	1	PD08NOV17	1999.50	2116.50	USD	2,925.00	
08AUG17	B	10	PD08NOV17	2000.00	2116.50	USD	29,125.00	
08AUG17	B	8	PD08NOV17	2010.00	2116.50	USD	21,300.00	
08AUG17	B	1	PD08NOV17	2003.50	2116.50	USD	2,825.00	
08AUG17	B	2	PD08NOV17	2017.00	2116.50	USD	4,975.00	
08AUG17	B	1	PD08NOV17	2019.50	2116.50	USD	2,425.00	
08AUG17	B	6	PD08NOV17	2020.00	2116.50	USD	14,475.00	
08AUG17	B	7	PD08NOV17	2020.50	2116.50	USD	16,800.00	
08AUG17	B	23	PD08NOV17	2021.00	2116.50	USD	54,912.50	
08AUG17	B	6	PD08NOV17	2021.00	2116.50	USD	14,325.00	
08AUG17	B	2	PD08NOV17	2020.50	2116.50	USD	4,800.00	
22AUG17	S	6	PD08NOV17	2072.50	2116.50	USD	-6,600.00	
11SEP17	S	65	PD08NOV17	2114.50	2116.50	USD	-3,250.00	
	NET	0 AVG	BGHT 2014.45	SOLD 2109.33			170,775.00	0.00
10AUG17	B	2	PD10NOV17	2024.00	2117.43	USD	4,671.50	
10AUG17	B	3	PD10NOV17	2024.50	2117.43	USD	6,969.75	
10AUG17	B	6	PD10NOV17	2042.00	2117.43	USD	11,314.50	
10AUG17	B	4	PD10NOV17	2042.50	2117.43	USD	7,493.00	
10AUG17	B	12	PD10NOV17	2040.00	2117.43	USD	23,229.00	
10AUG17	B	12	PD10NOV17	2039.50	2117.43	USD	23,379.00	
10AUG17	B	2	PD10NOV17	2038.50	2117.43	USD	3,946.50	
10AUG17	B	7	PD10NOV17	2039.00	2117.43	USD	13,725.25	
10AUG17	B	1	PD10NOV17	2037.50	2117.43	USD	1,998.25	
10AUG17	B	4	PD10NOV17	2038.00	2117.43	USD	7,943.00	
10AUG17	B	1	PD10NOV17	2038.00	2117.43	USD	1,985.75	
11AUG17	S	2	PD10NOV17	2028.00	2117.43	USD	-4,471.50	
11AUG17	S	2	PD10NOV17	2026.00	2117.43	USD	-4,571.50	
11AUG17	S	1	PD10NOV17	2025.50	2117.43	USD	-2,298.25	
11AUG17	B	1	PD10NOV17	2024.00	2117.43	USD	2,335.75	
11AUG17	B	2	PD10NOV17	2025.00	2117.43	USD	4,621.50	
11AUG17	B	4	PD10NOV17	2024.50	2117.43	USD	9,293.00	
11AUG17	B	2	PD10NOV17	2025.50	2117.43	USD	4,596.50	

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11AUG17	B	1	PD10NOV17	2026.00	2117.43	USD	2,285.75	
11AUG17	S	1	PD10NOV17	2040.00	2117.43	USD	-1,935.75	
11AUG17	S	2	PD10NOV17	2037.50	2117.43	USD	-3,996.50	
11AUG17	S	2	PD10NOV17	2043.00	2117.43	USD	-3,721.50	
11AUG17	S	2	PD10NOV17	2041.00	2117.43	USD	-3,821.50	
11AUG17	S	1	PD10NOV17	2041.50	2117.43	USD	-1,898.25	
11AUG17	S	5	PD10NOV17	2037.00	2117.43	USD	-10,053.75	
11AUG17	S	2	PD10NOV17	2038.00	2117.43	USD	-3,971.50	
11SEP17	S	44	PD10NOV17	2115.07	2117.43	USD	-2,596.00	
	NET	0 AVG BGHT 2036.31	SOLD 2090.35				86,452.00	0.00
15AUG17	S	5	PD15NOV17	2024.00	2119.75	USD	-11,968.75	
15AUG17	S	15	PD15NOV17	2024.50	2119.75	USD	-35,718.75	
15AUG17	S	3	PD15NOV17	2026.00	2119.75	USD	-7,031.25	
15AUG17	S	1	PD15NOV17	2024.00	2119.75	USD	-2,393.75	
15AUG17	S	5	PD15NOV17	2025.00	2119.75	USD	-11,843.75	
15AUG17	S	6	PD15NOV17	2025.50	2119.75	USD	-14,137.50	
15AUG17	S	5	PD15NOV17	2024.50	2119.75	USD	-11,906.25	
22AUG17	B	40	PD15NOV17	2074.45	2119.75	USD	45,300.00	
	NET	0 AVG BGHT 2074.45	SOLD 2024.75				-49,700.00	0.00
16AUG17	S	1	PD16NOV17	2091.00	2120.07	USD	-726.75	
16AUG17	B	1	PD16NOV17	2088.00	2120.07	USD	801.75	
16AUG17	B	1	PD16NOV17	2095.00	2120.07	USD	626.75	
16AUG17	B	2	PD16NOV17	2098.00	2120.07	USD	1,103.50	
16AUG17	B	1	PD16NOV17	2104.00	2120.07	USD	401.75	
16AUG17	B	1	PD16NOV17	2093.50	2120.07	USD	664.25	
16AUG17	S	1	PD16NOV17	2085.50	2120.07	USD	-864.25	
16AUG17	S	2	PD16NOV17	2086.00	2120.07	USD	-1,703.50	
12SEP17	S	2	PD16NOV17	2129.88	2120.07	USD	490.50	
	NET	0 AVG BGHT 2096.08	SOLD 2101.38				794.00	0.00
17AUG17	B	2	PD17NOV17	2097.50	2120.38	USD	1,144.00	
17AUG17	B	3	PD17NOV17	2096.50	2120.38	USD	1,791.00	
17AUG17	B	3	PD17NOV17	2106.00	2120.38	USD	1,078.50	
17AUG17	B	2	PD17NOV17	2106.50	2120.38	USD	694.00	
17AUG17	S	2	PD17NOV17	2100.50	2120.38	USD	-994.00	
17AUG17	S	8	PD17NOV17	2101.00	2120.38	USD	-3,876.00	
17AUG17	S	1	PD17NOV17	2073.00	2120.38	USD	-1,184.50	
18AUG17	S	4	PD17NOV17	2073.50	2120.38	USD	-4,688.00	
18AUG17	S	1	PD17NOV17	2075.00	2120.38	USD	-1,134.50	
18AUG17	S	1	PD17NOV17	2074.50	2120.38	USD	-1,147.00	
18AUG17	S	1	PD17NOV17	2084.50	2120.38	USD	-897.00	
18AUG17	S	13	PD17NOV17	2084.00	2120.38	USD	-11,823.50	
18AUG17	S	2	PD17NOV17	2087.50	2120.38	USD	-1,644.00	
18AUG17	S	5	PD17NOV17	2088.00	2120.38	USD	-4,047.50	
18AUG17	S	3	PD17NOV17	2080.00	2120.38	USD	-3,028.50	
22AUG17	B	31	PD17NOV17	2074.16	2120.38	USD	35,820.50	
	NET	0 AVG BGHT 2080.84	SOLD 2086.76				6,063.50	0.00
22AUG17	S	6	PD22NOV17	2080.00	2121.96	USD	-6,294.00	
22AUG17	S	3	PD22NOV17	2080.50	2121.96	USD	-3,109.50	
22AUG17	S	1	PD22NOV17	2080.50	2121.96	USD	-1,036.50	
22AUG17	S	2	PD22NOV17	2083.00	2121.96	USD	-1,948.00	
22AUG17	S	4	PD22NOV17	2083.50	2121.96	USD	-3,846.00	
22AUG17	S	3	PD22NOV17	2084.00	2121.96	USD	-2,847.00	
11SEP17	B	19	PD22NOV17	2116.86	2121.96	USD	2,422.50	
	NET	0 AVG BGHT 2116.86	SOLD 2081.79				-16,658.50	0.00
23AUG17	S	1	PD24NOV17	2076.00	2122.60	USD	-1,165.00	
23AUG17	S	2	PD24NOV17	2072.00	2122.60	USD	-2,530.00	

OPEN POSITION STATEMENT



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SAP094AB

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	<u>LME ALUMINIUM 25 TONNES. THIS IS AN</u>							
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23AUG17	S	9	PD24NOV17	2072.50	2122.60	USD	-11,272.50	
23AUG17	S	6	PD24NOV17	2071.50	2122.60	USD	-7,665.00	
23AUG17	B	1	PD24NOV17	2090.50	2122.60	USD	802.50	
23AUG17	B	1	PD24NOV17	2094.00	2122.60	USD	715.00	
23AUG17	S	1	PD24NOV17	2089.00	2122.60	USD	-840.00	
24AUG17	S	1	PD24NOV17	2097.00	2122.60	USD	-640.00	
24AUG17	S	3	PD24NOV17	2101.50	2122.60	USD	-1,582.50	
24AUG17	S	3	PD24NOV17	2121.00	2122.60	USD	-120.00	
24AUG17	S	3	PD24NOV17	2121.50	2122.60	USD	-82.50	
24AUG17	S	3	PD24NOV17	2117.50	2122.60	USD	-382.50	
24AUG17	S	6	PD24NOV17	2118.00	2122.60	USD	-690.00	
24AUG17	S	3	PD24NOV17	2118.50	2122.60	USD	-307.50	
24AUG17	S	3	PD24NOV17	2119.50	2122.60	USD	-232.50	
24AUG17	S	3	PD24NOV17	2119.00	2122.60	USD	-270.00	
24AUG17	S	8	PD24NOV17	2120.00	2122.60	USD	-520.00	
24AUG17	S	4	PD24NOV17	2120.50	2122.60	USD	-210.00	
24AUG17	B	1	PD24NOV17	2105.00	2122.60	USD	440.00	
24AUG17	B	4	PD24NOV17	2105.50	2122.60	USD	1,710.00	
25AUG17	B	3	PD24NOV17	2110.00	2122.60	USD	945.00	
25AUG17	B	1	PD24NOV17	2111.50	2122.60	USD	277.50	
25AUG17	B	6	PD24NOV17	2112.00	2122.60	USD	1,590.00	
25AUG17	B	1	PD24NOV17	2095.00	2122.60	USD	690.00	
25AUG17	B	1	PD24NOV17	2098.50	2122.60	USD	602.50	
25AUG17	S	1	PD24NOV17	2097.50	2122.60	USD	-627.50	
25AUG17	B	14	PD24NOV17	2097.00	2122.60	USD	8,960.00	
25AUG17	B	1	PD24NOV17	2094.50	2122.60	USD	702.50	
25AUG17	B	2	PD24NOV17	2093.50	2122.60	USD	1,455.00	
25AUG17	B	2	PD24NOV17	2092.50	2122.60	USD	1,505.00	
25AUG17	B	2	PD24NOV17	2096.00	2122.60	USD	1,330.00	
25AUG17	B	2	PD24NOV17	2096.50	2122.60	USD	1,305.00	
25AUG17	B	1	PD24NOV17	2095.50	2122.60	USD	677.50	
25AUG17	S	6	PD24NOV17	2094.00	2122.60	USD	-4,290.00	
25AUG17	S	3	PD24NOV17	2091.00	2122.60	USD	-2,370.00	
25AUG17	S	3	PD24NOV17	2090.50	2122.60	USD	-2,407.50	
25AUG17	S	1	PD24NOV17	2090.00	2122.60	USD	-815.00	
25AUG17	S	2	PD24NOV17	2089.00	2122.60	USD	-1,680.00	
25AUG17	S	3	PD24NOV17	2088.50	2122.60	USD	-2,557.50	
25AUG17	S	3	PD24NOV17	2087.50	2122.60	USD	-2,632.50	
25AUG17	S	2	PD24NOV17	2086.50	2122.60	USD	-1,805.00	
25AUG17	S	2	PD24NOV17	2086.00	2122.60	USD	-1,830.00	
05SEP17	B	35	PD24NOV17	2093.60	2122.60	USD	25,375.00	
11SEP17	B	7	PD24NOV17	2117.42	2122.60	USD	906.50	
	NET	0AVG BGHT 2099.08	SOLD 2099.29				464.00	0.00
29AUG17	B	2	PD29NOV17	2076.00	2124.18	USD	2,409.00	
29AUG17	B	3	PD29NOV17	2075.50	2124.18	USD	3,651.00	
29AUG17	B	3	PD29NOV17	2076.50	2124.18	USD	3,576.00	
29AUG17	B	12	PD29NOV17	2077.00	2124.18	USD	14,154.00	
29AUG17	S	3	PD29NOV17	2096.00	2124.18	USD	-2,113.50	
29AUG17	S	4	PD29NOV17	2096.50	2124.18	USD	-2,768.00	
29AUG17	S	2	PD29NOV17	2097.00	2124.18	USD	-1,359.00	
29AUG17	S	2	PD29NOV17	2097.50	2124.18	USD	-1,334.00	
29AUG17	S	4	PD29NOV17	2098.00	2124.18	USD	-2,618.00	
29AUG17	S	1	PD29NOV17	2098.50	2124.18	USD	-642.00	
29AUG17	S	3	PD29NOV17	2099.00	2124.18	USD	-1,888.50	
29AUG17	S	2	PD29NOV17	2103.00	2124.18	USD	-1,059.00	
29AUG17	S	2	PD29NOV17	2103.50	2124.18	USD	-1,034.00	
29AUG17	S	6	PD29NOV17	2101.00	2124.18	USD	-3,477.00	

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29AUG17	S	3	PD29NOV17	2104.00	2124.18	USD	-1,513.50	
29AUG17	S	3	PD29NOV17	2102.00	2124.18	USD	-1,663.50	
29AUG17	S	3	PD29NOV17	2097.00	2124.18	USD	-2,038.50	
29AUG17	S	2	PD29NOV17	2098.00	2124.18	USD	-1,309.00	
29AUG17	S	2	PD29NOV17	2093.50	2124.18	USD	-1,534.00	
29AUG17	S	5	PD29NOV17	2092.50	2124.18	USD	-3,960.00	
29AUG17	S	4	PD29NOV17	2092.00	2124.18	USD	-3,218.00	
29AUG17	S	1	PD29NOV17	2091.50	2124.18	USD	-817.00	
29AUG17	S	2	PD29NOV17	2093.00	2124.18	USD	-1,559.00	
29AUG17	S	1	PD29NOV17	2092.50	2124.18	USD	-792.00	
11SEP17	B	35	PD29NOV17	2118.83	2124.18	USD	4,681.25	
	NET	0AVG BGHT 2103.47	SOLD 2097.49				-8,226.25	0.00
31AUG17	B	1	PD30NOV17	2096.00	2124.49	USD	712.25	
31AUG17	B	1	PD30NOV17	2098.00	2124.49	USD	662.25	
31AUG17	B	6	PD30NOV17	2099.00	2124.49	USD	3,823.50	
31AUG17	B	3	PD30NOV17	2099.50	2124.49	USD	1,874.25	
31AUG17	B	2	PD30NOV17	2102.50	2124.49	USD	1,099.50	
31AUG17	B	3	PD30NOV17	2103.50	2124.49	USD	1,574.25	
31AUG17	B	2	PD30NOV17	2100.50	2124.49	USD	1,199.50	
31AUG17	B	1	PD30NOV17	2095.50	2124.49	USD	724.75	
31AUG17	B	6	PD30NOV17	2094.50	2124.49	USD	4,498.50	
31AUG17	B	1	PD30NOV17	2095.00	2124.49	USD	737.25	
31AUG17	S	1	PD30NOV17	2129.50	2124.49	USD	125.25	
31AUG17	S	13	PD30NOV17	2130.00	2124.49	USD	1,790.75	
31AUG17	S	2	PD30NOV17	2130.50	2124.49	USD	300.50	
31AUG17	S	4	PD30NOV17	2132.00	2124.49	USD	751.00	
31AUG17	S	1	PD30NOV17	2131.50	2124.49	USD	175.25	
31AUG17	S	7	PD30NOV17	2128.00	2124.49	USD	614.25	
31AUG17	S	3	PD30NOV17	2127.50	2124.49	USD	225.75	
31AUG17	B	1	PD30NOV17	2123.00	2124.49	USD	37.25	
31AUG17	B	3	PD30NOV17	2118.00	2124.49	USD	486.75	
31AUG17	B	3	PD30NOV17	2117.50	2124.49	USD	524.25	
31AUG17	B	6	PD30NOV17	2119.00	2124.49	USD	823.50	
31AUG17	B	3	PD30NOV17	2116.00	2124.49	USD	636.75	
12SEP17	S	11	PD30NOV17	2133.28	2124.49	USD	2,417.25	
	NET	0AVG BGHT 2106.00	SOLD 2130.59				25,814.50	0.00
01SEP17	B	3	PD01DEC17	2124.50	2124.81	USD	23.25	
01SEP17	B	3	PD01DEC17	2125.50	2124.81	USD	-51.75	
01SEP17	B	15	PD01DEC17	2127.00	2124.81	USD	-821.25	
01SEP17	B	16	PD01DEC17	2128.00	2124.81	USD	-1,276.00	
01SEP17	B	12	PD01DEC17	2129.00	2124.81	USD	-1,257.00	
01SEP17	B	6	PD01DEC17	2128.50	2124.81	USD	-553.50	
01SEP17	B	10	PD01DEC17	2126.00	2124.81	USD	-297.50	
01SEP17	B	1	PD01DEC17	2130.50	2124.81	USD	-142.25	
12SEP17	S	66	PD01DEC17	2133.59	2124.81	USD	14,487.00	
	NET	0AVG BGHT 2127.46	SOLD 2133.59				10,111.00	0.00
04SEP17	S	1	PD04DEC17	2124.00	2125.76	USD	-44.00	
04SEP17	S	6	PD04DEC17	2122.00	2125.76	USD	-564.00	
04SEP17	S	2	PD04DEC17	2123.50	2125.76	USD	-113.00	
04SEP17	S	2	PD04DEC17	2123.00	2125.76	USD	-138.00	
04SEP17	S	6	PD04DEC17	2124.50	2125.76	USD	-189.00	
04SEP17	S	2	PD04DEC17	2125.00	2125.76	USD	-38.00	
04SEP17	S	1	PD04DEC17	2123.50	2125.76	USD	-56.50	
04SEP17	S	1	PD04DEC17	2123.00	2125.76	USD	-69.00	
04SEP17	B	7	PD04DEC17	2117.50	2125.76	USD	1,445.50	
04SEP17	B	4	PD04DEC17	2117.00	2125.76	USD	876.00	

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	<u>LME ALUMINIUM 25 TONNES. THIS IS AN</u>							
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04SEP17	B	4	PD04DEC17	2114.00	2125.76	USD	1,176.00	
04SEP17	B	5	PD04DEC17	2114.50	2125.76	USD	1,407.50	
04SEP17	B	1	PD04DEC17	2112.50	2125.76	USD	331.50	
	NET	0 AVG BGHT 2115.79	SOLD 2123.45				4,025.00	0.00
05SEP17	B	1	PD05DEC17	2114.00	2126.07	USD	301.75	
05SEP17	S	1	PD05DEC17	2106.50	2126.07	USD	-489.25	
05SEP17	S	5	PD05DEC17	2098.00	2126.07	USD	-3,508.75	
05SEP17	S	2	PD05DEC17	2098.50	2126.07	USD	-1,378.50	
05SEP17	S	3	PD05DEC17	2100.00	2126.07	USD	-1,955.25	
05SEP17	S	1	PD05DEC17	2103.00	2126.07	USD	-576.75	
11SEP17	B	11	PD05DEC17	2120.51	2126.07	USD	1,529.00	
	NET	0 AVG BGHT 2119.97	SOLD 2099.71				-6,077.75	0.00
06SEP17	B	6	PD06DEC17	2093.00	2126.39	USD	5,008.50	
06SEP17	B	1	PD06DEC17	2096.00	2126.39	USD	759.75	
06SEP17	B	4	PD06DEC17	2095.50	2126.39	USD	3,089.00	
06SEP17	S	1	PD06DEC17	2088.50	2126.39	USD	-947.25	
06SEP17	S	2	PD06DEC17	2085.00	2126.39	USD	-2,069.50	
06SEP17	S	1	PD06DEC17	2086.00	2126.39	USD	-1,009.75	
06SEP17	S	6	PD06DEC17	2085.50	2126.39	USD	-6,133.50	
06SEP17	S	9	PD06DEC17	2086.50	2126.39	USD	-8,975.25	
06SEP17	S	2	PD06DEC17	2087.00	2126.39	USD	-1,969.50	
06SEP17	S	6	PD06DEC17	2109.00	2126.39	USD	-2,608.50	
06SEP17	S	10	PD06DEC17	2108.00	2126.39	USD	-4,597.50	
06SEP17	S	1	PD06DEC17	2108.50	2126.39	USD	-447.25	
06SEP17	S	2	PD06DEC17	2110.00	2126.39	USD	-819.50	
06SEP17	S	1	PD06DEC17	2110.50	2126.39	USD	-397.25	
11SEP17	B	30	PD06DEC17	2120.79	2126.39	USD	4,200.00	
	NET	0 AVG BGHT 2113.65	SOLD 2097.15				-16,917.50	0.00
07SEP17	S	1	PD07DEC17	2092.00	2126.71	USD	-867.75	
07SEP17	S	4	PD07DEC17	2091.50	2126.71	USD	-3,521.00	
07SEP17	S	4	PD07DEC17	2091.00	2126.71	USD	-3,571.00	
07SEP17	S	6	PD07DEC17	2093.00	2126.71	USD	-5,056.50	
07SEP17	S	5	PD07DEC17	2092.50	2126.71	USD	-4,276.25	
07SEP17	S	1	PD07DEC17	2091.50	2126.71	USD	-880.25	
07SEP17	S	2	PD07DEC17	2107.00	2126.71	USD	-985.50	
07SEP17	S	7	PD07DEC17	2106.50	2126.71	USD	-3,536.75	
07SEP17	S	9	PD07DEC17	2106.00	2126.71	USD	-4,659.75	
07SEP17	S	2	PD07DEC17	2111.00	2126.71	USD	-785.50	
07SEP17	S	8	PD07DEC17	2110.50	2126.71	USD	-3,242.00	
07SEP17	S	2	PD07DEC17	2109.50	2126.71	USD	-860.50	
07SEP17	S	14	PD07DEC17	2109.00	2126.71	USD	-6,198.50	
07SEP17	S	22	PD07DEC17	2108.50	2126.71	USD	-10,015.50	
11SEP17	B	7	PD07DEC17	2121.08	2126.71	USD	985.25	
12SEP17	B	79	PD07DEC17	2135.65	2126.71	USD	-17,656.50	
14SEP17	B	1	PD07DEC17	2096.13	2126.71	USD	764.50	
	NET	0 AVG BGHT 2134.02	SOLD 2104.43				-64,363.50	0.00
08SEP17	B	10	PD08DEC17	2119.50	2127.02	USD	1,880.00	
08SEP17	S	1	PD08DEC17	2111.00	2127.02	USD	-400.50	
08SEP17	S	4	PD08DEC17	2110.00	2127.02	USD	-1,702.00	
08SEP17	S	3	PD08DEC17	2109.00	2127.02	USD	-1,351.50	
08SEP17	S	2	PD08DEC17	2108.50	2127.02	USD	-926.00	
08SEP17	S	1	PD08DEC17	2100.00	2127.02	USD	-675.50	
08SEP17	B	1	PD08DEC17	2095.00	2127.02	USD	800.50	
08SEP17	B	1	PD08DEC17	2094.50	2127.02	USD	813.00	
14SEP17	S	1	PD08DEC17	2096.20	2127.02	USD	-770.50	
	NET	0 AVG BGHT 2115.38	SOLD 2107.60				-2,332.50	0.00

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11SEP17	B	1	PD11DEC17	2103.00	2127.97	USD	624.25	
11SEP17	B	1	PD11DEC17	2123.50	2127.97	USD	111.75	
11SEP17	B	1	PD11DEC17	2124.50	2127.97	USD	86.75	
11SEP17	B	4	PD11DEC17	2116.50	2127.97	USD	1,147.00	
11SEP17	B	1	PD11DEC17	2117.50	2127.97	USD	261.75	
11SEP17	B	6	PD11DEC17	2117.00	2127.97	USD	1,645.50	
11SEP17	B	1	PD11DEC17	2115.50	2127.97	USD	311.75	
11SEP17	B	1	PD11DEC17	2120.50	2127.97	USD	186.75	
11SEP17	B	1	PD11DEC17	2118.00	2127.97	USD	249.25	
11SEP17	B	1	PD11DEC17	2119.50	2127.97	USD	211.75	
11SEP17	S	1	PD11DEC17	2127.00	2127.97	USD	-24.25	
11SEP17	B	7	PD11DEC17	2118.50	2127.97	USD	1,657.25	
14SEP17	S	12	PD11DEC17	2097.20	2127.97	USD	-9,231.00	
19SEP17	S	12	PD11DEC17	2122.71	2127.97	USD	-1,578.00	
	NET	0AVG BGHT 2117.58	SOLD 2110.64				-4,339.50	0.00
12SEP17	S	1	PD12DEC17	2119.50	2128.29	USD	-219.75	
12SEP17	S	1	PD12DEC17	2118.00	2128.29	USD	-257.25	
12SEP17	S	10	PD12DEC17	2115.00	2128.29	USD	-3,322.50	
12SEP17	B	3	PD12DEC17	2115.50	2128.29	USD	959.25	
12SEP17	B	7	PD12DEC17	2116.00	2128.29	USD	2,150.75	
12SEP17	B	1	PD12DEC17	2121.00	2128.29	USD	182.25	
12SEP17	S	1	PD12DEC17	2140.00	2128.29	USD	292.75	
19SEP17	B	2	PD12DEC17	2123.19	2128.29	USD	255.00	
	NET	0AVG BGHT 2117.38	SOLD 2117.50				40.50	0.00
13SEP17	S	1	PD13DEC17	2134.50	2128.60	USD	147.50	
13SEP17	S	1	PD13DEC17	2134.00	2128.60	USD	135.00	
13SEP17	S	1	PD13DEC17	2133.50	2128.60	USD	122.50	
13SEP17	S	3	PD13DEC17	2132.00	2128.60	USD	255.00	
13SEP17	S	5	PD13DEC17	2131.50	2128.60	USD	362.50	
13SEP17	S	9	PD13DEC17	2128.00	2128.60	USD	-135.00	
13SEP17	S	2	PD13DEC17	2128.00	2128.60	USD	-30.00	
13SEP17	B	3	PD13DEC17	2116.00	2128.60	USD	945.00	
13SEP17	B	7	PD13DEC17	2117.00	2128.60	USD	2,030.00	
13SEP17	S	3	PD13DEC17	2114.00	2128.60	USD	-1,095.00	
13SEP17	S	3	PD13DEC17	2111.00	2128.60	USD	-1,320.00	
13SEP17	S	4	PD13DEC17	2111.50	2128.60	USD	-1,710.00	
13SEP17	B	6	PD13DEC17	2112.00	2128.60	USD	2,490.00	
13SEP17	B	4	PD13DEC17	2111.00	2128.60	USD	1,760.00	
14SEP17	B	12	PD13DEC17	2097.93	2128.60	USD	9,201.00	
	NET	0AVG BGHT 2108.07	SOLD 2124.52				13,158.50	0.00
14SEP17	B	1	PD14DEC17	2120.00	2128.92	USD	223.00	
14SEP17	B	7	PD14DEC17	2099.50	2128.92	USD	5,148.50	
14SEP17	B	1	PD14DEC17	2100.50	2128.92	USD	710.50	
14SEP17	B	6	PD14DEC17	2101.00	2128.92	USD	4,188.00	
14SEP17	B	15	PD14DEC17	2100.00	2128.92	USD	10,845.00	
14SEP17	B	1	PD14DEC17	2096.00	2128.92	USD	823.00	
14SEP17	B	1	PD14DEC17	2095.00	2128.92	USD	848.00	
14SEP17	B	2	PD14DEC17	2094.00	2128.92	USD	1,746.00	
14SEP17	B	1	PD14DEC17	2099.50	2128.92	USD	735.50	
14SEP17	B	3	PD14DEC17	2097.50	2128.92	USD	2,356.50	
14SEP17	B	3	PD14DEC17	2098.50	2128.92	USD	2,281.50	
19SEP17	S	31	PD14DEC17	2123.57	2128.92	USD	-4,146.25	
21SEP17	S	10	PD14DEC17	2169.83	2128.92	USD	10,227.50	
	NET	0AVG BGHT 2099.74	SOLD 2134.85				35,986.75	0.00
15SEP17	B	1	PD15DEC17	2104.50	2129.24	USD	618.50	
15SEP17	B	2	PD15DEC17	2105.50	2129.24	USD	1,187.00	

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SAP094AB

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	<u>LME ALUMINIUM 25 TONNES. THIS IS AN</u>							
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15SEP17	B	4	PD15DEC17	2107.50	2129.24	USD	2,174.00	
15SEP17	B	19	PD15DEC17	2107.00	2129.24	USD	10,564.00	
15SEP17	B	11	PD15DEC17	2106.50	2129.24	USD	6,253.50	
15SEP17	S	1	PD15DEC17	2105.00	2129.24	USD	-606.00	
15SEP17	S	5	PD15DEC17	2093.00	2129.24	USD	-4,530.00	
15SEP17	S	5	PD15DEC17	2092.50	2129.24	USD	-4,592.50	
15SEP17	S	28	PD15DEC17	2092.00	2129.24	USD	-26,068.00	
15SEP17	S	5	PD15DEC17	2088.00	2129.24	USD	-5,155.00	
15SEP17	S	5	PD15DEC17	2088.50	2129.24	USD	-5,092.50	
15SEP17	S	10	PD15DEC17	2087.50	2129.24	USD	-10,435.00	
15SEP17	S	4	PD15DEC17	2095.00	2129.24	USD	-3,424.00	
15SEP17	S	7	PD15DEC17	2094.00	2129.24	USD	-6,167.00	
15SEP17	S	4	PD15DEC17	2090.50	2129.24	USD	-3,874.00	
15SEP17	S	5	PD15DEC17	2090.00	2129.24	USD	-4,905.00	
15SEP17	B	1	PD15DEC17	2106.50	2129.24	USD	568.50	
15SEP17	S	1	PD15DEC17	2092.50	2129.24	USD	-918.50	
15SEP17	B	1	PD15DEC17	2073.00	2129.24	USD	1,406.00	
19SEP17	B	41	PD15DEC17	2124.05	2129.24	USD	5,319.75	
	NET	0 AVG BGHT 2115.19	SOLD 2091.36				-47,676.25	0.00
18SEP17	S	1	PD18DEC17	2091.00	2130.18	USD	-979.50	
18SEP17	S	4	PD18DEC17	2095.00	2130.18	USD	-3,518.00	
18SEP17	S	2	PD18DEC17	2092.00	2130.18	USD	-1,909.00	
18SEP17	S	5	PD18DEC17	2091.50	2130.18	USD	-4,835.00	
18SEP17	B	3	PD18DEC17	2099.00	2130.18	USD	2,338.50	
18SEP17	B	9	PD18DEC17	2101.00	2130.18	USD	6,565.50	
18SEP17	B	3	PD18DEC17	2100.00	2130.18	USD	2,263.50	
18SEP17	S	4	PD18DEC17	2094.50	2130.18	USD	-3,568.00	
18SEP17	S	2	PD18DEC17	2095.50	2130.18	USD	-1,734.00	
18SEP17	B	1	PD18DEC17	2098.50	2130.18	USD	792.00	
18SEP17	B	4	PD18DEC17	2098.00	2130.18	USD	3,218.00	
18SEP17	S	2	PD18DEC17	2093.00	2130.18	USD	-1,859.00	
18SEP17	B	8	PD18DEC17	2100.50	2130.18	USD	5,936.00	
18SEP17	B	2	PD18DEC17	2100.00	2130.18	USD	1,509.00	
18SEP17	B	2	PD18DEC17	2090.00	2130.18	USD	2,009.00	
21SEP17	S	12	PD18DEC17	2170.94	2130.18	USD	12,228.00	
	NET	0 AVG BGHT 2099.39	SOLD 2122.46				18,457.00	0.00
19SEP17	S	5	PD19DEC17	2124.50	2130.50	USD	-750.00	
19SEP17	S	1	PD19DEC17	2123.00	2130.50	USD	-187.50	
19SEP17	S	2	PD19DEC17	2123.50	2130.50	USD	-350.00	
19SEP17	B	6	PD19DEC17	2127.50	2130.50	USD	450.00	
19SEP17	B	2	PD19DEC17	2118.00	2130.50	USD	625.00	
19SEP17	B	2	PD19DEC17	2121.00	2130.50	USD	475.00	
19SEP17	S	4	PD19DEC17	2124.00	2130.50	USD	-650.00	
19SEP17	B	3	PD19DEC17	2127.00	2130.50	USD	262.50	
19SEP17	B	10	PD19DEC17	2126.00	2130.50	USD	1,125.00	
19SEP17	B	5	PD19DEC17	2126.50	2130.50	USD	500.00	
19SEP17	B	2	PD19DEC17	2125.50	2130.50	USD	250.00	
25SEP17	S	18	PD19DEC17	2147.75	2130.50	USD	7,762.50	
	NET	0 AVG BGHT 2125.58	SOLD 2138.27				9,512.50	0.00
20SEP17	B	21	PD20DEC17	2130.00	2130.50	USD	262.50	
20SEP17	S	11	PD20DEC17	2137.00	2130.50	USD	1,787.50	
20SEP17	B	1	PD20DEC17	2151.50	2130.50	USD	-525.00	
20SEP17	B	4	PD20DEC17	2152.50	2130.50	USD	-2,200.00	
20SEP17	B	1	PD20DEC17	2156.00	2130.50	USD	-637.50	
20SEP17	B	1	PD20DEC17	2163.00	2130.50	USD	-812.50	
20SEP17	B	5	PD20DEC17	2165.00	2130.50	USD	-4,312.50	

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	<u>LME ALUMINIUM 25 TONNES. THIS IS AN</u>							
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20SEP17	B	1	PD20DEC17	2166.00	2130.50	USD	-887.50	
20SEP17	B	4	PD20DEC17	2166.50	2130.50	USD	-3,600.00	
20SEP17	B	2	PD20DEC17	2157.50	2130.50	USD	-1,350.00	
20SEP17	S	2	PD20DEC17	2175.50	2130.50	USD	2,250.00	
20SEP17	B	5	PD20DEC17	2148.50	2130.50	USD	-2,250.00	
20SEP17	S	7	PD20DEC17	2180.00	2130.50	USD	8,662.50	
20SEP17	B	10	PD20DEC17	2186.50	2130.50	USD	-14,000.00	
20SEP17	B	10	PD20DEC17	2186.00	2130.50	USD	-13,875.00	
20SEP17	S	1	PD20DEC17	2177.00	2130.50	USD	1,162.50	
20SEP17	S	5	PD20DEC17	2176.50	2130.50	USD	5,750.00	
20SEP17	S	6	PD20DEC17	2176.00	2130.50	USD	6,825.00	
25SEP17	S	33	PD20DEC17	2147.50	2130.50	USD	14,025.00	
	NET	0 AVG BGHT 2157.69	SOLD 2155.40				-3,725.00	0.00
21SEP17	S	2	PD21DEC17	2176.00	2130.50	USD	2,275.00	
21SEP17	S	3	PD21DEC17	2172.50	2130.50	USD	3,150.00	
21SEP17	S	1	PD21DEC17	2173.50	2130.50	USD	1,075.00	
21SEP17	S	5	PD21DEC17	2173.00	2130.50	USD	5,312.50	
21SEP17	S	8	PD21DEC17	2170.50	2130.50	USD	8,000.00	
21SEP17	S	1	PD21DEC17	2175.00	2130.50	USD	1,112.50	
21SEP17	S	1	PD21DEC17	2161.50	2130.50	USD	775.00	
21SEP17	S	1	PD21DEC17	2161.00	2130.50	USD	762.50	
21SEP17	S	1	PD21DEC17	2177.50	2130.50	USD	1,175.00	
21SEP17	S	2	PD21DEC17	2181.50	2130.50	USD	2,550.00	
21SEP17	S	2	PD21DEC17	2180.50	2130.50	USD	2,500.00	
21SEP17	B	5	PD21DEC17	2193.50	2130.50	USD	-7,875.00	
21SEP17	S	1	PD21DEC17	2181.50	2130.50	USD	1,275.00	
21SEP17	B	4	PD21DEC17	2179.00	2130.50	USD	-4,850.00	
21SEP17	S	5	PD21DEC17	2180.00	2130.50	USD	6,187.50	
21SEP17	B	2	PD21DEC17	2175.00	2130.50	USD	-2,225.00	
21SEP17	B	2	PD21DEC17	2174.00	2130.50	USD	-2,175.00	
21SEP17	B	9	PD21DEC17	2173.50	2130.50	USD	-9,675.00	
21SEP17	S	5	PD21DEC17	2170.00	2130.50	USD	4,937.50	
21SEP17	S	22	PD21DEC17	2168.00	2130.50	USD	20,625.00	
21SEP17	S	3	PD21DEC17	2167.50	2130.50	USD	2,775.00	
21SEP17	S	5	PD21DEC17	2167.00	2130.50	USD	4,562.50	
21SEP17	S	1	PD21DEC17	2171.00	2130.50	USD	1,012.50	
21SEP17	S	1	PD21DEC17	2163.00	2130.50	USD	812.50	
21SEP17	S	4	PD21DEC17	2164.00	2130.50	USD	3,350.00	
21SEP17	S	19	PD21DEC17	2162.00	2130.50	USD	14,962.50	
21SEP17	S	5	PD21DEC17	2160.50	2130.50	USD	3,750.00	
21SEP17	S	5	PD21DEC17	2160.00	2130.50	USD	3,687.50	
21SEP17	S	2	PD21DEC17	2149.00	2130.50	USD	925.00	
21SEP17	B	22	PD21DEC17	2171.20	2130.50	USD	-22,385.00	
25SEP17	B	51	PD21DEC17	2146.70	2130.50	USD	-20,655.00	
28SEP17	B	8	PD21DEC17	2129.03	2130.50	USD	294.00	
10OCT17	B	2	PD21DEC17	2159.75	2130.50	USD	-1,462.50	
	NET	0 AVG BGHT 2157.55	SOLD 2167.66				26,541.50	0.00
22SEP17	B	1	PD22DEC17	2139.50	2130.50	USD	-225.00	
22SEP17	B	4	PD22DEC17	2138.50	2130.50	USD	-800.00	
22SEP17	B	2	PD22DEC17	2138.00	2130.50	USD	-375.00	
22SEP17	B	9	PD22DEC17	2140.00	2130.50	USD	-2,137.50	
22SEP17	B	4	PD22DEC17	2140.50	2130.50	USD	-1,000.00	
22SEP17	S	6	PD22DEC17	2144.00	2130.50	USD	2,025.00	
22SEP17	S	6	PD22DEC17	2141.00	2130.50	USD	1,575.00	
22SEP17	S	4	PD22DEC17	2141.50	2130.50	USD	1,100.00	
22SEP17	S	2	PD22DEC17	2142.50	2130.50	USD	600.00	
22SEP17	S	12	PD22DEC17	2142.00	2130.50	USD	3,450.00	

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	<u>LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.</u>					
11OCT17	B 10 PD22DEC17	2126.75	2130.50	USD	937.50	
	NET 0 AVG BGHT 2135.30 SOLD 2142.17				5,150.00	0.00
25SEP17	S 1 PD27DEC17	2152.00	2131.04	USD	524.00	
25SEP17	B 1 PD27DEC17	2153.50	2131.04	USD	-561.50	
26SEP17	B 9 PD27DEC17	2152.00	2131.04	USD	-4,716.00	
26SEP17	B 1 PD27DEC17	2152.50	2131.04	USD	-536.50	
26SEP17	B 5 PD27DEC17	2145.00	2131.04	USD	-1,745.00	
26SEP17	B 2 PD27DEC17	2143.50	2131.04	USD	-623.00	
26SEP17	B 3 PD27DEC17	2128.00	2131.04	USD	228.00	
27SEP17	S 2 PD27DEC17	2127.50	2131.04	USD	-177.00	
27SEP17	S 3 PD27DEC17	2140.50	2131.04	USD	709.50	
27SEP17	S 5 PD27DEC17	2140.00	2131.04	USD	1,120.00	
27SEP17	S 1 PD27DEC17	2138.00	2131.04	USD	174.00	
27SEP17	S 2 PD27DEC17	2140.50	2131.04	USD	473.00	
27SEP17	S 1 PD27DEC17	2127.00	2131.04	USD	-101.00	
27SEP17	B 1 PD27DEC17	2127.00	2131.04	USD	101.00	
27SEP17	B 1 PD27DEC17	2130.00	2131.04	USD	26.00	
28SEP17	S 8 PD27DEC17	2130.52	2131.04	USD	-104.00	
	NET 0 AVG BGHT 2144.65 SOLD 2135.59				-5,208.50	0.00
28SEP17	B 5 PD28DEC17	2138.00	2131.14	USD	-857.50	
28SEP17	S 1 PD28DEC17	2131.00	2131.14	USD	-3.50	
28SEP17	S 2 PD28DEC17	2130.50	2131.14	USD	-32.00	
28SEP17	S 3 PD28DEC17	2129.50	2131.14	USD	-123.00	
28SEP17	B 1 PD28DEC17	2130.50	2131.14	USD	16.00	
28SEP17	S 1 PD28DEC17	2127.50	2131.14	USD	-91.00	
28SEP17	S 1 PD28DEC17	2129.00	2131.14	USD	-53.50	
28SEP17	B 2 PD28DEC17	2131.50	2131.14	USD	-18.00	
	NET 0 AVG BGHT 2135.44 SOLD 2129.63				-1,162.50	0.00
29SEP17	B 2 PD29DEC17	2135.00	2131.25	USD	-187.50	
10OCT17	S 2 PD29DEC17	2160.30	2131.25	USD	1,452.50	
	NET 0 AVG BGHT 2135.00 SOLD 2160.30				1,265.00	0.00
09OCT17	B 2 PD09JAN18	2156.50	2133.02	USD	-1,174.00	
09OCT17	B 10 PD09JAN18	2146.00	2133.02	USD	-3,245.00	
09OCT17	B 2 PD09JAN18	2145.00	2133.02	USD	-599.00	
11OCT17	S 10 PD09JAN18	2129.42	2133.02	USD	-900.00	
	NET +4 AVG BGHT 2147.36 SOLD 2129.42				-5,918.00	0.00
11OCT17	S 1 PD11JAN18	2156.50	2133.34	USD	579.00	
11OCT17	S 1 PD11JAN18	2150.50	2133.34	USD	429.00	
11OCT17	B 2 PD11JAN18	2150.00	2133.34	USD	-833.00	
	NET 0 AVG BGHT 2150.00 SOLD 2153.50				175.00	0.00
12OCT17	B 1 PD12JAN18	2145.00	2133.50	USD	-287.50	
12OCT17	B 1 PD12JAN18	2144.00	2133.50	USD	-262.50	
12OCT17	B 5 PD12JAN18	2144.50	2133.50	USD	-1,375.00	
12OCT17	B 6 PD12JAN18	2145.00	2133.50	USD	-1,725.00	
12OCT17	B 4 PD12JAN18	2144.00	2133.50	USD	-1,050.00	
12OCT17	B 6 PD12JAN18	2146.00	2133.50	USD	-1,875.00	
12OCT17	B 3 PD12JAN18	2145.50	2133.50	USD	-900.00	
13OCT17	B 8 PD12JAN18	2150.00	2133.50	USD	-3,300.00	
13OCT17	B 2 PD12JAN18	2150.50	2133.50	USD	-850.00	
13OCT17	B 8 PD12JAN18	2155.50	2133.50	USD	-4,400.00	
13OCT17	B 2 PD12JAN18	2156.00	2133.50	USD	-1,125.00	
13OCT17	B 2 PD12JAN18	2156.50	2133.50	USD	-1,150.00	
13OCT17	B 6 PD12JAN18	2157.00	2133.50	USD	-3,525.00	
13OCT17	B 2 PD12JAN18	2157.50	2133.50	USD	-1,200.00	
13OCT17	B 3 PD12JAN18	2140.00	2133.50	USD	-487.50	
	NET +59 AVG BGHT 2149.44					0.00

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	<u>LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.</u>						-23,512.50	
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
21JUL17	S	1	PD200CT17	5987.50	6857.50	USD	-21,750.00	
21JUL17	B	1	PD200CT17	5984.50	6857.50	USD	21,825.00	
21JUL17	B	1	PD200CT17	5996.50	6857.50	USD	21,525.00	
21JUL17	B	1	PD200CT17	5998.00	6857.50	USD	21,487.50	
21JUL17	S	1	PD200CT17	6002.50	6857.50	USD	-21,375.00	
21JUL17	S	1	PD200CT17	6008.00	6857.50	USD	-21,237.50	
21JUL17	S	1	PD200CT17	6013.00	6857.50	USD	-21,112.50	
21JUL17	B	1	PD200CT17	5985.00	6857.50	USD	21,812.50	
21JUL17	S	1	PD200CT17	6000.00	6857.50	USD	-21,437.50	
03AUG17	B	1	PD200CT17	6347.00	6857.50	USD	12,762.50	
	NET	0 AVG BGHT 6062.20	SOLD 6002.20				-7,500.00	0.00
24JUL17	S	1	PD240CT17	5996.00	6857.50	USD	-21,537.50	
24JUL17	S	1	PD240CT17	5996.50	6857.50	USD	-21,525.00	
24JUL17	S	1	PD240CT17	5997.50	6857.50	USD	-21,500.00	
24JUL17	S	1	PD240CT17	6019.50	6857.50	USD	-20,950.00	
24JUL17	S	1	PD240CT17	6026.50	6857.50	USD	-20,775.00	
24JUL17	S	1	PD240CT17	6023.50	6857.50	USD	-20,850.00	
24JUL17	S	2	PD240CT17	6025.00	6857.50	USD	-41,625.00	
24JUL17	S	7	PD240CT17	6025.50	6857.50	USD	-145,600.00	
24JUL17	S	3	PD240CT17	6026.00	6857.50	USD	-62,362.50	
24JUL17	S	3	PD240CT17	6027.00	6857.50	USD	-62,287.50	
24JUL17	S	1	PD240CT17	6026.50	6857.50	USD	-20,775.00	
24JUL17	S	1	PD240CT17	6025.50	6857.50	USD	-20,800.00	
03AUG17	B	23	PD240CT17	6348.71	6857.50	USD	292,554.25	
	NET	0 AVG BGHT 6348.71	SOLD 6021.70				-188,033.25	0.00
25JUL17	S	1	PD250CT17	6091.50	6857.50	USD	-19,150.00	
25JUL17	B	1	PD250CT17	6101.00	6857.50	USD	18,912.50	
25JUL17	S	1	PD250CT17	6174.50	6857.50	USD	-17,075.00	
25JUL17	B	1	PD250CT17	6181.00	6857.50	USD	16,912.50	
25JUL17	B	1	PD250CT17	6190.50	6857.50	USD	16,675.00	
25JUL17	S	1	PD250CT17	6221.50	6857.50	USD	-15,900.00	
25JUL17	S	1	PD250CT17	6227.50	6857.50	USD	-15,750.00	
25JUL17	S	2	PD250CT17	6229.00	6857.50	USD	-31,425.00	
25JUL17	S	3	PD250CT17	6230.50	6857.50	USD	-47,025.00	
25JUL17	S	1	PD250CT17	6231.00	6857.50	USD	-15,662.50	
25JUL17	S	1	PD250CT17	6210.00	6857.50	USD	-16,187.50	
25JUL17	S	2	PD250CT17	6209.00	6857.50	USD	-32,425.00	
25JUL17	S	2	PD250CT17	6211.50	6857.50	USD	-32,300.00	
25JUL17	S	3	PD250CT17	6210.50	6857.50	USD	-48,525.00	
25JUL17	S	1	PD250CT17	6211.00	6857.50	USD	-16,162.50	
25JUL17	S	2	PD250CT17	6212.50	6857.50	USD	-32,250.00	
25JUL17	S	1	PD250CT17	6175.00	6857.50	USD	-17,062.50	
25JUL17	S	1	PD250CT17	6209.50	6857.50	USD	-16,200.00	
03AUG17	B	20	PD250CT17	6349.14	6857.50	USD	254,180.00	
	NET	0 AVG BGHT 6324.14	SOLD 6208.63				-66,420.00	0.00
26JUL17	S	1	PD260CT17	6262.50	6857.50	USD	-14,875.00	
26JUL17	S	5	PD260CT17	6243.00	6857.50	USD	-76,812.50	
26JUL17	S	5	PD260CT17	6238.00	6857.50	USD	-77,437.50	
26JUL17	S	6	PD260CT17	6235.00	6857.50	USD	-93,375.00	
26JUL17	S	1	PD260CT17	6236.50	6857.50	USD	-15,525.00	
26JUL17	S	1	PD260CT17	6251.50	6857.50	USD	-15,150.00	
26JUL17	S	1	PD260CT17	6275.00	6857.50	USD	-14,562.50	
26JUL17	S	1	PD260CT17	6276.00	6857.50	USD	-14,537.50	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
26JUL17	S	2	PD260CT17	6278.00	6857.50	USD	-28,975.00	
26JUL17	S	2	PD260CT17	6277.00	6857.50	USD	-29,025.00	
26JUL17	S	5	PD260CT17	6287.50	6857.50	USD	-71,250.00	
26JUL17	S	1	PD260CT17	6391.00	6857.50	USD	-11,662.50	
26JUL17	S	1	PD260CT17	6385.50	6857.50	USD	-11,800.00	
26JUL17	S	4	PD260CT17	6385.00	6857.50	USD	-47,250.00	
26JUL17	S	1	PD260CT17	6388.00	6857.50	USD	-11,737.50	
26JUL17	S	6	PD260CT17	6375.00	6857.50	USD	-72,375.00	
26JUL17	S	1	PD260CT17	6347.00	6857.50	USD	-12,762.50	
26JUL17	S	1	PD260CT17	6349.50	6857.50	USD	-12,700.00	
26JUL17	S	1	PD260CT17	6351.50	6857.50	USD	-12,650.00	
26JUL17	S	1	PD260CT17	6326.00	6857.50	USD	-13,287.50	
26JUL17	S	1	PD260CT17	6323.50	6857.50	USD	-13,350.00	
26JUL17	S	2	PD260CT17	6325.00	6857.50	USD	-26,625.00	
26JUL17	S	1	PD260CT17	6326.50	6857.50	USD	-13,275.00	
26JUL17	S	1	PD260CT17	6323.00	6857.50	USD	-13,362.50	
26JUL17	S	2	PD260CT17	6322.50	6857.50	USD	-26,750.00	
26JUL17	S	1	PD260CT17	6321.50	6857.50	USD	-13,400.00	
26JUL17	B	1	PD260CT17	6293.00	6857.50	USD	14,112.50	
26JUL17	S	1	PD260CT17	6297.00	6857.50	USD	-14,012.50	
26JUL17	B	2	PD260CT17	6296.50	6857.50	USD	28,050.00	
26JUL17	B	2	PD260CT17	6297.00	6857.50	USD	28,025.00	
26JUL17	B	1	PD260CT17	6298.50	6857.50	USD	13,975.00	
26JUL17	B	6	PD260CT17	6302.00	6857.50	USD	83,325.00	
26JUL17	B	3	PD260CT17	6303.50	6857.50	USD	41,550.00	
26JUL17	B	2	PD260CT17	6312.50	6857.50	USD	27,250.00	
26JUL17	B	2	PD260CT17	6317.00	6857.50	USD	27,025.00	
26JUL17	B	2	PD260CT17	6316.00	6857.50	USD	27,075.00	
26JUL17	B	2	PD260CT17	6314.50	6857.50	USD	27,150.00	
26JUL17	B	2	PD260CT17	6315.50	6857.50	USD	27,100.00	
26JUL17	B	1	PD260CT17	6297.00	6857.50	USD	14,012.50	
03AUG17	B	29	PD260CT17	6349.57	6857.50	USD	368,249.25	
22AUG17	B	1	PD260CT17	6571.50	6857.50	USD	7,150.00	
	NET	0AVG BGHT 6333.18	SOLD 6301.41				-44,475.75	0.00
27JUL17	B	3	PD270CT17	6360.00	6857.50	USD	37,312.50	
27JUL17	B	3	PD270CT17	6360.50	6857.50	USD	37,275.00	
27JUL17	B	2	PD270CT17	6358.00	6857.50	USD	24,975.00	
27JUL17	B	3	PD270CT17	6353.00	6857.50	USD	37,837.50	
27JUL17	B	3	PD270CT17	6350.50	6857.50	USD	38,025.00	
27JUL17	B	1	PD270CT17	6358.00	6857.50	USD	12,487.50	
03AUG17	S	15	PD270CT17	6349.80	6857.50	USD	-190,387.50	
	NET	0AVG BGHT 6356.40	SOLD 6349.80				-2,475.00	0.00
31JUL17	B	4	PD310CT17	6386.50	6858.27	USD	47,177.00	
31JUL17	B	5	PD310CT17	6383.50	6858.27	USD	59,346.25	
31JUL17	S	5	PD310CT17	6346.00	6858.27	USD	-64,033.75	
31JUL17	B	4	PD310CT17	6383.00	6858.27	USD	47,527.00	
31JUL17	B	1	PD310CT17	6384.50	6858.27	USD	11,844.25	
31JUL17	S	5	PD310CT17	6347.00	6858.27	USD	-63,908.75	
31JUL17	B	4	PD310CT17	6385.00	6858.27	USD	47,327.00	
31JUL17	S	5	PD310CT17	6345.00	6858.27	USD	-64,158.75	
31JUL17	B	2	PD310CT17	6402.00	6858.27	USD	22,813.50	
31JUL17	B	3	PD310CT17	6401.00	6858.27	USD	34,295.25	
31JUL17	B	1	PD310CT17	6403.50	6858.27	USD	11,369.25	
31JUL17	B	2	PD310CT17	6418.50	6858.27	USD	21,988.50	
31JUL17	B	1	PD310CT17	6419.00	6858.27	USD	10,981.75	
31JUL17	B	1	PD310CT17	6419.50	6858.27	USD	10,969.25	
31JUL17	B	5	PD310CT17	6418.00	6858.27	USD	55,033.75	

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31JUL17	B	2	PD31OCT17	6417.00	6858.27	USD	22,063.50	
31JUL17	B	2	PD31OCT17	6406.00	6858.27	USD	22,613.50	
31JUL17	S	1	PD31OCT17	6408.50	6858.27	USD	-11,244.25	
31JUL17	S	1	PD31OCT17	6407.00	6858.27	USD	-11,281.75	
31JUL17	B	1	PD31OCT17	6386.50	6858.27	USD	11,794.25	
31JUL17	B	1	PD31OCT17	6383.00	6858.27	USD	11,881.75	
31JUL17	B	1	PD31OCT17	6382.00	6858.27	USD	11,906.75	
31JUL17	B	1	PD31OCT17	6389.00	6858.27	USD	11,731.75	
31JUL17	B	8	PD31OCT17	6381.50	6858.27	USD	95,354.00	
31JUL17	B	1	PD31OCT17	6374.00	6858.27	USD	12,106.75	
31JUL17	B	1	PD31OCT17	6373.50	6858.27	USD	12,119.25	
31JUL17	B	3	PD31OCT17	6376.50	6858.27	USD	36,132.75	
31JUL17	S	1	PD31OCT17	6373.00	6858.27	USD	-12,131.75	
31JUL17	S	1	PD31OCT17	6373.50	6858.27	USD	-12,119.25	
31JUL17	S	1	PD31OCT17	6369.50	6858.27	USD	-12,219.25	
31JUL17	S	1	PD31OCT17	6375.00	6858.27	USD	-12,081.75	
31JUL17	B	1	PD31OCT17	6377.00	6858.27	USD	12,031.75	
31JUL17	B	4	PD31OCT17	6376.00	6858.27	USD	48,227.00	
31JUL17	B	5	PD31OCT17	6347.50	6858.27	USD	63,846.25	
31JUL17	S	5	PD31OCT17	6371.50	6858.27	USD	-60,846.25	
31JUL17	B	5	PD31OCT17	6365.50	6858.27	USD	61,596.25	
03AUG17	S	43	PD31OCT17	6350.94	6858.27	USD	-545,379.75	
	NET	0 AVG BGHT 6386.34	SOLD 6354.27				-55,327.00	0.00
01AUG17	B	3	PD01NOV17	6342.00	6859.05	USD	38,778.75	
01AUG17	B	1	PD01NOV17	6341.50	6859.05	USD	12,938.75	
01AUG17	S	2	PD01NOV17	6341.50	6859.05	USD	-25,877.50	
01AUG17	S	1	PD01NOV17	6344.00	6859.05	USD	-12,876.25	
01AUG17	S	1	PD01NOV17	6342.50	6859.05	USD	-12,913.75	
	NET	0 AVG BGHT 6341.88	SOLD 6342.38				50.00	0.00
02AUG17	B	5	PD02NOV17	6343.50	6859.82	USD	64,540.00	
02AUG17	B	5	PD02NOV17	6346.50	6859.82	USD	64,165.00	
02AUG17	B	5	PD02NOV17	6353.00	6859.82	USD	63,352.50	
02AUG17	S	2	PD02NOV17	6315.00	6859.82	USD	-27,241.00	
02AUG17	B	2	PD02NOV17	6326.50	6859.82	USD	26,666.00	
03AUG17	S	15	PD02NOV17	6351.51	6859.82	USD	-190,616.25	
	NET	0 AVG BGHT 6345.18	SOLD 6347.21				866.25	0.00
03AUG17	B	1	PD03NOV17	6362.50	6860.59	USD	12,452.25	
03AUG17	B	1	PD03NOV17	6363.00	6860.59	USD	12,439.75	
03AUG17	B	1	PD03NOV17	6361.50	6860.59	USD	12,477.25	
03AUG17	B	1	PD03NOV17	6357.50	6860.59	USD	12,577.25	
03AUG17	B	1	PD03NOV17	6356.50	6860.59	USD	12,602.25	
04AUG17	B	2	PD03NOV17	6350.50	6860.59	USD	25,504.50	
04AUG17	B	1	PD03NOV17	6350.00	6860.59	USD	12,764.75	
04AUG17	B	1	PD03NOV17	6357.00	6860.59	USD	12,589.75	
04AUG17	B	1	PD03NOV17	6355.50	6860.59	USD	12,627.25	
22AUG17	S	10	PD03NOV17	6575.65	6860.59	USD	-71,235.00	
	NET	0 AVG BGHT 6356.45	SOLD 6575.65				54,800.00	0.00
08AUG17	S	1	PD08NOV17	6408.50	6864.45	USD	-11,398.75	
08AUG17	B	1	PD08NOV17	6398.50	6864.45	USD	11,648.75	
	NET	0 AVG BGHT 6398.50	SOLD 6408.50				250.00	0.00
10AUG17	S	8	PD10NOV17	6417.50	6866.00	USD	-89,700.00	
10AUG17	S	1	PD10NOV17	6416.50	6866.00	USD	-11,237.50	
11AUG17	S	2	PD10NOV17	6353.50	6866.00	USD	-25,625.00	
11AUG17	S	1	PD10NOV17	6353.00	6866.00	USD	-12,825.00	
11AUG17	S	3	PD10NOV17	6343.00	6866.00	USD	-39,225.00	
11AUG17	S	2	PD10NOV17	6349.00	6866.00	USD	-25,850.00	

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11AUG17	S	1	PD10NOV17	6350.00	6866.00	USD	-12,900.00	
11AUG17	S	1	PD10NOV17	6349.50	6866.00	USD	-12,912.50	
11AUG17	S	1	PD10NOV17	6420.50	6866.00	USD	-11,137.50	
11AUG17	S	1	PD10NOV17	6411.50	6866.00	USD	-11,362.50	
11AUG17	S	2	PD10NOV17	6415.00	6866.00	USD	-22,550.00	
11AUG17	S	4	PD10NOV17	6423.00	6866.00	USD	-44,300.00	
11AUG17	S	1	PD10NOV17	6424.50	6866.00	USD	-11,037.50	
11AUG17	S	1	PD10NOV17	6425.50	6866.00	USD	-11,012.50	
22AUG17	B	10	PD10NOV17	6579.45	6866.00	USD	71,637.50	
22AUG17	B	19	PD10NOV17	6579.25	6866.00	USD	136,206.25	
	NET	0AVG BGHT 6579.32	SOLD 6394.72				-133,831.25	0.00
14AUG17	S	10	PD14NOV17	6418.50	6864.67	USD	-111,542.50	
14AUG17	S	5	PD14NOV17	6412.50	6864.67	USD	-56,521.25	
14AUG17	B	5	PD14NOV17	6395.00	6864.67	USD	58,708.75	
14AUG17	S	4	PD14NOV17	6405.00	6864.67	USD	-45,967.00	
14AUG17	S	1	PD14NOV17	6411.00	6864.67	USD	-11,341.75	
22AUG17	B	15	PD14NOV17	6580.75	6864.67	USD	106,470.00	
	NET	0AVG BGHT 6534.31	SOLD 6413.93				-60,193.75	0.00
15AUG17	B	1	PD15NOV17	6416.50	6864.00	USD	11,187.50	
15AUG17	B	2	PD15NOV17	6416.00	6864.00	USD	22,400.00	
15AUG17	B	3	PD15NOV17	6420.00	6864.00	USD	33,300.00	
15AUG17	B	1	PD15NOV17	6419.50	6864.00	USD	11,112.50	
15AUG17	B	1	PD15NOV17	6414.00	6864.00	USD	11,250.00	
22AUG17	S	8	PD15NOV17	6580.55	6864.00	USD	-56,690.00	
	NET	0AVG BGHT 6417.75	SOLD 6580.55				32,560.00	0.00
16AUG17	B	1	PD16NOV17	6390.00	6863.00	USD	11,825.00	
16AUG17	S	1	PD16NOV17	6485.50	6863.00	USD	-9,437.50	
16AUG17	S	1	PD16NOV17	6487.50	6863.00	USD	-9,387.50	
16AUG17	S	1	PD16NOV17	6490.00	6863.00	USD	-9,325.00	
16AUG17	B	1	PD16NOV17	6489.00	6863.00	USD	9,350.00	
16AUG17	B	1	PD16NOV17	6494.50	6863.00	USD	9,212.50	
16AUG17	S	1	PD16NOV17	6504.50	6863.00	USD	-8,962.50	
16AUG17	B	1	PD16NOV17	6509.50	6863.00	USD	8,837.50	
16AUG17	B	1	PD16NOV17	6524.50	6863.00	USD	8,462.50	
16AUG17	B	1	PD16NOV17	6526.50	6863.00	USD	8,412.50	
16AUG17	B	2	PD16NOV17	6521.00	6863.00	USD	17,100.00	
16AUG17	S	1	PD16NOV17	6527.00	6863.00	USD	-8,400.00	
16AUG17	S	2	PD16NOV17	6524.00	6863.00	USD	-16,950.00	
16AUG17	S	5	PD16NOV17	6536.00	6863.00	USD	-40,875.00	
16AUG17	B	5	PD16NOV17	6543.50	6863.00	USD	39,937.50	
16AUG17	B	1	PD16NOV17	6530.00	6863.00	USD	8,325.00	
16AUG17	S	1	PD16NOV17	6537.50	6863.00	USD	-8,137.50	
22AUG17	S	1	PD16NOV17	6578.55	6863.00	USD	-7,111.25	
	NET	0AVG BGHT 6515.96	SOLD 6524.18				2,876.25	0.00
17AUG17	B	1	PD17NOV17	6555.50	6863.47	USD	7,699.25	
17AUG17	B	5	PD17NOV17	6580.00	6863.47	USD	35,433.75	
17AUG17	S	1	PD17NOV17	6545.00	6863.47	USD	-7,961.75	
17AUG17	S	1	PD17NOV17	6545.50	6863.47	USD	-7,949.25	
17AUG17	S	1	PD17NOV17	6546.00	6863.47	USD	-7,936.75	
17AUG17	S	1	PD17NOV17	6534.50	6863.47	USD	-8,224.25	
17AUG17	S	1	PD17NOV17	6532.00	6863.47	USD	-8,286.75	
17AUG17	S	2	PD17NOV17	6528.50	6863.47	USD	-16,748.50	
17AUG17	B	1	PD17NOV17	6542.50	6863.47	USD	8,024.25	
17AUG17	B	1	PD17NOV17	6541.50	6863.47	USD	8,049.25	
17AUG17	B	1	PD17NOV17	6543.00	6863.47	USD	8,011.75	
17AUG17	B	1	PD17NOV17	6547.50	6863.47	USD	7,899.25	

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17AUG17	S	4	PD17NOV17	6545.00	6863.47	USD	-31,847.00	
17AUG17	B	1	PD17NOV17	6547.00	6863.47	USD	7,911.75	
17AUG17	B	1	PD17NOV17	6510.00	6863.47	USD	8,836.75	
17AUG17	B	5	PD17NOV17	6515.50	6863.47	USD	43,496.25	
17AUG17	B	5	PD17NOV17	6506.50	6863.47	USD	44,621.25	
17AUG17	B	1	PD17NOV17	6492.00	6863.47	USD	9,286.75	
17AUG17	B	1	PD17NOV17	6495.00	6863.47	USD	9,211.75	
17AUG17	B	2	PD17NOV17	6494.50	6863.47	USD	18,448.50	
17AUG17	B	2	PD17NOV17	6498.50	6863.47	USD	18,248.50	
17AUG17	B	1	PD17NOV17	6483.50	6863.47	USD	9,499.25	
18AUG17	S	1	PD17NOV17	6462.00	6863.47	USD	-10,036.75	
18AUG17	B	2	PD17NOV17	6529.00	6863.47	USD	16,723.50	
18AUG17	B	2	PD17NOV17	6516.00	6863.47	USD	17,373.50	
18AUG17	B	2	PD17NOV17	6513.00	6863.47	USD	17,523.50	
18AUG17	B	2	PD17NOV17	6512.50	6863.47	USD	17,548.50	
18AUG17	B	1	PD17NOV17	6504.50	6863.47	USD	8,974.25	
18AUG17	B	1	PD17NOV17	6475.00	6863.47	USD	9,711.75	
18AUG17	B	1	PD17NOV17	6490.50	6863.47	USD	9,324.25	
22AUG17	S	26	PD17NOV17	6578.76	6863.47	USD	-185,061.50	
11SEP17	S	2	PD17NOV17	6736.93	6863.47	USD	-6,327.00	
	NET	0	AVG BGHT 6521.61	SOLD 6573.09			51,478.00	0.00
21AUG17	B	3	PD21NOV17	6491.50	6865.33	USD	28,037.25	
21AUG17	B	3	PD21NOV17	6530.00	6865.33	USD	25,149.75	
21AUG17	B	3	PD21NOV17	6565.00	6865.33	USD	22,524.75	
21AUG17	B	3	PD21NOV17	6559.00	6865.33	USD	22,974.75	
21AUG17	B	2	PD21NOV17	6557.50	6865.33	USD	15,391.50	
21AUG17	B	3	PD21NOV17	6577.00	6865.33	USD	21,624.75	
21AUG17	B	2	PD21NOV17	6578.50	6865.33	USD	14,341.50	
21AUG17	B	1	PD21NOV17	6579.50	6865.33	USD	7,145.75	
21AUG17	B	1	PD21NOV17	6585.00	6865.33	USD	7,008.25	
21AUG17	B	2	PD21NOV17	6584.50	6865.33	USD	14,041.50	
21AUG17	B	2	PD21NOV17	6564.50	6865.33	USD	15,041.50	
21AUG17	B	1	PD21NOV17	6558.00	6865.33	USD	7,683.25	
21AUG17	B	2	PD21NOV17	6563.50	6865.33	USD	15,091.50	
21AUG17	B	2	PD21NOV17	6564.00	6865.33	USD	15,066.50	
21AUG17	B	8	PD21NOV17	6560.00	6865.33	USD	61,066.00	
21AUG17	B	1	PD21NOV17	6574.50	6865.33	USD	7,270.75	
21AUG17	B	2	PD21NOV17	6589.00	6865.33	USD	13,816.50	
21AUG17	B	2	PD21NOV17	6590.00	6865.33	USD	13,766.50	
21AUG17	B	1	PD21NOV17	6591.00	6865.33	USD	6,858.25	
21AUG17	B	1	PD21NOV17	6590.50	6865.33	USD	6,870.75	
21AUG17	B	1	PD21NOV17	6566.00	6865.33	USD	7,483.25	
21AUG17	B	3	PD21NOV17	6599.50	6865.33	USD	19,937.25	
21AUG17	B	3	PD21NOV17	6600.00	6865.33	USD	19,899.75	
21AUG17	B	3	PD21NOV17	6600.50	6865.33	USD	19,862.25	
21AUG17	B	3	PD21NOV17	6619.50	6865.33	USD	18,437.25	
21AUG17	B	6	PD21NOV17	6620.00	6865.33	USD	36,799.50	
21AUG17	B	1	PD21NOV17	6621.00	6865.33	USD	6,108.25	
21AUG17	B	2	PD21NOV17	6622.00	6865.33	USD	12,166.50	
11SEP17	S	67	PD21NOV17	6738.67	6865.33	USD	-212,155.50	
	NET	0	AVG BGHT 6577.89	SOLD 6738.67			269,309.75	0.00
22AUG17	S	1	PD22NOV17	6552.00	6865.80	USD	-7,845.00	
22AUG17	S	3	PD22NOV17	6576.00	6865.80	USD	-21,735.00	
22AUG17	B	1	PD22NOV17	6608.50	6865.80	USD	6,432.50	
22AUG17	B	3	PD22NOV17	6639.50	6865.80	USD	16,972.50	
22AUG17	S	1	PD22NOV17	6597.00	6865.80	USD	-6,720.00	
22AUG17	B	1	PD22NOV17	6582.50	6865.80	USD	7,082.50	

OPEN POSITION STATEMENT



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SAP094AB

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Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

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22AUG17	S	1	PD22NOV17	6593.50	6865.80	USD	-6,807.50	
22AUG17	B	1	PD22NOV17	6589.50	6865.80	USD	6,907.50	
22AUG17	B	1	PD22NOV17	6584.00	6865.80	USD	7,045.00	
22AUG17	S	1	PD22NOV17	6555.00	6865.80	USD	-7,770.00	
	NET	0 AVG BGHT 6611.86	SOLD 6575.07				-6,437.50	0.00
23AUG17	S	3	PD24NOV17	6575.50	6866.73	USD	-21,842.25	
23AUG17	B	1	PD24NOV17	6553.00	6866.73	USD	7,843.25	
23AUG17	B	1	PD24NOV17	6575.00	6866.73	USD	7,293.25	
24AUG17	S	1	PD24NOV17	6615.50	6866.73	USD	-6,280.75	
24AUG17	S	1	PD24NOV17	6610.00	6866.73	USD	-6,418.25	
24AUG17	S	1	PD24NOV17	6617.50	6866.73	USD	-6,230.75	
24AUG17	S	6	PD24NOV17	6627.50	6866.73	USD	-35,884.50	
24AUG17	S	3	PD24NOV17	6628.00	6866.73	USD	-17,904.75	
24AUG17	S	3	PD24NOV17	6632.00	6866.73	USD	-17,604.75	
24AUG17	S	2	PD24NOV17	6635.00	6866.73	USD	-11,586.50	
24AUG17	S	1	PD24NOV17	6633.00	6866.73	USD	-5,843.25	
24AUG17	S	3	PD24NOV17	6630.50	6866.73	USD	-17,717.25	
24AUG17	S	3	PD24NOV17	6631.00	6866.73	USD	-17,679.75	
24AUG17	S	4	PD24NOV17	6630.00	6866.73	USD	-23,673.00	
24AUG17	S	1	PD24NOV17	6631.50	6866.73	USD	-5,880.75	
24AUG17	B	1	PD24NOV17	6660.00	6866.73	USD	5,168.25	
24AUG17	S	1	PD24NOV17	6670.50	6866.73	USD	-4,905.75	
24AUG17	S	3	PD24NOV17	6700.00	6866.73	USD	-12,504.75	
24AUG17	S	3	PD24NOV17	6709.00	6866.73	USD	-11,829.75	
24AUG17	S	3	PD24NOV17	6710.50	6866.73	USD	-11,717.25	
24AUG17	S	3	PD24NOV17	6710.00	6866.73	USD	-11,754.75	
24AUG17	S	1	PD24NOV17	6712.50	6866.73	USD	-3,855.75	
24AUG17	S	2	PD24NOV17	6709.50	6866.73	USD	-7,861.50	
24AUG17	S	3	PD24NOV17	6704.00	6866.73	USD	-12,204.75	
24AUG17	S	2	PD24NOV17	6704.50	6866.73	USD	-8,111.50	
25AUG17	S	3	PD24NOV17	6698.50	6866.73	USD	-12,617.25	
25AUG17	S	1	PD24NOV17	6704.00	6866.73	USD	-4,068.25	
25AUG17	S	3	PD24NOV17	6703.00	6866.73	USD	-12,279.75	
25AUG17	S	1	PD24NOV17	6702.00	6866.73	USD	-4,118.25	
25AUG17	S	3	PD24NOV17	6724.00	6866.73	USD	-10,704.75	
25AUG17	S	2	PD24NOV17	6723.00	6866.73	USD	-7,186.50	
25AUG17	S	3	PD24NOV17	6722.50	6866.73	USD	-10,817.25	
25AUG17	S	1	PD24NOV17	6738.50	6866.73	USD	-3,205.75	
25AUG17	S	1	PD24NOV17	6730.00	6866.73	USD	-3,418.25	
25AUG17	S	1	PD24NOV17	6728.50	6866.73	USD	-3,455.75	
25AUG17	B	3	PD24NOV17	6706.00	6866.73	USD	12,054.75	
25AUG17	S	1	PD24NOV17	6695.00	6866.73	USD	-4,293.25	
25AUG17	S	1	PD24NOV17	6687.00	6866.73	USD	-4,493.25	
25AUG17	S	1	PD24NOV17	6685.00	6866.73	USD	-4,543.25	
25AUG17	B	1	PD24NOV17	6705.00	6866.73	USD	4,043.25	
25AUG17	B	3	PD24NOV17	6707.00	6866.73	USD	11,979.75	
25AUG17	B	3	PD24NOV17	6702.50	6866.73	USD	12,317.25	
25AUG17	B	3	PD24NOV17	6692.00	6866.73	USD	13,104.75	
25AUG17	B	8	PD24NOV17	6693.00	6866.73	USD	34,746.00	
25AUG17	B	1	PD24NOV17	6695.00	6866.73	USD	4,293.25	
25AUG17	S	1	PD24NOV17	6670.00	6866.73	USD	-4,918.25	
25AUG17	S	3	PD24NOV17	6676.50	6866.73	USD	-14,267.25	
25AUG17	S	1	PD24NOV17	6697.00	6866.73	USD	-4,243.25	
25AUG17	S	2	PD24NOV17	6696.50	6866.73	USD	-8,511.50	
25AUG17	S	1	PD24NOV17	6693.00	6866.73	USD	-4,343.25	
25AUG17	B	1	PD24NOV17	6693.00	6866.73	USD	4,343.25	
11SEP17	B	57	PD24NOV17	6740.17	6866.73	USD	180,348.00	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
	NET	0 AVG	BGHT 6723.34	SOLD 6673.58			-103,242.25	0.00
29AUG17	S	3	PD29NOV17	6830.00	6869.07	USD	-2,930.25	
29AUG17	S	3	PD29NOV17	6835.50	6869.07	USD	-2,517.75	
29AUG17	S	3	PD29NOV17	6794.50	6869.07	USD	-5,592.75	
29AUG17	S	1	PD29NOV17	6803.50	6869.07	USD	-1,639.25	
29AUG17	S	1	PD29NOV17	6804.00	6869.07	USD	-1,626.75	
29AUG17	B	1	PD29NOV17	6789.50	6869.07	USD	1,989.25	
11SEP17	B	10	PD29NOV17	6742.33	6869.07	USD	31,685.00	
	NET	0 AVG	BGHT 6746.62	SOLD 6817.05			19,367.50	0.00
30AUG17	B	2	PD30NOV17	6778.00	6869.53	USD	4,576.50	
31AUG17	S	1	PD30NOV17	6800.00	6869.53	USD	-1,738.25	
31AUG17	B	1	PD30NOV17	6800.00	6869.53	USD	1,738.25	
31AUG17	B	1	PD30NOV17	6794.00	6869.53	USD	1,888.25	
31AUG17	B	1	PD30NOV17	6796.00	6869.53	USD	1,838.25	
31AUG17	B	1	PD30NOV17	6783.00	6869.53	USD	2,163.25	
31AUG17	B	8	PD30NOV17	6788.00	6869.53	USD	16,306.00	
31AUG17	B	3	PD30NOV17	6790.00	6869.53	USD	5,964.75	
31AUG17	B	3	PD30NOV17	6789.50	6869.53	USD	6,002.25	
11SEP17	S	19	PD30NOV17	6742.57	6869.53	USD	-60,306.00	
	NET	0 AVG	BGHT 6788.58	SOLD 6745.44			-21,566.75	0.00
01SEP17	B	1	PD01DEC17	6805.00	6870.00	USD	1,625.00	
01SEP17	B	1	PD01DEC17	6799.00	6870.00	USD	1,775.00	
01SEP17	B	7	PD01DEC17	6811.00	6870.00	USD	10,325.00	
01SEP17	B	8	PD01DEC17	6812.00	6870.00	USD	11,600.00	
01SEP17	B	3	PD01DEC17	6812.50	6870.00	USD	4,312.50	
01SEP17	B	6	PD01DEC17	6811.50	6870.00	USD	8,775.00	
01SEP17	B	4	PD01DEC17	6816.50	6870.00	USD	5,350.00	
11SEP17	S	10	PD01DEC17	6743.00	6870.00	USD	-31,750.00	
14SEP17	S	20	PD01DEC17	6492.66	6870.00	USD	-188,670.00	
	NET	0 AVG	BGHT 6811.65	SOLD 6576.11			-176,657.50	0.00
04SEP17	S	1	PD04DEC17	6870.50	6871.20	USD	-17.50	
04SEP17	S	3	PD04DEC17	6869.00	6871.20	USD	-165.00	
04SEP17	S	4	PD04DEC17	6909.50	6871.20	USD	3,830.00	
04SEP17	B	1	PD04DEC17	6915.00	6871.20	USD	-1,095.00	
04SEP17	B	1	PD04DEC17	6917.00	6871.20	USD	-1,145.00	
04SEP17	B	2	PD04DEC17	6919.50	6871.20	USD	-2,415.00	
11SEP17	B	2	PD04DEC17	6744.70	6871.20	USD	6,325.00	
14SEP17	B	2	PD04DEC17	6493.89	6871.20	USD	18,865.50	
	NET	0 AVG	BGHT 6768.52	SOLD 6889.44			24,183.00	0.00
05SEP17	S	2	PD05DEC17	6927.50	6871.60	USD	2,795.00	
05SEP17	B	2	PD05DEC17	6941.50	6871.60	USD	-3,495.00	
05SEP17	S	2	PD05DEC17	6932.50	6871.60	USD	3,045.00	
05SEP17	S	8	PD05DEC17	6932.00	6871.60	USD	12,080.00	
05SEP17	S	2	PD05DEC17	6941.00	6871.60	USD	3,470.00	
05SEP17	S	2	PD05DEC17	6940.50	6871.60	USD	3,445.00	
05SEP17	B	2	PD05DEC17	6963.50	6871.60	USD	-4,595.00	
05SEP17	B	8	PD05DEC17	6963.00	6871.60	USD	-18,280.00	
05SEP17	B	6	PD05DEC17	6960.00	6871.60	USD	-13,260.00	
05SEP17	B	1	PD05DEC17	6959.50	6871.60	USD	-2,197.50	
05SEP17	B	5	PD05DEC17	6959.50	6871.60	USD	-10,987.50	
05SEP17	B	1	PD05DEC17	6900.50	6871.60	USD	-722.50	
05SEP17	B	2	PD05DEC17	6898.00	6871.60	USD	-1,320.00	
05SEP17	B	2	PD05DEC17	6885.00	6871.60	USD	-670.00	
05SEP17	B	4	PD05DEC17	6886.50	6871.60	USD	-1,490.00	
05SEP17	B	8	PD05DEC17	6886.00	6871.60	USD	-2,880.00	
05SEP17	B	2	PD05DEC17	6854.00	6871.60	USD	880.00	

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05SEP17	B	2	PD05DEC17	6853.50	6871.60	USD	905.00	
05SEP17	S	2	PD05DEC17	6847.50	6871.60	USD	-1,205.00	
05SEP17	B	3	PD05DEC17	6838.00	6871.60	USD	2,520.00	
05SEP17	S	2	PD05DEC17	6853.50	6871.60	USD	-905.00	
05SEP17	S	1	PD05DEC17	6855.00	6871.60	USD	-415.00	
19SEP17	S	26	PD05DEC17	6533.08	6871.60	USD	-220,038.00	
21SEP17	S	1	PD05DEC17	6476.92	6871.60	USD	-9,867.00	
	NET	0 AVG BGHT 6917.93	SOLD 6698.60				-263,187.50	0.00
06SEP17	S	2	PD06DEC17	6886.50	6872.00	USD	725.00	
06SEP17	S	2	PD06DEC17	6904.00	6872.00	USD	1,600.00	
06SEP17	S	1	PD06DEC17	6908.50	6872.00	USD	912.50	
06SEP17	S	3	PD06DEC17	6909.00	6872.00	USD	2,775.00	
06SEP17	S	3	PD06DEC17	6911.00	6872.00	USD	2,925.00	
06SEP17	S	4	PD06DEC17	6911.50	6872.00	USD	3,950.00	
06SEP17	S	6	PD06DEC17	6910.50	6872.00	USD	5,775.00	
06SEP17	S	1	PD06DEC17	6912.50	6872.00	USD	1,012.50	
06SEP17	S	6	PD06DEC17	6910.00	6872.00	USD	5,700.00	
06SEP17	S	8	PD06DEC17	6908.00	6872.00	USD	7,200.00	
06SEP17	S	5	PD06DEC17	6907.00	6872.00	USD	4,375.00	
06SEP17	S	5	PD06DEC17	6907.50	6872.00	USD	4,437.50	
06SEP17	S	2	PD06DEC17	6909.50	6872.00	USD	1,875.00	
06SEP17	B	7	PD06DEC17	6895.00	6872.00	USD	-4,025.00	
06SEP17	B	3	PD06DEC17	6893.00	6872.00	USD	-1,575.00	
06SEP17	B	2	PD06DEC17	6888.00	6872.00	USD	-800.00	
06SEP17	B	2	PD06DEC17	6877.50	6872.00	USD	-275.00	
06SEP17	B	2	PD06DEC17	6881.00	6872.00	USD	-450.00	
06SEP17	B	2	PD06DEC17	6880.00	6872.00	USD	-400.00	
06SEP17	B	1	PD06DEC17	6879.50	6872.00	USD	-187.50	
06SEP17	B	5	PD06DEC17	6881.50	6872.00	USD	-1,187.50	
11SEP17	B	24	PD06DEC17	6745.70	6872.00	USD	75,780.00	
	NET	0 AVG BGHT 6816.27	SOLD 6908.05				110,142.50	0.00
07SEP17	S	2	PD07DEC17	6869.00	6872.42	USD	-171.00	
07SEP17	B	2	PD07DEC17	6903.00	6872.42	USD	-1,529.00	
07SEP17	B	5	PD07DEC17	6900.50	6872.42	USD	-3,510.00	
07SEP17	S	1	PD07DEC17	6872.00	6872.42	USD	-10.50	
07SEP17	S	2	PD07DEC17	6870.00	6872.42	USD	-121.00	
07SEP17	S	2	PD07DEC17	6879.50	6872.42	USD	354.00	
07SEP17	S	2	PD07DEC17	6879.00	6872.42	USD	329.00	
07SEP17	S	4	PD07DEC17	6884.50	6872.42	USD	1,208.00	
07SEP17	S	3	PD07DEC17	6901.00	6872.42	USD	2,143.50	
07SEP17	B	3	PD07DEC17	6903.00	6872.42	USD	-2,293.50	
07SEP17	B	1	PD07DEC17	6896.50	6872.42	USD	-602.00	
11SEP17	B	5	PD07DEC17	6746.20	6872.42	USD	15,777.50	
	NET	0 AVG BGHT 6852.81	SOLD 6881.75				11,575.00	0.00
08SEP17	S	2	PD08DEC17	6907.00	6872.83	USD	1,708.50	
08SEP17	S	1	PD08DEC17	6906.00	6872.83	USD	829.25	
08SEP17	S	3	PD08DEC17	6906.50	6872.83	USD	2,525.25	
08SEP17	S	1	PD08DEC17	6905.50	6872.83	USD	816.75	
08SEP17	S	1	PD08DEC17	6904.50	6872.83	USD	791.75	
08SEP17	S	2	PD08DEC17	6902.00	6872.83	USD	1,458.50	
08SEP17	S	1	PD08DEC17	6898.50	6872.83	USD	641.75	
08SEP17	S	1	PD08DEC17	6899.00	6872.83	USD	654.25	
08SEP17	S	6	PD08DEC17	6899.50	6872.83	USD	4,000.50	
08SEP17	S	2	PD08DEC17	6900.00	6872.83	USD	1,358.50	
08SEP17	B	3	PD08DEC17	6928.50	6872.83	USD	-4,175.25	
08SEP17	B	2	PD08DEC17	6929.50	6872.83	USD	-2,833.50	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP094AB

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
08SEP17	B	5	PD08DEC17	6930.50	6872.83	USD	-7,208.75	
08SEP17	B	5	PD08DEC17	6947.50	6872.83	USD	-9,333.75	
08SEP17	S	3	PD08DEC17	6924.50	6872.83	USD	3,875.25	
08SEP17	S	2	PD08DEC17	6924.00	6872.83	USD	2,558.50	
08SEP17	S	4	PD08DEC17	6919.00	6872.83	USD	4,617.00	
08SEP17	S	1	PD08DEC17	6911.00	6872.83	USD	954.25	
08SEP17	S	5	PD08DEC17	6901.50	6872.83	USD	3,583.75	
08SEP17	S	5	PD08DEC17	6894.50	6872.83	USD	2,708.75	
08SEP17	S	5	PD08DEC17	6898.00	6872.83	USD	3,146.25	
08SEP17	S	8	PD08DEC17	6875.00	6872.83	USD	434.00	
08SEP17	S	5	PD08DEC17	6873.50	6872.83	USD	83.75	
08SEP17	S	2	PD08DEC17	6870.50	6872.83	USD	-116.50	
08SEP17	S	1	PD08DEC17	6860.50	6872.83	USD	-308.25	
08SEP17	S	2	PD08DEC17	6861.50	6872.83	USD	-566.50	
08SEP17	S	3	PD08DEC17	6861.00	6872.83	USD	-887.25	
08SEP17	S	2	PD08DEC17	6862.00	6872.83	USD	-541.50	
08SEP17	S	5	PD08DEC17	6856.00	6872.83	USD	-2,103.75	
08SEP17	S	1	PD08DEC17	6855.50	6872.83	USD	-433.25	
08SEP17	S	1	PD08DEC17	6855.00	6872.83	USD	-445.75	
08SEP17	S	2	PD08DEC17	6857.00	6872.83	USD	-791.50	
08SEP17	S	1	PD08DEC17	6859.00	6872.83	USD	-345.75	
08SEP17	S	2	PD08DEC17	6858.50	6872.83	USD	-716.50	
08SEP17	S	1	PD08DEC17	6844.50	6872.83	USD	-708.25	
08SEP17	S	3	PD08DEC17	6844.00	6872.83	USD	-2,162.25	
08SEP17	S	5	PD08DEC17	6821.50	6872.83	USD	-6,416.25	
08SEP17	B	1	PD08DEC17	6829.50	6872.83	USD	1,083.25	
08SEP17	S	7	PD08DEC17	6870.00	6872.83	USD	-495.25	
08SEP17	S	1	PD08DEC17	6844.00	6872.83	USD	-720.75	
08SEP17	B	5	PD08DEC17	6801.00	6872.83	USD	8,978.75	
08SEP17	B	5	PD08DEC17	6788.00	6872.83	USD	10,603.75	
08SEP17	S	5	PD08DEC17	6755.00	6872.83	USD	-14,728.75	
08SEP17	S	2	PD08DEC17	6753.50	6872.83	USD	-5,966.50	
08SEP17	S	3	PD08DEC17	6745.50	6872.83	USD	-9,549.75	
08SEP17	B	3	PD08DEC17	6736.50	6872.83	USD	10,224.75	
08SEP17	B	2	PD08DEC17	6748.00	6872.83	USD	6,241.50	
08SEP17	B	3	PD08DEC17	6739.00	6872.83	USD	10,037.25	
08SEP17	B	1	PD08DEC17	6741.00	6872.83	USD	3,295.75	
08SEP17	B	2	PD08DEC17	6744.50	6872.83	USD	6,416.50	
08SEP17	B	1	PD08DEC17	6738.50	6872.83	USD	3,358.25	
08SEP17	S	2	PD08DEC17	6747.50	6872.83	USD	-6,266.50	
08SEP17	S	1	PD08DEC17	6743.50	6872.83	USD	-3,233.25	
08SEP17	S	1	PD08DEC17	6743.00	6872.83	USD	-3,245.75	
08SEP17	B	1	PD08DEC17	6729.50	6872.83	USD	3,583.25	
08SEP17	S	1	PD08DEC17	6702.50	6872.83	USD	-4,258.25	
08SEP17	S	5	PD08DEC17	6702.00	6872.83	USD	-21,353.75	
08SEP17	S	2	PD08DEC17	6701.00	6872.83	USD	-8,591.50	
08SEP17	B	3	PD08DEC17	6708.50	6872.83	USD	12,324.75	
08SEP17	S	1	PD08DEC17	6702.50	6872.83	USD	-4,258.25	
08SEP17	B	2	PD08DEC17	6699.50	6872.83	USD	8,666.50	
08SEP17	B	2	PD08DEC17	6697.00	6872.83	USD	8,791.50	
08SEP17	B	2	PD08DEC17	6694.50	6872.83	USD	8,916.50	
08SEP17	B	2	PD08DEC17	6687.00	6872.83	USD	9,291.50	
14SEP17	B	20	PD08DEC17	6495.74	6872.83	USD	188,545.00	
14SEP17	S	2	PD08DEC17	6495.34	6872.83	USD	-18,874.50	
14SEP17	B	39	PD08DEC17	6495.54	6872.83	USD	367,857.75	
19SEP17	B	13	PD08DEC17	6534.85	6872.83	USD	109,843.50	
	NET	0AVG BGHT 6625.45		SOLD 6846.16			673,169.25	0.00

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
11SEP17	S	1	PD11DEC17	6744.00	6874.08	USD	-3,252.00	
11SEP17	S	4	PD11DEC17	6742.50	6874.08	USD	-13,158.00	
11SEP17	B	5	PD11DEC17	6747.50	6874.08	USD	15,822.50	
11SEP17	S	6	PD11DEC17	6719.00	6874.08	USD	-23,262.00	
11SEP17	B	1	PD11DEC17	6723.00	6874.08	USD	3,777.00	
11SEP17	B	5	PD11DEC17	6722.50	6874.08	USD	18,947.50	
11SEP17	B	2	PD11DEC17	6745.50	6874.08	USD	6,429.00	
11SEP17	S	2	PD11DEC17	6745.50	6874.08	USD	-6,429.00	
	NET	0AVG BGHT 6735.69	SOLD 6732.23				-1,125.00	0.00
12SEP17	S	4	PD12DEC17	6734.00	6874.50	USD	-14,050.00	
12SEP17	S	2	PD12DEC17	6736.00	6874.50	USD	-6,925.00	
12SEP17	S	4	PD12DEC17	6708.50	6874.50	USD	-16,600.00	
12SEP17	B	4	PD12DEC17	6719.50	6874.50	USD	15,500.00	
12SEP17	B	2	PD12DEC17	6720.00	6874.50	USD	7,725.00	
12SEP17	B	4	PD12DEC17	6729.50	6874.50	USD	14,500.00	
12SEP17	B	2	PD12DEC17	6737.50	6874.50	USD	6,850.00	
12SEP17	B	1	PD12DEC17	6649.00	6874.50	USD	5,637.50	
12SEP17	B	1	PD12DEC17	6645.00	6874.50	USD	5,737.50	
12SEP17	B	1	PD12DEC17	6637.00	6874.50	USD	5,937.50	
12SEP17	S	2	PD12DEC17	6641.50	6874.50	USD	-11,650.00	
12SEP17	S	2	PD12DEC17	6647.00	6874.50	USD	-11,375.00	
12SEP17	B	12	PD12DEC17	6654.00	6874.50	USD	66,150.00	
12SEP17	B	4	PD12DEC17	6660.50	6874.50	USD	21,400.00	
12SEP17	B	2	PD12DEC17	6657.50	6874.50	USD	10,850.00	
12SEP17	B	2	PD12DEC17	6671.00	6874.50	USD	10,175.00	
12SEP17	B	5	PD12DEC17	6672.00	6874.50	USD	25,312.50	
12SEP17	B	4	PD12DEC17	6670.00	6874.50	USD	20,450.00	
12SEP17	B	1	PD12DEC17	6641.50	6874.50	USD	5,825.00	
12SEP17	B	1	PD12DEC17	6660.50	6874.50	USD	5,350.00	
12SEP17	B	1	PD12DEC17	6657.50	6874.50	USD	5,425.00	
12SEP17	B	3	PD12DEC17	6670.50	6874.50	USD	15,300.00	
12SEP17	B	3	PD12DEC17	6668.00	6874.50	USD	15,487.50	
14SEP17	S	39	PD12DEC17	6496.98	6874.50	USD	-368,082.00	
	NET	0AVG BGHT 6675.55	SOLD 6550.97				-165,069.50	0.00
13SEP17	B	1	PD13DEC17	6559.50	6874.92	USD	7,885.50	
13SEP17	B	6	PD13DEC17	6564.00	6874.92	USD	46,638.00	
13SEP17	B	4	PD13DEC17	6564.50	6874.92	USD	31,042.00	
13SEP17	B	5	PD13DEC17	6570.50	6874.92	USD	38,052.50	
13SEP17	S	1	PD13DEC17	6555.00	6874.92	USD	-7,998.00	
13SEP17	S	1	PD13DEC17	6556.00	6874.92	USD	-7,973.00	
13SEP17	S	1	PD13DEC17	6544.50	6874.92	USD	-8,260.50	
13SEP17	S	2	PD13DEC17	6564.50	6874.92	USD	-15,521.00	
13SEP17	B	1	PD13DEC17	6564.00	6874.92	USD	7,773.00	
13SEP17	S	1	PD13DEC17	6550.00	6874.92	USD	-8,123.00	
13SEP17	B	2	PD13DEC17	6551.50	6874.92	USD	16,171.00	
13SEP17	B	3	PD13DEC17	6558.00	6874.92	USD	23,769.00	
13SEP17	B	1	PD13DEC17	6554.00	6874.92	USD	8,023.00	
13SEP17	B	1	PD13DEC17	6552.50	6874.92	USD	8,060.50	
13SEP17	B	1	PD13DEC17	6551.00	6874.92	USD	8,098.00	
19SEP17	S	19	PD13DEC17	6536.63	6874.92	USD	-160,687.75	
	NET	0AVG BGHT 6562.10	SOLD 6541.22				-13,050.75	0.00
14SEP17	B	2	PD14DEC17	6564.50	6875.33	USD	15,541.50	
14SEP17	B	2	PD14DEC17	6564.00	6875.33	USD	15,566.50	
14SEP17	B	2	PD14DEC17	6565.50	6875.33	USD	15,491.50	
14SEP17	B	2	PD14DEC17	6563.00	6875.33	USD	15,616.50	
14SEP17	B	2	PD14DEC17	6563.50	6875.33	USD	15,591.50	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
14SEP17	B	2	PD14DEC17	6533.00	6875.33	USD	17,116.50	
14SEP17	B	1	PD14DEC17	6523.00	6875.33	USD	8,808.25	
14SEP17	B	2	PD14DEC17	6522.50	6875.33	USD	17,641.50	
14SEP17	B	2	PD14DEC17	6567.00	6875.33	USD	15,416.50	
14SEP17	S	1	PD14DEC17	6519.50	6875.33	USD	-8,895.75	
14SEP17	B	1	PD14DEC17	6506.50	6875.33	USD	9,220.75	
14SEP17	B	1	PD14DEC17	6498.00	6875.33	USD	9,433.25	
14SEP17	B	2	PD14DEC17	6499.50	6875.33	USD	18,791.50	
14SEP17	B	2	PD14DEC17	6502.50	6875.33	USD	18,641.50	
14SEP17	B	2	PD14DEC17	6503.00	6875.33	USD	18,616.50	
14SEP17	B	4	PD14DEC17	6501.00	6875.33	USD	37,433.00	
14SEP17	B	4	PD14DEC17	6500.50	6875.33	USD	37,483.00	
14SEP17	B	1	PD14DEC17	6498.50	6875.33	USD	9,420.75	
14SEP17	B	2	PD14DEC17	6500.00	6875.33	USD	18,766.50	
14SEP17	S	1	PD14DEC17	6501.00	6875.33	USD	-9,358.25	
21SEP17	S	29	PD14DEC17	6480.33	6875.33	USD	-286,375.00	
25SEP17	S	5	PD14DEC17	6446.46	6875.33	USD	-53,608.75	
	NET	0AVG BGHT 6525.78	SOLD 6477.29				-43,640.75	0.00
15SEP17	S	2	PD15DEC17	6525.50	6875.75	USD	-17,512.50	
15SEP17	B	2	PD15DEC17	6490.00	6875.75	USD	19,287.50	
15SEP17	B	2	PD15DEC17	6489.50	6875.75	USD	19,312.50	
15SEP17	S	1	PD15DEC17	6494.00	6875.75	USD	-9,543.75	
15SEP17	B	1	PD15DEC17	6487.50	6875.75	USD	9,706.25	
15SEP17	B	3	PD15DEC17	6488.00	6875.75	USD	29,081.25	
15SEP17	B	3	PD15DEC17	6489.00	6875.75	USD	29,006.25	
15SEP17	B	2	PD15DEC17	6490.50	6875.75	USD	19,262.50	
15SEP17	B	1	PD15DEC17	6476.00	6875.75	USD	9,993.75	
15SEP17	B	2	PD15DEC17	6478.50	6875.75	USD	19,862.50	
15SEP17	S	1	PD15DEC17	6467.00	6875.75	USD	-10,218.75	
15SEP17	S	1	PD15DEC17	6494.00	6875.75	USD	-9,543.75	
15SEP17	B	2	PD15DEC17	6488.00	6875.75	USD	19,387.50	
15SEP17	B	1	PD15DEC17	6489.00	6875.75	USD	9,668.75	
15SEP17	B	4	PD15DEC17	6493.50	6875.75	USD	38,225.00	
15SEP17	B	4	PD15DEC17	6501.50	6875.75	USD	37,425.00	
15SEP17	B	2	PD15DEC17	6501.00	6875.75	USD	18,737.50	
15SEP17	B	1	PD15DEC17	6512.50	6875.75	USD	9,081.25	
15SEP17	B	1	PD15DEC17	6513.00	6875.75	USD	9,068.75	
15SEP17	B	3	PD15DEC17	6508.00	6875.75	USD	27,581.25	
15SEP17	B	1	PD15DEC17	6506.50	6875.75	USD	9,231.25	
15SEP17	B	2	PD15DEC17	6503.00	6875.75	USD	18,637.50	
15SEP17	B	1	PD15DEC17	6512.00	6875.75	USD	9,093.75	
25SEP17	S	21	PD15DEC17	6446.92	6875.75	USD	-225,135.75	
28SEP17	S	12	PD15DEC17	6517.67	6875.75	USD	-107,424.00	
	NET	0AVG BGHT 6495.07	SOLD 6476.40				-17,728.50	0.00
18SEP17	S	1	PD18DEC17	6555.00	6877.00	USD	-8,050.00	
18SEP17	B	1	PD18DEC17	6564.00	6877.00	USD	7,825.00	
18SEP17	B	1	PD18DEC17	6563.50	6877.00	USD	7,837.50	
18SEP17	B	2	PD18DEC17	6565.50	6877.00	USD	15,575.00	
18SEP17	S	4	PD18DEC17	6562.50	6877.00	USD	-31,450.00	
18SEP17	S	3	PD18DEC17	6562.00	6877.00	USD	-23,625.00	
18SEP17	B	1	PD18DEC17	6564.50	6877.00	USD	7,812.50	
18SEP17	B	2	PD18DEC17	6566.50	6877.00	USD	15,525.00	
18SEP17	S	1	PD18DEC17	6547.00	6877.00	USD	-8,250.00	
18SEP17	S	1	PD18DEC17	6551.50	6877.00	USD	-8,137.50	
18SEP17	S	3	PD18DEC17	6535.00	6877.00	USD	-25,650.00	
18SEP17	S	9	PD18DEC17	6535.50	6877.00	USD	-76,837.50	
18SEP17	S	4	PD18DEC17	6534.00	6877.00	USD	-34,300.00	

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18SEP17	S	4	PD18DEC17	6526.00	6877.00	USD	-35,100.00	
18SEP17	S	4	PD18DEC17	6525.50	6877.00	USD	-35,150.00	
18SEP17	B	1	PD18DEC17	6540.00	6877.00	USD	8,425.00	
18SEP17	S	1	PD18DEC17	6538.50	6877.00	USD	-8,462.50	
18SEP17	S	1	PD18DEC17	6537.00	6877.00	USD	-8,500.00	
18SEP17	B	1	PD18DEC17	6525.00	6877.00	USD	8,800.00	
18SEP17	S	1	PD18DEC17	6536.00	6877.00	USD	-8,525.00	
18SEP17	S	1	PD18DEC17	6536.50	6877.00	USD	-8,512.50	
18SEP17	S	1	PD18DEC17	6536.50	6877.00	USD	-8,512.50	
18SEP17	S	1	PD18DEC17	6535.00	6877.00	USD	-8,550.00	
18SEP17	S	1	PD18DEC17	6537.00	6877.00	USD	-8,500.00	
19SEP17	B	32	PD18DEC17	6538.80	6877.00	USD	270,560.00	
	NET	0 AVG	BGHT 6542.99	SOLD 6539.33			-3,752.50	0.00
19SEP17	S	2	PD19DEC17	6554.00	6877.00	USD	-16,150.00	
19SEP17	S	2	PD19DEC17	6547.50	6877.00	USD	-16,475.00	
19SEP17	S	4	PD19DEC17	6544.50	6877.00	USD	-33,250.00	
19SEP17	S	2	PD19DEC17	6542.00	6877.00	USD	-16,750.00	
19SEP17	S	3	PD19DEC17	6532.00	6877.00	USD	-25,875.00	
19SEP17	S	1	PD19DEC17	6535.00	6877.00	USD	-8,550.00	
19SEP17	S	1	PD19DEC17	6535.50	6877.00	USD	-8,537.50	
19SEP17	S	1	PD19DEC17	6536.50	6877.00	USD	-8,512.50	
19SEP17	S	3	PD19DEC17	6537.00	6877.00	USD	-25,500.00	
19SEP17	S	1	PD19DEC17	6531.50	6877.00	USD	-8,637.50	
21SEP17	B	20	PD19DEC17	6482.20	6877.00	USD	197,400.00	
	NET	0 AVG	BGHT 6482.20	SOLD 6540.53			29,162.50	0.00
20SEP17	S	5	PD20DEC17	6548.00	6877.00	USD	-41,125.00	
20SEP17	S	5	PD20DEC17	6549.50	6877.00	USD	-40,937.50	
21SEP17	B	10	PD20DEC17	6482.20	6877.00	USD	98,700.00	
	NET	0 AVG	BGHT 6482.20	SOLD 6548.75			16,637.50	0.00
21SEP17	B	1	PD21DEC17	6442.00	6876.00	USD	10,850.00	
21SEP17	B	1	PD21DEC17	6472.00	6876.00	USD	10,100.00	
21SEP17	S	1	PD21DEC17	6427.00	6876.00	USD	-11,225.00	
21SEP17	S	1	PD21DEC17	6426.50	6876.00	USD	-11,237.50	
21SEP17	S	1	PD21DEC17	6427.50	6876.00	USD	-11,212.50	
21SEP17	S	1	PD21DEC17	6428.50	6876.00	USD	-11,187.50	
21SEP17	S	1	PD21DEC17	6428.00	6876.00	USD	-11,200.00	
25SEP17	B	3	PD21DEC17	6446.95	6876.00	USD	32,178.75	
	NET	0 AVG	BGHT 6450.97	SOLD 6427.50			-2,933.75	0.00
22SEP17	S	3	PD22DEC17	6384.00	6875.00	USD	-36,825.00	
22SEP17	S	3	PD22DEC17	6385.00	6875.00	USD	-36,750.00	
22SEP17	S	5	PD22DEC17	6383.00	6875.00	USD	-61,500.00	
22SEP17	S	1	PD22DEC17	6379.00	6875.00	USD	-12,400.00	
22SEP17	S	1	PD22DEC17	6379.50	6875.00	USD	-12,387.50	
25SEP17	B	13	PD22DEC17	6447.49	6875.00	USD	138,940.75	
	NET	0 AVG	BGHT 6447.49	SOLD 6383.12			-20,921.75	0.00
25SEP17	S	2	PD27DEC17	6477.00	6876.67	USD	-19,983.50	
25SEP17	S	2	PD27DEC17	6473.00	6876.67	USD	-20,183.50	
25SEP17	S	2	PD27DEC17	6474.50	6876.67	USD	-20,108.50	
25SEP17	S	2	PD27DEC17	6478.50	6876.67	USD	-19,908.50	
25SEP17	S	1	PD27DEC17	6473.50	6876.67	USD	-10,079.25	
25SEP17	S	3	PD27DEC17	6472.00	6876.67	USD	-30,350.25	
25SEP17	S	5	PD27DEC17	6457.50	6876.67	USD	-52,396.25	
25SEP17	S	1	PD27DEC17	6446.50	6876.67	USD	-10,754.25	
25SEP17	B	10	PD27DEC17	6450.20	6876.67	USD	106,617.50	
26SEP17	S	3	PD27DEC17	6473.00	6876.67	USD	-30,275.25	
26SEP17	S	4	PD27DEC17	6514.50	6876.67	USD	-36,217.00	

OPEN POSITION STATEMENT



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SAP094AB

13th October 2017

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backoffice@sapiencap.com

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
26SEP17	B	1	PD27DEC17	6442.50	6876.67	USD	10,854.25	
26SEP17	B	1	PD27DEC17	6438.50	6876.67	USD	10,954.25	
26SEP17	B	1	PD27DEC17	6417.50	6876.67	USD	11,479.25	
26SEP17	B	2	PD27DEC17	6418.50	6876.67	USD	22,908.50	
26SEP17	B	4	PD27DEC17	6416.50	6876.67	USD	46,017.00	
27SEP17	B	2	PD27DEC17	6473.00	6876.67	USD	20,183.50	
27SEP17	S	1	PD27DEC17	6457.50	6876.67	USD	-10,479.25	
27SEP17	S	1	PD27DEC17	6454.00	6876.67	USD	-10,566.75	
27SEP17	S	4	PD27DEC17	6453.50	6876.67	USD	-42,317.00	
27SEP17	S	5	PD27DEC17	6448.50	6876.67	USD	-53,521.25	
27SEP17	S	5	PD27DEC17	6437.00	6876.67	USD	-54,958.75	
27SEP17	S	1	PD27DEC17	6449.00	6876.67	USD	-10,691.75	
27SEP17	S	1	PD27DEC17	6436.50	6876.67	USD	-11,004.25	
27SEP17	S	1	PD27DEC17	6432.50	6876.67	USD	-11,104.25	
28SEP17	B	12	PD27DEC17	6521.53	6876.67	USD	106,542.00	
29SEP17	B	10	PD27DEC17	6479.93	6876.67	USD	99,185.00	
10OCT17	B	1	PD27DEC17	6752.50	6876.67	USD	3,104.25	
	NET	0AVG BGHT 6478.63	SOLD 6463.13				-17,054.00	0.00
28SEP17	B	2	PD28DEC17	6467.50	6877.00	USD	20,475.00	
28SEP17	B	2	PD28DEC17	6464.00	6877.00	USD	20,650.00	
28SEP17	B	1	PD28DEC17	6462.50	6877.00	USD	10,362.50	
28SEP17	B	1	PD28DEC17	6471.00	6877.00	USD	10,150.00	
28SEP17	B	1	PD28DEC17	6489.00	6877.00	USD	9,700.00	
28SEP17	B	5	PD28DEC17	6514.00	6877.00	USD	45,375.00	
28SEP17	B	1	PD28DEC17	6514.50	6877.00	USD	9,062.50	
28SEP17	S	1	PD28DEC17	6517.50	6877.00	USD	-8,987.50	
28SEP17	S	1	PD28DEC17	6512.00	6877.00	USD	-9,125.00	
28SEP17	S	1	PD28DEC17	6525.50	6877.00	USD	-8,787.50	
29SEP17	S	10	PD28DEC17	6480.26	6877.00	USD	-99,185.00	
	NET	0AVG BGHT 6490.00	SOLD 6489.05				-310.00	0.00
29SEP17	S	2	PD29DEC17	6537.50	6877.33	USD	-16,991.50	
29SEP17	S	3	PD29DEC17	6535.50	6877.33	USD	-25,637.25	
29SEP17	B	1	PD29DEC17	6546.50	6877.33	USD	8,270.75	
29SEP17	S	1	PD29DEC17	6525.50	6877.33	USD	-8,795.75	
29SEP17	B	4	PD29DEC17	6570.50	6877.33	USD	30,683.00	
29SEP17	B	1	PD29DEC17	6570.00	6877.33	USD	7,683.25	
29SEP17	B	5	PD29DEC17	6572.00	6877.33	USD	38,166.25	
29SEP17	B	1	PD29DEC17	6567.50	6877.33	USD	7,745.75	
29SEP17	B	1	PD29DEC17	6570.00	6877.33	USD	7,683.25	
10OCT17	S	1	PD29DEC17	6753.37	6877.33	USD	-3,099.00	
11OCT17	S	6	PD29DEC17	6793.93	6877.33	USD	-12,510.00	
	NET	0AVG BGHT 6568.92	SOLD 6671.07				33,198.75	0.00
09OCT17	S	2	PD09JAN18	6680.00	6881.00	USD	-10,050.00	
09OCT17	S	2	PD09JAN18	6682.50	6881.00	USD	-9,925.00	
09OCT17	S	10	PD09JAN18	6637.00	6881.00	USD	-61,000.00	
09OCT17	S	2	PD09JAN18	6668.00	6881.00	USD	-10,650.00	
09OCT17	S	2	PD09JAN18	6662.00	6881.00	USD	-10,950.00	
09OCT17	B	2	PD09JAN18	6663.00	6881.00	USD	10,900.00	
09OCT17	S	2	PD09JAN18	6681.00	6881.00	USD	-10,000.00	
09OCT17	B	2	PD09JAN18	6665.50	6881.00	USD	10,775.00	
09OCT17	B	2	PD09JAN18	6680.50	6881.00	USD	10,025.00	
09OCT17	B	2	PD09JAN18	6678.00	6881.00	USD	10,150.00	
09OCT17	B	2	PD09JAN18	6682.00	6881.00	USD	9,950.00	
09OCT17	S	5	PD09JAN18	6641.50	6881.00	USD	-29,937.50	
09OCT17	B	2	PD09JAN18	6676.50	6881.00	USD	10,225.00	
09OCT17	S	5	PD09JAN18	6655.00	6881.00	USD	-28,250.00	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>								
09OCT17	S	2	PD09JAN18		6679.50	6881.00	USD	-10,075.00	
09OCT17	S	4	PD09JAN18		6678.00	6881.00	USD	-20,300.00	
09OCT17	S	2	PD09JAN18		6677.00	6881.00	USD	-10,200.00	
09OCT17	B	4	PD09JAN18		6678.50	6881.00	USD	20,250.00	
09OCT17	S	2	PD09JAN18		6675.00	6881.00	USD	-10,300.00	
09OCT17	B	2	PD09JAN18		6668.00	6881.00	USD	10,650.00	
11OCT17	B	6	PD09JAN18		6799.27	6881.00	USD	12,259.50	
11OCT17	B	16	PD09JAN18		6799.07	6881.00	USD	32,772.00	
	NET	0AVG	BGHT 6743.04	SOLD 6659.36				-83,681.00	0.00
10OCT17	B	2	PD10JAN18		6723.00	6881.33	USD	7,916.50	
10OCT17	B	2	PD10JAN18		6725.50	6881.33	USD	7,791.50	
10OCT17	B	2	PD10JAN18		6727.50	6881.33	USD	7,691.50	
10OCT17	S	1	PD10JAN18		6723.50	6881.33	USD	-3,945.75	
10OCT17	S	2	PD10JAN18		6725.00	6881.33	USD	-7,816.50	
10OCT17	S	1	PD10JAN18		6717.00	6881.33	USD	-4,108.25	
10OCT17	S	1	PD10JAN18		6716.00	6881.33	USD	-4,133.25	
10OCT17	S	1	PD10JAN18		6713.50	6881.33	USD	-4,195.75	
10OCT17	S	1	PD10JAN18		6687.00	6881.33	USD	-4,858.25	
10OCT17	B	1	PD10JAN18		6694.00	6881.33	USD	4,683.25	
10OCT17	B	1	PD10JAN18		6692.00	6881.33	USD	4,733.25	
10OCT17	S	1	PD10JAN18		6693.50	6881.33	USD	-4,695.75	
10OCT17	B	2	PD10JAN18		6689.50	6881.33	USD	9,591.50	
10OCT17	B	2	PD10JAN18		6691.00	6881.33	USD	9,516.50	
10OCT17	B	1	PD10JAN18		6696.00	6881.33	USD	4,633.25	
10OCT17	B	1	PD10JAN18		6693.00	6881.33	USD	4,708.25	
10OCT17	B	1	PD10JAN18		6752.50	6881.33	USD	3,220.75	
10OCT17	B	1	PD10JAN18		6746.50	6881.33	USD	3,370.75	
10OCT17	B	1	PD10JAN18		6755.50	6881.33	USD	3,145.75	
10OCT17	B	1	PD10JAN18		6756.00	6881.33	USD	3,133.25	
10OCT17	B	2	PD10JAN18		6758.50	6881.33	USD	6,141.50	
10OCT17	B	3	PD10JAN18		6758.00	6881.33	USD	9,249.75	
10OCT17	B	2	PD10JAN18		6760.00	6881.33	USD	6,066.50	
10OCT17	B	1	PD10JAN18		6759.00	6881.33	USD	3,058.25	
10OCT17	B	1	PD10JAN18		6753.50	6881.33	USD	3,195.75	
11OCT17	S	16	PD10JAN18		6799.33	6881.33	USD	-32,800.00	
13OCT17	S	3	PD10JAN18		6881.33	6881.33	USD	0.00	
	NET	0AVG	BGHT 6730.44	SOLD 6782.73				35,294.25	0.00
11OCT17	B	4	PD11JAN18		6738.00	6881.67	USD	14,367.00	
11OCT17	S	1	PD11JAN18		6757.00	6881.67	USD	-3,116.75	
11OCT17	S	1	PD11JAN18		6760.50	6881.67	USD	-3,029.25	
11OCT17	B	1	PD11JAN18		6760.00	6881.67	USD	3,041.75	
11OCT17	B	3	PD11JAN18		6767.00	6881.67	USD	8,600.25	
11OCT17	B	1	PD11JAN18		6768.00	6881.67	USD	2,841.75	
11OCT17	B	2	PD11JAN18		6767.50	6881.67	USD	5,708.50	
11OCT17	B	1	PD11JAN18		6773.50	6881.67	USD	2,704.25	
11OCT17	B	1	PD11JAN18		6793.00	6881.67	USD	2,216.75	
11OCT17	S	1	PD11JAN18		6779.00	6881.67	USD	-2,566.75	
11OCT17	S	1	PD11JAN18		6773.50	6881.67	USD	-2,704.25	
11OCT17	S	3	PD11JAN18		6774.00	6881.67	USD	-8,075.25	
11OCT17	B	1	PD11JAN18		6782.00	6881.67	USD	2,491.75	
11OCT17	B	3	PD11JAN18		6792.00	6881.67	USD	6,725.25	
11OCT17	B	3	PD11JAN18		6795.00	6881.67	USD	6,500.25	
11OCT17	B	2	PD11JAN18		6796.50	6881.67	USD	4,258.50	
11OCT17	B	1	PD11JAN18		6807.00	6881.67	USD	1,866.75	
11OCT17	B	4	PD11JAN18		6810.00	6881.67	USD	7,167.00	
11OCT17	B	1	PD11JAN18		6812.00	6881.67	USD	1,741.75	
11OCT17	B	1	PD11JAN18		6801.50	6881.67	USD	2,004.25	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
11OCT17	B	2	PD11JAN18	6808.50	6881.67	USD	3,658.50	
11OCT17	B	3	PD11JAN18	6809.00	6881.67	USD	5,450.25	
11OCT17	B	1	PD11JAN18	6807.50	6881.67	USD	1,854.25	
11OCT17	B	1	PD11JAN18	6813.00	6881.67	USD	1,716.75	
11OCT17	B	3	PD11JAN18	6809.50	6881.67	USD	5,412.75	
13OCT17	S	23	PD11JAN18	6881.67	6881.67	USD	0.00	
	NET	+9 AVG BGHT 6789.03	SOLD 6855.68				70,836.00	0.00
12OCT17	S	1	PD12JAN18	6818.00	6882.00	USD	-1,600.00	
12OCT17	S	3	PD12JAN18	6816.50	6882.00	USD	-4,912.50	
12OCT17	S	1	PD12JAN18	6810.50	6882.00	USD	-1,787.50	
12OCT17	S	3	PD12JAN18	6810.00	6882.00	USD	-5,400.00	
12OCT17	S	1	PD12JAN18	6800.00	6882.00	USD	-2,050.00	
12OCT17	B	3	PD12JAN18	6844.00	6882.00	USD	2,850.00	
12OCT17	S	3	PD12JAN18	6812.00	6882.00	USD	-5,250.00	
12OCT17	B	1	PD12JAN18	6844.00	6882.00	USD	950.00	
12OCT17	S	4	PD12JAN18	6896.50	6882.00	USD	1,450.00	
12OCT17	S	1	PD12JAN18	6896.00	6882.00	USD	350.00	
12OCT17	S	2	PD12JAN18	6894.00	6882.00	USD	600.00	
12OCT17	S	4	PD12JAN18	6886.00	6882.00	USD	400.00	
12OCT17	S	2	PD12JAN18	6888.00	6882.00	USD	300.00	
12OCT17	S	2	PD12JAN18	6890.00	6882.00	USD	400.00	
12OCT17	B	3	PD12JAN18	6885.50	6882.00	USD	-262.50	
12OCT17	B	3	PD12JAN18	6884.00	6882.00	USD	-150.00	
12OCT17	B	3	PD12JAN18	6885.00	6882.00	USD	-225.00	
12OCT17	B	1	PD12JAN18	6878.50	6882.00	USD	87.50	
12OCT17	B	2	PD12JAN18	6871.00	6882.00	USD	550.00	
12OCT17	B	2	PD12JAN18	6870.50	6882.00	USD	575.00	
12OCT17	S	1	PD12JAN18	6869.00	6882.00	USD	-325.00	
12OCT17	S	1	PD12JAN18	6869.50	6882.00	USD	-312.50	
13OCT17	S	2	PD12JAN18	6859.50	6882.00	USD	-1,125.00	
13OCT17	S	2	PD12JAN18	6866.50	6882.00	USD	-775.00	
13OCT17	S	1	PD12JAN18	6861.50	6882.00	USD	-512.50	
13OCT17	S	1	PD12JAN18	6864.00	6882.00	USD	-450.00	
13OCT17	S	2	PD12JAN18	6863.50	6882.00	USD	-925.00	
13OCT17	S	4	PD12JAN18	6864.50	6882.00	USD	-1,750.00	
13OCT17	S	2	PD12JAN18	6880.00	6882.00	USD	-100.00	
13OCT17	S	4	PD12JAN18	6879.00	6882.00	USD	-300.00	
13OCT17	S	2	PD12JAN18	6875.00	6882.00	USD	-350.00	
13OCT17	S	2	PD12JAN18	6874.00	6882.00	USD	-400.00	
13OCT17	S	2	PD12JAN18	6870.50	6882.00	USD	-575.00	
13OCT17	S	1	PD12JAN18	6869.00	6882.00	USD	-325.00	
13OCT17	B	4	PD12JAN18	6895.00	6882.00	USD	-1,300.00	
13OCT17	S	4	PD12JAN18	6898.00	6882.00	USD	1,600.00	
13OCT17	S	2	PD12JAN18	6899.00	6882.00	USD	850.00	
13OCT17	S	2	PD12JAN18	6905.00	6882.00	USD	1,150.00	
13OCT17	S	2	PD12JAN18	6910.50	6882.00	USD	1,425.00	
13OCT17	B	1	PD12JAN18	6915.00	6882.00	USD	-825.00	
13OCT17	B	2	PD12JAN18	6912.50	6882.00	USD	-1,525.00	
13OCT17	B	2	PD12JAN18	6910.00	6882.00	USD	-1,400.00	
13OCT17	B	1	PD12JAN18	6877.00	6882.00	USD	125.00	
13OCT17	B	26	PD12JAN18	6882.20	6882.00	USD	-130.00	
	NET	-10 AVG BGHT 6882.50	SOLD 6869.06				-21,380.00	0.00
	<u>LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
26JUL17	B	1	PD26OCT17	2314.50	2519.95	USD	5,136.25	
26JUL17	B	1	PD26OCT17	2314.00	2519.95	USD	5,148.75	

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26JUL17	B	1	PD26OCT17	2313.00	2519.95	USD	5,173.75	
26JUL17	B	1	PD26OCT17	2313.50	2519.95	USD	5,161.25	
26JUL17	B	1	PD26OCT17	2312.00	2519.95	USD	5,198.75	
22AUG17	S	5	PD26OCT17	2409.75	2519.95	USD	-13,775.00	
	NET	0 AVG BGHT 2313.40	SOLD 2409.75				12,043.75	0.00
27JUL17	B	1	PD27OCT17	2329.00	2520.10	USD	4,777.50	
27JUL17	B	4	PD27OCT17	2328.50	2520.10	USD	19,160.00	
27JUL17	B	1	PD27OCT17	2321.00	2520.10	USD	4,977.50	
27JUL17	B	8	PD27OCT17	2323.50	2520.10	USD	39,320.00	
27JUL17	B	1	PD27OCT17	2319.00	2520.10	USD	5,027.50	
27JUL17	B	8	PD27OCT17	2320.50	2520.10	USD	39,920.00	
27JUL17	B	3	PD27OCT17	2322.00	2520.10	USD	14,857.50	
27JUL17	B	4	PD27OCT17	2320.00	2520.10	USD	20,010.00	
27JUL17	B	5	PD27OCT17	2322.50	2520.10	USD	24,700.00	
27JUL17	B	1	PD27OCT17	2323.00	2520.10	USD	4,927.50	
27JUL17	B	6	PD27OCT17	2324.00	2520.10	USD	29,415.00	
27JUL17	B	3	PD27OCT17	2324.50	2520.10	USD	14,670.00	
22AUG17	S	30	PD27OCT17	2410.00	2520.10	USD	-82,575.00	
11SEP17	S	15	PD27OCT17	2260.78	2520.10	USD	-97,245.00	
	NET	0 AVG BGHT 2322.98	SOLD 2360.26				41,942.50	0.00
31JUL17	B	1	PD31OCT17	2327.50	2520.70	USD	4,830.00	
31JUL17	B	2	PD31OCT17	2328.00	2520.70	USD	9,635.00	
31JUL17	S	2	PD31OCT17	2327.50	2520.70	USD	-9,660.00	
31JUL17	S	1	PD31OCT17	2327.00	2520.70	USD	-4,842.50	
	NET	0 AVG BGHT 2327.83	SOLD 2327.33				-37.50	0.00
07AUG17	S	2	PD07NOV17	2362.00	2521.67	USD	-7,983.50	
07AUG17	S	7	PD07NOV17	2359.50	2521.67	USD	-28,379.75	
07AUG17	S	10	PD07NOV17	2360.50	2521.67	USD	-40,292.50	
07AUG17	S	5	PD07NOV17	2360.00	2521.67	USD	-20,208.75	
07AUG17	S	1	PD07NOV17	2358.00	2521.67	USD	-4,091.75	
22AUG17	B	25	PD07NOV17	2412.95	2521.67	USD	67,950.00	
	NET	0 AVG BGHT 2412.95	SOLD 2360.14				-33,006.25	0.00
10AUG17	B	1	PD10NOV17	2377.00	2522.08	USD	3,627.00	
10AUG17	B	3	PD10NOV17	2377.50	2522.08	USD	10,843.50	
10AUG17	B	4	PD10NOV17	2376.50	2522.08	USD	14,558.00	
10AUG17	B	2	PD10NOV17	2372.50	2522.08	USD	7,479.00	
10AUG17	S	4	PD10NOV17	2356.50	2522.08	USD	-16,558.00	
10AUG17	S	4	PD10NOV17	2354.00	2522.08	USD	-16,808.00	
10AUG17	S	1	PD10NOV17	2354.50	2522.08	USD	-4,189.50	
10AUG17	S	1	PD10NOV17	2354.50	2522.08	USD	-4,189.50	
11AUG17	B	2	PD10NOV17	2348.00	2522.08	USD	8,704.00	
11AUG17	B	1	PD10NOV17	2345.50	2522.08	USD	4,414.50	
11AUG17	B	1	PD10NOV17	2346.00	2522.08	USD	4,402.00	
11AUG17	B	1	PD10NOV17	2344.00	2522.08	USD	4,452.00	
11AUG17	B	5	PD10NOV17	2345.00	2522.08	USD	22,135.00	
11SEP17	S	10	PD10NOV17	2266.84	2522.08	USD	-63,810.00	
	NET	0 AVG BGHT 2360.85	SOLD 2310.97				-24,940.00	0.00
14AUG17	S	2	PD14NOV17	2338.00	2522.62	USD	-9,231.00	
14AUG17	S	2	PD14NOV17	2339.00	2522.62	USD	-9,181.00	
14AUG17	B	1	PD14NOV17	2341.50	2522.62	USD	4,528.00	
14AUG17	B	1	PD14NOV17	2343.00	2522.62	USD	4,490.50	
14AUG17	S	4	PD14NOV17	2333.50	2522.62	USD	-18,912.00	
22AUG17	B	6	PD14NOV17	2414.70	2522.62	USD	16,188.00	
	NET	0 AVG BGHT 2396.59	SOLD 2336.00				-12,117.50	0.00
15AUG17	S	2	PD15NOV17	2366.00	2522.75	USD	-7,837.50	

OPEN POSITION STATEMENT



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SAP094AB

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backoffice@sapiencap.com

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	<u>LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
15AUG17	S	2	PD15NOV17	2365.00	2522.75	USD	-7,887.50	
22AUG17	B	4	PD15NOV17	2414.95	2522.75	USD	10,780.00	
	NET	0AVG	BGHT 2414.95				-4,945.00	0.00
21AUG17	B	1	PD21NOV17	2365.00	2523.36	USD	3,959.00	
21AUG17	B	1	PD21NOV17	2364.00	2523.36	USD	3,984.00	
21AUG17	B	1	PD21NOV17	2364.50	2523.36	USD	3,971.50	
21AUG17	B	6	PD21NOV17	2369.00	2523.36	USD	23,154.00	
21AUG17	B	6	PD21NOV17	2367.00	2523.36	USD	23,454.00	
21AUG17	B	4	PD21NOV17	2366.00	2523.36	USD	15,736.00	
21AUG17	B	7	PD21NOV17	2368.50	2523.36	USD	27,100.50	
21AUG17	B	9	PD21NOV17	2368.00	2523.36	USD	34,956.00	
21AUG17	B	3	PD21NOV17	2365.50	2523.36	USD	11,839.50	
21AUG17	B	1	PD21NOV17	2368.50	2523.36	USD	3,871.50	
21AUG17	B	1	PD21NOV17	2367.50	2523.36	USD	3,896.50	
11SEP17	S	30	PD21NOV17	2270.60	2523.36	USD	-189,570.00	
14SEP17	S	10	PD21NOV17	2300.46	2523.36	USD	-55,725.00	
	NET	0AVG	BGHT 2367.44				-89,372.50	0.00
23AUG17	B	3	PD24NOV17	2389.50	2523.81	USD	10,073.25	
23AUG17	B	3	PD24NOV17	2393.00	2523.81	USD	9,810.75	
23AUG17	B	3	PD24NOV17	2392.50	2523.81	USD	9,848.25	
23AUG17	B	3	PD24NOV17	2392.00	2523.81	USD	9,885.75	
23AUG17	B	1	PD24NOV17	2389.00	2523.81	USD	3,370.25	
23AUG17	B	3	PD24NOV17	2390.50	2523.81	USD	9,998.25	
23AUG17	B	5	PD24NOV17	2390.00	2523.81	USD	16,726.25	
23AUG17	B	5	PD24NOV17	2395.50	2523.81	USD	16,038.75	
23AUG17	B	2	PD24NOV17	2396.50	2523.81	USD	6,365.50	
23AUG17	B	2	PD24NOV17	2395.00	2523.81	USD	6,440.50	
14SEP17	S	30	PD24NOV17	2301.31	2523.81	USD	-166,875.00	
	NET	0AVG	BGHT 2392.40				-68,317.50	0.00
01SEP17	B	2	PD01DEC17	2392.50	2524.87	USD	6,618.50	
01SEP17	B	2	PD01DEC17	2399.50	2524.87	USD	6,268.50	
01SEP17	B	1	PD01DEC17	2398.50	2524.87	USD	3,159.25	
01SEP17	S	1	PD01DEC17	2402.50	2524.87	USD	-3,059.25	
01SEP17	B	1	PD01DEC17	2403.50	2524.87	USD	3,034.25	
19SEP17	S	5	PD01DEC17	2416.75	2524.87	USD	-13,515.00	
	NET	0AVG	BGHT 2397.67				2,506.25	0.00
04SEP17	B	3	PD04DEC17	2393.50	2525.33	USD	9,887.25	
04SEP17	B	4	PD04DEC17	2392.50	2525.33	USD	13,283.00	
04SEP17	B	3	PD04DEC17	2397.00	2525.33	USD	9,624.75	
04SEP17	B	3	PD04DEC17	2400.00	2525.33	USD	9,399.75	
04SEP17	B	1	PD04DEC17	2393.50	2525.33	USD	3,295.75	
04SEP17	B	1	PD04DEC17	2396.50	2525.33	USD	3,220.75	
19SEP17	S	15	PD04DEC17	2417.50	2525.33	USD	-40,436.25	
	NET	0AVG	BGHT 2395.43				8,275.00	0.00
07SEP17	S	2	PD07DEC17	2336.00	2525.78	USD	-9,489.00	
07SEP17	S	1	PD07DEC17	2336.50	2525.78	USD	-4,732.00	
07SEP17	S	1	PD07DEC17	2335.50	2525.78	USD	-4,757.00	
07SEP17	S	1	PD07DEC17	2335.00	2525.78	USD	-4,769.50	
07SEP17	S	20	PD07DEC17	2348.00	2525.78	USD	-88,890.00	
07SEP17	S	5	PD07DEC17	2348.50	2525.78	USD	-22,160.00	
07SEP17	S	1	PD07DEC17	2339.50	2525.78	USD	-4,657.00	
07SEP17	S	4	PD07DEC17	2339.00	2525.78	USD	-18,678.00	
07SEP17	S	9	PD07DEC17	2340.50	2525.78	USD	-41,688.00	
07SEP17	S	10	PD07DEC17	2341.00	2525.78	USD	-46,195.00	
07SEP17	S	1	PD07DEC17	2340.00	2525.78	USD	-4,644.50	
11SEP17	B	55	PD07DEC17	2275.92	2525.78	USD	343,557.50	

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	<u>LME LEAD 25 TONNES. THIS IS AN</u>								
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	NET	0 AVG	BGHT	2275.92	SOLD	2343.48		92,897.50	0.00
08SEP17	S	1	PD08DEC17		2327.50	2525.93	USD	-4,960.75	
08SEP17	S	2	PD08DEC17		2327.00	2525.93	USD	-9,946.50	
08SEP17	S	3	PD08DEC17		2326.50	2525.93	USD	-14,957.25	
08SEP17	S	1	PD08DEC17		2325.50	2525.93	USD	-5,010.75	
08SEP17	S	1	PD08DEC17		2326.00	2525.93	USD	-4,998.25	
08SEP17	S	3	PD08DEC17		2325.00	2525.93	USD	-15,069.75	
08SEP17	S	3	PD08DEC17		2323.50	2525.93	USD	-15,182.25	
08SEP17	S	3	PD08DEC17		2321.00	2525.93	USD	-15,369.75	
08SEP17	S	3	PD08DEC17		2322.50	2525.93	USD	-15,257.25	
08SEP17	S	4	PD08DEC17		2321.50	2525.93	USD	-20,443.00	
08SEP17	S	1	PD08DEC17		2320.50	2525.93	USD	-5,135.75	
08SEP17	S	1	PD08DEC17		2318.00	2525.93	USD	-5,198.25	
08SEP17	S	1	PD08DEC17		2317.50	2525.93	USD	-5,210.75	
08SEP17	S	1	PD08DEC17		2316.00	2525.93	USD	-5,248.25	
08SEP17	S	1	PD08DEC17		2315.50	2525.93	USD	-5,260.75	
08SEP17	S	5	PD08DEC17		2313.50	2525.93	USD	-26,553.75	
08SEP17	S	1	PD08DEC17		2302.50	2525.93	USD	-5,585.75	
08SEP17	S	1	PD08DEC17		2326.00	2525.93	USD	-4,998.25	
08SEP17	S	2	PD08DEC17		2321.50	2525.93	USD	-10,221.50	
08SEP17	S	2	PD08DEC17		2320.50	2525.93	USD	-10,271.50	
08SEP17	S	1	PD08DEC17		2318.00	2525.93	USD	-5,198.25	
14SEP17	B	40	PD08DEC17		2305.49	2525.93	USD	220,440.00	
21SEP17	B	1	PD08DEC17		2517.83	2525.93	USD	202.50	
	NET	0 AVG	BGHT	2310.67	SOLD	2320.98		10,564.25	0.00
12SEP17	S	1	PD12DEC17		2303.00	2526.54	USD	-5,588.50	
12SEP17	S	4	PD12DEC17		2302.00	2526.54	USD	-22,454.00	
12SEP17	S	3	PD12DEC17		2302.50	2526.54	USD	-16,803.00	
12SEP17	S	3	PD12DEC17		2303.50	2526.54	USD	-16,728.00	
12SEP17	S	9	PD12DEC17		2304.00	2526.54	USD	-50,071.50	
19SEP17	B	20	PD12DEC17		2419.70	2526.54	USD	53,420.00	
	NET	0 AVG	BGHT	2419.70	SOLD	2303.25		-58,225.00	0.00
13SEP17	S	1	PD13DEC17		2292.50	2526.69	USD	-5,854.75	
13SEP17	S	1	PD13DEC17		2293.00	2526.69	USD	-5,842.25	
13SEP17	S	1	PD13DEC17		2294.00	2526.69	USD	-5,817.25	
13SEP17	S	1	PD13DEC17		2295.00	2526.69	USD	-5,792.25	
21SEP17	B	4	PD13DEC17		2517.90	2526.69	USD	879.00	
	NET	0 AVG	BGHT	2517.90	SOLD	2293.63		-22,427.50	0.00
15SEP17	B	2	PD15DEC17		2333.00	2526.99	USD	9,699.50	
15SEP17	B	1	PD15DEC17		2333.50	2526.99	USD	4,837.25	
15SEP17	B	4	PD15DEC17		2334.00	2526.99	USD	19,299.00	
15SEP17	B	1	PD15DEC17		2334.50	2526.99	USD	4,812.25	
15SEP17	B	1	PD15DEC17		2335.00	2526.99	USD	4,799.75	
15SEP17	B	1	PD15DEC17		2337.00	2526.99	USD	4,749.75	
15SEP17	S	4	PD15DEC17		2327.00	2526.99	USD	-19,999.00	
15SEP17	S	2	PD15DEC17		2324.00	2526.99	USD	-10,149.50	
15SEP17	S	3	PD15DEC17		2325.00	2526.99	USD	-15,149.25	
15SEP17	S	1	PD15DEC17		2324.50	2526.99	USD	-5,062.25	
15SEP17	S	1	PD15DEC17		2350.00	2526.99	USD	-4,424.75	
15SEP17	S	1	PD15DEC17		2348.00	2526.99	USD	-4,474.75	
15SEP17	S	1	PD15DEC17		2338.00	2526.99	USD	-4,724.75	
15SEP17	S	1	PD15DEC17		2337.00	2526.99	USD	-4,749.75	
15SEP17	S	1	PD15DEC17		2350.50	2526.99	USD	-4,412.25	
15SEP17	S	1	PD15DEC17		2348.50	2526.99	USD	-4,462.25	
15SEP17	S	2	PD15DEC17		2361.00	2526.99	USD	-8,299.50	
21SEP17	B	8	PD15DEC17		2517.93	2526.99	USD	1,812.00	

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	<u>LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
	NET	0 AVG BGHT 2415.86	SOLD 2336.08				-35,898.50	0.00
19SEP17	B	2 PD19DEC17		2423.00	2527.60	USD	5,230.00	
19SEP17	B	3 PD19DEC17		2423.50	2527.60	USD	7,807.50	
19SEP17	B	4 PD19DEC17		2421.00	2527.60	USD	10,660.00	
19SEP17	B	1 PD19DEC17		2421.00	2527.60	USD	2,665.00	
21SEP17	S	13 PD19DEC17		2517.79	2527.60	USD	-3,188.25	
28SEP17	B	3 PD19DEC17		2473.64	2527.60	USD	4,047.00	
	NET	0 AVG BGHT 2434.03	SOLD 2517.79				27,221.25	0.00
20SEP17	B	1 PD20DEC17		2444.50	2527.75	USD	2,081.25	
20SEP17	B	1 PD20DEC17		2451.00	2527.75	USD	1,918.75	
20SEP17	S	1 PD20DEC17		2458.00	2527.75	USD	-1,743.75	
20SEP17	S	1 PD20DEC17		2459.00	2527.75	USD	-1,718.75	
	NET	0 AVG BGHT 2447.75	SOLD 2458.50				537.50	0.00
21SEP17	B	1 PD21DEC17		2448.00	2526.75	USD	1,968.75	
21SEP17	S	1 PD21DEC17		2439.00	2526.75	USD	-2,193.75	
21SEP17	B	1 PD21DEC17		2440.50	2526.75	USD	2,156.25	
21SEP17	S	1 PD21DEC17		2433.50	2526.75	USD	-2,331.25	
21SEP17	B	1 PD21DEC17		2428.50	2526.75	USD	2,456.25	
21SEP17	B	1 PD21DEC17		2430.00	2526.75	USD	2,418.75	
21SEP17	S	1 PD21DEC17		2435.00	2526.75	USD	-2,293.75	
21SEP17	S	1 PD21DEC17		2479.00	2526.75	USD	-1,193.75	
21SEP17	S	1 PD21DEC17		2478.50	2526.75	USD	-1,206.25	
21SEP17	S	1 PD21DEC17		2483.50	2526.75	USD	-1,081.25	
21SEP17	S	4 PD21DEC17		2483.00	2526.75	USD	-4,375.00	
21SEP17	S	1 PD21DEC17		2479.50	2526.75	USD	-1,181.25	
21SEP17	S	2 PD21DEC17		2479.00	2526.75	USD	-2,387.50	
29SEP17	B	1 PD21DEC17		2489.00	2526.75	USD	943.75	
10OCT17	B	1 PD21DEC17		2554.75	2526.75	USD	-700.00	
	NET	-7 AVG BGHT 2465.13	SOLD 2470.62				-9,000.00	0.00
22SEP17	S	4 PD22DEC17		2472.00	2526.90	USD	-5,490.00	
28SEP17	B	4 PD22DEC17		2471.95	2526.90	USD	5,495.00	
	NET	0 AVG BGHT 2471.95	SOLD 2472.00				5.00	0.00
25SEP17	S	2 PD27DEC17		2489.00	2527.64	USD	-1,932.00	
25SEP17	S	2 PD27DEC17		2489.50	2527.64	USD	-1,907.00	
25SEP17	S	1 PD27DEC17		2504.00	2527.64	USD	-591.00	
25SEP17	B	1 PD27DEC17		2480.00	2527.64	USD	1,191.00	
26SEP17	B	2 PD27DEC17		2489.50	2527.64	USD	1,907.00	
26SEP17	S	1 PD27DEC17		2477.00	2527.64	USD	-1,266.00	
26SEP17	S	1 PD27DEC17		2484.50	2527.64	USD	-1,078.50	
26SEP17	B	1 PD27DEC17		2485.00	2527.64	USD	1,066.00	
27SEP17	B	1 PD27DEC17		2469.50	2527.64	USD	1,453.50	
27SEP17	S	1 PD27DEC17		2457.50	2527.64	USD	-1,753.50	
28SEP17	B	3 PD27DEC17		2471.08	2527.64	USD	4,242.00	
	NET	0 AVG BGHT 2478.34	SOLD 2485.00				1,331.50	0.00
28SEP17	B	1 PD28DEC17		2466.00	2527.78	USD	1,544.50	
28SEP17	S	1 PD28DEC17		2457.50	2527.78	USD	-1,757.00	
28SEP17	B	1 PD28DEC17		2460.50	2527.78	USD	1,682.00	
28SEP17	B	1 PD28DEC17		2488.50	2527.78	USD	982.00	
28SEP17	S	1 PD28DEC17		2482.00	2527.78	USD	-1,144.50	
28SEP17	S	1 PD28DEC17		2460.00	2527.78	USD	-1,694.50	
28SEP17	B	1 PD28DEC17		2463.50	2527.78	USD	1,607.00	
29SEP17	S	1 PD28DEC17		2485.80	2527.78	USD	-1,049.50	
	NET	0 AVG BGHT 2469.63	SOLD 2471.33				170.00	0.00
29SEP17	B	1 PD29DEC17		2505.50	2527.93	USD	560.75	
10OCT17	S	1 PD29DEC17		2554.23	2527.93	USD	657.50	

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09OCT17	<u>LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
	NET	0 AVG BGHT 2505.50	SOLD 2554.23				1,218.25	0.00
	S	1 PD09JAN18		2531.00	2529.56	USD	36.00	
	S	1 PD09JAN18		2527.50	2529.56	USD	-51.50	
09OCT17	NET	-2 AVG SOLD 2529.25					-15.50	0.00
	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
	B	1 PD20OCT17		9535.00	11611.35	USD	12,458.10	
	S	1 PD20OCT17		9530.00	11611.35	USD	-12,488.10	
21JUL17	S	1 PD20OCT17		9555.00	11611.35	USD	-12,338.10	
22AUG17	B	1 PD20OCT17		11396.50	11611.35	USD	1,289.10	
26JUL17	NET	0 AVG BGHT 10465.75	SOLD 9542.50				-11,079.00	0.00
	S	1 PD26OCT17		9955.00	11616.46	USD	-9,968.76	
	S	1 PD26OCT17		9935.00	11616.46	USD	-10,088.76	
	S	1 PD26OCT17		9940.00	11616.46	USD	-10,058.76	
22AUG17	B	3 PD26OCT17		11400.65	11616.46	USD	3,884.58	
27JUL17	NET	0 AVG BGHT 11400.65	SOLD 9943.33				-26,231.70	0.00
	S	1 PD27OCT17		10130.00	11617.31	USD	-8,923.86	
	B	1 PD27OCT17		11401.35	11617.31	USD	1,295.76	
	NET	0 AVG BGHT 11401.35	SOLD 10130.00				-7,628.10	0.00
04AUG17	S	1 PD03NOV17		10360.00	11623.28	USD	-7,579.68	
04AUG17	B	1 PD03NOV17		10325.00	11623.28	USD	7,789.68	
04AUG17	S	2 PD03NOV17		10325.00	11623.28	USD	-15,579.36	
04AUG17	B	2 PD03NOV17		10330.00	11623.28	USD	15,519.36	
04AUG17	S	2 PD03NOV17		10300.00	11623.28	USD	-15,879.36	
04AUG17	B	1 PD03NOV17		10280.00	11623.28	USD	8,059.68	
04AUG17	S	1 PD03NOV17		10260.00	11623.28	USD	-8,179.68	
04AUG17	B	1 PD03NOV17		10200.00	11623.28	USD	8,539.68	
04AUG17	B	1 PD03NOV17		10290.00	11623.28	USD	7,999.68	
07AUG17	NET	0 AVG BGHT 10292.50	SOLD 10311.67				690.00	0.00
	S	2 PD07NOV17		10260.00	11626.69	USD	-16,400.28	
	S	2 PD07NOV17		10280.00	11626.69	USD	-16,160.28	
	B	4 PD07NOV17		10300.00	11626.69	USD	31,840.56	
07AUG17	B	2 PD07NOV17		10365.00	11626.69	USD	15,140.28	
07AUG17	B	2 PD07NOV17		10345.00	11626.69	USD	15,380.28	
22AUG17	S	4 PD07NOV17		11407.96	11626.69	USD	-5,249.52	
08AUG17	NET	0 AVG BGHT 10327.50	SOLD 10838.98				24,551.04	0.00
	B	1 PD08NOV17		10320.00	11627.54	USD	7,845.24	
	S	2 PD08NOV17		10330.00	11627.54	USD	-15,570.48	
	S	1 PD08NOV17		10305.00	11627.54	USD	-7,935.24	
08AUG17	B	1 PD08NOV17		10340.00	11627.54	USD	7,725.24	
08AUG17	B	1 PD08NOV17		10410.00	11627.54	USD	7,305.24	
08AUG17	B	1 PD08NOV17		10490.00	11627.54	USD	6,825.24	
08AUG17	S	1 PD08NOV17		10560.00	11627.54	USD	-6,405.24	
08AUG17	S	1 PD08NOV17		10610.00	11627.54	USD	-6,105.24	
08AUG17	B	1 PD08NOV17		10580.00	11627.54	USD	6,285.24	
08AUG17	B	1 PD08NOV17		10655.00	11627.54	USD	5,835.24	
22AUG17	S	1 PD08NOV17		11408.65	11627.54	USD	-1,313.34	
09AUG17	NET	0 AVG BGHT 10465.83	SOLD 10590.61				4,491.90	0.00
	S	1 PD09NOV17		10750.00	11628.39	USD	-5,270.34	
	S	1 PD09NOV17		10735.00	11628.39	USD	-5,360.34	
	B	1 PD09NOV17		10790.00	11628.39	USD	5,030.34	
09AUG17	B	1 PD09NOV17		10750.00	11628.39	USD	5,270.34	
09AUG17	S	1 PD09NOV17		10800.00	11628.39	USD	-4,970.34	
09AUG17	S	1 PD09NOV17		10805.00	11628.39	USD	-4,940.34	
09AUG17	S	1 PD09NOV17		10740.00	11628.39	USD	-5,330.34	

OPEN POSITION STATEMENT



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SAP094AB

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backoffice@sapiencap.com

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
09AUG17	B	2	PD09NOV17	10770.00	11628.39	USD	10,300.68	
22AUG17	B	1	PD09NOV17	11410.35	11628.39	USD	1,308.24	
	NET	0AVG	BGHT 10898.07				-3,962.10	0.00
			SOLD 10766.00					
10AUG17	B	1	PD10NOV17	10780.00	11629.24	USD	5,095.44	
10AUG17	S	1	PD10NOV17	10810.00	11629.24	USD	-4,915.44	
10AUG17	B	2	PD10NOV17	10770.00	11629.24	USD	10,310.88	
10AUG17	S	2	PD10NOV17	10930.00	11629.24	USD	-8,390.88	
10AUG17	S	2	PD10NOV17	10940.00	11629.24	USD	-8,270.88	
10AUG17	B	2	PD10NOV17	10920.00	11629.24	USD	8,510.88	
11AUG17	S	2	PD10NOV17	10860.00	11629.24	USD	-9,230.88	
11AUG17	S	2	PD10NOV17	10815.00	11629.24	USD	-9,770.88	
11AUG17	S	2	PD10NOV17	10820.00	11629.24	USD	-9,710.88	
11AUG17	B	2	PD10NOV17	10825.00	11629.24	USD	9,650.88	
11AUG17	S	2	PD10NOV17	10745.00	11629.24	USD	-10,610.88	
11AUG17	B	2	PD10NOV17	10770.00	11629.24	USD	10,310.88	
11AUG17	S	2	PD10NOV17	10750.00	11629.24	USD	-10,550.88	
11AUG17	B	3	PD10NOV17	10665.00	11629.24	USD	17,356.32	
11AUG17	B	1	PD10NOV17	10670.00	11629.24	USD	5,755.44	
11AUG17	S	1	PD10NOV17	10650.00	11629.24	USD	-5,875.44	
11AUG17	B	1	PD10NOV17	10675.00	11629.24	USD	5,725.44	
11AUG17	B	1	PD10NOV17	10660.00	11629.24	USD	5,815.44	
22AUG17	B	1	PD10NOV17	11411.04	11629.24	USD	1,309.20	
	NET	0AVG	BGHT 10797.57				2,513.76	0.00
			SOLD 10823.75					
14AUG17	S	1	PD14NOV17	10655.00	11632.65	USD	-5,865.90	
14AUG17	B	1	PD14NOV17	10660.00	11632.65	USD	5,835.90	
14AUG17	S	1	PD14NOV17	10530.00	11632.65	USD	-6,615.90	
14AUG17	B	1	PD14NOV17	10490.00	11632.65	USD	6,855.90	
14AUG17	S	1	PD14NOV17	10410.00	11632.65	USD	-7,335.90	
14AUG17	S	1	PD14NOV17	10440.00	11632.65	USD	-7,155.90	
14AUG17	B	1	PD14NOV17	10405.00	11632.65	USD	7,365.90	
22AUG17	B	1	PD14NOV17	11413.81	11632.65	USD	1,313.04	
	NET	0AVG	BGHT 10742.20				-5,602.86	0.00
			SOLD 10508.75					
15AUG17	B	1	PD15NOV17	10400.00	11633.50	USD	7,401.00	
15AUG17	B	2	PD15NOV17	10375.00	11633.50	USD	15,102.00	
15AUG17	B	2	PD15NOV17	10395.00	11633.50	USD	14,862.00	
22AUG17	S	3	PD15NOV17	11413.50	11633.50	USD	-3,960.00	
22AUG17	S	2	PD15NOV17	11414.50	11633.50	USD	-2,628.00	
	NET	0AVG	BGHT 10388.00				30,777.00	0.00
			SOLD 11413.90					
16AUG17	S	5	PD16NOV17	10565.00	11634.19	USD	-32,075.70	
16AUG17	S	1	PD16NOV17	10655.00	11634.19	USD	-5,875.14	
16AUG17	B	1	PD16NOV17	10670.00	11634.19	USD	5,785.14	
16AUG17	S	1	PD16NOV17	10675.00	11634.19	USD	-5,755.14	
16AUG17	B	2	PD16NOV17	10680.00	11634.19	USD	11,450.28	
16AUG17	B	2	PD16NOV17	10685.00	11634.19	USD	11,390.28	
16AUG17	S	3	PD16NOV17	10730.00	11634.19	USD	-16,275.42	
16AUG17	B	3	PD16NOV17	10750.00	11634.19	USD	15,915.42	
16AUG17	B	2	PD16NOV17	10745.00	11634.19	USD	10,670.28	
16AUG17	B	2	PD16NOV17	10730.00	11634.19	USD	10,850.28	
16AUG17	B	2	PD16NOV17	10725.00	11634.19	USD	10,910.28	
16AUG17	S	2	PD16NOV17	10755.00	11634.19	USD	-10,550.28	
16AUG17	S	1	PD16NOV17	10810.00	11634.19	USD	-4,945.14	
16AUG17	S	2	PD16NOV17	10815.00	11634.19	USD	-9,830.28	
16AUG17	B	1	PD16NOV17	10765.00	11634.19	USD	5,215.14	
16AUG17	S	1	PD16NOV17	10760.00	11634.19	USD	-5,245.14	
16AUG17	B	2	PD16NOV17	10780.00	11634.19	USD	10,250.28	
11SEP17	S	1	PD16NOV17	11748.65	11634.19	USD	686.76	

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	NET	0AVG BGHT 10727.94	SOLD 10753.16				2,571.90	0.00
17AUG17	B	1	PD17NOV17	10815.00	11634.87	USD	4,919.22	
17AUG17	B	1	PD17NOV17	10820.00	11634.87	USD	4,889.22	
17AUG17	S	3	PD17NOV17	10785.00	11634.87	USD	-15,297.66	
17AUG17	S	1	PD17NOV17	10795.00	11634.87	USD	-5,039.22	
17AUG17	S	2	PD17NOV17	10870.00	11634.87	USD	-9,178.44	
17AUG17	S	2	PD17NOV17	10860.00	11634.87	USD	-9,298.44	
17AUG17	S	1	PD17NOV17	10790.00	11634.87	USD	-5,069.22	
17AUG17	S	1	PD17NOV17	10805.00	11634.87	USD	-4,979.22	
17AUG17	S	1	PD17NOV17	10800.00	11634.87	USD	-5,009.22	
17AUG17	B	4	PD17NOV17	10725.00	11634.87	USD	21,836.88	
17AUG17	B	4	PD17NOV17	10750.00	11634.87	USD	21,236.88	
17AUG17	B	1	PD17NOV17	10740.00	11634.87	USD	5,369.22	
17AUG17	B	1	PD17NOV17	10800.00	11634.87	USD	5,009.22	
17AUG17	S	1	PD17NOV17	10810.00	11634.87	USD	-4,949.22	
17AUG17	S	1	PD17NOV17	10780.00	11634.87	USD	-5,129.22	
17AUG17	B	3	PD17NOV17	10760.00	11634.87	USD	15,747.66	
17AUG17	B	1	PD17NOV17	10725.00	11634.87	USD	5,459.22	
17AUG17	B	3	PD17NOV17	10765.00	11634.87	USD	15,657.66	
17AUG17	B	2	PD17NOV17	10735.00	11634.87	USD	10,798.44	
17AUG17	S	2	PD17NOV17	10645.00	11634.87	USD	-11,878.44	
18AUG17	S	2	PD17NOV17	10665.00	11634.87	USD	-11,638.44	
11SEP17	S	4	PD17NOV17	11749.31	11634.87	USD	2,746.56	
	NET	0AVG BGHT 10754.52	SOLD 10962.49				26,203.44	0.00
21AUG17	S	2	PD21NOV17	11090.00	11637.61	USD	-6,571.32	
21AUG17	S	1	PD21NOV17	11195.00	11637.61	USD	-2,655.66	
21AUG17	B	1	PD21NOV17	11315.00	11637.61	USD	1,935.66	
21AUG17	B	1	PD21NOV17	11295.00	11637.61	USD	2,055.66	
21AUG17	S	1	PD21NOV17	11280.00	11637.61	USD	-2,145.66	
22AUG17	B	2	PD21NOV17	11415.58	11637.61	USD	2,664.36	
	NET	0AVG BGHT 11360.29	SOLD 11163.75				-4,716.96	0.00
22AUG17	S	1	PD22NOV17	11365.00	11638.30	USD	-1,639.80	
22AUG17	S	1	PD22NOV17	11345.00	11638.30	USD	-1,759.80	
22AUG17	S	2	PD22NOV17	11485.00	11638.30	USD	-1,839.60	
22AUG17	B	2	PD22NOV17	11470.00	11638.30	USD	2,019.60	
22AUG17	B	1	PD22NOV17	11440.00	11638.30	USD	1,189.80	
22AUG17	B	3	PD22NOV17	11405.00	11638.30	USD	4,199.40	
22AUG17	S	1	PD22NOV17	11410.00	11638.30	USD	-1,369.80	
22AUG17	S	3	PD22NOV17	11430.00	11638.30	USD	-3,749.40	
22AUG17	S	3	PD22NOV17	11420.00	11638.30	USD	-3,929.40	
22AUG17	B	1	PD22NOV17	11415.00	11638.30	USD	1,339.80	
22AUG17	B	2	PD22NOV17	11410.00	11638.30	USD	2,739.60	
22AUG17	S	2	PD22NOV17	11375.00	11638.30	USD	-3,159.60	
22AUG17	S	2	PD22NOV17	11395.00	11638.30	USD	-2,919.60	
23AUG17	B	5	PD22NOV17	11659.75	11638.30	USD	-643.50	
11SEP17	B	1	PD22NOV17	11753.58	11638.30	USD	-691.68	
	NET	0AVG BGHT 11525.49	SOLD 11412.00				-10,213.98	0.00
23AUG17	B	2	PD24NOV17	11380.00	11639.67	USD	3,116.04	
23AUG17	S	2	PD24NOV17	11455.00	11639.67	USD	-2,216.04	
23AUG17	S	2	PD24NOV17	11425.00	11639.67	USD	-2,576.04	
23AUG17	S	1	PD24NOV17	11495.00	11639.67	USD	-868.02	
23AUG17	S	3	PD24NOV17	11580.00	11639.67	USD	-1,074.06	
23AUG17	B	2	PD24NOV17	11555.00	11639.67	USD	1,016.04	
23AUG17	B	1	PD24NOV17	11550.00	11639.67	USD	538.02	
23AUG17	B	2	PD24NOV17	11585.00	11639.67	USD	656.04	
23AUG17	S	2	PD24NOV17	11685.00	11639.67	USD	543.96	

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23AUG17	B	2	PD24NOV17	11715.00	11639.67	USD	-903.96	
24AUG17	S	2	PD24NOV17	11750.00	11639.67	USD	1,323.96	
24AUG17	B	1	PD24NOV17	11625.00	11639.67	USD	88.02	
24AUG17	S	1	PD24NOV17	11615.00	11639.67	USD	-148.02	
24AUG17	S	1	PD24NOV17	11645.00	11639.67	USD	31.98	
24AUG17	B	1	PD24NOV17	11655.00	11639.67	USD	-91.98	
24AUG17	S	1	PD24NOV17	11770.00	11639.67	USD	781.98	
25AUG17	B	1	PD24NOV17	11680.00	11639.67	USD	-241.98	
25AUG17	B	1	PD24NOV17	11650.00	11639.67	USD	-61.98	
25AUG17	B	1	PD24NOV17	11665.00	11639.67	USD	-151.98	
25AUG17	B	1	PD24NOV17	11520.00	11639.67	USD	718.02	
25AUG17	S	1	PD24NOV17	11520.00	11639.67	USD	-718.02	
25AUG17	S	2	PD24NOV17	11550.00	11639.67	USD	-1,076.04	
25AUG17	S	1	PD24NOV17	11455.00	11639.67	USD	-1,108.02	
11SEP17	B	4	PD24NOV17	11754.88	11639.67	USD	-2,765.04	
	NET	0 AVG BGHT 11622.87	SOLD 11577.37				-5,187.12	0.00
29AUG17	S	4	PD29NOV17	11615.00	11643.10	USD	-674.40	
29AUG17	S	2	PD29NOV17	11625.00	11643.10	USD	-217.20	
29AUG17	S	2	PD29NOV17	11775.00	11643.10	USD	1,582.80	
29AUG17	S	2	PD29NOV17	11735.00	11643.10	USD	1,102.80	
29AUG17	S	1	PD29NOV17	11730.00	11643.10	USD	521.40	
29AUG17	S	4	PD29NOV17	11695.00	11643.10	USD	1,245.60	
29AUG17	S	1	PD29NOV17	11760.00	11643.10	USD	701.40	
29AUG17	S	1	PD29NOV17	11765.00	11643.10	USD	731.40	
29AUG17	S	1	PD29NOV17	11710.00	11643.10	USD	401.40	
29AUG17	S	2	PD29NOV17	11610.00	11643.10	USD	-397.20	
29AUG17	S	5	PD29NOV17	11750.00	11643.10	USD	3,207.00	
29AUG17	S	4	PD29NOV17	11770.00	11643.10	USD	3,045.60	
29AUG17	S	9	PD29NOV17	11755.00	11643.10	USD	6,042.60	
29AUG17	S	8	PD29NOV17	11645.00	11643.10	USD	91.20	
29AUG17	S	5	PD29NOV17	11640.00	11643.10	USD	-93.00	
29AUG17	S	3	PD29NOV17	11630.00	11643.10	USD	-235.80	
29AUG17	S	4	PD29NOV17	11635.00	11643.10	USD	-194.40	
29AUG17	S	2	PD29NOV17	11815.00	11643.10	USD	2,062.80	
29AUG17	S	3	PD29NOV17	11755.00	11643.10	USD	2,014.20	
29AUG17	S	3	PD29NOV17	11750.00	11643.10	USD	1,924.20	
29AUG17	S	1	PD29NOV17	11730.00	11643.10	USD	521.40	
29AUG17	S	1	PD29NOV17	11705.00	11643.10	USD	371.40	
29AUG17	S	4	PD29NOV17	11870.00	11643.10	USD	5,445.60	
29AUG17	S	2	PD29NOV17	11875.00	11643.10	USD	2,782.80	
29AUG17	S	2	PD29NOV17	11835.00	11643.10	USD	2,302.80	
29AUG17	S	2	PD29NOV17	11840.00	11643.10	USD	2,362.80	
29AUG17	S	2	PD29NOV17	11860.00	11643.10	USD	2,602.80	
29AUG17	S	2	PD29NOV17	11810.00	11643.10	USD	2,002.80	
29AUG17	S	2	PD29NOV17	11795.00	11643.10	USD	1,822.80	
29AUG17	S	16	PD29NOV17	11805.00	11643.10	USD	15,542.40	
29AUG17	S	18	PD29NOV17	11815.00	11643.10	USD	18,565.20	
29AUG17	S	1	PD29NOV17	11825.00	11643.10	USD	1,091.40	
29AUG17	S	3	PD29NOV17	11820.00	11643.10	USD	3,184.20	
29AUG17	S	2	PD29NOV17	11865.00	11643.10	USD	2,662.80	
29AUG17	S	1	PD29NOV17	11680.00	11643.10	USD	221.40	
29AUG17	S	1	PD29NOV17	11715.00	11643.10	USD	431.40	
29AUG17	B	1	PD29NOV17	11685.00	11643.10	USD	-251.40	
05SEP17	B	123	PD29NOV17	12077.53	11643.10	USD	-320,609.34	
11SEP17	B	2	PD29NOV17	11757.15	11643.10	USD	-1,368.60	
	NET	0 AVG BGHT 12069.33	SOLD 11755.24				-237,452.94	0.00
30AUG17	B	1	PD30NOV17	11695.00	11643.79	USD	-307.26	

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30AUG17	B	1	PD30NOV17	11720.00	11643.79	USD	-457.26	
30AUG17	B	1	PD30NOV17	11780.00	11643.79	USD	-817.26	
30AUG17	S	1	PD30NOV17	11715.00	11643.79	USD	427.26	
30AUG17	B	2	PD30NOV17	11610.00	11643.79	USD	405.48	
30AUG17	B	2	PD30NOV17	11605.00	11643.79	USD	465.48	
30AUG17	B	3	PD30NOV17	11655.00	11643.79	USD	-201.78	
30AUG17	B	6	PD30NOV17	11650.00	11643.79	USD	-223.56	
30AUG17	B	2	PD30NOV17	11645.00	11643.79	USD	-14.52	
30AUG17	B	5	PD30NOV17	11620.00	11643.79	USD	713.70	
30AUG17	S	1	PD30NOV17	11580.00	11643.79	USD	-382.74	
31AUG17	B	1	PD30NOV17	11620.00	11643.79	USD	142.74	
31AUG17	B	2	PD30NOV17	11660.00	11643.79	USD	-194.52	
31AUG17	B	1	PD30NOV17	11655.00	11643.79	USD	-67.26	
31AUG17	B	1	PD30NOV17	11670.00	11643.79	USD	-157.26	
31AUG17	S	1	PD30NOV17	11720.00	11643.79	USD	457.26	
31AUG17	S	1	PD30NOV17	11725.00	11643.79	USD	487.26	
31AUG17	S	1	PD30NOV17	11820.00	11643.79	USD	1,057.26	
31AUG17	S	6	PD30NOV17	11790.00	11643.79	USD	5,263.56	
31AUG17	B	1	PD30NOV17	11765.00	11643.79	USD	-727.26	
31AUG17	S	9	PD30NOV17	11785.00	11643.79	USD	7,625.34	
31AUG17	S	5	PD30NOV17	11795.00	11643.79	USD	4,536.30	
31AUG17	S	1	PD30NOV17	11800.00	11643.79	USD	937.26	
31AUG17	S	1	PD30NOV17	11805.00	11643.79	USD	967.26	
31AUG17	B	1	PD30NOV17	11775.00	11643.79	USD	-787.26	
31AUG17	B	1	PD30NOV17	11770.00	11643.79	USD	-757.26	
11SEP17	S	2	PD30NOV17	11756.81	11643.79	USD	1,356.24	
11SEP17	S	2	PD30NOV17	11757.81	11643.79	USD	1,368.24	
	NET	0 AVG BGHT 11659.84 SOLD 11773.36					21,115.44	0.00
01SEP17	B	1	PD01DEC17	11775.00	11644.47	USD	-783.18	
01SEP17	S	1	PD01DEC17	11830.00	11644.47	USD	1,113.18	
01SEP17	S	2	PD01DEC17	12045.00	11644.47	USD	4,806.36	
01SEP17	S	2	PD01DEC17	11980.00	11644.47	USD	4,026.36	
01SEP17	B	2	PD01DEC17	11980.00	11644.47	USD	-4,026.36	
01SEP17	S	1	PD01DEC17	12015.00	11644.47	USD	2,223.18	
01SEP17	B	1	PD01DEC17	12060.00	11644.47	USD	-2,493.18	
01SEP17	S	2	PD01DEC17	12055.00	11644.47	USD	4,926.36	
01SEP17	B	3	PD01DEC17	12045.00	11644.47	USD	-7,209.54	
01SEP17	B	2	PD01DEC17	12030.00	11644.47	USD	-4,626.36	
01SEP17	S	2	PD01DEC17	12040.00	11644.47	USD	4,746.36	
01SEP17	S	1	PD01DEC17	12050.00	11644.47	USD	2,433.18	
11SEP17	B	2	PD01DEC17	11759.46	11644.47	USD	-1,379.88	
	NET	0 AVG BGHT 11955.36 SOLD 12012.27					3,756.48	0.00
04SEP17	S	6	PD04DEC17	12270.00	11646.53	USD	22,444.92	
04SEP17	S	2	PD04DEC17	12275.00	11646.53	USD	7,541.64	
04SEP17	S	1	PD04DEC17	12280.00	11646.53	USD	3,800.82	
04SEP17	B	2	PD04DEC17	12285.00	11646.53	USD	-7,661.64	
04SEP17	B	2	PD04DEC17	12320.00	11646.53	USD	-8,081.64	
04SEP17	B	1	PD04DEC17	12335.00	11646.53	USD	-4,130.82	
04SEP17	B	2	PD04DEC17	12350.00	11646.53	USD	-8,441.64	
04SEP17	S	2	PD04DEC17	12295.00	11646.53	USD	7,781.64	
04SEP17	S	2	PD04DEC17	12305.00	11646.53	USD	7,901.64	
04SEP17	B	3	PD04DEC17	12310.00	11646.53	USD	-11,942.46	
04SEP17	B	3	PD04DEC17	12305.00	11646.53	USD	-11,852.46	
04SEP17	B	2	PD04DEC17	12295.00	11646.53	USD	-7,781.64	
04SEP17	B	2	PD04DEC17	12300.00	11646.53	USD	-7,841.64	
04SEP17	B	1	PD04DEC17	12240.00	11646.53	USD	-3,560.82	
04SEP17	B	1	PD04DEC17	12200.00	11646.53	USD	-3,320.82	

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
04SEP17	B	1	PD04DEC17	12220.00	11646.53	USD	-3,440.82	
04SEP17	S	1	PD04DEC17	12175.00	11646.53	USD	3,170.82	
04SEP17	B	3	PD04DEC17	12255.00	11646.53	USD	-10,952.46	
04SEP17	S	1	PD04DEC17	12250.00	11646.53	USD	3,620.82	
04SEP17	S	1	PD04DEC17	12265.00	11646.53	USD	3,710.82	
04SEP17	S	1	PD04DEC17	12255.00	11646.53	USD	3,650.82	
11SEP17	S	6	PD04DEC17	11760.42	11646.53	USD	4,100.04	
	NET	0 AVG	BGHT 12291.52				-21,284.88	0.00
05SEP17	S	2	PD05DEC17	12275.00	11647.21	USD	7,533.48	
05SEP17	S	2	PD05DEC17	12265.00	11647.21	USD	7,413.48	
05SEP17	S	2	PD05DEC17	12320.00	11647.21	USD	8,073.48	
05SEP17	S	1	PD05DEC17	12225.00	11647.21	USD	3,466.74	
05SEP17	B	1	PD05DEC17	12235.00	11647.21	USD	-3,526.74	
05SEP17	B	2	PD05DEC17	12220.00	11647.21	USD	-6,873.48	
05SEP17	B	2	PD05DEC17	12215.00	11647.21	USD	-6,813.48	
05SEP17	B	1	PD05DEC17	12205.00	11647.21	USD	-3,346.74	
05SEP17	B	1	PD05DEC17	12190.00	11647.21	USD	-3,256.74	
05SEP17	B	1	PD05DEC17	12165.00	11647.21	USD	-3,106.74	
05SEP17	S	1	PD05DEC17	12240.00	11647.21	USD	3,556.74	
05SEP17	S	1	PD05DEC17	12235.00	11647.21	USD	3,526.74	
05SEP17	S	2	PD05DEC17	12255.00	11647.21	USD	7,293.48	
05SEP17	B	2	PD05DEC17	12110.00	11647.21	USD	-5,553.48	
05SEP17	S	2	PD05DEC17	12070.00	11647.21	USD	5,073.48	
05SEP17	B	2	PD05DEC17	12040.00	11647.21	USD	-4,713.48	
11SEP17	B	1	PD05DEC17	11762.08	11647.21	USD	-689.22	
	NET	0 AVG	BGHT 12132.85				8,057.52	0.00
06SEP17	B	1	PD06DEC17	11990.00	11647.90	USD	-2,052.60	
06SEP17	B	2	PD06DEC17	12000.00	11647.90	USD	-4,225.20	
06SEP17	B	2	PD06DEC17	12015.00	11647.90	USD	-4,405.20	
06SEP17	B	1	PD06DEC17	12070.00	11647.90	USD	-2,532.60	
06SEP17	B	1	PD06DEC17	12065.00	11647.90	USD	-2,502.60	
06SEP17	B	1	PD06DEC17	12055.00	11647.90	USD	-2,442.60	
06SEP17	B	2	PD06DEC17	12030.00	11647.90	USD	-4,585.20	
06SEP17	S	2	PD06DEC17	11985.00	11647.90	USD	4,045.20	
06SEP17	S	1	PD06DEC17	12015.00	11647.90	USD	2,202.60	
06SEP17	S	3	PD06DEC17	12070.00	11647.90	USD	7,597.80	
06SEP17	S	2	PD06DEC17	12110.00	11647.90	USD	5,545.20	
06SEP17	S	2	PD06DEC17	12130.00	11647.90	USD	5,785.20	
06SEP17	S	2	PD06DEC17	12170.00	11647.90	USD	6,265.20	
11SEP17	B	2	PD06DEC17	11762.73	11647.90	USD	-1,377.96	
	NET	0 AVG	BGHT 11982.96				7,317.24	0.00
07SEP17	B	2	PD07DEC17	12225.00	11648.59	USD	-6,916.92	
07SEP17	B	1	PD07DEC17	12230.00	11648.59	USD	-3,488.46	
07SEP17	S	1	PD07DEC17	12225.00	11648.59	USD	3,458.46	
07SEP17	B	1	PD07DEC17	12180.00	11648.59	USD	-3,188.46	
07SEP17	S	1	PD07DEC17	12180.00	11648.59	USD	3,188.46	
07SEP17	B	1	PD07DEC17	12155.00	11648.59	USD	-3,038.46	
07SEP17	B	1	PD07DEC17	12110.00	11648.59	USD	-2,768.46	
07SEP17	B	1	PD07DEC17	12150.00	11648.59	USD	-3,008.46	
07SEP17	S	2	PD07DEC17	12015.00	11648.59	USD	4,396.92	
07SEP17	B	2	PD07DEC17	12070.00	11648.59	USD	-5,056.92	
07SEP17	S	1	PD07DEC17	12135.00	11648.59	USD	2,918.46	
07SEP17	B	3	PD07DEC17	12160.00	11648.59	USD	-9,205.38	
07SEP17	S	1	PD07DEC17	12145.00	11648.59	USD	2,978.46	
07SEP17	S	1	PD07DEC17	12170.00	11648.59	USD	3,128.46	
07SEP17	B	2	PD07DEC17	12200.00	11648.59	USD	-6,616.92	

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>								
07SEP17	S	1	PD07DEC17		12145.00	11648.59	USD	2,978.46	
14SEP17	S	6	PD07DEC17		11194.45	11648.59	USD	-16,349.04	
	NET	0AVG	BGHT 12163.93	SOLD 11728.34				-36,589.80	0.00
08SEP17	S	2	PD08DEC17		12285.00	11649.27	USD	7,628.76	
08SEP17	S	1	PD08DEC17		12265.00	11649.27	USD	3,694.38	
08SEP17	B	3	PD08DEC17		12330.00	11649.27	USD	-12,253.14	
08SEP17	B	1	PD08DEC17		12320.00	11649.27	USD	-4,024.38	
08SEP17	B	3	PD08DEC17		12315.00	11649.27	USD	-11,983.14	
08SEP17	S	1	PD08DEC17		12180.00	11649.27	USD	3,184.38	
08SEP17	S	2	PD08DEC17		12175.00	11649.27	USD	6,308.76	
08SEP17	S	2	PD08DEC17		12160.00	11649.27	USD	6,128.76	
08SEP17	S	1	PD08DEC17		12130.00	11649.27	USD	2,884.38	
08SEP17	S	2	PD08DEC17		12100.00	11649.27	USD	5,408.76	
08SEP17	S	1	PD08DEC17		12095.00	11649.27	USD	2,674.38	
08SEP17	S	2	PD08DEC17		12070.00	11649.27	USD	5,048.76	
08SEP17	S	2	PD08DEC17		11935.00	11649.27	USD	3,428.76	
08SEP17	S	2	PD08DEC17		11965.00	11649.27	USD	3,788.76	
08SEP17	B	2	PD08DEC17		11980.00	11649.27	USD	-3,968.76	
08SEP17	B	2	PD08DEC17		11935.00	11649.27	USD	-3,428.76	
08SEP17	S	1	PD08DEC17		12150.00	11649.27	USD	3,004.38	
08SEP17	S	2	PD08DEC17		11855.00	11649.27	USD	2,468.76	
08SEP17	S	1	PD08DEC17		11860.00	11649.27	USD	1,264.38	
08SEP17	S	2	PD08DEC17		11850.00	11649.27	USD	2,408.76	
08SEP17	S	1	PD08DEC17		11845.00	11649.27	USD	1,174.38	
08SEP17	S	1	PD08DEC17		11840.00	11649.27	USD	1,144.38	
08SEP17	S	1	PD08DEC17		11805.00	11649.27	USD	934.38	
08SEP17	B	2	PD08DEC17		11775.00	11649.27	USD	-1,508.76	
08SEP17	B	2	PD08DEC17		11740.00	11649.27	USD	-1,088.76	
08SEP17	B	2	PD08DEC17		11705.00	11649.27	USD	-668.76	
08SEP17	B	1	PD08DEC17		11765.00	11649.27	USD	-694.38	
08SEP17	B	2	PD08DEC17		11720.00	11649.27	USD	-848.76	
08SEP17	B	2	PD08DEC17		11715.00	11649.27	USD	-788.76	
08SEP17	S	4	PD08DEC17		11750.00	11649.27	USD	2,417.52	
08SEP17	S	2	PD08DEC17		11720.00	11649.27	USD	848.76	
08SEP17	B	2	PD08DEC17		11730.00	11649.27	USD	-968.76	
08SEP17	B	2	PD08DEC17		11685.00	11649.27	USD	-428.76	
08SEP17	B	2	PD08DEC17		11510.00	11649.27	USD	1,671.24	
08SEP17	B	1	PD08DEC17		11505.00	11649.27	USD	865.62	
08SEP17	S	2	PD08DEC17		11550.00	11649.27	USD	-1,191.24	
08SEP17	S	2	PD08DEC17		11590.00	11649.27	USD	-711.24	
11SEP17	B	3	PD08DEC17		11764.04	11649.27	USD	-2,065.86	
14SEP17	B	5	PD08DEC17		11196.24	11649.27	USD	13,590.90	
	NET	0AVG	BGHT 11778.06	SOLD 11937.30				35,350.08	0.00
11SEP17	B	2	PD11DEC17		11700.00	11651.33	USD	-584.04	
11SEP17	B	1	PD11DEC17		11705.00	11651.33	USD	-322.02	
11SEP17	B	1	PD11DEC17		11665.00	11651.33	USD	-82.02	
11SEP17	S	3	PD11DEC17		11755.00	11651.33	USD	1,866.06	
11SEP17	B	3	PD11DEC17		11755.00	11651.33	USD	-1,866.06	
11SEP17	S	3	PD11DEC17		11790.00	11651.33	USD	2,496.06	
11SEP17	S	1	PD11DEC17		11795.00	11651.33	USD	862.02	
11SEP17	S	1	PD11DEC17		11820.00	11651.33	USD	1,012.02	
11SEP17	B	1	PD11DEC17		11810.00	11651.33	USD	-952.02	
	NET	0AVG	BGHT 11730.63	SOLD 11781.25				2,430.00	0.00
12SEP17	S	2	PD12DEC17		11740.00	11652.01	USD	1,055.88	
12SEP17	B	3	PD12DEC17		11665.00	11652.01	USD	-233.82	
12SEP17	S	1	PD12DEC17		11635.00	11652.01	USD	-102.06	

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12SEP17	B	4	PD12DEC17	11670.00	11652.01	USD	-431.76	
12SEP17	B	1	PD12DEC17	11635.00	11652.01	USD	102.06	
12SEP17	S	3	PD12DEC17	11680.00	11652.01	USD	503.82	
12SEP17	B	1	PD12DEC17	11660.00	11652.01	USD	-47.94	
12SEP17	B	1	PD12DEC17	11725.00	11652.01	USD	-437.94	
12SEP17	B	1	PD12DEC17	11730.00	11652.01	USD	-467.94	
12SEP17	B	1	PD12DEC17	11700.00	11652.01	USD	-287.94	
12SEP17	S	1	PD12DEC17	11690.00	11652.01	USD	227.94	
12SEP17	S	1	PD12DEC17	11710.00	11652.01	USD	347.94	
12SEP17	B	1	PD12DEC17	11740.00	11652.01	USD	-527.94	
12SEP17	S	1	PD12DEC17	11775.00	11652.01	USD	737.94	
12SEP17	S	1	PD12DEC17	11760.00	11652.01	USD	647.94	
12SEP17	S	1	PD12DEC17	11850.00	11652.01	USD	1,187.94	
12SEP17	S	3	PD12DEC17	11885.00	11652.01	USD	4,193.82	
12SEP17	S	1	PD12DEC17	11900.00	11652.01	USD	1,487.94	
12SEP17	B	2	PD12DEC17	11885.00	11652.01	USD	-2,795.88	
12SEP17	S	2	PD12DEC17	11905.00	11652.01	USD	3,035.88	
12SEP17	S	2	PD12DEC17	11910.00	11652.01	USD	3,095.88	
12SEP17	S	2	PD12DEC17	11970.00	11652.01	USD	3,815.88	
12SEP17	S	1	PD12DEC17	11965.00	11652.01	USD	1,877.94	
12SEP17	S	2	PD12DEC17	11950.00	11652.01	USD	3,575.88	
12SEP17	S	2	PD12DEC17	11955.00	11652.01	USD	3,635.88	
12SEP17	B	2	PD12DEC17	11920.00	11652.01	USD	-3,215.88	
14SEP17	B	8	PD12DEC17	11198.41	11652.01	USD	21,772.80	
14SEP17	B	1	PD12DEC17	11199.41	11652.01	USD	2,715.60	
	NET	0AVG BGHT 11548.53	SOLD 11840.00				45,469.86	0.00
13SEP17	B	3	PD13DEC17	11885.00	11652.70	USD	-4,181.40	
13SEP17	B	1	PD13DEC17	11890.00	11652.70	USD	-1,423.80	
13SEP17	S	2	PD13DEC17	11855.00	11652.70	USD	2,427.60	
13SEP17	B	1	PD13DEC17	11840.00	11652.70	USD	-1,123.80	
13SEP17	B	1	PD13DEC17	11800.00	11652.70	USD	-883.80	
13SEP17	B	4	PD13DEC17	11815.00	11652.70	USD	-3,895.20	
13SEP17	B	1	PD13DEC17	11740.00	11652.70	USD	-523.80	
13SEP17	B	1	PD13DEC17	11580.00	11652.70	USD	436.20	
13SEP17	B	1	PD13DEC17	11575.00	11652.70	USD	466.20	
13SEP17	B	2	PD13DEC17	11465.00	11652.70	USD	2,252.40	
13SEP17	B	1	PD13DEC17	11455.00	11652.70	USD	1,186.20	
13SEP17	S	1	PD13DEC17	11415.00	11652.70	USD	-1,426.20	
13SEP17	B	2	PD13DEC17	11370.00	11652.70	USD	3,392.40	
13SEP17	S	1	PD13DEC17	11350.00	11652.70	USD	-1,816.20	
13SEP17	S	1	PD13DEC17	11360.00	11652.70	USD	-1,756.20	
13SEP17	B	1	PD13DEC17	11395.00	11652.70	USD	1,546.20	
13SEP17	B	1	PD13DEC17	11365.00	11652.70	USD	1,726.20	
13SEP17	B	1	PD13DEC17	11580.00	11652.70	USD	436.20	
13SEP17	B	1	PD13DEC17	11445.00	11652.70	USD	1,246.20	
13SEP17	B	1	PD13DEC17	11430.00	11652.70	USD	1,336.20	
13SEP17	B	1	PD13DEC17	11380.00	11652.70	USD	1,636.20	
13SEP17	B	1	PD13DEC17	11385.00	11652.70	USD	1,606.20	
13SEP17	S	2	PD13DEC17	11410.00	11652.70	USD	-2,912.40	
14SEP17	S	8	PD13DEC17	11198.21	11652.70	USD	-21,815.52	
19SEP17	S	10	PD13DEC17	11135.41	11652.70	USD	-31,037.40	
	NET	0AVG BGHT 11617.80	SOLD 11263.79				-53,101.32	0.00
14SEP17	S	1	PD14DEC17	11435.00	11653.39	USD	-1,310.34	
14SEP17	S	2	PD14DEC17	11470.00	11653.39	USD	-2,200.68	
14SEP17	S	1	PD14DEC17	11500.00	11653.39	USD	-920.34	
14SEP17	S	1	PD14DEC17	11490.00	11653.39	USD	-980.34	
14SEP17	S	2	PD14DEC17	11475.00	11653.39	USD	-2,140.68	

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14SEP17	S	3	PD14DEC17	11455.00	11653.39	USD	-3,571.02	
14SEP17	B	1	PD14DEC17	11240.00	11653.39	USD	2,480.34	
14SEP17	S	1	PD14DEC17	11270.00	11653.39	USD	-2,300.34	
14SEP17	S	1	PD14DEC17	11245.00	11653.39	USD	-2,450.34	
14SEP17	B	1	PD14DEC17	11165.00	11653.39	USD	2,930.34	
14SEP17	B	2	PD14DEC17	11180.00	11653.39	USD	5,680.68	
14SEP17	B	3	PD14DEC17	11185.00	11653.39	USD	8,431.02	
14SEP17	B	1	PD14DEC17	11190.00	11653.39	USD	2,780.34	
14SEP17	S	1	PD14DEC17	11455.00	11653.39	USD	-1,190.34	
14SEP17	S	1	PD14DEC17	11270.00	11653.39	USD	-2,300.34	
14SEP17	S	1	PD14DEC17	11245.00	11653.39	USD	-2,450.34	
14SEP17	S	1	PD14DEC17	11275.00	11653.39	USD	-2,270.34	
14SEP17	S	4	PD14DEC17	11280.00	11653.39	USD	-8,961.36	
14SEP17	S	1	PD14DEC17	11290.00	11653.39	USD	-2,180.34	
14SEP17	S	7	PD14DEC17	11300.00	11653.39	USD	-14,842.38	
14SEP17	S	1	PD14DEC17	11305.00	11653.39	USD	-2,090.34	
14SEP17	S	7	PD14DEC17	11260.00	11653.39	USD	-16,522.38	
14SEP17	S	2	PD14DEC17	11265.00	11653.39	USD	-4,660.68	
14SEP17	B	2	PD14DEC17	11275.00	11653.39	USD	4,540.68	
14SEP17	B	1	PD14DEC17	11205.00	11653.39	USD	2,690.34	
14SEP17	S	1	PD14DEC17	11255.00	11653.39	USD	-2,390.34	
14SEP17	B	5	PD14DEC17	11200.00	11653.39	USD	13,601.70	
14SEP17	B	2	PD14DEC17	11220.00	11653.39	USD	5,200.68	
19SEP17	B	10	PD14DEC17	11137.18	11653.39	USD	30,972.60	
19SEP17	B	11	PD14DEC17	11136.18	11653.39	USD	34,135.86	
	NET	0AVG BGHT 11168.58	SOLD 11329.74				37,711.32	0.00
15SEP17	B	1	PD15DEC17	11220.00	11654.07	USD	2,604.42	
15SEP17	B	1	PD15DEC17	11255.00	11654.07	USD	2,394.42	
15SEP17	B	2	PD15DEC17	11170.00	11654.07	USD	5,808.84	
15SEP17	S	2	PD15DEC17	11150.00	11654.07	USD	-6,048.84	
15SEP17	S	1	PD15DEC17	11180.00	11654.07	USD	-2,844.42	
15SEP17	S	1	PD15DEC17	11130.00	11654.07	USD	-3,144.42	
15SEP17	B	2	PD15DEC17	11160.00	11654.07	USD	5,928.84	
15SEP17	S	2	PD15DEC17	11010.00	11654.07	USD	-7,728.84	
15SEP17	S	1	PD15DEC17	10970.00	11654.07	USD	-4,104.42	
15SEP17	S	1	PD15DEC17	10880.00	11654.07	USD	-4,644.42	
15SEP17	S	1	PD15DEC17	11025.00	11654.07	USD	-3,774.42	
15SEP17	S	3	PD15DEC17	11050.00	11654.07	USD	-10,873.26	
15SEP17	B	3	PD15DEC17	11105.00	11654.07	USD	9,883.26	
15SEP17	B	1	PD15DEC17	11095.00	11654.07	USD	3,354.42	
15SEP17	S	1	PD15DEC17	11090.00	11654.07	USD	-3,384.42	
15SEP17	S	2	PD15DEC17	10995.00	11654.07	USD	-7,908.84	
15SEP17	S	2	PD15DEC17	11000.00	11654.07	USD	-7,848.84	
19SEP17	B	7	PD15DEC17	11136.94	11654.07	USD	21,719.46	
	NET	0AVG BGHT 11147.27	SOLD 11043.24				-10,611.48	0.00
18SEP17	B	1	PD18DEC17	11195.00	11656.13	USD	2,766.78	
18SEP17	B	1	PD18DEC17	11225.00	11656.13	USD	2,586.78	
18SEP17	S	1	PD18DEC17	11200.00	11656.13	USD	-2,736.78	
18SEP17	B	1	PD18DEC17	11110.00	11656.13	USD	3,276.78	
18SEP17	B	1	PD18DEC17	11205.00	11656.13	USD	2,706.78	
18SEP17	B	1	PD18DEC17	11105.00	11656.13	USD	3,306.78	
18SEP17	B	3	PD18DEC17	11115.00	11656.13	USD	9,740.34	
18SEP17	B	1	PD18DEC17	11165.00	11656.13	USD	2,946.78	
18SEP17	S	2	PD18DEC17	11165.00	11656.13	USD	-5,893.56	
18SEP17	B	1	PD18DEC17	11170.00	11656.13	USD	2,916.78	
18SEP17	S	1	PD18DEC17	11160.00	11656.13	USD	-2,976.78	
18SEP17	S	2	PD18DEC17	11215.00	11656.13	USD	-5,293.56	

OPEN POSITION STATEMENT



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Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
18SEP17	S	1	PD18DEC17	11205.00	11656.13	USD	-2,706.78	
18SEP17	B	2	PD18DEC17	11150.00	11656.13	USD	6,073.56	
18SEP17	S	2	PD18DEC17	11215.00	11656.13	USD	-5,293.56	
18SEP17	S	1	PD18DEC17	11175.00	11656.13	USD	-2,886.78	
18SEP17	S	4	PD18DEC17	11185.00	11656.13	USD	-11,307.12	
18SEP17	B	5	PD18DEC17	11190.00	11656.13	USD	13,983.90	
19SEP17	S	3	PD18DEC17	11138.24	11656.13	USD	-9,322.02	
	NET	0AVG BGHT 11162.94	SOLD 11181.45				1,888.32	0.00
19SEP17	S	1	PD19DEC17	11225.00	11656.81	USD	-2,590.86	
19SEP17	B	1	PD19DEC17	11215.00	11656.81	USD	2,650.86	
19SEP17	B	2	PD19DEC17	11150.00	11656.81	USD	6,081.72	
19SEP17	B	1	PD19DEC17	11145.00	11656.81	USD	3,070.86	
19SEP17	B	2	PD19DEC17	11140.00	11656.81	USD	6,201.72	
19SEP17	B	1	PD19DEC17	11100.00	11656.81	USD	3,340.86	
19SEP17	B	1	PD19DEC17	11095.00	11656.81	USD	3,370.86	
19SEP17	B	1	PD19DEC17	11090.00	11656.81	USD	3,400.86	
19SEP17	B	4	PD19DEC17	10995.00	11656.81	USD	15,883.44	
19SEP17	B	2	PD19DEC17	11155.00	11656.81	USD	6,021.72	
19SEP17	B	2	PD19DEC17	11145.00	11656.81	USD	6,141.72	
19SEP17	B	1	PD19DEC17	11110.00	11656.81	USD	3,280.86	
19SEP17	B	2	PD19DEC17	10990.00	11656.81	USD	8,001.72	
19SEP17	B	2	PD19DEC17	10985.00	11656.81	USD	8,061.72	
19SEP17	B	4	PD19DEC17	10980.00	11656.81	USD	16,243.44	
19SEP17	B	2	PD19DEC17	11030.00	11656.81	USD	7,521.72	
19SEP17	B	4	PD19DEC17	11020.00	11656.81	USD	15,283.44	
19SEP17	B	3	PD19DEC17	11005.00	11656.81	USD	11,732.58	
19SEP17	B	1	PD19DEC17	11000.00	11656.81	USD	3,940.86	
19SEP17	S	1	PD19DEC17	11020.00	11656.81	USD	-3,820.86	
19SEP17	S	1	PD19DEC17	11065.00	11656.81	USD	-3,550.86	
19SEP17	B	3	PD19DEC17	11025.00	11656.81	USD	11,372.58	
19SEP17	B	1	PD19DEC17	11110.00	11656.81	USD	3,280.86	
19SEP17	S	3	PD19DEC17	11210.00	11656.81	USD	-8,042.58	
19SEP17	S	1	PD19DEC17	11180.00	11656.81	USD	-2,860.86	
19SEP17	S	15	PD19DEC17	11139.00	11656.81	USD	-46,602.90	
21SEP17	S	18	PD19DEC17	11003.67	11656.81	USD	-70,539.12	
	NET	0AVG BGHT 11053.13	SOLD 11081.78				6,876.36	0.00
20SEP17	B	2	PD20DEC17	11280.00	11657.50	USD	4,530.00	
20SEP17	B	1	PD20DEC17	11385.00	11657.50	USD	1,635.00	
20SEP17	S	1	PD20DEC17	11380.00	11657.50	USD	-1,665.00	
20SEP17	S	5	PD20DEC17	11360.00	11657.50	USD	-8,925.00	
20SEP17	S	8	PD20DEC17	11355.00	11657.50	USD	-14,520.00	
20SEP17	S	2	PD20DEC17	11310.00	11657.50	USD	-4,170.00	
20SEP17	S	3	PD20DEC17	11330.00	11657.50	USD	-5,895.00	
20SEP17	S	3	PD20DEC17	11335.00	11657.50	USD	-5,805.00	
20SEP17	S	4	PD20DEC17	11345.00	11657.50	USD	-7,500.00	
20SEP17	S	4	PD20DEC17	11350.00	11657.50	USD	-7,380.00	
20SEP17	S	1	PD20DEC17	11330.00	11657.50	USD	-1,965.00	
20SEP17	S	1	PD20DEC17	11340.00	11657.50	USD	-1,905.00	
21SEP17	B	18	PD20DEC17	11005.50	11657.50	USD	70,416.00	
29SEP17	B	11	PD20DEC17	10489.50	11657.50	USD	77,088.00	
	NET	0AVG BGHT 10857.14	SOLD 11346.41				93,939.00	0.00
21SEP17	B	2	PD21DEC17	11200.00	11658.26	USD	5,499.12	
21SEP17	B	3	PD21DEC17	11205.00	11658.26	USD	8,158.68	
21SEP17	B	3	PD21DEC17	11210.00	11658.26	USD	8,068.68	
21SEP17	S	1	PD21DEC17	11110.00	11658.26	USD	-3,289.56	
21SEP17	S	4	PD21DEC17	11100.00	11658.26	USD	-13,398.24	

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
21SEP17	S	2	PD21DEC17	11095.00	11658.26	USD	-6,759.12	
21SEP17	B	2	PD21DEC17	11080.00	11658.26	USD	6,939.12	
21SEP17	B	1	PD21DEC17	11160.00	11658.26	USD	2,989.56	
21SEP17	B	1	PD21DEC17	11110.00	11658.26	USD	3,289.56	
21SEP17	B	6	PD21DEC17	11085.00	11658.26	USD	20,637.36	
21SEP17	S	3	PD21DEC17	11025.00	11658.26	USD	-11,398.68	
21SEP17	S	1	PD21DEC17	11105.00	11658.26	USD	-3,319.56	
21SEP17	B	6	PD21DEC17	11010.00	11658.26	USD	23,337.36	
21SEP17	B	4	PD21DEC17	11000.00	11658.26	USD	15,798.24	
21SEP17	B	4	PD21DEC17	10930.00	11658.26	USD	17,478.24	
21SEP17	B	2	PD21DEC17	10925.00	11658.26	USD	8,799.12	
21SEP17	B	1	PD21DEC17	10955.00	11658.26	USD	4,219.56	
21SEP17	B	3	PD21DEC17	10950.00	11658.26	USD	12,748.68	
21SEP17	B	1	PD21DEC17	10985.00	11658.26	USD	4,039.56	
21SEP17	B	2	PD21DEC17	10980.00	11658.26	USD	8,139.12	
21SEP17	B	1	PD21DEC17	10990.00	11658.26	USD	4,009.56	
21SEP17	B	7	PD21DEC17	11005.00	11658.26	USD	27,436.92	
21SEP17	B	1	PD21DEC17	11030.00	11658.26	USD	3,769.56	
21SEP17	B	1	PD21DEC17	11025.00	11658.26	USD	3,799.56	
21SEP17	B	2	PD21DEC17	11070.00	11658.26	USD	7,059.12	
21SEP17	B	1	PD21DEC17	11135.00	11658.26	USD	3,139.56	
21SEP17	B	2	PD21DEC17	11140.00	11658.26	USD	6,219.12	
21SEP17	S	2	PD21DEC17	11090.00	11658.26	USD	-6,819.12	
21SEP17	B	8	PD21DEC17	11105.00	11658.26	USD	26,556.48	
21SEP17	B	3	PD21DEC17	11095.00	11658.26	USD	10,138.68	
21SEP17	B	3	PD21DEC17	11090.00	11658.26	USD	10,228.68	
21SEP17	S	1	PD21DEC17	10890.00	11658.26	USD	-4,609.56	
21SEP17	S	1	PD21DEC17	10755.00	11658.26	USD	-5,419.56	
21SEP17	S	1	PD21DEC17	10720.00	11658.26	USD	-5,629.56	
21SEP17	B	4	PD21DEC17	11110.00	11658.26	USD	13,158.24	
21SEP17	S	4	PD21DEC17	10830.00	11658.26	USD	-19,878.24	
21SEP17	S	1	PD21DEC17	10755.00	11658.26	USD	-5,419.56	
28SEP17	S	7	PD21DEC17	10431.00	11658.26	USD	-51,544.92	
29SEP17	S	11	PD21DEC17	10489.50	11658.26	USD	-77,138.16	
29SEP17	S	3	PD21DEC17	10490.50	11658.26	USD	-21,019.68	
11OCT17	S	9	PD21DEC17	11119.73	11658.26	USD	-29,080.62	
13OCT17	S	20	PD21DEC17	11658.26	11658.26	USD	0.00	
	NET	+3 AVG BGHT 11059.93	SOLD 11036.84				933.30	0.00
22SEP17	B	1	PD22DEC17	10745.00	11659.02	USD	5,484.12	
22SEP17	B	1	PD22DEC17	10790.00	11659.02	USD	5,214.12	
22SEP17	B	8	PD22DEC17	10785.00	11659.02	USD	41,952.96	
22SEP17	B	2	PD22DEC17	10795.00	11659.02	USD	10,368.24	
22SEP17	S	2	PD22DEC17	10710.00	11659.02	USD	-11,388.24	
22SEP17	S	2	PD22DEC17	10715.00	11659.02	USD	-11,328.24	
22SEP17	S	4	PD22DEC17	10695.00	11659.02	USD	-23,136.48	
22SEP17	S	2	PD22DEC17	10675.00	11659.02	USD	-11,808.24	
22SEP17	S	2	PD22DEC17	10680.00	11659.02	USD	-11,748.24	
22SEP17	B	2	PD22DEC17	10690.00	11659.02	USD	11,628.24	
22SEP17	B	2	PD22DEC17	10680.00	11659.02	USD	11,748.24	
22SEP17	B	1	PD22DEC17	10655.00	11659.02	USD	6,024.12	
22SEP17	B	3	PD22DEC17	10640.00	11659.02	USD	18,342.36	
22SEP17	B	1	PD22DEC17	10600.00	11659.02	USD	6,354.12	
22SEP17	B	1	PD22DEC17	10595.00	11659.02	USD	6,384.12	
22SEP17	S	2	PD22DEC17	10655.00	11659.02	USD	-12,048.24	
22SEP17	S	1	PD22DEC17	10610.00	11659.02	USD	-6,294.12	
22SEP17	S	3	PD22DEC17	10605.00	11659.02	USD	-18,972.36	
22SEP17	B	2	PD22DEC17	10630.00	11659.02	USD	12,348.24	

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22SEP17	S	6	PD22DEC17	10620.00	11659.02	USD	-37,404.72	
22SEP17	S	2	PD22DEC17	10575.00	11659.02	USD	-13,008.24	
22SEP17	S	1	PD22DEC17	10555.00	11659.02	USD	-6,624.24	
22SEP17	S	1	PD22DEC17	10550.00	11659.02	USD	-6,654.12	
22SEP17	B	1	PD22DEC17	10515.00	11659.02	USD	6,864.12	
22SEP17	B	1	PD22DEC17	10545.00	11659.02	USD	6,684.12	
22SEP17	B	2	PD22DEC17	10530.00	11659.02	USD	13,548.24	
22SEP17	B	2	PD22DEC17	10550.00	11659.02	USD	13,308.24	
22SEP17	S	2	PD22DEC17	10515.00	11659.02	USD	-13,728.24	
22SEP17	B	4	PD22DEC17	10475.00	11659.02	USD	28,416.48	
22SEP17	B	2	PD22DEC17	10495.00	11659.02	USD	13,968.24	
22SEP17	S	1	PD22DEC17	10450.00	11659.02	USD	-7,254.12	
22SEP17	B	2	PD22DEC17	10480.00	11659.02	USD	14,148.24	
22SEP17	B	2	PD22DEC17	10470.00	11659.02	USD	14,268.24	
22SEP17	B	2	PD22DEC17	10460.00	11659.02	USD	14,388.24	
22SEP17	B	5	PD22DEC17	10455.00	11659.02	USD	36,120.60	
22SEP17	B	2	PD22DEC17	10440.00	11659.02	USD	14,628.24	
22SEP17	S	2	PD22DEC17	10520.00	11659.02	USD	-13,668.24	
22SEP17	S	2	PD22DEC17	10495.00	11659.02	USD	-13,968.24	
22SEP17	S	6	PD22DEC17	10510.00	11659.02	USD	-41,364.72	
22SEP17	S	6	PD22DEC17	10540.00	11659.02	USD	-40,284.72	
	NET	+2 AVG BGHT 10597.14	SOLD 10592.77				11,508.24	0.00
25SEP17	B	2	PD27DEC17	10490.00	11662.83	USD	14,073.96	
25SEP17	S	2	PD27DEC17	10625.00	11662.83	USD	-12,453.96	
25SEP17	S	4	PD27DEC17	10610.00	11662.83	USD	-25,267.92	
25SEP17	B	4	PD27DEC17	10505.00	11662.83	USD	27,787.92	
25SEP17	B	2	PD27DEC17	10530.00	11662.83	USD	13,593.96	
25SEP17	B	2	PD27DEC17	10535.00	11662.83	USD	13,533.96	
25SEP17	B	4	PD27DEC17	10520.00	11662.83	USD	27,427.92	
25SEP17	B	2	PD27DEC17	10495.00	11662.83	USD	14,013.96	
25SEP17	B	7	PD27DEC17	10525.00	11662.83	USD	47,788.86	
25SEP17	S	3	PD27DEC17	10490.00	11662.83	USD	-21,110.94	
25SEP17	S	4	PD27DEC17	10505.00	11662.83	USD	-27,787.92	
25SEP17	S	2	PD27DEC17	10515.00	11662.83	USD	-13,773.96	
25SEP17	S	4	PD27DEC17	10550.00	11662.83	USD	-26,707.92	
25SEP17	S	2	PD27DEC17	10565.00	11662.83	USD	-13,173.96	
25SEP17	S	2	PD27DEC17	10570.00	11662.83	USD	-13,113.96	
25SEP17	B	2	PD27DEC17	10575.00	11662.83	USD	13,053.96	
25SEP17	B	2	PD27DEC17	10625.00	11662.83	USD	12,453.96	
25SEP17	B	2	PD27DEC17	10600.00	11662.83	USD	12,753.96	
25SEP17	B	2	PD27DEC17	10635.00	11662.83	USD	12,333.96	
25SEP17	S	4	PD27DEC17	10605.00	11662.83	USD	-25,387.92	
25SEP17	S	1	PD27DEC17	10575.00	11662.83	USD	-6,526.98	
25SEP17	B	2	PD27DEC17	10590.00	11662.83	USD	12,873.96	
25SEP17	B	2	PD27DEC17	10585.00	11662.83	USD	12,933.96	
25SEP17	B	1	PD27DEC17	10640.00	11662.83	USD	6,136.98	
26SEP17	S	1	PD27DEC17	10580.00	11662.83	USD	-6,496.98	
26SEP17	B	3	PD27DEC17	10615.00	11662.83	USD	18,860.94	
26SEP17	S	2	PD27DEC17	10645.00	11662.83	USD	-12,213.96	
26SEP17	S	1	PD27DEC17	10665.00	11662.83	USD	-5,986.98	
26SEP17	S	4	PD27DEC17	10660.00	11662.83	USD	-24,067.92	
26SEP17	B	2	PD27DEC17	10630.00	11662.83	USD	12,393.96	
26SEP17	S	6	PD27DEC17	10555.00	11662.83	USD	-39,881.88	
26SEP17	S	4	PD27DEC17	10570.00	11662.83	USD	-26,227.92	
26SEP17	S	2	PD27DEC17	10565.00	11662.83	USD	-13,173.96	
26SEP17	S	1	PD27DEC17	10660.00	11662.83	USD	-6,016.98	
26SEP17	B	1	PD27DEC17	10435.00	11662.83	USD	7,366.98	

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
26SEP17	B	2	PD27DEC17	10485.00	11662.83	USD	14,133.96	
26SEP17	B	4	PD27DEC17	10490.00	11662.83	USD	28,147.92	
26SEP17	B	2	PD27DEC17	10465.00	11662.83	USD	14,373.96	
26SEP17	B	8	PD27DEC17	10475.00	11662.83	USD	57,015.84	
26SEP17	B	2	PD27DEC17	10470.00	11662.83	USD	14,313.96	
26SEP17	B	1	PD27DEC17	10450.00	11662.83	USD	7,276.98	
26SEP17	S	1	PD27DEC17	10455.00	11662.83	USD	-7,246.98	
26SEP17	B	1	PD27DEC17	10445.00	11662.83	USD	7,306.98	
26SEP17	B	6	PD27DEC17	10440.00	11662.83	USD	44,021.88	
26SEP17	S	1	PD27DEC17	10440.00	11662.83	USD	-7,336.98	
26SEP17	B	1	PD27DEC17	10540.00	11662.83	USD	6,736.98	
26SEP17	B	1	PD27DEC17	10525.00	11662.83	USD	6,826.98	
26SEP17	B	2	PD27DEC17	10510.00	11662.83	USD	13,833.96	
26SEP17	B	2	PD27DEC17	10500.00	11662.83	USD	13,953.96	
26SEP17	S	1	PD27DEC17	10510.00	11662.83	USD	-6,916.98	
26SEP17	B	5	PD27DEC17	10480.00	11662.83	USD	35,484.90	
26SEP17	S	2	PD27DEC17	10495.00	11662.83	USD	-14,013.96	
26SEP17	S	3	PD27DEC17	10490.00	11662.83	USD	-21,110.94	
26SEP17	S	5	PD27DEC17	10485.00	11662.83	USD	-35,334.90	
27SEP17	S	2	PD27DEC17	10470.00	11662.83	USD	-14,313.96	
27SEP17	S	2	PD27DEC17	10465.00	11662.83	USD	-14,373.96	
27SEP17	S	1	PD27DEC17	10480.00	11662.83	USD	-7,096.98	
27SEP17	S	2	PD27DEC17	10505.00	11662.83	USD	-13,893.96	
27SEP17	S	1	PD27DEC17	10515.00	11662.83	USD	-6,886.98	
27SEP17	S	1	PD27DEC17	10555.00	11662.83	USD	-6,646.98	
27SEP17	S	1	PD27DEC17	10595.00	11662.83	USD	-6,406.98	
27SEP17	B	1	PD27DEC17	10555.00	11662.83	USD	6,646.98	
27SEP17	S	2	PD27DEC17	10460.00	11662.83	USD	-14,433.96	
27SEP17	S	1	PD27DEC17	10485.00	11662.83	USD	-7,066.98	
27SEP17	S	3	PD27DEC17	10530.00	11662.83	USD	-20,390.94	
27SEP17	S	1	PD27DEC17	10535.00	11662.83	USD	-6,766.98	
27SEP17	S	2	PD27DEC17	10540.00	11662.83	USD	-13,473.96	
27SEP17	S	1	PD27DEC17	10560.00	11662.83	USD	-6,616.98	
27SEP17	B	2	PD27DEC17	10500.00	11662.83	USD	13,953.96	
27SEP17	B	1	PD27DEC17	10495.00	11662.83	USD	7,006.98	
27SEP17	B	2	PD27DEC17	10460.00	11662.83	USD	14,433.96	
27SEP17	S	1	PD27DEC17	10390.00	11662.83	USD	-7,636.98	
27SEP17	S	1	PD27DEC17	10380.00	11662.83	USD	-7,696.98	
27SEP17	S	2	PD27DEC17	10365.00	11662.83	USD	-15,573.96	
27SEP17	B	1	PD27DEC17	10345.00	11662.83	USD	7,906.98	
27SEP17	B	3	PD27DEC17	10355.00	11662.83	USD	23,540.94	
27SEP17	S	1	PD27DEC17	10355.00	11662.83	USD	-7,846.98	
27SEP17	S	1	PD27DEC17	10345.00	11662.83	USD	-7,906.98	
27SEP17	S	1	PD27DEC17	10335.00	11662.83	USD	-7,966.98	
27SEP17	S	1	PD27DEC17	10315.00	11662.83	USD	-8,086.98	
27SEP17	B	3	PD27DEC17	10360.00	11662.83	USD	23,450.94	
27SEP17	B	1	PD27DEC17	10385.00	11662.83	USD	7,666.98	
27SEP17	B	1	PD27DEC17	10395.00	11662.83	USD	7,606.98	
27SEP17	S	1	PD27DEC17	10370.00	11662.83	USD	-7,756.98	
27SEP17	S	4	PD27DEC17	10375.00	11662.83	USD	-30,907.92	
27SEP17	S	1	PD27DEC17	10560.00	11662.83	USD	-6,616.98	
27SEP17	B	1	PD27DEC17	10305.00	11662.83	USD	8,146.98	
27SEP17	S	2	PD27DEC17	10295.00	11662.83	USD	-16,413.96	
27SEP17	B	3	PD27DEC17	10310.00	11662.83	USD	24,350.94	
27SEP17	B	4	PD27DEC17	10295.00	11662.83	USD	32,827.92	
27SEP17	S	2	PD27DEC17	10270.00	11662.83	USD	-16,713.96	
27SEP17	S	2	PD27DEC17	10265.00	11662.83	USD	-16,773.96	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP094AB

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>								
27SEP17	B	1	PD27DEC17		10235.00	11662.83	USD	8,566.98	
27SEP17	B	1	PD27DEC17		10215.00	11662.83	USD	8,686.98	
27SEP17	B	3	PD27DEC17		10220.00	11662.83	USD	25,970.94	
27SEP17	B	4	PD27DEC17		10225.00	11662.83	USD	34,507.92	
27SEP17	B	4	PD27DEC17		10230.00	11662.83	USD	34,387.92	
27SEP17	S	1	PD27DEC17		10245.00	11662.83	USD	-8,506.98	
27SEP17	S	4	PD27DEC17		10250.00	11662.83	USD	-33,907.92	
27SEP17	S	1	PD27DEC17		10235.00	11662.83	USD	-8,566.98	
27SEP17	S	4	PD27DEC17		10240.00	11662.83	USD	-34,147.92	
27SEP17	S	2	PD27DEC17		10255.00	11662.83	USD	-16,893.96	
27SEP17	S	3	PD27DEC17		10275.00	11662.83	USD	-24,980.94	
27SEP17	B	4	PD27DEC17		10350.00	11662.83	USD	31,507.92	
27SEP17	B	3	PD27DEC17		10380.00	11662.83	USD	23,090.94	
27SEP17	B	1	PD27DEC17		10375.00	11662.83	USD	7,726.98	
27SEP17	B	3	PD27DEC17		10370.00	11662.83	USD	23,270.94	
27SEP17	B	6	PD27DEC17		10365.00	11662.83	USD	46,721.88	
27SEP17	S	2	PD27DEC17		10350.00	11662.83	USD	-15,753.96	
27SEP17	B	3	PD27DEC17		10320.00	11662.83	USD	24,170.94	
27SEP17	S	1	PD27DEC17		10320.00	11662.83	USD	-8,056.98	
27SEP17	S	1	PD27DEC17		10325.00	11662.83	USD	-8,026.98	
27SEP17	S	4	PD27DEC17		10285.00	11662.83	USD	-33,067.92	
27SEP17	S	4	PD27DEC17		10280.00	11662.83	USD	-33,187.92	
27SEP17	S	4	PD27DEC17		10300.00	11662.83	USD	-32,707.92	
27SEP17	S	8	PD27DEC17		10290.00	11662.83	USD	-65,895.84	
27SEP17	S	3	PD27DEC17		10340.00	11662.83	USD	-23,810.94	
27SEP17	S	1	PD27DEC17		10280.00	11662.83	USD	-8,296.98	
27SEP17	B	2	PD27DEC17		10225.00	11662.83	USD	17,253.96	
27SEP17	B	1	PD27DEC17		10370.00	11662.83	USD	7,756.98	
28SEP17	B	7	PD27DEC17		10439.67	11662.83	USD	51,372.72	
	NET	0 AVG BGHT	10438.29	SOLD 10440.52				1,933.86	0.00
28SEP17	S	1	PD28DEC17		10295.00	11663.59	USD	-8,211.54	
28SEP17	S	1	PD28DEC17		10335.00	11663.59	USD	-7,971.54	
28SEP17	B	1	PD28DEC17		10275.00	11663.59	USD	8,331.54	
28SEP17	S	3	PD28DEC17		10290.00	11663.59	USD	-24,724.62	
28SEP17	S	1	PD28DEC17		10280.00	11663.59	USD	-8,301.54	
28SEP17	S	7	PD28DEC17		10250.00	11663.59	USD	-59,370.78	
28SEP17	S	9	PD28DEC17		10255.00	11663.59	USD	-76,063.86	
28SEP17	S	7	PD28DEC17		10260.00	11663.59	USD	-58,950.78	
28SEP17	S	2	PD28DEC17		10245.00	11663.59	USD	-17,023.08	
28SEP17	S	3	PD28DEC17		10235.00	11663.59	USD	-25,714.62	
28SEP17	S	4	PD28DEC17		10270.00	11663.59	USD	-33,446.16	
28SEP17	B	1	PD28DEC17		10270.00	11663.59	USD	8,361.54	
28SEP17	B	1	PD28DEC17		10265.00	11663.59	USD	8,391.54	
28SEP17	B	6	PD28DEC17		10280.00	11663.59	USD	49,809.24	
28SEP17	B	7	PD28DEC17		10285.00	11663.59	USD	57,900.78	
28SEP17	B	2	PD28DEC17		10335.00	11663.59	USD	15,943.08	
28SEP17	B	1	PD28DEC17		10280.00	11663.59	USD	8,301.54	
28SEP17	S	1	PD28DEC17		10250.00	11663.59	USD	-8,481.54	
28SEP17	B	5	PD28DEC17		10290.00	11663.59	USD	41,207.70	
28SEP17	B	3	PD28DEC17		10315.00	11663.59	USD	24,274.62	
28SEP17	B	3	PD28DEC17		10300.00	11663.59	USD	24,544.62	
28SEP17	B	3	PD28DEC17		10325.00	11663.59	USD	24,094.62	
28SEP17	B	4	PD28DEC17		10340.00	11663.59	USD	31,766.16	
28SEP17	S	1	PD28DEC17		10360.00	11663.59	USD	-7,821.54	
28SEP17	S	3	PD28DEC17		10370.00	11663.59	USD	-23,284.62	
28SEP17	S	3	PD28DEC17		10380.00	11663.59	USD	-23,104.62	
28SEP17	B	2	PD28DEC17		10385.00	11663.59	USD	15,343.08	

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
28SEP17	B	2	PD28DEC17	10375.00	11663.59	USD	15,463.08	
28SEP17	B	2	PD28DEC17	10350.00	11663.59	USD	15,763.08	
28SEP17	B	1	PD28DEC17	10345.00	11663.59	USD	7,911.54	
28SEP17	S	1	PD28DEC17	10340.00	11663.59	USD	-7,941.54	
28SEP17	S	2	PD28DEC17	10310.00	11663.59	USD	-16,243.08	
28SEP17	B	4	PD28DEC17	10310.00	11663.59	USD	32,486.16	
28SEP17	B	4	PD28DEC17	10335.00	11663.59	USD	31,886.16	
28SEP17	B	3	PD28DEC17	10330.00	11663.59	USD	24,004.62	
28SEP17	B	2	PD28DEC17	10320.00	11663.59	USD	16,123.08	
28SEP17	B	1	PD28DEC17	10455.00	11663.59	USD	7,251.54	
28SEP17	B	2	PD28DEC17	10450.00	11663.59	USD	14,563.08	
28SEP17	S	3	PD28DEC17	10460.00	11663.59	USD	-21,664.62	
28SEP17	S	2	PD28DEC17	10455.00	11663.59	USD	-14,503.08	
28SEP17	S	2	PD28DEC17	10490.00	11663.59	USD	-14,083.08	
28SEP17	S	1	PD28DEC17	10495.00	11663.59	USD	-7,011.54	
28SEP17	B	2	PD28DEC17	10485.00	11663.59	USD	14,143.08	
28SEP17	B	1	PD28DEC17	10440.00	11663.59	USD	7,341.54	
28SEP17	B	4	PD28DEC17	10445.00	11663.59	USD	29,246.16	
28SEP17	S	2	PD28DEC17	10465.00	11663.59	USD	-14,383.08	
28SEP17	S	4	PD28DEC17	10470.00	11663.59	USD	-28,646.16	
28SEP17	S	6	PD28DEC17	10480.00	11663.59	USD	-42,609.24	
28SEP17	S	1	PD28DEC17	10450.00	11663.59	USD	-7,281.54	
28SEP17	S	2	PD28DEC17	10475.00	11663.59	USD	-14,263.08	
28SEP17	B	2	PD28DEC17	10470.00	11663.59	USD	14,323.08	
28SEP17	B	3	PD28DEC17	10475.00	11663.59	USD	21,394.62	
28SEP17	S	4	PD28DEC17	10485.00	11663.59	USD	-28,286.16	
28SEP17	B	1	PD28DEC17	10450.00	11663.59	USD	7,281.54	
29SEP17	B	3	PD28DEC17	10499.79	11663.59	USD	20,948.40	
	NET	0 AVG BGHT 10351.31	SOLD 10349.14				-986.22	0.00
29SEP17	S	3	PD29DEC17	10515.00	11664.35	USD	-20,688.30	
29SEP17	S	1	PD29DEC17	10475.00	11664.35	USD	-7,136.10	
29SEP17	S	1	PD29DEC17	10480.00	11664.35	USD	-7,106.10	
29SEP17	B	5	PD29DEC17	10455.00	11664.35	USD	36,280.50	
29SEP17	B	2	PD29DEC17	10450.00	11664.35	USD	14,572.20	
29SEP17	B	2	PD29DEC17	10445.00	11664.35	USD	14,632.20	
29SEP17	B	1	PD29DEC17	10435.00	11664.35	USD	7,376.10	
29SEP17	S	1	PD29DEC17	10385.00	11664.35	USD	-7,676.10	
29SEP17	B	1	PD29DEC17	10430.00	11664.35	USD	7,406.10	
29SEP17	B	2	PD29DEC17	10480.00	11664.35	USD	14,212.20	
29SEP17	B	2	PD29DEC17	10475.00	11664.35	USD	14,272.20	
29SEP17	B	1	PD29DEC17	10470.00	11664.35	USD	7,166.10	
29SEP17	S	1	PD29DEC17	10490.00	11664.35	USD	-7,046.10	
29SEP17	S	2	PD29DEC17	10495.00	11664.35	USD	-14,032.20	
29SEP17	S	1	PD29DEC17	10500.00	11664.35	USD	-6,986.10	
29SEP17	S	1	PD29DEC17	10500.00	11664.35	USD	-6,986.10	
	NET	+5 AVG BGHT 10456.88	SOLD 10487.73				38,260.50	0.00
09OCT17	S	1	PD09JAN18	10715.00	11672.72	USD	-5,746.32	
09OCT17	B	1	PD09JAN18	10800.00	11672.72	USD	5,236.32	
09OCT17	S	1	PD09JAN18	10705.00	11672.72	USD	-5,806.32	
09OCT17	S	1	PD09JAN18	10720.00	11672.72	USD	-5,716.32	
09OCT17	B	1	PD09JAN18	10745.00	11672.72	USD	5,566.32	
09OCT17	B	2	PD09JAN18	10770.00	11672.72	USD	10,832.64	
09OCT17	B	1	PD09JAN18	10795.00	11672.72	USD	5,266.32	
09OCT17	B	1	PD09JAN18	10715.00	11672.72	USD	5,746.32	
09OCT17	B	2	PD09JAN18	10735.00	11672.72	USD	11,252.64	
09OCT17	S	2	PD09JAN18	10730.00	11672.72	USD	-11,312.64	
09OCT17	S	4	PD09JAN18	10700.00	11672.72	USD	-23,345.28	

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09OCT17	S	2	PD09JAN18	10785.00	11672.72	USD	-10,652.64	
09OCT17	S	2	PD09JAN18	10710.00	11672.72	USD	-11,552.64	
09OCT17	S	3	PD09JAN18	10790.00	11672.72	USD	-15,888.96	
09OCT17	S	1	PD09JAN18	10765.00	11672.72	USD	-5,446.32	
09OCT17	S	1	PD09JAN18	10770.00	11672.72	USD	-5,416.32	
09OCT17	S	1	PD09JAN18	10775.00	11672.72	USD	-5,386.32	
09OCT17	B	1	PD09JAN18	10760.00	11672.72	USD	5,476.32	
09OCT17	S	1	PD09JAN18	10790.00	11672.72	USD	-5,296.32	
09OCT17	B	1	PD09JAN18	10860.00	11672.72	USD	4,876.32	
09OCT17	B	1	PD09JAN18	10870.00	11672.72	USD	4,816.32	
09OCT17	B	1	PD09JAN18	10910.00	11672.72	USD	4,576.32	
09OCT17	B	1	PD09JAN18	10885.00	11672.72	USD	4,726.32	
09OCT17	B	1	PD09JAN18	10880.00	11672.72	USD	4,756.32	
09OCT17	B	1	PD09JAN18	10935.00	11672.72	USD	4,426.32	
09OCT17	S	1	PD09JAN18	10985.00	11672.72	USD	-4,126.32	
09OCT17	S	2	PD09JAN18	10970.00	11672.72	USD	-8,432.64	
09OCT17	S	1	PD09JAN18	10950.00	11672.72	USD	-4,336.32	
11OCT17	B	9	PD09JAN18	11134.55	11672.72	USD	29,061.18	
	NET	0 AVG BGHT 10932.33	SOLD 10780.63				-21,845.70	0.00
10OCT17	B	3	PD10JAN18	10965.00	11673.48	USD	12,752.64	
10OCT17	B	3	PD10JAN18	10970.00	11673.48	USD	12,662.64	
10OCT17	B	4	PD10JAN18	10960.00	11673.48	USD	17,123.52	
10OCT17	B	1	PD10JAN18	10955.00	11673.48	USD	4,310.88	
10OCT17	B	1	PD10JAN18	10935.00	11673.48	USD	4,430.88	
10OCT17	B	3	PD10JAN18	10945.00	11673.48	USD	13,112.64	
10OCT17	B	1	PD10JAN18	10940.00	11673.48	USD	4,400.88	
10OCT17	B	2	PD10JAN18	10920.00	11673.48	USD	9,041.76	
10OCT17	B	4	PD10JAN18	10905.00	11673.48	USD	18,443.52	
10OCT17	B	5	PD10JAN18	10910.00	11673.48	USD	22,904.40	
10OCT17	B	12	PD10JAN18	10950.00	11673.48	USD	52,090.56	
10OCT17	S	1	PD10JAN18	10900.00	11673.48	USD	-4,640.88	
10OCT17	S	1	PD10JAN18	10920.00	11673.48	USD	-4,520.88	
10OCT17	S	1	PD10JAN18	10910.00	11673.48	USD	-4,580.88	
10OCT17	S	1	PD10JAN18	10915.00	11673.48	USD	-4,550.88	
10OCT17	S	1	PD10JAN18	10905.00	11673.48	USD	-4,610.88	
10OCT17	S	2	PD10JAN18	10880.00	11673.48	USD	-9,521.76	
10OCT17	S	5	PD10JAN18	10885.00	11673.48	USD	-23,654.40	
10OCT17	S	12	PD10JAN18	10875.00	11673.48	USD	-57,490.56	
10OCT17	S	1	PD10JAN18	10880.00	11673.48	USD	-4,760.88	
	NET	+14 AVG BGHT 10941.54	SOLD 10884.60				52,942.32	0.00
11OCT17	B	2	PD11JAN18	10955.00	11674.24	USD	8,630.88	
11OCT17	B	2	PD11JAN18	10995.00	11674.24	USD	8,150.88	
11OCT17	B	5	PD11JAN18	10985.00	11674.24	USD	20,677.20	
11OCT17	B	3	PD11JAN18	10975.00	11674.24	USD	12,586.32	
11OCT17	S	5	PD11JAN18	10940.00	11674.24	USD	-22,027.20	
11OCT17	S	5	PD11JAN18	10930.00	11674.24	USD	-22,327.20	
11OCT17	B	1	PD11JAN18	10965.00	11674.24	USD	4,255.44	
11OCT17	B	3	PD11JAN18	10980.00	11674.24	USD	12,496.32	
11OCT17	S	1	PD11JAN18	11010.00	11674.24	USD	-3,985.44	
11OCT17	S	4	PD11JAN18	10990.00	11674.24	USD	-16,421.76	
11OCT17	S	2	PD11JAN18	10965.00	11674.24	USD	-8,510.88	
11OCT17	B	2	PD11JAN18	11085.00	11674.24	USD	7,070.88	
11OCT17	B	2	PD11JAN18	11065.00	11674.24	USD	7,310.88	
11OCT17	B	2	PD11JAN18	11120.00	11674.24	USD	6,650.88	
11OCT17	B	2	PD11JAN18	11135.00	11674.24	USD	6,470.88	
11OCT17	B	2	PD11JAN18	11115.00	11674.24	USD	6,710.88	
11OCT17	B	6	PD11JAN18	11140.00	11674.24	USD	19,232.64	

OPEN POSITION STATEMENT



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SAP094AB

13th October 2017

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backoffice@sapiencap.com

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>								
11OCT17	S	5	PD11JAN18		11155.00	11674.24	USD	-15,577.20	
11OCT17	S	2	PD11JAN18		11135.00	11674.24	USD	-6,470.88	
11OCT17	S	1	PD11JAN18		11140.00	11674.24	USD	-3,205.44	
11OCT17	S	4	PD11JAN18		11120.00	11674.24	USD	-13,301.76	
11OCT17	S	3	PD11JAN18		11010.00	11674.24	USD	-11,956.32	
11OCT17	S	1	PD11JAN18		11015.00	11674.24	USD	-3,955.44	
11OCT17	B	2	PD11JAN18		11205.00	11674.24	USD	5,630.88	
11OCT17	S	7	PD11JAN18		11235.00	11674.24	USD	-18,448.08	
11OCT17	B	1	PD11JAN18		11175.00	11674.24	USD	2,995.44	
11OCT17	B	4	PD11JAN18		11160.00	11674.24	USD	12,341.76	
11OCT17	B	1	PD11JAN18		11175.00	11674.24	USD	2,995.44	
	NET	0AVG	BGHT 11073.38	SOLD 11065.13				-1,980.00	0.00
12OCT17	B	4	PD12JAN18		11190.00	11675.00	USD	11,640.00	
12OCT17	B	10	PD12JAN18		11185.00	11675.00	USD	29,400.00	
12OCT17	S	1	PD12JAN18		11170.00	11675.00	USD	-3,030.00	
12OCT17	B	5	PD12JAN18		11180.00	11675.00	USD	14,850.00	
12OCT17	B	5	PD12JAN18		11170.00	11675.00	USD	15,150.00	
12OCT17	B	1	PD12JAN18		11195.00	11675.00	USD	2,880.00	
12OCT17	B	2	PD12JAN18		11215.00	11675.00	USD	5,520.00	
12OCT17	B	2	PD12JAN18		11225.00	11675.00	USD	5,400.00	
12OCT17	B	2	PD12JAN18		11230.00	11675.00	USD	5,340.00	
12OCT17	B	2	PD12JAN18		11235.00	11675.00	USD	5,280.00	
12OCT17	B	1	PD12JAN18		11220.00	11675.00	USD	2,730.00	
12OCT17	S	2	PD12JAN18		11245.00	11675.00	USD	-5,160.00	
12OCT17	S	2	PD12JAN18		11255.00	11675.00	USD	-5,040.00	
12OCT17	B	2	PD12JAN18		11290.00	11675.00	USD	4,620.00	
12OCT17	B	2	PD12JAN18		11240.00	11675.00	USD	5,220.00	
12OCT17	B	1	PD12JAN18		11250.00	11675.00	USD	2,550.00	
12OCT17	B	6	PD12JAN18		11255.00	11675.00	USD	15,120.00	
12OCT17	S	2	PD12JAN18		11260.00	11675.00	USD	-4,980.00	
12OCT17	S	3	PD12JAN18		11265.00	11675.00	USD	-7,380.00	
12OCT17	S	1	PD12JAN18		11285.00	11675.00	USD	-2,340.00	
12OCT17	S	2	PD12JAN18		11290.00	11675.00	USD	-4,620.00	
12OCT17	S	1	PD12JAN18		11270.00	11675.00	USD	-2,430.00	
12OCT17	S	1	PD12JAN18		11315.00	11675.00	USD	-2,160.00	
12OCT17	S	5	PD12JAN18		11355.00	11675.00	USD	-9,600.00	
12OCT17	B	3	PD12JAN18		11365.00	11675.00	USD	5,580.00	
12OCT17	B	2	PD12JAN18		11365.00	11675.00	USD	3,720.00	
12OCT17	S	2	PD12JAN18		11365.00	11675.00	USD	-3,720.00	
12OCT17	B	2	PD12JAN18		11425.00	11675.00	USD	3,000.00	
12OCT17	B	5	PD12JAN18		11370.00	11675.00	USD	9,150.00	
13OCT17	S	2	PD12JAN18		11405.00	11675.00	USD	-3,240.00	
13OCT17	S	1	PD12JAN18		11410.00	11675.00	USD	-1,590.00	
13OCT17	S	3	PD12JAN18		11415.00	11675.00	USD	-4,680.00	
13OCT17	S	4	PD12JAN18		11435.00	11675.00	USD	-5,760.00	
13OCT17	S	2	PD12JAN18		11430.00	11675.00	USD	-2,940.00	
13OCT17	S	4	PD12JAN18		11440.00	11675.00	USD	-5,640.00	
13OCT17	S	2	PD12JAN18		11480.00	11675.00	USD	-2,340.00	
13OCT17	S	3	PD12JAN18		11595.00	11675.00	USD	-1,440.00	
13OCT17	S	1	PD12JAN18		11600.00	11675.00	USD	-450.00	
13OCT17	S	4	PD12JAN18		11640.00	11675.00	USD	-840.00	
13OCT17	S	2	PD12JAN18		11665.00	11675.00	USD	-120.00	
13OCT17	S	2	PD12JAN18		11695.00	11675.00	USD	240.00	
13OCT17	S	1	PD12JAN18		11700.00	11675.00	USD	150.00	
13OCT17	S	1	PD12JAN18		11710.00	11675.00	USD	210.00	
13OCT17	S	2	PD12JAN18		11585.00	11675.00	USD	-1,080.00	
13OCT17	S	2	PD12JAN18		11570.00	11675.00	USD	-1,260.00	

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
13OCT17	S 1 PD12JAN18	11590.00	11675.00	USD	-510.00	
13OCT17	S 2 PD12JAN18	11595.00	11675.00	USD	-960.00	
13OCT17	S 4 PD12JAN18	11615.00	11675.00	USD	-1,440.00	
13OCT17	S 2 PD12JAN18	11620.00	11675.00	USD	-660.00	
13OCT17	S 3 PD12JAN18	11610.00	11675.00	USD	-1,170.00	
13OCT17	S 3 PD12JAN18	11615.00	11675.00	USD	-1,080.00	
13OCT17	S 6 PD12JAN18	11605.00	11675.00	USD	-2,520.00	
13OCT17	S 1 PD12JAN18	11580.00	11675.00	USD	-570.00	
13OCT17	S 2 PD12JAN18	11590.00	11675.00	USD	-1,020.00	
13OCT17	S 3 PD12JAN18	11630.00	11675.00	USD	-810.00	
13OCT17	S 1 PD12JAN18	11645.00	11675.00	USD	-180.00	
13OCT17	S 3 PD12JAN18	11650.00	11675.00	USD	-450.00	
13OCT17	B 1 PD12JAN18	11725.00	11675.00	USD	-300.00	
13OCT17	B 1 PD12JAN18	11730.00	11675.00	USD	-330.00	
13OCT17	S 1 PD12JAN18	11625.00	11675.00	USD	-300.00	
13OCT17	B 20 PD12JAN18	11676.00	11675.00	USD	-120.00	
	NET -11 AVG BGHT 11366.14 SOLD 11502.94				53,490.00	0.00
	<u>CMX SILVER 5,000 CMX</u>					
20SEP17	S 5 DEC17	1727.0	1741.1	USD	-3,525.00	
10OCT17	S 1 DEC17	1717.5	1741.1	USD	-1,180.00	
	NET -6 AVG SOLD 1725.4				-4,705.00	0.00
	<u>LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
21JUL17	S 1 PD20OCT17	20085.00	20730.00	USD	-3,225.00	
03AUG17	B 1 PD20OCT17	20679.17	20730.00	USD	254.15	
	NET 0 AVG BGHT 20679.17 SOLD 20085.00				-2,970.85	0.00
01AUG17	S 1 PD01NOV17	20420.00	20710.00	USD	-1,450.00	
01AUG17	S 1 PD01NOV17	20430.00	20710.00	USD	-1,400.00	
03AUG17	B 1 PD01NOV17	20655.83	20710.00	USD	270.85	
22AUG17	B 1 PD01NOV17	20391.84	20710.00	USD	1,590.80	
	NET 0 AVG BGHT 20523.84 SOLD 20425.00				-988.35	0.00
02AUG17	B 1 PD02NOV17	20460.00	20707.50	USD	1,237.50	
02AUG17	B 1 PD02NOV17	20455.00	20707.50	USD	1,262.50	
03AUG17	S 2 PD02NOV17	20651.92	20707.50	USD	-555.80	
	NET 0 AVG BGHT 20457.50 SOLD 20651.92				1,944.20	0.00
03AUG17	B 1 PD03NOV17	20600.00	20705.00	USD	525.00	
22AUG17	S 1 PD03NOV17	20387.47	20705.00	USD	-1,587.65	
	NET 0 AVG BGHT 20600.00 SOLD 20387.47				-1,062.65	0.00
08AUG17	B 1 PD08NOV17	20590.00	20697.50	USD	537.50	
08AUG17	S 1 PD08NOV17	20545.00	20697.50	USD	-762.50	
08AUG17	B 1 PD08NOV17	20340.00	20697.50	USD	1,787.50	
22AUG17	S 1 PD08NOV17	20383.42	20697.50	USD	-1,570.40	
	NET 0 AVG BGHT 20465.00 SOLD 20464.21				-7.90	0.00
14AUG17	S 1 PD14NOV17	20335.00	20687.50	USD	-1,762.50	
22AUG17	B 1 PD14NOV17	20377.68	20687.50	USD	1,549.10	
	NET 0 AVG BGHT 20377.68 SOLD 20335.00				-213.40	0.00
15AUG17	S 1 PD15NOV17	20310.00	20685.00	USD	-1,875.00	
15AUG17	B 1 PD15NOV17	20220.00	20685.00	USD	2,325.00	
15AUG17	B 1 PD15NOV17	20115.00	20685.00	USD	2,850.00	
15AUG17	B 1 PD15NOV17	19770.00	20685.00	USD	4,575.00	
15AUG17	S 1 PD15NOV17	19860.00	20685.00	USD	-4,125.00	
15AUG17	S 1 PD15NOV17	19935.00	20685.00	USD	-3,750.00	
15AUG17	B 1 PD15NOV17	19740.00	20685.00	USD	4,725.00	
11SEP17	S 1 PD15NOV17	20785.00	20685.00	USD	500.00	

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	<u>LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
	NET	0 AVG BGHT 19961.25	SOLD 20222.50				5,225.00	0.00
22AUG17	S	1 PD22NOV17		20420.00	20675.63	USD	-1,278.15	
11SEP17	B	1 PD22NOV17		20775.71	20675.63	USD	-500.40	
	NET	0 AVG BGHT 20775.71	SOLD 20420.00				-1,778.55	0.00
05SEP17	S	1 PD05DEC17		20710.00	20660.63	USD	246.85	
11SEP17	B	1 PD05DEC17		20758.24	20660.63	USD	-488.05	
	NET	0 AVG BGHT 20758.24	SOLD 20710.00				-241.20	0.00
06SEP17	S	1 PD06DEC17		20770.00	20658.75	USD	556.25	
06SEP17	S	1 PD06DEC17		20750.00	20658.75	USD	456.25	
11SEP17	B	1 PD06DEC17		20756.18	20658.75	USD	-487.15	
21SEP17	B	1 PD06DEC17		20480.83	20658.75	USD	889.60	
	NET	0 AVG BGHT 20618.51	SOLD 20760.00				1,414.95	0.00
07SEP17	B	1 PD07DEC17		20755.00	20656.88	USD	-490.60	
07SEP17	B	1 PD07DEC17		20735.00	20656.88	USD	-390.60	
11SEP17	S	2 PD07DEC17		20753.12	20656.88	USD	962.40	
	NET	0 AVG BGHT 20745.00	SOLD 20753.12				81.20	0.00
08SEP17	B	1 PD08DEC17		20790.00	20655.00	USD	-675.00	
08SEP17	S	1 PD08DEC17		20680.00	20655.00	USD	125.00	
	NET	0 AVG BGHT 20790.00	SOLD 20680.00				-550.00	0.00
11SEP17	S	1 PD11DEC17		20680.00	20653.13	USD	134.35	
21SEP17	B	1 PD11DEC17		20474.58	20653.13	USD	892.75	
	NET	0 AVG BGHT 20474.58	SOLD 20680.00				1,027.10	0.00
14SEP17	B	1 PD14DEC17		20680.00	20647.50	USD	-162.50	
21SEP17	S	1 PD14DEC17		20467.33	20647.50	USD	-900.85	
	NET	0 AVG BGHT 20680.00	SOLD 20467.33				-1,063.35	0.00
15SEP17	S	1 PD15DEC17		20515.00	20645.63	USD	-653.15	
15SEP17	S	1 PD15DEC17		20550.00	20645.63	USD	-478.15	
15SEP17	B	1 PD15DEC17		20485.00	20645.63	USD	803.15	
21SEP17	B	1 PD15DEC17		20466.25	20645.63	USD	896.90	
	NET	0 AVG BGHT 20475.63	SOLD 20532.50				568.75	0.00
18SEP17	S	1 PD18DEC17		20635.00	20643.75	USD	-43.75	
21SEP17	B	1 PD18DEC17		20464.17	20643.75	USD	897.90	
	NET	0 AVG BGHT 20464.17	SOLD 20635.00				854.15	0.00
19SEP17	B	1 PD19DEC17		20690.00	20641.88	USD	-240.60	
21SEP17	S	1 PD19DEC17		20461.08	20641.88	USD	-904.00	
	NET	0 AVG BGHT 20690.00	SOLD 20461.08				-1,144.60	0.00
20SEP17	B	1 PD20DEC17		20765.00	20640.00	USD	-625.00	
20SEP17	B	1 PD20DEC17		20630.00	20640.00	USD	50.00	
21SEP17	S	2 PD20DEC17		20459.00	20640.00	USD	-1,810.00	
	NET	0 AVG BGHT 20697.50	SOLD 20459.00				-2,385.00	0.00
21SEP17	S	1 PD21DEC17		20560.00	20637.14	USD	-385.70	
21SEP17	B	1 PD21DEC17		20500.00	20637.14	USD	685.70	
	NET	0 AVG BGHT 20500.00	SOLD 20560.00				300.00	0.00
22SEP17	B	1 PD22DEC17		20365.00	20634.29	USD	1,346.45	
22SEP17	S	2 PD22DEC17		20470.00	20634.29	USD	-1,642.90	
22SEP17	S	1 PD22DEC17		20455.00	20634.29	USD	-896.45	
22SEP17	S	1 PD22DEC17		20440.00	20634.29	USD	-971.45	
22SEP17	B	1 PD22DEC17		20445.00	20634.29	USD	946.45	
22SEP17	S	1 PD22DEC17		20405.00	20634.29	USD	-1,146.45	
22SEP17	S	1 PD22DEC17		20575.00	20634.29	USD	-296.45	
13OCT17	B	4 PD22DEC17		20634.29	20634.29	USD	0.00	
	NET	0 AVG BGHT 20557.86	SOLD 20469.17				-2,660.80	0.00
25SEP17	S	1 PD27DEC17		20605.00	20631.43	USD	-132.15	

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	<u>LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
25SEP17	S	1	PD27DEC17	20610.00	20631.43	USD	-107.15	
25SEP17	B	1	PD27DEC17	20600.00	20631.43	USD	157.15	
25SEP17	B	1	PD27DEC17	20590.00	20631.43	USD	207.15	
25SEP17	B	1	PD27DEC17	20580.00	20631.43	USD	257.15	
25SEP17	S	1	PD27DEC17	20585.00	20631.43	USD	-232.15	
25SEP17	B	1	PD27DEC17	20630.00	20631.43	USD	7.15	
25SEP17	S	1	PD27DEC17	20685.00	20631.43	USD	267.85	
25SEP17	S	1	PD27DEC17	20705.00	20631.43	USD	367.85	
26SEP17	B	1	PD27DEC17	20720.00	20631.43	USD	-442.85	
26SEP17	B	1	PD27DEC17	20735.00	20631.43	USD	-517.85	
26SEP17	B	1	PD27DEC17	20730.00	20631.43	USD	-492.85	
26SEP17	S	1	PD27DEC17	20705.00	20631.43	USD	367.85	
26SEP17	S	1	PD27DEC17	20675.00	20631.43	USD	217.85	
27SEP17	B	1	PD27DEC17	20720.00	20631.43	USD	-442.85	
27SEP17	S	1	PD27DEC17	20675.00	20631.43	USD	217.85	
27SEP17	S	1	PD27DEC17	20685.00	20631.43	USD	267.85	
27SEP17	S	1	PD27DEC17	20680.00	20631.43	USD	242.85	
13OCT17	B	2	PD27DEC17	20631.43	20631.43	USD	0.00	
	NET	0 AVG BGHT 20656.79	SOLD 20661.00				210.70	0.00
28SEP17	S	1	PD28DEC17	20625.00	20628.57	USD	-17.85	
28SEP17	B	1	PD28DEC17	20720.00	20628.57	USD	-457.15	
	NET	0 AVG BGHT 20720.00	SOLD 20625.00				-475.00	0.00
09OCT17	B	2	PD09JAN18	20690.00	20608.57	USD	-814.30	
09OCT17	B	2	PD09JAN18	20680.00	20608.57	USD	-714.30	
09OCT17	B	2	PD09JAN18	20650.00	20608.57	USD	-414.30	
13OCT17	S	6	PD09JAN18	20607.57	20608.57	USD	-30.00	
	NET	0 AVG BGHT 20673.33	SOLD 20607.57				-1,972.90	0.00
11OCT17	S	1	PD11JAN18	20750.00	20602.86	USD	735.70	
11OCT17	S	1	PD11JAN18	20810.00	20602.86	USD	1,035.70	
13OCT17	B	1	PD11JAN18	20602.86	20602.86	USD	0.00	
	NET	-1 AVG BGHT 20602.86	SOLD 20780.00				1,771.40	0.00
12OCT17	B	1	PD12JAN18	20800.00	20600.00	USD	-1,000.00	
13OCT17	B	1	PD12JAN18	20750.00	20600.00	USD	-750.00	
13OCT17	B	1	PD12JAN18	20700.00	20600.00	USD	-500.00	
13OCT17	B	1	PD12JAN18	20775.00	20600.00	USD	-875.00	
13OCT17	B	1	PD12JAN18	20665.00	20600.00	USD	-325.00	
13OCT17	S	1	PD12JAN18	20599.00	20600.00	USD	-5.00	
	NET	+4 AVG BGHT 20738.00	SOLD 20599.00				-3,455.00	0.00
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
27JUL17	B	1	PD27OCT17	2820.00	3270.73	USD	11,268.25	
27JUL17	B	2	PD27OCT17	2817.50	3270.73	USD	22,661.50	
27JUL17	B	2	PD27OCT17	2818.50	3270.73	USD	22,611.50	
27JUL17	B	1	PD27OCT17	2819.00	3270.73	USD	11,293.25	
27JUL17	B	1	PD27OCT17	2810.00	3270.73	USD	11,518.25	
27JUL17	B	1	PD27OCT17	2810.50	3270.73	USD	11,505.75	
27JUL17	B	2	PD27OCT17	2810.00	3270.73	USD	23,036.50	
27JUL17	S	1	PD27OCT17	2795.00	3270.73	USD	-11,893.25	
28JUL17	S	1	PD27OCT17	2790.50	3270.73	USD	-12,005.75	
28JUL17	S	1	PD27OCT17	2787.50	3270.73	USD	-12,080.75	
28JUL17	S	3	PD27OCT17	2788.00	3270.73	USD	-36,204.75	
28JUL17	B	2	PD27OCT17	2788.50	3270.73	USD	24,111.50	
28JUL17	B	3	PD27OCT17	2788.00	3270.73	USD	36,204.75	
03AUG17	S	5	PD27OCT17	2790.25	3270.73	USD	-60,060.00	
22AUG17	S	4	PD27OCT17	3116.94	3270.73	USD	-15,379.00	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP094AB

13th October 2017

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backoffice@sapiencap.com

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
	NET	0 AVG BGHT 2806.17	SOLD 2877.07				26,587.75	0.00
31JUL17	B	1 PD31OCT17		2808.00	3268.47	USD	11,511.75	
31JUL17	B	3 PD31OCT17		2801.00	3268.47	USD	35,060.25	
31JUL17	S	2 PD31OCT17		2801.50	3268.47	USD	-23,348.50	
31JUL17	S	1 PD31OCT17		2799.50	3268.47	USD	-11,724.25	
22AUG17	S	1 PD31OCT17		3117.48	3268.47	USD	-3,774.75	
	NET	0 AVG BGHT 2802.75	SOLD 2880.00				7,724.50	0.00
01AUG17	S	1 PD01NOV17		2799.00	3267.33	USD	-11,708.25	
01AUG17	S	2 PD01NOV17		2782.00	3267.33	USD	-24,266.50	
01AUG17	S	1 PD01NOV17		2772.50	3267.33	USD	-12,370.75	
01AUG17	S	1 PD01NOV17		2773.00	3267.33	USD	-12,358.25	
03AUG17	B	5 PD01NOV17		2791.70	3267.33	USD	59,453.75	
	NET	0 AVG BGHT 2791.70	SOLD 2781.70				-1,250.00	0.00
03AUG17	B	1 PD03NOV17		2794.00	3265.07	USD	11,776.75	
04AUG17	B	1 PD03NOV17		2831.00	3265.07	USD	10,851.75	
04AUG17	S	1 PD03NOV17		2817.50	3265.07	USD	-11,189.25	
04AUG17	B	1 PD03NOV17		2808.00	3265.07	USD	11,426.75	
04AUG17	S	1 PD03NOV17		2814.50	3265.07	USD	-11,264.25	
11SEP17	S	1 PD03NOV17		3079.87	3265.07	USD	-4,630.00	
	NET	0 AVG BGHT 2811.00	SOLD 2903.96				6,971.75	0.00
07AUG17	S	1 PD07NOV17		2805.00	3262.80	USD	-11,445.00	
07AUG17	S	1 PD07NOV17		2805.50	3262.80	USD	-11,432.50	
07AUG17	S	1 PD07NOV17		2801.50	3262.80	USD	-11,532.50	
07AUG17	S	1 PD07NOV17		2802.00	3262.80	USD	-11,520.00	
07AUG17	S	1 PD07NOV17		2797.00	3262.80	USD	-11,645.00	
22AUG17	B	5 PD07NOV17		3118.62	3262.80	USD	18,022.50	
	NET	0 AVG BGHT 3118.62	SOLD 2802.20				-39,552.50	0.00
11AUG17	B	1 PD10NOV17		2922.50	3259.40	USD	8,422.50	
11AUG17	B	1 PD10NOV17		2922.00	3259.40	USD	8,435.00	
11AUG17	B	1 PD10NOV17		2921.50	3259.40	USD	8,447.50	
11AUG17	B	1 PD10NOV17		2919.00	3259.40	USD	8,510.00	
11AUG17	B	1 PD10NOV17		2920.50	3259.40	USD	8,472.50	
11AUG17	B	3 PD10NOV17		2923.00	3259.40	USD	25,230.00	
11AUG17	S	2 PD10NOV17		2907.00	3259.40	USD	-17,620.00	
11SEP17	S	6 PD10NOV17		3080.43	3259.40	USD	-26,845.50	
	NET	0 AVG BGHT 2921.81	SOLD 3037.07				23,052.00	0.00
16AUG17	B	1 PD16NOV17		3039.50	3253.50	USD	5,350.00	
16AUG17	B	3 PD16NOV17		3039.00	3253.50	USD	16,087.50	
16AUG17	B	2 PD16NOV17		3042.00	3253.50	USD	10,575.00	
16AUG17	B	1 PD16NOV17		3044.00	3253.50	USD	5,237.50	
16AUG17	B	1 PD16NOV17		3044.50	3253.50	USD	5,225.00	
16AUG17	B	1 PD16NOV17		3096.00	3253.50	USD	3,937.50	
16AUG17	B	2 PD16NOV17		3042.00	3253.50	USD	10,575.00	
16AUG17	B	1 PD16NOV17		3107.00	3253.50	USD	3,662.50	
16AUG17	S	1 PD16NOV17		3125.50	3253.50	USD	-3,200.00	
11SEP17	S	11 PD16NOV17		3078.50	3253.50	USD	-48,125.00	
	NET	0 AVG BGHT 3051.33	SOLD 3082.42				9,325.00	0.00
17AUG17	B	1 PD17NOV17		3094.00	3251.00	USD	3,925.00	
17AUG17	B	1 PD17NOV17		3096.50	3251.00	USD	3,862.50	
17AUG17	B	2 PD17NOV17		3097.00	3251.00	USD	7,700.00	
17AUG17	S	1 PD17NOV17		3096.50	3251.00	USD	-3,862.50	
17AUG17	B	1 PD17NOV17		3106.00	3251.00	USD	3,625.00	
17AUG17	B	1 PD17NOV17		3087.50	3251.00	USD	4,087.50	
17AUG17	B	4 PD17NOV17		3084.50	3251.00	USD	16,650.00	
17AUG17	B	1 PD17NOV17		3084.00	3251.00	USD	4,175.00	

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
17AUG17	S	1	PD17NOV17	3077.00	3251.00	USD	-4,350.00	
17AUG17	S	1	PD17NOV17	3076.00	3251.00	USD	-4,375.00	
17AUG17	B	1	PD17NOV17	3097.00	3251.00	USD	3,850.00	
17AUG17	B	1	PD17NOV17	3084.00	3251.00	USD	4,175.00	
17AUG17	B	1	PD17NOV17	3086.50	3251.00	USD	4,112.50	
11SEP17	S	11	PD17NOV17	3078.68	3251.00	USD	-47,388.00	
	NET	0 AVG	BGHT 3090.54	SOLD 3079.64			-3,813.00	0.00
22AUG17	S	1	PD22NOV17	3132.00	3248.38	USD	-2,909.50	
11SEP17	B	1	PD22NOV17	3079.78	3248.38	USD	4,215.00	
	NET	0 AVG	BGHT 3079.78	SOLD 3132.00			1,305.50	0.00
24AUG17	B	3	PD24NOV17	3119.50	3247.50	USD	9,600.00	
24AUG17	B	3	PD24NOV17	3119.00	3247.50	USD	9,637.50	
25AUG17	B	3	PD24NOV17	3118.50	3247.50	USD	9,675.00	
25AUG17	S	3	PD24NOV17	3080.50	3247.50	USD	-12,525.00	
25AUG17	S	3	PD24NOV17	3075.00	3247.50	USD	-12,937.50	
25AUG17	S	3	PD24NOV17	3073.50	3247.50	USD	-13,050.00	
25AUG17	S	1	PD24NOV17	3068.00	3247.50	USD	-4,487.50	
11SEP17	B	1	PD24NOV17	3080.14	3247.50	USD	4,184.00	
	NET	0 AVG	BGHT 3115.11	SOLD 3075.50			-9,903.50	0.00
29AUG17	S	3	PD29NOV17	3108.50	3246.75	USD	-10,368.75	
29AUG17	S	6	PD29NOV17	3108.00	3246.75	USD	-20,812.50	
29AUG17	S	1	PD29NOV17	3109.00	3246.75	USD	-3,443.75	
05SEP17	B	10	PD29NOV17	3139.52	3246.75	USD	26,807.50	
	NET	0 AVG	BGHT 3139.52	SOLD 3108.25			-7,817.50	0.00
01SEP17	B	4	PD01DEC17	3167.00	3246.25	USD	7,925.00	
01SEP17	B	4	PD01DEC17	3173.50	3246.25	USD	7,275.00	
01SEP17	B	2	PD01DEC17	3183.00	3246.25	USD	3,162.50	
11SEP17	S	10	PD01DEC17	3081.20	3246.25	USD	-41,262.50	
	NET	0 AVG	BGHT 3172.80	SOLD 3081.20			-22,900.00	0.00
04SEP17	B	2	PD04DEC17	3190.50	3246.00	USD	2,775.00	
04SEP17	B	1	PD04DEC17	3189.00	3246.00	USD	1,425.00	
04SEP17	B	3	PD04DEC17	3190.00	3246.00	USD	4,200.00	
04SEP17	B	2	PD04DEC17	3194.00	3246.00	USD	2,600.00	
04SEP17	B	2	PD04DEC17	3200.00	3246.00	USD	2,300.00	
04SEP17	B	5	PD04DEC17	3199.00	3246.00	USD	5,875.00	
04SEP17	B	3	PD04DEC17	3212.00	3246.00	USD	2,550.00	
04SEP17	B	3	PD04DEC17	3211.50	3246.00	USD	2,587.50	
04SEP17	B	3	PD04DEC17	3209.50	3246.00	USD	2,737.50	
04SEP17	B	6	PD04DEC17	3210.50	3246.00	USD	5,325.00	
11SEP17	S	30	PD04DEC17	3081.74	3246.00	USD	-123,195.00	
	NET	0 AVG	BGHT 3202.83	SOLD 3081.74			-90,820.00	0.00
06SEP17	B	1	PD06DEC17	3088.50	3245.50	USD	3,925.00	
06SEP17	B	1	PD06DEC17	3088.00	3245.50	USD	3,937.50	
19SEP17	S	2	PD06DEC17	3103.93	3245.50	USD	-7,078.50	
	NET	0 AVG	BGHT 3088.25	SOLD 3103.93			784.00	0.00
07SEP17	S	4	PD07DEC17	3108.50	3245.25	USD	-13,675.00	
07SEP17	S	4	PD07DEC17	3110.50	3245.25	USD	-13,475.00	
07SEP17	S	1	PD07DEC17	3113.50	3245.25	USD	-3,293.75	
07SEP17	S	1	PD07DEC17	3112.00	3245.25	USD	-3,331.25	
07SEP17	S	5	PD07DEC17	3118.00	3245.25	USD	-15,906.25	
07SEP17	S	5	PD07DEC17	3115.50	3245.25	USD	-16,218.75	
07SEP17	S	2	PD07DEC17	3122.50	3245.25	USD	-6,137.50	
07SEP17	S	4	PD07DEC17	3122.00	3245.25	USD	-12,325.00	
07SEP17	S	2	PD07DEC17	3123.00	3245.25	USD	-6,112.50	
07SEP17	S	2	PD07DEC17	3123.00	3245.25	USD	-6,112.50	

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07SEP17	S	4	PD07DEC17	3125.00	3245.25	USD	-12,025.00	
07SEP17	S	6	PD07DEC17	3124.50	3245.25	USD	-18,112.50	
07SEP17	S	4	PD07DEC17	3124.00	3245.25	USD	-12,125.00	
07SEP17	S	1	PD07DEC17	3132.50	3245.25	USD	-2,818.75	
07SEP17	S	6	PD07DEC17	3133.00	3245.25	USD	-16,837.50	
07SEP17	S	3	PD07DEC17	3133.50	3245.25	USD	-8,381.25	
07SEP17	S	2	PD07DEC17	3134.00	3245.25	USD	-5,562.50	
07SEP17	S	1	PD07DEC17	3134.00	3245.25	USD	-2,781.25	
11SEP17	B	57	PD07DEC17	3082.48	3245.25	USD	231,947.25	
	NET	0AVG BGHT 3082.48	SOLD 3122.28				56,716.00	0.00
08SEP17	S	8	PD08DEC17	3103.50	3245.00	USD	-28,300.00	
08SEP17	S	1	PD08DEC17	3104.00	3245.00	USD	-3,525.00	
08SEP17	S	1	PD08DEC17	3103.50	3245.00	USD	-3,537.50	
11SEP17	B	10	PD08DEC17	3082.66	3245.00	USD	40,585.00	
	NET	0AVG BGHT 3082.66	SOLD 3103.55				5,222.50	0.00
12SEP17	B	3	PD12DEC17	3082.50	3244.50	USD	12,150.00	
12SEP17	B	2	PD12DEC17	3088.00	3244.50	USD	7,825.00	
12SEP17	B	2	PD12DEC17	3088.50	3244.50	USD	7,800.00	
12SEP17	B	2	PD12DEC17	3082.50	3244.50	USD	8,100.00	
12SEP17	B	1	PD12DEC17	3082.00	3244.50	USD	4,062.50	
19SEP17	S	10	PD12DEC17	3105.21	3244.50	USD	-34,822.50	
	NET	0AVG BGHT 3084.75	SOLD 3105.21				5,115.00	0.00
14SEP17	B	1	PD14DEC17	3016.00	3244.00	USD	5,700.00	
14SEP17	B	4	PD14DEC17	3016.50	3244.00	USD	22,750.00	
14SEP17	B	1	PD14DEC17	3017.50	3244.00	USD	5,662.50	
14SEP17	B	1	PD14DEC17	3005.00	3244.00	USD	5,975.00	
14SEP17	B	2	PD14DEC17	3013.50	3244.00	USD	11,525.00	
14SEP17	B	8	PD14DEC17	3013.00	3244.00	USD	46,200.00	
14SEP17	B	3	PD14DEC17	3012.50	3244.00	USD	17,362.50	
14SEP17	B	11	PD14DEC17	3014.50	3244.00	USD	63,112.50	
14SEP17	B	1	PD14DEC17	3019.00	3244.00	USD	5,625.00	
14SEP17	B	1	PD14DEC17	3006.50	3244.00	USD	5,937.50	
19SEP17	S	1	PD14DEC17	3105.64	3244.00	USD	-3,459.00	
21SEP17	S	10	PD14DEC17	3100.43	3244.00	USD	-35,892.50	
25SEP17	S	22	PD14DEC17	3100.79	3244.00	USD	-78,765.50	
	NET	0AVG BGHT 3013.88	SOLD 3100.83				71,733.00	0.00
15SEP17	B	2	PD15DEC17	3032.00	3243.75	USD	10,587.50	
15SEP17	B	2	PD15DEC17	3030.50	3243.75	USD	10,662.50	
15SEP17	B	6	PD15DEC17	3037.00	3243.75	USD	31,012.50	
15SEP17	B	4	PD15DEC17	3040.00	3243.75	USD	20,375.00	
15SEP17	S	7	PD15DEC17	3045.50	3243.75	USD	-34,693.75	
15SEP17	S	6	PD15DEC17	3039.50	3243.75	USD	-30,637.50	
15SEP17	S	7	PD15DEC17	3032.00	3243.75	USD	-37,056.25	
15SEP17	B	1	PD15DEC17	3032.00	3243.75	USD	5,293.75	
15SEP17	B	5	PD15DEC17	3032.50	3243.75	USD	26,406.25	
15SEP17	S	2	PD15DEC17	3015.50	3243.75	USD	-11,412.50	
15SEP17	S	4	PD15DEC17	3008.00	3243.75	USD	-23,575.00	
15SEP17	S	4	PD15DEC17	3008.50	3243.75	USD	-23,525.00	
15SEP17	S	2	PD15DEC17	3007.50	3243.75	USD	-11,812.50	
15SEP17	S	1	PD15DEC17	3006.00	3243.75	USD	-5,943.75	
15SEP17	S	1	PD15DEC17	3016.00	3243.75	USD	-5,693.75	
15SEP17	S	5	PD15DEC17	3000.50	3243.75	USD	-30,406.25	
15SEP17	S	5	PD15DEC17	2993.50	3243.75	USD	-31,281.25	
15SEP17	B	1	PD15DEC17	3027.00	3243.75	USD	5,418.75	
15SEP17	B	2	PD15DEC17	3029.50	3243.75	USD	10,712.50	
15SEP17	B	4	PD15DEC17	3030.00	3243.75	USD	21,375.00	

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15SEP17	B	2	PD15DEC17	3028.50	3243.75	USD	10,762.50	
15SEP17	B	1	PD15DEC17	3031.00	3243.75	USD	5,318.75	
15SEP17	B	1	PD15DEC17	3029.00	3243.75	USD	5,368.75	
19SEP17	B	13	PD15DEC17	3106.06	3243.75	USD	44,749.25	
	NET	0AVG BGHT 3054.62	SOLD 3020.08				-37,994.50	0.00
18SEP17	B	10	PD18DEC17	3060.00	3243.50	USD	45,875.00	
18SEP17	B	2	PD18DEC17	3100.50	3243.50	USD	7,150.00	
18SEP17	B	2	PD18DEC17	3099.50	3243.50	USD	7,200.00	
18SEP17	B	2	PD18DEC17	3095.00	3243.50	USD	7,425.00	
18SEP17	B	2	PD18DEC17	3098.00	3243.50	USD	7,275.00	
25SEP17	S	18	PD18DEC17	3101.26	3243.50	USD	-64,008.00	
	NET	0AVG BGHT 3077.00	SOLD 3101.26				10,917.00	0.00
19SEP17	S	4	PD19DEC17	3111.00	3243.25	USD	-13,225.00	
19SEP17	S	4	PD19DEC17	3112.00	3243.25	USD	-13,125.00	
19SEP17	B	2	PD19DEC17	3085.00	3243.25	USD	7,912.50	
19SEP17	B	9	PD19DEC17	3086.00	3243.25	USD	35,381.25	
19SEP17	B	6	PD19DEC17	3086.50	3243.25	USD	23,512.50	
19SEP17	B	4	PD19DEC17	3087.00	3243.25	USD	15,625.00	
19SEP17	B	5	PD19DEC17	3088.50	3243.25	USD	19,343.75	
19SEP17	B	8	PD19DEC17	3085.50	3243.25	USD	31,550.00	
19SEP17	B	2	PD19DEC17	3084.00	3243.25	USD	7,962.50	
19SEP17	B	2	PD19DEC17	3080.50	3243.25	USD	8,137.50	
19SEP17	B	1	PD19DEC17	3081.00	3243.25	USD	4,056.25	
19SEP17	B	4	PD19DEC17	3079.00	3243.25	USD	16,425.00	
19SEP17	B	6	PD19DEC17	3077.50	3243.25	USD	24,862.50	
19SEP17	B	2	PD19DEC17	3105.50	3243.25	USD	6,887.50	
19SEP17	B	4	PD19DEC17	3106.50	3243.25	USD	13,675.00	
19SEP17	B	4	PD19DEC17	3107.00	3243.25	USD	13,625.00	
19SEP17	B	1	PD19DEC17	3109.00	3243.25	USD	3,356.25	
19SEP17	B	3	PD19DEC17	3110.00	3243.25	USD	9,993.75	
19SEP17	B	6	PD19DEC17	3109.50	3243.25	USD	20,062.50	
19SEP17	B	2	PD19DEC17	3108.00	3243.25	USD	6,762.50	
19SEP17	B	8	PD19DEC17	3108.50	3243.25	USD	26,950.00	
19SEP17	B	1	PD19DEC17	3085.50	3243.25	USD	3,943.75	
25SEP17	S	30	PD19DEC17	3101.38	3243.25	USD	-106,402.50	
28SEP17	S	9	PD19DEC17	3151.00	3243.25	USD	-20,756.25	
29SEP17	S	33	PD19DEC17	3165.94	3243.25	USD	-63,780.75	
	NET	0AVG BGHT 3093.24	SOLD 3134.61				82,735.50	0.00
20SEP17	B	4	PD20DEC17	3123.50	3243.00	USD	11,950.00	
20SEP17	B	4	PD20DEC17	3125.00	3243.00	USD	11,800.00	
20SEP17	B	2	PD20DEC17	3126.50	3243.00	USD	5,825.00	
20SEP17	S	3	PD20DEC17	3138.00	3243.00	USD	-7,875.00	
20SEP17	S	6	PD20DEC17	3137.00	3243.00	USD	-15,900.00	
20SEP17	S	2	PD20DEC17	3127.50	3243.00	USD	-5,775.00	
20SEP17	S	2	PD20DEC17	3128.00	3243.00	USD	-5,750.00	
20SEP17	S	2	PD20DEC17	3130.00	3243.00	USD	-5,650.00	
20SEP17	S	2	PD20DEC17	3131.00	3243.00	USD	-5,600.00	
20SEP17	S	2	PD20DEC17	3133.50	3243.00	USD	-5,475.00	
20SEP17	S	1	PD20DEC17	3137.00	3243.00	USD	-2,650.00	
21SEP17	B	10	PD20DEC17	3102.20	3243.00	USD	35,200.00	
	NET	0AVG BGHT 3113.45	SOLD 3133.65				10,100.00	0.00
21SEP17	S	1	PD21DEC17	3088.50	3240.00	USD	-3,787.50	
21SEP17	S	4	PD21DEC17	3062.00	3240.00	USD	-17,800.00	
21SEP17	S	2	PD21DEC17	3059.50	3240.00	USD	-9,025.00	
21SEP17	S	1	PD21DEC17	3058.50	3240.00	USD	-4,537.50	
21SEP17	S	3	PD21DEC17	3057.50	3240.00	USD	-13,687.50	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP094AB

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
21SEP17	B	5	PD21DEC17	3035.50	3240.00	USD	25,562.50	
21SEP17	B	5	PD21DEC17	3036.00	3240.00	USD	25,500.00	
21SEP17	S	1	PD21DEC17	3036.00	3240.00	USD	-5,100.00	
29SEP17	B	2	PD21DEC17	3162.95	3240.00	USD	3,852.50	
	NET	0AVG BGHT 3056.95	SOLD 3060.21				977.50	0.00
22SEP17	B	1	PD22DEC17	3039.00	3239.62	USD	5,015.50	
22SEP17	B	1	PD22DEC17	3036.50	3239.62	USD	5,078.00	
22SEP17	S	1	PD22DEC17	3038.00	3239.62	USD	-5,040.50	
22SEP17	S	3	PD22DEC17	3036.50	3239.62	USD	-15,234.00	
22SEP17	S	4	PD22DEC17	3028.00	3239.62	USD	-21,162.00	
22SEP17	S	2	PD22DEC17	3026.50	3239.62	USD	-10,656.00	
22SEP17	S	3	PD22DEC17	3052.00	3239.62	USD	-14,071.50	
22SEP17	S	4	PD22DEC17	3055.50	3239.62	USD	-18,412.00	
22SEP17	S	10	PD22DEC17	3051.00	3239.62	USD	-47,155.00	
22SEP17	S	5	PD22DEC17	3053.00	3239.62	USD	-23,327.50	
22SEP17	S	9	PD22DEC17	3054.00	3239.62	USD	-41,764.50	
22SEP17	S	4	PD22DEC17	3054.50	3239.62	USD	-18,512.00	
22SEP17	S	7	PD22DEC17	3052.50	3239.62	USD	-32,746.00	
22SEP17	S	10	PD22DEC17	3053.50	3239.62	USD	-46,530.00	
22SEP17	S	6	PD22DEC17	3055.00	3239.62	USD	-27,693.00	
22SEP17	S	17	PD22DEC17	3056.00	3239.62	USD	-78,038.50	
22SEP17	S	5	PD22DEC17	3046.00	3239.62	USD	-24,202.50	
22SEP17	S	2	PD22DEC17	3039.50	3239.62	USD	-10,006.00	
22SEP17	S	1	PD22DEC17	3021.50	3239.62	USD	-5,453.00	
22SEP17	B	1	PD22DEC17	3074.50	3239.62	USD	4,128.00	
25SEP17	B	70	PD22DEC17	3097.70	3239.62	USD	248,360.00	
29SEP17	B	20	PD22DEC17	3162.76	3239.62	USD	38,430.00	
	NET	0AVG BGHT 3110.15	SOLD 3050.37				-138,992.50	0.00
25SEP17	S	1	PD27DEC17	3086.50	3239.23	USD	-3,818.25	
25SEP17	S	1	PD27DEC17	3092.00	3239.23	USD	-3,680.75	
26SEP17	S	1	PD27DEC17	3125.00	3239.23	USD	-2,855.75	
26SEP17	S	1	PD27DEC17	3112.50	3239.23	USD	-3,168.25	
26SEP17	S	1	PD27DEC17	3109.00	3239.23	USD	-3,255.75	
27SEP17	B	1	PD27DEC17	3105.50	3239.23	USD	3,343.25	
27SEP17	S	1	PD27DEC17	3120.00	3239.23	USD	-2,980.75	
27SEP17	S	1	PD27DEC17	3115.50	3239.23	USD	-3,093.25	
27SEP17	S	1	PD27DEC17	3113.00	3239.23	USD	-3,155.75	
27SEP17	S	1	PD27DEC17	3117.50	3239.23	USD	-3,043.25	
27SEP17	S	1	PD27DEC17	3107.00	3239.23	USD	-3,305.75	
28SEP17	B	9	PD27DEC17	3147.45	3239.23	USD	20,650.50	
	NET	0AVG BGHT 3143.26	SOLD 3109.80				-8,363.75	0.00
28SEP17	S	1	PD28DEC17	3112.00	3238.85	USD	-3,171.25	
28SEP17	B	1	PD28DEC17	3137.50	3238.85	USD	2,533.75	
28SEP17	B	1	PD28DEC17	3139.00	3238.85	USD	2,496.25	
28SEP17	S	1	PD28DEC17	3142.00	3238.85	USD	-2,421.25	
28SEP17	S	4	PD28DEC17	3144.00	3238.85	USD	-9,485.00	
28SEP17	S	1	PD28DEC17	3143.50	3238.85	USD	-2,383.75	
28SEP17	S	1	PD28DEC17	3141.00	3238.85	USD	-2,446.25	
28SEP17	S	1	PD28DEC17	3140.00	3238.85	USD	-2,471.25	
28SEP17	B	1	PD28DEC17	3149.50	3238.85	USD	2,233.75	
28SEP17	S	4	PD28DEC17	3143.00	3238.85	USD	-9,585.00	
28SEP17	S	1	PD28DEC17	3153.50	3238.85	USD	-2,133.75	
29SEP17	B	11	PD28DEC17	3162.39	3238.85	USD	21,026.50	
	NET	0AVG BGHT 3158.02	SOLD 3141.43				-5,807.25	0.00
29SEP17	B	1	PD29DEC17	3162.00	3238.46	USD	1,911.50	
29SEP17	B	1	PD29DEC17	3156.50	3238.46	USD	2,049.00	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP094AB

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>								
29SEP17	B	1	PD29DEC17		3162.50	3238.46	USD	1,899.00	
29SEP17	B	1	PD29DEC17		3164.00	3238.46	USD	1,861.50	
130CT17	S	4	PD29DEC17		3238.46	3238.46	USD	0.00	
	NET	0 AVG BGHT 3161.25		SOLD 3238.46				7,721.00	0.00
09OCT17	S	2	PD09JAN18		3264.50	3236.15	USD	1,417.50	
09OCT17	S	2	PD09JAN18		3263.00	3236.15	USD	1,342.50	
09OCT17	B	2	PD09JAN18		3259.00	3236.15	USD	-1,142.50	
09OCT17	B	2	PD09JAN18		3268.00	3236.15	USD	-1,592.50	
09OCT17	S	1	PD09JAN18		3267.50	3236.15	USD	783.75	
09OCT17	S	2	PD09JAN18		3260.50	3236.15	USD	1,217.50	
09OCT17	S	1	PD09JAN18		3266.50	3236.15	USD	758.75	
09OCT17	B	2	PD09JAN18		3266.50	3236.15	USD	-1,517.50	
09OCT17	B	4	PD09JAN18		3267.00	3236.15	USD	-3,085.00	
	NET	+2 AVG BGHT 3265.50		SOLD 3263.75				-1,817.50	0.00
11OCT17	S	2	PD11JAN18		3216.00	3235.38	USD	-969.00	
11OCT17	S	3	PD11JAN18		3211.50	3235.38	USD	-1,791.00	
11OCT17	S	7	PD11JAN18		3212.00	3235.38	USD	-4,091.50	
11OCT17	B	4	PD11JAN18		3221.50	3235.38	USD	1,388.00	
11OCT17	B	4	PD11JAN18		3218.50	3235.38	USD	1,688.00	
130CT17	B	4	PD11JAN18		3235.58	3235.38	USD	-20.00	
	NET	0 AVG BGHT 3225.19		SOLD 3212.54				-3,795.50	0.00
12OCT17	B	1	PD12JAN18		3212.50	3235.00	USD	562.50	
12OCT17	B	3	PD12JAN18		3211.50	3235.00	USD	1,762.50	
12OCT17	B	1	PD12JAN18		3213.50	3235.00	USD	537.50	
12OCT17	B	2	PD12JAN18		3232.50	3235.00	USD	125.00	
12OCT17	B	1	PD12JAN18		3239.00	3235.00	USD	-100.00	
12OCT17	S	1	PD12JAN18		3256.00	3235.00	USD	525.00	
12OCT17	S	1	PD12JAN18		3258.00	3235.00	USD	575.00	
12OCT17	S	1	PD12JAN18		3258.00	3235.00	USD	575.00	
13OCT17	B	1	PD12JAN18		3240.00	3235.00	USD	-125.00	
13OCT17	B	1	PD12JAN18		3259.00	3235.00	USD	-600.00	
13OCT17	S	1	PD12JAN18		3270.00	3235.00	USD	875.00	
13OCT17	S	1	PD12JAN18		3272.00	3235.00	USD	925.00	
13OCT17	S	1	PD12JAN18		3220.00	3235.00	USD	-375.00	
13OCT17	S	2	PD12JAN18		3234.50	3235.00	USD	-25.00	
	NET	+2 AVG BGHT 3226.35		SOLD 3250.38				5,237.50	0.00

OPEN POSITION VALUE

		USD
LME Aluminium 25 Tonnes.	FUTURES	-2,957,223.25
LME Copper Grade A 25	FUTURES	87,885.75
LME Lead 25 tonnes. This is an	FUTURES	409,069.25
LME Nickel 6 tonnes. This is	FUTURES	-853,405.02
CMX Silver	FUTURES	517,625.00
LME Tin 5 tonnes. This is an	FUTURES	-316,557.80
LME Zinc 25 tonnes. This is	FUTURES	-364,159.50

OPEN POSITION STATEMENT



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SAP094AB

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Suite A, 105 Piccadilly
London W1J 7NJ UK
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MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options	Amount	Profit / Loss	Total Amount	Total P&L
SILV - Futures USD	-4,705.00	-4,705.00	-4,705.00	-4,705.00
Unrealised Profits & Losses - Forwards	Amount	Profit / Loss	Total Amount	Total P&L
ALUL - Futures USD	402,991.25	402,991.25		
COPL - Futures USD	-84,238.50	-84,238.50		
LEAD - Futures USD	-159,590.00	-159,590.00		
NKL - Futures USD	56,304.72	56,304.72		
TIN - Futures USD	-7,572.10	-7,572.10		
ZINC - Futures USD	-40,602.00	-40,602.00	167,293.37	167,293.37

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	186,672.98	186,672.98
Variation Margins - Futures	-4,705.00	-4,705.00
- Options	0.00	0.00
TOTAL EQUITY	181,967.98	181,967.98
Initial Margins	-275,203.63	-275,203.63
Excess/(Shortage)	-93,235.65	-93,235.65
FOR INFORMATION ONLY		
Forward Unrealised P&L	167,293.37	167,293.37
Net Liquidating Value	349,261.35	349,261.35

OPEN POSITION STATEMENT



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ACCOUNT FINANCIAL SUMMARY

	GBP	USD	NETT USD
	(1.33050)	(1.00000)	(1.00000)
CASH BALANCE	553.00	-741.96	-6.19
Variation Margins			
- Futures	0.00	0.00	0.00
- Options	0.00	0.00	0.00
TOTAL EQUITY	553.00	-741.96	-6.19
Initial Margins	0.00	0.00	0.00
Excess/(Shortage)	553.00	-741.96	-6.19
FOR INFORMATION ONLY			
Net Liquidating Value	553.00	-741.96	-6.19

OPEN POSITION STATEMENT



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SAP094AD

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Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

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ACCOUNT FINANCIAL SUMMARY

	GBP	USD	NETT USD
	(1.33050)	(1.00000)	(1.00000)
CASH BALANCE	7,005.00	-9,398.61	-78.46
Variation Margins			
- Futures	0.00	0.00	0.00
- Options	0.00	0.00	0.00
TOTAL EQUITY	7,005.00	-9,398.61	-78.46
Initial Margins	0.00	0.00	0.00
Excess/(Shortage)	7,005.00	-9,398.61	-78.46
FOR INFORMATION ONLY			
Net Liquidating Value	7,005.00	-9,398.61	-78.46

OPEN POSITION STATEMENT



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SAP094AF

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Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
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ACCOUNT FINANCIAL SUMMARY

	JPY	USD	NETT USD
	(111.93000)	(1.00000)	(1.00000)
CASH BALANCE	-100	0.90	0.01
Variation Margins - Futures	0	0.00	0.00
- Options	0	0.00	0.00
TOTAL EQUITY	-100	0.90	0.01
Initial Margins	0	0.00	0.00
Excess/(Shortage)	-100	0.90	0.01
FOR INFORMATION ONLY			
Net Liquidating Value	-100	0.90	0.01

OPEN POSITION STATEMENT



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SAP094AG

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Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
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ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	-320.00	-320.00
Variation Margins		
- Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	-320.00	-320.00
Initial Margins	0.00	0.00
Excess/(Shortage)	-320.00	-320.00
FOR INFORMATION ONLY		
Net Liquidating Value	-320.00	-320.00

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP094AH

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Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

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ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	-352.50	-352.50
Variation Margins		
- Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	-352.50	-352.50
Initial Margins	0.00	0.00
Excess/(Shortage)	-352.50	-352.50
FOR INFORMATION ONLY		
Net Liquidating Value	-352.50	-352.50

OPEN POSITION STATEMENT



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SAP094AK

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Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
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backoffice@sapiencap.com

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Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
13OCT17	CMX COPPER 25,000 CMX S 1 DEC17 NET -1AVG SOLD 313.400	313.400	313.350	USD	12.50 12.50	0.00
13OCT17	NYM HENRY HUB NATURAL GAS 10,000 NYM S 1 NOV17 NET -1AVG SOLD 3.00800	3.00800	3.00000	USD	80.00 80.00	0.00
09OCT17	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT B 1 PD09JAN18	3243.00	3236.15	USD	-171.25	
09OCT17	S 1 PD09JAN18 NET 0AVG BGHT 3243.00 SOLD 3245.00	3245.00	3236.15	USD	221.25 50.00	0.00

OPEN POSITION VALUE

		USD
CMX Copper	FUTURES	78,350.00
NYM Henry Hub Natural Gas	FUTURES	30,080.00
LME Zinc 25 tonnes. This is	FUTURES	50.00

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options	Amount	Profit / Loss	Total Amount	Total P&L
COPR - Futures USD	12.50	12.50		
HGAS - Futures USD	80.00	80.00	92.50	92.50
Unrealised Profits & Losses - Forwards				
ZINC - Futures USD	50.00	50.00	50.00	50.00

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
CASH BALANCE	(1.00000)	(1.00000)
Variation Margins - Futures	26,619.00	26,619.00
- Options	92.50	92.50
TOTAL EQUITY	26,711.50	26,711.50
Initial Margins	-5,445.00	-5,445.00
Excess/(Shortage)	21,266.50	21,266.50
FOR INFORMATION ONLY		
Forward Unrealised P&L	50.00	50.00
Net Liquidating Value	26,761.50	26,761.50

OPEN POSITION STATEMENT



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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ALUMINIUM 25 TONNES. THIS IS AN</u>							
	<u>LME REGISTERED CLIENT CONTRACT.</u>							
01SEP17	S	1	PD01DEC17	2140.50	2124.81	USD	392.25	
01SEP17	S	1	PD01DEC17	2141.00	2124.81	USD	404.75	
10OCT17	B	2	PD01DEC17	2154.19	2124.81	USD	-1,469.00	
	NET	0 AVG	BGHT 2154.19				-672.00	0.00
06SEP17	B	1	PD06DEC17	2077.00	2126.39	USD	1,234.75	
10OCT17	S	1	PD06DEC17	2155.54	2126.39	USD	728.75	
	NET	0 AVG	BGHT 2077.00				1,963.50	0.00
07SEP17	B	1	PD07DEC17	2094.00	2126.71	USD	817.75	
07SEP17	B	1	PD07DEC17	2092.50	2126.71	USD	855.25	
07SEP17	S	1	PD07DEC17	2112.00	2126.71	USD	-367.75	
10OCT17	S	1	PD07DEC17	2155.84	2126.71	USD	728.25	
	NET	0 AVG	BGHT 2093.25				2,033.50	0.00
12SEP17	B	1	PD12DEC17	2120.00	2128.29	USD	207.25	
12SEP17	S	1	PD12DEC17	2119.50	2128.29	USD	-219.75	
12SEP17	S	1	PD12DEC17	2122.00	2128.29	USD	-157.25	
10OCT17	B	1	PD12DEC17	2157.59	2128.29	USD	-732.50	
	NET	0 AVG	BGHT 2138.80				-902.25	0.00
14SEP17	B	1	PD14DEC17	2082.50	2128.92	USD	1,160.50	
10OCT17	S	1	PD14DEC17	2158.01	2128.92	USD	727.25	
	NET	0 AVG	BGHT 2082.50				1,887.75	0.00
15SEP17	B	1	PD15DEC17	2086.50	2129.24	USD	1,068.50	
15SEP17	S	1	PD15DEC17	2101.00	2129.24	USD	-706.00	
15SEP17	B	1	PD15DEC17	2079.00	2129.24	USD	1,256.00	
15SEP17	S	1	PD15DEC17	2089.00	2129.24	USD	-1,006.00	
	NET	0 AVG	BGHT 2082.75				612.50	0.00
18SEP17	B	1	PD18DEC17	2097.50	2130.18	USD	817.00	
18SEP17	S	1	PD18DEC17	2105.00	2130.18	USD	-629.50	
	NET	0 AVG	BGHT 2097.50				187.50	0.00
22SEP17	S	1	PD22DEC17	2133.50	2130.50	USD	75.00	
22SEP17	S	1	PD22DEC17	2162.00	2130.50	USD	787.50	
10OCT17	B	2	PD22DEC17	2159.75	2130.50	USD	-1,462.50	
	NET	0 AVG	BGHT 2159.75				-600.00	0.00
25SEP17	B	1	PD27DEC17	2155.50	2131.04	USD	-611.50	
26SEP17	B	1	PD27DEC17	2150.00	2131.04	USD	-474.00	
10OCT17	S	2	PD27DEC17	2160.09	2131.04	USD	1,452.50	
	NET	0 AVG	BGHT 2152.75				367.00	0.00
05OCT17	B	1	PD05JAN18	2148.50	2132.38	USD	-403.00	
05OCT17	S	1	PD05JAN18	2166.00	2132.38	USD	840.50	
06OCT17	B	1	PD05JAN18	2157.50	2132.38	USD	-628.00	
10OCT17	S	1	PD05JAN18	2161.96	2132.38	USD	739.50	
	NET	0 AVG	BGHT 2153.00				549.00	0.00
09OCT17	S	1	PD09JAN18	2156.00	2133.02	USD	574.50	
09OCT17	S	1	PD09JAN18	2173.00	2133.02	USD	999.50	
09OCT17	B	1	PD09JAN18	2169.00	2133.02	USD	-899.50	
10OCT17	B	1	PD09JAN18	2162.99	2133.02	USD	-749.25	
	NET	0 AVG	BGHT 2166.00				-74.75	0.00
11OCT17	B	1	PD11JAN18	2144.00	2133.34	USD	-266.50	
13OCT17	S	1	PD11JAN18	2133.34	2133.34	USD	0.00	
	NET	0 AVG	BGHT 2144.00				-266.50	0.00
12OCT17	S	1	PD12JAN18	2146.00	2133.50	USD	312.50	
13OCT17	B	1	PD12JAN18	2133.70	2133.50	USD	-5.00	
	NET	0 AVG	BGHT 2133.70				307.50	0.00
	<u>LME LEAD 25 TONNES. THIS IS AN</u>							

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP094AL

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
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+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

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	<u>LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
19SEP17	S	1	PD19DEC17	2393.50	2527.60	USD	-3,352.50	
19SEP17	B	1	PD19DEC17	2398.00	2527.60	USD	3,240.00	
19SEP17	S	1	PD19DEC17	2399.50	2527.60	USD	-3,202.50	
19SEP17	B	1	PD19DEC17	2425.00	2527.60	USD	2,565.00	
	NET	0 AVG BGHT 2411.50	SOLD 2396.50				-750.00	0.00
20SEP17	S	1	PD20DEC17	2455.00	2527.75	USD	-1,818.75	
20SEP17	S	1	PD20DEC17	2466.50	2527.75	USD	-1,531.25	
20SEP17	B	1	PD20DEC17	2455.00	2527.75	USD	1,818.75	
20SEP17	B	1	PD20DEC17	2455.50	2527.75	USD	1,806.25	
	NET	0 AVG BGHT 2455.25	SOLD 2460.75				275.00	0.00
21SEP17	B	2	PD21DEC17	2437.00	2526.75	USD	4,487.50	
21SEP17	S	2	PD21DEC17	2437.50	2526.75	USD	-4,462.50	
21SEP17	S	1	PD21DEC17	2444.50	2526.75	USD	-2,056.25	
21SEP17	S	1	PD21DEC17	2446.50	2526.75	USD	-2,006.25	
21SEP17	S	1	PD21DEC17	2492.50	2526.75	USD	-856.25	
21SEP17	B	1	PD21DEC17	2504.00	2526.75	USD	568.75	
21SEP17	B	1	PD21DEC17	2481.50	2526.75	USD	1,131.25	
21SEP17	B	1	PD21DEC17	2491.00	2526.75	USD	893.75	
	NET	0 AVG BGHT 2470.10	SOLD 2451.70				-2,300.00	0.00
22SEP17	S	1	PD22DEC17	2482.50	2526.90	USD	-1,110.00	
22SEP17	B	1	PD22DEC17	2466.00	2526.90	USD	1,522.50	
	NET	0 AVG BGHT 2466.00	SOLD 2482.50				412.50	0.00
03OCT17	S	1	PD03JAN18	2589.00	2528.67	USD	1,508.25	
03OCT17	S	1	PD03JAN18	2588.00	2528.67	USD	1,483.25	
10OCT17	B	2	PD03JAN18	2555.50	2528.67	USD	-1,341.50	
	NET	0 AVG BGHT 2555.50	SOLD 2588.50				1,650.00	0.00
04OCT17	B	1	PD04JAN18	2589.50	2528.82	USD	-1,517.00	
04OCT17	B	1	PD04JAN18	2590.00	2528.82	USD	-1,529.50	
10OCT17	S	2	PD04JAN18	2555.51	2528.82	USD	1,334.50	
	NET	0 AVG BGHT 2589.75	SOLD 2555.51				-1,712.00	0.00
11OCT17	B	1	PD11JAN18	2518.50	2529.85	USD	283.75	
11OCT17	B	1	PD11JAN18	2522.00	2529.85	USD	196.25	
11OCT17	S	1	PD11JAN18	2557.00	2529.85	USD	678.75	
11OCT17	S	1	PD11JAN18	2560.50	2529.85	USD	766.25	
	NET	0 AVG BGHT 2520.25	SOLD 2558.75				1,925.00	0.00
12OCT17	B	1	PD12JAN18	2575.00	2530.00	USD	-1,125.00	
12OCT17	S	1	PD12JAN18	2581.00	2530.00	USD	1,275.00	
12OCT17	S	1	PD12JAN18	2562.00	2530.00	USD	800.00	
12OCT17	B	1	PD12JAN18	2566.00	2530.00	USD	-900.00	
	NET	0 AVG BGHT 2570.50	SOLD 2571.50				50.00	0.00
	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
04SEP17	B	1	PD04DEC17	12230.00	11646.53	USD	-3,500.82	
04SEP17	S	1	PD04DEC17	12225.00	11646.53	USD	3,470.82	
	NET	0 AVG BGHT 12230.00	SOLD 12225.00				-30.00	0.00
13SEP17	B	1	PD13DEC17	11725.00	11652.70	USD	-433.80	
13SEP17	B	1	PD13DEC17	11680.00	11652.70	USD	-163.80	
10OCT17	S	2	PD13DEC17	11035.80	11652.70	USD	-7,402.80	
	NET	0 AVG BGHT 11702.50	SOLD 11035.80				-8,000.40	0.00
14SEP17	B	1	PD14DEC17	11140.00	11653.39	USD	3,080.34	
14SEP17	B	1	PD14DEC17	11145.00	11653.39	USD	3,050.34	
14SEP17	S	1	PD14DEC17	11135.00	11653.39	USD	-3,110.34	
14SEP17	S	1	PD14DEC17	11155.00	11653.39	USD	-2,990.34	
14SEP17	B	1	PD14DEC17	11205.00	11653.39	USD	2,690.34	

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>								
14SEP17	S	1	PD14DEC17		11230.00	11653.39	USD	-2,540.34	
	NET	0 AVG BGHT 11163.33		SOLD 11173.33				180.00	0.00
15SEP17	B	1	PD15DEC17		11155.00	11654.07	USD	2,994.42	
15SEP17	S	1	PD15DEC17		11145.00	11654.07	USD	-3,054.42	
	NET	0 AVG BGHT 11155.00		SOLD 11145.00				-60.00	0.00
20SEP17	S	1	PD20DEC17		11370.00	11657.50	USD	-1,725.00	
10OCT17	B	1	PD20DEC17		11042.00	11657.50	USD	3,693.00	
	NET	0 AVG BGHT 11042.00		SOLD 11370.00				1,968.00	0.00
21SEP17	S	1	PD21DEC17		11065.00	11658.26	USD	-3,559.56	
10OCT17	B	1	PD21DEC17		11042.67	11658.26	USD	3,693.54	
	NET	0 AVG BGHT 11042.67		SOLD 11065.00				133.98	0.00
29SEP17	B	1	PD29DEC17		10435.00	11664.35	USD	7,376.10	
29SEP17	B	1	PD29DEC17		10445.00	11664.35	USD	7,316.10	
29SEP17	B	1	PD29DEC17		10415.00	11664.35	USD	7,496.10	
29SEP17	S	1	PD29DEC17		10660.00	11664.35	USD	-6,026.10	
29SEP17	B	1	PD29DEC17		10665.00	11664.35	USD	5,996.10	
10OCT17	S	3	PD29DEC17		11047.00	11664.35	USD	-11,112.30	
	NET	0 AVG BGHT 10490.00		SOLD 10950.25				11,046.00	0.00
03OCT17	S	1	PD03JAN18		10665.00	11668.15	USD	-6,018.90	
03OCT17	B	1	PD03JAN18		10730.00	11668.15	USD	5,628.90	
03OCT17	S	1	PD03JAN18		10720.00	11668.15	USD	-5,688.90	
03OCT17	S	1	PD03JAN18		10660.00	11668.15	USD	-6,048.90	
03OCT17	S	1	PD03JAN18		10700.00	11668.15	USD	-5,808.90	
10OCT17	B	3	PD03JAN18		11051.33	11668.15	USD	11,102.76	
	NET	0 AVG BGHT 10971.00		SOLD 10686.25				-6,833.94	0.00
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>								
29AUG17	S	1	PD29NOV17		3121.00	3246.75	USD	-3,143.75	
29AUG17	B	1	PD29NOV17		3108.00	3246.75	USD	3,468.75	
	NET	0 AVG BGHT 3108.00		SOLD 3121.00				325.00	0.00
30AUG17	S	1	PD30NOV17		3124.00	3246.50	USD	-3,062.50	
30AUG17	S	1	PD30NOV17		3094.00	3246.50	USD	-3,812.50	
30AUG17	S	1	PD30NOV17		3094.50	3246.50	USD	-3,800.00	
10OCT17	B	3	PD30NOV17		3292.11	3246.50	USD	-3,420.75	
	NET	0 AVG BGHT 3292.11		SOLD 3104.17				-14,095.75	0.00
01SEP17	S	1	PD01DEC17		3183.00	3246.25	USD	-1,581.25	
10OCT17	B	1	PD01DEC17		3291.89	3246.25	USD	-1,141.00	
	NET	0 AVG BGHT 3291.89		SOLD 3183.00				-2,722.25	0.00
04SEP17	B	1	PD04DEC17		3200.00	3246.00	USD	1,150.00	
04SEP17	B	1	PD04DEC17		3200.50	3246.00	USD	1,137.50	
04SEP17	B	2	PD04DEC17		3201.00	3246.00	USD	2,250.00	
04SEP17	B	1	PD04DEC17		3182.50	3246.00	USD	1,587.50	
04SEP17	S	1	PD04DEC17		3199.00	3246.00	USD	-1,175.00	
10OCT17	S	4	PD04DEC17		3291.47	3246.00	USD	4,547.00	
	NET	0 AVG BGHT 3197.00		SOLD 3272.98				9,497.00	0.00
08SEP17	B	1	PD08DEC17		3032.50	3245.00	USD	5,312.50	
10OCT17	S	1	PD08DEC17		3290.78	3245.00	USD	1,144.50	
	NET	0 AVG BGHT 3032.50		SOLD 3290.78				6,457.00	0.00
11SEP17	S	1	PD11DEC17		3090.00	3244.75	USD	-3,868.75	
11SEP17	B	1	PD11DEC17		3099.50	3244.75	USD	3,631.25	
11SEP17	S	1	PD11DEC17		3100.00	3244.75	USD	-3,618.75	
10OCT17	B	1	PD11DEC17		3290.76	3244.75	USD	-1,150.25	
	NET	0 AVG BGHT 3195.13		SOLD 3095.00				-5,006.50	0.00

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
13SEP17	S	1	PD13DEC17	3052.00	3244.25	USD	-4,806.25	
13SEP17	B	1	PD13DEC17	3045.50	3244.25	USD	4,968.75	
	NET	0 AVG	BGHT 3045.50	SOLD 3052.00			162.50	0.00
18SEP17	B	1	PD18DEC17	3060.50	3243.50	USD	4,575.00	
10OCT17	S	1	PD18DEC17	3289.44	3243.50	USD	1,148.50	
	NET	0 AVG	BGHT 3060.50	SOLD 3289.44			5,723.50	0.00
19SEP17	B	1	PD19DEC17	3102.00	3243.25	USD	3,531.25	
19SEP17	B	1	PD19DEC17	3102.50	3243.25	USD	3,518.75	
19SEP17	S	1	PD19DEC17	3099.50	3243.25	USD	-3,593.75	
19SEP17	S	1	PD19DEC17	3102.00	3243.25	USD	-3,531.25	
	NET	0 AVG	BGHT 3102.25	SOLD 3100.75			-75.00	0.00
20SEP17	S	1	PD20DEC17	3125.00	3243.00	USD	-2,950.00	
10OCT17	B	1	PD20DEC17	3289.20	3243.00	USD	-1,155.00	
	NET	0 AVG	BGHT 3289.20	SOLD 3125.00			-4,105.00	0.00
11OCT17	B	1	PD11JAN18	3222.00	3235.38	USD	334.50	
11OCT17	B	1	PD11JAN18	3229.00	3235.38	USD	159.50	
13OCT17	S	2	PD11JAN18	3235.38	3235.38	USD	0.00	
	NET	0 AVG	BGHT 3225.50	SOLD 3235.38			494.00	0.00
12OCT17	S	1	PD12JAN18	3208.50	3235.00	USD	-662.50	
12OCT17	S	1	PD12JAN18	3258.00	3235.00	USD	575.00	
13OCT17	B	1	PD12JAN18	3250.00	3235.00	USD	-375.00	
13OCT17	S	1	PD12JAN18	3235.00	3235.00	USD	0.00	
13OCT17	B	2	PD12JAN18	3235.20	3235.00	USD	-10.00	
	NET	0 AVG	BGHT 3240.13	SOLD 3233.83			-472.50	0.00

OPEN POSITION VALUE

		<u>USD</u>
LME Aluminium 25 Tonnes.	FUTURES	5,392.75
LME Lead 25 tonnes. This is an	FUTURES	-449.50
LME Nickel 6 tonnes. This is	FUTURES	-1,596.36
LME Zinc 25 tonnes. This is	FUTURES	-3,818.00

MARKET REVALUATION BREAKDOWN

Unrealised Profits & Losses - Forwards			Amount	Profit / Loss	Total Amount	Total P&L
ALUL	- Futures	USD	5,392.75	5,392.75		
LEAD	- Futures	USD	-449.50	-449.50		
NKL	- Futures	USD	-1,596.36	-1,596.36		
ZINC	- Futures	USD	-3,818.00	-3,818.00	-471.11	-471.11

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ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	17,009.37	17,009.37
Variation Margins		
- Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	17,009.37	17,009.37
Initial Margins	-471.11	-471.11
Excess/(Shortage)	16,538.26	16,538.26
FOR INFORMATION ONLY		
Forward Unrealised P&L	-471.11	-471.11
Net Liquidating Value	16,538.26	16,538.26

OPEN POSITION STATEMENT



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ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	-53.36	-53.36
Variation Margins		
- Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	-53.36	-53.36
Initial Margins	0.00	0.00
Excess/(Shortage)	-53.36	-53.36
FOR INFORMATION ONLY		
Net Liquidating Value	-53.36	-53.36

OPEN POSITION STATEMENT



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	<u>LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.</u>							
28SEP17	S	11	PD02NOV17	2113.86	2114.44	USD	-159.50	
12OCT17	B	13	PD02NOV17	2127.25	2114.44	USD	-4,163.25	
	NET	+2 AVG BGHT 2127.25	SOLD 2113.86				-4,322.75	0.00
07SEP17	S	1	PD07DEC17	2099.00	2126.71	USD	-692.75	
07SEP17	S	1	PD07DEC17	2100.00	2126.71	USD	-667.75	
07SEP17	B	1	PD07DEC17	2109.50	2126.71	USD	430.25	
27SEP17	B	1	PD07DEC17	2125.60	2126.71	USD	27.75	
	NET	0 AVG BGHT 2117.55	SOLD 2099.50				-902.50	0.00
08SEP17	B	2	PD08DEC17	2098.00	2127.02	USD	1,451.00	
08SEP17	B	2	PD08DEC17	2094.50	2127.02	USD	1,626.00	
08SEP17	B	2	PD08DEC17	2091.50	2127.02	USD	1,776.00	
08SEP17	B	1	PD08DEC17	2093.50	2127.02	USD	838.00	
08SEP17	B	1	PD08DEC17	2089.00	2127.02	USD	950.50	
08SEP17	B	1	PD08DEC17	2090.00	2127.02	USD	925.50	
27SEP17	S	1	PD08DEC17	2125.70	2127.02	USD	-33.00	
27SEP17	S	8	PD08DEC17	2125.90	2127.02	USD	-224.00	
	NET	0 AVG BGHT 2093.39	SOLD 2125.88				7,310.00	0.00
11SEP17	S	1	PD11DEC17	2100.50	2127.97	USD	-686.75	
11SEP17	S	1	PD11DEC17	2103.00	2127.97	USD	-624.25	
11SEP17	S	1	PD11DEC17	2103.50	2127.97	USD	-611.75	
11SEP17	S	1	PD11DEC17	2104.50	2127.97	USD	-586.75	
11SEP17	S	1	PD11DEC17	2110.50	2127.97	USD	-436.75	
11SEP17	S	1	PD11DEC17	2125.00	2127.97	USD	-74.25	
11SEP17	S	1	PD11DEC17	2127.50	2127.97	USD	-11.75	
11SEP17	B	1	PD11DEC17	2115.00	2127.97	USD	324.25	
11SEP17	B	1	PD11DEC17	2116.50	2127.97	USD	286.75	
27SEP17	B	5	PD11DEC17	2127.00	2127.97	USD	121.25	
	NET	0 AVG BGHT 2123.79	SOLD 2110.64				-2,300.00	0.00
14SEP17	S	1	PD14DEC17	2124.50	2128.92	USD	-110.50	
14SEP17	B	1	PD14DEC17	2093.50	2128.92	USD	885.50	
	NET	0 AVG BGHT 2093.50	SOLD 2124.50				775.00	0.00
18SEP17	B	1	PD18DEC17	2093.00	2130.18	USD	929.50	
18SEP17	B	1	PD18DEC17	2089.50	2130.18	USD	1,017.00	
18SEP17	S	1	PD18DEC17	2091.00	2130.18	USD	-979.50	
18SEP17	S	1	PD18DEC17	2090.50	2130.18	USD	-992.00	
18SEP17	S	2	PD18DEC17	2093.50	2130.18	USD	-1,834.00	
18SEP17	S	1	PD18DEC17	2094.00	2130.18	USD	-904.50	
27SEP17	B	3	PD18DEC17	2129.10	2130.18	USD	81.00	
	NET	0 AVG BGHT 2113.96	SOLD 2092.50				-2,682.50	0.00
19SEP17	S	5	PD19DEC17	2105.50	2130.50	USD	-3,125.00	
19SEP17	S	1	PD19DEC17	2123.00	2130.50	USD	-187.50	
19SEP17	S	2	PD19DEC17	2124.00	2130.50	USD	-325.00	
19SEP17	S	1	PD19DEC17	2128.50	2130.50	USD	-50.00	
28SEP17	B	9	PD19DEC17	2128.65	2130.50	USD	416.25	
	NET	0 AVG BGHT 2128.65	SOLD 2114.11				-3,271.25	0.00
27SEP17	S	3	PD27DEC17	2141.50	2131.04	USD	784.50	
27SEP17	S	2	PD27DEC17	2141.00	2131.04	USD	498.00	
27SEP17	S	1	PD27DEC17	2143.50	2131.04	USD	311.50	
27SEP17	S	1	PD27DEC17	2139.00	2131.04	USD	199.00	
28SEP17	B	2	PD27DEC17	2130.92	2131.04	USD	6.00	
10OCT17	B	5	PD27DEC17	2160.29	2131.04	USD	-3,656.25	
	NET	0 AVG BGHT 2151.90	SOLD 2141.29				-1,857.25	0.00
29SEP17	B	1	PD29DEC17	2104.50	2131.25	USD	668.75	
29SEP17	B	1	PD29DEC17	2105.00	2131.25	USD	656.25	
29SEP17	B	2	PD29DEC17	2106.00	2131.25	USD	1,262.50	

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.</u>							
29SEP17	B	1	PD29DEC17	2108.00	2131.25	USD	581.25	
29SEP17	B	1	PD29DEC17	2107.00	2131.25	USD	606.25	
29SEP17	B	1	PD29DEC17	2106.50	2131.25	USD	618.75	
10OCT17	S	5	PD29DEC17	2160.30	2131.25	USD	3,631.25	
	NET	+2 AVG BGHT 2106.14	SOLD 2160.30				8,025.00	0.00
10OCT17	B	2	PD10JAN18	2158.50	2133.18	USD	-1,266.00	
10OCT17	B	2	PD10JAN18	2159.50	2133.18	USD	-1,316.00	
10OCT17	B	2	PD10JAN18	2162.00	2133.18	USD	-1,441.00	
10OCT17	B	1	PD10JAN18	2162.50	2133.18	USD	-733.00	
10OCT17	B	1	PD10JAN18	2163.00	2133.18	USD	-745.50	
10OCT17	B	1	PD10JAN18	2165.00	2133.18	USD	-795.50	
12OCT17	S	9	PD10JAN18	2145.94	2133.18	USD	2,871.00	
	NET	0 AVG BGHT 2161.17	SOLD 2145.94				-3,426.00	0.00
11OCT17	B	1	PD11JAN18	2133.00	2133.34	USD	8.50	
11OCT17	B	1	PD11JAN18	2135.00	2133.34	USD	-41.50	
11OCT17	B	3	PD11JAN18	2135.50	2133.34	USD	-162.00	
11OCT17	B	1	PD11JAN18	2147.00	2133.34	USD	-341.50	
11OCT17	B	1	PD11JAN18	2152.50	2133.34	USD	-479.00	
11OCT17	B	1	PD11JAN18	2153.50	2133.34	USD	-504.00	
11OCT17	B	1	PD11JAN18	2154.00	2133.34	USD	-516.50	
12OCT17	S	4	PD11JAN18	2146.12	2133.34	USD	1,278.00	
	NET	+5 AVG BGHT 2142.39	SOLD 2146.12				-758.00	0.00
13OCT17	S	1	PD12JAN18	2166.00	2133.50	USD	812.50	
13OCT17	S	1	PD12JAN18	2161.00	2133.50	USD	687.50	
13OCT17	S	1	PD12JAN18	2160.50	2133.50	USD	675.00	
13OCT17	B	1	PD12JAN18	2133.50	2133.50	USD	0.00	
13OCT17	B	1	PD12JAN18	2135.50	2133.50	USD	-50.00	
13OCT17	S	1	PD12JAN18	2134.00	2133.50	USD	12.50	
13OCT17	B	2	PD12JAN18	2134.00	2133.50	USD	-25.00	
	NET	0 AVG BGHT 2134.25	SOLD 2155.38				2,112.50	0.00
	<u>LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
29SEP17	S	10	PD02NOV17	2492.15	2521.00	USD	-7,212.50	
	NET	-10 AVG SOLD 2492.15					-7,212.50	0.00
07SEP17	B	1	PD07DEC17	2332.50	2525.78	USD	4,832.00	
07SEP17	B	2	PD07DEC17	2333.00	2525.78	USD	9,639.00	
07SEP17	B	1	PD07DEC17	2352.00	2525.78	USD	4,344.50	
07SEP17	S	1	PD07DEC17	2352.00	2525.78	USD	-4,344.50	
10OCT17	S	3	PD07DEC17	2553.00	2525.78	USD	2,041.50	
	NET	0 AVG BGHT 2337.63	SOLD 2502.75				16,512.50	0.00
08SEP17	B	1	PD08DEC17	2354.50	2525.93	USD	4,285.75	
08SEP17	S	1	PD08DEC17	2332.50	2525.93	USD	-4,835.75	
08SEP17	B	1	PD08DEC17	2302.00	2525.93	USD	5,598.25	
08SEP17	B	1	PD08DEC17	2313.00	2525.93	USD	5,323.25	
08SEP17	B	1	PD08DEC17	2315.00	2525.93	USD	5,273.25	
08SEP17	B	1	PD08DEC17	2301.00	2525.93	USD	5,623.25	
08SEP17	B	2	PD08DEC17	2298.00	2525.93	USD	11,396.50	
08SEP17	B	1	PD08DEC17	2295.50	2525.93	USD	5,760.75	
08SEP17	B	1	PD08DEC17	2294.50	2525.93	USD	5,785.75	
10OCT17	S	8	PD08DEC17	2553.21	2525.93	USD	5,456.00	
	NET	0 AVG BGHT 2307.94	SOLD 2528.69				49,667.00	0.00
11SEP17	S	1	PD11DEC17	2293.00	2526.39	USD	-5,834.75	
11SEP17	S	1	PD11DEC17	2294.50	2526.39	USD	-5,797.25	
10OCT17	B	2	PD11DEC17	2554.05	2526.39	USD	-1,383.00	
	NET	0 AVG BGHT 2554.05	SOLD 2293.75				-13,015.00	0.00

OPEN POSITION STATEMENT



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SAP094AX

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backoffice@sapiencap.com

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	<u>LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
14SEP17	S	1	PD14DEC17	2294.50	2526.84	USD	-5,808.50	
14SEP17	S	1	PD14DEC17	2298.00	2526.84	USD	-5,721.00	
14SEP17	S	1	PD14DEC17	2287.00	2526.84	USD	-5,996.00	
14SEP17	S	1	PD14DEC17	2291.00	2526.84	USD	-5,896.00	
14SEP17	S	1	PD14DEC17	2292.50	2526.84	USD	-5,858.50	
14SEP17	S	1	PD14DEC17	2289.50	2526.84	USD	-5,933.50	
14SEP17	S	2	PD14DEC17	2297.50	2526.84	USD	-11,467.00	
14SEP17	S	1	PD14DEC17	2297.00	2526.84	USD	-5,746.00	
10OCT17	B	9	PD14DEC17	2554.68	2526.84	USD	-6,264.00	
	NET	0 AVG BGHT 2554.68	SOLD 2293.83				-58,690.50	0.00
21SEP17	S	1	PD21DEC17	2464.50	2526.75	USD	-1,556.25	
21SEP17	S	2	PD21DEC17	2464.00	2526.75	USD	-3,137.50	
21SEP17	S	2	PD21DEC17	2465.50	2526.75	USD	-3,062.50	
21SEP17	S	1	PD21DEC17	2467.50	2526.75	USD	-1,481.25	
21SEP17	S	4	PD21DEC17	2465.00	2526.75	USD	-6,175.00	
21SEP17	S	1	PD21DEC17	2466.50	2526.75	USD	-1,506.25	
21SEP17	B	10	PD21DEC17	2491.65	2526.75	USD	8,775.00	
10OCT17	B	1	PD21DEC17	2554.75	2526.75	USD	-700.00	
	NET	0 AVG BGHT 2497.39	SOLD 2465.23				-8,843.75	0.00
26SEP17	B	1	PD27DEC17	2503.00	2527.64	USD	616.00	
10OCT17	S	1	PD27DEC17	2553.80	2527.64	USD	654.00	
	NET	0 AVG BGHT 2503.00	SOLD 2553.80				1,270.00	0.00
29SEP17	S	1	PD29DEC17	2508.00	2527.93	USD	-498.25	
29SEP17	S	1	PD29DEC17	2511.00	2527.93	USD	-423.25	
29SEP17	S	1	PD29DEC17	2513.00	2527.93	USD	-373.25	
29SEP17	B	1	PD29DEC17	2467.00	2527.93	USD	1,523.25	
10OCT17	B	1	PD29DEC17	2554.43	2527.93	USD	-662.50	
	NET	-1 AVG BGHT 2510.72	SOLD 2510.67				-434.00	0.00
09OCT17	B	1	PD09JAN18	2497.50	2529.56	USD	801.50	
10OCT17	S	1	PD09JAN18	2556.59	2529.56	USD	675.75	
	NET	0 AVG BGHT 2497.50	SOLD 2556.59				1,477.25	0.00
10OCT17	B	4	PD10JAN18	2487.00	2529.70	USD	4,270.00	
10OCT17	B	1	PD10JAN18	2487.50	2529.70	USD	1,055.00	
10OCT17	B	2	PD10JAN18	2489.00	2529.70	USD	2,035.00	
10OCT17	B	1	PD10JAN18	2495.00	2529.70	USD	867.50	
10OCT17	B	1	PD10JAN18	2498.00	2529.70	USD	792.50	
10OCT17	S	1	PD10JAN18	2537.00	2529.70	USD	182.50	
10OCT17	S	1	PD10JAN18	2537.50	2529.70	USD	195.00	
10OCT17	S	3	PD10JAN18	2538.50	2529.70	USD	660.00	
10OCT17	S	1	PD10JAN18	2539.50	2529.70	USD	245.00	
	NET	+3 AVG BGHT 2489.61	SOLD 2538.25				10,302.50	0.00
11OCT17	B	1	PD11JAN18	2543.00	2529.85	USD	-328.75	
11OCT17	S	1	PD11JAN18	2541.00	2529.85	USD	278.75	
11OCT17	S	1	PD11JAN18	2541.50	2529.85	USD	291.25	
11OCT17	S	1	PD11JAN18	2557.50	2529.85	USD	691.25	
11OCT17	S	1	PD11JAN18	2559.50	2529.85	USD	741.25	
11OCT17	B	1	PD11JAN18	2558.50	2529.85	USD	-716.25	
11OCT17	S	2	PD11JAN18	2561.50	2529.85	USD	1,582.50	
11OCT17	S	1	PD11JAN18	2562.00	2529.85	USD	803.75	
11OCT17	S	1	PD11JAN18	2560.00	2529.85	USD	753.75	
11OCT17	S	2	PD11JAN18	2560.50	2529.85	USD	1,532.50	
	NET	-8 AVG BGHT 2550.75	SOLD 2556.55				5,630.00	0.00
12OCT17	S	1	PD12JAN18	2557.00	2530.00	USD	675.00	
12OCT17	S	1	PD12JAN18	2558.00	2530.00	USD	700.00	
12OCT17	S	1	PD12JAN18	2558.50	2530.00	USD	712.50	

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	<u>LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
12OCT17	S	1	PD12JAN18	2557.50	2530.00	USD	687.50	
12OCT17	S	1	PD12JAN18	2564.00	2530.00	USD	850.00	
12OCT17	S	1	PD12JAN18	2566.00	2530.00	USD	900.00	
12OCT17	S	2	PD12JAN18	2565.00	2530.00	USD	1,750.00	
12OCT17	S	1	PD12JAN18	2565.50	2530.00	USD	887.50	
12OCT17	S	2	PD12JAN18	2566.50	2530.00	USD	1,825.00	
12OCT17	S	1	PD12JAN18	2563.00	2530.00	USD	825.00	
12OCT17	S	1	PD12JAN18	2574.00	2530.00	USD	1,100.00	
12OCT17	S	1	PD12JAN18	2573.50	2530.00	USD	1,087.50	
12OCT17	S	2	PD12JAN18	2575.00	2530.00	USD	2,250.00	
13OCT17	B	1	PD12JAN18	2549.50	2530.00	USD	-487.50	
13OCT17	B	1	PD12JAN18	2550.50	2530.00	USD	-512.50	
13OCT17	B	1	PD12JAN18	2551.00	2530.00	USD	-525.00	
13OCT17	B	1	PD12JAN18	2552.00	2530.00	USD	-550.00	
13OCT17	B	1	PD12JAN18	2555.50	2530.00	USD	-637.50	
13OCT17	B	1	PD12JAN18	2556.00	2530.00	USD	-650.00	
13OCT17	S	1	PD12JAN18	2555.00	2530.00	USD	625.00	
13OCT17	B	1	PD12JAN18	2546.50	2530.00	USD	-412.50	
	NET	-10 AVG BGHT	2551.57		SOLD 2565.00		11,100.00	0.00
	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
29SEP17	B	8	PD02NOV17	10446.50	11622.43	USD	56,444.64	
13OCT17	S	6	PD02NOV17	11622.43	11622.43	USD	0.00	
	NET	+2 AVG BGHT	10446.50		SOLD 11622.43		56,444.64	0.00
08SEP17	B	1	PD08DEC17	11505.00	11649.27	USD	865.62	
08SEP17	B	1	PD08DEC17	11555.00	11649.27	USD	565.62	
08SEP17	B	1	PD08DEC17	11515.00	11649.27	USD	805.62	
08SEP17	B	1	PD08DEC17	11525.00	11649.27	USD	745.62	
14SEP17	S	4	PD08DEC17	11194.24	11649.27	USD	-10,920.72	
	NET	0 AVG BGHT	11525.00		SOLD 11194.24		-7,938.24	0.00
14SEP17	B	1	PD14DEC17	11195.00	11653.39	USD	2,750.34	
14SEP17	B	1	PD14DEC17	11180.00	11653.39	USD	2,840.34	
14SEP17	B	1	PD14DEC17	11205.00	11653.39	USD	2,690.34	
14SEP17	B	2	PD14DEC17	11170.00	11653.39	USD	5,800.68	
14SEP17	B	1	PD14DEC17	11270.00	11653.39	USD	2,300.34	
14SEP17	B	1	PD14DEC17	11280.00	11653.39	USD	2,240.34	
14SEP17	S	4	PD14DEC17	11199.00	11653.39	USD	-10,905.36	
13OCT17	S	3	PD14DEC17	11653.39	11653.39	USD	0.00	
	NET	0 AVG BGHT	11210.00		SOLD 11393.74		7,717.02	0.00
15SEP17	B	1	PD15DEC17	11085.00	11654.07	USD	3,414.42	
15SEP17	B	1	PD15DEC17	11070.00	11654.07	USD	3,504.42	
15SEP17	B	1	PD15DEC17	11075.00	11654.07	USD	3,474.42	
15SEP17	B	2	PD15DEC17	11090.00	11654.07	USD	6,768.84	
15SEP17	B	2	PD15DEC17	11060.00	11654.07	USD	7,128.84	
15SEP17	B	2	PD15DEC17	11065.00	11654.07	USD	7,068.84	
13OCT17	S	9	PD15DEC17	11654.07	11654.07	USD	0.00	
	NET	0 AVG BGHT	11073.33		SOLD 11654.07		31,359.78	0.00
18SEP17	B	4	PD18DEC17	11190.00	11656.13	USD	11,187.12	
18SEP17	B	1	PD18DEC17	11185.00	11656.13	USD	2,826.78	
13OCT17	S	5	PD18DEC17	11656.13	11656.13	USD	0.00	
	NET	0 AVG BGHT	11189.00		SOLD 11656.13		14,013.90	0.00
29SEP17	S	1	PD29DEC17	10405.00	11664.35	USD	-7,556.10	
29SEP17	S	1	PD29DEC17	10385.00	11664.35	USD	-7,676.10	
29SEP17	S	1	PD29DEC17	10390.00	11664.35	USD	-7,646.10	
29SEP17	S	1	PD29DEC17	10485.00	11664.35	USD	-7,076.10	

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
29SEP17	S	1	PD29DEC17	10490.00	11664.35	USD	-7,046.10	
29SEP17	S	1	PD29DEC17	10495.00	11664.35	USD	-7,016.10	
29SEP17	S	1	PD29DEC17	10500.00	11664.35	USD	-6,986.10	
29SEP17	S	2	PD29DEC17	10480.00	11664.35	USD	-14,212.20	
29SEP17	S	1	PD29DEC17	10430.00	11664.35	USD	-7,406.10	
29SEP17	B	2	PD29DEC17	10450.00	11664.35	USD	14,572.20	
29SEP17	B	1	PD29DEC17	10480.00	11664.35	USD	7,106.10	
29SEP17	B	1	PD29DEC17	10490.00	11664.35	USD	7,046.10	
29SEP17	B	2	PD29DEC17	10495.00	11664.35	USD	14,032.20	
29SEP17	B	1	PD29DEC17	10505.00	11664.35	USD	6,956.10	
13OCT17	B	3	PD29DEC17	11665.35	11664.35	USD	-18.00	
	NET	0AVG BGHT 10836.11	SOLD 10454.00				-22,926.30	0.00
12OCT17	B	1	PD12JAN18	11405.00	11675.00	USD	1,620.00	
12OCT17	S	2	PD12JAN18	11410.00	11675.00	USD	-3,180.00	
12OCT17	S	1	PD12JAN18	11380.00	11675.00	USD	-1,770.00	
12OCT17	S	1	PD12JAN18	11390.00	11675.00	USD	-1,710.00	
12OCT17	S	1	PD12JAN18	11395.00	11675.00	USD	-1,680.00	
12OCT17	S	6	PD12JAN18	11405.00	11675.00	USD	-9,720.00	
12OCT17	S	3	PD12JAN18	11415.00	11675.00	USD	-4,680.00	
13OCT17	S	1	PD12JAN18	11405.00	11675.00	USD	-1,620.00	
13OCT17	S	1	PD12JAN18	11600.00	11675.00	USD	-450.00	
13OCT17	S	1	PD12JAN18	11615.00	11675.00	USD	-360.00	
13OCT17	S	1	PD12JAN18	11625.00	11675.00	USD	-300.00	
13OCT17	S	2	PD12JAN18	11630.00	11675.00	USD	-540.00	
13OCT17	S	1	PD12JAN18	11635.00	11675.00	USD	-240.00	
13OCT17	S	1	PD12JAN18	11595.00	11675.00	USD	-480.00	
13OCT17	S	1	PD12JAN18	11605.00	11675.00	USD	-420.00	
13OCT17	B	20	PD12JAN18	11676.00	11675.00	USD	-120.00	
	NET	-2AVG BGHT 11663.10	SOLD 11478.26				-25,650.00	0.00
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
02OCT17	S	13	PD02NOV17	3242.00	3266.20	USD	-7,865.00	
	NET	-13AVG SOLD 3242.00					-7,865.00	0.00
07SEP17	S	1	PD07DEC17	3137.50	3245.25	USD	-2,693.75	
07SEP17	S	1	PD07DEC17	3139.50	3245.25	USD	-2,643.75	
07SEP17	S	1	PD07DEC17	3141.00	3245.25	USD	-2,606.25	
07SEP17	B	1	PD07DEC17	3134.00	3245.25	USD	2,781.25	
07SEP17	B	3	PD07DEC17	3135.00	3245.25	USD	8,268.75	
07SEP17	S	1	PD07DEC17	3134.50	3245.25	USD	-2,768.75	
	NET	0AVG BGHT 3134.75	SOLD 3138.13				337.50	0.00
08SEP17	B	1	PD08DEC17	3085.50	3245.00	USD	3,987.50	
08SEP17	B	1	PD08DEC17	3086.00	3245.00	USD	3,975.00	
08SEP17	B	1	PD08DEC17	3090.50	3245.00	USD	3,862.50	
08SEP17	B	1	PD08DEC17	3083.00	3245.00	USD	4,050.00	
10OCT17	S	4	PD08DEC17	3290.78	3245.00	USD	4,578.00	
	NET	0AVG BGHT 3086.25	SOLD 3290.78				20,453.00	0.00
11SEP17	S	1	PD11DEC17	3054.00	3244.75	USD	-4,768.75	
11SEP17	S	1	PD11DEC17	3067.50	3244.75	USD	-4,431.25	
11SEP17	S	1	PD11DEC17	3076.00	3244.75	USD	-4,218.75	
11SEP17	S	1	PD11DEC17	3093.00	3244.75	USD	-3,793.75	
10OCT17	B	4	PD11DEC17	3290.76	3244.75	USD	-4,601.00	
	NET	0AVG BGHT 3290.76	SOLD 3072.63				-21,813.50	0.00
15SEP17	S	1	PD15DEC17	3012.00	3243.75	USD	-5,793.75	
15SEP17	S	1	PD15DEC17	3012.50	3243.75	USD	-5,781.25	
15SEP17	S	1	PD15DEC17	3027.00	3243.75	USD	-5,418.75	

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15SEP17	S	1	PD15DEC17		3034.00	3243.75	USD	-5,243.75	
02OCT17	B	3	PD15DEC17		3238.37	3243.75	USD	403.50	
10OCT17	B	1	PD15DEC17		3289.67	3243.75	USD	-1,148.00	
	NET	0AVG	BGHT 3251.20	SOLD 3021.38				-22,982.00	0.00
18SEP17	S	1	PD18DEC17		3092.50	3243.50	USD	-3,775.00	
10OCT17	B	1	PD18DEC17		3289.44	3243.50	USD	-1,148.50	
	NET	0AVG	BGHT 3289.44	SOLD 3092.50				-4,923.50	0.00
19SEP17	B	1	PD19DEC17		3098.50	3243.25	USD	3,618.75	
19SEP17	B	1	PD19DEC17		3094.00	3243.25	USD	3,731.25	
19SEP17	S	1	PD19DEC17		3094.50	3243.25	USD	-3,718.75	
19SEP17	B	1	PD19DEC17		3101.50	3243.25	USD	3,543.75	
19SEP17	S	1	PD19DEC17		3092.00	3243.25	USD	-3,781.25	
19SEP17	B	1	PD19DEC17		3089.00	3243.25	USD	3,856.25	
10OCT17	S	2	PD19DEC17		3289.02	3243.25	USD	2,288.50	
	NET	0AVG	BGHT 3095.75	SOLD 3191.14				9,538.50	0.00
26SEP17	S	1	PD27DEC17		3123.00	3239.23	USD	-2,905.75	
26SEP17	S	1	PD27DEC17		3136.00	3239.23	USD	-2,580.75	
02OCT17	B	2	PD27DEC17		3236.20	3239.23	USD	151.50	
	NET	0AVG	BGHT 3236.20	SOLD 3129.50				-5,335.00	0.00
29SEP17	B	1	PD29DEC17		3148.50	3238.46	USD	2,249.00	
29SEP17	B	1	PD29DEC17		3149.00	3238.46	USD	2,236.50	
29SEP17	B	1	PD29DEC17		3151.00	3238.46	USD	2,186.50	
29SEP17	S	1	PD29DEC17		3165.00	3238.46	USD	-1,836.50	
29SEP17	S	2	PD29DEC17		3168.00	3238.46	USD	-3,523.00	
29SEP17	S	1	PD29DEC17		3170.00	3238.46	USD	-1,711.50	
29SEP17	S	1	PD29DEC17		3171.00	3238.46	USD	-1,686.50	
29SEP17	B	1	PD29DEC17		3150.00	3238.46	USD	2,211.50	
29SEP17	B	1	PD29DEC17		3156.50	3238.46	USD	2,049.00	
29SEP17	S	1	PD29DEC17		3162.00	3238.46	USD	-1,911.50	
29SEP17	S	1	PD29DEC17		3157.00	3238.46	USD	-2,036.50	
29SEP17	S	3	PD29DEC17		3156.50	3238.46	USD	-6,147.00	
29SEP17	S	2	PD29DEC17		3157.50	3238.46	USD	-4,048.00	
29SEP17	S	1	PD29DEC17		3164.00	3238.46	USD	-1,861.50	
02OCT17	B	8	PD29DEC17		3236.20	3238.46	USD	452.00	
	NET	0AVG	BGHT 3203.43	SOLD 3162.27				-13,377.50	0.00
10OCT17	B	1	PD10JAN18		3227.50	3235.77	USD	206.75	
10OCT17	B	1	PD10JAN18		3228.00	3235.77	USD	194.25	
10OCT17	B	1	PD10JAN18		3228.50	3235.77	USD	181.75	
10OCT17	B	1	PD10JAN18		3234.00	3235.77	USD	44.25	
10OCT17	B	1	PD10JAN18		3235.50	3235.77	USD	6.75	
10OCT17	S	1	PD10JAN18		3222.00	3235.77	USD	-344.25	
10OCT17	S	1	PD10JAN18		3226.00	3235.77	USD	-244.25	
10OCT17	S	1	PD10JAN18		3239.50	3235.77	USD	93.25	
10OCT17	S	1	PD10JAN18		3241.00	3235.77	USD	130.75	
10OCT17	S	1	PD10JAN18		3242.00	3235.77	USD	155.75	
10OCT17	S	1	PD10JAN18		3242.50	3235.77	USD	168.25	
10OCT17	S	1	PD10JAN18		3243.50	3235.77	USD	193.25	
10OCT17	S	1	PD10JAN18		3247.00	3235.77	USD	280.75	
10OCT17	S	1	PD10JAN18		3247.50	3235.77	USD	293.25	
10OCT17	S	3	PD10JAN18		3248.00	3235.77	USD	917.25	
10OCT17	S	1	PD10JAN18		3249.00	3235.77	USD	330.75	
10OCT17	S	1	PD10JAN18		3249.50	3235.77	USD	343.25	
10OCT17	S	1	PD10JAN18		3250.00	3235.77	USD	355.75	
10OCT17	S	1	PD10JAN18		3251.00	3235.77	USD	380.75	
10OCT17	S	1	PD10JAN18		3253.00	3235.77	USD	430.75	
10OCT17	S	2	PD10JAN18		3253.50	3235.77	USD	886.50	

OPEN POSITION STATEMENT



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SAP094AX

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backoffice@sapiencap.com

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Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
10OCT17	S 1 PD10JAN18	3254.00	3235.77	USD	455.75	
10OCT17	S 1 PD10JAN18	3256.00	3235.77	USD	505.75	
	NET -16 AVG BGHT 3230.70 SOLD 3245.93				5,967.00	0.00
11OCT17	B 1 PD11JAN18	3232.50	3235.38	USD	72.00	
11OCT17	B 1 PD11JAN18	3235.00	3235.38	USD	9.50	
	NET +2 AVG BGHT 3233.75				81.50	0.00
12OCT17	S 1 PD12JAN18	3206.00	3235.00	USD	-725.00	
12OCT17	S 1 PD12JAN18	3207.50	3235.00	USD	-687.50	
12OCT17	S 2 PD12JAN18	3257.50	3235.00	USD	1,125.00	
12OCT17	S 1 PD12JAN18	3259.00	3235.00	USD	600.00	
12OCT17	S 1 PD12JAN18	3238.00	3235.00	USD	75.00	
12OCT17	S 1 PD12JAN18	3255.50	3235.00	USD	512.50	
12OCT17	S 1 PD12JAN18	3260.00	3235.00	USD	625.00	
12OCT17	S 2 PD12JAN18	3254.00	3235.00	USD	950.00	
12OCT17	S 2 PD12JAN18	3251.00	3235.00	USD	800.00	
12OCT17	S 1 PD12JAN18	3253.00	3235.00	USD	450.00	
12OCT17	S 1 PD12JAN18	3253.50	3235.00	USD	462.50	
12OCT17	S 3 PD12JAN18	3255.00	3235.00	USD	1,500.00	
12OCT17	S 1 PD12JAN18	3258.00	3235.00	USD	575.00	
12OCT17	S 2 PD12JAN18	3258.50	3235.00	USD	1,175.00	
12OCT17	S 1 PD12JAN18	3259.50	3235.00	USD	612.50	
12OCT17	S 1 PD12JAN18	3261.50	3235.00	USD	662.50	
12OCT17	B 1 PD12JAN18	3259.00	3235.00	USD	-600.00	
13OCT17	B 1 PD12JAN18	3248.50	3235.00	USD	-337.50	
13OCT17	B 1 PD12JAN18	3249.00	3235.00	USD	-350.00	
13OCT17	B 1 PD12JAN18	3230.50	3235.00	USD	112.50	
13OCT17	B 1 PD12JAN18	3215.50	3235.00	USD	487.50	
13OCT17	B 2 PD12JAN18	3221.00	3235.00	USD	700.00	
	NET -15 AVG BGHT 3234.93 SOLD 3250.84				8,725.00	0.00

OPEN POSITION VALUE

		USD
LME Aluminium 25 Tonnes.	FUTURES	-480,249.75
LME Lead 25 tonnes. This is an	FUTURES	1,649,954.25
LME Nickel 6 tonnes. This is	FUTURES	53,651.64
LME Zinc 25 tonnes. This is	FUTURES	3,375,985.00

MARKET REVALUATION BREAKDOWN

Unrealised Profits & Losses - Forwards	Amount	Profit / Loss	Total Amount	Total P&L
ALUL - Futures	USD -1,297.75	-1,297.75		
LEAD - Futures	USD 7,763.50	7,763.50		
NKL - Futures	USD 53,020.80	53,020.80		
ZINC - Futures	USD -31,194.00	-31,194.00	28,292.55	28,292.55

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ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	109,609.73	109,609.73
Variation Margins		
- Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	109,609.73	109,609.73
Initial Margins	-359,892.45	-359,892.45
Excess/(Shortage)	-250,282.72	-250,282.72
FOR INFORMATION ONLY		
Forward Unrealised P&L	28,292.55	28,292.55
Net Liquidating Value	137,902.28	137,902.28

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	<u>LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.</u>					
13OCT17	S 1 PD17NOV17	2120.38	2120.38	USD	0.00	
	NET -1 AVG SOLD 2120.38				0.00	0.00
13OCT17	S 1 PD21NOV17	2121.65	2121.65	USD	0.00	
	NET -1 AVG SOLD 2121.65				0.00	0.00
13OCT17	B 2 PD22NOV17	2122.16	2121.96	USD	-10.00	
	NET +2 AVG BGHT 2122.16				-10.00	0.00
13OCT17	B 2 PD08DEC17	2127.02	2127.02	USD	0.00	
	NET +2 AVG BGHT 2127.02				0.00	0.00
13OCT17	S 2 PD11DEC17	2127.77	2127.97	USD	-10.00	
13OCT17	S 3 PD11DEC17	2127.97	2127.97	USD	0.00	
	NET -5 AVG SOLD 2127.89				-10.00	0.00
13OCT17	B 1 PD12DEC17	2128.49	2128.29	USD	-5.00	
	NET +1 AVG BGHT 2128.49				-5.00	0.00
13OCT17	B 2 PD13DEC17	2128.80	2128.60	USD	-10.00	
	NET +2 AVG BGHT 2128.80				-10.00	0.00
18SEP17	B 1 PD18DEC17	2093.00	2130.18	USD	929.50	
26SEP17	S 1 PD18DEC17	2123.64	2130.18	USD	-163.50	
	NET 0 AVG BGHT 2093.00 SOLD 2123.64				766.00	0.00
19SEP17	B 1 PD19DEC17	2105.00	2130.50	USD	637.50	
19SEP17	S 1 PD19DEC17	2127.00	2130.50	USD	-87.50	
19SEP17	S 1 PD19DEC17	2124.00	2130.50	USD	-162.50	
19SEP17	B 1 PD19DEC17	2126.00	2130.50	USD	112.50	
	NET 0 AVG BGHT 2115.50 SOLD 2125.50				500.00	0.00
20SEP17	B 1 PD20DEC17	2127.50	2130.50	USD	75.00	
20SEP17	B 2 PD20DEC17	2127.00	2130.50	USD	175.00	
20SEP17	S 1 PD20DEC17	2126.50	2130.50	USD	-100.00	
20SEP17	B 1 PD20DEC17	2129.00	2130.50	USD	37.50	
20SEP17	B 1 PD20DEC17	2151.00	2130.50	USD	-512.50	
20SEP17	B 1 PD20DEC17	2152.00	2130.50	USD	-537.50	
20SEP17	B 1 PD20DEC17	2161.50	2130.50	USD	-775.00	
20SEP17	B 1 PD20DEC17	2163.00	2130.50	USD	-812.50	
20SEP17	B 1 PD20DEC17	2161.00	2130.50	USD	-762.50	
20SEP17	B 2 PD20DEC17	2159.00	2130.50	USD	-1,425.00	
20SEP17	B 1 PD20DEC17	2158.00	2130.50	USD	-687.50	
20SEP17	S 1 PD20DEC17	2176.00	2130.50	USD	1,137.50	
20SEP17	S 1 PD20DEC17	2180.00	2130.50	USD	1,237.50	
20SEP17	B 1 PD20DEC17	2156.00	2130.50	USD	-637.50	
20SEP17	B 5 PD20DEC17	2183.50	2130.50	USD	-6,625.00	
20SEP17	B 7 PD20DEC17	2184.00	2130.50	USD	-9,362.50	
20SEP17	B 3 PD20DEC17	2184.50	2130.50	USD	-4,050.00	
20SEP17	B 4 PD20DEC17	2177.00	2130.50	USD	-4,650.00	
20SEP17	B 2 PD20DEC17	2179.50	2130.50	USD	-2,450.00	
20SEP17	B 2 PD20DEC17	2178.00	2130.50	USD	-2,375.00	
20SEP17	B 2 PD20DEC17	2175.50	2130.50	USD	-2,250.00	
20SEP17	S 1 PD20DEC17	2182.50	2130.50	USD	1,300.00	
20SEP17	S 1 PD20DEC17	2173.00	2130.50	USD	1,062.50	
20SEP17	S 1 PD20DEC17	2171.00	2130.50	USD	1,012.50	
20SEP17	S 1 PD20DEC17	2164.00	2130.50	USD	837.50	
20SEP17	S 1 PD20DEC17	2175.00	2130.50	USD	1,112.50	
26SEP17	S 2 PD20DEC17	2124.25	2130.50	USD	-312.50	
13OCT17	S 2 PD20DEC17	2130.50	2130.50	USD	0.00	
	NET +26 AVG BGHT 2170.11 SOLD 2154.79				-30,337.50	0.00
21SEP17	S 1 PD21DEC17	2178.50	2130.50	USD	1,200.00	
21SEP17	S 1 PD21DEC17	2177.00	2130.50	USD	1,162.50	

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	<u>LME ALUMINIUM 25 TONNES. THIS IS AN</u>							
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21SEP17	S	1	PD21DEC17	2173.00	2130.50	USD	1,062.50	
21SEP17	S	1	PD21DEC17	2176.50	2130.50	USD	1,150.00	
21SEP17	S	3	PD21DEC17	2175.00	2130.50	USD	3,337.50	
21SEP17	S	1	PD21DEC17	2168.00	2130.50	USD	937.50	
21SEP17	S	1	PD21DEC17	2163.50	2130.50	USD	825.00	
21SEP17	S	4	PD21DEC17	2181.50	2130.50	USD	5,100.00	
21SEP17	S	1	PD21DEC17	2180.00	2130.50	USD	1,237.50	
21SEP17	B	6	PD21DEC17	2194.00	2130.50	USD	-9,525.00	
21SEP17	B	4	PD21DEC17	2193.50	2130.50	USD	-6,300.00	
21SEP17	B	2	PD21DEC17	2191.00	2130.50	USD	-3,025.00	
21SEP17	B	4	PD21DEC17	2192.00	2130.50	USD	-6,150.00	
21SEP17	B	4	PD21DEC17	2191.50	2130.50	USD	-6,100.00	
21SEP17	B	4	PD21DEC17	2182.00	2130.50	USD	-5,150.00	
21SEP17	B	1	PD21DEC17	2181.50	2130.50	USD	-1,275.00	
21SEP17	B	2	PD21DEC17	2183.00	2130.50	USD	-2,625.00	
21SEP17	B	4	PD21DEC17	2184.00	2130.50	USD	-5,350.00	
21SEP17	B	6	PD21DEC17	2173.50	2130.50	USD	-6,450.00	
21SEP17	B	1	PD21DEC17	2173.00	2130.50	USD	-1,062.50	
21SEP17	B	1	PD21DEC17	2172.00	2130.50	USD	-1,037.50	
21SEP17	S	1	PD21DEC17	2171.00	2130.50	USD	1,012.50	
21SEP17	S	1	PD21DEC17	2169.50	2130.50	USD	975.00	
21SEP17	S	2	PD21DEC17	2167.00	2130.50	USD	1,825.00	
21SEP17	S	9	PD21DEC17	2166.50	2130.50	USD	8,100.00	
21SEP17	S	5	PD21DEC17	2166.00	2130.50	USD	4,437.50	
21SEP17	S	1	PD21DEC17	2164.50	2130.50	USD	850.00	
21SEP17	S	2	PD21DEC17	2162.50	2130.50	USD	1,600.00	
21SEP17	S	4	PD21DEC17	2162.00	2130.50	USD	3,150.00	
21SEP17	B	2	PD21DEC17	2157.00	2130.50	USD	-1,325.00	
21SEP17	S	1	PD21DEC17	2154.00	2130.50	USD	587.50	
21SEP17	S	1	PD21DEC17	2151.00	2130.50	USD	512.50	
21SEP17	B	2	PD21DEC17	2146.50	2130.50	USD	-800.00	
21SEP17	S	7	PD21DEC17	2145.00	2130.50	USD	2,537.50	
21SEP17	S	1	PD21DEC17	2144.50	2130.50	USD	350.00	
21SEP17	B	1	PD21DEC17	2150.00	2130.50	USD	-487.50	
21SEP17	B	2	PD21DEC17	2148.50	2130.50	USD	-900.00	
21SEP17	B	1	PD21DEC17	2144.00	2130.50	USD	-337.50	
21SEP17	B	5	PD21DEC17	2149.00	2130.50	USD	-2,312.50	
21SEP17	B	1	PD21DEC17	2147.00	2130.50	USD	-412.50	
21SEP17	B	5	PD21DEC17	2149.50	2130.50	USD	-2,375.00	
21SEP17	B	8	PD21DEC17	2146.50	2130.50	USD	-3,200.00	
26SEP17	B	3	PD21DEC17	2124.70	2130.50	USD	435.00	
13OCT17	B	2	PD21DEC17	2130.70	2130.50	USD	-10.00	
13OCT17	B	4	PD21DEC17	2130.50	2130.50	USD	0.00	
	NET	+26 AVG BGHT 2165.58	SOLD 2164.74				-23,825.00	0.00
22SEP17	B	1	PD22DEC17	2151.00	2130.50	USD	-512.50	
22SEP17	S	1	PD22DEC17	2125.00	2130.50	USD	-137.50	
22SEP17	B	1	PD22DEC17	2119.00	2130.50	USD	287.50	
22SEP17	B	1	PD22DEC17	2115.50	2130.50	USD	375.00	
22SEP17	S	1	PD22DEC17	2142.50	2130.50	USD	300.00	
22SEP17	S	1	PD22DEC17	2156.50	2130.50	USD	650.00	
22SEP17	S	1	PD22DEC17	2157.00	2130.50	USD	662.50	
22SEP17	S	1	PD22DEC17	2166.00	2130.50	USD	887.50	
26SEP17	B	1	PD22DEC17	2124.75	2130.50	USD	143.75	
12OCT17	B	1	PD22DEC17	2143.25	2130.50	USD	-318.75	
13OCT17	S	1	PD22DEC17	2130.30	2130.50	USD	-5.00	
	NET	-1 AVG BGHT 2130.70	SOLD 2146.22				2,332.50	0.00
25SEP17	B	1	PD27DEC17	2150.50	2131.04	USD	-486.50	

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	<u>LME ALUMINIUM 25 TONNES. THIS IS AN</u>							
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25SEP17	S	1	PD27DEC17	2152.00	2131.04	USD	524.00	
25SEP17	B	1	PD27DEC17	2164.50	2131.04	USD	-836.50	
25SEP17	S	8	PD27DEC17	2149.50	2131.04	USD	3,692.00	
25SEP17	S	2	PD27DEC17	2146.50	2131.04	USD	773.00	
25SEP17	B	5	PD27DEC17	2147.50	2131.04	USD	-2,057.50	
25SEP17	B	5	PD27DEC17	2148.00	2131.04	USD	-2,120.00	
25SEP17	S	2	PD27DEC17	2160.00	2131.04	USD	1,448.00	
25SEP17	B	2	PD27DEC17	2159.50	2131.04	USD	-1,423.00	
25SEP17	B	1	PD27DEC17	2143.50	2131.04	USD	-311.50	
25SEP17	B	1	PD27DEC17	2146.00	2131.04	USD	-374.00	
25SEP17	B	1	PD27DEC17	2151.00	2131.04	USD	-499.00	
25SEP17	B	1	PD27DEC17	2151.50	2131.04	USD	-511.50	
26SEP17	S	8	PD27DEC17	2149.50	2131.04	USD	3,692.00	
26SEP17	S	2	PD27DEC17	2147.00	2131.04	USD	798.00	
26SEP17	S	7	PD27DEC17	2156.00	2131.04	USD	4,368.00	
26SEP17	S	3	PD27DEC17	2155.50	2131.04	USD	1,834.50	
26SEP17	S	1	PD27DEC17	2153.50	2131.04	USD	561.50	
26SEP17	S	2	PD27DEC17	2134.50	2131.04	USD	173.00	
26SEP17	S	5	PD27DEC17	2137.00	2131.04	USD	745.00	
26SEP17	S	1	PD27DEC17	2132.50	2131.04	USD	36.50	
26SEP17	S	1	PD27DEC17	2133.50	2131.04	USD	61.50	
26SEP17	S	5	PD27DEC17	2133.00	2131.04	USD	245.00	
26SEP17	S	2	PD27DEC17	2134.00	2131.04	USD	148.00	
26SEP17	B	2	PD27DEC17	2132.00	2131.04	USD	-48.00	
26SEP17	B	1	PD27DEC17	2135.50	2131.04	USD	-111.50	
26SEP17	S	2	PD27DEC17	2135.50	2131.04	USD	223.00	
26SEP17	B	4	PD27DEC17	2137.00	2131.04	USD	-596.00	
26SEP17	B	1	PD27DEC17	2132.50	2131.04	USD	-36.50	
26SEP17	B	3	PD27DEC17	2125.00	2131.04	USD	453.00	
26SEP17	B	2	PD27DEC17	2126.00	2131.04	USD	252.00	
26SEP17	S	1	PD27DEC17	2125.80	2131.04	USD	-131.00	
27SEP17	B	1	PD27DEC17	2132.00	2131.04	USD	-24.00	
27SEP17	S	1	PD27DEC17	2141.00	2131.04	USD	249.00	
27SEP17	S	2	PD27DEC17	2140.00	2131.04	USD	448.00	
27SEP17	S	1	PD27DEC17	2138.00	2131.04	USD	174.00	
27SEP17	S	1	PD27DEC17	2134.50	2131.04	USD	86.50	
27SEP17	S	1	PD27DEC17	2134.00	2131.04	USD	74.00	
27SEP17	S	1	PD27DEC17	2133.00	2131.04	USD	49.00	
27SEP17	S	1	PD27DEC17	2135.00	2131.04	USD	99.00	
27SEP17	S	1	PD27DEC17	2131.50	2131.04	USD	11.50	
27SEP17	S	1	PD27DEC17	2127.00	2131.04	USD	-101.00	
27SEP17	B	1	PD27DEC17	2125.50	2131.04	USD	138.50	
27SEP17	B	1	PD27DEC17	2126.50	2131.04	USD	113.50	
27SEP17	B	1	PD27DEC17	2126.00	2131.04	USD	126.00	
13OCT17	S	3	PD27DEC17	2130.84	2131.04	USD	-15.00	
	NET	-31 AVG BGHT 2140.59	SOLD 2143.32				11,914.50	0.00
28SEP17	B	1	PD28DEC17	2134.00	2131.14	USD	-71.50	
28SEP17	S	2	PD28DEC17	2132.50	2131.14	USD	68.00	
28SEP17	B	1	PD28DEC17	2128.00	2131.14	USD	78.50	
28SEP17	S	2	PD28DEC17	2126.00	2131.14	USD	-257.00	
28SEP17	S	1	PD28DEC17	2133.00	2131.14	USD	46.50	
28SEP17	S	1	PD28DEC17	2133.50	2131.14	USD	59.00	
28SEP17	S	5	PD28DEC17	2131.00	2131.14	USD	-17.50	
28SEP17	S	1	PD28DEC17	2130.00	2131.14	USD	-28.50	
28SEP17	S	1	PD28DEC17	2130.50	2131.14	USD	-16.00	
28SEP17	B	3	PD28DEC17	2130.00	2131.14	USD	85.50	
28SEP17	B	5	PD28DEC17	2130.50	2131.14	USD	80.00	

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	<u>LME ALUMINIUM 25 TONNES. THIS IS AN</u>							
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28SEP17	S	1	PD28DEC17	2125.00	2131.14	USD	-153.50	
28SEP17	S	3	PD28DEC17	2125.50	2131.14	USD	-423.00	
28SEP17	S	1	PD28DEC17	2143.50	2131.14	USD	309.00	
11OCT17	B	6	PD28DEC17	2127.39	2131.14	USD	562.50	
13OCT17	B	4	PD28DEC17	2131.14	2131.14	USD	0.00	
	NET	+2 AVG	BGHT 2129.67		SOLD 2130.22		322.00	0.00
29SEP17	B	1	PD29DEC17	2137.00	2131.25	USD	-143.75	
	NET	+1 AVG	BGHT 2137.00				-143.75	0.00
04OCT17	B	1	PD04JAN18	2145.00	2132.21	USD	-319.75	
04OCT17	S	1	PD04JAN18	2151.50	2132.21	USD	482.25	
04OCT17	B	1	PD04JAN18	2166.00	2132.21	USD	-844.75	
04OCT17	B	1	PD04JAN18	2154.00	2132.21	USD	-544.75	
04OCT17	S	1	PD04JAN18	2159.00	2132.21	USD	669.75	
04OCT17	S	1	PD04JAN18	2165.00	2132.21	USD	819.75	
	NET	0 AVG	BGHT 2155.00		SOLD 2158.50		262.50	0.00
05OCT17	S	1	PD05JAN18	2159.00	2132.38	USD	665.50	
05OCT17	B	1	PD05JAN18	2149.00	2132.38	USD	-415.50	
05OCT17	B	1	PD05JAN18	2169.50	2132.38	USD	-928.00	
06OCT17	S	1	PD05JAN18	2166.50	2132.38	USD	853.00	
06OCT17	S	1	PD05JAN18	2141.50	2132.38	USD	228.00	
06OCT17	B	1	PD05JAN18	2145.50	2132.38	USD	-328.00	
	NET	0 AVG	BGHT 2154.67		SOLD 2155.67		75.00	0.00
09OCT17	B	1	PD09JAN18	2150.00	2133.02	USD	-424.50	
09OCT17	B	1	PD09JAN18	2151.00	2133.02	USD	-449.50	
09OCT17	B	3	PD09JAN18	2155.50	2133.02	USD	-1,686.00	
09OCT17	B	2	PD09JAN18	2156.00	2133.02	USD	-1,149.00	
09OCT17	B	1	PD09JAN18	2149.00	2133.02	USD	-399.50	
09OCT17	B	1	PD09JAN18	2148.50	2133.02	USD	-387.00	
09OCT17	B	1	PD09JAN18	2155.00	2133.02	USD	-549.50	
09OCT17	B	1	PD09JAN18	2150.00	2133.02	USD	-424.50	
09OCT17	B	1	PD09JAN18	2157.50	2133.02	USD	-612.00	
09OCT17	B	1	PD09JAN18	2167.00	2133.02	USD	-849.50	
09OCT17	B	1	PD09JAN18	2166.00	2133.02	USD	-824.50	
11OCT17	S	6	PD09JAN18	2129.42	2133.02	USD	-540.00	
12OCT17	S	1	PD09JAN18	2145.76	2133.02	USD	318.50	
	NET	+7 AVG	BGHT 2155.18		SOLD 2131.75		-7,977.00	0.00
10OCT17	B	1	PD10JAN18	2157.50	2133.18	USD	-608.00	
10OCT17	B	1	PD10JAN18	2158.00	2133.18	USD	-620.50	
10OCT17	B	1	PD10JAN18	2156.50	2133.18	USD	-583.00	
10OCT17	B	7	PD10JAN18	2157.00	2133.18	USD	-4,168.50	
10OCT17	S	2	PD10JAN18	2163.00	2133.18	USD	1,491.00	
10OCT17	S	1	PD10JAN18	2166.50	2133.18	USD	833.00	
10OCT17	S	1	PD10JAN18	2165.50	2133.18	USD	808.00	
10OCT17	S	3	PD10JAN18	2165.00	2133.18	USD	2,386.50	
10OCT17	S	3	PD10JAN18	2168.00	2133.18	USD	2,611.50	
10OCT17	S	1	PD10JAN18	2164.00	2133.18	USD	770.50	
10OCT17	S	1	PD10JAN18	2160.00	2133.18	USD	670.50	
10OCT17	S	2	PD10JAN18	2162.00	2133.18	USD	1,441.00	
10OCT17	S	11	PD10JAN18	2161.50	2133.18	USD	7,788.00	
10OCT17	S	1	PD10JAN18	2160.50	2133.18	USD	683.00	
10OCT17	S	1	PD10JAN18	2165.00	2133.18	USD	795.50	
10OCT17	B	1	PD10JAN18	2154.50	2133.18	USD	-533.00	
10OCT17	B	1	PD10JAN18	2152.00	2133.18	USD	-470.50	
10OCT17	B	1	PD10JAN18	2162.50	2133.18	USD	-733.00	
13OCT17	B	10	PD10JAN18	2133.18	2133.18	USD	0.00	
	NET	-4 AVG	BGHT 2146.60		SOLD 2163.22		12,562.00	0.00

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	<u>LME ALUMINIUM 25 TONNES. THIS IS AN</u>							
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11OCT17	S	2	PD11JAN18	2156.00	2133.34	USD	1,133.00	
11OCT17	S	6	PD11JAN18	2157.00	2133.34	USD	3,549.00	
11OCT17	S	5	PD11JAN18	2156.50	2133.34	USD	2,895.00	
11OCT17	S	1	PD11JAN18	2151.50	2133.34	USD	454.00	
11OCT17	S	2	PD11JAN18	2151.00	2133.34	USD	883.00	
11OCT17	S	2	PD11JAN18	2150.50	2133.34	USD	858.00	
11OCT17	S	2	PD11JAN18	2159.00	2133.34	USD	1,283.00	
11OCT17	B	1	PD11JAN18	2141.50	2133.34	USD	-204.00	
11OCT17	S	1	PD11JAN18	2138.50	2133.34	USD	129.00	
11OCT17	S	1	PD11JAN18	2141.50	2133.34	USD	204.00	
11OCT17	B	1	PD11JAN18	2143.50	2133.34	USD	-254.00	
11OCT17	S	1	PD11JAN18	2151.00	2133.34	USD	441.50	
11OCT17	B	1	PD11JAN18	2147.50	2133.34	USD	-354.00	
11OCT17	S	1	PD11JAN18	2149.50	2133.34	USD	404.00	
11OCT17	S	1	PD11JAN18	2147.00	2133.34	USD	341.50	
11OCT17	S	1	PD11JAN18	2143.50	2133.34	USD	254.00	
11OCT17	B	1	PD11JAN18	2146.00	2133.34	USD	-316.50	
11OCT17	B	1	PD11JAN18	2156.50	2133.34	USD	-579.00	
11OCT17	B	1	PD11JAN18	2151.00	2133.34	USD	-441.50	
11OCT17	B	1	PD11JAN18	2150.50	2133.34	USD	-429.00	
11OCT17	B	3	PD11JAN18	2150.00	2133.34	USD	-1,249.50	
11OCT17	B	1	PD11JAN18	2148.50	2133.34	USD	-379.00	
11OCT17	B	1	PD11JAN18	2148.00	2133.34	USD	-366.50	
11OCT17	B	1	PD11JAN18	2144.50	2133.34	USD	-279.00	
11OCT17	B	1	PD11JAN18	2133.00	2133.34	USD	8.50	
11OCT17	B	2	PD11JAN18	2133.50	2133.34	USD	-8.00	
11OCT17	B	1	PD11JAN18	2135.00	2133.34	USD	-41.50	
11OCT17	B	1	PD11JAN18	2134.50	2133.34	USD	-29.00	
11OCT17	B	7	PD11JAN18	2132.00	2133.34	USD	234.50	
	NET	-1	AVG BGHT 2140.84	SOLD 2153.08			8,141.50	0.00
12OCT17	B	1	PD12JAN18	2137.50	2133.50	USD	-100.00	
12OCT17	B	6	PD12JAN18	2138.00	2133.50	USD	-675.00	
12OCT17	B	3	PD12JAN18	2138.50	2133.50	USD	-375.00	
12OCT17	B	1	PD12JAN18	2139.50	2133.50	USD	-150.00	
12OCT17	S	3	PD12JAN18	2137.50	2133.50	USD	300.00	
12OCT17	B	1	PD12JAN18	2141.00	2133.50	USD	-187.50	
12OCT17	B	1	PD12JAN18	2139.00	2133.50	USD	-137.50	
12OCT17	B	1	PD12JAN18	2141.00	2133.50	USD	-187.50	
12OCT17	B	1	PD12JAN18	2136.50	2133.50	USD	-75.00	
12OCT17	B	1	PD12JAN18	2145.00	2133.50	USD	-287.50	
12OCT17	B	7	PD12JAN18	2137.00	2133.50	USD	-612.50	
12OCT17	B	7	PD12JAN18	2144.00	2133.50	USD	-1,837.50	
12OCT17	B	8	PD12JAN18	2144.50	2133.50	USD	-2,200.00	
12OCT17	B	5	PD12JAN18	2148.50	2133.50	USD	-1,875.00	
13OCT17	B	1	PD12JAN18	2147.50	2133.50	USD	-350.00	
13OCT17	S	1	PD12JAN18	2151.00	2133.50	USD	437.50	
13OCT17	B	2	PD12JAN18	2150.00	2133.50	USD	-825.00	
13OCT17	B	1	PD12JAN18	2151.00	2133.50	USD	-437.50	
13OCT17	S	2	PD12JAN18	2155.00	2133.50	USD	1,075.00	
13OCT17	B	1	PD12JAN18	2155.50	2133.50	USD	-550.00	
13OCT17	B	1	PD12JAN18	2136.50	2133.50	USD	-75.00	
13OCT17	B	10	PD12JAN18	2128.00	2133.50	USD	1,375.00	
13OCT17	B	1	PD12JAN18	2134.00	2133.50	USD	-12.50	
13OCT17	B	1	PD12JAN18	2133.50	2133.50	USD	0.00	
13OCT17	B	1	PD12JAN18	2140.00	2133.50	USD	-162.50	
13OCT17	B	5	PD12JAN18	2138.00	2133.50	USD	-562.50	
13OCT17	B	5	PD12JAN18	2137.50	2133.50	USD	-500.00	

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13OCT17	B 1 PD12JAN18	2140.00	2133.50	USD	-162.50	
13OCT17	S 14 PD12JAN18	2133.30	2133.50	USD	-70.00	
	NET +53 AVG BGHT 2139.51 SOLD 2136.99				-9,220.00	0.00
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>					
13OCT17	S 8 PD17NOV17	6863.47	6863.47	USD	0.00	
	NET -8 AVG SOLD 6863.47				0.00	0.00
13OCT17	S 2 PD21NOV17	6865.33	6865.33	USD	0.00	
	NET -2 AVG SOLD 6865.33				0.00	0.00
13OCT17	S 2 PD22NOV17	6865.80	6865.80	USD	0.00	
	NET -2 AVG SOLD 6865.80				0.00	0.00
13OCT17	B 12 PD24NOV17	6866.93	6866.73	USD	-60.00	
	NET +12 AVG BGHT 6866.93				-60.00	0.00
13OCT17	B 2 PD08DEC17	6872.83	6872.83	USD	0.00	
	NET +2 AVG BGHT 6872.83				0.00	0.00
13OCT17	S 2 PD11DEC17	6873.88	6874.08	USD	-10.00	
	NET -2 AVG SOLD 6873.88				-10.00	0.00
12OCT17	S 4 PD12DEC17	6880.13	6874.50	USD	563.00	
13OCT17	S 4 PD12DEC17	6874.50	6874.50	USD	0.00	
	NET -8 AVG SOLD 6877.32				563.00	0.00
13OCT17	S 2 PD13DEC17	6874.92	6874.92	USD	0.00	
	NET -2 AVG SOLD 6874.92				0.00	0.00
13OCT17	S 1 PD15DEC17	6875.75	6875.75	USD	0.00	
	NET -1 AVG SOLD 6875.75				0.00	0.00
12OCT17	B 3 PD18DEC17	6882.70	6877.00	USD	-427.50	
13OCT17	B 1 PD18DEC17	6877.20	6877.00	USD	-5.00	
	NET +4 AVG BGHT 6881.33				-432.50	0.00
19SEP17	B 1 PD19DEC17	6542.00	6877.00	USD	8,375.00	
26SEP17	S 1 PD19DEC17	6409.93	6877.00	USD	-11,676.75	
13OCT17	B 1 PD19DEC17	6877.20	6877.00	USD	-5.00	
	NET +1 AVG BGHT 6709.60 SOLD 6409.93				-3,306.75	0.00
21SEP17	S 1 PD21DEC17	6443.00	6876.00	USD	-10,825.00	
21SEP17	S 1 PD21DEC17	6426.50	6876.00	USD	-11,237.50	
21SEP17	B 1 PD21DEC17	6445.50	6876.00	USD	10,762.50	
21SEP17	S 1 PD21DEC17	6427.00	6876.00	USD	-11,225.00	
26SEP17	B 1 PD21DEC17	6409.20	6876.00	USD	11,670.00	
13OCT17	B 1 PD21DEC17	6876.20	6876.00	USD	-5.00	
	NET 0 AVG BGHT 6576.97 SOLD 6432.17				-10,860.00	0.00
22SEP17	S 1 PD22DEC17	6426.50	6875.00	USD	-11,212.50	
22SEP17	B 1 PD22DEC17	6429.50	6875.00	USD	11,137.50	
22SEP17	B 2 PD22DEC17	6441.00	6875.00	USD	21,700.00	
22SEP17	B 1 PD22DEC17	6442.50	6875.00	USD	10,812.50	
22SEP17	B 1 PD22DEC17	6431.00	6875.00	USD	11,100.00	
22SEP17	S 1 PD22DEC17	6407.00	6875.00	USD	-11,700.00	
22SEP17	S 1 PD22DEC17	6400.50	6875.00	USD	-11,862.50	
22SEP17	S 1 PD22DEC17	6400.00	6875.00	USD	-11,875.00	
22SEP17	S 2 PD22DEC17	6387.00	6875.00	USD	-24,400.00	
22SEP17	B 1 PD22DEC17	6452.50	6875.00	USD	10,562.50	
22SEP17	S 1 PD22DEC17	6462.00	6875.00	USD	-10,325.00	
22SEP17	B 2 PD22DEC17	6478.50	6875.00	USD	19,825.00	
22SEP17	S 2 PD22DEC17	6477.00	6875.00	USD	-19,900.00	
	NET -1 AVG BGHT 6449.31 SOLD 6424.89				-16,137.50	0.00
25SEP17	S 2 PD27DEC17	6473.00	6876.67	USD	-20,183.50	

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25SEP17	S	3	PD27DEC17	6457.00	6876.67	USD	-31,475.25	
26SEP17	S	1	PD27DEC17	6478.50	6876.67	USD	-9,954.25	
26SEP17	S	1	PD27DEC17	6479.00	6876.67	USD	-9,941.75	
26SEP17	B	2	PD27DEC17	6499.50	6876.67	USD	18,858.50	
26SEP17	B	1	PD27DEC17	6506.50	6876.67	USD	9,254.25	
26SEP17	B	1	PD27DEC17	6482.50	6876.67	USD	9,854.25	
26SEP17	B	1	PD27DEC17	6460.00	6876.67	USD	10,416.75	
26SEP17	S	1	PD27DEC17	6458.00	6876.67	USD	-10,466.75	
26SEP17	S	1	PD27DEC17	6456.00	6876.67	USD	-10,516.75	
26SEP17	B	3	PD27DEC17	6437.50	6876.67	USD	32,937.75	
26SEP17	B	1	PD27DEC17	6442.50	6876.67	USD	10,854.25	
26SEP17	B	1	PD27DEC17	6439.50	6876.67	USD	10,929.25	
26SEP17	B	1	PD27DEC17	6439.00	6876.67	USD	10,941.75	
26SEP17	B	1	PD27DEC17	6435.00	6876.67	USD	11,041.75	
26SEP17	B	1	PD27DEC17	6433.50	6876.67	USD	11,079.25	
26SEP17	B	2	PD27DEC17	6434.50	6876.67	USD	22,108.50	
26SEP17	B	3	PD27DEC17	6427.00	6876.67	USD	33,725.25	
26SEP17	B	1	PD27DEC17	6425.00	6876.67	USD	11,291.75	
26SEP17	B	2	PD27DEC17	6424.00	6876.67	USD	22,633.50	
26SEP17	B	1	PD27DEC17	6422.50	6876.67	USD	11,354.25	
26SEP17	B	1	PD27DEC17	6415.00	6876.67	USD	11,541.75	
26SEP17	B	1	PD27DEC17	6441.50	6876.67	USD	10,879.25	
26SEP17	B	1	PD27DEC17	6417.50	6876.67	USD	11,479.25	
26SEP17	B	1	PD27DEC17	6418.50	6876.67	USD	11,454.25	
26SEP17	S	1	PD27DEC17	6411.50	6876.67	USD	-11,629.25	
26SEP17	S	2	PD27DEC17	6412.50	6876.67	USD	-23,208.50	
26SEP17	S	1	PD27DEC17	6417.00	6876.67	USD	-11,491.75	
26SEP17	S	1	PD27DEC17	6416.50	6876.67	USD	-11,504.25	
27SEP17	S	1	PD27DEC17	6426.00	6876.67	USD	-11,266.75	
27SEP17	S	2	PD27DEC17	6440.50	6876.67	USD	-21,808.50	
27SEP17	B	1	PD27DEC17	6463.50	6876.67	USD	10,329.25	
27SEP17	B	1	PD27DEC17	6470.50	6876.67	USD	10,154.25	
27SEP17	B	2	PD27DEC17	6464.50	6876.67	USD	20,608.50	
27SEP17	S	2	PD27DEC17	6456.00	6876.67	USD	-21,033.50	
27SEP17	S	1	PD27DEC17	6454.50	6876.67	USD	-10,554.25	
27SEP17	B	2	PD27DEC17	6456.00	6876.67	USD	21,033.50	
27SEP17	S	1	PD27DEC17	6452.50	6876.67	USD	-10,604.25	
27SEP17	S	1	PD27DEC17	6448.00	6876.67	USD	-10,716.75	
27SEP17	S	1	PD27DEC17	6445.00	6876.67	USD	-10,791.75	
27SEP17	S	1	PD27DEC17	6447.50	6876.67	USD	-10,729.25	
27SEP17	S	1	PD27DEC17	6444.50	6876.67	USD	-10,804.25	
27SEP17	S	1	PD27DEC17	6436.00	6876.67	USD	-11,016.75	
27SEP17	S	4	PD27DEC17	6437.00	6876.67	USD	-43,967.00	
27SEP17	S	3	PD27DEC17	6427.50	6876.67	USD	-33,687.75	
27SEP17	S	1	PD27DEC17	6433.50	6876.67	USD	-11,079.25	
27SEP17	S	2	PD27DEC17	6432.50	6876.67	USD	-22,208.50	
27SEP17	S	2	PD27DEC17	6430.50	6876.67	USD	-22,308.50	
27SEP17	S	1	PD27DEC17	6415.00	6876.67	USD	-11,541.75	
27SEP17	S	2	PD27DEC17	6425.50	6876.67	USD	-22,558.50	
12OCT17	B	1	PD27DEC17	6882.37	6876.67	USD	-142.50	
	NET	-8 AVG BGHT 6458.95	SOLD 6440.52				-102,430.75	0.00
28SEP17	B	1	PD28DEC17	6457.50	6877.00	USD	10,487.50	
28SEP17	B	1	PD28DEC17	6425.00	6877.00	USD	11,300.00	
28SEP17	S	1	PD28DEC17	6411.50	6877.00	USD	-11,637.50	
28SEP17	S	1	PD28DEC17	6400.50	6877.00	USD	-11,912.50	
28SEP17	S	2	PD28DEC17	6424.50	6877.00	USD	-22,625.00	
28SEP17	B	2	PD28DEC17	6424.50	6877.00	USD	22,625.00	

OPEN POSITION STATEMENT



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SAP094AY

13th October 2017

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London W1J 7NJ UK
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backoffice@sapiencap.com

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
28SEP17	S	1	PD28DEC17	6408.00	6877.00	USD	-11,725.00	
28SEP17	S	2	PD28DEC17	6408.50	6877.00	USD	-23,425.00	
28SEP17	S	2	PD28DEC17	6413.00	6877.00	USD	-23,200.00	
28SEP17	S	2	PD28DEC17	6427.00	6877.00	USD	-22,500.00	
28SEP17	B	1	PD28DEC17	6429.50	6877.00	USD	11,187.50	
28SEP17	B	1	PD28DEC17	6432.50	6877.00	USD	11,112.50	
28SEP17	B	1	PD28DEC17	6433.00	6877.00	USD	11,100.00	
28SEP17	B	1	PD28DEC17	6427.50	6877.00	USD	11,237.50	
28SEP17	B	1	PD28DEC17	6440.00	6877.00	USD	10,925.00	
28SEP17	B	1	PD28DEC17	6438.00	6877.00	USD	10,975.00	
28SEP17	B	1	PD28DEC17	6436.00	6877.00	USD	11,025.00	
28SEP17	B	1	PD28DEC17	6434.00	6877.00	USD	11,075.00	
28SEP17	B	1	PD28DEC17	6467.00	6877.00	USD	10,250.00	
28SEP17	B	1	PD28DEC17	6475.50	6877.00	USD	10,037.50	
28SEP17	B	1	PD28DEC17	6478.50	6877.00	USD	9,962.50	
28SEP17	B	1	PD28DEC17	6479.00	6877.00	USD	9,950.00	
28SEP17	B	1	PD28DEC17	6477.50	6877.00	USD	9,987.50	
28SEP17	B	2	PD28DEC17	6470.00	6877.00	USD	20,350.00	
28SEP17	B	1	PD28DEC17	6469.00	6877.00	USD	10,200.00	
28SEP17	B	1	PD28DEC17	6461.50	6877.00	USD	10,387.50	
28SEP17	B	2	PD28DEC17	6463.50	6877.00	USD	20,675.00	
28SEP17	B	2	PD28DEC17	6465.50	6877.00	USD	20,575.00	
28SEP17	B	1	PD28DEC17	6486.00	6877.00	USD	9,775.00	
28SEP17	B	1	PD28DEC17	6489.00	6877.00	USD	9,700.00	
28SEP17	B	2	PD28DEC17	6489.50	6877.00	USD	19,375.00	
28SEP17	B	1	PD28DEC17	6515.00	6877.00	USD	9,050.00	
28SEP17	B	4	PD28DEC17	6514.00	6877.00	USD	36,300.00	
28SEP17	B	1	PD28DEC17	6522.50	6877.00	USD	8,862.50	
28SEP17	S	1	PD28DEC17	6514.50	6877.00	USD	-9,062.50	
28SEP17	S	1	PD28DEC17	6515.00	6877.00	USD	-9,050.00	
28SEP17	S	1	PD28DEC17	6534.00	6877.00	USD	-8,575.00	
28SEP17	S	1	PD28DEC17	6542.00	6877.00	USD	-8,375.00	
28SEP17	S	1	PD28DEC17	6565.00	6877.00	USD	-7,800.00	
28SEP17	S	1	PD28DEC17	6572.00	6877.00	USD	-7,625.00	
28SEP17	S	1	PD28DEC17	6554.50	6877.00	USD	-8,062.50	
28SEP17	S	2	PD28DEC17	6557.00	6877.00	USD	-16,000.00	
28SEP17	S	1	PD28DEC17	6558.00	6877.00	USD	-7,975.00	
28SEP17	S	1	PD28DEC17	6556.00	6877.00	USD	-8,025.00	
28SEP17	S	1	PD28DEC17	6557.50	6877.00	USD	-7,987.50	
28SEP17	B	1	PD28DEC17	6557.50	6877.00	USD	7,987.50	
28SEP17	B	1	PD28DEC17	6555.00	6877.00	USD	8,050.00	
12OCT17	S	2	PD28DEC17	6882.50	6877.00	USD	275.00	
13OCT17	B	2	PD28DEC17	6877.20	6877.00	USD	-10.00	
	NET	+14 AVG BGHT 6492.88	SOLD 6516.54				149,227.50	0.00
29SEP17	S	2	PD29DEC17	6535.50	6877.33	USD	-17,091.50	
29SEP17	S	1	PD29DEC17	6540.00	6877.33	USD	-8,433.25	
29SEP17	S	2	PD29DEC17	6540.50	6877.33	USD	-16,841.50	
29SEP17	S	3	PD29DEC17	6539.50	6877.33	USD	-25,337.25	
29SEP17	S	2	PD29DEC17	6534.50	6877.33	USD	-17,141.50	
29SEP17	S	2	PD29DEC17	6531.00	6877.33	USD	-17,316.50	
29SEP17	S	2	PD29DEC17	6528.50	6877.33	USD	-17,441.50	
29SEP17	S	1	PD29DEC17	6538.00	6877.33	USD	-8,483.25	
29SEP17	S	3	PD29DEC17	6535.00	6877.33	USD	-25,674.75	
29SEP17	S	3	PD29DEC17	6532.00	6877.33	USD	-25,899.75	
29SEP17	S	1	PD29DEC17	6525.00	6877.33	USD	-8,808.25	
29SEP17	S	2	PD29DEC17	6525.50	6877.33	USD	-17,591.50	
29SEP17	S	1	PD29DEC17	6527.00	6877.33	USD	-8,758.25	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
29SEP17	B	2	PD29DEC17	6553.50	6877.33	USD	16,191.50	
29SEP17	B	1	PD29DEC17	6553.00	6877.33	USD	8,108.25	
29SEP17	B	2	PD29DEC17	6564.00	6877.33	USD	15,666.50	
29SEP17	B	2	PD29DEC17	6568.00	6877.33	USD	15,466.50	
29SEP17	B	3	PD29DEC17	6567.50	6877.33	USD	23,237.25	
29SEP17	B	1	PD29DEC17	6565.50	6877.33	USD	7,795.75	
29SEP17	B	1	PD29DEC17	6567.00	6877.33	USD	7,758.25	
29SEP17	B	2	PD29DEC17	6569.50	6877.33	USD	15,391.50	
29SEP17	B	1	PD29DEC17	6563.00	6877.33	USD	7,858.25	
29SEP17	B	2	PD29DEC17	6562.50	6877.33	USD	15,741.50	
29SEP17	B	1	PD29DEC17	6562.00	6877.33	USD	7,883.25	
29SEP17	B	1	PD29DEC17	6561.00	6877.33	USD	7,908.25	
29SEP17	B	2	PD29DEC17	6566.50	6877.33	USD	15,541.50	
29SEP17	B	6	PD29DEC17	6570.00	6877.33	USD	46,099.50	
29SEP17	B	1	PD29DEC17	6574.50	6877.33	USD	7,570.75	
29SEP17	B	2	PD29DEC17	6574.00	6877.33	USD	15,166.50	
29SEP17	B	2	PD29DEC17	6573.50	6877.33	USD	15,191.50	
29SEP17	B	3	PD29DEC17	6571.00	6877.33	USD	22,974.75	
29SEP17	B	1	PD29DEC17	6578.50	6877.33	USD	7,470.75	
29SEP17	S	1	PD29DEC17	6578.50	6877.33	USD	-7,470.75	
29SEP17	B	1	PD29DEC17	6578.00	6877.33	USD	7,483.25	
29SEP17	B	1	PD29DEC17	6576.00	6877.33	USD	7,533.25	
29SEP17	B	1	PD29DEC17	6575.00	6877.33	USD	7,558.25	
29SEP17	S	1	PD29DEC17	6566.00	6877.33	USD	-7,783.25	
29SEP17	B	1	PD29DEC17	6561.50	6877.33	USD	7,895.75	
29SEP17	S	1	PD29DEC17	6563.50	6877.33	USD	-7,845.75	
11OCT17	S	12	PD29DEC17	6793.93	6877.33	USD	-25,020.00	
12OCT17	S	2	PD29DEC17	6882.83	6877.33	USD	275.00	
13OCT17	S	4	PD29DEC17	6877.33	6877.33	USD	0.00	
	NET	-6 AVG	BGHT 6567.84	SOLD 6648.93			46,829.00	0.00
09OCT17	B	1	PD09JAN18	6678.00	6881.00	USD	5,075.00	
09OCT17	B	1	PD09JAN18	6680.50	6881.00	USD	5,012.50	
09OCT17	B	2	PD09JAN18	6675.50	6881.00	USD	10,275.00	
09OCT17	S	1	PD09JAN18	6630.00	6881.00	USD	-6,275.00	
09OCT17	B	1	PD09JAN18	6658.50	6881.00	USD	5,562.50	
09OCT17	B	1	PD09JAN18	6677.50	6881.00	USD	5,087.50	
09OCT17	S	1	PD09JAN18	6635.50	6881.00	USD	-6,137.50	
09OCT17	B	1	PD09JAN18	6680.00	6881.00	USD	5,025.00	
09OCT17	S	1	PD09JAN18	6630.50	6881.00	USD	-6,262.50	
09OCT17	S	1	PD09JAN18	6650.00	6881.00	USD	-5,775.00	
09OCT17	S	3	PD09JAN18	6662.00	6881.00	USD	-16,425.00	
09OCT17	B	2	PD09JAN18	6675.00	6881.00	USD	10,300.00	
09OCT17	S	1	PD09JAN18	6636.00	6881.00	USD	-6,125.00	
09OCT17	B	1	PD09JAN18	6678.50	6881.00	USD	5,062.50	
09OCT17	S	1	PD09JAN18	6659.00	6881.00	USD	-5,550.00	
09OCT17	S	1	PD09JAN18	6657.00	6881.00	USD	-5,600.00	
09OCT17	S	2	PD09JAN18	6639.50	6881.00	USD	-12,075.00	
09OCT17	S	3	PD09JAN18	6638.00	6881.00	USD	-18,225.00	
09OCT17	B	2	PD09JAN18	6682.00	6881.00	USD	9,950.00	
09OCT17	S	6	PD09JAN18	6655.00	6881.00	USD	-33,900.00	
09OCT17	B	1	PD09JAN18	6682.50	6881.00	USD	4,962.50	
09OCT17	S	2	PD09JAN18	6638.50	6881.00	USD	-12,125.00	
09OCT17	S	1	PD09JAN18	6678.00	6881.00	USD	-5,075.00	
09OCT17	S	4	PD09JAN18	6660.00	6881.00	USD	-22,100.00	
09OCT17	S	1	PD09JAN18	6656.00	6881.00	USD	-5,625.00	
09OCT17	S	1	PD09JAN18	6645.00	6881.00	USD	-5,900.00	
09OCT17	S	2	PD09JAN18	6677.50	6881.00	USD	-10,175.00	

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09OCT17	S	1	PD09JAN18	6629.50	6881.00	USD	-6,287.50	
09OCT17	S	2	PD09JAN18	6637.00	6881.00	USD	-12,200.00	
09OCT17	S	3	PD09JAN18	6632.00	6881.00	USD	-18,675.00	
09OCT17	S	3	PD09JAN18	6637.50	6881.00	USD	-18,262.50	
09OCT17	S	1	PD09JAN18	6675.50	6881.00	USD	-5,137.50	
09OCT17	S	1	PD09JAN18	6676.50	6881.00	USD	-5,112.50	
09OCT17	S	1	PD09JAN18	6671.00	6881.00	USD	-5,250.00	
09OCT17	S	1	PD09JAN18	6670.50	6881.00	USD	-5,262.50	
09OCT17	S	1	PD09JAN18	6638.00	6881.00	USD	-6,075.00	
09OCT17	S	1	PD09JAN18	6636.00	6881.00	USD	-6,125.00	
09OCT17	B	1	PD09JAN18	6677.00	6881.00	USD	5,100.00	
09OCT17	B	2	PD09JAN18	6662.50	6881.00	USD	10,925.00	
09OCT17	B	3	PD09JAN18	6660.00	6881.00	USD	16,575.00	
09OCT17	B	2	PD09JAN18	6660.50	6881.00	USD	11,025.00	
09OCT17	B	5	PD09JAN18	6659.50	6881.00	USD	27,687.50	
09OCT17	S	9	PD09JAN18	6661.00	6881.00	USD	-49,500.00	
09OCT17	S	2	PD09JAN18	6661.50	6881.00	USD	-10,975.00	
09OCT17	S	1	PD09JAN18	6663.00	6881.00	USD	-5,450.00	
09OCT17	B	1	PD09JAN18	6666.50	6881.00	USD	5,362.50	
09OCT17	B	1	PD09JAN18	6665.50	6881.00	USD	5,387.50	
09OCT17	B	1	PD09JAN18	6669.00	6881.00	USD	5,300.00	
09OCT17	S	1	PD09JAN18	6657.50	6881.00	USD	-5,587.50	
09OCT17	B	1	PD09JAN18	6669.50	6881.00	USD	5,287.50	
11OCT17	B	12	PD09JAN18	6799.27	6881.00	USD	24,519.00	
12OCT17	B	4	PD09JAN18	6886.70	6881.00	USD	-570.00	
13OCT17	B	3	PD09JAN18	6881.00	6881.00	USD	0.00	
13OCT17	B	6	PD09JAN18	6881.20	6881.00	USD	-30.00	
	NET	-5 AVG BGHT 6748.00	SOLD 6652.17				-160,368.50	0.00
10OCT17	B	1	PD10JAN18	6688.00	6881.33	USD	4,833.25	
10OCT17	B	3	PD10JAN18	6693.50	6881.33	USD	14,087.25	
10OCT17	B	1	PD10JAN18	6689.50	6881.33	USD	4,795.75	
10OCT17	B	1	PD10JAN18	6720.00	6881.33	USD	4,033.25	
10OCT17	B	1	PD10JAN18	6723.50	6881.33	USD	3,945.75	
10OCT17	B	1	PD10JAN18	6728.00	6881.33	USD	3,833.25	
10OCT17	S	1	PD10JAN18	6724.50	6881.33	USD	-3,920.75	
10OCT17	S	1	PD10JAN18	6705.00	6881.33	USD	-4,408.25	
10OCT17	S	1	PD10JAN18	6707.00	6881.33	USD	-4,358.25	
10OCT17	S	1	PD10JAN18	6702.00	6881.33	USD	-4,483.25	
10OCT17	S	1	PD10JAN18	6686.50	6881.33	USD	-4,870.75	
10OCT17	B	1	PD10JAN18	6700.00	6881.33	USD	4,533.25	
10OCT17	S	2	PD10JAN18	6690.50	6881.33	USD	-9,541.50	
10OCT17	S	9	PD10JAN18	6691.00	6881.33	USD	-42,824.25	
10OCT17	S	2	PD10JAN18	6689.50	6881.33	USD	-9,591.50	
10OCT17	S	2	PD10JAN18	6689.00	6881.33	USD	-9,616.50	
10OCT17	S	4	PD10JAN18	6687.00	6881.33	USD	-19,433.00	
10OCT17	S	1	PD10JAN18	6687.50	6881.33	USD	-4,845.75	
10OCT17	B	6	PD10JAN18	6695.00	6881.33	USD	27,949.50	
10OCT17	B	1	PD10JAN18	6701.00	6881.33	USD	4,508.25	
10OCT17	B	9	PD10JAN18	6694.00	6881.33	USD	42,149.25	
10OCT17	S	1	PD10JAN18	6716.50	6881.33	USD	-4,120.75	
10OCT17	S	1	PD10JAN18	6723.00	6881.33	USD	-3,958.25	
10OCT17	S	5	PD10JAN18	6722.00	6881.33	USD	-19,916.25	
10OCT17	B	1	PD10JAN18	6736.50	6881.33	USD	3,620.75	
10OCT17	B	1	PD10JAN18	6739.00	6881.33	USD	3,558.25	
10OCT17	B	1	PD10JAN18	6697.00	6881.33	USD	4,608.25	
10OCT17	B	1	PD10JAN18	6740.00	6881.33	USD	3,533.25	
10OCT17	B	5	PD10JAN18	6747.50	6881.33	USD	16,728.75	

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10OCT17	B	1	PD10JAN18	6749.00	6881.33	USD	3,308.25	
10OCT17	B	1	PD10JAN18	6748.50	6881.33	USD	3,320.75	
10OCT17	B	3	PD10JAN18	6748.00	6881.33	USD	9,999.75	
10OCT17	B	2	PD10JAN18	6742.00	6881.33	USD	6,966.50	
10OCT17	B	1	PD10JAN18	6755.00	6881.33	USD	3,158.25	
10OCT17	B	1	PD10JAN18	6756.00	6881.33	USD	3,133.25	
10OCT17	B	1	PD10JAN18	6759.00	6881.33	USD	3,058.25	
10OCT17	B	1	PD10JAN18	6756.50	6881.33	USD	3,120.75	
10OCT17	B	1	PD10JAN18	6759.50	6881.33	USD	3,045.75	
10OCT17	B	1	PD10JAN18	6758.50	6881.33	USD	3,070.75	
10OCT17	B	1	PD10JAN18	6753.50	6881.33	USD	3,195.75	
10OCT17	B	2	PD10JAN18	6752.50	6881.33	USD	6,441.50	
10OCT17	B	2	PD10JAN18	6751.00	6881.33	USD	6,516.50	
10OCT17	B	2	PD10JAN18	6751.50	6881.33	USD	6,491.50	
10OCT17	B	1	PD10JAN18	6753.00	6881.33	USD	3,208.25	
10OCT17	S	1	PD10JAN18	6750.00	6881.33	USD	-3,283.25	
10OCT17	B	2	PD10JAN18	6747.00	6881.33	USD	6,716.50	
10OCT17	B	1	PD10JAN18	6743.00	6881.33	USD	3,458.25	
10OCT17	B	2	PD10JAN18	6743.50	6881.33	USD	6,891.50	
10OCT17	B	2	PD10JAN18	6742.50	6881.33	USD	6,941.50	
10OCT17	B	2	PD10JAN18	6741.50	6881.33	USD	6,991.50	
13OCT17	S	3	PD10JAN18	6881.33	6881.33	USD	0.00	
13OCT17	S	3	PD10JAN18	6881.13	6881.33	USD	-15.00	
	NET	+25 AVG BGHT 6727.73	SOLD 6728.32				96,565.75	0.00
11OCT17	B	1	PD11JAN18	6748.50	6881.67	USD	3,329.25	
11OCT17	B	6	PD11JAN18	6738.00	6881.67	USD	21,550.50	
11OCT17	B	2	PD11JAN18	6739.50	6881.67	USD	7,108.50	
11OCT17	B	1	PD11JAN18	6740.00	6881.67	USD	3,541.75	
11OCT17	S	1	PD11JAN18	6734.50	6881.67	USD	-3,679.25	
11OCT17	S	1	PD11JAN18	6722.50	6881.67	USD	-3,979.25	
11OCT17	S	1	PD11JAN18	6723.50	6881.67	USD	-3,954.25	
11OCT17	S	2	PD11JAN18	6722.00	6881.67	USD	-7,983.50	
11OCT17	S	1	PD11JAN18	6727.00	6881.67	USD	-3,866.75	
11OCT17	B	1	PD11JAN18	6727.00	6881.67	USD	3,866.75	
11OCT17	B	1	PD11JAN18	6726.50	6881.67	USD	3,879.25	
11OCT17	B	1	PD11JAN18	6729.00	6881.67	USD	3,816.75	
11OCT17	S	1	PD11JAN18	6741.50	6881.67	USD	-3,504.25	
11OCT17	S	1	PD11JAN18	6750.00	6881.67	USD	-3,291.75	
11OCT17	S	1	PD11JAN18	6750.50	6881.67	USD	-3,279.25	
11OCT17	S	2	PD11JAN18	6752.50	6881.67	USD	-6,458.50	
11OCT17	S	2	PD11JAN18	6753.00	6881.67	USD	-6,433.50	
11OCT17	S	1	PD11JAN18	6761.00	6881.67	USD	-3,016.75	
11OCT17	S	1	PD11JAN18	6758.50	6881.67	USD	-3,079.25	
11OCT17	S	2	PD11JAN18	6759.00	6881.67	USD	-6,133.50	
11OCT17	S	3	PD11JAN18	6760.00	6881.67	USD	-9,125.25	
11OCT17	B	2	PD11JAN18	6761.00	6881.67	USD	6,033.50	
11OCT17	B	1	PD11JAN18	6772.50	6881.67	USD	2,729.25	
11OCT17	B	1	PD11JAN18	6772.00	6881.67	USD	2,741.75	
11OCT17	B	1	PD11JAN18	6771.00	6881.67	USD	2,766.75	
11OCT17	B	2	PD11JAN18	6770.00	6881.67	USD	5,583.50	
11OCT17	B	1	PD11JAN18	6768.00	6881.67	USD	2,841.75	
11OCT17	B	2	PD11JAN18	6766.50	6881.67	USD	5,758.50	
11OCT17	B	2	PD11JAN18	6769.50	6881.67	USD	5,608.50	
11OCT17	B	4	PD11JAN18	6765.50	6881.67	USD	11,617.00	
11OCT17	B	1	PD11JAN18	6770.50	6881.67	USD	2,779.25	
11OCT17	B	1	PD11JAN18	6765.00	6881.67	USD	2,916.75	
11OCT17	B	6	PD11JAN18	6767.50	6881.67	USD	17,125.50	

OPEN POSITION STATEMENT



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SAP094AY

13th October 2017

Sapien Capital Limited
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backoffice@sapiencap.com

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
11OCT17	B	2	PD11JAN18	6766.00	6881.67	USD	5,783.50	
11OCT17	B	1	PD11JAN18	6769.00	6881.67	USD	2,816.75	
11OCT17	B	2	PD11JAN18	6768.50	6881.67	USD	5,658.50	
11OCT17	B	6	PD11JAN18	6773.50	6881.67	USD	16,225.50	
11OCT17	B	6	PD11JAN18	6771.50	6881.67	USD	16,525.50	
11OCT17	B	2	PD11JAN18	6774.00	6881.67	USD	5,383.50	
11OCT17	B	1	PD11JAN18	6775.00	6881.67	USD	2,666.75	
11OCT17	B	1	PD11JAN18	6784.00	6881.67	USD	2,441.75	
11OCT17	B	2	PD11JAN18	6783.50	6881.67	USD	4,908.50	
11OCT17	B	1	PD11JAN18	6787.00	6881.67	USD	2,366.75	
11OCT17	B	1	PD11JAN18	6788.00	6881.67	USD	2,341.75	
11OCT17	B	1	PD11JAN18	6793.00	6881.67	USD	2,216.75	
11OCT17	B	2	PD11JAN18	6792.00	6881.67	USD	4,483.50	
11OCT17	B	1	PD11JAN18	6791.50	6881.67	USD	2,254.25	
11OCT17	B	1	PD11JAN18	6790.00	6881.67	USD	2,291.75	
11OCT17	B	2	PD11JAN18	6788.50	6881.67	USD	4,658.50	
11OCT17	B	1	PD11JAN18	6785.50	6881.67	USD	2,404.25	
11OCT17	B	2	PD11JAN18	6791.00	6881.67	USD	4,533.50	
11OCT17	B	1	PD11JAN18	6789.50	6881.67	USD	2,304.25	
11OCT17	S	2	PD11JAN18	6764.50	6881.67	USD	-5,858.50	
11OCT17	S	2	PD11JAN18	6764.00	6881.67	USD	-5,883.50	
11OCT17	S	2	PD11JAN18	6763.00	6881.67	USD	-5,933.50	
11OCT17	S	4	PD11JAN18	6763.50	6881.67	USD	-11,817.00	
11OCT17	B	1	PD11JAN18	6778.00	6881.67	USD	2,591.75	
11OCT17	B	1	PD11JAN18	6777.50	6881.67	USD	2,604.25	
11OCT17	B	1	PD11JAN18	6781.50	6881.67	USD	2,504.25	
11OCT17	B	1	PD11JAN18	6781.00	6881.67	USD	2,516.75	
11OCT17	B	1	PD11JAN18	6779.50	6881.67	USD	2,554.25	
11OCT17	B	3	PD11JAN18	6782.50	6881.67	USD	7,437.75	
11OCT17	B	3	PD11JAN18	6783.00	6881.67	USD	7,400.25	
11OCT17	B	8	PD11JAN18	6792.50	6881.67	USD	17,834.00	
11OCT17	B	5	PD11JAN18	6799.00	6881.67	USD	10,333.75	
11OCT17	B	3	PD11JAN18	6797.50	6881.67	USD	6,312.75	
11OCT17	B	7	PD11JAN18	6800.00	6881.67	USD	14,292.25	
11OCT17	B	1	PD11JAN18	6800.50	6881.67	USD	2,029.25	
11OCT17	B	3	PD11JAN18	6802.00	6881.67	USD	5,975.25	
11OCT17	B	2	PD11JAN18	6802.50	6881.67	USD	3,958.50	
11OCT17	B	1	PD11JAN18	6803.50	6881.67	USD	1,954.25	
11OCT17	B	4	PD11JAN18	6804.50	6881.67	USD	7,717.00	
11OCT17	B	1	PD11JAN18	6806.50	6881.67	USD	1,879.25	
11OCT17	B	1	PD11JAN18	6807.50	6881.67	USD	1,854.25	
11OCT17	B	2	PD11JAN18	6805.00	6881.67	USD	3,833.50	
11OCT17	B	2	PD11JAN18	6812.00	6881.67	USD	3,483.50	
11OCT17	B	1	PD11JAN18	6804.00	6881.67	USD	1,941.75	
11OCT17	B	6	PD11JAN18	6795.00	6881.67	USD	13,000.50	
11OCT17	B	4	PD11JAN18	6794.50	6881.67	USD	8,717.00	
11OCT17	B	3	PD11JAN18	6794.00	6881.67	USD	6,575.25	
11OCT17	B	4	PD11JAN18	6796.00	6881.67	USD	8,567.00	
11OCT17	B	1	PD11JAN18	6796.50	6881.67	USD	2,129.25	
11OCT17	B	2	PD11JAN18	6798.00	6881.67	USD	4,183.50	
11OCT17	B	5	PD11JAN18	6797.00	6881.67	USD	10,583.75	
11OCT17	B	3	PD11JAN18	6801.00	6881.67	USD	6,050.25	
11OCT17	B	4	PD11JAN18	6801.50	6881.67	USD	8,017.00	
11OCT17	B	2	PD11JAN18	6818.00	6881.67	USD	3,183.50	
11OCT17	B	1	PD11JAN18	6817.50	6881.67	USD	1,604.25	
11OCT17	B	1	PD11JAN18	6819.50	6881.67	USD	1,554.25	
11OCT17	B	1	PD11JAN18	6822.00	6881.67	USD	1,491.75	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
11OCT17	S	2	PD11JAN18	6767.50	6881.67	USD	-5,708.50	
11OCT17	S	1	PD11JAN18	6785.50	6881.67	USD	-2,404.25	
11OCT17	S	1	PD11JAN18	6779.00	6881.67	USD	-2,566.75	
11OCT17	S	2	PD11JAN18	6779.50	6881.67	USD	-5,108.50	
11OCT17	S	3	PD11JAN18	6778.00	6881.67	USD	-7,775.25	
11OCT17	S	1	PD11JAN18	6776.50	6881.67	USD	-2,629.25	
11OCT17	S	4	PD11JAN18	6776.00	6881.67	USD	-10,567.00	
11OCT17	S	1	PD11JAN18	6773.50	6881.67	USD	-2,704.25	
11OCT17	S	1	PD11JAN18	6775.00	6881.67	USD	-2,666.75	
11OCT17	S	2	PD11JAN18	6774.50	6881.67	USD	-5,358.50	
11OCT17	S	1	PD11JAN18	6774.00	6881.67	USD	-2,691.75	
11OCT17	S	3	PD11JAN18	6773.00	6881.67	USD	-8,150.25	
11OCT17	S	1	PD11JAN18	6780.00	6881.67	USD	-2,541.75	
11OCT17	S	4	PD11JAN18	6779.00	6881.67	USD	-10,267.00	
11OCT17	S	1	PD11JAN18	6778.50	6881.67	USD	-2,579.25	
11OCT17	S	1	PD11JAN18	6807.00	6881.67	USD	-1,866.75	
11OCT17	S	1	PD11JAN18	6807.50	6881.67	USD	-1,854.25	
11OCT17	S	2	PD11JAN18	6810.50	6881.67	USD	-3,558.50	
11OCT17	S	1	PD11JAN18	6814.50	6881.67	USD	-1,679.25	
11OCT17	S	1	PD11JAN18	6818.00	6881.67	USD	-1,591.75	
11OCT17	S	1	PD11JAN18	6815.50	6881.67	USD	-1,654.25	
11OCT17	S	3	PD11JAN18	6817.00	6881.67	USD	-4,850.25	
11OCT17	B	1	PD11JAN18	6801.00	6881.67	USD	2,016.75	
11OCT17	B	1	PD11JAN18	6802.00	6881.67	USD	1,991.75	
11OCT17	S	1	PD11JAN18	6818.50	6881.67	USD	-1,579.25	
13OCT17	S	24	PD11JAN18	6881.67	6881.67	USD	0.00	
	NET	+71 AVG BGHT 6784.47	SOLD 6800.11				208,903.75	0.00
12OCT17	B	1	PD12JAN18	6823.00	6882.00	USD	1,475.00	
12OCT17	S	1	PD12JAN18	6822.50	6882.00	USD	-1,487.50	
12OCT17	S	1	PD12JAN18	6816.00	6882.00	USD	-1,650.00	
12OCT17	S	1	PD12JAN18	6816.50	6882.00	USD	-1,637.50	
12OCT17	S	2	PD12JAN18	6818.00	6882.00	USD	-3,200.00	
12OCT17	S	1	PD12JAN18	6818.50	6882.00	USD	-1,587.50	
12OCT17	S	1	PD12JAN18	6814.00	6882.00	USD	-1,700.00	
12OCT17	S	3	PD12JAN18	6813.50	6882.00	USD	-5,137.50	
12OCT17	S	3	PD12JAN18	6814.50	6882.00	USD	-5,062.50	
12OCT17	S	2	PD12JAN18	6817.00	6882.00	USD	-3,250.00	
12OCT17	S	2	PD12JAN18	6812.50	6882.00	USD	-3,475.00	
12OCT17	S	1	PD12JAN18	6813.00	6882.00	USD	-1,725.00	
12OCT17	S	1	PD12JAN18	6810.50	6882.00	USD	-1,787.50	
12OCT17	S	2	PD12JAN18	6805.00	6882.00	USD	-3,850.00	
12OCT17	S	1	PD12JAN18	6808.00	6882.00	USD	-1,850.00	
12OCT17	S	1	PD12JAN18	6807.00	6882.00	USD	-1,875.00	
12OCT17	S	1	PD12JAN18	6805.50	6882.00	USD	-1,912.50	
12OCT17	S	1	PD12JAN18	6797.50	6882.00	USD	-2,112.50	
12OCT17	S	1	PD12JAN18	6799.00	6882.00	USD	-2,075.00	
12OCT17	S	1	PD12JAN18	6796.50	6882.00	USD	-2,137.50	
12OCT17	S	2	PD12JAN18	6798.50	6882.00	USD	-4,175.00	
12OCT17	S	2	PD12JAN18	6801.50	6882.00	USD	-4,025.00	
12OCT17	S	4	PD12JAN18	6793.50	6882.00	USD	-8,850.00	
12OCT17	S	1	PD12JAN18	6793.00	6882.00	USD	-2,225.00	
12OCT17	S	1	PD12JAN18	6791.00	6882.00	USD	-2,275.00	
12OCT17	S	1	PD12JAN18	6792.50	6882.00	USD	-2,237.50	
12OCT17	B	2	PD12JAN18	6842.00	6882.00	USD	2,000.00	
12OCT17	B	1	PD12JAN18	6840.00	6882.00	USD	1,050.00	
12OCT17	S	1	PD12JAN18	6859.50	6882.00	USD	-562.50	
12OCT17	S	1	PD12JAN18	6861.00	6882.00	USD	-525.00	

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12OCT17	S	1	PD12JAN18	6862.00	6882.00	USD	-500.00	
12OCT17	B	1	PD12JAN18	6862.50	6882.00	USD	487.50	
12OCT17	S	1	PD12JAN18	6858.50	6882.00	USD	-587.50	
12OCT17	S	1	PD12JAN18	6855.50	6882.00	USD	-662.50	
12OCT17	S	1	PD12JAN18	6821.50	6882.00	USD	-1,512.50	
12OCT17	S	1	PD12JAN18	6819.00	6882.00	USD	-1,575.00	
12OCT17	S	2	PD12JAN18	6817.50	6882.00	USD	-3,225.00	
12OCT17	S	1	PD12JAN18	6816.50	6882.00	USD	-1,637.50	
12OCT17	B	1	PD12JAN18	6856.50	6882.00	USD	637.50	
12OCT17	B	1	PD12JAN18	6855.00	6882.00	USD	675.00	
12OCT17	B	1	PD12JAN18	6854.00	6882.00	USD	700.00	
12OCT17	B	1	PD12JAN18	6855.50	6882.00	USD	662.50	
12OCT17	S	1	PD12JAN18	6866.00	6882.00	USD	-400.00	
12OCT17	S	3	PD12JAN18	6869.00	6882.00	USD	-975.00	
12OCT17	S	1	PD12JAN18	6876.50	6882.00	USD	-137.50	
12OCT17	S	2	PD12JAN18	6876.00	6882.00	USD	-300.00	
12OCT17	S	5	PD12JAN18	6879.00	6882.00	USD	-375.00	
12OCT17	S	3	PD12JAN18	6880.00	6882.00	USD	-150.00	
12OCT17	S	2	PD12JAN18	6881.50	6882.00	USD	-25.00	
12OCT17	S	4	PD12JAN18	6881.00	6882.00	USD	-100.00	
12OCT17	S	2	PD12JAN18	6887.50	6882.00	USD	275.00	
12OCT17	S	1	PD12JAN18	6889.00	6882.00	USD	175.00	
12OCT17	S	1	PD12JAN18	6887.00	6882.00	USD	125.00	
12OCT17	S	1	PD12JAN18	6884.00	6882.00	USD	50.00	
12OCT17	S	1	PD12JAN18	6890.00	6882.00	USD	200.00	
12OCT17	S	1	PD12JAN18	6898.50	6882.00	USD	412.50	
12OCT17	S	1	PD12JAN18	6898.00	6882.00	USD	400.00	
12OCT17	S	2	PD12JAN18	6896.50	6882.00	USD	725.00	
12OCT17	S	1	PD12JAN18	6893.50	6882.00	USD	287.50	
12OCT17	B	1	PD12JAN18	6879.00	6882.00	USD	75.00	
12OCT17	B	1	PD12JAN18	6871.00	6882.00	USD	275.00	
12OCT17	B	1	PD12JAN18	6870.50	6882.00	USD	287.50	
12OCT17	S	1	PD12JAN18	6867.00	6882.00	USD	-375.00	
13OCT17	B	1	PD12JAN18	6885.50	6882.00	USD	-87.50	
13OCT17	S	1	PD12JAN18	6868.50	6882.00	USD	-337.50	
13OCT17	S	1	PD12JAN18	6859.50	6882.00	USD	-562.50	
13OCT17	S	1	PD12JAN18	6860.50	6882.00	USD	-537.50	
13OCT17	S	1	PD12JAN18	6862.00	6882.00	USD	-500.00	
13OCT17	S	1	PD12JAN18	6864.50	6882.00	USD	-437.50	
13OCT17	S	1	PD12JAN18	6866.00	6882.00	USD	-400.00	
13OCT17	S	1	PD12JAN18	6857.00	6882.00	USD	-625.00	
13OCT17	S	1	PD12JAN18	6853.00	6882.00	USD	-725.00	
13OCT17	S	2	PD12JAN18	6867.50	6882.00	USD	-725.00	
13OCT17	S	5	PD12JAN18	6882.50	6882.00	USD	62.50	
13OCT17	S	1	PD12JAN18	6875.00	6882.00	USD	-175.00	
13OCT17	S	2	PD12JAN18	6873.00	6882.00	USD	-450.00	
13OCT17	S	1	PD12JAN18	6874.50	6882.00	USD	-187.50	
13OCT17	S	2	PD12JAN18	6878.50	6882.00	USD	-175.00	
13OCT17	S	2	PD12JAN18	6873.50	6882.00	USD	-425.00	
13OCT17	S	4	PD12JAN18	6871.00	6882.00	USD	-1,100.00	
13OCT17	S	2	PD12JAN18	6869.00	6882.00	USD	-650.00	
13OCT17	S	4	PD12JAN18	6866.50	6882.00	USD	-1,550.00	
13OCT17	S	1	PD12JAN18	6880.00	6882.00	USD	-50.00	
13OCT17	S	6	PD12JAN18	6879.50	6882.00	USD	-375.00	
13OCT17	S	1	PD12JAN18	6883.00	6882.00	USD	25.00	
13OCT17	S	6	PD12JAN18	6881.50	6882.00	USD	-75.00	
13OCT17	S	5	PD12JAN18	6882.00	6882.00	USD	0.00	

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13OCT17	S 4 PD12JAN18	6883.50	6882.00	USD	150.00	
13OCT17	S 1 PD12JAN18	6886.00	6882.00	USD	100.00	
13OCT17	S 3 PD12JAN18	6885.50	6882.00	USD	262.50	
13OCT17	S 1 PD12JAN18	6885.00	6882.00	USD	75.00	
13OCT17	S 2 PD12JAN18	6886.50	6882.00	USD	225.00	
13OCT17	S 1 PD12JAN18	6888.00	6882.00	USD	150.00	
13OCT17	S 2 PD12JAN18	6904.00	6882.00	USD	1,100.00	
13OCT17	B 27 PD12JAN18	6882.20	6882.00	USD	-135.00	
	NET -107 AVG BGHT 6873.90 SOLD 6857.46				-82,085.00	0.00
	<u>CMX COPPER 25,000 CMX</u>					
27SEP17	S 1 DEC17	292.800	313.350	USD	-5,137.50	
27SEP17	S 2 DEC17	292.900	313.350	USD	-10,225.00	
27SEP17	S 2 DEC17	292.850	313.350	USD	-10,250.00	
05OCT17	S 1 DEC17	302.450	313.350	USD	-2,725.00	
09OCT17	S 8 DEC17	302.550	313.350	USD	-21,600.00	
09OCT17	S 1 DEC17	302.800	313.350	USD	-2,637.50	
12OCT17	S 1 DEC17	309.650	313.350	USD	-925.00	
12OCT17	S 1 DEC17	309.450	313.350	USD	-975.00	
12OCT17	S 1 DEC17	309.200	313.350	USD	-1,037.50	
12OCT17	S 1 DEC17	308.900	313.350	USD	-1,112.50	
12OCT17	S 2 DEC17	308.700	313.350	USD	-2,325.00	
12OCT17	S 2 DEC17	313.250	313.350	USD	-50.00	
12OCT17	S 1 DEC17	312.800	313.350	USD	-137.50	
13OCT17	S 2 DEC17	311.650	313.350	USD	-850.00	
13OCT17	S 1 DEC17	313.300	313.350	USD	-12.50	
	NET -27 AVG SOLD 304.461				-60,000.00	0.00
	<u>CMX GOLD 100 CMX</u>					
13OCT17	S 1 DEC17	1300.1	1304.6	USD	-450.00	
13OCT17	S 1 DEC17	1300.2	1304.6	USD	-440.00	
13OCT17	S 1 DEC17	1300.3	1304.6	USD	-430.00	
13OCT17	S 1 DEC17	1300.5	1304.6	USD	-410.00	
13OCT17	S 1 DEC17	1299.9	1304.6	USD	-470.00	
13OCT17	S 1 DEC17	1299.6	1304.6	USD	-500.00	
13OCT17	S 1 DEC17	1302.3	1304.6	USD	-230.00	
13OCT17	S 2 DEC17	1302.7	1304.6	USD	-380.00	
13OCT17	S 2 DEC17	1302.5	1304.6	USD	-420.00	
13OCT17	S 4 DEC17	1302.8	1304.6	USD	-720.00	
13OCT17	S 1 DEC17	1303.8	1304.6	USD	-80.00	
13OCT17	S 1 DEC17	1304.2	1304.6	USD	-40.00	
	NET -17 AVG SOLD 1301.9				-4,570.00	0.00
	<u>LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
13OCT17	S 1 PD17NOV17	2522.75	2522.75	USD	0.00	
	NET -1AVG SOLD 2522.75				0.00	0.00
13OCT17	B 1 PD22NOV17	2523.71	2523.51	USD	-5.00	
	NET +1AVG BGHT 2523.71				-5.00	0.00
13OCT17	S 2 PD11DEC17	2526.39	2526.39	USD	0.00	
	NET -2AVG SOLD 2526.39				0.00	0.00
13OCT17	B 1 PD13DEC17	2526.89	2526.69	USD	-5.00	
	NET +1AVG BGHT 2526.89				-5.00	0.00
11OCT17	S 1 PD15DEC17	2563.25	2526.99	USD	906.50	
13OCT17	B 4 PD15DEC17	2526.99	2526.99	USD	0.00	
13OCT17	S 1 PD15DEC17	2526.99	2526.99	USD	0.00	
	NET +2AVG BGHT 2526.99 SOLD 2545.12				906.50	0.00

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	<u>LME LEAD 25 TONNES. THIS IS AN</u>							
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18SEP17	B	1	PD18DEC17	2390.00	2527.45	USD	3,436.25	
18SEP17	S	1	PD18DEC17	2400.00	2527.45	USD	-3,186.25	
11OCT17	S	1	PD18DEC17	2564.00	2527.45	USD	913.75	
13OCT17	S	2	PD18DEC17	2527.25	2527.45	USD	-10.00	
	NET	-3 AVG BGHT 2390.00	SOLD 2504.63				1,153.75	0.00
19SEP17	B	1	PD19DEC17	2385.00	2527.60	USD	3,565.00	
19SEP17	S	1	PD19DEC17	2410.50	2527.60	USD	-2,927.50	
19SEP17	B	1	PD19DEC17	2427.50	2527.60	USD	2,502.50	
13OCT17	S	1	PD19DEC17	2527.40	2527.60	USD	-5.00	
	NET	0 AVG BGHT 2406.25	SOLD 2468.95				3,135.00	0.00
20SEP17	B	1	PD20DEC17	2433.50	2527.75	USD	2,356.25	
20SEP17	B	1	PD20DEC17	2436.00	2527.75	USD	2,293.75	
20SEP17	B	1	PD20DEC17	2458.00	2527.75	USD	1,743.75	
20SEP17	S	1	PD20DEC17	2456.50	2527.75	USD	-1,781.25	
11OCT17	S	1	PD20DEC17	2564.50	2527.75	USD	918.75	
13OCT17	S	1	PD20DEC17	2527.55	2527.75	USD	-5.00	
	NET	0 AVG BGHT 2442.50	SOLD 2516.18				5,526.25	0.00
21SEP17	B	1	PD21DEC17	2446.50	2526.75	USD	2,006.25	
21SEP17	S	1	PD21DEC17	2479.50	2526.75	USD	-1,181.25	
21SEP17	B	1	PD21DEC17	2481.50	2526.75	USD	1,131.25	
21SEP17	S	1	PD21DEC17	2483.50	2526.75	USD	-1,081.25	
21SEP17	S	1	PD21DEC17	2504.00	2526.75	USD	-568.75	
21SEP17	S	1	PD21DEC17	2491.00	2526.75	USD	-893.75	
21SEP17	S	1	PD21DEC17	2482.50	2526.75	USD	-1,106.25	
21SEP17	S	2	PD21DEC17	2464.50	2526.75	USD	-3,112.50	
21SEP17	S	1	PD21DEC17	2449.50	2526.75	USD	-1,931.25	
21SEP17	B	1	PD21DEC17	2451.00	2526.75	USD	1,893.75	
21SEP17	S	1	PD21DEC17	2473.50	2526.75	USD	-1,331.25	
13OCT17	B	1	PD21DEC17	2526.95	2526.75	USD	-5.00	
13OCT17	B	3	PD21DEC17	2526.75	2526.75	USD	0.00	
	NET	-2 AVG BGHT 2498.03	SOLD 2476.94				-6,180.00	0.00
22SEP17	B	1	PD22DEC17	2435.00	2526.90	USD	2,297.50	
22SEP17	B	1	PD22DEC17	2438.00	2526.90	USD	2,222.50	
22SEP17	B	1	PD22DEC17	2421.50	2526.90	USD	2,635.00	
22SEP17	B	1	PD22DEC17	2403.50	2526.90	USD	3,085.00	
22SEP17	S	1	PD22DEC17	2426.50	2526.90	USD	-2,510.00	
22SEP17	B	1	PD22DEC17	2381.50	2526.90	USD	3,635.00	
22SEP17	B	1	PD22DEC17	2381.00	2526.90	USD	3,647.50	
22SEP17	B	1	PD22DEC17	2385.00	2526.90	USD	3,547.50	
22SEP17	S	1	PD22DEC17	2455.00	2526.90	USD	-1,797.50	
22SEP17	S	1	PD22DEC17	2455.50	2526.90	USD	-1,785.00	
22SEP17	S	1	PD22DEC17	2482.00	2526.90	USD	-1,122.50	
22SEP17	S	1	PD22DEC17	2461.00	2526.90	USD	-1,647.50	
22SEP17	S	1	PD22DEC17	2457.50	2526.90	USD	-1,735.00	
22SEP17	B	1	PD22DEC17	2474.50	2526.90	USD	1,310.00	
22SEP17	S	1	PD22DEC17	2475.00	2526.90	USD	-1,297.50	
22SEP17	S	1	PD22DEC17	2483.50	2526.90	USD	-1,085.00	
22SEP17	S	1	PD22DEC17	2481.00	2526.90	USD	-1,147.50	
22SEP17	B	2	PD22DEC17	2489.00	2526.90	USD	1,895.00	
22SEP17	S	1	PD22DEC17	2476.50	2526.90	USD	-1,260.00	
13OCT17	S	3	PD22DEC17	2526.70	2526.90	USD	-15.00	
	NET	-3 AVG BGHT 2429.80	SOLD 2479.51				8,872.50	0.00
25SEP17	S	1	PD27DEC17	2475.50	2527.64	USD	-1,303.50	
25SEP17	B	2	PD27DEC17	2501.00	2527.64	USD	1,332.00	
25SEP17	B	1	PD27DEC17	2506.00	2527.64	USD	541.00	
25SEP17	B	1	PD27DEC17	2508.00	2527.64	USD	491.00	

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25SEP17	B	1	PD27DEC17	2506.50	2527.64	USD	528.50	
25SEP17	S	1	PD27DEC17	2503.50	2527.64	USD	-603.50	
25SEP17	S	1	PD27DEC17	2486.00	2527.64	USD	-1,041.00	
25SEP17	S	1	PD27DEC17	2488.50	2527.64	USD	-978.50	
25SEP17	S	1	PD27DEC17	2501.50	2527.64	USD	-653.50	
25SEP17	S	2	PD27DEC17	2503.00	2527.64	USD	-1,232.00	
25SEP17	S	1	PD27DEC17	2486.50	2527.64	USD	-1,028.50	
25SEP17	B	1	PD27DEC17	2494.50	2527.64	USD	828.50	
25SEP17	B	1	PD27DEC17	2493.50	2527.64	USD	853.50	
25SEP17	B	1	PD27DEC17	2477.00	2527.64	USD	1,266.00	
26SEP17	B	1	PD27DEC17	2490.00	2527.64	USD	941.00	
27SEP17	B	1	PD27DEC17	2481.00	2527.64	USD	1,166.00	
27SEP17	S	1	PD27DEC17	2460.00	2527.64	USD	-1,691.00	
27SEP17	S	1	PD27DEC17	2463.00	2527.64	USD	-1,616.00	
27SEP17	B	1	PD27DEC17	2466.00	2527.64	USD	1,541.00	
13OCT17	B	1	PD27DEC17	2527.84	2527.64	USD	-5.00	
	NET	+2 AVG BGHT 2496.03	SOLD 2487.05				-664.00	0.00
28SEP17	B	1	PD28DEC17	2487.00	2527.78	USD	1,019.50	
28SEP17	S	2	PD28DEC17	2475.00	2527.78	USD	-2,639.00	
28SEP17	S	1	PD28DEC17	2474.50	2527.78	USD	-1,332.00	
28SEP17	B	1	PD28DEC17	2472.00	2527.78	USD	1,394.50	
28SEP17	B	1	PD28DEC17	2470.00	2527.78	USD	1,444.50	
28SEP17	S	1	PD28DEC17	2461.00	2527.78	USD	-1,669.50	
28SEP17	B	1	PD28DEC17	2488.00	2527.78	USD	994.50	
28SEP17	S	1	PD28DEC17	2459.00	2527.78	USD	-1,719.50	
28SEP17	B	1	PD28DEC17	2471.50	2527.78	USD	1,407.00	
28SEP17	S	1	PD28DEC17	2485.50	2527.78	USD	-1,057.00	
13OCT17	S	1	PD28DEC17	2527.78	2527.78	USD	0.00	
	NET	-2 AVG BGHT 2477.70	SOLD 2479.68				-2,157.00	0.00
29SEP17	B	1	PD29DEC17	2488.50	2527.93	USD	985.75	
29SEP17	B	1	PD29DEC17	2499.00	2527.93	USD	723.25	
29SEP17	B	1	PD29DEC17	2503.50	2527.93	USD	610.75	
13OCT17	B	1	PD29DEC17	2528.13	2527.93	USD	-5.00	
	NET	+4 AVG BGHT 2504.78					2,314.75	0.00
04OCT17	S	1	PD04JAN18	2605.50	2528.82	USD	1,917.00	
04OCT17	S	1	PD04JAN18	2608.00	2528.82	USD	1,979.50	
04OCT17	B	2	PD04JAN18	2586.50	2528.82	USD	-2,884.00	
04OCT17	S	1	PD04JAN18	2587.00	2528.82	USD	1,454.50	
04OCT17	S	2	PD04JAN18	2588.50	2528.82	USD	2,984.00	
04OCT17	S	1	PD04JAN18	2594.00	2528.82	USD	1,629.50	
04OCT17	B	1	PD04JAN18	2567.00	2528.82	USD	-954.50	
04OCT17	B	1	PD04JAN18	2565.50	2528.82	USD	-917.00	
04OCT17	B	1	PD04JAN18	2551.50	2528.82	USD	-567.00	
04OCT17	B	1	PD04JAN18	2548.50	2528.82	USD	-492.00	
	NET	0 AVG BGHT 2567.58	SOLD 2595.25				4,150.00	0.00
05OCT17	S	1	PD05JAN18	2568.00	2528.97	USD	975.75	
05OCT17	S	1	PD05JAN18	2590.50	2528.97	USD	1,538.25	
05OCT17	S	1	PD05JAN18	2594.50	2528.97	USD	1,638.25	
06OCT17	S	1	PD05JAN18	2580.50	2528.97	USD	1,288.25	
06OCT17	B	1	PD05JAN18	2555.00	2528.97	USD	-650.75	
06OCT17	B	1	PD05JAN18	2582.00	2528.97	USD	-1,325.75	
06OCT17	B	1	PD05JAN18	2547.50	2528.97	USD	-463.25	
06OCT17	S	1	PD05JAN18	2548.50	2528.97	USD	488.25	
06OCT17	B	1	PD05JAN18	2530.50	2528.97	USD	-38.25	
06OCT17	B	1	PD05JAN18	2518.00	2528.97	USD	274.25	
06OCT17	S	1	PD05JAN18	2537.50	2528.97	USD	213.25	

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06OCT17	S	1	PD05JAN18		2543.50	2528.97	USD	363.25	
06OCT17	B	1	PD05JAN18		2536.50	2528.97	USD	-188.25	
06OCT17	B	1	PD05JAN18		2534.50	2528.97	USD	-138.25	
	NET	0AVG	BGHT 2543.43	SOLD 2566.14				3,975.00	0.00
09OCT17	S	1	PD09JAN18		2516.00	2529.56	USD	-339.00	
09OCT17	B	1	PD09JAN18		2538.00	2529.56	USD	-211.00	
09OCT17	B	1	PD09JAN18		2533.00	2529.56	USD	-86.00	
09OCT17	B	1	PD09JAN18		2528.00	2529.56	USD	39.00	
09OCT17	S	1	PD09JAN18		2519.00	2529.56	USD	-264.00	
09OCT17	S	1	PD09JAN18		2520.50	2529.56	USD	-226.50	
09OCT17	B	1	PD09JAN18		2529.00	2529.56	USD	14.00	
09OCT17	B	1	PD09JAN18		2528.50	2529.56	USD	26.50	
09OCT17	S	1	PD09JAN18		2519.50	2529.56	USD	-251.50	
09OCT17	S	2	PD09JAN18		2528.00	2529.56	USD	-78.00	
09OCT17	S	1	PD09JAN18		2531.50	2529.56	USD	48.50	
09OCT17	B	1	PD09JAN18		2510.50	2529.56	USD	476.50	
09OCT17	B	1	PD09JAN18		2508.50	2529.56	USD	526.50	
09OCT17	B	1	PD09JAN18		2508.00	2529.56	USD	539.00	
09OCT17	S	1	PD09JAN18		2496.00	2529.56	USD	-839.00	
09OCT17	S	1	PD09JAN18		2503.50	2529.56	USD	-651.50	
09OCT17	S	1	PD09JAN18		2498.50	2529.56	USD	-776.50	
09OCT17	S	1	PD09JAN18		2495.00	2529.56	USD	-864.00	
09OCT17	B	2	PD09JAN18		2487.50	2529.56	USD	2,103.00	
09OCT17	S	1	PD09JAN18		2514.50	2529.56	USD	-376.50	
09OCT17	S	1	PD09JAN18		2517.00	2529.56	USD	-314.00	
09OCT17	S	1	PD09JAN18		2518.00	2529.56	USD	-289.00	
	NET	-4AVG	BGHT 2515.85	SOLD 2514.64				-1,793.50	0.00
10OCT17	B	1	PD10JAN18		2520.50	2529.70	USD	230.00	
10OCT17	B	1	PD10JAN18		2523.00	2529.70	USD	167.50	
10OCT17	B	1	PD10JAN18		2522.50	2529.70	USD	180.00	
10OCT17	B	2	PD10JAN18		2519.50	2529.70	USD	510.00	
10OCT17	B	1	PD10JAN18		2518.00	2529.70	USD	292.50	
10OCT17	S	1	PD10JAN18		2497.50	2529.70	USD	-805.00	
10OCT17	S	1	PD10JAN18		2498.00	2529.70	USD	-792.50	
10OCT17	S	1	PD10JAN18		2493.00	2529.70	USD	-917.50	
10OCT17	S	1	PD10JAN18		2519.50	2529.70	USD	-255.00	
10OCT17	S	3	PD10JAN18		2503.50	2529.70	USD	-1,965.00	
10OCT17	S	1	PD10JAN18		2503.00	2529.70	USD	-667.50	
10OCT17	B	1	PD10JAN18		2504.00	2529.70	USD	642.50	
10OCT17	S	1	PD10JAN18		2506.50	2529.70	USD	-580.00	
10OCT17	S	1	PD10JAN18		2517.00	2529.70	USD	-317.50	
10OCT17	S	2	PD10JAN18		2508.00	2529.70	USD	-1,085.00	
10OCT17	B	1	PD10JAN18		2511.50	2529.70	USD	455.00	
10OCT17	B	1	PD10JAN18		2511.00	2529.70	USD	467.50	
10OCT17	B	1	PD10JAN18		2512.00	2529.70	USD	442.50	
10OCT17	S	1	PD10JAN18		2536.00	2529.70	USD	157.50	
10OCT17	S	1	PD10JAN18		2534.50	2529.70	USD	120.00	
10OCT17	S	1	PD10JAN18		2535.00	2529.70	USD	132.50	
10OCT17	S	1	PD10JAN18		2536.50	2529.70	USD	170.00	
10OCT17	S	1	PD10JAN18		2503.00	2529.70	USD	-667.50	
11OCT17	B	3	PD10JAN18		2565.10	2529.70	USD	-2,655.00	
	NET	-4AVG	BGHT 2527.45	SOLD 2512.12				-6,740.00	0.00
11OCT17	S	1	PD11JAN18		2515.00	2529.85	USD	-371.25	
11OCT17	S	1	PD11JAN18		2535.50	2529.85	USD	141.25	
11OCT17	S	1	PD11JAN18		2537.00	2529.85	USD	178.75	
11OCT17	S	1	PD11JAN18		2515.50	2529.85	USD	-358.75	

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11OCT17	B 1 PD11JAN18	2520.00	2529.85	USD	246.25	
11OCT17	B 1 PD11JAN18	2523.00	2529.85	USD	171.25	
11OCT17	B 1 PD11JAN18	2518.00	2529.85	USD	296.25	
	NET -1AVG BGHT 2520.33 SOLD 2525.75				303.75	0.00
12OCT17	B 1 PD12JAN18	2555.00	2530.00	USD	-625.00	
12OCT17	B 1 PD12JAN18	2554.00	2530.00	USD	-600.00	
12OCT17	B 1 PD12JAN18	2555.50	2530.00	USD	-637.50	
12OCT17	B 1 PD12JAN18	2552.50	2530.00	USD	-562.50	
12OCT17	B 1 PD12JAN18	2551.00	2530.00	USD	-525.00	
12OCT17	B 1 PD12JAN18	2556.50	2530.00	USD	-662.50	
12OCT17	S 1 PD12JAN18	2563.50	2530.00	USD	837.50	
13OCT17	B 1 PD12JAN18	2561.00	2530.00	USD	-775.00	
13OCT17	S 1 PD12JAN18	2545.00	2530.00	USD	375.00	
13OCT17	B 1 PD12JAN18	2549.00	2530.00	USD	-475.00	
13OCT17	B 1 PD12JAN18	2551.50	2530.00	USD	-537.50	
13OCT17	S 1 PD12JAN18	2539.50	2530.00	USD	237.50	
13OCT17	B 1 PD12JAN18	2547.50	2530.00	USD	-437.50	
13OCT17	B 1 PD12JAN18	2546.50	2530.00	USD	-412.50	
13OCT17	S 1 PD12JAN18	2538.50	2530.00	USD	212.50	
13OCT17	B 1 PD12JAN18	2529.50	2530.00	USD	12.50	
13OCT17	S 1 PD12JAN18	2540.00	2530.00	USD	250.00	
13OCT17	S 1 PD12JAN18	2539.00	2530.00	USD	225.00	
	NET +6AVG BGHT 2550.79 SOLD 2544.25				-4,100.00	0.00
	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
12OCT17	S 1 PD31OCT17	11345.29	11620.72	USD	-1,652.58	
	NET -1AVG SOLD 11345.29				-1,652.58	0.00
13OCT17	S 4 PD17NOV17	11634.87	11634.87	USD	0.00	
	NET -4AVG SOLD 11634.87				0.00	0.00
13OCT17	B 2 PD21NOV17	11638.61	11637.61	USD	-12.00	
	NET +2AVG BGHT 11638.61				-12.00	0.00
13OCT17	B 2 PD22NOV17	11639.30	11638.30	USD	-12.00	
	NET +2AVG BGHT 11639.30				-12.00	0.00
12OCT17	B 1 PD08DEC17	11372.72	11649.27	USD	1,659.30	
13OCT17	B 10 PD08DEC17	11649.27	11649.27	USD	0.00	
	NET +11AVG BGHT 11624.13				1,659.30	0.00
13OCT17	S 10 PD11DEC17	11650.33	11651.33	USD	-60.00	
	NET -10AVG SOLD 11650.33				-60.00	0.00
12OCT17	B 3 PD12DEC17	11374.45	11652.01	USD	4,996.08	
13OCT17	S 2 PD12DEC17	11652.01	11652.01	USD	0.00	
	NET +1AVG BGHT 11374.45 SOLD 11652.01				4,996.08	0.00
12OCT17	S 3 PD13DEC17	11374.13	11652.70	USD	-5,014.26	
13OCT17	S 14 PD13DEC17	11652.70	11652.70	USD	0.00	
	NET -17AVG SOLD 11603.54				-5,014.26	0.00
13OCT17	B 14 PD14DEC17	11654.39	11653.39	USD	-84.00	
	NET +14AVG BGHT 11654.39				-84.00	0.00
13OCT17	B 2 PD15DEC17	11655.07	11654.07	USD	-12.00	
	NET +2AVG BGHT 11655.07				-12.00	0.00
18SEP17	S 2 PD18DEC17	11225.00	11656.13	USD	-5,173.56	
18SEP17	S 2 PD18DEC17	11175.00	11656.13	USD	-5,773.56	
26SEP17	B 4 PD18DEC17	10469.17	11656.13	USD	28,487.04	
	NET 0AVG BGHT 10469.17 SOLD 11200.00				17,539.92	0.00
19SEP17	B 1 PD19DEC17	11135.00	11656.81	USD	3,130.86	

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backoffice@sapiencap.com

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
19SEP17	B	1	PD19DEC17	11145.00	11656.81	USD	3,070.86	
19SEP17	B	4	PD19DEC17	11140.00	11656.81	USD	12,403.44	
19SEP17	B	2	PD19DEC17	11100.00	11656.81	USD	6,681.72	
19SEP17	B	2	PD19DEC17	11095.00	11656.81	USD	6,741.72	
19SEP17	B	2	PD19DEC17	11035.00	11656.81	USD	7,461.72	
19SEP17	S	1	PD19DEC17	10995.00	11656.81	USD	-3,970.86	
19SEP17	S	1	PD19DEC17	10980.00	11656.81	USD	-4,060.86	
19SEP17	B	2	PD19DEC17	10970.00	11656.81	USD	8,241.72	
19SEP17	B	2	PD19DEC17	10995.00	11656.81	USD	7,941.72	
19SEP17	B	2	PD19DEC17	11110.00	11656.81	USD	6,561.72	
26SEP17	S	16	PD19DEC17	10470.09	11656.81	USD	-113,925.12	
12OCT17	S	2	PD19DEC17	11378.50	11656.81	USD	-3,339.72	
13OCT17	S	6	PD19DEC17	11656.81	11656.81	USD	0.00	
	NET	-8 AVG BGHT 11080.56	SOLD 10853.63				-63,061.08	0.00
20SEP17	B	2	PD20DEC17	11350.00	11657.50	USD	3,690.00	
20SEP17	S	8	PD20DEC17	11355.00	11657.50	USD	-14,520.00	
20SEP17	S	2	PD20DEC17	11325.00	11657.50	USD	-3,990.00	
20SEP17	S	2	PD20DEC17	11345.00	11657.50	USD	-3,750.00	
20SEP17	S	4	PD20DEC17	11350.00	11657.50	USD	-7,380.00	
20SEP17	S	2	PD20DEC17	11365.00	11657.50	USD	-3,510.00	
26SEP17	B	16	PD20DEC17	10472.00	11657.50	USD	113,808.00	
13OCT17	B	6	PD20DEC17	11658.50	11657.50	USD	-36.00	
13OCT17	B	2	PD20DEC17	11657.50	11657.50	USD	0.00	
	NET	+8 AVG BGHT 10904.54	SOLD 11350.56				84,312.00	0.00
21SEP17	S	1	PD21DEC17	11275.00	11658.26	USD	-2,299.56	
21SEP17	B	2	PD21DEC17	11190.00	11658.26	USD	5,619.12	
21SEP17	B	2	PD21DEC17	11205.00	11658.26	USD	5,439.12	
21SEP17	B	4	PD21DEC17	11200.00	11658.26	USD	10,998.24	
21SEP17	B	3	PD21DEC17	11210.00	11658.26	USD	8,068.68	
21SEP17	B	2	PD21DEC17	11165.00	11658.26	USD	5,919.12	
21SEP17	S	1	PD21DEC17	11115.00	11658.26	USD	-3,259.56	
21SEP17	B	4	PD21DEC17	11075.00	11658.26	USD	13,998.24	
21SEP17	S	2	PD21DEC17	11020.00	11658.26	USD	-7,659.12	
21SEP17	B	2	PD21DEC17	11040.00	11658.26	USD	7,419.12	
21SEP17	S	1	PD21DEC17	11020.00	11658.26	USD	-3,829.56	
21SEP17	B	1	PD21DEC17	10970.00	11658.26	USD	4,129.56	
21SEP17	B	9	PD21DEC17	10975.00	11658.26	USD	36,896.04	
21SEP17	B	13	PD21DEC17	11000.00	11658.26	USD	51,344.28	
21SEP17	B	6	PD21DEC17	11005.00	11658.26	USD	23,517.36	
21SEP17	B	2	PD21DEC17	10995.00	11658.26	USD	7,959.12	
21SEP17	B	1	PD21DEC17	10950.00	11658.26	USD	4,249.56	
21SEP17	B	3	PD21DEC17	10955.00	11658.26	USD	12,658.68	
21SEP17	B	7	PD21DEC17	10980.00	11658.26	USD	28,486.92	
21SEP17	B	2	PD21DEC17	10985.00	11658.26	USD	8,079.12	
21SEP17	B	2	PD21DEC17	11085.00	11658.26	USD	6,879.12	
21SEP17	B	2	PD21DEC17	11095.00	11658.26	USD	6,759.12	
21SEP17	B	1	PD21DEC17	11100.00	11658.26	USD	3,349.56	
21SEP17	B	3	PD21DEC17	11070.00	11658.26	USD	10,588.68	
21SEP17	B	1	PD21DEC17	11060.00	11658.26	USD	3,589.56	
21SEP17	B	2	PD21DEC17	11105.00	11658.26	USD	6,639.12	
21SEP17	B	3	PD21DEC17	11110.00	11658.26	USD	9,868.68	
21SEP17	S	1	PD21DEC17	11095.00	11658.26	USD	-3,379.56	
21SEP17	S	3	PD21DEC17	11110.00	11658.26	USD	-9,868.68	
21SEP17	B	4	PD21DEC17	11080.00	11658.26	USD	13,878.24	
21SEP17	B	1	PD21DEC17	11005.00	11658.26	USD	3,919.56	
21SEP17	B	2	PD21DEC17	11010.00	11658.26	USD	7,779.12	
21SEP17	B	1	PD21DEC17	10995.00	11658.26	USD	3,979.56	

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
21SEP17	B	2	PD21DEC17	10990.00	11658.26	USD	8,019.12	
21SEP17	B	7	PD21DEC17	11090.00	11658.26	USD	23,866.92	
21SEP17	B	3	PD21DEC17	11010.00	11658.26	USD	11,668.68	
21SEP17	S	1	PD21DEC17	10905.00	11658.26	USD	-4,519.56	
21SEP17	S	1	PD21DEC17	10915.00	11658.26	USD	-4,459.56	
21SEP17	S	2	PD21DEC17	10880.00	11658.26	USD	-9,339.12	
21SEP17	S	1	PD21DEC17	10875.00	11658.26	USD	-4,699.56	
21SEP17	S	1	PD21DEC17	10885.00	11658.26	USD	-4,639.56	
21SEP17	S	2	PD21DEC17	10890.00	11658.26	USD	-9,219.12	
21SEP17	S	3	PD21DEC17	10895.00	11658.26	USD	-13,738.68	
21SEP17	S	1	PD21DEC17	10855.00	11658.26	USD	-4,819.56	
21SEP17	S	3	PD21DEC17	10830.00	11658.26	USD	-14,908.68	
21SEP17	S	7	PD21DEC17	10825.00	11658.26	USD	-34,996.92	
21SEP17	S	1	PD21DEC17	10810.00	11658.26	USD	-5,089.56	
21SEP17	S	1	PD21DEC17	10800.00	11658.26	USD	-5,149.56	
21SEP17	S	6	PD21DEC17	10805.00	11658.26	USD	-30,717.36	
21SEP17	S	2	PD21DEC17	10725.00	11658.26	USD	-11,199.12	
21SEP17	S	1	PD21DEC17	10720.00	11658.26	USD	-5,629.56	
21SEP17	S	1	PD21DEC17	10745.00	11658.26	USD	-5,479.56	
21SEP17	S	3	PD21DEC17	10715.00	11658.26	USD	-16,978.68	
21SEP17	S	3	PD21DEC17	10625.00	11658.26	USD	-18,598.68	
21SEP17	B	1	PD21DEC17	10685.00	11658.26	USD	5,839.56	
21SEP17	S	3	PD21DEC17	10830.00	11658.26	USD	-14,908.68	
21SEP17	S	2	PD21DEC17	10750.00	11658.26	USD	-10,899.12	
21SEP17	S	1	PD21DEC17	10725.00	11658.26	USD	-5,599.56	
21SEP17	S	1	PD21DEC17	10890.00	11658.26	USD	-4,609.56	
21SEP17	S	1	PD21DEC17	10860.00	11658.26	USD	-4,789.56	
21SEP17	S	1	PD21DEC17	10815.00	11658.26	USD	-5,059.56	
21SEP17	S	1	PD21DEC17	10635.00	11658.26	USD	-6,139.56	
26SEP17	S	4	PD21DEC17	10470.75	11658.26	USD	-28,500.24	
26SEP17	S	10	PD21DEC17	10471.75	11658.26	USD	-71,190.60	
12OCT17	S	1	PD21DEC17	11379.70	11658.26	USD	-1,671.36	
13OCT17	S	2	PD21DEC17	11657.26	11658.26	USD	-12.00	
13OCT17	S	13	PD21DEC17	11658.26	11658.26	USD	0.00	
	NET	+9 AVG BGHT	11043.62	SOLD	10931.93		-26,451.36	0.00
22SEP17	B	1	PD22DEC17	10735.00	11659.02	USD	5,544.12	
22SEP17	B	1	PD22DEC17	10730.00	11659.02	USD	5,574.12	
22SEP17	B	1	PD22DEC17	10700.00	11659.02	USD	5,754.12	
22SEP17	B	1	PD22DEC17	10760.00	11659.02	USD	5,394.12	
22SEP17	B	1	PD22DEC17	10765.00	11659.02	USD	5,364.12	
22SEP17	B	1	PD22DEC17	10755.00	11659.02	USD	5,424.12	
22SEP17	B	6	PD22DEC17	10790.00	11659.02	USD	31,284.72	
22SEP17	B	2	PD22DEC17	10775.00	11659.02	USD	10,608.24	
22SEP17	B	6	PD22DEC17	10785.00	11659.02	USD	31,464.72	
22SEP17	B	1	PD22DEC17	10810.00	11659.02	USD	5,094.12	
22SEP17	B	1	PD22DEC17	10795.00	11659.02	USD	5,184.12	
22SEP17	B	8	PD22DEC17	10770.00	11659.02	USD	42,672.96	
22SEP17	S	1	PD22DEC17	10700.00	11659.02	USD	-5,754.12	
22SEP17	S	2	PD22DEC17	10685.00	11659.02	USD	-11,688.24	
22SEP17	S	1	PD22DEC17	10705.00	11659.02	USD	-5,724.12	
22SEP17	S	5	PD22DEC17	10695.00	11659.02	USD	-28,920.60	
22SEP17	B	2	PD22DEC17	10665.00	11659.02	USD	11,928.24	
22SEP17	B	1	PD22DEC17	10660.00	11659.02	USD	5,994.12	
22SEP17	B	1	PD22DEC17	10655.00	11659.02	USD	6,024.12	
22SEP17	B	1	PD22DEC17	10650.00	11659.02	USD	6,054.12	
22SEP17	B	2	PD22DEC17	10645.00	11659.02	USD	12,168.24	
22SEP17	B	2	PD22DEC17	10635.00	11659.02	USD	12,288.24	

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
22SEP17	B	2	PD22DEC17	10610.00	11659.02	USD	12,588.24	
22SEP17	S	2	PD22DEC17	10645.00	11659.02	USD	-12,168.24	
22SEP17	B	2	PD22DEC17	10625.00	11659.02	USD	12,408.24	
22SEP17	S	2	PD22DEC17	10600.00	11659.02	USD	-12,708.24	
22SEP17	B	3	PD22DEC17	10640.00	11659.02	USD	18,342.36	
22SEP17	S	1	PD22DEC17	10615.00	11659.02	USD	-6,264.12	
22SEP17	S	4	PD22DEC17	10610.00	11659.02	USD	-25,176.48	
22SEP17	S	2	PD22DEC17	10605.00	11659.02	USD	-12,648.24	
22SEP17	S	4	PD22DEC17	10590.00	11659.02	USD	-25,656.48	
22SEP17	S	2	PD22DEC17	10585.00	11659.02	USD	-12,888.24	
22SEP17	S	3	PD22DEC17	10565.00	11659.02	USD	-19,692.36	
22SEP17	S	4	PD22DEC17	10550.00	11659.02	USD	-26,616.48	
22SEP17	B	1	PD22DEC17	10615.00	11659.02	USD	6,264.12	
22SEP17	S	1	PD22DEC17	10615.00	11659.02	USD	-6,264.12	
22SEP17	S	1	PD22DEC17	10570.00	11659.02	USD	-6,534.12	
22SEP17	S	1	PD22DEC17	10555.00	11659.02	USD	-6,624.12	
22SEP17	S	1	PD22DEC17	10540.00	11659.02	USD	-6,714.12	
22SEP17	B	10	PD22DEC17	10580.00	11659.02	USD	64,741.20	
22SEP17	B	5	PD22DEC17	10595.00	11659.02	USD	31,920.60	
22SEP17	B	5	PD22DEC17	10600.00	11659.02	USD	31,770.60	
22SEP17	B	2	PD22DEC17	10590.00	11659.02	USD	12,828.24	
22SEP17	B	3	PD22DEC17	10585.00	11659.02	USD	19,332.36	
22SEP17	B	9	PD22DEC17	10575.00	11659.02	USD	58,537.08	
22SEP17	S	14	PD22DEC17	10535.00	11659.02	USD	-94,417.68	
22SEP17	B	5	PD22DEC17	10535.00	11659.02	USD	33,720.60	
22SEP17	B	9	PD22DEC17	10570.00	11659.02	USD	58,807.08	
22SEP17	B	10	PD22DEC17	10540.00	11659.02	USD	67,141.20	
22SEP17	B	1	PD22DEC17	10515.00	11659.02	USD	6,864.12	
22SEP17	B	6	PD22DEC17	10565.00	11659.02	USD	39,384.72	
22SEP17	B	4	PD22DEC17	10555.00	11659.02	USD	26,496.48	
22SEP17	B	9	PD22DEC17	10550.00	11659.02	USD	59,887.08	
22SEP17	B	8	PD22DEC17	10545.00	11659.02	USD	53,472.96	
22SEP17	B	10	PD22DEC17	10530.00	11659.02	USD	67,741.20	
22SEP17	B	2	PD22DEC17	10465.00	11659.02	USD	14,328.24	
22SEP17	B	1	PD22DEC17	10525.00	11659.02	USD	6,804.12	
22SEP17	B	3	PD22DEC17	10485.00	11659.02	USD	21,132.36	
22SEP17	B	2	PD22DEC17	10480.00	11659.02	USD	14,148.24	
22SEP17	B	8	PD22DEC17	10475.00	11659.02	USD	56,832.96	
22SEP17	B	2	PD22DEC17	10470.00	11659.02	USD	14,268.24	
22SEP17	B	1	PD22DEC17	10460.00	11659.02	USD	7,194.12	
22SEP17	B	1	PD22DEC17	10455.00	11659.02	USD	7,224.12	
22SEP17	B	6	PD22DEC17	10440.00	11659.02	USD	43,884.72	
22SEP17	B	3	PD22DEC17	10430.00	11659.02	USD	22,122.36	
22SEP17	B	3	PD22DEC17	10445.00	11659.02	USD	21,852.36	
22SEP17	B	2	PD22DEC17	10450.00	11659.02	USD	14,508.24	
22SEP17	B	2	PD22DEC17	10490.00	11659.02	USD	14,028.24	
22SEP17	S	1	PD22DEC17	10490.00	11659.02	USD	-7,014.12	
22SEP17	S	1	PD22DEC17	10495.00	11659.02	USD	-6,984.12	
22SEP17	S	8	PD22DEC17	10510.00	11659.02	USD	-55,152.96	
22SEP17	S	7	PD22DEC17	10545.00	11659.02	USD	-46,788.84	
22SEP17	S	10	PD22DEC17	10540.00	11659.02	USD	-67,141.20	
22SEP17	S	4	PD22DEC17	10555.00	11659.02	USD	-26,496.48	
22SEP17	S	9	PD22DEC17	10620.00	11659.02	USD	-56,107.08	
22SEP17	S	1	PD22DEC17	10650.00	11659.02	USD	-6,054.12	
22SEP17	S	2	PD22DEC17	10625.00	11659.02	USD	-12,408.24	
22SEP17	B	1	PD22DEC17	10450.00	11659.02	USD	7,254.12	
22SEP17	B	1	PD22DEC17	10470.00	11659.02	USD	7,134.12	

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22SEP17	S	1	PD22DEC17	10550.00	11659.02	USD	-6,654.12	
22SEP17	B	1	PD22DEC17	10550.00	11659.02	USD	6,654.12	
12OCT17	S	11	PD22DEC17	11380.39	11659.02	USD	-18,389.58	
13OCT17	S	17	PD22DEC17	11659.02	11659.02	USD	0.00	
	NET	+59 AVG BGHT 10582.61	SOLD 10797.70				539,788.86	0.00
25SEP17	B	1	PD27DEC17	10475.00	11662.83	USD	7,126.98	
25SEP17	B	1	PD27DEC17	10485.00	11662.83	USD	7,066.98	
25SEP17	B	1	PD27DEC17	10480.00	11662.83	USD	7,096.98	
25SEP17	S	3	PD27DEC17	10580.00	11662.83	USD	-19,490.94	
25SEP17	S	10	PD27DEC17	10625.00	11662.83	USD	-62,269.80	
25SEP17	S	11	PD27DEC17	10620.00	11662.83	USD	-68,826.78	
25SEP17	S	7	PD27DEC17	10610.00	11662.83	USD	-44,218.86	
25SEP17	S	4	PD27DEC17	10615.00	11662.83	USD	-25,147.92	
25SEP17	S	2	PD27DEC17	10600.00	11662.83	USD	-12,753.96	
25SEP17	S	3	PD27DEC17	10520.00	11662.83	USD	-20,570.94	
25SEP17	S	1	PD27DEC17	10475.00	11662.83	USD	-7,126.98	
25SEP17	B	5	PD27DEC17	10490.00	11662.83	USD	35,184.90	
25SEP17	B	5	PD27DEC17	10500.00	11662.83	USD	34,884.90	
25SEP17	B	3	PD27DEC17	10530.00	11662.83	USD	20,390.94	
25SEP17	B	1	PD27DEC17	10535.00	11662.83	USD	6,766.98	
25SEP17	S	1	PD27DEC17	10545.00	11662.83	USD	-6,706.98	
25SEP17	B	8	PD27DEC17	10515.00	11662.83	USD	55,095.84	
25SEP17	B	13	PD27DEC17	10520.00	11662.83	USD	89,140.74	
25SEP17	B	4	PD27DEC17	10510.00	11662.83	USD	27,667.92	
25SEP17	B	10	PD27DEC17	10505.00	11662.83	USD	69,469.80	
25SEP17	B	7	PD27DEC17	10495.00	11662.83	USD	49,048.86	
25SEP17	B	10	PD27DEC17	10525.00	11662.83	USD	68,269.80	
25SEP17	S	7	PD27DEC17	10525.00	11662.83	USD	-47,788.86	
25SEP17	B	1	PD27DEC17	10475.00	11662.83	USD	7,126.98	
25SEP17	S	4	PD27DEC17	10500.00	11662.83	USD	-27,907.92	
25SEP17	S	2	PD27DEC17	10515.00	11662.83	USD	-13,773.96	
25SEP17	S	1	PD27DEC17	10555.00	11662.83	USD	-6,646.98	
25SEP17	S	15	PD27DEC17	10560.00	11662.83	USD	-99,254.70	
25SEP17	S	9	PD27DEC17	10565.00	11662.83	USD	-59,282.82	
25SEP17	S	9	PD27DEC17	10595.00	11662.83	USD	-57,662.82	
25SEP17	S	8	PD27DEC17	10590.00	11662.83	USD	-51,495.84	
25SEP17	S	3	PD27DEC17	10585.00	11662.83	USD	-19,400.94	
25SEP17	S	2	PD27DEC17	10575.00	11662.83	USD	-13,053.96	
25SEP17	S	8	PD27DEC17	10570.00	11662.83	USD	-52,455.84	
25SEP17	B	3	PD27DEC17	10635.00	11662.83	USD	18,500.94	
25SEP17	S	8	PD27DEC17	10605.00	11662.83	USD	-50,775.84	
25SEP17	B	3	PD27DEC17	10585.00	11662.83	USD	19,400.94	
25SEP17	B	1	PD27DEC17	10580.00	11662.83	USD	6,496.98	
25SEP17	S	6	PD27DEC17	10550.00	11662.83	USD	-40,061.88	
25SEP17	S	1	PD27DEC17	10575.00	11662.83	USD	-6,526.98	
25SEP17	S	1	PD27DEC17	10580.00	11662.83	USD	-6,496.98	
25SEP17	B	1	PD27DEC17	10635.00	11662.83	USD	6,166.98	
26SEP17	B	2	PD27DEC17	10590.00	11662.83	USD	12,873.96	
26SEP17	B	2	PD27DEC17	10645.00	11662.83	USD	12,213.96	
26SEP17	S	4	PD27DEC17	10665.00	11662.83	USD	-23,947.92	
26SEP17	S	3	PD27DEC17	10660.00	11662.83	USD	-18,050.94	
26SEP17	S	7	PD27DEC17	10655.00	11662.83	USD	-42,328.86	
26SEP17	S	5	PD27DEC17	10650.00	11662.83	USD	-30,384.90	
26SEP17	S	3	PD27DEC17	10630.00	11662.83	USD	-18,590.94	
26SEP17	S	6	PD27DEC17	10620.00	11662.83	USD	-37,541.88	
26SEP17	B	4	PD27DEC17	10630.00	11662.83	USD	24,787.92	
26SEP17	S	3	PD27DEC17	10640.00	11662.83	USD	-18,410.94	

OPEN POSITION STATEMENT



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SAP094AY

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Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
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backoffice@sapiencap.com

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
26SEP17	S	1	PD27DEC17	10555.00	11662.83	USD	-6,646.98	
26SEP17	S	5	PD27DEC17	10565.00	11662.83	USD	-32,934.90	
26SEP17	S	5	PD27DEC17	10570.00	11662.83	USD	-32,784.90	
26SEP17	S	2	PD27DEC17	10575.00	11662.83	USD	-13,053.96	
26SEP17	B	3	PD27DEC17	10570.00	11662.83	USD	19,670.94	
26SEP17	B	1	PD27DEC17	10580.00	11662.83	USD	6,496.98	
26SEP17	S	4	PD27DEC17	10615.00	11662.83	USD	-25,147.92	
26SEP17	B	4	PD27DEC17	10490.00	11662.83	USD	28,147.92	
26SEP17	B	4	PD27DEC17	10485.00	11662.83	USD	28,267.92	
26SEP17	B	20	PD27DEC17	10475.00	11662.83	USD	142,539.60	
26SEP17	B	22	PD27DEC17	10480.00	11662.83	USD	156,133.56	
26SEP17	B	8	PD27DEC17	10470.00	11662.83	USD	57,255.84	
26SEP17	B	9	PD27DEC17	10465.00	11662.83	USD	64,682.82	
26SEP17	B	2	PD27DEC17	10455.00	11662.83	USD	14,493.96	
26SEP17	B	2	PD27DEC17	10445.00	11662.83	USD	14,613.96	
26SEP17	B	7	PD27DEC17	10450.00	11662.83	USD	50,938.86	
26SEP17	B	1	PD27DEC17	10460.00	11662.83	USD	7,216.98	
26SEP17	B	1	PD27DEC17	10410.00	11662.83	USD	7,516.98	
26SEP17	B	1	PD27DEC17	10405.00	11662.83	USD	7,546.98	
26SEP17	B	2	PD27DEC17	10415.00	11662.83	USD	14,973.96	
26SEP17	B	2	PD27DEC17	10420.00	11662.83	USD	14,913.96	
26SEP17	B	3	PD27DEC17	10435.00	11662.83	USD	22,100.94	
26SEP17	B	2	PD27DEC17	10440.00	11662.83	USD	14,673.96	
26SEP17	B	5	PD27DEC17	10500.00	11662.83	USD	34,884.90	
26SEP17	B	3	PD27DEC17	10540.00	11662.83	USD	20,210.94	
26SEP17	B	3	PD27DEC17	10550.00	11662.83	USD	20,030.94	
26SEP17	B	1	PD27DEC17	10510.00	11662.83	USD	6,916.98	
26SEP17	B	2	PD27DEC17	10505.00	11662.83	USD	13,893.96	
26SEP17	B	7	PD27DEC17	10495.00	11662.83	USD	49,048.86	
26SEP17	S	1	PD27DEC17	10475.00	11662.83	USD	-7,126.98	
26SEP17	S	2	PD27DEC17	10495.00	11662.83	USD	-14,013.96	
26SEP17	S	4	PD27DEC17	10490.00	11662.83	USD	-28,147.92	
26SEP17	B	10	PD27DEC17	10481.00	11662.83	USD	70,909.80	
26SEP17	S	1	PD27DEC17	10480.00	11662.83	USD	-7,096.98	
26SEP17	S	1	PD27DEC17	10500.00	11662.83	USD	-6,976.98	
27SEP17	S	1	PD27DEC17	10455.00	11662.83	USD	-7,246.98	
27SEP17	S	2	PD27DEC17	10475.00	11662.83	USD	-14,253.96	
27SEP17	S	1	PD27DEC17	10465.00	11662.83	USD	-7,186.98	
27SEP17	S	1	PD27DEC17	10460.00	11662.83	USD	-7,216.98	
27SEP17	S	8	PD27DEC17	10470.00	11662.83	USD	-57,255.84	
27SEP17	S	2	PD27DEC17	10480.00	11662.83	USD	-14,193.96	
27SEP17	S	1	PD27DEC17	10490.00	11662.83	USD	-7,036.98	
27SEP17	S	6	PD27DEC17	10505.00	11662.83	USD	-41,681.88	
27SEP17	S	1	PD27DEC17	10510.00	11662.83	USD	-6,916.98	
27SEP17	S	3	PD27DEC17	10515.00	11662.83	USD	-20,660.94	
27SEP17	S	3	PD27DEC17	10520.00	11662.83	USD	-20,570.94	
27SEP17	S	5	PD27DEC17	10525.00	11662.83	USD	-34,134.90	
27SEP17	S	1	PD27DEC17	10565.00	11662.83	USD	-6,586.98	
27SEP17	S	14	PD27DEC17	10555.00	11662.83	USD	-93,057.72	
27SEP17	S	2	PD27DEC17	10580.00	11662.83	USD	-12,993.96	
27SEP17	S	2	PD27DEC17	10590.00	11662.83	USD	-12,873.96	
27SEP17	S	2	PD27DEC17	10600.00	11662.83	USD	-12,753.96	
27SEP17	S	6	PD27DEC17	10595.00	11662.83	USD	-38,441.88	
27SEP17	B	2	PD27DEC17	10565.00	11662.83	USD	13,173.96	
27SEP17	S	2	PD27DEC17	10570.00	11662.83	USD	-13,113.96	
27SEP17	S	1	PD27DEC17	10450.00	11662.83	USD	-7,276.98	
27SEP17	S	1	PD27DEC17	10465.00	11662.83	USD	-7,186.98	

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
27SEP17	S	1	PD27DEC17	10460.00	11662.83	USD	-7,216.98	
27SEP17	S	3	PD27DEC17	10485.00	11662.83	USD	-21,200.94	
27SEP17	S	3	PD27DEC17	10495.00	11662.83	USD	-21,020.94	
27SEP17	S	1	PD27DEC17	10520.00	11662.83	USD	-6,856.98	
27SEP17	S	1	PD27DEC17	10525.00	11662.83	USD	-6,826.98	
27SEP17	S	3	PD27DEC17	10530.00	11662.83	USD	-20,390.94	
27SEP17	S	2	PD27DEC17	10535.00	11662.83	USD	-13,533.96	
27SEP17	S	2	PD27DEC17	10540.00	11662.83	USD	-13,473.96	
27SEP17	S	13	PD27DEC17	10560.00	11662.83	USD	-86,020.74	
27SEP17	S	3	PD27DEC17	10545.00	11662.83	USD	-20,120.94	
27SEP17	S	10	PD27DEC17	10550.00	11662.83	USD	-66,769.80	
27SEP17	S	1	PD27DEC17	10535.00	11662.83	USD	-6,766.98	
27SEP17	S	4	PD27DEC17	10540.00	11662.83	USD	-26,947.92	
27SEP17	B	3	PD27DEC17	10490.00	11662.83	USD	21,110.94	
27SEP17	B	3	PD27DEC17	10480.00	11662.83	USD	21,290.94	
27SEP17	B	1	PD27DEC17	10475.00	11662.83	USD	7,126.98	
27SEP17	B	2	PD27DEC17	10460.00	11662.83	USD	14,433.96	
27SEP17	B	2	PD27DEC17	10455.00	11662.83	USD	14,493.96	
27SEP17	S	2	PD27DEC17	10370.00	11662.83	USD	-15,513.96	
27SEP17	S	2	PD27DEC17	10365.00	11662.83	USD	-15,573.96	
27SEP17	B	4	PD27DEC17	10350.00	11662.83	USD	31,507.92	
27SEP17	B	2	PD27DEC17	10385.00	11662.83	USD	15,333.96	
27SEP17	B	2	PD27DEC17	10395.00	11662.83	USD	15,213.96	
27SEP17	S	8	PD27DEC17	10350.00	11662.83	USD	-63,015.84	
27SEP17	S	13	PD27DEC17	10560.00	11662.83	USD	-86,020.74	
27SEP17	S	7	PD27DEC17	10300.00	11662.83	USD	-57,238.86	
27SEP17	S	1	PD27DEC17	10280.00	11662.83	USD	-8,296.98	
27SEP17	B	1	PD27DEC17	10295.00	11662.83	USD	8,206.98	
27SEP17	S	4	PD27DEC17	10270.00	11662.83	USD	-33,427.92	
27SEP17	S	1	PD27DEC17	10265.00	11662.83	USD	-8,386.98	
27SEP17	S	7	PD27DEC17	10260.00	11662.83	USD	-58,918.86	
27SEP17	S	3	PD27DEC17	10250.00	11662.83	USD	-25,430.94	
27SEP17	B	1	PD27DEC17	10215.00	11662.83	USD	8,686.98	
27SEP17	B	6	PD27DEC17	10225.00	11662.83	USD	51,761.88	
27SEP17	B	11	PD27DEC17	10230.00	11662.83	USD	94,566.78	
27SEP17	S	1	PD27DEC17	10235.00	11662.83	USD	-8,566.98	
27SEP17	S	4	PD27DEC17	10255.00	11662.83	USD	-33,787.92	
27SEP17	S	5	PD27DEC17	10275.00	11662.83	USD	-41,634.90	
27SEP17	B	10	PD27DEC17	10380.00	11662.83	USD	76,969.80	
27SEP17	B	20	PD27DEC17	10360.00	11662.83	USD	156,339.60	
27SEP17	B	24	PD27DEC17	10365.00	11662.83	USD	186,887.52	
27SEP17	S	10	PD27DEC17	10390.00	11662.83	USD	-76,369.80	
27SEP17	S	1	PD27DEC17	10395.00	11662.83	USD	-7,606.98	
27SEP17	B	12	PD27DEC17	10390.00	11662.83	USD	91,643.76	
27SEP17	B	7	PD27DEC17	10375.00	11662.83	USD	54,088.86	
27SEP17	B	11	PD27DEC17	10370.00	11662.83	USD	85,326.78	
27SEP17	B	2	PD27DEC17	10355.00	11662.83	USD	15,693.96	
27SEP17	B	1	PD27DEC17	10340.00	11662.83	USD	7,936.98	
27SEP17	S	12	PD27DEC17	10345.00	11662.83	USD	-94,883.76	
27SEP17	B	1	PD27DEC17	10325.00	11662.83	USD	8,026.98	
27SEP17	B	8	PD27DEC17	10320.00	11662.83	USD	64,455.84	
27SEP17	S	14	PD27DEC17	10285.00	11662.83	USD	-115,737.72	
27SEP17	S	2	PD27DEC17	10290.00	11662.83	USD	-16,473.96	
27SEP17	S	9	PD27DEC17	10295.00	11662.83	USD	-73,862.82	
27SEP17	S	3	PD27DEC17	10325.00	11662.83	USD	-24,080.94	
27SEP17	S	3	PD27DEC17	10320.00	11662.83	USD	-24,170.94	
27SEP17	S	1	PD27DEC17	10340.00	11662.83	USD	-7,936.98	

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
27SEP17	S	1	PD27DEC17	10255.00	11662.83	USD	-8,446.98	
27SEP17	B	1	PD27DEC17	10345.00	11662.83	USD	7,906.98	
12OCT17	B	3	PD27DEC17	11384.87	11662.83	USD	5,003.28	
13OCT17	B	9	PD27DEC17	11663.83	11662.83	USD	-54.00	
	NET	-49 AVG	BGHT 10482.28				-298,066.50	0.00
			SOLD 10502.25					
28SEP17	B	2	PD28DEC17	10310.00	11663.59	USD	16,243.08	
28SEP17	S	6	PD28DEC17	10295.00	11663.59	USD	-49,269.24	
28SEP17	B	2	PD28DEC17	10360.00	11663.59	USD	15,643.08	
28SEP17	S	3	PD28DEC17	10300.00	11663.59	USD	-24,544.62	
28SEP17	S	5	PD28DEC17	10250.00	11663.59	USD	-42,407.70	
28SEP17	S	2	PD28DEC17	10245.00	11663.59	USD	-17,023.08	
28SEP17	S	8	PD28DEC17	10255.00	11663.59	USD	-67,612.32	
28SEP17	S	9	PD28DEC17	10260.00	11663.59	USD	-75,793.86	
28SEP17	S	8	PD28DEC17	10265.00	11663.59	USD	-67,132.32	
28SEP17	S	10	PD28DEC17	10270.00	11663.59	USD	-83,615.40	
28SEP17	S	4	PD28DEC17	10275.00	11663.59	USD	-33,326.16	
28SEP17	B	2	PD28DEC17	10335.00	11663.59	USD	15,943.08	
28SEP17	B	1	PD28DEC17	10280.00	11663.59	USD	8,301.54	
28SEP17	S	1	PD28DEC17	10305.00	11663.59	USD	-8,151.54	
28SEP17	B	2	PD28DEC17	10260.00	11663.59	USD	16,843.08	
28SEP17	B	8	PD28DEC17	10270.00	11663.59	USD	66,892.32	
28SEP17	B	2	PD28DEC17	10265.00	11663.59	USD	16,783.08	
28SEP17	B	7	PD28DEC17	10275.00	11663.59	USD	58,320.78	
28SEP17	B	5	PD28DEC17	10280.00	11663.59	USD	41,507.70	
28SEP17	B	6	PD28DEC17	10295.00	11663.59	USD	49,269.24	
28SEP17	B	15	PD28DEC17	10285.00	11663.59	USD	124,073.10	
28SEP17	S	6	PD28DEC17	10285.00	11663.59	USD	-49,629.24	
28SEP17	S	10	PD28DEC17	10280.00	11663.59	USD	-83,015.40	
28SEP17	S	9	PD28DEC17	10290.00	11663.59	USD	-74,173.86	
28SEP17	B	9	PD28DEC17	10290.00	11663.59	USD	74,173.86	
28SEP17	B	3	PD28DEC17	10300.00	11663.59	USD	24,544.62	
28SEP17	B	1	PD28DEC17	10305.00	11663.59	USD	8,151.54	
28SEP17	S	3	PD28DEC17	10330.00	11663.59	USD	-24,004.62	
28SEP17	S	12	PD28DEC17	10335.00	11663.59	USD	-95,658.48	
28SEP17	B	5	PD28DEC17	10345.00	11663.59	USD	39,557.70	
28SEP17	S	2	PD28DEC17	10360.00	11663.59	USD	-15,643.08	
28SEP17	S	2	PD28DEC17	10370.00	11663.59	USD	-15,523.08	
28SEP17	S	1	PD28DEC17	10380.00	11663.59	USD	-7,701.54	
28SEP17	S	3	PD28DEC17	10390.00	11663.59	USD	-22,924.62	
28SEP17	S	2	PD28DEC17	10365.00	11663.59	USD	-15,583.08	
28SEP17	S	3	PD28DEC17	10345.00	11663.59	USD	-23,734.62	
28SEP17	B	2	PD28DEC17	10315.00	11663.59	USD	16,183.08	
28SEP17	B	3	PD28DEC17	10320.00	11663.59	USD	24,184.62	
28SEP17	S	1	PD28DEC17	10310.00	11663.59	USD	-8,121.54	
28SEP17	B	26	PD28DEC17	10340.00	11663.59	USD	206,480.04	
28SEP17	B	17	PD28DEC17	10335.00	11663.59	USD	135,516.18	
28SEP17	B	14	PD28DEC17	10330.00	11663.59	USD	112,021.56	
28SEP17	B	7	PD28DEC17	10325.00	11663.59	USD	56,220.78	
28SEP17	B	5	PD28DEC17	10410.00	11663.59	USD	37,607.70	
28SEP17	S	1	PD28DEC17	10445.00	11663.59	USD	-7,311.54	
28SEP17	S	1	PD28DEC17	10450.00	11663.59	USD	-7,281.54	
28SEP17	B	1	PD28DEC17	10285.00	11663.59	USD	8,271.54	
28SEP17	B	2	PD28DEC17	10295.00	11663.59	USD	16,423.08	
28SEP17	B	1	PD28DEC17	10340.00	11663.59	USD	7,941.54	
28SEP17	B	1	PD28DEC17	10320.00	11663.59	USD	8,061.54	
28SEP17	B	5	PD28DEC17	10455.00	11663.59	USD	36,257.70	
28SEP17	S	5	PD28DEC17	10460.00	11663.59	USD	-36,107.70	

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>								
28SEP17	S	3	PD28DEC17		10490.00	11663.59	USD	-21,124.62	
28SEP17	S	2	PD28DEC17		10495.00	11663.59	USD	-14,023.08	
28SEP17	B	2	PD28DEC17		10505.00	11663.59	USD	13,903.08	
28SEP17	B	1	PD28DEC17		10465.00	11663.59	USD	7,191.54	
28SEP17	B	1	PD28DEC17		10440.00	11663.59	USD	7,341.54	
28SEP17	B	8	PD28DEC17		10445.00	11663.59	USD	58,492.32	
28SEP17	S	10	PD28DEC17		10465.00	11663.59	USD	-71,915.40	
28SEP17	S	8	PD28DEC17		10470.00	11663.59	USD	-57,292.32	
28SEP17	B	2	PD28DEC17		10485.00	11663.59	USD	14,143.08	
28SEP17	S	10	PD28DEC17		10455.00	11663.59	USD	-72,515.40	
28SEP17	S	9	PD28DEC17		10475.00	11663.59	USD	-64,183.86	
28SEP17	B	3	PD28DEC17		10470.00	11663.59	USD	21,484.62	
28SEP17	S	5	PD28DEC17		10480.00	11663.59	USD	-35,507.70	
28SEP17	B	4	PD28DEC17		10480.00	11663.59	USD	28,406.16	
28SEP17	B	8	PD28DEC17		10475.00	11663.59	USD	57,052.32	
28SEP17	S	3	PD28DEC17		10485.00	11663.59	USD	-21,214.62	
28SEP17	B	1	PD28DEC17		10460.00	11663.59	USD	7,221.54	
28SEP17	B	2	PD28DEC17		10450.00	11663.59	USD	14,563.08	
28SEP17	S	2	PD28DEC17		10485.00	11663.59	USD	-14,143.08	
13OCT17	S	2	PD28DEC17		11663.59	11663.59	USD	0.00	
13OCT17	B	5	PD28DEC17		11664.59	11663.59	USD	-30.00	
	NET	+20 AVG BGHT 10379.83	SOLD 10370.01					143,976.18	0.00
29SEP17	S	3	PD29DEC17		10515.00	11664.35	USD	-20,688.30	
29SEP17	S	2	PD29DEC17		10485.00	11664.35	USD	-14,152.20	
29SEP17	S	10	PD29DEC17		10480.00	11664.35	USD	-71,061.00	
29SEP17	B	1	PD29DEC17		10460.00	11664.35	USD	7,226.10	
29SEP17	B	3	PD29DEC17		10455.00	11664.35	USD	21,768.30	
29SEP17	B	4	PD29DEC17		10450.00	11664.35	USD	29,144.40	
29SEP17	B	5	PD29DEC17		10445.00	11664.35	USD	36,580.50	
29SEP17	B	1	PD29DEC17		10440.00	11664.35	USD	7,346.10	
29SEP17	B	1	PD29DEC17		10425.00	11664.35	USD	7,436.10	
29SEP17	B	1	PD29DEC17		10420.00	11664.35	USD	7,466.10	
29SEP17	S	2	PD29DEC17		10385.00	11664.35	USD	-15,352.20	
29SEP17	S	1	PD29DEC17		10380.00	11664.35	USD	-7,706.10	
29SEP17	B	1	PD29DEC17		10375.00	11664.35	USD	7,736.10	
29SEP17	S	1	PD29DEC17		10405.00	11664.35	USD	-7,556.10	
29SEP17	B	7	PD29DEC17		10435.00	11664.35	USD	51,632.70	
29SEP17	B	3	PD29DEC17		10430.00	11664.35	USD	22,218.30	
29SEP17	B	1	PD29DEC17		10465.00	11664.35	USD	7,196.10	
29SEP17	B	1	PD29DEC17		10485.00	11664.35	USD	7,076.10	
29SEP17	B	9	PD29DEC17		10475.00	11664.35	USD	64,224.90	
29SEP17	B	1	PD29DEC17		10490.00	11664.35	USD	7,046.10	
29SEP17	B	9	PD29DEC17		10480.00	11664.35	USD	63,954.90	
29SEP17	S	2	PD29DEC17		10490.00	11664.35	USD	-14,092.20	
29SEP17	S	2	PD29DEC17		10510.00	11664.35	USD	-13,852.20	
29SEP17	S	2	PD29DEC17		10505.00	11664.35	USD	-13,912.20	
29SEP17	S	3	PD29DEC17		10495.00	11664.35	USD	-21,048.30	
29SEP17	S	9	PD29DEC17		10500.00	11664.35	USD	-62,874.90	
29SEP17	B	5	PD29DEC17		10470.00	11664.35	USD	35,830.50	
29SEP17	S	18	PD29DEC17		10475.00	11664.35	USD	-128,449.80	
29SEP17	S	7	PD29DEC17		10470.00	11664.35	USD	-50,162.70	
29SEP17	S	1	PD29DEC17		10480.00	11664.35	USD	-7,106.10	
29SEP17	S	1	PD29DEC17		10475.00	11664.35	USD	-7,136.10	
29SEP17	B	4	PD29DEC17		10440.00	11664.35	USD	29,384.40	
29SEP17	S	1	PD29DEC17		10490.00	11664.35	USD	-7,046.10	
29SEP17	S	1	PD29DEC17		10470.00	11664.35	USD	-7,166.10	
29SEP17	S	1	PD29DEC17		10805.00	11664.35	USD	-5,156.10	

OPEN POSITION STATEMENT



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SAP094AY

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
12OCT17	B	2	PD29DEC17	11386.26	11664.35	USD	3,337.08	
13OCT17	S	1	PD29DEC17	11664.35	11664.35	USD	0.00	
	NET	-9 AVG	BGHT 10487.50				-57,913.92	0.00
			SOLD 10501.31					
03OCT17	S	1	PD03JAN18	10660.00	11668.15	USD	-6,048.90	
	NET	-1 AVG	SOLD 10660.00				-6,048.90	0.00
05OCT17	B	1	PD05JAN18	10545.00	11669.67	USD	6,748.02	
05OCT17	B	2	PD05JAN18	10620.00	11669.67	USD	12,596.04	
06OCT17	S	3	PD05JAN18	10650.00	11669.67	USD	-18,354.06	
06OCT17	S	1	PD05JAN18	10560.00	11669.67	USD	-6,658.02	
06OCT17	S	1	PD05JAN18	10555.00	11669.67	USD	-6,688.02	
06OCT17	S	1	PD05JAN18	10565.00	11669.67	USD	-6,628.02	
06OCT17	S	1	PD05JAN18	10590.00	11669.67	USD	-6,478.02	
12OCT17	B	1	PD05JAN18	11391.13	11669.67	USD	1,671.24	
	NET	-3 AVG	BGHT 10794.03				-23,790.84	0.00
			SOLD 10602.86					
09OCT17	S	1	PD09JAN18	10710.00	11672.72	USD	-5,776.32	
09OCT17	B	1	PD09JAN18	10755.00	11672.72	USD	5,506.32	
09OCT17	B	1	PD09JAN18	10775.00	11672.72	USD	5,386.32	
09OCT17	B	5	PD09JAN18	10750.00	11672.72	USD	27,681.60	
09OCT17	B	1	PD09JAN18	10625.00	11672.72	USD	6,286.32	
09OCT17	S	1	PD09JAN18	10705.00	11672.72	USD	-5,806.32	
09OCT17	B	3	PD09JAN18	10665.00	11672.72	USD	18,138.96	
09OCT17	B	2	PD09JAN18	10710.00	11672.72	USD	11,552.64	
09OCT17	S	6	PD09JAN18	10605.00	11672.72	USD	-38,437.92	
09OCT17	B	2	PD09JAN18	10575.00	11672.72	USD	13,172.64	
09OCT17	S	2	PD09JAN18	10610.00	11672.72	USD	-12,752.64	
09OCT17	B	2	PD09JAN18	10725.00	11672.72	USD	11,372.64	
09OCT17	B	1	PD09JAN18	10600.00	11672.72	USD	6,436.32	
09OCT17	S	1	PD09JAN18	10730.00	11672.72	USD	-5,656.32	
09OCT17	S	2	PD09JAN18	10620.00	11672.72	USD	-12,632.64	
09OCT17	B	2	PD09JAN18	10800.00	11672.72	USD	10,472.64	
09OCT17	B	1	PD09JAN18	10795.00	11672.72	USD	5,266.32	
09OCT17	B	1	PD09JAN18	10590.00	11672.72	USD	6,496.32	
09OCT17	B	10	PD09JAN18	10730.00	11672.72	USD	56,563.20	
09OCT17	S	4	PD09JAN18	10600.00	11672.72	USD	-25,745.28	
09OCT17	B	1	PD09JAN18	10685.00	11672.72	USD	5,926.32	
09OCT17	B	2	PD09JAN18	10585.00	11672.72	USD	13,052.64	
09OCT17	B	10	PD09JAN18	10580.00	11672.72	USD	65,563.20	
09OCT17	B	8	PD09JAN18	10715.00	11672.72	USD	45,970.56	
09OCT17	B	3	PD09JAN18	10615.00	11672.72	USD	19,038.96	
09OCT17	B	1	PD09JAN18	10605.00	11672.72	USD	6,406.32	
09OCT17	B	4	PD09JAN18	10610.00	11672.72	USD	25,505.28	
09OCT17	B	8	PD09JAN18	10745.00	11672.72	USD	44,530.56	
09OCT17	B	2	PD09JAN18	10790.00	11672.72	USD	10,592.64	
09OCT17	B	5	PD09JAN18	10735.00	11672.72	USD	28,131.60	
09OCT17	B	7	PD09JAN18	10720.00	11672.72	USD	40,014.24	
09OCT17	S	8	PD09JAN18	10735.00	11672.72	USD	-45,010.56	
09OCT17	B	23	PD09JAN18	10740.00	11672.72	USD	128,715.36	
09OCT17	S	9	PD09JAN18	10770.00	11672.72	USD	-48,746.88	
09OCT17	S	2	PD09JAN18	10775.00	11672.72	USD	-10,772.64	
09OCT17	B	5	PD09JAN18	10770.00	11672.72	USD	27,081.60	
09OCT17	B	2	PD09JAN18	10765.00	11672.72	USD	10,892.64	
09OCT17	B	2	PD09JAN18	10785.00	11672.72	USD	10,652.64	
09OCT17	S	4	PD09JAN18	10790.00	11672.72	USD	-21,185.28	
09OCT17	B	2	PD09JAN18	10780.00	11672.72	USD	10,712.64	
09OCT17	B	1	PD09JAN18	10775.00	11672.72	USD	5,386.32	
09OCT17	B	3	PD09JAN18	10760.00	11672.72	USD	16,428.96	

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
09OCT17	B	1	PD09JAN18	10765.00	11672.72	USD	5,446.32	
09OCT17	S	1	PD09JAN18	10710.00	11672.72	USD	-5,776.32	
09OCT17	B	1	PD09JAN18	10735.00	11672.72	USD	5,626.32	
09OCT17	S	2	PD09JAN18	10735.00	11672.72	USD	-11,252.64	
09OCT17	B	4	PD09JAN18	10795.00	11672.72	USD	21,065.28	
09OCT17	B	1	PD09JAN18	10785.00	11672.72	USD	5,326.32	
09OCT17	S	2	PD09JAN18	10845.00	11672.72	USD	-9,932.64	
09OCT17	S	1	PD09JAN18	10835.00	11672.72	USD	-5,026.32	
09OCT17	S	2	PD09JAN18	10840.00	11672.72	USD	-9,992.64	
09OCT17	S	3	PD09JAN18	10830.00	11672.72	USD	-15,168.96	
09OCT17	S	2	PD09JAN18	10820.00	11672.72	USD	-10,232.64	
09OCT17	S	2	PD09JAN18	10805.00	11672.72	USD	-10,412.64	
09OCT17	S	2	PD09JAN18	10795.00	11672.72	USD	-10,532.64	
09OCT17	S	3	PD09JAN18	10780.00	11672.72	USD	-16,068.96	
09OCT17	S	5	PD09JAN18	10760.00	11672.72	USD	-27,381.60	
09OCT17	S	8	PD09JAN18	10765.00	11672.72	USD	-43,570.56	
09OCT17	S	5	PD09JAN18	10785.00	11672.72	USD	-26,631.60	
09OCT17	B	1	PD09JAN18	10860.00	11672.72	USD	4,876.32	
09OCT17	B	1	PD09JAN18	10865.00	11672.72	USD	4,846.32	
09OCT17	B	3	PD09JAN18	10870.00	11672.72	USD	14,448.96	
09OCT17	S	1	PD09JAN18	10875.00	11672.72	USD	-4,786.32	
09OCT17	S	5	PD09JAN18	10860.00	11672.72	USD	-24,381.60	
09OCT17	S	2	PD09JAN18	10855.00	11672.72	USD	-9,812.64	
09OCT17	S	4	PD09JAN18	10865.00	11672.72	USD	-19,385.28	
09OCT17	S	8	PD09JAN18	10900.00	11672.72	USD	-37,090.56	
09OCT17	S	2	PD09JAN18	10890.00	11672.72	USD	-9,392.64	
09OCT17	S	12	PD09JAN18	10895.00	11672.72	USD	-55,995.84	
09OCT17	S	5	PD09JAN18	10910.00	11672.72	USD	-22,881.60	
09OCT17	S	10	PD09JAN18	10905.00	11672.72	USD	-46,063.20	
09OCT17	S	1	PD09JAN18	10925.00	11672.72	USD	-4,486.32	
09OCT17	S	4	PD09JAN18	10920.00	11672.72	USD	-18,065.28	
09OCT17	S	1	PD09JAN18	10930.00	11672.72	USD	-4,456.32	
09OCT17	S	17	PD09JAN18	10915.00	11672.72	USD	-77,287.44	
09OCT17	S	2	PD09JAN18	10910.00	11672.72	USD	-9,152.64	
09OCT17	B	4	PD09JAN18	10890.00	11672.72	USD	18,785.28	
09OCT17	B	4	PD09JAN18	10885.00	11672.72	USD	18,905.28	
09OCT17	B	1	PD09JAN18	10895.00	11672.72	USD	4,666.32	
09OCT17	B	3	PD09JAN18	10900.00	11672.72	USD	13,908.96	
09OCT17	B	5	PD09JAN18	10945.00	11672.72	USD	21,831.60	
09OCT17	S	2	PD09JAN18	10945.00	11672.72	USD	-8,732.64	
09OCT17	S	3	PD09JAN18	10960.00	11672.72	USD	-12,828.96	
09OCT17	S	3	PD09JAN18	10965.00	11672.72	USD	-12,738.96	
09OCT17	S	1	PD09JAN18	10975.00	11672.72	USD	-4,186.32	
09OCT17	S	2	PD09JAN18	10995.00	11672.72	USD	-8,132.64	
09OCT17	S	10	PD09JAN18	10990.00	11672.72	USD	-40,963.20	
09OCT17	S	12	PD09JAN18	10985.00	11672.72	USD	-49,515.84	
09OCT17	B	1	PD09JAN18	10970.00	11672.72	USD	4,216.32	
09OCT17	S	5	PD09JAN18	10970.00	11672.72	USD	-21,081.60	
09OCT17	B	1	PD09JAN18	10975.00	11672.72	USD	4,186.32	
09OCT17	B	5	PD09JAN18	10980.00	11672.72	USD	20,781.60	
09OCT17	S	3	PD09JAN18	10950.00	11672.72	USD	-13,008.96	
09OCT17	S	1	PD09JAN18	10965.00	11672.72	USD	-4,246.32	
09OCT17	S	3	PD09JAN18	10975.00	11672.72	USD	-12,558.96	
12OCT17	B	6	PD09JAN18	11393.91	11672.72	USD	10,037.16	
13OCT17	B	6	PD09JAN18	11673.72	11672.72	USD	-36.00	
	NET	-28 AVG BGHT 10806.99	SOLD 10855.69				-87,881.64	0.00
10OCT17	B	19	PD10JAN18	10965.00	11673.48	USD	80,766.72	

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10OCT17	B	27	PD10JAN18	10960.00	11673.48	USD	115,583.76	
10OCT17	B	4	PD10JAN18	10955.00	11673.48	USD	17,243.52	
10OCT17	B	14	PD10JAN18	10930.00	11673.48	USD	62,452.32	
10OCT17	S	1	PD10JAN18	10960.00	11673.48	USD	-4,280.88	
10OCT17	B	3	PD10JAN18	10940.00	11673.48	USD	13,202.64	
10OCT17	B	4	PD10JAN18	10935.00	11673.48	USD	17,723.52	
10OCT17	S	2	PD10JAN18	10890.00	11673.48	USD	-9,401.76	
10OCT17	B	3	PD10JAN18	10905.00	11673.48	USD	13,832.64	
10OCT17	S	1	PD10JAN18	10990.00	11673.48	USD	-4,100.88	
10OCT17	S	1	PD10JAN18	10980.00	11673.48	USD	-4,160.88	
10OCT17	S	5	PD10JAN18	10955.00	11673.48	USD	-21,554.40	
10OCT17	B	8	PD10JAN18	10945.00	11673.48	USD	34,967.04	
10OCT17	B	20	PD10JAN18	10950.00	11673.48	USD	86,817.60	
10OCT17	S	4	PD10JAN18	10950.00	11673.48	USD	-17,363.52	
10OCT17	S	1	PD10JAN18	10970.00	11673.48	USD	-4,220.88	
10OCT17	S	7	PD10JAN18	10965.00	11673.48	USD	-29,756.16	
10OCT17	B	6	PD10JAN18	10970.00	11673.48	USD	25,325.28	
10OCT17	S	1	PD10JAN18	10920.00	11673.48	USD	-4,520.88	
10OCT17	S	6	PD10JAN18	10900.00	11673.48	USD	-27,845.28	
10OCT17	S	10	PD10JAN18	10905.00	11673.48	USD	-46,108.80	
10OCT17	S	6	PD10JAN18	10885.00	11673.48	USD	-28,385.28	
10OCT17	S	4	PD10JAN18	10830.00	11673.48	USD	-20,243.52	
10OCT17	S	3	PD10JAN18	10865.00	11673.48	USD	-14,552.64	
10OCT17	S	2	PD10JAN18	10870.00	11673.48	USD	-9,641.76	
10OCT17	B	2	PD10JAN18	10885.00	11673.48	USD	9,461.76	
10OCT17	B	7	PD10JAN18	10925.00	11673.48	USD	31,436.16	
10OCT17	B	2	PD10JAN18	10910.00	11673.48	USD	9,161.76	
10OCT17	B	10	PD10JAN18	10975.00	11673.48	USD	41,908.80	
10OCT17	B	3	PD10JAN18	10980.00	11673.48	USD	12,482.64	
10OCT17	B	1	PD10JAN18	10995.00	11673.48	USD	4,070.88	
10OCT17	B	2	PD10JAN18	10990.00	11673.48	USD	8,201.76	
10OCT17	B	3	PD10JAN18	10985.00	11673.48	USD	12,392.64	
10OCT17	S	2	PD10JAN18	10985.00	11673.48	USD	-8,261.76	
10OCT17	S	1	PD10JAN18	10875.00	11673.48	USD	-4,790.88	
10OCT17	S	1	PD10JAN18	10850.00	11673.48	USD	-4,940.88	
10OCT17	S	2	PD10JAN18	11025.00	11673.48	USD	-7,781.76	
10OCT17	S	1	PD10JAN18	11015.00	11673.48	USD	-3,950.88	
10OCT17	S	1	PD10JAN18	11020.00	11673.48	USD	-3,920.88	
10OCT17	S	2	PD10JAN18	11040.00	11673.48	USD	-7,601.76	
10OCT17	S	3	PD10JAN18	11050.00	11673.48	USD	-11,222.64	
10OCT17	B	1	PD10JAN18	11015.00	11673.48	USD	3,950.88	
10OCT17	B	2	PD10JAN18	11010.00	11673.48	USD	7,961.76	
10OCT17	S	1	PD10JAN18	11035.00	11673.48	USD	-3,830.88	
10OCT17	S	9	PD10JAN18	11045.00	11673.48	USD	-33,937.92	
	NET	+64 AVG BGHT	10953.69	SOLD	10945.39		272,566.32	0.00
11OCT17	B	1	PD11JAN18	11010.00	11674.24	USD	3,985.44	
11OCT17	B	1	PD11JAN18	11015.00	11674.24	USD	3,955.44	
11OCT17	B	1	PD11JAN18	11000.00	11674.24	USD	4,045.44	
11OCT17	B	4	PD11JAN18	10995.00	11674.24	USD	16,301.76	
11OCT17	B	1	PD11JAN18	10975.00	11674.24	USD	4,195.44	
11OCT17	S	1	PD11JAN18	10935.00	11674.24	USD	-4,435.44	
11OCT17	S	2	PD11JAN18	10930.00	11674.24	USD	-8,930.88	
11OCT17	B	1	PD11JAN18	10955.00	11674.24	USD	4,315.44	
11OCT17	B	2	PD11JAN18	10970.00	11674.24	USD	8,450.88	
11OCT17	S	2	PD11JAN18	11025.00	11674.24	USD	-7,790.88	
11OCT17	S	1	PD11JAN18	11030.00	11674.24	USD	-3,865.44	
11OCT17	S	8	PD11JAN18	11000.00	11674.24	USD	-32,363.52	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP094AY

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
11OCT17	S	4	PD11JAN18	11005.00	11674.24	USD	-16,061.76	
11OCT17	B	11	PD11JAN18	11005.00	11674.24	USD	44,169.84	
11OCT17	S	6	PD11JAN18	11010.00	11674.24	USD	-23,912.64	
11OCT17	S	5	PD11JAN18	11020.00	11674.24	USD	-19,627.20	
11OCT17	S	13	PD11JAN18	11015.00	11674.24	USD	-51,420.72	
11OCT17	S	2	PD11JAN18	10990.00	11674.24	USD	-8,210.88	
11OCT17	S	3	PD11JAN18	10970.00	11674.24	USD	-12,676.32	
11OCT17	S	3	PD11JAN18	10975.00	11674.24	USD	-12,586.32	
11OCT17	S	1	PD11JAN18	10980.00	11674.24	USD	-4,165.44	
11OCT17	S	1	PD11JAN18	10985.00	11674.24	USD	-4,135.44	
11OCT17	S	14	PD11JAN18	10965.00	11674.24	USD	-59,576.16	
11OCT17	S	1	PD11JAN18	10960.00	11674.24	USD	-4,285.44	
11OCT17	S	4	PD11JAN18	10950.00	11674.24	USD	-17,381.76	
11OCT17	S	8	PD11JAN18	10940.00	11674.24	USD	-35,243.52	
11OCT17	S	6	PD11JAN18	10895.00	11674.24	USD	-28,052.64	
11OCT17	S	7	PD11JAN18	10900.00	11674.24	USD	-32,518.08	
11OCT17	S	5	PD11JAN18	10905.00	11674.24	USD	-23,077.20	
11OCT17	S	1	PD11JAN18	10910.00	11674.24	USD	-4,585.44	
11OCT17	S	4	PD11JAN18	10945.00	11674.24	USD	-17,501.76	
11OCT17	B	2	PD11JAN18	11030.00	11674.24	USD	7,730.88	
11OCT17	B	1	PD11JAN18	11090.00	11674.24	USD	3,505.44	
11OCT17	B	14	PD11JAN18	11065.00	11674.24	USD	51,176.16	
11OCT17	B	1	PD11JAN18	11070.00	11674.24	USD	3,625.44	
11OCT17	B	8	PD11JAN18	11075.00	11674.24	USD	28,763.52	
11OCT17	B	5	PD11JAN18	11080.00	11674.24	USD	17,827.20	
11OCT17	B	5	PD11JAN18	11100.00	11674.24	USD	17,227.20	
11OCT17	B	6	PD11JAN18	11085.00	11674.24	USD	21,212.64	
11OCT17	S	1	PD11JAN18	11110.00	11674.24	USD	-3,385.44	
11OCT17	B	3	PD11JAN18	11115.00	11674.24	USD	10,066.32	
11OCT17	B	1	PD11JAN18	11125.00	11674.24	USD	3,295.44	
11OCT17	B	10	PD11JAN18	11145.00	11674.24	USD	31,754.40	
11OCT17	B	2	PD11JAN18	11150.00	11674.24	USD	6,290.88	
11OCT17	S	1	PD11JAN18	11165.00	11674.24	USD	-3,055.44	
11OCT17	S	3	PD11JAN18	11160.00	11674.24	USD	-9,256.32	
11OCT17	S	3	PD11JAN18	11155.00	11674.24	USD	-9,346.32	
11OCT17	S	1	PD11JAN18	11140.00	11674.24	USD	-3,205.44	
11OCT17	S	6	PD11JAN18	11135.00	11674.24	USD	-19,412.64	
11OCT17	S	3	PD11JAN18	11125.00	11674.24	USD	-9,886.32	
11OCT17	S	1	PD11JAN18	11115.00	11674.24	USD	-3,355.44	
11OCT17	S	1	PD11JAN18	11100.00	11674.24	USD	-3,445.44	
11OCT17	S	5	PD11JAN18	11105.00	11674.24	USD	-17,077.20	
11OCT17	S	4	PD11JAN18	11120.00	11674.24	USD	-13,301.76	
11OCT17	S	2	PD11JAN18	11000.00	11674.24	USD	-8,090.88	
11OCT17	B	1	PD11JAN18	11000.00	11674.24	USD	4,045.44	
11OCT17	S	2	PD11JAN18	11010.00	11674.24	USD	-7,970.88	
11OCT17	B	5	PD11JAN18	11010.00	11674.24	USD	19,927.20	
11OCT17	B	5	PD11JAN18	11015.00	11674.24	USD	19,777.20	
11OCT17	S	2	PD11JAN18	11115.00	11674.24	USD	-6,710.88	
11OCT17	S	4	PD11JAN18	11015.00	11674.24	USD	-15,821.76	
11OCT17	B	5	PD11JAN18	11195.00	11674.24	USD	14,377.20	
11OCT17	B	3	PD11JAN18	11205.00	11674.24	USD	8,446.32	
11OCT17	S	1	PD11JAN18	11245.00	11674.24	USD	-2,575.44	
11OCT17	S	3	PD11JAN18	11210.00	11674.24	USD	-8,356.32	
11OCT17	B	3	PD11JAN18	11190.00	11674.24	USD	8,716.32	
11OCT17	S	5	PD11JAN18	11230.00	11674.24	USD	-13,327.20	
11OCT17	S	5	PD11JAN18	11235.00	11674.24	USD	-13,177.20	
11OCT17	S	13	PD11JAN18	11215.00	11674.24	USD	-35,820.72	

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
11OCT17	B	4	PD11JAN18	11170.00	11674.24	USD	12,101.76	
11OCT17	B	1	PD11JAN18	11165.00	11674.24	USD	3,055.44	
11OCT17	B	1	PD11JAN18	11185.00	11674.24	USD	2,935.44	
11OCT17	B	8	PD11JAN18	11180.00	11674.24	USD	23,723.52	
11OCT17	B	2	PD11JAN18	11175.00	11674.24	USD	5,990.88	
11OCT17	B	1	PD11JAN18	11160.00	11674.24	USD	3,085.44	
11OCT17	S	1	PD11JAN18	11200.00	11674.24	USD	-2,845.44	
11OCT17	S	1	PD11JAN18	11195.00	11674.24	USD	-2,875.44	
11OCT17	S	5	PD11JAN18	11205.00	11674.24	USD	-14,077.20	
11OCT17	S	1	PD11JAN18	11180.00	11674.24	USD	-2,965.44	
11OCT17	S	1	PD11JAN18	11185.00	11674.24	USD	-2,935.44	
12OCT17	B	2	PD11JAN18	11395.30	11674.24	USD	3,347.28	
13OCT17	B	7	PD11JAN18	11675.24	11674.24	USD	-42.00	
	NET	-49 AVG BGHT 11125.56	SOLD 11048.36				-243,300.24	0.00
12OCT17	B	2	PD12JAN18	11155.00	11675.00	USD	6,240.00	
12OCT17	B	2	PD12JAN18	11150.00	11675.00	USD	6,300.00	
12OCT17	S	5	PD12JAN18	11145.00	11675.00	USD	-15,900.00	
12OCT17	S	1	PD12JAN18	11150.00	11675.00	USD	-3,150.00	
12OCT17	S	1	PD12JAN18	11170.00	11675.00	USD	-3,030.00	
12OCT17	B	2	PD12JAN18	11175.00	11675.00	USD	6,000.00	
12OCT17	B	5	PD12JAN18	11180.00	11675.00	USD	14,850.00	
12OCT17	S	1	PD12JAN18	11180.00	11675.00	USD	-2,970.00	
12OCT17	B	3	PD12JAN18	11170.00	11675.00	USD	9,090.00	
12OCT17	B	9	PD12JAN18	11185.00	11675.00	USD	26,460.00	
12OCT17	B	2	PD12JAN18	11200.00	11675.00	USD	5,700.00	
12OCT17	B	4	PD12JAN18	11190.00	11675.00	USD	11,640.00	
12OCT17	B	5	PD12JAN18	11225.00	11675.00	USD	13,500.00	
12OCT17	B	4	PD12JAN18	11220.00	11675.00	USD	10,920.00	
12OCT17	B	1	PD12JAN18	11300.00	11675.00	USD	2,250.00	
12OCT17	B	2	PD12JAN18	11290.00	11675.00	USD	4,620.00	
12OCT17	S	2	PD12JAN18	11275.00	11675.00	USD	-4,800.00	
12OCT17	B	1	PD12JAN18	11275.00	11675.00	USD	2,400.00	
12OCT17	B	1	PD12JAN18	11280.00	11675.00	USD	2,370.00	
12OCT17	B	11	PD12JAN18	11230.00	11675.00	USD	29,370.00	
12OCT17	B	1	PD12JAN18	11180.00	11675.00	USD	2,970.00	
12OCT17	B	3	PD12JAN18	11245.00	11675.00	USD	7,740.00	
12OCT17	B	7	PD12JAN18	11240.00	11675.00	USD	18,270.00	
12OCT17	S	1	PD12JAN18	11240.00	11675.00	USD	-2,610.00	
12OCT17	B	9	PD12JAN18	11235.00	11675.00	USD	23,760.00	
12OCT17	B	4	PD12JAN18	11260.00	11675.00	USD	9,960.00	
12OCT17	B	7	PD12JAN18	11250.00	11675.00	USD	17,850.00	
12OCT17	B	13	PD12JAN18	11255.00	11675.00	USD	32,760.00	
12OCT17	S	1	PD12JAN18	11265.00	11675.00	USD	-2,460.00	
12OCT17	B	2	PD12JAN18	11270.00	11675.00	USD	4,860.00	
12OCT17	S	1	PD12JAN18	11280.00	11675.00	USD	-2,370.00	
12OCT17	S	2	PD12JAN18	11315.00	11675.00	USD	-4,320.00	
12OCT17	S	2	PD12JAN18	11355.00	11675.00	USD	-3,840.00	
12OCT17	B	3	PD12JAN18	11355.00	11675.00	USD	5,760.00	
12OCT17	S	2	PD12JAN18	11335.00	11675.00	USD	-4,080.00	
12OCT17	S	2	PD12JAN18	11400.00	11675.00	USD	-3,300.00	
12OCT17	B	1	PD12JAN18	11255.00	11675.00	USD	2,520.00	
12OCT17	B	7	PD12JAN18	11425.00	11675.00	USD	10,500.00	
12OCT17	B	3	PD12JAN18	11420.00	11675.00	USD	4,590.00	
12OCT17	B	1	PD12JAN18	11405.00	11675.00	USD	1,620.00	
12OCT17	B	9	PD12JAN18	11400.00	11675.00	USD	14,850.00	
12OCT17	B	2	PD12JAN18	11395.00	11675.00	USD	3,360.00	
12OCT17	B	1	PD12JAN18	11385.00	11675.00	USD	1,740.00	

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
12OCT17	B	3	PD12JAN18	11380.00	11675.00	USD	5,310.00	
12OCT17	B	1	PD12JAN18	11365.00	11675.00	USD	1,860.00	
12OCT17	B	5	PD12JAN18	11375.00	11675.00	USD	9,000.00	
12OCT17	B	10	PD12JAN18	11370.00	11675.00	USD	18,300.00	
12OCT17	B	2	PD12JAN18	11360.00	11675.00	USD	3,780.00	
12OCT17	S	6	PD12JAN18	11360.00	11675.00	USD	-11,340.00	
12OCT17	S	1	PD12JAN18	11365.00	11675.00	USD	-1,860.00	
12OCT17	S	2	PD12JAN18	11375.00	11675.00	USD	-3,600.00	
12OCT17	S	3	PD12JAN18	11380.00	11675.00	USD	-5,310.00	
12OCT17	S	6	PD12JAN18	11385.00	11675.00	USD	-10,440.00	
12OCT17	S	1	PD12JAN18	11390.00	11675.00	USD	-1,710.00	
12OCT17	S	1	PD12JAN18	11395.00	11675.00	USD	-1,680.00	
12OCT17	B	2	PD12JAN18	11390.00	11675.00	USD	3,420.00	
12OCT17	S	3	PD12JAN18	11405.00	11675.00	USD	-4,860.00	
13OCT17	S	2	PD12JAN18	11400.00	11675.00	USD	-3,300.00	
13OCT17	B	1	PD12JAN18	11425.00	11675.00	USD	1,500.00	
13OCT17	S	1	PD12JAN18	11415.00	11675.00	USD	-1,560.00	
13OCT17	S	3	PD12JAN18	11420.00	11675.00	USD	-4,590.00	
13OCT17	S	1	PD12JAN18	11405.00	11675.00	USD	-1,620.00	
13OCT17	S	2	PD12JAN18	11435.00	11675.00	USD	-2,880.00	
13OCT17	S	11	PD12JAN18	11440.00	11675.00	USD	-15,510.00	
13OCT17	S	1	PD12JAN18	11475.00	11675.00	USD	-1,200.00	
13OCT17	B	1	PD12JAN18	11465.00	11675.00	USD	1,260.00	
13OCT17	B	10	PD12JAN18	11460.00	11675.00	USD	12,900.00	
13OCT17	B	2	PD12JAN18	11490.00	11675.00	USD	2,220.00	
13OCT17	S	2	PD12JAN18	11480.00	11675.00	USD	-2,340.00	
13OCT17	S	2	PD12JAN18	11485.00	11675.00	USD	-2,280.00	
13OCT17	B	1	PD12JAN18	11520.00	11675.00	USD	930.00	
13OCT17	B	1	PD12JAN18	11525.00	11675.00	USD	900.00	
13OCT17	B	1	PD12JAN18	11530.00	11675.00	USD	870.00	
13OCT17	S	1	PD12JAN18	11555.00	11675.00	USD	-720.00	
13OCT17	S	1	PD12JAN18	11680.00	11675.00	USD	30.00	
13OCT17	S	1	PD12JAN18	11670.00	11675.00	USD	-30.00	
13OCT17	B	1	PD12JAN18	11630.00	11675.00	USD	270.00	
13OCT17	B	14	PD12JAN18	11610.00	11675.00	USD	5,460.00	
13OCT17	B	17	PD12JAN18	11615.00	11675.00	USD	6,120.00	
13OCT17	B	2	PD12JAN18	11600.00	11675.00	USD	900.00	
13OCT17	B	2	PD12JAN18	11595.00	11675.00	USD	960.00	
13OCT17	B	2	PD12JAN18	11590.00	11675.00	USD	1,020.00	
13OCT17	S	1	PD12JAN18	11580.00	11675.00	USD	-570.00	
13OCT17	S	12	PD12JAN18	11620.00	11675.00	USD	-3,960.00	
13OCT17	S	26	PD12JAN18	11640.00	11675.00	USD	-5,460.00	
13OCT17	S	5	PD12JAN18	11665.00	11675.00	USD	-300.00	
13OCT17	S	1	PD12JAN18	11685.00	11675.00	USD	60.00	
13OCT17	S	2	PD12JAN18	11700.00	11675.00	USD	300.00	
13OCT17	S	1	PD12JAN18	11715.00	11675.00	USD	240.00	
13OCT17	S	1	PD12JAN18	11710.00	11675.00	USD	210.00	
13OCT17	S	4	PD12JAN18	11695.00	11675.00	USD	480.00	
13OCT17	S	1	PD12JAN18	11720.00	11675.00	USD	270.00	
13OCT17	S	1	PD12JAN18	11575.00	11675.00	USD	-600.00	
13OCT17	S	17	PD12JAN18	11570.00	11675.00	USD	-10,710.00	
13OCT17	B	1	PD12JAN18	11615.00	11675.00	USD	360.00	
13OCT17	B	3	PD12JAN18	11610.00	11675.00	USD	1,170.00	
13OCT17	S	5	PD12JAN18	11610.00	11675.00	USD	-1,950.00	
13OCT17	S	1	PD12JAN18	11605.00	11675.00	USD	-420.00	
13OCT17	B	2	PD12JAN18	11600.00	11675.00	USD	900.00	
13OCT17	S	3	PD12JAN18	11600.00	11675.00	USD	-1,350.00	

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
13OCT17	S	3	PD12JAN18	11590.00	11675.00	USD	-1,530.00	
13OCT17	S	2	PD12JAN18	11595.00	11675.00	USD	-960.00	
13OCT17	S	2	PD12JAN18	11615.00	11675.00	USD	-720.00	
13OCT17	S	2	PD12JAN18	11635.00	11675.00	USD	-480.00	
13OCT17	S	5	PD12JAN18	11640.00	11675.00	USD	-1,050.00	
13OCT17	S	3	PD12JAN18	11645.00	11675.00	USD	-540.00	
13OCT17	S	1	PD12JAN18	11560.00	11675.00	USD	-690.00	
13OCT17	S	1	PD12JAN18	11565.00	11675.00	USD	-660.00	
13OCT17	S	7	PD12JAN18	11590.00	11675.00	USD	-3,570.00	
13OCT17	S	19	PD12JAN18	11595.00	11675.00	USD	-9,120.00	
13OCT17	S	19	PD12JAN18	11600.00	11675.00	USD	-8,550.00	
13OCT17	S	12	PD12JAN18	11605.00	11675.00	USD	-5,040.00	
13OCT17	B	5	PD12JAN18	11550.00	11675.00	USD	3,750.00	
13OCT17	S	16	PD12JAN18	11615.00	11675.00	USD	-5,760.00	
13OCT17	S	23	PD12JAN18	11610.00	11675.00	USD	-8,970.00	
13OCT17	S	7	PD12JAN18	11625.00	11675.00	USD	-2,100.00	
13OCT17	S	11	PD12JAN18	11630.00	11675.00	USD	-2,970.00	
13OCT17	S	24	PD12JAN18	11635.00	11675.00	USD	-5,760.00	
13OCT17	S	9	PD12JAN18	11650.00	11675.00	USD	-1,350.00	
13OCT17	S	13	PD12JAN18	11645.00	11675.00	USD	-2,340.00	
13OCT17	S	2	PD12JAN18	11660.00	11675.00	USD	-180.00	
13OCT17	S	2	PD12JAN18	11655.00	11675.00	USD	-240.00	
13OCT17	B	5	PD12JAN18	11730.00	11675.00	USD	-1,650.00	
13OCT17	B	3	PD12JAN18	11725.00	11675.00	USD	-900.00	
13OCT17	B	1	PD12JAN18	11735.00	11675.00	USD	-360.00	
13OCT17	B	1	PD12JAN18	11710.00	11675.00	USD	-210.00	
13OCT17	S	2	PD12JAN18	11620.00	11675.00	USD	-660.00	
13OCT17	S	1	PD12JAN18	11650.00	11675.00	USD	-150.00	
13OCT17	B	1	PD12JAN18	11715.00	11675.00	USD	-240.00	
13OCT17	B	1	PD12JAN18	11720.00	11675.00	USD	-270.00	
13OCT17	B	1	PD12JAN18	11735.00	11675.00	USD	-360.00	
13OCT17	B	1	PD12JAN18	11730.00	11675.00	USD	-330.00	
13OCT17	B	6	PD12JAN18	11676.00	11675.00	USD	-36.00	
	NET	-103 AVG BGHT 11397.02 SOLD 11568.42					176,844.00	0.00
	<u>CMX SILVER 5,000 CMX</u>							
12OCT17	S	2	DEC17	1723.5	1741.1	USD	-1,760.00	
12OCT17	S	1	DEC17	1724.0	1741.1	USD	-855.00	
12OCT17	S	2	DEC17	1724.5	1741.1	USD	-1,660.00	
13OCT17	S	1	DEC17	1733.5	1741.1	USD	-380.00	
13OCT17	S	1	DEC17	1737.5	1741.1	USD	-180.00	
13OCT17	S	2	DEC17	1736.5	1741.1	USD	-460.00	
	NET	-9 AVG SOLD 1729.3					-5,295.00	0.00
	<u>LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
13OCT17	B	1	PD22NOV17	20675.63	20675.63	USD	0.00	
	NET	+1AVG BGHT 20675.63					0.00	0.00
13OCT17	S	1	PD24NOV17	20672.75	20673.75	USD	-5.00	
	NET	-1AVG SOLD 20672.75					-5.00	0.00
12OCT17	B	1	PD11DEC17	20783.13	20653.13	USD	-650.00	
13OCT17	B	1	PD11DEC17	20653.13	20653.13	USD	0.00	
	NET	+2AVG BGHT 20718.13					-650.00	0.00
11OCT17	B	3	PD12DEC17	20912.50	20651.25	USD	-3,918.75	
	NET	+3AVG BGHT 20912.50					-3,918.75	0.00
12OCT17	S	1	PD14DEC17	20776.50	20647.50	USD	645.00	
	NET	-1AVG SOLD 20776.50					645.00	0.00

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP094AY

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

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Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
11OCT17	B 1 PD15DEC17	20906.25	20645.63	USD	-1,303.10	
13OCT17	B 1 PD15DEC17	20645.63	20645.63	USD	0.00	
	NET +2 AVG BGHT 20775.94				-1,303.10	0.00
11OCT17	B 1 PD18DEC17	20904.17	20643.75	USD	-1,302.10	
12OCT17	B 1 PD18DEC17	20773.75	20643.75	USD	-650.00	
	NET +2 AVG BGHT 20838.96				-1,952.10	0.00
12OCT17	S 1 PD19DEC17	20770.88	20641.88	USD	645.00	
	NET -1 AVG SOLD 20770.88				645.00	0.00
12OCT17	S 1 PD20DEC17	20770.00	20640.00	USD	650.00	
13OCT17	S 1 PD20DEC17	20639.00	20640.00	USD	-5.00	
	NET -2 AVG SOLD 20704.50				645.00	0.00
21SEP17	S 1 PD21DEC17	20560.00	20637.14	USD	-385.70	
21SEP17	B 1 PD21DEC17	20570.00	20637.14	USD	335.70	
21SEP17	S 1 PD21DEC17	20565.00	20637.14	USD	-360.70	
21SEP17	S 1 PD21DEC17	20595.00	20637.14	USD	-210.70	
21SEP17	S 1 PD21DEC17	20620.00	20637.14	USD	-85.70	
21SEP17	S 1 PD21DEC17	20600.00	20637.14	USD	-185.70	
21SEP17	B 1 PD21DEC17	20500.00	20637.14	USD	685.70	
21SEP17	B 1 PD21DEC17	20385.00	20637.14	USD	1,260.70	
26SEP17	B 1 PD21DEC17	20727.33	20637.14	USD	-450.95	
11OCT17	B 1 PD21DEC17	20897.69	20637.14	USD	-1,302.75	
	NET 0 AVG BGHT 20616.00 SOLD 20588.00				-700.10	0.00
22SEP17	S 3 PD22DEC17	20470.00	20634.29	USD	-2,464.35	
22SEP17	S 1 PD22DEC17	20440.00	20634.29	USD	-971.45	
22SEP17	B 1 PD22DEC17	20445.00	20634.29	USD	946.45	
22SEP17	S 1 PD22DEC17	20575.00	20634.29	USD	-296.45	
22SEP17	S 1 PD22DEC17	20560.00	20634.29	USD	-371.45	
22SEP17	B 1 PD22DEC17	20595.00	20634.29	USD	196.45	
22SEP17	B 1 PD22DEC17	20600.00	20634.29	USD	171.45	
22SEP17	B 1 PD22DEC17	20560.00	20634.29	USD	371.45	
22SEP17	S 1 PD22DEC17	20575.00	20634.29	USD	-296.45	
11OCT17	B 2 PD22DEC17	20895.38	20634.29	USD	-2,610.90	
12OCT17	B 1 PD22DEC17	20766.71	20634.29	USD	-662.10	
12OCT17	B 1 PD22DEC17	20765.71	20634.29	USD	-657.10	
13OCT17	B 1 PD22DEC17	20634.29	20634.29	USD	0.00	
	NET +2 AVG BGHT 20684.16 SOLD 20508.57				-6,644.45	0.00
25SEP17	B 2 PD27DEC17	20625.00	20631.43	USD	64.30	
25SEP17	B 2 PD27DEC17	20600.00	20631.43	USD	314.30	
25SEP17	S 1 PD27DEC17	20650.00	20631.43	USD	92.85	
26SEP17	B 1 PD27DEC17	20730.00	20631.43	USD	-492.85	
26SEP17	B 1 PD27DEC17	20715.00	20631.43	USD	-417.85	
26SEP17	S 1 PD27DEC17	20645.00	20631.43	USD	67.85	
26SEP17	S 1 PD27DEC17	20709.00	20631.43	USD	387.85	
27SEP17	B 1 PD27DEC17	20725.00	20631.43	USD	-467.85	
27SEP17	B 2 PD27DEC17	20720.00	20631.43	USD	-885.70	
27SEP17	S 2 PD27DEC17	20685.00	20631.43	USD	535.70	
27SEP17	S 1 PD27DEC17	20640.00	20631.43	USD	42.85	
27SEP17	S 1 PD27DEC17	20655.00	20631.43	USD	117.85	
27SEP17	S 1 PD27DEC17	20645.00	20631.43	USD	67.85	
27SEP17	S 1 PD27DEC17	20675.00	20631.43	USD	217.85	
27SEP17	B 1 PD27DEC17	20685.00	20631.43	USD	-267.85	
27SEP17	S 1 PD27DEC17	20650.00	20631.43	USD	92.85	
12OCT17	B 2 PD27DEC17	20763.57	20631.43	USD	-1,321.40	
	NET +2 AVG BGHT 20689.35 SOLD 20663.90				-1,851.40	0.00
28SEP17	S 1 PD28DEC17	20625.00	20628.57	USD	-17.85	

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	<u>LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
28SEP17	S	1	PD28DEC17	20610.00	20628.57	USD	-92.85	
28SEP17	B	1	PD28DEC17	20630.00	20628.57	USD	-7.15	
28SEP17	B	1	PD28DEC17	20625.00	20628.57	USD	17.85	
28SEP17	S	1	PD28DEC17	20625.00	20628.57	USD	-17.85	
28SEP17	B	1	PD28DEC17	20650.00	20628.57	USD	-107.15	
	NET	0 AVG BGHT	20635.00	SOLD	20620.00		-225.00	0.00
29SEP17	B	1	PD29DEC17	20670.00	20625.71	USD	-221.45	
29SEP17	S	1	PD29DEC17	20660.00	20625.71	USD	171.45	
29SEP17	B	1	PD29DEC17	20720.00	20625.71	USD	-471.45	
29SEP17	S	1	PD29DEC17	20685.00	20625.71	USD	296.45	
29SEP17	B	1	PD29DEC17	20765.00	20625.71	USD	-696.45	
12OCT17	S	1	PD29DEC17	20758.29	20625.71	USD	662.90	
	NET	0 AVG BGHT	20718.33	SOLD	20701.10		-258.55	0.00
03OCT17	B	1	PD03JAN18	20700.00	20620.00	USD	-400.00	
	NET	+1 AVG BGHT	20700.00				-400.00	0.00
05OCT17	S	1	PD05JAN18	20970.00	20614.29	USD	1,778.55	
05OCT17	S	1	PD05JAN18	21075.00	20614.29	USD	2,303.55	
05OCT17	S	1	PD05JAN18	21175.00	20614.29	USD	2,803.55	
06OCT17	B	1	PD05JAN18	20980.00	20614.29	USD	-1,828.55	
06OCT17	B	1	PD05JAN18	20985.00	20614.29	USD	-1,853.55	
06OCT17	B	1	PD05JAN18	20975.00	20614.29	USD	-1,803.55	
06OCT17	B	1	PD05JAN18	20685.00	20614.29	USD	-353.55	
06OCT17	B	1	PD05JAN18	20600.00	20614.29	USD	71.45	
06OCT17	B	1	PD05JAN18	20595.00	20614.29	USD	96.45	
06OCT17	B	1	PD05JAN18	20595.00	20614.29	USD	96.45	
12OCT17	S	1	PD05JAN18	20750.71	20614.29	USD	682.10	
	NET	+3 AVG BGHT	20773.57	SOLD	20992.68		1,992.90	0.00
09OCT17	B	1	PD09JAN18	20700.00	20608.57	USD	-457.15	
09OCT17	B	2	PD09JAN18	20690.00	20608.57	USD	-814.30	
09OCT17	B	1	PD09JAN18	20730.00	20608.57	USD	-607.15	
09OCT17	B	1	PD09JAN18	20735.00	20608.57	USD	-632.15	
09OCT17	B	3	PD09JAN18	20650.00	20608.57	USD	-621.45	
09OCT17	B	1	PD09JAN18	20605.00	20608.57	USD	17.85	
09OCT17	S	1	PD09JAN18	20860.00	20608.57	USD	1,257.15	
09OCT17	B	1	PD09JAN18	20575.00	20608.57	USD	167.85	
09OCT17	B	1	PD09JAN18	20625.00	20608.57	USD	-82.15	
09OCT17	S	1	PD09JAN18	20625.00	20608.57	USD	82.15	
09OCT17	S	1	PD09JAN18	20690.00	20608.57	USD	407.15	
09OCT17	B	1	PD09JAN18	20730.00	20608.57	USD	-607.15	
09OCT17	B	2	PD09JAN18	20740.00	20608.57	USD	-1,314.30	
09OCT17	B	1	PD09JAN18	20770.00	20608.57	USD	-807.15	
09OCT17	B	2	PD09JAN18	20765.00	20608.57	USD	-1,564.30	
09OCT17	B	1	PD09JAN18	20705.00	20608.57	USD	-482.15	
09OCT17	B	1	PD09JAN18	20815.00	20608.57	USD	-1,032.15	
09OCT17	B	1	PD09JAN18	20755.00	20608.57	USD	-732.15	
11OCT17	S	6	PD09JAN18	20873.62	20608.57	USD	7,951.50	
12OCT17	S	1	PD09JAN18	20746.43	20608.57	USD	689.30	
12OCT17	S	1	PD09JAN18	20745.43	20608.57	USD	684.30	
13OCT17	S	1	PD09JAN18	20608.57	20608.57	USD	0.00	
13OCT17	S	2	PD09JAN18	20607.57	20608.57	USD	-10.00	
	NET	+6 AVG BGHT	20704.25	SOLD	20766.59		1,493.55	0.00
10OCT17	S	1	PD10JAN18	20810.00	20605.71	USD	1,021.45	
10OCT17	S	1	PD10JAN18	20815.00	20605.71	USD	1,046.45	
10OCT17	B	1	PD10JAN18	20790.00	20605.71	USD	-921.45	
10OCT17	B	1	PD10JAN18	20805.00	20605.71	USD	-996.45	
10OCT17	B	1	PD10JAN18	20810.00	20605.71	USD	-1,021.45	

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	<u>LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
10OCT17	B	2	PD10JAN18	20780.00	20605.71	USD	-1,742.90	
10OCT17	B	1	PD10JAN18	20795.00	20605.71	USD	-946.45	
11OCT17	S	2	PD10JAN18	20871.31	20605.71	USD	2,656.00	
12OCT17	S	1	PD10JAN18	20743.29	20605.71	USD	687.90	
	NET	+1AVG BGHT 20793.33	SOLD 20822.18				-216.90	0.00
11OCT17	S	1	PD11JAN18	20765.00	20602.86	USD	810.70	
11OCT17	S	1	PD11JAN18	20750.00	20602.86	USD	735.70	
11OCT17	S	2	PD11JAN18	20755.00	20602.86	USD	1,521.40	
11OCT17	S	1	PD11JAN18	20795.00	20602.86	USD	960.70	
11OCT17	S	1	PD11JAN18	20735.00	20602.86	USD	660.70	
11OCT17	S	1	PD11JAN18	20745.00	20602.86	USD	710.70	
11OCT17	S	1	PD11JAN18	20735.00	20602.86	USD	660.70	
11OCT17	B	1	PD11JAN18	20745.00	20602.86	USD	-710.70	
11OCT17	B	1	PD11JAN18	20760.00	20602.86	USD	-785.70	
11OCT17	S	1	PD11JAN18	20760.00	20602.86	USD	785.70	
11OCT17	S	1	PD11JAN18	20755.00	20602.86	USD	760.70	
11OCT17	S	1	PD11JAN18	20770.00	20602.86	USD	835.70	
11OCT17	S	2	PD11JAN18	20780.00	20602.86	USD	1,771.40	
11OCT17	S	2	PD11JAN18	20800.00	20602.86	USD	1,971.40	
11OCT17	S	2	PD11JAN18	20820.00	20602.86	USD	2,171.40	
11OCT17	S	1	PD11JAN18	20775.00	20602.86	USD	860.70	
11OCT17	S	1	PD11JAN18	20785.00	20602.86	USD	910.70	
11OCT17	S	1	PD11JAN18	20815.00	20602.86	USD	1,060.70	
11OCT17	S	2	PD11JAN18	20770.00	20602.86	USD	1,671.40	
12OCT17	B	2	PD11JAN18	20743.14	20602.86	USD	-1,402.80	
13OCT17	B	1	PD11JAN18	20603.86	20602.86	USD	-5.00	
13OCT17	B	5	PD11JAN18	20602.86	20602.86	USD	0.00	
	NET	-12 AVG BGHT 20660.94	SOLD 20774.32				15,956.20	0.00
12OCT17	S	1	PD12JAN18	20730.00	20600.00	USD	650.00	
12OCT17	B	2	PD12JAN18	20800.00	20600.00	USD	-2,000.00	
12OCT17	B	1	PD12JAN18	20790.00	20600.00	USD	-950.00	
12OCT17	B	1	PD12JAN18	20780.00	20600.00	USD	-900.00	
12OCT17	B	1	PD12JAN18	20785.00	20600.00	USD	-925.00	
12OCT17	S	1	PD12JAN18	20810.00	20600.00	USD	1,050.00	
12OCT17	B	3	PD12JAN18	20805.00	20600.00	USD	-3,075.00	
12OCT17	B	1	PD12JAN18	20775.00	20600.00	USD	-875.00	
12OCT17	B	2	PD12JAN18	20755.00	20600.00	USD	-1,550.00	
12OCT17	B	1	PD12JAN18	20745.00	20600.00	USD	-725.00	
12OCT17	B	1	PD12JAN18	20760.00	20600.00	USD	-800.00	
12OCT17	B	1	PD12JAN18	20805.00	20600.00	USD	-1,025.00	
12OCT17	B	1	PD12JAN18	20810.00	20600.00	USD	-1,050.00	
13OCT17	B	1	PD12JAN18	20770.00	20600.00	USD	-850.00	
13OCT17	B	1	PD12JAN18	20740.00	20600.00	USD	-700.00	
13OCT17	B	1	PD12JAN18	20765.00	20600.00	USD	-825.00	
13OCT17	B	2	PD12JAN18	20775.00	20600.00	USD	-1,750.00	
13OCT17	B	1	PD12JAN18	20805.00	20600.00	USD	-1,025.00	
13OCT17	B	1	PD12JAN18	20785.00	20600.00	USD	-925.00	
13OCT17	B	3	PD12JAN18	20780.00	20600.00	USD	-2,700.00	
13OCT17	B	1	PD12JAN18	20810.00	20600.00	USD	-1,050.00	
13OCT17	B	2	PD12JAN18	20790.00	20600.00	USD	-1,900.00	
13OCT17	B	3	PD12JAN18	20750.00	20600.00	USD	-2,250.00	
13OCT17	B	1	PD12JAN18	20730.00	20600.00	USD	-650.00	
13OCT17	B	1	PD12JAN18	20700.00	20600.00	USD	-500.00	
13OCT17	B	1	PD12JAN18	20695.00	20600.00	USD	-475.00	
13OCT17	B	1	PD12JAN18	20665.00	20600.00	USD	-325.00	
13OCT17	B	1	PD12JAN18	20765.00	20600.00	USD	-825.00	
13OCT17	S	1	PD12JAN18	20765.00	20600.00	USD	825.00	

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13OCT17	B 1 PD12JAN18	20775.00	20600.00	USD	-875.00	
13OCT17	B 1 PD12JAN18	20785.00	20600.00	USD	-925.00	
13OCT17	B 1 PD12JAN18	20715.00	20600.00	USD	-575.00	
13OCT17	B 1 PD12JAN18	20630.00	20600.00	USD	-150.00	
13OCT17	B 2 PD12JAN18	20640.00	20600.00	USD	-400.00	
13OCT17	B 1 PD12JAN18	20660.00	20600.00	USD	-300.00	
13OCT17	S 1 PD12JAN18	20665.00	20600.00	USD	325.00	
13OCT17	S 5 PD12JAN18	20599.00	20600.00	USD	-25.00	
	NET +34 AVG BGHT 20757.44 SOLD 20662.78				-31,025.00	0.00
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
13OCT17	S 1 PD12DEC17	3244.50	3244.50	USD	0.00	
	NET -1 AVG SOLD 3244.50				0.00	0.00
13OCT17	B 1 PD15DEC17	3243.95	3243.75	USD	-5.00	
	NET +1 AVG BGHT 3243.95				-5.00	0.00
13OCT17	S 1 PD18DEC17	3243.50	3243.50	USD	0.00	
	NET -1 AVG SOLD 3243.50				0.00	0.00
19SEP17	B 1 PD19DEC17	3101.50	3243.25	USD	3,543.75	
19SEP17	B 1 PD19DEC17	3110.00	3243.25	USD	3,331.25	
26SEP17	S 2 PD19DEC17	3119.00	3243.25	USD	-6,212.50	
13OCT17	B 1 PD19DEC17	3243.45	3243.25	USD	-5.00	
	NET +1 AVG BGHT 3151.65 SOLD 3119.00				657.50	0.00
21SEP17	B 1 PD21DEC17	3106.50	3240.00	USD	3,337.50	
21SEP17	B 2 PD21DEC17	3104.00	3240.00	USD	6,800.00	
21SEP17	S 1 PD21DEC17	3120.50	3240.00	USD	-2,987.50	
21SEP17	S 1 PD21DEC17	3121.50	3240.00	USD	-2,962.50	
21SEP17	S 1 PD21DEC17	3077.00	3240.00	USD	-4,075.00	
21SEP17	S 1 PD21DEC17	3067.50	3240.00	USD	-4,312.50	
21SEP17	S 2 PD21DEC17	3067.00	3240.00	USD	-8,650.00	
21SEP17	S 1 PD21DEC17	3059.00	3240.00	USD	-4,525.00	
26SEP17	B 2 PD21DEC17	3118.20	3240.00	USD	6,090.00	
	NET -2 AVG BGHT 3110.18 SOLD 3082.79				-11,285.00	0.00
22SEP17	B 1 PD22DEC17	3061.00	3239.62	USD	4,465.50	
22SEP17	S 1 PD22DEC17	3020.50	3239.62	USD	-5,478.00	
22SEP17	S 1 PD22DEC17	3024.00	3239.62	USD	-5,390.50	
13OCT17	B 1 PD22DEC17	3239.62	3239.62	USD	0.00	
	NET 0 AVG BGHT 3150.31 SOLD 3022.25				-6,403.00	0.00
25SEP17	B 1 PD27DEC17	3098.00	3239.23	USD	3,530.75	
25SEP17	B 1 PD27DEC17	3104.00	3239.23	USD	3,380.75	
26SEP17	B 1 PD27DEC17	3124.50	3239.23	USD	2,868.25	
26SEP17	B 1 PD27DEC17	3124.00	3239.23	USD	2,880.75	
26SEP17	B 1 PD27DEC17	3127.50	3239.23	USD	2,793.25	
26SEP17	B 1 PD27DEC17	3126.00	3239.23	USD	2,830.75	
26SEP17	S 1 PD27DEC17	3112.50	3239.23	USD	-3,168.25	
26SEP17	S 2 PD27DEC17	3111.00	3239.23	USD	-6,411.50	
26SEP17	S 3 PD27DEC17	3115.00	3239.23	USD	-9,317.25	
26SEP17	S 1 PD27DEC17	3114.50	3239.23	USD	-3,118.25	
26SEP17	B 1 PD27DEC17	3108.50	3239.23	USD	3,268.25	
26SEP17	B 1 PD27DEC17	3110.50	3239.23	USD	3,218.25	
27SEP17	B 1 PD27DEC17	3110.00	3239.23	USD	3,230.75	
27SEP17	B 1 PD27DEC17	3108.50	3239.23	USD	3,268.25	
27SEP17	B 1 PD27DEC17	3106.50	3239.23	USD	3,318.25	
27SEP17	B 1 PD27DEC17	3107.50	3239.23	USD	3,293.25	
27SEP17	B 1 PD27DEC17	3117.00	3239.23	USD	3,055.75	
27SEP17	B 1 PD27DEC17	3141.00	3239.23	USD	2,455.75	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP094AY

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position				Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>								
27SEP17	B	1	PD27DEC17		3146.00	3239.23	USD	2,330.75	
27SEP17	B	1	PD27DEC17		3145.00	3239.23	USD	2,355.75	
27SEP17	S	1	PD27DEC17		3140.00	3239.23	USD	-2,480.75	
27SEP17	S	1	PD27DEC17		3139.00	3239.23	USD	-2,505.75	
27SEP17	B	1	PD27DEC17		3142.00	3239.23	USD	2,430.75	
27SEP17	B	1	PD27DEC17		3123.00	3239.23	USD	2,905.75	
27SEP17	B	2	PD27DEC17		3127.50	3239.23	USD	5,586.50	
27SEP17	B	2	PD27DEC17		3128.00	3239.23	USD	5,561.50	
27SEP17	S	1	PD27DEC17		3108.50	3239.23	USD	-3,268.25	
27SEP17	S	1	PD27DEC17		3113.00	3239.23	USD	-3,155.75	
27SEP17	B	1	PD27DEC17		3118.00	3239.23	USD	3,030.75	
27SEP17	S	3	PD27DEC17		3113.50	3239.23	USD	-9,429.75	
27SEP17	S	1	PD27DEC17		3102.00	3239.23	USD	-3,430.75	
27SEP17	S	1	PD27DEC17		3101.50	3239.23	USD	-3,443.25	
27SEP17	S	2	PD27DEC17		3105.50	3239.23	USD	-6,686.50	
27SEP17	S	1	PD27DEC17		3111.50	3239.23	USD	-3,193.25	
27SEP17	S	2	PD27DEC17		3112.50	3239.23	USD	-6,336.50	
27SEP17	S	1	PD27DEC17		3099.00	3239.23	USD	-3,505.75	
27SEP17	S	1	PD27DEC17		3104.50	3239.23	USD	-3,368.25	
27SEP17	B	1	PD27DEC17		3111.50	3239.23	USD	3,193.25	
27SEP17	B	1	PD27DEC17		3116.50	3239.23	USD	3,068.25	
27SEP17	S	1	PD27DEC17		3102.50	3239.23	USD	-3,418.25	
13OCT17	S	1	PD27DEC17		3239.03	3239.23	USD	-5.00	
	NET	0AVG	BGHT 3121.06	SOLD 3117.24				-2,386.75	0.00
28SEP17	S	1	PD28DEC17		3111.00	3238.85	USD	-3,196.25	
28SEP17	B	1	PD28DEC17		3115.50	3238.85	USD	3,083.75	
28SEP17	B	1	PD28DEC17		3118.00	3238.85	USD	3,021.25	
28SEP17	B	1	PD28DEC17		3120.00	3238.85	USD	2,971.25	
28SEP17	S	1	PD28DEC17		3110.50	3238.85	USD	-3,208.75	
28SEP17	S	2	PD28DEC17		3106.50	3238.85	USD	-6,617.50	
28SEP17	S	1	PD28DEC17		3130.50	3238.85	USD	-2,708.75	
28SEP17	B	2	PD28DEC17		3137.50	3238.85	USD	5,067.50	
28SEP17	B	1	PD28DEC17		3136.50	3238.85	USD	2,558.75	
28SEP17	B	3	PD28DEC17		3137.00	3238.85	USD	7,638.75	
28SEP17	B	1	PD28DEC17		3138.50	3238.85	USD	2,508.75	
28SEP17	B	2	PD28DEC17		3138.00	3238.85	USD	5,042.50	
28SEP17	B	1	PD28DEC17		3139.00	3238.85	USD	2,496.25	
28SEP17	B	2	PD28DEC17		3139.50	3238.85	USD	4,967.50	
28SEP17	S	1	PD28DEC17		3140.50	3238.85	USD	-2,458.75	
28SEP17	S	1	PD28DEC17		3141.50	3238.85	USD	-2,433.75	
28SEP17	S	2	PD28DEC17		3144.00	3238.85	USD	-4,742.50	
28SEP17	S	2	PD28DEC17		3140.00	3238.85	USD	-4,942.50	
28SEP17	S	1	PD28DEC17		3136.00	3238.85	USD	-2,571.25	
28SEP17	S	1	PD28DEC17		3135.50	3238.85	USD	-2,583.75	
28SEP17	S	1	PD28DEC17		3132.00	3238.85	USD	-2,671.25	
28SEP17	S	1	PD28DEC17		3129.50	3238.85	USD	-2,733.75	
28SEP17	S	1	PD28DEC17		3128.50	3238.85	USD	-2,758.75	
28SEP17	S	2	PD28DEC17		3125.00	3238.85	USD	-5,692.50	
28SEP17	S	1	PD28DEC17		3126.00	3238.85	USD	-2,821.25	
28SEP17	S	1	PD28DEC17		3122.00	3238.85	USD	-2,921.25	
28SEP17	B	1	PD28DEC17		3119.50	3238.85	USD	2,983.75	
28SEP17	B	1	PD28DEC17		3120.50	3238.85	USD	2,958.75	
28SEP17	S	1	PD28DEC17		3123.00	3238.85	USD	-2,896.25	
28SEP17	B	2	PD28DEC17		3150.00	3238.85	USD	4,442.50	
28SEP17	B	4	PD28DEC17		3146.00	3238.85	USD	9,285.00	
28SEP17	B	4	PD28DEC17		3146.50	3238.85	USD	9,235.00	
28SEP17	B	1	PD28DEC17		3144.00	3238.85	USD	2,371.25	

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
28SEP17	S	1	PD28DEC17	3143.00	3238.85	USD	-2,396.25	
28SEP17	S	1	PD28DEC17	3157.00	3238.85	USD	-2,046.25	
28SEP17	S	1	PD28DEC17	3155.50	3238.85	USD	-2,083.75	
28SEP17	S	1	PD28DEC17	3153.50	3238.85	USD	-2,133.75	
28SEP17	S	2	PD28DEC17	3155.00	3238.85	USD	-4,192.50	
28SEP17	S	2	PD28DEC17	3159.00	3238.85	USD	-3,992.50	
28SEP17	S	1	PD28DEC17	3152.00	3238.85	USD	-2,171.25	
28SEP17	B	1	PD28DEC17	3147.50	3238.85	USD	2,283.75	
28SEP17	S	1	PD28DEC17	3154.00	3238.85	USD	-2,121.25	
13OCT17	S	1	PD28DEC17	3238.85	3238.85	USD	0.00	
	NET	-3 AVG BGHT 3138.28	SOLD 3139.98				-6,180.00	0.00
29SEP17	B	1	PD29DEC17	3138.50	3238.46	USD	2,499.00	
29SEP17	S	1	PD29DEC17	3137.00	3238.46	USD	-2,536.50	
29SEP17	B	1	PD29DEC17	3152.50	3238.46	USD	2,149.00	
29SEP17	B	2	PD29DEC17	3153.50	3238.46	USD	4,248.00	
29SEP17	B	1	PD29DEC17	3162.50	3238.46	USD	1,899.00	
29SEP17	B	1	PD29DEC17	3161.50	3238.46	USD	1,924.00	
29SEP17	B	2	PD29DEC17	3163.00	3238.46	USD	3,773.00	
29SEP17	B	1	PD29DEC17	3165.50	3238.46	USD	1,824.00	
29SEP17	B	1	PD29DEC17	3159.50	3238.46	USD	1,974.00	
29SEP17	S	1	PD29DEC17	3161.00	3238.46	USD	-1,936.50	
29SEP17	S	1	PD29DEC17	3164.00	3238.46	USD	-1,861.50	
29SEP17	S	1	PD29DEC17	3163.00	3238.46	USD	-1,886.50	
29SEP17	S	1	PD29DEC17	3169.00	3238.46	USD	-1,736.50	
29SEP17	B	1	PD29DEC17	3162.50	3238.46	USD	1,899.00	
29SEP17	B	1	PD29DEC17	3163.00	3238.46	USD	1,886.50	
12OCT17	S	1	PD29DEC17	3252.67	3238.46	USD	355.25	
13OCT17	S	1	PD29DEC17	3238.46	3238.46	USD	0.00	
13OCT17	B	1	PD29DEC17	3238.66	3238.46	USD	-5.00	
	NET	+6 AVG BGHT 3164.40	SOLD 3183.59				14,468.25	0.00
04OCT17	B	1	PD04JAN18	3279.50	3237.31	USD	-1,054.75	
04OCT17	B	1	PD04JAN18	3299.50	3237.31	USD	-1,554.75	
04OCT17	B	1	PD04JAN18	3295.00	3237.31	USD	-1,442.25	
04OCT17	S	2	PD04JAN18	3283.00	3237.31	USD	2,284.50	
04OCT17	S	1	PD04JAN18	3284.50	3237.31	USD	1,179.75	
	NET	0 AVG BGHT 3291.33	SOLD 3283.50				-587.50	0.00
05OCT17	S	1	PD05JAN18	3289.00	3236.92	USD	1,302.00	
05OCT17	B	1	PD05JAN18	3277.00	3236.92	USD	-1,002.00	
05OCT17	B	1	PD05JAN18	3288.00	3236.92	USD	-1,277.00	
05OCT17	B	1	PD05JAN18	3301.50	3236.92	USD	-1,614.50	
06OCT17	B	1	PD05JAN18	3290.50	3236.92	USD	-1,339.50	
06OCT17	S	1	PD05JAN18	3281.00	3236.92	USD	1,102.00	
06OCT17	S	1	PD05JAN18	3277.00	3236.92	USD	1,002.00	
06OCT17	B	1	PD05JAN18	3286.00	3236.92	USD	-1,227.00	
06OCT17	S	1	PD05JAN18	3286.00	3236.92	USD	1,227.00	
06OCT17	S	1	PD05JAN18	3280.50	3236.92	USD	1,089.50	
06OCT17	B	1	PD05JAN18	3252.00	3236.92	USD	-377.00	
06OCT17	S	1	PD05JAN18	3258.00	3236.92	USD	527.00	
06OCT17	B	1	PD05JAN18	3251.50	3236.92	USD	-364.50	
06OCT17	S	1	PD05JAN18	3246.50	3236.92	USD	239.50	
06OCT17	B	1	PD05JAN18	3243.50	3236.92	USD	-164.50	
06OCT17	S	1	PD05JAN18	3245.00	3236.92	USD	202.00	
06OCT17	S	1	PD05JAN18	3244.50	3236.92	USD	189.50	
12OCT17	B	1	PD05JAN18	3250.00	3236.92	USD	-327.00	
	NET	0 AVG BGHT 3271.11	SOLD 3267.50				-812.50	0.00
09OCT17	S	3	PD09JAN18	3233.00	3236.15	USD	-236.25	

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09OCT17	S	1	PD09JAN18		3222.50	3236.15	USD	-341.25	
09OCT17	B	1	PD09JAN18		3266.50	3236.15	USD	-758.75	
09OCT17	B	2	PD09JAN18		3244.50	3236.15	USD	-417.50	
09OCT17	B	1	PD09JAN18		3258.00	3236.15	USD	-546.25	
09OCT17	B	1	PD09JAN18		3247.50	3236.15	USD	-283.75	
09OCT17	S	1	PD09JAN18		3240.00	3236.15	USD	96.25	
09OCT17	S	1	PD09JAN18		3227.50	3236.15	USD	-216.25	
09OCT17	S	2	PD09JAN18		3229.00	3236.15	USD	-357.50	
09OCT17	B	1	PD09JAN18		3267.00	3236.15	USD	-771.25	
09OCT17	B	1	PD09JAN18		3262.00	3236.15	USD	-646.25	
09OCT17	S	1	PD09JAN18		3267.00	3236.15	USD	771.25	
09OCT17	S	1	PD09JAN18		3243.00	3236.15	USD	171.25	
09OCT17	S	1	PD09JAN18		3242.50	3236.15	USD	158.75	
09OCT17	S	1	PD09JAN18		3240.00	3236.15	USD	96.25	
09OCT17	S	1	PD09JAN18		3228.50	3236.15	USD	-191.25	
09OCT17	B	1	PD09JAN18		3231.50	3236.15	USD	116.25	
09OCT17	B	1	PD09JAN18		3232.50	3236.15	USD	91.25	
09OCT17	B	1	PD09JAN18		3231.00	3236.15	USD	128.75	
09OCT17	B	1	PD09JAN18		3259.00	3236.15	USD	-571.25	
09OCT17	B	1	PD09JAN18		3255.00	3236.15	USD	-471.25	
09OCT17	B	1	PD09JAN18		3254.00	3236.15	USD	-446.25	
09OCT17	B	2	PD09JAN18		3256.00	3236.15	USD	-992.50	
09OCT17	B	2	PD09JAN18		3255.50	3236.15	USD	-967.50	
09OCT17	B	2	PD09JAN18		3257.50	3236.15	USD	-1,067.50	
09OCT17	S	3	PD09JAN18		3257.50	3236.15	USD	1,601.25	
09OCT17	S	1	PD09JAN18		3258.00	3236.15	USD	546.25	
09OCT17	S	1	PD09JAN18		3239.50	3236.15	USD	83.75	
09OCT17	S	2	PD09JAN18		3238.00	3236.15	USD	92.50	
09OCT17	B	1	PD09JAN18		3233.00	3236.15	USD	78.75	
09OCT17	B	1	PD09JAN18		3233.50	3236.15	USD	66.25	
09OCT17	B	4	PD09JAN18		3230.00	3236.15	USD	615.00	
09OCT17	S	1	PD09JAN18		3259.00	3236.15	USD	571.25	
09OCT17	B	1	PD09JAN18		3253.50	3236.15	USD	-433.75	
12OCT17	S	1	PD09JAN18		3249.80	3236.15	USD	341.25	
12OCT17	B	1	PD09JAN18		3250.20	3236.15	USD	-351.25	
13OCT17	B	1	PD09JAN18		3236.35	3236.15	USD	-5.00	
	NET	+6 AVG	BGHT 3247.06	SOLD 3241.95				-4,446.25	0.00
10OCT17	B	1	PD10JAN18		3261.50	3235.77	USD	-643.25	
10OCT17	B	1	PD10JAN18		3265.50	3235.77	USD	-743.25	
10OCT17	B	1	PD10JAN18		3263.50	3235.77	USD	-693.25	
10OCT17	B	1	PD10JAN18		3258.00	3235.77	USD	-555.75	
10OCT17	B	1	PD10JAN18		3262.00	3235.77	USD	-655.75	
10OCT17	B	1	PD10JAN18		3260.00	3235.77	USD	-605.75	
10OCT17	S	1	PD10JAN18		3241.00	3235.77	USD	130.75	
10OCT17	B	1	PD10JAN18		3241.50	3235.77	USD	-143.25	
10OCT17	S	3	PD10JAN18		3240.00	3235.77	USD	317.25	
10OCT17	S	1	PD10JAN18		3251.50	3235.77	USD	393.25	
10OCT17	S	1	PD10JAN18		3247.50	3235.77	USD	293.25	
10OCT17	S	1	PD10JAN18		3247.00	3235.77	USD	280.75	
10OCT17	S	2	PD10JAN18		3236.00	3235.77	USD	11.50	
10OCT17	S	1	PD10JAN18		3227.50	3235.77	USD	-206.75	
10OCT17	B	1	PD10JAN18		3226.50	3235.77	USD	231.75	
10OCT17	B	1	PD10JAN18		3213.50	3235.77	USD	556.75	
10OCT17	S	1	PD10JAN18		3214.50	3235.77	USD	-531.75	
10OCT17	S	1	PD10JAN18		3217.00	3235.77	USD	-469.25	
10OCT17	S	1	PD10JAN18		3217.50	3235.77	USD	-456.75	
10OCT17	S	1	PD10JAN18		3222.00	3235.77	USD	-344.25	

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10OCT17	S	1	PD10JAN18	3221.50	3235.77	USD	-356.75	
10OCT17	B	1	PD10JAN18	3233.00	3235.77	USD	69.25	
10OCT17	S	1	PD10JAN18	3228.00	3235.77	USD	-194.25	
10OCT17	S	1	PD10JAN18	3227.50	3235.77	USD	-206.75	
10OCT17	S	1	PD10JAN18	3214.50	3235.77	USD	-531.75	
10OCT17	S	1	PD10JAN18	3232.00	3235.77	USD	-94.25	
10OCT17	B	1	PD10JAN18	3254.00	3235.77	USD	-455.75	
10OCT17	B	1	PD10JAN18	3255.00	3235.77	USD	-480.75	
10OCT17	B	1	PD10JAN18	3280.50	3235.77	USD	-1,118.25	
10OCT17	B	1	PD10JAN18	3280.00	3235.77	USD	-1,105.75	
10OCT17	B	1	PD10JAN18	3279.50	3235.77	USD	-1,093.25	
10OCT17	B	1	PD10JAN18	3269.00	3235.77	USD	-830.75	
10OCT17	B	1	PD10JAN18	3250.00	3235.77	USD	-355.75	
10OCT17	S	1	PD10JAN18	3282.50	3235.77	USD	1,168.25	
10OCT17	S	1	PD10JAN18	3291.50	3235.77	USD	1,393.25	
10OCT17	S	2	PD10JAN18	3288.50	3235.77	USD	2,636.50	
10OCT17	S	1	PD10JAN18	3291.50	3235.77	USD	1,393.25	
10OCT17	S	1	PD10JAN18	3292.00	3235.77	USD	1,405.75	
12OCT17	B	2	PD10JAN18	3250.00	3235.77	USD	-711.50	
	NET	-6 AVG BGHT 3255.42	SOLD 3245.42				-3,303.00	0.00
11OCT17	B	1	PD11JAN18	3286.00	3235.38	USD	-1,265.50	
11OCT17	B	1	PD11JAN18	3285.00	3235.38	USD	-1,240.50	
11OCT17	S	1	PD11JAN18	3276.00	3235.38	USD	1,015.50	
11OCT17	B	1	PD11JAN18	3249.00	3235.38	USD	-340.50	
11OCT17	S	1	PD11JAN18	3254.00	3235.38	USD	465.50	
11OCT17	B	1	PD11JAN18	3250.00	3235.38	USD	-365.50	
11OCT17	B	1	PD11JAN18	3225.00	3235.38	USD	259.50	
11OCT17	B	1	PD11JAN18	3216.50	3235.38	USD	472.00	
11OCT17	B	1	PD11JAN18	3222.50	3235.38	USD	322.00	
11OCT17	B	1	PD11JAN18	3228.00	3235.38	USD	184.50	
11OCT17	S	1	PD11JAN18	3252.00	3235.38	USD	415.50	
11OCT17	B	1	PD11JAN18	3229.00	3235.38	USD	159.50	
11OCT17	B	1	PD11JAN18	3233.00	3235.38	USD	59.50	
11OCT17	B	1	PD11JAN18	3234.50	3235.38	USD	22.00	
11OCT17	B	1	PD11JAN18	3232.50	3235.38	USD	72.00	
11OCT17	B	2	PD11JAN18	3231.00	3235.38	USD	219.00	
11OCT17	B	1	PD11JAN18	3235.50	3235.38	USD	-3.00	
11OCT17	S	3	PD11JAN18	3236.00	3235.38	USD	46.50	
11OCT17	S	5	PD11JAN18	3235.50	3235.38	USD	15.00	
11OCT17	B	1	PD11JAN18	3236.00	3235.38	USD	-15.50	
11OCT17	S	1	PD11JAN18	3223.00	3235.38	USD	-309.50	
11OCT17	S	1	PD11JAN18	3222.50	3235.38	USD	-322.00	
11OCT17	S	1	PD11JAN18	3219.00	3235.38	USD	-409.50	
11OCT17	S	1	PD11JAN18	3210.50	3235.38	USD	-622.00	
11OCT17	S	1	PD11JAN18	3209.50	3235.38	USD	-647.00	
11OCT17	B	1	PD11JAN18	3202.50	3235.38	USD	822.00	
11OCT17	B	1	PD11JAN18	3200.50	3235.38	USD	872.00	
11OCT17	S	2	PD11JAN18	3236.00	3235.38	USD	31.00	
11OCT17	B	1	PD11JAN18	3230.00	3235.38	USD	134.50	
11OCT17	B	1	PD11JAN18	3232.50	3235.38	USD	72.00	
11OCT17	S	1	PD11JAN18	3220.50	3235.38	USD	-372.00	
11OCT17	S	1	PD11JAN18	3226.50	3235.38	USD	-222.00	
11OCT17	S	1	PD11JAN18	3224.00	3235.38	USD	-284.50	
11OCT17	S	2	PD11JAN18	3215.00	3235.38	USD	-1,019.00	
11OCT17	B	1	PD11JAN18	3217.50	3235.38	USD	447.00	
12OCT17	S	2	PD11JAN18	3249.80	3235.38	USD	721.00	
	NET	-4 AVG BGHT 3233.69	SOLD 3232.98				-610.50	0.00

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP094AY

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
12OCT17	B	1	PD12JAN18	3209.00	3235.00	USD	650.00	
12OCT17	B	1	PD12JAN18	3200.00	3235.00	USD	875.00	
12OCT17	B	1	PD12JAN18	3201.50	3235.00	USD	837.50	
12OCT17	B	2	PD12JAN18	3202.00	3235.00	USD	1,650.00	
12OCT17	B	1	PD12JAN18	3216.00	3235.00	USD	475.00	
12OCT17	B	1	PD12JAN18	3224.00	3235.00	USD	275.00	
12OCT17	B	1	PD12JAN18	3222.50	3235.00	USD	312.50	
12OCT17	B	1	PD12JAN18	3226.00	3235.00	USD	225.00	
12OCT17	B	1	PD12JAN18	3225.50	3235.00	USD	237.50	
12OCT17	B	1	PD12JAN18	3225.00	3235.00	USD	250.00	
12OCT17	B	1	PD12JAN18	3221.50	3235.00	USD	337.50	
12OCT17	B	1	PD12JAN18	3223.50	3235.00	USD	287.50	
12OCT17	B	1	PD12JAN18	3227.50	3235.00	USD	187.50	
12OCT17	B	1	PD12JAN18	3229.50	3235.00	USD	137.50	
12OCT17	B	1	PD12JAN18	3238.50	3235.00	USD	-87.50	
12OCT17	B	1	PD12JAN18	3237.50	3235.00	USD	-62.50	
12OCT17	B	1	PD12JAN18	3240.50	3235.00	USD	-137.50	
12OCT17	S	1	PD12JAN18	3238.00	3235.00	USD	75.00	
12OCT17	S	1	PD12JAN18	3235.50	3235.00	USD	12.50	
12OCT17	B	1	PD12JAN18	3239.50	3235.00	USD	-112.50	
12OCT17	S	3	PD12JAN18	3248.00	3235.00	USD	975.00	
12OCT17	S	2	PD12JAN18	3252.00	3235.00	USD	850.00	
12OCT17	S	1	PD12JAN18	3253.00	3235.00	USD	450.00	
12OCT17	S	1	PD12JAN18	3255.00	3235.00	USD	500.00	
12OCT17	S	1	PD12JAN18	3259.00	3235.00	USD	600.00	
12OCT17	S	1	PD12JAN18	3252.50	3235.00	USD	437.50	
12OCT17	B	1	PD12JAN18	3238.00	3235.00	USD	-75.00	
12OCT17	B	1	PD12JAN18	3236.00	3235.00	USD	-25.00	
12OCT17	B	1	PD12JAN18	3234.50	3235.00	USD	12.50	
12OCT17	B	1	PD12JAN18	3235.50	3235.00	USD	-12.50	
12OCT17	B	1	PD12JAN18	3239.00	3235.00	USD	-100.00	
12OCT17	S	1	PD12JAN18	3247.00	3235.00	USD	300.00	
12OCT17	B	5	PD12JAN18	3244.00	3235.00	USD	-1,125.00	
12OCT17	B	1	PD12JAN18	3242.50	3235.00	USD	-187.50	
12OCT17	B	3	PD12JAN18	3242.00	3235.00	USD	-525.00	
12OCT17	B	1	PD12JAN18	3241.00	3235.00	USD	-150.00	
12OCT17	B	1	PD12JAN18	3257.00	3235.00	USD	-550.00	
12OCT17	B	1	PD12JAN18	3260.00	3235.00	USD	-625.00	
12OCT17	S	1	PD12JAN18	3263.00	3235.00	USD	700.00	
12OCT17	S	1	PD12JAN18	3258.50	3235.00	USD	587.50	
12OCT17	S	1	PD12JAN18	3264.00	3235.00	USD	725.00	
12OCT17	S	2	PD12JAN18	3261.50	3235.00	USD	1,325.00	
12OCT17	S	1	PD12JAN18	3262.50	3235.00	USD	687.50	
12OCT17	S	2	PD12JAN18	3257.50	3235.00	USD	1,125.00	
12OCT17	S	1	PD12JAN18	3242.00	3235.00	USD	175.00	
12OCT17	B	1	PD12JAN18	3253.00	3235.00	USD	-450.00	
12OCT17	B	1	PD12JAN18	3251.00	3235.00	USD	-400.00	
12OCT17	B	1	PD12JAN18	3256.50	3235.00	USD	-537.50	
12OCT17	B	1	PD12JAN18	3254.00	3235.00	USD	-475.00	
12OCT17	B	1	PD12JAN18	3254.00	3235.00	USD	-475.00	
12OCT17	S	1	PD12JAN18	3257.00	3235.00	USD	550.00	
12OCT17	S	1	PD12JAN18	3259.00	3235.00	USD	600.00	
13OCT17	B	1	PD12JAN18	3254.00	3235.00	USD	-475.00	
13OCT17	B	1	PD12JAN18	3255.00	3235.00	USD	-500.00	
13OCT17	S	1	PD12JAN18	3256.50	3235.00	USD	537.50	
13OCT17	S	1	PD12JAN18	3249.00	3235.00	USD	350.00	
13OCT17	S	1	PD12JAN18	3266.50	3235.00	USD	787.50	

OPEN POSITION STATEMENT



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SAP094AY

13th October 2017

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
13OCT17	S	2	PD12JAN18	3257.50	3235.00	USD	1,125.00	
13OCT17	S	1	PD12JAN18	3274.00	3235.00	USD	975.00	
13OCT17	S	1	PD12JAN18	3272.00	3235.00	USD	925.00	
13OCT17	S	1	PD12JAN18	3261.00	3235.00	USD	650.00	
13OCT17	B	1	PD12JAN18	3234.50	3235.00	USD	12.50	
13OCT17	S	2	PD12JAN18	3219.00	3235.00	USD	-800.00	
13OCT17	S	3	PD12JAN18	3218.00	3235.00	USD	-1,275.00	
13OCT17	S	1	PD12JAN18	3215.50	3235.00	USD	-487.50	
13OCT17	S	1	PD12JAN18	3260.50	3235.00	USD	637.50	
13OCT17	S	2	PD12JAN18	3222.50	3235.00	USD	-625.00	
13OCT17	S	2	PD12JAN18	3223.00	3235.00	USD	-600.00	
13OCT17	S	1	PD12JAN18	3237.00	3235.00	USD	50.00	
13OCT17	S	1	PD12JAN18	3240.00	3235.00	USD	125.00	
13OCT17	B	1	PD12JAN18	3251.50	3235.00	USD	-412.50	
13OCT17	B	1	PD12JAN18	3251.00	3235.00	USD	-400.00	
13OCT17	S	1	PD12JAN18	3221.00	3235.00	USD	-350.00	
13OCT17	B	1	PD12JAN18	3249.00	3235.00	USD	-350.00	
	NET	+2 AVG BGHT 3236.27	SOLD 3246.29				11,212.50	0.00

OPEN POSITION VALUE

		USD
LME Aluminium 25 Tonnes.	FUTURES	-4,192,885.75
LME Copper Grade A 25	FUTURES	4,082,144.75
CMX Copper	FUTURES	2,055,112.50
CMX Gold	FUTURES	2,213,250.00
LME Lead 25 tonnes. This is an	FUTURES	387,776.75
LME Nickel 6 tonnes. This is	FUTURES	6,736,213.38
CMX Silver	FUTURES	778,200.00
LME Tin 5 tonnes. This is an	FUTURES	-4,356,214.65
LME Zinc 25 tonnes. This is	FUTURES	71,219.50

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options	Amount	Profit / Loss	Total Amount	Total P&L
COPR - Futures USD	-60,000.00	-60,000.00		
GOLD - Futures USD	-4,570.00	-4,570.00		
SILV - Futures USD	-5,295.00	-5,295.00	-69,865.00	-69,865.00
Unrealised Profits & Losses - Forwards	Amount	Profit / Loss	Total Amount	Total P&L
ALUL - Futures USD	-34,662.25	-34,662.25		
COPL - Futures USD	126,398.00	126,398.00		
LEAD - Futures USD	8,693.00	8,693.00		
NKL - Futures USD	428,321.34	428,321.34		
TIN - Futures USD	-27,772.70	-27,772.70		
ZINC - Futures USD	-9,681.25	-9,681.25	491,296.14	491,296.14

OPEN POSITION STATEMENT



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ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	251,374.06	251,374.06
Variation Margins		
- Futures	-69,865.00	-69,865.00
- Options	0.00	0.00
TOTAL EQUITY	181,509.06	181,509.06
Initial Margins	-1,346,141.86	-1,346,141.86
Excess/(Shortage)	-1,164,632.80	-1,164,632.80
FOR INFORMATION ONLY		
Forward Unrealised P&L	491,296.14	491,296.14
Net Liquidating Value	672,805.20	672,805.20

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED
SAP0094
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

GROUP NO: **SAPG0094**

13th Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343
Authorised and regulated by the Australian Prudential
Regulation Authority. Authorised by the Prudential
Regulation Authority. Subject to regulation by the Financial
Conduct Authority and limited regulation by the
Prudential Regulation Authority. Details about the extent
of our regulation by the Prudential Regulation Authority are
available from us on request.

GROUP FINANCIAL SUMMARY

	EUR	GBP	JPY
	(1.18360)	(1.33050)	(111.93000)
CASH BALANCE	-385.00	7,558.00	54,868,475
Variation Margins	- Futures 0.00	0.00	0
	- Options 0.00	0.00	0
TOTAL EQUITY	-385.00	7,558.00	54,868,475
Initial Margins	0.00	0.00	0
Excess/(Shortage)	-385.00	7,558.00	54,868,475
<u>FOR INFORMATION ONLY</u>			
Net Liquidating Value	-385.00	7,558.00	54,868,475

	USD	NET USD
	(1.00000)	(1.00000)
CASH BALANCE	8,195,708.92	8,695,512.63
Variation Margins	- Futures 134,486.87	134,486.87
	- Options 0.00	0.00
TOTAL EQUITY	8,330,195.79	8,829,999.50
Initial Margins	-2,046,548.88	-2,046,548.88
Excess/(Shortage)	6,283,646.91	6,783,450.62
<u>FOR INFORMATION ONLY</u>		
Forward Unrealised P&L	38,922.12	38,922.12
Net Liquidating Value	8,369,117.91	8,868,921.62

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0096

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
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ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	1,343,979.23	1,343,979.23
Variation Margins		
- Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	1,343,979.23	1,343,979.23
Initial Margins	0.00	0.00
Excess/(Shortage)	1,343,979.23	1,343,979.23
FOR INFORMATION ONLY		
Net Liquidating Value	1,343,979.23	1,343,979.23

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0096B

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

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Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
19SEP17	CMX SILVER 5,000 CMX B 2 DEC17 NET +2AVG BGHT 1718.5	1718.5	1741.1	USD	2,260.00 2,260.00	0.00

OPEN POSITION VALUE

CMX Silver FUTURES USD
-171,850.00

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options	Amount	Profit / Loss	Total Amount	Total P&L
SILV - Futures USD	2,260.00	2,260.00	2,260.00	2,260.00

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
CASH BALANCE	(1.00000)	(1.00000)
Variation Margins - Futures	5,090.00	5,090.00
- Options	2,260.00	2,260.00
	0.00	0.00
TOTAL EQUITY	7,350.00	7,350.00
Initial Margins	-11,800.00	-11,800.00
Excess/(Shortage)	-4,450.00	-4,450.00
FOR INFORMATION ONLY		
Net Liquidating Value	7,350.00	7,350.00

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0096C

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
17JUL17	B	1	PD17OCT17	2312.00	2518.25	USD	5,156.25	
26SEP17	S	1	PD17OCT17	2481.86	2518.25	USD	-909.75	
	NET	0AVG BGHT 2312.00	SOLD 2481.86				4,246.50	0.00
16AUG17	S	4	PD16NOV17	2390.50	2522.75	USD	-13,225.00	
16AUG17	S	1	PD16NOV17	2392.00	2522.75	USD	-3,268.75	
26SEP17	B	5	PD16NOV17	2486.08	2522.75	USD	4,583.75	
	NET	0AVG BGHT 2486.08	SOLD 2390.80				-11,910.00	0.00
01SEP17	B	5	PD01DEC17	2399.00	2524.87	USD	15,733.75	
26SEP17	S	5	PD01DEC17	2487.26	2524.87	USD	-4,701.25	
	NET	0AVG BGHT 2399.00	SOLD 2487.26				11,032.50	0.00
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
18JUL17	S	1	PD18OCT17	2773.50	3291.00	USD	-12,937.50	
18JUL17	B	1	PD18OCT17	2772.00	3291.00	USD	12,975.00	
	NET	0AVG BGHT 2772.00	SOLD 2773.50				37.50	0.00
19JUL17	B	1	PD19OCT17	2770.50	3286.50	USD	12,900.00	
19JUL17	B	1	PD19OCT17	2760.50	3286.50	USD	13,150.00	
19JUL17	B	1	PD19OCT17	2750.50	3286.50	USD	13,400.00	
19JUL17	B	1	PD19OCT17	2740.50	3286.50	USD	13,650.00	
26SEP17	S	4	PD19OCT17	3127.30	3286.50	USD	-15,920.00	
	NET	0AVG BGHT 2755.50	SOLD 3127.30				37,180.00	0.00
20JUL17	B	1	PD20OCT17	2720.50	3282.00	USD	14,037.50	
26SEP17	S	1	PD20OCT17	3126.80	3282.00	USD	-3,880.00	
	NET	0AVG BGHT 2720.50	SOLD 3126.80				10,157.50	0.00
16AUG17	S	4	PD16NOV17	2978.00	3253.50	USD	-27,550.00	
16AUG17	S	1	PD16NOV17	2979.50	3253.50	USD	-6,850.00	
26SEP17	B	5	PD16NOV17	3118.96	3253.50	USD	16,817.50	
	NET	0AVG BGHT 3118.96	SOLD 2978.30				-17,582.50	0.00
01SEP17	S	4	PD01DEC17	3177.50	3246.25	USD	-6,875.00	
01SEP17	S	1	PD01DEC17	3178.00	3246.25	USD	-1,706.25	
01SEP17	S	5	PD01DEC17	3180.00	3246.25	USD	-8,281.25	
01SEP17	S	5	PD01DEC17	3183.00	3246.25	USD	-7,906.25	
01SEP17	B	5	PD01DEC17	3191.50	3246.25	USD	6,843.75	
01SEP17	B	1	PD01DEC17	3192.00	3246.25	USD	1,356.25	
01SEP17	B	5	PD01DEC17	3192.50	3246.25	USD	6,718.75	
01SEP17	B	6	PD01DEC17	3196.00	3246.25	USD	7,537.50	
01SEP17	B	3	PD01DEC17	3197.00	3246.25	USD	3,693.75	
26SEP17	S	5	PD01DEC17	3118.38	3246.25	USD	-15,983.75	
	NET	0AVG BGHT 3193.95	SOLD 3164.75				-14,602.50	0.00
14SEP17	B	5	PD14DEC17	3006.50	3244.00	USD	29,687.50	
14SEP17	B	5	PD14DEC17	3007.50	3244.00	USD	29,562.50	
14SEP17	B	10	PD14DEC17	3008.50	3244.00	USD	58,875.00	
14SEP17	S	1	PD14DEC17	3016.00	3244.00	USD	-5,700.00	
14SEP17	S	1	PD14DEC17	3020.00	3244.00	USD	-5,600.00	
26SEP17	S	18	PD14DEC17	3119.00	3244.00	USD	-56,250.00	
	NET	0AVG BGHT 3007.75	SOLD 3108.90				50,575.00	0.00
15SEP17	S	1	PD15DEC17	3025.00	3243.75	USD	-5,468.75	
15SEP17	S	1	PD15DEC17	3030.00	3243.75	USD	-5,343.75	
15SEP17	S	1	PD15DEC17	3035.00	3243.75	USD	-5,218.75	
15SEP17	S	1	PD15DEC17	3040.00	3243.75	USD	-5,093.75	
26SEP17	B	4	PD15DEC17	3119.20	3243.75	USD	12,455.00	
	NET	0AVG BGHT 3119.20	SOLD 3032.50				-8,670.00	0.00
18SEP17	S	5	PD18DEC17	3067.50	3243.50	USD	-22,000.00	
18SEP17	S	1	PD18DEC17	3066.00	3243.50	USD	-4,437.50	

OPEN POSITION STATEMENT



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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
18SEP17	S	2	PD18DEC17	3066.50	3243.50	USD	-8,850.00	
18SEP17	S	2	PD18DEC17	3067.00	3243.50	USD	-8,825.00	
18SEP17	S	4	PD18DEC17	3070.50	3243.50	USD	-17,300.00	
18SEP17	S	4	PD18DEC17	3071.50	3243.50	USD	-17,200.00	
18SEP17	B	1	PD18DEC17	3100.00	3243.50	USD	3,587.50	
26SEP17	B	14	PD18DEC17	3119.20	3243.50	USD	43,505.00	
26SEP17	B	3	PD18DEC17	3119.00	3243.50	USD	9,337.50	
	NET	0 AVG BGHT 3118.10	SOLD 3068.81				-22,182.50	0.00
19SEP17	B	1	PD19DEC17	3099.50	3243.25	USD	3,593.75	
19SEP17	B	1	PD19DEC17	3105.00	3243.25	USD	3,456.25	
19SEP17	B	1	PD19DEC17	3106.00	3243.25	USD	3,431.25	
26SEP17	S	3	PD19DEC17	3118.80	3243.25	USD	-9,333.75	
	NET	0 AVG BGHT 3103.50	SOLD 3118.80				1,147.50	0.00
21SEP17	B	5	PD21DEC17	3035.50	3240.00	USD	25,562.50	
21SEP17	S	1	PD21DEC17	3040.00	3240.00	USD	-5,000.00	
21SEP17	S	2	PD21DEC17	3041.00	3240.00	USD	-9,950.00	
21SEP17	S	1	PD21DEC17	3040.50	3240.00	USD	-4,987.50	
21SEP17	S	1	PD21DEC17	3045.00	3240.00	USD	-4,875.00	
	NET	0 AVG BGHT 3035.50	SOLD 3041.50				750.00	0.00
28SEP17	B	5	PD28DEC17	3145.00	3238.85	USD	11,731.25	
28SEP17	B	5	PD28DEC17	3145.50	3238.85	USD	11,668.75	
28SEP17	B	5	PD28DEC17	3146.00	3238.85	USD	11,606.25	
28SEP17	B	5	PD28DEC17	3147.00	3238.85	USD	11,481.25	
28SEP17	S	5	PD28DEC17	3156.00	3238.85	USD	-10,356.25	
28SEP17	S	5	PD28DEC17	3160.50	3238.85	USD	-9,793.75	
28SEP17	S	5	PD28DEC17	3159.00	3238.85	USD	-9,981.25	
	NET	+5 AVG BGHT 3145.88	SOLD 3158.50				16,356.25	0.00
29SEP17	S	1	PD29DEC17	3158.50	3238.46	USD	-1,999.00	
29SEP17	S	1	PD29DEC17	3166.50	3238.46	USD	-1,799.00	
29SEP17	S	3	PD29DEC17	3159.00	3238.46	USD	-5,959.50	
	NET	-5 AVG SOLD 3160.40					-9,757.50	0.00

OPEN POSITION VALUE

		<u>USD</u>
LME Lead 25 tonnes. This is an	FUTURES	3,369.00
LME Zinc 25 tonnes. This is	FUTURES	43,360.00

MARKET REVALUATION BREAKDOWN

Unrealised Profits & Losses - Forwards	Amount	Profit / Loss	Total Amount	Total P&L
LEAD - Futures	USD 3,369.00	3,369.00		
ZINC - Futures	USD 43,408.75	43,408.75	46,777.75	46,777.75

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0096C

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

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FINANCIAL CONDUCT AUTHORITY

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	-46,752.75	-46,752.75
Variation Margins		
- Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	-46,752.75	-46,752.75
Initial Margins	0.00	0.00
Excess/(Shortage)	-46,752.75	-46,752.75
FOR INFORMATION ONLY		
Forward Unrealised P&L	46,777.75	46,777.75
Net Liquidating Value	25.00	25.00

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.</u>							
17JUL17	B	2	PD17OCT17	1939.00	2109.75	USD	8,537.50	
17JUL17	B	1	PD17OCT17	1923.00	2109.75	USD	4,668.75	
18SEP17	S	3	PD17OCT17	2068.08	2109.75	USD	-3,125.25	
	NET	0 AVG BGHT 1933.67	SOLD 2068.08				10,081.00	0.00
25JUL17	B	2	PD25OCT17	1919.00	2113.75	USD	9,737.50	
18SEP17	S	2	PD25OCT17	2071.13	2113.75	USD	-2,131.00	
	NET	0 AVG BGHT 1919.00	SOLD 2071.13				7,606.50	0.00
26JUL17	S	2	PD26OCT17	1958.00	2114.25	USD	-7,812.50	
26JUL17	S	2	PD26OCT17	1961.00	2114.25	USD	-7,662.50	
26JUL17	B	1	PD26OCT17	1943.50	2114.25	USD	4,268.75	
18SEP17	B	3	PD26OCT17	2071.74	2114.25	USD	3,188.25	
	NET	0 AVG BGHT 2039.68	SOLD 1959.50				-8,018.00	0.00
28JUL17	B	1	PD27OCT17	1935.50	2114.08	USD	4,464.50	
18SEP17	S	1	PD27OCT17	2071.95	2114.08	USD	-1,053.25	
	NET	0 AVG BGHT 1935.50	SOLD 2071.95				3,411.25	0.00
31JUL17	B	2	PD31OCT17	1919.50	2113.75	USD	9,712.50	
18SEP17	S	2	PD31OCT17	2073.59	2113.75	USD	-2,008.00	
	NET	0 AVG BGHT 1919.50	SOLD 2073.59				7,704.50	0.00
01AUG17	B	3	PD01NOV17	1907.00	2114.09	USD	15,531.75	
01AUG17	S	4	PD01NOV17	1909.50	2114.09	USD	-20,459.00	
18SEP17	B	1	PD01NOV17	2074.20	2114.09	USD	997.25	
	NET	0 AVG BGHT 1948.80	SOLD 1909.50				-3,930.00	0.00
02AUG17	S	1	PD02NOV17	1936.50	2114.44	USD	-4,448.50	
02AUG17	B	1	PD02NOV17	1928.00	2114.44	USD	4,661.00	
	NET	0 AVG BGHT 1928.00	SOLD 1936.50				212.50	0.00
03AUG17	B	1	PD03NOV17	1924.00	2114.78	USD	4,769.50	
04AUG17	B	2	PD03NOV17	1911.00	2114.78	USD	10,189.00	
04AUG17	S	5	PD03NOV17	1910.00	2114.78	USD	-25,597.50	
18SEP17	B	2	PD03NOV17	2075.02	2114.78	USD	1,988.00	
	NET	0 AVG BGHT 1979.21	SOLD 1910.00				-8,651.00	0.00
08AUG17	S	1	PD08NOV17	1974.00	2116.50	USD	-3,562.50	
08AUG17	S	1	PD08NOV17	1996.50	2116.50	USD	-3,000.00	
18SEP17	B	2	PD08NOV17	2077.08	2116.50	USD	1,971.00	
	NET	0 AVG BGHT 2077.08	SOLD 1985.25				-4,591.50	0.00
14AUG17	B	1	PD14NOV17	2034.00	2119.29	USD	2,132.25	
18SEP17	S	1	PD14NOV17	2079.34	2119.29	USD	-998.75	
	NET	0 AVG BGHT 2034.00	SOLD 2079.34				1,133.50	0.00
16AUG17	B	1	PD16NOV17	2087.50	2120.07	USD	814.25	
18SEP17	S	1	PD16NOV17	2080.05	2120.07	USD	-1,000.50	
	NET	0 AVG BGHT 2087.50	SOLD 2080.05				-186.25	0.00
17AUG17	S	2	PD17NOV17	2073.50	2120.38	USD	-2,344.00	
18SEP17	B	2	PD17NOV17	2080.54	2120.38	USD	1,992.00	
	NET	0 AVG BGHT 2080.54	SOLD 2073.50				-352.00	0.00
22AUG17	B	1	PD22NOV17	2082.50	2121.96	USD	986.50	
22AUG17	B	1	PD22NOV17	2080.00	2121.96	USD	1,049.00	
22AUG17	B	2	PD22NOV17	2077.00	2121.96	USD	2,248.00	
18SEP17	S	4	PD22NOV17	2081.82	2121.96	USD	-4,014.00	
	NET	0 AVG BGHT 2079.13	SOLD 2081.82				269.50	0.00
23AUG17	S	1	PD24NOV17	2089.50	2122.60	USD	-827.50	
23AUG17	S	1	PD24NOV17	2090.50	2122.60	USD	-802.50	
23AUG17	S	2	PD24NOV17	2091.50	2122.60	USD	-1,555.00	
23AUG17	S	1	PD24NOV17	2096.50	2122.60	USD	-652.50	
23AUG17	S	1	PD24NOV17	2096.00	2122.60	USD	-665.00	

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	<u>LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.</u>							
24AUG17	B	1	PD24NOV17	2101.50	2122.60	USD	527.50	
24AUG17	B	1	PD24NOV17	2101.00	2122.60	USD	540.00	
25AUG17	B	2	PD24NOV17	2070.50	2122.60	USD	2,605.00	
18SEP17	B	2	PD24NOV17	2082.61	2122.60	USD	1,999.50	
	NET	0 AVG	BGHT 2084.79				1,169.50	0.00
29AUG17	S	1	PD29NOV17	2100.00	2124.18	USD	-604.50	
29AUG17	S	1	PD29NOV17	2102.00	2124.18	USD	-554.50	
18SEP17	B	2	PD29NOV17	2084.09	2124.18	USD	2,004.50	
	NET	0 AVG	BGHT 2084.09				845.50	0.00
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
17JUL17	S	2	PD17OCT17	5979.50	6859.00	USD	-43,975.00	
17JUL17	S	1	PD17OCT17	6014.00	6859.00	USD	-21,125.00	
18SEP17	B	3	PD17OCT17	6495.75	6859.00	USD	27,243.75	
	NET	0 AVG	BGHT 6495.75				-37,856.25	0.00
25JUL17	S	1	PD25OCT17	6222.50	6857.50	USD	-15,875.00	
25JUL17	S	1	PD25OCT17	6221.00	6857.50	USD	-15,912.50	
18SEP17	B	2	PD25OCT17	6499.11	6857.50	USD	17,919.50	
	NET	0 AVG	BGHT 6499.11				-13,868.00	0.00
26JUL17	B	1	PD26OCT17	6347.50	6857.50	USD	12,750.00	
26JUL17	B	1	PD26OCT17	6354.50	6857.50	USD	12,575.00	
26JUL17	B	1	PD26OCT17	6391.00	6857.50	USD	11,662.50	
26JUL17	B	1	PD26OCT17	6343.50	6857.50	USD	12,850.00	
18SEP17	S	4	PD26OCT17	6499.26	6857.50	USD	-35,824.00	
	NET	0 AVG	BGHT 6359.13				14,013.50	0.00
28JUL17	S	1	PD27OCT17	6299.50	6857.50	USD	-13,950.00	
28JUL17	B	1	PD27OCT17	6320.50	6857.50	USD	13,425.00	
	NET	0 AVG	BGHT 6320.50				-525.00	0.00
04AUG17	B	1	PD03NOV17	6348.50	6860.59	USD	12,802.25	
18SEP17	S	1	PD03NOV17	6503.35	6860.59	USD	-8,931.00	
	NET	0 AVG	BGHT 6348.50				3,871.25	0.00
	<u>LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
17JUL17	B	1	PD17OCT17	2325.00	2518.25	USD	4,831.25	
17JUL17	B	1	PD17OCT17	2329.50	2518.25	USD	4,718.75	
18SEP17	S	2	PD17OCT17	2372.93	2518.25	USD	-7,266.00	
	NET	0 AVG	BGHT 2327.25				2,284.00	0.00
19JUL17	B	1	PD19OCT17	2241.50	2518.90	USD	6,935.00	
18SEP17	S	1	PD19OCT17	2373.54	2518.90	USD	-3,634.00	
	NET	0 AVG	BGHT 2241.50				3,301.00	0.00
21JUL17	S	1	PD20OCT17	2246.00	2519.05	USD	-6,826.25	
21JUL17	S	1	PD20OCT17	2251.00	2519.05	USD	-6,701.25	
24JUL17	B	2	PD20OCT17	2259.70	2519.05	USD	12,967.50	
	NET	0 AVG	BGHT 2259.70				-560.00	0.00
26JUL17	S	1	PD26OCT17	2332.00	2519.95	USD	-4,698.75	
26JUL17	B	1	PD26OCT17	2312.50	2519.95	USD	5,186.25	
	NET	0 AVG	BGHT 2312.50				487.50	0.00
28JUL17	S	1	PD27OCT17	2302.50	2520.10	USD	-5,440.00	
18SEP17	B	1	PD27OCT17	2376.02	2520.10	USD	3,602.00	
	NET	0 AVG	BGHT 2376.02				-1,838.00	0.00
01AUG17	B	1	PD01NOV17	2338.50	2520.85	USD	4,558.75	
01AUG17	S	2	PD01NOV17	2337.00	2520.85	USD	-9,192.50	
01AUG17	S	2	PD01NOV17	2338.50	2520.85	USD	-9,117.50	

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	<u>LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
18SEP17	B	3	PD01NOV17	2377.45	2520.85	USD	10,755.00	
	NET	0 AVG	BGHT 2367.71	SOLD 2337.75			-2,996.25	0.00
09AUG17	B	1	PD09NOV17	2413.50	2521.94	USD	2,711.00	
09AUG17	B	1	PD09NOV17	2415.50	2521.94	USD	2,661.00	
09AUG17	B	1	PD09NOV17	2385.50	2521.94	USD	3,411.00	
09AUG17	B	1	PD09NOV17	2389.00	2521.94	USD	3,323.50	
09AUG17	B	1	PD09NOV17	2389.50	2521.94	USD	3,311.00	
09AUG17	B	1	PD09NOV17	2391.00	2521.94	USD	3,273.50	
09AUG17	S	1	PD09NOV17	2389.00	2521.94	USD	-3,323.50	
18SEP17	S	5	PD09NOV17	2379.54	2521.94	USD	-17,800.00	
	NET	0 AVG	BGHT 2397.33	SOLD 2381.12			-2,432.50	0.00
17AUG17	S	1	PD17NOV17	2413.00	2522.75	USD	-2,743.75	
17AUG17	S	1	PD17NOV17	2414.00	2522.75	USD	-2,718.75	
18AUG17	S	1	PD17NOV17	2411.00	2522.75	USD	-2,793.75	
18AUG17	S	1	PD17NOV17	2359.50	2522.75	USD	-4,081.25	
18SEP17	B	4	PD17NOV17	2381.98	2522.75	USD	14,077.00	
	NET	0 AVG	BGHT 2381.98	SOLD 2399.38			1,739.50	0.00
21AUG17	S	1	PD21NOV17	2350.50	2523.36	USD	-4,321.50	
18SEP17	B	1	PD21NOV17	2383.04	2523.36	USD	3,508.00	
	NET	0 AVG	BGHT 2383.04	SOLD 2350.50			-813.50	0.00
22AUG17	S	1	PD22NOV17	2417.50	2523.51	USD	-2,650.25	
22AUG17	S	1	PD22NOV17	2402.00	2523.51	USD	-3,037.75	
18SEP17	B	2	PD22NOV17	2383.11	2523.51	USD	7,020.00	
	NET	0 AVG	BGHT 2383.11	SOLD 2409.75			1,332.00	0.00
23AUG17	B	1	PD24NOV17	2399.00	2523.81	USD	3,120.25	
23AUG17	B	1	PD24NOV17	2388.50	2523.81	USD	3,382.75	
23AUG17	S	1	PD24NOV17	2383.50	2523.81	USD	-3,507.75	
23AUG17	S	1	PD24NOV17	2386.50	2523.81	USD	-3,432.75	
24AUG17	B	1	PD24NOV17	2352.00	2523.81	USD	4,295.25	
24AUG17	B	1	PD24NOV17	2355.50	2523.81	USD	4,207.75	
25AUG17	B	1	PD24NOV17	2353.00	2523.81	USD	4,270.25	
18SEP17	S	2	PD24NOV17	2383.44	2523.81	USD	-7,018.50	
18SEP17	S	1	PD24NOV17	2383.64	2523.81	USD	-3,504.25	
	NET	0 AVG	BGHT 2369.60	SOLD 2384.10			1,813.00	0.00
29AUG17	S	1	PD29NOV17	2399.00	2524.57	USD	-3,139.25	
18SEP17	B	1	PD29NOV17	2385.16	2524.57	USD	3,485.25	
	NET	0 AVG	BGHT 2385.16	SOLD 2399.00			346.00	0.00
	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
17JUL17	S	1	PD17OCT17	9660.00	11610.50	USD	-11,703.00	
17JUL17	S	1	PD17OCT17	9690.00	11610.50	USD	-11,523.00	
17JUL17	S	1	PD17OCT17	9715.00	11610.50	USD	-11,373.00	
24JUL17	B	3	PD17OCT17	9778.34	11610.50	USD	32,978.88	
	NET	0 AVG	BGHT 9778.34	SOLD 9688.33			-1,620.12	0.00
19JUL17	S	2	PD19OCT17	9700.00	11610.50	USD	-22,926.00	
19JUL17	S	1	PD19OCT17	9650.00	11610.50	USD	-11,763.00	
24JUL17	B	1	PD19OCT17	9777.00	11610.50	USD	11,001.00	
18SEP17	B	2	PD19OCT17	11186.86	11610.50	USD	5,083.68	
	NET	0 AVG	BGHT 10716.91	SOLD 9683.33			-18,604.32	0.00
20JUL17	B	1	PD20OCT17	9500.00	11611.35	USD	12,668.10	
24JUL17	S	1	PD20OCT17	9776.60	11611.35	USD	-11,008.50	
	NET	0 AVG	BGHT 9500.00	SOLD 9776.60			1,659.60	0.00
24JUL17	S	1	PD24OCT17	9725.00	11614.76	USD	-11,338.56	
24JUL17	S	1	PD24OCT17	9770.00	11614.76	USD	-11,068.56	

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>						
18SEP17	B	2 PD240CT17	11191.14	11614.76	USD	5,083.44	
	NET	0 AVG BGHT 11191.14 SOLD 9747.50				-17,323.68	0.00
26JUL17	B	1 PD260CT17	9940.00	11616.46	USD	10,058.76	
18SEP17	S	1 PD260CT17	11191.86	11616.46	USD	-2,547.60	
	NET	0 AVG BGHT 9940.00 SOLD 11191.86				7,511.16	0.00
28JUL17	B	1 PD270CT17	10230.00	11617.31	USD	8,323.86	
28JUL17	B	1 PD270CT17	10190.00	11617.31	USD	8,563.86	
28JUL17	B	1 PD270CT17	10205.00	11617.31	USD	8,473.86	
28JUL17	B	1 PD270CT17	10255.00	11617.31	USD	8,173.86	
28JUL17	S	1 PD270CT17	10255.00	11617.31	USD	-8,173.86	
28JUL17	S	1 PD270CT17	10260.00	11617.31	USD	-8,143.86	
18SEP17	S	2 PD270CT17	11192.71	11617.31	USD	-5,095.20	
	NET	0 AVG BGHT 10220.00 SOLD 10725.11				12,122.52	0.00
31JUL17	B	1 PD310CT17	10315.00	11620.72	USD	7,834.32	
18SEP17	S	1 PD310CT17	11196.14	11620.72	USD	-2,547.48	
	NET	0 AVG BGHT 10315.00 SOLD 11196.14				5,286.84	0.00
01AUG17	S	2 PD01NOV17	10180.00	11621.57	USD	-17,298.84	
05OCT17	B	2 PD01NOV17	10473.50	11621.57	USD	13,776.84	
	NET	0 AVG BGHT 10473.50 SOLD 10180.00				-3,522.00	0.00
05OCT17	S	2 PD02NOV17	10473.54	11622.43	USD	-13,786.68	
	NET	-2 AVG SOLD 10473.54				-13,786.68	0.00
07AUG17	B	1 PD07NOV17	10345.00	11626.69	USD	7,690.14	
07AUG17	B	1 PD07NOV17	10360.00	11626.69	USD	7,600.14	
	NET	+2 AVG BGHT 10352.50				15,290.28	0.00
09AUG17	S	1 PD09NOV17	10730.00	11628.39	USD	-5,390.34	
05OCT17	B	1 PD09NOV17	10481.79	11628.39	USD	6,879.60	
	NET	0 AVG BGHT 10481.79 SOLD 10730.00				1,489.26	0.00
11AUG17	B	1 PD10NOV17	10615.00	11629.24	USD	6,085.44	
05OCT17	S	1 PD10NOV17	10481.82	11629.24	USD	-6,884.52	
	NET	0 AVG BGHT 10615.00 SOLD 10481.82				-799.08	0.00
	<u>LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>						
17JUL17	B	1 PD17OCT17	19970.00	20735.00	USD	3,825.00	
17JUL17	B	2 PD17OCT17	19975.00	20735.00	USD	7,600.00	
24JUL17	S	3 PD17OCT17	20196.89	20735.00	USD	-8,071.65	
	NET	0 AVG BGHT 19973.33 SOLD 20196.89				3,353.35	0.00
19JUL17	B	1 PD19OCT17	20110.00	20732.50	USD	3,112.50	
19JUL17	B	1 PD19OCT17	20120.00	20732.50	USD	3,062.50	
19JUL17	B	1 PD19OCT17	20085.00	20732.50	USD	3,237.50	
24JUL17	S	1 PD19OCT17	20180.00	20732.50	USD	-2,762.50	
05OCT17	S	2 PD19OCT17	21114.00	20732.50	USD	3,815.00	
	NET	0 AVG BGHT 20105.00 SOLD 20802.67				10,465.00	0.00
20JUL17	S	1 PD20OCT17	20090.00	20730.00	USD	-3,200.00	
24JUL17	B	1 PD20OCT17	20166.00	20730.00	USD	2,820.00	
	NET	0 AVG BGHT 20166.00 SOLD 20090.00				-380.00	0.00
24JUL17	B	1 PD24OCT17	20260.00	20725.00	USD	2,325.00	
24JUL17	B	1 PD24OCT17	20155.00	20725.00	USD	2,850.00	
05OCT17	S	2 PD24OCT17	21096.00	20725.00	USD	3,710.00	
	NET	0 AVG BGHT 20207.50 SOLD 21096.00				8,885.00	0.00
26JUL17	S	1 PD26OCT17	20350.00	20720.00	USD	-1,850.00	
05OCT17	B	1 PD26OCT17	21085.00	20720.00	USD	-1,825.00	
	NET	0 AVG BGHT 21085.00 SOLD 20350.00				-3,675.00	0.00
28JUL17	S	1 PD27OCT17	20850.00	20717.50	USD	662.50	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0096F

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
28JUL17	S	1	PD27OCT17	20835.00	20717.50	USD	587.50	
28JUL17	S	1	PD27OCT17	20855.00	20717.50	USD	687.50	
28JUL17	B	2	PD27OCT17	20645.00	20717.50	USD	725.00	
28JUL17	S	1	PD27OCT17	20645.00	20717.50	USD	-362.50	
05OCT17	B	2	PD27OCT17	21079.00	20717.50	USD	-3,615.00	
	NET	0 AVG	BGHT 20862.00	SOLD 20796.25			-1,315.00	0.00
31JUL17	S	1	PD31OCT17	20845.00	20712.50	USD	662.50	
05OCT17	B	1	PD31OCT17	21067.00	20712.50	USD	-1,772.50	
	NET	0 AVG	BGHT 21067.00	SOLD 20845.00			-1,110.00	0.00
01AUG17	B	2	PD01NOV17	20400.00	20710.00	USD	3,100.00	
05OCT17	S	2	PD01NOV17	21060.00	20710.00	USD	3,500.00	
	NET	0 AVG	BGHT 20400.00	SOLD 21060.00			6,600.00	0.00
07AUG17	S	1	PD07NOV17	20660.00	20700.00	USD	-200.00	
07AUG17	S	1	PD07NOV17	20615.00	20700.00	USD	-425.00	
05OCT17	B	2	PD07NOV17	21055.00	20700.00	USD	-3,550.00	
	NET	0 AVG	BGHT 21055.00	SOLD 20637.50			-4,175.00	0.00
09AUG17	B	1	PD09NOV17	20250.00	20695.00	USD	2,225.00	
05OCT17	S	1	PD09NOV17	21051.00	20695.00	USD	1,780.00	
	NET	0 AVG	BGHT 20250.00	SOLD 21051.00			4,005.00	0.00
11AUG17	S	1	PD10NOV17	20310.00	20692.50	USD	-1,912.50	
05OCT17	B	1	PD10NOV17	21050.50	20692.50	USD	-1,790.00	
	NET	0 AVG	BGHT 21050.50	SOLD 20310.00			-3,702.50	0.00
23AUG17	B	1	PD24NOV17	20545.00	20673.75	USD	643.75	
25AUG17	S	1	PD24NOV17	20405.00	20673.75	USD	-1,343.75	
	NET	0 AVG	BGHT 20545.00	SOLD 20405.00			-700.00	0.00
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
17JUL17	S	1	PD17OCT17	2829.50	3294.00	USD	-11,612.50	
17JUL17	S	1	PD17OCT17	2840.50	3294.00	USD	-11,337.50	
05OCT17	B	2	PD17OCT17	3335.17	3294.00	USD	-2,058.50	
	NET	0 AVG	BGHT 3335.17	SOLD 2835.00			-25,008.50	0.00
19JUL17	S	1	PD19OCT17	2773.00	3286.50	USD	-12,837.50	
05OCT17	B	1	PD19OCT17	3327.50	3286.50	USD	-1,025.00	
	NET	0 AVG	BGHT 3327.50	SOLD 2773.00			-13,862.50	0.00
21JUL17	B	1	PD20OCT17	2764.00	3282.00	USD	12,950.00	
21JUL17	B	1	PD20OCT17	2765.00	3282.00	USD	12,925.00	
24JUL17	S	2	PD20OCT17	2787.40	3282.00	USD	-24,730.00	
	NET	0 AVG	BGHT 2764.50	SOLD 2787.40			1,145.00	0.00
26JUL17	B	1	PD26OCT17	2846.50	3271.87	USD	10,634.25	
26JUL17	S	1	PD26OCT17	2808.50	3271.87	USD	-11,584.25	
26JUL17	S	1	PD26OCT17	2810.00	3271.87	USD	-11,546.75	
05OCT17	B	1	PD26OCT17	3314.83	3271.87	USD	-1,074.00	
	NET	0 AVG	BGHT 3080.67	SOLD 2809.25			-13,570.75	0.00
28JUL17	S	1	PD27OCT17	2784.50	3270.73	USD	-12,155.75	
28JUL17	B	1	PD27OCT17	2769.00	3270.73	USD	12,543.25	
	NET	0 AVG	BGHT 2769.00	SOLD 2784.50			387.50	0.00
31JUL17	S	2	PD31OCT17	2819.50	3268.47	USD	-22,448.50	
05OCT17	B	2	PD31OCT17	3309.83	3268.47	USD	-2,068.00	
	NET	0 AVG	BGHT 3309.83	SOLD 2819.50			-24,516.50	0.00
01AUG17	B	4	PD01NOV17	2781.50	3267.33	USD	48,583.00	
05OCT17	S	4	PD01NOV17	3307.97	3267.33	USD	4,064.00	
	NET	0 AVG	BGHT 2781.50	SOLD 3307.97			52,647.00	0.00
02AUG17	B	1	PD02NOV17	2775.00	3266.20	USD	12,280.00	

OPEN POSITION STATEMENT



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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
02AUG17	S	1	PD02NOV17	2785.00	3266.20	USD	-12,030.00	
	NET	0 AVG	BGHT 2775.00	SOLD 2785.00			250.00	0.00
03AUG17	S	1	PD03NOV17	2828.50	3265.07	USD	-10,914.25	
04AUG17	B	3	PD03NOV17	2815.50	3265.07	USD	33,717.75	
04AUG17	S	2	PD03NOV17	2815.00	3265.07	USD	-22,503.50	
04AUG17	B	1	PD03NOV17	2814.50	3265.07	USD	11,264.25	
05OCT17	S	1	PD03NOV17	3305.74	3265.07	USD	1,016.75	
	NET	0 AVG	BGHT 2815.25	SOLD 2941.06			12,581.00	0.00
08AUG17	B	1	PD08NOV17	2868.00	3261.67	USD	9,841.75	
08AUG17	B	1	PD08NOV17	2870.00	3261.67	USD	9,791.75	
05OCT17	S	2	PD08NOV17	3304.08	3261.67	USD	2,120.50	
	NET	0 AVG	BGHT 2869.00	SOLD 3304.08			21,754.00	0.00
09AUG17	S	1	PD09NOV17	2973.50	3260.53	USD	-7,175.75	
09AUG17	S	1	PD09NOV17	2975.00	3260.53	USD	-7,138.25	
09AUG17	B	1	PD09NOV17	2959.00	3260.53	USD	7,538.25	
09AUG17	S	1	PD09NOV17	2958.00	3260.53	USD	-7,563.25	
09AUG17	S	1	PD09NOV17	2958.50	3260.53	USD	-7,550.75	
09AUG17	S	1	PD09NOV17	2961.00	3260.53	USD	-7,488.25	
09AUG17	S	1	PD09NOV17	2950.50	3260.53	USD	-7,750.75	
05OCT17	B	5	PD09NOV17	3303.72	3260.53	USD	-5,398.75	
	NET	0 AVG	BGHT 3246.27	SOLD 2962.75			-42,527.50	0.00
14AUG17	S	1	PD14NOV17	2913.50	3257.13	USD	-8,590.75	
05OCT17	B	1	PD14NOV17	3302.06	3257.13	USD	-1,123.25	
	NET	0 AVG	BGHT 3302.06	SOLD 2913.50			-9,714.00	0.00
16AUG17	S	1	PD16NOV17	3095.00	3253.50	USD	-3,962.50	
05OCT17	B	1	PD16NOV17	3298.75	3253.50	USD	-1,131.25	
	NET	0 AVG	BGHT 3298.75	SOLD 3095.00			-5,093.75	0.00
17AUG17	B	1	PD17NOV17	3091.50	3251.00	USD	3,987.50	
17AUG17	B	1	PD17NOV17	3060.50	3251.00	USD	4,762.50	
17AUG17	B	1	PD17NOV17	3061.00	3251.00	USD	4,750.00	
17AUG17	B	1	PD17NOV17	3064.00	3251.00	USD	4,675.00	
17AUG17	B	1	PD17NOV17	3060.00	3251.00	USD	4,775.00	
18AUG17	B	2	PD17NOV17	3128.50	3251.00	USD	6,125.00	
05OCT17	S	7	PD17NOV17	3298.00	3251.00	USD	8,225.00	
	NET	0 AVG	BGHT 3084.86	SOLD 3298.00			37,300.00	0.00
22AUG17	S	1	PD22NOV17	3124.00	3248.38	USD	-3,109.50	
22AUG17	S	1	PD22NOV17	3120.00	3248.38	USD	-3,209.50	
05OCT17	B	2	PD22NOV17	3296.55	3248.38	USD	-2,408.50	
	NET	0 AVG	BGHT 3296.55	SOLD 3122.00			-8,727.50	0.00
23AUG17	B	1	PD24NOV17	3101.50	3247.50	USD	3,650.00	
23AUG17	B	2	PD24NOV17	3102.00	3247.50	USD	7,275.00	
23AUG17	B	2	PD24NOV17	3100.00	3247.50	USD	7,375.00	
23AUG17	B	2	PD24NOV17	3100.50	3247.50	USD	7,350.00	
23AUG17	S	1	PD24NOV17	3099.50	3247.50	USD	-3,700.00	
24AUG17	S	1	PD24NOV17	3102.50	3247.50	USD	-3,625.00	
24AUG17	S	1	PD24NOV17	3104.00	3247.50	USD	-3,587.50	
24AUG17	S	1	PD24NOV17	3105.00	3247.50	USD	-3,562.50	
24AUG17	S	1	PD24NOV17	3106.50	3247.50	USD	-3,525.00	
25AUG17	S	1	PD24NOV17	3089.50	3247.50	USD	-3,950.00	
25AUG17	S	2	PD24NOV17	3064.00	3247.50	USD	-9,175.00	
05OCT17	B	1	PD24NOV17	3296.00	3247.50	USD	-1,212.50	
	NET	0 AVG	BGHT 3125.31	SOLD 3091.88			-6,687.50	0.00
29AUG17	B	2	PD29NOV17	3122.00	3246.75	USD	6,237.50	
29AUG17	B	1	PD29NOV17	3121.00	3246.75	USD	3,143.75	
05OCT17	S	3	PD29NOV17	3295.55	3246.75	USD	3,660.00	

OPEN POSITION STATEMENT



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Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
	NET 0 AVG BGHT 3121.67 SOLD 3295.55				13,041.25	0.00

OPEN POSITION VALUE

		USD
LME Aluminium 25 Tonnes.	FUTURES	6,705.00
LME Copper Grade A 25	FUTURES	-34,364.50
LME Lead 25 tonnes. This is an	FUTURES	2,662.75
LME Nickel 6 tonnes. This is	FUTURES	-12,347.34
LME Tin 5 tonnes. This is an	FUTURES	18,250.85
LME Zinc 25 tonnes. This is	FUTURES	-10,602.75

MARKET REVALUATION BREAKDOWN

Unrealised Profits & Losses - Forwards	Amount	Profit / Loss	Total Amount	Total P&L
ALUL - Futures	USD 6,705.00	6,705.00		
COPL - Futures	USD -34,364.50	-34,364.50		
LEAD - Futures	USD 2,662.75	2,662.75		
NKL - Futures	USD -12,296.22	-12,296.22		
TIN - Futures	USD 18,250.85	18,250.85		
ZINC - Futures	USD -10,602.75	-10,602.75	-29,644.87	-29,644.87

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
CASH BALANCE	(1.00000)	(1.00000)
Variation Margins	28,172.85	28,172.85
- Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	28,172.85	28,172.85
Initial Margins	-29,766.87	-29,766.87
Excess/(Shortage)	-1,594.02	-1,594.02
<u>FOR INFORMATION ONLY</u>		
Forward Unrealised P&L	-29,644.87	-29,644.87
Net Liquidating Value	-1,472.02	-1,472.02

OPEN POSITION STATEMENT



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SAP0096G

13th October 2017

Sapien Capital Limited
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London W1J 7NJ UK
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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
11SEP17	B	2	PD11DEC17	11485.00	11651.33	USD	1,995.96	
11SEP17	B	3	PD11DEC17	11500.00	11651.33	USD	2,723.94	
11SEP17	B	3	PD11DEC17	11490.00	11651.33	USD	2,903.94	
11SEP17	B	2	PD11DEC17	11495.00	11651.33	USD	1,875.96	
22SEP17	S	10	PD11DEC17	10410.03	11651.33	USD	-74,478.00	
	NET	0 AVG BGHT	11493.00	SOLD	10410.03		-64,978.20	0.00
20SEP17	S	10	PD20DEC17	11360.00	11657.50	USD	-17,850.00	
22SEP17	B	10	PD20DEC17	10419.00	11657.50	USD	74,310.00	
	NET	0 AVG BGHT	10419.00	SOLD	11360.00		56,460.00	0.00

OPEN POSITION VALUE

LME Nickel 6 tonnes. This is FUTURES USD
-8,518.20

MARKET REVALUATION BREAKDOWN

Unrealised Profits & Losses - Forwards	Amount	Profit / Loss	Total Amount	Total P&L
NKL - Futures USD	-8,518.20	-8,518.20	-8,518.20	-8,518.20

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
CASH BALANCE	(1.00000)	(1.00000)
Variation Margins	8,408.20	8,408.20
- Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	8,408.20	8,408.20
Initial Margins	-8,518.20	-8,518.20
Excess/(Shortage)	-110.00	-110.00
FOR INFORMATION ONLY		
Forward Unrealised P&L	-8,518.20	-8,518.20
Net Liquidating Value	-110.00	-110.00

OPEN POSITION STATEMENT



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Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
17JUL17	B 1 PD17OCT17	2319.50	2518.25	USD	4,968.75	
30AUG17	S 1 PD17OCT17	2358.86	2518.25	USD	-3,984.75	
	NET 0AVG BGHT 2319.50 SOLD 2358.86				984.00	0.00
26JUL17	S 1 PD26OCT17	2343.50	2519.95	USD	-4,411.25	
26JUL17	S 1 PD26OCT17	2329.00	2519.95	USD	-4,773.75	
30AUG17	B 2 PD26OCT17	2360.74	2519.95	USD	7,960.50	
	NET 0AVG BGHT 2360.74 SOLD 2336.25				-1,224.50	0.00
11AUG17	B 1 PD10NOV17	2331.00	2522.08	USD	4,777.00	
11AUG17	B 1 PD10NOV17	2330.00	2522.08	USD	4,802.00	
30AUG17	S 2 PD10NOV17	2365.01	2522.08	USD	-7,853.50	
	NET 0AVG BGHT 2330.50 SOLD 2365.01				1,725.50	0.00
14AUG17	S 1 PD14NOV17	2336.50	2522.62	USD	-4,653.00	
14AUG17	S 1 PD14NOV17	2331.00	2522.62	USD	-4,790.50	
30AUG17	B 2 PD14NOV17	2366.40	2522.62	USD	7,811.00	
	NET 0AVG BGHT 2366.40 SOLD 2333.75				-1,632.50	0.00
17AUG17	B 1 PD17NOV17	2451.00	2522.75	USD	1,793.75	
17AUG17	S 1 PD17NOV17	2447.00	2522.75	USD	-1,893.75	
	NET 0AVG BGHT 2451.00 SOLD 2447.00				-100.00	0.00
	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
22SEP17	B 1 PD22DEC17	10450.00	11659.02	USD	7,254.12	
22SEP17	S 1 PD22DEC17	10620.00	11659.02	USD	-6,234.12	
	NET 0AVG BGHT 10450.00 SOLD 10620.00				1,020.00	0.00
	<u>LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
22SEP17	S 1 PD22DEC17	20570.00	20634.29	USD	-321.45	
22SEP17	B 1 PD22DEC17	20595.00	20634.29	USD	196.45	
	NET 0AVG BGHT 20595.00 SOLD 20570.00				-125.00	0.00
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
17JUL17	S 1 PD17OCT17	2816.00	3294.00	USD	-11,950.00	
30AUG17	B 1 PD17OCT17	3091.75	3294.00	USD	5,056.25	
	NET 0AVG BGHT 3091.75 SOLD 2816.00				-6,893.75	0.00
26JUL17	B 1 PD26OCT17	2848.00	3271.87	USD	10,596.75	
26JUL17	B 1 PD26OCT17	2825.00	3271.87	USD	11,171.75	
30AUG17	S 2 PD26OCT17	3091.20	3271.87	USD	-9,033.50	
	NET 0AVG BGHT 2836.50 SOLD 3091.20				12,735.00	0.00
11AUG17	S 1 PD10NOV17	2904.50	3259.40	USD	-8,872.50	
11AUG17	S 1 PD10NOV17	2905.50	3259.40	USD	-8,847.50	
30AUG17	B 2 PD10NOV17	3093.65	3259.40	USD	8,287.50	
	NET 0AVG BGHT 3093.65 SOLD 2905.00				-9,432.50	0.00
14AUG17	B 1 PD14NOV17	2910.00	3257.13	USD	8,678.25	
14AUG17	B 1 PD14NOV17	2903.00	3257.13	USD	8,853.25	
30AUG17	S 2 PD14NOV17	3094.05	3257.13	USD	-8,154.00	
	NET 0AVG BGHT 2906.50 SOLD 3094.05				9,377.50	0.00
17AUG17	B 1 PD17NOV17	3097.00	3251.00	USD	3,850.00	
17AUG17	S 1 PD17NOV17	3096.50	3251.00	USD	-3,862.50	
	NET 0AVG BGHT 3097.00 SOLD 3096.50				-12.50	0.00

OPEN POSITION STATEMENT



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OPEN POSITION VALUE

	USD
LME Lead 25 tonnes. This is an FUTURES	-247.50
LME Nickel 6 tonnes. This is FUTURES	1,020.00
LME Tin 5 tonnes. This is an FUTURES	-125.00
LME Zinc 25 tonnes. This is FUTURES	5,773.75

MARKET REVALUATION BREAKDOWN

Unrealised Profits & Losses - Forwards	Amount	Profit / Loss	Total Amount	Total P&L
LEAD - Futures USD	-247.50	-247.50		
NKL - Futures USD	1,020.00	1,020.00		
TIN - Futures USD	-125.00	-125.00		
ZINC - Futures USD	5,773.75	5,773.75	6,421.25	6,421.25

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
(1.00000)	(1.00000)	
CASH BALANCE	-6,457.15	-6,457.15
Variation Margins - Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	-6,457.15	-6,457.15
Initial Margins	0.00	0.00
Excess/(Shortage)	-6,457.15	-6,457.15
<u>FOR INFORMATION ONLY</u>		
Forward Unrealised P&L	6,421.25	6,421.25
Net Liquidating Value	-35.90	-35.90

OPEN POSITION STATEMENT



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ACCOUNT FINANCIAL SUMMARY

	EUR	NETT USD
	(1.18360)	(1.00000)
CASH BALANCE	-46.40	-54.92
Variation Margins		
- Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	-46.40	-54.92
Initial Margins	0.00	0.00
Excess/(Shortage)	-46.40	-54.92
FOR INFORMATION ONLY		
Net Liquidating Value	-46.40	-54.92

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0096R

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
28SEP17	<u>LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u> B 2 PD28DEC17 NET +2AVG BGHT 2470.20 <u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>	2470.20	2527.78	USD	2,879.00 2,879.00	0.00
06SEP17	S 1 PD06DEC17 NET -1AVG SOLD 3094.80	3094.80	3245.50	USD	-3,767.50 -3,767.50	0.00
28SEP17	S 1 PD28DEC17 NET -1AVG SOLD 3146.80	3146.80	3238.85	USD	-2,301.25 -2,301.25	0.00

OPEN POSITION VALUE

	<u>USD</u>
LME Lead 25 tonnes. This is an FUTURES	-123,510.00
LME Zinc 25 tonnes. This is FUTURES	156,040.00

MARKET REVALUATION BREAKDOWN

Unrealised Profits & Losses - Forwards	Amount	Profit / Loss	Total Amount	Total P&L
LEAD - Futures USD	2,879.00	2,879.00		
ZINC - Futures USD	-6,068.75	-6,068.75	-3,189.75	-3,189.75

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	-10,855.70	-10,855.70
Variation Margins - Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	-10,855.70	-10,855.70
Initial Margins	-23,991.75	-23,991.75
Excess/(Shortage)	-34,847.45	-34,847.45
FOR INFORMATION ONLY		
Forward Unrealised P&L	-3,189.75	-3,189.75
Net Liquidating Value	-14,045.45	-14,045.45

OPEN POSITION STATEMENT



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	<u>LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
17JUL17	S	1	PD17OCT17	2328.00	2518.25	USD	-4,756.25	
26SEP17	B	1	PD17OCT17	2482.06	2518.25	USD	904.75	
	NET	0AVG BGHT 2482.06	SOLD 2328.00				-3,851.50	0.00
19JUL17	B	1	PD19OCT17	2250.00	2518.90	USD	6,722.50	
19JUL17	B	1	PD19OCT17	2240.00	2518.90	USD	6,972.50	
19JUL17	B	1	PD19OCT17	2220.50	2518.90	USD	7,460.00	
26SEP17	S	3	PD19OCT17	2482.18	2518.90	USD	-2,754.00	
	NET	0AVG BGHT 2236.83	SOLD 2482.18				18,401.00	0.00
21JUL17	S	1	PD20OCT17	2239.00	2519.05	USD	-7,001.25	
26SEP17	B	1	PD20OCT17	2482.52	2519.05	USD	913.25	
	NET	0AVG BGHT 2482.52	SOLD 2239.00				-6,088.00	0.00
25JUL17	S	1	PD25OCT17	2301.00	2519.80	USD	-5,470.00	
25JUL17	S	1	PD25OCT17	2300.50	2519.80	USD	-5,482.50	
25JUL17	S	1	PD25OCT17	2304.00	2519.80	USD	-5,395.00	
25JUL17	S	1	PD25OCT17	2314.00	2519.80	USD	-5,145.00	
26SEP17	B	4	PD25OCT17	2483.19	2519.80	USD	3,661.00	
	NET	0AVG BGHT 2483.19	SOLD 2304.88				-17,831.50	0.00
01SEP17	B	5	PD01DEC17	2400.00	2524.87	USD	15,608.75	
26SEP17	S	5	PD01DEC17	2487.26	2524.87	USD	-4,701.25	
	NET	0AVG BGHT 2400.00	SOLD 2487.26				10,907.50	0.00
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
21JUL17	S	1	PD20OCT17	2769.00	3282.00	USD	-12,825.00	
21JUL17	B	1	PD20OCT17	2758.50	3282.00	USD	13,087.50	
	NET	0AVG BGHT 2758.50	SOLD 2769.00				262.50	0.00
25JUL17	S	1	PD25OCT17	2835.50	3273.00	USD	-10,937.50	
25JUL17	S	1	PD25OCT17	2838.00	3273.00	USD	-10,875.00	
25JUL17	S	1	PD25OCT17	2836.00	3273.00	USD	-10,925.00	
25JUL17	S	1	PD25OCT17	2840.00	3273.00	USD	-10,825.00	
25JUL17	S	1	PD25OCT17	2838.50	3273.00	USD	-10,862.50	
25JUL17	S	1	PD25OCT17	2839.00	3273.00	USD	-10,850.00	
26SEP17	B	6	PD25OCT17	3125.50	3273.00	USD	22,125.00	
	NET	0AVG BGHT 3125.50	SOLD 2837.83				-43,150.00	0.00
26JUL17	S	1	PD26OCT17	2819.00	3271.87	USD	-11,321.75	
26SEP17	B	1	PD26OCT17	3125.00	3271.87	USD	3,671.75	
	NET	0AVG BGHT 3125.00	SOLD 2819.00				-7,650.00	0.00
21AUG17	S	1	PD21NOV17	3162.00	3249.25	USD	-2,181.25	
21AUG17	S	1	PD21NOV17	3161.00	3249.25	USD	-2,206.25	
21AUG17	B	1	PD21NOV17	3138.00	3249.25	USD	2,781.25	
21AUG17	B	1	PD21NOV17	3141.50	3249.25	USD	2,693.75	
	NET	0AVG BGHT 3139.75	SOLD 3161.50				1,087.50	0.00
24AUG17	S	1	PD24NOV17	3113.00	3247.50	USD	-3,362.50	
24AUG17	S	1	PD24NOV17	3113.50	3247.50	USD	-3,350.00	
24AUG17	S	1	PD24NOV17	3114.00	3247.50	USD	-3,337.50	
24AUG17	B	3	PD24NOV17	3111.50	3247.50	USD	10,200.00	
	NET	0AVG BGHT 3111.50	SOLD 3113.50				150.00	0.00
29AUG17	S	1	PD29NOV17	3105.00	3246.75	USD	-3,543.75	
29AUG17	S	1	PD29NOV17	3103.50	3246.75	USD	-3,581.25	
29AUG17	B	1	PD29NOV17	3110.50	3246.75	USD	3,406.25	
29AUG17	B	1	PD29NOV17	3111.00	3246.75	USD	3,393.75	
	NET	0AVG BGHT 3110.75	SOLD 3104.25				-325.00	0.00
31AUG17	B	1	PD30NOV17	3098.00	3246.50	USD	3,712.50	
31AUG17	B	2	PD30NOV17	3093.00	3246.50	USD	7,675.00	
31AUG17	B	2	PD30NOV17	3095.00	3246.50	USD	7,575.00	

OPEN POSITION STATEMENT



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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
31AUG17	B	1	PD30NOV17	3097.00	3246.50	USD	3,737.50	
31AUG17	S	9	PD30NOV17	3101.00	3246.50	USD	-32,737.50	
31AUG17	S	1	PD30NOV17	3101.50	3246.50	USD	-3,625.00	
31AUG17	S	1	PD30NOV17	3103.00	3246.50	USD	-3,587.50	
31AUG17	S	1	PD30NOV17	3111.50	3246.50	USD	-3,375.00	
31AUG17	S	1	PD30NOV17	3116.50	3246.50	USD	-3,250.00	
31AUG17	S	1	PD30NOV17	3119.00	3246.50	USD	-3,187.50	
31AUG17	S	1	PD30NOV17	3103.50	3246.50	USD	-3,575.00	
31AUG17	S	1	PD30NOV17	3108.50	3246.50	USD	-3,450.00	
26SEP17	B	10	PD30NOV17	3118.62	3246.50	USD	31,970.00	
	NET	0 AVG BGHT 3109.83	SOLD 3104.53				-2,117.50	0.00
01SEP17	B	10	PD01DEC17	3194.00	3246.25	USD	13,062.50	
01SEP17	B	10	PD01DEC17	3195.00	3246.25	USD	12,812.50	
26SEP17	S	20	PD01DEC17	3118.38	3246.25	USD	-63,935.00	
	NET	0 AVG BGHT 3194.50	SOLD 3118.38				-38,060.00	0.00
05SEP17	B	1	PD05DEC17	3203.00	3245.75	USD	1,068.75	
05SEP17	B	2	PD05DEC17	3195.50	3245.75	USD	2,512.50	
05SEP17	B	5	PD05DEC17	3190.00	3245.75	USD	6,968.75	
05SEP17	B	7	PD05DEC17	3200.00	3245.75	USD	8,006.25	
26SEP17	S	3	PD05DEC17	3118.50	3245.75	USD	-9,543.75	
27SEP17	S	10	PD05DEC17	3108.09	3245.75	USD	-34,415.00	
02OCT17	S	2	PD05DEC17	3237.42	3245.75	USD	-416.50	
	NET	0 AVG BGHT 3196.27	SOLD 3127.42				-25,819.00	0.00
26SEP17	S	6	PD06DEC17	3118.58	3245.50	USD	-19,038.00	
27SEP17	B	6	PD06DEC17	3108.35	3245.50	USD	20,572.50	
	NET	0 AVG BGHT 3108.35	SOLD 3118.58				1,534.50	0.00
14SEP17	B	1	PD14DEC17	3008.00	3244.00	USD	5,900.00	
14SEP17	B	4	PD14DEC17	3008.50	3244.00	USD	23,550.00	
02OCT17	S	5	PD14DEC17	3238.00	3244.00	USD	-750.00	
	NET	0 AVG BGHT 3008.40	SOLD 3238.00				28,700.00	0.00
20SEP17	S	2	PD20DEC17	3131.50	3243.00	USD	-5,575.00	
20SEP17	S	1	PD20DEC17	3145.00	3243.00	USD	-2,450.00	
26SEP17	B	3	PD20DEC17	3119.20	3243.00	USD	9,285.00	
	NET	0 AVG BGHT 3119.20	SOLD 3136.00				1,260.00	0.00
21SEP17	B	3	PD21DEC17	3071.00	3240.00	USD	12,675.00	
21SEP17	S	1	PD21DEC17	3080.50	3240.00	USD	-3,987.50	
21SEP17	S	1	PD21DEC17	3086.50	3240.00	USD	-3,837.50	
21SEP17	S	1	PD21DEC17	3094.00	3240.00	USD	-3,650.00	
21SEP17	B	3	PD21DEC17	3046.00	3240.00	USD	14,550.00	
02OCT17	S	3	PD21DEC17	3236.00	3240.00	USD	-300.00	
	NET	0 AVG BGHT 3058.50	SOLD 3161.50				15,450.00	0.00
22SEP17	B	1	PD22DEC17	3007.50	3239.62	USD	5,803.00	
22SEP17	B	1	PD22DEC17	3011.00	3239.62	USD	5,715.50	
22SEP17	B	1	PD22DEC17	3015.00	3239.62	USD	5,615.50	
22SEP17	B	1	PD22DEC17	3018.00	3239.62	USD	5,540.50	
22SEP17	S	2	PD22DEC17	3028.50	3239.62	USD	-10,556.00	
22SEP17	B	1	PD22DEC17	3002.50	3239.62	USD	5,928.00	
22SEP17	S	1	PD22DEC17	3012.50	3239.62	USD	-5,678.00	
22SEP17	S	1	PD22DEC17	3018.00	3239.62	USD	-5,540.50	
22SEP17	S	1	PD22DEC17	3021.00	3239.62	USD	-5,465.50	
22SEP17	S	1	PD22DEC17	3025.00	3239.62	USD	-5,365.50	
22SEP17	S	4	PD22DEC17	3028.00	3239.62	USD	-21,162.00	
22SEP17	S	1	PD22DEC17	3055.00	3239.62	USD	-4,615.50	
22SEP17	S	1	PD22DEC17	3065.50	3239.62	USD	-4,353.00	
22SEP17	S	1	PD22DEC17	3078.00	3239.62	USD	-4,040.50	

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
22SEP17	B	1	PD22DEC17	3060.50	3239.62	USD	4,478.00	
22SEP17	B	1	PD22DEC17	3050.50	3239.62	USD	4,728.00	
22SEP17	S	1	PD22DEC17	3060.50	3239.62	USD	-4,478.00	
22SEP17	B	1	PD22DEC17	3052.50	3239.62	USD	4,678.00	
22SEP17	B	1	PD22DEC17	3054.50	3239.62	USD	4,628.00	
22SEP17	B	1	PD22DEC17	3010.00	3239.62	USD	5,740.50	
22SEP17	S	1	PD22DEC17	3021.50	3239.62	USD	-5,453.00	
22SEP17	S	1	PD22DEC17	3024.00	3239.62	USD	-5,390.50	
22SEP17	B	1	PD22DEC17	3012.00	3239.62	USD	5,690.50	
22SEP17	S	1	PD22DEC17	3074.50	3239.62	USD	-4,128.00	
26SEP17	B	6	PD22DEC17	3117.20	3239.62	USD	18,363.00	
	NET	0 AVG BGHT	3058.66	SOLD 3036.74			-9,317.50	0.00
25SEP17	B	3	PD27DEC17	3092.00	3239.23	USD	11,042.25	
25SEP17	B	3	PD27DEC17	3092.50	3239.23	USD	11,004.75	
25SEP17	S	2	PD27DEC17	3106.50	3239.23	USD	-6,636.50	
25SEP17	S	2	PD27DEC17	3112.50	3239.23	USD	-6,336.50	
25SEP17	S	2	PD27DEC17	3109.50	3239.23	USD	-6,486.50	
26SEP17	S	4	PD27DEC17	3147.50	3239.23	USD	-9,173.00	
27SEP17	B	4	PD27DEC17	3105.20	3239.23	USD	13,403.00	
	NET	0 AVG BGHT	3097.43	SOLD 3124.70			6,817.50	0.00
28SEP17	B	9	PD28DEC17	3145.50	3238.85	USD	21,003.75	
28SEP17	B	1	PD28DEC17	3146.00	3238.85	USD	2,321.25	
28SEP17	S	1	PD28DEC17	3161.00	3238.85	USD	-1,946.25	
02OCT17	S	9	PD28DEC17	3236.00	3238.85	USD	-641.25	
	NET	0 AVG BGHT	3145.55	SOLD 3228.50			20,737.50	0.00
29SEP17	S	4	PD29DEC17	3147.00	3238.46	USD	-9,146.00	
29SEP17	S	1	PD29DEC17	3158.00	3238.46	USD	-2,011.50	
29SEP17	S	1	PD29DEC17	3167.00	3238.46	USD	-1,786.50	
29SEP17	B	1	PD29DEC17	3148.50	3238.46	USD	2,249.00	
29SEP17	S	1	PD29DEC17	3163.00	3238.46	USD	-1,886.50	
29SEP17	S	1	PD29DEC17	3173.00	3238.46	USD	-1,636.50	
29SEP17	S	3	PD29DEC17	3156.50	3238.46	USD	-6,147.00	
29SEP17	S	3	PD29DEC17	3160.00	3238.46	USD	-5,884.50	
29SEP17	S	6	PD29DEC17	3161.50	3238.46	USD	-11,544.00	
02OCT17	B	19	PD29DEC17	3236.20	3238.46	USD	1,073.50	
	NET	0 AVG BGHT	3231.82	SOLD 3158.38			-36,720.00	0.00

OPEN POSITION VALUE

		<u>USD</u>
LME Lead 25 tonnes. This is an	FUTURES	1,537.50
LME Zinc 25 tonnes. This is	FUTURES	-87,159.50

MARKET REVALUATION BREAKDOWN

Unrealised Profits & Losses - Forwards	Amount	Profit / Loss	Total Amount	Total P&L
LEAD - Futures	USD	1,537.50	1,537.50	
ZINC - Futures	USD	-87,159.50	-87,159.50	
			-85,622.00	-85,622.00

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ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	85,039.65	85,039.65
Variation Margins		
- Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	85,039.65	85,039.65
Initial Margins	-85,622.00	-85,622.00
Excess/(Shortage)	-582.35	-582.35
FOR INFORMATION ONLY		
Forward Unrealised P&L	-85,622.00	-85,622.00
Net Liquidating Value	-582.35	-582.35

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29AUG17	CMX GOLD 100 CMX B 2 DEC17 NET +2 AVG BGHT 1330.6	1330.6	1304.6	USD	-5,200.00 -5,200.00	0.00

OPEN POSITION VALUE

CMX Gold	FUTURES	USD -266,120.00
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MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options	Amount	Profit / Loss	Total Amount	Total P&L
GOLD - Futures USD	-5,200.00	-5,200.00	-5,200.00	-5,200.00

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
CASH BALANCE	(1.00000)	(1.00000)
Variation Margins - Futures	-3,976.00	-3,976.00
- Options	-5,200.00	-5,200.00
	0.00	0.00
TOTAL EQUITY	-9,176.00	-9,176.00
Initial Margins	-9,800.00	-9,800.00
Excess/(Shortage)	-18,976.00	-18,976.00
<u>FOR INFORMATION ONLY</u>		
Net Liquidating Value	-9,176.00	-9,176.00

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31AUG17	<u>LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
	S 1 PD30NOV17	2393.80	2524.72	USD	-3,273.00	
	NET -1AVG SOLD 2393.80				-3,273.00	0.00
19SEP17	S 1 PD19DEC17	2420.80	2527.60	USD	-2,670.00	
	NET -1AVG SOLD 2420.80				-2,670.00	0.00
19SEP17	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
	S 1 PD19DEC17	3106.30	3243.25	USD	-3,423.75	
	NET -1AVG SOLD 3106.30				-3,423.75	0.00

OPEN POSITION VALUE

	<u>USD</u>
LME Lead 25 tonnes. This is an FUTURES	120,365.00
LME Zinc 25 tonnes. This is FUTURES	77,657.50

MARKET REVALUATION BREAKDOWN

Unrealised Profits & Losses - Forwards	Amount	Profit / Loss	Total Amount	Total P&L
LEAD - Futures USD	-5,943.00	-5,943.00		
ZINC - Futures USD	-3,423.75	-3,423.75	-9,366.75	-9,366.75

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	9,829.44	9,829.44
Variation Margins - Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	9,829.44	9,829.44
Initial Margins	-24,760.75	-24,760.75
Excess/(Shortage)	-14,931.31	-14,931.31
FOR INFORMATION ONLY		
Forward Unrealised P&L	-9,366.75	-9,366.75
Net Liquidating Value	462.69	462.69

OPEN POSITION STATEMENT



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	<u>LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.</u>							
17JUL17	B	2	PD17OCT17	1929.00	2109.75	USD	9,037.50	
17JUL17	B	1	PD17OCT17	1924.50	2109.75	USD	4,631.25	
17JUL17	B	3	PD17OCT17	1926.50	2109.75	USD	13,743.75	
17JUL17	B	1	PD17OCT17	1922.00	2109.75	USD	4,693.75	
17JUL17	B	2	PD17OCT17	1922.50	2109.75	USD	9,362.50	
17JUL17	B	1	PD17OCT17	1919.50	2109.75	USD	4,756.25	
17JUL17	B	2	PD17OCT17	1919.00	2109.75	USD	9,537.50	
17JUL17	B	3	PD17OCT17	1913.50	2109.75	USD	14,718.75	
17JUL17	B	3	PD17OCT17	1908.00	2109.75	USD	15,131.25	
18JUL17	S	18	PD17OCT17	1930.59	2109.75	USD	-80,622.00	
	NET	0 AVG BGHT 1919.50	SOLD 1930.59				4,990.50	0.00
18JUL17	S	3	PD18OCT17	1927.00	2110.25	USD	-13,743.75	
18JUL17	B	2	PD18OCT17	1924.50	2110.25	USD	9,287.50	
18JUL17	B	1	PD18OCT17	1926.00	2110.25	USD	4,606.25	
18JUL17	B	2	PD18OCT17	1925.50	2110.25	USD	9,237.50	
19JUL17	S	2	PD18OCT17	1920.05	2110.25	USD	-9,510.00	
	NET	0 AVG BGHT 1925.20	SOLD 1924.22				-122.50	0.00
28SEP17	S	1	PD28DEC17	2131.00	2131.14	USD	-3.50	
28SEP17	S	1	PD28DEC17	2131.50	2131.14	USD	9.00	
28SEP17	S	1	PD28DEC17	2124.50	2131.14	USD	-166.00	
28SEP17	S	1	PD28DEC17	2123.50	2131.14	USD	-191.00	
	NET	-4 AVG SOLD 2127.63					-351.50	0.00
29SEP17	S	1	PD29DEC17	2133.50	2131.25	USD	56.25	
29SEP17	S	1	PD29DEC17	2132.50	2131.25	USD	31.25	
	NET	-2 AVG SOLD 2133.00					87.50	0.00
09OCT17	B	2	PD09JAN18	2156.00	2133.02	USD	-1,149.00	
09OCT17	B	2	PD09JAN18	2155.50	2133.02	USD	-1,124.00	
09OCT17	B	2	PD09JAN18	2153.50	2133.02	USD	-1,024.00	
	NET	+6 AVG BGHT 2155.00					-3,297.00	0.00
11OCT17	S	1	PD11JAN18	2156.50	2133.34	USD	579.00	
11OCT17	S	1	PD11JAN18	2156.00	2133.34	USD	566.50	
11OCT17	S	2	PD11JAN18	2150.50	2133.34	USD	858.00	
11OCT17	B	2	PD11JAN18	2150.50	2133.34	USD	-858.00	
11OCT17	B	2	PD11JAN18	2131.00	2133.34	USD	117.00	
	NET	0 AVG BGHT 2140.75	SOLD 2153.38				1,262.50	0.00
12OCT17	B	2	PD12JAN18	2140.00	2133.50	USD	-325.00	
	NET	+2 AVG BGHT 2140.00					-325.00	0.00
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
28SEP17	S	2	PD28DEC17	6476.00	6877.00	USD	-20,050.00	
28SEP17	B	2	PD28DEC17	6471.00	6877.00	USD	20,300.00	
28SEP17	B	1	PD28DEC17	6469.00	6877.00	USD	10,200.00	
28SEP17	B	1	PD28DEC17	6468.00	6877.00	USD	10,225.00	
28SEP17	B	1	PD28DEC17	6463.50	6877.00	USD	10,337.50	
28SEP17	B	3	PD28DEC17	6473.00	6877.00	USD	30,300.00	
28SEP17	B	3	PD28DEC17	6479.50	6877.00	USD	29,812.50	
28SEP17	B	1	PD28DEC17	6484.00	6877.00	USD	9,825.00	
28SEP17	B	1	PD28DEC17	6483.00	6877.00	USD	9,850.00	
28SEP17	B	1	PD28DEC17	6482.50	6877.00	USD	9,862.50	
28SEP17	B	1	PD28DEC17	6489.00	6877.00	USD	9,700.00	
28SEP17	B	2	PD28DEC17	6511.00	6877.00	USD	18,300.00	
28SEP17	B	3	PD28DEC17	6521.50	6877.00	USD	26,662.50	
28SEP17	B	1	PD28DEC17	6522.00	6877.00	USD	8,875.00	
28SEP17	B	1	PD28DEC17	6527.50	6877.00	USD	8,737.50	
28SEP17	B	1	PD28DEC17	6522.00	6877.00	USD	8,875.00	

OPEN POSITION STATEMENT



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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
	NET	+21 AVG BGHT 6491.15	SOLD 6476.00				201,812.50	0.00
29SEP17	S	5	PD29DEC17	6530.00	6877.33	USD	-43,416.25	
29SEP17	S	4	PD29DEC17	6530.50	6877.33	USD	-34,683.00	
29SEP17	S	4	PD29DEC17	6531.50	6877.33	USD	-34,583.00	
29SEP17	S	2	PD29DEC17	6531.00	6877.33	USD	-17,316.50	
29SEP17	S	2	PD29DEC17	6529.00	6877.33	USD	-17,416.50	
29SEP17	S	4	PD29DEC17	6527.50	6877.33	USD	-34,983.00	
29SEP17	B	2	PD29DEC17	6559.50	6877.33	USD	15,891.50	
29SEP17	B	1	PD29DEC17	6563.50	6877.33	USD	7,845.75	
29SEP17	B	2	PD29DEC17	6567.50	6877.33	USD	15,491.50	
29SEP17	B	4	PD29DEC17	6568.00	6877.33	USD	30,933.00	
29SEP17	B	1	PD29DEC17	6570.50	6877.33	USD	7,670.75	
29SEP17	B	2	PD29DEC17	6571.00	6877.33	USD	15,316.50	
29SEP17	B	2	PD29DEC17	6569.00	6877.33	USD	15,416.50	
29SEP17	B	3	PD29DEC17	6564.00	6877.33	USD	23,499.75	
29SEP17	B	1	PD29DEC17	6564.50	6877.33	USD	7,820.75	
29SEP17	B	1	PD29DEC17	6567.00	6877.33	USD	7,758.25	
29SEP17	B	2	PD29DEC17	6565.00	6877.33	USD	15,616.50	
29SEP17	B	3	PD29DEC17	6570.00	6877.33	USD	23,049.75	
29SEP17	B	1	PD29DEC17	6574.00	6877.33	USD	7,583.25	
29SEP17	B	2	PD29DEC17	6573.00	6877.33	USD	15,216.50	
29SEP17	B	2	PD29DEC17	6571.50	6877.33	USD	15,291.50	
29SEP17	B	5	PD29DEC17	6573.50	6877.33	USD	37,978.75	
29SEP17	B	6	PD29DEC17	6575.00	6877.33	USD	45,349.50	
	NET	+19 AVG BGHT 6569.60	SOLD 6529.90				125,331.75	0.00
04OCT17	S	1	PD04JAN18	6505.50	6879.33	USD	-9,345.75	
	NET	-1 AVG SOLD 6505.50					-9,345.75	0.00
09OCT17	S	1	PD09JAN18	6663.00	6881.00	USD	-5,450.00	
09OCT17	S	1	PD09JAN18	6661.00	6881.00	USD	-5,500.00	
09OCT17	S	2	PD09JAN18	6656.00	6881.00	USD	-11,250.00	
09OCT17	S	4	PD09JAN18	6660.00	6881.00	USD	-22,100.00	
09OCT17	S	2	PD09JAN18	6658.50	6881.00	USD	-11,125.00	
09OCT17	B	1	PD09JAN18	6682.50	6881.00	USD	4,962.50	
09OCT17	S	3	PD09JAN18	6658.00	6881.00	USD	-16,725.00	
09OCT17	S	2	PD09JAN18	6657.50	6881.00	USD	-11,175.00	
09OCT17	S	7	PD09JAN18	6657.00	6881.00	USD	-39,200.00	
09OCT17	S	2	PD09JAN18	6662.50	6881.00	USD	-10,925.00	
09OCT17	S	2	PD09JAN18	6659.00	6881.00	USD	-11,100.00	
09OCT17	B	1	PD09JAN18	6680.50	6881.00	USD	5,012.50	
09OCT17	B	1	PD09JAN18	6682.00	6881.00	USD	4,975.00	
09OCT17	S	2	PD09JAN18	6670.00	6881.00	USD	-10,550.00	
09OCT17	S	4	PD09JAN18	6673.00	6881.00	USD	-20,800.00	
09OCT17	S	2	PD09JAN18	6674.50	6881.00	USD	-10,325.00	
09OCT17	S	2	PD09JAN18	6672.00	6881.00	USD	-10,450.00	
	NET	-33 AVG BGHT 6681.67	SOLD 6662.47				-181,725.00	0.00
10OCT17	B	1	PD10JAN18	6725.00	6881.33	USD	3,908.25	
10OCT17	S	2	PD10JAN18	6687.50	6881.33	USD	-9,691.50	
10OCT17	B	2	PD10JAN18	6747.00	6881.33	USD	6,716.50	
10OCT17	B	1	PD10JAN18	6748.50	6881.33	USD	3,320.75	
10OCT17	B	1	PD10JAN18	6748.00	6881.33	USD	3,333.25	
10OCT17	B	2	PD10JAN18	6754.00	6881.33	USD	6,366.50	
10OCT17	B	2	PD10JAN18	6756.00	6881.33	USD	6,266.50	
10OCT17	B	2	PD10JAN18	6759.00	6881.33	USD	6,116.50	
10OCT17	B	1	PD10JAN18	6758.00	6881.33	USD	3,083.25	
10OCT17	B	3	PD10JAN18	6752.00	6881.33	USD	9,699.75	
10OCT17	B	1	PD10JAN18	6760.00	6881.33	USD	3,033.25	

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10OCT17	B	3	PD10JAN18		6759.50	6881.33	USD	9,137.25	
10OCT17	B	1	PD10JAN18		6753.00	6881.33	USD	3,208.25	
10OCT17	B	3	PD10JAN18		6751.00	6881.33	USD	9,774.75	
	NET	+21 AVG	BGHT 6752.70	SOLD 6687.50				64,273.25	0.00
11OCT17	B	2	PD11JAN18		6729.00	6881.67	USD	7,633.50	
11OCT17	B	1	PD11JAN18		6729.50	6881.67	USD	3,804.25	
11OCT17	B	1	PD11JAN18		6732.00	6881.67	USD	3,741.75	
11OCT17	B	2	PD11JAN18		6731.00	6881.67	USD	7,533.50	
11OCT17	B	1	PD11JAN18		6730.50	6881.67	USD	3,779.25	
11OCT17	B	2	PD11JAN18		6732.50	6881.67	USD	7,458.50	
11OCT17	B	1	PD11JAN18		6737.50	6881.67	USD	3,604.25	
11OCT17	B	1	PD11JAN18		6740.00	6881.67	USD	3,541.75	
11OCT17	S	2	PD11JAN18		6760.00	6881.67	USD	-6,083.50	
11OCT17	S	2	PD11JAN18		6756.50	6881.67	USD	-6,258.50	
11OCT17	B	1	PD11JAN18		6766.00	6881.67	USD	2,891.75	
11OCT17	B	1	PD11JAN18		6766.50	6881.67	USD	2,879.25	
11OCT17	B	2	PD11JAN18		6770.50	6881.67	USD	5,558.50	
11OCT17	B	2	PD11JAN18		6764.50	6881.67	USD	5,858.50	
11OCT17	B	2	PD11JAN18		6773.50	6881.67	USD	5,408.50	
11OCT17	B	2	PD11JAN18		6771.00	6881.67	USD	5,533.50	
11OCT17	B	2	PD11JAN18		6781.50	6881.67	USD	5,008.50	
11OCT17	B	1	PD11JAN18		6788.50	6881.67	USD	2,329.25	
11OCT17	B	1	PD11JAN18		6786.00	6881.67	USD	2,391.75	
11OCT17	B	1	PD11JAN18		6789.00	6881.67	USD	2,316.75	
11OCT17	B	3	PD11JAN18		6793.00	6881.67	USD	6,650.25	
11OCT17	B	1	PD11JAN18		6790.00	6881.67	USD	2,291.75	
11OCT17	B	2	PD11JAN18		6794.50	6881.67	USD	4,358.50	
11OCT17	S	1	PD11JAN18		6768.50	6881.67	USD	-2,829.25	
11OCT17	S	1	PD11JAN18		6765.50	6881.67	USD	-2,904.25	
11OCT17	S	3	PD11JAN18		6766.50	6881.67	USD	-8,637.75	
11OCT17	S	2	PD11JAN18		6766.00	6881.67	USD	-5,783.50	
11OCT17	S	4	PD11JAN18		6763.00	6881.67	USD	-11,867.00	
11OCT17	B	1	PD11JAN18		6779.50	6881.67	USD	2,554.25	
11OCT17	B	3	PD11JAN18		6780.50	6881.67	USD	7,587.75	
11OCT17	B	1	PD11JAN18		6789.00	6881.67	USD	2,316.75	
11OCT17	S	2	PD11JAN18		6768.00	6881.67	USD	-5,683.50	
11OCT17	S	1	PD11JAN18		6766.00	6881.67	USD	-2,891.75	
11OCT17	S	2	PD11JAN18		6766.50	6881.67	USD	-5,758.50	
11OCT17	B	2	PD11JAN18		6779.50	6881.67	USD	5,108.50	
11OCT17	B	1	PD11JAN18		6782.00	6881.67	USD	2,491.75	
11OCT17	B	1	PD11JAN18		6782.50	6881.67	USD	2,479.25	
11OCT17	B	4	PD11JAN18		6794.00	6881.67	USD	8,767.00	
11OCT17	B	2	PD11JAN18		6795.00	6881.67	USD	4,333.50	
11OCT17	B	2	PD11JAN18		6800.50	6881.67	USD	4,058.50	
11OCT17	B	2	PD11JAN18		6801.50	6881.67	USD	4,008.50	
11OCT17	B	2	PD11JAN18		6806.50	6881.67	USD	3,758.50	
11OCT17	B	1	PD11JAN18		6807.50	6881.67	USD	1,854.25	
11OCT17	S	1	PD11JAN18		6807.00	6881.67	USD	-1,866.75	
	NET	+33 AVG	BGHT 6775.08	SOLD 6766.31				83,327.75	0.00
12OCT17	S	1	PD12JAN18		6815.00	6882.00	USD	-1,675.00	
12OCT17	S	1	PD12JAN18		6810.00	6882.00	USD	-1,800.00	
12OCT17	S	1	PD12JAN18		6803.00	6882.00	USD	-1,975.00	
12OCT17	S	3	PD12JAN18		6799.00	6882.00	USD	-6,225.00	
12OCT17	S	1	PD12JAN18		6800.00	6882.00	USD	-2,050.00	
12OCT17	S	1	PD12JAN18		6797.50	6882.00	USD	-2,112.50	
12OCT17	S	4	PD12JAN18		6793.50	6882.00	USD	-8,850.00	
12OCT17	S	2	PD12JAN18		6793.00	6882.00	USD	-4,450.00	

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12OCT17	S	1	PD12JAN18	6881.50	6882.00	USD	-12.50	
12OCT17	S	1	PD12JAN18	6881.00	6882.00	USD	-25.00	
12OCT17	S	1	PD12JAN18	6898.50	6882.00	USD	412.50	
12OCT17	S	2	PD12JAN18	6894.00	6882.00	USD	600.00	
12OCT17	S	2	PD12JAN18	6885.00	6882.00	USD	150.00	
12OCT17	S	2	PD12JAN18	6895.50	6882.00	USD	675.00	
12OCT17	S	2	PD12JAN18	6893.50	6882.00	USD	575.00	
12OCT17	S	2	PD12JAN18	6894.50	6882.00	USD	625.00	
12OCT17	S	5	PD12JAN18	6896.00	6882.00	USD	1,750.00	
13OCT17	S	4	PD12JAN18	6861.00	6882.00	USD	-2,100.00	
13OCT17	S	2	PD12JAN18	6863.00	6882.00	USD	-950.00	
13OCT17	S	2	PD12JAN18	6863.50	6882.00	USD	-925.00	
13OCT17	S	2	PD12JAN18	6865.50	6882.00	USD	-825.00	
13OCT17	S	2	PD12JAN18	6882.00	6882.00	USD	0.00	
13OCT17	S	2	PD12JAN18	6871.00	6882.00	USD	-550.00	
13OCT17	S	2	PD12JAN18	6873.00	6882.00	USD	-450.00	
13OCT17	S	2	PD12JAN18	6870.50	6882.00	USD	-575.00	
13OCT17	S	3	PD12JAN18	6872.00	6882.00	USD	-750.00	
13OCT17	S	1	PD12JAN18	6873.50	6882.00	USD	-212.50	
13OCT17	S	1	PD12JAN18	6869.50	6882.00	USD	-312.50	
13OCT17	S	3	PD12JAN18	6866.50	6882.00	USD	-1,162.50	
13OCT17	S	2	PD12JAN18	6867.50	6882.00	USD	-725.00	
	NET	-60 AVG SOLD 6859.38					-33,925.00	0.00
	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
17JUL17	B	1	PD17OCT17	9595.00	11610.50	USD	12,093.00	
17JUL17	B	1	PD17OCT17	9600.00	11610.50	USD	12,063.00	
17JUL17	B	2	PD17OCT17	9590.00	11610.50	USD	24,246.00	
17JUL17	B	2	PD17OCT17	9605.00	11610.50	USD	24,066.00	
27JUL17	S	6	PD17OCT17	10129.43	11610.50	USD	-53,318.52	
	NET	0 AVG BGHT 9597.50 SOLD 10129.43					19,149.48	0.00
19JUL17	S	4	PD19OCT17	9725.00	11610.50	USD	-45,252.00	
19JUL17	S	1	PD19OCT17	9705.00	11610.50	USD	-11,433.00	
19JUL17	S	1	PD19OCT17	9710.00	11610.50	USD	-11,403.00	
19JUL17	S	1	PD19OCT17	9645.00	11610.50	USD	-11,793.00	
20JUL17	B	7	PD19OCT17	9496.00	11610.50	USD	88,809.00	
	NET	0 AVG BGHT 9496.00 SOLD 9708.57					8,928.00	0.00
20JUL17	S	1	PD20OCT17	9670.00	11611.35	USD	-11,648.10	
20JUL17	S	2	PD20OCT17	9560.00	11611.35	USD	-24,616.20	
21JUL17	S	2	PD20OCT17	9575.00	11611.35	USD	-24,436.20	
21JUL17	S	2	PD20OCT17	9655.00	11611.35	USD	-23,476.20	
21JUL17	S	3	PD20OCT17	9545.00	11611.35	USD	-37,194.30	
21JUL17	B	5	PD20OCT17	9530.00	11611.35	USD	62,440.50	
21JUL17	S	7	PD20OCT17	9530.00	11611.35	USD	-87,416.70	
24JUL17	B	12	PD20OCT17	9778.60	11611.35	USD	131,958.00	
	NET	0 AVG BGHT 9705.48 SOLD 9564.41					-14,389.20	0.00
25JUL17	S	4	PD25OCT17	9840.00	11615.61	USD	-42,614.64	
25JUL17	S	4	PD25OCT17	9925.00	11615.61	USD	-40,574.64	
25JUL17	S	4	PD25OCT17	9955.00	11615.61	USD	-39,854.64	
27JUL17	B	12	PD25OCT17	10131.00	11615.61	USD	106,891.92	
	NET	0 AVG BGHT 10131.00 SOLD 9906.67					-16,152.00	0.00
26JUL17	S	8	PD26OCT17	9955.00	11616.46	USD	-79,750.08	
26JUL17	S	1	PD26OCT17	9955.00	11616.46	USD	-9,968.76	
27JUL17	B	9	PD26OCT17	10131.00	11616.46	USD	80,214.84	
	NET	0 AVG BGHT 10131.00 SOLD 9955.00					-9,504.00	0.00

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27JUL17	S	1	PD27OCT17	10045.00	11617.31	USD	-9,433.86	
27JUL17	B	1	PD27OCT17	10131.00	11617.31	USD	8,917.86	
	NET	0 AVG BGHT 10131.00	SOLD 10045.00				-516.00	0.00
28SEP17	B	1	PD28DEC17	10285.00	11663.59	USD	8,271.54	
28SEP17	B	2	PD28DEC17	10315.00	11663.59	USD	16,183.08	
28SEP17	B	3	PD28DEC17	10305.00	11663.59	USD	24,454.62	
28SEP17	B	2	PD28DEC17	10325.00	11663.59	USD	16,063.08	
28SEP17	B	1	PD28DEC17	10330.00	11663.59	USD	8,001.54	
28SEP17	B	1	PD28DEC17	10340.00	11663.59	USD	7,941.54	
	NET	+10 AVG BGHT 10315.00					80,915.40	0.00
29SEP17	B	2	PD29DEC17	10430.00	11664.35	USD	14,812.20	
29SEP17	B	2	PD29DEC17	10435.00	11664.35	USD	14,752.20	
29SEP17	B	2	PD29DEC17	10395.00	11664.35	USD	15,232.20	
29SEP17	B	2	PD29DEC17	10425.00	11664.35	USD	14,872.20	
29SEP17	B	2	PD29DEC17	10415.00	11664.35	USD	14,992.20	
29SEP17	B	1	PD29DEC17	10475.00	11664.35	USD	7,136.10	
29SEP17	B	9	PD29DEC17	10480.00	11664.35	USD	63,954.90	
29SEP17	B	5	PD29DEC17	10485.00	11664.35	USD	35,380.50	
29SEP17	B	1	PD29DEC17	10495.00	11664.35	USD	7,016.10	
29SEP17	B	2	PD29DEC17	10490.00	11664.35	USD	14,092.20	
29SEP17	B	4	PD29DEC17	10470.00	11664.35	USD	28,664.40	
	NET	+32 AVG BGHT 10461.72					230,905.20	0.00
09OCT17	B	1	PD09JAN18	10740.00	11672.72	USD	5,596.32	
09OCT17	B	2	PD09JAN18	10665.00	11672.72	USD	12,092.64	
09OCT17	B	2	PD09JAN18	10770.00	11672.72	USD	10,832.64	
09OCT17	B	1	PD09JAN18	10730.00	11672.72	USD	5,656.32	
09OCT17	B	2	PD09JAN18	10690.00	11672.72	USD	11,792.64	
09OCT17	B	2	PD09JAN18	10760.00	11672.72	USD	10,952.64	
09OCT17	S	1	PD09JAN18	10735.00	11672.72	USD	-5,626.32	
09OCT17	B	2	PD09JAN18	10750.00	11672.72	USD	11,072.64	
09OCT17	B	5	PD09JAN18	10735.00	11672.72	USD	28,131.60	
09OCT17	B	4	PD09JAN18	10750.00	11672.72	USD	22,145.28	
09OCT17	B	4	PD09JAN18	10760.00	11672.72	USD	21,905.28	
09OCT17	S	2	PD09JAN18	10770.00	11672.72	USD	-10,832.64	
09OCT17	S	2	PD09JAN18	10785.00	11672.72	USD	-10,652.64	
09OCT17	S	2	PD09JAN18	10810.00	11672.72	USD	-10,352.64	
09OCT17	S	2	PD09JAN18	10910.00	11672.72	USD	-9,152.64	
09OCT17	S	2	PD09JAN18	10880.00	11672.72	USD	-9,512.64	
09OCT17	S	7	PD09JAN18	10895.00	11672.72	USD	-32,664.24	
09OCT17	S	1	PD09JAN18	10900.00	11672.72	USD	-4,636.32	
09OCT17	S	1	PD09JAN18	10915.00	11672.72	USD	-4,546.32	
09OCT17	S	1	PD09JAN18	10905.00	11672.72	USD	-4,606.32	
09OCT17	S	4	PD09JAN18	10885.00	11672.72	USD	-18,905.28	
09OCT17	S	6	PD09JAN18	10890.00	11672.72	USD	-28,177.92	
09OCT17	S	1	PD09JAN18	10915.00	11672.72	USD	-4,546.32	
09OCT17	S	1	PD09JAN18	10900.00	11672.72	USD	-4,636.32	
09OCT17	S	2	PD09JAN18	10990.00	11672.72	USD	-8,192.64	
	NET	-10 AVG BGHT 10738.20	SOLD 10877.29				-26,863.20	0.00
10OCT17	B	8	PD10JAN18	10955.00	11673.48	USD	34,487.04	
10OCT17	B	2	PD10JAN18	10945.00	11673.48	USD	8,741.76	
10OCT17	B	1	PD10JAN18	10920.00	11673.48	USD	4,520.88	
10OCT17	B	9	PD10JAN18	10950.00	11673.48	USD	39,067.92	
10OCT17	S	2	PD10JAN18	10905.00	11673.48	USD	-9,221.76	
10OCT17	S	2	PD10JAN18	10910.00	11673.48	USD	-9,161.76	
10OCT17	S	4	PD10JAN18	10870.00	11673.48	USD	-19,283.52	
10OCT17	S	2	PD10JAN18	10875.00	11673.48	USD	-9,581.76	

OPEN POSITION STATEMENT



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SAP0096Y

13th October 2017

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+44 (0) 20 7340 9081
backoffice@sapiencap.com

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
10OCT17	B	1	PD10JAN18	10980.00	11673.48	USD	4,160.88	
10OCT17	B	2	PD10JAN18	11000.00	11673.48	USD	8,081.76	
10OCT17	B	2	PD10JAN18	11010.00	11673.48	USD	7,961.76	
	NET	+15 AVG BGHT 10960.00	SOLD 10886.00				59,773.20	0.00
11OCT17	S	11	PD11JAN18	10930.00	11674.24	USD	-49,119.84	
11OCT17	S	8	PD11JAN18	10975.00	11674.24	USD	-33,563.52	
11OCT17	S	2	PD11JAN18	10910.00	11674.24	USD	-9,170.88	
11OCT17	S	6	PD11JAN18	10915.00	11674.24	USD	-27,332.64	
11OCT17	S	5	PD11JAN18	10935.00	11674.24	USD	-22,177.20	
11OCT17	S	2	PD11JAN18	10940.00	11674.24	USD	-8,810.88	
11OCT17	S	2	PD11JAN18	10950.00	11674.24	USD	-8,690.88	
11OCT17	S	3	PD11JAN18	10945.00	11674.24	USD	-13,126.32	
11OCT17	S	1	PD11JAN18	10955.00	11674.24	USD	-4,315.44	
11OCT17	S	3	PD11JAN18	10980.00	11674.24	USD	-12,496.32	
11OCT17	B	2	PD11JAN18	11115.00	11674.24	USD	6,710.88	
11OCT17	B	8	PD11JAN18	11140.00	11674.24	USD	25,643.52	
11OCT17	S	2	PD11JAN18	11135.00	11674.24	USD	-6,470.88	
11OCT17	S	1	PD11JAN18	11115.00	11674.24	USD	-3,355.44	
11OCT17	S	2	PD11JAN18	11120.00	11674.24	USD	-6,650.88	
11OCT17	S	1	PD11JAN18	11110.00	11674.24	USD	-3,385.44	
11OCT17	S	2	PD11JAN18	11100.00	11674.24	USD	-6,890.88	
11OCT17	B	2	PD11JAN18	11200.00	11674.24	USD	5,690.88	
11OCT17	S	2	PD11JAN18	11235.00	11674.24	USD	-5,270.88	
11OCT17	B	2	PD11JAN18	11175.00	11674.24	USD	5,990.88	
11OCT17	B	2	PD11JAN18	11160.00	11674.24	USD	6,170.88	
11OCT17	B	2	PD11JAN18	11155.00	11674.24	USD	6,230.88	
11OCT17	S	1	PD11JAN18	11165.00	11674.24	USD	-3,055.44	
11OCT17	S	3	PD11JAN18	11150.00	11674.24	USD	-9,436.32	
	NET	-39 AVG BGHT 11151.67	SOLD 10992.02				-176,882.16	0.00
12OCT17	B	6	PD12JAN18	11220.00	11675.00	USD	16,380.00	
12OCT17	B	6	PD12JAN18	11240.00	11675.00	USD	15,660.00	
12OCT17	B	8	PD12JAN18	11260.00	11675.00	USD	19,920.00	
12OCT17	S	1	PD12JAN18	11315.00	11675.00	USD	-2,160.00	
12OCT17	S	1	PD12JAN18	11355.00	11675.00	USD	-1,920.00	
12OCT17	S	1	PD12JAN18	11360.00	11675.00	USD	-1,890.00	
12OCT17	B	4	PD12JAN18	11400.00	11675.00	USD	6,600.00	
12OCT17	B	11	PD12JAN18	11395.00	11675.00	USD	18,480.00	
12OCT17	B	2	PD12JAN18	11385.00	11675.00	USD	3,480.00	
12OCT17	B	2	PD12JAN18	11365.00	11675.00	USD	3,720.00	
12OCT17	B	4	PD12JAN18	11380.00	11675.00	USD	7,080.00	
13OCT17	S	2	PD12JAN18	11400.00	11675.00	USD	-3,300.00	
13OCT17	S	2	PD12JAN18	11425.00	11675.00	USD	-3,000.00	
13OCT17	S	2	PD12JAN18	11435.00	11675.00	USD	-2,880.00	
13OCT17	S	2	PD12JAN18	11430.00	11675.00	USD	-2,940.00	
13OCT17	S	1	PD12JAN18	11410.00	11675.00	USD	-1,590.00	
13OCT17	S	2	PD12JAN18	11440.00	11675.00	USD	-2,820.00	
13OCT17	S	2	PD12JAN18	11480.00	11675.00	USD	-2,340.00	
13OCT17	S	1	PD12JAN18	11410.00	11675.00	USD	-1,590.00	
13OCT17	S	1	PD12JAN18	11620.00	11675.00	USD	-330.00	
13OCT17	S	1	PD12JAN18	11615.00	11675.00	USD	-360.00	
13OCT17	S	4	PD12JAN18	11590.00	11675.00	USD	-2,040.00	
13OCT17	S	6	PD12JAN18	11595.00	11675.00	USD	-2,880.00	
13OCT17	S	7	PD12JAN18	11610.00	11675.00	USD	-2,730.00	
13OCT17	S	1	PD12JAN18	11610.00	11675.00	USD	-390.00	
13OCT17	S	2	PD12JAN18	11615.00	11675.00	USD	-720.00	
13OCT17	S	3	PD12JAN18	11590.00	11675.00	USD	-1,530.00	
13OCT17	S	9	PD12JAN18	11585.00	11675.00	USD	-4,860.00	

OPEN POSITION STATEMENT



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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
13OCT17	S 4 PD12JAN18	11600.00	11675.00	USD	-1,800.00	
13OCT17	S 2 PD12JAN18	11605.00	11675.00	USD	-840.00	
13OCT17	S 1 PD12JAN18	11635.00	11675.00	USD	-240.00	
13OCT17	S 2 PD12JAN18	11660.00	11675.00	USD	-180.00	
13OCT17	B 1 PD12JAN18	11720.00	11675.00	USD	-270.00	
13OCT17	B 1 PD12JAN18	11730.00	11675.00	USD	-330.00	
13OCT17	B 1 PD12JAN18	11725.00	11675.00	USD	-300.00	
13OCT17	B 1 PD12JAN18	11735.00	11675.00	USD	-360.00	
13OCT17	S 1 PD12JAN18	11725.00	11675.00	USD	300.00	
13OCT17	S 1 PD12JAN18	11635.00	11675.00	USD	-240.00	
	NET -15 AVG BGHT 11355.64 SOLD 11553.31				44,790.00	0.00
	<u>LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
17JUL17	B 1 PD17OCT17	19985.00	20735.00	USD	3,750.00	
18JUL17	S 1 PD17OCT17	20000.84	20735.00	USD	-3,670.80	
	NET 0 AVG BGHT 19985.00 SOLD 20000.84				79.20	0.00
18JUL17	B 1 PD18OCT17	19990.00	20735.00	USD	3,725.00	
18JUL17	S 1 PD18OCT17	20000.00	20735.00	USD	-3,675.00	
18JUL17	S 1 PD18OCT17	20005.00	20735.00	USD	-3,650.00	
27JUL17	B 1 PD18OCT17	20690.00	20735.00	USD	225.00	
	NET 0 AVG BGHT 20340.00 SOLD 20002.50				-3,375.00	0.00
24JUL17	B 1 PD24OCT17	20255.00	20725.00	USD	2,350.00	
27JUL17	S 1 PD24OCT17	20666.14	20725.00	USD	-294.30	
	NET 0 AVG BGHT 20255.00 SOLD 20666.14				2,055.70	0.00
28SEP17	S 2 PD28DEC17	20705.00	20628.57	USD	764.30	
	NET -2 AVG SOLD 20705.00				764.30	0.00
09OCT17	B 2 PD09JAN18	20750.00	20608.57	USD	-1,414.30	
	NET +2 AVG BGHT 20750.00				-1,414.30	0.00
13OCT17	B 1 PD12JAN18	20720.00	20600.00	USD	-600.00	
13OCT17	B 1 PD12JAN18	20715.00	20600.00	USD	-575.00	
	NET +2 AVG BGHT 20717.50				-1,175.00	0.00
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
28SEP17	S 2 PD28DEC17	3121.00	3238.85	USD	-5,892.50	
28SEP17	B 2 PD28DEC17	3146.00	3238.85	USD	4,642.50	
28SEP17	S 1 PD28DEC17	3143.00	3238.85	USD	-2,396.25	
28SEP17	B 1 PD28DEC17	3145.00	3238.85	USD	2,346.25	
28SEP17	B 2 PD28DEC17	3144.00	3238.85	USD	4,742.50	
28SEP17	S 2 PD28DEC17	3159.00	3238.85	USD	-3,992.50	
	NET 0 AVG BGHT 3145.00 SOLD 3140.60				-550.00	0.00
09OCT17	B 1 PD09JAN18	3253.50	3236.15	USD	-433.75	
09OCT17	B 1 PD09JAN18	3259.50	3236.15	USD	-583.75	
	NET +2 AVG BGHT 3256.50				-1,017.50	0.00
10OCT17	S 2 PD10JAN18	3219.00	3235.77	USD	-838.50	
10OCT17	B 1 PD10JAN18	3235.00	3235.77	USD	19.25	
	NET -1 AVG BGHT 3235.00 SOLD 3219.00				-819.25	0.00
12OCT17	B 1 PD12JAN18	3212.50	3235.00	USD	562.50	
12OCT17	B 2 PD12JAN18	3241.00	3235.00	USD	-300.00	
12OCT17	S 2 PD12JAN18	3262.50	3235.00	USD	1,375.00	
13OCT17	S 1 PD12JAN18	3273.00	3235.00	USD	950.00	
13OCT17	S 1 PD12JAN18	3264.50	3235.00	USD	737.50	
	NET -1 AVG BGHT 3231.50 SOLD 3265.63				3,325.00	0.00

OPEN POSITION STATEMENT



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Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
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OPEN POSITION VALUE

		USD
LME Aluminium 25 Tonnes.	FUTURES	-104,707.00
LME Copper Grade A 25	FUTURES	254,325.00
LME Nickel 6 tonnes. This is	FUTURES	693,056.28
LME Tin 5 tonnes. This is an	FUTURES	-208,865.10
LME Zinc 25 tonnes. This is	FUTURES	900.00

MARKET REVALUATION BREAKDOWN

Unrealised Profits & Losses - Forwards	Amount	Profit / Loss	Total Amount	Total P&L
ALUL - Futures USD	2,244.50	2,244.50		
COPL - Futures USD	249,749.50	249,749.50		
NKL - Futures USD	200,154.72	200,154.72		
TIN - Futures USD	-3,065.10	-3,065.10		
ZINC - Futures USD	938.25	938.25	450,021.87	450,021.87

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
CASH BALANCE	(1.00000)	(1.00000)
Variation Margins	69,479.96	69,479.96
- Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	69,479.96	69,479.96
Initial Margins	0.00	0.00
Excess/(Shortage)	69,479.96	69,479.96
FOR INFORMATION ONLY		
Forward Unrealised P&L	450,021.87	450,021.87
Net Liquidating Value	519,501.83	519,501.83

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	<u>LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.</u>							
18JUL17	S	4	PD18OCT17	1924.00	2110.25	USD	-18,625.00	
18JUL17	S	2	PD18OCT17	1931.00	2110.25	USD	-8,962.50	
26JUL17	B	6	PD18OCT17	1941.00	2110.25	USD	25,387.50	
	NET	0 AVG BGHT 1941.00	SOLD 1926.33				-2,200.00	0.00
19JUL17	B	2	PD19OCT17	1931.00	2110.75	USD	8,987.50	
26JUL17	S	2	PD19OCT17	1940.30	2110.75	USD	-8,522.50	
	NET	0 AVG BGHT 1931.00	SOLD 1940.30				465.00	0.00
20JUL17	S	1	PD20OCT17	1918.00	2111.25	USD	-4,831.25	
20JUL17	S	1	PD20OCT17	1918.50	2111.25	USD	-4,818.75	
21JUL17	B	2	PD20OCT17	1923.50	2111.25	USD	9,387.50	
	NET	0 AVG BGHT 1923.50	SOLD 1918.25				-262.50	0.00
24JUL17	B	2	PD24OCT17	1923.00	2113.25	USD	9,512.50	
24JUL17	B	1	PD24OCT17	1916.50	2113.25	USD	4,918.75	
24JUL17	B	1	PD24OCT17	1911.00	2113.25	USD	5,056.25	
26JUL17	S	4	PD24OCT17	1941.37	2113.25	USD	-17,188.00	
	NET	0 AVG BGHT 1918.38	SOLD 1941.37				2,299.50	0.00
01AUG17	B	1	PD01NOV17	1909.00	2114.09	USD	5,127.25	
01AUG17	B	1	PD01NOV17	1907.50	2114.09	USD	5,164.75	
16AUG17	S	2	PD01NOV17	2091.30	2114.09	USD	-1,139.50	
	NET	0 AVG BGHT 1908.25	SOLD 2091.30				9,152.50	0.00
04AUG17	B	1	PD03NOV17	1906.50	2114.78	USD	5,207.00	
04AUG17	B	1	PD03NOV17	1907.50	2114.78	USD	5,182.00	
16AUG17	S	2	PD03NOV17	2091.62	2114.78	USD	-1,158.00	
	NET	0 AVG BGHT 1907.00	SOLD 2091.62				9,231.00	0.00
07AUG17	S	2	PD07NOV17	1928.00	2116.16	USD	-9,408.00	
07AUG17	S	2	PD07NOV17	1929.50	2116.16	USD	-9,333.00	
16AUG17	B	4	PD07NOV17	2092.45	2116.16	USD	2,371.00	
	NET	0 AVG BGHT 2092.45	SOLD 1928.75				-16,370.00	0.00
08AUG17	S	2	PD08NOV17	1991.50	2116.50	USD	-6,250.00	
08AUG17	S	2	PD08NOV17	1992.00	2116.50	USD	-6,225.00	
16AUG17	B	4	PD08NOV17	2092.50	2116.50	USD	2,400.00	
	NET	0 AVG BGHT 2092.50	SOLD 1991.75				-10,075.00	0.00
14AUG17	B	4	PD14NOV17	2025.00	2119.29	USD	9,429.00	
16AUG17	S	4	PD14NOV17	2093.80	2119.29	USD	-2,549.00	
	NET	0 AVG BGHT 2025.00	SOLD 2093.80				6,880.00	0.00
31AUG17	S	1	PD30NOV17	2130.50	2124.49	USD	150.25	
14SEP17	B	1	PD30NOV17	2094.26	2124.49	USD	755.75	
	NET	0 AVG BGHT 2094.26	SOLD 2130.50				906.00	0.00
01SEP17	S	1	PD01DEC17	2135.50	2124.81	USD	267.25	
01SEP17	S	1	PD01DEC17	2136.00	2124.81	USD	279.75	
14SEP17	B	2	PD01DEC17	2094.53	2124.81	USD	1,514.00	
	NET	0 AVG BGHT 2094.53	SOLD 2135.75				2,061.00	0.00
13SEP17	B	2	PD13DEC17	2126.50	2128.60	USD	105.00	
13SEP17	B	1	PD13DEC17	2128.00	2128.60	USD	15.00	
14SEP17	S	3	PD13DEC17	2097.53	2128.60	USD	-2,330.25	
	NET	0 AVG BGHT 2127.00	SOLD 2097.53				-2,210.25	0.00
29SEP17	B	1	PD29DEC17	2126.50	2131.25	USD	118.75	
29SEP17	B	1	PD29DEC17	2125.50	2131.25	USD	143.75	
29SEP17	B	1	PD29DEC17	2127.50	2131.25	USD	93.75	
29SEP17	S	1	PD29DEC17	2127.00	2131.25	USD	-106.25	
29SEP17	S	1	PD29DEC17	2131.00	2131.25	USD	-6.25	
29SEP17	S	1	PD29DEC17	2133.00	2131.25	USD	43.75	
	NET	0 AVG BGHT 2126.50	SOLD 2130.33				287.50	0.00

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP096AA

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

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FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS</u>							
	<u>LME REGISTERED CLIENT CONTRACT.</u>							
14AUG17	S	1	PD14NOV17	6399.00	6864.67	USD	-11,641.75	
14AUG17	S	1	PD14NOV17	6400.00	6864.67	USD	-11,616.75	
17AUG17	B	2	PD14NOV17	6491.75	6864.67	USD	18,646.00	
	NET	0 AVG	BGHT 6491.75				-4,612.50	0.00
16AUG17	B	1	PD16NOV17	6461.00	6863.00	USD	10,050.00	
16AUG17	B	1	PD16NOV17	6538.00	6863.00	USD	8,125.00	
17AUG17	S	2	PD16NOV17	6489.80	6863.00	USD	-18,660.00	
	NET	0 AVG	BGHT 6499.50				-485.00	0.00
31AUG17	S	1	PD30NOV17	6829.00	6869.53	USD	-1,013.25	
13SEP17	B	1	PD30NOV17	6548.02	6869.53	USD	8,037.75	
	NET	0 AVG	BGHT 6548.02				7,024.50	0.00
01SEP17	S	1	PD01DEC17	6821.00	6870.00	USD	-1,225.00	
13SEP17	B	1	PD01DEC17	6548.45	6870.00	USD	8,038.75	
	NET	0 AVG	BGHT 6548.45				6,813.75	0.00
04SEP17	S	1	PD04DEC17	6909.00	6871.20	USD	945.00	
13SEP17	B	1	PD04DEC17	6549.74	6871.20	USD	8,036.50	
	NET	0 AVG	BGHT 6549.74				8,981.50	0.00
05SEP17	B	1	PD05DEC17	6855.00	6871.60	USD	415.00	
13SEP17	S	1	PD05DEC17	6549.97	6871.60	USD	-8,040.75	
	NET	0 AVG	BGHT 6855.00				-7,625.75	0.00
06SEP17	B	1	PD06DEC17	6885.00	6872.00	USD	-325.00	
06SEP17	S	1	PD06DEC17	6920.00	6872.00	USD	1,200.00	
06SEP17	S	1	PD06DEC17	6925.00	6872.00	USD	1,325.00	
06SEP17	B	1	PD06DEC17	6884.50	6872.00	USD	-312.50	
06SEP17	B	1	PD06DEC17	6886.50	6872.00	USD	-362.50	
06SEP17	S	1	PD06DEC17	6910.00	6872.00	USD	950.00	
06SEP17	S	1	PD06DEC17	6874.50	6872.00	USD	62.50	
06SEP17	S	1	PD06DEC17	6900.00	6872.00	USD	700.00	
06SEP17	S	1	PD06DEC17	6884.50	6872.00	USD	312.50	
06SEP17	B	1	PD06DEC17	6878.50	6872.00	USD	-162.50	
06SEP17	S	1	PD06DEC17	6879.50	6872.00	USD	187.50	
13SEP17	B	3	PD06DEC17	6550.60	6872.00	USD	24,105.00	
	NET	0 AVG	BGHT 6740.90				27,680.00	0.00
07SEP17	B	1	PD07DEC17	6863.00	6872.42	USD	235.50	
07SEP17	B	1	PD07DEC17	6859.00	6872.42	USD	335.50	
07SEP17	S	1	PD07DEC17	6897.00	6872.42	USD	614.50	
07SEP17	S	1	PD07DEC17	6899.00	6872.42	USD	664.50	
	NET	0 AVG	BGHT 6861.00				1,850.00	0.00
08SEP17	B	1	PD08DEC17	6851.00	6872.83	USD	545.75	
08SEP17	B	1	PD08DEC17	6855.00	6872.83	USD	445.75	
08SEP17	B	1	PD08DEC17	6842.00	6872.83	USD	770.75	
08SEP17	B	1	PD08DEC17	6695.00	6872.83	USD	4,445.75	
13SEP17	S	4	PD08DEC17	6551.26	6872.83	USD	-32,157.00	
	NET	0 AVG	BGHT 6810.75				-25,949.00	0.00
11SEP17	B	1	PD11DEC17	6756.00	6874.08	USD	2,952.00	
13SEP17	S	1	PD11DEC17	6552.55	6874.08	USD	-8,038.25	
	NET	0 AVG	BGHT 6756.00				-5,086.25	0.00
13SEP17	B	2	PD13DEC17	6569.00	6874.92	USD	15,296.00	
13SEP17	B	1	PD13DEC17	6572.00	6874.92	USD	7,573.00	
13SEP17	B	1	PD13DEC17	6567.50	6874.92	USD	7,685.50	
13SEP17	S	1	PD13DEC17	6547.00	6874.92	USD	-8,198.00	
13SEP17	S	1	PD13DEC17	6552.00	6874.92	USD	-8,073.00	
13SEP17	S	1	PD13DEC17	6554.00	6874.92	USD	-8,023.00	
13SEP17	S	1	PD13DEC17	6575.00	6874.92	USD	-7,498.00	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
	NET	0 AVG BGHT 6569.38	SOLD 6557.00				-1,237.50	0.00
	<u>LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
26JUL17	S	1	PD26OCT17	2314.50	2519.95	USD	-5,136.25	
26JUL17	S	1	PD26OCT17	2330.00	2519.95	USD	-4,748.75	
16AUG17	B	2	PD26OCT17	2514.60	2519.95	USD	267.50	
	NET	0 AVG BGHT 2514.60	SOLD 2322.25				-9,617.50	0.00
27JUL17	S	1	PD27OCT17	2331.00	2520.10	USD	-4,727.50	
28JUL17	B	1	PD27OCT17	2298.50	2520.10	USD	5,540.00	
28JUL17	B	1	PD27OCT17	2294.00	2520.10	USD	5,652.50	
28JUL17	B	1	PD27OCT17	2295.00	2520.10	USD	5,627.50	
16AUG17	S	2	PD27OCT17	2514.58	2520.10	USD	-276.00	
	NET	0 AVG BGHT 2295.83	SOLD 2453.39				11,816.50	0.00
03AUG17	S	2	PD03NOV17	2365.50	2521.13	USD	-7,781.50	
03AUG17	S	1	PD03NOV17	2364.00	2521.13	USD	-3,928.25	
03AUG17	S	1	PD03NOV17	2365.00	2521.13	USD	-3,903.25	
04AUG17	B	2	PD03NOV17	2351.50	2521.13	USD	8,481.50	
04AUG17	B	2	PD03NOV17	2352.00	2521.13	USD	8,456.50	
	NET	0 AVG BGHT 2351.75	SOLD 2365.00				1,325.00	0.00
16AUG17	S	2	PD16NOV17	2394.00	2522.75	USD	-6,437.50	
16AUG17	S	2	PD16NOV17	2397.00	2522.75	USD	-6,287.50	
16AUG17	S	1	PD16NOV17	2399.50	2522.75	USD	-3,081.25	
16AUG17	S	2	PD16NOV17	2423.00	2522.75	USD	-4,987.50	
16AUG17	S	2	PD16NOV17	2396.00	2522.75	USD	-6,337.50	
16AUG17	B	9	PD16NOV17	2517.20	2522.75	USD	1,248.75	
	NET	0 AVG BGHT 2517.20	SOLD 2402.17				-25,882.50	0.00
17AUG17	B	2	PD17NOV17	2515.00	2522.75	USD	387.50	
17AUG17	B	1	PD17NOV17	2495.00	2522.75	USD	693.75	
17AUG17	B	1	PD17NOV17	2487.00	2522.75	USD	893.75	
17AUG17	B	1	PD17NOV17	2484.00	2522.75	USD	968.75	
17AUG17	B	1	PD17NOV17	2486.50	2522.75	USD	906.25	
17AUG17	B	2	PD17NOV17	2485.00	2522.75	USD	1,887.50	
17AUG17	B	1	PD17NOV17	2465.00	2522.75	USD	1,443.75	
17AUG17	S	9	PD17NOV17	2413.30	2522.75	USD	-24,626.25	
	NET	0 AVG BGHT 2490.83	SOLD 2413.30				-17,445.00	0.00
30AUG17	S	1	PD30NOV17	2403.00	2524.72	USD	-3,043.00	
30AUG17	S	1	PD30NOV17	2404.00	2524.72	USD	-3,018.00	
30AUG17	S	1	PD30NOV17	2404.50	2524.72	USD	-3,005.50	
30AUG17	S	1	PD30NOV17	2405.50	2524.72	USD	-2,980.50	
30AUG17	B	3	PD30NOV17	2378.50	2524.72	USD	10,966.50	
31AUG17	B	1	PD30NOV17	2389.00	2524.72	USD	3,393.00	
	NET	0 AVG BGHT 2381.13	SOLD 2404.25				2,312.50	0.00
21SEP17	S	1	PD21DEC17	2490.00	2526.75	USD	-918.75	
21SEP17	S	3	PD21DEC17	2491.00	2526.75	USD	-2,681.25	
21SEP17	S	1	PD21DEC17	2491.50	2526.75	USD	-881.25	
21SEP17	S	1	PD21DEC17	2492.50	2526.75	USD	-856.25	
21SEP17	B	6	PD21DEC17	2513.20	2526.75	USD	2,032.50	
	NET	0 AVG BGHT 2513.20	SOLD 2491.17				-3,305.00	0.00
25SEP17	B	1	PD27DEC17	2480.00	2527.64	USD	1,191.00	
25SEP17	B	1	PD27DEC17	2494.00	2527.64	USD	841.00	
25SEP17	B	1	PD27DEC17	2485.00	2527.64	USD	1,066.00	
25SEP17	S	1	PD27DEC17	2494.00	2527.64	USD	-841.00	
25SEP17	S	1	PD27DEC17	2495.00	2527.64	USD	-816.00	
25SEP17	S	1	PD27DEC17	2501.50	2527.64	USD	-653.50	

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	<u>LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
	NET	0 AVG BGHT 2486.33	SOLD 2496.83				787.50	0.00
29SEP17	B	1 PD29DEC17		2494.00	2527.93	USD	848.25	
29SEP17	B	3 PD29DEC17		2495.00	2527.93	USD	2,469.75	
29SEP17	B	1 PD29DEC17		2495.50	2527.93	USD	810.75	
29SEP17	B	1 PD29DEC17		2497.00	2527.93	USD	773.25	
29SEP17	B	2 PD29DEC17		2485.50	2527.93	USD	2,121.50	
29SEP17	B	1 PD29DEC17		2486.00	2527.93	USD	1,048.25	
29SEP17	S	1 PD29DEC17		2504.50	2527.93	USD	-585.75	
29SEP17	S	2 PD29DEC17		2511.00	2527.93	USD	-846.50	
29SEP17	S	6 PD29DEC17		2489.10	2527.93	USD	-5,824.50	
	NET	0 AVG BGHT 2492.06	SOLD 2495.68				815.00	0.00
	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
17JUL17	S	1 PD17OCT17		9605.00	11610.50	USD	-12,033.00	
17JUL17	S	1 PD17OCT17		9620.00	11610.50	USD	-11,943.00	
17JUL17	S	1 PD17OCT17		9625.00	11610.50	USD	-11,913.00	
17JUL17	S	1 PD17OCT17		9640.00	11610.50	USD	-11,823.00	
17JUL17	B	1 PD17OCT17		9650.00	11610.50	USD	11,763.00	
17JUL17	S	1 PD17OCT17		9645.00	11610.50	USD	-11,793.00	
17JUL17	S	1 PD17OCT17		9660.00	11610.50	USD	-11,703.00	
26JUL17	B	5 PD17OCT17		10042.43	11610.50	USD	47,042.10	
	NET	0 AVG BGHT 9977.03	SOLD 9632.50				-12,402.90	0.00
18JUL17	S	1 PD18OCT17		9630.00	11610.50	USD	-11,883.00	
18JUL17	S	3 PD18OCT17		9635.00	11610.50	USD	-35,559.00	
18JUL17	S	1 PD18OCT17		9640.00	11610.50	USD	-11,823.00	
26JUL17	B	5 PD18OCT17		10043.00	11610.50	USD	47,025.00	
	NET	0 AVG BGHT 10043.00	SOLD 9635.00				-12,240.00	0.00
24JUL17	B	1 PD24OCT17		9635.00	11614.76	USD	11,878.56	
24JUL17	B	1 PD24OCT17		9725.00	11614.76	USD	11,338.56	
24JUL17	S	1 PD24OCT17		9720.00	11614.76	USD	-11,368.56	
24JUL17	S	1 PD24OCT17		9760.00	11614.76	USD	-11,128.56	
	NET	0 AVG BGHT 9680.00	SOLD 9740.00				720.00	0.00
26JUL17	S	1 PD26OCT17		9945.00	11616.46	USD	-10,028.76	
26JUL17	S	1 PD26OCT17		9980.00	11616.46	USD	-9,818.76	
26JUL17	B	1 PD26OCT17		9980.00	11616.46	USD	9,818.76	
26JUL17	S	1 PD26OCT17		9960.00	11616.46	USD	-9,938.76	
16AUG17	B	2 PD26OCT17		10747.15	11616.46	USD	10,431.72	
	NET	0 AVG BGHT 10491.43	SOLD 9961.67				-9,535.80	0.00
28JUL17	B	1 PD27OCT17		10205.00	11617.31	USD	8,473.86	
28JUL17	B	1 PD27OCT17		10210.00	11617.31	USD	8,443.86	
16AUG17	S	2 PD27OCT17		10746.85	11617.31	USD	-10,445.52	
	NET	0 AVG BGHT 10207.50	SOLD 10746.85				6,472.20	0.00
31JUL17	B	1 PD31OCT17		10270.00	11620.72	USD	8,104.32	
31JUL17	B	2 PD31OCT17		10285.00	11620.72	USD	16,028.64	
31JUL17	B	3 PD31OCT17		10280.00	11620.72	USD	24,132.96	
16AUG17	S	6 PD31OCT17		10750.62	11620.72	USD	-31,323.60	
	NET	0 AVG BGHT 10280.00	SOLD 10750.62				16,942.32	0.00
02AUG17	S	2 PD02NOV17		10230.00	11622.43	USD	-16,709.16	
02AUG17	S	1 PD02NOV17		10255.00	11622.43	USD	-8,204.58	
02AUG17	S	2 PD02NOV17		10265.00	11622.43	USD	-16,289.16	
02AUG17	S	1 PD02NOV17		10270.00	11622.43	USD	-8,114.58	
16AUG17	B	6 PD02NOV17		10753.00	11622.43	USD	31,299.48	
	NET	0 AVG BGHT 10753.00	SOLD 10252.50				-18,018.00	0.00
10AUG17	B	2 PD10NOV17		10880.00	11629.24	USD	8,990.88	

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11AUG17	B	1	PD10NOV17		10630.00	11629.24	USD	5,995.44	
17AUG17	S	3	PD10NOV17		10723.63	11629.24	USD	-16,300.98	
	NET	0 AVG	BGHT 10796.67	SOLD 10723.63				-1,314.66	0.00
14AUG17	B	1	PD14NOV17		10635.00	11632.65	USD	5,985.90	
14AUG17	B	1	PD14NOV17		10570.00	11632.65	USD	6,375.90	
14AUG17	B	1	PD14NOV17		10545.00	11632.65	USD	6,525.90	
17AUG17	S	3	PD14NOV17		10726.33	11632.65	USD	-16,313.76	
	NET	0 AVG	BGHT 10583.33	SOLD 10726.33				2,573.94	0.00
16AUG17	S	1	PD16NOV17		10570.00	11634.19	USD	-6,385.14	
16AUG17	S	1	PD16NOV17		10590.00	11634.19	USD	-6,265.14	
16AUG17	S	2	PD16NOV17		10620.00	11634.19	USD	-12,170.28	
16AUG17	S	1	PD16NOV17		10630.00	11634.19	USD	-6,025.14	
16AUG17	S	1	PD16NOV17		10640.00	11634.19	USD	-5,965.14	
17AUG17	B	6	PD16NOV17		10726.00	11634.19	USD	32,694.84	
	NET	0 AVG	BGHT 10726.00	SOLD 10611.67				-4,116.00	0.00
29AUG17	B	1	PD29NOV17		11735.00	11643.10	USD	-551.40	
29AUG17	B	1	PD29NOV17		11815.00	11643.10	USD	-1,031.40	
29AUG17	S	1	PD29NOV17		11770.00	11643.10	USD	761.40	
29AUG17	S	1	PD29NOV17		11710.00	11643.10	USD	401.40	
	NET	0 AVG	BGHT 11775.00	SOLD 11740.00				-420.00	0.00
30AUG17	B	2	PD30NOV17		11595.00	11643.79	USD	585.48	
30AUG17	B	1	PD30NOV17		11590.00	11643.79	USD	322.74	
31AUG17	S	1	PD30NOV17		11665.00	11643.79	USD	127.26	
31AUG17	S	1	PD30NOV17		11685.00	11643.79	USD	247.26	
31AUG17	S	1	PD30NOV17		11695.00	11643.79	USD	307.26	
31AUG17	S	1	PD30NOV17		11725.00	11643.79	USD	487.26	
31AUG17	B	1	PD30NOV17		11680.00	11643.79	USD	-217.26	
31AUG17	B	1	PD30NOV17		11660.00	11643.79	USD	-97.26	
31AUG17	B	3	PD30NOV17		11665.00	11643.79	USD	-381.78	
31AUG17	S	3	PD30NOV17		11675.00	11643.79	USD	561.78	
31AUG17	B	3	PD30NOV17		11675.00	11643.79	USD	-561.78	
31AUG17	B	1	PD30NOV17		11670.00	11643.79	USD	-157.26	
31AUG17	S	1	PD30NOV17		11670.00	11643.79	USD	157.26	
31AUG17	S	2	PD30NOV17		11680.00	11643.79	USD	434.52	
31AUG17	S	1	PD30NOV17		11770.00	11643.79	USD	757.26	
31AUG17	S	1	PD30NOV17		11800.00	11643.79	USD	937.26	
	NET	0 AVG	BGHT 11650.83	SOLD 11699.58				3,510.00	0.00
08SEP17	B	3	PD08DEC17		11750.00	11649.27	USD	-1,813.14	
08SEP17	B	1	PD08DEC17		11745.00	11649.27	USD	-574.38	
08SEP17	B	1	PD08DEC17		11605.00	11649.27	USD	265.62	
08SEP17	B	1	PD08DEC17		11655.00	11649.27	USD	-34.38	
08SEP17	B	1	PD08DEC17		11555.00	11649.27	USD	565.62	
08SEP17	B	1	PD08DEC17		11505.00	11649.27	USD	865.62	
13SEP17	S	8	PD08DEC17		11356.46	11649.27	USD	-14,054.88	
	NET	0 AVG	BGHT 11664.38	SOLD 11356.46				-14,779.92	0.00
11SEP17	S	1	PD11DEC17		11725.00	11651.33	USD	442.02	
11SEP17	S	1	PD11DEC17		11755.00	11651.33	USD	622.02	
11SEP17	S	1	PD11DEC17		11715.00	11651.33	USD	382.02	
11SEP17	S	2	PD11DEC17		11655.00	11651.33	USD	44.04	
11SEP17	S	1	PD11DEC17		11645.00	11651.33	USD	-37.98	
11SEP17	S	1	PD11DEC17		11660.00	11651.33	USD	52.02	
11SEP17	S	1	PD11DEC17		11740.00	11651.33	USD	532.02	
13SEP17	B	8	PD11DEC17		11360.00	11651.33	USD	13,983.84	
	NET	0 AVG	BGHT 11360.00	SOLD 11693.75				16,020.00	0.00
25SEP17	B	1	PD27DEC17		10500.00	11662.83	USD	6,976.98	

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
25SEP17	S	1	PD27DEC17	10485.00	11662.83	USD	-7,066.98	
	NET	0 AVG BGHT 10500.00	SOLD 10485.00				-90.00	0.00
29SEP17	S	1	PD29DEC17	10425.00	11664.35	USD	-7,436.10	
29SEP17	B	1	PD29DEC17	10620.00	11664.35	USD	6,266.10	
	NET	0 AVG BGHT 10620.00	SOLD 10425.00				-1,170.00	0.00
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
17JUL17	B	1	PD17OCT17	2840.00	3294.00	USD	11,350.00	
17JUL17	S	2	PD17OCT17	2835.50	3294.00	USD	-22,925.00	
17JUL17	S	2	PD17OCT17	2839.50	3294.00	USD	-22,725.00	
17JUL17	B	3	PD17OCT17	2818.50	3294.00	USD	35,662.50	
17JUL17	B	2	PD17OCT17	2819.00	3294.00	USD	23,750.00	
17JUL17	S	1	PD17OCT17	2843.00	3294.00	USD	-11,275.00	
17JUL17	S	2	PD17OCT17	2844.00	3294.00	USD	-22,500.00	
17JUL17	S	1	PD17OCT17	2844.50	3294.00	USD	-11,237.50	
17JUL17	S	1	PD17OCT17	2840.00	3294.00	USD	-11,350.00	
17JUL17	S	1	PD17OCT17	2841.00	3294.00	USD	-11,325.00	
26JUL17	B	4	PD17OCT17	2808.79	3294.00	USD	48,521.00	
	NET	0 AVG BGHT 2816.87	SOLD 2840.65				5,946.00	0.00
18JUL17	B	2	PD18OCT17	2770.50	3291.00	USD	26,025.00	
18JUL17	B	3	PD18OCT17	2771.00	3291.00	USD	39,000.00	
18JUL17	B	3	PD18OCT17	2771.50	3291.00	USD	38,962.50	
18JUL17	B	1	PD18OCT17	2773.50	3291.00	USD	12,937.50	
18JUL17	B	1	PD18OCT17	2775.50	3291.00	USD	12,887.50	
18JUL17	B	2	PD18OCT17	2776.00	3291.00	USD	25,750.00	
18JUL17	B	1	PD18OCT17	2772.50	3291.00	USD	12,962.50	
18JUL17	B	1	PD18OCT17	2773.00	3291.00	USD	12,950.00	
18JUL17	B	1	PD18OCT17	2774.00	3291.00	USD	12,925.00	
18JUL17	B	3	PD18OCT17	2774.50	3291.00	USD	38,737.50	
18JUL17	S	1	PD18OCT17	2784.50	3291.00	USD	-12,662.50	
18JUL17	S	2	PD18OCT17	2788.50	3291.00	USD	-25,125.00	
18JUL17	S	1	PD18OCT17	2793.00	3291.00	USD	-12,450.00	
18JUL17	S	1	PD18OCT17	2794.50	3291.00	USD	-12,412.50	
18JUL17	S	2	PD18OCT17	2794.00	3291.00	USD	-24,850.00	
18JUL17	S	3	PD18OCT17	2793.50	3291.00	USD	-37,312.50	
18JUL17	S	5	PD18OCT17	2792.00	3291.00	USD	-62,375.00	
18JUL17	S	4	PD18OCT17	2792.50	3291.00	USD	-49,850.00	
18JUL17	S	1	PD18OCT17	2789.00	3291.00	USD	-12,550.00	
18JUL17	S	1	PD18OCT17	2790.00	3291.00	USD	-12,525.00	
26JUL17	B	3	PD18OCT17	2809.00	3291.00	USD	36,150.00	
	NET	0 AVG BGHT 2778.07	SOLD 2791.74				7,175.00	0.00
20JUL17	B	1	PD20OCT17	2738.50	3282.00	USD	13,587.50	
20JUL17	B	1	PD20OCT17	2740.50	3282.00	USD	13,537.50	
20JUL17	B	2	PD20OCT17	2735.50	3282.00	USD	27,325.00	
20JUL17	B	1	PD20OCT17	2730.00	3282.00	USD	13,800.00	
20JUL17	B	2	PD20OCT17	2728.00	3282.00	USD	27,700.00	
20JUL17	B	2	PD20OCT17	2725.50	3282.00	USD	27,825.00	
20JUL17	B	6	PD20OCT17	2726.50	3282.00	USD	83,325.00	
21JUL17	B	1	PD20OCT17	2754.00	3282.00	USD	13,200.00	
21JUL17	B	1	PD20OCT17	2767.50	3282.00	USD	12,862.50	
21JUL17	B	1	PD20OCT17	2763.00	3282.00	USD	12,975.00	
21JUL17	B	1	PD20OCT17	2770.50	3282.00	USD	12,787.50	
21JUL17	B	1	PD20OCT17	2766.50	3282.00	USD	12,887.50	
21JUL17	B	1	PD20OCT17	2767.00	3282.00	USD	12,875.00	
21JUL17	B	1	PD20OCT17	2755.00	3282.00	USD	13,175.00	
21JUL17	B	3	PD20OCT17	2743.00	3282.00	USD	40,425.00	

OPEN POSITION STATEMENT



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SAP096AA

13th October 2017

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backoffice@sapiencap.com

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
21JUL17	B	3	PD200CT17	2743.50	3282.00	USD	40,387.50	
26JUL17	S	7	PD200CT17	2807.14	3282.00	USD	-83,100.50	
26JUL17	S	21	PD200CT17	2806.94	3282.00	USD	-249,406.50	
	NET	0 AVG	BGHT 2741.04				46,168.00	0.00
			SOLD 2806.99					
24JUL17	B	1	PD240CT17	2778.00	3276.00	USD	12,450.00	
24JUL17	B	1	PD240CT17	2778.50	3276.00	USD	12,437.50	
24JUL17	B	1	PD240CT17	2776.00	3276.00	USD	12,500.00	
24JUL17	B	3	PD240CT17	2775.50	3276.00	USD	37,537.50	
24JUL17	B	1	PD240CT17	2780.00	3276.00	USD	12,400.00	
24JUL17	B	3	PD240CT17	2777.50	3276.00	USD	37,387.50	
24JUL17	B	1	PD240CT17	2774.00	3276.00	USD	12,550.00	
24JUL17	B	2	PD240CT17	2784.00	3276.00	USD	24,600.00	
24JUL17	B	2	PD240CT17	2783.00	3276.00	USD	24,650.00	
24JUL17	B	2	PD240CT17	2783.50	3276.00	USD	24,625.00	
24JUL17	S	2	PD240CT17	2787.00	3276.00	USD	-24,450.00	
24JUL17	S	2	PD240CT17	2787.50	3276.00	USD	-24,425.00	
16AUG17	S	13	PD240CT17	3117.96	3276.00	USD	-51,363.00	
	NET	0 AVG	BGHT 2779.21				110,899.50	0.00
			SOLD 3040.15					
25JUL17	S	1	PD250CT17	2832.00	3273.00	USD	-11,025.00	
25JUL17	S	3	PD250CT17	2831.50	3273.00	USD	-33,112.50	
25JUL17	S	2	PD250CT17	2832.50	3273.00	USD	-22,025.00	
25JUL17	S	2	PD250CT17	2838.00	3273.00	USD	-21,750.00	
25JUL17	S	1	PD250CT17	2845.50	3273.00	USD	-10,687.50	
25JUL17	S	1	PD250CT17	2846.50	3273.00	USD	-10,662.50	
25JUL17	B	2	PD250CT17	2837.50	3273.00	USD	21,775.00	
25JUL17	S	1	PD250CT17	2842.00	3273.00	USD	-10,775.00	
26JUL17	B	7	PD250CT17	2808.06	3273.00	USD	81,364.50	
16AUG17	B	2	PD250CT17	3118.28	3273.00	USD	7,736.00	
	NET	0 AVG	BGHT 2869.82				-9,162.00	0.00
			SOLD 2836.50					
27JUL17	S	1	PD270CT17	2822.00	3270.73	USD	-11,218.25	
27JUL17	S	2	PD270CT17	2825.00	3270.73	USD	-22,286.50	
27JUL17	S	1	PD270CT17	2830.50	3270.73	USD	-11,005.75	
27JUL17	S	1	PD270CT17	2830.00	3270.73	USD	-11,018.25	
27JUL17	S	1	PD270CT17	2824.00	3270.73	USD	-11,168.25	
28JUL17	S	2	PD270CT17	2789.00	3270.73	USD	-24,086.50	
28JUL17	S	1	PD270CT17	2793.00	3270.73	USD	-11,943.25	
28JUL17	S	1	PD270CT17	2794.00	3270.73	USD	-11,918.25	
28JUL17	S	1	PD270CT17	2783.00	3270.73	USD	-12,193.25	
28JUL17	S	4	PD270CT17	2792.00	3270.73	USD	-47,873.00	
28JUL17	S	2	PD270CT17	2795.00	3270.73	USD	-23,786.50	
16AUG17	B	17	PD270CT17	3118.51	3270.73	USD	64,693.50	
	NET	0 AVG	BGHT 3118.51				-133,804.25	0.00
			SOLD 2803.68					
07AUG17	S	1	PD07NOV17	2832.50	3262.80	USD	-10,757.50	
07AUG17	S	1	PD07NOV17	2833.00	3262.80	USD	-10,745.00	
16AUG17	B	2	PD07NOV17	3119.78	3262.80	USD	7,151.00	
	NET	0 AVG	BGHT 3119.78				-14,351.50	0.00
			SOLD 2832.75					
16AUG17	S	1	PD16NOV17	3032.00	3253.50	USD	-5,537.50	
16AUG17	S	2	PD16NOV17	3032.50	3253.50	USD	-11,050.00	
17AUG17	B	3	PD16NOV17	3062.45	3253.50	USD	14,328.75	
	NET	0 AVG	BGHT 3062.45				-2,258.75	0.00
			SOLD 3032.33					
29AUG17	B	1	PD29NOV17	3093.00	3246.75	USD	3,843.75	
29AUG17	B	1	PD29NOV17	3110.00	3246.75	USD	3,418.75	
29AUG17	B	1	PD29NOV17	3095.50	3246.75	USD	3,781.25	
29AUG17	B	2	PD29NOV17	3111.00	3246.75	USD	6,787.50	
29AUG17	B	1	PD29NOV17	3097.50	3246.75	USD	3,731.25	
29AUG17	B	1	PD29NOV17	3099.00	3246.75	USD	3,693.75	

OPEN POSITION STATEMENT



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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
29AUG17	B	1	PD29NOV17	3090.50	3246.75	USD	3,906.25	
29AUG17	B	1	PD29NOV17	3091.50	3246.75	USD	3,881.25	
29AUG17	B	1	PD29NOV17	3117.00	3246.75	USD	3,243.75	
29AUG17	B	1	PD29NOV17	3118.00	3246.75	USD	3,218.75	
29AUG17	B	1	PD29NOV17	3122.00	3246.75	USD	3,118.75	
29AUG17	B	4	PD29NOV17	3113.50	3246.75	USD	13,325.00	
29AUG17	B	2	PD29NOV17	3115.00	3246.75	USD	6,587.50	
29AUG17	B	3	PD29NOV17	3114.50	3246.75	USD	9,918.75	
29AUG17	B	2	PD29NOV17	3119.00	3246.75	USD	6,387.50	
29AUG17	B	1	PD29NOV17	3119.50	3246.75	USD	3,181.25	
29AUG17	B	1	PD29NOV17	3122.50	3246.75	USD	3,106.25	
29AUG17	S	25	PD29NOV17	3109.80	3246.75	USD	-85,593.75	
	NET	0 AVG BGHT 3110.54	SOLD 3109.80				-462.50	0.00
30AUG17	S	1	PD30NOV17	3126.00	3246.50	USD	-3,012.50	
30AUG17	S	1	PD30NOV17	3127.50	3246.50	USD	-2,975.00	
30AUG17	S	1	PD30NOV17	3128.00	3246.50	USD	-2,962.50	
30AUG17	S	1	PD30NOV17	3124.00	3246.50	USD	-3,062.50	
30AUG17	S	2	PD30NOV17	3083.00	3246.50	USD	-8,175.00	
30AUG17	S	1	PD30NOV17	3085.00	3246.50	USD	-4,037.50	
31AUG17	S	1	PD30NOV17	3119.00	3246.50	USD	-3,187.50	
31AUG17	S	1	PD30NOV17	3119.50	3246.50	USD	-3,175.00	
31AUG17	S	1	PD30NOV17	3126.00	3246.50	USD	-3,012.50	
31AUG17	S	1	PD30NOV17	3127.50	3246.50	USD	-2,975.00	
31AUG17	S	1	PD30NOV17	3135.50	3246.50	USD	-2,775.00	
31AUG17	S	1	PD30NOV17	3136.00	3246.50	USD	-2,762.50	
31AUG17	S	1	PD30NOV17	3141.00	3246.50	USD	-2,637.50	
05SEP17	B	14	PD30NOV17	3139.63	3246.50	USD	37,404.50	
	NET	0 AVG BGHT 3139.63	SOLD 3118.64				-7,345.50	0.00
08SEP17	S	1	PD08DEC17	3092.00	3245.00	USD	-3,825.00	
08SEP17	S	1	PD08DEC17	3095.00	3245.00	USD	-3,750.00	
08SEP17	B	2	PD08DEC17	3071.50	3245.00	USD	8,675.00	
08SEP17	S	2	PD08DEC17	3069.50	3245.00	USD	-8,775.00	
08SEP17	S	2	PD08DEC17	3074.00	3245.00	USD	-8,550.00	
08SEP17	B	2	PD08DEC17	3031.00	3245.00	USD	10,700.00	
08SEP17	B	1	PD08DEC17	3032.00	3245.00	USD	5,325.00	
08SEP17	B	1	PD08DEC17	3033.00	3245.00	USD	5,300.00	
08SEP17	B	4	PD08DEC17	3029.00	3245.00	USD	21,600.00	
08SEP17	B	5	PD08DEC17	3028.00	3245.00	USD	27,125.00	
13SEP17	S	9	PD08DEC17	3023.55	3245.00	USD	-49,826.25	
	NET	0 AVG BGHT 3035.07	SOLD 3045.73				3,998.75	0.00
11SEP17	S	2	PD11DEC17	3073.00	3244.75	USD	-8,587.50	
11SEP17	S	2	PD11DEC17	3074.00	3244.75	USD	-8,537.50	
11SEP17	S	2	PD11DEC17	3076.50	3244.75	USD	-8,412.50	
11SEP17	S	3	PD11DEC17	3093.00	3244.75	USD	-11,381.25	
14SEP17	B	9	PD11DEC17	3005.57	3244.75	USD	53,815.50	
	NET	0 AVG BGHT 3005.57	SOLD 3080.67				16,896.75	0.00
12SEP17	S	1	PD12DEC17	3082.00	3244.50	USD	-4,062.50	
12SEP17	S	1	PD12DEC17	3081.00	3244.50	USD	-4,087.50	
12SEP17	S	1	PD12DEC17	3086.00	3244.50	USD	-3,962.50	
12SEP17	S	2	PD12DEC17	3080.00	3244.50	USD	-8,225.00	
12SEP17	S	1	PD12DEC17	3088.50	3244.50	USD	-3,900.00	
12SEP17	B	2	PD12DEC17	3068.00	3244.50	USD	8,825.00	
12SEP17	B	2	PD12DEC17	3069.00	3244.50	USD	8,775.00	
12SEP17	B	2	PD12DEC17	3064.00	3244.50	USD	9,025.00	
12SEP17	S	2	PD12DEC17	3082.50	3244.50	USD	-8,100.00	
12SEP17	B	2	PD12DEC17	3062.50	3244.50	USD	9,100.00	

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
12SEP17	B	1	PD12DEC17	3063.00	3244.50	USD	4,537.50	
12SEP17	B	1	PD12DEC17	3063.50	3244.50	USD	4,525.00	
12SEP17	B	1	PD12DEC17	3065.00	3244.50	USD	4,487.50	
12SEP17	B	4	PD12DEC17	3064.50	3244.50	USD	18,000.00	
12SEP17	S	2	PD12DEC17	3064.00	3244.50	USD	-9,025.00	
14SEP17	S	5	PD12DEC17	3005.51	3244.50	USD	-29,873.75	
	NET	0 AVG BGHT	3065.10	SOLD	3054.54		-3,961.25	0.00
13SEP17	B	1	PD13DEC17	3035.00	3244.25	USD	5,231.25	
13SEP17	B	1	PD13DEC17	3036.00	3244.25	USD	5,206.25	
13SEP17	B	2	PD13DEC17	3033.00	3244.25	USD	10,562.50	
13SEP17	S	2	PD13DEC17	3039.50	3244.25	USD	-10,237.50	
13SEP17	S	1	PD13DEC17	3038.50	3244.25	USD	-5,143.75	
13SEP17	S	1	PD13DEC17	3039.00	3244.25	USD	-5,131.25	
	NET	0 AVG BGHT	3034.25	SOLD	3039.13		487.50	0.00
14SEP17	B	3	PD14DEC17	2998.00	3244.00	USD	18,450.00	
14SEP17	B	3	PD14DEC17	2997.00	3244.00	USD	18,525.00	
14SEP17	B	3	PD14DEC17	2996.00	3244.00	USD	18,600.00	
14SEP17	S	9	PD14DEC17	3005.80	3244.00	USD	-53,595.00	
	NET	0 AVG BGHT	2997.00	SOLD	3005.80		1,980.00	0.00

OPEN POSITION VALUE

		USD
LME Aluminium 25 Tonnes.	FUTURES	164.75
LME Copper Grade A 25	FUTURES	7,353.75
LME Lead 25 tonnes. This is an	FUTURES	-39,193.50
LME Nickel 6 tonnes. This is	FUTURES	-27,848.82
LME Zinc 25 tonnes. This is	FUTURES	22,205.75

MARKET REVALUATION BREAKDOWN

Unrealised Profits & Losses - Forwards			Amount	Profit / Loss	Total Amount	Total P&L
ALUL	- Futures	USD	164.75	164.75		
COPL	- Futures	USD	7,353.75	7,353.75		
LEAD	- Futures	USD	-39,193.50	-39,193.50		
NKL	- Futures	USD	-27,848.82	-27,848.82		
ZINC	- Futures	USD	22,205.75	22,205.75	-37,318.07	-37,318.07

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ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	37,707.77	37,707.77
Variation Margins		
- Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	37,707.77	37,707.77
Initial Margins	-37,318.07	-37,318.07
Excess/(Shortage)	389.70	389.70
FOR INFORMATION ONLY		
Forward Unrealised P&L	-37,318.07	-37,318.07
Net Liquidating Value	389.70	389.70

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	<u>LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
17JUL17	B	1	PD17OCT17	2326.00	2518.25	USD	4,806.25	
27JUL17	S	1	PD17OCT17	2308.32	2518.25	USD	-5,248.25	
	NET	0 AVG	BGHT 2326.00				-442.00	0.00
18JUL17	S	1	PD18OCT17	2293.00	2518.75	USD	-5,643.75	
18JUL17	B	1	PD18OCT17	2270.00	2518.75	USD	6,218.75	
	NET	0 AVG	BGHT 2270.00				575.00	0.00
20JUL17	S	1	PD20OCT17	2213.50	2519.05	USD	-7,638.75	
27JUL17	B	1	PD20OCT17	2308.11	2519.05	USD	5,273.50	
	NET	0 AVG	BGHT 2308.11				-2,365.25	0.00
24JUL17	B	1	PD24OCT17	2248.50	2519.65	USD	6,778.75	
24JUL17	S	1	PD24OCT17	2255.00	2519.65	USD	-6,616.25	
	NET	0 AVG	BGHT 2248.50				162.50	0.00
25JUL17	B	1	PD25OCT17	2276.00	2519.80	USD	6,095.00	
25JUL17	S	1	PD25OCT17	2313.00	2519.80	USD	-5,170.00	
	NET	0 AVG	BGHT 2276.00				925.00	0.00
23AUG17	B	3	PD24NOV17	2416.00	2523.81	USD	8,085.75	
12OCT17	S	3	PD24NOV17	2551.70	2523.81	USD	2,091.75	
	NET	0 AVG	BGHT 2416.00				10,177.50	0.00
21SEP17	S	1	PD21DEC17	2468.50	2526.75	USD	-1,456.25	
21SEP17	S	2	PD21DEC17	2470.00	2526.75	USD	-2,837.50	
12OCT17	B	3	PD21DEC17	2554.45	2526.75	USD	-2,077.50	
	NET	0 AVG	BGHT 2554.45				-6,371.25	0.00
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
17JUL17	S	1	PD17OCT17	2832.50	3294.00	USD	-11,537.50	
17JUL17	S	1	PD17OCT17	2836.50	3294.00	USD	-11,437.50	
17JUL17	S	1	PD17OCT17	2840.00	3294.00	USD	-11,350.00	
17JUL17	S	2	PD17OCT17	2828.50	3294.00	USD	-23,275.00	
27JUL17	B	5	PD17OCT17	2800.95	3294.00	USD	61,631.25	
	NET	0 AVG	BGHT 2800.95				4,031.25	0.00
18JUL17	B	1	PD18OCT17	2798.00	3291.00	USD	12,325.00	
18JUL17	B	2	PD18OCT17	2780.00	3291.00	USD	25,550.00	
18JUL17	S	1	PD18OCT17	2784.00	3291.00	USD	-12,675.00	
27JUL17	S	2	PD18OCT17	2801.05	3291.00	USD	-24,497.50	
	NET	0 AVG	BGHT 2786.00				702.50	0.00
20JUL17	B	1	PD20OCT17	2724.50	3282.00	USD	13,937.50	
20JUL17	B	2	PD20OCT17	2729.00	3282.00	USD	27,650.00	
27JUL17	S	3	PD20OCT17	2798.80	3282.00	USD	-36,240.00	
	NET	0 AVG	BGHT 2727.50				5,347.50	0.00
24JUL17	S	1	PD24OCT17	2780.00	3276.00	USD	-12,400.00	
24JUL17	S	1	PD24OCT17	2776.00	3276.00	USD	-12,500.00	
24JUL17	B	2	PD24OCT17	2777.00	3276.00	USD	24,950.00	
	NET	0 AVG	BGHT 2777.00				50.00	0.00
25JUL17	S	1	PD25OCT17	2807.00	3273.00	USD	-11,650.00	
25JUL17	B	1	PD25OCT17	2836.50	3273.00	USD	10,912.50	
	NET	0 AVG	BGHT 2836.50				-737.50	0.00
20SEP17	S	3	PD20DEC17	3146.50	3243.00	USD	-7,237.50	
12OCT17	B	3	PD20DEC17	3262.00	3243.00	USD	-1,425.00	
	NET	0 AVG	BGHT 3262.00				-8,662.50	0.00
21SEP17	B	1	PD21DEC17	3079.50	3240.00	USD	4,012.50	
21SEP17	B	1	PD21DEC17	3082.00	3240.00	USD	3,950.00	
21SEP17	B	1	PD21DEC17	3082.50	3240.00	USD	3,937.50	
12OCT17	S	3	PD21DEC17	3257.80	3240.00	USD	1,335.00	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP096AB

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

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Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
	NET 0 AVG BGHT 3081.33 SOLD 3257.80				13,235.00	0.00

OPEN POSITION VALUE

		<u>USD</u>
LME Lead 25 tonnes. This is an	FUTURES	2,661.50
LME Zinc 25 tonnes. This is	FUTURES	13,966.25

MARKET REVALUATION BREAKDOWN

Unrealised Profits & Losses - Forwards	Amount	Profit / Loss	Total Amount	Total P&L
LEAD - Futures USD	2,661.50	2,661.50		
ZINC - Futures USD	13,966.25	13,966.25	16,627.75	16,627.75

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	-17,063.50	-17,063.50
Variation Margins - Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	-17,063.50	-17,063.50
Initial Margins	0.00	0.00
Excess/(Shortage)	-17,063.50	-17,063.50
<u>FOR INFORMATION ONLY</u>		
Forward Unrealised P&L	16,627.75	16,627.75
Net Liquidating Value	-435.75	-435.75

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	<u>LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.</u>					
01AUG17	B 1 PD01NOV17	1906.00	2114.09	USD	5,202.25	
12OCT17	S 1 PD01NOV17	2126.88	2114.09	USD	319.75	
	NET 0AVG BGHT 1906.00 SOLD 2126.88				5,522.00	0.00
02AUG17	S 1 PD02NOV17	1912.00	2114.44	USD	-5,061.00	
02AUG17	B 1 PD02NOV17	1926.50	2114.44	USD	4,698.50	
	NET 0AVG BGHT 1926.50 SOLD 1912.00				-362.50	0.00
07AUG17	S 1 PD07NOV17	1909.50	2116.16	USD	-5,166.50	
07AUG17	B 1 PD07NOV17	1924.50	2116.16	USD	4,791.50	
07AUG17	S 1 PD07NOV17	1929.00	2116.16	USD	-4,679.00	
07AUG17	B 1 PD07NOV17	1934.00	2116.16	USD	4,554.00	
07AUG17	S 1 PD07NOV17	1944.00	2116.16	USD	-4,304.00	
07AUG17	B 1 PD07NOV17	1955.00	2116.16	USD	4,029.00	
07AUG17	S 1 PD07NOV17	1965.00	2116.16	USD	-3,779.00	
12OCT17	B 1 PD07NOV17	2129.33	2116.16	USD	-329.25	
	NET 0AVG BGHT 1985.71 SOLD 1936.88				-4,883.25	0.00
09AUG17	B 1 PD09NOV17	2036.00	2116.96	USD	2,024.00	
09AUG17	S 1 PD09NOV17	2034.00	2116.96	USD	-2,074.00	
	NET 0AVG BGHT 2036.00 SOLD 2034.00				-50.00	0.00
16AUG17	B 1 PD16NOV17	2060.50	2120.07	USD	1,489.25	
16AUG17	S 1 PD16NOV17	2083.50	2120.07	USD	-914.25	
	NET 0AVG BGHT 2060.50 SOLD 2083.50				575.00	0.00
17AUG17	B 1 PD17NOV17	2097.50	2120.38	USD	572.00	
18AUG17	B 2 PD17NOV17	2068.00	2120.38	USD	2,619.00	
18AUG17	S 3 PD17NOV17	2064.00	2120.38	USD	-4,228.50	
	NET 0AVG BGHT 2077.83 SOLD 2064.00				-1,037.50	0.00
23AUG17	B 1 PD24NOV17	2073.00	2122.60	USD	1,240.00	
23AUG17	S 1 PD24NOV17	2073.00	2122.60	USD	-1,240.00	
	NET 0AVG BGHT 2073.00 SOLD 2073.00				0.00	0.00
30AUG17	B 1 PD30NOV17	2093.00	2124.49	USD	787.25	
30AUG17	S 1 PD30NOV17	2094.00	2124.49	USD	-762.25	
31AUG17	B 1 PD30NOV17	2118.50	2124.49	USD	149.75	
12OCT17	S 1 PD30NOV17	2137.66	2124.49	USD	329.25	
	NET 0AVG BGHT 2105.75 SOLD 2115.83				504.00	0.00
01SEP17	S 1 PD01DEC17	2127.00	2124.81	USD	54.75	
12OCT17	B 1 PD01DEC17	2138.16	2124.81	USD	-333.75	
	NET 0AVG BGHT 2138.16 SOLD 2127.00				-279.00	0.00
04SEP17	B 1 PD04DEC17	2117.50	2125.76	USD	206.50	
12OCT17	S 1 PD04DEC17	2138.84	2125.76	USD	327.00	
	NET 0AVG BGHT 2117.50 SOLD 2138.84				533.50	0.00
05SEP17	S 1 PD05DEC17	2104.00	2126.07	USD	-551.75	
12OCT17	B 1 PD05DEC17	2139.33	2126.07	USD	-331.50	
	NET 0AVG BGHT 2139.33 SOLD 2104.00				-883.25	0.00
18SEP17	B 1 PD18DEC17	2092.50	2130.18	USD	942.00	
18SEP17	S 1 PD18DEC17	2090.00	2130.18	USD	-1,004.50	
18SEP17	B 1 PD18DEC17	2092.00	2130.18	USD	954.50	
12OCT17	S 1 PD18DEC17	2142.96	2130.18	USD	319.50	
	NET 0AVG BGHT 2092.25 SOLD 2116.48				1,211.50	0.00
19SEP17	S 1 PD19DEC17	2106.50	2130.50	USD	-600.00	
12OCT17	B 1 PD19DEC17	2143.45	2130.50	USD	-323.75	
	NET 0AVG BGHT 2143.45 SOLD 2106.50				-923.75	0.00
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>					
31AUG17	B 1 PD30NOV17	6805.00	6869.53	USD	1,613.25	

OPEN POSITION STATEMENT



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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
31AUG17	S	1	PD30NOV17	6864.50	6869.53	USD	-125.75	
31AUG17	B	1	PD30NOV17	6837.00	6869.53	USD	813.25	
31AUG17	S	1	PD30NOV17	6820.00	6869.53	USD	-1,238.25	
	NET	0 AVG	BGHT 6821.00				1,062.50	0.00
07SEP17	B	1	PD07DEC17	6868.00	6872.42	USD	110.50	
07SEP17	S	1	PD07DEC17	6875.00	6872.42	USD	64.50	
	NET	0 AVG	BGHT 6868.00				175.00	0.00
12SEP17	B	1	PD12DEC17	6690.50	6874.50	USD	4,600.00	
12SEP17	S	1	PD12DEC17	6643.00	6874.50	USD	-5,787.50	
	NET	0 AVG	BGHT 6690.50				-1,187.50	0.00
20SEP17	B	1	PD20DEC17	6555.50	6877.00	USD	8,037.50	
12OCT17	S	1	PD20DEC17	6882.50	6877.00	USD	137.50	
	NET	0 AVG	BGHT 6555.50				8,175.00	0.00
10OCT17	S	1	PD10JAN18	6698.00	6881.33	USD	-4,583.25	
12OCT17	B	1	PD10JAN18	6886.87	6881.33	USD	-138.50	
	NET	0 AVG	BGHT 6886.87				-4,721.75	0.00
	<u>LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
17JUL17	B	1	PD17OCT17	2325.00	2518.25	USD	4,831.25	
17JUL17	B	1	PD17OCT17	2332.00	2518.25	USD	4,656.25	
17JUL17	S	1	PD17OCT17	2319.50	2518.25	USD	-4,968.75	
06OCT17	S	1	PD17OCT17	2519.13	2518.25	USD	22.00	
	NET	0 AVG	BGHT 2328.50				4,540.75	0.00
18JUL17	S	1	PD18OCT17	2285.00	2518.75	USD	-5,843.75	
18JUL17	B	1	PD18OCT17	2273.00	2518.75	USD	6,143.75	
	NET	0 AVG	BGHT 2273.00				300.00	0.00
20JUL17	S	1	PD20OCT17	2214.00	2519.05	USD	-7,626.25	
21JUL17	B	1	PD20OCT17	2242.00	2519.05	USD	6,926.25	
21JUL17	S	1	PD20OCT17	2229.00	2519.05	USD	-7,251.25	
06OCT17	B	1	PD20OCT17	2520.11	2519.05	USD	-26.50	
	NET	0 AVG	BGHT 2381.06				-7,977.75	0.00
24JUL17	B	1	PD24OCT17	2244.00	2519.65	USD	6,891.25	
24JUL17	S	1	PD24OCT17	2256.00	2519.65	USD	-6,591.25	
	NET	0 AVG	BGHT 2244.00				300.00	0.00
25JUL17	B	1	PD25OCT17	2275.00	2519.80	USD	6,120.00	
25JUL17	S	1	PD25OCT17	2313.00	2519.80	USD	-5,170.00	
	NET	0 AVG	BGHT 2275.00				950.00	0.00
26JUL17	S	1	PD26OCT17	2323.00	2519.95	USD	-4,923.75	
06OCT17	B	1	PD26OCT17	2521.34	2519.95	USD	-34.75	
	NET	0 AVG	BGHT 2521.34				-4,958.50	0.00
01AUG17	S	1	PD01NOV17	2335.00	2520.85	USD	-4,646.25	
12OCT17	B	1	PD01NOV17	2549.00	2520.85	USD	-703.75	
	NET	0 AVG	BGHT 2549.00				-5,350.00	0.00
02AUG17	B	1	PD02NOV17	2328.00	2521.00	USD	4,825.00	
12OCT17	S	1	PD02NOV17	2548.93	2521.00	USD	698.25	
	NET	0 AVG	BGHT 2328.00				5,523.25	0.00
08AUG17	B	1	PD08NOV17	2356.00	2521.81	USD	4,145.25	
12OCT17	S	1	PD08NOV17	2549.88	2521.81	USD	701.75	
	NET	0 AVG	BGHT 2356.00				4,847.00	0.00
09AUG17	B	1	PD09NOV17	2397.00	2521.94	USD	3,123.50	
12OCT17	S	1	PD09NOV17	2550.00	2521.94	USD	701.50	
	NET	0 AVG	BGHT 2397.00				3,825.00	0.00

OPEN POSITION STATEMENT



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	<u>LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
10AUG17	S	1	PD10NOV17	2388.50	2522.08	USD	-3,339.50	
11AUG17	B	1	PD10NOV17	2351.00	2522.08	USD	4,277.00	
11AUG17	S	1	PD10NOV17	2350.50	2522.08	USD	-4,289.50	
11AUG17	B	1	PD10NOV17	2347.00	2522.08	USD	4,377.00	
	NET	0 AVG BGHT 2349.00	SOLD 2369.50				1,025.00	0.00
16AUG17	B	1	PD16NOV17	2448.50	2522.75	USD	1,856.25	
16AUG17	S	1	PD16NOV17	2444.50	2522.75	USD	-1,956.25	
	NET	0 AVG BGHT 2448.50	SOLD 2444.50				-100.00	0.00
18AUG17	S	1	PD17NOV17	2430.00	2522.75	USD	-2,318.75	
18AUG17	S	1	PD17NOV17	2371.00	2522.75	USD	-3,793.75	
18AUG17	B	4	PD17NOV17	2366.00	2522.75	USD	15,675.00	
18AUG17	B	2	PD17NOV17	2364.00	2522.75	USD	7,937.50	
18AUG17	B	4	PD17NOV17	2371.00	2522.75	USD	15,175.00	
12OCT17	S	8	PD17NOV17	2550.75	2522.75	USD	5,600.00	
	NET	0 AVG BGHT 2367.60	SOLD 2520.70				38,275.00	0.00
21AUG17	B	1	PD21NOV17	2345.00	2523.36	USD	4,459.00	
12OCT17	S	1	PD21NOV17	2551.30	2523.36	USD	698.50	
	NET	0 AVG BGHT 2345.00	SOLD 2551.30				5,157.50	0.00
22AUG17	S	1	PD22NOV17	2365.50	2523.51	USD	-3,950.25	
22AUG17	B	1	PD22NOV17	2329.00	2523.51	USD	4,862.75	
22AUG17	S	1	PD22NOV17	2357.00	2523.51	USD	-4,162.75	
22AUG17	S	3	PD22NOV17	2391.00	2523.51	USD	-9,938.25	
12OCT17	B	4	PD22NOV17	2551.63	2523.51	USD	-2,812.00	
	NET	0 AVG BGHT 2507.10	SOLD 2379.10				-16,000.50	0.00
23AUG17	S	3	PD24NOV17	2416.00	2523.81	USD	-8,085.75	
24AUG17	B	1	PD24NOV17	2362.50	2523.81	USD	4,032.75	
24AUG17	S	1	PD24NOV17	2355.00	2523.81	USD	-4,220.25	
12OCT17	B	3	PD24NOV17	2551.90	2523.81	USD	-2,106.75	
	NET	0 AVG BGHT 2504.55	SOLD 2400.75				-10,380.00	0.00
29AUG17	S	1	PD29NOV17	2402.00	2524.57	USD	-3,064.25	
29AUG17	B	1	PD29NOV17	2392.50	2524.57	USD	3,301.75	
29AUG17	S	1	PD29NOV17	2397.00	2524.57	USD	-3,189.25	
29AUG17	B	1	PD29NOV17	2383.00	2524.57	USD	3,539.25	
	NET	0 AVG BGHT 2387.75	SOLD 2399.50				587.50	0.00
31AUG17	B	1	PD30NOV17	2390.00	2524.72	USD	3,368.00	
31AUG17	S	1	PD30NOV17	2388.50	2524.72	USD	-3,405.50	
	NET	0 AVG BGHT 2390.00	SOLD 2388.50				-37.50	0.00
07SEP17	B	1	PD07DEC17	2332.00	2525.78	USD	4,844.50	
07SEP17	S	1	PD07DEC17	2345.00	2525.78	USD	-4,519.50	
	NET	0 AVG BGHT 2332.00	SOLD 2345.00				325.00	0.00
14SEP17	S	2	PD14DEC17	2313.00	2526.84	USD	-10,692.00	
12OCT17	B	2	PD14DEC17	2554.63	2526.84	USD	-1,389.50	
	NET	0 AVG BGHT 2554.63	SOLD 2313.00				-12,081.50	0.00
21SEP17	S	2	PD21DEC17	2469.00	2526.75	USD	-2,887.50	
12OCT17	B	2	PD21DEC17	2554.45	2526.75	USD	-1,385.00	
	NET	0 AVG BGHT 2554.45	SOLD 2469.00				-4,272.50	0.00
	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
23AUG17	S	1	PD24NOV17	11385.00	11639.67	USD	-1,528.02	
23AUG17	B	1	PD24NOV17	11400.00	11639.67	USD	1,438.02	
	NET	0 AVG BGHT 11400.00	SOLD 11385.00				-90.00	0.00
29AUG17	S	1	PD29NOV17	11795.00	11643.10	USD	911.40	
29AUG17	B	1	PD29NOV17	11810.00	11643.10	USD	-1,001.40	

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
	NET 0 AVG BGHT 11810.00 SOLD 11795.00				-90.00	0.00
07SEP17	B 1 PD07DEC17	12105.00	11648.59	USD	-2,738.46	
07SEP17	S 1 PD07DEC17	12090.00	11648.59	USD	2,648.46	
	NET 0 AVG BGHT 12105.00 SOLD 12090.00				-90.00	0.00
08SEP17	B 1 PD08DEC17	11930.00	11649.27	USD	-1,684.38	
08SEP17	S 1 PD08DEC17	11925.00	11649.27	USD	1,654.38	
	NET 0 AVG BGHT 11930.00 SOLD 11925.00				-30.00	0.00
22SEP17	B 1 PD22DEC17	10670.00	11659.02	USD	5,934.12	
22SEP17	S 1 PD22DEC17	10595.00	11659.02	USD	-6,384.12	
	NET 0 AVG BGHT 10670.00 SOLD 10595.00				-450.00	0.00
	<u>LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
17JUL17	B 1 PD17OCT17	19885.00	20735.00	USD	4,250.00	
20JUL17	S 1 PD17OCT17	20091.58	20735.00	USD	-3,217.10	
	NET 0 AVG BGHT 19885.00 SOLD 20091.58				1,032.90	0.00
18JUL17	S 1 PD18OCT17	19960.00	20735.00	USD	-3,875.00	
20JUL17	B 1 PD18OCT17	20091.00	20735.00	USD	3,220.00	
	NET 0 AVG BGHT 20091.00 SOLD 19960.00				-655.00	0.00
19JUL17	B 1 PD19OCT17	20100.00	20732.50	USD	3,162.50	
19JUL17	S 1 PD19OCT17	20090.00	20732.50	USD	-3,212.50	
19JUL17	S 1 PD19OCT17	20105.00	20732.50	USD	-3,137.50	
19JUL17	B 1 PD19OCT17	20055.00	20732.50	USD	3,387.50	
19JUL17	S 1 PD19OCT17	20095.00	20732.50	USD	-3,187.50	
06OCT17	B 1 PD19OCT17	20656.50	20732.50	USD	380.00	
	NET 0 AVG BGHT 20270.50 SOLD 20096.67				-2,607.50	0.00
20JUL17	B 1 PD20OCT17	20105.00	20730.00	USD	3,125.00	
20JUL17	S 1 PD20OCT17	20095.00	20730.00	USD	-3,175.00	
20JUL17	B 1 PD20OCT17	20100.00	20730.00	USD	3,150.00	
06OCT17	S 1 PD20OCT17	20652.00	20730.00	USD	-390.00	
	NET 0 AVG BGHT 20102.50 SOLD 20373.50				2,710.00	0.00
25JUL17	S 1 PD25OCT17	20340.00	20722.50	USD	-1,912.50	
06OCT17	B 1 PD25OCT17	20642.50	20722.50	USD	400.00	
	NET 0 AVG BGHT 20642.50 SOLD 20340.00				-1,512.50	0.00
26JUL17	B 1 PD26OCT17	20225.00	20720.00	USD	2,475.00	
26JUL17	B 1 PD26OCT17	20350.00	20720.00	USD	1,850.00	
26JUL17	S 1 PD26OCT17	20330.00	20720.00	USD	-1,950.00	
26JUL17	S 1 PD26OCT17	20360.00	20720.00	USD	-1,800.00	
26JUL17	B 1 PD26OCT17	20375.00	20720.00	USD	1,725.00	
06OCT17	S 1 PD26OCT17	20638.00	20720.00	USD	-410.00	
	NET 0 AVG BGHT 20316.67 SOLD 20442.67				1,890.00	0.00
27JUL17	S 1 PD27OCT17	20695.00	20717.50	USD	-112.50	
28JUL17	B 1 PD27OCT17	20580.00	20717.50	USD	687.50	
28JUL17	B 1 PD27OCT17	20640.00	20717.50	USD	387.50	
28JUL17	S 1 PD27OCT17	20670.00	20717.50	USD	-237.50	
	NET 0 AVG BGHT 20610.00 SOLD 20682.50				725.00	0.00
04AUG17	B 1 PD03NOV17	20525.00	20705.00	USD	900.00	
04AUG17	S 1 PD03NOV17	20595.00	20705.00	USD	-550.00	
	NET 0 AVG BGHT 20525.00 SOLD 20595.00				350.00	0.00
15AUG17	B 1 PD15NOV17	19905.00	20685.00	USD	3,900.00	
12OCT17	S 1 PD15NOV17	20815.00	20685.00	USD	650.00	
	NET 0 AVG BGHT 19905.00 SOLD 20815.00				4,550.00	0.00
16AUG17	S 1 PD16NOV17	19970.00	20683.13	USD	-3,565.65	
12OCT17	B 1 PD16NOV17	20814.13	20683.13	USD	-655.00	

OPEN POSITION STATEMENT



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	<u>LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>								
	NET	0 AVG	BGHT	20814.13	SOLD	19970.00		-4,220.65	0.00
21AUG17	B	1	PD21NOV17		20430.00	20677.50	USD	1,237.50	
21AUG17	S	1	PD21NOV17		20380.00	20677.50	USD	-1,487.50	
21AUG17	S	1	PD21NOV17		20415.00	20677.50	USD	-1,312.50	
21AUG17	B	1	PD21NOV17		20340.00	20677.50	USD	1,687.50	
21AUG17	S	1	PD21NOV17		20430.00	20677.50	USD	-1,237.50	
21AUG17	B	1	PD21NOV17		20450.00	20677.50	USD	1,137.50	
	NET	0 AVG	BGHT	20406.67	SOLD	20408.33		25.00	0.00
23AUG17	B	2	PD24NOV17		20285.00	20673.75	USD	3,887.50	
23AUG17	S	1	PD24NOV17		20300.00	20673.75	USD	-1,868.75	
23AUG17	S	1	PD24NOV17		20325.00	20673.75	USD	-1,743.75	
24AUG17	B	1	PD24NOV17		20510.00	20673.75	USD	818.75	
24AUG17	S	1	PD24NOV17		20535.00	20673.75	USD	-693.75	
25AUG17	B	1	PD24NOV17		20435.00	20673.75	USD	1,193.75	
25AUG17	S	1	PD24NOV17		20395.00	20673.75	USD	-1,393.75	
	NET	0 AVG	BGHT	20378.75	SOLD	20388.75		200.00	0.00
29AUG17	B	2	PD29NOV17		20545.00	20668.13	USD	1,231.30	
29AUG17	S	2	PD29NOV17		20570.00	20668.13	USD	-981.30	
29AUG17	B	2	PD29NOV17		20400.00	20668.13	USD	2,681.30	
29AUG17	S	2	PD29NOV17		20450.00	20668.13	USD	-2,181.30	
29AUG17	B	1	PD29NOV17		20365.00	20668.13	USD	1,515.65	
12OCT17	S	1	PD29NOV17		20798.13	20668.13	USD	650.00	
	NET	0 AVG	BGHT	20451.00	SOLD	20567.63		2,915.65	0.00
30AUG17	S	1	PD30NOV17		20410.00	20666.25	USD	-1,281.25	
30AUG17	S	1	PD30NOV17		20640.00	20666.25	USD	-131.25	
31AUG17	B	1	PD30NOV17		20595.00	20666.25	USD	356.25	
31AUG17	S	1	PD30NOV17		20680.00	20666.25	USD	68.75	
31AUG17	B	1	PD30NOV17		20700.00	20666.25	USD	-168.75	
12OCT17	B	1	PD30NOV17		20797.25	20666.25	USD	-655.00	
	NET	0 AVG	BGHT	20697.42	SOLD	20576.67		-1,811.25	0.00
01SEP17	S	1	PD01DEC17		20735.00	20664.38	USD	353.10	
12OCT17	B	1	PD01DEC17		20794.38	20664.38	USD	-650.00	
	NET	0 AVG	BGHT	20794.38	SOLD	20735.00		-296.90	0.00
04SEP17	B	1	PD04DEC17		20715.00	20662.50	USD	-262.50	
04SEP17	S	1	PD04DEC17		20780.00	20662.50	USD	587.50	
	NET	0 AVG	BGHT	20715.00	SOLD	20780.00		325.00	0.00
05SEP17	B	1	PD05DEC17		20715.00	20660.63	USD	-271.85	
05SEP17	S	1	PD05DEC17		20750.00	20660.63	USD	446.85	
05SEP17	B	1	PD05DEC17		20685.00	20660.63	USD	-121.85	
12OCT17	S	1	PD05DEC17		20789.63	20660.63	USD	645.00	
	NET	0 AVG	BGHT	20700.00	SOLD	20769.82		698.15	0.00
06SEP17	B	1	PD06DEC17		20590.00	20658.75	USD	343.75	
06SEP17	B	1	PD06DEC17		20645.00	20658.75	USD	68.75	
06SEP17	S	2	PD06DEC17		20630.00	20658.75	USD	-287.50	
	NET	0 AVG	BGHT	20617.50	SOLD	20630.00		125.00	0.00
08SEP17	B	1	PD08DEC17		20620.00	20655.00	USD	175.00	
08SEP17	S	1	PD08DEC17		20520.00	20655.00	USD	-675.00	
	NET	0 AVG	BGHT	20620.00	SOLD	20520.00		-500.00	0.00
11SEP17	S	1	PD11DEC17		20715.00	20653.13	USD	309.35	
11SEP17	B	1	PD11DEC17		20725.00	20653.13	USD	-359.35	
	NET	0 AVG	BGHT	20725.00	SOLD	20715.00		-50.00	0.00
13SEP17	B	1	PD13DEC17		20580.00	20649.38	USD	346.90	
13SEP17	S	1	PD13DEC17		20510.00	20649.38	USD	-696.90	
	NET	0 AVG	BGHT	20580.00	SOLD	20510.00		-350.00	0.00

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14SEP17	S	1	PD14DEC17		20590.00	20647.50	USD	-287.50	
14SEP17	B	1	PD14DEC17		20630.00	20647.50	USD	87.50	
	NET	0 AVG	BGHT 20630.00	SOLD 20590.00				-200.00	0.00
15SEP17	S	1	PD15DEC17		20590.00	20645.63	USD	-278.15	
15SEP17	B	1	PD15DEC17		20520.00	20645.63	USD	628.15	
	NET	0 AVG	BGHT 20520.00	SOLD 20590.00				350.00	0.00
18SEP17	S	1	PD18DEC17		20680.00	20643.75	USD	181.25	
18SEP17	B	1	PD18DEC17		20630.00	20643.75	USD	68.75	
	NET	0 AVG	BGHT 20630.00	SOLD 20680.00				250.00	0.00
19SEP17	S	1	PD19DEC17		20700.00	20641.88	USD	290.60	
19SEP17	B	1	PD19DEC17		20735.00	20641.88	USD	-465.60	
	NET	0 AVG	BGHT 20735.00	SOLD 20700.00				-175.00	0.00
20SEP17	B	1	PD20DEC17		20650.00	20640.00	USD	-50.00	
20SEP17	S	1	PD20DEC17		20665.00	20640.00	USD	125.00	
	NET	0 AVG	BGHT 20650.00	SOLD 20665.00				75.00	0.00
21SEP17	S	1	PD21DEC17		20580.00	20637.14	USD	-285.70	
21SEP17	B	1	PD21DEC17		20525.00	20637.14	USD	560.70	
	NET	0 AVG	BGHT 20525.00	SOLD 20580.00				275.00	0.00
22SEP17	S	1	PD22DEC17		20370.00	20634.29	USD	-1,321.45	
22SEP17	S	1	PD22DEC17		20455.00	20634.29	USD	-896.45	
22SEP17	B	1	PD22DEC17		20450.00	20634.29	USD	921.45	
22SEP17	S	1	PD22DEC17		20515.00	20634.29	USD	-596.45	
22SEP17	S	1	PD22DEC17		20625.00	20634.29	USD	-46.45	
22SEP17	B	1	PD22DEC17		20445.00	20634.29	USD	946.45	
22SEP17	B	1	PD22DEC17		20565.00	20634.29	USD	346.45	
22SEP17	S	1	PD22DEC17		20565.00	20634.29	USD	-346.45	
22SEP17	B	2	PD22DEC17		20595.00	20634.29	USD	392.90	
	NET	0 AVG	BGHT 20530.00	SOLD 20506.00				-600.00	0.00
28SEP17	B	2	PD28DEC17		20720.00	20628.57	USD	-914.30	
28SEP17	S	2	PD28DEC17		20715.00	20628.57	USD	864.30	
28SEP17	S	2	PD28DEC17		20695.00	20628.57	USD	664.30	
28SEP17	B	2	PD28DEC17		20715.00	20628.57	USD	-864.30	
	NET	0 AVG	BGHT 20717.50	SOLD 20705.00				-250.00	0.00
06OCT17	B	1	PD05JAN18		20860.00	20614.29	USD	-1,228.55	
06OCT17	S	1	PD05JAN18		20810.00	20614.29	USD	978.55	
06OCT17	B	1	PD05JAN18		20600.00	20614.29	USD	71.45	
06OCT17	S	1	PD05JAN18		20595.00	20614.29	USD	-96.45	
	NET	0 AVG	BGHT 20730.00	SOLD 20702.50				-275.00	0.00
13OCT17	B	1	PD12JAN18		20730.00	20600.00	USD	-650.00	
13OCT17	S	1	PD12JAN18		20720.00	20600.00	USD	600.00	
13OCT17	B	1	PD12JAN18		20750.00	20600.00	USD	-750.00	
13OCT17	S	1	PD12JAN18		20715.00	20600.00	USD	575.00	
	NET	0 AVG	BGHT 20740.00	SOLD 20717.50				-225.00	0.00
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17JUL17	S	1	PD17OCT17		2835.00	3294.00	USD	-11,475.00	
17JUL17	B	1	PD17OCT17		2814.00	3294.00	USD	12,000.00	
17JUL17	S	1	PD17OCT17		2842.50	3294.00	USD	-11,287.50	
06OCT17	B	1	PD17OCT17		3278.33	3294.00	USD	391.75	
	NET	0 AVG	BGHT 3046.17	SOLD 2838.75				-10,370.75	0.00
18JUL17	B	1	PD18OCT17		2785.50	3291.00	USD	12,637.50	
18JUL17	S	1	PD18OCT17		2787.50	3291.00	USD	-12,587.50	
	NET	0 AVG	BGHT 2785.50	SOLD 2787.50				50.00	0.00

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20JUL17	B	1	PD20OCT17	2724.50	3282.00	USD	13,937.50	
21JUL17	S	1	PD20OCT17	2771.50	3282.00	USD	-12,762.50	
21JUL17	B	1	PD20OCT17	2743.50	3282.00	USD	13,462.50	
06OCT17	S	1	PD20OCT17	3266.30	3282.00	USD	-392.50	
	NET	0 AVG	BGHT 2734.00				14,245.00	0.00
24JUL17	S	1	PD24OCT17	2774.50	3276.00	USD	-12,537.50	
24JUL17	B	1	PD24OCT17	2776.00	3276.00	USD	12,500.00	
	NET	0 AVG	BGHT 2776.00				-37.50	0.00
25JUL17	S	1	PD25OCT17	2807.00	3273.00	USD	-11,650.00	
25JUL17	B	1	PD25OCT17	2838.00	3273.00	USD	10,875.00	
	NET	0 AVG	BGHT 2838.00				-775.00	0.00
26JUL17	B	1	PD26OCT17	2815.50	3271.87	USD	11,409.25	
06OCT17	S	1	PD26OCT17	3257.63	3271.87	USD	-356.00	
	NET	0 AVG	BGHT 2815.50				11,053.25	0.00
02AUG17	S	1	PD02NOV17	2804.50	3266.20	USD	-11,542.50	
12OCT17	B	1	PD02NOV17	3297.00	3266.20	USD	-770.00	
	NET	0 AVG	BGHT 3297.00				-12,312.50	0.00
07AUG17	B	1	PD07NOV17	2790.50	3262.80	USD	11,807.50	
07AUG17	S	1	PD07NOV17	2824.50	3262.80	USD	-10,957.50	
07AUG17	B	1	PD07NOV17	2819.00	3262.80	USD	11,095.00	
07AUG17	S	1	PD07NOV17	2833.50	3262.80	USD	-10,732.50	
07AUG17	B	1	PD07NOV17	2834.50	3262.80	USD	10,707.50	
07AUG17	S	1	PD07NOV17	2864.00	3262.80	USD	-9,970.00	
07AUG17	B	1	PD07NOV17	2867.50	3262.80	USD	9,882.50	
12OCT17	S	1	PD07NOV17	3291.80	3262.80	USD	725.00	
	NET	0 AVG	BGHT 2827.88				12,557.50	0.00
08AUG17	S	1	PD08NOV17	2875.50	3261.67	USD	-9,654.25	
12OCT17	B	1	PD08NOV17	3290.33	3261.67	USD	-716.50	
	NET	0 AVG	BGHT 3290.33				-10,370.75	0.00
09AUG17	S	1	PD09NOV17	2973.00	3260.53	USD	-7,188.25	
09AUG17	B	1	PD09NOV17	2956.00	3260.53	USD	7,613.25	
09AUG17	S	1	PD09NOV17	2969.00	3260.53	USD	-7,288.25	
12OCT17	B	1	PD09NOV17	3288.67	3260.53	USD	-703.50	
	NET	0 AVG	BGHT 3122.34				-7,566.75	0.00
10AUG17	B	1	PD10NOV17	2942.00	3259.40	USD	7,935.00	
11AUG17	S	1	PD10NOV17	2922.50	3259.40	USD	-8,422.50	
11AUG17	B	1	PD10NOV17	2910.50	3259.40	USD	8,722.50	
11AUG17	S	1	PD10NOV17	2904.00	3259.40	USD	-8,885.00	
	NET	0 AVG	BGHT 2926.25				-650.00	0.00
16AUG17	S	1	PD16NOV17	2989.00	3253.50	USD	-6,612.50	
16AUG17	B	1	PD16NOV17	3054.00	3253.50	USD	4,987.50	
	NET	0 AVG	BGHT 3054.00				-1,625.00	0.00
17AUG17	S	1	PD17NOV17	3128.00	3251.00	USD	-3,075.00	
18AUG17	B	2	PD17NOV17	3117.50	3251.00	USD	6,675.00	
18AUG17	B	1	PD17NOV17	3123.00	3251.00	USD	3,200.00	
18AUG17	S	4	PD17NOV17	3129.50	3251.00	USD	-12,150.00	
18AUG17	S	2	PD17NOV17	3130.50	3251.00	USD	-6,025.00	
18AUG17	S	4	PD17NOV17	3132.00	3251.00	USD	-11,900.00	
12OCT17	B	8	PD17NOV17	3277.00	3251.00	USD	-5,200.00	
	NET	0 AVG	BGHT 3234.00				-28,475.00	0.00
21AUG17	S	1	PD21NOV17	3127.00	3249.25	USD	-3,056.25	
12OCT17	B	1	PD21NOV17	3275.00	3249.25	USD	-643.75	
	NET	0 AVG	BGHT 3275.00				-3,700.00	0.00
22AUG17	S	1	PD22NOV17	3109.50	3248.38	USD	-3,472.00	

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22AUG17	B	1	PD22NOV17	3157.00	3248.38	USD	2,284.50	
22AUG17	B	1	PD22NOV17	3135.50	3248.38	USD	2,822.00	
22AUG17	B	2	PD22NOV17	3134.00	3248.38	USD	5,719.00	
22AUG17	B	1	PD22NOV17	3132.00	3248.38	USD	2,909.50	
12OCT17	S	4	PD22NOV17	3273.80	3248.38	USD	2,542.00	
	NET	0 AVG	BGHT 3138.50	SOLD 3240.94			12,805.00	0.00
23AUG17	B	1	PD24NOV17	3117.50	3247.50	USD	3,250.00	
23AUG17	B	1	PD24NOV17	3118.50	3247.50	USD	3,225.00	
23AUG17	S	1	PD24NOV17	3143.50	3247.50	USD	-2,600.00	
23AUG17	B	2	PD24NOV17	3119.00	3247.50	USD	6,425.00	
23AUG17	B	1	PD24NOV17	3096.00	3247.50	USD	3,787.50	
24AUG17	S	1	PD24NOV17	3105.00	3247.50	USD	-3,562.50	
24AUG17	B	1	PD24NOV17	3106.00	3247.50	USD	3,537.50	
24AUG17	S	1	PD24NOV17	3102.00	3247.50	USD	-3,637.50	
24AUG17	B	1	PD24NOV17	3113.00	3247.50	USD	3,362.50	
12OCT17	S	4	PD24NOV17	3272.80	3247.50	USD	2,530.00	
	NET	0 AVG	BGHT 3112.71	SOLD 3205.96			16,317.50	0.00
29AUG17	B	1	PD29NOV17	3123.50	3246.75	USD	3,081.25	
29AUG17	B	1	PD29NOV17	3116.50	3246.75	USD	3,256.25	
29AUG17	S	1	PD29NOV17	3121.50	3246.75	USD	-3,131.25	
29AUG17	S	1	PD29NOV17	3095.00	3246.75	USD	-3,793.75	
	NET	0 AVG	BGHT 3120.00	SOLD 3108.25			-587.50	0.00
30AUG17	S	1	PD30NOV17	3132.50	3246.50	USD	-2,850.00	
30AUG17	B	1	PD30NOV17	3124.00	3246.50	USD	3,062.50	
31AUG17	S	2	PD30NOV17	3107.00	3246.50	USD	-6,975.00	
31AUG17	B	2	PD30NOV17	3119.00	3246.50	USD	6,375.00	
31AUG17	S	2	PD30NOV17	3124.50	3246.50	USD	-6,100.00	
31AUG17	B	2	PD30NOV17	3126.00	3246.50	USD	6,025.00	
31AUG17	S	1	PD30NOV17	3136.50	3246.50	USD	-2,750.00	
31AUG17	B	1	PD30NOV17	3156.00	3246.50	USD	2,262.50	
31AUG17	S	1	PD30NOV17	3156.00	3246.50	USD	-2,262.50	
12OCT17	B	1	PD30NOV17	3270.60	3246.50	USD	-602.50	
	NET	0 AVG	BGHT 3148.66	SOLD 3126.86			-3,815.00	0.00
01SEP17	B	1	PD01DEC17	3144.50	3246.25	USD	2,543.75	
12OCT17	S	1	PD01DEC17	3269.80	3246.25	USD	588.75	
	NET	0 AVG	BGHT 3144.50	SOLD 3269.80			3,132.50	0.00
04SEP17	S	1	PD04DEC17	3204.00	3246.00	USD	-1,050.00	
12OCT17	B	1	PD04DEC17	3269.40	3246.00	USD	-585.00	
	NET	0 AVG	BGHT 3269.40	SOLD 3204.00			-1,635.00	0.00
05SEP17	B	1	PD05DEC17	3176.00	3245.75	USD	1,743.75	
12OCT17	S	1	PD05DEC17	3268.60	3245.75	USD	571.25	
	NET	0 AVG	BGHT 3176.00	SOLD 3268.60			2,315.00	0.00
07SEP17	S	1	PD07DEC17	3099.50	3245.25	USD	-3,643.75	
07SEP17	B	1	PD07DEC17	3136.50	3245.25	USD	2,718.75	
	NET	0 AVG	BGHT 3136.50	SOLD 3099.50			-925.00	0.00
14SEP17	B	2	PD14DEC17	3007.50	3244.00	USD	11,825.00	
12OCT17	S	2	PD14DEC17	3264.40	3244.00	USD	1,020.00	
	NET	0 AVG	BGHT 3007.50	SOLD 3264.40			12,845.00	0.00
18SEP17	S	1	PD18DEC17	3087.00	3243.50	USD	-3,912.50	
18SEP17	B	1	PD18DEC17	3065.00	3243.50	USD	4,462.50	
18SEP17	S	1	PD18DEC17	3111.00	3243.50	USD	-3,312.50	
12OCT17	B	1	PD18DEC17	3263.33	3243.50	USD	-495.75	
	NET	0 AVG	BGHT 3164.17	SOLD 3099.00			-3,258.25	0.00
19SEP17	B	1	PD19DEC17	3105.50	3243.25	USD	3,443.75	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP096AC

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
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backoffice@sapiencap.com

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Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
12OCT17	S 1 PD19DEC17	3262.47	3243.25	USD	480.50	
	NET 0 AVG BGHT 3105.50 SOLD 3262.47				3,924.25	0.00
20SEP17	S 1 PD20DEC17	3146.00	3243.00	USD	-2,425.00	
20SEP17	S 1 PD20DEC17	3146.50	3243.00	USD	-2,412.50	
20SEP17	S 1 PD20DEC17	3145.00	3243.00	USD	-2,450.00	
12OCT17	B 3 PD20DEC17	3262.00	3243.00	USD	-1,425.00	
	NET 0 AVG BGHT 3262.00 SOLD 3145.83				-8,712.50	0.00
21SEP17	B 2 PD21DEC17	3082.00	3240.00	USD	7,900.00	
12OCT17	S 2 PD21DEC17	3257.80	3240.00	USD	890.00	
	NET 0 AVG BGHT 3082.00 SOLD 3257.80				8,790.00	0.00
10OCT17	B 1 PD10JAN18	3240.00	3235.77	USD	-105.75	
10OCT17	B 1 PD10JAN18	3241.00	3235.77	USD	-130.75	
12OCT17	S 2 PD10JAN18	3249.80	3235.77	USD	701.50	
	NET 0 AVG BGHT 3240.50 SOLD 3249.80				465.00	0.00

OPEN POSITION VALUE

		USD
LME Aluminium 25 Tonnes.	FUTURES	-73.25
LME Copper Grade A 25	FUTURES	3,503.25
LME Lead 25 tonnes. This is an	FUTURES	4,497.75
LME Nickel 6 tonnes. This is	FUTURES	-750.00
LME Tin 5 tonnes. This is an	FUTURES	2,767.90
LME Zinc 25 tonnes. This is	FUTURES	3,683.50

MARKET REVALUATION BREAKDOWN

Unrealised Profits & Losses - Forwards	Amount	Profit / Loss	Total Amount	Total P&L
ALUL - Futures USD	-73.25	-73.25		
COPL - Futures USD	3,503.25	3,503.25		
LEAD - Futures USD	4,497.75	4,497.75		
NKL - Futures USD	-750.00	-750.00		
TIN - Futures USD	2,767.90	2,767.90		
ZINC - Futures USD	3,683.50	3,683.50	13,629.15	13,629.15

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ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	-16,864.95	-16,864.95
Variation Margins		
- Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	-16,864.95	-16,864.95
Initial Margins	0.00	0.00
Excess/(Shortage)	-16,864.95	-16,864.95
FOR INFORMATION ONLY		
Forward Unrealised P&L	13,629.15	13,629.15
Net Liquidating Value	-3,235.80	-3,235.80

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.</u>							
02AUG17	B	1	PD02NOV17	1927.00	2114.44	USD	4,686.00	
12OCT17	S	1	PD02NOV17	2127.25	2114.44	USD	320.25	
	NET	0 AVG	BGHT 1927.00				5,006.25	0.00
03AUG17	S	1	PD03NOV17	1929.00	2114.78	USD	-4,644.50	
04AUG17	B	2	PD03NOV17	1914.00	2114.78	USD	10,039.00	
04AUG17	B	1	PD03NOV17	1906.50	2114.78	USD	5,207.00	
04AUG17	B	2	PD03NOV17	1907.00	2114.78	USD	10,389.00	
04AUG17	S	2	PD03NOV17	1909.00	2114.78	USD	-10,289.00	
04AUG17	S	1	PD03NOV17	1908.50	2114.78	USD	-5,157.00	
04AUG17	S	1	PD03NOV17	1912.00	2114.78	USD	-5,069.50	
04AUG17	S	1	PD03NOV17	1914.00	2114.78	USD	-5,019.50	
12OCT17	B	1	PD03NOV17	2127.83	2114.78	USD	-326.25	
	NET	0 AVG	BGHT 1946.06				-4,870.75	0.00
07AUG17	B	1	PD07NOV17	1951.00	2116.16	USD	4,129.00	
07AUG17	B	1	PD07NOV17	1953.00	2116.16	USD	4,079.00	
07AUG17	S	1	PD07NOV17	1952.50	2116.16	USD	-4,091.50	
07AUG17	S	1	PD07NOV17	1954.00	2116.16	USD	-4,054.00	
	NET	0 AVG	BGHT 1952.00				62.50	0.00
08AUG17	B	2	PD08NOV17	2011.50	2116.50	USD	5,250.00	
08AUG17	B	1	PD08NOV17	2012.00	2116.50	USD	2,612.50	
08AUG17	S	3	PD08NOV17	2012.00	2116.50	USD	-7,837.50	
	NET	0 AVG	BGHT 2011.67				25.00	0.00
09AUG17	B	2	PD09NOV17	2035.00	2116.96	USD	4,098.00	
09AUG17	S	1	PD09NOV17	2019.00	2116.96	USD	-2,449.00	
09AUG17	S	1	PD09NOV17	2020.00	2116.96	USD	-2,424.00	
	NET	0 AVG	BGHT 2035.00				-775.00	0.00
10AUG17	S	1	PD10NOV17	2038.50	2117.43	USD	-1,973.25	
10AUG17	B	1	PD10NOV17	2039.00	2117.43	USD	1,960.75	
11AUG17	S	1	PD10NOV17	2022.00	2117.43	USD	-2,385.75	
11AUG17	B	1	PD10NOV17	2024.00	2117.43	USD	2,335.75	
	NET	0 AVG	BGHT 2031.50				-62.50	0.00
15AUG17	B	1	PD15NOV17	2047.00	2119.75	USD	1,818.75	
15AUG17	B	1	PD15NOV17	2043.00	2119.75	USD	1,918.75	
15AUG17	S	2	PD15NOV17	2041.00	2119.75	USD	-3,937.50	
	NET	0 AVG	BGHT 2045.00				-200.00	0.00
16AUG17	B	1	PD16NOV17	2066.00	2120.07	USD	1,351.75	
16AUG17	B	1	PD16NOV17	2067.00	2120.07	USD	1,326.75	
16AUG17	S	1	PD16NOV17	2070.00	2120.07	USD	-1,251.75	
16AUG17	B	1	PD16NOV17	2071.50	2120.07	USD	1,214.25	
16AUG17	S	1	PD16NOV17	2075.00	2120.07	USD	-1,126.75	
16AUG17	S	1	PD16NOV17	2076.00	2120.07	USD	-1,101.75	
16AUG17	B	2	PD16NOV17	2086.50	2120.07	USD	1,678.50	
16AUG17	S	2	PD16NOV17	2086.50	2120.07	USD	-1,678.50	
	NET	0 AVG	BGHT 2075.50				412.50	0.00
31AUG17	B	2	PD30NOV17	2118.00	2124.49	USD	324.50	
31AUG17	S	2	PD30NOV17	2119.00	2124.49	USD	-274.50	
	NET	0 AVG	BGHT 2118.00				50.00	0.00
15SEP17	B	1	PD15DEC17	2084.50	2129.24	USD	1,118.50	
15SEP17	S	1	PD15DEC17	2082.50	2129.24	USD	-1,168.50	
	NET	0 AVG	BGHT 2084.50				-50.00	0.00
21SEP17	B	1	PD21DEC17	2174.50	2130.50	USD	-1,100.00	
21SEP17	B	1	PD21DEC17	2175.00	2130.50	USD	-1,112.50	
21SEP17	S	1	PD21DEC17	2195.00	2130.50	USD	1,612.50	
21SEP17	S	1	PD21DEC17	2196.00	2130.50	USD	1,637.50	

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	<u>LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.</u>							
21SEP17	B	1	PD21DEC17	2183.50	2130.50	USD	-1,325.00	
21SEP17	B	1	PD21DEC17	2184.00	2130.50	USD	-1,337.50	
21SEP17	S	2	PD21DEC17	2185.00	2130.50	USD	2,725.00	
21SEP17	B	2	PD21DEC17	2176.00	2130.50	USD	-2,275.00	
21SEP17	S	2	PD21DEC17	2175.00	2130.50	USD	2,225.00	
	NET	0 AVG BGHT 2178.17	SOLD 2185.17				1,050.00	0.00
26SEP17	B	1	PD27DEC17	2143.50	2131.04	USD	-311.50	
26SEP17	B	2	PD27DEC17	2144.00	2131.04	USD	-648.00	
26SEP17	S	3	PD27DEC17	2138.00	2131.04	USD	522.00	
27SEP17	S	1	PD27DEC17	2142.00	2131.04	USD	274.00	
27SEP17	B	1	PD27DEC17	2128.50	2131.04	USD	63.50	
	NET	0 AVG BGHT 2140.00	SOLD 2139.00				-100.00	0.00
11OCT17	B	1	PD11JAN18	2133.00	2133.34	USD	8.50	
	NET	+1 AVG BGHT 2133.00					8.50	0.00
12OCT17	S	1	PD12JAN18	2136.00	2133.50	USD	62.50	
	NET	-1 AVG SOLD 2136.00					62.50	0.00
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
17JUL17	B	1	PD17OCT17	6010.00	6859.00	USD	21,225.00	
17JUL17	S	1	PD17OCT17	6007.00	6859.00	USD	-21,300.00	
	NET	0 AVG BGHT 6010.00	SOLD 6007.00				-75.00	0.00
21JUL17	S	1	PD20OCT17	6046.00	6857.50	USD	-20,287.50	
21JUL17	S	1	PD20OCT17	6049.00	6857.50	USD	-20,212.50	
21JUL17	B	1	PD20OCT17	6031.50	6857.50	USD	20,650.00	
21JUL17	B	1	PD20OCT17	6032.50	6857.50	USD	20,625.00	
	NET	0 AVG BGHT 6032.00	SOLD 6047.50				775.00	0.00
24JUL17	S	1	PD24OCT17	6021.00	6857.50	USD	-20,912.50	
24JUL17	B	1	PD24OCT17	6024.00	6857.50	USD	20,837.50	
	NET	0 AVG BGHT 6024.00	SOLD 6021.00				-75.00	0.00
25JUL17	S	1	PD25OCT17	6033.00	6857.50	USD	-20,612.50	
25JUL17	B	1	PD25OCT17	6027.00	6857.50	USD	20,762.50	
25JUL17	S	1	PD25OCT17	6161.00	6857.50	USD	-17,412.50	
25JUL17	B	1	PD25OCT17	6166.00	6857.50	USD	17,287.50	
25JUL17	S	2	PD25OCT17	6184.00	6857.50	USD	-33,675.00	
25JUL17	B	1	PD25OCT17	6197.50	6857.50	USD	16,500.00	
25JUL17	B	1	PD25OCT17	6199.00	6857.50	USD	16,462.50	
	NET	0 AVG BGHT 6147.38	SOLD 6140.50				-687.50	0.00
26JUL17	S	1	PD26OCT17	6341.50	6857.50	USD	-12,900.00	
26JUL17	S	1	PD26OCT17	6343.50	6857.50	USD	-12,850.00	
26JUL17	B	1	PD26OCT17	6347.50	6857.50	USD	12,750.00	
26JUL17	B	1	PD26OCT17	6353.00	6857.50	USD	12,612.50	
26JUL17	S	2	PD26OCT17	6318.50	6857.50	USD	-26,950.00	
26JUL17	B	2	PD26OCT17	6327.50	6857.50	USD	26,500.00	
	NET	0 AVG BGHT 6338.88	SOLD 6330.50				-837.50	0.00
03AUG17	B	1	PD03NOV17	6346.50	6860.59	USD	12,852.25	
03AUG17	S	1	PD03NOV17	6343.00	6860.59	USD	-12,939.75	
03AUG17	B	1	PD03NOV17	6329.00	6860.59	USD	13,289.75	
03AUG17	B	1	PD03NOV17	6334.50	6860.59	USD	13,152.25	
03AUG17	S	2	PD03NOV17	6332.00	6860.59	USD	-26,429.50	
04AUG17	B	1	PD03NOV17	6324.50	6860.59	USD	13,402.25	
04AUG17	S	1	PD03NOV17	6321.50	6860.59	USD	-13,477.25	
	NET	0 AVG BGHT 6333.63	SOLD 6332.13				-150.00	0.00
07AUG17	B	1	PD07NOV17	6383.00	6863.68	USD	12,017.00	
07AUG17	S	1	PD07NOV17	6384.50	6863.68	USD	-11,979.50	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
	NET	0 AVG BGHT 6383.00	SOLD 6384.50				37.50	0.00
16AUG17	B	1 PD16NOV17		6469.00	6863.00	USD	9,850.00	
16AUG17	B	1 PD16NOV17		6470.00	6863.00	USD	9,825.00	
16AUG17	S	2 PD16NOV17		6467.00	6863.00	USD	-19,800.00	
16AUG17	S	1 PD16NOV17		6460.00	6863.00	USD	-10,075.00	
16AUG17	S	1 PD16NOV17		6457.50	6863.00	USD	-10,137.50	
16AUG17	B	1 PD16NOV17		6450.50	6863.00	USD	10,312.50	
16AUG17	B	1 PD16NOV17		6465.00	6863.00	USD	9,950.00	
	NET	0 AVG BGHT 6463.63	SOLD 6462.88				-75.00	0.00
31AUG17	B	1 PD30NOV17		6837.00	6869.53	USD	813.25	
31AUG17	S	1 PD30NOV17		6820.50	6869.53	USD	-1,225.75	
	NET	0 AVG BGHT 6837.00	SOLD 6820.50				-412.50	0.00
06SEP17	B	1 PD06DEC17		6898.50	6872.00	USD	-662.50	
06SEP17	S	1 PD06DEC17		6909.00	6872.00	USD	925.00	
	NET	0 AVG BGHT 6898.50	SOLD 6909.00				262.50	0.00
07SEP17	B	1 PD07DEC17		6868.50	6872.42	USD	98.00	
07SEP17	S	1 PD07DEC17		6866.50	6872.42	USD	-148.00	
07SEP17	B	1 PD07DEC17		6871.00	6872.42	USD	35.50	
07SEP17	S	2 PD07DEC17		6875.00	6872.42	USD	129.00	
07SEP17	B	1 PD07DEC17		6868.00	6872.42	USD	110.50	
	NET	0 AVG BGHT 6869.17	SOLD 6872.17				225.00	0.00
08SEP17	B	1 PD08DEC17		6815.00	6872.83	USD	1,445.75	
08SEP17	S	1 PD08DEC17		6809.00	6872.83	USD	-1,595.75	
08SEP17	B	1 PD08DEC17		6806.50	6872.83	USD	1,658.25	
08SEP17	S	1 PD08DEC17		6804.00	6872.83	USD	-1,720.75	
	NET	0 AVG BGHT 6810.75	SOLD 6806.50				-212.50	0.00
11SEP17	S	2 PD11DEC17		6709.00	6874.08	USD	-8,254.00	
11SEP17	B	1 PD11DEC17		6709.50	6874.08	USD	4,114.50	
11SEP17	B	1 PD11DEC17		6710.00	6874.08	USD	4,102.00	
	NET	0 AVG BGHT 6709.75	SOLD 6709.00				-37.50	0.00
12SEP17	B	1 PD12DEC17		6690.00	6874.50	USD	4,612.50	
12SEP17	S	2 PD12DEC17		6673.50	6874.50	USD	-10,050.00	
12SEP17	B	1 PD12DEC17		6643.00	6874.50	USD	5,787.50	
	NET	0 AVG BGHT 6666.50	SOLD 6673.50				350.00	0.00
13SEP17	B	1 PD13DEC17		6553.00	6874.92	USD	8,048.00	
13SEP17	S	1 PD13DEC17		6567.50	6874.92	USD	-7,685.50	
	NET	0 AVG BGHT 6553.00	SOLD 6567.50				362.50	0.00
14SEP17	B	1 PD14DEC17		6520.50	6875.33	USD	8,870.75	
14SEP17	B	1 PD14DEC17		6524.00	6875.33	USD	8,783.25	
14SEP17	S	2 PD14DEC17		6520.00	6875.33	USD	-17,766.50	
	NET	0 AVG BGHT 6522.25	SOLD 6520.00				-112.50	0.00
20SEP17	S	1 PD20DEC17		6558.50	6877.00	USD	-7,962.50	
20SEP17	B	1 PD20DEC17		6551.00	6877.00	USD	8,150.00	
20SEP17	S	1 PD20DEC17		6555.00	6877.00	USD	-8,050.00	
20SEP17	S	1 PD20DEC17		6551.50	6877.00	USD	-8,137.50	
20SEP17	B	2 PD20DEC17		6543.50	6877.00	USD	16,675.00	
	NET	0 AVG BGHT 6546.00	SOLD 6555.00				675.00	0.00
21SEP17	B	1 PD21DEC17		6465.00	6876.00	USD	10,275.00	
21SEP17	S	1 PD21DEC17		6460.00	6876.00	USD	-10,400.00	
21SEP17	B	1 PD21DEC17		6474.50	6876.00	USD	10,037.50	
21SEP17	S	1 PD21DEC17		6479.00	6876.00	USD	-9,925.00	
	NET	0 AVG BGHT 6469.75	SOLD 6469.50				-12.50	0.00
22SEP17	S	2 PD22DEC17		6400.00	6875.00	USD	-23,750.00	
22SEP17	B	1 PD22DEC17		6407.00	6875.00	USD	11,700.00	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>					
22SEP17	B 1 PD22DEC17	6409.50	6875.00	USD	11,637.50	
	NET 0 AVG BGHT 6408.25 SOLD 6400.00				-412.50	0.00
25SEP17	S 1 PD27DEC17	6472.00	6876.67	USD	-10,116.75	
25SEP17	B 1 PD27DEC17	6475.50	6876.67	USD	10,029.25	
25SEP17	B 1 PD27DEC17	6447.00	6876.67	USD	10,741.75	
25SEP17	S 1 PD27DEC17	6454.50	6876.67	USD	-10,554.25	
25SEP17	B 1 PD27DEC17	6443.50	6876.67	USD	10,829.25	
25SEP17	S 1 PD27DEC17	6450.00	6876.67	USD	-10,666.75	
26SEP17	B 1 PD27DEC17	6501.00	6876.67	USD	9,391.75	
26SEP17	S 1 PD27DEC17	6500.00	6876.67	USD	-9,416.75	
	NET 0 AVG BGHT 6466.75 SOLD 6469.13				237.50	0.00
28SEP17	B 3 PD28DEC17	6572.00	6877.00	USD	22,875.00	
28SEP17	S 1 PD28DEC17	6562.50	6877.00	USD	-7,862.50	
28SEP17	S 1 PD28DEC17	6563.50	6877.00	USD	-7,837.50	
28SEP17	S 1 PD28DEC17	6565.00	6877.00	USD	-7,800.00	
	NET 0 AVG BGHT 6572.00 SOLD 6563.67				-625.00	0.00
02OCT17	B 1 PD02JAN18	6501.00	6878.67	USD	9,441.75	
02OCT17	S 1 PD02JAN18	6482.00	6878.67	USD	-9,916.75	
	NET 0 AVG BGHT 6501.00 SOLD 6482.00				-475.00	0.00
09OCT17	S 1 PD09JAN18	6639.00	6881.00	USD	-6,050.00	
09OCT17	B 1 PD09JAN18	6636.00	6881.00	USD	6,125.00	
	NET 0 AVG BGHT 6636.00 SOLD 6639.00				75.00	0.00
11OCT17	B 1 PD11JAN18	6746.50	6881.67	USD	3,379.25	
11OCT17	S 1 PD11JAN18	6743.00	6881.67	USD	-3,466.75	
	NET 0 AVG BGHT 6746.50 SOLD 6743.00				-87.50	0.00
	<u>LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
17JUL17	B 1 PD17OCT17	2324.00	2518.25	USD	4,856.25	
17JUL17	S 2 PD17OCT17	2329.50	2518.25	USD	-9,437.50	
17JUL17	B 1 PD17OCT17	2332.50	2518.25	USD	4,643.75	
17JUL17	B 1 PD17OCT17	2321.50	2518.25	USD	4,918.75	
17JUL17	S 1 PD17OCT17	2323.00	2518.25	USD	-4,881.25	
	NET 0 AVG BGHT 2326.00 SOLD 2327.33				100.00	0.00
18JUL17	S 1 PD18OCT17	2285.00	2518.75	USD	-5,843.75	
18JUL17	B 1 PD18OCT17	2270.00	2518.75	USD	6,218.75	
	NET 0 AVG BGHT 2270.00 SOLD 2285.00				375.00	0.00
19JUL17	B 1 PD19OCT17	2228.50	2518.90	USD	7,260.00	
19JUL17	B 2 PD19OCT17	2230.00	2518.90	USD	14,445.00	
19JUL17	S 2 PD19OCT17	2229.50	2518.90	USD	-14,470.00	
19JUL17	B 2 PD19OCT17	2213.00	2518.90	USD	15,295.00	
19JUL17	S 2 PD19OCT17	2219.00	2518.90	USD	-14,995.00	
06OCT17	S 1 PD19OCT17	2519.71	2518.90	USD	20.25	
	NET 0 AVG BGHT 2222.90 SOLD 2283.34				7,555.25	0.00
20JUL17	S 1 PD20OCT17	2224.50	2519.05	USD	-7,363.75	
20JUL17	S 2 PD20OCT17	2216.00	2519.05	USD	-15,152.50	
21JUL17	S 1 PD20OCT17	2249.00	2519.05	USD	-6,751.25	
21JUL17	B 1 PD20OCT17	2248.50	2519.05	USD	6,763.75	
21JUL17	B 1 PD20OCT17	2240.50	2519.05	USD	6,963.75	
21JUL17	B 1 PD20OCT17	2236.50	2519.05	USD	7,063.75	
21JUL17	B 1 PD20OCT17	2237.00	2519.05	USD	7,051.25	
21JUL17	S 1 PD20OCT17	2237.50	2519.05	USD	-7,038.75	
21JUL17	S 2 PD20OCT17	2239.00	2519.05	USD	-14,002.50	
21JUL17	S 1 PD20OCT17	2234.00	2519.05	USD	-7,126.25	
21JUL17	S 1 PD20OCT17	2227.00	2519.05	USD	-7,301.25	

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backoffice@sapiencap.com

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	<u>LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
21JUL17	B	2	PD200CT17	2234.50	2519.05	USD	14,227.50	
06OCT17	B	3	PD200CT17	2520.11	2519.05	USD	-79.50	
	NET	0 AVG	BGHT 2332.43				SOLD 2231.33	0.00
24JUL17	B	1	PD240CT17	2258.50	2519.65	USD	6,528.75	
24JUL17	B	2	PD240CT17	2250.50	2519.65	USD	13,457.50	
24JUL17	B	1	PD240CT17	2253.00	2519.65	USD	6,666.25	
24JUL17	S	1	PD240CT17	2251.50	2519.65	USD	-6,703.75	
24JUL17	B	2	PD240CT17	2243.00	2519.65	USD	13,832.50	
24JUL17	S	1	PD240CT17	2249.00	2519.65	USD	-6,766.25	
24JUL17	S	1	PD240CT17	2256.50	2519.65	USD	-6,578.75	
24JUL17	S	1	PD240CT17	2253.50	2519.65	USD	-6,653.75	
06OCT17	S	2	PD240CT17	2520.73	2519.65	USD	54.00	
	NET	0 AVG	BGHT 2249.75				SOLD 2341.99	0.00
25JUL17	B	1	PD250CT17	2254.00	2519.80	USD	6,645.00	
25JUL17	S	1	PD250CT17	2265.00	2519.80	USD	-6,370.00	
25JUL17	S	2	PD250CT17	2269.50	2519.80	USD	-12,515.00	
06OCT17	B	2	PD250CT17	2521.14	2519.80	USD	-67.00	
	NET	0 AVG	BGHT 2432.09				SOLD 2268.00	0.00
07AUG17	B	1	PD07NOV17	2375.50	2521.67	USD	3,654.25	
07AUG17	B	2	PD07NOV17	2376.00	2521.67	USD	7,283.50	
07AUG17	S	1	PD07NOV17	2382.50	2521.67	USD	-3,479.25	
07AUG17	B	1	PD07NOV17	2365.00	2521.67	USD	3,916.75	
07AUG17	B	2	PD07NOV17	2381.00	2521.67	USD	7,033.50	
07AUG17	S	1	PD07NOV17	2365.00	2521.67	USD	-3,916.75	
07AUG17	S	2	PD07NOV17	2363.50	2521.67	USD	-7,908.50	
12OCT17	S	2	PD07NOV17	2549.75	2521.67	USD	1,404.00	
	NET	0 AVG	BGHT 2375.75				SOLD 2429.00	0.00
08AUG17	B	1	PD08NOV17	2372.50	2521.81	USD	3,732.75	
08AUG17	B	4	PD08NOV17	2385.00	2521.81	USD	13,681.00	
08AUG17	S	5	PD08NOV17	2384.00	2521.81	USD	-17,226.25	
08AUG17	B	3	PD08NOV17	2387.00	2521.81	USD	10,110.75	
08AUG17	B	5	PD08NOV17	2394.00	2521.81	USD	15,976.25	
08AUG17	S	5	PD08NOV17	2393.50	2521.81	USD	-16,038.75	
08AUG17	B	3	PD08NOV17	2393.00	2521.81	USD	9,660.75	
08AUG17	S	5	PD08NOV17	2394.50	2521.81	USD	-15,913.75	
12OCT17	S	1	PD08NOV17	2549.88	2521.81	USD	701.75	
	NET	0 AVG	BGHT 2388.91				SOLD 2400.62	0.00
09AUG17	S	3	PD09NOV17	2390.00	2521.94	USD	-9,895.50	
09AUG17	S	1	PD09NOV17	2353.50	2521.94	USD	-4,211.00	
09AUG17	S	2	PD09NOV17	2355.00	2521.94	USD	-8,347.00	
09AUG17	B	3	PD09NOV17	2365.00	2521.94	USD	11,770.50	
12OCT17	B	3	PD09NOV17	2550.20	2521.94	USD	-2,119.50	
	NET	0 AVG	BGHT 2457.60				SOLD 2372.25	0.00
11AUG17	B	1	PD10NOV17	2338.00	2522.08	USD	4,602.00	
11AUG17	S	1	PD10NOV17	2339.00	2522.08	USD	-4,577.00	
11AUG17	B	2	PD10NOV17	2336.00	2522.08	USD	9,304.00	
11AUG17	S	2	PD10NOV17	2343.00	2522.08	USD	-8,954.00	
11AUG17	B	1	PD10NOV17	2342.50	2522.08	USD	4,489.50	
11AUG17	B	1	PD10NOV17	2343.50	2522.08	USD	4,464.50	
11AUG17	B	2	PD10NOV17	2332.00	2522.08	USD	9,504.00	
11AUG17	B	1	PD10NOV17	2333.00	2522.08	USD	4,727.00	
11AUG17	S	1	PD10NOV17	2334.50	2522.08	USD	-4,689.50	
11AUG17	S	1	PD10NOV17	2336.00	2522.08	USD	-4,652.00	
11AUG17	S	1	PD10NOV17	2329.00	2522.08	USD	-4,827.00	
12OCT17	S	2	PD10NOV17	2550.13	2522.08	USD	1,402.50	

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	NET	0 AVG BGHT 2336.63	SOLD 2390.60				10,794.00	0.00
14AUG17	S	1 PD14NOV17		2337.00	2522.62	USD	-4,640.50	
14AUG17	B	3 PD14NOV17		2340.00	2522.62	USD	13,696.50	
14AUG17	B	1 PD14NOV17		2343.00	2522.62	USD	4,490.50	
14AUG17	S	1 PD14NOV17		2340.50	2522.62	USD	-4,553.00	
14AUG17	S	4 PD14NOV17		2341.50	2522.62	USD	-18,112.00	
14AUG17	B	1 PD14NOV17		2333.50	2522.62	USD	4,728.00	
14AUG17	B	1 PD14NOV17		2338.50	2522.62	USD	4,603.00	
14AUG17	S	2 PD14NOV17		2338.00	2522.62	USD	-9,231.00	
12OCT17	B	2 PD14NOV17		2550.83	2522.62	USD	-1,410.50	
	NET	0 AVG BGHT 2392.08	SOLD 2339.94				-10,429.00	0.00
16AUG17	B	3 PD16NOV17		2422.00	2522.75	USD	7,556.25	
16AUG17	B	2 PD16NOV17		2421.50	2522.75	USD	5,062.50	
16AUG17	S	2 PD16NOV17		2423.00	2522.75	USD	-4,987.50	
16AUG17	S	3 PD16NOV17		2429.50	2522.75	USD	-6,993.75	
16AUG17	B	1 PD16NOV17		2431.50	2522.75	USD	2,281.25	
16AUG17	S	1 PD16NOV17		2435.00	2522.75	USD	-2,193.75	
16AUG17	B	2 PD16NOV17		2508.00	2522.75	USD	737.50	
16AUG17	S	2 PD16NOV17		2499.50	2522.75	USD	-1,162.50	
	NET	0 AVG BGHT 2444.56	SOLD 2446.06				300.00	0.00
17AUG17	B	2 PD17NOV17		2481.50	2522.75	USD	2,062.50	
17AUG17	B	3 PD17NOV17		2468.50	2522.75	USD	4,068.75	
17AUG17	B	1 PD17NOV17		2465.00	2522.75	USD	1,443.75	
17AUG17	B	1 PD17NOV17		2464.00	2522.75	USD	1,468.75	
17AUG17	S	1 PD17NOV17		2458.00	2522.75	USD	-1,618.75	
17AUG17	S	1 PD17NOV17		2460.00	2522.75	USD	-1,568.75	
17AUG17	S	1 PD17NOV17		2462.00	2522.75	USD	-1,518.75	
17AUG17	S	4 PD17NOV17		2450.00	2522.75	USD	-7,275.00	
17AUG17	B	1 PD17NOV17		2449.50	2522.75	USD	1,831.25	
17AUG17	B	1 PD17NOV17		2454.50	2522.75	USD	1,706.25	
17AUG17	B	2 PD17NOV17		2455.00	2522.75	USD	3,387.50	
17AUG17	S	2 PD17NOV17		2481.00	2522.75	USD	-2,087.50	
17AUG17	S	2 PD17NOV17		2468.00	2522.75	USD	-2,737.50	
18AUG17	B	1 PD17NOV17		2430.00	2522.75	USD	2,318.75	
18AUG17	B	1 PD17NOV17		2431.00	2522.75	USD	2,293.75	
18AUG17	S	2 PD17NOV17		2428.00	2522.75	USD	-4,737.50	
18AUG17	B	2 PD17NOV17		2439.00	2522.75	USD	4,187.50	
18AUG17	S	2 PD17NOV17		2420.00	2522.75	USD	-5,137.50	
	NET	0 AVG BGHT 2456.70	SOLD 2451.60				-1,912.50	0.00
23AUG17	B	1 PD24NOV17		2400.00	2523.81	USD	3,095.25	
23AUG17	S	1 PD24NOV17		2403.50	2523.81	USD	-3,007.75	
24AUG17	B	2 PD24NOV17		2367.50	2523.81	USD	7,815.50	
24AUG17	S	1 PD24NOV17		2355.00	2523.81	USD	-4,220.25	
24AUG17	S	2 PD24NOV17		2355.50	2523.81	USD	-8,415.50	
24AUG17	B	1 PD24NOV17		2356.50	2523.81	USD	4,182.75	
	NET	0 AVG BGHT 2372.88	SOLD 2367.38				-550.00	0.00
31AUG17	B	2 PD30NOV17		2391.50	2524.72	USD	6,661.00	
31AUG17	S	1 PD30NOV17		2380.50	2524.72	USD	-3,605.50	
31AUG17	S	1 PD30NOV17		2379.50	2524.72	USD	-3,630.50	
	NET	0 AVG BGHT 2391.50	SOLD 2380.00				-575.00	0.00
07SEP17	B	2 PD07DEC17		2333.50	2525.78	USD	9,614.00	
07SEP17	S	2 PD07DEC17		2338.00	2525.78	USD	-9,389.00	
	NET	0 AVG BGHT 2333.50	SOLD 2338.00				225.00	0.00
11SEP17	B	1 PD11DEC17		2289.00	2526.39	USD	5,934.75	
11SEP17	S	2 PD11DEC17		2288.00	2526.39	USD	-11,919.50	

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11SEP17	B	1	PD11DEC17	2291.00	2526.39	USD	5,884.75	
	NET	0 AVG BGHT 2290.00	SOLD 2288.00				-100.00	0.00
21SEP17	B	2	PD21DEC17	2468.00	2526.75	USD	2,937.50	
21SEP17	S	5	PD21DEC17	2466.00	2526.75	USD	-7,593.75	
21SEP17	S	1	PD21DEC17	2474.00	2526.75	USD	-1,318.75	
21SEP17	S	1	PD21DEC17	2475.00	2526.75	USD	-1,293.75	
21SEP17	B	1	PD21DEC17	2472.50	2526.75	USD	1,356.25	
21SEP17	B	1	PD21DEC17	2474.00	2526.75	USD	1,318.75	
21SEP17	B	2	PD21DEC17	2475.00	2526.75	USD	2,587.50	
21SEP17	S	2	PD21DEC17	2483.00	2526.75	USD	-2,187.50	
21SEP17	B	1	PD21DEC17	2490.00	2526.75	USD	918.75	
21SEP17	B	1	PD21DEC17	2490.50	2526.75	USD	906.25	
21SEP17	B	1	PD21DEC17	2466.00	2526.75	USD	1,518.75	
21SEP17	S	2	PD21DEC17	2501.00	2526.75	USD	-1,287.50	
21SEP17	B	2	PD21DEC17	2508.50	2526.75	USD	912.50	
21SEP17	S	1	PD21DEC17	2508.50	2526.75	USD	-456.25	
21SEP17	S	1	PD21DEC17	2509.50	2526.75	USD	-431.25	
21SEP17	B	1	PD21DEC17	2506.50	2526.75	USD	506.25	
21SEP17	B	2	PD21DEC17	2510.00	2526.75	USD	837.50	
21SEP17	B	1	PD21DEC17	2509.50	2526.75	USD	431.25	
21SEP17	S	2	PD21DEC17	2509.00	2526.75	USD	-887.50	
	NET	0 AVG BGHT 2488.80	SOLD 2485.53				-1,225.00	0.00
27SEP17	B	2	PD27DEC17	2460.50	2527.64	USD	3,357.00	
27SEP17	S	1	PD27DEC17	2455.00	2527.64	USD	-1,816.00	
27SEP17	S	1	PD27DEC17	2457.50	2527.64	USD	-1,753.50	
	NET	0 AVG BGHT 2460.50	SOLD 2456.25				-212.50	0.00
04OCT17	B	2	PD04JAN18	2567.50	2528.82	USD	-1,934.00	
04OCT17	S	2	PD04JAN18	2567.50	2528.82	USD	1,934.00	
04OCT17	B	2	PD04JAN18	2576.00	2528.82	USD	-2,359.00	
04OCT17	B	1	PD04JAN18	2577.50	2528.82	USD	-1,217.00	
04OCT17	B	1	PD04JAN18	2584.50	2528.82	USD	-1,392.00	
04OCT17	B	1	PD04JAN18	2585.50	2528.82	USD	-1,417.00	
04OCT17	S	3	PD04JAN18	2577.00	2528.82	USD	3,613.50	
04OCT17	S	3	PD04JAN18	2582.00	2528.82	USD	3,988.50	
04OCT17	S	1	PD04JAN18	2585.00	2528.82	USD	1,404.50	
04OCT17	B	2	PD04JAN18	2583.50	2528.82	USD	-2,734.00	
04OCT17	B	1	PD04JAN18	2586.50	2528.82	USD	-1,442.00	
04OCT17	S	2	PD04JAN18	2589.00	2528.82	USD	3,009.00	
04OCT17	S	2	PD04JAN18	2590.50	2528.82	USD	3,084.00	
04OCT17	B	1	PD04JAN18	2584.00	2528.82	USD	-1,379.50	
04OCT17	B	3	PD04JAN18	2588.00	2528.82	USD	-4,438.50	
04OCT17	B	1	PD04JAN18	2588.50	2528.82	USD	-1,492.00	
04OCT17	B	2	PD04JAN18	2592.00	2528.82	USD	-3,159.00	
04OCT17	B	1	PD04JAN18	2592.50	2528.82	USD	-1,592.00	
04OCT17	S	1	PD04JAN18	2587.00	2528.82	USD	1,454.50	
04OCT17	S	1	PD04JAN18	2588.00	2528.82	USD	1,479.50	
04OCT17	S	1	PD04JAN18	2588.50	2528.82	USD	1,492.00	
04OCT17	S	4	PD04JAN18	2590.00	2528.82	USD	6,118.00	
04OCT17	B	2	PD04JAN18	2590.00	2528.82	USD	-3,059.00	
04OCT17	B	1	PD04JAN18	2559.00	2528.82	USD	-754.50	
04OCT17	S	1	PD04JAN18	2556.50	2528.82	USD	692.00	
	NET	0 AVG BGHT 2582.86	SOLD 2582.67				-100.00	0.00
11OCT17	B	2	PD11JAN18	2562.00	2529.85	USD	-1,607.50	
11OCT17	S	1	PD11JAN18	2553.00	2529.85	USD	578.75	
11OCT17	S	3	PD11JAN18	2560.00	2529.85	USD	2,261.25	
11OCT17	B	1	PD11JAN18	2561.50	2529.85	USD	-791.25	

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12OCT17	<u>LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
	NET -1AVG BGHT 2561.83 SOLD 2558.25				441.25	0.00
	B 1 PD12JAN18	2548.00	2530.00	USD	-450.00	
	NET +1AVG BGHT 2548.00				-450.00	0.00
18JUL17	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
	S 1 PD18OCT17	9645.00	11610.50	USD	-11,793.00	
	B 1 PD18OCT17	9630.00	11610.50	USD	11,883.00	
	NET 0AVG BGHT 9630.00 SOLD 9645.00				90.00	0.00
21JUL17	S 1 PD20OCT17	9585.00	11611.35	USD	-12,158.10	
21JUL17	B 1 PD20OCT17	9580.00	11611.35	USD	12,188.10	
21JUL17	S 2 PD20OCT17	9635.00	11611.35	USD	-23,716.20	
21JUL17	B 2 PD20OCT17	9600.00	11611.35	USD	24,136.20	
21JUL17	S 1 PD20OCT17	9620.00	11611.35	USD	-11,948.10	
21JUL17	B 1 PD20OCT17	9670.00	11611.35	USD	11,648.10	
24JUL17	NET 0AVG BGHT 9612.50 SOLD 9618.75				150.00	0.00
	S 2 PD24OCT17	9620.00	11614.76	USD	-23,937.12	
	B 2 PD24OCT17	9640.00	11614.76	USD	23,697.12	
	S 1 PD24OCT17	9660.00	11614.76	USD	-11,728.56	
24JUL17	B 1 PD24OCT17	9685.00	11614.76	USD	11,578.56	
24JUL17	B 1 PD24OCT17	9695.00	11614.76	USD	11,518.56	
24JUL17	S 1 PD24OCT17	9685.00	11614.76	USD	-11,578.56	
24JUL17	S 1 PD24OCT17	9725.00	11614.76	USD	-11,338.56	
24JUL17	S 1 PD24OCT17	9735.00	11614.76	USD	-11,278.56	
24JUL17	S 1 PD24OCT17	9760.00	11614.76	USD	-11,128.56	
24JUL17	B 1 PD24OCT17	9760.00	11614.76	USD	11,128.56	
24JUL17	S 1 PD24OCT17	9770.00	11614.76	USD	-11,068.56	
06OCT17	B 3 PD24OCT17	10530.32	11614.76	USD	19,519.92	
25JUL17	NET 0AVG BGHT 10001.37 SOLD 9696.88				-14,615.76	0.00
	B 3 PD25OCT17	9750.00	11615.61	USD	33,580.98	
	B 1 PD25OCT17	9845.00	11615.61	USD	10,623.66	
	B 2 PD25OCT17	9850.00	11615.61	USD	21,187.32	
25JUL17	S 3 PD25OCT17	9840.00	11615.61	USD	-31,960.98	
25JUL17	S 2 PD25OCT17	9910.00	11615.61	USD	-20,467.32	
25JUL17	B 2 PD25OCT17	9900.00	11615.61	USD	20,587.32	
25JUL17	B 2 PD25OCT17	9980.00	11615.61	USD	19,627.32	
25JUL17	B 2 PD25OCT17	9950.00	11615.61	USD	19,987.32	
25JUL17	S 1 PD25OCT17	9935.00	11615.61	USD	-10,083.66	
25JUL17	S 1 PD25OCT17	9945.00	11615.61	USD	-10,023.66	
25JUL17	S 2 PD25OCT17	9950.00	11615.61	USD	-19,987.32	
06OCT17	S 3 PD25OCT17	10530.38	11615.61	USD	-19,534.14	
26JUL17	NET 0AVG BGHT 9871.25 SOLD 10059.26				13,536.84	0.00
	B 2 PD26OCT17	9935.00	11616.46	USD	20,177.52	
	S 2 PD26OCT17	9935.00	11616.46	USD	-20,177.52	
	S 3 PD26OCT17	9920.00	11616.46	USD	-30,536.28	
26JUL17	B 3 PD26OCT17	9925.00	11616.46	USD	30,446.28	
27JUL17	NET 0AVG BGHT 9929.00 SOLD 9926.00				-90.00	0.00
	S 2 PD27OCT17	10180.00	11617.31	USD	-17,247.72	
	B 1 PD27OCT17	10220.00	11617.31	USD	8,383.86	
	S 3 PD27OCT17	10205.00	11617.31	USD	-25,421.58	
27JUL17	B 1 PD27OCT17	10175.00	11617.31	USD	8,653.86	
27JUL17	B 1 PD27OCT17	10190.00	11617.31	USD	8,563.86	
27JUL17	B 1 PD27OCT17	10195.00	11617.31	USD	8,533.86	
27JUL17	S 2 PD27OCT17	10195.00	11617.31	USD	-17,067.72	
27JUL17	B 2 PD27OCT17	10200.00	11617.31	USD	17,007.72	
27JUL17	B 1 PD27OCT17	10165.00	11617.31	USD	8,713.86	

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London W1J 7NJ UK
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backoffice@sapiencap.com

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
27JUL17	S	2	PD27OCT17	10160.00	11617.31	USD	-17,487.72	
27JUL17	B	2	PD27OCT17	10185.00	11617.31	USD	17,187.72	
27JUL17	S	1	PD27OCT17	10190.00	11617.31	USD	-8,563.86	
27JUL17	B	1	PD27OCT17	10155.00	11617.31	USD	8,773.86	
	NET	0 AVG BGHT 10187.00	SOLD 10187.50				30.00	0.00
03AUG17	B	1	PD03NOV17	10265.00	11623.28	USD	8,149.68	
03AUG17	B	2	PD03NOV17	10275.00	11623.28	USD	16,179.36	
03AUG17	B	1	PD03NOV17	10305.00	11623.28	USD	7,909.68	
03AUG17	S	4	PD03NOV17	10305.00	11623.28	USD	-31,638.72	
04AUG17	B	2	PD03NOV17	10195.00	11623.28	USD	17,139.36	
04AUG17	S	2	PD03NOV17	10215.00	11623.28	USD	-16,899.36	
04AUG17	B	1	PD03NOV17	10205.00	11623.28	USD	8,509.68	
04AUG17	S	1	PD03NOV17	10210.00	11623.28	USD	-8,479.68	
04AUG17	B	1	PD03NOV17	10295.00	11623.28	USD	7,969.68	
04AUG17	S	1	PD03NOV17	10290.00	11623.28	USD	-7,999.68	
	NET	0 AVG BGHT 10251.25	SOLD 10268.75				840.00	0.00
08AUG17	S	2	PD08NOV17	10340.00	11627.54	USD	-15,450.48	
08AUG17	B	1	PD08NOV17	10280.00	11627.54	USD	8,085.24	
08AUG17	B	1	PD08NOV17	10290.00	11627.54	USD	8,025.24	
08AUG17	S	1	PD08NOV17	10325.00	11627.54	USD	-7,815.24	
08AUG17	B	1	PD08NOV17	10325.00	11627.54	USD	7,815.24	
08AUG17	B	1	PD08NOV17	10305.00	11627.54	USD	7,935.24	
08AUG17	B	1	PD08NOV17	10310.00	11627.54	USD	7,905.24	
08AUG17	S	2	PD08NOV17	10300.00	11627.54	USD	-15,930.48	
08AUG17	B	1	PD08NOV17	10500.00	11627.54	USD	6,765.24	
08AUG17	S	1	PD08NOV17	10470.00	11627.54	USD	-6,945.24	
08AUG17	B	1	PD08NOV17	10560.00	11627.54	USD	6,405.24	
08AUG17	S	1	PD08NOV17	10590.00	11627.54	USD	-6,225.24	
08AUG17	S	1	PD08NOV17	10595.00	11627.54	USD	-6,195.24	
08AUG17	B	1	PD08NOV17	10610.00	11627.54	USD	6,105.24	
	NET	0 AVG BGHT 10397.50	SOLD 10407.50				480.00	0.00
09AUG17	S	1	PD09NOV17	10770.00	11628.39	USD	-5,150.34	
09AUG17	B	4	PD09NOV17	10800.00	11628.39	USD	19,881.36	
09AUG17	S	3	PD09NOV17	10795.00	11628.39	USD	-15,001.02	
	NET	0 AVG BGHT 10800.00	SOLD 10788.75				-270.00	0.00
10AUG17	S	1	PD10NOV17	10830.00	11629.24	USD	-4,795.44	
10AUG17	B	1	PD10NOV17	10845.00	11629.24	USD	4,705.44	
10AUG17	B	1	PD10NOV17	10870.00	11629.24	USD	4,555.44	
10AUG17	B	1	PD10NOV17	10885.00	11629.24	USD	4,465.44	
10AUG17	B	1	PD10NOV17	10900.00	11629.24	USD	4,375.44	
10AUG17	S	3	PD10NOV17	10855.00	11629.24	USD	-13,936.32	
11AUG17	B	1	PD10NOV17	10765.00	11629.24	USD	5,185.44	
11AUG17	B	2	PD10NOV17	10735.00	11629.24	USD	10,730.88	
11AUG17	B	2	PD10NOV17	10750.00	11629.24	USD	10,550.88	
11AUG17	S	3	PD10NOV17	10730.00	11629.24	USD	-16,186.32	
11AUG17	S	2	PD10NOV17	10705.00	11629.24	USD	-11,090.88	
11AUG17	S	2	PD10NOV17	10720.00	11629.24	USD	-10,910.88	
11AUG17	B	2	PD10NOV17	10660.00	11629.24	USD	11,630.88	
11AUG17	B	2	PD10NOV17	10625.00	11629.24	USD	12,050.88	
11AUG17	S	1	PD10NOV17	10620.00	11629.24	USD	-6,055.44	
11AUG17	S	1	PD10NOV17	10655.00	11629.24	USD	-5,845.44	
	NET	0 AVG BGHT 10754.23	SOLD 10746.92				-570.00	0.00
15AUG17	S	1	PD15NOV17	10490.00	11633.50	USD	-6,861.00	
15AUG17	S	1	PD15NOV17	10500.00	11633.50	USD	-6,801.00	
15AUG17	B	1	PD15NOV17	10450.00	11633.50	USD	7,101.00	
15AUG17	B	1	PD15NOV17	10460.00	11633.50	USD	7,041.00	

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15AUG17	B	3	PD15NOV17	10435.00	11633.50	USD	21,573.00	
15AUG17	S	3	PD15NOV17	10450.00	11633.50	USD	-21,303.00	
	NET	0AVG	BGHT 10443.00				750.00	0.00
16AUG17	B	1	PD16NOV17	10535.00	11634.19	USD	6,595.14	
16AUG17	B	1	PD16NOV17	10540.00	11634.19	USD	6,565.14	
16AUG17	B	1	PD16NOV17	10545.00	11634.19	USD	6,535.14	
16AUG17	S	3	PD16NOV17	10535.00	11634.19	USD	-19,785.42	
16AUG17	B	2	PD16NOV17	10580.00	11634.19	USD	12,650.28	
16AUG17	S	2	PD16NOV17	10555.00	11634.19	USD	-12,950.28	
16AUG17	S	2	PD16NOV17	10585.00	11634.19	USD	-12,590.28	
16AUG17	B	1	PD16NOV17	10595.00	11634.19	USD	6,235.14	
16AUG17	B	1	PD16NOV17	10605.00	11634.19	USD	6,175.14	
16AUG17	S	3	PD16NOV17	10625.00	11634.19	USD	-18,165.42	
16AUG17	S	1	PD16NOV17	10635.00	11634.19	USD	-5,995.14	
16AUG17	B	1	PD16NOV17	10640.00	11634.19	USD	5,965.14	
16AUG17	S	1	PD16NOV17	10640.00	11634.19	USD	-5,965.14	
16AUG17	B	1	PD16NOV17	10590.00	11634.19	USD	6,265.14	
16AUG17	B	2	PD16NOV17	10690.00	11634.19	USD	11,330.28	
16AUG17	S	2	PD16NOV17	10680.00	11634.19	USD	-11,450.28	
16AUG17	S	1	PD16NOV17	10735.00	11634.19	USD	-5,395.14	
16AUG17	S	2	PD16NOV17	10745.00	11634.19	USD	-10,670.28	
16AUG17	B	3	PD16NOV17	10635.00	11634.19	USD	17,985.42	
16AUG17	S	4	PD16NOV17	10770.00	11634.19	USD	-20,740.56	
16AUG17	B	7	PD16NOV17	10755.00	11634.19	USD	36,925.98	
	NET	0AVG	BGHT 10656.19				-480.00	0.00
18AUG17	B	1	PD17NOV17	10805.00	11634.87	USD	4,979.22	
18AUG17	B	3	PD17NOV17	10825.00	11634.87	USD	14,577.66	
18AUG17	B	1	PD17NOV17	10835.00	11634.87	USD	4,799.22	
18AUG17	B	1	PD17NOV17	10845.00	11634.87	USD	4,739.22	
18AUG17	S	1	PD17NOV17	10800.00	11634.87	USD	-5,009.22	
18AUG17	S	2	PD17NOV17	10805.00	11634.87	USD	-9,958.44	
18AUG17	S	1	PD17NOV17	10810.00	11634.87	USD	-4,949.22	
18AUG17	S	2	PD17NOV17	10855.00	11634.87	USD	-9,358.44	
18AUG17	S	1	PD17NOV17	11010.00	11634.87	USD	-3,749.22	
18AUG17	B	1	PD17NOV17	10960.00	11634.87	USD	4,049.22	
	NET	0AVG	BGHT 10845.71				120.00	0.00
21AUG17	B	1	PD21NOV17	11155.00	11637.61	USD	2,895.66	
21AUG17	S	1	PD21NOV17	11155.00	11637.61	USD	-2,895.66	
21AUG17	B	1	PD21NOV17	11280.00	11637.61	USD	2,145.66	
21AUG17	S	1	PD21NOV17	11265.00	11637.61	USD	-2,235.66	
21AUG17	B	2	PD21NOV17	11320.00	11637.61	USD	3,811.32	
21AUG17	B	1	PD21NOV17	11350.00	11637.61	USD	1,725.66	
21AUG17	B	1	PD21NOV17	11355.00	11637.61	USD	1,695.66	
21AUG17	S	2	PD21NOV17	11330.00	11637.61	USD	-3,691.32	
21AUG17	S	2	PD21NOV17	11345.00	11637.61	USD	-3,511.32	
	NET	0AVG	BGHT 11296.67				-60.00	0.00
23AUG17	B	2	PD24NOV17	11400.00	11639.67	USD	2,876.04	
23AUG17	B	1	PD24NOV17	11420.00	11639.67	USD	1,318.02	
23AUG17	B	2	PD24NOV17	11440.00	11639.67	USD	2,396.04	
23AUG17	B	2	PD24NOV17	11470.00	11639.67	USD	2,036.04	
23AUG17	S	3	PD24NOV17	11395.00	11639.67	USD	-4,404.06	
23AUG17	S	3	PD24NOV17	11435.00	11639.67	USD	-3,684.06	
23AUG17	S	1	PD24NOV17	11440.00	11639.67	USD	-1,198.02	
23AUG17	S	3	PD24NOV17	11470.00	11639.67	USD	-3,054.06	
23AUG17	B	1	PD24NOV17	11495.00	11639.67	USD	868.02	
23AUG17	S	1	PD24NOV17	11550.00	11639.67	USD	-538.02	

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23AUG17	B	3	PD24NOV17	11490.00	11639.67	USD	2,694.06	
23AUG17	B	1	PD24NOV17	11685.00	11639.67	USD	-271.98	
23AUG17	S	1	PD24NOV17	11670.00	11639.67	USD	181.98	
23AUG17	S	1	PD24NOV17	11795.00	11639.67	USD	931.98	
23AUG17	S	1	PD24NOV17	11815.00	11639.67	USD	1,051.98	
23AUG17	B	1	PD24NOV17	11755.00	11639.67	USD	-691.98	
23AUG17	S	1	PD24NOV17	11785.00	11639.67	USD	871.98	
23AUG17	B	1	PD24NOV17	11785.00	11639.67	USD	-871.98	
24AUG17	B	1	PD24NOV17	11700.00	11639.67	USD	-361.98	
24AUG17	B	2	PD24NOV17	11710.00	11639.67	USD	-843.96	
24AUG17	B	1	PD24NOV17	11720.00	11639.67	USD	-481.98	
24AUG17	B	1	PD24NOV17	11725.00	11639.67	USD	-511.98	
24AUG17	S	4	PD24NOV17	11710.00	11639.67	USD	1,687.92	
25AUG17	B	1	PD24NOV17	11515.00	11639.67	USD	748.02	
25AUG17	S	1	PD24NOV17	11515.00	11639.67	USD	-748.02	
25AUG17	B	2	PD24NOV17	11420.00	11639.67	USD	2,636.04	
25AUG17	B	1	PD24NOV17	11445.00	11639.67	USD	1,168.02	
25AUG17	S	2	PD24NOV17	11460.00	11639.67	USD	-2,156.04	
25AUG17	S	1	PD24NOV17	11440.00	11639.67	USD	-1,198.02	
	NET	0 AVG	BGHT 11547.61	SOLD 11550.87			450.00	0.00
29AUG17	B	1	PD29NOV17	11805.00	11643.10	USD	-971.40	
29AUG17	B	1	PD29NOV17	11815.00	11643.10	USD	-1,031.40	
29AUG17	S	2	PD29NOV17	11835.00	11643.10	USD	2,302.80	
29AUG17	B	1	PD29NOV17	11825.00	11643.10	USD	-1,091.40	
29AUG17	S	1	PD29NOV17	11820.00	11643.10	USD	1,061.40	
29AUG17	S	3	PD29NOV17	11800.00	11643.10	USD	2,824.20	
29AUG17	B	2	PD29NOV17	11800.00	11643.10	USD	-1,882.80	
29AUG17	B	1	PD29NOV17	11810.00	11643.10	USD	-1,001.40	
29AUG17	B	1	PD29NOV17	11670.00	11643.10	USD	-161.40	
29AUG17	B	2	PD29NOV17	11705.00	11643.10	USD	-742.80	
29AUG17	S	2	PD29NOV17	11695.00	11643.10	USD	622.80	
29AUG17	S	1	PD29NOV17	11675.00	11643.10	USD	191.40	
	NET	0 AVG	BGHT 11770.56	SOLD 11772.78			120.00	0.00
01SEP17	B	1	PD01DEC17	11855.00	11644.47	USD	-1,263.18	
01SEP17	B	1	PD01DEC17	11895.00	11644.47	USD	-1,503.18	
01SEP17	S	1	PD01DEC17	11885.00	11644.47	USD	1,443.18	
01SEP17	S	1	PD01DEC17	11890.00	11644.47	USD	1,473.18	
01SEP17	B	2	PD01DEC17	12000.00	11644.47	USD	-4,266.36	
01SEP17	S	2	PD01DEC17	12010.00	11644.47	USD	4,386.36	
01SEP17	S	1	PD01DEC17	12070.00	11644.47	USD	2,553.18	
01SEP17	S	1	PD01DEC17	12075.00	11644.47	USD	2,583.18	
01SEP17	B	3	PD01DEC17	12080.00	11644.47	USD	-7,839.54	
01SEP17	B	2	PD01DEC17	12070.00	11644.47	USD	-5,106.36	
01SEP17	B	1	PD01DEC17	12025.00	11644.47	USD	-2,283.18	
01SEP17	B	1	PD01DEC17	12035.00	11644.47	USD	-2,343.18	
01SEP17	B	1	PD01DEC17	12040.00	11644.47	USD	-2,373.18	
01SEP17	S	5	PD01DEC17	12060.00	11644.47	USD	12,465.90	
01SEP17	S	1	PD01DEC17	12055.00	11644.47	USD	2,463.18	
	NET	0 AVG	BGHT 12019.17	SOLD 12024.58			390.00	0.00
05SEP17	B	1	PD05DEC17	12030.00	11647.21	USD	-2,296.74	
05SEP17	B	1	PD05DEC17	12035.00	11647.21	USD	-2,326.74	
05SEP17	S	2	PD05DEC17	12020.00	11647.21	USD	4,473.48	
05SEP17	B	1	PD05DEC17	11930.00	11647.21	USD	-1,696.74	
05SEP17	B	1	PD05DEC17	11975.00	11647.21	USD	-1,966.74	
05SEP17	B	2	PD05DEC17	11980.00	11647.21	USD	-3,993.48	
05SEP17	S	1	PD05DEC17	11970.00	11647.21	USD	1,936.74	

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
05SEP17	S	3	PD05DEC17	11975.00	11647.21	USD	5,900.22	
	NET	0 AVG BGHT 11988.33	SOLD 11989.17				30.00	0.00
06SEP17	B	1	PD06DEC17	11930.00	11647.90	USD	-1,692.60	
06SEP17	B	1	PD06DEC17	11965.00	11647.90	USD	-1,902.60	
06SEP17	S	2	PD06DEC17	11975.00	11647.90	USD	3,925.20	
06SEP17	B	2	PD06DEC17	12005.00	11647.90	USD	-4,285.20	
06SEP17	S	2	PD06DEC17	12030.00	11647.90	USD	4,585.20	
06SEP17	S	1	PD06DEC17	12045.00	11647.90	USD	2,382.60	
06SEP17	B	1	PD06DEC17	12035.00	11647.90	USD	-2,322.60	
06SEP17	B	1	PD06DEC17	12055.00	11647.90	USD	-2,442.60	
06SEP17	S	2	PD06DEC17	12055.00	11647.90	USD	4,885.20	
06SEP17	B	1	PD06DEC17	12015.00	11647.90	USD	-2,202.60	
06SEP17	B	2	PD06DEC17	11985.00	11647.90	USD	-4,045.20	
06SEP17	S	2	PD06DEC17	12015.00	11647.90	USD	4,405.20	
06SEP17	B	2	PD06DEC17	12075.00	11647.90	USD	-5,125.20	
06SEP17	B	1	PD06DEC17	12085.00	11647.90	USD	-2,622.60	
06SEP17	S	3	PD06DEC17	12075.00	11647.90	USD	7,687.80	
	NET	0 AVG BGHT 12017.92	SOLD 12035.00				1,230.00	0.00
07SEP17	S	1	PD07DEC17	12125.00	11648.59	USD	2,858.46	
07SEP17	S	2	PD07DEC17	12145.00	11648.59	USD	5,956.92	
07SEP17	B	1	PD07DEC17	12150.00	11648.59	USD	-3,008.46	
07SEP17	B	2	PD07DEC17	12155.00	11648.59	USD	-6,076.92	
07SEP17	S	1	PD07DEC17	12150.00	11648.59	USD	3,008.46	
07SEP17	B	2	PD07DEC17	12105.00	11648.59	USD	-5,476.92	
07SEP17	B	1	PD07DEC17	12075.00	11648.59	USD	-2,558.46	
07SEP17	S	2	PD07DEC17	12095.00	11648.59	USD	5,356.92	
07SEP17	B	2	PD07DEC17	12100.00	11648.59	USD	-5,416.92	
07SEP17	B	2	PD07DEC17	12095.00	11648.59	USD	-5,356.92	
07SEP17	S	1	PD07DEC17	12090.00	11648.59	USD	2,648.46	
07SEP17	S	1	PD07DEC17	12100.00	11648.59	USD	2,708.46	
07SEP17	S	2	PD07DEC17	12080.00	11648.59	USD	5,176.92	
07SEP17	B	2	PD07DEC17	12065.00	11648.59	USD	-4,996.92	
07SEP17	B	2	PD07DEC17	12130.00	11648.59	USD	-5,776.92	
07SEP17	S	2	PD07DEC17	12105.00	11648.59	USD	5,476.92	
07SEP17	S	2	PD07DEC17	12140.00	11648.59	USD	5,896.92	
	NET	0 AVG BGHT 12108.93	SOLD 12113.93				420.00	0.00
08SEP17	B	2	PD08DEC17	11925.00	11649.27	USD	-3,308.76	
08SEP17	S	1	PD08DEC17	11960.00	11649.27	USD	1,864.38	
08SEP17	B	6	PD08DEC17	11945.00	11649.27	USD	-10,646.28	
08SEP17	B	1	PD08DEC17	11960.00	11649.27	USD	-1,864.38	
08SEP17	S	2	PD08DEC17	11940.00	11649.27	USD	3,488.76	
08SEP17	S	2	PD08DEC17	11945.00	11649.27	USD	3,548.76	
08SEP17	S	1	PD08DEC17	11965.00	11649.27	USD	1,894.38	
08SEP17	B	2	PD08DEC17	11930.00	11649.27	USD	-3,368.76	
08SEP17	B	1	PD08DEC17	11935.00	11649.27	USD	-1,714.38	
08SEP17	S	2	PD08DEC17	11925.00	11649.27	USD	3,308.76	
08SEP17	S	4	PD08DEC17	11935.00	11649.27	USD	6,857.52	
08SEP17	S	1	PD08DEC17	11900.00	11649.27	USD	1,504.38	
08SEP17	S	1	PD08DEC17	11905.00	11649.27	USD	1,534.38	
08SEP17	B	2	PD08DEC17	11910.00	11649.27	USD	-3,128.76	
08SEP17	B	1	PD08DEC17	11730.00	11649.27	USD	-484.38	
08SEP17	B	1	PD08DEC17	11750.00	11649.27	USD	-604.38	
08SEP17	B	2	PD08DEC17	11765.00	11649.27	USD	-1,388.76	
08SEP17	S	1	PD08DEC17	11720.00	11649.27	USD	424.38	
08SEP17	S	1	PD08DEC17	11800.00	11649.27	USD	904.38	
08SEP17	S	1	PD08DEC17	11805.00	11649.27	USD	934.38	

OPEN POSITION STATEMENT



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SAP096AD

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
08SEP17	B	3	PD08DEC17	11760.00	11649.27	USD	-1,993.14	
08SEP17	S	1	PD08DEC17	11750.00	11649.27	USD	604.38	
08SEP17	S	3	PD08DEC17	11755.00	11649.27	USD	1,903.14	
08SEP17	B	2	PD08DEC17	11725.00	11649.27	USD	-908.76	
08SEP17	S	2	PD08DEC17	11710.00	11649.27	USD	728.76	
08SEP17	B	2	PD08DEC17	11550.00	11649.27	USD	1,191.24	
08SEP17	S	1	PD08DEC17	11505.00	11649.27	USD	-865.62	
08SEP17	S	1	PD08DEC17	11535.00	11649.27	USD	-685.62	
08SEP17	B	2	PD08DEC17	11515.00	11649.27	USD	1,611.24	
08SEP17	S	1	PD08DEC17	11575.00	11649.27	USD	-445.62	
08SEP17	S	1	PD08DEC17	11565.00	11649.27	USD	-505.62	
08SEP17	B	3	PD08DEC17	11495.00	11649.27	USD	2,776.86	
08SEP17	S	3	PD08DEC17	11480.00	11649.27	USD	-3,046.86	
	NET	0 AVG BGHT 11781.67	SOLD 11782.33				120.00	0.00
11SEP17	B	1	PD11DEC17	11590.00	11651.33	USD	367.98	
11SEP17	B	1	PD11DEC17	11595.00	11651.33	USD	337.98	
11SEP17	B	2	PD11DEC17	11600.00	11651.33	USD	615.96	
11SEP17	S	3	PD11DEC17	11600.00	11651.33	USD	-923.94	
11SEP17	S	1	PD11DEC17	11595.00	11651.33	USD	-337.98	
11SEP17	B	1	PD11DEC17	11775.00	11651.33	USD	-742.02	
11SEP17	S	3	PD11DEC17	11770.00	11651.33	USD	2,136.06	
11SEP17	B	1	PD11DEC17	11750.00	11651.33	USD	-592.02	
11SEP17	B	1	PD11DEC17	11755.00	11651.33	USD	-622.02	
	NET	0 AVG BGHT 11666.43	SOLD 11672.14				240.00	0.00
12SEP17	B	2	PD12DEC17	11635.00	11652.01	USD	204.12	
12SEP17	S	1	PD12DEC17	11625.00	11652.01	USD	-162.06	
12SEP17	S	1	PD12DEC17	11645.00	11652.01	USD	-42.06	
12SEP17	S	2	PD12DEC17	11785.00	11652.01	USD	1,595.88	
12SEP17	B	2	PD12DEC17	11830.00	11652.01	USD	-2,135.88	
12SEP17	B	1	PD12DEC17	11915.00	11652.01	USD	-1,577.94	
12SEP17	S	3	PD12DEC17	11915.00	11652.01	USD	4,733.82	
12SEP17	S	1	PD12DEC17	11910.00	11652.01	USD	1,547.94	
12SEP17	B	2	PD12DEC17	11940.00	11652.01	USD	-3,455.88	
12SEP17	B	1	PD12DEC17	11920.00	11652.01	USD	-1,607.94	
	NET	0 AVG BGHT 11830.63	SOLD 11811.88				-900.00	0.00
13SEP17	B	2	PD13DEC17	11770.00	11652.70	USD	-1,407.60	
13SEP17	S	1	PD13DEC17	11770.00	11652.70	USD	703.80	
13SEP17	S	1	PD13DEC17	11775.00	11652.70	USD	733.80	
13SEP17	B	1	PD13DEC17	11525.00	11652.70	USD	766.20	
13SEP17	S	3	PD13DEC17	11520.00	11652.70	USD	-2,388.60	
13SEP17	B	1	PD13DEC17	11515.00	11652.70	USD	826.20	
13SEP17	B	1	PD13DEC17	11530.00	11652.70	USD	736.20	
13SEP17	B	2	PD13DEC17	11545.00	11652.70	USD	1,292.40	
13SEP17	S	1	PD13DEC17	11540.00	11652.70	USD	-676.20	
13SEP17	S	1	PD13DEC17	11575.00	11652.70	USD	-466.20	
13SEP17	B	2	PD13DEC17	11595.00	11652.70	USD	692.40	
13SEP17	S	2	PD13DEC17	11590.00	11652.70	USD	-752.40	
13SEP17	B	1	PD13DEC17	11345.00	11652.70	USD	1,846.20	
13SEP17	B	3	PD13DEC17	11405.00	11652.70	USD	4,458.60	
13SEP17	S	1	PD13DEC17	11350.00	11652.70	USD	-1,816.20	
13SEP17	S	3	PD13DEC17	11400.00	11652.70	USD	-4,548.60	
13SEP17	B	2	PD13DEC17	11370.00	11652.70	USD	3,392.40	
13SEP17	B	1	PD13DEC17	11380.00	11652.70	USD	1,636.20	
13SEP17	S	2	PD13DEC17	11390.00	11652.70	USD	-3,152.40	
13SEP17	S	1	PD13DEC17	11395.00	11652.70	USD	-1,546.20	
	NET	0 AVG BGHT 11504.38	SOLD 11507.81				330.00	0.00

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backoffice@sapiencap.com

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
14SEP17	B	2	PD14DEC17	11460.00	11653.39	USD	2,320.68	
14SEP17	B	1	PD14DEC17	11465.00	11653.39	USD	1,130.34	
14SEP17	S	3	PD14DEC17	11465.00	11653.39	USD	-3,391.02	
14SEP17	B	1	PD14DEC17	11350.00	11653.39	USD	1,820.34	
14SEP17	B	1	PD14DEC17	11370.00	11653.39	USD	1,700.34	
14SEP17	B	2	PD14DEC17	11375.00	11653.39	USD	3,340.68	
14SEP17	S	6	PD14DEC17	11365.00	11653.39	USD	-10,382.04	
14SEP17	B	2	PD14DEC17	11220.00	11653.39	USD	5,200.68	
14SEP17	B	2	PD14DEC17	11285.00	11653.39	USD	4,420.68	
14SEP17	S	1	PD14DEC17	11290.00	11653.39	USD	-2,180.34	
14SEP17	S	1	PD14DEC17	11300.00	11653.39	USD	-2,120.34	
14SEP17	B	1	PD14DEC17	11135.00	11653.39	USD	3,110.34	
14SEP17	B	2	PD14DEC17	11140.00	11653.39	USD	6,160.68	
14SEP17	B	2	PD14DEC17	11150.00	11653.39	USD	6,040.68	
14SEP17	B	2	PD14DEC17	11200.00	11653.39	USD	5,440.68	
14SEP17	S	3	PD14DEC17	11135.00	11653.39	USD	-9,331.02	
14SEP17	S	4	PD14DEC17	11195.00	11653.39	USD	-11,001.36	
14SEP17	B	1	PD14DEC17	11165.00	11653.39	USD	2,930.34	
14SEP17	S	1	PD14DEC17	11155.00	11653.39	USD	-2,990.34	
14SEP17	B	2	PD14DEC17	11320.00	11653.39	USD	4,000.68	
14SEP17	S	2	PD14DEC17	11180.00	11653.39	USD	-5,680.68	
14SEP17	B	2	PD14DEC17	11245.00	11653.39	USD	4,900.68	
14SEP17	S	2	PD14DEC17	11220.00	11653.39	USD	-5,200.68	
14SEP17	B	1	PD14DEC17	11330.00	11653.39	USD	1,940.34	
14SEP17	B	1	PD14DEC17	11335.00	11653.39	USD	1,910.34	
14SEP17	B	1	PD14DEC17	11345.00	11653.39	USD	1,850.34	
14SEP17	S	3	PD14DEC17	11330.00	11653.39	USD	-5,821.02	
14SEP17	S	2	PD14DEC17	11410.00	11653.39	USD	-2,920.68	
14SEP17	S	1	PD14DEC17	11415.00	11653.39	USD	-1,430.34	
14SEP17	B	3	PD14DEC17	11385.00	11653.39	USD	4,831.02	
	NET	0 AVG BGHT 11291.03	SOLD 11294.48				600.00	0.00
15SEP17	B	2	PD15DEC17	11095.00	11654.07	USD	6,708.84	
15SEP17	S	1	PD15DEC17	11065.00	11654.07	USD	-3,534.42	
15SEP17	B	1	PD15DEC17	11065.00	11654.07	USD	3,534.42	
15SEP17	S	1	PD15DEC17	11125.00	11654.07	USD	-3,174.42	
15SEP17	S	2	PD15DEC17	11090.00	11654.07	USD	-6,768.84	
15SEP17	B	1	PD15DEC17	11075.00	11654.07	USD	3,474.42	
15SEP17	B	1	PD15DEC17	11140.00	11654.07	USD	3,084.42	
15SEP17	S	1	PD15DEC17	11095.00	11654.07	USD	-3,354.42	
15SEP17	S	1	PD15DEC17	11130.00	11654.07	USD	-3,144.42	
15SEP17	B	1	PD15DEC17	11015.00	11654.07	USD	3,834.42	
15SEP17	B	1	PD15DEC17	11030.00	11654.07	USD	3,744.42	
15SEP17	S	2	PD15DEC17	11005.00	11654.07	USD	-7,788.84	
15SEP17	S	1	PD15DEC17	10900.00	11654.07	USD	-4,524.42	
15SEP17	B	1	PD15DEC17	10905.00	11654.07	USD	4,494.42	
15SEP17	S	1	PD15DEC17	10860.00	11654.07	USD	-4,764.42	
15SEP17	S	1	PD15DEC17	11135.00	11654.07	USD	-3,114.42	
15SEP17	B	2	PD15DEC17	11115.00	11654.07	USD	6,468.84	
15SEP17	B	2	PD15DEC17	10890.00	11654.07	USD	9,168.84	
15SEP17	S	1	PD15DEC17	10895.00	11654.07	USD	-4,554.42	
	NET	0 AVG BGHT 11035.83	SOLD 11032.92				-210.00	0.00
18SEP17	S	1	PD18DEC17	11115.00	11656.13	USD	-3,246.78	
18SEP17	B	1	PD18DEC17	11115.00	11656.13	USD	3,246.78	
18SEP17	S	2	PD18DEC17	11170.00	11656.13	USD	-5,833.56	
18SEP17	B	1	PD18DEC17	11185.00	11656.13	USD	2,826.78	
18SEP17	B	1	PD18DEC17	11190.00	11656.13	USD	2,796.78	
18SEP17	B	2	PD18DEC17	11225.00	11656.13	USD	5,173.56	

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18SEP17	S	2	PD18DEC17	11215.00	11656.13	USD	-5,293.56	
	NET	0 AVG BGHT 11188.00	SOLD 11177.00				-330.00	0.00
19SEP17	B	4	PD19DEC17	11040.00	11656.81	USD	14,803.44	
19SEP17	S	4	PD19DEC17	11040.00	11656.81	USD	-14,803.44	
19SEP17	B	1	PD19DEC17	10955.00	11656.81	USD	4,210.86	
19SEP17	B	1	PD19DEC17	10970.00	11656.81	USD	4,120.86	
19SEP17	B	2	PD19DEC17	10990.00	11656.81	USD	8,001.72	
19SEP17	B	2	PD19DEC17	10960.00	11656.81	USD	8,361.72	
19SEP17	S	4	PD19DEC17	11020.00	11656.81	USD	-15,283.44	
19SEP17	S	1	PD19DEC17	11015.00	11656.81	USD	-3,850.86	
19SEP17	S	1	PD19DEC17	10960.00	11656.81	USD	-4,180.86	
19SEP17	B	1	PD19DEC17	11190.00	11656.81	USD	2,800.86	
19SEP17	B	1	PD19DEC17	11200.00	11656.81	USD	2,740.86	
19SEP17	S	1	PD19DEC17	11165.00	11656.81	USD	-2,950.86	
19SEP17	S	3	PD19DEC17	11185.00	11656.81	USD	-8,492.58	
19SEP17	B	2	PD19DEC17	11205.00	11656.81	USD	5,421.72	
	NET	0 AVG BGHT 11056.07	SOLD 11066.79				900.00	0.00
20SEP17	B	2	PD20DEC17	11280.00	11657.50	USD	4,530.00	
20SEP17	B	1	PD20DEC17	11310.00	11657.50	USD	2,085.00	
20SEP17	S	1	PD20DEC17	11270.00	11657.50	USD	-2,325.00	
20SEP17	S	1	PD20DEC17	11280.00	11657.50	USD	-2,265.00	
20SEP17	S	3	PD20DEC17	11285.00	11657.50	USD	-6,705.00	
20SEP17	S	1	PD20DEC17	11295.00	11657.50	USD	-2,175.00	
20SEP17	S	2	PD20DEC17	11345.00	11657.50	USD	-3,750.00	
20SEP17	B	1	PD20DEC17	11355.00	11657.50	USD	1,815.00	
20SEP17	S	1	PD20DEC17	11380.00	11657.50	USD	-1,665.00	
20SEP17	B	1	PD20DEC17	11330.00	11657.50	USD	1,965.00	
20SEP17	S	2	PD20DEC17	11355.00	11657.50	USD	-3,630.00	
20SEP17	B	3	PD20DEC17	11325.00	11657.50	USD	5,985.00	
20SEP17	B	1	PD20DEC17	11405.00	11657.50	USD	1,515.00	
20SEP17	S	1	PD20DEC17	11390.00	11657.50	USD	-1,605.00	
20SEP17	B	3	PD20DEC17	11295.00	11657.50	USD	6,525.00	
	NET	0 AVG BGHT 11318.33	SOLD 11322.50				300.00	0.00
21SEP17	B	1	PD21DEC17	11155.00	11658.26	USD	3,019.56	
21SEP17	B	1	PD21DEC17	11170.00	11658.26	USD	2,929.56	
21SEP17	B	3	PD21DEC17	11090.00	11658.26	USD	10,228.68	
21SEP17	B	1	PD21DEC17	11140.00	11658.26	USD	3,109.56	
21SEP17	B	2	PD21DEC17	11160.00	11658.26	USD	5,979.12	
21SEP17	S	1	PD21DEC17	11085.00	11658.26	USD	-3,439.56	
21SEP17	S	2	PD21DEC17	11090.00	11658.26	USD	-6,819.12	
21SEP17	S	1	PD21DEC17	11100.00	11658.26	USD	-3,349.56	
21SEP17	S	3	PD21DEC17	11150.00	11658.26	USD	-9,148.68	
21SEP17	S	1	PD21DEC17	11155.00	11658.26	USD	-3,019.56	
21SEP17	B	1	PD21DEC17	11060.00	11658.26	USD	3,589.56	
21SEP17	S	1	PD21DEC17	11040.00	11658.26	USD	-3,709.56	
21SEP17	B	3	PD21DEC17	11020.00	11658.26	USD	11,488.68	
21SEP17	S	1	PD21DEC17	10980.00	11658.26	USD	-4,069.56	
21SEP17	S	2	PD21DEC17	11005.00	11658.26	USD	-7,839.12	
21SEP17	B	1	PD21DEC17	10650.00	11658.26	USD	6,049.56	
12OCT17	S	1	PD21DEC17	11379.70	11658.26	USD	-1,671.36	
	NET	0 AVG BGHT 11063.46	SOLD 11106.13				3,328.20	0.00
22SEP17	B	2	PD22DEC17	10745.00	11659.02	USD	10,968.24	
22SEP17	B	1	PD22DEC17	10705.00	11659.02	USD	5,724.12	
22SEP17	S	1	PD22DEC17	10695.00	11659.02	USD	-5,784.12	
22SEP17	B	1	PD22DEC17	10555.00	11659.02	USD	6,624.12	
22SEP17	B	1	PD22DEC17	10560.00	11659.02	USD	6,594.12	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP096AD

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
22SEP17	B	1	PD22DEC17	10570.00	11659.02	USD	6,534.12	
22SEP17	S	5	PD22DEC17	10550.00	11659.02	USD	-33,270.60	
22SEP17	B	3	PD22DEC17	10510.00	11659.02	USD	20,682.36	
22SEP17	S	3	PD22DEC17	10515.00	11659.02	USD	-20,592.36	
22SEP17	S	1	PD22DEC17	10610.00	11659.02	USD	-6,294.12	
22SEP17	B	1	PD22DEC17	10620.00	11659.02	USD	6,234.12	
22SEP17	B	2	PD22DEC17	10630.00	11659.02	USD	12,348.24	
22SEP17	S	1	PD22DEC17	10630.00	11659.02	USD	-6,174.12	
22SEP17	S	2	PD22DEC17	10615.00	11659.02	USD	-12,528.24	
22SEP17	S	1	PD22DEC17	10635.00	11659.02	USD	-6,144.12	
22SEP17	S	1	PD22DEC17	10605.00	11659.02	USD	-6,324.12	
22SEP17	B	3	PD22DEC17	10550.00	11659.02	USD	19,962.36	
22SEP17	S	1	PD22DEC17	10535.00	11659.02	USD	-6,744.12	
22SEP17	B	1	PD22DEC17	10500.00	11659.02	USD	6,954.12	
22SEP17	S	2	PD22DEC17	10495.00	11659.02	USD	-13,968.24	
22SEP17	B	1	PD22DEC17	10490.00	11659.02	USD	7,014.12	
12OCT17	B	1	PD22DEC17	11381.39	11659.02	USD	1,665.78	
	NET	0 AVG BGHT 10628.41	SOLD 10568.06				-6,518.34	0.00
25SEP17	S	1	PD27DEC17	10550.00	11662.83	USD	-6,676.98	
25SEP17	B	1	PD27DEC17	10500.00	11662.83	USD	6,976.98	
25SEP17	S	2	PD27DEC17	10495.00	11662.83	USD	-14,013.96	
25SEP17	B	1	PD27DEC17	10520.00	11662.83	USD	6,856.98	
25SEP17	S	2	PD27DEC17	10535.00	11662.83	USD	-13,533.96	
25SEP17	B	2	PD27DEC17	10505.00	11662.83	USD	13,893.96	
25SEP17	B	1	PD27DEC17	10480.00	11662.83	USD	7,096.98	
26SEP17	B	1	PD27DEC17	10485.00	11662.83	USD	7,066.98	
26SEP17	B	1	PD27DEC17	10490.00	11662.83	USD	7,036.98	
26SEP17	B	1	PD27DEC17	10495.00	11662.83	USD	7,006.98	
26SEP17	S	3	PD27DEC17	10500.00	11662.83	USD	-20,930.94	
27SEP17	B	1	PD27DEC17	10255.00	11662.83	USD	8,446.98	
27SEP17	B	1	PD27DEC17	10360.00	11662.83	USD	7,816.98	
27SEP17	B	1	PD27DEC17	10395.00	11662.83	USD	7,606.98	
27SEP17	B	1	PD27DEC17	10400.00	11662.83	USD	7,576.98	
27SEP17	S	2	PD27DEC17	10390.00	11662.83	USD	-15,273.96	
27SEP17	S	1	PD27DEC17	10395.00	11662.83	USD	-7,606.98	
27SEP17	S	1	PD27DEC17	10225.00	11662.83	USD	-8,626.98	
	NET	0 AVG BGHT 10449.17	SOLD 10459.17				720.00	0.00
28SEP17	B	2	PD28DEC17	10490.00	11663.59	USD	14,083.08	
28SEP17	S	2	PD28DEC17	10485.00	11663.59	USD	-14,143.08	
	NET	0 AVG BGHT 10490.00	SOLD 10485.00				-60.00	0.00
29SEP17	B	1	PD29DEC17	10675.00	11664.35	USD	5,936.10	
29SEP17	B	1	PD29DEC17	10685.00	11664.35	USD	5,876.10	
29SEP17	S	1	PD29DEC17	10645.00	11664.35	USD	-6,116.10	
29SEP17	S	3	PD29DEC17	10700.00	11664.35	USD	-17,358.30	
29SEP17	S	3	PD29DEC17	10690.00	11664.35	USD	-17,538.30	
29SEP17	B	1	PD29DEC17	10660.00	11664.35	USD	6,026.10	
29SEP17	B	3	PD29DEC17	10635.00	11664.35	USD	18,528.30	
29SEP17	B	2	PD29DEC17	10645.00	11664.35	USD	12,232.20	
29SEP17	S	3	PD29DEC17	10630.00	11664.35	USD	-18,618.30	
29SEP17	B	1	PD29DEC17	10655.00	11664.35	USD	6,056.10	
29SEP17	B	1	PD29DEC17	10670.00	11664.35	USD	5,966.10	
	NET	0 AVG BGHT 10654.00	SOLD 10670.50				990.00	0.00
04OCT17	B	1	PD04JAN18	10675.00	11668.91	USD	5,963.46	
04OCT17	S	1	PD04JAN18	10675.00	11668.91	USD	-5,963.46	
04OCT17	B	3	PD04JAN18	10645.00	11668.91	USD	18,430.38	
04OCT17	S	2	PD04JAN18	10650.00	11668.91	USD	-12,226.92	

OPEN POSITION STATEMENT



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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
04OCT17	B	2	PD04JAN18	10650.00	11668.91	USD	12,226.92	
04OCT17	B	3	PD04JAN18	10695.00	11668.91	USD	17,530.38	
04OCT17	B	2	PD04JAN18	10700.00	11668.91	USD	11,626.92	
04OCT17	S	5	PD04JAN18	10695.00	11668.91	USD	-29,217.30	
04OCT17	S	1	PD04JAN18	10735.00	11668.91	USD	-5,603.46	
04OCT17	S	2	PD04JAN18	10795.00	11668.91	USD	-10,486.92	
04OCT17	S	3	PD04JAN18	10800.00	11668.91	USD	-15,640.38	
04OCT17	B	1	PD04JAN18	10805.00	11668.91	USD	5,183.46	
04OCT17	B	4	PD04JAN18	10800.00	11668.91	USD	20,853.84	
04OCT17	B	2	PD04JAN18	10725.00	11668.91	USD	11,326.92	
04OCT17	S	4	PD04JAN18	10720.00	11668.91	USD	-22,773.84	
04OCT17	B	2	PD04JAN18	10605.00	11668.91	USD	12,766.92	
04OCT17	S	2	PD04JAN18	10595.00	11668.91	USD	-12,886.92	
	NET	0 AVG BGHT 10703.00	SOLD 10712.25				1,110.00	0.00
05OCT17	B	3	PD05JAN18	10625.00	11669.67	USD	18,804.06	
05OCT17	S	2	PD05JAN18	10615.00	11669.67	USD	-12,656.04	
05OCT17	B	2	PD05JAN18	10565.00	11669.67	USD	13,256.04	
05OCT17	S	2	PD05JAN18	10570.00	11669.67	USD	-13,196.04	
05OCT17	S	1	PD05JAN18	10590.00	11669.67	USD	-6,478.02	
05OCT17	B	1	PD05JAN18	10595.00	11669.67	USD	6,448.02	
05OCT17	S	1	PD05JAN18	10620.00	11669.67	USD	-6,298.02	
05OCT17	B	3	PD05JAN18	10685.00	11669.67	USD	17,724.06	
05OCT17	S	2	PD05JAN18	10680.00	11669.67	USD	-11,876.04	
05OCT17	S	1	PD05JAN18	10685.00	11669.67	USD	-5,908.02	
05OCT17	B	1	PD05JAN18	10525.00	11669.67	USD	6,868.02	
05OCT17	S	1	PD05JAN18	10565.00	11669.67	USD	-6,628.02	
06OCT17	B	1	PD05JAN18	10605.00	11669.67	USD	6,388.02	
06OCT17	S	2	PD05JAN18	10595.00	11669.67	USD	-12,896.04	
06OCT17	B	1	PD05JAN18	10620.00	11669.67	USD	6,298.02	
	NET	0 AVG BGHT 10617.08	SOLD 10615.00				-150.00	0.00
09OCT17	S	1	PD09JAN18	10760.00	11672.72	USD	-5,476.32	
09OCT17	B	1	PD09JAN18	10775.00	11672.72	USD	5,386.32	
09OCT17	B	1	PD09JAN18	10580.00	11672.72	USD	6,556.32	
09OCT17	B	1	PD09JAN18	10740.00	11672.72	USD	5,596.32	
09OCT17	B	1	PD09JAN18	10615.00	11672.72	USD	6,346.32	
09OCT17	B	1	PD09JAN18	10715.00	11672.72	USD	5,746.32	
09OCT17	S	3	PD09JAN18	10695.00	11672.72	USD	-17,598.96	
09OCT17	S	1	PD09JAN18	10735.00	11672.72	USD	-5,626.32	
09OCT17	S	3	PD09JAN18	10790.00	11672.72	USD	-15,888.96	
09OCT17	B	1	PD09JAN18	10735.00	11672.72	USD	5,626.32	
09OCT17	S	2	PD09JAN18	10585.00	11672.72	USD	-13,052.64	
09OCT17	B	1	PD09JAN18	10785.00	11672.72	USD	5,326.32	
09OCT17	B	2	PD09JAN18	10800.00	11672.72	USD	10,472.64	
09OCT17	B	1	PD09JAN18	10720.00	11672.72	USD	5,716.32	
09OCT17	S	2	PD09JAN18	10785.00	11672.72	USD	-10,652.64	
09OCT17	S	2	PD09JAN18	10805.00	11672.72	USD	-10,412.64	
09OCT17	S	1	PD09JAN18	10815.00	11672.72	USD	-5,146.32	
09OCT17	B	3	PD09JAN18	10830.00	11672.72	USD	15,168.96	
09OCT17	S	3	PD09JAN18	10840.00	11672.72	USD	-14,988.96	
09OCT17	B	2	PD09JAN18	10860.00	11672.72	USD	9,752.64	
09OCT17	S	2	PD09JAN18	10855.00	11672.72	USD	-9,812.64	
09OCT17	S	2	PD09JAN18	10765.00	11672.72	USD	-10,892.64	
09OCT17	B	2	PD09JAN18	10710.00	11672.72	USD	11,552.64	
09OCT17	B	4	PD09JAN18	10790.00	11672.72	USD	21,185.28	
09OCT17	S	2	PD09JAN18	10795.00	11672.72	USD	-10,532.64	
09OCT17	B	2	PD09JAN18	10855.00	11672.72	USD	9,812.64	
09OCT17	B	1	PD09JAN18	10905.00	11672.72	USD	4,606.32	

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09OCT17	S	2	PD09JAN18	10890.00	11672.72	USD	-9,392.64	
09OCT17	S	2	PD09JAN18	10895.00	11672.72	USD	-9,332.64	
09OCT17	S	3	PD09JAN18	10900.00	11672.72	USD	-13,908.96	
09OCT17	B	6	PD09JAN18	10900.00	11672.72	USD	27,817.92	
09OCT17	B	1	PD09JAN18	10915.00	11672.72	USD	4,546.32	
	NET	0AVG BGHT 10805.97	SOLD 10797.90				-1,500.00	0.00
11OCT17	B	1	PD11JAN18	11010.00	11674.24	USD	3,985.44	
11OCT17	B	1	PD11JAN18	11165.00	11674.24	USD	3,055.44	
11OCT17	S	1	PD11JAN18	11000.00	11674.24	USD	-4,045.44	
11OCT17	S	2	PD11JAN18	11160.00	11674.24	USD	-6,170.88	
11OCT17	B	1	PD11JAN18	11110.00	11674.24	USD	3,385.44	
11OCT17	B	1	PD11JAN18	11115.00	11674.24	USD	3,355.44	
11OCT17	S	1	PD11JAN18	11145.00	11674.24	USD	-3,175.44	
	NET	0AVG BGHT 11100.00	SOLD 11116.25				390.00	0.00
13OCT17	S	2	PD12JAN18	11650.00	11675.00	USD	-300.00	
13OCT17	B	1	PD12JAN18	11655.00	11675.00	USD	120.00	
13OCT17	B	1	PD12JAN18	11675.00	11675.00	USD	0.00	
13OCT17	B	1	PD12JAN18	11605.00	11675.00	USD	420.00	
13OCT17	S	1	PD12JAN18	11590.00	11675.00	USD	-510.00	
	NET	0AVG BGHT 11645.00	SOLD 11630.00				-270.00	0.00
	<u>LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
17JUL17	S	1	PD17OCT17	20005.00	20735.00	USD	-3,650.00	
17JUL17	B	1	PD17OCT17	19955.00	20735.00	USD	3,900.00	
17JUL17	B	5	PD17OCT17	20000.00	20735.00	USD	18,375.00	
17JUL17	B	2	PD17OCT17	20050.00	20735.00	USD	6,850.00	
17JUL17	S	1	PD17OCT17	20065.00	20735.00	USD	-3,350.00	
17JUL17	S	1	PD17OCT17	20070.00	20735.00	USD	-3,325.00	
17JUL17	B	2	PD17OCT17	19925.00	20735.00	USD	8,100.00	
17JUL17	B	1	PD17OCT17	19950.00	20735.00	USD	3,925.00	
17JUL17	B	1	PD17OCT17	19995.00	20735.00	USD	3,700.00	
17JUL17	S	1	PD17OCT17	20010.00	20735.00	USD	-3,625.00	
17JUL17	S	1	PD17OCT17	19970.00	20735.00	USD	-3,825.00	
17JUL17	S	6	PD17OCT17	19995.00	20735.00	USD	-22,200.00	
17JUL17	S	2	PD17OCT17	19985.00	20735.00	USD	-7,500.00	
17JUL17	B	3	PD17OCT17	19975.00	20735.00	USD	11,400.00	
17JUL17	B	1	PD17OCT17	19910.00	20735.00	USD	4,125.00	
17JUL17	B	2	PD17OCT17	19945.00	20735.00	USD	7,900.00	
17JUL17	S	1	PD17OCT17	19900.00	20735.00	USD	-4,175.00	
17JUL17	S	1	PD17OCT17	19880.00	20735.00	USD	-4,275.00	
17JUL17	S	4	PD17OCT17	19910.00	20735.00	USD	-16,500.00	
20JUL17	B	1	PD17OCT17	20091.58	20735.00	USD	3,217.10	
	NET	0AVG BGHT 19982.45	SOLD 19972.63				-932.90	0.00
18JUL17	B	3	PD18OCT17	19920.00	20735.00	USD	12,225.00	
18JUL17	S	1	PD18OCT17	19915.00	20735.00	USD	-4,100.00	
18JUL17	S	2	PD18OCT17	19925.00	20735.00	USD	-8,100.00	
18JUL17	B	1	PD18OCT17	19910.00	20735.00	USD	4,125.00	
18JUL17	S	1	PD18OCT17	19950.00	20735.00	USD	-3,925.00	
18JUL17	S	1	PD18OCT17	19960.00	20735.00	USD	-3,875.00	
18JUL17	B	1	PD18OCT17	19950.00	20735.00	USD	3,925.00	
18JUL17	S	2	PD18OCT17	19995.00	20735.00	USD	-7,400.00	
18JUL17	B	1	PD18OCT17	19970.00	20735.00	USD	3,825.00	
18JUL17	B	1	PD18OCT17	19980.00	20735.00	USD	3,775.00	
18JUL17	B	1	PD18OCT17	20000.00	20735.00	USD	3,675.00	
18JUL17	B	1	PD18OCT17	20050.00	20735.00	USD	3,425.00	
18JUL17	S	4	PD18OCT17	20040.00	20735.00	USD	-13,900.00	

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18JUL17	B	1	PD180CT17	20045.00	20735.00	USD	3,450.00	
18JUL17	S	3	PD180CT17	20050.00	20735.00	USD	-10,275.00	
18JUL17	B	2	PD180CT17	20100.00	20735.00	USD	6,350.00	
18JUL17	B	1	PD180CT17	20030.00	20735.00	USD	3,525.00	
18JUL17	S	2	PD180CT17	20045.00	20735.00	USD	-6,900.00	
18JUL17	B	3	PD180CT17	20010.00	20735.00	USD	10,875.00	
18JUL17	B	1	PD180CT17	20005.00	20735.00	USD	3,650.00	
20JUL17	S	1	PD180CT17	20089.00	20735.00	USD	-3,230.00	
	NET	0AVG	BGHT 19995.88	SOLD 20009.06			1,120.00	0.00
19JUL17	B	2	PD190CT17	20060.00	20732.50	USD	6,725.00	
19JUL17	B	2	PD190CT17	20100.00	20732.50	USD	6,325.00	
19JUL17	B	2	PD190CT17	20070.00	20732.50	USD	6,625.00	
19JUL17	S	1	PD190CT17	20070.00	20732.50	USD	-3,312.50	
19JUL17	S	1	PD190CT17	20055.00	20732.50	USD	-3,387.50	
19JUL17	S	1	PD190CT17	20050.00	20732.50	USD	-3,412.50	
19JUL17	B	7	PD190CT17	20055.00	20732.50	USD	23,712.50	
19JUL17	S	2	PD190CT17	20040.00	20732.50	USD	-6,925.00	
19JUL17	S	1	PD190CT17	20100.00	20732.50	USD	-3,162.50	
19JUL17	B	2	PD190CT17	20115.00	20732.50	USD	6,175.00	
19JUL17	S	2	PD190CT17	20110.00	20732.50	USD	-6,225.00	
19JUL17	S	1	PD190CT17	20130.00	20732.50	USD	-3,012.50	
19JUL17	B	1	PD190CT17	20125.00	20732.50	USD	3,037.50	
19JUL17	S	2	PD190CT17	20075.00	20732.50	USD	-6,575.00	
19JUL17	B	1	PD190CT17	20045.00	20732.50	USD	3,437.50	
19JUL17	B	1	PD190CT17	20075.00	20732.50	USD	3,287.50	
19JUL17	B	1	PD190CT17	20095.00	20732.50	USD	3,187.50	
19JUL17	S	2	PD190CT17	20145.00	20732.50	USD	-5,875.00	
19JUL17	B	1	PD190CT17	20090.00	20732.50	USD	3,212.50	
19JUL17	B	2	PD190CT17	20135.00	20732.50	USD	5,975.00	
19JUL17	S	1	PD190CT17	20085.00	20732.50	USD	-3,237.50	
19JUL17	S	5	PD190CT17	20095.00	20732.50	USD	-15,937.50	
19JUL17	S	2	PD190CT17	20115.00	20732.50	USD	-6,175.00	
19JUL17	S	6	PD190CT17	20105.00	20732.50	USD	-18,825.00	
06OCT17	B	5	PD190CT17	20656.50	20732.50	USD	1,900.00	
	NET	0AVG	BGHT 20187.31	SOLD 20095.00			-12,462.50	0.00
20JUL17	B	1	PD200CT17	20105.00	20730.00	USD	3,125.00	
20JUL17	B	1	PD200CT17	20115.00	20730.00	USD	3,075.00	
20JUL17	S	1	PD200CT17	20100.00	20730.00	USD	-3,150.00	
20JUL17	S	1	PD200CT17	20125.00	20730.00	USD	-3,025.00	
20JUL17	S	7	PD200CT17	20095.00	20730.00	USD	-22,225.00	
20JUL17	B	6	PD200CT17	20100.00	20730.00	USD	18,900.00	
20JUL17	S	1	PD200CT17	20110.00	20730.00	USD	-3,100.00	
20JUL17	B	7	PD200CT17	20110.00	20730.00	USD	21,700.00	
21JUL17	S	1	PD200CT17	20080.00	20730.00	USD	-3,250.00	
21JUL17	S	1	PD200CT17	20090.00	20730.00	USD	-3,200.00	
21JUL17	S	1	PD200CT17	20115.00	20730.00	USD	-3,075.00	
21JUL17	B	1	PD200CT17	20110.00	20730.00	USD	3,100.00	
21JUL17	B	1	PD200CT17	20115.00	20730.00	USD	3,075.00	
21JUL17	B	1	PD200CT17	20100.00	20730.00	USD	3,150.00	
21JUL17	B	1	PD200CT17	20160.00	20730.00	USD	2,850.00	
21JUL17	S	1	PD200CT17	20150.00	20730.00	USD	-2,900.00	
21JUL17	S	2	PD200CT17	20170.00	20730.00	USD	-5,600.00	
21JUL17	B	1	PD200CT17	20135.00	20730.00	USD	2,975.00	
21JUL17	S	1	PD200CT17	20160.00	20730.00	USD	-2,850.00	
21JUL17	S	3	PD200CT17	20130.00	20730.00	USD	-9,000.00	
21JUL17	S	2	PD200CT17	20140.00	20730.00	USD	-5,900.00	
21JUL17	B	3	PD200CT17	20155.00	20730.00	USD	8,625.00	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP096AD

13th October 2017

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backoffice@sapiencap.com

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
21JUL17	B	4	PD200CT17	20150.00	20730.00	USD	11,600.00	
21JUL17	B	1	PD200CT17	20190.00	20730.00	USD	2,700.00	
21JUL17	B	2	PD200CT17	20200.00	20730.00	USD	5,300.00	
21JUL17	S	3	PD200CT17	20180.00	20730.00	USD	-8,250.00	
21JUL17	S	1	PD200CT17	20210.00	20730.00	USD	-2,600.00	
21JUL17	B	3	PD200CT17	20215.00	20730.00	USD	7,725.00	
21JUL17	S	2	PD200CT17	20215.00	20730.00	USD	-5,150.00	
06OCT17	S	5	PD200CT17	20652.00	20730.00	USD	-1,950.00	
	NET	0AVG BGHT 20136.67	SOLD 20213.48				12,675.00	0.00
24JUL17	B	1	PD240CT17	20260.00	20725.00	USD	2,325.00	
24JUL17	B	1	PD240CT17	20255.00	20725.00	USD	2,350.00	
24JUL17	S	1	PD240CT17	20250.00	20725.00	USD	-2,375.00	
24JUL17	B	1	PD240CT17	20170.00	20725.00	USD	2,775.00	
24JUL17	B	2	PD240CT17	20175.00	20725.00	USD	5,500.00	
24JUL17	S	2	PD240CT17	20150.00	20725.00	USD	-5,750.00	
24JUL17	S	3	PD240CT17	20125.00	20725.00	USD	-9,000.00	
24JUL17	S	2	PD240CT17	20135.00	20725.00	USD	-5,900.00	
24JUL17	B	5	PD240CT17	20155.00	20725.00	USD	14,250.00	
24JUL17	B	2	PD240CT17	20160.00	20725.00	USD	5,650.00	
24JUL17	B	2	PD240CT17	20200.00	20725.00	USD	5,250.00	
24JUL17	S	2	PD240CT17	20180.00	20725.00	USD	-5,450.00	
24JUL17	S	3	PD240CT17	20130.00	20725.00	USD	-8,925.00	
24JUL17	B	1	PD240CT17	20180.00	20725.00	USD	2,725.00	
24JUL17	S	1	PD240CT17	20140.00	20725.00	USD	-2,925.00	
24JUL17	B	2	PD240CT17	20245.00	20725.00	USD	4,800.00	
24JUL17	B	8	PD240CT17	20130.00	20725.00	USD	23,800.00	
24JUL17	S	3	PD240CT17	20160.00	20725.00	USD	-8,475.00	
24JUL17	S	9	PD240CT17	20220.00	20725.00	USD	-22,725.00	
24JUL17	B	1	PD240CT17	20125.00	20725.00	USD	3,000.00	
	NET	0AVG BGHT 20167.88	SOLD 20174.81				900.00	0.00
25JUL17	B	8	PD250CT17	20200.00	20722.50	USD	20,900.00	
25JUL17	S	1	PD250CT17	20225.00	20722.50	USD	-2,487.50	
25JUL17	S	4	PD250CT17	20255.00	20722.50	USD	-9,350.00	
25JUL17	S	1	PD250CT17	20245.00	20722.50	USD	-2,387.50	
25JUL17	B	1	PD250CT17	20230.00	20722.50	USD	2,462.50	
25JUL17	S	3	PD250CT17	20200.00	20722.50	USD	-7,837.50	
25JUL17	B	4	PD250CT17	20300.00	20722.50	USD	8,450.00	
25JUL17	S	1	PD250CT17	20325.00	20722.50	USD	-1,987.50	
25JUL17	S	4	PD250CT17	20300.00	20722.50	USD	-8,450.00	
25JUL17	S	5	PD250CT17	20320.00	20722.50	USD	-10,062.50	
25JUL17	B	1	PD250CT17	20325.00	20722.50	USD	1,987.50	
25JUL17	B	6	PD250CT17	20330.00	20722.50	USD	11,775.00	
25JUL17	B	1	PD250CT17	20295.00	20722.50	USD	2,137.50	
25JUL17	B	1	PD250CT17	20335.00	20722.50	USD	1,937.50	
25JUL17	S	4	PD250CT17	20330.00	20722.50	USD	-7,850.00	
25JUL17	S	1	PD250CT17	20335.00	20722.50	USD	-1,937.50	
25JUL17	S	3	PD250CT17	20350.00	20722.50	USD	-5,587.50	
25JUL17	B	1	PD250CT17	20350.00	20722.50	USD	1,862.50	
06OCT17	B	4	PD250CT17	20642.50	20722.50	USD	1,600.00	
	NET	0AVG BGHT 20329.07	SOLD 20293.33				-4,825.00	0.00
26JUL17	B	1	PD260CT17	20320.00	20720.00	USD	2,000.00	
26JUL17	S	2	PD260CT17	20275.00	20720.00	USD	-4,450.00	
26JUL17	B	2	PD260CT17	20325.00	20720.00	USD	3,950.00	
26JUL17	B	5	PD260CT17	20350.00	20720.00	USD	9,250.00	
26JUL17	S	1	PD260CT17	20330.00	20720.00	USD	-1,950.00	
26JUL17	S	1	PD260CT17	20370.00	20720.00	USD	-1,750.00	

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	<u>LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
26JUL17	S	8	PD26OCT17	20360.00	20720.00	USD	-14,400.00	
26JUL17	B	4	PD26OCT17	20310.00	20720.00	USD	8,200.00	
26JUL17	S	1	PD26OCT17	20255.00	20720.00	USD	-2,325.00	
26JUL17	B	2	PD26OCT17	20370.00	20720.00	USD	3,500.00	
26JUL17	B	1	PD26OCT17	20365.00	20720.00	USD	1,775.00	
26JUL17	B	2	PD26OCT17	20375.00	20720.00	USD	3,450.00	
06OCT17	S	4	PD26OCT17	20638.00	20720.00	USD	-1,640.00	
	NET	0 AVG	BGHT 20342.06				5,610.00	0.00
			SOLD 20408.06					
27JUL17	S	1	PD27OCT17	20480.00	20717.50	USD	-1,187.50	
27JUL17	B	2	PD27OCT17	20550.00	20717.50	USD	1,675.00	
27JUL17	S	1	PD27OCT17	20535.00	20717.50	USD	-912.50	
27JUL17	B	3	PD27OCT17	20500.00	20717.50	USD	3,262.50	
27JUL17	S	1	PD27OCT17	20450.00	20717.50	USD	-1,337.50	
27JUL17	S	2	PD27OCT17	20490.00	20717.50	USD	-2,275.00	
27JUL17	S	1	PD27OCT17	20500.00	20717.50	USD	-1,087.50	
27JUL17	S	1	PD27OCT17	20530.00	20717.50	USD	-937.50	
27JUL17	B	1	PD27OCT17	20570.00	20717.50	USD	737.50	
27JUL17	B	4	PD27OCT17	20600.00	20717.50	USD	2,350.00	
27JUL17	S	1	PD27OCT17	20540.00	20717.50	USD	-887.50	
27JUL17	S	1	PD27OCT17	20545.00	20717.50	USD	-862.50	
27JUL17	S	1	PD27OCT17	20580.00	20717.50	USD	-687.50	
27JUL17	S	4	PD27OCT17	20585.00	20717.50	USD	-2,650.00	
27JUL17	S	1	PD27OCT17	20590.00	20717.50	USD	-637.50	
27JUL17	B	2	PD27OCT17	20705.00	20717.50	USD	125.00	
27JUL17	S	2	PD27OCT17	20675.00	20717.50	USD	-425.00	
27JUL17	S	2	PD27OCT17	20730.00	20717.50	USD	125.00	
27JUL17	S	3	PD27OCT17	20665.00	20717.50	USD	-787.50	
27JUL17	S	3	PD27OCT17	20710.00	20717.50	USD	-112.50	
27JUL17	B	3	PD27OCT17	20560.00	20717.50	USD	2,362.50	
27JUL17	S	4	PD27OCT17	20670.00	20717.50	USD	-950.00	
27JUL17	B	1	PD27OCT17	20495.00	20717.50	USD	1,112.50	
27JUL17	B	1	PD27OCT17	20610.00	20717.50	USD	537.50	
27JUL17	B	10	PD27OCT17	20650.00	20717.50	USD	3,375.00	
27JUL17	B	1	PD27OCT17	20695.00	20717.50	USD	112.50	
27JUL17	B	1	PD27OCT17	20710.00	20717.50	USD	37.50	
27JUL17	S	1	PD27OCT17	20640.00	20717.50	USD	-387.50	
27JUL17	S	1	PD27OCT17	20735.00	20717.50	USD	87.50	
27JUL17	S	1	PD27OCT17	20740.00	20717.50	USD	112.50	
27JUL17	B	2	PD27OCT17	20760.00	20717.50	USD	-425.00	
27JUL17	B	1	PD27OCT17	20770.00	20717.50	USD	-262.50	
27JUL17	S	4	PD27OCT17	20750.00	20717.50	USD	650.00	
27JUL17	S	2	PD27OCT17	20765.00	20717.50	USD	475.00	
27JUL17	B	5	PD27OCT17	20670.00	20717.50	USD	1,187.50	
27JUL17	S	1	PD27OCT17	20700.00	20717.50	USD	-87.50	
27JUL17	B	1	PD27OCT17	20665.00	20717.50	USD	262.50	
27JUL17	B	2	PD27OCT17	20700.00	20717.50	USD	175.00	
27JUL17	B	1	PD27OCT17	20755.00	20717.50	USD	-187.50	
27JUL17	B	1	PD27OCT17	20765.00	20717.50	USD	-237.50	
27JUL17	S	1	PD27OCT17	20720.00	20717.50	USD	12.50	
27JUL17	S	2	PD27OCT17	20645.00	20717.50	USD	-725.00	
27JUL17	S	1	PD27OCT17	20650.00	20717.50	USD	-337.50	
27JUL17	B	2	PD27OCT17	20580.00	20717.50	USD	1,375.00	
27JUL17	B	1	PD27OCT17	20615.00	20717.50	USD	512.50	
27JUL17	S	2	PD27OCT17	20610.00	20717.50	USD	-1,075.00	
27JUL17	B	4	PD27OCT17	20640.00	20717.50	USD	1,550.00	
27JUL17	S	1	PD27OCT17	20630.00	20717.50	USD	-437.50	
27JUL17	S	3	PD27OCT17	20680.00	20717.50	USD	-562.50	

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27JUL17	S	7	PD27OCT17	20695.00	20717.50	USD	-787.50	
27JUL17	B	1	PD27OCT17	20635.00	20717.50	USD	412.50	
27JUL17	B	1	PD27OCT17	20655.00	20717.50	USD	312.50	
27JUL17	S	1	PD27OCT17	20615.00	20717.50	USD	-512.50	
27JUL17	B	1	PD27OCT17	20680.00	20717.50	USD	187.50	
28JUL17	B	2	PD27OCT17	20585.00	20717.50	USD	1,325.00	
28JUL17	B	1	PD27OCT17	20630.00	20717.50	USD	437.50	
28JUL17	B	1	PD27OCT17	20690.00	20717.50	USD	137.50	
28JUL17	S	1	PD27OCT17	20740.00	20717.50	USD	112.50	
28JUL17	B	1	PD27OCT17	20565.00	20717.50	USD	762.50	
28JUL17	S	1	PD27OCT17	20550.00	20717.50	USD	-837.50	
28JUL17	S	2	PD27OCT17	20690.00	20717.50	USD	-275.00	
28JUL17	S	2	PD27OCT17	20705.00	20717.50	USD	-125.00	
28JUL17	S	1	PD27OCT17	20720.00	20717.50	USD	12.50	
28JUL17	B	4	PD27OCT17	20670.00	20717.50	USD	950.00	
28JUL17	B	2	PD27OCT17	20740.00	20717.50	USD	-225.00	
28JUL17	S	1	PD27OCT17	20750.00	20717.50	USD	162.50	
28JUL17	S	4	PD27OCT17	20710.00	20717.50	USD	-150.00	
28JUL17	B	2	PD27OCT17	20710.00	20717.50	USD	75.00	
28JUL17	B	1	PD27OCT17	20705.00	20717.50	USD	62.50	
28JUL17	S	2	PD27OCT17	20725.00	20717.50	USD	75.00	
28JUL17	S	2	PD27OCT17	20745.00	20717.50	USD	275.00	
28JUL17	B	4	PD27OCT17	20785.00	20717.50	USD	-1,350.00	
28JUL17	B	1	PD27OCT17	20810.00	20717.50	USD	-462.50	
28JUL17	B	1	PD27OCT17	20815.00	20717.50	USD	-487.50	
28JUL17	S	1	PD27OCT17	20800.00	20717.50	USD	412.50	
28JUL17	S	1	PD27OCT17	20810.00	20717.50	USD	462.50	
28JUL17	B	1	PD27OCT17	20820.00	20717.50	USD	-512.50	
28JUL17	S	2	PD27OCT17	20835.00	20717.50	USD	1,175.00	
28JUL17	S	2	PD27OCT17	20900.00	20717.50	USD	1,825.00	
28JUL17	B	1	PD27OCT17	20850.00	20717.50	USD	-662.50	
28JUL17	S	3	PD27OCT17	20840.00	20717.50	USD	1,837.50	
28JUL17	B	1	PD27OCT17	20765.00	20717.50	USD	-237.50	
28JUL17	B	1	PD27OCT17	20855.00	20717.50	USD	-687.50	
28JUL17	S	1	PD27OCT17	20805.00	20717.50	USD	437.50	
28JUL17	B	3	PD27OCT17	20780.00	20717.50	USD	-937.50	
28JUL17	S	1	PD27OCT17	20815.00	20717.50	USD	487.50	
28JUL17	B	1	PD27OCT17	20745.00	20717.50	USD	-137.50	
28JUL17	B	1	PD27OCT17	20750.00	20717.50	USD	-162.50	
28JUL17	B	4	PD27OCT17	20625.00	20717.50	USD	1,850.00	
28JUL17	B	2	PD27OCT17	20700.00	20717.50	USD	175.00	
28JUL17	B	3	PD27OCT17	20715.00	20717.50	USD	37.50	
28JUL17	B	3	PD27OCT17	20725.00	20717.50	USD	-112.50	
28JUL17	S	2	PD27OCT17	20660.00	20717.50	USD	-575.00	
28JUL17	B	1	PD27OCT17	20655.00	20717.50	USD	312.50	
28JUL17	S	1	PD27OCT17	20600.00	20717.50	USD	-587.50	
28JUL17	S	3	PD27OCT17	20645.00	20717.50	USD	-1,087.50	
28JUL17	S	1	PD27OCT17	20635.00	20717.50	USD	-412.50	
28JUL17	S	2	PD27OCT17	20650.00	20717.50	USD	-675.00	
28JUL17	S	1	PD27OCT17	20695.00	20717.50	USD	-112.50	
28JUL17	S	2	PD27OCT17	20775.00	20717.50	USD	575.00	
28JUL17	B	1	PD27OCT17	20660.00	20717.50	USD	287.50	
28JUL17	B	1	PD27OCT17	20675.00	20717.50	USD	212.50	
	NET	0	AVG BGHT 20673.33	SOLD 20683.80			5,025.00	0.00
02AUG17	B	1	PD02NOV17	20495.00	20707.50	USD	1,062.50	
02AUG17	B	2	PD02NOV17	20500.00	20707.50	USD	2,075.00	
02AUG17	S	2	PD02NOV17	20500.00	20707.50	USD	-2,075.00	

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02AUG17	S	2	PD02NOV17	20425.00	20707.50	USD	-2,825.00	
02AUG17	B	1	PD02NOV17	20385.00	20707.50	USD	1,612.50	
02AUG17	S	1	PD02NOV17	20385.00	20707.50	USD	-1,612.50	
02AUG17	B	1	PD02NOV17	20475.00	20707.50	USD	1,162.50	
02AUG17	S	1	PD02NOV17	20545.00	20707.50	USD	-812.50	
02AUG17	B	1	PD02NOV17	20535.00	20707.50	USD	862.50	
02AUG17	S	1	PD02NOV17	20530.00	20707.50	USD	-887.50	
02AUG17	S	1	PD02NOV17	20555.00	20707.50	USD	-762.50	
02AUG17	S	1	PD02NOV17	20560.00	20707.50	USD	-737.50	
02AUG17	S	1	PD02NOV17	20565.00	20707.50	USD	-712.50	
02AUG17	B	3	PD02NOV17	20570.00	20707.50	USD	2,062.50	
02AUG17	B	1	PD02NOV17	20580.00	20707.50	USD	637.50	
	NET	0 AVG BGHT 20518.00	SOLD 20499.00				-950.00	0.00
03AUG17	B	1	PD03NOV17	20595.00	20705.00	USD	550.00	
03AUG17	B	2	PD03NOV17	20565.00	20705.00	USD	1,400.00	
03AUG17	S	2	PD03NOV17	20610.00	20705.00	USD	-950.00	
03AUG17	B	2	PD03NOV17	20625.00	20705.00	USD	800.00	
03AUG17	S	2	PD03NOV17	20590.00	20705.00	USD	-1,150.00	
03AUG17	B	1	PD03NOV17	20645.00	20705.00	USD	300.00	
03AUG17	S	2	PD03NOV17	20595.00	20705.00	USD	-1,100.00	
03AUG17	B	1	PD03NOV17	20635.00	20705.00	USD	350.00	
03AUG17	B	1	PD03NOV17	20640.00	20705.00	USD	325.00	
03AUG17	S	1	PD03NOV17	20620.00	20705.00	USD	-425.00	
03AUG17	S	1	PD03NOV17	20600.00	20705.00	USD	-525.00	
04AUG17	S	1	PD03NOV17	20690.00	20705.00	USD	-75.00	
04AUG17	S	2	PD03NOV17	20715.00	20705.00	USD	100.00	
04AUG17	B	2	PD03NOV17	20800.00	20705.00	USD	-950.00	
04AUG17	S	2	PD03NOV17	20795.00	20705.00	USD	900.00	
04AUG17	S	4	PD03NOV17	20775.00	20705.00	USD	1,400.00	
04AUG17	S	1	PD03NOV17	20780.00	20705.00	USD	375.00	
04AUG17	B	5	PD03NOV17	20700.00	20705.00	USD	125.00	
04AUG17	S	1	PD03NOV17	20700.00	20705.00	USD	-25.00	
04AUG17	S	1	PD03NOV17	20650.00	20705.00	USD	-275.00	
04AUG17	B	1	PD03NOV17	20575.00	20705.00	USD	650.00	
04AUG17	B	1	PD03NOV17	20665.00	20705.00	USD	200.00	
04AUG17	B	1	PD03NOV17	20675.00	20705.00	USD	150.00	
04AUG17	B	2	PD03NOV17	20685.00	20705.00	USD	200.00	
04AUG17	B	1	PD03NOV17	20730.00	20705.00	USD	-125.00	
04AUG17	S	1	PD03NOV17	20590.00	20705.00	USD	-575.00	
04AUG17	B	1	PD03NOV17	20565.00	20705.00	USD	700.00	
04AUG17	S	1	PD03NOV17	20575.00	20705.00	USD	-650.00	
04AUG17	B	1	PD03NOV17	20540.00	20705.00	USD	825.00	
04AUG17	B	2	PD03NOV17	20545.00	20705.00	USD	1,600.00	
04AUG17	S	1	PD03NOV17	20560.00	20705.00	USD	-725.00	
04AUG17	S	2	PD03NOV17	20570.00	20705.00	USD	-1,350.00	
	NET	0 AVG BGHT 20648.20	SOLD 20664.60				2,050.00	0.00
07AUG17	S	1	PD07NOV17	20640.00	20700.00	USD	-300.00	
07AUG17	B	3	PD07NOV17	20650.00	20700.00	USD	750.00	
07AUG17	S	1	PD07NOV17	20585.00	20700.00	USD	-575.00	
07AUG17	B	1	PD07NOV17	20590.00	20700.00	USD	550.00	
07AUG17	S	3	PD07NOV17	20575.00	20700.00	USD	-1,875.00	
07AUG17	B	2	PD07NOV17	20580.00	20700.00	USD	1,200.00	
07AUG17	S	3	PD07NOV17	20655.00	20700.00	USD	-675.00	
07AUG17	S	2	PD07NOV17	20695.00	20700.00	USD	-50.00	
07AUG17	S	1	PD07NOV17	20675.00	20700.00	USD	-125.00	
07AUG17	S	1	PD07NOV17	20690.00	20700.00	USD	-50.00	
07AUG17	B	1	PD07NOV17	20670.00	20700.00	USD	150.00	

OPEN POSITION STATEMENT



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SAP096AD

13th October 2017

Sapien Capital Limited
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London W1J 7NJ UK
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backoffice@sapiencap.com

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
07AUG17	S	2	PD07NOV17	20650.00	20700.00	USD	-500.00	
07AUG17	S	1	PD07NOV17	20660.00	20700.00	USD	-200.00	
07AUG17	S	2	PD07NOV17	20620.00	20700.00	USD	-800.00	
07AUG17	B	2	PD07NOV17	20620.00	20700.00	USD	800.00	
07AUG17	B	1	PD07NOV17	20615.00	20700.00	USD	425.00	
07AUG17	B	1	PD07NOV17	20645.00	20700.00	USD	275.00	
07AUG17	B	1	PD07NOV17	20690.00	20700.00	USD	50.00	
07AUG17	S	1	PD07NOV17	20605.00	20700.00	USD	-475.00	
07AUG17	S	1	PD07NOV17	20645.00	20700.00	USD	-275.00	
07AUG17	B	4	PD07NOV17	20625.00	20700.00	USD	1,500.00	
07AUG17	B	3	PD07NOV17	20605.00	20700.00	USD	1,425.00	
	NET	0AVG BGHT 20625.00	SOLD 20637.89				1,225.00	0.00
08AUG17	S	1	PD08NOV17	20510.00	20697.50	USD	-937.50	
08AUG17	S	1	PD08NOV17	20395.00	20697.50	USD	-1,512.50	
08AUG17	S	1	PD08NOV17	20400.00	20697.50	USD	-1,487.50	
08AUG17	S	1	PD08NOV17	20455.00	20697.50	USD	-1,212.50	
08AUG17	S	1	PD08NOV17	20480.00	20697.50	USD	-1,087.50	
08AUG17	B	4	PD08NOV17	20470.00	20697.50	USD	4,550.00	
08AUG17	S	1	PD08NOV17	20490.00	20697.50	USD	-1,037.50	
08AUG17	B	2	PD08NOV17	20425.00	20697.50	USD	2,725.00	
08AUG17	S	1	PD08NOV17	20460.00	20697.50	USD	-1,187.50	
08AUG17	B	1	PD08NOV17	20430.00	20697.50	USD	1,337.50	
08AUG17	B	1	PD08NOV17	20435.00	20697.50	USD	1,312.50	
08AUG17	B	1	PD08NOV17	20440.00	20697.50	USD	1,287.50	
08AUG17	B	1	PD08NOV17	20415.00	20697.50	USD	1,412.50	
08AUG17	S	1	PD08NOV17	20365.00	20697.50	USD	-1,662.50	
08AUG17	B	1	PD08NOV17	20350.00	20697.50	USD	1,737.50	
08AUG17	B	3	PD08NOV17	20310.00	20697.50	USD	5,812.50	
08AUG17	B	2	PD08NOV17	20320.00	20697.50	USD	3,775.00	
08AUG17	B	3	PD08NOV17	20340.00	20697.50	USD	5,362.50	
08AUG17	B	3	PD08NOV17	20315.00	20697.50	USD	5,737.50	
08AUG17	S	4	PD08NOV17	20305.00	20697.50	USD	-7,850.00	
08AUG17	B	8	PD08NOV17	20335.00	20697.50	USD	14,500.00	
08AUG17	S	3	PD08NOV17	20330.00	20697.50	USD	-5,512.50	
08AUG17	S	2	PD08NOV17	20285.00	20697.50	USD	-4,125.00	
08AUG17	B	1	PD08NOV17	20235.00	20697.50	USD	2,312.50	
08AUG17	S	2	PD08NOV17	20260.00	20697.50	USD	-4,375.00	
08AUG17	S	1	PD08NOV17	20270.00	20697.50	USD	-2,137.50	
08AUG17	S	1	PD08NOV17	20275.00	20697.50	USD	-2,112.50	
08AUG17	S	4	PD08NOV17	20225.00	20697.50	USD	-9,450.00	
08AUG17	B	2	PD08NOV17	20155.00	20697.50	USD	5,425.00	
08AUG17	B	2	PD08NOV17	20220.00	20697.50	USD	4,775.00	
08AUG17	B	2	PD08NOV17	20250.00	20697.50	USD	4,475.00	
08AUG17	S	1	PD08NOV17	20250.00	20697.50	USD	-2,237.50	
08AUG17	B	2	PD08NOV17	20165.00	20697.50	USD	5,325.00	
08AUG17	B	2	PD08NOV17	20170.00	20697.50	USD	5,275.00	
08AUG17	B	1	PD08NOV17	20185.00	20697.50	USD	2,562.50	
08AUG17	B	4	PD08NOV17	20195.00	20697.50	USD	10,050.00	
08AUG17	B	3	PD08NOV17	20240.00	20697.50	USD	6,862.50	
08AUG17	B	2	PD08NOV17	20175.00	20697.50	USD	5,225.00	
08AUG17	S	8	PD08NOV17	20215.00	20697.50	USD	-19,300.00	
08AUG17	B	3	PD08NOV17	20205.00	20697.50	USD	7,387.50	
08AUG17	B	2	PD08NOV17	20225.00	20697.50	USD	4,725.00	
08AUG17	B	1	PD08NOV17	20230.00	20697.50	USD	2,337.50	
08AUG17	S	3	PD08NOV17	20230.00	20697.50	USD	-7,012.50	
08AUG17	S	5	PD08NOV17	20235.00	20697.50	USD	-11,562.50	
08AUG17	B	1	PD08NOV17	20210.00	20697.50	USD	2,437.50	

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	<u>LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>								
08AUG17	B	3	PD08NOV17		20215.00	20697.50	USD	7,237.50	
08AUG17	S	3	PD08NOV17		20350.00	20697.50	USD	-5,212.50	
08AUG17	S	3	PD08NOV17		20340.00	20697.50	USD	-5,362.50	
08AUG17	S	3	PD08NOV17		20310.00	20697.50	USD	-5,812.50	
08AUG17	S	7	PD08NOV17		20245.00	20697.50	USD	-15,837.50	
08AUG17	B	2	PD08NOV17		20245.00	20697.50	USD	4,525.00	
08AUG17	S	2	PD08NOV17		20220.00	20697.50	USD	-4,775.00	
08AUG17	B	1	PD08NOV17		20255.00	20697.50	USD	2,212.50	
08AUG17	S	1	PD08NOV17		20255.00	20697.50	USD	-2,212.50	
08AUG17	S	1	PD08NOV17		20325.00	20697.50	USD	-1,862.50	
08AUG17	S	1	PD08NOV17		20410.00	20697.50	USD	-1,437.50	
08AUG17	S	1	PD08NOV17		20430.00	20697.50	USD	-1,337.50	
	NET	0 AVG BGHT 20282.81	SOLD 20292.34					3,050.00	0.00
09AUG17	S	1	PD09NOV17		20370.00	20695.00	USD	-1,625.00	
09AUG17	B	1	PD09NOV17		20345.00	20695.00	USD	1,750.00	
09AUG17	B	1	PD09NOV17		20305.00	20695.00	USD	1,950.00	
09AUG17	B	1	PD09NOV17		20285.00	20695.00	USD	2,050.00	
09AUG17	B	2	PD09NOV17		20300.00	20695.00	USD	3,950.00	
09AUG17	S	1	PD09NOV17		20365.00	20695.00	USD	-1,650.00	
09AUG17	B	1	PD09NOV17		20340.00	20695.00	USD	1,775.00	
09AUG17	S	1	PD09NOV17		20310.00	20695.00	USD	-1,925.00	
09AUG17	S	1	PD09NOV17		20340.00	20695.00	USD	-1,775.00	
09AUG17	S	3	PD09NOV17		20355.00	20695.00	USD	-5,100.00	
09AUG17	S	1	PD09NOV17		20480.00	20695.00	USD	-1,075.00	
09AUG17	B	1	PD09NOV17		20435.00	20695.00	USD	1,300.00	
09AUG17	B	2	PD09NOV17		20330.00	20695.00	USD	3,650.00	
09AUG17	S	3	PD09NOV17		20295.00	20695.00	USD	-6,000.00	
09AUG17	B	1	PD09NOV17		20280.00	20695.00	USD	2,075.00	
09AUG17	B	1	PD09NOV17		20265.00	20695.00	USD	2,150.00	
09AUG17	S	1	PD09NOV17		20230.00	20695.00	USD	-2,325.00	
09AUG17	S	1	PD09NOV17		20240.00	20695.00	USD	-2,275.00	
09AUG17	S	2	PD09NOV17		20245.00	20695.00	USD	-4,500.00	
09AUG17	S	1	PD09NOV17		20255.00	20695.00	USD	-2,200.00	
09AUG17	B	4	PD09NOV17		20250.00	20695.00	USD	8,900.00	
09AUG17	B	2	PD09NOV17		20275.00	20695.00	USD	4,200.00	
09AUG17	S	1	PD09NOV17		20275.00	20695.00	USD	-2,100.00	
	NET	0 AVG BGHT 20297.94	SOLD 20312.06					1,200.00	0.00
10AUG17	B	1	PD10NOV17		20400.00	20692.50	USD	1,462.50	
10AUG17	S	1	PD10NOV17		20360.00	20692.50	USD	-1,662.50	
10AUG17	S	1	PD10NOV17		20370.00	20692.50	USD	-1,612.50	
10AUG17	S	1	PD10NOV17		20380.00	20692.50	USD	-1,562.50	
10AUG17	B	1	PD10NOV17		20300.00	20692.50	USD	1,962.50	
10AUG17	S	2	PD10NOV17		20310.00	20692.50	USD	-3,825.00	
10AUG17	S	2	PD10NOV17		20260.00	20692.50	USD	-4,325.00	
10AUG17	B	1	PD10NOV17		20285.00	20692.50	USD	2,037.50	
10AUG17	S	4	PD10NOV17		20300.00	20692.50	USD	-7,850.00	
10AUG17	B	1	PD10NOV17		20375.00	20692.50	USD	1,587.50	
10AUG17	S	1	PD10NOV17		20325.00	20692.50	USD	-1,837.50	
10AUG17	B	7	PD10NOV17		20320.00	20692.50	USD	13,037.50	
10AUG17	S	1	PD10NOV17		20315.00	20692.50	USD	-1,887.50	
10AUG17	B	4	PD10NOV17		20260.00	20692.50	USD	8,650.00	
10AUG17	B	2	PD10NOV17		20265.00	20692.50	USD	4,275.00	
10AUG17	S	5	PD10NOV17		20295.00	20692.50	USD	-9,937.50	
10AUG17	B	1	PD10NOV17		20340.00	20692.50	USD	1,762.50	
10AUG17	B	5	PD10NOV17		20450.00	20692.50	USD	6,062.50	
10AUG17	S	5	PD10NOV17		20440.00	20692.50	USD	-6,312.50	
10AUG17	B	1	PD10NOV17		20430.00	20692.50	USD	1,312.50	

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10AUG17	B	1	PD10NOV17	20445.00	20692.50	USD	1,237.50	
10AUG17	B	2	PD10NOV17	20355.00	20692.50	USD	3,375.00	
10AUG17	B	3	PD10NOV17	20325.00	20692.50	USD	5,512.50	
10AUG17	S	6	PD10NOV17	20290.00	20692.50	USD	-12,075.00	
10AUG17	S	1	PD10NOV17	20320.00	20692.50	USD	-1,862.50	
11AUG17	S	1	PD10NOV17	20225.00	20692.50	USD	-2,337.50	
11AUG17	S	4	PD10NOV17	20270.00	20692.50	USD	-8,450.00	
11AUG17	B	3	PD10NOV17	20225.00	20692.50	USD	7,012.50	
11AUG17	S	1	PD10NOV17	20230.00	20692.50	USD	-2,312.50	
11AUG17	S	1	PD10NOV17	20260.00	20692.50	USD	-2,162.50	
11AUG17	B	3	PD10NOV17	20255.00	20692.50	USD	6,562.50	
11AUG17	B	3	PD10NOV17	20270.00	20692.50	USD	6,337.50	
11AUG17	B	1	PD10NOV17	20275.00	20692.50	USD	2,087.50	
11AUG17	S	3	PD10NOV17	20245.00	20692.50	USD	-6,712.50	
11AUG17	B	1	PD10NOV17	20260.00	20692.50	USD	2,162.50	
11AUG17	B	4	PD10NOV17	20220.00	20692.50	USD	9,450.00	
11AUG17	S	4	PD10NOV17	20305.00	20692.50	USD	-7,750.00	
11AUG17	B	2	PD10NOV17	20295.00	20692.50	USD	3,975.00	
11AUG17	B	1	PD10NOV17	20205.00	20692.50	USD	2,437.50	
11AUG17	B	1	PD10NOV17	20240.00	20692.50	USD	2,262.50	
11AUG17	B	1	PD10NOV17	20285.00	20692.50	USD	2,037.50	
11AUG17	B	1	PD10NOV17	20310.00	20692.50	USD	1,912.50	
11AUG17	S	1	PD10NOV17	20220.00	20692.50	USD	-2,362.50	
11AUG17	S	1	PD10NOV17	20240.00	20692.50	USD	-2,262.50	
11AUG17	S	1	PD10NOV17	20285.00	20692.50	USD	-2,037.50	
11AUG17	S	1	PD10NOV17	20310.00	20692.50	USD	-1,912.50	
11AUG17	S	6	PD10NOV17	20340.00	20692.50	USD	-10,575.00	
11AUG17	B	1	PD10NOV17	20365.00	20692.50	USD	1,637.50	
11AUG17	B	4	PD10NOV17	20370.00	20692.50	USD	6,450.00	
11AUG17	B	1	PD10NOV17	20390.00	20692.50	USD	1,512.50	
11AUG17	S	1	PD10NOV17	20350.00	20692.50	USD	-1,712.50	
11AUG17	S	3	PD10NOV17	20375.00	20692.50	USD	-4,762.50	
11AUG17	S	1	PD10NOV17	20380.00	20692.50	USD	-1,562.50	
11AUG17	S	1	PD10NOV17	20320.00	20692.50	USD	-1,862.50	
11AUG17	S	1	PD10NOV17	20345.00	20692.50	USD	-1,737.50	
11AUG17	B	2	PD10NOV17	20290.00	20692.50	USD	4,025.00	
11AUG17	S	4	PD10NOV17	20325.00	20692.50	USD	-7,350.00	
11AUG17	B	1	PD10NOV17	20315.00	20692.50	USD	1,887.50	
11AUG17	B	1	PD10NOV17	20330.00	20692.50	USD	1,812.50	
11AUG17	B	1	PD10NOV17	20340.00	20692.50	USD	1,762.50	
11AUG17	B	1	PD10NOV17	20350.00	20692.50	USD	1,712.50	
11AUG17	B	1	PD10NOV17	20355.00	20692.50	USD	1,687.50	
11AUG17	B	2	PD10NOV17	20305.00	20692.50	USD	3,875.00	
11AUG17	S	1	PD10NOV17	20335.00	20692.50	USD	-1,787.50	
	NET	0AVG	BGHT 20314.09	SOLD 20315.53			475.00	0.00
14AUG17	S	6	PD14NOV17	20230.00	20687.50	USD	-13,725.00	
14AUG17	B	1	PD14NOV17	20250.00	20687.50	USD	2,187.50	
14AUG17	B	4	PD14NOV17	20300.00	20687.50	USD	7,750.00	
14AUG17	S	4	PD14NOV17	20285.00	20687.50	USD	-8,050.00	
14AUG17	S	1	PD14NOV17	20390.00	20687.50	USD	-1,487.50	
14AUG17	B	1	PD14NOV17	20340.00	20687.50	USD	1,737.50	
14AUG17	S	2	PD14NOV17	20350.00	20687.50	USD	-3,375.00	
14AUG17	S	2	PD14NOV17	20370.00	20687.50	USD	-3,175.00	
14AUG17	B	2	PD14NOV17	20400.00	20687.50	USD	2,875.00	
14AUG17	B	4	PD14NOV17	20260.00	20687.50	USD	8,550.00	
14AUG17	B	1	PD14NOV17	20305.00	20687.50	USD	1,912.50	
14AUG17	B	1	PD14NOV17	20345.00	20687.50	USD	1,712.50	

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14AUG17	B	1	PD14NOV17	20350.00	20687.50	USD	1,687.50	
14AUG17	S	1	PD14NOV17	20345.00	20687.50	USD	-1,712.50	
14AUG17	S	2	PD14NOV17	20340.00	20687.50	USD	-3,475.00	
14AUG17	B	1	PD14NOV17	20255.00	20687.50	USD	2,162.50	
14AUG17	B	3	PD14NOV17	20320.00	20687.50	USD	5,512.50	
14AUG17	S	1	PD14NOV17	20330.00	20687.50	USD	-1,787.50	
14AUG17	S	1	PD14NOV17	20315.00	20687.50	USD	-1,862.50	
14AUG17	S	1	PD14NOV17	20290.00	20687.50	USD	-1,987.50	
14AUG17	B	1	PD14NOV17	20330.00	20687.50	USD	1,787.50	
14AUG17	B	1	PD14NOV17	20295.00	20687.50	USD	1,962.50	
	NET	0 AVG BGHT 20308.10	SOLD 20300.48				-800.00	0.00
15AUG17	S	2	PD15NOV17	20370.00	20685.00	USD	-3,150.00	
15AUG17	B	2	PD15NOV17	20305.00	20685.00	USD	3,800.00	
15AUG17	S	5	PD15NOV17	20250.00	20685.00	USD	-10,875.00	
15AUG17	B	3	PD15NOV17	20210.00	20685.00	USD	7,125.00	
15AUG17	B	1	PD15NOV17	20220.00	20685.00	USD	2,325.00	
15AUG17	S	1	PD15NOV17	20200.00	20685.00	USD	-2,425.00	
15AUG17	B	1	PD15NOV17	20215.00	20685.00	USD	2,350.00	
15AUG17	B	1	PD15NOV17	20240.00	20685.00	USD	2,225.00	
15AUG17	S	2	PD15NOV17	20240.00	20685.00	USD	-4,450.00	
15AUG17	B	1	PD15NOV17	20230.00	20685.00	USD	2,275.00	
15AUG17	S	1	PD15NOV17	20225.00	20685.00	USD	-2,300.00	
15AUG17	S	1	PD15NOV17	20230.00	20685.00	USD	-2,275.00	
15AUG17	B	1	PD15NOV17	20270.00	20685.00	USD	2,075.00	
15AUG17	S	1	PD15NOV17	20260.00	20685.00	USD	-2,125.00	
15AUG17	B	2	PD15NOV17	20265.00	20685.00	USD	4,200.00	
15AUG17	B	1	PD15NOV17	20300.00	20685.00	USD	1,925.00	
15AUG17	B	2	PD15NOV17	20310.00	20685.00	USD	3,750.00	
15AUG17	B	1	PD15NOV17	20315.00	20685.00	USD	1,850.00	
15AUG17	S	1	PD15NOV17	20300.00	20685.00	USD	-1,925.00	
15AUG17	S	3	PD15NOV17	20305.00	20685.00	USD	-5,700.00	
15AUG17	S	3	PD15NOV17	20310.00	20685.00	USD	-5,625.00	
15AUG17	B	3	PD15NOV17	20325.00	20685.00	USD	5,400.00	
15AUG17	B	1	PD15NOV17	20260.00	20685.00	USD	2,125.00	
15AUG17	B	1	PD15NOV17	20275.00	20685.00	USD	2,050.00	
15AUG17	S	1	PD15NOV17	20275.00	20685.00	USD	-2,050.00	
15AUG17	S	2	PD15NOV17	20325.00	20685.00	USD	-3,600.00	
15AUG17	B	2	PD15NOV17	20245.00	20685.00	USD	4,400.00	
15AUG17	B	3	PD15NOV17	19320.00	20685.00	USD	20,475.00	
15AUG17	B	2	PD15NOV17	19900.00	20685.00	USD	7,850.00	
15AUG17	B	2	PD15NOV17	20170.00	20685.00	USD	5,150.00	
15AUG17	S	1	PD15NOV17	19680.00	20685.00	USD	-5,025.00	
15AUG17	S	3	PD15NOV17	19970.00	20685.00	USD	-10,725.00	
15AUG17	B	2	PD15NOV17	19805.00	20685.00	USD	8,800.00	
15AUG17	B	4	PD15NOV17	19830.00	20685.00	USD	17,100.00	
15AUG17	B	2	PD15NOV17	19845.00	20685.00	USD	8,400.00	
15AUG17	S	1	PD15NOV17	19805.00	20685.00	USD	-4,400.00	
15AUG17	S	3	PD15NOV17	19850.00	20685.00	USD	-12,525.00	
15AUG17	S	1	PD15NOV17	20160.00	20685.00	USD	-2,625.00	
15AUG17	S	3	PD15NOV17	19800.00	20685.00	USD	-13,275.00	
15AUG17	B	1	PD15NOV17	19980.00	20685.00	USD	3,525.00	
15AUG17	S	1	PD15NOV17	19740.00	20685.00	USD	-4,725.00	
15AUG17	S	2	PD15NOV17	19210.00	20685.00	USD	-14,750.00	
15AUG17	S	1	PD15NOV17	19225.00	20685.00	USD	-7,300.00	
	NET	0 AVG BGHT 20073.85	SOLD 20060.13				-2,675.00	0.00
16AUG17	S	1	PD16NOV17	19970.00	20683.13	USD	-3,565.65	
16AUG17	B	1	PD16NOV17	20075.00	20683.13	USD	3,040.65	

OPEN POSITION STATEMENT



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SAP096AD

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Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

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	<u>LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
16AUG17	B	1	PD16NOV17	20080.00	20683.13	USD	3,015.65	
16AUG17	B	2	PD16NOV17	20100.00	20683.13	USD	5,831.30	
16AUG17	S	2	PD16NOV17	20075.00	20683.13	USD	-6,081.30	
16AUG17	S	1	PD16NOV17	20080.00	20683.13	USD	-3,015.65	
16AUG17	S	1	PD16NOV17	20060.00	20683.13	USD	-3,115.65	
16AUG17	S	3	PD16NOV17	20110.00	20683.13	USD	-8,596.95	
16AUG17	B	1	PD16NOV17	20110.00	20683.13	USD	2,865.65	
16AUG17	B	2	PD16NOV17	19965.00	20683.13	USD	7,181.30	
16AUG17	B	4	PD16NOV17	19975.00	20683.13	USD	14,162.60	
16AUG17	S	1	PD16NOV17	20005.00	20683.13	USD	-3,390.65	
16AUG17	B	1	PD16NOV17	19955.00	20683.13	USD	3,640.65	
16AUG17	B	1	PD16NOV17	19970.00	20683.13	USD	3,565.65	
16AUG17	S	1	PD16NOV17	19955.00	20683.13	USD	-3,640.65	
16AUG17	B	1	PD16NOV17	19990.00	20683.13	USD	3,465.65	
16AUG17	S	1	PD16NOV17	20015.00	20683.13	USD	-3,340.65	
16AUG17	S	1	PD16NOV17	20020.00	20683.13	USD	-3,315.65	
16AUG17	S	2	PD16NOV17	20025.00	20683.13	USD	-6,581.30	
16AUG17	S	1	PD16NOV17	20035.00	20683.13	USD	-3,240.65	
16AUG17	B	5	PD16NOV17	20005.00	20683.13	USD	16,953.25	
16AUG17	B	5	PD16NOV17	20010.00	20683.13	USD	16,828.25	
16AUG17	S	3	PD16NOV17	20050.00	20683.13	USD	-9,496.95	
16AUG17	B	1	PD16NOV17	20030.00	20683.13	USD	3,265.65	
16AUG17	S	1	PD16NOV17	20065.00	20683.13	USD	-3,090.65	
16AUG17	S	2	PD16NOV17	19985.00	20683.13	USD	-6,981.30	
16AUG17	B	3	PD16NOV17	19985.00	20683.13	USD	10,471.95	
16AUG17	B	1	PD16NOV17	20040.00	20683.13	USD	3,215.65	
16AUG17	B	1	PD16NOV17	20045.00	20683.13	USD	3,190.65	
16AUG17	B	2	PD16NOV17	20050.00	20683.13	USD	6,331.30	
16AUG17	B	5	PD16NOV17	20060.00	20683.13	USD	15,578.25	
16AUG17	S	4	PD16NOV17	20030.00	20683.13	USD	-13,062.60	
16AUG17	S	8	PD16NOV17	20045.00	20683.13	USD	-25,525.20	
16AUG17	S	4	PD16NOV17	20040.00	20683.13	USD	-12,862.60	
	NET	0AVG BGHT 20020.41	SOLD 20040.41				3,700.00	0.00
17AUG17	B	2	PD17NOV17	20225.00	20681.25	USD	4,562.50	
17AUG17	B	3	PD17NOV17	20250.00	20681.25	USD	6,468.75	
17AUG17	S	5	PD17NOV17	20225.00	20681.25	USD	-11,406.25	
17AUG17	S	1	PD17NOV17	20245.00	20681.25	USD	-2,181.25	
17AUG17	B	1	PD17NOV17	20255.00	20681.25	USD	2,131.25	
17AUG17	S	1	PD17NOV17	20185.00	20681.25	USD	-2,481.25	
17AUG17	B	1	PD17NOV17	20150.00	20681.25	USD	2,656.25	
17AUG17	B	1	PD17NOV17	20180.00	20681.25	USD	2,506.25	
17AUG17	S	1	PD17NOV17	20175.00	20681.25	USD	-2,531.25	
17AUG17	B	1	PD17NOV17	20135.00	20681.25	USD	2,731.25	
17AUG17	S	2	PD17NOV17	20125.00	20681.25	USD	-5,562.50	
17AUG17	B	1	PD17NOV17	20100.00	20681.25	USD	2,906.25	
17AUG17	S	1	PD17NOV17	20135.00	20681.25	USD	-2,731.25	
17AUG17	S	2	PD17NOV17	20130.00	20681.25	USD	-5,512.50	
17AUG17	B	1	PD17NOV17	20175.00	20681.25	USD	2,531.25	
17AUG17	B	2	PD17NOV17	20210.00	20681.25	USD	4,712.50	
17AUG17	S	3	PD17NOV17	20150.00	20681.25	USD	-7,968.75	
17AUG17	S	1	PD17NOV17	20160.00	20681.25	USD	-2,606.25	
17AUG17	S	1	PD17NOV17	20190.00	20681.25	USD	-2,456.25	
17AUG17	S	1	PD17NOV17	20200.00	20681.25	USD	-2,406.25	
17AUG17	S	1	PD17NOV17	20205.00	20681.25	USD	-2,381.25	
17AUG17	B	2	PD17NOV17	20090.00	20681.25	USD	5,912.50	
17AUG17	B	3	PD17NOV17	20020.00	20681.25	USD	9,918.75	
17AUG17	B	2	PD17NOV17	20185.00	20681.25	USD	4,962.50	

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17AUG17	B	1	PD17NOV17	20155.00	20681.25	USD	2,631.25	
17AUG17	B	1	PD17NOV17	20205.00	20681.25	USD	2,381.25	
17AUG17	B	1	PD17NOV17	20215.00	20681.25	USD	2,331.25	
17AUG17	S	1	PD17NOV17	20020.00	20681.25	USD	-3,306.25	
17AUG17	S	1	PD17NOV17	20090.00	20681.25	USD	-2,956.25	
17AUG17	S	1	PD17NOV17	20155.00	20681.25	USD	-2,631.25	
18AUG17	S	2	PD17NOV17	20275.00	20681.25	USD	-4,062.50	
18AUG17	S	1	PD17NOV17	20280.00	20681.25	USD	-2,006.25	
18AUG17	S	1	PD17NOV17	20250.00	20681.25	USD	-2,156.25	
18AUG17	B	1	PD17NOV17	20280.00	20681.25	USD	2,006.25	
18AUG17	S	1	PD17NOV17	20350.00	20681.25	USD	-1,656.25	
18AUG17	B	1	PD17NOV17	20255.00	20681.25	USD	2,131.25	
18AUG17	B	1	PD17NOV17	20285.00	20681.25	USD	1,981.25	
18AUG17	B	2	PD17NOV17	20295.00	20681.25	USD	3,862.50	
18AUG17	S	1	PD17NOV17	20200.00	20681.25	USD	-2,406.25	
18AUG17	B	2	PD17NOV17	20230.00	20681.25	USD	4,512.50	
18AUG17	B	1	PD17NOV17	20265.00	20681.25	USD	2,081.25	
18AUG17	B	1	PD17NOV17	20150.00	20681.25	USD	2,656.25	
18AUG17	B	1	PD17NOV17	20155.00	20681.25	USD	2,631.25	
18AUG17	S	1	PD17NOV17	20150.00	20681.25	USD	-2,656.25	
18AUG17	S	2	PD17NOV17	20260.00	20681.25	USD	-4,212.50	
18AUG17	B	3	PD17NOV17	20160.00	20681.25	USD	7,818.75	
18AUG17	S	3	PD17NOV17	20240.00	20681.25	USD	-6,618.75	
18AUG17	B	2	PD17NOV17	20205.00	20681.25	USD	4,762.50	
18AUG17	B	1	PD17NOV17	20125.00	20681.25	USD	2,781.25	
18AUG17	S	1	PD17NOV17	20125.00	20681.25	USD	-2,781.25	
18AUG17	S	1	PD17NOV17	20145.00	20681.25	USD	-2,681.25	
18AUG17	S	1	PD17NOV17	20175.00	20681.25	USD	-2,531.25	
18AUG17	S	1	PD17NOV17	20205.00	20681.25	USD	-2,381.25	
18AUG17	B	2	PD17NOV17	20180.00	20681.25	USD	5,012.50	
18AUG17	S	2	PD17NOV17	20230.00	20681.25	USD	-4,512.50	
	NET	0 AVG BGHT	20185.73	SOLD	20194.51		1,800.00	0.00
21AUG17	B	1	PD21NOV17	20325.00	20677.50	USD	1,762.50	
21AUG17	S	2	PD21NOV17	20370.00	20677.50	USD	-3,075.00	
21AUG17	S	3	PD21NOV17	20365.00	20677.50	USD	-4,687.50	
21AUG17	B	6	PD21NOV17	20340.00	20677.50	USD	10,125.00	
21AUG17	S	1	PD21NOV17	20355.00	20677.50	USD	-1,612.50	
21AUG17	S	2	PD21NOV17	20360.00	20677.50	USD	-3,175.00	
21AUG17	S	1	PD21NOV17	20335.00	20677.50	USD	-1,712.50	
21AUG17	S	2	PD21NOV17	20340.00	20677.50	USD	-3,375.00	
21AUG17	B	4	PD21NOV17	20305.00	20677.50	USD	7,450.00	
21AUG17	S	3	PD21NOV17	20420.00	20677.50	USD	-3,862.50	
21AUG17	S	1	PD21NOV17	20405.00	20677.50	USD	-1,362.50	
21AUG17	B	1	PD21NOV17	20355.00	20677.50	USD	1,612.50	
21AUG17	S	2	PD21NOV17	20430.00	20677.50	USD	-2,475.00	
21AUG17	B	4	PD21NOV17	20425.00	20677.50	USD	5,050.00	
21AUG17	B	1	PD21NOV17	20375.00	20677.50	USD	1,512.50	
21AUG17	B	1	PD21NOV17	20385.00	20677.50	USD	1,462.50	
21AUG17	B	1	PD21NOV17	20395.00	20677.50	USD	1,412.50	
21AUG17	S	1	PD21NOV17	20415.00	20677.50	USD	-1,312.50	
21AUG17	S	3	PD21NOV17	20425.00	20677.50	USD	-3,787.50	
21AUG17	B	2	PD21NOV17	20450.00	20677.50	USD	2,275.00	
21AUG17	B	4	PD21NOV17	20480.00	20677.50	USD	3,950.00	
21AUG17	S	1	PD21NOV17	20450.00	20677.50	USD	-1,137.50	
21AUG17	B	1	PD21NOV17	20500.00	20677.50	USD	887.50	
21AUG17	S	4	PD21NOV17	20400.00	20677.50	USD	-5,550.00	
21AUG17	B	2	PD21NOV17	20400.00	20677.50	USD	2,775.00	

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21AUG17	S	2	PD21NOV17	20495.00	20677.50	USD	-1,825.00	
21AUG17	B	1	PD21NOV17	20485.00	20677.50	USD	962.50	
21AUG17	S	2	PD21NOV17	20465.00	20677.50	USD	-2,125.00	
21AUG17	B	1	PD21NOV17	20495.00	20677.50	USD	912.50	
	NET	0 AVG BGHT 20396.50	SOLD 20403.67				1,075.00	0.00
22AUG17	S	1	PD22NOV17	20430.00	20675.63	USD	-1,228.15	
22AUG17	S	1	PD22NOV17	20465.00	20675.63	USD	-1,053.15	
22AUG17	B	1	PD22NOV17	20460.00	20675.63	USD	1,078.15	
22AUG17	B	1	PD22NOV17	20490.00	20675.63	USD	928.15	
22AUG17	S	4	PD22NOV17	20495.00	20675.63	USD	-3,612.60	
22AUG17	B	1	PD22NOV17	20445.00	20675.63	USD	1,153.15	
22AUG17	B	2	PD22NOV17	20375.00	20675.63	USD	3,006.30	
22AUG17	B	1	PD22NOV17	20395.00	20675.63	USD	1,403.15	
22AUG17	S	1	PD22NOV17	20360.00	20675.63	USD	-1,578.15	
22AUG17	S	2	PD22NOV17	20375.00	20675.63	USD	-3,006.30	
22AUG17	S	1	PD22NOV17	20425.00	20675.63	USD	-1,253.15	
22AUG17	B	3	PD22NOV17	20300.00	20675.63	USD	5,634.45	
22AUG17	B	1	PD22NOV17	20345.00	20675.63	USD	1,653.15	
22AUG17	S	1	PD22NOV17	20245.00	20675.63	USD	-2,153.15	
22AUG17	S	2	PD22NOV17	20295.00	20675.63	USD	-3,806.30	
22AUG17	B	3	PD22NOV17	20495.00	20675.63	USD	2,709.45	
22AUG17	B	2	PD22NOV17	20260.00	20675.63	USD	4,156.30	
22AUG17	S	1	PD22NOV17	20290.00	20675.63	USD	-1,928.15	
22AUG17	B	2	PD22NOV17	20280.00	20675.63	USD	3,956.30	
22AUG17	S	1	PD22NOV17	20340.00	20675.63	USD	-1,678.15	
22AUG17	S	1	PD22NOV17	20330.00	20675.63	USD	-1,728.15	
22AUG17	S	2	PD22NOV17	20335.00	20675.63	USD	-3,406.30	
22AUG17	B	1	PD22NOV17	20335.00	20675.63	USD	1,703.15	
	NET	0 AVG BGHT 20371.39	SOLD 20381.94				950.00	0.00
23AUG17	S	1	PD24NOV17	20395.00	20673.75	USD	-1,393.75	
23AUG17	B	1	PD24NOV17	20340.00	20673.75	USD	1,668.75	
23AUG17	B	2	PD24NOV17	20285.00	20673.75	USD	3,887.50	
23AUG17	S	2	PD24NOV17	20345.00	20673.75	USD	-3,287.50	
23AUG17	B	4	PD24NOV17	20350.00	20673.75	USD	6,475.00	
23AUG17	S	1	PD24NOV17	20435.00	20673.75	USD	-1,193.75	
23AUG17	B	1	PD24NOV17	20315.00	20673.75	USD	1,793.75	
23AUG17	S	1	PD24NOV17	20315.00	20673.75	USD	-1,793.75	
23AUG17	B	1	PD24NOV17	20370.00	20673.75	USD	1,518.75	
23AUG17	S	1	PD24NOV17	20370.00	20673.75	USD	-1,518.75	
23AUG17	S	1	PD24NOV17	20400.00	20673.75	USD	-1,368.75	
23AUG17	S	1	PD24NOV17	20380.00	20673.75	USD	-1,468.75	
23AUG17	S	2	PD24NOV17	20415.00	20673.75	USD	-2,587.50	
23AUG17	S	5	PD24NOV17	20430.00	20673.75	USD	-6,093.75	
23AUG17	B	1	PD24NOV17	20440.00	20673.75	USD	1,168.75	
23AUG17	B	4	PD24NOV17	20455.00	20673.75	USD	4,375.00	
23AUG17	S	1	PD24NOV17	20460.00	20673.75	USD	-1,068.75	
23AUG17	S	3	PD24NOV17	20445.00	20673.75	USD	-3,431.25	
23AUG17	B	1	PD24NOV17	20360.00	20673.75	USD	1,568.75	
23AUG17	B	1	PD24NOV17	20395.00	20673.75	USD	1,393.75	
23AUG17	B	1	PD24NOV17	20420.00	20673.75	USD	1,268.75	
23AUG17	B	1	PD24NOV17	20425.00	20673.75	USD	1,243.75	
23AUG17	B	1	PD24NOV17	20445.00	20673.75	USD	1,143.75	
23AUG17	S	1	PD24NOV17	20420.00	20673.75	USD	-1,268.75	
23AUG17	B	2	PD24NOV17	20450.00	20673.75	USD	2,237.50	
23AUG17	S	4	PD24NOV17	20450.00	20673.75	USD	-4,475.00	
23AUG17	S	1	PD24NOV17	20455.00	20673.75	USD	-1,093.75	
23AUG17	B	1	PD24NOV17	20500.00	20673.75	USD	868.75	

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23AUG17	S	1	PD24NOV17	20530.00	20673.75	USD	-718.75	
23AUG17	B	1	PD24NOV17	20510.00	20673.75	USD	818.75	
23AUG17	S	1	PD24NOV17	20500.00	20673.75	USD	-868.75	
23AUG17	B	4	PD24NOV17	20460.00	20673.75	USD	4,275.00	
24AUG17	B	1	PD24NOV17	20550.00	20673.75	USD	618.75	
24AUG17	S	1	PD24NOV17	20565.00	20673.75	USD	-543.75	
24AUG17	B	2	PD24NOV17	20515.00	20673.75	USD	1,587.50	
24AUG17	B	1	PD24NOV17	20490.00	20673.75	USD	918.75	
24AUG17	B	2	PD24NOV17	20525.00	20673.75	USD	1,487.50	
24AUG17	B	1	PD24NOV17	20510.00	20673.75	USD	818.75	
24AUG17	S	1	PD24NOV17	20515.00	20673.75	USD	-793.75	
24AUG17	B	1	PD24NOV17	20440.00	20673.75	USD	1,168.75	
24AUG17	S	1	PD24NOV17	20460.00	20673.75	USD	-1,068.75	
24AUG17	S	4	PD24NOV17	20465.00	20673.75	USD	-4,175.00	
24AUG17	B	4	PD24NOV17	20500.00	20673.75	USD	3,475.00	
24AUG17	B	1	PD24NOV17	20530.00	20673.75	USD	718.75	
24AUG17	S	1	PD24NOV17	20500.00	20673.75	USD	-868.75	
24AUG17	B	1	PD24NOV17	20475.00	20673.75	USD	993.75	
24AUG17	S	1	PD24NOV17	20475.00	20673.75	USD	-993.75	
24AUG17	B	1	PD24NOV17	20460.00	20673.75	USD	1,068.75	
24AUG17	B	5	PD24NOV17	20465.00	20673.75	USD	5,218.75	
24AUG17	S	2	PD24NOV17	20455.00	20673.75	USD	-2,187.50	
24AUG17	B	2	PD24NOV17	20410.00	20673.75	USD	2,637.50	
24AUG17	S	2	PD24NOV17	20470.00	20673.75	USD	-2,037.50	
24AUG17	S	4	PD24NOV17	20490.00	20673.75	USD	-3,675.00	
24AUG17	B	2	PD24NOV17	20470.00	20673.75	USD	2,037.50	
24AUG17	B	2	PD24NOV17	20485.00	20673.75	USD	1,887.50	
24AUG17	S	7	PD24NOV17	20485.00	20673.75	USD	-6,606.25	
24AUG17	S	5	PD24NOV17	20450.00	20673.75	USD	-5,593.75	
24AUG17	B	3	PD24NOV17	20445.00	20673.75	USD	3,431.25	
24AUG17	B	1	PD24NOV17	20450.00	20673.75	USD	1,118.75	
24AUG17	S	1	PD24NOV17	20395.00	20673.75	USD	-1,393.75	
25AUG17	B	1	PD24NOV17	20420.00	20673.75	USD	1,268.75	
25AUG17	B	1	PD24NOV17	20380.00	20673.75	USD	1,468.75	
25AUG17	S	2	PD24NOV17	20405.00	20673.75	USD	-2,687.50	
25AUG17	S	1	PD24NOV17	20445.00	20673.75	USD	-1,143.75	
25AUG17	S	1	PD24NOV17	20440.00	20673.75	USD	-1,168.75	
25AUG17	S	2	PD24NOV17	20390.00	20673.75	USD	-2,837.50	
25AUG17	B	3	PD24NOV17	20410.00	20673.75	USD	3,956.25	
25AUG17	B	4	PD24NOV17	20385.00	20673.75	USD	5,775.00	
25AUG17	B	2	PD24NOV17	20350.00	20673.75	USD	3,237.50	
25AUG17	S	2	PD24NOV17	20320.00	20673.75	USD	-3,537.50	
25AUG17	S	2	PD24NOV17	20345.00	20673.75	USD	-3,287.50	
25AUG17	S	1	PD24NOV17	20325.00	20673.75	USD	-1,743.75	
	NET	0AVG	BGHT 20436.69	SOLD 20438.53			625.00	0.00
29AUG17	B	2	PD29NOV17	20605.00	20668.13	USD	631.30	
29AUG17	S	1	PD29NOV17	20615.00	20668.13	USD	-265.65	
29AUG17	B	1	PD29NOV17	20645.00	20668.13	USD	115.65	
29AUG17	S	1	PD29NOV17	20620.00	20668.13	USD	-240.65	
29AUG17	S	1	PD29NOV17	20645.00	20668.13	USD	-115.65	
29AUG17	S	2	PD29NOV17	20585.00	20668.13	USD	-831.30	
29AUG17	B	3	PD29NOV17	20550.00	20668.13	USD	1,771.95	
29AUG17	B	1	PD29NOV17	20530.00	20668.13	USD	690.65	
29AUG17	S	1	PD29NOV17	20550.00	20668.13	USD	-590.65	
29AUG17	B	3	PD29NOV17	20535.00	20668.13	USD	1,996.95	
29AUG17	S	8	PD29NOV17	20570.00	20668.13	USD	-3,925.20	
29AUG17	B	1	PD29NOV17	20590.00	20668.13	USD	390.65	

OPEN POSITION STATEMENT



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SAP096AD

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backoffice@sapiencap.com

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	<u>LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
29AUG17	S	1	PD29NOV17	20590.00	20668.13	USD	-390.65	
29AUG17	B	2	PD29NOV17	20430.00	20668.13	USD	2,381.30	
29AUG17	B	4	PD29NOV17	20545.00	20668.13	USD	2,462.60	
29AUG17	S	2	PD29NOV17	20425.00	20668.13	USD	-2,431.30	
29AUG17	S	3	PD29NOV17	20545.00	20668.13	USD	-1,846.95	
29AUG17	B	4	PD29NOV17	20570.00	20668.13	USD	1,962.60	
29AUG17	S	1	PD29NOV17	20530.00	20668.13	USD	-690.65	
29AUG17	B	1	PD29NOV17	20370.00	20668.13	USD	1,490.65	
29AUG17	S	2	PD29NOV17	20475.00	20668.13	USD	-1,931.30	
29AUG17	B	3	PD29NOV17	20475.00	20668.13	USD	2,896.95	
29AUG17	S	1	PD29NOV17	20540.00	20668.13	USD	-640.65	
29AUG17	S	2	PD29NOV17	20470.00	20668.13	USD	-1,981.30	
29AUG17	S	1	PD29NOV17	20465.00	20668.13	USD	-1,015.65	
29AUG17	B	2	PD29NOV17	20410.00	20668.13	USD	2,581.30	
29AUG17	B	3	PD29NOV17	20365.00	20668.13	USD	4,546.95	
29AUG17	B	5	PD29NOV17	20420.00	20668.13	USD	6,203.25	
29AUG17	S	2	PD29NOV17	20400.00	20668.13	USD	-2,681.30	
29AUG17	B	1	PD29NOV17	20445.00	20668.13	USD	1,115.65	
29AUG17	B	1	PD29NOV17	20455.00	20668.13	USD	1,065.65	
29AUG17	B	1	PD29NOV17	20490.00	20668.13	USD	890.65	
29AUG17	S	2	PD29NOV17	20420.00	20668.13	USD	-2,481.30	
29AUG17	S	1	PD29NOV17	20445.00	20668.13	USD	-1,115.65	
29AUG17	S	1	PD29NOV17	20455.00	20668.13	USD	-1,065.65	
29AUG17	S	1	PD29NOV17	20490.00	20668.13	USD	-890.65	
29AUG17	S	3	PD29NOV17	20495.00	20668.13	USD	-2,596.95	
12OCT17	S	1	PD29NOV17	20798.13	20668.13	USD	650.00	
	NET	0AVG	BGHT 20493.42	SOLD 20525.61			6,115.65	0.00
30AUG17	S	1	PD30NOV17	20430.00	20666.25	USD	-1,181.25	
30AUG17	S	4	PD30NOV17	20350.00	20666.25	USD	-6,325.00	
30AUG17	S	1	PD30NOV17	20355.00	20666.25	USD	-1,556.25	
30AUG17	B	3	PD30NOV17	20370.00	20666.25	USD	4,443.75	
30AUG17	B	2	PD30NOV17	20365.00	20666.25	USD	3,012.50	
30AUG17	S	3	PD30NOV17	20525.00	20666.25	USD	-2,118.75	
30AUG17	B	1	PD30NOV17	20515.00	20666.25	USD	756.25	
30AUG17	B	1	PD30NOV17	20520.00	20666.25	USD	731.25	
30AUG17	B	1	PD30NOV17	20535.00	20666.25	USD	656.25	
30AUG17	B	1	PD30NOV17	20545.00	20666.25	USD	606.25	
30AUG17	S	2	PD30NOV17	20535.00	20666.25	USD	-1,312.50	
30AUG17	B	1	PD30NOV17	20550.00	20666.25	USD	581.25	
30AUG17	B	1	PD30NOV17	20650.00	20666.25	USD	81.25	
30AUG17	B	1	PD30NOV17	20645.00	20666.25	USD	106.25	
30AUG17	S	2	PD30NOV17	20635.00	20666.25	USD	-312.50	
31AUG17	S	1	PD30NOV17	20565.00	20666.25	USD	-506.25	
31AUG17	B	1	PD30NOV17	20600.00	20666.25	USD	331.25	
31AUG17	B	2	PD30NOV17	20625.00	20666.25	USD	412.50	
31AUG17	S	4	PD30NOV17	20625.00	20666.25	USD	-825.00	
31AUG17	B	2	PD30NOV17	20605.00	20666.25	USD	612.50	
31AUG17	S	2	PD30NOV17	20595.00	20666.25	USD	-712.50	
31AUG17	B	1	PD30NOV17	20575.00	20666.25	USD	456.25	
31AUG17	B	2	PD30NOV17	20630.00	20666.25	USD	362.50	
31AUG17	S	1	PD30NOV17	20575.00	20666.25	USD	-456.25	
31AUG17	S	2	PD30NOV17	20630.00	20666.25	USD	-362.50	
31AUG17	B	1	PD30NOV17	20620.00	20666.25	USD	231.25	
31AUG17	S	1	PD30NOV17	20615.00	20666.25	USD	-256.25	
31AUG17	B	3	PD30NOV17	20640.00	20666.25	USD	393.75	
31AUG17	S	5	PD30NOV17	20645.00	20666.25	USD	-531.25	
31AUG17	B	3	PD30NOV17	20650.00	20666.25	USD	243.75	

OPEN POSITION STATEMENT



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31AUG17	B	1	PD30NOV17	20645.00	20666.25	USD	106.25	
31AUG17	S	1	PD30NOV17	20675.00	20666.25	USD	43.75	
31AUG17	B	1	PD30NOV17	20685.00	20666.25	USD	-93.75	
12OCT17	B	1	PD30NOV17	20797.25	20666.25	USD	-655.00	
	NET	0 AVG	BGHT 20577.08				-3,036.25	0.00
01SEP17	B	1	PD01DEC17	20725.00	20664.38	USD	-303.10	
01SEP17	S	1	PD01DEC17	20710.00	20664.38	USD	228.10	
01SEP17	B	2	PD01DEC17	20765.00	20664.38	USD	-1,006.20	
01SEP17	S	2	PD01DEC17	20775.00	20664.38	USD	1,106.20	
01SEP17	S	2	PD01DEC17	20690.00	20664.38	USD	256.20	
01SEP17	S	1	PD01DEC17	20695.00	20664.38	USD	153.10	
01SEP17	S	1	PD01DEC17	20760.00	20664.38	USD	478.10	
01SEP17	S	1	PD01DEC17	20735.00	20664.38	USD	353.10	
01SEP17	B	2	PD01DEC17	20780.00	20664.38	USD	-1,156.20	
01SEP17	S	1	PD01DEC17	20770.00	20664.38	USD	528.10	
01SEP17	B	2	PD01DEC17	20670.00	20664.38	USD	-56.20	
01SEP17	B	1	PD01DEC17	20675.00	20664.38	USD	-53.10	
01SEP17	B	1	PD01DEC17	20715.00	20664.38	USD	-253.10	
01SEP17	S	5	PD01DEC17	20680.00	20664.38	USD	390.50	
01SEP17	S	2	PD01DEC17	20740.00	20664.38	USD	756.20	
01SEP17	B	3	PD01DEC17	20710.00	20664.38	USD	-684.30	
01SEP17	B	2	PD01DEC17	20735.00	20664.38	USD	-706.20	
01SEP17	B	1	PD01DEC17	20700.00	20664.38	USD	-178.10	
12OCT17	B	1	PD01DEC17	20794.38	20664.38	USD	-650.00	
	NET	0 AVG	BGHT 20727.46				-796.90	0.00
04SEP17	B	1	PD04DEC17	20735.00	20662.50	USD	-362.50	
04SEP17	S	4	PD04DEC17	20780.00	20662.50	USD	2,350.00	
04SEP17	B	1	PD04DEC17	20785.00	20662.50	USD	-612.50	
04SEP17	B	1	PD04DEC17	20790.00	20662.50	USD	-637.50	
12OCT17	B	1	PD04DEC17	20792.50	20662.50	USD	-650.00	
	NET	0 AVG	BGHT 20775.63				87.50	0.00
05SEP17	B	3	PD05DEC17	20800.00	20660.63	USD	-2,090.55	
05SEP17	S	3	PD05DEC17	20750.00	20660.63	USD	1,340.55	
05SEP17	S	2	PD05DEC17	20760.00	20660.63	USD	993.70	
05SEP17	B	1	PD05DEC17	20710.00	20660.63	USD	-246.85	
05SEP17	B	1	PD05DEC17	20720.00	20660.63	USD	-296.85	
05SEP17	B	2	PD05DEC17	20700.00	20660.63	USD	-393.70	
05SEP17	B	4	PD05DEC17	20680.00	20660.63	USD	-387.40	
05SEP17	B	2	PD05DEC17	20725.00	20660.63	USD	-643.70	
05SEP17	B	2	PD05DEC17	20730.00	20660.63	USD	-693.70	
05SEP17	S	1	PD05DEC17	20725.00	20660.63	USD	321.85	
05SEP17	S	1	PD05DEC17	20735.00	20660.63	USD	371.85	
05SEP17	S	1	PD05DEC17	20700.00	20660.63	USD	196.85	
05SEP17	S	1	PD05DEC17	20710.00	20660.63	USD	246.85	
05SEP17	S	2	PD05DEC17	20680.00	20660.63	USD	193.70	
05SEP17	S	2	PD05DEC17	20695.00	20660.63	USD	343.70	
05SEP17	B	3	PD05DEC17	20690.00	20660.63	USD	-440.55	
05SEP17	S	2	PD05DEC17	20685.00	20660.63	USD	243.70	
05SEP17	S	1	PD05DEC17	20690.00	20660.63	USD	146.85	
12OCT17	S	2	PD05DEC17	20789.63	20660.63	USD	1,290.00	
	NET	0 AVG	BGHT 20718.33				496.30	0.00
06SEP17	B	2	PD06DEC17	20590.00	20658.75	USD	687.50	
06SEP17	B	8	PD06DEC17	20600.00	20658.75	USD	2,350.00	
06SEP17	S	1	PD06DEC17	20625.00	20658.75	USD	-168.75	
06SEP17	S	1	PD06DEC17	20600.00	20658.75	USD	-293.75	
06SEP17	B	1	PD06DEC17	20625.00	20658.75	USD	168.75	

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06SEP17	S	3	PD06DEC17		20650.00	20658.75	USD	-131.25	
06SEP17	S	1	PD06DEC17		20645.00	20658.75	USD	-68.75	
06SEP17	S	4	PD06DEC17		20590.00	20658.75	USD	-1,375.00	
06SEP17	B	1	PD06DEC17		20610.00	20658.75	USD	243.75	
06SEP17	S	1	PD06DEC17		20595.00	20658.75	USD	-318.75	
06SEP17	S	2	PD06DEC17		20640.00	20658.75	USD	-187.50	
06SEP17	B	1	PD06DEC17		20645.00	20658.75	USD	68.75	
06SEP17	S	2	PD06DEC17		20630.00	20658.75	USD	-287.50	
06SEP17	B	2	PD06DEC17		20640.00	20658.75	USD	187.50	
06SEP17	S	1	PD06DEC17		20685.00	20658.75	USD	131.25	
06SEP17	B	1	PD06DEC17		20750.00	20658.75	USD	-456.25	
	NET	0 AVG BGHT 20618.13	SOLD 20625.00					550.00	0.00
08SEP17	S	1	PD08DEC17		20790.00	20655.00	USD	675.00	
08SEP17	B	1	PD08DEC17		20740.00	20655.00	USD	-425.00	
08SEP17	S	3	PD08DEC17		20745.00	20655.00	USD	1,350.00	
08SEP17	S	2	PD08DEC17		20750.00	20655.00	USD	950.00	
08SEP17	B	1	PD08DEC17		20750.00	20655.00	USD	-475.00	
08SEP17	B	5	PD08DEC17		20705.00	20655.00	USD	-1,250.00	
08SEP17	S	2	PD08DEC17		20695.00	20655.00	USD	400.00	
08SEP17	S	1	PD08DEC17		20710.00	20655.00	USD	275.00	
08SEP17	B	1	PD08DEC17		20695.00	20655.00	USD	-200.00	
08SEP17	B	1	PD08DEC17		20700.00	20655.00	USD	-225.00	
08SEP17	B	1	PD08DEC17		20665.00	20655.00	USD	-50.00	
08SEP17	S	2	PD08DEC17		20685.00	20655.00	USD	300.00	
08SEP17	B	3	PD08DEC17		20620.00	20655.00	USD	525.00	
08SEP17	B	1	PD08DEC17		20635.00	20655.00	USD	100.00	
08SEP17	B	1	PD08DEC17		20650.00	20655.00	USD	25.00	
08SEP17	S	1	PD08DEC17		20525.00	20655.00	USD	-650.00	
08SEP17	S	1	PD08DEC17		20595.00	20655.00	USD	-300.00	
08SEP17	S	2	PD08DEC17		20560.00	20655.00	USD	-950.00	
08SEP17	B	1	PD08DEC17		20565.00	20655.00	USD	450.00	
08SEP17	S	3	PD08DEC17		20655.00	20655.00	USD	0.00	
08SEP17	B	1	PD08DEC17		20505.00	20655.00	USD	750.00	
08SEP17	B	1	PD08DEC17		20525.00	20655.00	USD	650.00	
08SEP17	B	2	PD08DEC17		20655.00	20655.00	USD	0.00	
08SEP17	B	1	PD08DEC17		20675.00	20655.00	USD	-100.00	
08SEP17	S	2	PD08DEC17		20665.00	20655.00	USD	100.00	
08SEP17	B	1	PD08DEC17		20530.00	20655.00	USD	625.00	
08SEP17	S	2	PD08DEC17		20550.00	20655.00	USD	-1,050.00	
08SEP17	B	1	PD08DEC17		20515.00	20655.00	USD	700.00	
08SEP17	S	1	PD08DEC17		20515.00	20655.00	USD	-700.00	
	NET	0 AVG BGHT 20645.43	SOLD 20658.48					1,500.00	0.00
11SEP17	B	2	PD11DEC17		20630.00	20653.13	USD	231.30	
11SEP17	B	2	PD11DEC17		20675.00	20653.13	USD	-218.70	
11SEP17	S	4	PD11DEC17		20735.00	20653.13	USD	1,637.40	
11SEP17	B	1	PD11DEC17		20815.00	20653.13	USD	-809.35	
11SEP17	S	3	PD11DEC17		20705.00	20653.13	USD	778.05	
11SEP17	B	1	PD11DEC17		20705.00	20653.13	USD	-259.35	
11SEP17	B	1	PD11DEC17		20765.00	20653.13	USD	-559.35	
11SEP17	S	2	PD11DEC17		20730.00	20653.13	USD	768.70	
11SEP17	B	1	PD11DEC17		20710.00	20653.13	USD	-284.35	
11SEP17	B	1	PD11DEC17		20730.00	20653.13	USD	-384.35	
	NET	0 AVG BGHT 20703.89	SOLD 20723.89					900.00	0.00
12SEP17	B	2	PD12DEC17		20715.00	20651.25	USD	-637.50	
12SEP17	S	2	PD12DEC17		20685.00	20651.25	USD	337.50	
	NET	0 AVG BGHT 20715.00	SOLD 20685.00					-300.00	0.00

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	<u>LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
13SEP17	S	1	PD13DEC17	20735.00	20649.38	USD	428.10	
13SEP17	S	1	PD13DEC17	20745.00	20649.38	USD	478.10	
13SEP17	S	3	PD13DEC17	20680.00	20649.38	USD	459.30	
13SEP17	B	2	PD13DEC17	20705.00	20649.38	USD	-556.20	
13SEP17	B	3	PD13DEC17	20655.00	20649.38	USD	-84.30	
13SEP17	S	3	PD13DEC17	20650.00	20649.38	USD	9.30	
13SEP17	S	1	PD13DEC17	20685.00	20649.38	USD	178.10	
13SEP17	B	1	PD13DEC17	20630.00	20649.38	USD	96.90	
13SEP17	B	3	PD13DEC17	20650.00	20649.38	USD	-9.30	
13SEP17	B	1	PD13DEC17	20640.00	20649.38	USD	46.90	
13SEP17	S	1	PD13DEC17	20640.00	20649.38	USD	-46.90	
13SEP17	B	3	PD13DEC17	20580.00	20649.38	USD	1,040.70	
13SEP17	B	2	PD13DEC17	20605.00	20649.38	USD	443.80	
13SEP17	S	1	PD13DEC17	20585.00	20649.38	USD	-321.90	
13SEP17	S	2	PD13DEC17	20605.00	20649.38	USD	-443.80	
13SEP17	S	2	PD13DEC17	20550.00	20649.38	USD	-993.80	
	NET	0 AVG BGHT 20636.33	SOLD 20646.00				725.00	0.00
14SEP17	S	3	PD14DEC17	20650.00	20647.50	USD	37.50	
14SEP17	B	1	PD14DEC17	20615.00	20647.50	USD	162.50	
14SEP17	B	2	PD14DEC17	20560.00	20647.50	USD	875.00	
14SEP17	S	1	PD14DEC17	20530.00	20647.50	USD	-587.50	
14SEP17	B	1	PD14DEC17	20545.00	20647.50	USD	512.50	
14SEP17	S	1	PD14DEC17	20575.00	20647.50	USD	-362.50	
14SEP17	B	1	PD14DEC17	20570.00	20647.50	USD	387.50	
14SEP17	B	3	PD14DEC17	20580.00	20647.50	USD	1,012.50	
14SEP17	S	5	PD14DEC17	20590.00	20647.50	USD	-1,437.50	
14SEP17	B	2	PD14DEC17	20630.00	20647.50	USD	175.00	
14SEP17	B	1	PD14DEC17	20670.00	20647.50	USD	-112.50	
14SEP17	S	2	PD14DEC17	20665.00	20647.50	USD	175.00	
14SEP17	B	1	PD14DEC17	20610.00	20647.50	USD	187.50	
	NET	0 AVG BGHT 20594.17	SOLD 20611.25				1,025.00	0.00
15SEP17	B	3	PD15DEC17	20520.00	20645.63	USD	1,884.45	
15SEP17	B	1	PD15DEC17	20530.00	20645.63	USD	578.15	
15SEP17	S	1	PD15DEC17	20615.00	20645.63	USD	-153.15	
15SEP17	S	1	PD15DEC17	20625.00	20645.63	USD	-103.15	
15SEP17	S	1	PD15DEC17	20520.00	20645.63	USD	-628.15	
15SEP17	S	2	PD15DEC17	20580.00	20645.63	USD	-656.30	
15SEP17	S	2	PD15DEC17	20570.00	20645.63	USD	-756.30	
15SEP17	B	3	PD15DEC17	20580.00	20645.63	USD	984.45	
15SEP17	B	1	PD15DEC17	20585.00	20645.63	USD	303.15	
15SEP17	S	1	PD15DEC17	20560.00	20645.63	USD	-428.15	
15SEP17	B	2	PD15DEC17	20425.00	20645.63	USD	2,206.30	
15SEP17	S	2	PD15DEC17	20480.00	20645.63	USD	-1,656.30	
15SEP17	B	1	PD15DEC17	20435.00	20645.63	USD	1,053.15	
15SEP17	S	1	PD15DEC17	20515.00	20645.63	USD	-653.15	
	NET	0 AVG BGHT 20518.18	SOLD 20554.09				1,975.00	0.00
18SEP17	B	1	PD18DEC17	20680.00	20643.75	USD	-181.25	
18SEP17	S	2	PD18DEC17	20675.00	20643.75	USD	312.50	
18SEP17	B	1	PD18DEC17	20685.00	20643.75	USD	-206.25	
18SEP17	B	1	PD18DEC17	20715.00	20643.75	USD	-356.25	
18SEP17	S	1	PD18DEC17	20685.00	20643.75	USD	206.25	
18SEP17	S	1	PD18DEC17	20695.00	20643.75	USD	256.25	
18SEP17	S	5	PD18DEC17	20680.00	20643.75	USD	906.25	
18SEP17	B	2	PD18DEC17	20600.00	20643.75	USD	437.50	
18SEP17	B	1	PD18DEC17	20630.00	20643.75	USD	68.75	
18SEP17	B	1	PD18DEC17	20580.00	20643.75	USD	318.75	

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backoffice@sapiencap.com

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	<u>LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
18SEP17	B	1	PD18DEC17	20735.00	20643.75	USD	-456.25	
18SEP17	S	3	PD18DEC17	20670.00	20643.75	USD	393.75	
18SEP17	B	1	PD18DEC17	20650.00	20643.75	USD	-31.25	
18SEP17	B	1	PD18DEC17	20665.00	20643.75	USD	-106.25	
18SEP17	B	1	PD18DEC17	20660.00	20643.75	USD	-81.25	
18SEP17	B	1	PD18DEC17	20605.00	20643.75	USD	193.75	
18SEP17	S	2	PD18DEC17	20650.00	20643.75	USD	62.50	
18SEP17	B	1	PD18DEC17	20620.00	20643.75	USD	118.75	
18SEP17	B	1	PD18DEC17	20635.00	20643.75	USD	43.75	
18SEP17	S	1	PD18DEC17	20635.00	20643.75	USD	-43.75	
18SEP17	B	1	PD18DEC17	20615.00	20643.75	USD	143.75	
18SEP17	B	2	PD18DEC17	20640.00	20643.75	USD	37.50	
18SEP17	S	1	PD18DEC17	20620.00	20643.75	USD	-118.75	
18SEP17	S	1	PD18DEC17	20640.00	20643.75	USD	-18.75	
	NET	0AVG BGHT 20644.41	SOLD 20666.76				1,900.00	0.00
19SEP17	B	1	PD19DEC17	20700.00	20641.88	USD	-290.60	
19SEP17	S	4	PD19DEC17	20675.00	20641.88	USD	662.40	
19SEP17	B	1	PD19DEC17	20675.00	20641.88	USD	-165.60	
19SEP17	S	2	PD19DEC17	20700.00	20641.88	USD	581.20	
19SEP17	B	1	PD19DEC17	20710.00	20641.88	USD	-340.60	
19SEP17	B	2	PD19DEC17	20725.00	20641.88	USD	-831.20	
19SEP17	S	1	PD19DEC17	20710.00	20641.88	USD	340.60	
19SEP17	S	2	PD19DEC17	20715.00	20641.88	USD	731.20	
19SEP17	S	1	PD19DEC17	20650.00	20641.88	USD	40.60	
19SEP17	B	4	PD19DEC17	20730.00	20641.88	USD	-1,762.40	
19SEP17	B	2	PD19DEC17	20705.00	20641.88	USD	-631.20	
19SEP17	S	1	PD19DEC17	20685.00	20641.88	USD	215.60	
19SEP17	B	1	PD19DEC17	20685.00	20641.88	USD	-215.60	
19SEP17	S	1	PD19DEC17	20720.00	20641.88	USD	390.60	
	NET	0AVG BGHT 20712.50	SOLD 20691.25				-1,275.00	0.00
20SEP17	B	2	PD20DEC17	20745.00	20640.00	USD	-1,050.00	
20SEP17	S	1	PD20DEC17	20760.00	20640.00	USD	600.00	
20SEP17	S	1	PD20DEC17	20765.00	20640.00	USD	625.00	
20SEP17	S	3	PD20DEC17	20740.00	20640.00	USD	1,500.00	
20SEP17	B	3	PD20DEC17	20740.00	20640.00	USD	-1,500.00	
20SEP17	S	1	PD20DEC17	20725.00	20640.00	USD	425.00	
20SEP17	B	3	PD20DEC17	20660.00	20640.00	USD	-300.00	
20SEP17	S	2	PD20DEC17	20670.00	20640.00	USD	300.00	
	NET	0AVG BGHT 20711.25	SOLD 20726.25				600.00	0.00
21SEP17	B	2	PD21DEC17	20510.00	20637.14	USD	1,271.40	
21SEP17	B	1	PD21DEC17	20540.00	20637.14	USD	485.70	
21SEP17	S	1	PD21DEC17	20520.00	20637.14	USD	-585.70	
21SEP17	S	3	PD21DEC17	20540.00	20637.14	USD	-1,457.10	
21SEP17	S	5	PD21DEC17	20565.00	20637.14	USD	-1,803.50	
21SEP17	B	2	PD21DEC17	20555.00	20637.14	USD	821.40	
21SEP17	B	1	PD21DEC17	20565.00	20637.14	USD	360.70	
21SEP17	B	2	PD21DEC17	20570.00	20637.14	USD	671.40	
21SEP17	B	1	PD21DEC17	20580.00	20637.14	USD	285.70	
21SEP17	B	1	PD21DEC17	20595.00	20637.14	USD	210.70	
21SEP17	S	1	PD21DEC17	20550.00	20637.14	USD	-435.70	
21SEP17	S	1	PD21DEC17	20560.00	20637.14	USD	-385.70	
21SEP17	S	1	PD21DEC17	20595.00	20637.14	USD	-210.70	
21SEP17	B	1	PD21DEC17	20465.00	20637.14	USD	860.70	
21SEP17	B	3	PD21DEC17	20500.00	20637.14	USD	2,057.10	
21SEP17	S	2	PD21DEC17	20495.00	20637.14	USD	-1,421.40	
21SEP17	S	1	PD21DEC17	20380.00	20637.14	USD	-1,285.70	

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	<u>LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>								
12OCT17	B	1	PD21DEC17		20767.86	20637.14	USD	-653.60	
	NET	0 AVG	BGHT 20552.19	SOLD 20536.00				-1,214.30	0.00
22SEP17	S	1	PD22DEC17		20325.00	20634.29	USD	-1,546.45	
22SEP17	S	2	PD22DEC17		20330.00	20634.29	USD	-3,042.90	
22SEP17	B	2	PD22DEC17		20400.00	20634.29	USD	2,342.90	
22SEP17	B	1	PD22DEC17		20470.00	20634.29	USD	821.45	
22SEP17	S	4	PD22DEC17		20425.00	20634.29	USD	-4,185.80	
22SEP17	S	2	PD22DEC17		20455.00	20634.29	USD	-1,792.90	
22SEP17	B	4	PD22DEC17		20440.00	20634.29	USD	3,885.80	
22SEP17	B	7	PD22DEC17		20445.00	20634.29	USD	6,625.15	
22SEP17	S	2	PD22DEC17		20430.00	20634.29	USD	-2,042.90	
22SEP17	S	1	PD22DEC17		20505.00	20634.29	USD	-646.45	
22SEP17	S	1	PD22DEC17		20525.00	20634.29	USD	-546.45	
22SEP17	B	1	PD22DEC17		20525.00	20634.29	USD	546.45	
22SEP17	S	1	PD22DEC17		20565.00	20634.29	USD	-346.45	
22SEP17	B	1	PD22DEC17		20625.00	20634.29	USD	46.45	
22SEP17	B	1	PD22DEC17		20630.00	20634.29	USD	21.45	
22SEP17	S	2	PD22DEC17		20630.00	20634.29	USD	-42.90	
22SEP17	S	1	PD22DEC17		20600.00	20634.29	USD	-171.45	
22SEP17	B	1	PD22DEC17		20615.00	20634.29	USD	96.45	
22SEP17	B	1	PD22DEC17		20600.00	20634.29	USD	171.45	
22SEP17	B	1	PD22DEC17		20465.00	20634.29	USD	846.45	
22SEP17	S	1	PD22DEC17		20445.00	20634.29	USD	-946.45	
22SEP17	S	1	PD22DEC17		20605.00	20634.29	USD	-146.45	
22SEP17	S	5	PD22DEC17		20580.00	20634.29	USD	-1,357.25	
22SEP17	S	2	PD22DEC17		20520.00	20634.29	USD	-1,142.90	
22SEP17	B	2	PD22DEC17		20515.00	20634.29	USD	1,192.90	
22SEP17	B	1	PD22DEC17		20520.00	20634.29	USD	571.45	
22SEP17	S	1	PD22DEC17		20515.00	20634.29	USD	-596.45	
22SEP17	S	1	PD22DEC17		20570.00	20634.29	USD	-321.45	
22SEP17	S	3	PD22DEC17		20575.00	20634.29	USD	-889.35	
22SEP17	B	7	PD22DEC17		20580.00	20634.29	USD	1,900.15	
22SEP17	B	2	PD22DEC17		20575.00	20634.29	USD	592.90	
12OCT17	S	1	PD22DEC17		20764.71	20634.29	USD	652.10	
	NET	0 AVG	BGHT 20511.41	SOLD 20514.83				548.55	0.00
25SEP17	S	2	PD27DEC17		20620.00	20631.43	USD	-114.30	
25SEP17	B	2	PD27DEC17		20645.00	20631.43	USD	-135.70	
25SEP17	S	2	PD27DEC17		20605.00	20631.43	USD	-264.30	
25SEP17	B	2	PD27DEC17		20595.00	20631.43	USD	364.30	
25SEP17	B	1	PD27DEC17		20545.00	20631.43	USD	432.15	
25SEP17	B	2	PD27DEC17		20585.00	20631.43	USD	464.30	
25SEP17	B	1	PD27DEC17		20590.00	20631.43	USD	207.15	
25SEP17	S	1	PD27DEC17		20625.00	20631.43	USD	-32.15	
25SEP17	S	2	PD27DEC17		20585.00	20631.43	USD	-464.30	
25SEP17	B	1	PD27DEC17		20615.00	20631.43	USD	82.15	
25SEP17	S	5	PD27DEC17		20610.00	20631.43	USD	-535.75	
25SEP17	S	1	PD27DEC17		20615.00	20631.43	USD	-82.15	
25SEP17	B	1	PD27DEC17		20610.00	20631.43	USD	107.15	
25SEP17	B	1	PD27DEC17		20620.00	20631.43	USD	57.15	
25SEP17	B	1	PD27DEC17		20630.00	20631.43	USD	7.15	
25SEP17	B	1	PD27DEC17		20600.00	20631.43	USD	157.15	
25SEP17	B	3	PD27DEC17		20640.00	20631.43	USD	-128.55	
25SEP17	B	1	PD27DEC17		20660.00	20631.43	USD	-142.85	
25SEP17	S	1	PD27DEC17		20665.00	20631.43	USD	167.85	
25SEP17	S	3	PD27DEC17		20645.00	20631.43	USD	203.55	
26SEP17	S	1	PD27DEC17		20745.00	20631.43	USD	567.85	
26SEP17	B	1	PD27DEC17		20715.00	20631.43	USD	-417.85	

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26SEP17	S	3	PD27DEC17	20705.00	20631.43	USD	1,103.55	
26SEP17	B	1	PD27DEC17	20625.00	20631.43	USD	32.15	
26SEP17	S	1	PD27DEC17	20655.00	20631.43	USD	117.85	
26SEP17	B	2	PD27DEC17	20655.00	20631.43	USD	-235.70	
26SEP17	B	1	PD27DEC17	20660.00	20631.43	USD	-142.85	
27SEP17	S	3	PD27DEC17	20710.00	20631.43	USD	1,178.55	
27SEP17	B	1	PD27DEC17	20710.00	20631.43	USD	-392.85	
27SEP17	B	1	PD27DEC17	20720.00	20631.43	USD	-442.85	
27SEP17	B	1	PD27DEC17	20700.00	20631.43	USD	-342.85	
27SEP17	B	1	PD27DEC17	20655.00	20631.43	USD	-117.85	
27SEP17	S	1	PD27DEC17	20655.00	20631.43	USD	117.85	
27SEP17	S	2	PD27DEC17	20645.00	20631.43	USD	135.70	
27SEP17	S	3	PD27DEC17	20650.00	20631.43	USD	278.55	
27SEP17	B	1	PD27DEC17	20675.00	20631.43	USD	-217.85	
27SEP17	B	3	PD27DEC17	20665.00	20631.43	USD	-503.55	
27SEP17	B	1	PD27DEC17	20670.00	20631.43	USD	-192.85	
	NET	0 AVG BGHT 20641.13	SOLD 20646.77				875.00	0.00
28SEP17	S	1	PD28DEC17	20700.00	20628.57	USD	357.15	
28SEP17	S	5	PD28DEC17	20710.00	20628.57	USD	2,035.75	
28SEP17	B	5	PD28DEC17	20700.00	20628.57	USD	-1,785.75	
28SEP17	S	5	PD28DEC17	20695.00	20628.57	USD	1,660.75	
28SEP17	B	1	PD28DEC17	20710.00	20628.57	USD	-407.15	
28SEP17	B	2	PD28DEC17	20695.00	20628.57	USD	-664.30	
28SEP17	B	3	PD28DEC17	20720.00	20628.57	USD	-1,371.45	
28SEP17	S	3	PD28DEC17	20620.00	20628.57	USD	-128.55	
28SEP17	S	2	PD28DEC17	20625.00	20628.57	USD	-35.70	
28SEP17	B	2	PD28DEC17	20625.00	20628.57	USD	35.70	
28SEP17	B	2	PD28DEC17	20630.00	20628.57	USD	-14.30	
28SEP17	B	2	PD28DEC17	20640.00	20628.57	USD	-114.30	
28SEP17	S	1	PD28DEC17	20635.00	20628.57	USD	32.15	
	NET	0 AVG BGHT 20679.41	SOLD 20674.71				-400.00	0.00
04OCT17	S	5	PD04JAN18	20785.00	20617.14	USD	4,196.50	
04OCT17	B	2	PD04JAN18	20770.00	20617.14	USD	-1,528.60	
04OCT17	B	3	PD04JAN18	20780.00	20617.14	USD	-2,442.90	
	NET	0 AVG BGHT 20776.00	SOLD 20785.00				225.00	0.00
05OCT17	B	1	PD05JAN18	20850.00	20614.29	USD	-1,178.55	
05OCT17	B	2	PD05JAN18	20875.00	20614.29	USD	-2,607.10	
05OCT17	B	1	PD05JAN18	20880.00	20614.29	USD	-1,328.55	
05OCT17	S	2	PD05JAN18	20940.00	20614.29	USD	3,257.10	
05OCT17	S	8	PD05JAN18	20960.00	20614.29	USD	13,828.40	
05OCT17	B	5	PD05JAN18	20975.00	20614.29	USD	-9,017.75	
05OCT17	B	1	PD05JAN18	20865.00	20614.29	USD	-1,253.55	
05OCT17	B	2	PD05JAN18	20965.00	20614.29	USD	-3,507.10	
05OCT17	B	3	PD05JAN18	20970.00	20614.29	USD	-5,335.65	
05OCT17	S	3	PD05JAN18	20965.00	20614.29	USD	5,260.65	
05OCT17	S	2	PD05JAN18	20970.00	20614.29	USD	3,557.10	
05OCT17	B	1	PD05JAN18	21125.00	20614.29	USD	-2,553.55	
05OCT17	S	1	PD05JAN18	21115.00	20614.29	USD	2,503.55	
05OCT17	S	1	PD05JAN18	21140.00	20614.29	USD	2,628.55	
05OCT17	S	1	PD05JAN18	21075.00	20614.29	USD	2,303.55	
05OCT17	B	2	PD05JAN18	21110.00	20614.29	USD	-4,957.10	
05OCT17	S	2	PD05JAN18	21095.00	20614.29	USD	4,807.10	
05OCT17	S	1	PD05JAN18	21165.00	20614.29	USD	2,753.55	
05OCT17	S	3	PD05JAN18	21175.00	20614.29	USD	8,410.65	
05OCT17	B	3	PD05JAN18	21150.00	20614.29	USD	-8,035.65	
05OCT17	S	3	PD05JAN18	21135.00	20614.29	USD	7,810.65	

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	<u>LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
05OCT17	S	1	PD05JAN18	21120.00	20614.29	USD	2,528.55	
05OCT17	B	4	PD05JAN18	21145.00	20614.29	USD	-10,614.20	
05OCT17	S	1	PD05JAN18	21030.00	20614.29	USD	2,078.55	
05OCT17	S	1	PD05JAN18	21090.00	20614.29	USD	2,378.55	
05OCT17	B	1	PD05JAN18	20980.00	20614.29	USD	-1,828.55	
05OCT17	B	5	PD05JAN18	21000.00	20614.29	USD	-9,642.75	
05OCT17	B	1	PD05JAN18	21040.00	20614.29	USD	-2,128.55	
05OCT17	B	1	PD05JAN18	21085.00	20614.29	USD	-2,353.55	
05OCT17	B	3	PD05JAN18	21090.00	20614.29	USD	-7,135.65	
05OCT17	B	3	PD05JAN18	21095.00	20614.29	USD	-7,210.65	
05OCT17	S	5	PD05JAN18	21150.00	20614.29	USD	13,392.75	
05OCT17	S	1	PD05JAN18	21155.00	20614.29	USD	2,703.55	
05OCT17	B	3	PD05JAN18	21030.00	20614.29	USD	-6,235.65	
05OCT17	S	1	PD05JAN18	21060.00	20614.29	USD	2,228.55	
05OCT17	B	1	PD05JAN18	21025.00	20614.29	USD	-2,053.55	
05OCT17	S	7	PD05JAN18	21050.00	20614.29	USD	15,249.85	
05OCT17	B	1	PD05JAN18	21050.00	20614.29	USD	-2,178.55	
06OCT17	B	5	PD05JAN18	21040.00	20614.29	USD	-10,642.75	
06OCT17	S	1	PD05JAN18	21020.00	20614.29	USD	2,028.55	
06OCT17	S	2	PD05JAN18	21035.00	20614.29	USD	4,207.10	
06OCT17	S	2	PD05JAN18	21030.00	20614.29	USD	4,157.10	
06OCT17	S	1	PD05JAN18	21025.00	20614.29	USD	2,053.55	
06OCT17	B	1	PD05JAN18	20970.00	20614.29	USD	-1,778.55	
06OCT17	S	2	PD05JAN18	21010.00	20614.29	USD	3,957.10	
06OCT17	B	3	PD05JAN18	20940.00	20614.29	USD	-4,885.65	
06OCT17	B	3	PD05JAN18	20850.00	20614.29	USD	-3,535.65	
06OCT17	B	6	PD05JAN18	20860.00	20614.29	USD	-7,371.30	
06OCT17	S	1	PD05JAN18	20855.00	20614.29	USD	1,203.55	
06OCT17	B	1	PD05JAN18	20855.00	20614.29	USD	-1,203.55	
06OCT17	S	2	PD05JAN18	20845.00	20614.29	USD	2,307.10	
06OCT17	S	3	PD05JAN18	20850.00	20614.29	USD	3,535.65	
06OCT17	S	2	PD05JAN18	20800.00	20614.29	USD	1,857.10	
06OCT17	B	1	PD05JAN18	20795.00	20614.29	USD	-903.55	
06OCT17	B	1	PD05JAN18	20800.00	20614.29	USD	-928.55	
06OCT17	S	3	PD05JAN18	20980.00	20614.29	USD	5,485.65	
06OCT17	S	2	PD05JAN18	20990.00	20614.29	USD	3,757.10	
06OCT17	B	1	PD05JAN18	20980.00	20614.29	USD	-1,828.55	
06OCT17	B	1	PD05JAN18	20990.00	20614.29	USD	-1,878.55	
06OCT17	B	1	PD05JAN18	21015.00	20614.29	USD	-2,003.55	
06OCT17	S	1	PD05JAN18	20810.00	20614.29	USD	978.55	
06OCT17	S	1	PD05JAN18	20940.00	20614.29	USD	1,628.55	
06OCT17	S	1	PD05JAN18	21015.00	20614.29	USD	2,003.55	
06OCT17	S	1	PD05JAN18	20775.00	20614.29	USD	803.55	
06OCT17	B	1	PD05JAN18	20595.00	20614.29	USD	96.45	
06OCT17	B	4	PD05JAN18	20600.00	20614.29	USD	285.80	
06OCT17	B	1	PD05JAN18	20705.00	20614.29	USD	-453.55	
06OCT17	S	1	PD05JAN18	20610.00	20614.29	USD	-21.45	
06OCT17	B	4	PD05JAN18	20630.00	20614.29	USD	-314.20	
06OCT17	S	2	PD05JAN18	20615.00	20614.29	USD	7.10	
06OCT17	S	1	PD05JAN18	20620.00	20614.29	USD	28.55	
06OCT17	S	3	PD05JAN18	20595.00	20614.29	USD	-289.35	
06OCT17	B	1	PD05JAN18	20645.00	20614.29	USD	-153.55	
06OCT17	S	2	PD05JAN18	20640.00	20614.29	USD	257.10	
06OCT17	S	1	PD05JAN18	20645.00	20614.29	USD	153.55	
	NET	0	AVG BGHT 20940.00				9,125.00	0.00
09OCT17	B	1	PD09JAN18	20685.00	20608.57	USD	-382.15	
09OCT17	B	1	PD09JAN18	20720.00	20608.57	USD	-557.15	

OPEN POSITION STATEMENT



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13th October 2017

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London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

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	<u>LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
09OCT17	S	1	PD09JAN18	20745.00	20608.57	USD	682.15	
09OCT17	S	1	PD09JAN18	20755.00	20608.57	USD	732.15	
09OCT17	B	3	PD09JAN18	20845.00	20608.57	USD	-3,546.45	
09OCT17	B	1	PD09JAN18	20925.00	20608.57	USD	-1,582.15	
09OCT17	S	2	PD09JAN18	20885.00	20608.57	USD	2,764.30	
09OCT17	S	1	PD09JAN18	20990.00	20608.57	USD	1,907.15	
09OCT17	B	2	PD09JAN18	20850.00	20608.57	USD	-2,414.30	
09OCT17	S	2	PD09JAN18	20915.00	20608.57	USD	3,064.30	
09OCT17	B	1	PD09JAN18	20810.00	20608.57	USD	-1,007.15	
09OCT17	S	1	PD09JAN18	20860.00	20608.57	USD	1,257.15	
09OCT17	B	3	PD09JAN18	20820.00	20608.57	USD	-3,171.45	
09OCT17	B	2	PD09JAN18	20805.00	20608.57	USD	-1,964.30	
09OCT17	S	3	PD09JAN18	20790.00	20608.57	USD	2,721.45	
09OCT17	S	3	PD09JAN18	20770.00	20608.57	USD	2,421.45	
09OCT17	B	1	PD09JAN18	20755.00	20608.57	USD	-732.15	
09OCT17	S	5	PD09JAN18	20725.00	20608.57	USD	2,910.75	
09OCT17	B	2	PD09JAN18	20725.00	20608.57	USD	-1,164.30	
09OCT17	B	5	PD09JAN18	20750.00	20608.57	USD	-3,535.75	
09OCT17	B	1	PD09JAN18	20695.00	20608.57	USD	-432.15	
09OCT17	B	2	PD09JAN18	20745.00	20608.57	USD	-1,364.30	
09OCT17	B	2	PD09JAN18	20770.00	20608.57	USD	-1,614.30	
09OCT17	S	1	PD09JAN18	20695.00	20608.57	USD	432.15	
09OCT17	S	1	PD09JAN18	20700.00	20608.57	USD	457.15	
09OCT17	S	1	PD09JAN18	20730.00	20608.57	USD	607.15	
09OCT17	S	1	PD09JAN18	20820.00	20608.57	USD	1,057.15	
09OCT17	B	3	PD09JAN18	20800.00	20608.57	USD	-2,871.45	
09OCT17	S	3	PD09JAN18	20855.00	20608.57	USD	3,696.45	
09OCT17	S	1	PD09JAN18	20895.00	20608.57	USD	1,432.15	
09OCT17	B	3	PD09JAN18	20700.00	20608.57	USD	-1,371.45	
09OCT17	S	1	PD09JAN18	20710.00	20608.57	USD	507.15	
09OCT17	B	4	PD09JAN18	20765.00	20608.57	USD	-3,128.60	
09OCT17	S	4	PD09JAN18	20740.00	20608.57	USD	2,628.60	
09OCT17	S	2	PD09JAN18	20750.00	20608.57	USD	1,414.30	
09OCT17	S	1	PD09JAN18	20775.00	20608.57	USD	832.15	
09OCT17	S	1	PD09JAN18	20805.00	20608.57	USD	982.15	
09OCT17	S	1	PD09JAN18	20795.00	20608.57	USD	932.15	
09OCT17	B	3	PD09JAN18	20815.00	20608.57	USD	-3,096.45	
09OCT17	S	3	PD09JAN18	20815.00	20608.57	USD	3,096.45	
	NET	0AVG	BGHT 20778.25	SOLD 20791.25			2,600.00	0.00
10OCT17	B	1	PD10JAN18	20790.00	20605.71	USD	-921.45	
10OCT17	B	1	PD10JAN18	20805.00	20605.71	USD	-996.45	
10OCT17	S	1	PD10JAN18	20895.00	20605.71	USD	1,446.45	
10OCT17	S	1	PD10JAN18	20920.00	20605.71	USD	1,571.45	
10OCT17	B	1	PD10JAN18	20855.00	20605.71	USD	-1,246.45	
10OCT17	S	1	PD10JAN18	20870.00	20605.71	USD	1,321.45	
10OCT17	S	2	PD10JAN18	20900.00	20605.71	USD	2,942.90	
10OCT17	B	1	PD10JAN18	20870.00	20605.71	USD	-1,321.45	
10OCT17	S	2	PD10JAN18	20910.00	20605.71	USD	3,042.90	
10OCT17	S	1	PD10JAN18	20855.00	20605.71	USD	1,246.45	
10OCT17	S	1	PD10JAN18	20825.00	20605.71	USD	1,096.45	
10OCT17	S	2	PD10JAN18	20885.00	20605.71	USD	2,792.90	
10OCT17	S	1	PD10JAN18	20865.00	20605.71	USD	1,296.45	
10OCT17	S	1	PD10JAN18	20880.00	20605.71	USD	1,371.45	
10OCT17	B	1	PD10JAN18	20845.00	20605.71	USD	-1,196.45	
10OCT17	B	5	PD10JAN18	20850.00	20605.71	USD	-6,107.25	
10OCT17	S	4	PD10JAN18	20860.00	20605.71	USD	5,085.80	
10OCT17	B	4	PD10JAN18	20860.00	20605.71	USD	-5,085.80	

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	<u>LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
10OCT17	B	3	PD10JAN18	20885.00	20605.71	USD	-4,189.35	
10OCT17	S	3	PD10JAN18	20875.00	20605.71	USD	4,039.35	
10OCT17	B	3	PD10JAN18	20875.00	20605.71	USD	-4,039.35	
	NET	0AVG BGHT 20856.75	SOLD 20878.25				2,150.00	0.00
11OCT17	B	1	PD11JAN18	20705.00	20602.86	USD	-510.70	
11OCT17	B	1	PD11JAN18	20710.00	20602.86	USD	-535.70	
11OCT17	B	3	PD11JAN18	20715.00	20602.86	USD	-1,682.10	
11OCT17	B	2	PD11JAN18	20735.00	20602.86	USD	-1,321.40	
11OCT17	B	2	PD11JAN18	20740.00	20602.86	USD	-1,371.40	
11OCT17	B	3	PD11JAN18	20745.00	20602.86	USD	-2,132.10	
11OCT17	B	3	PD11JAN18	20760.00	20602.86	USD	-2,357.10	
11OCT17	B	2	PD11JAN18	20765.00	20602.86	USD	-1,621.40	
11OCT17	B	1	PD11JAN18	20775.00	20602.86	USD	-860.70	
11OCT17	B	1	PD11JAN18	20780.00	20602.86	USD	-885.70	
11OCT17	B	1	PD11JAN18	20790.00	20602.86	USD	-935.70	
11OCT17	B	2	PD11JAN18	20795.00	20602.86	USD	-1,921.40	
11OCT17	B	4	PD11JAN18	20800.00	20602.86	USD	-3,942.80	
11OCT17	B	1	PD11JAN18	20810.00	20602.86	USD	-1,035.70	
11OCT17	S	2	PD11JAN18	20695.00	20602.86	USD	921.40	
11OCT17	S	1	PD11JAN18	20730.00	20602.86	USD	635.70	
11OCT17	S	2	PD11JAN18	20740.00	20602.86	USD	1,371.40	
11OCT17	S	4	PD11JAN18	20745.00	20602.86	USD	2,842.80	
11OCT17	S	1	PD11JAN18	20755.00	20602.86	USD	760.70	
11OCT17	S	2	PD11JAN18	20775.00	20602.86	USD	1,721.40	
11OCT17	S	4	PD11JAN18	20780.00	20602.86	USD	3,542.80	
11OCT17	S	1	PD11JAN18	20790.00	20602.86	USD	935.70	
11OCT17	S	2	PD11JAN18	20800.00	20602.86	USD	1,971.40	
11OCT17	S	2	PD11JAN18	20805.00	20602.86	USD	2,021.40	
11OCT17	S	2	PD11JAN18	20820.00	20602.86	USD	2,171.40	
11OCT17	S	4	PD11JAN18	20825.00	20602.86	USD	4,442.80	
11OCT17	S	1	PD11JAN18	20830.00	20602.86	USD	1,135.70	
11OCT17	S	2	PD11JAN18	20840.00	20602.86	USD	2,371.40	
11OCT17	B	6	PD11JAN18	20750.00	20602.86	USD	-4,414.20	
11OCT17	B	8	PD11JAN18	20755.00	20602.86	USD	-6,085.60	
11OCT17	S	1	PD11JAN18	20735.00	20602.86	USD	660.70	
11OCT17	S	2	PD11JAN18	20750.00	20602.86	USD	1,471.40	
11OCT17	S	3	PD11JAN18	20760.00	20602.86	USD	2,357.10	
11OCT17	S	5	PD11JAN18	20765.00	20602.86	USD	4,053.50	
11OCT17	S	3	PD11JAN18	20770.00	20602.86	USD	2,507.10	
11OCT17	B	2	PD11JAN18	20785.00	20602.86	USD	-1,821.40	
11OCT17	B	1	PD11JAN18	20820.00	20602.86	USD	-1,085.70	
	NET	0AVG BGHT 20759.77	SOLD 20775.11				3,375.00	0.00
12OCT17	B	1	PD12JAN18	20790.00	20600.00	USD	-950.00	
12OCT17	S	2	PD12JAN18	20805.00	20600.00	USD	2,050.00	
12OCT17	S	1	PD12JAN18	20815.00	20600.00	USD	1,075.00	
12OCT17	S	2	PD12JAN18	20800.00	20600.00	USD	2,000.00	
12OCT17	S	2	PD12JAN18	20780.00	20600.00	USD	1,800.00	
12OCT17	S	1	PD12JAN18	20785.00	20600.00	USD	925.00	
12OCT17	S	4	PD12JAN18	20810.00	20600.00	USD	4,200.00	
12OCT17	B	5	PD12JAN18	20805.00	20600.00	USD	-5,125.00	
12OCT17	B	1	PD12JAN18	20815.00	20600.00	USD	-1,075.00	
12OCT17	B	3	PD12JAN18	20810.00	20600.00	USD	-3,150.00	
12OCT17	B	1	PD12JAN18	20820.00	20600.00	USD	-1,100.00	
12OCT17	B	1	PD12JAN18	20825.00	20600.00	USD	-1,125.00	
13OCT17	B	1	PD12JAN18	20780.00	20600.00	USD	-900.00	
13OCT17	B	3	PD12JAN18	20825.00	20600.00	USD	-3,375.00	
13OCT17	S	2	PD12JAN18	20770.00	20600.00	USD	1,700.00	

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	<u>LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
13OCT17	S	1	PD12JAN18	20835.00	20600.00	USD	1,175.00	
13OCT17	B	2	PD12JAN18	20765.00	20600.00	USD	-1,650.00	
13OCT17	B	3	PD12JAN18	20770.00	20600.00	USD	-2,550.00	
13OCT17	B	2	PD12JAN18	20790.00	20600.00	USD	-1,900.00	
13OCT17	S	1	PD12JAN18	20740.00	20600.00	USD	700.00	
13OCT17	S	3	PD12JAN18	20800.00	20600.00	USD	3,000.00	
13OCT17	B	3	PD12JAN18	20725.00	20600.00	USD	-1,875.00	
13OCT17	S	2	PD12JAN18	20725.00	20600.00	USD	1,250.00	
13OCT17	B	1	PD12JAN18	20800.00	20600.00	USD	-1,000.00	
13OCT17	S	1	PD12JAN18	20805.00	20600.00	USD	1,025.00	
13OCT17	S	2	PD12JAN18	20790.00	20600.00	USD	1,900.00	
13OCT17	S	1	PD12JAN18	20795.00	20600.00	USD	975.00	
13OCT17	B	3	PD12JAN18	20745.00	20600.00	USD	-2,175.00	
13OCT17	B	7	PD12JAN18	20750.00	20600.00	USD	-5,250.00	
13OCT17	S	1	PD12JAN18	20700.00	20600.00	USD	500.00	
13OCT17	S	1	PD12JAN18	20680.00	20600.00	USD	400.00	
13OCT17	S	3	PD12JAN18	20685.00	20600.00	USD	1,275.00	
13OCT17	S	2	PD12JAN18	20785.00	20600.00	USD	1,850.00	
13OCT17	S	5	PD12JAN18	20745.00	20600.00	USD	3,625.00	
13OCT17	B	1	PD12JAN18	20715.00	20600.00	USD	-575.00	
13OCT17	S	1	PD12JAN18	20720.00	20600.00	USD	600.00	
	NET	0AVG BGHT 20777.76	SOLD 20768.55				-1,750.00	0.00
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
17JUL17	B	1	PD17OCT17	2817.00	3294.00	USD	11,925.00	
17JUL17	B	1	PD17OCT17	2817.50	3294.00	USD	11,912.50	
17JUL17	S	1	PD17OCT17	2833.50	3294.00	USD	-11,512.50	
17JUL17	B	1	PD17OCT17	2820.00	3294.00	USD	11,850.00	
17JUL17	S	1	PD17OCT17	2829.00	3294.00	USD	-11,625.00	
17JUL17	S	1	PD17OCT17	2843.00	3294.00	USD	-11,275.00	
17JUL17	S	2	PD17OCT17	2840.00	3294.00	USD	-22,700.00	
17JUL17	B	1	PD17OCT17	2827.00	3294.00	USD	11,675.00	
17JUL17	S	2	PD17OCT17	2817.50	3294.00	USD	-23,825.00	
17JUL17	B	1	PD17OCT17	2846.00	3294.00	USD	11,200.00	
17JUL17	B	1	PD17OCT17	2846.50	3294.00	USD	11,187.50	
17JUL17	B	1	PD17OCT17	2823.00	3294.00	USD	11,775.00	
	NET	0AVG BGHT 2828.14	SOLD 2831.50				587.50	0.00
18JUL17	B	1	PD18OCT17	2785.50	3291.00	USD	12,637.50	
18JUL17	S	1	PD18OCT17	2784.50	3291.00	USD	-12,662.50	
	NET	0AVG BGHT 2785.50	SOLD 2784.50				-25.00	0.00
19JUL17	S	1	PD19OCT17	2758.50	3286.50	USD	-13,200.00	
06OCT17	B	1	PD19OCT17	3270.50	3286.50	USD	400.00	
	NET	0AVG BGHT 3270.50	SOLD 2758.50				-12,800.00	0.00
20JUL17	B	1	PD20OCT17	2734.50	3282.00	USD	13,687.50	
20JUL17	B	1	PD20OCT17	2726.00	3282.00	USD	13,900.00	
20JUL17	B	1	PD20OCT17	2726.50	3282.00	USD	13,887.50	
21JUL17	S	2	PD20OCT17	2763.50	3282.00	USD	-25,925.00	
21JUL17	B	1	PD20OCT17	2764.00	3282.00	USD	12,950.00	
21JUL17	S	1	PD20OCT17	2771.50	3282.00	USD	-12,762.50	
21JUL17	B	2	PD20OCT17	2766.00	3282.00	USD	25,800.00	
21JUL17	S	4	PD20OCT17	2764.00	3282.00	USD	-51,800.00	
21JUL17	B	1	PD20OCT17	2765.00	3282.00	USD	12,925.00	
21JUL17	B	1	PD20OCT17	2759.00	3282.00	USD	13,075.00	
21JUL17	B	1	PD20OCT17	2759.50	3282.00	USD	13,062.50	
21JUL17	B	1	PD20OCT17	2744.00	3282.00	USD	13,450.00	
06OCT17	S	3	PD20OCT17	3266.30	3282.00	USD	-1,177.50	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP096AD

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
	NET	0 AVG BGHT 2751.05	SOLD 2915.34				41,072.50	0.00
24JUL17	S	2 PD24OCT17		2778.00	3276.00	USD	-24,900.00	
24JUL17	B	1 PD24OCT17		2777.00	3276.00	USD	12,475.00	
24JUL17	S	1 PD24OCT17		2789.00	3276.00	USD	-12,175.00	
24JUL17	S	1 PD24OCT17		2782.50	3276.00	USD	-12,337.50	
24JUL17	S	1 PD24OCT17		2784.00	3276.00	USD	-12,300.00	
24JUL17	S	1 PD24OCT17		2773.50	3276.00	USD	-12,562.50	
24JUL17	S	1 PD24OCT17		2774.00	3276.00	USD	-12,550.00	
24JUL17	B	1 PD24OCT17		2772.00	3276.00	USD	12,600.00	
24JUL17	B	1 PD24OCT17		2777.50	3276.00	USD	12,462.50	
24JUL17	B	2 PD24OCT17		2778.00	3276.00	USD	24,900.00	
24JUL17	S	1 PD24OCT17		2775.00	3276.00	USD	-12,525.00	
24JUL17	S	1 PD24OCT17		2776.00	3276.00	USD	-12,500.00	
24JUL17	B	1 PD24OCT17		2776.50	3276.00	USD	12,487.50	
24JUL17	B	1 PD24OCT17		2773.50	3276.00	USD	12,562.50	
06OCT17	B	2 PD24OCT17		3261.83	3276.00	USD	708.50	
	NET	0 AVG BGHT 2884.02	SOLD 2778.89				-23,654.00	0.00
25JUL17	S	1 PD25OCT17		2783.50	3273.00	USD	-12,237.50	
25JUL17	B	1 PD25OCT17		2797.00	3273.00	USD	11,900.00	
25JUL17	B	2 PD25OCT17		2801.50	3273.00	USD	23,575.00	
06OCT17	S	2 PD25OCT17		3259.30	3273.00	USD	-685.00	
	NET	0 AVG BGHT 2800.00	SOLD 3100.70				22,552.50	0.00
28JUL17	B	2 PD27OCT17		2783.50	3270.73	USD	24,361.50	
28JUL17	S	2 PD27OCT17		2785.50	3270.73	USD	-24,261.50	
	NET	0 AVG BGHT 2783.50	SOLD 2785.50				100.00	0.00
02AUG17	S	1 PD02NOV17		2806.00	3266.20	USD	-11,505.00	
12OCT17	B	1 PD02NOV17		3297.00	3266.20	USD	-770.00	
	NET	0 AVG BGHT 3297.00	SOLD 2806.00				-12,275.00	0.00
03AUG17	B	1 PD03NOV17		2834.00	3265.07	USD	10,776.75	
04AUG17	S	2 PD03NOV17		2834.00	3265.07	USD	-21,553.50	
04AUG17	S	2 PD03NOV17		2825.00	3265.07	USD	-22,003.50	
04AUG17	S	1 PD03NOV17		2826.00	3265.07	USD	-10,976.75	
04AUG17	B	2 PD03NOV17		2824.50	3265.07	USD	22,028.50	
04AUG17	B	1 PD03NOV17		2823.00	3265.07	USD	11,051.75	
04AUG17	B	1 PD03NOV17		2813.50	3265.07	USD	11,289.25	
04AUG17	B	1 PD03NOV17		2815.50	3265.07	USD	11,239.25	
12OCT17	S	1 PD03NOV17		3295.13	3265.07	USD	751.50	
	NET	0 AVG BGHT 2822.50	SOLD 2906.52				12,603.25	0.00
07AUG17	B	1 PD07NOV17		2873.50	3262.80	USD	9,732.50	
07AUG17	B	1 PD07NOV17		2874.00	3262.80	USD	9,720.00	
07AUG17	S	1 PD07NOV17		2865.00	3262.80	USD	-9,945.00	
07AUG17	S	1 PD07NOV17		2872.00	3262.80	USD	-9,770.00	
07AUG17	S	2 PD07NOV17		2872.50	3262.80	USD	-19,515.00	
07AUG17	B	2 PD07NOV17		2873.00	3262.80	USD	19,490.00	
07AUG17	S	3 PD07NOV17		2873.00	3262.80	USD	-29,235.00	
07AUG17	S	2 PD07NOV17		2874.50	3262.80	USD	-19,415.00	
07AUG17	B	2 PD07NOV17		2877.50	3262.80	USD	19,265.00	
07AUG17	B	1 PD07NOV17		2884.00	3262.80	USD	9,470.00	
07AUG17	B	1 PD07NOV17		2880.00	3262.80	USD	9,570.00	
07AUG17	S	2 PD07NOV17		2878.00	3262.80	USD	-19,240.00	
07AUG17	S	1 PD07NOV17		2881.00	3262.80	USD	-9,545.00	
07AUG17	B	3 PD07NOV17		2880.50	3262.80	USD	28,672.50	
07AUG17	S	1 PD07NOV17		2880.00	3262.80	USD	-9,570.00	
07AUG17	B	1 PD07NOV17		2862.50	3262.80	USD	10,007.50	
07AUG17	B	1 PD07NOV17		2862.00	3262.80	USD	10,020.00	
07AUG17	S	2 PD07NOV17		2881.50	3262.80	USD	-19,065.00	

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120CT17	B	2	PD07NOV17	3292.00	3262.80	USD	-1,460.00	
	NET	0 AVG	BGHT 2930.83	SOLD 2875.33			-20,812.50	0.00
08AUG17	S	1	PD08NOV17	2902.50	3261.67	USD	-8,979.25	
08AUG17	B	1	PD08NOV17	2917.00	3261.67	USD	8,616.75	
08AUG17	B	1	PD08NOV17	2919.00	3261.67	USD	8,566.75	
08AUG17	B	2	PD08NOV17	2922.00	3261.67	USD	16,983.50	
08AUG17	S	2	PD08NOV17	2913.50	3261.67	USD	-17,408.50	
08AUG17	S	2	PD08NOV17	2917.50	3261.67	USD	-17,208.50	
08AUG17	S	2	PD08NOV17	2919.50	3261.67	USD	-17,108.50	
08AUG17	B	2	PD08NOV17	2934.00	3261.67	USD	16,383.50	
08AUG17	B	2	PD08NOV17	2932.50	3261.67	USD	16,458.50	
08AUG17	S	2	PD08NOV17	2932.00	3261.67	USD	-16,483.50	
120CT17	B	1	PD08NOV17	3290.33	3261.67	USD	-716.50	
	NET	0 AVG	BGHT 2967.04	SOLD 2918.61			-10,895.75	0.00
09AUG17	B	3	PD09NOV17	2968.00	3260.53	USD	21,939.75	
09AUG17	B	1	PD09NOV17	2979.00	3260.53	USD	7,038.25	
09AUG17	B	2	PD09NOV17	2979.50	3260.53	USD	14,051.50	
09AUG17	S	1	PD09NOV17	2965.00	3260.53	USD	-7,388.25	
09AUG17	S	3	PD09NOV17	2968.00	3260.53	USD	-21,939.75	
09AUG17	S	4	PD09NOV17	2979.00	3260.53	USD	-28,153.00	
09AUG17	B	3	PD09NOV17	2938.50	3260.53	USD	24,152.25	
09AUG17	B	3	PD09NOV17	2970.50	3260.53	USD	21,752.25	
09AUG17	S	3	PD09NOV17	2970.00	3260.53	USD	-21,789.75	
09AUG17	B	1	PD09NOV17	2936.50	3260.53	USD	8,100.75	
09AUG17	B	3	PD09NOV17	2941.00	3260.53	USD	23,964.75	
09AUG17	S	2	PD09NOV17	2940.00	3260.53	USD	-16,026.50	
09AUG17	S	3	PD09NOV17	2942.00	3260.53	USD	-23,889.75	
09AUG17	B	3	PD09NOV17	2932.50	3260.53	USD	24,602.25	
120CT17	S	3	PD09NOV17	3288.47	3260.53	USD	2,095.50	
	NET	0 AVG	BGHT 2954.00	SOLD 3014.02			28,510.25	0.00
10AUG17	B	1	PD10NOV17	2948.00	3259.40	USD	7,785.00	
10AUG17	S	1	PD10NOV17	2949.00	3259.40	USD	-7,760.00	
10AUG17	B	2	PD10NOV17	2945.50	3259.40	USD	15,695.00	
10AUG17	S	2	PD10NOV17	2947.50	3259.40	USD	-15,595.00	
11AUG17	B	1	PD10NOV17	2890.00	3259.40	USD	9,235.00	
11AUG17	S	1	PD10NOV17	2908.00	3259.40	USD	-8,785.00	
11AUG17	S	1	PD10NOV17	2911.00	3259.40	USD	-8,710.00	
11AUG17	B	2	PD10NOV17	2914.50	3259.40	USD	17,245.00	
11AUG17	B	1	PD10NOV17	2915.00	3259.40	USD	8,610.00	
11AUG17	S	3	PD10NOV17	2911.50	3259.40	USD	-26,092.50	
11AUG17	S	1	PD10NOV17	2912.50	3259.40	USD	-8,672.50	
11AUG17	S	3	PD10NOV17	2903.00	3259.40	USD	-26,730.00	
11AUG17	S	3	PD10NOV17	2904.00	3259.40	USD	-26,655.00	
11AUG17	B	3	PD10NOV17	2904.00	3259.40	USD	26,655.00	
11AUG17	B	1	PD10NOV17	2905.50	3259.40	USD	8,847.50	
11AUG17	B	1	PD10NOV17	2907.00	3259.40	USD	8,810.00	
11AUG17	B	1	PD10NOV17	2899.50	3259.40	USD	8,997.50	
120CT17	B	2	PD10NOV17	3287.00	3259.40	USD	-1,380.00	
	NET	0 AVG	BGHT 2964.73	SOLD 2915.40			-18,500.00	0.00
14AUG17	B	1	PD14NOV17	2907.50	3257.13	USD	8,740.75	
14AUG17	S	4	PD14NOV17	2908.00	3257.13	USD	-34,913.00	
14AUG17	B	5	PD14NOV17	2913.00	3257.13	USD	43,016.25	
14AUG17	S	1	PD14NOV17	2908.50	3257.13	USD	-8,715.75	
14AUG17	S	1	PD14NOV17	2910.00	3257.13	USD	-8,678.25	
14AUG17	B	2	PD14NOV17	2908.00	3257.13	USD	17,456.50	
120CT17	S	2	PD14NOV17	3283.47	3257.13	USD	1,317.00	

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
	NET	0 AVG BGHT 2911.06	SOLD 3002.18				18,223.50	0.00
15AUG17	B	1 PD15NOV17		2940.00	3256.00	USD	7,900.00	
15AUG17	S	1 PD15NOV17		2938.50	3256.00	USD	-7,937.50	
15AUG17	S	1 PD15NOV17		2951.00	3256.00	USD	-7,625.00	
15AUG17	S	1 PD15NOV17		2960.50	3256.00	USD	-7,387.50	
15AUG17	B	1 PD15NOV17		2957.00	3256.00	USD	7,475.00	
15AUG17	B	1 PD15NOV17		2957.50	3256.00	USD	7,462.50	
	NET	0 AVG BGHT 2951.50	SOLD 2950.00				-112.50	0.00
16AUG17	S	2 PD16NOV17		3010.00	3253.50	USD	-12,175.00	
16AUG17	S	3 PD16NOV17		3022.00	3253.50	USD	-17,362.50	
16AUG17	B	2 PD16NOV17		3023.00	3253.50	USD	11,525.00	
16AUG17	S	2 PD16NOV17		3022.50	3253.50	USD	-11,550.00	
16AUG17	B	1 PD16NOV17		3027.50	3253.50	USD	5,650.00	
16AUG17	B	4 PD16NOV17		3029.50	3253.50	USD	22,400.00	
16AUG17	S	1 PD16NOV17		3027.50	3253.50	USD	-5,650.00	
16AUG17	S	2 PD16NOV17		3031.50	3253.50	USD	-11,100.00	
16AUG17	B	3 PD16NOV17		3035.00	3253.50	USD	16,387.50	
16AUG17	B	1 PD16NOV17		3050.50	3253.50	USD	5,075.00	
16AUG17	S	1 PD16NOV17		3033.00	3253.50	USD	-5,512.50	
16AUG17	B	1 PD16NOV17		3084.00	3253.50	USD	4,237.50	
16AUG17	B	1 PD16NOV17		3084.50	3253.50	USD	4,225.00	
16AUG17	B	1 PD16NOV17		3089.50	3253.50	USD	4,100.00	
16AUG17	B	1 PD16NOV17		3090.00	3253.50	USD	4,087.50	
16AUG17	S	3 PD16NOV17		3078.00	3253.50	USD	-13,162.50	
16AUG17	S	1 PD16NOV17		3079.00	3253.50	USD	-4,362.50	
16AUG17	S	1 PD16NOV17		3080.00	3253.50	USD	-4,337.50	
16AUG17	B	1 PD16NOV17		3099.50	3253.50	USD	3,850.00	
16AUG17	B	1 PD16NOV17		3102.00	3253.50	USD	3,787.50	
16AUG17	B	1 PD16NOV17		3105.50	3253.50	USD	3,700.00	
16AUG17	S	5 PD16NOV17		3098.00	3253.50	USD	-19,437.50	
16AUG17	B	1 PD16NOV17		3123.50	3253.50	USD	3,250.00	
16AUG17	S	1 PD16NOV17		3118.00	3253.50	USD	-3,387.50	
16AUG17	B	1 PD16NOV17		3083.00	3253.50	USD	4,262.50	
16AUG17	B	2 PD16NOV17		3104.00	3253.50	USD	7,475.00	
	NET	0 AVG BGHT 3064.39	SOLD 3057.07				-4,025.00	0.00
17AUG17	B	2 PD17NOV17		3091.00	3251.00	USD	8,000.00	
17AUG17	S	1 PD17NOV17		3090.50	3251.00	USD	-4,012.50	
17AUG17	S	2 PD17NOV17		3091.00	3251.00	USD	-8,000.00	
17AUG17	S	1 PD17NOV17		3094.50	3251.00	USD	-3,912.50	
17AUG17	B	3 PD17NOV17		3097.50	3251.00	USD	11,512.50	
17AUG17	S	1 PD17NOV17		3094.00	3251.00	USD	-3,925.00	
17AUG17	B	3 PD17NOV17		3083.00	3251.00	USD	12,600.00	
17AUG17	S	1 PD17NOV17		3089.50	3251.00	USD	-4,037.50	
17AUG17	S	2 PD17NOV17		3090.00	3251.00	USD	-8,050.00	
18AUG17	B	1 PD17NOV17		3115.50	3251.00	USD	3,387.50	
18AUG17	B	1 PD17NOV17		3117.00	3251.00	USD	3,350.00	
18AUG17	B	1 PD17NOV17		3117.50	3251.00	USD	3,337.50	
18AUG17	B	2 PD17NOV17		3119.00	3251.00	USD	6,600.00	
18AUG17	B	1 PD17NOV17		3120.00	3251.00	USD	3,275.00	
18AUG17	S	1 PD17NOV17		3117.00	3251.00	USD	-3,350.00	
18AUG17	S	4 PD17NOV17		3117.50	3251.00	USD	-13,350.00	
18AUG17	S	1 PD17NOV17		3119.50	3251.00	USD	-3,287.50	
18AUG17	S	1 PD17NOV17		3128.00	3251.00	USD	-3,075.00	
18AUG17	S	4 PD17NOV17		3135.00	3251.00	USD	-11,600.00	
18AUG17	B	1 PD17NOV17		3127.00	3251.00	USD	3,100.00	
18AUG17	B	1 PD17NOV17		3131.50	3251.00	USD	2,987.50	

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18AUG17	B	1	PD17NOV17		3132.00	3251.00	USD	2,975.00	
18AUG17	B	3	PD17NOV17		3118.50	3251.00	USD	9,937.50	
18AUG17	B	2	PD17NOV17		3121.00	3251.00	USD	6,500.00	
18AUG17	S	3	PD17NOV17		3119.00	3251.00	USD	-9,900.00	
	NET	0 AVG	BGHT 3109.98	SOLD 3111.91				1,062.50	0.00
22AUG17	B	2	PD22NOV17		3127.00	3248.38	USD	6,069.00	
22AUG17	B	1	PD22NOV17		3128.00	3248.38	USD	3,009.50	
22AUG17	S	3	PD22NOV17		3127.00	3248.38	USD	-9,103.50	
22AUG17	B	3	PD22NOV17		3123.00	3248.38	USD	9,403.50	
22AUG17	S	3	PD22NOV17		3122.00	3248.38	USD	-9,478.50	
22AUG17	B	3	PD22NOV17		3120.50	3248.38	USD	9,591.00	
22AUG17	S	3	PD22NOV17		3114.50	3248.38	USD	-10,041.00	
	NET	0 AVG	BGHT 3123.61	SOLD 3121.17				-550.00	0.00
23AUG17	S	1	PD24NOV17		3117.50	3247.50	USD	-3,250.00	
23AUG17	S	1	PD24NOV17		3118.00	3247.50	USD	-3,237.50	
23AUG17	S	1	PD24NOV17		3123.50	3247.50	USD	-3,100.00	
23AUG17	S	2	PD24NOV17		3119.00	3247.50	USD	-6,425.00	
23AUG17	B	1	PD24NOV17		3105.00	3247.50	USD	3,562.50	
24AUG17	S	2	PD24NOV17		3105.00	3247.50	USD	-7,125.00	
24AUG17	B	2	PD24NOV17		3115.00	3247.50	USD	6,625.00	
25AUG17	B	2	PD24NOV17		3070.50	3247.50	USD	8,850.00	
25AUG17	S	1	PD24NOV17		3074.50	3247.50	USD	-4,325.00	
25AUG17	S	1	PD24NOV17		3079.50	3247.50	USD	-4,200.00	
12OCT17	B	4	PD24NOV17		3273.00	3247.50	USD	-2,550.00	
	NET	0 AVG	BGHT 3174.22	SOLD 3106.78				-15,175.00	0.00
31AUG17	S	2	PD30NOV17		3125.00	3246.50	USD	-6,075.00	
31AUG17	B	2	PD30NOV17		3127.00	3246.50	USD	5,975.00	
31AUG17	S	2	PD30NOV17		3135.00	3246.50	USD	-5,575.00	
31AUG17	B	1	PD30NOV17		3126.50	3246.50	USD	3,000.00	
31AUG17	S	1	PD30NOV17		3124.50	3246.50	USD	-3,050.00	
31AUG17	B	2	PD30NOV17		3127.50	3246.50	USD	5,950.00	
31AUG17	S	2	PD30NOV17		3137.00	3246.50	USD	-5,475.00	
31AUG17	B	1	PD30NOV17		3138.00	3246.50	USD	2,712.50	
31AUG17	B	1	PD30NOV17		3139.00	3246.50	USD	2,687.50	
	NET	0 AVG	BGHT 3130.36	SOLD 3131.21				150.00	0.00
07SEP17	S	2	PD07DEC17		3104.00	3245.25	USD	-7,062.50	
07SEP17	B	1	PD07DEC17		3121.00	3245.25	USD	3,106.25	
07SEP17	B	1	PD07DEC17		3125.00	3245.25	USD	3,006.25	
	NET	0 AVG	BGHT 3123.00	SOLD 3104.00				-950.00	0.00
15SEP17	S	1	PD15DEC17		3034.50	3243.75	USD	-5,231.25	
15SEP17	B	1	PD15DEC17		3032.50	3243.75	USD	5,281.25	
	NET	0 AVG	BGHT 3032.50	SOLD 3034.50				50.00	0.00
20SEP17	B	1	PD20DEC17		3145.50	3243.00	USD	2,437.50	
20SEP17	B	1	PD20DEC17		3148.50	3243.00	USD	2,362.50	
20SEP17	B	1	PD20DEC17		3146.50	3243.00	USD	2,412.50	
20SEP17	B	1	PD20DEC17		3149.00	3243.00	USD	2,350.00	
12OCT17	S	4	PD20DEC17		3261.80	3243.00	USD	1,880.00	
	NET	0 AVG	BGHT 3147.38	SOLD 3261.80				11,442.50	0.00
21SEP17	B	1	PD21DEC17		3075.50	3240.00	USD	4,112.50	
21SEP17	S	1	PD21DEC17		3074.50	3240.00	USD	-4,137.50	
21SEP17	B	1	PD21DEC17		3072.00	3240.00	USD	4,200.00	
21SEP17	S	1	PD21DEC17		3071.50	3240.00	USD	-4,212.50	
21SEP17	B	2	PD21DEC17		3068.50	3240.00	USD	8,575.00	
21SEP17	S	1	PD21DEC17		3085.50	3240.00	USD	-3,862.50	
21SEP17	S	1	PD21DEC17		3089.00	3240.00	USD	-3,775.00	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP096AD

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
21SEP17	B	1	PD21DEC17	3106.50	3240.00	USD	3,337.50	
21SEP17	B	1	PD21DEC17	3110.00	3240.00	USD	3,250.00	
21SEP17	S	2	PD21DEC17	3104.50	3240.00	USD	-6,775.00	
21SEP17	S	2	PD21DEC17	3105.00	3240.00	USD	-6,750.00	
21SEP17	B	2	PD21DEC17	3111.50	3240.00	USD	6,425.00	
	NET	0 AVG BGHT 3090.50	SOLD 3092.44				387.50	0.00
25SEP17	S	2	PD27DEC17	3094.50	3239.23	USD	-7,236.50	
25SEP17	B	2	PD27DEC17	3085.00	3239.23	USD	7,711.50	
25SEP17	S	2	PD27DEC17	3098.00	3239.23	USD	-7,061.50	
25SEP17	B	2	PD27DEC17	3090.50	3239.23	USD	7,436.50	
26SEP17	B	2	PD27DEC17	3137.50	3239.23	USD	5,086.50	
26SEP17	B	1	PD27DEC17	3138.00	3239.23	USD	2,530.75	
26SEP17	S	5	PD27DEC17	3134.00	3239.23	USD	-13,153.75	
26SEP17	B	2	PD27DEC17	3136.50	3239.23	USD	5,136.50	
26SEP17	S	2	PD27DEC17	3139.50	3239.23	USD	-4,986.50	
26SEP17	B	2	PD27DEC17	3150.00	3239.23	USD	4,461.50	
26SEP17	S	2	PD27DEC17	3146.00	3239.23	USD	-4,661.50	
26SEP17	S	2	PD27DEC17	3147.50	3239.23	USD	-4,586.50	
26SEP17	S	1	PD27DEC17	3148.50	3239.23	USD	-2,268.25	
26SEP17	B	3	PD27DEC17	3148.50	3239.23	USD	6,804.75	
26SEP17	S	3	PD27DEC17	3144.50	3239.23	USD	-7,104.75	
26SEP17	B	5	PD27DEC17	3140.00	3239.23	USD	12,403.75	
27SEP17	B	1	PD27DEC17	3128.00	3239.23	USD	2,780.75	
27SEP17	S	1	PD27DEC17	3130.50	3239.23	USD	-2,718.25	
	NET	0 AVG BGHT 3130.53	SOLD 3131.68				575.00	0.00
02OCT17	S	2	PD02JAN18	3197.50	3238.08	USD	-2,029.00	
02OCT17	B	2	PD02JAN18	3211.00	3238.08	USD	1,354.00	
	NET	0 AVG BGHT 3211.00	SOLD 3197.50				-675.00	0.00

OPEN POSITION VALUE

		USD
LME Aluminium 25 Tonnes.	FUTURES	623.00
LME Copper Grade A 25	FUTURES	-1,287.50
LME Lead 25 tonnes. This is an	FUTURES	-17,114.00
LME Nickel 6 tonnes. This is	FUTURES	1,640.94
LME Tin 5 tonnes. This is an	FUTURES	44,835.15
LME Zinc 25 tonnes. This is	FUTURES	16,867.25

MARKET REVALUATION BREAKDOWN

Unrealised Profits & Losses - Forwards	Amount	Profit / Loss	Total Amount	Total P&L
ALUL - Futures USD	619.00	619.00		
COPL - Futures USD	-1,287.50	-1,287.50		
LEAD - Futures USD	-17,110.25	-17,110.25		
NKL - Futures USD	1,640.94	1,640.94		
TIN - Futures USD	44,835.15	44,835.15		
ZINC - Futures USD	16,867.25	16,867.25	45,564.59	45,564.59

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ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	-32,651.63	-32,651.63
Variation Margins		
- Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	-32,651.63	-32,651.63
Initial Margins	0.00	0.00
Excess/(Shortage)	-32,651.63	-32,651.63
FOR INFORMATION ONLY		
Forward Unrealised P&L	45,564.59	45,564.59
Net Liquidating Value	12,912.96	12,912.96

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	<u>LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.</u>							
07AUG17	B	1	PD07NOV17	1952.00	2116.16	USD	4,104.00	
07AUG17	S	1	PD07NOV17	1964.50	2116.16	USD	-3,791.50	
	NET	0 AVG	BGHT 1952.00				312.50	0.00
09AUG17	B	1	PD09NOV17	2034.50	2116.96	USD	2,061.50	
09AUG17	S	1	PD09NOV17	2035.00	2116.96	USD	-2,049.00	
	NET	0 AVG	BGHT 2034.50				12.50	0.00
21SEP17	B	1	PD21DEC17	2188.00	2130.50	USD	-1,437.50	
21SEP17	S	1	PD21DEC17	2186.00	2130.50	USD	1,387.50	
	NET	0 AVG	BGHT 2188.00				-50.00	0.00
27SEP17	S	1	PD27DEC17	2141.00	2131.04	USD	249.00	
27SEP17	B	1	PD27DEC17	2130.00	2131.04	USD	26.00	
	NET	0 AVG	BGHT 2130.00				275.00	0.00
12OCT17	B	1	PD12JAN18	2141.00	2133.50	USD	-187.50	
12OCT17	S	1	PD12JAN18	2144.00	2133.50	USD	262.50	
	NET	0 AVG	BGHT 2141.00				75.00	0.00
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
17JUL17	B	1	PD17OCT17	6007.00	6859.00	USD	21,300.00	
17JUL17	S	1	PD17OCT17	6002.00	6859.00	USD	-21,425.00	
	NET	0 AVG	BGHT 6007.00				-125.00	0.00
25JUL17	B	1	PD25OCT17	6161.50	6857.50	USD	17,400.00	
25JUL17	S	1	PD25OCT17	6162.50	6857.50	USD	-17,375.00	
25JUL17	S	1	PD25OCT17	6162.00	6857.50	USD	-17,387.50	
25JUL17	B	1	PD25OCT17	6167.00	6857.50	USD	17,262.50	
	NET	0 AVG	BGHT 6164.25				-100.00	0.00
14SEP17	B	1	PD14DEC17	6520.00	6875.33	USD	8,883.25	
14SEP17	S	1	PD14DEC17	6519.50	6875.33	USD	-8,895.75	
14SEP17	B	1	PD14DEC17	6510.00	6875.33	USD	9,133.25	
14SEP17	S	1	PD14DEC17	6513.00	6875.33	USD	-9,058.25	
	NET	0 AVG	BGHT 6515.00				62.50	0.00
20SEP17	B	1	PD20DEC17	6548.50	6877.00	USD	8,212.50	
20SEP17	S	1	PD20DEC17	6555.00	6877.00	USD	-8,050.00	
	NET	0 AVG	BGHT 6548.50				162.50	0.00
26SEP17	B	1	PD27DEC17	6420.50	6876.67	USD	11,404.25	
26SEP17	S	1	PD27DEC17	6416.00	6876.67	USD	-11,516.75	
	NET	0 AVG	BGHT 6420.50				-112.50	0.00
	<u>LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
20JUL17	S	1	PD20OCT17	2216.00	2519.05	USD	-7,576.25	
21JUL17	B	1	PD20OCT17	2235.00	2519.05	USD	7,101.25	
21JUL17	S	1	PD20OCT17	2227.00	2519.05	USD	-7,301.25	
06OCT17	B	1	PD20OCT17	2520.11	2519.05	USD	-26.50	
	NET	0 AVG	BGHT 2377.56				-7,802.75	0.00
24JUL17	B	1	PD24OCT17	2263.00	2519.65	USD	6,416.25	
24JUL17	S	1	PD24OCT17	2253.50	2519.65	USD	-6,653.75	
24JUL17	B	1	PD24OCT17	2247.50	2519.65	USD	6,803.75	
06OCT17	B	1	PD24OCT17	2520.73	2519.65	USD	-27.00	
12OCT17	S	2	PD24OCT17	2548.00	2519.65	USD	1,417.50	
	NET	0 AVG	BGHT 2343.74				7,956.75	0.00
25JUL17	S	1	PD25OCT17	2273.50	2519.80	USD	-6,157.50	
06OCT17	S	1	PD25OCT17	2520.74	2519.80	USD	23.50	
12OCT17	B	2	PD25OCT17	2548.33	2519.80	USD	-1,426.50	
	NET	0 AVG	BGHT 2548.33				-7,560.50	0.00

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	<u>LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
07AUG17	B	1	PD07NOV17	2381.50	2521.67	USD	3,504.25	
12OCT17	S	1	PD07NOV17	2549.75	2521.67	USD	702.00	
	NET	0AVG	BGHT 2381.50				4,206.25	0.00
18AUG17	S	1	PD17NOV17	2418.00	2522.75	USD	-2,618.75	
12OCT17	B	1	PD17NOV17	2550.95	2522.75	USD	-705.00	
	NET	0AVG	BGHT 2550.95				-3,323.75	0.00
22AUG17	B	1	PD22NOV17	2328.50	2523.51	USD	4,875.25	
22AUG17	S	1	PD22NOV17	2331.50	2523.51	USD	-4,800.25	
	NET	0AVG	BGHT 2328.50				75.00	0.00
23AUG17	B	1	PD24NOV17	2416.00	2523.81	USD	2,695.25	
23AUG17	S	1	PD24NOV17	2402.00	2523.81	USD	-3,045.25	
24AUG17	B	1	PD24NOV17	2366.00	2523.81	USD	3,945.25	
24AUG17	B	1	PD24NOV17	2350.00	2523.81	USD	4,345.25	
24AUG17	B	1	PD24NOV17	2351.00	2523.81	USD	4,320.25	
24AUG17	S	2	PD24NOV17	2350.00	2523.81	USD	-8,690.50	
24AUG17	S	1	PD24NOV17	2354.00	2523.81	USD	-4,245.25	
	NET	0AVG	BGHT 2370.75				-675.00	0.00
21SEP17	S	1	PD21DEC17	2475.00	2526.75	USD	-1,293.75	
21SEP17	S	1	PD21DEC17	2479.00	2526.75	USD	-1,193.75	
21SEP17	B	2	PD21DEC17	2480.00	2526.75	USD	2,337.50	
21SEP17	S	1	PD21DEC17	2470.00	2526.75	USD	-1,418.75	
21SEP17	B	1	PD21DEC17	2474.50	2526.75	USD	1,306.25	
21SEP17	S	1	PD21DEC17	2488.00	2526.75	USD	-968.75	
21SEP17	B	1	PD21DEC17	2491.00	2526.75	USD	893.75	
	NET	0AVG	BGHT 2481.38				-337.50	0.00
27SEP17	B	1	PD27DEC17	2459.00	2527.64	USD	1,716.00	
27SEP17	S	1	PD27DEC17	2466.00	2527.64	USD	-1,541.00	
	NET	0AVG	BGHT 2459.00				175.00	0.00
12OCT17	B	1	PD12JAN18	2577.00	2530.00	USD	-1,175.00	
12OCT17	S	1	PD12JAN18	2573.50	2530.00	USD	1,087.50	
	NET	0AVG	BGHT 2577.00				-87.50	0.00
	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
18JUL17	B	1	PD18OCT17	9765.00	11610.50	USD	11,073.00	
18JUL17	S	1	PD18OCT17	9735.00	11610.50	USD	-11,253.00	
	NET	0AVG	BGHT 9765.00				-180.00	0.00
19JUL17	B	1	PD19OCT17	9775.00	11610.50	USD	11,013.00	
19JUL17	S	1	PD19OCT17	9775.00	11610.50	USD	-11,013.00	
	NET	0AVG	BGHT 9775.00				0.00	0.00
24JUL17	S	2	PD24OCT17	9770.00	11614.76	USD	-22,137.12	
24JUL17	B	2	PD24OCT17	9750.00	11614.76	USD	22,377.12	
	NET	0AVG	BGHT 9750.00				240.00	0.00
27JUL17	S	1	PD27OCT17	10205.00	11617.31	USD	-8,473.86	
27JUL17	B	1	PD27OCT17	10210.00	11617.31	USD	8,443.86	
	NET	0AVG	BGHT 10210.00				-30.00	0.00
01AUG17	S	1	PD01NOV17	10200.00	11621.57	USD	-8,529.42	
01AUG17	B	1	PD01NOV17	10165.00	11621.57	USD	8,739.42	
	NET	0AVG	BGHT 10165.00				210.00	0.00
04AUG17	B	1	PD03NOV17	10275.00	11623.28	USD	8,089.68	
04AUG17	S	1	PD03NOV17	10285.00	11623.28	USD	-8,029.68	
	NET	0AVG	BGHT 10275.00				60.00	0.00
09AUG17	B	1	PD09NOV17	10750.00	11628.39	USD	5,270.34	
09AUG17	S	1	PD09NOV17	10750.00	11628.39	USD	-5,270.34	

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
	NET	0 AVG BGHT 10750.00	SOLD 10750.00				0.00	0.00
10AUG17	B	1 PD10NOV17		10825.00	11629.24	USD	4,825.44	
10AUG17	S	2 PD10NOV17		10830.00	11629.24	USD	-9,590.88	
10AUG17	B	1 PD10NOV17		10845.00	11629.24	USD	4,705.44	
	NET	0 AVG BGHT 10835.00	SOLD 10830.00				-60.00	0.00
15AUG17	S	1 PD15NOV17		10455.00	11633.50	USD	-7,071.00	
15AUG17	B	1 PD15NOV17		10450.00	11633.50	USD	7,101.00	
	NET	0 AVG BGHT 10450.00	SOLD 10455.00				30.00	0.00
16AUG17	B	1 PD16NOV17		10625.00	11634.19	USD	6,055.14	
16AUG17	S	1 PD16NOV17		10625.00	11634.19	USD	-6,055.14	
16AUG17	S	1 PD16NOV17		10635.00	11634.19	USD	-5,995.14	
16AUG17	B	1 PD16NOV17		10610.00	11634.19	USD	6,145.14	
	NET	0 AVG BGHT 10617.50	SOLD 10630.00				150.00	0.00
17AUG17	B	1 PD17NOV17		10650.00	11634.87	USD	5,909.22	
18AUG17	S	1 PD17NOV17		10660.00	11634.87	USD	-5,849.22	
	NET	0 AVG BGHT 10650.00	SOLD 10660.00				60.00	0.00
21AUG17	B	1 PD21NOV17		11350.00	11637.61	USD	1,725.66	
21AUG17	S	1 PD21NOV17		11345.00	11637.61	USD	-1,755.66	
	NET	0 AVG BGHT 11350.00	SOLD 11345.00				-30.00	0.00
23AUG17	B	1 PD24NOV17		11470.00	11639.67	USD	1,018.02	
23AUG17	S	1 PD24NOV17		11445.00	11639.67	USD	-1,168.02	
25AUG17	B	1 PD24NOV17		11440.00	11639.67	USD	1,198.02	
25AUG17	S	1 PD24NOV17		11445.00	11639.67	USD	-1,168.02	
	NET	0 AVG BGHT 11455.00	SOLD 11445.00				-120.00	0.00
07SEP17	S	1 PD07DEC17		12145.00	11648.59	USD	2,978.46	
07SEP17	B	1 PD07DEC17		12180.00	11648.59	USD	-3,188.46	
	NET	0 AVG BGHT 12180.00	SOLD 12145.00				-210.00	0.00
08SEP17	B	1 PD08DEC17		11920.00	11649.27	USD	-1,624.38	
08SEP17	S	1 PD08DEC17		11960.00	11649.27	USD	1,864.38	
08SEP17	B	1 PD08DEC17		11930.00	11649.27	USD	-1,684.38	
08SEP17	S	1 PD08DEC17		11925.00	11649.27	USD	1,654.38	
	NET	0 AVG BGHT 11925.00	SOLD 11942.50				210.00	0.00
13SEP17	B	1 PD13DEC17		11560.00	11652.70	USD	556.20	
13SEP17	S	1 PD13DEC17		11555.00	11652.70	USD	-586.20	
13SEP17	B	1 PD13DEC17		11555.00	11652.70	USD	586.20	
13SEP17	S	1 PD13DEC17		11585.00	11652.70	USD	-406.20	
	NET	0 AVG BGHT 11557.50	SOLD 11570.00				150.00	0.00
20SEP17	B	1 PD20DEC17		11405.00	11657.50	USD	1,515.00	
20SEP17	S	1 PD20DEC17		11390.00	11657.50	USD	-1,605.00	
	NET	0 AVG BGHT 11405.00	SOLD 11390.00				-90.00	0.00
21SEP17	B	1 PD21DEC17		11140.00	11658.26	USD	3,109.56	
21SEP17	S	1 PD21DEC17		11135.00	11658.26	USD	-3,139.56	
	NET	0 AVG BGHT 11140.00	SOLD 11135.00				-30.00	0.00
22SEP17	B	1 PD22DEC17		10550.00	11659.02	USD	6,654.12	
22SEP17	S	1 PD22DEC17		10530.00	11659.02	USD	-6,774.12	
	NET	0 AVG BGHT 10550.00	SOLD 10530.00				-120.00	0.00
	<u>LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
17JUL17	B	1 PD17OCT17		20050.00	20735.00	USD	3,425.00	
17JUL17	S	1 PD17OCT17		20070.00	20735.00	USD	-3,325.00	
17JUL17	B	2 PD17OCT17		19945.00	20735.00	USD	7,900.00	
17JUL17	S	1 PD17OCT17		19875.00	20735.00	USD	-4,300.00	
17JUL17	S	1 PD17OCT17		19910.00	20735.00	USD	-4,125.00	

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	<u>LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>								
	NET	0 AVG	BGHT	19980.00	SOLD	19951.67		-425.00	0.00
18JUL17	B	1	PD18OCT17		20050.00	20735.00	USD	3,425.00	
18JUL17	S	1	PD18OCT17		20045.00	20735.00	USD	-3,450.00	
18JUL17	S	1	PD18OCT17		20040.00	20735.00	USD	-3,475.00	
18JUL17	B	1	PD18OCT17		19930.00	20735.00	USD	4,025.00	
18JUL17	S	1	PD18OCT17		19965.00	20735.00	USD	-3,850.00	
18JUL17	B	1	PD18OCT17		20030.00	20735.00	USD	3,525.00	
	NET	0 AVG	BGHT	20003.33	SOLD	20016.67		200.00	0.00
19JUL17	B	1	PD19OCT17		20045.00	20732.50	USD	3,437.50	
19JUL17	S	2	PD19OCT17		20105.00	20732.50	USD	-6,275.00	
19JUL17	B	1	PD19OCT17		20055.00	20732.50	USD	3,387.50	
19JUL17	S	1	PD19OCT17		20100.00	20732.50	USD	-3,162.50	
19JUL17	B	1	PD19OCT17		20100.00	20732.50	USD	3,162.50	
19JUL17	S	1	PD19OCT17		20135.00	20732.50	USD	-2,987.50	
19JUL17	B	1	PD19OCT17		20110.00	20732.50	USD	3,112.50	
19JUL17	S	2	PD19OCT17		20145.00	20732.50	USD	-5,875.00	
06OCT17	B	2	PD19OCT17		20656.50	20732.50	USD	760.00	
	NET	0 AVG	BGHT	20270.50	SOLD	20122.50		-4,440.00	0.00
20JUL17	B	2	PD20OCT17		20100.00	20730.00	USD	6,300.00	
20JUL17	B	1	PD20OCT17		20110.00	20730.00	USD	3,100.00	
20JUL17	B	1	PD20OCT17		20085.00	20730.00	USD	3,225.00	
20JUL17	S	2	PD20OCT17		20095.00	20730.00	USD	-6,350.00	
20JUL17	B	1	PD20OCT17		20090.00	20730.00	USD	3,200.00	
20JUL17	S	1	PD20OCT17		20075.00	20730.00	USD	-3,275.00	
06OCT17	S	2	PD20OCT17		20652.00	20730.00	USD	-780.00	
	NET	0 AVG	BGHT	20097.00	SOLD	20313.80		5,420.00	0.00
24JUL17	S	2	PD24OCT17		20125.00	20725.00	USD	-6,000.00	
24JUL17	S	1	PD24OCT17		20225.00	20725.00	USD	-2,500.00	
24JUL17	B	1	PD24OCT17		20235.00	20725.00	USD	2,450.00	
24JUL17	B	2	PD24OCT17		20130.00	20725.00	USD	5,950.00	
24JUL17	S	1	PD24OCT17		20165.00	20725.00	USD	-2,800.00	
24JUL17	B	1	PD24OCT17		20145.00	20725.00	USD	2,900.00	
	NET	0 AVG	BGHT	20160.00	SOLD	20160.00		0.00	0.00
25JUL17	S	1	PD25OCT17		20245.00	20722.50	USD	-2,387.50	
25JUL17	B	1	PD25OCT17		20275.00	20722.50	USD	2,237.50	
25JUL17	S	1	PD25OCT17		20300.00	20722.50	USD	-2,112.50	
25JUL17	B	1	PD25OCT17		20330.00	20722.50	USD	1,962.50	
25JUL17	S	1	PD25OCT17		20335.00	20722.50	USD	-1,937.50	
25JUL17	B	3	PD25OCT17		20300.00	20722.50	USD	6,337.50	
25JUL17	S	2	PD25OCT17		20330.00	20722.50	USD	-3,925.00	
25JUL17	S	1	PD25OCT17		20350.00	20722.50	USD	-1,862.50	
06OCT17	B	1	PD25OCT17		20642.50	20722.50	USD	400.00	
	NET	0 AVG	BGHT	20357.92	SOLD	20315.00		-1,287.50	0.00
26JUL17	S	1	PD26OCT17		20360.00	20720.00	USD	-1,800.00	
26JUL17	B	1	PD26OCT17		20255.00	20720.00	USD	2,325.00	
26JUL17	B	1	PD26OCT17		20350.00	20720.00	USD	1,850.00	
26JUL17	S	1	PD26OCT17		20365.00	20720.00	USD	-1,775.00	
26JUL17	B	1	PD26OCT17		20375.00	20720.00	USD	1,725.00	
26JUL17	B	1	PD26OCT17		20310.00	20720.00	USD	2,050.00	
26JUL17	B	1	PD26OCT17		20305.00	20720.00	USD	2,075.00	
06OCT17	S	1	PD26OCT17		20638.00	20720.00	USD	-410.00	
06OCT17	S	2	PD26OCT17		20639.00	20720.00	USD	-810.00	
	NET	0 AVG	BGHT	20319.00	SOLD	20528.20		5,230.00	0.00
27JUL17	B	1	PD27OCT17		20600.00	20717.50	USD	587.50	
27JUL17	S	1	PD27OCT17		20590.00	20717.50	USD	-637.50	

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Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
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backoffice@sapiencap.com

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	<u>LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
27JUL17	B	1	PD27OCT17	20645.00	20717.50	USD	362.50	
27JUL17	S	1	PD27OCT17	20670.00	20717.50	USD	-237.50	
27JUL17	B	1	PD27OCT17	20520.00	20717.50	USD	987.50	
27JUL17	B	1	PD27OCT17	20640.00	20717.50	USD	387.50	
27JUL17	S	1	PD27OCT17	20520.00	20717.50	USD	-987.50	
27JUL17	S	1	PD27OCT17	20625.00	20717.50	USD	-462.50	
27JUL17	S	1	PD27OCT17	20695.00	20717.50	USD	-112.50	
27JUL17	S	2	PD27OCT17	20750.00	20717.50	USD	325.00	
27JUL17	B	2	PD27OCT17	20760.00	20717.50	USD	-425.00	
27JUL17	S	1	PD27OCT17	20760.00	20717.50	USD	212.50	
27JUL17	S	1	PD27OCT17	20765.00	20717.50	USD	237.50	
27JUL17	B	1	PD27OCT17	20755.00	20717.50	USD	-187.50	
27JUL17	B	1	PD27OCT17	20765.00	20717.50	USD	-237.50	
27JUL17	S	1	PD27OCT17	20595.00	20717.50	USD	-612.50	
27JUL17	S	1	PD27OCT17	20680.00	20717.50	USD	-187.50	
27JUL17	S	1	PD27OCT17	20700.00	20717.50	USD	-87.50	
28JUL17	B	1	PD27OCT17	20585.00	20717.50	USD	662.50	
28JUL17	B	1	PD27OCT17	20720.00	20717.50	USD	-12.50	
28JUL17	S	1	PD27OCT17	20735.00	20717.50	USD	87.50	
28JUL17	B	1	PD27OCT17	20715.00	20717.50	USD	12.50	
28JUL17	S	1	PD27OCT17	20745.00	20717.50	USD	137.50	
28JUL17	B	1	PD27OCT17	20670.00	20717.50	USD	237.50	
28JUL17	B	1	PD27OCT17	20685.00	20717.50	USD	162.50	
28JUL17	S	1	PD27OCT17	20685.00	20717.50	USD	-162.50	
28JUL17	S	3	PD27OCT17	20715.00	20717.50	USD	-37.50	
28JUL17	S	1	PD27OCT17	20720.00	20717.50	USD	12.50	
28JUL17	S	1	PD27OCT17	20750.00	20717.50	USD	162.50	
28JUL17	B	1	PD27OCT17	20780.00	20717.50	USD	-312.50	
28JUL17	B	1	PD27OCT17	20800.00	20717.50	USD	-412.50	
28JUL17	S	1	PD27OCT17	20830.00	20717.50	USD	562.50	
28JUL17	B	4	PD27OCT17	20755.00	20717.50	USD	-750.00	
28JUL17	B	1	PD27OCT17	20630.00	20717.50	USD	437.50	
28JUL17	B	1	PD27OCT17	20700.00	20717.50	USD	87.50	
28JUL17	S	1	PD27OCT17	20670.00	20717.50	USD	-237.50	
28JUL17	S	1	PD27OCT17	20625.00	20717.50	USD	-462.50	
06OCT17	B	2	PD27OCT17	20636.50	20717.50	USD	810.00	
	NET	0 AVG BGHT 20696.65	SOLD 20695.87				-90.00	0.00
01AUG17	S	1	PD01NOV17	20565.00	20710.00	USD	-725.00	
01AUG17	B	1	PD01NOV17	20570.00	20710.00	USD	700.00	
01AUG17	S	1	PD01NOV17	20545.00	20710.00	USD	-825.00	
01AUG17	B	1	PD01NOV17	20550.00	20710.00	USD	800.00	
01AUG17	S	1	PD01NOV17	20455.00	20710.00	USD	-1,275.00	
01AUG17	S	1	PD01NOV17	20380.00	20710.00	USD	-1,650.00	
01AUG17	B	1	PD01NOV17	20380.00	20710.00	USD	1,650.00	
01AUG17	B	1	PD01NOV17	20430.00	20710.00	USD	1,400.00	
	NET	0 AVG BGHT 20482.50	SOLD 20486.25				75.00	0.00
03AUG17	S	1	PD03NOV17	20670.00	20705.00	USD	-175.00	
03AUG17	S	1	PD03NOV17	20655.00	20705.00	USD	-250.00	
04AUG17	B	1	PD03NOV17	20660.00	20705.00	USD	225.00	
04AUG17	S	1	PD03NOV17	20735.00	20705.00	USD	150.00	
04AUG17	B	1	PD03NOV17	20655.00	20705.00	USD	250.00	
04AUG17	B	1	PD03NOV17	20780.00	20705.00	USD	-375.00	
04AUG17	B	1	PD03NOV17	20525.00	20705.00	USD	900.00	
04AUG17	S	1	PD03NOV17	20520.00	20705.00	USD	-925.00	
04AUG17	S	1	PD03NOV17	20570.00	20705.00	USD	-675.00	
04AUG17	S	1	PD03NOV17	20575.00	20705.00	USD	-650.00	
04AUG17	B	2	PD03NOV17	20570.00	20705.00	USD	1,350.00	

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	<u>LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>								
	NET	0 AVG	BGHT	20626.67	SOLD	20620.83		-175.00	0.00
07AUG17	S	1	PD07NOV17		20605.00	20700.00	USD	-475.00	
07AUG17	B	2	PD07NOV17		20570.00	20700.00	USD	1,300.00	
07AUG17	S	1	PD07NOV17		20590.00	20700.00	USD	-550.00	
07AUG17	S	1	PD07NOV17		20650.00	20700.00	USD	-250.00	
07AUG17	B	1	PD07NOV17		20600.00	20700.00	USD	500.00	
	NET	0 AVG	BGHT	20580.00	SOLD	20615.00		525.00	0.00
08AUG17	B	1	PD08NOV17		20425.00	20697.50	USD	1,362.50	
08AUG17	B	1	PD08NOV17		20435.00	20697.50	USD	1,312.50	
08AUG17	S	1	PD08NOV17		20425.00	20697.50	USD	-1,362.50	
08AUG17	S	1	PD08NOV17		20530.00	20697.50	USD	-837.50	
08AUG17	B	1	PD08NOV17		20550.00	20697.50	USD	737.50	
08AUG17	B	1	PD08NOV17		20470.00	20697.50	USD	1,137.50	
08AUG17	S	1	PD08NOV17		20460.00	20697.50	USD	-1,187.50	
08AUG17	S	2	PD08NOV17		20415.00	20697.50	USD	-2,825.00	
08AUG17	B	1	PD08NOV17		20350.00	20697.50	USD	1,737.50	
08AUG17	B	1	PD08NOV17		20310.00	20697.50	USD	1,937.50	
08AUG17	S	1	PD08NOV17		20305.00	20697.50	USD	-1,962.50	
08AUG17	B	1	PD08NOV17		20335.00	20697.50	USD	1,812.50	
08AUG17	S	1	PD08NOV17		20275.00	20697.50	USD	-2,112.50	
08AUG17	S	1	PD08NOV17		20205.00	20697.50	USD	-2,462.50	
08AUG17	B	1	PD08NOV17		20160.00	20697.50	USD	2,687.50	
08AUG17	B	1	PD08NOV17		20410.00	20697.50	USD	1,437.50	
08AUG17	S	1	PD08NOV17		20350.00	20697.50	USD	-1,737.50	
	NET	0 AVG	BGHT	20382.78	SOLD	20375.56		-325.00	0.00
09AUG17	S	1	PD09NOV17		20370.00	20695.00	USD	-1,625.00	
09AUG17	B	1	PD09NOV17		20305.00	20695.00	USD	1,950.00	
09AUG17	B	1	PD09NOV17		20405.00	20695.00	USD	1,450.00	
09AUG17	S	1	PD09NOV17		20400.00	20695.00	USD	-1,475.00	
09AUG17	S	1	PD09NOV17		20490.00	20695.00	USD	-1,025.00	
09AUG17	S	1	PD09NOV17		20410.00	20695.00	USD	-1,425.00	
09AUG17	S	1	PD09NOV17		20430.00	20695.00	USD	-1,325.00	
09AUG17	B	2	PD09NOV17		20420.00	20695.00	USD	2,750.00	
09AUG17	B	1	PD09NOV17		20490.00	20695.00	USD	1,025.00	
09AUG17	B	1	PD09NOV17		20240.00	20695.00	USD	2,275.00	
09AUG17	S	1	PD09NOV17		20235.00	20695.00	USD	-2,300.00	
	NET	0 AVG	BGHT	20380.00	SOLD	20389.17		275.00	0.00
10AUG17	B	1	PD10NOV17		20355.00	20692.50	USD	1,687.50	
10AUG17	B	1	PD10NOV17		20400.00	20692.50	USD	1,462.50	
10AUG17	S	1	PD10NOV17		20345.00	20692.50	USD	-1,737.50	
10AUG17	S	1	PD10NOV17		20380.00	20692.50	USD	-1,562.50	
10AUG17	S	1	PD10NOV17		20315.00	20692.50	USD	-1,887.50	
10AUG17	S	3	PD10NOV17		20260.00	20692.50	USD	-6,487.50	
10AUG17	S	1	PD10NOV17		20265.00	20692.50	USD	-2,137.50	
10AUG17	B	1	PD10NOV17		20250.00	20692.50	USD	2,212.50	
10AUG17	B	3	PD10NOV17		20300.00	20692.50	USD	5,887.50	
10AUG17	S	1	PD10NOV17		20255.00	20692.50	USD	-2,187.50	
10AUG17	S	1	PD10NOV17		20305.00	20692.50	USD	-1,937.50	
10AUG17	S	3	PD10NOV17		20290.00	20692.50	USD	-6,037.50	
10AUG17	B	2	PD10NOV17		20260.00	20692.50	USD	4,325.00	
10AUG17	B	3	PD10NOV17		20320.00	20692.50	USD	5,587.50	
10AUG17	B	1	PD10NOV17		20445.00	20692.50	USD	1,237.50	
11AUG17	S	1	PD10NOV17		20255.00	20692.50	USD	-2,187.50	
11AUG17	B	1	PD10NOV17		20300.00	20692.50	USD	1,962.50	
11AUG17	B	2	PD10NOV17		20270.00	20692.50	USD	4,225.00	
11AUG17	S	1	PD10NOV17		20270.00	20692.50	USD	-2,112.50	

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11AUG17	S	1	PD10NOV17	20295.00	20692.50	USD	-1,987.50	
11AUG17	S	1	PD10NOV17	20335.00	20692.50	USD	-1,787.50	
11AUG17	B	1	PD10NOV17	20350.00	20692.50	USD	1,712.50	
11AUG17	S	1	PD10NOV17	20365.00	20692.50	USD	-1,637.50	
11AUG17	S	1	PD10NOV17	20375.00	20692.50	USD	-1,587.50	
11AUG17	B	2	PD10NOV17	20370.00	20692.50	USD	3,225.00	
11AUG17	B	1	PD10NOV17	20355.00	20692.50	USD	1,687.50	
11AUG17	S	1	PD10NOV17	20325.00	20692.50	USD	-1,837.50	
11AUG17	B	1	PD10NOV17	20310.00	20692.50	USD	1,912.50	
11AUG17	S	1	PD10NOV17	20355.00	20692.50	USD	-1,687.50	
	NET	0 AVG BGHT 20321.25	SOLD 20304.50				-1,675.00	0.00
14AUG17	S	1	PD14NOV17	20370.00	20687.50	USD	-1,587.50	
14AUG17	B	1	PD14NOV17	20320.00	20687.50	USD	1,837.50	
	NET	0 AVG BGHT 20320.00	SOLD 20370.00				250.00	0.00
15AUG17	S	1	PD15NOV17	20235.00	20685.00	USD	-2,250.00	
15AUG17	B	1	PD15NOV17	20205.00	20685.00	USD	2,400.00	
15AUG17	B	1	PD15NOV17	20250.00	20685.00	USD	2,175.00	
15AUG17	S	1	PD15NOV17	20250.00	20685.00	USD	-2,175.00	
	NET	0 AVG BGHT 20227.50	SOLD 20242.50				150.00	0.00
16AUG17	S	1	PD16NOV17	20055.00	20683.13	USD	-3,140.65	
16AUG17	B	1	PD16NOV17	19975.00	20683.13	USD	3,540.65	
16AUG17	B	1	PD16NOV17	19960.00	20683.13	USD	3,615.65	
16AUG17	B	1	PD16NOV17	20000.00	20683.13	USD	3,415.65	
16AUG17	S	1	PD16NOV17	19995.00	20683.13	USD	-3,440.65	
16AUG17	S	1	PD16NOV17	20010.00	20683.13	USD	-3,365.65	
	NET	0 AVG BGHT 19978.33	SOLD 20020.00				625.00	0.00
17AUG17	S	1	PD17NOV17	20230.00	20681.25	USD	-2,256.25	
17AUG17	B	1	PD17NOV17	20260.00	20681.25	USD	2,106.25	
17AUG17	S	1	PD17NOV17	20320.00	20681.25	USD	-1,806.25	
17AUG17	B	1	PD17NOV17	20350.00	20681.25	USD	1,656.25	
17AUG17	S	1	PD17NOV17	20140.00	20681.25	USD	-2,706.25	
17AUG17	B	1	PD17NOV17	20105.00	20681.25	USD	2,881.25	
17AUG17	S	1	PD17NOV17	20180.00	20681.25	USD	-2,506.25	
17AUG17	B	1	PD17NOV17	20200.00	20681.25	USD	2,406.25	
17AUG17	S	1	PD17NOV17	20150.00	20681.25	USD	-2,656.25	
18AUG17	S	1	PD17NOV17	20285.00	20681.25	USD	-1,981.25	
18AUG17	B	1	PD17NOV17	20140.00	20681.25	USD	2,706.25	
18AUG17	B	1	PD17NOV17	20275.00	20681.25	USD	2,031.25	
18AUG17	S	1	PD17NOV17	20235.00	20681.25	USD	-2,231.25	
18AUG17	B	1	PD17NOV17	20205.00	20681.25	USD	2,381.25	
18AUG17	S	1	PD17NOV17	20200.00	20681.25	USD	-2,406.25	
18AUG17	B	1	PD17NOV17	20245.00	20681.25	USD	2,181.25	
	NET	0 AVG BGHT 20222.50	SOLD 20217.50				-200.00	0.00
21AUG17	S	1	PD21NOV17	20375.00	20677.50	USD	-1,512.50	
21AUG17	B	1	PD21NOV17	20395.00	20677.50	USD	1,412.50	
21AUG17	S	1	PD21NOV17	20340.00	20677.50	USD	-1,687.50	
21AUG17	B	1	PD21NOV17	20315.00	20677.50	USD	1,812.50	
21AUG17	B	1	PD21NOV17	20335.00	20677.50	USD	1,712.50	
21AUG17	S	1	PD21NOV17	20335.00	20677.50	USD	-1,712.50	
21AUG17	B	1	PD21NOV17	20430.00	20677.50	USD	1,237.50	
21AUG17	S	1	PD21NOV17	20400.00	20677.50	USD	-1,387.50	
21AUG17	B	1	PD21NOV17	20365.00	20677.50	USD	1,562.50	
21AUG17	B	1	PD21NOV17	20355.00	20677.50	USD	1,612.50	
21AUG17	S	1	PD21NOV17	20380.00	20677.50	USD	-1,487.50	
21AUG17	S	2	PD21NOV17	20420.00	20677.50	USD	-2,575.00	
21AUG17	S	1	PD21NOV17	20430.00	20677.50	USD	-1,237.50	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP096AE

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

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FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
21AUG17	B	2	PD21NOV17	20450.00	20677.50	USD	2,275.00	
	NET	0 AVG	BGHT 20386.88	SOLD 20387.50			25.00	0.00
22AUG17	S	1	PD22NOV17	20465.00	20675.63	USD	-1,053.15	
22AUG17	B	1	PD22NOV17	20485.00	20675.63	USD	953.15	
22AUG17	B	1	PD22NOV17	20460.00	20675.63	USD	1,078.15	
22AUG17	S	2	PD22NOV17	20490.00	20675.63	USD	-1,856.30	
22AUG17	B	1	PD22NOV17	20470.00	20675.63	USD	1,028.15	
	NET	0 AVG	BGHT 20471.67	SOLD 20481.67			150.00	0.00
23AUG17	B	1	PD24NOV17	20310.00	20673.75	USD	1,818.75	
23AUG17	S	1	PD24NOV17	20300.00	20673.75	USD	-1,868.75	
23AUG17	S	1	PD24NOV17	20455.00	20673.75	USD	-1,093.75	
23AUG17	B	1	PD24NOV17	20515.00	20673.75	USD	793.75	
23AUG17	B	1	PD24NOV17	20460.00	20673.75	USD	1,068.75	
23AUG17	S	1	PD24NOV17	20530.00	20673.75	USD	-718.75	
24AUG17	B	1	PD24NOV17	20555.00	20673.75	USD	593.75	
24AUG17	S	1	PD24NOV17	20520.00	20673.75	USD	-768.75	
24AUG17	S	1	PD24NOV17	20495.00	20673.75	USD	-893.75	
24AUG17	B	1	PD24NOV17	20500.00	20673.75	USD	868.75	
25AUG17	S	1	PD24NOV17	20420.00	20673.75	USD	-1,268.75	
12OCT17	B	1	PD24NOV17	20803.75	20673.75	USD	-650.00	
	NET	0 AVG	BGHT 20523.96	SOLD 20453.33			-2,118.75	0.00
29AUG17	S	1	PD29NOV17	20640.00	20668.13	USD	-140.65	
29AUG17	B	1	PD29NOV17	20450.00	20668.13	USD	1,090.65	
29AUG17	B	1	PD29NOV17	20605.00	20668.13	USD	315.65	
29AUG17	B	2	PD29NOV17	20555.00	20668.13	USD	1,131.30	
29AUG17	S	1	PD29NOV17	20550.00	20668.13	USD	-590.65	
29AUG17	B	1	PD29NOV17	20550.00	20668.13	USD	590.65	
29AUG17	S	2	PD29NOV17	20545.00	20668.13	USD	-1,231.30	
29AUG17	S	1	PD29NOV17	20570.00	20668.13	USD	-490.65	
29AUG17	B	1	PD29NOV17	20570.00	20668.13	USD	490.65	
29AUG17	B	1	PD29NOV17	20530.00	20668.13	USD	690.65	
29AUG17	S	1	PD29NOV17	20530.00	20668.13	USD	-690.65	
29AUG17	S	1	PD29NOV17	20515.00	20668.13	USD	-765.65	
29AUG17	B	1	PD29NOV17	20500.00	20668.13	USD	840.65	
12OCT17	S	1	PD29NOV17	20797.13	20668.13	USD	645.00	
	NET	0 AVG	BGHT 20539.38	SOLD 20586.52			1,885.65	0.00
30AUG17	B	1	PD30NOV17	20550.00	20666.25	USD	581.25	
30AUG17	S	1	PD30NOV17	20530.00	20666.25	USD	-681.25	
30AUG17	S	1	PD30NOV17	20640.00	20666.25	USD	-131.25	
31AUG17	S	1	PD30NOV17	20650.00	20666.25	USD	-81.25	
31AUG17	S	2	PD30NOV17	20625.00	20666.25	USD	-412.50	
31AUG17	S	1	PD30NOV17	20595.00	20666.25	USD	-356.25	
31AUG17	B	2	PD30NOV17	20600.00	20666.25	USD	662.50	
31AUG17	B	1	PD30NOV17	20630.00	20666.25	USD	181.25	
31AUG17	B	1	PD30NOV17	20650.00	20666.25	USD	81.25	
31AUG17	B	2	PD30NOV17	20645.00	20666.25	USD	212.50	
31AUG17	S	1	PD30NOV17	20645.00	20666.25	USD	-106.25	
31AUG17	S	1	PD30NOV17	20680.00	20666.25	USD	68.75	
31AUG17	B	1	PD30NOV17	20680.00	20666.25	USD	-68.75	
	NET	0 AVG	BGHT 20625.00	SOLD 20623.75			-50.00	0.00
01SEP17	S	1	PD01DEC17	20705.00	20664.38	USD	203.10	
01SEP17	B	1	PD01DEC17	20710.00	20664.38	USD	-228.10	
01SEP17	S	1	PD01DEC17	20710.00	20664.38	USD	228.10	
01SEP17	B	1	PD01DEC17	20715.00	20664.38	USD	-253.10	
01SEP17	B	1	PD01DEC17	20660.00	20664.38	USD	21.90	
01SEP17	S	1	PD01DEC17	20660.00	20664.38	USD	-21.90	

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	<u>LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>								
01SEP17	B	1	PD01DEC17		20700.00	20664.38	USD	-178.10	
01SEP17	S	1	PD01DEC17		20690.00	20664.38	USD	128.10	
	NET	0 AVG	BGHT 20696.25	SOLD 20691.25				-100.00	0.00
05SEP17	B	1	PD05DEC17		20715.00	20660.63	USD	-271.85	
05SEP17	S	1	PD05DEC17		20750.00	20660.63	USD	446.85	
05SEP17	S	1	PD05DEC17		20760.00	20660.63	USD	496.85	
05SEP17	B	1	PD05DEC17		20720.00	20660.63	USD	-296.85	
05SEP17	B	1	PD05DEC17		20680.00	20660.63	USD	-96.85	
05SEP17	S	1	PD05DEC17		20710.00	20660.63	USD	246.85	
	NET	0 AVG	BGHT 20705.00	SOLD 20740.00				525.00	0.00
06SEP17	B	1	PD06DEC17		20620.00	20658.75	USD	193.75	
06SEP17	B	3	PD06DEC17		20625.00	20658.75	USD	506.25	
06SEP17	S	3	PD06DEC17		20650.00	20658.75	USD	-131.25	
06SEP17	B	2	PD06DEC17		20650.00	20658.75	USD	87.50	
06SEP17	S	1	PD06DEC17		20590.00	20658.75	USD	-343.75	
06SEP17	B	1	PD06DEC17		20640.00	20658.75	USD	93.75	
06SEP17	S	3	PD06DEC17		20630.00	20658.75	USD	-431.25	
	NET	0 AVG	BGHT 20633.57	SOLD 20632.86				-25.00	0.00
07SEP17	B	1	PD07DEC17		20705.00	20656.88	USD	-240.60	
07SEP17	S	1	PD07DEC17		20725.00	20656.88	USD	340.60	
	NET	0 AVG	BGHT 20705.00	SOLD 20725.00				100.00	0.00
08SEP17	S	1	PD08DEC17		20655.00	20655.00	USD	0.00	
08SEP17	B	2	PD08DEC17		20620.00	20655.00	USD	350.00	
08SEP17	S	2	PD08DEC17		20595.00	20655.00	USD	-600.00	
08SEP17	B	1	PD08DEC17		20665.00	20655.00	USD	-50.00	
	NET	0 AVG	BGHT 20635.00	SOLD 20615.00				-300.00	0.00
11SEP17	S	1	PD11DEC17		20735.00	20653.13	USD	409.35	
11SEP17	B	1	PD11DEC17		20800.00	20653.13	USD	-734.35	
11SEP17	B	1	PD11DEC17		20730.00	20653.13	USD	-384.35	
11SEP17	S	1	PD11DEC17		20715.00	20653.13	USD	309.35	
	NET	0 AVG	BGHT 20765.00	SOLD 20725.00				-400.00	0.00
12SEP17	S	1	PD12DEC17		20685.00	20651.25	USD	168.75	
12SEP17	B	1	PD12DEC17		20690.00	20651.25	USD	-193.75	
12SEP17	S	1	PD12DEC17		20690.00	20651.25	USD	193.75	
12SEP17	B	1	PD12DEC17		20680.00	20651.25	USD	-143.75	
	NET	0 AVG	BGHT 20685.00	SOLD 20687.50				25.00	0.00
13SEP17	B	1	PD13DEC17		20585.00	20649.38	USD	321.90	
13SEP17	S	1	PD13DEC17		20585.00	20649.38	USD	-321.90	
13SEP17	B	1	PD13DEC17		20580.00	20649.38	USD	346.90	
13SEP17	S	1	PD13DEC17		20605.00	20649.38	USD	-221.90	
	NET	0 AVG	BGHT 20582.50	SOLD 20595.00				125.00	0.00
14SEP17	B	1	PD14DEC17		20560.00	20647.50	USD	437.50	
14SEP17	S	1	PD14DEC17		20530.00	20647.50	USD	-587.50	
14SEP17	S	1	PD14DEC17		20580.00	20647.50	USD	-337.50	
14SEP17	B	2	PD14DEC17		20545.00	20647.50	USD	1,025.00	
14SEP17	S	1	PD14DEC17		20545.00	20647.50	USD	-512.50	
14SEP17	S	1	PD14DEC17		20555.00	20647.50	USD	-462.50	
14SEP17	B	1	PD14DEC17		20605.00	20647.50	USD	212.50	
14SEP17	B	1	PD14DEC17		20610.00	20647.50	USD	187.50	
14SEP17	S	1	PD14DEC17		20610.00	20647.50	USD	-187.50	
14SEP17	B	1	PD14DEC17		20550.00	20647.50	USD	487.50	
14SEP17	S	1	PD14DEC17		20550.00	20647.50	USD	-487.50	
	NET	0 AVG	BGHT 20569.17	SOLD 20561.67				-225.00	0.00
15SEP17	B	1	PD15DEC17		20520.00	20645.63	USD	628.15	
15SEP17	S	2	PD15DEC17		20595.00	20645.63	USD	-506.30	

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15SEP17	B	1	PD15DEC17	20595.00	20645.63	USD	253.15	
15SEP17	S	1	PD15DEC17	20570.00	20645.63	USD	-378.15	
15SEP17	B	2	PD15DEC17	20580.00	20645.63	USD	656.30	
15SEP17	S	1	PD15DEC17	20615.00	20645.63	USD	-153.15	
	NET	0 AVG BGHT	20568.75	SOLD	20593.75		500.00	0.00
18SEP17	S	1	PD18DEC17	20685.00	20643.75	USD	206.25	
18SEP17	S	1	PD18DEC17	20680.00	20643.75	USD	181.25	
18SEP17	B	1	PD18DEC17	20630.00	20643.75	USD	68.75	
18SEP17	B	1	PD18DEC17	20585.00	20643.75	USD	293.75	
18SEP17	B	1	PD18DEC17	20580.00	20643.75	USD	318.75	
18SEP17	S	1	PD18DEC17	20580.00	20643.75	USD	-318.75	
	NET	0 AVG BGHT	20598.33	SOLD	20648.33		750.00	0.00
19SEP17	S	2	PD19DEC17	20695.00	20641.88	USD	531.20	
19SEP17	S	2	PD19DEC17	20710.00	20641.88	USD	681.20	
19SEP17	B	1	PD19DEC17	20730.00	20641.88	USD	-440.60	
19SEP17	B	1	PD19DEC17	20690.00	20641.88	USD	-240.60	
19SEP17	B	2	PD19DEC17	20720.00	20641.88	USD	-781.20	
	NET	0 AVG BGHT	20715.00	SOLD	20702.50		-250.00	0.00
20SEP17	S	1	PD20DEC17	20720.00	20640.00	USD	400.00	
20SEP17	B	1	PD20DEC17	20740.00	20640.00	USD	-500.00	
20SEP17	S	1	PD20DEC17	20750.00	20640.00	USD	550.00	
20SEP17	B	1	PD20DEC17	20750.00	20640.00	USD	-550.00	
20SEP17	B	1	PD20DEC17	20655.00	20640.00	USD	-75.00	
20SEP17	S	1	PD20DEC17	20690.00	20640.00	USD	250.00	
	NET	0 AVG BGHT	20715.00	SOLD	20720.00		75.00	0.00
21SEP17	B	1	PD21DEC17	20500.00	20637.14	USD	685.70	
21SEP17	S	1	PD21DEC17	20550.00	20637.14	USD	-435.70	
21SEP17	B	1	PD21DEC17	20565.00	20637.14	USD	360.70	
21SEP17	S	1	PD21DEC17	20575.00	20637.14	USD	-310.70	
	NET	0 AVG BGHT	20532.50	SOLD	20562.50		300.00	0.00
22SEP17	S	1	PD22DEC17	20470.00	20634.29	USD	-821.45	
22SEP17	B	1	PD22DEC17	20445.00	20634.29	USD	946.45	
22SEP17	B	1	PD22DEC17	20500.00	20634.29	USD	671.45	
22SEP17	S	1	PD22DEC17	20515.00	20634.29	USD	-596.45	
22SEP17	S	1	PD22DEC17	20580.00	20634.29	USD	-271.45	
22SEP17	S	1	PD22DEC17	20630.00	20634.29	USD	-21.45	
22SEP17	B	1	PD22DEC17	20595.00	20634.29	USD	196.45	
22SEP17	B	1	PD22DEC17	20380.00	20634.29	USD	1,271.45	
22SEP17	B	1	PD22DEC17	20545.00	20634.29	USD	446.45	
22SEP17	S	1	PD22DEC17	20380.00	20634.29	USD	-1,271.45	
22SEP17	S	1	PD22DEC17	20545.00	20634.29	USD	-446.45	
22SEP17	S	2	PD22DEC17	20555.00	20634.29	USD	-792.90	
22SEP17	B	1	PD22DEC17	20560.00	20634.29	USD	371.45	
22SEP17	S	1	PD22DEC17	20560.00	20634.29	USD	-371.45	
22SEP17	B	3	PD22DEC17	20570.00	20634.29	USD	964.35	
	NET	0 AVG BGHT	20526.11	SOLD	20532.22		275.00	0.00
25SEP17	S	1	PD27DEC17	20610.00	20631.43	USD	-107.15	
25SEP17	B	1	PD27DEC17	20620.00	20631.43	USD	57.15	
26SEP17	B	1	PD27DEC17	20750.00	20631.43	USD	-592.85	
26SEP17	S	1	PD27DEC17	20740.00	20631.43	USD	542.85	
26SEP17	B	1	PD27DEC17	20665.00	20631.43	USD	-167.85	
26SEP17	S	1	PD27DEC17	20660.00	20631.43	USD	142.85	
26SEP17	S	1	PD27DEC17	20705.00	20631.43	USD	367.85	
26SEP17	B	1	PD27DEC17	20635.00	20631.43	USD	-17.85	
27SEP17	S	1	PD27DEC17	20710.00	20631.43	USD	392.85	
27SEP17	B	1	PD27DEC17	20705.00	20631.43	USD	-367.85	

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27SEP17	S	1	PD27DEC17	20665.00	20631.43	USD	167.85	
27SEP17	S	2	PD27DEC17	20685.00	20631.43	USD	535.70	
27SEP17	B	3	PD27DEC17	20680.00	20631.43	USD	-728.55	
	NET	0 AVG BGHT 20676.88	SOLD 20682.50				225.00	0.00
28SEP17	B	1	PD28DEC17	20640.00	20628.57	USD	-57.15	
28SEP17	S	1	PD28DEC17	20620.00	20628.57	USD	-42.85	
	NET	0 AVG BGHT 20640.00	SOLD 20620.00				-100.00	0.00
05OCT17	B	1	PD05JAN18	21170.00	20614.29	USD	-2,778.55	
05OCT17	B	1	PD05JAN18	21180.00	20614.29	USD	-2,828.55	
05OCT17	S	2	PD05JAN18	21175.00	20614.29	USD	5,607.10	
05OCT17	B	1	PD05JAN18	21000.00	20614.29	USD	-1,928.55	
05OCT17	S	2	PD05JAN18	21050.00	20614.29	USD	4,357.10	
05OCT17	B	1	PD05JAN18	21050.00	20614.29	USD	-2,178.55	
06OCT17	B	1	PD05JAN18	20990.00	20614.29	USD	-1,878.55	
06OCT17	S	1	PD05JAN18	21035.00	20614.29	USD	2,103.55	
06OCT17	S	1	PD05JAN18	21000.00	20614.29	USD	1,928.55	
06OCT17	B	1	PD05JAN18	20850.00	20614.29	USD	-1,178.55	
06OCT17	B	1	PD05JAN18	20860.00	20614.29	USD	-1,228.55	
06OCT17	S	1	PD05JAN18	20850.00	20614.29	USD	1,178.55	
06OCT17	B	1	PD05JAN18	20600.00	20614.29	USD	71.45	
06OCT17	B	2	PD05JAN18	20605.00	20614.29	USD	92.90	
06OCT17	S	1	PD05JAN18	20615.00	20614.29	USD	3.55	
06OCT17	B	1	PD05JAN18	20610.00	20614.29	USD	21.45	
06OCT17	S	1	PD05JAN18	20585.00	20614.29	USD	-146.45	
06OCT17	S	1	PD05JAN18	20590.00	20614.29	USD	-121.45	
06OCT17	S	1	PD05JAN18	20620.00	20614.29	USD	28.55	
	NET	0 AVG BGHT 20865.45	SOLD 20885.91				1,125.00	0.00
09OCT17	B	2	PD09JAN18	20750.00	20608.57	USD	-1,414.30	
09OCT17	B	1	PD09JAN18	20720.00	20608.57	USD	-557.15	
09OCT17	B	1	PD09JAN18	20755.00	20608.57	USD	-732.15	
09OCT17	S	2	PD09JAN18	20725.00	20608.57	USD	1,164.30	
09OCT17	B	1	PD09JAN18	20895.00	20608.57	USD	-1,432.15	
09OCT17	S	1	PD09JAN18	20885.00	20608.57	USD	1,382.15	
09OCT17	B	1	PD09JAN18	20770.00	20608.57	USD	-807.15	
09OCT17	S	2	PD09JAN18	20740.00	20608.57	USD	1,314.30	
09OCT17	S	1	PD09JAN18	20750.00	20608.57	USD	707.15	
	NET	0 AVG BGHT 20773.33	SOLD 20760.83				-375.00	0.00
10OCT17	B	1	PD10JAN18	20805.00	20605.71	USD	-996.45	
10OCT17	S	1	PD10JAN18	20920.00	20605.71	USD	1,571.45	
10OCT17	B	1	PD10JAN18	20850.00	20605.71	USD	-1,221.45	
10OCT17	S	1	PD10JAN18	20865.00	20605.71	USD	1,296.45	
10OCT17	S	1	PD10JAN18	20880.00	20605.71	USD	1,371.45	
10OCT17	S	1	PD10JAN18	20885.00	20605.71	USD	1,396.45	
10OCT17	B	1	PD10JAN18	20840.00	20605.71	USD	-1,171.45	
10OCT17	B	1	PD10JAN18	20835.00	20605.71	USD	-1,146.45	
	NET	0 AVG BGHT 20832.50	SOLD 20887.50				1,100.00	0.00
11OCT17	B	1	PD11JAN18	20715.00	20602.86	USD	-560.70	
11OCT17	B	1	PD11JAN18	20720.00	20602.86	USD	-585.70	
11OCT17	B	2	PD11JAN18	20725.00	20602.86	USD	-1,221.40	
11OCT17	B	1	PD11JAN18	20750.00	20602.86	USD	-735.70	
11OCT17	B	1	PD11JAN18	20755.00	20602.86	USD	-760.70	
11OCT17	S	1	PD11JAN18	20700.00	20602.86	USD	485.70	
11OCT17	S	1	PD11JAN18	20725.00	20602.86	USD	610.70	
11OCT17	S	2	PD11JAN18	20745.00	20602.86	USD	1,421.40	
11OCT17	S	2	PD11JAN18	20750.00	20602.86	USD	1,471.40	
11OCT17	B	1	PD11JAN18	20775.00	20602.86	USD	-860.70	

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13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
11OCT17	B	1	PD11JAN18	20805.00	20602.86	USD	-1,010.70	
11OCT17	S	1	PD11JAN18	20800.00	20602.86	USD	985.70	
11OCT17	B	1	PD11JAN18	20810.00	20602.86	USD	-1,035.70	
11OCT17	S	1	PD11JAN18	20775.00	20602.86	USD	860.70	
11OCT17	S	1	PD11JAN18	20780.00	20602.86	USD	885.70	
	NET	0	AVG BGHT 20753.33				-50.00	0.00
			SOLD 20752.22					
12OCT17	B	1	PD12JAN18	20735.00	20600.00	USD	-675.00	
12OCT17	B	1	PD12JAN18	20740.00	20600.00	USD	-700.00	
12OCT17	S	1	PD12JAN18	20730.00	20600.00	USD	650.00	
12OCT17	S	1	PD12JAN18	20795.00	20600.00	USD	975.00	
12OCT17	B	1	PD12JAN18	20790.00	20600.00	USD	-950.00	
12OCT17	B	1	PD12JAN18	20800.00	20600.00	USD	-1,000.00	
12OCT17	S	2	PD12JAN18	20785.00	20600.00	USD	1,850.00	
12OCT17	B	1	PD12JAN18	20775.00	20600.00	USD	-875.00	
12OCT17	S	1	PD12JAN18	20755.00	20600.00	USD	775.00	
13OCT17	S	1	PD12JAN18	20835.00	20600.00	USD	1,175.00	
13OCT17	B	1	PD12JAN18	20780.00	20600.00	USD	-900.00	
13OCT17	B	1	PD12JAN18	20725.00	20600.00	USD	-625.00	
13OCT17	S	1	PD12JAN18	20730.00	20600.00	USD	650.00	
13OCT17	B	1	PD12JAN18	20800.00	20600.00	USD	-1,000.00	
13OCT17	B	1	PD12JAN18	20735.00	20600.00	USD	-675.00	
13OCT17	B	3	PD12JAN18	20750.00	20600.00	USD	-2,250.00	
13OCT17	S	3	PD12JAN18	20775.00	20600.00	USD	2,625.00	
13OCT17	S	2	PD12JAN18	20715.00	20600.00	USD	1,150.00	
13OCT17	B	1	PD12JAN18	20650.00	20600.00	USD	-250.00	
13OCT17	S	2	PD12JAN18	20650.00	20600.00	USD	500.00	
13OCT17	B	1	PD12JAN18	20620.00	20600.00	USD	-100.00	
	NET	0	AVG BGHT 20742.86				350.00	0.00
			SOLD 20747.86					
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
17JUL17	S	1	PD17OCT17	2828.00	3294.00	USD	-11,650.00	
17JUL17	B	1	PD17OCT17	2832.50	3294.00	USD	11,537.50	
17JUL17	B	1	PD17OCT17	2846.00	3294.00	USD	11,200.00	
17JUL17	S	1	PD17OCT17	2832.00	3294.00	USD	-11,550.00	
	NET	0	AVG BGHT 2839.25				-462.50	0.00
			SOLD 2830.00					
20JUL17	B	1	PD20OCT17	2728.00	3282.00	USD	13,850.00	
21JUL17	S	1	PD20OCT17	2768.00	3282.00	USD	-12,850.00	
21JUL17	B	1	PD20OCT17	2743.50	3282.00	USD	13,462.50	
06OCT17	S	1	PD20OCT17	3266.30	3282.00	USD	-392.50	
	NET	0	AVG BGHT 2735.75				14,070.00	0.00
			SOLD 3017.15					
24JUL17	S	1	PD24OCT17	2792.50	3276.00	USD	-12,087.50	
24JUL17	S	1	PD24OCT17	2775.00	3276.00	USD	-12,525.00	
24JUL17	B	1	PD24OCT17	2773.00	3276.00	USD	12,575.00	
06OCT17	B	1	PD24OCT17	3261.83	3276.00	USD	354.25	
	NET	0	AVG BGHT 3017.42				-11,683.25	0.00
			SOLD 2783.75					
25JUL17	B	1	PD25OCT17	2803.50	3273.00	USD	11,737.50	
06OCT17	S	1	PD25OCT17	3259.30	3273.00	USD	-342.50	
	NET	0	AVG BGHT 2803.50				11,395.00	0.00
			SOLD 3259.30					
07AUG17	S	1	PD07NOV17	2872.50	3262.80	USD	-9,757.50	
07AUG17	S	1	PD07NOV17	2870.50	3262.80	USD	-9,807.50	
07AUG17	B	1	PD07NOV17	2871.50	3262.80	USD	9,782.50	
12OCT17	B	1	PD07NOV17	3292.00	3262.80	USD	-730.00	
	NET	0	AVG BGHT 3081.75				-10,512.50	0.00
			SOLD 2871.50					
09AUG17	B	1	PD09NOV17	2977.00	3260.53	USD	7,088.25	
09AUG17	S	1	PD09NOV17	2967.00	3260.53	USD	-7,338.25	

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>								
	NET	0 AVG	BGHT	2977.00	SOLD	2967.00		-250.00	0.00
18AUG17	B	2	PD17NOV17		3093.00	3251.00	USD	7,900.00	
18AUG17	S	2	PD17NOV17		3093.00	3251.00	USD	-7,900.00	
18AUG17	B	1	PD17NOV17		3121.00	3251.00	USD	3,250.00	
12OCT17	S	1	PD17NOV17		3276.80	3251.00	USD	645.00	
	NET	0 AVG	BGHT	3102.33	SOLD	3154.27		3,895.00	0.00
22AUG17	B	1	PD22NOV17		3109.00	3248.38	USD	3,484.50	
22AUG17	S	1	PD22NOV17		3100.00	3248.38	USD	-3,709.50	
	NET	0 AVG	BGHT	3109.00	SOLD	3100.00		-225.00	0.00
23AUG17	S	1	PD24NOV17		3143.00	3247.50	USD	-2,612.50	
23AUG17	B	1	PD24NOV17		3103.00	3247.50	USD	3,612.50	
24AUG17	S	1	PD24NOV17		3102.50	3247.50	USD	-3,625.00	
24AUG17	S	1	PD24NOV17		3107.00	3247.50	USD	-3,512.50	
24AUG17	B	1	PD24NOV17		3112.00	3247.50	USD	3,387.50	
24AUG17	B	1	PD24NOV17		3117.00	3247.50	USD	3,262.50	
25AUG17	B	1	PD24NOV17		3121.00	3247.50	USD	3,162.50	
25AUG17	S	1	PD24NOV17		3121.00	3247.50	USD	-3,162.50	
	NET	0 AVG	BGHT	3113.25	SOLD	3118.38		512.50	0.00
21SEP17	B	1	PD21DEC17		3072.00	3240.00	USD	4,200.00	
21SEP17	S	1	PD21DEC17		3085.00	3240.00	USD	-3,875.00	
	NET	0 AVG	BGHT	3072.00	SOLD	3085.00		325.00	0.00
26SEP17	S	2	PD27DEC17		3133.00	3239.23	USD	-5,311.50	
26SEP17	B	2	PD27DEC17		3112.00	3239.23	USD	6,361.50	
	NET	0 AVG	BGHT	3112.00	SOLD	3133.00		1,050.00	0.00

OPEN POSITION VALUE

		USD
LME Aluminium 25 Tonnes.	FUTURES	625.00
LME Copper Grade A 25	FUTURES	-112.50
LME Lead 25 tonnes. This is an	FUTURES	-7,374.00
LME Nickel 6 tonnes. This is	FUTURES	240.00
LME Tin 5 tonnes. This is an	FUTURES	7,674.40
LME Zinc 25 tonnes. This is	FUTURES	8,114.25

MARKET REVALUATION BREAKDOWN

Unrealised Profits & Losses - Forwards			Amount	Profit / Loss	Total Amount	Total P&L
ALUL	- Futures	USD	625.00	625.00		
COPL	- Futures	USD	-112.50	-112.50		
LEAD	- Futures	USD	-7,374.00	-7,374.00		
NKL	- Futures	USD	240.00	240.00		
TIN	- Futures	USD	7,674.40	7,674.40		
ZINC	- Futures	USD	8,114.25	8,114.25	9,167.15	9,167.15

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ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	-7,618.98	-7,618.98
Variation Margins		
- Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	-7,618.98	-7,618.98
Initial Margins	0.00	0.00
Excess/(Shortage)	-7,618.98	-7,618.98
FOR INFORMATION ONLY		
Forward Unrealised P&L	9,167.15	9,167.15
Net Liquidating Value	1,548.17	1,548.17

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	<u>LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.</u>					
02AUG17	B 1 PD02NOV17	1908.50	2114.44	USD	5,148.50	
02AUG17	S 1 PD02NOV17	1912.00	2114.44	USD	-5,061.00	
	NET 0AVG BGHT 1908.50 SOLD 1912.00				87.50	0.00
04AUG17	B 1 PD03NOV17	1908.00	2114.78	USD	5,169.50	
04AUG17	S 1 PD03NOV17	1912.50	2114.78	USD	-5,057.00	
	NET 0AVG BGHT 1908.00 SOLD 1912.50				112.50	0.00
29SEP17	B 1 PD29DEC17	2105.50	2131.25	USD	643.75	
12OCT17	S 1 PD29DEC17	2144.00	2131.25	USD	318.75	
	NET 0AVG BGHT 2105.50 SOLD 2144.00				962.50	0.00
02OCT17	S 1 PD02JAN18	2107.00	2131.89	USD	-622.25	
12OCT17	B 1 PD02JAN18	2144.91	2131.89	USD	-325.50	
	NET 0AVG BGHT 2144.91 SOLD 2107.00				-947.75	0.00
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>					
19JUL17	B 1 PD19OCT17	5967.50	6857.50	USD	22,250.00	
19JUL17	S 1 PD19OCT17	5964.50	6857.50	USD	-22,325.00	
	NET 0AVG BGHT 5967.50 SOLD 5964.50				-75.00	0.00
24JUL17	S 1 PD24OCT17	6033.50	6857.50	USD	-20,600.00	
06OCT17	B 1 PD24OCT17	6631.25	6857.50	USD	5,656.25	
	NET 0AVG BGHT 6631.25 SOLD 6033.50				-14,943.75	0.00
25JUL17	B 1 PD25OCT17	6032.50	6857.50	USD	20,625.00	
06OCT17	S 1 PD25OCT17	6631.55	6857.50	USD	-5,648.75	
	NET 0AVG BGHT 6032.50 SOLD 6631.55				14,976.25	0.00
25AUG17	B 1 PD24NOV17	6690.00	6866.73	USD	4,418.25	
25AUG17	S 1 PD24NOV17	6696.00	6866.73	USD	-4,268.25	
	NET 0AVG BGHT 6690.00 SOLD 6696.00				150.00	0.00
31AUG17	S 1 PD30NOV17	6804.00	6869.53	USD	-1,638.25	
31AUG17	B 1 PD30NOV17	6811.00	6869.53	USD	1,463.25	
	NET 0AVG BGHT 6811.00 SOLD 6804.00				-175.00	0.00
06SEP17	B 1 PD06DEC17	6920.50	6872.00	USD	-1,212.50	
06SEP17	S 1 PD06DEC17	6918.50	6872.00	USD	1,162.50	
	NET 0AVG BGHT 6920.50 SOLD 6918.50				-50.00	0.00
07SEP17	S 1 PD07DEC17	6914.50	6872.42	USD	1,052.00	
12OCT17	B 1 PD07DEC17	6878.15	6872.42	USD	-143.25	
	NET 0AVG BGHT 6878.15 SOLD 6914.50				908.75	0.00
08SEP17	B 1 PD08DEC17	6918.50	6872.83	USD	-1,141.75	
12OCT17	S 1 PD08DEC17	6878.34	6872.83	USD	137.75	
	NET 0AVG BGHT 6918.50 SOLD 6878.34				-1,004.00	0.00
	<u>LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
18JUL17	B 2 PD18OCT17	2274.50	2518.75	USD	12,212.50	
06OCT17	S 2 PD18OCT17	2519.50	2518.75	USD	37.50	
	NET 0AVG BGHT 2274.50 SOLD 2519.50				12,250.00	0.00
20JUL17	S 1 PD20OCT17	2215.50	2519.05	USD	-7,588.75	
20JUL17	S 1 PD20OCT17	2218.00	2519.05	USD	-7,526.25	
06OCT17	B 2 PD20OCT17	2520.11	2519.05	USD	-53.00	
	NET 0AVG BGHT 2520.11 SOLD 2216.75				-15,168.00	0.00
25JUL17	B 1 PD25OCT17	2302.00	2519.80	USD	5,445.00	
25JUL17	S 1 PD25OCT17	2321.50	2519.80	USD	-4,957.50	
	NET 0AVG BGHT 2302.00 SOLD 2321.50				487.50	0.00
01AUG17	S 1 PD01NOV17	2337.50	2520.85	USD	-4,583.75	
12OCT17	B 1 PD01NOV17	2549.00	2520.85	USD	-703.75	

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	<u>LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
	NET 0AVG BGHT 2549.00 SOLD 2337.50				-5,287.50	0.00
02AUG17	B 1 PD02NOV17	2323.00	2521.00	USD	4,950.00	
12OCT17	S 1 PD02NOV17	2548.93	2521.00	USD	698.25	
	NET 0AVG BGHT 2323.00 SOLD 2548.93				5,648.25	0.00
	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
17JUL17	B 1 PD17OCT17	9615.00	11610.50	USD	11,973.00	
20JUL17	S 1 PD17OCT17	9495.34	11610.50	USD	-12,690.96	
	NET 0AVG BGHT 9615.00 SOLD 9495.34				-717.96	0.00
18JUL17	S 1 PD18OCT17	9640.00	11610.50	USD	-11,823.00	
18JUL17	S 1 PD18OCT17	9725.00	11610.50	USD	-11,313.00	
18JUL17	B 1 PD18OCT17	9755.00	11610.50	USD	11,133.00	
20JUL17	B 1 PD18OCT17	9497.00	11610.50	USD	12,681.00	
	NET 0AVG BGHT 9626.00 SOLD 9682.50				678.00	0.00
24JUL17	S 1 PD24OCT17	9765.00	11614.76	USD	-11,098.56	
24JUL17	S 1 PD24OCT17	9775.00	11614.76	USD	-11,038.56	
06OCT17	B 2 PD24OCT17	10530.32	11614.76	USD	13,013.28	
	NET 0AVG BGHT 10530.32 SOLD 9770.00				-9,123.84	0.00
25JUL17	B 1 PD25OCT17	9800.00	11615.61	USD	10,893.66	
25JUL17	B 1 PD25OCT17	9815.00	11615.61	USD	10,803.66	
06OCT17	S 2 PD25OCT17	10530.38	11615.61	USD	-13,022.76	
	NET 0AVG BGHT 9807.50 SOLD 10530.38				8,674.56	0.00
26JUL17	S 1 PD26OCT17	10050.00	11616.46	USD	-9,398.76	
26JUL17	B 1 PD26OCT17	10020.00	11616.46	USD	9,578.76	
26JUL17	B 1 PD26OCT17	9990.00	11616.46	USD	9,758.76	
06OCT17	S 1 PD26OCT17	10532.43	11616.46	USD	-6,504.18	
	NET 0AVG BGHT 10005.00 SOLD 10291.22				3,434.58	0.00
27JUL17	S 1 PD27OCT17	10020.00	11617.31	USD	-9,583.86	
27JUL17	S 1 PD27OCT17	10190.00	11617.31	USD	-8,563.86	
27JUL17	B 1 PD27OCT17	10160.00	11617.31	USD	8,743.86	
28JUL17	S 1 PD27OCT17	10280.00	11617.31	USD	-8,023.86	
06OCT17	B 1 PD27OCT17	10534.48	11617.31	USD	6,496.98	
06OCT17	B 1 PD27OCT17	10533.48	11617.31	USD	6,502.98	
	NET 0AVG BGHT 10409.32 SOLD 10163.33				-4,427.76	0.00
31JUL17	B 1 PD31OCT17	10330.00	11620.72	USD	7,744.32	
06OCT17	S 1 PD31OCT17	10536.70	11620.72	USD	-6,504.12	
	NET 0AVG BGHT 10330.00 SOLD 10536.70				1,240.20	0.00
02AUG17	S 1 PD02NOV17	10325.00	11622.43	USD	-7,784.58	
02AUG17	B 1 PD02NOV17	10335.00	11622.43	USD	7,724.58	
02AUG17	S 1 PD02NOV17	10345.00	11622.43	USD	-7,664.58	
02AUG17	S 3 PD02NOV17	10350.00	11622.43	USD	-22,903.74	
02AUG17	B 3 PD02NOV17	10345.00	11622.43	USD	22,993.74	
12OCT17	B 1 PD02NOV17	11346.71	11622.43	USD	1,654.32	
	NET 0AVG BGHT 10543.34 SOLD 10344.00				-5,980.26	0.00
03AUG17	B 1 PD03NOV17	10315.00	11623.28	USD	7,849.68	
04AUG17	B 1 PD03NOV17	10310.00	11623.28	USD	7,879.68	
04AUG17	S 2 PD03NOV17	10335.00	11623.28	USD	-15,459.36	
	NET 0AVG BGHT 10312.50 SOLD 10335.00				270.00	0.00
07AUG17	B 1 PD07NOV17	10320.00	11626.69	USD	7,840.14	
07AUG17	S 1 PD07NOV17	10390.00	11626.69	USD	-7,420.14	
07AUG17	B 1 PD07NOV17	10380.00	11626.69	USD	7,480.14	
07AUG17	S 1 PD07NOV17	10385.00	11626.69	USD	-7,450.14	
	NET 0AVG BGHT 10350.00 SOLD 10387.50				450.00	0.00

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
08AUG17	B	1	PD08NOV17	10340.00	11627.54	USD	7,725.24	
08AUG17	S	1	PD08NOV17	10635.00	11627.54	USD	-5,955.24	
08AUG17	S	1	PD08NOV17	10640.00	11627.54	USD	-5,925.24	
08AUG17	S	1	PD08NOV17	10730.00	11627.54	USD	-5,385.24	
08AUG17	B	1	PD08NOV17	10750.00	11627.54	USD	5,265.24	
08AUG17	B	1	PD08NOV17	10635.00	11627.54	USD	5,955.24	
08AUG17	B	1	PD08NOV17	10650.00	11627.54	USD	5,865.24	
12OCT17	S	1	PD08NOV17	11350.00	11627.54	USD	-1,665.24	
	NET	0 AVG	BGHT 10593.75	SOLD 10838.75			5,880.00	0.00
09AUG17	B	1	PD09NOV17	10780.00	11628.39	USD	5,090.34	
09AUG17	S	1	PD09NOV17	10770.00	11628.39	USD	-5,150.34	
	NET	0 AVG	BGHT 10780.00	SOLD 10770.00			-60.00	0.00
11AUG17	B	1	PD10NOV17	10640.00	11629.24	USD	5,935.44	
11AUG17	S	1	PD10NOV17	10645.00	11629.24	USD	-5,905.44	
	NET	0 AVG	BGHT 10640.00	SOLD 10645.00			30.00	0.00
21AUG17	B	1	PD21NOV17	11355.00	11637.61	USD	1,695.66	
21AUG17	S	1	PD21NOV17	11330.00	11637.61	USD	-1,845.66	
21AUG17	S	1	PD21NOV17	11300.00	11637.61	USD	-2,025.66	
21AUG17	B	1	PD21NOV17	11285.00	11637.61	USD	2,115.66	
21AUG17	S	1	PD21NOV17	11325.00	11637.61	USD	-1,875.66	
12OCT17	B	1	PD21NOV17	11360.10	11637.61	USD	1,665.06	
	NET	0 AVG	BGHT 11333.37	SOLD 11318.33			-270.60	0.00
22AUG17	B	1	PD22NOV17	11395.00	11638.30	USD	1,459.80	
22AUG17	B	1	PD22NOV17	11410.00	11638.30	USD	1,369.80	
22AUG17	B	1	PD22NOV17	11425.00	11638.30	USD	1,279.80	
22AUG17	S	1	PD22NOV17	11445.00	11638.30	USD	-1,159.80	
22AUG17	S	1	PD22NOV17	11470.00	11638.30	USD	-1,009.80	
12OCT17	S	1	PD22NOV17	11359.78	11638.30	USD	-1,671.12	
	NET	0 AVG	BGHT 11410.00	SOLD 11424.93			268.68	0.00
23AUG17	B	1	PD24NOV17	11670.00	11639.67	USD	-181.98	
23AUG17	S	1	PD24NOV17	11685.00	11639.67	USD	271.98	
23AUG17	B	1	PD24NOV17	11700.00	11639.67	USD	-361.98	
23AUG17	B	1	PD24NOV17	11755.00	11639.67	USD	-691.98	
23AUG17	B	1	PD24NOV17	11790.00	11639.67	USD	-901.98	
23AUG17	S	1	PD24NOV17	11795.00	11639.67	USD	931.98	
23AUG17	S	1	PD24NOV17	11695.00	11639.67	USD	331.98	
23AUG17	S	1	PD24NOV17	11755.00	11639.67	USD	691.98	
24AUG17	S	1	PD24NOV17	11745.00	11639.67	USD	631.98	
25AUG17	B	1	PD24NOV17	11710.00	11639.67	USD	-421.98	
25AUG17	B	1	PD24NOV17	11405.00	11639.67	USD	1,408.02	
25AUG17	B	1	PD24NOV17	11425.00	11639.67	USD	1,288.02	
25AUG17	S	2	PD24NOV17	11395.00	11639.67	USD	-2,936.04	
	NET	0 AVG	BGHT 11636.43	SOLD 11637.86			60.00	0.00
31AUG17	B	1	PD30NOV17	11795.00	11643.79	USD	-907.26	
31AUG17	S	1	PD30NOV17	11795.00	11643.79	USD	907.26	
	NET	0 AVG	BGHT 11795.00	SOLD 11795.00			0.00	0.00
01SEP17	B	1	PD01DEC17	12135.00	11644.47	USD	-2,943.18	
01SEP17	S	1	PD01DEC17	12090.00	11644.47	USD	2,673.18	
	NET	0 AVG	BGHT 12135.00	SOLD 12090.00			-270.00	0.00
06SEP17	B	1	PD06DEC17	12180.00	11647.90	USD	-3,192.60	
06SEP17	S	1	PD06DEC17	12175.00	11647.90	USD	3,162.60	
	NET	0 AVG	BGHT 12180.00	SOLD 12175.00			-30.00	0.00
11SEP17	S	1	PD11DEC17	11835.00	11651.33	USD	1,102.02	
12OCT17	B	1	PD11DEC17	11373.77	11651.33	USD	1,665.36	
	NET	0 AVG	BGHT 11373.77	SOLD 11835.00				0.00

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							2,767.38	
12SEP17	B	1	PD12DEC17		11815.00	11652.01	USD	-977.94	
12OCT17	S	1	PD12DEC17		11373.45	11652.01	USD	-1,671.36	
	NET	0 AVG	BGHT 11815.00	SOLD 11373.45				-2,649.30	0.00
14SEP17	S	1	PD14DEC17		11370.00	11653.39	USD	-1,700.34	
14SEP17	S	1	PD14DEC17		11240.00	11653.39	USD	-2,480.34	
14SEP17	B	1	PD14DEC17		11200.00	11653.39	USD	2,720.34	
14SEP17	B	2	PD14DEC17		11210.00	11653.39	USD	5,320.68	
14SEP17	S	1	PD14DEC17		11210.00	11653.39	USD	-2,660.34	
	NET	0 AVG	BGHT 11206.67	SOLD 11273.33				1,200.00	0.00
15SEP17	B	1	PD15DEC17		11150.00	11654.07	USD	3,024.42	
15SEP17	S	1	PD15DEC17		11130.00	11654.07	USD	-3,144.42	
	NET	0 AVG	BGHT 11150.00	SOLD 11130.00				-120.00	0.00
21SEP17	B	1	PD21DEC17		10860.00	11658.26	USD	4,789.56	
21SEP17	S	1	PD21DEC17		10880.00	11658.26	USD	-4,669.56	
21SEP17	B	1	PD21DEC17		10805.00	11658.26	USD	5,119.56	
21SEP17	S	1	PD21DEC17		10835.00	11658.26	USD	-4,939.56	
	NET	0 AVG	BGHT 10832.50	SOLD 10857.50				300.00	0.00
22SEP17	B	1	PD22DEC17		10540.00	11659.02	USD	6,714.12	
22SEP17	S	1	PD22DEC17		10530.00	11659.02	USD	-6,774.12	
	NET	0 AVG	BGHT 10540.00	SOLD 10530.00				-60.00	0.00
03OCT17	S	1	PD03JAN18		10700.00	11668.15	USD	-5,808.90	
03OCT17	B	1	PD03JAN18		10700.00	11668.15	USD	5,808.90	
03OCT17	S	1	PD03JAN18		10730.00	11668.15	USD	-5,628.90	
12OCT17	B	1	PD03JAN18		11388.74	11668.15	USD	1,676.46	
	NET	0 AVG	BGHT 11044.37	SOLD 10715.00				-3,952.44	0.00
04OCT17	B	1	PD04JAN18		10745.00	11668.91	USD	5,543.46	
12OCT17	S	1	PD04JAN18		11388.43	11668.91	USD	-1,682.88	
	NET	0 AVG	BGHT 10745.00	SOLD 11388.43				3,860.58	0.00
09OCT17	B	1	PD09JAN18		10980.00	11672.72	USD	4,156.32	
09OCT17	S	1	PD09JAN18		10945.00	11672.72	USD	-4,366.32	
	NET	0 AVG	BGHT 10980.00	SOLD 10945.00				-210.00	0.00
12OCT17	S	1	PD12JAN18		11395.00	11675.00	USD	-1,680.00	
12OCT17	B	1	PD12JAN18		11390.00	11675.00	USD	1,710.00	
13OCT17	S	1	PD12JAN18		11740.00	11675.00	USD	390.00	
13OCT17	B	1	PD12JAN18		11720.00	11675.00	USD	-270.00	
	NET	0 AVG	BGHT 11555.00	SOLD 11567.50				150.00	0.00
	<u>LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>								
18JUL17	S	1	PD18OCT17		20025.00	20735.00	USD	-3,550.00	
18JUL17	B	1	PD18OCT17		20000.00	20735.00	USD	3,675.00	
18JUL17	B	1	PD18OCT17		20005.00	20735.00	USD	3,650.00	
06OCT17	S	1	PD18OCT17		20660.00	20735.00	USD	-375.00	
	NET	0 AVG	BGHT 20002.50	SOLD 20342.50				3,400.00	0.00
19JUL17	S	1	PD19OCT17		19990.00	20732.50	USD	-3,712.50	
19JUL17	S	1	PD19OCT17		20140.00	20732.50	USD	-2,962.50	
19JUL17	S	1	PD19OCT17		20095.00	20732.50	USD	-3,187.50	
19JUL17	B	1	PD19OCT17		20100.00	20732.50	USD	3,162.50	
19JUL17	B	1	PD19OCT17		20115.00	20732.50	USD	3,087.50	
06OCT17	B	1	PD19OCT17		20657.50	20732.50	USD	375.00	
	NET	0 AVG	BGHT 20290.83	SOLD 20075.00				-3,237.50	0.00
21JUL17	S	1	PD20OCT17		20240.00	20730.00	USD	-2,450.00	
21JUL17	B	1	PD20OCT17		20220.00	20730.00	USD	2,550.00	

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	<u>LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
	NET	0 AVG BGHT 20220.00	SOLD 20240.00				100.00	0.00
24JUL17	B	1 PD24OCT17		20130.00	20725.00	USD	2,975.00	
24JUL17	S	1 PD24OCT17		20205.00	20725.00	USD	-2,600.00	
	NET	0 AVG BGHT 20130.00	SOLD 20205.00				375.00	0.00
26JUL17	S	1 PD26OCT17		20345.00	20720.00	USD	-1,875.00	
26JUL17	B	1 PD26OCT17		20325.00	20720.00	USD	1,975.00	
26JUL17	S	1 PD26OCT17		20340.00	20720.00	USD	-1,900.00	
26JUL17	B	1 PD26OCT17		20345.00	20720.00	USD	1,875.00	
	NET	0 AVG BGHT 20335.00	SOLD 20342.50				75.00	0.00
27JUL17	S	1 PD27OCT17		20680.00	20717.50	USD	-187.50	
27JUL17	B	1 PD27OCT17		20700.00	20717.50	USD	87.50	
28JUL17	B	1 PD27OCT17		20575.00	20717.50	USD	712.50	
28JUL17	B	2 PD27OCT17		20605.00	20717.50	USD	1,125.00	
28JUL17	S	1 PD27OCT17		20640.00	20717.50	USD	-387.50	
28JUL17	S	1 PD27OCT17		20585.00	20717.50	USD	-662.50	
28JUL17	S	1 PD27OCT17		20630.00	20717.50	USD	-437.50	
	NET	0 AVG BGHT 20621.25	SOLD 20633.75				250.00	0.00
03AUG17	S	1 PD03NOV17		20670.00	20705.00	USD	-175.00	
03AUG17	B	1 PD03NOV17		20650.00	20705.00	USD	275.00	
	NET	0 AVG BGHT 20650.00	SOLD 20670.00				100.00	0.00
07AUG17	B	1 PD07NOV17		20560.00	20700.00	USD	700.00	
12OCT17	S	1 PD07NOV17		20830.00	20700.00	USD	650.00	
	NET	0 AVG BGHT 20560.00	SOLD 20830.00				1,350.00	0.00
08AUG17	S	1 PD08NOV17		20515.00	20697.50	USD	-912.50	
12OCT17	B	1 PD08NOV17		20828.50	20697.50	USD	-655.00	
	NET	0 AVG BGHT 20828.50	SOLD 20515.00				-1,567.50	0.00
09AUG17	B	1 PD09NOV17		20250.00	20695.00	USD	2,225.00	
09AUG17	S	1 PD09NOV17		20265.00	20695.00	USD	-2,150.00	
	NET	0 AVG BGHT 20250.00	SOLD 20265.00				75.00	0.00
10AUG17	S	1 PD10NOV17		20345.00	20692.50	USD	-1,737.50	
10AUG17	B	1 PD10NOV17		20325.00	20692.50	USD	1,837.50	
	NET	0 AVG BGHT 20325.00	SOLD 20345.00				100.00	0.00
14AUG17	S	1 PD14NOV17		20325.00	20687.50	USD	-1,812.50	
14AUG17	S	1 PD14NOV17		20345.00	20687.50	USD	-1,712.50	
14AUG17	B	1 PD14NOV17		20305.00	20687.50	USD	1,912.50	
14AUG17	B	1 PD14NOV17		20285.00	20687.50	USD	2,012.50	
14AUG17	S	1 PD14NOV17		20285.00	20687.50	USD	-2,012.50	
12OCT17	B	1 PD14NOV17		20817.50	20687.50	USD	-650.00	
	NET	0 AVG BGHT 20469.17	SOLD 20318.33				-2,262.50	0.00
15AUG17	B	1 PD15NOV17		20290.00	20685.00	USD	1,975.00	
15AUG17	B	1 PD15NOV17		20070.00	20685.00	USD	3,075.00	
15AUG17	S	2 PD15NOV17		19300.00	20685.00	USD	-13,850.00	
15AUG17	B	1 PD15NOV17		19830.00	20685.00	USD	4,275.00	
12OCT17	S	1 PD15NOV17		20814.00	20685.00	USD	645.00	
	NET	0 AVG BGHT 20063.33	SOLD 19804.67				-3,880.00	0.00
21AUG17	S	1 PD21NOV17		20445.00	20677.50	USD	-1,162.50	
21AUG17	B	1 PD21NOV17		20480.00	20677.50	USD	987.50	
21AUG17	S	2 PD21NOV17		20495.00	20677.50	USD	-1,825.00	
12OCT17	B	2 PD21NOV17		20807.50	20677.50	USD	-1,300.00	
	NET	0 AVG BGHT 20698.33	SOLD 20478.33				-3,300.00	0.00
22AUG17	B	1 PD22NOV17		20480.00	20675.63	USD	978.15	
22AUG17	B	1 PD22NOV17		20450.00	20675.63	USD	1,128.15	
12OCT17	S	2 PD22NOV17		20804.63	20675.63	USD	1,290.00	
	NET	0 AVG BGHT 20465.00	SOLD 20804.63				3,396.30	0.00

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	<u>LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>								
23AUG17	B	1	PD24NOV17		20495.00	20673.75	USD	893.75	
23AUG17	S	1	PD24NOV17		20525.00	20673.75	USD	-743.75	
	NET	0 AVG	BGHT 20495.00	SOLD 20525.00				150.00	0.00
31AUG17	S	2	PD30NOV17		20695.00	20666.25	USD	287.50	
31AUG17	B	2	PD30NOV17		20680.00	20666.25	USD	-137.50	
	NET	0 AVG	BGHT 20680.00	SOLD 20695.00				150.00	0.00
05SEP17	S	1	PD05DEC17		20730.00	20660.63	USD	346.85	
05SEP17	B	1	PD05DEC17		20710.00	20660.63	USD	-246.85	
	NET	0 AVG	BGHT 20710.00	SOLD 20730.00				100.00	0.00
06SEP17	S	1	PD06DEC17		20775.00	20658.75	USD	581.25	
12OCT17	B	1	PD06DEC17		20788.75	20658.75	USD	-650.00	
	NET	0 AVG	BGHT 20788.75	SOLD 20775.00				-68.75	0.00
07SEP17	B	1	PD07DEC17		20765.00	20656.88	USD	-540.60	
07SEP17	B	1	PD07DEC17		20740.00	20656.88	USD	-415.60	
07SEP17	S	1	PD07DEC17		20785.00	20656.88	USD	640.60	
12OCT17	S	1	PD07DEC17		20785.88	20656.88	USD	645.00	
	NET	0 AVG	BGHT 20752.50	SOLD 20785.44				329.40	0.00
11SEP17	B	1	PD11DEC17		20715.00	20653.13	USD	-309.35	
11SEP17	S	1	PD11DEC17		20735.00	20653.13	USD	409.35	
	NET	0 AVG	BGHT 20715.00	SOLD 20735.00				100.00	0.00
13SEP17	S	1	PD13DEC17		20570.00	20649.38	USD	-396.90	
13SEP17	B	1	PD13DEC17		20555.00	20649.38	USD	471.90	
13SEP17	S	1	PD13DEC17		20615.00	20649.38	USD	-171.90	
12OCT17	B	1	PD13DEC17		20779.38	20649.38	USD	-650.00	
	NET	0 AVG	BGHT 20667.19	SOLD 20592.50				-746.90	0.00
14SEP17	S	1	PD14DEC17		20635.00	20647.50	USD	-62.50	
14SEP17	B	2	PD14DEC17		20615.00	20647.50	USD	325.00	
14SEP17	S	1	PD14DEC17		20615.00	20647.50	USD	-162.50	
14SEP17	B	1	PD14DEC17		20655.00	20647.50	USD	-37.50	
12OCT17	S	1	PD14DEC17		20776.50	20647.50	USD	645.00	
	NET	0 AVG	BGHT 20628.33	SOLD 20675.50				707.50	0.00
15SEP17	S	1	PD15DEC17		20520.00	20645.63	USD	-628.15	
15SEP17	B	1	PD15DEC17		20580.00	20645.63	USD	328.15	
15SEP17	S	1	PD15DEC17		20575.00	20645.63	USD	-353.15	
15SEP17	B	1	PD15DEC17		20565.00	20645.63	USD	403.15	
	NET	0 AVG	BGHT 20572.50	SOLD 20547.50				-250.00	0.00
18SEP17	S	1	PD18DEC17		20690.00	20643.75	USD	231.25	
12OCT17	B	1	PD18DEC17		20773.75	20643.75	USD	-650.00	
	NET	0 AVG	BGHT 20773.75	SOLD 20690.00				-418.75	0.00
19SEP17	B	1	PD19DEC17		20700.00	20641.88	USD	-290.60	
12OCT17	S	1	PD19DEC17		20770.88	20641.88	USD	645.00	
	NET	0 AVG	BGHT 20700.00	SOLD 20770.88				354.40	0.00
22SEP17	B	1	PD22DEC17		20575.00	20634.29	USD	296.45	
22SEP17	S	2	PD22DEC17		20595.00	20634.29	USD	-392.90	
12OCT17	B	1	PD22DEC17		20765.71	20634.29	USD	-657.10	
	NET	0 AVG	BGHT 20670.36	SOLD 20595.00				-753.55	0.00
25SEP17	B	1	PD27DEC17		20590.00	20631.43	USD	207.15	
25SEP17	S	1	PD27DEC17		20695.00	20631.43	USD	317.85	
25SEP17	B	1	PD27DEC17		20705.00	20631.43	USD	-367.85	
26SEP17	B	1	PD27DEC17		20660.00	20631.43	USD	-142.85	
26SEP17	S	1	PD27DEC17		20700.00	20631.43	USD	342.85	
27SEP17	B	1	PD27DEC17		20700.00	20631.43	USD	-342.85	
27SEP17	S	1	PD27DEC17		20695.00	20631.43	USD	317.85	

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27SEP17	B	1	PD27DEC17	20675.00	20631.43	USD	-217.85	
27SEP17	S	1	PD27DEC17	20685.00	20631.43	USD	267.85	
12OCT17	S	1	PD27DEC17	20762.57	20631.43	USD	655.70	
	NET	0 AVG	BGHT 20666.00				1,037.85	0.00
28SEP17	S	1	PD28DEC17	20735.00	20628.57	USD	532.15	
12OCT17	B	1	PD28DEC17	20761.43	20628.57	USD	-664.30	
	NET	0 AVG	BGHT 20761.43				-132.15	0.00
29SEP17	B	1	PD29DEC17	20725.00	20625.71	USD	-496.45	
29SEP17	S	1	PD29DEC17	20720.00	20625.71	USD	471.45	
	NET	0 AVG	BGHT 20725.00				-25.00	0.00
02OCT17	B	1	PD02JAN18	20715.00	20622.86	USD	-460.70	
02OCT17	B	1	PD02JAN18	20670.00	20622.86	USD	-235.70	
02OCT17	S	1	PD02JAN18	20700.00	20622.86	USD	385.70	
12OCT17	S	1	PD02JAN18	20756.14	20622.86	USD	666.40	
	NET	0 AVG	BGHT 20692.50				355.70	0.00
06OCT17	B	1	PD05JAN18	20605.00	20614.29	USD	46.45	
06OCT17	B	1	PD05JAN18	20575.00	20614.29	USD	196.45	
06OCT17	S	1	PD05JAN18	20610.00	20614.29	USD	-21.45	
06OCT17	B	1	PD05JAN18	20595.00	20614.29	USD	96.45	
06OCT17	S	1	PD05JAN18	20590.00	20614.29	USD	-121.45	
06OCT17	S	1	PD05JAN18	20600.00	20614.29	USD	-71.45	
	NET	0 AVG	BGHT 20591.67				125.00	0.00
11OCT17	B	1	PD11JAN18	20770.00	20602.86	USD	-835.70	
11OCT17	S	1	PD11JAN18	20800.00	20602.86	USD	985.70	
11OCT17	S	1	PD11JAN18	20840.00	20602.86	USD	1,185.70	
11OCT17	B	1	PD11JAN18	20805.00	20602.86	USD	-1,010.70	
	NET	0 AVG	BGHT 20787.50				325.00	0.00
12OCT17	B	1	PD12JAN18	20745.00	20600.00	USD	-725.00	
12OCT17	S	1	PD12JAN18	20755.00	20600.00	USD	775.00	
12OCT17	B	1	PD12JAN18	20740.00	20600.00	USD	-700.00	
12OCT17	S	1	PD12JAN18	20760.00	20600.00	USD	800.00	
	NET	0 AVG	BGHT 20742.50				150.00	0.00
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
18JUL17	S	2	PD18OCT17	2794.50	3291.00	USD	-24,825.00	
06OCT17	B	2	PD18OCT17	3274.50	3291.00	USD	825.00	
	NET	0 AVG	BGHT 3274.50				-24,000.00	0.00
20JUL17	B	1	PD20OCT17	2727.50	3282.00	USD	13,862.50	
20JUL17	B	1	PD20OCT17	2728.00	3282.00	USD	13,850.00	
06OCT17	S	2	PD20OCT17	3266.30	3282.00	USD	-785.00	
	NET	0 AVG	BGHT 2727.75				26,927.50	0.00
25JUL17	B	1	PD25OCT17	2840.00	3273.00	USD	10,825.00	
25JUL17	S	1	PD25OCT17	2837.50	3273.00	USD	-10,887.50	
25JUL17	S	1	PD25OCT17	2842.00	3273.00	USD	-10,775.00	
25JUL17	B	1	PD25OCT17	2846.00	3273.00	USD	10,675.00	
	NET	0 AVG	BGHT 2843.00				-162.50	0.00
04AUG17	S	1	PD03NOV17	2825.00	3265.07	USD	-11,001.75	
04AUG17	B	1	PD03NOV17	2814.50	3265.07	USD	11,264.25	
	NET	0 AVG	BGHT 2814.50				262.50	0.00
29SEP17	B	1	PD29DEC17	3161.00	3238.46	USD	1,936.50	
29SEP17	S	1	PD29DEC17	3164.50	3238.46	USD	-1,849.00	
29SEP17	S	1	PD29DEC17	3168.00	3238.46	USD	-1,761.50	
12OCT17	B	1	PD29DEC17	3252.67	3238.46	USD	-355.25	
	NET	0 AVG	BGHT 3206.84					0.00

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP096AF

13th October 2017

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backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
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Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
02OCT17	B 1 PD02JAN18	3172.00	3238.08	USD	-2,029.25	
12OCT17	S 1 PD02JAN18	3251.13	3238.08	USD	1,652.00	
	NET 0AVG BGHT 3172.00 SOLD 3251.13				326.25	0.00
10OCT17	B 1 PD10JAN18	3288.00	3235.77	USD	1,978.25	
10OCT17	S 1 PD10JAN18	3289.50	3235.77	USD	-1,305.75	
	NET 0AVG BGHT 3288.00 SOLD 3289.50				1,343.25	0.00
					37.50	

OPEN POSITION VALUE

		USD
LME Aluminium 25 Tonnes.	FUTURES	214.75
LME Copper Grade A 25	FUTURES	-212.75
LME Lead 25 tonnes. This is an	FUTURES	-2,069.75
LME Nickel 6 tonnes. This is	FUTURES	1,391.82
LME Tin 5 tonnes. This is an	FUTURES	-3,536.45
LME Zinc 25 tonnes. This is	FUTURES	3,014.00

MARKET REVALUATION BREAKDOWN

Unrealised Profits & Losses - Forwards	Amount	Profit / Loss	Total Amount	Total P&L
ALUL - Futures USD	214.75	214.75		
COPL - Futures USD	-212.75	-212.75		
LEAD - Futures USD	-2,069.75	-2,069.75		
NKL - Futures USD	1,391.82	1,391.82		
TIN - Futures USD	-3,536.45	-3,536.45		
ZINC - Futures USD	3,014.00	3,014.00	-1,198.38	-1,198.38

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
CASH BALANCE	(1.00000)	(1.00000)
Variation Margins - Futures	1,187.29	1,187.29
- Options	0.00	0.00
TOTAL EQUITY	1,187.29	1,187.29
Initial Margins	-1,198.38	-1,198.38
Excess/(Shortage)	-11.09	-11.09
FOR INFORMATION ONLY		
Forward Unrealised P&L	-1,198.38	-1,198.38
Net Liquidating Value	-11.09	-11.09

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	<u>LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.</u>							
17JUL17	B	3	PD17OCT17	1905.00	2109.75	USD	15,356.25	
17JUL17	B	3	PD17OCT17	1901.00	2109.75	USD	15,656.25	
17JUL17	B	1	PD17OCT17	1914.50	2109.75	USD	4,881.25	
17JUL17	B	1	PD17OCT17	1915.00	2109.75	USD	4,868.75	
17JUL17	S	3	PD17OCT17	1914.00	2109.75	USD	-14,681.25	
17JUL17	B	1	PD17OCT17	1914.50	2109.75	USD	4,881.25	
18JUL17	S	6	PD17OCT17	1930.59	2109.75	USD	-26,874.00	
	NET	0 AVG	BGHT 1906.89				4,088.50	0.00
18JUL17	S	3	PD18OCT17	1914.00	2110.25	USD	-14,718.75	
18JUL17	S	6	PD18OCT17	1916.50	2110.25	USD	-29,062.50	
18JUL17	B	1	PD18OCT17	1926.00	2110.25	USD	4,606.25	
18JUL17	B	2	PD18OCT17	1925.50	2110.25	USD	9,237.50	
24JUL17	B	6	PD18OCT17	1911.50	2110.25	USD	29,812.50	
	NET	0 AVG	BGHT 1916.22				-125.00	0.00
19JUL17	B	1	PD19OCT17	1926.50	2110.75	USD	4,606.25	
19JUL17	B	3	PD19OCT17	1928.00	2110.75	USD	13,706.25	
19JUL17	B	1	PD19OCT17	1927.00	2110.75	USD	4,593.75	
20JUL17	S	5	PD19OCT17	1916.80	2110.75	USD	-24,243.75	
	NET	0 AVG	BGHT 1927.50				-1,337.50	0.00
20JUL17	B	1	PD20OCT17	1928.50	2111.25	USD	4,568.75	
20JUL17	B	5	PD20OCT17	1929.00	2111.25	USD	22,781.25	
20JUL17	B	6	PD20OCT17	1927.50	2111.25	USD	27,562.50	
20JUL17	B	8	PD20OCT17	1928.00	2111.25	USD	36,650.00	
24JUL17	S	20	PD20OCT17	1911.47	2111.25	USD	-99,890.00	
	NET	0 AVG	BGHT 1928.13				-8,327.50	0.00
26JUL17	S	1	PD26OCT17	1953.50	2114.25	USD	-4,018.75	
26JUL17	B	2	PD26OCT17	1940.00	2114.25	USD	8,712.50	
26JUL17	S	1	PD26OCT17	1955.50	2114.25	USD	-3,968.75	
26JUL17	S	2	PD26OCT17	1956.50	2114.25	USD	-7,887.50	
26JUL17	S	1	PD26OCT17	1957.50	2114.25	USD	-3,918.75	
26JUL17	B	1	PD26OCT17	1945.00	2114.25	USD	4,231.25	
26JUL17	B	1	PD26OCT17	1942.00	2114.25	USD	4,306.25	
26JUL17	B	1	PD26OCT17	1939.00	2114.25	USD	4,381.25	
	NET	0 AVG	BGHT 1941.20				1,837.50	0.00
27JUL17	S	1	PD27OCT17	1955.50	2114.08	USD	-3,964.50	
27JUL17	B	1	PD27OCT17	1944.50	2114.08	USD	4,239.50	
	NET	0 AVG	BGHT 1944.50				275.00	0.00
02AUG17	B	2	PD02NOV17	1923.00	2114.44	USD	9,572.00	
02AUG17	B	1	PD02NOV17	1924.00	2114.44	USD	4,761.00	
02AUG17	B	2	PD02NOV17	1923.00	2114.44	USD	9,572.00	
02AUG17	B	13	PD02NOV17	1925.00	2114.44	USD	61,568.00	
11AUG17	S	16	PD02NOV17	2041.41	2114.44	USD	-29,212.00	
15AUG17	S	2	PD02NOV17	2046.47	2114.44	USD	-3,398.50	
	NET	0 AVG	BGHT 1924.50				52,862.50	0.00
07AUG17	B	1	PD07NOV17	1918.50	2116.16	USD	4,941.50	
07AUG17	B	1	PD07NOV17	1916.00	2116.16	USD	5,004.00	
07AUG17	B	4	PD07NOV17	1916.50	2116.16	USD	19,966.00	
07AUG17	B	6	PD07NOV17	1917.00	2116.16	USD	29,874.00	
07AUG17	S	1	PD07NOV17	1922.50	2116.16	USD	-4,841.50	
07AUG17	S	3	PD07NOV17	1924.00	2116.16	USD	-14,412.00	
07AUG17	B	1	PD07NOV17	1919.00	2116.16	USD	4,929.00	
07AUG17	S	7	PD07NOV17	1942.00	2116.16	USD	-30,478.00	
07AUG17	S	5	PD07NOV17	1942.50	2116.16	USD	-21,707.50	
07AUG17	S	3	PD07NOV17	1943.00	2116.16	USD	-12,987.00	
07AUG17	S	6	PD07NOV17	1967.00	2116.16	USD	-22,374.00	

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11AUG17	B	12	PD07NOV17	2042.29	2116.16	USD	22,161.00	
	NET	0 AVG	BGHT 1977.16	SOLD 1945.28			-19,924.50	0.00
08AUG17	B	1	PD08NOV17	1986.00	2116.50	USD	3,262.50	
08AUG17	B	1	PD08NOV17	1981.00	2116.50	USD	3,387.50	
08AUG17	S	1	PD08NOV17	1996.00	2116.50	USD	-3,012.50	
08AUG17	B	1	PD08NOV17	1998.50	2116.50	USD	2,950.00	
08AUG17	B	2	PD08NOV17	2000.00	2116.50	USD	5,825.00	
08AUG17	B	1	PD08NOV17	2003.50	2116.50	USD	2,825.00	
08AUG17	B	1	PD08NOV17	2002.00	2116.50	USD	2,862.50	
08AUG17	B	1	PD08NOV17	2005.00	2116.50	USD	2,787.50	
08AUG17	B	1	PD08NOV17	2016.00	2116.50	USD	2,512.50	
08AUG17	B	8	PD08NOV17	2018.00	2116.50	USD	19,700.00	
08AUG17	B	2	PD08NOV17	2020.00	2116.50	USD	4,825.00	
08AUG17	B	1	PD08NOV17	2021.00	2116.50	USD	2,387.50	
08AUG17	B	3	PD08NOV17	2021.50	2116.50	USD	7,125.00	
08AUG17	B	1	PD08NOV17	2022.00	2116.50	USD	2,362.50	
08AUG17	S	1	PD08NOV17	2028.00	2116.50	USD	-2,212.50	
08AUG17	S	1	PD08NOV17	2029.00	2116.50	USD	-2,187.50	
08AUG17	S	1	PD08NOV17	2030.00	2116.50	USD	-2,162.50	
15AUG17	S	3	PD08NOV17	2047.64	2116.50	USD	-5,164.50	
18AUG17	S	4	PD08NOV17	2060.50	2116.50	USD	-5,600.00	
23AUG17	S	2	PD08NOV17	2094.56	2116.50	USD	-1,097.00	
24AUG17	S	3	PD08NOV17	2103.36	2116.50	USD	-985.50	
25AUG17	S	8	PD08NOV17	2067.34	2116.50	USD	-9,832.00	
	NET	0 AVG	BGHT 2011.81	SOLD 2062.74			30,558.50	0.00
09AUG17	S	1	PD09NOV17	2032.00	2116.96	USD	-2,124.00	
09AUG17	S	3	PD09NOV17	2030.00	2116.96	USD	-6,522.00	
09AUG17	B	2	PD09NOV17	2035.00	2116.96	USD	4,098.00	
09AUG17	B	2	PD09NOV17	2035.50	2116.96	USD	4,073.00	
09AUG17	B	1	PD09NOV17	2037.00	2116.96	USD	1,999.00	
09AUG17	B	1	PD09NOV17	2036.50	2116.96	USD	2,011.50	
09AUG17	S	2	PD09NOV17	2036.00	2116.96	USD	-4,048.00	
09AUG17	S	1	PD09NOV17	2040.50	2116.96	USD	-1,911.50	
09AUG17	S	1	PD09NOV17	2039.00	2116.96	USD	-1,949.00	
09AUG17	S	1	PD09NOV17	2038.50	2116.96	USD	-1,961.50	
09AUG17	S	1	PD09NOV17	2036.50	2116.96	USD	-2,011.50	
11AUG17	B	4	PD09NOV17	2042.56	2116.96	USD	7,440.00	
	NET	0 AVG	BGHT 2038.47	SOLD 2034.85			-906.00	0.00
11AUG17	S	1	PD10NOV17	2038.50	2117.43	USD	-1,973.25	
15AUG17	B	1	PD10NOV17	2048.23	2117.43	USD	1,730.00	
	NET	0 AVG	BGHT 2048.23	SOLD 2038.50			-243.25	0.00
14AUG17	S	1	PD14NOV17	2029.00	2119.29	USD	-2,257.25	
14AUG17	S	1	PD14NOV17	2033.00	2119.29	USD	-2,157.25	
14AUG17	S	2	PD14NOV17	2033.50	2119.29	USD	-4,289.50	
15AUG17	B	4	PD14NOV17	2049.01	2119.29	USD	7,028.00	
	NET	0 AVG	BGHT 2049.01	SOLD 2032.25			-1,676.00	0.00
15AUG17	S	2	PD15NOV17	2049.00	2119.75	USD	-3,537.50	
18AUG17	B	2	PD15NOV17	2062.45	2119.75	USD	2,865.00	
	NET	0 AVG	BGHT 2062.45	SOLD 2049.00			-672.50	0.00
16AUG17	B	2	PD16NOV17	2098.00	2120.07	USD	1,103.50	
16AUG17	B	1	PD16NOV17	2095.00	2120.07	USD	626.75	
16AUG17	B	1	PD16NOV17	2096.00	2120.07	USD	601.75	
16AUG17	B	2	PD16NOV17	2094.00	2120.07	USD	1,303.50	
08SEP17	S	6	PD16NOV17	2094.38	2120.07	USD	-3,853.50	
	NET	0 AVG	BGHT 2095.83	SOLD 2094.38			-218.00	0.00

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	<u>LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.</u>							
17AUG17	B	2	PD17NOV17	2096.00	2120.38	USD	1,219.00	
17AUG17	S	2	PD17NOV17	2101.00	2120.38	USD	-969.00	
17AUG17	S	2	PD17NOV17	2106.00	2120.38	USD	-719.00	
17AUG17	B	1	PD17NOV17	2067.50	2120.38	USD	1,322.00	
17AUG17	S	1	PD17NOV17	2071.00	2120.38	USD	-1,234.50	
18AUG17	S	2	PD17NOV17	2071.00	2120.38	USD	-2,469.00	
18AUG17	B	2	PD17NOV17	2062.20	2120.38	USD	2,909.00	
23AUG17	B	2	PD17NOV17	2096.11	2120.38	USD	1,213.50	
	NET	0 AVG	BGHT 2082.30				1,272.00	0.00
23AUG17	S	1	PD24NOV17	2067.50	2122.60	USD	-1,377.50	
23AUG17	S	1	PD24NOV17	2068.50	2122.60	USD	-1,352.50	
23AUG17	S	1	PD24NOV17	2068.00	2122.60	USD	-1,365.00	
24AUG17	S	4	PD24NOV17	2095.00	2122.60	USD	-2,760.00	
24AUG17	S	1	PD24NOV17	2102.00	2122.60	USD	-515.00	
24AUG17	S	3	PD24NOV17	2101.50	2122.60	USD	-1,582.50	
24AUG17	B	3	PD24NOV17	2106.20	2122.60	USD	1,230.00	
25AUG17	B	8	PD24NOV17	2070.20	2122.60	USD	10,480.00	
	NET	0 AVG	BGHT 2080.02				2,757.50	0.00
01SEP17	B	2	PD01DEC17	2140.00	2124.81	USD	-759.50	
01SEP17	B	2	PD01DEC17	2130.00	2124.81	USD	-259.50	
08SEP17	S	4	PD01DEC17	2097.25	2124.81	USD	-2,756.00	
	NET	0 AVG	BGHT 2135.00				-3,775.00	0.00
04SEP17	S	2	PD04DEC17	2125.00	2125.76	USD	-38.00	
08SEP17	B	2	PD04DEC17	2098.20	2125.76	USD	1,378.00	
	NET	0 AVG	BGHT 2098.20				1,340.00	0.00
06SEP17	S	1	PD06DEC17	2108.00	2126.39	USD	-459.75	
06SEP17	S	1	PD06DEC17	2108.50	2126.39	USD	-447.25	
08SEP17	B	2	PD06DEC17	2098.70	2126.39	USD	1,384.50	
	NET	0 AVG	BGHT 2098.70				477.50	0.00
07SEP17	S	1	PD07DEC17	2091.00	2126.71	USD	-892.75	
07SEP17	S	1	PD07DEC17	2091.50	2126.71	USD	-880.25	
07SEP17	S	2	PD07DEC17	2110.00	2126.71	USD	-835.50	
07SEP17	S	2	PD07DEC17	2109.50	2126.71	USD	-860.50	
08SEP17	B	6	PD07DEC17	2098.95	2126.71	USD	4,164.00	
	NET	0 AVG	BGHT 2098.95				695.00	0.00
08SEP17	S	2	PD08DEC17	2097.50	2127.02	USD	-1,476.00	
08SEP17	S	2	PD08DEC17	2090.00	2127.02	USD	-1,851.00	
13SEP17	B	4	PD08DEC17	2108.66	2127.02	USD	1,836.00	
	NET	0 AVG	BGHT 2108.66				-1,491.00	0.00
11SEP17	B	1	PD11DEC17	2103.00	2127.97	USD	624.25	
11SEP17	B	2	PD11DEC17	2102.00	2127.97	USD	1,298.50	
11SEP17	B	3	PD11DEC17	2102.50	2127.97	USD	1,910.25	
11SEP17	B	1	PD11DEC17	2109.00	2127.97	USD	474.25	
11SEP17	B	1	PD11DEC17	2109.50	2127.97	USD	461.75	
11SEP17	B	1	PD11DEC17	2123.00	2127.97	USD	124.25	
11SEP17	B	1	PD11DEC17	2122.50	2127.97	USD	136.75	
13SEP17	S	4	PD11DEC17	2109.26	2127.97	USD	-1,871.00	
15SEP17	S	5	PD11DEC17	2085.00	2127.97	USD	-5,371.25	
20SEP17	S	1	PD11DEC17	2174.49	2127.97	USD	1,163.00	
	NET	0 AVG	BGHT 2107.85				-1,049.25	0.00
12SEP17	B	2	PD12DEC17	2116.00	2128.29	USD	614.50	
12SEP17	B	3	PD12DEC17	2115.50	2128.29	USD	959.25	
20SEP17	S	5	PD12DEC17	2174.77	2128.29	USD	5,810.00	
	NET	0 AVG	BGHT 2115.70				7,383.75	0.00

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13SEP17	S	5	PD13DEC17	2131.00	2128.60	USD	300.00	
15SEP17	B	5	PD13DEC17	2085.70	2128.60	USD	5,362.50	
	NET	0 AVG BGHT 2085.70	SOLD 2131.00				5,662.50	0.00
14SEP17	B	1	PD14DEC17	2116.00	2128.92	USD	323.00	
14SEP17	B	3	PD14DEC17	2115.50	2128.92	USD	1,006.50	
14SEP17	B	1	PD14DEC17	2115.00	2128.92	USD	348.00	
20SEP17	S	5	PD14DEC17	2175.33	2128.92	USD	5,801.25	
	NET	0 AVG BGHT 2115.50	SOLD 2175.33				7,478.75	0.00
15SEP17	S	3	PD15DEC17	2092.00	2129.24	USD	-2,793.00	
15SEP17	S	2	PD15DEC17	2087.00	2129.24	USD	-2,112.00	
15SEP17	S	1	PD15DEC17	2084.50	2129.24	USD	-1,118.50	
15SEP17	B	1	PD15DEC17	2086.00	2129.24	USD	1,081.00	
15SEP17	S	2	PD15DEC17	2090.00	2129.24	USD	-1,962.00	
15SEP17	S	1	PD15DEC17	2090.50	2129.24	USD	-968.50	
15SEP17	S	1	PD15DEC17	2096.00	2129.24	USD	-831.00	
15SEP17	S	2	PD15DEC17	2095.50	2129.24	USD	-1,687.00	
20SEP17	B	11	PD15DEC17	2175.81	2129.24	USD	-12,806.75	
	NET	0 AVG BGHT 2168.33	SOLD 2091.00				-23,197.75	0.00
18SEP17	B	1	PD18DEC17	2092.00	2130.18	USD	954.50	
18SEP17	B	2	PD18DEC17	2091.50	2130.18	USD	1,934.00	
18SEP17	B	1	PD18DEC17	2092.50	2130.18	USD	942.00	
20SEP17	S	4	PD18DEC17	2176.44	2130.18	USD	4,626.00	
	NET	0 AVG BGHT 2091.88	SOLD 2176.44				8,456.50	0.00
19SEP17	S	1	PD19DEC17	2127.00	2130.50	USD	-87.50	
19SEP17	S	3	PD19DEC17	2126.50	2130.50	USD	-300.00	
19SEP17	S	1	PD19DEC17	2124.00	2130.50	USD	-162.50	
19SEP17	B	1	PD19DEC17	2124.00	2130.50	USD	162.50	
20SEP17	B	4	PD19DEC17	2176.92	2130.50	USD	-4,642.00	
	NET	0 AVG BGHT 2166.34	SOLD 2126.10				-5,029.50	0.00
20SEP17	B	3	PD20DEC17	2127.00	2130.50	USD	262.50	
20SEP17	B	2	PD20DEC17	2127.50	2130.50	USD	150.00	
20SEP17	B	1	PD20DEC17	2149.00	2130.50	USD	-462.50	
20SEP17	B	1	PD20DEC17	2150.00	2130.50	USD	-487.50	
20SEP17	B	1	PD20DEC17	2153.00	2130.50	USD	-562.50	
20SEP17	B	1	PD20DEC17	2157.00	2130.50	USD	-662.50	
20SEP17	B	1	PD20DEC17	2158.50	2130.50	USD	-700.00	
20SEP17	B	3	PD20DEC17	2163.00	2130.50	USD	-2,437.50	
20SEP17	B	1	PD20DEC17	2163.50	2130.50	USD	-825.00	
20SEP17	B	1	PD20DEC17	2164.00	2130.50	USD	-837.50	
20SEP17	B	1	PD20DEC17	2165.00	2130.50	USD	-862.50	
20SEP17	S	2	PD20DEC17	2163.00	2130.50	USD	1,625.00	
20SEP17	S	4	PD20DEC17	2162.50	2130.50	USD	3,200.00	
22SEP17	S	10	PD20DEC17	2158.75	2130.50	USD	7,062.50	
	NET	0 AVG BGHT 2149.06	SOLD 2160.22				4,462.50	0.00
21SEP17	S	1	PD21DEC17	2176.50	2130.50	USD	1,150.00	
21SEP17	S	4	PD21DEC17	2177.00	2130.50	USD	4,650.00	
21SEP17	S	1	PD21DEC17	2180.50	2130.50	USD	1,250.00	
21SEP17	S	2	PD21DEC17	2177.50	2130.50	USD	2,350.00	
21SEP17	S	1	PD21DEC17	2178.00	2130.50	USD	1,187.50	
21SEP17	B	1	PD21DEC17	2179.00	2130.50	USD	-1,212.50	
21SEP17	B	1	PD21DEC17	2182.00	2130.50	USD	-1,287.50	
21SEP17	S	1	PD21DEC17	2178.50	2130.50	USD	1,200.00	
21SEP17	B	2	PD21DEC17	2172.00	2130.50	USD	-2,075.00	
21SEP17	B	1	PD21DEC17	2172.50	2130.50	USD	-1,050.00	
21SEP17	S	1	PD21DEC17	2168.50	2130.50	USD	950.00	
21SEP17	S	1	PD21DEC17	2165.50	2130.50	USD	875.00	

OPEN POSITION STATEMENT



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SAP096AG

13th October 2017

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backoffice@sapiencap.com

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	<u>LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.</u>							
21SEP17	S	1	PD21DEC17	2165.00	2130.50	USD	862.50	
21SEP17	S	2	PD21DEC17	2164.00	2130.50	USD	1,675.00	
22SEP17	B	10	PD21DEC17	2157.95	2130.50	USD	-6,862.50	
	NET	0 AVG BGHT 2163.80	SOLD 2173.57				3,662.50	0.00
27SEP17	S	2	PD27DEC17	2139.00	2131.04	USD	398.00	
28SEP17	B	2	PD27DEC17	2130.72	2131.04	USD	16.00	
	NET	0 AVG BGHT 2130.72	SOLD 2139.00				414.00	0.00
28SEP17	B	1	PD28DEC17	2128.00	2131.14	USD	78.50	
28SEP17	B	1	PD28DEC17	2121.00	2131.14	USD	253.50	
28SEP17	S	2	PD28DEC17	2130.80	2131.14	USD	-17.00	
13OCT17	B	4	PD28DEC17	2131.14	2131.14	USD	0.00	
	NET	+4 AVG BGHT 2128.93	SOLD 2130.80				315.00	0.00
13OCT17	B	2	PD29DEC17	2131.25	2131.25	USD	0.00	
	NET	+2 AVG BGHT 2131.25					0.00	0.00
13OCT17	S	6	PD09JAN18	2132.82	2133.02	USD	-30.00	
	NET	-6 AVG SOLD 2132.82					-30.00	0.00
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
17JUL17	B	3	PD17OCT17	5950.50	6859.00	USD	68,137.50	
17JUL17	S	3	PD17OCT17	5981.00	6859.00	USD	-65,850.00	
17JUL17	S	1	PD17OCT17	5921.50	6859.00	USD	-23,437.50	
17JUL17	S	1	PD17OCT17	5981.50	6859.00	USD	-21,937.50	
17JUL17	S	1	PD17OCT17	5980.00	6859.00	USD	-21,975.00	
17JUL17	S	2	PD17OCT17	5917.50	6859.00	USD	-47,075.00	
17JUL17	S	1	PD17OCT17	5964.50	6859.00	USD	-22,362.50	
17JUL17	S	1	PD17OCT17	6019.00	6859.00	USD	-21,000.00	
18JUL17	B	5	PD17OCT17	6006.79	6859.00	USD	106,526.25	
20JUL17	B	2	PD17OCT17	5960.08	6859.00	USD	44,946.00	
	NET	0 AVG BGHT 5980.56	SOLD 5964.45				-4,027.75	0.00
19JUL17	B	1	PD19OCT17	6000.00	6857.50	USD	21,437.50	
19JUL17	B	1	PD19OCT17	5993.00	6857.50	USD	21,612.50	
19JUL17	B	1	PD19OCT17	5995.50	6857.50	USD	21,550.00	
19JUL17	B	1	PD19OCT17	5997.50	6857.50	USD	21,500.00	
20JUL17	S	2	PD19OCT17	5959.30	6857.50	USD	-44,910.00	
24JUL17	S	2	PD19OCT17	6026.50	6857.50	USD	-41,550.00	
	NET	0 AVG BGHT 5996.50	SOLD 5992.90				-360.00	0.00
21JUL17	S	2	PD20OCT17	6015.00	6857.50	USD	-42,125.00	
24JUL17	B	2	PD20OCT17	6025.70	6857.50	USD	41,590.00	
	NET	0 AVG BGHT 6025.70	SOLD 6015.00				-535.00	0.00
24JUL17	S	2	PD24OCT17	6024.50	6857.50	USD	-41,650.00	
11AUG17	B	2	PD24OCT17	6404.52	6857.50	USD	22,649.00	
	NET	0 AVG BGHT 6404.52	SOLD 6024.50				-19,001.00	0.00
25JUL17	S	1	PD25OCT17	6050.00	6857.50	USD	-20,187.50	
25JUL17	S	1	PD25OCT17	6232.00	6857.50	USD	-15,637.50	
25JUL17	S	1	PD25OCT17	6227.50	6857.50	USD	-15,750.00	
25JUL17	S	1	PD25OCT17	6279.50	6857.50	USD	-14,450.00	
25JUL17	B	1	PD25OCT17	6265.00	6857.50	USD	14,812.50	
11AUG17	B	3	PD25OCT17	6404.90	6857.50	USD	33,945.00	
	NET	0 AVG BGHT 6369.93	SOLD 6197.25				-17,267.50	0.00
26JUL17	S	1	PD26OCT17	6366.00	6857.50	USD	-12,287.50	
26JUL17	B	1	PD26OCT17	6296.50	6857.50	USD	14,025.00	
	NET	0 AVG BGHT 6296.50	SOLD 6366.00				1,737.50	0.00
07AUG17	B	1	PD07NOV17	6425.50	6863.68	USD	10,954.50	
11AUG17	S	1	PD07NOV17	6409.66	6863.68	USD	-11,350.50	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>								
	NET	0 AVG	BGHT	6425.50	SOLD	6409.66		-396.00	0.00
08AUG17	B	1	PD08NOV17		6436.00	6864.45	USD	10,711.25	
08AUG17	B	1	PD08NOV17		6409.50	6864.45	USD	11,373.75	
08AUG17	B	1	PD08NOV17		6418.00	6864.45	USD	11,161.25	
08AUG17	B	1	PD08NOV17		6432.00	6864.45	USD	10,811.25	
11AUG17	S	4	PD08NOV17		6410.04	6864.45	USD	-45,441.00	
	NET	0 AVG	BGHT	6423.88	SOLD	6410.04		-1,383.50	0.00
09AUG17	B	4	PD09NOV17		6486.50	6865.23	USD	37,873.00	
09AUG17	B	1	PD09NOV17		6509.50	6865.23	USD	8,893.25	
09AUG17	S	1	PD09NOV17		6439.50	6865.23	USD	-10,643.25	
15AUG17	S	4	PD09NOV17		6377.57	6865.23	USD	-48,766.00	
	NET	0 AVG	BGHT	6491.10	SOLD	6389.96		-12,643.00	0.00
10AUG17	B	1	PD10NOV17		6458.50	6866.00	USD	10,187.50	
10AUG17	S	1	PD10NOV17		6458.00	6866.00	USD	-10,200.00	
11AUG17	B	1	PD10NOV17		6389.00	6866.00	USD	11,925.00	
11AUG17	B	1	PD10NOV17		6387.50	6866.00	USD	11,962.50	
11AUG17	S	1	PD10NOV17		6361.00	6866.00	USD	-12,625.00	
11AUG17	S	1	PD10NOV17		6360.50	6866.00	USD	-12,637.50	
11AUG17	S	1	PD10NOV17		6360.00	6866.00	USD	-12,650.00	
11AUG17	S	1	PD10NOV17		6361.50	6866.00	USD	-12,612.50	
11AUG17	S	1	PD10NOV17		6350.00	6866.00	USD	-12,900.00	
11AUG17	S	1	PD10NOV17		6346.50	6866.00	USD	-12,987.50	
11AUG17	S	1	PD10NOV17		6348.50	6866.00	USD	-12,937.50	
11AUG17	S	2	PD10NOV17		6363.50	6866.00	USD	-25,125.00	
11AUG17	S	1	PD10NOV17		6369.00	6866.00	USD	-12,425.00	
11AUG17	S	1	PD10NOV17		6365.00	6866.00	USD	-12,525.00	
11AUG17	S	1	PD10NOV17		6366.00	6866.00	USD	-12,500.00	
11AUG17	S	1	PD10NOV17		6364.00	6866.00	USD	-12,550.00	
11AUG17	S	1	PD10NOV17		6351.50	6866.00	USD	-12,862.50	
11AUG17	S	1	PD10NOV17		6421.00	6866.00	USD	-11,125.00	
11AUG17	S	1	PD10NOV17		6422.00	6866.00	USD	-11,100.00	
11AUG17	S	1	PD10NOV17		6415.50	6866.00	USD	-11,262.50	
15AUG17	B	4	PD10NOV17		6378.20	6866.00	USD	48,780.00	
18AUG17	B	10	PD10NOV17		6486.75	6866.00	USD	94,812.50	
23AUG17	B	1	PD10NOV17		6564.00	6866.00	USD	7,550.00	
	NET	0 AVG	BGHT	6454.41	SOLD	6374.83		-35,807.50	0.00
14AUG17	S	1	PD14NOV17		6405.00	6864.67	USD	-11,491.75	
14AUG17	S	1	PD14NOV17		6412.50	6864.67	USD	-11,304.25	
14AUG17	S	3	PD14NOV17		6413.00	6864.67	USD	-33,875.25	
14AUG17	S	1	PD14NOV17		6420.50	6864.67	USD	-11,104.25	
14AUG17	S	1	PD14NOV17		6420.00	6864.67	USD	-11,116.75	
14AUG17	S	2	PD14NOV17		6421.00	6864.67	USD	-22,183.50	
14AUG17	S	1	PD14NOV17		6411.00	6864.67	USD	-11,341.75	
14AUG17	S	2	PD14NOV17		6402.50	6864.67	USD	-23,108.50	
14AUG17	S	1	PD14NOV17		6404.00	6864.67	USD	-11,516.75	
14AUG17	S	2	PD14NOV17		6416.00	6864.67	USD	-22,433.50	
23AUG17	B	2	PD14NOV17		6565.50	6864.67	USD	14,958.50	
24AUG17	B	13	PD14NOV17		6688.50	6864.67	USD	57,255.25	
	NET	0 AVG	BGHT	6672.10	SOLD	6412.73		-97,262.50	0.00
15AUG17	B	1	PD15NOV17		6417.50	6864.00	USD	11,162.50	
15AUG17	B	1	PD15NOV17		6414.00	6864.00	USD	11,250.00	
15AUG17	B	1	PD15NOV17		6414.50	6864.00	USD	11,237.50	
15AUG17	S	1	PD15NOV17		6380.00	6864.00	USD	-12,100.00	
18AUG17	S	2	PD15NOV17		6487.80	6864.00	USD	-18,810.00	
	NET	0 AVG	BGHT	6415.33	SOLD	6451.87		2,740.00	0.00
16AUG17	B	1	PD16NOV17		6384.50	6863.00	USD	11,962.50	

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16AUG17	S	1	PD16NOV17	6441.50	6863.00	USD	-10,537.50	
16AUG17	B	1	PD16NOV17	6529.00	6863.00	USD	8,350.00	
18AUG17	S	1	PD16NOV17	6485.80	6863.00	USD	-9,430.00	
	NET	0 AVG BGHT 6456.75	SOLD 6463.65				345.00	0.00
17AUG17	B	1	PD17NOV17	6574.00	6863.47	USD	7,236.75	
17AUG17	B	1	PD17NOV17	6575.00	6863.47	USD	7,211.75	
17AUG17	B	1	PD17NOV17	6574.50	6863.47	USD	7,224.25	
17AUG17	B	2	PD17NOV17	6494.50	6863.47	USD	18,448.50	
17AUG17	B	1	PD17NOV17	6484.00	6863.47	USD	9,486.75	
17AUG17	B	1	PD17NOV17	6474.00	6863.47	USD	9,736.75	
18AUG17	B	1	PD17NOV17	6474.00	6863.47	USD	9,736.75	
18AUG17	B	2	PD17NOV17	6461.50	6863.47	USD	20,098.50	
18AUG17	S	7	PD17NOV17	6485.80	6863.47	USD	-66,092.25	
23AUG17	S	3	PD17NOV17	6563.49	6863.47	USD	-22,498.50	
	NET	0 AVG BGHT 6506.75	SOLD 6509.11				589.25	0.00
21AUG17	B	1	PD21NOV17	6518.00	6865.33	USD	8,683.25	
21AUG17	B	1	PD21NOV17	6518.50	6865.33	USD	8,670.75	
21AUG17	B	2	PD21NOV17	6535.00	6865.33	USD	16,516.50	
21AUG17	B	2	PD21NOV17	6534.00	6865.33	USD	16,566.50	
21AUG17	B	1	PD21NOV17	6543.00	6865.33	USD	8,058.25	
21AUG17	B	1	PD21NOV17	6555.50	6865.33	USD	7,745.75	
21AUG17	B	1	PD21NOV17	6558.00	6865.33	USD	7,683.25	
21AUG17	B	1	PD21NOV17	6571.00	6865.33	USD	7,358.25	
21AUG17	B	1	PD21NOV17	6589.00	6865.33	USD	6,908.25	
21AUG17	B	1	PD21NOV17	6591.50	6865.33	USD	6,845.75	
21AUG17	B	1	PD21NOV17	6582.50	6865.33	USD	7,070.75	
21AUG17	B	3	PD21NOV17	6563.00	6865.33	USD	22,674.75	
21AUG17	B	1	PD21NOV17	6561.50	6865.33	USD	7,595.75	
21AUG17	B	1	PD21NOV17	6562.50	6865.33	USD	7,570.75	
21AUG17	B	1	PD21NOV17	6564.50	6865.33	USD	7,520.75	
21AUG17	B	2	PD21NOV17	6567.50	6865.33	USD	14,891.50	
21AUG17	B	1	PD21NOV17	6569.50	6865.33	USD	7,395.75	
21AUG17	B	1	PD21NOV17	6590.50	6865.33	USD	6,870.75	
21AUG17	B	1	PD21NOV17	6591.00	6865.33	USD	6,858.25	
21AUG17	B	1	PD21NOV17	6585.00	6865.33	USD	7,008.25	
21AUG17	B	1	PD21NOV17	6567.00	6865.33	USD	7,458.25	
21AUG17	B	2	PD21NOV17	6565.50	6865.33	USD	14,991.50	
21AUG17	B	2	PD21NOV17	6569.00	6865.33	USD	14,816.50	
21AUG17	B	2	PD21NOV17	6568.50	6865.33	USD	14,841.50	
21AUG17	B	1	PD21NOV17	6599.00	6865.33	USD	6,658.25	
21AUG17	B	1	PD21NOV17	6619.50	6865.33	USD	6,145.75	
21AUG17	B	1	PD21NOV17	6622.50	6865.33	USD	6,070.75	
21AUG17	S	1	PD21NOV17	6578.50	6865.33	USD	-7,170.75	
24AUG17	S	13	PD21NOV17	6687.24	6865.33	USD	-57,879.25	
25AUG17	S	16	PD21NOV17	6665.63	6865.33	USD	-79,880.00	
04SEP17	S	5	PD21NOV17	6911.92	6865.33	USD	5,823.75	
	NET	0 AVG BGHT 6566.50	SOLD 6706.35				122,370.00	0.00
22AUG17	B	1	PD22NOV17	6591.50	6865.80	USD	6,857.50	
22AUG17	B	1	PD22NOV17	6617.00	6865.80	USD	6,220.00	
22AUG17	B	1	PD22NOV17	6646.00	6865.80	USD	5,495.00	
22AUG17	S	1	PD22NOV17	6607.50	6865.80	USD	-6,457.50	
22AUG17	S	1	PD22NOV17	6611.50	6865.80	USD	-6,357.50	
04SEP17	S	1	PD22NOV17	6912.25	6865.80	USD	1,161.25	
	NET	0 AVG BGHT 6618.17	SOLD 6710.42				6,918.75	0.00
24AUG17	B	1	PD24NOV17	6613.50	6866.73	USD	6,330.75	
24AUG17	S	1	PD24NOV17	6608.00	6866.73	USD	-6,468.25	

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24AUG17	B	1	PD24NOV17	6628.50	6866.73	USD	5,955.75	
24AUG17	B	1	PD24NOV17	6635.50	6866.73	USD	5,780.75	
24AUG17	B	1	PD24NOV17	6625.00	6866.73	USD	6,043.25	
24AUG17	S	1	PD24NOV17	6602.50	6866.73	USD	-6,605.75	
24AUG17	S	1	PD24NOV17	6615.00	6866.73	USD	-6,293.25	
24AUG17	S	1	PD24NOV17	6621.50	6866.73	USD	-6,130.75	
24AUG17	S	1	PD24NOV17	6629.50	6866.73	USD	-5,930.75	
24AUG17	S	1	PD24NOV17	6631.00	6866.73	USD	-5,893.25	
24AUG17	S	1	PD24NOV17	6665.50	6866.73	USD	-5,030.75	
24AUG17	S	1	PD24NOV17	6683.00	6866.73	USD	-4,593.25	
24AUG17	S	1	PD24NOV17	6676.50	6866.73	USD	-4,755.75	
24AUG17	S	1	PD24NOV17	6682.50	6866.73	USD	-4,605.75	
24AUG17	S	1	PD24NOV17	6680.00	6866.73	USD	-4,668.25	
24AUG17	S	1	PD24NOV17	6699.00	6866.73	USD	-4,193.25	
24AUG17	S	1	PD24NOV17	6624.50	6866.73	USD	-6,055.75	
24AUG17	S	1	PD24NOV17	6708.50	6866.73	USD	-3,955.75	
24AUG17	S	1	PD24NOV17	6710.00	6866.73	USD	-3,918.25	
24AUG17	S	1	PD24NOV17	6709.00	6866.73	USD	-3,943.25	
24AUG17	S	1	PD24NOV17	6713.50	6866.73	USD	-3,830.75	
24AUG17	S	1	PD24NOV17	6697.50	6866.73	USD	-4,230.75	
24AUG17	S	1	PD24NOV17	6696.50	6866.73	USD	-4,255.75	
24AUG17	S	1	PD24NOV17	6698.00	6866.73	USD	-4,218.25	
25AUG17	S	1	PD24NOV17	6705.50	6866.73	USD	-4,030.75	
25AUG17	S	1	PD24NOV17	6701.50	6866.73	USD	-4,130.75	
25AUG17	S	1	PD24NOV17	6730.50	6866.73	USD	-3,405.75	
25AUG17	S	1	PD24NOV17	6725.00	6866.73	USD	-3,543.25	
25AUG17	S	1	PD24NOV17	6724.00	6866.73	USD	-3,568.25	
25AUG17	B	16	PD24NOV17	6666.20	6866.73	USD	80,212.00	
04SEP17	B	5	PD24NOV17	6913.24	6866.73	USD	-5,813.75	
	NET	0 AVG BGHT 6709.12	SOLD 6677.52				-19,747.50	0.00
29AUG17	S	1	PD29NOV17	6810.50	6869.07	USD	-1,464.25	
29AUG17	S	1	PD29NOV17	6816.00	6869.07	USD	-1,326.75	
29AUG17	S	1	PD29NOV17	6804.50	6869.07	USD	-1,614.25	
29AUG17	S	1	PD29NOV17	6830.00	6869.07	USD	-976.75	
29AUG17	S	1	PD29NOV17	6841.00	6869.07	USD	-701.75	
04SEP17	B	1	PD29NOV17	6915.22	6869.07	USD	-1,153.75	
04SEP17	B	4	PD29NOV17	6915.02	6869.07	USD	-4,595.00	
	NET	0 AVG BGHT 6915.06	SOLD 6820.40				-11,832.50	0.00
30AUG17	B	1	PD30NOV17	6815.00	6869.53	USD	1,363.25	
31AUG17	S	1	PD30NOV17	6804.50	6869.53	USD	-1,625.75	
31AUG17	B	1	PD30NOV17	6805.50	6869.53	USD	1,600.75	
04SEP17	S	1	PD30NOV17	6915.22	6869.53	USD	1,142.25	
	NET	0 AVG BGHT 6810.25	SOLD 6859.86				2,480.50	0.00
01SEP17	B	1	PD01DEC17	6813.50	6870.00	USD	1,412.50	
01SEP17	B	1	PD01DEC17	6812.50	6870.00	USD	1,437.50	
01SEP17	B	1	PD01DEC17	6812.00	6870.00	USD	1,450.00	
04SEP17	S	3	PD01DEC17	6915.61	6870.00	USD	3,420.75	
	NET	0 AVG BGHT 6812.67	SOLD 6915.61				7,720.75	0.00
04SEP17	B	1	PD04DEC17	6901.50	6871.20	USD	-757.50	
04SEP17	B	1	PD04DEC17	6899.50	6871.20	USD	-707.50	
04SEP17	B	1	PD04DEC17	6902.00	6871.20	USD	-770.00	
04SEP17	B	1	PD04DEC17	6897.50	6871.20	USD	-657.50	
04SEP17	B	3	PD04DEC17	6907.00	6871.20	USD	-2,685.00	
04SEP17	B	2	PD04DEC17	6900.00	6871.20	USD	-1,440.00	
04SEP17	B	4	PD04DEC17	6898.50	6871.20	USD	-2,730.00	
04SEP17	B	1	PD04DEC17	6894.50	6871.20	USD	-582.50	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
04SEP17	B	1	PD04DEC17	6895.00	6871.20	USD	-595.00	
04SEP17	B	1	PD04DEC17	6912.50	6871.20	USD	-1,032.50	
08SEP17	S	16	PD04DEC17	6692.01	6871.20	USD	-71,676.00	
	NET	0 AVG BGHT 6901.09	SOLD 6692.01				-83,633.50	0.00
05SEP17	S	1	PD05DEC17	6927.50	6871.60	USD	1,397.50	
05SEP17	B	1	PD05DEC17	6937.50	6871.60	USD	-1,647.50	
05SEP17	B	2	PD05DEC17	6938.50	6871.60	USD	-3,345.00	
05SEP17	S	3	PD05DEC17	6932.00	6871.60	USD	4,530.00	
05SEP17	S	2	PD05DEC17	6932.50	6871.60	USD	3,045.00	
05SEP17	S	1	PD05DEC17	6939.50	6871.60	USD	1,697.50	
05SEP17	S	1	PD05DEC17	6943.50	6871.60	USD	1,797.50	
05SEP17	B	1	PD05DEC17	6955.00	6871.60	USD	-2,085.00	
05SEP17	B	1	PD05DEC17	6955.50	6871.60	USD	-2,097.50	
05SEP17	B	1	PD05DEC17	6958.00	6871.60	USD	-2,160.00	
05SEP17	B	2	PD05DEC17	6959.00	6871.60	USD	-4,370.00	
05SEP17	B	2	PD05DEC17	6957.00	6871.60	USD	-4,270.00	
05SEP17	B	1	PD05DEC17	6917.00	6871.60	USD	-1,135.00	
05SEP17	B	1	PD05DEC17	6905.50	6871.60	USD	-847.50	
05SEP17	B	1	PD05DEC17	6898.50	6871.60	USD	-672.50	
05SEP17	B	1	PD05DEC17	6885.00	6871.60	USD	-335.00	
05SEP17	B	2	PD05DEC17	6886.00	6871.60	USD	-720.00	
05SEP17	B	1	PD05DEC17	6836.00	6871.60	USD	890.00	
08SEP17	S	5	PD05DEC17	6692.50	6871.60	USD	-22,387.50	
13SEP17	S	4	PD05DEC17	6550.17	6871.60	USD	-32,143.00	
	NET	0 AVG BGHT 6925.24	SOLD 6772.63				-64,858.00	0.00
06SEP17	B	1	PD06DEC17	6900.00	6872.00	USD	-700.00	
06SEP17	S	1	PD06DEC17	6904.00	6872.00	USD	800.00	
06SEP17	S	1	PD06DEC17	6906.00	6872.00	USD	850.00	
06SEP17	S	1	PD06DEC17	6908.00	6872.00	USD	900.00	
06SEP17	S	3	PD06DEC17	6909.00	6872.00	USD	2,775.00	
06SEP17	S	1	PD06DEC17	6911.00	6872.00	USD	975.00	
06SEP17	S	1	PD06DEC17	6913.00	6872.00	USD	1,025.00	
06SEP17	S	2	PD06DEC17	6909.50	6872.00	USD	1,875.00	
06SEP17	S	1	PD06DEC17	6911.50	6872.00	USD	987.50	
06SEP17	S	2	PD06DEC17	6912.00	6872.00	USD	2,000.00	
06SEP17	S	3	PD06DEC17	6910.50	6872.00	USD	2,887.50	
06SEP17	S	1	PD06DEC17	6908.50	6872.00	USD	912.50	
06SEP17	S	2	PD06DEC17	6910.00	6872.00	USD	1,900.00	
06SEP17	B	1	PD06DEC17	6895.00	6872.00	USD	-575.00	
06SEP17	B	3	PD06DEC17	6894.50	6872.00	USD	-1,687.50	
06SEP17	B	1	PD06DEC17	6897.50	6872.00	USD	-637.50	
06SEP17	B	2	PD06DEC17	6897.00	6872.00	USD	-1,250.00	
06SEP17	B	2	PD06DEC17	6899.00	6872.00	USD	-1,350.00	
06SEP17	B	1	PD06DEC17	6897.50	6872.00	USD	-637.50	
06SEP17	B	1	PD06DEC17	6897.00	6872.00	USD	-625.00	
08SEP17	B	7	PD06DEC17	6692.87	6872.00	USD	31,347.75	
	NET	0 AVG BGHT 6821.72	SOLD 6909.66				41,772.75	0.00
07SEP17	S	1	PD07DEC17	6867.00	6872.42	USD	-135.50	
07SEP17	S	1	PD07DEC17	6868.00	6872.42	USD	-110.50	
07SEP17	S	1	PD07DEC17	6868.50	6872.42	USD	-98.00	
07SEP17	S	1	PD07DEC17	6870.50	6872.42	USD	-48.00	
07SEP17	S	1	PD07DEC17	6869.00	6872.42	USD	-85.50	
07SEP17	S	1	PD07DEC17	6875.50	6872.42	USD	77.00	
07SEP17	S	2	PD07DEC17	6879.00	6872.42	USD	329.00	
07SEP17	S	1	PD07DEC17	6859.00	6872.42	USD	-335.50	
07SEP17	B	1	PD07DEC17	6895.00	6872.42	USD	-564.50	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
07SEP17	B	1	PD07DEC17	6907.50	6872.42	USD	-877.00	
07SEP17	B	1	PD07DEC17	6905.50	6872.42	USD	-827.00	
07SEP17	B	1	PD07DEC17	6906.00	6872.42	USD	-839.50	
07SEP17	B	1	PD07DEC17	6904.00	6872.42	USD	-789.50	
07SEP17	B	1	PD07DEC17	6886.50	6872.42	USD	-352.00	
07SEP17	S	1	PD07DEC17	6883.00	6872.42	USD	264.50	
07SEP17	S	2	PD07DEC17	6880.00	6872.42	USD	379.00	
07SEP17	S	1	PD07DEC17	6876.00	6872.42	USD	89.50	
07SEP17	S	1	PD07DEC17	6885.00	6872.42	USD	314.50	
07SEP17	S	2	PD07DEC17	6881.00	6872.42	USD	429.00	
07SEP17	S	1	PD07DEC17	6884.00	6872.42	USD	289.50	
07SEP17	S	1	PD07DEC17	6884.50	6872.42	USD	302.00	
07SEP17	S	1	PD07DEC17	6901.50	6872.42	USD	727.00	
08SEP17	B	14	PD07DEC17	6693.03	6872.42	USD	62,786.50	
13SEP17	S	1	PD07DEC17	6551.03	6872.42	USD	-8,034.75	
	NET	0AVG BGHT 6755.35	SOLD 6861.13				52,890.25	0.00
08SEP17	S	1	PD08DEC17	6899.50	6872.83	USD	666.75	
08SEP17	S	1	PD08DEC17	6895.00	6872.83	USD	554.25	
08SEP17	S	1	PD08DEC17	6895.50	6872.83	USD	566.75	
08SEP17	S	3	PD08DEC17	6898.00	6872.83	USD	1,887.75	
08SEP17	S	1	PD08DEC17	6887.00	6872.83	USD	354.25	
08SEP17	S	1	PD08DEC17	6875.00	6872.83	USD	54.25	
08SEP17	S	1	PD08DEC17	6865.00	6872.83	USD	-195.75	
08SEP17	S	1	PD08DEC17	6845.50	6872.83	USD	-683.25	
08SEP17	S	2	PD08DEC17	6821.00	6872.83	USD	-2,591.50	
08SEP17	S	2	PD08DEC17	6823.50	6872.83	USD	-2,466.50	
08SEP17	S	1	PD08DEC17	6870.00	6872.83	USD	-70.75	
08SEP17	S	1	PD08DEC17	6798.50	6872.83	USD	-1,858.25	
08SEP17	S	1	PD08DEC17	6800.50	6872.83	USD	-1,808.25	
08SEP17	S	1	PD08DEC17	6802.50	6872.83	USD	-1,758.25	
08SEP17	S	1	PD08DEC17	6750.00	6872.83	USD	-3,070.75	
08SEP17	S	1	PD08DEC17	6739.00	6872.83	USD	-3,345.75	
08SEP17	B	1	PD08DEC17	6739.00	6872.83	USD	3,345.75	
08SEP17	B	1	PD08DEC17	6740.50	6872.83	USD	3,308.25	
08SEP17	B	1	PD08DEC17	6729.50	6872.83	USD	3,583.25	
08SEP17	S	1	PD08DEC17	6706.00	6872.83	USD	-4,170.75	
08SEP17	S	1	PD08DEC17	6701.00	6872.83	USD	-4,295.75	
08SEP17	S	1	PD08DEC17	6693.00	6872.83	USD	-4,495.75	
08SEP17	B	3	PD08DEC17	6684.50	6872.83	USD	14,124.75	
08SEP17	B	1	PD08DEC17	6688.00	6872.83	USD	4,620.75	
13SEP17	B	5	PD08DEC17	6551.66	6872.83	USD	40,146.25	
13SEP17	B	2	PD08DEC17	6551.46	6872.83	USD	16,068.50	
15SEP17	B	9	PD08DEC17	6504.10	6872.83	USD	82,964.25	
	NET	0AVG BGHT 6580.37	SOLD 6826.35				141,434.50	0.00
11SEP17	B	1	PD11DEC17	6745.50	6874.08	USD	3,214.50	
11SEP17	B	1	PD11DEC17	6750.00	6874.08	USD	3,102.00	
13SEP17	S	2	PD11DEC17	6552.55	6874.08	USD	-16,076.50	
	NET	0AVG BGHT 6747.75	SOLD 6552.55				-9,760.00	0.00
12SEP17	B	3	PD12DEC17	6654.00	6874.50	USD	16,537.50	
12SEP17	B	2	PD12DEC17	6658.50	6874.50	USD	10,800.00	
12SEP17	B	2	PD12DEC17	6659.00	6874.50	USD	10,775.00	
12SEP17	B	1	PD12DEC17	6659.50	6874.50	USD	5,375.00	
12SEP17	B	1	PD12DEC17	6670.50	6874.50	USD	5,100.00	
12SEP17	B	1	PD12DEC17	6655.00	6874.50	USD	5,487.50	
12SEP17	B	1	PD12DEC17	6668.00	6874.50	USD	5,162.50	
12SEP17	B	4	PD12DEC17	6667.00	6874.50	USD	20,750.00	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
12SEP17	B	2	PD12DEC17	6667.50	6874.50	USD	10,350.00	
12SEP17	B	2	PD12DEC17	6666.50	6874.50	USD	10,400.00	
15SEP17	S	9	PD12DEC17	6505.56	6874.50	USD	-83,011.50	
20SEP17	S	10	PD12DEC17	6524.06	6874.50	USD	-87,610.00	
	NET	0 AVG BGHT 6662.42	SOLD 6515.30				-69,884.00	0.00
13SEP17	B	1	PD13DEC17	6562.00	6874.92	USD	7,823.00	
13SEP17	B	1	PD13DEC17	6563.00	6874.92	USD	7,798.00	
13SEP17	B	1	PD13DEC17	6562.50	6874.92	USD	7,810.50	
13SEP17	B	1	PD13DEC17	6563.50	6874.92	USD	7,785.50	
13SEP17	B	1	PD13DEC17	6565.00	6874.92	USD	7,748.00	
13SEP17	B	3	PD13DEC17	6566.50	6874.92	USD	23,131.50	
13SEP17	B	1	PD13DEC17	6564.50	6874.92	USD	7,760.50	
13SEP17	B	1	PD13DEC17	6566.50	6874.92	USD	7,710.50	
13SEP17	B	1	PD13DEC17	6540.00	6874.92	USD	8,373.00	
13SEP17	B	1	PD13DEC17	6541.00	6874.92	USD	8,348.00	
13SEP17	B	1	PD13DEC17	6543.00	6874.92	USD	8,298.00	
13SEP17	B	2	PD13DEC17	6544.00	6874.92	USD	16,546.00	
13SEP17	B	1	PD13DEC17	6546.00	6874.92	USD	8,223.00	
13SEP17	B	2	PD13DEC17	6554.00	6874.92	USD	16,046.00	
13SEP17	B	2	PD13DEC17	6556.50	6874.92	USD	15,921.00	
20SEP17	S	17	PD13DEC17	6524.38	6874.92	USD	-148,979.50	
28SEP17	S	3	PD13DEC17	6516.73	6874.92	USD	-26,864.25	
	NET	0 AVG BGHT 6556.28	SOLD 6523.23				-16,521.25	0.00
14SEP17	B	2	PD14DEC17	6563.00	6875.33	USD	15,616.50	
14SEP17	B	1	PD14DEC17	6561.00	6875.33	USD	7,858.25	
14SEP17	B	1	PD14DEC17	6563.50	6875.33	USD	7,795.75	
14SEP17	B	5	PD14DEC17	6524.50	6875.33	USD	43,853.75	
14SEP17	B	1	PD14DEC17	6527.00	6875.33	USD	8,708.25	
14SEP17	B	1	PD14DEC17	6525.50	6875.33	USD	8,745.75	
14SEP17	B	1	PD14DEC17	6502.00	6875.33	USD	9,333.25	
14SEP17	B	1	PD14DEC17	6494.00	6875.33	USD	9,533.25	
14SEP17	B	1	PD14DEC17	6504.00	6875.33	USD	9,283.25	
28SEP17	S	14	PD14DEC17	6517.20	6875.33	USD	-125,345.50	
	NET	0 AVG BGHT 6530.39	SOLD 6517.20				-4,617.50	0.00
15SEP17	B	1	PD15DEC17	6510.00	6875.75	USD	9,143.75	
15SEP17	S	1	PD15DEC17	6524.50	6875.75	USD	-8,781.25	
15SEP17	S	1	PD15DEC17	6513.00	6875.75	USD	-9,068.75	
15SEP17	B	1	PD15DEC17	6509.00	6875.75	USD	9,168.75	
15SEP17	S	2	PD15DEC17	6470.00	6875.75	USD	-20,287.50	
15SEP17	S	2	PD15DEC17	6467.00	6875.75	USD	-20,437.50	
15SEP17	S	1	PD15DEC17	6462.50	6875.75	USD	-10,331.25	
15SEP17	B	2	PD15DEC17	6494.00	6875.75	USD	19,087.50	
15SEP17	B	3	PD15DEC17	6501.00	6875.75	USD	28,106.25	
15SEP17	B	1	PD15DEC17	6507.00	6875.75	USD	9,218.75	
15SEP17	B	5	PD15DEC17	6509.50	6875.75	USD	45,781.25	
15SEP17	B	1	PD15DEC17	6482.00	6875.75	USD	9,843.75	
15SEP17	B	2	PD15DEC17	6483.50	6875.75	USD	19,612.50	
28SEP17	S	9	PD15DEC17	6517.67	6875.75	USD	-80,568.00	
	NET	0 AVG BGHT 6500.84	SOLD 6502.06				488.25	0.00
18SEP17	S	1	PD18DEC17	6551.00	6877.00	USD	-8,150.00	
18SEP17	S	1	PD18DEC17	6544.00	6877.00	USD	-8,325.00	
18SEP17	S	1	PD18DEC17	6563.00	6877.00	USD	-7,850.00	
18SEP17	S	2	PD18DEC17	6563.50	6877.00	USD	-15,675.00	
18SEP17	S	2	PD18DEC17	6541.00	6877.00	USD	-16,800.00	
18SEP17	S	1	PD18DEC17	6552.50	6877.00	USD	-8,112.50	
18SEP17	S	2	PD18DEC17	6550.00	6877.00	USD	-16,350.00	

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18SEP17	S	1	PD18DEC17	6550.50	6877.00	USD	-8,162.50	
18SEP17	S	1	PD18DEC17	6541.50	6877.00	USD	-8,387.50	
18SEP17	S	1	PD18DEC17	6547.00	6877.00	USD	-8,250.00	
18SEP17	S	1	PD18DEC17	6554.50	6877.00	USD	-8,062.50	
18SEP17	S	1	PD18DEC17	6517.50	6877.00	USD	-8,987.50	
18SEP17	S	1	PD18DEC17	6555.50	6877.00	USD	-8,037.50	
18SEP17	S	1	PD18DEC17	6536.00	6877.00	USD	-8,525.00	
18SEP17	S	2	PD18DEC17	6525.00	6877.00	USD	-17,600.00	
18SEP17	S	7	PD18DEC17	6525.50	6877.00	USD	-61,512.50	
18SEP17	B	1	PD18DEC17	6527.00	6877.00	USD	8,750.00	
18SEP17	S	1	PD18DEC17	6525.50	6877.00	USD	-8,787.50	
18SEP17	S	1	PD18DEC17	6524.00	6877.00	USD	-8,825.00	
20SEP17	B	27	PD18DEC17	6526.14	6877.00	USD	236,830.50	
	NET	0 AVG BGHT 6526.17	SOLD 6539.29				9,180.50	0.00
19SEP17	S	1	PD19DEC17	6538.50	6877.00	USD	-8,462.50	
19SEP17	B	1	PD19DEC17	6542.00	6877.00	USD	8,375.00	
	NET	0 AVG BGHT 6542.00	SOLD 6538.50				-87.50	0.00
21SEP17	S	1	PD21DEC17	6426.50	6876.00	USD	-11,237.50	
21SEP17	B	1	PD21DEC17	6445.00	6876.00	USD	10,775.00	
	NET	0 AVG BGHT 6445.00	SOLD 6426.50				-462.50	0.00
22SEP17	B	1	PD22DEC17	6431.00	6875.00	USD	11,100.00	
22SEP17	S	1	PD22DEC17	6388.50	6875.00	USD	-12,162.50	
22SEP17	S	1	PD22DEC17	6381.00	6875.00	USD	-12,350.00	
22SEP17	S	1	PD22DEC17	6476.00	6875.00	USD	-9,975.00	
22SEP17	B	1	PD22DEC17	6478.50	6875.00	USD	9,912.50	
28SEP17	B	1	PD22DEC17	6518.20	6875.00	USD	8,920.00	
	NET	0 AVG BGHT 6475.90	SOLD 6415.17				-4,555.00	0.00
25SEP17	S	1	PD27DEC17	6478.50	6876.67	USD	-9,954.25	
25SEP17	S	2	PD27DEC17	6462.00	6876.67	USD	-20,733.50	
25SEP17	S	1	PD27DEC17	6457.00	6876.67	USD	-10,491.75	
25SEP17	S	2	PD27DEC17	6458.00	6876.67	USD	-20,933.50	
25SEP17	S	1	PD27DEC17	6464.00	6876.67	USD	-10,316.75	
26SEP17	B	1	PD27DEC17	6440.00	6876.67	USD	10,916.75	
26SEP17	B	1	PD27DEC17	6439.00	6876.67	USD	10,941.75	
26SEP17	B	1	PD27DEC17	6435.00	6876.67	USD	11,041.75	
26SEP17	B	1	PD27DEC17	6427.00	6876.67	USD	11,241.75	
26SEP17	B	1	PD27DEC17	6417.50	6876.67	USD	11,479.25	
27SEP17	B	1	PD27DEC17	6473.50	6876.67	USD	10,079.25	
27SEP17	S	1	PD27DEC17	6434.00	6876.67	USD	-11,066.75	
27SEP17	S	2	PD27DEC17	6435.00	6876.67	USD	-22,083.50	
27SEP17	S	1	PD27DEC17	6433.00	6876.67	USD	-11,091.75	
27SEP17	S	1	PD27DEC17	6436.00	6876.67	USD	-11,016.75	
27SEP17	S	1	PD27DEC17	6437.00	6876.67	USD	-10,991.75	
27SEP17	S	3	PD27DEC17	6436.50	6876.67	USD	-33,012.75	
27SEP17	S	1	PD27DEC17	6432.00	6876.67	USD	-11,116.75	
27SEP17	S	1	PD27DEC17	6427.50	6876.67	USD	-11,229.25	
27SEP17	S	2	PD27DEC17	6433.50	6876.67	USD	-22,158.50	
27SEP17	S	4	PD27DEC17	6434.50	6876.67	USD	-44,217.00	
27SEP17	S	4	PD27DEC17	6432.50	6876.67	USD	-44,417.00	
27SEP17	S	2	PD27DEC17	6431.00	6876.67	USD	-22,283.50	
27SEP17	S	1	PD27DEC17	6430.00	6876.67	USD	-11,166.75	
28SEP17	B	25	PD27DEC17	6521.53	6876.67	USD	221,962.50	
	NET	0 AVG BGHT 6505.49	SOLD 6440.18				-50,618.75	0.00
13OCT17	S	21	PD28DEC17	6877.00	6877.00	USD	0.00	
	NET	-21 AVG SOLD 6877.00					0.00	0.00

OPEN POSITION STATEMENT



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13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>					
13OCT17	S 19 PD29DEC17	6877.33	6877.33	USD	0.00	
	NET -19 AVG SOLD 6877.33				0.00	0.00
13OCT17	B 1 PD04JAN18	6879.53	6879.33	USD	-5.00	
	NET +1AVG BGHT 6879.53				-5.00	0.00
13OCT17	B 33 PD09JAN18	6881.20	6881.00	USD	-165.00	
	NET +33 AVG BGHT 6881.20				-165.00	0.00
13OCT17	S 21 PD10JAN18	6881.33	6881.33	USD	0.00	
	NET -21 AVG SOLD 6881.33				0.00	0.00
13OCT17	S 5 PD11JAN18	6881.67	6881.67	USD	0.00	
	NET -5 AVG SOLD 6881.67				0.00	0.00
13OCT17	B 32 PD12JAN18	6882.20	6882.00	USD	-160.00	
	NET +32 AVG BGHT 6882.20				-160.00	0.00
	<u>LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
27JUL17	B 1 PD27OCT17	2308.00	2520.10	USD	5,302.50	
27JUL17	B 1 PD27OCT17	2307.00	2520.10	USD	5,327.50	
27JUL17	B 1 PD27OCT17	2305.00	2520.10	USD	5,377.50	
27JUL17	B 1 PD27OCT17	2304.00	2520.10	USD	5,402.50	
11AUG17	S 3 PD27OCT17	2322.00	2520.10	USD	-14,857.50	
15AUG17	S 1 PD27OCT17	2377.71	2520.10	USD	-3,559.75	
	NET 0AVG BGHT 2306.00 SOLD 2335.93				2,992.75	0.00
04AUG17	B 1 PD03NOV17	2353.50	2521.13	USD	4,190.75	
18AUG17	S 1 PD03NOV17	2358.23	2521.13	USD	-4,072.50	
	NET 0AVG BGHT 2353.50 SOLD 2358.23				118.25	0.00
07AUG17	B 1 PD07NOV17	2354.50	2521.67	USD	4,179.25	
07AUG17	B 1 PD07NOV17	2356.50	2521.67	USD	4,129.25	
07AUG17	B 1 PD07NOV17	2360.00	2521.67	USD	4,041.75	
07AUG17	S 1 PD07NOV17	2384.00	2521.67	USD	-3,441.75	
07AUG17	B 1 PD07NOV17	2372.00	2521.67	USD	3,741.75	
18AUG17	S 3 PD07NOV17	2359.15	2521.67	USD	-12,189.00	
	NET 0AVG BGHT 2360.75 SOLD 2365.36				461.25	0.00
08AUG17	B 1 PD08NOV17	2386.50	2521.81	USD	3,382.75	
08AUG17	B 1 PD08NOV17	2347.50	2521.81	USD	4,357.75	
08AUG17	B 1 PD08NOV17	2394.50	2521.81	USD	3,182.75	
18AUG17	S 3 PD08NOV17	2359.38	2521.81	USD	-12,182.25	
	NET 0AVG BGHT 2376.17 SOLD 2359.38				-1,259.00	0.00
09AUG17	S 1 PD09NOV17	2398.50	2521.94	USD	-3,086.00	
09AUG17	B 1 PD09NOV17	2401.00	2521.94	USD	3,023.50	
09AUG17	B 1 PD09NOV17	2414.00	2521.94	USD	2,698.50	
09AUG17	S 1 PD09NOV17	2414.50	2521.94	USD	-2,686.00	
09AUG17	B 1 PD09NOV17	2416.00	2521.94	USD	2,648.50	
09AUG17	S 1 PD09NOV17	2420.50	2521.94	USD	-2,536.00	
09AUG17	S 1 PD09NOV17	2404.00	2521.94	USD	-2,948.50	
09AUG17	B 1 PD09NOV17	2364.00	2521.94	USD	3,948.50	
	NET 0AVG BGHT 2398.75 SOLD 2409.38				1,062.50	0.00
10AUG17	S 1 PD10NOV17	2377.50	2522.08	USD	-3,614.50	
10AUG17	S 1 PD10NOV17	2387.50	2522.08	USD	-3,364.50	
10AUG17	S 1 PD10NOV17	2357.50	2522.08	USD	-4,114.50	
11AUG17	S 1 PD10NOV17	2351.00	2522.08	USD	-4,277.00	
11AUG17	B 1 PD10NOV17	2348.50	2522.08	USD	4,339.50	
11AUG17	B 3 PD10NOV17	2326.20	2522.08	USD	14,691.00	
	NET 0AVG BGHT 2331.78 SOLD 2368.38				3,660.00	0.00
14AUG17	S 1 PD14NOV17	2336.50	2522.62	USD	-4,653.00	

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backoffice@sapiencap.com

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	<u>LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
15AUG17	B	1	PD14NOV17	2381.03	2522.62	USD	3,539.75	
	NET	0 AVG	BGHT 2381.03	SOLD 2336.50			-1,113.25	0.00
15AUG17	S	1	PD15NOV17	2363.50	2522.75	USD	-3,981.25	
15AUG17	S	1	PD15NOV17	2364.50	2522.75	USD	-3,956.25	
18AUG17	B	2	PD15NOV17	2361.20	2522.75	USD	8,077.50	
	NET	0 AVG	BGHT 2361.20	SOLD 2364.00			140.00	0.00
16AUG17	S	1	PD16NOV17	2386.50	2522.75	USD	-3,406.25	
16AUG17	S	1	PD16NOV17	2437.00	2522.75	USD	-2,143.75	
16AUG17	S	1	PD16NOV17	2483.00	2522.75	USD	-993.75	
16AUG17	S	1	PD16NOV17	2486.50	2522.75	USD	-906.25	
16AUG17	S	1	PD16NOV17	2484.50	2522.75	USD	-956.25	
18AUG17	B	5	PD16NOV17	2360.70	2522.75	USD	20,256.25	
	NET	0 AVG	BGHT 2360.70	SOLD 2455.50			11,850.00	0.00
18AUG17	B	1	PD17NOV17	2403.00	2522.75	USD	2,993.75	
18AUG17	B	1	PD17NOV17	2424.00	2522.75	USD	2,468.75	
18AUG17	S	1	PD17NOV17	2361.00	2522.75	USD	-4,043.75	
08SEP17	S	1	PD17NOV17	2258.09	2522.75	USD	-6,616.50	
	NET	0 AVG	BGHT 2413.50	SOLD 2309.55			-5,197.75	0.00
21AUG17	B	1	PD21NOV17	2365.00	2523.36	USD	3,959.00	
21AUG17	B	1	PD21NOV17	2364.50	2523.36	USD	3,971.50	
21AUG17	B	1	PD21NOV17	2391.00	2523.36	USD	3,309.00	
21AUG17	B	1	PD21NOV17	2386.50	2523.36	USD	3,421.50	
21AUG17	B	1	PD21NOV17	2386.00	2523.36	USD	3,434.00	
20SEP17	S	3	PD21NOV17	2455.44	2523.36	USD	-5,094.00	
22SEP17	S	2	PD21NOV17	2488.50	2523.36	USD	-1,743.00	
	NET	0 AVG	BGHT 2378.60	SOLD 2468.66			11,258.00	0.00
01SEP17	B	1	PD01DEC17	2400.00	2524.87	USD	3,121.75	
22SEP17	S	1	PD01DEC17	2488.50	2524.87	USD	-909.25	
	NET	0 AVG	BGHT 2400.00	SOLD 2488.50			2,212.50	0.00
05SEP17	S	1	PD05DEC17	2325.00	2525.48	USD	-5,012.00	
08SEP17	B	1	PD05DEC17	2264.78	2525.48	USD	6,517.50	
	NET	0 AVG	BGHT 2264.78	SOLD 2325.00			1,505.50	0.00
13SEP17	S	1	PD13DEC17	2297.00	2526.69	USD	-5,742.25	
13SEP17	B	1	PD13DEC17	2297.50	2526.69	USD	5,729.75	
	NET	0 AVG	BGHT 2297.50	SOLD 2297.00			-12.50	0.00
15SEP17	S	1	PD15DEC17	2330.00	2526.99	USD	-4,924.75	
15SEP17	S	1	PD15DEC17	2314.50	2526.99	USD	-5,312.25	
15SEP17	S	1	PD15DEC17	2348.50	2526.99	USD	-4,462.25	
20SEP17	B	3	PD15DEC17	2459.41	2526.99	USD	5,068.50	
	NET	0 AVG	BGHT 2459.41	SOLD 2331.00			-9,630.75	0.00
18SEP17	B	1	PD18DEC17	2388.00	2527.45	USD	3,486.25	
22SEP17	S	1	PD18DEC17	2488.50	2527.45	USD	-973.75	
	NET	0 AVG	BGHT 2388.00	SOLD 2488.50			2,512.50	0.00
19SEP17	B	1	PD19DEC17	2389.50	2527.60	USD	3,452.50	
19SEP17	S	1	PD19DEC17	2415.00	2527.60	USD	-2,815.00	
19SEP17	S	1	PD19DEC17	2412.00	2527.60	USD	-2,890.00	
19SEP17	B	1	PD19DEC17	2422.50	2527.60	USD	2,627.50	
19SEP17	B	1	PD19DEC17	2423.00	2527.60	USD	2,615.00	
22SEP17	S	1	PD19DEC17	2488.50	2527.60	USD	-977.50	
	NET	0 AVG	BGHT 2411.67	SOLD 2438.50			2,012.50	0.00
20SEP17	B	1	PD20DEC17	2453.00	2527.75	USD	1,868.75	
20SEP17	B	1	PD20DEC17	2455.00	2527.75	USD	1,818.75	
20SEP17	B	2	PD20DEC17	2456.50	2527.75	USD	3,562.50	
20SEP17	B	1	PD20DEC17	2457.00	2527.75	USD	1,768.75	

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	<u>LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
22SEP17	S	5	PD20DEC17	2488.50	2527.75	USD	-4,906.25	
	NET	0 AVG BGHT 2455.60	SOLD 2488.50				4,112.50	0.00
21SEP17	B	1	PD21DEC17	2455.00	2526.75	USD	1,793.75	
21SEP17	B	1	PD21DEC17	2455.50	2526.75	USD	1,781.25	
21SEP17	S	2	PD21DEC17	2491.00	2526.75	USD	-1,787.50	
21SEP17	S	1	PD21DEC17	2463.00	2526.75	USD	-1,593.75	
21SEP17	S	1	PD21DEC17	2465.50	2526.75	USD	-1,531.25	
21SEP17	S	1	PD21DEC17	2463.50	2526.75	USD	-1,581.25	
21SEP17	S	2	PD21DEC17	2460.50	2526.75	USD	-3,312.50	
21SEP17	S	1	PD21DEC17	2447.50	2526.75	USD	-1,981.25	
21SEP17	S	1	PD21DEC17	2446.00	2526.75	USD	-2,018.75	
21SEP17	S	1	PD21DEC17	2477.00	2526.75	USD	-1,243.75	
21SEP17	S	1	PD21DEC17	2478.00	2526.75	USD	-1,218.75	
21SEP17	S	1	PD21DEC17	2462.50	2526.75	USD	-1,606.25	
22SEP17	B	10	PD21DEC17	2485.95	2526.75	USD	10,200.00	
	NET	0 AVG BGHT 2480.83	SOLD 2467.17				-4,100.00	0.00
22SEP17	B	1	PD22DEC17	2413.50	2526.90	USD	2,835.00	
22SEP17	B	1	PD22DEC17	2415.50	2526.90	USD	2,785.00	
22SEP17	S	1	PD22DEC17	2419.00	2526.90	USD	-2,697.50	
22SEP17	B	1	PD22DEC17	2395.00	2526.90	USD	3,297.50	
22SEP17	B	2	PD22DEC17	2382.50	2526.90	USD	7,220.00	
22SEP17	B	2	PD22DEC17	2383.50	2526.90	USD	7,170.00	
22SEP17	B	1	PD22DEC17	2385.50	2526.90	USD	3,535.00	
22SEP17	B	1	PD22DEC17	2401.00	2526.90	USD	3,147.50	
22SEP17	B	1	PD22DEC17	2402.50	2526.90	USD	3,110.00	
22SEP17	S	1	PD22DEC17	2465.00	2526.90	USD	-1,547.50	
22SEP17	S	1	PD22DEC17	2457.50	2526.90	USD	-1,735.00	
22SEP17	S	1	PD22DEC17	2463.50	2526.90	USD	-1,585.00	
22SEP17	S	1	PD22DEC17	2470.50	2526.90	USD	-1,410.00	
22SEP17	S	1	PD22DEC17	2475.50	2526.90	USD	-1,285.00	
22SEP17	S	3	PD22DEC17	2475.00	2526.90	USD	-3,892.50	
22SEP17	S	1	PD22DEC17	2457.00	2526.90	USD	-1,747.50	
	NET	0 AVG BGHT 2394.50	SOLD 2463.30				17,200.00	0.00
25SEP17	B	1	PD27DEC17	2500.50	2527.64	USD	678.50	
25SEP17	B	1	PD27DEC17	2506.50	2527.64	USD	528.50	
25SEP17	B	1	PD27DEC17	2507.00	2527.64	USD	516.00	
25SEP17	B	1	PD27DEC17	2502.00	2527.64	USD	641.00	
25SEP17	S	1	PD27DEC17	2487.50	2527.64	USD	-1,003.50	
25SEP17	S	2	PD27DEC17	2499.50	2527.64	USD	-1,407.00	
25SEP17	S	1	PD27DEC17	2488.50	2527.64	USD	-978.50	
	NET	0 AVG BGHT 2504.00	SOLD 2493.75				-1,025.00	0.00
	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
20JUL17	B	2	PD20OCT17	9575.00	11611.35	USD	24,436.20	
27JUL17	S	2	PD20OCT17	10130.00	11611.35	USD	-17,776.20	
	NET	0 AVG BGHT 9575.00	SOLD 10130.00				6,660.00	0.00
24JUL17	B	5	PD24OCT17	9540.00	11614.76	USD	62,242.80	
24JUL17	B	2	PD24OCT17	9535.00	11614.76	USD	24,957.12	
24JUL17	B	2	PD24OCT17	9615.00	11614.76	USD	23,997.12	
24JUL17	B	2	PD24OCT17	9655.00	11614.76	USD	23,517.12	
24JUL17	B	1	PD24OCT17	9760.00	11614.76	USD	11,128.56	
24JUL17	B	3	PD24OCT17	9760.00	11614.76	USD	33,385.68	
27JUL17	S	4	PD24OCT17	10130.00	11614.76	USD	-35,634.24	
04AUG17	S	11	PD24OCT17	10245.63	11614.76	USD	-90,362.58	
	NET	0 AVG BGHT 9623.33	SOLD 10214.80				53,231.58	0.00

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
25JUL17	B	4	PD250CT17	9825.00	11615.61	USD	42,974.64	
25JUL17	B	1	PD250CT17	9850.00	11615.61	USD	10,593.66	
25JUL17	B	3	PD250CT17	9855.00	11615.61	USD	31,690.98	
25JUL17	B	1	PD250CT17	9895.00	11615.61	USD	10,323.66	
25JUL17	B	3	PD250CT17	9900.00	11615.61	USD	30,880.98	
04AUG17	S	12	PD250CT17	10246.06	11615.61	USD	-98,607.60	
	NET	0AVG BGHT 9859.17	SOLD 10246.06				27,856.32	0.00
26JUL17	B	1	PD260CT17	9890.00	11616.46	USD	10,358.76	
26JUL17	S	4	PD260CT17	9935.00	11616.46	USD	-40,355.04	
26JUL17	S	8	PD260CT17	9990.00	11616.46	USD	-78,070.08	
27JUL17	B	11	PD260CT17	10131.00	11616.46	USD	98,040.36	
	NET	0AVG BGHT 10110.92	SOLD 9971.67				-10,026.00	0.00
27JUL17	B	4	PD270CT17	9985.00	11617.31	USD	39,175.44	
27JUL17	B	1	PD270CT17	9995.00	11617.31	USD	9,733.86	
27JUL17	B	6	PD270CT17	10010.00	11617.31	USD	57,863.16	
27JUL17	B	1	PD270CT17	10015.00	11617.31	USD	9,613.86	
27JUL17	B	1	PD270CT17	10020.00	11617.31	USD	9,583.86	
27JUL17	B	1	PD270CT17	10050.00	11617.31	USD	9,403.86	
27JUL17	S	4	PD270CT17	10055.00	11617.31	USD	-37,495.44	
27JUL17	S	4	PD270CT17	10060.00	11617.31	USD	-37,375.44	
27JUL17	S	2	PD270CT17	10065.00	11617.31	USD	-18,627.72	
27JUL17	S	2	PD270CT17	10090.00	11617.31	USD	-18,327.72	
27JUL17	B	4	PD270CT17	10080.00	11617.31	USD	36,895.44	
27JUL17	B	2	PD270CT17	9995.00	11617.31	USD	19,467.72	
27JUL17	S	2	PD270CT17	10140.00	11617.31	USD	-17,727.72	
27JUL17	S	1	PD270CT17	10140.00	11617.31	USD	-8,863.86	
27JUL17	S	3	PD270CT17	10185.00	11617.31	USD	-25,781.58	
27JUL17	S	2	PD270CT17	10170.00	11617.31	USD	-17,367.72	
27JUL17	S	1	PD270CT17	10175.00	11617.31	USD	-8,653.86	
27JUL17	B	1	PD270CT17	10135.00	11617.31	USD	8,893.86	
28JUL17	S	2	PD270CT17	10085.00	11617.31	USD	-18,387.72	
28JUL17	B	2	PD270CT17	10050.00	11617.31	USD	18,807.72	
28JUL17	B	2	PD270CT17	10110.00	11617.31	USD	18,087.72	
28JUL17	B	3	PD270CT17	10145.00	11617.31	USD	26,501.58	
28JUL17	S	2	PD270CT17	10240.00	11617.31	USD	-16,527.72	
28JUL17	S	3	PD270CT17	10245.00	11617.31	USD	-24,701.58	
28JUL17	S	2	PD270CT17	10280.00	11617.31	USD	-16,047.72	
28JUL17	S	2	PD270CT17	10220.00	11617.31	USD	-16,767.72	
28JUL17	B	2	PD270CT17	10195.00	11617.31	USD	17,067.72	
28JUL17	S	2	PD270CT17	10190.00	11617.31	USD	-17,127.72	
28JUL17	B	4	PD270CT17	10205.00	11617.31	USD	33,895.44	
28JUL17	S	2	PD270CT17	10245.00	11617.31	USD	-16,467.72	
28JUL17	S	2	PD270CT17	10250.00	11617.31	USD	-16,407.72	
28JUL17	S	1	PD270CT17	10255.00	11617.31	USD	-8,173.86	
28JUL17	S	1	PD270CT17	10270.00	11617.31	USD	-8,083.86	
28JUL17	B	4	PD270CT17	10240.00	11617.31	USD	33,055.44	
28JUL17	B	4	PD270CT17	10225.00	11617.31	USD	33,415.44	
28JUL17	B	4	PD270CT17	10220.00	11617.31	USD	33,535.44	
28JUL17	S	7	PD270CT17	10260.00	11617.31	USD	-57,007.02	
04AUG17	B	1	PD270CT17	10247.94	11617.31	USD	8,216.22	
	NET	0AVG BGHT 10116.55	SOLD 10177.87				17,292.36	0.00
31JUL17	B	4	PD310CT17	10345.00	11620.72	USD	30,617.28	
31JUL17	B	4	PD310CT17	10325.00	11620.72	USD	31,097.28	
31JUL17	B	1	PD310CT17	10285.00	11620.72	USD	8,014.32	
31JUL17	S	1	PD310CT17	10275.00	11620.72	USD	-8,074.32	
31JUL17	S	3	PD310CT17	10260.00	11620.72	USD	-24,492.96	

OPEN POSITION STATEMENT



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Sapien Capital Limited
Suite A, 105 Piccadilly
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+44 (0) 20 7340 9081
backoffice@sapiencap.com

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
31JUL17	S	2	PD31OCT17	10250.00	11620.72	USD	-16,448.64	
31JUL17	S	3	PD31OCT17	10255.00	11620.72	USD	-24,582.96	
31JUL17	S	4	PD31OCT17	10270.00	11620.72	USD	-32,417.28	
31JUL17	S	2	PD31OCT17	10290.00	11620.72	USD	-15,968.64	
31JUL17	B	2	PD31OCT17	10270.00	11620.72	USD	16,208.64	
31JUL17	B	2	PD31OCT17	10280.00	11620.72	USD	16,088.64	
31JUL17	S	1	PD31OCT17	10280.00	11620.72	USD	-8,044.32	
31JUL17	S	1	PD31OCT17	10285.00	11620.72	USD	-8,014.32	
31JUL17	B	4	PD31OCT17	10275.00	11620.72	USD	32,297.28	
31JUL17	B	2	PD31OCT17	10265.00	11620.72	USD	16,268.64	
31JUL17	B	2	PD31OCT17	10250.00	11620.72	USD	16,448.64	
31JUL17	B	4	PD31OCT17	10230.00	11620.72	USD	33,377.28	
31JUL17	B	1	PD31OCT17	10220.00	11620.72	USD	8,404.32	
04AUG17	S	9	PD31OCT17	10248.69	11620.72	USD	-74,089.62	
	NET	0 AVG BGHT 10282.12	SOLD 10260.89				-3,310.74	0.00
01AUG17	S	2	PD01NOV17	10100.00	11621.57	USD	-18,258.84	
01AUG17	S	2	PD01NOV17	10115.00	11621.57	USD	-18,078.84	
01AUG17	B	2	PD01NOV17	10095.00	11621.57	USD	18,318.84	
01AUG17	B	2	PD01NOV17	10125.00	11621.57	USD	17,958.84	
01AUG17	B	2	PD01NOV17	10135.00	11621.57	USD	17,838.84	
01AUG17	S	1	PD01NOV17	10120.00	11621.57	USD	-9,009.42	
01AUG17	S	3	PD01NOV17	10110.00	11621.57	USD	-27,208.26	
01AUG17	S	12	PD01NOV17	10200.00	11621.57	USD	-102,353.04	
01AUG17	B	1	PD01NOV17	10185.00	11621.57	USD	8,619.42	
01AUG17	B	1	PD01NOV17	10175.00	11621.57	USD	8,679.42	
01AUG17	S	2	PD01NOV17	10265.00	11621.57	USD	-16,278.84	
01AUG17	S	6	PD01NOV17	10280.00	11621.57	USD	-48,296.52	
01AUG17	B	2	PD01NOV17	10255.00	11621.57	USD	16,398.84	
01AUG17	B	4	PD01NOV17	10260.00	11621.57	USD	32,677.68	
04AUG17	B	14	PD01NOV17	10250.13	11621.57	USD	115,200.96	
	NET	0 AVG BGHT 10218.64	SOLD 10196.07				-3,790.92	0.00
02AUG17	S	1	PD02NOV17	10205.00	11622.43	USD	-8,504.58	
02AUG17	S	1	PD02NOV17	10195.00	11622.43	USD	-8,564.58	
02AUG17	S	4	PD02NOV17	10190.00	11622.43	USD	-34,378.32	
02AUG17	S	1	PD02NOV17	10200.00	11622.43	USD	-8,534.58	
02AUG17	S	2	PD02NOV17	10145.00	11622.43	USD	-17,729.16	
02AUG17	B	2	PD02NOV17	10320.00	11622.43	USD	15,629.16	
04AUG17	B	7	PD02NOV17	10250.56	11622.43	USD	57,618.54	
	NET	0 AVG BGHT 10265.99	SOLD 10183.33				-4,463.52	0.00
03AUG17	S	4	PD03NOV17	10315.00	11623.28	USD	-31,398.72	
03AUG17	S	2	PD03NOV17	10270.00	11623.28	USD	-16,239.36	
03AUG17	S	2	PD03NOV17	10430.00	11623.28	USD	-14,319.36	
03AUG17	S	1	PD03NOV17	10270.00	11623.28	USD	-8,119.68	
03AUG17	S	3	PD03NOV17	10285.00	11623.28	USD	-24,089.04	
04AUG17	B	2	PD03NOV17	10345.00	11623.28	USD	15,339.36	
04AUG17	S	1	PD03NOV17	10330.00	11623.28	USD	-7,759.68	
04AUG17	B	1	PD03NOV17	10330.00	11623.28	USD	7,759.68	
04AUG17	S	1	PD03NOV17	10300.00	11623.28	USD	-7,939.68	
04AUG17	S	1	PD03NOV17	10315.00	11623.28	USD	-7,849.68	
04AUG17	B	1	PD03NOV17	10205.00	11623.28	USD	8,509.68	
04AUG17	B	1	PD03NOV17	10215.00	11623.28	USD	8,449.68	
04AUG17	S	2	PD03NOV17	10285.00	11623.28	USD	-16,059.36	
04AUG17	B	2	PD03NOV17	10290.00	11623.28	USD	15,999.36	
04AUG17	B	10	PD03NOV17	10251.00	11623.28	USD	82,336.80	
	NET	0 AVG BGHT 10266.47	SOLD 10311.76				4,620.00	0.00
07AUG17	B	2	PD07NOV17	10395.00	11626.69	USD	14,780.28	

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Suite A, 105 Piccadilly
London W1J 7NJ UK
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backoffice@sapiencap.com

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
07AUG17	S	2	PD07NOV17	10390.00	11626.69	USD	-14,840.28	
	NET	0 AVG BGHT 10395.00	SOLD 10390.00				-60.00	0.00
08AUG17	B	2	PD08NOV17	10340.00	11627.54	USD	15,450.48	
08AUG17	B	4	PD08NOV17	10290.00	11627.54	USD	32,100.96	
08AUG17	S	6	PD08NOV17	10330.00	11627.54	USD	-46,711.44	
08AUG17	B	2	PD08NOV17	10445.00	11627.54	USD	14,190.48	
08AUG17	B	2	PD08NOV17	10490.00	11627.54	USD	13,650.48	
08AUG17	B	2	PD08NOV17	10485.00	11627.54	USD	13,710.48	
08AUG17	B	2	PD08NOV17	10500.00	11627.54	USD	13,530.48	
08AUG17	B	2	PD08NOV17	10690.00	11627.54	USD	11,250.48	
08AUG17	B	1	PD08NOV17	10755.00	11627.54	USD	5,235.24	
08AUG17	B	1	PD08NOV17	10760.00	11627.54	USD	5,205.24	
08AUG17	B	1	PD08NOV17	10755.00	11627.54	USD	5,235.24	
11AUG17	S	8	PD08NOV17	10658.78	11627.54	USD	-46,500.48	
15AUG17	S	5	PD08NOV17	10345.63	11627.54	USD	-38,457.30	
	NET	0 AVG BGHT 10491.05	SOLD 10472.55				-2,109.66	0.00
09AUG17	S	2	PD09NOV17	10720.00	11628.39	USD	-10,900.68	
09AUG17	B	2	PD09NOV17	10810.00	11628.39	USD	9,820.68	
09AUG17	B	2	PD09NOV17	10795.00	11628.39	USD	10,000.68	
09AUG17	S	2	PD09NOV17	10755.00	11628.39	USD	-10,480.68	
09AUG17	S	2	PD09NOV17	10770.00	11628.39	USD	-10,300.68	
09AUG17	S	2	PD09NOV17	10790.00	11628.39	USD	-10,060.68	
09AUG17	S	4	PD09NOV17	10815.00	11628.39	USD	-19,521.36	
09AUG17	S	2	PD09NOV17	10750.00	11628.39	USD	-10,540.68	
09AUG17	S	2	PD09NOV17	10740.00	11628.39	USD	-10,660.68	
09AUG17	B	2	PD09NOV17	10740.00	11628.39	USD	10,660.68	
09AUG17	B	2	PD09NOV17	10735.00	11628.39	USD	10,720.68	
11AUG17	B	8	PD09NOV17	10660.39	11628.39	USD	46,464.00	
	NET	0 AVG BGHT 10715.20	SOLD 10769.38				5,201.28	0.00
10AUG17	B	2	PD10NOV17	10775.00	11629.24	USD	10,250.88	
10AUG17	S	2	PD10NOV17	10820.00	11629.24	USD	-9,710.88	
10AUG17	S	2	PD10NOV17	10825.00	11629.24	USD	-9,650.88	
10AUG17	S	1	PD10NOV17	10810.00	11629.24	USD	-4,915.44	
10AUG17	S	1	PD10NOV17	10805.00	11629.24	USD	-4,945.44	
10AUG17	S	1	PD10NOV17	10790.00	11629.24	USD	-5,035.44	
10AUG17	S	1	PD10NOV17	10780.00	11629.24	USD	-5,095.44	
10AUG17	S	2	PD10NOV17	10740.00	11629.24	USD	-10,670.88	
10AUG17	B	2	PD10NOV17	10765.00	11629.24	USD	10,370.88	
10AUG17	B	6	PD10NOV17	10770.00	11629.24	USD	30,932.64	
10AUG17	B	4	PD10NOV17	10780.00	11629.24	USD	20,381.76	
10AUG17	B	4	PD10NOV17	10850.00	11629.24	USD	18,701.76	
10AUG17	B	2	PD10NOV17	10865.00	11629.24	USD	9,170.88	
10AUG17	S	2	PD10NOV17	10935.00	11629.24	USD	-8,330.88	
10AUG17	S	4	PD10NOV17	10930.00	11629.24	USD	-16,781.76	
10AUG17	B	2	PD10NOV17	10950.00	11629.24	USD	8,150.88	
10AUG17	S	1	PD10NOV17	10900.00	11629.24	USD	-4,375.44	
10AUG17	S	2	PD10NOV17	10890.00	11629.24	USD	-8,870.88	
10AUG17	S	1	PD10NOV17	10900.00	11629.24	USD	-4,375.44	
11AUG17	S	4	PD10NOV17	10840.00	11629.24	USD	-18,941.76	
11AUG17	S	2	PD10NOV17	10800.00	11629.24	USD	-9,950.88	
11AUG17	S	4	PD10NOV17	10830.00	11629.24	USD	-19,181.76	
11AUG17	S	2	PD10NOV17	10745.00	11629.24	USD	-10,610.88	
11AUG17	S	4	PD10NOV17	10735.00	11629.24	USD	-21,461.76	
11AUG17	S	2	PD10NOV17	10655.00	11629.24	USD	-11,690.88	
11AUG17	S	2	PD10NOV17	10620.00	11629.24	USD	-12,110.88	
11AUG17	S	4	PD10NOV17	10630.00	11629.24	USD	-23,981.76	

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11AUG17	B	4	PD10NOV17	10675.00	11629.24	USD	22,901.76	
11AUG17	B	2	PD10NOV17	10665.00	11629.24	USD	11,570.88	
11AUG17	B	4	PD10NOV17	10595.00	11629.24	USD	24,821.76	
11AUG17	B	6	PD10NOV17	10680.00	11629.24	USD	34,172.64	
11AUG17	B	2	PD10NOV17	10650.00	11629.24	USD	11,750.88	
11AUG17	B	2	PD10NOV17	10565.00	11629.24	USD	12,770.88	
11AUG17	B	2	PD10NOV17	10655.00	11629.24	USD	11,690.88	
	NET	0 AVG BGHT 10729.09	SOLD 10793.30				16,950.00	0.00
14AUG17	S	2	PD14NOV17	10650.00	11632.65	USD	-11,791.80	
14AUG17	S	1	PD14NOV17	10505.00	11632.65	USD	-6,765.90	
14AUG17	S	4	PD14NOV17	10500.00	11632.65	USD	-27,183.60	
14AUG17	S	2	PD14NOV17	10475.00	11632.65	USD	-13,891.80	
14AUG17	S	2	PD14NOV17	10480.00	11632.65	USD	-13,831.80	
14AUG17	B	4	PD14NOV17	10515.00	11632.65	USD	26,823.60	
14AUG17	B	2	PD14NOV17	10510.00	11632.65	USD	13,471.80	
14AUG17	S	1	PD14NOV17	10505.00	11632.65	USD	-6,765.90	
14AUG17	S	2	PD14NOV17	10440.00	11632.65	USD	-14,311.80	
15AUG17	B	5	PD14NOV17	10350.38	11632.65	USD	38,468.10	
18AUG17	B	3	PD14NOV17	10981.33	11632.65	USD	11,723.76	
	NET	0 AVG BGHT 10555.42	SOLD 10507.14				-4,055.34	0.00
15AUG17	B	2	PD15NOV17	10460.00	11633.50	USD	14,082.00	
15AUG17	S	2	PD15NOV17	10490.00	11633.50	USD	-13,722.00	
15AUG17	S	2	PD15NOV17	10405.00	11633.50	USD	-14,742.00	
15AUG17	B	4	PD15NOV17	10405.00	11633.50	USD	29,484.00	
15AUG17	S	2	PD15NOV17	10375.00	11633.50	USD	-15,102.00	
15AUG17	B	4	PD15NOV17	10390.00	11633.50	USD	29,844.00	
15AUG17	B	2	PD15NOV17	10400.00	11633.50	USD	14,802.00	
15AUG17	B	2	PD15NOV17	10355.00	11633.50	USD	15,342.00	
18AUG17	S	3	PD15NOV17	10981.00	11633.50	USD	-11,745.00	
18AUG17	S	5	PD15NOV17	10982.00	11633.50	USD	-19,545.00	
	NET	0 AVG BGHT 10400.71	SOLD 10742.36				28,698.00	0.00
16AUG17	S	2	PD16NOV17	10370.00	11634.19	USD	-15,170.28	
16AUG17	S	2	PD16NOV17	10475.00	11634.19	USD	-13,910.28	
16AUG17	B	2	PD16NOV17	10445.00	11634.19	USD	14,270.28	
16AUG17	S	2	PD16NOV17	10570.00	11634.19	USD	-12,770.28	
16AUG17	S	2	PD16NOV17	10565.00	11634.19	USD	-12,830.28	
16AUG17	S	2	PD16NOV17	10555.00	11634.19	USD	-12,950.28	
16AUG17	S	2	PD16NOV17	10560.00	11634.19	USD	-12,890.28	
16AUG17	S	2	PD16NOV17	10600.00	11634.19	USD	-12,410.28	
16AUG17	S	3	PD16NOV17	10655.00	11634.19	USD	-17,625.42	
16AUG17	B	1	PD16NOV17	10680.00	11634.19	USD	5,725.14	
16AUG17	B	2	PD16NOV17	10685.00	11634.19	USD	11,390.28	
16AUG17	B	2	PD16NOV17	10690.00	11634.19	USD	11,330.28	
16AUG17	S	2	PD16NOV17	10725.00	11634.19	USD	-10,910.28	
16AUG17	S	2	PD16NOV17	10745.00	11634.19	USD	-10,670.28	
16AUG17	B	6	PD16NOV17	10750.00	11634.19	USD	31,830.84	
16AUG17	B	2	PD16NOV17	10735.00	11634.19	USD	10,790.28	
16AUG17	B	1	PD16NOV17	10725.00	11634.19	USD	5,455.14	
16AUG17	B	3	PD16NOV17	10730.00	11634.19	USD	16,275.42	
16AUG17	S	2	PD16NOV17	10765.00	11634.19	USD	-10,430.28	
16AUG17	S	2	PD16NOV17	10820.00	11634.19	USD	-9,770.28	
16AUG17	B	1	PD16NOV17	10780.00	11634.19	USD	5,125.14	
16AUG17	B	2	PD16NOV17	10770.00	11634.19	USD	10,370.28	
16AUG17	S	2	PD16NOV17	10770.00	11634.19	USD	-10,370.28	
16AUG17	B	1	PD16NOV17	10780.00	11634.19	USD	5,125.14	
18AUG17	B	5	PD16NOV17	10981.00	11634.19	USD	19,595.70	

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SAPIEN CAPITAL LIMITED CLIENT ACC

SAP096AG

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
23AUG17	S	1	PD16NOV17	11655.00	11634.19	USD	124.86	
	NET	0 AVG	BGHT 10757.50				-15,300.00	0.00
17AUG17	B	1	PD17NOV17	10805.00	11634.87	USD	4,979.22	
17AUG17	B	4	PD17NOV17	10750.00	11634.87	USD	21,236.88	
17AUG17	S	4	PD17NOV17	10785.00	11634.87	USD	-20,396.88	
17AUG17	S	2	PD17NOV17	10775.00	11634.87	USD	-10,318.44	
17AUG17	S	2	PD17NOV17	10770.00	11634.87	USD	-10,378.44	
17AUG17	S	2	PD17NOV17	10835.00	11634.87	USD	-9,598.44	
17AUG17	S	4	PD17NOV17	10870.00	11634.87	USD	-18,356.88	
17AUG17	S	2	PD17NOV17	10865.00	11634.87	USD	-9,238.44	
17AUG17	S	6	PD17NOV17	10790.00	11634.87	USD	-30,415.32	
17AUG17	B	2	PD17NOV17	10780.00	11634.87	USD	10,258.44	
17AUG17	B	3	PD17NOV17	10800.00	11634.87	USD	15,027.66	
17AUG17	B	2	PD17NOV17	10735.00	11634.87	USD	10,798.44	
17AUG17	B	2	PD17NOV17	10720.00	11634.87	USD	10,978.44	
17AUG17	B	1	PD17NOV17	10725.00	11634.87	USD	5,459.22	
17AUG17	B	2	PD17NOV17	10755.00	11634.87	USD	10,558.44	
17AUG17	B	4	PD17NOV17	10765.00	11634.87	USD	20,876.88	
17AUG17	B	3	PD17NOV17	10800.00	11634.87	USD	15,027.66	
17AUG17	B	1	PD17NOV17	10760.00	11634.87	USD	5,249.22	
17AUG17	B	1	PD17NOV17	10725.00	11634.87	USD	5,459.22	
17AUG17	B	2	PD17NOV17	10745.00	11634.87	USD	10,678.44	
17AUG17	B	2	PD17NOV17	10690.00	11634.87	USD	11,338.44	
18AUG17	S	2	PD17NOV17	10630.00	11634.87	USD	-12,058.44	
18AUG17	S	4	PD17NOV17	10820.00	11634.87	USD	-19,556.88	
18AUG17	B	6	PD17NOV17	10880.00	11634.87	USD	27,175.32	
18AUG17	B	1	PD17NOV17	10970.00	11634.87	USD	3,989.22	
18AUG17	S	2	PD17NOV17	10995.00	11634.87	USD	-7,678.44	
18AUG17	S	2	PD17NOV17	11010.00	11634.87	USD	-7,498.44	
18AUG17	S	2	PD17NOV17	11020.00	11634.87	USD	-7,378.44	
18AUG17	B	2	PD17NOV17	10940.00	11634.87	USD	8,338.44	
18AUG17	B	4	PD17NOV17	10915.00	11634.87	USD	17,276.88	
18AUG17	B	2	PD17NOV17	10850.00	11634.87	USD	9,418.44	
18AUG17	S	4	PD17NOV17	10850.00	11634.87	USD	-18,836.88	
18AUG17	S	4	PD17NOV17	10840.00	11634.87	USD	-19,076.88	
18AUG17	B	1	PD17NOV17	10950.00	11634.87	USD	4,109.22	
23AUG17	S	4	PD17NOV17	11655.63	11634.87	USD	498.24	
	NET	0 AVG	BGHT 10807.93				27,945.12	0.00
21AUG17	B	2	PD21NOV17	11235.00	11637.61	USD	4,831.32	
21AUG17	S	2	PD21NOV17	11100.00	11637.61	USD	-6,451.32	
21AUG17	S	2	PD21NOV17	11020.00	11637.61	USD	-7,411.32	
21AUG17	S	2	PD21NOV17	11210.00	11637.61	USD	-5,131.32	
21AUG17	S	2	PD21NOV17	11160.00	11637.61	USD	-5,731.32	
21AUG17	B	2	PD21NOV17	11155.00	11637.61	USD	5,791.32	
21AUG17	S	4	PD21NOV17	11195.00	11637.61	USD	-10,622.64	
21AUG17	B	2	PD21NOV17	11145.00	11637.61	USD	5,911.32	
21AUG17	S	2	PD21NOV17	11205.00	11637.61	USD	-5,191.32	
21AUG17	B	1	PD21NOV17	11170.00	11637.61	USD	2,805.66	
21AUG17	S	1	PD21NOV17	11255.00	11637.61	USD	-2,295.66	
21AUG17	B	2	PD21NOV17	11240.00	11637.61	USD	4,771.32	
21AUG17	B	2	PD21NOV17	11220.00	11637.61	USD	5,011.32	
21AUG17	S	2	PD21NOV17	11250.00	11637.61	USD	-4,651.32	
21AUG17	S	2	PD21NOV17	11245.00	11637.61	USD	-4,711.32	
21AUG17	B	2	PD21NOV17	11275.00	11637.61	USD	4,351.32	
21AUG17	S	2	PD21NOV17	11330.00	11637.61	USD	-3,691.32	
21AUG17	B	4	PD21NOV17	11315.00	11637.61	USD	7,742.64	
21AUG17	B	2	PD21NOV17	11290.00	11637.61	USD	4,171.32	

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
21AUG17	B	2	PD21NOV17	11345.00	11637.61	USD	3,511.32	
21AUG17	B	4	PD21NOV17	11265.00	11637.61	USD	8,942.64	
21AUG17	S	4	PD21NOV17	11275.00	11637.61	USD	-8,702.64	
21AUG17	S	2	PD21NOV17	11280.00	11637.61	USD	-4,291.32	
23AUG17	B	2	PD21NOV17	11659.13	11637.61	USD	-258.24	
	NET	0 AVG	BGHT 11282.16				-11,299.56	0.00
			SOLD 11212.41					
22AUG17	S	2	PD22NOV17	11265.00	11638.30	USD	-4,479.60	
22AUG17	S	2	PD22NOV17	11275.00	11638.30	USD	-4,359.60	
22AUG17	S	2	PD22NOV17	11250.00	11638.30	USD	-4,659.60	
22AUG17	S	2	PD22NOV17	11490.00	11638.30	USD	-1,779.60	
22AUG17	S	2	PD22NOV17	11475.00	11638.30	USD	-1,959.60	
22AUG17	S	1	PD22NOV17	11400.00	11638.30	USD	-1,429.80	
22AUG17	S	1	PD22NOV17	11415.00	11638.30	USD	-1,339.80	
22AUG17	B	2	PD22NOV17	11490.00	11638.30	USD	1,779.60	
22AUG17	B	2	PD22NOV17	11485.00	11638.30	USD	1,839.60	
22AUG17	B	2	PD22NOV17	11470.00	11638.30	USD	2,019.60	
22AUG17	B	2	PD22NOV17	11450.00	11638.30	USD	2,259.60	
22AUG17	B	4	PD22NOV17	11440.00	11638.30	USD	4,759.20	
22AUG17	B	4	PD22NOV17	11405.00	11638.30	USD	5,599.20	
22AUG17	S	4	PD22NOV17	11435.00	11638.30	USD	-4,879.20	
22AUG17	S	2	PD22NOV17	11420.00	11638.30	USD	-2,619.60	
22AUG17	S	2	PD22NOV17	11430.00	11638.30	USD	-2,499.60	
22AUG17	S	4	PD22NOV17	11425.00	11638.30	USD	-5,119.20	
22AUG17	B	2	PD22NOV17	11415.00	11638.30	USD	2,679.60	
22AUG17	S	1	PD22NOV17	11375.00	11638.30	USD	-1,579.80	
22AUG17	B	2	PD22NOV17	11325.00	11638.30	USD	3,759.60	
22AUG17	S	2	PD22NOV17	11490.00	11638.30	USD	-1,779.60	
22AUG17	S	3	PD22NOV17	11350.00	11638.30	USD	-5,189.40	
22AUG17	S	2	PD22NOV17	11410.00	11638.30	USD	-2,739.60	
23AUG17	B	3	PD22NOV17	11659.75	11638.30	USD	-386.10	
24AUG17	B	9	PD22NOV17	11743.50	11638.30	USD	-5,680.80	
	NET	0 AVG	BGHT 11541.27				-27,784.50	0.00
			SOLD 11396.56					
23AUG17	B	2	PD24NOV17	11265.00	11639.67	USD	4,496.04	
23AUG17	B	2	PD24NOV17	11360.00	11639.67	USD	3,356.04	
23AUG17	B	2	PD24NOV17	11390.00	11639.67	USD	2,996.04	
23AUG17	S	2	PD24NOV17	11430.00	11639.67	USD	-2,516.04	
23AUG17	S	4	PD24NOV17	11580.00	11639.67	USD	-1,432.08	
23AUG17	B	5	PD24NOV17	11560.00	11639.67	USD	2,390.10	
23AUG17	B	1	PD24NOV17	11550.00	11639.67	USD	538.02	
23AUG17	S	2	PD24NOV17	11455.00	11639.67	USD	-2,216.04	
23AUG17	B	2	PD24NOV17	11585.00	11639.67	USD	656.04	
23AUG17	B	2	PD24NOV17	11690.00	11639.67	USD	-603.96	
23AUG17	B	4	PD24NOV17	11720.00	11639.67	USD	-1,927.92	
23AUG17	S	2	PD24NOV17	11685.00	11639.67	USD	543.96	
24AUG17	S	1	PD24NOV17	11675.00	11639.67	USD	211.98	
24AUG17	S	3	PD24NOV17	11655.00	11639.67	USD	275.94	
24AUG17	B	1	PD24NOV17	11665.00	11639.67	USD	-151.98	
24AUG17	S	9	PD24NOV17	11744.00	11639.67	USD	5,633.82	
25AUG17	B	2	PD24NOV17	11680.00	11639.67	USD	-483.96	
	NET	0 AVG	BGHT 11558.04				11,766.00	0.00
			SOLD 11643.30					
29AUG17	S	1	PD29NOV17	11615.00	11643.10	USD	-168.60	
29AUG17	B	3	PD29NOV17	11750.00	11643.10	USD	-1,924.20	
29AUG17	S	1	PD29NOV17	11630.00	11643.10	USD	-78.60	
29AUG17	S	1	PD29NOV17	11625.00	11643.10	USD	-108.60	
29AUG17	S	2	PD29NOV17	11610.00	11643.10	USD	-397.20	
29AUG17	S	1	PD29NOV17	11635.00	11643.10	USD	-48.60	

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29AUG17	B	5	PD29NOV17	11830.00	11643.10	USD	-5,607.00	
29AUG17	B	10	PD29NOV17	11820.00	11643.10	USD	-10,614.00	
29AUG17	B	5	PD29NOV17	11825.00	11643.10	USD	-5,457.00	
29AUG17	S	1	PD29NOV17	11600.00	11643.10	USD	-258.60	
29AUG17	B	19	PD29NOV17	11800.00	11643.10	USD	-17,886.60	
29AUG17	S	1	PD29NOV17	11620.00	11643.10	USD	-138.60	
29AUG17	S	4	PD29NOV17	11645.00	11643.10	USD	45.60	
29AUG17	S	3	PD29NOV17	11640.00	11643.10	USD	-55.80	
29AUG17	B	1	PD29NOV17	11800.00	11643.10	USD	-941.40	
29AUG17	S	1	PD29NOV17	11835.00	11643.10	USD	1,151.40	
29AUG17	S	1	PD29NOV17	11805.00	11643.10	USD	971.40	
29AUG17	S	1	PD29NOV17	11820.00	11643.10	USD	1,061.40	
29AUG17	S	1	PD29NOV17	11830.00	11643.10	USD	1,121.40	
29AUG17	S	1	PD29NOV17	11815.00	11643.10	USD	1,031.40	
29AUG17	S	2	PD29NOV17	11720.00	11643.10	USD	922.80	
29AUG17	S	2	PD29NOV17	11685.00	11643.10	USD	502.80	
29AUG17	S	9	PD29NOV17	11695.00	11643.10	USD	2,802.60	
29AUG17	S	10	PD29NOV17	11715.00	11643.10	USD	4,314.00	
29AUG17	S	21	PD29NOV17	11710.00	11643.10	USD	8,429.40	
29AUG17	S	10	PD29NOV17	11705.00	11643.10	USD	3,714.00	
29AUG17	S	4	PD29NOV17	11700.00	11643.10	USD	1,365.60	
29AUG17	S	14	PD29NOV17	11690.00	11643.10	USD	3,939.60	
29AUG17	B	5	PD29NOV17	11730.00	11643.10	USD	-2,607.00	
04SEP17	B	44	PD29NOV17	12246.94	11643.10	USD	-159,413.76	
	NET	0 AVG BGHT 12013.48	SOLD 11697.66				-174,332.16	0.00
30AUG17	S	2	PD30NOV17	11660.00	11643.79	USD	194.52	
30AUG17	S	1	PD30NOV17	11640.00	11643.79	USD	-22.74	
30AUG17	S	1	PD30NOV17	11645.00	11643.79	USD	7.26	
30AUG17	B	2	PD30NOV17	11690.00	11643.79	USD	-554.52	
30AUG17	B	2	PD30NOV17	11710.00	11643.79	USD	-794.52	
30AUG17	B	4	PD30NOV17	11700.00	11643.79	USD	-1,349.04	
30AUG17	B	2	PD30NOV17	11725.00	11643.79	USD	-974.52	
30AUG17	B	2	PD30NOV17	11790.00	11643.79	USD	-1,754.52	
30AUG17	S	2	PD30NOV17	11770.00	11643.79	USD	1,514.52	
30AUG17	S	2	PD30NOV17	11715.00	11643.79	USD	854.52	
30AUG17	B	2	PD30NOV17	11685.00	11643.79	USD	-494.52	
30AUG17	B	1	PD30NOV17	11695.00	11643.79	USD	-307.26	
30AUG17	B	1	PD30NOV17	11665.00	11643.79	USD	-127.26	
30AUG17	B	3	PD30NOV17	11675.00	11643.79	USD	-561.78	
30AUG17	B	4	PD30NOV17	11670.00	11643.79	USD	-629.04	
30AUG17	B	1	PD30NOV17	11660.00	11643.79	USD	-97.26	
30AUG17	B	1	PD30NOV17	11570.00	11643.79	USD	442.74	
30AUG17	B	4	PD30NOV17	11610.00	11643.79	USD	810.96	
30AUG17	B	2	PD30NOV17	11620.00	11643.79	USD	285.48	
30AUG17	B	1	PD30NOV17	11650.00	11643.79	USD	-37.26	
30AUG17	B	1	PD30NOV17	11625.00	11643.79	USD	112.74	
30AUG17	B	5	PD30NOV17	11630.00	11643.79	USD	413.70	
30AUG17	B	3	PD30NOV17	11605.00	11643.79	USD	698.22	
30AUG17	S	2	PD30NOV17	11605.00	11643.79	USD	-465.48	
30AUG17	S	1	PD30NOV17	11570.00	11643.79	USD	-442.74	
30AUG17	S	2	PD30NOV17	11580.00	11643.79	USD	-765.48	
30AUG17	B	3	PD30NOV17	11580.00	11643.79	USD	1,148.22	
30AUG17	B	5	PD30NOV17	11600.00	11643.79	USD	1,313.70	
30AUG17	B	4	PD30NOV17	11595.00	11643.79	USD	1,170.96	
30AUG17	S	2	PD30NOV17	11600.00	11643.79	USD	-525.48	
30AUG17	B	5	PD30NOV17	11590.00	11643.79	USD	1,613.70	
30AUG17	B	5	PD30NOV17	11585.00	11643.79	USD	1,763.70	

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30AUG17	B	1	PD30NOV17	11590.00	11643.79	USD	322.74	
30AUG17	B	1	PD30NOV17	11600.00	11643.79	USD	262.74	
31AUG17	B	2	PD30NOV17	11615.00	11643.79	USD	345.48	
31AUG17	S	2	PD30NOV17	11630.00	11643.79	USD	-165.48	
31AUG17	B	2	PD30NOV17	11670.00	11643.79	USD	-314.52	
31AUG17	B	2	PD30NOV17	11645.00	11643.79	USD	-14.52	
31AUG17	B	2	PD30NOV17	11665.00	11643.79	USD	-254.52	
31AUG17	B	2	PD30NOV17	11650.00	11643.79	USD	-74.52	
31AUG17	B	2	PD30NOV17	11695.00	11643.79	USD	-614.52	
31AUG17	S	2	PD30NOV17	11675.00	11643.79	USD	374.52	
31AUG17	S	2	PD30NOV17	11735.00	11643.79	USD	1,094.52	
31AUG17	S	2	PD30NOV17	11700.00	11643.79	USD	674.52	
31AUG17	S	2	PD30NOV17	11720.00	11643.79	USD	914.52	
31AUG17	S	2	PD30NOV17	11790.00	11643.79	USD	1,754.52	
31AUG17	B	1	PD30NOV17	11765.00	11643.79	USD	-727.26	
31AUG17	S	3	PD30NOV17	11795.00	11643.79	USD	2,721.78	
31AUG17	S	4	PD30NOV17	11800.00	11643.79	USD	3,749.04	
31AUG17	B	4	PD30NOV17	11775.00	11643.79	USD	-3,149.04	
31AUG17	B	1	PD30NOV17	11760.00	11643.79	USD	-697.26	
31AUG17	S	2	PD30NOV17	11760.00	11643.79	USD	1,394.52	
04SEP17	S	44	PD30NOV17	12246.56	11643.79	USD	159,131.28	
04SEP17	S	3	PD30NOV17	12247.56	11643.79	USD	10,867.86	
	NET	0 AVG BGHT 11649.46	SOLD 12010.98				180,037.92	0.00
01SEP17	B	1	PD01DEC17	11790.00	11644.47	USD	-873.18	
01SEP17	B	1	PD01DEC17	11785.00	11644.47	USD	-843.18	
01SEP17	B	3	PD01DEC17	11805.00	11644.47	USD	-2,889.54	
01SEP17	B	2	PD01DEC17	11765.00	11644.47	USD	-1,446.36	
01SEP17	S	3	PD01DEC17	11795.00	11644.47	USD	2,709.54	
01SEP17	S	1	PD01DEC17	11805.00	11644.47	USD	963.18	
01SEP17	S	2	PD01DEC17	11810.00	11644.47	USD	1,986.36	
01SEP17	S	2	PD01DEC17	12030.00	11644.47	USD	4,626.36	
01SEP17	S	2	PD01DEC17	11935.00	11644.47	USD	3,486.36	
01SEP17	B	2	PD01DEC17	12045.00	11644.47	USD	-4,806.36	
01SEP17	S	4	PD01DEC17	12035.00	11644.47	USD	9,372.72	
01SEP17	B	1	PD01DEC17	12050.00	11644.47	USD	-2,433.18	
01SEP17	B	2	PD01DEC17	12035.00	11644.47	USD	-4,686.36	
01SEP17	S	1	PD01DEC17	12050.00	11644.47	USD	2,433.18	
01SEP17	S	1	PD01DEC17	12040.00	11644.47	USD	2,373.18	
01SEP17	S	2	PD01DEC17	12060.00	11644.47	USD	4,986.36	
01SEP17	B	1	PD01DEC17	12050.00	11644.47	USD	-2,433.18	
04SEP17	B	3	PD01DEC17	12249.17	11644.47	USD	-10,884.60	
08SEP17	B	2	PD01DEC17	11585.89	11644.47	USD	702.96	
	NET	0 AVG BGHT 11927.74	SOLD 11949.44				2,344.26	0.00
04SEP17	S	1	PD04DEC17	12325.00	11646.53	USD	4,070.82	
04SEP17	B	3	PD04DEC17	12305.00	11646.53	USD	-11,852.46	
04SEP17	B	2	PD04DEC17	12250.00	11646.53	USD	-7,241.64	
04SEP17	B	2	PD04DEC17	12240.00	11646.53	USD	-7,121.64	
04SEP17	B	2	PD04DEC17	12200.00	11646.53	USD	-6,641.64	
04SEP17	B	2	PD04DEC17	12205.00	11646.53	USD	-6,701.64	
04SEP17	S	2	PD04DEC17	12205.00	11646.53	USD	6,701.64	
04SEP17	S	2	PD04DEC17	12225.00	11646.53	USD	6,941.64	
04SEP17	B	2	PD04DEC17	12235.00	11646.53	USD	-7,061.64	
04SEP17	B	2	PD04DEC17	12245.00	11646.53	USD	-7,181.64	
04SEP17	S	1	PD04DEC17	12255.00	11646.53	USD	3,650.82	
04SEP17	S	3	PD04DEC17	12260.00	11646.53	USD	11,042.46	
08SEP17	S	2	PD04DEC17	11586.65	11646.53	USD	-718.56	
08SEP17	S	4	PD04DEC17	11587.65	11646.53	USD	-1,413.12	

OPEN POSITION STATEMENT



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SAP096AG

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Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>								
	NET	0 AVG	BGHT	12244.33	SOLD	11982.93		-23,526.60	0.00
05SEP17	S	2	PD05DEC17		12290.00	11647.21	USD	7,713.48	
05SEP17	S	2	PD05DEC17		12265.00	11647.21	USD	7,413.48	
05SEP17	B	2	PD05DEC17		12210.00	11647.21	USD	-6,753.48	
05SEP17	B	2	PD05DEC17		12230.00	11647.21	USD	-6,993.48	
05SEP17	S	2	PD05DEC17		12225.00	11647.21	USD	6,933.48	
05SEP17	B	1	PD05DEC17		12110.00	11647.21	USD	-2,776.74	
05SEP17	B	1	PD05DEC17		12105.00	11647.21	USD	-2,746.74	
05SEP17	S	2	PD05DEC17		12060.00	11647.21	USD	4,953.48	
05SEP17	B	2	PD05DEC17		12025.00	11647.21	USD	-4,533.48	
05SEP17	S	1	PD05DEC17		12030.00	11647.21	USD	2,296.74	
05SEP17	S	2	PD05DEC17		12075.00	11647.21	USD	5,133.48	
05SEP17	S	1	PD05DEC17		12020.00	11647.21	USD	2,236.74	
08SEP17	B	4	PD05DEC17		11589.24	11647.21	USD	1,391.28	
	NET	0 AVG	BGHT	11958.50	SOLD	12156.67		14,268.24	0.00
06SEP17	B	2	PD06DEC17		12010.00	11647.90	USD	-4,345.20	
06SEP17	B	2	PD06DEC17		12060.00	11647.90	USD	-4,945.20	
06SEP17	B	8	PD06DEC17		12065.00	11647.90	USD	-20,020.80	
06SEP17	B	2	PD06DEC17		12020.00	11647.90	USD	-4,465.20	
06SEP17	B	1	PD06DEC17		12030.00	11647.90	USD	-2,292.60	
06SEP17	S	1	PD06DEC17		12015.00	11647.90	USD	2,202.60	
06SEP17	S	1	PD06DEC17		12005.00	11647.90	USD	2,142.60	
06SEP17	S	1	PD06DEC17		12050.00	11647.90	USD	2,412.60	
06SEP17	S	1	PD06DEC17		12065.00	11647.90	USD	2,502.60	
06SEP17	S	1	PD06DEC17		12055.00	11647.90	USD	2,442.60	
06SEP17	S	1	PD06DEC17		12070.00	11647.90	USD	2,532.60	
06SEP17	S	3	PD06DEC17		12080.00	11647.90	USD	7,777.80	
06SEP17	S	4	PD06DEC17		12075.00	11647.90	USD	10,250.40	
06SEP17	S	2	PD06DEC17		12175.00	11647.90	USD	6,325.20	
	NET	0 AVG	BGHT	12048.67	SOLD	12076.67		2,520.00	0.00
07SEP17	B	4	PD07DEC17		12230.00	11648.59	USD	-13,953.84	
07SEP17	S	1	PD07DEC17		12235.00	11648.59	USD	3,518.46	
07SEP17	S	2	PD07DEC17		12245.00	11648.59	USD	7,156.92	
07SEP17	S	1	PD07DEC17		12200.00	11648.59	USD	3,308.46	
07SEP17	B	7	PD07DEC17		12170.00	11648.59	USD	-21,899.22	
07SEP17	B	2	PD07DEC17		12115.00	11648.59	USD	-5,596.92	
07SEP17	B	2	PD07DEC17		12055.00	11648.59	USD	-4,876.92	
07SEP17	S	2	PD07DEC17		12065.00	11648.59	USD	4,996.92	
07SEP17	B	1	PD07DEC17		12155.00	11648.59	USD	-3,038.46	
07SEP17	S	2	PD07DEC17		12015.00	11648.59	USD	4,396.92	
07SEP17	S	1	PD07DEC17		12170.00	11648.59	USD	3,128.46	
07SEP17	S	1	PD07DEC17		12165.00	11648.59	USD	3,098.46	
07SEP17	B	1	PD07DEC17		12180.00	11648.59	USD	-3,188.46	
07SEP17	S	1	PD07DEC17		12215.00	11648.59	USD	3,398.46	
07SEP17	B	2	PD07DEC17		12200.00	11648.59	USD	-6,616.92	
07SEP17	B	1	PD07DEC17		12205.00	11648.59	USD	-3,338.46	
13SEP17	S	9	PD07DEC17		11355.62	11648.59	USD	-15,820.38	
	NET	0 AVG	BGHT	12169.50	SOLD	11791.78		-45,326.52	0.00
08SEP17	S	1	PD08DEC17		12285.00	11649.27	USD	3,814.38	
08SEP17	S	1	PD08DEC17		12290.00	11649.27	USD	3,844.38	
08SEP17	S	1	PD08DEC17		12270.00	11649.27	USD	3,724.38	
08SEP17	S	1	PD08DEC17		12255.00	11649.27	USD	3,634.38	
08SEP17	S	1	PD08DEC17		12245.00	11649.27	USD	3,574.38	
08SEP17	S	1	PD08DEC17		12250.00	11649.27	USD	3,604.38	
08SEP17	S	1	PD08DEC17		12265.00	11649.27	USD	3,694.38	
08SEP17	B	1	PD08DEC17		12285.00	11649.27	USD	-3,814.38	

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08SEP17	B	1	PD08DEC17		12295.00	11649.27	USD	-3,874.38	
08SEP17	B	1	PD08DEC17		12360.00	11649.27	USD	-4,264.38	
08SEP17	B	1	PD08DEC17		12365.00	11649.27	USD	-4,294.38	
08SEP17	B	2	PD08DEC17		12335.00	11649.27	USD	-8,228.76	
08SEP17	B	2	PD08DEC17		12340.00	11649.27	USD	-8,288.76	
08SEP17	S	1	PD08DEC17		12150.00	11649.27	USD	3,004.38	
08SEP17	S	2	PD08DEC17		12180.00	11649.27	USD	6,368.76	
08SEP17	S	1	PD08DEC17		12175.00	11649.27	USD	3,154.38	
08SEP17	S	2	PD08DEC17		12155.00	11649.27	USD	6,068.76	
08SEP17	S	1	PD08DEC17		12115.00	11649.27	USD	2,794.38	
08SEP17	S	1	PD08DEC17		12135.00	11649.27	USD	2,914.38	
08SEP17	S	1	PD08DEC17		12130.00	11649.27	USD	2,884.38	
08SEP17	S	1	PD08DEC17		12090.00	11649.27	USD	2,644.38	
08SEP17	S	2	PD08DEC17		11960.00	11649.27	USD	3,728.76	
08SEP17	S	2	PD08DEC17		11940.00	11649.27	USD	3,488.76	
08SEP17	B	2	PD08DEC17		11975.00	11649.27	USD	-3,908.76	
08SEP17	B	2	PD08DEC17		11965.00	11649.27	USD	-3,788.76	
08SEP17	S	3	PD08DEC17		11860.00	11649.27	USD	3,793.14	
08SEP17	S	7	PD08DEC17		11845.00	11649.27	USD	8,220.66	
08SEP17	S	3	PD08DEC17		11840.00	11649.27	USD	3,433.14	
08SEP17	S	3	PD08DEC17		11835.00	11649.27	USD	3,343.14	
08SEP17	S	2	PD08DEC17		11825.00	11649.27	USD	2,108.76	
08SEP17	B	4	PD08DEC17		11745.00	11649.27	USD	-2,297.52	
08SEP17	S	2	PD08DEC17		11760.00	11649.27	USD	1,328.76	
08SEP17	B	4	PD08DEC17		11760.00	11649.27	USD	-2,657.52	
08SEP17	B	4	PD08DEC17		11755.00	11649.27	USD	-2,537.52	
08SEP17	B	2	PD08DEC17		11790.00	11649.27	USD	-1,688.76	
08SEP17	B	2	PD08DEC17		11785.00	11649.27	USD	-1,628.76	
08SEP17	B	2	PD08DEC17		11780.00	11649.27	USD	-1,568.76	
08SEP17	B	1	PD08DEC17		11765.00	11649.27	USD	-694.38	
08SEP17	B	1	PD08DEC17		11720.00	11649.27	USD	-424.38	
08SEP17	B	1	PD08DEC17		11740.00	11649.27	USD	-544.38	
08SEP17	S	1	PD08DEC17		11530.00	11649.27	USD	-715.62	
08SEP17	S	1	PD08DEC17		11535.00	11649.27	USD	-685.62	
08SEP17	S	1	PD08DEC17		11550.00	11649.27	USD	-595.62	
08SEP17	S	2	PD08DEC17		11575.00	11649.27	USD	-891.24	
08SEP17	S	1	PD08DEC17		11580.00	11649.27	USD	-415.62	
08SEP17	S	3	PD08DEC17		11590.00	11649.27	USD	-1,066.86	
08SEP17	S	2	PD08DEC17		11610.00	11649.27	USD	-471.24	
13SEP17	B	9	PD08DEC17		11357.46	11649.27	USD	15,757.74	
13SEP17	B	5	PD08DEC17		11356.46	11649.27	USD	8,784.30	
15SEP17	B	5	PD08DEC17		11084.40	11649.27	USD	16,946.10	
	NET	0AVG	BGHT 11690.99	SOLD 11906.73				67,311.36	0.00
11SEP17	S	1	PD11DEC17		11595.00	11651.33	USD	-337.98	
11SEP17	S	1	PD11DEC17		11600.00	11651.33	USD	-307.98	
11SEP17	S	3	PD11DEC17		11565.00	11651.33	USD	-1,553.94	
11SEP17	S	1	PD11DEC17		11560.00	11651.33	USD	-547.98	
11SEP17	B	1	PD11DEC17		11600.00	11651.33	USD	307.98	
11SEP17	B	1	PD11DEC17		11605.00	11651.33	USD	277.98	
11SEP17	S	1	PD11DEC17		11715.00	11651.33	USD	382.02	
11SEP17	B	1	PD11DEC17		11655.00	11651.33	USD	-22.02	
11SEP17	B	2	PD11DEC17		11665.00	11651.33	USD	-164.04	
11SEP17	B	1	PD11DEC17		11725.00	11651.33	USD	-442.02	
11SEP17	B	1	PD11DEC17		11740.00	11651.33	USD	-532.02	
11SEP17	B	1	PD11DEC17		11750.00	11651.33	USD	-592.02	
11SEP17	S	1	PD11DEC17		11800.00	11651.33	USD	892.02	
11SEP17	S	1	PD11DEC17		11805.00	11651.33	USD	922.02	

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11SEP17	S	4	PD11DEC17	11820.00	11651.33	USD	4,048.08	
15SEP17	B	5	PD11DEC17	11086.80	11651.33	USD	16,935.90	
	NET	0AVG	BGHT 11449.15				19,266.00	0.00
			SOLD 11696.15					
12SEP17	B	1	PD12DEC17	11675.00	11652.01	USD	-137.94	
12SEP17	B	4	PD12DEC17	11670.00	11652.01	USD	-431.76	
12SEP17	B	1	PD12DEC17	11655.00	11652.01	USD	-17.94	
12SEP17	B	2	PD12DEC17	11645.00	11652.01	USD	84.12	
12SEP17	B	2	PD12DEC17	11640.00	11652.01	USD	144.12	
12SEP17	B	3	PD12DEC17	11650.00	11652.01	USD	36.18	
12SEP17	B	2	PD12DEC17	11725.00	11652.01	USD	-875.88	
12SEP17	S	1	PD12DEC17	11910.00	11652.01	USD	1,547.94	
12SEP17	S	1	PD12DEC17	11915.00	11652.01	USD	1,577.94	
12SEP17	S	2	PD12DEC17	11925.00	11652.01	USD	3,275.88	
12SEP17	S	1	PD12DEC17	11950.00	11652.01	USD	1,787.94	
12SEP17	S	1	PD12DEC17	11960.00	11652.01	USD	1,847.94	
12SEP17	S	1	PD12DEC17	11970.00	11652.01	USD	1,907.94	
12SEP17	S	3	PD12DEC17	11965.00	11652.01	USD	5,633.82	
12SEP17	S	2	PD12DEC17	11955.00	11652.01	USD	3,635.88	
12SEP17	B	2	PD12DEC17	11930.00	11652.01	USD	-3,335.88	
13SEP17	S	5	PD12DEC17	11358.50	11652.01	USD	-8,805.30	
	NET	0AVG	BGHT 11696.47				7,875.00	0.00
			SOLD 11773.68					
13SEP17	B	2	PD13DEC17	11930.00	11652.70	USD	-3,327.60	
13SEP17	B	2	PD13DEC17	11815.00	11652.70	USD	-1,947.60	
13SEP17	B	1	PD13DEC17	11770.00	11652.70	USD	-703.80	
13SEP17	B	2	PD13DEC17	11740.00	11652.70	USD	-1,047.60	
13SEP17	B	1	PD13DEC17	11745.00	11652.70	USD	-553.80	
13SEP17	B	1	PD13DEC17	11565.00	11652.70	USD	526.20	
13SEP17	B	2	PD13DEC17	11575.00	11652.70	USD	932.40	
13SEP17	B	2	PD13DEC17	11570.00	11652.70	USD	992.40	
13SEP17	B	2	PD13DEC17	11555.00	11652.70	USD	1,172.40	
13SEP17	B	5	PD13DEC17	11580.00	11652.70	USD	2,181.00	
13SEP17	B	1	PD13DEC17	11380.00	11652.70	USD	1,636.20	
13SEP17	B	3	PD13DEC17	11370.00	11652.70	USD	5,088.60	
13SEP17	B	1	PD13DEC17	11390.00	11652.70	USD	1,576.20	
13SEP17	B	1	PD13DEC17	11460.00	11652.70	USD	1,156.20	
13SEP17	B	2	PD13DEC17	11455.00	11652.70	USD	2,372.40	
13SEP17	B	3	PD13DEC17	11385.00	11652.70	USD	4,818.60	
13SEP17	B	3	PD13DEC17	11375.00	11652.70	USD	4,998.60	
15SEP17	S	10	PD13DEC17	11087.40	11652.70	USD	-33,918.00	
15SEP17	S	24	PD13DEC17	11088.40	11652.70	USD	-81,259.20	
	NET	0AVG	BGHT 11555.29				-95,306.40	0.00
			SOLD 11088.11					
14SEP17	S	1	PD14DEC17	11460.00	11653.39	USD	-1,160.34	
14SEP17	S	2	PD14DEC17	11465.00	11653.39	USD	-2,260.68	
14SEP17	S	1	PD14DEC17	11500.00	11653.39	USD	-920.34	
14SEP17	S	2	PD14DEC17	11495.00	11653.39	USD	-1,900.68	
14SEP17	S	1	PD14DEC17	11510.00	11653.39	USD	-860.34	
14SEP17	S	3	PD14DEC17	11515.00	11653.39	USD	-2,491.02	
14SEP17	S	2	PD14DEC17	11455.00	11653.39	USD	-2,380.68	
14SEP17	S	2	PD14DEC17	11470.00	11653.39	USD	-2,200.68	
14SEP17	S	2	PD14DEC17	11335.00	11653.39	USD	-3,820.68	
14SEP17	B	1	PD14DEC17	11305.00	11653.39	USD	2,090.34	
14SEP17	B	1	PD14DEC17	11290.00	11653.39	USD	2,180.34	
14SEP17	B	1	PD14DEC17	11285.00	11653.39	USD	2,210.34	
14SEP17	B	2	PD14DEC17	11280.00	11653.39	USD	4,480.68	
14SEP17	B	1	PD14DEC17	11245.00	11653.39	USD	2,450.34	
14SEP17	B	1	PD14DEC17	11265.00	11653.39	USD	2,330.34	

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14SEP17	B	4	PD14DEC17	11185.00	11653.39	USD	11,241.36	
14SEP17	B	2	PD14DEC17	11205.00	11653.39	USD	5,380.68	
14SEP17	S	2	PD14DEC17	11205.00	11653.39	USD	-5,380.68	
14SEP17	B	1	PD14DEC17	11195.00	11653.39	USD	2,750.34	
14SEP17	B	1	PD14DEC17	11165.00	11653.39	USD	2,930.34	
14SEP17	S	1	PD14DEC17	11160.00	11653.39	USD	-2,960.34	
14SEP17	S	1	PD14DEC17	11150.00	11653.39	USD	-3,020.34	
14SEP17	S	1	PD14DEC17	11470.00	11653.39	USD	-1,100.34	
14SEP17	S	3	PD14DEC17	11265.00	11653.39	USD	-6,991.02	
14SEP17	S	8	PD14DEC17	11270.00	11653.39	USD	-18,402.72	
14SEP17	S	6	PD14DEC17	11275.00	11653.39	USD	-13,622.04	
14SEP17	S	1	PD14DEC17	11290.00	11653.39	USD	-2,180.34	
14SEP17	S	4	PD14DEC17	11300.00	11653.39	USD	-8,481.36	
14SEP17	S	2	PD14DEC17	11315.00	11653.39	USD	-4,060.68	
14SEP17	S	2	PD14DEC17	11305.00	11653.39	USD	-4,180.68	
14SEP17	S	5	PD14DEC17	11260.00	11653.39	USD	-11,801.70	
14SEP17	S	3	PD14DEC17	11280.00	11653.39	USD	-6,721.02	
14SEP17	B	2	PD14DEC17	11210.00	11653.39	USD	5,320.68	
14SEP17	B	1	PD14DEC17	11225.00	11653.39	USD	2,570.34	
14SEP17	B	1	PD14DEC17	11215.00	11653.39	USD	2,630.34	
14SEP17	B	1	PD14DEC17	11220.00	11653.39	USD	2,600.34	
14SEP17	B	6	PD14DEC17	11200.00	11653.39	USD	16,322.04	
15SEP17	B	24	PD14DEC17	11090.20	11653.39	USD	81,099.36	
20SEP17	B	5	PD14DEC17	11375.37	11653.39	USD	8,340.60	
	NET	0 AVG BGHT 11177.85	SOLD 11329.45				50,030.10	0.00
15SEP17	B	1	PD15DEC17	11130.00	11654.07	USD	3,144.42	
15SEP17	B	1	PD15DEC17	11135.00	11654.07	USD	3,114.42	
15SEP17	B	1	PD15DEC17	11170.00	11654.07	USD	2,904.42	
15SEP17	S	1	PD15DEC17	11100.00	11654.07	USD	-3,324.42	
15SEP17	S	1	PD15DEC17	11090.00	11654.07	USD	-3,384.42	
15SEP17	S	1	PD15DEC17	11125.00	11654.07	USD	-3,174.42	
15SEP17	S	1	PD15DEC17	11110.00	11654.07	USD	-3,264.42	
15SEP17	S	1	PD15DEC17	11120.00	11654.07	USD	-3,204.42	
15SEP17	B	1	PD15DEC17	11160.00	11654.07	USD	2,964.42	
15SEP17	B	2	PD15DEC17	11140.00	11654.07	USD	6,168.84	
15SEP17	S	1	PD15DEC17	10970.00	11654.07	USD	-4,104.42	
15SEP17	S	1	PD15DEC17	10955.00	11654.07	USD	-4,194.42	
15SEP17	S	1	PD15DEC17	10930.00	11654.07	USD	-4,344.42	
15SEP17	S	1	PD15DEC17	10940.00	11654.07	USD	-4,284.42	
15SEP17	S	3	PD15DEC17	10935.00	11654.07	USD	-12,943.26	
15SEP17	S	2	PD15DEC17	11050.00	11654.07	USD	-7,248.84	
15SEP17	S	1	PD15DEC17	11095.00	11654.07	USD	-3,354.42	
15SEP17	B	4	PD15DEC17	11105.00	11654.07	USD	13,177.68	
20SEP17	B	5	PD15DEC17	11376.14	11654.07	USD	8,337.90	
	NET	0 AVG BGHT 11211.71	SOLD 11022.67				-17,014.20	0.00
18SEP17	S	2	PD18DEC17	11185.00	11656.13	USD	-5,653.56	
20SEP17	B	2	PD18DEC17	11378.46	11656.13	USD	3,332.04	
	NET	0 AVG BGHT 11378.46	SOLD 11185.00				-2,321.52	0.00
19SEP17	S	1	PD19DEC17	11175.00	11656.81	USD	-2,890.86	
19SEP17	B	1	PD19DEC17	11135.00	11656.81	USD	3,130.86	
19SEP17	B	2	PD19DEC17	11140.00	11656.81	USD	6,201.72	
19SEP17	B	4	PD19DEC17	11120.00	11656.81	USD	12,883.44	
19SEP17	B	1	PD19DEC17	11110.00	11656.81	USD	3,280.86	
19SEP17	B	3	PD19DEC17	11115.00	11656.81	USD	9,752.58	
19SEP17	B	5	PD19DEC17	11100.00	11656.81	USD	16,704.30	
19SEP17	B	3	PD19DEC17	11095.00	11656.81	USD	10,112.58	

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
19SEP17	B	1	PD19DEC17	11040.00	11656.81	USD	3,700.86	
19SEP17	B	1	PD19DEC17	11035.00	11656.81	USD	3,730.86	
19SEP17	B	1	PD19DEC17	10985.00	11656.81	USD	4,030.86	
19SEP17	B	1	PD19DEC17	10995.00	11656.81	USD	3,970.86	
19SEP17	S	2	PD19DEC17	11000.00	11656.81	USD	-7,881.72	
19SEP17	B	2	PD19DEC17	10965.00	11656.81	USD	8,301.72	
19SEP17	S	1	PD19DEC17	11200.00	11656.81	USD	-2,740.86	
19SEP17	S	1	PD19DEC17	11210.00	11656.81	USD	-2,680.86	
19SEP17	S	1	PD19DEC17	11205.00	11656.81	USD	-2,710.86	
19SEP17	S	1	PD19DEC17	11185.00	11656.81	USD	-2,830.86	
20SEP17	S	12	PD19DEC17	11378.23	11656.81	USD	-20,057.76	
22SEP17	S	6	PD19DEC17	10417.11	11656.81	USD	-44,629.20	
	NET	0 AVG BGHT 11084.80	SOLD 11080.66				-621.48	0.00
20SEP17	B	1	PD20DEC17	11370.00	11657.50	USD	1,725.00	
20SEP17	B	1	PD20DEC17	11380.00	11657.50	USD	1,665.00	
20SEP17	B	1	PD20DEC17	11385.00	11657.50	USD	1,635.00	
20SEP17	B	1	PD20DEC17	11390.00	11657.50	USD	1,605.00	
20SEP17	B	3	PD20DEC17	11395.00	11657.50	USD	4,725.00	
20SEP17	B	1	PD20DEC17	11380.00	11657.50	USD	1,665.00	
20SEP17	S	2	PD20DEC17	11365.00	11657.50	USD	-3,510.00	
20SEP17	S	1	PD20DEC17	11395.00	11657.50	USD	-1,575.00	
20SEP17	S	1	PD20DEC17	11375.00	11657.50	USD	-1,695.00	
20SEP17	S	3	PD20DEC17	11355.00	11657.50	USD	-5,445.00	
20SEP17	S	2	PD20DEC17	11320.00	11657.50	USD	-4,050.00	
20SEP17	S	1	PD20DEC17	11310.00	11657.50	USD	-2,085.00	
20SEP17	S	1	PD20DEC17	11325.00	11657.50	USD	-1,995.00	
20SEP17	S	2	PD20DEC17	11330.00	11657.50	USD	-3,930.00	
20SEP17	S	2	PD20DEC17	11340.00	11657.50	USD	-3,810.00	
20SEP17	S	4	PD20DEC17	11350.00	11657.50	USD	-7,380.00	
20SEP17	S	4	PD20DEC17	11360.00	11657.50	USD	-7,140.00	
20SEP17	S	1	PD20DEC17	11325.00	11657.50	USD	-1,995.00	
20SEP17	B	10	PD20DEC17	11360.00	11657.50	USD	17,850.00	
22SEP17	B	6	PD20DEC17	10419.00	11657.50	USD	44,586.00	
	NET	0 AVG BGHT 11133.50	SOLD 11347.71				30,846.00	0.00
21SEP17	S	1	PD21DEC17	11110.00	11658.26	USD	-3,289.56	
21SEP17	B	1	PD21DEC17	11165.00	11658.26	USD	2,959.56	
21SEP17	S	1	PD21DEC17	11020.00	11658.26	USD	-3,829.56	
21SEP17	B	1	PD21DEC17	10975.00	11658.26	USD	4,099.56	
21SEP17	S	1	PD21DEC17	10965.00	11658.26	USD	-4,159.56	
21SEP17	B	1	PD21DEC17	11010.00	11658.26	USD	3,889.56	
21SEP17	B	5	PD21DEC17	11005.00	11658.26	USD	19,597.80	
21SEP17	B	2	PD21DEC17	11000.00	11658.26	USD	7,899.12	
21SEP17	B	4	PD21DEC17	10995.00	11658.26	USD	15,918.24	
21SEP17	B	1	PD21DEC17	11060.00	11658.26	USD	3,589.56	
21SEP17	B	5	PD21DEC17	11090.00	11658.26	USD	17,047.80	
21SEP17	B	1	PD21DEC17	11125.00	11658.26	USD	3,199.56	
21SEP17	B	3	PD21DEC17	11115.00	11658.26	USD	9,778.68	
21SEP17	B	5	PD21DEC17	11105.00	11658.26	USD	16,597.80	
21SEP17	B	3	PD21DEC17	11095.00	11658.26	USD	10,138.68	
21SEP17	B	4	PD21DEC17	11085.00	11658.26	USD	13,758.24	
21SEP17	B	1	PD21DEC17	11000.00	11658.26	USD	3,949.56	
21SEP17	B	1	PD21DEC17	11005.00	11658.26	USD	3,919.56	
21SEP17	B	1	PD21DEC17	11010.00	11658.26	USD	3,889.56	
21SEP17	B	1	PD21DEC17	11075.00	11658.26	USD	3,499.56	
21SEP17	B	3	PD21DEC17	11100.00	11658.26	USD	10,048.68	
21SEP17	B	1	PD21DEC17	10980.00	11658.26	USD	4,069.56	
21SEP17	B	1	PD21DEC17	10985.00	11658.26	USD	4,039.56	

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
21SEP17	S	1	PD21DEC17	10725.00	11658.26	USD	-5,599.56	
21SEP17	S	2	PD21DEC17	10730.00	11658.26	USD	-11,139.12	
21SEP17	S	3	PD21DEC17	10655.00	11658.26	USD	-18,058.68	
21SEP17	S	1	PD21DEC17	10650.00	11658.26	USD	-6,049.56	
21SEP17	S	2	PD21DEC17	10630.00	11658.26	USD	-12,339.12	
21SEP17	S	2	PD21DEC17	10635.00	11658.26	USD	-12,279.12	
21SEP17	S	2	PD21DEC17	10750.00	11658.26	USD	-10,899.12	
28SEP17	S	29	PD21DEC17	10431.00	11658.26	USD	-213,543.24	
	NET	0AVG	BGHT 11058.67	SOLD 10542.76			-139,296.00	0.00
22SEP17	B	1	PD22DEC17	10590.00	11659.02	USD	6,414.12	
22SEP17	S	2	PD22DEC17	10615.00	11659.02	USD	-12,528.24	
22SEP17	S	2	PD22DEC17	10590.00	11659.02	USD	-12,828.24	
22SEP17	B	2	PD22DEC17	10585.00	11659.02	USD	12,888.24	
22SEP17	B	1	PD22DEC17	10600.00	11659.02	USD	6,354.12	
22SEP17	B	4	PD22DEC17	10610.00	11659.02	USD	25,176.48	
22SEP17	B	2	PD22DEC17	10580.00	11659.02	USD	12,948.24	
22SEP17	B	1	PD22DEC17	10605.00	11659.02	USD	6,324.12	
22SEP17	B	4	PD22DEC17	10575.00	11659.02	USD	26,016.48	
22SEP17	B	1	PD22DEC17	10570.00	11659.02	USD	6,534.12	
22SEP17	B	1	PD22DEC17	10470.00	11659.02	USD	7,134.12	
22SEP17	B	1	PD22DEC17	10480.00	11659.02	USD	7,074.12	
22SEP17	B	1	PD22DEC17	10455.00	11659.02	USD	7,224.12	
22SEP17	B	1	PD22DEC17	10440.00	11659.02	USD	7,314.12	
22SEP17	B	1	PD22DEC17	10435.00	11659.02	USD	7,344.12	
22SEP17	B	2	PD22DEC17	10445.00	11659.02	USD	14,568.24	
22SEP17	B	3	PD22DEC17	10460.00	11659.02	USD	21,582.36	
22SEP17	B	1	PD22DEC17	10525.00	11659.02	USD	6,804.12	
28SEP17	S	23	PD22DEC17	10432.00	11659.02	USD	-169,328.76	
	NET	0AVG	BGHT 10537.41	SOLD 10457.26			-12,984.00	0.00
25SEP17	S	2	PD27DEC17	10605.00	11662.83	USD	-12,693.96	
25SEP17	S	2	PD27DEC17	10610.00	11662.83	USD	-12,633.96	
25SEP17	S	1	PD27DEC17	10520.00	11662.83	USD	-6,856.98	
25SEP17	S	1	PD27DEC17	10590.00	11662.83	USD	-6,436.98	
25SEP17	S	1	PD27DEC17	10585.00	11662.83	USD	-6,466.98	
25SEP17	S	2	PD27DEC17	10620.00	11662.83	USD	-12,513.96	
25SEP17	S	6	PD27DEC17	10615.00	11662.83	USD	-37,721.98	
25SEP17	S	2	PD27DEC17	10575.00	11662.83	USD	-13,053.96	
25SEP17	S	2	PD27DEC17	10545.00	11662.83	USD	-13,413.96	
25SEP17	S	1	PD27DEC17	10495.00	11662.83	USD	-7,006.98	
25SEP17	S	1	PD27DEC17	10600.00	11662.83	USD	-6,376.98	
25SEP17	S	1	PD27DEC17	10580.00	11662.83	USD	-6,496.98	
25SEP17	S	2	PD27DEC17	10525.00	11662.83	USD	-13,653.96	
25SEP17	B	3	PD27DEC17	10535.00	11662.83	USD	20,300.94	
25SEP17	B	1	PD27DEC17	10530.00	11662.83	USD	6,796.98	
25SEP17	B	1	PD27DEC17	10475.00	11662.83	USD	7,126.98	
25SEP17	B	1	PD27DEC17	10465.00	11662.83	USD	7,186.98	
25SEP17	B	1	PD27DEC17	10440.00	11662.83	USD	7,336.98	
25SEP17	S	4	PD27DEC17	10560.00	11662.83	USD	-26,467.92	
25SEP17	S	6	PD27DEC17	10565.00	11662.83	USD	-39,521.88	
25SEP17	S	1	PD27DEC17	10570.00	11662.83	USD	-6,556.98	
25SEP17	S	2	PD27DEC17	10595.00	11662.83	USD	-12,813.96	
25SEP17	B	1	PD27DEC17	10580.00	11662.83	USD	6,496.98	
25SEP17	S	1	PD27DEC17	10565.00	11662.83	USD	-6,586.98	
25SEP17	S	1	PD27DEC17	10575.00	11662.83	USD	-6,526.98	
26SEP17	B	4	PD27DEC17	10480.00	11662.83	USD	28,387.92	
26SEP17	B	6	PD27DEC17	10475.00	11662.83	USD	42,761.88	
26SEP17	B	1	PD27DEC17	10440.00	11662.83	USD	7,336.98	

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26SEP17	B	1	PD27DEC17	10430.00	11662.83	USD	7,396.98	
26SEP17	B	1	PD27DEC17	10410.00	11662.83	USD	7,516.98	
26SEP17	B	3	PD27DEC17	10415.00	11662.83	USD	22,460.94	
26SEP17	B	3	PD27DEC17	10455.00	11662.83	USD	21,740.94	
26SEP17	B	2	PD27DEC17	10445.00	11662.83	USD	14,613.96	
26SEP17	B	1	PD27DEC17	10450.00	11662.83	USD	7,276.98	
26SEP17	B	1	PD27DEC17	10510.00	11662.83	USD	6,916.98	
26SEP17	B	1	PD27DEC17	10545.00	11662.83	USD	6,706.98	
26SEP17	B	3	PD27DEC17	10500.00	11662.83	USD	20,930.94	
26SEP17	B	4	PD27DEC17	10495.00	11662.83	USD	28,027.92	
26SEP17	B	3	PD27DEC17	10505.00	11662.83	USD	20,840.94	
26SEP17	B	2	PD27DEC17	10485.00	11662.83	USD	14,133.96	
26SEP17	B	3	PD27DEC17	10490.00	11662.83	USD	21,110.94	
27SEP17	S	3	PD27DEC17	10490.00	11662.83	USD	-21,110.94	
27SEP17	S	2	PD27DEC17	10485.00	11662.83	USD	-14,133.96	
27SEP17	S	2	PD27DEC17	10505.00	11662.83	USD	-13,893.96	
27SEP17	S	9	PD27DEC17	10520.00	11662.83	USD	-61,712.82	
27SEP17	S	6	PD27DEC17	10525.00	11662.83	USD	-40,961.88	
27SEP17	S	2	PD27DEC17	10555.00	11662.83	USD	-13,293.96	
27SEP17	S	8	PD27DEC17	10560.00	11662.83	USD	-52,935.84	
27SEP17	S	3	PD27DEC17	10590.00	11662.83	USD	-19,310.94	
27SEP17	S	2	PD27DEC17	10595.00	11662.83	USD	-12,813.96	
27SEP17	S	1	PD27DEC17	10480.00	11662.83	USD	-7,096.98	
27SEP17	S	4	PD27DEC17	10485.00	11662.83	USD	-28,267.92	
27SEP17	S	3	PD27DEC17	10520.00	11662.83	USD	-20,570.94	
28SEP17	B	37	PD27DEC17	10439.67	11662.83	USD	271,541.52	
	NET	0AVG BGHT 10462.53	SOLD 10551.90				45,043.26	0.00
28SEP17	S	4	PD28DEC17	10300.00	11663.59	USD	-32,726.16	
28SEP17	S	1	PD28DEC17	10295.00	11663.59	USD	-8,211.54	
28SEP17	S	3	PD28DEC17	10275.00	11663.59	USD	-24,994.62	
28SEP17	S	2	PD28DEC17	10270.00	11663.59	USD	-16,723.08	
28SEP17	S	3	PD28DEC17	10245.00	11663.59	USD	-25,534.62	
28SEP17	S	2	PD28DEC17	10245.00	11663.59	USD	-17,023.08	
28SEP17	B	15	PD28DEC17	10441.00	11663.59	USD	110,033.10	
130CT17	S	10	PD28DEC17	11663.59	11663.59	USD	0.00	
	NET	-10AVG BGHT 10441.00	SOLD 10828.84				-15,180.00	0.00
130CT17	S	32	PD29DEC17	11664.35	11664.35	USD	0.00	
	NET	-32AVG SOLD 11664.35					0.00	0.00
130CT17	B	10	PD09JAN18	11673.72	11672.72	USD	-60.00	
	NET	+10AVG BGHT 11673.72					-60.00	0.00
130CT17	S	7	PD10JAN18	11673.48	11673.48	USD	0.00	
	NET	-7AVG SOLD 11673.48					0.00	0.00
130CT17	B	39	PD11JAN18	11675.24	11674.24	USD	-234.00	
	NET	+39AVG BGHT 11675.24					-234.00	0.00
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18JUL17	B	1	PD18OCT17	20035.00	20735.00	USD	3,500.00	
18JUL17	B	3	PD18OCT17	20040.00	20735.00	USD	10,425.00	
20JUL17	S	2	PD18OCT17	20090.00	20735.00	USD	-6,450.00	
24JUL17	S	1	PD18OCT17	20195.00	20735.00	USD	-2,700.00	
27JUL17	S	1	PD18OCT17	20690.00	20735.00	USD	-225.00	
	NET	0AVG BGHT 20038.75	SOLD 20266.25				4,550.00	0.00
19JUL17	S	1	PD19OCT17	20050.00	20732.50	USD	-3,412.50	
19JUL17	S	1	PD19OCT17	20035.00	20732.50	USD	-3,487.50	
20JUL17	B	2	PD19OCT17	20081.00	20732.50	USD	6,515.00	

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	NET	0 AVG	BGHT	20081.00	SOLD	20042.50		-385.00	0.00
20JUL17	S	1	PD200CT17		20095.00	20730.00	USD	-3,175.00	
24JUL17	B	1	PD200CT17		20166.00	20730.00	USD	2,820.00	
	NET	0 AVG	BGHT	20166.00	SOLD	20095.00		-355.00	0.00
24JUL17	B	1	PD240CT17		20120.00	20725.00	USD	3,025.00	
04AUG17	S	1	PD240CT17		20530.00	20725.00	USD	-975.00	
	NET	0 AVG	BGHT	20120.00	SOLD	20530.00		2,050.00	0.00
25JUL17	S	1	PD250CT17		20325.00	20722.50	USD	-1,987.50	
27JUL17	B	1	PD250CT17		20662.43	20722.50	USD	300.35	
	NET	0 AVG	BGHT	20662.43	SOLD	20325.00		-1,687.15	0.00
27JUL17	B	1	PD270CT17		20400.00	20717.50	USD	1,587.50	
27JUL17	S	1	PD270CT17		20700.00	20717.50	USD	-87.50	
28JUL17	S	1	PD270CT17		20825.00	20717.50	USD	537.50	
28JUL17	B	2	PD270CT17		20685.00	20717.50	USD	325.00	
28JUL17	B	1	PD270CT17		20655.00	20717.50	USD	312.50	
04AUG17	S	2	PD270CT17		20522.50	20717.50	USD	-1,950.00	
	NET	0 AVG	BGHT	20606.25	SOLD	20642.50		725.00	0.00
31JUL17	S	1	PD310CT17		20845.00	20712.50	USD	662.50	
04AUG17	B	1	PD310CT17		20518.50	20712.50	USD	970.00	
	NET	0 AVG	BGHT	20518.50	SOLD	20845.00		1,632.50	0.00
01AUG17	S	1	PD01NOV17		20620.00	20710.00	USD	-450.00	
01AUG17	S	1	PD01NOV17		20650.00	20710.00	USD	-300.00	
04AUG17	B	2	PD01NOV17		20516.00	20710.00	USD	1,940.00	
	NET	0 AVG	BGHT	20516.00	SOLD	20635.00		1,190.00	0.00
04AUG17	S	1	PD03NOV17		20620.00	20705.00	USD	-425.00	
04AUG17	B	1	PD03NOV17		20560.00	20705.00	USD	725.00	
	NET	0 AVG	BGHT	20560.00	SOLD	20620.00		300.00	0.00
07AUG17	B	1	PD07NOV17		20575.00	20700.00	USD	625.00	
07AUG17	B	1	PD07NOV17		20560.00	20700.00	USD	700.00	
15AUG17	S	2	PD07NOV17		20109.00	20700.00	USD	-5,910.00	
	NET	0 AVG	BGHT	20567.50	SOLD	20109.00		-4,585.00	0.00
08AUG17	B	1	PD08NOV17		20425.00	20697.50	USD	1,362.50	
08AUG17	B	1	PD08NOV17		20310.00	20697.50	USD	1,937.50	
08AUG17	B	1	PD08NOV17		20215.00	20697.50	USD	2,412.50	
08AUG17	B	1	PD08NOV17		20175.00	20697.50	USD	2,612.50	
08AUG17	B	1	PD08NOV17		20345.00	20697.50	USD	1,762.50	
15AUG17	S	5	PD08NOV17		20107.50	20697.50	USD	-14,750.00	
	NET	0 AVG	BGHT	20294.00	SOLD	20107.50		-4,662.50	0.00
10AUG17	S	1	PD10NOV17		20390.00	20692.50	USD	-1,512.50	
10AUG17	B	1	PD10NOV17		20260.00	20692.50	USD	2,162.50	
10AUG17	B	1	PD10NOV17		20285.00	20692.50	USD	2,037.50	
10AUG17	S	1	PD10NOV17		20430.00	20692.50	USD	-1,312.50	
10AUG17	B	1	PD10NOV17		20300.00	20692.50	USD	1,962.50	
10AUG17	S	1	PD10NOV17		20345.00	20692.50	USD	-1,737.50	
10AUG17	B	1	PD10NOV17		20375.00	20692.50	USD	1,587.50	
11AUG17	S	1	PD10NOV17		20215.00	20692.50	USD	-2,387.50	
11AUG17	S	1	PD10NOV17		20200.00	20692.50	USD	-2,462.50	
11AUG17	B	1	PD10NOV17		20305.00	20692.50	USD	1,937.50	
11AUG17	S	1	PD10NOV17		20350.00	20692.50	USD	-1,712.50	
11AUG17	S	1	PD10NOV17		20345.00	20692.50	USD	-1,737.50	
15AUG17	B	2	PD10NOV17		20105.50	20692.50	USD	5,870.00	
	NET	0 AVG	BGHT	20248.00	SOLD	20325.00		2,695.00	0.00
14AUG17	S	1	PD14NOV17		20235.00	20687.50	USD	-2,262.50	
14AUG17	S	1	PD14NOV17		20285.00	20687.50	USD	-2,012.50	

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	<u>LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
14AUG17	S	1	PD14NOV17	20260.00	20687.50	USD	-2,137.50	
14AUG17	S	1	PD14NOV17	20305.00	20687.50	USD	-1,912.50	
14AUG17	S	1	PD14NOV17	20295.00	20687.50	USD	-1,962.50	
15AUG17	B	5	PD14NOV17	20102.50	20687.50	USD	14,625.00	
	NET	0 AVG	BGHT 20102.50				4,337.50	0.00
15AUG17	B	1	PD15NOV17	20115.00	20685.00	USD	2,850.00	
15AUG17	B	1	PD15NOV17	19800.00	20685.00	USD	4,425.00	
15AUG17	B	1	PD15NOV17	19970.00	20685.00	USD	3,575.00	
15AUG17	S	1	PD15NOV17	19930.00	20685.00	USD	-3,775.00	
18AUG17	S	2	PD15NOV17	20260.00	20685.00	USD	-4,250.00	
	NET	0 AVG	BGHT 19961.67				2,825.00	0.00
16AUG17	S	2	PD16NOV17	19940.00	20683.13	USD	-7,431.30	
18AUG17	B	2	PD16NOV17	20251.00	20683.13	USD	4,321.30	
	NET	0 AVG	BGHT 20251.00				-3,110.00	0.00
17AUG17	S	1	PD17NOV17	20310.00	20681.25	USD	-1,856.25	
18AUG17	S	1	PD17NOV17	20175.00	20681.25	USD	-2,531.25	
18AUG17	B	1	PD17NOV17	20165.00	20681.25	USD	2,581.25	
18AUG17	S	1	PD17NOV17	20280.00	20681.25	USD	-2,006.25	
25AUG17	B	2	PD17NOV17	20338.33	20681.25	USD	3,429.20	
	NET	0 AVG	BGHT 20280.55				-383.30	0.00
21AUG17	S	1	PD21NOV17	20400.00	20677.50	USD	-1,387.50	
04SEP17	B	1	PD21NOV17	20820.00	20677.50	USD	-712.50	
	NET	0 AVG	BGHT 20820.00				-2,100.00	0.00
22AUG17	S	1	PD22NOV17	20430.00	20675.63	USD	-1,228.15	
22AUG17	S	1	PD22NOV17	20435.00	20675.63	USD	-1,203.15	
22AUG17	B	1	PD22NOV17	20300.00	20675.63	USD	1,878.15	
22AUG17	S	1	PD22NOV17	20275.00	20675.63	USD	-2,003.15	
04SEP17	B	2	PD22NOV17	20816.25	20675.63	USD	-1,406.20	
	NET	0 AVG	BGHT 20644.17				-3,962.50	0.00
24AUG17	B	1	PD24NOV17	20425.00	20673.75	USD	1,243.75	
24AUG17	B	1	PD24NOV17	20400.00	20673.75	USD	1,368.75	
25AUG17	S	2	PD24NOV17	20324.00	20673.75	USD	-3,497.50	
	NET	0 AVG	BGHT 20412.50				-885.00	0.00
29AUG17	B	1	PD29NOV17	20525.00	20668.13	USD	715.65	
29AUG17	B	1	PD29NOV17	20500.00	20668.13	USD	840.65	
29AUG17	B	1	PD29NOV17	20680.00	20668.13	USD	-59.35	
04SEP17	S	3	PD29NOV17	20800.25	20668.13	USD	1,981.80	
	NET	0 AVG	BGHT 20568.33				3,478.75	0.00
30AUG17	B	1	PD30NOV17	20445.00	20666.25	USD	1,106.25	
04SEP17	S	1	PD30NOV17	20797.50	20666.25	USD	656.25	
	NET	0 AVG	BGHT 20445.00				1,762.50	0.00
01SEP17	S	1	PD01DEC17	20710.00	20664.38	USD	228.10	
04SEP17	B	1	PD01DEC17	20794.75	20664.38	USD	-651.85	
	NET	0 AVG	BGHT 20794.75				-423.75	0.00
05SEP17	S	2	PD05DEC17	20710.00	20660.63	USD	493.70	
05SEP17	S	1	PD05DEC17	20690.00	20660.63	USD	146.85	
08SEP17	B	1	PD05DEC17	20556.56	20660.63	USD	520.35	
20SEP17	B	1	PD05DEC17	20643.33	20660.63	USD	86.50	
22SEP17	B	1	PD05DEC17	20577.08	20660.63	USD	417.75	
	NET	0 AVG	BGHT 20592.32				1,665.15	0.00
06SEP17	S	1	PD06DEC17	20770.00	20658.75	USD	556.25	
22SEP17	B	1	PD06DEC17	20574.17	20658.75	USD	422.90	
	NET	0 AVG	BGHT 20574.17				979.15	0.00
07SEP17	S	1	PD07DEC17	20760.00	20656.88	USD	515.60	

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	<u>LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
07SEP17	B	2	PD07DEC17	20730.00	20656.88	USD	-731.20	
08SEP17	S	1	PD07DEC17	20551.19	20656.88	USD	-528.45	
	NET	0AVG BGHT 20730.00	SOLD 20655.60				-744.05	0.00
08SEP17	B	1	PD08DEC17	20750.00	20655.00	USD	-475.00	
08SEP17	B	1	PD08DEC17	20800.00	20655.00	USD	-725.00	
08SEP17	S	1	PD08DEC17	20670.00	20655.00	USD	75.00	
08SEP17	S	1	PD08DEC17	20665.00	20655.00	USD	50.00	
08SEP17	S	1	PD08DEC17	20550.00	20655.00	USD	-525.00	
28SEP17	B	1	PD08DEC17	20655.00	20655.00	USD	0.00	
	NET	0AVG BGHT 20735.00	SOLD 20628.33				-1,600.00	0.00
11SEP17	S	1	PD11DEC17	20650.00	20653.13	USD	-15.65	
28SEP17	B	1	PD11DEC17	20653.75	20653.13	USD	-3.10	
	NET	0AVG BGHT 20653.75	SOLD 20650.00				-18.75	0.00
14SEP17	B	1	PD14DEC17	20675.00	20647.50	USD	-137.50	
14SEP17	S	1	PD14DEC17	20615.00	20647.50	USD	-162.50	
	NET	0AVG BGHT 20675.00	SOLD 20615.00				-300.00	0.00
15SEP17	S	1	PD15DEC17	20525.00	20645.63	USD	-603.15	
28SEP17	B	1	PD15DEC17	20648.75	20645.63	USD	-15.60	
	NET	0AVG BGHT 20648.75	SOLD 20525.00				-618.75	0.00
18SEP17	S	1	PD18DEC17	20630.00	20643.75	USD	-68.75	
28SEP17	B	1	PD18DEC17	20647.50	20643.75	USD	-18.75	
	NET	0AVG BGHT 20647.50	SOLD 20630.00				-87.50	0.00
19SEP17	B	1	PD19DEC17	20660.00	20641.88	USD	-90.60	
20SEP17	S	1	PD19DEC17	20625.67	20641.88	USD	-81.05	
	NET	0AVG BGHT 20660.00	SOLD 20625.67				-171.65	0.00
20SEP17	B	1	PD20DEC17	20720.00	20640.00	USD	-400.00	
20SEP17	B	1	PD20DEC17	20765.00	20640.00	USD	-625.00	
22SEP17	S	2	PD20DEC17	20544.00	20640.00	USD	-960.00	
	NET	0AVG BGHT 20742.50	SOLD 20544.00				-1,985.00	0.00
28SEP17	B	1	PD28DEC17	20650.00	20628.57	USD	-107.15	
28SEP17	B	2	PD28DEC17	20625.00	20628.57	USD	35.70	
28SEP17	B	1	PD28DEC17	20635.00	20628.57	USD	-32.15	
28SEP17	S	4	PD28DEC17	20599.00	20628.57	USD	-591.40	
13OCT17	B	2	PD28DEC17	20628.57	20628.57	USD	0.00	
	NET	+2AVG BGHT 20632.02	SOLD 20599.00				-695.00	0.00
13OCT17	S	2	PD09JAN18	20607.57	20608.57	USD	-10.00	
	NET	-2AVG SOLD 20607.57					-10.00	0.00
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
17JUL17	S	1	PD17OCT17	2788.00	3294.00	USD	-12,650.00	
17JUL17	B	1	PD17OCT17	2826.50	3294.00	USD	11,687.50	
17JUL17	B	1	PD17OCT17	2830.50	3294.00	USD	11,587.50	
04AUG17	S	1	PD17OCT17	2809.83	3294.00	USD	-12,104.25	
	NET	0AVG BGHT 2828.50	SOLD 2798.92				-1,479.25	0.00
18JUL17	S	1	PD18OCT17	2791.00	3291.00	USD	-12,500.00	
18JUL17	S	1	PD18OCT17	2791.50	3291.00	USD	-12,487.50	
18JUL17	B	1	PD18OCT17	2787.00	3291.00	USD	12,600.00	
18JUL17	B	1	PD18OCT17	2787.00	3291.00	USD	12,600.00	
	NET	0AVG BGHT 2787.00	SOLD 2791.25				212.50	0.00
20JUL17	B	2	PD20OCT17	2756.50	3282.00	USD	26,275.00	
20JUL17	S	4	PD20OCT17	2748.00	3282.00	USD	-53,400.00	
20JUL17	B	2	PD20OCT17	2746.50	3282.00	USD	26,775.00	
20JUL17	S	2	PD20OCT17	2745.50	3282.00	USD	-26,825.00	

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20JUL17	S	2	PD20OCT17	2745.00	3282.00	USD	-26,850.00	
21JUL17	S	2	PD20OCT17	2774.00	3282.00	USD	-25,400.00	
24JUL17	B	6	PD20OCT17	2787.80	3282.00	USD	74,130.00	
	NET	0 AVG	BGHT 2773.28	SOLD 2752.10			-5,295.00	0.00
25JUL17	B	2	PD25OCT17	2785.50	3273.00	USD	24,375.00	
25JUL17	S	2	PD25OCT17	2804.00	3273.00	USD	-23,450.00	
	NET	0 AVG	BGHT 2785.50	SOLD 2804.00			925.00	0.00
28JUL17	S	2	PD27OCT17	2807.00	3270.73	USD	-23,186.50	
04AUG17	B	2	PD27OCT17	2810.70	3270.73	USD	23,001.50	
	NET	0 AVG	BGHT 2810.70	SOLD 2807.00			-185.00	0.00
03AUG17	B	2	PD03NOV17	2812.50	3265.07	USD	22,628.50	
04AUG17	B	2	PD03NOV17	2812.00	3265.07	USD	22,653.50	
11AUG17	S	4	PD03NOV17	2895.25	3265.07	USD	-36,982.00	
	NET	0 AVG	BGHT 2812.25	SOLD 2895.25			8,300.00	0.00
07AUG17	S	2	PD07NOV17	2799.50	3262.80	USD	-23,165.00	
11AUG17	B	2	PD07NOV17	2896.16	3262.80	USD	18,332.00	
	NET	0 AVG	BGHT 2896.16	SOLD 2799.50			-4,833.00	0.00
08AUG17	B	2	PD08NOV17	2877.50	3261.67	USD	19,208.50	
08AUG17	B	1	PD08NOV17	2935.00	3261.67	USD	8,166.75	
11AUG17	S	2	PD08NOV17	2896.14	3261.67	USD	-18,276.50	
15AUG17	S	1	PD08NOV17	2958.56	3261.67	USD	-7,577.75	
	NET	0 AVG	BGHT 2896.67	SOLD 2916.95			1,521.00	0.00
09AUG17	S	2	PD09NOV17	2972.00	3260.53	USD	-14,426.50	
09AUG17	S	2	PD09NOV17	2953.50	3260.53	USD	-15,351.50	
11AUG17	B	4	PD09NOV17	2896.52	3260.53	USD	36,401.00	
	NET	0 AVG	BGHT 2896.52	SOLD 2962.75			6,623.00	0.00
10AUG17	B	2	PD10NOV17	2922.00	3259.40	USD	16,870.00	
10AUG17	B	2	PD10NOV17	2921.00	3259.40	USD	16,920.00	
11AUG17	S	2	PD10NOV17	2912.00	3259.40	USD	-17,370.00	
11AUG17	S	2	PD10NOV17	2911.00	3259.40	USD	-17,420.00	
	NET	0 AVG	BGHT 2921.50	SOLD 2911.50			-1,000.00	0.00
14AUG17	S	1	PD14NOV17	2914.50	3257.13	USD	-8,565.75	
15AUG17	B	1	PD14NOV17	2959.57	3257.13	USD	7,439.00	
	NET	0 AVG	BGHT 2959.57	SOLD 2914.50			-1,126.75	0.00
16AUG17	B	2	PD16NOV17	3049.50	3253.50	USD	10,200.00	
16AUG17	B	1	PD16NOV17	3050.00	3253.50	USD	5,087.50	
16AUG17	B	2	PD16NOV17	3089.50	3253.50	USD	8,200.00	
16AUG17	B	2	PD16NOV17	3093.00	3253.50	USD	8,025.00	
16AUG17	B	1	PD16NOV17	3050.00	3253.50	USD	5,087.50	
16AUG17	B	2	PD16NOV17	3108.50	3253.50	USD	7,250.00	
23AUG17	S	7	PD16NOV17	3095.00	3253.50	USD	-27,737.50	
04SEP17	S	3	PD16NOV17	3201.50	3253.50	USD	-3,900.00	
	NET	0 AVG	BGHT 3078.10	SOLD 3126.95			12,212.50	0.00
17AUG17	B	3	PD17NOV17	3097.00	3251.00	USD	11,550.00	
17AUG17	B	2	PD17NOV17	3096.00	3251.00	USD	7,750.00	
18AUG17	S	2	PD17NOV17	3081.50	3251.00	USD	-8,475.00	
18AUG17	S	2	PD17NOV17	3082.00	3251.00	USD	-8,450.00	
18AUG17	B	2	PD17NOV17	3104.50	3251.00	USD	7,325.00	
18AUG17	B	2	PD17NOV17	3103.00	3251.00	USD	7,400.00	
18AUG17	S	4	PD17NOV17	3102.00	3251.00	USD	-14,900.00	
18AUG17	S	2	PD17NOV17	3101.50	3251.00	USD	-7,475.00	
18AUG17	S	2	PD17NOV17	3096.00	3251.00	USD	-7,750.00	
23AUG17	B	3	PD17NOV17	3094.70	3251.00	USD	11,722.50	
	NET	0 AVG	BGHT 3098.51	SOLD 3094.17			-1,302.50	0.00

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22AUG17	S	1	PD22NOV17	3119.50	3248.38	USD	-3,222.00	
22AUG17	S	2	PD22NOV17	3102.50	3248.38	USD	-7,294.00	
22AUG17	S	1	PD22NOV17	3114.00	3248.38	USD	-3,359.50	
23AUG17	B	4	PD22NOV17	3096.13	3248.38	USD	15,225.00	
	NET	0 AVG	BGHT 3096.13				1,349.50	0.00
23AUG17	B	1	PD24NOV17	3106.50	3247.50	USD	3,525.00	
23AUG17	B	1	PD24NOV17	3110.00	3247.50	USD	3,437.50	
23AUG17	B	1	PD24NOV17	3108.50	3247.50	USD	3,475.00	
24AUG17	S	1	PD24NOV17	3110.50	3247.50	USD	-3,425.00	
24AUG17	B	1	PD24NOV17	3120.50	3247.50	USD	3,175.00	
24AUG17	S	1	PD24NOV17	3116.50	3247.50	USD	-3,275.00	
25AUG17	S	1	PD24NOV17	3105.00	3247.50	USD	-3,562.50	
25AUG17	B	4	PD24NOV17	3134.00	3247.50	USD	11,350.00	
25AUG17	S	1	PD24NOV17	3098.50	3247.50	USD	-3,725.00	
25AUG17	S	1	PD24NOV17	3089.00	3247.50	USD	-3,962.50	
25AUG17	S	1	PD24NOV17	3077.50	3247.50	USD	-4,250.00	
25AUG17	S	1	PD24NOV17	3079.00	3247.50	USD	-4,212.50	
25AUG17	S	1	PD24NOV17	3066.50	3247.50	USD	-4,525.00	
25AUG17	S	1	PD24NOV17	3065.50	3247.50	USD	-4,550.00	
04SEP17	B	1	PD24NOV17	3203.95	3247.50	USD	1,088.75	
	NET	0 AVG	BGHT 3131.72				-9,436.25	0.00
29AUG17	S	1	PD29NOV17	3105.00	3246.75	USD	-3,543.75	
29AUG17	S	1	PD29NOV17	3099.50	3246.75	USD	-3,681.25	
29AUG17	S	1	PD29NOV17	3099.00	3246.75	USD	-3,693.75	
29AUG17	B	1	PD29NOV17	3112.00	3246.75	USD	3,368.75	
29AUG17	S	2	PD29NOV17	3098.00	3246.75	USD	-7,437.50	
29AUG17	B	1	PD29NOV17	3100.50	3246.75	USD	3,656.25	
29AUG17	S	1	PD29NOV17	3124.00	3246.75	USD	-3,068.75	
29AUG17	B	1	PD29NOV17	3119.00	3246.75	USD	3,193.75	
29AUG17	B	1	PD29NOV17	3116.00	3246.75	USD	3,268.75	
29AUG17	B	1	PD29NOV17	3116.50	3246.75	USD	3,256.25	
29AUG17	S	1	PD29NOV17	3122.00	3246.75	USD	-3,118.75	
04SEP17	B	2	PD29NOV17	3204.58	3246.75	USD	2,108.50	
	NET	0 AVG	BGHT 3139.02				-5,691.50	0.00
30AUG17	B	1	PD30NOV17	3109.50	3246.50	USD	3,425.00	
31AUG17	S	1	PD30NOV17	3128.00	3246.50	USD	-2,962.50	
31AUG17	B	1	PD30NOV17	3150.00	3246.50	USD	2,412.50	
08SEP17	S	1	PD30NOV17	3029.45	3246.50	USD	-5,426.25	
	NET	0 AVG	BGHT 3129.75				-2,551.25	0.00
01SEP17	B	1	PD01DEC17	3142.00	3246.25	USD	2,606.25	
01SEP17	S	1	PD01DEC17	3166.00	3246.25	USD	-2,006.25	
01SEP17	B	1	PD01DEC17	3154.50	3246.25	USD	2,293.75	
01SEP17	B	1	PD01DEC17	3174.00	3246.25	USD	1,806.25	
08SEP17	S	2	PD01DEC17	3029.65	3246.25	USD	-10,830.00	
	NET	0 AVG	BGHT 3156.83				-6,130.00	0.00
04SEP17	S	1	PD04DEC17	3194.50	3246.00	USD	-1,287.50	
04SEP17	S	1	PD04DEC17	3205.00	3246.00	USD	-1,025.00	
08SEP17	B	2	PD04DEC17	3030.43	3246.00	USD	10,778.50	
	NET	0 AVG	BGHT 3030.43				8,466.00	0.00
05SEP17	S	1	PD05DEC17	3175.50	3245.75	USD	-1,756.25	
05SEP17	S	2	PD05DEC17	3148.00	3245.75	USD	-4,887.50	
05SEP17	S	1	PD05DEC17	3100.50	3245.75	USD	-3,631.25	
08SEP17	B	1	PD05DEC17	3030.62	3245.75	USD	5,378.25	
08SEP17	B	3	PD05DEC17	3030.42	3245.75	USD	16,149.75	
	NET	0 AVG	BGHT 3030.47				11,253.00	0.00

OPEN POSITION STATEMENT



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SAP096AG

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>								
06SEP17	B	1	PD06DEC17		3109.00	3245.50	USD	3,412.50	
06SEP17	B	1	PD06DEC17		3107.00	3245.50	USD	3,462.50	
06SEP17	B	1	PD06DEC17		3106.50	3245.50	USD	3,475.00	
08SEP17	S	3	PD06DEC17		3030.41	3245.50	USD	-16,131.75	
	NET	0 AVG	BGHT 3107.50	SOLD 3030.41				-5,781.75	0.00
15SEP17	B	1	PD15DEC17		3037.00	3243.75	USD	5,168.75	
15SEP17	S	1	PD15DEC17		3045.50	3243.75	USD	-4,956.25	
	NET	0 AVG	BGHT 3037.00	SOLD 3045.50				212.50	0.00
18SEP17	B	2	PD18DEC17		3075.50	3243.50	USD	8,400.00	
18SEP17	S	1	PD18DEC17		3076.00	3243.50	USD	-4,187.50	
18SEP17	B	1	PD18DEC17		3089.00	3243.50	USD	3,862.50	
18SEP17	B	1	PD18DEC17		3096.50	3243.50	USD	3,675.00	
18SEP17	B	1	PD18DEC17		3098.50	3243.50	USD	3,625.00	
20SEP17	S	1	PD18DEC17		3131.47	3243.50	USD	-2,800.75	
22SEP17	S	3	PD18DEC17		3033.69	3243.50	USD	-15,735.75	
	NET	0 AVG	BGHT 3087.00	SOLD 3061.71				-3,161.50	0.00
19SEP17	S	1	PD19DEC17		3107.50	3243.25	USD	-3,393.75	
20SEP17	B	1	PD19DEC17		3131.93	3243.25	USD	2,783.00	
	NET	0 AVG	BGHT 3131.93	SOLD 3107.50				-610.75	0.00
20SEP17	B	1	PD20DEC17		3099.00	3243.00	USD	3,600.00	
20SEP17	B	1	PD20DEC17		3097.00	3243.00	USD	3,650.00	
20SEP17	B	1	PD20DEC17		3088.00	3243.00	USD	3,875.00	
20SEP17	B	1	PD20DEC17		3101.00	3243.00	USD	3,550.00	
20SEP17	B	1	PD20DEC17		3123.50	3243.00	USD	2,987.50	
20SEP17	B	1	PD20DEC17		3126.50	3243.00	USD	2,912.50	
20SEP17	B	1	PD20DEC17		3126.00	3243.00	USD	2,925.00	
20SEP17	B	1	PD20DEC17		3128.00	3243.00	USD	2,875.00	
20SEP17	B	1	PD20DEC17		3128.50	3243.00	USD	2,862.50	
20SEP17	S	1	PD20DEC17		3144.00	3243.00	USD	-2,475.00	
20SEP17	S	1	PD20DEC17		3143.00	3243.00	USD	-2,500.00	
20SEP17	S	1	PD20DEC17		3142.00	3243.00	USD	-2,525.00	
20SEP17	S	1	PD20DEC17		3141.50	3243.00	USD	-2,537.50	
20SEP17	S	1	PD20DEC17		3128.50	3243.00	USD	-2,862.50	
20SEP17	S	1	PD20DEC17		3127.50	3243.00	USD	-2,887.50	
28SEP17	S	3	PD20DEC17		3151.00	3243.00	USD	-6,900.00	
	NET	0 AVG	BGHT 3113.06	SOLD 3142.17				6,550.00	0.00
21SEP17	S	1	PD21DEC17		3081.50	3240.00	USD	-3,962.50	
21SEP17	S	1	PD21DEC17		3081.00	3240.00	USD	-3,975.00	
21SEP17	S	1	PD21DEC17		3027.50	3240.00	USD	-5,312.50	
22SEP17	B	3	PD21DEC17		3032.70	3240.00	USD	15,547.50	
	NET	0 AVG	BGHT 3032.70	SOLD 3063.33				2,297.50	0.00
22SEP17	S	1	PD22DEC17		3042.50	3239.62	USD	-4,928.00	
22SEP17	S	1	PD22DEC17		3041.50	3239.62	USD	-4,953.00	
22SEP17	S	1	PD22DEC17		3040.00	3239.62	USD	-4,990.50	
28SEP17	B	3	PD22DEC17		3147.70	3239.62	USD	6,894.00	
	NET	0 AVG	BGHT 3147.70	SOLD 3041.33				-7,977.50	0.00
27SEP17	B	1	PD27DEC17		3140.00	3239.23	USD	2,480.75	
27SEP17	B	1	PD27DEC17		3139.50	3239.23	USD	2,493.25	
27SEP17	S	1	PD27DEC17		3107.00	3239.23	USD	-3,305.75	
27SEP17	S	1	PD27DEC17		3107.50	3239.23	USD	-3,293.25	
	NET	0 AVG	BGHT 3139.75	SOLD 3107.25				-1,625.00	0.00
13OCT17	S	1	PD09JAN18		3236.15	3236.15	USD	0.00	
	NET	-1 AVG	SOLD 3236.15					0.00	0.00
13OCT17	B	1	PD10JAN18		3235.97	3235.77	USD	-5.00	
	NET	+1 AVG	BGHT 3235.97						0.00

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
					-5.00	

OPEN POSITION VALUE

		USD
LME Aluminium 25 Tonnes.	FUTURES	66,273.25
LME Copper Grade A 25	FUTURES	-139,268.25
LME Lead 25 tonnes. This is an	FUTURES	38,760.00
LME Nickel 6 tonnes. This is	FUTURES	8,881.08
LME Tin 5 tonnes. This is an	FUTURES	-779.35
LME Zinc 25 tonnes. This is	FUTURES	1,740.00

MARKET REVALUATION BREAKDOWN

Unrealised Profits & Losses - Forwards	Amount	Profit / Loss	Total Amount	Total P&L
ALUL - Futures USD	65,996.75	65,996.75		
COPL - Futures USD	-134,923.75	-134,923.75		
LEAD - Futures USD	38,760.00	38,760.00		
NKL - Futures USD	11,359.68	11,359.68		
TIN - Futures USD	-579.35	-579.35		
ZINC - Futures USD	1,730.50	1,730.50	-17,656.17	-17,656.17

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
CASH BALANCE	(1.00000)	(1.00000)
Variation Margins - Futures	19,630.51	19,630.51
- Options	0.00	0.00
TOTAL EQUITY	19,630.51	19,630.51
Initial Margins	-34,374.17	-34,374.17
Excess/(Shortage)	-14,743.66	-14,743.66
FOR INFORMATION ONLY		
Forward Unrealised P&L	-17,656.17	-17,656.17
Net Liquidating Value	1,974.34	1,974.34

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	<u>LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.</u>							
28JUL17	B	1	PD27OCT17	1915.00	2114.08	USD	4,977.00	
28JUL17	B	1	PD27OCT17	1910.50	2114.08	USD	5,089.50	
28JUL17	B	2	PD27OCT17	1913.00	2114.08	USD	10,054.00	
03AUG17	S	4	PD27OCT17	1914.05	2114.08	USD	-20,003.00	
	NET	0 AVG BGHT	1912.88				117.50	0.00
08AUG17	S	2	PD08NOV17	1997.00	2116.50	USD	-5,975.00	
08AUG17	S	1	PD08NOV17	1998.00	2116.50	USD	-2,962.50	
08AUG17	S	1	PD08NOV17	1998.50	2116.50	USD	-2,950.00	
08AUG17	S	2	PD08NOV17	2002.00	2116.50	USD	-5,725.00	
08AUG17	S	1	PD08NOV17	2002.50	2116.50	USD	-2,850.00	
08AUG17	S	1	PD08NOV17	2003.00	2116.50	USD	-2,837.50	
08AUG17	S	2	PD08NOV17	2003.50	2116.50	USD	-5,650.00	
08AUG17	S	1	PD08NOV17	2004.00	2116.50	USD	-2,812.50	
08AUG17	S	1	PD08NOV17	2005.50	2116.50	USD	-2,775.00	
08AUG17	B	12	PD08NOV17	2030.20	2116.50	USD	25,890.00	
	NET	0 AVG BGHT	2030.20				-8,647.50	0.00
	<u>CMX COPPER 25,000 CMX</u>							
06OCT17	S	1	DEC17	305.450	313.350	USD	-1,975.00	
06OCT17	S	1	DEC17	305.500	313.350	USD	-1,962.50	
06OCT17	S	2	DEC17	305.150	313.350	USD	-4,100.00	
06OCT17	S	1	DEC17	305.250	313.350	USD	-2,025.00	
06OCT17	S	1	DEC17	305.100	313.350	USD	-2,062.50	
06OCT17	S	1	DEC17	305.000	313.350	USD	-2,087.50	
06OCT17	S	1	DEC17	305.050	313.350	USD	-2,075.00	
06OCT17	S	1	DEC17	304.800	313.350	USD	-2,137.50	
06OCT17	S	1	DEC17	304.750	313.350	USD	-2,150.00	
	NET	-10 AVG	SOLD 305.120				-20,575.00	0.00
	<u>LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
29SEP17	S	16	PD02NOV17	2492.15	2521.00	USD	-11,540.00	
13OCT17	B	12	PD02NOV17	2521.00	2521.00	USD	0.00	
	NET	-4 AVG BGHT	2521.00				-11,540.00	0.00
18AUG17	B	2	PD17NOV17	2409.50	2522.75	USD	5,662.50	
18AUG17	B	1	PD17NOV17	2411.00	2522.75	USD	2,793.75	
18AUG17	B	1	PD17NOV17	2413.00	2522.75	USD	2,743.75	
18AUG17	B	2	PD17NOV17	2421.00	2522.75	USD	5,087.50	
18AUG17	B	1	PD17NOV17	2423.00	2522.75	USD	2,493.75	
18AUG17	B	1	PD17NOV17	2426.00	2522.75	USD	2,418.75	
18AUG17	B	1	PD17NOV17	2427.00	2522.75	USD	2,393.75	
18AUG17	S	9	PD17NOV17	2360.80	2522.75	USD	-36,438.75	
	NET	0 AVG BGHT	2417.89				-12,845.00	0.00
24AUG17	B	3	PD24NOV17	2354.00	2523.81	USD	12,735.75	
24AUG17	B	1	PD24NOV17	2354.50	2523.81	USD	4,232.75	
24AUG17	B	1	PD24NOV17	2355.00	2523.81	USD	4,220.25	
24AUG17	S	5	PD24NOV17	2356.80	2523.81	USD	-20,876.25	
	NET	0 AVG BGHT	2354.30				312.50	0.00
06SEP17	B	2	PD06DEC17	2335.50	2525.63	USD	9,506.50	
06SEP17	B	1	PD06DEC17	2336.00	2525.63	USD	4,740.75	
06SEP17	B	2	PD06DEC17	2336.50	2525.63	USD	9,456.50	
08SEP17	S	5	PD06DEC17	2264.80	2525.63	USD	-32,603.75	
	NET	0 AVG BGHT	2336.00				-8,900.00	0.00
07SEP17	B	1	PD07DEC17	2347.50	2525.78	USD	4,457.00	
07SEP17	B	2	PD07DEC17	2348.50	2525.78	USD	8,864.00	
07SEP17	B	1	PD07DEC17	2346.00	2525.78	USD	4,494.50	
07SEP17	B	1	PD07DEC17	2348.00	2525.78	USD	4,444.50	

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	<u>LME LEAD 25 TONNES. THIS IS AN</u>							
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07SEP17	B	1	PD07DEC17	2341.00	2525.78	USD	4,619.50	
07SEP17	B	1	PD07DEC17	2343.00	2525.78	USD	4,569.50	
07SEP17	B	1	PD07DEC17	2345.00	2525.78	USD	4,519.50	
07SEP17	B	1	PD07DEC17	2340.50	2525.78	USD	4,632.00	
08SEP17	S	9	PD07DEC17	2264.80	2525.78	USD	-58,720.50	
	NET	0 AVG	BGHT 2345.33	SOLD 2264.80			-18,120.00	0.00
18SEP17	S	1	PD18DEC17	2402.50	2527.45	USD	-3,123.75	
18SEP17	S	2	PD18DEC17	2403.00	2527.45	USD	-6,222.50	
18SEP17	S	1	PD18DEC17	2405.50	2527.45	USD	-3,048.75	
18SEP17	S	2	PD18DEC17	2404.50	2527.45	USD	-6,147.50	
18SEP17	S	3	PD18DEC17	2403.50	2527.45	USD	-9,296.25	
18SEP17	S	5	PD18DEC17	2405.00	2527.45	USD	-15,306.25	
18SEP17	B	14	PD18DEC17	2390.20	2527.45	USD	48,037.50	
	NET	0 AVG	BGHT 2390.20	SOLD 2404.18			4,892.50	0.00
19SEP17	S	1	PD19DEC17	2413.50	2527.60	USD	-2,852.50	
19SEP17	S	2	PD19DEC17	2414.50	2527.60	USD	-5,655.00	
19SEP17	S	1	PD19DEC17	2415.00	2527.60	USD	-2,815.00	
19SEP17	S	1	PD19DEC17	2415.50	2527.60	USD	-2,802.50	
19SEP17	S	1	PD19DEC17	2416.50	2527.60	USD	-2,777.50	
19SEP17	S	1	PD19DEC17	2419.00	2527.60	USD	-2,715.00	
19SEP17	S	2	PD19DEC17	2419.50	2527.60	USD	-5,405.00	
19SEP17	S	1	PD19DEC17	2421.50	2527.60	USD	-2,652.50	
19SEP17	S	2	PD19DEC17	2417.50	2527.60	USD	-5,505.00	
19SEP17	S	3	PD19DEC17	2420.00	2527.60	USD	-8,070.00	
21SEP17	B	15	PD19DEC17	2518.19	2527.60	USD	3,528.75	
	NET	0 AVG	BGHT 2518.19	SOLD 2417.60			-37,721.25	0.00
21SEP17	S	1	PD21DEC17	2473.00	2526.75	USD	-1,343.75	
21SEP17	B	1	PD21DEC17	2513.20	2526.75	USD	338.75	
	NET	0 AVG	BGHT 2513.20	SOLD 2473.00			-1,005.00	0.00
28SEP17	B	2	PD28DEC17	2483.50	2527.78	USD	2,214.00	
28SEP17	B	1	PD28DEC17	2484.00	2527.78	USD	1,094.50	
28SEP17	B	2	PD28DEC17	2485.50	2527.78	USD	2,114.00	
28SEP17	B	1	PD28DEC17	2484.50	2527.78	USD	1,082.00	
28SEP17	B	1	PD28DEC17	2486.00	2527.78	USD	1,044.50	
13OCT17	S	7	PD28DEC17	2527.78	2527.78	USD	0.00	
	NET	0 AVG	BGHT 2484.64	SOLD 2527.78			7,549.00	0.00
29SEP17	S	1	PD29DEC17	2509.00	2527.93	USD	-473.25	
29SEP17	S	1	PD29DEC17	2508.50	2527.93	USD	-485.75	
29SEP17	S	1	PD29DEC17	2511.50	2527.93	USD	-410.75	
29SEP17	S	1	PD29DEC17	2510.00	2527.93	USD	-448.25	
29SEP17	S	1	PD29DEC17	2508.00	2527.93	USD	-498.25	
29SEP17	S	2	PD29DEC17	2509.50	2527.93	USD	-921.50	
13OCT17	B	7	PD29DEC17	2528.13	2527.93	USD	-35.00	
	NET	0 AVG	BGHT 2528.13	SOLD 2509.43			-3,272.75	0.00
12OCT17	S	1	PD12JAN18	2545.50	2530.00	USD	387.50	
12OCT17	S	1	PD12JAN18	2547.00	2530.00	USD	425.00	
12OCT17	S	1	PD12JAN18	2549.50	2530.00	USD	487.50	
12OCT17	S	1	PD12JAN18	2566.00	2530.00	USD	900.00	
12OCT17	B	2	PD12JAN18	2567.50	2530.00	USD	-1,875.00	
12OCT17	B	2	PD12JAN18	2565.00	2530.00	USD	-1,750.00	
13OCT17	B	2	PD12JAN18	2545.50	2530.00	USD	-775.00	
13OCT17	B	2	PD12JAN18	2547.00	2530.00	USD	-850.00	
13OCT17	B	1	PD12JAN18	2550.00	2530.00	USD	-500.00	
13OCT17	B	2	PD12JAN18	2549.00	2530.00	USD	-950.00	
13OCT17	B	1	PD12JAN18	2546.00	2530.00	USD	-400.00	
13OCT17	B	1	PD12JAN18	2548.00	2530.00	USD	-450.00	

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13OCT17	B 3 PD12JAN18	2548.50	2530.00	USD	-1,387.50	
13OCT17	S 12 PD12JAN18	2529.80	2530.00	USD	-60.00	
	NET 0AVG BGHT 2552.34 SOLD 2535.35				-6,797.50	0.00
	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
08SEP17	B 1 PD08DEC17	11745.00	11649.27	USD	-574.38	
08SEP17	B 1 PD08DEC17	11735.00	11649.27	USD	-514.38	
08SEP17	B 1 PD08DEC17	11740.00	11649.27	USD	-544.38	
08SEP17	B 1 PD08DEC17	11495.00	11649.27	USD	925.62	
08SEP17	B 2 PD08DEC17	11500.00	11649.27	USD	1,791.24	
29SEP17	S 5 PD08DEC17	10478.87	11649.27	USD	-35,112.00	
	NET +1AVG BGHT 11619.17 SOLD 10478.87				-34,028.28	0.00
13SEP17	B 1 PD13DEC17	11570.00	11652.70	USD	496.20	
13SEP17	B 2 PD13DEC17	11575.00	11652.70	USD	932.40	
	NET +3AVG BGHT 11573.33				1,428.60	0.00
28SEP17	S 5 PD28DEC17	10315.00	11663.59	USD	-40,457.70	
29SEP17	B 5 PD28DEC17	10499.79	11663.59	USD	34,914.00	
	NET 0AVG BGHT 10499.79 SOLD 10315.00				-5,543.70	0.00
29SEP17	B 1 PD29DEC17	10425.00	11664.35	USD	7,436.10	
29SEP17	B 1 PD29DEC17	10430.00	11664.35	USD	7,406.10	
29SEP17	B 1 PD29DEC17	10435.00	11664.35	USD	7,376.10	
	NET +3AVG BGHT 10430.00				22,218.30	0.00
05OCT17	B 1 PD05JAN18	10550.00	11669.67	USD	6,718.02	
	NET +1AVG BGHT 10550.00				6,718.02	0.00
12OCT17	S 1 PD12JAN18	11390.00	11675.00	USD	-1,710.00	
12OCT17	B 1 PD12JAN18	11395.00	11675.00	USD	1,680.00	
12OCT17	S 1 PD12JAN18	11400.00	11675.00	USD	-1,650.00	
13OCT17	S 2 PD12JAN18	11660.00	11675.00	USD	-180.00	
13OCT17	S 1 PD12JAN18	11665.00	11675.00	USD	-60.00	
13OCT17	S 1 PD12JAN18	11675.00	11675.00	USD	0.00	
13OCT17	S 3 PD12JAN18	11670.00	11675.00	USD	-90.00	
	NET -8AVG BGHT 11395.00 SOLD 11606.67				-2,010.00	0.00
	<u>CMX SILVER 5,000 CMX</u>					
18SEP17	B 2 DEC17	1714.5	1741.1	USD	2,660.00	
19SEP17	B 2 DEC17	1719.5	1741.1	USD	2,160.00	
04OCT17	B 1 DEC17	1677.0	1741.1	USD	3,205.00	
04OCT17	B 4 DEC17	1677.5	1741.1	USD	12,720.00	
04OCT17	B 1 DEC17	1678.0	1741.1	USD	3,155.00	
04OCT17	B 1 DEC17	1668.5	1741.1	USD	3,630.00	
04OCT17	B 1 DEC17	1665.0	1741.1	USD	3,805.00	
04OCT17	B 2 DEC17	1664.5	1741.1	USD	7,660.00	
06OCT17	B 1 DEC17	1636.5	1741.1	USD	5,230.00	
06OCT17	B 1 DEC17	1636.0	1741.1	USD	5,255.00	
	NET +16AVG BGHT 1679.3				49,480.00	0.00
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
18JUL17	B 2 PD18OCT17	2779.00	3291.00	USD	25,600.00	
18JUL17	B 1 PD18OCT17	2777.00	3291.00	USD	12,850.00	
18JUL17	B 1 PD18OCT17	2777.50	3291.00	USD	12,837.50	
18JUL17	B 1 PD18OCT17	2778.50	3291.00	USD	12,812.50	
18JUL17	B 1 PD18OCT17	2769.50	3291.00	USD	13,037.50	
18JUL17	B 1 PD18OCT17	2770.00	3291.00	USD	13,025.00	
18JUL17	B 1 PD18OCT17	2771.00	3291.00	USD	13,000.00	
18JUL17	B 1 PD18OCT17	2772.00	3291.00	USD	12,975.00	

OPEN POSITION STATEMENT



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backoffice@sapiencap.com

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18JUL17	B	1	PD18OCT17	2773.50	3291.00	USD	12,937.50	
18JUL17	S	5	PD18OCT17	2794.00	3291.00	USD	-62,125.00	
18JUL17	S	2	PD18OCT17	2794.50	3291.00	USD	-24,825.00	
18JUL17	S	1	PD18OCT17	2795.00	3291.00	USD	-12,400.00	
18JUL17	S	2	PD18OCT17	2793.50	3291.00	USD	-24,875.00	
	NET	0 AVG BGHT 2774.70	SOLD 2794.10				4,850.00	0.00
28JUL17	B	1	PD27OCT17	2772.00	3270.73	USD	12,468.25	
28JUL17	B	1	PD27OCT17	2774.00	3270.73	USD	12,418.25	
28JUL17	B	2	PD27OCT17	2776.00	3270.73	USD	24,736.50	
28JUL17	B	2	PD27OCT17	2768.50	3270.73	USD	25,111.50	
28JUL17	B	1	PD27OCT17	2769.00	3270.73	USD	12,543.25	
28JUL17	B	3	PD27OCT17	2770.00	3270.73	USD	37,554.75	
28JUL17	S	1	PD27OCT17	2790.00	3270.73	USD	-12,018.25	
28JUL17	S	1	PD27OCT17	2791.50	3270.73	USD	-11,980.75	
28JUL17	S	8	PD27OCT17	2775.80	3270.73	USD	-98,986.00	
	NET	0 AVG BGHT 2771.40	SOLD 2778.79				1,847.50	0.00
31JUL17	B	1	PD31OCT17	2794.00	3268.47	USD	11,861.75	
31JUL17	B	1	PD31OCT17	2794.50	3268.47	USD	11,849.25	
31JUL17	S	2	PD31OCT17	2794.80	3268.47	USD	-23,683.50	
	NET	0 AVG BGHT 2794.25	SOLD 2794.80				27.50	0.00
10OCT17	S	10	PD02NOV17	3312.00	3266.20	USD	11,450.00	
	NET	-10 AVG SOLD 3312.00					11,450.00	0.00
08AUG17	S	1	PD08NOV17	2881.00	3261.67	USD	-9,516.75	
08AUG17	S	1	PD08NOV17	2882.50	3261.67	USD	-9,479.25	
08AUG17	S	2	PD08NOV17	2883.50	3261.67	USD	-18,908.50	
08AUG17	S	1	PD08NOV17	2884.50	3261.67	USD	-9,429.25	
08AUG17	S	1	PD08NOV17	2894.50	3261.67	USD	-9,179.25	
08AUG17	S	2	PD08NOV17	2894.00	3261.67	USD	-18,383.50	
08AUG17	S	1	PD08NOV17	2897.50	3261.67	USD	-9,104.25	
08AUG17	B	7	PD08NOV17	2925.20	3261.67	USD	58,882.25	
08AUG17	S	2	PD08NOV17	2882.00	3261.67	USD	-18,983.50	
09AUG17	B	4	PD08NOV17	2931.51	3261.67	USD	33,016.00	
	NET	0 AVG BGHT 2927.49	SOLD 2887.18				-11,086.00	0.00
09AUG17	S	2	PD09NOV17	2972.50	3260.53	USD	-14,401.50	
09AUG17	S	2	PD09NOV17	2974.00	3260.53	USD	-14,326.50	
09AUG17	S	1	PD09NOV17	2974.50	3260.53	USD	-7,150.75	
09AUG17	S	2	PD09NOV17	2975.00	3260.53	USD	-14,276.50	
09AUG17	S	1	PD09NOV17	2975.50	3260.53	USD	-7,125.75	
09AUG17	B	8	PD09NOV17	2931.70	3260.53	USD	65,766.00	
	NET	0 AVG BGHT 2931.70	SOLD 2974.13				8,485.00	0.00
16AUG17	S	1	PD16NOV17	2990.50	3253.50	USD	-6,575.00	
16AUG17	S	1	PD16NOV17	2991.00	3253.50	USD	-6,562.50	
16AUG17	S	2	PD16NOV17	3022.50	3253.50	USD	-11,550.00	
16AUG17	S	1	PD16NOV17	3024.00	3253.50	USD	-5,737.50	
16AUG17	S	1	PD16NOV17	3024.50	3253.50	USD	-5,725.00	
16AUG17	S	2	PD16NOV17	3029.00	3253.50	USD	-11,225.00	
16AUG17	S	1	PD16NOV17	3031.00	3253.50	USD	-5,562.50	
16AUG17	S	2	PD16NOV17	3032.00	3253.50	USD	-11,075.00	
16AUG17	S	3	PD16NOV17	2990.00	3253.50	USD	-19,762.50	
16AUG17	S	1	PD16NOV17	2989.50	3253.50	USD	-6,600.00	
16AUG17	S	1	PD16NOV17	3091.00	3253.50	USD	-4,062.50	
16AUG17	S	1	PD16NOV17	3091.50	3253.50	USD	-4,050.00	
16AUG17	B	8	PD16NOV17	3110.15	3253.50	USD	28,670.00	
16AUG17	B	9	PD16NOV17	3109.65	3253.50	USD	32,366.25	
	NET	0 AVG BGHT 3109.89	SOLD 3021.76				-37,451.25	0.00

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21AUG17	S	1	PD21NOV17	3139.50	3249.25	USD	-2,743.75	
22AUG17	B	1	PD21NOV17	3118.80	3249.25	USD	3,261.25	
	NET	0 AVG BGHT 3118.80	SOLD 3139.50				517.50	0.00
22AUG17	S	3	PD22NOV17	3147.00	3248.38	USD	-7,603.50	
22AUG17	B	3	PD22NOV17	3119.20	3248.38	USD	9,688.50	
	NET	0 AVG BGHT 3119.20	SOLD 3147.00				2,085.00	0.00
30AUG17	B	1	PD30NOV17	3123.50	3246.50	USD	3,075.00	
30AUG17	B	1	PD30NOV17	3104.00	3246.50	USD	3,562.50	
30AUG17	B	1	PD30NOV17	3098.00	3246.50	USD	3,712.50	
30AUG17	S	1	PD30NOV17	3095.00	3246.50	USD	-3,787.50	
30AUG17	B	1	PD30NOV17	3095.00	3246.50	USD	3,787.50	
30AUG17	B	2	PD30NOV17	3096.00	3246.50	USD	7,525.00	
30AUG17	B	4	PD30NOV17	3097.00	3246.50	USD	14,950.00	
30AUG17	S	1	PD30NOV17	3094.00	3246.50	USD	-3,812.50	
30AUG17	S	1	PD30NOV17	3094.50	3246.50	USD	-3,800.00	
30AUG17	S	2	PD30NOV17	3095.50	3246.50	USD	-7,550.00	
30AUG17	S	1	PD30NOV17	3093.50	3246.50	USD	-3,825.00	
30AUG17	B	1	PD30NOV17	3090.00	3246.50	USD	3,912.50	
30AUG17	S	1	PD30NOV17	3093.00	3246.50	USD	-3,837.50	
30AUG17	S	2	PD30NOV17	3090.00	3246.50	USD	-7,825.00	
31AUG17	S	1	PD30NOV17	3112.00	3246.50	USD	-3,362.50	
31AUG17	B	1	PD30NOV17	3126.00	3246.50	USD	3,012.50	
31AUG17	B	5	PD30NOV17	3126.50	3246.50	USD	15,000.00	
31AUG17	S	1	PD30NOV17	3110.00	3246.50	USD	-3,412.50	
31AUG17	S	1	PD30NOV17	3136.50	3246.50	USD	-2,750.00	
31AUG17	S	1	PD30NOV17	3139.50	3246.50	USD	-2,675.00	
31AUG17	S	1	PD30NOV17	3140.00	3246.50	USD	-2,662.50	
31AUG17	S	2	PD30NOV17	3128.00	3246.50	USD	-5,925.00	
31AUG17	S	1	PD30NOV17	3147.00	3246.50	USD	-2,487.50	
31AUG17	S	3	PD30NOV17	3155.00	3246.50	USD	-6,862.50	
31AUG17	S	1	PD30NOV17	3155.50	3246.50	USD	-2,275.00	
31AUG17	S	4	PD30NOV17	3156.50	3246.50	USD	-9,000.00	
31AUG17	S	2	PD30NOV17	3157.00	3246.50	USD	-4,475.00	
31AUG17	S	4	PD30NOV17	3158.00	3246.50	USD	-8,850.00	
31AUG17	S	13	PD30NOV17	3145.80	3246.50	USD	-32,727.50	
01SEP17	B	10	PD30NOV17	3185.06	3246.50	USD	15,360.00	
06SEP17	B	17	PD30NOV17	3093.70	3246.50	USD	64,940.00	
	NET	0 AVG BGHT 3120.28	SOLD 3135.68				16,935.00	0.00
01SEP17	S	1	PD01DEC17	3156.00	3246.25	USD	-2,256.25	
01SEP17	S	1	PD01DEC17	3156.50	3246.25	USD	-2,243.75	
01SEP17	S	1	PD01DEC17	3168.00	3246.25	USD	-1,956.25	
01SEP17	S	3	PD01DEC17	3169.00	3246.25	USD	-5,793.75	
01SEP17	S	1	PD01DEC17	3170.50	3246.25	USD	-1,893.75	
01SEP17	S	2	PD01DEC17	3184.50	3246.25	USD	-3,087.50	
06SEP17	B	9	PD01DEC17	3093.95	3246.25	USD	34,267.50	
	NET	0 AVG BGHT 3093.95	SOLD 3169.67				17,036.25	0.00
18SEP17	S	1	PD18DEC17	3087.00	3243.50	USD	-3,912.50	
18SEP17	S	2	PD18DEC17	3088.00	3243.50	USD	-7,775.00	
18SEP17	B	3	PD18DEC17	3098.20	3243.50	USD	10,897.50	
	NET	0 AVG BGHT 3098.20	SOLD 3087.67				-790.00	0.00
25SEP17	S	1	PD27DEC17	3093.50	3239.23	USD	-3,643.25	
25SEP17	S	1	PD27DEC17	3094.50	3239.23	USD	-3,618.25	
25SEP17	S	2	PD27DEC17	3097.00	3239.23	USD	-7,111.50	
25SEP17	B	3	PD27DEC17	3096.70	3239.23	USD	10,689.75	
26SEP17	B	1	PD27DEC17	3123.00	3239.23	USD	2,905.75	
	NET	0 AVG BGHT 3103.28	SOLD 3095.50				-777.50	0.00

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29SEP17	B	2	PD29DEC17	3144.50	3238.46	USD	4,698.00	
29SEP17	B	3	PD29DEC17	3147.00	3238.46	USD	6,859.50	
29SEP17	B	2	PD29DEC17	3148.00	3238.46	USD	4,523.00	
29SEP17	B	2	PD29DEC17	3149.50	3238.46	USD	4,448.00	
29SEP17	B	10	PD29DEC17	3150.00	3238.46	USD	22,115.00	
29SEP17	B	1	PD29DEC17	3150.50	3238.46	USD	2,199.00	
29SEP17	B	2	PD29DEC17	3153.00	3238.46	USD	4,273.00	
29SEP17	S	2	PD29DEC17	3160.00	3238.46	USD	-3,923.00	
29SEP17	B	5	PD29DEC17	3149.00	3238.46	USD	11,182.50	
29SEP17	S	7	PD29DEC17	3150.85	3238.46	USD	-15,331.75	
29SEP17	S	20	PD29DEC17	3152.85	3238.46	USD	-42,805.00	
13OCT17	B	2	PD29DEC17	3238.46	3238.46	USD	0.00	
	NET	0 AVG BGHT 3155.29	SOLD 3152.86				-1,761.75	0.00
04OCT17	S	1	PD04JAN18	3293.50	3237.31	USD	1,404.75	
04OCT17	S	1	PD04JAN18	3294.00	3237.31	USD	1,417.25	
04OCT17	S	1	PD04JAN18	3295.00	3237.31	USD	1,442.25	
04OCT17	S	1	PD04JAN18	3296.50	3237.31	USD	1,479.75	
10OCT17	B	4	PD04JAN18	3281.38	3237.31	USD	-4,407.00	
	NET	0 AVG BGHT 3281.38	SOLD 3294.75				1,337.00	0.00
05OCT17	S	2	PD05JAN18	3290.50	3236.92	USD	2,679.00	
05OCT17	S	1	PD05JAN18	3291.00	3236.92	USD	1,352.00	
05OCT17	S	2	PD05JAN18	3291.50	3236.92	USD	2,729.00	
05OCT17	B	1	PD05JAN18	3298.00	3236.92	USD	-1,527.00	
05OCT17	B	1	PD05JAN18	3297.00	3236.92	USD	-1,502.00	
05OCT17	B	3	PD05JAN18	3296.00	3236.92	USD	-4,431.00	
06OCT17	S	1	PD05JAN18	3289.50	3236.92	USD	1,314.50	
06OCT17	S	1	PD05JAN18	3290.00	3236.92	USD	1,327.00	
06OCT17	S	2	PD05JAN18	3288.00	3236.92	USD	2,554.00	
06OCT17	S	1	PD05JAN18	3278.50	3236.92	USD	1,039.50	
06OCT17	B	1	PD05JAN18	3250.50	3236.92	USD	-339.50	
06OCT17	S	1	PD05JAN18	3249.00	3236.92	USD	302.00	
06OCT17	B	2	PD05JAN18	3245.00	3236.92	USD	-404.00	
06OCT17	B	4	PD05JAN18	3244.50	3236.92	USD	-758.00	
06OCT17	B	1	PD05JAN18	3245.50	3236.92	USD	-214.50	
13OCT17	S	2	PD05JAN18	3236.72	3236.92	USD	-10.00	
	NET	0 AVG BGHT 3265.15	SOLD 3277.80				4,111.00	0.00
09OCT17	S	1	PD09JAN18	3253.00	3236.15	USD	421.25	
10OCT17	B	1	PD09JAN18	3279.75	3236.15	USD	-1,090.00	
	NET	0 AVG BGHT 3279.75	SOLD 3253.00				-668.75	0.00
10OCT17	B	1	PD10JAN18	3214.50	3235.77	USD	531.75	
10OCT17	S	1	PD10JAN18	3237.00	3235.77	USD	30.75	
10OCT17	S	1	PD10JAN18	3238.00	3235.77	USD	55.75	
10OCT17	S	1	PD10JAN18	3241.00	3235.77	USD	130.75	
10OCT17	S	1	PD10JAN18	3242.00	3235.77	USD	155.75	
10OCT17	S	1	PD10JAN18	3242.50	3235.77	USD	168.25	
10OCT17	S	1	PD10JAN18	3245.50	3235.77	USD	243.25	
10OCT17	S	1	PD10JAN18	3247.50	3235.77	USD	293.25	
10OCT17	S	1	PD10JAN18	3254.00	3235.77	USD	455.75	
10OCT17	S	1	PD10JAN18	3253.50	3235.77	USD	443.25	
10OCT17	S	1	PD10JAN18	3282.50	3235.77	USD	1,168.25	
10OCT17	B	1	PD10JAN18	3277.50	3235.77	USD	-1,043.25	
10OCT17	B	5	PD10JAN18	3279.20	3235.77	USD	-5,428.75	
13OCT17	B	3	PD10JAN18	3235.77	3235.77	USD	0.00	
	NET	0 AVG BGHT 3259.53	SOLD 3248.35				-2,795.25	0.00
11OCT17	B	1	PD11JAN18	3200.50	3235.38	USD	872.00	

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11OCT17	B	1	PD11JAN18		3207.00	3235.38	USD	709.50	
11OCT17	B	2	PD11JAN18		3253.00	3235.38	USD	-881.00	
11OCT17	B	1	PD11JAN18		3253.50	3235.38	USD	-453.00	
11OCT17	S	1	PD11JAN18		3233.00	3235.38	USD	-59.50	
11OCT17	S	1	PD11JAN18		3235.00	3235.38	USD	-9.50	
11OCT17	S	1	PD11JAN18		3235.50	3235.38	USD	3.00	
11OCT17	B	1	PD11JAN18		3226.50	3235.38	USD	222.00	
13OCT17	S	3	PD11JAN18		3235.18	3235.38	USD	-15.00	
	NET	0 AVG	BGHT 3232.25	SOLD 3234.84				388.50	0.00
12OCT17	S	1	PD12JAN18		3228.00	3235.00	USD	-175.00	
12OCT17	S	1	PD12JAN18		3232.00	3235.00	USD	-75.00	
12OCT17	S	1	PD12JAN18		3233.00	3235.00	USD	-50.00	
12OCT17	B	2	PD12JAN18		3259.50	3235.00	USD	-1,225.00	
13OCT17	B	1	PD12JAN18		3249.00	3235.00	USD	-350.00	
13OCT17	B	1	PD12JAN18		3255.00	3235.00	USD	-500.00	
13OCT17	B	1	PD12JAN18		3255.50	3235.00	USD	-512.50	
13OCT17	S	1	PD12JAN18		3253.00	3235.00	USD	450.00	
13OCT17	B	1	PD12JAN18		3245.50	3235.00	USD	-262.50	
13OCT17	S	1	PD12JAN18		3261.50	3235.00	USD	662.50	
13OCT17	S	1	PD12JAN18		3262.00	3235.00	USD	675.00	
13OCT17	B	4	PD12JAN18		3237.50	3235.00	USD	-250.00	
13OCT17	B	1	PD12JAN18		3238.00	3235.00	USD	-75.00	
13OCT17	B	1	PD12JAN18		3236.50	3235.00	USD	-37.50	
13OCT17	S	1	PD12JAN18		3237.50	3235.00	USD	62.50	
13OCT17	S	1	PD12JAN18		3238.50	3235.00	USD	87.50	
13OCT17	S	1	PD12JAN18		3239.50	3235.00	USD	112.50	
13OCT17	S	1	PD12JAN18		3243.00	3235.00	USD	200.00	
13OCT17	S	2	PD12JAN18		3236.00	3235.00	USD	50.00	
	NET	0 AVG	BGHT 3245.71	SOLD 3241.67				-1,212.50	0.00

OPEN POSITION VALUE

		<u>USD</u>
LME Aluminium 25 Tonnes.	FUTURES	-8,530.00
CMX Copper	FUTURES	762,800.00
LME Lead 25 tonnes. This is an	FUTURES	164,652.50
LME Nickel 6 tonnes. This is	FUTURES	-10,437.60
CMX Silver	FUTURES	-1,343,400.00
LME Zinc 25 tonnes. This is	FUTURES	829,077.25

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP096AH

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
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MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options	Amount	Profit / Loss	Total Amount	Total P&L
COPR - Futures USD	-20,575.00	-20,575.00		
SILV - Futures USD	49,480.00	49,480.00	28,905.00	28,905.00
Unrealised Profits & Losses - Forwards	Amount	Profit / Loss	Total Amount	Total P&L
ALUL - Futures USD	-8,530.00	-8,530.00		
LEAD - Futures USD	-87,447.50	-87,447.50		
NKL - Futures USD	-11,217.06	-11,217.06		
ZINC - Futures USD	12,527.25	12,527.25	-94,667.31	-94,667.31

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	37,982.10	37,982.10
Variation Margins - Futures	28,905.00	28,905.00
- Options	0.00	0.00
TOTAL EQUITY	66,887.10	66,887.10
Initial Margins	-295,259.31	-295,259.31
Excess/(Shortage)	-228,372.21	-228,372.21
FOR INFORMATION ONLY		
Forward Unrealised P&L	-94,667.31	-94,667.31
Net Liquidating Value	-27,780.21	-27,780.21

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED
SAP0096
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

GROUP NO: **SAPG0096**

13th Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343
Authorised and regulated by the Australian Prudential
Regulation Authority. Authorised by the Prudential
Regulation Authority. Subject to regulation by the Financial
Conduct Authority and limited regulation by the
Prudential Regulation Authority. Details about the extent
of our regulation by the Prudential Regulation Authority are
available from us on request.

GROUP FINANCIAL SUMMARY

	EUR	USD	NET USD
	(1.18360)	(1.00000)	(1.00000)
CASH BALANCE	-46.40	1,504,266.34	1,504,211.42
Variation Margins	- Futures 0.00	25,965.00	25,965.00
	- Options 0.00	0.00	0.00
TOTAL EQUITY	-46.40	1,530,231.34	1,530,176.42
Initial Margins	0.00	-147,500.00	-147,500.00
Excess/(Shortage)	-46.40	1,382,731.34	1,382,676.42
<u>FOR INFORMATION ONLY</u>			
Forward Unrealised P&L	0.00	301,028.01	301,028.01
Net Liquidating Value	-46.40	1,831,259.35	1,831,204.43

OPEN POSITION STATEMENT



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SAP0097J

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
17JUL17	B	3	PD17OCT17	2327.50	2518.25	USD	14,306.25	
17JUL17	B	5	PD17OCT17	2316.00	2518.25	USD	25,281.25	
12SEP17	S	8	PD17OCT17	2291.59	2518.25	USD	-45,332.00	
	NET	0 AVG BGHT 2320.31	SOLD 2291.59				-5,744.50	0.00
19JUL17	B	16	PD19OCT17	2243.50	2518.90	USD	110,160.00	
19JUL17	B	24	PD19OCT17	2244.00	2518.90	USD	164,940.00	
12SEP17	S	40	PD19OCT17	2291.88	2518.90	USD	-227,020.00	
	NET	0 AVG BGHT 2243.80	SOLD 2291.88				48,080.00	0.00
24JUL17	B	8	PD24OCT17	2261.00	2519.65	USD	51,730.00	
24JUL17	B	2	PD24OCT17	2262.00	2519.65	USD	12,882.50	
12SEP17	S	10	PD24OCT17	2293.56	2519.65	USD	-56,522.50	
	NET	0 AVG BGHT 2261.20	SOLD 2293.56				8,090.00	0.00
25JUL17	B	30	PD25OCT17	2314.50	2519.80	USD	153,975.50	
12SEP17	S	30	PD25OCT17	2294.01	2519.80	USD	-169,342.50	
	NET	0 AVG BGHT 2314.50	SOLD 2294.01				-15,367.50	0.00
28JUL17	S	2	PD27OCT17	2296.00	2520.10	USD	-11,205.00	
28JUL17	S	1	PD27OCT17	2297.00	2520.10	USD	-5,577.50	
28JUL17	S	2	PD27OCT17	2296.50	2520.10	USD	-11,180.00	
28JUL17	S	1	PD27OCT17	2298.00	2520.10	USD	-5,552.50	
28JUL17	S	4	PD27OCT17	2301.50	2520.10	USD	-21,860.00	
10AUG17	B	10	PD27OCT17	2363.14	2520.10	USD	39,240.00	
	NET	0 AVG BGHT 2363.14	SOLD 2298.60				-16,135.00	0.00
02AUG17	S	1	PD02NOV17	2323.50	2521.00	USD	-4,937.50	
02AUG17	S	2	PD02NOV17	2339.00	2521.00	USD	-9,100.00	
02AUG17	S	1	PD02NOV17	2357.00	2521.00	USD	-4,100.00	
02AUG17	S	1	PD02NOV17	2357.50	2521.00	USD	-4,087.50	
02AUG17	S	3	PD02NOV17	2359.50	2521.00	USD	-12,112.50	
02AUG17	S	1	PD02NOV17	2351.50	2521.00	USD	-4,237.50	
02AUG17	S	1	PD02NOV17	2356.50	2521.00	USD	-4,112.50	
10AUG17	B	10	PD02NOV17	2364.46	2521.00	USD	39,135.00	
	NET	0 AVG BGHT 2364.46	SOLD 2350.25				-3,552.50	0.00
04AUG17	B	5	PD03NOV17	2352.50	2521.13	USD	21,078.75	
04AUG17	B	5	PD03NOV17	2353.00	2521.13	USD	21,016.25	
12SEP17	S	10	PD03NOV17	2298.08	2521.13	USD	-55,762.50	
	NET	0 AVG BGHT 2352.75	SOLD 2298.08				-13,667.50	0.00
09AUG17	B	2	PD09NOV17	2400.00	2521.94	USD	6,097.00	
09AUG17	B	1	PD09NOV17	2400.50	2521.94	USD	3,036.00	
09AUG17	B	3	PD09NOV17	2401.00	2521.94	USD	9,070.50	
09AUG17	S	1	PD09NOV17	2400.00	2521.94	USD	-3,048.50	
09AUG17	S	5	PD09NOV17	2381.00	2521.94	USD	-17,617.50	
09AUG17	S	10	PD09NOV17	2383.00	2521.94	USD	-34,735.00	
09AUG17	S	5	PD09NOV17	2383.50	2521.94	USD	-17,305.00	
09AUG17	S	3	PD09NOV17	2384.50	2521.94	USD	-10,308.00	
09AUG17	S	7	PD09NOV17	2386.00	2521.94	USD	-23,789.50	
09AUG17	S	3	PD09NOV17	2386.50	2521.94	USD	-10,158.00	
09AUG17	S	2	PD09NOV17	2387.00	2521.94	USD	-6,747.00	
09AUG17	S	1	PD09NOV17	2387.50	2521.94	USD	-3,361.00	
09AUG17	S	10	PD09NOV17	2375.50	2521.94	USD	-36,610.00	
09AUG17	S	10	PD09NOV17	2376.00	2521.94	USD	-36,485.00	
09AUG17	S	9	PD09NOV17	2376.50	2521.94	USD	-32,724.00	
09AUG17	S	6	PD09NOV17	2377.00	2521.94	USD	-21,741.00	
09AUG17	S	1	PD09NOV17	2377.50	2521.94	USD	-3,611.00	
09AUG17	S	1	PD09NOV17	2379.00	2521.94	USD	-3,573.50	
09AUG17	S	5	PD09NOV17	2380.50	2521.94	USD	-17,680.00	
09AUG17	B	10	PD09NOV17	2382.00	2521.94	USD	34,985.00	

OPEN POSITION STATEMENT



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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME LEAD 25 TONNES. THIS IS AN</u>							
	<u>LME REGISTERED CLIENT CONTRACT</u>							
09AUG17	S	5	PD09NOV17	2385.00	2521.94	USD	-17,117.50	
09AUG17	S	10	PD09NOV17	2385.50	2521.94	USD	-34,110.00	
09AUG17	S	5	PD09NOV17	2382.00	2521.94	USD	-17,492.50	
10AUG17	B	80	PD09NOV17	2366.00	2521.94	USD	311,880.00	
12SEP17	B	3	PD09NOV17	2300.79	2521.94	USD	16,586.25	
	NET	0 AVG BGHT 2367.74	SOLD 2381.25				33,440.75	0.00
11AUG17	B	5	PD10NOV17	2340.00	2522.08	USD	22,760.00	
11AUG17	B	2	PD10NOV17	2340.50	2522.08	USD	9,079.00	
11AUG17	B	5	PD10NOV17	2341.50	2522.08	USD	22,572.50	
11AUG17	B	6	PD10NOV17	2342.50	2522.08	USD	26,937.00	
11AUG17	B	1	PD10NOV17	2343.00	2522.08	USD	4,477.00	
11AUG17	B	5	PD10NOV17	2343.50	2522.08	USD	22,322.50	
11AUG17	B	4	PD10NOV17	2344.00	2522.08	USD	17,808.00	
11AUG17	B	1	PD10NOV17	2341.00	2522.08	USD	4,527.00	
11AUG17	B	1	PD10NOV17	2338.50	2522.08	USD	4,589.50	
12SEP17	S	3	PD10NOV17	2301.04	2522.08	USD	-16,578.00	
12SEP17	S	27	PD10NOV17	2301.24	2522.08	USD	-149,067.00	
	NET	0 AVG BGHT 2341.98	SOLD 2301.22				-30,572.50	0.00
15AUG17	B	10	PD15NOV17	2363.00	2522.75	USD	39,937.50	
15AUG17	B	5	PD15NOV17	2364.00	2522.75	USD	19,843.75	
15AUG17	B	5	PD15NOV17	2362.00	2522.75	USD	20,093.75	
15AUG17	B	10	PD15NOV17	2360.00	2522.75	USD	40,687.50	
15AUG17	B	2	PD15NOV17	2364.50	2522.75	USD	7,912.50	
15AUG17	B	5	PD15NOV17	2370.00	2522.75	USD	19,093.75	
15AUG17	B	1	PD15NOV17	2371.00	2522.75	USD	3,793.75	
15AUG17	B	4	PD15NOV17	2372.50	2522.75	USD	15,025.00	
15AUG17	B	5	PD15NOV17	2374.50	2522.75	USD	18,531.25	
15AUG17	B	5	PD15NOV17	2377.00	2522.75	USD	18,218.75	
15AUG17	B	30	PD15NOV17	2375.00	2522.75	USD	110,812.50	
15AUG17	S	2	PD15NOV17	2370.50	2522.75	USD	-7,612.50	
12SEP17	S	15	PD15NOV17	2303.50	2522.75	USD	-82,218.75	
13OCT17	S	65	PD15NOV17	2522.75	2522.75	USD	0.00	
	NET	0 AVG BGHT 2369.60	SOLD 2478.93				224,118.75	0.00
18AUG17	S	12	PD17NOV17	2405.00	2522.75	USD	-35,325.00	
18AUG17	S	12	PD17NOV17	2406.00	2522.75	USD	-35,025.00	
18AUG17	S	5	PD17NOV17	2407.00	2522.75	USD	-14,468.75	
18AUG17	S	1	PD17NOV17	2407.50	2522.75	USD	-2,881.25	
18AUG17	S	2	PD17NOV17	2410.00	2522.75	USD	-5,637.50	
18AUG17	S	7	PD17NOV17	2410.50	2522.75	USD	-19,643.75	
18AUG17	S	21	PD17NOV17	2413.00	2522.75	USD	-57,618.75	
18AUG17	S	1	PD17NOV17	2416.50	2522.75	USD	-2,656.25	
18AUG17	S	3	PD17NOV17	2413.50	2522.75	USD	-8,193.75	
18AUG17	S	10	PD17NOV17	2415.50	2522.75	USD	-26,812.50	
18AUG17	S	4	PD17NOV17	2401.00	2522.75	USD	-12,175.00	
18AUG17	S	9	PD17NOV17	2412.00	2522.75	USD	-24,918.75	
18AUG17	S	3	PD17NOV17	2411.50	2522.75	USD	-8,343.75	
18AUG17	S	3	PD17NOV17	2408.00	2522.75	USD	-8,606.25	
18AUG17	S	10	PD17NOV17	2414.00	2522.75	USD	-27,187.50	
18AUG17	S	4	PD17NOV17	2411.00	2522.75	USD	-11,175.00	
18AUG17	S	3	PD17NOV17	2405.50	2522.75	USD	-8,793.75	
18AUG17	S	2	PD17NOV17	2357.00	2522.75	USD	-8,287.50	
18AUG17	S	5	PD17NOV17	2357.50	2522.75	USD	-20,656.25	
18AUG17	S	9	PD17NOV17	2360.00	2522.75	USD	-36,618.75	
18AUG17	S	3	PD17NOV17	2360.50	2522.75	USD	-12,168.75	
18AUG17	S	1	PD17NOV17	2361.00	2522.75	USD	-4,043.75	
18AUG17	S	5	PD17NOV17	2358.50	2522.75	USD	-20,531.25	

OPEN POSITION STATEMENT



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SAP0097J

13th October 2017

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
18AUG17	S	22	PD17NOV17	2358.00	2522.75	USD	-90,612.50	
18AUG17	S	4	PD17NOV17	2359.50	2522.75	USD	-16,325.00	
18AUG17	S	9	PD17NOV17	2359.00	2522.75	USD	-36,843.75	
12SEP17	B	170	PD17NOV17	2304.01	2522.75	USD	929,645.00	
	NET	0 AVG BGHT 2304.01	SOLD 2392.03				374,095.00	0.00
30AUG17	B	5	PD30NOV17	2378.50	2524.72	USD	18,277.50	
31AUG17	S	3	PD30NOV17	2376.50	2524.72	USD	-11,116.50	
31AUG17	S	2	PD30NOV17	2378.00	2524.72	USD	-7,336.00	
	NET	0 AVG BGHT 2378.50	SOLD 2377.10				-175.00	0.00
04SEP17	S	1	PD04DEC17	2400.50	2525.33	USD	-3,120.75	
04SEP17	S	1	PD04DEC17	2401.50	2525.33	USD	-3,095.75	
04SEP17	S	1	PD04DEC17	2405.00	2525.33	USD	-3,008.25	
04SEP17	S	4	PD04DEC17	2408.00	2525.33	USD	-11,733.00	
04SEP17	S	4	PD04DEC17	2408.50	2525.33	USD	-11,683.00	
04SEP17	S	6	PD04DEC17	2409.00	2525.33	USD	-17,449.50	
04SEP17	S	4	PD04DEC17	2411.50	2525.33	USD	-11,383.00	
04SEP17	S	2	PD04DEC17	2412.50	2525.33	USD	-5,641.50	
04SEP17	S	2	PD04DEC17	2414.00	2525.33	USD	-5,566.50	
12SEP17	B	25	PD04DEC17	2309.24	2525.33	USD	135,056.25	
	NET	0 AVG BGHT 2309.24	SOLD 2409.04				62,375.00	0.00
15SEP17	S	2	PD15DEC17	2351.50	2526.99	USD	-8,774.50	
15SEP17	S	1	PD15DEC17	2359.00	2526.99	USD	-4,199.75	
15SEP17	S	3	PD15DEC17	2357.50	2526.99	USD	-12,711.75	
15SEP17	B	2	PD15DEC17	2359.00	2526.99	USD	8,399.50	
15SEP17	B	2	PD15DEC17	2360.00	2526.99	USD	8,349.50	
15SEP17	B	1	PD15DEC17	2360.50	2526.99	USD	4,162.25	
15SEP17	S	6	PD15DEC17	2355.00	2526.99	USD	-25,798.50	
15SEP17	S	2	PD15DEC17	2355.50	2526.99	USD	-8,574.50	
15SEP17	S	4	PD15DEC17	2358.00	2526.99	USD	-16,899.00	
15SEP17	S	2	PD15DEC17	2354.00	2526.99	USD	-8,649.50	
13OCT17	B	15	PD15DEC17	2527.19	2526.99	USD	-75.00	
	NET	0 AVG BGHT 2485.32	SOLD 2355.78				-64,771.25	0.00
21SEP17	S	6	PD21DEC17	2462.00	2526.75	USD	-9,712.50	
21SEP17	S	2	PD21DEC17	2463.00	2526.75	USD	-3,187.50	
21SEP17	S	1	PD21DEC17	2465.00	2526.75	USD	-1,543.75	
21SEP17	S	1	PD21DEC17	2466.00	2526.75	USD	-1,518.75	
21SEP17	S	5	PD21DEC17	2465.50	2526.75	USD	-7,656.25	
21SEP17	S	1	PD21DEC17	2473.00	2526.75	USD	-1,343.75	
21SEP17	S	3	PD21DEC17	2467.00	2526.75	USD	-4,481.25	
21SEP17	S	2	PD21DEC17	2467.50	2526.75	USD	-2,962.50	
21SEP17	S	2	PD21DEC17	2468.50	2526.75	USD	-2,912.50	
21SEP17	S	2	PD21DEC17	2469.50	2526.75	USD	-2,862.50	
21SEP17	S	1	PD21DEC17	2474.50	2526.75	USD	-1,306.25	
21SEP17	S	1	PD21DEC17	2475.00	2526.75	USD	-1,293.75	
21SEP17	S	1	PD21DEC17	2476.50	2526.75	USD	-1,256.25	
21SEP17	S	1	PD21DEC17	2478.00	2526.75	USD	-1,218.75	
21SEP17	S	2	PD21DEC17	2479.00	2526.75	USD	-2,387.50	
21SEP17	S	1	PD21DEC17	2472.00	2526.75	USD	-1,368.75	
13OCT17	B	32	PD21DEC17	2526.95	2526.75	USD	-160.00	
	NET	0 AVG BGHT 2526.95	SOLD 2467.98				-47,172.50	0.00
22SEP17	S	4	PD22DEC17	2448.50	2526.90	USD	-7,840.00	
22SEP17	S	1	PD22DEC17	2450.50	2526.90	USD	-1,910.00	
22SEP17	S	2	PD22DEC17	2451.50	2526.90	USD	-3,770.00	
22SEP17	S	1	PD22DEC17	2452.00	2526.90	USD	-1,872.50	
22SEP17	S	1	PD22DEC17	2452.50	2526.90	USD	-1,860.00	
22SEP17	S	1	PD22DEC17	2453.00	2526.90	USD	-1,847.50	

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	<u>LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
22SEP17	S	3	PD22DEC17	2449.50	2526.90	USD	-5,805.00	
22SEP17	S	1	PD22DEC17	2449.00	2526.90	USD	-1,947.50	
22SEP17	S	4	PD22DEC17	2450.00	2526.90	USD	-7,690.00	
13OCT17	B	18	PD22DEC17	2527.10	2526.90	USD	-90.00	
	NET	0 AVG BGHT 2527.10	SOLD 2450.14				-34,632.50	0.00
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
17JUL17	S	1	PD17OCT17	2838.50	3294.00	USD	-11,387.50	
17JUL17	S	2	PD17OCT17	2839.50	3294.00	USD	-22,725.00	
17JUL17	S	5	PD17OCT17	2823.00	3294.00	USD	-58,875.00	
12SEP17	B	8	PD17OCT17	3055.73	3294.00	USD	47,654.00	
12SEP17	S	17	PD17OCT17	3055.53	3294.00	USD	-101,349.75	
13OCT17	B	17	PD17OCT17	3294.00	3294.00	USD	0.00	
	NET	0 AVG BGHT 3217.75	SOLD 2983.06				-146,683.25	0.00
19JUL17	S	3	PD19OCT17	2775.50	3286.50	USD	-38,325.00	
19JUL17	S	10	PD19OCT17	2773.50	3286.50	USD	-128,250.00	
19JUL17	S	27	PD19OCT17	2774.00	3286.50	USD	-345,937.50	
12SEP17	B	40	PD19OCT17	3054.75	3286.50	USD	231,750.00	
	NET	0 AVG BGHT 3054.75	SOLD 2773.99				-280,762.50	0.00
24JUL17	S	2	PD24OCT17	2786.50	3276.00	USD	-24,475.00	
24JUL17	S	8	PD24OCT17	2785.50	3276.00	USD	-98,100.00	
12SEP17	B	10	PD24OCT17	3054.81	3276.00	USD	55,297.50	
	NET	0 AVG BGHT 3054.81	SOLD 2785.70				-67,277.50	0.00
25JUL17	S	3	PD25OCT17	2838.00	3273.00	USD	-32,625.00	
25JUL17	S	3	PD25OCT17	2838.50	3273.00	USD	-32,587.50	
25JUL17	S	8	PD25OCT17	2837.00	3273.00	USD	-87,200.00	
25JUL17	S	6	PD25OCT17	2837.50	3273.00	USD	-65,325.00	
25JUL17	S	10	PD25OCT17	2839.50	3273.00	USD	-108,375.00	
12SEP17	B	30	PD25OCT17	3054.95	3273.00	USD	163,537.50	
	NET	0 AVG BGHT 3054.95	SOLD 2838.18				-162,575.00	0.00
28JUL17	B	3	PD27OCT17	2766.50	3270.73	USD	37,817.25	
28JUL17	B	2	PD27OCT17	2768.00	3270.73	USD	25,136.50	
28JUL17	B	1	PD27OCT17	2770.00	3270.73	USD	12,518.25	
28JUL17	B	1	PD27OCT17	2769.00	3270.73	USD	12,543.25	
28JUL17	B	2	PD27OCT17	2768.50	3270.73	USD	25,111.50	
28JUL17	B	1	PD27OCT17	2769.50	3270.73	USD	12,530.75	
10AUG17	S	10	PD27OCT17	2935.30	3270.73	USD	-83,857.50	
	NET	0 AVG BGHT 2768.10	SOLD 2935.30				41,800.00	0.00
02AUG17	B	5	PD02NOV17	2757.00	3266.20	USD	63,650.00	
02AUG17	S	4	PD02NOV17	2758.50	3266.20	USD	-50,770.00	
02AUG17	B	1	PD02NOV17	2769.50	3266.20	USD	12,417.50	
02AUG17	B	1	PD02NOV17	2771.00	3266.20	USD	12,380.00	
02AUG17	B	1	PD02NOV17	2789.00	3266.20	USD	11,930.00	
02AUG17	B	1	PD02NOV17	2790.00	3266.20	USD	11,905.00	
02AUG17	B	1	PD02NOV17	2790.50	3266.20	USD	11,892.50	
02AUG17	B	1	PD02NOV17	2783.50	3266.20	USD	12,067.50	
02AUG17	B	3	PD02NOV17	2791.50	3266.20	USD	35,602.50	
10AUG17	S	10	PD02NOV17	2936.37	3266.20	USD	-82,457.50	
	NET	0 AVG BGHT 2775.21	SOLD 2885.55				38,617.50	0.00
04AUG17	S	5	PD03NOV17	2799.00	3265.07	USD	-58,258.75	
04AUG17	S	5	PD03NOV17	2798.50	3265.07	USD	-58,321.25	
12SEP17	B	10	PD03NOV17	3056.21	3265.07	USD	52,215.00	
	NET	0 AVG BGHT 3056.21	SOLD 2798.75				-64,365.00	0.00
09AUG17	B	1	PD09NOV17	2941.50	3260.53	USD	7,975.75	
09AUG17	S	3	PD09NOV17	2941.00	3260.53	USD	-23,964.75	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0097J

13th October 2017

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London W1J 7NJ UK
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backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
09AUG17	S	1	PD09NOV17	2941.50	3260.53	USD	-7,975.75	
09AUG17	S	2	PD09NOV17	2942.00	3260.53	USD	-15,926.50	
09AUG17	B	7	PD09NOV17	2959.00	3260.53	USD	52,767.75	
09AUG17	B	3	PD09NOV17	2959.50	3260.53	USD	22,577.25	
09AUG17	B	4	PD09NOV17	2953.50	3260.53	USD	30,703.00	
09AUG17	S	10	PD09NOV17	2958.00	3260.53	USD	-75,632.50	
09AUG17	B	3	PD09NOV17	2955.50	3260.53	USD	22,877.25	
09AUG17	B	13	PD09NOV17	2956.00	3260.53	USD	98,972.25	
09AUG17	B	4	PD09NOV17	2957.50	3260.53	USD	30,303.00	
09AUG17	B	21	PD09NOV17	2957.00	3260.53	USD	159,353.25	
09AUG17	B	5	PD09NOV17	2956.50	3260.53	USD	38,003.75	
09AUG17	B	7	PD09NOV17	2954.50	3260.53	USD	53,555.25	
09AUG17	B	25	PD09NOV17	2954.00	3260.53	USD	191,581.25	
09AUG17	B	6	PD09NOV17	2952.50	3260.53	USD	46,204.50	
10AUG17	S	80	PD09NOV17	2937.62	3260.53	USD	-645,820.00	
12SEP17	S	3	PD09NOV17	3057.05	3260.53	USD	-15,261.00	
	NET	0 AVG BGHT 2955.53	SOLD 2943.53				-29,706.25	0.00
11AUG17	S	10	PD10NOV17	2897.00	3259.40	USD	-90,600.00	
11AUG17	S	1	PD10NOV17	2903.00	3259.40	USD	-8,910.00	
11AUG17	S	1	PD10NOV17	2905.00	3259.40	USD	-8,860.00	
11AUG17	S	1	PD10NOV17	2907.50	3259.40	USD	-8,797.50	
11AUG17	S	1	PD10NOV17	2908.50	3259.40	USD	-8,772.50	
11AUG17	S	6	PD10NOV17	2900.00	3259.40	USD	-53,910.00	
11AUG17	S	3	PD10NOV17	2896.00	3259.40	USD	-27,255.00	
11AUG17	S	5	PD10NOV17	2898.00	3259.40	USD	-45,175.00	
11AUG17	S	1	PD10NOV17	2896.50	3259.40	USD	-9,072.50	
11AUG17	S	1	PD10NOV17	2898.50	3259.40	USD	-9,022.50	
12SEP17	B	3	PD10NOV17	3057.39	3259.40	USD	15,150.75	
12SEP17	B	27	PD10NOV17	3057.19	3259.40	USD	136,491.75	
	NET	0 AVG BGHT 3057.21	SOLD 2898.90				-118,732.50	0.00
15AUG17	S	2	PD15NOV17	2939.00	3256.00	USD	-15,850.00	
15AUG17	S	10	PD15NOV17	2943.00	3256.00	USD	-78,250.00	
15AUG17	S	5	PD15NOV17	2944.00	3256.00	USD	-39,000.00	
15AUG17	S	2	PD15NOV17	2942.00	3256.00	USD	-15,700.00	
15AUG17	S	13	PD15NOV17	2940.00	3256.00	USD	-102,700.00	
15AUG17	S	2	PD15NOV17	2950.50	3256.00	USD	-15,275.00	
15AUG17	S	8	PD15NOV17	2951.00	3256.00	USD	-61,000.00	
15AUG17	S	15	PD15NOV17	2955.50	3256.00	USD	-112,687.50	
15AUG17	S	5	PD15NOV17	2956.00	3256.00	USD	-37,500.00	
15AUG17	S	20	PD15NOV17	2955.00	3256.00	USD	-150,500.00	
15AUG17	B	2	PD15NOV17	2951.50	3256.00	USD	15,225.00	
12SEP17	B	15	PD15NOV17	3057.50	3256.00	USD	74,437.50	
13OCT17	B	65	PD15NOV17	3256.00	3256.00	USD	0.00	
	NET	0 AVG BGHT 3212.26	SOLD 2949.43				-538,800.00	0.00
18AUG17	B	5	PD17NOV17	3114.50	3251.00	USD	17,062.50	
18AUG17	B	1	PD17NOV17	3116.00	3251.00	USD	3,375.00	
18AUG17	B	6	PD17NOV17	3116.50	3251.00	USD	20,175.00	
18AUG17	B	9	PD17NOV17	3117.00	3251.00	USD	30,150.00	
18AUG17	B	17	PD17NOV17	3117.50	3251.00	USD	56,737.50	
18AUG17	B	2	PD17NOV17	3122.50	3251.00	USD	6,425.00	
18AUG17	B	5	PD17NOV17	3128.00	3251.00	USD	15,375.00	
18AUG17	B	10	PD17NOV17	3124.00	3251.00	USD	31,750.00	
18AUG17	B	4	PD17NOV17	3130.00	3251.00	USD	12,100.00	
18AUG17	B	10	PD17NOV17	3123.50	3251.00	USD	31,875.00	
18AUG17	B	6	PD17NOV17	3125.00	3251.00	USD	18,900.00	
18AUG17	B	13	PD17NOV17	3127.00	3251.00	USD	40,300.00	

OPEN POSITION STATEMENT



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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
18AUG17	B	2	PD17NOV17	3125.50	3251.00	USD	6,275.00	
18AUG17	B	2	PD17NOV17	3126.50	3251.00	USD	6,225.00	
18AUG17	B	1	PD17NOV17	3134.00	3251.00	USD	2,925.00	
18AUG17	B	3	PD17NOV17	3126.00	3251.00	USD	9,375.00	
18AUG17	B	3	PD17NOV17	3129.50	3251.00	USD	9,112.50	
18AUG17	B	7	PD17NOV17	3128.50	3251.00	USD	21,437.50	
18AUG17	B	3	PD17NOV17	3130.50	3251.00	USD	9,037.50	
18AUG17	B	2	PD17NOV17	3131.00	3251.00	USD	6,000.00	
18AUG17	B	5	PD17NOV17	3131.50	3251.00	USD	14,937.50	
18AUG17	B	5	PD17NOV17	3132.00	3251.00	USD	14,875.00	
18AUG17	B	5	PD17NOV17	3132.50	3251.00	USD	14,812.50	
18AUG17	B	7	PD17NOV17	3134.50	3251.00	USD	20,387.50	
18AUG17	B	1	PD17NOV17	3136.50	3251.00	USD	2,862.50	
18AUG17	B	2	PD17NOV17	3139.00	3251.00	USD	5,600.00	
18AUG17	B	2	PD17NOV17	3136.00	3251.00	USD	5,750.00	
18AUG17	B	11	PD17NOV17	3137.00	3251.00	USD	31,350.00	
18AUG17	B	6	PD17NOV17	3138.00	3251.00	USD	16,950.00	
18AUG17	B	9	PD17NOV17	3138.50	3251.00	USD	25,312.50	
18AUG17	B	6	PD17NOV17	3137.50	3251.00	USD	17,025.00	
12SEP17	S	153	PD17NOV17	3055.51	3251.00	USD	-747,749.25	
13OCT17	S	17	PD17NOV17	3250.80	3251.00	USD	-85.00	
	NET	0 AVG BGHT 3127.59	SOLD 3075.04				-223,359.25	0.00
30AUG17	S	5	PD30NOV17	3105.50	3246.50	USD	-17,625.00	
31AUG17	B	5	PD30NOV17	3107.50	3246.50	USD	17,375.00	
	NET	0 AVG BGHT 3107.50	SOLD 3105.50				-250.00	0.00
04SEP17	B	1	PD04DEC17	3210.50	3246.00	USD	887.50	
04SEP17	B	2	PD04DEC17	3211.00	3246.00	USD	1,750.00	
04SEP17	B	2	PD04DEC17	3212.00	3246.00	USD	1,700.00	
04SEP17	B	2	PD04DEC17	3211.50	3246.00	USD	1,725.00	
04SEP17	B	2	PD04DEC17	3212.50	3246.00	USD	1,675.00	
04SEP17	B	2	PD04DEC17	3213.00	3246.00	USD	1,650.00	
04SEP17	B	2	PD04DEC17	3214.50	3246.00	USD	1,575.00	
04SEP17	B	6	PD04DEC17	3215.00	3246.00	USD	4,650.00	
04SEP17	B	4	PD04DEC17	3216.50	3246.00	USD	2,950.00	
04SEP17	B	2	PD04DEC17	3217.00	3246.00	USD	1,450.00	
12SEP17	S	25	PD04DEC17	3059.11	3246.00	USD	-116,806.25	
	NET	0 AVG BGHT 3213.98	SOLD 3059.11				-96,793.75	0.00
15SEP17	B	2	PD15DEC17	3002.00	3243.75	USD	12,087.50	
15SEP17	B	6	PD15DEC17	3008.00	3243.75	USD	35,362.50	
15SEP17	B	2	PD15DEC17	3010.50	3243.75	USD	11,662.50	
15SEP17	B	2	PD15DEC17	3014.00	3243.75	USD	11,487.50	
15SEP17	B	3	PD15DEC17	3013.50	3243.75	USD	17,268.75	
15SEP17	B	2	PD15DEC17	3031.50	3243.75	USD	10,612.50	
15SEP17	S	4	PD15DEC17	3028.00	3243.75	USD	-21,575.00	
15SEP17	S	1	PD15DEC17	3028.50	3243.75	USD	-5,381.25	
15SEP17	B	3	PD15DEC17	3031.00	3243.75	USD	15,956.25	
13OCT17	S	15	PD15DEC17	3243.55	3243.75	USD	-75.00	
	NET	0 AVG BGHT 3014.88	SOLD 3189.69				87,406.25	0.00
21SEP17	B	5	PD21DEC17	3082.50	3240.00	USD	19,687.50	
21SEP17	B	1	PD21DEC17	3083.00	3240.00	USD	3,925.00	
21SEP17	B	2	PD21DEC17	3084.00	3240.00	USD	7,800.00	
21SEP17	B	1	PD21DEC17	3085.50	3240.00	USD	3,862.50	
21SEP17	B	1	PD21DEC17	3086.50	3240.00	USD	3,837.50	
21SEP17	B	3	PD21DEC17	3087.00	3240.00	USD	11,475.00	
21SEP17	B	1	PD21DEC17	3074.00	3240.00	USD	4,150.00	
21SEP17	B	2	PD21DEC17	3086.00	3240.00	USD	7,700.00	

OPEN POSITION STATEMENT



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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
21SEP17	B	1	PD21DEC17	3070.50	3240.00	USD	4,237.50	
21SEP17	B	1	PD21DEC17	3067.50	3240.00	USD	4,312.50	
21SEP17	B	3	PD21DEC17	3068.00	3240.00	USD	12,900.00	
21SEP17	B	1	PD21DEC17	3068.50	3240.00	USD	4,287.50	
21SEP17	B	1	PD21DEC17	3069.00	3240.00	USD	4,275.00	
21SEP17	B	1	PD21DEC17	3069.50	3240.00	USD	4,262.50	
21SEP17	B	1	PD21DEC17	3073.00	3240.00	USD	4,175.00	
21SEP17	B	2	PD21DEC17	3077.00	3240.00	USD	8,150.00	
21SEP17	B	2	PD21DEC17	3081.00	3240.00	USD	7,950.00	
21SEP17	B	1	PD21DEC17	3081.50	3240.00	USD	3,962.50	
21SEP17	B	1	PD21DEC17	3075.00	3240.00	USD	4,125.00	
21SEP17	B	1	PD21DEC17	3080.50	3240.00	USD	3,987.50	
13OCT17	S	32	PD21DEC17	3239.80	3240.00	USD	-160.00	
	NET	0 AVG BGHT 3078.67	SOLD 3239.80				128,902.50	0.00
22SEP17	B	2	PD22DEC17	3038.50	3239.62	USD	10,056.00	
22SEP17	B	1	PD22DEC17	3039.50	3239.62	USD	5,003.00	
22SEP17	B	1	PD22DEC17	3040.50	3239.62	USD	4,978.00	
22SEP17	B	3	PD22DEC17	3041.00	3239.62	USD	14,896.50	
22SEP17	B	1	PD22DEC17	3042.00	3239.62	USD	4,940.50	
22SEP17	B	10	PD22DEC17	3039.00	3239.62	USD	50,155.00	
13OCT17	S	18	PD22DEC17	3239.42	3239.62	USD	-90.00	
	NET	0 AVG BGHT 3039.56	SOLD 3239.42				89,939.00	0.00

OPEN POSITION VALUE

	USD
LME Lead 25 tonnes. This is an FUTURES	518,408.75
LME Zinc 25 tonnes. This is FUTURES	-1,342,639.75

MARKET REVALUATION BREAKDOWN

Unrealised Profits & Losses - Forwards	Amount	Profit / Loss	Total Amount	Total P&L
LEAD - Futures USD	518,408.75	518,408.75		
ZINC - Futures USD	-1,342,639.75	-1,342,639.75	-824,231.00	-824,231.00

OPEN POSITION STATEMENT



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SAP0097J

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London W1J 7NJ UK
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ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	787,424.20	787,424.20
Variation Margins		
- Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	787,424.20	787,424.20
Initial Margins	-824,231.00	-824,231.00
Excess/(Shortage)	-36,806.80	-36,806.80
FOR INFORMATION ONLY		
Forward Unrealised P&L	-824,231.00	-824,231.00
Net Liquidating Value	-36,806.80	-36,806.80

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED
SAP0097
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

GROUP NO: **SAPG0097**

13th Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343
Authorised and regulated by the Australian Prudential
Regulation Authority. Authorised by the Prudential
Regulation Authority. Subject to regulation by the Financial
Conduct Authority and limited regulation by the
Prudential Regulation Authority. Details about the extent
of our regulation by the Prudential Regulation Authority are
available from us on request.

GROUP FINANCIAL SUMMARY

	USD	NET USD
	(1.00000)	(1.00000)
CASH BALANCE	787,424.20	787,424.20
Variation Margins		
- Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	787,424.20	787,424.20
Initial Margins	-824,231.00	-824,231.00
Excess/(Shortage)	-36,806.80	-36,806.80
<u>FOR INFORMATION ONLY</u>		
Forward Unrealised P&L	-824,231.00	-824,231.00
Net Liquidating Value	-36,806.80	-36,806.80

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0100

13th October 2017

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London W1J 7NJ UK
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ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	36,195.59	36,195.59
Variation Margins		
- Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	36,195.59	36,195.59
Initial Margins	0.00	0.00
Excess/(Shortage)	36,195.59	36,195.59
FOR INFORMATION ONLY		
Net Liquidating Value	36,195.59	36,195.59

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED
SAP0100
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

GROUP NO: **SAPG0100**

13th Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343
Authorised and regulated by the Australian Prudential
Regulation Authority. Authorised by the Prudential
Regulation Authority. Subject to regulation by the Financial
Conduct Authority and limited regulation by the
Prudential Regulation Authority. Details about the extent
of our regulation by the Prudential Regulation Authority are
available from us on request.

GROUP FINANCIAL SUMMARY

	USD	NET USD
	(1.00000)	(1.00000)
CASH BALANCE	36,195.59	36,195.59
Variation Margins		
- Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	36,195.59	36,195.59
Initial Margins	0.00	0.00
Excess/(Shortage)	36,195.59	36,195.59
<u>FOR INFORMATION ONLY</u>		
Net Liquidating Value	36,195.59	36,195.59

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0103

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	816.58	816.58
Variation Margins		
- Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	816.58	816.58
Initial Margins	0.00	0.00
Excess/(Shortage)	816.58	816.58
FOR INFORMATION ONLY		
Net Liquidating Value	816.58	816.58

OPEN POSITION STATEMENT



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SAP0103A

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.</u>							
11SEP17	S	1	PD11DEC17	2127.00	2127.97	USD	-24.25	
11SEP17	S	1	PD11DEC17	2131.50	2127.97	USD	88.25	
11SEP17	S	1	PD11DEC17	2133.50	2127.97	USD	138.25	
11SEP17	S	1	PD11DEC17	2123.50	2127.97	USD	-111.75	
03OCT17	B	4	PD11DEC17	2125.31	2127.97	USD	266.00	
	NET	0 AVG BGHT 2125.31	SOLD 2128.88				356.50	0.00
27SEP17	B	1	PD27DEC17	2126.50	2131.04	USD	113.50	
03OCT17	S	1	PD27DEC17	2129.18	2131.04	USD	-46.50	
	NET	0 AVG BGHT 2126.50	SOLD 2129.18				67.00	0.00
28SEP17	B	1	PD28DEC17	2118.50	2131.14	USD	316.00	
28SEP17	B	1	PD28DEC17	2135.50	2131.14	USD	-109.00	
28SEP17	B	1	PD28DEC17	2137.50	2131.14	USD	-159.00	
03OCT17	S	3	PD28DEC17	2129.41	2131.14	USD	-129.75	
	NET	0 AVG BGHT 2130.50	SOLD 2129.41				-81.75	0.00
	<u>CME EURO FX 125,000 CME</u>							
12SEP17	S	2	DEC17	1.20245	1.18580	USD	4,162.50	
	NET	-2 AVG SOLD 1.20245					4,162.50	0.00
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
18SEP17	S	1	PD02NOV17	3100.83	3266.20	USD	-4,134.25	
18SEP17	S	1	PD02NOV17	3082.83	3266.20	USD	-4,584.25	
18SEP17	S	1	PD02NOV17	3082.58	3266.20	USD	-4,590.50	
18SEP17	S	1	PD02NOV17	3102.00	3266.20	USD	-4,105.00	
18SEP17	S	1	PD02NOV17	3110.00	3266.20	USD	-3,905.00	
20SEP17	S	1	PD02NOV17	3151.95	3266.20	USD	-2,856.25	
20SEP17	S	2	PD02NOV17	3150.95	3266.20	USD	-5,762.50	
20SEP17	S	2	PD02NOV17	3150.45	3266.20	USD	-5,787.50	
09OCT17	B	1	PD02NOV17	3262.00	3266.20	USD	105.00	
09OCT17	B	1	PD02NOV17	3264.00	3266.20	USD	55.00	
09OCT17	B	1	PD02NOV17	3263.50	3266.20	USD	67.50	
09OCT17	B	1	PD02NOV17	3263.00	3266.20	USD	80.00	
09OCT17	B	1	PD02NOV17	3256.00	3266.20	USD	255.00	
10OCT17	B	1	PD02NOV17	3248.15	3266.20	USD	451.25	
11OCT17	B	1	PD02NOV17	3265.00	3266.20	USD	30.00	
11OCT17	B	1	PD02NOV17	3258.50	3266.20	USD	192.50	
	NET	-2 AVG BGHT 3260.02	SOLD 3123.30				-34,489.00	0.00
18SEP17	S	1	PD18DEC17	3083.00	3243.50	USD	-4,012.50	
18SEP17	S	1	PD18DEC17	3100.50	3243.50	USD	-3,575.00	
18SEP17	S	1	PD18DEC17	3099.00	3243.50	USD	-3,612.50	
18SEP17	B	1	PD18DEC17	3100.66	3243.50	USD	3,571.00	
18SEP17	B	1	PD18DEC17	3082.66	3243.50	USD	4,021.00	
18SEP17	B	1	PD18DEC17	3082.41	3243.50	USD	4,027.25	
18SEP17	S	1	PD18DEC17	3107.00	3243.50	USD	-3,412.50	
18SEP17	B	1	PD18DEC17	3102.31	3243.50	USD	3,529.75	
18SEP17	B	1	PD18DEC17	3110.31	3243.50	USD	3,329.75	
18SEP17	S	1	PD18DEC17	3088.50	3243.50	USD	-3,875.00	
	NET	0 AVG BGHT 3095.67	SOLD 3095.60				-8.75	0.00
20SEP17	S	1	PD20DEC17	3149.00	3243.00	USD	-2,350.00	
20SEP17	S	3	PD20DEC17	3149.50	3243.00	USD	-7,012.50	
20SEP17	S	1	PD20DEC17	3150.50	3243.00	USD	-2,312.50	
20SEP17	B	1	PD20DEC17	3151.41	3243.00	USD	2,289.75	
20SEP17	B	2	PD20DEC17	3150.41	3243.00	USD	4,629.50	
20SEP17	B	2	PD20DEC17	3149.91	3243.00	USD	4,654.50	
	NET	0 AVG BGHT 3150.41	SOLD 3149.60				-101.25	0.00
09OCT17	B	1	PD09JAN18	3242.00	3236.15	USD	-146.25	

OPEN POSITION STATEMENT



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Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
09OCT17	B 1 PD09JAN18	3238.00	3236.15	USD	-46.25	
09OCT17	B 1 PD09JAN18	3242.50	3236.15	USD	-158.75	
09OCT17	B 2 PD09JAN18	3243.00	3236.15	USD	-342.50	
09OCT17	S 1 PD09JAN18	3242.85	3236.15	USD	167.50	
09OCT17	S 1 PD09JAN18	3244.85	3236.15	USD	217.50	
09OCT17	S 1 PD09JAN18	3244.35	3236.15	USD	205.00	
09OCT17	S 1 PD09JAN18	3243.85	3236.15	USD	192.50	
09OCT17	S 1 PD09JAN18	3238.35	3236.15	USD	55.00	
	NET 0AVG BGHT 3241.70 SOLD 3242.85				143.75	0.00
10OCT17	B 1 PD10JAN18	3221.00	3235.77	USD	369.25	
10OCT17	S 1 PD10JAN18	3223.35	3235.77	USD	-310.50	
	NET 0AVG BGHT 3221.00 SOLD 3223.35				58.75	0.00
11OCT17	B 1 PD11JAN18	3200.50	3235.38	USD	872.00	
11OCT17	B 1 PD11JAN18	3218.00	3235.38	USD	434.50	
11OCT17	S 1 PD11JAN18	3224.85	3235.38	USD	-263.25	
11OCT17	S 1 PD11JAN18	3221.35	3235.38	USD	-350.75	
	NET 0AVG BGHT 3209.25 SOLD 3223.10				692.50	0.00

OPEN POSITION VALUE

		USD
LME Aluminium 25 Tonnes.	FUTURES	341.75
CME Euro FX	FUTURES	300,612.50
LME Zinc 25 tonnes. This is	FUTURES	129,606.00

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options	Amount	Profit / Loss	Total Amount	Total P&L
EUSD - Futures USD	4,162.50	4,162.50	4,162.50	4,162.50
Unrealised Profits & Losses - Forwards	Amount	Profit / Loss	Total Amount	Total P&L
ALUL - Futures USD	341.75	341.75		
ZINC - Futures USD	-33,704.00	-33,704.00	-33,362.25	-33,362.25

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
CASH BALANCE	(1.00000)	(1.00000)
Variation Margins - Futures	771,917.37	771,917.37
- Options	4,162.50	4,162.50
	0.00	0.00
TOTAL EQUITY	776,079.87	776,079.87
Initial Margins	-48,405.25	-48,405.25
Excess/(Shortage)	727,674.62	727,674.62
FOR INFORMATION ONLY		
Forward Unrealised P&L	-33,362.25	-33,362.25
Net Liquidating Value	742,717.62	742,717.62

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0103B

13th October 2017

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London W1J 7NJ UK
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Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	CMX COPPER 25,000 CMX					
05OCT17	S 1 DEC17	300.150	313.350	USD	-3,300.00	
05OCT17	S 1 DEC17	302.400	313.350	USD	-2,737.50	
05OCT17	S 1 DEC17	303.100	313.350	USD	-2,562.50	
	NET -3 AVG SOLD 301.883				-8,600.00	0.00
	NYM HENRY HUB NATURAL GAS 10,000 NYM					
04OCT17	B 2 NOV17	2.91600	3.00000	USD	1,680.00	
04OCT17	B 2 NOV17	2.91700	3.00000	USD	1,660.00	
	NET +4 AVG BGHT 2.91650				3,340.00	0.00

OPEN POSITION VALUE

		USD
CMX Copper	FUTURES	226,412.50
NYM Henry Hub Natural Gas	FUTURES	-116,660.00

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options	Amount	Profit / Loss	Total Amount	Total P&L
COPR - Futures USD	-8,600.00	-8,600.00		
HGAS - Futures USD	3,340.00	3,340.00	-5,260.00	-5,260.00

ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
	(1.00000)	(1.00000)	
CASH BALANCE	72,997.45	72,997.45	
Variation Margins - Futures	-5,260.00	-5,260.00	
- Options	0.00	0.00	
TOTAL EQUITY	67,737.45	67,737.45	
Initial Margins	-16,650.00	-16,650.00	
Excess/(Shortage)	51,087.45	51,087.45	
FOR INFORMATION ONLY			
Net Liquidating Value	67,737.45	67,737.45	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0103C

13th October 2017

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Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
12OCT17	CMX COPPER 25,000 CMX S 1 DEC17 NET -1AVG SOLD 313.050	313.050	313.350	USD	-75.00 -75.00	0.00
12OCT17	NYM HENRY HUB NATURAL GAS 10,000 NYM B 1 NOV17 NET +1AVG BGHT 2.90900	2.90900	3.00000	USD	910.00 910.00	0.00
21SEP17	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT S 1 PD02NOV17 NET -1AVG SOLD 3088.50	3088.50	3266.20	USD	-4,442.50 -4,442.50	0.00
21SEP17	S 1 PD21DEC17	3084.00	3240.00	USD	-3,900.00	
21SEP17	B 1 PD21DEC17	3087.90	3240.00	USD	3,802.50	
	NET 0AVG BGHT 3087.90 SOLD 3084.00				-97.50	0.00
11OCT17	B 1 PD11JAN18 NET +1AVG BGHT 3221.00	3221.00	3235.38	USD	359.50 359.50	0.00

OPEN POSITION VALUE

		USD
CMX Copper	FUTURES	78,262.50
NYM Henry Hub Natural Gas	FUTURES	-29,090.00
LME Zinc 25 tonnes. This is	FUTURES	-3,410.00

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options	Amount	Profit / Loss	Total Amount	Total P&L
COPR - Futures USD	-75.00	-75.00		
HGAS - Futures USD	910.00	910.00	835.00	835.00
Unrealised Profits & Losses - Forwards				
ZINC - Futures USD	-4,180.50	-4,180.50	-4,180.50	-4,180.50

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
CASH BALANCE	(1.00000)	(1.00000)
Variation Margins - Futures	60,295.35	60,295.35
- Options	835.00	835.00
	0.00	0.00
TOTAL EQUITY	61,130.35	61,130.35
Initial Margins	-9,666.50	-9,666.50
Excess/(Shortage)	51,463.85	51,463.85
FOR INFORMATION ONLY		
Forward Unrealised P&L	-4,180.50	-4,180.50
Net Liquidating Value	56,949.85	56,949.85

OPEN POSITION STATEMENT



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SAP0103D

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London W1J 7NJ UK
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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	CMX COPPER 25,000 CMX							
05OCT17	S	1	DEC17	301.200	313.350	USD	-3,037.50	
10OCT17	S	1	DEC17	306.200	313.350	USD	-1,787.50	
10OCT17	S	1	DEC17	306.000	313.350	USD	-1,837.50	
	NET -3 AVG SOLD 304.467						-6,662.50	0.00
	NYM HENRY HUB NATURAL GAS 10,000 NYM							
04OCT17	B	1	NOV17	2.91800	3.00000	USD	820.00	
04OCT17	B	1	NOV17	2.91500	3.00000	USD	850.00	
04OCT17	B	2	NOV17	2.91700	3.00000	USD	1,660.00	
	NET +4 AVG BGHT 2.91675						3,330.00	0.00
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT							
18SEP17	S	1	PD02NOV17	3103.33	3266.20	USD	-4,071.75	
18SEP17	S	1	PD02NOV17	3103.08	3266.20	USD	-4,078.00	
18SEP17	S	2	PD02NOV17	3074.33	3266.20	USD	-9,593.50	
19SEP17	S	1	PD02NOV17	3085.75	3266.20	USD	-4,511.25	
20SEP17	S	1	PD02NOV17	3152.20	3266.20	USD	-2,850.00	
20SEP17	S	1	PD02NOV17	3131.75	3266.20	USD	-3,361.25	
20SEP17	S	1	PD02NOV17	3130.75	3266.20	USD	-3,386.25	
22SEP17	B	1	PD02NOV17	3023.63	3266.20	USD	6,064.25	
25SEP17	S	1	PD02NOV17	3103.23	3266.20	USD	-4,074.25	
09OCT17	B	1	PD02NOV17	3258.50	3266.20	USD	192.50	
09OCT17	B	1	PD02NOV17	3246.50	3266.20	USD	492.50	
09OCT17	B	1	PD02NOV17	3254.00	3266.20	USD	305.00	
11OCT17	B	2	PD02NOV17	3259.00	3266.20	USD	360.00	
11OCT17	B	1	PD02NOV17	3254.50	3266.20	USD	292.50	
11OCT17	B	1	PD02NOV17	3257.00	3266.20	USD	230.00	
	NET -1 AVG BGHT 3226.52 SOLD 3106.53						-27,989.50	0.00
18SEP17	S	1	PD18DEC17	3087.50	3243.50	USD	-3,900.00	
18SEP17	S	1	PD18DEC17	3088.00	3243.50	USD	-3,887.50	
18SEP17	S	1	PD18DEC17	3083.50	3243.50	USD	-4,000.00	
18SEP17	S	1	PD18DEC17	3090.00	3243.50	USD	-3,837.50	
18SEP17	B	1	PD18DEC17	3103.16	3243.50	USD	3,508.50	
18SEP17	B	1	PD18DEC17	3102.91	3243.50	USD	3,514.75	
18SEP17	B	2	PD18DEC17	3076.66	3243.50	USD	8,342.00	
	NET 0 AVG BGHT 3089.85 SOLD 3087.25						-259.75	0.00
19SEP17	S	1	PD19DEC17	3090.00	3243.25	USD	-3,831.25	
19SEP17	B	1	PD19DEC17	3086.65	3243.25	USD	3,915.00	
	NET 0 AVG BGHT 3086.65 SOLD 3090.00						83.75	0.00
20SEP17	S	1	PD20DEC17	3133.50	3243.00	USD	-2,737.50	
20SEP17	S	1	PD20DEC17	3128.00	3243.00	USD	-2,875.00	
20SEP17	S	1	PD20DEC17	3148.50	3243.00	USD	-2,362.50	
20SEP17	B	1	PD20DEC17	3151.66	3243.00	USD	2,283.50	
20SEP17	B	1	PD20DEC17	3132.90	3243.00	USD	2,752.50	
20SEP17	B	1	PD20DEC17	3131.90	3243.00	USD	2,777.50	
	NET 0 AVG BGHT 3138.82 SOLD 3136.67						-161.50	0.00
22SEP17	B	1	PD22DEC17	3014.00	3239.62	USD	5,640.50	
22SEP17	S	1	PD22DEC17	3015.35	3239.62	USD	-5,606.75	
	NET 0 AVG BGHT 3014.00 SOLD 3015.35						33.75	0.00
25SEP17	S	1	PD27DEC17	3095.50	3239.23	USD	-3,593.25	
25SEP17	B	1	PD27DEC17	3095.91	3239.23	USD	3,583.00	
	NET 0 AVG BGHT 3095.91 SOLD 3095.50						-10.25	0.00
09OCT17	B	1	PD09JAN18	3244.50	3236.15	USD	-208.75	
09OCT17	B	1	PD09JAN18	3231.50	3236.15	USD	116.25	
09OCT17	B	1	PD09JAN18	3233.50	3236.15	USD	66.25	
09OCT17	B	1	PD09JAN18	3245.50	3236.15	USD	-233.75	
09OCT17	S	1	PD09JAN18	3238.35	3236.15	USD	55.00	

OPEN POSITION STATEMENT



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Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
09OCT17	S 1 PD09JAN18	3229.35	3236.15	USD	-170.00	
09OCT17	S 1 PD09JAN18	3233.85	3236.15	USD	-57.50	
	NET +1AVG BGHT 3238.75 SOLD 3233.85				-432.50	0.00
10OCT17	B 1 PD10JAN18	3227.50	3235.77	USD	206.75	
	NET +1AVG BGHT 3227.50				206.75	0.00
11OCT17	B 1 PD11JAN18	3221.50	3235.38	USD	347.00	
11OCT17	B 1 PD11JAN18	3221.00	3235.38	USD	359.50	
11OCT17	B 1 PD11JAN18	3218.00	3235.38	USD	434.50	
11OCT17	S 2 PD11JAN18	3220.85	3235.38	USD	-726.50	
11OCT17	S 1 PD11JAN18	3216.35	3235.38	USD	-475.75	
11OCT17	S 1 PD11JAN18	3218.85	3235.38	USD	-413.25	
	NET -1AVG BGHT 3220.17 SOLD 3219.23				-474.50	0.00

OPEN POSITION VALUE

		<u>USD</u>
CMX Copper	FUTURES	228,350.00
NYM Henry Hub Natural Gas	FUTURES	-116,670.00
LME Zinc 25 tonnes. This is	FUTURES	-28,262.25

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options	Amount	Profit / Loss	Total Amount	Total P&L
COPR - Futures USD	-6,662.50	-6,662.50		
HGAS - Futures USD	3,330.00	3,330.00	-3,332.50	-3,332.50
Unrealised Profits & Losses - Forwards				
ZINC - Futures USD	-29,003.75	-29,003.75	-29,003.75	-29,003.75

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	107,947.60	107,947.60
Variation Margins - Futures	-3,332.50	-3,332.50
- Options	0.00	0.00
TOTAL EQUITY	104,615.10	104,615.10
Initial Margins	-46,338.75	-46,338.75
Excess/(Shortage)	58,276.35	58,276.35
FOR INFORMATION ONLY		
Forward Unrealised P&L	-29,003.75	-29,003.75
Net Liquidating Value	75,611.35	75,611.35

OPEN POSITION STATEMENT



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Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	CMX GOLD 100 CMX					
09AUG17	S 1 DEC17	1276.9	1304.6	USD	-2,770.00	
09AUG17	S 1 DEC17	1279.1	1304.6	USD	-2,550.00	
	NET -2 AVG SOLD 1278.0				-5,320.00	0.00
	CMX SILVER 5,000 CMX					
30AUG17	B 1 DEC17	1750.5	1741.1	USD	-470.00	
	NET +1 AVG BGHT 1750.5				-470.00	0.00

OPEN POSITION VALUE

		USD
CMX Gold	FUTURES	255,600.00
CMX Silver	FUTURES	-87,525.00

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options	Amount	Profit / Loss	Total Amount	Total P&L
GOLD - Futures	USD -5,320.00	-5,320.00		
SILV - Futures	USD -470.00	-470.00	-5,790.00	-5,790.00

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	90,017.61	90,017.61
Variation Margins - Futures	-5,790.00	-5,790.00
- Options	0.00	0.00
TOTAL EQUITY	84,227.61	84,227.61
Initial Margins	-7,600.00	-7,600.00
Excess/(Shortage)	76,627.61	76,627.61
FOR INFORMATION ONLY		
Net Liquidating Value	84,227.61	84,227.61

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0103F

13th October 2017

Sapien Capital Limited
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	<u>LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.</u>							
17JUL17	B	8	PD17OCT17	1924.50	2109.75	USD	37,050.00	
21JUL17	S	7	PD17OCT17	1914.85	2109.75	USD	-34,107.50	
21JUL17	S	1	PD17OCT17	1915.05	2109.75	USD	-4,867.50	
	NET	0 AVG BGHT 1924.50	SOLD 1914.88				-1,925.00	0.00
18JUL17	S	1	PD18OCT17	1921.00	2110.25	USD	-4,731.25	
21JUL17	B	1	PD18OCT17	1915.45	2110.25	USD	4,870.00	
	NET	0 AVG BGHT 1915.45	SOLD 1921.00				138.75	0.00
21JUL17	S	1	PD20OCT17	1914.00	2111.25	USD	-4,931.25	
21JUL17	S	1	PD20OCT17	1915.50	2111.25	USD	-4,893.75	
21JUL17	S	1	PD20OCT17	1916.50	2111.25	USD	-4,868.75	
21JUL17	S	2	PD20OCT17	1918.00	2111.25	USD	-9,662.50	
21JUL17	S	1	PD20OCT17	1916.00	2111.25	USD	-4,881.25	
26JUL17	B	6	PD20OCT17	1940.71	2111.25	USD	25,581.00	
	NET	0 AVG BGHT 1940.71	SOLD 1916.33				-3,656.50	0.00
24JUL17	B	2	PD24OCT17	1913.00	2113.25	USD	10,012.50	
24JUL17	S	2	PD24OCT17	1913.00	2113.25	USD	-10,012.50	
24JUL17	B	2	PD24OCT17	1912.50	2113.25	USD	10,037.50	
24JUL17	B	1	PD24OCT17	1914.50	2113.25	USD	4,968.75	
24JUL17	S	1	PD24OCT17	1909.50	2113.25	USD	-5,093.75	
24JUL17	B	1	PD24OCT17	1909.50	2113.25	USD	5,093.75	
24JUL17	B	2	PD24OCT17	1910.00	2113.25	USD	10,162.50	
24JUL17	B	1	PD24OCT17	1910.50	2113.25	USD	5,068.75	
26JUL17	S	6	PD24OCT17	1941.37	2113.25	USD	-25,782.00	
	NET	0 AVG BGHT 1911.72	SOLD 1931.52				4,455.50	0.00
26JUL17	S	1	PD26OCT17	1958.50	2114.25	USD	-3,893.75	
26JUL17	S	2	PD26OCT17	1959.00	2114.25	USD	-7,762.50	
26JUL17	S	1	PD26OCT17	1959.50	2114.25	USD	-3,868.75	
26JUL17	S	1	PD26OCT17	1956.50	2114.25	USD	-3,943.75	
26JUL17	S	1	PD26OCT17	1957.00	2114.25	USD	-3,931.25	
26JUL17	B	1	PD26OCT17	1944.00	2114.25	USD	4,256.25	
26JUL17	B	1	PD26OCT17	1945.50	2114.25	USD	4,218.75	
26JUL17	B	4	PD26OCT17	1943.50	2114.25	USD	17,075.00	
	NET	0 AVG BGHT 1943.92	SOLD 1958.25				2,150.00	0.00
28JUL17	B	1	PD27OCT17	1925.00	2114.08	USD	4,727.00	
03AUG17	S	1	PD27OCT17	1914.05	2114.08	USD	-5,000.75	
	NET	0 AVG BGHT 1925.00	SOLD 1914.05				-273.75	0.00
31JUL17	B	1	PD31OCT17	1921.00	2113.75	USD	4,818.75	
31JUL17	B	1	PD31OCT17	1918.00	2113.75	USD	4,893.75	
31JUL17	B	1	PD31OCT17	1917.50	2113.75	USD	4,906.25	
03AUG17	S	3	PD31OCT17	1915.05	2113.75	USD	-14,902.50	
	NET	0 AVG BGHT 1918.83	SOLD 1915.05				-283.75	0.00
12SEP17	S	10	PD02NOV17	2125.08	2114.44	USD	2,660.00	
12OCT17	B	9	PD02NOV17	2127.25	2114.44	USD	-2,882.25	
	NET	-1 AVG BGHT 2127.25	SOLD 2125.08				-222.25	0.00
07AUG17	S	1	PD07NOV17	1944.50	2116.16	USD	-4,291.50	
07AUG17	S	1	PD07NOV17	1945.50	2116.16	USD	-4,266.50	
07AUG17	S	1	PD07NOV17	1947.00	2116.16	USD	-4,229.00	
07AUG17	S	1	PD07NOV17	1946.00	2116.16	USD	-4,254.00	
08AUG17	B	4	PD07NOV17	2030.00	2116.16	USD	8,616.00	
	NET	0 AVG BGHT 2030.00	SOLD 1945.75				-8,425.00	0.00
08AUG17	S	1	PD08NOV17	1993.00	2116.50	USD	-3,087.50	
08AUG17	S	1	PD08NOV17	1992.00	2116.50	USD	-3,112.50	
08AUG17	S	1	PD08NOV17	1992.50	2116.50	USD	-3,100.00	
08AUG17	S	1	PD08NOV17	1990.50	2116.50	USD	-3,150.00	
08AUG17	S	1	PD08NOV17	1991.50	2116.50	USD	-3,125.00	

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	<u>LME ALUMINIUM 25 TONNES. THIS IS AN</u>							
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08AUG17	S	1	PD08NOV17	1998.50	2116.50	USD	-2,950.00	
08AUG17	S	1	PD08NOV17	1999.00	2116.50	USD	-2,937.50	
08AUG17	S	1	PD08NOV17	2000.00	2116.50	USD	-2,912.50	
08AUG17	S	1	PD08NOV17	2009.50	2116.50	USD	-2,675.00	
08AUG17	S	3	PD08NOV17	2010.00	2116.50	USD	-7,987.50	
08AUG17	S	1	PD08NOV17	2011.00	2116.50	USD	-2,637.50	
08AUG17	S	1	PD08NOV17	2007.50	2116.50	USD	-2,725.00	
08AUG17	S	1	PD08NOV17	2010.50	2116.50	USD	-2,650.00	
08AUG17	B	1	PD08NOV17	2031.00	2116.50	USD	2,137.50	
08AUG17	B	5	PD08NOV17	2030.20	2116.50	USD	10,787.50	
09AUG17	B	9	PD08NOV17	2027.52	2116.50	USD	20,020.50	
	NET	0 AVG BGHT 2028.65	SOLD 2001.70				-10,104.50	0.00
11AUG17	S	4	PD10NOV17	2040.00	2117.43	USD	-7,743.00	
11AUG17	S	1	PD10NOV17	2040.50	2117.43	USD	-1,923.25	
11AUG17	B	1	PD10NOV17	2041.50	2117.43	USD	1,898.25	
11AUG17	B	1	PD10NOV17	2042.50	2117.43	USD	1,873.25	
11AUG17	B	2	PD10NOV17	2040.50	2117.43	USD	3,846.50	
11AUG17	B	1	PD10NOV17	2041.00	2117.43	USD	1,910.75	
	NET	0 AVG BGHT 2041.20	SOLD 2040.10				-137.50	0.00
23AUG17	B	1	PD24NOV17	2067.00	2122.60	USD	1,390.00	
23AUG17	S	1	PD24NOV17	2066.50	2122.60	USD	-1,402.50	
25AUG17	B	1	PD24NOV17	2083.00	2122.60	USD	990.00	
25AUG17	B	1	PD24NOV17	2093.50	2122.60	USD	727.50	
25AUG17	B	1	PD24NOV17	2085.50	2122.60	USD	927.50	
25AUG17	B	1	PD24NOV17	2078.50	2122.60	USD	1,102.50	
25AUG17	S	1	PD24NOV17	2078.00	2122.60	USD	-1,115.00	
30AUG17	S	3	PD24NOV17	2086.15	2122.60	USD	-2,733.75	
	NET	0 AVG BGHT 2081.50	SOLD 2080.59				-113.75	0.00
31AUG17	B	1	PD30NOV17	2097.00	2124.49	USD	687.25	
31AUG17	B	1	PD30NOV17	2097.50	2124.49	USD	674.75	
31AUG17	B	1	PD30NOV17	2099.00	2124.49	USD	637.25	
31AUG17	S	1	PD30NOV17	2104.00	2124.49	USD	-512.25	
31AUG17	S	1	PD30NOV17	2104.50	2124.49	USD	-499.75	
31AUG17	S	1	PD30NOV17	2105.00	2124.49	USD	-487.25	
31AUG17	S	2	PD30NOV17	2106.00	2124.49	USD	-924.50	
31AUG17	S	1	PD30NOV17	2111.50	2124.49	USD	-324.75	
31AUG17	S	1	PD30NOV17	2112.00	2124.49	USD	-312.25	
31AUG17	S	1	PD30NOV17	2114.00	2124.49	USD	-262.25	
31AUG17	S	1	PD30NOV17	2116.50	2124.49	USD	-199.75	
31AUG17	S	1	PD30NOV17	2115.00	2124.49	USD	-237.25	
31AUG17	B	1	PD30NOV17	2138.00	2124.49	USD	-337.75	
31AUG17	B	1	PD30NOV17	2136.50	2124.49	USD	-300.25	
31AUG17	B	2	PD30NOV17	2128.50	2124.49	USD	-200.50	
31AUG17	B	1	PD30NOV17	2130.00	2124.49	USD	-137.75	
31AUG17	B	3	PD30NOV17	2131.00	2124.49	USD	-488.25	
31AUG17	S	1	PD30NOV17	2128.50	2124.49	USD	100.25	
31AUG17	B	1	PD30NOV17	2099.50	2124.49	USD	624.75	
31AUG17	S	1	PD30NOV17	2127.00	2124.49	USD	62.75	
31AUG17	S	1	PD30NOV17	2128.00	2124.49	USD	87.75	
31AUG17	S	11	PD30NOV17	2116.80	2124.49	USD	-2,114.75	
12SEP17	B	2	PD30NOV17	2133.28	2124.49	USD	-439.50	
12SEP17	B	10	PD30NOV17	2133.48	2124.49	USD	-2,247.50	
	NET	0 AVG BGHT 2127.04	SOLD 2115.12				-7,151.50	0.00
04SEP17	B	1	PD04DEC17	2108.50	2125.76	USD	431.50	
04SEP17	B	1	PD04DEC17	2117.00	2125.76	USD	219.00	
12SEP17	S	2	PD04DEC17	2134.32	2125.76	USD	428.00	

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	<u>LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.</u>							
	NET	0 AVG BGHT 2112.75	SOLD 2134.32				1,078.50	0.00
20SEP17	S	1 PD20DEC17		2155.00	2130.50	USD	612.50	
20SEP17	S	1 PD20DEC17		2156.50	2130.50	USD	650.00	
20SEP17	S	1 PD20DEC17		2164.50	2130.50	USD	850.00	
20SEP17	S	1 PD20DEC17		2190.50	2130.50	USD	1,500.00	
20SEP17	S	2 PD20DEC17		2157.00	2130.50	USD	1,325.00	
20SEP17	S	2 PD20DEC17		2155.50	2130.50	USD	1,250.00	
20SEP17	S	1 PD20DEC17		2166.00	2130.50	USD	887.50	
20SEP17	B	1 PD20DEC17		2163.00	2130.50	USD	-812.50	
20SEP17	B	1 PD20DEC17		2165.00	2130.50	USD	-862.50	
20SEP17	B	1 PD20DEC17		2168.50	2130.50	USD	-950.00	
27SEP17	B	6 PD20DEC17		2129.50	2130.50	USD	150.00	
	NET	0 AVG BGHT 2141.50	SOLD 2161.94				4,600.00	0.00
21SEP17	B	2 PD21DEC17		2182.00	2130.50	USD	-2,575.00	
21SEP17	B	1 PD21DEC17		2183.00	2130.50	USD	-1,312.50	
21SEP17	B	1 PD21DEC17		2184.50	2130.50	USD	-1,350.00	
21SEP17	B	2 PD21DEC17		2186.00	2130.50	USD	-2,775.00	
27SEP17	S	6 PD21DEC17		2129.59	2130.50	USD	-136.50	
	NET	0 AVG BGHT 2183.92	SOLD 2129.59				-8,149.00	0.00
11OCT17	B	1 PD11JAN18		2141.00	2133.34	USD	-191.50	
11OCT17	B	1 PD11JAN18		2142.00	2133.34	USD	-216.50	
11OCT17	B	3 PD11JAN18		2143.50	2133.34	USD	-762.00	
11OCT17	B	3 PD11JAN18		2144.00	2133.34	USD	-799.50	
11OCT17	B	1 PD11JAN18		2144.50	2133.34	USD	-279.00	
12OCT17	S	9 PD11JAN18		2146.12	2133.34	USD	2,875.50	
	NET	0 AVG BGHT 2143.33	SOLD 2146.12				627.00	0.00
	<u>LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
17JUL17	B	3 PD17OCT17		2315.50	2518.25	USD	15,206.25	
17JUL17	B	1 PD17OCT17		2316.00	2518.25	USD	5,056.25	
21JUL17	S	4 PD17OCT17		2239.35	2518.25	USD	-27,890.00	
	NET	0 AVG BGHT 2315.63	SOLD 2239.35				-7,627.50	0.00
28SEP17	S	8 PD02NOV17		2470.79	2521.00	USD	-10,042.00	
29SEP17	S	12 PD02NOV17		2492.15	2521.00	USD	-8,655.00	
29SEP17	S	17 PD02NOV17		2492.35	2521.00	USD	-12,176.25	
13OCT17	B	27 PD02NOV17		2521.00	2521.00	USD	0.00	
	NET	-10 AVG BGHT 2521.00	SOLD 2487.62				-30,873.25	0.00
04AUG17	S	1 PD03NOV17		2361.00	2521.13	USD	-4,003.25	
04AUG17	S	1 PD03NOV17		2362.00	2521.13	USD	-3,978.25	
04AUG17	B	2 PD03NOV17		2357.00	2521.13	USD	8,206.50	
04AUG17	S	1 PD03NOV17		2357.50	2521.13	USD	-4,090.75	
30AUG17	B	1 PD03NOV17		2362.92	2521.13	USD	3,955.25	
	NET	0 AVG BGHT 2358.97	SOLD 2360.17				89.50	0.00
10AUG17	B	1 PD10NOV17		2355.00	2522.08	USD	4,177.00	
30AUG17	S	1 PD10NOV17		2364.81	2522.08	USD	-3,931.75	
	NET	0 AVG BGHT 2355.00	SOLD 2364.81				245.25	0.00
24AUG17	B	1 PD24NOV17		2351.50	2523.81	USD	4,307.75	
24AUG17	S	1 PD24NOV17		2356.80	2523.81	USD	-4,175.25	
	NET	0 AVG BGHT 2351.50	SOLD 2356.80				132.50	0.00
31AUG17	S	1 PD30NOV17		2389.00	2524.72	USD	-3,393.00	
31AUG17	B	1 PD30NOV17		2394.20	2524.72	USD	3,263.00	
	NET	0 AVG BGHT 2394.20	SOLD 2389.00				-130.00	0.00
05SEP17	B	1 PD05DEC17		2364.00	2525.48	USD	4,037.00	
05SEP17	B	1 PD05DEC17		2365.50	2525.48	USD	3,999.50	

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05SEP17	B	1	PD05DEC17	2368.00	2525.48	USD	3,937.00	
05SEP17	B	2	PD05DEC17	2367.50	2525.48	USD	7,899.00	
05SEP17	B	2	PD05DEC17	2363.50	2525.48	USD	8,099.00	
05SEP17	B	1	PD05DEC17	2353.00	2525.48	USD	4,312.00	
05SEP17	B	2	PD05DEC17	2353.50	2525.48	USD	8,599.00	
05SEP17	B	1	PD05DEC17	2348.00	2525.48	USD	4,437.00	
05SEP17	B	1	PD05DEC17	2348.50	2525.48	USD	4,424.50	
05SEP17	B	1	PD05DEC17	2340.50	2525.48	USD	4,624.50	
05SEP17	S	13	PD05DEC17	2333.80	2525.48	USD	-62,296.00	
	NET	0 AVG BGHT 2358.19	SOLD 2333.80				-7,927.50	0.00
15SEP17	S	2	PD15DEC17	2359.50	2526.99	USD	-8,374.50	
15SEP17	S	2	PD15DEC17	2343.00	2526.99	USD	-9,199.50	
15SEP17	S	1	PD15DEC17	2339.50	2526.99	USD	-4,687.25	
15SEP17	S	1	PD15DEC17	2340.50	2526.99	USD	-4,662.25	
15SEP17	S	2	PD15DEC17	2345.00	2526.99	USD	-9,099.50	
15SEP17	S	2	PD15DEC17	2347.50	2526.99	USD	-8,974.50	
15SEP17	S	2	PD15DEC17	2360.50	2526.99	USD	-8,324.50	
15SEP17	S	1	PD15DEC17	2361.00	2526.99	USD	-4,149.75	
15SEP17	B	7	PD15DEC17	2359.20	2526.99	USD	29,363.25	
18SEP17	B	6	PD15DEC17	2389.40	2526.99	USD	20,638.50	
	NET	0 AVG BGHT 2373.14	SOLD 2350.15				-7,470.00	0.00
19SEP17	S	1	PD19DEC17	2411.50	2527.60	USD	-2,902.50	
19SEP17	S	2	PD19DEC17	2412.00	2527.60	USD	-5,780.00	
19SEP17	S	2	PD19DEC17	2412.50	2527.60	USD	-5,755.00	
19SEP17	B	1	PD19DEC17	2412.50	2527.60	USD	2,877.50	
21SEP17	B	4	PD19DEC17	2518.19	2527.60	USD	941.00	
	NET	0 AVG BGHT 2497.05	SOLD 2412.10				-10,619.00	0.00
20SEP17	S	2	PD20DEC17	2462.50	2527.75	USD	-3,262.50	
20SEP17	B	1	PD20DEC17	2461.50	2527.75	USD	1,656.25	
20SEP17	B	1	PD20DEC17	2463.00	2527.75	USD	1,618.75	
	NET	0 AVG BGHT 2462.25	SOLD 2462.50				12.50	0.00
21SEP17	S	1	PD21DEC17	2438.50	2526.75	USD	-2,206.25	
21SEP17	S	1	PD21DEC17	2444.50	2526.75	USD	-2,056.25	
21SEP17	S	1	PD21DEC17	2445.00	2526.75	USD	-2,043.75	
21SEP17	S	1	PD21DEC17	2446.00	2526.75	USD	-2,018.75	
21SEP17	S	1	PD21DEC17	2446.50	2526.75	USD	-2,006.25	
21SEP17	S	2	PD21DEC17	2448.00	2526.75	USD	-3,937.50	
21SEP17	S	1	PD21DEC17	2444.00	2526.75	USD	-2,068.75	
21SEP17	S	1	PD21DEC17	2469.00	2526.75	USD	-1,443.75	
21SEP17	S	2	PD21DEC17	2470.00	2526.75	USD	-2,837.50	
21SEP17	S	1	PD21DEC17	2471.00	2526.75	USD	-1,393.75	
21SEP17	S	3	PD21DEC17	2447.00	2526.75	USD	-5,981.25	
21SEP17	S	1	PD21DEC17	2462.00	2526.75	USD	-1,618.75	
21SEP17	S	1	PD21DEC17	2474.50	2526.75	USD	-1,306.25	
21SEP17	S	2	PD21DEC17	2475.00	2526.75	USD	-2,587.50	
21SEP17	S	3	PD21DEC17	2464.00	2526.75	USD	-4,706.25	
21SEP17	S	2	PD21DEC17	2464.50	2526.75	USD	-3,112.50	
21SEP17	B	24	PD21DEC17	2513.20	2526.75	USD	8,130.00	
	NET	0 AVG BGHT 2513.20	SOLD 2457.88				-33,195.00	0.00
22SEP17	B	1	PD22DEC17	2421.00	2526.90	USD	2,647.50	
22SEP17	B	1	PD22DEC17	2422.00	2526.90	USD	2,622.50	
22SEP17	B	1	PD22DEC17	2422.50	2526.90	USD	2,610.00	
22SEP17	B	1	PD22DEC17	2424.00	2526.90	USD	2,572.50	
22SEP17	B	1	PD22DEC17	2401.00	2526.90	USD	3,147.50	
22SEP17	B	1	PD22DEC17	2402.50	2526.90	USD	3,110.00	
22SEP17	B	1	PD22DEC17	2375.00	2526.90	USD	3,797.50	

OPEN POSITION STATEMENT



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SAP0103F

13th October 2017

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	<u>LME LEAD 25 TONNES. THIS IS AN</u>							
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22SEP17	B	1	PD22DEC17	2380.50	2526.90	USD	3,660.00	
22SEP17	B	1	PD22DEC17	2381.50	2526.90	USD	3,635.00	
22SEP17	B	2	PD22DEC17	2382.00	2526.90	USD	7,245.00	
22SEP17	S	1	PD22DEC17	2418.00	2526.90	USD	-2,722.50	
22SEP17	S	1	PD22DEC17	2419.00	2526.90	USD	-2,697.50	
22SEP17	S	1	PD22DEC17	2436.00	2526.90	USD	-2,272.50	
22SEP17	S	1	PD22DEC17	2436.50	2526.90	USD	-2,260.00	
22SEP17	S	1	PD22DEC17	2438.00	2526.90	USD	-2,222.50	
22SEP17	S	1	PD22DEC17	2438.50	2526.90	USD	-2,210.00	
22SEP17	S	2	PD22DEC17	2442.50	2526.90	USD	-4,220.00	
22SEP17	S	1	PD22DEC17	2445.00	2526.90	USD	-2,047.50	
22SEP17	S	1	PD22DEC17	2445.50	2526.90	USD	-2,035.00	
22SEP17	S	1	PD22DEC17	2446.00	2526.90	USD	-2,022.50	
22SEP17	S	1	PD22DEC17	2446.50	2526.90	USD	-2,010.00	
22SEP17	B	1	PD22DEC17	2382.50	2526.90	USD	3,610.00	
22SEP17	B	1	PD22DEC17	2484.00	2526.90	USD	1,072.50	
22SEP17	S	1	PD22DEC17	2481.50	2526.90	USD	-1,135.00	
22SEP17	S	1	PD22DEC17	2483.00	2526.90	USD	-1,097.50	
22SEP17	S	1	PD22DEC17	2484.00	2526.90	USD	-1,072.50	
22SEP17	S	2	PD22DEC17	2475.00	2526.90	USD	-2,595.00	
22SEP17	S	1	PD22DEC17	2476.50	2526.90	USD	-1,260.00	
22SEP17	S	2	PD22DEC17	2482.50	2526.90	USD	-2,220.00	
22SEP17	B	2	PD22DEC17	2488.00	2526.90	USD	1,945.00	
22SEP17	B	2	PD22DEC17	2489.00	2526.90	USD	1,895.00	
22SEP17	B	3	PD22DEC17	2490.00	2526.90	USD	2,767.50	
	NET	0AVG BGHT 2434.23	SOLD 2454.70				10,237.50	0.00
26SEP17	B	1	PD27DEC17	2487.50	2527.64	USD	1,003.50	
26SEP17	B	2	PD27DEC17	2466.50	2527.64	USD	3,057.00	
26SEP17	B	1	PD27DEC17	2469.00	2527.64	USD	1,466.00	
26SEP17	B	4	PD27DEC17	2468.50	2527.64	USD	5,914.00	
26SEP17	B	1	PD27DEC17	2481.00	2527.64	USD	1,166.00	
26SEP17	B	1	PD27DEC17	2482.50	2527.64	USD	1,128.50	
27SEP17	B	1	PD27DEC17	2478.50	2527.64	USD	1,228.50	
27SEP17	B	5	PD27DEC17	2480.00	2527.64	USD	5,955.00	
27SEP17	S	10	PD27DEC17	2461.80	2527.64	USD	-16,460.00	
27SEP17	S	6	PD27DEC17	2458.59	2527.64	USD	-10,357.50	
	NET	0AVG BGHT 2475.34	SOLD 2460.60				-5,899.00	0.00
28SEP17	S	1	PD28DEC17	2470.50	2527.78	USD	-1,432.00	
28SEP17	S	1	PD28DEC17	2472.50	2527.78	USD	-1,382.00	
28SEP17	S	1	PD28DEC17	2473.50	2527.78	USD	-1,357.00	
28SEP17	S	2	PD28DEC17	2474.00	2527.78	USD	-2,689.00	
28SEP17	S	1	PD28DEC17	2475.50	2527.78	USD	-1,307.00	
28SEP17	S	2	PD28DEC17	2476.00	2527.78	USD	-2,589.00	
28SEP17	B	8	PD28DEC17	2470.20	2527.78	USD	11,516.00	
	NET	0AVG BGHT 2470.20	SOLD 2474.00				760.00	0.00
29SEP17	S	1	PD29DEC17	2498.50	2527.93	USD	-735.75	
29SEP17	B	1	PD29DEC17	2489.50	2527.93	USD	960.75	
29SEP17	B	1	PD29DEC17	2487.50	2527.93	USD	1,010.75	
29SEP17	B	2	PD29DEC17	2488.00	2527.93	USD	1,996.50	
29SEP17	S	1	PD29DEC17	2493.50	2527.93	USD	-860.75	
29SEP17	S	1	PD29DEC17	2496.00	2527.93	USD	-798.25	
29SEP17	S	1	PD29DEC17	2494.00	2527.93	USD	-848.25	
29SEP17	S	3	PD29DEC17	2494.50	2527.93	USD	-2,507.25	
29SEP17	S	2	PD29DEC17	2495.00	2527.93	USD	-1,646.50	
29SEP17	S	2	PD29DEC17	2501.00	2527.93	USD	-1,346.50	
29SEP17	B	4	PD29DEC17	2490.00	2527.93	USD	3,793.00	

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	<u>LME LEAD 25 TONNES. THIS IS AN</u>							
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29SEP17	B	2	PD29DEC17	2486.00	2527.93	USD	2,096.50	
29SEP17	S	4	PD29DEC17	2495.50	2527.93	USD	-3,243.00	
29SEP17	S	4	PD29DEC17	2498.00	2527.93	USD	-2,993.00	
29SEP17	S	9	PD29DEC17	2497.00	2527.93	USD	-6,959.25	
29SEP17	B	2	PD29DEC17	2489.00	2527.93	USD	1,946.50	
29SEP17	B	1	PD29DEC17	2503.50	2527.93	USD	610.75	
29SEP17	S	1	PD29DEC17	2496.50	2527.93	USD	-785.75	
29SEP17	S	1	PD29DEC17	2497.50	2527.93	USD	-760.75	
29SEP17	B	17	PD29DEC17	2485.20	2527.93	USD	18,160.25	
	NET	0 AVG BGHT 2487.16	SOLD 2496.62				7,090.00	0.00
06OCT17	B	2	PD05JAN18	2526.50	2528.97	USD	123.50	
06OCT17	B	1	PD05JAN18	2522.00	2528.97	USD	174.25	
06OCT17	B	3	PD05JAN18	2523.00	2528.97	USD	447.75	
06OCT17	B	1	PD05JAN18	2511.00	2528.97	USD	449.25	
06OCT17	S	1	PD05JAN18	2540.50	2528.97	USD	288.25	
06OCT17	S	1	PD05JAN18	2542.00	2528.97	USD	325.75	
06OCT17	S	1	PD05JAN18	2544.50	2528.97	USD	388.25	
06OCT17	S	1	PD05JAN18	2545.00	2528.97	USD	400.75	
06OCT17	S	2	PD05JAN18	2545.50	2528.97	USD	826.50	
06OCT17	S	1	PD05JAN18	2546.50	2528.97	USD	438.25	
06OCT17	B	1	PD05JAN18	2507.00	2528.97	USD	549.25	
06OCT17	S	1	PD05JAN18	2540.00	2528.97	USD	275.75	
	NET	0 AVG BGHT 2520.25	SOLD 2543.69				4,687.50	0.00
09OCT17	B	1	PD09JAN18	2507.50	2529.56	USD	551.50	
09OCT17	B	1	PD09JAN18	2503.50	2529.56	USD	651.50	
09OCT17	B	1	PD09JAN18	2508.50	2529.56	USD	526.50	
09OCT17	B	5	PD09JAN18	2505.50	2529.56	USD	3,007.50	
09OCT17	B	1	PD09JAN18	2501.50	2529.56	USD	701.50	
09OCT17	B	2	PD09JAN18	2502.50	2529.56	USD	1,353.00	
09OCT17	B	1	PD09JAN18	2494.00	2529.56	USD	889.00	
09OCT17	B	1	PD09JAN18	2496.50	2529.56	USD	826.50	
09OCT17	B	2	PD09JAN18	2496.00	2529.56	USD	1,678.00	
09OCT17	B	1	PD09JAN18	2489.50	2529.56	USD	1,001.50	
09OCT17	B	1	PD09JAN18	2490.50	2529.56	USD	976.50	
09OCT17	S	2	PD09JAN18	2515.50	2529.56	USD	-703.00	
12OCT17	S	15	PD09JAN18	2556.63	2529.56	USD	10,151.25	
	NET	0 AVG BGHT 2500.94	SOLD 2551.79				21,611.25	0.00
10OCT17	B	1	PD10JAN18	2499.50	2529.70	USD	755.00	
10OCT17	B	1	PD10JAN18	2498.50	2529.70	USD	780.00	
10OCT17	S	1	PD10JAN18	2498.50	2529.70	USD	-780.00	
10OCT17	S	1	PD10JAN18	2500.00	2529.70	USD	-742.50	
10OCT17	S	1	PD10JAN18	2501.50	2529.70	USD	-705.00	
10OCT17	S	1	PD10JAN18	2502.50	2529.70	USD	-680.00	
10OCT17	S	1	PD10JAN18	2506.00	2529.70	USD	-592.50	
10OCT17	S	1	PD10JAN18	2517.50	2529.70	USD	-305.00	
10OCT17	S	1	PD10JAN18	2519.50	2529.70	USD	-255.00	
10OCT17	S	1	PD10JAN18	2520.00	2529.70	USD	-242.50	
10OCT17	S	1	PD10JAN18	2518.50	2529.70	USD	-280.00	
10OCT17	S	2	PD10JAN18	2513.50	2529.70	USD	-810.00	
10OCT17	S	1	PD10JAN18	2515.50	2529.70	USD	-355.00	
10OCT17	S	1	PD10JAN18	2516.50	2529.70	USD	-330.00	
10OCT17	S	1	PD10JAN18	2536.50	2529.70	USD	170.00	
10OCT17	S	1	PD10JAN18	2537.00	2529.70	USD	182.50	
10OCT17	S	1	PD10JAN18	2537.50	2529.70	USD	195.00	
12OCT17	B	14	PD10JAN18	2556.95	2529.70	USD	-9,537.50	
	NET	0 AVG BGHT 2549.71	SOLD 2515.88				-13,532.50	0.00

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11OCT17	S	1	PD11JAN18	2555.00	2529.85	USD	628.75	
12OCT17	B	1	PD11JAN18	2557.08	2529.85	USD	-680.75	
	NET	0 AVG	BGHT 2557.08				-52.00	0.00
12OCT17	S	2	PD12JAN18	2546.00	2530.00	USD	800.00	
12OCT17	S	1	PD12JAN18	2550.00	2530.00	USD	500.00	
12OCT17	S	1	PD12JAN18	2565.50	2530.00	USD	887.50	
12OCT17	S	1	PD12JAN18	2561.50	2530.00	USD	787.50	
12OCT17	B	1	PD12JAN18	2573.00	2530.00	USD	-1,075.00	
12OCT17	B	2	PD12JAN18	2584.50	2530.00	USD	-2,725.00	
12OCT17	B	2	PD12JAN18	2572.00	2530.00	USD	-2,100.00	
12OCT17	B	1	PD12JAN18	2571.00	2530.00	USD	-1,025.00	
13OCT17	S	1	PD12JAN18	2548.50	2530.00	USD	462.50	
13OCT17	B	2	PD12JAN18	2540.00	2530.00	USD	-500.00	
13OCT17	B	2	PD12JAN18	2540.50	2530.00	USD	-525.00	
13OCT17	B	1	PD12JAN18	2544.00	2530.00	USD	-350.00	
13OCT17	B	4	PD12JAN18	2545.50	2530.00	USD	-1,550.00	
13OCT17	B	3	PD12JAN18	2542.50	2530.00	USD	-937.50	
13OCT17	B	3	PD12JAN18	2544.50	2530.00	USD	-1,087.50	
13OCT17	B	2	PD12JAN18	2543.00	2530.00	USD	-650.00	
13OCT17	B	4	PD12JAN18	2545.00	2530.00	USD	-1,500.00	
13OCT17	B	1	PD12JAN18	2543.50	2530.00	USD	-337.50	
13OCT17	B	2	PD12JAN18	2546.00	2530.00	USD	-800.00	
13OCT17	B	1	PD12JAN18	2546.50	2530.00	USD	-412.50	
13OCT17	B	2	PD12JAN18	2547.50	2530.00	USD	-875.00	
13OCT17	B	3	PD12JAN18	2548.00	2530.00	USD	-1,350.00	
13OCT17	B	1	PD12JAN18	2549.00	2530.00	USD	-475.00	
13OCT17	B	2	PD12JAN18	2531.00	2530.00	USD	-50.00	
13OCT17	B	2	PD12JAN18	2531.50	2530.00	USD	-75.00	
13OCT17	B	1	PD12JAN18	2530.50	2530.00	USD	-12.50	
13OCT17	B	1	PD12JAN18	2532.50	2530.00	USD	-62.50	
13OCT17	S	27	PD12JAN18	2529.80	2530.00	USD	-135.00	
	NET	+10 AVG	BGHT 2547.19				-15,172.50	0.00
	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
25JUL17	S	3	PD25OCT17	9900.00	11615.61	USD	-30,880.98	
25JUL17	S	2	PD25OCT17	9910.00	11615.61	USD	-20,467.32	
25JUL17	S	2	PD25OCT17	9905.00	11615.61	USD	-20,527.32	
26JUL17	B	7	PD25OCT17	10041.33	11615.61	USD	66,119.76	
	NET	0 AVG	BGHT 10041.33				-5,755.86	0.00
26JUL17	S	2	PD26OCT17	9930.00	11616.46	USD	-20,237.52	
26JUL17	S	1	PD26OCT17	9940.00	11616.46	USD	-10,058.76	
26JUL17	B	3	PD26OCT17	10041.00	11616.46	USD	28,358.28	
	NET	0 AVG	BGHT 10041.00				-1,938.00	0.00
13SEP17	B	1	PD13DEC17	11615.00	11652.70	USD	226.20	
13SEP17	B	1	PD13DEC17	11565.00	11652.70	USD	526.20	
13SEP17	B	1	PD13DEC17	11590.00	11652.70	USD	376.20	
21SEP17	S	3	PD13DEC17	10998.70	11652.70	USD	-11,772.00	
	NET	0 AVG	BGHT 11590.00				-10,643.40	0.00
20SEP17	S	1	PD20DEC17	11360.00	11657.50	USD	-1,785.00	
20SEP17	S	1	PD20DEC17	11385.00	11657.50	USD	-1,635.00	
20SEP17	S	1	PD20DEC17	11390.00	11657.50	USD	-1,605.00	
21SEP17	B	3	PD20DEC17	11005.50	11657.50	USD	11,736.00	
	NET	0 AVG	BGHT 11005.50				6,711.00	0.00
28SEP17	B	2	PD28DEC17	10255.00	11663.59	USD	16,903.08	
28SEP17	B	2	PD28DEC17	10270.00	11663.59	USD	16,723.08	

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28SEP17	B	3	PD28DEC17	10260.00	11663.59	USD	25,264.62	
28SEP17	B	1	PD28DEC17	10340.00	11663.59	USD	7,941.54	
28SEP17	B	1	PD28DEC17	10290.00	11663.59	USD	8,241.54	
28SEP17	B	1	PD28DEC17	10460.00	11663.59	USD	7,221.54	
	NET	+10 AVG BGHT	10292.00				82,295.40	0.00
04OCT17	B	1	PD04JAN18	10605.00	11668.91	USD	6,383.46	
	NET	+1 AVG BGHT	10605.00				6,383.46	0.00
13OCT17	B	1	PD12JAN18	11495.00	11675.00	USD	1,080.00	
13OCT17	S	1	PD12JAN18	11475.00	11675.00	USD	-1,200.00	
13OCT17	S	3	PD12JAN18	11480.00	11675.00	USD	-3,510.00	
13OCT17	S	1	PD12JAN18	11485.00	11675.00	USD	-1,140.00	
13OCT17	S	1	PD12JAN18	11495.00	11675.00	USD	-1,080.00	
13OCT17	S	1	PD12JAN18	11520.00	11675.00	USD	-930.00	
13OCT17	S	1	PD12JAN18	11540.00	11675.00	USD	-810.00	
13OCT17	S	1	PD12JAN18	11615.00	11675.00	USD	-360.00	
13OCT17	S	1	PD12JAN18	11645.00	11675.00	USD	-180.00	
13OCT17	S	2	PD12JAN18	11650.00	11675.00	USD	-300.00	
13OCT17	S	1	PD12JAN18	11655.00	11675.00	USD	-120.00	
13OCT17	B	1	PD12JAN18	11660.00	11675.00	USD	90.00	
	NET	-11 AVG BGHT	11577.50	SOLD 11551.54			-8,460.00	0.00
	<u>CMX SILVER 5,000 CMX</u>							
21SEP17	B	1	DEC17	1703.0	1741.1	USD	1,905.00	
04OCT17	B	1	DEC17	1678.0	1741.1	USD	3,155.00	
04OCT17	B	1	DEC17	1678.5	1741.1	USD	3,130.00	
04OCT17	B	1	DEC17	1664.0	1741.1	USD	3,855.00	
	NET	+4 AVG BGHT	1680.9				12,045.00	0.00
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
18JUL17	B	1	PD18OCT17	2797.00	3291.00	USD	12,350.00	
18JUL17	B	1	PD18OCT17	2800.50	3291.00	USD	12,262.50	
18JUL17	B	2	PD18OCT17	2801.50	3291.00	USD	24,475.00	
18JUL17	B	3	PD18OCT17	2799.50	3291.00	USD	36,862.50	
21JUL17	S	7	PD18OCT17	2755.50	3291.00	USD	-93,712.50	
	NET	0 AVG BGHT	2799.86	SOLD 2755.50			-7,762.50	0.00
19JUL17	B	1	PD19OCT17	2738.00	3286.50	USD	13,712.50	
19JUL17	S	1	PD19OCT17	2735.00	3286.50	USD	-13,787.50	
	NET	0 AVG BGHT	2738.00	SOLD 2735.00			-75.00	0.00
20JUL17	S	1	PD20OCT17	2748.00	3282.00	USD	-13,350.00	
20JUL17	S	1	PD20OCT17	2738.50	3282.00	USD	-13,587.50	
20JUL17	B	1	PD20OCT17	2722.00	3282.00	USD	14,000.00	
20JUL17	B	1	PD20OCT17	2726.00	3282.00	USD	13,900.00	
21JUL17	S	7	PD20OCT17	2740.00	3282.00	USD	-94,850.00	
21JUL17	S	1	PD20OCT17	2752.00	3282.00	USD	-13,250.00	
21JUL17	S	1	PD20OCT17	2752.50	3282.00	USD	-13,237.50	
21JUL17	S	2	PD20OCT17	2753.00	3282.00	USD	-26,450.00	
21JUL17	S	1	PD20OCT17	2771.50	3282.00	USD	-12,762.50	
21JUL17	S	1	PD20OCT17	2774.00	3282.00	USD	-12,700.00	
21JUL17	S	1	PD20OCT17	2772.00	3282.00	USD	-12,750.00	
21JUL17	S	3	PD20OCT17	2772.50	3282.00	USD	-38,212.50	
21JUL17	S	2	PD20OCT17	2766.50	3282.00	USD	-25,775.00	
21JUL17	B	19	PD20OCT17	2753.70	3282.00	USD	250,942.50	
	NET	0 AVG BGHT	2750.87	SOLD 2754.52			1,917.50	0.00
25JUL17	S	1	PD25OCT17	2820.00	3273.00	USD	-11,325.00	
25JUL17	S	2	PD25OCT17	2820.50	3273.00	USD	-22,625.00	
25JUL17	S	1	PD25OCT17	2821.00	3273.00	USD	-11,300.00	

OPEN POSITION STATEMENT



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SAP0103F

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
25JUL17	S	4	PD250CT17	2821.50	3273.00	USD	-45,150.00	
25JUL17	S	1	PD250CT17	2823.00	3273.00	USD	-11,250.00	
25JUL17	S	1	PD250CT17	2834.00	3273.00	USD	-10,975.00	
25JUL17	B	1	PD250CT17	2840.50	3273.00	USD	10,812.50	
25JUL17	B	1	PD250CT17	2841.50	3273.00	USD	10,787.50	
25JUL17	B	1	PD250CT17	2842.50	3273.00	USD	10,762.50	
25JUL17	B	1	PD250CT17	2847.50	3273.00	USD	10,637.50	
25JUL17	B	1	PD250CT17	2848.00	3273.00	USD	10,625.00	
25JUL17	B	3	PD250CT17	2848.50	3273.00	USD	31,837.50	
25JUL17	B	1	PD250CT17	2852.00	3273.00	USD	10,525.00	
27JUL17	B	1	PD250CT17	2800.63	3273.00	USD	11,809.25	
	NET	0AVG BGHT 2841.81	SOLD 2822.50				-4,828.25	0.00
26JUL17	B	1	PD260CT17	2806.00	3271.87	USD	11,646.75	
26JUL17	B	1	PD260CT17	2797.00	3271.87	USD	11,871.75	
27JUL17	S	2	PD260CT17	2800.55	3271.87	USD	-23,566.00	
	NET	0AVG BGHT 2801.50	SOLD 2800.55				-47.50	0.00
27JUL17	B	1	PD270CT17	2812.00	3270.73	USD	11,468.25	
27JUL17	B	1	PD270CT17	2821.50	3270.73	USD	11,230.75	
27JUL17	B	1	PD270CT17	2822.00	3270.73	USD	11,218.25	
27JUL17	B	2	PD270CT17	2819.50	3270.73	USD	22,561.50	
27JUL17	B	1	PD270CT17	2819.00	3270.73	USD	11,293.25	
27JUL17	B	1	PD270CT17	2804.00	3270.73	USD	11,668.25	
27JUL17	B	1	PD270CT17	2801.00	3270.73	USD	11,743.25	
27JUL17	B	3	PD270CT17	2790.00	3270.73	USD	36,054.75	
27JUL17	B	1	PD270CT17	2790.50	3270.73	USD	12,005.75	
27JUL17	B	1	PD270CT17	2791.50	3270.73	USD	11,980.75	
27JUL17	B	2	PD270CT17	2792.00	3270.73	USD	23,936.50	
27JUL17	S	16	PD270CT17	2800.80	3270.73	USD	-187,972.00	
27JUL17	B	1	PD270CT17	2789.00	3270.73	USD	12,043.25	
27JUL17	B	1	PD270CT17	2795.00	3270.73	USD	11,893.25	
28JUL17	B	1	PD270CT17	2781.50	3270.73	USD	12,230.75	
28JUL17	B	2	PD270CT17	2782.00	3270.73	USD	24,436.50	
28JUL17	B	1	PD270CT17	2783.00	3270.73	USD	12,193.25	
28JUL17	B	1	PD270CT17	2784.50	3270.73	USD	12,155.75	
28JUL17	B	2	PD270CT17	2786.50	3270.73	USD	24,211.50	
28JUL17	B	1	PD270CT17	2776.00	3270.73	USD	12,368.25	
28JUL17	B	2	PD270CT17	2777.00	3270.73	USD	24,686.50	
28JUL17	B	1	PD270CT17	2762.50	3270.73	USD	12,705.75	
28JUL17	B	1	PD270CT17	2764.00	3270.73	USD	12,668.25	
28JUL17	B	1	PD270CT17	2765.00	3270.73	USD	12,643.25	
28JUL17	S	3	PD270CT17	2791.50	3270.73	USD	-35,942.25	
28JUL17	S	1	PD270CT17	2794.50	3270.73	USD	-11,905.75	
28JUL17	S	1	PD270CT17	2796.00	3270.73	USD	-11,868.25	
28JUL17	S	2	PD270CT17	2798.50	3270.73	USD	-23,611.50	
28JUL17	S	1	PD270CT17	2800.00	3270.73	USD	-11,768.25	
28JUL17	S	3	PD270CT17	2792.50	3270.73	USD	-35,867.25	
28JUL17	S	1	PD270CT17	2794.00	3270.73	USD	-11,918.25	
28JUL17	S	1	PD270CT17	2795.00	3270.73	USD	-11,893.25	
28JUL17	S	1	PD270CT17	2795.50	3270.73	USD	-11,880.75	
28JUL17	B	1	PD270CT17	2785.00	3270.73	USD	12,143.25	
28JUL17	B	1	PD270CT17	2785.50	3270.73	USD	12,130.75	
28JUL17	B	2	PD270CT17	2784.00	3270.73	USD	24,336.50	
31JUL17	S	4	PD270CT17	2793.80	3270.73	USD	-47,693.00	
	NET	0AVG BGHT 2790.72	SOLD 2797.41				5,687.50	0.00
31JUL17	B	1	PD310CT17	2808.50	3268.47	USD	11,499.25	
31JUL17	B	2	PD310CT17	2812.00	3268.47	USD	22,823.50	

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
31JUL17	S	1	PD31OCT17	2807.00	3268.47	USD	-11,536.75	
31JUL17	B	1	PD31OCT17	2808.00	3268.47	USD	11,511.75	
31JUL17	S	1	PD31OCT17	2808.00	3268.47	USD	-11,511.75	
31JUL17	B	4	PD31OCT17	2807.50	3268.47	USD	46,097.00	
31JUL17	B	3	PD31OCT17	2806.00	3268.47	USD	34,685.25	
31JUL17	B	1	PD31OCT17	2803.00	3268.47	USD	11,636.75	
31JUL17	B	1	PD31OCT17	2800.50	3268.47	USD	11,699.25	
31JUL17	S	1	PD31OCT17	2809.50	3268.47	USD	-11,474.25	
31JUL17	S	2	PD31OCT17	2810.50	3268.47	USD	-22,898.50	
31JUL17	S	11	PD31OCT17	2794.80	3268.47	USD	-130,259.25	
08AUG17	B	3	PD31OCT17	2924.00	3268.47	USD	25,835.25	
	NET	0 AVG	BGHT 2829.00	SOLD 2799.27			-11,892.50	0.00
01AUG17	B	1	PD01NOV17	2772.50	3267.33	USD	12,370.75	
01AUG17	B	1	PD01NOV17	2769.00	3267.33	USD	12,458.25	
01AUG17	B	2	PD01NOV17	2769.50	3267.33	USD	24,891.50	
01AUG17	B	3	PD01NOV17	2765.50	3267.33	USD	37,637.25	
01AUG17	B	1	PD01NOV17	2766.50	3267.33	USD	12,520.75	
01AUG17	B	2	PD01NOV17	2771.00	3267.33	USD	24,816.50	
01AUG17	B	2	PD01NOV17	2768.50	3267.33	USD	24,941.50	
01AUG17	S	2	PD01NOV17	2781.50	3267.33	USD	-24,291.50	
08AUG17	S	7	PD01NOV17	2924.17	3267.33	USD	-60,053.00	
08AUG17	S	3	PD01NOV17	2923.97	3267.33	USD	-25,752.00	
	NET	0 AVG	BGHT 2768.54	SOLD 2900.34			39,540.00	0.00
28SEP17	S	4	PD02NOV17	3154.50	3266.20	USD	-11,170.00	
29SEP17	S	18	PD02NOV17	3170.05	3266.20	USD	-43,267.50	
29SEP17	S	6	PD02NOV17	3170.25	3266.20	USD	-14,392.50	
03OCT17	S	1	PD02NOV17	3273.50	3266.20	USD	182.50	
	NET	-29 AVG	SOLD 3171.51				-68,647.50	0.00
03AUG17	S	1	PD03NOV17	2804.50	3265.07	USD	-11,514.25	
03AUG17	B	1	PD03NOV17	2792.50	3265.07	USD	11,814.25	
03AUG17	B	2	PD03NOV17	2793.50	3265.07	USD	23,578.50	
03AUG17	B	1	PD03NOV17	2794.00	3265.07	USD	11,776.75	
03AUG17	S	1	PD03NOV17	2793.00	3265.07	USD	-11,801.75	
03AUG17	S	3	PD03NOV17	2793.50	3265.07	USD	-35,367.75	
03AUG17	S	4	PD03NOV17	2792.00	3265.07	USD	-47,307.00	
08AUG17	B	5	PD03NOV17	2924.70	3265.07	USD	42,546.25	
	NET	0 AVG	BGHT 2866.33	SOLD 2794.00			-16,275.00	0.00
07AUG17	S	1	PD07NOV17	2864.50	3262.80	USD	-9,957.50	
07AUG17	S	1	PD07NOV17	2874.50	3262.80	USD	-9,707.50	
07AUG17	S	1	PD07NOV17	2872.50	3262.80	USD	-9,757.50	
07AUG17	S	1	PD07NOV17	2871.00	3262.80	USD	-9,795.00	
07AUG17	S	2	PD07NOV17	2872.00	3262.80	USD	-19,540.00	
07AUG17	S	4	PD07NOV17	2873.00	3262.80	USD	-38,980.00	
07AUG17	S	2	PD07NOV17	2873.50	3262.80	USD	-19,465.00	
07AUG17	S	5	PD07NOV17	2874.00	3262.80	USD	-48,600.00	
08AUG17	B	17	PD07NOV17	2925.10	3262.80	USD	143,522.50	
	NET	0 AVG	BGHT 2925.10	SOLD 2872.68			-22,280.00	0.00
08AUG17	S	1	PD08NOV17	2880.00	3261.67	USD	-9,541.75	
08AUG17	S	1	PD08NOV17	2881.50	3261.67	USD	-9,504.25	
09AUG17	B	2	PD08NOV17	2931.51	3261.67	USD	16,508.00	
	NET	0 AVG	BGHT 2931.51	SOLD 2880.75			-2,538.00	0.00
09AUG17	S	1	PD09NOV17	2968.50	3260.53	USD	-7,300.75	
09AUG17	S	1	PD09NOV17	2969.00	3260.53	USD	-7,288.25	
09AUG17	S	1	PD09NOV17	2971.50	3260.53	USD	-7,225.75	
09AUG17	S	1	PD09NOV17	2973.00	3260.53	USD	-7,188.25	
09AUG17	S	1	PD09NOV17	2977.00	3260.53	USD	-7,088.25	

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09AUG17	S	1	PD09NOV17	2978.00	3260.53	USD	-7,063.25	
09AUG17	S	1	PD09NOV17	2967.50	3260.53	USD	-7,325.75	
09AUG17	B	1	PD09NOV17	2952.00	3260.53	USD	7,713.25	
09AUG17	B	6	PD09NOV17	2931.70	3260.53	USD	49,324.50	
	NET	0 AVG BGHT 2934.60	SOLD 2972.07				6,557.50	0.00
10AUG17	B	1	PD10NOV17	2914.00	3259.40	USD	8,635.00	
30AUG17	S	1	PD10NOV17	3093.65	3259.40	USD	-4,143.75	
	NET	0 AVG BGHT 2914.00	SOLD 3093.65				4,491.25	0.00
14AUG17	S	1	PD14NOV17	2914.50	3257.13	USD	-8,565.75	
30AUG17	B	1	PD14NOV17	3094.45	3257.13	USD	4,067.00	
	NET	0 AVG BGHT 3094.45	SOLD 2914.50				-4,498.75	0.00
24AUG17	B	1	PD24NOV17	3107.50	3247.50	USD	3,500.00	
24AUG17	B	1	PD24NOV17	3108.00	3247.50	USD	3,487.50	
25AUG17	S	1	PD24NOV17	3128.50	3247.50	USD	-2,975.00	
25AUG17	S	1	PD24NOV17	3125.50	3247.50	USD	-3,050.00	
25AUG17	B	1	PD24NOV17	3068.00	3247.50	USD	4,487.50	
25AUG17	S	1	PD24NOV17	3070.00	3247.50	USD	-4,437.50	
	NET	0 AVG BGHT 3094.50	SOLD 3108.00				1,012.50	0.00
30AUG17	B	1	PD30NOV17	3125.00	3246.50	USD	3,037.50	
30AUG17	B	1	PD30NOV17	3123.00	3246.50	USD	3,087.50	
30AUG17	B	1	PD30NOV17	3124.50	3246.50	USD	3,050.00	
30AUG17	B	1	PD30NOV17	3118.50	3246.50	USD	3,200.00	
30AUG17	B	1	PD30NOV17	3106.00	3246.50	USD	3,512.50	
30AUG17	B	1	PD30NOV17	3105.00	3246.50	USD	3,537.50	
30AUG17	B	1	PD30NOV17	3104.00	3246.50	USD	3,562.50	
30AUG17	S	1	PD30NOV17	3104.00	3246.50	USD	-3,562.50	
30AUG17	B	1	PD30NOV17	3108.00	3246.50	USD	3,462.50	
30AUG17	B	2	PD30NOV17	3124.00	3246.50	USD	6,125.00	
30AUG17	B	1	PD30NOV17	3096.50	3246.50	USD	3,750.00	
30AUG17	B	1	PD30NOV17	3099.50	3246.50	USD	3,675.00	
30AUG17	B	1	PD30NOV17	3095.50	3246.50	USD	3,775.00	
30AUG17	B	2	PD30NOV17	3098.50	3246.50	USD	7,400.00	
30AUG17	B	1	PD30NOV17	3099.00	3246.50	USD	3,687.50	
30AUG17	B	1	PD30NOV17	3100.00	3246.50	USD	3,662.50	
30AUG17	S	1	PD30NOV17	3095.00	3246.50	USD	-3,787.50	
30AUG17	S	1	PD30NOV17	3095.50	3246.50	USD	-3,775.00	
30AUG17	S	1	PD30NOV17	3094.00	3246.50	USD	-3,812.50	
30AUG17	S	1	PD30NOV17	3090.00	3246.50	USD	-3,912.50	
30AUG17	S	1	PD30NOV17	3093.00	3246.50	USD	-3,837.50	
30AUG17	S	1	PD30NOV17	3093.50	3246.50	USD	-3,825.00	
30AUG17	S	9	PD30NOV17	3094.80	3246.50	USD	-34,132.50	
30AUG17	S	1	PD30NOV17	3098.50	3246.50	USD	-3,700.00	
31AUG17	S	1	PD30NOV17	3111.00	3246.50	USD	-3,387.50	
31AUG17	S	1	PD30NOV17	3119.50	3246.50	USD	-3,175.00	
31AUG17	B	1	PD30NOV17	3121.00	3246.50	USD	3,137.50	
31AUG17	S	1	PD30NOV17	3120.50	3246.50	USD	-3,150.00	
31AUG17	S	1	PD30NOV17	3126.00	3246.50	USD	-3,012.50	
31AUG17	B	1	PD30NOV17	3126.00	3246.50	USD	3,012.50	
31AUG17	S	1	PD30NOV17	3125.00	3246.50	USD	-3,037.50	
31AUG17	B	1	PD30NOV17	3126.50	3246.50	USD	3,000.00	
31AUG17	S	1	PD30NOV17	3135.00	3246.50	USD	-2,787.50	
31AUG17	S	1	PD30NOV17	3130.00	3246.50	USD	-2,912.50	
31AUG17	S	2	PD30NOV17	3132.00	3246.50	USD	-5,725.00	
31AUG17	S	1	PD30NOV17	3132.50	3246.50	USD	-2,850.00	
31AUG17	B	1	PD30NOV17	3124.50	3246.50	USD	3,050.00	
31AUG17	B	2	PD30NOV17	3125.00	3246.50	USD	6,075.00	

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31AUG17	B	4	PD30NOV17	3127.00	3246.50	USD	11,950.00	
31AUG17	B	1	PD30NOV17	3129.00	3246.50	USD	2,937.50	
31AUG17	S	1	PD30NOV17	3126.50	3246.50	USD	-3,000.00	
31AUG17	S	1	PD30NOV17	3134.00	3246.50	USD	-2,812.50	
31AUG17	S	1	PD30NOV17	3137.50	3246.50	USD	-2,725.00	
31AUG17	S	1	PD30NOV17	3138.00	3246.50	USD	-2,712.50	
04SEP17	B	3	PD30NOV17	3204.70	3246.50	USD	3,135.00	
	NET	0 AVG BGHT 3124.15	SOLD 3110.20				-10,810.00	0.00
01SEP17	S	2	PD01DEC17	3171.00	3246.25	USD	-3,762.50	
01SEP17	S	4	PD01DEC17	3172.00	3246.25	USD	-7,425.00	
01SEP17	S	1	PD01DEC17	3172.50	3246.25	USD	-1,843.75	
01SEP17	S	2	PD01DEC17	3173.00	3246.25	USD	-3,662.50	
01SEP17	S	1	PD01DEC17	3174.00	3246.25	USD	-1,806.25	
01SEP17	S	2	PD01DEC17	3198.00	3246.25	USD	-2,412.50	
01SEP17	B	10	PD01DEC17	3185.20	3246.25	USD	15,262.50	
04SEP17	B	2	PD01DEC17	3204.83	3246.25	USD	2,071.00	
	NET	0 AVG BGHT 3188.47	SOLD 3176.54				-3,579.00	0.00
04SEP17	S	1	PD04DEC17	3188.00	3246.00	USD	-1,450.00	
04SEP17	S	1	PD04DEC17	3193.00	3246.00	USD	-1,325.00	
04SEP17	S	1	PD04DEC17	3192.00	3246.00	USD	-1,350.00	
04SEP17	B	1	PD04DEC17	3198.00	3246.00	USD	1,200.00	
04SEP17	S	1	PD04DEC17	3197.50	3246.00	USD	-1,212.50	
04SEP17	S	1	PD04DEC17	3196.00	3246.00	USD	-1,250.00	
04SEP17	S	1	PD04DEC17	3198.00	3246.00	USD	-1,200.00	
04SEP17	S	1	PD04DEC17	3198.50	3246.00	USD	-1,187.50	
04SEP17	B	3	PD04DEC17	3205.20	3246.00	USD	3,060.00	
27SEP17	B	3	PD04DEC17	3108.03	3246.00	USD	10,347.75	
	NET	0 AVG BGHT 3162.53	SOLD 3194.71				5,632.75	0.00
05SEP17	B	1	PD05DEC17	3177.50	3245.75	USD	1,706.25	
05SEP17	B	1	PD05DEC17	3174.50	3245.75	USD	1,781.25	
05SEP17	B	1	PD05DEC17	3154.00	3245.75	USD	2,293.75	
05SEP17	B	1	PD05DEC17	3100.00	3245.75	USD	3,643.75	
27SEP17	S	3	PD05DEC17	3107.89	3245.75	USD	-10,339.50	
27SEP17	S	1	PD05DEC17	3108.09	3245.75	USD	-3,441.50	
	NET	0 AVG BGHT 3151.50	SOLD 3107.94				-4,356.00	0.00
07SEP17	S	1	PD07DEC17	3118.00	3245.25	USD	-3,181.25	
27SEP17	B	1	PD07DEC17	3108.41	3245.25	USD	3,421.00	
	NET	0 AVG BGHT 3108.41	SOLD 3118.00				239.75	0.00
14SEP17	B	1	PD14DEC17	2991.00	3244.00	USD	6,325.00	
14SEP17	B	1	PD14DEC17	2992.50	3244.00	USD	6,287.50	
27SEP17	S	2	PD14DEC17	3108.64	3244.00	USD	-6,768.00	
	NET	0 AVG BGHT 2991.75	SOLD 3108.64				5,844.50	0.00
18SEP17	S	1	PD18DEC17	3083.50	3243.50	USD	-4,000.00	
18SEP17	S	1	PD18DEC17	3085.00	3243.50	USD	-3,962.50	
18SEP17	S	1	PD18DEC17	3074.50	3243.50	USD	-4,225.00	
18SEP17	S	1	PD18DEC17	3081.50	3243.50	USD	-4,050.00	
18SEP17	S	2	PD18DEC17	3084.50	3243.50	USD	-7,950.00	
18SEP17	S	1	PD18DEC17	3087.00	3243.50	USD	-3,912.50	
18SEP17	S	2	PD18DEC17	3073.50	3243.50	USD	-8,500.00	
18SEP17	S	2	PD18DEC17	3066.00	3243.50	USD	-8,875.00	
18SEP17	S	1	PD18DEC17	3069.00	3243.50	USD	-4,362.50	
18SEP17	S	1	PD18DEC17	3088.00	3243.50	USD	-3,887.50	
18SEP17	S	1	PD18DEC17	3088.50	3243.50	USD	-3,875.00	
18SEP17	S	2	PD18DEC17	3089.00	3243.50	USD	-7,725.00	
18SEP17	B	1	PD18DEC17	3072.00	3243.50	USD	4,287.50	

OPEN POSITION STATEMENT



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SAP0103F

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
18SEP17	B	1	PD18DEC17	3072.50	3243.50	USD	4,275.00	
18SEP17	B	1	PD18DEC17	3062.50	3243.50	USD	4,525.00	
18SEP17	S	1	PD18DEC17	3076.00	3243.50	USD	-4,187.50	
18SEP17	S	4	PD18DEC17	3080.00	3243.50	USD	-16,350.00	
18SEP17	S	1	PD18DEC17	3081.00	3243.50	USD	-4,062.50	
18SEP17	S	1	PD18DEC17	3082.00	3243.50	USD	-4,037.50	
18SEP17	S	1	PD18DEC17	3096.00	3243.50	USD	-3,687.50	
18SEP17	S	1	PD18DEC17	3097.00	3243.50	USD	-3,662.50	
18SEP17	B	5	PD18DEC17	3096.50	3243.50	USD	18,375.00	
18SEP17	B	1	PD18DEC17	3103.50	3243.50	USD	3,500.00	
18SEP17	B	1	PD18DEC17	3104.00	3243.50	USD	3,487.50	
18SEP17	B	1	PD18DEC17	3104.50	3243.50	USD	3,475.00	
18SEP17	S	1	PD18DEC17	3110.00	3243.50	USD	-3,337.50	
18SEP17	B	12	PD18DEC17	3098.20	3243.50	USD	43,590.00	
27SEP17	B	2	PD18DEC17	3109.08	3243.50	USD	6,721.00	
29SEP17	B	1	PD18DEC17	3165.88	3243.50	USD	1,940.50	
	NET	0AVG BGHT 3098.61	SOLD 3082.50				-10,473.50	0.00
19SEP17	S	1	PD19DEC17	3105.00	3243.25	USD	-3,456.25	
19SEP17	S	1	PD19DEC17	3105.50	3243.25	USD	-3,443.75	
19SEP17	S	2	PD19DEC17	3106.00	3243.25	USD	-6,862.50	
19SEP17	S	1	PD19DEC17	3109.50	3243.25	USD	-3,343.75	
19SEP17	S	2	PD19DEC17	3110.50	3243.25	USD	-6,637.50	
19SEP17	B	1	PD19DEC17	3091.50	3243.25	USD	3,793.75	
19SEP17	B	2	PD19DEC17	3094.50	3243.25	USD	7,437.50	
19SEP17	B	1	PD19DEC17	3097.00	3243.25	USD	3,656.25	
19SEP17	B	1	PD19DEC17	3085.50	3243.25	USD	3,943.75	
19SEP17	B	1	PD19DEC17	3086.50	3243.25	USD	3,918.75	
19SEP17	B	1	PD19DEC17	3088.00	3243.25	USD	3,881.25	
	NET	0AVG BGHT 3091.07	SOLD 3107.57				2,887.50	0.00
20SEP17	S	1	PD20DEC17	3145.50	3243.00	USD	-2,437.50	
20SEP17	S	1	PD20DEC17	3146.50	3243.00	USD	-2,412.50	
20SEP17	S	1	PD20DEC17	3151.00	3243.00	USD	-2,300.00	
20SEP17	B	3	PD20DEC17	3136.00	3243.00	USD	8,025.00	
	NET	0AVG BGHT 3136.00	SOLD 3147.67				875.00	0.00
21SEP17	B	1	PD21DEC17	3066.00	3240.00	USD	4,350.00	
21SEP17	B	1	PD21DEC17	3067.50	3240.00	USD	4,312.50	
21SEP17	B	1	PD21DEC17	3073.00	3240.00	USD	4,175.00	
21SEP17	S	1	PD21DEC17	3073.00	3240.00	USD	-4,175.00	
21SEP17	S	1	PD21DEC17	3073.50	3240.00	USD	-4,162.50	
21SEP17	S	1	PD21DEC17	3092.50	3240.00	USD	-3,687.50	
	NET	0AVG BGHT 3068.83	SOLD 3079.67				812.50	0.00
22SEP17	S	2	PD22DEC17	3033.50	3239.62	USD	-10,306.00	
22SEP17	S	1	PD22DEC17	3019.50	3239.62	USD	-5,503.00	
22SEP17	S	1	PD22DEC17	3026.50	3239.62	USD	-5,328.00	
22SEP17	S	1	PD22DEC17	3028.00	3239.62	USD	-5,290.50	
22SEP17	S	1	PD22DEC17	3029.00	3239.62	USD	-5,265.50	
22SEP17	S	1	PD22DEC17	3031.00	3239.62	USD	-5,215.50	
22SEP17	B	1	PD22DEC17	3003.00	3239.62	USD	5,915.50	
22SEP17	B	1	PD22DEC17	3005.50	3239.62	USD	5,853.00	
22SEP17	B	2	PD22DEC17	3007.00	3239.62	USD	11,631.00	
22SEP17	B	1	PD22DEC17	3010.00	3239.62	USD	5,740.50	
22SEP17	B	1	PD22DEC17	3011.50	3239.62	USD	5,703.00	
22SEP17	S	2	PD22DEC17	3038.00	3239.62	USD	-10,081.00	
22SEP17	S	1	PD22DEC17	3039.50	3239.62	USD	-5,003.00	
22SEP17	S	2	PD22DEC17	3040.50	3239.62	USD	-9,956.00	
22SEP17	S	1	PD22DEC17	3042.00	3239.62	USD	-4,940.50	

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22SEP17	S	1	PD22DEC17		3035.50	3239.62	USD	-5,103.00	
22SEP17	S	1	PD22DEC17		3070.00	3239.62	USD	-4,240.50	
22SEP17	S	1	PD22DEC17		3070.50	3239.62	USD	-4,228.00	
22SEP17	S	2	PD22DEC17		3075.50	3239.62	USD	-8,206.00	
22SEP17	S	1	PD22DEC17		3076.50	3239.62	USD	-4,078.00	
22SEP17	B	1	PD22DEC17		3012.00	3239.62	USD	5,690.50	
29SEP17	B	6	PD22DEC17		3162.56	3239.62	USD	11,559.00	
29SEP17	B	6	PD22DEC17		3162.76	3239.62	USD	11,529.00	
	NET	0AVG	BGHT 3105.68	SOLD 3044.37				-29,123.00	0.00
26SEP17	S	1	PD27DEC17		3122.00	3239.23	USD	-2,930.75	
26SEP17	S	1	PD27DEC17		3143.00	3239.23	USD	-2,405.75	
26SEP17	S	1	PD27DEC17		3145.00	3239.23	USD	-2,355.75	
26SEP17	B	1	PD27DEC17		3132.50	3239.23	USD	2,668.25	
26SEP17	B	1	PD27DEC17		3119.00	3239.23	USD	3,005.75	
26SEP17	B	1	PD27DEC17		3119.50	3239.23	USD	2,993.25	
27SEP17	B	1	PD27DEC17		3136.00	3239.23	USD	2,580.75	
27SEP17	S	2	PD27DEC17		3138.50	3239.23	USD	-5,036.50	
27SEP17	S	1	PD27DEC17		3142.50	3239.23	USD	-2,418.25	
27SEP17	S	3	PD27DEC17		3143.50	3239.23	USD	-7,179.75	
27SEP17	S	1	PD27DEC17		3145.00	3239.23	USD	-2,355.75	
27SEP17	S	1	PD27DEC17		3146.50	3239.23	USD	-2,318.25	
27SEP17	B	2	PD27DEC17		3137.50	3239.23	USD	5,086.50	
27SEP17	B	1	PD27DEC17		3138.50	3239.23	USD	2,518.25	
27SEP17	B	1	PD27DEC17		3139.00	3239.23	USD	2,505.75	
27SEP17	B	1	PD27DEC17		3139.50	3239.23	USD	2,493.25	
27SEP17	B	1	PD27DEC17		3140.00	3239.23	USD	2,480.75	
27SEP17	B	1	PD27DEC17		3141.00	3239.23	USD	2,455.75	
27SEP17	B	2	PD27DEC17		3097.50	3239.23	USD	7,086.50	
27SEP17	B	1	PD27DEC17		3100.50	3239.23	USD	3,468.25	
27SEP17	B	1	PD27DEC17		3101.50	3239.23	USD	3,443.25	
27SEP17	B	1	PD27DEC17		3103.00	3239.23	USD	3,405.75	
27SEP17	B	1	PD27DEC17		3103.50	3239.23	USD	3,393.25	
27SEP17	S	1	PD27DEC17		3122.00	3239.23	USD	-2,930.75	
27SEP17	S	1	PD27DEC17		3122.50	3239.23	USD	-2,918.25	
27SEP17	B	2	PD27DEC17		3095.50	3239.23	USD	7,186.50	
27SEP17	B	1	PD27DEC17		3101.00	3239.23	USD	3,455.75	
29SEP17	S	7	PD27DEC17		3162.18	3239.23	USD	-13,483.75	
	NET	0AVG	BGHT 3118.78	SOLD 3146.56				13,894.00	0.00
28SEP17	S	1	PD28DEC17		3114.00	3238.85	USD	-3,121.25	
28SEP17	S	1	PD28DEC17		3144.50	3238.85	USD	-2,358.75	
28SEP17	S	1	PD28DEC17		3145.00	3238.85	USD	-2,346.25	
28SEP17	S	1	PD28DEC17		3145.50	3238.85	USD	-2,333.75	
28SEP17	S	1	PD28DEC17		3146.50	3238.85	USD	-2,308.75	
28SEP17	S	1	PD28DEC17		3140.50	3238.85	USD	-2,458.75	
28SEP17	S	1	PD28DEC17		3143.00	3238.85	USD	-2,396.25	
28SEP17	S	1	PD28DEC17		3143.50	3238.85	USD	-2,383.75	
28SEP17	S	1	PD28DEC17		3144.00	3238.85	USD	-2,371.25	
28SEP17	S	1	PD28DEC17		3159.50	3238.85	USD	-1,983.75	
28SEP17	B	4	PD28DEC17		3147.20	3238.85	USD	9,165.00	
28SEP17	S	2	PD28DEC17		3162.00	3238.85	USD	-3,842.50	
28SEP17	S	1	PD28DEC17		3162.50	3238.85	USD	-1,908.75	
28SEP17	S	2	PD28DEC17		3160.00	3238.85	USD	-3,942.50	
29SEP17	B	10	PD28DEC17		3162.19	3238.85	USD	19,165.00	
03OCT17	B	1	PD28DEC17		3264.20	3238.85	USD	-633.75	
	NET	0AVG	BGHT 3164.99	SOLD 3148.83				-6,060.00	0.00
29SEP17	S	1	PD29DEC17		3158.00	3238.46	USD	-2,011.50	

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29SEP17	B	1	PD29DEC17		3156.00	3238.46	USD	2,061.50	
29SEP17	B	2	PD29DEC17		3151.50	3238.46	USD	4,348.00	
29SEP17	B	2	PD29DEC17		3153.00	3238.46	USD	4,273.00	
29SEP17	B	2	PD29DEC17		3153.50	3238.46	USD	4,248.00	
29SEP17	B	1	PD29DEC17		3144.50	3238.46	USD	2,349.00	
29SEP17	B	1	PD29DEC17		3149.50	3238.46	USD	2,224.00	
29SEP17	B	5	PD29DEC17		3145.50	3238.46	USD	11,620.00	
29SEP17	B	2	PD29DEC17		3148.50	3238.46	USD	4,498.00	
29SEP17	B	2	PD29DEC17		3146.00	3238.46	USD	4,623.00	
29SEP17	B	2	PD29DEC17		3145.00	3238.46	USD	4,673.00	
29SEP17	B	3	PD29DEC17		3147.50	3238.46	USD	6,822.00	
29SEP17	B	3	PD29DEC17		3151.00	3238.46	USD	6,559.50	
29SEP17	B	4	PD29DEC17		3152.00	3238.46	USD	8,646.00	
29SEP17	B	2	PD29DEC17		3148.00	3238.46	USD	4,523.00	
29SEP17	B	3	PD29DEC17		3150.50	3238.46	USD	6,597.00	
29SEP17	S	20	PD29DEC17		3148.35	3238.46	USD	-45,055.00	
29SEP17	S	4	PD29DEC17		3151.85	3238.46	USD	-8,661.00	
29SEP17	S	10	PD29DEC17		3161.80	3238.46	USD	-19,165.00	
	NET	0AVG BGHT 3149.24	SOLD 3152.87					3,172.50	0.00
04OCT17	B	1	PD04JAN18		3294.50	3237.31	USD	-1,429.75	
04OCT17	S	1	PD04JAN18		3283.50	3237.31	USD	1,154.75	
04OCT17	S	1	PD04JAN18		3284.00	3237.31	USD	1,167.25	
04OCT17	S	1	PD04JAN18		3284.50	3237.31	USD	1,179.75	
04OCT17	S	2	PD04JAN18		3287.00	3237.31	USD	2,484.50	
04OCT17	S	1	PD04JAN18		3289.00	3237.31	USD	1,292.25	
04OCT17	S	1	PD04JAN18		3294.50	3237.31	USD	1,429.75	
04OCT17	S	1	PD04JAN18		3295.00	3237.31	USD	1,442.25	
04OCT17	S	1	PD04JAN18		3295.50	3237.31	USD	1,454.75	
04OCT17	S	1	PD04JAN18		3286.50	3237.31	USD	1,229.75	
04OCT17	B	1	PD04JAN18		3279.00	3237.31	USD	-1,042.25	
04OCT17	B	2	PD04JAN18		3278.00	3237.31	USD	-2,034.50	
04OCT17	B	3	PD04JAN18		3277.50	3237.31	USD	-3,014.25	
04OCT17	B	3	PD04JAN18		3280.00	3237.31	USD	-3,201.75	
04OCT17	B	1	PD04JAN18		3279.50	3237.31	USD	-1,054.75	
04OCT17	S	1	PD04JAN18		3279.00	3237.31	USD	1,042.25	
	NET	0AVG BGHT 3280.14	SOLD 3287.77					2,100.00	0.00
05OCT17	S	1	PD05JAN18		3272.50	3236.92	USD	889.50	
05OCT17	S	1	PD05JAN18		3273.00	3236.92	USD	902.00	
05OCT17	S	1	PD05JAN18		3273.50	3236.92	USD	914.50	
05OCT17	S	1	PD05JAN18		3274.00	3236.92	USD	927.00	
05OCT17	S	1	PD05JAN18		3276.00	3236.92	USD	977.00	
05OCT17	S	2	PD05JAN18		3286.00	3236.92	USD	2,454.00	
05OCT17	S	1	PD05JAN18		3286.50	3236.92	USD	1,239.50	
05OCT17	S	1	PD05JAN18		3277.00	3236.92	USD	1,002.00	
05OCT17	B	1	PD05JAN18		3293.00	3236.92	USD	-1,402.00	
05OCT17	B	2	PD05JAN18		3294.00	3236.92	USD	-2,854.00	
05OCT17	B	1	PD05JAN18		3298.00	3236.92	USD	-1,527.00	
05OCT17	B	1	PD05JAN18		3298.50	3236.92	USD	-1,539.50	
05OCT17	B	1	PD05JAN18		3296.00	3236.92	USD	-1,477.00	
05OCT17	B	1	PD05JAN18		3299.00	3236.92	USD	-1,552.00	
05OCT17	B	1	PD05JAN18		3287.50	3236.92	USD	-1,264.50	
05OCT17	B	1	PD05JAN18		3288.50	3236.92	USD	-1,289.50	
06OCT17	S	1	PD05JAN18		3283.00	3236.92	USD	1,152.00	
06OCT17	S	3	PD05JAN18		3283.50	3236.92	USD	3,493.50	
06OCT17	S	1	PD05JAN18		3277.00	3236.92	USD	1,002.00	
06OCT17	S	1	PD05JAN18		3278.00	3236.92	USD	1,027.00	
06OCT17	B	2	PD05JAN18		3255.50	3236.92	USD	-929.00	

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06OCT17	B	1	PD05JAN18	3257.00	3236.92	USD	-502.00	
06OCT17	B	1	PD05JAN18	3237.00	3236.92	USD	-2.00	
06OCT17	B	1	PD05JAN18	3240.00	3236.92	USD	-77.00	
06OCT17	B	1	PD05JAN18	3239.50	3236.92	USD	-64.50	
	NET	0 AVG BGHT 3275.53	SOLD 3279.53				1,500.00	0.00
09OCT17	S	1	PD09JAN18	3267.00	3236.15	USD	771.25	
09OCT17	S	2	PD09JAN18	3268.50	3236.15	USD	1,617.50	
09OCT17	S	2	PD09JAN18	3269.00	3236.15	USD	1,642.50	
09OCT17	S	1	PD09JAN18	3270.00	3236.15	USD	846.25	
09OCT17	S	1	PD09JAN18	3271.50	3236.15	USD	883.75	
09OCT17	S	1	PD09JAN18	3267.50	3236.15	USD	783.75	
09OCT17	B	1	PD09JAN18	3232.00	3236.15	USD	103.75	
09OCT17	B	1	PD09JAN18	3233.50	3236.15	USD	66.25	
09OCT17	S	1	PD09JAN18	3246.50	3236.15	USD	258.75	
09OCT17	S	1	PD09JAN18	3248.00	3236.15	USD	296.25	
09OCT17	S	1	PD09JAN18	3248.50	3236.15	USD	308.75	
09OCT17	S	1	PD09JAN18	3250.00	3236.15	USD	346.25	
09OCT17	S	1	PD09JAN18	3258.00	3236.15	USD	546.25	
09OCT17	B	1	PD09JAN18	3232.50	3236.15	USD	91.25	
09OCT17	B	1	PD09JAN18	3233.00	3236.15	USD	78.75	
12OCT17	B	9	PD09JAN18	3250.00	3236.15	USD	-3,116.25	
	NET	0 AVG BGHT 3244.69	SOLD 3261.69				5,525.00	0.00
10OCT17	B	1	PD10JAN18	3230.50	3235.77	USD	131.75	
10OCT17	B	1	PD10JAN18	3231.00	3235.77	USD	119.25	
10OCT17	B	1	PD10JAN18	3232.00	3235.77	USD	94.25	
10OCT17	B	1	PD10JAN18	3233.50	3235.77	USD	56.75	
10OCT17	S	1	PD10JAN18	3240.00	3235.77	USD	105.75	
10OCT17	S	1	PD10JAN18	3242.00	3235.77	USD	155.75	
10OCT17	S	1	PD10JAN18	3242.50	3235.77	USD	168.25	
10OCT17	S	2	PD10JAN18	3243.00	3235.77	USD	361.50	
10OCT17	S	1	PD10JAN18	3244.50	3235.77	USD	218.25	
10OCT17	B	1	PD10JAN18	3277.50	3235.77	USD	-1,043.25	
10OCT17	B	1	PD10JAN18	3278.00	3235.77	USD	-1,055.75	
10OCT17	B	1	PD10JAN18	3279.00	3235.77	USD	-1,080.75	
10OCT17	B	2	PD10JAN18	3289.00	3235.77	USD	-2,661.50	
10OCT17	B	2	PD10JAN18	3289.50	3235.77	USD	-2,686.50	
12OCT17	S	5	PD10JAN18	3249.80	3235.77	USD	1,753.75	
	NET	0 AVG BGHT 3265.32	SOLD 3245.82				-5,362.50	0.00
11OCT17	B	1	PD11JAN18	3198.50	3235.38	USD	922.00	
11OCT17	B	1	PD11JAN18	3207.50	3235.38	USD	697.00	
11OCT17	B	1	PD11JAN18	3214.50	3235.38	USD	522.00	
11OCT17	B	1	PD11JAN18	3215.00	3235.38	USD	509.50	
11OCT17	B	1	PD11JAN18	3216.00	3235.38	USD	484.50	
11OCT17	B	1	PD11JAN18	3217.50	3235.38	USD	447.00	
11OCT17	B	1	PD11JAN18	3221.00	3235.38	USD	359.50	
11OCT17	B	1	PD11JAN18	3230.00	3235.38	USD	134.50	
11OCT17	B	1	PD11JAN18	3252.50	3235.38	USD	-428.00	
11OCT17	B	3	PD11JAN18	3253.00	3235.38	USD	-1,321.50	
11OCT17	S	1	PD11JAN18	3218.00	3235.38	USD	-434.50	
11OCT17	S	1	PD11JAN18	3218.50	3235.38	USD	-422.00	
11OCT17	S	2	PD11JAN18	3219.00	3235.38	USD	-819.00	
11OCT17	S	1	PD11JAN18	3230.00	3235.38	USD	-134.50	
11OCT17	S	1	PD11JAN18	3231.50	3235.38	USD	-97.00	
11OCT17	S	1	PD11JAN18	3232.50	3235.38	USD	-72.00	
11OCT17	S	1	PD11JAN18	3235.50	3235.38	USD	3.00	
12OCT17	S	4	PD11JAN18	3249.80	3235.38	USD	1,442.00	

OPEN POSITION STATEMENT



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SAP0103F

13th October 2017

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>								
	NET	0AVG	BGHT	3227.63				1,792.50	0.00
				SOLD 3233.60					
12OCT17	S	1	PD12JAN18		3228.00	3235.00	USD	-175.00	
12OCT17	S	1	PD12JAN18		3232.50	3235.00	USD	-62.50	
12OCT17	S	1	PD12JAN18		3234.50	3235.00	USD	-12.50	
12OCT17	S	1	PD12JAN18		3236.00	3235.00	USD	25.00	
12OCT17	S	1	PD12JAN18		3237.00	3235.00	USD	50.00	
12OCT17	S	1	PD12JAN18		3239.00	3235.00	USD	100.00	
12OCT17	S	1	PD12JAN18		3242.50	3235.00	USD	187.50	
12OCT17	S	1	PD12JAN18		3261.50	3235.00	USD	662.50	
12OCT17	S	1	PD12JAN18		3233.50	3235.00	USD	-37.50	
12OCT17	B	2	PD12JAN18		3253.50	3235.00	USD	-925.00	
12OCT17	B	1	PD12JAN18		3254.00	3235.00	USD	-475.00	
12OCT17	B	3	PD12JAN18		3252.50	3235.00	USD	-1,312.50	
12OCT17	B	1	PD12JAN18		3252.00	3235.00	USD	-425.00	
12OCT17	B	1	PD12JAN18		3255.00	3235.00	USD	-500.00	
12OCT17	B	1	PD12JAN18		3255.50	3235.00	USD	-512.50	
13OCT17	S	1	PD12JAN18		3243.50	3235.00	USD	212.50	
13OCT17	S	1	PD12JAN18		3256.50	3235.00	USD	537.50	
13OCT17	S	3	PD12JAN18		3257.50	3235.00	USD	1,687.50	
13OCT17	S	1	PD12JAN18		3258.50	3235.00	USD	587.50	
13OCT17	S	1	PD12JAN18		3259.50	3235.00	USD	612.50	
13OCT17	S	1	PD12JAN18		3262.00	3235.00	USD	675.00	
13OCT17	B	1	PD12JAN18		3259.50	3235.00	USD	-612.50	
13OCT17	B	2	PD12JAN18		3260.00	3235.00	USD	-1,250.00	
13OCT17	B	1	PD12JAN18		3254.50	3235.00	USD	-487.50	
13OCT17	B	2	PD12JAN18		3255.00	3235.00	USD	-1,000.00	
13OCT17	B	1	PD12JAN18		3257.50	3235.00	USD	-562.50	
13OCT17	B	1	PD12JAN18		3258.00	3235.00	USD	-575.00	
13OCT17	B	2	PD12JAN18		3254.00	3235.00	USD	-950.00	
13OCT17	B	1	PD12JAN18		3248.50	3235.00	USD	-337.50	
13OCT17	S	2	PD12JAN18		3255.50	3235.00	USD	1,025.00	
13OCT17	S	1	PD12JAN18		3265.00	3235.00	USD	750.00	
13OCT17	S	1	PD12JAN18		3267.00	3235.00	USD	800.00	
13OCT17	S	1	PD12JAN18		3271.50	3235.00	USD	912.50	
13OCT17	S	2	PD12JAN18		3273.00	3235.00	USD	1,900.00	
13OCT17	S	2	PD12JAN18		3272.00	3235.00	USD	1,850.00	
13OCT17	S	4	PD12JAN18		3272.50	3235.00	USD	3,750.00	
13OCT17	B	4	PD12JAN18		3253.00	3235.00	USD	-1,800.00	
13OCT17	B	1	PD12JAN18		3263.00	3235.00	USD	-700.00	
13OCT17	B	1	PD12JAN18		3263.50	3235.00	USD	-712.50	
13OCT17	B	1	PD12JAN18		3259.00	3235.00	USD	-600.00	
13OCT17	B	1	PD12JAN18		3261.50	3235.00	USD	-662.50	
13OCT17	B	1	PD12JAN18		3246.00	3235.00	USD	-275.00	
13OCT17	B	1	PD12JAN18		3231.50	3235.00	USD	87.50	
13OCT17	B	1	PD12JAN18		3233.00	3235.00	USD	50.00	
13OCT17	B	1	PD12JAN18		3234.00	3235.00	USD	25.00	
13OCT17	B	1	PD12JAN18		3234.50	3235.00	USD	12.50	
13OCT17	B	1	PD12JAN18		3218.50	3235.00	USD	412.50	
13OCT17	B	1	PD12JAN18		3260.50	3235.00	USD	-637.50	
13OCT17	B	1	PD12JAN18		3238.50	3235.00	USD	-87.50	
13OCT17	B	2	PD12JAN18		3239.00	3235.00	USD	-200.00	
13OCT17	B	1	PD12JAN18		3236.50	3235.00	USD	-37.50	
13OCT17	B	4	PD12JAN18		3237.50	3235.00	USD	-250.00	
13OCT17	S	1	PD12JAN18		3236.50	3235.00	USD	37.50	
13OCT17	S	1	PD12JAN18		3234.50	3235.00	USD	-12.50	
13OCT17	S	3	PD12JAN18		3237.50	3235.00	USD	187.50	
13OCT17	S	2	PD12JAN18		3238.50	3235.00	USD	175.00	

OPEN POSITION STATEMENT



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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
13OCT17	S 1 PD12JAN18	3239.50	3235.00	USD	112.50	
13OCT17	S 1 PD12JAN18	3240.00	3235.00	USD	125.00	
13OCT17	S 1 PD12JAN18	3240.50	3235.00	USD	137.50	
13OCT17	S 1 PD12JAN18	3241.50	3235.00	USD	162.50	
13OCT17	S 1 PD12JAN18	3243.00	3235.00	USD	200.00	
13OCT17	S 1 PD12JAN18	3236.00	3235.00	USD	25.00	
	NET 0 AVG BGHT 3249.23 SOLD 3250.99				1,887.50	0.00

OPEN POSITION VALUE

		USD
LME Aluminium 25 Tonnes.	FUTURES	25,468.25
LME Lead 25 tonnes. This is an	FUTURES	-89,882.25
LME Nickel 6 tonnes. This is	FUTURES	69,313.74
CMX Silver	FUTURES	-336,175.00
LME Zinc 25 tonnes. This is	FUTURES	2,264,755.75

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options	Amount	Profit / Loss	Total Amount	Total P&L
SILV - Futures USD	12,045.00	12,045.00	12,045.00	12,045.00
Unrealised Profits & Losses - Forwards	Amount	Profit / Loss	Total Amount	Total P&L
ALUL - Futures USD	-27,392.75	-27,392.75		
LEAD - Futures USD	-87,632.25	-87,632.25		
NKL - Futures USD	68,592.60	68,592.60		
ZINC - Futures USD	-103,239.25	-103,239.25	-149,671.65	-149,671.65

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	51,828.72	51,828.72
Variation Margins - Futures	12,045.00	12,045.00
- Options	0.00	0.00
TOTAL EQUITY	63,873.72	63,873.72
Initial Margins	-340,576.65	-340,576.65
Excess/(Shortage)	-276,702.93	-276,702.93
<u>FOR INFORMATION ONLY</u>		
Forward Unrealised P&L	-149,671.65	-149,671.65
Net Liquidating Value	-85,797.93	-85,797.93

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17JUL17	B	1	PD17OCT17	1935.00	2109.75	USD	4,368.75	
17JUL17	B	1	PD17OCT17	1935.50	2109.75	USD	4,356.25	
17JUL17	B	1	PD17OCT17	1934.00	2109.75	USD	4,393.75	
17JUL17	B	3	PD17OCT17	1933.00	2109.75	USD	13,256.25	
17JUL17	B	1	PD17OCT17	1918.50	2109.75	USD	4,781.25	
17JUL17	B	1	PD17OCT17	1919.00	2109.75	USD	4,768.75	
17JUL17	B	1	PD17OCT17	1900.50	2109.75	USD	5,231.25	
17JUL17	B	1	PD17OCT17	1904.50	2109.75	USD	5,131.25	
17JUL17	B	1	PD17OCT17	1905.00	2109.75	USD	5,118.75	
17JUL17	B	2	PD17OCT17	1905.50	2109.75	USD	10,212.50	
17JUL17	B	1	PD17OCT17	1906.00	2109.75	USD	5,093.75	
17JUL17	B	1	PD17OCT17	1910.00	2109.75	USD	4,993.75	
17JUL17	B	1	PD17OCT17	1898.00	2109.75	USD	5,293.75	
17JUL17	B	1	PD17OCT17	1900.00	2109.75	USD	5,243.75	
21JUL17	S	6	PD17OCT17	1914.85	2109.75	USD	-29,235.00	
21JUL17	S	11	PD17OCT17	1915.05	2109.75	USD	-53,542.50	
	NET	0AVG BGHT 1916.24	SOLD 1914.98				-533.75	0.00
18JUL17	S	2	PD18OCT17	1911.00	2110.25	USD	-9,962.50	
18JUL17	S	1	PD18OCT17	1911.50	2110.25	USD	-4,968.75	
18JUL17	S	1	PD18OCT17	1912.00	2110.25	USD	-4,956.25	
18JUL17	S	1	PD18OCT17	1913.00	2110.25	USD	-4,931.25	
18JUL17	S	1	PD18OCT17	1913.50	2110.25	USD	-4,918.75	
18JUL17	S	5	PD18OCT17	1914.00	2110.25	USD	-24,531.25	
21JUL17	B	11	PD18OCT17	1915.45	2110.25	USD	53,570.00	
	NET	0AVG BGHT 1915.45	SOLD 1912.91				-698.75	0.00
19JUL17	S	1	PD19OCT17	1933.50	2110.75	USD	-4,431.25	
19JUL17	S	1	PD19OCT17	1936.50	2110.75	USD	-4,356.25	
19JUL17	S	1	PD19OCT17	1938.50	2110.75	USD	-4,306.25	
19JUL17	S	1	PD19OCT17	1937.00	2110.75	USD	-4,343.75	
19JUL17	S	1	PD19OCT17	1937.50	2110.75	USD	-4,331.25	
19JUL17	B	1	PD19OCT17	1918.00	2110.75	USD	4,818.75	
19JUL17	B	1	PD19OCT17	1915.50	2110.75	USD	4,881.25	
19JUL17	B	1	PD19OCT17	1916.50	2110.75	USD	4,856.25	
19JUL17	S	1	PD19OCT17	1922.00	2110.75	USD	-4,718.75	
26JUL17	B	3	PD19OCT17	1940.50	2110.75	USD	12,768.75	
	NET	0AVG BGHT 1928.58	SOLD 1934.17				837.50	0.00
21JUL17	S	1	PD20OCT17	1932.00	2111.25	USD	-4,481.25	
21JUL17	S	1	PD20OCT17	1932.50	2111.25	USD	-4,468.75	
21JUL17	S	1	PD20OCT17	1916.50	2111.25	USD	-4,868.75	
26JUL17	B	1	PD20OCT17	1940.71	2111.25	USD	4,263.50	
31JUL17	B	2	PD20OCT17	1914.56	2111.25	USD	9,834.50	
	NET	0AVG BGHT 1923.28	SOLD 1927.00				279.25	0.00
24JUL17	B	3	PD24OCT17	1916.50	2113.25	USD	14,756.25	
24JUL17	B	1	PD24OCT17	1911.00	2113.25	USD	5,056.25	
26JUL17	S	4	PD24OCT17	1941.37	2113.25	USD	-17,188.00	
	NET	0AVG BGHT 1915.13	SOLD 1941.37				2,624.50	0.00
25JUL17	B	1	PD25OCT17	1917.00	2113.75	USD	4,918.75	
25JUL17	B	1	PD25OCT17	1916.50	2113.75	USD	4,931.25	
25JUL17	S	1	PD25OCT17	1932.50	2113.75	USD	-4,531.25	
25JUL17	S	1	PD25OCT17	1933.00	2113.75	USD	-4,518.75	
25JUL17	S	5	PD25OCT17	1935.00	2113.75	USD	-22,343.75	
25JUL17	S	1	PD25OCT17	1935.50	2113.75	USD	-4,456.25	
25JUL17	S	1	PD25OCT17	1943.50	2113.75	USD	-4,256.25	
25JUL17	S	1	PD25OCT17	1945.50	2113.75	USD	-4,206.25	
25JUL17	S	3	PD25OCT17	1947.50	2113.75	USD	-12,468.75	
25JUL17	S	3	PD25OCT17	1940.00	2113.75	USD	-13,031.25	

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31JUL17	B	14	PD25OCT17	1916.13	2113.75	USD	69,167.00	
	NET	0 AVG BGHT 1916.21	SOLD 1939.22				9,204.50	0.00
26JUL17	S	1	PD26OCT17	1957.50	2114.25	USD	-3,918.75	
26JUL17	B	1	PD26OCT17	1943.50	2114.25	USD	4,268.75	
26JUL17	S	3	PD26OCT17	1958.00	2114.25	USD	-11,718.75	
26JUL17	B	2	PD26OCT17	1937.00	2114.25	USD	8,862.50	
31JUL17	B	1	PD26OCT17	1916.44	2114.25	USD	4,945.25	
	NET	0 AVG BGHT 1933.49	SOLD 1957.88				2,439.00	0.00
27JUL17	B	1	PD27OCT17	1932.50	2114.08	USD	4,539.50	
27JUL17	B	5	PD27OCT17	1933.00	2114.08	USD	22,635.00	
27JUL17	B	1	PD27OCT17	1933.50	2114.08	USD	4,514.50	
27JUL17	S	1	PD27OCT17	1948.50	2114.08	USD	-4,139.50	
27JUL17	S	2	PD27OCT17	1950.00	2114.08	USD	-8,204.00	
27JUL17	S	1	PD27OCT17	1951.00	2114.08	USD	-4,077.00	
27JUL17	S	3	PD27OCT17	1951.50	2114.08	USD	-12,193.50	
27JUL17	B	1	PD27OCT17	1942.50	2114.08	USD	4,289.50	
27JUL17	B	1	PD27OCT17	1938.50	2114.08	USD	4,389.50	
27JUL17	B	4	PD27OCT17	1935.00	2114.08	USD	17,908.00	
28JUL17	B	1	PD27OCT17	1924.00	2114.08	USD	4,752.00	
28JUL17	B	1	PD27OCT17	1924.50	2114.08	USD	4,739.50	
28JUL17	B	1	PD27OCT17	1925.00	2114.08	USD	4,727.00	
28JUL17	B	3	PD27OCT17	1927.00	2114.08	USD	14,031.00	
28JUL17	B	1	PD27OCT17	1919.00	2114.08	USD	4,877.00	
28JUL17	B	3	PD27OCT17	1921.50	2114.08	USD	14,443.50	
28JUL17	B	1	PD27OCT17	1919.50	2114.08	USD	4,864.50	
28JUL17	B	3	PD27OCT17	1914.00	2114.08	USD	15,006.00	
28JUL17	B	1	PD27OCT17	1914.50	2114.08	USD	4,989.50	
28JUL17	B	3	PD27OCT17	1913.50	2114.08	USD	15,043.50	
28JUL17	B	1	PD27OCT17	1913.00	2114.08	USD	5,027.00	
31JUL17	S	17	PD27OCT17	1916.55	2114.08	USD	-83,950.25	
08AUG17	S	8	PD27OCT17	2027.60	2114.08	USD	-17,296.00	
	NET	0 AVG BGHT 1925.61	SOLD 1951.75				20,916.25	0.00
31JUL17	S	2	PD31OCT17	1925.00	2113.75	USD	-9,437.50	
31JUL17	S	2	PD31OCT17	1925.50	2113.75	USD	-9,412.50	
31JUL17	S	1	PD31OCT17	1926.00	2113.75	USD	-4,693.75	
08AUG17	B	5	PD31OCT17	2028.60	2113.75	USD	10,643.75	
	NET	0 AVG BGHT 2028.60	SOLD 1925.40				-12,900.00	0.00
12SEP17	S	3	PD02NOV17	2125.08	2114.44	USD	798.00	
12OCT17	B	3	PD02NOV17	2127.25	2114.44	USD	-960.75	
	NET	0 AVG BGHT 2127.25	SOLD 2125.08				-162.75	0.00
04AUG17	B	1	PD03NOV17	1914.00	2114.78	USD	5,019.50	
08AUG17	S	1	PD03NOV17	2029.00	2114.78	USD	-2,144.50	
	NET	0 AVG BGHT 1914.00	SOLD 2029.00				2,875.00	0.00
07AUG17	S	2	PD07NOV17	1941.50	2116.16	USD	-8,733.00	
07AUG17	S	2	PD07NOV17	1942.00	2116.16	USD	-8,708.00	
07AUG17	S	2	PD07NOV17	1945.00	2116.16	USD	-8,558.00	
07AUG17	S	2	PD07NOV17	1945.50	2116.16	USD	-8,533.00	
07AUG17	S	2	PD07NOV17	1946.00	2116.16	USD	-8,508.00	
07AUG17	S	1	PD07NOV17	1947.00	2116.16	USD	-4,229.00	
07AUG17	S	1	PD07NOV17	1948.00	2116.16	USD	-4,204.00	
07AUG17	S	1	PD07NOV17	1949.00	2116.16	USD	-4,179.00	
07AUG17	S	1	PD07NOV17	1974.50	2116.16	USD	-3,541.50	
08AUG17	B	4	PD07NOV17	2030.00	2116.16	USD	8,616.00	
09AUG17	B	10	PD07NOV17	2027.34	2116.16	USD	22,205.00	
	NET	0 AVG BGHT 2028.10	SOLD 1947.04				-28,372.50	0.00

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0103G

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.</u>							
08AUG17	S	1	PD08NOV17	1996.50	2116.50	USD	-3,000.00	
08AUG17	S	1	PD08NOV17	1997.00	2116.50	USD	-2,987.50	
08AUG17	S	1	PD08NOV17	1998.00	2116.50	USD	-2,962.50	
08AUG17	S	2	PD08NOV17	1997.50	2116.50	USD	-5,950.00	
08AUG17	S	1	PD08NOV17	1998.50	2116.50	USD	-2,950.00	
08AUG17	S	4	PD08NOV17	1999.00	2116.50	USD	-11,750.00	
08AUG17	B	10	PD08NOV17	2030.20	2116.50	USD	21,575.00	
	NET	0 AVG	BGHT 2030.20		SOLD 1998.10		-8,025.00	0.00
16AUG17	S	1	PD16NOV17	2061.50	2120.07	USD	-1,464.25	
16AUG17	S	8	PD16NOV17	2062.00	2120.07	USD	-11,614.00	
16AUG17	S	1	PD16NOV17	2061.00	2120.07	USD	-1,476.75	
18AUG17	B	10	PD16NOV17	2061.95	2120.07	USD	14,530.00	
	NET	0 AVG	BGHT 2061.95		SOLD 2061.85		-25.00	0.00
17AUG17	B	1	PD17NOV17	2102.00	2120.38	USD	459.50	
17AUG17	B	1	PD17NOV17	2105.00	2120.38	USD	384.50	
18AUG17	S	2	PD17NOV17	2061.80	2120.38	USD	-2,929.00	
	NET	0 AVG	BGHT 2103.50		SOLD 2061.80		-2,085.00	0.00
23AUG17	B	2	PD24NOV17	2058.50	2122.60	USD	3,205.00	
23AUG17	S	1	PD24NOV17	2092.50	2122.60	USD	-752.50	
23AUG17	S	1	PD24NOV17	2093.00	2122.60	USD	-740.00	
25AUG17	B	2	PD24NOV17	2096.50	2122.60	USD	1,305.00	
25AUG17	B	2	PD24NOV17	2098.00	2122.60	USD	1,230.00	
25AUG17	B	3	PD24NOV17	2094.00	2122.60	USD	2,145.00	
25AUG17	B	2	PD24NOV17	2094.50	2122.60	USD	1,405.00	
25AUG17	B	2	PD24NOV17	2095.00	2122.60	USD	1,380.00	
25AUG17	B	1	PD24NOV17	2093.00	2122.60	USD	740.00	
25AUG17	S	12	PD24NOV17	2069.80	2122.60	USD	-15,840.00	
	NET	0 AVG	BGHT 2090.00		SOLD 2073.08		-5,922.50	0.00
31AUG17	B	4	PD30NOV17	2101.00	2124.49	USD	2,349.00	
31AUG17	S	1	PD30NOV17	2112.50	2124.49	USD	-299.75	
31AUG17	S	3	PD30NOV17	2112.00	2124.49	USD	-936.75	
31AUG17	S	5	PD30NOV17	2116.50	2124.49	USD	-998.75	
31AUG17	S	1	PD30NOV17	2117.50	2124.49	USD	-174.75	
31AUG17	B	1	PD30NOV17	2100.00	2124.49	USD	612.25	
31AUG17	B	1	PD30NOV17	2100.50	2124.49	USD	599.75	
31AUG17	S	1	PD30NOV17	2131.00	2124.49	USD	162.75	
12SEP17	B	5	PD30NOV17	2133.28	2124.49	USD	-1,098.75	
	NET	0 AVG	BGHT 2115.54		SOLD 2116.32		215.00	0.00
01SEP17	B	1	PD01DEC17	2130.00	2124.81	USD	-129.75	
01SEP17	S	1	PD01DEC17	2132.00	2124.81	USD	179.75	
01SEP17	B	2	PD01DEC17	2125.00	2124.81	USD	-9.50	
01SEP17	B	1	PD01DEC17	2125.50	2124.81	USD	-17.25	
01SEP17	B	1	PD01DEC17	2126.50	2124.81	USD	-42.25	
12SEP17	S	4	PD01DEC17	2133.39	2124.81	USD	858.00	
	NET	0 AVG	BGHT 2126.40		SOLD 2133.11		839.00	0.00
04SEP17	B	1	PD04DEC17	2118.00	2125.76	USD	194.00	
12SEP17	S	1	PD04DEC17	2134.32	2125.76	USD	214.00	
	NET	0 AVG	BGHT 2118.00		SOLD 2134.32		408.00	0.00
06SEP17	B	1	PD06DEC17	2076.00	2126.39	USD	1,259.75	
06SEP17	B	2	PD06DEC17	2076.50	2126.39	USD	2,494.50	
06SEP17	B	1	PD06DEC17	2077.00	2126.39	USD	1,234.75	
12SEP17	S	4	PD06DEC17	2135.14	2126.39	USD	875.00	
	NET	0 AVG	BGHT 2076.50		SOLD 2135.14		5,864.00	0.00
07SEP17	B	2	PD07DEC17	2106.00	2126.71	USD	1,035.50	
12SEP17	S	2	PD07DEC17	2135.45	2126.71	USD	437.00	

OPEN POSITION STATEMENT



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	<u>LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.</u>							
	NET	0 AVG BGHT 2106.00	SOLD 2135.45				1,472.50	0.00
08SEP17	S	1 PD08DEC17		2118.00	2127.02	USD	-225.50	
08SEP17	S	1 PD08DEC17		2096.50	2127.02	USD	-763.00	
08SEP17	S	1 PD08DEC17		2096.00	2127.02	USD	-775.50	
08SEP17	S	3 PD08DEC17		2097.00	2127.02	USD	-2,251.50	
08SEP17	S	1 PD08DEC17		2098.00	2127.02	USD	-725.50	
12SEP17	B	7 PD08DEC17		2135.96	2127.02	USD	-1,564.50	
	NET	0 AVG BGHT 2135.96	SOLD 2099.93				-6,305.50	0.00
12SEP17	S	1 PD12DEC17		2117.00	2128.29	USD	-282.25	
12SEP17	S	2 PD12DEC17		2118.00	2128.29	USD	-514.50	
12SEP17	B	3 PD12DEC17		2137.20	2128.29	USD	-668.25	
	NET	0 AVG BGHT 2137.20	SOLD 2117.67				-1,465.00	0.00
13SEP17	B	1 PD13DEC17		2119.50	2128.60	USD	227.50	
13SEP17	B	3 PD13DEC17		2121.00	2128.60	USD	570.00	
13SEP17	B	1 PD13DEC17		2121.50	2128.60	USD	177.50	
13SEP17	B	2 PD13DEC17		2117.00	2128.60	USD	580.00	
13SEP17	B	1 PD13DEC17		2118.00	2128.60	USD	265.00	
13SEP17	B	2 PD13DEC17		2116.00	2128.60	USD	630.00	
13SEP17	B	1 PD13DEC17		2109.50	2128.60	USD	477.50	
13SEP17	B	2 PD13DEC17		2114.50	2128.60	USD	705.00	
13SEP17	B	3 PD13DEC17		2111.50	2128.60	USD	1,282.50	
13SEP17	B	1 PD13DEC17		2108.50	2128.60	USD	502.50	
14SEP17	S	9 PD13DEC17		2097.53	2128.60	USD	-6,990.75	
15SEP17	S	8 PD13DEC17		2085.30	2128.60	USD	-8,660.00	
	NET	0 AVG BGHT 2115.85	SOLD 2091.77				-10,233.25	0.00
14SEP17	S	1 PD14DEC17		2126.50	2128.92	USD	-60.50	
14SEP17	B	2 PD14DEC17		2087.00	2128.92	USD	2,096.00	
14SEP17	S	1 PD14DEC17		2125.00	2128.92	USD	-98.00	
	NET	0 AVG BGHT 2087.00	SOLD 2125.75				1,937.50	0.00
11OCT17	B	1 PD11JAN18		2132.00	2133.34	USD	33.50	
11OCT17	B	2 PD11JAN18		2133.00	2133.34	USD	17.00	
12OCT17	S	3 PD11JAN18		2146.12	2133.34	USD	958.50	
	NET	0 AVG BGHT 2132.67	SOLD 2146.12				1,009.00	0.00
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17JUL17	B	1 PD17OCT17		2321.50	2518.25	USD	4,918.75	
17JUL17	B	1 PD17OCT17		2323.50	2518.25	USD	4,868.75	
17JUL17	B	1 PD17OCT17		2322.00	2518.25	USD	4,906.25	
17JUL17	B	1 PD17OCT17		2320.50	2518.25	USD	4,943.75	
21JUL17	S	4 PD17OCT17		2239.35	2518.25	USD	-27,890.00	
	NET	0 AVG BGHT 2321.88	SOLD 2239.35				-8,252.50	0.00
18JUL17	B	2 PD18OCT17		2279.00	2518.75	USD	11,987.50	
18JUL17	B	1 PD18OCT17		2279.50	2518.75	USD	5,981.25	
18JUL17	B	4 PD18OCT17		2270.00	2518.75	USD	24,875.00	
18JUL17	B	1 PD18OCT17		2270.50	2518.75	USD	6,206.25	
18JUL17	B	1 PD18OCT17		2269.50	2518.75	USD	6,231.25	
18JUL17	S	1 PD18OCT17		2276.00	2518.75	USD	-6,068.75	
18JUL17	S	1 PD18OCT17		2272.50	2518.75	USD	-6,156.25	
18JUL17	S	2 PD18OCT17		2273.00	2518.75	USD	-12,287.50	
18JUL17	S	1 PD18OCT17		2273.50	2518.75	USD	-6,131.25	
18JUL17	S	6 PD18OCT17		2272.00	2518.75	USD	-37,012.50	
21JUL17	B	2 PD18OCT17		2239.75	2518.75	USD	13,950.00	
	NET	0 AVG BGHT 2267.00	SOLD 2272.73				1,575.00	0.00
19JUL17	B	2 PD19OCT17		2262.50	2518.90	USD	12,820.00	
19JUL17	B	1 PD19OCT17		2263.50	2518.90	USD	6,385.00	

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19JUL17	B	2	PD19OCT17	2264.00	2518.90	USD	12,745.00	
19JUL17	B	1	PD19OCT17	2247.50	2518.90	USD	6,785.00	
19JUL17	B	1	PD19OCT17	2248.00	2518.90	USD	6,772.50	
19JUL17	B	1	PD19OCT17	2237.50	2518.90	USD	7,035.00	
19JUL17	B	2	PD19OCT17	2238.00	2518.90	USD	14,045.00	
19JUL17	B	1	PD19OCT17	2245.00	2518.90	USD	6,847.50	
19JUL17	B	1	PD19OCT17	2231.00	2518.90	USD	7,197.50	
19JUL17	B	1	PD19OCT17	2227.50	2518.90	USD	7,285.00	
19JUL17	B	2	PD19OCT17	2228.50	2518.90	USD	14,520.00	
19JUL17	B	1	PD19OCT17	2242.00	2518.90	USD	6,922.50	
19JUL17	B	1	PD19OCT17	2228.00	2518.90	USD	7,272.50	
19JUL17	B	1	PD19OCT17	2229.00	2518.90	USD	7,247.50	
19JUL17	S	2	PD19OCT17	2211.00	2518.90	USD	-15,395.00	
21JUL17	S	2	PD19OCT17	2239.43	2518.90	USD	-13,973.50	
21JUL17	S	7	PD19OCT17	2239.63	2518.90	USD	-48,872.25	
26JUL17	S	7	PD19OCT17	2312.25	2518.90	USD	-36,163.75	
	NET	0 AVG BGHT 2243.61	SOLD 2264.67				9,475.50	0.00
20JUL17	S	1	PD20OCT17	2225.50	2519.05	USD	-7,338.75	
20JUL17	S	1	PD20OCT17	2226.00	2519.05	USD	-7,326.25	
20JUL17	S	4	PD20OCT17	2227.00	2519.05	USD	-29,205.00	
20JUL17	S	1	PD20OCT17	2227.50	2519.05	USD	-7,288.75	
20JUL17	S	2	PD20OCT17	2223.50	2519.05	USD	-14,777.50	
20JUL17	S	1	PD20OCT17	2224.50	2519.05	USD	-7,363.75	
20JUL17	B	1	PD20OCT17	2210.00	2519.05	USD	7,726.25	
20JUL17	B	1	PD20OCT17	2211.00	2519.05	USD	7,701.25	
20JUL17	B	1	PD20OCT17	2203.50	2519.05	USD	7,888.75	
21JUL17	S	1	PD20OCT17	2238.00	2519.05	USD	-7,026.25	
21JUL17	S	1	PD20OCT17	2238.50	2519.05	USD	-7,013.75	
21JUL17	S	5	PD20OCT17	2239.00	2519.05	USD	-35,006.25	
21JUL17	S	1	PD20OCT17	2249.00	2519.05	USD	-6,751.25	
21JUL17	S	2	PD20OCT17	2252.00	2519.05	USD	-13,352.50	
21JUL17	S	2	PD20OCT17	2251.50	2519.05	USD	-13,377.50	
21JUL17	S	1	PD20OCT17	2253.00	2519.05	USD	-6,651.25	
21JUL17	B	1	PD20OCT17	2238.50	2519.05	USD	7,013.75	
21JUL17	B	1	PD20OCT17	2239.50	2519.05	USD	6,988.75	
21JUL17	B	1	PD20OCT17	2236.00	2519.05	USD	7,076.25	
21JUL17	B	7	PD20OCT17	2239.70	2519.05	USD	48,886.25	
21JUL17	B	1	PD20OCT17	2241.50	2519.05	USD	6,938.75	
21JUL17	B	1	PD20OCT17	2242.00	2519.05	USD	6,926.25	
21JUL17	B	1	PD20OCT17	2241.00	2519.05	USD	6,951.25	
26JUL17	B	7	PD20OCT17	2312.56	2519.05	USD	36,135.75	
	NET	0 AVG BGHT 2257.77	SOLD 2236.48				-12,245.50	0.00
26JUL17	S	1	PD26OCT17	2344.00	2519.95	USD	-4,398.75	
26JUL17	S	1	PD26OCT17	2345.00	2519.95	USD	-4,373.75	
26JUL17	S	2	PD26OCT17	2346.00	2519.95	USD	-8,697.50	
08AUG17	B	4	PD26OCT17	2380.92	2519.95	USD	13,903.00	
	NET	0 AVG BGHT 2380.92	SOLD 2345.25				-3,567.00	0.00
31JUL17	B	1	PD31OCT17	2335.00	2520.70	USD	4,642.50	
31JUL17	B	2	PD31OCT17	2336.00	2520.70	USD	9,235.00	
31JUL17	B	1	PD31OCT17	2337.00	2520.70	USD	4,592.50	
08AUG17	S	4	PD31OCT17	2381.59	2520.70	USD	-13,911.00	
	NET	0 AVG BGHT 2336.00	SOLD 2381.59				4,559.00	0.00
29SEP17	S	17	PD02NOV17	2492.35	2521.00	USD	-12,176.25	
	NET	-17 AVG SOLD 2492.35					-12,176.25	0.00
03AUG17	S	4	PD03NOV17	2356.50	2521.13	USD	-16,463.00	
03AUG17	S	1	PD03NOV17	2358.00	2521.13	USD	-4,078.25	

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03AUG17	S	1	PD03NOV17	2358.50	2521.13	USD	-4,065.75	
03AUG17	S	1	PD03NOV17	2359.50	2521.13	USD	-4,040.75	
03AUG17	S	1	PD03NOV17	2360.50	2521.13	USD	-4,015.75	
03AUG17	S	1	PD03NOV17	2361.00	2521.13	USD	-4,003.25	
03AUG17	S	1	PD03NOV17	2362.00	2521.13	USD	-3,978.25	
03AUG17	S	1	PD03NOV17	2362.50	2521.13	USD	-3,965.75	
03AUG17	S	1	PD03NOV17	2363.00	2521.13	USD	-3,953.25	
03AUG17	B	12	PD03NOV17	2367.20	2521.13	USD	46,179.00	
04AUG17	S	1	PD03NOV17	2367.00	2521.13	USD	-3,853.25	
08AUG17	B	1	PD03NOV17	2382.31	2521.13	USD	3,470.50	
	NET	0 AVG BGHT 2368.36	SOLD 2359.85				-2,767.75	0.00
07AUG17	B	3	PD07NOV17	2364.00	2521.67	USD	11,825.25	
08AUG17	S	3	PD07NOV17	2382.80	2521.67	USD	-10,415.25	
	NET	0 AVG BGHT 2364.00	SOLD 2382.80				1,410.00	0.00
08AUG17	B	1	PD08NOV17	2355.50	2521.81	USD	4,157.75	
08AUG17	B	1	PD08NOV17	2356.00	2521.81	USD	4,145.25	
08AUG17	B	3	PD08NOV17	2355.00	2521.81	USD	12,510.75	
08AUG17	B	2	PD08NOV17	2354.50	2521.81	USD	8,365.50	
08AUG17	B	1	PD08NOV17	2353.50	2521.81	USD	4,207.75	
08AUG17	B	2	PD08NOV17	2354.00	2521.81	USD	8,390.50	
08AUG17	S	10	PD08NOV17	2382.80	2521.81	USD	-34,752.50	
	NET	0 AVG BGHT 2354.70	SOLD 2382.80				7,025.00	0.00
11AUG17	B	2	PD10NOV17	2330.00	2522.08	USD	9,604.00	
11AUG17	B	2	PD10NOV17	2330.50	2522.08	USD	9,579.00	
11AUG17	B	2	PD10NOV17	2331.50	2522.08	USD	9,529.00	
24AUG17	S	6	PD10NOV17	2352.65	2522.08	USD	-25,414.50	
	NET	0 AVG BGHT 2330.67	SOLD 2352.65				3,297.50	0.00
14AUG17	S	1	PD14NOV17	2346.50	2522.62	USD	-4,403.00	
14AUG17	S	1	PD14NOV17	2347.50	2522.62	USD	-4,378.00	
14AUG17	S	1	PD14NOV17	2343.50	2522.62	USD	-4,478.00	
24AUG17	B	3	PD14NOV17	2353.93	2522.62	USD	12,651.75	
	NET	0 AVG BGHT 2353.93	SOLD 2345.83				-607.25	0.00
16AUG17	S	2	PD16NOV17	2388.00	2522.75	USD	-6,737.50	
16AUG17	S	1	PD16NOV17	2389.00	2522.75	USD	-3,343.75	
24AUG17	B	3	PD16NOV17	2353.95	2522.75	USD	12,660.00	
	NET	0 AVG BGHT 2353.95	SOLD 2388.33				2,578.75	0.00
23AUG17	B	1	PD24NOV17	2393.50	2523.81	USD	3,257.75	
23AUG17	B	1	PD24NOV17	2394.00	2523.81	USD	3,245.25	
24AUG17	B	1	PD24NOV17	2347.50	2523.81	USD	4,407.75	
24AUG17	B	1	PD24NOV17	2348.00	2523.81	USD	4,395.25	
24AUG17	B	1	PD24NOV17	2353.50	2523.81	USD	4,257.75	
24AUG17	S	5	PD24NOV17	2356.80	2523.81	USD	-20,876.25	
	NET	0 AVG BGHT 2367.30	SOLD 2356.80				-1,312.50	0.00
31AUG17	S	1	PD30NOV17	2391.00	2524.72	USD	-3,343.00	
31AUG17	S	1	PD30NOV17	2393.50	2524.72	USD	-3,280.50	
31AUG17	S	1	PD30NOV17	2392.00	2524.72	USD	-3,318.00	
31AUG17	S	2	PD30NOV17	2392.50	2524.72	USD	-6,611.00	
31AUG17	B	5	PD30NOV17	2394.20	2524.72	USD	16,315.00	
	NET	0 AVG BGHT 2394.20	SOLD 2392.30				-237.50	0.00
05SEP17	B	1	PD05DEC17	2368.00	2525.48	USD	3,937.00	
05SEP17	B	1	PD05DEC17	2370.00	2525.48	USD	3,887.00	
05SEP17	B	1	PD05DEC17	2369.50	2525.48	USD	3,899.50	
05SEP17	S	3	PD05DEC17	2333.80	2525.48	USD	-14,376.00	
	NET	0 AVG BGHT 2369.17	SOLD 2333.80				-2,652.50	0.00
08SEP17	B	1	PD08DEC17	2352.50	2525.93	USD	4,335.75	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0103G

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
08SEP17	B	1	PD08DEC17	2339.00	2525.93	USD	4,673.25	
08SEP17	B	2	PD08DEC17	2312.00	2525.93	USD	10,696.50	
08SEP17	B	1	PD08DEC17	2312.50	2525.93	USD	5,335.75	
08SEP17	B	1	PD08DEC17	2314.00	2525.93	USD	5,298.25	
08SEP17	B	1	PD08DEC17	2305.00	2525.93	USD	5,523.25	
08SEP17	S	7	PD08DEC17	2264.80	2525.93	USD	-45,697.75	
	NET	0 AVG BGHT 2321.00	SOLD 2264.80				-9,835.00	0.00
13SEP17	B	1	PD13DEC17	2276.00	2526.69	USD	6,267.25	
13SEP17	S	1	PD13DEC17	2293.50	2526.69	USD	-5,829.75	
	NET	0 AVG BGHT 2276.00	SOLD 2293.50				437.50	0.00
15SEP17	S	1	PD15DEC17	2358.00	2526.99	USD	-4,224.75	
15SEP17	S	1	PD15DEC17	2358.50	2526.99	USD	-4,212.25	
15SEP17	S	4	PD15DEC17	2359.50	2526.99	USD	-16,749.00	
15SEP17	S	3	PD15DEC17	2360.50	2526.99	USD	-12,486.75	
15SEP17	S	1	PD15DEC17	2356.50	2526.99	USD	-4,262.25	
18SEP17	B	10	PD15DEC17	2389.40	2526.99	USD	34,397.50	
	NET	0 AVG BGHT 2389.40	SOLD 2359.25				-7,537.50	0.00
29SEP17	S	1	PD29DEC17	2485.00	2527.93	USD	-1,073.25	
29SEP17	S	1	PD29DEC17	2494.00	2527.93	USD	-848.25	
29SEP17	S	1	PD29DEC17	2486.50	2527.93	USD	-1,035.75	
29SEP17	S	1	PD29DEC17	2487.00	2527.93	USD	-1,023.25	
29SEP17	S	1	PD29DEC17	2488.50	2527.93	USD	-985.75	
29SEP17	S	1	PD29DEC17	2489.50	2527.93	USD	-960.75	
29SEP17	S	2	PD29DEC17	2490.00	2527.93	USD	-1,896.50	
29SEP17	S	1	PD29DEC17	2493.50	2527.93	USD	-860.75	
29SEP17	S	1	PD29DEC17	2497.00	2527.93	USD	-773.25	
29SEP17	S	1	PD29DEC17	2498.00	2527.93	USD	-748.25	
29SEP17	S	1	PD29DEC17	2500.00	2527.93	USD	-698.25	
29SEP17	S	1	PD29DEC17	2504.00	2527.93	USD	-598.25	
29SEP17	S	2	PD29DEC17	2498.50	2527.93	USD	-1,471.50	
29SEP17	S	1	PD29DEC17	2499.00	2527.93	USD	-723.25	
29SEP17	S	1	PD29DEC17	2495.00	2527.93	USD	-823.25	
29SEP17	B	17	PD29DEC17	2485.20	2527.93	USD	18,160.25	
	NET	0 AVG BGHT 2485.20	SOLD 2493.76				3,640.00	0.00
11OCT17	S	5	PD11JAN18	2560.00	2529.85	USD	3,768.75	
11OCT17	S	5	PD11JAN18	2561.00	2529.85	USD	3,893.75	
11OCT17	S	1	PD11JAN18	2562.00	2529.85	USD	803.75	
11OCT17	B	1	PD11JAN18	2558.00	2529.85	USD	-703.75	
	NET	-10 AVG BGHT 2558.00	SOLD 2560.64				7,762.50	0.00
12OCT17	B	1	PD12JAN18	2585.00	2530.00	USD	-1,375.00	
12OCT17	B	1	PD12JAN18	2578.00	2530.00	USD	-1,200.00	
12OCT17	B	1	PD12JAN18	2568.50	2530.00	USD	-962.50	
12OCT17	B	1	PD12JAN18	2573.50	2530.00	USD	-1,087.50	
12OCT17	B	1	PD12JAN18	2555.00	2530.00	USD	-625.00	
12OCT17	B	1	PD12JAN18	2555.50	2530.00	USD	-637.50	
12OCT17	B	1	PD12JAN18	2558.50	2530.00	USD	-712.50	
12OCT17	B	3	PD12JAN18	2563.00	2530.00	USD	-2,475.00	
13OCT17	B	1	PD12JAN18	2535.00	2530.00	USD	-125.00	
	NET	+11 AVG BGHT 2563.45					-9,200.00	0.00
	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
18JUL17	S	1	PD18OCT17	9640.00	11610.50	USD	-11,823.00	
18JUL17	S	1	PD18OCT17	9645.00	11610.50	USD	-11,793.00	
18JUL17	S	2	PD18OCT17	9650.00	11610.50	USD	-23,526.00	
18JUL17	S	2	PD18OCT17	9730.00	11610.50	USD	-22,566.00	

OPEN POSITION STATEMENT



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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
18JUL17	B	1	PD18OCT17	9740.00	11610.50	USD	11,223.00	
18JUL17	S	1	PD18OCT17	9735.00	11610.50	USD	-11,253.00	
18JUL17	S	2	PD18OCT17	9740.00	11610.50	USD	-22,446.00	
18JUL17	S	1	PD18OCT17	9760.00	11610.50	USD	-11,103.00	
18JUL17	S	1	PD18OCT17	9765.00	11610.50	USD	-11,073.00	
21JUL17	B	10	PD18OCT17	9522.00	11610.50	USD	125,310.00	
	NET	0 AVG	BGHT 9541.82	SOLD 9707.73			10,950.00	0.00
24JUL17	S	1	PD24OCT17	9775.00	11614.76	USD	-11,038.56	
24JUL17	S	2	PD24OCT17	9770.00	11614.76	USD	-22,137.12	
26JUL17	B	3	PD24OCT17	10041.67	11614.76	USD	28,315.62	
	NET	0 AVG	BGHT 10041.67	SOLD 9771.67			-4,860.06	0.00
25JUL17	S	1	PD25OCT17	10005.00	11615.61	USD	-9,663.66	
25JUL17	S	1	PD25OCT17	10010.00	11615.61	USD	-9,633.66	
26JUL17	B	2	PD25OCT17	10041.33	11615.61	USD	18,891.36	
	NET	0 AVG	BGHT 10041.33	SOLD 10007.50			-405.96	0.00
26JUL17	S	1	PD26OCT17	10065.00	11616.46	USD	-9,308.76	
26JUL17	S	1	PD26OCT17	10070.00	11616.46	USD	-9,278.76	
26JUL17	S	1	PD26OCT17	9925.00	11616.46	USD	-10,148.76	
31JUL17	B	3	PD26OCT17	10214.65	11616.46	USD	25,232.58	
	NET	0 AVG	BGHT 10214.65	SOLD 10020.00			-3,503.70	0.00
27JUL17	S	1	PD27OCT17	10020.00	11617.31	USD	-9,583.86	
27JUL17	S	3	PD27OCT17	10085.00	11617.31	USD	-27,581.58	
27JUL17	S	1	PD27OCT17	10120.00	11617.31	USD	-8,983.86	
27JUL17	S	2	PD27OCT17	10125.00	11617.31	USD	-17,907.72	
31JUL17	B	7	PD27OCT17	10214.92	11617.31	USD	58,900.38	
	NET	0 AVG	BGHT 10214.92	SOLD 10092.14			-5,156.64	0.00
08SEP17	B	2	PD08DEC17	11725.00	11649.27	USD	-908.76	
08SEP17	B	1	PD08DEC17	11730.00	11649.27	USD	-484.38	
08SEP17	B	1	PD08DEC17	11750.00	11649.27	USD	-604.38	
08SEP17	B	1	PD08DEC17	11755.00	11649.27	USD	-634.38	
08SEP17	B	2	PD08DEC17	11780.00	11649.27	USD	-1,568.76	
08SEP17	B	1	PD08DEC17	11560.00	11649.27	USD	535.62	
08SEP17	B	1	PD08DEC17	11575.00	11649.27	USD	445.62	
08SEP17	B	3	PD08DEC17	11555.00	11649.27	USD	1,696.86	
08SEP17	B	1	PD08DEC17	11570.00	11649.27	USD	75.62	
27SEP17	S	3	PD08DEC17	10220.94	11649.27	USD	-25,709.94	
29SEP17	S	10	PD08DEC17	10478.87	11649.27	USD	-70,224.00	
	NET	0 AVG	BGHT 11662.69	SOLD 10419.35			-96,980.88	0.00
13SEP17	B	1	PD13DEC17	11565.00	11652.70	USD	526.20	
13SEP17	B	1	PD13DEC17	11420.00	11652.70	USD	1,396.20	
13SEP17	B	1	PD13DEC17	11440.00	11652.70	USD	1,276.20	
13SEP17	B	1	PD13DEC17	11365.00	11652.70	USD	1,726.20	
13SEP17	B	1	PD13DEC17	11410.00	11652.70	USD	1,456.20	
13SEP17	B	4	PD13DEC17	11385.00	11652.70	USD	6,424.80	
29SEP17	S	7	PD13DEC17	10483.30	11652.70	USD	-49,114.80	
	NET	+2 AVG	BGHT 11415.56	SOLD 10483.30			-36,309.00	0.00
20SEP17	S	1	PD20DEC17	11360.00	11657.50	USD	-1,785.00	
20SEP17	S	1	PD20DEC17	11365.00	11657.50	USD	-1,755.00	
27SEP17	B	2	PD20DEC17	10232.50	11657.50	USD	17,100.00	
	NET	0 AVG	BGHT 10232.50	SOLD 11362.50			13,560.00	0.00
21SEP17	S	1	PD21DEC17	11130.00	11658.26	USD	-3,169.56	
27SEP17	B	1	PD21DEC17	10233.50	11658.26	USD	8,548.56	
	NET	0 AVG	BGHT 10233.50	SOLD 11130.00			5,379.00	0.00
28SEP17	S	1	PD28DEC17	10315.00	11663.59	USD	-8,091.54	

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
28SEP17	S	2	PD28DEC17	10320.00	11663.59	USD	-16,123.08	
28SEP17	S	1	PD28DEC17	10350.00	11663.59	USD	-7,881.54	
28SEP17	S	1	PD28DEC17	10355.00	11663.59	USD	-7,851.54	
28SEP17	S	1	PD28DEC17	10380.00	11663.59	USD	-7,701.54	
28SEP17	S	1	PD28DEC17	10405.00	11663.59	USD	-7,551.54	
28SEP17	S	1	PD28DEC17	10410.00	11663.59	USD	-7,521.54	
28SEP17	S	1	PD28DEC17	10450.00	11663.59	USD	-7,281.54	
28SEP17	S	1	PD28DEC17	10470.00	11663.59	USD	-7,161.54	
28SEP17	S	1	PD28DEC17	10475.00	11663.59	USD	-7,131.54	
28SEP17	S	1	PD28DEC17	10480.00	11663.59	USD	-7,101.54	
28SEP17	S	1	PD28DEC17	10485.00	11663.59	USD	-7,071.54	
28SEP17	S	2	PD28DEC17	10460.00	11663.59	USD	-14,443.08	
28SEP17	S	2	PD28DEC17	10465.00	11663.59	USD	-14,383.08	
29SEP17	B	17	PD28DEC17	10499.79	11663.59	USD	118,707.60	
	NET	0AVG BGHT 10499.79 SOLD 10415.59					-8,588.58	0.00
29SEP17	B	1	PD29DEC17	10495.00	11664.35	USD	7,016.10	
29SEP17	S	1	PD29DEC17	10435.00	11664.35	USD	-7,376.10	
29SEP17	S	1	PD29DEC17	10440.00	11664.35	USD	-7,346.10	
29SEP17	B	3	PD29DEC17	10430.00	11664.35	USD	22,218.30	
	NET	+2AVG BGHT 10446.25 SOLD 10437.50					14,512.20	0.00
12OCT17	S	2	PD12JAN18	11375.00	11675.00	USD	-3,600.00	
12OCT17	S	1	PD12JAN18	11380.00	11675.00	USD	-1,770.00	
12OCT17	S	1	PD12JAN18	11390.00	11675.00	USD	-1,710.00	
	NET	-4AVG SOLD 11380.00					-7,080.00	0.00
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
17JUL17	S	1	PD17OCT17	2824.50	3294.00	USD	-11,737.50	
17JUL17	S	2	PD17OCT17	2826.00	3294.00	USD	-23,400.00	
17JUL17	S	1	PD17OCT17	2830.00	3294.00	USD	-11,600.00	
17JUL17	S	1	PD17OCT17	2831.00	3294.00	USD	-11,575.00	
17JUL17	S	2	PD17OCT17	2831.50	3294.00	USD	-23,125.00	
17JUL17	S	2	PD17OCT17	2832.00	3294.00	USD	-23,100.00	
17JUL17	S	1	PD17OCT17	2834.50	3294.00	USD	-11,487.50	
17JUL17	S	1	PD17OCT17	2835.50	3294.00	USD	-11,462.50	
17JUL17	B	2	PD17OCT17	2823.50	3294.00	USD	23,525.00	
17JUL17	B	1	PD17OCT17	2826.00	3294.00	USD	11,700.00	
17JUL17	B	1	PD17OCT17	2821.00	3294.00	USD	11,825.00	
17JUL17	B	2	PD17OCT17	2822.00	3294.00	USD	23,600.00	
17JUL17	B	1	PD17OCT17	2822.50	3294.00	USD	11,787.50	
17JUL17	S	5	PD17OCT17	2824.00	3294.00	USD	-58,750.00	
17JUL17	S	5	PD17OCT17	2825.00	3294.00	USD	-58,625.00	
17JUL17	S	1	PD17OCT17	2830.50	3294.00	USD	-11,587.50	
17JUL17	B	1	PD17OCT17	2831.50	3294.00	USD	11,562.50	
17JUL17	B	1	PD17OCT17	2814.50	3294.00	USD	11,987.50	
17JUL17	B	1	PD17OCT17	2815.00	3294.00	USD	11,975.00	
17JUL17	B	3	PD17OCT17	2815.50	3294.00	USD	35,887.50	
17JUL17	B	1	PD17OCT17	2816.50	3294.00	USD	11,937.50	
21JUL17	B	8	PD17OCT17	2755.59	3294.00	USD	107,682.00	
	NET	0AVG BGHT 2796.78 SOLD 2827.73					17,019.50	0.00
18JUL17	B	2	PD18OCT17	2794.00	3291.00	USD	24,850.00	
18JUL17	B	1	PD18OCT17	2790.50	3291.00	USD	12,512.50	
18JUL17	B	1	PD18OCT17	2793.50	3291.00	USD	12,437.50	
18JUL17	B	1	PD18OCT17	2777.50	3291.00	USD	12,837.50	
18JUL17	B	4	PD18OCT17	2778.00	3291.00	USD	51,300.00	
18JUL17	B	1	PD18OCT17	2780.50	3291.00	USD	12,762.50	
18JUL17	S	1	PD18OCT17	2790.00	3291.00	USD	-12,525.00	

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
18JUL17	S	2	PD18OCT17	2791.00	3291.00	USD	-25,000.00	
18JUL17	S	4	PD18OCT17	2791.50	3291.00	USD	-49,950.00	
18JUL17	S	1	PD18OCT17	2792.50	3291.00	USD	-12,462.50	
18JUL17	B	2	PD18OCT17	2781.00	3291.00	USD	25,500.00	
18JUL17	S	3	PD18OCT17	2793.00	3291.00	USD	-37,350.00	
18JUL17	S	1	PD18OCT17	2796.00	3291.00	USD	-12,375.00	
18JUL17	S	1	PD18OCT17	2795.00	3291.00	USD	-12,400.00	
18JUL17	S	1	PD18OCT17	2795.50	3291.00	USD	-12,387.50	
18JUL17	S	2	PD18OCT17	2794.00	3291.00	USD	-24,850.00	
21JUL17	B	4	PD18OCT17	2755.70	3291.00	USD	53,530.00	
	NET	0AVG BGHT 2776.68	SOLD 2792.75				6,430.00	0.00
19JUL17	B	2	PD19OCT17	2772.50	3286.50	USD	25,700.00	
19JUL17	B	2	PD19OCT17	2773.00	3286.50	USD	25,675.00	
19JUL17	B	2	PD19OCT17	2773.50	3286.50	USD	25,650.00	
19JUL17	B	2	PD19OCT17	2766.50	3286.50	USD	26,000.00	
19JUL17	B	1	PD19OCT17	2767.50	3286.50	USD	12,975.00	
19JUL17	B	1	PD19OCT17	2766.00	3286.50	USD	13,012.50	
19JUL17	B	2	PD19OCT17	2743.50	3286.50	USD	27,150.00	
19JUL17	B	1	PD19OCT17	2743.00	3286.50	USD	13,587.50	
19JUL17	S	3	PD19OCT17	2735.00	3286.50	USD	-41,362.50	
19JUL17	S	1	PD19OCT17	2738.00	3286.50	USD	-13,712.50	
21JUL17	S	3	PD19OCT17	2753.50	3286.50	USD	-39,975.00	
26JUL17	S	6	PD19OCT17	2807.00	3286.50	USD	-71,925.00	
	NET	0AVG BGHT 2764.19	SOLD 2772.73				2,775.00	0.00
20JUL17	S	1	PD20OCT17	2754.00	3282.00	USD	-13,200.00	
20JUL17	S	1	PD20OCT17	2755.00	3282.00	USD	-13,175.00	
20JUL17	S	1	PD20OCT17	2755.50	3282.00	USD	-13,162.50	
20JUL17	S	1	PD20OCT17	2756.00	3282.00	USD	-13,150.00	
20JUL17	S	1	PD20OCT17	2757.00	3282.00	USD	-13,125.00	
20JUL17	S	1	PD20OCT17	2757.50	3282.00	USD	-13,112.50	
20JUL17	S	1	PD20OCT17	2758.00	3282.00	USD	-13,100.00	
20JUL17	B	1	PD20OCT17	2719.00	3282.00	USD	14,075.00	
20JUL17	B	2	PD20OCT17	2720.50	3282.00	USD	28,075.00	
20JUL17	B	1	PD20OCT17	2722.00	3282.00	USD	14,000.00	
20JUL17	B	1	PD20OCT17	2723.00	3282.00	USD	13,975.00	
20JUL17	B	1	PD20OCT17	2723.50	3282.00	USD	13,962.50	
20JUL17	S	2	PD20OCT17	2727.00	3282.00	USD	-27,750.00	
21JUL17	S	1	PD20OCT17	2746.50	3282.00	USD	-13,387.50	
21JUL17	S	1	PD20OCT17	2748.00	3282.00	USD	-13,350.00	
21JUL17	S	1	PD20OCT17	2748.50	3282.00	USD	-13,337.50	
21JUL17	S	2	PD20OCT17	2749.50	3282.00	USD	-26,625.00	
21JUL17	S	1	PD20OCT17	2750.00	3282.00	USD	-13,300.00	
21JUL17	S	1	PD20OCT17	2762.50	3282.00	USD	-12,987.50	
21JUL17	S	2	PD20OCT17	2764.00	3282.00	USD	-25,900.00	
21JUL17	B	1	PD20OCT17	2764.50	3282.00	USD	12,937.50	
21JUL17	S	2	PD20OCT17	2764.50	3282.00	USD	-25,875.00	
21JUL17	S	2	PD20OCT17	2763.00	3282.00	USD	-25,950.00	
21JUL17	S	1	PD20OCT17	2765.50	3282.00	USD	-12,912.50	
21JUL17	S	1	PD20OCT17	2772.50	3282.00	USD	-12,737.50	
21JUL17	S	1	PD20OCT17	2771.50	3282.00	USD	-12,762.50	
21JUL17	S	2	PD20OCT17	2772.00	3282.00	USD	-25,500.00	
21JUL17	S	2	PD20OCT17	2773.00	3282.00	USD	-25,450.00	
21JUL17	S	2	PD20OCT17	2773.50	3282.00	USD	-25,425.00	
21JUL17	B	13	PD20OCT17	2753.70	3282.00	USD	171,697.50	
26JUL17	B	6	PD20OCT17	2807.34	3282.00	USD	71,199.00	
26JUL17	B	5	PD20OCT17	2807.14	3282.00	USD	59,357.50	
	NET	0AVG BGHT 2766.80	SOLD 2759.06				-5,996.00	0.00

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0103G

13th October 2017

Sapien Capital Limited
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+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
24JUL17	S	1	PD24OCT17	2786.50	3276.00	USD	-12,237.50	
24JUL17	S	2	PD24OCT17	2779.50	3276.00	USD	-24,825.00	
24JUL17	B	2	PD24OCT17	2773.50	3276.00	USD	25,125.00	
24JUL17	S	1	PD24OCT17	2793.50	3276.00	USD	-12,062.50	
24JUL17	S	1	PD24OCT17	2794.50	3276.00	USD	-12,037.50	
24JUL17	S	2	PD24OCT17	2796.00	3276.00	USD	-24,000.00	
24JUL17	S	1	PD24OCT17	2795.00	3276.00	USD	-12,025.00	
24JUL17	B	5	PD24OCT17	2774.50	3276.00	USD	62,687.50	
24JUL17	S	2	PD24OCT17	2779.00	3276.00	USD	-24,850.00	
24JUL17	S	2	PD24OCT17	2780.50	3276.00	USD	-24,775.00	
24JUL17	B	1	PD24OCT17	2785.00	3276.00	USD	12,275.00	
24JUL17	B	1	PD24OCT17	2784.50	3276.00	USD	12,287.50	
24JUL17	B	3	PD24OCT17	2784.00	3276.00	USD	36,900.00	
	NET	0AVG BGHT 2778.42	SOLD 2786.63				2,462.50	0.00
25JUL17	S	1	PD25OCT17	2805.50	3273.00	USD	-11,687.50	
25JUL17	S	2	PD25OCT17	2811.50	3273.00	USD	-23,075.00	
25JUL17	S	2	PD25OCT17	2812.00	3273.00	USD	-23,050.00	
25JUL17	B	1	PD25OCT17	2828.00	3273.00	USD	11,125.00	
25JUL17	B	1	PD25OCT17	2824.50	3273.00	USD	11,212.50	
25JUL17	B	2	PD25OCT17	2825.50	3273.00	USD	22,375.00	
25JUL17	B	1	PD25OCT17	2826.50	3273.00	USD	11,162.50	
25JUL17	B	1	PD25OCT17	2836.00	3273.00	USD	10,925.00	
25JUL17	B	2	PD25OCT17	2835.50	3273.00	USD	21,875.00	
25JUL17	B	1	PD25OCT17	2848.00	3273.00	USD	10,625.00	
25JUL17	B	1	PD25OCT17	2838.00	3273.00	USD	10,875.00	
25JUL17	B	3	PD25OCT17	2839.00	3273.00	USD	32,550.00	
26JUL17	S	8	PD25OCT17	2807.66	3273.00	USD	-93,068.00	
	NET	0AVG BGHT 2833.85	SOLD 2808.75				-8,155.50	0.00
26JUL17	B	1	PD26OCT17	2830.50	3271.87	USD	11,034.25	
26JUL17	B	4	PD26OCT17	2818.50	3271.87	USD	45,337.00	
26JUL17	B	1	PD26OCT17	2826.50	3271.87	USD	11,134.25	
26JUL17	B	2	PD26OCT17	2829.50	3271.87	USD	22,118.50	
26JUL17	S	1	PD26OCT17	2829.50	3271.87	USD	-11,059.25	
26JUL17	B	2	PD26OCT17	2805.50	3271.87	USD	23,318.50	
26JUL17	B	1	PD26OCT17	2812.50	3271.87	USD	11,484.25	
26JUL17	B	4	PD26OCT17	2818.00	3271.87	USD	45,387.00	
26JUL17	B	1	PD26OCT17	2816.50	3271.87	USD	11,384.25	
26JUL17	B	1	PD26OCT17	2820.00	3271.87	USD	11,296.75	
26JUL17	B	1	PD26OCT17	2821.00	3271.87	USD	11,271.75	
26JUL17	B	1	PD26OCT17	2809.50	3271.87	USD	11,559.25	
26JUL17	S	5	PD26OCT17	2809.85	3271.87	USD	-57,752.50	
26JUL17	B	1	PD26OCT17	2806.50	3271.87	USD	11,634.25	
26JUL17	B	1	PD26OCT17	2807.00	3271.87	USD	11,621.75	
26JUL17	B	1	PD26OCT17	2803.00	3271.87	USD	11,721.75	
26JUL17	B	1	PD26OCT17	2803.50	3271.87	USD	11,709.25	
26JUL17	S	15	PD26OCT17	2807.80	3271.87	USD	-174,026.25	
27JUL17	S	2	PD26OCT17	2800.55	3271.87	USD	-23,566.00	
	NET	0AVG BGHT 2816.20	SOLD 2808.56				-4,391.25	0.00
27JUL17	S	1	PD27OCT17	2818.00	3270.73	USD	-11,318.25	
27JUL17	S	1	PD27OCT17	2822.00	3270.73	USD	-11,218.25	
27JUL17	S	1	PD27OCT17	2824.50	3270.73	USD	-11,155.75	
27JUL17	S	1	PD27OCT17	2832.00	3270.73	USD	-10,968.25	
27JUL17	S	1	PD27OCT17	2832.50	3270.73	USD	-10,955.75	
27JUL17	B	1	PD27OCT17	2812.50	3270.73	USD	11,455.75	
27JUL17	B	1	PD27OCT17	2813.50	3270.73	USD	11,430.75	
27JUL17	B	2	PD27OCT17	2795.00	3270.73	USD	23,786.50	

OPEN POSITION STATEMENT



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28JUL17	S	2	PD27OCT17		2790.00	3270.73	USD	-24,036.50	
08AUG17	B	3	PD27OCT17		2924.20	3270.73	USD	25,989.75	
	NET	0AVG	BGHT 2855.51	SOLD 2815.57				-6,990.00	0.00
31JUL17	B	1	PD31OCT17		2803.00	3268.47	USD	11,636.75	
31JUL17	B	1	PD31OCT17		2803.50	3268.47	USD	11,624.25	
31JUL17	B	3	PD31OCT17		2804.50	3268.47	USD	34,797.75	
31JUL17	S	2	PD31OCT17		2826.00	3268.47	USD	-22,123.50	
31JUL17	S	3	PD31OCT17		2826.50	3268.47	USD	-33,147.75	
31JUL17	S	1	PD31OCT17		2827.00	3268.47	USD	-11,036.75	
31JUL17	S	3	PD31OCT17		2827.50	3268.47	USD	-33,072.75	
31JUL17	B	1	PD31OCT17		2812.00	3268.47	USD	11,411.75	
31JUL17	B	1	PD31OCT17		2812.50	3268.47	USD	11,399.25	
31JUL17	B	1	PD31OCT17		2807.00	3268.47	USD	11,536.75	
31JUL17	B	3	PD31OCT17		2808.00	3268.47	USD	34,535.25	
31JUL17	B	1	PD31OCT17		2810.50	3268.47	USD	11,449.25	
31JUL17	B	1	PD31OCT17		2807.50	3268.47	USD	11,524.25	
31JUL17	B	2	PD31OCT17		2805.50	3268.47	USD	23,148.50	
31JUL17	B	5	PD31OCT17		2806.00	3268.47	USD	57,808.75	
31JUL17	B	1	PD31OCT17		2799.50	3268.47	USD	11,724.25	
31JUL17	B	1	PD31OCT17		2798.50	3268.47	USD	11,749.25	
31JUL17	B	1	PD31OCT17		2800.50	3268.47	USD	11,699.25	
31JUL17	B	1	PD31OCT17		2801.00	3268.47	USD	11,686.75	
31JUL17	B	1	PD31OCT17		2802.50	3268.47	USD	11,649.25	
31JUL17	B	1	PD31OCT17		2797.00	3268.47	USD	11,786.75	
31JUL17	B	1	PD31OCT17		2798.00	3268.47	USD	11,761.75	
31JUL17	B	1	PD31OCT17		2799.00	3268.47	USD	11,736.75	
31JUL17	S	4	PD31OCT17		2825.50	3268.47	USD	-44,297.00	
31JUL17	S	2	PD31OCT17		2808.50	3268.47	USD	-22,998.50	
31JUL17	S	2	PD31OCT17		2806.50	3268.47	USD	-23,098.50	
31JUL17	S	1	PD31OCT17		2807.00	3268.47	USD	-11,536.75	
31JUL17	S	2	PD31OCT17		2803.00	3268.47	USD	-23,273.50	
31JUL17	S	15	PD31OCT17		2794.80	3268.47	USD	-177,626.25	
31JUL17	B	5	PD31OCT17		2795.20	3268.47	USD	59,158.75	
08AUG17	B	2	PD31OCT17		2924.20	3268.47	USD	17,213.50	
	NET	0AVG	BGHT 2810.14	SOLD 2808.80				-1,172.50	0.00
28SEP17	S	4	PD02NOV17		3154.50	3266.20	USD	-11,170.00	
29SEP17	S	11	PD02NOV17		3170.05	3266.20	USD	-26,441.25	
29SEP17	S	5	PD02NOV17		3170.25	3266.20	USD	-11,993.75	
12OCT17	S	5	PD02NOV17		3297.00	3266.20	USD	3,850.00	
	NET	-25AVG	SOLD 3192.99					-45,755.00	0.00
03AUG17	S	1	PD03NOV17		2829.00	3265.07	USD	-10,901.75	
03AUG17	S	1	PD03NOV17		2830.00	3265.07	USD	-10,876.75	
03AUG17	B	1	PD03NOV17		2792.50	3265.07	USD	11,814.25	
03AUG17	B	3	PD03NOV17		2793.50	3265.07	USD	35,367.75	
03AUG17	B	1	PD03NOV17		2794.00	3265.07	USD	11,776.75	
03AUG17	B	1	PD03NOV17		2796.00	3265.07	USD	11,726.75	
03AUG17	B	1	PD03NOV17		2799.00	3265.07	USD	11,651.75	
04AUG17	S	2	PD03NOV17		2805.50	3265.07	USD	-22,978.50	
04AUG17	S	2	PD03NOV17		2807.00	3265.07	USD	-22,903.50	
04AUG17	S	1	PD03NOV17		2810.00	3265.07	USD	-11,376.75	
04AUG17	S	1	PD03NOV17		2811.50	3265.07	USD	-11,339.25	
04AUG17	S	1	PD03NOV17		2812.00	3265.07	USD	-11,326.75	
04AUG17	S	1	PD03NOV17		2813.00	3265.07	USD	-11,301.75	
04AUG17	S	4	PD03NOV17		2815.00	3265.07	USD	-45,007.00	
04AUG17	S	1	PD03NOV17		2817.50	3265.07	USD	-11,189.25	
04AUG17	S	1	PD03NOV17		2818.50	3265.07	USD	-11,164.25	

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04AUG17	S	1	PD03NOV17	2819.00	3265.07	USD	-11,151.75	
04AUG17	S	1	PD03NOV17	2822.00	3265.07	USD	-11,076.75	
04AUG17	S	2	PD03NOV17	2822.50	3265.07	USD	-22,128.50	
04AUG17	S	1	PD03NOV17	2823.50	3265.07	USD	-11,039.25	
04AUG17	S	1	PD03NOV17	2826.50	3265.07	USD	-10,964.25	
04AUG17	S	1	PD03NOV17	2828.00	3265.07	USD	-10,926.75	
04AUG17	S	1	PD03NOV17	2830.50	3265.07	USD	-10,864.25	
04AUG17	S	2	PD03NOV17	2832.00	3265.07	USD	-21,653.50	
04AUG17	S	2	PD03NOV17	2834.00	3265.07	USD	-21,553.50	
04AUG17	B	1	PD03NOV17	2819.50	3265.07	USD	11,139.25	
04AUG17	B	1	PD03NOV17	2820.50	3265.07	USD	11,114.25	
04AUG17	B	3	PD03NOV17	2820.00	3265.07	USD	33,380.25	
04AUG17	B	1	PD03NOV17	2821.00	3265.07	USD	11,101.75	
04AUG17	S	4	PD03NOV17	2833.00	3265.07	USD	-43,207.00	
04AUG17	B	2	PD03NOV17	2812.50	3265.07	USD	22,628.50	
08AUG17	B	7	PD03NOV17	2924.70	3265.07	USD	59,564.75	
09AUG17	B	2	PD03NOV17	2930.33	3265.07	USD	16,737.00	
09AUG17	B	8	PD03NOV17	2930.53	3265.07	USD	66,908.00	
	NET	0 AVG BGHT 2871.43	SOLD 2821.41				-40,020.00	0.00
07AUG17	S	1	PD07NOV17	2830.50	3262.80	USD	-10,807.50	
07AUG17	S	3	PD07NOV17	2832.50	3262.80	USD	-32,272.50	
07AUG17	S	2	PD07NOV17	2833.00	3262.80	USD	-21,490.00	
07AUG17	S	2	PD07NOV17	2834.00	3262.80	USD	-21,440.00	
07AUG17	B	2	PD07NOV17	2868.00	3262.80	USD	19,740.00	
07AUG17	B	2	PD07NOV17	2868.50	3262.80	USD	19,715.00	
07AUG17	B	1	PD07NOV17	2869.00	3262.80	USD	9,845.00	
07AUG17	B	2	PD07NOV17	2867.50	3262.80	USD	19,765.00	
07AUG17	B	1	PD07NOV17	2865.00	3262.80	USD	9,945.00	
	NET	0 AVG BGHT 2867.75	SOLD 2832.75				-7,000.00	0.00
08AUG17	B	2	PD08NOV17	2935.50	3261.67	USD	16,308.50	
09AUG17	S	2	PD08NOV17	2931.11	3261.67	USD	-16,528.00	
	NET	0 AVG BGHT 2935.50	SOLD 2931.11				-219.50	0.00
10AUG17	B	1	PD10NOV17	2910.50	3259.40	USD	8,722.50	
10AUG17	B	1	PD10NOV17	2911.50	3259.40	USD	8,697.50	
10AUG17	B	3	PD10NOV17	2912.00	3259.40	USD	26,055.00	
11AUG17	B	1	PD10NOV17	2903.00	3259.40	USD	8,910.00	
11AUG17	B	2	PD10NOV17	2909.00	3259.40	USD	17,520.00	
11AUG17	B	2	PD10NOV17	2911.00	3259.40	USD	17,420.00	
11AUG17	B	2	PD10NOV17	2889.50	3259.40	USD	18,495.00	
11AUG17	B	1	PD10NOV17	2891.00	3259.40	USD	9,210.00	
11AUG17	B	1	PD10NOV17	2893.00	3259.40	USD	9,160.00	
11AUG17	B	1	PD10NOV17	2899.00	3259.40	USD	9,010.00	
11AUG17	B	2	PD10NOV17	2899.50	3259.40	USD	17,995.00	
11AUG17	B	1	PD10NOV17	2900.00	3259.40	USD	8,985.00	
11AUG17	B	1	PD10NOV17	2901.00	3259.40	USD	8,960.00	
11AUG17	B	1	PD10NOV17	2903.50	3259.40	USD	8,897.50	
11AUG17	S	1	PD10NOV17	2891.00	3259.40	USD	-9,210.00	
11AUG17	B	1	PD10NOV17	2905.00	3259.40	USD	8,860.00	
11AUG17	S	1	PD10NOV17	2918.50	3259.40	USD	-8,522.50	
11AUG17	S	2	PD10NOV17	2920.00	3259.40	USD	-16,970.00	
11AUG17	S	1	PD10NOV17	2920.50	3259.40	USD	-8,472.50	
11AUG17	S	1	PD10NOV17	2925.00	3259.40	USD	-8,360.00	
11AUG17	S	1	PD10NOV17	2924.50	3259.40	USD	-8,372.50	
11AUG17	S	1	PD10NOV17	2926.50	3259.40	USD	-8,322.50	
11AUG17	S	1	PD10NOV17	2927.00	3259.40	USD	-8,310.00	
11AUG17	S	1	PD10NOV17	2923.00	3259.40	USD	-8,410.00	

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11AUG17	S	1	PD10NOV17	2922.00	3259.40	USD	-8,435.00	
11AUG17	S	1	PD10NOV17	2921.00	3259.40	USD	-8,460.00	
11AUG17	B	1	PD10NOV17	2896.00	3259.40	USD	9,085.00	
11AUG17	B	1	PD10NOV17	2905.50	3259.40	USD	8,847.50	
11AUG17	B	3	PD10NOV17	2908.00	3259.40	USD	26,355.00	
11AUG17	B	1	PD10NOV17	2906.50	3259.40	USD	8,822.50	
11AUG17	B	3	PD10NOV17	2891.50	3259.40	USD	27,592.50	
11AUG17	B	1	PD10NOV17	2892.00	3259.40	USD	9,185.00	
11AUG17	B	1	PD10NOV17	2892.50	3259.40	USD	9,172.50	
24AUG17	S	20	PD10NOV17	3118.48	3259.40	USD	-70,460.00	
	NET	0 AVG BGHT 2901.95	SOLD 3044.02				113,652.50	0.00
14AUG17	S	1	PD14NOV17	2919.00	3257.13	USD	-8,453.25	
14AUG17	S	1	PD14NOV17	2912.00	3257.13	USD	-8,628.25	
14AUG17	S	2	PD14NOV17	2911.00	3257.13	USD	-17,306.50	
24AUG17	B	4	PD14NOV17	3119.20	3257.13	USD	13,793.00	
	NET	0 AVG BGHT 3119.20	SOLD 2913.25				-20,595.00	0.00
16AUG17	S	4	PD16NOV17	2979.50	3253.50	USD	-27,400.00	
16AUG17	S	3	PD16NOV17	2980.00	3253.50	USD	-20,512.50	
16AUG17	S	2	PD16NOV17	2982.00	3253.50	USD	-13,575.00	
16AUG17	S	2	PD16NOV17	2981.00	3253.50	USD	-13,625.00	
16AUG17	S	1	PD16NOV17	2978.50	3253.50	USD	-6,875.00	
16AUG17	S	1	PD16NOV17	2979.00	3253.50	USD	-6,862.50	
16AUG17	S	3	PD16NOV17	2982.50	3253.50	USD	-20,325.00	
24AUG17	B	16	PD16NOV17	3117.20	3253.50	USD	54,520.00	
	NET	0 AVG BGHT 3117.20	SOLD 2980.56				-54,655.00	0.00
24AUG17	B	1	PD24NOV17	3115.50	3247.50	USD	3,300.00	
24AUG17	B	1	PD24NOV17	3116.00	3247.50	USD	3,287.50	
24AUG17	B	1	PD24NOV17	3117.00	3247.50	USD	3,262.50	
24AUG17	B	1	PD24NOV17	3118.50	3247.50	USD	3,225.00	
24AUG17	S	1	PD24NOV17	3110.00	3247.50	USD	-3,437.50	
24AUG17	B	1	PD24NOV17	3109.50	3247.50	USD	3,450.00	
24AUG17	S	1	PD24NOV17	3135.00	3247.50	USD	-2,812.50	
24AUG17	S	1	PD24NOV17	3135.50	3247.50	USD	-2,800.00	
24AUG17	S	1	PD24NOV17	3136.00	3247.50	USD	-2,787.50	
24AUG17	S	1	PD24NOV17	3136.50	3247.50	USD	-2,775.00	
25AUG17	B	1	PD24NOV17	3085.50	3247.50	USD	4,050.00	
25AUG17	B	1	PD24NOV17	3074.50	3247.50	USD	4,325.00	
25AUG17	B	1	PD24NOV17	3079.00	3247.50	USD	4,212.50	
25AUG17	B	1	PD24NOV17	3079.50	3247.50	USD	4,200.00	
25AUG17	B	1	PD24NOV17	3080.50	3247.50	USD	4,175.00	
25AUG17	B	1	PD24NOV17	3081.00	3247.50	USD	4,162.50	
25AUG17	B	2	PD24NOV17	3081.50	3247.50	USD	8,300.00	
25AUG17	B	1	PD24NOV17	3082.00	3247.50	USD	4,137.50	
25AUG17	B	1	PD24NOV17	3084.00	3247.50	USD	4,087.50	
25AUG17	B	1	PD24NOV17	3084.50	3247.50	USD	4,075.00	
25AUG17	B	2	PD24NOV17	3069.50	3247.50	USD	8,900.00	
25AUG17	B	1	PD24NOV17	3070.00	3247.50	USD	4,437.50	
25AUG17	B	1	PD24NOV17	3072.50	3247.50	USD	4,375.00	
25AUG17	B	1	PD24NOV17	3073.00	3247.50	USD	4,362.50	
25AUG17	B	1	PD24NOV17	3074.00	3247.50	USD	4,337.50	
25AUG17	B	3	PD24NOV17	3075.00	3247.50	USD	12,937.50	
25AUG17	B	1	PD24NOV17	3069.00	3247.50	USD	4,462.50	
25AUG17	B	1	PD24NOV17	3067.00	3247.50	USD	4,512.50	
25AUG17	B	1	PD24NOV17	3057.00	3247.50	USD	4,762.50	
25AUG17	B	1	PD24NOV17	3064.00	3247.50	USD	4,587.50	
25AUG17	B	1	PD24NOV17	3064.50	3247.50	USD	4,575.00	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0103G

13th October 2017

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
25AUG17	S	1	PD24NOV17	3059.00	3247.50	USD	-4,712.50	
25AUG17	B	1	PD24NOV17	3071.50	3247.50	USD	4,400.00	
29AUG17	S	7	PD24NOV17	3108.80	3247.50	USD	-24,272.50	
30AUG17	S	14	PD24NOV17	3093.80	3247.50	USD	-53,795.00	
31AUG17	S	4	PD24NOV17	3145.00	3247.50	USD	-10,250.00	
	NET	0AVG BGHT 3081.18	SOLD 3108.61				21,257.50	0.00
29AUG17	B	1	PD29NOV17	3115.00	3246.75	USD	3,293.75	
29AUG17	S	1	PD29NOV17	3113.00	3246.75	USD	-3,343.75	
29AUG17	S	1	PD29NOV17	3115.50	3246.75	USD	-3,281.25	
29AUG17	S	3	PD29NOV17	3116.00	3246.75	USD	-9,806.25	
29AUG17	S	2	PD29NOV17	3116.50	3246.75	USD	-6,512.50	
31AUG17	B	4	PD29NOV17	3146.03	3246.75	USD	10,072.00	
31AUG17	B	2	PD29NOV17	3145.83	3246.75	USD	5,046.00	
	NET	0AVG BGHT 3141.54	SOLD 3115.64				-4,532.00	0.00
30AUG17	S	1	PD30NOV17	3124.50	3246.50	USD	-3,050.00	
30AUG17	S	5	PD30NOV17	3126.00	3246.50	USD	-15,062.50	
30AUG17	S	2	PD30NOV17	3126.50	3246.50	USD	-6,000.00	
30AUG17	S	4	PD30NOV17	3127.00	3246.50	USD	-11,950.00	
30AUG17	S	2	PD30NOV17	3150.00	3246.50	USD	-4,825.00	
30AUG17	B	1	PD30NOV17	3130.50	3246.50	USD	2,900.00	
30AUG17	B	1	PD30NOV17	3131.00	3246.50	USD	2,887.50	
30AUG17	B	3	PD30NOV17	3129.00	3246.50	USD	8,812.50	
30AUG17	B	1	PD30NOV17	3129.50	3246.50	USD	2,925.00	
30AUG17	B	1	PD30NOV17	3124.50	3246.50	USD	3,050.00	
30AUG17	B	1	PD30NOV17	3123.00	3246.50	USD	3,087.50	
30AUG17	B	1	PD30NOV17	3119.00	3246.50	USD	3,187.50	
30AUG17	B	1	PD30NOV17	3121.50	3246.50	USD	3,125.00	
30AUG17	B	2	PD30NOV17	3113.50	3246.50	USD	6,650.00	
30AUG17	B	1	PD30NOV17	3110.50	3246.50	USD	3,400.00	
30AUG17	B	1	PD30NOV17	3099.00	3246.50	USD	3,687.50	
30AUG17	B	1	PD30NOV17	3104.00	3246.50	USD	3,562.50	
30AUG17	B	1	PD30NOV17	3106.00	3246.50	USD	3,512.50	
30AUG17	B	1	PD30NOV17	3104.50	3246.50	USD	3,550.00	
30AUG17	B	2	PD30NOV17	3105.50	3246.50	USD	7,050.00	
30AUG17	S	1	PD30NOV17	3112.00	3246.50	USD	-3,362.50	
30AUG17	S	2	PD30NOV17	3111.50	3246.50	USD	-6,750.00	
30AUG17	B	1	PD30NOV17	3098.50	3246.50	USD	3,700.00	
30AUG17	B	3	PD30NOV17	3097.00	3246.50	USD	11,212.50	
30AUG17	S	4	PD30NOV17	3125.35	3246.50	USD	-12,115.00	
31AUG17	S	3	PD30NOV17	3108.00	3246.50	USD	-10,387.50	
31AUG17	S	4	PD30NOV17	3109.50	3246.50	USD	-13,700.00	
31AUG17	S	4	PD30NOV17	3110.00	3246.50	USD	-13,650.00	
31AUG17	S	1	PD30NOV17	3124.50	3246.50	USD	-3,050.00	
31AUG17	B	1	PD30NOV17	3127.00	3246.50	USD	2,987.50	
31AUG17	S	9	PD30NOV17	3109.00	3246.50	USD	-30,937.50	
31AUG17	S	1	PD30NOV17	3141.00	3246.50	USD	-2,637.50	
31AUG17	S	2	PD30NOV17	3139.00	3246.50	USD	-5,375.00	
31AUG17	S	1	PD30NOV17	3155.00	3246.50	USD	-2,287.50	
31AUG17	S	1	PD30NOV17	3155.50	3246.50	USD	-2,275.00	
31AUG17	S	1	PD30NOV17	3156.50	3246.50	USD	-2,250.00	
31AUG17	S	1	PD30NOV17	3157.00	3246.50	USD	-2,237.50	
31AUG17	S	2	PD30NOV17	3158.50	3246.50	USD	-4,400.00	
31AUG17	S	2	PD30NOV17	3159.50	3246.50	USD	-4,350.00	
31AUG17	S	1	PD30NOV17	3160.00	3246.50	USD	-2,162.50	
31AUG17	S	2	PD30NOV17	3161.50	3246.50	USD	-4,250.00	
31AUG17	S	2	PD30NOV17	3145.80	3246.50	USD	-5,035.00	
31AUG17	B	7	PD30NOV17	3146.20	3246.50	USD	17,552.50	

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01SEP17	B	10	PD30NOV17	3185.06	3246.50	USD	15,360.00	
04SEP17	B	11	PD30NOV17	3204.70	3246.50	USD	11,495.00	
04SEP17	B	6	PD30NOV17	3204.50	3246.50	USD	6,300.00	
	NET	0 AVG	BGHT 3156.85	SOLD 3127.81			-42,105.00	0.00
01SEP17	B	2	PD01DEC17	3155.50	3246.25	USD	4,537.50	
01SEP17	B	1	PD01DEC17	3209.00	3246.25	USD	931.25	
01SEP17	B	3	PD01DEC17	3210.00	3246.25	USD	2,718.75	
04SEP17	S	6	PD01DEC17	3204.43	3246.25	USD	-6,273.00	
	NET	0 AVG	BGHT 3191.67	SOLD 3204.43			1,914.50	0.00
04SEP17	B	1	PD04DEC17	3198.00	3246.00	USD	1,200.00	
04SEP17	S	1	PD04DEC17	3198.50	3246.00	USD	-1,187.50	
	NET	0 AVG	BGHT 3198.00	SOLD 3198.50			12.50	0.00
06SEP17	B	2	PD06DEC17	3100.50	3245.50	USD	7,250.00	
06SEP17	B	2	PD06DEC17	3101.00	3245.50	USD	7,225.00	
06SEP17	B	1	PD06DEC17	3101.50	3245.50	USD	3,600.00	
06SEP17	B	2	PD06DEC17	3102.50	3245.50	USD	7,150.00	
06SEP17	B	1	PD06DEC17	3103.00	3245.50	USD	3,562.50	
06SEP17	B	1	PD06DEC17	3104.50	3245.50	USD	3,525.00	
06SEP17	S	1	PD06DEC17	3104.50	3245.50	USD	-3,525.00	
12SEP17	S	8	PD06DEC17	3059.73	3245.50	USD	-37,154.00	
	NET	0 AVG	BGHT 3101.89	SOLD 3064.70			-8,366.50	0.00
07SEP17	S	1	PD07DEC17	3102.50	3245.25	USD	-3,568.75	
07SEP17	S	1	PD07DEC17	3104.50	3245.25	USD	-3,518.75	
07SEP17	B	1	PD07DEC17	3075.50	3245.25	USD	4,243.75	
07SEP17	B	2	PD07DEC17	3078.00	3245.25	USD	8,362.50	
07SEP17	B	1	PD07DEC17	3078.50	3245.25	USD	4,168.75	
07SEP17	B	1	PD07DEC17	3079.50	3245.25	USD	4,143.75	
07SEP17	B	1	PD07DEC17	3081.50	3245.25	USD	4,093.75	
07SEP17	B	1	PD07DEC17	3077.50	3245.25	USD	4,193.75	
07SEP17	B	1	PD07DEC17	3079.00	3245.25	USD	4,156.25	
07SEP17	S	1	PD07DEC17	3090.50	3245.25	USD	-3,868.75	
07SEP17	S	1	PD07DEC17	3091.50	3245.25	USD	-3,843.75	
07SEP17	S	1	PD07DEC17	3092.50	3245.25	USD	-3,818.75	
07SEP17	S	1	PD07DEC17	3097.00	3245.25	USD	-3,706.25	
07SEP17	S	1	PD07DEC17	3098.00	3245.25	USD	-3,681.25	
07SEP17	S	3	PD07DEC17	3099.50	3245.25	USD	-10,931.25	
07SEP17	S	1	PD07DEC17	3100.00	3245.25	USD	-3,631.25	
07SEP17	S	1	PD07DEC17	3104.00	3245.25	USD	-3,531.25	
07SEP17	S	7	PD07DEC17	3103.00	3245.25	USD	-24,893.75	
12SEP17	B	8	PD07DEC17	3060.14	3245.25	USD	37,022.00	
12SEP17	B	3	PD07DEC17	3059.94	3245.25	USD	13,898.25	
	NET	0 AVG	BGHT 3067.81	SOLD 3100.00			15,289.00	0.00
08SEP17	B	1	PD08DEC17	3104.00	3245.00	USD	3,525.00	
08SEP17	B	1	PD08DEC17	3094.00	3245.00	USD	3,775.00	
08SEP17	B	2	PD08DEC17	3098.00	3245.00	USD	7,350.00	
08SEP17	B	2	PD08DEC17	3101.50	3245.00	USD	7,175.00	
08SEP17	B	1	PD08DEC17	3091.50	3245.00	USD	3,837.50	
08SEP17	B	2	PD08DEC17	3092.00	3245.00	USD	7,650.00	
08SEP17	B	1	PD08DEC17	3092.50	3245.00	USD	3,812.50	
08SEP17	B	2	PD08DEC17	3094.50	3245.00	USD	7,525.00	
08SEP17	B	3	PD08DEC17	3096.50	3245.00	USD	11,137.50	
08SEP17	B	1	PD08DEC17	3089.00	3245.00	USD	3,900.00	
08SEP17	B	2	PD08DEC17	3089.50	3245.00	USD	7,775.00	
08SEP17	B	3	PD08DEC17	3090.50	3245.00	USD	11,587.50	
08SEP17	B	1	PD08DEC17	3033.50	3245.00	USD	5,287.50	
12SEP17	S	3	PD08DEC17	3059.95	3245.00	USD	-13,878.75	

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12SEP17	S	17	PD08DEC17		3060.15	3245.00	USD	-78,561.25	
27SEP17	S	2	PD08DEC17		3108.27	3245.00	USD	-6,836.50	
	NET	0AVG	BGHT	3091.66				SOLD 3064.50	0.00
11SEP17	S	1	PD11DEC17		3061.50	3244.75	USD	-4,581.25	
11SEP17	S	1	PD11DEC17		3053.00	3244.75	USD	-4,793.75	
11SEP17	S	1	PD11DEC17		3055.50	3244.75	USD	-4,731.25	
11SEP17	S	1	PD11DEC17		3057.00	3244.75	USD	-4,693.75	
11SEP17	S	1	PD11DEC17		3057.50	3244.75	USD	-4,681.25	
11SEP17	S	1	PD11DEC17		3058.00	3244.75	USD	-4,668.75	
11SEP17	S	4	PD11DEC17		3058.50	3244.75	USD	-18,625.00	
11SEP17	S	1	PD11DEC17		3060.00	3244.75	USD	-4,618.75	
11SEP17	S	2	PD11DEC17		3060.50	3244.75	USD	-9,212.50	
11SEP17	S	2	PD11DEC17		3059.00	3244.75	USD	-9,287.50	
11SEP17	S	2	PD11DEC17		3055.00	3244.75	USD	-9,487.50	
12SEP17	B	17	PD11DEC17		3060.99	3244.75	USD	78,098.00	
	NET	0AVG	BGHT	3060.99				SOLD 3057.97	0.00
12SEP17	B	1	PD12DEC17		3060.50	3244.50	USD	4,600.00	
12SEP17	B	1	PD12DEC17		3061.50	3244.50	USD	4,575.00	
12SEP17	B	3	PD12DEC17		3061.00	3244.50	USD	13,762.50	
12SEP17	B	1	PD12DEC17		3062.00	3244.50	USD	4,562.50	
27SEP17	S	6	PD12DEC17		3108.52	3244.50	USD	-20,397.00	
	NET	0AVG	BGHT	3061.17				SOLD 3108.52	0.00
13SEP17	S	1	PD13DEC17		3061.00	3244.25	USD	-4,581.25	
13SEP17	S	1	PD13DEC17		3061.50	3244.25	USD	-4,568.75	
13SEP17	S	1	PD13DEC17		3063.50	3244.25	USD	-4,518.75	
13SEP17	S	1	PD13DEC17		3055.00	3244.25	USD	-4,731.25	
13SEP17	B	1	PD13DEC17		3032.50	3244.25	USD	5,293.75	
13SEP17	B	1	PD13DEC17		3034.00	3244.25	USD	5,256.25	
13SEP17	B	1	PD13DEC17		3035.00	3244.25	USD	5,231.25	
13SEP17	B	1	PD13DEC17		3035.50	3244.25	USD	5,218.75	
13SEP17	B	1	PD13DEC17		3036.00	3244.25	USD	5,206.25	
13SEP17	B	1	PD13DEC17		3030.50	3244.25	USD	5,343.75	
13SEP17	B	1	PD13DEC17		3027.50	3244.25	USD	5,418.75	
13SEP17	B	1	PD13DEC17		3029.00	3244.25	USD	5,381.25	
13SEP17	B	3	PD13DEC17		3029.50	3244.25	USD	16,106.25	
13SEP17	S	1	PD13DEC17		3038.00	3244.25	USD	-5,156.25	
13SEP17	S	2	PD13DEC17		3039.00	3244.25	USD	-10,262.50	
13SEP17	S	1	PD13DEC17		3039.50	3244.25	USD	-5,118.75	
13SEP17	S	2	PD13DEC17		3041.00	3244.25	USD	-10,162.50	
13SEP17	S	1	PD13DEC17		3040.50	3244.25	USD	-5,093.75	
13SEP17	S	1	PD13DEC17		3042.50	3244.25	USD	-5,043.75	
27SEP17	B	1	PD13DEC17		3108.78	3244.25	USD	3,386.75	
	NET	0AVG	BGHT	3038.11				SOLD 3046.79	0.00
14SEP17	S	1	PD14DEC17		3036.50	3244.00	USD	-5,187.50	
14SEP17	B	1	PD14DEC17		2981.50	3244.00	USD	6,562.50	
14SEP17	B	2	PD14DEC17		2982.00	3244.00	USD	13,100.00	
14SEP17	B	1	PD14DEC17		2982.50	3244.00	USD	6,537.50	
14SEP17	S	3	PD14DEC17		3035.50	3244.00	USD	-15,637.50	
	NET	0AVG	BGHT	2982.00				SOLD 3035.75	0.00
15SEP17	S	1	PD15DEC17		3011.00	3243.75	USD	-5,818.75	
15SEP17	S	1	PD15DEC17		3012.50	3243.75	USD	-5,781.25	
15SEP17	S	3	PD15DEC17		3013.00	3243.75	USD	-17,306.25	
15SEP17	S	1	PD15DEC17		3012.00	3243.75	USD	-5,793.75	
27SEP17	B	6	PD15DEC17		3108.90	3243.75	USD	20,227.50	
	NET	0AVG	BGHT	3108.90				SOLD 3012.42	0.00
21SEP17	B	1	PD21DEC17		3032.00	3240.00	USD	5,200.00	

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21SEP17	B	1	PD21DEC17	3038.50	3240.00	USD	5,037.50	
21SEP17	B	4	PD21DEC17	3040.00	3240.00	USD	20,000.00	
21SEP17	B	1	PD21DEC17	3041.00	3240.00	USD	4,975.00	
21SEP17	B	2	PD21DEC17	3041.50	3240.00	USD	9,925.00	
21SEP17	B	1	PD21DEC17	3025.50	3240.00	USD	5,362.50	
21SEP17	B	1	PD21DEC17	3027.00	3240.00	USD	5,325.00	
21SEP17	B	2	PD21DEC17	3028.00	3240.00	USD	10,600.00	
21SEP17	B	1	PD21DEC17	3028.50	3240.00	USD	5,287.50	
21SEP17	B	1	PD21DEC17	3029.00	3240.00	USD	5,275.00	
21SEP17	B	2	PD21DEC17	3040.50	3240.00	USD	9,975.00	
21SEP17	B	1	PD21DEC17	3027.50	3240.00	USD	5,312.50	
27SEP17	S	5	PD21DEC17	3106.50	3240.00	USD	-16,687.50	
29SEP17	S	13	PD21DEC17	3162.75	3240.00	USD	-25,106.25	
	NET	0 AVG BGHT 3034.94	SOLD 3147.13				50,481.25	0.00
22SEP17	S	2	PD22DEC17	3036.00	3239.62	USD	-10,181.00	
22SEP17	S	2	PD22DEC17	3038.00	3239.62	USD	-10,081.00	
22SEP17	S	2	PD22DEC17	3039.00	3239.62	USD	-10,031.00	
27SEP17	B	6	PD22DEC17	3105.95	3239.62	USD	20,050.50	
	NET	0 AVG BGHT 3105.95	SOLD 3037.67				-10,242.50	0.00
28SEP17	S	1	PD28DEC17	3106.00	3238.85	USD	-3,321.25	
28SEP17	S	1	PD28DEC17	3106.50	3238.85	USD	-3,308.75	
28SEP17	S	2	PD28DEC17	3109.00	3238.85	USD	-6,492.50	
28SEP17	S	1	PD28DEC17	3111.00	3238.85	USD	-3,196.25	
28SEP17	S	1	PD28DEC17	3112.00	3238.85	USD	-3,171.25	
28SEP17	B	1	PD28DEC17	3118.50	3238.85	USD	3,008.75	
28SEP17	B	1	PD28DEC17	3119.50	3238.85	USD	2,983.75	
28SEP17	B	1	PD28DEC17	3121.00	3238.85	USD	2,946.25	
28SEP17	B	1	PD28DEC17	3115.00	3238.85	USD	3,096.25	
28SEP17	S	1	PD28DEC17	3128.50	3238.85	USD	-2,758.75	
28SEP17	S	1	PD28DEC17	3130.00	3238.85	USD	-2,721.25	
28SEP17	S	1	PD28DEC17	3130.50	3238.85	USD	-2,708.75	
28SEP17	S	1	PD28DEC17	3131.50	3238.85	USD	-2,683.75	
28SEP17	B	4	PD28DEC17	3147.20	3238.85	USD	9,165.00	
29SEP17	B	2	PD28DEC17	3162.39	3238.85	USD	3,823.00	
	NET	0 AVG BGHT 3138.76	SOLD 3117.40				-5,339.50	0.00
29SEP17	S	1	PD29DEC17	3161.00	3238.46	USD	-1,936.50	
29SEP17	S	2	PD29DEC17	3161.50	3238.46	USD	-3,848.00	
29SEP17	S	3	PD29DEC17	3162.00	3238.46	USD	-5,734.50	
29SEP17	S	2	PD29DEC17	3162.50	3238.46	USD	-3,798.00	
29SEP17	S	3	PD29DEC17	3163.00	3238.46	USD	-5,659.50	
29SEP17	S	1	PD29DEC17	3170.00	3238.46	USD	-1,711.50	
29SEP17	S	1	PD29DEC17	3157.00	3238.46	USD	-2,036.50	
29SEP17	S	1	PD29DEC17	3160.00	3238.46	USD	-1,961.50	
29SEP17	B	1	PD29DEC17	3155.00	3238.46	USD	2,086.50	
29SEP17	B	3	PD29DEC17	3152.00	3238.46	USD	6,484.50	
29SEP17	B	1	PD29DEC17	3153.50	3238.46	USD	2,124.00	
29SEP17	B	1	PD29DEC17	3154.00	3238.46	USD	2,111.50	
29SEP17	B	1	PD29DEC17	3146.50	3238.46	USD	2,299.00	
29SEP17	B	1	PD29DEC17	3149.00	3238.46	USD	2,236.50	
29SEP17	B	2	PD29DEC17	3150.50	3238.46	USD	4,398.00	
29SEP17	B	2	PD29DEC17	3151.50	3238.46	USD	4,348.00	
29SEP17	S	2	PD29DEC17	3157.50	3238.46	USD	-4,048.00	
29SEP17	B	5	PD29DEC17	3151.00	3238.46	USD	10,932.50	
29SEP17	S	8	PD29DEC17	3146.85	3238.46	USD	-18,322.00	
29SEP17	S	3	PD29DEC17	3150.85	3238.46	USD	-6,570.75	
29SEP17	B	16	PD29DEC17	3162.20	3238.46	USD	30,504.00	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0103G

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
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backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position				Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>								
29SEP17	S	6	PD29DEC17		3151.85	3238.46	USD	-12,991.50	
	NET	0 AVG BGHT 3156.61		SOLD 3155.29				-1,093.75	0.00
11OCT17	B	1	PD11JAN18		3214.50	3235.38	USD	522.00	
11OCT17	B	1	PD11JAN18		3215.50	3235.38	USD	497.00	
11OCT17	B	1	PD11JAN18		3225.00	3235.38	USD	259.50	
11OCT17	S	1	PD11JAN18		3225.00	3235.38	USD	-259.50	
11OCT17	S	1	PD11JAN18		3229.50	3235.38	USD	-147.00	
11OCT17	S	1	PD11JAN18		3232.50	3235.38	USD	-72.00	
11OCT17	S	1	PD11JAN18		3233.00	3235.38	USD	-59.50	
11OCT17	B	1	PD11JAN18		3214.00	3235.38	USD	534.50	
	NET	0 AVG BGHT 3217.25		SOLD 3230.00				1,275.00	0.00
12OCT17	S	1	PD12JAN18		3258.00	3235.00	USD	575.00	
12OCT17	S	1	PD12JAN18		3259.50	3235.00	USD	612.50	
12OCT17	S	1	PD12JAN18		3254.50	3235.00	USD	487.50	
12OCT17	S	2	PD12JAN18		3255.00	3235.00	USD	1,000.00	
12OCT17	S	3	PD12JAN18		3250.50	3235.00	USD	1,162.50	
12OCT17	S	1	PD12JAN18		3253.00	3235.00	USD	450.00	
12OCT17	S	1	PD12JAN18		3254.00	3235.00	USD	475.00	
12OCT17	B	1	PD12JAN18		3253.00	3235.00	USD	-450.00	
12OCT17	B	1	PD12JAN18		3257.50	3235.00	USD	-562.50	
12OCT17	B	5	PD12JAN18		3250.20	3235.00	USD	-1,900.00	
12OCT17	B	2	PD12JAN18		3259.00	3235.00	USD	-1,200.00	
12OCT17	B	1	PD12JAN18		3254.00	3235.00	USD	-475.00	
13OCT17	S	1	PD12JAN18		3243.50	3235.00	USD	212.50	
13OCT17	S	1	PD12JAN18		3244.00	3235.00	USD	225.00	
13OCT17	S	1	PD12JAN18		3247.00	3235.00	USD	300.00	
13OCT17	S	1	PD12JAN18		3257.50	3235.00	USD	562.50	
13OCT17	S	1	PD12JAN18		3258.50	3235.00	USD	587.50	
13OCT17	B	1	PD12JAN18		3258.50	3235.00	USD	-587.50	
13OCT17	S	1	PD12JAN18		3256.50	3235.00	USD	537.50	
13OCT17	B	1	PD12JAN18		3260.00	3235.00	USD	-625.00	
13OCT17	B	1	PD12JAN18		3256.00	3235.00	USD	-525.00	
13OCT17	B	1	PD12JAN18		3257.50	3235.00	USD	-562.50	
13OCT17	B	3	PD12JAN18		3258.00	3235.00	USD	-1,725.00	
13OCT17	S	1	PD12JAN18		3256.00	3235.00	USD	525.00	
	NET	0 AVG BGHT 3255.26		SOLD 3253.15				-900.00	0.00

OPEN POSITION VALUE

		<u>USD</u>
LME Aluminium 25 Tonnes.	FUTURES	-25,808.00
LME Lead 25 tonnes. This is an	FUTURES	979,507.00
LME Nickel 6 tonnes. This is	FUTURES	-118,088.22
LME Zinc 25 tonnes. This is	FUTURES	1,990,804.00

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0103G

13th October 2017

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MARKET REVALUATION BREAKDOWN

Unrealised Profits & Losses - Forwards			Amount	Profit / Loss	Total Amount	Total P&L
ALUL	- Futures	USD	-25,808.00	-25,808.00		
LEAD	- Futures	USD	-28,630.50	-28,630.50		
NKL	- Futures	USD	-118,483.62	-118,483.62		
ZINC	- Futures	USD	-50,571.00	-50,571.00	-223,493.12	-223,493.12

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	356,048.79	356,048.79
Variation Margins		
- Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	356,048.79	356,048.79
Initial Margins	-441,570.12	-441,570.12
Excess/(Shortage)	-85,521.33	-85,521.33
FOR INFORMATION ONLY		
Forward Unrealised P&L	-223,493.12	-223,493.12
Net Liquidating Value	132,555.67	132,555.67

OPEN POSITION STATEMENT



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SAP0103H

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	CMX COPPER 25,000 CMX							
11OCT17	S	1	DEC17	306.100	313.350	USD	-1,812.50	
11OCT17	S	1	DEC17	306.150	313.350	USD	-1,800.00	
11OCT17	S	1	DEC17	309.350	313.350	USD	-1,000.00	
11OCT17	S	2	DEC17	309.050	313.350	USD	-2,150.00	
12OCT17	S	1	DEC17	309.500	313.350	USD	-962.50	
	NET -6 AVG SOLD 308.200						-7,725.00	0.00
	NYM HENRY HUB NATURAL GAS 10,000 NYM							
13OCT17	B	1	NOV17	2.99700	3.00000	USD	30.00	
13OCT17	B	1	NOV17	3.01100	3.00000	USD	-110.00	
	NET +2 AVG BGHT 3.00400						-80.00	0.00
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT							
22SEP17	S	1	PD02NOV17	2475.57	2521.00	USD	-1,135.75	
22SEP17	S	1	PD02NOV17	2468.82	2521.00	USD	-1,304.50	
22SEP17	S	1	PD02NOV17	2484.00	2521.00	USD	-925.00	
29SEP17	B	1	PD02NOV17	2477.72	2521.00	USD	1,082.00	
29SEP17	B	2	PD02NOV17	2479.22	2521.00	USD	2,089.00	
	NET 0 AVG BGHT 2478.72 SOLD 2476.13						-194.25	0.00
22SEP17	S	1	PD22DEC17	2468.50	2526.90	USD	-1,460.00	
22SEP17	S	1	PD22DEC17	2482.00	2526.90	USD	-1,122.50	
22SEP17	S	1	PD22DEC17	2478.00	2526.90	USD	-1,222.50	
22SEP17	B	1	PD22DEC17	2478.65	2526.90	USD	1,206.25	
22SEP17	B	1	PD22DEC17	2471.90	2526.90	USD	1,375.00	
22SEP17	B	1	PD22DEC17	2484.37	2526.90	USD	1,063.25	
	NET 0 AVG BGHT 2478.31 SOLD 2476.17						-160.50	0.00
29SEP17	B	1	PD29DEC17	2475.50	2527.93	USD	1,310.75	
29SEP17	B	1	PD29DEC17	2474.50	2527.93	USD	1,335.75	
29SEP17	B	1	PD29DEC17	2474.00	2527.93	USD	1,348.25	
29SEP17	S	1	PD29DEC17	2471.85	2527.93	USD	-1,402.00	
29SEP17	S	2	PD29DEC17	2473.35	2527.93	USD	-2,729.00	
	NET 0 AVG BGHT 2474.67 SOLD 2472.85						-136.25	0.00
	CMX SILVER 5,000 CMX							
26SEP17	B	1	DEC17	1695.5	1741.1	USD	2,280.00	
	NET +1 AVG BGHT 1695.5						2,280.00	0.00
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT							
15SEP17	S	1	PD02NOV17	3030.00	3266.20	USD	-5,905.00	
18SEP17	S	1	PD02NOV17	3086.33	3266.20	USD	-4,496.75	
18SEP17	S	1	PD02NOV17	3087.83	3266.20	USD	-4,459.25	
18SEP17	S	3	PD02NOV17	3087.08	3266.20	USD	-13,434.00	
18SEP17	S	2	PD02NOV17	3079.32	3266.20	USD	-9,344.00	
18SEP17	S	2	PD02NOV17	3078.32	3266.20	USD	-9,394.00	
22SEP17	B	1	PD02NOV17	3027.13	3266.20	USD	5,976.75	
09OCT17	B	1	PD02NOV17	3261.50	3266.20	USD	117.50	
10OCT17	B	3	PD02NOV17	3243.65	3266.20	USD	1,691.25	
11OCT17	B	1	PD02NOV17	3252.50	3266.20	USD	342.50	
	NET -4 AVG BGHT 3212.01 SOLD 3078.07						-38,905.00	0.00
15SEP17	B	1	PD15DEC17	3034.16	3243.75	USD	5,239.75	
15SEP17	S	1	PD15DEC17	3033.50	3243.75	USD	-5,256.25	
	NET 0 AVG BGHT 3034.16 SOLD 3033.50						-16.50	0.00
18SEP17	S	1	PD18DEC17	3083.00	3243.50	USD	-4,012.50	
18SEP17	S	1	PD18DEC17	3084.00	3243.50	USD	-3,987.50	
18SEP17	S	2	PD18DEC17	3081.50	3243.50	USD	-8,100.00	
18SEP17	S	3	PD18DEC17	3088.00	3243.50	USD	-11,662.50	
18SEP17	S	1	PD18DEC17	3088.50	3243.50	USD	-3,875.00	

OPEN POSITION STATEMENT



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SAP0103H

13th October 2017

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Date	Open Position				Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>								
18SEP17	S	1	PD18DEC17		3089.00	3243.50	USD	-3,862.50	
18SEP17	B	1	PD18DEC17		3088.66	3243.50	USD	3,871.00	
18SEP17	B	1	PD18DEC17		3090.16	3243.50	USD	3,833.50	
18SEP17	B	3	PD18DEC17		3089.41	3243.50	USD	11,556.75	
18SEP17	B	2	PD18DEC17		3082.65	3243.50	USD	8,042.50	
18SEP17	B	2	PD18DEC17		3081.65	3243.50	USD	8,092.50	
	NET	0 AVG	BGHT 3086.18	SOLD 3085.72				-103.75	0.00
22SEP17	B	1	PD22DEC17		3044.00	3239.62	USD	4,890.50	
22SEP17	B	1	PD22DEC17		3018.00	3239.62	USD	5,540.50	
22SEP17	S	1	PD22DEC17		3018.85	3239.62	USD	-5,519.25	
	NET	+1 AVG	BGHT 3031.00	SOLD 3018.85				4,911.75	0.00
09OCT17	B	1	PD09JAN18		3245.00	3236.15	USD	-221.25	
09OCT17	S	1	PD09JAN18		3242.35	3236.15	USD	155.00	
	NET	0 AVG	BGHT 3245.00	SOLD 3242.35				-66.25	0.00
10OCT17	B	1	PD10JAN18		3242.50	3235.77	USD	-168.25	
10OCT17	B	1	PD10JAN18		3230.50	3235.77	USD	131.75	
10OCT17	B	1	PD10JAN18		3221.00	3235.77	USD	369.25	
10OCT17	S	3	PD10JAN18		3219.85	3235.77	USD	-1,194.00	
	NET	0 AVG	BGHT 3231.33	SOLD 3219.85				-861.25	0.00
11OCT17	B	1	PD11JAN18		3218.00	3235.38	USD	434.50	
11OCT17	S	1	PD11JAN18		3215.35	3235.38	USD	-500.75	
	NET	0 AVG	BGHT 3218.00	SOLD 3215.35				-66.25	0.00

OPEN POSITION VALUE

		<u>USD</u>
CMX Copper	FUTURES	462,300.00
NYM Henry Hub Natural Gas	FUTURES	-60,080.00
LME Lead 25 tonnes. This is an	FUTURES	-491.00
CMX Silver	FUTURES	-84,775.00
LME Zinc 25 tonnes. This is	FUTURES	210,522.25

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options	Amount	Profit / Loss	Total Amount	Total P&L
COPR - Futures USD	-7,725.00	-7,725.00		
HGAS - Futures USD	-80.00	-80.00		
SILV - Futures USD	2,280.00	2,280.00	-5,525.00	-5,525.00
Unrealised Profits & Losses - Forwards				
LEAD - Futures USD	-491.00	-491.00		
ZINC - Futures USD	-35,107.25	-35,107.25	-35,598.25	-35,598.25

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ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		100,995.00	100,995.00
Variation Margins	- Futures	-5,525.00	-5,525.00
	- Options	0.00	0.00
TOTAL EQUITY		95,470.00	95,470.00
Initial Margins		-80,796.25	-80,796.25
Excess/(Shortage)		14,673.75	14,673.75
FOR INFORMATION ONLY			
Forward Unrealised P&L		-35,598.25	-35,598.25
Net Liquidating Value		59,871.75	59,871.75

OPEN POSITION STATEMENT



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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ALUMINIUM 25 TONNES. THIS IS AN</u>							
	<u>LME REGISTERED CLIENT CONTRACT.</u>							
11SEP17	S	1	PD11DEC17	2125.50	2127.97	USD	-61.75	
11SEP17	S	1	PD11DEC17	2137.50	2127.97	USD	238.25	
11SEP17	S	1	PD11DEC17	2123.00	2127.97	USD	-124.25	
15SEP17	B	1	PD11DEC17	2085.00	2127.97	USD	1,074.25	
28SEP17	B	2	PD11DEC17	2126.05	2127.97	USD	96.00	
	NET	0 AVG	BGHT 2112.37	SOLD 2128.67			1,222.50	0.00
12SEP17	S	1	PD12DEC17	2125.00	2128.29	USD	-82.25	
12SEP17	S	1	PD12DEC17	2135.50	2128.29	USD	180.25	
28SEP17	B	2	PD12DEC17	2126.35	2128.29	USD	97.00	
	NET	0 AVG	BGHT 2126.35	SOLD 2130.25			195.00	0.00
14SEP17	B	1	PD14DEC17	2084.00	2128.92	USD	1,123.00	
15SEP17	S	1	PD14DEC17	2085.55	2128.92	USD	-1,084.25	
	NET	0 AVG	BGHT 2084.00	SOLD 2085.55			38.75	0.00
15SEP17	S	1	PD15DEC17	2104.50	2129.24	USD	-618.50	
28SEP17	B	1	PD15DEC17	2127.25	2129.24	USD	49.75	
	NET	0 AVG	BGHT 2127.25	SOLD 2104.50			-568.75	0.00
19SEP17	B	1	PD19DEC17	2096.50	2130.50	USD	850.00	
19SEP17	S	1	PD19DEC17	2112.50	2130.50	USD	-450.00	
	NET	0 AVG	BGHT 2096.50	SOLD 2112.50			400.00	0.00
25SEP17	B	2	PD27DEC17	2136.00	2131.04	USD	-248.00	
25SEP17	S	1	PD27DEC17	2153.00	2131.04	USD	549.00	
26SEP17	B	1	PD27DEC17	2125.50	2131.04	USD	138.50	
27SEP17	B	1	PD27DEC17	2126.00	2131.04	USD	126.00	
27SEP17	B	1	PD27DEC17	2127.50	2131.04	USD	88.50	
27SEP17	B	1	PD27DEC17	2129.00	2131.04	USD	51.00	
28SEP17	S	5	PD27DEC17	2130.52	2131.04	USD	-65.00	
	NET	0 AVG	BGHT 2130.00	SOLD 2134.27			640.00	0.00
	<u>LME LEAD 25 TONNES. THIS IS AN</u>							
	<u>LME REGISTERED CLIENT CONTRACT</u>							
01SEP17	S	2	PD01DEC17	2405.00	2524.87	USD	-5,993.50	
13SEP17	B	2	PD01DEC17	2286.22	2524.87	USD	11,932.50	
	NET	0 AVG	BGHT 2286.22	SOLD 2405.00			5,939.00	0.00
04SEP17	B	1	PD04DEC17	2398.00	2525.33	USD	3,183.25	
04SEP17	B	1	PD04DEC17	2397.00	2525.33	USD	3,208.25	
13SEP17	S	2	PD04DEC17	2286.97	2525.33	USD	-11,918.00	
	NET	0 AVG	BGHT 2397.50	SOLD 2286.97			-5,526.50	0.00
05SEP17	S	1	PD05DEC17	2340.50	2525.48	USD	-4,624.50	
05SEP17	S	1	PD05DEC17	2338.00	2525.48	USD	-4,687.00	
05SEP17	B	1	PD05DEC17	2332.50	2525.48	USD	4,824.50	
15SEP17	B	1	PD05DEC17	2356.25	2525.48	USD	4,230.75	
	NET	0 AVG	BGHT 2344.38	SOLD 2339.25			-256.25	0.00
06SEP17	S	1	PD06DEC17	2356.00	2525.63	USD	-4,240.75	
13SEP17	B	1	PD06DEC17	2287.80	2525.63	USD	5,945.75	
	NET	0 AVG	BGHT 2287.80	SOLD 2356.00			1,705.00	0.00
07SEP17	B	2	PD07DEC17	2316.50	2525.78	USD	10,464.00	
07SEP17	S	2	PD07DEC17	2318.00	2525.78	USD	-10,389.00	
	NET	0 AVG	BGHT 2316.50	SOLD 2318.00			75.00	0.00
08SEP17	B	1	PD08DEC17	2304.00	2525.93	USD	5,548.25	
13SEP17	S	1	PD08DEC17	2288.23	2525.93	USD	-5,942.50	
	NET	0 AVG	BGHT 2304.00	SOLD 2288.23			-394.25	0.00
11SEP17	S	1	PD11DEC17	2283.00	2526.39	USD	-6,084.75	
21SEP17	B	1	PD11DEC17	2517.87	2526.39	USD	213.00	
	NET	0 AVG	BGHT 2517.87	SOLD 2283.00			-5,871.75	0.00

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0103I

13th October 2017

Sapien Capital Limited
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backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
12SEP17	S	1	PD12DEC17	2314.00	2526.54	USD	-5,313.50	
21SEP17	B	1	PD12DEC17	2517.89	2526.54	USD	216.25	
	NET	0 AVG	BGHT 2517.89				-5,097.25	0.00
13SEP17	S	1	PD13DEC17	2298.50	2526.69	USD	-5,704.75	
21SEP17	B	1	PD13DEC17	2517.90	2526.69	USD	219.75	
	NET	0 AVG	BGHT 2517.90				-5,485.00	0.00
14SEP17	B	1	PD14DEC17	2300.50	2526.84	USD	5,658.50	
15SEP17	S	1	PD14DEC17	2358.53	2526.84	USD	-4,207.75	
	NET	0 AVG	BGHT 2300.50				1,450.75	0.00
20SEP17	B	1	PD20DEC17	2457.50	2527.75	USD	1,756.25	
20SEP17	B	1	PD20DEC17	2462.00	2527.75	USD	1,643.75	
20SEP17	B	1	PD20DEC17	2459.00	2527.75	USD	1,718.75	
21SEP17	S	3	PD20DEC17	2517.80	2527.75	USD	-746.25	
	NET	0 AVG	BGHT 2459.50				4,372.50	0.00
22SEP17	B	1	PD22DEC17	2429.00	2526.90	USD	2,447.50	
22SEP17	B	1	PD22DEC17	2397.00	2526.90	USD	3,247.50	
22SEP17	S	1	PD22DEC17	2452.00	2526.90	USD	-1,872.50	
22SEP17	S	1	PD22DEC17	2448.00	2526.90	USD	-1,972.50	
22SEP17	S	1	PD22DEC17	2467.00	2526.90	USD	-1,497.50	
03OCT17	B	1	PD22DEC17	2592.07	2526.90	USD	-1,629.25	
	NET	0 AVG	BGHT 2472.69				-1,276.75	0.00
28SEP17	B	1	PD28DEC17	2468.50	2527.78	USD	1,482.00	
03OCT17	S	1	PD28DEC17	2591.44	2527.78	USD	1,591.50	
	NET	0 AVG	BGHT 2468.50				3,073.50	0.00
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
16AUG17	S	1	PD16NOV17	3022.50	3253.50	USD	-5,775.00	
16AUG17	S	1	PD16NOV17	3023.50	3253.50	USD	-5,750.00	
16AUG17	B	1	PD16NOV17	3120.00	3253.50	USD	3,337.50	
16AUG17	B	1	PD16NOV17	3121.00	3253.50	USD	3,312.50	
	NET	0 AVG	BGHT 3120.50				-4,875.00	0.00
31AUG17	S	1	PD30NOV17	3127.50	3246.50	USD	-2,975.00	
31AUG17	S	1	PD30NOV17	3128.00	3246.50	USD	-2,962.50	
13SEP17	B	2	PD30NOV17	3023.25	3246.50	USD	11,162.50	
	NET	0 AVG	BGHT 3023.25				5,225.00	0.00
01SEP17	B	1	PD01DEC17	3208.50	3246.25	USD	943.75	
01SEP17	B	1	PD01DEC17	3208.00	3246.25	USD	956.25	
13SEP17	S	2	PD01DEC17	3023.11	3246.25	USD	-11,157.00	
	NET	0 AVG	BGHT 3208.25				-9,257.00	0.00
06SEP17	B	2	PD06DEC17	3097.00	3245.50	USD	7,425.00	
13SEP17	S	2	PD06DEC17	3023.63	3245.50	USD	-11,093.50	
	NET	0 AVG	BGHT 3097.00				-3,668.50	0.00
07SEP17	S	1	PD07DEC17	3085.50	3245.25	USD	-3,993.75	
07SEP17	S	1	PD07DEC17	3088.50	3245.25	USD	-3,918.75	
13SEP17	B	2	PD07DEC17	3023.89	3245.25	USD	11,068.00	
	NET	0 AVG	BGHT 3023.89				3,155.50	0.00
19SEP17	S	1	PD19DEC17	3101.00	3243.25	USD	-3,556.25	
28SEP17	B	1	PD19DEC17	3151.00	3243.25	USD	2,306.25	
	NET	0 AVG	BGHT 3151.00				-1,250.00	0.00
26SEP17	B	1	PD27DEC17	3149.00	3239.23	USD	2,255.75	
28SEP17	S	1	PD27DEC17	3147.05	3239.23	USD	-2,304.50	
	NET	0 AVG	BGHT 3149.00				-48.75	0.00
28SEP17	B	1	PD28DEC17	3163.00	3238.85	USD	1,896.25	

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>								
28SEP17	B	1	PD28DEC17		3154.50	3238.85	USD	2,108.75	
03OCT17	S	2	PD28DEC17		3264.00	3238.85	USD	1,257.50	
	NET	0 AVG	BGHT 3158.75	SOLD 3264.00				5,262.50	0.00
29SEP17	B	1	PD29DEC17		3150.00	3238.46	USD	2,211.50	
29SEP17	S	1	PD29DEC17		3153.50	3238.46	USD	-2,124.00	
29SEP17	S	1	PD29DEC17		3149.50	3238.46	USD	-2,224.00	
29SEP17	S	1	PD29DEC17		3154.50	3238.46	USD	-2,099.00	
03OCT17	B	2	PD29DEC17		3264.20	3238.46	USD	-1,287.00	
	NET	0 AVG	BGHT 3226.13	SOLD 3152.50				-5,522.50	0.00

OPEN POSITION VALUE

		USD
LME Aluminium 25 Tonnes.	FUTURES	1,927.50
LME Lead 25 tonnes. This is an	FUTURES	-7,292.00
LME Zinc 25 tonnes. This is	FUTURES	-10,978.75

MARKET REVALUATION BREAKDOWN

Unrealised Profits & Losses - Forwards	Amount	Profit / Loss	Total Amount	Total P&L
ALUL - Futures USD	1,927.50	1,927.50		
LEAD - Futures USD	-7,292.00	-7,292.00		
ZINC - Futures USD	-10,978.75	-10,978.75	-16,343.25	-16,343.25

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	90,098.76	90,098.76
Variation Margins - Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	90,098.76	90,098.76
Initial Margins	-16,343.25	-16,343.25
Excess/(Shortage)	73,755.51	73,755.51
FOR INFORMATION ONLY		
Forward Unrealised P&L	-16,343.25	-16,343.25
Net Liquidating Value	73,755.51	73,755.51

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	<u>LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.</u>							
03AUG17	B	1	PD03NOV17	1913.00	2114.78	USD	5,044.50	
03AUG17	B	1	PD03NOV17	1913.50	2114.78	USD	5,032.00	
03AUG17	B	1	PD03NOV17	1911.00	2114.78	USD	5,094.50	
04AUG17	B	2	PD03NOV17	1907.00	2114.78	USD	10,389.00	
04AUG17	B	1	PD03NOV17	1905.50	2114.78	USD	5,232.00	
07SEP17	S	3	PD03NOV17	2099.59	2114.78	USD	-1,139.25	
07SEP17	S	3	PD03NOV17	2099.79	2114.78	USD	-1,124.25	
	NET	0 AVG	BGHT 1909.50	SOLD 2099.69			28,528.50	0.00
07AUG17	S	1	PD07NOV17	1926.00	2116.16	USD	-4,754.00	
07AUG17	S	1	PD07NOV17	1927.50	2116.16	USD	-4,716.50	
07AUG17	S	1	PD07NOV17	1928.00	2116.16	USD	-4,704.00	
07AUG17	S	2	PD07NOV17	1928.50	2116.16	USD	-9,383.00	
07AUG17	S	1	PD07NOV17	1929.50	2116.16	USD	-4,666.50	
07AUG17	S	1	PD07NOV17	1929.00	2116.16	USD	-4,679.00	
07AUG17	S	1	PD07NOV17	1948.50	2116.16	USD	-4,191.50	
07AUG17	S	2	PD07NOV17	1930.50	2116.16	USD	-9,283.00	
07AUG17	S	2	PD07NOV17	1926.50	2116.16	USD	-9,483.00	
07SEP17	B	5	PD07NOV17	2100.94	2116.16	USD	1,902.50	
07SEP17	B	8	PD07NOV17	2101.14	2116.16	USD	3,004.00	
03OCT17	S	1	PD07NOV17	2115.88	2116.16	USD	-7.00	
	NET	0 AVG	BGHT 2101.06	SOLD 1944.26			-50,961.00	0.00
10AUG17	B	1	PD10NOV17	2033.50	2117.43	USD	2,098.25	
10AUG17	B	1	PD10NOV17	2033.00	2117.43	USD	2,110.75	
10AUG17	B	1	PD10NOV17	2034.50	2117.43	USD	2,073.25	
10AUG17	B	2	PD10NOV17	2034.00	2117.43	USD	4,171.50	
10AUG17	B	1	PD10NOV17	2035.00	2117.43	USD	2,060.75	
07SEP17	S	6	PD10NOV17	2101.61	2117.43	USD	-2,373.00	
	NET	0 AVG	BGHT 2034.00	SOLD 2101.61			10,141.50	0.00
16AUG17	B	1	PD16NOV17	2063.50	2120.07	USD	1,414.25	
16AUG17	B	1	PD16NOV17	2067.50	2120.07	USD	1,314.25	
07SEP17	S	2	PD16NOV17	2102.63	2120.07	USD	-872.00	
	NET	0 AVG	BGHT 2065.50	SOLD 2102.63			1,856.50	0.00
17AUG17	S	1	PD17NOV17	2096.50	2120.38	USD	-597.00	
17AUG17	S	1	PD17NOV17	2098.00	2120.38	USD	-559.50	
07SEP17	B	2	PD17NOV17	2102.20	2120.38	USD	909.00	
	NET	0 AVG	BGHT 2102.20	SOLD 2097.25			-247.50	0.00
05SEP17	S	1	PD05DEC17	2110.00	2126.07	USD	-401.75	
05SEP17	B	1	PD05DEC17	2096.00	2126.07	USD	751.75	
	NET	0 AVG	BGHT 2096.00	SOLD 2110.00			350.00	0.00
07SEP17	B	1	PD07DEC17	2093.00	2126.71	USD	842.75	
07SEP17	S	1	PD07DEC17	2107.50	2126.71	USD	-480.25	
	NET	0 AVG	BGHT 2093.00	SOLD 2107.50			362.50	0.00
26SEP17	B	1	PD27DEC17	2150.00	2131.04	USD	-474.00	
26SEP17	S	1	PD27DEC17	2126.00	2131.04	USD	-126.00	
	NET	0 AVG	BGHT 2150.00	SOLD 2126.00			-600.00	0.00
06OCT17	B	1	PD05JAN18	2155.50	2132.38	USD	-578.00	
	NET	+1 AVG	BGHT 2155.50				-578.00	0.00
09OCT17	S	1	PD09JAN18	2159.50	2133.02	USD	662.00	
09OCT17	B	1	PD09JAN18	2170.00	2133.02	USD	-924.50	
	NET	0 AVG	BGHT 2170.00	SOLD 2159.50			-262.50	0.00
10OCT17	B	1	PD10JAN18	2165.50	2133.18	USD	-808.00	
	NET	+1 AVG	BGHT 2165.50				-808.00	0.00
13OCT17	S	1	PD12JAN18	2135.50	2133.50	USD	50.00	
13OCT17	S	1	PD12JAN18	2140.00	2133.50	USD	162.50	

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	NET	-2 AVG SOLD 2137.75					212.50	0.00
	<u>LME LEAD 25 TONNES. THIS IS AN</u>							
	<u>LME REGISTERED CLIENT CONTRACT</u>							
17JUL17	B	1	PD17OCT17	2317.50	2518.25	USD	5,018.75	
17JUL17	B	1	PD17OCT17	2324.50	2518.25	USD	4,843.75	
17JUL17	B	1	PD17OCT17	2317.00	2518.25	USD	5,031.25	
17JUL17	B	1	PD17OCT17	2293.50	2518.25	USD	5,618.75	
07SEP17	S	4	PD17OCT17	2323.62	2518.25	USD	-19,463.00	
	NET	0 AVG BGHT 2313.13	SOLD 2323.62				1,049.50	0.00
18JUL17	S	1	PD18OCT17	2292.50	2518.75	USD	-5,656.25	
18JUL17	S	1	PD18OCT17	2288.00	2518.75	USD	-5,768.75	
18JUL17	S	1	PD18OCT17	2285.00	2518.75	USD	-5,843.75	
18JUL17	B	1	PD18OCT17	2275.00	2518.75	USD	6,093.75	
18JUL17	S	1	PD18OCT17	2275.50	2518.75	USD	-6,081.25	
07SEP17	B	3	PD18OCT17	2324.20	2518.75	USD	14,591.25	
	NET	0 AVG BGHT 2311.90	SOLD 2285.25				-2,665.00	0.00
21JUL17	S	1	PD20OCT17	2246.00	2519.05	USD	-6,826.25	
21JUL17	B	1	PD20OCT17	2246.50	2519.05	USD	6,813.75	
21JUL17	S	1	PD20OCT17	2237.00	2519.05	USD	-7,051.25	
07SEP17	B	1	PD20OCT17	2323.70	2519.05	USD	4,883.75	
	NET	0 AVG BGHT 2285.10	SOLD 2241.50				-2,180.00	0.00
24JUL17	B	1	PD24OCT17	2260.00	2519.65	USD	6,491.25	
07SEP17	S	1	PD24OCT17	2325.12	2519.65	USD	-4,863.25	
	NET	0 AVG BGHT 2260.00	SOLD 2325.12				1,628.00	0.00
25JUL17	S	1	PD25OCT17	2310.00	2519.80	USD	-5,245.00	
07SEP17	B	1	PD25OCT17	2325.72	2519.80	USD	4,852.00	
	NET	0 AVG BGHT 2325.72	SOLD 2310.00				-393.00	0.00
08AUG17	B	1	PD08NOV17	2389.00	2521.81	USD	3,320.25	
08AUG17	S	1	PD08NOV17	2390.00	2521.81	USD	-3,295.25	
	NET	0 AVG BGHT 2389.00	SOLD 2390.00				25.00	0.00
09AUG17	B	1	PD09NOV17	2363.50	2521.94	USD	3,961.00	
07SEP17	S	1	PD09NOV17	2331.58	2521.94	USD	-4,759.00	
	NET	0 AVG BGHT 2363.50	SOLD 2331.58				-798.00	0.00
10AUG17	S	1	PD10NOV17	2386.00	2522.08	USD	-3,402.00	
10AUG17	B	1	PD10NOV17	2369.00	2522.08	USD	3,827.00	
10AUG17	S	1	PD10NOV17	2364.50	2522.08	USD	-3,939.50	
11AUG17	B	1	PD10NOV17	2356.00	2522.08	USD	4,152.00	
11AUG17	S	1	PD10NOV17	2342.00	2522.08	USD	-4,502.00	
11AUG17	B	1	PD10NOV17	2345.00	2522.08	USD	4,427.00	
11AUG17	S	1	PD10NOV17	2352.00	2522.08	USD	-4,252.00	
11AUG17	B	1	PD10NOV17	2336.50	2522.08	USD	4,639.50	
	NET	0 AVG BGHT 2351.63	SOLD 2361.13				950.00	0.00
16AUG17	B	1	PD16NOV17	2392.00	2522.75	USD	3,268.75	
16AUG17	S	1	PD16NOV17	2387.50	2522.75	USD	-3,381.25	
16AUG17	S	1	PD16NOV17	2420.00	2522.75	USD	-2,568.75	
16AUG17	B	1	PD16NOV17	2421.00	2522.75	USD	2,543.75	
16AUG17	S	1	PD16NOV17	2418.50	2522.75	USD	-2,606.25	
07SEP17	B	1	PD16NOV17	2333.95	2522.75	USD	4,720.00	
	NET	0 AVG BGHT 2382.32	SOLD 2408.67				1,976.25	0.00
17AUG17	B	1	PD17NOV17	2531.00	2522.75	USD	-206.25	
17AUG17	S	1	PD17NOV17	2532.50	2522.75	USD	243.75	
17AUG17	S	1	PD17NOV17	2509.00	2522.75	USD	-343.75	
17AUG17	B	1	PD17NOV17	2468.00	2522.75	USD	1,368.75	
	NET	0 AVG BGHT 2499.50	SOLD 2520.75				1,062.50	0.00
19SEP17	B	1	PD19DEC17	2448.00	2527.60	USD	1,990.00	
19SEP17	S	1	PD19DEC17	2448.00	2527.60	USD	-1,990.00	

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	<u>LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
	NET	0 AVG BGHT 2448.00	SOLD 2448.00				0.00	0.00
21SEP17	B	1 PD21DEC17		2475.50	2526.75	USD	1,281.25	
21SEP17	S	1 PD21DEC17		2474.00	2526.75	USD	-1,318.75	
21SEP17	S	1 PD21DEC17		2475.00	2526.75	USD	-1,293.75	
21SEP17	B	1 PD21DEC17		2487.50	2526.75	USD	981.25	
21SEP17	S	1 PD21DEC17		2502.50	2526.75	USD	-606.25	
21SEP17	B	1 PD21DEC17		2477.00	2526.75	USD	1,243.75	
	NET	0 AVG BGHT 2480.00	SOLD 2483.83				287.50	0.00
22SEP17	S	1 PD22DEC17		2477.50	2526.90	USD	-1,235.00	
22SEP17	S	1 PD22DEC17		2480.00	2526.90	USD	-1,172.50	
22SEP17	B	1 PD22DEC17		2488.00	2526.90	USD	972.50	
22SEP17	B	1 PD22DEC17		2490.00	2526.90	USD	922.50	
	NET	0 AVG BGHT 2489.00	SOLD 2478.75				-512.50	0.00
28SEP17	B	1 PD28DEC17		2476.00	2527.78	USD	1,294.50	
03OCT17	S	1 PD28DEC17		2591.64	2527.78	USD	1,596.50	
	NET	0 AVG BGHT 2476.00	SOLD 2591.64				2,891.00	0.00
29SEP17	S	1 PD29DEC17		2486.00	2527.93	USD	-1,048.25	
03OCT17	B	1 PD29DEC17		2591.63	2527.93	USD	-1,592.50	
	NET	0 AVG BGHT 2591.63	SOLD 2486.00				-2,640.75	0.00
10OCT17	S	1 PD10JAN18		2555.00	2529.70	USD	632.50	
	NET	-1 AVG SOLD 2555.00					632.50	0.00
11OCT17	B	1 PD11JAN18		2517.00	2529.85	USD	321.25	
	NET	+1 AVG BGHT 2517.00					321.25	0.00
12OCT17	S	1 PD12JAN18		2580.00	2530.00	USD	1,250.00	
	NET	-1 AVG SOLD 2580.00					1,250.00	0.00
	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
24JUL17	B	1 PD24OCT17		9610.00	11614.76	USD	12,028.56	
24JUL17	S	1 PD24OCT17		9615.00	11614.76	USD	-11,998.56	
	NET	0 AVG BGHT 9610.00	SOLD 9615.00				30.00	0.00
31JUL17	B	1 PD31OCT17		10275.00	11620.72	USD	8,074.32	
31JUL17	B	1 PD31OCT17		10280.00	11620.72	USD	8,044.32	
31JUL17	B	1 PD31OCT17		10270.00	11620.72	USD	8,104.32	
31JUL17	B	1 PD31OCT17		10260.00	11620.72	USD	8,164.32	
31JUL17	B	1 PD31OCT17		10285.00	11620.72	USD	8,014.32	
31JUL17	B	1 PD31OCT17		10230.00	11620.72	USD	8,344.32	
31JUL17	B	1 PD31OCT17		10240.00	11620.72	USD	8,284.32	
31JUL17	B	1 PD31OCT17		10250.00	11620.72	USD	8,224.32	
31JUL17	B	1 PD31OCT17		10225.00	11620.72	USD	8,374.32	
07SEP17	S	9 PD31OCT17		12124.66	11620.72	USD	27,212.76	
	NET	0 AVG BGHT 10257.22	SOLD 12124.66				100,841.64	0.00
01AUG17	B	1 PD01NOV17		10150.00	11621.57	USD	8,829.42	
07SEP17	S	1 PD01NOV17		12125.52	11621.57	USD	3,023.70	
	NET	0 AVG BGHT 10150.00	SOLD 12125.52				11,853.12	0.00
03AUG17	S	1 PD03NOV17		10370.00	11623.28	USD	-7,519.68	
03AUG17	S	1 PD03NOV17		10375.00	11623.28	USD	-7,489.68	
03AUG17	B	1 PD03NOV17		10375.00	11623.28	USD	7,489.68	
04AUG17	S	1 PD03NOV17		10340.00	11623.28	USD	-7,699.68	
04AUG17	S	1 PD03NOV17		10390.00	11623.28	USD	-7,399.68	
04AUG17	S	1 PD03NOV17		10410.00	11623.28	USD	-7,279.68	
07SEP17	B	4 PD03NOV17		12128.23	11623.28	USD	-12,118.80	
	NET	0 AVG BGHT 11777.58	SOLD 10377.00				-42,017.52	0.00
07AUG17	S	1 PD07NOV17		10360.00	11626.69	USD	-7,600.14	

OPEN POSITION STATEMENT



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SAP0103J

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Suite A, 105 Piccadilly
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+44 (0) 20 7340 9081
backoffice@sapiencap.com

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Date	Open Position				Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>								
07SEP17	B	1	PD07NOV17		12131.65	11626.69	USD	-3,029.76	
	NET	0 AVG	BGHT 12131.65	SOLD 10360.00				-10,629.90	0.00
10AUG17	S	2	PD10NOV17		10795.00	11629.24	USD	-10,010.88	
10AUG17	S	1	PD10NOV17		10780.00	11629.24	USD	-5,095.44	
10AUG17	S	2	PD10NOV17		10800.00	11629.24	USD	-9,950.88	
11AUG17	B	1	PD10NOV17		10640.00	11629.24	USD	5,935.44	
11AUG17	B	1	PD10NOV17		10615.00	11629.24	USD	6,085.44	
07SEP17	B	3	PD10NOV17		12134.22	11629.24	USD	-9,089.64	
	NET	0 AVG	BGHT 11531.53	SOLD 10794.00				-22,125.96	0.00
14AUG17	B	1	PD14NOV17		10565.00	11632.65	USD	6,405.90	
14AUG17	B	1	PD14NOV17		10550.00	11632.65	USD	6,495.90	
07SEP17	S	2	PD14NOV17		12136.64	11632.65	USD	6,047.88	
	NET	0 AVG	BGHT 10557.50	SOLD 12136.64				18,949.68	0.00
21AUG17	S	1	PD21NOV17		11255.00	11637.61	USD	-2,295.66	
21AUG17	S	1	PD21NOV17		11265.00	11637.61	USD	-2,235.66	
07SEP17	B	2	PD21NOV17		12142.07	11637.61	USD	-6,053.52	
	NET	0 AVG	BGHT 12142.07	SOLD 11260.00				-10,584.84	0.00
23AUG17	S	1	PD24NOV17		11550.00	11639.67	USD	-538.02	
23AUG17	S	1	PD24NOV17		11555.00	11639.67	USD	-508.02	
07SEP17	B	2	PD24NOV17		12143.86	11639.67	USD	-6,050.28	
	NET	0 AVG	BGHT 12143.86	SOLD 11552.50				-7,096.32	0.00
01SEP17	S	2	PD01DEC17		12065.00	11644.47	USD	5,046.36	
01SEP17	S	1	PD01DEC17		12070.00	11644.47	USD	2,553.18	
01SEP17	B	1	PD01DEC17		12020.00	11644.47	USD	-2,253.18	
01SEP17	B	1	PD01DEC17		12040.00	11644.47	USD	-2,373.18	
01SEP17	B	1	PD01DEC17		12025.00	11644.47	USD	-2,283.18	
	NET	0 AVG	BGHT 12028.33	SOLD 12066.67				690.00	0.00
08SEP17	B	1	PD08DEC17		12000.00	11649.27	USD	-2,104.38	
08SEP17	B	1	PD08DEC17		11990.00	11649.27	USD	-2,044.38	
03OCT17	S	2	PD08DEC17		10596.37	11649.27	USD	-12,634.80	
	NET	0 AVG	BGHT 11995.00	SOLD 10596.37				-16,783.56	0.00
18SEP17	S	1	PD18DEC17		11185.00	11656.13	USD	-2,826.78	
03OCT17	B	1	PD18DEC17		10606.23	11656.13	USD	6,299.40	
	NET	0 AVG	BGHT 10606.23	SOLD 11185.00				3,472.62	0.00
19SEP17	S	1	PD19DEC17		11010.00	11656.81	USD	-3,880.86	
03OCT17	B	1	PD19DEC17		10607.11	11656.81	USD	6,298.20	
	NET	0 AVG	BGHT 10607.11	SOLD 11010.00				2,417.34	0.00
04OCT17	B	1	PD04JAN18		10805.00	11668.91	USD	5,183.46	
04OCT17	S	1	PD04JAN18		10620.00	11668.91	USD	-6,293.46	
	NET	0 AVG	BGHT 10805.00	SOLD 10620.00				-1,110.00	0.00
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>								
17JUL17	S	1	PD17OCT17		2815.00	3294.00	USD	-11,975.00	
07SEP17	B	1	PD17OCT17		3126.00	3294.00	USD	4,200.00	
	NET	0 AVG	BGHT 3126.00	SOLD 2815.00				-7,775.00	0.00
18JUL17	B	1	PD18OCT17		2796.50	3291.00	USD	12,362.50	
18JUL17	S	1	PD18OCT17		2795.00	3291.00	USD	-12,400.00	
	NET	0 AVG	BGHT 2796.50	SOLD 2795.00				-37.50	0.00
21JUL17	B	1	PD20OCT17		2756.50	3282.00	USD	13,137.50	
21JUL17	S	1	PD20OCT17		2771.50	3282.00	USD	-12,762.50	
21JUL17	B	1	PD20OCT17		2754.00	3282.00	USD	13,200.00	
07SEP17	S	1	PD20OCT17		3124.30	3282.00	USD	-3,942.50	
	NET	0 AVG	BGHT 2755.25	SOLD 2947.90				9,632.50	0.00

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
24JUL17	S	1	PD24OCT17	2784.50	3276.00	USD	-12,287.50	
24JUL17	B	1	PD24OCT17	2780.50	3276.00	USD	12,387.50	
24JUL17	B	1	PD24OCT17	2787.00	3276.00	USD	12,225.00	
24JUL17	B	2	PD24OCT17	2781.00	3276.00	USD	24,750.00	
24JUL17	B	2	PD24OCT17	2783.00	3276.00	USD	24,650.00	
24JUL17	S	5	PD24OCT17	2787.80	3276.00	USD	-61,025.00	
	NET	0 AVG	BGHT 2782.58	SOLD 2787.25			700.00	0.00
25JUL17	S	1	PD25OCT17	2827.50	3273.00	USD	-11,137.50	
25JUL17	B	2	PD25OCT17	2839.00	3273.00	USD	21,700.00	
25JUL17	S	1	PD25OCT17	2838.00	3273.00	USD	-10,875.00	
	NET	0 AVG	BGHT 2839.00	SOLD 2832.75			-312.50	0.00
27JUL17	B	1	PD27OCT17	2823.50	3270.73	USD	11,180.75	
27JUL17	B	2	PD27OCT17	2810.50	3270.73	USD	23,011.50	
27JUL17	B	1	PD27OCT17	2814.00	3270.73	USD	11,418.25	
27JUL17	S	4	PD27OCT17	2800.80	3270.73	USD	-46,993.00	
28JUL17	S	2	PD27OCT17	2790.00	3270.73	USD	-24,036.50	
28JUL17	S	1	PD27OCT17	2776.00	3270.73	USD	-12,368.25	
07SEP17	B	3	PD27OCT17	3125.24	3270.73	USD	10,911.75	
	NET	0 AVG	BGHT 2947.75	SOLD 2794.17			-26,875.50	0.00
31JUL17	S	1	PD31OCT17	2803.00	3268.47	USD	-11,636.75	
07SEP17	B	1	PD31OCT17	3125.66	3268.47	USD	3,570.25	
	NET	0 AVG	BGHT 3125.66	SOLD 2803.00			-8,066.50	0.00
01AUG17	S	1	PD01NOV17	2778.50	3267.33	USD	-12,220.75	
07SEP17	B	1	PD01NOV17	3125.76	3267.33	USD	3,539.25	
	NET	0 AVG	BGHT 3125.76	SOLD 2778.50			-8,681.50	0.00
03OCT17	S	5	PD02NOV17	3264.00	3266.20	USD	-275.00	
03OCT17	S	8	PD02NOV17	3273.50	3266.20	USD	1,460.00	
11OCT17	B	1	PD02NOV17	3259.50	3266.20	USD	167.50	
11OCT17	B	1	PD02NOV17	3242.00	3266.20	USD	605.00	
11OCT17	B	1	PD02NOV17	3262.50	3266.20	USD	92.50	
13OCT17	B	2	PD02NOV17	3292.50	3266.20	USD	-1,315.00	
	NET	-8 AVG	BGHT 3269.80	SOLD 3269.85			735.00	0.00
03AUG17	B	1	PD03NOV17	2818.00	3265.07	USD	11,176.75	
04AUG17	B	1	PD03NOV17	2821.50	3265.07	USD	11,089.25	
04AUG17	B	1	PD03NOV17	2823.00	3265.07	USD	11,051.75	
04AUG17	B	1	PD03NOV17	2813.50	3265.07	USD	11,289.25	
07SEP17	S	4	PD03NOV17	3125.77	3265.07	USD	-13,930.00	
	NET	0 AVG	BGHT 2819.00	SOLD 3125.77			30,677.00	0.00
07AUG17	S	1	PD07NOV17	2826.50	3262.80	USD	-10,907.50	
07AUG17	S	1	PD07NOV17	2827.00	3262.80	USD	-10,895.00	
07AUG17	S	1	PD07NOV17	2827.50	3262.80	USD	-10,882.50	
07AUG17	S	1	PD07NOV17	2824.50	3262.80	USD	-10,957.50	
07AUG17	S	1	PD07NOV17	2831.50	3262.80	USD	-10,782.50	
07AUG17	B	2	PD07NOV17	2867.50	3262.80	USD	19,765.00	
07AUG17	B	1	PD07NOV17	2870.00	3262.80	USD	9,820.00	
07AUG17	B	1	PD07NOV17	2871.00	3262.80	USD	9,795.00	
07AUG17	B	2	PD07NOV17	2867.00	3262.80	USD	19,790.00	
07SEP17	S	1	PD07NOV17	3126.19	3262.80	USD	-3,415.25	
07SEP17	B	1	PD07NOV17	3126.39	3262.80	USD	3,410.25	
03OCT17	S	1	PD07NOV17	3272.00	3262.80	USD	230.00	
	NET	0 AVG	BGHT 2905.20	SOLD 2933.60			4,970.00	0.00
08AUG17	S	1	PD08NOV17	2923.00	3261.67	USD	-8,466.75	
08AUG17	B	1	PD08NOV17	2926.00	3261.67	USD	8,391.75	
	NET	0 AVG	BGHT 2926.00	SOLD 2923.00			-75.00	0.00
09AUG17	S	1	PD09NOV17	2939.00	3260.53	USD	-8,038.25	

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
09AUG17	B	1	PD09NOV17	2967.00	3260.53	USD	7,338.25	
09AUG17	B	1	PD09NOV17	2979.50	3260.53	USD	7,025.75	
09AUG17	S	1	PD09NOV17	2942.00	3260.53	USD	-7,963.25	
09AUG17	S	1	PD09NOV17	2956.50	3260.53	USD	-7,600.75	
09AUG17	S	1	PD09NOV17	2957.00	3260.53	USD	-7,588.25	
09AUG17	S	1	PD09NOV17	2979.50	3260.53	USD	-7,025.75	
09AUG17	S	1	PD09NOV17	2942.50	3260.53	USD	-7,950.75	
17AUG17	B	3	PD09NOV17	3061.83	3260.53	USD	14,902.50	
03OCT17	B	1	PD09NOV17	3272.20	3260.53	USD	-291.75	
	NET	0 AVG BGHT 3067.37	SOLD 2952.75				-17,192.25	0.00
10AUG17	B	1	PD10NOV17	2949.00	3259.40	USD	7,760.00	
10AUG17	S	1	PD10NOV17	2948.50	3259.40	USD	-7,772.50	
10AUG17	S	1	PD10NOV17	2945.00	3259.40	USD	-7,860.00	
10AUG17	S	1	PD10NOV17	2943.00	3259.40	USD	-7,910.00	
10AUG17	B	1	PD10NOV17	2928.50	3259.40	USD	8,272.50	
10AUG17	S	1	PD10NOV17	2938.00	3259.40	USD	-8,035.00	
11AUG17	S	1	PD10NOV17	2926.00	3259.40	USD	-8,335.00	
11AUG17	B	1	PD10NOV17	2904.50	3259.40	USD	8,872.50	
11AUG17	S	1	PD10NOV17	2911.50	3259.40	USD	-8,697.50	
11AUG17	S	1	PD10NOV17	2914.00	3259.40	USD	-8,635.00	
11AUG17	S	1	PD10NOV17	2918.50	3259.40	USD	-8,522.50	
11AUG17	S	1	PD10NOV17	2920.00	3259.40	USD	-8,485.00	
11AUG17	B	1	PD10NOV17	2909.50	3259.40	USD	8,747.50	
11AUG17	S	1	PD10NOV17	2909.00	3259.40	USD	-8,760.00	
17AUG17	B	4	PD10NOV17	3062.14	3259.40	USD	19,726.00	
07SEP17	B	2	PD10NOV17	3126.83	3259.40	USD	6,628.50	
	NET	0 AVG BGHT 3019.37	SOLD 2927.35				-23,005.50	0.00
14AUG17	B	1	PD14NOV17	2904.50	3257.13	USD	8,815.75	
14AUG17	S	2	PD14NOV17	2916.50	3257.13	USD	-17,031.50	
14AUG17	B	1	PD14NOV17	2920.00	3257.13	USD	8,428.25	
14AUG17	S	1	PD14NOV17	2917.50	3257.13	USD	-8,490.75	
14AUG17	S	1	PD14NOV17	2918.00	3257.13	USD	-8,478.25	
07SEP17	B	2	PD14NOV17	3127.50	3257.13	USD	6,481.50	
	NET	0 AVG BGHT 3019.88	SOLD 2917.13				-10,275.00	0.00
16AUG17	S	1	PD16NOV17	2978.00	3253.50	USD	-6,887.50	
16AUG17	S	1	PD16NOV17	2980.00	3253.50	USD	-6,837.50	
16AUG17	B	1	PD16NOV17	2977.00	3253.50	USD	6,912.50	
16AUG17	B	1	PD16NOV17	2981.00	3253.50	USD	6,812.50	
16AUG17	S	1	PD16NOV17	2977.00	3253.50	USD	-6,912.50	
16AUG17	S	1	PD16NOV17	3019.50	3253.50	USD	-5,850.00	
16AUG17	S	1	PD16NOV17	3024.00	3253.50	USD	-5,737.50	
16AUG17	S	1	PD16NOV17	3020.50	3253.50	USD	-5,825.00	
16AUG17	S	1	PD16NOV17	3021.00	3253.50	USD	-5,812.50	
16AUG17	S	1	PD16NOV17	3022.50	3253.50	USD	-5,775.00	
16AUG17	S	1	PD16NOV17	3024.50	3253.50	USD	-5,725.00	
16AUG17	S	1	PD16NOV17	3027.50	3253.50	USD	-5,650.00	
16AUG17	S	2	PD16NOV17	3027.00	3253.50	USD	-11,325.00	
16AUG17	S	1	PD16NOV17	3028.00	3253.50	USD	-5,637.50	
16AUG17	B	8	PD16NOV17	3119.20	3253.50	USD	26,860.00	
07SEP17	B	3	PD16NOV17	3125.25	3253.50	USD	9,618.75	
	NET	0 AVG BGHT 3099.03	SOLD 3013.58				-27,771.25	0.00
17AUG17	B	1	PD17NOV17	3126.00	3251.00	USD	3,125.00	
17AUG17	B	1	PD17NOV17	3129.50	3251.00	USD	3,037.50	
17AUG17	B	1	PD17NOV17	3131.50	3251.00	USD	2,987.50	
17AUG17	B	1	PD17NOV17	3115.50	3251.00	USD	3,387.50	
17AUG17	B	1	PD17NOV17	3094.00	3251.00	USD	3,925.00	

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17AUG17	B	1	PD17NOV17	3093.00	3251.00	USD	3,950.00	
17AUG17	B	1	PD17NOV17	3096.00	3251.00	USD	3,875.00	
17AUG17	B	1	PD17NOV17	3098.00	3251.00	USD	3,825.00	
18AUG17	S	1	PD17NOV17	3131.50	3251.00	USD	-2,987.50	
07SEP17	S	7	PD17NOV17	3125.05	3251.00	USD	-22,041.25	
	NET	0 AVG	BGHT 3110.44				3,083.75	0.00
			SOLD 3125.86					
22AUG17	B	1	PD22NOV17	3151.00	3248.38	USD	2,434.50	
07SEP17	S	1	PD22NOV17	3126.48	3248.38	USD	-3,047.50	
	NET	0 AVG	BGHT 3151.00				-613.00	0.00
			SOLD 3126.48					
29AUG17	S	1	PD29NOV17	3108.50	3246.75	USD	-3,456.25	
29AUG17	B	1	PD29NOV17	3090.00	3246.75	USD	3,918.75	
	NET	0 AVG	BGHT 3090.00				462.50	0.00
			SOLD 3108.50					
31AUG17	S	3	PD30NOV17	3125.00	3246.50	USD	-9,112.50	
31AUG17	S	1	PD30NOV17	3125.50	3246.50	USD	-3,025.00	
31AUG17	S	2	PD30NOV17	3127.00	3246.50	USD	-5,975.00	
31AUG17	S	1	PD30NOV17	3124.50	3246.50	USD	-3,050.00	
31AUG17	S	1	PD30NOV17	3126.00	3246.50	USD	-3,012.50	
31AUG17	S	2	PD30NOV17	3141.50	3246.50	USD	-5,250.00	
31AUG17	S	1	PD30NOV17	3136.00	3246.50	USD	-2,762.50	
31AUG17	S	1	PD30NOV17	3123.00	3246.50	USD	-3,087.50	
31AUG17	S	2	PD30NOV17	3128.00	3246.50	USD	-5,925.00	
31AUG17	S	3	PD30NOV17	3127.50	3246.50	USD	-8,925.00	
31AUG17	B	17	PD30NOV17	3146.20	3246.50	USD	42,627.50	
	NET	0 AVG	BGHT 3146.20				-7,497.50	0.00
			SOLD 3128.56					
01SEP17	S	1	PD01DEC17	3186.00	3246.25	USD	-1,506.25	
01SEP17	S	1	PD01DEC17	3187.00	3246.25	USD	-1,481.25	
03OCT17	B	2	PD01DEC17	3265.46	3246.25	USD	-960.50	
	NET	0 AVG	BGHT 3265.46				-3,948.00	0.00
			SOLD 3186.50					
04SEP17	B	1	PD04DEC17	3182.00	3246.00	USD	1,600.00	
04SEP17	B	1	PD04DEC17	3192.00	3246.00	USD	1,350.00	
03OCT17	S	2	PD04DEC17	3265.59	3246.00	USD	979.50	
	NET	0 AVG	BGHT 3187.00				3,929.50	0.00
			SOLD 3265.59					
18SEP17	B	1	PD18DEC17	3065.00	3243.50	USD	4,462.50	
18SEP17	S	1	PD18DEC17	3085.50	3243.50	USD	-3,950.00	
	NET	0 AVG	BGHT 3065.00				512.50	0.00
			SOLD 3085.50					
03OCT17	S	2	PD03JAN18	3222.00	3237.69	USD	-784.50	
03OCT17	S	1	PD03JAN18	3223.50	3237.69	USD	-354.75	
03OCT17	S	1	PD03JAN18	3236.00	3237.69	USD	-42.25	
03OCT17	S	1	PD03JAN18	3239.00	3237.69	USD	32.75	
03OCT17	S	1	PD03JAN18	3260.50	3237.69	USD	570.25	
03OCT17	S	1	PD03JAN18	3263.00	3237.69	USD	632.75	
03OCT17	S	1	PD03JAN18	3265.00	3237.69	USD	682.75	
03OCT17	S	1	PD03JAN18	3259.00	3237.69	USD	532.75	
03OCT17	S	1	PD03JAN18	3255.00	3237.69	USD	432.75	
03OCT17	S	1	PD03JAN18	3270.00	3237.69	USD	807.75	
03OCT17	S	1	PD03JAN18	3267.00	3237.69	USD	732.75	
03OCT17	S	1	PD03JAN18	3276.00	3237.69	USD	957.75	
03OCT17	B	5	PD03JAN18	3258.65	3237.69	USD	-2,620.00	
03OCT17	B	8	PD03JAN18	3264.20	3237.69	USD	-5,302.00	
	NET	0 AVG	BGHT 3262.07				-3,721.25	0.00
			SOLD 3250.62					
11OCT17	S	1	PD11JAN18	3220.35	3235.38	USD	-375.75	
11OCT17	S	1	PD11JAN18	3201.85	3235.38	USD	-838.25	
11OCT17	S	1	PD11JAN18	3225.35	3235.38	USD	-250.75	
11OCT17	B	1	PD11JAN18	3208.00	3235.38	USD	684.50	
11OCT17	B	1	PD11JAN18	3219.00	3235.38	USD	409.50	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0103J

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
11OCT17	B 1 PD11JAN18	3225.00	3235.38	USD	259.50	
	NET 0 AVG BGHT 3217.33 SOLD 3215.85				-111.25	0.00
13OCT17	B 1 PD12JAN18	3254.00	3235.00	USD	-475.00	
13OCT17	B 1 PD12JAN18	3254.50	3235.00	USD	-487.50	
13OCT17	S 2 PD12JAN18	3255.35	3235.00	USD	1,017.50	
	NET 0 AVG BGHT 3254.25 SOLD 3255.35				55.00	0.00

OPEN POSITION VALUE

		USD
LME Aluminium 25 Tonnes.	FUTURES	-11,969.50
LME Lead 25 tonnes. This is an	FUTURES	66,130.50
LME Nickel 6 tonnes. This is	FUTURES	27,906.30
LME Zinc 25 tonnes. This is	FUTURES	562,039.25

MARKET REVALUATION BREAKDOWN

Unrealised Profits & Losses - Forwards	Amount	Profit / Loss	Total Amount	Total P&L
ALUL - Futures	USD -12,005.50	-12,005.50		
LEAD - Futures	USD 2,884.25	2,884.25		
NKL - Futures	USD 27,906.30	27,906.30		
ZINC - Futures	USD -91,200.75	-91,200.75	-72,415.70	-72,415.70

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
CASH BALANCE	(1.00000)	(1.00000)
Variation Margins	97,845.74	97,845.74
- Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	97,845.74	97,845.74
Initial Margins	-121,296.70	-121,296.70
Excess/(Shortage)	-23,450.96	-23,450.96
FOR INFORMATION ONLY		
Forward Unrealised P&L	-72,415.70	-72,415.70
Net Liquidating Value	25,430.04	25,430.04

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED
SAP0103
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

GROUP NO: **SAPG0103**

13th Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343
Authorised and regulated by the Australian Prudential
Regulation Authority. Authorised by the Prudential
Regulation Authority. Subject to regulation by the Financial
Conduct Authority and limited regulation by the
Prudential Regulation Authority. Details about the extent
of our regulation by the Prudential Regulation Authority are
available from us on request.

GROUP FINANCIAL SUMMARY

	USD	NET USD
	(1.00000)	(1.00000)
CASH BALANCE	1,800,808.97	1,800,808.97
Variation Margins		
- Futures	-2,865.00	-2,865.00
- Options	0.00	0.00
TOTAL EQUITY	1,797,943.97	1,797,943.97
Initial Margins	-1,120,219.47	-1,120,219.47
Excess/(Shortage)	677,724.50	677,724.50
<u>FOR INFORMATION ONLY</u>		
Forward Unrealised P&L	-564,068.47	-564,068.47
Net Liquidating Value	1,233,875.50	1,233,875.50

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0104

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	-973.87	-973.87
Variation Margins		
- Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	-973.87	-973.87
Initial Margins	0.00	0.00
Excess/(Shortage)	-973.87	-973.87
FOR INFORMATION ONLY		
Net Liquidating Value	-973.87	-973.87

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0104A

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
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Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
02OCT17	CMX GOLD 100 CMX S 1 DEC17 NET -1AVG SOLD 1273.9	1273.9	1304.6	USD	-3,070.00 -3,070.00	0.00
	NYM PLATINUM 50 NYM					
06SEP17	B 1 JAN18	1017.20	947.90	USD	-3,465.00	
06SEP17	B 10 JAN18	1016.90	947.90	USD	-34,500.00	
06SEP17	B 7 JAN18	1017.10	947.90	USD	-24,220.00	
	NET +18 AVG BGHT 1016.99				-62,185.00	0.00

OPEN POSITION VALUE

		USD
CMX Gold	FUTURES	127,390.00
NYM Platinum	FUTURES	-915,295.00

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options	Amount	Profit / Loss	Total Amount	Total P&L
GOLD - Futures USD	-3,070.00	-3,070.00		
PLAT - Futures USD	-62,185.00	-62,185.00	-65,255.00	-65,255.00

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	2,590,254.47	2,590,254.47
Variation Margins - Futures	-65,255.00	-65,255.00
- Options	0.00	0.00
TOTAL EQUITY	2,524,999.47	2,524,999.47
Initial Margins	-30,940.00	-30,940.00
Excess/(Shortage)	2,494,059.47	2,494,059.47
FOR INFORMATION ONLY		
Net Liquidating Value	2,524,999.47	2,524,999.47

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED
SAP0104
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

GROUP NO: **SAPG0104**

13th Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343
Authorised and regulated by the Australian Prudential
Regulation Authority. Authorised by the Prudential
Regulation Authority. Subject to regulation by the Financial
Conduct Authority and limited regulation by the
Prudential Regulation Authority. Details about the extent
of our regulation by the Prudential Regulation Authority are
available from us on request.

GROUP FINANCIAL SUMMARY

		USD	NET USD
		(1.00000)	(1.00000)
CASH BALANCE		2,589,280.60	2,589,280.60
Variation Margins	- Futures	-65,255.00	-65,255.00
	- Options	0.00	0.00
TOTAL EQUITY		2,524,025.60	2,524,025.60
Initial Margins		-30,940.00	-30,940.00
Excess/(Shortage)		2,493,085.60	2,493,085.60
<u>FOR INFORMATION ONLY</u>			
Net Liquidating Value		2,524,025.60	2,524,025.60

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0107

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

ACCOUNT FINANCIAL SUMMARY

	EUR	USD	NETT USD
	(1.18360)	(1.00000)	(1.00000)
CASH BALANCE	-463.32	-345,201.66	-345,750.05
Variation Margins			
- Futures	0.00	0.00	0.00
- Options	0.00	0.00	0.00
TOTAL EQUITY	-463.32	-345,201.66	-345,750.05
Initial Margins	0.00	0.00	0.00
Excess/(Shortage)	-463.32	-345,201.66	-345,750.05
FOR INFORMATION ONLY			
Net Liquidating Value	-463.32	-345,201.66	-345,750.05

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0107A

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	-1,300.00	-1,300.00
Variation Margins		
- Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	-1,300.00	-1,300.00
Initial Margins	0.00	0.00
Excess/(Shortage)	-1,300.00	-1,300.00
FOR INFORMATION ONLY		
Net Liquidating Value	-1,300.00	-1,300.00

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0107C

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	-1,300.00	-1,300.00
Variation Margins		
- Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	-1,300.00	-1,300.00
Initial Margins	0.00	0.00
Excess/(Shortage)	-1,300.00	-1,300.00
FOR INFORMATION ONLY		
Net Liquidating Value	-1,300.00	-1,300.00

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0107D

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	-1,300.00	-1,300.00
Variation Margins		
- Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	-1,300.00	-1,300.00
Initial Margins	0.00	0.00
Excess/(Shortage)	-1,300.00	-1,300.00
FOR INFORMATION ONLY		
Net Liquidating Value	-1,300.00	-1,300.00

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED
SAP0107
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

GROUP NO: **SAPG0107**

13th Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343
Authorised and regulated by the Australian Prudential
Regulation Authority. Authorised by the Prudential
Regulation Authority. Subject to regulation by the Financial
Conduct Authority and limited regulation by the
Prudential Regulation Authority. Details about the extent
of our regulation by the Prudential Regulation Authority are
available from us on request.

GROUP FINANCIAL SUMMARY

	EUR	USD	NET USD
	(1.18360)	(1.00000)	(1.00000)
CASH BALANCE	-463.32	-349,101.66	-349,650.05
Variation Margins	- Futures 0.00	0.00	0.00
	- Options 0.00	0.00	0.00
TOTAL EQUITY	-463.32	-349,101.66	-349,650.05
Initial Margins	0.00	0.00	0.00
Excess/(Shortage)	-463.32	-349,101.66	-349,650.05
<u>FOR INFORMATION ONLY</u>			
Net Liquidating Value	-463.32	-349,101.66	-349,650.05

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0112

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	982,658.51	982,658.51
Variation Margins		
- Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	982,658.51	982,658.51
Initial Margins	0.00	0.00
Excess/(Shortage)	982,658.51	982,658.51
FOR INFORMATION ONLY		
Net Liquidating Value	982,658.51	982,658.51

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0112A

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ALUMINIUM 25 TONNES. THIS IS AN</u>							
	<u>LME REGISTERED CLIENT CONTRACT.</u>							
24JUL17	B	1	PD24OCT17	1918.50	2113.25	USD	4,868.75	
24JUL17	B	1	PD24OCT17	1920.50	2113.25	USD	4,818.75	
24JUL17	S	1	PD24OCT17	1912.50	2113.25	USD	-5,018.75	
24JUL17	S	1	PD24OCT17	1912.00	2113.25	USD	-5,031.25	
	NET	0 AVG	BGHT 1919.50		SOLD 1912.25		-362.50	0.00
25JUL17	S	1	PD25OCT17	1922.50	2113.75	USD	-4,781.25	
25JUL17	B	1	PD25OCT17	1923.00	2113.75	USD	4,768.75	
	NET	0 AVG	BGHT 1923.00		SOLD 1922.50		-12.50	0.00
01AUG17	B	1	PD01NOV17	1910.00	2114.09	USD	5,102.25	
01AUG17	B	1	PD01NOV17	1908.50	2114.09	USD	5,139.75	
01AUG17	B	1	PD01NOV17	1905.50	2114.09	USD	5,214.75	
01AUG17	S	1	PD01NOV17	1913.50	2114.09	USD	-5,014.75	
01AUG17	S	1	PD01NOV17	1910.00	2114.09	USD	-5,102.25	
03AUG17	S	1	PD01NOV17	1917.00	2114.09	USD	-4,927.25	
	NET	0 AVG	BGHT 1908.00		SOLD 1913.50		412.50	0.00
02AUG17	S	1	PD02NOV17	1915.00	2114.44	USD	-4,986.00	
03AUG17	B	1	PD02NOV17	1917.79	2114.44	USD	4,916.25	
19SEP17	S	20	PD02NOV17	2109.96	2114.44	USD	-2,240.00	
20SEP17	S	4	PD02NOV17	2165.00	2114.44	USD	5,056.00	
20SEP17	S	3	PD02NOV17	2171.00	2114.44	USD	4,242.00	
20SEP17	S	5	PD02NOV17	2173.00	2114.44	USD	7,320.00	
05OCT17	B	22	PD02NOV17	2155.88	2114.44	USD	-22,792.00	
11OCT17	B	10	PD02NOV17	2137.15	2114.44	USD	-5,677.50	
	NET	0 AVG	BGHT 2142.99		SOLD 2125.82		-14,161.25	0.00
03AUG17	B	1	PD03NOV17	1926.50	2114.78	USD	4,707.00	
03AUG17	B	1	PD03NOV17	1925.50	2114.78	USD	4,732.00	
03AUG17	B	1	PD03NOV17	1923.50	2114.78	USD	4,782.00	
03AUG17	B	1	PD03NOV17	1919.50	2114.78	USD	4,882.00	
03AUG17	B	1	PD03NOV17	1920.00	2114.78	USD	4,869.50	
03AUG17	B	1	PD03NOV17	1917.00	2114.78	USD	4,944.50	
03AUG17	B	1	PD03NOV17	1910.00	2114.78	USD	5,119.50	
03AUG17	S	1	PD03NOV17	1920.00	2114.78	USD	-4,869.50	
03AUG17	B	4	PD03NOV17	1913.00	2114.78	USD	20,178.00	
04AUG17	B	1	PD03NOV17	1913.00	2114.78	USD	5,044.50	
04AUG17	B	1	PD03NOV17	1912.50	2114.78	USD	5,057.00	
04AUG17	B	2	PD03NOV17	1909.00	2114.78	USD	10,289.00	
04AUG17	B	1	PD03NOV17	1906.00	2114.78	USD	5,219.50	
04AUG17	S	8	PD03NOV17	1910.50	2114.78	USD	-40,856.00	
04AUG17	S	1	PD03NOV17	1911.50	2114.78	USD	-5,082.00	
04AUG17	S	1	PD03NOV17	1913.00	2114.78	USD	-5,044.50	
04AUG17	B	1	PD03NOV17	1903.00	2114.78	USD	5,294.50	
04AUG17	S	1	PD03NOV17	1908.50	2114.78	USD	-5,157.00	
10AUG17	S	5	PD03NOV17	2035.81	2114.78	USD	-9,871.25	
	NET	0 AVG	BGHT 1914.50		SOLD 1948.00		14,238.75	0.00
07AUG17	S	2	PD07NOV17	1923.00	2116.16	USD	-9,658.00	
07AUG17	S	1	PD07NOV17	1924.00	2116.16	USD	-4,804.00	
07AUG17	S	2	PD07NOV17	1926.00	2116.16	USD	-9,508.00	
07AUG17	B	1	PD07NOV17	1920.50	2116.16	USD	4,891.50	
07AUG17	B	1	PD07NOV17	1921.50	2116.16	USD	4,866.50	
07AUG17	B	1	PD07NOV17	1924.50	2116.16	USD	4,791.50	
07AUG17	S	5	PD07NOV17	1930.00	2116.16	USD	-23,270.00	
07AUG17	S	2	PD07NOV17	1929.50	2116.16	USD	-9,333.00	
07AUG17	S	1	PD07NOV17	1930.50	2116.16	USD	-4,641.50	
07AUG17	B	2	PD07NOV17	1923.50	2116.16	USD	9,633.00	
07AUG17	S	4	PD07NOV17	1929.00	2116.16	USD	-18,716.00	
07AUG17	S	2	PD07NOV17	1937.00	2116.16	USD	-8,958.00	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0112A

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.</u>							
07AUG17	S	1	PD07NOV17	1936.50	2116.16	USD	-4,491.50	
07AUG17	S	1	PD07NOV17	1929.50	2116.16	USD	-4,666.50	
07AUG17	S	2	PD07NOV17	1930.00	2116.16	USD	-9,308.00	
07AUG17	B	1	PD07NOV17	1923.00	2116.16	USD	4,829.00	
07AUG17	S	1	PD07NOV17	1943.00	2116.16	USD	-4,329.00	
07AUG17	S	1	PD07NOV17	1944.00	2116.16	USD	-4,304.00	
07AUG17	S	2	PD07NOV17	1948.50	2116.16	USD	-8,383.00	
07AUG17	S	4	PD07NOV17	1950.50	2116.16	USD	-16,566.00	
07AUG17	S	1	PD07NOV17	1967.50	2116.16	USD	-3,716.50	
07AUG17	S	1	PD07NOV17	1968.00	2116.16	USD	-3,704.00	
07AUG17	S	1	PD07NOV17	1972.50	2116.16	USD	-3,591.50	
07AUG17	S	2	PD07NOV17	1971.50	2116.16	USD	-7,233.00	
07AUG17	S	1	PD07NOV17	1973.50	2116.16	USD	-3,566.50	
07AUG17	S	1	PD07NOV17	1977.00	2116.16	USD	-3,479.00	
10AUG17	B	5	PD07NOV17	2036.69	2116.16	USD	9,933.75	
10AUG17	B	27	PD07NOV17	2036.49	2116.16	USD	53,777.25	
	NET	0 AVG BGHT 2018.56	SOLD 1941.18				-73,504.50	0.00
08AUG17	B	1	PD08NOV17	1974.00	2116.50	USD	3,562.50	
08AUG17	S	1	PD08NOV17	1985.00	2116.50	USD	-3,287.50	
08AUG17	S	1	PD08NOV17	1988.50	2116.50	USD	-3,200.00	
08AUG17	S	1	PD08NOV17	1990.00	2116.50	USD	-3,162.50	
08AUG17	S	1	PD08NOV17	1992.50	2116.50	USD	-3,100.00	
08AUG17	B	2	PD08NOV17	1986.00	2116.50	USD	6,525.00	
08AUG17	B	1	PD08NOV17	1990.50	2116.50	USD	3,150.00	
08AUG17	S	1	PD08NOV17	2003.00	2116.50	USD	-2,837.50	
08AUG17	B	1	PD08NOV17	2001.00	2116.50	USD	2,887.50	
08AUG17	S	1	PD08NOV17	2000.50	2116.50	USD	-2,900.00	
08AUG17	B	1	PD08NOV17	2000.00	2116.50	USD	2,912.50	
08AUG17	S	1	PD08NOV17	2000.00	2116.50	USD	-2,912.50	
08AUG17	B	1	PD08NOV17	2010.00	2116.50	USD	2,662.50	
08AUG17	B	1	PD08NOV17	2008.00	2116.50	USD	2,712.50	
08AUG17	B	1	PD08NOV17	2009.00	2116.50	USD	2,687.50	
08AUG17	B	1	PD08NOV17	2005.00	2116.50	USD	2,787.50	
08AUG17	B	4	PD08NOV17	2001.50	2116.50	USD	11,500.00	
08AUG17	B	3	PD08NOV17	2004.00	2116.50	USD	8,437.50	
08AUG17	B	4	PD08NOV17	2003.50	2116.50	USD	11,300.00	
08AUG17	B	2	PD08NOV17	2004.50	2116.50	USD	5,600.00	
08AUG17	B	2	PD08NOV17	2014.50	2116.50	USD	5,100.00	
08AUG17	B	2	PD08NOV17	2014.00	2116.50	USD	5,125.00	
08AUG17	B	1	PD08NOV17	2012.00	2116.50	USD	2,612.50	
08AUG17	B	3	PD08NOV17	2011.50	2116.50	USD	7,875.00	
08AUG17	B	1	PD08NOV17	2013.50	2116.50	USD	2,575.00	
08AUG17	B	1	PD08NOV17	2016.00	2116.50	USD	2,512.50	
08AUG17	B	1	PD08NOV17	2004.00	2116.50	USD	2,812.50	
10AUG17	S	27	PD08NOV17	2036.46	2116.50	USD	-54,027.00	
	NET	0 AVG BGHT 2004.34	SOLD 2027.76				19,910.50	0.00
09AUG17	B	2	PD09NOV17	2034.00	2116.96	USD	4,148.00	
09AUG17	S	1	PD09NOV17	2042.00	2116.96	USD	-1,874.00	
09AUG17	B	1	PD09NOV17	2042.50	2116.96	USD	1,861.50	
09AUG17	B	1	PD09NOV17	2041.50	2116.96	USD	1,886.50	
09AUG17	S	1	PD09NOV17	2041.50	2116.96	USD	-1,886.50	
09AUG17	S	2	PD09NOV17	2038.50	2116.96	USD	-3,923.00	
09AUG17	B	1	PD09NOV17	2031.00	2116.96	USD	2,149.00	
09AUG17	B	2	PD09NOV17	2034.50	2116.96	USD	4,123.00	
09AUG17	B	1	PD09NOV17	2031.00	2116.96	USD	2,149.00	
09AUG17	S	1	PD09NOV17	2033.50	2116.96	USD	-2,086.50	
09AUG17	S	2	PD09NOV17	2040.00	2116.96	USD	-3,848.00	

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09AUG17	<u>LME ALUMINIUM 25 TONNES. THIS IS AN</u>							
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	S	1	PD09NOV17	2037.00	2116.96	USD	-1,999.00	
	NET	0 AVG BGHT 2035.38	SOLD 2038.88				700.00	0.00
10AUG17	B	1	PD10NOV17	2025.00	2117.43	USD	2,310.75	
10AUG17	B	1	PD10NOV17	2019.50	2117.43	USD	2,448.25	
10AUG17	S	1	PD10NOV17	2029.00	2117.43	USD	-2,210.75	
10AUG17	S	1	PD10NOV17	2026.00	2117.43	USD	-2,285.75	
10AUG17	S	1	PD10NOV17	2037.50	2117.43	USD	-1,998.25	
10AUG17	B	1	PD10NOV17	2027.00	2117.43	USD	2,260.75	
10AUG17	B	1	PD10NOV17	2029.00	2117.43	USD	2,210.75	
10AUG17	B	1	PD10NOV17	2025.50	2117.43	USD	2,298.25	
10AUG17	B	1	PD10NOV17	2023.00	2117.43	USD	2,360.75	
10AUG17	B	1	PD10NOV17	2030.00	2117.43	USD	2,185.75	
10AUG17	B	1	PD10NOV17	2034.50	2117.43	USD	2,073.25	
10AUG17	B	1	PD10NOV17	2027.00	2117.43	USD	2,260.75	
10AUG17	B	1	PD10NOV17	2039.50	2117.43	USD	1,948.25	
10AUG17	S	4	PD10NOV17	2039.00	2117.43	USD	-7,843.00	
10AUG17	S	4	PD10NOV17	2038.50	2117.43	USD	-7,893.00	
10AUG17	S	1	PD10NOV17	2042.00	2117.43	USD	-1,885.75	
10AUG17	S	1	PD10NOV17	2040.50	2117.43	USD	-1,923.25	
10AUG17	S	2	PD10NOV17	2039.00	2117.43	USD	-3,921.50	
10AUG17	S	1	PD10NOV17	2040.50	2117.43	USD	-1,923.25	
11AUG17	B	1	PD10NOV17	2022.00	2117.43	USD	2,385.75	
11AUG17	B	2	PD10NOV17	2023.00	2117.43	USD	4,721.50	
11AUG17	S	1	PD10NOV17	2032.00	2117.43	USD	-2,135.75	
11AUG17	B	1	PD10NOV17	2027.00	2117.43	USD	2,260.75	
11AUG17	B	2	PD10NOV17	2025.00	2117.43	USD	4,621.50	
11AUG17	S	3	PD10NOV17	2034.00	2117.43	USD	-6,257.25	
11AUG17	S	1	PD10NOV17	2034.50	2117.43	USD	-2,073.25	
11AUG17	S	1	PD10NOV17	2036.50	2117.43	USD	-2,023.25	
11AUG17	S	1	PD10NOV17	2037.50	2117.43	USD	-1,998.25	
11AUG17	B	1	PD10NOV17	2033.50	2117.43	USD	2,098.25	
11AUG17	B	1	PD10NOV17	2026.00	2117.43	USD	2,285.75	
11AUG17	S	1	PD10NOV17	2025.00	2117.43	USD	-2,310.75	
11AUG17	B	1	PD10NOV17	2042.00	2117.43	USD	1,885.75	
11AUG17	S	2	PD10NOV17	2041.00	2117.43	USD	-3,821.50	
11AUG17	B	1	PD10NOV17	2037.50	2117.43	USD	1,998.25	
11AUG17	B	1	PD10NOV17	2036.00	2117.43	USD	2,035.75	
11AUG17	S	1	PD10NOV17	2039.00	2117.43	USD	-1,960.75	
11AUG17	S	1	PD10NOV17	2045.00	2117.43	USD	-1,810.75	
11AUG17	S	2	PD10NOV17	2042.00	2117.43	USD	-3,771.50	
11AUG17	S	2	PD10NOV17	2041.50	2117.43	USD	-3,796.50	
11AUG17	S	2	PD10NOV17	2040.50	2117.43	USD	-3,846.50	
11AUG17	B	6	PD10NOV17	2048.00	2117.43	USD	10,414.50	
11AUG17	B	3	PD10NOV17	2047.50	2117.43	USD	5,244.75	
11AUG17	B	4	PD10NOV17	2046.50	2117.43	USD	7,093.00	
11AUG17	B	1	PD10NOV17	2045.50	2117.43	USD	1,798.25	
11AUG17	S	1	PD10NOV17	2043.50	2117.43	USD	-1,848.25	
	NET	0 AVG BGHT 2036.06	SOLD 2037.96				1,662.50	0.00
14AUG17	B	1	PD14NOV17	2031.50	2119.29	USD	2,194.75	
14AUG17	B	1	PD14NOV17	2028.50	2119.29	USD	2,269.75	
14AUG17	B	1	PD14NOV17	2027.50	2119.29	USD	2,294.75	
14AUG17	B	3	PD14NOV17	2027.00	2119.29	USD	6,921.75	
14AUG17	B	2	PD14NOV17	2028.00	2119.29	USD	4,564.50	
14AUG17	B	1	PD14NOV17	2026.00	2119.29	USD	2,332.25	
14AUG17	B	1	PD14NOV17	2025.50	2119.29	USD	2,344.75	
14AUG17	S	1	PD14NOV17	2031.50	2119.29	USD	-2,194.75	
14AUG17	S	1	PD14NOV17	2030.50	2119.29	USD	-2,219.75	

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	<u>LME ALUMINIUM 25 TONNES. THIS IS AN</u>							
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14AUG17	S	1	PD14NOV17	2032.50	2119.29	USD	-2,169.75	
14AUG17	S	4	PD14NOV17	2032.00	2119.29	USD	-8,729.00	
14AUG17	S	2	PD14NOV17	2033.00	2119.29	USD	-4,314.50	
14AUG17	S	1	PD14NOV17	2034.50	2119.29	USD	-2,119.75	
	NET	0 AVG BGHT 2027.60	SOLD 2032.30				1,175.00	0.00
04SEP17	S	1	PD04DEC17	2126.50	2125.76	USD	18.50	
04SEP17	B	1	PD04DEC17	2126.50	2125.76	USD	-18.50	
	NET	0 AVG BGHT 2126.50	SOLD 2126.50				0.00	0.00
13SEP17	S	1	PD13DEC17	2133.00	2128.60	USD	110.00	
13SEP17	S	3	PD13DEC17	2136.00	2128.60	USD	555.00	
13SEP17	S	1	PD13DEC17	2135.50	2128.60	USD	172.50	
13SEP17	B	1	PD13DEC17	2128.50	2128.60	USD	2.50	
13SEP17	B	1	PD13DEC17	2122.50	2128.60	USD	152.50	
13SEP17	B	1	PD13DEC17	2118.00	2128.60	USD	265.00	
13SEP17	B	1	PD13DEC17	2112.50	2128.60	USD	402.50	
13SEP17	S	1	PD13DEC17	2111.00	2128.60	USD	-440.00	
13SEP17	B	1	PD13DEC17	2114.00	2128.60	USD	365.00	
13SEP17	S	1	PD13DEC17	2112.50	2128.60	USD	-402.50	
15SEP17	B	1	PD13DEC17	2085.50	2128.60	USD	1,077.50	
19SEP17	B	1	PD13DEC17	2123.28	2128.60	USD	133.00	
	NET	0 AVG BGHT 2114.90	SOLD 2128.57				2,393.00	0.00
14SEP17	S	2	PD14DEC17	2124.00	2128.92	USD	-246.00	
14SEP17	S	3	PD14DEC17	2125.00	2128.92	USD	-294.00	
14SEP17	B	1	PD14DEC17	2115.50	2128.92	USD	335.50	
14SEP17	B	1	PD14DEC17	2113.50	2128.92	USD	385.50	
14SEP17	B	2	PD14DEC17	2112.50	2128.92	USD	821.00	
14SEP17	B	1	PD14DEC17	2112.00	2128.92	USD	423.00	
14SEP17	B	1	PD14DEC17	2090.00	2128.92	USD	973.00	
14SEP17	B	1	PD14DEC17	2088.50	2128.92	USD	1,010.50	
14SEP17	S	1	PD14DEC17	2104.50	2128.92	USD	-610.50	
15SEP17	S	1	PD14DEC17	2085.55	2128.92	USD	-1,084.25	
	NET	0 AVG BGHT 2106.36	SOLD 2116.15				1,713.75	0.00
15SEP17	B	1	PD15DEC17	2089.50	2129.24	USD	993.50	
15SEP17	S	1	PD15DEC17	2089.50	2129.24	USD	-993.50	
15SEP17	B	2	PD15DEC17	2089.00	2129.24	USD	2,012.00	
15SEP17	S	1	PD15DEC17	2093.00	2129.24	USD	-906.00	
15SEP17	S	1	PD15DEC17	2091.50	2129.24	USD	-943.50	
15SEP17	S	1	PD15DEC17	2094.50	2129.24	USD	-868.50	
15SEP17	S	1	PD15DEC17	2096.00	2129.24	USD	-831.00	
15SEP17	S	1	PD15DEC17	2100.50	2129.24	USD	-718.50	
15SEP17	S	2	PD15DEC17	2100.00	2129.24	USD	-1,462.00	
19SEP17	B	5	PD15DEC17	2123.85	2129.24	USD	673.75	
	NET	0 AVG BGHT 2110.84	SOLD 2095.63				-3,043.75	0.00
18SEP17	B	1	PD18DEC17	2094.00	2130.18	USD	904.50	
18SEP17	B	1	PD18DEC17	2096.00	2130.18	USD	854.50	
18SEP17	B	1	PD18DEC17	2092.00	2130.18	USD	954.50	
18SEP17	B	1	PD18DEC17	2091.00	2130.18	USD	979.50	
18SEP17	S	1	PD18DEC17	2102.50	2130.18	USD	-692.00	
18SEP17	B	1	PD18DEC17	2092.50	2130.18	USD	942.00	
18SEP17	B	1	PD18DEC17	2091.50	2130.18	USD	967.00	
18SEP17	B	1	PD18DEC17	2089.50	2130.18	USD	1,017.00	
19SEP17	S	6	PD18DEC17	2124.51	2130.18	USD	-850.50	
	NET	0 AVG BGHT 2092.36	SOLD 2121.37				5,076.50	0.00
19SEP17	S	1	PD19DEC17	2104.50	2130.50	USD	-650.00	
19SEP17	S	1	PD19DEC17	2105.50	2130.50	USD	-625.00	
19SEP17	S	1	PD19DEC17	2106.00	2130.50	USD	-612.50	

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19SEP17	S	1	PD19DEC17	2104.00	2130.50	USD	-662.50	
19SEP17	S	3	PD19DEC17	2105.00	2130.50	USD	-1,912.50	
19SEP17	S	1	PD19DEC17	2109.50	2130.50	USD	-525.00	
19SEP17	S	1	PD19DEC17	2110.00	2130.50	USD	-512.50	
19SEP17	S	1	PD19DEC17	2111.50	2130.50	USD	-475.00	
19SEP17	S	3	PD19DEC17	2112.00	2130.50	USD	-1,387.50	
19SEP17	S	1	PD19DEC17	2111.00	2130.50	USD	-487.50	
19SEP17	S	2	PD19DEC17	2115.00	2130.50	USD	-775.00	
19SEP17	S	1	PD19DEC17	2113.00	2130.50	USD	-437.50	
19SEP17	S	2	PD19DEC17	2112.50	2130.50	USD	-900.00	
19SEP17	S	1	PD19DEC17	2105.50	2130.50	USD	-625.00	
19SEP17	S	1	PD19DEC17	2129.50	2130.50	USD	-25.00	
19SEP17	B	20	PD19DEC17	2125.20	2130.50	USD	2,650.00	
04OCT17	B	1	PD19DEC17	2162.72	2130.50	USD	-805.50	
	NET	0AVG BGHT 2126.99	SOLD 2110.29				-8,768.00	0.00
20SEP17	S	1	PD20DEC17	2142.00	2130.50	USD	287.50	
20SEP17	S	6	PD20DEC17	2144.50	2130.50	USD	2,100.00	
20SEP17	B	7	PD20DEC17	2145.00	2130.50	USD	-2,537.50	
20SEP17	S	1	PD20DEC17	2174.50	2130.50	USD	1,100.00	
20SEP17	S	2	PD20DEC17	2173.00	2130.50	USD	2,125.00	
20SEP17	S	1	PD20DEC17	2174.00	2130.50	USD	1,087.50	
20SEP17	S	2	PD20DEC17	2175.50	2130.50	USD	2,250.00	
20SEP17	S	1	PD20DEC17	2182.00	2130.50	USD	1,287.50	
20SEP17	S	1	PD20DEC17	2182.50	2130.50	USD	1,300.00	
20SEP17	S	1	PD20DEC17	2185.00	2130.50	USD	1,362.50	
20SEP17	S	1	PD20DEC17	2186.50	2130.50	USD	1,400.00	
20SEP17	S	1	PD20DEC17	2187.00	2130.50	USD	1,412.50	
20SEP17	S	1	PD20DEC17	2190.00	2130.50	USD	1,487.50	
20SEP17	S	1	PD20DEC17	2189.50	2130.50	USD	1,475.00	
20SEP17	B	1	PD20DEC17	2163.50	2130.50	USD	-825.00	
20SEP17	B	4	PD20DEC17	2180.35	2130.50	USD	-4,985.00	
20SEP17	B	3	PD20DEC17	2186.35	2130.50	USD	-4,188.75	
20SEP17	B	5	PD20DEC17	2188.35	2130.50	USD	-7,231.25	
	NET	0AVG BGHT 2170.04	SOLD 2167.85				-1,092.50	0.00
21SEP17	B	1	PD21DEC17	2159.50	2130.50	USD	-725.00	
21SEP17	S	1	PD21DEC17	2174.50	2130.50	USD	1,100.00	
21SEP17	S	1	PD21DEC17	2165.00	2130.50	USD	862.50	
21SEP17	S	1	PD21DEC17	2169.50	2130.50	USD	975.00	
21SEP17	B	2	PD21DEC17	2142.00	2130.50	USD	-575.00	
	NET	0AVG BGHT 2147.83	SOLD 2169.67				1,637.50	0.00
22SEP17	B	9	PD22DEC17	2134.00	2130.50	USD	-787.50	
22SEP17	B	1	PD22DEC17	2131.00	2130.50	USD	-12.50	
22SEP17	B	1	PD22DEC17	2130.50	2130.50	USD	0.00	
22SEP17	B	1	PD22DEC17	2127.50	2130.50	USD	75.00	
22SEP17	B	1	PD22DEC17	2121.00	2130.50	USD	237.50	
22SEP17	B	2	PD22DEC17	2119.00	2130.50	USD	575.00	
22SEP17	B	1	PD22DEC17	2115.00	2130.50	USD	387.50	
22SEP17	S	1	PD22DEC17	2129.00	2130.50	USD	-37.50	
22SEP17	S	1	PD22DEC17	2130.50	2130.50	USD	0.00	
22SEP17	S	1	PD22DEC17	2140.50	2130.50	USD	250.00	
22SEP17	S	1	PD22DEC17	2146.00	2130.50	USD	387.50	
22SEP17	S	1	PD22DEC17	2148.50	2130.50	USD	450.00	
22SEP17	S	1	PD22DEC17	2149.00	2130.50	USD	462.50	
22SEP17	S	1	PD22DEC17	2149.50	2130.50	USD	475.00	
22SEP17	S	1	PD22DEC17	2153.00	2130.50	USD	562.50	
22SEP17	S	1	PD22DEC17	2154.00	2130.50	USD	587.50	

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22SEP17	S	1	PD22DEC17	2153.50	2130.50	USD	575.00	
22SEP17	B	1	PD22DEC17	2151.50	2130.50	USD	-525.00	
22SEP17	S	3	PD22DEC17	2159.50	2130.50	USD	2,175.00	
22SEP17	S	1	PD22DEC17	2160.00	2130.50	USD	737.50	
22SEP17	S	1	PD22DEC17	2160.50	2130.50	USD	750.00	
22SEP17	S	2	PD22DEC17	2155.00	2130.50	USD	1,225.00	
	NET	0AVG BGHT 2130.62	SOLD 2150.74				8,550.00	0.00
25SEP17	S	1	PD27DEC17	2154.50	2131.04	USD	586.50	
26SEP17	S	1	PD27DEC17	2158.00	2131.04	USD	674.00	
04OCT17	B	2	PD27DEC17	2164.40	2131.04	USD	-1,668.00	
	NET	0AVG BGHT 2164.40	SOLD 2156.25				-407.50	0.00
29SEP17	S	1	PD29DEC17	2134.50	2131.25	USD	81.25	
29SEP17	S	1	PD29DEC17	2135.50	2131.25	USD	106.25	
29SEP17	S	1	PD29DEC17	2136.50	2131.25	USD	131.25	
29SEP17	B	1	PD29DEC17	2125.00	2131.25	USD	156.25	
29SEP17	B	2	PD29DEC17	2124.50	2131.25	USD	337.50	
29SEP17	B	1	PD29DEC17	2107.50	2131.25	USD	593.75	
29SEP17	B	1	PD29DEC17	2106.00	2131.25	USD	631.25	
29SEP17	B	1	PD29DEC17	2105.50	2131.25	USD	643.75	
04OCT17	S	3	PD29DEC17	2164.60	2131.25	USD	2,501.25	
	NET	0AVG BGHT 2115.50	SOLD 2150.05				5,182.50	0.00
04OCT17	B	1	PD04JAN18	2148.00	2132.21	USD	-394.75	
04OCT17	B	1	PD04JAN18	2149.50	2132.21	USD	-432.25	
04OCT17	S	1	PD04JAN18	2158.00	2132.21	USD	644.75	
04OCT17	S	1	PD04JAN18	2160.00	2132.21	USD	694.75	
	NET	0AVG BGHT 2148.75	SOLD 2159.00				512.50	0.00
05OCT17	B	1	PD05JAN18	2151.50	2132.38	USD	-478.00	
05OCT17	B	3	PD05JAN18	2148.50	2132.38	USD	-1,209.00	
05OCT17	B	2	PD05JAN18	2147.50	2132.38	USD	-756.00	
05OCT17	B	7	PD05JAN18	2147.00	2132.38	USD	-2,558.50	
05OCT17	B	2	PD05JAN18	2146.50	2132.38	USD	-706.00	
05OCT17	B	1	PD05JAN18	2167.50	2132.38	USD	-878.00	
05OCT17	B	1	PD05JAN18	2169.00	2132.38	USD	-915.50	
05OCT17	B	1	PD05JAN18	2168.50	2132.38	USD	-903.00	
05OCT17	S	22	PD05JAN18	2171.30	2132.38	USD	21,406.00	
06OCT17	B	1	PD05JAN18	2157.00	2132.38	USD	-615.50	
06OCT17	B	1	PD05JAN18	2156.00	2132.38	USD	-590.50	
06OCT17	B	1	PD05JAN18	2154.50	2132.38	USD	-553.00	
06OCT17	B	1	PD05JAN18	2155.50	2132.38	USD	-578.00	
	NET	0AVG BGHT 2151.91	SOLD 2171.30				10,665.00	0.00
10OCT17	S	1	PD10JAN18	2160.50	2133.18	USD	683.00	
10OCT17	B	1	PD10JAN18	2158.00	2133.18	USD	-620.50	
	NET	0AVG BGHT 2158.00	SOLD 2160.50				62.50	0.00
11OCT17	B	1	PD11JAN18	2139.50	2133.34	USD	-154.00	
11OCT17	B	1	PD11JAN18	2137.50	2133.34	USD	-104.00	
11OCT17	B	2	PD11JAN18	2136.00	2133.34	USD	-133.00	
11OCT17	B	1	PD11JAN18	2138.50	2133.34	USD	-129.00	
11OCT17	B	1	PD11JAN18	2140.00	2133.34	USD	-166.50	
11OCT17	B	4	PD11JAN18	2143.50	2133.34	USD	-1,016.00	
11OCT17	S	10	PD11JAN18	2156.35	2133.34	USD	5,752.50	
	NET	0AVG BGHT 2140.15	SOLD 2156.35				4,050.00	0.00
12OCT17	B	1	PD12JAN18	2138.50	2133.50	USD	-125.00	
12OCT17	S	1	PD12JAN18	2146.50	2133.50	USD	325.00	
12OCT17	S	1	PD12JAN18	2149.00	2133.50	USD	387.50	
12OCT17	S	1	PD12JAN18	2149.50	2133.50	USD	400.00	

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	<u>LME ALUMINIUM 25 TONNES. THIS IS AN</u>							
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13OCT17	S	2	PD12JAN18	2154.00	2133.50	USD	1,025.00	
13OCT17	S	1	PD12JAN18	2156.50	2133.50	USD	575.00	
13OCT17	B	1	PD12JAN18	2165.00	2133.50	USD	-787.50	
13OCT17	B	1	PD12JAN18	2159.00	2133.50	USD	-637.50	
13OCT17	B	3	PD12JAN18	2160.00	2133.50	USD	-1,987.50	
	NET	0 AVG BGHT	2157.08		SOLD 2151.58		-825.00	0.00
	<u>LME LEAD 25 TONNES. THIS IS AN</u>							
	<u>LME REGISTERED CLIENT CONTRACT</u>							
18JUL17	B	1	PD18OCT17	2272.50	2518.75	USD	6,156.25	
18JUL17	S	1	PD18OCT17	2275.50	2518.75	USD	-6,081.25	
	NET	0 AVG BGHT	2272.50		SOLD 2275.50		75.00	0.00
19JUL17	S	1	PD19OCT17	2273.00	2518.90	USD	-6,147.50	
19JUL17	B	1	PD19OCT17	2259.50	2518.90	USD	6,485.00	
19JUL17	B	1	PD19OCT17	2258.00	2518.90	USD	6,522.50	
19JUL17	B	1	PD19OCT17	2253.50	2518.90	USD	6,635.00	
19JUL17	B	2	PD19OCT17	2254.00	2518.90	USD	13,245.00	
19JUL17	B	1	PD19OCT17	2255.00	2518.90	USD	6,597.50	
19JUL17	B	1	PD19OCT17	2256.00	2518.90	USD	6,572.50	
19JUL17	B	2	PD19OCT17	2257.00	2518.90	USD	13,095.00	
19JUL17	B	1	PD19OCT17	2256.50	2518.90	USD	6,560.00	
19JUL17	B	1	PD19OCT17	2255.50	2518.90	USD	6,585.00	
24JUL17	S	10	PD19OCT17	2259.38	2518.90	USD	-64,880.00	
	NET	0 AVG BGHT	2256.00		SOLD 2260.62		1,270.00	0.00
20JUL17	S	1	PD20OCT17	2227.00	2519.05	USD	-7,301.25	
20JUL17	S	1	PD20OCT17	2225.00	2519.05	USD	-7,351.25	
20JUL17	S	1	PD20OCT17	2223.00	2519.05	USD	-7,401.25	
20JUL17	S	1	PD20OCT17	2223.50	2519.05	USD	-7,388.75	
20JUL17	S	2	PD20OCT17	2224.00	2519.05	USD	-14,752.50	
20JUL17	S	3	PD20OCT17	2224.50	2519.05	USD	-22,091.25	
20JUL17	S	1	PD20OCT17	2225.50	2519.05	USD	-7,338.75	
20JUL17	S	1	PD20OCT17	2215.50	2519.05	USD	-7,588.75	
20JUL17	S	1	PD20OCT17	2216.00	2519.05	USD	-7,576.25	
20JUL17	S	2	PD20OCT17	2217.00	2519.05	USD	-15,102.50	
20JUL17	S	1	PD20OCT17	2217.50	2519.05	USD	-7,538.75	
21JUL17	S	1	PD20OCT17	2236.00	2519.05	USD	-7,076.25	
21JUL17	S	1	PD20OCT17	2240.00	2519.05	USD	-6,976.25	
21JUL17	S	1	PD20OCT17	2238.50	2519.05	USD	-7,013.75	
21JUL17	S	1	PD20OCT17	2241.00	2519.05	USD	-6,951.25	
21JUL17	S	1	PD20OCT17	2254.00	2519.05	USD	-6,626.25	
21JUL17	S	1	PD20OCT17	2236.00	2519.05	USD	-7,076.25	
21JUL17	B	1	PD20OCT17	2238.50	2519.05	USD	7,013.75	
21JUL17	B	1	PD20OCT17	2229.00	2519.05	USD	7,251.25	
21JUL17	B	1	PD20OCT17	2228.00	2519.05	USD	7,276.25	
21JUL17	B	1	PD20OCT17	2240.50	2519.05	USD	6,963.75	
21JUL17	B	1	PD20OCT17	2244.50	2519.05	USD	6,863.75	
21JUL17	B	2	PD20OCT17	2245.00	2519.05	USD	13,702.50	
21JUL17	B	2	PD20OCT17	2246.50	2519.05	USD	13,627.50	
21JUL17	B	1	PD20OCT17	2245.50	2519.05	USD	6,838.75	
21JUL17	B	1	PD20OCT17	2241.00	2519.05	USD	6,951.25	
24JUL17	B	10	PD20OCT17	2259.70	2519.05	USD	64,837.50	
	NET	0 AVG BGHT	2249.86		SOLD 2227.33		-11,825.00	0.00
24JUL17	B	1	PD24OCT17	2255.00	2519.65	USD	6,616.25	
24JUL17	S	1	PD24OCT17	2260.00	2519.65	USD	-6,491.25	
	NET	0 AVG BGHT	2255.00		SOLD 2260.00		125.00	0.00
25JUL17	S	1	PD25OCT17	2297.50	2519.80	USD	-5,557.50	

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25JUL17	S	1	PD25OCT17	2298.50	2519.80	USD	-5,532.50	
25JUL17	B	1	PD25OCT17	2298.50	2519.80	USD	5,532.50	
25JUL17	B	1	PD25OCT17	2297.50	2519.80	USD	5,557.50	
	NET	0 AVG	BGHT 2298.00				0.00	0.00
26JUL17	B	1	PD26OCT17	2319.50	2519.95	USD	5,011.25	
26JUL17	S	1	PD26OCT17	2322.00	2519.95	USD	-4,948.75	
	NET	0 AVG	BGHT 2319.50				62.50	0.00
27JUL17	B	1	PD27OCT17	2327.50	2520.10	USD	4,815.00	
27JUL17	B	1	PD27OCT17	2299.50	2520.10	USD	5,515.00	
28JUL17	S	1	PD27OCT17	2299.00	2520.10	USD	-5,527.50	
28JUL17	S	1	PD27OCT17	2296.50	2520.10	USD	-5,590.00	
	NET	0 AVG	BGHT 2313.50				-787.50	0.00
02AUG17	B	1	PD02NOV17	2349.00	2521.00	USD	4,300.00	
02AUG17	S	1	PD02NOV17	2358.50	2521.00	USD	-4,062.50	
22SEP17	S	10	PD02NOV17	2487.57	2521.00	USD	-8,357.50	
26SEP17	S	3	PD02NOV17	2484.26	2521.00	USD	-2,755.50	
09OCT17	B	10	PD02NOV17	2501.04	2521.00	USD	4,990.00	
10OCT17	B	3	PD02NOV17	2547.16	2521.00	USD	-1,962.00	
	NET	0 AVG	BGHT 2500.06				-7,847.50	0.00
03AUG17	B	1	PD03NOV17	2361.00	2521.13	USD	4,003.25	
03AUG17	S	1	PD03NOV17	2368.00	2521.13	USD	-3,828.25	
03AUG17	B	1	PD03NOV17	2363.00	2521.13	USD	3,953.25	
03AUG17	B	2	PD03NOV17	2361.50	2521.13	USD	7,981.50	
03AUG17	B	1	PD03NOV17	2360.00	2521.13	USD	4,028.25	
03AUG17	B	1	PD03NOV17	2356.00	2521.13	USD	4,128.25	
03AUG17	S	1	PD03NOV17	2353.50	2521.13	USD	-4,190.75	
03AUG17	S	1	PD03NOV17	2359.00	2521.13	USD	-4,053.25	
03AUG17	B	1	PD03NOV17	2355.50	2521.13	USD	4,140.75	
03AUG17	B	1	PD03NOV17	2353.50	2521.13	USD	4,190.75	
03AUG17	B	1	PD03NOV17	2355.00	2521.13	USD	4,153.25	
03AUG17	S	1	PD03NOV17	2361.00	2521.13	USD	-4,003.25	
03AUG17	S	2	PD03NOV17	2361.50	2521.13	USD	-7,981.50	
03AUG17	S	1	PD03NOV17	2364.50	2521.13	USD	-3,915.75	
04AUG17	S	1	PD03NOV17	2364.50	2521.13	USD	-3,915.75	
04AUG17	S	1	PD03NOV17	2374.50	2521.13	USD	-3,665.75	
04AUG17	S	1	PD03NOV17	2371.50	2521.13	USD	-3,740.75	
04AUG17	S	1	PD03NOV17	2369.00	2521.13	USD	-3,803.25	
04AUG17	S	1	PD03NOV17	2372.00	2521.13	USD	-3,728.25	
04AUG17	B	1	PD03NOV17	2372.00	2521.13	USD	3,728.25	
04AUG17	S	1	PD03NOV17	2373.00	2521.13	USD	-3,703.25	
04AUG17	S	2	PD03NOV17	2373.50	2521.13	USD	-7,381.50	
04AUG17	B	1	PD03NOV17	2366.00	2521.13	USD	3,878.25	
04AUG17	B	1	PD03NOV17	2366.50	2521.13	USD	3,865.75	
04AUG17	B	1	PD03NOV17	2368.00	2521.13	USD	3,828.25	
04AUG17	B	1	PD03NOV17	2359.50	2521.13	USD	4,040.75	
04AUG17	S	1	PD03NOV17	2359.00	2521.13	USD	-4,053.25	
04AUG17	B	1	PD03NOV17	2357.00	2521.13	USD	4,103.25	
04AUG17	B	1	PD03NOV17	2360.50	2521.13	USD	4,015.75	
	NET	0 AVG	BGHT 2361.03				2,075.00	0.00
07AUG17	S	1	PD07NOV17	2358.00	2521.67	USD	-4,091.75	
07AUG17	S	1	PD07NOV17	2355.00	2521.67	USD	-4,166.75	
07AUG17	S	3	PD07NOV17	2358.50	2521.67	USD	-12,237.75	
07AUG17	S	1	PD07NOV17	2359.00	2521.67	USD	-4,066.75	
07AUG17	S	3	PD07NOV17	2359.50	2521.67	USD	-12,162.75	
07AUG17	S	1	PD07NOV17	2360.50	2521.67	USD	-4,029.25	
07AUG17	S	1	PD07NOV17	2361.50	2521.67	USD	-4,004.25	

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07AUG17	S	1	PD07NOV17	2367.00	2521.67	USD	-3,866.75	
07AUG17	S	1	PD07NOV17	2368.00	2521.67	USD	-3,841.75	
07AUG17	S	1	PD07NOV17	2370.00	2521.67	USD	-3,791.75	
07AUG17	S	1	PD07NOV17	2366.00	2521.67	USD	-3,891.75	
07AUG17	B	1	PD07NOV17	2365.50	2521.67	USD	3,904.25	
07AUG17	S	1	PD07NOV17	2369.50	2521.67	USD	-3,804.25	
07AUG17	B	1	PD07NOV17	2373.50	2521.67	USD	3,704.25	
07AUG17	S	1	PD07NOV17	2379.00	2521.67	USD	-3,566.75	
07AUG17	S	1	PD07NOV17	2384.00	2521.67	USD	-3,441.75	
07AUG17	B	1	PD07NOV17	2383.50	2521.67	USD	3,454.25	
07AUG17	B	1	PD07NOV17	2388.50	2521.67	USD	3,329.25	
07AUG17	B	1	PD07NOV17	2384.00	2521.67	USD	3,441.75	
07AUG17	B	1	PD07NOV17	2385.00	2521.67	USD	3,416.75	
07AUG17	B	1	PD07NOV17	2387.00	2521.67	USD	3,366.75	
07AUG17	B	2	PD07NOV17	2387.50	2521.67	USD	6,708.50	
07AUG17	B	1	PD07NOV17	2380.00	2521.67	USD	3,541.75	
07AUG17	B	1	PD07NOV17	2380.50	2521.67	USD	3,529.25	
07AUG17	B	1	PD07NOV17	2378.50	2521.67	USD	3,579.25	
07AUG17	B	1	PD07NOV17	2382.00	2521.67	USD	3,491.75	
07AUG17	B	5	PD07NOV17	2381.00	2521.67	USD	17,583.75	
07AUG17	B	1	PD07NOV17	2376.00	2521.67	USD	3,641.75	
07AUG17	S	1	PD07NOV17	2386.00	2521.67	USD	-3,391.75	
07AUG17	B	3	PD07NOV17	2381.50	2521.67	USD	10,512.75	
07AUG17	B	1	PD07NOV17	2379.00	2521.67	USD	3,566.75	
07AUG17	B	1	PD07NOV17	2365.00	2521.67	USD	3,916.75	
07AUG17	B	1	PD07NOV17	2362.50	2521.67	USD	3,979.25	
07AUG17	S	1	PD07NOV17	2364.00	2521.67	USD	-3,941.75	
07AUG17	S	3	PD07NOV17	2364.50	2521.67	USD	-11,787.75	
07AUG17	S	1	PD07NOV17	2365.50	2521.67	USD	-3,904.25	
07AUG17	S	1	PD07NOV17	2366.50	2521.67	USD	-3,879.25	
07AUG17	S	1	PD07NOV17	2368.50	2521.67	USD	-3,829.25	
07AUG17	B	1	PD07NOV17	2369.00	2521.67	USD	3,816.75	
	NET	0AVG BGHT 2379.38	SOLD 2365.21				-9,212.50	0.00
08AUG17	B	1	PD08NOV17	2373.00	2521.81	USD	3,720.25	
08AUG17	B	1	PD08NOV17	2375.50	2521.81	USD	3,657.75	
08AUG17	B	1	PD08NOV17	2370.50	2521.81	USD	3,782.75	
08AUG17	B	1	PD08NOV17	2369.50	2521.81	USD	3,807.75	
08AUG17	B	1	PD08NOV17	2368.00	2521.81	USD	3,845.25	
08AUG17	B	1	PD08NOV17	2365.50	2521.81	USD	3,907.75	
08AUG17	B	1	PD08NOV17	2364.00	2521.81	USD	3,945.25	
08AUG17	B	1	PD08NOV17	2363.50	2521.81	USD	3,957.75	
08AUG17	S	1	PD08NOV17	2372.00	2521.81	USD	-3,745.25	
08AUG17	S	1	PD08NOV17	2371.00	2521.81	USD	-3,770.25	
08AUG17	B	1	PD08NOV17	2367.00	2521.81	USD	3,870.25	
08AUG17	S	2	PD08NOV17	2367.00	2521.81	USD	-7,740.50	
08AUG17	S	1	PD08NOV17	2367.50	2521.81	USD	-3,857.75	
08AUG17	B	2	PD08NOV17	2368.50	2521.81	USD	7,665.50	
08AUG17	B	1	PD08NOV17	2367.50	2521.81	USD	3,857.75	
08AUG17	B	1	PD08NOV17	2369.00	2521.81	USD	3,820.25	
08AUG17	S	1	PD08NOV17	2366.50	2521.81	USD	-3,882.75	
08AUG17	S	1	PD08NOV17	2348.00	2521.81	USD	-4,345.25	
08AUG17	S	1	PD08NOV17	2354.50	2521.81	USD	-4,182.75	
08AUG17	S	1	PD08NOV17	2346.00	2521.81	USD	-4,395.25	
08AUG17	S	2	PD08NOV17	2349.00	2521.81	USD	-8,640.50	
08AUG17	S	2	PD08NOV17	2352.50	2521.81	USD	-8,465.50	
	NET	0AVG BGHT 2368.46	SOLD 2358.65				-3,187.50	0.00
09AUG17	B	1	PD09NOV17	2415.50	2521.94	USD	2,661.00	

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09AUG17	B	1	PD09NOV17	2415.00	2521.94	USD	2,673.50	
09AUG17	S	1	PD09NOV17	2410.50	2521.94	USD	-2,786.00	
09AUG17	B	1	PD09NOV17	2398.00	2521.94	USD	3,098.50	
09AUG17	B	1	PD09NOV17	2396.50	2521.94	USD	3,136.00	
09AUG17	S	2	PD09NOV17	2391.50	2521.94	USD	-6,522.00	
09AUG17	S	1	PD09NOV17	2392.00	2521.94	USD	-3,248.50	
09AUG17	B	1	PD09NOV17	2399.50	2521.94	USD	3,061.00	
09AUG17	S	1	PD09NOV17	2376.00	2521.94	USD	-3,648.50	
09AUG17	S	1	PD09NOV17	2403.00	2521.94	USD	-2,973.50	
09AUG17	B	1	PD09NOV17	2389.50	2521.94	USD	3,311.00	
09AUG17	S	1	PD09NOV17	2384.50	2521.94	USD	-3,436.00	
09AUG17	B	1	PD09NOV17	2371.50	2521.94	USD	3,761.00	
	NET	0 AVG	BGHT 2397.93				-912.50	0.00
			SOLD 2392.71					
10AUG17	S	1	PD10NOV17	2380.00	2522.08	USD	-3,552.00	
10AUG17	S	1	PD10NOV17	2381.00	2522.08	USD	-3,527.00	
10AUG17	S	1	PD10NOV17	2382.00	2522.08	USD	-3,502.00	
10AUG17	S	1	PD10NOV17	2380.50	2522.08	USD	-3,539.50	
10AUG17	S	1	PD10NOV17	2379.50	2522.08	USD	-3,564.50	
10AUG17	B	1	PD10NOV17	2370.00	2522.08	USD	3,802.00	
10AUG17	B	2	PD10NOV17	2370.50	2522.08	USD	7,579.00	
10AUG17	B	1	PD10NOV17	2369.00	2522.08	USD	3,827.00	
10AUG17	B	1	PD10NOV17	2376.00	2522.08	USD	3,652.00	
10AUG17	B	1	PD10NOV17	2381.00	2522.08	USD	3,527.00	
10AUG17	B	1	PD10NOV17	2378.50	2522.08	USD	3,589.50	
10AUG17	B	1	PD10NOV17	2378.00	2522.08	USD	3,602.00	
10AUG17	S	1	PD10NOV17	2378.00	2522.08	USD	-3,602.00	
10AUG17	S	1	PD10NOV17	2376.00	2522.08	USD	-3,652.00	
10AUG17	S	1	PD10NOV17	2374.50	2522.08	USD	-3,689.50	
10AUG17	S	1	PD10NOV17	2372.50	2522.08	USD	-3,739.50	
10AUG17	S	1	PD10NOV17	2373.50	2522.08	USD	-3,714.50	
10AUG17	S	1	PD10NOV17	2369.50	2522.08	USD	-3,814.50	
10AUG17	S	1	PD10NOV17	2366.00	2522.08	USD	-3,902.00	
10AUG17	B	1	PD10NOV17	2360.50	2522.08	USD	4,039.50	
10AUG17	S	1	PD10NOV17	2358.50	2522.08	USD	-4,089.50	
10AUG17	S	1	PD10NOV17	2364.00	2522.08	USD	-3,952.00	
10AUG17	B	1	PD10NOV17	2375.00	2522.08	USD	3,677.00	
10AUG17	B	1	PD10NOV17	2368.50	2522.08	USD	3,839.50	
11AUG17	S	1	PD10NOV17	2342.50	2522.08	USD	-4,489.50	
11AUG17	B	1	PD10NOV17	2338.00	2522.08	USD	4,602.00	
11AUG17	B	1	PD10NOV17	2336.50	2522.08	USD	4,639.50	
11AUG17	B	1	PD10NOV17	2339.00	2522.08	USD	4,577.00	
11AUG17	S	1	PD10NOV17	2348.00	2522.08	USD	-4,352.00	
11AUG17	S	1	PD10NOV17	2349.00	2522.08	USD	-4,327.00	
11AUG17	S	1	PD10NOV17	2349.50	2522.08	USD	-4,314.50	
11AUG17	B	1	PD10NOV17	2346.50	2522.08	USD	4,389.50	
11AUG17	S	1	PD10NOV17	2345.50	2522.08	USD	-4,414.50	
11AUG17	B	1	PD10NOV17	2340.00	2522.08	USD	4,552.00	
11AUG17	B	1	PD10NOV17	2344.50	2522.08	USD	4,439.50	
11AUG17	S	1	PD10NOV17	2351.50	2522.08	USD	-4,264.50	
11AUG17	S	1	PD10NOV17	2355.00	2522.08	USD	-4,177.00	
11AUG17	S	2	PD10NOV17	2354.50	2522.08	USD	-8,379.00	
11AUG17	S	1	PD10NOV17	2353.50	2522.08	USD	-4,214.50	
11AUG17	B	1	PD10NOV17	2341.00	2522.08	USD	4,527.00	
11AUG17	B	1	PD10NOV17	2330.50	2522.08	USD	4,789.50	
11AUG17	B	1	PD10NOV17	2331.00	2522.08	USD	4,777.00	
11AUG17	B	1	PD10NOV17	2334.50	2522.08	USD	4,689.50	
11AUG17	B	1	PD10NOV17	2339.50	2522.08	USD	4,564.50	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0112A

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
11AUG17	B	1	PD10NOV17	2335.00	2522.08	USD	4,677.00	
11AUG17	S	1	PD10NOV17	2355.00	2522.08	USD	-4,177.00	
11AUG17	B	2	PD10NOV17	2336.00	2522.08	USD	9,304.00	
11AUG17	B	1	PD10NOV17	2328.50	2522.08	USD	4,839.50	
11AUG17	B	1	PD10NOV17	2329.00	2522.08	USD	4,827.00	
11AUG17	S	1	PD10NOV17	2333.00	2522.08	USD	-4,727.00	
11AUG17	S	1	PD10NOV17	2330.00	2522.08	USD	-4,802.00	
	NET	0 AVG BGHT 2351.22	SOLD 2361.37				6,850.00	0.00
14AUG17	S	1	PD14NOV17	2348.50	2522.62	USD	-4,353.00	
14AUG17	B	1	PD14NOV17	2341.00	2522.62	USD	4,540.50	
	NET	0 AVG BGHT 2341.00	SOLD 2348.50				187.50	0.00
24AUG17	B	1	PD24NOV17	2393.00	2523.81	USD	3,270.25	
24AUG17	S	1	PD24NOV17	2361.50	2523.81	USD	-4,057.75	
	NET	0 AVG BGHT 2393.00	SOLD 2361.50				-787.50	0.00
01SEP17	B	1	PD01DEC17	2386.00	2524.87	USD	3,471.75	
01SEP17	S	1	PD01DEC17	2396.50	2524.87	USD	-3,209.25	
	NET	0 AVG BGHT 2386.00	SOLD 2396.50				262.50	0.00
04SEP17	B	1	PD04DEC17	2399.50	2525.33	USD	3,145.75	
04SEP17	B	1	PD04DEC17	2406.50	2525.33	USD	2,970.75	
04SEP17	B	1	PD04DEC17	2400.00	2525.33	USD	3,133.25	
04SEP17	B	1	PD04DEC17	2393.50	2525.33	USD	3,295.75	
04SEP17	B	1	PD04DEC17	2395.00	2525.33	USD	3,258.25	
04SEP17	B	1	PD04DEC17	2386.00	2525.33	USD	3,483.25	
04SEP17	B	1	PD04DEC17	2394.50	2525.33	USD	3,270.75	
04SEP17	B	1	PD04DEC17	2391.00	2525.33	USD	3,358.25	
04SEP17	S	1	PD04DEC17	2395.00	2525.33	USD	-3,258.25	
04SEP17	S	2	PD04DEC17	2393.50	2525.33	USD	-6,591.50	
04SEP17	S	1	PD04DEC17	2394.00	2525.33	USD	-3,283.25	
04SEP17	S	1	PD04DEC17	2393.00	2525.33	USD	-3,308.25	
06SEP17	S	3	PD04DEC17	2339.45	2525.33	USD	-13,941.00	
	NET	0 AVG BGHT 2395.75	SOLD 2373.42				-4,466.25	0.00
05SEP17	S	1	PD05DEC17	2398.50	2525.48	USD	-3,174.50	
05SEP17	S	1	PD05DEC17	2405.50	2525.48	USD	-2,999.50	
05SEP17	B	1	PD05DEC17	2386.50	2525.48	USD	3,474.50	
05SEP17	B	1	PD05DEC17	2375.00	2525.48	USD	3,762.00	
05SEP17	S	1	PD05DEC17	2374.00	2525.48	USD	-3,787.00	
05SEP17	B	1	PD05DEC17	2373.00	2525.48	USD	3,812.00	
05SEP17	B	1	PD05DEC17	2372.50	2525.48	USD	3,824.50	
05SEP17	S	1	PD05DEC17	2355.00	2525.48	USD	-4,262.00	
05SEP17	S	1	PD05DEC17	2345.50	2525.48	USD	-4,499.50	
05SEP17	S	1	PD05DEC17	2341.50	2525.48	USD	-4,599.50	
05SEP17	S	1	PD05DEC17	2328.50	2525.48	USD	-4,924.50	
06SEP17	B	3	PD05DEC17	2339.93	2525.48	USD	13,916.25	
	NET	0 AVG BGHT 2360.97	SOLD 2364.07				542.75	0.00
12SEP17	S	1	PD12DEC17	2267.00	2526.54	USD	-6,488.50	
12SEP17	S	1	PD12DEC17	2289.00	2526.54	USD	-5,938.50	
12SEP17	S	1	PD12DEC17	2288.00	2526.54	USD	-5,963.50	
12SEP17	B	3	PD12DEC17	2308.00	2526.54	USD	16,390.50	
	NET	0 AVG BGHT 2308.00	SOLD 2281.33				-2,000.00	0.00
13SEP17	S	1	PD13DEC17	2298.50	2526.69	USD	-5,704.75	
13SEP17	S	1	PD13DEC17	2296.00	2526.69	USD	-5,767.25	
13SEP17	S	2	PD13DEC17	2297.00	2526.69	USD	-11,484.50	
13SEP17	B	1	PD13DEC17	2292.00	2526.69	USD	5,867.25	
13SEP17	B	1	PD13DEC17	2291.00	2526.69	USD	5,892.25	
13SEP17	B	1	PD13DEC17	2286.00	2526.69	USD	6,017.25	

OPEN POSITION STATEMENT



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SAP0112A

13th October 2017

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Suite A, 105 Piccadilly
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backoffice@sapiencap.com

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME LEAD 25 TONNES. THIS IS AN</u>							
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13SEP17	B	2	PD13DEC17	2285.50	2526.69	USD	12,059.50	
13SEP17	S	1	PD13DEC17	2291.00	2526.69	USD	-5,892.25	
13SEP17	B	1	PD13DEC17	2289.00	2526.69	USD	5,942.25	
13SEP17	S	2	PD13DEC17	2295.50	2526.69	USD	-11,559.50	
13SEP17	S	1	PD13DEC17	2290.00	2526.69	USD	-5,917.25	
13SEP17	S	1	PD13DEC17	2291.50	2526.69	USD	-5,879.75	
13SEP17	S	1	PD13DEC17	2299.50	2526.69	USD	-5,679.75	
13SEP17	S	1	PD13DEC17	2300.50	2526.69	USD	-5,654.75	
13SEP17	S	1	PD13DEC17	2303.50	2526.69	USD	-5,579.75	
13SEP17	B	1	PD13DEC17	2276.50	2526.69	USD	6,254.75	
13SEP17	B	1	PD13DEC17	2293.00	2526.69	USD	5,842.25	
13SEP17	B	2	PD13DEC17	2297.50	2526.69	USD	11,459.50	
13SEP17	S	1	PD13DEC17	2299.00	2526.69	USD	-5,692.25	
13SEP17	B	2	PD13DEC17	2299.00	2526.69	USD	11,384.50	
13SEP17	B	1	PD13DEC17	2299.00	2526.69	USD	5,692.25	
	NET	0AVG BGHT 2291.58	SOLD 2296.50				1,600.00	0.00
14SEP17	S	1	PD14DEC17	2290.50	2526.84	USD	-5,908.50	
14SEP17	B	1	PD14DEC17	2286.00	2526.84	USD	6,021.00	
14SEP17	S	1	PD14DEC17	2286.00	2526.84	USD	-6,021.00	
14SEP17	S	1	PD14DEC17	2287.00	2526.84	USD	-5,996.00	
14SEP17	S	1	PD14DEC17	2288.50	2526.84	USD	-5,958.50	
14SEP17	B	1	PD14DEC17	2275.50	2526.84	USD	6,283.50	
14SEP17	B	1	PD14DEC17	2277.00	2526.84	USD	6,246.00	
14SEP17	S	1	PD14DEC17	2277.50	2526.84	USD	-6,233.50	
14SEP17	B	1	PD14DEC17	2275.50	2526.84	USD	6,283.50	
14SEP17	B	2	PD14DEC17	2317.00	2526.84	USD	10,492.00	
14SEP17	S	1	PD14DEC17	2322.50	2526.84	USD	-5,108.50	
14SEP17	B	1	PD14DEC17	2323.50	2526.84	USD	5,083.50	
19SEP17	S	1	PD14DEC17	2420.00	2526.84	USD	-2,671.00	
	NET	0AVG BGHT 2295.93	SOLD 2310.29				2,512.50	0.00
15SEP17	B	1	PD15DEC17	2330.00	2526.99	USD	4,924.75	
15SEP17	B	1	PD15DEC17	2327.00	2526.99	USD	4,999.75	
15SEP17	B	1	PD15DEC17	2327.50	2526.99	USD	4,987.25	
15SEP17	S	1	PD15DEC17	2328.00	2526.99	USD	-4,974.75	
15SEP17	S	1	PD15DEC17	2329.00	2526.99	USD	-4,949.75	
15SEP17	B	2	PD15DEC17	2324.50	2526.99	USD	10,124.50	
15SEP17	B	1	PD15DEC17	2323.50	2526.99	USD	5,087.25	
15SEP17	S	1	PD15DEC17	2320.50	2526.99	USD	-5,162.25	
15SEP17	B	1	PD15DEC17	2313.50	2526.99	USD	5,337.25	
15SEP17	S	2	PD15DEC17	2323.00	2526.99	USD	-10,199.50	
15SEP17	S	2	PD15DEC17	2330.50	2526.99	USD	-9,824.50	
15SEP17	S	1	PD15DEC17	2333.00	2526.99	USD	-4,849.75	
15SEP17	S	1	PD15DEC17	2334.50	2526.99	USD	-4,812.25	
15SEP17	S	2	PD15DEC17	2336.50	2526.99	USD	-9,524.50	
15SEP17	S	1	PD15DEC17	2341.50	2526.99	USD	-4,637.25	
15SEP17	S	1	PD15DEC17	2351.50	2526.99	USD	-4,387.25	
15SEP17	B	1	PD15DEC17	2327.50	2526.99	USD	4,987.25	
15SEP17	B	1	PD15DEC17	2312.00	2526.99	USD	5,374.75	
15SEP17	B	1	PD15DEC17	2347.00	2526.99	USD	4,499.75	
15SEP17	S	1	PD15DEC17	2346.00	2526.99	USD	-4,524.75	
15SEP17	B	3	PD15DEC17	2345.00	2526.99	USD	13,649.25	
19SEP17	B	1	PD15DEC17	2420.45	2526.99	USD	2,663.50	
	NET	0AVG BGHT 2336.60	SOLD 2333.14				-1,211.25	0.00
21SEP17	S	1	PD21DEC17	2429.50	2526.75	USD	-2,431.25	
21SEP17	B	1	PD21DEC17	2459.50	2526.75	USD	1,681.25	
21SEP17	S	1	PD21DEC17	2463.00	2526.75	USD	-1,593.75	

OPEN POSITION STATEMENT



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SAP0112A

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	<u>LME LEAD 25 TONNES. THIS IS AN</u>							
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21SEP17	S	1	PD21DEC17	2459.50	2526.75	USD	-1,681.25	
21SEP17	S	1	PD21DEC17	2464.00	2526.75	USD	-1,568.75	
21SEP17	B	1	PD21DEC17	2467.00	2526.75	USD	1,493.75	
21SEP17	B	1	PD21DEC17	2466.00	2526.75	USD	1,518.75	
21SEP17	B	1	PD21DEC17	2480.50	2526.75	USD	1,156.25	
21SEP17	S	1	PD21DEC17	2480.00	2526.75	USD	-1,168.75	
21SEP17	B	1	PD21DEC17	2465.00	2526.75	USD	1,543.75	
	NET	0	AVG BGHT 2467.60	SOLD 2459.20			-1,050.00	0.00
22SEP17	B	2	PD22DEC17	2423.50	2526.90	USD	5,170.00	
22SEP17	B	1	PD22DEC17	2427.50	2526.90	USD	2,485.00	
22SEP17	B	2	PD22DEC17	2429.00	2526.90	USD	4,895.00	
22SEP17	B	1	PD22DEC17	2410.00	2526.90	USD	2,922.50	
22SEP17	B	4	PD22DEC17	2409.00	2526.90	USD	11,790.00	
22SEP17	B	2	PD22DEC17	2406.50	2526.90	USD	6,020.00	
22SEP17	B	1	PD22DEC17	2407.00	2526.90	USD	2,997.50	
22SEP17	B	1	PD22DEC17	2399.50	2526.90	USD	3,185.00	
22SEP17	S	1	PD22DEC17	2389.50	2526.90	USD	-3,435.00	
22SEP17	S	1	PD22DEC17	2391.50	2526.90	USD	-3,385.00	
22SEP17	S	2	PD22DEC17	2387.00	2526.90	USD	-6,995.00	
22SEP17	S	1	PD22DEC17	2393.00	2526.90	USD	-3,347.50	
22SEP17	S	2	PD22DEC17	2401.00	2526.90	USD	-6,295.00	
22SEP17	S	1	PD22DEC17	2395.50	2526.90	USD	-3,285.00	
22SEP17	S	1	PD22DEC17	2396.50	2526.90	USD	-3,260.00	
22SEP17	S	1	PD22DEC17	2405.00	2526.90	USD	-3,047.50	
22SEP17	S	3	PD22DEC17	2402.00	2526.90	USD	-9,367.50	
22SEP17	S	1	PD22DEC17	2396.00	2526.90	USD	-3,272.50	
22SEP17	S	1	PD22DEC17	2477.50	2526.90	USD	-1,235.00	
22SEP17	S	1	PD22DEC17	2476.00	2526.90	USD	-1,272.50	
22SEP17	S	1	PD22DEC17	2472.00	2526.90	USD	-1,372.50	
22SEP17	B	1	PD22DEC17	2472.50	2526.90	USD	1,360.00	
22SEP17	S	1	PD22DEC17	2472.50	2526.90	USD	-1,360.00	
22SEP17	S	1	PD22DEC17	2479.00	2526.90	USD	-1,197.50	
22SEP17	S	2	PD22DEC17	2484.00	2526.90	USD	-2,145.00	
22SEP17	S	1	PD22DEC17	2482.50	2526.90	USD	-1,110.00	
22SEP17	S	2	PD22DEC17	2480.00	2526.90	USD	-2,345.00	
22SEP17	S	1	PD22DEC17	2481.50	2526.90	USD	-1,135.00	
22SEP17	S	1	PD22DEC17	2488.50	2526.90	USD	-960.00	
22SEP17	S	2	PD22DEC17	2487.00	2526.90	USD	-1,995.00	
22SEP17	B	10	PD22DEC17	2483.20	2526.90	USD	10,925.00	
26SEP17	B	3	PD22DEC17	2488.87	2526.90	USD	2,852.25	
	NET	0	AVG BGHT 2448.90	SOLD 2438.59			-7,215.25	0.00
25SEP17	S	1	PD27DEC17	2498.50	2527.64	USD	-728.50	
25SEP17	S	1	PD27DEC17	2495.50	2527.64	USD	-803.50	
25SEP17	B	1	PD27DEC17	2474.00	2527.64	USD	1,341.00	
25SEP17	B	1	PD27DEC17	2480.50	2527.64	USD	1,178.50	
26SEP17	S	1	PD27DEC17	2491.50	2527.64	USD	-903.50	
26SEP17	S	1	PD27DEC17	2496.00	2527.64	USD	-791.00	
26SEP17	S	1	PD27DEC17	2498.00	2527.64	USD	-741.00	
26SEP17	S	1	PD27DEC17	2508.50	2527.64	USD	-478.50	
26SEP17	S	1	PD27DEC17	2511.50	2527.64	USD	-403.50	
26SEP17	B	1	PD27DEC17	2497.50	2527.64	USD	753.50	
26SEP17	B	1	PD27DEC17	2495.50	2527.64	USD	803.50	
26SEP17	B	1	PD27DEC17	2492.50	2527.64	USD	878.50	
26SEP17	B	1	PD27DEC17	2490.00	2527.64	USD	941.00	
26SEP17	S	1	PD27DEC17	2485.50	2527.64	USD	-1,053.50	
27SEP17	B	1	PD27DEC17	2477.00	2527.64	USD	1,266.00	
27SEP17	S	1	PD27DEC17	2475.00	2527.64	USD	-1,316.00	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0112A

13th October 2017

Sapien Capital Limited
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backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
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Date	Open Position				Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME LEAD 25 TONNES. THIS IS AN</u>								
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04OCT17	B	1	PD27DEC17		2559.71	2527.64	USD	-801.75	
10OCT17	B	1	PD27DEC17		2554.00	2527.64	USD	-659.00	
	NET	0AVG	BGHT 2502.30	SOLD 2495.56				-1,517.75	0.00
28SEP17	B	1	PD28DEC17		2460.00	2527.78	USD	1,694.50	
28SEP17	S	1	PD28DEC17		2462.00	2527.78	USD	-1,644.50	
28SEP17	B	1	PD28DEC17		2474.50	2527.78	USD	1,332.00	
04OCT17	S	1	PD28DEC17		2559.55	2527.78	USD	794.25	
	NET	0AVG	BGHT 2467.25	SOLD 2510.78				2,176.25	0.00
29SEP17	B	2	PD29DEC17		2486.00	2527.93	USD	2,096.50	
29SEP17	B	2	PD29DEC17		2486.50	2527.93	USD	2,071.50	
29SEP17	B	1	PD29DEC17		2485.50	2527.93	USD	1,060.75	
29SEP17	S	1	PD29DEC17		2495.00	2527.93	USD	-823.25	
29SEP17	S	1	PD29DEC17		2496.50	2527.93	USD	-785.75	
29SEP17	S	4	PD29DEC17		2497.50	2527.93	USD	-3,043.00	
29SEP17	B	1	PD29DEC17		2495.50	2527.93	USD	810.75	
	NET	0AVG	BGHT 2487.67	SOLD 2496.92				1,387.50	0.00
03OCT17	S	1	PD03JAN18		2523.50	2528.67	USD	-129.25	
03OCT17	S	1	PD03JAN18		2522.00	2528.67	USD	-166.75	
03OCT17	S	1	PD03JAN18		2523.00	2528.67	USD	-141.75	
03OCT17	S	1	PD03JAN18		2524.00	2528.67	USD	-116.75	
03OCT17	B	1	PD03JAN18		2525.00	2528.67	USD	91.75	
03OCT17	B	1	PD03JAN18		2526.50	2528.67	USD	54.25	
03OCT17	B	1	PD03JAN18		2527.00	2528.67	USD	41.75	
03OCT17	B	1	PD03JAN18		2528.00	2528.67	USD	16.75	
	NET	0AVG	BGHT 2526.63	SOLD 2523.13				-350.00	0.00
04OCT17	B	1	PD04JAN18		2544.50	2528.82	USD	-392.00	
04OCT17	S	1	PD04JAN18		2549.00	2528.82	USD	504.50	
	NET	0AVG	BGHT 2544.50	SOLD 2549.00				112.50	0.00
05OCT17	B	1	PD05JAN18		2545.50	2528.97	USD	-413.25	
05OCT17	B	1	PD05JAN18		2544.00	2528.97	USD	-375.75	
05OCT17	S	2	PD05JAN18		2545.00	2528.97	USD	801.50	
05OCT17	B	1	PD05JAN18		2546.00	2528.97	USD	-425.75	
05OCT17	S	1	PD05JAN18		2545.50	2528.97	USD	413.25	
05OCT17	S	1	PD05JAN18		2584.00	2528.97	USD	1,375.75	
05OCT17	S	1	PD05JAN18		2582.50	2528.97	USD	1,338.25	
05OCT17	B	1	PD05JAN18		2582.50	2528.97	USD	-1,338.25	
05OCT17	S	1	PD05JAN18		2590.00	2528.97	USD	1,525.75	
05OCT17	B	1	PD05JAN18		2590.50	2528.97	USD	-1,538.25	
05OCT17	S	2	PD05JAN18		2589.00	2528.97	USD	3,001.50	
06OCT17	S	1	PD05JAN18		2580.50	2528.97	USD	1,288.25	
06OCT17	S	1	PD05JAN18		2580.00	2528.97	USD	1,275.75	
06OCT17	B	1	PD05JAN18		2577.00	2528.97	USD	-1,200.75	
06OCT17	B	1	PD05JAN18		2579.00	2528.97	USD	-1,250.75	
06OCT17	B	1	PD05JAN18		2579.50	2528.97	USD	-1,263.25	
06OCT17	B	2	PD05JAN18		2581.00	2528.97	USD	-2,601.50	
06OCT17	B	1	PD05JAN18		2575.00	2528.97	USD	-1,150.75	
06OCT17	B	1	PD05JAN18		2564.50	2528.97	USD	-888.25	
06OCT17	B	1	PD05JAN18		2561.50	2528.97	USD	-813.25	
06OCT17	S	1	PD05JAN18		2545.00	2528.97	USD	400.75	
06OCT17	S	1	PD05JAN18		2543.50	2528.97	USD	363.25	
10OCT17	S	1	PD05JAN18		2555.73	2528.97	USD	669.00	
	NET	0AVG	BGHT 2569.77	SOLD 2567.29				-806.75	0.00
09OCT17	B	1	PD09JAN18		2508.50	2529.56	USD	526.50	
09OCT17	S	1	PD09JAN18		2506.50	2529.56	USD	-576.50	
09OCT17	B	1	PD09JAN18		2508.00	2529.56	USD	539.00	
09OCT17	S	1	PD09JAN18		2507.00	2529.56	USD	-564.00	

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	<u>LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
09OCT17	B	1	PD09JAN18	2495.50	2529.56	USD	851.50	
09OCT17	B	1	PD09JAN18	2493.00	2529.56	USD	914.00	
09OCT17	B	1	PD09JAN18	2495.00	2529.56	USD	864.00	
09OCT17	B	2	PD09JAN18	2492.50	2529.56	USD	1,853.00	
09OCT17	B	1	PD09JAN18	2491.50	2529.56	USD	951.50	
09OCT17	B	1	PD09JAN18	2489.00	2529.56	USD	1,014.00	
09OCT17	B	1	PD09JAN18	2507.50	2529.56	USD	551.50	
09OCT17	S	1	PD09JAN18	2514.50	2529.56	USD	-376.50	
09OCT17	S	10	PD09JAN18	2511.80	2529.56	USD	-4,440.00	
09OCT17	B	1	PD09JAN18	2501.00	2529.56	USD	714.00	
12OCT17	B	2	PD09JAN18	2556.63	2529.56	USD	-1,353.50	
	NET	0AVG BGHT 2506.71	SOLD 2511.23				1,468.50	0.00
10OCT17	B	1	PD10JAN18	2495.00	2529.70	USD	867.50	
10OCT17	B	2	PD10JAN18	2494.50	2529.70	USD	1,760.00	
10OCT17	B	1	PD10JAN18	2497.00	2529.70	USD	817.50	
10OCT17	S	1	PD10JAN18	2503.50	2529.70	USD	-655.00	
10OCT17	S	1	PD10JAN18	2497.50	2529.70	USD	-805.00	
10OCT17	B	1	PD10JAN18	2490.50	2529.70	USD	980.00	
10OCT17	B	1	PD10JAN18	2490.00	2529.70	USD	992.50	
10OCT17	B	1	PD10JAN18	2485.00	2529.70	USD	1,117.50	
10OCT17	S	2	PD10JAN18	2520.00	2529.70	USD	-485.00	
10OCT17	S	1	PD10JAN18	2516.50	2529.70	USD	-330.00	
10OCT17	B	2	PD10JAN18	2510.50	2529.70	USD	960.00	
10OCT17	B	1	PD10JAN18	2515.50	2529.70	USD	355.00	
10OCT17	S	3	PD10JAN18	2556.80	2529.70	USD	2,032.50	
12OCT17	S	2	PD10JAN18	2556.55	2529.70	USD	1,342.50	
	NET	0AVG BGHT 2498.30	SOLD 2534.10				8,950.00	0.00
12OCT17	B	1	PD12JAN18	2565.50	2530.00	USD	-887.50	
12OCT17	B	1	PD12JAN18	2565.00	2530.00	USD	-875.00	
12OCT17	B	1	PD12JAN18	2570.50	2530.00	USD	-1,012.50	
12OCT17	B	1	PD12JAN18	2564.50	2530.00	USD	-862.50	
12OCT17	S	1	PD12JAN18	2567.50	2530.00	USD	937.50	
12OCT17	S	1	PD12JAN18	2567.00	2530.00	USD	925.00	
12OCT17	S	1	PD12JAN18	2566.50	2530.00	USD	912.50	
12OCT17	S	1	PD12JAN18	2565.00	2530.00	USD	875.00	
13OCT17	B	1	PD12JAN18	2556.00	2530.00	USD	-650.00	
13OCT17	S	1	PD12JAN18	2552.50	2530.00	USD	562.50	
	NET	0AVG BGHT 2564.30	SOLD 2563.70				-75.00	0.00
	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
17JUL17	S	2	PD17OCT17	9625.00	11610.50	USD	-23,826.00	
17JUL17	S	1	PD17OCT17	9635.00	11610.50	USD	-11,853.00	
17JUL17	S	2	PD17OCT17	9640.00	11610.50	USD	-23,646.00	
17JUL17	S	2	PD17OCT17	9660.00	11610.50	USD	-23,406.00	
17JUL17	S	1	PD17OCT17	9695.00	11610.50	USD	-11,493.00	
17JUL17	S	1	PD17OCT17	9730.00	11610.50	USD	-11,283.00	
17JUL17	S	1	PD17OCT17	9720.00	11610.50	USD	-11,343.00	
17JUL17	B	1	PD17OCT17	9690.00	11610.50	USD	11,523.00	
17JUL17	S	5	PD17OCT17	9650.00	11610.50	USD	-58,815.00	
17JUL17	S	3	PD17OCT17	9655.00	11610.50	USD	-35,199.00	
17JUL17	B	1	PD17OCT17	9605.00	11610.50	USD	12,033.00	
17JUL17	B	1	PD17OCT17	9600.00	11610.50	USD	12,063.00	
17JUL17	B	2	PD17OCT17	9620.00	11610.50	USD	23,886.00	
17JUL17	B	1	PD17OCT17	9630.00	11610.50	USD	11,883.00	
17JUL17	B	1	PD17OCT17	9625.00	11610.50	USD	11,913.00	
18JUL17	B	8	PD17OCT17	9781.00	11610.50	USD	87,816.00	

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24JUL17	B	3	PD17OCT17	9777.34	11610.50	USD	32,996.88	
	NET	0 AVG	BGHT 9720.56				-6,750.12	0.00
18JUL17	B	1	PD18OCT17	9575.00	11610.50	USD	12,213.00	
18JUL17	B	1	PD18OCT17	9555.00	11610.50	USD	12,333.00	
18JUL17	S	2	PD18OCT17	9600.00	11610.50	USD	-24,126.00	
18JUL17	S	1	PD18OCT17	9610.00	11610.50	USD	-12,003.00	
18JUL17	S	1	PD18OCT17	9620.00	11610.50	USD	-11,943.00	
18JUL17	S	1	PD18OCT17	9640.00	11610.50	USD	-11,823.00	
18JUL17	S	1	PD18OCT17	9650.00	11610.50	USD	-11,763.00	
18JUL17	S	1	PD18OCT17	9635.00	11610.50	USD	-11,853.00	
18JUL17	S	2	PD18OCT17	9645.00	11610.50	USD	-23,586.00	
18JUL17	B	1	PD18OCT17	9650.00	11610.50	USD	11,763.00	
18JUL17	B	1	PD18OCT17	9645.00	11610.50	USD	11,793.00	
18JUL17	B	1	PD18OCT17	9695.00	11610.50	USD	11,493.00	
18JUL17	S	1	PD18OCT17	9705.00	11610.50	USD	-11,433.00	
18JUL17	S	1	PD18OCT17	9745.00	11610.50	USD	-11,193.00	
18JUL17	S	1	PD18OCT17	9750.00	11610.50	USD	-11,163.00	
18JUL17	B	1	PD18OCT17	9720.00	11610.50	USD	11,343.00	
18JUL17	B	1	PD18OCT17	9725.00	11610.50	USD	11,313.00	
18JUL17	B	1	PD18OCT17	9715.00	11610.50	USD	11,373.00	
01AUG17	B	4	PD18OCT17	10284.50	11610.50	USD	31,824.00	
	NET	0 AVG	BGHT 9868.17				-15,438.00	0.00
19JUL17	B	1	PD19OCT17	9695.00	11610.50	USD	11,493.00	
19JUL17	S	1	PD19OCT17	9765.00	11610.50	USD	-11,073.00	
19JUL17	B	2	PD19OCT17	9700.00	11610.50	USD	22,926.00	
19JUL17	B	1	PD19OCT17	9710.00	11610.50	USD	11,403.00	
24JUL17	S	3	PD19OCT17	9776.00	11610.50	USD	-33,021.00	
	NET	0 AVG	BGHT 9701.25				1,728.00	0.00
20JUL17	S	1	PD20OCT17	9625.00	11611.35	USD	-11,918.10	
20JUL17	S	1	PD20OCT17	9645.00	11611.35	USD	-11,798.10	
20JUL17	S	1	PD20OCT17	9640.00	11611.35	USD	-11,828.10	
20JUL17	B	1	PD20OCT17	9595.00	11611.35	USD	12,098.10	
20JUL17	B	1	PD20OCT17	9590.00	11611.35	USD	12,128.10	
20JUL17	S	1	PD20OCT17	9585.00	11611.35	USD	-12,158.10	
20JUL17	B	1	PD20OCT17	9580.00	11611.35	USD	12,188.10	
20JUL17	B	1	PD20OCT17	9540.00	11611.35	USD	12,428.10	
20JUL17	B	1	PD20OCT17	9500.00	11611.35	USD	12,668.10	
20JUL17	B	1	PD20OCT17	9490.00	11611.35	USD	12,728.10	
20JUL17	B	1	PD20OCT17	9475.00	11611.35	USD	12,818.10	
20JUL17	B	1	PD20OCT17	9470.00	11611.35	USD	12,848.10	
20JUL17	S	1	PD20OCT17	9515.00	11611.35	USD	-12,578.10	
21JUL17	S	1	PD20OCT17	9570.00	11611.35	USD	-12,248.10	
21JUL17	S	1	PD20OCT17	9580.00	11611.35	USD	-12,188.10	
21JUL17	S	3	PD20OCT17	9590.00	11611.35	USD	-36,384.30	
21JUL17	S	1	PD20OCT17	9605.00	11611.35	USD	-12,038.10	
21JUL17	S	1	PD20OCT17	9610.00	11611.35	USD	-12,008.10	
21JUL17	S	2	PD20OCT17	9635.00	11611.35	USD	-23,716.20	
21JUL17	S	2	PD20OCT17	9630.00	11611.35	USD	-23,776.20	
21JUL17	S	1	PD20OCT17	9640.00	11611.35	USD	-11,828.10	
21JUL17	B	1	PD20OCT17	9605.00	11611.35	USD	12,038.10	
21JUL17	B	1	PD20OCT17	9565.00	11611.35	USD	12,278.10	
21JUL17	S	1	PD20OCT17	9565.00	11611.35	USD	-12,278.10	
21JUL17	B	1	PD20OCT17	9520.00	11611.35	USD	12,548.10	
01AUG17	B	7	PD20OCT17	10285.29	11611.35	USD	55,694.52	
	NET	0 AVG	BGHT 9829.28				-24,282.18	0.00
24JUL17	S	1	PD24OCT17	9610.00	11614.76	USD	-12,028.56	

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24JUL17	S	1	PD240CT17	9640.00	11614.76	USD	-11,848.56	
24JUL17	S	1	PD240CT17	9650.00	11614.76	USD	-11,788.56	
24JUL17	S	1	PD240CT17	9655.00	11614.76	USD	-11,758.56	
24JUL17	S	1	PD240CT17	9685.00	11614.76	USD	-11,578.56	
24JUL17	S	1	PD240CT17	9695.00	11614.76	USD	-11,518.56	
24JUL17	S	1	PD240CT17	9700.00	11614.76	USD	-11,488.56	
24JUL17	S	1	PD240CT17	9710.00	11614.76	USD	-11,428.56	
24JUL17	S	1	PD240CT17	9725.00	11614.76	USD	-11,338.56	
24JUL17	S	1	PD240CT17	9655.00	11614.76	USD	-11,758.56	
01AUG17	B	10	PD240CT17	10286.86	11614.76	USD	79,674.00	
	NET	0 AVG BGHT 10286.86	SOLD 9672.50				-36,861.60	0.00
25JUL17	S	1	PD250CT17	9855.00	11615.61	USD	-10,563.66	
25JUL17	S	1	PD250CT17	9890.00	11615.61	USD	-10,353.66	
25JUL17	S	2	PD250CT17	9895.00	11615.61	USD	-20,647.32	
25JUL17	B	1	PD250CT17	9935.00	11615.61	USD	10,083.66	
01AUG17	B	3	PD250CT17	10287.25	11615.61	USD	23,910.48	
	NET	0 AVG BGHT 10199.19	SOLD 9883.75				-7,570.50	0.00
26JUL17	B	1	PD260CT17	10050.00	11616.46	USD	9,398.76	
26JUL17	B	2	PD260CT17	10040.00	11616.46	USD	18,917.52	
26JUL17	B	2	PD260CT17	10045.00	11616.46	USD	18,857.52	
26JUL17	B	1	PD260CT17	10035.00	11616.46	USD	9,488.76	
26JUL17	B	1	PD260CT17	9990.00	11616.46	USD	9,758.76	
26JUL17	B	1	PD260CT17	9905.00	11616.46	USD	10,268.76	
26JUL17	B	1	PD260CT17	9890.00	11616.46	USD	10,358.76	
26JUL17	B	2	PD260CT17	9885.00	11616.46	USD	20,777.52	
26JUL17	B	1	PD260CT17	9865.00	11616.46	USD	10,508.76	
26JUL17	S	1	PD260CT17	9925.00	11616.46	USD	-10,148.76	
26JUL17	S	1	PD260CT17	9940.00	11616.46	USD	-10,058.76	
26JUL17	B	1	PD260CT17	9970.00	11616.46	USD	9,878.76	
26JUL17	B	1	PD260CT17	9965.00	11616.46	USD	9,908.76	
26JUL17	B	1	PD260CT17	9955.00	11616.46	USD	9,968.76	
26JUL17	B	2	PD260CT17	9950.00	11616.46	USD	19,997.52	
26JUL17	B	1	PD260CT17	9940.00	11616.46	USD	10,058.76	
26JUL17	B	1	PD260CT17	9935.00	11616.46	USD	10,088.76	
26JUL17	S	2	PD260CT17	10015.00	11616.46	USD	-19,217.52	
26JUL17	S	1	PD260CT17	10005.00	11616.46	USD	-9,668.76	
26JUL17	S	2	PD260CT17	10045.00	11616.46	USD	-18,857.52	
26JUL17	B	1	PD260CT17	10005.00	11616.46	USD	9,668.76	
26JUL17	S	2	PD260CT17	10000.00	11616.46	USD	-19,397.52	
26JUL17	B	1	PD260CT17	9995.00	11616.46	USD	9,728.76	
01AUG17	S	12	PD260CT17	10286.64	11616.46	USD	-95,747.04	
	NET	0 AVG BGHT 9968.57	SOLD 10163.32				24,538.08	0.00
27JUL17	B	3	PD270CT17	10010.00	11617.31	USD	28,931.58	
27JUL17	B	1	PD270CT17	10080.00	11617.31	USD	9,223.86	
27JUL17	B	4	PD270CT17	10165.00	11617.31	USD	34,855.44	
27JUL17	S	1	PD270CT17	10190.00	11617.31	USD	-8,563.86	
27JUL17	B	3	PD270CT17	10135.00	11617.31	USD	26,681.58	
27JUL17	S	1	PD270CT17	10180.00	11617.31	USD	-8,623.86	
27JUL17	B	5	PD270CT17	10170.00	11617.31	USD	43,419.30	
27JUL17	B	3	PD270CT17	10150.00	11617.31	USD	26,411.58	
27JUL17	B	4	PD270CT17	10140.00	11617.31	USD	35,455.44	
28JUL17	B	1	PD270CT17	10090.00	11617.31	USD	9,163.86	
28JUL17	B	2	PD270CT17	10030.00	11617.31	USD	19,047.72	
28JUL17	S	1	PD270CT17	10125.00	11617.31	USD	-8,953.86	
28JUL17	S	1	PD270CT17	10130.00	11617.31	USD	-8,923.86	
28JUL17	S	2	PD270CT17	10135.00	11617.31	USD	-17,787.72	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0112A

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
28JUL17	S	2	PD27OCT17	10155.00	11617.31	USD	-17,547.72	
28JUL17	S	1	PD27OCT17	10180.00	11617.31	USD	-8,623.86	
28JUL17	S	2	PD27OCT17	10210.00	11617.31	USD	-16,887.72	
28JUL17	S	1	PD27OCT17	10205.00	11617.31	USD	-8,473.86	
28JUL17	B	2	PD27OCT17	10200.00	11617.31	USD	17,007.72	
28JUL17	B	1	PD27OCT17	10200.00	11617.31	USD	8,503.86	
28JUL17	B	2	PD27OCT17	10205.00	11617.31	USD	16,947.72	
28JUL17	S	1	PD27OCT17	10250.00	11617.31	USD	-8,203.86	
28JUL17	B	2	PD27OCT17	10275.00	11617.31	USD	16,107.72	
28JUL17	B	1	PD27OCT17	10280.00	11617.31	USD	8,023.86	
01AUG17	S	12	PD27OCT17	10287.04	11617.31	USD	-95,779.44	
03AUG17	S	9	PD27OCT17	10378.50	11617.31	USD	-66,895.74	
	NET	0 AVG	BGHT 10147.79	SOLD 10267.97			24,515.88	0.00
31JUL17	S	1	PD31OCT17	10325.00	11620.72	USD	-7,774.32	
31JUL17	B	2	PD31OCT17	10315.00	11620.72	USD	15,668.64	
31JUL17	S	2	PD31OCT17	10300.00	11620.72	USD	-15,848.64	
31JUL17	B	1	PD31OCT17	10280.00	11620.72	USD	8,044.32	
31JUL17	S	2	PD31OCT17	10320.00	11620.72	USD	-15,608.64	
31JUL17	B	1	PD31OCT17	10295.00	11620.72	USD	7,954.32	
31JUL17	B	1	PD31OCT17	10320.00	11620.72	USD	7,804.32	
31JUL17	S	2	PD31OCT17	10315.00	11620.72	USD	-15,668.64	
31JUL17	B	2	PD31OCT17	10275.00	11620.72	USD	16,148.64	
31JUL17	S	1	PD31OCT17	10270.00	11620.72	USD	-8,104.32	
31JUL17	B	1	PD31OCT17	10265.00	11620.72	USD	8,134.32	
31JUL17	B	1	PD31OCT17	10250.00	11620.72	USD	8,224.32	
31JUL17	B	2	PD31OCT17	10270.00	11620.72	USD	16,208.64	
31JUL17	B	2	PD31OCT17	10225.00	11620.72	USD	16,748.64	
31JUL17	B	1	PD31OCT17	10220.00	11620.72	USD	8,404.32	
03AUG17	S	2	PD31OCT17	10379.36	11620.72	USD	-14,896.32	
07AUG17	S	4	PD31OCT17	10396.85	11620.72	USD	-29,372.88	
	NET	0 AVG	BGHT 10271.43	SOLD 10343.65			6,066.72	0.00
01AUG17	B	1	PD01NOV17	10120.00	11621.57	USD	9,009.42	
01AUG17	S	1	PD01NOV17	10150.00	11621.57	USD	-8,829.42	
01AUG17	S	1	PD01NOV17	10265.00	11621.57	USD	-8,139.42	
01AUG17	S	1	PD01NOV17	10260.00	11621.57	USD	-8,169.42	
01AUG17	S	2	PD01NOV17	10270.00	11621.57	USD	-16,218.84	
01AUG17	S	1	PD01NOV17	10280.00	11621.57	USD	-8,049.42	
01AUG17	B	1	PD01NOV17	10255.00	11621.57	USD	8,199.42	
03AUG17	B	4	PD01NOV17	10380.57	11621.57	USD	29,784.00	
	NET	0 AVG	BGHT 10316.21	SOLD 10249.17			-2,413.68	0.00
02AUG17	B	1	PD02NOV17	10205.00	11622.43	USD	8,504.58	
02AUG17	S	1	PD02NOV17	10215.00	11622.43	USD	-8,444.58	
02AUG17	S	1	PD02NOV17	10190.00	11622.43	USD	-8,594.58	
02AUG17	S	1	PD02NOV17	10255.00	11622.43	USD	-8,204.58	
02AUG17	S	1	PD02NOV17	10295.00	11622.43	USD	-7,964.58	
02AUG17	S	1	PD02NOV17	10290.00	11622.43	USD	-7,994.58	
02AUG17	S	1	PD02NOV17	10310.00	11622.43	USD	-7,874.58	
02AUG17	S	2	PD02NOV17	10345.00	11622.43	USD	-15,329.16	
03AUG17	B	7	PD02NOV17	10380.79	11622.43	USD	52,148.88	
26SEP17	B	21	PD02NOV17	10426.93	11622.43	USD	150,633.00	
11OCT17	S	21	PD02NOV17	11079.46	11622.43	USD	-68,414.22	
	NET	0 AVG	BGHT 10408.14	SOLD 10859.09			78,465.60	0.00
03AUG17	B	2	PD03NOV17	10375.00	11623.28	USD	14,979.36	
03AUG17	B	1	PD03NOV17	10360.00	11623.28	USD	7,579.68	
03AUG17	S	2	PD03NOV17	10300.00	11623.28	USD	-15,879.36	
03AUG17	S	1	PD03NOV17	10310.00	11623.28	USD	-7,879.68	

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03AUG17	S	1	PD03NOV17	10320.00	11623.28	USD	-7,819.68	
03AUG17	B	1	PD03NOV17	10265.00	11623.28	USD	8,149.68	
03AUG17	B	1	PD03NOV17	10255.00	11623.28	USD	8,209.68	
03AUG17	B	1	PD03NOV17	10290.00	11623.28	USD	7,999.68	
03AUG17	S	1	PD03NOV17	10325.00	11623.28	USD	-7,789.68	
03AUG17	B	1	PD03NOV17	10325.00	11623.28	USD	7,789.68	
03AUG17	S	1	PD03NOV17	10350.00	11623.28	USD	-7,639.68	
03AUG17	S	3	PD03NOV17	10355.00	11623.28	USD	-22,829.04	
03AUG17	S	1	PD03NOV17	10385.00	11623.28	USD	-7,429.68	
03AUG17	S	1	PD03NOV17	10380.00	11623.28	USD	-7,459.68	
03AUG17	S	1	PD03NOV17	10360.00	11623.28	USD	-7,579.68	
03AUG17	B	1	PD03NOV17	10370.00	11623.28	USD	7,519.68	
04AUG17	S	1	PD03NOV17	10400.00	11623.28	USD	-7,339.68	
04AUG17	S	1	PD03NOV17	10405.00	11623.28	USD	-7,309.68	
04AUG17	B	1	PD03NOV17	10335.00	11623.28	USD	7,729.68	
04AUG17	B	1	PD03NOV17	10315.00	11623.28	USD	7,849.68	
04AUG17	B	1	PD03NOV17	10305.00	11623.28	USD	7,909.68	
04AUG17	B	1	PD03NOV17	10235.00	11623.28	USD	8,329.68	
04AUG17	B	1	PD03NOV17	10245.00	11623.28	USD	8,269.68	
04AUG17	B	1	PD03NOV17	10220.00	11623.28	USD	8,419.68	
04AUG17	S	1	PD03NOV17	10200.00	11623.28	USD	-8,539.68	
04AUG17	S	1	PD03NOV17	10310.00	11623.28	USD	-7,879.68	
04AUG17	S	2	PD03NOV17	10325.00	11623.28	USD	-15,579.36	
07AUG17	B	4	PD03NOV17	10399.20	11623.28	USD	29,377.92	
	NET	0 AVG BGHT 10325.93	SOLD 10336.67				1,159.20	0.00
07AUG17	S	1	PD07NOV17	10350.00	11626.69	USD	-7,660.14	
07AUG17	S	2	PD07NOV17	10390.00	11626.69	USD	-14,840.28	
07AUG17	S	2	PD07NOV17	10395.00	11626.69	USD	-14,780.28	
07AUG17	B	2	PD07NOV17	10365.00	11626.69	USD	15,140.28	
07AUG17	B	1	PD07NOV17	10335.00	11626.69	USD	7,750.14	
07AUG17	S	2	PD07NOV17	10365.00	11626.69	USD	-15,140.28	
07AUG17	S	2	PD07NOV17	10355.00	11626.69	USD	-15,260.28	
07AUG17	S	1	PD07NOV17	10370.00	11626.69	USD	-7,540.14	
07AUG17	S	4	PD07NOV17	10360.00	11626.69	USD	-30,400.56	
07AUG17	B	3	PD07NOV17	10345.00	11626.69	USD	23,070.42	
07AUG17	S	2	PD07NOV17	10380.00	11626.69	USD	-14,960.28	
07AUG17	B	1	PD07NOV17	10370.00	11626.69	USD	7,540.14	
07AUG17	B	3	PD07NOV17	10360.00	11626.69	USD	22,800.42	
07AUG17	B	1	PD07NOV17	10380.00	11626.69	USD	7,480.14	
14AUG17	B	5	PD07NOV17	10445.46	11626.69	USD	35,436.90	
	NET	0 AVG BGHT 10384.83	SOLD 10370.63				-1,363.80	0.00
08AUG17	B	2	PD08NOV17	10300.00	11627.54	USD	15,930.48	
08AUG17	B	2	PD08NOV17	10295.00	11627.54	USD	15,990.48	
08AUG17	B	1	PD08NOV17	10305.00	11627.54	USD	7,935.24	
08AUG17	B	3	PD08NOV17	10290.00	11627.54	USD	24,075.72	
08AUG17	B	2	PD08NOV17	10260.00	11627.54	USD	16,410.48	
08AUG17	S	1	PD08NOV17	10325.00	11627.54	USD	-7,815.24	
08AUG17	B	1	PD08NOV17	10300.00	11627.54	USD	7,965.24	
08AUG17	S	1	PD08NOV17	10330.00	11627.54	USD	-7,785.24	
08AUG17	B	1	PD08NOV17	10325.00	11627.54	USD	7,815.24	
08AUG17	B	4	PD08NOV17	10310.00	11627.54	USD	31,620.96	
08AUG17	S	1	PD08NOV17	10335.00	11627.54	USD	-7,755.24	
08AUG17	S	2	PD08NOV17	10345.00	11627.54	USD	-15,390.48	
08AUG17	S	1	PD08NOV17	10350.00	11627.54	USD	-7,665.24	
08AUG17	S	2	PD08NOV17	10370.00	11627.54	USD	-15,090.48	
08AUG17	S	1	PD08NOV17	10365.00	11627.54	USD	-7,575.24	
08AUG17	S	2	PD08NOV17	10355.00	11627.54	USD	-15,270.48	

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08AUG17	S	3	PD08NOV17	10360.00	11627.54	USD	-22,815.72	
08AUG17	B	1	PD08NOV17	10370.00	11627.54	USD	7,545.24	
08AUG17	S	1	PD08NOV17	10425.00	11627.54	USD	-7,215.24	
08AUG17	S	1	PD08NOV17	10445.00	11627.54	USD	-7,095.24	
08AUG17	S	1	PD08NOV17	10455.00	11627.54	USD	-7,035.24	
08AUG17	S	1	PD08NOV17	10500.00	11627.54	USD	-6,765.24	
08AUG17	S	1	PD08NOV17	10535.00	11627.54	USD	-6,555.24	
08AUG17	S	1	PD08NOV17	10530.00	11627.54	USD	-6,585.24	
08AUG17	S	1	PD08NOV17	10540.00	11627.54	USD	-6,525.24	
08AUG17	S	1	PD08NOV17	10530.00	11627.54	USD	-6,585.24	
08AUG17	S	1	PD08NOV17	10615.00	11627.54	USD	-6,075.24	
08AUG17	S	1	PD08NOV17	10685.00	11627.54	USD	-5,655.24	
08AUG17	B	1	PD08NOV17	10760.00	11627.54	USD	5,205.24	
08AUG17	B	1	PD08NOV17	10720.00	11627.54	USD	5,445.24	
08AUG17	S	1	PD08NOV17	10695.00	11627.54	USD	-5,595.24	
14AUG17	B	6	PD08NOV17	10446.11	11627.54	USD	42,531.48	
	NET	0 AVG BGHT 10371.07	SOLD 10435.20				9,620.04	0.00
09AUG17	S	1	PD09NOV17	10725.00	11628.39	USD	-5,420.34	
09AUG17	S	1	PD09NOV17	10755.00	11628.39	USD	-5,240.34	
09AUG17	S	1	PD09NOV17	10735.00	11628.39	USD	-5,360.34	
09AUG17	S	2	PD09NOV17	10730.00	11628.39	USD	-10,780.68	
09AUG17	S	1	PD09NOV17	10750.00	11628.39	USD	-5,270.34	
09AUG17	B	1	PD09NOV17	10750.00	11628.39	USD	5,270.34	
09AUG17	B	1	PD09NOV17	10735.00	11628.39	USD	5,360.34	
14AUG17	B	4	PD09NOV17	10446.76	11628.39	USD	28,359.12	
	NET	0 AVG BGHT 10545.34	SOLD 10737.50				6,917.76	0.00
10AUG17	B	1	PD10NOV17	10810.00	11629.24	USD	4,915.44	
10AUG17	B	2	PD10NOV17	10740.00	11629.24	USD	10,670.88	
10AUG17	S	1	PD10NOV17	10760.00	11629.24	USD	-5,215.44	
10AUG17	B	1	PD10NOV17	10855.00	11629.24	USD	4,645.44	
10AUG17	B	1	PD10NOV17	10850.00	11629.24	USD	4,675.44	
10AUG17	S	1	PD10NOV17	10915.00	11629.24	USD	-4,285.44	
10AUG17	S	2	PD10NOV17	10935.00	11629.24	USD	-8,330.88	
10AUG17	S	1	PD10NOV17	10910.00	11629.24	USD	-4,315.44	
10AUG17	S	1	PD10NOV17	10940.00	11629.24	USD	-4,135.44	
10AUG17	S	2	PD10NOV17	10945.00	11629.24	USD	-8,210.88	
10AUG17	B	1	PD10NOV17	10885.00	11629.24	USD	4,465.44	
10AUG17	B	1	PD10NOV17	10880.00	11629.24	USD	4,495.44	
10AUG17	B	1	PD10NOV17	10875.00	11629.24	USD	4,525.44	
11AUG17	B	4	PD10NOV17	10750.00	11629.24	USD	21,101.76	
11AUG17	B	5	PD10NOV17	10760.00	11629.24	USD	26,077.20	
11AUG17	B	5	PD10NOV17	10745.00	11629.24	USD	26,527.20	
11AUG17	B	1	PD10NOV17	10680.00	11629.24	USD	5,695.44	
11AUG17	B	2	PD10NOV17	10670.00	11629.24	USD	11,510.88	
11AUG17	B	2	PD10NOV17	10655.00	11629.24	USD	11,690.88	
11AUG17	B	3	PD10NOV17	10665.00	11629.24	USD	17,356.32	
11AUG17	B	1	PD10NOV17	10640.00	11629.24	USD	5,935.44	
11AUG17	B	1	PD10NOV17	10630.00	11629.24	USD	5,995.44	
11AUG17	B	2	PD10NOV17	10625.00	11629.24	USD	12,050.88	
11AUG17	B	4	PD10NOV17	10650.00	11629.24	USD	23,501.76	
11AUG17	S	1	PD10NOV17	10705.00	11629.24	USD	-5,545.44	
11AUG17	B	4	PD10NOV17	10660.00	11629.24	USD	23,261.76	
11AUG17	B	3	PD10NOV17	10645.00	11629.24	USD	17,716.32	
11AUG17	B	3	PD10NOV17	10635.00	11629.24	USD	17,896.32	
11AUG17	B	1	PD10NOV17	10615.00	11629.24	USD	6,085.44	
11AUG17	B	1	PD10NOV17	10590.00	11629.24	USD	6,235.44	
11AUG17	B	1	PD10NOV17	10745.00	11629.24	USD	5,305.44	

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11AUG17	B	1	PD10NOV17	10665.00	11629.24	USD	5,785.44	
11AUG17	B	1	PD10NOV17	10640.00	11629.24	USD	5,935.44	
11AUG17	B	1	PD10NOV17	10630.00	11629.24	USD	5,995.44	
11AUG17	B	1	PD10NOV17	10675.00	11629.24	USD	5,725.44	
11AUG17	S	1	PD10NOV17	10645.00	11629.24	USD	-5,905.44	
11AUG17	S	1	PD10NOV17	10680.00	11629.24	USD	-5,695.44	
11AUG17	B	3	PD10NOV17	10620.00	11629.24	USD	18,166.32	
11AUG17	S	1	PD10NOV17	10615.00	11629.24	USD	-6,085.44	
11AUG17	S	3	PD10NOV17	10675.00	11629.24	USD	-17,176.32	
11AUG17	S	7	PD10NOV17	10665.00	11629.24	USD	-40,498.08	
11AUG17	S	1	PD10NOV17	10640.00	11629.24	USD	-5,935.44	
14AUG17	S	15	PD10NOV17	10446.41	11629.24	USD	-106,454.70	
22AUG17	S	20	PD10NOV17	11411.04	11629.24	USD	-26,184.00	
	NET	0 AVG BGHT 10698.36	SOLD 10899.43				69,971.70	0.00
14AUG17	B	1	PD14NOV17	10610.00	11632.65	USD	6,135.90	
14AUG17	B	1	PD14NOV17	10615.00	11632.65	USD	6,105.90	
14AUG17	S	2	PD14NOV17	10570.00	11632.65	USD	-12,751.80	
14AUG17	B	2	PD14NOV17	10575.00	11632.65	USD	12,691.80	
14AUG17	B	1	PD14NOV17	10530.00	11632.65	USD	6,615.90	
14AUG17	B	1	PD14NOV17	10485.00	11632.65	USD	6,885.90	
14AUG17	B	1	PD14NOV17	10475.00	11632.65	USD	6,945.90	
14AUG17	B	1	PD14NOV17	10490.00	11632.65	USD	6,855.90	
14AUG17	B	1	PD14NOV17	10510.00	11632.65	USD	6,735.90	
14AUG17	S	1	PD14NOV17	10510.00	11632.65	USD	-6,735.90	
14AUG17	B	1	PD14NOV17	10495.00	11632.65	USD	6,825.90	
14AUG17	B	1	PD14NOV17	10400.00	11632.65	USD	7,395.90	
14AUG17	S	2	PD14NOV17	10435.00	11632.65	USD	-14,371.80	
14AUG17	S	2	PD14NOV17	10430.00	11632.65	USD	-14,431.80	
22AUG17	S	4	PD14NOV17	11413.81	11632.65	USD	-5,252.16	
	NET	0 AVG BGHT 10523.64	SOLD 10821.39				19,651.44	0.00
21AUG17	S	3	PD21NOV17	11185.00	11637.61	USD	-8,146.98	
21AUG17	S	6	PD21NOV17	11190.00	11637.61	USD	-16,113.96	
21AUG17	S	1	PD21NOV17	11210.00	11637.61	USD	-2,565.66	
21AUG17	S	3	PD21NOV17	11195.00	11637.61	USD	-7,966.98	
21AUG17	S	3	PD21NOV17	11215.00	11637.61	USD	-7,606.98	
21AUG17	S	3	PD21NOV17	11230.00	11637.61	USD	-7,336.98	
21AUG17	S	1	PD21NOV17	11220.00	11637.61	USD	-2,505.66	
21AUG17	S	2	PD21NOV17	11225.00	11637.61	USD	-4,951.32	
21AUG17	S	2	PD21NOV17	11190.00	11637.61	USD	-5,371.32	
22AUG17	B	24	PD21NOV17	11415.58	11637.61	USD	31,972.32	
	NET	0 AVG BGHT 11415.58	SOLD 11203.13				-30,593.52	0.00
22AUG17	S	1	PD22NOV17	11330.00	11638.30	USD	-1,849.80	
22AUG17	S	1	PD22NOV17	11325.00	11638.30	USD	-1,879.80	
22AUG17	S	1	PD22NOV17	11335.00	11638.30	USD	-1,819.80	
22AUG17	S	1	PD22NOV17	11345.00	11638.30	USD	-1,759.80	
22AUG17	S	1	PD22NOV17	11355.00	11638.30	USD	-1,699.80	
22AUG17	S	1	PD22NOV17	11425.00	11638.30	USD	-1,279.80	
22AUG17	S	1	PD22NOV17	11455.00	11638.30	USD	-1,099.80	
22AUG17	B	1	PD22NOV17	11465.00	11638.30	USD	1,039.80	
22AUG17	S	1	PD22NOV17	11450.00	11638.30	USD	-1,129.80	
22AUG17	S	1	PD22NOV17	11465.00	11638.30	USD	-1,039.80	
22AUG17	B	1	PD22NOV17	11420.00	11638.30	USD	1,309.80	
22AUG17	B	2	PD22NOV17	11410.00	11638.30	USD	2,739.60	
22AUG17	B	1	PD22NOV17	11435.00	11638.30	USD	1,219.80	
29AUG17	B	4	PD22NOV17	11705.15	11638.30	USD	-1,604.40	
	NET	0 AVG BGHT 11551.18	SOLD 11387.22				-8,853.60	0.00

OPEN POSITION STATEMENT



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SAP0112A

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backoffice@sapiencap.com

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
23AUG17	B	1	PD24NOV17	11330.00	11639.67	USD	1,858.02	
23AUG17	B	1	PD24NOV17	11335.00	11639.67	USD	1,828.02	
23AUG17	B	1	PD24NOV17	11325.00	11639.67	USD	1,888.02	
23AUG17	B	1	PD24NOV17	11360.00	11639.67	USD	1,678.02	
24AUG17	S	1	PD24NOV17	11730.00	11639.67	USD	541.98	
24AUG17	S	1	PD24NOV17	11750.00	11639.67	USD	661.98	
24AUG17	S	1	PD24NOV17	11715.00	11639.67	USD	451.98	
24AUG17	S	1	PD24NOV17	11720.00	11639.67	USD	481.98	
24AUG17	S	1	PD24NOV17	11710.00	11639.67	USD	421.98	
24AUG17	S	1	PD24NOV17	11665.00	11639.67	USD	151.98	
24AUG17	S	2	PD24NOV17	11740.00	11639.67	USD	1,203.96	
24AUG17	S	1	PD24NOV17	11765.00	11639.67	USD	751.98	
25AUG17	B	1	PD24NOV17	11720.00	11639.67	USD	-481.98	
25AUG17	B	1	PD24NOV17	11685.00	11639.67	USD	-271.98	
25AUG17	B	2	PD24NOV17	11680.00	11639.67	USD	-483.96	
25AUG17	B	1	PD24NOV17	11650.00	11639.67	USD	-61.98	
25AUG17	B	1	PD24NOV17	11605.00	11639.67	USD	208.02	
25AUG17	B	2	PD24NOV17	11575.00	11639.67	USD	776.04	
25AUG17	B	1	PD24NOV17	11565.00	11639.67	USD	448.02	
25AUG17	S	1	PD24NOV17	11495.00	11639.67	USD	-868.02	
25AUG17	S	1	PD24NOV17	11540.00	11639.67	USD	-598.02	
25AUG17	B	1	PD24NOV17	11500.00	11639.67	USD	838.02	
25AUG17	B	1	PD24NOV17	11525.00	11639.67	USD	688.02	
25AUG17	B	1	PD24NOV17	11515.00	11639.67	USD	748.02	
25AUG17	B	1	PD24NOV17	11510.00	11639.67	USD	778.02	
25AUG17	B	1	PD24NOV17	11560.00	11639.67	USD	478.02	
25AUG17	B	1	PD24NOV17	11545.00	11639.67	USD	568.02	
25AUG17	B	5	PD24NOV17	11550.00	11639.67	USD	2,690.10	
25AUG17	S	1	PD24NOV17	11500.00	11639.67	USD	-838.02	
25AUG17	S	1	PD24NOV17	11460.00	11639.67	USD	-1,078.02	
25AUG17	S	1	PD24NOV17	11470.00	11639.67	USD	-1,018.02	
25AUG17	S	1	PD24NOV17	11465.00	11639.67	USD	-1,048.02	
29AUG17	S	4	PD24NOV17	11705.54	11639.67	USD	1,580.88	
06SEP17	S	5	PD24NOV17	12157.80	11639.67	USD	15,543.90	
	NET	0AVG BGHT 11541.25	SOLD 11753.17				30,516.96	0.00
29AUG17	S	1	PD29NOV17	11795.00	11643.10	USD	911.40	
29AUG17	S	3	PD29NOV17	11785.00	11643.10	USD	2,554.20	
29AUG17	S	1	PD29NOV17	11780.00	11643.10	USD	821.40	
29AUG17	S	1	PD29NOV17	11805.00	11643.10	USD	971.40	
29AUG17	S	1	PD29NOV17	11805.00	11643.10	USD	971.40	
29AUG17	S	1	PD29NOV17	11820.00	11643.10	USD	1,061.40	
29AUG17	B	2	PD29NOV17	11865.00	11643.10	USD	-2,662.80	
29AUG17	B	1	PD29NOV17	11880.00	11643.10	USD	-1,421.40	
29AUG17	B	1	PD29NOV17	11820.00	11643.10	USD	-1,061.40	
29AUG17	B	1	PD29NOV17	11805.00	11643.10	USD	-971.40	
29AUG17	S	2	PD29NOV17	11800.00	11643.10	USD	1,882.80	
06SEP17	B	5	PD29NOV17	12161.80	11643.10	USD	-15,561.00	
	NET	0AVG BGHT 12004.40	SOLD 11796.00				-12,504.00	0.00
30AUG17	B	1	PD30NOV17	11690.00	11643.79	USD	-277.26	
30AUG17	B	1	PD30NOV17	11695.00	11643.79	USD	-307.26	
30AUG17	B	2	PD30NOV17	11675.00	11643.79	USD	-374.52	
30AUG17	B	1	PD30NOV17	11670.00	11643.79	USD	-157.26	
30AUG17	S	1	PD30NOV17	11670.00	11643.79	USD	157.26	
30AUG17	B	1	PD30NOV17	11645.00	11643.79	USD	-7.26	
30AUG17	S	1	PD30NOV17	11645.00	11643.79	USD	7.26	
30AUG17	B	1	PD30NOV17	11605.00	11643.79	USD	232.74	
30AUG17	S	2	PD30NOV17	11635.00	11643.79	USD	-105.48	

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30AUG17	S	1	PD30NOV17	11660.00	11643.79	USD	97.26	
30AUG17	S	1	PD30NOV17	11685.00	11643.79	USD	247.26	
30AUG17	S	1	PD30NOV17	11605.00	11643.79	USD	-232.74	
31AUG17	B	1	PD30NOV17	11660.00	11643.79	USD	-97.26	
31AUG17	S	1	PD30NOV17	11675.00	11643.79	USD	187.26	
31AUG17	S	1	PD30NOV17	11685.00	11643.79	USD	247.26	
31AUG17	S	1	PD30NOV17	11680.00	11643.79	USD	217.26	
06SEP17	B	2	PD30NOV17	12161.40	11643.79	USD	-6,211.32	
	NET		0AVG BGHT 11763.78 SOLD 11657.50				-6,376.80	0.00
01SEP17	S	1	PD01DEC17	11970.00	11644.47	USD	1,953.18	
01SEP17	S	3	PD01DEC17	11965.00	11644.47	USD	5,769.54	
01SEP17	S	8	PD01DEC17	12050.00	11644.47	USD	19,465.44	
01SEP17	S	3	PD01DEC17	12055.00	11644.47	USD	7,389.54	
01SEP17	S	2	PD01DEC17	12075.00	11644.47	USD	5,166.36	
01SEP17	S	4	PD01DEC17	12080.00	11644.47	USD	10,452.72	
01SEP17	B	1	PD01DEC17	12060.00	11644.47	USD	-2,493.18	
01SEP17	B	1	PD01DEC17	12000.00	11644.47	USD	-2,133.18	
01SEP17	B	1	PD01DEC17	12005.00	11644.47	USD	-2,163.18	
01SEP17	B	2	PD01DEC17	11995.00	11644.47	USD	-4,206.36	
01SEP17	B	3	PD01DEC17	11985.00	11644.47	USD	-6,129.54	
01SEP17	B	1	PD01DEC17	12020.00	11644.47	USD	-2,253.18	
01SEP17	B	1	PD01DEC17	12015.00	11644.47	USD	-2,223.18	
01SEP17	S	1	PD01DEC17	12015.00	11644.47	USD	2,223.18	
01SEP17	B	3	PD01DEC17	11990.00	11644.47	USD	-6,219.54	
01SEP17	B	1	PD01DEC17	11975.00	11644.47	USD	-1,983.18	
01SEP17	S	4	PD01DEC17	11960.00	11644.47	USD	7,572.72	
01SEP17	B	1	PD01DEC17	11925.00	11644.47	USD	-1,683.18	
01SEP17	B	2	PD01DEC17	11935.00	11644.47	USD	-3,486.36	
01SEP17	B	3	PD01DEC17	11930.00	11644.47	USD	-5,139.54	
01SEP17	B	2	PD01DEC17	11955.00	11644.47	USD	-3,726.36	
01SEP17	B	1	PD01DEC17	11945.00	11644.47	USD	-1,803.18	
01SEP17	B	2	PD01DEC17	11970.00	11644.47	USD	-3,906.36	
01SEP17	B	1	PD01DEC17	12030.00	11644.47	USD	-2,313.18	
01SEP17	B	2	PD01DEC17	12010.00	11644.47	USD	-4,386.36	
01SEP17	S	1	PD01DEC17	12010.00	11644.47	USD	2,193.18	
01SEP17	S	1	PD01DEC17	12070.00	11644.47	USD	2,553.18	
01SEP17	S	1	PD01DEC17	12065.00	11644.47	USD	2,523.18	
01SEP17	S	1	PD01DEC17	12070.00	11644.47	USD	2,553.18	
01SEP17	B	2	PD01DEC17	12025.00	11644.47	USD	-4,566.36	
01SEP17	B	1	PD01DEC17	12105.00	11644.47	USD	-2,763.18	
01SEP17	B	1	PD01DEC17	12130.00	11644.47	USD	-2,913.18	
06SEP17	S	2	PD01DEC17	12161.00	11644.47	USD	6,198.36	
	NET		0AVG BGHT 11990.78 SOLD 12040.38				9,522.00	0.00
04SEP17	S	1	PD04DEC17	12270.00	11646.53	USD	3,740.82	
04SEP17	S	1	PD04DEC17	12280.00	11646.53	USD	3,800.82	
04SEP17	B	2	PD04DEC17	12255.00	11646.53	USD	-7,301.64	
04SEP17	S	1	PD04DEC17	12235.00	11646.53	USD	3,530.82	
04SEP17	B	2	PD04DEC17	12225.00	11646.53	USD	-6,941.64	
04SEP17	B	3	PD04DEC17	12240.00	11646.53	USD	-10,682.46	
04SEP17	S	2	PD04DEC17	12265.00	11646.53	USD	7,421.64	
04SEP17	B	3	PD04DEC17	12220.00	11646.53	USD	-10,322.46	
04SEP17	B	1	PD04DEC17	12210.00	11646.53	USD	-3,380.82	
04SEP17	B	1	PD04DEC17	12190.00	11646.53	USD	-3,260.82	
04SEP17	B	1	PD04DEC17	12150.00	11646.53	USD	-3,020.82	
04SEP17	B	1	PD04DEC17	12135.00	11646.53	USD	-2,930.82	
04SEP17	S	2	PD04DEC17	12120.00	11646.53	USD	5,681.64	
04SEP17	S	1	PD04DEC17	12140.00	11646.53	USD	2,960.82	

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
04SEP17	B	2	PD04DEC17	12200.00	11646.53	USD	-6,641.64	
04SEP17	S	1	PD04DEC17	12220.00	11646.53	USD	3,440.82	
04SEP17	B	1	PD04DEC17	12205.00	11646.53	USD	-3,350.82	
04SEP17	S	1	PD04DEC17	12245.00	11646.53	USD	3,590.82	
04SEP17	S	1	PD04DEC17	12255.00	11646.53	USD	3,650.82	
04SEP17	S	3	PD04DEC17	12260.00	11646.53	USD	11,042.46	
04SEP17	S	2	PD04DEC17	12250.00	11646.53	USD	7,241.64	
04SEP17	B	2	PD04DEC17	12270.00	11646.53	USD	-7,481.64	
04SEP17	S	1	PD04DEC17	12255.00	11646.53	USD	3,650.82	
06SEP17	S	1	PD04DEC17	12163.80	11646.53	USD	3,103.62	
08SEP17	S	1	PD04DEC17	11587.65	11646.53	USD	-353.28	
	NET	0 AVG	BGHT 12219.47	SOLD 12194.81			-2,811.30	0.00
05SEP17	B	2	PD05DEC17	12255.00	11647.21	USD	-7,293.48	
05SEP17	S	1	PD05DEC17	12255.00	11647.21	USD	3,646.74	
05SEP17	B	1	PD05DEC17	12210.00	11647.21	USD	-3,376.74	
05SEP17	B	1	PD05DEC17	12205.00	11647.21	USD	-3,346.74	
05SEP17	B	1	PD05DEC17	12195.00	11647.21	USD	-3,286.74	
05SEP17	S	1	PD05DEC17	12250.00	11647.21	USD	3,616.74	
05SEP17	B	1	PD05DEC17	12235.00	11647.21	USD	-3,526.74	
05SEP17	S	1	PD05DEC17	12230.00	11647.21	USD	3,496.74	
05SEP17	B	1	PD05DEC17	12220.00	11647.21	USD	-3,436.74	
05SEP17	B	1	PD05DEC17	12190.00	11647.21	USD	-3,256.74	
05SEP17	S	1	PD05DEC17	12215.00	11647.21	USD	3,406.74	
05SEP17	S	1	PD05DEC17	12225.00	11647.21	USD	3,466.74	
05SEP17	B	1	PD05DEC17	12170.00	11647.21	USD	-3,136.74	
05SEP17	S	2	PD05DEC17	12210.00	11647.21	USD	6,753.48	
05SEP17	S	1	PD05DEC17	12195.00	11647.21	USD	3,286.74	
05SEP17	B	1	PD05DEC17	12160.00	11647.21	USD	-3,076.74	
05SEP17	S	1	PD05DEC17	12180.00	11647.21	USD	3,196.74	
05SEP17	S	3	PD05DEC17	12190.00	11647.21	USD	9,770.22	
05SEP17	B	1	PD05DEC17	12125.00	11647.21	USD	-2,866.74	
06SEP17	B	1	PD05DEC17	12165.40	11647.21	USD	-3,109.14	
	NET	0 AVG	BGHT 12198.78	SOLD 12211.67			927.60	0.00
06SEP17	B	1	PD06DEC17	12015.00	11647.90	USD	-2,202.60	
06SEP17	S	1	PD06DEC17	12225.00	11647.90	USD	3,462.60	
06SEP17	S	1	PD06DEC17	12245.00	11647.90	USD	3,582.60	
08SEP17	B	1	PD06DEC17	11589.83	11647.90	USD	348.42	
	NET	0 AVG	BGHT 11802.42	SOLD 12235.00			5,191.02	0.00
07SEP17	B	1	PD07DEC17	12145.00	11648.59	USD	-2,978.46	
07SEP17	B	2	PD07DEC17	12125.00	11648.59	USD	-5,716.92	
07SEP17	B	1	PD07DEC17	12135.00	11648.59	USD	-2,918.46	
07SEP17	S	2	PD07DEC17	12125.00	11648.59	USD	5,716.92	
07SEP17	S	2	PD07DEC17	12120.00	11648.59	USD	5,656.92	
	NET	0 AVG	BGHT 12132.50	SOLD 12122.50			-240.00	0.00
08SEP17	S	1	PD08DEC17	12240.00	11649.27	USD	3,544.38	
08SEP17	S	1	PD08DEC17	12225.00	11649.27	USD	3,454.38	
08SEP17	B	1	PD08DEC17	12175.00	11649.27	USD	-3,154.38	
08SEP17	B	1	PD08DEC17	12155.00	11649.27	USD	-3,034.38	
08SEP17	B	2	PD08DEC17	12125.00	11649.27	USD	-5,708.76	
08SEP17	B	4	PD08DEC17	12120.00	11649.27	USD	-11,297.52	
08SEP17	B	1	PD08DEC17	12035.00	11649.27	USD	-2,314.38	
08SEP17	B	4	PD08DEC17	12030.00	11649.27	USD	-9,137.52	
08SEP17	B	1	PD08DEC17	12015.00	11649.27	USD	-2,194.38	
08SEP17	B	2	PD08DEC17	11995.00	11649.27	USD	-4,148.76	
08SEP17	B	1	PD08DEC17	11990.00	11649.27	USD	-2,044.38	
08SEP17	B	2	PD08DEC17	12000.00	11649.27	USD	-4,208.76	

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08SEP17	B	1	PD08DEC17	11975.00	11649.27	USD	-1,954.38	
08SEP17	B	1	PD08DEC17	12005.00	11649.27	USD	-2,134.38	
08SEP17	B	1	PD08DEC17	12010.00	11649.27	USD	-2,164.38	
08SEP17	S	2	PD08DEC17	11995.00	11649.27	USD	4,148.76	
08SEP17	B	1	PD08DEC17	11945.00	11649.27	USD	-1,774.38	
08SEP17	B	1	PD08DEC17	11940.00	11649.27	USD	-1,744.38	
08SEP17	B	1	PD08DEC17	11940.00	11649.27	USD	-1,744.38	
08SEP17	B	1	PD08DEC17	11770.00	11649.27	USD	-724.38	
08SEP17	S	1	PD08DEC17	11760.00	11649.27	USD	664.38	
08SEP17	S	1	PD08DEC17	11590.00	11649.27	USD	-355.62	
08SEP17	S	2	PD08DEC17	11595.00	11649.27	USD	-651.24	
13SEP17	S	18	PD08DEC17	11356.46	11649.27	USD	-31,623.48	
	NET	0AVG BGHT 12030.58	SOLD 11515.82				-80,302.32	0.00
11SEP17	S	1	PD11DEC17	11620.00	11651.33	USD	-187.98	
11SEP17	S	1	PD11DEC17	11625.00	11651.33	USD	-157.98	
11SEP17	S	1	PD11DEC17	11635.00	11651.33	USD	-97.98	
11SEP17	S	2	PD11DEC17	11630.00	11651.33	USD	-255.96	
11SEP17	S	1	PD11DEC17	11565.00	11651.33	USD	-517.98	
11SEP17	S	4	PD11DEC17	11600.00	11651.33	USD	-1,231.92	
11SEP17	S	1	PD11DEC17	11595.00	11651.33	USD	-337.98	
11SEP17	S	4	PD11DEC17	11610.00	11651.33	USD	-991.92	
11SEP17	B	1	PD11DEC17	11560.00	11651.33	USD	547.98	
11SEP17	S	1	PD11DEC17	11560.00	11651.33	USD	-547.98	
11SEP17	S	3	PD11DEC17	11605.00	11651.33	USD	-833.94	
11SEP17	B	1	PD11DEC17	11575.00	11651.33	USD	457.98	
11SEP17	S	1	PD11DEC17	11610.00	11651.33	USD	-247.98	
11SEP17	S	1	PD11DEC17	11710.00	11651.33	USD	352.02	
11SEP17	S	1	PD11DEC17	11725.00	11651.33	USD	442.02	
11SEP17	B	1	PD11DEC17	11735.00	11651.33	USD	-502.02	
11SEP17	S	2	PD11DEC17	11745.00	11651.33	USD	1,124.04	
11SEP17	B	1	PD11DEC17	11725.00	11651.33	USD	-442.02	
11SEP17	S	1	PD11DEC17	11715.00	11651.33	USD	382.02	
13SEP17	B	18	PD11DEC17	11360.00	11651.33	USD	31,463.64	
14SEP17	B	3	PD11DEC17	11197.62	11651.33	USD	8,166.78	
	NET	0AVG BGHT 11386.71	SOLD 11630.60				36,582.84	0.00
12SEP17	B	1	PD12DEC17	11655.00	11652.01	USD	-17.94	
12SEP17	S	1	PD12DEC17	11700.00	11652.01	USD	287.94	
12SEP17	B	1	PD12DEC17	11735.00	11652.01	USD	-497.94	
12SEP17	S	1	PD12DEC17	11730.00	11652.01	USD	467.94	
12SEP17	S	1	PD12DEC17	11740.00	11652.01	USD	527.94	
12SEP17	S	2	PD12DEC17	11735.00	11652.01	USD	995.88	
12SEP17	S	1	PD12DEC17	11750.00	11652.01	USD	587.94	
12SEP17	S	1	PD12DEC17	11755.00	11652.01	USD	617.94	
12SEP17	B	1	PD12DEC17	11760.00	11652.01	USD	-647.94	
12SEP17	B	1	PD12DEC17	11900.00	11652.01	USD	-1,487.94	
19SEP17	B	1	PD12DEC17	11134.65	11652.01	USD	3,104.16	
20SEP17	B	2	PD12DEC17	11373.83	11652.01	USD	3,338.16	
	NET	0AVG BGHT 11561.76	SOLD 11735.00				7,276.14	0.00
13SEP17	B	1	PD13DEC17	11725.00	11652.70	USD	-433.80	
13SEP17	B	1	PD13DEC17	11730.00	11652.70	USD	-463.80	
13SEP17	B	1	PD13DEC17	11720.00	11652.70	USD	-403.80	
13SEP17	S	1	PD13DEC17	11750.00	11652.70	USD	583.80	
13SEP17	S	2	PD13DEC17	11775.00	11652.70	USD	1,467.60	
13SEP17	B	1	PD13DEC17	11745.00	11652.70	USD	-553.80	
13SEP17	B	1	PD13DEC17	11740.00	11652.70	USD	-523.80	
13SEP17	B	1	PD13DEC17	11735.00	11652.70	USD	-493.80	

OPEN POSITION STATEMENT



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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
13SEP17	B	1	PD13DEC17	11710.00	11652.70	USD	-343.80	
13SEP17	B	1	PD13DEC17	11685.00	11652.70	USD	-193.80	
13SEP17	B	1	PD13DEC17	11680.00	11652.70	USD	-163.80	
13SEP17	B	1	PD13DEC17	11675.00	11652.70	USD	-133.80	
13SEP17	B	1	PD13DEC17	11690.00	11652.70	USD	-223.80	
13SEP17	B	1	PD13DEC17	11605.00	11652.70	USD	286.20	
13SEP17	B	1	PD13DEC17	11610.00	11652.70	USD	256.20	
13SEP17	B	2	PD13DEC17	11600.00	11652.70	USD	632.40	
13SEP17	B	1	PD13DEC17	11570.00	11652.70	USD	496.20	
13SEP17	S	1	PD13DEC17	11560.00	11652.70	USD	-556.20	
13SEP17	B	1	PD13DEC17	11720.00	11652.70	USD	-403.80	
13SEP17	S	1	PD13DEC17	11535.00	11652.70	USD	-706.20	
13SEP17	S	1	PD13DEC17	11540.00	11652.70	USD	-676.20	
13SEP17	S	1	PD13DEC17	11565.00	11652.70	USD	-526.20	
13SEP17	S	1	PD13DEC17	11600.00	11652.70	USD	-316.20	
13SEP17	S	1	PD13DEC17	11610.00	11652.70	USD	-256.20	
13SEP17	S	1	PD13DEC17	11585.00	11652.70	USD	-406.20	
13SEP17	S	1	PD13DEC17	11580.00	11652.70	USD	-436.20	
13SEP17	S	1	PD13DEC17	11425.00	11652.70	USD	-1,366.20	
13SEP17	S	1	PD13DEC17	11440.00	11652.70	USD	-1,276.20	
13SEP17	S	1	PD13DEC17	11435.00	11652.70	USD	-1,306.20	
14SEP17	S	3	PD13DEC17	11198.21	11652.70	USD	-8,180.82	
	NET	0 AVG BGHT 11678.82	SOLD 11515.86				-16,622.22	0.00
14SEP17	B	1	PD14DEC17	11355.00	11653.39	USD	1,790.34	
14SEP17	S	1	PD14DEC17	11315.00	11653.39	USD	-2,030.34	
14SEP17	B	1	PD14DEC17	11280.00	11653.39	USD	2,240.34	
14SEP17	B	1	PD14DEC17	11185.00	11653.39	USD	2,810.34	
14SEP17	B	1	PD14DEC17	11160.00	11653.39	USD	2,960.34	
14SEP17	B	1	PD14DEC17	11140.00	11653.39	USD	3,080.34	
14SEP17	B	2	PD14DEC17	11130.00	11653.39	USD	6,280.68	
14SEP17	B	1	PD14DEC17	11135.00	11653.39	USD	3,110.34	
14SEP17	S	1	PD14DEC17	11240.00	11653.39	USD	-2,480.34	
14SEP17	S	1	PD14DEC17	11225.00	11653.39	USD	-2,570.34	
14SEP17	S	3	PD14DEC17	11235.00	11653.39	USD	-7,531.02	
14SEP17	S	1	PD14DEC17	11245.00	11653.39	USD	-2,450.34	
14SEP17	S	1	PD14DEC17	11255.00	11653.39	USD	-2,390.34	
14SEP17	B	1	PD14DEC17	11245.00	11653.39	USD	2,450.34	
14SEP17	S	1	PD14DEC17	11285.00	11653.39	USD	-2,210.34	
	NET	0 AVG BGHT 11195.56	SOLD 11252.22				3,060.00	0.00
15SEP17	B	1	PD15DEC17	11120.00	11654.07	USD	3,204.42	
15SEP17	S	1	PD15DEC17	11140.00	11654.07	USD	-3,084.42	
15SEP17	B	3	PD15DEC17	11165.00	11654.07	USD	8,803.26	
15SEP17	B	1	PD15DEC17	11170.00	11654.07	USD	2,904.42	
15SEP17	B	1	PD15DEC17	11175.00	11654.07	USD	2,874.42	
15SEP17	B	1	PD15DEC17	11185.00	11654.07	USD	2,814.42	
15SEP17	B	1	PD15DEC17	11190.00	11654.07	USD	2,784.42	
15SEP17	S	1	PD15DEC17	11110.00	11654.07	USD	-3,264.42	
15SEP17	S	1	PD15DEC17	11120.00	11654.07	USD	-3,204.42	
15SEP17	S	1	PD15DEC17	11125.00	11654.07	USD	-3,174.42	
15SEP17	S	1	PD15DEC17	11130.00	11654.07	USD	-3,144.42	
15SEP17	S	1	PD15DEC17	11135.00	11654.07	USD	-3,114.42	
15SEP17	B	1	PD15DEC17	11070.00	11654.07	USD	3,504.42	
15SEP17	B	1	PD15DEC17	11045.00	11654.07	USD	3,654.42	
15SEP17	S	1	PD15DEC17	11150.00	11654.07	USD	-3,024.42	
15SEP17	B	1	PD15DEC17	11110.00	11654.07	USD	3,264.42	
15SEP17	B	1	PD15DEC17	11105.00	11654.07	USD	3,294.42	
15SEP17	S	1	PD15DEC17	11175.00	11654.07	USD	-2,874.42	

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
15SEP17	S	1	PD15DEC17	11195.00	11654.07	USD	-2,754.42	
15SEP17	B	2	PD15DEC17	11100.00	11654.07	USD	6,648.84	
15SEP17	S	1	PD15DEC17	11000.00	11654.07	USD	-3,924.42	
15SEP17	S	1	PD15DEC17	11070.00	11654.07	USD	-3,504.42	
15SEP17	S	1	PD15DEC17	11090.00	11654.07	USD	-3,384.42	
15SEP17	S	2	PD15DEC17	11100.00	11654.07	USD	-6,648.84	
15SEP17	S	2	PD15DEC17	11085.00	11654.07	USD	-6,828.84	
15SEP17	B	1	PD15DEC17	11135.00	11654.07	USD	3,114.42	
15SEP17	B	1	PD15DEC17	11130.00	11654.07	USD	3,144.42	
15SEP17	S	1	PD15DEC17	11000.00	11654.07	USD	-3,924.42	
20SEP17	B	1	PD15DEC17	11376.14	11654.07	USD	1,667.58	
	NET	0 AVG BGHT 11147.42	SOLD 11106.47				-4,176.84	0.00
18SEP17	B	1	PD18DEC17	11225.00	11656.13	USD	2,586.78	
18SEP17	B	1	PD18DEC17	11205.00	11656.13	USD	2,706.78	
18SEP17	S	1	PD18DEC17	11210.00	11656.13	USD	-2,676.78	
18SEP17	B	2	PD18DEC17	11180.00	11656.13	USD	5,713.56	
18SEP17	B	1	PD18DEC17	11185.00	11656.13	USD	2,826.78	
18SEP17	B	1	PD18DEC17	11170.00	11656.13	USD	2,916.78	
18SEP17	B	1	PD18DEC17	11155.00	11656.13	USD	3,006.78	
18SEP17	B	1	PD18DEC17	11160.00	11656.13	USD	2,976.78	
18SEP17	S	1	PD18DEC17	11215.00	11656.13	USD	-2,646.78	
18SEP17	S	1	PD18DEC17	11220.00	11656.13	USD	-2,616.78	
18SEP17	S	1	PD18DEC17	11225.00	11656.13	USD	-2,586.78	
18SEP17	S	1	PD18DEC17	11185.00	11656.13	USD	-2,826.78	
18SEP17	S	2	PD18DEC17	11170.00	11656.13	USD	-5,833.56	
19SEP17	S	1	PD18DEC17	11138.24	11656.13	USD	-3,107.34	
	NET	0 AVG BGHT 11182.50	SOLD 11191.66				439.44	0.00
19SEP17	S	1	PD19DEC17	11040.00	11656.81	USD	-3,700.86	
19SEP17	B	1	PD19DEC17	11030.00	11656.81	USD	3,760.86	
19SEP17	B	1	PD19DEC17	11070.00	11656.81	USD	3,520.86	
19SEP17	B	1	PD19DEC17	11080.00	11656.81	USD	3,460.86	
19SEP17	B	1	PD19DEC17	11055.00	11656.81	USD	3,610.86	
19SEP17	B	1	PD19DEC17	11005.00	11656.81	USD	3,910.86	
19SEP17	B	2	PD19DEC17	10960.00	11656.81	USD	8,361.72	
19SEP17	B	2	PD19DEC17	10970.00	11656.81	USD	8,241.72	
19SEP17	S	1	PD19DEC17	11005.00	11656.81	USD	-3,910.86	
19SEP17	B	2	PD19DEC17	10990.00	11656.81	USD	8,001.72	
19SEP17	B	1	PD19DEC17	10985.00	11656.81	USD	4,030.86	
19SEP17	S	1	PD19DEC17	11020.00	11656.81	USD	-3,820.86	
19SEP17	B	1	PD19DEC17	10985.00	11656.81	USD	4,030.86	
19SEP17	S	1	PD19DEC17	10995.00	11656.81	USD	-3,970.86	
19SEP17	S	2	PD19DEC17	11030.00	11656.81	USD	-7,521.72	
19SEP17	S	1	PD19DEC17	11035.00	11656.81	USD	-3,730.86	
19SEP17	S	1	PD19DEC17	11055.00	11656.81	USD	-3,610.86	
19SEP17	S	1	PD19DEC17	11045.00	11656.81	USD	-3,670.86	
19SEP17	S	1	PD19DEC17	11050.00	11656.81	USD	-3,640.86	
19SEP17	S	1	PD19DEC17	11130.00	11656.81	USD	-3,160.86	
19SEP17	S	1	PD19DEC17	11195.00	11656.81	USD	-2,770.86	
19SEP17	S	1	PD19DEC17	11230.00	11656.81	USD	-2,560.86	
19SEP17	B	2	PD19DEC17	11150.00	11656.81	USD	6,081.72	
19SEP17	B	1	PD19DEC17	11135.00	11656.81	USD	3,130.86	
20SEP17	S	3	PD19DEC17	11378.23	11656.81	USD	-5,014.44	
	NET	0 AVG BGHT 11030.31	SOLD 11124.67				9,058.14	0.00
20SEP17	B	3	PD20DEC17	11225.00	11657.50	USD	7,785.00	
20SEP17	S	1	PD20DEC17	11295.00	11657.50	USD	-2,175.00	
20SEP17	S	1	PD20DEC17	11320.00	11657.50	USD	-2,025.00	

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20SEP17	S	2	PD20DEC17	11330.00	11657.50	USD	-3,930.00	
20SEP17	B	3	PD20DEC17	11320.00	11657.50	USD	6,075.00	
20SEP17	S	1	PD20DEC17	11370.00	11657.50	USD	-1,725.00	
20SEP17	B	2	PD20DEC17	11375.00	11657.50	USD	3,390.00	
20SEP17	B	1	PD20DEC17	11360.00	11657.50	USD	1,785.00	
20SEP17	B	1	PD20DEC17	11355.00	11657.50	USD	1,815.00	
20SEP17	B	1	PD20DEC17	11340.00	11657.50	USD	1,905.00	
20SEP17	B	1	PD20DEC17	11295.00	11657.50	USD	2,175.00	
20SEP17	B	1	PD20DEC17	11285.00	11657.50	USD	2,235.00	
26SEP17	S	8	PD20DEC17	10470.00	11657.50	USD	-57,000.00	
	NET	0 AVG BGHT 11309.23	SOLD 10800.38				-39,690.00	0.00
21SEP17	B	1	PD21DEC17	11015.00	11658.26	USD	3,859.56	
21SEP17	S	1	PD21DEC17	11080.00	11658.26	USD	-3,469.56	
21SEP17	B	1	PD21DEC17	11025.00	11658.26	USD	3,799.56	
21SEP17	B	1	PD21DEC17	11000.00	11658.26	USD	3,949.56	
21SEP17	S	1	PD21DEC17	11070.00	11658.26	USD	-3,529.56	
21SEP17	S	1	PD21DEC17	11060.00	11658.26	USD	-3,589.56	
21SEP17	B	1	PD21DEC17	11005.00	11658.26	USD	3,919.56	
21SEP17	B	1	PD21DEC17	11010.00	11658.26	USD	3,889.56	
21SEP17	B	1	PD21DEC17	10990.00	11658.26	USD	4,009.56	
21SEP17	B	1	PD21DEC17	10915.00	11658.26	USD	4,459.56	
21SEP17	S	1	PD21DEC17	11105.00	11658.26	USD	-3,319.56	
21SEP17	S	1	PD21DEC17	11120.00	11658.26	USD	-3,229.56	
21SEP17	S	1	PD21DEC17	11125.00	11658.26	USD	-3,199.56	
21SEP17	S	1	PD21DEC17	11145.00	11658.26	USD	-3,079.56	
21SEP17	S	2	PD21DEC17	11115.00	11658.26	USD	-6,519.12	
21SEP17	B	1	PD21DEC17	11090.00	11658.26	USD	3,409.56	
21SEP17	B	1	PD21DEC17	11050.00	11658.26	USD	3,649.56	
21SEP17	B	2	PD21DEC17	11055.00	11658.26	USD	7,239.12	
21SEP17	B	2	PD21DEC17	11040.00	11658.26	USD	7,419.12	
21SEP17	B	1	PD21DEC17	10970.00	11658.26	USD	4,129.56	
21SEP17	B	1	PD21DEC17	10845.00	11658.26	USD	4,879.56	
21SEP17	B	2	PD21DEC17	10830.00	11658.26	USD	9,939.12	
21SEP17	S	1	PD21DEC17	10830.00	11658.26	USD	-4,969.56	
21SEP17	B	1	PD21DEC17	10840.00	11658.26	USD	4,909.56	
21SEP17	B	1	PD21DEC17	10790.00	11658.26	USD	5,209.56	
21SEP17	B	1	PD21DEC17	10690.00	11658.26	USD	5,809.56	
21SEP17	B	1	PD21DEC17	10715.00	11658.26	USD	5,659.56	
21SEP17	B	1	PD21DEC17	10735.00	11658.26	USD	5,539.56	
21SEP17	B	2	PD21DEC17	10710.00	11658.26	USD	11,379.12	
21SEP17	B	1	PD21DEC17	10625.00	11658.26	USD	6,199.56	
21SEP17	B	2	PD21DEC17	10660.00	11658.26	USD	11,979.12	
21SEP17	B	1	PD21DEC17	10665.00	11658.26	USD	5,959.56	
21SEP17	B	1	PD21DEC17	10675.00	11658.26	USD	5,899.56	
21SEP17	B	1	PD21DEC17	10845.00	11658.26	USD	4,879.56	
21SEP17	B	1	PD21DEC17	10635.00	11658.26	USD	6,139.56	
26SEP17	S	21	PD21DEC17	10470.75	11658.26	USD	-149,626.26	
	NET	0 AVG BGHT 10861.94	SOLD 10666.15				-36,415.50	0.00
22SEP17	B	1	PD22DEC17	10585.00	11659.02	USD	6,444.12	
22SEP17	B	1	PD22DEC17	10555.00	11659.02	USD	6,624.12	
22SEP17	B	1	PD22DEC17	10550.00	11659.02	USD	6,654.12	
22SEP17	S	1	PD22DEC17	10555.00	11659.02	USD	-6,624.12	
22SEP17	S	1	PD22DEC17	10545.00	11659.02	USD	-6,684.12	
26SEP17	S	1	PD22DEC17	10471.50	11659.02	USD	-7,125.12	
	NET	0 AVG BGHT 10563.33	SOLD 10523.83				-711.00	0.00
25SEP17	B	1	PD27DEC17	10520.00	11662.83	USD	6,856.98	

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25SEP17	S	1	PD27DEC17	10500.00	11662.83	USD	-6,976.98	
25SEP17	B	1	PD27DEC17	10490.00	11662.83	USD	7,036.98	
25SEP17	S	1	PD27DEC17	10630.00	11662.83	USD	-6,196.98	
25SEP17	B	1	PD27DEC17	10610.00	11662.83	USD	6,316.98	
25SEP17	B	3	PD27DEC17	10605.00	11662.83	USD	19,040.94	
25SEP17	B	1	PD27DEC17	10575.00	11662.83	USD	6,526.98	
25SEP17	B	1	PD27DEC17	10580.00	11662.83	USD	6,496.98	
25SEP17	S	1	PD27DEC17	10615.00	11662.83	USD	-6,286.98	
26SEP17	B	1	PD27DEC17	10640.00	11662.83	USD	6,136.98	
26SEP17	B	1	PD27DEC17	10620.00	11662.83	USD	6,256.98	
26SEP17	B	1	PD27DEC17	10585.00	11662.83	USD	6,466.98	
26SEP17	B	1	PD27DEC17	10580.00	11662.83	USD	6,496.98	
26SEP17	S	1	PD27DEC17	10600.00	11662.83	USD	-6,376.98	
26SEP17	S	2	PD27DEC17	10475.00	11662.83	USD	-14,253.96	
26SEP17	S	1	PD27DEC17	10470.00	11662.83	USD	-7,156.98	
26SEP17	S	1	PD27DEC17	10465.00	11662.83	USD	-7,186.98	
27SEP17	S	1	PD27DEC17	10580.00	11662.83	USD	-6,496.98	
27SEP17	S	2	PD27DEC17	10585.00	11662.83	USD	-12,933.96	
27SEP17	S	1	PD27DEC17	10505.00	11662.83	USD	-6,946.98	
	NET	0 AVG BGHT 10584.58	SOLD 10540.42				-3,180.00	0.00
28SEP17	S	1	PD28DEC17	10265.00	11663.59	USD	-8,391.54	
28SEP17	S	1	PD28DEC17	10345.00	11663.59	USD	-7,911.54	
28SEP17	S	1	PD28DEC17	10380.00	11663.59	USD	-7,701.54	
28SEP17	S	1	PD28DEC17	10390.00	11663.59	USD	-7,641.54	
28SEP17	B	1	PD28DEC17	10445.00	11663.59	USD	7,311.54	
28SEP17	S	1	PD28DEC17	10455.00	11663.59	USD	-7,251.54	
28SEP17	S	1	PD28DEC17	10465.00	11663.59	USD	-7,191.54	
28SEP17	S	1	PD28DEC17	10475.00	11663.59	USD	-7,131.54	
28SEP17	S	1	PD28DEC17	10485.00	11663.59	USD	-7,071.54	
28SEP17	S	2	PD28DEC17	10480.00	11663.59	USD	-14,203.08	
28SEP17	B	9	PD28DEC17	10441.00	11663.59	USD	66,019.86	
	NET	0 AVG BGHT 10441.40	SOLD 10422.00				-1,164.00	0.00
29SEP17	B	1	PD29DEC17	10505.00	11664.35	USD	6,956.10	
29SEP17	S	1	PD29DEC17	10520.00	11664.35	USD	-6,866.10	
	NET	0 AVG BGHT 10505.00	SOLD 10520.00				90.00	0.00
04OCT17	B	1	PD04JAN18	10760.00	11668.91	USD	5,453.46	
04OCT17	S	1	PD04JAN18	10755.00	11668.91	USD	-5,483.46	
04OCT17	B	1	PD04JAN18	10775.00	11668.91	USD	5,363.46	
04OCT17	B	1	PD04JAN18	10650.00	11668.91	USD	6,113.46	
04OCT17	S	1	PD04JAN18	10675.00	11668.91	USD	-5,963.46	
04OCT17	S	1	PD04JAN18	10735.00	11668.91	USD	-5,603.46	
04OCT17	B	2	PD04JAN18	10575.00	11668.91	USD	13,126.92	
10OCT17	S	2	PD04JAN18	11051.00	11668.91	USD	-7,414.92	
	NET	0 AVG BGHT 10667.00	SOLD 10853.40				5,592.00	0.00
05OCT17	S	1	PD05JAN18	10645.00	11669.67	USD	-6,148.02	
05OCT17	B	1	PD05JAN18	10660.00	11669.67	USD	6,058.02	
05OCT17	B	1	PD05JAN18	10630.00	11669.67	USD	6,238.02	
05OCT17	B	1	PD05JAN18	10560.00	11669.67	USD	6,658.02	
05OCT17	B	2	PD05JAN18	10540.00	11669.67	USD	13,556.04	
05OCT17	B	2	PD05JAN18	10550.00	11669.67	USD	13,436.04	
05OCT17	B	2	PD05JAN18	10545.00	11669.67	USD	13,496.04	
05OCT17	B	1	PD05JAN18	10655.00	11669.67	USD	6,088.02	
05OCT17	S	1	PD05JAN18	10635.00	11669.67	USD	-6,208.02	
05OCT17	B	3	PD05JAN18	10650.00	11669.67	USD	18,354.06	
05OCT17	B	2	PD05JAN18	10645.00	11669.67	USD	12,296.04	
05OCT17	B	2	PD05JAN18	10625.00	11669.67	USD	12,536.04	

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
05OCT17	B	2	PD05JAN18	10635.00	11669.67	USD	12,416.04	
05OCT17	B	1	PD05JAN18	10620.00	11669.67	USD	6,298.02	
05OCT17	S	1	PD05JAN18	10600.00	11669.67	USD	-6,418.02	
05OCT17	S	2	PD05JAN18	10595.00	11669.67	USD	-12,896.04	
05OCT17	B	1	PD05JAN18	10580.00	11669.67	USD	6,538.02	
05OCT17	B	1	PD05JAN18	10575.00	11669.67	USD	6,568.02	
05OCT17	B	2	PD05JAN18	10590.00	11669.67	USD	12,956.04	
05OCT17	B	1	PD05JAN18	10600.00	11669.67	USD	6,418.02	
05OCT17	B	1	PD05JAN18	10535.00	11669.67	USD	6,808.02	
10OCT17	S	15	PD05JAN18	11051.67	11669.67	USD	-55,620.00	
12OCT17	S	6	PD05JAN18	11390.13	11669.67	USD	-10,063.44	
	NET	0	AVG BGHT 10600.96	SOLD 11045.61			69,364.98	0.00
09OCT17	S	1	PD09JAN18	10820.00	11672.72	USD	-5,116.32	
09OCT17	S	1	PD09JAN18	10840.00	11672.72	USD	-4,996.32	
09OCT17	S	2	PD09JAN18	10855.00	11672.72	USD	-9,812.64	
09OCT17	S	1	PD09JAN18	10880.00	11672.72	USD	-4,756.32	
09OCT17	S	1	PD09JAN18	10870.00	11672.72	USD	-4,816.32	
09OCT17	S	1	PD09JAN18	10865.00	11672.72	USD	-4,846.32	
09OCT17	B	1	PD09JAN18	10785.00	11672.72	USD	5,326.32	
09OCT17	S	1	PD09JAN18	10875.00	11672.72	USD	-4,786.32	
09OCT17	B	3	PD09JAN18	10875.00	11672.72	USD	14,358.96	
09OCT17	S	2	PD09JAN18	10925.00	11672.72	USD	-8,972.64	
09OCT17	S	1	PD09JAN18	10910.00	11672.72	USD	-4,576.32	
09OCT17	S	1	PD09JAN18	10945.00	11672.72	USD	-4,366.32	
09OCT17	S	1	PD09JAN18	10955.00	11672.72	USD	-4,306.32	
09OCT17	S	1	PD09JAN18	10985.00	11672.72	USD	-4,126.32	
09OCT17	B	1	PD09JAN18	10975.00	11672.72	USD	4,186.32	
09OCT17	S	1	PD09JAN18	11015.00	11672.72	USD	-3,946.32	
09OCT17	S	7	PD09JAN18	10990.00	11672.72	USD	-28,674.24	
10OCT17	B	17	PD09JAN18	11055.33	11672.72	USD	62,973.78	
	NET	0	AVG BGHT 11014.80	SOLD 10929.55			-11,253.66	0.00
10OCT17	B	1	PD10JAN18	10870.00	11673.48	USD	4,820.88	
10OCT17	B	1	PD10JAN18	10865.00	11673.48	USD	4,850.88	
10OCT17	S	1	PD10JAN18	10920.00	11673.48	USD	-4,520.88	
10OCT17	S	1	PD10JAN18	10925.00	11673.48	USD	-4,490.88	
10OCT17	S	1	PD10JAN18	10930.00	11673.48	USD	-4,460.88	
10OCT17	S	1	PD10JAN18	10940.00	11673.48	USD	-4,400.88	
10OCT17	S	2	PD10JAN18	10945.00	11673.48	USD	-8,741.76	
10OCT17	S	1	PD10JAN18	11010.00	11673.48	USD	-3,980.88	
10OCT17	B	1	PD10JAN18	10925.00	11673.48	USD	4,490.88	
10OCT17	B	1	PD10JAN18	10905.00	11673.48	USD	4,610.88	
10OCT17	B	1	PD10JAN18	10895.00	11673.48	USD	4,670.88	
10OCT17	B	1	PD10JAN18	10880.00	11673.48	USD	4,760.88	
10OCT17	B	1	PD10JAN18	10845.00	11673.48	USD	4,970.88	
10OCT17	B	1	PD10JAN18	10965.00	11673.48	USD	4,250.88	
10OCT17	B	1	PD10JAN18	10875.00	11673.48	USD	4,790.88	
10OCT17	B	1	PD10JAN18	10870.00	11673.48	USD	4,820.88	
10OCT17	S	2	PD10JAN18	11015.00	11673.48	USD	-7,901.76	
10OCT17	S	1	PD10JAN18	11020.00	11673.48	USD	-3,920.88	
10OCT17	S	1	PD10JAN18	11050.00	11673.48	USD	-3,740.88	
10OCT17	S	2	PD10JAN18	11025.00	11673.48	USD	-7,781.76	
10OCT17	S	3	PD10JAN18	11035.00	11673.48	USD	-11,492.64	
12OCT17	B	6	PD10JAN18	11394.61	11673.48	USD	10,039.32	
	NET	0	AVG BGHT 11078.92	SOLD 10991.88			-8,355.96	0.00
11OCT17	S	1	PD11JAN18	11130.00	11674.24	USD	-3,265.44	
11OCT17	S	2	PD11JAN18	11110.00	11674.24	USD	-6,770.88	

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11OCT17	S	1	PD11JAN18		11120.00	11674.24	USD	-3,325.44	
11OCT17	S	1	PD11JAN18		11125.00	11674.24	USD	-3,295.44	
11OCT17	S	2	PD11JAN18		11135.00	11674.24	USD	-6,470.88	
11OCT17	S	1	PD11JAN18		11140.00	11674.24	USD	-3,205.44	
11OCT17	S	2	PD11JAN18		11150.00	11674.24	USD	-6,290.88	
11OCT17	S	2	PD11JAN18		11165.00	11674.24	USD	-6,110.88	
11OCT17	S	2	PD11JAN18		11170.00	11674.24	USD	-6,050.88	
11OCT17	S	2	PD11JAN18		11175.00	11674.24	USD	-5,990.88	
11OCT17	S	1	PD11JAN18		11200.00	11674.24	USD	-2,845.44	
11OCT17	S	4	PD11JAN18		11185.00	11674.24	USD	-11,741.76	
11OCT17	S	1	PD11JAN18		11190.00	11674.24	USD	-2,905.44	
11OCT17	S	4	PD11JAN18		11195.00	11674.24	USD	-11,501.76	
11OCT17	S	1	PD11JAN18		11205.00	11674.24	USD	-2,815.44	
11OCT17	B	3	PD11JAN18		11180.00	11674.24	USD	8,896.32	
11OCT17	B	21	PD11JAN18		11136.00	11674.24	USD	67,818.24	
11OCT17	B	2	PD11JAN18		11185.00	11674.24	USD	5,870.88	
11OCT17	S	1	PD11JAN18		11155.00	11674.24	USD	-3,115.44	
11OCT17	B	2	PD11JAN18		11185.00	11674.24	USD	5,870.88	
	NET	0	AVG BGHT 11147.71	SOLD 11164.11				2,754.00	0.00
12OCT17	S	1	PD12JAN18		11260.00	11675.00	USD	-2,490.00	
12OCT17	S	1	PD12JAN18		11275.00	11675.00	USD	-2,400.00	
12OCT17	S	1	PD12JAN18		11280.00	11675.00	USD	-2,370.00	
12OCT17	S	1	PD12JAN18		11290.00	11675.00	USD	-2,310.00	
12OCT17	B	1	PD12JAN18		11265.00	11675.00	USD	2,460.00	
12OCT17	S	1	PD12JAN18		11285.00	11675.00	USD	-2,340.00	
12OCT17	B	1	PD12JAN18		11270.00	11675.00	USD	2,430.00	
12OCT17	B	1	PD12JAN18		11235.00	11675.00	USD	2,640.00	
12OCT17	B	1	PD12JAN18		11260.00	11675.00	USD	2,490.00	
12OCT17	S	1	PD12JAN18		11245.00	11675.00	USD	-2,580.00	
12OCT17	B	1	PD12JAN18		11255.00	11675.00	USD	2,520.00	
12OCT17	S	1	PD12JAN18		11220.00	11675.00	USD	-2,730.00	
12OCT17	S	1	PD12JAN18		11225.00	11675.00	USD	-2,700.00	
12OCT17	S	1	PD12JAN18		11380.00	11675.00	USD	-1,770.00	
12OCT17	S	1	PD12JAN18		11385.00	11675.00	USD	-1,740.00	
12OCT17	S	1	PD12JAN18		11405.00	11675.00	USD	-1,620.00	
12OCT17	S	1	PD12JAN18		11410.00	11675.00	USD	-1,590.00	
12OCT17	S	1	PD12JAN18		11415.00	11675.00	USD	-1,560.00	
12OCT17	B	1	PD12JAN18		11395.00	11675.00	USD	1,680.00	
13OCT17	S	1	PD12JAN18		11485.00	11675.00	USD	-1,140.00	
13OCT17	S	1	PD12JAN18		11490.00	11675.00	USD	-1,110.00	
13OCT17	S	1	PD12JAN18		11530.00	11675.00	USD	-870.00	
13OCT17	S	1	PD12JAN18		11550.00	11675.00	USD	-750.00	
13OCT17	S	1	PD12JAN18		11565.00	11675.00	USD	-660.00	
13OCT17	S	1	PD12JAN18		11590.00	11675.00	USD	-510.00	
13OCT17	S	1	PD12JAN18		11630.00	11675.00	USD	-270.00	
13OCT17	B	1	PD12JAN18		11615.00	11675.00	USD	360.00	
13OCT17	B	2	PD12JAN18		11610.00	11675.00	USD	780.00	
13OCT17	B	4	PD12JAN18		11590.00	11675.00	USD	2,040.00	
13OCT17	S	1	PD12JAN18		11695.00	11675.00	USD	120.00	
13OCT17	S	2	PD12JAN18		11715.00	11675.00	USD	480.00	
13OCT17	B	2	PD12JAN18		11620.00	11675.00	USD	660.00	
13OCT17	B	1	PD12JAN18		11595.00	11675.00	USD	480.00	
13OCT17	B	3	PD12JAN18		11585.00	11675.00	USD	1,620.00	
13OCT17	B	1	PD12JAN18		11580.00	11675.00	USD	570.00	
13OCT17	B	1	PD12JAN18		11575.00	11675.00	USD	600.00	
13OCT17	B	2	PD12JAN18		11565.00	11675.00	USD	1,320.00	
13OCT17	S	1	PD12JAN18		11610.00	11675.00	USD	-390.00	

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13OCT17	B	1	PD12JAN18	11550.00	11675.00	USD	750.00	
13OCT17	S	2	PD12JAN18	11605.00	11675.00	USD	-840.00	
13OCT17	S	1	PD12JAN18	11660.00	11675.00	USD	-90.00	
13OCT17	B	1	PD12JAN18	11640.00	11675.00	USD	210.00	
13OCT17	B	1	PD12JAN18	11630.00	11675.00	USD	270.00	
13OCT17	S	1	PD12JAN18	11650.00	11675.00	USD	-150.00	
13OCT17	S	1	PD12JAN18	11690.00	11675.00	USD	90.00	
13OCT17	S	1	PD12JAN18	11735.00	11675.00	USD	360.00	
13OCT17	B	1	PD12JAN18	11715.00	11675.00	USD	-240.00	
	NET	-3AVG BGHT 11529.07	SOLD 11486.50				-10,290.00	0.00
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
17JUL17	S	1	PD17OCT17	2820.00	3294.00	USD	-11,850.00	
17JUL17	B	1	PD17OCT17	2813.50	3294.00	USD	12,012.50	
17JUL17	B	1	PD17OCT17	2815.50	3294.00	USD	11,962.50	
17JUL17	B	2	PD17OCT17	2817.50	3294.00	USD	23,825.00	
17JUL17	B	1	PD17OCT17	2814.50	3294.00	USD	11,987.50	
17JUL17	S	1	PD17OCT17	2826.00	3294.00	USD	-11,700.00	
17JUL17	S	1	PD17OCT17	2821.50	3294.00	USD	-11,812.50	
17JUL17	B	1	PD17OCT17	2822.50	3294.00	USD	11,787.50	
17JUL17	S	3	PD17OCT17	2829.50	3294.00	USD	-34,837.50	
17JUL17	S	1	PD17OCT17	2830.00	3294.00	USD	-11,600.00	
17JUL17	S	1	PD17OCT17	2830.50	3294.00	USD	-11,587.50	
17JUL17	S	1	PD17OCT17	2831.50	3294.00	USD	-11,562.50	
17JUL17	S	1	PD17OCT17	2837.00	3294.00	USD	-11,425.00	
17JUL17	B	1	PD17OCT17	2828.50	3294.00	USD	11,637.50	
17JUL17	B	2	PD17OCT17	2820.00	3294.00	USD	23,700.00	
17JUL17	B	1	PD17OCT17	2817.00	3294.00	USD	11,925.00	
17JUL17	B	2	PD17OCT17	2816.00	3294.00	USD	23,900.00	
17JUL17	B	2	PD17OCT17	2813.00	3294.00	USD	24,050.00	
17JUL17	S	2	PD17OCT17	2834.00	3294.00	USD	-23,000.00	
17JUL17	S	2	PD17OCT17	2834.50	3294.00	USD	-22,975.00	
17JUL17	S	1	PD17OCT17	2839.50	3294.00	USD	-11,362.50	
17JUL17	S	2	PD17OCT17	2844.50	3294.00	USD	-22,475.00	
17JUL17	S	1	PD17OCT17	2842.50	3294.00	USD	-11,287.50	
17JUL17	S	1	PD17OCT17	2844.00	3294.00	USD	-11,250.00	
17JUL17	S	3	PD17OCT17	2846.00	3294.00	USD	-33,600.00	
17JUL17	B	1	PD17OCT17	2827.50	3294.00	USD	11,662.50	
17JUL17	B	1	PD17OCT17	2815.50	3294.00	USD	11,962.50	
17JUL17	S	1	PD17OCT17	2846.00	3294.00	USD	-11,200.00	
17JUL17	B	1	PD17OCT17	2812.50	3294.00	USD	12,037.50	
17JUL17	B	2	PD17OCT17	2819.00	3294.00	USD	23,750.00	
17JUL17	B	5	PD17OCT17	2820.50	3294.00	USD	59,187.50	
18JUL17	S	1	PD17OCT17	2793.61	3294.00	USD	-12,509.75	
	NET	0AVG BGHT 2818.35	SOLD 2833.94				9,352.75	0.00
18JUL17	B	1	PD18OCT17	2821.00	3291.00	USD	11,750.00	
18JUL17	B	2	PD18OCT17	2822.00	3291.00	USD	23,450.00	
18JUL17	B	3	PD18OCT17	2800.00	3291.00	USD	36,825.00	
18JUL17	B	1	PD18OCT17	2788.50	3291.00	USD	12,562.50	
18JUL17	B	1	PD18OCT17	2794.00	3291.00	USD	12,425.00	
18JUL17	B	1	PD18OCT17	2789.00	3291.00	USD	12,550.00	
18JUL17	B	1	PD18OCT17	2786.50	3291.00	USD	12,612.50	
18JUL17	B	1	PD18OCT17	2778.00	3291.00	USD	12,825.00	
18JUL17	B	1	PD18OCT17	2777.00	3291.00	USD	12,850.00	
18JUL17	B	1	PD18OCT17	2810.00	3291.00	USD	12,025.00	
18JUL17	B	1	PD18OCT17	2793.00	3291.00	USD	12,450.00	

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18JUL17	S	1	PD18OCT17	2782.50	3291.00	USD	-12,712.50	
18JUL17	S	1	PD18OCT17	2781.50	3291.00	USD	-12,737.50	
18JUL17	S	1	PD18OCT17	2783.00	3291.00	USD	-12,700.00	
18JUL17	S	1	PD18OCT17	2784.00	3291.00	USD	-12,675.00	
18JUL17	S	1	PD18OCT17	2781.50	3291.00	USD	-12,737.50	
18JUL17	S	1	PD18OCT17	2786.00	3291.00	USD	-12,625.00	
18JUL17	S	3	PD18OCT17	2788.50	3291.00	USD	-37,687.50	
18JUL17	S	1	PD18OCT17	2790.00	3291.00	USD	-12,525.00	
18JUL17	S	1	PD18OCT17	2795.00	3291.00	USD	-12,400.00	
18JUL17	S	2	PD18OCT17	2792.50	3291.00	USD	-24,925.00	
18JUL17	S	1	PD18OCT17	2796.00	3291.00	USD	-12,375.00	
18JUL17	S	1	PD18OCT17	2797.00	3291.00	USD	-12,350.00	
18JUL17	S	2	PD18OCT17	2795.50	3291.00	USD	-24,775.00	
18JUL17	S	2	PD18OCT17	2793.50	3291.00	USD	-24,875.00	
18JUL17	S	2	PD18OCT17	2794.00	3291.00	USD	-24,850.00	
18JUL17	S	2	PD18OCT17	2794.50	3291.00	USD	-24,825.00	
18JUL17	S	1	PD18OCT17	2793.00	3291.00	USD	-12,450.00	
24JUL17	B	10	PD18OCT17	2789.00	3291.00	USD	125,500.00	
	NET	0	AVG BGHT 2794.63	SOLD 2790.63			-2,400.00	0.00
19JUL17	S	1	PD19OCT17	2797.00	3286.50	USD	-12,237.50	
19JUL17	B	1	PD19OCT17	2778.50	3286.50	USD	12,700.00	
19JUL17	B	1	PD19OCT17	2782.00	3286.50	USD	12,612.50	
19JUL17	B	1	PD19OCT17	2780.50	3286.50	USD	12,650.00	
19JUL17	B	1	PD19OCT17	2779.00	3286.50	USD	12,687.50	
19JUL17	B	1	PD19OCT17	2773.00	3286.50	USD	12,837.50	
19JUL17	B	2	PD19OCT17	2772.00	3286.50	USD	25,725.00	
19JUL17	B	1	PD19OCT17	2770.50	3286.50	USD	12,900.00	
19JUL17	B	1	PD19OCT17	2775.00	3286.50	USD	12,787.50	
19JUL17	B	1	PD19OCT17	2768.50	3286.50	USD	12,950.00	
19JUL17	B	1	PD19OCT17	2765.00	3286.50	USD	13,037.50	
19JUL17	B	2	PD19OCT17	2764.50	3286.50	USD	26,100.00	
19JUL17	B	1	PD19OCT17	2767.50	3286.50	USD	12,975.00	
19JUL17	B	1	PD19OCT17	2760.50	3286.50	USD	13,150.00	
19JUL17	B	1	PD19OCT17	2755.00	3286.50	USD	13,287.50	
19JUL17	B	1	PD19OCT17	2751.00	3286.50	USD	13,387.50	
19JUL17	B	1	PD19OCT17	2753.00	3286.50	USD	13,337.50	
19JUL17	S	1	PD19OCT17	2764.00	3286.50	USD	-13,062.50	
19JUL17	B	1	PD19OCT17	2756.00	3286.50	USD	13,262.50	
19JUL17	B	1	PD19OCT17	2746.00	3286.50	USD	13,512.50	
19JUL17	B	1	PD19OCT17	2766.00	3286.50	USD	13,012.50	
24JUL17	S	17	PD19OCT17	2787.30	3286.50	USD	-212,160.00	
25JUL17	S	2	PD19OCT17	2823.00	3286.50	USD	-23,175.00	
	NET	0	AVG BGHT 2766.67	SOLD 2790.05			12,277.50	0.00
20JUL17	S	1	PD20OCT17	2736.50	3282.00	USD	-13,637.50	
20JUL17	S	1	PD20OCT17	2739.50	3282.00	USD	-13,562.50	
20JUL17	S	1	PD20OCT17	2741.00	3282.00	USD	-13,525.00	
20JUL17	S	1	PD20OCT17	2742.50	3282.00	USD	-13,487.50	
20JUL17	S	1	PD20OCT17	2742.00	3282.00	USD	-13,500.00	
20JUL17	S	1	PD20OCT17	2743.50	3282.00	USD	-13,462.50	
20JUL17	S	1	PD20OCT17	2744.50	3282.00	USD	-13,437.50	
20JUL17	S	2	PD20OCT17	2756.00	3282.00	USD	-26,300.00	
20JUL17	S	2	PD20OCT17	2754.50	3282.00	USD	-26,375.00	
20JUL17	S	1	PD20OCT17	2755.50	3282.00	USD	-13,162.50	
20JUL17	S	3	PD20OCT17	2758.50	3282.00	USD	-39,262.50	
20JUL17	S	3	PD20OCT17	2757.00	3282.00	USD	-39,375.00	
20JUL17	B	1	PD20OCT17	2743.00	3282.00	USD	13,475.00	
20JUL17	B	1	PD20OCT17	2741.50	3282.00	USD	13,512.50	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0112A

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
20JUL17	S	1	PD200CT17	2741.00	3282.00	USD	-13,525.00	
20JUL17	S	1	PD200CT17	2745.00	3282.00	USD	-13,425.00	
20JUL17	B	1	PD200CT17	2740.50	3282.00	USD	13,537.50	
20JUL17	B	3	PD200CT17	2741.00	3282.00	USD	40,575.00	
20JUL17	B	1	PD200CT17	2739.00	3282.00	USD	13,575.00	
20JUL17	B	1	PD200CT17	2742.50	3282.00	USD	13,487.50	
20JUL17	B	1	PD200CT17	2746.50	3282.00	USD	13,387.50	
20JUL17	B	3	PD200CT17	2746.00	3282.00	USD	40,200.00	
20JUL17	B	6	PD200CT17	2744.00	3282.00	USD	80,700.00	
20JUL17	S	1	PD200CT17	2747.50	3282.00	USD	-13,362.50	
20JUL17	B	2	PD200CT17	2728.00	3282.00	USD	27,700.00	
20JUL17	B	1	PD200CT17	2727.00	3282.00	USD	13,875.00	
20JUL17	B	1	PD200CT17	2729.50	3282.00	USD	13,812.50	
20JUL17	B	1	PD200CT17	2728.50	3282.00	USD	13,837.50	
20JUL17	B	2	PD200CT17	2727.50	3282.00	USD	27,725.00	
20JUL17	B	2	PD200CT17	2726.50	3282.00	USD	27,775.00	
20JUL17	B	2	PD200CT17	2726.00	3282.00	USD	27,800.00	
21JUL17	S	1	PD200CT17	2749.50	3282.00	USD	-13,312.50	
21JUL17	S	2	PD200CT17	2749.00	3282.00	USD	-26,650.00	
21JUL17	S	1	PD200CT17	2751.00	3282.00	USD	-13,275.00	
21JUL17	B	1	PD200CT17	2744.50	3282.00	USD	13,437.50	
21JUL17	B	1	PD200CT17	2744.00	3282.00	USD	13,450.00	
21JUL17	S	1	PD200CT17	2752.50	3282.00	USD	-13,237.50	
21JUL17	S	1	PD200CT17	2758.00	3282.00	USD	-13,100.00	
21JUL17	S	1	PD200CT17	2762.50	3282.00	USD	-12,987.50	
21JUL17	S	1	PD200CT17	2763.50	3282.00	USD	-12,962.50	
21JUL17	S	1	PD200CT17	2764.00	3282.00	USD	-12,950.00	
21JUL17	S	1	PD200CT17	2766.50	3282.00	USD	-12,887.50	
21JUL17	B	1	PD200CT17	2762.00	3282.00	USD	13,000.00	
21JUL17	B	1	PD200CT17	2757.50	3282.00	USD	13,112.50	
21JUL17	B	2	PD200CT17	2754.50	3282.00	USD	26,375.00	
21JUL17	S	1	PD200CT17	2766.00	3282.00	USD	-12,900.00	
21JUL17	S	1	PD200CT17	2769.50	3282.00	USD	-12,812.50	
21JUL17	S	1	PD200CT17	2770.50	3282.00	USD	-12,787.50	
21JUL17	S	1	PD200CT17	2772.00	3282.00	USD	-12,750.00	
21JUL17	S	1	PD200CT17	2772.50	3282.00	USD	-12,737.50	
21JUL17	B	1	PD200CT17	2761.50	3282.00	USD	13,012.50	
21JUL17	S	1	PD200CT17	2765.50	3282.00	USD	-12,912.50	
21JUL17	B	1	PD200CT17	2759.00	3282.00	USD	13,075.00	
21JUL17	B	1	PD200CT17	2755.00	3282.00	USD	13,175.00	
21JUL17	B	1	PD200CT17	2757.00	3282.00	USD	13,125.00	
21JUL17	S	1	PD200CT17	2772.00	3282.00	USD	-12,750.00	
21JUL17	B	1	PD200CT17	2750.50	3282.00	USD	13,287.50	
21JUL17	B	1	PD200CT17	2748.00	3282.00	USD	13,350.00	
25JUL17	S	1	PD200CT17	2823.00	3282.00	USD	-11,475.00	
01AUG17	S	2	PD200CT17	2776.25	3282.00	USD	-25,287.50	
	NET	0 AVG BGHT 2742.12	SOLD 2757.93				16,200.00	0.00
24JUL17	B	1	PD240CT17	2784.00	3276.00	USD	12,300.00	
24JUL17	B	1	PD240CT17	2776.50	3276.00	USD	12,487.50	
24JUL17	B	1	PD240CT17	2778.50	3276.00	USD	12,437.50	
24JUL17	B	1	PD240CT17	2777.00	3276.00	USD	12,475.00	
24JUL17	S	1	PD240CT17	2782.50	3276.00	USD	-12,337.50	
24JUL17	S	1	PD240CT17	2784.50	3276.00	USD	-12,287.50	
24JUL17	S	1	PD240CT17	2785.00	3276.00	USD	-12,275.00	
24JUL17	B	1	PD240CT17	2790.00	3276.00	USD	12,150.00	
24JUL17	B	1	PD240CT17	2787.00	3276.00	USD	12,225.00	
24JUL17	B	1	PD240CT17	2783.50	3276.00	USD	12,312.50	

OPEN POSITION STATEMENT



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SAP0112A

13th October 2017

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London W1J 7NJ UK
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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
24JUL17	B	1	PD240CT17	2782.00	3276.00	USD	12,350.00	
24JUL17	B	1	PD240CT17	2788.50	3276.00	USD	12,187.50	
24JUL17	B	2	PD240CT17	2788.00	3276.00	USD	24,400.00	
24JUL17	S	1	PD240CT17	2778.00	3276.00	USD	-12,450.00	
24JUL17	S	1	PD240CT17	2774.00	3276.00	USD	-12,550.00	
24JUL17	S	1	PD240CT17	2775.00	3276.00	USD	-12,525.00	
24JUL17	S	2	PD240CT17	2778.50	3276.00	USD	-24,875.00	
24JUL17	S	1	PD240CT17	2779.00	3276.00	USD	-12,425.00	
24JUL17	S	2	PD240CT17	2781.50	3276.00	USD	-24,725.00	
24JUL17	S	1	PD240CT17	2781.00	3276.00	USD	-12,375.00	
24JUL17	S	2	PD240CT17	2787.00	3276.00	USD	-24,450.00	
25JUL17	B	2	PD240CT17	2824.00	3276.00	USD	22,600.00	
25JUL17	B	1	PD240CT17	2823.90	3276.00	USD	11,302.50	
	NET	0 AVG BGHT 2792.49	SOLD 2780.93				-4,047.50	0.00
25JUL17	S	2	PD250CT17	2813.00	3273.00	USD	-23,000.00	
25JUL17	S	1	PD250CT17	2816.00	3273.00	USD	-11,425.00	
25JUL17	S	1	PD250CT17	2816.50	3273.00	USD	-11,412.50	
25JUL17	S	2	PD250CT17	2821.00	3273.00	USD	-22,600.00	
25JUL17	S	1	PD250CT17	2822.50	3273.00	USD	-11,262.50	
25JUL17	S	1	PD250CT17	2821.50	3273.00	USD	-11,287.50	
25JUL17	B	1	PD250CT17	2830.00	3273.00	USD	11,075.00	
25JUL17	B	2	PD250CT17	2828.00	3273.00	USD	22,250.00	
25JUL17	B	1	PD250CT17	2827.00	3273.00	USD	11,150.00	
25JUL17	B	2	PD250CT17	2826.50	3273.00	USD	22,325.00	
25JUL17	B	1	PD250CT17	2817.50	3273.00	USD	11,387.50	
25JUL17	B	1	PD250CT17	2847.00	3273.00	USD	10,650.00	
25JUL17	B	1	PD250CT17	2844.00	3273.00	USD	10,725.00	
25JUL17	B	1	PD250CT17	2843.00	3273.00	USD	10,750.00	
25JUL17	B	1	PD250CT17	2845.50	3273.00	USD	10,687.50	
01AUG17	S	3	PD250CT17	2778.03	3273.00	USD	-37,122.75	
	NET	0 AVG BGHT 2833.00	SOLD 2807.14				-7,110.25	0.00
26JUL17	B	1	PD260CT17	2847.00	3271.87	USD	10,621.75	
26JUL17	B	3	PD260CT17	2851.00	3271.87	USD	31,565.25	
26JUL17	B	1	PD260CT17	2853.00	3271.87	USD	10,471.75	
26JUL17	B	5	PD260CT17	2852.00	3271.87	USD	52,483.75	
26JUL17	B	1	PD260CT17	2850.50	3271.87	USD	10,534.25	
26JUL17	B	1	PD260CT17	2846.00	3271.87	USD	10,646.75	
26JUL17	B	1	PD260CT17	2829.00	3271.87	USD	11,071.75	
26JUL17	S	1	PD260CT17	2828.50	3271.87	USD	-11,084.25	
26JUL17	S	1	PD260CT17	2829.50	3271.87	USD	-11,059.25	
26JUL17	S	1	PD260CT17	2833.50	3271.87	USD	-10,959.25	
26JUL17	S	1	PD260CT17	2831.50	3271.87	USD	-11,009.25	
26JUL17	S	2	PD260CT17	2824.50	3271.87	USD	-22,368.50	
26JUL17	S	3	PD260CT17	2825.00	3271.87	USD	-33,515.25	
26JUL17	S	2	PD260CT17	2824.00	3271.87	USD	-22,393.50	
26JUL17	B	1	PD260CT17	2819.50	3271.87	USD	11,309.25	
26JUL17	S	1	PD260CT17	2818.00	3271.87	USD	-11,346.75	
26JUL17	S	1	PD260CT17	2827.00	3271.87	USD	-11,121.75	
26JUL17	S	2	PD260CT17	2822.00	3271.87	USD	-22,493.50	
26JUL17	S	2	PD260CT17	2823.00	3271.87	USD	-22,443.50	
26JUL17	S	3	PD260CT17	2825.50	3271.87	USD	-33,477.75	
26JUL17	S	2	PD260CT17	2826.50	3271.87	USD	-22,268.50	
26JUL17	S	1	PD260CT17	2820.00	3271.87	USD	-11,296.75	
26JUL17	S	1	PD260CT17	2817.50	3271.87	USD	-11,359.25	
26JUL17	B	1	PD260CT17	2801.50	3271.87	USD	11,759.25	
26JUL17	S	1	PD260CT17	2801.50	3271.87	USD	-11,759.25	
26JUL17	S	1	PD260CT17	2807.50	3271.87	USD	-11,609.25	

OPEN POSITION STATEMENT



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01AUG17	B	5	PD26OCT17		2778.51	3271.87	USD	61,670.00	
01AUG17	B	6	PD26OCT17		2778.31	3271.87	USD	74,034.00	
	NET	0AVG	BGHT 2816.23	SOLD 2823.31				4,602.25	0.00
27JUL17	S	2	PD27OCT17		2825.50	3270.73	USD	-22,261.50	
27JUL17	B	1	PD27OCT17		2819.50	3270.73	USD	11,280.75	
27JUL17	B	1	PD27OCT17		2817.50	3270.73	USD	11,330.75	
27JUL17	B	1	PD27OCT17		2816.00	3270.73	USD	11,368.25	
27JUL17	B	1	PD27OCT17		2813.50	3270.73	USD	11,430.75	
27JUL17	B	1	PD27OCT17		2814.50	3270.73	USD	11,405.75	
27JUL17	B	1	PD27OCT17		2813.00	3270.73	USD	11,443.25	
27JUL17	B	1	PD27OCT17		2814.00	3270.73	USD	11,418.25	
27JUL17	S	1	PD27OCT17		2822.00	3270.73	USD	-11,218.25	
27JUL17	B	2	PD27OCT17		2818.50	3270.73	USD	22,611.50	
27JUL17	S	1	PD27OCT17		2797.50	3270.73	USD	-11,830.75	
27JUL17	S	3	PD27OCT17		2799.00	3270.73	USD	-35,379.75	
27JUL17	S	2	PD27OCT17		2798.50	3270.73	USD	-23,611.50	
28JUL17	S	1	PD27OCT17		2786.50	3270.73	USD	-12,105.75	
28JUL17	S	2	PD27OCT17		2787.50	3270.73	USD	-24,161.50	
28JUL17	S	1	PD27OCT17		2791.00	3270.73	USD	-11,993.25	
28JUL17	S	1	PD27OCT17		2783.00	3270.73	USD	-12,193.25	
28JUL17	B	1	PD27OCT17		2787.00	3270.73	USD	12,093.25	
28JUL17	B	1	PD27OCT17		2770.50	3270.73	USD	12,505.75	
28JUL17	B	1	PD27OCT17		2768.50	3270.73	USD	12,555.75	
28JUL17	B	1	PD27OCT17		2766.50	3270.73	USD	12,605.75	
28JUL17	B	1	PD27OCT17		2763.50	3270.73	USD	12,680.75	
28JUL17	B	1	PD27OCT17		2762.50	3270.73	USD	12,705.75	
28JUL17	B	1	PD27OCT17		2761.50	3270.73	USD	12,730.75	
28JUL17	B	1	PD27OCT17		2764.00	3270.73	USD	12,668.25	
28JUL17	B	1	PD27OCT17		2760.00	3270.73	USD	12,768.25	
28JUL17	B	3	PD27OCT17		2785.00	3270.73	USD	36,429.75	
01AUG17	S	6	PD27OCT17		2778.39	3270.73	USD	-73,851.00	
01AUG17	S	1	PD27OCT17		2778.59	3270.73	USD	-12,303.50	
	NET	0AVG	BGHT 2790.67	SOLD 2792.81				1,123.25	0.00
31JUL17	S	1	PD31OCT17		2803.50	3268.47	USD	-11,624.25	
01AUG17	B	1	PD31OCT17		2779.92	3268.47	USD	12,213.75	
	NET	0AVG	BGHT 2779.92	SOLD 2803.50				589.50	0.00
01AUG17	S	1	PD01NOV17		2784.50	3267.33	USD	-12,070.75	
01AUG17	S	1	PD01NOV17		2780.00	3267.33	USD	-12,183.25	
01AUG17	S	1	PD01NOV17		2782.00	3267.33	USD	-12,133.25	
01AUG17	B	1	PD01NOV17		2771.00	3267.33	USD	12,408.25	
01AUG17	B	1	PD01NOV17		2773.50	3267.33	USD	12,345.75	
01AUG17	B	1	PD01NOV17		2776.50	3267.33	USD	12,270.75	
01AUG17	B	1	PD01NOV17		2774.00	3267.33	USD	12,333.25	
01AUG17	S	2	PD01NOV17		2778.00	3267.33	USD	-24,466.50	
01AUG17	S	1	PD01NOV17		2778.50	3267.33	USD	-12,220.75	
01AUG17	B	1	PD01NOV17		2775.00	3267.33	USD	12,308.25	
01AUG17	S	2	PD01NOV17		2783.50	3267.33	USD	-24,191.50	
01AUG17	B	2	PD01NOV17		2772.00	3267.33	USD	24,766.50	
01AUG17	S	1	PD01NOV17		2774.00	3267.33	USD	-12,333.25	
01AUG17	S	2	PD01NOV17		2774.50	3267.33	USD	-24,641.50	
01AUG17	S	2	PD01NOV17		2779.00	3267.33	USD	-24,416.50	
01AUG17	B	1	PD01NOV17		2779.50	3267.33	USD	12,195.75	
07AUG17	B	1	PD01NOV17		2856.71	3267.33	USD	10,265.50	
10AUG17	B	4	PD01NOV17		2936.39	3267.33	USD	33,094.00	
	NET	0AVG	BGHT 2830.44	SOLD 2779.15				-16,669.25	0.00
02AUG17	S	1	PD02NOV17		2770.50	3266.20	USD	-12,392.50	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0112A

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
02AUG17	B	1	PD02NOV17	2771.00	3266.20	USD	12,380.00	
02AUG17	B	1	PD02NOV17	2769.00	3266.20	USD	12,430.00	
02AUG17	B	1	PD02NOV17	2770.00	3266.20	USD	12,405.00	
02AUG17	B	1	PD02NOV17	2771.50	3266.20	USD	12,367.50	
02AUG17	B	1	PD02NOV17	2774.50	3266.20	USD	12,292.50	
02AUG17	B	2	PD02NOV17	2773.00	3266.20	USD	24,660.00	
02AUG17	B	1	PD02NOV17	2766.50	3266.20	USD	12,492.50	
02AUG17	B	1	PD02NOV17	2764.00	3266.20	USD	12,555.00	
02AUG17	B	1	PD02NOV17	2766.00	3266.20	USD	12,505.00	
02AUG17	B	1	PD02NOV17	2765.00	3266.20	USD	12,530.00	
02AUG17	S	2	PD02NOV17	2772.00	3266.20	USD	-24,710.00	
02AUG17	S	2	PD02NOV17	2775.00	3266.20	USD	-24,560.00	
02AUG17	S	1	PD02NOV17	2776.00	3266.20	USD	-12,255.00	
02AUG17	S	1	PD02NOV17	2783.00	3266.20	USD	-12,080.00	
02AUG17	S	1	PD02NOV17	2781.00	3266.20	USD	-12,130.00	
02AUG17	S	2	PD02NOV17	2781.50	3266.20	USD	-24,235.00	
02AUG17	S	1	PD02NOV17	2786.50	3266.20	USD	-11,992.50	
02AUG17	S	1	PD02NOV17	2791.50	3266.20	USD	-11,867.50	
02AUG17	S	1	PD02NOV17	2796.00	3266.20	USD	-11,755.00	
02AUG17	S	1	PD02NOV17	2800.50	3266.20	USD	-11,642.50	
02AUG17	S	1	PD02NOV17	2803.50	3266.20	USD	-11,567.50	
02AUG17	S	1	PD02NOV17	2802.00	3266.20	USD	-11,605.00	
10AUG17	B	5	PD02NOV17	2936.57	3266.20	USD	41,203.75	
20SEP17	S	10	PD02NOV17	3145.50	3266.20	USD	-30,175.00	
20SEP17	S	5	PD02NOV17	3145.75	3266.20	USD	-15,056.25	
20SEP17	S	3	PD02NOV17	3132.25	3266.20	USD	-10,046.25	
03OCT17	S	5	PD02NOV17	3242.50	3266.20	USD	-2,962.50	
03OCT17	S	5	PD02NOV17	3242.25	3266.20	USD	-2,993.75	
03OCT17	S	10	PD02NOV17	3242.75	3266.20	USD	-5,862.50	
11OCT17	B	38	PD02NOV17	3254.00	3266.20	USD	11,590.00	
	NET	0AVG BGHT 3125.90	SOLD 3073.69				-70,477.50	0.00
03AUG17	B	1	PD03NOV17	2823.00	3265.07	USD	11,051.75	
03AUG17	S	1	PD03NOV17	2822.00	3265.07	USD	-11,076.75	
03AUG17	S	1	PD03NOV17	2822.50	3265.07	USD	-11,064.25	
03AUG17	S	1	PD03NOV17	2823.50	3265.07	USD	-11,039.25	
03AUG17	B	2	PD03NOV17	2818.50	3265.07	USD	22,328.50	
03AUG17	B	1	PD03NOV17	2816.00	3265.07	USD	11,226.75	
03AUG17	B	3	PD03NOV17	2812.00	3265.07	USD	33,980.25	
03AUG17	B	1	PD03NOV17	2811.00	3265.07	USD	11,351.75	
03AUG17	B	2	PD03NOV17	2813.50	3265.07	USD	22,578.50	
03AUG17	B	2	PD03NOV17	2811.50	3265.07	USD	22,678.50	
03AUG17	S	2	PD03NOV17	2818.00	3265.07	USD	-22,353.50	
03AUG17	B	1	PD03NOV17	2815.00	3265.07	USD	11,251.75	
03AUG17	B	2	PD03NOV17	2816.50	3265.07	USD	22,428.50	
03AUG17	B	2	PD03NOV17	2814.00	3265.07	USD	22,553.50	
03AUG17	B	1	PD03NOV17	2790.50	3265.07	USD	11,864.25	
03AUG17	B	1	PD03NOV17	2800.00	3265.07	USD	11,626.75	
03AUG17	B	1	PD03NOV17	2801.50	3265.07	USD	11,589.25	
03AUG17	B	2	PD03NOV17	2801.00	3265.07	USD	23,203.50	
03AUG17	B	1	PD03NOV17	2800.50	3265.07	USD	11,614.25	
03AUG17	B	1	PD03NOV17	2802.00	3265.07	USD	11,576.75	
03AUG17	B	1	PD03NOV17	2799.50	3265.07	USD	11,639.25	
03AUG17	B	1	PD03NOV17	2798.50	3265.07	USD	11,664.25	
03AUG17	B	2	PD03NOV17	2798.00	3265.07	USD	23,353.50	
03AUG17	B	1	PD03NOV17	2795.50	3265.07	USD	11,739.25	
03AUG17	B	1	PD03NOV17	2791.50	3265.07	USD	11,839.25	
03AUG17	B	2	PD03NOV17	2793.00	3265.07	USD	23,603.50	

OPEN POSITION STATEMENT



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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
03AUG17	S	1	PD03NOV17	2793.00	3265.07	USD	-11,801.75	
03AUG17	S	1	PD03NOV17	2792.50	3265.07	USD	-11,814.25	
03AUG17	S	1	PD03NOV17	2792.00	3265.07	USD	-11,826.75	
03AUG17	S	1	PD03NOV17	2794.00	3265.07	USD	-11,776.75	
03AUG17	B	1	PD03NOV17	2797.00	3265.07	USD	11,701.75	
03AUG17	S	1	PD03NOV17	2792.00	3265.07	USD	-11,826.75	
03AUG17	S	4	PD03NOV17	2793.00	3265.07	USD	-47,207.00	
03AUG17	S	1	PD03NOV17	2795.00	3265.07	USD	-11,751.75	
04AUG17	S	1	PD03NOV17	2806.50	3265.07	USD	-11,464.25	
04AUG17	S	1	PD03NOV17	2808.00	3265.07	USD	-11,426.75	
04AUG17	S	2	PD03NOV17	2809.50	3265.07	USD	-22,778.50	
04AUG17	S	1	PD03NOV17	2812.00	3265.07	USD	-11,326.75	
04AUG17	S	1	PD03NOV17	2816.00	3265.07	USD	-11,226.75	
04AUG17	S	2	PD03NOV17	2815.00	3265.07	USD	-22,503.50	
04AUG17	S	2	PD03NOV17	2819.00	3265.07	USD	-22,303.50	
04AUG17	S	1	PD03NOV17	2823.00	3265.07	USD	-11,051.75	
04AUG17	S	1	PD03NOV17	2826.50	3265.07	USD	-10,964.25	
04AUG17	S	2	PD03NOV17	2827.50	3265.07	USD	-21,878.50	
04AUG17	S	5	PD03NOV17	2828.00	3265.07	USD	-54,633.75	
04AUG17	S	1	PD03NOV17	2829.50	3265.07	USD	-10,889.25	
04AUG17	S	1	PD03NOV17	2830.50	3265.07	USD	-10,864.25	
04AUG17	S	1	PD03NOV17	2828.50	3265.07	USD	-10,914.25	
04AUG17	S	1	PD03NOV17	2831.50	3265.07	USD	-10,839.25	
04AUG17	S	2	PD03NOV17	2833.50	3265.07	USD	-21,578.50	
04AUG17	S	2	PD03NOV17	2834.50	3265.07	USD	-21,528.50	
04AUG17	B	1	PD03NOV17	2828.50	3265.07	USD	10,914.25	
04AUG17	B	1	PD03NOV17	2827.00	3265.07	USD	10,951.75	
04AUG17	B	1	PD03NOV17	2826.00	3265.07	USD	10,976.75	
04AUG17	B	1	PD03NOV17	2825.00	3265.07	USD	11,001.75	
04AUG17	B	1	PD03NOV17	2824.00	3265.07	USD	11,026.75	
04AUG17	B	1	PD03NOV17	2822.00	3265.07	USD	11,076.75	
04AUG17	B	2	PD03NOV17	2821.50	3265.07	USD	22,178.50	
04AUG17	B	1	PD03NOV17	2820.50	3265.07	USD	11,114.25	
04AUG17	B	2	PD03NOV17	2818.00	3265.07	USD	22,353.50	
04AUG17	S	2	PD03NOV17	2831.00	3265.07	USD	-21,703.50	
04AUG17	S	2	PD03NOV17	2825.00	3265.07	USD	-22,003.50	
04AUG17	B	1	PD03NOV17	2820.00	3265.07	USD	11,126.75	
04AUG17	B	1	PD03NOV17	2818.50	3265.07	USD	11,164.25	
04AUG17	B	1	PD03NOV17	2817.00	3265.07	USD	11,201.75	
04AUG17	S	1	PD03NOV17	2820.50	3265.07	USD	-11,114.25	
04AUG17	S	1	PD03NOV17	2831.00	3265.07	USD	-10,851.75	
04AUG17	B	1	PD03NOV17	2825.00	3265.07	USD	11,001.75	
04AUG17	B	1	PD03NOV17	2815.50	3265.07	USD	11,239.25	
04AUG17	B	1	PD03NOV17	2813.00	3265.07	USD	11,301.75	
04AUG17	B	2	PD03NOV17	2812.00	3265.07	USD	22,653.50	
04AUG17	B	5	PD03NOV17	2811.50	3265.07	USD	56,696.25	
04AUG17	B	2	PD03NOV17	2810.50	3265.07	USD	22,728.50	
04AUG17	B	1	PD03NOV17	2805.00	3265.07	USD	11,501.75	
04AUG17	B	1	PD03NOV17	2803.00	3265.07	USD	11,551.75	
04AUG17	S	1	PD03NOV17	2803.50	3265.07	USD	-11,539.25	
04AUG17	S	1	PD03NOV17	2810.00	3265.07	USD	-11,376.75	
04AUG17	S	1	PD03NOV17	2819.00	3265.07	USD	-11,151.75	
04AUG17	S	1	PD03NOV17	2813.00	3265.07	USD	-11,301.75	
04AUG17	S	2	PD03NOV17	2813.50	3265.07	USD	-22,578.50	
04AUG17	S	2	PD03NOV17	2814.00	3265.07	USD	-22,553.50	
04AUG17	S	1	PD03NOV17	2817.50	3265.07	USD	-11,189.25	
04AUG17	B	1	PD03NOV17	2812.50	3265.07	USD	11,314.25	

OPEN POSITION STATEMENT



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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
04AUG17	S	1	PD03NOV17	2810.00	3265.07	USD	-11,376.75	
04AUG17	S	1	PD03NOV17	2812.00	3265.07	USD	-11,326.75	
04AUG17	S	1	PD03NOV17	2814.00	3265.07	USD	-11,276.75	
04AUG17	S	1	PD03NOV17	2815.00	3265.07	USD	-11,251.75	
07AUG17	S	1	PD03NOV17	2856.94	3265.07	USD	-10,203.25	
	NET	0 AVG BGHT 2811.19	SOLD 2817.00				9,011.00	0.00
07AUG17	B	4	PD07NOV17	2805.00	3262.80	USD	45,780.00	
07AUG17	B	1	PD07NOV17	2806.50	3262.80	USD	11,407.50	
07AUG17	B	1	PD07NOV17	2805.50	3262.80	USD	11,432.50	
07AUG17	S	1	PD07NOV17	2815.50	3262.80	USD	-11,182.50	
07AUG17	B	1	PD07NOV17	2812.50	3262.80	USD	11,257.50	
07AUG17	S	2	PD07NOV17	2821.50	3262.80	USD	-22,065.00	
07AUG17	S	1	PD07NOV17	2820.00	3262.80	USD	-11,070.00	
07AUG17	B	3	PD07NOV17	2810.50	3262.80	USD	33,922.50	
07AUG17	S	1	PD07NOV17	2816.00	3262.80	USD	-11,170.00	
07AUG17	S	2	PD07NOV17	2821.00	3262.80	USD	-22,090.00	
07AUG17	S	1	PD07NOV17	2819.00	3262.80	USD	-11,095.00	
07AUG17	S	2	PD07NOV17	2819.50	3262.80	USD	-22,165.00	
07AUG17	S	3	PD07NOV17	2818.50	3262.80	USD	-33,322.50	
07AUG17	S	2	PD07NOV17	2817.50	3262.80	USD	-22,265.00	
07AUG17	B	2	PD07NOV17	2817.50	3262.80	USD	22,265.00	
07AUG17	S	2	PD07NOV17	2820.50	3262.80	USD	-22,115.00	
07AUG17	S	3	PD07NOV17	2822.00	3262.80	USD	-33,060.00	
07AUG17	S	2	PD07NOV17	2824.00	3262.80	USD	-21,940.00	
07AUG17	B	2	PD07NOV17	2819.00	3262.80	USD	22,190.00	
07AUG17	B	1	PD07NOV17	2818.50	3262.80	USD	11,107.50	
07AUG17	S	1	PD07NOV17	2826.50	3262.80	USD	-10,907.50	
07AUG17	S	1	PD07NOV17	2827.50	3262.80	USD	-10,882.50	
07AUG17	S	2	PD07NOV17	2825.00	3262.80	USD	-21,890.00	
07AUG17	S	1	PD07NOV17	2831.50	3262.80	USD	-10,782.50	
07AUG17	S	1	PD07NOV17	2832.50	3262.80	USD	-10,757.50	
07AUG17	S	1	PD07NOV17	2821.50	3262.80	USD	-11,032.50	
07AUG17	S	1	PD07NOV17	2831.50	3262.80	USD	-10,782.50	
07AUG17	S	1	PD07NOV17	2832.00	3262.80	USD	-10,770.00	
07AUG17	B	1	PD07NOV17	2836.00	3262.80	USD	10,670.00	
07AUG17	B	3	PD07NOV17	2839.00	3262.80	USD	31,785.00	
07AUG17	B	2	PD07NOV17	2837.00	3262.80	USD	21,290.00	
07AUG17	B	1	PD07NOV17	2834.50	3262.80	USD	10,707.50	
07AUG17	S	1	PD07NOV17	2847.00	3262.80	USD	-10,395.00	
07AUG17	B	1	PD07NOV17	2855.00	3262.80	USD	10,195.00	
07AUG17	B	1	PD07NOV17	2862.50	3262.80	USD	10,007.50	
07AUG17	S	1	PD07NOV17	2866.50	3262.80	USD	-9,907.50	
07AUG17	S	1	PD07NOV17	2875.00	3262.80	USD	-9,695.00	
07AUG17	B	2	PD07NOV17	2878.00	3262.80	USD	19,240.00	
07AUG17	B	1	PD07NOV17	2887.50	3262.80	USD	9,382.50	
07AUG17	B	3	PD07NOV17	2888.00	3262.80	USD	28,110.00	
07AUG17	B	1	PD07NOV17	2886.50	3262.80	USD	9,407.50	
07AUG17	B	2	PD07NOV17	2887.00	3262.80	USD	18,790.00	
07AUG17	B	5	PD07NOV17	2886.00	3262.80	USD	47,100.00	
07AUG17	B	2	PD07NOV17	2885.00	3262.80	USD	18,890.00	
07AUG17	B	1	PD07NOV17	2884.50	3262.80	USD	9,457.50	
07AUG17	B	1	PD07NOV17	2884.00	3262.80	USD	9,470.00	
07AUG17	B	1	PD07NOV17	2882.50	3262.80	USD	9,507.50	
07AUG17	B	1	PD07NOV17	2880.00	3262.80	USD	9,570.00	
07AUG17	B	1	PD07NOV17	2880.50	3262.80	USD	9,557.50	
07AUG17	B	1	PD07NOV17	2870.00	3262.80	USD	9,820.00	
07AUG17	B	1	PD07NOV17	2871.00	3262.80	USD	9,795.00	

OPEN POSITION STATEMENT



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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>								
07AUG17	S	1	PD07NOV17		2872.00	3262.80	USD	-9,770.00	
07AUG17	S	3	PD07NOV17		2874.00	3262.80	USD	-29,160.00	
07AUG17	B	1	PD07NOV17		2866.50	3262.80	USD	9,907.50	
10AUG17	S	9	PD07NOV17		2937.26	3262.80	USD	-73,246.50	
10AUG17	S	1	PD07NOV17		2937.46	3262.80	USD	-8,133.50	
	NET	0AVG	BGHT 2852.78	SOLD 2853.09				370.00	0.00
08AUG17	S	1	PD08NOV17		2867.00	3261.67	USD	-9,866.75	
08AUG17	S	1	PD08NOV17		2870.50	3261.67	USD	-9,779.25	
08AUG17	B	5	PD08NOV17		2874.50	3261.67	USD	48,396.25	
08AUG17	S	1	PD08NOV17		2877.50	3261.67	USD	-9,604.25	
08AUG17	S	1	PD08NOV17		2875.00	3261.67	USD	-9,666.75	
08AUG17	S	1	PD08NOV17		2873.00	3261.67	USD	-9,716.75	
08AUG17	S	2	PD08NOV17		2871.00	3261.67	USD	-19,533.50	
08AUG17	S	2	PD08NOV17		2872.00	3261.67	USD	-19,483.50	
08AUG17	S	1	PD08NOV17		2875.50	3261.67	USD	-9,654.25	
08AUG17	S	1	PD08NOV17		2874.50	3261.67	USD	-9,679.25	
08AUG17	B	1	PD08NOV17		2878.00	3261.67	USD	9,591.75	
08AUG17	B	1	PD08NOV17		2878.50	3261.67	USD	9,579.25	
08AUG17	S	1	PD08NOV17		2886.50	3261.67	USD	-9,379.25	
08AUG17	B	1	PD08NOV17		2875.00	3261.67	USD	9,666.75	
08AUG17	B	3	PD08NOV17		2874.00	3261.67	USD	29,075.25	
08AUG17	B	2	PD08NOV17		2873.00	3261.67	USD	19,433.50	
08AUG17	B	1	PD08NOV17		2870.00	3261.67	USD	9,791.75	
08AUG17	S	2	PD08NOV17		2869.50	3261.67	USD	-19,608.50	
08AUG17	B	1	PD08NOV17		2869.50	3261.67	USD	9,804.25	
08AUG17	B	3	PD08NOV17		2871.00	3261.67	USD	29,300.25	
08AUG17	B	1	PD08NOV17		2873.50	3261.67	USD	9,704.25	
08AUG17	B	2	PD08NOV17		2867.00	3261.67	USD	19,733.50	
08AUG17	B	1	PD08NOV17		2868.00	3261.67	USD	9,841.75	
08AUG17	B	3	PD08NOV17		2870.50	3261.67	USD	29,337.75	
08AUG17	B	1	PD08NOV17		2869.00	3261.67	USD	9,816.75	
08AUG17	S	5	PD08NOV17		2876.50	3261.67	USD	-48,146.25	
08AUG17	S	1	PD08NOV17		2878.00	3261.67	USD	-9,591.75	
08AUG17	S	7	PD08NOV17		2878.50	3261.67	USD	-67,054.75	
08AUG17	B	2	PD08NOV17		2879.00	3261.67	USD	19,133.50	
08AUG17	S	2	PD08NOV17		2879.50	3261.67	USD	-19,108.50	
08AUG17	B	1	PD08NOV17		2876.50	3261.67	USD	9,629.25	
08AUG17	B	1	PD08NOV17		2882.00	3261.67	USD	9,491.75	
08AUG17	S	5	PD08NOV17		2881.00	3261.67	USD	-47,583.75	
08AUG17	S	3	PD08NOV17		2882.00	3261.67	USD	-28,475.25	
08AUG17	S	1	PD08NOV17		2884.50	3261.67	USD	-9,429.25	
08AUG17	S	1	PD08NOV17		2887.00	3261.67	USD	-9,366.75	
08AUG17	S	1	PD08NOV17		2884.00	3261.67	USD	-9,441.75	
08AUG17	B	2	PD08NOV17		2875.50	3261.67	USD	19,308.50	
08AUG17	S	1	PD08NOV17		2866.50	3261.67	USD	-9,879.25	
08AUG17	S	1	PD08NOV17		2876.00	3261.67	USD	-9,641.75	
08AUG17	S	9	PD08NOV17		2880.50	3261.67	USD	-85,763.25	
08AUG17	S	4	PD08NOV17		2881.50	3261.67	USD	-38,017.00	
08AUG17	S	1	PD08NOV17		2885.00	3261.67	USD	-9,416.75	
08AUG17	S	1	PD08NOV17		2888.00	3261.67	USD	-9,341.75	
08AUG17	S	1	PD08NOV17		2889.00	3261.67	USD	-9,316.75	
08AUG17	S	2	PD08NOV17		2889.50	3261.67	USD	-18,608.50	
08AUG17	S	1	PD08NOV17		2890.00	3261.67	USD	-9,291.75	
08AUG17	B	2	PD08NOV17		2895.50	3261.67	USD	18,308.50	
08AUG17	B	3	PD08NOV17		2897.00	3261.67	USD	27,350.25	
08AUG17	S	1	PD08NOV17		2906.50	3261.67	USD	-8,879.25	
08AUG17	S	1	PD08NOV17		2908.50	3261.67	USD	-8,829.25	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0112A

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
08AUG17	S	1	PD08NOV17	2909.50	3261.67	USD	-8,804.25	
08AUG17	B	1	PD08NOV17	2910.00	3261.67	USD	8,791.75	
08AUG17	B	1	PD08NOV17	2909.50	3261.67	USD	8,804.25	
08AUG17	B	1	PD08NOV17	2910.50	3261.67	USD	8,779.25	
08AUG17	S	2	PD08NOV17	2913.50	3261.67	USD	-17,408.50	
08AUG17	S	1	PD08NOV17	2914.00	3261.67	USD	-8,691.75	
08AUG17	S	2	PD08NOV17	2912.00	3261.67	USD	-17,483.50	
08AUG17	S	1	PD08NOV17	2915.00	3261.67	USD	-8,666.75	
08AUG17	B	1	PD08NOV17	2923.50	3261.67	USD	8,454.25	
08AUG17	B	1	PD08NOV17	2920.00	3261.67	USD	8,541.75	
08AUG17	B	1	PD08NOV17	2921.50	3261.67	USD	8,504.25	
08AUG17	S	1	PD08NOV17	2931.50	3261.67	USD	-8,254.25	
08AUG17	B	2	PD08NOV17	2928.50	3261.67	USD	16,658.50	
08AUG17	S	1	PD08NOV17	2926.00	3261.67	USD	-8,391.75	
08AUG17	B	1	PD08NOV17	2872.00	3261.67	USD	9,741.75	
08AUG17	B	1	PD08NOV17	2869.00	3261.67	USD	9,816.75	
08AUG17	S	1	PD08NOV17	2880.00	3261.67	USD	-9,541.75	
08AUG17	S	1	PD08NOV17	2878.50	3261.67	USD	-9,579.25	
08AUG17	S	1	PD08NOV17	2879.50	3261.67	USD	-9,554.25	
08AUG17	B	1	PD08NOV17	2895.50	3261.67	USD	9,154.25	
08AUG17	B	1	PD08NOV17	2925.00	3261.67	USD	8,416.75	
08AUG17	S	2	PD08NOV17	2935.50	3261.67	USD	-16,308.50	
08AUG17	B	1	PD08NOV17	2935.50	3261.67	USD	8,154.25	
08AUG17	B	1	PD08NOV17	2934.50	3261.67	USD	8,179.25	
08AUG17	S	1	PD08NOV17	2936.50	3261.67	USD	-8,129.25	
08AUG17	B	3	PD08NOV17	2934.00	3261.67	USD	24,575.25	
08AUG17	B	1	PD08NOV17	2933.00	3261.67	USD	8,216.75	
08AUG17	B	1	PD08NOV17	2935.00	3261.67	USD	8,166.75	
08AUG17	B	1	PD08NOV17	2931.00	3261.67	USD	8,266.75	
10AUG17	B	1	PD08NOV17	2937.84	3261.67	USD	8,095.75	
10AUG17	B	3	PD08NOV17	2937.64	3261.67	USD	24,302.25	
22AUG17	B	15	PD08NOV17	3118.56	3261.67	USD	53,666.25	
29AUG17	B	2	PD08NOV17	3108.85	3261.67	USD	7,641.00	
	NET	0AVG BGHT 2943.09	SOLD 2886.30				-110,746.50	0.00
09AUG17	S	1	PD09NOV17	2951.50	3260.53	USD	-7,725.75	
09AUG17	S	2	PD09NOV17	2955.50	3260.53	USD	-15,251.50	
09AUG17	B	1	PD09NOV17	2940.50	3260.53	USD	8,000.75	
09AUG17	B	1	PD09NOV17	2940.00	3260.53	USD	8,013.25	
09AUG17	S	1	PD09NOV17	2945.00	3260.53	USD	-7,888.25	
09AUG17	B	1	PD09NOV17	2941.00	3260.53	USD	7,988.25	
09AUG17	S	1	PD09NOV17	2965.00	3260.53	USD	-7,388.25	
09AUG17	S	1	PD09NOV17	2966.50	3260.53	USD	-7,350.75	
09AUG17	S	1	PD09NOV17	2967.50	3260.53	USD	-7,325.75	
09AUG17	B	2	PD09NOV17	2970.00	3260.53	USD	14,526.50	
09AUG17	B	1	PD09NOV17	2971.00	3260.53	USD	7,238.25	
09AUG17	B	1	PD09NOV17	2971.50	3260.53	USD	7,225.75	
09AUG17	S	1	PD09NOV17	2976.50	3260.53	USD	-7,100.75	
09AUG17	S	1	PD09NOV17	2979.50	3260.53	USD	-7,025.75	
09AUG17	B	1	PD09NOV17	2981.50	3260.53	USD	6,975.75	
09AUG17	B	2	PD09NOV17	2978.50	3260.53	USD	14,101.50	
09AUG17	B	1	PD09NOV17	2975.50	3260.53	USD	7,125.75	
09AUG17	S	3	PD09NOV17	2980.00	3260.53	USD	-21,039.75	
09AUG17	B	1	PD09NOV17	2969.50	3260.53	USD	7,275.75	
09AUG17	B	4	PD09NOV17	2968.00	3260.53	USD	29,253.00	
09AUG17	S	1	PD09NOV17	2966.00	3260.53	USD	-7,363.25	
09AUG17	B	1	PD09NOV17	2965.00	3260.53	USD	7,388.25	
09AUG17	B	3	PD09NOV17	2964.50	3260.53	USD	22,202.25	

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
09AUG17	B	4	PD09NOV17	2964.00	3260.53	USD	29,653.00	
09AUG17	B	1	PD09NOV17	2961.00	3260.53	USD	7,488.25	
09AUG17	B	1	PD09NOV17	2957.50	3260.53	USD	7,575.75	
09AUG17	S	1	PD09NOV17	2956.00	3260.53	USD	-7,613.25	
09AUG17	B	1	PD09NOV17	2956.00	3260.53	USD	7,613.25	
09AUG17	B	1	PD09NOV17	2956.50	3260.53	USD	7,600.75	
09AUG17	B	2	PD09NOV17	2957.00	3260.53	USD	15,176.50	
09AUG17	S	2	PD09NOV17	2954.00	3260.53	USD	-15,326.50	
09AUG17	B	1	PD09NOV17	2949.00	3260.53	USD	7,788.25	
09AUG17	S	1	PD09NOV17	2960.00	3260.53	USD	-7,513.25	
09AUG17	B	1	PD09NOV17	2959.50	3260.53	USD	7,525.75	
09AUG17	S	2	PD09NOV17	2959.00	3260.53	USD	-15,076.50	
09AUG17	S	1	PD09NOV17	2963.50	3260.53	USD	-7,425.75	
09AUG17	S	2	PD09NOV17	2955.00	3260.53	USD	-15,276.50	
09AUG17	S	1	PD09NOV17	2963.00	3260.53	USD	-7,438.25	
09AUG17	B	1	PD09NOV17	2960.50	3260.53	USD	7,500.75	
09AUG17	B	2	PD09NOV17	2960.00	3260.53	USD	15,026.50	
09AUG17	B	1	PD09NOV17	2954.50	3260.53	USD	7,650.75	
09AUG17	B	2	PD09NOV17	2955.50	3260.53	USD	15,251.50	
09AUG17	S	2	PD09NOV17	2972.50	3260.53	USD	-14,401.50	
09AUG17	S	2	PD09NOV17	2973.00	3260.53	USD	-14,376.50	
09AUG17	B	1	PD09NOV17	2973.50	3260.53	USD	7,175.75	
09AUG17	S	2	PD09NOV17	2975.50	3260.53	USD	-14,251.50	
09AUG17	S	3	PD09NOV17	2973.50	3260.53	USD	-21,527.25	
09AUG17	S	2	PD09NOV17	2974.00	3260.53	USD	-14,326.50	
09AUG17	S	1	PD09NOV17	2975.00	3260.53	USD	-7,138.25	
09AUG17	S	2	PD09NOV17	2976.00	3260.53	USD	-14,226.50	
09AUG17	S	3	PD09NOV17	2974.50	3260.53	USD	-21,452.25	
09AUG17	S	1	PD09NOV17	2969.50	3260.53	USD	-7,275.75	
09AUG17	B	1	PD09NOV17	2963.00	3260.53	USD	7,438.25	
09AUG17	B	1	PD09NOV17	2945.00	3260.53	USD	7,888.25	
09AUG17	B	2	PD09NOV17	2943.50	3260.53	USD	15,851.50	
09AUG17	B	3	PD09NOV17	2942.00	3260.53	USD	23,889.75	
09AUG17	B	1	PD09NOV17	2941.50	3260.53	USD	7,975.75	
09AUG17	B	1	PD09NOV17	2937.50	3260.53	USD	8,075.75	
09AUG17	S	1	PD09NOV17	2944.00	3260.53	USD	-7,913.25	
09AUG17	S	1	PD09NOV17	2943.50	3260.53	USD	-7,925.75	
09AUG17	S	1	PD09NOV17	2946.00	3260.53	USD	-7,863.25	
09AUG17	S	1	PD09NOV17	2958.50	3260.53	USD	-7,550.75	
09AUG17	S	1	PD09NOV17	2948.50	3260.53	USD	-7,800.75	
09AUG17	S	3	PD09NOV17	2942.50	3260.53	USD	-23,852.25	
09AUG17	S	1	PD09NOV17	2945.50	3260.53	USD	-7,875.75	
09AUG17	B	1	PD09NOV17	2934.50	3260.53	USD	8,150.75	
09AUG17	B	1	PD09NOV17	2934.00	3260.53	USD	8,163.25	
09AUG17	B	1	PD09NOV17	2935.00	3260.53	USD	8,138.25	
09AUG17	B	1	PD09NOV17	2935.50	3260.53	USD	8,125.75	
09AUG17	B	1	PD09NOV17	2936.00	3260.53	USD	8,113.25	
10AUG17	S	3	PD09NOV17	2937.62	3260.53	USD	-24,218.25	
	NET	0 AVG BGHT 2957.02	SOLD 2962.34				7,046.50	0.00
10AUG17	S	1	PD10NOV17	2943.00	3259.40	USD	-7,910.00	
10AUG17	B	2	PD10NOV17	2947.50	3259.40	USD	15,595.00	
10AUG17	B	1	PD10NOV17	2946.50	3259.40	USD	7,822.50	
10AUG17	B	2	PD10NOV17	2943.00	3259.40	USD	15,820.00	
10AUG17	B	1	PD10NOV17	2946.00	3259.40	USD	7,835.00	
10AUG17	B	1	PD10NOV17	2945.50	3259.40	USD	7,847.50	
10AUG17	B	1	PD10NOV17	2944.00	3259.40	USD	7,885.00	
10AUG17	B	2	PD10NOV17	2943.50	3259.40	USD	15,795.00	

OPEN POSITION STATEMENT



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10AUG17	S	1	PD10NOV17	2935.50	3259.40	USD	-8,097.50	
10AUG17	S	1	PD10NOV17	2936.50	3259.40	USD	-8,072.50	
10AUG17	S	1	PD10NOV17	2933.50	3259.40	USD	-8,147.50	
10AUG17	S	1	PD10NOV17	2935.00	3259.40	USD	-8,110.00	
10AUG17	B	1	PD10NOV17	2934.50	3259.40	USD	8,122.50	
10AUG17	B	2	PD10NOV17	2936.00	3259.40	USD	16,170.00	
10AUG17	B	2	PD10NOV17	2935.00	3259.40	USD	16,220.00	
10AUG17	S	1	PD10NOV17	2944.50	3259.40	USD	-7,872.50	
10AUG17	B	1	PD10NOV17	2938.00	3259.40	USD	8,035.00	
10AUG17	S	1	PD10NOV17	2943.50	3259.40	USD	-7,897.50	
10AUG17	S	1	PD10NOV17	2950.00	3259.40	USD	-7,735.00	
10AUG17	S	1	PD10NOV17	2950.50	3259.40	USD	-7,722.50	
10AUG17	S	1	PD10NOV17	2949.50	3259.40	USD	-7,747.50	
10AUG17	B	1	PD10NOV17	2936.00	3259.40	USD	8,085.00	
10AUG17	S	1	PD10NOV17	2942.00	3259.40	USD	-7,935.00	
10AUG17	B	2	PD10NOV17	2937.00	3259.40	USD	16,120.00	
10AUG17	B	2	PD10NOV17	2938.50	3259.40	USD	16,045.00	
10AUG17	S	2	PD10NOV17	2939.50	3259.40	USD	-15,995.00	
10AUG17	B	1	PD10NOV17	2925.00	3259.40	USD	8,360.00	
10AUG17	S	1	PD10NOV17	2925.00	3259.40	USD	-8,360.00	
10AUG17	S	1	PD10NOV17	2921.50	3259.40	USD	-8,447.50	
10AUG17	S	1	PD10NOV17	2923.00	3259.40	USD	-8,410.00	
10AUG17	S	2	PD10NOV17	2934.00	3259.40	USD	-16,270.00	
10AUG17	S	2	PD10NOV17	2938.50	3259.40	USD	-16,045.00	
10AUG17	S	2	PD10NOV17	2939.00	3259.40	USD	-16,020.00	
10AUG17	S	1	PD10NOV17	2924.50	3259.40	USD	-8,372.50	
10AUG17	S	1	PD10NOV17	2942.00	3259.40	USD	-7,935.00	
10AUG17	S	1	PD10NOV17	2945.50	3259.40	USD	-7,847.50	
10AUG17	S	1	PD10NOV17	2949.00	3259.40	USD	-7,760.00	
10AUG17	S	1	PD10NOV17	2952.50	3259.40	USD	-7,672.50	
10AUG17	B	2	PD10NOV17	2948.50	3259.40	USD	15,545.00	
10AUG17	B	1	PD10NOV17	2931.00	3259.40	USD	8,210.00	
11AUG17	S	2	PD10NOV17	2907.50	3259.40	USD	-17,595.00	
11AUG17	B	1	PD10NOV17	2899.50	3259.40	USD	8,997.50	
11AUG17	S	1	PD10NOV17	2911.00	3259.40	USD	-8,710.00	
11AUG17	S	2	PD10NOV17	2908.50	3259.40	USD	-17,545.00	
11AUG17	B	2	PD10NOV17	2908.00	3259.40	USD	17,570.00	
11AUG17	B	2	PD10NOV17	2904.50	3259.40	USD	17,745.00	
11AUG17	B	1	PD10NOV17	2896.50	3259.40	USD	9,072.50	
11AUG17	S	1	PD10NOV17	2894.50	3259.40	USD	-9,122.50	
11AUG17	B	1	PD10NOV17	2896.00	3259.40	USD	9,085.00	
11AUG17	B	1	PD10NOV17	2894.50	3259.40	USD	9,122.50	
11AUG17	B	1	PD10NOV17	2894.00	3259.40	USD	9,135.00	
11AUG17	B	1	PD10NOV17	2893.50	3259.40	USD	9,147.50	
11AUG17	B	1	PD10NOV17	2893.00	3259.40	USD	9,160.00	
11AUG17	B	1	PD10NOV17	2890.50	3259.40	USD	9,222.50	
11AUG17	B	2	PD10NOV17	2889.50	3259.40	USD	18,495.00	
11AUG17	S	2	PD10NOV17	2902.00	3259.40	USD	-17,870.00	
11AUG17	B	2	PD10NOV17	2906.50	3259.40	USD	17,645.00	
11AUG17	S	1	PD10NOV17	2908.00	3259.40	USD	-8,785.00	
11AUG17	S	1	PD10NOV17	2913.00	3259.40	USD	-8,660.00	
11AUG17	S	1	PD10NOV17	2914.00	3259.40	USD	-8,635.00	
11AUG17	S	1	PD10NOV17	2912.50	3259.40	USD	-8,672.50	
11AUG17	S	1	PD10NOV17	2916.00	3259.40	USD	-8,585.00	
11AUG17	S	1	PD10NOV17	2917.00	3259.40	USD	-8,560.00	
11AUG17	S	1	PD10NOV17	2916.50	3259.40	USD	-8,572.50	
11AUG17	S	1	PD10NOV17	2917.50	3259.40	USD	-8,547.50	

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11AUG17	S	1	PD10NOV17	2920.50	3259.40	USD	-8,472.50	
11AUG17	S	1	PD10NOV17	2919.50	3259.40	USD	-8,497.50	
11AUG17	B	1	PD10NOV17	2897.50	3259.40	USD	9,047.50	
11AUG17	B	1	PD10NOV17	2911.50	3259.40	USD	8,697.50	
11AUG17	B	1	PD10NOV17	2915.00	3259.40	USD	8,610.00	
11AUG17	B	1	PD10NOV17	2910.50	3259.40	USD	8,722.50	
11AUG17	B	1	PD10NOV17	2912.00	3259.40	USD	8,685.00	
11AUG17	S	1	PD10NOV17	2912.00	3259.40	USD	-8,685.00	
11AUG17	B	2	PD10NOV17	2909.50	3259.40	USD	17,495.00	
11AUG17	B	2	PD10NOV17	2908.50	3259.40	USD	17,545.00	
11AUG17	B	1	PD10NOV17	2907.50	3259.40	USD	8,797.50	
11AUG17	B	1	PD10NOV17	2909.00	3259.40	USD	8,760.00	
11AUG17	B	1	PD10NOV17	2907.00	3259.40	USD	8,810.00	
11AUG17	B	2	PD10NOV17	2906.00	3259.40	USD	17,670.00	
11AUG17	B	1	PD10NOV17	2903.50	3259.40	USD	8,897.50	
11AUG17	B	1	PD10NOV17	2902.50	3259.40	USD	8,922.50	
11AUG17	S	1	PD10NOV17	2899.00	3259.40	USD	-9,010.00	
11AUG17	S	4	PD10NOV17	2906.00	3259.40	USD	-35,340.00	
11AUG17	S	7	PD10NOV17	2905.00	3259.40	USD	-62,020.00	
11AUG17	S	2	PD10NOV17	2909.50	3259.40	USD	-17,495.00	
11AUG17	S	3	PD10NOV17	2904.50	3259.40	USD	-26,617.50	
11AUG17	S	2	PD10NOV17	2905.50	3259.40	USD	-17,695.00	
11AUG17	S	1	PD10NOV17	2907.00	3259.40	USD	-8,810.00	
11AUG17	B	1	PD10NOV17	2897.00	3259.40	USD	9,060.00	
11AUG17	B	2	PD10NOV17	2905.00	3259.40	USD	17,720.00	
11AUG17	B	2	PD10NOV17	2903.00	3259.40	USD	17,820.00	
11AUG17	B	1	PD10NOV17	2900.50	3259.40	USD	8,972.50	
11AUG17	B	3	PD10NOV17	2900.00	3259.40	USD	26,955.00	
11AUG17	B	3	PD10NOV17	2899.00	3259.40	USD	27,030.00	
11AUG17	B	2	PD10NOV17	2898.50	3259.40	USD	18,045.00	
11AUG17	S	3	PD10NOV17	2902.50	3259.40	USD	-26,767.50	
11AUG17	S	2	PD10NOV17	2903.50	3259.40	USD	-17,795.00	
11AUG17	S	1	PD10NOV17	2902.50	3259.40	USD	-8,922.50	
29AUG17	B	1	PD10NOV17	3109.15	3259.40	USD	3,756.25	
	NET	0	AVG BGHT 2918.33	SOLD 2919.19			1,558.75	0.00
14AUG17	B	3	PD14NOV17	2914.00	3257.13	USD	25,734.75	
14AUG17	B	2	PD14NOV17	2913.50	3257.13	USD	17,181.50	
14AUG17	B	1	PD14NOV17	2907.50	3257.13	USD	8,740.75	
14AUG17	S	1	PD14NOV17	2907.50	3257.13	USD	-8,740.75	
14AUG17	B	1	PD14NOV17	2909.00	3257.13	USD	8,703.25	
14AUG17	S	1	PD14NOV17	2906.50	3257.13	USD	-8,765.75	
14AUG17	B	1	PD14NOV17	2902.50	3257.13	USD	8,865.75	
14AUG17	B	3	PD14NOV17	2903.00	3257.13	USD	26,559.75	
14AUG17	B	1	PD14NOV17	2901.50	3257.13	USD	8,890.75	
14AUG17	B	1	PD14NOV17	2900.50	3257.13	USD	8,915.75	
14AUG17	B	1	PD14NOV17	2899.50	3257.13	USD	8,940.75	
14AUG17	B	1	PD14NOV17	2899.00	3257.13	USD	8,953.25	
14AUG17	B	1	PD14NOV17	2905.50	3257.13	USD	8,790.75	
14AUG17	S	2	PD14NOV17	2910.00	3257.13	USD	-17,356.50	
14AUG17	S	1	PD14NOV17	2912.50	3257.13	USD	-8,615.75	
14AUG17	S	2	PD14NOV17	2913.50	3257.13	USD	-17,181.50	
14AUG17	B	1	PD14NOV17	2904.50	3257.13	USD	8,815.75	
14AUG17	B	2	PD14NOV17	2905.00	3257.13	USD	17,606.50	
14AUG17	B	6	PD14NOV17	2904.00	3257.13	USD	52,969.50	
14AUG17	S	2	PD14NOV17	2914.50	3257.13	USD	-17,131.50	
14AUG17	S	2	PD14NOV17	2916.50	3257.13	USD	-17,031.50	
14AUG17	S	1	PD14NOV17	2918.50	3257.13	USD	-8,465.75	

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backoffice@sapiencap.com

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14AUG17	B	1	PD14NOV17	2922.00	3257.13	USD	8,378.25	
22AUG17	S	14	PD14NOV17	3119.17	3257.13	USD	-48,286.00	
	NET	0AVG	BGHT 2906.29				76,472.00	0.00
21AUG17	B	1	PD21NOV17	3171.00	3249.25	USD	1,956.25	
21AUG17	B	3	PD21NOV17	3171.50	3249.25	USD	5,831.25	
21AUG17	S	1	PD21NOV17	3150.00	3249.25	USD	-2,481.25	
21AUG17	S	1	PD21NOV17	3154.00	3249.25	USD	-2,381.25	
21AUG17	S	1	PD21NOV17	3152.50	3249.25	USD	-2,418.75	
21AUG17	B	1	PD21NOV17	3138.00	3249.25	USD	2,781.25	
21AUG17	S	1	PD21NOV17	3132.50	3249.25	USD	-2,918.75	
22AUG17	S	1	PD21NOV17	3118.40	3249.25	USD	-3,271.25	
	NET	0AVG	BGHT 3164.70				-2,902.50	0.00
22AUG17	B	1	PD22NOV17	3124.00	3248.38	USD	3,109.50	
22AUG17	B	2	PD22NOV17	3123.00	3248.38	USD	6,269.00	
22AUG17	B	1	PD22NOV17	3122.50	3248.38	USD	3,147.00	
22AUG17	S	1	PD22NOV17	3129.50	3248.38	USD	-2,972.00	
22AUG17	B	1	PD22NOV17	3143.00	3248.38	USD	2,634.50	
22AUG17	S	1	PD22NOV17	3150.50	3248.38	USD	-2,447.00	
22AUG17	S	1	PD22NOV17	3155.50	3248.38	USD	-2,322.00	
22AUG17	B	1	PD22NOV17	3132.00	3248.38	USD	2,909.50	
22AUG17	B	1	PD22NOV17	3123.50	3248.38	USD	3,122.00	
22AUG17	S	1	PD22NOV17	3124.50	3248.38	USD	-3,097.00	
29AUG17	S	3	PD22NOV17	3108.23	3248.38	USD	-10,511.25	
	NET	0AVG	BGHT 3127.29				-157.75	0.00
24AUG17	B	1	PD24NOV17	3119.00	3247.50	USD	3,212.50	
24AUG17	S	1	PD24NOV17	3111.50	3247.50	USD	-3,400.00	
	NET	0AVG	BGHT 3119.00				-187.50	0.00
30AUG17	S	1	PD30NOV17	3137.50	3246.50	USD	-2,725.00	
30AUG17	S	1	PD30NOV17	3139.00	3246.50	USD	-2,687.50	
30AUG17	S	1	PD30NOV17	3141.00	3246.50	USD	-2,637.50	
30AUG17	S	2	PD30NOV17	3151.50	3246.50	USD	-4,750.00	
30AUG17	S	1	PD30NOV17	3149.50	3246.50	USD	-2,425.00	
30AUG17	B	1	PD30NOV17	3137.00	3246.50	USD	2,737.50	
30AUG17	S	1	PD30NOV17	3138.00	3246.50	USD	-2,712.50	
30AUG17	S	1	PD30NOV17	3137.00	3246.50	USD	-2,737.50	
30AUG17	B	1	PD30NOV17	3135.50	3246.50	USD	2,775.00	
30AUG17	S	1	PD30NOV17	3134.50	3246.50	USD	-2,800.00	
30AUG17	S	1	PD30NOV17	3126.00	3246.50	USD	-3,012.50	
30AUG17	B	1	PD30NOV17	3125.50	3246.50	USD	3,025.00	
30AUG17	S	1	PD30NOV17	3125.50	3246.50	USD	-3,025.00	
30AUG17	S	1	PD30NOV17	3138.00	3246.50	USD	-2,712.50	
30AUG17	S	1	PD30NOV17	3117.00	3246.50	USD	-3,237.50	
30AUG17	B	1	PD30NOV17	3113.00	3246.50	USD	3,337.50	
30AUG17	B	2	PD30NOV17	3102.00	3246.50	USD	7,225.00	
30AUG17	B	1	PD30NOV17	3093.00	3246.50	USD	3,837.50	
30AUG17	S	1	PD30NOV17	3097.50	3246.50	USD	-3,725.00	
30AUG17	B	1	PD30NOV17	3085.00	3246.50	USD	4,037.50	
30AUG17	S	1	PD30NOV17	3084.00	3246.50	USD	-4,062.50	
30AUG17	S	3	PD30NOV17	3085.00	3246.50	USD	-12,112.50	
30AUG17	S	1	PD30NOV17	3083.50	3246.50	USD	-4,075.00	
31AUG17	S	1	PD30NOV17	3109.00	3246.50	USD	-3,437.50	
31AUG17	S	1	PD30NOV17	3110.00	3246.50	USD	-3,412.50	
31AUG17	S	2	PD30NOV17	3109.50	3246.50	USD	-6,850.00	
31AUG17	B	1	PD30NOV17	3093.00	3246.50	USD	3,837.50	
31AUG17	S	1	PD30NOV17	3104.50	3246.50	USD	-3,550.00	
31AUG17	S	1	PD30NOV17	3106.00	3246.50	USD	-3,512.50	

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31AUG17	S	2	PD30NOV17	3114.50	3246.50	USD	-6,600.00	
31AUG17	S	1	PD30NOV17	3118.00	3246.50	USD	-3,212.50	
31AUG17	S	1	PD30NOV17	3119.50	3246.50	USD	-3,175.00	
31AUG17	S	1	PD30NOV17	3121.50	3246.50	USD	-3,125.00	
31AUG17	S	1	PD30NOV17	3124.50	3246.50	USD	-3,050.00	
31AUG17	S	2	PD30NOV17	3123.00	3246.50	USD	-6,175.00	
31AUG17	S	1	PD30NOV17	3122.50	3246.50	USD	-3,100.00	
31AUG17	B	1	PD30NOV17	3120.00	3246.50	USD	3,162.50	
31AUG17	S	1	PD30NOV17	3125.50	3246.50	USD	-3,025.00	
31AUG17	S	2	PD30NOV17	3126.00	3246.50	USD	-6,025.00	
31AUG17	S	2	PD30NOV17	3132.00	3246.50	USD	-5,725.00	
31AUG17	S	1	PD30NOV17	3136.50	3246.50	USD	-2,750.00	
31AUG17	S	1	PD30NOV17	3109.50	3246.50	USD	-3,425.00	
31AUG17	S	1	PD30NOV17	3109.00	3246.50	USD	-3,437.50	
31AUG17	S	1	PD30NOV17	3138.00	3246.50	USD	-2,712.50	
31AUG17	S	1	PD30NOV17	3141.50	3246.50	USD	-2,625.00	
31AUG17	S	1	PD30NOV17	3139.50	3246.50	USD	-2,675.00	
31AUG17	B	1	PD30NOV17	3130.50	3246.50	USD	2,900.00	
31AUG17	S	1	PD30NOV17	3144.00	3246.50	USD	-2,562.50	
31AUG17	B	1	PD30NOV17	3154.00	3246.50	USD	2,312.50	
31AUG17	B	1	PD30NOV17	3151.50	3246.50	USD	2,375.00	
31AUG17	B	4	PD30NOV17	3145.50	3246.50	USD	10,100.00	
31AUG17	B	1	PD30NOV17	3133.00	3246.50	USD	2,837.50	
31AUG17	B	1	PD30NOV17	3131.50	3246.50	USD	2,875.00	
31AUG17	B	1	PD30NOV17	3147.00	3246.50	USD	2,487.50	
31AUG17	B	1	PD30NOV17	3152.50	3246.50	USD	2,350.00	
31AUG17	B	1	PD30NOV17	3160.00	3246.50	USD	2,162.50	
06SEP17	B	24	PD30NOV17	3093.50	3246.50	USD	91,800.00	
	NET	0AVG BGHT 3110.70	SOLD 3121.63				12,575.00	0.00
01SEP17	S	1	PD01DEC17	3142.50	3246.25	USD	-2,593.75	
01SEP17	B	2	PD01DEC17	3144.50	3246.25	USD	5,087.50	
01SEP17	B	21	PD01DEC17	3145.00	3246.25	USD	53,156.25	
01SEP17	B	1	PD01DEC17	3152.00	3246.25	USD	2,356.25	
01SEP17	S	1	PD01DEC17	3155.50	3246.25	USD	-2,268.75	
01SEP17	S	1	PD01DEC17	3155.00	3246.25	USD	-2,281.25	
01SEP17	S	2	PD01DEC17	3157.50	3246.25	USD	-4,437.50	
01SEP17	S	1	PD01DEC17	3157.00	3246.25	USD	-2,231.25	
01SEP17	S	2	PD01DEC17	3154.00	3246.25	USD	-4,612.50	
01SEP17	S	3	PD01DEC17	3160.00	3246.25	USD	-6,468.75	
01SEP17	S	1	PD01DEC17	3156.50	3246.25	USD	-2,243.75	
01SEP17	S	1	PD01DEC17	3158.50	3246.25	USD	-2,193.75	
01SEP17	S	5	PD01DEC17	3158.00	3246.25	USD	-11,031.25	
01SEP17	S	3	PD01DEC17	3159.00	3246.25	USD	-6,543.75	
01SEP17	S	2	PD01DEC17	3163.50	3246.25	USD	-4,137.50	
01SEP17	S	1	PD01DEC17	3165.00	3246.25	USD	-2,031.25	
01SEP17	S	1	PD01DEC17	3166.00	3246.25	USD	-2,006.25	
01SEP17	S	1	PD01DEC17	3167.00	3246.25	USD	-1,981.25	
01SEP17	S	1	PD01DEC17	3163.00	3246.25	USD	-2,081.25	
01SEP17	B	1	PD01DEC17	3173.00	3246.25	USD	1,831.25	
01SEP17	B	1	PD01DEC17	3172.00	3246.25	USD	1,856.25	
01SEP17	S	1	PD01DEC17	3178.50	3246.25	USD	-1,693.75	
01SEP17	B	1	PD01DEC17	3170.00	3246.25	USD	1,906.25	
01SEP17	B	2	PD01DEC17	3171.50	3246.25	USD	3,737.50	
01SEP17	B	1	PD01DEC17	3179.50	3246.25	USD	1,668.75	
01SEP17	S	1	PD01DEC17	3185.50	3246.25	USD	-1,518.75	
01SEP17	S	1	PD01DEC17	3187.50	3246.25	USD	-1,468.75	
01SEP17	B	1	PD01DEC17	3187.00	3246.25	USD	1,481.25	

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01SEP17	B	1	PD01DEC17	3208.00	3246.25	USD	956.25	
01SEP17	B	5	PD01DEC17	3209.00	3246.25	USD	4,656.25	
01SEP17	B	3	PD01DEC17	3210.00	3246.25	USD	2,718.75	
01SEP17	B	1	PD01DEC17	3207.00	3246.25	USD	981.25	
01SEP17	B	2	PD01DEC17	3208.50	3246.25	USD	1,887.50	
01SEP17	B	2	PD01DEC17	3207.50	3246.25	USD	1,937.50	
01SEP17	B	8	PD01DEC17	3208.00	3246.25	USD	7,650.00	
01SEP17	B	1	PD01DEC17	3209.00	3246.25	USD	931.25	
06SEP17	S	24	PD01DEC17	3093.55	3246.25	USD	-91,620.00	
	NET	0AVG BGHT 3176.03	SOLD 3131.11				-60,645.00	0.00
04SEP17	B	3	PD04DEC17	3197.50	3246.00	USD	3,637.50	
04SEP17	S	1	PD04DEC17	3198.00	3246.00	USD	-1,200.00	
04SEP17	B	2	PD04DEC17	3200.00	3246.00	USD	2,300.00	
04SEP17	S	2	PD04DEC17	3194.00	3246.00	USD	-2,600.00	
04SEP17	S	2	PD04DEC17	3189.50	3246.00	USD	-2,825.00	
04SEP17	S	1	PD04DEC17	3190.50	3246.00	USD	-1,387.50	
04SEP17	S	1	PD04DEC17	3191.50	3246.00	USD	-1,362.50	
04SEP17	S	1	PD04DEC17	3192.00	3246.00	USD	-1,350.00	
04SEP17	S	3	PD04DEC17	3195.50	3246.00	USD	-3,787.50	
04SEP17	B	2	PD04DEC17	3186.00	3246.00	USD	3,000.00	
04SEP17	B	1	PD04DEC17	3185.00	3246.00	USD	1,525.00	
04SEP17	B	1	PD04DEC17	3184.50	3246.00	USD	1,537.50	
04SEP17	B	2	PD04DEC17	3182.50	3246.00	USD	3,175.00	
04SEP17	B	1	PD04DEC17	3177.50	3246.00	USD	1,712.50	
04SEP17	S	1	PD04DEC17	3180.50	3246.00	USD	-1,637.50	
04SEP17	B	1	PD04DEC17	3182.00	3246.00	USD	1,600.00	
04SEP17	S	1	PD04DEC17	3183.00	3246.00	USD	-1,575.00	
04SEP17	S	1	PD04DEC17	3184.00	3246.00	USD	-1,550.00	
04SEP17	S	1	PD04DEC17	3193.00	3246.00	USD	-1,325.00	
04SEP17	S	3	PD04DEC17	3193.50	3246.00	USD	-3,937.50	
04SEP17	S	1	PD04DEC17	3196.50	3246.00	USD	-1,237.50	
04SEP17	B	1	PD04DEC17	3198.00	3246.00	USD	1,200.00	
04SEP17	S	3	PD04DEC17	3195.00	3246.00	USD	-3,825.00	
04SEP17	B	1	PD04DEC17	3196.00	3246.00	USD	1,250.00	
04SEP17	B	1	PD04DEC17	3191.00	3246.00	USD	1,375.00	
04SEP17	S	1	PD04DEC17	3191.00	3246.00	USD	-1,375.00	
04SEP17	S	1	PD04DEC17	3184.50	3246.00	USD	-1,537.50	
04SEP17	B	1	PD04DEC17	3181.00	3246.00	USD	1,625.00	
04SEP17	S	1	PD04DEC17	3200.00	3246.00	USD	-1,150.00	
04SEP17	B	1	PD04DEC17	3192.50	3246.00	USD	1,337.50	
04SEP17	S	1	PD04DEC17	3197.50	3246.00	USD	-1,212.50	
04SEP17	B	1	PD04DEC17	3210.00	3246.00	USD	900.00	
04SEP17	B	1	PD04DEC17	3203.00	3246.00	USD	1,075.00	
04SEP17	S	1	PD04DEC17	3203.50	3246.00	USD	-1,062.50	
04SEP17	B	1	PD04DEC17	3204.50	3246.00	USD	1,037.50	
04SEP17	B	1	PD04DEC17	3205.50	3246.00	USD	1,012.50	
04SEP17	B	2	PD04DEC17	3205.00	3246.00	USD	2,050.00	
04SEP17	B	1	PD04DEC17	3204.00	3246.00	USD	1,050.00	
04SEP17	B	1	PD04DEC17	3203.50	3246.00	USD	1,062.50	
04SEP17	B	1	PD04DEC17	3201.50	3246.00	USD	1,112.50	
	NET	0AVG BGHT 3194.78	SOLD 3192.76				-1,362.50	0.00
05SEP17	B	1	PD05DEC17	3201.50	3245.75	USD	1,106.25	
05SEP17	S	1	PD05DEC17	3201.50	3245.75	USD	-1,106.25	
05SEP17	S	1	PD05DEC17	3204.50	3245.75	USD	-1,031.25	
05SEP17	B	1	PD05DEC17	3205.50	3245.75	USD	1,006.25	
05SEP17	S	1	PD05DEC17	3195.00	3245.75	USD	-1,268.75	
05SEP17	S	1	PD05DEC17	3186.00	3245.75	USD	-1,493.75	

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05SEP17	B	1	PD05DEC17	3188.00	3245.75	USD	1,443.75	
05SEP17	S	2	PD05DEC17	3188.50	3245.75	USD	-2,862.50	
05SEP17	S	1	PD05DEC17	3190.00	3245.75	USD	-1,393.75	
05SEP17	S	1	PD05DEC17	3174.00	3245.75	USD	-1,793.75	
05SEP17	B	1	PD05DEC17	3168.00	3245.75	USD	1,943.75	
05SEP17	B	1	PD05DEC17	3167.50	3245.75	USD	1,956.25	
05SEP17	B	1	PD05DEC17	3167.00	3245.75	USD	1,968.75	
05SEP17	B	1	PD05DEC17	3162.50	3245.75	USD	2,081.25	
05SEP17	B	1	PD05DEC17	3160.50	3245.75	USD	2,131.25	
05SEP17	S	1	PD05DEC17	3153.50	3245.75	USD	-2,306.25	
05SEP17	S	1	PD05DEC17	3155.00	3245.75	USD	-2,268.75	
05SEP17	S	1	PD05DEC17	3156.00	3245.75	USD	-2,243.75	
05SEP17	S	1	PD05DEC17	3158.00	3245.75	USD	-2,193.75	
05SEP17	S	1	PD05DEC17	3158.50	3245.75	USD	-2,181.25	
05SEP17	S	1	PD05DEC17	3159.00	3245.75	USD	-2,168.75	
05SEP17	B	1	PD05DEC17	3150.50	3245.75	USD	2,381.25	
05SEP17	B	2	PD05DEC17	3138.00	3245.75	USD	5,387.50	
05SEP17	B	1	PD05DEC17	3134.00	3245.75	USD	2,793.75	
05SEP17	B	1	PD05DEC17	3132.50	3245.75	USD	2,831.25	
05SEP17	B	1	PD05DEC17	3135.00	3245.75	USD	2,768.75	
	NET	0AVG BGHT 3160.61	SOLD 3176.29				5,487.50	0.00
06SEP17	B	1	PD06DEC17	3104.50	3245.50	USD	3,525.00	
06SEP17	B	2	PD06DEC17	3103.00	3245.50	USD	7,125.00	
06SEP17	S	1	PD06DEC17	3096.00	3245.50	USD	-3,737.50	
06SEP17	S	1	PD06DEC17	3096.50	3245.50	USD	-3,725.00	
06SEP17	S	1	PD06DEC17	3097.00	3245.50	USD	-3,712.50	
	NET	0AVG BGHT 3103.50	SOLD 3096.50				-525.00	0.00
07SEP17	S	1	PD07DEC17	3090.00	3245.25	USD	-3,881.25	
07SEP17	B	1	PD07DEC17	3122.50	3245.25	USD	3,068.75	
	NET	0AVG BGHT 3122.50	SOLD 3090.00				-812.50	0.00
08SEP17	B	2	PD08DEC17	3142.50	3245.00	USD	5,125.00	
08SEP17	B	1	PD08DEC17	3141.50	3245.00	USD	2,587.50	
08SEP17	B	4	PD08DEC17	3142.00	3245.00	USD	10,300.00	
08SEP17	B	2	PD08DEC17	3141.00	3245.00	USD	5,200.00	
08SEP17	B	1	PD08DEC17	3138.00	3245.00	USD	2,675.00	
08SEP17	B	1	PD08DEC17	3109.00	3245.00	USD	3,400.00	
08SEP17	B	1	PD08DEC17	3105.50	3245.00	USD	3,487.50	
08SEP17	B	1	PD08DEC17	3104.50	3245.00	USD	3,512.50	
08SEP17	B	1	PD08DEC17	3103.50	3245.00	USD	3,537.50	
08SEP17	B	2	PD08DEC17	3101.00	3245.00	USD	7,200.00	
08SEP17	B	1	PD08DEC17	3097.00	3245.00	USD	3,700.00	
08SEP17	B	1	PD08DEC17	3083.00	3245.00	USD	4,050.00	
08SEP17	S	1	PD08DEC17	3094.50	3245.00	USD	-3,762.50	
08SEP17	S	1	PD08DEC17	3088.00	3245.00	USD	-3,925.00	
08SEP17	B	1	PD08DEC17	3090.00	3245.00	USD	3,875.00	
08SEP17	S	1	PD08DEC17	3094.00	3245.00	USD	-3,775.00	
08SEP17	B	1	PD08DEC17	3143.00	3245.00	USD	2,550.00	
08SEP17	B	1	PD08DEC17	3103.50	3245.00	USD	3,537.50	
08SEP17	S	1	PD08DEC17	3095.50	3245.00	USD	-3,737.50	
08SEP17	S	1	PD08DEC17	3097.00	3245.00	USD	-3,700.00	
08SEP17	S	1	PD08DEC17	3097.50	3245.00	USD	-3,687.50	
08SEP17	S	1	PD08DEC17	3074.50	3245.00	USD	-4,262.50	
08SEP17	S	1	PD08DEC17	3075.50	3245.00	USD	-4,237.50	
08SEP17	S	1	PD08DEC17	3076.00	3245.00	USD	-4,225.00	
08SEP17	S	1	PD08DEC17	3076.50	3245.00	USD	-4,212.50	
08SEP17	S	1	PD08DEC17	3079.00	3245.00	USD	-4,150.00	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0112A

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
08SEP17	S	1	PD08DEC17	3078.00	3245.00	USD	-4,175.00	
08SEP17	S	1	PD08DEC17	3080.00	3245.00	USD	-4,125.00	
08SEP17	S	1	PD08DEC17	3085.00	3245.00	USD	-4,000.00	
08SEP17	S	4	PD08DEC17	3074.00	3245.00	USD	-17,100.00	
08SEP17	S	3	PD08DEC17	3071.50	3245.00	USD	-13,012.50	
	NET	0AVG BGHT 3121.69	SOLD 3081.02				-21,350.00	0.00
11SEP17	S	1	PD11DEC17	3064.00	3244.75	USD	-4,518.75	
11SEP17	S	1	PD11DEC17	3076.50	3244.75	USD	-4,206.25	
11SEP17	S	1	PD11DEC17	3077.50	3244.75	USD	-4,181.25	
11SEP17	S	2	PD11DEC17	3076.00	3244.75	USD	-8,437.50	
11SEP17	B	1	PD11DEC17	3083.50	3244.75	USD	4,031.25	
11SEP17	B	1	PD11DEC17	3082.00	3244.75	USD	4,068.75	
11SEP17	B	1	PD11DEC17	3083.00	3244.75	USD	4,043.75	
11SEP17	B	1	PD11DEC17	3082.50	3244.75	USD	4,056.25	
11SEP17	S	1	PD11DEC17	3094.00	3244.75	USD	-3,768.75	
11SEP17	S	1	PD11DEC17	3095.00	3244.75	USD	-3,743.75	
11SEP17	S	1	PD11DEC17	3093.00	3244.75	USD	-3,793.75	
11SEP17	B	1	PD11DEC17	3096.50	3244.75	USD	3,706.25	
11SEP17	B	1	PD11DEC17	3102.50	3244.75	USD	3,556.25	
11SEP17	B	1	PD11DEC17	3102.00	3244.75	USD	3,568.75	
11SEP17	B	1	PD11DEC17	3099.50	3244.75	USD	3,631.25	
	NET	0AVG BGHT 3091.44	SOLD 3081.50				-1,987.50	0.00
12SEP17	B	1	PD12DEC17	3081.00	3244.50	USD	4,087.50	
12SEP17	S	1	PD12DEC17	3082.50	3244.50	USD	-4,050.00	
12SEP17	S	1	PD12DEC17	3080.50	3244.50	USD	-4,100.00	
12SEP17	S	1	PD12DEC17	3083.50	3244.50	USD	-4,025.00	
12SEP17	S	2	PD12DEC17	3084.00	3244.50	USD	-8,025.00	
12SEP17	B	1	PD12DEC17	3088.00	3244.50	USD	3,912.50	
12SEP17	B	1	PD12DEC17	3088.50	3244.50	USD	3,900.00	
12SEP17	B	2	PD12DEC17	3089.00	3244.50	USD	7,775.00	
12SEP17	B	1	PD12DEC17	3090.00	3244.50	USD	3,862.50	
12SEP17	B	1	PD12DEC17	3091.00	3244.50	USD	3,837.50	
12SEP17	B	1	PD12DEC17	3091.50	3244.50	USD	3,825.00	
12SEP17	B	1	PD12DEC17	3087.50	3244.50	USD	3,925.00	
12SEP17	S	1	PD12DEC17	3087.00	3244.50	USD	-3,937.50	
12SEP17	S	1	PD12DEC17	3089.00	3244.50	USD	-3,887.50	
12SEP17	S	1	PD12DEC17	3091.50	3244.50	USD	-3,825.00	
12SEP17	S	1	PD12DEC17	3090.00	3244.50	USD	-3,862.50	
12SEP17	B	1	PD12DEC17	3090.50	3244.50	USD	3,850.00	
12SEP17	S	2	PD12DEC17	3089.50	3244.50	USD	-7,750.00	
12SEP17	B	2	PD12DEC17	3067.00	3244.50	USD	8,875.00	
12SEP17	S	1	PD12DEC17	3062.50	3244.50	USD	-4,550.00	
12SEP17	B	1	PD12DEC17	3092.50	3244.50	USD	3,800.00	
12SEP17	S	1	PD12DEC17	3055.00	3244.50	USD	-4,737.50	
12SEP17	S	1	PD12DEC17	3064.50	3244.50	USD	-4,500.00	
12SEP17	B	1	PD12DEC17	3073.50	3244.50	USD	4,275.00	
12SEP17	S	1	PD12DEC17	3073.50	3244.50	USD	-4,275.00	
12SEP17	B	1	PD12DEC17	3067.50	3244.50	USD	4,425.00	
12SEP17	B	1	PD12DEC17	3062.50	3244.50	USD	4,550.00	
12SEP17	S	1	PD12DEC17	3071.00	3244.50	USD	-4,337.50	
	NET	0AVG BGHT 3082.25	SOLD 3079.84				-962.50	0.00
13SEP17	S	2	PD13DEC17	3050.50	3244.25	USD	-9,687.50	
13SEP17	S	1	PD13DEC17	3051.50	3244.25	USD	-4,818.75	
13SEP17	S	3	PD13DEC17	3050.00	3244.25	USD	-14,568.75	
13SEP17	S	1	PD13DEC17	3063.00	3244.25	USD	-4,531.25	
13SEP17	S	1	PD13DEC17	3063.50	3244.25	USD	-4,518.75	

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
13SEP17	S	2	PD13DEC17	3053.50	3244.25	USD	-9,537.50	
13SEP17	B	1	PD13DEC17	3052.50	3244.25	USD	4,793.75	
13SEP17	S	2	PD13DEC17	3058.00	3244.25	USD	-9,312.50	
13SEP17	B	2	PD13DEC17	3044.00	3244.25	USD	10,012.50	
13SEP17	B	1	PD13DEC17	3045.50	3244.25	USD	4,968.75	
13SEP17	B	1	PD13DEC17	3046.00	3244.25	USD	4,956.25	
13SEP17	B	5	PD13DEC17	3044.50	3244.25	USD	24,968.75	
13SEP17	S	1	PD13DEC17	3052.50	3244.25	USD	-4,793.75	
13SEP17	B	1	PD13DEC17	3049.00	3244.25	USD	4,881.25	
13SEP17	B	1	PD13DEC17	3047.50	3244.25	USD	4,918.75	
13SEP17	S	2	PD13DEC17	3052.00	3244.25	USD	-9,612.50	
13SEP17	B	2	PD13DEC17	3053.50	3244.25	USD	9,537.50	
13SEP17	B	1	PD13DEC17	3044.50	3244.25	USD	4,993.75	
	NET	0 AVG BGHT 3046.83	SOLD 3053.90				2,650.00	0.00
14SEP17	S	1	PD14DEC17	3031.50	3244.00	USD	-5,312.50	
14SEP17	B	1	PD14DEC17	3025.50	3244.00	USD	5,462.50	
14SEP17	B	1	PD14DEC17	3021.50	3244.00	USD	5,562.50	
14SEP17	B	2	PD14DEC17	3012.50	3244.00	USD	11,575.00	
14SEP17	S	1	PD14DEC17	3018.50	3244.00	USD	-5,637.50	
14SEP17	S	1	PD14DEC17	3016.50	3244.00	USD	-5,687.50	
14SEP17	S	1	PD14DEC17	3015.50	3244.00	USD	-5,712.50	
14SEP17	B	1	PD14DEC17	3008.50	3244.00	USD	5,887.50	
14SEP17	S	1	PD14DEC17	3001.00	3244.00	USD	-6,075.00	
14SEP17	B	1	PD14DEC17	2983.50	3244.00	USD	6,512.50	
14SEP17	S	1	PD14DEC17	3008.00	3244.00	USD	-5,900.00	
14SEP17	B	1	PD14DEC17	3000.50	3244.00	USD	6,087.50	
19SEP17	S	1	PD14DEC17	3105.64	3244.00	USD	-3,459.00	
	NET	0 AVG BGHT 3009.21	SOLD 3028.09				3,303.50	0.00
15SEP17	B	1	PD15DEC17	3012.50	3243.75	USD	5,781.25	
15SEP17	S	1	PD15DEC17	3012.00	3243.75	USD	-5,793.75	
15SEP17	B	1	PD15DEC17	3011.00	3243.75	USD	5,818.75	
15SEP17	B	1	PD15DEC17	3019.50	3243.75	USD	5,606.25	
15SEP17	B	2	PD15DEC17	3022.00	3243.75	USD	11,087.50	
15SEP17	B	1	PD15DEC17	3021.50	3243.75	USD	5,556.25	
15SEP17	B	1	PD15DEC17	3020.50	3243.75	USD	5,581.25	
15SEP17	B	1	PD15DEC17	3021.00	3243.75	USD	5,568.75	
15SEP17	B	1	PD15DEC17	3022.50	3243.75	USD	5,531.25	
15SEP17	S	1	PD15DEC17	3017.00	3243.75	USD	-5,668.75	
15SEP17	B	1	PD15DEC17	3015.00	3243.75	USD	5,718.75	
15SEP17	S	1	PD15DEC17	3016.50	3243.75	USD	-5,681.25	
15SEP17	S	1	PD15DEC17	3014.50	3243.75	USD	-5,731.25	
15SEP17	S	1	PD15DEC17	3021.00	3243.75	USD	-5,568.75	
15SEP17	S	2	PD15DEC17	3022.50	3243.75	USD	-11,062.50	
15SEP17	S	1	PD15DEC17	3027.00	3243.75	USD	-5,418.75	
15SEP17	S	1	PD15DEC17	3030.50	3243.75	USD	-5,331.25	
15SEP17	S	1	PD15DEC17	3031.00	3243.75	USD	-5,318.75	
15SEP17	B	1	PD15DEC17	3026.00	3243.75	USD	5,443.75	
15SEP17	B	1	PD15DEC17	3005.00	3243.75	USD	5,968.75	
15SEP17	B	1	PD15DEC17	3018.00	3243.75	USD	5,643.75	
15SEP17	S	1	PD15DEC17	3018.50	3243.75	USD	-5,631.25	
15SEP17	S	1	PD15DEC17	3035.00	3243.75	USD	-5,218.75	
15SEP17	S	1	PD15DEC17	3034.50	3243.75	USD	-5,231.25	
15SEP17	S	1	PD15DEC17	3034.00	3243.75	USD	-5,243.75	
15SEP17	B	1	PD15DEC17	3031.50	3243.75	USD	5,306.25	
15SEP17	B	3	PD15DEC17	3032.00	3243.75	USD	15,881.25	
15SEP17	B	4	PD15DEC17	3031.00	3243.75	USD	21,275.00	
15SEP17	B	2	PD15DEC17	3030.50	3243.75	USD	10,662.50	

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15SEP17	B	1	PD15DEC17	3031.00	3243.75	USD	5,318.75	
15SEP17	B	1	PD15DEC17	3031.50	3243.75	USD	5,306.25	
19SEP17	S	11	PD15DEC17	3105.86	3243.75	USD	-37,919.75	
	NET	0 AVG BGHT 3024.46	SOLD 3060.04				22,236.50	0.00
18SEP17	B	1	PD18DEC17	3074.50	3243.50	USD	4,225.00	
18SEP17	S	1	PD18DEC17	3085.50	3243.50	USD	-3,950.00	
18SEP17	B	1	PD18DEC17	3075.50	3243.50	USD	4,200.00	
18SEP17	B	1	PD18DEC17	3069.50	3243.50	USD	4,350.00	
18SEP17	B	1	PD18DEC17	3068.00	3243.50	USD	4,387.50	
18SEP17	B	1	PD18DEC17	3062.00	3243.50	USD	4,537.50	
18SEP17	B	1	PD18DEC17	3061.00	3243.50	USD	4,562.50	
18SEP17	S	2	PD18DEC17	3077.50	3243.50	USD	-8,300.00	
18SEP17	S	4	PD18DEC17	3080.50	3243.50	USD	-16,300.00	
18SEP17	S	2	PD18DEC17	3082.50	3243.50	USD	-8,050.00	
18SEP17	S	8	PD18DEC17	3081.00	3243.50	USD	-32,500.00	
18SEP17	S	2	PD18DEC17	3075.50	3243.50	USD	-8,400.00	
18SEP17	B	1	PD18DEC17	3068.00	3243.50	USD	4,387.50	
19SEP17	B	12	PD18DEC17	3106.70	3243.50	USD	41,040.00	
	NET	0 AVG BGHT 3092.57	SOLD 3080.34				-5,810.00	0.00
19SEP17	S	2	PD19DEC17	3105.50	3243.25	USD	-6,887.50	
19SEP17	S	1	PD19DEC17	3095.50	3243.25	USD	-3,693.75	
19SEP17	B	1	PD19DEC17	3087.50	3243.25	USD	3,893.75	
19SEP17	S	1	PD19DEC17	3088.00	3243.25	USD	-3,881.25	
19SEP17	B	1	PD19DEC17	3078.00	3243.25	USD	4,131.25	
19SEP17	B	1	PD19DEC17	3076.50	3243.25	USD	4,168.75	
19SEP17	B	1	PD19DEC17	3075.50	3243.25	USD	4,193.75	
	NET	0 AVG BGHT 3079.38	SOLD 3098.63				1,925.00	0.00
20SEP17	S	1	PD20DEC17	3130.50	3243.00	USD	-2,812.50	
20SEP17	S	1	PD20DEC17	3120.50	3243.00	USD	-3,062.50	
20SEP17	S	2	PD20DEC17	3125.00	3243.00	USD	-5,900.00	
20SEP17	S	1	PD20DEC17	3124.00	3243.00	USD	-2,975.00	
20SEP17	S	5	PD20DEC17	3125.50	3243.00	USD	-14,687.50	
20SEP17	S	1	PD20DEC17	3137.50	3243.00	USD	-2,637.50	
20SEP17	S	1	PD20DEC17	3136.50	3243.00	USD	-2,662.50	
20SEP17	S	1	PD20DEC17	3138.50	3243.00	USD	-2,612.50	
20SEP17	S	1	PD20DEC17	3140.00	3243.00	USD	-2,575.00	
20SEP17	S	1	PD20DEC17	3144.00	3243.00	USD	-2,475.00	
20SEP17	S	1	PD20DEC17	3148.00	3243.00	USD	-2,375.00	
20SEP17	S	1	PD20DEC17	3149.50	3243.00	USD	-2,337.50	
20SEP17	S	1	PD20DEC17	3139.00	3243.00	USD	-2,600.00	
20SEP17	B	10	PD20DEC17	3146.65	3243.00	USD	24,087.50	
20SEP17	B	5	PD20DEC17	3146.90	3243.00	USD	12,012.50	
20SEP17	B	3	PD20DEC17	3132.20	3243.00	USD	8,310.00	
	NET	0 AVG BGHT 3144.31	SOLD 3132.53				-5,302.50	0.00
21SEP17	S	1	PD21DEC17	3078.50	3240.00	USD	-4,037.50	
21SEP17	S	1	PD21DEC17	3076.50	3240.00	USD	-4,087.50	
21SEP17	S	1	PD21DEC17	3078.00	3240.00	USD	-4,050.00	
21SEP17	S	1	PD21DEC17	3081.50	3240.00	USD	-3,962.50	
21SEP17	S	1	PD21DEC17	3084.50	3240.00	USD	-3,887.50	
21SEP17	B	1	PD21DEC17	3097.50	3240.00	USD	3,562.50	
21SEP17	B	1	PD21DEC17	3084.00	3240.00	USD	3,900.00	
21SEP17	B	1	PD21DEC17	3035.50	3240.00	USD	5,112.50	
21SEP17	B	1	PD21DEC17	3034.00	3240.00	USD	5,150.00	
21SEP17	B	1	PD21DEC17	3031.00	3240.00	USD	5,225.00	
	NET	0 AVG BGHT 3056.40	SOLD 3079.80				2,925.00	0.00
22SEP17	B	1	PD22DEC17	3027.00	3239.62	USD	5,315.50	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0112A

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
22SEP17	B	2	PD22DEC17	3026.50	3239.62	USD	10,656.00	
22SEP17	B	2	PD22DEC17	3030.50	3239.62	USD	10,456.00	
22SEP17	S	2	PD22DEC17	3025.00	3239.62	USD	-10,731.00	
22SEP17	B	1	PD22DEC17	3018.50	3239.62	USD	5,528.00	
22SEP17	B	1	PD22DEC17	3017.50	3239.62	USD	5,553.00	
22SEP17	B	2	PD22DEC17	3014.00	3239.62	USD	11,281.00	
22SEP17	B	2	PD22DEC17	3011.50	3239.62	USD	11,406.00	
22SEP17	B	2	PD22DEC17	3008.50	3239.62	USD	11,556.00	
22SEP17	B	1	PD22DEC17	3005.50	3239.62	USD	5,853.00	
22SEP17	B	1	PD22DEC17	3006.00	3239.62	USD	5,840.50	
22SEP17	S	2	PD22DEC17	3018.50	3239.62	USD	-11,056.00	
22SEP17	S	1	PD22DEC17	3020.00	3239.62	USD	-5,490.50	
04OCT17	S	10	PD22DEC17	3302.00	3239.62	USD	15,595.00	
	NET	0 AVG BGHT 3017.10	SOLD 3208.47				71,762.50	0.00
25SEP17	S	1	PD27DEC17	3080.00	3239.23	USD	-3,980.75	
25SEP17	S	2	PD27DEC17	3073.50	3239.23	USD	-8,286.50	
25SEP17	S	2	PD27DEC17	3074.00	3239.23	USD	-8,261.50	
25SEP17	S	1	PD27DEC17	3076.00	3239.23	USD	-4,080.75	
25SEP17	S	3	PD27DEC17	3087.50	3239.23	USD	-11,379.75	
25SEP17	S	1	PD27DEC17	3084.50	3239.23	USD	-3,868.25	
25SEP17	S	1	PD27DEC17	3087.00	3239.23	USD	-3,805.75	
25SEP17	B	1	PD27DEC17	3100.50	3239.23	USD	3,468.25	
25SEP17	B	1	PD27DEC17	3111.50	3239.23	USD	3,193.25	
25SEP17	B	1	PD27DEC17	3097.50	3239.23	USD	3,543.25	
26SEP17	S	1	PD27DEC17	3121.50	3239.23	USD	-2,943.25	
26SEP17	B	1	PD27DEC17	3125.50	3239.23	USD	2,843.25	
26SEP17	B	1	PD27DEC17	3116.00	3239.23	USD	3,080.75	
26SEP17	S	1	PD27DEC17	3117.50	3239.23	USD	-3,043.25	
04OCT17	B	8	PD27DEC17	3302.20	3239.23	USD	-12,594.00	
	NET	0 AVG BGHT 3228.35	SOLD 3086.46				-46,115.00	0.00
28SEP17	S	1	PD28DEC17	3103.50	3238.85	USD	-3,383.75	
28SEP17	B	1	PD28DEC17	3103.50	3238.85	USD	3,383.75	
28SEP17	S	1	PD28DEC17	3110.50	3238.85	USD	-3,208.75	
28SEP17	B	1	PD28DEC17	3134.50	3238.85	USD	2,608.75	
	NET	0 AVG BGHT 3119.00	SOLD 3107.00				-600.00	0.00
29SEP17	S	1	PD29DEC17	3147.00	3238.46	USD	-2,286.50	
29SEP17	S	1	PD29DEC17	3151.00	3238.46	USD	-2,186.50	
29SEP17	S	1	PD29DEC17	3160.00	3238.46	USD	-1,961.50	
29SEP17	B	1	PD29DEC17	3160.00	3238.46	USD	1,961.50	
04OCT17	B	2	PD29DEC17	3302.20	3238.46	USD	-3,187.00	
	NET	0 AVG BGHT 3254.80	SOLD 3152.67				-7,660.00	0.00
03OCT17	S	1	PD03JAN18	3218.00	3237.69	USD	-492.25	
03OCT17	S	1	PD03JAN18	3217.50	3237.69	USD	-504.75	
03OCT17	S	1	PD03JAN18	3221.00	3237.69	USD	-417.25	
03OCT17	S	3	PD03JAN18	3220.00	3237.69	USD	-1,326.75	
03OCT17	S	2	PD03JAN18	3222.50	3237.69	USD	-759.50	
03OCT17	S	2	PD03JAN18	3224.50	3237.69	USD	-659.50	
03OCT17	S	2	PD03JAN18	3225.50	3237.69	USD	-609.50	
03OCT17	S	1	PD03JAN18	3241.00	3237.69	USD	82.75	
03OCT17	S	3	PD03JAN18	3239.50	3237.69	USD	135.75	
03OCT17	S	1	PD03JAN18	3240.00	3237.69	USD	57.75	
03OCT17	S	1	PD03JAN18	3239.00	3237.69	USD	32.75	
03OCT17	S	2	PD03JAN18	3217.00	3237.69	USD	-1,034.50	
03OCT17	B	5	PD03JAN18	3239.65	3237.69	USD	-245.00	
03OCT17	B	5	PD03JAN18	3239.40	3237.69	USD	-213.75	
03OCT17	B	10	PD03JAN18	3239.90	3237.69	USD	-552.50	

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
030CT17	B	1	PD03JAN18	3268.50	3237.69	USD	-770.25	
030CT17	B	1	PD03JAN18	3277.50	3237.69	USD	-995.25	
030CT17	B	16	PD03JAN18	3278.00	3237.69	USD	-16,124.00	
030CT17	B	1	PD03JAN18	3278.50	3237.69	USD	-1,020.25	
030CT17	B	4	PD03JAN18	3280.00	3237.69	USD	-4,231.00	
030CT17	B	2	PD03JAN18	3279.50	3237.69	USD	-2,090.50	
030CT17	B	1	PD03JAN18	3282.00	3237.69	USD	-1,107.75	
030CT17	B	5	PD03JAN18	3281.00	3237.69	USD	-5,413.75	
030CT17	B	2	PD03JAN18	3278.50	3237.69	USD	-2,040.50	
030CT17	B	1	PD03JAN18	3279.50	3237.69	USD	-1,045.25	
030CT17	B	2	PD03JAN18	3280.50	3237.69	USD	-2,140.50	
030CT17	B	2	PD03JAN18	3281.00	3237.69	USD	-2,165.50	
120CT17	S	38	PD03JAN18	3250.00	3237.69	USD	11,694.50	
	NET	0 AVG BGHT 3265.38	SOLD 3241.97				-33,956.25	0.00
090CT17	S	1	PD09JAN18	3263.50	3236.15	USD	683.75	
090CT17	B	1	PD09JAN18	3264.50	3236.15	USD	-708.75	
	NET	0 AVG BGHT 3264.50	SOLD 3263.50				-25.00	0.00
100CT17	S	2	PD10JAN18	3233.00	3235.77	USD	-138.50	
100CT17	S	2	PD10JAN18	3235.50	3235.77	USD	-13.50	
100CT17	S	1	PD10JAN18	3249.50	3235.77	USD	343.25	
100CT17	S	2	PD10JAN18	3252.00	3235.77	USD	811.50	
100CT17	S	5	PD10JAN18	3249.00	3235.77	USD	1,653.75	
100CT17	S	1	PD10JAN18	3254.50	3235.77	USD	468.25	
100CT17	S	1	PD10JAN18	3252.50	3235.77	USD	418.25	
100CT17	S	1	PD10JAN18	3253.00	3235.77	USD	430.75	
100CT17	S	1	PD10JAN18	3257.50	3235.77	USD	543.25	
100CT17	B	1	PD10JAN18	3259.00	3235.77	USD	-580.75	
100CT17	B	1	PD10JAN18	3278.00	3235.77	USD	-1,055.75	
100CT17	B	1	PD10JAN18	3283.00	3235.77	USD	-1,180.75	
100CT17	B	1	PD10JAN18	3282.50	3235.77	USD	-1,168.25	
100CT17	B	1	PD10JAN18	3285.00	3235.77	USD	-1,230.75	
100CT17	B	1	PD10JAN18	3289.50	3235.77	USD	-1,343.25	
100CT17	B	1	PD10JAN18	3288.00	3235.77	USD	-1,305.75	
100CT17	B	3	PD10JAN18	3288.50	3235.77	USD	-3,954.75	
100CT17	B	1	PD10JAN18	3287.50	3235.77	USD	-1,293.25	
100CT17	B	4	PD10JAN18	3289.00	3235.77	USD	-5,323.00	
100CT17	B	1	PD10JAN18	3289.50	3235.77	USD	-1,343.25	
	NET	0 AVG BGHT 3285.22	SOLD 3247.06				-15,262.50	0.00
110CT17	B	1	PD11JAN18	3233.00	3235.38	USD	59.50	
110CT17	B	1	PD11JAN18	3235.00	3235.38	USD	9.50	
110CT17	S	1	PD11JAN18	3240.00	3235.38	USD	115.50	
110CT17	B	1	PD11JAN18	3237.00	3235.38	USD	-40.50	
110CT17	S	1	PD11JAN18	3238.50	3235.38	USD	78.00	
110CT17	S	1	PD11JAN18	3237.00	3235.38	USD	40.50	
110CT17	S	38	PD11JAN18	3218.80	3235.38	USD	-15,751.00	
120CT17	B	38	PD11JAN18	3250.20	3235.38	USD	-14,079.00	
	NET	0 AVG BGHT 3249.09	SOLD 3220.24				-29,567.50	0.00
130CT17	S	1	PD12JAN18	3249.50	3235.00	USD	362.50	
	NET	-1 AVG SOLD 3249.50					362.50	0.00

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OPEN POSITION VALUE

		USD
LME Aluminium 25 Tonnes.	FUTURES	-24,235.00
LME Lead 25 tonnes. This is an	FUTURES	-23,594.75
LME Nickel 6 tonnes. This is	FUTURES	264,938.94
LME Zinc 25 tonnes. This is	FUTURES	-103,936.50

MARKET REVALUATION BREAKDOWN

Unrealised Profits & Losses - Forwards	Amount	Profit / Loss	Total Amount	Total P&L
ALUL - Futures USD	-24,235.00	-24,235.00		
LEAD - Futures USD	-23,594.75	-23,594.75		
NKL - Futures USD	54,788.94	54,788.94		
ZINC - Futures USD	-184,811.50	-184,811.50	-177,852.31	-177,852.31

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	742,677.73	742,677.73
Variation Margins - Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	742,677.73	742,677.73
Initial Margins	-202,945.31	-202,945.31
Excess/(Shortage)	539,732.42	539,732.42
FOR INFORMATION ONLY		
Forward Unrealised P&L	-177,852.31	-177,852.31
Net Liquidating Value	564,825.42	564,825.42

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17JUL17	S	1	PD17OCT17	1935.00	2109.75	USD	-4,368.75	
17JUL17	S	2	PD17OCT17	1934.00	2109.75	USD	-8,787.50	
17JUL17	S	1	PD17OCT17	1938.50	2109.75	USD	-4,281.25	
17JUL17	S	1	PD17OCT17	1939.50	2109.75	USD	-4,256.25	
17JUL17	S	1	PD17OCT17	1940.00	2109.75	USD	-4,243.75	
17JUL17	B	1	PD17OCT17	1917.00	2109.75	USD	4,818.75	
17JUL17	B	1	PD17OCT17	1915.00	2109.75	USD	4,868.75	
17JUL17	B	1	PD17OCT17	1914.50	2109.75	USD	4,881.25	
17JUL17	B	1	PD17OCT17	1904.50	2109.75	USD	5,131.25	
17JUL17	S	1	PD17OCT17	1912.00	2109.75	USD	-4,943.75	
19JUL17	B	3	PD17OCT17	1920.03	2109.75	USD	14,229.00	
	NET	0AVG BGHT 1915.87	SOLD 1933.29				3,047.75	0.00
18JUL17	B	1	PD18OCT17	1906.00	2110.25	USD	5,106.25	
18JUL17	B	1	PD18OCT17	1905.50	2110.25	USD	5,118.75	
18JUL17	S	1	PD18OCT17	1907.00	2110.25	USD	-5,081.25	
18JUL17	B	1	PD18OCT17	1904.00	2110.25	USD	5,156.25	
18JUL17	B	1	PD18OCT17	1904.50	2110.25	USD	5,143.75	
18JUL17	S	1	PD18OCT17	1909.00	2110.25	USD	-5,031.25	
18JUL17	B	1	PD18OCT17	1900.00	2110.25	USD	5,256.25	
18JUL17	S	1	PD18OCT17	1931.00	2110.25	USD	-4,481.25	
18JUL17	B	1	PD18OCT17	1929.50	2110.25	USD	4,518.75	
19JUL17	S	3	PD18OCT17	1920.05	2110.25	USD	-14,265.00	
	NET	0AVG BGHT 1908.25	SOLD 1917.86				1,441.25	0.00
19JUL17	S	1	PD19OCT17	1938.00	2110.75	USD	-4,318.75	
19JUL17	B	1	PD19OCT17	1932.00	2110.75	USD	4,468.75	
19JUL17	S	1	PD19OCT17	1932.00	2110.75	USD	-4,468.75	
19JUL17	B	1	PD19OCT17	1922.00	2110.75	USD	4,718.75	
	NET	0AVG BGHT 1927.00	SOLD 1935.00				400.00	0.00
20JUL17	S	4	PD20OCT17	1929.00	2111.25	USD	-18,225.00	
20JUL17	B	1	PD20OCT17	1921.00	2111.25	USD	4,756.25	
20JUL17	B	1	PD20OCT17	1921.50	2111.25	USD	4,743.75	
20JUL17	B	2	PD20OCT17	1920.50	2111.25	USD	9,537.50	
20JUL17	B	1	PD20OCT17	1918.50	2111.25	USD	4,818.75	
20JUL17	B	1	PD20OCT17	1922.00	2111.25	USD	4,731.25	
20JUL17	B	1	PD20OCT17	1920.00	2111.25	USD	4,781.25	
20JUL17	S	2	PD20OCT17	1921.00	2111.25	USD	-9,512.50	
21JUL17	S	1	PD20OCT17	1923.00	2111.25	USD	-4,706.25	
21JUL17	S	1	PD20OCT17	1926.00	2111.25	USD	-4,631.25	
21JUL17	S	3	PD20OCT17	1925.50	2111.25	USD	-13,931.25	
21JUL17	S	1	PD20OCT17	1927.00	2111.25	USD	-4,606.25	
21JUL17	S	1	PD20OCT17	1924.50	2111.25	USD	-4,668.75	
21JUL17	S	1	PD20OCT17	1928.00	2111.25	USD	-4,581.25	
21JUL17	S	1	PD20OCT17	1929.00	2111.25	USD	-4,556.25	
21JUL17	B	1	PD20OCT17	1927.50	2111.25	USD	4,593.75	
21JUL17	B	2	PD20OCT17	1926.00	2111.25	USD	9,262.50	
21JUL17	B	5	PD20OCT17	1928.50	2111.25	USD	22,843.75	
	NET	0AVG BGHT 1924.40	SOLD 1926.13				650.00	0.00
25JUL17	S	1	PD25OCT17	1922.00	2113.75	USD	-4,793.75	
25JUL17	B	1	PD25OCT17	1917.50	2113.75	USD	4,906.25	
25JUL17	B	2	PD25OCT17	1916.50	2113.75	USD	9,862.50	
25JUL17	S	2	PD25OCT17	1923.50	2113.75	USD	-9,512.50	
25JUL17	S	1	PD25OCT17	1939.00	2113.75	USD	-4,368.75	
25JUL17	B	1	PD25OCT17	1931.50	2113.75	USD	4,556.25	
25JUL17	S	2	PD25OCT17	1931.00	2113.75	USD	-9,137.50	
25JUL17	S	2	PD25OCT17	1935.00	2113.75	USD	-8,937.50	
25JUL17	B	1	PD25OCT17	1940.00	2113.75	USD	4,343.75	

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01AUG17	B	3	PD25OCT17	1904.98	2113.75	USD	15,657.75	
	NET	0 AVG	BGHT 1917.12	SOLD 1930.00			2,576.50	0.00
26JUL17	S	3	PD26OCT17	1958.00	2114.25	USD	-11,718.75	
26JUL17	B	1	PD26OCT17	1952.00	2114.25	USD	4,056.25	
26JUL17	B	1	PD26OCT17	1943.00	2114.25	USD	4,281.25	
26JUL17	B	1	PD26OCT17	1938.50	2114.25	USD	4,393.75	
26JUL17	B	1	PD26OCT17	1939.50	2114.25	USD	4,368.75	
26JUL17	B	1	PD26OCT17	1935.00	2114.25	USD	4,481.25	
26JUL17	B	1	PD26OCT17	1945.50	2114.25	USD	4,218.75	
01AUG17	S	3	PD26OCT17	1905.07	2114.25	USD	-15,688.50	
	NET	0 AVG	BGHT 1942.25	SOLD 1931.54			-1,607.25	0.00
27JUL17	B	1	PD27OCT17	1933.00	2114.08	USD	4,527.00	
27JUL17	S	1	PD27OCT17	1939.50	2114.08	USD	-4,364.50	
27JUL17	S	1	PD27OCT17	1955.00	2114.08	USD	-3,977.00	
27JUL17	S	1	PD27OCT17	1954.50	2114.08	USD	-3,989.50	
27JUL17	S	1	PD27OCT17	1955.50	2114.08	USD	-3,964.50	
27JUL17	S	1	PD27OCT17	1956.50	2114.08	USD	-3,939.50	
27JUL17	B	1	PD27OCT17	1940.00	2114.08	USD	4,352.00	
27JUL17	B	1	PD27OCT17	1938.00	2114.08	USD	4,402.00	
28JUL17	B	1	PD27OCT17	1932.50	2114.08	USD	4,539.50	
28JUL17	B	1	PD27OCT17	1930.50	2114.08	USD	4,589.50	
28JUL17	B	1	PD27OCT17	1925.50	2114.08	USD	4,714.50	
28JUL17	S	1	PD27OCT17	1932.50	2114.08	USD	-4,539.50	
28JUL17	B	1	PD27OCT17	1919.50	2114.08	USD	4,864.50	
28JUL17	B	1	PD27OCT17	1910.50	2114.08	USD	5,089.50	
28JUL17	S	1	PD27OCT17	1910.00	2114.08	USD	-5,102.00	
28JUL17	S	1	PD27OCT17	1921.50	2114.08	USD	-4,814.50	
	NET	0 AVG	BGHT 1928.69	SOLD 1940.63			2,387.50	0.00
31JUL17	S	2	PD31OCT17	1925.50	2113.75	USD	-9,412.50	
31JUL17	S	3	PD31OCT17	1924.00	2113.75	USD	-14,231.25	
31JUL17	S	1	PD31OCT17	1925.00	2113.75	USD	-4,718.75	
31JUL17	B	2	PD31OCT17	1917.00	2113.75	USD	9,837.50	
31JUL17	S	1	PD31OCT17	1922.00	2113.75	USD	-4,793.75	
31JUL17	B	1	PD31OCT17	1918.50	2113.75	USD	4,881.25	
31JUL17	B	1	PD31OCT17	1917.50	2113.75	USD	4,906.25	
31JUL17	B	3	PD31OCT17	1916.50	2113.75	USD	14,793.75	
31JUL17	B	1	PD31OCT17	1912.50	2113.75	USD	5,031.25	
31JUL17	S	1	PD31OCT17	1920.00	2113.75	USD	-4,843.75	
31JUL17	S	1	PD31OCT17	1920.50	2113.75	USD	-4,831.25	
31JUL17	B	3	PD31OCT17	1916.00	2113.75	USD	14,831.25	
03AUG17	S	1	PD31OCT17	1917.00	2113.75	USD	-4,918.75	
07AUG17	S	1	PD31OCT17	1962.34	2113.75	USD	-3,785.25	
	NET	0 AVG	BGHT 1916.36	SOLD 1926.35			2,746.00	0.00
01AUG17	B	1	PD01NOV17	1917.00	2114.09	USD	4,927.25	
01AUG17	B	1	PD01NOV17	1910.00	2114.09	USD	5,102.25	
01AUG17	B	1	PD01NOV17	1911.50	2114.09	USD	5,064.75	
01AUG17	B	1	PD01NOV17	1908.50	2114.09	USD	5,139.75	
01AUG17	S	1	PD01NOV17	1908.00	2114.09	USD	-5,152.25	
07AUG17	S	3	PD01NOV17	1962.58	2114.09	USD	-11,363.25	
	NET	0 AVG	BGHT 1911.75	SOLD 1948.94			3,718.50	0.00
02AUG17	S	2	PD02NOV17	1916.00	2114.44	USD	-9,922.00	
02AUG17	S	1	PD02NOV17	1921.00	2114.44	USD	-4,836.00	
02AUG17	S	1	PD02NOV17	1922.00	2114.44	USD	-4,811.00	
02AUG17	S	1	PD02NOV17	1923.00	2114.44	USD	-4,786.00	
02AUG17	S	1	PD02NOV17	1924.00	2114.44	USD	-4,761.00	
02AUG17	S	1	PD02NOV17	1927.00	2114.44	USD	-4,686.00	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0112C

13th October 2017

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Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

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	<u>LME ALUMINIUM 25 TONNES. THIS IS AN</u>							
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02AUG17	S	1	PD02NOV17	1927.50	2114.44	USD	-4,673.50	
02AUG17	S	2	PD02NOV17	1931.00	2114.44	USD	-9,172.00	
02AUG17	B	1	PD02NOV17	1921.50	2114.44	USD	4,823.50	
02AUG17	B	1	PD02NOV17	1922.50	2114.44	USD	4,798.50	
02AUG17	S	1	PD02NOV17	1925.00	2114.44	USD	-4,736.00	
02AUG17	B	1	PD02NOV17	1927.50	2114.44	USD	4,673.50	
02AUG17	S	1	PD02NOV17	1928.00	2114.44	USD	-4,661.00	
02AUG17	B	2	PD02NOV17	1929.00	2114.44	USD	9,272.00	
02AUG17	B	1	PD02NOV17	1928.00	2114.44	USD	4,661.00	
02AUG17	B	4	PD02NOV17	1926.50	2114.44	USD	18,794.00	
02AUG17	B	1	PD02NOV17	1923.00	2114.44	USD	4,786.00	
02AUG17	B	1	PD02NOV17	1922.00	2114.44	USD	4,811.00	
02AUG17	B	1	PD02NOV17	1921.00	2114.44	USD	4,836.00	
02AUG17	S	1	PD02NOV17	1918.50	2114.44	USD	-4,898.50	
02AUG17	S	1	PD02NOV17	1929.00	2114.44	USD	-4,636.00	
03AUG17	B	1	PD02NOV17	1918.07	2114.44	USD	4,909.25	
	NET	0 AVG BGHT 1924.83	SOLD 1924.21				-214.25	0.00
03AUG17	B	1	PD03NOV17	1926.50	2114.78	USD	4,707.00	
03AUG17	B	1	PD03NOV17	1925.50	2114.78	USD	4,732.00	
03AUG17	B	1	PD03NOV17	1923.50	2114.78	USD	4,782.00	
03AUG17	S	1	PD03NOV17	1923.50	2114.78	USD	-4,782.00	
03AUG17	B	1	PD03NOV17	1913.00	2114.78	USD	5,044.50	
03AUG17	B	1	PD03NOV17	1912.00	2114.78	USD	5,069.50	
03AUG17	S	2	PD03NOV17	1920.50	2114.78	USD	-9,714.00	
03AUG17	S	1	PD03NOV17	1913.50	2114.78	USD	-5,032.00	
04AUG17	B	1	PD03NOV17	1914.50	2114.78	USD	5,007.00	
04AUG17	B	1	PD03NOV17	1915.00	2114.78	USD	4,994.50	
04AUG17	B	1	PD03NOV17	1913.50	2114.78	USD	5,032.00	
04AUG17	B	1	PD03NOV17	1913.00	2114.78	USD	5,044.50	
04AUG17	B	1	PD03NOV17	1912.00	2114.78	USD	5,069.50	
04AUG17	B	3	PD03NOV17	1912.50	2114.78	USD	15,171.00	
04AUG17	B	1	PD03NOV17	1911.50	2114.78	USD	5,082.00	
04AUG17	B	1	PD03NOV17	1910.50	2114.78	USD	5,107.00	
04AUG17	B	2	PD03NOV17	1909.00	2114.78	USD	10,289.00	
04AUG17	B	1	PD03NOV17	1907.00	2114.78	USD	5,194.50	
04AUG17	B	2	PD03NOV17	1905.00	2114.78	USD	10,489.00	
04AUG17	B	3	PD03NOV17	1906.00	2114.78	USD	15,658.50	
04AUG17	B	3	PD03NOV17	1908.50	2114.78	USD	15,471.00	
04AUG17	B	4	PD03NOV17	1908.00	2114.78	USD	20,678.00	
04AUG17	B	2	PD03NOV17	1905.50	2114.78	USD	10,464.00	
04AUG17	S	1	PD03NOV17	1909.50	2114.78	USD	-5,132.00	
04AUG17	B	1	PD03NOV17	1908.00	2114.78	USD	5,169.50	
04AUG17	S	1	PD03NOV17	1912.00	2114.78	USD	-5,069.50	
04AUG17	S	2	PD03NOV17	1911.00	2114.78	USD	-10,189.00	
04AUG17	S	1	PD03NOV17	1909.00	2114.78	USD	-5,144.50	
04AUG17	S	2	PD03NOV17	1910.00	2114.78	USD	-10,239.00	
04AUG17	S	5	PD03NOV17	1911.50	2114.78	USD	-25,410.00	
04AUG17	S	7	PD03NOV17	1910.50	2114.78	USD	-35,749.00	
04AUG17	S	1	PD03NOV17	1913.00	2114.78	USD	-5,044.50	
04AUG17	S	1	PD03NOV17	1908.00	2114.78	USD	-5,169.50	
04AUG17	S	3	PD03NOV17	1903.50	2114.78	USD	-15,846.00	
04AUG17	S	1	PD03NOV17	1903.00	2114.78	USD	-5,294.50	
04AUG17	S	2	PD03NOV17	1904.00	2114.78	USD	-10,539.00	
04AUG17	S	4	PD03NOV17	1905.00	2114.78	USD	-20,978.00	
04AUG17	S	2	PD03NOV17	1903.50	2114.78	USD	-10,564.00	
07AUG17	B	4	PD03NOV17	1963.25	2114.78	USD	15,153.00	
	NET	0 AVG BGHT 1916.50	SOLD 1909.49				-6,487.50	0.00

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	<u>LME ALUMINIUM 25 TONNES. THIS IS AN</u>							
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07AUG17	S	1	PD07NOV17	1928.00	2116.16	USD	-4,704.00	
07AUG17	S	1	PD07NOV17	1929.00	2116.16	USD	-4,679.00	
07AUG17	B	1	PD07NOV17	1923.50	2116.16	USD	4,816.50	
07AUG17	B	1	PD07NOV17	1921.00	2116.16	USD	4,879.00	
07AUG17	S	2	PD07NOV17	1928.50	2116.16	USD	-9,383.00	
07AUG17	S	2	PD07NOV17	1929.50	2116.16	USD	-9,333.00	
07AUG17	S	3	PD07NOV17	1930.50	2116.16	USD	-13,924.50	
07AUG17	S	1	PD07NOV17	1934.00	2116.16	USD	-4,554.00	
07AUG17	S	1	PD07NOV17	1937.00	2116.16	USD	-4,479.00	
07AUG17	S	1	PD07NOV17	1939.00	2116.16	USD	-4,429.00	
07AUG17	S	1	PD07NOV17	1930.50	2116.16	USD	-4,641.50	
07AUG17	S	1	PD07NOV17	1931.00	2116.16	USD	-4,629.00	
07AUG17	S	1	PD07NOV17	1942.50	2116.16	USD	-4,341.50	
07AUG17	S	1	PD07NOV17	1947.00	2116.16	USD	-4,229.00	
07AUG17	B	1	PD07NOV17	1942.00	2116.16	USD	4,354.00	
07AUG17	S	1	PD07NOV17	1947.00	2116.16	USD	-4,229.00	
07AUG17	B	1	PD07NOV17	1954.50	2116.16	USD	4,041.50	
07AUG17	S	2	PD07NOV17	1964.00	2116.16	USD	-7,608.00	
10AUG17	B	15	PD07NOV17	2036.49	2116.16	USD	29,876.25	
	NET	0 AVG BGHT 2015.18	SOLD 1936.87				-37,196.25	0.00
08AUG17	B	2	PD08NOV17	1975.00	2116.50	USD	7,075.00	
08AUG17	B	1	PD08NOV17	1981.00	2116.50	USD	3,387.50	
08AUG17	B	1	PD08NOV17	1990.00	2116.50	USD	3,162.50	
08AUG17	B	1	PD08NOV17	2003.50	2116.50	USD	2,825.00	
08AUG17	B	1	PD08NOV17	2003.00	2116.50	USD	2,837.50	
08AUG17	B	2	PD08NOV17	1999.50	2116.50	USD	5,850.00	
08AUG17	B	1	PD08NOV17	1992.00	2116.50	USD	3,112.50	
08AUG17	S	1	PD08NOV17	2006.00	2116.50	USD	-2,762.50	
08AUG17	S	1	PD08NOV17	1999.50	2116.50	USD	-2,925.00	
08AUG17	B	1	PD08NOV17	2008.00	2116.50	USD	2,712.50	
08AUG17	B	1	PD08NOV17	2009.50	2116.50	USD	2,675.00	
08AUG17	B	1	PD08NOV17	2008.50	2116.50	USD	2,700.00	
08AUG17	B	1	PD08NOV17	2001.00	2116.50	USD	2,887.50	
08AUG17	B	1	PD08NOV17	2001.50	2116.50	USD	2,875.00	
08AUG17	B	1	PD08NOV17	2018.50	2116.50	USD	2,450.00	
08AUG17	B	2	PD08NOV17	2017.00	2116.50	USD	4,975.00	
08AUG17	B	1	PD08NOV17	2019.50	2116.50	USD	2,425.00	
08AUG17	S	1	PD08NOV17	1996.50	2116.50	USD	-3,000.00	
10AUG17	S	15	PD08NOV17	2036.46	2116.50	USD	-30,015.00	
	NET	0 AVG BGHT 2001.06	SOLD 2030.49				13,247.50	0.00
09AUG17	B	1	PD09NOV17	2034.00	2116.96	USD	2,074.00	
09AUG17	B	1	PD09NOV17	2029.00	2116.96	USD	2,199.00	
09AUG17	S	1	PD09NOV17	2025.00	2116.96	USD	-2,299.00	
09AUG17	B	1	PD09NOV17	2021.50	2116.96	USD	2,386.50	
09AUG17	B	1	PD09NOV17	2031.00	2116.96	USD	2,149.00	
09AUG17	S	1	PD09NOV17	2029.50	2116.96	USD	-2,186.50	
09AUG17	S	1	PD09NOV17	2030.00	2116.96	USD	-2,174.00	
09AUG17	S	1	PD09NOV17	2040.00	2116.96	USD	-1,924.00	
09AUG17	B	1	PD09NOV17	2033.00	2116.96	USD	2,099.00	
09AUG17	S	1	PD09NOV17	2037.00	2116.96	USD	-1,999.00	
09AUG17	B	1	PD09NOV17	2021.00	2116.96	USD	2,399.00	
09AUG17	S	1	PD09NOV17	2020.00	2116.96	USD	-2,424.00	
	NET	0 AVG BGHT 2028.25	SOLD 2030.25				300.00	0.00
10AUG17	S	1	PD10NOV17	2029.00	2117.43	USD	-2,210.75	
10AUG17	S	1	PD10NOV17	2031.00	2117.43	USD	-2,160.75	
10AUG17	S	2	PD10NOV17	2032.00	2117.43	USD	-4,271.50	

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10AUG17	S	1	PD10NOV17	2033.00	2117.43	USD	-2,110.75	
10AUG17	B	1	PD10NOV17	2028.50	2117.43	USD	2,223.25	
10AUG17	B	1	PD10NOV17	2028.00	2117.43	USD	2,235.75	
10AUG17	B	1	PD10NOV17	2025.00	2117.43	USD	2,310.75	
10AUG17	B	1	PD10NOV17	2022.50	2117.43	USD	2,373.25	
10AUG17	B	1	PD10NOV17	2027.00	2117.43	USD	2,260.75	
10AUG17	B	1	PD10NOV17	2036.00	2117.43	USD	2,035.75	
10AUG17	B	1	PD10NOV17	2035.50	2117.43	USD	2,048.25	
10AUG17	S	1	PD10NOV17	2044.50	2117.43	USD	-1,823.25	
10AUG17	S	1	PD10NOV17	2038.50	2117.43	USD	-1,973.25	
10AUG17	S	2	PD10NOV17	2040.00	2117.43	USD	-3,871.50	
10AUG17	B	1	PD10NOV17	2039.00	2117.43	USD	1,960.75	
10AUG17	B	2	PD10NOV17	2037.00	2117.43	USD	4,021.50	
10AUG17	S	1	PD10NOV17	2038.50	2117.43	USD	-1,973.25	
11AUG17	B	1	PD10NOV17	2019.50	2117.43	USD	2,448.25	
11AUG17	S	1	PD10NOV17	2022.50	2117.43	USD	-2,373.25	
11AUG17	S	1	PD10NOV17	2041.50	2117.43	USD	-1,898.25	
11AUG17	B	1	PD10NOV17	2041.50	2117.43	USD	1,898.25	
11AUG17	B	1	PD10NOV17	2037.50	2117.43	USD	1,998.25	
11AUG17	B	1	PD10NOV17	2036.00	2117.43	USD	2,035.75	
11AUG17	S	1	PD10NOV17	2040.00	2117.43	USD	-1,935.75	
11AUG17	S	1	PD10NOV17	2039.00	2117.43	USD	-1,960.75	
11AUG17	S	1	PD10NOV17	2043.50	2117.43	USD	-1,848.25	
11AUG17	S	1	PD10NOV17	2045.00	2117.43	USD	-1,810.75	
11AUG17	B	1	PD10NOV17	2047.50	2117.43	USD	1,748.25	
11AUG17	B	1	PD10NOV17	2048.00	2117.43	USD	1,735.75	
	NET	0AVG BGHT 2034.09	SOLD 2036.88				1,112.50	0.00
14AUG17	B	1	PD14NOV17	2035.00	2119.29	USD	2,107.25	
14AUG17	S	2	PD14NOV17	2034.00	2119.29	USD	-4,264.50	
14AUG17	S	1	PD14NOV17	2036.50	2119.29	USD	-2,069.75	
14AUG17	S	1	PD14NOV17	2034.50	2119.29	USD	-2,119.75	
14AUG17	S	1	PD14NOV17	2040.50	2119.29	USD	-1,969.75	
14AUG17	B	1	PD14NOV17	2031.50	2119.29	USD	2,194.75	
14AUG17	B	1	PD14NOV17	2030.50	2119.29	USD	2,219.75	
14AUG17	B	1	PD14NOV17	2029.00	2119.29	USD	2,257.25	
14AUG17	B	1	PD14NOV17	2028.50	2119.29	USD	2,269.75	
	NET	0AVG BGHT 2030.90	SOLD 2035.90				625.00	0.00
21AUG17	B	1	PD21NOV17	2081.50	2121.65	USD	1,003.75	
21AUG17	S	1	PD21NOV17	2076.00	2121.65	USD	-1,141.25	
21AUG17	B	1	PD21NOV17	2074.00	2121.65	USD	1,191.25	
21AUG17	S	1	PD21NOV17	2074.50	2121.65	USD	-1,178.75	
21AUG17	B	1	PD21NOV17	2074.50	2121.65	USD	1,178.75	
21AUG17	S	1	PD21NOV17	2080.50	2121.65	USD	-1,028.75	
21AUG17	S	1	PD21NOV17	2077.00	2121.65	USD	-1,116.25	
21AUG17	S	1	PD21NOV17	2078.50	2121.65	USD	-1,078.75	
21AUG17	S	1	PD21NOV17	2075.50	2121.65	USD	-1,153.75	
21AUG17	S	1	PD21NOV17	2076.50	2121.65	USD	-1,128.75	
21AUG17	B	1	PD21NOV17	2087.50	2121.65	USD	853.75	
21AUG17	B	1	PD21NOV17	2087.00	2121.65	USD	866.25	
29AUG17	B	2	PD21NOV17	2093.50	2121.65	USD	1,407.50	
	NET	0AVG BGHT 2084.50	SOLD 2076.93				-1,325.00	0.00
22AUG17	S	1	PD22NOV17	2075.00	2121.96	USD	-1,174.00	
22AUG17	S	1	PD22NOV17	2081.50	2121.96	USD	-1,011.50	
22AUG17	S	1	PD22NOV17	2085.00	2121.96	USD	-924.00	
22AUG17	B	1	PD22NOV17	2078.50	2121.96	USD	1,086.50	
22AUG17	B	1	PD22NOV17	2077.50	2121.96	USD	1,111.50	

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22AUG17	B	1	PD22NOV17	2075.50	2121.96	USD	1,161.50	
22AUG17	B	2	PD22NOV17	2075.00	2121.96	USD	2,348.00	
29AUG17	S	2	PD22NOV17	2093.49	2121.96	USD	-1,423.50	
	NET	0 AVG	BGHT 2076.30				1,174.50	0.00
			SOLD 2085.70					
23AUG17	B	1	PD24NOV17	2061.50	2122.60	USD	1,527.50	
23AUG17	B	1	PD24NOV17	2060.50	2122.60	USD	1,552.50	
23AUG17	B	1	PD24NOV17	2063.00	2122.60	USD	1,490.00	
23AUG17	S	1	PD24NOV17	2064.50	2122.60	USD	-1,452.50	
23AUG17	S	1	PD24NOV17	2074.00	2122.60	USD	-1,215.00	
23AUG17	S	1	PD24NOV17	2073.50	2122.60	USD	-1,227.50	
23AUG17	S	1	PD24NOV17	2084.50	2122.60	USD	-952.50	
23AUG17	S	1	PD24NOV17	2077.50	2122.60	USD	-1,127.50	
23AUG17	S	1	PD24NOV17	2078.50	2122.60	USD	-1,102.50	
23AUG17	S	2	PD24NOV17	2082.00	2122.60	USD	-2,030.00	
23AUG17	S	1	PD24NOV17	2083.00	2122.60	USD	-990.00	
23AUG17	S	3	PD24NOV17	2083.50	2122.60	USD	-2,932.50	
23AUG17	S	1	PD24NOV17	2082.50	2122.60	USD	-1,002.50	
23AUG17	S	1	PD24NOV17	2084.50	2122.60	USD	-952.50	
23AUG17	S	1	PD24NOV17	2087.50	2122.60	USD	-877.50	
23AUG17	S	1	PD24NOV17	2083.50	2122.60	USD	-977.50	
23AUG17	S	1	PD24NOV17	2115.00	2122.60	USD	-190.00	
23AUG17	B	2	PD24NOV17	2118.00	2122.60	USD	230.00	
23AUG17	B	1	PD24NOV17	2110.00	2122.60	USD	315.00	
23AUG17	B	1	PD24NOV17	2107.00	2122.60	USD	390.00	
24AUG17	B	1	PD24NOV17	2100.00	2122.60	USD	565.00	
24AUG17	B	1	PD24NOV17	2099.50	2122.60	USD	577.50	
24AUG17	B	2	PD24NOV17	2095.50	2122.60	USD	1,355.00	
24AUG17	B	1	PD24NOV17	2095.00	2122.60	USD	690.00	
24AUG17	B	2	PD24NOV17	2093.50	2122.60	USD	1,455.00	
24AUG17	B	1	PD24NOV17	2093.00	2122.60	USD	740.00	
24AUG17	B	1	PD24NOV17	2092.50	2122.60	USD	752.50	
24AUG17	S	1	PD24NOV17	2103.50	2122.60	USD	-477.50	
24AUG17	S	1	PD24NOV17	2104.50	2122.60	USD	-452.50	
24AUG17	B	1	PD24NOV17	2106.50	2122.60	USD	402.50	
24AUG17	S	1	PD24NOV17	2106.50	2122.60	USD	-402.50	
24AUG17	S	2	PD24NOV17	2110.00	2122.60	USD	-630.00	
24AUG17	S	1	PD24NOV17	2110.50	2122.60	USD	-302.50	
24AUG17	S	1	PD24NOV17	2111.00	2122.60	USD	-290.00	
24AUG17	S	1	PD24NOV17	2111.50	2122.60	USD	-277.50	
24AUG17	S	1	PD24NOV17	2122.50	2122.60	USD	-2.50	
24AUG17	B	1	PD24NOV17	2110.50	2122.60	USD	302.50	
24AUG17	B	1	PD24NOV17	2108.00	2122.60	USD	365.00	
24AUG17	B	2	PD24NOV17	2105.50	2122.60	USD	855.00	
24AUG17	B	1	PD24NOV17	2103.00	2122.60	USD	490.00	
24AUG17	B	2	PD24NOV17	2098.50	2122.60	USD	1,205.00	
25AUG17	B	1	PD24NOV17	2087.50	2122.60	USD	877.50	
25AUG17	B	1	PD24NOV17	2089.00	2122.60	USD	840.00	
25AUG17	B	1	PD24NOV17	2090.00	2122.60	USD	815.00	
25AUG17	S	1	PD24NOV17	2094.50	2122.60	USD	-702.50	
	NET	0 AVG	BGHT 2096.24				-2,775.00	0.00
			SOLD 2092.13					
29AUG17	S	1	PD29NOV17	2074.00	2124.18	USD	-1,254.50	
29AUG17	S	1	PD29NOV17	2078.00	2124.18	USD	-1,154.50	
29AUG17	B	1	PD29NOV17	2072.50	2124.18	USD	1,292.00	
29AUG17	B	1	PD29NOV17	2074.00	2124.18	USD	1,254.50	
29AUG17	S	1	PD29NOV17	2083.50	2124.18	USD	-1,017.00	
29AUG17	S	1	PD29NOV17	2088.00	2124.18	USD	-904.50	
29AUG17	S	1	PD29NOV17	2100.00	2124.18	USD	-604.50	

OPEN POSITION STATEMENT



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SAP0112C

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	<u>LME ALUMINIUM 25 TONNES. THIS IS AN</u>							
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06SEP17	B	3	PD29NOV17	2102.84	2124.18	USD	1,600.50	
	NET	0 AVG BGHT 2091.00	SOLD 2084.70				-788.00	0.00
30AUG17	B	1	PD30NOV17	2092.50	2124.49	USD	799.75	
30AUG17	B	1	PD30NOV17	2092.00	2124.49	USD	812.25	
30AUG17	B	1	PD30NOV17	2091.00	2124.49	USD	837.25	
30AUG17	B	1	PD30NOV17	2099.50	2124.49	USD	624.75	
30AUG17	B	1	PD30NOV17	2099.00	2124.49	USD	637.25	
30AUG17	B	1	PD30NOV17	2098.50	2124.49	USD	649.75	
30AUG17	B	1	PD30NOV17	2086.00	2124.49	USD	962.25	
30AUG17	S	1	PD30NOV17	2095.00	2124.49	USD	-737.25	
30AUG17	S	1	PD30NOV17	2093.50	2124.49	USD	-774.75	
30AUG17	S	1	PD30NOV17	2083.50	2124.49	USD	-1,024.75	
30AUG17	S	1	PD30NOV17	2084.00	2124.49	USD	-1,012.25	
31AUG17	S	1	PD30NOV17	2102.00	2124.49	USD	-562.25	
31AUG17	S	1	PD30NOV17	2101.50	2124.49	USD	-574.75	
31AUG17	S	1	PD30NOV17	2110.50	2124.49	USD	-349.75	
31AUG17	S	1	PD30NOV17	2114.00	2124.49	USD	-262.25	
31AUG17	S	1	PD30NOV17	2121.00	2124.49	USD	-87.25	
31AUG17	S	1	PD30NOV17	2129.50	2124.49	USD	125.25	
31AUG17	B	2	PD30NOV17	2117.50	2124.49	USD	349.50	
31AUG17	B	1	PD30NOV17	2117.00	2124.49	USD	187.25	
31AUG17	B	1	PD30NOV17	2127.00	2124.49	USD	-62.75	
31AUG17	B	1	PD30NOV17	2126.50	2124.49	USD	-50.25	
31AUG17	B	1	PD30NOV17	2126.00	2124.49	USD	-37.75	
06SEP17	S	3	PD30NOV17	2102.88	2124.49	USD	-1,620.75	
	NET	0 AVG BGHT 2106.92	SOLD 2103.32				-1,171.50	0.00
01SEP17	S	1	PD01DEC17	2136.50	2124.81	USD	292.25	
01SEP17	S	1	PD01DEC17	2142.00	2124.81	USD	429.75	
01SEP17	B	1	PD01DEC17	2135.50	2124.81	USD	-267.25	
01SEP17	B	1	PD01DEC17	2135.00	2124.81	USD	-254.75	
01SEP17	B	1	PD01DEC17	2133.50	2124.81	USD	-217.25	
01SEP17	B	1	PD01DEC17	2136.50	2124.81	USD	-292.25	
01SEP17	S	2	PD01DEC17	2142.00	2124.81	USD	859.50	
01SEP17	S	1	PD01DEC17	2132.50	2124.81	USD	192.25	
01SEP17	B	1	PD01DEC17	2129.50	2124.81	USD	-117.25	
01SEP17	S	1	PD01DEC17	2136.00	2124.81	USD	279.75	
06SEP17	B	1	PD01DEC17	2103.32	2124.81	USD	537.25	
	NET	0 AVG BGHT 2128.89	SOLD 2138.50				1,442.00	0.00
04SEP17	B	1	PD04DEC17	2130.00	2125.76	USD	-106.00	
04SEP17	B	1	PD04DEC17	2126.00	2125.76	USD	-6.00	
04SEP17	B	1	PD04DEC17	2125.50	2125.76	USD	6.50	
04SEP17	B	1	PD04DEC17	2124.50	2125.76	USD	31.50	
04SEP17	S	2	PD04DEC17	2123.00	2125.76	USD	-138.00	
04SEP17	B	1	PD04DEC17	2123.00	2125.76	USD	69.00	
04SEP17	B	1	PD04DEC17	2113.00	2125.76	USD	319.00	
04SEP17	S	1	PD04DEC17	2112.50	2125.76	USD	-331.50	
04SEP17	S	1	PD04DEC17	2119.00	2125.76	USD	-169.00	
04SEP17	S	1	PD04DEC17	2121.00	2125.76	USD	-119.00	
06SEP17	S	1	PD04DEC17	2103.83	2125.76	USD	-548.25	
	NET	0 AVG BGHT 2123.67	SOLD 2117.06				-991.75	0.00
05SEP17	B	1	PD05DEC17	2110.50	2126.07	USD	389.25	
05SEP17	B	1	PD05DEC17	2110.00	2126.07	USD	401.75	
05SEP17	S	1	PD05DEC17	2106.50	2126.07	USD	-489.25	
05SEP17	S	1	PD05DEC17	2107.00	2126.07	USD	-476.75	
05SEP17	S	1	PD05DEC17	2105.00	2126.07	USD	-526.75	
05SEP17	S	1	PD05DEC17	2112.00	2126.07	USD	-351.75	

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	<u>LME ALUMINIUM 25 TONNES. THIS IS AN</u>							
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05SEP17	S	2	PD05DEC17	2112.50	2126.07	USD	-678.50	
05SEP17	B	1	PD05DEC17	2105.00	2126.07	USD	526.75	
05SEP17	B	1	PD05DEC17	2089.00	2126.07	USD	926.75	
05SEP17	B	1	PD05DEC17	2087.50	2126.07	USD	964.25	
08SEP17	B	1	PD05DEC17	2098.25	2126.07	USD	695.50	
	NET	0 AVG BGHT 2100.04	SOLD 2109.25				1,381.25	0.00
06SEP17	B	1	PD06DEC17	2084.00	2126.39	USD	1,059.75	
06SEP17	B	1	PD06DEC17	2077.00	2126.39	USD	1,234.75	
06SEP17	B	1	PD06DEC17	2076.50	2126.39	USD	1,247.25	
06SEP17	S	1	PD06DEC17	2074.50	2126.39	USD	-1,297.25	
06SEP17	S	1	PD06DEC17	2078.00	2126.39	USD	-1,209.75	
06SEP17	S	2	PD06DEC17	2081.50	2126.39	USD	-2,244.50	
06SEP17	S	1	PD06DEC17	2084.00	2126.39	USD	-1,059.75	
06SEP17	B	1	PD06DEC17	2086.00	2126.39	USD	1,009.75	
06SEP17	S	1	PD06DEC17	2088.00	2126.39	USD	-959.75	
06SEP17	S	1	PD06DEC17	2098.00	2126.39	USD	-709.75	
06SEP17	B	1	PD06DEC17	2093.00	2126.39	USD	834.75	
06SEP17	B	1	PD06DEC17	2088.50	2126.39	USD	947.25	
06SEP17	B	1	PD06DEC17	2091.50	2126.39	USD	872.25	
06SEP17	B	2	PD06DEC17	2110.00	2126.39	USD	819.50	
08SEP17	S	1	PD06DEC17	2098.30	2126.39	USD	-702.25	
08SEP17	S	1	PD06DEC17	2098.50	2126.39	USD	-697.25	
	NET	0 AVG BGHT 2090.72	SOLD 2086.92				-855.00	0.00
07SEP17	B	1	PD07DEC17	2089.00	2126.71	USD	942.75	
07SEP17	B	1	PD07DEC17	2094.50	2126.71	USD	805.25	
07SEP17	S	1	PD07DEC17	2099.00	2126.71	USD	-692.75	
07SEP17	S	1	PD07DEC17	2106.00	2126.71	USD	-517.75	
07SEP17	S	1	PD07DEC17	2107.00	2126.71	USD	-492.75	
08SEP17	B	1	PD07DEC17	2098.95	2126.71	USD	694.00	
	NET	0 AVG BGHT 2094.15	SOLD 2104.00				738.75	0.00
08SEP17	B	3	PD08DEC17	2103.50	2127.02	USD	1,764.00	
08SEP17	S	2	PD08DEC17	2096.00	2127.02	USD	-1,551.00	
08SEP17	S	2	PD08DEC17	2095.50	2127.02	USD	-1,576.00	
08SEP17	B	1	PD08DEC17	2092.00	2127.02	USD	875.50	
	NET	0 AVG BGHT 2100.63	SOLD 2095.75				-487.50	0.00
11SEP17	S	1	PD11DEC17	2124.50	2127.97	USD	-86.75	
11SEP17	S	1	PD11DEC17	2126.00	2127.97	USD	-49.25	
11SEP17	S	1	PD11DEC17	2135.50	2127.97	USD	188.25	
14SEP17	B	2	PD11DEC17	2097.20	2127.97	USD	1,538.50	
15SEP17	B	1	PD11DEC17	2085.00	2127.97	USD	1,074.25	
	NET	0 AVG BGHT 2093.13	SOLD 2128.67				2,665.00	0.00
12SEP17	B	1	PD12DEC17	2117.00	2128.29	USD	282.25	
12SEP17	S	2	PD12DEC17	2127.00	2128.29	USD	-64.50	
12SEP17	S	1	PD12DEC17	2126.00	2128.29	USD	-57.25	
12SEP17	S	1	PD12DEC17	2126.50	2128.29	USD	-44.75	
12SEP17	S	2	PD12DEC17	2121.00	2128.29	USD	-364.50	
12SEP17	S	1	PD12DEC17	2120.00	2128.29	USD	-207.25	
12SEP17	S	1	PD12DEC17	2122.50	2128.29	USD	-144.75	
12SEP17	B	1	PD12DEC17	2114.00	2128.29	USD	357.25	
12SEP17	S	1	PD12DEC17	2142.00	2128.29	USD	342.75	
12SEP17	B	1	PD12DEC17	2136.00	2128.29	USD	-192.75	
14SEP17	B	4	PD12DEC17	2097.47	2128.29	USD	3,082.00	
15SEP17	B	2	PD12DEC17	2085.25	2128.29	USD	2,152.00	
	NET	0 AVG BGHT 2103.04	SOLD 2125.89				5,140.50	0.00
13SEP17	B	1	PD13DEC17	2112.50	2128.60	USD	402.50	

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13SEP17	S	1	PD13DEC17	2115.00	2128.60	USD	-340.00	
13SEP17	B	2	PD13DEC17	2115.50	2128.60	USD	655.00	
13SEP17	B	1	PD13DEC17	2114.50	2128.60	USD	352.50	
13SEP17	B	2	PD13DEC17	2114.00	2128.60	USD	730.00	
13SEP17	B	1	PD13DEC17	2108.00	2128.60	USD	515.00	
14SEP17	S	6	PD13DEC17	2097.53	2128.60	USD	-4,660.50	
	NET	0 AVG BGHT 2113.43	SOLD 2100.03				-2,345.50	0.00
14SEP17	B	1	PD14DEC17	2116.00	2128.92	USD	323.00	
14SEP17	B	1	PD14DEC17	2114.50	2128.92	USD	360.50	
14SEP17	B	1	PD14DEC17	2110.50	2128.92	USD	460.50	
14SEP17	B	2	PD14DEC17	2097.00	2128.92	USD	1,596.00	
14SEP17	B	2	PD14DEC17	2094.00	2128.92	USD	1,746.00	
14SEP17	B	1	PD14DEC17	2088.00	2128.92	USD	1,023.00	
14SEP17	S	1	PD14DEC17	2088.00	2128.92	USD	-1,023.00	
14SEP17	S	1	PD14DEC17	2096.00	2128.92	USD	-823.00	
14SEP17	S	1	PD14DEC17	2083.50	2128.92	USD	-1,135.50	
14SEP17	S	1	PD14DEC17	2091.00	2128.92	USD	-948.00	
14SEP17	S	1	PD14DEC17	2091.50	2128.92	USD	-935.50	
15SEP17	S	3	PD14DEC17	2085.55	2128.92	USD	-3,252.75	
	NET	0 AVG BGHT 2101.38	SOLD 2088.33				-2,608.75	0.00
15SEP17	S	1	PD15DEC17	2091.50	2129.24	USD	-943.50	
15SEP17	S	1	PD15DEC17	2092.00	2129.24	USD	-931.00	
15SEP17	S	1	PD15DEC17	2096.50	2129.24	USD	-818.50	
15SEP17	S	1	PD15DEC17	2100.50	2129.24	USD	-718.50	
15SEP17	S	1	PD15DEC17	2099.00	2129.24	USD	-756.00	
15SEP17	B	1	PD15DEC17	2096.50	2129.24	USD	818.50	
15SEP17	S	1	PD15DEC17	2102.00	2129.24	USD	-681.00	
15SEP17	B	1	PD15DEC17	2095.00	2129.24	USD	856.00	
15SEP17	B	1	PD15DEC17	2093.00	2129.24	USD	906.00	
15SEP17	B	1	PD15DEC17	2088.50	2129.24	USD	1,018.50	
15SEP17	S	1	PD15DEC17	2085.00	2129.24	USD	-1,106.00	
15SEP17	B	1	PD15DEC17	2084.00	2129.24	USD	1,131.00	
15SEP17	B	1	PD15DEC17	2083.00	2129.24	USD	1,156.00	
15SEP17	B	2	PD15DEC17	2085.50	2129.24	USD	2,187.00	
15SEP17	B	2	PD15DEC17	2072.00	2129.24	USD	2,862.00	
15SEP17	S	1	PD15DEC17	2074.00	2129.24	USD	-1,381.00	
15SEP17	S	1	PD15DEC17	2075.00	2129.24	USD	-1,356.00	
15SEP17	S	1	PD15DEC17	2075.50	2129.24	USD	-1,343.50	
	NET	0 AVG BGHT 2085.50	SOLD 2089.10				900.00	0.00
18SEP17	S	2	PD18DEC17	2094.00	2130.18	USD	-1,809.00	
18SEP17	S	1	PD18DEC17	2092.50	2130.18	USD	-942.00	
18SEP17	S	2	PD18DEC17	2090.00	2130.18	USD	-2,009.00	
18SEP17	S	1	PD18DEC17	2102.00	2130.18	USD	-704.50	
18SEP17	S	1	PD18DEC17	2104.50	2130.18	USD	-642.00	
18SEP17	S	1	PD18DEC17	2105.00	2130.18	USD	-629.50	
18SEP17	S	1	PD18DEC17	2093.50	2130.18	USD	-917.00	
18SEP17	B	1	PD18DEC17	2083.50	2130.18	USD	1,167.00	
18SEP17	S	1	PD18DEC17	2093.00	2130.18	USD	-929.50	
18SEP17	S	2	PD18DEC17	2093.50	2130.18	USD	-1,834.00	
18SEP17	S	1	PD18DEC17	2091.50	2130.18	USD	-967.00	
18SEP17	B	1	PD18DEC17	2091.00	2130.18	USD	979.50	
18SEP17	B	1	PD18DEC17	2093.50	2130.18	USD	917.00	
18SEP17	B	1	PD18DEC17	2093.00	2130.18	USD	929.50	
18SEP17	B	1	PD18DEC17	2092.00	2130.18	USD	954.50	
20SEP17	B	7	PD18DEC17	2176.44	2130.18	USD	-8,095.50	
12OCT17	B	1	PD18DEC17	2143.16	2130.18	USD	-324.50	

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	NET	0 AVG BGHT 2140.86	SOLD 2095.15				-14,856.00	0.00
19SEP17	S	1	PD19DEC17	2104.50	2130.50	USD	-650.00	
19SEP17	B	1	PD19DEC17	2104.50	2130.50	USD	650.00	
19SEP17	S	1	PD19DEC17	2105.00	2130.50	USD	-637.50	
19SEP17	S	1	PD19DEC17	2109.50	2130.50	USD	-525.00	
19SEP17	B	1	PD19DEC17	2102.50	2130.50	USD	700.00	
19SEP17	B	1	PD19DEC17	2103.00	2130.50	USD	687.50	
19SEP17	B	1	PD19DEC17	2097.50	2130.50	USD	825.00	
19SEP17	B	2	PD19DEC17	2098.50	2130.50	USD	1,600.00	
19SEP17	S	1	PD19DEC17	2109.00	2130.50	USD	-537.50	
19SEP17	S	2	PD19DEC17	2112.00	2130.50	USD	-925.00	
19SEP17	S	2	PD19DEC17	2113.00	2130.50	USD	-875.00	
19SEP17	S	2	PD19DEC17	2112.50	2130.50	USD	-900.00	
19SEP17	S	2	PD19DEC17	2122.00	2130.50	USD	-425.00	
19SEP17	B	1	PD19DEC17	2122.00	2130.50	USD	212.50	
19SEP17	B	1	PD19DEC17	2121.50	2130.50	USD	225.00	
19SEP17	B	2	PD19DEC17	2123.50	2130.50	USD	350.00	
19SEP17	B	2	PD19DEC17	2117.50	2130.50	USD	650.00	
19SEP17	B	1	PD19DEC17	2114.00	2130.50	USD	412.50	
19SEP17	B	1	PD19DEC17	2113.00	2130.50	USD	437.50	
19SEP17	B	1	PD19DEC17	2115.00	2130.50	USD	387.50	
19SEP17	B	2	PD19DEC17	2118.50	2130.50	USD	600.00	
19SEP17	B	1	PD19DEC17	2125.00	2130.50	USD	137.50	
19SEP17	B	2	PD19DEC17	2126.00	2130.50	USD	225.00	
19SEP17	S	1	PD19DEC17	2128.50	2130.50	USD	-50.00	
20SEP17	S	7	PD19DEC17	2176.52	2130.50	USD	8,053.50	
	NET	0 AVG BGHT 2114.30	SOLD 2135.56				10,628.50	0.00
20SEP17	S	1	PD20DEC17	2132.50	2130.50	USD	50.00	
20SEP17	S	2	PD20DEC17	2144.50	2130.50	USD	700.00	
20SEP17	S	1	PD20DEC17	2146.00	2130.50	USD	387.50	
20SEP17	S	1	PD20DEC17	2146.50	2130.50	USD	400.00	
20SEP17	S	2	PD20DEC17	2150.50	2130.50	USD	1,000.00	
20SEP17	B	1	PD20DEC17	2150.00	2130.50	USD	-487.50	
20SEP17	S	1	PD20DEC17	2164.00	2130.50	USD	837.50	
20SEP17	B	1	PD20DEC17	2159.00	2130.50	USD	-712.50	
20SEP17	S	1	PD20DEC17	2160.50	2130.50	USD	750.00	
20SEP17	S	2	PD20DEC17	2162.00	2130.50	USD	1,575.00	
20SEP17	S	1	PD20DEC17	2161.50	2130.50	USD	775.00	
20SEP17	S	1	PD20DEC17	2163.00	2130.50	USD	812.50	
20SEP17	S	1	PD20DEC17	2165.00	2130.50	USD	862.50	
20SEP17	S	1	PD20DEC17	2165.50	2130.50	USD	875.00	
20SEP17	S	1	PD20DEC17	2168.50	2130.50	USD	950.00	
20SEP17	B	2	PD20DEC17	2168.50	2130.50	USD	-1,900.00	
20SEP17	S	1	PD20DEC17	2180.50	2130.50	USD	1,250.00	
20SEP17	B	2	PD20DEC17	2190.00	2130.50	USD	-2,975.00	
20SEP17	S	2	PD20DEC17	2186.50	2130.50	USD	2,800.00	
20SEP17	S	2	PD20DEC17	2188.00	2130.50	USD	2,875.00	
20SEP17	S	2	PD20DEC17	2190.00	2130.50	USD	2,975.00	
20SEP17	S	2	PD20DEC17	2189.00	2130.50	USD	2,925.00	
20SEP17	S	1	PD20DEC17	2162.00	2130.50	USD	787.50	
20SEP17	S	1	PD20DEC17	2192.50	2130.50	USD	1,550.00	
20SEP17	B	1	PD20DEC17	2178.50	2130.50	USD	-1,200.00	
20SEP17	B	1	PD20DEC17	2174.50	2130.50	USD	-1,100.00	
20SEP17	S	1	PD20DEC17	2175.50	2130.50	USD	1,125.00	
20SEP17	B	1	PD20DEC17	2166.50	2130.50	USD	-900.00	
20SEP17	B	1	PD20DEC17	2164.00	2130.50	USD	-837.50	
20SEP17	B	1	PD20DEC17	2164.50	2130.50	USD	-850.00	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0112C

13th October 2017

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London W1J 7NJ UK
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backoffice@sapiencap.com

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	<u>LME ALUMINIUM 25 TONNES. THIS IS AN</u>							
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20SEP17	B	3	PD20DEC17	2165.00	2130.50	USD	-2,587.50	
20SEP17	B	2	PD20DEC17	2163.50	2130.50	USD	-1,650.00	
20SEP17	B	2	PD20DEC17	2163.00	2130.50	USD	-1,625.00	
20SEP17	B	1	PD20DEC17	2165.50	2130.50	USD	-875.00	
20SEP17	S	1	PD20DEC17	2163.00	2130.50	USD	812.50	
20SEP17	S	2	PD20DEC17	2181.00	2130.50	USD	2,525.00	
20SEP17	B	3	PD20DEC17	2187.00	2130.50	USD	-4,237.50	
20SEP17	B	1	PD20DEC17	2185.50	2130.50	USD	-1,375.00	
20SEP17	B	3	PD20DEC17	2184.50	2130.50	USD	-4,050.00	
04OCT17	B	5	PD20DEC17	2163.00	2130.50	USD	-4,062.50	
	NET	0 AVG BGHT 2171.05	SOLD 2168.69				-1,825.00	0.00
21SEP17	B	1	PD21DEC17	2158.00	2130.50	USD	-687.50	
21SEP17	B	2	PD21DEC17	2157.50	2130.50	USD	-1,350.00	
21SEP17	B	2	PD21DEC17	2159.50	2130.50	USD	-1,450.00	
21SEP17	S	1	PD21DEC17	2166.00	2130.50	USD	887.50	
21SEP17	S	1	PD21DEC17	2165.00	2130.50	USD	862.50	
21SEP17	S	1	PD21DEC17	2167.00	2130.50	USD	912.50	
21SEP17	B	1	PD21DEC17	2165.50	2130.50	USD	-875.00	
21SEP17	B	1	PD21DEC17	2167.00	2130.50	USD	-912.50	
21SEP17	S	1	PD21DEC17	2170.50	2130.50	USD	1,000.00	
21SEP17	B	1	PD21DEC17	2170.00	2130.50	USD	-987.50	
21SEP17	S	1	PD21DEC17	2163.50	2130.50	USD	825.00	
21SEP17	S	1	PD21DEC17	2169.50	2130.50	USD	975.00	
21SEP17	S	3	PD21DEC17	2168.00	2130.50	USD	2,812.50	
21SEP17	S	1	PD21DEC17	2167.50	2130.50	USD	925.00	
21SEP17	S	1	PD21DEC17	2174.00	2130.50	USD	1,087.50	
21SEP17	S	1	PD21DEC17	2177.00	2130.50	USD	1,162.50	
21SEP17	S	1	PD21DEC17	2177.50	2130.50	USD	1,175.00	
21SEP17	S	2	PD21DEC17	2178.00	2130.50	USD	2,375.00	
21SEP17	S	1	PD21DEC17	2180.00	2130.50	USD	1,237.50	
21SEP17	S	3	PD21DEC17	2182.00	2130.50	USD	3,862.50	
21SEP17	S	3	PD21DEC17	2181.50	2130.50	USD	3,825.00	
21SEP17	S	2	PD21DEC17	2182.50	2130.50	USD	2,600.00	
21SEP17	S	1	PD21DEC17	2185.00	2130.50	USD	1,362.50	
21SEP17	S	1	PD21DEC17	2189.00	2130.50	USD	1,462.50	
21SEP17	S	1	PD21DEC17	2187.00	2130.50	USD	1,412.50	
21SEP17	B	1	PD21DEC17	2181.00	2130.50	USD	-1,262.50	
21SEP17	B	1	PD21DEC17	2174.00	2130.50	USD	-1,087.50	
21SEP17	B	1	PD21DEC17	2174.50	2130.50	USD	-1,100.00	
21SEP17	B	1	PD21DEC17	2162.50	2130.50	USD	-800.00	
21SEP17	B	2	PD21DEC17	2162.00	2130.50	USD	-1,575.00	
21SEP17	B	1	PD21DEC17	2161.50	2130.50	USD	-775.00	
21SEP17	B	1	PD21DEC17	2156.50	2130.50	USD	-650.00	
21SEP17	B	1	PD21DEC17	2156.00	2130.50	USD	-637.50	
21SEP17	B	1	PD21DEC17	2154.50	2130.50	USD	-600.00	
21SEP17	B	1	PD21DEC17	2152.50	2130.50	USD	-550.00	
21SEP17	B	1	PD21DEC17	2151.00	2130.50	USD	-512.50	
21SEP17	B	1	PD21DEC17	2150.50	2130.50	USD	-500.00	
21SEP17	B	2	PD21DEC17	2147.00	2130.50	USD	-825.00	
21SEP17	B	1	PD21DEC17	2149.00	2130.50	USD	-462.50	
21SEP17	B	3	PD21DEC17	2148.00	2130.50	USD	-1,312.50	
21SEP17	B	2	PD21DEC17	2147.50	2130.50	USD	-850.00	
21SEP17	B	1	PD21DEC17	2146.50	2130.50	USD	-400.00	
21SEP17	B	1	PD21DEC17	2153.00	2130.50	USD	-562.50	
21SEP17	B	1	PD21DEC17	2153.50	2130.50	USD	-575.00	
04OCT17	S	5	PD21DEC17	2163.00	2130.50	USD	4,062.50	
	NET	0 AVG BGHT 2157.13	SOLD 2174.03				13,525.00	0.00

OPEN POSITION STATEMENT



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	<u>LME ALUMINIUM 25 TONNES. THIS IS AN</u>							
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22SEP17	B	1	PD22DEC17	2137.00	2130.50	USD	-162.50	
22SEP17	B	1	PD22DEC17	2134.00	2130.50	USD	-87.50	
22SEP17	B	1	PD22DEC17	2122.00	2130.50	USD	212.50	
22SEP17	S	1	PD22DEC17	2122.50	2130.50	USD	-200.00	
22SEP17	S	1	PD22DEC17	2120.50	2130.50	USD	-250.00	
22SEP17	S	1	PD22DEC17	2123.00	2130.50	USD	-187.50	
22SEP17	S	1	PD22DEC17	2135.00	2130.50	USD	112.50	
22SEP17	S	1	PD22DEC17	2156.50	2130.50	USD	650.00	
22SEP17	S	2	PD22DEC17	2158.00	2130.50	USD	1,375.00	
22SEP17	S	2	PD22DEC17	2159.50	2130.50	USD	1,450.00	
22SEP17	B	1	PD22DEC17	2155.00	2130.50	USD	-612.50	
22SEP17	B	1	PD22DEC17	2139.50	2130.50	USD	-225.00	
22SEP17	B	1	PD22DEC17	2163.50	2130.50	USD	-825.00	
22SEP17	B	2	PD22DEC17	2162.00	2130.50	USD	-1,575.00	
22SEP17	B	1	PD22DEC17	2161.50	2130.50	USD	-775.00	
	NET	0AVG BGHT 2148.50	SOLD 2143.61				-1,100.00	0.00
25SEP17	S	1	PD27DEC17	2146.00	2131.04	USD	374.00	
25SEP17	S	1	PD27DEC17	2153.50	2131.04	USD	561.50	
25SEP17	S	2	PD27DEC17	2153.00	2131.04	USD	1,098.00	
25SEP17	B	1	PD27DEC17	2139.00	2131.04	USD	-199.00	
26SEP17	B	2	PD27DEC17	2156.00	2131.04	USD	-1,248.00	
26SEP17	B	1	PD27DEC17	2157.50	2131.04	USD	-661.50	
26SEP17	B	1	PD27DEC17	2147.00	2131.04	USD	-399.00	
26SEP17	B	1	PD27DEC17	2146.00	2131.04	USD	-374.00	
26SEP17	S	2	PD27DEC17	2140.00	2131.04	USD	448.00	
26SEP17	B	1	PD27DEC17	2129.50	2131.04	USD	38.50	
26SEP17	B	1	PD27DEC17	2127.00	2131.04	USD	101.00	
26SEP17	S	1	PD27DEC17	2133.00	2131.04	USD	49.00	
26SEP17	S	1	PD27DEC17	2125.00	2131.04	USD	-151.00	
27SEP17	S	2	PD27DEC17	2131.50	2131.04	USD	23.00	
27SEP17	S	2	PD27DEC17	2132.00	2131.04	USD	48.00	
27SEP17	S	1	PD27DEC17	2133.00	2131.04	USD	49.00	
27SEP17	S	1	PD27DEC17	2137.50	2131.04	USD	161.50	
27SEP17	S	1	PD27DEC17	2146.50	2131.04	USD	386.50	
27SEP17	S	1	PD27DEC17	2146.00	2131.04	USD	374.00	
27SEP17	B	1	PD27DEC17	2132.50	2131.04	USD	-36.50	
27SEP17	B	1	PD27DEC17	2131.50	2131.04	USD	-11.50	
27SEP17	B	1	PD27DEC17	2130.00	2131.04	USD	26.00	
27SEP17	B	1	PD27DEC17	2129.00	2131.04	USD	51.00	
27SEP17	B	3	PD27DEC17	2126.50	2131.04	USD	340.50	
27SEP17	B	1	PD27DEC17	2126.00	2131.04	USD	126.00	
	NET	0AVG BGHT 2136.66	SOLD 2139.59				1,175.00	0.00
28SEP17	B	4	PD28DEC17	2122.00	2131.14	USD	914.00	
28SEP17	S	1	PD28DEC17	2120.50	2131.14	USD	-266.00	
28SEP17	S	1	PD28DEC17	2126.50	2131.14	USD	-116.00	
28SEP17	S	2	PD28DEC17	2127.50	2131.14	USD	-182.00	
28SEP17	S	3	PD28DEC17	2130.50	2131.14	USD	-48.00	
28SEP17	B	2	PD28DEC17	2123.50	2131.14	USD	382.00	
28SEP17	B	1	PD28DEC17	2119.50	2131.14	USD	291.00	
28SEP17	B	1	PD28DEC17	2119.00	2131.14	USD	303.50	
28SEP17	B	1	PD28DEC17	2118.50	2131.14	USD	316.00	
28SEP17	S	1	PD28DEC17	2128.00	2131.14	USD	-78.50	
28SEP17	S	1	PD28DEC17	2128.00	2131.14	USD	-78.50	
28SEP17	S	1	PD28DEC17	2134.00	2131.14	USD	71.50	
28SEP17	S	1	PD28DEC17	2136.00	2131.14	USD	121.50	
04OCT17	B	2	PD28DEC17	2164.60	2131.14	USD	-1,673.00	
	NET	0AVG BGHT 2129.20	SOLD 2129.05				-42.50	0.00

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29SEP17	S	1	PD29DEC17	2136.00	2131.25	USD	118.75	
29SEP17	S	1	PD29DEC17	2137.00	2131.25	USD	143.75	
29SEP17	S	1	PD29DEC17	2136.50	2131.25	USD	131.25	
29SEP17	B	1	PD29DEC17	2131.50	2131.25	USD	-6.25	
29SEP17	B	1	PD29DEC17	2132.00	2131.25	USD	-18.75	
29SEP17	B	1	PD29DEC17	2127.50	2131.25	USD	93.75	
29SEP17	B	1	PD29DEC17	2126.00	2131.25	USD	131.25	
29SEP17	B	1	PD29DEC17	2125.50	2131.25	USD	143.75	
04OCT17	S	2	PD29DEC17	2164.60	2131.25	USD	1,667.50	
	NET	0 AVG BGHT 2128.50	SOLD 2147.74				2,405.00	0.00
03OCT17	B	1	PD03JAN18	2106.00	2132.05	USD	651.25	
03OCT17	B	1	PD03JAN18	2105.00	2132.05	USD	676.25	
03OCT17	B	1	PD03JAN18	2104.50	2132.05	USD	688.75	
03OCT17	S	1	PD03JAN18	2106.50	2132.05	USD	-638.75	
03OCT17	S	2	PD03JAN18	2123.00	2132.05	USD	-452.50	
03OCT17	S	1	PD03JAN18	2127.00	2132.05	USD	-126.25	
03OCT17	B	1	PD03JAN18	2124.50	2132.05	USD	188.75	
03OCT17	S	1	PD03JAN18	2123.50	2132.05	USD	-213.75	
03OCT17	B	1	PD03JAN18	2120.00	2132.05	USD	301.25	
03OCT17	B	1	PD03JAN18	2115.50	2132.05	USD	413.75	
03OCT17	B	1	PD03JAN18	2113.50	2132.05	USD	463.75	
03OCT17	S	1	PD03JAN18	2113.50	2132.05	USD	-463.75	
03OCT17	B	1	PD03JAN18	2116.50	2132.05	USD	388.75	
03OCT17	B	1	PD03JAN18	2122.50	2132.05	USD	238.75	
03OCT17	S	2	PD03JAN18	2117.50	2132.05	USD	-727.50	
03OCT17	S	1	PD03JAN18	2120.50	2132.05	USD	-288.75	
	NET	0 AVG BGHT 2114.22	SOLD 2119.11				1,100.00	0.00
04OCT17	S	1	PD04JAN18	2154.50	2132.21	USD	557.25	
04OCT17	S	1	PD04JAN18	2153.00	2132.21	USD	519.75	
04OCT17	B	2	PD04JAN18	2143.50	2132.21	USD	-564.50	
04OCT17	S	1	PD04JAN18	2155.00	2132.21	USD	569.75	
04OCT17	S	1	PD04JAN18	2157.50	2132.21	USD	632.25	
04OCT17	B	1	PD04JAN18	2156.00	2132.21	USD	-594.75	
04OCT17	B	1	PD04JAN18	2149.00	2132.21	USD	-419.75	
04OCT17	S	1	PD04JAN18	2148.50	2132.21	USD	407.25	
04OCT17	S	1	PD04JAN18	2158.00	2132.21	USD	644.75	
04OCT17	S	1	PD04JAN18	2159.50	2132.21	USD	682.25	
04OCT17	S	1	PD04JAN18	2160.00	2132.21	USD	694.75	
04OCT17	S	1	PD04JAN18	2160.50	2132.21	USD	707.25	
10OCT17	B	5	PD04JAN18	2161.75	2132.21	USD	-3,692.50	
	NET	0 AVG BGHT 2155.64	SOLD 2156.28				143.75	0.00
05OCT17	B	1	PD05JAN18	2157.00	2132.38	USD	-615.50	
05OCT17	B	1	PD05JAN18	2153.00	2132.38	USD	-515.50	
05OCT17	B	1	PD05JAN18	2150.00	2132.38	USD	-440.50	
05OCT17	B	1	PD05JAN18	2161.50	2132.38	USD	-728.00	
05OCT17	B	1	PD05JAN18	2156.50	2132.38	USD	-603.00	
10OCT17	S	5	PD05JAN18	2161.76	2132.38	USD	3,672.50	
	NET	0 AVG BGHT 2155.60	SOLD 2161.76				770.00	0.00
10OCT17	S	2	PD10JAN18	2166.00	2133.18	USD	1,641.00	
10OCT17	B	2	PD10JAN18	2161.00	2133.18	USD	-1,391.00	
10OCT17	B	1	PD10JAN18	2161.00	2133.18	USD	-695.50	
10OCT17	B	1	PD10JAN18	2157.00	2133.18	USD	-595.50	
10OCT17	B	1	PD10JAN18	2153.50	2133.18	USD	-508.00	
10OCT17	S	1	PD10JAN18	2165.00	2133.18	USD	795.50	
10OCT17	S	1	PD10JAN18	2153.00	2133.18	USD	495.50	
10OCT17	S	1	PD10JAN18	2164.50	2133.18	USD	783.00	

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	NET	0 AVG BGHT 2158.70	SOLD 2162.90				525.00	0.00
	<u>LME LEAD 25 TONNES. THIS IS AN</u>							
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17JUL17	B	1	PD17OCT17	2318.50	2518.25	USD	4,993.75	
17JUL17	S	1	PD17OCT17	2324.00	2518.25	USD	-4,856.25	
17JUL17	S	2	PD17OCT17	2323.50	2518.25	USD	-9,737.50	
17JUL17	S	3	PD17OCT17	2325.50	2518.25	USD	-14,456.25	
17JUL17	S	2	PD17OCT17	2329.50	2518.25	USD	-9,437.50	
17JUL17	B	1	PD17OCT17	2319.50	2518.25	USD	4,968.75	
17JUL17	S	1	PD17OCT17	2319.50	2518.25	USD	-4,968.75	
17JUL17	B	1	PD17OCT17	2332.00	2518.25	USD	4,656.25	
17JUL17	B	1	PD17OCT17	2323.50	2518.25	USD	4,868.75	
17JUL17	B	2	PD17OCT17	2324.00	2518.25	USD	9,712.50	
17JUL17	B	4	PD17OCT17	2322.00	2518.25	USD	19,625.00	
17JUL17	B	1	PD17OCT17	2320.50	2518.25	USD	4,943.75	
17JUL17	B	2	PD17OCT17	2321.00	2518.25	USD	9,862.50	
17JUL17	S	1	PD17OCT17	2317.00	2518.25	USD	-5,031.25	
17JUL17	B	2	PD17OCT17	2316.50	2518.25	USD	10,087.50	
17JUL17	B	4	PD17OCT17	2320.00	2518.25	USD	19,825.00	
17JUL17	S	1	PD17OCT17	2320.00	2518.25	USD	-4,956.25	
17JUL17	S	1	PD17OCT17	2322.00	2518.25	USD	-4,906.25	
17JUL17	S	1	PD17OCT17	2324.50	2518.25	USD	-4,843.75	
17JUL17	B	2	PD17OCT17	2317.50	2518.25	USD	10,037.50	
17JUL17	B	1	PD17OCT17	2317.00	2518.25	USD	5,031.25	
17JUL17	B	1	PD17OCT17	2314.50	2518.25	USD	5,093.75	
17JUL17	S	1	PD17OCT17	2319.00	2518.25	USD	-4,981.25	
17JUL17	B	1	PD17OCT17	2313.50	2518.25	USD	5,118.75	
17JUL17	B	1	PD17OCT17	2306.00	2518.25	USD	5,306.25	
17JUL17	B	1	PD17OCT17	2304.50	2518.25	USD	5,343.75	
17JUL17	B	1	PD17OCT17	2302.50	2518.25	USD	5,393.75	
17JUL17	S	1	PD17OCT17	2296.00	2518.25	USD	-5,556.25	
17JUL17	S	3	PD17OCT17	2293.50	2518.25	USD	-16,856.25	
17JUL17	S	1	PD17OCT17	2294.50	2518.25	USD	-5,593.75	
17JUL17	S	1	PD17OCT17	2299.00	2518.25	USD	-5,481.25	
17JUL17	S	1	PD17OCT17	2295.00	2518.25	USD	-5,581.25	
18JUL17	S	9	PD17OCT17	2274.63	2518.25	USD	-54,814.50	
19JUL17	B	3	PD17OCT17	2217.30	2518.25	USD	22,571.25	
	NET	0 AVG BGHT 2308.33	SOLD 2302.17				-4,618.25	0.00
18JUL17	S	1	PD18OCT17	2304.00	2518.75	USD	-5,368.75	
18JUL17	B	1	PD18OCT17	2295.00	2518.75	USD	5,593.75	
18JUL17	S	2	PD18OCT17	2289.50	2518.75	USD	-11,462.50	
18JUL17	B	3	PD18OCT17	2285.50	2518.75	USD	17,493.75	
18JUL17	B	2	PD18OCT17	2286.50	2518.75	USD	11,612.50	
18JUL17	B	1	PD18OCT17	2288.00	2518.75	USD	5,768.75	
18JUL17	B	2	PD18OCT17	2287.50	2518.75	USD	11,562.50	
18JUL17	B	3	PD18OCT17	2289.00	2518.75	USD	17,231.25	
18JUL17	B	2	PD18OCT17	2288.50	2518.75	USD	11,512.50	
18JUL17	B	2	PD18OCT17	2285.00	2518.75	USD	11,687.50	
18JUL17	S	1	PD18OCT17	2288.50	2518.75	USD	-5,756.25	
18JUL17	B	1	PD18OCT17	2293.00	2518.75	USD	5,643.75	
18JUL17	B	1	PD18OCT17	2292.50	2518.75	USD	5,656.25	
18JUL17	B	1	PD18OCT17	2290.00	2518.75	USD	5,718.75	
18JUL17	S	1	PD18OCT17	2284.00	2518.75	USD	-5,868.75	
18JUL17	B	1	PD18OCT17	2281.00	2518.75	USD	5,943.75	
18JUL17	B	1	PD18OCT17	2280.00	2518.75	USD	5,968.75	
18JUL17	B	1	PD18OCT17	2287.50	2518.75	USD	5,781.25	
18JUL17	B	1	PD18OCT17	2288.00	2518.75	USD	5,768.75	
18JUL17	B	1	PD18OCT17	2281.50	2518.75	USD	5,931.25	
18JUL17	B	1	PD18OCT17	2279.00	2518.75	USD	5,993.75	

OPEN POSITION STATEMENT



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SAP0112C

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backoffice@sapiencap.com

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18JUL17	B	2	PD18OCT17	2279.00	2518.75	USD	11,987.50	
18JUL17	B	1	PD18OCT17	2278.50	2518.75	USD	6,006.25	
18JUL17	S	1	PD18OCT17	2272.50	2518.75	USD	-6,156.25	
18JUL17	S	1	PD18OCT17	2269.00	2518.75	USD	-6,243.75	
18JUL17	S	1	PD18OCT17	2269.50	2518.75	USD	-6,231.25	
18JUL17	S	2	PD18OCT17	2274.50	2518.75	USD	-12,212.50	
18JUL17	S	1	PD18OCT17	2277.50	2518.75	USD	-6,031.25	
18JUL17	S	2	PD18OCT17	2278.00	2518.75	USD	-12,037.50	
18JUL17	S	1	PD18OCT17	2273.00	2518.75	USD	-6,143.75	
18JUL17	B	1	PD18OCT17	2269.50	2518.75	USD	6,231.25	
18JUL17	B	1	PD18OCT17	2271.00	2518.75	USD	6,193.75	
18JUL17	B	1	PD18OCT17	2268.00	2518.75	USD	6,268.75	
18JUL17	S	1	PD18OCT17	2267.50	2518.75	USD	-6,281.25	
18JUL17	S	1	PD18OCT17	2273.00	2518.75	USD	-6,143.75	
18JUL17	S	1	PD18OCT17	2271.50	2518.75	USD	-6,181.25	
18JUL17	B	1	PD18OCT17	2270.00	2518.75	USD	6,218.75	
18JUL17	S	4	PD18OCT17	2274.00	2518.75	USD	-24,475.00	
18JUL17	S	3	PD18OCT17	2275.00	2518.75	USD	-18,281.25	
18JUL17	S	1	PD18OCT17	2277.00	2518.75	USD	-6,043.75	
18JUL17	S	2	PD18OCT17	2276.50	2518.75	USD	-12,112.50	
19JUL17	S	4	PD18OCT17	2217.30	2518.75	USD	-30,145.00	
24JUL17	S	1	PD18OCT17	2259.25	2518.75	USD	-6,487.50	
	NET	0 AVG BGHT 2284.03	SOLD 2269.17				-11,888.75	0.00
19JUL17	B	2	PD19OCT17	2263.50	2518.90	USD	12,770.00	
19JUL17	B	1	PD19OCT17	2264.50	2518.90	USD	6,360.00	
19JUL17	B	2	PD19OCT17	2265.50	2518.90	USD	12,670.00	
19JUL17	B	1	PD19OCT17	2262.00	2518.90	USD	6,422.50	
19JUL17	S	1	PD19OCT17	2271.00	2518.90	USD	-6,197.50	
19JUL17	S	2	PD19OCT17	2271.50	2518.90	USD	-12,370.00	
19JUL17	S	2	PD19OCT17	2272.00	2518.90	USD	-12,345.00	
19JUL17	S	2	PD19OCT17	2273.00	2518.90	USD	-12,295.00	
19JUL17	S	1	PD19OCT17	2275.00	2518.90	USD	-6,097.50	
19JUL17	S	2	PD19OCT17	2268.50	2518.90	USD	-12,520.00	
19JUL17	S	1	PD19OCT17	2265.00	2518.90	USD	-6,347.50	
19JUL17	B	2	PD19OCT17	2261.50	2518.90	USD	12,870.00	
19JUL17	B	2	PD19OCT17	2260.00	2518.90	USD	12,945.00	
19JUL17	B	1	PD19OCT17	2257.00	2518.90	USD	6,547.50	
19JUL17	B	2	PD19OCT17	2258.50	2518.90	USD	13,020.00	
19JUL17	B	1	PD19OCT17	2257.50	2518.90	USD	6,535.00	
19JUL17	B	1	PD19OCT17	2254.00	2518.90	USD	6,622.50	
19JUL17	B	1	PD19OCT17	2256.50	2518.90	USD	6,560.00	
19JUL17	B	1	PD19OCT17	2255.00	2518.90	USD	6,597.50	
19JUL17	B	4	PD19OCT17	2256.00	2518.90	USD	26,290.00	
19JUL17	B	4	PD19OCT17	2254.50	2518.90	USD	26,440.00	
19JUL17	B	1	PD19OCT17	2249.50	2518.90	USD	6,735.00	
19JUL17	B	2	PD19OCT17	2245.00	2518.90	USD	13,695.00	
19JUL17	B	1	PD19OCT17	2274.00	2518.90	USD	6,122.50	
19JUL17	B	2	PD19OCT17	2240.50	2518.90	USD	13,920.00	
19JUL17	S	1	PD19OCT17	2243.00	2518.90	USD	-6,897.50	
19JUL17	S	1	PD19OCT17	2243.50	2518.90	USD	-6,885.00	
19JUL17	B	1	PD19OCT17	2232.50	2518.90	USD	7,160.00	
19JUL17	B	1	PD19OCT17	2228.50	2518.90	USD	7,260.00	
19JUL17	S	1	PD19OCT17	2226.00	2518.90	USD	-7,322.50	
19JUL17	B	1	PD19OCT17	2230.00	2518.90	USD	7,222.50	
19JUL17	S	1	PD19OCT17	2227.50	2518.90	USD	-7,285.00	
19JUL17	S	1	PD19OCT17	2221.00	2518.90	USD	-7,447.50	
19JUL17	S	1	PD19OCT17	2220.50	2518.90	USD	-7,460.00	

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	<u>LME LEAD 25 TONNES. THIS IS AN</u>							
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19JUL17	S	1	PD19OCT17	2217.00	2518.90	USD	-7,547.50	
19JUL17	S	1	PD19OCT17	2228.00	2518.90	USD	-7,272.50	
19JUL17	S	2	PD19OCT17	2212.00	2518.90	USD	-15,345.00	
19JUL17	S	2	PD19OCT17	2213.00	2518.90	USD	-15,295.00	
19JUL17	S	2	PD19OCT17	2211.00	2518.90	USD	-15,395.00	
24JUL17	S	9	PD19OCT17	2259.38	2518.90	USD	-58,392.00	
	NET	0 AVG BGHT 2254.47	SOLD 2247.47				-5,952.00	0.00
20JUL17	S	1	PD20OCT17	2230.00	2519.05	USD	-7,226.25	
20JUL17	S	5	PD20OCT17	2227.00	2519.05	USD	-36,506.25	
20JUL17	S	4	PD20OCT17	2226.50	2519.05	USD	-29,255.00	
20JUL17	S	1	PD20OCT17	2223.00	2519.05	USD	-7,401.25	
20JUL17	S	1	PD20OCT17	2225.50	2519.05	USD	-7,338.75	
20JUL17	S	1	PD20OCT17	2224.50	2519.05	USD	-7,363.75	
20JUL17	S	1	PD20OCT17	2223.50	2519.05	USD	-7,388.75	
20JUL17	S	3	PD20OCT17	2224.00	2519.05	USD	-22,128.75	
20JUL17	B	1	PD20OCT17	2223.00	2519.05	USD	7,401.25	
20JUL17	B	1	PD20OCT17	2230.00	2519.05	USD	7,226.25	
20JUL17	B	2	PD20OCT17	2226.50	2519.05	USD	14,627.50	
20JUL17	B	1	PD20OCT17	2225.50	2519.05	USD	7,338.75	
20JUL17	B	1	PD20OCT17	2219.00	2519.05	USD	7,501.25	
20JUL17	B	1	PD20OCT17	2218.00	2519.05	USD	7,526.25	
20JUL17	S	2	PD20OCT17	2222.00	2519.05	USD	-14,852.50	
20JUL17	S	1	PD20OCT17	2228.50	2519.05	USD	-7,263.75	
20JUL17	S	1	PD20OCT17	2227.50	2519.05	USD	-7,288.75	
20JUL17	S	2	PD20OCT17	2226.00	2519.05	USD	-14,652.50	
20JUL17	S	1	PD20OCT17	2231.50	2519.05	USD	-7,188.75	
20JUL17	B	1	PD20OCT17	2228.50	2519.05	USD	7,263.75	
20JUL17	B	2	PD20OCT17	2227.50	2519.05	USD	14,577.50	
20JUL17	B	2	PD20OCT17	2225.00	2519.05	USD	14,702.50	
20JUL17	B	2	PD20OCT17	2223.50	2519.05	USD	14,777.50	
20JUL17	B	1	PD20OCT17	2222.00	2519.05	USD	7,426.25	
20JUL17	B	1	PD20OCT17	2222.50	2519.05	USD	7,413.75	
20JUL17	B	2	PD20OCT17	2221.00	2519.05	USD	14,902.50	
20JUL17	S	1	PD20OCT17	2229.50	2519.05	USD	-7,238.75	
20JUL17	S	1	PD20OCT17	2215.50	2519.05	USD	-7,588.75	
20JUL17	B	1	PD20OCT17	2212.00	2519.05	USD	7,676.25	
20JUL17	B	1	PD20OCT17	2211.50	2519.05	USD	7,688.75	
20JUL17	B	1	PD20OCT17	2211.00	2519.05	USD	7,701.25	
20JUL17	B	2	PD20OCT17	2210.50	2519.05	USD	15,427.50	
20JUL17	S	2	PD20OCT17	2205.00	2519.05	USD	-15,702.50	
20JUL17	S	4	PD20OCT17	2206.00	2519.05	USD	-31,305.00	
20JUL17	S	1	PD20OCT17	2206.50	2519.05	USD	-7,813.75	
20JUL17	S	1	PD20OCT17	2207.50	2519.05	USD	-7,788.75	
20JUL17	S	2	PD20OCT17	2208.00	2519.05	USD	-15,552.50	
20JUL17	S	1	PD20OCT17	2213.50	2519.05	USD	-7,638.75	
20JUL17	S	1	PD20OCT17	2219.50	2519.05	USD	-7,488.75	
21JUL17	S	1	PD20OCT17	2236.00	2519.05	USD	-7,076.25	
21JUL17	B	1	PD20OCT17	2235.00	2519.05	USD	7,101.25	
21JUL17	S	2	PD20OCT17	2235.50	2519.05	USD	-14,177.50	
21JUL17	S	1	PD20OCT17	2236.50	2519.05	USD	-7,063.75	
21JUL17	S	1	PD20OCT17	2239.50	2519.05	USD	-6,988.75	
21JUL17	S	1	PD20OCT17	2240.00	2519.05	USD	-6,976.25	
21JUL17	S	1	PD20OCT17	2241.00	2519.05	USD	-6,951.25	
21JUL17	S	1	PD20OCT17	2241.50	2519.05	USD	-6,938.75	
21JUL17	S	1	PD20OCT17	2244.00	2519.05	USD	-6,876.25	
21JUL17	S	1	PD20OCT17	2244.50	2519.05	USD	-6,863.75	
21JUL17	S	2	PD20OCT17	2245.00	2519.05	USD	-13,702.50	

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21JUL17	S	1	PD200CT17	2245.50	2519.05	USD	-6,838.75	
21JUL17	S	1	PD200CT17	2236.00	2519.05	USD	-7,076.25	
21JUL17	B	1	PD200CT17	2251.50	2519.05	USD	6,688.75	
21JUL17	S	2	PD200CT17	2238.50	2519.05	USD	-14,027.50	
21JUL17	B	1	PD200CT17	2238.00	2519.05	USD	7,026.25	
21JUL17	B	1	PD200CT17	2237.50	2519.05	USD	7,038.75	
21JUL17	S	1	PD200CT17	2242.00	2519.05	USD	-6,926.25	
21JUL17	B	1	PD200CT17	2237.00	2519.05	USD	7,051.25	
21JUL17	B	3	PD200CT17	2238.50	2519.05	USD	21,041.25	
21JUL17	S	1	PD200CT17	2239.00	2519.05	USD	-7,001.25	
21JUL17	B	1	PD200CT17	2237.50	2519.05	USD	7,038.75	
21JUL17	B	4	PD200CT17	2234.50	2519.05	USD	28,455.00	
21JUL17	B	2	PD200CT17	2229.50	2519.05	USD	14,477.50	
21JUL17	B	1	PD200CT17	2228.00	2519.05	USD	7,276.25	
21JUL17	B	2	PD200CT17	2240.00	2519.05	USD	13,952.50	
21JUL17	B	4	PD200CT17	2240.50	2519.05	USD	27,855.00	
21JUL17	B	1	PD200CT17	2239.50	2519.05	USD	6,988.75	
24JUL17	B	10	PD200CT17	2259.70	2519.05	USD	64,837.50	
	NET	0AVG BGHT 2234.76	SOLD 2226.58				-11,450.00	0.00
24JUL17	B	1	PD240CT17	2262.50	2519.65	USD	6,428.75	
24JUL17	B	2	PD240CT17	2255.50	2519.65	USD	13,207.50	
24JUL17	B	1	PD240CT17	2253.00	2519.65	USD	6,666.25	
24JUL17	B	1	PD240CT17	2252.00	2519.65	USD	6,691.25	
24JUL17	B	2	PD240CT17	2251.00	2519.65	USD	13,432.50	
24JUL17	S	1	PD240CT17	2255.50	2519.65	USD	-6,603.75	
24JUL17	S	3	PD240CT17	2255.00	2519.65	USD	-19,848.75	
24JUL17	S	1	PD240CT17	2264.50	2519.65	USD	-6,378.75	
24JUL17	S	1	PD240CT17	2265.50	2519.65	USD	-6,353.75	
24JUL17	S	1	PD240CT17	2262.00	2519.65	USD	-6,441.25	
24JUL17	S	1	PD240CT17	2262.50	2519.65	USD	-6,428.75	
24JUL17	S	1	PD240CT17	2263.00	2519.65	USD	-6,416.25	
24JUL17	S	1	PD240CT17	2263.50	2519.65	USD	-6,403.75	
24JUL17	S	1	PD240CT17	2256.50	2519.65	USD	-6,578.75	
24JUL17	B	1	PD240CT17	2258.50	2519.65	USD	6,528.75	
24JUL17	B	1	PD240CT17	2253.50	2519.65	USD	6,653.75	
24JUL17	B	1	PD240CT17	2254.00	2519.65	USD	6,641.25	
24JUL17	B	1	PD240CT17	2252.50	2519.65	USD	6,678.75	
24JUL17	B	1	PD240CT17	2248.00	2519.65	USD	6,791.25	
24JUL17	S	1	PD240CT17	2251.00	2519.65	USD	-6,716.25	
24JUL17	S	1	PD240CT17	2252.50	2519.65	USD	-6,678.75	
24JUL17	S	1	PD240CT17	2256.00	2519.65	USD	-6,591.25	
26JUL17	B	2	PD240CT17	2328.00	2519.65	USD	9,582.50	
	NET	0AVG BGHT 2264.50	SOLD 2258.39				-2,137.50	0.00
25JUL17	B	1	PD250CT17	2272.00	2519.80	USD	6,195.00	
25JUL17	B	1	PD250CT17	2273.00	2519.80	USD	6,170.00	
25JUL17	B	1	PD250CT17	2276.00	2519.80	USD	6,095.00	
25JUL17	S	2	PD250CT17	2285.50	2519.80	USD	-11,715.00	
25JUL17	S	2	PD250CT17	2283.00	2519.80	USD	-11,840.00	
25JUL17	S	2	PD250CT17	2286.50	2519.80	USD	-11,665.00	
25JUL17	S	1	PD250CT17	2289.50	2519.80	USD	-5,757.50	
25JUL17	S	1	PD250CT17	2296.00	2519.80	USD	-5,595.00	
25JUL17	B	1	PD250CT17	2296.00	2519.80	USD	5,595.00	
25JUL17	B	1	PD250CT17	2290.00	2519.80	USD	5,745.00	
25JUL17	B	1	PD250CT17	2298.00	2519.80	USD	5,545.00	
25JUL17	B	1	PD250CT17	2300.50	2519.80	USD	5,482.50	
25JUL17	B	1	PD250CT17	2301.50	2519.80	USD	5,457.50	
25JUL17	B	1	PD250CT17	2308.50	2519.80	USD	5,282.50	

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25JUL17	B	1	PD250CT17	2307.50	2519.80	USD	5,307.50	
25JUL17	S	1	PD250CT17	2306.00	2519.80	USD	-5,345.00	
25JUL17	B	1	PD250CT17	2298.50	2519.80	USD	5,532.50	
25JUL17	S	1	PD250CT17	2317.50	2519.80	USD	-5,057.50	
25JUL17	B	1	PD250CT17	2313.50	2519.80	USD	5,157.50	
25JUL17	B	1	PD250CT17	2315.00	2519.80	USD	5,120.00	
26JUL17	S	2	PD250CT17	2327.92	2519.80	USD	-9,594.00	
01AUG17	S	1	PD250CT17	2337.25	2519.80	USD	-4,563.75	
	NET	0AVG	BGHT 2296.15	SOLD 2300.93			1,552.25	0.00
26JUL17	S	1	PD260CT17	2339.00	2519.95	USD	-4,523.75	
26JUL17	B	1	PD260CT17	2332.00	2519.95	USD	4,698.75	
26JUL17	S	2	PD260CT17	2327.50	2519.95	USD	-9,622.50	
26JUL17	S	1	PD260CT17	2318.50	2519.95	USD	-5,036.25	
26JUL17	S	1	PD260CT17	2322.50	2519.95	USD	-4,936.25	
26JUL17	B	1	PD260CT17	2312.00	2519.95	USD	5,198.75	
26JUL17	B	1	PD260CT17	2311.50	2519.95	USD	5,211.25	
26JUL17	B	1	PD260CT17	2315.50	2519.95	USD	5,111.25	
26JUL17	B	1	PD260CT17	2314.00	2519.95	USD	5,148.75	
26JUL17	B	1	PD260CT17	2314.50	2519.95	USD	5,136.25	
26JUL17	B	2	PD260CT17	2315.00	2519.95	USD	10,247.50	
26JUL17	B	1	PD260CT17	2313.50	2519.95	USD	5,161.25	
26JUL17	B	1	PD260CT17	2306.50	2519.95	USD	5,336.25	
26JUL17	S	2	PD260CT17	2316.00	2519.95	USD	-10,197.50	
26JUL17	S	1	PD260CT17	2319.50	2519.95	USD	-5,011.25	
26JUL17	S	1	PD260CT17	2335.00	2519.95	USD	-4,623.75	
26JUL17	S	1	PD260CT17	2332.00	2519.95	USD	-4,698.75	
26JUL17	S	2	PD260CT17	2336.00	2519.95	USD	-9,197.50	
26JUL17	B	2	PD260CT17	2317.50	2519.95	USD	10,122.50	
26JUL17	S	1	PD260CT17	2307.50	2519.95	USD	-5,311.25	
01AUG17	B	1	PD260CT17	2337.56	2519.95	USD	4,559.75	
	NET	0AVG	BGHT 2317.08	SOLD 2325.62			2,773.50	0.00
27JUL17	S	2	PD270CT17	2326.00	2520.10	USD	-9,705.00	
27JUL17	S	1	PD270CT17	2326.50	2520.10	USD	-4,840.00	
27JUL17	B	1	PD270CT17	2330.00	2520.10	USD	4,752.50	
27JUL17	B	1	PD270CT17	2334.00	2520.10	USD	4,652.50	
27JUL17	B	2	PD270CT17	2331.50	2520.10	USD	9,430.00	
27JUL17	B	1	PD270CT17	2330.50	2520.10	USD	4,740.00	
27JUL17	B	2	PD270CT17	2329.00	2520.10	USD	9,555.00	
27JUL17	S	1	PD270CT17	2325.00	2520.10	USD	-4,877.50	
27JUL17	B	1	PD270CT17	2303.00	2520.10	USD	5,427.50	
27JUL17	B	1	PD270CT17	2300.50	2520.10	USD	5,490.00	
27JUL17	B	2	PD270CT17	2302.00	2520.10	USD	10,905.00	
27JUL17	B	1	PD270CT17	2307.00	2520.10	USD	5,327.50	
27JUL17	B	1	PD270CT17	2306.00	2520.10	USD	5,352.50	
27JUL17	B	1	PD270CT17	2305.50	2520.10	USD	5,365.00	
27JUL17	B	1	PD270CT17	2306.50	2520.10	USD	5,340.00	
27JUL17	B	1	PD270CT17	2298.50	2520.10	USD	5,540.00	
27JUL17	S	2	PD270CT17	2313.00	2520.10	USD	-10,355.00	
27JUL17	S	1	PD270CT17	2304.50	2520.10	USD	-5,390.00	
27JUL17	S	1	PD270CT17	2304.00	2520.10	USD	-5,402.50	
28JUL17	S	1	PD270CT17	2296.00	2520.10	USD	-5,602.50	
28JUL17	S	2	PD270CT17	2294.00	2520.10	USD	-11,305.00	
28JUL17	S	3	PD270CT17	2294.50	2520.10	USD	-16,920.00	
28JUL17	S	2	PD270CT17	2295.00	2520.10	USD	-11,255.00	
28JUL17	S	2	PD270CT17	2299.00	2520.10	USD	-11,055.00	
28JUL17	S	2	PD270CT17	2299.50	2520.10	USD	-11,030.00	
28JUL17	S	1	PD270CT17	2297.00	2520.10	USD	-5,577.50	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0112C

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME LEAD 25 TONNES. THIS IS AN</u>							
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28JUL17	S	1	PD27OCT17	2301.00	2520.10	USD	-5,477.50	
28JUL17	B	1	PD27OCT17	2293.00	2520.10	USD	5,677.50	
28JUL17	B	1	PD27OCT17	2293.50	2520.10	USD	5,665.00	
28JUL17	S	1	PD27OCT17	2303.00	2520.10	USD	-5,427.50	
28JUL17	B	1	PD27OCT17	2291.00	2520.10	USD	5,727.50	
28JUL17	B	2	PD27OCT17	2314.50	2520.10	USD	10,280.00	
28JUL17	B	1	PD27OCT17	2324.50	2520.10	USD	4,890.00	
28JUL17	B	1	PD27OCT17	2322.50	2520.10	USD	4,940.00	
	NET	0AVG	BGHT 2313.04				SOLD 2304.07	0.00
31JUL17	B	1	PD31OCT17	2335.50	2520.70	USD	4,630.00	
31JUL17	S	1	PD31OCT17	2328.50	2520.70	USD	-4,805.00	
31JUL17	S	1	PD31OCT17	2329.50	2520.70	USD	-4,780.00	
31JUL17	B	1	PD31OCT17	2334.00	2520.70	USD	4,667.50	
31JUL17	S	1	PD31OCT17	2343.00	2520.70	USD	-4,442.50	
31JUL17	S	2	PD31OCT17	2344.50	2520.70	USD	-8,810.00	
31JUL17	S	1	PD31OCT17	2338.00	2520.70	USD	-4,567.50	
31JUL17	B	1	PD31OCT17	2330.50	2520.70	USD	4,755.00	
31JUL17	B	1	PD31OCT17	2326.00	2520.70	USD	4,867.50	
31JUL17	B	1	PD31OCT17	2325.50	2520.70	USD	4,880.00	
31JUL17	B	1	PD31OCT17	2326.50	2520.70	USD	4,855.00	
31JUL17	B	1	PD31OCT17	2325.00	2520.70	USD	4,892.50	
31JUL17	B	1	PD31OCT17	2324.50	2520.70	USD	4,905.00	
31JUL17	S	1	PD31OCT17	2332.50	2520.70	USD	-4,705.00	
31JUL17	B	2	PD31OCT17	2327.50	2520.70	USD	9,660.00	
31JUL17	S	3	PD31OCT17	2329.00	2520.70	USD	-14,377.50	
31JUL17	S	1	PD31OCT17	2321.50	2520.70	USD	-4,980.00	
31JUL17	S	1	PD31OCT17	2324.00	2520.70	USD	-4,917.50	
31JUL17	S	1	PD31OCT17	2336.00	2520.70	USD	-4,617.50	
31JUL17	S	1	PD31OCT17	2331.50	2520.70	USD	-4,730.00	
31JUL17	B	1	PD31OCT17	2333.00	2520.70	USD	4,692.50	
31JUL17	S	2	PD31OCT17	2330.00	2520.70	USD	-9,535.00	
31JUL17	S	5	PD31OCT17	2330.50	2520.70	USD	-23,775.00	
03AUG17	B	10	PD31OCT17	2361.00	2520.70	USD	39,925.00	
	NET	0AVG	BGHT 2344.07				SOLD 2332.05	0.00
01AUG17	B	1	PD01NOV17	2314.50	2520.85	USD	5,158.75	
01AUG17	B	1	PD01NOV17	2313.50	2520.85	USD	5,183.75	
01AUG17	B	3	PD01NOV17	2315.00	2520.85	USD	15,438.75	
01AUG17	B	1	PD01NOV17	2312.50	2520.85	USD	5,208.75	
01AUG17	B	1	PD01NOV17	2314.00	2520.85	USD	5,171.25	
01AUG17	S	1	PD01NOV17	2314.50	2520.85	USD	-5,158.75	
01AUG17	B	1	PD01NOV17	2313.00	2520.85	USD	5,196.25	
01AUG17	B	2	PD01NOV17	2312.00	2520.85	USD	10,442.50	
01AUG17	B	1	PD01NOV17	2308.00	2520.85	USD	5,321.25	
01AUG17	B	1	PD01NOV17	2303.50	2520.85	USD	5,433.75	
01AUG17	S	1	PD01NOV17	2311.00	2520.85	USD	-5,246.25	
01AUG17	S	1	PD01NOV17	2322.50	2520.85	USD	-4,958.75	
01AUG17	S	1	PD01NOV17	2320.50	2520.85	USD	-5,008.75	
01AUG17	S	1	PD01NOV17	2321.00	2520.85	USD	-4,996.25	
01AUG17	S	1	PD01NOV17	2330.00	2520.85	USD	-4,771.25	
01AUG17	S	2	PD01NOV17	2331.00	2520.85	USD	-9,492.50	
01AUG17	S	1	PD01NOV17	2332.00	2520.85	USD	-4,721.25	
01AUG17	S	1	PD01NOV17	2332.50	2520.85	USD	-4,708.75	
01AUG17	S	1	PD01NOV17	2334.00	2520.85	USD	-4,671.25	
01AUG17	S	1	PD01NOV17	2326.50	2520.85	USD	-4,858.75	
01AUG17	S	2	PD01NOV17	2329.50	2520.85	USD	-9,567.50	
01AUG17	S	1	PD01NOV17	2335.50	2520.85	USD	-4,633.75	
01AUG17	S	3	PD01NOV17	2336.00	2520.85	USD	-13,863.75	

OPEN POSITION STATEMENT



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SAP0112C

13th October 2017

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Suite A, 105 Piccadilly
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	<u>LME LEAD 25 TONNES. THIS IS AN</u>							
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01AUG17	S	1	PD01NOV17	2337.00	2520.85	USD	-4,596.25	
01AUG17	B	1	PD01NOV17	2338.50	2520.85	USD	4,558.75	
01AUG17	B	2	PD01NOV17	2334.00	2520.85	USD	9,342.50	
01AUG17	B	2	PD01NOV17	2333.50	2520.85	USD	9,367.50	
01AUG17	B	2	PD01NOV17	2335.00	2520.85	USD	9,292.50	
01AUG17	B	5	PD01NOV17	2334.50	2520.85	USD	23,293.75	
01AUG17	B	1	PD01NOV17	2335.50	2520.85	USD	4,633.75	
01AUG17	B	3	PD01NOV17	2336.50	2520.85	USD	13,826.25	
01AUG17	B	1	PD01NOV17	2335.50	2520.85	USD	4,633.75	
03AUG17	S	10	PD01NOV17	2360.63	2520.85	USD	-40,055.00	
	NET	0 AVG BGHT 2325.67	SOLD 2339.73				10,195.00	0.00
02AUG17	S	1	PD02NOV17	2336.00	2521.00	USD	-4,625.00	
02AUG17	S	3	PD02NOV17	2338.50	2521.00	USD	-13,687.50	
02AUG17	S	1	PD02NOV17	2342.00	2521.00	USD	-4,475.00	
02AUG17	S	2	PD02NOV17	2341.00	2521.00	USD	-9,000.00	
02AUG17	B	1	PD02NOV17	2340.00	2521.00	USD	4,525.00	
02AUG17	B	1	PD02NOV17	2338.50	2521.00	USD	4,562.50	
02AUG17	B	4	PD02NOV17	2339.00	2521.00	USD	18,200.00	
02AUG17	B	1	PD02NOV17	2341.50	2521.00	USD	4,487.50	
02AUG17	S	3	PD02NOV17	2337.50	2521.00	USD	-13,762.50	
02AUG17	S	4	PD02NOV17	2335.50	2521.00	USD	-18,550.00	
02AUG17	S	1	PD02NOV17	2334.00	2521.00	USD	-4,675.00	
02AUG17	S	1	PD02NOV17	2335.00	2521.00	USD	-4,650.00	
02AUG17	S	1	PD02NOV17	2336.50	2521.00	USD	-4,612.50	
02AUG17	B	2	PD02NOV17	2337.00	2521.00	USD	9,200.00	
02AUG17	S	1	PD02NOV17	2341.50	2521.00	USD	-4,487.50	
02AUG17	S	1	PD02NOV17	2337.00	2521.00	USD	-4,600.00	
02AUG17	S	4	PD02NOV17	2340.00	2521.00	USD	-18,100.00	
02AUG17	B	3	PD02NOV17	2347.50	2521.00	USD	13,012.50	
02AUG17	S	1	PD02NOV17	2349.50	2521.00	USD	-4,287.50	
02AUG17	S	2	PD02NOV17	2349.00	2521.00	USD	-8,600.00	
02AUG17	S	3	PD02NOV17	2350.50	2521.00	USD	-12,787.50	
02AUG17	S	1	PD02NOV17	2355.00	2521.00	USD	-4,150.00	
02AUG17	B	1	PD02NOV17	2355.00	2521.00	USD	4,150.00	
02AUG17	B	1	PD02NOV17	2346.50	2521.00	USD	4,362.50	
02AUG17	B	1	PD02NOV17	2348.50	2521.00	USD	4,312.50	
02AUG17	B	2	PD02NOV17	2352.50	2521.00	USD	8,425.00	
02AUG17	B	1	PD02NOV17	2357.00	2521.00	USD	4,100.00	
02AUG17	B	1	PD02NOV17	2356.50	2521.00	USD	4,112.50	
02AUG17	B	1	PD02NOV17	2354.50	2521.00	USD	4,162.50	
02AUG17	B	1	PD02NOV17	2357.50	2521.00	USD	4,087.50	
02AUG17	B	3	PD02NOV17	2356.00	2521.00	USD	12,375.00	
07AUG17	B	5	PD02NOV17	2360.13	2521.00	USD	20,108.75	
10AUG17	B	1	PD02NOV17	2364.26	2521.00	USD	3,918.50	
	NET	0 AVG BGHT 2350.20	SOLD 2340.93				-6,947.75	0.00
03AUG17	B	2	PD03NOV17	2374.00	2521.13	USD	7,356.50	
03AUG17	B	3	PD03NOV17	2373.50	2521.13	USD	11,072.25	
03AUG17	S	2	PD03NOV17	2370.50	2521.13	USD	-7,531.50	
03AUG17	B	1	PD03NOV17	2366.00	2521.13	USD	3,878.25	
03AUG17	S	3	PD03NOV17	2369.00	2521.13	USD	-11,409.75	
03AUG17	S	1	PD03NOV17	2367.50	2521.13	USD	-3,840.75	
03AUG17	S	3	PD03NOV17	2366.50	2521.13	USD	-11,597.25	
03AUG17	B	2	PD03NOV17	2357.50	2521.13	USD	8,181.50	
03AUG17	B	1	PD03NOV17	2356.50	2521.13	USD	4,115.75	
03AUG17	S	1	PD03NOV17	2365.50	2521.13	USD	-3,890.75	
03AUG17	S	6	PD03NOV17	2368.00	2521.13	USD	-22,969.50	
03AUG17	S	4	PD03NOV17	2368.50	2521.13	USD	-15,263.00	

OPEN POSITION STATEMENT



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03AUG17	B	1	PD03NOV17	2367.00	2521.13	USD	3,853.25	
03AUG17	B	1	PD03NOV17	2364.00	2521.13	USD	3,928.25	
03AUG17	B	1	PD03NOV17	2364.00	2521.13	USD	3,928.25	
03AUG17	B	3	PD03NOV17	2364.50	2521.13	USD	11,747.25	
03AUG17	B	1	PD03NOV17	2361.50	2521.13	USD	3,990.75	
03AUG17	B	3	PD03NOV17	2361.00	2521.13	USD	12,009.75	
03AUG17	B	2	PD03NOV17	2360.50	2521.13	USD	8,031.50	
03AUG17	B	1	PD03NOV17	2351.00	2521.13	USD	4,253.25	
03AUG17	B	1	PD03NOV17	2349.00	2521.13	USD	4,303.25	
03AUG17	B	3	PD03NOV17	2347.00	2521.13	USD	13,059.75	
03AUG17	B	1	PD03NOV17	2349.50	2521.13	USD	4,290.75	
03AUG17	S	1	PD03NOV17	2355.50	2521.13	USD	-4,140.75	
03AUG17	S	1	PD03NOV17	2359.00	2521.13	USD	-4,053.25	
03AUG17	B	2	PD03NOV17	2356.00	2521.13	USD	8,256.50	
03AUG17	B	1	PD03NOV17	2347.50	2521.13	USD	4,340.75	
03AUG17	S	2	PD03NOV17	2360.50	2521.13	USD	-8,031.50	
03AUG17	S	3	PD03NOV17	2361.00	2521.13	USD	-12,009.75	
03AUG17	S	2	PD03NOV17	2361.50	2521.13	USD	-7,981.50	
03AUG17	S	2	PD03NOV17	2364.50	2521.13	USD	-7,831.50	
03AUG17	S	3	PD03NOV17	2365.00	2521.13	USD	-11,709.75	
03AUG17	B	1	PD03NOV17	2363.00	2521.13	USD	3,953.25	
04AUG17	B	1	PD03NOV17	2371.50	2521.13	USD	3,740.75	
04AUG17	B	1	PD03NOV17	2369.50	2521.13	USD	3,790.75	
04AUG17	B	1	PD03NOV17	2367.00	2521.13	USD	3,853.25	
04AUG17	S	1	PD03NOV17	2374.00	2521.13	USD	-3,678.25	
04AUG17	S	2	PD03NOV17	2374.50	2521.13	USD	-7,331.50	
04AUG17	S	2	PD03NOV17	2375.00	2521.13	USD	-7,306.50	
04AUG17	S	2	PD03NOV17	2370.50	2521.13	USD	-7,531.50	
04AUG17	S	1	PD03NOV17	2371.50	2521.13	USD	-3,740.75	
04AUG17	B	1	PD03NOV17	2369.00	2521.13	USD	3,803.25	
04AUG17	B	1	PD03NOV17	2366.00	2521.13	USD	3,878.25	
04AUG17	B	1	PD03NOV17	2365.50	2521.13	USD	3,890.75	
04AUG17	B	2	PD03NOV17	2367.50	2521.13	USD	7,681.50	
04AUG17	B	4	PD03NOV17	2366.50	2521.13	USD	15,463.00	
04AUG17	B	1	PD03NOV17	2371.50	2521.13	USD	3,740.75	
04AUG17	B	2	PD03NOV17	2368.50	2521.13	USD	7,631.50	
04AUG17	B	2	PD03NOV17	2368.00	2521.13	USD	7,656.50	
04AUG17	S	1	PD03NOV17	2363.50	2521.13	USD	-3,940.75	
04AUG17	S	2	PD03NOV17	2371.00	2521.13	USD	-7,506.50	
04AUG17	B	1	PD03NOV17	2358.50	2521.13	USD	4,065.75	
04AUG17	B	1	PD03NOV17	2358.00	2521.13	USD	4,078.25	
04AUG17	B	1	PD03NOV17	2356.50	2521.13	USD	4,115.75	
04AUG17	B	1	PD03NOV17	2357.00	2521.13	USD	4,103.25	
04AUG17	B	1	PD03NOV17	2356.00	2521.13	USD	4,128.25	
04AUG17	B	2	PD03NOV17	2355.00	2521.13	USD	8,306.50	
04AUG17	B	1	PD03NOV17	2354.50	2521.13	USD	4,165.75	
04AUG17	B	1	PD03NOV17	2353.50	2521.13	USD	4,190.75	
04AUG17	B	1	PD03NOV17	2351.00	2521.13	USD	4,253.25	
04AUG17	B	1	PD03NOV17	2350.00	2521.13	USD	4,278.25	
04AUG17	S	1	PD03NOV17	2364.50	2521.13	USD	-3,915.75	
04AUG17	S	3	PD03NOV17	2358.50	2521.13	USD	-12,197.25	
04AUG17	S	1	PD03NOV17	2360.50	2521.13	USD	-4,015.75	
04AUG17	S	3	PD03NOV17	2361.00	2521.13	USD	-12,009.75	
04AUG17	S	1	PD03NOV17	2368.00	2521.13	USD	-3,828.25	
04AUG17	B	1	PD03NOV17	2359.00	2521.13	USD	4,053.25	
04AUG17	S	1	PD03NOV17	2359.50	2521.13	USD	-4,040.75	
07AUG17	S	5	PD03NOV17	2360.11	2521.13	USD	-20,127.50	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0112C

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position				Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME LEAD 25 TONNES. THIS IS AN</u>								
	<u>LME REGISTERED CLIENT CONTRACT</u>								
	NET	0 AVG	BGHT	2361.52	SOLD	2365.51		5,988.75	0.00
07AUG17	S	1	PD07NOV17		2360.50	2521.67	USD	-4,029.25	
07AUG17	S	1	PD07NOV17		2358.00	2521.67	USD	-4,091.75	
07AUG17	S	2	PD07NOV17		2359.50	2521.67	USD	-8,108.50	
07AUG17	B	1	PD07NOV17		2356.50	2521.67	USD	4,129.25	
07AUG17	S	1	PD07NOV17		2358.50	2521.67	USD	-4,079.25	
07AUG17	S	1	PD07NOV17		2361.50	2521.67	USD	-4,004.25	
07AUG17	S	1	PD07NOV17		2366.50	2521.67	USD	-3,879.25	
07AUG17	B	1	PD07NOV17		2365.50	2521.67	USD	3,904.25	
07AUG17	S	1	PD07NOV17		2368.50	2521.67	USD	-3,829.25	
07AUG17	S	1	PD07NOV17		2369.50	2521.67	USD	-3,804.25	
07AUG17	S	2	PD07NOV17		2370.00	2521.67	USD	-7,583.50	
07AUG17	S	1	PD07NOV17		2370.50	2521.67	USD	-3,779.25	
07AUG17	S	1	PD07NOV17		2377.50	2521.67	USD	-3,604.25	
07AUG17	B	2	PD07NOV17		2388.50	2521.67	USD	6,658.50	
07AUG17	B	1	PD07NOV17		2386.00	2521.67	USD	3,391.75	
07AUG17	B	1	PD07NOV17		2384.50	2521.67	USD	3,429.25	
07AUG17	B	2	PD07NOV17		2385.50	2521.67	USD	6,808.50	
07AUG17	B	1	PD07NOV17		2384.00	2521.67	USD	3,441.75	
07AUG17	B	1	PD07NOV17		2387.00	2521.67	USD	3,366.75	
07AUG17	B	1	PD07NOV17		2385.00	2521.67	USD	3,416.75	
07AUG17	B	2	PD07NOV17		2386.50	2521.67	USD	6,758.50	
07AUG17	B	3	PD07NOV17		2387.50	2521.67	USD	10,062.75	
07AUG17	B	1	PD07NOV17		2377.00	2521.67	USD	3,616.75	
07AUG17	B	1	PD07NOV17		2377.50	2521.67	USD	3,604.25	
07AUG17	S	2	PD07NOV17		2377.00	2521.67	USD	-7,233.50	
07AUG17	S	2	PD07NOV17		2387.00	2521.67	USD	-6,733.50	
07AUG17	S	2	PD07NOV17		2384.00	2521.67	USD	-6,883.50	
07AUG17	B	4	PD07NOV17		2376.50	2521.67	USD	14,517.00	
07AUG17	B	1	PD07NOV17		2374.50	2521.67	USD	3,679.25	
07AUG17	B	1	PD07NOV17		2374.00	2521.67	USD	3,691.75	
07AUG17	S	1	PD07NOV17		2384.50	2521.67	USD	-3,429.25	
07AUG17	S	3	PD07NOV17		2385.50	2521.67	USD	-10,212.75	
07AUG17	S	1	PD07NOV17		2386.00	2521.67	USD	-3,391.75	
07AUG17	B	1	PD07NOV17		2378.50	2521.67	USD	3,579.25	
07AUG17	B	3	PD07NOV17		2376.00	2521.67	USD	10,925.25	
07AUG17	B	1	PD07NOV17		2363.00	2521.67	USD	3,966.75	
07AUG17	B	2	PD07NOV17		2362.00	2521.67	USD	7,983.50	
07AUG17	B	2	PD07NOV17		2362.50	2521.67	USD	7,958.50	
10AUG17	S	1	PD07NOV17		2365.16	2521.67	USD	-3,912.75	
10AUG17	S	8	PD07NOV17		2365.36	2521.67	USD	-31,262.00	
	NET	0 AVG	BGHT	2377.56	SOLD	2371.55		-4,961.50	0.00
08AUG17	S	2	PD08NOV17		2369.00	2521.81	USD	-7,640.50	
08AUG17	S	4	PD08NOV17		2368.00	2521.81	USD	-15,381.00	
08AUG17	S	1	PD08NOV17		2364.00	2521.81	USD	-3,945.25	
08AUG17	S	1	PD08NOV17		2371.50	2521.81	USD	-3,757.75	
08AUG17	S	1	PD08NOV17		2374.00	2521.81	USD	-3,695.25	
08AUG17	S	2	PD08NOV17		2371.00	2521.81	USD	-7,540.50	
08AUG17	S	5	PD08NOV17		2370.00	2521.81	USD	-18,976.25	
08AUG17	B	1	PD08NOV17		2366.50	2521.81	USD	3,882.75	
08AUG17	B	2	PD08NOV17		2364.00	2521.81	USD	7,890.50	
08AUG17	B	1	PD08NOV17		2368.50	2521.81	USD	3,832.75	
08AUG17	B	1	PD08NOV17		2368.00	2521.81	USD	3,845.25	
08AUG17	B	1	PD08NOV17		2367.50	2521.81	USD	3,857.75	
08AUG17	B	1	PD08NOV17		2367.00	2521.81	USD	3,870.25	
08AUG17	B	1	PD08NOV17		2369.50	2521.81	USD	3,807.75	
08AUG17	B	1	PD08NOV17		2369.00	2521.81	USD	3,820.25	

OPEN POSITION STATEMENT



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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME LEAD 25 TONNES. THIS IS AN</u>							
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08AUG17	B	2	PD08NOV17	2363.00	2521.81	USD	7,940.50	
08AUG17	B	1	PD08NOV17	2362.00	2521.81	USD	3,995.25	
08AUG17	B	1	PD08NOV17	2357.00	2521.81	USD	4,120.25	
08AUG17	B	1	PD08NOV17	2356.00	2521.81	USD	4,145.25	
08AUG17	B	1	PD08NOV17	2355.50	2521.81	USD	4,157.75	
08AUG17	B	4	PD08NOV17	2355.00	2521.81	USD	16,681.00	
08AUG17	B	4	PD08NOV17	2354.00	2521.81	USD	16,781.00	
08AUG17	B	1	PD08NOV17	2354.50	2521.81	USD	4,182.75	
08AUG17	S	1	PD08NOV17	2347.50	2521.81	USD	-4,357.75	
08AUG17	B	1	PD08NOV17	2349.00	2521.81	USD	4,320.25	
08AUG17	S	3	PD08NOV17	2353.00	2521.81	USD	-12,660.75	
08AUG17	B	1	PD08NOV17	2349.50	2521.81	USD	4,307.75	
08AUG17	B	1	PD08NOV17	2350.00	2521.81	USD	4,295.25	
08AUG17	B	2	PD08NOV17	2350.50	2521.81	USD	8,565.50	
08AUG17	S	2	PD08NOV17	2354.50	2521.81	USD	-8,365.50	
08AUG17	B	1	PD08NOV17	2344.50	2521.81	USD	4,432.75	
08AUG17	S	1	PD08NOV17	2347.00	2521.81	USD	-4,370.25	
08AUG17	S	1	PD08NOV17	2346.50	2521.81	USD	-4,382.75	
08AUG17	S	1	PD08NOV17	2348.00	2521.81	USD	-4,345.25	
08AUG17	S	5	PD08NOV17	2348.50	2521.81	USD	-21,663.75	
08AUG17	S	1	PD08NOV17	2349.00	2521.81	USD	-4,320.25	
08AUG17	B	2	PD08NOV17	2353.00	2521.81	USD	8,440.50	
08AUG17	S	2	PD08NOV17	2353.50	2521.81	USD	-8,415.50	
08AUG17	S	1	PD08NOV17	2355.00	2521.81	USD	-4,170.25	
08AUG17	S	1	PD08NOV17	2356.50	2521.81	USD	-4,132.75	
08AUG17	S	1	PD08NOV17	2359.50	2521.81	USD	-4,057.75	
08AUG17	S	1	PD08NOV17	2362.00	2521.81	USD	-3,995.25	
08AUG17	S	2	PD08NOV17	2363.50	2521.81	USD	-7,915.50	
08AUG17	S	1	PD08NOV17	2366.00	2521.81	USD	-3,895.25	
08AUG17	B	1	PD08NOV17	2361.00	2521.81	USD	4,020.25	
08AUG17	S	2	PD08NOV17	2365.50	2521.81	USD	-7,815.50	
08AUG17	S	2	PD08NOV17	2364.50	2521.81	USD	-7,865.50	
08AUG17	S	2	PD08NOV17	2365.00	2521.81	USD	-7,840.50	
08AUG17	S	3	PD08NOV17	2367.50	2521.81	USD	-11,573.25	
08AUG17	S	1	PD08NOV17	2375.00	2521.81	USD	-3,670.25	
08AUG17	S	1	PD08NOV17	2377.00	2521.81	USD	-3,620.25	
08AUG17	S	4	PD08NOV17	2372.00	2521.81	USD	-14,981.00	
08AUG17	S	1	PD08NOV17	2376.00	2521.81	USD	-3,645.25	
08AUG17	S	2	PD08NOV17	2373.50	2521.81	USD	-7,415.50	
08AUG17	S	1	PD08NOV17	2376.50	2521.81	USD	-3,632.75	
08AUG17	S	1	PD08NOV17	2379.00	2521.81	USD	-3,570.25	
08AUG17	B	2	PD08NOV17	2380.00	2521.81	USD	7,090.50	
08AUG17	B	1	PD08NOV17	2379.50	2521.81	USD	3,557.75	
08AUG17	S	1	PD08NOV17	2385.50	2521.81	USD	-3,407.75	
08AUG17	B	2	PD08NOV17	2384.00	2521.81	USD	6,890.50	
08AUG17	B	1	PD08NOV17	2355.00	2521.81	USD	4,170.25	
08AUG17	S	2	PD08NOV17	2347.50	2521.81	USD	-8,715.50	
08AUG17	B	1	PD08NOV17	2392.50	2521.81	USD	3,232.75	
08AUG17	B	1	PD08NOV17	2393.00	2521.81	USD	3,220.25	
08AUG17	B	2	PD08NOV17	2390.50	2521.81	USD	6,565.50	
10AUG17	B	8	PD08NOV17	2365.78	2521.81	USD	31,206.00	
10AUG17	B	12	PD08NOV17	2365.58	2521.81	USD	46,869.00	
	NET	0 AVG BGHT 2364.35	SOLD 2363.25				-1,742.50	0.00
09AUG17	S	1	PD09NOV17	2399.00	2521.94	USD	-3,073.50	
09AUG17	B	1	PD09NOV17	2400.50	2521.94	USD	3,036.00	
09AUG17	B	1	PD09NOV17	2402.50	2521.94	USD	2,986.00	
09AUG17	B	2	PD09NOV17	2399.50	2521.94	USD	6,122.00	

OPEN POSITION STATEMENT



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	<u>LME LEAD 25 TONNES. THIS IS AN</u>							
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09AUG17	B	1	PD09NOV17	2405.00	2521.94	USD	2,923.50	
09AUG17	S	1	PD09NOV17	2416.00	2521.94	USD	-2,648.50	
09AUG17	S	1	PD09NOV17	2418.00	2521.94	USD	-2,598.50	
09AUG17	S	1	PD09NOV17	2418.50	2521.94	USD	-2,586.00	
09AUG17	S	3	PD09NOV17	2417.00	2521.94	USD	-7,870.50	
09AUG17	B	1	PD09NOV17	2414.50	2521.94	USD	2,686.00	
09AUG17	B	4	PD09NOV17	2400.00	2521.94	USD	12,194.00	
09AUG17	B	1	PD09NOV17	2391.50	2521.94	USD	3,261.00	
09AUG17	B	1	PD09NOV17	2389.00	2521.94	USD	3,323.50	
09AUG17	B	1	PD09NOV17	2385.00	2521.94	USD	3,423.50	
09AUG17	B	1	PD09NOV17	2384.50	2521.94	USD	3,436.00	
09AUG17	B	2	PD09NOV17	2386.00	2521.94	USD	6,797.00	
09AUG17	B	1	PD09NOV17	2389.50	2521.94	USD	3,311.00	
09AUG17	B	3	PD09NOV17	2390.50	2521.94	USD	9,858.00	
09AUG17	B	2	PD09NOV17	2395.00	2521.94	USD	6,347.00	
09AUG17	B	2	PD09NOV17	2392.00	2521.94	USD	6,497.00	
09AUG17	B	1	PD09NOV17	2399.50	2521.94	USD	3,061.00	
09AUG17	B	1	PD09NOV17	2382.50	2521.94	USD	3,486.00	
09AUG17	B	2	PD09NOV17	2379.50	2521.94	USD	7,122.00	
09AUG17	B	1	PD09NOV17	2385.50	2521.94	USD	3,411.00	
09AUG17	S	1	PD09NOV17	2388.50	2521.94	USD	-3,336.00	
09AUG17	S	1	PD09NOV17	2390.00	2521.94	USD	-3,298.50	
09AUG17	S	1	PD09NOV17	2392.00	2521.94	USD	-3,248.50	
09AUG17	S	1	PD09NOV17	2392.50	2521.94	USD	-3,236.00	
09AUG17	S	1	PD09NOV17	2394.50	2521.94	USD	-3,186.00	
09AUG17	S	2	PD09NOV17	2396.00	2521.94	USD	-6,297.00	
09AUG17	S	1	PD09NOV17	2395.00	2521.94	USD	-3,173.50	
09AUG17	S	2	PD09NOV17	2395.50	2521.94	USD	-6,322.00	
09AUG17	S	2	PD09NOV17	2398.00	2521.94	USD	-6,197.00	
09AUG17	S	1	PD09NOV17	2397.50	2521.94	USD	-3,111.00	
09AUG17	S	1	PD09NOV17	2391.50	2521.94	USD	-3,261.00	
09AUG17	S	1	PD09NOV17	2405.50	2521.94	USD	-2,911.00	
09AUG17	S	1	PD09NOV17	2406.00	2521.94	USD	-2,898.50	
09AUG17	B	1	PD09NOV17	2405.50	2521.94	USD	2,911.00	
09AUG17	S	1	PD09NOV17	2410.00	2521.94	USD	-2,798.50	
09AUG17	S	1	PD09NOV17	2409.00	2521.94	USD	-2,823.50	
09AUG17	S	1	PD09NOV17	2410.50	2521.94	USD	-2,786.00	
09AUG17	B	1	PD09NOV17	2413.00	2521.94	USD	2,723.50	
09AUG17	S	1	PD09NOV17	2411.50	2521.94	USD	-2,761.00	
09AUG17	S	4	PD09NOV17	2412.50	2521.94	USD	-10,944.00	
09AUG17	S	1	PD09NOV17	2402.00	2521.94	USD	-2,998.50	
09AUG17	B	1	PD09NOV17	2387.00	2521.94	USD	3,373.50	
09AUG17	B	2	PD09NOV17	2386.50	2521.94	USD	6,772.00	
09AUG17	S	2	PD09NOV17	2374.00	2521.94	USD	-7,397.00	
09AUG17	B	1	PD09NOV17	2376.50	2521.94	USD	3,636.00	
09AUG17	B	1	PD09NOV17	2375.00	2521.94	USD	3,673.50	
09AUG17	B	1	PD09NOV17	2373.50	2521.94	USD	3,711.00	
09AUG17	B	2	PD09NOV17	2372.50	2521.94	USD	7,472.00	
09AUG17	B	2	PD09NOV17	2371.00	2521.94	USD	7,547.00	
09AUG17	B	4	PD09NOV17	2372.00	2521.94	USD	14,994.00	
09AUG17	B	2	PD09NOV17	2370.50	2521.94	USD	7,572.00	
09AUG17	B	1	PD09NOV17	2370.00	2521.94	USD	3,798.50	
09AUG17	B	1	PD09NOV17	2369.00	2521.94	USD	3,823.50	
09AUG17	B	4	PD09NOV17	2368.00	2521.94	USD	15,394.00	
09AUG17	B	7	PD09NOV17	2368.50	2521.94	USD	26,852.00	
09AUG17	B	2	PD09NOV17	2352.00	2521.94	USD	8,497.00	
09AUG17	B	1	PD09NOV17	2353.00	2521.94	USD	4,223.50	

OPEN POSITION STATEMENT



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	<u>LME LEAD 25 TONNES. THIS IS AN</u>							
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09AUG17	S	1	PD09NOV17	2359.00	2521.94	USD	-4,073.50	
09AUG17	S	1	PD09NOV17	2361.50	2521.94	USD	-4,011.00	
09AUG17	S	1	PD09NOV17	2363.50	2521.94	USD	-3,961.00	
09AUG17	S	1	PD09NOV17	2362.50	2521.94	USD	-3,986.00	
09AUG17	S	2	PD09NOV17	2362.00	2521.94	USD	-7,997.00	
09AUG17	S	6	PD09NOV17	2363.00	2521.94	USD	-23,841.00	
09AUG17	S	2	PD09NOV17	2360.00	2521.94	USD	-8,097.00	
09AUG17	B	1	PD09NOV17	2356.50	2521.94	USD	4,136.00	
10AUG17	S	12	PD09NOV17	2365.60	2521.94	USD	-46,902.00	
14AUG17	S	2	PD09NOV17	2334.00	2521.94	USD	-9,397.00	
22AUG17	S	2	PD09NOV17	2413.25	2521.94	USD	-5,434.50	
	NET	0 AVG BGHT 2381.70	SOLD 2384.78				4,930.00	0.00
10AUG17	S	1	PD10NOV17	2381.00	2522.08	USD	-3,527.00	
10AUG17	S	1	PD10NOV17	2377.50	2522.08	USD	-3,614.50	
10AUG17	S	1	PD10NOV17	2378.00	2522.08	USD	-3,602.00	
10AUG17	S	2	PD10NOV17	2373.50	2522.08	USD	-7,429.00	
10AUG17	S	1	PD10NOV17	2371.00	2522.08	USD	-3,777.00	
10AUG17	S	2	PD10NOV17	2370.00	2522.08	USD	-7,604.00	
10AUG17	S	1	PD10NOV17	2370.50	2522.08	USD	-3,789.50	
10AUG17	S	3	PD10NOV17	2379.00	2522.08	USD	-10,731.00	
10AUG17	S	3	PD10NOV17	2379.50	2522.08	USD	-10,693.50	
10AUG17	B	1	PD10NOV17	2370.00	2522.08	USD	3,802.00	
10AUG17	S	2	PD10NOV17	2380.50	2522.08	USD	-7,079.00	
10AUG17	S	1	PD10NOV17	2383.00	2522.08	USD	-3,477.00	
10AUG17	S	1	PD10NOV17	2366.50	2522.08	USD	-3,889.50	
10AUG17	B	2	PD10NOV17	2368.00	2522.08	USD	7,704.00	
10AUG17	B	1	PD10NOV17	2373.50	2522.08	USD	3,714.50	
10AUG17	B	1	PD10NOV17	2377.50	2522.08	USD	3,614.50	
10AUG17	B	1	PD10NOV17	2380.50	2522.08	USD	3,539.50	
10AUG17	B	4	PD10NOV17	2381.00	2522.08	USD	14,108.00	
10AUG17	B	1	PD10NOV17	2381.50	2522.08	USD	3,514.50	
10AUG17	B	1	PD10NOV17	2379.50	2522.08	USD	3,564.50	
10AUG17	B	1	PD10NOV17	2379.00	2522.08	USD	3,577.00	
10AUG17	S	1	PD10NOV17	2372.50	2522.08	USD	-3,739.50	
10AUG17	B	1	PD10NOV17	2378.00	2522.08	USD	3,602.00	
10AUG17	S	2	PD10NOV17	2374.50	2522.08	USD	-7,379.00	
10AUG17	S	1	PD10NOV17	2373.00	2522.08	USD	-3,727.00	
10AUG17	S	2	PD10NOV17	2372.00	2522.08	USD	-7,504.00	
10AUG17	S	3	PD10NOV17	2372.50	2522.08	USD	-11,218.50	
10AUG17	S	2	PD10NOV17	2369.50	2522.08	USD	-7,629.00	
10AUG17	S	1	PD10NOV17	2366.00	2522.08	USD	-3,902.00	
10AUG17	B	1	PD10NOV17	2359.00	2522.08	USD	4,077.00	
10AUG17	B	1	PD10NOV17	2358.50	2522.08	USD	4,089.50	
10AUG17	B	1	PD10NOV17	2363.50	2522.08	USD	3,964.50	
10AUG17	S	1	PD10NOV17	2362.00	2522.08	USD	-4,002.00	
10AUG17	B	3	PD10NOV17	2361.00	2522.08	USD	12,081.00	
10AUG17	B	1	PD10NOV17	2357.50	2522.08	USD	4,114.50	
10AUG17	B	2	PD10NOV17	2358.00	2522.08	USD	8,204.00	
10AUG17	S	1	PD10NOV17	2364.00	2522.08	USD	-3,952.00	
10AUG17	S	2	PD10NOV17	2375.50	2522.08	USD	-7,329.00	
10AUG17	S	2	PD10NOV17	2378.50	2522.08	USD	-7,179.00	
10AUG17	S	5	PD10NOV17	2376.00	2522.08	USD	-18,260.00	
10AUG17	S	2	PD10NOV17	2376.50	2522.08	USD	-7,279.00	
10AUG17	S	1	PD10NOV17	2377.00	2522.08	USD	-3,627.00	
10AUG17	B	1	PD10NOV17	2375.50	2522.08	USD	3,664.50	
10AUG17	B	1	PD10NOV17	2371.50	2522.08	USD	3,764.50	
10AUG17	B	2	PD10NOV17	2364.50	2522.08	USD	7,879.00	

OPEN POSITION STATEMENT



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SAP0112C

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Sapien Capital Limited
Suite A, 105 Piccadilly
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+44 (0) 20 7340 9081
backoffice@sapiencap.com

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME LEAD 25 TONNES. THIS IS AN</u>							
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10AUG17	B	2	PD10NOV17	2369.00	2522.08	USD	7,654.00	
10AUG17	B	1	PD10NOV17	2367.50	2522.08	USD	3,864.50	
10AUG17	B	2	PD10NOV17	2365.00	2522.08	USD	7,854.00	
10AUG17	S	1	PD10NOV17	2363.50	2522.08	USD	-3,964.50	
11AUG17	S	1	PD10NOV17	2344.00	2522.08	USD	-4,452.00	
11AUG17	S	1	PD10NOV17	2339.00	2522.08	USD	-4,577.00	
11AUG17	S	1	PD10NOV17	2338.50	2522.08	USD	-4,589.50	
11AUG17	B	1	PD10NOV17	2336.50	2522.08	USD	4,639.50	
11AUG17	S	1	PD10NOV17	2342.00	2522.08	USD	-4,502.00	
11AUG17	B	3	PD10NOV17	2337.00	2522.08	USD	13,881.00	
11AUG17	S	1	PD10NOV17	2340.00	2522.08	USD	-4,552.00	
11AUG17	B	1	PD10NOV17	2337.50	2522.08	USD	4,614.50	
11AUG17	B	5	PD10NOV17	2339.00	2522.08	USD	22,885.00	
11AUG17	B	1	PD10NOV17	2338.50	2522.08	USD	4,589.50	
11AUG17	B	2	PD10NOV17	2340.00	2522.08	USD	9,104.00	
11AUG17	B	1	PD10NOV17	2340.50	2522.08	USD	4,539.50	
11AUG17	B	1	PD10NOV17	2346.00	2522.08	USD	4,402.00	
11AUG17	B	1	PD10NOV17	2344.50	2522.08	USD	4,439.50	
11AUG17	B	3	PD10NOV17	2342.50	2522.08	USD	13,468.50	
11AUG17	S	1	PD10NOV17	2349.50	2522.08	USD	-4,314.50	
11AUG17	S	4	PD10NOV17	2350.00	2522.08	USD	-17,208.00	
11AUG17	B	1	PD10NOV17	2345.50	2522.08	USD	4,414.50	
11AUG17	S	1	PD10NOV17	2345.00	2522.08	USD	-4,427.00	
11AUG17	S	1	PD10NOV17	2347.00	2522.08	USD	-4,377.00	
11AUG17	S	1	PD10NOV17	2351.00	2522.08	USD	-4,277.00	
11AUG17	S	1	PD10NOV17	2351.50	2522.08	USD	-4,264.50	
11AUG17	S	1	PD10NOV17	2352.00	2522.08	USD	-4,252.00	
11AUG17	S	2	PD10NOV17	2355.50	2522.08	USD	-8,329.00	
11AUG17	S	1	PD10NOV17	2354.00	2522.08	USD	-4,202.00	
11AUG17	S	1	PD10NOV17	2352.50	2522.08	USD	-4,239.50	
11AUG17	B	1	PD10NOV17	2346.50	2522.08	USD	4,389.50	
11AUG17	B	2	PD10NOV17	2347.50	2522.08	USD	8,729.00	
11AUG17	B	2	PD10NOV17	2345.00	2522.08	USD	8,854.00	
11AUG17	B	2	PD10NOV17	2342.00	2522.08	USD	9,004.00	
11AUG17	B	1	PD10NOV17	2336.00	2522.08	USD	4,652.00	
11AUG17	B	2	PD10NOV17	2335.50	2522.08	USD	9,329.00	
11AUG17	B	1	PD10NOV17	2332.50	2522.08	USD	4,739.50	
11AUG17	B	1	PD10NOV17	2328.00	2522.08	USD	4,852.00	
11AUG17	B	1	PD10NOV17	2326.00	2522.08	USD	4,902.00	
11AUG17	S	1	PD10NOV17	2335.00	2522.08	USD	-4,677.00	
11AUG17	S	1	PD10NOV17	2331.00	2522.08	USD	-4,777.00	
14AUG17	B	2	PD10NOV17	2334.40	2522.08	USD	9,384.00	
	NET	0	AVG BGHT 2353.86	SOLD 2365.71			19,842.50	0.00
14AUG17	S	1	PD14NOV17	2343.50	2522.62	USD	-4,478.00	
14AUG17	S	1	PD14NOV17	2343.00	2522.62	USD	-4,490.50	
14AUG17	S	1	PD14NOV17	2345.00	2522.62	USD	-4,440.50	
14AUG17	S	2	PD14NOV17	2345.50	2522.62	USD	-8,856.00	
14AUG17	S	1	PD14NOV17	2344.00	2522.62	USD	-4,465.50	
14AUG17	S	3	PD14NOV17	2346.00	2522.62	USD	-13,246.50	
14AUG17	S	1	PD14NOV17	2335.00	2522.62	USD	-4,690.50	
14AUG17	B	2	PD14NOV17	2336.50	2522.62	USD	9,306.00	
14AUG17	B	7	PD14NOV17	2336.00	2522.62	USD	32,658.50	
14AUG17	B	5	PD14NOV17	2335.50	2522.62	USD	23,390.00	
14AUG17	B	2	PD14NOV17	2331.50	2522.62	USD	9,556.00	
14AUG17	S	1	PD14NOV17	2331.50	2522.62	USD	-4,778.00	
14AUG17	B	1	PD14NOV17	2330.00	2522.62	USD	4,815.50	
14AUG17	B	2	PD14NOV17	2330.50	2522.62	USD	9,606.00	

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14AUG17	S	1	PD14NOV17	2339.50	2522.62	USD	-4,578.00	
14AUG17	S	1	PD14NOV17	2340.50	2522.62	USD	-4,553.00	
14AUG17	B	1	PD14NOV17	2347.00	2522.62	USD	4,390.50	
14AUG17	S	2	PD14NOV17	2346.50	2522.62	USD	-8,806.00	
14AUG17	S	3	PD14NOV17	2348.50	2522.62	USD	-13,059.00	
14AUG17	S	1	PD14NOV17	2348.00	2522.62	USD	-4,365.50	
14AUG17	S	3	PD14NOV17	2347.50	2522.62	USD	-13,134.00	
14AUG17	S	3	PD14NOV17	2347.00	2522.62	USD	-13,171.50	
14AUG17	B	1	PD14NOV17	2338.50	2522.62	USD	4,603.00	
14AUG17	B	1	PD14NOV17	2329.00	2522.62	USD	4,840.50	
14AUG17	S	1	PD14NOV17	2342.00	2522.62	USD	-4,515.50	
14AUG17	S	1	PD14NOV17	2343.00	2522.62	USD	-4,490.50	
14AUG17	S	1	PD14NOV17	2343.50	2522.62	USD	-4,478.00	
14AUG17	B	2	PD14NOV17	2334.50	2522.62	USD	9,406.00	
14AUG17	B	2	PD14NOV17	2334.00	2522.62	USD	9,431.00	
14AUG17	B	1	PD14NOV17	2333.00	2522.62	USD	4,740.50	
14AUG17	B	2	PD14NOV17	2333.50	2522.62	USD	9,456.00	
14AUG17	B	1	PD14NOV17	2335.00	2522.62	USD	4,690.50	
14AUG17	B	1	PD14NOV17	2341.50	2522.62	USD	4,528.00	
14AUG17	B	1	PD14NOV17	2342.00	2522.62	USD	4,515.50	
22AUG17	S	3	PD14NOV17	2414.50	2522.62	USD	-8,109.00	
21SEP17	S	1	PD14NOV17	2517.50	2522.62	USD	-128.00	
	NET	0 AVG BGHT 2335.20	SOLD 2356.58				17,100.00	0.00
15AUG17	S	1	PD15NOV17	2366.00	2522.75	USD	-3,918.75	
22AUG17	B	1	PD15NOV17	2414.95	2522.75	USD	2,695.00	
	NET	0 AVG BGHT 2414.95	SOLD 2366.00				-1,223.75	0.00
21AUG17	B	1	PD21NOV17	2392.00	2523.36	USD	3,284.00	
21AUG17	S	1	PD21NOV17	2391.50	2523.36	USD	-3,296.50	
21AUG17	S	1	PD21NOV17	2391.00	2523.36	USD	-3,309.00	
21AUG17	S	1	PD21NOV17	2392.50	2523.36	USD	-3,271.50	
21AUG17	S	1	PD21NOV17	2389.50	2523.36	USD	-3,346.50	
21AUG17	S	2	PD21NOV17	2393.50	2523.36	USD	-6,493.00	
21AUG17	B	1	PD21NOV17	2388.00	2523.36	USD	3,384.00	
21AUG17	B	1	PD21NOV17	2387.50	2523.36	USD	3,396.50	
21AUG17	S	1	PD21NOV17	2389.00	2523.36	USD	-3,359.00	
21AUG17	S	1	PD21NOV17	2388.50	2523.36	USD	-3,371.50	
21AUG17	B	1	PD21NOV17	2377.50	2523.36	USD	3,646.50	
21AUG17	S	1	PD21NOV17	2380.50	2523.36	USD	-3,571.50	
21AUG17	S	1	PD21NOV17	2384.00	2523.36	USD	-3,484.00	
21AUG17	S	2	PD21NOV17	2385.00	2523.36	USD	-6,918.00	
21AUG17	S	1	PD21NOV17	2380.00	2523.36	USD	-3,584.00	
21AUG17	B	2	PD21NOV17	2376.50	2523.36	USD	7,343.00	
21AUG17	S	2	PD21NOV17	2386.50	2523.36	USD	-6,843.00	
21AUG17	S	1	PD21NOV17	2375.00	2523.36	USD	-3,709.00	
21AUG17	S	2	PD21NOV17	2375.50	2523.36	USD	-7,393.00	
21AUG17	B	1	PD21NOV17	2368.50	2523.36	USD	3,871.50	
21AUG17	S	1	PD21NOV17	2394.00	2523.36	USD	-3,234.00	
21AUG17	S	1	PD21NOV17	2385.50	2523.36	USD	-3,446.50	
21AUG17	B	1	PD21NOV17	2372.50	2523.36	USD	3,771.50	
21AUG17	S	1	PD21NOV17	2371.00	2523.36	USD	-3,809.00	
21AUG17	B	1	PD21NOV17	2379.00	2523.36	USD	3,609.00	
21AUG17	B	1	PD21NOV17	2363.00	2523.36	USD	4,009.00	
21AUG17	B	1	PD21NOV17	2360.50	2523.36	USD	4,071.50	
21AUG17	B	1	PD21NOV17	2358.50	2523.36	USD	4,121.50	
21AUG17	S	1	PD21NOV17	2371.50	2523.36	USD	-3,796.50	
21AUG17	B	2	PD21NOV17	2360.00	2523.36	USD	8,168.00	
21AUG17	B	1	PD21NOV17	2376.00	2523.36	USD	3,684.00	

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21AUG17	S	2	PD21NOV17	2368.50	2523.36	USD	-7,743.00	
21AUG17	B	1	PD21NOV17	2365.50	2523.36	USD	3,946.50	
21AUG17	B	1	PD21NOV17	2353.50	2523.36	USD	4,246.50	
21AUG17	B	2	PD21NOV17	2353.00	2523.36	USD	8,518.00	
21AUG17	B	1	PD21NOV17	2351.00	2523.36	USD	4,309.00	
21AUG17	B	2	PD21NOV17	2355.00	2523.36	USD	8,418.00	
21AUG17	B	1	PD21NOV17	2355.50	2523.36	USD	4,196.50	
21AUG17	S	1	PD21NOV17	2369.00	2523.36	USD	-3,859.00	
21AUG17	S	1	PD21NOV17	2376.50	2523.36	USD	-3,671.50	
21AUG17	S	2	PD21NOV17	2378.00	2523.36	USD	-7,268.00	
21AUG17	S	3	PD21NOV17	2383.00	2523.36	USD	-10,527.00	
21AUG17	S	3	PD21NOV17	2381.00	2523.36	USD	-10,677.00	
21AUG17	S	1	PD21NOV17	2381.50	2523.36	USD	-3,546.50	
21AUG17	S	3	PD21NOV17	2382.00	2523.36	USD	-10,602.00	
21AUG17	S	2	PD21NOV17	2384.50	2523.36	USD	-6,943.00	
21AUG17	B	1	PD21NOV17	2375.00	2523.36	USD	3,709.00	
21AUG17	B	3	PD21NOV17	2359.50	2523.36	USD	12,289.50	
21AUG17	B	2	PD21NOV17	2359.00	2523.36	USD	8,218.00	
21AUG17	B	2	PD21NOV17	2357.50	2523.36	USD	8,293.00	
21AUG17	B	3	PD21NOV17	2358.00	2523.36	USD	12,402.00	
21AUG17	B	2	PD21NOV17	2354.50	2523.36	USD	8,443.00	
21AUG17	B	1	PD21NOV17	2365.00	2523.36	USD	3,959.00	
22AUG17	B	4	PD21NOV17	2415.95	2523.36	USD	10,741.00	
29AUG17	S	1	PD21NOV17	2376.00	2523.36	USD	-3,684.00	
	NET	0	AVG BGHT 2369.17	SOLD 2382.13			13,292.50	0.00
22AUG17	B	2	PD22NOV17	2343.50	2523.51	USD	9,000.50	
22AUG17	B	1	PD22NOV17	2343.00	2523.51	USD	4,512.75	
22AUG17	B	1	PD22NOV17	2341.00	2523.51	USD	4,562.75	
22AUG17	B	1	PD22NOV17	2339.50	2523.51	USD	4,600.25	
22AUG17	B	1	PD22NOV17	2339.00	2523.51	USD	4,612.75	
22AUG17	B	1	PD22NOV17	2338.00	2523.51	USD	4,637.75	
22AUG17	S	1	PD22NOV17	2357.00	2523.51	USD	-4,162.75	
22AUG17	S	1	PD22NOV17	2361.00	2523.51	USD	-4,062.75	
22AUG17	S	1	PD22NOV17	2352.00	2523.51	USD	-4,287.75	
22AUG17	B	2	PD22NOV17	2356.00	2523.51	USD	8,375.50	
22AUG17	S	1	PD22NOV17	2356.50	2523.51	USD	-4,175.25	
22AUG17	S	1	PD22NOV17	2357.50	2523.51	USD	-4,150.25	
22AUG17	S	2	PD22NOV17	2356.00	2523.51	USD	-8,375.50	
22AUG17	S	1	PD22NOV17	2352.50	2523.51	USD	-4,275.25	
22AUG17	S	1	PD22NOV17	2353.00	2523.51	USD	-4,262.75	
22AUG17	B	2	PD22NOV17	2358.00	2523.51	USD	8,275.50	
22AUG17	S	1	PD22NOV17	2366.00	2523.51	USD	-3,937.75	
22AUG17	S	1	PD22NOV17	2345.50	2523.51	USD	-4,450.25	
22AUG17	S	1	PD22NOV17	2346.00	2523.51	USD	-4,437.75	
22AUG17	S	1	PD22NOV17	2353.50	2523.51	USD	-4,250.25	
22AUG17	S	1	PD22NOV17	2369.00	2523.51	USD	-3,862.75	
22AUG17	S	1	PD22NOV17	2372.00	2523.51	USD	-3,787.75	
22AUG17	B	1	PD22NOV17	2361.00	2523.51	USD	4,062.75	
22AUG17	S	4	PD22NOV17	2362.00	2523.51	USD	-16,151.00	
22AUG17	S	1	PD22NOV17	2370.50	2523.51	USD	-3,825.25	
22AUG17	S	2	PD22NOV17	2370.00	2523.51	USD	-7,675.50	
22AUG17	S	1	PD22NOV17	2371.00	2523.51	USD	-3,812.75	
22AUG17	S	1	PD22NOV17	2375.00	2523.51	USD	-3,712.75	
22AUG17	S	1	PD22NOV17	2379.00	2523.51	USD	-3,612.75	
22AUG17	S	1	PD22NOV17	2382.00	2523.51	USD	-3,537.75	
22AUG17	S	1	PD22NOV17	2391.00	2523.51	USD	-3,312.75	
22AUG17	S	1	PD22NOV17	2389.00	2523.51	USD	-3,362.75	

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22AUG17	S	1	PD22NOV17	2387.50	2523.51	USD	-3,400.25	
22AUG17	S	1	PD22NOV17	2388.50	2523.51	USD	-3,375.25	
22AUG17	S	4	PD22NOV17	2389.50	2523.51	USD	-13,401.00	
22AUG17	S	1	PD22NOV17	2401.00	2523.51	USD	-3,062.75	
22AUG17	B	1	PD22NOV17	2396.50	2523.51	USD	3,175.25	
22AUG17	B	1	PD22NOV17	2397.50	2523.51	USD	3,150.25	
22AUG17	S	1	PD22NOV17	2404.00	2523.51	USD	-2,987.75	
22AUG17	S	1	PD22NOV17	2404.50	2523.51	USD	-2,975.25	
22AUG17	B	1	PD22NOV17	2395.00	2523.51	USD	3,212.75	
22AUG17	B	1	PD22NOV17	2398.50	2523.51	USD	3,125.25	
22AUG17	S	1	PD22NOV17	2369.50	2523.51	USD	-3,850.25	
22AUG17	S	1	PD22NOV17	2421.50	2523.51	USD	-2,550.25	
22AUG17	B	1	PD22NOV17	2414.50	2523.51	USD	2,725.25	
22AUG17	B	1	PD22NOV17	2420.50	2523.51	USD	2,575.25	
29AUG17	B	1	PD22NOV17	2376.45	2523.51	USD	3,676.50	
29AUG17	B	20	PD22NOV17	2376.25	2523.51	USD	73,630.00	
	NET	0 AVG BGHT 2371.81	SOLD 2372.65				826.25	0.00
23AUG17	B	1	PD24NOV17	2416.50	2523.81	USD	2,682.75	
23AUG17	B	2	PD24NOV17	2416.00	2523.81	USD	5,390.50	
23AUG17	B	1	PD24NOV17	2415.50	2523.81	USD	2,707.75	
23AUG17	B	2	PD24NOV17	2411.00	2523.81	USD	5,640.50	
23AUG17	B	3	PD24NOV17	2408.50	2523.81	USD	8,648.25	
23AUG17	B	2	PD24NOV17	2408.00	2523.81	USD	5,790.50	
23AUG17	B	4	PD24NOV17	2405.50	2523.81	USD	11,831.00	
23AUG17	B	1	PD24NOV17	2402.50	2523.81	USD	3,032.75	
23AUG17	B	1	PD24NOV17	2402.00	2523.81	USD	3,045.25	
23AUG17	B	2	PD24NOV17	2401.00	2523.81	USD	6,140.50	
23AUG17	B	1	PD24NOV17	2398.50	2523.81	USD	3,132.75	
23AUG17	B	1	PD24NOV17	2397.50	2523.81	USD	3,157.75	
23AUG17	B	2	PD24NOV17	2396.50	2523.81	USD	6,365.50	
23AUG17	B	1	PD24NOV17	2395.50	2523.81	USD	3,207.75	
23AUG17	S	1	PD24NOV17	2403.50	2523.81	USD	-3,007.75	
23AUG17	S	2	PD24NOV17	2405.50	2523.81	USD	-5,915.50	
23AUG17	S	1	PD24NOV17	2405.00	2523.81	USD	-2,970.25	
23AUG17	S	1	PD24NOV17	2408.50	2523.81	USD	-2,882.75	
23AUG17	S	1	PD24NOV17	2409.00	2523.81	USD	-2,870.25	
23AUG17	S	1	PD24NOV17	2409.50	2523.81	USD	-2,857.75	
23AUG17	S	1	PD24NOV17	2410.50	2523.81	USD	-2,832.75	
23AUG17	S	1	PD24NOV17	2413.50	2523.81	USD	-2,757.75	
23AUG17	S	1	PD24NOV17	2415.00	2523.81	USD	-2,720.25	
23AUG17	S	2	PD24NOV17	2414.00	2523.81	USD	-5,490.50	
23AUG17	S	2	PD24NOV17	2417.00	2523.81	USD	-5,340.50	
23AUG17	S	1	PD24NOV17	2417.50	2523.81	USD	-2,657.75	
23AUG17	B	1	PD24NOV17	2410.00	2523.81	USD	2,845.25	
23AUG17	S	1	PD24NOV17	2410.00	2523.81	USD	-2,845.25	
23AUG17	S	1	PD24NOV17	2416.50	2523.81	USD	-2,682.75	
23AUG17	S	1	PD24NOV17	2409.00	2523.81	USD	-2,870.25	
23AUG17	B	3	PD24NOV17	2393.00	2523.81	USD	9,810.75	
23AUG17	B	2	PD24NOV17	2400.50	2523.81	USD	6,165.50	
23AUG17	B	3	PD24NOV17	2397.00	2523.81	USD	9,510.75	
23AUG17	B	4	PD24NOV17	2396.00	2523.81	USD	12,781.00	
23AUG17	B	1	PD24NOV17	2399.50	2523.81	USD	3,107.75	
23AUG17	B	2	PD24NOV17	2394.50	2523.81	USD	6,465.50	
23AUG17	B	2	PD24NOV17	2394.00	2523.81	USD	6,490.50	
23AUG17	B	1	PD24NOV17	2391.50	2523.81	USD	3,307.75	
23AUG17	B	1	PD24NOV17	2391.00	2523.81	USD	3,320.25	
23AUG17	S	1	PD24NOV17	2402.50	2523.81	USD	-3,032.75	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0112C

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

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FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME LEAD 25 TONNES. THIS IS AN</u>							
	<u>LME REGISTERED CLIENT CONTRACT</u>							
23AUG17	S	3	PD24NOV17	2404.00	2523.81	USD	-8,985.75	
23AUG17	S	1	PD24NOV17	2404.50	2523.81	USD	-2,982.75	
23AUG17	S	1	PD24NOV17	2406.00	2523.81	USD	-2,945.25	
23AUG17	S	1	PD24NOV17	2407.00	2523.81	USD	-2,920.25	
23AUG17	S	2	PD24NOV17	2401.00	2523.81	USD	-6,140.50	
23AUG17	B	2	PD24NOV17	2389.00	2523.81	USD	6,740.50	
23AUG17	B	1	PD24NOV17	2388.50	2523.81	USD	3,382.75	
23AUG17	B	1	PD24NOV17	2386.50	2523.81	USD	3,432.75	
23AUG17	B	3	PD24NOV17	2390.50	2523.81	USD	9,998.25	
23AUG17	S	6	PD24NOV17	2387.00	2523.81	USD	-20,521.50	
23AUG17	B	2	PD24NOV17	2384.00	2523.81	USD	6,990.50	
23AUG17	B	1	PD24NOV17	2390.00	2523.81	USD	3,345.25	
23AUG17	B	3	PD24NOV17	2389.50	2523.81	USD	10,073.25	
23AUG17	B	2	PD24NOV17	2387.00	2523.81	USD	6,840.50	
23AUG17	B	1	PD24NOV17	2388.00	2523.81	USD	3,395.25	
23AUG17	B	2	PD24NOV17	2382.50	2523.81	USD	7,065.50	
23AUG17	B	1	PD24NOV17	2382.00	2523.81	USD	3,545.25	
23AUG17	S	1	PD24NOV17	2382.50	2523.81	USD	-3,532.75	
23AUG17	S	1	PD24NOV17	2382.00	2523.81	USD	-3,545.25	
23AUG17	S	4	PD24NOV17	2391.00	2523.81	USD	-13,281.00	
23AUG17	S	1	PD24NOV17	2393.00	2523.81	USD	-3,270.25	
23AUG17	S	2	PD24NOV17	2390.00	2523.81	USD	-6,690.50	
23AUG17	S	1	PD24NOV17	2386.50	2523.81	USD	-3,432.75	
23AUG17	B	3	PD24NOV17	2385.50	2523.81	USD	10,373.25	
23AUG17	B	2	PD24NOV17	2385.00	2523.81	USD	6,940.50	
23AUG17	S	1	PD24NOV17	2387.00	2523.81	USD	-3,420.25	
24AUG17	S	1	PD24NOV17	2397.50	2523.81	USD	-3,157.75	
24AUG17	S	1	PD24NOV17	2402.00	2523.81	USD	-3,045.25	
24AUG17	S	1	PD24NOV17	2402.50	2523.81	USD	-3,032.75	
24AUG17	B	1	PD24NOV17	2389.50	2523.81	USD	3,357.75	
24AUG17	S	1	PD24NOV17	2391.00	2523.81	USD	-3,320.25	
24AUG17	S	2	PD24NOV17	2394.50	2523.81	USD	-6,465.50	
24AUG17	B	1	PD24NOV17	2393.00	2523.81	USD	3,270.25	
24AUG17	S	3	PD24NOV17	2396.50	2523.81	USD	-9,548.25	
24AUG17	S	5	PD24NOV17	2397.00	2523.81	USD	-15,851.25	
24AUG17	S	1	PD24NOV17	2399.50	2523.81	USD	-3,107.75	
24AUG17	S	4	PD24NOV17	2398.50	2523.81	USD	-12,531.00	
24AUG17	B	1	PD24NOV17	2398.00	2523.81	USD	3,145.25	
24AUG17	S	1	PD24NOV17	2398.00	2523.81	USD	-3,145.25	
24AUG17	S	3	PD24NOV17	2399.00	2523.81	USD	-9,360.75	
24AUG17	S	1	PD24NOV17	2387.00	2523.81	USD	-3,420.25	
24AUG17	S	1	PD24NOV17	2389.50	2523.81	USD	-3,357.75	
24AUG17	B	1	PD24NOV17	2376.00	2523.81	USD	3,695.25	
24AUG17	B	1	PD24NOV17	2374.50	2523.81	USD	3,732.75	
24AUG17	B	1	PD24NOV17	2375.00	2523.81	USD	3,720.25	
24AUG17	S	1	PD24NOV17	2374.50	2523.81	USD	-3,732.75	
24AUG17	S	1	PD24NOV17	2375.00	2523.81	USD	-3,720.25	
24AUG17	B	1	PD24NOV17	2375.50	2523.81	USD	3,707.75	
24AUG17	B	1	PD24NOV17	2371.00	2523.81	USD	3,820.25	
24AUG17	B	1	PD24NOV17	2370.50	2523.81	USD	3,832.75	
24AUG17	B	1	PD24NOV17	2367.00	2523.81	USD	3,920.25	
24AUG17	B	1	PD24NOV17	2366.00	2523.81	USD	3,945.25	
24AUG17	B	2	PD24NOV17	2358.50	2523.81	USD	8,265.50	
24AUG17	B	2	PD24NOV17	2362.00	2523.81	USD	8,090.50	
24AUG17	B	1	PD24NOV17	2363.50	2523.81	USD	4,007.75	
24AUG17	B	1	PD24NOV17	2364.00	2523.81	USD	3,995.25	
24AUG17	B	2	PD24NOV17	2364.50	2523.81	USD	7,965.50	

OPEN POSITION STATEMENT



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SAP0112C

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

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Date	Open Position				Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME LEAD 25 TONNES. THIS IS AN</u>								
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24AUG17	S	1	PD24NOV17		2362.00	2523.81	USD	-4,045.25	
24AUG17	B	1	PD24NOV17		2354.50	2523.81	USD	4,232.75	
24AUG17	B	1	PD24NOV17		2355.00	2523.81	USD	4,220.25	
24AUG17	B	1	PD24NOV17		2352.50	2523.81	USD	4,282.75	
24AUG17	S	1	PD24NOV17		2355.50	2523.81	USD	-4,207.75	
24AUG17	B	1	PD24NOV17		2354.00	2523.81	USD	4,245.25	
24AUG17	B	1	PD24NOV17		2352.00	2523.81	USD	4,295.25	
24AUG17	S	2	PD24NOV17		2358.00	2523.81	USD	-8,290.50	
24AUG17	S	2	PD24NOV17		2361.50	2523.81	USD	-8,115.50	
24AUG17	S	2	PD24NOV17		2362.50	2523.81	USD	-8,065.50	
24AUG17	B	6	PD24NOV17		2365.50	2523.81	USD	23,746.50	
24AUG17	B	1	PD24NOV17		2350.00	2523.81	USD	4,345.25	
24AUG17	S	3	PD24NOV17		2357.00	2523.81	USD	-12,510.75	
25AUG17	S	1	PD24NOV17		2368.50	2523.81	USD	-3,882.75	
25AUG17	S	1	PD24NOV17		2370.50	2523.81	USD	-3,832.75	
25AUG17	S	1	PD24NOV17		2373.00	2523.81	USD	-3,770.25	
25AUG17	S	1	PD24NOV17		2376.50	2523.81	USD	-3,682.75	
25AUG17	B	1	PD24NOV17		2372.00	2523.81	USD	3,795.25	
25AUG17	B	1	PD24NOV17		2368.50	2523.81	USD	3,882.75	
25AUG17	B	1	PD24NOV17		2366.50	2523.81	USD	3,932.75	
25AUG17	B	1	PD24NOV17		2343.00	2523.81	USD	4,520.25	
25AUG17	B	1	PD24NOV17		2355.00	2523.81	USD	4,220.25	
25AUG17	B	1	PD24NOV17		2347.50	2523.81	USD	4,407.75	
25AUG17	B	1	PD24NOV17		2348.50	2523.81	USD	4,382.75	
25AUG17	B	1	PD24NOV17		2351.50	2523.81	USD	4,307.75	
25AUG17	S	1	PD24NOV17		2333.50	2523.81	USD	-4,757.75	
25AUG17	S	1	PD24NOV17		2334.00	2523.81	USD	-4,745.25	
25AUG17	B	1	PD24NOV17		2341.00	2523.81	USD	4,570.25	
29AUG17	S	20	PD24NOV17		2376.55	2523.81	USD	-73,630.00	
	NET	0	AVG BGHT 2384.34	SOLD 2388.35				10,825.00	0.00
29AUG17	S	1	PD29NOV17		2342.00	2524.57	USD	-4,564.25	
29AUG17	S	1	PD29NOV17		2343.00	2524.57	USD	-4,539.25	
29AUG17	S	2	PD29NOV17		2345.00	2524.57	USD	-8,978.50	
29AUG17	S	1	PD29NOV17		2346.00	2524.57	USD	-4,464.25	
29AUG17	S	1	PD29NOV17		2344.50	2524.57	USD	-4,501.75	
29AUG17	S	1	PD29NOV17		2342.50	2524.57	USD	-4,551.75	
29AUG17	B	1	PD29NOV17		2349.50	2524.57	USD	4,376.75	
29AUG17	B	1	PD29NOV17		2350.00	2524.57	USD	4,364.25	
29AUG17	S	1	PD29NOV17		2350.00	2524.57	USD	-4,364.25	
29AUG17	S	1	PD29NOV17		2377.00	2524.57	USD	-3,689.25	
29AUG17	S	1	PD29NOV17		2380.50	2524.57	USD	-3,601.75	
29AUG17	S	3	PD29NOV17		2386.50	2524.57	USD	-10,355.25	
29AUG17	B	1	PD29NOV17		2386.00	2524.57	USD	3,464.25	
29AUG17	S	1	PD29NOV17		2392.00	2524.57	USD	-3,314.25	
29AUG17	S	1	PD29NOV17		2393.50	2524.57	USD	-3,276.75	
29AUG17	B	1	PD29NOV17		2399.00	2524.57	USD	3,139.25	
29AUG17	B	1	PD29NOV17		2399.50	2524.57	USD	3,126.75	
29AUG17	B	1	PD29NOV17		2402.50	2524.57	USD	3,051.75	
29AUG17	B	1	PD29NOV17		2400.00	2524.57	USD	3,114.25	
29AUG17	B	2	PD29NOV17		2396.50	2524.57	USD	6,403.50	
29AUG17	S	1	PD29NOV17		2345.50	2524.57	USD	-4,476.75	
29AUG17	S	1	PD29NOV17		2402.50	2524.57	USD	-3,051.75	
29AUG17	B	1	PD29NOV17		2395.00	2524.57	USD	3,239.25	
29AUG17	B	1	PD29NOV17		2380.50	2524.57	USD	3,601.75	
29AUG17	B	1	PD29NOV17		2384.00	2524.57	USD	3,514.25	
29AUG17	B	1	PD29NOV17		2383.00	2524.57	USD	3,539.25	
29AUG17	S	1	PD29NOV17		2388.00	2524.57	USD	-3,414.25	

OPEN POSITION STATEMENT



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SAP0112C

13th October 2017

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
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29AUG17	B	1	PD29NOV17	2380.00	2524.57	USD	3,614.25	
29AUG17	B	5	PD29NOV17	2384.50	2524.57	USD	17,508.75	
29AUG17	S	2	PD29NOV17	2387.50	2524.57	USD	-6,853.50	
06SEP17	B	1	PD29NOV17	2338.08	2524.57	USD	4,662.25	
	NET	0 AVG BGHT 2383.13	SOLD 2368.58				-7,277.00	0.00
30AUG17	B	1	PD30NOV17	2389.50	2524.72	USD	3,380.50	
30AUG17	B	1	PD30NOV17	2393.00	2524.72	USD	3,293.00	
30AUG17	B	2	PD30NOV17	2399.00	2524.72	USD	6,286.00	
30AUG17	B	1	PD30NOV17	2399.50	2524.72	USD	3,130.50	
30AUG17	S	1	PD30NOV17	2394.00	2524.72	USD	-3,268.00	
30AUG17	S	1	PD30NOV17	2397.00	2524.72	USD	-3,193.00	
30AUG17	S	1	PD30NOV17	2395.00	2524.72	USD	-3,243.00	
30AUG17	B	1	PD30NOV17	2391.00	2524.72	USD	3,343.00	
30AUG17	B	1	PD30NOV17	2391.50	2524.72	USD	3,330.50	
30AUG17	S	2	PD30NOV17	2383.00	2524.72	USD	-7,086.00	
30AUG17	S	2	PD30NOV17	2384.00	2524.72	USD	-7,036.00	
30AUG17	S	1	PD30NOV17	2383.50	2524.72	USD	-3,530.50	
30AUG17	S	1	PD30NOV17	2380.50	2524.72	USD	-3,605.50	
30AUG17	B	2	PD30NOV17	2397.00	2524.72	USD	6,386.00	
30AUG17	B	1	PD30NOV17	2396.50	2524.72	USD	3,205.50	
30AUG17	B	1	PD30NOV17	2390.00	2524.72	USD	3,368.00	
30AUG17	S	1	PD30NOV17	2383.50	2524.72	USD	-3,530.50	
30AUG17	S	1	PD30NOV17	2371.50	2524.72	USD	-3,830.50	
30AUG17	S	1	PD30NOV17	2377.50	2524.72	USD	-3,680.50	
30AUG17	S	1	PD30NOV17	2378.00	2524.72	USD	-3,668.00	
30AUG17	S	2	PD30NOV17	2379.00	2524.72	USD	-7,286.00	
30AUG17	S	1	PD30NOV17	2381.50	2524.72	USD	-3,580.50	
30AUG17	B	1	PD30NOV17	2387.50	2524.72	USD	3,430.50	
30AUG17	B	1	PD30NOV17	2386.00	2524.72	USD	3,468.00	
30AUG17	B	1	PD30NOV17	2385.50	2524.72	USD	3,480.50	
30AUG17	B	1	PD30NOV17	2383.00	2524.72	USD	3,543.00	
30AUG17	B	1	PD30NOV17	2382.00	2524.72	USD	3,568.00	
30AUG17	B	1	PD30NOV17	2381.50	2524.72	USD	3,580.50	
31AUG17	B	2	PD30NOV17	2374.50	2524.72	USD	7,511.00	
31AUG17	B	2	PD30NOV17	2388.50	2524.72	USD	6,811.00	
31AUG17	S	1	PD30NOV17	2379.50	2524.72	USD	-3,630.50	
31AUG17	S	1	PD30NOV17	2380.00	2524.72	USD	-3,618.00	
31AUG17	S	2	PD30NOV17	2390.00	2524.72	USD	-6,736.00	
31AUG17	B	1	PD30NOV17	2387.50	2524.72	USD	3,430.50	
31AUG17	S	1	PD30NOV17	2394.00	2524.72	USD	-3,268.00	
31AUG17	S	1	PD30NOV17	2395.50	2524.72	USD	-3,230.50	
31AUG17	B	1	PD30NOV17	2389.00	2524.72	USD	3,393.00	
31AUG17	B	1	PD30NOV17	2374.50	2524.72	USD	3,755.50	
31AUG17	B	1	PD30NOV17	2390.00	2524.72	USD	3,368.00	
31AUG17	S	1	PD30NOV17	2397.00	2524.72	USD	-3,193.00	
31AUG17	B	2	PD30NOV17	2383.50	2524.72	USD	7,061.00	
31AUG17	B	1	PD30NOV17	2383.00	2524.72	USD	3,543.00	
31AUG17	B	1	PD30NOV17	2381.50	2524.72	USD	3,580.50	
31AUG17	B	1	PD30NOV17	2377.00	2524.72	USD	3,693.00	
31AUG17	B	1	PD30NOV17	2374.00	2524.72	USD	3,768.00	
31AUG17	B	1	PD30NOV17	2378.00	2524.72	USD	3,668.00	
31AUG17	B	1	PD30NOV17	2393.00	2524.72	USD	3,293.00	
31AUG17	S	1	PD30NOV17	2393.00	2524.72	USD	-3,293.00	
31AUG17	S	1	PD30NOV17	2382.50	2524.72	USD	-3,555.50	
31AUG17	S	1	PD30NOV17	2390.50	2524.72	USD	-3,355.50	
31AUG17	S	1	PD30NOV17	2394.50	2524.72	USD	-3,255.50	
31AUG17	S	1	PD30NOV17	2390.50	2524.72	USD	-3,355.50	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0112C

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position				Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME LEAD 25 TONNES. THIS IS AN</u>								
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06SEP17	S	1	PD30NOV17		2338.15	2524.72	USD	-4,664.25	
06SEP17	S	4	PD30NOV17		2338.35	2524.72	USD	-18,637.00	
	NET	0AVG	BGHT	2386.94				-6,661.25	0.00
				SOLD 2378.87					
01SEP17	B	1	PD01DEC17		2397.50	2524.87	USD	3,184.25	
01SEP17	B	1	PD01DEC17		2394.50	2524.87	USD	3,259.25	
01SEP17	S	1	PD01DEC17		2391.00	2524.87	USD	-3,346.75	
01SEP17	S	1	PD01DEC17		2392.50	2524.87	USD	-3,309.25	
01SEP17	S	1	PD01DEC17		2393.50	2524.87	USD	-3,284.25	
01SEP17	S	1	PD01DEC17		2401.00	2524.87	USD	-3,096.75	
01SEP17	S	4	PD01DEC17		2398.00	2524.87	USD	-12,687.00	
01SEP17	B	1	PD01DEC17		2403.50	2524.87	USD	3,034.25	
01SEP17	S	1	PD01DEC17		2406.00	2524.87	USD	-2,971.75	
01SEP17	B	1	PD01DEC17		2398.00	2524.87	USD	3,171.75	
01SEP17	B	1	PD01DEC17		2398.50	2524.87	USD	3,159.25	
01SEP17	B	1	PD01DEC17		2392.00	2524.87	USD	3,321.75	
01SEP17	B	2	PD01DEC17		2392.50	2524.87	USD	6,618.50	
01SEP17	B	2	PD01DEC17		2391.00	2524.87	USD	6,693.50	
01SEP17	B	1	PD01DEC17		2388.00	2524.87	USD	3,421.75	
01SEP17	B	1	PD01DEC17		2387.50	2524.87	USD	3,434.25	
01SEP17	B	1	PD01DEC17		2387.00	2524.87	USD	3,446.75	
01SEP17	S	1	PD01DEC17		2390.00	2524.87	USD	-3,371.75	
01SEP17	S	1	PD01DEC17		2386.50	2524.87	USD	-3,459.25	
01SEP17	S	1	PD01DEC17		2384.50	2524.87	USD	-3,509.25	
01SEP17	S	1	PD01DEC17		2396.50	2524.87	USD	-3,209.25	
01SEP17	S	1	PD01DEC17		2400.00	2524.87	USD	-3,121.75	
01SEP17	S	1	PD01DEC17		2401.50	2524.87	USD	-3,084.25	
01SEP17	S	1	PD01DEC17		2405.00	2524.87	USD	-2,996.75	
01SEP17	S	1	PD01DEC17		2404.00	2524.87	USD	-3,021.75	
01SEP17	S	2	PD01DEC17		2405.50	2524.87	USD	-5,968.50	
01SEP17	S	6	PD01DEC17		2406.50	2524.87	USD	-17,755.50	
01SEP17	S	1	PD01DEC17		2411.00	2524.87	USD	-2,846.75	
01SEP17	S	1	PD01DEC17		2407.50	2524.87	USD	-2,934.25	
01SEP17	S	2	PD01DEC17		2407.00	2524.87	USD	-5,893.50	
01SEP17	S	2	PD01DEC17		2404.50	2524.87	USD	-6,018.50	
01SEP17	B	1	PD01DEC17		2413.00	2524.87	USD	2,796.75	
01SEP17	B	1	PD01DEC17		2412.50	2524.87	USD	2,809.25	
01SEP17	B	2	PD01DEC17		2412.00	2524.87	USD	5,643.50	
01SEP17	B	1	PD01DEC17		2411.00	2524.87	USD	2,846.75	
01SEP17	S	1	PD01DEC17		2403.00	2524.87	USD	-3,046.75	
01SEP17	B	1	PD01DEC17		2403.00	2524.87	USD	3,046.75	
01SEP17	B	1	PD01DEC17		2406.50	2524.87	USD	2,959.25	
01SEP17	B	1	PD01DEC17		2405.50	2524.87	USD	2,984.25	
01SEP17	B	1	PD01DEC17		2401.50	2524.87	USD	3,084.25	
01SEP17	B	1	PD01DEC17		2393.00	2524.87	USD	3,296.75	
01SEP17	B	2	PD01DEC17		2394.00	2524.87	USD	6,543.50	
01SEP17	B	3	PD01DEC17		2393.50	2524.87	USD	9,852.75	
01SEP17	B	1	PD01DEC17		2412.00	2524.87	USD	2,821.75	
01SEP17	S	1	PD01DEC17		2399.00	2524.87	USD	-3,146.75	
06SEP17	B	4	PD01DEC17		2338.83	2524.87	USD	18,604.00	
	NET	0AVG	BGHT	2391.49				7,954.50	0.00
				SOLD 2401.14					
04SEP17	B	1	PD04DEC17		2407.50	2525.33	USD	2,945.75	
04SEP17	B	1	PD04DEC17		2407.00	2525.33	USD	2,958.25	
04SEP17	B	1	PD04DEC17		2405.50	2525.33	USD	2,995.75	
04SEP17	B	1	PD04DEC17		2404.50	2525.33	USD	3,020.75	
04SEP17	B	1	PD04DEC17		2400.50	2525.33	USD	3,120.75	
04SEP17	B	1	PD04DEC17		2393.00	2525.33	USD	3,308.25	
04SEP17	B	1	PD04DEC17		2395.50	2525.33	USD	3,245.75	

OPEN POSITION STATEMENT



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	<u>LME LEAD 25 TONNES. THIS IS AN</u>							
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04SEP17	B	1	PD04DEC17	2395.00	2525.33	USD	3,258.25	
04SEP17	B	1	PD04DEC17	2397.00	2525.33	USD	3,208.25	
04SEP17	S	1	PD04DEC17	2398.50	2525.33	USD	-3,170.75	
04SEP17	B	1	PD04DEC17	2387.50	2525.33	USD	3,445.75	
04SEP17	B	1	PD04DEC17	2386.00	2525.33	USD	3,483.25	
04SEP17	B	1	PD04DEC17	2385.50	2525.33	USD	3,495.75	
04SEP17	S	1	PD04DEC17	2390.00	2525.33	USD	-3,383.25	
04SEP17	S	1	PD04DEC17	2391.00	2525.33	USD	-3,358.25	
04SEP17	S	1	PD04DEC17	2392.00	2525.33	USD	-3,333.25	
04SEP17	S	1	PD04DEC17	2393.00	2525.33	USD	-3,308.25	
04SEP17	S	1	PD04DEC17	2398.00	2525.33	USD	-3,183.25	
04SEP17	S	1	PD04DEC17	2401.00	2525.33	USD	-3,108.25	
04SEP17	B	4	PD04DEC17	2390.00	2525.33	USD	13,533.00	
04SEP17	S	1	PD04DEC17	2395.00	2525.33	USD	-3,258.25	
04SEP17	S	3	PD04DEC17	2394.00	2525.33	USD	-9,849.75	
04SEP17	B	2	PD04DEC17	2391.00	2525.33	USD	6,716.50	
04SEP17	S	1	PD04DEC17	2391.50	2525.33	USD	-3,345.75	
04SEP17	S	1	PD04DEC17	2392.00	2525.33	USD	-3,333.25	
04SEP17	S	1	PD04DEC17	2392.50	2525.33	USD	-3,320.75	
04SEP17	S	2	PD04DEC17	2393.00	2525.33	USD	-6,616.50	
06SEP17	S	2	PD04DEC17	2339.45	2525.33	USD	-9,294.00	
	NET	0AVG BGHT 2394.81	SOLD 2387.86				-3,127.50	0.00
05SEP17	S	1	PD05DEC17	2394.50	2525.48	USD	-3,274.50	
05SEP17	B	1	PD05DEC17	2399.50	2525.48	USD	3,149.50	
05SEP17	S	1	PD05DEC17	2405.00	2525.48	USD	-3,012.00	
05SEP17	S	2	PD05DEC17	2405.50	2525.48	USD	-5,999.00	
05SEP17	S	2	PD05DEC17	2398.50	2525.48	USD	-6,349.00	
05SEP17	S	1	PD05DEC17	2399.50	2525.48	USD	-3,149.50	
05SEP17	S	1	PD05DEC17	2395.00	2525.48	USD	-3,262.00	
05SEP17	S	1	PD05DEC17	2393.00	2525.48	USD	-3,312.00	
05SEP17	B	1	PD05DEC17	2391.00	2525.48	USD	3,362.00	
05SEP17	B	1	PD05DEC17	2389.50	2525.48	USD	3,399.50	
05SEP17	B	1	PD05DEC17	2388.50	2525.48	USD	3,424.50	
05SEP17	B	3	PD05DEC17	2389.00	2525.48	USD	10,236.00	
05SEP17	B	3	PD05DEC17	2387.00	2525.48	USD	10,386.00	
05SEP17	B	2	PD05DEC17	2386.50	2525.48	USD	6,949.00	
05SEP17	B	1	PD05DEC17	2386.00	2525.48	USD	3,487.00	
05SEP17	B	1	PD05DEC17	2384.50	2525.48	USD	3,524.50	
05SEP17	B	3	PD05DEC17	2382.50	2525.48	USD	10,723.50	
05SEP17	B	2	PD05DEC17	2379.50	2525.48	USD	7,299.00	
05SEP17	B	4	PD05DEC17	2378.00	2525.48	USD	14,748.00	
05SEP17	B	1	PD05DEC17	2373.50	2525.48	USD	3,799.50	
05SEP17	S	1	PD05DEC17	2370.00	2525.48	USD	-3,887.00	
05SEP17	S	2	PD05DEC17	2373.00	2525.48	USD	-7,624.00	
05SEP17	S	1	PD05DEC17	2373.50	2525.48	USD	-3,799.50	
05SEP17	S	1	PD05DEC17	2366.50	2525.48	USD	-3,974.50	
05SEP17	S	1	PD05DEC17	2365.00	2525.48	USD	-4,012.00	
05SEP17	S	1	PD05DEC17	2371.00	2525.48	USD	-3,862.00	
05SEP17	B	1	PD05DEC17	2367.50	2525.48	USD	3,949.50	
05SEP17	S	1	PD05DEC17	2365.50	2525.48	USD	-3,999.50	
05SEP17	S	3	PD05DEC17	2364.00	2525.48	USD	-12,111.00	
05SEP17	B	2	PD05DEC17	2368.00	2525.48	USD	7,874.00	
05SEP17	S	1	PD05DEC17	2362.00	2525.48	USD	-4,087.00	
05SEP17	S	1	PD05DEC17	2362.50	2525.48	USD	-4,074.50	
05SEP17	B	1	PD05DEC17	2355.50	2525.48	USD	4,249.50	
05SEP17	S	1	PD05DEC17	2354.50	2525.48	USD	-4,274.50	
05SEP17	S	1	PD05DEC17	2355.00	2525.48	USD	-4,262.00	

OPEN POSITION STATEMENT



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	<u>LME LEAD 25 TONNES. THIS IS AN</u>							
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05SEP17	B	1	PD05DEC17	2354.00	2525.48	USD	4,287.00	
05SEP17	B	1	PD05DEC17	2353.50	2525.48	USD	4,299.50	
05SEP17	B	1	PD05DEC17	2346.00	2525.48	USD	4,487.00	
05SEP17	S	1	PD05DEC17	2344.00	2525.48	USD	-4,537.00	
05SEP17	S	1	PD05DEC17	2339.00	2525.48	USD	-4,662.00	
05SEP17	S	1	PD05DEC17	2340.00	2525.48	USD	-4,637.00	
05SEP17	S	1	PD05DEC17	2341.00	2525.48	USD	-4,612.00	
05SEP17	S	2	PD05DEC17	2341.50	2525.48	USD	-9,199.00	
05SEP17	B	1	PD05DEC17	2332.50	2525.48	USD	4,824.50	
05SEP17	B	1	PD05DEC17	2332.00	2525.48	USD	4,837.00	
05SEP17	S	1	PD05DEC17	2329.50	2525.48	USD	-4,899.50	
05SEP17	S	1	PD05DEC17	2328.50	2525.48	USD	-4,924.50	
05SEP17	S	1	PD05DEC17	2326.50	2525.48	USD	-4,974.50	
05SEP17	S	2	PD05DEC17	2327.00	2525.48	USD	-9,924.00	
05SEP17	S	1	PD05DEC17	2331.50	2525.48	USD	-4,849.50	
05SEP17	S	1	PD05DEC17	2331.00	2525.48	USD	-4,862.00	
05SEP17	S	1	PD05DEC17	2338.00	2525.48	USD	-4,687.00	
05SEP17	S	3	PD05DEC17	2336.50	2525.48	USD	-14,173.50	
05SEP17	B	1	PD05DEC17	2331.00	2525.48	USD	4,862.00	
05SEP17	S	1	PD05DEC17	2341.00	2525.48	USD	-4,612.00	
06SEP17	B	2	PD05DEC17	2339.93	2525.48	USD	9,277.50	
08SEP17	B	6	PD05DEC17	2264.58	2525.48	USD	39,135.00	
	NET	0 AVG BGHT 2357.32	SOLD 2359.88				2,691.50	0.00
06SEP17	B	1	PD06DEC17	2340.00	2525.63	USD	4,640.75	
06SEP17	B	1	PD06DEC17	2339.00	2525.63	USD	4,665.75	
06SEP17	B	3	PD06DEC17	2336.00	2525.63	USD	14,222.25	
06SEP17	B	3	PD06DEC17	2338.00	2525.63	USD	14,072.25	
06SEP17	B	2	PD06DEC17	2337.00	2525.63	USD	9,431.50	
06SEP17	S	1	PD06DEC17	2323.00	2525.63	USD	-5,065.75	
06SEP17	S	1	PD06DEC17	2317.50	2525.63	USD	-5,203.25	
06SEP17	S	1	PD06DEC17	2323.50	2525.63	USD	-5,053.25	
06SEP17	S	2	PD06DEC17	2325.00	2525.63	USD	-10,031.50	
06SEP17	S	1	PD06DEC17	2329.50	2525.63	USD	-4,903.25	
06SEP17	S	2	PD06DEC17	2330.00	2525.63	USD	-9,781.50	
06SEP17	S	2	PD06DEC17	2327.00	2525.63	USD	-9,931.50	
06SEP17	S	1	PD06DEC17	2324.50	2525.63	USD	-5,028.25	
06SEP17	S	2	PD06DEC17	2339.50	2525.63	USD	-9,306.50	
06SEP17	S	1	PD06DEC17	2357.00	2525.63	USD	-4,215.75	
06SEP17	S	1	PD06DEC17	2363.00	2525.63	USD	-4,065.75	
06SEP17	B	1	PD06DEC17	2350.00	2525.63	USD	4,390.75	
06SEP17	B	3	PD06DEC17	2349.00	2525.63	USD	13,247.25	
06SEP17	B	1	PD06DEC17	2348.50	2525.63	USD	4,428.25	
06SEP17	B	1	PD06DEC17	2348.00	2525.63	USD	4,440.75	
06SEP17	B	1	PD06DEC17	2344.50	2525.63	USD	4,528.25	
06SEP17	B	2	PD06DEC17	2346.50	2525.63	USD	8,956.50	
06SEP17	S	1	PD06DEC17	2360.00	2525.63	USD	-4,140.75	
06SEP17	B	2	PD06DEC17	2341.00	2525.63	USD	9,231.50	
06SEP17	B	1	PD06DEC17	2344.00	2525.63	USD	4,540.75	
06SEP17	B	1	PD06DEC17	2341.50	2525.63	USD	4,603.25	
06SEP17	S	2	PD06DEC17	2342.50	2525.63	USD	-9,156.50	
06SEP17	S	1	PD06DEC17	2348.50	2525.63	USD	-4,428.25	
06SEP17	S	3	PD06DEC17	2343.50	2525.63	USD	-13,659.75	
06SEP17	S	1	PD06DEC17	2344.00	2525.63	USD	-4,540.75	
06SEP17	S	1	PD06DEC17	2344.50	2525.63	USD	-4,528.25	
06SEP17	S	1	PD06DEC17	2345.50	2525.63	USD	-4,503.25	
06SEP17	S	1	PD06DEC17	2351.00	2525.63	USD	-4,365.75	
06SEP17	S	1	PD06DEC17	2361.00	2525.63	USD	-4,115.75	

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	<u>LME LEAD 25 TONNES. THIS IS AN</u>							
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06SEP17	S	2	PD06DEC17	2361.50	2525.63	USD	-8,206.50	
06SEP17	S	2	PD06DEC17	2362.00	2525.63	USD	-8,181.50	
06SEP17	S	2	PD06DEC17	2359.50	2525.63	USD	-8,306.50	
06SEP17	S	1	PD06DEC17	2352.00	2525.63	USD	-4,340.75	
06SEP17	B	1	PD06DEC17	2347.50	2525.63	USD	4,453.25	
06SEP17	B	2	PD06DEC17	2346.00	2525.63	USD	8,981.50	
06SEP17	B	2	PD06DEC17	2345.00	2525.63	USD	9,031.50	
06SEP17	B	1	PD06DEC17	2343.00	2525.63	USD	4,565.75	
06SEP17	B	2	PD06DEC17	2342.00	2525.63	USD	9,181.50	
06SEP17	B	4	PD06DEC17	2345.50	2525.63	USD	18,013.00	
06SEP17	B	2	PD06DEC17	2353.00	2525.63	USD	8,631.50	
06SEP17	B	1	PD06DEC17	2353.50	2525.63	USD	4,303.25	
06SEP17	B	2	PD06DEC17	2355.00	2525.63	USD	8,531.50	
08SEP17	S	6	PD06DEC17	2264.80	2525.63	USD	-39,124.50	
	NET	0AVG	BGHT 2344.54	SOLD 2331.45			-13,092.50	0.00
07SEP17	B	1	PD07DEC17	2348.50	2525.78	USD	4,432.00	
07SEP17	S	1	PD07DEC17	2343.00	2525.78	USD	-4,569.50	
07SEP17	S	2	PD07DEC17	2341.50	2525.78	USD	-9,214.00	
07SEP17	S	2	PD07DEC17	2337.50	2525.78	USD	-9,414.00	
07SEP17	B	2	PD07DEC17	2334.50	2525.78	USD	9,564.00	
07SEP17	B	1	PD07DEC17	2333.00	2525.78	USD	4,819.50	
07SEP17	B	1	PD07DEC17	2338.00	2525.78	USD	4,694.50	
07SEP17	B	1	PD07DEC17	2338.50	2525.78	USD	4,682.00	
07SEP17	B	3	PD07DEC17	2335.50	2525.78	USD	14,271.00	
07SEP17	B	1	PD07DEC17	2331.00	2525.78	USD	4,869.50	
07SEP17	B	1	PD07DEC17	2334.00	2525.78	USD	4,794.50	
07SEP17	B	3	PD07DEC17	2333.50	2525.78	USD	14,421.00	
07SEP17	B	1	PD07DEC17	2335.00	2525.78	USD	4,769.50	
07SEP17	B	1	PD07DEC17	2321.50	2525.78	USD	5,107.00	
07SEP17	S	1	PD07DEC17	2322.50	2525.78	USD	-5,082.00	
07SEP17	S	1	PD07DEC17	2332.50	2525.78	USD	-4,832.00	
07SEP17	S	1	PD07DEC17	2335.50	2525.78	USD	-4,757.00	
07SEP17	S	1	PD07DEC17	2340.50	2525.78	USD	-4,632.00	
07SEP17	S	2	PD07DEC17	2340.00	2525.78	USD	-9,289.00	
07SEP17	S	1	PD07DEC17	2342.00	2525.78	USD	-4,594.50	
07SEP17	S	2	PD07DEC17	2336.50	2525.78	USD	-9,464.00	
07SEP17	S	2	PD07DEC17	2337.00	2525.78	USD	-9,439.00	
07SEP17	S	2	PD07DEC17	2338.00	2525.78	USD	-9,389.00	
07SEP17	S	4	PD07DEC17	2339.00	2525.78	USD	-18,678.00	
07SEP17	S	3	PD07DEC17	2339.50	2525.78	USD	-13,971.00	
07SEP17	S	1	PD07DEC17	2347.00	2525.78	USD	-4,469.50	
07SEP17	S	1	PD07DEC17	2350.00	2525.78	USD	-4,394.50	
07SEP17	S	2	PD07DEC17	2362.00	2525.78	USD	-8,189.00	
07SEP17	B	1	PD07DEC17	2363.00	2525.78	USD	4,069.50	
07SEP17	B	1	PD07DEC17	2361.00	2525.78	USD	4,119.50	
07SEP17	B	2	PD07DEC17	2351.50	2525.78	USD	8,714.00	
07SEP17	B	1	PD07DEC17	2347.00	2525.78	USD	4,469.50	
07SEP17	B	1	PD07DEC17	2346.50	2525.78	USD	4,482.00	
07SEP17	S	1	PD07DEC17	2348.00	2525.78	USD	-4,444.50	
07SEP17	B	1	PD07DEC17	2342.00	2525.78	USD	4,594.50	
07SEP17	B	4	PD07DEC17	2339.50	2525.78	USD	18,628.00	
07SEP17	B	1	PD07DEC17	2341.50	2525.78	USD	4,607.00	
07SEP17	B	1	PD07DEC17	2342.50	2525.78	USD	4,582.00	
07SEP17	B	1	PD07DEC17	2340.00	2525.78	USD	4,644.50	
	NET	0AVG	BGHT 2340.00	SOLD 2340.68			512.50	0.00
08SEP17	B	1	PD08DEC17	2356.00	2525.93	USD	4,248.25	
08SEP17	B	1	PD08DEC17	2354.00	2525.93	USD	4,298.25	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0112C

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
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+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME LEAD 25 TONNES. THIS IS AN</u>							
	<u>LME REGISTERED CLIENT CONTRACT</u>							
08SEP17	B	1	PD08DEC17	2351.50	2525.93	USD	4,360.75	
08SEP17	B	1	PD08DEC17	2351.00	2525.93	USD	4,373.25	
08SEP17	S	1	PD08DEC17	2346.50	2525.93	USD	-4,485.75	
08SEP17	B	1	PD08DEC17	2346.00	2525.93	USD	4,498.25	
08SEP17	B	1	PD08DEC17	2346.50	2525.93	USD	4,485.75	
08SEP17	S	2	PD08DEC17	2342.50	2525.93	USD	-9,171.50	
08SEP17	S	2	PD08DEC17	2341.00	2525.93	USD	-9,246.50	
08SEP17	S	1	PD08DEC17	2341.50	2525.93	USD	-4,610.75	
08SEP17	S	2	PD08DEC17	2342.00	2525.93	USD	-9,196.50	
08SEP17	S	2	PD08DEC17	2338.50	2525.93	USD	-9,371.50	
08SEP17	S	1	PD08DEC17	2335.50	2525.93	USD	-4,760.75	
08SEP17	B	1	PD08DEC17	2324.50	2525.93	USD	5,035.75	
08SEP17	B	1	PD08DEC17	2323.50	2525.93	USD	5,060.75	
08SEP17	B	1	PD08DEC17	2324.00	2525.93	USD	5,048.25	
08SEP17	B	1	PD08DEC17	2323.00	2525.93	USD	5,073.25	
08SEP17	B	1	PD08DEC17	2321.50	2525.93	USD	5,110.75	
08SEP17	S	1	PD08DEC17	2320.00	2525.93	USD	-5,148.25	
08SEP17	B	1	PD08DEC17	2314.50	2525.93	USD	5,285.75	
08SEP17	S	1	PD08DEC17	2321.00	2525.93	USD	-5,123.25	
08SEP17	S	1	PD08DEC17	2321.50	2525.93	USD	-5,110.75	
08SEP17	B	1	PD08DEC17	2319.50	2525.93	USD	5,160.75	
08SEP17	B	3	PD08DEC17	2316.00	2525.93	USD	15,744.75	
08SEP17	S	1	PD08DEC17	2304.50	2525.93	USD	-5,535.75	
08SEP17	B	1	PD08DEC17	2307.00	2525.93	USD	5,473.25	
08SEP17	B	2	PD08DEC17	2305.00	2525.93	USD	11,046.50	
08SEP17	B	1	PD08DEC17	2304.00	2525.93	USD	5,548.25	
08SEP17	B	1	PD08DEC17	2326.00	2525.93	USD	4,998.25	
08SEP17	B	1	PD08DEC17	2321.50	2525.93	USD	5,110.75	
08SEP17	B	1	PD08DEC17	2320.50	2525.93	USD	5,135.75	
08SEP17	B	1	PD08DEC17	2318.00	2525.93	USD	5,198.25	
08SEP17	B	1	PD08DEC17	2315.50	2525.93	USD	5,260.75	
08SEP17	S	1	PD08DEC17	2295.50	2525.93	USD	-5,760.75	
08SEP17	S	2	PD08DEC17	2296.00	2525.93	USD	-11,496.50	
08SEP17	S	1	PD08DEC17	2292.00	2525.93	USD	-5,848.25	
08SEP17	S	1	PD08DEC17	2290.50	2525.93	USD	-5,885.75	
08SEP17	S	2	PD08DEC17	2292.50	2525.93	USD	-11,671.50	
08SEP17	S	1	PD08DEC17	2291.50	2525.93	USD	-5,860.75	
08SEP17	S	2	PD08DEC17	2293.50	2525.93	USD	-11,621.50	
08SEP17	S	1	PD08DEC17	2294.50	2525.93	USD	-5,785.75	
08SEP17	S	4	PD08DEC17	2295.00	2525.93	USD	-23,093.00	
08SEP17	S	1	PD08DEC17	2300.00	2525.93	USD	-5,648.25	
08SEP17	B	1	PD08DEC17	2303.50	2525.93	USD	5,560.75	
08SEP17	S	2	PD08DEC17	2302.00	2525.93	USD	-11,196.50	
08SEP17	S	2	PD08DEC17	2305.50	2525.93	USD	-11,021.50	
08SEP17	S	1	PD08DEC17	2298.50	2525.93	USD	-5,685.75	
08SEP17	B	1	PD08DEC17	2283.50	2525.93	USD	6,060.75	
08SEP17	B	1	PD08DEC17	2281.00	2525.93	USD	6,123.25	
08SEP17	S	1	PD08DEC17	2295.50	2525.93	USD	-5,760.75	
08SEP17	S	1	PD08DEC17	2276.50	2525.93	USD	-6,235.75	
08SEP17	B	1	PD08DEC17	2270.00	2525.93	USD	6,398.25	
08SEP17	B	1	PD08DEC17	2268.50	2525.93	USD	6,435.75	
08SEP17	B	1	PD08DEC17	2268.00	2525.93	USD	6,448.25	
08SEP17	B	1	PD08DEC17	2267.50	2525.93	USD	6,460.75	
08SEP17	B	1	PD08DEC17	2266.50	2525.93	USD	6,485.75	
08SEP17	B	1	PD08DEC17	2266.00	2525.93	USD	6,498.25	
08SEP17	B	1	PD08DEC17	2265.50	2525.93	USD	6,510.75	
13SEP17	B	3	PD08DEC17	2288.43	2525.93	USD	17,812.50	

OPEN POSITION STATEMENT



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SAP0112C

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	<u>LME LEAD 25 TONNES. THIS IS AN</u>								
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	NET	0	AVG	BGHT 2308.72				2,017.75	0.00
				SOLD 2310.84					
11SEP17	B	1	PD11DEC17		2268.50	2526.39	USD	6,447.25	
11SEP17	B	2	PD11DEC17		2270.50	2526.39	USD	12,794.50	
11SEP17	B	1	PD11DEC17		2272.50	2526.39	USD	6,347.25	
11SEP17	B	2	PD11DEC17		2269.50	2526.39	USD	12,844.50	
11SEP17	B	1	PD11DEC17		2279.00	2526.39	USD	6,184.75	
11SEP17	S	1	PD11DEC17		2280.50	2526.39	USD	-6,147.25	
11SEP17	S	1	PD11DEC17		2296.00	2526.39	USD	-5,759.75	
11SEP17	S	1	PD11DEC17		2295.00	2526.39	USD	-5,784.75	
11SEP17	S	2	PD11DEC17		2295.50	2526.39	USD	-11,544.50	
11SEP17	S	1	PD11DEC17		2291.50	2526.39	USD	-5,872.25	
11SEP17	S	1	PD11DEC17		2292.50	2526.39	USD	-5,847.25	
11SEP17	S	3	PD11DEC17		2293.50	2526.39	USD	-17,466.75	
11SEP17	S	4	PD11DEC17		2287.50	2526.39	USD	-23,889.00	
11SEP17	S	1	PD11DEC17		2289.50	2526.39	USD	-5,922.25	
11SEP17	B	1	PD11DEC17		2284.00	2526.39	USD	6,059.75	
11SEP17	B	2	PD11DEC17		2283.50	2526.39	USD	12,144.50	
11SEP17	S	1	PD11DEC17		2267.50	2526.39	USD	-6,472.25	
11SEP17	B	4	PD11DEC17		2267.50	2526.39	USD	25,889.00	
11SEP17	B	1	PD11DEC17		2261.00	2526.39	USD	6,634.75	
11SEP17	B	1	PD11DEC17		2261.50	2526.39	USD	6,622.25	
11SEP17	B	2	PD11DEC17		2262.50	2526.39	USD	13,194.50	
11SEP17	B	1	PD11DEC17		2262.00	2526.39	USD	6,609.75	
11SEP17	S	1	PD11DEC17		2279.00	2526.39	USD	-6,184.75	
11SEP17	S	2	PD11DEC17		2283.00	2526.39	USD	-12,169.50	
11SEP17	S	2	PD11DEC17		2284.50	2526.39	USD	-12,094.50	
11SEP17	B	1	PD11DEC17		2278.00	2526.39	USD	6,209.75	
11SEP17	B	2	PD11DEC17		2277.50	2526.39	USD	12,444.50	
11SEP17	B	1	PD11DEC17		2276.00	2526.39	USD	6,259.75	
11SEP17	B	2	PD11DEC17		2279.50	2526.39	USD	12,344.50	
13SEP17	S	3	PD11DEC17		2289.17	2526.39	USD	-17,791.50	
13SEP17	S	1	PD11DEC17		2289.37	2526.39	USD	-5,925.50	
	NET	0	AVG	BGHT 2271.94				10,159.50	0.00
				SOLD 2288.20					
12SEP17	S	1	PD12DEC17		2276.50	2526.54	USD	-6,251.00	
12SEP17	S	1	PD12DEC17		2282.00	2526.54	USD	-6,113.50	
12SEP17	S	1	PD12DEC17		2285.00	2526.54	USD	-6,038.50	
12SEP17	S	1	PD12DEC17		2291.50	2526.54	USD	-5,876.00	
12SEP17	S	1	PD12DEC17		2288.00	2526.54	USD	-5,963.50	
12SEP17	B	1	PD12DEC17		2279.50	2526.54	USD	6,176.00	
12SEP17	B	2	PD12DEC17		2278.50	2526.54	USD	12,402.00	
12SEP17	B	2	PD12DEC17		2277.50	2526.54	USD	12,452.00	
12SEP17	B	1	PD12DEC17		2277.00	2526.54	USD	6,238.50	
12SEP17	B	1	PD12DEC17		2273.50	2526.54	USD	6,326.00	
12SEP17	B	1	PD12DEC17		2274.00	2526.54	USD	6,313.50	
12SEP17	B	1	PD12DEC17		2271.50	2526.54	USD	6,376.00	
12SEP17	B	1	PD12DEC17		2270.00	2526.54	USD	6,413.50	
12SEP17	S	1	PD12DEC17		2269.00	2526.54	USD	-6,438.50	
12SEP17	S	2	PD12DEC17		2271.00	2526.54	USD	-12,777.00	
12SEP17	B	2	PD12DEC17		2263.00	2526.54	USD	13,177.00	
12SEP17	B	1	PD12DEC17		2258.50	2526.54	USD	6,701.00	
12SEP17	S	3	PD12DEC17		2260.50	2526.54	USD	-19,953.00	
12SEP17	B	1	PD12DEC17		2262.50	2526.54	USD	6,601.00	
12SEP17	S	1	PD12DEC17		2263.50	2526.54	USD	-6,576.00	
12SEP17	S	1	PD12DEC17		2264.00	2526.54	USD	-6,563.50	
12SEP17	S	1	PD12DEC17		2266.00	2526.54	USD	-6,513.50	
12SEP17	S	1	PD12DEC17		2266.50	2526.54	USD	-6,501.00	
12SEP17	S	1	PD12DEC17		2268.50	2526.54	USD	-6,451.00	

OPEN POSITION STATEMENT



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	<u>LME LEAD 25 TONNES. THIS IS AN</u>							
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12SEP17	S	3	PD12DEC17	2269.50	2526.54	USD	-19,278.00	
12SEP17	B	1	PD12DEC17	2271.00	2526.54	USD	6,388.50	
12SEP17	S	1	PD12DEC17	2271.50	2526.54	USD	-6,376.00	
12SEP17	S	1	PD12DEC17	2273.50	2526.54	USD	-6,326.00	
12SEP17	S	2	PD12DEC17	2272.50	2526.54	USD	-12,702.00	
12SEP17	S	1	PD12DEC17	2273.00	2526.54	USD	-6,338.50	
12SEP17	S	2	PD12DEC17	2274.00	2526.54	USD	-12,627.00	
12SEP17	S	1	PD12DEC17	2274.50	2526.54	USD	-6,301.00	
12SEP17	S	1	PD12DEC17	2276.00	2526.54	USD	-6,263.50	
12SEP17	S	1	PD12DEC17	2277.50	2526.54	USD	-6,226.00	
12SEP17	S	1	PD12DEC17	2279.00	2526.54	USD	-6,188.50	
12SEP17	S	1	PD12DEC17	2287.00	2526.54	USD	-5,988.50	
12SEP17	S	2	PD12DEC17	2293.50	2526.54	USD	-11,652.00	
12SEP17	S	1	PD12DEC17	2297.00	2526.54	USD	-5,738.50	
12SEP17	S	2	PD12DEC17	2288.00	2526.54	USD	-11,927.00	
12SEP17	B	1	PD12DEC17	2307.50	2526.54	USD	5,476.00	
12SEP17	B	1	PD12DEC17	2306.00	2526.54	USD	5,513.50	
12SEP17	B	1	PD12DEC17	2305.00	2526.54	USD	5,538.50	
13SEP17	B	1	PD12DEC17	2289.89	2526.54	USD	5,916.25	
14SEP17	B	17	PD12DEC17	2306.43	2526.54	USD	93,546.75	
	NET	0AVG	BGHT 2291.48	SOLD 2275.49			-14,392.50	0.00
13SEP17	B	1	PD13DEC17	2291.00	2526.69	USD	5,892.25	
13SEP17	B	2	PD13DEC17	2285.50	2526.69	USD	12,059.50	
13SEP17	B	6	PD13DEC17	2285.00	2526.69	USD	36,253.50	
13SEP17	B	2	PD13DEC17	2286.00	2526.69	USD	12,034.50	
13SEP17	B	1	PD13DEC17	2288.00	2526.69	USD	5,967.25	
13SEP17	B	1	PD13DEC17	2287.50	2526.69	USD	5,979.75	
13SEP17	B	1	PD13DEC17	2289.00	2526.69	USD	5,942.25	
13SEP17	B	2	PD13DEC17	2284.00	2526.69	USD	12,134.50	
13SEP17	B	2	PD13DEC17	2284.50	2526.69	USD	12,109.50	
13SEP17	B	2	PD13DEC17	2283.50	2526.69	USD	12,159.50	
13SEP17	B	1	PD13DEC17	2281.50	2526.69	USD	6,129.75	
13SEP17	B	1	PD13DEC17	2279.50	2526.69	USD	6,179.75	
13SEP17	S	1	PD13DEC17	2285.00	2526.69	USD	-6,042.25	
13SEP17	S	1	PD13DEC17	2292.00	2526.69	USD	-5,867.25	
13SEP17	S	2	PD13DEC17	2294.00	2526.69	USD	-11,634.50	
13SEP17	S	1	PD13DEC17	2294.50	2526.69	USD	-5,804.75	
13SEP17	S	2	PD13DEC17	2297.50	2526.69	USD	-11,459.50	
13SEP17	S	1	PD13DEC17	2296.00	2526.69	USD	-5,767.25	
13SEP17	S	1	PD13DEC17	2300.50	2526.69	USD	-5,654.75	
13SEP17	S	3	PD13DEC17	2303.50	2526.69	USD	-16,739.25	
13SEP17	S	3	PD13DEC17	2298.00	2526.69	USD	-17,151.75	
13SEP17	S	3	PD13DEC17	2299.00	2526.69	USD	-17,076.75	
13SEP17	S	4	PD13DEC17	2299.50	2526.69	USD	-22,719.00	
13SEP17	S	1	PD13DEC17	2302.00	2526.69	USD	-5,617.25	
13SEP17	S	1	PD13DEC17	2308.50	2526.69	USD	-5,454.75	
13SEP17	S	1	PD13DEC17	2304.00	2526.69	USD	-5,567.25	
13SEP17	S	1	PD13DEC17	2308.50	2526.69	USD	-5,454.75	
13SEP17	B	1	PD13DEC17	2305.00	2526.69	USD	5,542.25	
13SEP17	B	1	PD13DEC17	2291.50	2526.69	USD	5,879.75	
13SEP17	B	2	PD13DEC17	2289.50	2526.69	USD	11,859.50	
13SEP17	B	1	PD13DEC17	2296.00	2526.69	USD	5,767.25	
13SEP17	B	1	PD13DEC17	2295.50	2526.69	USD	5,779.75	
13SEP17	B	1	PD13DEC17	2295.00	2526.69	USD	5,792.25	
13SEP17	B	3	PD13DEC17	2293.50	2526.69	USD	17,489.25	
13SEP17	B	1	PD13DEC17	2293.00	2526.69	USD	5,842.25	
13SEP17	B	4	PD13DEC17	2296.50	2526.69	USD	23,019.00	

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13SEP17	S	2	PD13DEC17	2296.50	2526.69	USD	-11,509.50	
13SEP17	B	2	PD13DEC17	2297.00	2526.69	USD	11,484.50	
13SEP17	B	1	PD13DEC17	2297.50	2526.69	USD	5,729.75	
13SEP17	B	3	PD13DEC17	2299.00	2526.69	USD	17,076.75	
14SEP17	S	17	PD13DEC17	2306.52	2526.69	USD	-93,572.25	
15SEP17	B	2	PD13DEC17	2358.45	2526.69	USD	8,412.00	
	NET	0	AVG BGHT 2293.34				9,423.50	0.00
			SOLD 2301.72					
14SEP17	B	1	PD14DEC17	2299.00	2526.84	USD	5,696.00	
14SEP17	B	1	PD14DEC17	2290.50	2526.84	USD	5,908.50	
14SEP17	S	2	PD14DEC17	2286.50	2526.84	USD	-12,017.00	
14SEP17	S	1	PD14DEC17	2290.00	2526.84	USD	-5,921.00	
14SEP17	S	1	PD14DEC17	2292.00	2526.84	USD	-5,871.00	
14SEP17	S	2	PD14DEC17	2289.00	2526.84	USD	-11,892.00	
14SEP17	S	4	PD14DEC17	2289.50	2526.84	USD	-23,734.00	
14SEP17	S	3	PD14DEC17	2290.50	2526.84	USD	-17,725.50	
14SEP17	S	2	PD14DEC17	2291.00	2526.84	USD	-11,792.00	
14SEP17	S	1	PD14DEC17	2291.50	2526.84	USD	-5,883.50	
14SEP17	B	1	PD14DEC17	2282.00	2526.84	USD	6,121.00	
14SEP17	B	2	PD14DEC17	2281.50	2526.84	USD	12,267.00	
14SEP17	B	1	PD14DEC17	2278.50	2526.84	USD	6,208.50	
14SEP17	B	1	PD14DEC17	2274.50	2526.84	USD	6,308.50	
14SEP17	B	2	PD14DEC17	2274.00	2526.84	USD	12,642.00	
14SEP17	B	1	PD14DEC17	2272.00	2526.84	USD	6,371.00	
14SEP17	B	2	PD14DEC17	2273.50	2526.84	USD	12,667.00	
14SEP17	B	3	PD14DEC17	2273.00	2526.84	USD	19,038.00	
14SEP17	B	2	PD14DEC17	2272.50	2526.84	USD	12,717.00	
14SEP17	B	1	PD14DEC17	2279.50	2526.84	USD	6,183.50	
14SEP17	B	2	PD14DEC17	2277.00	2526.84	USD	12,492.00	
14SEP17	B	1	PD14DEC17	2275.50	2526.84	USD	6,283.50	
14SEP17	B	3	PD14DEC17	2275.00	2526.84	USD	18,888.00	
14SEP17	B	1	PD14DEC17	2275.00	2526.84	USD	6,296.00	
14SEP17	S	2	PD14DEC17	2302.50	2526.84	USD	-11,217.00	
14SEP17	S	1	PD14DEC17	2302.00	2526.84	USD	-5,621.00	
14SEP17	S	1	PD14DEC17	2304.00	2526.84	USD	-5,571.00	
14SEP17	S	2	PD14DEC17	2305.50	2526.84	USD	-11,067.00	
14SEP17	S	1	PD14DEC17	2315.50	2526.84	USD	-5,283.50	
14SEP17	S	1	PD14DEC17	2316.50	2526.84	USD	-5,258.50	
14SEP17	S	2	PD14DEC17	2319.00	2526.84	USD	-10,392.00	
14SEP17	S	1	PD14DEC17	2324.00	2526.84	USD	-5,071.00	
14SEP17	S	1	PD14DEC17	2325.00	2526.84	USD	-5,046.00	
14SEP17	S	2	PD14DEC17	2322.50	2526.84	USD	-10,217.00	
14SEP17	S	1	PD14DEC17	2317.50	2526.84	USD	-5,233.50	
14SEP17	S	1	PD14DEC17	2319.50	2526.84	USD	-5,183.50	
14SEP17	S	1	PD14DEC17	2306.00	2526.84	USD	-5,521.00	
14SEP17	B	2	PD14DEC17	2305.00	2526.84	USD	11,092.00	
14SEP17	B	1	PD14DEC17	2311.00	2526.84	USD	5,396.00	
14SEP17	B	1	PD14DEC17	2311.50	2526.84	USD	5,383.50	
14SEP17	B	2	PD14DEC17	2312.00	2526.84	USD	10,742.00	
14SEP17	B	1	PD14DEC17	2314.50	2526.84	USD	5,308.50	
14SEP17	B	1	PD14DEC17	2308.50	2526.84	USD	5,458.50	
14SEP17	S	1	PD14DEC17	2313.50	2526.84	USD	-5,333.50	
14SEP17	S	1	PD14DEC17	2315.00	2526.84	USD	-5,296.00	
14SEP17	S	1	PD14DEC17	2317.00	2526.84	USD	-5,246.00	
14SEP17	B	1	PD14DEC17	2306.50	2526.84	USD	5,508.50	
14SEP17	B	1	PD14DEC17	2303.00	2526.84	USD	5,596.00	
14SEP17	B	3	PD14DEC17	2304.50	2526.84	USD	16,675.50	
14SEP17	B	3	PD14DEC17	2305.50	2526.84	USD	16,600.50	

OPEN POSITION STATEMENT



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SAP0112C

13th October 2017

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Suite A, 105 Piccadilly
London W1J 7NJ UK
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backoffice@sapiencap.com

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME LEAD 25 TONNES. THIS IS AN</u>							
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14SEP17	B	1	PD14DEC17	2304.00	2526.84	USD	5,571.00	
14SEP17	B	1	PD14DEC17	2302.50	2526.84	USD	5,608.50	
14SEP17	B	1	PD14DEC17	2301.00	2526.84	USD	5,646.00	
14SEP17	S	3	PD14DEC17	2308.00	2526.84	USD	-16,413.00	
14SEP17	S	2	PD14DEC17	2323.00	2526.84	USD	-10,192.00	
14SEP17	S	1	PD14DEC17	2323.50	2526.84	USD	-5,083.50	
14SEP17	B	1	PD14DEC17	2325.50	2526.84	USD	5,033.50	
14SEP17	B	2	PD14DEC17	2324.00	2526.84	USD	10,142.00	
15SEP17	S	2	PD14DEC17	2358.53	2526.84	USD	-8,415.50	
19SEP17	S	3	PD14DEC17	2420.00	2526.84	USD	-8,013.00	
	NET	0 AVG BGHT 2292.07	SOLD 2314.49				26,339.00	0.00
15SEP17	S	2	PD15DEC17	2326.00	2526.99	USD	-10,049.50	
15SEP17	S	1	PD15DEC17	2324.00	2526.99	USD	-5,074.75	
15SEP17	S	1	PD15DEC17	2321.00	2526.99	USD	-5,149.75	
15SEP17	S	3	PD15DEC17	2321.50	2526.99	USD	-15,411.75	
15SEP17	B	1	PD15DEC17	2328.00	2526.99	USD	4,974.75	
15SEP17	B	1	PD15DEC17	2327.00	2526.99	USD	4,999.75	
15SEP17	B	1	PD15DEC17	2326.00	2526.99	USD	5,024.75	
15SEP17	B	1	PD15DEC17	2316.00	2526.99	USD	5,274.75	
15SEP17	B	2	PD15DEC17	2313.50	2526.99	USD	10,674.50	
15SEP17	B	1	PD15DEC17	2315.50	2526.99	USD	5,287.25	
15SEP17	S	1	PD15DEC17	2323.00	2526.99	USD	-5,099.75	
15SEP17	S	2	PD15DEC17	2328.50	2526.99	USD	-9,924.50	
15SEP17	S	3	PD15DEC17	2328.00	2526.99	USD	-14,924.25	
15SEP17	S	1	PD15DEC17	2329.00	2526.99	USD	-4,949.75	
15SEP17	S	1	PD15DEC17	2329.50	2526.99	USD	-4,937.25	
15SEP17	S	2	PD15DEC17	2330.50	2526.99	USD	-9,824.50	
15SEP17	S	1	PD15DEC17	2331.00	2526.99	USD	-4,899.75	
15SEP17	S	1	PD15DEC17	2332.50	2526.99	USD	-4,862.25	
15SEP17	S	1	PD15DEC17	2333.00	2526.99	USD	-4,849.75	
15SEP17	S	1	PD15DEC17	2335.00	2526.99	USD	-4,799.75	
15SEP17	S	1	PD15DEC17	2333.50	2526.99	USD	-4,837.25	
15SEP17	S	1	PD15DEC17	2334.00	2526.99	USD	-4,824.75	
15SEP17	S	1	PD15DEC17	2336.50	2526.99	USD	-4,762.25	
15SEP17	S	1	PD15DEC17	2341.00	2526.99	USD	-4,649.75	
15SEP17	S	5	PD15DEC17	2342.50	2526.99	USD	-23,061.25	
15SEP17	S	1	PD15DEC17	2347.00	2526.99	USD	-4,499.75	
15SEP17	B	1	PD15DEC17	2350.00	2526.99	USD	4,424.75	
15SEP17	S	2	PD15DEC17	2350.50	2526.99	USD	-8,824.50	
15SEP17	B	1	PD15DEC17	2329.00	2526.99	USD	4,949.75	
15SEP17	B	1	PD15DEC17	2318.00	2526.99	USD	5,224.75	
15SEP17	B	1	PD15DEC17	2346.00	2526.99	USD	4,524.75	
15SEP17	S	1	PD15DEC17	2359.50	2526.99	USD	-4,187.25	
15SEP17	B	1	PD15DEC17	2345.00	2526.99	USD	4,549.75	
15SEP17	B	2	PD15DEC17	2344.00	2526.99	USD	9,149.50	
15SEP17	B	3	PD15DEC17	2341.00	2526.99	USD	13,949.25	
15SEP17	B	1	PD15DEC17	2342.50	2526.99	USD	4,612.25	
15SEP17	B	1	PD15DEC17	2335.00	2526.99	USD	4,799.75	
15SEP17	B	1	PD15DEC17	2333.50	2526.99	USD	4,837.25	
15SEP17	B	1	PD15DEC17	2332.50	2526.99	USD	4,862.25	
15SEP17	S	2	PD15DEC17	2346.00	2526.99	USD	-9,049.50	
15SEP17	B	1	PD15DEC17	2335.00	2526.99	USD	4,799.75	
15SEP17	S	1	PD15DEC17	2347.50	2526.99	USD	-4,487.25	
15SEP17	S	1	PD15DEC17	2349.00	2526.99	USD	-4,449.75	
15SEP17	S	1	PD15DEC17	2357.50	2526.99	USD	-4,237.25	
15SEP17	S	1	PD15DEC17	2362.00	2526.99	USD	-4,124.75	
15SEP17	B	3	PD15DEC17	2364.00	2526.99	USD	12,224.25	

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	<u>LME LEAD 25 TONNES. THIS IS AN</u>							
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15SEP17	B	5	PD15DEC17	2364.50	2526.99	USD	20,311.25	
15SEP17	B	1	PD15DEC17	2365.50	2526.99	USD	4,037.25	
15SEP17	B	1	PD15DEC17	2367.00	2526.99	USD	3,999.75	
15SEP17	B	5	PD15DEC17	2366.50	2526.99	USD	20,061.25	
15SEP17	B	2	PD15DEC17	2367.50	2526.99	USD	7,974.50	
15SEP17	B	1	PD15DEC17	2366.00	2526.99	USD	4,024.75	
15SEP17	S	1	PD15DEC17	2345.00	2526.99	USD	-4,549.75	
15SEP17	S	1	PD15DEC17	2359.00	2526.99	USD	-4,199.75	
15SEP17	S	1	PD15DEC17	2360.00	2526.99	USD	-4,174.75	
19SEP17	B	3	PD15DEC17	2420.45	2526.99	USD	7,990.50	
	NET	0 AVG BGHT 2352.53	SOLD 2337.52				-16,133.75	0.00
18SEP17	S	3	PD18DEC17	2394.50	2527.45	USD	-9,971.25	
18SEP17	B	1	PD18DEC17	2391.00	2527.45	USD	3,411.25	
18SEP17	S	1	PD18DEC17	2397.50	2527.45	USD	-3,248.75	
18SEP17	B	1	PD18DEC17	2391.50	2527.45	USD	3,398.75	
18SEP17	S	1	PD18DEC17	2390.50	2527.45	USD	-3,423.75	
18SEP17	S	1	PD18DEC17	2391.50	2527.45	USD	-3,398.75	
18SEP17	S	1	PD18DEC17	2387.50	2527.45	USD	-3,498.75	
18SEP17	B	1	PD18DEC17	2386.00	2527.45	USD	3,536.25	
18SEP17	S	1	PD18DEC17	2389.50	2527.45	USD	-3,448.75	
18SEP17	S	1	PD18DEC17	2392.00	2527.45	USD	-3,386.25	
18SEP17	S	1	PD18DEC17	2392.50	2527.45	USD	-3,373.75	
18SEP17	S	1	PD18DEC17	2393.00	2527.45	USD	-3,361.25	
18SEP17	S	1	PD18DEC17	2401.50	2527.45	USD	-3,148.75	
18SEP17	S	1	PD18DEC17	2403.00	2527.45	USD	-3,111.25	
18SEP17	S	1	PD18DEC17	2404.00	2527.45	USD	-3,086.25	
18SEP17	S	1	PD18DEC17	2407.00	2527.45	USD	-3,011.25	
18SEP17	S	1	PD18DEC17	2404.50	2527.45	USD	-3,073.75	
18SEP17	S	1	PD18DEC17	2406.00	2527.45	USD	-3,036.25	
18SEP17	S	1	PD18DEC17	2402.00	2527.45	USD	-3,136.25	
18SEP17	S	2	PD18DEC17	2398.50	2527.45	USD	-6,447.50	
18SEP17	B	1	PD18DEC17	2383.00	2527.45	USD	3,611.25	
18SEP17	B	1	PD18DEC17	2382.00	2527.45	USD	3,636.25	
18SEP17	B	1	PD18DEC17	2391.50	2527.45	USD	3,398.75	
18SEP17	B	1	PD18DEC17	2389.00	2527.45	USD	3,461.25	
18SEP17	B	2	PD18DEC17	2388.00	2527.45	USD	6,972.50	
18SEP17	B	1	PD18DEC17	2386.50	2527.45	USD	3,523.75	
18SEP17	S	1	PD18DEC17	2388.00	2527.45	USD	-3,486.25	
18SEP17	S	1	PD18DEC17	2393.50	2527.45	USD	-3,348.75	
18SEP17	S	1	PD18DEC17	2395.00	2527.45	USD	-3,311.25	
18SEP17	S	1	PD18DEC17	2394.00	2527.45	USD	-3,336.25	
18SEP17	S	1	PD18DEC17	2395.50	2527.45	USD	-3,298.75	
18SEP17	S	2	PD18DEC17	2389.00	2527.45	USD	-6,922.50	
18SEP17	S	1	PD18DEC17	2386.50	2527.45	USD	-3,523.75	
18SEP17	S	2	PD18DEC17	2388.50	2527.45	USD	-6,947.50	
18SEP17	B	2	PD18DEC17	2392.50	2527.45	USD	6,747.50	
18SEP17	B	1	PD18DEC17	2390.00	2527.45	USD	3,436.25	
18SEP17	B	3	PD18DEC17	2389.50	2527.45	USD	10,346.25	
18SEP17	B	3	PD18DEC17	2388.50	2527.45	USD	10,421.25	
18SEP17	B	1	PD18DEC17	2387.50	2527.45	USD	3,498.75	
18SEP17	B	4	PD18DEC17	2387.00	2527.45	USD	14,045.00	
18SEP17	B	2	PD18DEC17	2385.50	2527.45	USD	7,097.50	
18SEP17	B	2	PD18DEC17	2383.50	2527.45	USD	7,197.50	
18SEP17	B	1	PD18DEC17	2394.00	2527.45	USD	3,336.25	
18SEP17	B	1	PD18DEC17	2394.50	2527.45	USD	3,323.75	
19SEP17	S	5	PD18DEC17	2420.80	2527.45	USD	-13,331.25	
20SEP17	B	5	PD18DEC17	2459.69	2527.45	USD	8,470.00	

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	<u>LME LEAD 25 TONNES. THIS IS AN</u>								
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	NET	0	AVG	BGHT 2398.46	SOLD 2398.69			201.25	0.00
19SEP17	S	1	PD19DEC17		2391.50	2527.60	USD	-3,402.50	
19SEP17	S	1	PD19DEC17		2390.00	2527.60	USD	-3,440.00	
19SEP17	S	1	PD19DEC17		2391.00	2527.60	USD	-3,415.00	
19SEP17	S	1	PD19DEC17		2392.00	2527.60	USD	-3,390.00	
19SEP17	B	1	PD19DEC17		2382.00	2527.60	USD	3,640.00	
19SEP17	S	1	PD19DEC17		2385.00	2527.60	USD	-3,565.00	
19SEP17	S	1	PD19DEC17		2388.50	2527.60	USD	-3,477.50	
19SEP17	S	1	PD19DEC17		2392.50	2527.60	USD	-3,377.50	
19SEP17	S	2	PD19DEC17		2394.00	2527.60	USD	-6,680.00	
19SEP17	S	1	PD19DEC17		2393.00	2527.60	USD	-3,365.00	
19SEP17	S	2	PD19DEC17		2405.50	2527.60	USD	-6,105.00	
19SEP17	S	1	PD19DEC17		2406.00	2527.60	USD	-3,040.00	
19SEP17	S	1	PD19DEC17		2402.50	2527.60	USD	-3,127.50	
19SEP17	S	1	PD19DEC17		2403.00	2527.60	USD	-3,115.00	
19SEP17	B	2	PD19DEC17		2400.00	2527.60	USD	6,380.00	
19SEP17	B	1	PD19DEC17		2400.50	2527.60	USD	3,177.50	
19SEP17	B	1	PD19DEC17		2398.00	2527.60	USD	3,240.00	
19SEP17	B	3	PD19DEC17		2397.00	2527.60	USD	9,795.00	
19SEP17	S	2	PD19DEC17		2411.50	2527.60	USD	-5,805.00	
19SEP17	S	1	PD19DEC17		2411.00	2527.60	USD	-2,915.00	
19SEP17	S	1	PD19DEC17		2418.00	2527.60	USD	-2,740.00	
19SEP17	S	1	PD19DEC17		2414.00	2527.60	USD	-2,840.00	
19SEP17	S	2	PD19DEC17		2414.50	2527.60	USD	-5,655.00	
19SEP17	S	1	PD19DEC17		2416.50	2527.60	USD	-2,777.50	
19SEP17	S	1	PD19DEC17		2419.00	2527.60	USD	-2,715.00	
19SEP17	S	1	PD19DEC17		2421.00	2527.60	USD	-2,665.00	
19SEP17	B	1	PD19DEC17		2438.50	2527.60	USD	2,227.50	
19SEP17	S	1	PD19DEC17		2421.00	2527.60	USD	-2,665.00	
19SEP17	B	1	PD19DEC17		2432.50	2527.60	USD	2,377.50	
19SEP17	B	2	PD19DEC17		2432.00	2527.60	USD	4,780.00	
19SEP17	B	1	PD19DEC17		2429.00	2527.60	USD	2,465.00	
19SEP17	B	2	PD19DEC17		2428.00	2527.60	USD	4,980.00	
19SEP17	B	1	PD19DEC17		2423.00	2527.60	USD	2,615.00	
19SEP17	B	2	PD19DEC17		2420.00	2527.60	USD	5,380.00	
19SEP17	B	1	PD19DEC17		2425.00	2527.60	USD	2,565.00	
19SEP17	B	1	PD19DEC17		2425.50	2527.60	USD	2,552.50	
19SEP17	B	2	PD19DEC17		2424.50	2527.60	USD	5,155.00	
19SEP17	B	2	PD19DEC17		2423.50	2527.60	USD	5,205.00	
19SEP17	S	1	PD19DEC17		2422.00	2527.60	USD	-2,640.00	
19SEP17	B	6	PD19DEC17		2422.00	2527.60	USD	15,840.00	
19SEP17	B	1	PD19DEC17		2421.00	2527.60	USD	2,665.00	
19SEP17	B	1	PD19DEC17		2420.50	2527.60	USD	2,677.50	
20SEP17	S	5	PD19DEC17		2459.64	2527.60	USD	-8,495.00	
	NET	0	AVG	BGHT 2417.95	SOLD 2413.33			-3,695.00	0.00
20SEP17	B	1	PD20DEC17		2449.00	2527.75	USD	1,968.75	
20SEP17	S	1	PD20DEC17		2449.00	2527.75	USD	-1,968.75	
20SEP17	S	1	PD20DEC17		2449.50	2527.75	USD	-1,956.25	
20SEP17	S	1	PD20DEC17		2441.00	2527.75	USD	-2,168.75	
20SEP17	S	3	PD20DEC17		2441.50	2527.75	USD	-6,468.75	
20SEP17	S	1	PD20DEC17		2447.00	2527.75	USD	-2,018.75	
20SEP17	S	1	PD20DEC17		2448.50	2527.75	USD	-1,981.25	
20SEP17	S	1	PD20DEC17		2444.00	2527.75	USD	-2,093.75	
20SEP17	B	1	PD20DEC17		2449.50	2527.75	USD	1,956.25	
20SEP17	S	1	PD20DEC17		2455.50	2527.75	USD	-1,806.25	
20SEP17	S	2	PD20DEC17		2456.00	2527.75	USD	-3,587.50	
20SEP17	S	2	PD20DEC17		2459.00	2527.75	USD	-3,437.50	

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	<u>LME LEAD 25 TONNES. THIS IS AN</u>							
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20SEP17	S	1	PD20DEC17	2451.00	2527.75	USD	-1,918.75	
20SEP17	B	2	PD20DEC17	2455.00	2527.75	USD	3,637.50	
20SEP17	S	2	PD20DEC17	2456.50	2527.75	USD	-3,562.50	
20SEP17	B	1	PD20DEC17	2447.00	2527.75	USD	2,018.75	
20SEP17	B	1	PD20DEC17	2445.00	2527.75	USD	2,068.75	
20SEP17	B	2	PD20DEC17	2443.50	2527.75	USD	4,212.50	
20SEP17	B	2	PD20DEC17	2442.00	2527.75	USD	4,287.50	
20SEP17	S	2	PD20DEC17	2452.50	2527.75	USD	-3,762.50	
20SEP17	S	1	PD20DEC17	2451.50	2527.75	USD	-1,906.25	
20SEP17	B	1	PD20DEC17	2455.50	2527.75	USD	1,806.25	
20SEP17	S	1	PD20DEC17	2465.50	2527.75	USD	-1,556.25	
20SEP17	S	3	PD20DEC17	2462.50	2527.75	USD	-4,893.75	
20SEP17	S	2	PD20DEC17	2463.00	2527.75	USD	-3,237.50	
20SEP17	S	1	PD20DEC17	2468.00	2527.75	USD	-1,493.75	
20SEP17	S	1	PD20DEC17	2468.50	2527.75	USD	-1,481.25	
20SEP17	S	2	PD20DEC17	2467.50	2527.75	USD	-3,012.50	
20SEP17	S	1	PD20DEC17	2467.00	2527.75	USD	-1,518.75	
20SEP17	S	1	PD20DEC17	2442.00	2527.75	USD	-2,143.75	
20SEP17	S	1	PD20DEC17	2456.50	2527.75	USD	-1,781.25	
20SEP17	S	1	PD20DEC17	2470.00	2527.75	USD	-1,443.75	
20SEP17	B	1	PD20DEC17	2451.50	2527.75	USD	1,906.25	
20SEP17	B	2	PD20DEC17	2457.00	2527.75	USD	3,537.50	
20SEP17	B	2	PD20DEC17	2450.50	2527.75	USD	3,862.50	
20SEP17	B	3	PD20DEC17	2446.00	2527.75	USD	6,131.25	
20SEP17	S	1	PD20DEC17	2457.00	2527.75	USD	-1,768.75	
20SEP17	B	2	PD20DEC17	2454.00	2527.75	USD	3,687.50	
20SEP17	B	2	PD20DEC17	2453.50	2527.75	USD	3,712.50	
20SEP17	B	5	PD20DEC17	2454.50	2527.75	USD	9,156.25	
20SEP17	B	1	PD20DEC17	2458.00	2527.75	USD	1,743.75	
20SEP17	B	1	PD20DEC17	2458.50	2527.75	USD	1,731.25	
20SEP17	B	1	PD20DEC17	2457.50	2527.75	USD	1,756.25	
20SEP17	B	2	PD20DEC17	2454.50	2527.75	USD	3,662.50	
20SEP17	B	1	PD20DEC17	2457.00	2527.75	USD	1,768.75	
21SEP17	B	1	PD20DEC17	2518.20	2527.75	USD	238.75	
	NET	0 AVG BGHT 2453.63	SOLD 2455.79				1,882.50	0.00
21SEP17	S	1	PD21DEC17	2439.00	2526.75	USD	-2,193.75	
21SEP17	S	1	PD21DEC17	2441.50	2526.75	USD	-2,131.25	
21SEP17	S	1	PD21DEC17	2438.50	2526.75	USD	-2,206.25	
21SEP17	S	3	PD21DEC17	2436.00	2526.75	USD	-6,806.25	
21SEP17	B	1	PD21DEC17	2429.00	2526.75	USD	2,443.75	
21SEP17	B	1	PD21DEC17	2428.50	2526.75	USD	2,456.25	
21SEP17	B	1	PD21DEC17	2428.00	2526.75	USD	2,468.75	
21SEP17	B	1	PD21DEC17	2427.50	2526.75	USD	2,481.25	
21SEP17	S	1	PD21DEC17	2432.00	2526.75	USD	-2,368.75	
21SEP17	S	1	PD21DEC17	2433.00	2526.75	USD	-2,343.75	
21SEP17	S	1	PD21DEC17	2434.00	2526.75	USD	-2,318.75	
21SEP17	S	2	PD21DEC17	2435.50	2526.75	USD	-4,562.50	
21SEP17	S	1	PD21DEC17	2440.00	2526.75	USD	-2,168.75	
21SEP17	S	1	PD21DEC17	2437.50	2526.75	USD	-2,231.25	
21SEP17	S	1	PD21DEC17	2448.00	2526.75	USD	-1,968.75	
21SEP17	B	1	PD21DEC17	2457.50	2526.75	USD	1,731.25	
21SEP17	B	1	PD21DEC17	2464.00	2526.75	USD	1,568.75	
21SEP17	B	1	PD21DEC17	2462.00	2526.75	USD	1,618.75	
21SEP17	B	6	PD21DEC17	2458.00	2526.75	USD	10,312.50	
21SEP17	S	1	PD21DEC17	2460.50	2526.75	USD	-1,656.25	
21SEP17	S	1	PD21DEC17	2474.50	2526.75	USD	-1,306.25	
21SEP17	S	3	PD21DEC17	2473.50	2526.75	USD	-3,993.75	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0112C

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME LEAD 25 TONNES. THIS IS AN</u>							
	<u>LME REGISTERED CLIENT CONTRACT</u>							
21SEP17	S	6	PD21DEC17	2473.00	2526.75	USD	-8,062.50	
21SEP17	S	3	PD21DEC17	2472.50	2526.75	USD	-4,068.75	
21SEP17	B	1	PD21DEC17	2474.50	2526.75	USD	1,306.25	
21SEP17	B	2	PD21DEC17	2472.00	2526.75	USD	2,737.50	
21SEP17	B	5	PD21DEC17	2469.50	2526.75	USD	7,156.25	
21SEP17	B	1	PD21DEC17	2470.00	2526.75	USD	1,418.75	
21SEP17	S	1	PD21DEC17	2468.00	2526.75	USD	-1,468.75	
21SEP17	S	3	PD21DEC17	2469.00	2526.75	USD	-4,331.25	
21SEP17	S	1	PD21DEC17	2470.00	2526.75	USD	-1,418.75	
21SEP17	S	1	PD21DEC17	2471.50	2526.75	USD	-1,381.25	
21SEP17	B	3	PD21DEC17	2474.00	2526.75	USD	3,956.25	
21SEP17	B	1	PD21DEC17	2473.50	2526.75	USD	1,331.25	
21SEP17	B	3	PD21DEC17	2473.00	2526.75	USD	4,031.25	
21SEP17	B	1	PD21DEC17	2470.00	2526.75	USD	1,418.75	
21SEP17	B	1	PD21DEC17	2473.50	2526.75	USD	1,331.25	
21SEP17	B	3	PD21DEC17	2468.00	2526.75	USD	4,406.25	
21SEP17	B	1	PD21DEC17	2467.00	2526.75	USD	1,493.75	
21SEP17	S	1	PD21DEC17	2465.00	2526.75	USD	-1,543.75	
21SEP17	S	2	PD21DEC17	2464.00	2526.75	USD	-3,137.50	
21SEP17	S	1	PD21DEC17	2467.50	2526.75	USD	-1,481.25	
21SEP17	B	2	PD21DEC17	2467.50	2526.75	USD	2,962.50	
21SEP17	S	1	PD21DEC17	2476.50	2526.75	USD	-1,256.25	
21SEP17	S	1	PD21DEC17	2477.00	2526.75	USD	-1,243.75	
21SEP17	B	1	PD21DEC17	2477.00	2526.75	USD	1,243.75	
21SEP17	B	1	PD21DEC17	2477.50	2526.75	USD	1,231.25	
21SEP17	S	1	PD21DEC17	2483.00	2526.75	USD	-1,093.75	
21SEP17	B	1	PD21DEC17	2501.00	2526.75	USD	643.75	
21SEP17	B	1	PD21DEC17	2500.00	2526.75	USD	668.75	
21SEP17	B	3	PD21DEC17	2471.00	2526.75	USD	4,181.25	
21SEP17	B	1	PD21DEC17	2469.00	2526.75	USD	1,443.75	
21SEP17	S	1	PD21DEC17	2471.00	2526.75	USD	-1,393.75	
21SEP17	S	3	PD21DEC17	2472.00	2526.75	USD	-4,106.25	
21SEP17	S	2	PD21DEC17	2478.50	2526.75	USD	-2,412.50	
21SEP17	B	2	PD21DEC17	2464.50	2526.75	USD	3,112.50	
21SEP17	B	1	PD21DEC17	2460.00	2526.75	USD	1,668.75	
21SEP17	B	1	PD21DEC17	2447.00	2526.75	USD	1,993.75	
21SEP17	S	1	PD21DEC17	2448.50	2526.75	USD	-1,956.25	
21SEP17	B	1	PD21DEC17	2444.00	2526.75	USD	2,068.75	
21SEP17	B	1	PD21DEC17	2441.50	2526.75	USD	2,131.25	
21SEP17	B	1	PD21DEC17	2443.50	2526.75	USD	2,081.25	
21SEP17	S	1	PD21DEC17	2444.00	2526.75	USD	-2,068.75	
21SEP17	S	1	PD21DEC17	2444.50	2526.75	USD	-2,056.25	
21SEP17	S	1	PD21DEC17	2442.50	2526.75	USD	-2,106.25	
04OCT17	S	1	PD21DEC17	2559.50	2526.75	USD	818.75	
	NET	0AVG	BGHT 2464.37	SOLD 2462.12			-2,925.00	0.00
22SEP17	B	1	PD22DEC17	2412.00	2526.90	USD	2,872.50	
22SEP17	B	9	PD22DEC17	2413.00	2526.90	USD	25,627.50	
22SEP17	B	2	PD22DEC17	2413.50	2526.90	USD	5,670.00	
22SEP17	B	2	PD22DEC17	2420.50	2526.90	USD	5,320.00	
22SEP17	B	1	PD22DEC17	2418.50	2526.90	USD	2,710.00	
22SEP17	B	1	PD22DEC17	2417.00	2526.90	USD	2,747.50	
22SEP17	B	1	PD22DEC17	2416.50	2526.90	USD	2,760.00	
22SEP17	B	1	PD22DEC17	2416.00	2526.90	USD	2,772.50	
22SEP17	B	1	PD22DEC17	2423.00	2526.90	USD	2,597.50	
22SEP17	B	2	PD22DEC17	2422.00	2526.90	USD	5,245.00	
22SEP17	S	1	PD22DEC17	2408.00	2526.90	USD	-2,972.50	
22SEP17	B	1	PD22DEC17	2407.50	2526.90	USD	2,985.00	

OPEN POSITION STATEMENT



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SAP0112C

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME LEAD 25 TONNES. THIS IS AN</u>							
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22SEP17	B	1	PD22DEC17	2374.50	2526.90	USD	3,810.00	
22SEP17	S	1	PD22DEC17	2391.00	2526.90	USD	-3,397.50	
22SEP17	S	1	PD22DEC17	2387.50	2526.90	USD	-3,485.00	
22SEP17	S	1	PD22DEC17	2405.00	2526.90	USD	-3,047.50	
22SEP17	S	1	PD22DEC17	2405.50	2526.90	USD	-3,035.00	
22SEP17	S	1	PD22DEC17	2407.00	2526.90	USD	-2,997.50	
22SEP17	S	1	PD22DEC17	2408.50	2526.90	USD	-2,960.00	
22SEP17	S	1	PD22DEC17	2412.50	2526.90	USD	-2,860.00	
22SEP17	S	1	PD22DEC17	2417.50	2526.90	USD	-2,735.00	
22SEP17	S	1	PD22DEC17	2421.50	2526.90	USD	-2,635.00	
22SEP17	S	1	PD22DEC17	2422.00	2526.90	USD	-2,622.50	
22SEP17	S	2	PD22DEC17	2419.50	2526.90	USD	-5,370.00	
22SEP17	S	1	PD22DEC17	2423.50	2526.90	USD	-2,585.00	
22SEP17	S	2	PD22DEC17	2420.50	2526.90	USD	-5,320.00	
22SEP17	S	2	PD22DEC17	2419.00	2526.90	USD	-5,395.00	
22SEP17	S	2	PD22DEC17	2420.00	2526.90	USD	-5,345.00	
22SEP17	S	1	PD22DEC17	2454.50	2526.90	USD	-1,810.00	
22SEP17	S	2	PD22DEC17	2453.50	2526.90	USD	-3,670.00	
22SEP17	S	2	PD22DEC17	2453.00	2526.90	USD	-3,695.00	
22SEP17	B	1	PD22DEC17	2458.00	2526.90	USD	1,722.50	
22SEP17	B	1	PD22DEC17	2478.00	2526.90	USD	1,222.50	
22SEP17	B	1	PD22DEC17	2420.00	2526.90	USD	2,672.50	
22SEP17	S	1	PD22DEC17	2382.00	2526.90	USD	-3,622.50	
22SEP17	S	1	PD22DEC17	2455.50	2526.90	USD	-1,785.00	
22SEP17	B	1	PD22DEC17	2461.00	2526.90	USD	1,647.50	
22SEP17	B	1	PD22DEC17	2460.00	2526.90	USD	1,672.50	
22SEP17	B	1	PD22DEC17	2473.50	2526.90	USD	1,335.00	
22SEP17	S	1	PD22DEC17	2471.50	2526.90	USD	-1,385.00	
22SEP17	S	2	PD22DEC17	2475.00	2526.90	USD	-2,595.00	
22SEP17	S	2	PD22DEC17	2476.00	2526.90	USD	-2,545.00	
22SEP17	S	2	PD22DEC17	2479.00	2526.90	USD	-2,395.00	
22SEP17	S	1	PD22DEC17	2481.00	2526.90	USD	-1,147.50	
22SEP17	S	1	PD22DEC17	2483.00	2526.90	USD	-1,097.50	
22SEP17	B	1	PD22DEC17	2475.50	2526.90	USD	1,285.00	
22SEP17	S	2	PD22DEC17	2477.50	2526.90	USD	-2,470.00	
22SEP17	B	1	PD22DEC17	2489.00	2526.90	USD	947.50	
22SEP17	B	1	PD22DEC17	2487.00	2526.90	USD	997.50	
22SEP17	B	2	PD22DEC17	2488.00	2526.90	USD	1,945.00	
22SEP17	B	2	PD22DEC17	2488.50	2526.90	USD	1,920.00	
22SEP17	B	1	PD22DEC17	2490.00	2526.90	USD	922.50	
04OCT17	B	1	PD22DEC17	2559.74	2526.90	USD	-821.00	
	NET	0	AVG BGHT 2439.97	SOLD 2437.45			-2,393.50	0.00
25SEP17	B	1	PD27DEC17	2495.00	2527.64	USD	816.00	
25SEP17	B	1	PD27DEC17	2473.50	2527.64	USD	1,353.50	
25SEP17	B	1	PD27DEC17	2471.50	2527.64	USD	1,403.50	
25SEP17	B	2	PD27DEC17	2468.50	2527.64	USD	2,957.00	
25SEP17	B	1	PD27DEC17	2472.00	2527.64	USD	1,391.00	
25SEP17	B	1	PD27DEC17	2470.00	2527.64	USD	1,441.00	
25SEP17	S	2	PD27DEC17	2490.50	2527.64	USD	-1,857.00	
25SEP17	S	1	PD27DEC17	2482.50	2527.64	USD	-1,128.50	
25SEP17	S	1	PD27DEC17	2483.00	2527.64	USD	-1,116.00	
25SEP17	S	2	PD27DEC17	2484.50	2527.64	USD	-2,157.00	
25SEP17	S	2	PD27DEC17	2486.00	2527.64	USD	-2,082.00	
25SEP17	S	2	PD27DEC17	2487.00	2527.64	USD	-2,032.00	
25SEP17	S	2	PD27DEC17	2498.00	2527.64	USD	-1,482.00	
25SEP17	S	1	PD27DEC17	2499.00	2527.64	USD	-716.00	
25SEP17	S	1	PD27DEC17	2500.50	2527.64	USD	-678.50	

OPEN POSITION STATEMENT



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13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME LEAD 25 TONNES. THIS IS AN</u>							
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25SEP17	S	2	PD27DEC17	2502.00	2527.64	USD	-1,282.00	
25SEP17	S	2	PD27DEC17	2501.00	2527.64	USD	-1,332.00	
25SEP17	B	1	PD27DEC17	2482.50	2527.64	USD	1,128.50	
25SEP17	S	1	PD27DEC17	2500.00	2527.64	USD	-691.00	
25SEP17	S	1	PD27DEC17	2508.00	2527.64	USD	-491.00	
25SEP17	S	2	PD27DEC17	2502.50	2527.64	USD	-1,257.00	
25SEP17	B	2	PD27DEC17	2483.50	2527.64	USD	2,207.00	
25SEP17	B	1	PD27DEC17	2483.00	2527.64	USD	1,116.00	
25SEP17	B	2	PD27DEC17	2480.00	2527.64	USD	2,382.00	
25SEP17	B	2	PD27DEC17	2473.00	2527.64	USD	2,732.00	
25SEP17	B	1	PD27DEC17	2474.00	2527.64	USD	1,341.00	
25SEP17	B	1	PD27DEC17	2477.50	2527.64	USD	1,253.50	
25SEP17	B	2	PD27DEC17	2474.50	2527.64	USD	2,657.00	
25SEP17	B	1	PD27DEC17	2481.00	2527.64	USD	1,166.00	
26SEP17	S	2	PD27DEC17	2496.00	2527.64	USD	-1,582.00	
26SEP17	S	1	PD27DEC17	2489.50	2527.64	USD	-953.50	
26SEP17	S	1	PD27DEC17	2494.50	2527.64	USD	-828.50	
26SEP17	S	1	PD27DEC17	2498.00	2527.64	USD	-741.00	
26SEP17	S	1	PD27DEC17	2498.50	2527.64	USD	-728.50	
26SEP17	S	1	PD27DEC17	2492.50	2527.64	USD	-878.50	
26SEP17	S	1	PD27DEC17	2508.50	2527.64	USD	-478.50	
26SEP17	B	1	PD27DEC17	2504.50	2527.64	USD	578.50	
26SEP17	B	1	PD27DEC17	2504.00	2527.64	USD	591.00	
26SEP17	B	1	PD27DEC17	2495.00	2527.64	USD	816.00	
26SEP17	B	3	PD27DEC17	2503.00	2527.64	USD	1,848.00	
26SEP17	B	1	PD27DEC17	2499.00	2527.64	USD	716.00	
26SEP17	B	2	PD27DEC17	2497.50	2527.64	USD	1,507.00	
26SEP17	B	1	PD27DEC17	2496.00	2527.64	USD	791.00	
26SEP17	B	1	PD27DEC17	2495.50	2527.64	USD	803.50	
26SEP17	B	1	PD27DEC17	2494.50	2527.64	USD	828.50	
26SEP17	B	1	PD27DEC17	2491.50	2527.64	USD	903.50	
26SEP17	B	1	PD27DEC17	2491.00	2527.64	USD	916.00	
26SEP17	B	1	PD27DEC17	2486.00	2527.64	USD	1,041.00	
26SEP17	S	1	PD27DEC17	2502.50	2527.64	USD	-628.50	
26SEP17	S	1	PD27DEC17	2503.50	2527.64	USD	-603.50	
26SEP17	S	2	PD27DEC17	2504.00	2527.64	USD	-1,182.00	
26SEP17	S	1	PD27DEC17	2505.50	2527.64	USD	-553.50	
26SEP17	S	1	PD27DEC17	2506.00	2527.64	USD	-541.00	
26SEP17	S	2	PD27DEC17	2495.50	2527.64	USD	-1,607.00	
26SEP17	S	2	PD27DEC17	2496.50	2527.64	USD	-1,557.00	
26SEP17	S	1	PD27DEC17	2497.50	2527.64	USD	-753.50	
26SEP17	S	2	PD27DEC17	2494.00	2527.64	USD	-1,682.00	
26SEP17	S	1	PD27DEC17	2487.50	2527.64	USD	-1,003.50	
26SEP17	S	1	PD27DEC17	2487.00	2527.64	USD	-1,016.00	
26SEP17	S	1	PD27DEC17	2491.00	2527.64	USD	-916.00	
26SEP17	S	1	PD27DEC17	2484.00	2527.64	USD	-1,091.00	
26SEP17	S	1	PD27DEC17	2470.50	2527.64	USD	-1,428.50	
26SEP17	B	1	PD27DEC17	2472.50	2527.64	USD	1,378.50	
26SEP17	B	1	PD27DEC17	2469.50	2527.64	USD	1,453.50	
26SEP17	B	1	PD27DEC17	2464.50	2527.64	USD	1,578.50	
26SEP17	S	1	PD27DEC17	2485.50	2527.64	USD	-1,053.50	
26SEP17	B	1	PD27DEC17	2489.50	2527.64	USD	953.50	
26SEP17	B	2	PD27DEC17	2487.50	2527.64	USD	2,007.00	
26SEP17	B	1	PD27DEC17	2486.50	2527.64	USD	1,028.50	
26SEP17	B	1	PD27DEC17	2483.50	2527.64	USD	1,103.50	
26SEP17	B	1	PD27DEC17	2485.00	2527.64	USD	1,066.00	
26SEP17	B	1	PD27DEC17	2483.00	2527.64	USD	1,116.00	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0112C

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME LEAD 25 TONNES. THIS IS AN</u>							
	<u>LME REGISTERED CLIENT CONTRACT</u>							
26SEP17	B	1	PD27DEC17	2483.50	2527.64	USD	1,103.50	
26SEP17	B	1	PD27DEC17	2484.00	2527.64	USD	1,091.00	
27SEP17	S	1	PD27DEC17	2483.00	2527.64	USD	-1,116.00	
27SEP17	S	3	PD27DEC17	2480.00	2527.64	USD	-3,573.00	
27SEP17	S	1	PD27DEC17	2480.50	2527.64	USD	-1,178.50	
27SEP17	B	1	PD27DEC17	2476.50	2527.64	USD	1,278.50	
27SEP17	S	1	PD27DEC17	2478.50	2527.64	USD	-1,228.50	
27SEP17	S	2	PD27DEC17	2481.50	2527.64	USD	-2,307.00	
27SEP17	S	1	PD27DEC17	2483.50	2527.64	USD	-1,103.50	
27SEP17	S	3	PD27DEC17	2484.50	2527.64	USD	-3,235.50	
27SEP17	S	2	PD27DEC17	2482.50	2527.64	USD	-2,257.00	
27SEP17	S	1	PD27DEC17	2482.00	2527.64	USD	-1,141.00	
27SEP17	S	1	PD27DEC17	2470.50	2527.64	USD	-1,428.50	
27SEP17	B	1	PD27DEC17	2459.00	2527.64	USD	1,716.00	
27SEP17	B	1	PD27DEC17	2461.00	2527.64	USD	1,666.00	
27SEP17	B	1	PD27DEC17	2459.50	2527.64	USD	1,703.50	
27SEP17	B	1	PD27DEC17	2453.00	2527.64	USD	1,866.00	
27SEP17	B	1	PD27DEC17	2447.00	2527.64	USD	2,016.00	
04OCT17	B	12	PD27DEC17	2559.71	2527.64	USD	-9,621.00	
	NET	0 AVG	BGHT 2496.14	SOLD 2491.53			-7,488.00	0.00
28SEP17	B	3	PD28DEC17	2448.00	2527.78	USD	5,983.50	
28SEP17	B	2	PD28DEC17	2451.00	2527.78	USD	3,839.00	
28SEP17	B	1	PD28DEC17	2463.00	2527.78	USD	1,619.50	
28SEP17	B	1	PD28DEC17	2462.50	2527.78	USD	1,632.00	
28SEP17	B	1	PD28DEC17	2468.50	2527.78	USD	1,482.00	
28SEP17	B	1	PD28DEC17	2468.00	2527.78	USD	1,494.50	
28SEP17	B	1	PD28DEC17	2467.50	2527.78	USD	1,507.00	
28SEP17	S	1	PD28DEC17	2468.50	2527.78	USD	-1,482.00	
28SEP17	B	2	PD28DEC17	2472.00	2527.78	USD	2,789.00	
28SEP17	S	2	PD28DEC17	2473.00	2527.78	USD	-2,739.00	
28SEP17	B	1	PD28DEC17	2474.00	2527.78	USD	1,344.50	
28SEP17	B	1	PD28DEC17	2475.50	2527.78	USD	1,307.00	
28SEP17	B	1	PD28DEC17	2487.00	2527.78	USD	1,019.50	
28SEP17	B	1	PD28DEC17	2458.00	2527.78	USD	1,744.50	
28SEP17	B	1	PD28DEC17	2455.50	2527.78	USD	1,807.00	
28SEP17	S	1	PD28DEC17	2461.50	2527.78	USD	-1,657.00	
28SEP17	B	1	PD28DEC17	2457.50	2527.78	USD	1,757.00	
28SEP17	B	1	PD28DEC17	2458.50	2527.78	USD	1,732.00	
28SEP17	S	1	PD28DEC17	2470.50	2527.78	USD	-1,432.00	
28SEP17	S	1	PD28DEC17	2483.50	2527.78	USD	-1,107.00	
04OCT17	S	12	PD28DEC17	2559.55	2527.78	USD	9,531.00	
04OCT17	S	1	PD28DEC17	2559.75	2527.78	USD	799.25	
	NET	0 AVG	BGHT 2462.39	SOLD 2531.81			32,971.25	0.00
29SEP17	S	2	PD29DEC17	2504.00	2527.93	USD	-1,196.50	
29SEP17	S	1	PD29DEC17	2500.00	2527.93	USD	-698.25	
29SEP17	S	1	PD29DEC17	2503.50	2527.93	USD	-610.75	
29SEP17	S	1	PD29DEC17	2499.50	2527.93	USD	-710.75	
29SEP17	S	2	PD29DEC17	2498.50	2527.93	USD	-1,471.50	
29SEP17	S	1	PD29DEC17	2498.00	2527.93	USD	-748.25	
29SEP17	S	1	PD29DEC17	2496.50	2527.93	USD	-785.75	
29SEP17	S	1	PD29DEC17	2503.50	2527.93	USD	-610.75	
29SEP17	B	1	PD29DEC17	2501.00	2527.93	USD	673.25	
29SEP17	B	2	PD29DEC17	2500.50	2527.93	USD	1,371.50	
29SEP17	B	2	PD29DEC17	2499.00	2527.93	USD	1,446.50	
29SEP17	B	2	PD29DEC17	2497.50	2527.93	USD	1,521.50	
29SEP17	B	1	PD29DEC17	2499.50	2527.93	USD	710.75	
29SEP17	B	1	PD29DEC17	2494.50	2527.93	USD	835.75	

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	<u>LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
04OCT17	B 1 PD29DEC17	2559.99	2527.93	USD	-801.50	
	NET 0AVG BGHT 2504.90 SOLD 2500.60				-1,074.75	0.00
02OCT17	S 1 PD02JAN18	2537.00	2528.52	USD	212.00	
04OCT17	B 1 PD02JAN18	2559.93	2528.52	USD	-785.25	
	NET 0AVG BGHT 2559.93 SOLD 2537.00				-573.25	0.00
03OCT17	S 2 PD03JAN18	2515.50	2528.67	USD	-658.50	
03OCT17	S 1 PD03JAN18	2523.50	2528.67	USD	-129.25	
03OCT17	S 1 PD03JAN18	2522.00	2528.67	USD	-166.75	
03OCT17	S 1 PD03JAN18	2522.50	2528.67	USD	-154.25	
03OCT17	B 1 PD03JAN18	2520.50	2528.67	USD	204.25	
03OCT17	B 2 PD03JAN18	2518.00	2528.67	USD	533.50	
03OCT17	B 2 PD03JAN18	2519.00	2528.67	USD	483.50	
03OCT17	B 1 PD03JAN18	2517.00	2528.67	USD	291.75	
03OCT17	B 1 PD03JAN18	2515.00	2528.67	USD	341.75	
03OCT17	B 1 PD03JAN18	2513.50	2528.67	USD	379.25	
03OCT17	B 1 PD03JAN18	2513.00	2528.67	USD	391.75	
03OCT17	B 1 PD03JAN18	2507.00	2528.67	USD	541.75	
03OCT17	B 3 PD03JAN18	2504.00	2528.67	USD	1,850.25	
03OCT17	B 1 PD03JAN18	2518.00	2528.67	USD	266.75	
03OCT17	B 1 PD03JAN18	2488.50	2528.67	USD	1,004.25	
03OCT17	S 1 PD03JAN18	2509.00	2528.67	USD	-491.75	
03OCT17	S 1 PD03JAN18	2509.50	2528.67	USD	-479.25	
03OCT17	S 1 PD03JAN18	2511.50	2528.67	USD	-429.25	
03OCT17	S 1 PD03JAN18	2518.50	2528.67	USD	-254.25	
03OCT17	S 2 PD03JAN18	2519.50	2528.67	USD	-458.50	
03OCT17	S 2 PD03JAN18	2520.00	2528.67	USD	-433.50	
03OCT17	S 1 PD03JAN18	2520.50	2528.67	USD	-204.25	
03OCT17	S 4 PD03JAN18	2524.00	2528.67	USD	-467.00	
03OCT17	S 1 PD03JAN18	2524.50	2528.67	USD	-104.25	
03OCT17	S 1 PD03JAN18	2521.50	2528.67	USD	-179.25	
03OCT17	S 3 PD03JAN18	2525.00	2528.67	USD	-275.25	
03OCT17	S 1 PD03JAN18	2530.50	2528.67	USD	45.75	
03OCT17	S 1 PD03JAN18	2531.50	2528.67	USD	70.75	
03OCT17	S 1 PD03JAN18	2532.00	2528.67	USD	83.25	
03OCT17	S 1 PD03JAN18	2552.50	2528.67	USD	595.75	
03OCT17	S 1 PD03JAN18	2563.00	2528.67	USD	858.25	
03OCT17	S 1 PD03JAN18	2566.50	2528.67	USD	945.75	
03OCT17	B 1 PD03JAN18	2555.00	2528.67	USD	-658.25	
03OCT17	B 1 PD03JAN18	2552.00	2528.67	USD	-583.25	
03OCT17	B 1 PD03JAN18	2551.00	2528.67	USD	-558.25	
03OCT17	B 1 PD03JAN18	2546.00	2528.67	USD	-433.25	
03OCT17	S 1 PD03JAN18	2555.00	2528.67	USD	658.25	
03OCT17	S 1 PD03JAN18	2562.50	2528.67	USD	845.75	
03OCT17	S 1 PD03JAN18	2568.50	2528.67	USD	995.75	
03OCT17	S 1 PD03JAN18	2584.50	2528.67	USD	1,395.75	
03OCT17	S 1 PD03JAN18	2585.50	2528.67	USD	1,420.75	
03OCT17	S 1 PD03JAN18	2586.00	2528.67	USD	1,433.25	
03OCT17	S 2 PD03JAN18	2587.00	2528.67	USD	2,916.50	
03OCT17	S 1 PD03JAN18	2593.00	2528.67	USD	1,608.25	
03OCT17	S 1 PD03JAN18	2591.00	2528.67	USD	1,558.25	
03OCT17	B 2 PD03JAN18	2592.50	2528.67	USD	-3,191.50	
03OCT17	B 2 PD03JAN18	2597.00	2528.67	USD	-3,416.50	
03OCT17	B 1 PD03JAN18	2596.50	2528.67	USD	-1,695.75	
03OCT17	B 1 PD03JAN18	2565.00	2528.67	USD	-908.25	
04OCT17	S 1 PD03JAN18	2559.76	2528.67	USD	777.25	
05OCT17	B 6 PD03JAN18	2592.00	2528.67	USD	-9,499.50	
10OCT17	B 9 PD03JAN18	2555.50	2528.67	USD	-6,036.75	

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	<u>LME LEAD 25 TONNES. THIS IS AN</u>								
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	NET	0 AVG	BGHT	2549.36				-9,368.50	0.00
				SOLD 2539.99					
04OCT17	B	1	PD04JAN18		2609.00	2528.82	USD	-2,004.50	
04OCT17	B	1	PD04JAN18		2611.50	2528.82	USD	-2,067.00	
04OCT17	B	1	PD04JAN18		2577.50	2528.82	USD	-1,217.00	
04OCT17	B	1	PD04JAN18		2577.00	2528.82	USD	-1,204.50	
04OCT17	S	1	PD04JAN18		2574.00	2528.82	USD	1,129.50	
04OCT17	B	1	PD04JAN18		2569.00	2528.82	USD	-1,004.50	
04OCT17	S	1	PD04JAN18		2584.50	2528.82	USD	1,392.00	
04OCT17	S	1	PD04JAN18		2591.00	2528.82	USD	1,554.50	
04OCT17	S	2	PD04JAN18		2598.00	2528.82	USD	3,459.00	
04OCT17	B	1	PD04JAN18		2600.50	2528.82	USD	-1,792.00	
04OCT17	B	2	PD04JAN18		2567.50	2528.82	USD	-1,934.00	
04OCT17	S	1	PD04JAN18		2569.50	2528.82	USD	1,017.00	
04OCT17	S	1	PD04JAN18		2584.00	2528.82	USD	1,379.50	
04OCT17	S	1	PD04JAN18		2590.50	2528.82	USD	1,542.00	
04OCT17	S	1	PD04JAN18		2590.00	2528.82	USD	1,529.50	
04OCT17	S	1	PD04JAN18		2592.00	2528.82	USD	1,579.50	
04OCT17	B	1	PD04JAN18		2563.50	2528.82	USD	-867.00	
04OCT17	B	1	PD04JAN18		2565.00	2528.82	USD	-904.50	
04OCT17	B	1	PD04JAN18		2566.50	2528.82	USD	-942.00	
04OCT17	B	1	PD04JAN18		2558.50	2528.82	USD	-742.00	
04OCT17	B	2	PD04JAN18		2555.00	2528.82	USD	-1,309.00	
04OCT17	B	1	PD04JAN18		2550.50	2528.82	USD	-542.00	
04OCT17	B	1	PD04JAN18		2545.50	2528.82	USD	-417.00	
05OCT17	S	6	PD04JAN18		2591.80	2528.82	USD	9,447.00	
	NET	0 AVG	BGHT	2571.19				7,082.50	0.00
				SOLD 2588.89					
05OCT17	S	1	PD05JAN18		2544.50	2528.97	USD	388.25	
05OCT17	S	1	PD05JAN18		2543.00	2528.97	USD	350.75	
05OCT17	S	1	PD05JAN18		2540.50	2528.97	USD	288.25	
05OCT17	S	1	PD05JAN18		2551.50	2528.97	USD	563.25	
05OCT17	S	1	PD05JAN18		2557.50	2528.97	USD	713.25	
05OCT17	S	1	PD05JAN18		2569.50	2528.97	USD	1,013.25	
05OCT17	S	1	PD05JAN18		2574.00	2528.97	USD	1,125.75	
05OCT17	B	1	PD05JAN18		2588.00	2528.97	USD	-1,475.75	
05OCT17	B	1	PD05JAN18		2580.50	2528.97	USD	-1,288.25	
06OCT17	B	1	PD05JAN18		2574.50	2528.97	USD	-1,138.25	
06OCT17	S	1	PD05JAN18		2573.00	2528.97	USD	1,100.75	
06OCT17	B	1	PD05JAN18		2564.00	2528.97	USD	-875.75	
06OCT17	B	1	PD05JAN18		2563.50	2528.97	USD	-863.25	
06OCT17	B	1	PD05JAN18		2561.50	2528.97	USD	-813.25	
06OCT17	B	3	PD05JAN18		2559.00	2528.97	USD	-2,252.25	
06OCT17	B	2	PD05JAN18		2558.00	2528.97	USD	-1,451.50	
06OCT17	B	1	PD05JAN18		2562.50	2528.97	USD	-838.25	
06OCT17	S	1	PD05JAN18		2543.50	2528.97	USD	363.25	
06OCT17	S	1	PD05JAN18		2550.00	2528.97	USD	525.75	
06OCT17	S	1	PD05JAN18		2545.00	2528.97	USD	400.75	
06OCT17	S	1	PD05JAN18		2544.50	2528.97	USD	388.25	
06OCT17	B	1	PD05JAN18		2526.50	2528.97	USD	61.75	
06OCT17	B	1	PD05JAN18		2524.50	2528.97	USD	111.75	
06OCT17	S	1	PD05JAN18		2522.00	2528.97	USD	-174.25	
06OCT17	S	1	PD05JAN18		2521.50	2528.97	USD	-186.75	
06OCT17	S	1	PD05JAN18		2522.50	2528.97	USD	-161.75	
06OCT17	S	1	PD05JAN18		2537.50	2528.97	USD	213.25	
06OCT17	B	1	PD05JAN18		2537.00	2528.97	USD	-200.75	
06OCT17	B	1	PD05JAN18		2536.50	2528.97	USD	-188.25	
06OCT17	B	1	PD05JAN18		2535.00	2528.97	USD	-150.75	
10OCT17	S	1	PD05JAN18		2555.73	2528.97	USD	669.00	

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	NET	0 AVG	BGHT	2555.71		SOLD		2546.81	
								-3,781.75	0.00
09OCT17	B	2	PD09JAN18		2522.00	2529.56	USD	378.00	
09OCT17	B	1	PD09JAN18		2520.50	2529.56	USD	226.50	
09OCT17	B	1	PD09JAN18		2517.00	2529.56	USD	314.00	
09OCT17	B	1	PD09JAN18		2506.00	2529.56	USD	589.00	
09OCT17	S	1	PD09JAN18		2512.00	2529.56	USD	-439.00	
09OCT17	B	1	PD09JAN18		2498.50	2529.56	USD	776.50	
09OCT17	B	1	PD09JAN18		2493.50	2529.56	USD	901.50	
09OCT17	B	1	PD09JAN18		2493.00	2529.56	USD	914.00	
09OCT17	B	1	PD09JAN18		2491.50	2529.56	USD	951.50	
10OCT17	S	8	PD09JAN18		2556.59	2529.56	USD	5,406.00	
	NET	0 AVG	BGHT	2507.11		SOLD		2551.64	
								10,018.00	0.00
10OCT17	B	1	PD10JAN18		2484.50	2529.70	USD	1,130.00	
10OCT17	S	1	PD10JAN18		2505.00	2529.70	USD	-617.50	
10OCT17	S	1	PD10JAN18		2535.00	2529.70	USD	132.50	
10OCT17	B	1	PD10JAN18		2558.00	2529.70	USD	-707.50	
	NET	0 AVG	BGHT	2521.25		SOLD		2520.00	
								-62.50	0.00
11OCT17	B	1	PD11JAN18		2562.00	2529.85	USD	-803.75	
	NET	+1 AVG	BGHT	2562.00				-803.75	0.00
12OCT17	S	1	PD12JAN18		2554.00	2530.00	USD	600.00	
	NET	-1 AVG	SOLD	2554.00				600.00	0.00
	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>								
17JUL17	S	1	PD17OCT17		9680.00	11610.50	USD	-11,583.00	
18JUL17	B	1	PD17OCT17		9781.00	11610.50	USD	10,977.00	
	NET	0 AVG	BGHT	9781.00		SOLD		9680.00	
								-606.00	0.00
20JUL17	B	1	PD20OCT17		9575.00	11611.35	USD	12,218.10	
21JUL17	S	1	PD20OCT17		9580.00	11611.35	USD	-12,188.10	
	NET	0 AVG	BGHT	9575.00		SOLD		9580.00	
								30.00	0.00
31JUL17	B	1	PD31OCT17		10285.00	11620.72	USD	8,014.32	
03AUG17	S	1	PD31OCT17		10379.36	11620.72	USD	-7,448.16	
	NET	0 AVG	BGHT	10285.00		SOLD		10379.36	
								566.16	0.00
02AUG17	S	1	PD02NOV17		10270.00	11622.43	USD	-8,114.58	
03AUG17	B	1	PD02NOV17		10380.79	11622.43	USD	7,449.84	
	NET	0 AVG	BGHT	10380.79		SOLD		10270.00	
								-664.74	0.00
29AUG17	S	1	PD29NOV17		11800.00	11643.10	USD	941.40	
29AUG17	B	1	PD29NOV17		11695.00	11643.10	USD	-311.40	
	NET	0 AVG	BGHT	11695.00		SOLD		11800.00	
								630.00	0.00
28SEP17	B	1	PD28DEC17		10320.00	11663.59	USD	8,061.54	
04OCT17	S	1	PD28DEC17		10608.47	11663.59	USD	-6,330.72	
	NET	0 AVG	BGHT	10320.00		SOLD		10608.47	
								1,730.82	0.00
29SEP17	S	1	PD29DEC17		10495.00	11664.35	USD	-7,016.10	
04OCT17	B	1	PD29DEC17		10610.40	11664.35	USD	6,323.70	
	NET	0 AVG	BGHT	10610.40		SOLD		10495.00	
								-692.40	0.00
02OCT17	B	1	PD02JAN18		10340.00	11667.39	USD	7,964.34	
04OCT17	S	1	PD02JAN18		10613.13	11667.39	USD	-6,325.56	
	NET	0 AVG	BGHT	10340.00		SOLD		10613.13	
								1,638.78	0.00
03OCT17	S	1	PD03JAN18		10485.00	11668.15	USD	-7,098.90	
04OCT17	B	1	PD03JAN18		10615.07	11668.15	USD	6,318.48	
	NET	0 AVG	BGHT	10615.07		SOLD		10485.00	
								-780.42	0.00
05OCT17	S	1	PD05JAN18		10600.00	11669.67	USD	-6,418.02	
05OCT17	B	1	PD05JAN18		10560.00	11669.67	USD	6,658.02	
	NET	0 AVG	BGHT	10560.00		SOLD		10600.00	
								240.00	0.00

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0112C

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
17JUL17	S	2	PD17OCT17	2820.00	3294.00	USD	-23,700.00	
17JUL17	B	1	PD17OCT17	2813.50	3294.00	USD	12,012.50	
17JUL17	S	1	PD17OCT17	2821.00	3294.00	USD	-11,825.00	
17JUL17	S	1	PD17OCT17	2826.00	3294.00	USD	-11,700.00	
17JUL17	S	2	PD17OCT17	2815.00	3294.00	USD	-23,950.00	
17JUL17	B	2	PD17OCT17	2814.50	3294.00	USD	23,975.00	
17JUL17	S	2	PD17OCT17	2821.50	3294.00	USD	-23,625.00	
17JUL17	S	1	PD17OCT17	2826.50	3294.00	USD	-11,687.50	
17JUL17	S	7	PD17OCT17	2814.00	3294.00	USD	-84,000.00	
17JUL17	S	5	PD17OCT17	2824.50	3294.00	USD	-58,687.50	
17JUL17	S	3	PD17OCT17	2825.50	3294.00	USD	-35,137.50	
17JUL17	S	1	PD17OCT17	2830.50	3294.00	USD	-11,587.50	
17JUL17	S	1	PD17OCT17	2831.50	3294.00	USD	-11,562.50	
17JUL17	S	1	PD17OCT17	2833.50	3294.00	USD	-11,512.50	
17JUL17	S	4	PD17OCT17	2832.00	3294.00	USD	-46,200.00	
17JUL17	S	1	PD17OCT17	2835.50	3294.00	USD	-11,462.50	
17JUL17	B	1	PD17OCT17	2830.50	3294.00	USD	11,587.50	
17JUL17	B	2	PD17OCT17	2828.00	3294.00	USD	23,300.00	
17JUL17	B	1	PD17OCT17	2828.50	3294.00	USD	11,637.50	
17JUL17	S	1	PD17OCT17	2824.00	3294.00	USD	-11,750.00	
17JUL17	B	3	PD17OCT17	2821.50	3294.00	USD	35,437.50	
17JUL17	B	1	PD17OCT17	2820.00	3294.00	USD	11,850.00	
17JUL17	B	1	PD17OCT17	2810.00	3294.00	USD	12,100.00	
17JUL17	B	5	PD17OCT17	2816.00	3294.00	USD	59,750.00	
17JUL17	S	3	PD17OCT17	2823.50	3294.00	USD	-35,287.50	
17JUL17	S	5	PD17OCT17	2829.00	3294.00	USD	-58,125.00	
17JUL17	S	5	PD17OCT17	2829.50	3294.00	USD	-58,062.50	
17JUL17	S	1	PD17OCT17	2830.00	3294.00	USD	-11,600.00	
17JUL17	S	3	PD17OCT17	2834.00	3294.00	USD	-34,500.00	
17JUL17	S	1	PD17OCT17	2838.00	3294.00	USD	-11,400.00	
17JUL17	S	2	PD17OCT17	2839.00	3294.00	USD	-22,750.00	
17JUL17	S	1	PD17OCT17	2841.00	3294.00	USD	-11,325.00	
17JUL17	S	2	PD17OCT17	2842.00	3294.00	USD	-22,600.00	
17JUL17	S	4	PD17OCT17	2840.00	3294.00	USD	-45,400.00	
17JUL17	S	6	PD17OCT17	2843.00	3294.00	USD	-67,650.00	
17JUL17	S	3	PD17OCT17	2843.50	3294.00	USD	-33,787.50	
17JUL17	S	1	PD17OCT17	2846.50	3294.00	USD	-11,187.50	
17JUL17	B	2	PD17OCT17	2827.00	3294.00	USD	23,350.00	
17JUL17	B	1	PD17OCT17	2824.00	3294.00	USD	11,750.00	
17JUL17	S	1	PD17OCT17	2814.00	3294.00	USD	-12,000.00	
17JUL17	S	3	PD17OCT17	2815.50	3294.00	USD	-35,887.50	
17JUL17	S	1	PD17OCT17	2824.00	3294.00	USD	-11,750.00	
17JUL17	S	1	PD17OCT17	2811.50	3294.00	USD	-12,062.50	
17JUL17	S	6	PD17OCT17	2831.00	3294.00	USD	-69,450.00	
17JUL17	S	4	PD17OCT17	2835.00	3294.00	USD	-45,900.00	
17JUL17	B	4	PD17OCT17	2817.00	3294.00	USD	47,700.00	
17JUL17	B	3	PD17OCT17	2816.50	3294.00	USD	35,812.50	
17JUL17	B	6	PD17OCT17	2815.50	3294.00	USD	71,775.00	
17JUL17	B	6	PD17OCT17	2813.00	3294.00	USD	72,150.00	
17JUL17	B	4	PD17OCT17	2818.50	3294.00	USD	47,550.00	
17JUL17	B	3	PD17OCT17	2818.00	3294.00	USD	35,700.00	
17JUL17	B	3	PD17OCT17	2817.50	3294.00	USD	35,737.50	
17JUL17	B	7	PD17OCT17	2819.00	3294.00	USD	83,125.00	
17JUL17	B	7	PD17OCT17	2820.50	3294.00	USD	82,862.50	

OPEN POSITION STATEMENT



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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
17JUL17	B	6	PD17OCT17	2819.50	3294.00	USD	71,175.00	
17JUL17	B	1	PD17OCT17	2822.00	3294.00	USD	11,800.00	
17JUL17	B	2	PD17OCT17	2822.50	3294.00	USD	23,575.00	
17JUL17	B	6	PD17OCT17	2821.00	3294.00	USD	70,950.00	
19JUL17	B	4	PD17OCT17	2747.54	3294.00	USD	54,646.00	
24JUL17	B	4	PD17OCT17	2788.86	3294.00	USD	50,514.00	
	NET	0	AVG BGHT 2814.08				32,710.00	0.00
			SOLD 2829.30					
18JUL17	S	4	PD18OCT17	2818.50	3291.00	USD	-47,250.00	
18JUL17	S	1	PD18OCT17	2822.50	3291.00	USD	-11,712.50	
18JUL17	S	3	PD18OCT17	2825.00	3291.00	USD	-34,950.00	
18JUL17	S	2	PD18OCT17	2815.00	3291.00	USD	-23,800.00	
18JUL17	S	1	PD18OCT17	2815.50	3291.00	USD	-11,887.50	
18JUL17	B	1	PD18OCT17	2805.00	3291.00	USD	12,150.00	
18JUL17	B	1	PD18OCT17	2799.50	3291.00	USD	12,287.50	
18JUL17	B	1	PD18OCT17	2801.00	3291.00	USD	12,250.00	
18JUL17	B	2	PD18OCT17	2798.50	3291.00	USD	24,625.00	
18JUL17	B	1	PD18OCT17	2799.00	3291.00	USD	12,300.00	
18JUL17	B	2	PD18OCT17	2791.00	3291.00	USD	25,000.00	
18JUL17	B	2	PD18OCT17	2792.00	3291.00	USD	24,950.00	
18JUL17	B	1	PD18OCT17	2790.50	3291.00	USD	12,512.50	
18JUL17	B	9	PD18OCT17	2791.50	3291.00	USD	112,387.50	
18JUL17	S	1	PD18OCT17	2799.00	3291.00	USD	-12,300.00	
18JUL17	S	1	PD18OCT17	2800.00	3291.00	USD	-12,275.00	
18JUL17	S	2	PD18OCT17	2801.50	3291.00	USD	-24,475.00	
18JUL17	S	4	PD18OCT17	2802.00	3291.00	USD	-48,900.00	
18JUL17	S	1	PD18OCT17	2797.50	3291.00	USD	-12,337.50	
18JUL17	B	1	PD18OCT17	2794.00	3291.00	USD	12,425.00	
18JUL17	S	1	PD18OCT17	2795.50	3291.00	USD	-12,387.50	
18JUL17	B	1	PD18OCT17	2796.00	3291.00	USD	12,375.00	
18JUL17	B	2	PD18OCT17	2795.00	3291.00	USD	24,800.00	
18JUL17	B	5	PD18OCT17	2792.50	3291.00	USD	62,312.50	
18JUL17	S	5	PD18OCT17	2802.50	3291.00	USD	-61,062.50	
18JUL17	S	9	PD18OCT17	2803.50	3291.00	USD	-109,687.50	
18JUL17	S	1	PD18OCT17	2804.50	3291.00	USD	-12,162.50	
18JUL17	S	2	PD18OCT17	2800.50	3291.00	USD	-24,525.00	
18JUL17	B	1	PD18OCT17	2796.50	3291.00	USD	12,362.50	
18JUL17	B	2	PD18OCT17	2800.50	3291.00	USD	24,525.00	
18JUL17	B	10	PD18OCT17	2800.00	3291.00	USD	122,750.00	
18JUL17	B	3	PD18OCT17	2797.00	3291.00	USD	37,050.00	
18JUL17	B	3	PD18OCT17	2795.50	3291.00	USD	37,162.50	
18JUL17	B	2	PD18OCT17	2793.50	3291.00	USD	24,875.00	
18JUL17	B	3	PD18OCT17	2793.00	3291.00	USD	37,350.00	
18JUL17	B	1	PD18OCT17	2790.00	3291.00	USD	12,525.00	
18JUL17	B	2	PD18OCT17	2789.00	3291.00	USD	25,100.00	
18JUL17	B	1	PD18OCT17	2787.50	3291.00	USD	12,587.50	
18JUL17	B	1	PD18OCT17	2786.50	3291.00	USD	12,612.50	
18JUL17	B	2	PD18OCT17	2785.50	3291.00	USD	25,275.00	
18JUL17	B	1	PD18OCT17	2784.50	3291.00	USD	12,662.50	
18JUL17	B	1	PD18OCT17	2778.00	3291.00	USD	12,825.00	
18JUL17	B	1	PD18OCT17	2778.50	3291.00	USD	12,812.50	
18JUL17	B	1	PD18OCT17	2777.50	3291.00	USD	12,837.50	
18JUL17	B	1	PD18OCT17	2774.00	3291.00	USD	12,925.00	
18JUL17	B	1	PD18OCT17	2810.00	3291.00	USD	12,025.00	
18JUL17	B	1	PD18OCT17	2809.50	3291.00	USD	12,037.50	
18JUL17	B	1	PD18OCT17	2798.00	3291.00	USD	12,325.00	
18JUL17	B	3	PD18OCT17	2793.50	3291.00	USD	37,312.50	
18JUL17	S	2	PD18OCT17	2776.50	3291.00	USD	-25,725.00	

OPEN POSITION STATEMENT



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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
18JUL17	S	1	PD180CT17	2779.50	3291.00	USD	-12,787.50	
18JUL17	S	1	PD180CT17	2780.50	3291.00	USD	-12,762.50	
18JUL17	S	1	PD180CT17	2783.50	3291.00	USD	-12,687.50	
18JUL17	S	1	PD180CT17	2788.50	3291.00	USD	-12,562.50	
18JUL17	S	1	PD180CT17	2783.00	3291.00	USD	-12,700.00	
18JUL17	S	3	PD180CT17	2784.00	3291.00	USD	-38,025.00	
18JUL17	B	1	PD180CT17	2784.00	3291.00	USD	12,675.00	
18JUL17	S	3	PD180CT17	2792.00	3291.00	USD	-37,425.00	
18JUL17	S	3	PD180CT17	2787.50	3291.00	USD	-37,762.50	
18JUL17	S	3	PD180CT17	2786.50	3291.00	USD	-37,837.50	
18JUL17	S	1	PD180CT17	2790.00	3291.00	USD	-12,525.00	
18JUL17	S	1	PD180CT17	2790.50	3291.00	USD	-12,512.50	
18JUL17	B	2	PD180CT17	2789.50	3291.00	USD	25,075.00	
18JUL17	S	1	PD180CT17	2791.00	3291.00	USD	-12,500.00	
18JUL17	S	3	PD180CT17	2787.00	3291.00	USD	-37,800.00	
18JUL17	B	7	PD180CT17	2779.00	3291.00	USD	89,600.00	
18JUL17	B	2	PD180CT17	2775.50	3291.00	USD	25,775.00	
18JUL17	S	1	PD180CT17	2786.00	3291.00	USD	-12,625.00	
18JUL17	S	10	PD180CT17	2788.00	3291.00	USD	-125,750.00	
18JUL17	S	4	PD180CT17	2784.50	3291.00	USD	-50,650.00	
18JUL17	B	1	PD180CT17	2780.00	3291.00	USD	12,775.00	
18JUL17	S	1	PD180CT17	2784.00	3291.00	USD	-12,675.00	
18JUL17	S	2	PD180CT17	2791.50	3291.00	USD	-24,975.00	
18JUL17	S	1	PD180CT17	2792.50	3291.00	USD	-12,462.50	
18JUL17	S	1	PD180CT17	2793.50	3291.00	USD	-12,437.50	
18JUL17	B	3	PD180CT17	2794.50	3291.00	USD	37,237.50	
18JUL17	B	1	PD180CT17	2793.00	3291.00	USD	12,450.00	
19JUL17	S	5	PD180CT17	2747.55	3291.00	USD	-67,931.25	
	NET	0 AVG BGHT 2791.95	SOLD 2793.35				3,068.75	0.00
19JUL17	S	1	PD190CT17	2779.00	3286.50	USD	-12,687.50	
19JUL17	S	1	PD190CT17	2779.50	3286.50	USD	-12,675.00	
19JUL17	S	1	PD190CT17	2781.00	3286.50	USD	-12,637.50	
19JUL17	S	2	PD190CT17	2784.00	3286.50	USD	-25,125.00	
19JUL17	S	1	PD190CT17	2784.50	3286.50	USD	-12,550.00	
19JUL17	S	2	PD190CT17	2787.00	3286.50	USD	-24,975.00	
19JUL17	S	2	PD190CT17	2789.00	3286.50	USD	-24,875.00	
19JUL17	S	1	PD190CT17	2790.50	3286.50	USD	-12,400.00	
19JUL17	S	9	PD190CT17	2789.50	3286.50	USD	-111,825.00	
19JUL17	S	1	PD190CT17	2781.50	3286.50	USD	-12,625.00	
19JUL17	S	2	PD190CT17	2782.50	3286.50	USD	-25,200.00	
19JUL17	S	3	PD190CT17	2783.00	3286.50	USD	-37,762.50	
19JUL17	S	2	PD190CT17	2796.50	3286.50	USD	-24,500.00	
19JUL17	B	1	PD190CT17	2791.00	3286.50	USD	12,387.50	
19JUL17	S	1	PD190CT17	2795.50	3286.50	USD	-12,275.00	
19JUL17	S	4	PD190CT17	2796.00	3286.50	USD	-49,050.00	
19JUL17	B	1	PD190CT17	2789.50	3286.50	USD	12,425.00	
19JUL17	B	1	PD190CT17	2783.00	3286.50	USD	12,587.50	
19JUL17	B	3	PD190CT17	2781.50	3286.50	USD	37,875.00	
19JUL17	B	2	PD190CT17	2781.00	3286.50	USD	25,275.00	
19JUL17	B	2	PD190CT17	2782.00	3286.50	USD	25,225.00	
19JUL17	B	3	PD190CT17	2780.50	3286.50	USD	37,950.00	
19JUL17	B	2	PD190CT17	2779.00	3286.50	USD	25,375.00	
19JUL17	B	4	PD190CT17	2779.50	3286.50	USD	50,700.00	
19JUL17	B	3	PD190CT17	2778.50	3286.50	USD	38,100.00	
19JUL17	B	4	PD190CT17	2778.00	3286.50	USD	50,850.00	
19JUL17	B	1	PD190CT17	2782.50	3286.50	USD	12,600.00	
19JUL17	B	1	PD190CT17	2784.00	3286.50	USD	12,562.50	

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
19JUL17	B	5	PD19OCT17	2780.00	3286.50	USD	63,312.50	
19JUL17	B	2	PD19OCT17	2772.50	3286.50	USD	25,700.00	
19JUL17	B	1	PD19OCT17	2775.50	3286.50	USD	12,775.00	
19JUL17	B	1	PD19OCT17	2774.50	3286.50	USD	12,800.00	
19JUL17	B	2	PD19OCT17	2773.50	3286.50	USD	25,650.00	
19JUL17	B	4	PD19OCT17	2775.00	3286.50	USD	51,150.00	
19JUL17	B	3	PD19OCT17	2773.00	3286.50	USD	38,512.50	
19JUL17	S	1	PD19OCT17	2783.00	3286.50	USD	-12,587.50	
19JUL17	B	2	PD19OCT17	2781.00	3286.50	USD	25,275.00	
19JUL17	B	1	PD19OCT17	2780.50	3286.50	USD	12,650.00	
19JUL17	S	1	PD19OCT17	2766.00	3286.50	USD	-13,012.50	
19JUL17	S	2	PD19OCT17	2777.50	3286.50	USD	-25,450.00	
19JUL17	B	1	PD19OCT17	2765.00	3286.50	USD	13,037.50	
19JUL17	B	2	PD19OCT17	2767.50	3286.50	USD	25,950.00	
19JUL17	S	1	PD19OCT17	2767.50	3286.50	USD	-12,975.00	
19JUL17	B	1	PD19OCT17	2760.50	3286.50	USD	13,150.00	
19JUL17	B	2	PD19OCT17	2751.50	3286.50	USD	26,750.00	
19JUL17	B	1	PD19OCT17	2753.00	3286.50	USD	13,337.50	
19JUL17	S	1	PD19OCT17	2758.50	3286.50	USD	-13,200.00	
19JUL17	S	1	PD19OCT17	2760.00	3286.50	USD	-13,162.50	
19JUL17	S	1	PD19OCT17	2762.00	3286.50	USD	-13,112.50	
19JUL17	S	1	PD19OCT17	2763.00	3286.50	USD	-13,087.50	
19JUL17	S	1	PD19OCT17	2763.50	3286.50	USD	-13,075.00	
19JUL17	S	1	PD19OCT17	2764.00	3286.50	USD	-13,062.50	
19JUL17	S	1	PD19OCT17	2765.00	3286.50	USD	-13,037.50	
19JUL17	B	3	PD19OCT17	2758.00	3286.50	USD	39,637.50	
19JUL17	S	1	PD19OCT17	2749.50	3286.50	USD	-13,425.00	
19JUL17	B	2	PD19OCT17	2767.50	3286.50	USD	25,950.00	
19JUL17	B	1	PD19OCT17	2766.00	3286.50	USD	13,012.50	
19JUL17	B	1	PD19OCT17	2745.00	3286.50	USD	13,537.50	
19JUL17	B	1	PD19OCT17	2743.50	3286.50	USD	13,575.00	
19JUL17	B	2	PD19OCT17	2743.00	3286.50	USD	27,175.00	
19JUL17	B	1	PD19OCT17	2744.00	3286.50	USD	13,562.50	
19JUL17	S	2	PD19OCT17	2740.50	3286.50	USD	-27,300.00	
19JUL17	S	2	PD19OCT17	2738.50	3286.50	USD	-27,400.00	
19JUL17	S	2	PD19OCT17	2740.00	3286.50	USD	-27,325.00	
19JUL17	B	1	PD19OCT17	2738.00	3286.50	USD	13,712.50	
19JUL17	B	3	PD19OCT17	2737.50	3286.50	USD	41,175.00	
19JUL17	S	1	PD19OCT17	2734.50	3286.50	USD	-13,800.00	
19JUL17	S	3	PD19OCT17	2741.00	3286.50	USD	-40,912.50	
19JUL17	S	1	PD19OCT17	2734.50	3286.50	USD	-13,800.00	
24JUL17	S	10	PD19OCT17	2787.50	3286.50	USD	-124,750.00	
25JUL17	S	4	PD19OCT17	2823.00	3286.50	USD	-46,350.00	
	NET	0AVG BGHT 2770.84	SOLD 2778.34				13,312.50	0.00
20JUL17	S	1	PD20OCT17	2741.50	3282.00	USD	-13,512.50	
20JUL17	S	1	PD20OCT17	2743.00	3282.00	USD	-13,475.00	
20JUL17	S	1	PD20OCT17	2740.50	3282.00	USD	-13,537.50	
20JUL17	S	4	PD20OCT17	2738.00	3282.00	USD	-54,400.00	
20JUL17	B	1	PD20OCT17	2733.00	3282.00	USD	13,725.00	
20JUL17	B	2	PD20OCT17	2737.50	3282.00	USD	27,225.00	
20JUL17	B	1	PD20OCT17	2736.50	3282.00	USD	13,637.50	
20JUL17	B	2	PD20OCT17	2735.00	3282.00	USD	27,350.00	
20JUL17	B	1	PD20OCT17	2735.50	3282.00	USD	13,662.50	
20JUL17	B	3	PD20OCT17	2733.50	3282.00	USD	41,137.50	
20JUL17	B	1	PD20OCT17	2729.00	3282.00	USD	13,825.00	
20JUL17	B	3	PD20OCT17	2731.00	3282.00	USD	41,325.00	
20JUL17	B	1	PD20OCT17	2728.00	3282.00	USD	13,850.00	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0112C

13th October 2017

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Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
20JUL17	S	1	PD200CT17	2732.00	3282.00	USD	-13,750.00	
20JUL17	S	1	PD200CT17	2735.00	3282.00	USD	-13,675.00	
20JUL17	S	3	PD200CT17	2736.50	3282.00	USD	-40,912.50	
20JUL17	S	1	PD200CT17	2738.50	3282.00	USD	-13,587.50	
20JUL17	S	4	PD200CT17	2740.00	3282.00	USD	-54,200.00	
20JUL17	S	1	PD200CT17	2742.50	3282.00	USD	-13,487.50	
20JUL17	S	3	PD200CT17	2741.00	3282.00	USD	-40,575.00	
20JUL17	S	5	PD200CT17	2743.50	3282.00	USD	-67,312.50	
20JUL17	S	4	PD200CT17	2744.00	3282.00	USD	-53,800.00	
20JUL17	S	2	PD200CT17	2744.50	3282.00	USD	-26,875.00	
20JUL17	S	1	PD200CT17	2745.00	3282.00	USD	-13,425.00	
20JUL17	S	2	PD200CT17	2745.50	3282.00	USD	-26,825.00	
20JUL17	S	1	PD200CT17	2750.50	3282.00	USD	-13,287.50	
20JUL17	S	2	PD200CT17	2751.50	3282.00	USD	-26,525.00	
20JUL17	S	5	PD200CT17	2751.00	3282.00	USD	-66,375.00	
20JUL17	S	7	PD200CT17	2752.00	3282.00	USD	-92,750.00	
20JUL17	S	1	PD200CT17	2752.50	3282.00	USD	-13,237.50	
20JUL17	S	1	PD200CT17	2754.50	3282.00	USD	-13,187.50	
20JUL17	S	1	PD200CT17	2755.00	3282.00	USD	-13,175.00	
20JUL17	S	1	PD200CT17	2756.00	3282.00	USD	-13,150.00	
20JUL17	S	1	PD200CT17	2757.00	3282.00	USD	-13,125.00	
20JUL17	S	1	PD200CT17	2755.50	3282.00	USD	-13,162.50	
20JUL17	B	1	PD200CT17	2750.00	3282.00	USD	13,300.00	
20JUL17	B	1	PD200CT17	2747.00	3282.00	USD	13,375.00	
20JUL17	B	5	PD200CT17	2746.00	3282.00	USD	67,000.00	
20JUL17	B	3	PD200CT17	2745.50	3282.00	USD	40,237.50	
20JUL17	S	2	PD200CT17	2749.50	3282.00	USD	-26,625.00	
20JUL17	B	1	PD200CT17	2745.00	3282.00	USD	13,425.00	
20JUL17	B	3	PD200CT17	2738.50	3282.00	USD	40,762.50	
20JUL17	B	7	PD200CT17	2737.00	3282.00	USD	95,375.00	
20JUL17	S	1	PD200CT17	2741.00	3282.00	USD	-13,525.00	
20JUL17	B	1	PD200CT17	2745.50	3282.00	USD	13,412.50	
20JUL17	B	1	PD200CT17	2746.50	3282.00	USD	13,387.50	
20JUL17	S	3	PD200CT17	2748.50	3282.00	USD	-40,012.50	
20JUL17	B	1	PD200CT17	2743.50	3282.00	USD	13,462.50	
20JUL17	B	4	PD200CT17	2744.00	3282.00	USD	53,800.00	
20JUL17	B	6	PD200CT17	2743.00	3282.00	USD	80,850.00	
20JUL17	B	2	PD200CT17	2741.50	3282.00	USD	27,025.00	
20JUL17	B	5	PD200CT17	2742.50	3282.00	USD	67,437.50	
20JUL17	B	10	PD200CT17	2741.00	3282.00	USD	135,250.00	
20JUL17	B	6	PD200CT17	2740.50	3282.00	USD	81,225.00	
20JUL17	B	5	PD200CT17	2740.00	3282.00	USD	67,750.00	
20JUL17	B	7	PD200CT17	2739.50	3282.00	USD	94,937.50	
20JUL17	S	5	PD200CT17	2742.00	3282.00	USD	-67,500.00	
20JUL17	S	1	PD200CT17	2748.00	3282.00	USD	-13,350.00	
20JUL17	B	1	PD200CT17	2748.00	3282.00	USD	13,350.00	
20JUL17	S	4	PD200CT17	2747.50	3282.00	USD	-53,450.00	
20JUL17	S	6	PD200CT17	2737.50	3282.00	USD	-81,675.00	
20JUL17	S	6	PD200CT17	2739.00	3282.00	USD	-81,450.00	
20JUL17	B	1	PD200CT17	2730.50	3282.00	USD	13,787.50	
20JUL17	S	2	PD200CT17	2727.00	3282.00	USD	-27,750.00	
20JUL17	B	2	PD200CT17	2719.00	3282.00	USD	28,150.00	
20JUL17	B	3	PD200CT17	2721.00	3282.00	USD	42,075.00	
20JUL17	B	2	PD200CT17	2720.00	3282.00	USD	28,100.00	
20JUL17	B	1	PD200CT17	2718.50	3282.00	USD	14,087.50	
20JUL17	S	2	PD200CT17	2726.00	3282.00	USD	-27,800.00	
20JUL17	S	2	PD200CT17	2726.50	3282.00	USD	-27,775.00	

OPEN POSITION STATEMENT



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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
20JUL17	B	2	PD200CT17	2731.50	3282.00	USD	27,525.00	
21JUL17	B	1	PD200CT17	2742.50	3282.00	USD	13,487.50	
21JUL17	S	2	PD200CT17	2750.00	3282.00	USD	-26,600.00	
21JUL17	S	1	PD200CT17	2748.00	3282.00	USD	-13,350.00	
21JUL17	S	4	PD200CT17	2748.50	3282.00	USD	-53,350.00	
21JUL17	S	11	PD200CT17	2749.00	3282.00	USD	-146,575.00	
21JUL17	S	5	PD200CT17	2749.50	3282.00	USD	-66,562.50	
21JUL17	B	1	PD200CT17	2744.50	3282.00	USD	13,437.50	
21JUL17	S	2	PD200CT17	2751.00	3282.00	USD	-26,550.00	
21JUL17	S	1	PD200CT17	2752.50	3282.00	USD	-13,237.50	
21JUL17	S	1	PD200CT17	2753.50	3282.00	USD	-13,212.50	
21JUL17	S	1	PD200CT17	2753.00	3282.00	USD	-13,225.00	
21JUL17	S	1	PD200CT17	2764.50	3282.00	USD	-12,937.50	
21JUL17	B	1	PD200CT17	2757.00	3282.00	USD	13,125.00	
21JUL17	B	1	PD200CT17	2756.00	3282.00	USD	13,150.00	
21JUL17	B	1	PD200CT17	2754.50	3282.00	USD	13,187.50	
21JUL17	S	1	PD200CT17	2750.00	3282.00	USD	-13,300.00	
21JUL17	S	1	PD200CT17	2749.00	3282.00	USD	-13,325.00	
21JUL17	S	2	PD200CT17	2748.50	3282.00	USD	-26,675.00	
21JUL17	B	1	PD200CT17	2751.50	3282.00	USD	13,262.50	
21JUL17	S	1	PD200CT17	2767.00	3282.00	USD	-12,875.00	
21JUL17	B	1	PD200CT17	2766.50	3282.00	USD	12,887.50	
21JUL17	B	1	PD200CT17	2764.50	3282.00	USD	12,937.50	
21JUL17	B	2	PD200CT17	2764.00	3282.00	USD	25,900.00	
21JUL17	B	1	PD200CT17	2763.50	3282.00	USD	12,962.50	
21JUL17	S	1	PD200CT17	2766.50	3282.00	USD	-12,887.50	
21JUL17	S	2	PD200CT17	2770.50	3282.00	USD	-25,575.00	
21JUL17	S	1	PD200CT17	2773.00	3282.00	USD	-12,725.00	
21JUL17	S	1	PD200CT17	2773.50	3282.00	USD	-12,712.50	
21JUL17	B	1	PD200CT17	2773.50	3282.00	USD	12,712.50	
21JUL17	S	1	PD200CT17	2772.50	3282.00	USD	-12,737.50	
21JUL17	S	2	PD200CT17	2772.00	3282.00	USD	-25,500.00	
21JUL17	B	1	PD200CT17	2765.00	3282.00	USD	12,925.00	
21JUL17	B	1	PD200CT17	2759.00	3282.00	USD	13,075.00	
21JUL17	B	1	PD200CT17	2758.50	3282.00	USD	13,087.50	
21JUL17	B	6	PD200CT17	2754.00	3282.00	USD	79,200.00	
21JUL17	B	4	PD200CT17	2753.50	3282.00	USD	52,850.00	
21JUL17	B	1	PD200CT17	2752.50	3282.00	USD	13,237.50	
21JUL17	B	1	PD200CT17	2753.00	3282.00	USD	13,225.00	
21JUL17	S	1	PD200CT17	2754.50	3282.00	USD	-13,187.50	
24JUL17	S	4	PD200CT17	2787.40	3282.00	USD	-49,460.00	
24JUL17	B	10	PD200CT17	2787.80	3282.00	USD	123,550.00	
25JUL17	B	4	PD200CT17	2823.60	3282.00	USD	45,840.00	
	NET	0	AVG BGHT 2748.02	SOLD 2748.04			67.50	0.00
24JUL17	B	1	PD240CT17	2777.50	3276.00	USD	12,462.50	
24JUL17	B	1	PD240CT17	2783.50	3276.00	USD	12,312.50	
24JUL17	B	1	PD240CT17	2785.00	3276.00	USD	12,275.00	
24JUL17	B	3	PD240CT17	2780.50	3276.00	USD	37,162.50	
24JUL17	B	4	PD240CT17	2781.50	3276.00	USD	49,450.00	
24JUL17	B	1	PD240CT17	2782.00	3276.00	USD	12,350.00	
24JUL17	B	2	PD240CT17	2774.00	3276.00	USD	25,100.00	
24JUL17	B	4	PD240CT17	2778.50	3276.00	USD	49,750.00	
24JUL17	B	1	PD240CT17	2774.50	3276.00	USD	12,537.50	
24JUL17	B	1	PD240CT17	2773.50	3276.00	USD	12,562.50	
24JUL17	B	1	PD240CT17	2775.00	3276.00	USD	12,525.00	
24JUL17	B	2	PD240CT17	2776.00	3276.00	USD	25,000.00	
24JUL17	S	1	PD240CT17	2785.50	3276.00	USD	-12,262.50	

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24JUL17	S	1	PD240CT17	2792.00	3276.00	USD	-12,100.00	
24JUL17	S	1	PD240CT17	2796.00	3276.00	USD	-12,000.00	
24JUL17	S	1	PD240CT17	2797.50	3276.00	USD	-11,962.50	
24JUL17	B	1	PD240CT17	2777.50	3276.00	USD	12,462.50	
24JUL17	B	1	PD240CT17	2784.00	3276.00	USD	12,300.00	
24JUL17	S	1	PD240CT17	2776.50	3276.00	USD	-12,487.50	
24JUL17	S	1	PD240CT17	2778.50	3276.00	USD	-12,437.50	
24JUL17	S	1	PD240CT17	2777.00	3276.00	USD	-12,475.00	
24JUL17	B	1	PD240CT17	2768.50	3276.00	USD	12,687.50	
24JUL17	S	2	PD240CT17	2772.50	3276.00	USD	-25,175.00	
24JUL17	S	4	PD240CT17	2773.00	3276.00	USD	-50,300.00	
24JUL17	S	3	PD240CT17	2774.00	3276.00	USD	-37,650.00	
24JUL17	S	4	PD240CT17	2774.50	3276.00	USD	-50,150.00	
24JUL17	S	3	PD240CT17	2773.50	3276.00	USD	-37,687.50	
24JUL17	S	1	PD240CT17	2775.00	3276.00	USD	-12,525.00	
24JUL17	S	2	PD240CT17	2775.50	3276.00	USD	-25,025.00	
24JUL17	S	3	PD240CT17	2780.50	3276.00	USD	-37,162.50	
24JUL17	S	1	PD240CT17	2777.00	3276.00	USD	-12,475.00	
24JUL17	B	2	PD240CT17	2782.50	3276.00	USD	24,675.00	
26JUL17	B	3	PD240CT17	2807.71	3276.00	USD	35,121.75	
	NET	0AVG	BGHT 2781.69	SOLD 2777.50			-3,140.75	0.00
25JUL17	S	1	PD250CT17	2816.00	3273.00	USD	-11,425.00	
25JUL17	S	1	PD250CT17	2817.50	3273.00	USD	-11,387.50	
25JUL17	S	1	PD250CT17	2824.00	3273.00	USD	-11,225.00	
25JUL17	B	1	PD250CT17	2814.00	3273.00	USD	11,475.00	
25JUL17	B	1	PD250CT17	2814.50	3273.00	USD	11,462.50	
25JUL17	S	2	PD250CT17	2826.00	3273.00	USD	-22,350.00	
25JUL17	S	1	PD250CT17	2827.00	3273.00	USD	-11,150.00	
25JUL17	S	1	PD250CT17	2831.50	3273.00	USD	-11,037.50	
25JUL17	S	1	PD250CT17	2834.00	3273.00	USD	-10,975.00	
25JUL17	B	1	PD250CT17	2831.00	3273.00	USD	11,050.00	
25JUL17	B	1	PD250CT17	2830.00	3273.00	USD	11,075.00	
25JUL17	B	1	PD250CT17	2826.50	3273.00	USD	11,162.50	
25JUL17	B	1	PD250CT17	2827.00	3273.00	USD	11,150.00	
25JUL17	B	3	PD250CT17	2828.00	3273.00	USD	33,375.00	
25JUL17	B	1	PD250CT17	2827.50	3273.00	USD	11,137.50	
25JUL17	B	1	PD250CT17	2824.50	3273.00	USD	11,212.50	
25JUL17	B	1	PD250CT17	2823.00	3273.00	USD	11,250.00	
25JUL17	S	1	PD250CT17	2829.00	3273.00	USD	-11,100.00	
25JUL17	S	1	PD250CT17	2838.00	3273.00	USD	-10,875.00	
25JUL17	S	1	PD250CT17	2848.00	3273.00	USD	-10,625.00	
25JUL17	B	1	PD250CT17	2839.00	3273.00	USD	10,850.00	
25JUL17	B	1	PD250CT17	2844.00	3273.00	USD	10,725.00	
25JUL17	B	1	PD250CT17	2842.50	3273.00	USD	10,762.50	
25JUL17	S	1	PD250CT17	2844.00	3273.00	USD	-10,725.00	
26JUL17	S	3	PD250CT17	2807.66	3273.00	USD	-34,900.50	
	NET	0AVG	BGHT 2828.50	SOLD 2825.60			-1,088.00	0.00
26JUL17	B	1	PD260CT17	2850.50	3271.87	USD	10,534.25	
26JUL17	B	2	PD260CT17	2852.00	3271.87	USD	20,993.50	
26JUL17	B	1	PD260CT17	2851.50	3271.87	USD	10,509.25	
26JUL17	B	7	PD260CT17	2851.00	3271.87	USD	73,652.25	
26JUL17	B	1	PD260CT17	2828.50	3271.87	USD	11,084.25	
26JUL17	B	1	PD260CT17	2827.50	3271.87	USD	11,109.25	
26JUL17	S	1	PD260CT17	2829.50	3271.87	USD	-11,059.25	
26JUL17	S	1	PD260CT17	2831.00	3271.87	USD	-11,021.75	
26JUL17	S	1	PD260CT17	2819.00	3271.87	USD	-11,321.75	
26JUL17	S	2	PD260CT17	2821.50	3271.87	USD	-22,518.50	

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26JUL17	S	1	PD260CT17	2818.50	3271.87	USD	-11,334.25	
26JUL17	S	2	PD260CT17	2819.50	3271.87	USD	-22,618.50	
26JUL17	S	5	PD260CT17	2820.50	3271.87	USD	-56,421.25	
26JUL17	S	1	PD260CT17	2823.50	3271.87	USD	-11,209.25	
26JUL17	B	1	PD260CT17	2821.00	3271.87	USD	11,271.75	
26JUL17	S	1	PD260CT17	2824.50	3271.87	USD	-11,184.25	
26JUL17	S	1	PD260CT17	2823.00	3271.87	USD	-11,221.75	
26JUL17	S	2	PD260CT17	2824.00	3271.87	USD	-22,393.50	
26JUL17	S	1	PD260CT17	2825.00	3271.87	USD	-11,171.75	
26JUL17	B	1	PD260CT17	2819.50	3271.87	USD	11,309.25	
26JUL17	S	1	PD260CT17	2826.50	3271.87	USD	-11,134.25	
26JUL17	S	2	PD260CT17	2826.00	3271.87	USD	-22,293.50	
26JUL17	S	1	PD260CT17	2827.00	3271.87	USD	-11,121.75	
26JUL17	B	1	PD260CT17	2818.50	3271.87	USD	11,334.25	
26JUL17	B	1	PD260CT17	2811.00	3271.87	USD	11,521.75	
26JUL17	B	1	PD260CT17	2802.50	3271.87	USD	11,734.25	
26JUL17	B	2	PD260CT17	2801.00	3271.87	USD	23,543.50	
26JUL17	S	1	PD260CT17	2803.00	3271.87	USD	-11,721.75	
26JUL17	S	1	PD260CT17	2812.50	3271.87	USD	-11,484.25	
26JUL17	S	1	PD260CT17	2812.00	3271.87	USD	-11,496.75	
26JUL17	S	2	PD260CT17	2822.50	3271.87	USD	-22,468.50	
26JUL17	B	1	PD260CT17	2806.50	3271.87	USD	11,634.25	
26JUL17	S	1	PD260CT17	2815.00	3271.87	USD	-11,421.75	
26JUL17	S	2	PD260CT17	2821.00	3271.87	USD	-22,543.50	
26JUL17	S	1	PD260CT17	2828.00	3271.87	USD	-11,096.75	
26JUL17	B	2	PD260CT17	2820.50	3271.87	USD	22,568.50	
26JUL17	B	1	PD260CT17	2818.00	3271.87	USD	11,346.75	
26JUL17	B	1	PD260CT17	2816.00	3271.87	USD	11,396.75	
26JUL17	B	1	PD260CT17	2805.50	3271.87	USD	11,659.25	
26JUL17	B	3	PD260CT17	2805.00	3271.87	USD	35,015.25	
26JUL17	B	1	PD260CT17	2804.00	3271.87	USD	11,696.75	
26JUL17	B	2	PD260CT17	2803.50	3271.87	USD	23,418.50	
	NET	0AVG	BGHT 2825.20	SOLD 2821.55			-2,925.00	0.00
27JUL17	S	1	PD270CT17	2810.00	3270.73	USD	-11,518.25	
27JUL17	B	1	PD270CT17	2810.00	3270.73	USD	11,518.25	
27JUL17	B	2	PD270CT17	2811.00	3270.73	USD	22,986.50	
27JUL17	S	1	PD270CT17	2808.50	3270.73	USD	-11,555.75	
27JUL17	S	4	PD270CT17	2808.00	3270.73	USD	-46,273.00	
27JUL17	S	1	PD270CT17	2809.50	3270.73	USD	-11,530.75	
27JUL17	B	2	PD270CT17	2814.00	3270.73	USD	22,836.50	
27JUL17	S	1	PD270CT17	2831.50	3270.73	USD	-10,980.75	
27JUL17	S	1	PD270CT17	2832.50	3270.73	USD	-10,955.75	
27JUL17	B	1	PD270CT17	2821.00	3270.73	USD	11,243.25	
27JUL17	B	1	PD270CT17	2822.00	3270.73	USD	11,218.25	
27JUL17	B	1	PD270CT17	2821.50	3270.73	USD	11,230.75	
27JUL17	B	1	PD270CT17	2819.00	3270.73	USD	11,293.25	
27JUL17	B	1	PD270CT17	2822.50	3270.73	USD	11,205.75	
27JUL17	B	1	PD270CT17	2817.50	3270.73	USD	11,330.75	
27JUL17	B	1	PD270CT17	2816.00	3270.73	USD	11,368.25	
27JUL17	B	1	PD270CT17	2814.50	3270.73	USD	11,405.75	
27JUL17	B	1	PD270CT17	2813.00	3270.73	USD	11,443.25	
27JUL17	S	1	PD270CT17	2818.00	3270.73	USD	-11,318.25	
27JUL17	B	1	PD270CT17	2821.00	3270.73	USD	11,243.25	
27JUL17	S	2	PD270CT17	2822.00	3270.73	USD	-22,436.50	
27JUL17	S	1	PD270CT17	2820.00	3270.73	USD	-11,268.25	
27JUL17	B	1	PD270CT17	2806.50	3270.73	USD	11,605.75	
27JUL17	B	1	PD270CT17	2806.00	3270.73	USD	11,618.25	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0112C

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

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FINANCIAL CONDUCT AUTHORITY

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
27JUL17	B	1	PD27OCT17	2805.00	3270.73	USD	11,643.25	
27JUL17	B	1	PD27OCT17	2803.50	3270.73	USD	11,680.75	
27JUL17	S	1	PD27OCT17	2805.00	3270.73	USD	-11,643.25	
27JUL17	S	1	PD27OCT17	2806.00	3270.73	USD	-11,618.25	
27JUL17	B	1	PD27OCT17	2789.50	3270.73	USD	12,030.75	
27JUL17	B	1	PD27OCT17	2789.00	3270.73	USD	12,043.25	
27JUL17	B	1	PD27OCT17	2802.50	3270.73	USD	11,705.75	
27JUL17	B	1	PD27OCT17	2800.50	3270.73	USD	11,755.75	
27JUL17	B	3	PD27OCT17	2790.50	3270.73	USD	36,017.25	
27JUL17	S	1	PD27OCT17	2796.50	3270.73	USD	-11,855.75	
27JUL17	S	2	PD27OCT17	2797.50	3270.73	USD	-23,661.50	
27JUL17	S	2	PD27OCT17	2798.00	3270.73	USD	-23,636.50	
27JUL17	S	3	PD27OCT17	2799.00	3270.73	USD	-35,379.75	
27JUL17	S	2	PD27OCT17	2796.00	3270.73	USD	-23,736.50	
27JUL17	B	1	PD27OCT17	2795.00	3270.73	USD	11,893.25	
27JUL17	S	1	PD27OCT17	2799.00	3270.73	USD	-11,793.25	
28JUL17	S	2	PD27OCT17	2786.50	3270.73	USD	-24,211.50	
28JUL17	B	1	PD27OCT17	2785.50	3270.73	USD	12,130.75	
28JUL17	B	1	PD27OCT17	2784.00	3270.73	USD	12,168.25	
28JUL17	S	1	PD27OCT17	2793.50	3270.73	USD	-11,930.75	
28JUL17	B	1	PD27OCT17	2778.50	3270.73	USD	12,305.75	
28JUL17	B	2	PD27OCT17	2777.00	3270.73	USD	24,686.50	
28JUL17	S	1	PD27OCT17	2783.00	3270.73	USD	-12,193.25	
28JUL17	S	2	PD27OCT17	2787.50	3270.73	USD	-24,161.50	
28JUL17	S	1	PD27OCT17	2788.50	3270.73	USD	-12,055.75	
28JUL17	S	1	PD27OCT17	2789.50	3270.73	USD	-12,030.75	
28JUL17	B	1	PD27OCT17	2782.50	3270.73	USD	12,205.75	
28JUL17	S	1	PD27OCT17	2786.00	3270.73	USD	-12,118.25	
28JUL17	B	1	PD27OCT17	2778.00	3270.73	USD	12,318.25	
28JUL17	B	1	PD27OCT17	2774.50	3270.73	USD	12,405.75	
28JUL17	B	1	PD27OCT17	2771.50	3270.73	USD	12,480.75	
28JUL17	B	1	PD27OCT17	2770.50	3270.73	USD	12,505.75	
28JUL17	B	2	PD27OCT17	2773.00	3270.73	USD	24,886.50	
28JUL17	B	1	PD27OCT17	2772.00	3270.73	USD	12,468.25	
28JUL17	B	1	PD27OCT17	2768.50	3270.73	USD	12,555.75	
28JUL17	B	1	PD27OCT17	2766.50	3270.73	USD	12,605.75	
28JUL17	B	1	PD27OCT17	2758.00	3270.73	USD	12,818.25	
28JUL17	S	2	PD27OCT17	2771.50	3270.73	USD	-24,961.50	
28JUL17	S	1	PD27OCT17	2774.00	3270.73	USD	-12,418.25	
28JUL17	S	1	PD27OCT17	2772.50	3270.73	USD	-12,455.75	
28JUL17	S	1	PD27OCT17	2778.00	3270.73	USD	-12,318.25	
28JUL17	S	1	PD27OCT17	2785.00	3270.73	USD	-12,143.25	
28JUL17	S	1	PD27OCT17	2787.00	3270.73	USD	-12,093.25	
28JUL17	S	1	PD27OCT17	2796.00	3270.73	USD	-11,868.25	
	NET	0AVG	BGHT 2795.51				2,737.50	0.00
31JUL17	S	1	PD31OCT17	2810.50	3268.47	USD	-11,449.25	
31JUL17	B	1	PD31OCT17	2812.50	3268.47	USD	11,399.25	
31JUL17	B	1	PD31OCT17	2811.50	3268.47	USD	11,424.25	
31JUL17	B	1	PD31OCT17	2805.00	3268.47	USD	11,586.75	
31JUL17	S	1	PD31OCT17	2814.00	3268.47	USD	-11,361.75	
31JUL17	S	1	PD31OCT17	2811.00	3268.47	USD	-11,436.75	
31JUL17	S	1	PD31OCT17	2808.00	3268.47	USD	-11,511.75	
31JUL17	S	2	PD31OCT17	2812.50	3268.47	USD	-22,798.50	
31JUL17	S	2	PD31OCT17	2809.00	3268.47	USD	-22,973.50	
31JUL17	S	1	PD31OCT17	2803.50	3268.47	USD	-11,624.25	
31JUL17	S	1	PD31OCT17	2815.00	3268.47	USD	-11,336.75	
31JUL17	S	1	PD31OCT17	2815.50	3268.47	USD	-11,324.25	

OPEN POSITION STATEMENT



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13th October 2017

Sapien Capital Limited
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backoffice@sapiencap.com

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31JUL17	S	1	PD31OCT17	2819.00	3268.47	USD	-11,236.75	
31JUL17	S	1	PD31OCT17	2821.00	3268.47	USD	-11,186.75	
31JUL17	S	1	PD31OCT17	2821.50	3268.47	USD	-11,174.25	
31JUL17	B	1	PD31OCT17	2820.50	3268.47	USD	11,199.25	
31JUL17	S	1	PD31OCT17	2822.00	3268.47	USD	-11,161.75	
31JUL17	B	1	PD31OCT17	2815.00	3268.47	USD	11,336.75	
31JUL17	B	1	PD31OCT17	2806.50	3268.47	USD	11,549.25	
31JUL17	S	1	PD31OCT17	2806.50	3268.47	USD	-11,549.25	
31JUL17	S	1	PD31OCT17	2805.50	3268.47	USD	-11,574.25	
31JUL17	B	1	PD31OCT17	2799.00	3268.47	USD	11,736.75	
31JUL17	S	1	PD31OCT17	2798.50	3268.47	USD	-11,749.25	
31JUL17	S	2	PD31OCT17	2799.50	3268.47	USD	-23,448.50	
31JUL17	S	2	PD31OCT17	2800.50	3268.47	USD	-23,398.50	
31JUL17	S	1	PD31OCT17	2794.00	3268.47	USD	-11,861.75	
31JUL17	S	1	PD31OCT17	2822.00	3268.47	USD	-11,161.75	
31JUL17	S	2	PD31OCT17	2810.00	3268.47	USD	-22,923.50	
31JUL17	B	1	PD31OCT17	2807.00	3268.47	USD	11,536.75	
31JUL17	B	3	PD31OCT17	2795.00	3268.47	USD	35,510.25	
31JUL17	B	1	PD31OCT17	2796.50	3268.47	USD	11,799.25	
31JUL17	B	2	PD31OCT17	2797.50	3268.47	USD	23,548.50	
31JUL17	B	2	PD31OCT17	2798.00	3268.47	USD	23,523.50	
31JUL17	B	2	PD31OCT17	2798.50	3268.47	USD	23,498.50	
31JUL17	B	2	PD31OCT17	2794.50	3268.47	USD	23,698.50	
31JUL17	S	1	PD31OCT17	2798.00	3268.47	USD	-11,761.75	
31JUL17	B	1	PD31OCT17	2796.00	3268.47	USD	11,811.75	
07AUG17	B	6	PD31OCT17	2856.50	3268.47	USD	61,795.50	
	NET	0AVG BGHT 2813.72	SOLD 2809.20				-3,050.00	0.00
01AUG17	B	2	PD01NOV17	2789.00	3267.33	USD	23,916.50	
01AUG17	S	2	PD01NOV17	2784.00	3267.33	USD	-24,166.50	
01AUG17	B	4	PD01NOV17	2774.00	3267.33	USD	49,333.00	
01AUG17	B	1	PD01NOV17	2773.00	3267.33	USD	12,358.25	
01AUG17	B	2	PD01NOV17	2771.50	3267.33	USD	24,791.50	
01AUG17	B	1	PD01NOV17	2771.00	3267.33	USD	12,408.25	
01AUG17	B	4	PD01NOV17	2772.50	3267.33	USD	49,483.00	
01AUG17	B	1	PD01NOV17	2776.50	3267.33	USD	12,270.75	
01AUG17	B	1	PD01NOV17	2773.50	3267.33	USD	12,345.75	
01AUG17	S	2	PD01NOV17	2778.00	3267.33	USD	-24,466.50	
01AUG17	S	3	PD01NOV17	2778.50	3267.33	USD	-36,662.25	
01AUG17	B	1	PD01NOV17	2775.00	3267.33	USD	12,308.25	
01AUG17	S	1	PD01NOV17	2777.50	3267.33	USD	-12,245.75	
01AUG17	S	1	PD01NOV17	2782.00	3267.33	USD	-12,133.25	
01AUG17	S	4	PD01NOV17	2780.50	3267.33	USD	-48,683.00	
01AUG17	S	2	PD01NOV17	2781.00	3267.33	USD	-24,316.50	
01AUG17	S	3	PD01NOV17	2781.50	3267.33	USD	-36,437.25	
01AUG17	S	3	PD01NOV17	2782.50	3267.33	USD	-36,362.25	
01AUG17	S	6	PD01NOV17	2783.00	3267.33	USD	-72,649.50	
01AUG17	S	2	PD01NOV17	2783.50	3267.33	USD	-24,191.50	
01AUG17	S	4	PD01NOV17	2784.50	3267.33	USD	-48,283.00	
01AUG17	S	1	PD01NOV17	2779.50	3267.33	USD	-12,195.75	
01AUG17	B	4	PD01NOV17	2772.00	3267.33	USD	49,533.00	
01AUG17	S	1	PD01NOV17	2779.00	3267.33	USD	-12,208.25	
01AUG17	B	1	PD01NOV17	2781.00	3267.33	USD	12,158.25	
01AUG17	B	1	PD01NOV17	2780.50	3267.33	USD	12,170.75	
03AUG17	B	3	PD01NOV17	2791.50	3267.33	USD	35,687.25	
07AUG17	B	9	PD01NOV17	2856.71	3267.33	USD	92,389.50	
	NET	0AVG BGHT 2797.44	SOLD 2781.61				-13,847.25	0.00
02AUG17	B	1	PD02NOV17	2768.00	3266.20	USD	12,455.00	

OPEN POSITION STATEMENT



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02AUG17	B	1	PD02NOV17	2768.50	3266.20	USD	12,442.50	
02AUG17	B	1	PD02NOV17	2772.50	3266.20	USD	12,342.50	
02AUG17	B	1	PD02NOV17	2769.50	3266.20	USD	12,417.50	
02AUG17	B	1	PD02NOV17	2772.00	3266.20	USD	12,355.00	
02AUG17	B	1	PD02NOV17	2771.50	3266.20	USD	12,367.50	
02AUG17	B	3	PD02NOV17	2770.00	3266.20	USD	37,215.00	
02AUG17	B	1	PD02NOV17	2774.00	3266.20	USD	12,305.00	
02AUG17	B	1	PD02NOV17	2774.50	3266.20	USD	12,292.50	
02AUG17	B	5	PD02NOV17	2773.00	3266.20	USD	61,650.00	
02AUG17	B	2	PD02NOV17	2773.50	3266.20	USD	24,635.00	
02AUG17	B	2	PD02NOV17	2771.00	3266.20	USD	24,760.00	
02AUG17	B	1	PD02NOV17	2767.00	3266.20	USD	12,480.00	
02AUG17	B	1	PD02NOV17	2766.00	3266.20	USD	12,505.00	
02AUG17	B	2	PD02NOV17	2764.00	3266.20	USD	25,110.00	
02AUG17	B	3	PD02NOV17	2766.50	3266.20	USD	37,477.50	
02AUG17	B	4	PD02NOV17	2765.50	3266.20	USD	50,070.00	
02AUG17	B	2	PD02NOV17	2763.00	3266.20	USD	25,160.00	
02AUG17	B	3	PD02NOV17	2762.00	3266.20	USD	37,815.00	
02AUG17	B	1	PD02NOV17	2764.50	3266.20	USD	12,542.50	
02AUG17	S	1	PD02NOV17	2766.00	3266.20	USD	-12,505.00	
02AUG17	B	2	PD02NOV17	2765.00	3266.20	USD	25,060.00	
02AUG17	B	3	PD02NOV17	2769.00	3266.20	USD	37,290.00	
02AUG17	S	1	PD02NOV17	2771.00	3266.20	USD	-12,380.00	
02AUG17	S	1	PD02NOV17	2765.50	3266.20	USD	-12,517.50	
02AUG17	S	1	PD02NOV17	2769.50	3266.20	USD	-12,417.50	
02AUG17	S	2	PD02NOV17	2770.50	3266.20	USD	-24,785.00	
02AUG17	S	3	PD02NOV17	2772.00	3266.20	USD	-37,065.00	
02AUG17	S	1	PD02NOV17	2773.00	3266.20	USD	-12,330.00	
02AUG17	S	2	PD02NOV17	2773.50	3266.20	USD	-24,635.00	
02AUG17	S	2	PD02NOV17	2775.00	3266.20	USD	-24,560.00	
02AUG17	S	3	PD02NOV17	2775.50	3266.20	USD	-36,802.50	
02AUG17	S	6	PD02NOV17	2776.00	3266.20	USD	-73,530.00	
02AUG17	S	3	PD02NOV17	2777.00	3266.20	USD	-36,690.00	
02AUG17	S	1	PD02NOV17	2778.00	3266.20	USD	-12,205.00	
02AUG17	S	1	PD02NOV17	2779.50	3266.20	USD	-12,167.50	
02AUG17	S	1	PD02NOV17	2780.50	3266.20	USD	-12,142.50	
02AUG17	S	1	PD02NOV17	2783.50	3266.20	USD	-12,067.50	
02AUG17	S	5	PD02NOV17	2783.00	3266.20	USD	-60,400.00	
02AUG17	S	3	PD02NOV17	2784.00	3266.20	USD	-36,165.00	
02AUG17	S	1	PD02NOV17	2780.00	3266.20	USD	-12,155.00	
02AUG17	S	3	PD02NOV17	2781.50	3266.20	USD	-36,352.50	
02AUG17	S	4	PD02NOV17	2781.00	3266.20	USD	-48,520.00	
02AUG17	B	1	PD02NOV17	2784.00	3266.20	USD	12,055.00	
02AUG17	S	1	PD02NOV17	2786.00	3266.20	USD	-12,005.00	
02AUG17	S	3	PD02NOV17	2786.50	3266.20	USD	-35,977.50	
02AUG17	S	1	PD02NOV17	2788.00	3266.20	USD	-11,955.00	
02AUG17	S	2	PD02NOV17	2789.00	3266.20	USD	-23,860.00	
02AUG17	S	1	PD02NOV17	2791.00	3266.20	USD	-11,880.00	
02AUG17	S	2	PD02NOV17	2787.50	3266.20	USD	-23,935.00	
02AUG17	S	1	PD02NOV17	2780.50	3266.20	USD	-12,142.50	
02AUG17	B	1	PD02NOV17	2795.00	3266.20	USD	11,780.00	
02AUG17	S	1	PD02NOV17	2800.00	3266.20	USD	-11,655.00	
02AUG17	B	1	PD02NOV17	2804.50	3266.20	USD	11,542.50	
02AUG17	B	1	PD02NOV17	2805.00	3266.20	USD	11,530.00	
02AUG17	B	4	PD02NOV17	2806.00	3266.20	USD	46,020.00	
03AUG17	S	3	PD02NOV17	2791.55	3266.20	USD	-35,598.75	
07AUG17	B	11	PD02NOV17	2856.93	3266.20	USD	112,549.25	

OPEN POSITION STATEMENT



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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>								
	NET	0 AVG	BGHT	2788.68	SOLD	2780.04		-13,177.00	0.00
03AUG17	B	2	PD03NOV17		2823.00	3265.07	USD	22,103.50	
03AUG17	B	1	PD03NOV17		2820.50	3265.07	USD	11,114.25	
03AUG17	B	1	PD03NOV17		2819.50	3265.07	USD	11,139.25	
03AUG17	B	2	PD03NOV17		2816.00	3265.07	USD	22,453.50	
03AUG17	S	1	PD03NOV17		2822.50	3265.07	USD	-11,064.25	
03AUG17	S	1	PD03NOV17		2824.00	3265.07	USD	-11,026.75	
03AUG17	B	1	PD03NOV17		2818.00	3265.07	USD	11,176.75	
03AUG17	B	2	PD03NOV17		2812.00	3265.07	USD	22,653.50	
03AUG17	B	1	PD03NOV17		2814.00	3265.07	USD	11,276.75	
03AUG17	B	3	PD03NOV17		2813.50	3265.07	USD	33,867.75	
03AUG17	B	4	PD03NOV17		2811.50	3265.07	USD	45,357.00	
03AUG17	B	1	PD03NOV17		2809.00	3265.07	USD	11,401.75	
03AUG17	B	3	PD03NOV17		2810.50	3265.07	USD	34,092.75	
03AUG17	S	1	PD03NOV17		2814.00	3265.07	USD	-11,276.75	
03AUG17	S	2	PD03NOV17		2818.00	3265.07	USD	-22,353.50	
03AUG17	S	1	PD03NOV17		2821.00	3265.07	USD	-11,101.75	
03AUG17	B	4	PD03NOV17		2818.50	3265.07	USD	44,657.00	
03AUG17	B	3	PD03NOV17		2816.50	3265.07	USD	33,642.75	
03AUG17	S	1	PD03NOV17		2820.50	3265.07	USD	-11,114.25	
03AUG17	S	2	PD03NOV17		2819.50	3265.07	USD	-22,278.50	
03AUG17	B	5	PD03NOV17		2820.00	3265.07	USD	55,633.75	
03AUG17	B	1	PD03NOV17		2817.50	3265.07	USD	11,189.25	
03AUG17	B	1	PD03NOV17		2798.00	3265.07	USD	11,676.75	
03AUG17	B	1	PD03NOV17		2796.00	3265.07	USD	11,726.75	
03AUG17	B	1	PD03NOV17		2795.50	3265.07	USD	11,739.25	
03AUG17	B	2	PD03NOV17		2794.50	3265.07	USD	23,528.50	
03AUG17	B	1	PD03NOV17		2792.50	3265.07	USD	11,814.25	
03AUG17	B	1	PD03NOV17		2792.00	3265.07	USD	11,826.75	
03AUG17	B	3	PD03NOV17		2794.00	3265.07	USD	35,330.25	
03AUG17	S	2	PD03NOV17		2804.00	3265.07	USD	-23,053.50	
03AUG17	S	1	PD03NOV17		2806.50	3265.07	USD	-11,464.25	
03AUG17	S	1	PD03NOV17		2806.00	3265.07	USD	-11,476.75	
03AUG17	S	2	PD03NOV17		2807.50	3265.07	USD	-22,878.50	
03AUG17	B	1	PD03NOV17		2801.00	3265.07	USD	11,601.75	
03AUG17	B	1	PD03NOV17		2801.50	3265.07	USD	11,589.25	
03AUG17	B	3	PD03NOV17		2800.00	3265.07	USD	34,880.25	
03AUG17	S	1	PD03NOV17		2808.00	3265.07	USD	-11,426.75	
03AUG17	B	2	PD03NOV17		2802.00	3265.07	USD	23,153.50	
03AUG17	B	1	PD03NOV17		2800.50	3265.07	USD	11,614.25	
03AUG17	B	1	PD03NOV17		2799.50	3265.07	USD	11,639.25	
03AUG17	B	2	PD03NOV17		2797.50	3265.07	USD	23,378.50	
03AUG17	B	3	PD03NOV17		2793.00	3265.07	USD	35,405.25	
03AUG17	B	6	PD03NOV17		2793.50	3265.07	USD	70,735.50	
03AUG17	S	2	PD03NOV17		2792.00	3265.07	USD	-23,653.50	
03AUG17	S	1	PD03NOV17		2792.50	3265.07	USD	-11,814.25	
03AUG17	S	5	PD03NOV17		2794.00	3265.07	USD	-58,883.75	
03AUG17	B	1	PD03NOV17		2797.00	3265.07	USD	11,701.75	
03AUG17	S	2	PD03NOV17		2793.00	3265.07	USD	-23,603.50	
03AUG17	S	2	PD03NOV17		2795.00	3265.07	USD	-23,503.50	
04AUG17	S	2	PD03NOV17		2806.50	3265.07	USD	-22,928.50	
04AUG17	S	1	PD03NOV17		2809.00	3265.07	USD	-11,401.75	
04AUG17	S	3	PD03NOV17		2815.00	3265.07	USD	-33,755.25	
04AUG17	S	2	PD03NOV17		2818.50	3265.07	USD	-22,328.50	
04AUG17	S	2	PD03NOV17		2819.00	3265.07	USD	-22,303.50	
04AUG17	S	2	PD03NOV17		2819.50	3265.07	USD	-22,278.50	
04AUG17	S	1	PD03NOV17		2820.50	3265.07	USD	-11,114.25	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0112C

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

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FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
04AUG17	S	4	PD03NOV17	2818.00	3265.07	USD	-44,707.00	
04AUG17	S	3	PD03NOV17	2821.00	3265.07	USD	-33,305.25	
04AUG17	S	1	PD03NOV17	2820.00	3265.07	USD	-11,126.75	
04AUG17	S	1	PD03NOV17	2823.00	3265.07	USD	-11,051.75	
04AUG17	S	3	PD03NOV17	2823.50	3265.07	USD	-33,117.75	
04AUG17	S	1	PD03NOV17	2832.00	3265.07	USD	-10,826.75	
04AUG17	B	1	PD03NOV17	2822.50	3265.07	USD	11,064.25	
04AUG17	B	1	PD03NOV17	2822.00	3265.07	USD	11,076.75	
04AUG17	B	1	PD03NOV17	2820.50	3265.07	USD	11,114.25	
04AUG17	B	2	PD03NOV17	2820.00	3265.07	USD	22,253.50	
04AUG17	B	2	PD03NOV17	2818.00	3265.07	USD	22,353.50	
04AUG17	S	1	PD03NOV17	2828.00	3265.07	USD	-10,926.75	
04AUG17	S	3	PD03NOV17	2830.00	3265.07	USD	-32,630.25	
04AUG17	S	3	PD03NOV17	2831.00	3265.07	USD	-32,555.25	
04AUG17	S	1	PD03NOV17	2824.00	3265.07	USD	-11,026.75	
04AUG17	S	1	PD03NOV17	2824.50	3265.07	USD	-11,014.25	
04AUG17	S	3	PD03NOV17	2825.00	3265.07	USD	-33,005.25	
04AUG17	S	2	PD03NOV17	2827.50	3265.07	USD	-21,878.50	
04AUG17	S	3	PD03NOV17	2826.00	3265.07	USD	-32,930.25	
04AUG17	S	2	PD03NOV17	2826.50	3265.07	USD	-21,928.50	
04AUG17	B	2	PD03NOV17	2819.50	3265.07	USD	22,278.50	
04AUG17	B	1	PD03NOV17	2817.00	3265.07	USD	11,201.75	
04AUG17	B	1	PD03NOV17	2819.00	3265.07	USD	11,151.75	
04AUG17	S	1	PD03NOV17	2820.50	3265.07	USD	-11,114.25	
04AUG17	B	2	PD03NOV17	2811.50	3265.07	USD	22,678.50	
04AUG17	B	1	PD03NOV17	2817.50	3265.07	USD	11,189.25	
04AUG17	B	1	PD03NOV17	2814.50	3265.07	USD	11,264.25	
04AUG17	B	1	PD03NOV17	2818.50	3265.07	USD	11,164.25	
04AUG17	B	1	PD03NOV17	2815.50	3265.07	USD	11,239.25	
04AUG17	B	2	PD03NOV17	2814.00	3265.07	USD	22,553.50	
04AUG17	B	2	PD03NOV17	2812.00	3265.07	USD	22,653.50	
04AUG17	B	3	PD03NOV17	2810.50	3265.07	USD	34,092.75	
04AUG17	B	2	PD03NOV17	2809.00	3265.07	USD	22,803.50	
04AUG17	B	2	PD03NOV17	2809.50	3265.07	USD	22,778.50	
04AUG17	B	3	PD03NOV17	2808.50	3265.07	USD	34,242.75	
04AUG17	B	1	PD03NOV17	2808.00	3265.07	USD	11,426.75	
04AUG17	B	1	PD03NOV17	2807.50	3265.07	USD	11,439.25	
04AUG17	B	1	PD03NOV17	2806.50	3265.07	USD	11,464.25	
04AUG17	S	2	PD03NOV17	2812.50	3265.07	USD	-22,628.50	
04AUG17	S	2	PD03NOV17	2814.00	3265.07	USD	-22,553.50	
04AUG17	B	2	PD03NOV17	2801.50	3265.07	USD	23,178.50	
04AUG17	B	2	PD03NOV17	2801.00	3265.07	USD	23,203.50	
04AUG17	B	2	PD03NOV17	2800.50	3265.07	USD	23,228.50	
04AUG17	B	2	PD03NOV17	2797.50	3265.07	USD	23,378.50	
04AUG17	B	1	PD03NOV17	2796.50	3265.07	USD	11,714.25	
04AUG17	S	1	PD03NOV17	2803.50	3265.07	USD	-11,539.25	
04AUG17	S	2	PD03NOV17	2809.50	3265.07	USD	-22,778.50	
04AUG17	B	1	PD03NOV17	2809.00	3265.07	USD	11,401.75	
04AUG17	S	1	PD03NOV17	2812.00	3265.07	USD	-11,326.75	
04AUG17	S	6	PD03NOV17	2814.50	3265.07	USD	-67,585.50	
04AUG17	S	5	PD03NOV17	2813.50	3265.07	USD	-56,446.25	
04AUG17	S	3	PD03NOV17	2811.00	3265.07	USD	-34,055.25	
04AUG17	B	1	PD03NOV17	2805.00	3265.07	USD	11,501.75	
04AUG17	B	2	PD03NOV17	2813.00	3265.07	USD	22,603.50	
04AUG17	B	10	PD03NOV17	2812.50	3265.07	USD	113,142.50	
07AUG17	S	26	PD03NOV17	2856.94	3265.07	USD	-265,284.50	
	NET	0AVG BGHT 2808.70		SOLD 2823.95			46,511.00	0.00

OPEN POSITION STATEMENT



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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
07AUG17	B	1	PD07NOV17	2808.00	3262.80	USD	11,370.00	
07AUG17	B	1	PD07NOV17	2805.00	3262.80	USD	11,445.00	
07AUG17	S	1	PD07NOV17	2816.00	3262.80	USD	-11,170.00	
07AUG17	S	1	PD07NOV17	2815.50	3262.80	USD	-11,182.50	
07AUG17	S	1	PD07NOV17	2818.50	3262.80	USD	-11,107.50	
07AUG17	S	2	PD07NOV17	2820.50	3262.80	USD	-22,115.00	
07AUG17	S	1	PD07NOV17	2820.00	3262.80	USD	-11,070.00	
07AUG17	S	5	PD07NOV17	2821.00	3262.80	USD	-55,225.00	
07AUG17	B	1	PD07NOV17	2815.00	3262.80	USD	11,195.00	
07AUG17	B	2	PD07NOV17	2810.00	3262.80	USD	22,640.00	
07AUG17	S	1	PD07NOV17	2828.00	3262.80	USD	-10,870.00	
07AUG17	B	1	PD07NOV17	2819.00	3262.80	USD	11,095.00	
07AUG17	S	1	PD07NOV17	2824.50	3262.80	USD	-10,957.50	
07AUG17	S	2	PD07NOV17	2825.50	3262.80	USD	-21,865.00	
07AUG17	S	2	PD07NOV17	2826.00	3262.80	USD	-21,840.00	
07AUG17	S	2	PD07NOV17	2826.50	3262.80	USD	-21,815.00	
07AUG17	S	4	PD07NOV17	2825.00	3262.80	USD	-43,780.00	
07AUG17	S	3	PD07NOV17	2829.00	3262.80	USD	-32,535.00	
07AUG17	S	2	PD07NOV17	2832.00	3262.80	USD	-21,540.00	
07AUG17	S	2	PD07NOV17	2831.50	3262.80	USD	-21,565.00	
07AUG17	S	1	PD07NOV17	2832.50	3262.80	USD	-10,757.50	
07AUG17	S	1	PD07NOV17	2821.50	3262.80	USD	-11,032.50	
07AUG17	S	1	PD07NOV17	2831.50	3262.80	USD	-10,782.50	
07AUG17	S	1	PD07NOV17	2832.00	3262.80	USD	-10,770.00	
07AUG17	B	2	PD07NOV17	2831.50	3262.80	USD	21,565.00	
07AUG17	B	1	PD07NOV17	2831.00	3262.80	USD	10,795.00	
07AUG17	B	1	PD07NOV17	2828.50	3262.80	USD	10,857.50	
07AUG17	B	4	PD07NOV17	2836.00	3262.80	USD	42,680.00	
07AUG17	B	4	PD07NOV17	2835.50	3262.80	USD	42,730.00	
07AUG17	B	1	PD07NOV17	2837.00	3262.80	USD	10,645.00	
07AUG17	B	1	PD07NOV17	2834.50	3262.80	USD	10,707.50	
07AUG17	S	1	PD07NOV17	2842.00	3262.80	USD	-10,520.00	
07AUG17	S	1	PD07NOV17	2843.00	3262.80	USD	-10,495.00	
07AUG17	S	1	PD07NOV17	2848.00	3262.80	USD	-10,370.00	
07AUG17	S	2	PD07NOV17	2859.00	3262.80	USD	-20,190.00	
07AUG17	B	1	PD07NOV17	2855.00	3262.80	USD	10,195.00	
07AUG17	B	1	PD07NOV17	2860.50	3262.80	USD	10,057.50	
07AUG17	S	1	PD07NOV17	2864.50	3262.80	USD	-9,957.50	
07AUG17	S	2	PD07NOV17	2865.50	3262.80	USD	-19,865.00	
07AUG17	S	1	PD07NOV17	2871.00	3262.80	USD	-9,795.00	
07AUG17	S	1	PD07NOV17	2875.00	3262.80	USD	-9,695.00	
07AUG17	S	1	PD07NOV17	2876.00	3262.80	USD	-9,670.00	
07AUG17	S	1	PD07NOV17	2877.50	3262.80	USD	-9,632.50	
07AUG17	B	1	PD07NOV17	2873.00	3262.80	USD	9,745.00	
07AUG17	B	1	PD07NOV17	2872.50	3262.80	USD	9,757.50	
07AUG17	B	2	PD07NOV17	2878.00	3262.80	USD	19,240.00	
07AUG17	B	1	PD07NOV17	2878.50	3262.80	USD	9,607.50	
07AUG17	B	1	PD07NOV17	2885.00	3262.80	USD	9,445.00	
07AUG17	S	1	PD07NOV17	2883.00	3262.80	USD	-9,495.00	
07AUG17	S	1	PD07NOV17	2879.00	3262.80	USD	-9,595.00	
07AUG17	B	2	PD07NOV17	2885.50	3262.80	USD	18,865.00	
07AUG17	B	1	PD07NOV17	2884.50	3262.80	USD	9,457.50	
07AUG17	B	2	PD07NOV17	2883.50	3262.80	USD	18,965.00	
07AUG17	B	1	PD07NOV17	2881.50	3262.80	USD	9,532.50	
07AUG17	B	3	PD07NOV17	2880.50	3262.80	USD	28,672.50	
07AUG17	B	1	PD07NOV17	2866.50	3262.80	USD	9,907.50	
07AUG17	B	2	PD07NOV17	2862.50	3262.80	USD	20,015.00	

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07AUG17	B	1	PD07NOV17	2867.50	3262.80	USD	9,882.50	
07AUG17	B	2	PD07NOV17	2867.00	3262.80	USD	19,790.00	
07AUG17	B	3	PD07NOV17	2868.00	3262.80	USD	29,610.00	
07AUG17	B	1	PD07NOV17	2871.50	3262.80	USD	9,782.50	
07AUG17	B	2	PD07NOV17	2870.50	3262.80	USD	19,615.00	
07AUG17	S	1	PD07NOV17	2874.00	3262.80	USD	-9,720.00	
07AUG17	B	1	PD07NOV17	2861.50	3262.80	USD	10,032.50	
10AUG17	S	1	PD07NOV17	2937.46	3262.80	USD	-8,133.50	
	NET	0 AVG	BGHT 2854.88	SOLD 2839.51			-19,213.50	0.00
08AUG17	S	2	PD08NOV17	2866.50	3261.67	USD	-19,758.50	
08AUG17	S	1	PD08NOV17	2867.00	3261.67	USD	-9,866.75	
08AUG17	S	1	PD08NOV17	2867.50	3261.67	USD	-9,854.25	
08AUG17	S	1	PD08NOV17	2869.00	3261.67	USD	-9,816.75	
08AUG17	S	4	PD08NOV17	2870.50	3261.67	USD	-39,117.00	
08AUG17	S	2	PD08NOV17	2870.00	3261.67	USD	-19,583.50	
08AUG17	S	5	PD08NOV17	2871.00	3261.67	USD	-48,833.75	
08AUG17	S	2	PD08NOV17	2880.50	3261.67	USD	-19,058.50	
08AUG17	S	1	PD08NOV17	2878.50	3261.67	USD	-9,579.25	
08AUG17	B	1	PD08NOV17	2867.00	3261.67	USD	9,866.75	
08AUG17	B	1	PD08NOV17	2877.50	3261.67	USD	9,604.25	
08AUG17	S	1	PD08NOV17	2882.00	3261.67	USD	-9,491.75	
08AUG17	B	1	PD08NOV17	2882.00	3261.67	USD	9,491.75	
08AUG17	S	2	PD08NOV17	2882.00	3261.67	USD	-18,983.50	
08AUG17	S	1	PD08NOV17	2884.00	3261.67	USD	-9,441.75	
08AUG17	B	1	PD08NOV17	2874.50	3261.67	USD	9,679.25	
08AUG17	B	1	PD08NOV17	2871.00	3261.67	USD	9,766.75	
08AUG17	B	1	PD08NOV17	2869.00	3261.67	USD	9,816.75	
08AUG17	B	3	PD08NOV17	2873.00	3261.67	USD	29,150.25	
08AUG17	B	1	PD08NOV17	2868.00	3261.67	USD	9,841.75	
08AUG17	B	1	PD08NOV17	2867.50	3261.67	USD	9,854.25	
08AUG17	S	2	PD08NOV17	2875.00	3261.67	USD	-19,333.50	
08AUG17	S	3	PD08NOV17	2876.50	3261.67	USD	-28,887.75	
08AUG17	S	2	PD08NOV17	2877.00	3261.67	USD	-19,233.50	
08AUG17	S	1	PD08NOV17	2880.00	3261.67	USD	-9,541.75	
08AUG17	S	2	PD08NOV17	2879.00	3261.67	USD	-19,133.50	
08AUG17	S	3	PD08NOV17	2878.00	3261.67	USD	-28,775.25	
08AUG17	B	2	PD08NOV17	2875.50	3261.67	USD	19,308.50	
08AUG17	S	1	PD08NOV17	2876.00	3261.67	USD	-9,641.75	
08AUG17	S	1	PD08NOV17	2886.50	3261.67	USD	-9,379.25	
08AUG17	B	1	PD08NOV17	2897.00	3261.67	USD	9,116.75	
08AUG17	B	1	PD08NOV17	2910.50	3261.67	USD	8,779.25	
08AUG17	S	1	PD08NOV17	2910.00	3261.67	USD	-8,791.75	
08AUG17	S	1	PD08NOV17	2913.50	3261.67	USD	-8,704.25	
08AUG17	B	1	PD08NOV17	2929.00	3261.67	USD	8,316.75	
08AUG17	B	2	PD08NOV17	2926.50	3261.67	USD	16,758.50	
08AUG17	B	1	PD08NOV17	2926.00	3261.67	USD	8,391.75	
08AUG17	B	1	PD08NOV17	2924.50	3261.67	USD	8,429.25	
08AUG17	B	2	PD08NOV17	2924.00	3261.67	USD	16,883.50	
08AUG17	B	2	PD08NOV17	2925.50	3261.67	USD	16,808.50	
08AUG17	B	1	PD08NOV17	2930.00	3261.67	USD	8,291.75	
08AUG17	B	1	PD08NOV17	2928.00	3261.67	USD	8,341.75	
08AUG17	S	1	PD08NOV17	2936.00	3261.67	USD	-8,141.75	
08AUG17	B	1	PD08NOV17	2935.50	3261.67	USD	8,154.25	
08AUG17	B	2	PD08NOV17	2934.00	3261.67	USD	16,383.50	
08AUG17	B	1	PD08NOV17	2933.00	3261.67	USD	8,216.75	
08AUG17	B	1	PD08NOV17	2935.00	3261.67	USD	8,166.75	
08AUG17	B	5	PD08NOV17	2934.50	3261.67	USD	40,896.25	

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+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position				Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>								
08AUG17	B	1	PD08NOV17		2937.00	3261.67	USD	8,116.75	
08AUG17	B	1	PD08NOV17		2932.00	3261.67	USD	8,241.75	
10AUG17	B	1	PD08NOV17		2937.84	3261.67	USD	8,095.75	
10AUG17	B	1	PD08NOV17		2937.64	3261.67	USD	8,100.75	
14AUG17	B	1	PD08NOV17		2916.04	3261.67	USD	8,640.75	
	NET	0AVG	BGHT 2910.93	SOLD 2878.30				-33,438.00	0.00
09AUG17	S	1	PD09NOV17		2939.50	3260.53	USD	-8,025.75	
09AUG17	S	1	PD09NOV17		2940.00	3260.53	USD	-8,013.25	
09AUG17	B	2	PD09NOV17		2939.50	3260.53	USD	16,051.50	
09AUG17	S	1	PD09NOV17		2944.00	3260.53	USD	-7,913.25	
09AUG17	S	1	PD09NOV17		2941.50	3260.53	USD	-7,975.75	
09AUG17	S	1	PD09NOV17		2952.00	3260.53	USD	-7,713.25	
09AUG17	S	3	PD09NOV17		2943.50	3260.53	USD	-23,777.25	
09AUG17	S	2	PD09NOV17		2942.00	3260.53	USD	-15,926.50	
09AUG17	S	2	PD09NOV17		2943.00	3260.53	USD	-15,876.50	
09AUG17	S	1	PD09NOV17		2944.50	3260.53	USD	-7,900.75	
09AUG17	S	2	PD09NOV17		2945.00	3260.53	USD	-15,776.50	
09AUG17	S	1	PD09NOV17		2945.50	3260.53	USD	-7,875.75	
09AUG17	S	1	PD09NOV17		2947.50	3260.53	USD	-7,825.75	
09AUG17	S	1	PD09NOV17		2958.50	3260.53	USD	-7,550.75	
09AUG17	S	1	PD09NOV17		2967.50	3260.53	USD	-7,325.75	
09AUG17	S	1	PD09NOV17		2968.50	3260.53	USD	-7,300.75	
09AUG17	S	1	PD09NOV17		2979.50	3260.53	USD	-7,025.75	
09AUG17	S	1	PD09NOV17		2981.00	3260.53	USD	-6,988.25	
09AUG17	S	1	PD09NOV17		2981.50	3260.53	USD	-6,975.75	
09AUG17	S	1	PD09NOV17		2980.00	3260.53	USD	-7,013.25	
09AUG17	B	1	PD09NOV17		2968.50	3260.53	USD	7,300.75	
09AUG17	B	1	PD09NOV17		2967.50	3260.53	USD	7,325.75	
09AUG17	B	1	PD09NOV17		2965.00	3260.53	USD	7,388.25	
09AUG17	B	5	PD09NOV17		2961.50	3260.53	USD	37,378.75	
09AUG17	B	1	PD09NOV17		2961.00	3260.53	USD	7,488.25	
09AUG17	B	2	PD09NOV17		2962.00	3260.53	USD	14,926.50	
09AUG17	B	1	PD09NOV17		2960.00	3260.53	USD	7,513.25	
09AUG17	B	2	PD09NOV17		2956.00	3260.53	USD	15,226.50	
09AUG17	B	4	PD09NOV17		2957.00	3260.53	USD	30,353.00	
09AUG17	B	1	PD09NOV17		2950.00	3260.53	USD	7,763.25	
09AUG17	B	2	PD09NOV17		2951.00	3260.53	USD	15,476.50	
09AUG17	B	1	PD09NOV17		2949.00	3260.53	USD	7,788.25	
09AUG17	B	2	PD09NOV17		2948.50	3260.53	USD	15,601.50	
09AUG17	B	1	PD09NOV17		2947.50	3260.53	USD	7,825.75	
09AUG17	B	1	PD09NOV17		2947.00	3260.53	USD	7,838.25	
09AUG17	B	1	PD09NOV17		2953.50	3260.53	USD	7,675.75	
09AUG17	S	1	PD09NOV17		2960.00	3260.53	USD	-7,513.25	
09AUG17	S	1	PD09NOV17		2960.50	3260.53	USD	-7,500.75	
09AUG17	S	1	PD09NOV17		2964.50	3260.53	USD	-7,400.75	
09AUG17	S	2	PD09NOV17		2953.00	3260.53	USD	-15,376.50	
09AUG17	S	3	PD09NOV17		2954.50	3260.53	USD	-22,952.25	
09AUG17	S	1	PD09NOV17		2953.50	3260.53	USD	-7,675.75	
09AUG17	S	1	PD09NOV17		2955.00	3260.53	USD	-7,638.25	
09AUG17	S	1	PD09NOV17		2955.50	3260.53	USD	-7,625.75	
09AUG17	S	3	PD09NOV17		2963.50	3260.53	USD	-22,277.25	
09AUG17	S	1	PD09NOV17		2965.00	3260.53	USD	-7,388.25	
09AUG17	S	1	PD09NOV17		2967.00	3260.53	USD	-7,338.25	
09AUG17	S	1	PD09NOV17		2969.50	3260.53	USD	-7,275.75	
09AUG17	S	1	PD09NOV17		2972.50	3260.53	USD	-7,200.75	
09AUG17	S	2	PD09NOV17		2966.00	3260.53	USD	-14,726.50	
09AUG17	B	1	PD09NOV17		2964.00	3260.53	USD	7,413.25	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0112C

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
09AUG17	B	3	PD09NOV17	2955.00	3260.53	USD	22,914.75	
09AUG17	B	1	PD09NOV17	2952.00	3260.53	USD	7,713.25	
09AUG17	B	2	PD09NOV17	2945.00	3260.53	USD	15,776.50	
09AUG17	B	1	PD09NOV17	2944.00	3260.53	USD	7,913.25	
09AUG17	B	1	PD09NOV17	2942.00	3260.53	USD	7,963.25	
09AUG17	B	1	PD09NOV17	2939.00	3260.53	USD	8,038.25	
09AUG17	B	1	PD09NOV17	2937.50	3260.53	USD	8,075.75	
09AUG17	S	1	PD09NOV17	2967.50	3260.53	USD	-7,325.75	
09AUG17	S	2	PD09NOV17	2957.50	3260.53	USD	-15,151.50	
09AUG17	S	4	PD09NOV17	2957.00	3260.53	USD	-30,353.00	
09AUG17	S	1	PD09NOV17	2954.50	3260.53	USD	-7,650.75	
09AUG17	B	2	PD09NOV17	2938.00	3260.53	USD	16,126.50	
09AUG17	B	2	PD09NOV17	2935.00	3260.53	USD	16,276.50	
09AUG17	B	1	PD09NOV17	2934.50	3260.53	USD	8,150.75	
09AUG17	B	2	PD09NOV17	2934.00	3260.53	USD	16,326.50	
09AUG17	B	1	PD09NOV17	2935.50	3260.53	USD	8,125.75	
09AUG17	B	2	PD09NOV17	2936.00	3260.53	USD	16,226.50	
09AUG17	B	1	PD09NOV17	2936.00	3260.53	USD	8,113.25	
10AUG17	S	1	PD09NOV17	2937.62	3260.53	USD	-8,072.75	
14AUG17	B	2	PD09NOV17	2916.20	3260.53	USD	17,216.50	
	NET	0AVG	BGHT 2948.61	SOLD 2956.21			10,068.00	0.00
10AUG17	B	1	PD10NOV17	2943.00	3259.40	USD	7,910.00	
10AUG17	S	2	PD10NOV17	2941.00	3259.40	USD	-15,920.00	
10AUG17	S	1	PD10NOV17	2947.00	3259.40	USD	-7,810.00	
10AUG17	B	1	PD10NOV17	2947.50	3259.40	USD	7,797.50	
10AUG17	B	1	PD10NOV17	2947.00	3259.40	USD	7,810.00	
10AUG17	B	1	PD10NOV17	2946.50	3259.40	USD	7,822.50	
10AUG17	S	7	PD10NOV17	2939.00	3259.40	USD	-56,070.00	
10AUG17	S	1	PD10NOV17	2935.50	3259.40	USD	-8,097.50	
10AUG17	S	1	PD10NOV17	2933.50	3259.40	USD	-8,147.50	
10AUG17	S	1	PD10NOV17	2937.50	3259.40	USD	-8,047.50	
10AUG17	B	1	PD10NOV17	2934.50	3259.40	USD	8,122.50	
10AUG17	B	1	PD10NOV17	2937.50	3259.40	USD	8,047.50	
10AUG17	S	3	PD10NOV17	2943.00	3259.40	USD	-23,730.00	
10AUG17	S	1	PD10NOV17	2946.00	3259.40	USD	-7,835.00	
10AUG17	S	2	PD10NOV17	2944.50	3259.40	USD	-15,745.00	
10AUG17	B	1	PD10NOV17	2938.00	3259.40	USD	8,035.00	
10AUG17	B	1	PD10NOV17	2936.50	3259.40	USD	8,072.50	
10AUG17	S	1	PD10NOV17	2950.00	3259.40	USD	-7,735.00	
10AUG17	S	2	PD10NOV17	2950.50	3259.40	USD	-15,445.00	
10AUG17	B	1	PD10NOV17	2935.50	3259.40	USD	8,097.50	
10AUG17	S	1	PD10NOV17	2942.50	3259.40	USD	-7,922.50	
10AUG17	S	2	PD10NOV17	2942.00	3259.40	USD	-15,870.00	
10AUG17	S	1	PD10NOV17	2943.00	3259.40	USD	-7,910.00	
10AUG17	B	1	PD10NOV17	2939.50	3259.40	USD	7,997.50	
10AUG17	B	3	PD10NOV17	2937.00	3259.40	USD	24,180.00	
10AUG17	S	2	PD10NOV17	2938.50	3259.40	USD	-16,045.00	
10AUG17	S	2	PD10NOV17	2940.00	3259.40	USD	-15,970.00	
10AUG17	B	2	PD10NOV17	2925.00	3259.40	USD	16,720.00	
10AUG17	B	1	PD10NOV17	2922.50	3259.40	USD	8,422.50	
10AUG17	B	3	PD10NOV17	2920.00	3259.40	USD	25,455.00	
10AUG17	B	4	PD10NOV17	2920.50	3259.40	USD	33,890.00	
10AUG17	B	1	PD10NOV17	2921.50	3259.40	USD	8,447.50	
10AUG17	B	1	PD10NOV17	2921.00	3259.40	USD	8,460.00	
10AUG17	B	1	PD10NOV17	2918.00	3259.40	USD	8,535.00	
10AUG17	B	1	PD10NOV17	2916.00	3259.40	USD	8,585.00	
10AUG17	B	1	PD10NOV17	2923.00	3259.40	USD	8,410.00	

OPEN POSITION STATEMENT



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SAP0112C

13th October 2017

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Suite A, 105 Piccadilly
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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
10AUG17	B	4	PD10NOV17	2917.00	3259.40	USD	34,240.00	
10AUG17	B	2	PD10NOV17	2911.00	3259.40	USD	17,420.00	
10AUG17	B	1	PD10NOV17	2914.00	3259.40	USD	8,635.00	
10AUG17	S	2	PD10NOV17	2922.00	3259.40	USD	-16,870.00	
10AUG17	S	1	PD10NOV17	2919.00	3259.40	USD	-8,510.00	
10AUG17	S	1	PD10NOV17	2920.00	3259.40	USD	-8,485.00	
10AUG17	S	1	PD10NOV17	2917.50	3259.40	USD	-8,547.50	
10AUG17	S	1	PD10NOV17	2918.50	3259.40	USD	-8,522.50	
10AUG17	S	1	PD10NOV17	2924.50	3259.40	USD	-8,372.50	
10AUG17	S	1	PD10NOV17	2933.00	3259.40	USD	-8,160.00	
10AUG17	B	1	PD10NOV17	2913.00	3259.40	USD	8,660.00	
10AUG17	S	2	PD10NOV17	2942.00	3259.40	USD	-15,870.00	
10AUG17	S	2	PD10NOV17	2952.00	3259.40	USD	-15,370.00	
10AUG17	S	1	PD10NOV17	2953.00	3259.40	USD	-7,660.00	
10AUG17	S	2	PD10NOV17	2953.50	3259.40	USD	-15,295.00	
10AUG17	S	2	PD10NOV17	2955.00	3259.40	USD	-15,220.00	
10AUG17	S	1	PD10NOV17	2948.00	3259.40	USD	-7,785.00	
10AUG17	S	1	PD10NOV17	2949.50	3259.40	USD	-7,747.50	
10AUG17	S	3	PD10NOV17	2943.50	3259.40	USD	-23,692.50	
10AUG17	B	1	PD10NOV17	2939.00	3259.40	USD	8,010.00	
10AUG17	S	1	PD10NOV17	2940.50	3259.40	USD	-7,972.50	
10AUG17	B	1	PD10NOV17	2930.00	3259.40	USD	8,235.00	
10AUG17	B	2	PD10NOV17	2929.00	3259.40	USD	16,520.00	
10AUG17	B	1	PD10NOV17	2925.50	3259.40	USD	8,347.50	
10AUG17	B	3	PD10NOV17	2928.00	3259.40	USD	24,855.00	
10AUG17	S	1	PD10NOV17	2954.00	3259.40	USD	-7,635.00	
10AUG17	B	1	PD10NOV17	2927.50	3259.40	USD	8,297.50	
11AUG17	B	1	PD10NOV17	2916.50	3259.40	USD	8,572.50	
11AUG17	B	1	PD10NOV17	2902.50	3259.40	USD	8,922.50	
11AUG17	S	1	PD10NOV17	2903.50	3259.40	USD	-8,897.50	
11AUG17	S	2	PD10NOV17	2908.50	3259.40	USD	-17,545.00	
11AUG17	S	1	PD10NOV17	2910.50	3259.40	USD	-8,722.50	
11AUG17	S	1	PD10NOV17	2910.00	3259.40	USD	-8,735.00	
11AUG17	B	2	PD10NOV17	2901.00	3259.40	USD	17,920.00	
11AUG17	B	2	PD10NOV17	2900.50	3259.40	USD	17,945.00	
11AUG17	B	1	PD10NOV17	2899.50	3259.40	USD	8,997.50	
11AUG17	B	2	PD10NOV17	2896.50	3259.40	USD	18,145.00	
11AUG17	B	2	PD10NOV17	2894.50	3259.40	USD	18,245.00	
11AUG17	B	1	PD10NOV17	2897.00	3259.40	USD	9,060.00	
11AUG17	B	2	PD10NOV17	2891.00	3259.40	USD	18,420.00	
11AUG17	B	1	PD10NOV17	2890.50	3259.40	USD	9,222.50	
11AUG17	B	1	PD10NOV17	2889.50	3259.40	USD	9,247.50	
11AUG17	S	2	PD10NOV17	2898.00	3259.40	USD	-18,070.00	
11AUG17	S	1	PD10NOV17	2901.50	3259.40	USD	-8,947.50	
11AUG17	S	3	PD10NOV17	2907.50	3259.40	USD	-26,392.50	
11AUG17	S	1	PD10NOV17	2908.00	3259.40	USD	-8,785.00	
11AUG17	S	2	PD10NOV17	2909.50	3259.40	USD	-17,495.00	
11AUG17	S	1	PD10NOV17	2913.00	3259.40	USD	-8,660.00	
11AUG17	S	1	PD10NOV17	2913.50	3259.40	USD	-8,647.50	
11AUG17	S	8	PD10NOV17	2911.00	3259.40	USD	-69,680.00	
11AUG17	S	2	PD10NOV17	2914.50	3259.40	USD	-17,245.00	
11AUG17	S	1	PD10NOV17	2916.00	3259.40	USD	-8,585.00	
11AUG17	S	1	PD10NOV17	2925.00	3259.40	USD	-8,360.00	
11AUG17	S	1	PD10NOV17	2920.50	3259.40	USD	-8,472.50	
11AUG17	S	1	PD10NOV17	2916.50	3259.40	USD	-8,572.50	
11AUG17	S	1	PD10NOV17	2915.50	3259.40	USD	-8,597.50	
11AUG17	S	1	PD10NOV17	2921.50	3259.40	USD	-8,447.50	

OPEN POSITION STATEMENT



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SAP0112C

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
11AUG17	B	1	PD10NOV17	2921.50	3259.40	USD	8,447.50	
11AUG17	S	2	PD10NOV17	2920.00	3259.40	USD	-16,970.00	
11AUG17	S	3	PD10NOV17	2919.00	3259.40	USD	-25,530.00	
11AUG17	S	1	PD10NOV17	2919.50	3259.40	USD	-8,497.50	
11AUG17	B	1	PD10NOV17	2905.50	3259.40	USD	8,847.50	
11AUG17	B	1	PD10NOV17	2897.50	3259.40	USD	9,047.50	
11AUG17	S	1	PD10NOV17	2915.50	3259.40	USD	-8,597.50	
11AUG17	S	1	PD10NOV17	2919.00	3259.40	USD	-8,510.00	
11AUG17	B	2	PD10NOV17	2904.00	3259.40	USD	17,770.00	
11AUG17	B	1	PD10NOV17	2903.50	3259.40	USD	8,897.50	
11AUG17	B	2	PD10NOV17	2906.50	3259.40	USD	17,645.00	
11AUG17	B	3	PD10NOV17	2906.00	3259.40	USD	26,505.00	
11AUG17	B	8	PD10NOV17	2904.50	3259.40	USD	70,980.00	
11AUG17	B	1	PD10NOV17	2915.00	3259.40	USD	8,610.00	
11AUG17	B	1	PD10NOV17	2913.50	3259.40	USD	8,647.50	
11AUG17	B	1	PD10NOV17	2911.00	3259.40	USD	8,710.00	
11AUG17	B	2	PD10NOV17	2909.50	3259.40	USD	17,495.00	
11AUG17	B	2	PD10NOV17	2908.00	3259.40	USD	17,570.00	
11AUG17	B	3	PD10NOV17	2907.50	3259.40	USD	26,392.50	
11AUG17	B	4	PD10NOV17	2908.50	3259.40	USD	35,090.00	
11AUG17	S	1	PD10NOV17	2895.00	3259.40	USD	-9,110.00	
11AUG17	S	3	PD10NOV17	2906.00	3259.40	USD	-26,505.00	
11AUG17	S	1	PD10NOV17	2905.00	3259.40	USD	-8,860.00	
11AUG17	S	3	PD10NOV17	2902.50	3259.40	USD	-26,767.50	
11AUG17	S	1	PD10NOV17	2904.50	3259.40	USD	-8,872.50	
11AUG17	B	1	PD10NOV17	2899.00	3259.40	USD	9,010.00	
11AUG17	B	5	PD10NOV17	2903.00	3259.40	USD	44,550.00	
11AUG17	S	2	PD10NOV17	2903.00	3259.40	USD	-17,820.00	
11AUG17	B	2	PD10NOV17	2905.00	3259.40	USD	17,720.00	
11AUG17	B	3	PD10NOV17	2900.00	3259.40	USD	26,955.00	
14AUG17	S	3	PD10NOV17	2916.16	3259.40	USD	-25,743.00	
22AUG17	B	3	PD10NOV17	3118.83	3259.40	USD	10,542.75	
	NET	0 AVG BGHT 2919.34	SOLD 2925.82				17,512.25	0.00
14AUG17	S	1	PD14NOV17	2919.50	3257.13	USD	-8,440.75	
14AUG17	S	1	PD14NOV17	2918.00	3257.13	USD	-8,478.25	
14AUG17	S	2	PD14NOV17	2916.50	3257.13	USD	-17,031.50	
14AUG17	S	5	PD14NOV17	2920.00	3257.13	USD	-42,141.25	
14AUG17	S	1	PD14NOV17	2919.00	3257.13	USD	-8,453.25	
14AUG17	S	2	PD14NOV17	2918.50	3257.13	USD	-16,931.50	
14AUG17	S	1	PD14NOV17	2917.00	3257.13	USD	-8,503.25	
14AUG17	B	1	PD14NOV17	2913.50	3257.13	USD	8,590.75	
14AUG17	B	1	PD14NOV17	2912.50	3257.13	USD	8,615.75	
14AUG17	B	1	PD14NOV17	2908.00	3257.13	USD	8,728.25	
14AUG17	S	1	PD14NOV17	2910.00	3257.13	USD	-8,678.25	
14AUG17	B	3	PD14NOV17	2906.00	3257.13	USD	26,334.75	
14AUG17	B	4	PD14NOV17	2906.50	3257.13	USD	35,063.00	
14AUG17	S	3	PD14NOV17	2916.00	3257.13	USD	-25,584.75	
14AUG17	B	1	PD14NOV17	2912.00	3257.13	USD	8,628.25	
14AUG17	S	1	PD14NOV17	2909.50	3257.13	USD	-8,690.75	
14AUG17	S	1	PD14NOV17	2915.00	3257.13	USD	-8,553.25	
14AUG17	B	1	PD14NOV17	2908.50	3257.13	USD	8,715.75	
14AUG17	B	4	PD14NOV17	2905.00	3257.13	USD	35,213.00	
14AUG17	S	1	PD14NOV17	2906.00	3257.13	USD	-8,778.25	
14AUG17	B	1	PD14NOV17	2908.50	3257.13	USD	8,715.75	
14AUG17	S	2	PD14NOV17	2913.00	3257.13	USD	-17,206.50	
14AUG17	S	1	PD14NOV17	2913.50	3257.13	USD	-8,590.75	
14AUG17	B	1	PD14NOV17	2902.00	3257.13	USD	8,878.25	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0112C

13th October 2017

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Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
14AUG17	B	1	PD14NOV17	2899.50	3257.13	USD	8,940.75	
14AUG17	B	1	PD14NOV17	2899.00	3257.13	USD	8,953.25	
14AUG17	B	2	PD14NOV17	2898.50	3257.13	USD	17,931.50	
14AUG17	S	1	PD14NOV17	2902.00	3257.13	USD	-8,878.25	
14AUG17	S	1	PD14NOV17	2909.00	3257.13	USD	-8,703.25	
14AUG17	S	3	PD14NOV17	2912.00	3257.13	USD	-25,884.75	
14AUG17	S	7	PD14NOV17	2914.00	3257.13	USD	-60,047.75	
14AUG17	S	4	PD14NOV17	2914.50	3257.13	USD	-34,263.00	
14AUG17	S	2	PD14NOV17	2915.50	3257.13	USD	-17,081.50	
14AUG17	S	4	PD14NOV17	2917.50	3257.13	USD	-33,963.00	
14AUG17	B	1	PD14NOV17	2903.00	3257.13	USD	8,853.25	
14AUG17	B	3	PD14NOV17	2905.50	3257.13	USD	26,372.25	
14AUG17	S	2	PD14NOV17	2911.50	3257.13	USD	-17,281.50	
14AUG17	S	4	PD14NOV17	2912.50	3257.13	USD	-34,463.00	
14AUG17	B	4	PD14NOV17	2907.50	3257.13	USD	34,963.00	
14AUG17	S	1	PD14NOV17	2913.50	3257.13	USD	-8,590.75	
14AUG17	B	5	PD14NOV17	2902.50	3257.13	USD	44,328.75	
14AUG17	B	2	PD14NOV17	2903.50	3257.13	USD	17,681.50	
14AUG17	B	3	PD14NOV17	2904.00	3257.13	USD	26,484.75	
14AUG17	B	1	PD14NOV17	2923.00	3257.13	USD	8,353.25	
14AUG17	B	1	PD14NOV17	2922.50	3257.13	USD	8,365.75	
14AUG17	B	1	PD14NOV17	2920.50	3257.13	USD	8,415.75	
14AUG17	B	7	PD14NOV17	2920.00	3257.13	USD	58,997.75	
14AUG17	B	1	PD14NOV17	2920.00	3257.13	USD	8,428.25	
14AUG17	B	1	PD14NOV17	2923.50	3257.13	USD	8,340.75	
14AUG17	B	1	PD14NOV17	2924.50	3257.13	USD	8,315.75	
22AUG17	S	2	PD14NOV17	3119.17	3257.13	USD	-6,898.00	
29AUG17	B	1	PD14NOV17	3109.75	3257.13	USD	3,684.50	
	NET	0 AVG BGHT 2912.76	SOLD 2922.23				12,777.25	0.00
15AUG17	B	1	PD15NOV17	2936.00	3256.00	USD	8,000.00	
22AUG17	S	1	PD15NOV17	3119.30	3256.00	USD	-3,417.50	
	NET	0 AVG BGHT 2936.00	SOLD 3119.30				4,582.50	0.00
21AUG17	S	1	PD21NOV17	3164.50	3249.25	USD	-2,118.75	
21AUG17	S	2	PD21NOV17	3171.50	3249.25	USD	-3,887.50	
21AUG17	S	1	PD21NOV17	3173.50	3249.25	USD	-1,893.75	
21AUG17	S	2	PD21NOV17	3172.50	3249.25	USD	-3,837.50	
21AUG17	B	1	PD21NOV17	3178.50	3249.25	USD	1,768.75	
21AUG17	S	1	PD21NOV17	3165.50	3249.25	USD	-2,093.75	
21AUG17	B	1	PD21NOV17	3170.50	3249.25	USD	1,968.75	
21AUG17	B	1	PD21NOV17	3163.50	3249.25	USD	2,143.75	
21AUG17	B	1	PD21NOV17	3164.50	3249.25	USD	2,118.75	
21AUG17	S	1	PD21NOV17	3170.50	3249.25	USD	-1,968.75	
21AUG17	S	2	PD21NOV17	3171.00	3249.25	USD	-3,912.50	
21AUG17	S	1	PD21NOV17	3166.50	3249.25	USD	-2,068.75	
21AUG17	B	1	PD21NOV17	3161.50	3249.25	USD	2,193.75	
21AUG17	B	1	PD21NOV17	3151.50	3249.25	USD	2,443.75	
21AUG17	B	1	PD21NOV17	3152.00	3249.25	USD	2,431.25	
21AUG17	B	1	PD21NOV17	3148.50	3249.25	USD	2,518.75	
21AUG17	B	2	PD21NOV17	3133.50	3249.25	USD	5,787.50	
21AUG17	B	1	PD21NOV17	3158.00	3249.25	USD	2,281.25	
21AUG17	B	1	PD21NOV17	3154.00	3249.25	USD	2,381.25	
21AUG17	B	2	PD21NOV17	3155.50	3249.25	USD	4,687.50	
21AUG17	B	1	PD21NOV17	3143.00	3249.25	USD	2,656.25	
21AUG17	B	2	PD21NOV17	3141.50	3249.25	USD	5,387.50	
21AUG17	B	1	PD21NOV17	3139.00	3249.25	USD	2,756.25	
21AUG17	B	1	PD21NOV17	3152.50	3249.25	USD	2,418.75	
21AUG17	B	1	PD21NOV17	3145.50	3249.25	USD	2,593.75	

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
21AUG17	B	1	PD21NOV17	3146.00	3249.25	USD	2,581.25	
21AUG17	S	1	PD21NOV17	3151.50	3249.25	USD	-2,443.75	
21AUG17	B	2	PD21NOV17	3147.00	3249.25	USD	5,112.50	
21AUG17	S	1	PD21NOV17	3152.00	3249.25	USD	-2,431.25	
21AUG17	S	1	PD21NOV17	3137.00	3249.25	USD	-2,806.25	
21AUG17	B	1	PD21NOV17	3132.00	3249.25	USD	2,931.25	
21AUG17	S	1	PD21NOV17	3140.00	3249.25	USD	-2,731.25	
21AUG17	S	1	PD21NOV17	3142.00	3249.25	USD	-2,681.25	
21AUG17	S	1	PD21NOV17	3153.00	3249.25	USD	-2,406.25	
21AUG17	S	1	PD21NOV17	3171.50	3249.25	USD	-1,943.75	
21AUG17	S	1	PD21NOV17	3172.00	3249.25	USD	-1,931.25	
21AUG17	S	1	PD21NOV17	3134.50	3249.25	USD	-2,868.75	
21AUG17	B	2	PD21NOV17	3129.00	3249.25	USD	6,012.50	
21AUG17	S	1	PD21NOV17	3150.00	3249.25	USD	-2,481.25	
21AUG17	S	1	PD21NOV17	3137.50	3249.25	USD	-2,793.75	
21AUG17	S	1	PD21NOV17	3131.00	3249.25	USD	-2,956.25	
21AUG17	S	2	PD21NOV17	3151.00	3249.25	USD	-4,912.50	
21AUG17	S	1	PD21NOV17	3144.00	3249.25	USD	-2,631.25	
21AUG17	S	2	PD21NOV17	3143.50	3249.25	USD	-5,287.50	
21AUG17	S	2	PD21NOV17	3152.50	3249.25	USD	-4,837.50	
21AUG17	S	2	PD21NOV17	3158.00	3249.25	USD	-4,562.50	
21AUG17	S	2	PD21NOV17	3156.50	3249.25	USD	-4,637.50	
21AUG17	B	3	PD21NOV17	3144.00	3249.25	USD	7,893.75	
21AUG17	B	1	PD21NOV17	3137.50	3249.25	USD	2,793.75	
21AUG17	B	4	PD21NOV17	3141.00	3249.25	USD	10,825.00	
21AUG17	B	1	PD21NOV17	3140.50	3249.25	USD	2,718.75	
21AUG17	B	3	PD21NOV17	3138.00	3249.25	USD	8,343.75	
21AUG17	B	2	PD21NOV17	3139.50	3249.25	USD	5,487.50	
21AUG17	B	5	PD21NOV17	3128.00	3249.25	USD	15,156.25	
21AUG17	S	2	PD21NOV17	3129.50	3249.25	USD	-5,987.50	
21AUG17	B	2	PD21NOV17	3124.00	3249.25	USD	6,262.50	
21AUG17	B	2	PD21NOV17	3122.50	3249.25	USD	6,337.50	
21AUG17	B	3	PD21NOV17	3125.50	3249.25	USD	9,281.25	
21AUG17	S	7	PD21NOV17	3140.00	3249.25	USD	-19,118.75	
21AUG17	S	3	PD21NOV17	3141.00	3249.25	USD	-8,118.75	
21AUG17	S	4	PD21NOV17	3141.50	3249.25	USD	-10,775.00	
21AUG17	S	1	PD21NOV17	3139.00	3249.25	USD	-2,756.25	
21AUG17	S	4	PD21NOV17	3139.50	3249.25	USD	-10,975.00	
21AUG17	S	2	PD21NOV17	3138.00	3249.25	USD	-5,562.50	
21AUG17	S	2	PD21NOV17	3138.50	3249.25	USD	-5,537.50	
21AUG17	S	1	PD21NOV17	3136.00	3249.25	USD	-2,831.25	
21AUG17	B	3	PD21NOV17	3129.50	3249.25	USD	8,981.25	
21AUG17	S	5	PD21NOV17	3131.50	3249.25	USD	-14,718.75	
21AUG17	B	2	PD21NOV17	3134.50	3249.25	USD	5,737.50	
21AUG17	B	1	PD21NOV17	3128.50	3249.25	USD	3,018.75	
21AUG17	B	2	PD21NOV17	3126.00	3249.25	USD	6,162.50	
21AUG17	B	2	PD21NOV17	3124.50	3249.25	USD	6,237.50	
21AUG17	B	3	PD21NOV17	3122.00	3249.25	USD	9,543.75	
21AUG17	S	1	PD21NOV17	3116.50	3249.25	USD	-3,318.75	
21AUG17	B	1	PD21NOV17	3128.00	3249.25	USD	3,031.25	
21AUG17	S	1	PD21NOV17	3124.50	3249.25	USD	-3,118.75	
06SEP17	B	1	PD21NOV17	3092.29	3249.25	USD	3,924.00	
	NET	0 AVG BGHT 3137.66	SOLD 3146.60				14,967.75	0.00
22AUG17	S	2	PD22NOV17	3121.00	3248.38	USD	-6,369.00	
22AUG17	S	3	PD22NOV17	3123.00	3248.38	USD	-9,403.50	
22AUG17	S	1	PD22NOV17	3124.50	3248.38	USD	-3,097.00	
22AUG17	S	1	PD22NOV17	3134.00	3248.38	USD	-2,859.50	

OPEN POSITION STATEMENT



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22AUG17	B	2	PD22NOV17	3143.50	3248.38	USD	5,244.00	
22AUG17	B	3	PD22NOV17	3145.50	3248.38	USD	7,716.00	
22AUG17	S	1	PD22NOV17	3132.50	3248.38	USD	-2,897.00	
22AUG17	S	2	PD22NOV17	3130.50	3248.38	USD	-5,894.00	
22AUG17	S	1	PD22NOV17	3137.50	3248.38	USD	-2,772.00	
22AUG17	S	3	PD22NOV17	3138.00	3248.38	USD	-8,278.50	
22AUG17	B	2	PD22NOV17	3140.00	3248.38	USD	5,419.00	
22AUG17	S	1	PD22NOV17	3143.50	3248.38	USD	-2,622.00	
22AUG17	S	1	PD22NOV17	3142.00	3248.38	USD	-2,659.50	
22AUG17	S	3	PD22NOV17	3143.00	3248.38	USD	-7,903.50	
22AUG17	S	2	PD22NOV17	3144.50	3248.38	USD	-5,194.00	
22AUG17	S	2	PD22NOV17	3144.00	3248.38	USD	-5,219.00	
22AUG17	S	2	PD22NOV17	3146.00	3248.38	USD	-5,119.00	
22AUG17	S	2	PD22NOV17	3148.50	3248.38	USD	-4,994.00	
22AUG17	S	1	PD22NOV17	3149.50	3248.38	USD	-2,472.00	
22AUG17	S	1	PD22NOV17	3150.00	3248.38	USD	-2,459.50	
22AUG17	S	1	PD22NOV17	3151.50	3248.38	USD	-2,422.00	
22AUG17	S	2	PD22NOV17	3158.50	3248.38	USD	-4,494.00	
22AUG17	S	1	PD22NOV17	3160.00	3248.38	USD	-2,209.50	
22AUG17	S	1	PD22NOV17	3160.50	3248.38	USD	-2,197.00	
22AUG17	S	1	PD22NOV17	3163.00	3248.38	USD	-2,134.50	
22AUG17	S	1	PD22NOV17	3163.50	3248.38	USD	-2,122.00	
22AUG17	S	1	PD22NOV17	3164.00	3248.38	USD	-2,109.50	
22AUG17	S	4	PD22NOV17	3162.00	3248.38	USD	-8,638.00	
22AUG17	B	3	PD22NOV17	3149.00	3248.38	USD	7,453.50	
22AUG17	B	2	PD22NOV17	3148.00	3248.38	USD	5,019.00	
22AUG17	B	2	PD22NOV17	3145.00	3248.38	USD	5,169.00	
22AUG17	B	3	PD22NOV17	3143.00	3248.38	USD	7,903.50	
22AUG17	B	1	PD22NOV17	3148.50	3248.38	USD	2,497.00	
22AUG17	S	1	PD22NOV17	3153.50	3248.38	USD	-2,372.00	
22AUG17	B	1	PD22NOV17	3155.50	3248.38	USD	2,322.00	
22AUG17	B	1	PD22NOV17	3144.00	3248.38	USD	2,609.50	
22AUG17	S	1	PD22NOV17	3133.00	3248.38	USD	-2,884.50	
22AUG17	S	1	PD22NOV17	3147.00	3248.38	USD	-2,534.50	
22AUG17	S	1	PD22NOV17	3158.50	3248.38	USD	-2,247.00	
22AUG17	S	1	PD22NOV17	3155.00	3248.38	USD	-2,334.50	
22AUG17	S	1	PD22NOV17	3164.50	3248.38	USD	-2,097.00	
22AUG17	B	1	PD22NOV17	3157.50	3248.38	USD	2,272.00	
22AUG17	B	4	PD22NOV17	3146.50	3248.38	USD	10,188.00	
22AUG17	B	1	PD22NOV17	3142.00	3248.38	USD	2,659.50	
22AUG17	B	1	PD22NOV17	3137.50	3248.38	USD	2,772.00	
22AUG17	S	1	PD22NOV17	3133.50	3248.38	USD	-2,872.00	
22AUG17	B	1	PD22NOV17	3127.50	3248.38	USD	3,022.00	
22AUG17	B	1	PD22NOV17	3129.00	3248.38	USD	2,984.50	
22AUG17	B	1	PD22NOV17	3134.50	3248.38	USD	2,847.00	
22AUG17	B	1	PD22NOV17	3126.50	3248.38	USD	3,047.00	
22AUG17	B	1	PD22NOV17	3124.50	3248.38	USD	3,097.00	
22AUG17	B	1	PD22NOV17	3121.50	3248.38	USD	3,172.00	
22AUG17	B	2	PD22NOV17	3122.50	3248.38	USD	6,294.00	
22AUG17	S	1	PD22NOV17	3130.00	3248.38	USD	-2,959.50	
22AUG17	S	1	PD22NOV17	3131.00	3248.38	USD	-2,934.50	
22AUG17	B	2	PD22NOV17	3125.50	3248.38	USD	6,144.00	
22AUG17	B	1	PD22NOV17	3128.50	3248.38	USD	2,997.00	
22AUG17	B	2	PD22NOV17	3131.00	3248.38	USD	5,869.00	
22AUG17	B	2	PD22NOV17	3131.50	3248.38	USD	5,844.00	
22AUG17	B	1	PD22NOV17	3126.00	3248.38	USD	3,059.50	
22AUG17	B	5	PD22NOV17	3123.50	3248.38	USD	15,610.00	

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22AUG17	S	2	PD22NOV17		3120.00	3248.38	USD	-6,419.00	
22AUG17	B	1	PD22NOV17		3120.50	3248.38	USD	3,197.00	
22AUG17	B	1	PD22NOV17		3124.00	3248.38	USD	3,109.50	
22AUG17	B	1	PD22NOV17		3116.50	3248.38	USD	3,297.00	
22AUG17	S	1	PD22NOV17		3127.00	3248.38	USD	-3,034.50	
22AUG17	B	3	PD22NOV17		3122.00	3248.38	USD	9,478.50	
22AUG17	B	3	PD22NOV17		3121.00	3248.38	USD	9,553.50	
22AUG17	B	2	PD22NOV17		3119.50	3248.38	USD	6,444.00	
22AUG17	S	1	PD22NOV17		3116.50	3248.38	USD	-3,297.00	
22AUG17	B	1	PD22NOV17		3115.00	3248.38	USD	3,334.50	
22AUG17	S	1	PD22NOV17		3112.50	3248.38	USD	-3,397.00	
22AUG17	S	2	PD22NOV17		3113.50	3248.38	USD	-6,744.00	
22AUG17	B	1	PD22NOV17		3117.50	3248.38	USD	3,272.00	
22AUG17	B	1	PD22NOV17		3115.50	3248.38	USD	3,322.00	
22AUG17	B	1	PD22NOV17		3114.00	3248.38	USD	3,359.50	
22AUG17	B	1	PD22NOV17		3112.50	3248.38	USD	3,397.00	
22AUG17	B	1	PD22NOV17		3111.50	3248.38	USD	3,422.00	
22AUG17	B	1	PD22NOV17		3103.00	3248.38	USD	3,634.50	
22AUG17	B	1	PD22NOV17		3102.00	3248.38	USD	3,659.50	
22AUG17	B	1	PD22NOV17		3101.00	3248.38	USD	3,684.50	
22AUG17	B	1	PD22NOV17		3151.00	3248.38	USD	2,434.50	
22AUG17	S	1	PD22NOV17		3116.00	3248.38	USD	-3,309.50	
22AUG17	S	2	PD22NOV17		3115.50	3248.38	USD	-6,644.00	
22AUG17	S	2	PD22NOV17		3115.00	3248.38	USD	-6,669.00	
22AUG17	S	1	PD22NOV17		3123.50	3248.38	USD	-3,122.00	
22AUG17	S	4	PD22NOV17		3121.50	3248.38	USD	-12,688.00	
29AUG17	S	1	PD22NOV17		3108.23	3248.38	USD	-3,503.75	
29AUG17	S	1	PD22NOV17		3108.43	3248.38	USD	-3,498.75	
	NET	0	AVG BGHT 3131.38	SOLD 3137.02				9,729.00	0.00
23AUG17	S	2	PD24NOV17		3118.50	3247.50	USD	-6,450.00	
23AUG17	S	2	PD24NOV17		3119.50	3247.50	USD	-6,400.00	
23AUG17	S	1	PD24NOV17		3118.00	3247.50	USD	-3,237.50	
23AUG17	S	1	PD24NOV17		3116.50	3247.50	USD	-3,275.00	
23AUG17	S	2	PD24NOV17		3119.00	3247.50	USD	-6,425.00	
23AUG17	S	1	PD24NOV17		3117.00	3247.50	USD	-3,262.50	
23AUG17	S	1	PD24NOV17		3120.50	3247.50	USD	-3,175.00	
23AUG17	S	2	PD24NOV17		3129.00	3247.50	USD	-5,925.00	
23AUG17	S	2	PD24NOV17		3130.00	3247.50	USD	-5,875.00	
23AUG17	S	1	PD24NOV17		3131.00	3247.50	USD	-2,912.50	
23AUG17	S	1	PD24NOV17		3134.50	3247.50	USD	-2,825.00	
23AUG17	S	4	PD24NOV17		3135.00	3247.50	USD	-11,250.00	
23AUG17	B	1	PD24NOV17		3130.50	3247.50	USD	2,925.00	
23AUG17	B	1	PD24NOV17		3128.00	3247.50	USD	2,987.50	
23AUG17	B	1	PD24NOV17		3127.00	3247.50	USD	3,012.50	
23AUG17	B	1	PD24NOV17		3126.00	3247.50	USD	3,037.50	
23AUG17	B	1	PD24NOV17		3125.00	3247.50	USD	3,062.50	
23AUG17	S	6	PD24NOV17		3124.00	3247.50	USD	-18,525.00	
23AUG17	S	1	PD24NOV17		3123.50	3247.50	USD	-3,100.00	
23AUG17	S	4	PD24NOV17		3123.00	3247.50	USD	-12,450.00	
23AUG17	S	2	PD24NOV17		3127.00	3247.50	USD	-6,025.00	
23AUG17	S	6	PD24NOV17		3127.50	3247.50	USD	-18,000.00	
23AUG17	S	4	PD24NOV17		3124.50	3247.50	USD	-12,300.00	
23AUG17	S	2	PD24NOV17		3126.50	3247.50	USD	-6,050.00	
23AUG17	S	7	PD24NOV17		3128.00	3247.50	USD	-20,912.50	
23AUG17	S	2	PD24NOV17		3125.50	3247.50	USD	-6,100.00	
23AUG17	S	3	PD24NOV17		3126.00	3247.50	USD	-9,112.50	
23AUG17	S	1	PD24NOV17		3121.50	3247.50	USD	-3,150.00	

OPEN POSITION STATEMENT



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SAP0112C

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
23AUG17	S	1	PD24NOV17	3120.00	3247.50	USD	-3,187.50	
23AUG17	B	1	PD24NOV17	3123.00	3247.50	USD	3,112.50	
23AUG17	B	2	PD24NOV17	3130.00	3247.50	USD	5,875.00	
23AUG17	S	2	PD24NOV17	3141.00	3247.50	USD	-5,325.00	
23AUG17	S	1	PD24NOV17	3142.00	3247.50	USD	-2,637.50	
23AUG17	S	2	PD24NOV17	3143.00	3247.50	USD	-5,225.00	
23AUG17	S	1	PD24NOV17	3144.50	3247.50	USD	-2,575.00	
23AUG17	S	1	PD24NOV17	3145.00	3247.50	USD	-2,562.50	
23AUG17	S	2	PD24NOV17	3146.00	3247.50	USD	-5,075.00	
23AUG17	S	3	PD24NOV17	3143.50	3247.50	USD	-7,800.00	
23AUG17	B	1	PD24NOV17	3135.00	3247.50	USD	2,812.50	
23AUG17	B	1	PD24NOV17	3139.50	3247.50	USD	2,700.00	
23AUG17	B	1	PD24NOV17	3138.00	3247.50	USD	2,737.50	
23AUG17	B	1	PD24NOV17	3122.50	3247.50	USD	3,125.00	
23AUG17	B	1	PD24NOV17	3129.50	3247.50	USD	2,950.00	
23AUG17	B	1	PD24NOV17	3132.00	3247.50	USD	2,887.50	
23AUG17	B	2	PD24NOV17	3124.50	3247.50	USD	6,150.00	
23AUG17	B	3	PD24NOV17	3121.50	3247.50	USD	9,450.00	
23AUG17	B	3	PD24NOV17	3122.50	3247.50	USD	9,375.00	
23AUG17	B	3	PD24NOV17	3122.00	3247.50	USD	9,412.50	
23AUG17	B	2	PD24NOV17	3121.00	3247.50	USD	6,325.00	
23AUG17	B	6	PD24NOV17	3120.50	3247.50	USD	19,050.00	
23AUG17	B	3	PD24NOV17	3118.50	3247.50	USD	9,675.00	
23AUG17	B	3	PD24NOV17	3120.00	3247.50	USD	9,562.50	
23AUG17	B	6	PD24NOV17	3119.50	3247.50	USD	19,200.00	
23AUG17	B	5	PD24NOV17	3119.00	3247.50	USD	16,062.50	
23AUG17	B	3	PD24NOV17	3118.00	3247.50	USD	9,712.50	
23AUG17	B	3	PD24NOV17	3117.50	3247.50	USD	9,750.00	
23AUG17	B	3	PD24NOV17	3117.00	3247.50	USD	9,787.50	
23AUG17	B	1	PD24NOV17	3115.50	3247.50	USD	3,300.00	
23AUG17	B	2	PD24NOV17	3116.50	3247.50	USD	6,550.00	
23AUG17	B	1	PD24NOV17	3114.00	3247.50	USD	3,337.50	
23AUG17	B	3	PD24NOV17	3115.00	3247.50	USD	9,937.50	
23AUG17	B	1	PD24NOV17	3116.00	3247.50	USD	3,287.50	
23AUG17	B	1	PD24NOV17	3114.50	3247.50	USD	3,325.00	
23AUG17	B	2	PD24NOV17	3113.50	3247.50	USD	6,700.00	
23AUG17	B	2	PD24NOV17	3109.50	3247.50	USD	6,900.00	
23AUG17	B	2	PD24NOV17	3108.00	3247.50	USD	6,975.00	
23AUG17	B	2	PD24NOV17	3107.00	3247.50	USD	7,025.00	
23AUG17	B	2	PD24NOV17	3105.50	3247.50	USD	7,100.00	
23AUG17	S	1	PD24NOV17	3100.50	3247.50	USD	-3,675.00	
23AUG17	B	2	PD24NOV17	3100.50	3247.50	USD	7,350.00	
23AUG17	B	1	PD24NOV17	3097.00	3247.50	USD	3,762.50	
23AUG17	B	2	PD24NOV17	3096.50	3247.50	USD	7,550.00	
23AUG17	B	1	PD24NOV17	3087.50	3247.50	USD	4,000.00	
23AUG17	S	1	PD24NOV17	3104.50	3247.50	USD	-3,575.00	
23AUG17	S	3	PD24NOV17	3105.00	3247.50	USD	-10,687.50	
23AUG17	S	1	PD24NOV17	3110.00	3247.50	USD	-3,437.50	
23AUG17	S	2	PD24NOV17	3111.00	3247.50	USD	-6,825.00	
23AUG17	B	1	PD24NOV17	3094.50	3247.50	USD	3,825.00	
23AUG17	B	2	PD24NOV17	3093.50	3247.50	USD	7,700.00	
23AUG17	B	2	PD24NOV17	3094.00	3247.50	USD	7,675.00	
23AUG17	S	1	PD24NOV17	3098.00	3247.50	USD	-3,737.50	
23AUG17	S	5	PD24NOV17	3107.50	3247.50	USD	-17,500.00	
23AUG17	B	1	PD24NOV17	3101.50	3247.50	USD	3,650.00	
23AUG17	B	2	PD24NOV17	3103.00	3247.50	USD	7,225.00	
23AUG17	S	3	PD24NOV17	3109.00	3247.50	USD	-10,387.50	

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
23AUG17	B	1	PD24NOV17	3098.50	3247.50	USD	3,725.00	
23AUG17	B	2	PD24NOV17	3099.50	3247.50	USD	7,400.00	
23AUG17	B	3	PD24NOV17	3102.50	3247.50	USD	10,875.00	
23AUG17	B	3	PD24NOV17	3099.00	3247.50	USD	11,137.50	
23AUG17	B	3	PD24NOV17	3098.00	3247.50	USD	11,212.50	
24AUG17	S	2	PD24NOV17	3120.50	3247.50	USD	-6,350.00	
24AUG17	B	1	PD24NOV17	3111.50	3247.50	USD	3,400.00	
24AUG17	B	2	PD24NOV17	3101.50	3247.50	USD	7,300.00	
24AUG17	S	2	PD24NOV17	3115.00	3247.50	USD	-6,625.00	
24AUG17	S	5	PD24NOV17	3116.50	3247.50	USD	-16,375.00	
24AUG17	S	1	PD24NOV17	3117.50	3247.50	USD	-3,250.00	
24AUG17	S	5	PD24NOV17	3116.00	3247.50	USD	-16,437.50	
24AUG17	S	2	PD24NOV17	3114.50	3247.50	USD	-6,650.00	
24AUG17	S	5	PD24NOV17	3109.00	3247.50	USD	-17,312.50	
24AUG17	S	3	PD24NOV17	3109.50	3247.50	USD	-10,350.00	
24AUG17	B	2	PD24NOV17	3099.50	3247.50	USD	7,400.00	
24AUG17	B	1	PD24NOV17	3103.00	3247.50	USD	3,612.50	
24AUG17	B	1	PD24NOV17	3102.50	3247.50	USD	3,625.00	
24AUG17	S	1	PD24NOV17	3118.50	3247.50	USD	-3,225.00	
24AUG17	B	1	PD24NOV17	3102.00	3247.50	USD	3,637.50	
24AUG17	B	2	PD24NOV17	3104.00	3247.50	USD	7,175.00	
24AUG17	B	2	PD24NOV17	3106.50	3247.50	USD	7,050.00	
24AUG17	B	1	PD24NOV17	3105.00	3247.50	USD	3,562.50	
24AUG17	B	1	PD24NOV17	3104.50	3247.50	USD	3,575.00	
24AUG17	B	1	PD24NOV17	3099.00	3247.50	USD	3,712.50	
24AUG17	S	5	PD24NOV17	3118.00	3247.50	USD	-16,187.50	
24AUG17	S	2	PD24NOV17	3110.00	3247.50	USD	-6,875.00	
24AUG17	S	3	PD24NOV17	3112.00	3247.50	USD	-10,162.50	
24AUG17	S	4	PD24NOV17	3111.50	3247.50	USD	-13,600.00	
24AUG17	S	1	PD24NOV17	3115.50	3247.50	USD	-3,300.00	
24AUG17	S	2	PD24NOV17	3119.50	3247.50	USD	-6,400.00	
24AUG17	S	2	PD24NOV17	3121.00	3247.50	USD	-6,325.00	
24AUG17	S	1	PD24NOV17	3119.00	3247.50	USD	-3,212.50	
24AUG17	S	1	PD24NOV17	3129.00	3247.50	USD	-2,962.50	
24AUG17	B	2	PD24NOV17	3124.50	3247.50	USD	6,150.00	
24AUG17	B	2	PD24NOV17	3124.00	3247.50	USD	6,175.00	
24AUG17	B	1	PD24NOV17	3123.00	3247.50	USD	3,112.50	
24AUG17	B	1	PD24NOV17	3120.00	3247.50	USD	3,187.50	
24AUG17	B	1	PD24NOV17	3118.00	3247.50	USD	3,237.50	
24AUG17	B	1	PD24NOV17	3114.00	3247.50	USD	3,337.50	
24AUG17	B	2	PD24NOV17	3112.50	3247.50	USD	6,750.00	
24AUG17	B	2	PD24NOV17	3113.00	3247.50	USD	6,725.00	
24AUG17	B	2	PD24NOV17	3113.50	3247.50	USD	6,700.00	
24AUG17	B	4	PD24NOV17	3112.00	3247.50	USD	13,550.00	
24AUG17	B	1	PD24NOV17	3109.50	3247.50	USD	3,450.00	
24AUG17	B	1	PD24NOV17	3108.50	3247.50	USD	3,475.00	
24AUG17	B	2	PD24NOV17	3108.00	3247.50	USD	6,975.00	
24AUG17	B	1	PD24NOV17	3106.00	3247.50	USD	3,537.50	
24AUG17	B	1	PD24NOV17	3105.50	3247.50	USD	3,550.00	
25AUG17	S	2	PD24NOV17	3129.00	3247.50	USD	-5,925.00	
25AUG17	S	2	PD24NOV17	3129.50	3247.50	USD	-5,900.00	
25AUG17	S	2	PD24NOV17	3130.00	3247.50	USD	-5,875.00	
25AUG17	S	1	PD24NOV17	3127.00	3247.50	USD	-3,012.50	
25AUG17	S	1	PD24NOV17	3128.50	3247.50	USD	-2,975.00	
25AUG17	S	1	PD24NOV17	3128.00	3247.50	USD	-2,987.50	
25AUG17	S	2	PD24NOV17	3135.00	3247.50	USD	-5,625.00	
25AUG17	B	1	PD24NOV17	3120.00	3247.50	USD	3,187.50	

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
25AUG17	B	1	PD24NOV17	3120.50	3247.50	USD	3,175.00	
25AUG17	B	1	PD24NOV17	3113.50	3247.50	USD	3,350.00	
25AUG17	B	2	PD24NOV17	3107.50	3247.50	USD	7,000.00	
25AUG17	B	1	PD24NOV17	3111.00	3247.50	USD	3,412.50	
25AUG17	B	1	PD24NOV17	3110.50	3247.50	USD	3,425.00	
25AUG17	B	3	PD24NOV17	3109.50	3247.50	USD	10,350.00	
25AUG17	S	1	PD24NOV17	3110.50	3247.50	USD	-3,425.00	
25AUG17	S	1	PD24NOV17	3108.50	3247.50	USD	-3,475.00	
25AUG17	B	1	PD24NOV17	3102.50	3247.50	USD	3,625.00	
25AUG17	B	1	PD24NOV17	3096.00	3247.50	USD	3,787.50	
25AUG17	B	1	PD24NOV17	3083.50	3247.50	USD	4,100.00	
25AUG17	S	1	PD24NOV17	3080.50	3247.50	USD	-4,175.00	
25AUG17	S	1	PD24NOV17	3082.50	3247.50	USD	-4,125.00	
25AUG17	S	1	PD24NOV17	3072.50	3247.50	USD	-4,375.00	
25AUG17	B	1	PD24NOV17	3073.00	3247.50	USD	4,362.50	
25AUG17	B	1	PD24NOV17	3072.50	3247.50	USD	4,375.00	
25AUG17	B	1	PD24NOV17	3067.00	3247.50	USD	4,512.50	
25AUG17	B	1	PD24NOV17	3065.50	3247.50	USD	4,550.00	
25AUG17	S	2	PD24NOV17	3079.00	3247.50	USD	-8,425.00	
25AUG17	S	1	PD24NOV17	3078.50	3247.50	USD	-4,225.00	
25AUG17	S	1	PD24NOV17	3082.00	3247.50	USD	-4,137.50	
25AUG17	S	1	PD24NOV17	3090.00	3247.50	USD	-3,937.50	
25AUG17	S	1	PD24NOV17	3090.50	3247.50	USD	-3,925.00	
25AUG17	S	5	PD24NOV17	3091.00	3247.50	USD	-19,562.50	
25AUG17	B	1	PD24NOV17	3069.00	3247.50	USD	4,462.50	
29AUG17	B	1	PD24NOV17	3109.20	3247.50	USD	3,457.50	
	NET	0 AVG BGHT 3111.36	SOLD 3118.45				28,720.00	0.00
29AUG17	S	2	PD29NOV17	3105.00	3246.75	USD	-7,087.50	
29AUG17	S	1	PD29NOV17	3112.50	3246.75	USD	-3,356.25	
29AUG17	S	2	PD29NOV17	3099.00	3246.75	USD	-7,387.50	
29AUG17	S	2	PD29NOV17	3100.00	3246.75	USD	-7,337.50	
29AUG17	S	2	PD29NOV17	3109.00	3246.75	USD	-6,887.50	
29AUG17	S	3	PD29NOV17	3108.50	3246.75	USD	-10,368.75	
29AUG17	S	1	PD29NOV17	3104.00	3246.75	USD	-3,568.75	
29AUG17	S	2	PD29NOV17	3099.50	3246.75	USD	-7,362.50	
29AUG17	S	1	PD29NOV17	3090.50	3246.75	USD	-3,906.25	
29AUG17	S	1	PD29NOV17	3089.50	3246.75	USD	-3,931.25	
29AUG17	S	1	PD29NOV17	3096.00	3246.75	USD	-3,768.75	
29AUG17	S	1	PD29NOV17	3118.00	3246.75	USD	-3,218.75	
29AUG17	B	2	PD29NOV17	3124.00	3246.75	USD	6,137.50	
29AUG17	B	1	PD29NOV17	3122.00	3246.75	USD	3,118.75	
29AUG17	B	3	PD29NOV17	3122.50	3246.75	USD	9,318.75	
29AUG17	B	3	PD29NOV17	3121.50	3246.75	USD	9,393.75	
29AUG17	B	1	PD29NOV17	3117.50	3246.75	USD	3,231.25	
29AUG17	B	7	PD29NOV17	3118.50	3246.75	USD	22,443.75	
29AUG17	B	1	PD29NOV17	3116.50	3246.75	USD	3,256.25	
29AUG17	B	1	PD29NOV17	3097.50	3246.75	USD	3,731.25	
29AUG17	B	1	PD29NOV17	3099.50	3246.75	USD	3,681.25	
06SEP17	S	1	PD29NOV17	3093.05	3246.75	USD	-3,842.50	
06SEP17	S	1	PD29NOV17	3093.25	3246.75	USD	-3,837.50	
04OCT17	B	1	PD29NOV17	3305.29	3246.75	USD	-1,463.50	
	NET	0 AVG BGHT 3127.04	SOLD 3102.25				-13,012.25	0.00
30AUG17	S	1	PD30NOV17	3130.00	3246.50	USD	-2,912.50	
30AUG17	S	1	PD30NOV17	3129.00	3246.50	USD	-2,937.50	
30AUG17	S	2	PD30NOV17	3129.50	3246.50	USD	-5,850.00	
30AUG17	S	1	PD30NOV17	3127.50	3246.50	USD	-2,975.00	
30AUG17	S	1	PD30NOV17	3127.00	3246.50	USD	-2,987.50	

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
30AUG17	S	2	PD30NOV17	3125.50	3246.50	USD	-6,050.00	
30AUG17	S	4	PD30NOV17	3126.50	3246.50	USD	-12,000.00	
30AUG17	S	1	PD30NOV17	3142.50	3246.50	USD	-2,600.00	
30AUG17	S	1	PD30NOV17	3146.50	3246.50	USD	-2,500.00	
30AUG17	S	1	PD30NOV17	3149.50	3246.50	USD	-2,425.00	
30AUG17	B	3	PD30NOV17	3139.00	3246.50	USD	8,062.50	
30AUG17	B	2	PD30NOV17	3138.00	3246.50	USD	5,425.00	
30AUG17	B	1	PD30NOV17	3132.00	3246.50	USD	2,862.50	
30AUG17	B	1	PD30NOV17	3131.00	3246.50	USD	2,887.50	
30AUG17	S	1	PD30NOV17	3125.00	3246.50	USD	-3,037.50	
30AUG17	S	1	PD30NOV17	3128.00	3246.50	USD	-2,962.50	
30AUG17	S	1	PD30NOV17	3129.50	3246.50	USD	-2,925.00	
30AUG17	S	1	PD30NOV17	3125.50	3246.50	USD	-3,025.00	
30AUG17	S	1	PD30NOV17	3124.00	3246.50	USD	-3,062.50	
30AUG17	S	1	PD30NOV17	3115.00	3246.50	USD	-3,287.50	
30AUG17	S	1	PD30NOV17	3119.00	3246.50	USD	-3,187.50	
30AUG17	S	1	PD30NOV17	3115.50	3246.50	USD	-3,275.00	
30AUG17	B	1	PD30NOV17	3101.50	3246.50	USD	3,625.00	
30AUG17	S	1	PD30NOV17	3110.50	3246.50	USD	-3,400.00	
30AUG17	B	1	PD30NOV17	3113.00	3246.50	USD	3,337.50	
30AUG17	B	1	PD30NOV17	3112.50	3246.50	USD	3,350.00	
30AUG17	B	1	PD30NOV17	3108.50	3246.50	USD	3,450.00	
30AUG17	B	1	PD30NOV17	3101.00	3246.50	USD	3,637.50	
30AUG17	B	1	PD30NOV17	3098.00	3246.50	USD	3,712.50	
30AUG17	B	1	PD30NOV17	3097.00	3246.50	USD	3,737.50	
30AUG17	B	1	PD30NOV17	3095.00	3246.50	USD	3,787.50	
30AUG17	B	1	PD30NOV17	3095.50	3246.50	USD	3,775.00	
30AUG17	B	6	PD30NOV17	3094.00	3246.50	USD	22,875.00	
30AUG17	B	1	PD30NOV17	3094.00	3246.50	USD	3,812.50	
31AUG17	S	2	PD30NOV17	3109.50	3246.50	USD	-6,850.00	
31AUG17	S	1	PD30NOV17	3106.00	3246.50	USD	-3,512.50	
31AUG17	B	1	PD30NOV17	3094.50	3246.50	USD	3,800.00	
31AUG17	S	1	PD30NOV17	3098.50	3246.50	USD	-3,700.00	
31AUG17	S	4	PD30NOV17	3099.50	3246.50	USD	-14,700.00	
31AUG17	S	2	PD30NOV17	3102.50	3246.50	USD	-7,200.00	
31AUG17	S	2	PD30NOV17	3105.00	3246.50	USD	-7,075.00	
31AUG17	S	2	PD30NOV17	3105.50	3246.50	USD	-7,050.00	
31AUG17	S	2	PD30NOV17	3106.50	3246.50	USD	-7,000.00	
31AUG17	S	1	PD30NOV17	3120.00	3246.50	USD	-3,162.50	
31AUG17	S	2	PD30NOV17	3120.50	3246.50	USD	-6,300.00	
31AUG17	S	1	PD30NOV17	3118.50	3246.50	USD	-3,200.00	
31AUG17	S	1	PD30NOV17	3119.00	3246.50	USD	-3,187.50	
31AUG17	S	1	PD30NOV17	3119.50	3246.50	USD	-3,175.00	
31AUG17	S	3	PD30NOV17	3114.00	3246.50	USD	-9,937.50	
31AUG17	S	1	PD30NOV17	3114.50	3246.50	USD	-3,300.00	
31AUG17	S	2	PD30NOV17	3115.00	3246.50	USD	-6,575.00	
31AUG17	S	2	PD30NOV17	3116.00	3246.50	USD	-6,525.00	
31AUG17	S	1	PD30NOV17	3116.50	3246.50	USD	-3,250.00	
31AUG17	S	1	PD30NOV17	3117.50	3246.50	USD	-3,225.00	
31AUG17	S	1	PD30NOV17	3121.50	3246.50	USD	-3,125.00	
31AUG17	S	1	PD30NOV17	3122.00	3246.50	USD	-3,112.50	
31AUG17	S	1	PD30NOV17	3122.50	3246.50	USD	-3,100.00	
31AUG17	S	1	PD30NOV17	3124.00	3246.50	USD	-3,062.50	
31AUG17	S	2	PD30NOV17	3125.00	3246.50	USD	-6,075.00	
31AUG17	S	1	PD30NOV17	3126.00	3246.50	USD	-3,012.50	
31AUG17	S	1	PD30NOV17	3124.50	3246.50	USD	-3,050.00	
31AUG17	S	1	PD30NOV17	3128.50	3246.50	USD	-2,950.00	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0112C

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position				Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>								
31AUG17	S	1	PD30NOV17		3132.00	3246.50	USD	-2,862.50	
31AUG17	B	1	PD30NOV17		3130.50	3246.50	USD	2,900.00	
31AUG17	B	1	PD30NOV17		3157.50	3246.50	USD	2,225.00	
31AUG17	B	1	PD30NOV17		3159.00	3246.50	USD	2,187.50	
31AUG17	B	2	PD30NOV17		3158.00	3246.50	USD	4,425.00	
31AUG17	B	1	PD30NOV17		3157.00	3246.50	USD	2,237.50	
31AUG17	B	1	PD30NOV17		3155.00	3246.50	USD	2,287.50	
31AUG17	B	1	PD30NOV17		3152.00	3246.50	USD	2,362.50	
31AUG17	B	1	PD30NOV17		3150.00	3246.50	USD	2,412.50	
31AUG17	B	1	PD30NOV17		3149.00	3246.50	USD	2,437.50	
31AUG17	B	3	PD30NOV17		3148.50	3246.50	USD	7,350.00	
31AUG17	B	3	PD30NOV17		3148.00	3246.50	USD	7,387.50	
31AUG17	B	1	PD30NOV17		3142.50	3246.50	USD	2,600.00	
31AUG17	B	1	PD30NOV17		3135.00	3246.50	USD	2,787.50	
31AUG17	B	1	PD30NOV17		3134.50	3246.50	USD	2,800.00	
31AUG17	B	3	PD30NOV17		3134.00	3246.50	USD	8,437.50	
31AUG17	B	2	PD30NOV17		3132.50	3246.50	USD	5,700.00	
31AUG17	B	1	PD30NOV17		3147.00	3246.50	USD	2,487.50	
31AUG17	B	1	PD30NOV17		3137.50	3246.50	USD	2,725.00	
31AUG17	B	1	PD30NOV17		3137.00	3246.50	USD	2,737.50	
31AUG17	B	1	PD30NOV17		3136.00	3246.50	USD	2,762.50	
31AUG17	B	2	PD30NOV17		3147.50	3246.50	USD	4,950.00	
31AUG17	B	1	PD30NOV17		3152.50	3246.50	USD	2,350.00	
06SEP17	B	1	PD30NOV17		3093.70	3246.50	USD	3,820.00	
06SEP17	B	10	PD30NOV17		3093.50	3246.50	USD	38,250.00	
	NET		0 AVG BGHT 3123.62 SOLD 3118.82					-7,917.50	0.00
01SEP17	B	4	PD01DEC17		3144.50	3246.25	USD	10,175.00	
01SEP17	B	3	PD01DEC17		3145.00	3246.25	USD	7,593.75	
01SEP17	S	2	PD01DEC17		3155.50	3246.25	USD	-4,537.50	
01SEP17	S	1	PD01DEC17		3156.00	3246.25	USD	-2,256.25	
01SEP17	B	1	PD01DEC17		3152.00	3246.25	USD	2,356.25	
01SEP17	S	1	PD01DEC17		3159.00	3246.25	USD	-2,181.25	
01SEP17	S	1	PD01DEC17		3159.50	3246.25	USD	-2,168.75	
01SEP17	S	1	PD01DEC17		3172.00	3246.25	USD	-1,856.25	
01SEP17	S	1	PD01DEC17		3168.50	3246.25	USD	-1,943.75	
01SEP17	S	1	PD01DEC17		3165.00	3246.25	USD	-2,031.25	
01SEP17	B	3	PD01DEC17		3155.50	3246.25	USD	6,806.25	
01SEP17	B	1	PD01DEC17		3157.50	3246.25	USD	2,218.75	
01SEP17	B	3	PD01DEC17		3145.00	3246.25	USD	7,593.75	
01SEP17	B	2	PD01DEC17		3147.00	3246.25	USD	4,962.50	
01SEP17	B	1	PD01DEC17		3151.00	3246.25	USD	2,381.25	
01SEP17	B	1	PD01DEC17		3139.00	3246.25	USD	2,681.25	
01SEP17	B	2	PD01DEC17		3139.50	3246.25	USD	5,337.50	
01SEP17	S	1	PD01DEC17		3152.50	3246.25	USD	-2,343.75	
01SEP17	S	1	PD01DEC17		3153.50	3246.25	USD	-2,318.75	
01SEP17	S	1	PD01DEC17		3154.50	3246.25	USD	-2,293.75	
01SEP17	S	1	PD01DEC17		3155.00	3246.25	USD	-2,281.25	
01SEP17	S	4	PD01DEC17		3156.50	3246.25	USD	-8,975.00	
01SEP17	S	1	PD01DEC17		3158.00	3246.25	USD	-2,206.25	
01SEP17	S	1	PD01DEC17		3164.00	3246.25	USD	-2,056.25	
01SEP17	S	1	PD01DEC17		3162.50	3246.25	USD	-2,093.75	
01SEP17	S	1	PD01DEC17		3162.00	3246.25	USD	-2,106.25	
01SEP17	S	1	PD01DEC17		3160.50	3246.25	USD	-2,143.75	
01SEP17	S	3	PD01DEC17		3163.50	3246.25	USD	-6,206.25	
01SEP17	S	2	PD01DEC17		3157.50	3246.25	USD	-4,437.50	
01SEP17	S	1	PD01DEC17		3158.50	3246.25	USD	-2,193.75	
01SEP17	B	1	PD01DEC17		3174.50	3246.25	USD	1,793.75	

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SAP0112C

13th October 2017

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backoffice@sapiencap.com

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
01SEP17	B	1	PD01DEC17	3173.50	3246.25	USD	1,818.75	
01SEP17	B	1	PD01DEC17	3173.00	3246.25	USD	1,831.25	
01SEP17	S	1	PD01DEC17	3178.50	3246.25	USD	-1,693.75	
01SEP17	S	1	PD01DEC17	3179.00	3246.25	USD	-1,681.25	
01SEP17	B	1	PD01DEC17	3177.00	3246.25	USD	1,731.25	
01SEP17	B	3	PD01DEC17	3171.50	3246.25	USD	5,606.25	
01SEP17	S	1	PD01DEC17	3181.50	3246.25	USD	-1,618.75	
01SEP17	S	1	PD01DEC17	3183.00	3246.25	USD	-1,581.25	
01SEP17	S	1	PD01DEC17	3184.50	3246.25	USD	-1,543.75	
01SEP17	S	2	PD01DEC17	3184.00	3246.25	USD	-3,112.50	
01SEP17	B	2	PD01DEC17	3189.50	3246.25	USD	2,837.50	
01SEP17	B	3	PD01DEC17	3190.00	3246.25	USD	4,218.75	
01SEP17	B	1	PD01DEC17	3190.50	3246.25	USD	1,393.75	
01SEP17	B	1	PD01DEC17	3191.50	3246.25	USD	1,368.75	
01SEP17	B	2	PD01DEC17	3193.50	3246.25	USD	2,637.50	
01SEP17	B	1	PD01DEC17	3194.00	3246.25	USD	1,306.25	
01SEP17	B	1	PD01DEC17	3200.50	3246.25	USD	1,143.75	
01SEP17	B	1	PD01DEC17	3207.50	3246.25	USD	968.75	
01SEP17	B	2	PD01DEC17	3209.00	3246.25	USD	1,862.50	
01SEP17	B	1	PD01DEC17	3208.00	3246.25	USD	956.25	
01SEP17	B	1	PD01DEC17	3201.00	3246.25	USD	1,131.25	
06SEP17	S	10	PD01DEC17	3093.55	3246.25	USD	-38,175.00	
	NET	0AVG BGHT 3169.24	SOLD 3148.03				-23,325.00	0.00
04SEP17	S	2	PD04DEC17	3196.50	3246.00	USD	-2,475.00	
04SEP17	B	1	PD04DEC17	3199.50	3246.00	USD	1,162.50	
04SEP17	B	1	PD04DEC17	3200.50	3246.00	USD	1,137.50	
04SEP17	S	2	PD04DEC17	3194.00	3246.00	USD	-2,600.00	
04SEP17	S	1	PD04DEC17	3194.50	3246.00	USD	-1,287.50	
04SEP17	S	2	PD04DEC17	3195.50	3246.00	USD	-2,525.00	
04SEP17	B	1	PD04DEC17	3183.00	3246.00	USD	1,575.00	
04SEP17	B	2	PD04DEC17	3177.50	3246.00	USD	3,425.00	
04SEP17	B	1	PD04DEC17	3176.50	3246.00	USD	1,737.50	
04SEP17	B	1	PD04DEC17	3175.50	3246.00	USD	1,762.50	
04SEP17	B	1	PD04DEC17	3175.00	3246.00	USD	1,775.00	
04SEP17	S	1	PD04DEC17	3178.00	3246.00	USD	-1,700.00	
04SEP17	S	1	PD04DEC17	3180.50	3246.00	USD	-1,637.50	
04SEP17	S	1	PD04DEC17	3183.00	3246.00	USD	-1,575.00	
04SEP17	S	2	PD04DEC17	3184.00	3246.00	USD	-3,100.00	
04SEP17	S	2	PD04DEC17	3185.50	3246.00	USD	-3,025.00	
04SEP17	S	2	PD04DEC17	3192.00	3246.00	USD	-2,700.00	
04SEP17	S	3	PD04DEC17	3195.00	3246.00	USD	-3,825.00	
04SEP17	S	3	PD04DEC17	3196.00	3246.00	USD	-3,750.00	
04SEP17	S	1	PD04DEC17	3197.00	3246.00	USD	-1,225.00	
04SEP17	B	1	PD04DEC17	3175.00	3246.00	USD	1,775.00	
04SEP17	S	1	PD04DEC17	3191.00	3246.00	USD	-1,375.00	
04SEP17	S	2	PD04DEC17	3197.50	3246.00	USD	-2,425.00	
04SEP17	S	1	PD04DEC17	3198.50	3246.00	USD	-1,187.50	
04SEP17	B	1	PD04DEC17	3197.50	3246.00	USD	1,212.50	
04SEP17	B	2	PD04DEC17	3199.00	3246.00	USD	2,350.00	
04SEP17	B	1	PD04DEC17	3198.50	3246.00	USD	1,187.50	
04SEP17	B	2	PD04DEC17	3207.00	3246.00	USD	1,950.00	
04SEP17	S	1	PD04DEC17	3205.50	3246.00	USD	-1,012.50	
04SEP17	S	1	PD04DEC17	3206.00	3246.00	USD	-1,000.00	
04SEP17	S	1	PD04DEC17	3207.00	3246.00	USD	-975.00	
04SEP17	S	1	PD04DEC17	3206.50	3246.00	USD	-987.50	
04SEP17	S	1	PD04DEC17	3199.00	3246.00	USD	-1,175.00	
04SEP17	B	2	PD04DEC17	3201.00	3246.00	USD	2,250.00	

OPEN POSITION STATEMENT



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SAP0112C

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>								
04SEP17	B	1	PD04DEC17		3205.50	3246.00	USD	1,012.50	
04SEP17	B	1	PD04DEC17		3205.00	3246.00	USD	1,025.00	
04SEP17	B	2	PD04DEC17		3202.00	3246.00	USD	2,200.00	
04SEP17	B	2	PD04DEC17		3201.50	3246.00	USD	2,225.00	
04SEP17	B	1	PD04DEC17		3200.00	3246.00	USD	1,150.00	
04SEP17	B	4	PD04DEC17		3203.50	3246.00	USD	4,250.00	
04SEP17	B	2	PD04DEC17		3203.00	3246.00	USD	2,150.00	
04SEP17	B	2	PD04DEC17		3202.50	3246.00	USD	2,175.00	
	NET	0AVG	BGHT 3196.64	SOLD 3194.05				-2,075.00	0.00
05SEP17	B	1	PD05DEC17		3197.50	3245.75	USD	1,206.25	
05SEP17	B	4	PD05DEC17		3192.50	3245.75	USD	5,325.00	
05SEP17	B	5	PD05DEC17		3192.00	3245.75	USD	6,718.75	
05SEP17	S	1	PD05DEC17		3201.50	3245.75	USD	-1,106.25	
05SEP17	S	2	PD05DEC17		3203.50	3245.75	USD	-2,112.50	
05SEP17	B	2	PD05DEC17		3202.00	3245.75	USD	2,187.50	
05SEP17	S	1	PD05DEC17		3204.00	3245.75	USD	-1,043.75	
05SEP17	S	1	PD05DEC17		3205.50	3245.75	USD	-1,006.25	
05SEP17	S	1	PD05DEC17		3204.50	3245.75	USD	-1,031.25	
05SEP17	B	1	PD05DEC17		3194.50	3245.75	USD	1,281.25	
05SEP17	B	1	PD05DEC17		3195.00	3245.75	USD	1,268.75	
05SEP17	B	2	PD05DEC17		3194.00	3245.75	USD	2,587.50	
05SEP17	B	1	PD05DEC17		3190.50	3245.75	USD	1,381.25	
05SEP17	S	1	PD05DEC17		3192.00	3245.75	USD	-1,343.75	
05SEP17	S	2	PD05DEC17		3201.00	3245.75	USD	-2,237.50	
05SEP17	S	4	PD05DEC17		3202.00	3245.75	USD	-4,375.00	
05SEP17	B	1	PD05DEC17		3191.50	3245.75	USD	1,356.25	
05SEP17	B	1	PD05DEC17		3190.00	3245.75	USD	1,393.75	
05SEP17	B	2	PD05DEC17		3186.50	3245.75	USD	2,962.50	
05SEP17	S	1	PD05DEC17		3196.50	3245.75	USD	-1,231.25	
05SEP17	S	3	PD05DEC17		3197.00	3245.75	USD	-3,656.25	
05SEP17	S	1	PD05DEC17		3198.50	3245.75	USD	-1,181.25	
05SEP17	S	2	PD05DEC17		3198.00	3245.75	USD	-2,387.50	
05SEP17	S	3	PD05DEC17		3202.50	3245.75	USD	-3,243.75	
05SEP17	S	1	PD05DEC17		3200.00	3245.75	USD	-1,143.75	
05SEP17	B	3	PD05DEC17		3193.00	3245.75	USD	3,956.25	
05SEP17	B	1	PD05DEC17		3188.50	3245.75	USD	1,431.25	
05SEP17	B	1	PD05DEC17		3188.00	3245.75	USD	1,443.75	
05SEP17	B	2	PD05DEC17		3187.50	3245.75	USD	2,912.50	
05SEP17	B	1	PD05DEC17		3184.00	3245.75	USD	1,543.75	
05SEP17	S	1	PD05DEC17		3184.50	3245.75	USD	-1,531.25	
05SEP17	B	1	PD05DEC17		3179.00	3245.75	USD	1,668.75	
05SEP17	B	1	PD05DEC17		3178.50	3245.75	USD	1,681.25	
05SEP17	S	1	PD05DEC17		3194.50	3245.75	USD	-1,281.25	
05SEP17	S	1	PD05DEC17		3194.00	3245.75	USD	-1,293.75	
05SEP17	S	1	PD05DEC17		3195.00	3245.75	USD	-1,268.75	
05SEP17	S	1	PD05DEC17		3195.50	3245.75	USD	-1,256.25	
05SEP17	S	1	PD05DEC17		3191.50	3245.75	USD	-1,356.25	
05SEP17	B	3	PD05DEC17		3181.00	3245.75	USD	4,856.25	
05SEP17	B	2	PD05DEC17		3180.00	3245.75	USD	3,287.50	
05SEP17	B	1	PD05DEC17		3182.00	3245.75	USD	1,593.75	
05SEP17	S	1	PD05DEC17		3189.00	3245.75	USD	-1,418.75	
05SEP17	S	1	PD05DEC17		3186.50	3245.75	USD	-1,481.25	
05SEP17	S	4	PD05DEC17		3187.50	3245.75	USD	-5,825.00	
05SEP17	S	1	PD05DEC17		3188.00	3245.75	USD	-1,443.75	
05SEP17	S	1	PD05DEC17		3191.00	3245.75	USD	-1,368.75	
05SEP17	B	2	PD05DEC17		3167.00	3245.75	USD	3,937.50	
05SEP17	B	2	PD05DEC17		3166.50	3245.75	USD	3,962.50	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0112C

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
05SEP17	B	1	PD05DEC17	3161.50	3245.75	USD	2,106.25	
05SEP17	B	2	PD05DEC17	3160.50	3245.75	USD	4,262.50	
05SEP17	B	1	PD05DEC17	3157.50	3245.75	USD	2,206.25	
05SEP17	B	2	PD05DEC17	3155.00	3245.75	USD	4,537.50	
05SEP17	B	2	PD05DEC17	3155.50	3245.75	USD	4,512.50	
05SEP17	B	1	PD05DEC17	3153.50	3245.75	USD	2,306.25	
05SEP17	B	1	PD05DEC17	3152.50	3245.75	USD	2,331.25	
05SEP17	S	2	PD05DEC17	3158.50	3245.75	USD	-4,362.50	
05SEP17	S	1	PD05DEC17	3159.00	3245.75	USD	-2,168.75	
05SEP17	S	2	PD05DEC17	3160.50	3245.75	USD	-4,262.50	
05SEP17	B	1	PD05DEC17	3151.00	3245.75	USD	2,368.75	
05SEP17	B	2	PD05DEC17	3150.50	3245.75	USD	4,762.50	
05SEP17	B	1	PD05DEC17	3150.00	3245.75	USD	2,393.75	
05SEP17	B	1	PD05DEC17	3147.50	3245.75	USD	2,456.25	
05SEP17	B	1	PD05DEC17	3145.50	3245.75	USD	2,506.25	
05SEP17	B	1	PD05DEC17	3145.00	3245.75	USD	2,518.75	
05SEP17	B	2	PD05DEC17	3138.00	3245.75	USD	5,387.50	
05SEP17	B	1	PD05DEC17	3134.50	3245.75	USD	2,781.25	
05SEP17	B	1	PD05DEC17	3134.00	3245.75	USD	2,793.75	
05SEP17	S	1	PD05DEC17	3141.50	3245.75	USD	-2,606.25	
05SEP17	S	1	PD05DEC17	3143.50	3245.75	USD	-2,556.25	
05SEP17	S	1	PD05DEC17	3144.50	3245.75	USD	-2,531.25	
05SEP17	B	1	PD05DEC17	3137.00	3245.75	USD	2,718.75	
05SEP17	B	1	PD05DEC17	3135.00	3245.75	USD	2,768.75	
05SEP17	S	1	PD05DEC17	3148.50	3245.75	USD	-2,431.25	
05SEP17	B	1	PD05DEC17	3140.00	3245.75	USD	2,643.75	
05SEP17	B	1	PD05DEC17	3137.50	3245.75	USD	2,706.25	
05SEP17	S	2	PD05DEC17	3115.00	3245.75	USD	-6,537.50	
05SEP17	S	2	PD05DEC17	3092.50	3245.75	USD	-7,662.50	
05SEP17	S	1	PD05DEC17	3091.50	3245.75	USD	-3,856.25	
05SEP17	S	2	PD05DEC17	3100.00	3245.75	USD	-7,287.50	
05SEP17	S	2	PD05DEC17	3103.00	3245.75	USD	-7,137.50	
05SEP17	S	2	PD05DEC17	3103.50	3245.75	USD	-7,112.50	
05SEP17	S	2	PD05DEC17	3104.00	3245.75	USD	-7,087.50	
05SEP17	B	1	PD05DEC17	3102.50	3245.75	USD	3,581.25	
05SEP17	B	2	PD05DEC17	3101.00	3245.75	USD	7,237.50	
05SEP17	B	2	PD05DEC17	3167.00	3245.75	USD	3,937.50	
05SEP17	B	1	PD05DEC17	3152.00	3245.75	USD	2,343.75	
05SEP17	B	1	PD05DEC17	3135.00	3245.75	USD	2,768.75	
05SEP17	S	3	PD05DEC17	3102.00	3245.75	USD	-10,781.25	
08SEP17	S	10	PD05DEC17	3030.42	3245.75	USD	-53,832.50	
	NET	0AVG BGHT 3168.55	SOLD 3147.76				-37,957.50	0.00
06SEP17	S	1	PD06DEC17	3124.50	3245.50	USD	-3,025.00	
06SEP17	B	1	PD06DEC17	3114.00	3245.50	USD	3,287.50	
06SEP17	B	2	PD06DEC17	3117.00	3245.50	USD	6,425.00	
06SEP17	B	4	PD06DEC17	3115.50	3245.50	USD	13,000.00	
06SEP17	B	1	PD06DEC17	3112.50	3245.50	USD	3,325.00	
06SEP17	B	4	PD06DEC17	3111.00	3245.50	USD	13,450.00	
06SEP17	B	1	PD06DEC17	3107.50	3245.50	USD	3,450.00	
06SEP17	S	1	PD06DEC17	3106.00	3245.50	USD	-3,487.50	
06SEP17	B	1	PD06DEC17	3069.50	3245.50	USD	4,400.00	
06SEP17	B	1	PD06DEC17	3068.50	3245.50	USD	4,425.00	
06SEP17	S	2	PD06DEC17	3077.00	3245.50	USD	-8,425.00	
06SEP17	S	2	PD06DEC17	3077.50	3245.50	USD	-8,400.00	
06SEP17	S	4	PD06DEC17	3079.00	3245.50	USD	-16,650.00	
06SEP17	S	2	PD06DEC17	3080.50	3245.50	USD	-8,250.00	
06SEP17	S	1	PD06DEC17	3082.00	3245.50	USD	-4,087.50	

OPEN POSITION STATEMENT



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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
06SEP17	S	1	PD06DEC17	3081.50	3245.50	USD	-4,100.00	
06SEP17	S	1	PD06DEC17	3082.50	3245.50	USD	-4,075.00	
06SEP17	S	2	PD06DEC17	3083.00	3245.50	USD	-8,125.00	
06SEP17	S	1	PD06DEC17	3085.50	3245.50	USD	-4,000.00	
06SEP17	B	1	PD06DEC17	3087.50	3245.50	USD	3,950.00	
06SEP17	B	1	PD06DEC17	3086.00	3245.50	USD	3,987.50	
06SEP17	S	2	PD06DEC17	3087.00	3245.50	USD	-7,925.00	
06SEP17	S	1	PD06DEC17	3107.50	3245.50	USD	-3,450.00	
06SEP17	S	1	PD06DEC17	3107.00	3245.50	USD	-3,462.50	
06SEP17	B	1	PD06DEC17	3102.00	3245.50	USD	3,587.50	
06SEP17	B	1	PD06DEC17	3103.00	3245.50	USD	3,562.50	
06SEP17	B	2	PD06DEC17	3077.50	3245.50	USD	8,400.00	
06SEP17	B	1	PD06DEC17	3073.00	3245.50	USD	4,312.50	
06SEP17	B	2	PD06DEC17	3074.50	3245.50	USD	8,550.00	
06SEP17	B	1	PD06DEC17	3075.50	3245.50	USD	4,250.00	
06SEP17	B	2	PD06DEC17	3079.00	3245.50	USD	8,325.00	
06SEP17	S	2	PD06DEC17	3087.50	3245.50	USD	-7,900.00	
06SEP17	S	2	PD06DEC17	3082.00	3245.50	USD	-8,175.00	
06SEP17	S	1	PD06DEC17	3093.50	3245.50	USD	-3,800.00	
06SEP17	S	1	PD06DEC17	3099.50	3245.50	USD	-3,650.00	
06SEP17	S	1	PD06DEC17	3100.50	3245.50	USD	-3,625.00	
06SEP17	S	1	PD06DEC17	3101.50	3245.50	USD	-3,600.00	
06SEP17	S	1	PD06DEC17	3102.00	3245.50	USD	-3,587.50	
06SEP17	S	1	PD06DEC17	3101.00	3245.50	USD	-3,612.50	
06SEP17	S	1	PD06DEC17	3097.00	3245.50	USD	-3,712.50	
06SEP17	S	1	PD06DEC17	3090.50	3245.50	USD	-3,875.00	
06SEP17	B	1	PD06DEC17	3083.00	3245.50	USD	4,062.50	
06SEP17	B	1	PD06DEC17	3081.50	3245.50	USD	4,100.00	
06SEP17	B	1	PD06DEC17	3080.00	3245.50	USD	4,137.50	
06SEP17	B	2	PD06DEC17	3078.50	3245.50	USD	8,350.00	
06SEP17	B	3	PD06DEC17	3076.50	3245.50	USD	12,675.00	
06SEP17	S	3	PD06DEC17	3089.00	3245.50	USD	-11,737.50	
06SEP17	S	2	PD06DEC17	3091.00	3245.50	USD	-7,725.00	
06SEP17	S	2	PD06DEC17	3092.00	3245.50	USD	-7,675.00	
06SEP17	S	2	PD06DEC17	3095.00	3245.50	USD	-7,525.00	
06SEP17	B	3	PD06DEC17	3095.00	3245.50	USD	11,287.50	
06SEP17	B	1	PD06DEC17	3094.00	3245.50	USD	3,787.50	
06SEP17	B	1	PD06DEC17	3093.50	3245.50	USD	3,800.00	
06SEP17	B	2	PD06DEC17	3093.00	3245.50	USD	7,625.00	
06SEP17	B	1	PD06DEC17	3087.00	3245.50	USD	3,962.50	
06SEP17	B	1	PD06DEC17	3085.00	3245.50	USD	4,012.50	
06SEP17	B	1	PD06DEC17	3083.50	3245.50	USD	4,050.00	
06SEP17	S	1	PD06DEC17	3089.50	3245.50	USD	-3,900.00	
06SEP17	S	1	PD06DEC17	3092.50	3245.50	USD	-3,825.00	
06SEP17	S	1	PD06DEC17	3088.50	3245.50	USD	-3,925.00	
06SEP17	S	1	PD06DEC17	3096.50	3245.50	USD	-3,725.00	
06SEP17	S	2	PD06DEC17	3098.00	3245.50	USD	-7,375.00	
06SEP17	S	4	PD06DEC17	3099.00	3245.50	USD	-14,650.00	
06SEP17	S	1	PD06DEC17	3100.00	3245.50	USD	-3,637.50	
06SEP17	S	1	PD06DEC17	3096.00	3245.50	USD	-3,737.50	
08SEP17	B	10	PD06DEC17	3030.81	3245.50	USD	53,672.50	
	NET	0AVG BGHT 3080.98	SOLD 3091.00				13,772.50	0.00
07SEP17	B	3	PD07DEC17	3096.00	3245.25	USD	11,193.75	
07SEP17	B	1	PD07DEC17	3093.50	3245.25	USD	3,793.75	
07SEP17	B	1	PD07DEC17	3092.50	3245.25	USD	3,818.75	
07SEP17	B	1	PD07DEC17	3092.00	3245.25	USD	3,831.25	
07SEP17	S	1	PD07DEC17	3094.50	3245.25	USD	-3,768.75	

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07SEP17	B	1	PD07DEC17	3089.50	3245.25	USD	3,893.75	
07SEP17	B	2	PD07DEC17	3089.00	3245.25	USD	7,812.50	
07SEP17	B	3	PD07DEC17	3086.00	3245.25	USD	11,943.75	
07SEP17	B	1	PD07DEC17	3085.00	3245.25	USD	4,006.25	
07SEP17	B	2	PD07DEC17	3084.50	3245.25	USD	8,037.50	
07SEP17	B	1	PD07DEC17	3084.00	3245.25	USD	4,031.25	
07SEP17	B	1	PD07DEC17	3083.50	3245.25	USD	4,043.75	
07SEP17	B	3	PD07DEC17	3083.00	3245.25	USD	12,168.75	
07SEP17	B	3	PD07DEC17	3082.00	3245.25	USD	12,243.75	
07SEP17	B	1	PD07DEC17	3081.00	3245.25	USD	4,106.25	
07SEP17	S	1	PD07DEC17	3088.50	3245.25	USD	-3,918.75	
07SEP17	S	1	PD07DEC17	3089.50	3245.25	USD	-3,893.75	
07SEP17	S	2	PD07DEC17	3090.00	3245.25	USD	-7,762.50	
07SEP17	S	1	PD07DEC17	3090.50	3245.25	USD	-3,868.75	
07SEP17	S	4	PD07DEC17	3099.50	3245.25	USD	-14,575.00	
07SEP17	S	3	PD07DEC17	3100.00	3245.25	USD	-10,893.75	
07SEP17	S	1	PD07DEC17	3101.50	3245.25	USD	-3,593.75	
07SEP17	S	4	PD07DEC17	3102.50	3245.25	USD	-14,275.00	
07SEP17	S	4	PD07DEC17	3101.00	3245.25	USD	-14,425.00	
07SEP17	S	2	PD07DEC17	3099.00	3245.25	USD	-7,312.50	
07SEP17	S	1	PD07DEC17	3097.00	3245.25	USD	-3,706.25	
07SEP17	S	2	PD07DEC17	3097.50	3245.25	USD	-7,387.50	
07SEP17	S	1	PD07DEC17	3098.00	3245.25	USD	-3,681.25	
07SEP17	S	1	PD07DEC17	3094.00	3245.25	USD	-3,781.25	
07SEP17	S	2	PD07DEC17	3095.00	3245.25	USD	-7,512.50	
07SEP17	B	1	PD07DEC17	3115.50	3245.25	USD	3,243.75	
07SEP17	S	1	PD07DEC17	3115.50	3245.25	USD	-3,243.75	
07SEP17	S	2	PD07DEC17	3117.00	3245.25	USD	-6,412.50	
07SEP17	S	2	PD07DEC17	3120.00	3245.25	USD	-6,262.50	
07SEP17	S	1	PD07DEC17	3116.00	3245.25	USD	-3,231.25	
07SEP17	S	1	PD07DEC17	3116.50	3245.25	USD	-3,218.75	
07SEP17	S	2	PD07DEC17	3114.50	3245.25	USD	-6,537.50	
07SEP17	S	1	PD07DEC17	3119.00	3245.25	USD	-3,156.25	
07SEP17	S	1	PD07DEC17	3118.00	3245.25	USD	-3,181.25	
07SEP17	S	1	PD07DEC17	3118.50	3245.25	USD	-3,168.75	
07SEP17	B	2	PD07DEC17	3122.50	3245.25	USD	6,137.50	
07SEP17	B	1	PD07DEC17	3128.50	3245.25	USD	2,918.75	
07SEP17	S	1	PD07DEC17	3140.00	3245.25	USD	-2,631.25	
07SEP17	S	1	PD07DEC17	3141.00	3245.25	USD	-2,606.25	
07SEP17	B	2	PD07DEC17	3130.00	3245.25	USD	5,762.50	
07SEP17	B	2	PD07DEC17	3129.50	3245.25	USD	5,787.50	
07SEP17	B	1	PD07DEC17	3125.00	3245.25	USD	3,006.25	
07SEP17	B	1	PD07DEC17	3123.50	3245.25	USD	3,043.75	
07SEP17	B	1	PD07DEC17	3123.00	3245.25	USD	3,056.25	
07SEP17	S	1	PD07DEC17	3128.50	3245.25	USD	-2,918.75	
07SEP17	B	1	PD07DEC17	3127.00	3245.25	USD	2,956.25	
07SEP17	B	3	PD07DEC17	3126.00	3245.25	USD	8,943.75	
07SEP17	B	1	PD07DEC17	3125.50	3245.25	USD	2,993.75	
07SEP17	B	1	PD07DEC17	3132.00	3245.25	USD	2,831.25	
07SEP17	B	3	PD07DEC17	3133.50	3245.25	USD	8,381.25	
07SEP17	B	1	PD07DEC17	3137.00	3245.25	USD	2,706.25	
07SEP17	B	1	PD07DEC17	3136.50	3245.25	USD	2,718.75	
07SEP17	B	1	PD07DEC17	3135.50	3245.25	USD	2,743.75	
07SEP17	B	2	PD07DEC17	3134.50	3245.25	USD	5,537.50	
13SEP17	S	3	PD07DEC17	3023.69	3245.25	USD	-16,617.00	
	NET	0 AVG BGHT 3108.36	SOLD 3100.32				-9,848.25	0.00
08SEP17	S	1	PD08DEC17	3135.00	3245.00	USD	-2,750.00	

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08SEP17	B	1	PD08DEC17	3128.00	3245.00	USD	2,925.00	
08SEP17	B	2	PD08DEC17	3126.50	3245.00	USD	5,925.00	
08SEP17	B	2	PD08DEC17	3125.00	3245.00	USD	6,000.00	
08SEP17	B	1	PD08DEC17	3124.00	3245.00	USD	3,025.00	
08SEP17	B	1	PD08DEC17	3123.00	3245.00	USD	3,050.00	
08SEP17	B	1	PD08DEC17	3122.50	3245.00	USD	3,062.50	
08SEP17	B	1	PD08DEC17	3122.00	3245.00	USD	3,075.00	
08SEP17	B	1	PD08DEC17	3121.00	3245.00	USD	3,100.00	
08SEP17	B	1	PD08DEC17	3119.50	3245.00	USD	3,137.50	
08SEP17	B	1	PD08DEC17	3116.00	3245.00	USD	3,225.00	
08SEP17	S	2	PD08DEC17	3125.00	3245.00	USD	-6,000.00	
08SEP17	S	3	PD08DEC17	3123.00	3245.00	USD	-9,150.00	
08SEP17	B	1	PD08DEC17	3117.00	3245.00	USD	3,200.00	
08SEP17	B	2	PD08DEC17	3117.50	3245.00	USD	6,375.00	
08SEP17	B	1	PD08DEC17	3111.50	3245.00	USD	3,337.50	
08SEP17	B	1	PD08DEC17	3107.50	3245.00	USD	3,437.50	
08SEP17	B	1	PD08DEC17	3106.00	3245.00	USD	3,475.00	
08SEP17	B	2	PD08DEC17	3108.50	3245.00	USD	6,825.00	
08SEP17	B	2	PD08DEC17	3105.50	3245.00	USD	6,975.00	
08SEP17	B	1	PD08DEC17	3104.50	3245.00	USD	3,512.50	
08SEP17	B	1	PD08DEC17	3104.00	3245.00	USD	3,525.00	
08SEP17	B	1	PD08DEC17	3103.50	3245.00	USD	3,537.50	
08SEP17	B	1	PD08DEC17	3105.00	3245.00	USD	3,500.00	
08SEP17	S	1	PD08DEC17	3083.00	3245.00	USD	-4,050.00	
08SEP17	S	2	PD08DEC17	3086.50	3245.00	USD	-7,925.00	
08SEP17	S	2	PD08DEC17	3088.50	3245.00	USD	-7,825.00	
08SEP17	S	1	PD08DEC17	3090.50	3245.00	USD	-3,862.50	
08SEP17	B	1	PD08DEC17	3091.50	3245.00	USD	3,837.50	
08SEP17	S	1	PD08DEC17	3097.00	3245.00	USD	-3,700.00	
08SEP17	B	1	PD08DEC17	3080.50	3245.00	USD	4,112.50	
08SEP17	B	1	PD08DEC17	3083.00	3245.00	USD	4,050.00	
08SEP17	S	2	PD08DEC17	3094.00	3245.00	USD	-7,550.00	
08SEP17	S	2	PD08DEC17	3093.00	3245.00	USD	-7,600.00	
08SEP17	S	2	PD08DEC17	3092.50	3245.00	USD	-7,625.00	
08SEP17	B	3	PD08DEC17	3084.00	3245.00	USD	12,075.00	
08SEP17	B	1	PD08DEC17	3086.50	3245.00	USD	3,962.50	
08SEP17	B	3	PD08DEC17	3088.00	3245.00	USD	11,775.00	
08SEP17	B	1	PD08DEC17	3088.50	3245.00	USD	3,912.50	
08SEP17	B	2	PD08DEC17	3086.00	3245.00	USD	7,950.00	
08SEP17	B	1	PD08DEC17	3085.00	3245.00	USD	4,000.00	
08SEP17	B	2	PD08DEC17	3085.50	3245.00	USD	7,975.00	
08SEP17	B	1	PD08DEC17	3103.50	3245.00	USD	3,537.50	
08SEP17	B	1	PD08DEC17	3091.00	3245.00	USD	3,850.00	
08SEP17	S	1	PD08DEC17	3091.00	3245.00	USD	-3,850.00	
08SEP17	S	1	PD08DEC17	3090.00	3245.00	USD	-3,875.00	
08SEP17	S	3	PD08DEC17	3091.50	3245.00	USD	-11,512.50	
08SEP17	S	4	PD08DEC17	3094.50	3245.00	USD	-15,050.00	
08SEP17	S	3	PD08DEC17	3095.00	3245.00	USD	-11,250.00	
08SEP17	S	2	PD08DEC17	3091.00	3245.00	USD	-7,700.00	
08SEP17	S	6	PD08DEC17	3092.00	3245.00	USD	-22,950.00	
08SEP17	B	1	PD08DEC17	3081.50	3245.00	USD	4,087.50	
08SEP17	S	1	PD08DEC17	3075.50	3245.00	USD	-4,237.50	
08SEP17	S	2	PD08DEC17	3079.00	3245.00	USD	-8,300.00	
08SEP17	S	1	PD08DEC17	3078.00	3245.00	USD	-4,175.00	
08SEP17	S	1	PD08DEC17	3079.50	3245.00	USD	-4,137.50	
08SEP17	S	1	PD08DEC17	3080.00	3245.00	USD	-4,125.00	
08SEP17	S	2	PD08DEC17	3085.00	3245.00	USD	-8,000.00	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0112C

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
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backoffice@sapiencap.com

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FINANCIAL CONDUCT AUTHORITY

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
08SEP17	B	1	PD08DEC17	3072.00	3245.00	USD	4,325.00	
08SEP17	B	1	PD08DEC17	3073.00	3245.00	USD	4,300.00	
08SEP17	B	1	PD08DEC17	3069.50	3245.00	USD	4,387.50	
08SEP17	B	1	PD08DEC17	3069.00	3245.00	USD	4,400.00	
08SEP17	B	1	PD08DEC17	3070.50	3245.00	USD	4,362.50	
08SEP17	S	1	PD08DEC17	3075.00	3245.00	USD	-4,250.00	
08SEP17	B	1	PD08DEC17	3071.00	3245.00	USD	4,350.00	
08SEP17	B	2	PD08DEC17	3070.00	3245.00	USD	8,750.00	
08SEP17	B	2	PD08DEC17	3065.00	3245.00	USD	9,000.00	
08SEP17	B	1	PD08DEC17	3063.50	3245.00	USD	4,537.50	
08SEP17	B	1	PD08DEC17	3063.00	3245.00	USD	4,550.00	
08SEP17	B	2	PD08DEC17	3057.00	3245.00	USD	9,400.00	
08SEP17	S	2	PD08DEC17	3064.00	3245.00	USD	-9,050.00	
08SEP17	S	1	PD08DEC17	3065.00	3245.00	USD	-4,500.00	
08SEP17	S	1	PD08DEC17	3065.50	3245.00	USD	-4,487.50	
08SEP17	S	1	PD08DEC17	3063.50	3245.00	USD	-4,537.50	
08SEP17	B	1	PD08DEC17	3052.50	3245.00	USD	4,812.50	
08SEP17	B	1	PD08DEC17	3052.00	3245.00	USD	4,825.00	
08SEP17	B	1	PD08DEC17	3050.00	3245.00	USD	4,875.00	
08SEP17	B	1	PD08DEC17	3049.00	3245.00	USD	4,900.00	
08SEP17	B	1	PD08DEC17	3042.00	3245.00	USD	5,075.00	
08SEP17	B	1	PD08DEC17	3041.50	3245.00	USD	5,087.50	
08SEP17	S	1	PD08DEC17	3046.50	3245.00	USD	-4,962.50	
08SEP17	S	2	PD08DEC17	3048.50	3245.00	USD	-9,825.00	
08SEP17	S	2	PD08DEC17	3049.50	3245.00	USD	-9,775.00	
08SEP17	B	1	PD08DEC17	3033.00	3245.00	USD	5,300.00	
08SEP17	B	2	PD08DEC17	3035.00	3245.00	USD	10,500.00	
08SEP17	S	3	PD08DEC17	3036.50	3245.00	USD	-15,637.50	
13SEP17	S	7	PD08DEC17	3023.75	3245.00	USD	-38,718.75	
	NET	0 AVG BGHT 3087.89	SOLD 3078.56				-15,856.25	0.00
11SEP17	S	2	PD11DEC17	3053.50	3244.75	USD	-9,562.50	
11SEP17	S	1	PD11DEC17	3054.00	3244.75	USD	-4,768.75	
11SEP17	S	1	PD11DEC17	3055.00	3244.75	USD	-4,743.75	
11SEP17	S	1	PD11DEC17	3056.50	3244.75	USD	-4,706.25	
11SEP17	S	3	PD11DEC17	3057.50	3244.75	USD	-14,043.75	
11SEP17	S	1	PD11DEC17	3060.50	3244.75	USD	-4,606.25	
11SEP17	S	2	PD11DEC17	3057.00	3244.75	USD	-9,387.50	
11SEP17	S	1	PD11DEC17	3054.50	3244.75	USD	-4,756.25	
11SEP17	S	1	PD11DEC17	3059.00	3244.75	USD	-4,643.75	
11SEP17	S	1	PD11DEC17	3074.00	3244.75	USD	-4,268.75	
11SEP17	S	1	PD11DEC17	3078.50	3244.75	USD	-4,156.25	
11SEP17	S	1	PD11DEC17	3078.00	3244.75	USD	-4,168.75	
11SEP17	B	1	PD11DEC17	3083.50	3244.75	USD	4,031.25	
11SEP17	B	1	PD11DEC17	3082.00	3244.75	USD	4,068.75	
11SEP17	B	1	PD11DEC17	3083.00	3244.75	USD	4,043.75	
11SEP17	S	3	PD11DEC17	3091.00	3244.75	USD	-11,531.25	
11SEP17	S	1	PD11DEC17	3090.50	3244.75	USD	-3,856.25	
11SEP17	S	1	PD11DEC17	3087.50	3244.75	USD	-3,931.25	
11SEP17	B	1	PD11DEC17	3100.50	3244.75	USD	3,606.25	
11SEP17	S	1	PD11DEC17	3104.50	3244.75	USD	-3,506.25	
11SEP17	S	1	PD11DEC17	3103.00	3244.75	USD	-3,543.75	
11SEP17	S	1	PD11DEC17	3100.00	3244.75	USD	-3,618.75	
11SEP17	S	1	PD11DEC17	3054.00	3244.75	USD	-4,768.75	
11SEP17	B	2	PD11DEC17	3095.50	3244.75	USD	7,462.50	
11SEP17	S	1	PD11DEC17	3094.50	3244.75	USD	-3,756.25	
11SEP17	B	2	PD11DEC17	3094.00	3244.75	USD	7,537.50	
11SEP17	B	2	PD11DEC17	3091.00	3244.75	USD	7,687.50	

OPEN POSITION STATEMENT



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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
11SEP17	B	1	PD11DEC17	3093.50	3244.75	USD	3,781.25	
11SEP17	B	1	PD11DEC17	3092.00	3244.75	USD	3,818.75	
11SEP17	B	1	PD11DEC17	3089.00	3244.75	USD	3,893.75	
11SEP17	B	2	PD11DEC17	3088.00	3244.75	USD	7,837.50	
11SEP17	B	1	PD11DEC17	3087.00	3244.75	USD	3,943.75	
11SEP17	S	1	PD11DEC17	3086.00	3244.75	USD	-3,968.75	
11SEP17	B	1	PD11DEC17	3084.50	3244.75	USD	4,006.25	
11SEP17	S	1	PD11DEC17	3081.00	3244.75	USD	-4,093.75	
11SEP17	S	1	PD11DEC17	3080.50	3244.75	USD	-4,106.25	
11SEP17	B	1	PD11DEC17	3076.50	3244.75	USD	4,206.25	
11SEP17	B	1	PD11DEC17	3074.00	3244.75	USD	4,268.75	
11SEP17	B	1	PD11DEC17	3061.00	3244.75	USD	4,593.75	
11SEP17	S	1	PD11DEC17	3072.50	3244.75	USD	-4,306.25	
11SEP17	B	1	PD11DEC17	3065.00	3244.75	USD	4,493.75	
11SEP17	B	2	PD11DEC17	3050.00	3244.75	USD	9,737.50	
11SEP17	S	2	PD11DEC17	3062.00	3244.75	USD	-9,137.50	
11SEP17	S	1	PD11DEC17	3071.50	3244.75	USD	-4,331.25	
11SEP17	B	2	PD11DEC17	3082.50	3244.75	USD	8,112.50	
11SEP17	S	1	PD11DEC17	3085.00	3244.75	USD	-3,993.75	
13SEP17	B	10	PD11DEC17	3023.87	3244.75	USD	55,220.00	
15SEP17	S	1	PD11DEC17	3030.38	3244.75	USD	-5,359.25	
	NET	0AVG	BGHT 3066.06	SOLD 3071.47			4,729.50	0.00
12SEP17	S	2	PD12DEC17	3084.00	3244.50	USD	-8,025.00	
12SEP17	S	1	PD12DEC17	3083.00	3244.50	USD	-4,037.50	
12SEP17	S	2	PD12DEC17	3080.50	3244.50	USD	-8,200.00	
12SEP17	S	1	PD12DEC17	3083.50	3244.50	USD	-4,025.00	
12SEP17	S	1	PD12DEC17	3085.50	3244.50	USD	-3,975.00	
12SEP17	B	1	PD12DEC17	3086.00	3244.50	USD	3,962.50	
12SEP17	B	3	PD12DEC17	3090.00	3244.50	USD	11,587.50	
12SEP17	B	2	PD12DEC17	3091.00	3244.50	USD	7,675.00	
12SEP17	B	1	PD12DEC17	3088.50	3244.50	USD	3,900.00	
12SEP17	S	1	PD12DEC17	3088.50	3244.50	USD	-3,900.00	
12SEP17	S	1	PD12DEC17	3087.00	3244.50	USD	-3,937.50	
12SEP17	S	1	PD12DEC17	3089.00	3244.50	USD	-3,887.50	
12SEP17	S	1	PD12DEC17	3089.50	3244.50	USD	-3,875.00	
12SEP17	S	1	PD12DEC17	3090.50	3244.50	USD	-3,850.00	
12SEP17	S	3	PD12DEC17	3090.00	3244.50	USD	-11,587.50	
12SEP17	S	2	PD12DEC17	3091.00	3244.50	USD	-7,675.00	
12SEP17	S	2	PD12DEC17	3082.50	3244.50	USD	-8,100.00	
12SEP17	S	1	PD12DEC17	3082.00	3244.50	USD	-4,062.50	
12SEP17	B	2	PD12DEC17	3074.50	3244.50	USD	8,500.00	
12SEP17	B	2	PD12DEC17	3073.50	3244.50	USD	8,550.00	
12SEP17	B	1	PD12DEC17	3072.50	3244.50	USD	4,300.00	
12SEP17	S	1	PD12DEC17	3078.50	3244.50	USD	-4,150.00	
12SEP17	S	1	PD12DEC17	3079.00	3244.50	USD	-4,137.50	
12SEP17	S	1	PD12DEC17	3081.00	3244.50	USD	-4,087.50	
12SEP17	B	2	PD12DEC17	3070.00	3244.50	USD	8,725.00	
12SEP17	B	2	PD12DEC17	3066.50	3244.50	USD	8,900.00	
12SEP17	B	2	PD12DEC17	3067.00	3244.50	USD	8,875.00	
12SEP17	B	1	PD12DEC17	3065.50	3244.50	USD	4,475.00	
12SEP17	B	3	PD12DEC17	3064.00	3244.50	USD	13,537.50	
12SEP17	B	1	PD12DEC17	3093.00	3244.50	USD	3,787.50	
12SEP17	B	1	PD12DEC17	3092.50	3244.50	USD	3,800.00	
12SEP17	S	1	PD12DEC17	3055.00	3244.50	USD	-4,737.50	
12SEP17	S	2	PD12DEC17	3063.00	3244.50	USD	-9,075.00	
12SEP17	S	1	PD12DEC17	3065.50	3244.50	USD	-4,475.00	
12SEP17	S	1	PD12DEC17	3065.00	3244.50	USD	-4,487.50	

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12SEP17	S	2	PD12DEC17	3064.50	3244.50	USD	-9,000.00	
12SEP17	S	1	PD12DEC17	3066.50	3244.50	USD	-4,450.00	
12SEP17	B	1	PD12DEC17	3063.50	3244.50	USD	4,525.00	
12SEP17	B	2	PD12DEC17	3066.00	3244.50	USD	8,925.00	
12SEP17	S	1	PD12DEC17	3077.00	3244.50	USD	-4,187.50	
12SEP17	S	2	PD12DEC17	3075.00	3244.50	USD	-8,475.00	
12SEP17	B	3	PD12DEC17	3069.50	3244.50	USD	13,125.00	
12SEP17	B	1	PD12DEC17	3065.00	3244.50	USD	4,487.50	
12SEP17	B	2	PD12DEC17	3060.50	3244.50	USD	9,200.00	
12SEP17	B	4	PD12DEC17	3067.50	3244.50	USD	17,700.00	
12SEP17	S	1	PD12DEC17	3067.00	3244.50	USD	-4,437.50	
12SEP17	S	2	PD12DEC17	3067.50	3244.50	USD	-8,850.00	
	NET	0 AVG BGHT 3073.11	SOLD 3078.35				4,850.00	0.00
13SEP17	S	1	PD13DEC17	3053.00	3244.25	USD	-4,781.25	
13SEP17	S	1	PD13DEC17	3052.50	3244.25	USD	-4,793.75	
13SEP17	S	1	PD13DEC17	3044.50	3244.25	USD	-4,993.75	
13SEP17	S	2	PD13DEC17	3052.00	3244.25	USD	-9,612.50	
13SEP17	S	3	PD13DEC17	3050.00	3244.25	USD	-14,568.75	
13SEP17	S	1	PD13DEC17	3050.50	3244.25	USD	-4,843.75	
13SEP17	S	1	PD13DEC17	3057.50	3244.25	USD	-4,668.75	
13SEP17	S	2	PD13DEC17	3060.50	3244.25	USD	-9,187.50	
13SEP17	B	2	PD13DEC17	3052.50	3244.25	USD	9,587.50	
13SEP17	B	1	PD13DEC17	3053.50	3244.25	USD	4,768.75	
13SEP17	B	1	PD13DEC17	3055.00	3244.25	USD	4,731.25	
13SEP17	S	2	PD13DEC17	3051.50	3244.25	USD	-9,637.50	
13SEP17	B	1	PD13DEC17	3049.50	3244.25	USD	4,868.75	
13SEP17	B	1	PD13DEC17	3051.00	3244.25	USD	4,831.25	
13SEP17	B	1	PD13DEC17	3045.00	3244.25	USD	4,981.25	
13SEP17	B	2	PD13DEC17	3044.00	3244.25	USD	10,012.50	
13SEP17	B	1	PD13DEC17	3053.00	3244.25	USD	4,781.25	
13SEP17	B	2	PD13DEC17	3052.00	3244.25	USD	9,612.50	
13SEP17	B	1	PD13DEC17	3050.00	3244.25	USD	4,856.25	
13SEP17	B	2	PD13DEC17	3050.50	3244.25	USD	9,687.50	
13SEP17	B	2	PD13DEC17	3047.50	3244.25	USD	9,837.50	
13SEP17	B	2	PD13DEC17	3043.50	3244.25	USD	10,037.50	
13SEP17	B	1	PD13DEC17	3043.00	3244.25	USD	5,031.25	
13SEP17	B	1	PD13DEC17	3042.50	3244.25	USD	5,043.75	
13SEP17	B	2	PD13DEC17	3035.00	3244.25	USD	10,462.50	
13SEP17	B	1	PD13DEC17	3036.50	3244.25	USD	5,193.75	
13SEP17	B	1	PD13DEC17	3036.00	3244.25	USD	5,206.25	
13SEP17	B	1	PD13DEC17	3033.00	3244.25	USD	5,281.25	
13SEP17	B	1	PD13DEC17	3032.00	3244.25	USD	5,306.25	
13SEP17	B	2	PD13DEC17	3029.50	3244.25	USD	10,737.50	
13SEP17	B	1	PD13DEC17	3028.50	3244.25	USD	5,393.75	
13SEP17	B	1	PD13DEC17	3026.50	3244.25	USD	5,443.75	
13SEP17	S	2	PD13DEC17	3040.00	3244.25	USD	-10,212.50	
13SEP17	S	1	PD13DEC17	3041.00	3244.25	USD	-5,081.25	
13SEP17	B	1	PD13DEC17	3039.00	3244.25	USD	5,131.25	
13SEP17	B	1	PD13DEC17	3022.50	3244.25	USD	5,543.75	
13SEP17	B	1	PD13DEC17	3021.50	3244.25	USD	5,568.75	
13SEP17	B	1	PD13DEC17	3026.00	3244.25	USD	5,456.25	
13SEP17	B	1	PD13DEC17	3025.00	3244.25	USD	5,481.25	
13SEP17	S	1	PD13DEC17	3029.50	3244.25	USD	-5,368.75	
15SEP17	S	18	PD13DEC17	3030.69	3244.25	USD	-96,102.00	
	NET	0 AVG BGHT 3041.06	SOLD 3039.97				-977.00	0.00
14SEP17	S	3	PD14DEC17	3030.50	3244.00	USD	-16,012.50	
14SEP17	S	1	PD14DEC17	3031.50	3244.00	USD	-5,312.50	

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
14SEP17	B	1	PD14DEC17	3007.00	3244.00	USD	5,925.00	
14SEP17	S	1	PD14DEC17	3012.50	3244.00	USD	-5,787.50	
14SEP17	S	1	PD14DEC17	3015.00	3244.00	USD	-5,725.00	
14SEP17	S	1	PD14DEC17	3016.50	3244.00	USD	-5,687.50	
14SEP17	S	1	PD14DEC17	3019.50	3244.00	USD	-5,612.50	
14SEP17	B	1	PD14DEC17	3014.50	3244.00	USD	5,737.50	
14SEP17	S	1	PD14DEC17	3015.50	3244.00	USD	-5,712.50	
14SEP17	B	1	PD14DEC17	3005.50	3244.00	USD	5,962.50	
14SEP17	B	1	PD14DEC17	2999.00	3244.00	USD	6,125.00	
14SEP17	B	1	PD14DEC17	2999.50	3244.00	USD	6,112.50	
14SEP17	B	1	PD14DEC17	2992.00	3244.00	USD	6,300.00	
14SEP17	S	1	PD14DEC17	2993.00	3244.00	USD	-6,275.00	
14SEP17	S	2	PD14DEC17	3002.00	3244.00	USD	-12,100.00	
14SEP17	S	1	PD14DEC17	3003.00	3244.00	USD	-6,025.00	
14SEP17	S	1	PD14DEC17	3000.50	3244.00	USD	-6,087.50	
14SEP17	S	1	PD14DEC17	3008.00	3244.00	USD	-5,900.00	
14SEP17	S	2	PD14DEC17	3003.50	3244.00	USD	-12,025.00	
14SEP17	S	2	PD14DEC17	3002.50	3244.00	USD	-12,075.00	
14SEP17	B	3	PD14DEC17	2983.50	3244.00	USD	19,537.50	
14SEP17	B	1	PD14DEC17	2991.50	3244.00	USD	6,312.50	
14SEP17	S	1	PD14DEC17	2993.50	3244.00	USD	-6,262.50	
14SEP17	B	1	PD14DEC17	2992.50	3244.00	USD	6,287.50	
14SEP17	S	1	PD14DEC17	2996.50	3244.00	USD	-6,187.50	
14SEP17	S	2	PD14DEC17	2989.50	3244.00	USD	-12,725.00	
14SEP17	S	3	PD14DEC17	2990.00	3244.00	USD	-19,050.00	
14SEP17	S	1	PD14DEC17	2996.00	3244.00	USD	-6,200.00	
14SEP17	S	1	PD14DEC17	2998.50	3244.00	USD	-6,137.50	
14SEP17	S	1	PD14DEC17	2999.50	3244.00	USD	-6,112.50	
14SEP17	S	1	PD14DEC17	3001.00	3244.00	USD	-6,075.00	
14SEP17	S	1	PD14DEC17	3004.50	3244.00	USD	-5,987.50	
14SEP17	S	1	PD14DEC17	3007.50	3244.00	USD	-5,912.50	
14SEP17	S	1	PD14DEC17	3010.00	3244.00	USD	-5,850.00	
14SEP17	S	1	PD14DEC17	3009.00	3244.00	USD	-5,875.00	
14SEP17	S	3	PD14DEC17	3006.00	3244.00	USD	-17,850.00	
14SEP17	S	1	PD14DEC17	3009.50	3244.00	USD	-5,862.50	
14SEP17	S	1	PD14DEC17	3011.50	3244.00	USD	-5,812.50	
14SEP17	S	5	PD14DEC17	3012.00	3244.00	USD	-29,000.00	
14SEP17	B	1	PD14DEC17	3004.50	3244.00	USD	5,987.50	
14SEP17	B	1	PD14DEC17	3009.50	3244.00	USD	5,862.50	
14SEP17	B	1	PD14DEC17	3006.00	3244.00	USD	5,950.00	
14SEP17	B	1	PD14DEC17	3002.00	3244.00	USD	6,050.00	
14SEP17	B	1	PD14DEC17	3001.00	3244.00	USD	6,075.00	
14SEP17	B	1	PD14DEC17	3000.50	3244.00	USD	6,087.50	
14SEP17	B	2	PD14DEC17	2998.00	3244.00	USD	12,300.00	
14SEP17	B	1	PD14DEC17	3001.50	3244.00	USD	6,062.50	
14SEP17	B	1	PD14DEC17	3013.00	3244.00	USD	5,775.00	
14SEP17	B	4	PD14DEC17	3006.50	3244.00	USD	23,750.00	
15SEP17	B	19	PD14DEC17	3031.04	3244.00	USD	101,156.00	
	NET	0AVG BGHT 3013.68	SOLD 3006.51				-7,881.50	0.00
15SEP17	S	1	PD15DEC17	3006.50	3243.75	USD	-5,931.25	
15SEP17	S	1	PD15DEC17	3004.00	3243.75	USD	-5,993.75	
15SEP17	B	1	PD15DEC17	3018.50	3243.75	USD	5,631.25	
15SEP17	B	1	PD15DEC17	3021.00	3243.75	USD	5,568.75	
15SEP17	B	1	PD15DEC17	3018.00	3243.75	USD	5,643.75	
15SEP17	S	2	PD15DEC17	3017.00	3243.75	USD	-11,337.50	
15SEP17	S	1	PD15DEC17	3013.50	3243.75	USD	-5,756.25	
15SEP17	S	1	PD15DEC17	3018.50	3243.75	USD	-5,631.25	

OPEN POSITION STATEMENT



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SAP0112C

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
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+44 (0) 20 7340 9081
backoffice@sapiencap.com

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
15SEP17	S	1	PD15DEC17	3014.50	3243.75	USD	-5,731.25	
15SEP17	S	1	PD15DEC17	3014.00	3243.75	USD	-5,743.75	
15SEP17	S	2	PD15DEC17	3020.50	3243.75	USD	-11,162.50	
15SEP17	S	1	PD15DEC17	3021.50	3243.75	USD	-5,556.25	
15SEP17	S	1	PD15DEC17	3027.00	3243.75	USD	-5,418.75	
15SEP17	S	1	PD15DEC17	3030.00	3243.75	USD	-5,343.75	
15SEP17	S	1	PD15DEC17	3031.00	3243.75	USD	-5,318.75	
15SEP17	S	1	PD15DEC17	3032.00	3243.75	USD	-5,293.75	
15SEP17	B	1	PD15DEC17	3031.50	3243.75	USD	5,306.25	
15SEP17	B	1	PD15DEC17	3005.00	3243.75	USD	5,968.75	
15SEP17	S	1	PD15DEC17	3018.50	3243.75	USD	-5,631.25	
15SEP17	S	1	PD15DEC17	3045.00	3243.75	USD	-4,968.75	
15SEP17	B	1	PD15DEC17	3030.00	3243.75	USD	5,343.75	
15SEP17	B	1	PD15DEC17	3027.50	3243.75	USD	5,406.25	
15SEP17	B	1	PD15DEC17	3026.50	3243.75	USD	5,431.25	
15SEP17	B	1	PD15DEC17	3025.50	3243.75	USD	5,456.25	
15SEP17	B	2	PD15DEC17	3036.50	3243.75	USD	10,362.50	
15SEP17	B	3	PD15DEC17	3033.50	3243.75	USD	15,768.75	
15SEP17	B	1	PD15DEC17	3032.50	3243.75	USD	5,281.25	
15SEP17	B	2	PD15DEC17	2991.50	3243.75	USD	12,612.50	
15SEP17	S	2	PD15DEC17	2996.00	3243.75	USD	-12,387.50	
15SEP17	S	1	PD15DEC17	2997.50	3243.75	USD	-6,156.25	
15SEP17	S	1	PD15DEC17	3001.50	3243.75	USD	-6,056.25	
15SEP17	S	1	PD15DEC17	3010.50	3243.75	USD	-5,831.25	
15SEP17	S	1	PD15DEC17	3011.00	3243.75	USD	-5,818.75	
15SEP17	S	1	PD15DEC17	2998.50	3243.75	USD	-6,131.25	
15SEP17	S	2	PD15DEC17	2999.00	3243.75	USD	-12,237.50	
15SEP17	B	1	PD15DEC17	2999.00	3243.75	USD	6,118.75	
15SEP17	B	1	PD15DEC17	2996.50	3243.75	USD	6,181.25	
15SEP17	B	3	PD15DEC17	3004.50	3243.75	USD	17,943.75	
15SEP17	S	1	PD15DEC17	3023.00	3243.75	USD	-5,518.75	
15SEP17	B	2	PD15DEC17	3028.00	3243.75	USD	10,787.50	
15SEP17	B	1	PD15DEC17	3029.50	3243.75	USD	5,356.25	
15SEP17	B	2	PD15DEC17	3030.50	3243.75	USD	10,662.50	
	NET	0 AVG BGHT 3020.30	SOLD 3014.19				-4,125.00	0.00
18SEP17	B	1	PD18DEC17	3074.00	3243.50	USD	4,237.50	
18SEP17	B	1	PD18DEC17	3077.00	3243.50	USD	4,162.50	
18SEP17	S	1	PD18DEC17	3085.00	3243.50	USD	-3,962.50	
18SEP17	S	1	PD18DEC17	3077.50	3243.50	USD	-4,150.00	
18SEP17	S	1	PD18DEC17	3087.50	3243.50	USD	-3,900.00	
18SEP17	B	1	PD18DEC17	3077.50	3243.50	USD	4,150.00	
18SEP17	B	1	PD18DEC17	3070.50	3243.50	USD	4,325.00	
18SEP17	B	1	PD18DEC17	3061.00	3243.50	USD	4,562.50	
18SEP17	B	1	PD18DEC17	3064.00	3243.50	USD	4,487.50	
18SEP17	B	3	PD18DEC17	3066.50	3243.50	USD	13,275.00	
18SEP17	S	1	PD18DEC17	3074.50	3243.50	USD	-4,225.00	
18SEP17	S	1	PD18DEC17	3079.00	3243.50	USD	-4,112.50	
18SEP17	B	1	PD18DEC17	3079.50	3243.50	USD	4,100.00	
18SEP17	S	1	PD18DEC17	3078.50	3243.50	USD	-4,125.00	
18SEP17	S	1	PD18DEC17	3080.00	3243.50	USD	-4,087.50	
18SEP17	S	2	PD18DEC17	3088.00	3243.50	USD	-7,775.00	
18SEP17	S	1	PD18DEC17	3090.50	3243.50	USD	-3,825.00	
18SEP17	S	2	PD18DEC17	3092.00	3243.50	USD	-7,575.00	
18SEP17	B	1	PD18DEC17	3076.00	3243.50	USD	4,187.50	
18SEP17	B	1	PD18DEC17	3074.50	3243.50	USD	4,225.00	
18SEP17	B	1	PD18DEC17	3073.50	3243.50	USD	4,250.00	
18SEP17	B	1	PD18DEC17	3071.50	3243.50	USD	4,300.00	

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
18SEP17	B	5	PD18DEC17	3071.00	3243.50	USD	21,562.50	
18SEP17	B	2	PD18DEC17	3075.00	3243.50	USD	8,425.00	
18SEP17	B	1	PD18DEC17	3065.00	3243.50	USD	4,462.50	
18SEP17	S	1	PD18DEC17	3089.50	3243.50	USD	-3,850.00	
18SEP17	B	1	PD18DEC17	3073.00	3243.50	USD	4,262.50	
18SEP17	B	2	PD18DEC17	3064.50	3243.50	USD	8,950.00	
18SEP17	S	1	PD18DEC17	3066.00	3243.50	USD	-4,437.50	
18SEP17	S	2	PD18DEC17	3070.50	3243.50	USD	-8,650.00	
18SEP17	S	1	PD18DEC17	3075.50	3243.50	USD	-4,200.00	
18SEP17	S	2	PD18DEC17	3083.50	3243.50	USD	-8,000.00	
18SEP17	S	2	PD18DEC17	3084.50	3243.50	USD	-7,950.00	
18SEP17	S	2	PD18DEC17	3085.50	3243.50	USD	-7,900.00	
18SEP17	S	4	PD18DEC17	3083.00	3243.50	USD	-16,050.00	
18SEP17	S	2	PD18DEC17	3081.00	3243.50	USD	-8,125.00	
18SEP17	S	3	PD18DEC17	3081.50	3243.50	USD	-12,150.00	
18SEP17	S	1	PD18DEC17	3076.50	3243.50	USD	-4,175.00	
18SEP17	S	3	PD18DEC17	3079.50	3243.50	USD	-12,300.00	
18SEP17	S	1	PD18DEC17	3065.00	3243.50	USD	-4,462.50	
18SEP17	S	1	PD18DEC17	3082.00	3243.50	USD	-4,037.50	
18SEP17	S	3	PD18DEC17	3082.50	3243.50	USD	-12,075.00	
18SEP17	B	3	PD18DEC17	3090.00	3243.50	USD	11,512.50	
18SEP17	S	1	PD18DEC17	3100.00	3243.50	USD	-3,587.50	
18SEP17	S	1	PD18DEC17	3101.00	3243.50	USD	-3,562.50	
18SEP17	B	2	PD18DEC17	3094.50	3243.50	USD	7,450.00	
18SEP17	B	2	PD18DEC17	3096.00	3243.50	USD	7,375.00	
18SEP17	B	2	PD18DEC17	3098.00	3243.50	USD	7,275.00	
18SEP17	B	1	PD18DEC17	3097.00	3243.50	USD	3,662.50	
18SEP17	B	2	PD18DEC17	3096.50	3243.50	USD	7,350.00	
18SEP17	B	1	PD18DEC17	3101.50	3243.50	USD	3,550.00	
18SEP17	B	1	PD18DEC17	3102.00	3243.50	USD	3,537.50	
18SEP17	B	1	PD18DEC17	3103.00	3243.50	USD	3,512.50	
18SEP17	B	2	PD18DEC17	3102.50	3243.50	USD	7,050.00	
18SEP17	B	1	PD18DEC17	3103.50	3243.50	USD	3,500.00	
18SEP17	B	3	PD18DEC17	3104.00	3243.50	USD	10,462.50	
19SEP17	S	3	PD18DEC17	3106.30	3243.50	USD	-10,290.00	
	NET	0AVG BGHT 3083.36	SOLD 3083.90				622.50	0.00
19SEP17	B	1	PD19DEC17	3104.00	3243.25	USD	3,481.25	
19SEP17	B	1	PD19DEC17	3095.00	3243.25	USD	3,706.25	
19SEP17	S	1	PD19DEC17	3096.00	3243.25	USD	-3,681.25	
19SEP17	B	1	PD19DEC17	3088.50	3243.25	USD	3,868.75	
19SEP17	S	2	PD19DEC17	3095.00	3243.25	USD	-7,412.50	
19SEP17	S	1	PD19DEC17	3096.50	3243.25	USD	-3,668.75	
19SEP17	B	1	PD19DEC17	3090.00	3243.25	USD	3,831.25	
19SEP17	S	1	PD19DEC17	3080.00	3243.25	USD	-4,081.25	
19SEP17	S	1	PD19DEC17	3086.50	3243.25	USD	-3,918.75	
19SEP17	S	1	PD19DEC17	3105.50	3243.25	USD	-3,443.75	
19SEP17	S	1	PD19DEC17	3109.50	3243.25	USD	-3,343.75	
19SEP17	S	1	PD19DEC17	3111.00	3243.25	USD	-3,306.25	
19SEP17	B	1	PD19DEC17	3102.50	3243.25	USD	3,518.75	
19SEP17	B	1	PD19DEC17	3107.00	3243.25	USD	3,406.25	
19SEP17	B	1	PD19DEC17	3106.00	3243.25	USD	3,431.25	
19SEP17	B	1	PD19DEC17	3110.50	3243.25	USD	3,318.75	
19SEP17	B	1	PD19DEC17	3111.00	3243.25	USD	3,306.25	
	NET	0AVG BGHT 3101.61	SOLD 3097.22				-987.50	0.00
20SEP17	S	1	PD20DEC17	3097.50	3243.00	USD	-3,637.50	
20SEP17	B	1	PD20DEC17	3098.00	3243.00	USD	3,625.00	
20SEP17	B	1	PD20DEC17	3098.50	3243.00	USD	3,612.50	

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20SEP17	B	1	PD20DEC17	3105.00	3243.00	USD	3,450.00	
20SEP17	S	2	PD20DEC17	3108.00	3243.00	USD	-6,750.00	
20SEP17	S	2	PD20DEC17	3121.00	3243.00	USD	-6,100.00	
20SEP17	S	1	PD20DEC17	3122.00	3243.00	USD	-3,025.00	
20SEP17	S	1	PD20DEC17	3122.50	3243.00	USD	-3,012.50	
20SEP17	S	1	PD20DEC17	3124.00	3243.00	USD	-2,975.00	
20SEP17	S	6	PD20DEC17	3125.00	3243.00	USD	-17,700.00	
20SEP17	S	1	PD20DEC17	3128.00	3243.00	USD	-2,875.00	
20SEP17	B	2	PD20DEC17	3119.50	3243.00	USD	6,175.00	
20SEP17	S	2	PD20DEC17	3129.00	3243.00	USD	-5,700.00	
20SEP17	S	2	PD20DEC17	3129.50	3243.00	USD	-5,675.00	
20SEP17	S	1	PD20DEC17	3127.50	3243.00	USD	-2,887.50	
20SEP17	B	1	PD20DEC17	3123.00	3243.00	USD	3,000.00	
20SEP17	B	1	PD20DEC17	3120.00	3243.00	USD	3,075.00	
20SEP17	S	1	PD20DEC17	3137.50	3243.00	USD	-2,637.50	
20SEP17	S	2	PD20DEC17	3138.00	3243.00	USD	-5,250.00	
20SEP17	S	2	PD20DEC17	3144.00	3243.00	USD	-4,950.00	
20SEP17	S	1	PD20DEC17	3151.50	3243.00	USD	-2,287.50	
20SEP17	B	1	PD20DEC17	3133.50	3243.00	USD	2,737.50	
20SEP17	B	3	PD20DEC17	3118.50	3243.00	USD	9,337.50	
20SEP17	B	1	PD20DEC17	3128.50	3243.00	USD	2,862.50	
04OCT17	B	14	PD20DEC17	3306.50	3243.00	USD	-22,225.00	
	NET	0 AVG BGHT 3218.92	SOLD 3126.90				-59,812.50	0.00
21SEP17	B	1	PD21DEC17	3099.50	3240.00	USD	3,512.50	
21SEP17	B	2	PD21DEC17	3087.50	3240.00	USD	7,625.00	
21SEP17	B	1	PD21DEC17	3086.00	3240.00	USD	3,850.00	
21SEP17	B	2	PD21DEC17	3085.50	3240.00	USD	7,725.00	
21SEP17	B	2	PD21DEC17	3081.50	3240.00	USD	7,925.00	
21SEP17	B	1	PD21DEC17	3082.50	3240.00	USD	3,937.50	
21SEP17	B	1	PD21DEC17	3082.00	3240.00	USD	3,950.00	
21SEP17	B	1	PD21DEC17	3079.50	3240.00	USD	4,012.50	
21SEP17	B	1	PD21DEC17	3079.00	3240.00	USD	4,025.00	
21SEP17	B	2	PD21DEC17	3077.50	3240.00	USD	8,125.00	
21SEP17	B	1	PD21DEC17	3076.00	3240.00	USD	4,100.00	
21SEP17	B	1	PD21DEC17	3072.00	3240.00	USD	4,200.00	
21SEP17	B	1	PD21DEC17	3070.50	3240.00	USD	4,237.50	
21SEP17	B	1	PD21DEC17	3068.50	3240.00	USD	4,287.50	
21SEP17	B	1	PD21DEC17	3068.00	3240.00	USD	4,300.00	
21SEP17	B	3	PD21DEC17	3069.00	3240.00	USD	12,825.00	
21SEP17	B	3	PD21DEC17	3070.00	3240.00	USD	12,750.00	
21SEP17	B	1	PD21DEC17	3067.50	3240.00	USD	4,312.50	
21SEP17	B	1	PD21DEC17	3065.00	3240.00	USD	4,375.00	
21SEP17	S	1	PD21DEC17	3072.50	3240.00	USD	-4,187.50	
21SEP17	S	2	PD21DEC17	3071.00	3240.00	USD	-8,450.00	
21SEP17	S	1	PD21DEC17	3072.00	3240.00	USD	-4,200.00	
21SEP17	S	1	PD21DEC17	3070.50	3240.00	USD	-4,237.50	
21SEP17	S	1	PD21DEC17	3071.50	3240.00	USD	-4,212.50	
21SEP17	B	1	PD21DEC17	3069.50	3240.00	USD	4,262.50	
21SEP17	S	1	PD21DEC17	3073.50	3240.00	USD	-4,162.50	
21SEP17	S	5	PD21DEC17	3073.00	3240.00	USD	-20,875.00	
21SEP17	S	1	PD21DEC17	3077.00	3240.00	USD	-4,075.00	
21SEP17	S	1	PD21DEC17	3077.50	3240.00	USD	-4,062.50	
21SEP17	B	1	PD21DEC17	3072.50	3240.00	USD	4,187.50	
21SEP17	S	2	PD21DEC17	3085.00	3240.00	USD	-7,750.00	
21SEP17	S	3	PD21DEC17	3088.00	3240.00	USD	-11,400.00	
21SEP17	S	1	PD21DEC17	3084.50	3240.00	USD	-3,887.50	
21SEP17	S	1	PD21DEC17	3081.00	3240.00	USD	-3,975.00	

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
21SEP17	B	1	PD21DEC17	3074.00	3240.00	USD	4,150.00	
21SEP17	B	1	PD21DEC17	3073.50	3240.00	USD	4,162.50	
21SEP17	B	1	PD21DEC17	3073.00	3240.00	USD	4,175.00	
21SEP17	S	3	PD21DEC17	3078.00	3240.00	USD	-12,150.00	
21SEP17	S	3	PD21DEC17	3080.50	3240.00	USD	-11,962.50	
21SEP17	S	1	PD21DEC17	3082.00	3240.00	USD	-3,950.00	
21SEP17	B	1	PD21DEC17	3077.00	3240.00	USD	4,075.00	
21SEP17	B	1	PD21DEC17	3078.50	3240.00	USD	4,037.50	
21SEP17	B	1	PD21DEC17	3078.00	3240.00	USD	4,050.00	
21SEP17	B	2	PD21DEC17	3098.50	3240.00	USD	7,075.00	
21SEP17	B	1	PD21DEC17	3097.50	3240.00	USD	3,562.50	
21SEP17	B	1	PD21DEC17	3097.00	3240.00	USD	3,575.00	
21SEP17	B	1	PD21DEC17	3094.50	3240.00	USD	3,637.50	
21SEP17	B	1	PD21DEC17	3084.00	3240.00	USD	3,900.00	
21SEP17	B	1	PD21DEC17	3083.50	3240.00	USD	3,912.50	
21SEP17	B	2	PD21DEC17	3075.00	3240.00	USD	8,250.00	
21SEP17	S	1	PD21DEC17	3089.50	3240.00	USD	-3,762.50	
21SEP17	S	1	PD21DEC17	3098.00	3240.00	USD	-3,550.00	
21SEP17	S	1	PD21DEC17	3109.50	3240.00	USD	-3,262.50	
21SEP17	S	1	PD21DEC17	3106.00	3240.00	USD	-3,350.00	
21SEP17	B	1	PD21DEC17	3101.00	3240.00	USD	3,475.00	
21SEP17	B	1	PD21DEC17	3110.00	3240.00	USD	3,250.00	
21SEP17	B	1	PD21DEC17	3096.00	3240.00	USD	3,600.00	
21SEP17	B	4	PD21DEC17	3094.00	3240.00	USD	14,600.00	
21SEP17	S	1	PD21DEC17	3089.00	3240.00	USD	-3,775.00	
21SEP17	B	1	PD21DEC17	3029.50	3240.00	USD	5,262.50	
21SEP17	S	1	PD21DEC17	3041.00	3240.00	USD	-4,975.00	
21SEP17	S	1	PD21DEC17	3040.00	3240.00	USD	-5,000.00	
21SEP17	S	1	PD21DEC17	3040.50	3240.00	USD	-4,987.50	
21SEP17	S	1	PD21DEC17	3042.50	3240.00	USD	-4,937.50	
21SEP17	S	2	PD21DEC17	3043.00	3240.00	USD	-9,850.00	
21SEP17	S	1	PD21DEC17	3043.50	3240.00	USD	-4,912.50	
21SEP17	B	1	PD21DEC17	3036.50	3240.00	USD	5,087.50	
21SEP17	B	1	PD21DEC17	3041.00	3240.00	USD	4,975.00	
21SEP17	B	1	PD21DEC17	3042.00	3240.00	USD	4,950.00	
04OCT17	S	15	PD21DEC17	3301.80	3240.00	USD	23,175.00	
	NET	0AVG BGHT 3078.34	SOLD 3136.20				79,562.50	0.00
22SEP17	B	1	PD22DEC17	3031.50	3239.62	USD	5,203.00	
22SEP17	B	1	PD22DEC17	3042.00	3239.62	USD	4,940.50	
22SEP17	B	1	PD22DEC17	3041.00	3239.62	USD	4,965.50	
22SEP17	B	1	PD22DEC17	3040.00	3239.62	USD	4,990.50	
22SEP17	B	1	PD22DEC17	3039.00	3239.62	USD	5,015.50	
22SEP17	B	1	PD22DEC17	3039.50	3239.62	USD	5,003.00	
22SEP17	S	1	PD22DEC17	3034.00	3239.62	USD	-5,140.50	
22SEP17	S	1	PD22DEC17	3028.00	3239.62	USD	-5,290.50	
22SEP17	S	2	PD22DEC17	3028.50	3239.62	USD	-10,556.00	
22SEP17	S	2	PD22DEC17	3032.00	3239.62	USD	-10,381.00	
22SEP17	B	1	PD22DEC17	3030.50	3239.62	USD	5,228.00	
22SEP17	B	1	PD22DEC17	3029.00	3239.62	USD	5,265.50	
22SEP17	B	1	PD22DEC17	3030.00	3239.62	USD	5,240.50	
22SEP17	B	1	PD22DEC17	3027.50	3239.62	USD	5,303.00	
22SEP17	B	2	PD22DEC17	3026.50	3239.62	USD	10,656.00	
22SEP17	B	3	PD22DEC17	3031.00	3239.62	USD	15,646.50	
22SEP17	B	2	PD22DEC17	3028.00	3239.62	USD	10,581.00	
22SEP17	B	1	PD22DEC17	3027.00	3239.62	USD	5,315.50	
22SEP17	B	1	PD22DEC17	3024.50	3239.62	USD	5,378.00	
22SEP17	B	1	PD22DEC17	3019.50	3239.62	USD	5,503.00	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0112C

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
22SEP17	B	1	PD22DEC17	3016.50	3239.62	USD	5,578.00	
22SEP17	B	1	PD22DEC17	3015.50	3239.62	USD	5,603.00	
22SEP17	B	1	PD22DEC17	3013.50	3239.62	USD	5,653.00	
22SEP17	B	2	PD22DEC17	3005.00	3239.62	USD	11,731.00	
22SEP17	S	1	PD22DEC17	3025.00	3239.62	USD	-5,365.50	
22SEP17	S	1	PD22DEC17	3019.50	3239.62	USD	-5,503.00	
22SEP17	S	1	PD22DEC17	3036.00	3239.62	USD	-5,090.50	
22SEP17	S	2	PD22DEC17	3037.50	3239.62	USD	-10,106.00	
22SEP17	S	1	PD22DEC17	3039.00	3239.62	USD	-5,015.50	
22SEP17	S	1	PD22DEC17	3039.50	3239.62	USD	-5,003.00	
22SEP17	S	1	PD22DEC17	3041.50	3239.62	USD	-4,953.00	
22SEP17	S	1	PD22DEC17	3042.50	3239.62	USD	-4,928.00	
22SEP17	S	1	PD22DEC17	3044.50	3239.62	USD	-4,878.00	
22SEP17	S	1	PD22DEC17	3045.00	3239.62	USD	-4,865.50	
22SEP17	S	1	PD22DEC17	3045.50	3239.62	USD	-4,853.00	
22SEP17	S	1	PD22DEC17	3047.50	3239.62	USD	-4,803.00	
22SEP17	S	1	PD22DEC17	3048.50	3239.62	USD	-4,778.00	
22SEP17	S	1	PD22DEC17	3065.00	3239.62	USD	-4,365.50	
22SEP17	B	1	PD22DEC17	3071.00	3239.62	USD	4,215.50	
22SEP17	S	1	PD22DEC17	3069.00	3239.62	USD	-4,265.50	
22SEP17	S	1	PD22DEC17	3072.00	3239.62	USD	-4,190.50	
22SEP17	S	1	PD22DEC17	3072.50	3239.62	USD	-4,178.00	
22SEP17	S	1	PD22DEC17	3075.00	3239.62	USD	-4,115.50	
22SEP17	B	1	PD22DEC17	3065.00	3239.62	USD	4,365.50	
22SEP17	B	1	PD22DEC17	3057.00	3239.62	USD	4,565.50	
22SEP17	B	1	PD22DEC17	3053.00	3239.62	USD	4,665.50	
22SEP17	S	1	PD22DEC17	3055.50	3239.62	USD	-4,603.00	
22SEP17	S	1	PD22DEC17	3051.50	3239.62	USD	-4,703.00	
22SEP17	S	2	PD22DEC17	3051.00	3239.62	USD	-9,431.00	
22SEP17	S	1	PD22DEC17	3049.50	3239.62	USD	-4,753.00	
22SEP17	B	1	PD22DEC17	3051.00	3239.62	USD	4,715.50	
22SEP17	B	1	PD22DEC17	3014.50	3239.62	USD	5,628.00	
22SEP17	S	1	PD22DEC17	3029.50	3239.62	USD	-5,253.00	
	NET	0AVG BGHT 3031.94	SOLD 3044.31				9,587.50	0.00
25SEP17	B	1	PD27DEC17	3080.00	3239.23	USD	3,980.75	
25SEP17	S	2	PD27DEC17	3073.00	3239.23	USD	-8,311.50	
25SEP17	S	1	PD27DEC17	3078.00	3239.23	USD	-4,030.75	
25SEP17	S	1	PD27DEC17	3080.00	3239.23	USD	-3,980.75	
25SEP17	S	1	PD27DEC17	3077.00	3239.23	USD	-4,055.75	
25SEP17	B	1	PD27DEC17	3081.50	3239.23	USD	3,943.25	
25SEP17	B	2	PD27DEC17	3082.50	3239.23	USD	7,836.50	
25SEP17	S	1	PD27DEC17	3087.00	3239.23	USD	-3,805.75	
25SEP17	S	1	PD27DEC17	3086.50	3239.23	USD	-3,818.25	
25SEP17	B	1	PD27DEC17	3078.00	3239.23	USD	4,030.75	
25SEP17	S	1	PD27DEC17	3104.00	3239.23	USD	-3,380.75	
25SEP17	B	1	PD27DEC17	3097.50	3239.23	USD	3,543.25	
25SEP17	B	1	PD27DEC17	3095.00	3239.23	USD	3,605.75	
25SEP17	B	1	PD27DEC17	3092.00	3239.23	USD	3,680.75	
25SEP17	S	2	PD27DEC17	3097.00	3239.23	USD	-7,111.50	
25SEP17	S	1	PD27DEC17	3096.50	3239.23	USD	-3,568.25	
25SEP17	B	1	PD27DEC17	3099.50	3239.23	USD	3,493.25	
25SEP17	B	2	PD27DEC17	3097.00	3239.23	USD	7,111.50	
26SEP17	S	1	PD27DEC17	3126.00	3239.23	USD	-2,830.75	
26SEP17	S	1	PD27DEC17	3127.50	3239.23	USD	-2,793.25	
26SEP17	S	1	PD27DEC17	3127.00	3239.23	USD	-2,805.75	
26SEP17	S	1	PD27DEC17	3134.00	3239.23	USD	-2,630.75	
26SEP17	S	1	PD27DEC17	3132.50	3239.23	USD	-2,668.25	

OPEN POSITION STATEMENT



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SAP0112C

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
26SEP17	B	1	PD27DEC17	3136.00	3239.23	USD	2,580.75	
26SEP17	B	1	PD27DEC17	3133.00	3239.23	USD	2,655.75	
26SEP17	B	1	PD27DEC17	3131.00	3239.23	USD	2,705.75	
26SEP17	B	2	PD27DEC17	3132.00	3239.23	USD	5,361.50	
26SEP17	S	2	PD27DEC17	3143.50	3239.23	USD	-4,786.50	
26SEP17	B	1	PD27DEC17	3112.50	3239.23	USD	3,168.25	
26SEP17	S	1	PD27DEC17	3115.00	3239.23	USD	-3,105.75	
26SEP17	S	1	PD27DEC17	3110.00	3239.23	USD	-3,230.75	
26SEP17	B	1	PD27DEC17	3129.50	3239.23	USD	2,743.25	
26SEP17	B	1	PD27DEC17	3113.50	3239.23	USD	3,143.25	
26SEP17	B	1	PD27DEC17	3113.00	3239.23	USD	3,155.75	
27SEP17	S	1	PD27DEC17	3116.50	3239.23	USD	-3,068.25	
27SEP17	S	1	PD27DEC17	3131.00	3239.23	USD	-2,705.75	
27SEP17	S	1	PD27DEC17	3132.50	3239.23	USD	-2,668.25	
27SEP17	S	1	PD27DEC17	3137.50	3239.23	USD	-2,543.25	
27SEP17	S	1	PD27DEC17	3147.00	3239.23	USD	-2,305.75	
27SEP17	S	2	PD27DEC17	3144.50	3239.23	USD	-4,736.50	
27SEP17	S	2	PD27DEC17	3145.50	3239.23	USD	-4,686.50	
27SEP17	S	2	PD27DEC17	3145.00	3239.23	USD	-4,711.50	
27SEP17	S	1	PD27DEC17	3138.50	3239.23	USD	-2,518.25	
27SEP17	S	1	PD27DEC17	3138.00	3239.23	USD	-2,530.75	
27SEP17	S	2	PD27DEC17	3140.50	3239.23	USD	-4,936.50	
27SEP17	S	2	PD27DEC17	3143.50	3239.23	USD	-4,786.50	
27SEP17	S	1	PD27DEC17	3141.00	3239.23	USD	-2,455.75	
27SEP17	B	1	PD27DEC17	3139.50	3239.23	USD	2,493.25	
27SEP17	B	2	PD27DEC17	3137.50	3239.23	USD	5,086.50	
27SEP17	B	2	PD27DEC17	3137.00	3239.23	USD	5,111.50	
27SEP17	S	1	PD27DEC17	3124.00	3239.23	USD	-2,880.75	
27SEP17	S	1	PD27DEC17	3114.50	3239.23	USD	-3,118.25	
27SEP17	S	1	PD27DEC17	3121.00	3239.23	USD	-2,955.75	
27SEP17	B	1	PD27DEC17	3113.00	3239.23	USD	3,155.75	
27SEP17	B	2	PD27DEC17	3119.50	3239.23	USD	5,986.50	
27SEP17	B	2	PD27DEC17	3101.00	3239.23	USD	6,911.50	
27SEP17	B	1	PD27DEC17	3100.00	3239.23	USD	3,480.75	
27SEP17	B	1	PD27DEC17	3097.50	3239.23	USD	3,543.25	
27SEP17	B	2	PD27DEC17	3097.00	3239.23	USD	7,111.50	
27SEP17	B	1	PD27DEC17	3100.50	3239.23	USD	3,468.25	
27SEP17	B	1	PD27DEC17	3102.00	3239.23	USD	3,430.75	
27SEP17	B	1	PD27DEC17	3116.00	3239.23	USD	3,080.75	
27SEP17	B	1	PD27DEC17	3115.00	3239.23	USD	3,105.75	
27SEP17	B	1	PD27DEC17	3115.50	3239.23	USD	3,093.25	
27SEP17	B	1	PD27DEC17	3114.00	3239.23	USD	3,130.75	
27SEP17	B	1	PD27DEC17	3117.00	3239.23	USD	3,055.75	
	NET	0	AVG BGHT 3110.46	SOLD 3121.65			11,462.50	0.00
28SEP17	B	1	PD28DEC17	3102.00	3238.85	USD	3,421.25	
28SEP17	B	1	PD28DEC17	3107.50	3238.85	USD	3,283.75	
28SEP17	B	1	PD28DEC17	3105.50	3238.85	USD	3,333.75	
28SEP17	B	1	PD28DEC17	3104.50	3238.85	USD	3,358.75	
28SEP17	S	1	PD28DEC17	3109.50	3238.85	USD	-3,233.75	
28SEP17	S	1	PD28DEC17	3118.50	3238.85	USD	-3,008.75	
28SEP17	B	1	PD28DEC17	3118.00	3238.85	USD	3,021.25	
28SEP17	S	1	PD28DEC17	3119.50	3238.85	USD	-2,983.75	
28SEP17	S	1	PD28DEC17	3119.00	3238.85	USD	-2,996.25	
28SEP17	S	1	PD28DEC17	3120.00	3238.85	USD	-2,971.25	
28SEP17	S	1	PD28DEC17	3123.00	3238.85	USD	-2,896.25	
28SEP17	S	1	PD28DEC17	3131.00	3238.85	USD	-2,696.25	
28SEP17	S	1	PD28DEC17	3136.00	3238.85	USD	-2,571.25	

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28SEP17	S	1	PD28DEC17	3133.50	3238.85	USD	-2,633.75	
28SEP17	S	1	PD28DEC17	3135.00	3238.85	USD	-2,596.25	
28SEP17	S	1	PD28DEC17	3134.00	3238.85	USD	-2,621.25	
28SEP17	B	1	PD28DEC17	3130.00	3238.85	USD	2,721.25	
28SEP17	S	1	PD28DEC17	3121.50	3238.85	USD	-2,933.75	
28SEP17	B	1	PD28DEC17	3123.50	3238.85	USD	2,883.75	
28SEP17	B	1	PD28DEC17	3128.00	3238.85	USD	2,771.25	
28SEP17	B	2	PD28DEC17	3135.00	3238.85	USD	5,192.50	
28SEP17	B	2	PD28DEC17	3134.50	3238.85	USD	5,217.50	
28SEP17	S	1	PD28DEC17	3143.50	3238.85	USD	-2,383.75	
28SEP17	B	1	PD28DEC17	3132.50	3238.85	USD	2,658.75	
28SEP17	B	1	PD28DEC17	3122.00	3238.85	USD	2,921.25	
28SEP17	B	2	PD28DEC17	3113.00	3238.85	USD	6,292.50	
28SEP17	B	1	PD28DEC17	3116.50	3238.85	USD	3,058.75	
28SEP17	B	1	PD28DEC17	3114.00	3238.85	USD	3,121.25	
28SEP17	S	1	PD28DEC17	3126.00	3238.85	USD	-2,821.25	
28SEP17	S	1	PD28DEC17	3137.50	3238.85	USD	-2,533.75	
28SEP17	S	1	PD28DEC17	3138.00	3238.85	USD	-2,521.25	
28SEP17	S	1	PD28DEC17	3138.50	3238.85	USD	-2,508.75	
28SEP17	S	1	PD28DEC17	3137.00	3238.85	USD	-2,546.25	
28SEP17	S	1	PD28DEC17	3140.00	3238.85	USD	-2,471.25	
28SEP17	S	1	PD28DEC17	3142.50	3238.85	USD	-2,408.75	
28SEP17	S	1	PD28DEC17	3144.00	3238.85	USD	-2,371.25	
28SEP17	S	1	PD28DEC17	3146.50	3238.85	USD	-2,308.75	
28SEP17	S	1	PD28DEC17	3147.50	3238.85	USD	-2,283.75	
28SEP17	S	1	PD28DEC17	3140.50	3238.85	USD	-2,458.75	
28SEP17	B	1	PD28DEC17	3140.00	3238.85	USD	2,471.25	
28SEP17	S	4	PD28DEC17	3153.50	3238.85	USD	-8,535.00	
28SEP17	S	3	PD28DEC17	3154.00	3238.85	USD	-6,363.75	
28SEP17	S	1	PD28DEC17	3151.50	3238.85	USD	-2,183.75	
28SEP17	S	1	PD28DEC17	3153.00	3238.85	USD	-2,146.25	
04OCT17	B	14	PD28DEC17	3302.00	3238.85	USD	-22,102.50	
	NET	0AVG BGHT 3198.09	SOLD 3138.26				-49,362.50	0.00
29SEP17	B	1	PD29DEC17	3149.50	3238.46	USD	2,224.00	
29SEP17	B	1	PD29DEC17	3147.00	3238.46	USD	2,286.50	
29SEP17	B	1	PD29DEC17	3141.00	3238.46	USD	2,436.50	
29SEP17	B	1	PD29DEC17	3140.00	3238.46	USD	2,461.50	
29SEP17	B	1	PD29DEC17	3144.00	3238.46	USD	2,361.50	
29SEP17	S	2	PD29DEC17	3160.00	3238.46	USD	-3,923.00	
29SEP17	S	1	PD29DEC17	3169.50	3238.46	USD	-1,724.00	
29SEP17	S	1	PD29DEC17	3152.00	3238.46	USD	-2,161.50	
29SEP17	S	2	PD29DEC17	3163.00	3238.46	USD	-3,773.00	
29SEP17	S	1	PD29DEC17	3161.00	3238.46	USD	-1,936.50	
29SEP17	S	1	PD29DEC17	3171.50	3238.46	USD	-1,674.00	
29SEP17	S	1	PD29DEC17	3162.50	3238.46	USD	-1,899.00	
29SEP17	S	1	PD29DEC17	3164.00	3238.46	USD	-1,861.50	
29SEP17	S	2	PD29DEC17	3151.00	3238.46	USD	-4,373.00	
29SEP17	B	1	PD29DEC17	3154.00	3238.46	USD	2,111.50	
29SEP17	B	1	PD29DEC17	3155.00	3238.46	USD	2,086.50	
29SEP17	B	2	PD29DEC17	3152.50	3238.46	USD	4,298.00	
29SEP17	B	1	PD29DEC17	3162.00	3238.46	USD	1,911.50	
29SEP17	B	2	PD29DEC17	3151.50	3238.46	USD	4,348.00	
29SEP17	B	1	PD29DEC17	3150.50	3238.46	USD	2,199.00	
29SEP17	B	1	PD29DEC17	3148.50	3238.46	USD	2,249.00	
29SEP17	B	3	PD29DEC17	3147.50	3238.46	USD	6,822.00	
04OCT17	S	5	PD29DEC17	3301.80	3238.46	USD	7,917.50	
	NET	0AVG BGHT 3149.53	SOLD 3202.21				22,387.50	0.00

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0112C

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
030CT17	S	1	PD03JAN18	3225.00	3237.69	USD	-317.25	
030CT17	S	2	PD03JAN18	3225.50	3237.69	USD	-609.50	
030CT17	S	1	PD03JAN18	3226.00	3237.69	USD	-292.25	
030CT17	S	1	PD03JAN18	3227.00	3237.69	USD	-267.25	
030CT17	S	1	PD03JAN18	3230.00	3237.69	USD	-192.25	
030CT17	S	1	PD03JAN18	3236.00	3237.69	USD	-42.25	
030CT17	S	1	PD03JAN18	3238.50	3237.69	USD	20.25	
030CT17	S	1	PD03JAN18	3239.50	3237.69	USD	45.25	
030CT17	S	2	PD03JAN18	3262.00	3237.69	USD	1,215.50	
030CT17	B	1	PD03JAN18	3262.50	3237.69	USD	-620.25	
030CT17	B	1	PD03JAN18	3259.50	3237.69	USD	-545.25	
030CT17	B	1	PD03JAN18	3267.50	3237.69	USD	-745.25	
030CT17	B	1	PD03JAN18	3258.50	3237.69	USD	-520.25	
030CT17	S	1	PD03JAN18	3264.50	3237.69	USD	670.25	
030CT17	S	2	PD03JAN18	3271.00	3237.69	USD	1,665.50	
030CT17	B	1	PD03JAN18	3275.50	3237.69	USD	-945.25	
030CT17	B	1	PD03JAN18	3277.00	3237.69	USD	-982.75	
030CT17	B	3	PD03JAN18	3276.00	3237.69	USD	-2,873.25	
030CT17	B	1	PD03JAN18	3286.00	3237.69	USD	-1,207.75	
030CT17	B	1	PD03JAN18	3287.50	3237.69	USD	-1,245.25	
030CT17	B	3	PD03JAN18	3288.00	3237.69	USD	-3,773.25	
030CT17	B	1	PD03JAN18	3290.00	3237.69	USD	-1,307.75	
030CT17	B	1	PD03JAN18	3290.50	3237.69	USD	-1,320.25	
030CT17	S	1	PD03JAN18	3288.50	3237.69	USD	1,270.25	
030CT17	S	1	PD03JAN18	3288.00	3237.69	USD	1,257.75	
030CT17	S	1	PD03JAN18	3287.50	3237.69	USD	1,245.25	
030CT17	B	1	PD03JAN18	3287.00	3237.69	USD	-1,232.75	
030CT17	B	1	PD03JAN18	3287.50	3237.69	USD	-1,245.25	
030CT17	S	1	PD03JAN18	3289.00	3237.69	USD	1,282.75	
030CT17	S	1	PD03JAN18	3288.50	3237.69	USD	1,270.25	
030CT17	S	1	PD03JAN18	3280.00	3237.69	USD	1,057.75	
030CT17	B	1	PD03JAN18	3266.50	3237.69	USD	-720.25	
030CT17	B	1	PD03JAN18	3265.50	3237.69	USD	-695.25	
030CT17	S	1	PD03JAN18	3255.00	3237.69	USD	432.75	
030CT17	S	1	PD03JAN18	3262.50	3237.69	USD	620.25	
030CT17	B	2	PD03JAN18	3261.50	3237.69	USD	-1,190.50	
030CT17	B	1	PD03JAN18	3272.00	3237.69	USD	-857.75	
030CT17	B	1	PD03JAN18	3279.00	3237.69	USD	-1,032.75	
030CT17	B	2	PD03JAN18	3278.50	3237.69	USD	-2,040.50	
030CT17	B	2	PD03JAN18	3277.50	3237.69	USD	-1,990.50	
030CT17	B	2	PD03JAN18	3278.00	3237.69	USD	-2,015.50	
030CT17	B	1	PD03JAN18	3267.00	3237.69	USD	-732.75	
040CT17	S	9	PD03JAN18	3301.80	3237.69	USD	14,424.75	
	NET	0 AVG BGHT 3276.19	SOLD 3269.64				-5,082.50	0.00
040CT17	B	1	PD04JAN18	3286.00	3237.31	USD	-1,217.25	
040CT17	S	1	PD04JAN18	3294.50	3237.31	USD	1,429.75	
040CT17	B	1	PD04JAN18	3284.00	3237.31	USD	-1,167.25	
040CT17	S	1	PD04JAN18	3279.50	3237.31	USD	1,054.75	
	NET	0 AVG BGHT 3285.00	SOLD 3287.00				100.00	0.00
050CT17	S	1	PD05JAN18	3307.00	3236.92	USD	1,752.00	
060CT17	S	2	PD05JAN18	3286.00	3236.92	USD	2,454.00	
060CT17	B	1	PD05JAN18	3255.00	3236.92	USD	-452.00	
100CT17	B	1	PD05JAN18	3280.64	3236.92	USD	-1,093.00	
120CT17	B	1	PD05JAN18	3250.00	3236.92	USD	-327.00	
	NET	0 AVG BGHT 3261.88	SOLD 3293.00				2,334.00	0.00
090CT17	S	1	PD09JAN18	3263.00	3236.15	USD	671.25	

OPEN POSITION STATEMENT



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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
09OCT17	B	1	PD09JAN18	3255.50	3236.15	USD	-483.75	
09OCT17	B	1	PD09JAN18	3254.00	3236.15	USD	-446.25	
10OCT17	S	1	PD09JAN18	3279.35	3236.15	USD	1,080.00	
	NET	0 AVG BGHT	3254.75				821.25	0.00
			SOLD 3271.18					
10OCT17	B	1	PD10JAN18	3223.00	3235.77	USD	319.25	
10OCT17	S	1	PD10JAN18	3281.50	3235.77	USD	1,143.25	
10OCT17	S	1	PD10JAN18	3282.50	3235.77	USD	1,168.25	
10OCT17	B	1	PD10JAN18	3278.00	3235.77	USD	-1,055.75	
10OCT17	B	1	PD10JAN18	3278.50	3235.77	USD	-1,068.25	
10OCT17	S	1	PD10JAN18	3278.00	3235.77	USD	1,055.75	
10OCT17	B	1	PD10JAN18	3278.00	3235.77	USD	-1,055.75	
12OCT17	S	1	PD10JAN18	3249.80	3235.77	USD	350.75	
	NET	0 AVG BGHT	3264.38				857.50	0.00
			SOLD 3272.95					

OPEN POSITION VALUE

		<u>USD</u>
LME Aluminium 25 Tonnes.	FUTURES	-710.00
LME Lead 25 tonnes. This is an	FUTURES	43,935.75
LME Nickel 6 tonnes. This is	FUTURES	2,092.20
LME Zinc 25 tonnes. This is	FUTURES	19,751.50

MARKET REVALUATION BREAKDOWN

Unrealised Profits & Losses - Forwards			Amount	Profit / Loss	Total Amount	Total P&L
ALUL	- Futures	USD	-710.00	-710.00		
LEAD	- Futures	USD	43,932.00	43,932.00		
NKL	- Futures	USD	2,092.20	2,092.20		
ZINC	- Futures	USD	19,751.50	19,751.50	65,065.70	65,065.70

ACCOUNT FINANCIAL SUMMARY

		<u>USD</u>	<u>NETT USD</u>
		(1.00000)	(1.00000)
CASH BALANCE		-972,646.12	-972,646.12
Variation Margins	- Futures	0.00	0.00
	- Options	0.00	0.00
TOTAL EQUITY		-972,646.12	-972,646.12
Initial Margins		0.00	0.00
Excess/(Shortage)		-972,646.12	-972,646.12
FOR INFORMATION ONLY			
Forward Unrealised P&L		65,065.70	65,065.70
Net Liquidating Value		-907,580.42	-907,580.42

OPEN POSITION STATEMENT



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	<u>LME ALUMINIUM 25 TONNES. THIS IS AN</u>							
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17JUL17	S	1	PD17OCT17	1935.00	2109.75	USD	-4,368.75	
17JUL17	B	1	PD17OCT17	1932.00	2109.75	USD	4,443.75	
17JUL17	S	4	PD17OCT17	1938.50	2109.75	USD	-17,125.00	
17JUL17	S	1	PD17OCT17	1940.50	2109.75	USD	-4,231.25	
17JUL17	B	1	PD17OCT17	1928.00	2109.75	USD	4,543.75	
17JUL17	S	1	PD17OCT17	1939.50	2109.75	USD	-4,256.25	
17JUL17	S	1	PD17OCT17	1928.50	2109.75	USD	-4,531.25	
17JUL17	B	2	PD17OCT17	1924.00	2109.75	USD	9,287.50	
17JUL17	B	2	PD17OCT17	1924.50	2109.75	USD	9,262.50	
17JUL17	B	2	PD17OCT17	1923.50	2109.75	USD	9,312.50	
17JUL17	B	2	PD17OCT17	1922.50	2109.75	USD	9,362.50	
17JUL17	B	1	PD17OCT17	1906.50	2109.75	USD	5,081.25	
17JUL17	B	2	PD17OCT17	1904.50	2109.75	USD	10,262.50	
17JUL17	B	1	PD17OCT17	1898.50	2109.75	USD	5,281.25	
17JUL17	S	1	PD17OCT17	1902.50	2109.75	USD	-5,181.25	
17JUL17	S	1	PD17OCT17	1904.00	2109.75	USD	-5,143.75	
17JUL17	S	1	PD17OCT17	1904.50	2109.75	USD	-5,131.25	
17JUL17	S	1	PD17OCT17	1913.50	2109.75	USD	-4,906.25	
18JUL17	S	4	PD17OCT17	1930.59	2109.75	USD	-17,916.00	
24JUL17	B	2	PD17OCT17	1911.30	2109.75	USD	9,922.50	
	NET	0AVG	BGHT 1917.85	SOLD 1927.77			3,969.00	0.00
18JUL17	B	1	PD18OCT17	1900.00	2110.25	USD	5,256.25	
18JUL17	S	1	PD18OCT17	1906.50	2110.25	USD	-5,093.75	
18JUL17	S	1	PD18OCT17	1907.50	2110.25	USD	-5,068.75	
18JUL17	S	1	PD18OCT17	1908.00	2110.25	USD	-5,056.25	
18JUL17	S	1	PD18OCT17	1905.00	2110.25	USD	-5,131.25	
18JUL17	S	1	PD18OCT17	1907.00	2110.25	USD	-5,081.25	
18JUL17	S	1	PD18OCT17	1916.50	2110.25	USD	-4,843.75	
18JUL17	S	2	PD18OCT17	1917.00	2110.25	USD	-9,662.50	
18JUL17	S	1	PD18OCT17	1917.50	2110.25	USD	-4,818.75	
18JUL17	S	1	PD18OCT17	1919.00	2110.25	USD	-4,781.25	
18JUL17	S	1	PD18OCT17	1918.50	2110.25	USD	-4,793.75	
18JUL17	S	1	PD18OCT17	1920.00	2110.25	USD	-4,756.25	
18JUL17	S	1	PD18OCT17	1921.50	2110.25	USD	-4,718.75	
18JUL17	S	1	PD18OCT17	1927.00	2110.25	USD	-4,581.25	
18JUL17	S	1	PD18OCT17	1927.50	2110.25	USD	-4,568.75	
18JUL17	S	2	PD18OCT17	1931.50	2110.25	USD	-8,937.50	
18JUL17	S	3	PD18OCT17	1930.50	2110.25	USD	-13,481.25	
24JUL17	B	19	PD18OCT17	1911.50	2110.25	USD	94,406.25	
	NET	0AVG	BGHT 1910.93	SOLD 1919.50			4,287.50	0.00
19JUL17	B	1	PD19OCT17	1928.50	2110.75	USD	4,556.25	
19JUL17	B	1	PD19OCT17	1937.00	2110.75	USD	4,343.75	
19JUL17	B	2	PD19OCT17	1931.50	2110.75	USD	8,962.50	
19JUL17	B	2	PD19OCT17	1933.00	2110.75	USD	8,887.50	
19JUL17	B	1	PD19OCT17	1932.50	2110.75	USD	4,456.25	
19JUL17	B	1	PD19OCT17	1933.50	2110.75	USD	4,431.25	
19JUL17	B	3	PD19OCT17	1932.00	2110.75	USD	13,406.25	
19JUL17	B	1	PD19OCT17	1930.50	2110.75	USD	4,506.25	
19JUL17	B	3	PD19OCT17	1928.00	2110.75	USD	13,706.25	
19JUL17	B	1	PD19OCT17	1927.00	2110.75	USD	4,593.75	
19JUL17	B	1	PD19OCT17	1924.50	2110.75	USD	4,656.25	
19JUL17	B	1	PD19OCT17	1922.00	2110.75	USD	4,718.75	
19JUL17	B	1	PD19OCT17	1918.50	2110.75	USD	4,806.25	
19JUL17	B	1	PD19OCT17	1918.00	2110.75	USD	4,818.75	
19JUL17	S	1	PD19OCT17	1922.00	2110.75	USD	-4,718.75	
19JUL17	S	1	PD19OCT17	1925.00	2110.75	USD	-4,643.75	
24JUL17	S	18	PD19OCT17	1911.38	2110.75	USD	-89,716.50	

OPEN POSITION STATEMENT



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	<u>LME ALUMINIUM 25 TONNES. THIS IS AN</u>								
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	NET	0	AVG	BGHT 1929.05				-8,229.00	0.00
				SOLD 1912.59					
20JUL17	S	2	PD200CT17		1928.00	2111.25	USD	-9,162.50	
20JUL17	S	1	PD200CT17		1928.50	2111.25	USD	-4,568.75	
20JUL17	B	1	PD200CT17		1925.00	2111.25	USD	4,656.25	
20JUL17	B	1	PD200CT17		1924.00	2111.25	USD	4,681.25	
20JUL17	S	4	PD200CT17		1929.00	2111.25	USD	-18,225.00	
20JUL17	S	4	PD200CT17		1926.50	2111.25	USD	-18,475.00	
20JUL17	B	3	PD200CT17		1923.50	2111.25	USD	14,081.25	
20JUL17	B	1	PD200CT17		1921.50	2111.25	USD	4,743.75	
20JUL17	B	1	PD200CT17		1923.00	2111.25	USD	4,706.25	
20JUL17	B	3	PD200CT17		1920.50	2111.25	USD	14,306.25	
20JUL17	B	1	PD200CT17		1919.50	2111.25	USD	4,793.75	
20JUL17	B	1	PD200CT17		1918.50	2111.25	USD	4,818.75	
20JUL17	B	1	PD200CT17		1917.00	2111.25	USD	4,856.25	
20JUL17	B	1	PD200CT17		1916.50	2111.25	USD	4,868.75	
20JUL17	B	1	PD200CT17		1915.50	2111.25	USD	4,893.75	
20JUL17	B	2	PD200CT17		1922.00	2111.25	USD	9,462.50	
20JUL17	B	2	PD200CT17		1920.00	2111.25	USD	9,562.50	
20JUL17	S	1	PD200CT17		1918.00	2111.25	USD	-4,831.25	
20JUL17	B	1	PD200CT17		1918.00	2111.25	USD	4,831.25	
20JUL17	S	1	PD200CT17		1919.50	2111.25	USD	-4,793.75	
20JUL17	S	1	PD200CT17		1916.50	2111.25	USD	-4,868.75	
20JUL17	S	1	PD200CT17		1921.50	2111.25	USD	-4,743.75	
20JUL17	S	2	PD200CT17		1921.00	2111.25	USD	-9,512.50	
21JUL17	S	1	PD200CT17		1926.00	2111.25	USD	-4,631.25	
21JUL17	S	1	PD200CT17		1925.50	2111.25	USD	-4,643.75	
21JUL17	S	1	PD200CT17		1927.00	2111.25	USD	-4,606.25	
21JUL17	S	1	PD200CT17		1927.50	2111.25	USD	-4,593.75	
21JUL17	S	2	PD200CT17		1928.00	2111.25	USD	-9,162.50	
21JUL17	S	2	PD200CT17		1929.00	2111.25	USD	-9,112.50	
21JUL17	S	1	PD200CT17		1931.50	2111.25	USD	-4,493.75	
21JUL17	S	1	PD200CT17		1932.00	2111.25	USD	-4,481.25	
21JUL17	S	3	PD200CT17		1931.00	2111.25	USD	-13,518.75	
21JUL17	B	1	PD200CT17		1927.50	2111.25	USD	4,593.75	
21JUL17	B	3	PD200CT17		1926.00	2111.25	USD	13,893.75	
21JUL17	B	1	PD200CT17		1928.00	2111.25	USD	4,581.25	
21JUL17	B	2	PD200CT17		1921.00	2111.25	USD	9,512.50	
21JUL17	B	1	PD200CT17		1925.00	2111.25	USD	4,656.25	
21JUL17	B	1	PD200CT17		1913.50	2111.25	USD	4,943.75	
21JUL17	B	1	PD200CT17		1916.50	2111.25	USD	4,868.75	
21JUL17	B	1	PD200CT17		1916.00	2111.25	USD	4,881.25	
21JUL17	B	1	PD200CT17		1918.50	2111.25	USD	4,818.75	
21JUL17	B	1	PD200CT17		1918.00	2111.25	USD	4,831.25	
24JUL17	S	3	PD200CT17		1911.47	2111.25	USD	-14,983.50	
	NET	0	AVG	BGHT 1921.14				3,435.25	0.00
				SOLD 1925.30					
24JUL17	B	5	PD240CT17		1921.50	2113.25	USD	23,968.75	
24JUL17	B	2	PD240CT17		1920.50	2113.25	USD	9,637.50	
24JUL17	B	1	PD240CT17		1917.00	2113.25	USD	4,906.25	
24JUL17	B	1	PD240CT17		1913.50	2113.25	USD	4,993.75	
24JUL17	B	2	PD240CT17		1912.00	2113.25	USD	10,062.50	
24JUL17	S	1	PD240CT17		1914.50	2113.25	USD	-4,968.75	
24JUL17	S	3	PD240CT17		1914.00	2113.25	USD	-14,943.75	
24JUL17	B	1	PD240CT17		1910.50	2113.25	USD	5,068.75	
24JUL17	B	1	PD240CT17		1912.50	2113.25	USD	5,018.75	
24JUL17	B	9	PD240CT17		1913.00	2113.25	USD	45,056.25	
26JUL17	S	12	PD240CT17		1947.00	2113.25	USD	-49,875.00	
01AUG17	B	2	PD240CT17		1904.69	2113.25	USD	10,428.00	

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	<u>LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.</u>							
	NET	+8 AVG BGHT 1914.68	SOLD 1938.78				49,353.00	0.00
25JUL17	S	2	PD25OCT17	1918.50	2113.75	USD	-9,762.50	
25JUL17	S	1	PD25OCT17	1919.00	2113.75	USD	-4,868.75	
25JUL17	S	1	PD25OCT17	1922.00	2113.75	USD	-4,793.75	
25JUL17	B	1	PD25OCT17	1917.50	2113.75	USD	4,906.25	
25JUL17	B	1	PD25OCT17	1916.50	2113.75	USD	4,931.25	
25JUL17	S	1	PD25OCT17	1924.50	2113.75	USD	-4,731.25	
25JUL17	S	2	PD25OCT17	1923.00	2113.75	USD	-9,537.50	
25JUL17	S	4	PD25OCT17	1921.00	2113.75	USD	-19,275.00	
25JUL17	S	5	PD25OCT17	1921.50	2113.75	USD	-24,031.25	
25JUL17	S	2	PD25OCT17	1922.50	2113.75	USD	-9,562.50	
25JUL17	S	2	PD25OCT17	1923.50	2113.75	USD	-9,512.50	
25JUL17	B	5	PD25OCT17	1918.50	2113.75	USD	24,406.25	
25JUL17	B	5	PD25OCT17	1919.50	2113.75	USD	24,281.25	
25JUL17	B	2	PD25OCT17	1920.00	2113.75	USD	9,687.50	
25JUL17	S	1	PD25OCT17	1929.00	2113.75	USD	-4,618.75	
25JUL17	S	1	PD25OCT17	1930.00	2113.75	USD	-4,593.75	
25JUL17	S	1	PD25OCT17	1931.00	2113.75	USD	-4,568.75	
25JUL17	S	1	PD25OCT17	1935.00	2113.75	USD	-4,468.75	
25JUL17	B	1	PD25OCT17	1935.50	2113.75	USD	4,456.25	
25JUL17	B	1	PD25OCT17	1940.00	2113.75	USD	4,343.75	
25JUL17	B	1	PD25OCT17	1939.50	2113.75	USD	4,356.25	
26JUL17	B	12	PD25OCT17	1947.46	2113.75	USD	49,887.00	
01AUG17	B	3	PD25OCT17	1904.98	2113.75	USD	15,657.75	
	NET	+8 AVG BGHT 1930.11	SOLD 1923.21				32,588.50	0.00
26JUL17	B	1	PD26OCT17	1954.50	2114.25	USD	3,993.75	
26JUL17	B	1	PD26OCT17	1952.50	2114.25	USD	4,043.75	
26JUL17	B	1	PD26OCT17	1950.00	2114.25	USD	4,106.25	
26JUL17	B	1	PD26OCT17	1943.00	2114.25	USD	4,281.25	
26JUL17	B	1	PD26OCT17	1941.00	2114.25	USD	4,331.25	
26JUL17	B	1	PD26OCT17	1940.00	2114.25	USD	4,356.25	
26JUL17	B	1	PD26OCT17	1936.00	2114.25	USD	4,456.25	
26JUL17	S	1	PD26OCT17	1941.00	2114.25	USD	-4,331.25	
26JUL17	S	1	PD26OCT17	1942.00	2114.25	USD	-4,306.25	
26JUL17	S	1	PD26OCT17	1948.50	2114.25	USD	-4,143.75	
26JUL17	S	1	PD26OCT17	1949.00	2114.25	USD	-4,131.25	
26JUL17	S	1	PD26OCT17	1940.50	2114.25	USD	-4,343.75	
26JUL17	S	1	PD26OCT17	1941.00	2114.25	USD	-4,331.25	
26JUL17	B	1	PD26OCT17	1948.00	2114.25	USD	4,156.25	
26JUL17	B	1	PD26OCT17	1945.50	2114.25	USD	4,218.75	
26JUL17	B	1	PD26OCT17	1934.50	2114.25	USD	4,493.75	
01AUG17	S	4	PD26OCT17	1905.07	2114.25	USD	-20,918.00	
	NET	0 AVG BGHT 1944.50	SOLD 1928.23				-4,068.00	0.00
27JUL17	S	1	PD27OCT17	1939.50	2114.08	USD	-4,364.50	
27JUL17	S	1	PD27OCT17	1941.00	2114.08	USD	-4,327.00	
27JUL17	S	1	PD27OCT17	1944.00	2114.08	USD	-4,252.00	
27JUL17	B	1	PD27OCT17	1945.00	2114.08	USD	4,227.00	
27JUL17	B	1	PD27OCT17	1944.50	2114.08	USD	4,239.50	
27JUL17	B	1	PD27OCT17	1942.00	2114.08	USD	4,302.00	
27JUL17	B	2	PD27OCT17	1941.50	2114.08	USD	8,629.00	
27JUL17	B	3	PD27OCT17	1944.00	2114.08	USD	12,756.00	
27JUL17	S	1	PD27OCT17	1952.50	2114.08	USD	-4,039.50	
27JUL17	S	1	PD27OCT17	1956.00	2114.08	USD	-3,952.00	
27JUL17	S	1	PD27OCT17	1955.00	2114.08	USD	-3,977.00	
27JUL17	S	1	PD27OCT17	1950.50	2114.08	USD	-4,089.50	
27JUL17	S	2	PD27OCT17	1951.00	2114.08	USD	-8,154.00	

OPEN POSITION STATEMENT



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SAP0112D

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backoffice@sapiencap.com

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	<u>LME ALUMINIUM 25 TONNES. THIS IS AN</u>							
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27JUL17	S	1	PD27OCT17	1949.00	2114.08	USD	-4,127.00	
27JUL17	S	1	PD27OCT17	1948.50	2114.08	USD	-4,139.50	
27JUL17	B	1	PD27OCT17	1946.00	2114.08	USD	4,202.00	
27JUL17	B	1	PD27OCT17	1938.50	2114.08	USD	4,389.50	
28JUL17	B	1	PD27OCT17	1926.50	2114.08	USD	4,689.50	
28JUL17	B	1	PD27OCT17	1924.00	2114.08	USD	4,752.00	
28JUL17	S	1	PD27OCT17	1933.00	2114.08	USD	-4,527.00	
28JUL17	B	3	PD27OCT17	1925.00	2114.08	USD	14,181.00	
28JUL17	B	1	PD27OCT17	1924.50	2114.08	USD	4,739.50	
28JUL17	S	1	PD27OCT17	1931.00	2114.08	USD	-4,577.00	
28JUL17	B	1	PD27OCT17	1919.50	2114.08	USD	4,864.50	
28JUL17	B	1	PD27OCT17	1918.50	2114.08	USD	4,889.50	
28JUL17	B	1	PD27OCT17	1917.50	2114.08	USD	4,914.50	
28JUL17	B	2	PD27OCT17	1917.00	2114.08	USD	9,854.00	
28JUL17	B	1	PD27OCT17	1916.00	2114.08	USD	4,952.00	
28JUL17	B	2	PD27OCT17	1914.00	2114.08	USD	10,004.00	
28JUL17	B	1	PD27OCT17	1914.50	2114.08	USD	4,989.50	
28JUL17	S	1	PD27OCT17	1919.00	2114.08	USD	-4,877.00	
28JUL17	S	1	PD27OCT17	1931.00	2114.08	USD	-4,577.00	
28JUL17	B	1	PD27OCT17	1926.50	2114.08	USD	4,689.50	
28JUL17	B	3	PD27OCT17	1910.50	2114.08	USD	15,268.50	
28JUL17	S	1	PD27OCT17	1913.50	2114.08	USD	-5,014.50	
28JUL17	S	1	PD27OCT17	1914.50	2114.08	USD	-4,989.50	
28JUL17	S	1	PD27OCT17	1915.50	2114.08	USD	-4,964.50	
28JUL17	S	1	PD27OCT17	1917.00	2114.08	USD	-4,927.00	
28JUL17	S	1	PD27OCT17	1925.00	2114.08	USD	-4,727.00	
28JUL17	B	1	PD27OCT17	1916.50	2114.08	USD	4,939.50	
28JUL17	B	15	PD27OCT17	1910.00	2114.08	USD	76,530.00	
28JUL17	S	1	PD27OCT17	1913.00	2114.08	USD	-5,027.00	
28JUL17	S	5	PD27OCT17	1910.50	2114.08	USD	-25,447.50	
28JUL17	B	1	PD27OCT17	1910.00	2114.08	USD	5,102.00	
28JUL17	S	3	PD27OCT17	1910.00	2114.08	USD	-15,306.00	
01AUG17	S	1	PD27OCT17	1905.36	2114.08	USD	-5,218.00	
	NET	+16 AVG BGHT 1920.95	SOLD 1927.95				82,503.50	0.00
31JUL17	B	1	PD31OCT17	1914.00	2113.75	USD	4,993.75	
31JUL17	S	1	PD31OCT17	1921.00	2113.75	USD	-4,818.75	
31JUL17	S	1	PD31OCT17	1926.00	2113.75	USD	-4,693.75	
31JUL17	B	2	PD31OCT17	1916.00	2113.75	USD	9,887.50	
31JUL17	S	2	PD31OCT17	1922.00	2113.75	USD	-9,587.50	
31JUL17	S	3	PD31OCT17	1923.50	2113.75	USD	-14,268.75	
31JUL17	B	2	PD31OCT17	1916.50	2113.75	USD	9,862.50	
31JUL17	B	1	PD31OCT17	1913.00	2113.75	USD	5,018.75	
31JUL17	B	2	PD31OCT17	1912.50	2113.75	USD	10,062.50	
31JUL17	S	1	PD31OCT17	1920.00	2113.75	USD	-4,843.75	
	NET	0 AVG BGHT 1914.63	SOLD 1922.69				1,612.50	0.00
01AUG17	B	1	PD01NOV17	1915.00	2114.09	USD	4,977.25	
01AUG17	B	1	PD01NOV17	1916.50	2114.09	USD	4,939.75	
01AUG17	B	1	PD01NOV17	1917.00	2114.09	USD	4,927.25	
01AUG17	B	1	PD01NOV17	1913.00	2114.09	USD	5,027.25	
01AUG17	B	1	PD01NOV17	1911.00	2114.09	USD	5,077.25	
01AUG17	B	2	PD01NOV17	1910.50	2114.09	USD	10,179.50	
01AUG17	B	1	PD01NOV17	1909.00	2114.09	USD	5,127.25	
01AUG17	B	1	PD01NOV17	1906.00	2114.09	USD	5,202.25	
01AUG17	B	2	PD01NOV17	1905.50	2114.09	USD	10,429.50	
01AUG17	B	1	PD01NOV17	1908.00	2114.09	USD	5,152.25	
01AUG17	B	1	PD01NOV17	1908.50	2114.09	USD	5,139.75	
01AUG17	B	1	PD01NOV17	1907.50	2114.09	USD	5,164.75	

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03AUG17	S	8	PD01NOV17	1917.00	2114.09	USD	-39,418.00	
07AUG17	S	5	PD01NOV17	1962.58	2114.09	USD	-18,938.75	
10AUG17	S	1	PD01NOV17	2035.47	2114.09	USD	-1,965.50	
	NET	0 AVG	BGHT 1910.25	SOLD 1941.74			11,021.75	0.00
02AUG17	S	2	PD02NOV17	1915.50	2114.44	USD	-9,947.00	
02AUG17	S	1	PD02NOV17	1914.50	2114.44	USD	-4,998.50	
02AUG17	S	4	PD02NOV17	1915.00	2114.44	USD	-19,944.00	
02AUG17	S	1	PD02NOV17	1921.00	2114.44	USD	-4,836.00	
02AUG17	S	1	PD02NOV17	1921.50	2114.44	USD	-4,823.50	
02AUG17	S	1	PD02NOV17	1931.00	2114.44	USD	-4,586.00	
02AUG17	S	1	PD02NOV17	1933.00	2114.44	USD	-4,536.00	
02AUG17	S	1	PD02NOV17	1936.00	2114.44	USD	-4,461.00	
02AUG17	B	1	PD02NOV17	1926.50	2114.44	USD	4,698.50	
02AUG17	B	1	PD02NOV17	1921.00	2114.44	USD	4,836.00	
02AUG17	S	1	PD02NOV17	1923.00	2114.44	USD	-4,786.00	
02AUG17	S	1	PD02NOV17	1925.50	2114.44	USD	-4,723.50	
02AUG17	S	1	PD02NOV17	1926.50	2114.44	USD	-4,698.50	
02AUG17	S	1	PD02NOV17	1930.50	2114.44	USD	-4,598.50	
02AUG17	B	2	PD02NOV17	1930.00	2114.44	USD	9,222.00	
02AUG17	B	2	PD02NOV17	1929.00	2114.44	USD	9,272.00	
02AUG17	B	1	PD02NOV17	1927.00	2114.44	USD	4,686.00	
02AUG17	B	3	PD02NOV17	1925.50	2114.44	USD	14,170.50	
02AUG17	B	1	PD02NOV17	1925.00	2114.44	USD	4,736.00	
02AUG17	B	1	PD02NOV17	1923.50	2114.44	USD	4,773.50	
02AUG17	B	1	PD02NOV17	1924.50	2114.44	USD	4,748.50	
02AUG17	B	2	PD02NOV17	1922.00	2114.44	USD	9,622.00	
02AUG17	B	1	PD02NOV17	1920.50	2114.44	USD	4,848.50	
02AUG17	S	2	PD02NOV17	1918.50	2114.44	USD	-9,797.00	
02AUG17	S	1	PD02NOV17	1917.50	2114.44	USD	-4,923.50	
02AUG17	S	1	PD02NOV17	1929.00	2114.44	USD	-4,636.00	
02AUG17	S	1	PD02NOV17	1930.00	2114.44	USD	-4,611.00	
02AUG17	B	1	PD02NOV17	1922.50	2114.44	USD	4,798.50	
02AUG17	S	1	PD02NOV17	1927.50	2114.44	USD	-4,673.50	
02AUG17	S	1	PD02NOV17	1927.00	2114.44	USD	-4,686.00	
02AUG17	S	2	PD02NOV17	1926.00	2114.44	USD	-9,422.00	
03AUG17	B	8	PD02NOV17	1917.79	2114.44	USD	39,330.00	
	NET	0 AVG	BGHT 1922.85	SOLD 1922.94			54.50	0.00
03AUG17	B	1	PD03NOV17	1926.50	2114.78	USD	4,707.00	
03AUG17	B	3	PD03NOV17	1925.50	2114.78	USD	14,196.00	
03AUG17	B	1	PD03NOV17	1923.50	2114.78	USD	4,782.00	
03AUG17	B	1	PD03NOV17	1919.50	2114.78	USD	4,882.00	
03AUG17	S	1	PD03NOV17	1923.50	2114.78	USD	-4,782.00	
03AUG17	S	2	PD03NOV17	1922.00	2114.78	USD	-9,639.00	
03AUG17	B	2	PD03NOV17	1919.00	2114.78	USD	9,789.00	
03AUG17	B	2	PD03NOV17	1917.50	2114.78	USD	9,864.00	
03AUG17	B	3	PD03NOV17	1917.00	2114.78	USD	14,833.50	
03AUG17	S	1	PD03NOV17	1919.00	2114.78	USD	-4,894.50	
03AUG17	B	1	PD03NOV17	1913.50	2114.78	USD	5,032.00	
03AUG17	B	1	PD03NOV17	1910.00	2114.78	USD	5,119.50	
03AUG17	B	3	PD03NOV17	1912.50	2114.78	USD	15,171.00	
03AUG17	S	2	PD03NOV17	1920.50	2114.78	USD	-9,714.00	
03AUG17	B	2	PD03NOV17	1911.50	2114.78	USD	10,164.00	
03AUG17	B	2	PD03NOV17	1913.00	2114.78	USD	10,089.00	
04AUG17	B	1	PD03NOV17	1914.50	2114.78	USD	5,007.00	
04AUG17	B	1	PD03NOV17	1912.50	2114.78	USD	5,057.00	
04AUG17	B	1	PD03NOV17	1909.00	2114.78	USD	5,144.50	
04AUG17	B	1	PD03NOV17	1905.50	2114.78	USD	5,232.00	

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04AUG17	S	2	PD03NOV17	1914.50	2114.78	USD	-10,014.00	
04AUG17	S	2	PD03NOV17	1915.00	2114.78	USD	-9,989.00	
04AUG17	S	1	PD03NOV17	1915.50	2114.78	USD	-4,982.00	
04AUG17	S	4	PD03NOV17	1911.50	2114.78	USD	-20,328.00	
04AUG17	B	1	PD03NOV17	1909.50	2114.78	USD	5,132.00	
04AUG17	B	1	PD03NOV17	1910.00	2114.78	USD	5,119.50	
04AUG17	S	3	PD03NOV17	1912.00	2114.78	USD	-15,208.50	
04AUG17	S	5	PD03NOV17	1912.50	2114.78	USD	-25,285.00	
04AUG17	B	2	PD03NOV17	1904.50	2114.78	USD	10,514.00	
04AUG17	B	3	PD03NOV17	1905.00	2114.78	USD	15,733.50	
04AUG17	S	4	PD03NOV17	1910.00	2114.78	USD	-20,478.00	
04AUG17	S	3	PD03NOV17	1911.00	2114.78	USD	-15,283.50	
04AUG17	S	1	PD03NOV17	1905.50	2114.78	USD	-5,232.00	
04AUG17	S	2	PD03NOV17	1907.00	2114.78	USD	-10,389.00	
04AUG17	S	2	PD03NOV17	1908.50	2114.78	USD	-10,314.00	
07AUG17	B	5	PD03NOV17	1963.25	2114.78	USD	18,941.25	
10AUG17	S	3	PD03NOV17	2035.81	2114.78	USD	-5,922.75	
	NET	0 AVG BGHT 1920.56	SOLD 1922.72				2,054.50	0.00
07AUG17	S	2	PD07NOV17	1923.00	2116.16	USD	-9,658.00	
07AUG17	S	3	PD07NOV17	1923.50	2116.16	USD	-14,449.50	
07AUG17	S	3	PD07NOV17	1927.00	2116.16	USD	-14,187.00	
07AUG17	B	1	PD07NOV17	1925.00	2116.16	USD	4,779.00	
07AUG17	B	1	PD07NOV17	1923.50	2116.16	USD	4,816.50	
07AUG17	B	1	PD07NOV17	1921.00	2116.16	USD	4,879.00	
07AUG17	B	2	PD07NOV17	1921.50	2116.16	USD	9,733.00	
07AUG17	S	2	PD07NOV17	1927.50	2116.16	USD	-9,433.00	
07AUG17	S	2	PD07NOV17	1928.50	2116.16	USD	-9,383.00	
07AUG17	S	3	PD07NOV17	1929.50	2116.16	USD	-13,999.50	
07AUG17	S	5	PD07NOV17	1929.00	2116.16	USD	-23,395.00	
07AUG17	S	3	PD07NOV17	1930.50	2116.16	USD	-13,924.50	
07AUG17	S	1	PD07NOV17	1929.50	2116.16	USD	-4,666.50	
07AUG17	S	1	PD07NOV17	1930.50	2116.16	USD	-4,641.50	
07AUG17	S	1	PD07NOV17	1939.50	2116.16	USD	-4,416.50	
07AUG17	B	1	PD07NOV17	1952.50	2116.16	USD	4,091.50	
07AUG17	B	1	PD07NOV17	1950.00	2116.16	USD	4,154.00	
07AUG17	S	1	PD07NOV17	1956.50	2116.16	USD	-3,991.50	
07AUG17	S	1	PD07NOV17	1957.00	2116.16	USD	-3,979.00	
07AUG17	S	1	PD07NOV17	1960.50	2116.16	USD	-3,891.50	
07AUG17	S	1	PD07NOV17	1961.00	2116.16	USD	-3,879.00	
07AUG17	B	1	PD07NOV17	1955.00	2116.16	USD	4,029.00	
07AUG17	S	1	PD07NOV17	1964.50	2116.16	USD	-3,791.50	
07AUG17	B	1	PD07NOV17	1975.00	2116.16	USD	3,529.00	
07AUG17	B	1	PD07NOV17	1974.00	2116.16	USD	3,554.00	
10AUG17	B	4	PD07NOV17	2036.69	2116.16	USD	7,947.00	
10AUG17	B	17	PD07NOV17	2036.49	2116.16	USD	33,859.75	
	NET	0 AVG BGHT 2006.00	SOLD 1933.34				-56,314.75	0.00
08AUG17	B	1	PD08NOV17	1975.00	2116.50	USD	3,537.50	
08AUG17	B	1	PD08NOV17	1978.00	2116.50	USD	3,462.50	
08AUG17	B	1	PD08NOV17	1981.00	2116.50	USD	3,387.50	
08AUG17	B	1	PD08NOV17	1976.00	2116.50	USD	3,512.50	
08AUG17	B	1	PD08NOV17	1974.00	2116.50	USD	3,562.50	
08AUG17	B	1	PD08NOV17	1974.50	2116.50	USD	3,550.00	
08AUG17	S	1	PD08NOV17	1987.00	2116.50	USD	-3,237.50	
08AUG17	S	1	PD08NOV17	2006.00	2116.50	USD	-2,762.50	
08AUG17	B	1	PD08NOV17	2000.00	2116.50	USD	2,912.50	
08AUG17	S	1	PD08NOV17	2006.00	2116.50	USD	-2,762.50	
08AUG17	B	1	PD08NOV17	1990.50	2116.50	USD	3,150.00	

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08AUG17	B	1	PD08NOV17	1995.00	2116.50	USD	3,037.50	
08AUG17	B	1	PD08NOV17	1991.50	2116.50	USD	3,125.00	
08AUG17	B	2	PD08NOV17	1994.50	2116.50	USD	6,100.00	
08AUG17	B	1	PD08NOV17	2008.50	2116.50	USD	2,700.00	
08AUG17	B	1	PD08NOV17	2007.50	2116.50	USD	2,725.00	
08AUG17	B	1	PD08NOV17	2001.00	2116.50	USD	2,887.50	
08AUG17	B	2	PD08NOV17	2002.00	2116.50	USD	5,725.00	
08AUG17	B	1	PD08NOV17	2004.00	2116.50	USD	2,812.50	
08AUG17	B	3	PD08NOV17	2003.50	2116.50	USD	8,475.00	
08AUG17	B	1	PD08NOV17	2012.00	2116.50	USD	2,612.50	
08AUG17	B	1	PD08NOV17	2013.50	2116.50	USD	2,575.00	
10AUG17	S	17	PD08NOV17	2036.46	2116.50	USD	-34,017.00	
10AUG17	S	1	PD08NOV17	2036.66	2116.50	USD	-1,996.00	
14AUG17	S	2	PD08NOV17	2021.90	2116.50	USD	-4,730.00	
	NET	0AVG	BGHT 1995.02	SOLD 2030.40			20,344.50	0.00
09AUG17	S	3	PD09NOV17	2032.50	2116.96	USD	-6,334.50	
09AUG17	S	1	PD09NOV17	2033.50	2116.96	USD	-2,086.50	
09AUG17	B	1	PD09NOV17	2031.00	2116.96	USD	2,149.00	
09AUG17	S	1	PD09NOV17	2042.00	2116.96	USD	-1,874.00	
09AUG17	S	2	PD09NOV17	2038.50	2116.96	USD	-3,923.00	
09AUG17	B	1	PD09NOV17	2036.00	2116.96	USD	2,024.00	
09AUG17	B	3	PD09NOV17	2030.00	2116.96	USD	6,522.00	
09AUG17	B	1	PD09NOV17	2029.00	2116.96	USD	2,199.00	
09AUG17	S	7	PD09NOV17	2025.50	2116.96	USD	-16,005.50	
09AUG17	B	1	PD09NOV17	2034.50	2116.96	USD	2,061.50	
09AUG17	B	1	PD09NOV17	2031.00	2116.96	USD	2,149.00	
09AUG17	B	1	PD09NOV17	2022.50	2116.96	USD	2,361.50	
09AUG17	S	1	PD09NOV17	2029.50	2116.96	USD	-2,186.50	
09AUG17	S	3	PD09NOV17	2030.00	2116.96	USD	-6,522.00	
09AUG17	B	1	PD09NOV17	2035.50	2116.96	USD	2,036.50	
09AUG17	S	1	PD09NOV17	2035.50	2116.96	USD	-2,036.50	
09AUG17	S	1	PD09NOV17	2039.50	2116.96	USD	-1,936.50	
09AUG17	B	5	PD09NOV17	2034.00	2116.96	USD	10,370.00	
09AUG17	B	1	PD09NOV17	2032.50	2116.96	USD	2,111.50	
09AUG17	B	1	PD09NOV17	2014.00	2116.96	USD	2,574.00	
09AUG17	S	1	PD09NOV17	2031.50	2116.96	USD	-2,136.50	
09AUG17	S	1	PD09NOV17	2033.00	2116.96	USD	-2,099.00	
09AUG17	S	1	PD09NOV17	2027.50	2116.96	USD	-2,236.50	
09AUG17	B	1	PD09NOV17	2020.00	2116.96	USD	2,424.00	
09AUG17	B	1	PD09NOV17	2021.00	2116.96	USD	2,399.00	
09AUG17	B	2	PD09NOV17	2015.00	2116.96	USD	5,098.00	
09AUG17	S	1	PD09NOV17	2021.50	2116.96	USD	-2,386.50	
09AUG17	B	1	PD09NOV17	2021.50	2116.96	USD	2,386.50	
09AUG17	B	1	PD09NOV17	2015.50	2116.96	USD	2,536.50	
10AUG17	B	1	PD09NOV17	2037.03	2116.96	USD	1,998.25	
	NET	0AVG	BGHT 2027.96	SOLD 2030.69			1,636.75	0.00
10AUG17	B	2	PD10NOV17	2024.00	2117.43	USD	4,671.50	
10AUG17	B	1	PD10NOV17	2021.50	2117.43	USD	2,398.25	
10AUG17	B	1	PD10NOV17	2021.00	2117.43	USD	2,410.75	
10AUG17	S	1	PD10NOV17	2025.00	2117.43	USD	-2,310.75	
10AUG17	S	1	PD10NOV17	2026.50	2117.43	USD	-2,273.25	
10AUG17	S	3	PD10NOV17	2030.00	2117.43	USD	-6,557.25	
10AUG17	S	1	PD10NOV17	2028.50	2117.43	USD	-2,223.25	
10AUG17	S	2	PD10NOV17	2029.00	2117.43	USD	-4,421.50	
10AUG17	S	2	PD10NOV17	2029.50	2117.43	USD	-4,396.50	
10AUG17	S	1	PD10NOV17	2033.50	2117.43	USD	-2,098.25	
10AUG17	S	1	PD10NOV17	2031.00	2117.43	USD	-2,160.75	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0112D

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ALUMINIUM 25 TONNES. THIS IS AN</u>							
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10AUG17	S	1	PD10NOV17	2032.00	2117.43	USD	-2,135.75	
10AUG17	S	1	PD10NOV17	2032.50	2117.43	USD	-2,123.25	
10AUG17	S	2	PD10NOV17	2034.50	2117.43	USD	-4,146.50	
10AUG17	S	1	PD10NOV17	2037.00	2117.43	USD	-2,010.75	
10AUG17	S	2	PD10NOV17	2039.50	2117.43	USD	-3,896.50	
10AUG17	S	3	PD10NOV17	2040.00	2117.43	USD	-5,807.25	
10AUG17	B	1	PD10NOV17	2033.00	2117.43	USD	2,110.75	
10AUG17	B	1	PD10NOV17	2030.50	2117.43	USD	2,173.25	
10AUG17	S	1	PD10NOV17	2031.50	2117.43	USD	-2,148.25	
10AUG17	B	1	PD10NOV17	2028.00	2117.43	USD	2,235.75	
10AUG17	B	3	PD10NOV17	2026.00	2117.43	USD	6,857.25	
10AUG17	B	1	PD10NOV17	2028.50	2117.43	USD	2,223.25	
10AUG17	B	1	PD10NOV17	2027.00	2117.43	USD	2,260.75	
10AUG17	B	2	PD10NOV17	2025.00	2117.43	USD	4,621.50	
10AUG17	B	1	PD10NOV17	2024.50	2117.43	USD	2,323.25	
10AUG17	B	1	PD10NOV17	2037.50	2117.43	USD	1,998.25	
10AUG17	B	2	PD10NOV17	2036.00	2117.43	USD	4,071.50	
10AUG17	B	1	PD10NOV17	2034.00	2117.43	USD	2,085.75	
10AUG17	B	1	PD10NOV17	2038.00	2117.43	USD	1,985.75	
10AUG17	S	2	PD10NOV17	2038.50	2117.43	USD	-3,946.50	
10AUG17	B	1	PD10NOV17	2033.50	2117.43	USD	2,098.25	
10AUG17	S	2	PD10NOV17	2039.00	2117.43	USD	-3,921.50	
10AUG17	S	1	PD10NOV17	2039.50	2117.43	USD	-1,948.25	
10AUG17	S	1	PD10NOV17	2038.50	2117.43	USD	-1,973.25	
11AUG17	B	1	PD10NOV17	2023.50	2117.43	USD	2,348.25	
11AUG17	B	2	PD10NOV17	2022.00	2117.43	USD	4,771.50	
11AUG17	B	1	PD10NOV17	2021.00	2117.43	USD	2,410.75	
11AUG17	B	1	PD10NOV17	2020.50	2117.43	USD	2,423.25	
11AUG17	B	1	PD10NOV17	2020.00	2117.43	USD	2,435.75	
11AUG17	S	1	PD10NOV17	2019.50	2117.43	USD	-2,448.25	
11AUG17	S	1	PD10NOV17	2022.50	2117.43	USD	-2,373.25	
11AUG17	S	1	PD10NOV17	2031.50	2117.43	USD	-2,148.25	
11AUG17	S	1	PD10NOV17	2032.50	2117.43	USD	-2,123.25	
11AUG17	S	2	PD10NOV17	2031.00	2117.43	USD	-4,321.50	
11AUG17	S	2	PD10NOV17	2034.50	2117.43	USD	-4,146.50	
11AUG17	S	1	PD10NOV17	2037.50	2117.43	USD	-1,998.25	
11AUG17	S	1	PD10NOV17	2038.00	2117.43	USD	-1,985.75	
11AUG17	S	1	PD10NOV17	2040.50	2117.43	USD	-1,923.25	
11AUG17	S	1	PD10NOV17	2041.50	2117.43	USD	-1,898.25	
11AUG17	S	1	PD10NOV17	2042.00	2117.43	USD	-1,885.75	
11AUG17	B	1	PD10NOV17	2034.50	2117.43	USD	2,073.25	
11AUG17	S	1	PD10NOV17	2025.00	2117.43	USD	-2,310.75	
11AUG17	B	1	PD10NOV17	2037.50	2117.43	USD	1,998.25	
11AUG17	B	2	PD10NOV17	2036.00	2117.43	USD	4,071.50	
11AUG17	B	1	PD10NOV17	2037.00	2117.43	USD	2,010.75	
11AUG17	S	1	PD10NOV17	2045.00	2117.43	USD	-1,810.75	
11AUG17	B	1	PD10NOV17	2041.50	2117.43	USD	1,898.25	
11AUG17	B	3	PD10NOV17	2040.50	2117.43	USD	5,769.75	
11AUG17	B	1	PD10NOV17	2046.50	2117.43	USD	1,773.25	
11AUG17	B	3	PD10NOV17	2045.50	2117.43	USD	5,394.75	
11AUG17	B	1	PD10NOV17	2045.00	2117.43	USD	1,810.75	
11AUG17	B	1	PD10NOV17	2044.50	2117.43	USD	1,823.25	
11AUG17	B	2	PD10NOV17	2044.00	2117.43	USD	3,671.50	
11AUG17	S	2	PD10NOV17	2043.50	2117.43	USD	-3,696.50	
14AUG17	B	2	PD10NOV17	2022.47	2117.43	USD	4,748.00	
	NET	0 AVG BGHT 2032.25	SOLD 2034.33				2,389.00	0.00
14AUG17	S	2	PD14NOV17	2042.00	2119.29	USD	-3,864.50	

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SAP0112D

13th October 2017

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ALUMINIUM 25 TONNES. THIS IS AN</u>							
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14AUG17	B	2	PD14NOV17	2034.50	2119.29	USD	4,239.50	
14AUG17	B	2	PD14NOV17	2037.00	2119.29	USD	4,114.50	
14AUG17	B	1	PD14NOV17	2029.00	2119.29	USD	2,257.25	
14AUG17	B	3	PD14NOV17	2028.50	2119.29	USD	6,809.25	
14AUG17	B	2	PD14NOV17	2027.50	2119.29	USD	4,589.50	
14AUG17	S	2	PD14NOV17	2033.00	2119.29	USD	-4,314.50	
14AUG17	S	1	PD14NOV17	2035.00	2119.29	USD	-2,107.25	
14AUG17	S	2	PD14NOV17	2036.00	2119.29	USD	-4,164.50	
14AUG17	S	3	PD14NOV17	2037.00	2119.29	USD	-6,171.75	
14AUG17	B	1	PD14NOV17	2032.00	2119.29	USD	2,182.25	
14AUG17	S	6	PD14NOV17	2034.00	2119.29	USD	-12,793.50	
14AUG17	S	4	PD14NOV17	2034.50	2119.29	USD	-8,479.00	
14AUG17	S	1	PD14NOV17	2036.50	2119.29	USD	-2,069.75	
14AUG17	B	2	PD14NOV17	2035.50	2119.29	USD	4,189.50	
14AUG17	S	1	PD14NOV17	2041.50	2119.29	USD	-1,944.75	
14AUG17	B	4	PD14NOV17	2031.50	2119.29	USD	8,779.00	
14AUG17	B	2	PD14NOV17	2030.00	2119.29	USD	4,464.50	
14AUG17	B	3	PD14NOV17	2030.50	2119.29	USD	6,659.25	
14AUG17	B	2	PD14NOV17	2029.50	2119.29	USD	4,489.50	
14AUG17	B	1	PD14NOV17	2024.50	2119.29	USD	2,369.75	
14AUG17	B	1	PD14NOV17	2025.00	2119.29	USD	2,357.25	
14AUG17	B	1	PD14NOV17	2023.50	2119.29	USD	2,394.75	
14AUG17	S	4	PD14NOV17	2025.00	2119.29	USD	-9,429.00	
14AUG17	S	2	PD14NOV17	2025.50	2119.29	USD	-4,689.50	
14AUG17	B	2	PD14NOV17	2027.00	2119.29	USD	4,614.50	
14AUG17	S	1	PD14NOV17	2025.50	2119.29	USD	-2,344.75	
	NET	0AVG BGHT 2030.31	SOLD 2033.26				2,137.50	0.00
21AUG17	S	1	PD21NOV17	2097.00	2121.65	USD	-616.25	
21AUG17	S	2	PD21NOV17	2091.50	2121.65	USD	-1,507.50	
21AUG17	S	1	PD21NOV17	2098.00	2121.65	USD	-591.25	
21AUG17	B	1	PD21NOV17	2087.50	2121.65	USD	853.75	
21AUG17	S	1	PD21NOV17	2083.00	2121.65	USD	-966.25	
21AUG17	S	1	PD21NOV17	2078.00	2121.65	USD	-1,091.25	
21AUG17	S	2	PD21NOV17	2076.50	2121.65	USD	-2,257.50	
21AUG17	S	2	PD21NOV17	2080.50	2121.65	USD	-2,057.50	
21AUG17	S	1	PD21NOV17	2075.50	2121.65	USD	-1,153.75	
21AUG17	S	3	PD21NOV17	2077.00	2121.65	USD	-3,348.75	
21AUG17	S	1	PD21NOV17	2079.00	2121.65	USD	-1,066.25	
21AUG17	S	1	PD21NOV17	2084.50	2121.65	USD	-928.75	
29AUG17	B	15	PD21NOV17	2093.50	2121.65	USD	10,556.25	
	NET	0AVG BGHT 2093.13	SOLD 2082.69				-4,175.00	0.00
22AUG17	B	2	PD22NOV17	2073.00	2121.96	USD	2,448.00	
22AUG17	B	1	PD22NOV17	2072.00	2121.96	USD	1,249.00	
22AUG17	S	1	PD22NOV17	2075.50	2121.96	USD	-1,161.50	
22AUG17	S	2	PD22NOV17	2074.00	2121.96	USD	-2,398.00	
22AUG17	S	2	PD22NOV17	2085.00	2121.96	USD	-1,848.00	
22AUG17	S	1	PD22NOV17	2078.50	2121.96	USD	-1,086.50	
22AUG17	B	2	PD22NOV17	2075.00	2121.96	USD	2,348.00	
22AUG17	B	1	PD22NOV17	2074.50	2121.96	USD	1,186.50	
22AUG17	B	1	PD22NOV17	2083.50	2121.96	USD	961.50	
22AUG17	B	4	PD22NOV17	2081.00	2121.96	USD	4,096.00	
22AUG17	B	1	PD22NOV17	2080.50	2121.96	USD	1,036.50	
22AUG17	B	1	PD22NOV17	2079.50	2121.96	USD	1,061.50	
22AUG17	B	1	PD22NOV17	2082.00	2121.96	USD	999.00	
22AUG17	B	1	PD22NOV17	2077.50	2121.96	USD	1,111.50	
22AUG17	B	2	PD22NOV17	2078.00	2121.96	USD	2,198.00	
22AUG17	B	1	PD22NOV17	2073.00	2121.96	USD	1,224.00	

OPEN POSITION STATEMENT



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SAP0112D

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Sapien Capital Limited
Suite A, 105 Piccadilly
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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ALUMINIUM 25 TONNES. THIS IS AN</u>							
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22AUG17	B	1	PD22NOV17	2080.50	2121.96	USD	1,036.50	
22AUG17	S	1	PD22NOV17	2076.50	2121.96	USD	-1,136.50	
22AUG17	B	6	PD22NOV17	2076.50	2121.96	USD	6,819.00	
22AUG17	S	3	PD22NOV17	2078.00	2121.96	USD	-3,297.00	
22AUG17	S	1	PD22NOV17	2079.00	2121.96	USD	-1,074.00	
22AUG17	S	1	PD22NOV17	2079.50	2121.96	USD	-1,061.50	
22AUG17	B	1	PD22NOV17	2075.50	2121.96	USD	1,161.50	
22AUG17	S	1	PD22NOV17	2076.00	2121.96	USD	-1,149.00	
29AUG17	S	13	PD22NOV17	2093.49	2121.96	USD	-9,252.75	
	NET	0AVG	BGHT 2077.44	SOLD 2085.86			5,471.75	0.00
23AUG17	B	1	PD24NOV17	2073.00	2122.60	USD	1,240.00	
23AUG17	S	1	PD24NOV17	2076.50	2122.60	USD	-1,152.50	
23AUG17	B	2	PD24NOV17	2062.00	2122.60	USD	3,030.00	
23AUG17	S	1	PD24NOV17	2060.00	2122.60	USD	-1,565.00	
23AUG17	S	1	PD24NOV17	2061.00	2122.60	USD	-1,540.00	
23AUG17	S	1	PD24NOV17	2064.00	2122.60	USD	-1,465.00	
23AUG17	S	1	PD24NOV17	2074.50	2122.60	USD	-1,202.50	
23AUG17	S	1	PD24NOV17	2075.00	2122.60	USD	-1,190.00	
23AUG17	S	1	PD24NOV17	2084.00	2122.60	USD	-965.00	
23AUG17	S	1	PD24NOV17	2078.50	2122.60	USD	-1,102.50	
23AUG17	S	1	PD24NOV17	2084.50	2122.60	USD	-952.50	
23AUG17	S	1	PD24NOV17	2087.50	2122.60	USD	-877.50	
23AUG17	S	3	PD24NOV17	2092.00	2122.60	USD	-2,295.00	
23AUG17	S	1	PD24NOV17	2089.50	2122.60	USD	-827.50	
23AUG17	S	2	PD24NOV17	2091.50	2122.60	USD	-1,555.00	
23AUG17	S	1	PD24NOV17	2098.50	2122.60	USD	-602.50	
23AUG17	S	1	PD24NOV17	2101.00	2122.60	USD	-540.00	
23AUG17	S	1	PD24NOV17	2101.50	2122.60	USD	-527.50	
23AUG17	B	1	PD24NOV17	2101.50	2122.60	USD	527.50	
23AUG17	B	1	PD24NOV17	2101.00	2122.60	USD	540.00	
23AUG17	S	1	PD24NOV17	2102.00	2122.60	USD	-515.00	
23AUG17	B	1	PD24NOV17	2102.00	2122.60	USD	515.00	
23AUG17	S	1	PD24NOV17	2114.00	2122.60	USD	-215.00	
23AUG17	B	2	PD24NOV17	2120.00	2122.60	USD	130.00	
23AUG17	B	3	PD24NOV17	2118.00	2122.60	USD	345.00	
23AUG17	B	1	PD24NOV17	2114.50	2122.60	USD	202.50	
23AUG17	B	1	PD24NOV17	2113.50	2122.60	USD	227.50	
24AUG17	S	1	PD24NOV17	2099.50	2122.60	USD	-577.50	
24AUG17	B	1	PD24NOV17	2099.50	2122.60	USD	577.50	
24AUG17	B	1	PD24NOV17	2097.50	2122.60	USD	627.50	
24AUG17	B	2	PD24NOV17	2093.00	2122.60	USD	1,480.00	
24AUG17	S	1	PD24NOV17	2096.50	2122.60	USD	-652.50	
24AUG17	S	4	PD24NOV17	2097.00	2122.60	USD	-2,560.00	
24AUG17	B	1	PD24NOV17	2099.00	2122.60	USD	590.00	
24AUG17	B	2	PD24NOV17	2092.00	2122.60	USD	1,530.00	
24AUG17	B	1	PD24NOV17	2091.00	2122.60	USD	790.00	
24AUG17	B	3	PD24NOV17	2091.50	2122.60	USD	2,332.50	
24AUG17	S	6	PD24NOV17	2099.00	2122.60	USD	-3,540.00	
24AUG17	S	3	PD24NOV17	2100.50	2122.60	USD	-1,657.50	
24AUG17	S	1	PD24NOV17	2102.50	2122.60	USD	-502.50	
24AUG17	S	1	PD24NOV17	2101.50	2122.60	USD	-527.50	
24AUG17	S	1	PD24NOV17	2103.00	2122.60	USD	-490.00	
24AUG17	S	3	PD24NOV17	2102.00	2122.60	USD	-1,545.00	
24AUG17	S	2	PD24NOV17	2103.50	2122.60	USD	-955.00	
24AUG17	S	1	PD24NOV17	2105.50	2122.60	USD	-427.50	
24AUG17	S	2	PD24NOV17	2112.00	2122.60	USD	-530.00	
24AUG17	S	1	PD24NOV17	2119.50	2122.60	USD	-77.50	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0112D

13th October 2017

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Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

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	<u>LME ALUMINIUM 25 TONNES. THIS IS AN</u>							
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24AUG17	B	1	PD24NOV17	2120.00	2122.60	USD	65.00	
24AUG17	S	2	PD24NOV17	2121.50	2122.60	USD	-55.00	
24AUG17	S	1	PD24NOV17	2118.50	2122.60	USD	-102.50	
24AUG17	S	1	PD24NOV17	2119.00	2122.60	USD	-90.00	
24AUG17	S	2	PD24NOV17	2120.00	2122.60	USD	-130.00	
24AUG17	B	1	PD24NOV17	2111.00	2122.60	USD	290.00	
24AUG17	B	2	PD24NOV17	2108.00	2122.60	USD	730.00	
24AUG17	B	3	PD24NOV17	2106.00	2122.60	USD	1,245.00	
24AUG17	B	1	PD24NOV17	2104.50	2122.60	USD	452.50	
24AUG17	B	5	PD24NOV17	2105.50	2122.60	USD	2,137.50	
24AUG17	B	3	PD24NOV17	2105.00	2122.60	USD	1,320.00	
24AUG17	B	5	PD24NOV17	2104.00	2122.60	USD	2,325.00	
24AUG17	B	2	PD24NOV17	2103.50	2122.60	USD	955.00	
24AUG17	B	2	PD24NOV17	2103.00	2122.60	USD	980.00	
24AUG17	B	3	PD24NOV17	2102.00	2122.60	USD	1,545.00	
24AUG17	B	1	PD24NOV17	2101.50	2122.60	USD	527.50	
24AUG17	B	1	PD24NOV17	2100.50	2122.60	USD	552.50	
24AUG17	B	1	PD24NOV17	2098.50	2122.60	USD	602.50	
25AUG17	B	1	PD24NOV17	2100.50	2122.60	USD	552.50	
25AUG17	B	1	PD24NOV17	2098.50	2122.60	USD	602.50	
25AUG17	B	1	PD24NOV17	2094.00	2122.60	USD	715.00	
25AUG17	B	1	PD24NOV17	2087.50	2122.60	USD	877.50	
25AUG17	B	1	PD24NOV17	2095.50	2122.60	USD	677.50	
25AUG17	B	1	PD24NOV17	2076.00	2122.60	USD	1,165.00	
25AUG17	B	1	PD24NOV17	2070.00	2122.60	USD	1,315.00	
25AUG17	S	1	PD24NOV17	2077.00	2122.60	USD	-1,140.00	
25AUG17	B	1	PD24NOV17	2068.50	2122.60	USD	1,352.50	
29AUG17	S	2	PD24NOV17	2093.86	2122.60	USD	-1,437.00	
06SEP17	S	6	PD24NOV17	2101.66	2122.60	USD	-3,141.00	
	NET	0AVG BGHT 2099.95	SOLD 2097.69				-3,558.00	0.00
29AUG17	S	2	PD29NOV17	2079.50	2124.18	USD	-2,234.00	
29AUG17	S	2	PD29NOV17	2072.50	2124.18	USD	-2,584.00	
29AUG17	S	2	PD29NOV17	2072.00	2124.18	USD	-2,609.00	
29AUG17	S	1	PD29NOV17	2074.50	2124.18	USD	-1,242.00	
29AUG17	S	1	PD29NOV17	2078.00	2124.18	USD	-1,154.50	
29AUG17	S	2	PD29NOV17	2077.00	2124.18	USD	-2,359.00	
29AUG17	B	3	PD29NOV17	2071.50	2124.18	USD	3,951.00	
29AUG17	B	1	PD29NOV17	2072.00	2124.18	USD	1,304.50	
29AUG17	B	1	PD29NOV17	2075.00	2124.18	USD	1,229.50	
29AUG17	B	1	PD29NOV17	2070.00	2124.18	USD	1,354.50	
29AUG17	B	1	PD29NOV17	2072.50	2124.18	USD	1,292.00	
29AUG17	B	1	PD29NOV17	2073.00	2124.18	USD	1,279.50	
29AUG17	B	1	PD29NOV17	2074.00	2124.18	USD	1,254.50	
29AUG17	S	2	PD29NOV17	2081.50	2124.18	USD	-2,134.00	
29AUG17	S	1	PD29NOV17	2087.50	2124.18	USD	-917.00	
29AUG17	B	1	PD29NOV17	2087.00	2124.18	USD	929.50	
29AUG17	S	1	PD29NOV17	2092.50	2124.18	USD	-792.00	
29AUG17	S	1	PD29NOV17	2093.00	2124.18	USD	-779.50	
29AUG17	S	1	PD29NOV17	2094.50	2124.18	USD	-742.00	
29AUG17	S	4	PD29NOV17	2094.00	2124.18	USD	-3,018.00	
29AUG17	S	2	PD29NOV17	2095.50	2124.18	USD	-1,434.00	
29AUG17	S	2	PD29NOV17	2096.00	2124.18	USD	-1,409.00	
29AUG17	B	1	PD29NOV17	2095.00	2124.18	USD	729.50	
06SEP17	B	6	PD29NOV17	2103.04	2124.18	USD	3,171.00	
06SEP17	B	7	PD29NOV17	2102.84	2124.18	USD	3,734.50	
	NET	0AVG BGHT 2090.46	SOLD 2085.17				-3,178.00	0.00
30AUG17	B	1	PD30NOV17	2091.00	2124.49	USD	837.25	

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30AUG17	S	1	PD30NOV17	2101.00	2124.49	USD	-587.25	
30AUG17	B	1	PD30NOV17	2090.50	2124.49	USD	849.75	
30AUG17	B	4	PD30NOV17	2090.00	2124.49	USD	3,449.00	
30AUG17	S	4	PD30NOV17	2095.50	2124.49	USD	-2,899.00	
30AUG17	S	1	PD30NOV17	2096.00	2124.49	USD	-712.25	
30AUG17	S	1	PD30NOV17	2095.00	2124.49	USD	-737.25	
30AUG17	B	1	PD30NOV17	2094.00	2124.49	USD	762.25	
30AUG17	B	2	PD30NOV17	2087.00	2124.49	USD	1,874.50	
30AUG17	S	2	PD30NOV17	2090.50	2124.49	USD	-1,699.50	
30AUG17	S	1	PD30NOV17	2097.00	2124.49	USD	-687.25	
30AUG17	S	6	PD30NOV17	2097.50	2124.49	USD	-4,048.50	
30AUG17	S	1	PD30NOV17	2092.50	2124.49	USD	-799.75	
31AUG17	S	1	PD30NOV17	2101.50	2124.49	USD	-574.75	
31AUG17	S	1	PD30NOV17	2100.00	2124.49	USD	-612.25	
31AUG17	S	1	PD30NOV17	2104.00	2124.49	USD	-512.25	
31AUG17	S	1	PD30NOV17	2103.00	2124.49	USD	-537.25	
31AUG17	S	2	PD30NOV17	2105.00	2124.49	USD	-974.50	
31AUG17	S	2	PD30NOV17	2105.50	2124.49	USD	-949.50	
31AUG17	S	1	PD30NOV17	2106.50	2124.49	USD	-449.75	
31AUG17	S	1	PD30NOV17	2107.00	2124.49	USD	-437.25	
31AUG17	S	2	PD30NOV17	2107.50	2124.49	USD	-849.50	
31AUG17	S	1	PD30NOV17	2109.00	2124.49	USD	-387.25	
31AUG17	S	2	PD30NOV17	2108.50	2124.49	USD	-799.50	
31AUG17	S	3	PD30NOV17	2110.00	2124.49	USD	-1,086.75	
31AUG17	S	2	PD30NOV17	2112.00	2124.49	USD	-624.50	
31AUG17	S	1	PD30NOV17	2112.50	2124.49	USD	-299.75	
31AUG17	S	1	PD30NOV17	2114.00	2124.49	USD	-262.25	
31AUG17	S	1	PD30NOV17	2114.50	2124.49	USD	-249.75	
31AUG17	S	2	PD30NOV17	2116.50	2124.49	USD	-399.50	
31AUG17	S	1	PD30NOV17	2120.00	2124.49	USD	-112.25	
31AUG17	S	1	PD30NOV17	2121.00	2124.49	USD	-87.25	
31AUG17	S	2	PD30NOV17	2132.00	2124.49	USD	375.50	
31AUG17	B	1	PD30NOV17	2134.50	2124.49	USD	-250.25	
31AUG17	B	1	PD30NOV17	2131.00	2124.49	USD	-162.75	
31AUG17	S	3	PD30NOV17	2136.50	2124.49	USD	900.75	
31AUG17	S	1	PD30NOV17	2098.00	2124.49	USD	-662.25	
31AUG17	S	3	PD30NOV17	2131.00	2124.49	USD	488.25	
31AUG17	B	1	PD30NOV17	2128.50	2124.49	USD	-100.25	
31AUG17	B	1	PD30NOV17	2130.50	2124.49	USD	-150.25	
31AUG17	B	3	PD30NOV17	2128.00	2124.49	USD	-263.25	
31AUG17	B	4	PD30NOV17	2124.50	2124.49	USD	-1.00	
31AUG17	B	1	PD30NOV17	2122.50	2124.49	USD	49.75	
31AUG17	B	4	PD30NOV17	2119.00	2124.49	USD	549.00	
31AUG17	B	1	PD30NOV17	2116.00	2124.49	USD	212.25	
31AUG17	B	3	PD30NOV17	2117.00	2124.49	USD	561.75	
31AUG17	B	2	PD30NOV17	2118.50	2124.49	USD	299.50	
31AUG17	B	2	PD30NOV17	2120.00	2124.49	USD	224.50	
31AUG17	B	2	PD30NOV17	2116.50	2124.49	USD	399.50	
31AUG17	B	4	PD30NOV17	2118.00	2124.49	USD	649.00	
31AUG17	B	3	PD30NOV17	2117.50	2124.49	USD	524.25	
31AUG17	B	2	PD30NOV17	2125.50	2124.49	USD	-50.50	
31AUG17	B	2	PD30NOV17	2125.00	2124.49	USD	-25.50	
31AUG17	B	2	PD30NOV17	2126.50	2124.49	USD	-100.50	
31AUG17	B	3	PD30NOV17	2127.00	2124.49	USD	-188.25	
31AUG17	B	1	PD30NOV17	2126.00	2124.49	USD	-37.75	
06SEP17	S	1	PD30NOV17	2102.88	2124.49	USD	-540.25	
08SEP17	B	1	PD30NOV17	2097.00	2124.49	USD	687.25	

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14SEP17	B	1	PD30NOV17		2094.26	2124.49	USD	755.75	
	NET	0 AVG	BGHT 2116.08	SOLD 2108.33				-10,459.50	0.00
01SEP17	S	1	PD01DEC17		2126.00	2124.81	USD	29.75	
01SEP17	B	1	PD01DEC17		2126.00	2124.81	USD	-29.75	
01SEP17	S	1	PD01DEC17		2134.00	2124.81	USD	229.75	
01SEP17	S	1	PD01DEC17		2136.50	2124.81	USD	292.25	
01SEP17	B	1	PD01DEC17		2134.00	2124.81	USD	-229.75	
01SEP17	B	1	PD01DEC17		2132.00	2124.81	USD	-179.75	
01SEP17	B	1	PD01DEC17		2137.00	2124.81	USD	-304.75	
01SEP17	S	1	PD01DEC17		2142.00	2124.81	USD	429.75	
01SEP17	B	1	PD01DEC17		2144.00	2124.81	USD	-479.75	
01SEP17	B	1	PD01DEC17		2142.00	2124.81	USD	-429.75	
01SEP17	B	1	PD01DEC17		2143.00	2124.81	USD	-454.75	
01SEP17	B	2	PD01DEC17		2135.50	2124.81	USD	-534.50	
01SEP17	B	1	PD01DEC17		2134.50	2124.81	USD	-242.25	
01SEP17	B	2	PD01DEC17		2141.00	2124.81	USD	-809.50	
01SEP17	S	2	PD01DEC17		2141.00	2124.81	USD	809.50	
01SEP17	S	1	PD01DEC17		2141.50	2124.81	USD	417.25	
01SEP17	B	2	PD01DEC17		2136.00	2124.81	USD	-559.50	
01SEP17	S	1	PD01DEC17		2138.00	2124.81	USD	329.75	
01SEP17	B	2	PD01DEC17		2133.50	2124.81	USD	-434.50	
01SEP17	B	2	PD01DEC17		2133.00	2124.81	USD	-409.50	
01SEP17	B	1	PD01DEC17		2131.50	2124.81	USD	-167.25	
01SEP17	B	2	PD01DEC17		2131.00	2124.81	USD	-309.50	
01SEP17	S	1	PD01DEC17		2132.50	2124.81	USD	192.25	
01SEP17	B	2	PD01DEC17		2125.00	2124.81	USD	-9.50	
01SEP17	S	1	PD01DEC17		2129.50	2124.81	USD	117.25	
01SEP17	S	2	PD01DEC17		2130.00	2124.81	USD	259.50	
01SEP17	S	2	PD01DEC17		2134.50	2124.81	USD	484.50	
01SEP17	S	1	PD01DEC17		2133.50	2124.81	USD	217.25	
01SEP17	S	1	PD01DEC17		2137.00	2124.81	USD	304.75	
06SEP17	S	5	PD01DEC17		2103.12	2124.81	USD	-2,711.25	
08SEP17	S	1	PD01DEC17		2097.05	2124.81	USD	-694.00	
21SEP17	S	1	PD01DEC17		2166.21	2124.81	USD	1,035.00	
	NET	0 AVG	BGHT 2134.52	SOLD 2127.84				-3,841.00	0.00
04SEP17	B	1	PD04DEC17		2128.00	2125.76	USD	-56.00	
04SEP17	B	1	PD04DEC17		2125.50	2125.76	USD	6.50	
04SEP17	B	1	PD04DEC17		2125.00	2125.76	USD	19.00	
04SEP17	S	1	PD04DEC17		2122.50	2125.76	USD	-81.50	
04SEP17	S	1	PD04DEC17		2125.00	2125.76	USD	-19.00	
04SEP17	S	1	PD04DEC17		2125.50	2125.76	USD	-6.50	
04SEP17	S	1	PD04DEC17		2121.50	2125.76	USD	-106.50	
04SEP17	S	1	PD04DEC17		2119.00	2125.76	USD	-169.00	
04SEP17	S	1	PD04DEC17		2128.00	2125.76	USD	56.00	
04SEP17	S	1	PD04DEC17		2129.50	2125.76	USD	93.50	
04SEP17	B	2	PD04DEC17		2126.50	2125.76	USD	-37.00	
04SEP17	B	1	PD04DEC17		2124.00	2125.76	USD	44.00	
04SEP17	B	1	PD04DEC17		2121.50	2125.76	USD	106.50	
04SEP17	S	1	PD04DEC17		2126.00	2125.76	USD	6.00	
04SEP17	B	1	PD04DEC17		2115.50	2125.76	USD	256.50	
04SEP17	B	1	PD04DEC17		2113.00	2125.76	USD	319.00	
04SEP17	S	1	PD04DEC17		2117.50	2125.76	USD	-206.50	
04SEP17	B	8	PD04DEC17		2116.00	2125.76	USD	1,952.00	
04SEP17	S	4	PD04DEC17		2115.50	2125.76	USD	-1,026.00	
04SEP17	S	1	PD04DEC17		2118.00	2125.76	USD	-194.00	
04SEP17	S	1	PD04DEC17		2118.50	2125.76	USD	-181.50	
04SEP17	S	1	PD04DEC17		2121.00	2125.76	USD	-119.00	

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04SEP17	S	1	PD04DEC17	2122.00	2125.76	USD	-94.00	
06SEP17	S	1	PD04DEC17	2103.83	2125.76	USD	-548.25	
08SEP17	B	5	PD04DEC17	2098.00	2125.76	USD	3,470.00	
	NET	+4 AVG BGHT 2114.70	SOLD 2119.99				3,484.25	0.00
05SEP17	B	1	PD05DEC17	2107.00	2126.07	USD	476.75	
05SEP17	B	2	PD05DEC17	2110.50	2126.07	USD	778.50	
05SEP17	S	1	PD05DEC17	2113.50	2126.07	USD	-314.25	
05SEP17	S	1	PD05DEC17	2118.00	2126.07	USD	-201.75	
05SEP17	S	1	PD05DEC17	2114.00	2126.07	USD	-301.75	
05SEP17	S	3	PD05DEC17	2116.50	2126.07	USD	-717.75	
05SEP17	S	1	PD05DEC17	2117.00	2126.07	USD	-226.75	
05SEP17	B	1	PD05DEC17	2109.50	2126.07	USD	414.25	
05SEP17	B	5	PD05DEC17	2108.00	2126.07	USD	2,258.75	
05SEP17	B	1	PD05DEC17	2103.50	2126.07	USD	564.25	
05SEP17	S	1	PD05DEC17	2104.00	2126.07	USD	-551.75	
05SEP17	B	1	PD05DEC17	2108.50	2126.07	USD	439.25	
05SEP17	B	1	PD05DEC17	2106.50	2126.07	USD	489.25	
05SEP17	S	1	PD05DEC17	2106.00	2126.07	USD	-501.75	
05SEP17	S	2	PD05DEC17	2108.50	2126.07	USD	-878.50	
05SEP17	S	1	PD05DEC17	2110.00	2126.07	USD	-401.75	
05SEP17	S	1	PD05DEC17	2111.50	2126.07	USD	-364.25	
05SEP17	S	1	PD05DEC17	2110.50	2126.07	USD	-389.25	
08SEP17	B	2	PD05DEC17	2098.25	2126.07	USD	1,391.00	
	NET	0 AVG BGHT 2106.61	SOLD 2112.21				1,962.50	0.00
06SEP17	B	1	PD06DEC17	2084.00	2126.39	USD	1,059.75	
06SEP17	B	1	PD06DEC17	2077.00	2126.39	USD	1,234.75	
06SEP17	B	1	PD06DEC17	2076.50	2126.39	USD	1,247.25	
06SEP17	S	1	PD06DEC17	2081.00	2126.39	USD	-1,134.75	
06SEP17	S	1	PD06DEC17	2081.50	2126.39	USD	-1,122.25	
06SEP17	B	1	PD06DEC17	2082.00	2126.39	USD	1,109.75	
06SEP17	S	1	PD06DEC17	2088.00	2126.39	USD	-959.75	
06SEP17	B	1	PD06DEC17	2083.00	2126.39	USD	1,084.75	
06SEP17	B	1	PD06DEC17	2085.00	2126.39	USD	1,034.75	
06SEP17	S	1	PD06DEC17	2098.00	2126.39	USD	-709.75	
06SEP17	S	1	PD06DEC17	2097.50	2126.39	USD	-722.25	
06SEP17	B	1	PD06DEC17	2095.00	2126.39	USD	784.75	
06SEP17	B	1	PD06DEC17	2093.50	2126.39	USD	822.25	
06SEP17	B	2	PD06DEC17	2088.00	2126.39	USD	1,919.50	
06SEP17	B	1	PD06DEC17	2086.50	2126.39	USD	997.25	
06SEP17	S	1	PD06DEC17	2104.00	2126.39	USD	-559.75	
06SEP17	B	1	PD06DEC17	2110.50	2126.39	USD	397.25	
06SEP17	B	1	PD06DEC17	2110.00	2126.39	USD	409.75	
06SEP17	B	1	PD06DEC17	2109.50	2126.39	USD	422.25	
08SEP17	S	8	PD06DEC17	2098.30	2126.39	USD	-5,618.00	
	NET	0 AVG BGHT 2090.61	SOLD 2095.46				1,697.50	0.00
07SEP17	B	4	PD07DEC17	2105.00	2126.71	USD	2,171.00	
07SEP17	B	1	PD07DEC17	2103.50	2126.71	USD	580.25	
07SEP17	B	1	PD07DEC17	2102.00	2126.71	USD	617.75	
07SEP17	B	1	PD07DEC17	2101.50	2126.71	USD	630.25	
07SEP17	B	4	PD07DEC17	2093.50	2126.71	USD	3,321.00	
07SEP17	B	1	PD07DEC17	2089.50	2126.71	USD	930.25	
07SEP17	S	1	PD07DEC17	2089.50	2126.71	USD	-930.25	
07SEP17	B	5	PD07DEC17	2094.00	2126.71	USD	4,088.75	
07SEP17	S	1	PD07DEC17	2100.00	2126.71	USD	-667.75	
07SEP17	S	1	PD07DEC17	2101.50	2126.71	USD	-630.25	
07SEP17	S	2	PD07DEC17	2109.00	2126.71	USD	-885.50	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0112D

13th October 2017

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	<u>LME ALUMINIUM 25 TONNES. THIS IS AN</u>							
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07SEP17	S	4	PD07DEC17	2109.50	2126.71	USD	-1,721.00	
07SEP17	S	4	PD07DEC17	2110.00	2126.71	USD	-1,671.00	
	NET	+4 AVG	BGHT 2097.68				5,833.50	0.00
08SEP17	S	1	PD08DEC17	2100.00	2127.02	USD	-675.50	
08SEP17	S	1	PD08DEC17	2094.00	2127.02	USD	-825.50	
08SEP17	B	1	PD08DEC17	2096.00	2127.02	USD	775.50	
08SEP17	S	1	PD08DEC17	2095.50	2127.02	USD	-788.00	
08SEP17	S	1	PD08DEC17	2095.00	2127.02	USD	-800.50	
14SEP17	B	1	PD08DEC17	2096.40	2127.02	USD	765.50	
19SEP17	B	2	PD08DEC17	2121.85	2127.02	USD	258.50	
	NET	0 AVG	BGHT 2109.03				-1,290.00	0.00
11SEP17	B	1	PD11DEC17	2102.00	2127.97	USD	649.25	
11SEP17	S	1	PD11DEC17	2112.50	2127.97	USD	-386.75	
11SEP17	S	1	PD11DEC17	2113.50	2127.97	USD	-361.75	
11SEP17	S	1	PD11DEC17	2116.00	2127.97	USD	-299.25	
11SEP17	B	1	PD11DEC17	2128.00	2127.97	USD	-0.75	
11SEP17	S	2	PD11DEC17	2126.00	2127.97	USD	-98.50	
11SEP17	S	1	PD11DEC17	2127.00	2127.97	USD	-24.25	
11SEP17	S	1	PD11DEC17	2128.50	2127.97	USD	13.25	
11SEP17	S	1	PD11DEC17	2131.50	2127.97	USD	88.25	
11SEP17	S	1	PD11DEC17	2133.00	2127.97	USD	125.75	
11SEP17	B	4	PD11DEC17	2129.00	2127.97	USD	-103.00	
11SEP17	S	1	PD11DEC17	2111.00	2127.97	USD	-424.25	
11SEP17	S	1	PD11DEC17	2125.50	2127.97	USD	-61.75	
11SEP17	B	1	PD11DEC17	2120.00	2127.97	USD	199.25	
11SEP17	B	1	PD11DEC17	2117.00	2127.97	USD	274.25	
11SEP17	B	1	PD11DEC17	2114.00	2127.97	USD	349.25	
11SEP17	S	1	PD11DEC17	2120.00	2127.97	USD	-199.25	
11SEP17	S	1	PD11DEC17	2121.50	2127.97	USD	-161.75	
11SEP17	S	1	PD11DEC17	2127.50	2127.97	USD	-11.75	
11SEP17	S	3	PD11DEC17	2123.50	2127.97	USD	-335.25	
11SEP17	S	1	PD11DEC17	2122.00	2127.97	USD	-149.25	
13SEP17	B	1	PD11DEC17	2109.66	2127.97	USD	457.75	
14SEP17	B	11	PD11DEC17	2097.20	2127.97	USD	8,461.75	
19SEP17	B	1	PD11DEC17	2122.71	2127.97	USD	131.50	
	NET	+4 AVG	BGHT 2109.03				8,132.75	0.00
12SEP17	B	2	PD12DEC17	2117.50	2128.29	USD	539.50	
12SEP17	S	1	PD12DEC17	2127.00	2128.29	USD	-32.25	
12SEP17	B	1	PD12DEC17	2123.00	2128.29	USD	132.25	
12SEP17	S	1	PD12DEC17	2122.50	2128.29	USD	-144.75	
12SEP17	S	4	PD12DEC17	2120.00	2128.29	USD	-829.00	
12SEP17	S	3	PD12DEC17	2122.00	2128.29	USD	-471.75	
12SEP17	S	1	PD12DEC17	2123.50	2128.29	USD	-119.75	
12SEP17	B	4	PD12DEC17	2117.00	2128.29	USD	1,129.00	
12SEP17	B	1	PD12DEC17	2109.50	2128.29	USD	469.75	
12SEP17	S	2	PD12DEC17	2132.50	2128.29	USD	210.50	
12SEP17	S	3	PD12DEC17	2133.00	2128.29	USD	353.25	
12SEP17	S	1	PD12DEC17	2141.00	2128.29	USD	317.75	
14SEP17	B	5	PD12DEC17	2097.47	2128.29	USD	3,852.50	
15SEP17	B	3	PD12DEC17	2085.25	2128.29	USD	3,228.00	
	NET	0 AVG	BGHT 2104.91				8,635.00	0.00
13SEP17	B	1	PD13DEC17	2132.00	2128.60	USD	-85.00	
13SEP17	S	1	PD13DEC17	2135.50	2128.60	USD	172.50	
13SEP17	B	1	PD13DEC17	2132.50	2128.60	USD	-97.50	
13SEP17	S	1	PD13DEC17	2136.50	2128.60	USD	197.50	
13SEP17	B	1	PD13DEC17	2128.00	2128.60	USD	15.00	

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	<u>LME ALUMINIUM 25 TONNES. THIS IS AN</u>							
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13SEP17	B	1	PD13DEC17	2127.50	2128.60	USD	27.50	
13SEP17	B	2	PD13DEC17	2127.00	2128.60	USD	80.00	
13SEP17	B	1	PD13DEC17	2126.50	2128.60	USD	52.50	
13SEP17	B	1	PD13DEC17	2126.00	2128.60	USD	65.00	
13SEP17	B	1	PD13DEC17	2125.50	2128.60	USD	77.50	
13SEP17	B	3	PD13DEC17	2125.00	2128.60	USD	270.00	
13SEP17	B	4	PD13DEC17	2124.00	2128.60	USD	460.00	
13SEP17	B	2	PD13DEC17	2123.50	2128.60	USD	255.00	
13SEP17	B	1	PD13DEC17	2117.50	2128.60	USD	277.50	
13SEP17	B	2	PD13DEC17	2128.00	2128.60	USD	30.00	
13SEP17	S	1	PD13DEC17	2113.50	2128.60	USD	-377.50	
13SEP17	S	4	PD13DEC17	2120.50	2128.60	USD	-810.00	
13SEP17	S	1	PD13DEC17	2116.00	2128.60	USD	-315.00	
13SEP17	B	1	PD13DEC17	2114.00	2128.60	USD	365.00	
13SEP17	B	2	PD13DEC17	2113.50	2128.60	USD	755.00	
13SEP17	B	1	PD13DEC17	2111.00	2128.60	USD	440.00	
13SEP17	B	1	PD13DEC17	2108.00	2128.60	USD	515.00	
14SEP17	S	18	PD13DEC17	2097.53	2128.60	USD	-13,981.50	
	NET	0AVG BGHT 2123.21	SOLD 2105.35				-11,611.50	0.00
14SEP17	S	2	PD14DEC17	2125.00	2128.92	USD	-196.00	
14SEP17	S	2	PD14DEC17	2123.50	2128.92	USD	-271.00	
14SEP17	B	1	PD14DEC17	2110.50	2128.92	USD	460.50	
14SEP17	B	1	PD14DEC17	2111.00	2128.92	USD	448.00	
14SEP17	B	1	PD14DEC17	2110.00	2128.92	USD	473.00	
14SEP17	S	1	PD14DEC17	2117.50	2128.92	USD	-285.50	
14SEP17	S	2	PD14DEC17	2116.00	2128.92	USD	-646.00	
14SEP17	B	1	PD14DEC17	2108.50	2128.92	USD	510.50	
14SEP17	B	1	PD14DEC17	2107.00	2128.92	USD	548.00	
14SEP17	B	1	PD14DEC17	2097.00	2128.92	USD	798.00	
14SEP17	B	1	PD14DEC17	2095.00	2128.92	USD	848.00	
14SEP17	B	1	PD14DEC17	2093.50	2128.92	USD	885.50	
14SEP17	B	1	PD14DEC17	2094.50	2128.92	USD	860.50	
14SEP17	B	2	PD14DEC17	2088.00	2128.92	USD	2,046.00	
14SEP17	S	1	PD14DEC17	2088.00	2128.92	USD	-1,023.00	
14SEP17	B	2	PD14DEC17	2091.50	2128.92	USD	1,871.00	
14SEP17	B	4	PD14DEC17	2088.50	2128.92	USD	4,042.00	
14SEP17	S	1	PD14DEC17	2091.50	2128.92	USD	-935.50	
14SEP17	S	1	PD14DEC17	2099.50	2128.92	USD	-735.50	
14SEP17	S	2	PD14DEC17	2099.00	2128.92	USD	-1,496.00	
14SEP17	B	1	PD14DEC17	2099.00	2128.92	USD	748.00	
14SEP17	S	1	PD14DEC17	2094.50	2128.92	USD	-860.50	
14SEP17	S	1	PD14DEC17	2104.00	2128.92	USD	-623.00	
15SEP17	S	3	PD14DEC17	2085.55	2128.92	USD	-3,252.75	
19SEP17	B	3	PD14DEC17	2123.57	2128.92	USD	401.25	
	NET	+4AVG BGHT 2100.46	SOLD 2104.63				4,615.50	0.00
15SEP17	B	1	PD15DEC17	2090.00	2129.24	USD	981.00	
15SEP17	B	3	PD15DEC17	2090.50	2129.24	USD	2,905.50	
15SEP17	B	1	PD15DEC17	2089.50	2129.24	USD	993.50	
15SEP17	S	1	PD15DEC17	2089.50	2129.24	USD	-993.50	
15SEP17	S	1	PD15DEC17	2090.00	2129.24	USD	-981.00	
15SEP17	S	1	PD15DEC17	2092.00	2129.24	USD	-931.00	
15SEP17	S	1	PD15DEC17	2100.50	2129.24	USD	-718.50	
15SEP17	S	1	PD15DEC17	2105.00	2129.24	USD	-606.00	
15SEP17	S	1	PD15DEC17	2100.00	2129.24	USD	-731.00	
15SEP17	S	1	PD15DEC17	2099.00	2129.24	USD	-756.00	
15SEP17	B	1	PD15DEC17	2096.50	2129.24	USD	818.50	
15SEP17	B	1	PD15DEC17	2093.00	2129.24	USD	906.00	

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	<u>LME ALUMINIUM 25 TONNES. THIS IS AN</u>							
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15SEP17	B	1	PD15DEC17	2085.50	2129.24	USD	1,093.50	
15SEP17	B	1	PD15DEC17	2084.00	2129.24	USD	1,131.00	
15SEP17	B	1	PD15DEC17	2083.00	2129.24	USD	1,156.00	
15SEP17	B	2	PD15DEC17	2086.00	2129.24	USD	2,162.00	
15SEP17	B	1	PD15DEC17	2083.50	2129.24	USD	1,143.50	
19SEP17	S	6	PD15DEC17	2123.65	2129.24	USD	-838.50	
	NET	0 AVG	BGHT 2088.35	SOLD 2109.07			6,735.00	0.00
18SEP17	B	1	PD18DEC17	2096.00	2130.18	USD	854.50	
18SEP17	B	1	PD18DEC17	2092.00	2130.18	USD	954.50	
18SEP17	B	1	PD18DEC17	2092.50	2130.18	USD	942.00	
18SEP17	S	1	PD18DEC17	2099.00	2130.18	USD	-779.50	
18SEP17	S	1	PD18DEC17	2100.00	2130.18	USD	-754.50	
18SEP17	S	1	PD18DEC17	2102.00	2130.18	USD	-704.50	
18SEP17	S	1	PD18DEC17	2102.50	2130.18	USD	-692.00	
18SEP17	S	1	PD18DEC17	2094.00	2130.18	USD	-904.50	
18SEP17	B	1	PD18DEC17	2090.50	2130.18	USD	992.00	
21SEP17	B	1	PD18DEC17	2171.14	2130.18	USD	-1,024.00	
04OCT17	B	1	PD18DEC17	2162.44	2130.18	USD	-806.50	
12OCT17	S	1	PD18DEC17	2142.76	2130.18	USD	314.50	
	NET	0 AVG	BGHT 2117.43	SOLD 2106.71			-1,608.00	0.00
19SEP17	S	1	PD19DEC17	2104.00	2130.50	USD	-662.50	
19SEP17	S	1	PD19DEC17	2105.00	2130.50	USD	-637.50	
19SEP17	B	1	PD19DEC17	2098.00	2130.50	USD	812.50	
19SEP17	S	1	PD19DEC17	2111.00	2130.50	USD	-487.50	
19SEP17	S	3	PD19DEC17	2112.50	2130.50	USD	-1,350.00	
19SEP17	S	1	PD19DEC17	2113.00	2130.50	USD	-437.50	
19SEP17	S	2	PD19DEC17	2112.00	2130.50	USD	-925.00	
19SEP17	S	1	PD19DEC17	2113.50	2130.50	USD	-425.00	
19SEP17	S	1	PD19DEC17	2116.50	2130.50	USD	-350.00	
19SEP17	B	2	PD19DEC17	2123.50	2130.50	USD	350.00	
19SEP17	S	2	PD19DEC17	2130.00	2130.50	USD	-25.00	
19SEP17	B	6	PD19DEC17	2127.00	2130.50	USD	525.00	
21SEP17	B	1	PD19DEC17	2171.22	2130.50	USD	-1,018.00	
04OCT17	B	3	PD19DEC17	2162.72	2130.50	USD	-2,416.50	
	NET	0 AVG	BGHT 2135.88	SOLD 2114.19			-7,047.00	0.00
20SEP17	S	2	PD20DEC17	2132.50	2130.50	USD	100.00	
20SEP17	S	2	PD20DEC17	2133.00	2130.50	USD	125.00	
20SEP17	B	1	PD20DEC17	2138.00	2130.50	USD	-187.50	
20SEP17	S	1	PD20DEC17	2143.00	2130.50	USD	312.50	
20SEP17	S	1	PD20DEC17	2143.50	2130.50	USD	325.00	
20SEP17	S	2	PD20DEC17	2166.50	2130.50	USD	1,800.00	
20SEP17	S	2	PD20DEC17	2165.50	2130.50	USD	1,750.00	
20SEP17	B	2	PD20DEC17	2164.00	2130.50	USD	-1,675.00	
20SEP17	B	1	PD20DEC17	2163.00	2130.50	USD	-812.50	
20SEP17	B	1	PD20DEC17	2162.00	2130.50	USD	-787.50	
20SEP17	B	1	PD20DEC17	2161.50	2130.50	USD	-775.00	
20SEP17	B	1	PD20DEC17	2160.00	2130.50	USD	-737.50	
20SEP17	B	3	PD20DEC17	2158.50	2130.50	USD	-2,100.00	
20SEP17	B	3	PD20DEC17	2159.00	2130.50	USD	-2,137.50	
20SEP17	B	1	PD20DEC17	2158.00	2130.50	USD	-687.50	
20SEP17	B	1	PD20DEC17	2159.50	2130.50	USD	-725.00	
20SEP17	B	1	PD20DEC17	2157.00	2130.50	USD	-662.50	
20SEP17	S	1	PD20DEC17	2161.00	2130.50	USD	762.50	
20SEP17	S	1	PD20DEC17	2162.00	2130.50	USD	787.50	
20SEP17	S	2	PD20DEC17	2163.00	2130.50	USD	1,625.00	
20SEP17	S	1	PD20DEC17	2164.00	2130.50	USD	837.50	

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20SEP17	S	2	PD20DEC17	2169.00	2130.50	USD	1,925.00	
20SEP17	S	1	PD20DEC17	2172.50	2130.50	USD	1,050.00	
20SEP17	S	9	PD20DEC17	2167.00	2130.50	USD	8,212.50	
20SEP17	S	4	PD20DEC17	2168.00	2130.50	USD	3,750.00	
20SEP17	S	2	PD20DEC17	2175.00	2130.50	USD	2,225.00	
20SEP17	S	1	PD20DEC17	2178.00	2130.50	USD	1,187.50	
20SEP17	S	1	PD20DEC17	2180.50	2130.50	USD	1,250.00	
20SEP17	B	1	PD20DEC17	2171.50	2130.50	USD	-1,025.00	
20SEP17	B	2	PD20DEC17	2171.00	2130.50	USD	-2,025.00	
20SEP17	B	1	PD20DEC17	2166.50	2130.50	USD	-900.00	
20SEP17	S	1	PD20DEC17	2177.00	2130.50	USD	1,162.50	
20SEP17	S	2	PD20DEC17	2171.50	2130.50	USD	2,050.00	
20SEP17	S	3	PD20DEC17	2172.00	2130.50	USD	3,112.50	
20SEP17	S	2	PD20DEC17	2171.00	2130.50	USD	2,025.00	
20SEP17	B	1	PD20DEC17	2172.50	2130.50	USD	-1,050.00	
20SEP17	S	2	PD20DEC17	2177.50	2130.50	USD	2,350.00	
20SEP17	S	1	PD20DEC17	2187.50	2130.50	USD	1,425.00	
20SEP17	S	1	PD20DEC17	2185.50	2130.50	USD	1,375.00	
20SEP17	S	1	PD20DEC17	2186.00	2130.50	USD	1,387.50	
20SEP17	S	1	PD20DEC17	2191.50	2130.50	USD	1,525.00	
20SEP17	B	1	PD20DEC17	2188.00	2130.50	USD	-1,437.50	
20SEP17	B	1	PD20DEC17	2185.00	2130.50	USD	-1,362.50	
20SEP17	B	1	PD20DEC17	2184.00	2130.50	USD	-1,337.50	
20SEP17	B	1	PD20DEC17	2179.50	2130.50	USD	-1,225.00	
20SEP17	B	2	PD20DEC17	2178.00	2130.50	USD	-2,375.00	
20SEP17	B	3	PD20DEC17	2176.50	2130.50	USD	-3,450.00	
20SEP17	B	1	PD20DEC17	2175.50	2130.50	USD	-1,125.00	
20SEP17	B	2	PD20DEC17	2175.00	2130.50	USD	-2,225.00	
20SEP17	B	1	PD20DEC17	2174.50	2130.50	USD	-1,100.00	
20SEP17	B	1	PD20DEC17	2178.50	2130.50	USD	-1,200.00	
20SEP17	B	4	PD20DEC17	2177.50	2130.50	USD	-4,700.00	
20SEP17	B	1	PD20DEC17	2169.50	2130.50	USD	-975.00	
20SEP17	B	1	PD20DEC17	2167.50	2130.50	USD	-925.00	
20SEP17	B	1	PD20DEC17	2166.00	2130.50	USD	-887.50	
20SEP17	B	2	PD20DEC17	2164.50	2130.50	USD	-1,700.00	
20SEP17	B	4	PD20DEC17	2165.00	2130.50	USD	-3,450.00	
20SEP17	B	2	PD20DEC17	2163.50	2130.50	USD	-1,650.00	
20SEP17	B	1	PD20DEC17	2165.50	2130.50	USD	-875.00	
20SEP17	S	2	PD20DEC17	2181.00	2130.50	USD	2,525.00	
20SEP17	S	1	PD20DEC17	2182.50	2130.50	USD	1,300.00	
20SEP17	B	2	PD20DEC17	2187.00	2130.50	USD	-2,825.00	
21SEP17	S	1	PD20DEC17	2171.30	2130.50	USD	1,020.00	
	NET	0	AVG BGHT 2169.08	SOLD 2167.69			-1,830.00	0.00
21SEP17	S	1	PD21DEC17	2170.50	2130.50	USD	1,000.00	
21SEP17	B	2	PD21DEC17	2158.50	2130.50	USD	-1,400.00	
21SEP17	B	2	PD21DEC17	2157.00	2130.50	USD	-1,325.00	
21SEP17	S	1	PD21DEC17	2166.50	2130.50	USD	900.00	
21SEP17	S	2	PD21DEC17	2167.50	2130.50	USD	1,850.00	
21SEP17	B	3	PD21DEC17	2159.50	2130.50	USD	-2,175.00	
21SEP17	B	1	PD21DEC17	2163.00	2130.50	USD	-812.50	
21SEP17	S	2	PD21DEC17	2165.00	2130.50	USD	1,725.00	
21SEP17	S	1	PD21DEC17	2166.00	2130.50	USD	887.50	
21SEP17	B	1	PD21DEC17	2162.50	2130.50	USD	-800.00	
21SEP17	B	1	PD21DEC17	2164.50	2130.50	USD	-850.00	
21SEP17	B	2	PD21DEC17	2165.00	2130.50	USD	-1,725.00	
21SEP17	S	1	PD21DEC17	2171.50	2130.50	USD	1,025.00	
21SEP17	S	4	PD21DEC17	2174.00	2130.50	USD	4,350.00	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0112D

13th October 2017

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	<u>LME ALUMINIUM 25 TONNES. THIS IS AN</u>							
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21SEP17	B	1	PD21DEC17	2168.50	2130.50	USD	-950.00	
21SEP17	S	1	PD21DEC17	2167.00	2130.50	USD	912.50	
21SEP17	S	1	PD21DEC17	2163.50	2130.50	USD	825.00	
21SEP17	B	2	PD21DEC17	2163.50	2130.50	USD	-1,650.00	
21SEP17	S	2	PD21DEC17	2169.00	2130.50	USD	1,925.00	
21SEP17	S	2	PD21DEC17	2168.00	2130.50	USD	1,875.00	
21SEP17	B	1	PD21DEC17	2171.00	2130.50	USD	-1,012.50	
21SEP17	S	2	PD21DEC17	2173.00	2130.50	USD	2,125.00	
21SEP17	S	1	PD21DEC17	2173.50	2130.50	USD	1,075.00	
21SEP17	S	1	PD21DEC17	2166.50	2130.50	USD	900.00	
21SEP17	S	1	PD21DEC17	2169.50	2130.50	USD	975.00	
21SEP17	B	1	PD21DEC17	2171.50	2130.50	USD	-1,025.00	
21SEP17	B	1	PD21DEC17	2172.50	2130.50	USD	-1,050.00	
21SEP17	B	1	PD21DEC17	2182.50	2130.50	USD	-1,300.00	
21SEP17	B	1	PD21DEC17	2182.00	2130.50	USD	-1,287.50	
21SEP17	S	2	PD21DEC17	2181.50	2130.50	USD	2,550.00	
21SEP17	S	1	PD21DEC17	2182.00	2130.50	USD	1,287.50	
21SEP17	S	1	PD21DEC17	2196.50	2130.50	USD	1,650.00	
21SEP17	S	1	PD21DEC17	2189.00	2130.50	USD	1,462.50	
21SEP17	S	1	PD21DEC17	2186.50	2130.50	USD	1,400.00	
21SEP17	B	1	PD21DEC17	2176.50	2130.50	USD	-1,150.00	
21SEP17	B	2	PD21DEC17	2176.00	2130.50	USD	-2,275.00	
21SEP17	B	3	PD21DEC17	2178.50	2130.50	USD	-3,600.00	
21SEP17	B	1	PD21DEC17	2173.50	2130.50	USD	-1,075.00	
21SEP17	S	1	PD21DEC17	2169.50	2130.50	USD	975.00	
21SEP17	S	3	PD21DEC17	2170.00	2130.50	USD	2,962.50	
21SEP17	S	3	PD21DEC17	2168.50	2130.50	USD	2,850.00	
21SEP17	S	1	PD21DEC17	2171.00	2130.50	USD	1,012.50	
21SEP17	B	1	PD21DEC17	2161.00	2130.50	USD	-762.50	
21SEP17	B	1	PD21DEC17	2156.00	2130.50	USD	-637.50	
21SEP17	B	1	PD21DEC17	2149.00	2130.50	USD	-462.50	
21SEP17	S	1	PD21DEC17	2146.50	2130.50	USD	400.00	
21SEP17	B	1	PD21DEC17	2148.50	2130.50	USD	-450.00	
21SEP17	B	1	PD21DEC17	2148.00	2130.50	USD	-437.50	
21SEP17	B	1	PD21DEC17	2143.00	2130.50	USD	-312.50	
21SEP17	B	2	PD21DEC17	2142.00	2130.50	USD	-575.00	
21SEP17	B	1	PD21DEC17	2141.50	2130.50	USD	-275.00	
21SEP17	B	1	PD21DEC17	2142.50	2130.50	USD	-300.00	
21SEP17	B	1	PD21DEC17	2150.00	2130.50	USD	-487.50	
21SEP17	B	1	PD21DEC17	2180.00	2130.50	USD	-1,237.50	
21SEP17	B	1	PD21DEC17	2182.00	2130.50	USD	-1,287.50	
21SEP17	B	1	PD21DEC17	2148.50	2130.50	USD	-450.00	
04OCT17	S	3	PD21DEC17	2163.00	2130.50	USD	2,437.50	
	NET	0	AVG BGHT 2162.83	SOLD 2170.83			8,200.00	0.00
22SEP17	B	1	PD22DEC17	2136.50	2130.50	USD	-150.00	
22SEP17	B	3	PD22DEC17	2137.00	2130.50	USD	-487.50	
22SEP17	B	1	PD22DEC17	2135.50	2130.50	USD	-125.00	
22SEP17	S	2	PD22DEC17	2135.00	2130.50	USD	225.00	
22SEP17	B	1	PD22DEC17	2124.50	2130.50	USD	150.00	
22SEP17	S	1	PD22DEC17	2148.50	2130.50	USD	450.00	
22SEP17	S	1	PD22DEC17	2150.00	2130.50	USD	487.50	
22SEP17	S	1	PD22DEC17	2153.00	2130.50	USD	562.50	
22SEP17	S	1	PD22DEC17	2161.00	2130.50	USD	762.50	
22SEP17	S	1	PD22DEC17	2161.50	2130.50	USD	775.00	
22SEP17	B	1	PD22DEC17	2153.50	2130.50	USD	-575.00	
22SEP17	S	1	PD22DEC17	2163.00	2130.50	USD	812.50	
22SEP17	S	2	PD22DEC17	2164.50	2130.50	USD	1,700.00	

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	<u>LME ALUMINIUM 25 TONNES. THIS IS AN</u>							
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22SEP17	S	1	PD22DEC17	2165.00	2130.50	USD	862.50	
22SEP17	B	1	PD22DEC17	2158.00	2130.50	USD	-687.50	
22SEP17	B	1	PD22DEC17	2163.50	2130.50	USD	-825.00	
22SEP17	B	2	PD22DEC17	2164.00	2130.50	USD	-1,675.00	
	NET	0 AVG BGHT	2146.41				2,262.50	0.00
			SOLD 2154.64					
25SEP17	B	1	PD27DEC17	2154.50	2131.04	USD	-586.50	
25SEP17	S	1	PD27DEC17	2149.00	2131.04	USD	449.00	
25SEP17	B	2	PD27DEC17	2138.50	2131.04	USD	-373.00	
25SEP17	S	1	PD27DEC17	2145.00	2131.04	USD	349.00	
25SEP17	S	1	PD27DEC17	2141.00	2131.04	USD	249.00	
25SEP17	S	1	PD27DEC17	2146.00	2131.04	USD	374.00	
25SEP17	S	1	PD27DEC17	2148.00	2131.04	USD	424.00	
25SEP17	S	1	PD27DEC17	2153.50	2131.04	USD	561.50	
25SEP17	B	1	PD27DEC17	2149.50	2131.04	USD	-461.50	
26SEP17	B	1	PD27DEC17	2156.00	2131.04	USD	-624.00	
26SEP17	B	1	PD27DEC17	2155.50	2131.04	USD	-611.50	
26SEP17	B	1	PD27DEC17	2146.00	2131.04	USD	-374.00	
26SEP17	S	1	PD27DEC17	2139.50	2131.04	USD	211.50	
26SEP17	B	1	PD27DEC17	2138.00	2131.04	USD	-174.00	
26SEP17	S	1	PD27DEC17	2128.50	2131.04	USD	-63.50	
26SEP17	S	1	PD27DEC17	2132.50	2131.04	USD	36.50	
26SEP17	B	1	PD27DEC17	2131.00	2131.04	USD	1.00	
26SEP17	B	1	PD27DEC17	2125.00	2131.04	USD	151.00	
27SEP17	S	1	PD27DEC17	2131.00	2131.04	USD	-1.00	
27SEP17	S	2	PD27DEC17	2131.50	2131.04	USD	23.00	
27SEP17	S	1	PD27DEC17	2132.50	2131.04	USD	36.50	
27SEP17	S	1	PD27DEC17	2133.00	2131.04	USD	49.00	
27SEP17	S	1	PD27DEC17	2145.50	2131.04	USD	361.50	
27SEP17	B	1	PD27DEC17	2129.50	2131.04	USD	38.50	
27SEP17	B	1	PD27DEC17	2129.00	2131.04	USD	51.00	
27SEP17	B	1	PD27DEC17	2128.00	2131.04	USD	76.00	
27SEP17	B	3	PD27DEC17	2127.00	2131.04	USD	303.00	
04OCT17	S	1	PD27DEC17	2164.20	2131.04	USD	829.00	
	NET	0 AVG BGHT	2137.50				1,305.00	0.00
			SOLD 2140.76					
28SEP17	B	1	PD28DEC17	2126.00	2131.14	USD	128.50	
28SEP17	B	1	PD28DEC17	2122.00	2131.14	USD	228.50	
28SEP17	S	1	PD28DEC17	2132.00	2131.14	USD	21.50	
28SEP17	S	1	PD28DEC17	2130.50	2131.14	USD	-16.00	
28SEP17	B	1	PD28DEC17	2123.50	2131.14	USD	191.00	
28SEP17	S	1	PD28DEC17	2127.00	2131.14	USD	-103.50	
28SEP17	S	2	PD28DEC17	2132.50	2131.14	USD	68.00	
28SEP17	S	1	PD28DEC17	2133.50	2131.14	USD	59.00	
28SEP17	B	1	PD28DEC17	2136.50	2131.14	USD	-134.00	
04OCT17	B	3	PD28DEC17	2164.60	2131.14	USD	-2,509.50	
	NET	+1 AVG BGHT	2143.11				-2,066.50	0.00
			SOLD 2131.33					
29SEP17	S	1	PD29DEC17	2134.50	2131.25	USD	81.25	
29SEP17	B	1	PD29DEC17	2125.00	2131.25	USD	156.25	
29SEP17	S	1	PD29DEC17	2127.00	2131.25	USD	-106.25	
29SEP17	B	2	PD29DEC17	2130.00	2131.25	USD	62.50	
29SEP17	B	1	PD29DEC17	2127.50	2131.25	USD	93.75	
29SEP17	B	1	PD29DEC17	2123.50	2131.25	USD	193.75	
04OCT17	S	3	PD29DEC17	2164.60	2131.25	USD	2,501.25	
	NET	0 AVG BGHT	2127.20				2,982.50	0.00
			SOLD 2151.06					
02OCT17	B	1	PD02JAN18	2108.00	2131.89	USD	597.25	
02OCT17	B	1	PD02JAN18	2107.00	2131.89	USD	622.25	
04OCT17	S	1	PD02JAN18	2165.60	2131.89	USD	842.75	

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050CT17	S	1	PD02JAN18	2170.79	2131.89	USD	972.50	
	NET	0 AVG BGHT 2107.50	SOLD 2168.20				3,034.75	0.00
030CT17	B	3	PD03JAN18	2119.50	2132.05	USD	941.25	
030CT17	B	1	PD03JAN18	2120.50	2132.05	USD	288.75	
030CT17	S	1	PD03JAN18	2121.50	2132.05	USD	-263.75	
030CT17	S	1	PD03JAN18	2123.00	2132.05	USD	-226.25	
030CT17	S	1	PD03JAN18	2123.50	2132.05	USD	-213.75	
030CT17	S	1	PD03JAN18	2131.50	2132.05	USD	-13.75	
030CT17	S	1	PD03JAN18	2144.00	2132.05	USD	298.75	
040CT17	B	1	PD03JAN18	2166.00	2132.05	USD	-848.75	
	NET	0 AVG BGHT 2129.00	SOLD 2128.70				-37.50	0.00
040CT17	B	1	PD04JAN18	2148.00	2132.21	USD	-394.75	
040CT17	S	1	PD04JAN18	2153.00	2132.21	USD	519.75	
040CT17	S	1	PD04JAN18	2151.00	2132.21	USD	469.75	
040CT17	B	4	PD04JAN18	2144.50	2132.21	USD	-1,229.00	
040CT17	S	1	PD04JAN18	2148.50	2132.21	USD	407.25	
040CT17	S	1	PD04JAN18	2155.00	2132.21	USD	569.75	
040CT17	S	1	PD04JAN18	2156.50	2132.21	USD	607.25	
040CT17	S	1	PD04JAN18	2165.00	2132.21	USD	819.75	
040CT17	B	1	PD04JAN18	2156.50	2132.21	USD	-607.25	
040CT17	B	1	PD04JAN18	2155.00	2132.21	USD	-569.75	
040CT17	S	1	PD04JAN18	2158.50	2132.21	USD	657.25	
040CT17	S	1	PD04JAN18	2159.00	2132.21	USD	669.75	
040CT17	B	1	PD04JAN18	2150.00	2132.21	USD	-444.75	
040CT17	S	2	PD04JAN18	2158.00	2132.21	USD	1,289.50	
040CT17	S	1	PD04JAN18	2157.00	2132.21	USD	619.75	
040CT17	S	1	PD04JAN18	2167.00	2132.21	USD	869.75	
040CT17	B	1	PD04JAN18	2160.50	2132.21	USD	-707.25	
040CT17	B	1	PD04JAN18	2157.00	2132.21	USD	-619.75	
050CT17	B	1	PD04JAN18	2171.45	2132.21	USD	-981.00	
100CT17	B	1	PD04JAN18	2161.75	2132.21	USD	-738.50	
	NET	0 AVG BGHT 2153.18	SOLD 2157.21				1,207.50	0.00
050CT17	B	1	PD05JAN18	2159.00	2132.38	USD	-665.50	
050CT17	B	1	PD05JAN18	2163.50	2132.38	USD	-778.00	
050CT17	B	6	PD05JAN18	2160.00	2132.38	USD	-4,143.00	
050CT17	B	1	PD05JAN18	2159.50	2132.38	USD	-678.00	
050CT17	B	1	PD05JAN18	2157.00	2132.38	USD	-615.50	
050CT17	B	1	PD05JAN18	2153.50	2132.38	USD	-528.00	
050CT17	B	1	PD05JAN18	2154.50	2132.38	USD	-553.00	
050CT17	B	2	PD05JAN18	2150.00	2132.38	USD	-881.00	
050CT17	S	1	PD05JAN18	2151.50	2132.38	USD	478.00	
050CT17	S	1	PD05JAN18	2154.50	2132.38	USD	553.00	
050CT17	S	3	PD05JAN18	2165.00	2132.38	USD	2,446.50	
050CT17	S	2	PD05JAN18	2168.00	2132.38	USD	1,781.00	
050CT17	S	3	PD05JAN18	2165.50	2132.38	USD	2,484.00	
050CT17	S	3	PD05JAN18	2166.50	2132.38	USD	2,559.00	
050CT17	S	1	PD05JAN18	2159.50	2132.38	USD	678.00	
050CT17	B	2	PD05JAN18	2156.50	2132.38	USD	-1,206.00	
050CT17	S	1	PD05JAN18	2171.00	2132.38	USD	965.50	
050CT17	B	1	PD05JAN18	2167.50	2132.38	USD	-878.00	
050CT17	S	2	PD05JAN18	2168.50	2132.38	USD	1,806.00	
060CT17	B	1	PD05JAN18	2154.00	2132.38	USD	-540.50	
060CT17	B	1	PD05JAN18	2155.00	2132.38	USD	-565.50	
060CT17	B	1	PD05JAN18	2154.50	2132.38	USD	-553.00	
060CT17	S	1	PD05JAN18	2149.50	2132.38	USD	428.00	
060CT17	S	1	PD05JAN18	2146.00	2132.38	USD	340.50	

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06OCT17	S	1	PD05JAN18	2137.50	2132.38	USD	128.00	
06OCT17	S	2	PD05JAN18	2150.50	2132.38	USD	906.00	
06OCT17	S	1	PD05JAN18	2147.00	2132.38	USD	365.50	
06OCT17	S	1	PD05JAN18	2152.50	2132.38	USD	503.00	
06OCT17	B	1	PD05JAN18	2140.00	2132.38	USD	-190.50	
06OCT17	B	1	PD05JAN18	2145.00	2132.38	USD	-315.50	
10OCT17	S	1	PD05JAN18	2161.76	2132.38	USD	734.50	
12OCT17	B	3	PD05JAN18	2145.25	2132.38	USD	-965.25	
	NET	0 AVG BGHT 2154.87	SOLD 2159.83				3,100.25	0.00
09OCT17	B	2	PD09JAN18	2149.50	2133.02	USD	-824.00	
09OCT17	B	1	PD09JAN18	2151.00	2133.02	USD	-449.50	
09OCT17	B	1	PD09JAN18	2152.50	2133.02	USD	-487.00	
09OCT17	B	1	PD09JAN18	2153.00	2133.02	USD	-499.50	
09OCT17	B	1	PD09JAN18	2146.50	2133.02	USD	-337.00	
09OCT17	S	2	PD09JAN18	2157.00	2133.02	USD	1,199.00	
09OCT17	S	1	PD09JAN18	2156.50	2133.02	USD	587.00	
09OCT17	S	1	PD09JAN18	2159.00	2133.02	USD	649.50	
09OCT17	S	1	PD09JAN18	2160.00	2133.02	USD	674.50	
09OCT17	S	1	PD09JAN18	2161.00	2133.02	USD	699.50	
09OCT17	S	2	PD09JAN18	2158.50	2133.02	USD	1,274.00	
09OCT17	S	3	PD09JAN18	2156.00	2133.02	USD	1,723.50	
09OCT17	S	1	PD09JAN18	2166.00	2133.02	USD	824.50	
09OCT17	B	1	PD09JAN18	2166.00	2133.02	USD	-824.50	
09OCT17	S	1	PD09JAN18	2174.00	2133.02	USD	1,024.50	
09OCT17	B	2	PD09JAN18	2169.50	2133.02	USD	-1,824.00	
12OCT17	B	4	PD09JAN18	2145.96	2133.02	USD	-1,294.00	
	NET	0 AVG BGHT 2153.14	SOLD 2159.65				2,116.50	0.00
10OCT17	B	1	PD10JAN18	2165.50	2133.18	USD	-808.00	
10OCT17	B	3	PD10JAN18	2166.00	2133.18	USD	-2,461.50	
10OCT17	B	1	PD10JAN18	2166.50	2133.18	USD	-833.00	
10OCT17	B	1	PD10JAN18	2160.50	2133.18	USD	-683.00	
10OCT17	B	3	PD10JAN18	2165.00	2133.18	USD	-2,386.50	
10OCT17	B	1	PD10JAN18	2163.50	2133.18	USD	-758.00	
10OCT17	B	2	PD10JAN18	2161.00	2133.18	USD	-1,391.00	
10OCT17	B	1	PD10JAN18	2164.00	2133.18	USD	-770.50	
10OCT17	B	3	PD10JAN18	2162.00	2133.18	USD	-2,161.50	
10OCT17	B	1	PD10JAN18	2156.50	2133.18	USD	-583.00	
10OCT17	B	1	PD10JAN18	2153.50	2133.18	USD	-508.00	
10OCT17	B	1	PD10JAN18	2155.00	2133.18	USD	-545.50	
10OCT17	S	2	PD10JAN18	2166.50	2133.18	USD	1,666.00	
10OCT17	B	1	PD10JAN18	2165.00	2133.18	USD	-795.50	
10OCT17	S	1	PD10JAN18	2164.50	2133.18	USD	783.00	
10OCT17	S	1	PD10JAN18	2164.00	2133.18	USD	770.50	
10OCT17	S	2	PD10JAN18	2162.50	2133.18	USD	1,466.00	
10OCT17	S	1	PD10JAN18	2163.50	2133.18	USD	758.00	
12OCT17	S	7	PD10JAN18	2145.94	2133.18	USD	2,233.00	
	NET	+6 AVG BGHT 2162.55	SOLD 2155.11				-7,008.50	0.00
11OCT17	B	4	PD11JAN18	2153.00	2133.34	USD	-1,966.00	
11OCT17	B	2	PD11JAN18	2150.00	2133.34	USD	-833.00	
11OCT17	S	1	PD11JAN18	2158.50	2133.34	USD	629.00	
11OCT17	B	1	PD11JAN18	2149.50	2133.34	USD	-404.00	
11OCT17	B	1	PD11JAN18	2144.50	2133.34	USD	-279.00	
11OCT17	B	1	PD11JAN18	2143.50	2133.34	USD	-254.00	
11OCT17	S	1	PD11JAN18	2142.50	2133.34	USD	229.00	
11OCT17	S	1	PD11JAN18	2144.00	2133.34	USD	266.50	
11OCT17	S	1	PD11JAN18	2142.00	2133.34	USD	216.50	

OPEN POSITION STATEMENT



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	<u>LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.</u>							
11OCT17	S	1	PD11JAN18	2146.50	2133.34	USD	329.00	
11OCT17	S	2	PD11JAN18	2146.00	2133.34	USD	633.00	
11OCT17	S	2	PD11JAN18	2153.50	2133.34	USD	1,008.00	
11OCT17	B	2	PD11JAN18	2148.50	2133.34	USD	-758.00	
11OCT17	B	2	PD11JAN18	2139.00	2133.34	USD	-283.00	
11OCT17	B	2	PD11JAN18	2138.50	2133.34	USD	-258.00	
11OCT17	B	1	PD11JAN18	2134.50	2133.34	USD	-29.00	
11OCT17	B	2	PD11JAN18	2133.00	2133.34	USD	17.00	
11OCT17	S	1	PD11JAN18	2139.00	2133.34	USD	141.50	
11OCT17	S	1	PD11JAN18	2138.00	2133.34	USD	116.50	
	NET	+7 AVG BGHT 2144.56	SOLD 2146.32				-1,478.00	0.00
12OCT17	S	1	PD12JAN18	2138.00	2133.50	USD	112.50	
12OCT17	S	1	PD12JAN18	2143.50	2133.50	USD	250.00	
12OCT17	S	1	PD12JAN18	2144.00	2133.50	USD	262.50	
12OCT17	S	1	PD12JAN18	2144.50	2133.50	USD	275.00	
12OCT17	B	1	PD12JAN18	2139.00	2133.50	USD	-137.50	
12OCT17	B	2	PD12JAN18	2136.00	2133.50	USD	-125.00	
12OCT17	S	2	PD12JAN18	2146.50	2133.50	USD	650.00	
12OCT17	B	1	PD12JAN18	2148.00	2133.50	USD	-362.50	
12OCT17	S	2	PD12JAN18	2148.50	2133.50	USD	750.00	
12OCT17	S	1	PD12JAN18	2148.00	2133.50	USD	362.50	
13OCT17	S	1	PD12JAN18	2153.00	2133.50	USD	487.50	
13OCT17	S	2	PD12JAN18	2152.00	2133.50	USD	925.00	
13OCT17	S	1	PD12JAN18	2152.50	2133.50	USD	475.00	
13OCT17	S	1	PD12JAN18	2155.00	2133.50	USD	537.50	
13OCT17	S	1	PD12JAN18	2157.50	2133.50	USD	600.00	
13OCT17	S	1	PD12JAN18	2161.50	2133.50	USD	700.00	
13OCT17	S	1	PD12JAN18	2162.50	2133.50	USD	725.00	
13OCT17	S	1	PD12JAN18	2163.00	2133.50	USD	737.50	
13OCT17	B	1	PD12JAN18	2162.00	2133.50	USD	-712.50	
13OCT17	B	1	PD12JAN18	2161.00	2133.50	USD	-687.50	
13OCT17	B	2	PD12JAN18	2160.00	2133.50	USD	-1,325.00	
13OCT17	S	1	PD12JAN18	2152.00	2133.50	USD	462.50	
13OCT17	B	1	PD12JAN18	2155.50	2133.50	USD	-550.00	
13OCT17	B	1	PD12JAN18	2156.00	2133.50	USD	-562.50	
13OCT17	B	1	PD12JAN18	2155.00	2133.50	USD	-537.50	
13OCT17	B	2	PD12JAN18	2156.50	2133.50	USD	-1,150.00	
13OCT17	B	1	PD12JAN18	2158.50	2133.50	USD	-625.00	
13OCT17	B	1	PD12JAN18	2150.00	2133.50	USD	-412.50	
13OCT17	B	2	PD12JAN18	2149.00	2133.50	USD	-775.00	
13OCT17	B	1	PD12JAN18	2147.50	2133.50	USD	-350.00	
13OCT17	B	1	PD12JAN18	2148.00	2133.50	USD	-362.50	
13OCT17	B	1	PD12JAN18	2147.00	2133.50	USD	-337.50	
13OCT17	B	2	PD12JAN18	2138.00	2133.50	USD	-225.00	
13OCT17	S	1	PD12JAN18	2135.50	2133.50	USD	50.00	
13OCT17	B	1	PD12JAN18	2135.00	2133.50	USD	-37.50	
13OCT17	B	1	PD12JAN18	2132.00	2133.50	USD	37.50	
13OCT17	B	1	PD12JAN18	2131.00	2133.50	USD	62.50	
13OCT17	S	1	PD12JAN18	2140.00	2133.50	USD	162.50	
13OCT17	S	4	PD12JAN18	2139.00	2133.50	USD	550.00	
	NET	0 AVG BGHT 2148.18	SOLD 2148.02				-100.00	0.00
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
22AUG17	S	2	PD22NOV17	6590.00	6865.80	USD	-13,790.00	
06SEP17	B	1	PD22NOV17	6895.75	6865.80	USD	-748.75	
13SEP17	B	1	PD22NOV17	6544.58	6865.80	USD	8,030.50	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
	NET	0 AVG BGHT 6720.17	SOLD 6590.00				-6,508.25	0.00
30AUG17	B	1 PD30NOV17		6780.00	6869.53	USD	2,238.25	
31AUG17	S	1 PD30NOV17		6828.00	6869.53	USD	-1,038.25	
31AUG17	B	1 PD30NOV17		6792.00	6869.53	USD	1,938.25	
06SEP17	S	1 PD30NOV17		6898.55	6869.53	USD	725.50	
	NET	0 AVG BGHT 6786.00	SOLD 6863.28				3,863.75	0.00
08SEP17	B	1 PD08DEC17		6803.00	6872.83	USD	1,745.75	
08SEP17	B	1 PD08DEC17		6702.00	6872.83	USD	4,270.75	
13SEP17	S	1 PD08DEC17		6551.26	6872.83	USD	-8,039.25	
04OCT17	S	1 PD08DEC17		6514.50	6872.83	USD	-8,958.25	
	NET	0 AVG BGHT 6752.50	SOLD 6532.88				-10,981.00	0.00
20SEP17	B	1 PD20DEC17		6556.00	6877.00	USD	8,025.00	
20SEP17	S	1 PD20DEC17		6523.50	6877.00	USD	-8,837.50	
20SEP17	B	1 PD20DEC17		6523.00	6877.00	USD	8,850.00	
10OCT17	S	1 PD20DEC17		6752.00	6877.00	USD	-3,125.00	
	NET	0 AVG BGHT 6539.50	SOLD 6637.75				4,912.50	0.00
26SEP17	B	1 PD27DEC17		6425.00	6876.67	USD	11,291.75	
10OCT17	S	1 PD27DEC17		6752.50	6876.67	USD	-3,104.25	
	NET	0 AVG BGHT 6425.00	SOLD 6752.50				8,187.50	0.00
28SEP17	S	1 PD28DEC17		6510.00	6877.00	USD	-9,175.00	
04OCT17	B	1 PD28DEC17		6519.05	6877.00	USD	8,948.75	
	NET	0 AVG BGHT 6519.05	SOLD 6510.00				-226.25	0.00
05OCT17	S	1 PD05JAN18		6637.50	6879.67	USD	-6,054.25	
05OCT17	S	1 PD05JAN18		6695.00	6879.67	USD	-4,616.75	
10OCT17	B	2 PD05JAN18		6757.52	6879.67	USD	6,107.50	
	NET	0 AVG BGHT 6757.52	SOLD 6666.25				-4,563.50	0.00
	<u>LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
17JUL17	B	2 PD17OCT17		2319.50	2518.25	USD	9,937.50	
17JUL17	S	1 PD17OCT17		2326.00	2518.25	USD	-4,806.25	
17JUL17	S	2 PD17OCT17		2325.00	2518.25	USD	-9,662.50	
17JUL17	S	3 PD17OCT17		2326.50	2518.25	USD	-14,381.25	
17JUL17	S	2 PD17OCT17		2329.00	2518.25	USD	-9,462.50	
17JUL17	S	2 PD17OCT17		2329.50	2518.25	USD	-9,437.50	
17JUL17	S	4 PD17OCT17		2330.00	2518.25	USD	-18,825.00	
17JUL17	S	1 PD17OCT17		2330.50	2518.25	USD	-4,693.75	
17JUL17	B	1 PD17OCT17		2324.50	2518.25	USD	4,843.75	
17JUL17	B	1 PD17OCT17		2326.00	2518.25	USD	4,806.25	
17JUL17	B	1 PD17OCT17		2324.00	2518.25	USD	4,856.25	
17JUL17	B	1 PD17OCT17		2323.50	2518.25	USD	4,868.75	
17JUL17	B	5 PD17OCT17		2321.50	2518.25	USD	24,593.75	
17JUL17	B	2 PD17OCT17		2322.00	2518.25	USD	9,812.50	
17JUL17	B	1 PD17OCT17		2321.00	2518.25	USD	4,931.25	
17JUL17	B	3 PD17OCT17		2320.50	2518.25	USD	14,831.25	
17JUL17	B	3 PD17OCT17		2318.50	2518.25	USD	14,981.25	
17JUL17	B	5 PD17OCT17		2320.00	2518.25	USD	24,781.25	
17JUL17	B	1 PD17OCT17		2320.00	2518.25	USD	4,956.25	
17JUL17	S	1 PD17OCT17		2325.00	2518.25	USD	-4,831.25	
17JUL17	B	2 PD17OCT17		2317.00	2518.25	USD	10,062.50	
17JUL17	S	1 PD17OCT17		2317.00	2518.25	USD	-5,031.25	
17JUL17	B	1 PD17OCT17		2317.50	2518.25	USD	5,018.75	
17JUL17	B	1 PD17OCT17		2317.00	2518.25	USD	5,031.25	
17JUL17	B	3 PD17OCT17		2318.00	2518.25	USD	15,018.75	
17JUL17	S	2 PD17OCT17		2320.00	2518.25	USD	-9,912.50	
17JUL17	S	2 PD17OCT17		2317.50	2518.25	USD	-10,037.50	

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	<u>LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
17JUL17	S	1	PD17OCT17	2320.50	2518.25	USD	-4,943.75	
17JUL17	S	2	PD17OCT17	2321.00	2518.25	USD	-9,862.50	
17JUL17	S	1	PD17OCT17	2319.00	2518.25	USD	-4,981.25	
17JUL17	B	1	PD17OCT17	2315.00	2518.25	USD	5,081.25	
17JUL17	B	1	PD17OCT17	2313.50	2518.25	USD	5,118.75	
17JUL17	S	2	PD17OCT17	2303.50	2518.25	USD	-10,737.50	
17JUL17	S	2	PD17OCT17	2299.00	2518.25	USD	-10,962.50	
17JUL17	S	1	PD17OCT17	2296.50	2518.25	USD	-5,543.75	
17JUL17	S	1	PD17OCT17	2295.00	2518.25	USD	-5,581.25	
17JUL17	S	2	PD17OCT17	2295.50	2518.25	USD	-11,137.50	
17JUL17	B	1	PD17OCT17	2293.00	2518.25	USD	5,631.25	
17JUL17	S	2	PD17OCT17	2294.00	2518.25	USD	-11,212.50	
17JUL17	S	2	PD17OCT17	2294.50	2518.25	USD	-11,187.50	
17JUL17	S	2	PD17OCT17	2297.00	2518.25	USD	-11,062.50	
17JUL17	S	2	PD17OCT17	2296.00	2518.25	USD	-11,112.50	
17JUL17	S	1	PD17OCT17	2298.50	2518.25	USD	-5,493.75	
17JUL17	S	1	PD17OCT17	2294.50	2518.25	USD	-5,593.75	
17JUL17	S	1	PD17OCT17	2295.00	2518.25	USD	-5,581.25	
24JUL17	B	8	PD17OCT17	2259.07	2518.25	USD	51,836.00	
	NET	0	AVG BGHT 2308.25		SOLD 2312.73		4,923.50	0.00
18JUL17	S	1	PD18OCT17	2292.00	2518.75	USD	-5,668.75	
18JUL17	B	1	PD18OCT17	2285.00	2518.75	USD	5,843.75	
18JUL17	B	1	PD18OCT17	2286.50	2518.75	USD	5,806.25	
18JUL17	B	2	PD18OCT17	2291.50	2518.75	USD	11,362.50	
18JUL17	B	1	PD18OCT17	2293.00	2518.75	USD	5,643.75	
18JUL17	S	1	PD18OCT17	2294.50	2518.75	USD	-5,606.25	
18JUL17	B	3	PD18OCT17	2290.00	2518.75	USD	17,156.25	
18JUL17	B	1	PD18OCT17	2287.50	2518.75	USD	5,781.25	
18JUL17	S	3	PD18OCT17	2284.00	2518.75	USD	-17,606.25	
18JUL17	S	2	PD18OCT17	2285.00	2518.75	USD	-11,687.50	
18JUL17	B	2	PD18OCT17	2283.50	2518.75	USD	11,762.50	
18JUL17	B	2	PD18OCT17	2281.00	2518.75	USD	11,887.50	
18JUL17	B	1	PD18OCT17	2279.00	2518.75	USD	5,993.75	
18JUL17	S	1	PD18OCT17	2282.00	2518.75	USD	-5,918.75	
18JUL17	B	1	PD18OCT17	2275.00	2518.75	USD	6,093.75	
18JUL17	B	1	PD18OCT17	2281.50	2518.75	USD	5,931.25	
18JUL17	S	1	PD18OCT17	2280.00	2518.75	USD	-5,968.75	
18JUL17	B	2	PD18OCT17	2278.50	2518.75	USD	12,012.50	
18JUL17	B	1	PD18OCT17	2270.00	2518.75	USD	6,218.75	
18JUL17	S	1	PD18OCT17	2277.50	2518.75	USD	-6,031.25	
18JUL17	B	1	PD18OCT17	2274.00	2518.75	USD	6,118.75	
18JUL17	B	1	PD18OCT17	2272.50	2518.75	USD	6,156.25	
18JUL17	B	2	PD18OCT17	2267.00	2518.75	USD	12,587.50	
18JUL17	B	1	PD18OCT17	2266.00	2518.75	USD	6,318.75	
18JUL17	B	1	PD18OCT17	2268.00	2518.75	USD	6,268.75	
18JUL17	S	3	PD18OCT17	2273.00	2518.75	USD	-18,431.25	
18JUL17	B	1	PD18OCT17	2266.00	2518.75	USD	6,318.75	
18JUL17	S	1	PD18OCT17	2276.00	2518.75	USD	-6,068.75	
18JUL17	S	3	PD18OCT17	2274.50	2518.75	USD	-18,318.75	
18JUL17	S	1	PD18OCT17	2272.00	2518.75	USD	-6,168.75	
18JUL17	S	4	PD18OCT17	2272.50	2518.75	USD	-24,625.00	
18JUL17	S	2	PD18OCT17	2273.50	2518.75	USD	-12,262.50	
18JUL17	S	1	PD18OCT17	2274.00	2518.75	USD	-6,118.75	
18JUL17	S	5	PD18OCT17	2273.00	2518.75	USD	-30,718.75	
18JUL17	S	1	PD18OCT17	2275.00	2518.75	USD	-6,093.75	
19JUL17	S	1	PD18OCT17	2217.30	2518.75	USD	-7,536.25	
24JUL17	B	6	PD18OCT17	2259.25	2518.75	USD	38,925.00	

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	<u>LME LEAD 25 TONNES. THIS IS AN</u>								
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	NET	0AVG	BGHT	2276.02				-642.50	0.00
				SOLD 2275.21					
19JUL17	B	4	PD19OCT17		2263.50	2518.90	USD	25,540.00	
19JUL17	B	1	PD19OCT17		2262.00	2518.90	USD	6,422.50	
19JUL17	S	1	PD19OCT17		2272.00	2518.90	USD	-6,172.50	
19JUL17	S	2	PD19OCT17		2269.50	2518.90	USD	-12,470.00	
19JUL17	B	1	PD19OCT17		2259.50	2518.90	USD	6,485.00	
19JUL17	B	1	PD19OCT17		2258.00	2518.90	USD	6,522.50	
19JUL17	B	1	PD19OCT17		2252.50	2518.90	USD	6,660.00	
19JUL17	B	2	PD19OCT17		2257.00	2518.90	USD	13,095.00	
19JUL17	B	1	PD19OCT17		2256.50	2518.90	USD	6,560.00	
19JUL17	B	1	PD19OCT17		2255.50	2518.90	USD	6,585.00	
19JUL17	B	1	PD19OCT17		2255.00	2518.90	USD	6,597.50	
19JUL17	B	2	PD19OCT17		2254.50	2518.90	USD	13,220.00	
19JUL17	B	3	PD19OCT17		2254.00	2518.90	USD	19,867.50	
19JUL17	B	2	PD19OCT17		2253.00	2518.90	USD	13,295.00	
19JUL17	B	1	PD19OCT17		2251.00	2518.90	USD	6,697.50	
19JUL17	B	1	PD19OCT17		2249.50	2518.90	USD	6,735.00	
19JUL17	B	2	PD19OCT17		2247.00	2518.90	USD	13,595.00	
19JUL17	S	1	PD19OCT17		2245.50	2518.90	USD	-6,835.00	
19JUL17	B	1	PD19OCT17		2243.50	2518.90	USD	6,885.00	
19JUL17	B	1	PD19OCT17		2246.50	2518.90	USD	6,810.00	
19JUL17	B	5	PD19OCT17		2246.00	2518.90	USD	34,112.50	
19JUL17	B	2	PD19OCT17		2245.50	2518.90	USD	13,670.00	
19JUL17	B	1	PD19OCT17		2242.00	2518.90	USD	6,922.50	
19JUL17	B	3	PD19OCT17		2241.50	2518.90	USD	20,805.00	
19JUL17	B	3	PD19OCT17		2243.00	2518.90	USD	20,692.50	
19JUL17	B	5	PD19OCT17		2244.00	2518.90	USD	34,362.50	
19JUL17	B	3	PD19OCT17		2244.50	2518.90	USD	20,580.00	
19JUL17	S	1	PD19OCT17		2243.50	2518.90	USD	-6,885.00	
19JUL17	B	1	PD19OCT17		2240.00	2518.90	USD	6,972.50	
19JUL17	B	1	PD19OCT17		2227.50	2518.90	USD	7,285.00	
19JUL17	B	1	PD19OCT17		2226.00	2518.90	USD	7,322.50	
19JUL17	S	1	PD19OCT17		2229.00	2518.90	USD	-7,247.50	
19JUL17	S	1	PD19OCT17		2231.00	2518.90	USD	-7,197.50	
19JUL17	S	1	PD19OCT17		2220.50	2518.90	USD	-7,460.00	
19JUL17	S	1	PD19OCT17		2221.00	2518.90	USD	-7,447.50	
19JUL17	S	2	PD19OCT17		2214.50	2518.90	USD	-15,220.00	
19JUL17	S	1	PD19OCT17		2213.50	2518.90	USD	-7,635.00	
19JUL17	S	1	PD19OCT17		2217.00	2518.90	USD	-7,547.50	
19JUL17	S	1	PD19OCT17		2218.00	2518.90	USD	-7,522.50	
19JUL17	S	1	PD19OCT17		2218.50	2518.90	USD	-7,510.00	
19JUL17	S	1	PD19OCT17		2219.00	2518.90	USD	-7,497.50	
19JUL17	S	2	PD19OCT17		2216.50	2518.90	USD	-15,120.00	
19JUL17	S	1	PD19OCT17		2211.50	2518.90	USD	-7,685.00	
19JUL17	S	2	PD19OCT17		2211.00	2518.90	USD	-15,395.00	
24JUL17	S	21	PD19OCT17		2259.18	2518.90	USD	-136,353.00	
24JUL17	S	9	PD19OCT17		2259.38	2518.90	USD	-58,392.00	
	NET	0AVG	BGHT	2248.86				-3,295.00	0.00
				SOLD 2246.28					
20JUL17	S	1	PD20OCT17		2227.00	2519.05	USD	-7,301.25	
20JUL17	S	1	PD20OCT17		2230.00	2519.05	USD	-7,226.25	
20JUL17	S	1	PD20OCT17		2226.50	2519.05	USD	-7,313.75	
20JUL17	S	1	PD20OCT17		2222.50	2519.05	USD	-7,413.75	
20JUL17	S	3	PD20OCT17		2223.00	2519.05	USD	-22,203.75	
20JUL17	S	3	PD20OCT17		2224.50	2519.05	USD	-22,091.25	
20JUL17	S	1	PD20OCT17		2226.00	2519.05	USD	-7,326.25	
20JUL17	S	1	PD20OCT17		2225.00	2519.05	USD	-7,351.25	
20JUL17	S	1	PD20OCT17		2223.50	2519.05	USD	-7,388.75	

OPEN POSITION STATEMENT



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SAP0112D

13th October 2017

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backoffice@sapiencap.com

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	<u>LME LEAD 25 TONNES. THIS IS AN</u>							
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20JUL17	B	1	PD200CT17	2225.50	2519.05	USD	7,338.75	
20JUL17	B	2	PD200CT17	2225.00	2519.05	USD	14,702.50	
20JUL17	B	1	PD200CT17	2219.50	2519.05	USD	7,488.75	
20JUL17	B	1	PD200CT17	2218.00	2519.05	USD	7,526.25	
20JUL17	S	2	PD200CT17	2225.50	2519.05	USD	-14,677.50	
20JUL17	S	2	PD200CT17	2222.00	2519.05	USD	-14,852.50	
20JUL17	S	2	PD200CT17	2228.50	2519.05	USD	-14,527.50	
20JUL17	S	2	PD200CT17	2228.00	2519.05	USD	-14,552.50	
20JUL17	S	1	PD200CT17	2231.50	2519.05	USD	-7,188.75	
20JUL17	B	1	PD200CT17	2227.00	2519.05	USD	7,301.25	
20JUL17	S	5	PD200CT17	2229.00	2519.05	USD	-36,256.25	
20JUL17	S	1	PD200CT17	2228.50	2519.05	USD	-7,263.75	
20JUL17	B	1	PD200CT17	2223.50	2519.05	USD	7,388.75	
20JUL17	B	1	PD200CT17	2221.50	2519.05	USD	7,438.75	
20JUL17	B	1	PD200CT17	2221.00	2519.05	USD	7,451.25	
20JUL17	B	1	PD200CT17	2220.50	2519.05	USD	7,463.75	
20JUL17	B	1	PD200CT17	2210.00	2519.05	USD	7,726.25	
20JUL17	B	1	PD200CT17	2209.50	2519.05	USD	7,738.75	
20JUL17	B	1	PD200CT17	2208.00	2519.05	USD	7,776.25	
20JUL17	S	1	PD200CT17	2208.00	2519.05	USD	-7,776.25	
20JUL17	S	1	PD200CT17	2213.00	2519.05	USD	-7,651.25	
20JUL17	S	2	PD200CT17	2212.00	2519.05	USD	-15,352.50	
20JUL17	S	1	PD200CT17	2212.50	2519.05	USD	-7,663.75	
20JUL17	S	1	PD200CT17	2213.50	2519.05	USD	-7,638.75	
20JUL17	S	2	PD200CT17	2219.00	2519.05	USD	-15,002.50	
20JUL17	S	1	PD200CT17	2219.00	2519.05	USD	-7,501.25	
21JUL17	B	1	PD200CT17	2226.50	2519.05	USD	7,313.75	
21JUL17	B	1	PD200CT17	2225.00	2519.05	USD	7,351.25	
21JUL17	B	1	PD200CT17	2225.50	2519.05	USD	7,338.75	
21JUL17	S	2	PD200CT17	2236.00	2519.05	USD	-14,152.50	
21JUL17	S	1	PD200CT17	2237.50	2519.05	USD	-7,038.75	
21JUL17	S	1	PD200CT17	2239.00	2519.05	USD	-7,001.25	
21JUL17	S	2	PD200CT17	2238.50	2519.05	USD	-14,027.50	
21JUL17	S	1	PD200CT17	2241.00	2519.05	USD	-6,951.25	
21JUL17	S	1	PD200CT17	2241.50	2519.05	USD	-6,938.75	
21JUL17	S	2	PD200CT17	2245.50	2519.05	USD	-13,677.50	
21JUL17	S	1	PD200CT17	2246.50	2519.05	USD	-6,813.75	
21JUL17	S	3	PD200CT17	2245.00	2519.05	USD	-20,553.75	
21JUL17	S	2	PD200CT17	2236.00	2519.05	USD	-14,152.50	
21JUL17	B	1	PD200CT17	2253.50	2519.05	USD	6,638.75	
21JUL17	B	1	PD200CT17	2252.00	2519.05	USD	6,676.25	
21JUL17	S	1	PD200CT17	2249.00	2519.05	USD	-6,751.25	
21JUL17	B	1	PD200CT17	2243.00	2519.05	USD	6,901.25	
21JUL17	B	1	PD200CT17	2240.00	2519.05	USD	6,976.25	
21JUL17	B	1	PD200CT17	2237.00	2519.05	USD	7,051.25	
21JUL17	B	2	PD200CT17	2236.50	2519.05	USD	14,127.50	
21JUL17	B	2	PD200CT17	2234.50	2519.05	USD	14,227.50	
21JUL17	B	1	PD200CT17	2235.50	2519.05	USD	7,088.75	
21JUL17	B	5	PD200CT17	2235.00	2519.05	USD	35,506.25	
21JUL17	S	3	PD200CT17	2240.00	2519.05	USD	-20,928.75	
21JUL17	S	1	PD200CT17	2239.50	2519.05	USD	-6,988.75	
21JUL17	B	2	PD200CT17	2239.00	2519.05	USD	14,002.50	
21JUL17	B	1	PD200CT17	2236.00	2519.05	USD	7,076.25	
21JUL17	S	1	PD200CT17	2234.50	2519.05	USD	-7,113.75	
21JUL17	B	2	PD200CT17	2229.00	2519.05	USD	14,502.50	
21JUL17	B	1	PD200CT17	2240.50	2519.05	USD	6,963.75	
21JUL17	B	2	PD200CT17	2242.00	2519.05	USD	13,852.50	

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21JUL17	B	1	PD200CT17		2242.50	2519.05	USD	6,913.75	
21JUL17	B	2	PD200CT17		2244.50	2519.05	USD	13,727.50	
21JUL17	B	1	PD200CT17		2245.00	2519.05	USD	6,851.25	
21JUL17	B	1	PD200CT17		2246.50	2519.05	USD	6,813.75	
21JUL17	B	2	PD200CT17		2245.50	2519.05	USD	13,677.50	
24JUL17	B	9	PD200CT17		2259.70	2519.05	USD	58,353.75	
25JUL17	B	4	PD200CT17		2293.00	2519.05	USD	22,605.00	
	NET	0	AVG BGHT 2241.17	SOLD 2229.82				-16,732.50	0.00
24JUL17	B	1	PD240CT17		2260.50	2519.65	USD	6,478.75	
24JUL17	B	1	PD240CT17		2260.00	2519.65	USD	6,491.25	
24JUL17	B	1	PD240CT17		2255.00	2519.65	USD	6,616.25	
24JUL17	B	3	PD240CT17		2258.50	2519.65	USD	19,586.25	
24JUL17	B	1	PD240CT17		2252.50	2519.65	USD	6,678.75	
24JUL17	B	2	PD240CT17		2262.00	2519.65	USD	12,882.50	
24JUL17	B	4	PD240CT17		2257.50	2519.65	USD	26,215.00	
24JUL17	B	3	PD240CT17		2259.00	2519.65	USD	19,548.75	
24JUL17	B	3	PD240CT17		2259.50	2519.65	USD	19,511.25	
24JUL17	B	3	PD240CT17		2258.00	2519.65	USD	19,623.75	
24JUL17	B	1	PD240CT17		2249.00	2519.65	USD	6,766.25	
24JUL17	B	1	PD240CT17		2252.00	2519.65	USD	6,691.25	
24JUL17	S	1	PD240CT17		2255.50	2519.65	USD	-6,603.75	
24JUL17	S	2	PD240CT17		2264.50	2519.65	USD	-12,757.50	
24JUL17	S	1	PD240CT17		2262.00	2519.65	USD	-6,441.25	
24JUL17	S	2	PD240CT17		2263.00	2519.65	USD	-12,832.50	
24JUL17	S	1	PD240CT17		2263.50	2519.65	USD	-6,403.75	
24JUL17	S	2	PD240CT17		2259.50	2519.65	USD	-13,007.50	
24JUL17	S	1	PD240CT17		2260.50	2519.65	USD	-6,478.75	
24JUL17	S	2	PD240CT17		2256.50	2519.65	USD	-13,157.50	
24JUL17	S	3	PD240CT17		2249.50	2519.65	USD	-20,261.25	
24JUL17	S	1	PD240CT17		2250.50	2519.65	USD	-6,728.75	
24JUL17	S	1	PD240CT17		2251.00	2519.65	USD	-6,716.25	
24JUL17	S	1	PD240CT17		2252.00	2519.65	USD	-6,691.25	
24JUL17	S	3	PD240CT17		2256.00	2519.65	USD	-19,773.75	
24JUL17	S	3	PD240CT17		2255.00	2519.65	USD	-19,848.75	
24JUL17	S	2	PD240CT17		2252.50	2519.65	USD	-13,357.50	
24JUL17	B	1	PD240CT17		2247.50	2519.65	USD	6,803.75	
24JUL17	B	2	PD240CT17		2257.00	2519.65	USD	13,132.50	
25JUL17	S	4	PD240CT17		2293.00	2519.65	USD	-22,665.00	
26JUL17	B	3	PD240CT17		2328.00	2519.65	USD	14,373.75	
	NET	0	AVG BGHT 2264.45	SOLD 2261.35				-2,325.00	0.00
25JUL17	S	1	PD250CT17		2274.00	2519.80	USD	-6,145.00	
25JUL17	S	2	PD250CT17		2273.00	2519.80	USD	-12,340.00	
25JUL17	S	1	PD250CT17		2272.50	2519.80	USD	-6,182.50	
25JUL17	B	1	PD250CT17		2273.00	2519.80	USD	6,170.00	
25JUL17	B	2	PD250CT17		2275.00	2519.80	USD	12,240.00	
25JUL17	S	2	PD250CT17		2280.50	2519.80	USD	-11,965.00	
25JUL17	S	2	PD250CT17		2282.50	2519.80	USD	-11,865.00	
25JUL17	S	1	PD250CT17		2281.00	2519.80	USD	-5,970.00	
25JUL17	S	1	PD250CT17		2281.50	2519.80	USD	-5,957.50	
25JUL17	S	2	PD250CT17		2284.50	2519.80	USD	-11,765.00	
25JUL17	B	1	PD250CT17		2283.00	2519.80	USD	5,920.00	
25JUL17	S	3	PD250CT17		2285.00	2519.80	USD	-17,610.00	
25JUL17	S	1	PD250CT17		2285.50	2519.80	USD	-5,857.50	
25JUL17	S	2	PD250CT17		2286.00	2519.80	USD	-11,690.00	
25JUL17	S	1	PD250CT17		2286.50	2519.80	USD	-5,832.50	
25JUL17	S	1	PD250CT17		2287.00	2519.80	USD	-5,820.00	
25JUL17	S	1	PD250CT17		2287.50	2519.80	USD	-5,807.50	

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25JUL17	S	1	PD250CT17	2290.00	2519.80	USD	-5,745.00	
25JUL17	S	1	PD250CT17	2291.50	2519.80	USD	-5,707.50	
25JUL17	S	1	PD250CT17	2293.50	2519.80	USD	-5,657.50	
25JUL17	S	1	PD250CT17	2294.00	2519.80	USD	-5,645.00	
25JUL17	S	1	PD250CT17	2290.50	2519.80	USD	-5,732.50	
25JUL17	B	1	PD250CT17	2286.50	2519.80	USD	5,832.50	
25JUL17	B	1	PD250CT17	2291.00	2519.80	USD	5,720.00	
25JUL17	S	2	PD250CT17	2295.50	2519.80	USD	-11,215.00	
25JUL17	S	3	PD250CT17	2297.00	2519.80	USD	-16,710.00	
25JUL17	S	3	PD250CT17	2296.50	2519.80	USD	-16,747.50	
25JUL17	B	1	PD250CT17	2296.50	2519.80	USD	5,582.50	
25JUL17	B	1	PD250CT17	2297.00	2519.80	USD	5,570.00	
25JUL17	B	1	PD250CT17	2294.00	2519.80	USD	5,645.00	
25JUL17	B	1	PD250CT17	2295.50	2519.80	USD	5,607.50	
25JUL17	S	2	PD250CT17	2293.00	2519.80	USD	-11,340.00	
25JUL17	S	1	PD250CT17	2293.50	2519.80	USD	-5,657.50	
25JUL17	S	1	PD250CT17	2272.00	2519.80	USD	-6,195.00	
25JUL17	B	1	PD250CT17	2296.00	2519.80	USD	5,595.00	
25JUL17	S	2	PD250CT17	2297.50	2519.80	USD	-11,115.00	
25JUL17	B	3	PD250CT17	2293.50	2519.80	USD	16,972.50	
25JUL17	B	1	PD250CT17	2292.50	2519.80	USD	5,682.50	
25JUL17	B	1	PD250CT17	2293.00	2519.80	USD	5,670.00	
25JUL17	B	5	PD250CT17	2292.00	2519.80	USD	28,475.00	
25JUL17	S	1	PD250CT17	2291.00	2519.80	USD	-5,720.00	
25JUL17	B	2	PD250CT17	2298.00	2519.80	USD	11,090.00	
25JUL17	B	2	PD250CT17	2301.00	2519.80	USD	10,940.00	
25JUL17	B	1	PD250CT17	2308.50	2519.80	USD	5,282.50	
25JUL17	B	1	PD250CT17	2307.00	2519.80	USD	5,320.00	
25JUL17	B	9	PD250CT17	2302.00	2519.80	USD	49,005.00	
25JUL17	B	1	PD250CT17	2303.50	2519.80	USD	5,407.50	
25JUL17	B	1	PD250CT17	2305.00	2519.80	USD	5,370.00	
25JUL17	B	1	PD250CT17	2296.00	2519.80	USD	5,595.00	
25JUL17	B	1	PD250CT17	2302.00	2519.80	USD	5,445.00	
25JUL17	S	1	PD250CT17	2300.00	2519.80	USD	-5,495.00	
25JUL17	S	1	PD250CT17	2311.50	2519.80	USD	-5,207.50	
25JUL17	S	1	PD250CT17	2313.00	2519.80	USD	-5,170.00	
25JUL17	S	1	PD250CT17	2316.00	2519.80	USD	-5,095.00	
25JUL17	S	3	PD250CT17	2317.50	2519.80	USD	-15,172.50	
25JUL17	B	1	PD250CT17	2311.50	2519.80	USD	5,207.50	
25JUL17	B	1	PD250CT17	2313.50	2519.80	USD	5,157.50	
25JUL17	B	1	PD250CT17	2312.50	2519.80	USD	5,182.50	
25JUL17	B	5	PD250CT17	2314.00	2519.80	USD	25,725.00	
25JUL17	B	1	PD250CT17	2313.00	2519.80	USD	5,170.00	
25JUL17	B	1	PD250CT17	2315.00	2519.80	USD	5,120.00	
25JUL17	B	1	PD250CT17	2315.50	2519.80	USD	5,107.50	
25JUL17	B	1	PD250CT17	2313.50	2519.80	USD	5,157.50	
25JUL17	B	1	PD250CT17	2322.50	2519.80	USD	4,932.50	
26JUL17	S	3	PD250CT17	2327.92	2519.80	USD	-14,391.00	
01AUG17	S	2	PD250CT17	2337.25	2519.80	USD	-9,127.50	
	NET	0 AVG BGHT 2300.25	SOLD 2295.16				-6,756.00	0.00
26JUL17	B	1	PD260CT17	2329.50	2519.95	USD	4,761.25	
26JUL17	B	1	PD260CT17	2330.00	2519.95	USD	4,748.75	
26JUL17	B	3	PD260CT17	2332.50	2519.95	USD	14,058.75	
26JUL17	B	3	PD260CT17	2333.00	2519.95	USD	14,021.25	
26JUL17	B	1	PD260CT17	2337.50	2519.95	USD	4,561.25	
26JUL17	S	2	PD260CT17	2345.00	2519.95	USD	-8,747.50	
26JUL17	B	1	PD260CT17	2340.00	2519.95	USD	4,498.75	

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26JUL17	B	1	PD260CT17	2339.50	2519.95	USD	4,511.25	
26JUL17	B	2	PD260CT17	2325.00	2519.95	USD	9,747.50	
26JUL17	B	1	PD260CT17	2323.50	2519.95	USD	4,911.25	
26JUL17	S	1	PD260CT17	2327.50	2519.95	USD	-4,811.25	
26JUL17	S	1	PD260CT17	2328.00	2519.95	USD	-4,798.75	
26JUL17	S	1	PD260CT17	2329.00	2519.95	USD	-4,773.75	
26JUL17	B	1	PD260CT17	2321.50	2519.95	USD	4,961.25	
26JUL17	S	2	PD260CT17	2318.00	2519.95	USD	-10,097.50	
26JUL17	S	1	PD260CT17	2315.50	2519.95	USD	-5,111.25	
26JUL17	S	1	PD260CT17	2316.00	2519.95	USD	-5,098.75	
26JUL17	S	2	PD260CT17	2317.00	2519.95	USD	-10,147.50	
26JUL17	S	1	PD260CT17	2317.50	2519.95	USD	-5,061.25	
26JUL17	S	2	PD260CT17	2318.50	2519.95	USD	-10,072.50	
26JUL17	S	3	PD260CT17	2313.50	2519.95	USD	-15,483.75	
26JUL17	S	1	PD260CT17	2314.00	2519.95	USD	-5,148.75	
26JUL17	S	2	PD260CT17	2316.50	2519.95	USD	-10,172.50	
26JUL17	S	1	PD260CT17	2321.50	2519.95	USD	-4,961.25	
26JUL17	S	1	PD260CT17	2322.50	2519.95	USD	-4,936.25	
26JUL17	B	1	PD260CT17	2319.50	2519.95	USD	5,011.25	
26JUL17	S	1	PD260CT17	2320.00	2519.95	USD	-4,998.75	
26JUL17	B	2	PD260CT17	2314.50	2519.95	USD	10,272.50	
26JUL17	S	1	PD260CT17	2319.50	2519.95	USD	-5,011.25	
26JUL17	B	1	PD260CT17	2318.50	2519.95	USD	5,036.25	
26JUL17	S	1	PD260CT17	2312.50	2519.95	USD	-5,186.25	
26JUL17	B	1	PD260CT17	2313.00	2519.95	USD	5,173.75	
26JUL17	B	2	PD260CT17	2312.50	2519.95	USD	10,372.50	
26JUL17	B	4	PD260CT17	2312.00	2519.95	USD	20,795.00	
26JUL17	S	1	PD260CT17	2319.00	2519.95	USD	-5,023.75	
26JUL17	B	1	PD260CT17	2311.00	2519.95	USD	5,223.75	
26JUL17	B	1	PD260CT17	2305.50	2519.95	USD	5,361.25	
26JUL17	B	2	PD260CT17	2305.00	2519.95	USD	10,747.50	
26JUL17	S	1	PD260CT17	2336.00	2519.95	USD	-4,598.75	
26JUL17	S	2	PD260CT17	2332.00	2519.95	USD	-9,397.50	
26JUL17	S	1	PD260CT17	2332.50	2519.95	USD	-4,686.25	
26JUL17	S	2	PD260CT17	2335.00	2519.95	USD	-9,247.50	
26JUL17	S	2	PD260CT17	2335.50	2519.95	USD	-9,222.50	
26JUL17	B	5	PD260CT17	2332.00	2519.95	USD	23,493.75	
26JUL17	B	1	PD260CT17	2320.00	2519.95	USD	4,998.75	
26JUL17	B	1	PD260CT17	2321.00	2519.95	USD	4,973.75	
26JUL17	B	3	PD260CT17	2315.00	2519.95	USD	15,371.25	
26JUL17	B	1	PD260CT17	2308.50	2519.95	USD	5,286.25	
26JUL17	B	1	PD260CT17	2311.00	2519.95	USD	5,223.75	
26JUL17	S	1	PD260CT17	2311.00	2519.95	USD	-5,223.75	
26JUL17	B	2	PD260CT17	2310.50	2519.95	USD	10,472.50	
26JUL17	B	1	PD260CT17	2310.00	2519.95	USD	5,248.75	
26JUL17	B	1	PD260CT17	2307.50	2519.95	USD	5,311.25	
01AUG17	S	11	PD260CT17	2337.36	2519.95	USD	-50,212.25	
	NET	0AVG BGHT 2320.68	SOLD 2326.71				6,924.00	0.00
27JUL17	S	2	PD270CT17	2325.50	2520.10	USD	-9,730.00	
27JUL17	S	4	PD270CT17	2326.50	2520.10	USD	-19,360.00	
27JUL17	S	6	PD270CT17	2326.00	2520.10	USD	-29,115.00	
27JUL17	S	1	PD270CT17	2330.00	2520.10	USD	-4,752.50	
27JUL17	B	3	PD270CT17	2330.50	2520.10	USD	14,220.00	
27JUL17	B	1	PD270CT17	2331.50	2520.10	USD	4,715.00	
27JUL17	B	2	PD270CT17	2331.00	2520.10	USD	9,455.00	
27JUL17	S	1	PD270CT17	2332.00	2520.10	USD	-4,702.50	
27JUL17	S	1	PD270CT17	2332.50	2520.10	USD	-4,690.00	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0112D

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME LEAD 25 TONNES. THIS IS AN</u>							
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27JUL17	S	1	PD27OCT17	2331.50	2520.10	USD	-4,715.00	
27JUL17	S	1	PD27OCT17	2328.50	2520.10	USD	-4,790.00	
27JUL17	S	1	PD27OCT17	2333.00	2520.10	USD	-4,677.50	
27JUL17	S	2	PD27OCT17	2333.50	2520.10	USD	-9,330.00	
27JUL17	B	1	PD27OCT17	2325.50	2520.10	USD	4,865.00	
27JUL17	B	1	PD27OCT17	2324.00	2520.10	USD	4,902.50	
27JUL17	B	1	PD27OCT17	2320.00	2520.10	USD	5,002.50	
27JUL17	B	1	PD27OCT17	2321.50	2520.10	USD	4,965.00	
27JUL17	S	1	PD27OCT17	2318.00	2520.10	USD	-5,052.50	
27JUL17	S	1	PD27OCT17	2325.00	2520.10	USD	-4,877.50	
27JUL17	S	1	PD27OCT17	2321.50	2520.10	USD	-4,965.00	
27JUL17	S	1	PD27OCT17	2313.50	2520.10	USD	-5,165.00	
27JUL17	B	1	PD27OCT17	2299.50	2520.10	USD	5,515.00	
27JUL17	S	1	PD27OCT17	2313.00	2520.10	USD	-5,177.50	
27JUL17	S	1	PD27OCT17	2305.50	2520.10	USD	-5,365.00	
27JUL17	B	1	PD27OCT17	2303.00	2520.10	USD	5,427.50	
27JUL17	B	1	PD27OCT17	2303.50	2520.10	USD	5,415.00	
28JUL17	S	3	PD27OCT17	2295.00	2520.10	USD	-16,882.50	
28JUL17	B	1	PD27OCT17	2297.50	2520.10	USD	5,565.00	
28JUL17	B	3	PD27OCT17	2293.50	2520.10	USD	16,995.00	
28JUL17	S	1	PD27OCT17	2299.00	2520.10	USD	-5,527.50	
28JUL17	S	1	PD27OCT17	2297.00	2520.10	USD	-5,577.50	
28JUL17	S	1	PD27OCT17	2301.00	2520.10	USD	-5,477.50	
28JUL17	S	1	PD27OCT17	2302.50	2520.10	USD	-5,440.00	
28JUL17	S	1	PD27OCT17	2303.50	2520.10	USD	-5,415.00	
28JUL17	S	2	PD27OCT17	2304.50	2520.10	USD	-10,780.00	
28JUL17	B	1	PD27OCT17	2296.00	2520.10	USD	5,602.50	
28JUL17	S	1	PD27OCT17	2298.00	2520.10	USD	-5,552.50	
28JUL17	B	1	PD27OCT17	2293.00	2520.10	USD	5,677.50	
28JUL17	B	1	PD27OCT17	2292.00	2520.10	USD	5,702.50	
28JUL17	B	1	PD27OCT17	2291.00	2520.10	USD	5,727.50	
28JUL17	S	1	PD27OCT17	2302.00	2520.10	USD	-5,452.50	
28JUL17	S	1	PD27OCT17	2303.00	2520.10	USD	-5,427.50	
28JUL17	S	1	PD27OCT17	2296.00	2520.10	USD	-5,602.50	
28JUL17	B	1	PD27OCT17	2291.00	2520.10	USD	5,727.50	
28JUL17	B	1	PD27OCT17	2292.00	2520.10	USD	5,702.50	
28JUL17	S	1	PD27OCT17	2326.50	2520.10	USD	-4,840.00	
28JUL17	S	1	PD27OCT17	2327.50	2520.10	USD	-4,815.00	
28JUL17	B	1	PD27OCT17	2321.50	2520.10	USD	4,965.00	
28JUL17	S	1	PD27OCT17	2316.00	2520.10	USD	-5,102.50	
28JUL17	B	1	PD27OCT17	2317.00	2520.10	USD	5,077.50	
28JUL17	B	1	PD27OCT17	2316.00	2520.10	USD	5,102.50	
28JUL17	B	2	PD27OCT17	2315.00	2520.10	USD	10,255.00	
28JUL17	B	1	PD27OCT17	2314.00	2520.10	USD	5,152.50	
28JUL17	S	1	PD27OCT17	2322.50	2520.10	USD	-4,940.00	
28JUL17	B	2	PD27OCT17	2328.00	2520.10	USD	9,605.00	
01AUG17	B	13	PD27OCT17	2337.66	2520.10	USD	59,293.00	
	NET	0AVG BGHT 2319.52	SOLD 2317.10				-2,664.50	0.00
31JUL17	S	1	PD31OCT17	2340.00	2520.70	USD	-4,517.50	
31JUL17	S	1	PD31OCT17	2330.50	2520.70	USD	-4,755.00	
31JUL17	B	1	PD31OCT17	2326.00	2520.70	USD	4,867.50	
31JUL17	B	1	PD31OCT17	2324.00	2520.70	USD	4,917.50	
31JUL17	S	1	PD31OCT17	2332.50	2520.70	USD	-4,705.00	
31JUL17	S	1	PD31OCT17	2326.00	2520.70	USD	-4,867.50	
31JUL17	S	1	PD31OCT17	2324.00	2520.70	USD	-4,917.50	
31JUL17	S	2	PD31OCT17	2323.50	2520.70	USD	-9,860.00	
31JUL17	S	1	PD31OCT17	2321.00	2520.70	USD	-4,992.50	

OPEN POSITION STATEMENT



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SAP0112D

13th October 2017

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME LEAD 25 TONNES. THIS IS AN</u>							
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31JUL17	S	1	PD31OCT17	2321.50	2520.70	USD	-4,980.00	
31JUL17	S	1	PD31OCT17	2325.00	2520.70	USD	-4,892.50	
31JUL17	S	1	PD31OCT17	2331.50	2520.70	USD	-4,730.00	
31JUL17	S	1	PD31OCT17	2336.50	2520.70	USD	-4,605.00	
31JUL17	S	1	PD31OCT17	2343.50	2520.70	USD	-4,430.00	
31JUL17	B	1	PD31OCT17	2331.50	2520.70	USD	4,730.00	
31JUL17	B	1	PD31OCT17	2331.00	2520.70	USD	4,742.50	
31JUL17	B	3	PD31OCT17	2330.00	2520.70	USD	14,302.50	
31JUL17	S	1	PD31OCT17	2336.00	2520.70	USD	-4,617.50	
31JUL17	S	2	PD31OCT17	2329.50	2520.70	USD	-9,560.00	
31JUL17	S	2	PD31OCT17	2330.00	2520.70	USD	-9,535.00	
31JUL17	S	4	PD31OCT17	2329.00	2520.70	USD	-19,170.00	
03AUG17	B	15	PD31OCT17	2361.00	2520.70	USD	59,887.50	
	NET	0 AVG	BGHT 2350.80		SOLD 2329.55		-11,687.50	0.00
01AUG17	B	1	PD01NOV17	2314.00	2520.85	USD	5,171.25	
01AUG17	B	5	PD01NOV17	2314.50	2520.85	USD	25,793.75	
01AUG17	B	2	PD01NOV17	2313.00	2520.85	USD	10,392.50	
01AUG17	B	1	PD01NOV17	2312.50	2520.85	USD	5,208.75	
01AUG17	B	1	PD01NOV17	2309.50	2520.85	USD	5,283.75	
01AUG17	B	2	PD01NOV17	2305.00	2520.85	USD	10,792.50	
01AUG17	B	2	PD01NOV17	2304.00	2520.85	USD	10,842.50	
01AUG17	B	1	PD01NOV17	2303.50	2520.85	USD	5,433.75	
01AUG17	S	1	PD01NOV17	2311.00	2520.85	USD	-5,246.25	
01AUG17	S	1	PD01NOV17	2312.50	2520.85	USD	-5,208.75	
01AUG17	S	1	PD01NOV17	2317.50	2520.85	USD	-5,083.75	
01AUG17	S	1	PD01NOV17	2318.50	2520.85	USD	-5,058.75	
01AUG17	S	1	PD01NOV17	2320.00	2520.85	USD	-5,021.25	
01AUG17	S	1	PD01NOV17	2321.50	2520.85	USD	-4,983.75	
01AUG17	S	1	PD01NOV17	2322.50	2520.85	USD	-4,958.75	
01AUG17	S	2	PD01NOV17	2310.00	2520.85	USD	-10,542.50	
01AUG17	B	1	PD01NOV17	2315.00	2520.85	USD	5,146.25	
01AUG17	S	2	PD01NOV17	2320.50	2520.85	USD	-10,017.50	
01AUG17	S	1	PD01NOV17	2321.00	2520.85	USD	-4,996.25	
01AUG17	S	1	PD01NOV17	2323.00	2520.85	USD	-4,946.25	
01AUG17	B	1	PD01NOV17	2322.50	2520.85	USD	4,958.75	
01AUG17	B	1	PD01NOV17	2327.00	2520.85	USD	4,846.25	
01AUG17	S	1	PD01NOV17	2331.00	2520.85	USD	-4,746.25	
01AUG17	S	1	PD01NOV17	2332.00	2520.85	USD	-4,721.25	
01AUG17	S	1	PD01NOV17	2334.50	2520.85	USD	-4,658.75	
01AUG17	S	2	PD01NOV17	2337.50	2520.85	USD	-9,167.50	
01AUG17	B	3	PD01NOV17	2338.00	2520.85	USD	13,713.75	
01AUG17	B	1	PD01NOV17	2338.50	2520.85	USD	4,558.75	
01AUG17	B	2	PD01NOV17	2334.00	2520.85	USD	9,342.50	
01AUG17	B	4	PD01NOV17	2335.00	2520.85	USD	18,585.00	
01AUG17	B	2	PD01NOV17	2334.50	2520.85	USD	9,317.50	
01AUG17	B	3	PD01NOV17	2336.00	2520.85	USD	13,863.75	
03AUG17	S	15	PD01NOV17	2360.63	2520.85	USD	-60,082.50	
	NET	0 AVG	BGHT 2322.97		SOLD 2339.71		13,811.25	0.00
02AUG17	S	1	PD02NOV17	2331.50	2521.00	USD	-4,737.50	
02AUG17	S	3	PD02NOV17	2338.50	2521.00	USD	-13,687.50	
02AUG17	B	1	PD02NOV17	2339.50	2521.00	USD	4,537.50	
02AUG17	B	2	PD02NOV17	2339.00	2521.00	USD	9,100.00	
02AUG17	B	1	PD02NOV17	2338.00	2521.00	USD	4,575.00	
02AUG17	S	2	PD02NOV17	2334.50	2521.00	USD	-9,325.00	
02AUG17	S	2	PD02NOV17	2341.50	2521.00	USD	-8,975.00	
02AUG17	B	1	PD02NOV17	2341.50	2521.00	USD	4,487.50	
02AUG17	B	2	PD02NOV17	2349.50	2521.00	USD	8,575.00	

OPEN POSITION STATEMENT



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	<u>LME LEAD 25 TONNES. THIS IS AN</u>								
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02AUG17	S	1	PD02NOV17		2348.50	2521.00	USD	-4,312.50	
02AUG17	S	1	PD02NOV17		2349.50	2521.00	USD	-4,287.50	
02AUG17	S	2	PD02NOV17		2350.50	2521.00	USD	-8,525.00	
02AUG17	S	2	PD02NOV17		2355.00	2521.00	USD	-8,300.00	
02AUG17	S	2	PD02NOV17		2360.50	2521.00	USD	-8,025.00	
02AUG17	S	1	PD02NOV17		2361.00	2521.00	USD	-4,000.00	
02AUG17	S	1	PD02NOV17		2362.50	2521.00	USD	-3,962.50	
02AUG17	B	1	PD02NOV17		2346.00	2521.00	USD	4,375.00	
02AUG17	B	1	PD02NOV17		2345.50	2521.00	USD	4,387.50	
02AUG17	B	1	PD02NOV17		2348.50	2521.00	USD	4,312.50	
02AUG17	B	1	PD02NOV17		2348.00	2521.00	USD	4,325.00	
02AUG17	S	1	PD02NOV17		2363.50	2521.00	USD	-3,937.50	
02AUG17	S	2	PD02NOV17		2350.00	2521.00	USD	-8,550.00	
02AUG17	B	2	PD02NOV17		2352.50	2521.00	USD	8,425.00	
02AUG17	B	1	PD02NOV17		2354.50	2521.00	USD	4,162.50	
02AUG17	B	1	PD02NOV17		2354.00	2521.00	USD	4,175.00	
02AUG17	S	1	PD02NOV17		2362.00	2521.00	USD	-3,975.00	
02AUG17	B	2	PD02NOV17		2356.00	2521.00	USD	8,250.00	
02AUG17	B	2	PD02NOV17		2353.50	2521.00	USD	8,375.00	
02AUG17	B	1	PD02NOV17		2360.50	2521.00	USD	4,012.50	
07AUG17	B	1	PD02NOV17		2360.13	2521.00	USD	4,021.75	
10AUG17	B	1	PD02NOV17		2364.26	2521.00	USD	3,918.50	
	NET	0 AVG BGHT 2350.06	SOLD 2349.00					-584.75	0.00
03AUG17	S	1	PD03NOV17		2376.00	2521.13	USD	-3,628.25	
03AUG17	B	4	PD03NOV17		2373.50	2521.13	USD	14,763.00	
03AUG17	B	1	PD03NOV17		2372.50	2521.13	USD	3,715.75	
03AUG17	B	1	PD03NOV17		2371.50	2521.13	USD	3,740.75	
03AUG17	B	1	PD03NOV17		2370.50	2521.13	USD	3,765.75	
03AUG17	B	1	PD03NOV17		2366.00	2521.13	USD	3,878.25	
03AUG17	B	1	PD03NOV17		2365.50	2521.13	USD	3,890.75	
03AUG17	S	3	PD03NOV17		2369.00	2521.13	USD	-11,409.75	
03AUG17	S	1	PD03NOV17		2369.50	2521.13	USD	-3,790.75	
03AUG17	B	1	PD03NOV17		2361.50	2521.13	USD	3,990.75	
03AUG17	S	1	PD03NOV17		2363.00	2521.13	USD	-3,953.25	
03AUG17	B	1	PD03NOV17		2358.00	2521.13	USD	4,078.25	
03AUG17	B	2	PD03NOV17		2356.50	2521.13	USD	8,231.50	
03AUG17	S	1	PD03NOV17		2362.00	2521.13	USD	-3,978.25	
03AUG17	S	2	PD03NOV17		2364.00	2521.13	USD	-7,856.50	
03AUG17	B	1	PD03NOV17		2365.00	2521.13	USD	3,903.25	
03AUG17	S	7	PD03NOV17		2368.50	2521.13	USD	-26,710.25	
03AUG17	B	1	PD03NOV17		2367.00	2521.13	USD	3,853.25	
03AUG17	B	1	PD03NOV17		2364.50	2521.13	USD	3,915.75	
03AUG17	B	1	PD03NOV17		2364.00	2521.13	USD	3,928.25	
03AUG17	B	1	PD03NOV17		2361.00	2521.13	USD	4,003.25	
03AUG17	B	3	PD03NOV17		2364.00	2521.13	USD	11,784.75	
03AUG17	B	1	PD03NOV17		2363.50	2521.13	USD	3,940.75	
03AUG17	B	4	PD03NOV17		2360.50	2521.13	USD	16,063.00	
03AUG17	S	1	PD03NOV17		2361.00	2521.13	USD	-4,003.25	
03AUG17	B	1	PD03NOV17		2349.00	2521.13	USD	4,303.25	
03AUG17	B	1	PD03NOV17		2347.00	2521.13	USD	4,353.25	
03AUG17	S	1	PD03NOV17		2353.50	2521.13	USD	-4,190.75	
03AUG17	S	2	PD03NOV17		2356.00	2521.13	USD	-8,256.50	
03AUG17	B	1	PD03NOV17		2356.00	2521.13	USD	4,128.25	
03AUG17	S	4	PD03NOV17		2365.50	2521.13	USD	-15,563.00	
03AUG17	S	4	PD03NOV17		2364.50	2521.13	USD	-15,663.00	
03AUG17	S	4	PD03NOV17		2365.00	2521.13	USD	-15,613.00	
03AUG17	B	1	PD03NOV17		2363.00	2521.13	USD	3,953.25	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0112D

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME LEAD 25 TONNES. THIS IS AN</u>							
	<u>LME REGISTERED CLIENT CONTRACT</u>							
03AUG17	B	1	PD03NOV17	2363.50	2521.13	USD	3,940.75	
04AUG17	B	2	PD03NOV17	2369.50	2521.13	USD	7,581.50	
04AUG17	B	1	PD03NOV17	2368.00	2521.13	USD	3,828.25	
04AUG17	B	1	PD03NOV17	2366.00	2521.13	USD	3,878.25	
04AUG17	S	2	PD03NOV17	2374.00	2521.13	USD	-7,356.50	
04AUG17	S	2	PD03NOV17	2375.00	2521.13	USD	-7,306.50	
04AUG17	S	1	PD03NOV17	2373.50	2521.13	USD	-3,690.75	
04AUG17	S	1	PD03NOV17	2374.50	2521.13	USD	-3,665.75	
04AUG17	S	2	PD03NOV17	2371.50	2521.13	USD	-7,481.50	
04AUG17	S	2	PD03NOV17	2369.50	2521.13	USD	-7,581.50	
04AUG17	S	2	PD03NOV17	2372.50	2521.13	USD	-7,431.50	
04AUG17	S	1	PD03NOV17	2373.00	2521.13	USD	-3,703.25	
04AUG17	S	4	PD03NOV17	2372.00	2521.13	USD	-14,913.00	
04AUG17	B	1	PD03NOV17	2365.50	2521.13	USD	3,890.75	
04AUG17	B	1	PD03NOV17	2367.50	2521.13	USD	3,840.75	
04AUG17	S	1	PD03NOV17	2367.50	2521.13	USD	-3,840.75	
04AUG17	B	5	PD03NOV17	2366.50	2521.13	USD	19,328.75	
04AUG17	B	1	PD03NOV17	2363.00	2521.13	USD	3,953.25	
04AUG17	S	1	PD03NOV17	2369.00	2521.13	USD	-3,803.25	
04AUG17	S	4	PD03NOV17	2371.00	2521.13	USD	-15,013.00	
04AUG17	B	1	PD03NOV17	2357.00	2521.13	USD	4,103.25	
04AUG17	B	2	PD03NOV17	2356.50	2521.13	USD	8,231.50	
04AUG17	B	1	PD03NOV17	2356.00	2521.13	USD	4,128.25	
04AUG17	B	2	PD03NOV17	2355.50	2521.13	USD	8,281.50	
04AUG17	B	3	PD03NOV17	2355.00	2521.13	USD	12,459.75	
04AUG17	B	3	PD03NOV17	2351.00	2521.13	USD	12,759.75	
04AUG17	B	1	PD03NOV17	2350.00	2521.13	USD	4,278.25	
04AUG17	B	2	PD03NOV17	2352.50	2521.13	USD	8,431.50	
04AUG17	S	1	PD03NOV17	2360.00	2521.13	USD	-4,028.25	
04AUG17	S	1	PD03NOV17	2361.50	2521.13	USD	-3,990.75	
04AUG17	S	1	PD03NOV17	2358.50	2521.13	USD	-4,065.75	
04AUG17	S	3	PD03NOV17	2361.00	2521.13	USD	-12,009.75	
04AUG17	S	2	PD03NOV17	2362.50	2521.13	USD	-7,931.50	
04AUG17	S	1	PD03NOV17	2363.50	2521.13	USD	-3,940.75	
04AUG17	S	1	PD03NOV17	2367.00	2521.13	USD	-3,853.25	
04AUG17	S	1	PD03NOV17	2368.00	2521.13	USD	-3,828.25	
04AUG17	B	1	PD03NOV17	2360.50	2521.13	USD	4,015.75	
04AUG17	B	2	PD03NOV17	2359.50	2521.13	USD	8,081.50	
04AUG17	B	2	PD03NOV17	2358.50	2521.13	USD	8,131.50	
04AUG17	B	1	PD03NOV17	2357.50	2521.13	USD	4,090.75	
04AUG17	B	3	PD03NOV17	2353.50	2521.13	USD	12,572.25	
04AUG17	B	1	PD03NOV17	2354.00	2521.13	USD	4,178.25	
04AUG17	S	1	PD03NOV17	2359.00	2521.13	USD	-4,053.25	
07AUG17	S	1	PD03NOV17	2360.11	2521.13	USD	-4,025.50	
	NET	0AVG	BGHT 2361.03	SOLD 2366.94			10,040.25	0.00
07AUG17	S	1	PD07NOV17	2358.00	2521.67	USD	-4,091.75	
07AUG17	S	1	PD07NOV17	2358.50	2521.67	USD	-4,079.25	
07AUG17	S	1	PD07NOV17	2355.00	2521.67	USD	-4,166.75	
07AUG17	S	1	PD07NOV17	2355.50	2521.67	USD	-4,154.25	
07AUG17	S	2	PD07NOV17	2354.50	2521.67	USD	-8,358.50	
07AUG17	S	1	PD07NOV17	2360.00	2521.67	USD	-4,041.75	
07AUG17	S	1	PD07NOV17	2360.50	2521.67	USD	-4,029.25	
07AUG17	S	1	PD07NOV17	2359.50	2521.67	USD	-4,054.25	
07AUG17	S	1	PD07NOV17	2357.50	2521.67	USD	-4,104.25	
07AUG17	S	1	PD07NOV17	2361.50	2521.67	USD	-4,004.25	
07AUG17	S	1	PD07NOV17	2366.50	2521.67	USD	-3,879.25	
07AUG17	S	1	PD07NOV17	2369.00	2521.67	USD	-3,816.75	

OPEN POSITION STATEMENT



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	<u>LME LEAD 25 TONNES. THIS IS AN</u>							
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07AUG17	S	3	PD07NOV17	2369.50	2521.67	USD	-11,412.75	
07AUG17	S	6	PD07NOV17	2370.00	2521.67	USD	-22,750.50	
07AUG17	S	1	PD07NOV17	2371.50	2521.67	USD	-3,754.25	
07AUG17	S	2	PD07NOV17	2372.50	2521.67	USD	-7,458.50	
07AUG17	S	1	PD07NOV17	2377.00	2521.67	USD	-3,616.75	
07AUG17	B	1	PD07NOV17	2372.50	2521.67	USD	3,729.25	
07AUG17	S	2	PD07NOV17	2377.50	2521.67	USD	-7,208.50	
07AUG17	B	2	PD07NOV17	2377.50	2521.67	USD	7,208.50	
07AUG17	B	1	PD07NOV17	2388.50	2521.67	USD	3,329.25	
07AUG17	B	1	PD07NOV17	2383.50	2521.67	USD	3,454.25	
07AUG17	B	2	PD07NOV17	2389.00	2521.67	USD	6,633.50	
07AUG17	B	2	PD07NOV17	2388.00	2521.67	USD	6,683.50	
07AUG17	B	2	PD07NOV17	2384.00	2521.67	USD	6,883.50	
07AUG17	B	1	PD07NOV17	2385.50	2521.67	USD	3,404.25	
07AUG17	B	1	PD07NOV17	2386.00	2521.67	USD	3,391.75	
07AUG17	B	6	PD07NOV17	2387.50	2521.67	USD	20,125.50	
07AUG17	B	4	PD07NOV17	2387.00	2521.67	USD	13,467.00	
07AUG17	B	1	PD07NOV17	2386.50	2521.67	USD	3,379.25	
07AUG17	B	2	PD07NOV17	2385.00	2521.67	USD	6,833.50	
07AUG17	B	1	PD07NOV17	2380.50	2521.67	USD	3,529.25	
07AUG17	B	1	PD07NOV17	2378.00	2521.67	USD	3,591.75	
07AUG17	B	1	PD07NOV17	2377.00	2521.67	USD	3,616.75	
07AUG17	S	1	PD07NOV17	2378.00	2521.67	USD	-3,591.75	
07AUG17	B	1	PD07NOV17	2381.50	2521.67	USD	3,504.25	
07AUG17	B	1	PD07NOV17	2382.50	2521.67	USD	3,479.25	
07AUG17	B	2	PD07NOV17	2378.50	2521.67	USD	7,158.50	
07AUG17	S	1	PD07NOV17	2386.50	2521.67	USD	-3,379.25	
07AUG17	B	2	PD07NOV17	2376.00	2521.67	USD	7,283.50	
07AUG17	B	1	PD07NOV17	2374.00	2521.67	USD	3,691.75	
07AUG17	S	2	PD07NOV17	2385.50	2521.67	USD	-6,808.50	
07AUG17	S	1	PD07NOV17	2384.50	2521.67	USD	-3,429.25	
07AUG17	S	2	PD07NOV17	2388.00	2521.67	USD	-6,683.50	
07AUG17	B	1	PD07NOV17	2367.00	2521.67	USD	3,866.75	
07AUG17	B	1	PD07NOV17	2364.00	2521.67	USD	3,941.75	
07AUG17	B	3	PD07NOV17	2362.50	2521.67	USD	11,937.75	
07AUG17	B	2	PD07NOV17	2362.00	2521.67	USD	7,983.50	
07AUG17	B	1	PD07NOV17	2361.50	2521.67	USD	4,004.25	
07AUG17	S	1	PD07NOV17	2363.00	2521.67	USD	-3,966.75	
07AUG17	S	2	PD07NOV17	2364.50	2521.67	USD	-7,858.50	
07AUG17	S	2	PD07NOV17	2365.00	2521.67	USD	-7,833.50	
07AUG17	S	2	PD07NOV17	2368.00	2521.67	USD	-7,683.50	
07AUG17	S	1	PD07NOV17	2368.50	2521.67	USD	-3,829.25	
07AUG17	S	1	PD07NOV17	2371.00	2521.67	USD	-3,766.75	
07AUG17	B	1	PD07NOV17	2368.50	2521.67	USD	3,829.25	
10AUG17	S	1	PD07NOV17	2365.16	2521.67	USD	-3,912.75	
	NET	0AVG BGHT 2379.50	SOLD 2369.03				-11,783.50	0.00
08AUG17	S	1	PD08NOV17	2366.50	2521.81	USD	-3,882.75	
08AUG17	S	1	PD08NOV17	2365.50	2521.81	USD	-3,907.75	
08AUG17	S	3	PD08NOV17	2366.00	2521.81	USD	-11,685.75	
08AUG17	B	1	PD08NOV17	2373.00	2521.81	USD	3,720.25	
08AUG17	B	1	PD08NOV17	2375.00	2521.81	USD	3,670.25	
08AUG17	B	1	PD08NOV17	2363.00	2521.81	USD	3,970.25	
08AUG17	S	1	PD08NOV17	2374.00	2521.81	USD	-3,695.25	
08AUG17	S	2	PD08NOV17	2370.50	2521.81	USD	-7,565.50	
08AUG17	S	1	PD08NOV17	2368.00	2521.81	USD	-3,845.25	
08AUG17	B	1	PD08NOV17	2368.50	2521.81	USD	3,832.75	
08AUG17	B	2	PD08NOV17	2368.00	2521.81	USD	7,690.50	

OPEN POSITION STATEMENT



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08AUG17	B	2	PD08NOV17	2367.50	2521.81	USD	7,715.50	
08AUG17	B	1	PD08NOV17	2365.50	2521.81	USD	3,907.75	
08AUG17	B	4	PD08NOV17	2369.00	2521.81	USD	15,281.00	
08AUG17	B	1	PD08NOV17	2369.50	2521.81	USD	3,807.75	
08AUG17	S	1	PD08NOV17	2366.50	2521.81	USD	-3,882.75	
08AUG17	B	1	PD08NOV17	2357.50	2521.81	USD	4,107.75	
08AUG17	B	1	PD08NOV17	2355.50	2521.81	USD	4,157.75	
08AUG17	B	1	PD08NOV17	2356.00	2521.81	USD	4,145.25	
08AUG17	B	2	PD08NOV17	2354.50	2521.81	USD	8,365.50	
08AUG17	B	1	PD08NOV17	2353.00	2521.81	USD	4,220.25	
08AUG17	S	2	PD08NOV17	2348.00	2521.81	USD	-8,690.50	
08AUG17	B	2	PD08NOV17	2349.00	2521.81	USD	8,640.50	
08AUG17	B	2	PD08NOV17	2350.50	2521.81	USD	8,565.50	
08AUG17	S	2	PD08NOV17	2347.00	2521.81	USD	-8,740.50	
08AUG17	S	1	PD08NOV17	2346.50	2521.81	USD	-4,382.75	
08AUG17	S	1	PD08NOV17	2349.50	2521.81	USD	-4,307.75	
08AUG17	S	1	PD08NOV17	2350.50	2521.81	USD	-4,282.75	
08AUG17	B	1	PD08NOV17	2353.50	2521.81	USD	4,207.75	
08AUG17	S	1	PD08NOV17	2357.50	2521.81	USD	-4,107.75	
08AUG17	S	1	PD08NOV17	2362.50	2521.81	USD	-3,982.75	
08AUG17	S	1	PD08NOV17	2364.50	2521.81	USD	-3,932.75	
08AUG17	S	2	PD08NOV17	2377.00	2521.81	USD	-7,240.50	
08AUG17	B	1	PD08NOV17	2378.00	2521.81	USD	3,595.25	
08AUG17	S	1	PD08NOV17	2372.50	2521.81	USD	-3,732.75	
08AUG17	S	1	PD08NOV17	2373.00	2521.81	USD	-3,720.25	
08AUG17	S	1	PD08NOV17	2376.50	2521.81	USD	-3,632.75	
08AUG17	B	1	PD08NOV17	2379.00	2521.81	USD	3,570.25	
08AUG17	S	1	PD08NOV17	2384.00	2521.81	USD	-3,445.25	
08AUG17	S	1	PD08NOV17	2388.00	2521.81	USD	-3,345.25	
08AUG17	S	1	PD08NOV17	2389.50	2521.81	USD	-3,307.75	
08AUG17	S	1	PD08NOV17	2386.50	2521.81	USD	-3,382.75	
08AUG17	S	1	PD08NOV17	2389.00	2521.81	USD	-3,320.25	
08AUG17	S	1	PD08NOV17	2390.00	2521.81	USD	-3,295.25	
08AUG17	S	2	PD08NOV17	2388.50	2521.81	USD	-6,665.50	
08AUG17	S	1	PD08NOV17	2387.50	2521.81	USD	-3,357.75	
08AUG17	B	1	PD08NOV17	2379.50	2521.81	USD	3,557.75	
08AUG17	S	2	PD08NOV17	2385.00	2521.81	USD	-6,840.50	
08AUG17	B	2	PD08NOV17	2380.00	2521.81	USD	7,090.50	
08AUG17	B	1	PD08NOV17	2387.50	2521.81	USD	3,357.75	
08AUG17	B	2	PD08NOV17	2383.50	2521.81	USD	6,915.50	
08AUG17	S	1	PD08NOV17	2391.00	2521.81	USD	-3,270.25	
08AUG17	S	1	PD08NOV17	2391.50	2521.81	USD	-3,257.75	
08AUG17	B	1	PD08NOV17	2390.50	2521.81	USD	3,282.75	
08AUG17	B	1	PD08NOV17	2391.50	2521.81	USD	3,257.75	
10AUG17	B	3	PD08NOV17	2365.58	2521.81	USD	11,717.25	
	NET	0AVG BGHT 2367.76	SOLD 2371.59				3,644.00	0.00
09AUG17	S	2	PD09NOV17	2398.50	2521.94	USD	-6,172.00	
09AUG17	S	1	PD09NOV17	2397.50	2521.94	USD	-3,111.00	
09AUG17	S	1	PD09NOV17	2398.00	2521.94	USD	-3,098.50	
09AUG17	S	4	PD09NOV17	2399.00	2521.94	USD	-12,294.00	
09AUG17	B	1	PD09NOV17	2398.50	2521.94	USD	3,086.00	
09AUG17	S	2	PD09NOV17	2404.00	2521.94	USD	-5,897.00	
09AUG17	B	2	PD09NOV17	2405.00	2521.94	USD	5,847.00	
09AUG17	S	2	PD09NOV17	2414.50	2521.94	USD	-5,372.00	
09AUG17	S	1	PD09NOV17	2415.00	2521.94	USD	-2,673.50	
09AUG17	S	2	PD09NOV17	2418.00	2521.94	USD	-5,197.00	
09AUG17	S	1	PD09NOV17	2419.50	2521.94	USD	-2,561.00	

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09AUG17	S	1	PD09NOV17	2421.50	2521.94	USD	-2,511.00	
09AUG17	S	1	PD09NOV17	2420.00	2521.94	USD	-2,548.50	
09AUG17	S	2	PD09NOV17	2415.50	2521.94	USD	-5,322.00	
09AUG17	S	2	PD09NOV17	2410.50	2521.94	USD	-5,572.00	
09AUG17	S	1	PD09NOV17	2407.00	2521.94	USD	-2,873.50	
09AUG17	B	2	PD09NOV17	2402.50	2521.94	USD	5,972.00	
09AUG17	B	3	PD09NOV17	2400.00	2521.94	USD	9,145.50	
09AUG17	B	1	PD09NOV17	2399.00	2521.94	USD	3,073.50	
09AUG17	B	1	PD09NOV17	2398.00	2521.94	USD	3,098.50	
09AUG17	B	1	PD09NOV17	2396.50	2521.94	USD	3,136.00	
09AUG17	B	1	PD09NOV17	2394.00	2521.94	USD	3,198.50	
09AUG17	B	1	PD09NOV17	2391.00	2521.94	USD	3,273.50	
09AUG17	B	2	PD09NOV17	2389.50	2521.94	USD	6,622.00	
09AUG17	B	1	PD09NOV17	2389.00	2521.94	USD	3,323.50	
09AUG17	B	1	PD09NOV17	2388.00	2521.94	USD	3,348.50	
09AUG17	B	1	PD09NOV17	2386.50	2521.94	USD	3,386.00	
09AUG17	B	1	PD09NOV17	2385.00	2521.94	USD	3,423.50	
09AUG17	B	1	PD09NOV17	2384.50	2521.94	USD	3,436.00	
09AUG17	B	5	PD09NOV17	2392.00	2521.94	USD	16,242.50	
09AUG17	S	1	PD09NOV17	2391.00	2521.94	USD	-3,273.50	
09AUG17	B	2	PD09NOV17	2391.50	2521.94	USD	6,522.00	
09AUG17	B	3	PD09NOV17	2390.50	2521.94	USD	9,858.00	
09AUG17	B	1	PD09NOV17	2399.50	2521.94	USD	3,061.00	
09AUG17	B	4	PD09NOV17	2386.00	2521.94	USD	13,594.00	
09AUG17	S	1	PD09NOV17	2388.50	2521.94	USD	-3,336.00	
09AUG17	S	1	PD09NOV17	2396.00	2521.94	USD	-3,148.50	
09AUG17	S	1	PD09NOV17	2386.50	2521.94	USD	-3,386.00	
09AUG17	S	3	PD09NOV17	2389.50	2521.94	USD	-9,933.00	
09AUG17	S	1	PD09NOV17	2400.00	2521.94	USD	-3,048.50	
09AUG17	S	3	PD09NOV17	2404.50	2521.94	USD	-8,808.00	
09AUG17	S	3	PD09NOV17	2405.00	2521.94	USD	-8,770.50	
09AUG17	S	1	PD09NOV17	2409.50	2521.94	USD	-2,811.00	
09AUG17	B	1	PD09NOV17	2412.00	2521.94	USD	2,748.50	
09AUG17	S	2	PD09NOV17	2413.00	2521.94	USD	-5,447.00	
09AUG17	B	1	PD09NOV17	2390.00	2521.94	USD	3,298.50	
09AUG17	B	1	PD09NOV17	2376.00	2521.94	USD	3,648.50	
09AUG17	S	1	PD09NOV17	2369.50	2521.94	USD	-3,811.00	
09AUG17	B	1	PD09NOV17	2370.50	2521.94	USD	3,786.00	
09AUG17	B	1	PD09NOV17	2370.00	2521.94	USD	3,798.50	
09AUG17	B	1	PD09NOV17	2367.50	2521.94	USD	3,861.00	
09AUG17	B	1	PD09NOV17	2368.50	2521.94	USD	3,836.00	
09AUG17	B	1	PD09NOV17	2369.00	2521.94	USD	3,823.50	
09AUG17	B	2	PD09NOV17	2368.00	2521.94	USD	7,697.00	
09AUG17	B	1	PD09NOV17	2365.00	2521.94	USD	3,923.50	
09AUG17	B	1	PD09NOV17	2362.50	2521.94	USD	3,986.00	
09AUG17	B	1	PD09NOV17	2352.00	2521.94	USD	4,248.50	
09AUG17	S	1	PD09NOV17	2353.00	2521.94	USD	-4,223.50	
09AUG17	B	2	PD09NOV17	2352.50	2521.94	USD	8,472.00	
09AUG17	B	1	PD09NOV17	2353.00	2521.94	USD	4,223.50	
09AUG17	B	1	PD09NOV17	2353.50	2521.94	USD	4,211.00	
09AUG17	S	2	PD09NOV17	2362.00	2521.94	USD	-7,997.00	
09AUG17	S	2	PD09NOV17	2362.50	2521.94	USD	-7,972.00	
09AUG17	S	1	PD09NOV17	2363.00	2521.94	USD	-3,973.50	
09AUG17	S	1	PD09NOV17	2359.00	2521.94	USD	-4,073.50	
09AUG17	S	1	PD09NOV17	2358.50	2521.94	USD	-4,086.00	
09AUG17	B	1	PD09NOV17	2356.00	2521.94	USD	4,148.50	
09AUG17	B	1	PD09NOV17	2352.00	2521.94	USD	4,248.50	

OPEN POSITION STATEMENT



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SAP0112D

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	<u>LME LEAD 25 TONNES. THIS IS AN</u>							
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10AUG17	S	3	PD09NOV17	2365.60	2521.94	USD	-11,725.50	
14AUG17	B	1	PD09NOV17	2334.00	2521.94	USD	4,698.50	
22AUG17	S	3	PD09NOV17	2413.25	2521.94	USD	-8,151.75	
	NET	0AVG	BGHT 2382.81		SOLD 2395.99		18,126.25	0.00
10AUG17	S	2	PD10NOV17	2379.50	2522.08	USD	-7,129.00	
10AUG17	S	2	PD10NOV17	2373.50	2522.08	USD	-7,429.00	
10AUG17	S	1	PD10NOV17	2371.00	2522.08	USD	-3,777.00	
10AUG17	S	1	PD10NOV17	2378.00	2522.08	USD	-3,602.00	
10AUG17	S	3	PD10NOV17	2378.50	2522.08	USD	-10,768.50	
10AUG17	S	1	PD10NOV17	2375.00	2522.08	USD	-3,677.00	
10AUG17	S	1	PD10NOV17	2380.50	2522.08	USD	-3,539.50	
10AUG17	B	2	PD10NOV17	2378.00	2522.08	USD	7,204.00	
10AUG17	B	3	PD10NOV17	2380.00	2522.08	USD	10,656.00	
10AUG17	B	1	PD10NOV17	2379.00	2522.08	USD	3,577.00	
10AUG17	B	1	PD10NOV17	2378.50	2522.08	USD	3,589.50	
10AUG17	S	1	PD10NOV17	2376.50	2522.08	USD	-3,639.50	
10AUG17	S	1	PD10NOV17	2376.00	2522.08	USD	-3,652.00	
10AUG17	S	2	PD10NOV17	2377.50	2522.08	USD	-7,229.00	
10AUG17	S	1	PD10NOV17	2374.50	2522.08	USD	-3,689.50	
10AUG17	S	1	PD10NOV17	2374.00	2522.08	USD	-3,702.00	
10AUG17	S	1	PD10NOV17	2369.50	2522.08	USD	-3,814.50	
10AUG17	S	2	PD10NOV17	2366.00	2522.08	USD	-7,804.00	
10AUG17	B	1	PD10NOV17	2359.00	2522.08	USD	4,077.00	
10AUG17	S	1	PD10NOV17	2358.50	2522.08	USD	-4,089.50	
10AUG17	B	1	PD10NOV17	2357.00	2522.08	USD	4,127.00	
10AUG17	S	1	PD10NOV17	2357.00	2522.08	USD	-4,127.00	
10AUG17	S	1	PD10NOV17	2364.50	2522.08	USD	-3,939.50	
10AUG17	S	1	PD10NOV17	2372.50	2522.08	USD	-3,739.50	
10AUG17	S	4	PD10NOV17	2376.00	2522.08	USD	-14,608.00	
10AUG17	S	2	PD10NOV17	2375.50	2522.08	USD	-7,329.00	
10AUG17	S	3	PD10NOV17	2377.00	2522.08	USD	-10,881.00	
10AUG17	S	2	PD10NOV17	2376.50	2522.08	USD	-7,279.00	
10AUG17	S	2	PD10NOV17	2382.50	2522.08	USD	-6,979.00	
10AUG17	S	2	PD10NOV17	2391.00	2522.08	USD	-6,554.00	
10AUG17	B	1	PD10NOV17	2379.50	2522.08	USD	3,564.50	
10AUG17	B	1	PD10NOV17	2377.00	2522.08	USD	3,627.00	
10AUG17	B	1	PD10NOV17	2372.50	2522.08	USD	3,739.50	
10AUG17	B	2	PD10NOV17	2369.50	2522.08	USD	7,629.00	
10AUG17	B	1	PD10NOV17	2368.50	2522.08	USD	3,839.50	
10AUG17	B	1	PD10NOV17	2367.50	2522.08	USD	3,864.50	
10AUG17	B	1	PD10NOV17	2365.00	2522.08	USD	3,927.00	
10AUG17	B	1	PD10NOV17	2370.50	2522.08	USD	3,789.50	
10AUG17	B	1	PD10NOV17	2371.00	2522.08	USD	3,777.00	
10AUG17	B	1	PD10NOV17	2370.00	2522.08	USD	3,802.00	
10AUG17	B	1	PD10NOV17	2367.00	2522.08	USD	3,877.00	
11AUG17	S	2	PD10NOV17	2342.00	2522.08	USD	-9,004.00	
11AUG17	S	1	PD10NOV17	2350.00	2522.08	USD	-4,302.00	
11AUG17	B	1	PD10NOV17	2347.50	2522.08	USD	4,364.50	
11AUG17	B	2	PD10NOV17	2345.50	2522.08	USD	8,829.00	
11AUG17	S	1	PD10NOV17	2345.00	2522.08	USD	-4,427.00	
11AUG17	B	1	PD10NOV17	2342.50	2522.08	USD	4,489.50	
11AUG17	B	1	PD10NOV17	2340.50	2522.08	USD	4,539.50	
11AUG17	B	1	PD10NOV17	2340.00	2522.08	USD	4,552.00	
11AUG17	S	6	PD10NOV17	2351.00	2522.08	USD	-25,662.00	
11AUG17	S	1	PD10NOV17	2351.50	2522.08	USD	-4,264.50	
11AUG17	S	1	PD10NOV17	2356.00	2522.08	USD	-4,152.00	
11AUG17	S	1	PD10NOV17	2352.50	2522.08	USD	-4,239.50	

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11AUG17	S	1	PD10NOV17	2354.50	2522.08	USD	-4,189.50	
11AUG17	S	1	PD10NOV17	2353.50	2522.08	USD	-4,214.50	
11AUG17	S	1	PD10NOV17	2355.00	2522.08	USD	-4,177.00	
11AUG17	S	1	PD10NOV17	2348.50	2522.08	USD	-4,339.50	
11AUG17	B	3	PD10NOV17	2344.50	2522.08	USD	13,318.50	
11AUG17	B	4	PD10NOV17	2342.00	2522.08	USD	18,008.00	
11AUG17	B	1	PD10NOV17	2341.50	2522.08	USD	4,514.50	
11AUG17	B	5	PD10NOV17	2341.00	2522.08	USD	22,635.00	
11AUG17	B	1	PD10NOV17	2338.00	2522.08	USD	4,602.00	
11AUG17	B	2	PD10NOV17	2337.00	2522.08	USD	9,254.00	
11AUG17	B	5	PD10NOV17	2336.50	2522.08	USD	23,197.50	
11AUG17	B	2	PD10NOV17	2335.50	2522.08	USD	9,329.00	
11AUG17	B	1	PD10NOV17	2333.00	2522.08	USD	4,727.00	
11AUG17	B	1	PD10NOV17	2331.50	2522.08	USD	4,764.50	
11AUG17	B	1	PD10NOV17	2339.00	2522.08	USD	4,577.00	
11AUG17	B	3	PD10NOV17	2338.50	2522.08	USD	13,768.50	
11AUG17	B	2	PD10NOV17	2335.00	2522.08	USD	9,354.00	
11AUG17	B	1	PD10NOV17	2334.00	2522.08	USD	4,702.00	
11AUG17	B	1	PD10NOV17	2333.50	2522.08	USD	4,714.50	
11AUG17	S	1	PD10NOV17	2355.00	2522.08	USD	-4,177.00	
11AUG17	B	5	PD10NOV17	2336.00	2522.08	USD	23,260.00	
11AUG17	B	2	PD10NOV17	2332.00	2522.08	USD	9,504.00	
11AUG17	S	1	PD10NOV17	2335.50	2522.08	USD	-4,664.50	
11AUG17	S	1	PD10NOV17	2329.50	2522.08	USD	-4,814.50	
11AUG17	S	1	PD10NOV17	2330.00	2522.08	USD	-4,802.00	
11AUG17	S	2	PD10NOV17	2331.50	2522.08	USD	-9,529.00	
11AUG17	S	1	PD10NOV17	2332.00	2522.08	USD	-4,752.00	
11AUG17	S	2	PD10NOV17	2330.50	2522.08	USD	-9,579.00	
11AUG17	S	1	PD10NOV17	2334.00	2522.08	USD	-4,702.00	
11AUG17	B	1	PD10NOV17	2334.00	2522.08	USD	4,702.00	
14AUG17	S	1	PD10NOV17	2334.00	2522.08	USD	-4,702.00	
22AUG17	S	1	PD10NOV17	2413.50	2522.08	USD	-2,714.50	
	NET	0	AVG BGHT 2348.92	SOLD 2363.03			23,987.50	0.00
14AUG17	S	2	PD14NOV17	2348.50	2522.62	USD	-8,706.00	
14AUG17	S	2	PD14NOV17	2344.50	2522.62	USD	-8,906.00	
14AUG17	S	3	PD14NOV17	2344.00	2522.62	USD	-13,396.50	
14AUG17	S	5	PD14NOV17	2346.00	2522.62	USD	-22,077.50	
14AUG17	B	5	PD14NOV17	2338.00	2522.62	USD	23,077.50	
14AUG17	S	4	PD14NOV17	2341.50	2522.62	USD	-18,112.00	
14AUG17	B	5	PD14NOV17	2337.00	2522.62	USD	23,202.50	
14AUG17	B	2	PD14NOV17	2335.00	2522.62	USD	9,381.00	
14AUG17	B	1	PD14NOV17	2336.00	2522.62	USD	4,665.50	
14AUG17	B	4	PD14NOV17	2335.50	2522.62	USD	18,712.00	
14AUG17	B	1	PD14NOV17	2330.50	2522.62	USD	4,803.00	
14AUG17	S	2	PD14NOV17	2347.00	2522.62	USD	-8,781.00	
14AUG17	S	4	PD14NOV17	2346.50	2522.62	USD	-17,612.00	
14AUG17	B	1	PD14NOV17	2339.50	2522.62	USD	4,578.00	
14AUG17	B	1	PD14NOV17	2338.50	2522.62	USD	4,603.00	
14AUG17	B	2	PD14NOV17	2339.00	2522.62	USD	9,181.00	
14AUG17	B	2	PD14NOV17	2340.00	2522.62	USD	9,131.00	
14AUG17	B	5	PD14NOV17	2337.50	2522.62	USD	23,140.00	
14AUG17	B	1	PD14NOV17	2334.50	2522.62	USD	4,703.00	
14AUG17	B	2	PD14NOV17	2334.00	2522.62	USD	9,431.00	
14AUG17	S	1	PD14NOV17	2335.50	2522.62	USD	-4,678.00	
14AUG17	B	1	PD14NOV17	2333.50	2522.62	USD	4,728.00	
14AUG17	S	1	PD14NOV17	2335.00	2522.62	USD	-4,690.50	
14AUG17	S	1	PD14NOV17	2339.50	2522.62	USD	-4,578.00	

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14AUG17	S	1	PD14NOV17	2340.50	2522.62	USD	-4,553.00	
14AUG17	S	2	PD14NOV17	2342.00	2522.62	USD	-9,031.00	
14AUG17	S	5	PD14NOV17	2343.00	2522.62	USD	-22,452.50	
14AUG17	S	5	PD14NOV17	2345.50	2522.62	USD	-22,140.00	
14AUG17	B	2	PD14NOV17	2345.00	2522.62	USD	8,881.00	
14AUG17	B	1	PD14NOV17	2345.50	2522.62	USD	4,428.00	
14AUG17	B	1	PD14NOV17	2344.00	2522.62	USD	4,465.50	
14AUG17	B	2	PD14NOV17	2342.00	2522.62	USD	9,031.00	
14AUG17	B	3	PD14NOV17	2343.00	2522.62	USD	13,471.50	
22AUG17	S	3	PD14NOV17	2414.50	2522.62	USD	-8,109.00	
29AUG17	S	1	PD14NOV17	2374.69	2522.62	USD	-3,698.25	
	NET	0AVG	BGHT 2338.23	SOLD 2349.74			12,092.25	0.00
15AUG17	B	1	PD15NOV17	2369.00	2522.75	USD	3,843.75	
15AUG17	S	1	PD15NOV17	2365.00	2522.75	USD	-3,943.75	
15AUG17	B	1	PD15NOV17	2376.00	2522.75	USD	3,668.75	
15AUG17	S	2	PD15NOV17	2386.00	2522.75	USD	-6,837.50	
15AUG17	S	2	PD15NOV17	2366.00	2522.75	USD	-7,837.50	
15AUG17	B	1	PD15NOV17	2362.50	2522.75	USD	4,006.25	
15AUG17	S	1	PD15NOV17	2378.00	2522.75	USD	-3,618.75	
15AUG17	B	1	PD15NOV17	2372.00	2522.75	USD	3,768.75	
15AUG17	S	1	PD15NOV17	2381.00	2522.75	USD	-3,543.75	
22AUG17	B	3	PD15NOV17	2414.95	2522.75	USD	8,085.00	
	NET	0AVG	BGHT 2389.19	SOLD 2375.43			-2,408.75	0.00
21AUG17	B	1	PD21NOV17	2387.50	2523.36	USD	3,396.50	
21AUG17	B	2	PD21NOV17	2388.00	2523.36	USD	6,768.00	
21AUG17	S	2	PD21NOV17	2388.50	2523.36	USD	-6,743.00	
21AUG17	S	1	PD21NOV17	2380.50	2523.36	USD	-3,571.50	
21AUG17	S	2	PD21NOV17	2391.00	2523.36	USD	-6,618.00	
21AUG17	S	1	PD21NOV17	2386.00	2523.36	USD	-3,434.00	
21AUG17	B	1	PD21NOV17	2378.00	2523.36	USD	3,634.00	
21AUG17	S	2	PD21NOV17	2375.50	2523.36	USD	-7,393.00	
21AUG17	S	1	PD21NOV17	2372.00	2523.36	USD	-3,784.00	
21AUG17	S	1	PD21NOV17	2374.50	2523.36	USD	-3,721.50	
21AUG17	S	1	PD21NOV17	2385.00	2523.36	USD	-3,459.00	
21AUG17	B	1	PD21NOV17	2362.50	2523.36	USD	4,021.50	
21AUG17	S	1	PD21NOV17	2361.50	2523.36	USD	-4,046.50	
21AUG17	S	3	PD21NOV17	2384.50	2523.36	USD	-10,414.50	
21AUG17	S	1	PD21NOV17	2367.50	2523.36	USD	-3,896.50	
21AUG17	S	2	PD21NOV17	2389.00	2523.36	USD	-6,718.00	
21AUG17	S	2	PD21NOV17	2387.50	2523.36	USD	-6,793.00	
21AUG17	S	4	PD21NOV17	2386.50	2523.36	USD	-13,686.00	
21AUG17	S	1	PD21NOV17	2368.00	2523.36	USD	-3,884.00	
21AUG17	S	2	PD21NOV17	2380.00	2523.36	USD	-7,168.00	
21AUG17	B	4	PD21NOV17	2365.00	2523.36	USD	15,836.00	
21AUG17	B	2	PD21NOV17	2366.00	2523.36	USD	7,868.00	
21AUG17	B	2	PD21NOV17	2365.50	2523.36	USD	7,893.00	
21AUG17	B	1	PD21NOV17	2359.50	2523.36	USD	4,096.50	
21AUG17	B	1	PD21NOV17	2359.00	2523.36	USD	4,109.00	
21AUG17	B	1	PD21NOV17	2358.50	2523.36	USD	4,121.50	
21AUG17	B	2	PD21NOV17	2358.00	2523.36	USD	8,268.00	
21AUG17	B	1	PD21NOV17	2352.50	2523.36	USD	4,271.50	
21AUG17	B	1	PD21NOV17	2350.50	2523.36	USD	4,321.50	
21AUG17	B	2	PD21NOV17	2353.00	2523.36	USD	8,518.00	
21AUG17	B	3	PD21NOV17	2356.00	2523.36	USD	12,552.00	
21AUG17	B	3	PD21NOV17	2355.50	2523.36	USD	12,589.50	
21AUG17	B	2	PD21NOV17	2355.00	2523.36	USD	8,418.00	
21AUG17	B	3	PD21NOV17	2354.50	2523.36	USD	12,664.50	

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21AUG17	B	2	PD21NOV17		2351.50	2523.36	USD	8,593.00	
21AUG17	B	3	PD21NOV17		2360.00	2523.36	USD	12,252.00	
21AUG17	S	2	PD21NOV17		2369.00	2523.36	USD	-7,718.00	
21AUG17	S	2	PD21NOV17		2368.50	2523.36	USD	-7,743.00	
21AUG17	S	4	PD21NOV17		2369.50	2523.36	USD	-15,386.00	
21AUG17	S	1	PD21NOV17		2371.50	2523.36	USD	-3,796.50	
21AUG17	S	2	PD21NOV17		2377.00	2523.36	USD	-7,318.00	
21AUG17	S	2	PD21NOV17		2377.50	2523.36	USD	-7,293.00	
21AUG17	S	2	PD21NOV17		2375.00	2523.36	USD	-7,418.00	
21AUG17	S	4	PD21NOV17		2378.00	2523.36	USD	-14,536.00	
21AUG17	S	1	PD21NOV17		2382.00	2523.36	USD	-3,534.00	
21AUG17	B	1	PD21NOV17		2374.00	2523.36	USD	3,734.00	
21AUG17	B	1	PD21NOV17		2373.50	2523.36	USD	3,746.50	
21AUG17	B	1	PD21NOV17		2363.00	2523.36	USD	4,009.00	
21AUG17	B	1	PD21NOV17		2364.00	2523.36	USD	3,984.00	
21AUG17	B	1	PD21NOV17		2349.50	2523.36	USD	4,346.50	
21AUG17	B	1	PD21NOV17		2349.00	2523.36	USD	4,359.00	
22AUG17	B	4	PD21NOV17		2415.95	2523.36	USD	10,741.00	
29AUG17	S	1	PD21NOV17		2376.00	2523.36	USD	-3,684.00	
	NET	0	AVG BGHT 2365.77	SOLD 2378.56				15,355.00	0.00
22AUG17	B	1	PD22NOV17		2342.50	2523.51	USD	4,525.25	
22AUG17	B	1	PD22NOV17		2343.50	2523.51	USD	4,500.25	
22AUG17	B	1	PD22NOV17		2338.50	2523.51	USD	4,625.25	
22AUG17	S	1	PD22NOV17		2344.50	2523.51	USD	-4,475.25	
22AUG17	S	1	PD22NOV17		2343.50	2523.51	USD	-4,500.25	
22AUG17	B	1	PD22NOV17		2340.00	2523.51	USD	4,587.75	
22AUG17	B	5	PD22NOV17		2359.00	2523.51	USD	20,563.75	
22AUG17	S	2	PD22NOV17		2353.00	2523.51	USD	-8,525.50	
22AUG17	S	1	PD22NOV17		2362.00	2523.51	USD	-4,037.75	
22AUG17	S	1	PD22NOV17		2366.50	2523.51	USD	-3,925.25	
22AUG17	S	1	PD22NOV17		2346.00	2523.51	USD	-4,437.75	
22AUG17	S	2	PD22NOV17		2344.50	2523.51	USD	-8,950.50	
22AUG17	S	1	PD22NOV17		2353.50	2523.51	USD	-4,250.25	
22AUG17	S	1	PD22NOV17		2373.00	2523.51	USD	-3,762.75	
22AUG17	B	1	PD22NOV17		2357.50	2523.51	USD	4,150.25	
22AUG17	S	1	PD22NOV17		2354.50	2523.51	USD	-4,225.25	
22AUG17	S	1	PD22NOV17		2355.50	2523.51	USD	-4,200.25	
22AUG17	S	1	PD22NOV17		2362.50	2523.51	USD	-4,025.25	
22AUG17	S	1	PD22NOV17		2366.00	2523.51	USD	-3,937.75	
22AUG17	S	2	PD22NOV17		2367.00	2523.51	USD	-7,825.50	
22AUG17	S	2	PD22NOV17		2369.50	2523.51	USD	-7,700.50	
22AUG17	S	2	PD22NOV17		2370.50	2523.51	USD	-7,650.50	
22AUG17	S	2	PD22NOV17		2371.00	2523.51	USD	-7,625.50	
22AUG17	S	5	PD22NOV17		2372.00	2523.51	USD	-18,938.75	
22AUG17	S	1	PD22NOV17		2370.00	2523.51	USD	-3,837.75	
22AUG17	S	2	PD22NOV17		2371.50	2523.51	USD	-7,600.50	
22AUG17	S	1	PD22NOV17		2372.50	2523.51	USD	-3,775.25	
22AUG17	S	2	PD22NOV17		2389.00	2523.51	USD	-6,725.50	
22AUG17	S	1	PD22NOV17		2395.00	2523.51	USD	-3,212.75	
22AUG17	S	1	PD22NOV17		2400.50	2523.51	USD	-3,075.25	
22AUG17	S	1	PD22NOV17		2397.50	2523.51	USD	-3,150.25	
22AUG17	S	1	PD22NOV17		2399.00	2523.51	USD	-3,112.75	
22AUG17	S	1	PD22NOV17		2401.00	2523.51	USD	-3,062.75	
22AUG17	S	1	PD22NOV17		2401.50	2523.51	USD	-3,050.25	
22AUG17	S	1	PD22NOV17		2407.50	2523.51	USD	-2,900.25	
22AUG17	S	1	PD22NOV17		2423.00	2523.51	USD	-2,512.75	
22AUG17	B	1	PD22NOV17		2401.50	2523.51	USD	3,050.25	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0112D

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

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FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME LEAD 25 TONNES. THIS IS AN</u>							
	<u>LME REGISTERED CLIENT CONTRACT</u>							
22AUG17	B	1	PD22NOV17	2397.50	2523.51	USD	3,150.25	
22AUG17	B	1	PD22NOV17	2402.00	2523.51	USD	3,037.75	
22AUG17	S	1	PD22NOV17	2417.50	2523.51	USD	-2,650.25	
22AUG17	S	2	PD22NOV17	2418.50	2523.51	USD	-5,250.50	
22AUG17	S	1	PD22NOV17	2418.00	2523.51	USD	-2,637.75	
22AUG17	B	1	PD22NOV17	2395.00	2523.51	USD	3,212.75	
22AUG17	B	2	PD22NOV17	2396.00	2523.51	USD	6,375.50	
22AUG17	B	4	PD22NOV17	2395.50	2523.51	USD	12,801.00	
22AUG17	B	1	PD22NOV17	2398.00	2523.51	USD	3,137.75	
22AUG17	B	1	PD22NOV17	2400.50	2523.51	USD	3,075.25	
22AUG17	B	3	PD22NOV17	2399.00	2523.51	USD	9,338.25	
22AUG17	S	1	PD22NOV17	2371.00	2523.51	USD	-3,812.75	
22AUG17	S	1	PD22NOV17	2373.00	2523.51	USD	-3,762.75	
22AUG17	B	1	PD22NOV17	2395.00	2523.51	USD	3,212.75	
22AUG17	S	1	PD22NOV17	2416.00	2523.51	USD	-2,687.75	
22AUG17	S	2	PD22NOV17	2416.50	2523.51	USD	-5,350.50	
22AUG17	S	2	PD22NOV17	2417.00	2523.51	USD	-5,325.50	
22AUG17	B	1	PD22NOV17	2414.50	2523.51	USD	2,725.25	
22AUG17	B	2	PD22NOV17	2408.00	2523.51	USD	5,775.50	
22AUG17	B	2	PD22NOV17	2407.50	2523.51	USD	5,800.50	
22AUG17	B	1	PD22NOV17	2407.00	2523.51	USD	2,912.75	
22AUG17	B	1	PD22NOV17	2408.50	2523.51	USD	2,875.25	
22AUG17	B	6	PD22NOV17	2409.50	2523.51	USD	17,101.50	
22AUG17	B	1	PD22NOV17	2410.50	2523.51	USD	2,825.25	
22AUG17	B	2	PD22NOV17	2410.00	2523.51	USD	5,675.50	
22AUG17	B	1	PD22NOV17	2411.50	2523.51	USD	2,800.25	
22AUG17	B	1	PD22NOV17	2422.00	2523.51	USD	2,537.75	
22AUG17	B	1	PD22NOV17	2421.50	2523.51	USD	2,550.25	
22AUG17	B	1	PD22NOV17	2420.50	2523.51	USD	2,575.25	
22AUG17	B	2	PD22NOV17	2422.50	2523.51	USD	5,050.50	
29AUG17	B	2	PD22NOV17	2376.45	2523.51	USD	7,353.00	
29AUG17	B	3	PD22NOV17	2376.25	2523.51	USD	11,044.50	
	NET	0AVG	BGHT 2392.98	SOLD 2379.75			-17,541.25	0.00
23AUG17	S	1	PD24NOV17	2415.00	2523.81	USD	-2,720.25	
23AUG17	S	2	PD24NOV17	2413.00	2523.81	USD	-5,540.50	
23AUG17	S	1	PD24NOV17	2410.00	2523.81	USD	-2,845.25	
23AUG17	S	1	PD24NOV17	2404.00	2523.81	USD	-2,995.25	
23AUG17	B	1	PD24NOV17	2401.50	2523.81	USD	3,057.75	
23AUG17	B	1	PD24NOV17	2400.50	2523.81	USD	3,082.75	
23AUG17	B	1	PD24NOV17	2398.50	2523.81	USD	3,132.75	
23AUG17	B	1	PD24NOV17	2397.00	2523.81	USD	3,170.25	
23AUG17	B	1	PD24NOV17	2395.50	2523.81	USD	3,207.75	
23AUG17	B	1	PD24NOV17	2393.00	2523.81	USD	3,270.25	
23AUG17	S	1	PD24NOV17	2402.00	2523.81	USD	-3,045.25	
23AUG17	S	1	PD24NOV17	2401.50	2523.81	USD	-3,057.75	
23AUG17	S	1	PD24NOV17	2406.00	2523.81	USD	-2,945.25	
23AUG17	S	2	PD24NOV17	2404.50	2523.81	USD	-5,965.50	
23AUG17	S	2	PD24NOV17	2403.50	2523.81	USD	-6,015.50	
23AUG17	S	1	PD24NOV17	2405.00	2523.81	USD	-2,970.25	
23AUG17	S	3	PD24NOV17	2413.50	2523.81	USD	-8,273.25	
23AUG17	S	1	PD24NOV17	2415.50	2523.81	USD	-2,707.75	
23AUG17	S	1	PD24NOV17	2416.50	2523.81	USD	-2,682.75	
23AUG17	B	1	PD24NOV17	2394.50	2523.81	USD	3,232.75	
23AUG17	S	4	PD24NOV17	2405.50	2523.81	USD	-11,831.00	
23AUG17	B	3	PD24NOV17	2396.00	2523.81	USD	9,585.75	
23AUG17	B	1	PD24NOV17	2388.50	2523.81	USD	3,382.75	
23AUG17	B	4	PD24NOV17	2389.00	2523.81	USD	13,481.00	

OPEN POSITION STATEMENT



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SAP0112D

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME LEAD 25 TONNES. THIS IS AN</u>							
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23AUG17	B	1	PD24NOV17	2387.50	2523.81	USD	3,407.75	
23AUG17	B	1	PD24NOV17	2386.50	2523.81	USD	3,432.75	
23AUG17	B	1	PD24NOV17	2386.00	2523.81	USD	3,445.25	
23AUG17	B	1	PD24NOV17	2385.00	2523.81	USD	3,470.25	
23AUG17	B	4	PD24NOV17	2390.00	2523.81	USD	13,381.00	
23AUG17	B	3	PD24NOV17	2382.50	2523.81	USD	10,598.25	
23AUG17	S	1	PD24NOV17	2389.00	2523.81	USD	-3,370.25	
23AUG17	S	1	PD24NOV17	2393.00	2523.81	USD	-3,270.25	
23AUG17	S	1	PD24NOV17	2391.00	2523.81	USD	-3,320.25	
23AUG17	B	3	PD24NOV17	2385.50	2523.81	USD	10,373.25	
23AUG17	B	1	PD24NOV17	2376.50	2523.81	USD	3,682.75	
24AUG17	S	1	PD24NOV17	2396.50	2523.81	USD	-3,182.75	
24AUG17	S	1	PD24NOV17	2401.50	2523.81	USD	-3,057.75	
24AUG17	S	2	PD24NOV17	2402.00	2523.81	USD	-6,090.50	
24AUG17	S	2	PD24NOV17	2402.50	2523.81	USD	-6,065.50	
24AUG17	S	4	PD24NOV17	2397.00	2523.81	USD	-12,681.00	
24AUG17	S	1	PD24NOV17	2399.50	2523.81	USD	-3,107.75	
24AUG17	S	7	PD24NOV17	2398.50	2523.81	USD	-21,929.25	
24AUG17	B	1	PD24NOV17	2398.50	2523.81	USD	3,132.75	
24AUG17	S	3	PD24NOV17	2397.50	2523.81	USD	-9,473.25	
24AUG17	S	1	PD24NOV17	2398.00	2523.81	USD	-3,145.25	
24AUG17	S	2	PD24NOV17	2399.00	2523.81	USD	-6,240.50	
24AUG17	S	1	PD24NOV17	2396.00	2523.81	USD	-3,195.25	
24AUG17	S	1	PD24NOV17	2384.00	2523.81	USD	-3,495.25	
24AUG17	S	1	PD24NOV17	2385.50	2523.81	USD	-3,457.75	
24AUG17	S	2	PD24NOV17	2385.00	2523.81	USD	-6,940.50	
24AUG17	S	1	PD24NOV17	2386.50	2523.81	USD	-3,432.75	
24AUG17	S	1	PD24NOV17	2388.50	2523.81	USD	-3,382.75	
24AUG17	S	1	PD24NOV17	2387.50	2523.81	USD	-3,407.75	
24AUG17	S	3	PD24NOV17	2388.00	2523.81	USD	-10,185.75	
24AUG17	S	2	PD24NOV17	2389.00	2523.81	USD	-6,740.50	
24AUG17	B	1	PD24NOV17	2377.00	2523.81	USD	3,670.25	
24AUG17	B	1	PD24NOV17	2376.50	2523.81	USD	3,682.75	
24AUG17	B	1	PD24NOV17	2376.00	2523.81	USD	3,695.25	
24AUG17	B	2	PD24NOV17	2375.50	2523.81	USD	7,415.50	
24AUG17	B	1	PD24NOV17	2370.50	2523.81	USD	3,832.75	
24AUG17	B	1	PD24NOV17	2366.00	2523.81	USD	3,945.25	
24AUG17	S	1	PD24NOV17	2358.00	2523.81	USD	-4,145.25	
24AUG17	B	1	PD24NOV17	2364.00	2523.81	USD	3,995.25	
24AUG17	B	2	PD24NOV17	2364.50	2523.81	USD	7,965.50	
24AUG17	B	1	PD24NOV17	2365.00	2523.81	USD	3,970.25	
24AUG17	B	4	PD24NOV17	2365.50	2523.81	USD	15,831.00	
24AUG17	B	1	PD24NOV17	2361.50	2523.81	USD	4,057.75	
24AUG17	B	2	PD24NOV17	2358.00	2523.81	USD	8,290.50	
24AUG17	B	2	PD24NOV17	2356.50	2523.81	USD	8,365.50	
24AUG17	B	1	PD24NOV17	2356.00	2523.81	USD	4,195.25	
24AUG17	B	1	PD24NOV17	2353.00	2523.81	USD	4,270.25	
24AUG17	B	1	PD24NOV17	2349.00	2523.81	USD	4,370.25	
24AUG17	S	2	PD24NOV17	2356.00	2523.81	USD	-8,390.50	
24AUG17	B	1	PD24NOV17	2351.50	2523.81	USD	4,307.75	
24AUG17	B	3	PD24NOV17	2352.00	2523.81	USD	12,885.75	
24AUG17	S	1	PD24NOV17	2357.50	2523.81	USD	-4,157.75	
24AUG17	S	2	PD24NOV17	2358.50	2523.81	USD	-8,265.50	
24AUG17	S	1	PD24NOV17	2359.50	2523.81	USD	-4,107.75	
24AUG17	S	1	PD24NOV17	2356.50	2523.81	USD	-4,182.75	
24AUG17	S	3	PD24NOV17	2361.00	2523.81	USD	-12,210.75	
24AUG17	S	2	PD24NOV17	2360.00	2523.81	USD	-8,190.50	

OPEN POSITION STATEMENT



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SAP0112D

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Suite A, 105 Piccadilly
London W1J 7NJ UK
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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME LEAD 25 TONNES. THIS IS AN</u>							
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24AUG17	S	1	PD24NOV17	2360.50	2523.81	USD	-4,082.75	
24AUG17	S	1	PD24NOV17	2362.50	2523.81	USD	-4,032.75	
24AUG17	S	1	PD24NOV17	2374.50	2523.81	USD	-3,732.75	
24AUG17	S	1	PD24NOV17	2375.00	2523.81	USD	-3,720.25	
24AUG17	S	3	PD24NOV17	2372.00	2523.81	USD	-11,385.75	
24AUG17	S	1	PD24NOV17	2356.50	2523.81	USD	-4,182.75	
24AUG17	S	2	PD24NOV17	2373.00	2523.81	USD	-7,540.50	
24AUG17	B	1	PD24NOV17	2353.50	2523.81	USD	4,257.75	
24AUG17	B	3	PD24NOV17	2352.50	2523.81	USD	12,848.25	
24AUG17	B	2	PD24NOV17	2351.00	2523.81	USD	8,640.50	
24AUG17	S	1	PD24NOV17	2357.00	2523.81	USD	-4,170.25	
24AUG17	S	2	PD24NOV17	2371.50	2523.81	USD	-7,615.50	
24AUG17	B	1	PD24NOV17	2349.00	2523.81	USD	4,370.25	
25AUG17	B	1	PD24NOV17	2365.00	2523.81	USD	3,970.25	
25AUG17	B	1	PD24NOV17	2366.00	2523.81	USD	3,945.25	
25AUG17	B	2	PD24NOV17	2366.50	2523.81	USD	7,865.50	
25AUG17	B	1	PD24NOV17	2365.50	2523.81	USD	3,957.75	
25AUG17	B	1	PD24NOV17	2361.50	2523.81	USD	4,057.75	
25AUG17	B	1	PD24NOV17	2361.00	2523.81	USD	4,070.25	
25AUG17	S	3	PD24NOV17	2364.00	2523.81	USD	-11,985.75	
25AUG17	S	1	PD24NOV17	2363.00	2523.81	USD	-4,020.25	
25AUG17	S	1	PD24NOV17	2362.50	2523.81	USD	-4,032.75	
25AUG17	B	1	PD24NOV17	2363.00	2523.81	USD	4,020.25	
25AUG17	S	1	PD24NOV17	2368.50	2523.81	USD	-3,882.75	
25AUG17	S	2	PD24NOV17	2369.00	2523.81	USD	-7,740.50	
25AUG17	B	1	PD24NOV17	2375.50	2523.81	USD	3,707.75	
25AUG17	B	1	PD24NOV17	2369.00	2523.81	USD	3,870.25	
25AUG17	B	2	PD24NOV17	2372.00	2523.81	USD	7,590.50	
25AUG17	B	1	PD24NOV17	2370.00	2523.81	USD	3,845.25	
25AUG17	B	2	PD24NOV17	2368.00	2523.81	USD	7,790.50	
25AUG17	B	1	PD24NOV17	2367.00	2523.81	USD	3,920.25	
25AUG17	B	1	PD24NOV17	2364.50	2523.81	USD	3,982.75	
25AUG17	B	1	PD24NOV17	2364.00	2523.81	USD	3,995.25	
25AUG17	B	2	PD24NOV17	2362.50	2523.81	USD	8,065.50	
25AUG17	B	1	PD24NOV17	2360.50	2523.81	USD	4,082.75	
25AUG17	B	1	PD24NOV17	2360.00	2523.81	USD	4,095.25	
25AUG17	B	1	PD24NOV17	2362.00	2523.81	USD	4,045.25	
25AUG17	B	2	PD24NOV17	2358.00	2523.81	USD	8,290.50	
25AUG17	B	2	PD24NOV17	2346.00	2523.81	USD	8,890.50	
25AUG17	B	1	PD24NOV17	2345.50	2523.81	USD	4,457.75	
25AUG17	B	1	PD24NOV17	2337.50	2523.81	USD	4,657.75	
25AUG17	B	1	PD24NOV17	2342.50	2523.81	USD	4,532.75	
25AUG17	B	2	PD24NOV17	2341.00	2523.81	USD	9,140.50	
25AUG17	B	1	PD24NOV17	2348.00	2523.81	USD	4,395.25	
25AUG17	S	1	PD24NOV17	2352.50	2523.81	USD	-4,282.75	
25AUG17	B	1	PD24NOV17	2351.00	2523.81	USD	4,320.25	
25AUG17	S	1	PD24NOV17	2351.50	2523.81	USD	-4,307.75	
25AUG17	B	2	PD24NOV17	2347.50	2523.81	USD	8,815.50	
25AUG17	B	1	PD24NOV17	2347.00	2523.81	USD	4,420.25	
25AUG17	B	2	PD24NOV17	2341.50	2523.81	USD	9,115.50	
25AUG17	B	1	PD24NOV17	2340.50	2523.81	USD	4,582.75	
25AUG17	S	1	PD24NOV17	2335.50	2523.81	USD	-4,707.75	
25AUG17	S	2	PD24NOV17	2334.00	2523.81	USD	-9,490.50	
25AUG17	S	3	PD24NOV17	2333.50	2523.81	USD	-14,273.25	
25AUG17	B	1	PD24NOV17	2371.50	2523.81	USD	3,807.75	
25AUG17	B	1	PD24NOV17	2342.00	2523.81	USD	4,545.25	
25AUG17	B	1	PD24NOV17	2332.50	2523.81	USD	4,782.75	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0112D

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position				Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME LEAD 25 TONNES. THIS IS AN</u>								
	<u>LME REGISTERED CLIENT CONTRACT</u>								
25AUG17	B	1	PD24NOV17		2321.00	2523.81	USD	5,070.25	
25AUG17	S	2	PD24NOV17		2323.50	2523.81	USD	-10,015.50	
25AUG17	S	1	PD24NOV17		2328.50	2523.81	USD	-4,882.75	
25AUG17	S	1	PD24NOV17		2328.00	2523.81	USD	-4,895.25	
25AUG17	S	3	PD24NOV17		2325.50	2523.81	USD	-14,873.25	
25AUG17	B	2	PD24NOV17		2326.50	2523.81	USD	9,865.50	
29AUG17	S	3	PD24NOV17		2376.55	2523.81	USD	-11,044.50	
06SEP17	B	3	PD24NOV17		2336.70	2523.81	USD	14,033.25	
	NET	0 AVG BGHT 2365.57		SOLD 2379.49				39,676.25	0.00
29AUG17	B	1	PD29NOV17		2341.50	2524.57	USD	4,576.75	
29AUG17	S	1	PD29NOV17		2342.00	2524.57	USD	-4,564.25	
29AUG17	B	1	PD29NOV17		2345.00	2524.57	USD	4,489.25	
29AUG17	S	1	PD29NOV17		2343.00	2524.57	USD	-4,539.25	
29AUG17	S	1	PD29NOV17		2347.00	2524.57	USD	-4,439.25	
29AUG17	B	1	PD29NOV17		2345.50	2524.57	USD	4,476.75	
29AUG17	S	1	PD29NOV17		2345.50	2524.57	USD	-4,476.75	
29AUG17	B	3	PD29NOV17		2343.50	2524.57	USD	13,580.25	
29AUG17	B	1	PD29NOV17		2350.50	2524.57	USD	4,351.75	
29AUG17	B	2	PD29NOV17		2349.50	2524.57	USD	8,753.50	
29AUG17	B	2	PD29NOV17		2348.00	2524.57	USD	8,828.50	
29AUG17	B	2	PD29NOV17		2350.00	2524.57	USD	8,728.50	
29AUG17	S	1	PD29NOV17		2355.00	2524.57	USD	-4,239.25	
29AUG17	S	2	PD29NOV17		2355.50	2524.57	USD	-8,453.50	
29AUG17	S	2	PD29NOV17		2353.00	2524.57	USD	-8,578.50	
29AUG17	S	1	PD29NOV17		2350.50	2524.57	USD	-4,351.75	
29AUG17	S	2	PD29NOV17		2354.50	2524.57	USD	-8,503.50	
29AUG17	S	2	PD29NOV17		2360.50	2524.57	USD	-8,203.50	
29AUG17	S	3	PD29NOV17		2362.00	2524.57	USD	-12,192.75	
29AUG17	S	1	PD29NOV17		2374.50	2524.57	USD	-3,751.75	
29AUG17	S	1	PD29NOV17		2377.00	2524.57	USD	-3,689.25	
29AUG17	S	1	PD29NOV17		2375.00	2524.57	USD	-3,739.25	
29AUG17	S	1	PD29NOV17		2383.50	2524.57	USD	-3,526.75	
29AUG17	S	1	PD29NOV17		2386.50	2524.57	USD	-3,451.75	
29AUG17	S	1	PD29NOV17		2391.00	2524.57	USD	-3,339.25	
29AUG17	S	1	PD29NOV17		2392.00	2524.57	USD	-3,314.25	
29AUG17	S	1	PD29NOV17		2394.00	2524.57	USD	-3,264.25	
29AUG17	B	1	PD29NOV17		2398.00	2524.57	USD	3,164.25	
29AUG17	B	1	PD29NOV17		2403.50	2524.57	USD	3,026.75	
29AUG17	B	1	PD29NOV17		2396.00	2524.57	USD	3,214.25	
29AUG17	B	1	PD29NOV17		2391.00	2524.57	USD	3,339.25	
29AUG17	S	1	PD29NOV17		2398.50	2524.57	USD	-3,151.75	
29AUG17	B	2	PD29NOV17		2393.00	2524.57	USD	6,578.50	
29AUG17	B	1	PD29NOV17		2395.50	2524.57	USD	3,226.75	
29AUG17	B	1	PD29NOV17		2384.50	2524.57	USD	3,501.75	
29AUG17	B	2	PD29NOV17		2383.50	2524.57	USD	7,053.50	
29AUG17	B	3	PD29NOV17		2381.50	2524.57	USD	10,730.25	
29AUG17	B	2	PD29NOV17		2383.00	2524.57	USD	7,078.50	
29AUG17	B	1	PD29NOV17		2379.00	2524.57	USD	3,639.25	
29AUG17	S	2	PD29NOV17		2386.00	2524.57	USD	-6,928.50	
29AUG17	B	1	PD29NOV17		2382.50	2524.57	USD	3,551.75	
06SEP17	S	2	PD29NOV17		2337.88	2524.57	USD	-9,334.50	
	NET	0 AVG BGHT 2370.05		SOLD 2364.53				-4,143.50	0.00
30AUG17	B	2	PD30NOV17		2390.00	2524.72	USD	6,736.00	
30AUG17	S	1	PD30NOV17		2399.50	2524.72	USD	-3,130.50	
30AUG17	B	1	PD30NOV17		2399.50	2524.72	USD	3,130.50	
30AUG17	B	1	PD30NOV17		2394.50	2524.72	USD	3,255.50	
30AUG17	B	1	PD30NOV17		2392.00	2524.72	USD	3,318.00	

OPEN POSITION STATEMENT



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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME LEAD 25 TONNES. THIS IS AN</u>							
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30AUG17	B	4	PD30NOV17	2391.00	2524.72	USD	13,372.00	
30AUG17	S	1	PD30NOV17	2383.50	2524.72	USD	-3,530.50	
30AUG17	S	1	PD30NOV17	2387.00	2524.72	USD	-3,443.00	
30AUG17	S	1	PD30NOV17	2386.00	2524.72	USD	-3,468.00	
30AUG17	S	2	PD30NOV17	2384.50	2524.72	USD	-7,011.00	
30AUG17	S	1	PD30NOV17	2385.50	2524.72	USD	-3,480.50	
30AUG17	S	2	PD30NOV17	2384.00	2524.72	USD	-7,036.00	
30AUG17	S	1	PD30NOV17	2379.50	2524.72	USD	-3,630.50	
30AUG17	S	1	PD30NOV17	2380.50	2524.72	USD	-3,605.50	
30AUG17	B	1	PD30NOV17	2397.50	2524.72	USD	3,180.50	
30AUG17	B	1	PD30NOV17	2396.00	2524.72	USD	3,218.00	
30AUG17	S	2	PD30NOV17	2382.00	2524.72	USD	-7,136.00	
30AUG17	S	1	PD30NOV17	2381.00	2524.72	USD	-3,593.00	
30AUG17	S	3	PD30NOV17	2375.50	2524.72	USD	-11,191.50	
30AUG17	S	1	PD30NOV17	2372.00	2524.72	USD	-3,818.00	
30AUG17	S	1	PD30NOV17	2371.00	2524.72	USD	-3,843.00	
30AUG17	S	1	PD30NOV17	2374.50	2524.72	USD	-3,755.50	
30AUG17	S	1	PD30NOV17	2376.00	2524.72	USD	-3,718.00	
30AUG17	S	6	PD30NOV17	2380.00	2524.72	USD	-21,708.00	
30AUG17	S	3	PD30NOV17	2383.00	2524.72	USD	-10,629.00	
30AUG17	S	1	PD30NOV17	2388.00	2524.72	USD	-3,418.00	
30AUG17	S	1	PD30NOV17	2392.00	2524.72	USD	-3,318.00	
30AUG17	S	2	PD30NOV17	2398.50	2524.72	USD	-6,311.00	
30AUG17	B	2	PD30NOV17	2388.00	2524.72	USD	6,836.00	
30AUG17	B	1	PD30NOV17	2385.50	2524.72	USD	3,480.50	
30AUG17	B	1	PD30NOV17	2384.00	2524.72	USD	3,518.00	
30AUG17	B	1	PD30NOV17	2382.50	2524.72	USD	3,555.50	
30AUG17	B	1	PD30NOV17	2381.50	2524.72	USD	3,580.50	
30AUG17	B	1	PD30NOV17	2378.50	2524.72	USD	3,655.50	
30AUG17	B	1	PD30NOV17	2379.00	2524.72	USD	3,643.00	
30AUG17	B	1	PD30NOV17	2376.50	2524.72	USD	3,705.50	
30AUG17	B	1	PD30NOV17	2374.50	2524.72	USD	3,755.50	
30AUG17	B	1	PD30NOV17	2373.00	2524.72	USD	3,793.00	
30AUG17	B	2	PD30NOV17	2368.50	2524.72	USD	7,811.00	
30AUG17	B	1	PD30NOV17	2370.50	2524.72	USD	3,855.50	
30AUG17	B	2	PD30NOV17	2375.00	2524.72	USD	7,486.00	
30AUG17	B	2	PD30NOV17	2377.50	2524.72	USD	7,361.00	
30AUG17	B	3	PD30NOV17	2377.00	2524.72	USD	11,079.00	
30AUG17	S	2	PD30NOV17	2381.50	2524.72	USD	-7,161.00	
30AUG17	B	1	PD30NOV17	2379.50	2524.72	USD	3,630.50	
30AUG17	B	1	PD30NOV17	2378.00	2524.72	USD	3,668.00	
30AUG17	B	1	PD30NOV17	2377.50	2524.72	USD	3,680.50	
30AUG17	B	1	PD30NOV17	2379.00	2524.72	USD	3,643.00	
31AUG17	B	1	PD30NOV17	2384.50	2524.72	USD	3,505.50	
31AUG17	B	1	PD30NOV17	2385.50	2524.72	USD	3,480.50	
31AUG17	S	1	PD30NOV17	2383.50	2524.72	USD	-3,530.50	
31AUG17	B	2	PD30NOV17	2386.00	2524.72	USD	6,936.00	
31AUG17	B	3	PD30NOV17	2377.00	2524.72	USD	11,079.00	
31AUG17	B	2	PD30NOV17	2376.50	2524.72	USD	7,411.00	
31AUG17	B	1	PD30NOV17	2373.00	2524.72	USD	3,793.00	
31AUG17	S	2	PD30NOV17	2371.50	2524.72	USD	-7,661.00	
31AUG17	S	2	PD30NOV17	2373.00	2524.72	USD	-7,586.00	
31AUG17	B	1	PD30NOV17	2375.00	2524.72	USD	3,743.00	
31AUG17	B	1	PD30NOV17	2382.00	2524.72	USD	3,568.00	
31AUG17	B	3	PD30NOV17	2386.50	2524.72	USD	10,366.50	
31AUG17	S	2	PD30NOV17	2379.50	2524.72	USD	-7,261.00	
31AUG17	B	2	PD30NOV17	2382.50	2524.72	USD	7,111.00	

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31AUG17	B	2	PD30NOV17	2388.00	2524.72	USD	6,836.00	
31AUG17	B	1	PD30NOV17	2387.50	2524.72	USD	3,430.50	
31AUG17	S	1	PD30NOV17	2390.00	2524.72	USD	-3,368.00	
31AUG17	B	1	PD30NOV17	2390.50	2524.72	USD	3,355.50	
31AUG17	B	1	PD30NOV17	2392.00	2524.72	USD	3,318.00	
31AUG17	B	2	PD30NOV17	2389.00	2524.72	USD	6,786.00	
31AUG17	B	2	PD30NOV17	2389.50	2524.72	USD	6,761.00	
31AUG17	S	1	PD30NOV17	2388.50	2524.72	USD	-3,405.50	
31AUG17	S	1	PD30NOV17	2389.50	2524.72	USD	-3,380.50	
31AUG17	S	1	PD30NOV17	2397.50	2524.72	USD	-3,180.50	
31AUG17	S	1	PD30NOV17	2400.00	2524.72	USD	-3,118.00	
31AUG17	B	1	PD30NOV17	2391.00	2524.72	USD	3,343.00	
31AUG17	B	1	PD30NOV17	2383.00	2524.72	USD	3,543.00	
31AUG17	B	2	PD30NOV17	2375.50	2524.72	USD	7,461.00	
31AUG17	B	4	PD30NOV17	2374.00	2524.72	USD	15,072.00	
31AUG17	B	1	PD30NOV17	2374.50	2524.72	USD	3,755.50	
31AUG17	B	1	PD30NOV17	2378.00	2524.72	USD	3,668.00	
31AUG17	S	1	PD30NOV17	2384.50	2524.72	USD	-3,505.50	
31AUG17	B	1	PD30NOV17	2392.50	2524.72	USD	3,305.50	
31AUG17	B	5	PD30NOV17	2388.50	2524.72	USD	17,027.50	
31AUG17	S	2	PD30NOV17	2392.00	2524.72	USD	-6,636.00	
31AUG17	S	1	PD30NOV17	2381.00	2524.72	USD	-3,593.00	
31AUG17	S	1	PD30NOV17	2384.00	2524.72	USD	-3,518.00	
31AUG17	S	1	PD30NOV17	2385.00	2524.72	USD	-3,493.00	
31AUG17	S	1	PD30NOV17	2385.50	2524.72	USD	-3,480.50	
31AUG17	S	3	PD30NOV17	2386.50	2524.72	USD	-10,366.50	
31AUG17	S	1	PD30NOV17	2389.00	2524.72	USD	-3,393.00	
31AUG17	S	2	PD30NOV17	2391.00	2524.72	USD	-6,686.00	
31AUG17	S	3	PD30NOV17	2394.50	2524.72	USD	-9,766.50	
31AUG17	B	1	PD30NOV17	2395.00	2524.72	USD	3,243.00	
31AUG17	S	2	PD30NOV17	2383.00	2524.72	USD	-7,086.00	
31AUG17	S	2	PD30NOV17	2384.50	2524.72	USD	-7,011.00	
06SEP17	S	1	PD30NOV17	2338.15	2524.72	USD	-4,664.25	
06SEP17	S	10	PD30NOV17	2338.35	2524.72	USD	-46,592.50	
	NET	0	AVG BGHT 2383.03		SOLD 2377.77		-10,371.25	0.00
01SEP17	S	1	PD01DEC17	2395.00	2524.87	USD	-3,246.75	
01SEP17	S	1	PD01DEC17	2393.50	2524.87	USD	-3,284.25	
01SEP17	S	2	PD01DEC17	2393.00	2524.87	USD	-6,593.50	
01SEP17	S	1	PD01DEC17	2391.00	2524.87	USD	-3,346.75	
01SEP17	S	1	PD01DEC17	2397.50	2524.87	USD	-3,184.25	
01SEP17	S	2	PD01DEC17	2398.50	2524.87	USD	-6,318.50	
01SEP17	S	1	PD01DEC17	2404.00	2524.87	USD	-3,021.75	
01SEP17	S	2	PD01DEC17	2405.00	2524.87	USD	-5,993.50	
01SEP17	B	2	PD01DEC17	2397.50	2524.87	USD	6,368.50	
01SEP17	B	2	PD01DEC17	2398.50	2524.87	USD	6,318.50	
01SEP17	B	1	PD01DEC17	2397.00	2524.87	USD	3,196.75	
01SEP17	B	3	PD01DEC17	2392.00	2524.87	USD	9,965.25	
01SEP17	B	1	PD01DEC17	2391.50	2524.87	USD	3,334.25	
01SEP17	B	3	PD01DEC17	2390.50	2524.87	USD	10,077.75	
01SEP17	S	1	PD01DEC17	2389.00	2524.87	USD	-3,396.75	
01SEP17	B	1	PD01DEC17	2387.00	2524.87	USD	3,446.75	
01SEP17	B	7	PD01DEC17	2388.00	2524.87	USD	23,952.25	
01SEP17	S	2	PD01DEC17	2381.00	2524.87	USD	-7,193.50	
01SEP17	S	1	PD01DEC17	2380.50	2524.87	USD	-3,609.25	
01SEP17	S	1	PD01DEC17	2382.50	2524.87	USD	-3,559.25	
01SEP17	S	1	PD01DEC17	2388.50	2524.87	USD	-3,409.25	
01SEP17	S	1	PD01DEC17	2390.00	2524.87	USD	-3,371.75	

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01SEP17	S	1	PD01DEC17	2389.50	2524.87	USD	-3,384.25	
01SEP17	S	3	PD01DEC17	2388.00	2524.87	USD	-10,265.25	
01SEP17	S	1	PD01DEC17	2386.50	2524.87	USD	-3,459.25	
01SEP17	S	2	PD01DEC17	2387.00	2524.87	USD	-6,893.50	
01SEP17	S	1	PD01DEC17	2391.50	2524.87	USD	-3,334.25	
01SEP17	S	1	PD01DEC17	2394.50	2524.87	USD	-3,259.25	
01SEP17	S	2	PD01DEC17	2395.50	2524.87	USD	-6,468.50	
01SEP17	S	2	PD01DEC17	2396.00	2524.87	USD	-6,443.50	
01SEP17	S	3	PD01DEC17	2396.50	2524.87	USD	-9,627.75	
01SEP17	S	1	PD01DEC17	2397.00	2524.87	USD	-3,196.75	
01SEP17	S	2	PD01DEC17	2402.50	2524.87	USD	-6,118.50	
01SEP17	S	1	PD01DEC17	2404.50	2524.87	USD	-3,009.25	
01SEP17	S	3	PD01DEC17	2406.50	2524.87	USD	-8,877.75	
01SEP17	S	6	PD01DEC17	2405.50	2524.87	USD	-17,905.50	
01SEP17	S	1	PD01DEC17	2408.50	2524.87	USD	-2,909.25	
01SEP17	S	1	PD01DEC17	2407.00	2524.87	USD	-2,946.75	
01SEP17	S	2	PD01DEC17	2411.00	2524.87	USD	-5,693.50	
01SEP17	S	2	PD01DEC17	2406.00	2524.87	USD	-5,943.50	
01SEP17	S	1	PD01DEC17	2407.50	2524.87	USD	-2,934.25	
01SEP17	S	3	PD01DEC17	2400.00	2524.87	USD	-9,365.25	
01SEP17	B	1	PD01DEC17	2396.00	2524.87	USD	3,221.75	
01SEP17	S	3	PD01DEC17	2401.00	2524.87	USD	-9,290.25	
01SEP17	B	2	PD01DEC17	2408.00	2524.87	USD	5,843.50	
01SEP17	B	1	PD01DEC17	2411.00	2524.87	USD	2,846.75	
01SEP17	B	1	PD01DEC17	2409.50	2524.87	USD	2,884.25	
01SEP17	B	1	PD01DEC17	2411.50	2524.87	USD	2,834.25	
01SEP17	S	1	PD01DEC17	2390.00	2524.87	USD	-3,371.75	
01SEP17	S	1	PD01DEC17	2403.50	2524.87	USD	-3,034.25	
01SEP17	S	1	PD01DEC17	2408.00	2524.87	USD	-2,921.75	
01SEP17	B	1	PD01DEC17	2404.50	2524.87	USD	3,009.25	
01SEP17	B	1	PD01DEC17	2406.50	2524.87	USD	2,959.25	
01SEP17	B	1	PD01DEC17	2406.00	2524.87	USD	2,971.75	
01SEP17	B	2	PD01DEC17	2401.00	2524.87	USD	6,193.50	
01SEP17	B	2	PD01DEC17	2401.50	2524.87	USD	6,168.50	
01SEP17	B	3	PD01DEC17	2402.50	2524.87	USD	9,177.75	
01SEP17	B	2	PD01DEC17	2394.00	2524.87	USD	6,543.50	
01SEP17	B	2	PD01DEC17	2395.00	2524.87	USD	6,493.50	
01SEP17	B	4	PD01DEC17	2394.50	2524.87	USD	13,037.00	
01SEP17	B	2	PD01DEC17	2393.50	2524.87	USD	6,568.50	
01SEP17	B	1	PD01DEC17	2393.00	2524.87	USD	3,296.75	
01SEP17	B	10	PD01DEC17	2392.50	2524.87	USD	33,092.50	
01SEP17	B	4	PD01DEC17	2391.00	2524.87	USD	13,387.00	
01SEP17	S	4	PD01DEC17	2398.00	2524.87	USD	-12,687.00	
01SEP17	B	3	PD01DEC17	2399.00	2524.87	USD	9,440.25	
06SEP17	B	3	PD01DEC17	2338.83	2524.87	USD	13,953.00	
	NET	0AVG BGHT 2393.18	SOLD 2397.78				7,712.75	0.00
04SEP17	S	1	PD04DEC17	2398.50	2525.33	USD	-3,170.75	
04SEP17	S	4	PD04DEC17	2399.00	2525.33	USD	-12,633.00	
04SEP17	B	2	PD04DEC17	2406.50	2525.33	USD	5,941.50	
04SEP17	B	3	PD04DEC17	2407.50	2525.33	USD	8,837.25	
04SEP17	B	2	PD04DEC17	2400.50	2525.33	USD	6,241.50	
04SEP17	B	1	PD04DEC17	2393.50	2525.33	USD	3,295.75	
04SEP17	B	2	PD04DEC17	2395.00	2525.33	USD	6,516.50	
04SEP17	B	1	PD04DEC17	2388.50	2525.33	USD	3,420.75	
04SEP17	B	1	PD04DEC17	2387.50	2525.33	USD	3,445.75	
04SEP17	B	1	PD04DEC17	2387.00	2525.33	USD	3,458.25	
04SEP17	B	2	PD04DEC17	2386.50	2525.33	USD	6,941.50	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0112D

13th October 2017

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backoffice@sapiencap.com

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	<u>LME LEAD 25 TONNES. THIS IS AN</u>							
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04SEP17	B	1	PD04DEC17	2386.00	2525.33	USD	3,483.25	
04SEP17	B	1	PD04DEC17	2384.00	2525.33	USD	3,533.25	
04SEP17	B	1	PD04DEC17	2399.00	2525.33	USD	3,158.25	
04SEP17	B	1	PD04DEC17	2391.50	2525.33	USD	3,345.75	
04SEP17	B	1	PD04DEC17	2390.50	2525.33	USD	3,370.75	
04SEP17	B	1	PD04DEC17	2392.00	2525.33	USD	3,333.25	
04SEP17	B	1	PD04DEC17	2391.00	2525.33	USD	3,358.25	
04SEP17	B	1	PD04DEC17	2389.50	2525.33	USD	3,395.75	
04SEP17	S	1	PD04DEC17	2391.50	2525.33	USD	-3,345.75	
04SEP17	S	2	PD04DEC17	2397.50	2525.33	USD	-6,391.50	
04SEP17	S	5	PD04DEC17	2398.00	2525.33	USD	-15,916.25	
04SEP17	S	1	PD04DEC17	2401.00	2525.33	USD	-3,108.25	
04SEP17	S	2	PD04DEC17	2402.00	2525.33	USD	-6,166.50	
04SEP17	S	1	PD04DEC17	2400.00	2525.33	USD	-3,133.25	
04SEP17	S	3	PD04DEC17	2401.50	2525.33	USD	-9,287.25	
04SEP17	S	1	PD04DEC17	2399.50	2525.33	USD	-3,145.75	
04SEP17	B	2	PD04DEC17	2390.00	2525.33	USD	6,766.50	
04SEP17	S	1	PD04DEC17	2393.00	2525.33	USD	-3,308.25	
04SEP17	S	1	PD04DEC17	2395.00	2525.33	USD	-3,258.25	
04SEP17	B	1	PD04DEC17	2391.50	2525.33	USD	3,345.75	
04SEP17	S	1	PD04DEC17	2392.50	2525.33	USD	-3,320.75	
06SEP17	S	2	PD04DEC17	2339.45	2525.33	USD	-9,294.00	
	NET	0 AVG BGHT 2394.27	SOLD 2393.82				-290.00	0.00
05SEP17	S	1	PD05DEC17	2400.50	2525.48	USD	-3,124.50	
05SEP17	S	1	PD05DEC17	2396.50	2525.48	USD	-3,224.50	
05SEP17	S	5	PD05DEC17	2394.50	2525.48	USD	-16,372.50	
05SEP17	S	1	PD05DEC17	2397.50	2525.48	USD	-3,199.50	
05SEP17	S	2	PD05DEC17	2398.50	2525.48	USD	-6,349.00	
05SEP17	B	2	PD05DEC17	2399.50	2525.48	USD	6,299.00	
05SEP17	S	1	PD05DEC17	2404.50	2525.48	USD	-3,024.50	
05SEP17	S	3	PD05DEC17	2403.50	2525.48	USD	-9,148.50	
05SEP17	S	1	PD05DEC17	2401.50	2525.48	USD	-3,099.50	
05SEP17	S	1	PD05DEC17	2402.50	2525.48	USD	-3,074.50	
05SEP17	S	1	PD05DEC17	2403.00	2525.48	USD	-3,062.00	
05SEP17	S	1	PD05DEC17	2405.50	2525.48	USD	-2,999.50	
05SEP17	S	1	PD05DEC17	2405.00	2525.48	USD	-3,012.00	
05SEP17	B	1	PD05DEC17	2396.00	2525.48	USD	3,237.00	
05SEP17	S	4	PD05DEC17	2396.00	2525.48	USD	-12,948.00	
05SEP17	S	2	PD05DEC17	2395.50	2525.48	USD	-6,499.00	
05SEP17	S	6	PD05DEC17	2395.00	2525.48	USD	-19,572.00	
05SEP17	S	1	PD05DEC17	2394.00	2525.48	USD	-3,287.00	
05SEP17	S	3	PD05DEC17	2393.00	2525.48	USD	-9,936.00	
05SEP17	B	1	PD05DEC17	2393.00	2525.48	USD	3,312.00	
05SEP17	B	1	PD05DEC17	2392.00	2525.48	USD	3,337.00	
05SEP17	B	4	PD05DEC17	2391.00	2525.48	USD	13,448.00	
05SEP17	B	3	PD05DEC17	2388.00	2525.48	USD	10,311.00	
05SEP17	B	4	PD05DEC17	2389.50	2525.48	USD	13,598.00	
05SEP17	B	2	PD05DEC17	2390.00	2525.48	USD	6,774.00	
05SEP17	B	10	PD05DEC17	2389.00	2525.48	USD	34,120.00	
05SEP17	B	3	PD05DEC17	2388.50	2525.48	USD	10,273.50	
05SEP17	B	4	PD05DEC17	2386.00	2525.48	USD	13,948.00	
05SEP17	B	2	PD05DEC17	2387.00	2525.48	USD	6,924.00	
05SEP17	B	1	PD05DEC17	2386.50	2525.48	USD	3,474.50	
05SEP17	B	5	PD05DEC17	2385.50	2525.48	USD	17,497.50	
05SEP17	B	2	PD05DEC17	2384.50	2525.48	USD	7,049.00	
05SEP17	B	1	PD05DEC17	2382.50	2525.48	USD	3,574.50	
05SEP17	B	2	PD05DEC17	2382.00	2525.48	USD	7,174.00	

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	<u>LME LEAD 25 TONNES. THIS IS AN</u>							
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05SEP17	B	1	PD05DEC17	2374.00	2525.48	USD	3,787.00	
05SEP17	B	1	PD05DEC17	2373.00	2525.48	USD	3,812.00	
05SEP17	B	1	PD05DEC17	2372.50	2525.48	USD	3,824.50	
05SEP17	S	1	PD05DEC17	2375.00	2525.48	USD	-3,762.00	
05SEP17	S	1	PD05DEC17	2376.00	2525.48	USD	-3,737.00	
05SEP17	B	1	PD05DEC17	2370.50	2525.48	USD	3,874.50	
05SEP17	B	1	PD05DEC17	2371.00	2525.48	USD	3,862.00	
05SEP17	B	1	PD05DEC17	2368.50	2525.48	USD	3,924.50	
05SEP17	S	1	PD05DEC17	2382.50	2525.48	USD	-3,574.50	
05SEP17	S	3	PD05DEC17	2374.00	2525.48	USD	-11,361.00	
05SEP17	S	2	PD05DEC17	2364.00	2525.48	USD	-8,074.00	
05SEP17	S	1	PD05DEC17	2372.00	2525.48	USD	-3,837.00	
05SEP17	S	1	PD05DEC17	2365.50	2525.48	USD	-3,999.50	
05SEP17	S	2	PD05DEC17	2364.50	2525.48	USD	-8,049.00	
05SEP17	B	1	PD05DEC17	2368.00	2525.48	USD	3,937.00	
05SEP17	S	1	PD05DEC17	2361.50	2525.48	USD	-4,099.50	
05SEP17	S	1	PD05DEC17	2362.00	2525.48	USD	-4,087.00	
05SEP17	B	1	PD05DEC17	2356.00	2525.48	USD	4,237.00	
05SEP17	S	1	PD05DEC17	2360.00	2525.48	USD	-4,137.00	
05SEP17	S	2	PD05DEC17	2354.50	2525.48	USD	-8,549.00	
05SEP17	B	2	PD05DEC17	2354.00	2525.48	USD	8,574.00	
05SEP17	B	1	PD05DEC17	2353.50	2525.48	USD	4,299.50	
05SEP17	S	1	PD05DEC17	2347.00	2525.48	USD	-4,462.00	
05SEP17	S	2	PD05DEC17	2339.00	2525.48	USD	-9,324.00	
05SEP17	S	3	PD05DEC17	2341.50	2525.48	USD	-13,798.50	
05SEP17	S	4	PD05DEC17	2345.00	2525.48	USD	-18,048.00	
05SEP17	B	3	PD05DEC17	2346.00	2525.48	USD	13,461.00	
05SEP17	B	1	PD05DEC17	2345.00	2525.48	USD	4,512.00	
05SEP17	B	2	PD05DEC17	2339.00	2525.48	USD	9,324.00	
05SEP17	B	1	PD05DEC17	2341.50	2525.48	USD	4,599.50	
05SEP17	B	1	PD05DEC17	2338.50	2525.48	USD	4,674.50	
05SEP17	S	1	PD05DEC17	2338.50	2525.48	USD	-4,674.50	
05SEP17	S	1	PD05DEC17	2336.00	2525.48	USD	-4,737.00	
05SEP17	B	2	PD05DEC17	2331.50	2525.48	USD	9,699.00	
05SEP17	B	1	PD05DEC17	2332.50	2525.48	USD	4,824.50	
05SEP17	S	1	PD05DEC17	2327.00	2525.48	USD	-4,962.00	
05SEP17	S	1	PD05DEC17	2329.50	2525.48	USD	-4,899.50	
05SEP17	S	1	PD05DEC17	2327.50	2525.48	USD	-4,949.50	
05SEP17	S	3	PD05DEC17	2328.00	2525.48	USD	-14,811.00	
05SEP17	S	1	PD05DEC17	2331.50	2525.48	USD	-4,849.50	
05SEP17	S	2	PD05DEC17	2330.00	2525.48	USD	-9,774.00	
05SEP17	S	3	PD05DEC17	2338.00	2525.48	USD	-14,061.00	
05SEP17	S	1	PD05DEC17	2342.50	2525.48	USD	-4,574.50	
05SEP17	S	1	PD05DEC17	2343.00	2525.48	USD	-4,562.00	
05SEP17	S	2	PD05DEC17	2340.00	2525.48	USD	-9,274.00	
05SEP17	S	2	PD05DEC17	2340.50	2525.48	USD	-9,249.00	
05SEP17	S	2	PD05DEC17	2326.00	2525.48	USD	-9,974.00	
05SEP17	S	1	PD05DEC17	2326.50	2525.48	USD	-4,974.50	
05SEP17	S	1	PD05DEC17	2325.00	2525.48	USD	-5,012.00	
05SEP17	S	2	PD05DEC17	2329.00	2525.48	USD	-9,824.00	
05SEP17	S	2	PD05DEC17	2336.50	2525.48	USD	-9,449.00	
05SEP17	B	1	PD05DEC17	2332.00	2525.48	USD	4,837.00	
06SEP17	B	9	PD05DEC17	2339.93	2525.48	USD	41,748.75	
08SEP17	B	10	PD05DEC17	2264.58	2525.48	USD	65,225.00	
	NET	0	AVG BGHT 2360.42	SOLD 2365.73			11,945.75	0.00
06SEP17	B	1	PD06DEC17	2339.00	2525.63	USD	4,665.75	
06SEP17	B	2	PD06DEC17	2338.00	2525.63	USD	9,381.50	

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	<u>LME LEAD 25 TONNES. THIS IS AN</u>							
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06SEP17	B	2	PD06DEC17	2337.50	2525.63	USD	9,406.50	
06SEP17	B	1	PD06DEC17	2326.50	2525.63	USD	4,978.25	
06SEP17	S	1	PD06DEC17	2320.50	2525.63	USD	-5,128.25	
06SEP17	S	1	PD06DEC17	2318.00	2525.63	USD	-5,190.75	
06SEP17	S	1	PD06DEC17	2319.00	2525.63	USD	-5,165.75	
06SEP17	S	2	PD06DEC17	2320.00	2525.63	USD	-10,281.50	
06SEP17	S	2	PD06DEC17	2323.00	2525.63	USD	-10,131.50	
06SEP17	S	1	PD06DEC17	2323.50	2525.63	USD	-5,053.25	
06SEP17	S	1	PD06DEC17	2324.50	2525.63	USD	-5,028.25	
06SEP17	S	3	PD06DEC17	2327.00	2525.63	USD	-14,897.25	
06SEP17	S	1	PD06DEC17	2330.00	2525.63	USD	-4,890.75	
06SEP17	S	1	PD06DEC17	2329.50	2525.63	USD	-4,903.25	
06SEP17	S	2	PD06DEC17	2326.00	2525.63	USD	-9,981.50	
06SEP17	S	2	PD06DEC17	2324.00	2525.63	USD	-10,081.50	
06SEP17	S	4	PD06DEC17	2325.00	2525.63	USD	-20,063.00	
06SEP17	B	1	PD06DEC17	2324.00	2525.63	USD	5,040.75	
06SEP17	S	1	PD06DEC17	2358.00	2525.63	USD	-4,190.75	
06SEP17	B	2	PD06DEC17	2345.00	2525.63	USD	9,031.50	
06SEP17	B	1	PD06DEC17	2341.00	2525.63	USD	4,615.75	
06SEP17	B	1	PD06DEC17	2340.50	2525.63	USD	4,628.25	
06SEP17	B	1	PD06DEC17	2340.00	2525.63	USD	4,640.75	
06SEP17	B	1	PD06DEC17	2341.50	2525.63	USD	4,603.25	
06SEP17	S	1	PD06DEC17	2342.00	2525.63	USD	-4,590.75	
06SEP17	B	2	PD06DEC17	2342.00	2525.63	USD	9,181.50	
06SEP17	S	5	PD06DEC17	2342.50	2525.63	USD	-22,891.25	
06SEP17	B	3	PD06DEC17	2346.00	2525.63	USD	13,472.25	
06SEP17	S	1	PD06DEC17	2361.00	2525.63	USD	-4,115.75	
06SEP17	B	1	PD06DEC17	2351.00	2525.63	USD	4,365.75	
06SEP17	B	1	PD06DEC17	2351.50	2525.63	USD	4,353.25	
06SEP17	B	1	PD06DEC17	2350.00	2525.63	USD	4,390.75	
06SEP17	B	1	PD06DEC17	2350.50	2525.63	USD	4,378.25	
06SEP17	B	1	PD06DEC17	2343.00	2525.63	USD	4,565.75	
06SEP17	B	2	PD06DEC17	2347.00	2525.63	USD	8,931.50	
06SEP17	B	1	PD06DEC17	2353.00	2525.63	USD	4,315.75	
06SEP17	B	1	PD06DEC17	2355.00	2525.63	USD	4,265.75	
06SEP17	B	2	PD06DEC17	2355.50	2525.63	USD	8,506.50	
06SEP17	B	1	PD06DEC17	2354.00	2525.63	USD	4,290.75	
06SEP17	B	1	PD06DEC17	2352.00	2525.63	USD	4,340.75	
06SEP17	B	1	PD06DEC17	2349.00	2525.63	USD	4,415.75	
06SEP17	B	1	PD06DEC17	2347.50	2525.63	USD	4,453.25	
06SEP17	B	2	PD06DEC17	2343.50	2525.63	USD	9,106.50	
06SEP17	S	1	PD06DEC17	2346.50	2525.63	USD	-4,478.25	
06SEP17	B	3	PD06DEC17	2344.00	2525.63	USD	13,622.25	
06SEP17	B	2	PD06DEC17	2345.50	2525.63	USD	9,006.50	
06SEP17	B	1	PD06DEC17	2346.00	2525.63	USD	4,490.75	
06SEP17	B	1	PD06DEC17	2344.50	2525.63	USD	4,528.25	
08SEP17	S	10	PD06DEC17	2264.80	2525.63	USD	-65,207.50	
08SEP17	S	2	PD06DEC17	2265.00	2525.63	USD	-13,031.50	
14SEP17	B	1	PD06DEC17	2304.72	2525.63	USD	5,522.75	
	NET	0 AVG BGHT 2343.77	SOLD 2312.33				-33,805.50	0.00
07SEP17	S	2	PD07DEC17	2343.50	2525.78	USD	-9,114.00	
07SEP17	S	2	PD07DEC17	2341.50	2525.78	USD	-9,214.00	
07SEP17	B	1	PD07DEC17	2332.00	2525.78	USD	4,844.50	
07SEP17	B	2	PD07DEC17	2334.50	2525.78	USD	9,564.00	
07SEP17	B	2	PD07DEC17	2333.50	2525.78	USD	9,614.00	
07SEP17	S	2	PD07DEC17	2336.00	2525.78	USD	-9,489.00	
07SEP17	B	1	PD07DEC17	2340.50	2525.78	USD	4,632.00	

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07SEP17	B	1	PD07DEC17	2338.50	2525.78	USD	4,682.00	
07SEP17	B	3	PD07DEC17	2335.50	2525.78	USD	14,271.00	
07SEP17	B	4	PD07DEC17	2331.50	2525.78	USD	19,428.00	
07SEP17	B	4	PD07DEC17	2330.50	2525.78	USD	19,528.00	
07SEP17	B	4	PD07DEC17	2331.00	2525.78	USD	19,478.00	
07SEP17	B	2	PD07DEC17	2332.50	2525.78	USD	9,664.00	
07SEP17	B	5	PD07DEC17	2333.00	2525.78	USD	24,097.50	
07SEP17	B	1	PD07DEC17	2330.00	2525.78	USD	4,894.50	
07SEP17	B	3	PD07DEC17	2329.00	2525.78	USD	14,758.50	
07SEP17	B	1	PD07DEC17	2328.00	2525.78	USD	4,944.50	
07SEP17	B	2	PD07DEC17	2321.00	2525.78	USD	10,239.00	
07SEP17	S	1	PD07DEC17	2331.00	2525.78	USD	-4,869.50	
07SEP17	S	1	PD07DEC17	2330.50	2525.78	USD	-4,882.00	
07SEP17	S	1	PD07DEC17	2333.00	2525.78	USD	-4,819.50	
07SEP17	S	1	PD07DEC17	2335.00	2525.78	USD	-4,769.50	
07SEP17	S	3	PD07DEC17	2334.50	2525.78	USD	-14,346.00	
07SEP17	S	3	PD07DEC17	2344.00	2525.78	USD	-13,633.50	
07SEP17	S	2	PD07DEC17	2342.50	2525.78	USD	-9,164.00	
07SEP17	S	2	PD07DEC17	2338.50	2525.78	USD	-9,364.00	
07SEP17	B	1	PD07DEC17	2340.00	2525.78	USD	4,644.50	
07SEP17	S	3	PD07DEC17	2337.50	2525.78	USD	-14,121.00	
07SEP17	S	5	PD07DEC17	2336.50	2525.78	USD	-23,660.00	
07SEP17	S	3	PD07DEC17	2337.00	2525.78	USD	-14,158.50	
07SEP17	S	2	PD07DEC17	2342.00	2525.78	USD	-9,189.00	
07SEP17	S	3	PD07DEC17	2338.00	2525.78	USD	-14,083.50	
07SEP17	S	4	PD07DEC17	2339.00	2525.78	USD	-18,678.00	
07SEP17	S	4	PD07DEC17	2340.00	2525.78	USD	-18,578.00	
07SEP17	S	5	PD07DEC17	2339.50	2525.78	USD	-23,285.00	
07SEP17	S	1	PD07DEC17	2340.50	2525.78	USD	-4,632.00	
07SEP17	S	5	PD07DEC17	2341.00	2525.78	USD	-23,097.50	
07SEP17	S	2	PD07DEC17	2345.00	2525.78	USD	-9,039.00	
07SEP17	S	1	PD07DEC17	2346.50	2525.78	USD	-4,482.00	
07SEP17	S	1	PD07DEC17	2350.50	2525.78	USD	-4,382.00	
07SEP17	S	1	PD07DEC17	2352.00	2525.78	USD	-4,344.50	
07SEP17	S	1	PD07DEC17	2352.50	2525.78	USD	-4,332.00	
07SEP17	S	1	PD07DEC17	2354.50	2525.78	USD	-4,282.00	
07SEP17	S	1	PD07DEC17	2356.00	2525.78	USD	-4,244.50	
07SEP17	S	3	PD07DEC17	2355.50	2525.78	USD	-12,771.00	
07SEP17	S	1	PD07DEC17	2360.50	2525.78	USD	-4,132.00	
07SEP17	S	1	PD07DEC17	2362.00	2525.78	USD	-4,094.50	
07SEP17	B	1	PD07DEC17	2349.50	2525.78	USD	4,407.00	
07SEP17	S	1	PD07DEC17	2355.00	2525.78	USD	-4,269.50	
07SEP17	B	1	PD07DEC17	2345.00	2525.78	USD	4,519.50	
07SEP17	B	4	PD07DEC17	2339.50	2525.78	USD	18,628.00	
07SEP17	B	2	PD07DEC17	2337.50	2525.78	USD	9,414.00	
07SEP17	B	3	PD07DEC17	2339.00	2525.78	USD	14,008.50	
07SEP17	B	1	PD07DEC17	2343.00	2525.78	USD	4,569.50	
07SEP17	B	2	PD07DEC17	2342.00	2525.78	USD	9,189.00	
07SEP17	B	1	PD07DEC17	2341.50	2525.78	USD	4,607.00	
07SEP17	B	1	PD07DEC17	2345.50	2525.78	USD	4,507.00	
07SEP17	B	2	PD07DEC17	2346.00	2525.78	USD	8,989.00	
07SEP17	B	1	PD07DEC17	2344.00	2525.78	USD	4,544.50	
07SEP17	S	2	PD07DEC17	2346.00	2525.78	USD	-8,989.00	
07SEP17	B	2	PD07DEC17	2347.00	2525.78	USD	8,939.00	
07SEP17	B	2	PD07DEC17	2347.50	2525.78	USD	8,914.00	
07SEP17	B	1	PD07DEC17	2348.00	2525.78	USD	4,444.50	
07SEP17	B	2	PD07DEC17	2346.50	2525.78	USD	8,964.00	

OPEN POSITION STATEMENT



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SAP0112D

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	<u>LME LEAD 25 TONNES. THIS IS AN</u>							
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07SEP17	B	1	PD07DEC17	2344.00	2525.78	USD	4,544.50	
07SEP17	B	1	PD07DEC17	2346.00	2525.78	USD	4,494.50	
08SEP17	B	2	PD07DEC17	2265.20	2525.78	USD	13,029.00	
13SEP17	B	1	PD07DEC17	2288.11	2525.78	USD	5,941.75	
14SEP17	B	3	PD07DEC17	2305.01	2525.78	USD	16,557.75	
	NET	0 AVG BGHT 2332.82	SOLD 2341.83				15,986.50	0.00
08SEP17	B	1	PD08DEC17	2356.00	2525.93	USD	4,248.25	
08SEP17	B	1	PD08DEC17	2354.50	2525.93	USD	4,285.75	
08SEP17	B	1	PD08DEC17	2354.00	2525.93	USD	4,298.25	
08SEP17	B	2	PD08DEC17	2352.00	2525.93	USD	8,696.50	
08SEP17	S	1	PD08DEC17	2347.00	2525.93	USD	-4,473.25	
08SEP17	S	1	PD08DEC17	2341.50	2525.93	USD	-4,610.75	
08SEP17	S	1	PD08DEC17	2342.50	2525.93	USD	-4,585.75	
08SEP17	S	1	PD08DEC17	2337.50	2525.93	USD	-4,710.75	
08SEP17	S	1	PD08DEC17	2339.50	2525.93	USD	-4,660.75	
08SEP17	S	4	PD08DEC17	2338.50	2525.93	USD	-18,743.00	
08SEP17	S	1	PD08DEC17	2338.00	2525.93	USD	-4,698.25	
08SEP17	S	2	PD08DEC17	2335.50	2525.93	USD	-9,521.50	
08SEP17	S	1	PD08DEC17	2330.50	2525.93	USD	-4,885.75	
08SEP17	S	1	PD08DEC17	2332.00	2525.93	USD	-4,848.25	
08SEP17	B	2	PD08DEC17	2325.50	2525.93	USD	10,021.50	
08SEP17	B	1	PD08DEC17	2321.00	2525.93	USD	5,123.25	
08SEP17	S	1	PD08DEC17	2318.00	2525.93	USD	-5,198.25	
08SEP17	S	1	PD08DEC17	2319.00	2525.93	USD	-5,173.25	
08SEP17	B	1	PD08DEC17	2318.00	2525.93	USD	5,198.25	
08SEP17	S	1	PD08DEC17	2321.50	2525.93	USD	-5,110.75	
08SEP17	S	2	PD08DEC17	2317.50	2525.93	USD	-10,421.50	
08SEP17	S	1	PD08DEC17	2323.50	2525.93	USD	-5,060.75	
08SEP17	B	1	PD08DEC17	2316.00	2525.93	USD	5,248.25	
08SEP17	B	2	PD08DEC17	2315.50	2525.93	USD	10,521.50	
08SEP17	B	3	PD08DEC17	2314.50	2525.93	USD	15,857.25	
08SEP17	B	1	PD08DEC17	2313.00	2525.93	USD	5,323.25	
08SEP17	B	3	PD08DEC17	2311.50	2525.93	USD	16,082.25	
08SEP17	B	1	PD08DEC17	2309.50	2525.93	USD	5,410.75	
08SEP17	B	1	PD08DEC17	2309.00	2525.93	USD	5,423.25	
08SEP17	B	1	PD08DEC17	2298.00	2525.93	USD	5,698.25	
08SEP17	S	1	PD08DEC17	2302.50	2525.93	USD	-5,585.75	
08SEP17	S	1	PD08DEC17	2309.00	2525.93	USD	-5,423.25	
08SEP17	S	3	PD08DEC17	2308.50	2525.93	USD	-16,307.25	
08SEP17	S	2	PD08DEC17	2308.00	2525.93	USD	-10,896.50	
08SEP17	B	1	PD08DEC17	2306.50	2525.93	USD	5,485.75	
08SEP17	B	1	PD08DEC17	2306.00	2525.93	USD	5,498.25	
08SEP17	B	2	PD08DEC17	2307.50	2525.93	USD	10,921.50	
08SEP17	B	3	PD08DEC17	2304.00	2525.93	USD	16,644.75	
08SEP17	B	1	PD08DEC17	2301.00	2525.93	USD	5,623.25	
08SEP17	B	3	PD08DEC17	2298.50	2525.93	USD	17,057.25	
08SEP17	B	1	PD08DEC17	2303.50	2525.93	USD	5,560.75	
08SEP17	S	2	PD08DEC17	2305.50	2525.93	USD	-11,021.50	
08SEP17	S	1	PD08DEC17	2306.50	2525.93	USD	-5,485.75	
08SEP17	B	1	PD08DEC17	2305.00	2525.93	USD	5,523.25	
08SEP17	B	2	PD08DEC17	2302.50	2525.93	USD	11,171.50	
08SEP17	B	3	PD08DEC17	2301.50	2525.93	USD	16,832.25	
08SEP17	B	1	PD08DEC17	2300.00	2525.93	USD	5,648.25	
08SEP17	B	1	PD08DEC17	2299.50	2525.93	USD	5,660.75	
08SEP17	B	1	PD08DEC17	2299.00	2525.93	USD	5,673.25	
08SEP17	B	2	PD08DEC17	2296.00	2525.93	USD	11,496.50	
08SEP17	B	1	PD08DEC17	2296.50	2525.93	USD	5,735.75	

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	<u>LME LEAD 25 TONNES. THIS IS AN</u>							
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08SEP17	B	1	PD08DEC17	2293.00	2525.93	USD	5,823.25	
08SEP17	B	1	PD08DEC17	2356.50	2525.93	USD	4,235.75	
08SEP17	B	1	PD08DEC17	2340.00	2525.93	USD	4,648.25	
08SEP17	B	1	PD08DEC17	2321.50	2525.93	USD	5,110.75	
08SEP17	B	1	PD08DEC17	2320.50	2525.93	USD	5,135.75	
08SEP17	B	1	PD08DEC17	2317.00	2525.93	USD	5,223.25	
08SEP17	S	1	PD08DEC17	2300.50	2525.93	USD	-5,635.75	
08SEP17	S	2	PD08DEC17	2291.50	2525.93	USD	-11,721.50	
08SEP17	S	1	PD08DEC17	2295.00	2525.93	USD	-5,773.25	
08SEP17	S	1	PD08DEC17	2294.50	2525.93	USD	-5,785.75	
08SEP17	S	2	PD08DEC17	2295.50	2525.93	USD	-11,521.50	
08SEP17	S	1	PD08DEC17	2292.50	2525.93	USD	-5,835.75	
08SEP17	S	1	PD08DEC17	2293.00	2525.93	USD	-5,823.25	
08SEP17	S	1	PD08DEC17	2294.00	2525.93	USD	-5,798.25	
08SEP17	S	2	PD08DEC17	2293.50	2525.93	USD	-11,621.50	
08SEP17	S	1	PD08DEC17	2297.00	2525.93	USD	-5,723.25	
08SEP17	S	5	PD08DEC17	2298.50	2525.93	USD	-28,428.75	
08SEP17	S	2	PD08DEC17	2298.00	2525.93	USD	-11,396.50	
08SEP17	S	4	PD08DEC17	2299.50	2525.93	USD	-22,643.00	
08SEP17	S	4	PD08DEC17	2307.00	2525.93	USD	-21,893.00	
08SEP17	S	6	PD08DEC17	2300.00	2525.93	USD	-33,889.50	
08SEP17	S	3	PD08DEC17	2297.50	2525.93	USD	-17,132.25	
08SEP17	B	1	PD08DEC17	2286.00	2525.93	USD	5,998.25	
08SEP17	B	1	PD08DEC17	2283.50	2525.93	USD	6,060.75	
08SEP17	S	1	PD08DEC17	2279.50	2525.93	USD	-6,160.75	
08SEP17	B	1	PD08DEC17	2279.00	2525.93	USD	6,173.25	
08SEP17	S	1	PD08DEC17	2286.00	2525.93	USD	-5,998.25	
08SEP17	S	1	PD08DEC17	2287.00	2525.93	USD	-5,973.25	
08SEP17	B	1	PD08DEC17	2275.50	2525.93	USD	6,260.75	
08SEP17	B	1	PD08DEC17	2267.50	2525.93	USD	6,460.75	
08SEP17	B	1	PD08DEC17	2269.00	2525.93	USD	6,423.25	
08SEP17	B	2	PD08DEC17	2268.00	2525.93	USD	12,896.50	
08SEP17	S	1	PD08DEC17	2269.00	2525.93	USD	-6,423.25	
08SEP17	B	4	PD08DEC17	2266.50	2525.93	USD	25,943.00	
08SEP17	B	3	PD08DEC17	2266.00	2525.93	USD	19,494.75	
14SEP17	B	1	PD08DEC17	2305.29	2525.93	USD	5,516.00	
15SEP17	B	2	PD08DEC17	2357.08	2525.93	USD	8,442.50	
19SEP17	B	1	PD08DEC17	2418.50	2525.93	USD	2,685.75	
	NET	0AVG BGHT 2307.06	SOLD 2308.13				1,901.25	0.00
11SEP17	S	1	PD11DEC17	2275.50	2526.39	USD	-6,272.25	
11SEP17	S	1	PD11DEC17	2274.50	2526.39	USD	-6,297.25	
11SEP17	S	1	PD11DEC17	2274.00	2526.39	USD	-6,309.75	
11SEP17	B	1	PD11DEC17	2268.50	2526.39	USD	6,447.25	
11SEP17	S	1	PD11DEC17	2268.00	2526.39	USD	-6,459.75	
11SEP17	S	1	PD11DEC17	2268.50	2526.39	USD	-6,447.25	
11SEP17	S	3	PD11DEC17	2267.50	2526.39	USD	-19,416.75	
11SEP17	B	4	PD11DEC17	2270.50	2526.39	USD	25,589.00	
11SEP17	B	2	PD11DEC17	2270.00	2526.39	USD	12,819.50	
11SEP17	B	7	PD11DEC17	2269.50	2526.39	USD	44,955.75	
11SEP17	S	3	PD11DEC17	2285.00	2526.39	USD	-18,104.25	
11SEP17	B	4	PD11DEC17	2280.00	2526.39	USD	24,639.00	
11SEP17	B	1	PD11DEC17	2279.00	2526.39	USD	6,184.75	
11SEP17	B	1	PD11DEC17	2276.00	2526.39	USD	6,259.75	
11SEP17	B	4	PD11DEC17	2276.50	2526.39	USD	24,989.00	
11SEP17	B	2	PD11DEC17	2272.50	2526.39	USD	12,694.50	
11SEP17	B	1	PD11DEC17	2272.00	2526.39	USD	6,359.75	
11SEP17	S	1	PD11DEC17	2281.50	2526.39	USD	-6,122.25	

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11SEP17	S	1	PD11DEC17	2288.00	2526.39	USD	-5,959.75	
11SEP17	S	1	PD11DEC17	2288.50	2526.39	USD	-5,947.25	
11SEP17	S	2	PD11DEC17	2289.50	2526.39	USD	-11,844.50	
11SEP17	S	1	PD11DEC17	2291.50	2526.39	USD	-5,872.25	
11SEP17	B	1	PD11DEC17	2293.50	2526.39	USD	5,822.25	
11SEP17	S	2	PD11DEC17	2293.50	2526.39	USD	-11,644.50	
11SEP17	S	5	PD11DEC17	2295.00	2526.39	USD	-28,923.75	
11SEP17	S	5	PD11DEC17	2296.00	2526.39	USD	-28,798.75	
11SEP17	S	2	PD11DEC17	2297.00	2526.39	USD	-11,469.50	
11SEP17	S	1	PD11DEC17	2297.50	2526.39	USD	-5,722.25	
11SEP17	S	3	PD11DEC17	2295.50	2526.39	USD	-17,316.75	
11SEP17	S	4	PD11DEC17	2292.50	2526.39	USD	-23,389.00	
11SEP17	S	3	PD11DEC17	2287.50	2526.39	USD	-17,916.75	
11SEP17	S	4	PD11DEC17	2287.00	2526.39	USD	-23,939.00	
11SEP17	S	1	PD11DEC17	2283.00	2526.39	USD	-6,084.75	
11SEP17	S	2	PD11DEC17	2283.50	2526.39	USD	-12,144.50	
11SEP17	S	1	PD11DEC17	2287.00	2526.39	USD	-5,984.75	
11SEP17	S	2	PD11DEC17	2287.50	2526.39	USD	-11,944.50	
11SEP17	S	1	PD11DEC17	2291.50	2526.39	USD	-5,872.25	
11SEP17	S	1	PD11DEC17	2292.00	2526.39	USD	-5,859.75	
11SEP17	S	1	PD11DEC17	2277.00	2526.39	USD	-6,234.75	
11SEP17	S	1	PD11DEC17	2277.50	2526.39	USD	-6,222.25	
11SEP17	S	1	PD11DEC17	2280.00	2526.39	USD	-6,159.75	
11SEP17	B	1	PD11DEC17	2270.50	2526.39	USD	6,397.25	
11SEP17	S	1	PD11DEC17	2294.00	2526.39	USD	-5,809.75	
11SEP17	B	1	PD11DEC17	2282.00	2526.39	USD	6,109.75	
11SEP17	B	4	PD11DEC17	2267.00	2526.39	USD	25,939.00	
11SEP17	S	1	PD11DEC17	2265.50	2526.39	USD	-6,522.25	
11SEP17	B	1	PD11DEC17	2264.00	2526.39	USD	6,559.75	
11SEP17	B	1	PD11DEC17	2262.50	2526.39	USD	6,597.25	
11SEP17	B	1	PD11DEC17	2260.50	2526.39	USD	6,647.25	
11SEP17	B	1	PD11DEC17	2257.50	2526.39	USD	6,722.25	
11SEP17	B	2	PD11DEC17	2260.00	2526.39	USD	13,319.50	
11SEP17	B	1	PD11DEC17	2261.50	2526.39	USD	6,622.25	
11SEP17	B	2	PD11DEC17	2261.00	2526.39	USD	13,269.50	
11SEP17	B	1	PD11DEC17	2265.50	2526.39	USD	6,522.25	
11SEP17	B	2	PD11DEC17	2266.50	2526.39	USD	12,994.50	
11SEP17	B	1	PD11DEC17	2264.50	2526.39	USD	6,547.25	
11SEP17	S	1	PD11DEC17	2273.50	2526.39	USD	-6,322.25	
11SEP17	B	1	PD11DEC17	2271.50	2526.39	USD	6,372.25	
11SEP17	B	2	PD11DEC17	2274.00	2526.39	USD	12,619.50	
11SEP17	B	1	PD11DEC17	2278.50	2526.39	USD	6,197.25	
11SEP17	B	3	PD11DEC17	2277.50	2526.39	USD	18,666.75	
11SEP17	B	1	PD11DEC17	2279.50	2526.39	USD	6,172.25	
11SEP17	B	3	PD11DEC17	2280.50	2526.39	USD	18,441.75	
11SEP17	B	1	PD11DEC17	2279.50	2526.39	USD	6,172.25	
11SEP17	B	1	PD11DEC17	2278.50	2526.39	USD	6,197.25	
13SEP17	S	1	PD11DEC17	2289.17	2526.39	USD	-5,930.50	
14SEP17	B	1	PD11DEC17	2306.15	2526.39	USD	5,506.00	
	NET	0 AVG BGHT 2272.39	SOLD 2286.87				22,088.00	0.00
12SEP17	S	1	PD12DEC17	2276.50	2526.54	USD	-6,251.00	
12SEP17	S	1	PD12DEC17	2273.50	2526.54	USD	-6,326.00	
12SEP17	S	1	PD12DEC17	2275.00	2526.54	USD	-6,288.50	
12SEP17	S	1	PD12DEC17	2273.00	2526.54	USD	-6,338.50	
12SEP17	S	3	PD12DEC17	2275.50	2526.54	USD	-18,828.00	
12SEP17	S	2	PD12DEC17	2276.00	2526.54	USD	-12,527.00	
12SEP17	S	3	PD12DEC17	2274.50	2526.54	USD	-18,903.00	

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12SEP17	B	1	PD12DEC17	2279.00	2526.54	USD	6,188.50	
12SEP17	B	1	PD12DEC17	2278.50	2526.54	USD	6,201.00	
12SEP17	S	2	PD12DEC17	2281.00	2526.54	USD	-12,277.00	
12SEP17	S	1	PD12DEC17	2292.00	2526.54	USD	-5,863.50	
12SEP17	S	1	PD12DEC17	2290.00	2526.54	USD	-5,913.50	
12SEP17	S	3	PD12DEC17	2291.00	2526.54	USD	-17,665.50	
12SEP17	S	1	PD12DEC17	2291.50	2526.54	USD	-5,876.00	
12SEP17	S	1	PD12DEC17	2286.00	2526.54	USD	-6,013.50	
12SEP17	S	1	PD12DEC17	2284.50	2526.54	USD	-6,051.00	
12SEP17	B	6	PD12DEC17	2277.50	2526.54	USD	37,356.00	
12SEP17	B	2	PD12DEC17	2276.50	2526.54	USD	12,502.00	
12SEP17	B	3	PD12DEC17	2275.00	2526.54	USD	18,865.50	
12SEP17	B	1	PD12DEC17	2274.00	2526.54	USD	6,313.50	
12SEP17	B	6	PD12DEC17	2274.50	2526.54	USD	37,806.00	
12SEP17	B	1	PD12DEC17	2273.50	2526.54	USD	6,326.00	
12SEP17	B	2	PD12DEC17	2273.00	2526.54	USD	12,677.00	
12SEP17	B	2	PD12DEC17	2272.50	2526.54	USD	12,702.00	
12SEP17	B	2	PD12DEC17	2271.50	2526.54	USD	12,752.00	
12SEP17	B	1	PD12DEC17	2271.00	2526.54	USD	6,388.50	
12SEP17	B	2	PD12DEC17	2270.00	2526.54	USD	12,827.00	
12SEP17	S	1	PD12DEC17	2267.50	2526.54	USD	-6,476.00	
12SEP17	S	2	PD12DEC17	2269.50	2526.54	USD	-12,852.00	
12SEP17	S	3	PD12DEC17	2270.00	2526.54	USD	-19,240.50	
12SEP17	S	1	PD12DEC17	2272.50	2526.54	USD	-6,351.00	
12SEP17	B	1	PD12DEC17	2264.00	2526.54	USD	6,563.50	
12SEP17	B	1	PD12DEC17	2263.50	2526.54	USD	6,576.00	
12SEP17	B	3	PD12DEC17	2264.50	2526.54	USD	19,653.00	
12SEP17	B	1	PD12DEC17	2262.50	2526.54	USD	6,601.00	
12SEP17	B	1	PD12DEC17	2261.50	2526.54	USD	6,626.00	
12SEP17	B	1	PD12DEC17	2259.00	2526.54	USD	6,688.50	
12SEP17	B	3	PD12DEC17	2261.00	2526.54	USD	19,915.50	
12SEP17	B	1	PD12DEC17	2260.00	2526.54	USD	6,663.50	
12SEP17	B	2	PD12DEC17	2258.50	2526.54	USD	13,402.00	
12SEP17	S	1	PD12DEC17	2258.00	2526.54	USD	-6,713.50	
12SEP17	S	2	PD12DEC17	2260.50	2526.54	USD	-13,302.00	
12SEP17	S	1	PD12DEC17	2263.50	2526.54	USD	-6,576.00	
12SEP17	B	1	PD12DEC17	2257.50	2526.54	USD	6,726.00	
12SEP17	B	1	PD12DEC17	2257.00	2526.54	USD	6,738.50	
12SEP17	S	3	PD12DEC17	2266.50	2526.54	USD	-19,503.00	
12SEP17	S	1	PD12DEC17	2267.00	2526.54	USD	-6,488.50	
12SEP17	S	2	PD12DEC17	2271.00	2526.54	USD	-12,777.00	
12SEP17	S	1	PD12DEC17	2270.50	2526.54	USD	-6,401.00	
12SEP17	S	3	PD12DEC17	2274.00	2526.54	USD	-18,940.50	
12SEP17	S	1	PD12DEC17	2279.00	2526.54	USD	-6,188.50	
12SEP17	S	1	PD12DEC17	2287.50	2526.54	USD	-5,976.00	
12SEP17	S	2	PD12DEC17	2285.00	2526.54	USD	-12,077.00	
12SEP17	B	3	PD12DEC17	2280.50	2526.54	USD	18,453.00	
12SEP17	B	4	PD12DEC17	2281.00	2526.54	USD	24,554.00	
12SEP17	S	2	PD12DEC17	2285.50	2526.54	USD	-12,052.00	
12SEP17	S	2	PD12DEC17	2288.00	2526.54	USD	-11,927.00	
12SEP17	S	1	PD12DEC17	2289.00	2526.54	USD	-5,938.50	
12SEP17	S	2	PD12DEC17	2289.50	2526.54	USD	-11,852.00	
12SEP17	S	2	PD12DEC17	2293.00	2526.54	USD	-11,677.00	
12SEP17	S	2	PD12DEC17	2286.50	2526.54	USD	-12,002.00	
12SEP17	S	1	PD12DEC17	2294.50	2526.54	USD	-5,801.00	
12SEP17	S	1	PD12DEC17	2294.00	2526.54	USD	-5,813.50	
12SEP17	S	1	PD12DEC17	2297.50	2526.54	USD	-5,726.00	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0112D

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME LEAD 25 TONNES. THIS IS AN</u>							
	<u>LME REGISTERED CLIENT CONTRACT</u>							
12SEP17	S	1	PD12DEC17	2299.50	2526.54	USD	-5,676.00	
12SEP17	S	1	PD12DEC17	2300.00	2526.54	USD	-5,663.50	
12SEP17	S	4	PD12DEC17	2298.00	2526.54	USD	-22,854.00	
12SEP17	S	2	PD12DEC17	2299.00	2526.54	USD	-11,377.00	
12SEP17	S	1	PD12DEC17	2301.00	2526.54	USD	-5,638.50	
12SEP17	S	2	PD12DEC17	2309.00	2526.54	USD	-10,877.00	
12SEP17	S	1	PD12DEC17	2308.00	2526.54	USD	-5,463.50	
12SEP17	S	1	PD12DEC17	2304.00	2526.54	USD	-5,563.50	
12SEP17	S	2	PD12DEC17	2305.50	2526.54	USD	-11,052.00	
12SEP17	S	1	PD12DEC17	2320.00	2526.54	USD	-5,163.50	
12SEP17	B	2	PD12DEC17	2310.00	2526.54	USD	10,827.00	
12SEP17	B	1	PD12DEC17	2309.00	2526.54	USD	5,438.50	
12SEP17	S	3	PD12DEC17	2304.50	2526.54	USD	-16,653.00	
12SEP17	S	1	PD12DEC17	2287.00	2526.54	USD	-5,988.50	
12SEP17	S	1	PD12DEC17	2288.00	2526.54	USD	-5,963.50	
12SEP17	B	1	PD12DEC17	2305.50	2526.54	USD	5,526.00	
12SEP17	B	2	PD12DEC17	2306.00	2526.54	USD	11,027.00	
12SEP17	B	2	PD12DEC17	2305.00	2526.54	USD	11,077.00	
12SEP17	B	1	PD12DEC17	2307.50	2526.54	USD	5,476.00	
12SEP17	B	3	PD12DEC17	2308.00	2526.54	USD	16,390.50	
12SEP17	S	1	PD12DEC17	2308.50	2526.54	USD	-5,451.00	
14SEP17	B	12	PD12DEC17	2306.43	2526.54	USD	66,033.00	
15SEP17	B	6	PD12DEC17	2358.18	2526.54	USD	25,254.00	
	NET	0 AVG BGHT 2287.93	SOLD 2285.02				-6,043.50	0.00
13SEP17	S	1	PD13DEC17	2297.00	2526.69	USD	-5,742.25	
13SEP17	S	1	PD13DEC17	2298.00	2526.69	USD	-5,717.25	
13SEP17	B	1	PD13DEC17	2291.00	2526.69	USD	5,892.25	
13SEP17	B	3	PD13DEC17	2290.00	2526.69	USD	17,751.75	
13SEP17	B	2	PD13DEC17	2289.00	2526.69	USD	11,884.50	
13SEP17	B	1	PD13DEC17	2286.00	2526.69	USD	6,017.25	
13SEP17	B	1	PD13DEC17	2284.50	2526.69	USD	6,054.75	
13SEP17	B	5	PD13DEC17	2285.50	2526.69	USD	30,148.75	
13SEP17	B	1	PD13DEC17	2287.00	2526.69	USD	5,992.25	
13SEP17	B	3	PD13DEC17	2290.50	2526.69	USD	17,714.25	
13SEP17	B	2	PD13DEC17	2286.50	2526.69	USD	12,009.50	
13SEP17	B	1	PD13DEC17	2283.50	2526.69	USD	6,079.75	
13SEP17	B	1	PD13DEC17	2282.00	2526.69	USD	6,117.25	
13SEP17	B	1	PD13DEC17	2278.00	2526.69	USD	6,217.25	
13SEP17	B	1	PD13DEC17	2276.50	2526.69	USD	6,254.75	
13SEP17	B	1	PD13DEC17	2276.00	2526.69	USD	6,267.25	
13SEP17	B	1	PD13DEC17	2275.50	2526.69	USD	6,279.75	
13SEP17	S	2	PD13DEC17	2295.00	2526.69	USD	-11,584.50	
13SEP17	S	1	PD13DEC17	2296.50	2526.69	USD	-5,754.75	
13SEP17	S	3	PD13DEC17	2296.00	2526.69	USD	-17,301.75	
13SEP17	S	1	PD13DEC17	2299.00	2526.69	USD	-5,692.25	
13SEP17	S	1	PD13DEC17	2300.00	2526.69	USD	-5,667.25	
13SEP17	S	1	PD13DEC17	2301.50	2526.69	USD	-5,629.75	
13SEP17	S	1	PD13DEC17	2309.00	2526.69	USD	-5,442.25	
13SEP17	S	2	PD13DEC17	2305.50	2526.69	USD	-11,059.50	
13SEP17	S	3	PD13DEC17	2306.00	2526.69	USD	-16,551.75	
13SEP17	S	2	PD13DEC17	2303.50	2526.69	USD	-11,159.50	
13SEP17	S	2	PD13DEC17	2304.50	2526.69	USD	-11,109.50	
13SEP17	S	1	PD13DEC17	2306.50	2526.69	USD	-5,504.75	
13SEP17	S	1	PD13DEC17	2307.50	2526.69	USD	-5,479.75	
13SEP17	S	2	PD13DEC17	2307.00	2526.69	USD	-10,984.50	
13SEP17	S	1	PD13DEC17	2308.50	2526.69	USD	-5,454.75	
13SEP17	S	3	PD13DEC17	2299.50	2526.69	USD	-17,039.25	

OPEN POSITION STATEMENT



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SAP0112D

13th October 2017

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Suite A, 105 Piccadilly
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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME LEAD 25 TONNES. THIS IS AN</u>							
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13SEP17	S	1	PD13DEC17	2300.50	2526.69	USD	-5,654.75	
13SEP17	S	1	PD13DEC17	2302.50	2526.69	USD	-5,604.75	
13SEP17	S	1	PD13DEC17	2304.00	2526.69	USD	-5,567.25	
13SEP17	B	3	PD13DEC17	2292.50	2526.69	USD	17,564.25	
13SEP17	B	1	PD13DEC17	2298.00	2526.69	USD	5,717.25	
13SEP17	B	2	PD13DEC17	2292.00	2526.69	USD	11,734.50	
13SEP17	B	3	PD13DEC17	2296.00	2526.69	USD	17,301.75	
13SEP17	B	1	PD13DEC17	2294.00	2526.69	USD	5,817.25	
13SEP17	B	2	PD13DEC17	2295.00	2526.69	USD	11,584.50	
13SEP17	B	5	PD13DEC17	2294.50	2526.69	USD	29,023.75	
13SEP17	B	4	PD13DEC17	2291.50	2526.69	USD	23,519.00	
13SEP17	B	3	PD13DEC17	2293.00	2526.69	USD	17,526.75	
13SEP17	B	2	PD13DEC17	2293.50	2526.69	USD	11,659.50	
13SEP17	B	3	PD13DEC17	2296.50	2526.69	USD	17,264.25	
13SEP17	B	2	PD13DEC17	2299.00	2526.69	USD	11,384.50	
13SEP17	B	1	PD13DEC17	2289.00	2526.69	USD	5,942.25	
13SEP17	S	2	PD13DEC17	2299.00	2526.69	USD	-11,384.50	
13SEP17	B	1	PD13DEC17	2298.00	2526.69	USD	5,717.25	
14SEP17	S	18	PD13DEC17	2306.52	2526.69	USD	-99,076.50	
15SEP17	S	6	PD13DEC17	2358.25	2526.69	USD	-25,266.00	
	NET	0AVG	BGHT 2290.53	SOLD 2309.15			27,009.00	0.00
14SEP17	B	3	PD14DEC17	2300.00	2526.84	USD	17,013.00	
14SEP17	B	1	PD14DEC17	2294.50	2526.84	USD	5,808.50	
14SEP17	B	1	PD14DEC17	2286.50	2526.84	USD	6,008.50	
14SEP17	S	1	PD14DEC17	2287.50	2526.84	USD	-5,983.50	
14SEP17	B	1	PD14DEC17	2291.00	2526.84	USD	5,896.00	
14SEP17	S	1	PD14DEC17	2287.00	2526.84	USD	-5,996.00	
14SEP17	S	1	PD14DEC17	2288.50	2526.84	USD	-5,958.50	
14SEP17	S	1	PD14DEC17	2289.00	2526.84	USD	-5,946.00	
14SEP17	S	3	PD14DEC17	2289.50	2526.84	USD	-17,800.50	
14SEP17	S	2	PD14DEC17	2290.00	2526.84	USD	-11,842.00	
14SEP17	S	2	PD14DEC17	2290.50	2526.84	USD	-11,817.00	
14SEP17	S	2	PD14DEC17	2292.50	2526.84	USD	-11,717.00	
14SEP17	S	2	PD14DEC17	2292.00	2526.84	USD	-11,742.00	
14SEP17	S	1	PD14DEC17	2294.50	2526.84	USD	-5,808.50	
14SEP17	S	1	PD14DEC17	2295.50	2526.84	USD	-5,783.50	
14SEP17	S	1	PD14DEC17	2293.50	2526.84	USD	-5,833.50	
14SEP17	S	4	PD14DEC17	2293.00	2526.84	USD	-23,384.00	
14SEP17	S	1	PD14DEC17	2291.00	2526.84	USD	-5,896.00	
14SEP17	B	1	PD14DEC17	2285.00	2526.84	USD	6,046.00	
14SEP17	B	3	PD14DEC17	2285.50	2526.84	USD	18,100.50	
14SEP17	B	1	PD14DEC17	2284.50	2526.84	USD	6,058.50	
14SEP17	B	4	PD14DEC17	2282.00	2526.84	USD	24,484.00	
14SEP17	B	3	PD14DEC17	2279.00	2526.84	USD	18,588.00	
14SEP17	B	1	PD14DEC17	2278.50	2526.84	USD	6,208.50	
14SEP17	B	3	PD14DEC17	2273.50	2526.84	USD	19,000.50	
14SEP17	B	1	PD14DEC17	2272.50	2526.84	USD	6,358.50	
14SEP17	B	2	PD14DEC17	2273.00	2526.84	USD	12,692.00	
14SEP17	B	1	PD14DEC17	2276.00	2526.84	USD	6,271.00	
14SEP17	B	2	PD14DEC17	2277.00	2526.84	USD	12,492.00	
14SEP17	B	3	PD14DEC17	2274.50	2526.84	USD	18,925.50	
14SEP17	B	1	PD14DEC17	2275.50	2526.84	USD	6,283.50	
14SEP17	S	1	PD14DEC17	2284.50	2526.84	USD	-6,058.50	
14SEP17	B	5	PD14DEC17	2275.00	2526.84	USD	31,480.00	
14SEP17	B	2	PD14DEC17	2274.00	2526.84	USD	12,642.00	
14SEP17	B	2	PD14DEC17	2286.00	2526.84	USD	12,042.00	
14SEP17	S	2	PD14DEC17	2302.50	2526.84	USD	-11,217.00	

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SAP0112D

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	<u>LME LEAD 25 TONNES. THIS IS AN</u>							
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14SEP17	S	1	PD14DEC17	2303.00	2526.84	USD	-5,596.00	
14SEP17	S	1	PD14DEC17	2303.50	2526.84	USD	-5,583.50	
14SEP17	S	5	PD14DEC17	2304.00	2526.84	USD	-27,855.00	
14SEP17	S	2	PD14DEC17	2305.00	2526.84	USD	-11,092.00	
14SEP17	S	1	PD14DEC17	2305.50	2526.84	USD	-5,533.50	
14SEP17	S	1	PD14DEC17	2306.00	2526.84	USD	-5,521.00	
14SEP17	S	1	PD14DEC17	2307.00	2526.84	USD	-5,496.00	
14SEP17	B	1	PD14DEC17	2307.00	2526.84	USD	5,496.00	
14SEP17	S	2	PD14DEC17	2306.50	2526.84	USD	-11,017.00	
14SEP17	S	1	PD14DEC17	2310.50	2526.84	USD	-5,408.50	
14SEP17	B	1	PD14DEC17	2313.00	2526.84	USD	5,346.00	
14SEP17	S	1	PD14DEC17	2313.50	2526.84	USD	-5,333.50	
14SEP17	S	1	PD14DEC17	2314.50	2526.84	USD	-5,308.50	
14SEP17	S	1	PD14DEC17	2316.00	2526.84	USD	-5,271.00	
14SEP17	S	2	PD14DEC17	2319.00	2526.84	USD	-10,392.00	
14SEP17	S	3	PD14DEC17	2318.00	2526.84	USD	-15,663.00	
14SEP17	S	2	PD14DEC17	2312.50	2526.84	USD	-10,717.00	
14SEP17	B	3	PD14DEC17	2305.00	2526.84	USD	16,638.00	
14SEP17	B	1	PD14DEC17	2307.50	2526.84	USD	5,483.50	
14SEP17	B	1	PD14DEC17	2312.00	2526.84	USD	5,371.00	
14SEP17	S	1	PD14DEC17	2316.50	2526.84	USD	-5,258.50	
14SEP17	B	3	PD14DEC17	2308.50	2526.84	USD	16,375.50	
14SEP17	B	3	PD14DEC17	2311.00	2526.84	USD	16,188.00	
14SEP17	B	1	PD14DEC17	2309.50	2526.84	USD	5,433.50	
14SEP17	B	1	PD14DEC17	2304.50	2526.84	USD	5,558.50	
14SEP17	B	5	PD14DEC17	2306.00	2526.84	USD	27,605.00	
14SEP17	B	2	PD14DEC17	2303.00	2526.84	USD	11,192.00	
14SEP17	B	1	PD14DEC17	2304.00	2526.84	USD	5,571.00	
14SEP17	S	2	PD14DEC17	2304.50	2526.84	USD	-11,117.00	
14SEP17	S	1	PD14DEC17	2308.50	2526.84	USD	-5,458.50	
14SEP17	S	1	PD14DEC17	2313.00	2526.84	USD	-5,346.00	
14SEP17	B	1	PD14DEC17	2310.00	2526.84	USD	5,421.00	
14SEP17	B	1	PD14DEC17	2308.00	2526.84	USD	5,471.00	
14SEP17	B	1	PD14DEC17	2293.00	2526.84	USD	5,846.00	
14SEP17	B	1	PD14DEC17	2289.00	2526.84	USD	5,946.00	
14SEP17	B	1	PD14DEC17	2288.50	2526.84	USD	5,958.50	
14SEP17	S	1	PD14DEC17	2309.50	2526.84	USD	-5,433.50	
14SEP17	S	3	PD14DEC17	2323.00	2526.84	USD	-15,288.00	
14SEP17	B	1	PD14DEC17	2324.00	2526.84	USD	5,071.00	
14SEP17	B	1	PD14DEC17	2325.00	2526.84	USD	5,046.00	
14SEP17	B	1	PD14DEC17	2323.00	2526.84	USD	5,096.00	
14SEP17	B	1	PD14DEC17	2324.50	2526.84	USD	5,058.50	
15SEP17	S	2	PD14DEC17	2358.53	2526.84	USD	-8,415.50	
19SEP17	S	1	PD14DEC17	2419.80	2526.84	USD	-2,676.00	
19SEP17	S	10	PD14DEC17	2420.00	2526.84	USD	-26,710.00	
	NET	0AVG BGHT 2292.55	SOLD 2321.76				53,296.50	0.00
15SEP17	S	1	PD15DEC17	2341.00	2526.99	USD	-4,649.75	
15SEP17	S	4	PD15DEC17	2325.00	2526.99	USD	-20,199.00	
15SEP17	S	3	PD15DEC17	2323.50	2526.99	USD	-15,261.75	
15SEP17	S	1	PD15DEC17	2322.50	2526.99	USD	-5,112.25	
15SEP17	S	2	PD15DEC17	2320.00	2526.99	USD	-10,349.50	
15SEP17	S	3	PD15DEC17	2320.50	2526.99	USD	-15,486.75	
15SEP17	S	4	PD15DEC17	2324.00	2526.99	USD	-20,299.00	
15SEP17	S	3	PD15DEC17	2325.50	2526.99	USD	-15,111.75	
15SEP17	B	1	PD15DEC17	2329.00	2526.99	USD	4,949.75	
15SEP17	B	1	PD15DEC17	2328.50	2526.99	USD	4,962.25	
15SEP17	B	1	PD15DEC17	2328.00	2526.99	USD	4,974.75	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0112D

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME LEAD 25 TONNES. THIS IS AN</u>							
	<u>LME REGISTERED CLIENT CONTRACT</u>							
15SEP17	B	1	PD15DEC17	2326.00	2526.99	USD	5,024.75	
15SEP17	B	1	PD15DEC17	2324.50	2526.99	USD	5,062.25	
15SEP17	B	1	PD15DEC17	2327.50	2526.99	USD	4,987.25	
15SEP17	B	9	PD15DEC17	2327.00	2526.99	USD	44,997.75	
15SEP17	B	4	PD15DEC17	2326.50	2526.99	USD	20,049.00	
15SEP17	B	2	PD15DEC17	2322.50	2526.99	USD	10,224.50	
15SEP17	S	3	PD15DEC17	2327.00	2526.99	USD	-14,999.25	
15SEP17	S	1	PD15DEC17	2326.50	2526.99	USD	-5,012.25	
15SEP17	B	3	PD15DEC17	2323.50	2526.99	USD	15,261.75	
15SEP17	B	1	PD15DEC17	2315.50	2526.99	USD	5,287.25	
15SEP17	B	2	PD15DEC17	2311.50	2526.99	USD	10,774.50	
15SEP17	B	2	PD15DEC17	2313.50	2526.99	USD	10,674.50	
15SEP17	B	1	PD15DEC17	2315.00	2526.99	USD	5,299.75	
15SEP17	B	1	PD15DEC17	2312.50	2526.99	USD	5,362.25	
15SEP17	S	2	PD15DEC17	2321.50	2526.99	USD	-10,274.50	
15SEP17	S	2	PD15DEC17	2321.00	2526.99	USD	-10,299.50	
15SEP17	S	2	PD15DEC17	2322.00	2526.99	USD	-10,249.50	
15SEP17	S	2	PD15DEC17	2323.00	2526.99	USD	-10,199.50	
15SEP17	S	4	PD15DEC17	2327.50	2526.99	USD	-19,949.00	
15SEP17	S	1	PD15DEC17	2328.50	2526.99	USD	-4,962.25	
15SEP17	S	2	PD15DEC17	2328.00	2526.99	USD	-9,949.50	
15SEP17	S	1	PD15DEC17	2329.00	2526.99	USD	-4,949.75	
15SEP17	S	1	PD15DEC17	2330.00	2526.99	USD	-4,924.75	
15SEP17	S	1	PD15DEC17	2330.50	2526.99	USD	-4,912.25	
15SEP17	S	1	PD15DEC17	2333.50	2526.99	USD	-4,837.25	
15SEP17	S	2	PD15DEC17	2334.00	2526.99	USD	-9,649.50	
15SEP17	S	2	PD15DEC17	2335.00	2526.99	USD	-9,599.50	
15SEP17	S	2	PD15DEC17	2335.50	2526.99	USD	-9,574.50	
15SEP17	S	2	PD15DEC17	2336.00	2526.99	USD	-9,549.50	
15SEP17	S	3	PD15DEC17	2336.50	2526.99	USD	-14,286.75	
15SEP17	S	1	PD15DEC17	2339.50	2526.99	USD	-4,687.25	
15SEP17	S	2	PD15DEC17	2338.50	2526.99	USD	-9,424.50	
15SEP17	S	1	PD15DEC17	2339.00	2526.99	USD	-4,699.75	
15SEP17	S	1	PD15DEC17	2340.00	2526.99	USD	-4,674.75	
15SEP17	S	1	PD15DEC17	2341.50	2526.99	USD	-4,637.25	
15SEP17	S	1	PD15DEC17	2340.50	2526.99	USD	-4,662.25	
15SEP17	S	1	PD15DEC17	2342.00	2526.99	USD	-4,624.75	
15SEP17	S	2	PD15DEC17	2342.50	2526.99	USD	-9,224.50	
15SEP17	S	2	PD15DEC17	2345.00	2526.99	USD	-9,099.50	
15SEP17	S	2	PD15DEC17	2345.50	2526.99	USD	-9,074.50	
15SEP17	S	1	PD15DEC17	2346.50	2526.99	USD	-4,512.25	
15SEP17	S	1	PD15DEC17	2347.50	2526.99	USD	-4,487.25	
15SEP17	S	1	PD15DEC17	2348.00	2526.99	USD	-4,474.75	
15SEP17	S	4	PD15DEC17	2351.50	2526.99	USD	-17,549.00	
15SEP17	S	1	PD15DEC17	2352.00	2526.99	USD	-4,374.75	
15SEP17	B	1	PD15DEC17	2329.00	2526.99	USD	4,949.75	
15SEP17	B	1	PD15DEC17	2328.00	2526.99	USD	4,974.75	
15SEP17	B	1	PD15DEC17	2327.00	2526.99	USD	4,999.75	
15SEP17	B	1	PD15DEC17	2339.00	2526.99	USD	4,699.75	
15SEP17	B	1	PD15DEC17	2346.50	2526.99	USD	4,512.25	
15SEP17	B	1	PD15DEC17	2345.00	2526.99	USD	4,549.75	
15SEP17	B	1	PD15DEC17	2343.00	2526.99	USD	4,599.75	
15SEP17	B	2	PD15DEC17	2341.00	2526.99	USD	9,299.50	
15SEP17	B	1	PD15DEC17	2340.50	2526.99	USD	4,662.25	
15SEP17	B	1	PD15DEC17	2342.50	2526.99	USD	4,612.25	
15SEP17	B	1	PD15DEC17	2342.00	2526.99	USD	4,624.75	
15SEP17	B	3	PD15DEC17	2341.50	2526.99	USD	13,911.75	

OPEN POSITION STATEMENT



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	<u>LME LEAD 25 TONNES. THIS IS AN</u>							
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15SEP17	B	1	PD15DEC17	2334.50	2526.99	USD	4,812.25	
15SEP17	B	1	PD15DEC17	2336.00	2526.99	USD	4,774.75	
15SEP17	B	2	PD15DEC17	2334.00	2526.99	USD	9,649.50	
15SEP17	B	1	PD15DEC17	2348.50	2526.99	USD	4,462.25	
15SEP17	B	1	PD15DEC17	2334.50	2526.99	USD	4,812.25	
15SEP17	B	2	PD15DEC17	2335.00	2526.99	USD	9,599.50	
15SEP17	S	1	PD15DEC17	2350.00	2526.99	USD	-4,424.75	
15SEP17	S	2	PD15DEC17	2344.00	2526.99	USD	-9,149.50	
15SEP17	S	1	PD15DEC17	2348.50	2526.99	USD	-4,462.25	
15SEP17	S	2	PD15DEC17	2354.50	2526.99	USD	-8,624.50	
15SEP17	B	1	PD15DEC17	2355.50	2526.99	USD	4,287.25	
15SEP17	B	3	PD15DEC17	2363.50	2526.99	USD	12,261.75	
15SEP17	B	2	PD15DEC17	2361.00	2526.99	USD	8,299.50	
15SEP17	B	2	PD15DEC17	2365.00	2526.99	USD	8,099.50	
15SEP17	B	4	PD15DEC17	2364.00	2526.99	USD	16,299.00	
15SEP17	B	1	PD15DEC17	2366.50	2526.99	USD	4,012.25	
15SEP17	B	4	PD15DEC17	2368.00	2526.99	USD	15,899.00	
15SEP17	B	2	PD15DEC17	2367.00	2526.99	USD	7,999.50	
15SEP17	S	1	PD15DEC17	2354.50	2526.99	USD	-4,312.25	
19SEP17	B	10	PD15DEC17	2420.45	2526.99	USD	26,635.00	
20SEP17	B	1	PD15DEC17	2459.21	2526.99	USD	1,694.50	
	NET	0AVG BGHT 2349.90	SOLD 2333.74				-33,942.75	0.00
18SEP17	B	1	PD18DEC17	2390.00	2527.45	USD	3,436.25	
18SEP17	S	3	PD18DEC17	2394.50	2527.45	USD	-9,971.25	
18SEP17	S	1	PD18DEC17	2398.50	2527.45	USD	-3,223.75	
18SEP17	S	1	PD18DEC17	2390.00	2527.45	USD	-3,436.25	
18SEP17	S	2	PD18DEC17	2392.50	2527.45	USD	-6,747.50	
18SEP17	S	1	PD18DEC17	2393.00	2527.45	USD	-3,361.25	
18SEP17	S	2	PD18DEC17	2397.50	2527.45	USD	-6,497.50	
18SEP17	S	4	PD18DEC17	2398.00	2527.45	USD	-12,945.00	
18SEP17	S	1	PD18DEC17	2402.00	2527.45	USD	-3,136.25	
18SEP17	S	1	PD18DEC17	2402.50	2527.45	USD	-3,123.75	
18SEP17	S	1	PD18DEC17	2403.50	2527.45	USD	-3,098.75	
18SEP17	S	2	PD18DEC17	2405.00	2527.45	USD	-6,122.50	
18SEP17	B	1	PD18DEC17	2386.00	2527.45	USD	3,536.25	
18SEP17	B	1	PD18DEC17	2389.50	2527.45	USD	3,448.75	
18SEP17	B	1	PD18DEC17	2381.50	2527.45	USD	3,648.75	
18SEP17	B	3	PD18DEC17	2389.00	2527.45	USD	10,383.75	
18SEP17	S	1	PD18DEC17	2396.50	2527.45	USD	-3,273.75	
18SEP17	B	4	PD18DEC17	2388.00	2527.45	USD	13,945.00	
18SEP17	B	1	PD18DEC17	2388.50	2527.45	USD	3,473.75	
18SEP17	S	1	PD18DEC17	2396.00	2527.45	USD	-3,286.25	
19SEP17	B	5	PD18DEC17	2421.20	2527.45	USD	13,281.25	
20SEP17	B	3	PD18DEC17	2459.69	2527.45	USD	5,082.00	
21SEP17	B	1	PD18DEC17	2517.97	2527.45	USD	237.00	
	NET	0AVG BGHT 2412.26	SOLD 2397.50				-7,751.00	0.00
19SEP17	S	1	PD19DEC17	2386.00	2527.60	USD	-3,540.00	
19SEP17	S	1	PD19DEC17	2387.00	2527.60	USD	-3,515.00	
19SEP17	S	3	PD19DEC17	2386.50	2527.60	USD	-10,582.50	
19SEP17	S	1	PD19DEC17	2390.00	2527.60	USD	-3,440.00	
19SEP17	S	3	PD19DEC17	2391.50	2527.60	USD	-10,207.50	
19SEP17	S	1	PD19DEC17	2395.50	2527.60	USD	-3,302.50	
19SEP17	S	1	PD19DEC17	2395.00	2527.60	USD	-3,315.00	
19SEP17	B	1	PD19DEC17	2390.00	2527.60	USD	3,440.00	
19SEP17	S	3	PD19DEC17	2382.50	2527.60	USD	-10,882.50	
19SEP17	S	1	PD19DEC17	2383.50	2527.60	USD	-3,602.50	
19SEP17	B	3	PD19DEC17	2384.00	2527.60	USD	10,770.00	

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	<u>LME LEAD 25 TONNES. THIS IS AN</u>							
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19SEP17	B	1	PD19DEC17	2382.00	2527.60	USD	3,640.00	
19SEP17	B	1	PD19DEC17	2379.50	2527.60	USD	3,702.50	
19SEP17	S	2	PD19DEC17	2387.50	2527.60	USD	-7,005.00	
19SEP17	S	3	PD19DEC17	2388.00	2527.60	USD	-10,470.00	
19SEP17	S	2	PD19DEC17	2394.50	2527.60	USD	-6,655.00	
19SEP17	S	1	PD19DEC17	2389.50	2527.60	USD	-3,452.50	
19SEP17	S	6	PD19DEC17	2393.50	2527.60	USD	-20,115.00	
19SEP17	S	1	PD19DEC17	2404.50	2527.60	USD	-3,077.50	
19SEP17	S	2	PD19DEC17	2405.50	2527.60	USD	-6,105.00	
19SEP17	B	1	PD19DEC17	2403.50	2527.60	USD	3,102.50	
19SEP17	B	1	PD19DEC17	2402.50	2527.60	USD	3,127.50	
19SEP17	B	1	PD19DEC17	2406.50	2527.60	USD	3,027.50	
19SEP17	B	2	PD19DEC17	2405.50	2527.60	USD	6,105.00	
19SEP17	B	1	PD19DEC17	2405.00	2527.60	USD	3,065.00	
19SEP17	S	1	PD19DEC17	2408.00	2527.60	USD	-2,990.00	
19SEP17	S	1	PD19DEC17	2416.00	2527.60	USD	-2,790.00	
19SEP17	S	1	PD19DEC17	2417.00	2527.60	USD	-2,765.00	
19SEP17	S	1	PD19DEC17	2413.00	2527.60	USD	-2,865.00	
19SEP17	B	1	PD19DEC17	2417.00	2527.60	USD	2,765.00	
19SEP17	B	1	PD19DEC17	2414.50	2527.60	USD	2,827.50	
19SEP17	B	1	PD19DEC17	2414.00	2527.60	USD	2,840.00	
19SEP17	B	1	PD19DEC17	2411.50	2527.60	USD	2,902.50	
19SEP17	B	2	PD19DEC17	2410.00	2527.60	USD	5,880.00	
19SEP17	B	1	PD19DEC17	2409.50	2527.60	USD	2,952.50	
19SEP17	B	4	PD19DEC17	2408.50	2527.60	USD	11,910.00	
19SEP17	B	1	PD19DEC17	2404.00	2527.60	USD	3,090.00	
19SEP17	B	1	PD19DEC17	2403.00	2527.60	USD	3,115.00	
19SEP17	B	1	PD19DEC17	2397.50	2527.60	USD	3,252.50	
19SEP17	B	2	PD19DEC17	2400.00	2527.60	USD	6,380.00	
19SEP17	B	3	PD19DEC17	2398.00	2527.60	USD	9,720.00	
19SEP17	B	1	PD19DEC17	2399.50	2527.60	USD	3,202.50	
19SEP17	B	5	PD19DEC17	2398.50	2527.60	USD	16,137.50	
19SEP17	S	1	PD19DEC17	2412.50	2527.60	USD	-2,877.50	
19SEP17	S	1	PD19DEC17	2414.00	2527.60	USD	-2,840.00	
19SEP17	S	2	PD19DEC17	2414.50	2527.60	USD	-5,655.00	
19SEP17	S	3	PD19DEC17	2415.50	2527.60	USD	-8,407.50	
19SEP17	S	1	PD19DEC17	2419.00	2527.60	USD	-2,715.00	
19SEP17	S	1	PD19DEC17	2440.00	2527.60	USD	-2,190.00	
19SEP17	S	1	PD19DEC17	2449.50	2527.60	USD	-1,952.50	
19SEP17	B	1	PD19DEC17	2450.00	2527.60	USD	1,940.00	
19SEP17	S	1	PD19DEC17	2420.00	2527.60	USD	-2,690.00	
19SEP17	S	1	PD19DEC17	2420.50	2527.60	USD	-2,677.50	
19SEP17	B	1	PD19DEC17	2439.00	2527.60	USD	2,215.00	
19SEP17	B	1	PD19DEC17	2438.50	2527.60	USD	2,227.50	
19SEP17	B	2	PD19DEC17	2438.00	2527.60	USD	4,480.00	
19SEP17	B	1	PD19DEC17	2437.00	2527.60	USD	2,265.00	
19SEP17	B	1	PD19DEC17	2437.50	2527.60	USD	2,252.50	
19SEP17	B	1	PD19DEC17	2435.50	2527.60	USD	2,302.50	
19SEP17	B	1	PD19DEC17	2434.50	2527.60	USD	2,327.50	
19SEP17	B	2	PD19DEC17	2432.00	2527.60	USD	4,780.00	
19SEP17	B	1	PD19DEC17	2428.00	2527.60	USD	2,490.00	
19SEP17	B	1	PD19DEC17	2426.50	2527.60	USD	2,527.50	
19SEP17	B	1	PD19DEC17	2421.50	2527.60	USD	2,652.50	
19SEP17	B	1	PD19DEC17	2423.50	2527.60	USD	2,602.50	
19SEP17	B	3	PD19DEC17	2420.50	2527.60	USD	8,032.50	
19SEP17	B	2	PD19DEC17	2421.00	2527.60	USD	5,330.00	
19SEP17	S	1	PD19DEC17	2423.50	2527.60	USD	-2,602.50	

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	<u>LME LEAD 25 TONNES. THIS IS AN</u>								
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19SEP17	S	2	PD19DEC17		2424.50	2527.60	USD	-5,155.00	
19SEP17	S	1	PD19DEC17		2424.50	2527.60	USD	-2,577.50	
19SEP17	S	1	PD19DEC17		2425.00	2527.60	USD	-2,565.00	
20SEP17	S	4	PD19DEC17		2459.64	2527.60	USD	-6,796.00	
	NET	0 AVG	BGHT 2411.54	SOLD 2406.63				-6,998.50	0.00
20SEP17	S	1	PD20DEC17		2445.00	2527.75	USD	-2,068.75	
20SEP17	S	7	PD20DEC17		2444.00	2527.75	USD	-14,656.25	
20SEP17	S	1	PD20DEC17		2442.50	2527.75	USD	-2,131.25	
20SEP17	S	1	PD20DEC17		2442.00	2527.75	USD	-2,143.75	
20SEP17	S	1	PD20DEC17		2441.00	2527.75	USD	-2,168.75	
20SEP17	S	2	PD20DEC17		2441.50	2527.75	USD	-4,312.50	
20SEP17	S	1	PD20DEC17		2440.50	2527.75	USD	-2,181.25	
20SEP17	S	2	PD20DEC17		2446.00	2527.75	USD	-4,087.50	
20SEP17	S	1	PD20DEC17		2447.50	2527.75	USD	-2,006.25	
20SEP17	S	1	PD20DEC17		2448.00	2527.75	USD	-1,993.75	
20SEP17	S	2	PD20DEC17		2452.00	2527.75	USD	-3,787.50	
20SEP17	S	1	PD20DEC17		2454.00	2527.75	USD	-1,843.75	
20SEP17	S	2	PD20DEC17		2456.00	2527.75	USD	-3,587.50	
20SEP17	B	1	PD20DEC17		2461.00	2527.75	USD	1,668.75	
20SEP17	B	1	PD20DEC17		2456.50	2527.75	USD	1,781.25	
20SEP17	B	2	PD20DEC17		2454.00	2527.75	USD	3,687.50	
20SEP17	B	1	PD20DEC17		2449.50	2527.75	USD	1,956.25	
20SEP17	B	1	PD20DEC17		2451.00	2527.75	USD	1,918.75	
20SEP17	B	4	PD20DEC17		2450.00	2527.75	USD	7,775.00	
20SEP17	B	2	PD20DEC17		2450.50	2527.75	USD	3,862.50	
20SEP17	S	1	PD20DEC17		2453.50	2527.75	USD	-1,856.25	
20SEP17	S	1	PD20DEC17		2453.00	2527.75	USD	-1,868.75	
20SEP17	S	1	PD20DEC17		2449.50	2527.75	USD	-1,956.25	
20SEP17	S	1	PD20DEC17		2450.50	2527.75	USD	-1,931.25	
20SEP17	S	1	PD20DEC17		2458.00	2527.75	USD	-1,743.75	
20SEP17	S	2	PD20DEC17		2468.50	2527.75	USD	-2,962.50	
20SEP17	S	3	PD20DEC17		2466.00	2527.75	USD	-4,631.25	
20SEP17	S	2	PD20DEC17		2467.50	2527.75	USD	-3,012.50	
20SEP17	B	1	PD20DEC17		2468.50	2527.75	USD	1,481.25	
20SEP17	S	1	PD20DEC17		2442.00	2527.75	USD	-2,143.75	
20SEP17	S	5	PD20DEC17		2463.00	2527.75	USD	-8,093.75	
20SEP17	S	3	PD20DEC17		2469.00	2527.75	USD	-4,406.25	
20SEP17	S	1	PD20DEC17		2470.00	2527.75	USD	-1,443.75	
20SEP17	S	2	PD20DEC17		2467.00	2527.75	USD	-3,037.50	
20SEP17	S	1	PD20DEC17		2468.00	2527.75	USD	-1,493.75	
20SEP17	S	3	PD20DEC17		2466.50	2527.75	USD	-4,593.75	
20SEP17	S	1	PD20DEC17		2461.50	2527.75	USD	-1,656.25	
20SEP17	B	2	PD20DEC17		2454.50	2527.75	USD	3,662.50	
20SEP17	B	6	PD20DEC17		2453.50	2527.75	USD	11,137.50	
20SEP17	B	2	PD20DEC17		2453.00	2527.75	USD	3,737.50	
20SEP17	B	1	PD20DEC17		2452.00	2527.75	USD	1,893.75	
20SEP17	B	2	PD20DEC17		2458.50	2527.75	USD	3,462.50	
20SEP17	B	2	PD20DEC17		2463.00	2527.75	USD	3,237.50	
20SEP17	B	3	PD20DEC17		2459.50	2527.75	USD	5,118.75	
20SEP17	B	1	PD20DEC17		2457.50	2527.75	USD	1,756.25	
20SEP17	B	4	PD20DEC17		2457.00	2527.75	USD	7,075.00	
20SEP17	B	2	PD20DEC17		2456.00	2527.75	USD	3,587.50	
20SEP17	B	1	PD20DEC17		2449.00	2527.75	USD	1,968.75	
20SEP17	B	1	PD20DEC17		2448.50	2527.75	USD	1,981.25	
20SEP17	B	2	PD20DEC17		2447.00	2527.75	USD	4,037.50	
20SEP17	B	1	PD20DEC17		2444.50	2527.75	USD	2,081.25	
20SEP17	B	1	PD20DEC17		2445.50	2527.75	USD	2,056.25	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0112D

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
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+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position				Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME LEAD 25 TONNES. THIS IS AN</u>								
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20SEP17	B	1	PD20DEC17		2445.00	2527.75	USD	2,068.75	
20SEP17	B	1	PD20DEC17		2446.00	2527.75	USD	2,043.75	
20SEP17	B	6	PD20DEC17		2455.00	2527.75	USD	10,912.50	
20SEP17	B	2	PD20DEC17		2455.50	2527.75	USD	3,612.50	
20SEP17	S	1	PD20DEC17		2464.00	2527.75	USD	-1,593.75	
21SEP17	S	1	PD20DEC17		2517.80	2527.75	USD	-248.75	
	NET	0	AVG BGHT 2454.00	SOLD 2456.90				3,920.00	0.00
21SEP17	S	3	PD21DEC17		2444.00	2526.75	USD	-6,206.25	
21SEP17	B	1	PD21DEC17		2437.00	2526.75	USD	2,243.75	
21SEP17	B	1	PD21DEC17		2435.50	2526.75	USD	2,281.25	
21SEP17	S	3	PD21DEC17		2441.00	2526.75	USD	-6,431.25	
21SEP17	B	1	PD21DEC17		2427.50	2526.75	USD	2,481.25	
21SEP17	B	1	PD21DEC17		2424.00	2526.75	USD	2,568.75	
21SEP17	S	1	PD21DEC17		2434.00	2526.75	USD	-2,318.75	
21SEP17	S	2	PD21DEC17		2435.50	2526.75	USD	-4,562.50	
21SEP17	S	4	PD21DEC17		2438.50	2526.75	USD	-8,825.00	
21SEP17	B	1	PD21DEC17		2439.00	2526.75	USD	2,193.75	
21SEP17	S	2	PD21DEC17		2439.00	2526.75	USD	-4,387.50	
21SEP17	S	1	PD21DEC17		2442.00	2526.75	USD	-2,118.75	
21SEP17	B	1	PD21DEC17		2458.50	2526.75	USD	1,706.25	
21SEP17	B	2	PD21DEC17		2458.00	2526.75	USD	3,437.50	
21SEP17	B	1	PD21DEC17		2462.00	2526.75	USD	1,618.75	
21SEP17	S	1	PD21DEC17		2465.00	2526.75	USD	-1,543.75	
21SEP17	S	2	PD21DEC17		2466.00	2526.75	USD	-3,037.50	
21SEP17	B	2	PD21DEC17		2471.00	2526.75	USD	2,787.50	
21SEP17	S	3	PD21DEC17		2471.00	2526.75	USD	-4,181.25	
21SEP17	S	1	PD21DEC17		2471.50	2526.75	USD	-1,381.25	
21SEP17	S	2	PD21DEC17		2472.00	2526.75	USD	-2,737.50	
21SEP17	S	1	PD21DEC17		2474.00	2526.75	USD	-1,318.75	
21SEP17	S	2	PD21DEC17		2473.50	2526.75	USD	-2,662.50	
21SEP17	S	1	PD21DEC17		2473.00	2526.75	USD	-1,343.75	
21SEP17	S	4	PD21DEC17		2472.50	2526.75	USD	-5,425.00	
21SEP17	S	3	PD21DEC17		2469.00	2526.75	USD	-4,331.25	
21SEP17	B	1	PD21DEC17		2473.50	2526.75	USD	1,331.25	
21SEP17	B	1	PD21DEC17		2470.00	2526.75	USD	1,418.75	
21SEP17	B	1	PD21DEC17		2469.50	2526.75	USD	1,431.25	
21SEP17	B	1	PD21DEC17		2469.00	2526.75	USD	1,443.75	
21SEP17	B	1	PD21DEC17		2468.50	2526.75	USD	1,456.25	
21SEP17	B	1	PD21DEC17		2467.00	2526.75	USD	1,493.75	
21SEP17	B	3	PD21DEC17		2464.50	2526.75	USD	4,668.75	
21SEP17	B	2	PD21DEC17		2464.00	2526.75	USD	3,137.50	
21SEP17	S	1	PD21DEC17		2430.50	2526.75	USD	-2,406.25	
21SEP17	S	1	PD21DEC17		2432.00	2526.75	USD	-2,368.75	
21SEP17	S	1	PD21DEC17		2431.00	2526.75	USD	-2,393.75	
21SEP17	S	1	PD21DEC17		2431.50	2526.75	USD	-2,381.25	
21SEP17	S	1	PD21DEC17		2433.50	2526.75	USD	-2,331.25	
21SEP17	B	1	PD21DEC17		2470.00	2526.75	USD	1,418.75	
21SEP17	B	3	PD21DEC17		2468.00	2526.75	USD	4,406.25	
21SEP17	B	2	PD21DEC17		2467.50	2526.75	USD	2,962.50	
21SEP17	B	2	PD21DEC17		2466.50	2526.75	USD	3,012.50	
21SEP17	S	1	PD21DEC17		2465.50	2526.75	USD	-1,531.25	
21SEP17	B	4	PD21DEC17		2465.50	2526.75	USD	6,125.00	
21SEP17	S	2	PD21DEC17		2464.00	2526.75	USD	-3,137.50	
21SEP17	S	1	PD21DEC17		2482.50	2526.75	USD	-1,106.25	
21SEP17	B	1	PD21DEC17		2484.00	2526.75	USD	1,068.75	
21SEP17	B	1	PD21DEC17		2482.00	2526.75	USD	1,118.75	
21SEP17	B	1	PD21DEC17		2500.00	2526.75	USD	668.75	

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	<u>LME LEAD 25 TONNES. THIS IS AN</u>							
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21SEP17	B	1	PD21DEC17	2466.50	2526.75	USD	1,506.25	
21SEP17	B	1	PD21DEC17	2465.50	2526.75	USD	1,531.25	
21SEP17	B	1	PD21DEC17	2465.00	2526.75	USD	1,543.75	
21SEP17	B	1	PD21DEC17	2506.00	2526.75	USD	518.75	
21SEP17	B	1	PD21DEC17	2491.00	2526.75	USD	893.75	
21SEP17	B	1	PD21DEC17	2489.00	2526.75	USD	943.75	
21SEP17	B	1	PD21DEC17	2486.00	2526.75	USD	1,018.75	
21SEP17	S	2	PD21DEC17	2480.00	2526.75	USD	-2,337.50	
21SEP17	B	2	PD21DEC17	2471.50	2526.75	USD	2,762.50	
21SEP17	B	1	PD21DEC17	2472.00	2526.75	USD	1,368.75	
21SEP17	B	2	PD21DEC17	2460.50	2526.75	USD	3,312.50	
21SEP17	S	1	PD21DEC17	2458.00	2526.75	USD	-1,718.75	
21SEP17	B	1	PD21DEC17	2451.00	2526.75	USD	1,893.75	
21SEP17	B	2	PD21DEC17	2445.50	2526.75	USD	4,062.50	
21SEP17	S	1	PD21DEC17	2453.50	2526.75	USD	-1,831.25	
21SEP17	S	1	PD21DEC17	2445.50	2526.75	USD	-2,031.25	
21SEP17	S	2	PD21DEC17	2444.50	2526.75	USD	-4,112.50	
21SEP17	S	1	PD21DEC17	2454.50	2526.75	USD	-1,806.25	
21SEP17	B	4	PD21DEC17	2460.00	2526.75	USD	6,675.00	
21SEP17	B	2	PD21DEC17	2463.50	2526.75	USD	3,162.50	
21SEP17	B	1	PD21DEC17	2462.50	2526.75	USD	1,606.25	
21SEP17	B	1	PD21DEC17	2490.00	2526.75	USD	918.75	
26SEP17	S	7	PD21DEC17	2489.33	2526.75	USD	-6,548.50	
	NET	0AVG	BGHT 2465.28	SOLD 2459.51			-8,654.75	0.00
22SEP17	B	1	PD22DEC17	2412.50	2526.90	USD	2,860.00	
22SEP17	B	1	PD22DEC17	2418.00	2526.90	USD	2,722.50	
22SEP17	B	1	PD22DEC17	2421.00	2526.90	USD	2,647.50	
22SEP17	B	1	PD22DEC17	2416.50	2526.90	USD	2,760.00	
22SEP17	B	2	PD22DEC17	2416.00	2526.90	USD	5,545.00	
22SEP17	B	2	PD22DEC17	2414.50	2526.90	USD	5,620.00	
22SEP17	B	1	PD22DEC17	2423.50	2526.90	USD	2,585.00	
22SEP17	B	4	PD22DEC17	2421.50	2526.90	USD	10,540.00	
22SEP17	B	1	PD22DEC17	2422.50	2526.90	USD	2,610.00	
22SEP17	B	1	PD22DEC17	2423.00	2526.90	USD	2,597.50	
22SEP17	B	3	PD22DEC17	2419.50	2526.90	USD	8,055.00	
22SEP17	B	1	PD22DEC17	2429.00	2526.90	USD	2,447.50	
22SEP17	B	1	PD22DEC17	2426.00	2526.90	USD	2,522.50	
22SEP17	B	1	PD22DEC17	2410.00	2526.90	USD	2,922.50	
22SEP17	S	4	PD22DEC17	2400.00	2526.90	USD	-12,690.00	
22SEP17	B	6	PD22DEC17	2399.00	2526.90	USD	19,185.00	
22SEP17	S	1	PD22DEC17	2389.50	2526.90	USD	-3,435.00	
22SEP17	S	1	PD22DEC17	2393.50	2526.90	USD	-3,335.00	
22SEP17	S	1	PD22DEC17	2395.00	2526.90	USD	-3,297.50	
22SEP17	S	1	PD22DEC17	2401.00	2526.90	USD	-3,147.50	
22SEP17	S	2	PD22DEC17	2399.50	2526.90	USD	-6,370.00	
22SEP17	S	1	PD22DEC17	2405.50	2526.90	USD	-3,035.00	
22SEP17	S	1	PD22DEC17	2406.00	2526.90	USD	-3,022.50	
22SEP17	S	1	PD22DEC17	2407.00	2526.90	USD	-2,997.50	
22SEP17	S	3	PD22DEC17	2407.50	2526.90	USD	-8,955.00	
22SEP17	S	1	PD22DEC17	2408.50	2526.90	USD	-2,960.00	
22SEP17	S	1	PD22DEC17	2416.00	2526.90	USD	-2,772.50	
22SEP17	S	1	PD22DEC17	2415.50	2526.90	USD	-2,785.00	
22SEP17	S	2	PD22DEC17	2412.50	2526.90	USD	-5,720.00	
22SEP17	S	1	PD22DEC17	2414.50	2526.90	USD	-2,810.00	
22SEP17	S	2	PD22DEC17	2417.00	2526.90	USD	-5,495.00	
22SEP17	S	2	PD22DEC17	2420.00	2526.90	USD	-5,345.00	
22SEP17	S	2	PD22DEC17	2423.00	2526.90	USD	-5,195.00	

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22SEP17	S	1	PD22DEC17		2425.00	2526.90	USD	-2,547.50	
22SEP17	S	1	PD22DEC17		2424.50	2526.90	USD	-2,560.00	
22SEP17	S	2	PD22DEC17		2421.50	2526.90	USD	-5,270.00	
22SEP17	S	2	PD22DEC17		2421.00	2526.90	USD	-5,295.00	
22SEP17	S	1	PD22DEC17		2472.00	2526.90	USD	-1,372.50	
22SEP17	S	1	PD22DEC17		2459.00	2526.90	USD	-1,697.50	
22SEP17	B	1	PD22DEC17		2420.50	2526.90	USD	2,660.00	
22SEP17	B	1	PD22DEC17		2420.00	2526.90	USD	2,672.50	
22SEP17	B	1	PD22DEC17		2422.00	2526.90	USD	2,622.50	
22SEP17	S	1	PD22DEC17		2400.00	2526.90	USD	-3,172.50	
22SEP17	S	1	PD22DEC17		2402.00	2526.90	USD	-3,122.50	
22SEP17	S	1	PD22DEC17		2405.50	2526.90	USD	-3,035.00	
22SEP17	B	1	PD22DEC17		2453.50	2526.90	USD	1,835.00	
22SEP17	S	1	PD22DEC17		2482.00	2526.90	USD	-1,122.50	
22SEP17	B	1	PD22DEC17		2458.00	2526.90	USD	1,722.50	
22SEP17	B	1	PD22DEC17		2454.00	2526.90	USD	1,822.50	
22SEP17	S	2	PD22DEC17		2481.50	2526.90	USD	-2,270.00	
22SEP17	B	1	PD22DEC17		2476.50	2526.90	USD	1,260.00	
22SEP17	B	1	PD22DEC17		2478.50	2526.90	USD	1,210.00	
26SEP17	B	7	PD22DEC17		2488.87	2526.90	USD	6,655.25	
	NET	0	AVG BGHT 2433.49	SOLD 2417.54				-16,752.25	0.00
25SEP17	B	1	PD27DEC17		2471.00	2527.64	USD	1,416.00	
25SEP17	B	1	PD27DEC17		2468.00	2527.64	USD	1,491.00	
25SEP17	S	1	PD27DEC17		2488.50	2527.64	USD	-978.50	
25SEP17	S	1	PD27DEC17		2481.00	2527.64	USD	-1,166.00	
25SEP17	S	1	PD27DEC17		2486.50	2527.64	USD	-1,028.50	
25SEP17	S	1	PD27DEC17		2498.50	2527.64	USD	-728.50	
25SEP17	B	1	PD27DEC17		2498.50	2527.64	USD	728.50	
25SEP17	S	1	PD27DEC17		2499.50	2527.64	USD	-703.50	
25SEP17	S	1	PD27DEC17		2503.50	2527.64	USD	-603.50	
25SEP17	S	1	PD27DEC17		2509.50	2527.64	USD	-453.50	
25SEP17	B	1	PD27DEC17		2493.50	2527.64	USD	853.50	
25SEP17	B	1	PD27DEC17		2489.50	2527.64	USD	953.50	
25SEP17	B	2	PD27DEC17		2489.00	2527.64	USD	1,932.00	
25SEP17	S	1	PD27DEC17		2485.50	2527.64	USD	-1,053.50	
25SEP17	B	1	PD27DEC17		2479.50	2527.64	USD	1,203.50	
26SEP17	S	1	PD27DEC17		2496.00	2527.64	USD	-791.00	
26SEP17	S	1	PD27DEC17		2498.00	2527.64	USD	-741.00	
26SEP17	S	1	PD27DEC17		2489.00	2527.64	USD	-966.00	
26SEP17	S	1	PD27DEC17		2494.00	2527.64	USD	-841.00	
26SEP17	S	1	PD27DEC17		2497.00	2527.64	USD	-766.00	
26SEP17	S	1	PD27DEC17		2495.00	2527.64	USD	-816.00	
26SEP17	S	1	PD27DEC17		2495.50	2527.64	USD	-803.50	
26SEP17	S	2	PD27DEC17		2502.50	2527.64	USD	-1,257.00	
26SEP17	S	1	PD27DEC17		2508.50	2527.64	USD	-478.50	
26SEP17	B	1	PD27DEC17		2503.50	2527.64	USD	603.50	
26SEP17	B	1	PD27DEC17		2500.50	2527.64	USD	678.50	
26SEP17	B	1	PD27DEC17		2497.50	2527.64	USD	753.50	
26SEP17	B	1	PD27DEC17		2495.00	2527.64	USD	816.00	
26SEP17	S	2	PD27DEC17		2499.50	2527.64	USD	-1,407.00	
26SEP17	S	1	PD27DEC17		2500.00	2527.64	USD	-691.00	
26SEP17	S	2	PD27DEC17		2501.50	2527.64	USD	-1,307.00	
26SEP17	B	1	PD27DEC17		2486.50	2527.64	USD	1,028.50	
26SEP17	S	3	PD27DEC17		2484.00	2527.64	USD	-3,273.00	
26SEP17	S	1	PD27DEC17		2481.50	2527.64	USD	-1,153.50	
27SEP17	B	1	PD27DEC17		2478.00	2527.64	USD	1,241.00	
27SEP17	B	1	PD27DEC17		2479.50	2527.64	USD	1,203.50	

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27SEP17	B	1	PD27DEC17	2480.00	2527.64	USD	1,191.00	
27SEP17	S	1	PD27DEC17	2487.50	2527.64	USD	-1,003.50	
27SEP17	S	1	PD27DEC17	2478.00	2527.64	USD	-1,241.00	
27SEP17	S	1	PD27DEC17	2481.50	2527.64	USD	-1,153.50	
27SEP17	S	1	PD27DEC17	2484.50	2527.64	USD	-1,078.50	
27SEP17	S	2	PD27DEC17	2483.50	2527.64	USD	-2,207.00	
27SEP17	B	1	PD27DEC17	2478.50	2527.64	USD	1,228.50	
27SEP17	B	1	PD27DEC17	2476.50	2527.64	USD	1,278.50	
27SEP17	B	2	PD27DEC17	2474.50	2527.64	USD	2,657.00	
27SEP17	S	1	PD27DEC17	2471.00	2527.64	USD	-1,416.00	
27SEP17	S	1	PD27DEC17	2470.50	2527.64	USD	-1,428.50	
27SEP17	B	1	PD27DEC17	2460.50	2527.64	USD	1,678.50	
27SEP17	S	1	PD27DEC17	2453.50	2527.64	USD	-1,853.50	
27SEP17	B	1	PD27DEC17	2452.00	2527.64	USD	1,891.00	
27SEP17	S	1	PD27DEC17	2463.00	2527.64	USD	-1,616.00	
27SEP17	S	1	PD27DEC17	2464.50	2527.64	USD	-1,578.50	
27SEP17	S	1	PD27DEC17	2463.50	2527.64	USD	-1,603.50	
27SEP17	B	1	PD27DEC17	2460.00	2527.64	USD	1,691.00	
27SEP17	B	2	PD27DEC17	2459.50	2527.64	USD	3,407.00	
27SEP17	B	1	PD27DEC17	2461.00	2527.64	USD	1,666.00	
04OCT17	B	13	PD27DEC17	2559.71	2527.64	USD	-10,422.75	
	NET	0AVG BGHT 2505.93	SOLD 2488.47				-17,018.25	0.00
28SEP17	S	1	PD28DEC17	2453.50	2527.78	USD	-1,857.00	
28SEP17	S	2	PD28DEC17	2451.50	2527.78	USD	-3,814.00	
28SEP17	S	1	PD28DEC17	2451.00	2527.78	USD	-1,919.50	
28SEP17	S	2	PD28DEC17	2452.00	2527.78	USD	-3,789.00	
28SEP17	B	1	PD28DEC17	2468.50	2527.78	USD	1,482.00	
28SEP17	S	1	PD28DEC17	2472.50	2527.78	USD	-1,382.00	
28SEP17	S	1	PD28DEC17	2464.50	2527.78	USD	-1,582.00	
28SEP17	B	1	PD28DEC17	2475.50	2527.78	USD	1,307.00	
28SEP17	B	2	PD28DEC17	2474.50	2527.78	USD	2,664.00	
28SEP17	B	1	PD28DEC17	2487.50	2527.78	USD	1,007.00	
28SEP17	B	1	PD28DEC17	2472.00	2527.78	USD	1,394.50	
28SEP17	B	2	PD28DEC17	2471.50	2527.78	USD	2,814.00	
28SEP17	B	1	PD28DEC17	2464.00	2527.78	USD	1,594.50	
28SEP17	B	1	PD28DEC17	2459.50	2527.78	USD	1,707.00	
28SEP17	B	1	PD28DEC17	2462.00	2527.78	USD	1,644.50	
28SEP17	S	1	PD28DEC17	2463.00	2527.78	USD	-1,619.50	
28SEP17	B	1	PD28DEC17	2461.50	2527.78	USD	1,657.00	
28SEP17	B	1	PD28DEC17	2459.00	2527.78	USD	1,719.50	
28SEP17	B	1	PD28DEC17	2458.00	2527.78	USD	1,744.50	
28SEP17	B	1	PD28DEC17	2457.00	2527.78	USD	1,769.50	
28SEP17	B	1	PD28DEC17	2462.50	2527.78	USD	1,632.00	
28SEP17	B	1	PD28DEC17	2463.00	2527.78	USD	1,619.50	
28SEP17	B	1	PD28DEC17	2460.50	2527.78	USD	1,682.00	
28SEP17	B	1	PD28DEC17	2460.00	2527.78	USD	1,694.50	
28SEP17	B	2	PD28DEC17	2474.00	2527.78	USD	2,689.00	
28SEP17	B	3	PD28DEC17	2473.00	2527.78	USD	4,108.50	
28SEP17	B	1	PD28DEC17	2482.00	2527.78	USD	1,144.50	
28SEP17	B	1	PD28DEC17	2480.00	2527.78	USD	1,194.50	
28SEP17	S	1	PD28DEC17	2483.50	2527.78	USD	-1,107.00	
28SEP17	B	1	PD28DEC17	2483.00	2527.78	USD	1,119.50	
28SEP17	B	1	PD28DEC17	2481.00	2527.78	USD	1,169.50	
28SEP17	B	1	PD28DEC17	2484.00	2527.78	USD	1,094.50	
28SEP17	S	1	PD28DEC17	2484.50	2527.78	USD	-1,082.00	
28SEP17	S	1	PD28DEC17	2486.00	2527.78	USD	-1,044.50	
04OCT17	S	13	PD28DEC17	2559.55	2527.78	USD	10,325.25	

OPEN POSITION STATEMENT



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SAP0112D

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	<u>LME LEAD 25 TONNES. THIS IS AN</u>							
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04OCT17	S	4	PD28DEC17	2559.75	2527.78	USD	3,197.00	
	NET	0 AVG BGHT 2470.33	SOLD 2519.95				35,978.75	0.00
29SEP17	S	1	PD29DEC17	2485.50	2527.93	USD	-1,060.75	
29SEP17	S	2	PD29DEC17	2485.00	2527.93	USD	-2,146.50	
29SEP17	S	1	PD29DEC17	2486.00	2527.93	USD	-1,048.25	
29SEP17	S	1	PD29DEC17	2488.00	2527.93	USD	-998.25	
29SEP17	B	1	PD29DEC17	2496.00	2527.93	USD	798.25	
29SEP17	B	1	PD29DEC17	2488.00	2527.93	USD	998.25	
29SEP17	S	1	PD29DEC17	2496.50	2527.93	USD	-785.75	
29SEP17	S	1	PD29DEC17	2494.50	2527.93	USD	-835.75	
29SEP17	S	1	PD29DEC17	2495.50	2527.93	USD	-810.75	
29SEP17	S	1	PD29DEC17	2505.50	2527.93	USD	-560.75	
29SEP17	S	1	PD29DEC17	2513.50	2527.93	USD	-360.75	
29SEP17	S	2	PD29DEC17	2492.50	2527.93	USD	-1,771.50	
29SEP17	S	3	PD29DEC17	2512.00	2527.93	USD	-1,194.75	
29SEP17	S	2	PD29DEC17	2512.50	2527.93	USD	-771.50	
29SEP17	B	1	PD29DEC17	2498.50	2527.93	USD	735.75	
29SEP17	B	1	PD29DEC17	2501.00	2527.93	USD	673.25	
29SEP17	B	2	PD29DEC17	2499.00	2527.93	USD	1,446.50	
29SEP17	B	1	PD29DEC17	2493.00	2527.93	USD	873.25	
29SEP17	B	1	PD29DEC17	2494.00	2527.93	USD	848.25	
29SEP17	B	2	PD29DEC17	2490.00	2527.93	USD	1,896.50	
29SEP17	B	1	PD29DEC17	2494.50	2527.93	USD	835.75	
29SEP17	B	1	PD29DEC17	2493.50	2527.93	USD	860.75	
29SEP17	B	1	PD29DEC17	2500.00	2527.93	USD	698.25	
04OCT17	B	4	PD29DEC17	2559.99	2527.93	USD	-3,206.00	
	NET	0 AVG BGHT 2510.38	SOLD 2498.88				-4,886.50	0.00
03OCT17	S	3	PD03JAN18	2522.50	2528.67	USD	-462.75	
03OCT17	S	5	PD03JAN18	2522.00	2528.67	USD	-833.75	
03OCT17	S	1	PD03JAN18	2515.50	2528.67	USD	-329.25	
03OCT17	S	3	PD03JAN18	2523.00	2528.67	USD	-425.25	
03OCT17	S	2	PD03JAN18	2521.50	2528.67	USD	-358.50	
03OCT17	B	1	PD03JAN18	2526.00	2528.67	USD	66.75	
03OCT17	B	2	PD03JAN18	2525.50	2528.67	USD	158.50	
03OCT17	B	4	PD03JAN18	2527.00	2528.67	USD	167.00	
03OCT17	B	2	PD03JAN18	2528.00	2528.67	USD	33.50	
03OCT17	B	1	PD03JAN18	2524.00	2528.67	USD	116.75	
03OCT17	B	1	PD03JAN18	2523.50	2528.67	USD	129.25	
03OCT17	B	1	PD03JAN18	2519.00	2528.67	USD	241.75	
03OCT17	B	2	PD03JAN18	2514.50	2528.67	USD	708.50	
03OCT17	B	1	PD03JAN18	2514.00	2528.67	USD	366.75	
03OCT17	B	1	PD03JAN18	2513.00	2528.67	USD	391.75	
03OCT17	B	2	PD03JAN18	2510.50	2528.67	USD	908.50	
03OCT17	B	1	PD03JAN18	2505.00	2528.67	USD	591.75	
03OCT17	B	1	PD03JAN18	2506.00	2528.67	USD	566.75	
03OCT17	B	1	PD03JAN18	2501.50	2528.67	USD	679.25	
03OCT17	B	1	PD03JAN18	2500.00	2528.67	USD	716.75	
03OCT17	B	2	PD03JAN18	2502.00	2528.67	USD	1,333.50	
03OCT17	B	1	PD03JAN18	2501.00	2528.67	USD	691.75	
03OCT17	S	1	PD03JAN18	2522.50	2528.67	USD	-154.25	
03OCT17	S	1	PD03JAN18	2521.00	2528.67	USD	-191.75	
03OCT17	B	1	PD03JAN18	2497.50	2528.67	USD	779.25	
03OCT17	B	1	PD03JAN18	2488.50	2528.67	USD	1,004.25	
03OCT17	B	1	PD03JAN18	2489.50	2528.67	USD	979.25	
03OCT17	B	1	PD03JAN18	2498.00	2528.67	USD	766.75	
03OCT17	B	1	PD03JAN18	2499.50	2528.67	USD	729.25	
03OCT17	S	1	PD03JAN18	2497.00	2528.67	USD	-791.75	

OPEN POSITION STATEMENT



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030CT17	S	1	PD03JAN18	2503.00	2528.67	USD	-641.75	
030CT17	S	1	PD03JAN18	2509.50	2528.67	USD	-479.25	
030CT17	S	2	PD03JAN18	2518.00	2528.67	USD	-533.50	
030CT17	S	2	PD03JAN18	2518.50	2528.67	USD	-508.50	
030CT17	S	1	PD03JAN18	2519.00	2528.67	USD	-241.75	
030CT17	S	7	PD03JAN18	2520.50	2528.67	USD	-1,429.75	
030CT17	S	1	PD03JAN18	2520.00	2528.67	USD	-216.75	
030CT17	S	3	PD03JAN18	2523.50	2528.67	USD	-387.75	
030CT17	S	2	PD03JAN18	2521.00	2528.67	USD	-383.50	
030CT17	B	1	PD03JAN18	2521.00	2528.67	USD	191.75	
030CT17	S	4	PD03JAN18	2524.00	2528.67	USD	-467.00	
030CT17	S	1	PD03JAN18	2528.00	2528.67	USD	-16.75	
030CT17	S	1	PD03JAN18	2529.00	2528.67	USD	8.25	
030CT17	S	1	PD03JAN18	2530.50	2528.67	USD	45.75	
030CT17	S	1	PD03JAN18	2531.00	2528.67	USD	58.25	
030CT17	S	2	PD03JAN18	2534.50	2528.67	USD	291.50	
030CT17	S	1	PD03JAN18	2540.50	2528.67	USD	295.75	
030CT17	S	1	PD03JAN18	2542.00	2528.67	USD	333.25	
030CT17	S	1	PD03JAN18	2544.50	2528.67	USD	395.75	
030CT17	S	2	PD03JAN18	2548.50	2528.67	USD	991.50	
030CT17	S	1	PD03JAN18	2550.00	2528.67	USD	533.25	
030CT17	S	1	PD03JAN18	2550.50	2528.67	USD	545.75	
030CT17	S	1	PD03JAN18	2553.50	2528.67	USD	620.75	
030CT17	S	1	PD03JAN18	2554.50	2528.67	USD	645.75	
030CT17	S	1	PD03JAN18	2558.50	2528.67	USD	745.75	
030CT17	B	1	PD03JAN18	2565.50	2528.67	USD	-920.75	
030CT17	S	1	PD03JAN18	2562.00	2528.67	USD	833.25	
030CT17	B	2	PD03JAN18	2506.00	2528.67	USD	1,133.50	
030CT17	S	1	PD03JAN18	2565.00	2528.67	USD	908.25	
030CT17	B	1	PD03JAN18	2554.50	2528.67	USD	-645.75	
030CT17	B	1	PD03JAN18	2551.50	2528.67	USD	-570.75	
030CT17	B	1	PD03JAN18	2552.50	2528.67	USD	-595.75	
030CT17	S	1	PD03JAN18	2559.00	2528.67	USD	758.25	
030CT17	S	1	PD03JAN18	2556.50	2528.67	USD	695.75	
030CT17	S	1	PD03JAN18	2563.50	2528.67	USD	870.75	
030CT17	S	1	PD03JAN18	2572.00	2528.67	USD	1,083.25	
030CT17	S	3	PD03JAN18	2587.00	2528.67	USD	4,374.75	
030CT17	B	2	PD03JAN18	2597.00	2528.67	USD	-3,416.50	
030CT17	B	1	PD03JAN18	2565.00	2528.67	USD	-908.25	
050CT17	B	23	PD03JAN18	2592.00	2528.67	USD	-36,414.75	
100CT17	B	3	PD03JAN18	2555.50	2528.67	USD	-2,012.25	
	NET	0AVG BGHT 2548.08	SOLD 2532.42				-25,850.00	0.00
040CT17	B	1	PD04JAN18	2591.00	2528.82	USD	-1,554.50	
040CT17	B	1	PD04JAN18	2591.50	2528.82	USD	-1,567.00	
040CT17	B	1	PD04JAN18	2590.50	2528.82	USD	-1,542.00	
040CT17	B	2	PD04JAN18	2589.50	2528.82	USD	-3,034.00	
040CT17	B	1	PD04JAN18	2588.00	2528.82	USD	-1,479.50	
040CT17	B	1	PD04JAN18	2582.50	2528.82	USD	-1,342.00	
040CT17	B	1	PD04JAN18	2581.50	2528.82	USD	-1,317.00	
040CT17	B	1	PD04JAN18	2577.50	2528.82	USD	-1,217.00	
040CT17	B	1	PD04JAN18	2577.00	2528.82	USD	-1,204.50	
040CT17	B	1	PD04JAN18	2575.00	2528.82	USD	-1,154.50	
040CT17	B	1	PD04JAN18	2574.00	2528.82	USD	-1,129.50	
040CT17	B	1	PD04JAN18	2574.50	2528.82	USD	-1,142.00	
040CT17	B	1	PD04JAN18	2585.00	2528.82	USD	-1,404.50	
040CT17	B	1	PD04JAN18	2584.00	2528.82	USD	-1,379.50	
040CT17	B	1	PD04JAN18	2584.50	2528.82	USD	-1,392.00	

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04OCT17	B	2	PD04JAN18	2587.00	2528.82	USD	-2,909.00	
04OCT17	B	1	PD04JAN18	2586.50	2528.82	USD	-1,442.00	
04OCT17	B	4	PD04JAN18	2583.50	2528.82	USD	-5,468.00	
04OCT17	B	1	PD04JAN18	2570.00	2528.82	USD	-1,029.50	
04OCT17	S	1	PD04JAN18	2591.00	2528.82	USD	1,554.50	
04OCT17	S	1	PD04JAN18	2589.50	2528.82	USD	1,517.00	
04OCT17	S	2	PD04JAN18	2592.00	2528.82	USD	3,159.00	
04OCT17	S	1	PD04JAN18	2595.00	2528.82	USD	1,654.50	
04OCT17	S	1	PD04JAN18	2598.00	2528.82	USD	1,729.50	
04OCT17	S	4	PD04JAN18	2606.00	2528.82	USD	7,718.00	
04OCT17	S	1	PD04JAN18	2606.50	2528.82	USD	1,942.00	
04OCT17	S	3	PD04JAN18	2605.00	2528.82	USD	5,713.50	
04OCT17	S	2	PD04JAN18	2606.50	2528.82	USD	3,884.00	
04OCT17	S	2	PD04JAN18	2606.00	2528.82	USD	3,859.00	
04OCT17	B	1	PD04JAN18	2569.00	2528.82	USD	-1,004.50	
04OCT17	B	1	PD04JAN18	2571.00	2528.82	USD	-1,054.50	
04OCT17	S	1	PD04JAN18	2581.00	2528.82	USD	1,304.50	
04OCT17	B	1	PD04JAN18	2572.50	2528.82	USD	-1,092.00	
04OCT17	B	2	PD04JAN18	2567.50	2528.82	USD	-1,934.00	
04OCT17	S	1	PD04JAN18	2580.00	2528.82	USD	1,279.50	
04OCT17	S	1	PD04JAN18	2580.50	2528.82	USD	1,292.00	
04OCT17	S	1	PD04JAN18	2583.50	2528.82	USD	1,367.00	
04OCT17	S	2	PD04JAN18	2589.00	2528.82	USD	3,009.00	
04OCT17	S	1	PD04JAN18	2590.00	2528.82	USD	1,529.50	
04OCT17	S	1	PD04JAN18	2591.50	2528.82	USD	1,567.00	
04OCT17	B	1	PD04JAN18	2573.00	2528.82	USD	-1,104.50	
04OCT17	B	2	PD04JAN18	2572.00	2528.82	USD	-2,159.00	
04OCT17	B	4	PD04JAN18	2570.50	2528.82	USD	-4,168.00	
04OCT17	B	1	PD04JAN18	2565.50	2528.82	USD	-917.00	
04OCT17	B	5	PD04JAN18	2571.50	2528.82	USD	-5,335.00	
04OCT17	B	3	PD04JAN18	2567.00	2528.82	USD	-2,863.50	
04OCT17	B	1	PD04JAN18	2564.50	2528.82	USD	-892.00	
04OCT17	B	1	PD04JAN18	2563.00	2528.82	USD	-854.50	
04OCT17	B	1	PD04JAN18	2561.00	2528.82	USD	-804.50	
04OCT17	B	2	PD04JAN18	2558.00	2528.82	USD	-1,459.00	
04OCT17	B	1	PD04JAN18	2556.50	2528.82	USD	-692.00	
04OCT17	B	1	PD04JAN18	2556.00	2528.82	USD	-679.50	
04OCT17	B	1	PD04JAN18	2555.50	2528.82	USD	-667.00	
04OCT17	B	1	PD04JAN18	2554.00	2528.82	USD	-629.50	
04OCT17	B	1	PD04JAN18	2550.00	2528.82	USD	-529.50	
04OCT17	B	1	PD04JAN18	2545.50	2528.82	USD	-417.00	
04OCT17	S	2	PD04JAN18	2549.50	2528.82	USD	1,034.00	
04OCT17	S	3	PD04JAN18	2548.50	2528.82	USD	1,476.00	
05OCT17	S	23	PD04JAN18	2591.80	2528.82	USD	36,213.50	
19OCT17	S	2	PD04JAN18	2555.51	2528.82	USD	1,334.50	
	NET	0AVG BGHT 2573.08	SOLD 2588.92				22,173.00	0.00
05OCT17	B	1	PD05JAN18	2545.50	2528.97	USD	-413.25	
05OCT17	B	1	PD05JAN18	2544.50	2528.97	USD	-388.25	
05OCT17	S	2	PD05JAN18	2545.00	2528.97	USD	801.50	
05OCT17	B	1	PD05JAN18	2546.00	2528.97	USD	-425.75	
05OCT17	S	1	PD05JAN18	2550.00	2528.97	USD	525.75	
05OCT17	S	1	PD05JAN18	2550.50	2528.97	USD	538.25	
05OCT17	S	1	PD05JAN18	2552.00	2528.97	USD	575.75	
05OCT17	S	2	PD05JAN18	2552.50	2528.97	USD	1,176.50	
05OCT17	S	2	PD05JAN18	2555.00	2528.97	USD	1,301.50	
05OCT17	S	3	PD05JAN18	2556.00	2528.97	USD	2,027.25	
05OCT17	S	2	PD05JAN18	2557.00	2528.97	USD	1,401.50	

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05OCT17	S	1	PD05JAN18	2557.50	2528.97	USD	713.25	
05OCT17	S	1	PD05JAN18	2545.00	2528.97	USD	400.75	
05OCT17	S	2	PD05JAN18	2558.50	2528.97	USD	1,476.50	
05OCT17	S	1	PD05JAN18	2566.00	2528.97	USD	925.75	
05OCT17	S	1	PD05JAN18	2563.50	2528.97	USD	863.25	
05OCT17	S	1	PD05JAN18	2565.50	2528.97	USD	913.25	
05OCT17	S	1	PD05JAN18	2571.00	2528.97	USD	1,050.75	
05OCT17	S	2	PD05JAN18	2572.00	2528.97	USD	2,151.50	
05OCT17	S	1	PD05JAN18	2572.50	2528.97	USD	1,088.25	
05OCT17	S	1	PD05JAN18	2568.50	2528.97	USD	988.25	
05OCT17	S	1	PD05JAN18	2580.50	2528.97	USD	1,288.25	
05OCT17	S	2	PD05JAN18	2581.00	2528.97	USD	2,601.50	
05OCT17	S	2	PD05JAN18	2583.00	2528.97	USD	2,701.50	
05OCT17	S	1	PD05JAN18	2587.00	2528.97	USD	1,450.75	
05OCT17	B	1	PD05JAN18	2590.00	2528.97	USD	-1,525.75	
05OCT17	B	1	PD05JAN18	2588.00	2528.97	USD	-1,475.75	
05OCT17	B	2	PD05JAN18	2582.50	2528.97	USD	-2,676.50	
05OCT17	B	1	PD05JAN18	2580.50	2528.97	USD	-1,288.25	
05OCT17	B	1	PD05JAN18	2582.00	2528.97	USD	-1,325.75	
05OCT17	B	3	PD05JAN18	2589.00	2528.97	USD	-4,502.25	
05OCT17	B	1	PD05JAN18	2587.50	2528.97	USD	-1,463.25	
05OCT17	B	1	PD05JAN18	2590.50	2528.97	USD	-1,538.25	
05OCT17	S	2	PD05JAN18	2590.50	2528.97	USD	3,076.50	
05OCT17	B	1	PD05JAN18	2592.00	2528.97	USD	-1,575.75	
06OCT17	S	5	PD05JAN18	2589.00	2528.97	USD	7,503.75	
06OCT17	B	1	PD05JAN18	2576.50	2528.97	USD	-1,188.25	
06OCT17	B	2	PD05JAN18	2577.00	2528.97	USD	-2,401.50	
06OCT17	B	3	PD05JAN18	2578.00	2528.97	USD	-3,677.25	
06OCT17	B	2	PD05JAN18	2579.00	2528.97	USD	-2,501.50	
06OCT17	B	1	PD05JAN18	2575.50	2528.97	USD	-1,163.25	
06OCT17	B	2	PD05JAN18	2575.00	2528.97	USD	-2,301.50	
06OCT17	S	1	PD05JAN18	2574.00	2528.97	USD	1,125.75	
06OCT17	B	1	PD05JAN18	2574.00	2528.97	USD	-1,125.75	
06OCT17	S	1	PD05JAN18	2572.50	2528.97	USD	1,088.25	
06OCT17	S	1	PD05JAN18	2573.00	2528.97	USD	1,100.75	
06OCT17	B	2	PD05JAN18	2569.00	2528.97	USD	-2,001.50	
06OCT17	B	1	PD05JAN18	2568.00	2528.97	USD	-975.75	
06OCT17	B	2	PD05JAN18	2568.50	2528.97	USD	-1,976.50	
06OCT17	B	1	PD05JAN18	2570.00	2528.97	USD	-1,025.75	
06OCT17	B	3	PD05JAN18	2569.50	2528.97	USD	-3,039.75	
06OCT17	B	2	PD05JAN18	2567.50	2528.97	USD	-1,926.50	
06OCT17	B	2	PD05JAN18	2564.50	2528.97	USD	-1,776.50	
06OCT17	B	1	PD05JAN18	2561.50	2528.97	USD	-813.25	
06OCT17	B	1	PD05JAN18	2558.00	2528.97	USD	-725.75	
06OCT17	B	1	PD05JAN18	2559.00	2528.97	USD	-750.75	
06OCT17	B	1	PD05JAN18	2558.50	2528.97	USD	-738.25	
06OCT17	B	1	PD05JAN18	2563.00	2528.97	USD	-850.75	
06OCT17	B	1	PD05JAN18	2547.00	2528.97	USD	-450.75	
06OCT17	S	1	PD05JAN18	2547.50	2528.97	USD	463.25	
06OCT17	S	1	PD05JAN18	2543.50	2528.97	USD	363.25	
06OCT17	S	2	PD05JAN18	2544.50	2528.97	USD	776.50	
06OCT17	S	1	PD05JAN18	2545.00	2528.97	USD	400.75	
06OCT17	S	2	PD05JAN18	2545.50	2528.97	USD	826.50	
06OCT17	S	1	PD05JAN18	2546.50	2528.97	USD	438.25	
06OCT17	B	1	PD05JAN18	2540.50	2528.97	USD	-288.25	
06OCT17	B	1	PD05JAN18	2532.00	2528.97	USD	-75.75	
06OCT17	B	1	PD05JAN18	2526.50	2528.97	USD	61.75	

OPEN POSITION STATEMENT



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SAP0112D

13th October 2017

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	<u>LME LEAD 25 TONNES. THIS IS AN</u>							
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06OCT17	B	2	PD05JAN18	2525.50	2528.97	USD	173.50	
06OCT17	B	1	PD05JAN18	2524.50	2528.97	USD	111.75	
06OCT17	S	1	PD05JAN18	2526.00	2528.97	USD	-74.25	
06OCT17	S	1	PD05JAN18	2522.00	2528.97	USD	-174.25	
06OCT17	S	2	PD05JAN18	2512.50	2528.97	USD	-823.50	
06OCT17	S	1	PD05JAN18	2516.00	2528.97	USD	-324.25	
06OCT17	S	1	PD05JAN18	2518.50	2528.97	USD	-261.75	
06OCT17	S	1	PD05JAN18	2521.00	2528.97	USD	-199.25	
06OCT17	S	1	PD05JAN18	2520.50	2528.97	USD	-211.75	
06OCT17	B	1	PD05JAN18	2519.50	2528.97	USD	236.75	
06OCT17	S	1	PD05JAN18	2522.50	2528.97	USD	-161.75	
06OCT17	S	1	PD05JAN18	2523.00	2528.97	USD	-149.25	
06OCT17	S	2	PD05JAN18	2525.50	2528.97	USD	-173.50	
06OCT17	S	1	PD05JAN18	2528.50	2528.97	USD	-11.75	
06OCT17	S	1	PD05JAN18	2531.50	2528.97	USD	63.25	
06OCT17	S	1	PD05JAN18	2529.50	2528.97	USD	13.25	
06OCT17	S	2	PD05JAN18	2527.00	2528.97	USD	-98.50	
06OCT17	S	1	PD05JAN18	2533.00	2528.97	USD	100.75	
06OCT17	S	1	PD05JAN18	2534.50	2528.97	USD	138.25	
06OCT17	S	1	PD05JAN18	2535.00	2528.97	USD	150.75	
06OCT17	S	1	PD05JAN18	2541.50	2528.97	USD	313.25	
06OCT17	B	1	PD05JAN18	2542.00	2528.97	USD	-325.75	
06OCT17	B	1	PD05JAN18	2536.50	2528.97	USD	-188.25	
06OCT17	B	2	PD05JAN18	2536.00	2528.97	USD	-351.50	
06OCT17	S	1	PD05JAN18	2529.00	2528.97	USD	0.75	
10OCT17	B	13	PD05JAN18	2555.93	2528.97	USD	-8,762.00	
12OCT17	B	2	PD05JAN18	2556.13	2528.97	USD	-1,358.00	
	NET	0AVG	BGHT 2562.73	SOLD 2552.44			-18,533.75	0.00
09OCT17	B	1	PD09JAN18	2521.50	2529.56	USD	201.50	
09OCT17	B	2	PD09JAN18	2525.50	2529.56	USD	203.00	
09OCT17	B	1	PD09JAN18	2524.50	2529.56	USD	126.50	
09OCT17	B	1	PD09JAN18	2517.00	2529.56	USD	314.00	
09OCT17	B	1	PD09JAN18	2530.50	2529.56	USD	-23.50	
09OCT17	B	1	PD09JAN18	2529.00	2529.56	USD	14.00	
09OCT17	S	1	PD09JAN18	2532.50	2529.56	USD	73.50	
09OCT17	B	2	PD09JAN18	2515.50	2529.56	USD	703.00	
09OCT17	B	1	PD09JAN18	2520.00	2529.56	USD	239.00	
09OCT17	B	2	PD09JAN18	2516.50	2529.56	USD	653.00	
09OCT17	B	2	PD09JAN18	2516.00	2529.56	USD	678.00	
09OCT17	B	5	PD09JAN18	2517.50	2529.56	USD	1,507.50	
09OCT17	B	6	PD09JAN18	2518.50	2529.56	USD	1,659.00	
09OCT17	B	3	PD09JAN18	2518.00	2529.56	USD	867.00	
09OCT17	S	1	PD09JAN18	2514.00	2529.56	USD	-389.00	
09OCT17	B	2	PD09JAN18	2508.50	2529.56	USD	1,053.00	
09OCT17	B	1	PD09JAN18	2502.50	2529.56	USD	676.50	
09OCT17	S	1	PD09JAN18	2516.00	2529.56	USD	-339.00	
09OCT17	S	1	PD09JAN18	2506.50	2529.56	USD	-576.50	
09OCT17	S	3	PD09JAN18	2509.50	2529.56	USD	-1,504.50	
09OCT17	S	2	PD09JAN18	2513.50	2529.56	USD	-803.00	
09OCT17	S	1	PD09JAN18	2511.50	2529.56	USD	-451.50	
09OCT17	S	2	PD09JAN18	2512.50	2529.56	USD	-853.00	
09OCT17	S	1	PD09JAN18	2520.00	2529.56	USD	-239.00	
09OCT17	S	1	PD09JAN18	2523.50	2529.56	USD	-151.50	
09OCT17	S	1	PD09JAN18	2525.00	2529.56	USD	-114.00	
09OCT17	B	1	PD09JAN18	2521.00	2529.56	USD	214.00	
09OCT17	S	1	PD09JAN18	2507.00	2529.56	USD	-564.00	
09OCT17	S	1	PD09JAN18	2508.50	2529.56	USD	-526.50	

OPEN POSITION STATEMENT



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09OCT17	S	1	PD09JAN18	2507.50	2529.56	USD	-551.50	
09OCT17	B	1	PD09JAN18	2509.00	2529.56	USD	514.00	
09OCT17	B	2	PD09JAN18	2504.00	2529.56	USD	1,278.00	
09OCT17	B	4	PD09JAN18	2495.50	2529.56	USD	3,406.00	
09OCT17	B	2	PD09JAN18	2492.50	2529.56	USD	1,853.00	
09OCT17	B	1	PD09JAN18	2493.50	2529.56	USD	901.50	
09OCT17	B	1	PD09JAN18	2487.50	2529.56	USD	1,051.50	
09OCT17	S	1	PD09JAN18	2502.50	2529.56	USD	-676.50	
09OCT17	S	1	PD09JAN18	2503.00	2529.56	USD	-664.00	
09OCT17	S	1	PD09JAN18	2504.00	2529.56	USD	-639.00	
09OCT17	S	2	PD09JAN18	2507.00	2529.56	USD	-1,128.00	
09OCT17	S	1	PD09JAN18	2510.50	2529.56	USD	-476.50	
09OCT17	S	2	PD09JAN18	2513.00	2529.56	USD	-828.00	
09OCT17	S	1	PD09JAN18	2512.00	2529.56	USD	-439.00	
09OCT17	S	1	PD09JAN18	2515.00	2529.56	USD	-364.00	
09OCT17	S	1	PD09JAN18	2514.00	2529.56	USD	-389.00	
10OCT17	S	14	PD09JAN18	2556.59	2529.56	USD	9,460.50	
	NET	0AVG BGHT 2512.73	SOLD 2526.65				14,956.50	0.00
10OCT17	B	1	PD10JAN18	2494.50	2529.70	USD	880.00	
10OCT17	B	2	PD10JAN18	2495.50	2529.70	USD	1,710.00	
10OCT17	B	2	PD10JAN18	2494.00	2529.70	USD	1,785.00	
10OCT17	B	1	PD10JAN18	2501.50	2529.70	USD	705.00	
10OCT17	B	2	PD10JAN18	2497.50	2529.70	USD	1,610.00	
10OCT17	B	2	PD10JAN18	2496.50	2529.70	USD	1,660.00	
10OCT17	S	1	PD10JAN18	2502.00	2529.70	USD	-692.50	
10OCT17	S	3	PD10JAN18	2501.50	2529.70	USD	-2,115.00	
10OCT17	S	1	PD10JAN18	2501.00	2529.70	USD	-717.50	
10OCT17	S	1	PD10JAN18	2497.00	2529.70	USD	-817.50	
10OCT17	B	1	PD10JAN18	2490.00	2529.70	USD	992.50	
10OCT17	B	1	PD10JAN18	2489.50	2529.70	USD	1,005.00	
10OCT17	B	3	PD10JAN18	2490.50	2529.70	USD	2,940.00	
10OCT17	B	2	PD10JAN18	2491.00	2529.70	USD	1,935.00	
10OCT17	B	2	PD10JAN18	2487.00	2529.70	USD	2,135.00	
10OCT17	B	2	PD10JAN18	2486.50	2529.70	USD	2,160.00	
10OCT17	S	1	PD10JAN18	2502.50	2529.70	USD	-680.00	
10OCT17	S	3	PD10JAN18	2503.00	2529.70	USD	-2,002.50	
10OCT17	B	2	PD10JAN18	2497.00	2529.70	USD	1,635.00	
10OCT17	S	1	PD10JAN18	2489.50	2529.70	USD	-1,005.00	
10OCT17	S	1	PD10JAN18	2517.00	2529.70	USD	-317.50	
10OCT17	S	1	PD10JAN18	2517.50	2529.70	USD	-305.00	
10OCT17	S	1	PD10JAN18	2518.00	2529.70	USD	-292.50	
10OCT17	S	1	PD10JAN18	2518.50	2529.70	USD	-280.00	
10OCT17	S	2	PD10JAN18	2519.00	2529.70	USD	-535.00	
10OCT17	S	1	PD10JAN18	2520.50	2529.70	USD	-230.00	
10OCT17	S	1	PD10JAN18	2520.00	2529.70	USD	-242.50	
10OCT17	S	1	PD10JAN18	2515.00	2529.70	USD	-367.50	
10OCT17	S	1	PD10JAN18	2515.50	2529.70	USD	-355.00	
10OCT17	S	1	PD10JAN18	2505.50	2529.70	USD	-605.00	
10OCT17	S	3	PD10JAN18	2516.00	2529.70	USD	-1,027.50	
10OCT17	S	2	PD10JAN18	2524.00	2529.70	USD	-285.00	
10OCT17	S	1	PD10JAN18	2524.50	2529.70	USD	-130.00	
10OCT17	B	3	PD10JAN18	2507.00	2529.70	USD	1,702.50	
10OCT17	B	1	PD10JAN18	2508.50	2529.70	USD	530.00	
10OCT17	B	4	PD10JAN18	2507.50	2529.70	USD	2,220.00	
10OCT17	B	1	PD10JAN18	2513.50	2529.70	USD	405.00	
10OCT17	B	3	PD10JAN18	2508.00	2529.70	USD	1,627.50	
10OCT17	S	1	PD10JAN18	2528.00	2529.70	USD	-42.50	

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10OCT17	S	1	PD10JAN18	2529.00	2529.70	USD	-17.50	
10OCT17	S	1	PD10JAN18	2530.50	2529.70	USD	20.00	
10OCT17	S	1	PD10JAN18	2533.00	2529.70	USD	82.50	
10OCT17	S	2	PD10JAN18	2533.50	2529.70	USD	190.00	
10OCT17	S	2	PD10JAN18	2534.50	2529.70	USD	240.00	
10OCT17	S	1	PD10JAN18	2535.50	2529.70	USD	145.00	
10OCT17	B	1	PD10JAN18	2502.00	2529.70	USD	692.50	
10OCT17	S	1	PD10JAN18	2539.50	2529.70	USD	245.00	
10OCT17	S	1	PD10JAN18	2550.00	2529.70	USD	507.50	
10OCT17	B	1	PD10JAN18	2548.50	2529.70	USD	-470.00	
10OCT17	B	1	PD10JAN18	2546.50	2529.70	USD	-420.00	
10OCT17	B	1	PD10JAN18	2548.00	2529.70	USD	-457.50	
10OCT17	S	1	PD10JAN18	2552.00	2529.70	USD	557.50	
10OCT17	S	1	PD10JAN18	2557.00	2529.70	USD	682.50	
10OCT17	S	1	PD10JAN18	2557.50	2529.70	USD	695.00	
10OCT17	B	3	PD10JAN18	2559.00	2529.70	USD	-2,197.50	
10OCT17	B	2	PD10JAN18	2558.00	2529.70	USD	-1,415.00	
10OCT17	B	1	PD10JAN18	2558.50	2529.70	USD	-720.00	
10OCT17	S	1	PD10JAN18	2559.50	2529.70	USD	745.00	
12OCT17	S	2	PD10JAN18	2556.55	2529.70	USD	1,342.50	
	NET	0AVG	BGHT 2509.57	SOLD 2522.94			15,040.00	0.00
11OCT17	B	1	PD11JAN18	2539.50	2529.85	USD	-241.25	
11OCT17	S	1	PD11JAN18	2540.50	2529.85	USD	266.25	
11OCT17	S	2	PD11JAN18	2541.00	2529.85	USD	557.50	
11OCT17	B	2	PD11JAN18	2533.50	2529.85	USD	-182.50	
11OCT17	B	2	PD11JAN18	2532.00	2529.85	USD	-107.50	
11OCT17	B	3	PD11JAN18	2532.50	2529.85	USD	-198.75	
11OCT17	B	1	PD11JAN18	2536.00	2529.85	USD	-153.75	
11OCT17	B	1	PD11JAN18	2535.00	2529.85	USD	-128.75	
11OCT17	B	1	PD11JAN18	2534.50	2529.85	USD	-116.25	
11OCT17	B	2	PD11JAN18	2527.50	2529.85	USD	117.50	
11OCT17	B	3	PD11JAN18	2527.00	2529.85	USD	213.75	
11OCT17	B	1	PD11JAN18	2526.00	2529.85	USD	96.25	
11OCT17	B	2	PD11JAN18	2524.00	2529.85	USD	292.50	
11OCT17	B	1	PD11JAN18	2521.00	2529.85	USD	221.25	
11OCT17	B	1	PD11JAN18	2516.00	2529.85	USD	346.25	
11OCT17	B	2	PD11JAN18	2511.00	2529.85	USD	942.50	
11OCT17	B	1	PD11JAN18	2513.00	2529.85	USD	421.25	
11OCT17	B	5	PD11JAN18	2511.50	2529.85	USD	2,293.75	
11OCT17	B	2	PD11JAN18	2512.00	2529.85	USD	892.50	
11OCT17	B	4	PD11JAN18	2517.00	2529.85	USD	1,285.00	
11OCT17	S	1	PD11JAN18	2507.50	2529.85	USD	-558.75	
11OCT17	S	1	PD11JAN18	2508.50	2529.85	USD	-533.75	
11OCT17	S	2	PD11JAN18	2513.00	2529.85	USD	-842.50	
11OCT17	S	1	PD11JAN18	2512.50	2529.85	USD	-433.75	
11OCT17	S	1	PD11JAN18	2515.50	2529.85	USD	-358.75	
11OCT17	S	4	PD11JAN18	2515.00	2529.85	USD	-1,485.00	
11OCT17	S	1	PD11JAN18	2512.00	2529.85	USD	-446.25	
11OCT17	S	1	PD11JAN18	2521.50	2529.85	USD	-208.75	
11OCT17	S	2	PD11JAN18	2522.00	2529.85	USD	-392.50	
11OCT17	S	1	PD11JAN18	2523.50	2529.85	USD	-158.75	
11OCT17	S	2	PD11JAN18	2524.00	2529.85	USD	-292.50	
11OCT17	S	4	PD11JAN18	2524.50	2529.85	USD	-535.00	
11OCT17	S	2	PD11JAN18	2525.00	2529.85	USD	-242.50	
11OCT17	S	1	PD11JAN18	2527.00	2529.85	USD	-71.25	
11OCT17	S	1	PD11JAN18	2529.00	2529.85	USD	-21.25	
11OCT17	B	2	PD11JAN18	2531.00	2529.85	USD	-57.50	

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11OCT17	S	2	PD11JAN18	2532.50	2529.85	USD	132.50	
11OCT17	S	4	PD11JAN18	2534.00	2529.85	USD	415.00	
11OCT17	S	1	PD11JAN18	2529.50	2529.85	USD	-8.75	
11OCT17	S	2	PD11JAN18	2531.00	2529.85	USD	57.50	
11OCT17	S	3	PD11JAN18	2533.00	2529.85	USD	236.25	
11OCT17	S	1	PD11JAN18	2535.50	2529.85	USD	141.25	
11OCT17	S	3	PD11JAN18	2535.00	2529.85	USD	386.25	
11OCT17	S	1	PD11JAN18	2536.00	2529.85	USD	153.75	
11OCT17	S	4	PD11JAN18	2531.50	2529.85	USD	165.00	
11OCT17	S	4	PD11JAN18	2532.00	2529.85	USD	215.00	
11OCT17	S	1	PD11JAN18	2516.50	2529.85	USD	-333.75	
11OCT17	S	1	PD11JAN18	2520.50	2529.85	USD	-233.75	
11OCT17	B	1	PD11JAN18	2539.00	2529.85	USD	-228.75	
11OCT17	B	3	PD11JAN18	2543.50	2529.85	USD	-1,023.75	
11OCT17	B	1	PD11JAN18	2531.00	2529.85	USD	-28.75	
11OCT17	B	2	PD11JAN18	2528.50	2529.85	USD	67.50	
11OCT17	B	4	PD11JAN18	2524.50	2529.85	USD	535.00	
11OCT17	B	2	PD11JAN18	2525.50	2529.85	USD	217.50	
11OCT17	B	3	PD11JAN18	2525.00	2529.85	USD	363.75	
11OCT17	B	2	PD11JAN18	2520.00	2529.85	USD	492.50	
11OCT17	B	3	PD11JAN18	2523.00	2529.85	USD	513.75	
11OCT17	B	1	PD11JAN18	2520.50	2529.85	USD	233.75	
11OCT17	B	4	PD11JAN18	2519.50	2529.85	USD	1,035.00	
11OCT17	S	1	PD11JAN18	2533.50	2529.85	USD	91.25	
11OCT17	S	5	PD11JAN18	2528.50	2529.85	USD	-168.75	
11OCT17	B	3	PD11JAN18	2530.50	2529.85	USD	-48.75	
11OCT17	B	1	PD11JAN18	2517.50	2529.85	USD	308.75	
11OCT17	S	8	PD11JAN18	2530.50	2529.85	USD	130.00	
11OCT17	S	1	PD11JAN18	2560.00	2529.85	USD	753.75	
11OCT17	S	5	PD11JAN18	2562.00	2529.85	USD	4,018.75	
11OCT17	S	1	PD11JAN18	2561.00	2529.85	USD	778.75	
11OCT17	B	1	PD11JAN18	2555.50	2529.85	USD	-641.25	
11OCT17	B	1	PD11JAN18	2556.00	2529.85	USD	-653.75	
11OCT17	B	1	PD11JAN18	2556.50	2529.85	USD	-666.25	
11OCT17	B	3	PD11JAN18	2555.00	2529.85	USD	-1,886.25	
	NET	-3 AVG BGHT 2527.37	SOLD 2530.47				5,698.75	0.00
12OCT17	B	2	PD12JAN18	2543.00	2530.00	USD	-650.00	
12OCT17	B	1	PD12JAN18	2544.00	2530.00	USD	-350.00	
12OCT17	B	2	PD12JAN18	2543.50	2530.00	USD	-675.00	
12OCT17	S	2	PD12JAN18	2546.50	2530.00	USD	825.00	
12OCT17	S	1	PD12JAN18	2547.00	2530.00	USD	425.00	
12OCT17	B	1	PD12JAN18	2562.00	2530.00	USD	-800.00	
12OCT17	S	1	PD12JAN18	2570.50	2530.00	USD	1,012.50	
12OCT17	B	1	PD12JAN18	2572.50	2530.00	USD	-1,062.50	
12OCT17	B	1	PD12JAN18	2571.50	2530.00	USD	-1,037.50	
12OCT17	B	1	PD12JAN18	2573.00	2530.00	USD	-1,075.00	
12OCT17	S	2	PD12JAN18	2575.00	2530.00	USD	2,250.00	
12OCT17	B	2	PD12JAN18	2585.00	2530.00	USD	-2,750.00	
12OCT17	S	1	PD12JAN18	2583.50	2530.00	USD	1,337.50	
12OCT17	S	1	PD12JAN18	2584.50	2530.00	USD	1,362.50	
12OCT17	B	1	PD12JAN18	2557.00	2530.00	USD	-675.00	
12OCT17	B	1	PD12JAN18	2556.00	2530.00	USD	-650.00	
12OCT17	B	1	PD12JAN18	2552.50	2530.00	USD	-562.50	
12OCT17	B	2	PD12JAN18	2552.00	2530.00	USD	-1,100.00	
12OCT17	S	1	PD12JAN18	2566.00	2530.00	USD	900.00	
12OCT17	S	1	PD12JAN18	2565.50	2530.00	USD	887.50	
12OCT17	S	2	PD12JAN18	2567.00	2530.00	USD	1,850.00	

OPEN POSITION STATEMENT



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SAP0112D

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	<u>LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
12OCT17	S	1	PD12JAN18	2565.00	2530.00	USD	875.00	
13OCT17	B	1	PD12JAN18	2551.50	2530.00	USD	-537.50	
13OCT17	B	1	PD12JAN18	2554.50	2530.00	USD	-612.50	
13OCT17	B	1	PD12JAN18	2555.50	2530.00	USD	-637.50	
13OCT17	B	2	PD12JAN18	2552.50	2530.00	USD	-1,125.00	
13OCT17	B	2	PD12JAN18	2552.00	2530.00	USD	-1,100.00	
13OCT17	B	1	PD12JAN18	2551.00	2530.00	USD	-525.00	
13OCT17	B	1	PD12JAN18	2550.50	2530.00	USD	-512.50	
13OCT17	S	1	PD12JAN18	2555.00	2530.00	USD	625.00	
13OCT17	S	1	PD12JAN18	2555.50	2530.00	USD	637.50	
13OCT17	S	1	PD12JAN18	2552.00	2530.00	USD	550.00	
13OCT17	S	2	PD12JAN18	2553.00	2530.00	USD	1,150.00	
13OCT17	S	1	PD12JAN18	2562.00	2530.00	USD	800.00	
13OCT17	S	1	PD12JAN18	2564.50	2530.00	USD	862.50	
13OCT17	S	1	PD12JAN18	2561.50	2530.00	USD	787.50	
13OCT17	S	1	PD12JAN18	2562.50	2530.00	USD	812.50	
13OCT17	B	1	PD12JAN18	2555.00	2530.00	USD	-625.00	
13OCT17	S	1	PD12JAN18	2549.50	2530.00	USD	487.50	
13OCT17	S	1	PD12JAN18	2553.00	2530.00	USD	575.00	
13OCT17	B	1	PD12JAN18	2542.50	2530.00	USD	-312.50	
13OCT17	B	2	PD12JAN18	2545.00	2530.00	USD	-750.00	
13OCT17	S	2	PD12JAN18	2542.00	2530.00	USD	600.00	
13OCT17	S	1	PD12JAN18	2545.50	2530.00	USD	387.50	
13OCT17	S	4	PD12JAN18	2554.00	2530.00	USD	2,400.00	
13OCT17	S	1	PD12JAN18	2553.50	2530.00	USD	587.50	
13OCT17	S	1	PD12JAN18	2554.50	2530.00	USD	612.50	
13OCT17	S	1	PD12JAN18	2541.50	2530.00	USD	287.50	
13OCT17	S	1	PD12JAN18	2539.50	2530.00	USD	237.50	
13OCT17	S	1	PD12JAN18	2531.00	2530.00	USD	25.00	
13OCT17	B	1	PD12JAN18	2534.00	2530.00	USD	-100.00	
13OCT17	B	1	PD12JAN18	2533.50	2530.00	USD	-87.50	
13OCT17	B	1	PD12JAN18	2533.00	2530.00	USD	-75.00	
13OCT17	B	2	PD12JAN18	2531.50	2530.00	USD	-75.00	
13OCT17	B	1	PD12JAN18	2531.00	2530.00	USD	-25.00	
13OCT17	B	2	PD12JAN18	2529.50	2530.00	USD	25.00	
13OCT17	S	1	PD12JAN18	2538.50	2530.00	USD	212.50	
13OCT17	S	2	PD12JAN18	2541.00	2530.00	USD	550.00	
	NET	-2 AVG BGHT 2549.96	SOLD 2555.55				6,450.00	0.00
	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
17JUL17	S	1	PD17OCT17	9695.00	11610.50	USD	-11,493.00	
18JUL17	B	1	PD17OCT17	9781.00	11610.50	USD	10,977.00	
	NET	0 AVG BGHT 9781.00	SOLD 9695.00				-516.00	0.00
20JUL17	B	1	PD20OCT17	9635.00	11611.35	USD	11,858.10	
01AUG17	S	1	PD20OCT17	10285.29	11611.35	USD	-7,956.36	
	NET	0 AVG BGHT 9635.00	SOLD 10285.29				3,901.74	0.00
25JUL17	B	1	PD25OCT17	9900.00	11615.61	USD	10,293.66	
01AUG17	S	1	PD25OCT17	10287.25	11615.61	USD	-7,970.16	
	NET	0 AVG BGHT 9900.00	SOLD 10287.25				2,323.50	0.00
27JUL17	S	1	PD27OCT17	10110.00	11617.31	USD	-9,043.86	
27JUL17	S	1	PD27OCT17	10155.00	11617.31	USD	-8,773.86	
01AUG17	B	2	PD27OCT17	10289.04	11617.31	USD	15,939.24	
	NET	0 AVG BGHT 10289.04	SOLD 10132.50				-1,878.48	0.00
01AUG17	B	1	PD01NOV17	10180.00	11621.57	USD	8,649.42	
03AUG17	S	1	PD01NOV17	10379.57	11621.57	USD	-7,452.00	

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>								
	NET	0 AVG	BGHT	10180.00	SOLD	10379.57		1,197.42	0.00
02AUG17	S	1	PD02NOV17		10290.00	11622.43	USD	-7,994.58	
03AUG17	B	1	PD02NOV17		10380.79	11622.43	USD	7,449.84	
	NET	0 AVG	BGHT	10380.79	SOLD	10290.00		-544.74	0.00
04AUG17	B	1	PD03NOV17		10295.00	11623.28	USD	7,969.68	
10AUG17	S	1	PD03NOV17		10980.89	11623.28	USD	-3,854.34	
	NET	0 AVG	BGHT	10295.00	SOLD	10980.89		4,115.34	0.00
08AUG17	S	1	PD08NOV17		10725.00	11627.54	USD	-5,415.24	
10AUG17	B	1	PD08NOV17		10984.83	11627.54	USD	3,856.26	
	NET	0 AVG	BGHT	10984.83	SOLD	10725.00		-1,558.98	0.00
11AUG17	B	1	PD10NOV17		10640.00	11629.24	USD	5,935.44	
22AUG17	S	1	PD10NOV17		11410.04	11629.24	USD	-1,315.20	
	NET	0 AVG	BGHT	10640.00	SOLD	11410.04		4,620.24	0.00
14AUG17	B	1	PD14NOV17		10555.00	11632.65	USD	6,465.90	
22AUG17	S	1	PD14NOV17		11412.81	11632.65	USD	-1,319.04	
	NET	0 AVG	BGHT	10555.00	SOLD	11412.81		5,146.86	0.00
22AUG17	S	1	PD22NOV17		11435.00	11638.30	USD	-1,219.80	
22AUG17	S	1	PD22NOV17		11430.00	11638.30	USD	-1,249.80	
29AUG17	B	1	PD22NOV17		11705.15	11638.30	USD	-401.10	
13SEP17	B	1	PD22NOV17		11343.92	11638.30	USD	1,766.28	
	NET	0 AVG	BGHT	11524.54	SOLD	11432.50		-1,104.42	0.00
23AUG17	B	1	PD24NOV17		11340.00	11639.67	USD	1,798.02	
23AUG17	S	1	PD24NOV17		11325.00	11639.67	USD	-1,888.02	
23AUG17	B	1	PD24NOV17		11455.00	11639.67	USD	1,108.02	
23AUG17	S	1	PD24NOV17		11515.00	11639.67	USD	-748.02	
23AUG17	S	1	PD24NOV17		11580.00	11639.67	USD	-358.02	
23AUG17	B	1	PD24NOV17		11615.00	11639.67	USD	148.02	
25AUG17	B	1	PD24NOV17		11430.00	11639.67	USD	1,258.02	
29AUG17	S	1	PD24NOV17		11705.54	11639.67	USD	395.22	
	NET	0 AVG	BGHT	11460.00	SOLD	11531.39		1,713.24	0.00
29AUG17	S	1	PD29NOV17		11770.00	11643.10	USD	761.40	
29AUG17	B	1	PD29NOV17		11845.00	11643.10	USD	-1,211.40	
29AUG17	S	1	PD29NOV17		11810.00	11643.10	USD	1,001.40	
06SEP17	B	1	PD29NOV17		12160.80	11643.10	USD	-3,106.20	
	NET	0 AVG	BGHT	12002.90	SOLD	11790.00		-2,554.80	0.00
30AUG17	B	1	PD30NOV17		11775.00	11643.79	USD	-787.26	
30AUG17	B	1	PD30NOV17		11660.00	11643.79	USD	-97.26	
30AUG17	S	1	PD30NOV17		11570.00	11643.79	USD	-442.74	
31AUG17	S	1	PD30NOV17		11700.00	11643.79	USD	337.26	
	NET	0 AVG	BGHT	11717.50	SOLD	11635.00		-990.00	0.00
01SEP17	B	1	PD01DEC17		12065.00	11644.47	USD	-2,523.18	
06SEP17	S	1	PD01DEC17		12161.00	11644.47	USD	3,099.18	
	NET	0 AVG	BGHT	12065.00	SOLD	12161.00		576.00	0.00
08SEP17	B	1	PD08DEC17		11575.00	11649.27	USD	445.62	
08SEP17	S	1	PD08DEC17		11505.00	11649.27	USD	-865.62	
08SEP17	B	1	PD08DEC17		11500.00	11649.27	USD	895.62	
13SEP17	S	1	PD08DEC17		11356.46	11649.27	USD	-1,756.86	
	NET	0 AVG	BGHT	11537.50	SOLD	11430.73		-1,281.24	0.00
11SEP17	S	1	PD11DEC17		11745.00	11651.33	USD	562.02	
13SEP17	B	1	PD11DEC17		11360.00	11651.33	USD	1,747.98	
	NET	0 AVG	BGHT	11360.00	SOLD	11745.00		2,310.00	0.00
13SEP17	B	1	PD13DEC17		11515.00	11652.70	USD	826.20	
15SEP17	S	1	PD13DEC17		11087.40	11652.70	USD	-3,391.80	

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06OCT17 12OCT17 12OCT17	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
	NET	0AVG BGHT	11515.00	SOLD	11087.40		-2,565.60	0.00
	S	1	PD05JAN18	10670.00	11669.67	USD	-5,998.02	
	B	1	PD05JAN18	11391.13	11669.67	USD	1,671.24	
	NET	0AVG BGHT	11391.13	SOLD	10670.00		-4,326.78	0.00
	S	1	PD12JAN18	11375.00	11675.00	USD	-1,800.00	
	NET	-1AVG SOLD	11375.00				-1,800.00	0.00
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
17JUL17	S	2	PD17OCT17	2828.00	3294.00	USD	-23,300.00	
17JUL17	S	2	PD17OCT17	2825.50	3294.00	USD	-23,425.00	
17JUL17	S	1	PD17OCT17	2829.50	3294.00	USD	-11,612.50	
17JUL17	S	1	PD17OCT17	2826.00	3294.00	USD	-11,700.00	
17JUL17	S	1	PD17OCT17	2827.00	3294.00	USD	-11,675.00	
17JUL17	S	2	PD17OCT17	2826.50	3294.00	USD	-23,375.00	
17JUL17	S	3	PD17OCT17	2831.50	3294.00	USD	-34,687.50	
17JUL17	S	3	PD17OCT17	2830.50	3294.00	USD	-34,762.50	
17JUL17	S	1	PD17OCT17	2833.00	3294.00	USD	-11,525.00	
17JUL17	S	3	PD17OCT17	2832.00	3294.00	USD	-34,650.00	
17JUL17	B	1	PD17OCT17	2827.00	3294.00	USD	11,675.00	
17JUL17	B	3	PD17OCT17	2820.00	3294.00	USD	35,550.00	
17JUL17	B	2	PD17OCT17	2818.50	3294.00	USD	23,775.00	
17JUL17	S	1	PD17OCT17	2817.00	3294.00	USD	-11,925.00	
17JUL17	S	1	PD17OCT17	2819.00	3294.00	USD	-11,875.00	
17JUL17	B	1	PD17OCT17	2817.00	3294.00	USD	11,925.00	
17JUL17	B	3	PD17OCT17	2815.50	3294.00	USD	35,887.50	
17JUL17	B	3	PD17OCT17	2815.00	3294.00	USD	35,925.00	
17JUL17	B	1	PD17OCT17	2824.50	3294.00	USD	11,737.50	
17JUL17	S	2	PD17OCT17	2833.50	3294.00	USD	-23,025.00	
17JUL17	S	1	PD17OCT17	2836.50	3294.00	USD	-11,437.50	
17JUL17	S	4	PD17OCT17	2838.50	3294.00	USD	-45,550.00	
17JUL17	S	2	PD17OCT17	2839.00	3294.00	USD	-22,750.00	
17JUL17	S	2	PD17OCT17	2839.50	3294.00	USD	-22,725.00	
17JUL17	S	1	PD17OCT17	2841.50	3294.00	USD	-11,312.50	
17JUL17	S	1	PD17OCT17	2844.50	3294.00	USD	-11,237.50	
17JUL17	S	3	PD17OCT17	2842.00	3294.00	USD	-33,900.00	
17JUL17	S	2	PD17OCT17	2842.50	3294.00	USD	-22,575.00	
17JUL17	S	4	PD17OCT17	2840.00	3294.00	USD	-45,400.00	
17JUL17	S	4	PD17OCT17	2843.00	3294.00	USD	-45,100.00	
17JUL17	S	1	PD17OCT17	2846.00	3294.00	USD	-11,200.00	
17JUL17	S	1	PD17OCT17	2846.50	3294.00	USD	-11,187.50	
17JUL17	S	3	PD17OCT17	2844.00	3294.00	USD	-33,750.00	
17JUL17	S	4	PD17OCT17	2845.00	3294.00	USD	-44,900.00	
17JUL17	S	8	PD17OCT17	2843.50	3294.00	USD	-90,100.00	
17JUL17	S	3	PD17OCT17	2838.00	3294.00	USD	-34,200.00	
17JUL17	S	4	PD17OCT17	2837.00	3294.00	USD	-45,700.00	
17JUL17	B	1	PD17OCT17	2833.50	3294.00	USD	11,512.50	
17JUL17	B	1	PD17OCT17	2832.00	3294.00	USD	11,550.00	
17JUL17	B	1	PD17OCT17	2831.50	3294.00	USD	11,562.50	
17JUL17	B	1	PD17OCT17	2827.50	3294.00	USD	11,662.50	
17JUL17	B	2	PD17OCT17	2826.00	3294.00	USD	23,400.00	
17JUL17	B	1	PD17OCT17	2825.50	3294.00	USD	11,712.50	
17JUL17	B	1	PD17OCT17	2828.00	3294.00	USD	11,650.00	
17JUL17	B	3	PD17OCT17	2823.50	3294.00	USD	35,287.50	
17JUL17	S	1	PD17OCT17	2829.50	3294.00	USD	-11,612.50	
17JUL17	S	1	PD17OCT17	2836.00	3294.00	USD	-11,450.00	
17JUL17	S	1	PD17OCT17	2835.00	3294.00	USD	-11,475.00	

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17JUL17	B	2	PD17OCT17	2825.00	3294.00	USD	23,450.00	
17JUL17	B	2	PD17OCT17	2828.50	3294.00	USD	23,275.00	
17JUL17	S	4	PD17OCT17	2830.00	3294.00	USD	-46,400.00	
17JUL17	S	2	PD17OCT17	2831.00	3294.00	USD	-23,150.00	
17JUL17	S	3	PD17OCT17	2832.50	3294.00	USD	-34,612.50	
17JUL17	S	4	PD17OCT17	2835.00	3294.00	USD	-45,900.00	
17JUL17	S	5	PD17OCT17	2835.50	3294.00	USD	-57,312.50	
17JUL17	S	5	PD17OCT17	2836.00	3294.00	USD	-57,250.00	
17JUL17	B	2	PD17OCT17	2833.00	3294.00	USD	23,050.00	
17JUL17	B	1	PD17OCT17	2830.50	3294.00	USD	11,587.50	
17JUL17	B	1	PD17OCT17	2830.00	3294.00	USD	11,600.00	
17JUL17	B	5	PD17OCT17	2832.50	3294.00	USD	57,687.50	
17JUL17	B	3	PD17OCT17	2822.00	3294.00	USD	35,400.00	
17JUL17	B	2	PD17OCT17	2816.00	3294.00	USD	23,900.00	
17JUL17	B	2	PD17OCT17	2814.00	3294.00	USD	24,000.00	
17JUL17	B	5	PD17OCT17	2813.00	3294.00	USD	60,125.00	
17JUL17	B	1	PD17OCT17	2812.00	3294.00	USD	12,050.00	
17JUL17	S	1	PD17OCT17	2814.00	3294.00	USD	-12,000.00	
17JUL17	B	7	PD17OCT17	2818.00	3294.00	USD	83,300.00	
17JUL17	B	4	PD17OCT17	2817.50	3294.00	USD	47,650.00	
17JUL17	B	5	PD17OCT17	2820.50	3294.00	USD	59,187.50	
17JUL17	B	7	PD17OCT17	2819.00	3294.00	USD	83,125.00	
17JUL17	B	5	PD17OCT17	2819.50	3294.00	USD	59,312.50	
17JUL17	B	4	PD17OCT17	2821.00	3294.00	USD	47,300.00	
18JUL17	B	7	PD17OCT17	2794.01	3294.00	USD	87,498.25	
19JUL17	B	6	PD17OCT17	2747.54	3294.00	USD	81,969.00	
24JUL17	B	2	PD17OCT17	2788.86	3294.00	USD	25,257.00	
	NET	0AVG BGHT 2814.19	SOLD 2836.15				53,811.75	0.00
18JUL17	B	1	PD18OCT17	2822.50	3291.00	USD	11,712.50	
18JUL17	B	2	PD18OCT17	2823.50	3291.00	USD	23,375.00	
18JUL17	B	4	PD18OCT17	2822.00	3291.00	USD	46,900.00	
18JUL17	S	1	PD18OCT17	2815.50	3291.00	USD	-11,887.50	
18JUL17	S	1	PD18OCT17	2811.00	3291.00	USD	-12,000.00	
18JUL17	B	1	PD18OCT17	2810.00	3291.00	USD	12,025.00	
18JUL17	B	1	PD18OCT17	2810.50	3291.00	USD	12,012.50	
18JUL17	B	2	PD18OCT17	2811.00	3291.00	USD	24,000.00	
18JUL17	B	2	PD18OCT17	2799.50	3291.00	USD	24,575.00	
18JUL17	B	2	PD18OCT17	2798.50	3291.00	USD	24,625.00	
18JUL17	B	1	PD18OCT17	2798.00	3291.00	USD	12,325.00	
18JUL17	B	2	PD18OCT17	2801.50	3291.00	USD	24,475.00	
18JUL17	B	5	PD18OCT17	2795.50	3291.00	USD	61,937.50	
18JUL17	B	2	PD18OCT17	2791.00	3291.00	USD	25,000.00	
18JUL17	B	4	PD18OCT17	2792.00	3291.00	USD	49,900.00	
18JUL17	B	4	PD18OCT17	2791.50	3291.00	USD	49,950.00	
18JUL17	B	1	PD18OCT17	2794.00	3291.00	USD	12,425.00	
18JUL17	B	3	PD18OCT17	2795.00	3291.00	USD	37,200.00	
18JUL17	B	2	PD18OCT17	2792.50	3291.00	USD	24,925.00	
18JUL17	S	1	PD18OCT17	2804.00	3291.00	USD	-12,175.00	
18JUL17	B	1	PD18OCT17	2800.50	3291.00	USD	12,262.50	
18JUL17	B	5	PD18OCT17	2800.00	3291.00	USD	61,375.00	
18JUL17	B	2	PD18OCT17	2797.00	3291.00	USD	24,700.00	
18JUL17	B	1	PD18OCT17	2793.00	3291.00	USD	12,450.00	
18JUL17	B	1	PD18OCT17	2785.50	3291.00	USD	12,637.50	
18JUL17	B	1	PD18OCT17	2785.00	3291.00	USD	12,650.00	
18JUL17	B	1	PD18OCT17	2779.50	3291.00	USD	12,787.50	
18JUL17	B	1	PD18OCT17	2778.50	3291.00	USD	12,812.50	
18JUL17	B	2	PD18OCT17	2779.00	3291.00	USD	25,600.00	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0112D

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
18JUL17	B	1	PD18OCT17	2778.00	3291.00	USD	12,825.00	
18JUL17	B	4	PD18OCT17	2777.50	3291.00	USD	51,350.00	
18JUL17	B	1	PD18OCT17	2809.00	3291.00	USD	12,050.00	
18JUL17	B	1	PD18OCT17	2798.00	3291.00	USD	12,325.00	
18JUL17	B	4	PD18OCT17	2793.50	3291.00	USD	49,750.00	
18JUL17	B	1	PD18OCT17	2777.50	3291.00	USD	12,837.50	
18JUL17	S	4	PD18OCT17	2783.50	3291.00	USD	-50,750.00	
18JUL17	S	2	PD18OCT17	2782.50	3291.00	USD	-25,425.00	
18JUL17	S	1	PD18OCT17	2783.00	3291.00	USD	-12,700.00	
18JUL17	S	1	PD18OCT17	2781.00	3291.00	USD	-12,750.00	
18JUL17	S	1	PD18OCT17	2780.50	3291.00	USD	-12,762.50	
18JUL17	S	2	PD18OCT17	2782.00	3291.00	USD	-25,450.00	
18JUL17	S	3	PD18OCT17	2788.00	3291.00	USD	-37,725.00	
18JUL17	S	2	PD18OCT17	2788.50	3291.00	USD	-25,125.00	
18JUL17	S	4	PD18OCT17	2787.00	3291.00	USD	-50,400.00	
18JUL17	S	2	PD18OCT17	2792.00	3291.00	USD	-24,950.00	
18JUL17	S	6	PD18OCT17	2785.50	3291.00	USD	-75,825.00	
18JUL17	S	6	PD18OCT17	2786.00	3291.00	USD	-75,750.00	
18JUL17	S	3	PD18OCT17	2784.50	3291.00	USD	-37,987.50	
18JUL17	S	3	PD18OCT17	2784.00	3291.00	USD	-38,025.00	
18JUL17	S	1	PD18OCT17	2782.00	3291.00	USD	-12,725.00	
18JUL17	S	1	PD18OCT17	2784.00	3291.00	USD	-12,675.00	
18JUL17	S	2	PD18OCT17	2781.50	3291.00	USD	-25,475.00	
18JUL17	S	1	PD18OCT17	2786.00	3291.00	USD	-12,625.00	
18JUL17	S	1	PD18OCT17	2793.50	3291.00	USD	-12,437.50	
18JUL17	S	4	PD18OCT17	2786.50	3291.00	USD	-50,450.00	
18JUL17	S	2	PD18OCT17	2787.50	3291.00	USD	-25,175.00	
18JUL17	S	2	PD18OCT17	2790.50	3291.00	USD	-25,025.00	
18JUL17	S	3	PD18OCT17	2791.50	3291.00	USD	-37,462.50	
18JUL17	S	2	PD18OCT17	2793.50	3291.00	USD	-24,875.00	
18JUL17	S	1	PD18OCT17	2795.50	3291.00	USD	-12,387.50	
18JUL17	S	1	PD18OCT17	2795.00	3291.00	USD	-12,400.00	
19JUL17	S	6	PD18OCT17	2747.55	3291.00	USD	-81,517.50	
24JUL17	B	4	PD18OCT17	2789.00	3291.00	USD	50,200.00	
	NET	0 AVG BGHT 2796.16	SOLD 2784.19				-20,942.50	0.00
19JUL17	S	2	PD19OCT17	2779.00	3286.50	USD	-25,375.00	
19JUL17	S	1	PD19OCT17	2778.00	3286.50	USD	-12,712.50	
19JUL17	S	1	PD19OCT17	2791.50	3286.50	USD	-12,375.00	
19JUL17	S	1	PD19OCT17	2783.00	3286.50	USD	-12,587.50	
19JUL17	S	5	PD19OCT17	2782.50	3286.50	USD	-63,000.00	
19JUL17	S	4	PD19OCT17	2785.50	3286.50	USD	-50,100.00	
19JUL17	S	1	PD19OCT17	2786.00	3286.50	USD	-12,512.50	
19JUL17	S	2	PD19OCT17	2788.00	3286.50	USD	-24,925.00	
19JUL17	S	3	PD19OCT17	2789.50	3286.50	USD	-37,275.00	
19JUL17	S	1	PD19OCT17	2794.00	3286.50	USD	-12,312.50	
19JUL17	B	1	PD19OCT17	2796.50	3286.50	USD	12,250.00	
19JUL17	S	2	PD19OCT17	2796.50	3286.50	USD	-24,500.00	
19JUL17	S	3	PD19OCT17	2796.00	3286.50	USD	-36,787.50	
19JUL17	S	1	PD19OCT17	2797.00	3286.50	USD	-12,237.50	
19JUL17	S	1	PD19OCT17	2797.50	3286.50	USD	-12,225.00	
19JUL17	B	1	PD19OCT17	2798.00	3286.50	USD	12,212.50	
19JUL17	S	3	PD19OCT17	2786.50	3286.50	USD	-37,500.00	
19JUL17	B	3	PD19OCT17	2781.50	3286.50	USD	37,875.00	
19JUL17	B	3	PD19OCT17	2778.50	3286.50	USD	38,100.00	
19JUL17	B	1	PD19OCT17	2777.50	3286.50	USD	12,725.00	
19JUL17	B	1	PD19OCT17	2782.00	3286.50	USD	12,612.50	
19JUL17	B	4	PD19OCT17	2779.00	3286.50	USD	50,750.00	

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
19JUL17	B	5	PD19OCT17	2780.50	3286.50	USD	63,250.00	
19JUL17	B	5	PD19OCT17	2780.00	3286.50	USD	63,312.50	
19JUL17	B	1	PD19OCT17	2778.00	3286.50	USD	12,712.50	
19JUL17	B	2	PD19OCT17	2776.00	3286.50	USD	25,525.00	
19JUL17	B	5	PD19OCT17	2773.00	3286.50	USD	64,187.50	
19JUL17	B	6	PD19OCT17	2772.50	3286.50	USD	77,100.00	
19JUL17	B	3	PD19OCT17	2772.00	3286.50	USD	38,587.50	
19JUL17	B	1	PD19OCT17	2770.50	3286.50	USD	12,900.00	
19JUL17	B	3	PD19OCT17	2771.50	3286.50	USD	38,625.00	
19JUL17	B	1	PD19OCT17	2781.00	3286.50	USD	12,637.50	
19JUL17	S	3	PD19OCT17	2775.00	3286.50	USD	-38,362.50	
19JUL17	S	1	PD19OCT17	2777.00	3286.50	USD	-12,737.50	
19JUL17	S	1	PD19OCT17	2777.50	3286.50	USD	-12,725.00	
19JUL17	S	1	PD19OCT17	2776.50	3286.50	USD	-12,750.00	
19JUL17	B	2	PD19OCT17	2773.50	3286.50	USD	25,650.00	
19JUL17	B	1	PD19OCT17	2768.50	3286.50	USD	12,950.00	
19JUL17	B	1	PD19OCT17	2765.00	3286.50	USD	13,037.50	
19JUL17	B	2	PD19OCT17	2767.00	3286.50	USD	25,975.00	
19JUL17	S	1	PD19OCT17	2773.50	3286.50	USD	-12,825.00	
19JUL17	B	1	PD19OCT17	2755.00	3286.50	USD	13,287.50	
19JUL17	B	2	PD19OCT17	2753.50	3286.50	USD	26,650.00	
19JUL17	B	1	PD19OCT17	2751.50	3286.50	USD	13,375.00	
19JUL17	S	1	PD19OCT17	2756.00	3286.50	USD	-13,262.50	
19JUL17	S	1	PD19OCT17	2757.50	3286.50	USD	-13,225.00	
19JUL17	S	3	PD19OCT17	2759.50	3286.50	USD	-39,525.00	
19JUL17	S	2	PD19OCT17	2760.00	3286.50	USD	-26,325.00	
19JUL17	S	2	PD19OCT17	2761.00	3286.50	USD	-26,275.00	
19JUL17	S	2	PD19OCT17	2760.50	3286.50	USD	-26,300.00	
19JUL17	S	1	PD19OCT17	2762.00	3286.50	USD	-13,112.50	
19JUL17	S	1	PD19OCT17	2763.00	3286.50	USD	-13,087.50	
19JUL17	S	1	PD19OCT17	2765.00	3286.50	USD	-13,037.50	
19JUL17	S	1	PD19OCT17	2750.00	3286.50	USD	-13,412.50	
19JUL17	B	1	PD19OCT17	2746.00	3286.50	USD	13,512.50	
19JUL17	B	1	PD19OCT17	2767.00	3286.50	USD	12,987.50	
19JUL17	S	1	PD19OCT17	2757.00	3286.50	USD	-13,237.50	
19JUL17	B	1	PD19OCT17	2766.00	3286.50	USD	13,012.50	
19JUL17	B	4	PD19OCT17	2774.00	3286.50	USD	51,250.00	
19JUL17	S	2	PD19OCT17	2740.50	3286.50	USD	-27,300.00	
19JUL17	B	1	PD19OCT17	2737.00	3286.50	USD	13,737.50	
19JUL17	B	1	PD19OCT17	2737.50	3286.50	USD	13,725.00	
19JUL17	B	2	PD19OCT17	2739.00	3286.50	USD	27,375.00	
19JUL17	S	1	PD19OCT17	2737.00	3286.50	USD	-13,737.50	
19JUL17	S	3	PD19OCT17	2736.00	3286.50	USD	-41,287.50	
19JUL17	S	2	PD19OCT17	2735.50	3286.50	USD	-27,550.00	
19JUL17	S	2	PD19OCT17	2741.00	3286.50	USD	-27,275.00	
19JUL17	B	1	PD19OCT17	2737.50	3286.50	USD	13,725.00	
24JUL17	S	4	PD19OCT17	2787.30	3286.50	USD	-49,920.00	
	NET	0AVG BGHT 2771.43	SOLD 2772.56				1,917.50	0.00
20JUL17	B	1	PD20OCT17	2732.50	3282.00	USD	13,737.50	
20JUL17	B	1	PD20OCT17	2737.50	3282.00	USD	13,612.50	
20JUL17	B	2	PD20OCT17	2736.50	3282.00	USD	27,275.00	
20JUL17	B	1	PD20OCT17	2734.00	3282.00	USD	13,700.00	
20JUL17	B	4	PD20OCT17	2733.50	3282.00	USD	54,850.00	
20JUL17	S	2	PD20OCT17	2736.50	3282.00	USD	-27,275.00	
20JUL17	S	1	PD20OCT17	2739.50	3282.00	USD	-13,562.50	
20JUL17	S	1	PD20OCT17	2743.00	3282.00	USD	-13,475.00	
20JUL17	S	1	PD20OCT17	2743.50	3282.00	USD	-13,462.50	

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20JUL17	S	1	PD200CT17	2745.00	3282.00	USD	-13,425.00	
20JUL17	S	2	PD200CT17	2745.50	3282.00	USD	-26,825.00	
20JUL17	S	1	PD200CT17	2746.50	3282.00	USD	-13,387.50	
20JUL17	S	2	PD200CT17	2747.00	3282.00	USD	-26,750.00	
20JUL17	S	1	PD200CT17	2751.00	3282.00	USD	-13,275.00	
20JUL17	S	1	PD200CT17	2752.50	3282.00	USD	-13,237.50	
20JUL17	S	1	PD200CT17	2753.00	3282.00	USD	-13,225.00	
20JUL17	S	3	PD200CT17	2755.00	3282.00	USD	-39,525.00	
20JUL17	S	1	PD200CT17	2754.50	3282.00	USD	-13,187.50	
20JUL17	S	2	PD200CT17	2752.00	3282.00	USD	-26,500.00	
20JUL17	S	3	PD200CT17	2751.50	3282.00	USD	-39,787.50	
20JUL17	S	2	PD200CT17	2747.50	3282.00	USD	-26,725.00	
20JUL17	S	1	PD200CT17	2749.00	3282.00	USD	-13,325.00	
20JUL17	B	1	PD200CT17	2747.50	3282.00	USD	13,362.50	
20JUL17	S	1	PD200CT17	2749.50	3282.00	USD	-13,312.50	
20JUL17	B	1	PD200CT17	2738.50	3282.00	USD	13,587.50	
20JUL17	S	1	PD200CT17	2741.00	3282.00	USD	-13,525.00	
20JUL17	B	2	PD200CT17	2746.00	3282.00	USD	26,800.00	
20JUL17	B	2	PD200CT17	2746.50	3282.00	USD	26,775.00	
20JUL17	B	2	PD200CT17	2740.00	3282.00	USD	27,100.00	
20JUL17	B	4	PD200CT17	2741.00	3282.00	USD	54,100.00	
20JUL17	B	1	PD200CT17	2742.00	3282.00	USD	13,500.00	
20JUL17	B	5	PD200CT17	2742.50	3282.00	USD	67,437.50	
20JUL17	B	2	PD200CT17	2743.50	3282.00	USD	26,925.00	
20JUL17	B	3	PD200CT17	2744.00	3282.00	USD	40,350.00	
20JUL17	B	5	PD200CT17	2743.00	3282.00	USD	67,375.00	
20JUL17	S	1	PD200CT17	2742.00	3282.00	USD	-13,500.00	
20JUL17	S	1	PD200CT17	2737.50	3282.00	USD	-13,612.50	
20JUL17	S	2	PD200CT17	2727.00	3282.00	USD	-27,750.00	
20JUL17	B	1	PD200CT17	2720.50	3282.00	USD	14,037.50	
20JUL17	S	1	PD200CT17	2720.00	3282.00	USD	-14,050.00	
20JUL17	B	1	PD200CT17	2721.00	3282.00	USD	14,025.00	
20JUL17	S	1	PD200CT17	2725.00	3282.00	USD	-13,925.00	
20JUL17	S	2	PD200CT17	2726.50	3282.00	USD	-27,775.00	
20JUL17	B	2	PD200CT17	2729.00	3282.00	USD	27,650.00	
20JUL17	B	5	PD200CT17	2727.00	3282.00	USD	69,375.00	
20JUL17	B	5	PD200CT17	2731.00	3282.00	USD	68,875.00	
20JUL17	B	3	PD200CT17	2728.00	3282.00	USD	41,550.00	
20JUL17	B	4	PD200CT17	2728.50	3282.00	USD	55,350.00	
20JUL17	B	1	PD200CT17	2727.00	3282.00	USD	13,875.00	
20JUL17	B	1	PD200CT17	2729.00	3282.00	USD	13,825.00	
21JUL17	B	1	PD200CT17	2744.00	3282.00	USD	13,450.00	
21JUL17	B	1	PD200CT17	2743.50	3282.00	USD	13,462.50	
21JUL17	S	3	PD200CT17	2749.00	3282.00	USD	-39,975.00	
21JUL17	S	2	PD200CT17	2751.00	3282.00	USD	-26,550.00	
21JUL17	B	1	PD200CT17	2744.50	3282.00	USD	13,437.50	
21JUL17	B	2	PD200CT17	2741.50	3282.00	USD	27,025.00	
21JUL17	S	3	PD200CT17	2748.50	3282.00	USD	-40,012.50	
21JUL17	S	5	PD200CT17	2749.50	3282.00	USD	-66,562.50	
21JUL17	S	3	PD200CT17	2758.00	3282.00	USD	-39,300.00	
21JUL17	S	1	PD200CT17	2759.50	3282.00	USD	-13,062.50	
21JUL17	S	1	PD200CT17	2762.50	3282.00	USD	-12,987.50	
21JUL17	S	3	PD200CT17	2764.50	3282.00	USD	-38,812.50	
21JUL17	S	1	PD200CT17	2766.50	3282.00	USD	-12,887.50	
21JUL17	S	1	PD200CT17	2765.00	3282.00	USD	-12,925.00	
21JUL17	B	1	PD200CT17	2759.00	3282.00	USD	13,075.00	
21JUL17	B	2	PD200CT17	2757.50	3282.00	USD	26,225.00	

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
21JUL17	B	2	PD200CT17	2757.00	3282.00	USD	26,250.00	
21JUL17	B	3	PD200CT17	2756.50	3282.00	USD	39,412.50	
21JUL17	B	2	PD200CT17	2754.00	3282.00	USD	26,400.00	
21JUL17	S	4	PD200CT17	2761.00	3282.00	USD	-52,100.00	
21JUL17	B	1	PD200CT17	2760.00	3282.00	USD	13,050.00	
21JUL17	B	1	PD200CT17	2748.00	3282.00	USD	13,350.00	
21JUL17	S	2	PD200CT17	2759.50	3282.00	USD	-26,125.00	
21JUL17	S	1	PD200CT17	2760.00	3282.00	USD	-13,050.00	
21JUL17	S	1	PD200CT17	2760.50	3282.00	USD	-13,037.50	
21JUL17	S	2	PD200CT17	2770.00	3282.00	USD	-25,600.00	
21JUL17	B	1	PD200CT17	2767.50	3282.00	USD	12,862.50	
21JUL17	B	1	PD200CT17	2766.50	3282.00	USD	12,887.50	
21JUL17	S	1	PD200CT17	2766.00	3282.00	USD	-12,900.00	
21JUL17	S	1	PD200CT17	2768.50	3282.00	USD	-12,837.50	
21JUL17	S	1	PD200CT17	2772.50	3282.00	USD	-12,737.50	
21JUL17	S	1	PD200CT17	2773.00	3282.00	USD	-12,725.00	
21JUL17	S	1	PD200CT17	2773.50	3282.00	USD	-12,712.50	
21JUL17	S	1	PD200CT17	2774.00	3282.00	USD	-12,700.00	
21JUL17	B	1	PD200CT17	2774.00	3282.00	USD	12,700.00	
21JUL17	B	1	PD200CT17	2773.50	3282.00	USD	12,712.50	
21JUL17	B	2	PD200CT17	2773.00	3282.00	USD	25,450.00	
21JUL17	S	3	PD200CT17	2771.00	3282.00	USD	-38,325.00	
21JUL17	S	2	PD200CT17	2767.50	3282.00	USD	-25,725.00	
21JUL17	B	1	PD200CT17	2763.50	3282.00	USD	12,962.50	
21JUL17	S	1	PD200CT17	2767.00	3282.00	USD	-12,875.00	
21JUL17	B	1	PD200CT17	2764.50	3282.00	USD	12,937.50	
21JUL17	B	3	PD200CT17	2762.00	3282.00	USD	39,000.00	
21JUL17	B	1	PD200CT17	2759.50	3282.00	USD	13,062.50	
21JUL17	B	2	PD200CT17	2755.00	3282.00	USD	26,350.00	
21JUL17	B	1	PD200CT17	2771.50	3282.00	USD	12,762.50	
21JUL17	B	1	PD200CT17	2766.50	3282.00	USD	12,887.50	
21JUL17	B	2	PD200CT17	2752.50	3282.00	USD	26,475.00	
21JUL17	B	1	PD200CT17	2750.00	3282.00	USD	13,300.00	
21JUL17	B	3	PD200CT17	2748.00	3282.00	USD	40,050.00	
21JUL17	B	1	PD200CT17	2747.00	3282.00	USD	13,375.00	
21JUL17	B	2	PD200CT17	2746.50	3282.00	USD	26,775.00	
21JUL17	B	1	PD200CT17	2745.50	3282.00	USD	13,412.50	
21JUL17	S	1	PD200CT17	2748.00	3282.00	USD	-13,350.00	
21JUL17	S	1	PD200CT17	2754.00	3282.00	USD	-13,200.00	
21JUL17	S	1	PD200CT17	2755.50	3282.00	USD	-13,162.50	
21JUL17	S	2	PD200CT17	2753.00	3282.00	USD	-26,450.00	
24JUL17	S	2	PD200CT17	2787.40	3282.00	USD	-24,730.00	
25JUL17	S	7	PD200CT17	2823.00	3282.00	USD	-80,325.00	
26JUL17	S	7	PD200CT17	2807.14	3282.00	USD	-83,100.50	
01AUG17	S	1	PD200CT17	2776.25	3282.00	USD	-12,643.75	
	NET	0AVG	BGHT 2744.47	SOLD 2762.43			46,263.25	0.00
24JUL17	S	2	PD240CT17	2777.50	3276.00	USD	-24,925.00	
24JUL17	B	1	PD240CT17	2780.00	3276.00	USD	12,400.00	
24JUL17	S	2	PD240CT17	2778.50	3276.00	USD	-24,875.00	
24JUL17	S	1	PD240CT17	2780.00	3276.00	USD	-12,400.00	
24JUL17	B	1	PD240CT17	2778.50	3276.00	USD	12,437.50	
24JUL17	B	1	PD240CT17	2776.00	3276.00	USD	12,500.00	
24JUL17	B	3	PD240CT17	2773.50	3276.00	USD	37,687.50	
24JUL17	B	2	PD240CT17	2775.00	3276.00	USD	25,050.00	
24JUL17	B	1	PD240CT17	2774.50	3276.00	USD	12,537.50	
24JUL17	B	1	PD240CT17	2777.00	3276.00	USD	12,475.00	
24JUL17	S	1	PD240CT17	2779.50	3276.00	USD	-12,412.50	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0112D

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
24JUL17	S	2	PD240CT17	2785.50	3276.00	USD	-24,525.00	
24JUL17	S	1	PD240CT17	2794.00	3276.00	USD	-12,050.00	
24JUL17	S	2	PD240CT17	2795.50	3276.00	USD	-24,025.00	
24JUL17	B	1	PD240CT17	2787.50	3276.00	USD	12,212.50	
24JUL17	B	1	PD240CT17	2782.50	3276.00	USD	12,337.50	
24JUL17	B	1	PD240CT17	2783.00	3276.00	USD	12,325.00	
24JUL17	B	1	PD240CT17	2779.50	3276.00	USD	12,412.50	
24JUL17	S	1	PD240CT17	2777.50	3276.00	USD	-12,462.50	
24JUL17	B	1	PD240CT17	2787.50	3276.00	USD	12,212.50	
24JUL17	B	1	PD240CT17	2777.00	3276.00	USD	12,475.00	
24JUL17	B	1	PD240CT17	2772.50	3276.00	USD	12,587.50	
24JUL17	S	1	PD240CT17	2775.50	3276.00	USD	-12,512.50	
24JUL17	B	1	PD240CT17	2775.50	3276.00	USD	12,512.50	
24JUL17	B	2	PD240CT17	2775.00	3276.00	USD	25,050.00	
24JUL17	S	1	PD240CT17	2781.00	3276.00	USD	-12,375.00	
24JUL17	S	1	PD240CT17	2781.50	3276.00	USD	-12,362.50	
24JUL17	S	1	PD240CT17	2784.00	3276.00	USD	-12,300.00	
24JUL17	S	2	PD240CT17	2787.00	3276.00	USD	-24,450.00	
24JUL17	S	1	PD240CT17	2787.50	3276.00	USD	-12,212.50	
25JUL17	B	7	PD240CT17	2823.90	3276.00	USD	79,117.50	
26JUL17	S	3	PD240CT17	2807.71	3276.00	USD	-35,121.75	
01AUG17	S	5	PD240CT17	2777.75	3276.00	USD	-62,281.25	
	NET	0 AVG BGHT 2789.59	SOLD 2785.20				-2,960.50	0.00
25JUL17	S	2	PD250CT17	2813.00	3273.00	USD	-23,000.00	
25JUL17	S	1	PD250CT17	2812.00	3273.00	USD	-11,525.00	
25JUL17	B	1	PD250CT17	2810.00	3273.00	USD	11,575.00	
25JUL17	S	1	PD250CT17	2816.00	3273.00	USD	-11,425.00	
25JUL17	S	1	PD250CT17	2817.50	3273.00	USD	-11,387.50	
25JUL17	S	1	PD250CT17	2818.50	3273.00	USD	-11,362.50	
25JUL17	S	2	PD250CT17	2820.00	3273.00	USD	-22,650.00	
25JUL17	S	2	PD250CT17	2821.00	3273.00	USD	-22,600.00	
25JUL17	S	3	PD250CT17	2821.50	3273.00	USD	-33,862.50	
25JUL17	S	2	PD250CT17	2822.00	3273.00	USD	-22,550.00	
25JUL17	B	3	PD250CT17	2817.00	3273.00	USD	34,200.00	
25JUL17	B	3	PD250CT17	2815.50	3273.00	USD	34,312.50	
25JUL17	S	1	PD250CT17	2822.50	3273.00	USD	-11,262.50	
25JUL17	S	3	PD250CT17	2823.50	3273.00	USD	-33,712.50	
25JUL17	B	1	PD250CT17	2816.50	3273.00	USD	11,412.50	
25JUL17	B	2	PD250CT17	2816.00	3273.00	USD	22,850.00	
25JUL17	B	1	PD250CT17	2814.00	3273.00	USD	11,475.00	
25JUL17	B	4	PD250CT17	2815.00	3273.00	USD	45,800.00	
25JUL17	B	2	PD250CT17	2814.50	3273.00	USD	22,925.00	
25JUL17	S	2	PD250CT17	2820.50	3273.00	USD	-22,625.00	
25JUL17	S	3	PD250CT17	2823.00	3273.00	USD	-33,750.00	
25JUL17	S	1	PD250CT17	2824.00	3273.00	USD	-11,225.00	
25JUL17	S	3	PD250CT17	2824.50	3273.00	USD	-33,637.50	
25JUL17	S	1	PD250CT17	2825.50	3273.00	USD	-11,187.50	
25JUL17	S	2	PD250CT17	2826.00	3273.00	USD	-22,350.00	
25JUL17	S	1	PD250CT17	2828.50	3273.00	USD	-11,112.50	
25JUL17	S	1	PD250CT17	2829.50	3273.00	USD	-11,087.50	
25JUL17	S	2	PD250CT17	2827.00	3273.00	USD	-22,300.00	
25JUL17	S	1	PD250CT17	2831.50	3273.00	USD	-11,037.50	
25JUL17	S	1	PD250CT17	2838.50	3273.00	USD	-10,862.50	
25JUL17	B	1	PD250CT17	2830.00	3273.00	USD	11,075.00	
25JUL17	B	3	PD250CT17	2826.00	3273.00	USD	33,525.00	
25JUL17	B	1	PD250CT17	2825.50	3273.00	USD	11,187.50	
25JUL17	B	2	PD250CT17	2826.50	3273.00	USD	22,325.00	

OPEN POSITION STATEMENT



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SAP0112D

13th October 2017

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backoffice@sapiencap.com

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
25JUL17	B	4	PD250CT17	2827.00	3273.00	USD	44,600.00	
25JUL17	B	2	PD250CT17	2828.00	3273.00	USD	22,250.00	
25JUL17	S	1	PD250CT17	2812.50	3273.00	USD	-11,512.50	
25JUL17	B	1	PD250CT17	2828.50	3273.00	USD	11,112.50	
25JUL17	B	1	PD250CT17	2818.00	3273.00	USD	11,375.00	
25JUL17	S	1	PD250CT17	2832.50	3273.00	USD	-11,012.50	
25JUL17	S	5	PD250CT17	2834.00	3273.00	USD	-54,875.00	
25JUL17	S	2	PD250CT17	2838.00	3273.00	USD	-21,750.00	
25JUL17	S	2	PD250CT17	2847.50	3273.00	USD	-21,275.00	
25JUL17	S	1	PD250CT17	2852.50	3273.00	USD	-10,512.50	
25JUL17	S	1	PD250CT17	2856.00	3273.00	USD	-10,425.00	
25JUL17	B	1	PD250CT17	2844.00	3273.00	USD	10,725.00	
25JUL17	B	1	PD250CT17	2844.50	3273.00	USD	10,712.50	
25JUL17	B	2	PD250CT17	2839.50	3273.00	USD	21,675.00	
25JUL17	B	1	PD250CT17	2837.00	3273.00	USD	10,900.00	
25JUL17	B	1	PD250CT17	2821.00	3273.00	USD	11,300.00	
25JUL17	B	1	PD250CT17	2845.00	3273.00	USD	10,700.00	
25JUL17	B	2	PD250CT17	2843.50	3273.00	USD	21,475.00	
25JUL17	B	3	PD250CT17	2840.00	3273.00	USD	32,475.00	
25JUL17	B	1	PD250CT17	2842.00	3273.00	USD	10,775.00	
25JUL17	S	2	PD250CT17	2846.50	3273.00	USD	-21,325.00	
25JUL17	S	1	PD250CT17	2845.00	3273.00	USD	-10,700.00	
26JUL17	B	10	PD250CT17	2808.06	3273.00	USD	116,235.00	
01AUG17	S	2	PD250CT17	2778.03	3273.00	USD	-24,748.50	
	NET	0 AVG BGHT 2822.84	SOLD 2825.98				4,324.00	0.00
26JUL17	B	1	PD260CT17	2848.50	3271.87	USD	10,584.25	
26JUL17	B	2	PD260CT17	2846.50	3271.87	USD	21,268.50	
26JUL17	B	2	PD260CT17	2845.00	3271.87	USD	21,343.50	
26JUL17	B	1	PD260CT17	2843.50	3271.87	USD	10,709.25	
26JUL17	B	1	PD260CT17	2844.50	3271.87	USD	10,684.25	
26JUL17	B	2	PD260CT17	2848.00	3271.87	USD	21,193.50	
26JUL17	B	1	PD260CT17	2847.50	3271.87	USD	10,609.25	
26JUL17	B	1	PD260CT17	2852.50	3271.87	USD	10,484.25	
26JUL17	B	3	PD260CT17	2851.00	3271.87	USD	31,565.25	
26JUL17	B	1	PD260CT17	2850.50	3271.87	USD	10,534.25	
26JUL17	B	1	PD260CT17	2850.00	3271.87	USD	10,546.75	
26JUL17	B	1	PD260CT17	2852.00	3271.87	USD	10,496.75	
26JUL17	B	5	PD260CT17	2851.50	3271.87	USD	52,546.25	
26JUL17	B	2	PD260CT17	2849.50	3271.87	USD	21,118.50	
26JUL17	B	1	PD260CT17	2846.00	3271.87	USD	10,646.75	
26JUL17	B	1	PD260CT17	2828.00	3271.87	USD	11,096.75	
26JUL17	B	1	PD260CT17	2827.00	3271.87	USD	11,121.75	
26JUL17	S	1	PD260CT17	2829.50	3271.87	USD	-11,059.25	
26JUL17	S	1	PD260CT17	2832.50	3271.87	USD	-10,984.25	
26JUL17	S	1	PD260CT17	2833.50	3271.87	USD	-10,959.25	
26JUL17	S	2	PD260CT17	2830.00	3271.87	USD	-22,093.50	
26JUL17	B	1	PD260CT17	2818.00	3271.87	USD	11,346.75	
26JUL17	B	3	PD260CT17	2820.50	3271.87	USD	33,852.75	
26JUL17	S	3	PD260CT17	2820.00	3271.87	USD	-33,890.25	
26JUL17	S	3	PD260CT17	2824.50	3271.87	USD	-33,552.75	
26JUL17	S	3	PD260CT17	2823.00	3271.87	USD	-33,665.25	
26JUL17	S	2	PD260CT17	2824.00	3271.87	USD	-22,393.50	
26JUL17	S	1	PD260CT17	2825.00	3271.87	USD	-11,171.75	
26JUL17	B	2	PD260CT17	2816.50	3271.87	USD	22,768.50	
26JUL17	B	1	PD260CT17	2819.50	3271.87	USD	11,309.25	
26JUL17	B	1	PD260CT17	2815.50	3271.87	USD	11,409.25	
26JUL17	S	1	PD260CT17	2827.00	3271.87	USD	-11,121.75	

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
26JUL17	S	1	PD260CT17	2825.50	3271.87	USD	-11,159.25	
26JUL17	B	1	PD260CT17	2802.50	3271.87	USD	11,734.25	
26JUL17	B	1	PD260CT17	2800.50	3271.87	USD	11,784.25	
26JUL17	S	5	PD260CT17	2822.50	3271.87	USD	-56,171.25	
26JUL17	B	1	PD260CT17	2821.50	3271.87	USD	11,259.25	
26JUL17	S	1	PD260CT17	2821.50	3271.87	USD	-11,259.25	
26JUL17	B	2	PD260CT17	2807.00	3271.87	USD	23,243.50	
26JUL17	B	1	PD260CT17	2806.50	3271.87	USD	11,634.25	
26JUL17	S	1	PD260CT17	2814.00	3271.87	USD	-11,446.75	
26JUL17	S	1	PD260CT17	2814.50	3271.87	USD	-11,434.25	
26JUL17	S	2	PD260CT17	2818.50	3271.87	USD	-22,668.50	
26JUL17	S	7	PD260CT17	2821.00	3271.87	USD	-78,902.25	
26JUL17	S	6	PD260CT17	2821.50	3271.87	USD	-67,555.50	
26JUL17	S	3	PD260CT17	2820.50	3271.87	USD	-33,852.75	
26JUL17	S	2	PD260CT17	2819.00	3271.87	USD	-22,643.50	
26JUL17	S	6	PD260CT17	2822.00	3271.87	USD	-67,480.50	
26JUL17	S	3	PD260CT17	2819.50	3271.87	USD	-33,927.75	
26JUL17	S	2	PD260CT17	2823.50	3271.87	USD	-22,418.50	
26JUL17	S	2	PD260CT17	2826.50	3271.87	USD	-22,268.50	
26JUL17	B	1	PD260CT17	2827.50	3271.87	USD	11,109.25	
26JUL17	S	1	PD260CT17	2829.00	3271.87	USD	-11,071.75	
26JUL17	B	1	PD260CT17	2824.00	3271.87	USD	11,196.75	
26JUL17	B	3	PD260CT17	2822.00	3271.87	USD	33,740.25	
26JUL17	B	1	PD260CT17	2821.50	3271.87	USD	11,259.25	
26JUL17	S	1	PD260CT17	2810.50	3271.87	USD	-11,534.25	
26JUL17	B	2	PD260CT17	2803.00	3271.87	USD	23,443.50	
26JUL17	S	1	PD260CT17	2799.00	3271.87	USD	-11,821.75	
01AUG17	B	8	PD260CT17	2778.51	3271.87	USD	98,672.00	
01AUG17	B	5	PD260CT17	2778.31	3271.87	USD	61,695.00	
03AUG17	B	1	PD260CT17	2790.00	3271.87	USD	12,046.75	
	NET	0	AVG BGHT 2821.04	SOLD 2822.02			1,546.75	0.00
27JUL17	S	2	PD270CT17	2805.50	3270.73	USD	-23,261.50	
27JUL17	S	1	PD270CT17	2806.50	3270.73	USD	-11,605.75	
27JUL17	S	1	PD270CT17	2807.00	3270.73	USD	-11,593.25	
27JUL17	S	1	PD270CT17	2809.50	3270.73	USD	-11,530.75	
27JUL17	S	2	PD270CT17	2808.50	3270.73	USD	-23,111.50	
27JUL17	S	1	PD270CT17	2814.50	3270.73	USD	-11,405.75	
27JUL17	B	1	PD270CT17	2816.00	3270.73	USD	11,368.25	
27JUL17	S	1	PD270CT17	2827.00	3270.73	USD	-11,093.25	
27JUL17	S	1	PD270CT17	2831.00	3270.73	USD	-10,993.25	
27JUL17	S	1	PD270CT17	2832.50	3270.73	USD	-10,955.75	
27JUL17	B	1	PD270CT17	2828.00	3270.73	USD	11,068.25	
27JUL17	B	1	PD270CT17	2825.50	3270.73	USD	11,130.75	
27JUL17	B	2	PD270CT17	2824.00	3270.73	USD	22,336.50	
27JUL17	B	1	PD270CT17	2822.50	3270.73	USD	11,205.75	
27JUL17	B	4	PD270CT17	2821.00	3270.73	USD	44,973.00	
27JUL17	B	3	PD270CT17	2821.50	3270.73	USD	33,692.25	
27JUL17	B	3	PD270CT17	2820.50	3270.73	USD	33,767.25	
27JUL17	B	5	PD270CT17	2820.00	3270.73	USD	56,341.25	
27JUL17	B	2	PD270CT17	2819.50	3270.73	USD	22,561.50	
27JUL17	B	3	PD270CT17	2819.00	3270.73	USD	33,879.75	
27JUL17	B	1	PD270CT17	2818.50	3270.73	USD	11,305.75	
27JUL17	B	1	PD270CT17	2818.00	3270.73	USD	11,318.25	
27JUL17	B	1	PD270CT17	2815.50	3270.73	USD	11,380.75	
27JUL17	B	2	PD270CT17	2812.50	3270.73	USD	22,911.50	
27JUL17	S	1	PD270CT17	2808.50	3270.73	USD	-11,555.75	
27JUL17	B	1	PD270CT17	2830.00	3270.73	USD	11,018.25	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0112D

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
27JUL17	B	7	PD27OCT17	2811.00	3270.73	USD	80,452.75	
27JUL17	S	1	PD27OCT17	2812.50	3270.73	USD	-11,455.75	
27JUL17	S	3	PD27OCT17	2818.50	3270.73	USD	-33,917.25	
27JUL17	S	1	PD27OCT17	2819.50	3270.73	USD	-11,280.75	
27JUL17	S	1	PD27OCT17	2824.00	3270.73	USD	-11,168.25	
27JUL17	S	3	PD27OCT17	2822.00	3270.73	USD	-33,654.75	
27JUL17	S	1	PD27OCT17	2823.00	3270.73	USD	-11,193.25	
27JUL17	S	2	PD27OCT17	2820.00	3270.73	USD	-22,536.50	
27JUL17	B	1	PD27OCT17	2806.50	3270.73	USD	11,605.75	
27JUL17	B	1	PD27OCT17	2805.50	3270.73	USD	11,630.75	
27JUL17	B	1	PD27OCT17	2801.00	3270.73	USD	11,743.25	
27JUL17	B	1	PD27OCT17	2800.50	3270.73	USD	11,755.75	
27JUL17	S	2	PD27OCT17	2806.00	3270.73	USD	-23,236.50	
27JUL17	S	1	PD27OCT17	2804.50	3270.73	USD	-11,655.75	
27JUL17	B	3	PD27OCT17	2789.00	3270.73	USD	36,129.75	
27JUL17	B	1	PD27OCT17	2800.50	3270.73	USD	11,755.75	
27JUL17	B	2	PD27OCT17	2790.00	3270.73	USD	24,036.50	
27JUL17	S	1	PD27OCT17	2800.50	3270.73	USD	-11,755.75	
27JUL17	S	5	PD27OCT17	2798.50	3270.73	USD	-59,028.75	
27JUL17	S	2	PD27OCT17	2797.50	3270.73	USD	-23,661.50	
27JUL17	S	5	PD27OCT17	2799.00	3270.73	USD	-58,966.25	
27JUL17	S	1	PD27OCT17	2799.00	3270.73	USD	-11,793.25	
28JUL17	S	1	PD27OCT17	2788.00	3270.73	USD	-12,068.25	
28JUL17	S	1	PD27OCT17	2786.00	3270.73	USD	-12,118.25	
28JUL17	S	1	PD27OCT17	2785.50	3270.73	USD	-12,130.75	
28JUL17	S	3	PD27OCT17	2787.50	3270.73	USD	-36,242.25	
28JUL17	S	1	PD27OCT17	2789.00	3270.73	USD	-12,043.25	
28JUL17	S	1	PD27OCT17	2790.50	3270.73	USD	-12,005.75	
28JUL17	B	1	PD27OCT17	2783.00	3270.73	USD	12,193.25	
28JUL17	B	2	PD27OCT17	2781.00	3270.73	USD	24,486.50	
28JUL17	B	1	PD27OCT17	2778.00	3270.73	USD	12,318.25	
28JUL17	B	1	PD27OCT17	2774.50	3270.73	USD	12,405.75	
28JUL17	B	1	PD27OCT17	2771.00	3270.73	USD	12,493.25	
28JUL17	B	1	PD27OCT17	2770.50	3270.73	USD	12,505.75	
28JUL17	S	1	PD27OCT17	2777.50	3270.73	USD	-12,330.75	
28JUL17	B	1	PD27OCT17	2772.50	3270.73	USD	12,455.75	
28JUL17	B	3	PD27OCT17	2768.50	3270.73	USD	37,667.25	
28JUL17	B	2	PD27OCT17	2769.00	3270.73	USD	25,086.50	
28JUL17	B	1	PD27OCT17	2767.00	3270.73	USD	12,593.25	
28JUL17	B	1	PD27OCT17	2766.50	3270.73	USD	12,605.75	
28JUL17	B	3	PD27OCT17	2765.00	3270.73	USD	37,929.75	
28JUL17	B	1	PD27OCT17	2764.50	3270.73	USD	12,655.75	
28JUL17	B	2	PD27OCT17	2763.50	3270.73	USD	25,361.50	
28JUL17	B	2	PD27OCT17	2763.00	3270.73	USD	25,386.50	
28JUL17	B	2	PD27OCT17	2762.00	3270.73	USD	25,436.50	
28JUL17	B	1	PD27OCT17	2761.00	3270.73	USD	12,743.25	
28JUL17	B	1	PD27OCT17	2764.00	3270.73	USD	12,668.25	
28JUL17	S	1	PD27OCT17	2769.00	3270.73	USD	-12,543.25	
28JUL17	S	1	PD27OCT17	2770.00	3270.73	USD	-12,518.25	
28JUL17	S	1	PD27OCT17	2771.00	3270.73	USD	-12,493.25	
28JUL17	S	2	PD27OCT17	2768.00	3270.73	USD	-25,136.50	
28JUL17	S	2	PD27OCT17	2774.00	3270.73	USD	-24,836.50	
28JUL17	S	2	PD27OCT17	2774.50	3270.73	USD	-24,811.50	
28JUL17	B	1	PD27OCT17	2760.50	3270.73	USD	12,755.75	
28JUL17	S	1	PD27OCT17	2772.00	3270.73	USD	-12,468.25	
28JUL17	S	4	PD27OCT17	2771.50	3270.73	USD	-49,923.00	
28JUL17	B	2	PD27OCT17	2773.00	3270.73	USD	24,886.50	

OPEN POSITION STATEMENT



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28JUL17	B	1	PD27OCT17	2773.50	3270.73	USD	12,430.75	
28JUL17	S	1	PD27OCT17	2777.00	3270.73	USD	-12,343.25	
28JUL17	S	1	PD27OCT17	2775.50	3270.73	USD	-12,380.75	
28JUL17	S	3	PD27OCT17	2776.00	3270.73	USD	-37,104.75	
28JUL17	B	1	PD27OCT17	2777.00	3270.73	USD	12,343.25	
28JUL17	S	1	PD27OCT17	2786.50	3270.73	USD	-12,105.75	
28JUL17	S	3	PD27OCT17	2785.00	3270.73	USD	-36,429.75	
28JUL17	S	1	PD27OCT17	2793.50	3270.73	USD	-11,930.75	
28JUL17	S	1	PD27OCT17	2795.50	3270.73	USD	-11,880.75	
28JUL17	S	1	PD27OCT17	2796.00	3270.73	USD	-11,868.25	
28JUL17	B	2	PD27OCT17	2779.50	3270.73	USD	24,561.50	
28JUL17	B	1	PD27OCT17	2780.00	3270.73	USD	12,268.25	
28JUL17	S	1	PD27OCT17	2772.00	3270.73	USD	-12,468.25	
28JUL17	S	2	PD27OCT17	2775.00	3270.73	USD	-24,786.50	
28JUL17	S	2	PD27OCT17	2784.50	3270.73	USD	-24,311.50	
28JUL17	B	2	PD27OCT17	2784.00	3270.73	USD	24,336.50	
01AUG17	S	5	PD27OCT17	2778.39	3270.73	USD	-61,542.50	
	NET	0AVG BGHT 2795.41	SOLD 2793.88				-3,288.75	0.00
31JUL17	B	1	PD31OCT17	2805.00	3268.47	USD	11,586.75	
31JUL17	S	1	PD31OCT17	2808.00	3268.47	USD	-11,511.75	
31JUL17	S	1	PD31OCT17	2809.00	3268.47	USD	-11,486.75	
31JUL17	S	1	PD31OCT17	2813.50	3268.47	USD	-11,374.25	
31JUL17	S	3	PD31OCT17	2815.50	3268.47	USD	-33,972.75	
31JUL17	S	1	PD31OCT17	2819.50	3268.47	USD	-11,224.25	
31JUL17	S	1	PD31OCT17	2820.50	3268.47	USD	-11,199.25	
31JUL17	S	1	PD31OCT17	2821.50	3268.47	USD	-11,174.25	
31JUL17	S	1	PD31OCT17	2822.50	3268.47	USD	-11,149.25	
31JUL17	S	1	PD31OCT17	2822.00	3268.47	USD	-11,161.75	
31JUL17	S	1	PD31OCT17	2827.00	3268.47	USD	-11,036.75	
31JUL17	S	1	PD31OCT17	2828.00	3268.47	USD	-11,011.75	
31JUL17	B	1	PD31OCT17	2814.50	3268.47	USD	11,349.25	
31JUL17	S	2	PD31OCT17	2815.00	3268.47	USD	-22,673.50	
31JUL17	B	1	PD31OCT17	2798.50	3268.47	USD	11,749.25	
31JUL17	S	1	PD31OCT17	2799.50	3268.47	USD	-11,724.25	
31JUL17	S	2	PD31OCT17	2801.00	3268.47	USD	-23,373.50	
31JUL17	S	2	PD31OCT17	2810.00	3268.47	USD	-22,923.50	
31JUL17	S	1	PD31OCT17	2805.50	3268.47	USD	-11,574.25	
31JUL17	S	2	PD31OCT17	2804.00	3268.47	USD	-23,223.50	
31JUL17	B	2	PD31OCT17	2807.50	3268.47	USD	23,048.50	
31JUL17	B	2	PD31OCT17	2804.00	3268.47	USD	23,223.50	
31JUL17	B	1	PD31OCT17	2801.50	3268.47	USD	11,674.25	
31JUL17	B	1	PD31OCT17	2800.50	3268.47	USD	11,699.25	
31JUL17	B	1	PD31OCT17	2797.00	3268.47	USD	11,786.75	
31JUL17	S	1	PD31OCT17	2796.50	3268.47	USD	-11,799.25	
31JUL17	S	1	PD31OCT17	2797.00	3268.47	USD	-11,786.75	
31JUL17	B	1	PD31OCT17	2792.50	3268.47	USD	11,899.25	
31JUL17	B	1	PD31OCT17	2792.00	3268.47	USD	11,911.75	
31JUL17	S	1	PD31OCT17	2793.00	3268.47	USD	-11,886.75	
31JUL17	B	2	PD31OCT17	2794.00	3268.47	USD	23,723.50	
31JUL17	S	1	PD31OCT17	2795.00	3268.47	USD	-11,836.75	
31JUL17	B	2	PD31OCT17	2796.50	3268.47	USD	23,598.50	
31JUL17	B	4	PD31OCT17	2796.00	3268.47	USD	47,247.00	
31JUL17	B	2	PD31OCT17	2798.00	3268.47	USD	23,523.50	
31JUL17	B	3	PD31OCT17	2795.00	3268.47	USD	35,510.25	
03AUG17	B	2	PD31OCT17	2791.25	3268.47	USD	23,861.00	
	NET	0AVG BGHT 2798.26	SOLD 2810.54				8,287.50	0.00
01AUG17	B	1	PD01NOV17	2789.00	3267.33	USD	11,958.25	

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01AUG17	B	1	PD01NOV17	2774.50	3267.33	USD	12,320.75	
01AUG17	B	2	PD01NOV17	2773.00	3267.33	USD	24,716.50	
01AUG17	B	2	PD01NOV17	2771.50	3267.33	USD	24,791.50	
01AUG17	B	4	PD01NOV17	2769.00	3267.33	USD	49,833.00	
01AUG17	B	1	PD01NOV17	2767.00	3267.33	USD	12,508.25	
01AUG17	B	1	PD01NOV17	2765.50	3267.33	USD	12,545.75	
01AUG17	S	1	PD01NOV17	2777.00	3267.33	USD	-12,258.25	
01AUG17	S	4	PD01NOV17	2781.00	3267.33	USD	-48,633.00	
01AUG17	S	2	PD01NOV17	2784.50	3267.33	USD	-24,141.50	
01AUG17	S	3	PD01NOV17	2781.50	3267.33	USD	-36,437.25	
01AUG17	S	2	PD01NOV17	2782.00	3267.33	USD	-24,266.50	
01AUG17	S	3	PD01NOV17	2779.50	3267.33	USD	-36,587.25	
01AUG17	B	1	PD01NOV17	2772.00	3267.33	USD	12,383.25	
01AUG17	S	1	PD01NOV17	2774.50	3267.33	USD	-12,320.75	
01AUG17	S	6	PD01NOV17	2778.00	3267.33	USD	-73,399.50	
01AUG17	S	4	PD01NOV17	2778.50	3267.33	USD	-48,883.00	
01AUG17	S	2	PD01NOV17	2779.00	3267.33	USD	-24,416.50	
01AUG17	S	3	PD01NOV17	2777.50	3267.33	USD	-36,737.25	
01AUG17	S	2	PD01NOV17	2783.00	3267.33	USD	-24,216.50	
01AUG17	B	1	PD01NOV17	2782.00	3267.33	USD	12,133.25	
01AUG17	B	2	PD01NOV17	2780.50	3267.33	USD	24,341.50	
01AUG17	B	1	PD01NOV17	2781.00	3267.33	USD	12,158.25	
03AUG17	B	1	PD01NOV17	2791.50	3267.33	USD	11,895.75	
07AUG17	B	15	PD01NOV17	2856.71	3267.33	USD	153,982.50	
	NET	0AVG BGHT 2812.10	SOLD 2779.70				-26,728.75	0.00
02AUG17	S	2	PD02NOV17	2766.50	3266.20	USD	-24,985.00	
02AUG17	B	3	PD02NOV17	2773.00	3266.20	USD	36,990.00	
02AUG17	B	1	PD02NOV17	2769.00	3266.20	USD	12,430.00	
02AUG17	B	5	PD02NOV17	2770.00	3266.20	USD	62,025.00	
02AUG17	B	1	PD02NOV17	2771.50	3266.20	USD	12,367.50	
02AUG17	B	2	PD02NOV17	2770.50	3266.20	USD	24,785.00	
02AUG17	B	4	PD02NOV17	2774.00	3266.20	USD	49,220.00	
02AUG17	B	4	PD02NOV17	2774.50	3266.20	USD	49,170.00	
02AUG17	B	3	PD02NOV17	2771.00	3266.20	USD	37,140.00	
02AUG17	B	1	PD02NOV17	2768.00	3266.20	USD	12,455.00	
02AUG17	B	2	PD02NOV17	2766.00	3266.20	USD	25,010.00	
02AUG17	B	1	PD02NOV17	2764.00	3266.20	USD	12,555.00	
02AUG17	B	1	PD02NOV17	2762.50	3266.20	USD	12,592.50	
02AUG17	B	2	PD02NOV17	2765.50	3266.20	USD	25,035.00	
02AUG17	B	1	PD02NOV17	2762.00	3266.20	USD	12,605.00	
02AUG17	B	2	PD02NOV17	2768.50	3266.20	USD	24,885.00	
02AUG17	B	3	PD02NOV17	2766.50	3266.20	USD	37,477.50	
02AUG17	B	2	PD02NOV17	2767.50	3266.20	USD	24,935.00	
02AUG17	B	5	PD02NOV17	2767.00	3266.20	USD	62,400.00	
02AUG17	S	1	PD02NOV17	2775.00	3266.20	USD	-12,280.00	
02AUG17	B	1	PD02NOV17	2774.50	3266.20	USD	12,292.50	
02AUG17	S	1	PD02NOV17	2766.00	3266.20	USD	-12,505.00	
02AUG17	B	1	PD02NOV17	2769.00	3266.20	USD	12,430.00	
02AUG17	S	1	PD02NOV17	2765.00	3266.20	USD	-12,530.00	
02AUG17	S	2	PD02NOV17	2765.50	3266.20	USD	-25,035.00	
02AUG17	S	1	PD02NOV17	2767.00	3266.20	USD	-12,480.00	
02AUG17	S	1	PD02NOV17	2767.50	3266.20	USD	-12,467.50	
02AUG17	S	2	PD02NOV17	2769.00	3266.20	USD	-24,860.00	
02AUG17	S	2	PD02NOV17	2775.00	3266.20	USD	-24,560.00	
02AUG17	S	2	PD02NOV17	2775.50	3266.20	USD	-24,535.00	
02AUG17	S	3	PD02NOV17	2776.00	3266.20	USD	-36,765.00	
02AUG17	S	2	PD02NOV17	2777.00	3266.20	USD	-24,460.00	

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02AUG17	S	1	PD02NOV17		2778.00	3266.20	USD	-12,205.00	
02AUG17	S	1	PD02NOV17		2779.00	3266.20	USD	-12,180.00	
02AUG17	S	2	PD02NOV17		2780.50	3266.20	USD	-24,285.00	
02AUG17	S	1	PD02NOV17		2781.50	3266.20	USD	-12,117.50	
02AUG17	S	2	PD02NOV17		2782.50	3266.20	USD	-24,185.00	
02AUG17	S	2	PD02NOV17		2783.50	3266.20	USD	-24,135.00	
02AUG17	S	1	PD02NOV17		2785.00	3266.20	USD	-12,030.00	
02AUG17	S	2	PD02NOV17		2779.50	3266.20	USD	-24,335.00	
02AUG17	S	1	PD02NOV17		2780.00	3266.20	USD	-12,155.00	
02AUG17	S	2	PD02NOV17		2781.00	3266.20	USD	-24,260.00	
02AUG17	B	2	PD02NOV17		2784.00	3266.20	USD	24,110.00	
02AUG17	S	6	PD02NOV17		2784.00	3266.20	USD	-72,330.00	
02AUG17	S	1	PD02NOV17		2785.50	3266.20	USD	-12,017.50	
02AUG17	S	2	PD02NOV17		2786.50	3266.20	USD	-23,985.00	
02AUG17	S	1	PD02NOV17		2792.50	3266.20	USD	-11,842.50	
02AUG17	S	2	PD02NOV17		2784.50	3266.20	USD	-24,085.00	
02AUG17	B	1	PD02NOV17		2782.00	3266.20	USD	12,105.00	
02AUG17	S	1	PD02NOV17		2791.50	3266.20	USD	-11,867.50	
02AUG17	S	1	PD02NOV17		2792.00	3266.20	USD	-11,855.00	
02AUG17	S	1	PD02NOV17		2793.00	3266.20	USD	-11,830.00	
02AUG17	S	1	PD02NOV17		2796.00	3266.20	USD	-11,755.00	
02AUG17	S	1	PD02NOV17		2800.50	3266.20	USD	-11,642.50	
02AUG17	B	1	PD02NOV17		2801.50	3266.20	USD	11,617.50	
02AUG17	S	1	PD02NOV17		2801.00	3266.20	USD	-11,630.00	
02AUG17	B	1	PD02NOV17		2805.50	3266.20	USD	11,517.50	
02AUG17	B	2	PD02NOV17		2808.50	3266.20	USD	22,885.00	
02AUG17	B	2	PD02NOV17		2806.50	3266.20	USD	22,985.00	
02AUG17	B	2	PD02NOV17		2806.00	3266.20	USD	23,010.00	
02AUG17	B	3	PD02NOV17		2804.50	3266.20	USD	34,627.50	
03AUG17	S	4	PD02NOV17		2791.55	3266.20	USD	-47,465.00	
07AUG17	S	2	PD02NOV17		2856.73	3266.20	USD	-20,473.50	
	NET	0 AVG	BGHT 2776.94	SOLD 2783.40				9,529.00	0.00
03AUG17	S	2	PD03NOV17		2830.50	3265.07	USD	-21,728.50	
03AUG17	S	1	PD03NOV17		2830.00	3265.07	USD	-10,876.75	
03AUG17	S	2	PD03NOV17		2826.50	3265.07	USD	-21,928.50	
03AUG17	B	1	PD03NOV17		2811.50	3265.07	USD	11,339.25	
03AUG17	B	1	PD03NOV17		2812.00	3265.07	USD	11,326.75	
03AUG17	B	2	PD03NOV17		2810.50	3265.07	USD	22,728.50	
03AUG17	B	1	PD03NOV17		2809.00	3265.07	USD	11,401.75	
03AUG17	B	1	PD03NOV17		2809.50	3265.07	USD	11,389.25	
03AUG17	B	2	PD03NOV17		2808.50	3265.07	USD	22,828.50	
03AUG17	B	1	PD03NOV17		2808.00	3265.07	USD	11,426.75	
03AUG17	S	1	PD03NOV17		2814.00	3265.07	USD	-11,276.75	
03AUG17	S	2	PD03NOV17		2819.50	3265.07	USD	-22,278.50	
03AUG17	S	2	PD03NOV17		2817.00	3265.07	USD	-22,403.50	
03AUG17	S	3	PD03NOV17		2817.50	3265.07	USD	-33,567.75	
03AUG17	S	2	PD03NOV17		2821.00	3265.07	USD	-22,203.50	
03AUG17	B	2	PD03NOV17		2815.00	3265.07	USD	22,503.50	
03AUG17	B	3	PD03NOV17		2817.50	3265.07	USD	33,567.75	
03AUG17	B	2	PD03NOV17		2816.50	3265.07	USD	22,428.50	
03AUG17	B	2	PD03NOV17		2815.50	3265.07	USD	22,478.50	
03AUG17	B	1	PD03NOV17		2814.50	3265.07	USD	11,264.25	
03AUG17	B	1	PD03NOV17		2808.50	3265.07	USD	11,414.25	
03AUG17	B	3	PD03NOV17		2814.00	3265.07	USD	33,830.25	
03AUG17	B	3	PD03NOV17		2813.00	3265.07	USD	33,905.25	
03AUG17	B	2	PD03NOV17		2811.00	3265.07	USD	22,703.50	
03AUG17	B	1	PD03NOV17		2805.50	3265.07	USD	11,489.25	

OPEN POSITION STATEMENT



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SAP0112D

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
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backoffice@sapiencap.com

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
03AUG17	B	2	PD03NOV17	2806.00	3265.07	USD	22,953.50	
03AUG17	B	1	PD03NOV17	2797.00	3265.07	USD	11,701.75	
03AUG17	B	1	PD03NOV17	2791.50	3265.07	USD	11,839.25	
03AUG17	B	1	PD03NOV17	2794.00	3265.07	USD	11,776.75	
03AUG17	B	3	PD03NOV17	2793.00	3265.07	USD	35,405.25	
03AUG17	S	1	PD03NOV17	2806.50	3265.07	USD	-11,464.25	
03AUG17	S	1	PD03NOV17	2806.00	3265.07	USD	-11,476.75	
03AUG17	S	1	PD03NOV17	2807.50	3265.07	USD	-11,439.25	
03AUG17	B	1	PD03NOV17	2801.00	3265.07	USD	11,601.75	
03AUG17	B	2	PD03NOV17	2798.00	3265.07	USD	23,353.50	
03AUG17	B	1	PD03NOV17	2799.00	3265.07	USD	11,651.75	
03AUG17	S	2	PD03NOV17	2793.50	3265.07	USD	-23,578.50	
03AUG17	S	1	PD03NOV17	2793.00	3265.07	USD	-11,801.75	
03AUG17	S	1	PD03NOV17	2792.50	3265.07	USD	-11,814.25	
03AUG17	S	2	PD03NOV17	2792.00	3265.07	USD	-23,653.50	
03AUG17	S	3	PD03NOV17	2794.00	3265.07	USD	-35,330.25	
03AUG17	S	1	PD03NOV17	2792.00	3265.07	USD	-11,826.75	
03AUG17	S	1	PD03NOV17	2795.00	3265.07	USD	-11,751.75	
04AUG17	S	1	PD03NOV17	2809.00	3265.07	USD	-11,401.75	
04AUG17	S	1	PD03NOV17	2816.00	3265.07	USD	-11,226.75	
04AUG17	S	2	PD03NOV17	2819.00	3265.07	USD	-22,303.50	
04AUG17	S	1	PD03NOV17	2819.50	3265.07	USD	-11,139.25	
04AUG17	S	1	PD03NOV17	2823.00	3265.07	USD	-11,051.75	
04AUG17	S	3	PD03NOV17	2827.50	3265.07	USD	-32,817.75	
04AUG17	S	1	PD03NOV17	2828.50	3265.07	USD	-10,914.25	
04AUG17	S	3	PD03NOV17	2828.00	3265.07	USD	-32,780.25	
04AUG17	S	1	PD03NOV17	2829.50	3265.07	USD	-10,889.25	
04AUG17	S	1	PD03NOV17	2830.50	3265.07	USD	-10,864.25	
04AUG17	S	1	PD03NOV17	2834.00	3265.07	USD	-10,776.75	
04AUG17	S	2	PD03NOV17	2833.50	3265.07	USD	-21,578.50	
04AUG17	S	1	PD03NOV17	2832.50	3265.07	USD	-10,814.25	
04AUG17	S	4	PD03NOV17	2833.00	3265.07	USD	-43,207.00	
04AUG17	S	3	PD03NOV17	2834.50	3265.07	USD	-32,292.75	
04AUG17	B	2	PD03NOV17	2826.00	3265.07	USD	21,953.50	
04AUG17	B	3	PD03NOV17	2825.50	3265.07	USD	32,967.75	
04AUG17	B	2	PD03NOV17	2822.50	3265.07	USD	22,128.50	
04AUG17	B	1	PD03NOV17	2822.00	3265.07	USD	11,076.75	
04AUG17	B	1	PD03NOV17	2821.00	3265.07	USD	11,101.75	
04AUG17	B	6	PD03NOV17	2821.50	3265.07	USD	66,535.50	
04AUG17	B	3	PD03NOV17	2820.50	3265.07	USD	33,342.75	
04AUG17	S	3	PD03NOV17	2830.00	3265.07	USD	-32,630.25	
04AUG17	S	5	PD03NOV17	2831.00	3265.07	USD	-54,258.75	
04AUG17	B	2	PD03NOV17	2823.00	3265.07	USD	22,103.50	
04AUG17	S	2	PD03NOV17	2825.00	3265.07	USD	-22,003.50	
04AUG17	S	2	PD03NOV17	2825.50	3265.07	USD	-21,978.50	
04AUG17	S	1	PD03NOV17	2826.50	3265.07	USD	-10,964.25	
04AUG17	B	1	PD03NOV17	2819.50	3265.07	USD	11,139.25	
04AUG17	B	2	PD03NOV17	2818.50	3265.07	USD	22,328.50	
04AUG17	B	3	PD03NOV17	2818.00	3265.07	USD	33,530.25	
04AUG17	B	1	PD03NOV17	2817.00	3265.07	USD	11,201.75	
04AUG17	B	5	PD03NOV17	2820.00	3265.07	USD	55,633.75	
04AUG17	B	2	PD03NOV17	2819.00	3265.07	USD	22,303.50	
04AUG17	B	1	PD03NOV17	2812.50	3265.07	USD	11,314.25	
04AUG17	B	1	PD03NOV17	2826.00	3265.07	USD	10,976.75	
04AUG17	B	1	PD03NOV17	2821.50	3265.07	USD	11,089.25	
04AUG17	B	2	PD03NOV17	2811.50	3265.07	USD	22,678.50	
04AUG17	B	1	PD03NOV17	2805.00	3265.07	USD	11,501.75	

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>								
04AUG17	B	1	PD03NOV17		2803.00	3265.07	USD	11,551.75	
04AUG17	S	1	PD03NOV17		2803.50	3265.07	USD	-11,539.25	
04AUG17	S	1	PD03NOV17		2809.50	3265.07	USD	-11,389.25	
04AUG17	S	2	PD03NOV17		2811.00	3265.07	USD	-22,703.50	
04AUG17	S	2	PD03NOV17		2811.50	3265.07	USD	-22,678.50	
04AUG17	S	1	PD03NOV17		2819.00	3265.07	USD	-11,151.75	
04AUG17	S	3	PD03NOV17		2814.50	3265.07	USD	-33,792.75	
04AUG17	B	3	PD03NOV17		2812.00	3265.07	USD	33,980.25	
04AUG17	B	3	PD03NOV17		2813.00	3265.07	USD	33,905.25	
04AUG17	B	3	PD03NOV17		2812.50	3265.07	USD	33,942.75	
04AUG17	S	1	PD03NOV17		2811.00	3265.07	USD	-11,351.75	
07AUG17	S	13	PD03NOV17		2856.94	3265.07	USD	-132,642.25	
10AUG17	B	1	PD03NOV17		2936.75	3265.07	USD	8,208.00	
	NET	0AVG	BGHT 2815.15	SOLD 2824.40				21,261.75	0.00
07AUG17	B	1	PD07NOV17		2806.00	3262.80	USD	11,420.00	
07AUG17	S	1	PD07NOV17		2814.50	3262.80	USD	-11,207.50	
07AUG17	S	1	PD07NOV17		2816.00	3262.80	USD	-11,170.00	
07AUG17	S	1	PD07NOV17		2815.00	3262.80	USD	-11,195.00	
07AUG17	S	1	PD07NOV17		2815.50	3262.80	USD	-11,182.50	
07AUG17	S	1	PD07NOV17		2816.50	3262.80	USD	-11,157.50	
07AUG17	S	2	PD07NOV17		2822.50	3262.80	USD	-22,015.00	
07AUG17	B	2	PD07NOV17		2810.50	3262.80	USD	22,615.00	
07AUG17	B	2	PD07NOV17		2810.00	3262.80	USD	22,640.00	
07AUG17	S	3	PD07NOV17		2818.50	3262.80	USD	-33,322.50	
07AUG17	S	14	PD07NOV17		2821.00	3262.80	USD	-154,630.00	
07AUG17	S	1	PD07NOV17		2821.50	3262.80	USD	-11,032.50	
07AUG17	S	5	PD07NOV17		2820.50	3262.80	USD	-55,287.50	
07AUG17	S	1	PD07NOV17		2819.50	3262.80	USD	-11,082.50	
07AUG17	B	1	PD07NOV17		2817.50	3262.80	USD	11,132.50	
07AUG17	S	2	PD07NOV17		2819.00	3262.80	USD	-22,190.00	
07AUG17	S	1	PD07NOV17		2824.00	3262.80	USD	-10,970.00	
07AUG17	S	1	PD07NOV17		2825.50	3262.80	USD	-10,932.50	
07AUG17	S	1	PD07NOV17		2827.00	3262.80	USD	-10,895.00	
07AUG17	B	2	PD07NOV17		2820.00	3262.80	USD	22,140.00	
07AUG17	B	1	PD07NOV17		2819.50	3262.80	USD	11,082.50	
07AUG17	B	1	PD07NOV17		2819.00	3262.80	USD	11,095.00	
07AUG17	B	1	PD07NOV17		2818.50	3262.80	USD	11,107.50	
07AUG17	B	1	PD07NOV17		2818.00	3262.80	USD	11,120.00	
07AUG17	S	1	PD07NOV17		2825.00	3262.80	USD	-10,945.00	
07AUG17	S	1	PD07NOV17		2828.50	3262.80	USD	-10,857.50	
07AUG17	S	2	PD07NOV17		2832.00	3262.80	USD	-21,540.00	
07AUG17	S	1	PD07NOV17		2832.50	3262.80	USD	-10,757.50	
07AUG17	S	1	PD07NOV17		2819.50	3262.80	USD	-11,082.50	
07AUG17	S	2	PD07NOV17		2832.00	3262.80	USD	-21,540.00	
07AUG17	S	1	PD07NOV17		2831.50	3262.80	USD	-10,782.50	
07AUG17	S	1	PD07NOV17		2833.00	3262.80	USD	-10,745.00	
07AUG17	S	2	PD07NOV17		2833.50	3262.80	USD	-21,465.00	
07AUG17	S	1	PD07NOV17		2834.50	3262.80	USD	-10,707.50	
07AUG17	B	1	PD07NOV17		2832.50	3262.80	USD	10,757.50	
07AUG17	B	1	PD07NOV17		2831.50	3262.80	USD	10,782.50	
07AUG17	B	1	PD07NOV17		2829.50	3262.80	USD	10,832.50	
07AUG17	B	3	PD07NOV17		2830.00	3262.80	USD	32,460.00	
07AUG17	B	6	PD07NOV17		2829.00	3262.80	USD	65,070.00	
07AUG17	B	2	PD07NOV17		2836.00	3262.80	USD	21,340.00	
07AUG17	B	1	PD07NOV17		2835.00	3262.80	USD	10,695.00	
07AUG17	B	2	PD07NOV17		2835.50	3262.80	USD	21,365.00	
07AUG17	B	3	PD07NOV17		2838.50	3262.80	USD	31,822.50	

OPEN POSITION STATEMENT



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07AUG17	B	1	PD07NOV17	2838.00	3262.80	USD	10,620.00	
07AUG17	B	1	PD07NOV17	2837.50	3262.80	USD	10,632.50	
07AUG17	B	3	PD07NOV17	2837.00	3262.80	USD	31,935.00	
07AUG17	B	2	PD07NOV17	2834.50	3262.80	USD	21,415.00	
07AUG17	S	1	PD07NOV17	2847.00	3262.80	USD	-10,395.00	
07AUG17	S	1	PD07NOV17	2847.50	3262.80	USD	-10,382.50	
07AUG17	B	1	PD07NOV17	2852.00	3262.80	USD	10,270.00	
07AUG17	B	1	PD07NOV17	2857.00	3262.80	USD	10,145.00	
07AUG17	B	2	PD07NOV17	2855.00	3262.80	USD	20,390.00	
07AUG17	B	1	PD07NOV17	2861.50	3262.80	USD	10,032.50	
07AUG17	S	1	PD07NOV17	2863.50	3262.80	USD	-9,982.50	
07AUG17	S	1	PD07NOV17	2865.50	3262.80	USD	-9,932.50	
07AUG17	S	1	PD07NOV17	2866.50	3262.80	USD	-9,907.50	
07AUG17	S	6	PD07NOV17	2865.00	3262.80	USD	-59,670.00	
07AUG17	S	2	PD07NOV17	2866.00	3262.80	USD	-19,840.00	
07AUG17	S	2	PD07NOV17	2867.50	3262.80	USD	-19,765.00	
07AUG17	S	1	PD07NOV17	2870.00	3262.80	USD	-9,820.00	
07AUG17	S	2	PD07NOV17	2869.00	3262.80	USD	-19,690.00	
07AUG17	S	1	PD07NOV17	2871.00	3262.80	USD	-9,795.00	
07AUG17	S	1	PD07NOV17	2873.00	3262.80	USD	-9,745.00	
07AUG17	S	3	PD07NOV17	2871.50	3262.80	USD	-29,347.50	
07AUG17	S	2	PD07NOV17	2874.00	3262.80	USD	-19,440.00	
07AUG17	S	1	PD07NOV17	2876.00	3262.80	USD	-9,670.00	
07AUG17	S	1	PD07NOV17	2877.50	3262.80	USD	-9,632.50	
07AUG17	S	2	PD07NOV17	2875.00	3262.80	USD	-19,390.00	
07AUG17	S	1	PD07NOV17	2877.00	3262.80	USD	-9,645.00	
07AUG17	S	2	PD07NOV17	2872.50	3262.80	USD	-19,515.00	
07AUG17	S	5	PD07NOV17	2874.50	3262.80	USD	-48,537.50	
07AUG17	B	2	PD07NOV17	2878.50	3262.80	USD	19,215.00	
07AUG17	B	1	PD07NOV17	2877.50	3262.80	USD	9,632.50	
07AUG17	B	1	PD07NOV17	2878.00	3262.80	USD	9,620.00	
07AUG17	B	4	PD07NOV17	2885.00	3262.80	USD	37,780.00	
07AUG17	S	1	PD07NOV17	2883.00	3262.80	USD	-9,495.00	
07AUG17	S	1	PD07NOV17	2880.50	3262.80	USD	-9,557.50	
07AUG17	S	1	PD07NOV17	2879.00	3262.80	USD	-9,595.00	
07AUG17	S	1	PD07NOV17	2882.50	3262.80	USD	-9,507.50	
07AUG17	S	2	PD07NOV17	2881.50	3262.80	USD	-19,065.00	
07AUG17	S	1	PD07NOV17	2886.00	3262.80	USD	-9,420.00	
07AUG17	S	1	PD07NOV17	2885.00	3262.80	USD	-9,445.00	
07AUG17	S	2	PD07NOV17	2884.50	3262.80	USD	-18,915.00	
07AUG17	S	2	PD07NOV17	2885.50	3262.80	USD	-18,865.00	
07AUG17	S	2	PD07NOV17	2865.00	3262.80	USD	-19,890.00	
07AUG17	S	1	PD07NOV17	2883.50	3262.80	USD	-9,482.50	
07AUG17	B	2	PD07NOV17	2887.50	3262.80	USD	18,765.00	
07AUG17	B	1	PD07NOV17	2886.50	3262.80	USD	9,407.50	
07AUG17	B	2	PD07NOV17	2883.50	3262.80	USD	18,965.00	
07AUG17	B	1	PD07NOV17	2882.50	3262.80	USD	9,507.50	
07AUG17	B	1	PD07NOV17	2881.50	3262.80	USD	9,532.50	
07AUG17	B	1	PD07NOV17	2882.00	3262.80	USD	9,520.00	
07AUG17	B	1	PD07NOV17	2883.00	3262.80	USD	9,495.00	
07AUG17	B	2	PD07NOV17	2880.50	3262.80	USD	19,115.00	
07AUG17	B	1	PD07NOV17	2881.00	3262.80	USD	9,545.00	
07AUG17	B	5	PD07NOV17	2880.00	3262.80	USD	47,850.00	
07AUG17	B	1	PD07NOV17	2879.00	3262.80	USD	9,595.00	
07AUG17	B	2	PD07NOV17	2877.00	3262.80	USD	19,290.00	
07AUG17	B	2	PD07NOV17	2875.50	3262.80	USD	19,365.00	
07AUG17	B	1	PD07NOV17	2873.00	3262.80	USD	9,745.00	

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07AUG17	S	5	PD07NOV17	2872.00	3262.80	USD	-48,850.00	
07AUG17	B	2	PD07NOV17	2874.50	3262.80	USD	19,415.00	
07AUG17	B	1	PD07NOV17	2874.00	3262.80	USD	9,720.00	
07AUG17	B	1	PD07NOV17	2873.50	3262.80	USD	9,732.50	
07AUG17	B	1	PD07NOV17	2870.50	3262.80	USD	9,807.50	
07AUG17	B	1	PD07NOV17	2869.00	3262.80	USD	9,845.00	
07AUG17	B	1	PD07NOV17	2866.00	3262.80	USD	9,920.00	
07AUG17	B	1	PD07NOV17	2866.50	3262.80	USD	9,907.50	
07AUG17	B	1	PD07NOV17	2862.00	3262.80	USD	10,020.00	
07AUG17	B	1	PD07NOV17	2864.00	3262.80	USD	9,970.00	
07AUG17	S	4	PD07NOV17	2864.00	3262.80	USD	-39,880.00	
07AUG17	B	1	PD07NOV17	2863.00	3262.80	USD	9,995.00	
07AUG17	B	3	PD07NOV17	2861.00	3262.80	USD	30,135.00	
07AUG17	B	1	PD07NOV17	2863.50	3262.80	USD	9,982.50	
07AUG17	S	1	PD07NOV17	2868.00	3262.80	USD	-9,870.00	
07AUG17	B	1	PD07NOV17	2868.50	3262.80	USD	9,857.50	
07AUG17	B	2	PD07NOV17	2865.00	3262.80	USD	19,890.00	
07AUG17	B	7	PD07NOV17	2867.00	3262.80	USD	69,265.00	
07AUG17	B	5	PD07NOV17	2868.00	3262.80	USD	49,350.00	
07AUG17	B	2	PD07NOV17	2871.00	3262.80	USD	19,590.00	
07AUG17	B	2	PD07NOV17	2871.50	3262.80	USD	19,565.00	
07AUG17	B	1	PD07NOV17	2869.50	3262.80	USD	9,832.50	
07AUG17	B	1	PD07NOV17	2870.50	3262.80	USD	9,807.50	
07AUG17	B	2	PD07NOV17	2861.50	3262.80	USD	20,065.00	
10AUG17	S	1	PD07NOV17	2937.26	3262.80	USD	-8,138.50	
10AUG17	S	1	PD07NOV17	2937.46	3262.80	USD	-8,133.50	
	NET	0 AVG BGHT 2856.95	SOLD 2851.86				-14,369.50	0.00
08AUG17	S	1	PD08NOV17	2867.00	3261.67	USD	-9,866.75	
08AUG17	S	2	PD08NOV17	2867.50	3261.67	USD	-19,708.50	
08AUG17	S	1	PD08NOV17	2870.50	3261.67	USD	-9,779.25	
08AUG17	S	4	PD08NOV17	2870.00	3261.67	USD	-39,167.00	
08AUG17	S	1	PD08NOV17	2871.50	3261.67	USD	-9,754.25	
08AUG17	S	4	PD08NOV17	2878.50	3261.67	USD	-38,317.00	
08AUG17	S	4	PD08NOV17	2877.50	3261.67	USD	-38,417.00	
08AUG17	S	1	PD08NOV17	2875.50	3261.67	USD	-9,654.25	
08AUG17	S	3	PD08NOV17	2869.50	3261.67	USD	-29,412.75	
08AUG17	S	1	PD08NOV17	2872.50	3261.67	USD	-9,729.25	
08AUG17	S	2	PD08NOV17	2873.00	3261.67	USD	-19,433.50	
08AUG17	S	1	PD08NOV17	2873.50	3261.67	USD	-9,704.25	
08AUG17	S	2	PD08NOV17	2871.00	3261.67	USD	-19,533.50	
08AUG17	S	3	PD08NOV17	2872.00	3261.67	USD	-29,225.25	
08AUG17	B	1	PD08NOV17	2872.50	3261.67	USD	9,729.25	
08AUG17	S	5	PD08NOV17	2875.00	3261.67	USD	-48,333.75	
08AUG17	S	9	PD08NOV17	2876.50	3261.67	USD	-86,663.25	
08AUG17	S	1	PD08NOV17	2881.00	3261.67	USD	-9,516.75	
08AUG17	S	1	PD08NOV17	2882.00	3261.67	USD	-9,491.75	
08AUG17	B	2	PD08NOV17	2878.00	3261.67	USD	19,183.50	
08AUG17	B	1	PD08NOV17	2879.00	3261.67	USD	9,566.75	
08AUG17	S	1	PD08NOV17	2887.00	3261.67	USD	-9,366.75	
08AUG17	S	1	PD08NOV17	2882.00	3261.67	USD	-9,491.75	
08AUG17	S	2	PD08NOV17	2882.50	3261.67	USD	-18,958.50	
08AUG17	B	2	PD08NOV17	2875.00	3261.67	USD	19,333.50	
08AUG17	B	2	PD08NOV17	2873.50	3261.67	USD	19,408.50	
08AUG17	B	5	PD08NOV17	2873.00	3261.67	USD	48,583.75	
08AUG17	S	3	PD08NOV17	2878.00	3261.67	USD	-28,775.25	
08AUG17	B	1	PD08NOV17	2874.50	3261.67	USD	9,679.25	
08AUG17	B	2	PD08NOV17	2874.00	3261.67	USD	19,383.50	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0112D

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

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FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
08AUG17	B	1	PD08NOV17	2869.00	3261.67	USD	9,816.75	
08AUG17	B	1	PD08NOV17	2876.50	3261.67	USD	9,629.25	
08AUG17	S	1	PD08NOV17	2883.00	3261.67	USD	-9,466.75	
08AUG17	B	2	PD08NOV17	2871.00	3261.67	USD	19,533.50	
08AUG17	B	3	PD08NOV17	2870.50	3261.67	USD	29,337.75	
08AUG17	B	2	PD08NOV17	2868.50	3261.67	USD	19,658.50	
08AUG17	B	3	PD08NOV17	2867.50	3261.67	USD	29,562.75	
08AUG17	B	2	PD08NOV17	2866.00	3261.67	USD	19,783.50	
08AUG17	S	1	PD08NOV17	2866.00	3261.67	USD	-9,891.75	
08AUG17	S	3	PD08NOV17	2880.50	3261.67	USD	-28,587.75	
08AUG17	S	1	PD08NOV17	2881.50	3261.67	USD	-9,504.25	
08AUG17	S	1	PD08NOV17	2884.00	3261.67	USD	-9,441.75	
08AUG17	S	1	PD08NOV17	2884.50	3261.67	USD	-9,429.25	
08AUG17	S	1	PD08NOV17	2885.50	3261.67	USD	-9,404.25	
08AUG17	S	1	PD08NOV17	2887.00	3261.67	USD	-9,366.75	
08AUG17	S	2	PD08NOV17	2888.00	3261.67	USD	-18,683.50	
08AUG17	S	1	PD08NOV17	2888.50	3261.67	USD	-9,329.25	
08AUG17	S	2	PD08NOV17	2886.50	3261.67	USD	-18,758.50	
08AUG17	S	2	PD08NOV17	2889.00	3261.67	USD	-18,633.50	
08AUG17	S	2	PD08NOV17	2889.50	3261.67	USD	-18,608.50	
08AUG17	S	1	PD08NOV17	2890.50	3261.67	USD	-9,279.25	
08AUG17	S	2	PD08NOV17	2891.50	3261.67	USD	-18,508.50	
08AUG17	S	1	PD08NOV17	2893.50	3261.67	USD	-9,204.25	
08AUG17	S	1	PD08NOV17	2894.50	3261.67	USD	-9,179.25	
08AUG17	S	1	PD08NOV17	2895.50	3261.67	USD	-9,154.25	
08AUG17	S	1	PD08NOV17	2899.50	3261.67	USD	-9,054.25	
08AUG17	B	1	PD08NOV17	2895.00	3261.67	USD	9,166.75	
08AUG17	B	1	PD08NOV17	2898.50	3261.67	USD	9,079.25	
08AUG17	B	3	PD08NOV17	2898.00	3261.67	USD	27,275.25	
08AUG17	B	1	PD08NOV17	2897.50	3261.67	USD	9,104.25	
08AUG17	B	1	PD08NOV17	2899.00	3261.67	USD	9,066.75	
08AUG17	S	1	PD08NOV17	2915.00	3261.67	USD	-8,666.75	
08AUG17	S	1	PD08NOV17	2910.50	3261.67	USD	-8,779.25	
08AUG17	S	1	PD08NOV17	2913.00	3261.67	USD	-8,716.75	
08AUG17	S	2	PD08NOV17	2912.00	3261.67	USD	-17,483.50	
08AUG17	S	3	PD08NOV17	2914.00	3261.67	USD	-26,075.25	
08AUG17	S	1	PD08NOV17	2914.50	3261.67	USD	-8,679.25	
08AUG17	B	1	PD08NOV17	2921.00	3261.67	USD	8,516.75	
08AUG17	B	1	PD08NOV17	2920.00	3261.67	USD	8,541.75	
08AUG17	B	1	PD08NOV17	2919.00	3261.67	USD	8,566.75	
08AUG17	B	1	PD08NOV17	2922.00	3261.67	USD	8,491.75	
08AUG17	B	3	PD08NOV17	2929.00	3261.67	USD	24,950.25	
08AUG17	B	3	PD08NOV17	2926.50	3261.67	USD	25,137.75	
08AUG17	B	1	PD08NOV17	2930.50	3261.67	USD	8,279.25	
08AUG17	B	1	PD08NOV17	2929.50	3261.67	USD	8,304.25	
08AUG17	B	1	PD08NOV17	2927.00	3261.67	USD	8,366.75	
08AUG17	S	1	PD08NOV17	2878.00	3261.67	USD	-9,591.75	
08AUG17	B	1	PD08NOV17	2879.50	3261.67	USD	9,554.25	
08AUG17	B	2	PD08NOV17	2926.00	3261.67	USD	16,783.50	
08AUG17	B	2	PD08NOV17	2924.00	3261.67	USD	16,883.50	
08AUG17	B	1	PD08NOV17	2923.50	3261.67	USD	8,454.25	
08AUG17	B	6	PD08NOV17	2925.50	3261.67	USD	50,425.50	
08AUG17	B	3	PD08NOV17	2925.00	3261.67	USD	25,250.25	
08AUG17	B	3	PD08NOV17	2930.00	3261.67	USD	24,875.25	
08AUG17	B	6	PD08NOV17	2928.50	3261.67	USD	49,975.50	
08AUG17	B	7	PD08NOV17	2928.00	3261.67	USD	58,392.25	
08AUG17	B	2	PD08NOV17	2927.50	3261.67	USD	16,708.50	

OPEN POSITION STATEMENT



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SAP0112D

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
08AUG17	B	2	PD08NOV17	2925.50	3261.67	USD	16,808.50	
08AUG17	S	1	PD08NOV17	2936.00	3261.67	USD	-8,141.75	
08AUG17	S	1	PD08NOV17	2935.50	3261.67	USD	-8,154.25	
10AUG17	B	1	PD08NOV17	2937.84	3261.67	USD	8,095.75	
10AUG17	B	2	PD08NOV17	2937.64	3261.67	USD	16,201.50	
14AUG17	B	2	PD08NOV17	2916.04	3261.67	USD	17,281.50	
22AUG17	B	1	PD08NOV17	3118.56	3261.67	USD	3,577.75	
	NET	0 AVG	BGHT 2908.13	SOLD 2883.62			-55,769.00	0.00
09AUG17	B	1	PD09NOV17	2936.50	3260.53	USD	8,100.75	
09AUG17	B	2	PD09NOV17	2939.50	3260.53	USD	16,051.50	
09AUG17	B	3	PD09NOV17	2941.00	3260.53	USD	23,964.75	
09AUG17	S	1	PD09NOV17	2944.00	3260.53	USD	-7,913.25	
09AUG17	S	2	PD09NOV17	2952.00	3260.53	USD	-15,426.50	
09AUG17	S	1	PD09NOV17	2951.00	3260.53	USD	-7,738.25	
09AUG17	S	2	PD09NOV17	2952.50	3260.53	USD	-15,401.50	
09AUG17	S	1	PD09NOV17	2954.00	3260.53	USD	-7,663.25	
09AUG17	S	3	PD09NOV17	2953.50	3260.53	USD	-23,027.25	
09AUG17	S	2	PD09NOV17	2955.50	3260.53	USD	-15,251.50	
09AUG17	S	2	PD09NOV17	2942.00	3260.53	USD	-15,926.50	
09AUG17	S	2	PD09NOV17	2945.00	3260.53	USD	-15,776.50	
09AUG17	S	1	PD09NOV17	2951.50	3260.53	USD	-7,725.75	
09AUG17	S	2	PD09NOV17	2950.50	3260.53	USD	-15,501.50	
09AUG17	S	2	PD09NOV17	2957.00	3260.53	USD	-15,176.50	
09AUG17	S	1	PD09NOV17	2958.00	3260.53	USD	-7,563.25	
09AUG17	S	1	PD09NOV17	2958.50	3260.53	USD	-7,550.75	
09AUG17	S	2	PD09NOV17	2961.00	3260.53	USD	-14,976.50	
09AUG17	S	1	PD09NOV17	2963.00	3260.53	USD	-7,438.25	
09AUG17	S	1	PD09NOV17	2963.50	3260.53	USD	-7,425.75	
09AUG17	S	4	PD09NOV17	2964.00	3260.53	USD	-29,653.00	
09AUG17	S	3	PD09NOV17	2967.50	3260.53	USD	-21,977.25	
09AUG17	S	5	PD09NOV17	2966.50	3260.53	USD	-36,753.75	
09AUG17	B	1	PD09NOV17	2970.50	3260.53	USD	7,250.75	
09AUG17	S	1	PD09NOV17	2980.50	3260.53	USD	-7,000.75	
09AUG17	B	3	PD09NOV17	2967.50	3260.53	USD	21,977.25	
09AUG17	B	1	PD09NOV17	2965.00	3260.53	USD	7,388.25	
09AUG17	B	1	PD09NOV17	2963.00	3260.53	USD	7,438.25	
09AUG17	B	2	PD09NOV17	2961.50	3260.53	USD	14,951.50	
09AUG17	B	1	PD09NOV17	2961.00	3260.53	USD	7,488.25	
09AUG17	B	4	PD09NOV17	2962.00	3260.53	USD	29,853.00	
09AUG17	S	1	PD09NOV17	2959.00	3260.53	USD	-7,538.25	
09AUG17	B	2	PD09NOV17	2950.00	3260.53	USD	15,526.50	
09AUG17	B	1	PD09NOV17	2948.00	3260.53	USD	7,813.25	
09AUG17	B	2	PD09NOV17	2948.50	3260.53	USD	15,601.50	
09AUG17	B	2	PD09NOV17	2947.00	3260.53	USD	15,676.50	
09AUG17	S	3	PD09NOV17	2947.50	3260.53	USD	-23,477.25	
09AUG17	S	1	PD09NOV17	2948.00	3260.53	USD	-7,813.25	
09AUG17	B	2	PD09NOV17	2951.00	3260.53	USD	15,476.50	
09AUG17	B	9	PD09NOV17	2950.50	3260.53	USD	69,756.75	
09AUG17	B	3	PD09NOV17	2955.00	3260.53	USD	22,914.75	
09AUG17	B	1	PD09NOV17	2954.00	3260.53	USD	7,663.25	
09AUG17	S	2	PD09NOV17	2965.00	3260.53	USD	-14,776.50	
09AUG17	S	2	PD09NOV17	2956.00	3260.53	USD	-15,226.50	
09AUG17	S	1	PD09NOV17	2953.00	3260.53	USD	-7,688.25	
09AUG17	S	1	PD09NOV17	2962.00	3260.53	USD	-7,463.25	
09AUG17	S	7	PD09NOV17	2965.50	3260.53	USD	-51,630.25	
09AUG17	S	3	PD09NOV17	2966.00	3260.53	USD	-22,089.75	
09AUG17	S	1	PD09NOV17	2972.50	3260.53	USD	-7,200.75	

OPEN POSITION STATEMENT



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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
09AUG17	S	1	PD09NOV17	2973.00	3260.53	USD	-7,188.25	
09AUG17	S	2	PD09NOV17	2964.50	3260.53	USD	-14,801.50	
09AUG17	S	8	PD09NOV17	2967.00	3260.53	USD	-58,706.00	
09AUG17	S	1	PD09NOV17	2971.50	3260.53	USD	-7,225.75	
09AUG17	S	2	PD09NOV17	2975.00	3260.53	USD	-14,276.50	
09AUG17	B	3	PD09NOV17	2973.00	3260.53	USD	21,564.75	
09AUG17	S	1	PD09NOV17	2975.50	3260.53	USD	-7,125.75	
09AUG17	B	1	PD09NOV17	2966.00	3260.53	USD	7,363.25	
09AUG17	B	1	PD09NOV17	2964.00	3260.53	USD	7,413.25	
09AUG17	B	1	PD09NOV17	2952.50	3260.53	USD	7,700.75	
09AUG17	B	1	PD09NOV17	2952.00	3260.53	USD	7,713.25	
09AUG17	B	2	PD09NOV17	2956.00	3260.53	USD	15,226.50	
09AUG17	B	2	PD09NOV17	2959.00	3260.53	USD	15,076.50	
09AUG17	B	2	PD09NOV17	2957.50	3260.53	USD	15,151.50	
09AUG17	B	3	PD09NOV17	2957.00	3260.53	USD	22,764.75	
09AUG17	B	1	PD09NOV17	2958.00	3260.53	USD	7,563.25	
09AUG17	B	5	PD09NOV17	2956.50	3260.53	USD	38,003.75	
09AUG17	S	8	PD09NOV17	2955.00	3260.53	USD	-61,106.00	
09AUG17	B	1	PD09NOV17	2945.50	3260.53	USD	7,875.75	
09AUG17	B	1	PD09NOV17	2944.50	3260.53	USD	7,900.75	
09AUG17	B	2	PD09NOV17	2945.00	3260.53	USD	15,776.50	
09AUG17	B	1	PD09NOV17	2944.00	3260.53	USD	7,913.25	
09AUG17	B	4	PD09NOV17	2943.00	3260.53	USD	31,753.00	
09AUG17	B	3	PD09NOV17	2942.50	3260.53	USD	23,852.25	
09AUG17	B	2	PD09NOV17	2941.50	3260.53	USD	15,951.50	
09AUG17	B	5	PD09NOV17	2942.00	3260.53	USD	39,816.25	
09AUG17	S	2	PD09NOV17	2943.50	3260.53	USD	-15,851.50	
09AUG17	B	1	PD09NOV17	2937.50	3260.53	USD	8,075.75	
09AUG17	S	2	PD09NOV17	2966.50	3260.53	USD	-14,701.50	
09AUG17	S	1	PD09NOV17	2954.50	3260.53	USD	-7,650.75	
09AUG17	S	1	PD09NOV17	2953.00	3260.53	USD	-7,688.25	
09AUG17	S	1	PD09NOV17	2964.50	3260.53	USD	-7,400.75	
09AUG17	S	1	PD09NOV17	2963.00	3260.53	USD	-7,438.25	
09AUG17	S	1	PD09NOV17	2940.50	3260.53	USD	-8,000.75	
09AUG17	B	2	PD09NOV17	2934.50	3260.53	USD	16,301.50	
09AUG17	B	1	PD09NOV17	2933.00	3260.53	USD	8,188.25	
09AUG17	B	3	PD09NOV17	2935.00	3260.53	USD	24,414.75	
09AUG17	B	2	PD09NOV17	2934.00	3260.53	USD	16,326.50	
09AUG17	B	1	PD09NOV17	2932.50	3260.53	USD	8,200.75	
09AUG17	B	1	PD09NOV17	2933.00	3260.53	USD	8,188.25	
09AUG17	B	1	PD09NOV17	2938.50	3260.53	USD	8,050.75	
10AUG17	S	2	PD09NOV17	2937.62	3260.53	USD	-16,145.50	
22AUG17	B	2	PD09NOV17	3118.69	3260.53	USD	7,092.00	
	NET	0 AVG	BGHT 2953.82	SOLD 2959.25			13,034.00	0.00
10AUG17	B	1	PD10NOV17	2943.50	3259.40	USD	7,897.50	
10AUG17	B	2	PD10NOV17	2945.50	3259.40	USD	15,695.00	
10AUG17	B	1	PD10NOV17	2945.00	3259.40	USD	7,860.00	
10AUG17	S	1	PD10NOV17	2941.00	3259.40	USD	-7,960.00	
10AUG17	S	1	PD10NOV17	2947.00	3259.40	USD	-7,810.00	
10AUG17	B	1	PD10NOV17	2948.50	3259.40	USD	7,772.50	
10AUG17	B	5	PD10NOV17	2947.50	3259.40	USD	38,987.50	
10AUG17	B	2	PD10NOV17	2947.00	3259.40	USD	15,620.00	
10AUG17	B	2	PD10NOV17	2946.50	3259.40	USD	15,645.00	
10AUG17	S	1	PD10NOV17	2933.50	3259.40	USD	-8,147.50	
10AUG17	S	2	PD10NOV17	2934.00	3259.40	USD	-16,270.00	
10AUG17	S	2	PD10NOV17	2935.00	3259.40	USD	-16,220.00	
10AUG17	S	1	PD10NOV17	2937.50	3259.40	USD	-8,047.50	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0112D

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
10AUG17	B	1	PD10NOV17	2938.50	3259.40	USD	8,022.50	
10AUG17	B	1	PD10NOV17	2936.00	3259.40	USD	8,085.00	
10AUG17	B	3	PD10NOV17	2935.00	3259.40	USD	24,330.00	
10AUG17	B	1	PD10NOV17	2938.00	3259.40	USD	8,035.00	
10AUG17	S	1	PD10NOV17	2945.50	3259.40	USD	-7,847.50	
10AUG17	S	1	PD10NOV17	2946.50	3259.40	USD	-7,822.50	
10AUG17	S	1	PD10NOV17	2947.50	3259.40	USD	-7,797.50	
10AUG17	S	1	PD10NOV17	2946.00	3259.40	USD	-7,835.00	
10AUG17	S	5	PD10NOV17	2943.00	3259.40	USD	-39,550.00	
10AUG17	B	3	PD10NOV17	2943.00	3259.40	USD	23,730.00	
10AUG17	B	1	PD10NOV17	2942.50	3259.40	USD	7,922.50	
10AUG17	B	1	PD10NOV17	2952.00	3259.40	USD	7,685.00	
10AUG17	B	1	PD10NOV17	2948.00	3259.40	USD	7,785.00	
10AUG17	S	1	PD10NOV17	2950.00	3259.40	USD	-7,735.00	
10AUG17	S	1	PD10NOV17	2949.50	3259.40	USD	-7,747.50	
10AUG17	S	1	PD10NOV17	2948.50	3259.40	USD	-7,772.50	
10AUG17	S	1	PD10NOV17	2949.00	3259.40	USD	-7,760.00	
10AUG17	S	7	PD10NOV17	2945.00	3259.40	USD	-55,020.00	
10AUG17	S	3	PD10NOV17	2944.50	3259.40	USD	-23,617.50	
10AUG17	S	1	PD10NOV17	2937.50	3259.40	USD	-8,047.50	
10AUG17	S	1	PD10NOV17	2950.00	3259.40	USD	-7,735.00	
10AUG17	S	5	PD10NOV17	2942.00	3259.40	USD	-39,675.00	
10AUG17	S	1	PD10NOV17	2941.50	3259.40	USD	-7,947.50	
10AUG17	S	3	PD10NOV17	2943.00	3259.40	USD	-23,730.00	
10AUG17	S	3	PD10NOV17	2944.00	3259.40	USD	-23,655.00	
10AUG17	S	5	PD10NOV17	2942.00	3259.40	USD	-39,675.00	
10AUG17	S	3	PD10NOV17	2938.50	3259.40	USD	-24,067.50	
10AUG17	S	3	PD10NOV17	2939.00	3259.40	USD	-24,030.00	
10AUG17	S	2	PD10NOV17	2939.50	3259.40	USD	-15,995.00	
10AUG17	S	2	PD10NOV17	2940.00	3259.40	USD	-15,970.00	
10AUG17	B	1	PD10NOV17	2925.00	3259.40	USD	8,360.00	
10AUG17	B	1	PD10NOV17	2920.00	3259.40	USD	8,485.00	
10AUG17	B	1	PD10NOV17	2917.50	3259.40	USD	8,547.50	
10AUG17	B	2	PD10NOV17	2915.00	3259.40	USD	17,220.00	
10AUG17	B	1	PD10NOV17	2916.50	3259.40	USD	8,572.50	
10AUG17	S	1	PD10NOV17	2925.00	3259.40	USD	-8,360.00	
10AUG17	B	1	PD10NOV17	2922.50	3259.40	USD	8,422.50	
10AUG17	B	1	PD10NOV17	2918.50	3259.40	USD	8,522.50	
10AUG17	S	1	PD10NOV17	2909.00	3259.40	USD	-8,760.00	
10AUG17	S	1	PD10NOV17	2915.00	3259.40	USD	-8,610.00	
10AUG17	S	1	PD10NOV17	2916.50	3259.40	USD	-8,572.50	
10AUG17	B	1	PD10NOV17	2916.00	3259.40	USD	8,585.00	
10AUG17	B	4	PD10NOV17	2924.50	3259.40	USD	33,490.00	
10AUG17	B	1	PD10NOV17	2913.00	3259.40	USD	8,660.00	
10AUG17	B	1	PD10NOV17	2912.50	3259.40	USD	8,672.50	
10AUG17	B	1	PD10NOV17	2924.50	3259.40	USD	8,372.50	
10AUG17	B	1	PD10NOV17	2942.00	3259.40	USD	7,935.00	
10AUG17	B	1	PD10NOV17	2941.00	3259.40	USD	7,960.00	
10AUG17	S	1	PD10NOV17	2951.50	3259.40	USD	-7,697.50	
10AUG17	S	2	PD10NOV17	2952.50	3259.40	USD	-15,345.00	
10AUG17	S	2	PD10NOV17	2951.00	3259.40	USD	-15,420.00	
10AUG17	S	2	PD10NOV17	2952.00	3259.40	USD	-15,370.00	
10AUG17	S	1	PD10NOV17	2953.00	3259.40	USD	-7,660.00	
10AUG17	B	1	PD10NOV17	2955.00	3259.40	USD	7,610.00	
10AUG17	S	1	PD10NOV17	2955.00	3259.40	USD	-7,610.00	
10AUG17	S	1	PD10NOV17	2948.00	3259.40	USD	-7,785.00	
10AUG17	S	2	PD10NOV17	2943.50	3259.40	USD	-15,795.00	

OPEN POSITION STATEMENT



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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
10AUG17	B	1	PD10NOV17	2939.50	3259.40	USD	7,997.50	
10AUG17	S	2	PD10NOV17	2940.50	3259.40	USD	-15,945.00	
10AUG17	B	1	PD10NOV17	2929.50	3259.40	USD	8,247.50	
10AUG17	B	1	PD10NOV17	2930.00	3259.40	USD	8,235.00	
10AUG17	B	1	PD10NOV17	2927.00	3259.40	USD	8,310.00	
10AUG17	B	1	PD10NOV17	2926.00	3259.40	USD	8,335.00	
10AUG17	B	1	PD10NOV17	2925.50	3259.40	USD	8,347.50	
11AUG17	B	1	PD10NOV17	2908.50	3259.40	USD	8,772.50	
11AUG17	B	1	PD10NOV17	2907.50	3259.40	USD	8,797.50	
11AUG17	S	1	PD10NOV17	2906.00	3259.40	USD	-8,835.00	
11AUG17	S	1	PD10NOV17	2902.00	3259.40	USD	-8,935.00	
11AUG17	S	1	PD10NOV17	2911.00	3259.40	USD	-8,710.00	
11AUG17	B	1	PD10NOV17	2891.50	3259.40	USD	9,197.50	
11AUG17	B	1	PD10NOV17	2891.00	3259.40	USD	9,210.00	
11AUG17	B	1	PD10NOV17	2906.50	3259.40	USD	8,822.50	
11AUG17	B	1	PD10NOV17	2903.00	3259.40	USD	8,910.00	
11AUG17	B	2	PD10NOV17	2902.00	3259.40	USD	17,870.00	
11AUG17	B	1	PD10NOV17	2898.00	3259.40	USD	9,035.00	
11AUG17	B	1	PD10NOV17	2899.50	3259.40	USD	8,997.50	
11AUG17	B	2	PD10NOV17	2898.50	3259.40	USD	18,045.00	
11AUG17	B	1	PD10NOV17	2896.50	3259.40	USD	9,072.50	
11AUG17	B	1	PD10NOV17	2895.50	3259.40	USD	9,097.50	
11AUG17	B	2	PD10NOV17	2895.00	3259.40	USD	18,220.00	
11AUG17	B	1	PD10NOV17	2894.00	3259.40	USD	9,135.00	
11AUG17	B	1	PD10NOV17	2897.00	3259.40	USD	9,060.00	
11AUG17	B	2	PD10NOV17	2890.50	3259.40	USD	18,445.00	
11AUG17	B	1	PD10NOV17	2889.50	3259.40	USD	9,247.50	
11AUG17	B	4	PD10NOV17	2890.00	3259.40	USD	36,940.00	
11AUG17	S	4	PD10NOV17	2898.00	3259.40	USD	-36,140.00	
11AUG17	S	1	PD10NOV17	2902.50	3259.40	USD	-8,922.50	
11AUG17	S	5	PD10NOV17	2907.50	3259.40	USD	-43,987.50	
11AUG17	S	2	PD10NOV17	2908.00	3259.40	USD	-17,570.00	
11AUG17	S	1	PD10NOV17	2908.50	3259.40	USD	-8,772.50	
11AUG17	S	4	PD10NOV17	2913.00	3259.40	USD	-34,640.00	
11AUG17	S	2	PD10NOV17	2912.00	3259.40	USD	-17,370.00	
11AUG17	S	1	PD10NOV17	2914.00	3259.40	USD	-8,635.00	
11AUG17	S	2	PD10NOV17	2914.50	3259.40	USD	-17,245.00	
11AUG17	S	1	PD10NOV17	2915.00	3259.40	USD	-8,610.00	
11AUG17	S	1	PD10NOV17	2918.00	3259.40	USD	-8,535.00	
11AUG17	S	1	PD10NOV17	2918.50	3259.40	USD	-8,522.50	
11AUG17	S	1	PD10NOV17	2920.50	3259.40	USD	-8,472.50	
11AUG17	S	2	PD10NOV17	2916.00	3259.40	USD	-17,170.00	
11AUG17	S	2	PD10NOV17	2917.00	3259.40	USD	-17,120.00	
11AUG17	S	2	PD10NOV17	2915.50	3259.40	USD	-17,195.00	
11AUG17	S	1	PD10NOV17	2921.00	3259.40	USD	-8,460.00	
11AUG17	S	1	PD10NOV17	2923.00	3259.40	USD	-8,410.00	
11AUG17	S	1	PD10NOV17	2923.50	3259.40	USD	-8,397.50	
11AUG17	S	4	PD10NOV17	2919.00	3259.40	USD	-34,040.00	
11AUG17	S	2	PD10NOV17	2920.00	3259.40	USD	-16,970.00	
11AUG17	B	5	PD10NOV17	2922.00	3259.40	USD	42,175.00	
11AUG17	S	2	PD10NOV17	2919.50	3259.40	USD	-16,995.00	
11AUG17	S	1	PD10NOV17	2922.50	3259.40	USD	-8,422.50	
11AUG17	B	1	PD10NOV17	2912.50	3259.40	USD	8,672.50	
11AUG17	B	2	PD10NOV17	2905.50	3259.40	USD	17,695.00	
11AUG17	B	1	PD10NOV17	2907.00	3259.40	USD	8,810.00	
11AUG17	B	4	PD10NOV17	2905.00	3259.40	USD	35,440.00	
11AUG17	B	1	PD10NOV17	2904.00	3259.40	USD	8,885.00	

OPEN POSITION STATEMENT



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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
11AUG17	B	1	PD10NOV17	2913.50	3259.40	USD	8,647.50	
11AUG17	B	2	PD10NOV17	2913.00	3259.40	USD	17,320.00	
11AUG17	B	1	PD10NOV17	2915.00	3259.40	USD	8,610.00	
11AUG17	B	1	PD10NOV17	2911.50	3259.40	USD	8,697.50	
11AUG17	B	1	PD10NOV17	2909.50	3259.40	USD	8,747.50	
11AUG17	B	2	PD10NOV17	2910.00	3259.40	USD	17,470.00	
11AUG17	B	2	PD10NOV17	2909.00	3259.40	USD	17,520.00	
11AUG17	B	2	PD10NOV17	2906.00	3259.40	USD	17,670.00	
11AUG17	B	5	PD10NOV17	2904.50	3259.40	USD	44,362.50	
11AUG17	B	2	PD10NOV17	2905.50	3259.40	USD	17,695.00	
11AUG17	B	3	PD10NOV17	2902.50	3259.40	USD	26,767.50	
11AUG17	B	6	PD10NOV17	2903.50	3259.40	USD	53,385.00	
11AUG17	B	2	PD10NOV17	2894.50	3259.40	USD	18,245.00	
11AUG17	B	1	PD10NOV17	2892.00	3259.40	USD	9,185.00	
11AUG17	S	1	PD10NOV17	2904.50	3259.40	USD	-8,872.50	
11AUG17	S	1	PD10NOV17	2906.50	3259.40	USD	-8,822.50	
11AUG17	S	5	PD10NOV17	2904.00	3259.40	USD	-44,425.00	
11AUG17	S	2	PD10NOV17	2905.00	3259.40	USD	-17,720.00	
11AUG17	S	3	PD10NOV17	2909.00	3259.40	USD	-26,280.00	
11AUG17	S	2	PD10NOV17	2909.50	3259.40	USD	-17,495.00	
11AUG17	B	1	PD10NOV17	2912.00	3259.40	USD	8,685.00	
11AUG17	B	2	PD10NOV17	2909.00	3259.40	USD	17,520.00	
11AUG17	S	4	PD10NOV17	2910.00	3259.40	USD	-34,940.00	
11AUG17	B	3	PD10NOV17	2899.00	3259.40	USD	27,030.00	
11AUG17	B	4	PD10NOV17	2900.00	3259.40	USD	35,940.00	
11AUG17	B	3	PD10NOV17	2901.50	3259.40	USD	26,842.50	
11AUG17	B	3	PD10NOV17	2900.50	3259.40	USD	26,917.50	
11AUG17	S	1	PD10NOV17	2903.50	3259.40	USD	-8,897.50	
11AUG17	B	1	PD10NOV17	2902.50	3259.40	USD	8,922.50	
14AUG17	S	2	PD10NOV17	2916.16	3259.40	USD	-17,162.00	
22AUG17	B	8	PD10NOV17	3118.83	3259.40	USD	28,114.00	
	NET	0 AVG BGHT 2926.03	SOLD 2927.86				6,692.00	0.00
14AUG17	S	1	PD14NOV17	2910.50	3257.13	USD	-8,665.75	
14AUG17	S	1	PD14NOV17	2918.50	3257.13	USD	-8,465.75	
14AUG17	S	3	PD14NOV17	2920.00	3257.13	USD	-25,284.75	
14AUG17	B	4	PD14NOV17	2913.50	3257.13	USD	34,363.00	
14AUG17	B	1	PD14NOV17	2912.50	3257.13	USD	8,615.75	
14AUG17	B	1	PD14NOV17	2911.00	3257.13	USD	8,653.25	
14AUG17	B	3	PD14NOV17	2909.00	3257.13	USD	26,109.75	
14AUG17	B	1	PD14NOV17	2908.00	3257.13	USD	8,728.25	
14AUG17	B	1	PD14NOV17	2907.50	3257.13	USD	8,740.75	
14AUG17	B	3	PD14NOV17	2912.00	3257.13	USD	25,884.75	
14AUG17	S	1	PD14NOV17	2913.00	3257.13	USD	-8,603.25	
14AUG17	B	2	PD14NOV17	2915.00	3257.13	USD	17,106.50	
14AUG17	S	1	PD14NOV17	2910.00	3257.13	USD	-8,678.25	
14AUG17	S	1	PD14NOV17	2906.50	3257.13	USD	-8,765.75	
14AUG17	B	1	PD14NOV17	2905.50	3257.13	USD	8,790.75	
14AUG17	S	3	PD14NOV17	2912.00	3257.13	USD	-25,884.75	
14AUG17	S	3	PD14NOV17	2917.50	3257.13	USD	-25,472.25	
14AUG17	S	2	PD14NOV17	2915.00	3257.13	USD	-17,106.50	
14AUG17	B	1	PD14NOV17	2914.50	3257.13	USD	8,565.75	
14AUG17	S	1	PD14NOV17	2916.00	3257.13	USD	-8,528.25	
14AUG17	S	1	PD14NOV17	2917.00	3257.13	USD	-8,503.25	
14AUG17	B	2	PD14NOV17	2904.00	3257.13	USD	17,656.50	
14AUG17	B	4	PD14NOV17	2903.00	3257.13	USD	35,413.00	
14AUG17	S	2	PD14NOV17	2911.50	3257.13	USD	-17,281.50	
14AUG17	S	2	PD14NOV17	2912.50	3257.13	USD	-17,231.50	

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14AUG17	S	2	PD14NOV17	2913.50	3257.13	USD	-17,181.50	
14AUG17	S	1	PD14NOV17	2915.50	3257.13	USD	-8,540.75	
14AUG17	B	1	PD14NOV17	2903.50	3257.13	USD	8,840.75	
14AUG17	B	2	PD14NOV17	2905.00	3257.13	USD	17,606.50	
14AUG17	S	5	PD14NOV17	2914.00	3257.13	USD	-42,891.25	
14AUG17	S	1	PD14NOV17	2914.50	3257.13	USD	-8,565.75	
14AUG17	S	3	PD14NOV17	2916.50	3257.13	USD	-25,547.25	
14AUG17	B	2	PD14NOV17	2919.50	3257.13	USD	16,881.50	
14AUG17	B	1	PD14NOV17	2922.50	3257.13	USD	8,365.75	
14AUG17	B	1	PD14NOV17	2922.00	3257.13	USD	8,378.25	
14AUG17	B	2	PD14NOV17	2920.00	3257.13	USD	16,856.50	
14AUG17	B	1	PD14NOV17	2920.00	3257.13	USD	8,428.25	
14AUG17	S	1	PD14NOV17	2924.50	3257.13	USD	-8,315.75	
22AUG17	S	1	PD14NOV17	3119.17	3257.13	USD	-3,449.00	
29AUG17	B	2	PD14NOV17	3109.75	3257.13	USD	7,369.00	
	NET	0 AVG BGHT 2922.29	SOLD 2920.50				-1,608.25	0.00
15AUG17	S	1	PD15NOV17	2953.00	3256.00	USD	-7,575.00	
15AUG17	B	1	PD15NOV17	2940.00	3256.00	USD	7,900.00	
15AUG17	S	1	PD15NOV17	2960.00	3256.00	USD	-7,400.00	
15AUG17	B	2	PD15NOV17	2960.00	3256.00	USD	14,800.00	
15AUG17	B	1	PD15NOV17	2939.00	3256.00	USD	7,925.00	
15AUG17	B	1	PD15NOV17	2937.00	3256.00	USD	7,975.00	
15AUG17	S	1	PD15NOV17	2944.50	3256.00	USD	-7,787.50	
15AUG17	B	1	PD15NOV17	2951.00	3256.00	USD	7,625.00	
15AUG17	S	1	PD15NOV17	2957.00	3256.00	USD	-7,475.00	
15AUG17	B	1	PD15NOV17	2955.00	3256.00	USD	7,525.00	
22AUG17	S	3	PD15NOV17	3119.30	3256.00	USD	-10,252.50	
	NET	0 AVG BGHT 2948.86	SOLD 3024.63				13,260.00	0.00
21AUG17	S	1	PD21NOV17	3165.00	3249.25	USD	-2,106.25	
21AUG17	B	1	PD21NOV17	3155.00	3249.25	USD	2,356.25	
21AUG17	S	1	PD21NOV17	3170.00	3249.25	USD	-1,981.25	
21AUG17	S	1	PD21NOV17	3179.50	3249.25	USD	-1,743.75	
21AUG17	S	1	PD21NOV17	3171.50	3249.25	USD	-1,943.75	
21AUG17	B	2	PD21NOV17	3174.50	3249.25	USD	3,737.50	
21AUG17	B	6	PD21NOV17	3173.50	3249.25	USD	11,362.50	
21AUG17	S	4	PD21NOV17	3173.50	3249.25	USD	-7,575.00	
21AUG17	S	1	PD21NOV17	3172.50	3249.25	USD	-1,918.75	
21AUG17	S	2	PD21NOV17	3165.50	3249.25	USD	-4,187.50	
21AUG17	S	1	PD21NOV17	3166.50	3249.25	USD	-2,068.75	
21AUG17	S	2	PD21NOV17	3167.00	3249.25	USD	-4,112.50	
21AUG17	B	1	PD21NOV17	3171.50	3249.25	USD	1,943.75	
21AUG17	B	1	PD21NOV17	3165.00	3249.25	USD	2,106.25	
21AUG17	B	1	PD21NOV17	3164.50	3249.25	USD	2,118.75	
21AUG17	B	1	PD21NOV17	3160.50	3249.25	USD	2,218.75	
21AUG17	B	1	PD21NOV17	3146.00	3249.25	USD	2,581.25	
21AUG17	B	1	PD21NOV17	3148.50	3249.25	USD	2,518.75	
21AUG17	B	1	PD21NOV17	3156.00	3249.25	USD	2,331.25	
21AUG17	B	1	PD21NOV17	3150.00	3249.25	USD	2,481.25	
21AUG17	S	1	PD21NOV17	3145.00	3249.25	USD	-2,606.25	
21AUG17	B	2	PD21NOV17	3149.00	3249.25	USD	5,012.50	
21AUG17	B	1	PD21NOV17	3153.50	3249.25	USD	2,393.75	
21AUG17	B	3	PD21NOV17	3154.00	3249.25	USD	7,143.75	
21AUG17	S	1	PD21NOV17	3151.50	3249.25	USD	-2,443.75	
21AUG17	B	1	PD21NOV17	3133.00	3249.25	USD	2,906.25	
21AUG17	B	2	PD21NOV17	3150.50	3249.25	USD	4,937.50	
21AUG17	B	2	PD21NOV17	3149.50	3249.25	USD	4,987.50	
21AUG17	B	1	PD21NOV17	3147.00	3249.25	USD	2,556.25	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0112D

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

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FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
21AUG17	B	2	PD21NOV17	3151.00	3249.25	USD	4,912.50	
21AUG17	B	2	PD21NOV17	3143.50	3249.25	USD	5,287.50	
21AUG17	B	4	PD21NOV17	3146.50	3249.25	USD	10,275.00	
21AUG17	S	1	PD21NOV17	3141.00	3249.25	USD	-2,706.25	
21AUG17	S	2	PD21NOV17	3153.50	3249.25	USD	-4,787.50	
21AUG17	S	1	PD21NOV17	3134.00	3249.25	USD	-2,881.25	
21AUG17	B	1	PD21NOV17	3132.50	3249.25	USD	2,918.75	
21AUG17	S	2	PD21NOV17	3152.00	3249.25	USD	-4,862.50	
21AUG17	S	1	PD21NOV17	3150.00	3249.25	USD	-2,481.25	
21AUG17	S	1	PD21NOV17	3150.50	3249.25	USD	-2,468.75	
21AUG17	S	2	PD21NOV17	3141.50	3249.25	USD	-5,387.50	
21AUG17	S	3	PD21NOV17	3138.50	3249.25	USD	-8,306.25	
21AUG17	S	1	PD21NOV17	3145.50	3249.25	USD	-2,593.75	
21AUG17	S	1	PD21NOV17	3144.00	3249.25	USD	-2,631.25	
21AUG17	S	1	PD21NOV17	3143.00	3249.25	USD	-2,656.25	
21AUG17	B	2	PD21NOV17	3126.50	3249.25	USD	6,137.50	
21AUG17	S	9	PD21NOV17	3140.00	3249.25	USD	-24,581.25	
21AUG17	S	2	PD21NOV17	3143.50	3249.25	USD	-5,287.50	
21AUG17	S	3	PD21NOV17	3141.00	3249.25	USD	-8,118.75	
21AUG17	S	3	PD21NOV17	3139.50	3249.25	USD	-8,231.25	
21AUG17	S	2	PD21NOV17	3152.50	3249.25	USD	-4,837.50	
21AUG17	S	1	PD21NOV17	3156.00	3249.25	USD	-2,331.25	
21AUG17	S	3	PD21NOV17	3156.50	3249.25	USD	-6,956.25	
21AUG17	S	1	PD21NOV17	3158.00	3249.25	USD	-2,281.25	
21AUG17	B	1	PD21NOV17	3144.00	3249.25	USD	2,631.25	
21AUG17	B	1	PD21NOV17	3138.50	3249.25	USD	2,768.75	
21AUG17	B	1	PD21NOV17	3145.50	3249.25	USD	2,593.75	
21AUG17	B	1	PD21NOV17	3145.00	3249.25	USD	2,606.25	
21AUG17	B	1	PD21NOV17	3142.50	3249.25	USD	2,668.75	
21AUG17	B	1	PD21NOV17	3141.00	3249.25	USD	2,706.25	
21AUG17	B	4	PD21NOV17	3141.50	3249.25	USD	10,775.00	
21AUG17	B	1	PD21NOV17	3140.50	3249.25	USD	2,718.75	
21AUG17	B	1	PD21NOV17	3137.50	3249.25	USD	2,793.75	
21AUG17	B	1	PD21NOV17	3139.50	3249.25	USD	2,743.75	
21AUG17	B	1	PD21NOV17	3125.00	3249.25	USD	3,106.25	
21AUG17	B	2	PD21NOV17	3126.00	3249.25	USD	6,162.50	
21AUG17	B	1	PD21NOV17	3123.50	3249.25	USD	3,143.75	
21AUG17	B	1	PD21NOV17	3123.00	3249.25	USD	3,156.25	
21AUG17	B	3	PD21NOV17	3122.50	3249.25	USD	9,506.25	
21AUG17	B	4	PD21NOV17	3125.50	3249.25	USD	12,375.00	
21AUG17	S	1	PD21NOV17	3142.00	3249.25	USD	-2,681.25	
21AUG17	S	4	PD21NOV17	3139.00	3249.25	USD	-11,025.00	
21AUG17	S	1	PD21NOV17	3135.50	3249.25	USD	-2,843.75	
21AUG17	S	7	PD21NOV17	3138.00	3249.25	USD	-19,468.75	
21AUG17	S	2	PD21NOV17	3134.50	3249.25	USD	-5,737.50	
21AUG17	B	1	PD21NOV17	3132.00	3249.25	USD	2,931.25	
21AUG17	B	1	PD21NOV17	3130.50	3249.25	USD	2,968.75	
21AUG17	B	1	PD21NOV17	3134.00	3249.25	USD	2,881.25	
21AUG17	B	4	PD21NOV17	3134.50	3249.25	USD	11,475.00	
21AUG17	S	2	PD21NOV17	3137.00	3249.25	USD	-5,612.50	
21AUG17	S	4	PD21NOV17	3137.50	3249.25	USD	-11,175.00	
21AUG17	B	2	PD21NOV17	3124.50	3249.25	USD	6,237.50	
21AUG17	B	1	PD21NOV17	3122.00	3249.25	USD	3,181.25	
21AUG17	B	1	PD21NOV17	3116.00	3249.25	USD	3,331.25	
21AUG17	B	1	PD21NOV17	3115.50	3249.25	USD	3,343.75	
21AUG17	S	3	PD21NOV17	3117.50	3249.25	USD	-9,881.25	
21AUG17	S	3	PD21NOV17	3117.00	3249.25	USD	-9,918.75	

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
21AUG17	S	2	PD21NOV17	3115.50	3249.25	USD	-6,687.50	
21AUG17	S	2	PD21NOV17	3114.50	3249.25	USD	-6,737.50	
21AUG17	S	3	PD21NOV17	3116.50	3249.25	USD	-9,956.25	
21AUG17	S	1	PD21NOV17	3116.00	3249.25	USD	-3,331.25	
21AUG17	S	1	PD21NOV17	3126.00	3249.25	USD	-3,081.25	
21AUG17	S	1	PD21NOV17	3127.50	3249.25	USD	-3,043.75	
21AUG17	B	5	PD21NOV17	3129.50	3249.25	USD	14,968.75	
21AUG17	B	4	PD21NOV17	3129.00	3249.25	USD	12,025.00	
21AUG17	B	4	PD21NOV17	3128.50	3249.25	USD	12,075.00	
21AUG17	S	1	PD21NOV17	3129.50	3249.25	USD	-2,993.75	
21AUG17	S	1	PD21NOV17	3131.00	3249.25	USD	-2,956.25	
21AUG17	B	4	PD21NOV17	3128.00	3249.25	USD	12,125.00	
21AUG17	S	1	PD21NOV17	3115.50	3249.25	USD	-3,343.75	
21AUG17	B	2	PD21NOV17	3129.50	3249.25	USD	5,987.50	
22AUG17	S	7	PD21NOV17	3118.40	3249.25	USD	-22,898.75	
29AUG17	B	7	PD21NOV17	3108.14	3249.25	USD	24,694.25	
	NET	0 AVG BGHT 3138.22	SOLD 3140.34				5,458.00	0.00
22AUG17	S	1	PD22NOV17	3116.50	3248.38	USD	-3,297.00	
22AUG17	S	1	PD22NOV17	3121.00	3248.38	USD	-3,184.50	
22AUG17	B	2	PD22NOV17	3122.00	3248.38	USD	6,319.00	
22AUG17	S	1	PD22NOV17	3119.50	3248.38	USD	-3,222.00	
22AUG17	S	1	PD22NOV17	3128.50	3248.38	USD	-2,997.00	
22AUG17	S	1	PD22NOV17	3129.50	3248.38	USD	-2,972.00	
22AUG17	S	2	PD22NOV17	3134.50	3248.38	USD	-5,694.00	
22AUG17	B	4	PD22NOV17	3148.50	3248.38	USD	9,988.00	
22AUG17	B	3	PD22NOV17	3143.50	3248.38	USD	7,866.00	
22AUG17	S	2	PD22NOV17	3130.50	3248.38	USD	-5,894.00	
22AUG17	S	1	PD22NOV17	3135.50	3248.38	USD	-2,822.00	
22AUG17	S	1	PD22NOV17	3136.50	3248.38	USD	-2,797.00	
22AUG17	S	1	PD22NOV17	3138.50	3248.38	USD	-2,747.00	
22AUG17	S	3	PD22NOV17	3139.00	3248.38	USD	-8,203.50	
22AUG17	S	1	PD22NOV17	3137.50	3248.38	USD	-2,772.00	
22AUG17	S	4	PD22NOV17	3137.00	3248.38	USD	-11,138.00	
22AUG17	S	4	PD22NOV17	3138.00	3248.38	USD	-11,038.00	
22AUG17	S	1	PD22NOV17	3139.50	3248.38	USD	-2,722.00	
22AUG17	S	1	PD22NOV17	3147.50	3248.38	USD	-2,522.00	
22AUG17	S	1	PD22NOV17	3148.50	3248.38	USD	-2,497.00	
22AUG17	S	2	PD22NOV17	3150.00	3248.38	USD	-4,919.00	
22AUG17	S	1	PD22NOV17	3155.00	3248.38	USD	-2,334.50	
22AUG17	S	1	PD22NOV17	3156.50	3248.38	USD	-2,297.00	
22AUG17	S	1	PD22NOV17	3158.50	3248.38	USD	-2,247.00	
22AUG17	S	1	PD22NOV17	3162.00	3248.38	USD	-2,159.50	
22AUG17	S	1	PD22NOV17	3161.50	3248.38	USD	-2,172.00	
22AUG17	S	3	PD22NOV17	3161.00	3248.38	USD	-6,553.50	
22AUG17	S	1	PD22NOV17	3159.50	3248.38	USD	-2,222.00	
22AUG17	S	1	PD22NOV17	3152.50	3248.38	USD	-2,397.00	
22AUG17	S	1	PD22NOV17	3154.00	3248.38	USD	-2,359.50	
22AUG17	B	6	PD22NOV17	3145.50	3248.38	USD	15,432.00	
22AUG17	B	1	PD22NOV17	3144.50	3248.38	USD	2,597.00	
22AUG17	B	4	PD22NOV17	3143.00	3248.38	USD	10,538.00	
22AUG17	B	2	PD22NOV17	3142.00	3248.38	USD	5,319.00	
22AUG17	B	1	PD22NOV17	3145.00	3248.38	USD	2,584.50	
22AUG17	B	1	PD22NOV17	3149.00	3248.38	USD	2,484.50	
22AUG17	B	1	PD22NOV17	3148.00	3248.38	USD	2,509.50	
22AUG17	S	1	PD22NOV17	3120.00	3248.38	USD	-3,209.50	
22AUG17	S	1	PD22NOV17	3122.50	3248.38	USD	-3,147.00	
22AUG17	S	1	PD22NOV17	3147.50	3248.38	USD	-2,522.00	

OPEN POSITION STATEMENT



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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
22AUG17	S	2	PD22NOV17	3152.50	3248.38	USD	-4,794.00	
22AUG17	S	1	PD22NOV17	3149.50	3248.38	USD	-2,472.00	
22AUG17	B	1	PD22NOV17	3151.50	3248.38	USD	2,422.00	
22AUG17	B	2	PD22NOV17	3150.00	3248.38	USD	4,919.00	
22AUG17	B	2	PD22NOV17	3152.00	3248.38	USD	4,819.00	
22AUG17	S	2	PD22NOV17	3158.00	3248.38	USD	-4,519.00	
22AUG17	B	1	PD22NOV17	3157.50	3248.38	USD	2,272.00	
22AUG17	S	2	PD22NOV17	3163.00	3248.38	USD	-4,269.00	
22AUG17	B	2	PD22NOV17	3150.50	3248.38	USD	4,894.00	
22AUG17	B	1	PD22NOV17	3139.00	3248.38	USD	2,734.50	
22AUG17	B	2	PD22NOV17	3138.00	3248.38	USD	5,519.00	
22AUG17	B	1	PD22NOV17	3133.50	3248.38	USD	2,872.00	
22AUG17	B	1	PD22NOV17	3135.00	3248.38	USD	2,834.50	
22AUG17	B	1	PD22NOV17	3132.50	3248.38	USD	2,897.00	
22AUG17	B	1	PD22NOV17	3131.00	3248.38	USD	2,934.50	
22AUG17	B	1	PD22NOV17	3130.00	3248.38	USD	2,959.50	
22AUG17	S	1	PD22NOV17	3135.00	3248.38	USD	-2,834.50	
22AUG17	S	4	PD22NOV17	3136.00	3248.38	USD	-11,238.00	
22AUG17	B	2	PD22NOV17	3125.50	3248.38	USD	6,144.00	
22AUG17	B	2	PD22NOV17	3127.00	3248.38	USD	6,069.00	
22AUG17	B	2	PD22NOV17	3131.50	3248.38	USD	5,844.00	
22AUG17	B	1	PD22NOV17	3130.50	3248.38	USD	2,947.00	
22AUG17	B	1	PD22NOV17	3126.50	3248.38	USD	3,047.00	
22AUG17	B	2	PD22NOV17	3123.50	3248.38	USD	6,244.00	
22AUG17	B	1	PD22NOV17	3122.50	3248.38	USD	3,147.00	
22AUG17	B	1	PD22NOV17	3121.00	3248.38	USD	3,184.50	
22AUG17	B	1	PD22NOV17	3118.50	3248.38	USD	3,247.00	
22AUG17	B	1	PD22NOV17	3117.50	3248.38	USD	3,272.00	
22AUG17	B	3	PD22NOV17	3128.50	3248.38	USD	8,991.00	
22AUG17	B	4	PD22NOV17	3124.50	3248.38	USD	12,388.00	
22AUG17	B	2	PD22NOV17	3123.00	3248.38	USD	6,269.00	
22AUG17	B	4	PD22NOV17	3121.50	3248.38	USD	12,688.00	
22AUG17	B	1	PD22NOV17	3119.00	3248.38	USD	3,234.50	
22AUG17	B	2	PD22NOV17	3119.50	3248.38	USD	6,444.00	
22AUG17	B	3	PD22NOV17	3120.50	3248.38	USD	9,591.00	
22AUG17	B	1	PD22NOV17	3120.00	3248.38	USD	3,209.50	
22AUG17	B	3	PD22NOV17	3124.00	3248.38	USD	9,328.50	
22AUG17	B	2	PD22NOV17	3116.00	3248.38	USD	6,619.00	
22AUG17	B	2	PD22NOV17	3115.00	3248.38	USD	6,669.00	
22AUG17	B	1	PD22NOV17	3114.50	3248.38	USD	3,347.00	
22AUG17	S	1	PD22NOV17	3128.00	3248.38	USD	-3,009.50	
22AUG17	S	1	PD22NOV17	3113.50	3248.38	USD	-3,372.00	
22AUG17	S	1	PD22NOV17	3115.00	3248.38	USD	-3,334.50	
22AUG17	S	1	PD22NOV17	3117.50	3248.38	USD	-3,272.00	
22AUG17	B	1	PD22NOV17	3104.00	3248.38	USD	3,609.50	
22AUG17	B	1	PD22NOV17	3102.50	3248.38	USD	3,647.00	
22AUG17	S	1	PD22NOV17	3163.00	3248.38	USD	-2,134.50	
22AUG17	B	1	PD22NOV17	3151.00	3248.38	USD	2,434.50	
22AUG17	S	1	PD22NOV17	3120.50	3248.38	USD	-3,197.00	
22AUG17	S	1	PD22NOV17	3121.50	3248.38	USD	-3,172.00	
22AUG17	S	3	PD22NOV17	3122.00	3248.38	USD	-9,478.50	
29AUG17	S	9	PD22NOV17	3108.23	3248.38	USD	-31,533.75	
29AUG17	S	12	PD22NOV17	3108.43	3248.38	USD	-41,985.00	
	NET	0	AVG BGHT 3132.41	SOLD 3132.25			-344.25	0.00
23AUG17	S	1	PD24NOV17	3119.50	3247.50	USD	-3,200.00	
23AUG17	S	1	PD24NOV17	3118.50	3247.50	USD	-3,225.00	
23AUG17	S	1	PD24NOV17	3116.50	3247.50	USD	-3,275.00	

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23AUG17	S	1	PD24NOV17	3117.50	3247.50	USD	-3,250.00	
23AUG17	S	2	PD24NOV17	3118.00	3247.50	USD	-6,475.00	
23AUG17	S	4	PD24NOV17	3119.00	3247.50	USD	-12,850.00	
23AUG17	S	1	PD24NOV17	3117.00	3247.50	USD	-3,262.50	
23AUG17	S	1	PD24NOV17	3129.00	3247.50	USD	-2,962.50	
23AUG17	S	1	PD24NOV17	3133.00	3247.50	USD	-2,862.50	
23AUG17	S	1	PD24NOV17	3134.50	3247.50	USD	-2,825.00	
23AUG17	S	3	PD24NOV17	3135.00	3247.50	USD	-8,437.50	
23AUG17	B	1	PD24NOV17	3130.00	3247.50	USD	2,937.50	
23AUG17	B	4	PD24NOV17	3128.00	3247.50	USD	11,950.00	
23AUG17	B	1	PD24NOV17	3127.50	3247.50	USD	3,000.00	
23AUG17	B	1	PD24NOV17	3127.00	3247.50	USD	3,012.50	
23AUG17	B	2	PD24NOV17	3126.00	3247.50	USD	6,075.00	
23AUG17	B	1	PD24NOV17	3125.50	3247.50	USD	3,050.00	
23AUG17	S	3	PD24NOV17	3124.00	3247.50	USD	-9,262.50	
23AUG17	S	1	PD24NOV17	3123.00	3247.50	USD	-3,112.50	
23AUG17	S	1	PD24NOV17	3128.00	3247.50	USD	-2,987.50	
23AUG17	S	2	PD24NOV17	3127.00	3247.50	USD	-6,025.00	
23AUG17	S	1	PD24NOV17	3124.50	3247.50	USD	-3,075.00	
23AUG17	S	1	PD24NOV17	3125.50	3247.50	USD	-3,050.00	
23AUG17	S	3	PD24NOV17	3125.00	3247.50	USD	-9,187.50	
23AUG17	S	2	PD24NOV17	3126.00	3247.50	USD	-6,075.00	
23AUG17	S	1	PD24NOV17	3121.50	3247.50	USD	-3,150.00	
23AUG17	S	3	PD24NOV17	3120.50	3247.50	USD	-9,525.00	
23AUG17	S	1	PD24NOV17	3120.00	3247.50	USD	-3,187.50	
23AUG17	S	1	PD24NOV17	3121.00	3247.50	USD	-3,162.50	
23AUG17	B	1	PD24NOV17	3141.50	3247.50	USD	2,650.00	
23AUG17	S	1	PD24NOV17	3146.00	3247.50	USD	-2,537.50	
23AUG17	S	1	PD24NOV17	3143.50	3247.50	USD	-2,600.00	
23AUG17	S	1	PD24NOV17	3142.50	3247.50	USD	-2,625.00	
23AUG17	B	1	PD24NOV17	3136.00	3247.50	USD	2,787.50	
23AUG17	B	1	PD24NOV17	3135.00	3247.50	USD	2,812.50	
23AUG17	B	1	PD24NOV17	3134.50	3247.50	USD	2,825.00	
23AUG17	B	2	PD24NOV17	3137.50	3247.50	USD	5,500.00	
23AUG17	B	1	PD24NOV17	3138.50	3247.50	USD	2,725.00	
23AUG17	S	1	PD24NOV17	3138.50	3247.50	USD	-2,725.00	
23AUG17	S	1	PD24NOV17	3139.00	3247.50	USD	-2,712.50	
23AUG17	S	1	PD24NOV17	3120.50	3247.50	USD	-3,175.00	
23AUG17	B	1	PD24NOV17	3124.00	3247.50	USD	3,087.50	
23AUG17	B	6	PD24NOV17	3123.00	3247.50	USD	18,675.00	
23AUG17	B	2	PD24NOV17	3122.50	3247.50	USD	6,250.00	
23AUG17	B	1	PD24NOV17	3122.00	3247.50	USD	3,137.50	
23AUG17	B	1	PD24NOV17	3121.00	3247.50	USD	3,162.50	
23AUG17	B	1	PD24NOV17	3120.50	3247.50	USD	3,175.00	
23AUG17	B	2	PD24NOV17	3118.00	3247.50	USD	6,475.00	
23AUG17	B	3	PD24NOV17	3117.50	3247.50	USD	9,750.00	
23AUG17	B	4	PD24NOV17	3118.50	3247.50	USD	12,900.00	
23AUG17	B	2	PD24NOV17	3120.00	3247.50	USD	6,375.00	
23AUG17	B	6	PD24NOV17	3119.00	3247.50	USD	19,275.00	
23AUG17	B	3	PD24NOV17	3119.50	3247.50	USD	9,600.00	
23AUG17	B	1	PD24NOV17	3115.00	3247.50	USD	3,312.50	
23AUG17	B	1	PD24NOV17	3116.00	3247.50	USD	3,287.50	
23AUG17	B	1	PD24NOV17	3113.50	3247.50	USD	3,350.00	
23AUG17	B	1	PD24NOV17	3113.00	3247.50	USD	3,362.50	
23AUG17	B	1	PD24NOV17	3110.00	3247.50	USD	3,437.50	
23AUG17	B	2	PD24NOV17	3108.00	3247.50	USD	6,975.00	
23AUG17	B	1	PD24NOV17	3107.50	3247.50	USD	3,500.00	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0112D

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
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+44 (0) 20 7340 9081
backoffice@sapiencap.com

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
23AUG17	B	1	PD24NOV17	3106.00	3247.50	USD	3,537.50	
23AUG17	B	1	PD24NOV17	3098.00	3247.50	USD	3,737.50	
23AUG17	B	1	PD24NOV17	3097.50	3247.50	USD	3,750.00	
23AUG17	B	3	PD24NOV17	3094.00	3247.50	USD	11,512.50	
23AUG17	B	1	PD24NOV17	3092.00	3247.50	USD	3,887.50	
23AUG17	B	1	PD24NOV17	3088.50	3247.50	USD	3,975.00	
23AUG17	B	1	PD24NOV17	3090.00	3247.50	USD	3,937.50	
23AUG17	S	1	PD24NOV17	3096.50	3247.50	USD	-3,775.00	
23AUG17	B	2	PD24NOV17	3097.00	3247.50	USD	7,525.00	
23AUG17	S	1	PD24NOV17	3098.50	3247.50	USD	-3,725.00	
23AUG17	B	1	PD24NOV17	3098.50	3247.50	USD	3,725.00	
23AUG17	B	1	PD24NOV17	3096.50	3247.50	USD	3,775.00	
23AUG17	B	2	PD24NOV17	3093.50	3247.50	USD	7,700.00	
23AUG17	B	1	PD24NOV17	3095.50	3247.50	USD	3,800.00	
23AUG17	B	1	PD24NOV17	3096.00	3247.50	USD	3,787.50	
23AUG17	S	1	PD24NOV17	3104.00	3247.50	USD	-3,587.50	
23AUG17	B	4	PD24NOV17	3099.00	3247.50	USD	14,850.00	
23AUG17	B	2	PD24NOV17	3100.00	3247.50	USD	7,375.00	
23AUG17	S	1	PD24NOV17	3103.00	3247.50	USD	-3,612.50	
23AUG17	S	3	PD24NOV17	3105.00	3247.50	USD	-10,687.50	
23AUG17	S	1	PD24NOV17	3109.50	3247.50	USD	-3,450.00	
24AUG17	S	2	PD24NOV17	3111.50	3247.50	USD	-6,800.00	
24AUG17	B	1	PD24NOV17	3114.50	3247.50	USD	3,325.00	
24AUG17	B	1	PD24NOV17	3114.00	3247.50	USD	3,337.50	
24AUG17	B	1	PD24NOV17	3111.50	3247.50	USD	3,400.00	
24AUG17	B	1	PD24NOV17	3097.00	3247.50	USD	3,762.50	
24AUG17	S	1	PD24NOV17	3115.00	3247.50	USD	-3,312.50	
24AUG17	S	2	PD24NOV17	3109.00	3247.50	USD	-6,925.00	
24AUG17	S	1	PD24NOV17	3110.00	3247.50	USD	-3,437.50	
24AUG17	S	2	PD24NOV17	3109.50	3247.50	USD	-6,900.00	
24AUG17	S	2	PD24NOV17	3112.00	3247.50	USD	-6,775.00	
24AUG17	B	1	PD24NOV17	3101.00	3247.50	USD	3,662.50	
24AUG17	B	1	PD24NOV17	3103.50	3247.50	USD	3,600.00	
24AUG17	B	2	PD24NOV17	3104.50	3247.50	USD	7,150.00	
24AUG17	B	1	PD24NOV17	3115.00	3247.50	USD	3,312.50	
24AUG17	S	1	PD24NOV17	3115.50	3247.50	USD	-3,300.00	
24AUG17	S	2	PD24NOV17	3112.50	3247.50	USD	-6,750.00	
24AUG17	S	2	PD24NOV17	3113.50	3247.50	USD	-6,700.00	
24AUG17	S	1	PD24NOV17	3114.00	3247.50	USD	-3,337.50	
24AUG17	S	3	PD24NOV17	3114.50	3247.50	USD	-9,975.00	
24AUG17	S	2	PD24NOV17	3118.50	3247.50	USD	-6,450.00	
24AUG17	S	4	PD24NOV17	3116.50	3247.50	USD	-13,100.00	
24AUG17	S	3	PD24NOV17	3118.00	3247.50	USD	-9,712.50	
24AUG17	S	6	PD24NOV17	3117.50	3247.50	USD	-19,500.00	
24AUG17	S	4	PD24NOV17	3120.50	3247.50	USD	-12,700.00	
24AUG17	S	1	PD24NOV17	3120.00	3247.50	USD	-3,187.50	
24AUG17	S	7	PD24NOV17	3119.00	3247.50	USD	-22,487.50	
24AUG17	S	5	PD24NOV17	3116.00	3247.50	USD	-16,437.50	
24AUG17	S	6	PD24NOV17	3121.00	3247.50	USD	-18,975.00	
24AUG17	S	1	PD24NOV17	3123.50	3247.50	USD	-3,100.00	
24AUG17	S	1	PD24NOV17	3117.00	3247.50	USD	-3,262.50	
24AUG17	B	1	PD24NOV17	3117.00	3247.50	USD	3,262.50	
24AUG17	B	1	PD24NOV17	3133.00	3247.50	USD	2,862.50	
24AUG17	S	1	PD24NOV17	3132.00	3247.50	USD	-2,887.50	
24AUG17	B	1	PD24NOV17	3124.00	3247.50	USD	3,087.50	
24AUG17	B	1	PD24NOV17	3118.50	3247.50	USD	3,225.00	
24AUG17	B	5	PD24NOV17	3120.00	3247.50	USD	15,937.50	

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
24AUG17	B	2	PD24NOV17	3119.00	3247.50	USD	6,425.00	
24AUG17	B	2	PD24NOV17	3118.00	3247.50	USD	6,475.00	
24AUG17	B	1	PD24NOV17	3116.50	3247.50	USD	3,275.00	
24AUG17	B	1	PD24NOV17	3111.00	3247.50	USD	3,412.50	
24AUG17	B	1	PD24NOV17	3112.50	3247.50	USD	3,375.00	
24AUG17	B	5	PD24NOV17	3113.50	3247.50	USD	16,750.00	
24AUG17	B	1	PD24NOV17	3112.00	3247.50	USD	3,387.50	
24AUG17	B	1	PD24NOV17	3110.00	3247.50	USD	3,437.50	
24AUG17	B	3	PD24NOV17	3108.50	3247.50	USD	10,425.00	
24AUG17	B	1	PD24NOV17	3107.50	3247.50	USD	3,500.00	
24AUG17	B	3	PD24NOV17	3107.00	3247.50	USD	10,537.50	
24AUG17	B	1	PD24NOV17	3109.00	3247.50	USD	3,462.50	
24AUG17	B	2	PD24NOV17	3105.50	3247.50	USD	7,100.00	
24AUG17	B	2	PD24NOV17	3105.00	3247.50	USD	7,125.00	
24AUG17	B	2	PD24NOV17	3102.50	3247.50	USD	7,250.00	
24AUG17	B	3	PD24NOV17	3106.00	3247.50	USD	10,612.50	
25AUG17	S	3	PD24NOV17	3130.00	3247.50	USD	-8,812.50	
25AUG17	S	1	PD24NOV17	3122.00	3247.50	USD	-3,137.50	
25AUG17	S	1	PD24NOV17	3122.50	3247.50	USD	-3,125.00	
25AUG17	S	1	PD24NOV17	3123.00	3247.50	USD	-3,112.50	
25AUG17	S	1	PD24NOV17	3128.00	3247.50	USD	-2,987.50	
25AUG17	S	1	PD24NOV17	3125.50	3247.50	USD	-3,050.00	
25AUG17	S	1	PD24NOV17	3126.50	3247.50	USD	-3,025.00	
25AUG17	S	1	PD24NOV17	3132.00	3247.50	USD	-2,887.50	
25AUG17	B	1	PD24NOV17	3130.50	3247.50	USD	2,925.00	
25AUG17	S	3	PD24NOV17	3126.00	3247.50	USD	-9,112.50	
25AUG17	S	4	PD24NOV17	3131.50	3247.50	USD	-11,600.00	
25AUG17	S	1	PD24NOV17	3132.50	3247.50	USD	-2,875.00	
25AUG17	S	1	PD24NOV17	3133.00	3247.50	USD	-2,862.50	
25AUG17	S	1	PD24NOV17	3135.00	3247.50	USD	-2,812.50	
25AUG17	S	1	PD24NOV17	3135.50	3247.50	USD	-2,800.00	
25AUG17	S	3	PD24NOV17	3127.50	3247.50	USD	-9,000.00	
25AUG17	S	2	PD24NOV17	3128.50	3247.50	USD	-5,950.00	
25AUG17	S	1	PD24NOV17	3129.00	3247.50	USD	-2,962.50	
25AUG17	S	1	PD24NOV17	3130.50	3247.50	USD	-2,925.00	
25AUG17	S	2	PD24NOV17	3129.50	3247.50	USD	-5,900.00	
25AUG17	B	1	PD24NOV17	3113.00	3247.50	USD	3,362.50	
25AUG17	B	1	PD24NOV17	3109.00	3247.50	USD	3,462.50	
25AUG17	B	1	PD24NOV17	3107.50	3247.50	USD	3,500.00	
25AUG17	B	1	PD24NOV17	3108.00	3247.50	USD	3,487.50	
25AUG17	B	3	PD24NOV17	3110.50	3247.50	USD	10,275.00	
25AUG17	B	1	PD24NOV17	3110.00	3247.50	USD	3,437.50	
25AUG17	B	2	PD24NOV17	3096.00	3247.50	USD	7,575.00	
25AUG17	B	2	PD24NOV17	3083.50	3247.50	USD	8,200.00	
25AUG17	B	1	PD24NOV17	3078.00	3247.50	USD	4,237.50	
25AUG17	B	1	PD24NOV17	3078.50	3247.50	USD	4,225.00	
25AUG17	B	2	PD24NOV17	3079.50	3247.50	USD	8,400.00	
25AUG17	B	1	PD24NOV17	3064.50	3247.50	USD	4,575.00	
25AUG17	B	1	PD24NOV17	3066.00	3247.50	USD	4,537.50	
25AUG17	B	1	PD24NOV17	3077.00	3247.50	USD	4,262.50	
25AUG17	B	1	PD24NOV17	3068.50	3247.50	USD	4,475.00	
25AUG17	B	1	PD24NOV17	3066.50	3247.50	USD	4,525.00	
25AUG17	B	2	PD24NOV17	3065.00	3247.50	USD	9,125.00	
25AUG17	B	1	PD24NOV17	3070.50	3247.50	USD	4,425.00	
25AUG17	B	1	PD24NOV17	3068.00	3247.50	USD	4,487.50	
25AUG17	S	1	PD24NOV17	3079.00	3247.50	USD	-4,212.50	
25AUG17	S	2	PD24NOV17	3078.50	3247.50	USD	-8,450.00	

OPEN POSITION STATEMENT



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25AUG17	S	2	PD24NOV17	3079.50	3247.50	USD	-8,400.00	
25AUG17	S	1	PD24NOV17	3081.50	3247.50	USD	-4,150.00	
25AUG17	S	1	PD24NOV17	3080.00	3247.50	USD	-4,187.50	
25AUG17	S	2	PD24NOV17	3082.00	3247.50	USD	-8,275.00	
25AUG17	S	1	PD24NOV17	3086.50	3247.50	USD	-4,025.00	
25AUG17	S	1	PD24NOV17	3088.50	3247.50	USD	-3,975.00	
25AUG17	S	1	PD24NOV17	3090.00	3247.50	USD	-3,937.50	
25AUG17	S	2	PD24NOV17	3092.00	3247.50	USD	-7,775.00	
25AUG17	S	8	PD24NOV17	3090.50	3247.50	USD	-31,400.00	
25AUG17	S	4	PD24NOV17	3091.50	3247.50	USD	-15,600.00	
25AUG17	S	3	PD24NOV17	3092.50	3247.50	USD	-11,625.00	
25AUG17	S	2	PD24NOV17	3091.00	3247.50	USD	-7,825.00	
25AUG17	S	1	PD24NOV17	3089.50	3247.50	USD	-3,950.00	
25AUG17	B	1	PD24NOV17	3122.50	3247.50	USD	3,125.00	
25AUG17	B	1	PD24NOV17	3088.50	3247.50	USD	3,975.00	
25AUG17	B	2	PD24NOV17	3069.00	3247.50	USD	8,925.00	
25AUG17	B	2	PD24NOV17	3069.50	3247.50	USD	8,900.00	
25AUG17	B	1	PD24NOV17	3062.50	3247.50	USD	4,625.00	
25AUG17	B	1	PD24NOV17	3063.50	3247.50	USD	4,600.00	
25AUG17	B	1	PD24NOV17	3060.50	3247.50	USD	4,675.00	
25AUG17	B	1	PD24NOV17	3056.50	3247.50	USD	4,775.00	
25AUG17	B	1	PD24NOV17	3057.50	3247.50	USD	4,750.00	
25AUG17	S	1	PD24NOV17	3061.00	3247.50	USD	-4,662.50	
25AUG17	S	1	PD24NOV17	3062.00	3247.50	USD	-4,637.50	
25AUG17	B	1	PD24NOV17	3053.50	3247.50	USD	4,850.00	
25AUG17	S	2	PD24NOV17	3059.50	3247.50	USD	-9,400.00	
25AUG17	S	1	PD24NOV17	3064.50	3247.50	USD	-4,575.00	
25AUG17	S	1	PD24NOV17	3066.50	3247.50	USD	-4,525.00	
25AUG17	S	1	PD24NOV17	3068.00	3247.50	USD	-4,487.50	
25AUG17	S	1	PD24NOV17	3070.50	3247.50	USD	-4,425.00	
25AUG17	S	1	PD24NOV17	3065.50	3247.50	USD	-4,550.00	
29AUG17	B	12	PD24NOV17	3109.20	3247.50	USD	41,490.00	
06SEP17	B	3	PD24NOV17	3093.25	3247.50	USD	11,568.75	
	NET	0AVG BGHT 3106.51	SOLD 3112.63				27,883.75	0.00
29AUG17	S	1	PD29NOV17	3099.00	3246.75	USD	-3,693.75	
29AUG17	S	1	PD29NOV17	3097.50	3246.75	USD	-3,731.25	
29AUG17	S	2	PD29NOV17	3108.50	3246.75	USD	-6,912.50	
29AUG17	B	2	PD29NOV17	3112.50	3246.75	USD	6,712.50	
29AUG17	S	1	PD29NOV17	3105.50	3246.75	USD	-3,531.25	
29AUG17	S	1	PD29NOV17	3086.50	3246.75	USD	-4,006.25	
29AUG17	S	1	PD29NOV17	3090.00	3246.75	USD	-3,918.75	
29AUG17	S	1	PD29NOV17	3094.00	3246.75	USD	-3,818.75	
29AUG17	B	1	PD29NOV17	3096.00	3246.75	USD	3,768.75	
29AUG17	S	1	PD29NOV17	3098.50	3246.75	USD	-3,706.25	
29AUG17	S	1	PD29NOV17	3099.50	3246.75	USD	-3,681.25	
29AUG17	S	1	PD29NOV17	3100.50	3246.75	USD	-3,656.25	
29AUG17	S	1	PD29NOV17	3101.00	3246.75	USD	-3,643.75	
29AUG17	S	1	PD29NOV17	3105.00	3246.75	USD	-3,543.75	
29AUG17	S	2	PD29NOV17	3103.00	3246.75	USD	-7,187.50	
29AUG17	S	2	PD29NOV17	3104.50	3246.75	USD	-7,112.50	
29AUG17	B	1	PD29NOV17	3106.50	3246.75	USD	3,506.25	
29AUG17	B	1	PD29NOV17	3107.00	3246.75	USD	3,493.75	
29AUG17	S	1	PD29NOV17	3111.50	3246.75	USD	-3,381.25	
29AUG17	S	3	PD29NOV17	3112.50	3246.75	USD	-10,068.75	
29AUG17	S	1	PD29NOV17	3109.50	3246.75	USD	-3,431.25	
29AUG17	B	1	PD29NOV17	3094.50	3246.75	USD	3,806.25	
29AUG17	S	2	PD29NOV17	3112.00	3246.75	USD	-6,737.50	

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
29AUG17	S	1	PD29NOV17	3119.00	3246.75	USD	-3,193.75	
29AUG17	B	1	PD29NOV17	3115.00	3246.75	USD	3,293.75	
29AUG17	B	1	PD29NOV17	3114.50	3246.75	USD	3,306.25	
29AUG17	B	1	PD29NOV17	3113.00	3246.75	USD	3,343.75	
29AUG17	B	2	PD29NOV17	3118.50	3246.75	USD	6,412.50	
29AUG17	B	2	PD29NOV17	3118.00	3246.75	USD	6,437.50	
29AUG17	B	1	PD29NOV17	3115.50	3246.75	USD	3,281.25	
29AUG17	B	1	PD29NOV17	3120.50	3246.75	USD	3,156.25	
29AUG17	B	3	PD29NOV17	3121.00	3246.75	USD	9,431.25	
29AUG17	B	1	PD29NOV17	3120.00	3246.75	USD	3,168.75	
29AUG17	B	2	PD29NOV17	3122.00	3246.75	USD	6,237.50	
29AUG17	B	1	PD29NOV17	3113.50	3246.75	USD	3,331.25	
29AUG17	B	1	PD29NOV17	3121.00	3246.75	USD	3,143.75	
29AUG17	B	3	PD29NOV17	3120.00	3246.75	USD	9,506.25	
29AUG17	B	1	PD29NOV17	3105.50	3246.75	USD	3,531.25	
29AUG17	B	2	PD29NOV17	3099.00	3246.75	USD	7,387.50	
29AUG17	B	1	PD29NOV17	3102.00	3246.75	USD	3,618.75	
29AUG17	B	1	PD29NOV17	3097.50	3246.75	USD	3,731.25	
29AUG17	B	1	PD29NOV17	3099.50	3246.75	USD	3,681.25	
29AUG17	B	1	PD29NOV17	3109.50	3246.75	USD	3,431.25	
29AUG17	B	1	PD29NOV17	3108.50	3246.75	USD	3,456.25	
29AUG17	B	1	PD29NOV17	3110.50	3246.75	USD	3,406.25	
29AUG17	B	2	PD29NOV17	3111.50	3246.75	USD	6,762.50	
06SEP17	S	3	PD29NOV17	3093.05	3246.75	USD	-11,527.50	
06SEP17	S	9	PD29NOV17	3093.25	3246.75	USD	-34,537.50	
	NET	0 AVG	BGHT 3112.32	SOLD 3100.78			-10,677.50	0.00
30AUG17	S	1	PD30NOV17	3131.50	3246.50	USD	-2,875.00	
30AUG17	S	1	PD30NOV17	3132.00	3246.50	USD	-2,862.50	
30AUG17	S	1	PD30NOV17	3137.50	3246.50	USD	-2,725.00	
30AUG17	S	1	PD30NOV17	3139.50	3246.50	USD	-2,675.00	
30AUG17	S	2	PD30NOV17	3139.00	3246.50	USD	-5,375.00	
30AUG17	S	1	PD30NOV17	3141.00	3246.50	USD	-2,637.50	
30AUG17	S	2	PD30NOV17	3144.50	3246.50	USD	-5,100.00	
30AUG17	S	2	PD30NOV17	3145.00	3246.50	USD	-5,075.00	
30AUG17	B	1	PD30NOV17	3148.00	3246.50	USD	2,462.50	
30AUG17	S	3	PD30NOV17	3144.00	3246.50	USD	-7,687.50	
30AUG17	S	1	PD30NOV17	3147.50	3246.50	USD	-2,475.00	
30AUG17	S	1	PD30NOV17	3150.00	3246.50	USD	-2,412.50	
30AUG17	B	1	PD30NOV17	3151.00	3246.50	USD	2,387.50	
30AUG17	S	1	PD30NOV17	3151.50	3246.50	USD	-2,375.00	
30AUG17	S	1	PD30NOV17	3146.00	3246.50	USD	-2,512.50	
30AUG17	S	1	PD30NOV17	3151.00	3246.50	USD	-2,387.50	
30AUG17	S	2	PD30NOV17	3150.50	3246.50	USD	-4,800.00	
30AUG17	S	2	PD30NOV17	3148.50	3246.50	USD	-4,900.00	
30AUG17	S	2	PD30NOV17	3149.00	3246.50	USD	-4,875.00	
30AUG17	B	1	PD30NOV17	3141.50	3246.50	USD	2,625.00	
30AUG17	B	1	PD30NOV17	3144.00	3246.50	USD	2,562.50	
30AUG17	B	3	PD30NOV17	3142.50	3246.50	USD	7,800.00	
30AUG17	B	1	PD30NOV17	3142.00	3246.50	USD	2,612.50	
30AUG17	B	2	PD30NOV17	3139.50	3246.50	USD	5,350.00	
30AUG17	B	3	PD30NOV17	3139.00	3246.50	USD	8,062.50	
30AUG17	B	2	PD30NOV17	3136.50	3246.50	USD	5,500.00	
30AUG17	B	2	PD30NOV17	3137.50	3246.50	USD	5,450.00	
30AUG17	B	1	PD30NOV17	3136.00	3246.50	USD	2,762.50	
30AUG17	S	1	PD30NOV17	3134.00	3246.50	USD	-2,812.50	
30AUG17	S	2	PD30NOV17	3130.50	3246.50	USD	-5,800.00	
30AUG17	B	1	PD30NOV17	3121.00	3246.50	USD	3,137.50	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0112D

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
30AUG17	S	1	PD30NOV17	3126.00	3246.50	USD	-3,012.50	
30AUG17	S	1	PD30NOV17	3145.50	3246.50	USD	-2,525.00	
30AUG17	B	1	PD30NOV17	3144.50	3246.50	USD	2,550.00	
30AUG17	S	1	PD30NOV17	3147.50	3246.50	USD	-2,475.00	
30AUG17	B	1	PD30NOV17	3149.00	3246.50	USD	2,437.50	
30AUG17	S	1	PD30NOV17	3149.00	3246.50	USD	-2,437.50	
30AUG17	S	1	PD30NOV17	3124.00	3246.50	USD	-3,062.50	
30AUG17	S	2	PD30NOV17	3129.50	3246.50	USD	-5,850.00	
30AUG17	S	2	PD30NOV17	3128.50	3246.50	USD	-5,900.00	
30AUG17	S	2	PD30NOV17	3127.00	3246.50	USD	-5,975.00	
30AUG17	S	4	PD30NOV17	3128.00	3246.50	USD	-11,850.00	
30AUG17	S	5	PD30NOV17	3127.50	3246.50	USD	-14,875.00	
30AUG17	S	2	PD30NOV17	3124.50	3246.50	USD	-6,100.00	
30AUG17	B	2	PD30NOV17	3111.00	3246.50	USD	6,775.00	
30AUG17	S	2	PD30NOV17	3113.50	3246.50	USD	-6,650.00	
30AUG17	B	2	PD30NOV17	3110.00	3246.50	USD	6,825.00	
30AUG17	B	1	PD30NOV17	3109.00	3246.50	USD	3,437.50	
30AUG17	B	1	PD30NOV17	3108.50	3246.50	USD	3,450.00	
30AUG17	B	2	PD30NOV17	3106.50	3246.50	USD	7,000.00	
30AUG17	B	1	PD30NOV17	3102.50	3246.50	USD	3,600.00	
30AUG17	B	1	PD30NOV17	3102.00	3246.50	USD	3,612.50	
30AUG17	S	2	PD30NOV17	3104.00	3246.50	USD	-7,125.00	
30AUG17	S	1	PD30NOV17	3110.50	3246.50	USD	-3,400.00	
30AUG17	B	1	PD30NOV17	3106.00	3246.50	USD	3,512.50	
30AUG17	S	1	PD30NOV17	3108.50	3246.50	USD	-3,450.00	
30AUG17	S	1	PD30NOV17	3112.50	3246.50	USD	-3,350.00	
30AUG17	S	1	PD30NOV17	3128.00	3246.50	USD	-2,962.50	
30AUG17	B	1	PD30NOV17	3112.50	3246.50	USD	3,350.00	
30AUG17	B	1	PD30NOV17	3095.00	3246.50	USD	3,787.50	
30AUG17	B	1	PD30NOV17	3093.50	3246.50	USD	3,825.00	
30AUG17	B	1	PD30NOV17	3093.00	3246.50	USD	3,837.50	
30AUG17	B	2	PD30NOV17	3095.50	3246.50	USD	7,550.00	
30AUG17	B	1	PD30NOV17	3094.00	3246.50	USD	3,812.50	
30AUG17	B	2	PD30NOV17	3094.50	3246.50	USD	7,600.00	
30AUG17	B	1	PD30NOV17	3092.00	3246.50	USD	3,862.50	
30AUG17	B	1	PD30NOV17	3091.50	3246.50	USD	3,875.00	
30AUG17	B	2	PD30NOV17	3097.00	3246.50	USD	7,475.00	
30AUG17	S	1	PD30NOV17	3096.50	3246.50	USD	-3,750.00	
30AUG17	S	1	PD30NOV17	3093.50	3246.50	USD	-3,825.00	
30AUG17	S	1	PD30NOV17	3092.50	3246.50	USD	-3,850.00	
30AUG17	B	1	PD30NOV17	3084.50	3246.50	USD	4,050.00	
30AUG17	B	1	PD30NOV17	3086.00	3246.50	USD	4,012.50	
30AUG17	S	1	PD30NOV17	3086.00	3246.50	USD	-4,012.50	
30AUG17	S	3	PD30NOV17	3085.00	3246.50	USD	-12,112.50	
30AUG17	B	1	PD30NOV17	3090.00	3246.50	USD	3,912.50	
30AUG17	B	1	PD30NOV17	3096.00	3246.50	USD	3,762.50	
30AUG17	B	1	PD30NOV17	3095.50	3246.50	USD	3,775.00	
30AUG17	S	1	PD30NOV17	3084.00	3246.50	USD	-4,062.50	
30AUG17	S	1	PD30NOV17	3084.50	3246.50	USD	-4,050.00	
31AUG17	S	1	PD30NOV17	3110.00	3246.50	USD	-3,412.50	
31AUG17	S	1	PD30NOV17	3109.00	3246.50	USD	-3,437.50	
31AUG17	S	2	PD30NOV17	3109.50	3246.50	USD	-6,850.00	
31AUG17	B	1	PD30NOV17	3109.00	3246.50	USD	3,437.50	
31AUG17	S	1	PD30NOV17	3103.00	3246.50	USD	-3,587.50	
31AUG17	S	2	PD30NOV17	3103.50	3246.50	USD	-7,150.00	
31AUG17	S	1	PD30NOV17	3102.50	3246.50	USD	-3,600.00	
31AUG17	S	1	PD30NOV17	3106.50	3246.50	USD	-3,500.00	

OPEN POSITION STATEMENT



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13th October 2017

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
31AUG17	S	2	PD30NOV17	3105.00	3246.50	USD	-7,075.00	
31AUG17	S	2	PD30NOV17	3105.50	3246.50	USD	-7,050.00	
31AUG17	S	1	PD30NOV17	3107.00	3246.50	USD	-3,487.50	
31AUG17	S	1	PD30NOV17	3107.50	3246.50	USD	-3,475.00	
31AUG17	S	4	PD30NOV17	3106.00	3246.50	USD	-14,050.00	
31AUG17	S	1	PD30NOV17	3123.50	3246.50	USD	-3,075.00	
31AUG17	S	3	PD30NOV17	3119.50	3246.50	USD	-9,525.00	
31AUG17	S	1	PD30NOV17	3120.50	3246.50	USD	-3,150.00	
31AUG17	S	3	PD30NOV17	3114.50	3246.50	USD	-9,900.00	
31AUG17	S	1	PD30NOV17	3115.50	3246.50	USD	-3,275.00	
31AUG17	S	2	PD30NOV17	3115.00	3246.50	USD	-6,575.00	
31AUG17	S	2	PD30NOV17	3121.00	3246.50	USD	-6,275.00	
31AUG17	S	1	PD30NOV17	3122.50	3246.50	USD	-3,100.00	
31AUG17	S	1	PD30NOV17	3124.00	3246.50	USD	-3,062.50	
31AUG17	S	5	PD30NOV17	3124.50	3246.50	USD	-15,250.00	
31AUG17	S	1	PD30NOV17	3125.50	3246.50	USD	-3,025.00	
31AUG17	S	1	PD30NOV17	3125.00	3246.50	USD	-3,037.50	
31AUG17	B	1	PD30NOV17	3130.00	3246.50	USD	2,912.50	
31AUG17	S	2	PD30NOV17	3130.00	3246.50	USD	-5,825.00	
31AUG17	S	1	PD30NOV17	3126.00	3246.50	USD	-3,012.50	
31AUG17	B	1	PD30NOV17	3128.00	3246.50	USD	2,962.50	
31AUG17	S	2	PD30NOV17	3129.50	3246.50	USD	-5,850.00	
31AUG17	S	1	PD30NOV17	3131.00	3246.50	USD	-2,887.50	
31AUG17	S	1	PD30NOV17	3126.50	3246.50	USD	-3,000.00	
31AUG17	S	1	PD30NOV17	3124.50	3246.50	USD	-3,050.00	
31AUG17	B	1	PD30NOV17	3124.50	3246.50	USD	3,050.00	
31AUG17	B	1	PD30NOV17	3126.00	3246.50	USD	3,012.50	
31AUG17	S	1	PD30NOV17	3128.00	3246.50	USD	-2,962.50	
31AUG17	S	1	PD30NOV17	3126.00	3246.50	USD	-3,012.50	
31AUG17	S	1	PD30NOV17	3126.50	3246.50	USD	-3,000.00	
31AUG17	S	1	PD30NOV17	3128.50	3246.50	USD	-2,950.00	
31AUG17	S	1	PD30NOV17	3135.00	3246.50	USD	-2,787.50	
31AUG17	S	2	PD30NOV17	3141.50	3246.50	USD	-5,250.00	
31AUG17	S	2	PD30NOV17	3122.00	3246.50	USD	-6,225.00	
31AUG17	S	1	PD30NOV17	3159.50	3246.50	USD	-2,175.00	
31AUG17	B	1	PD30NOV17	3156.00	3246.50	USD	2,262.50	
31AUG17	B	1	PD30NOV17	3157.50	3246.50	USD	2,225.00	
31AUG17	B	1	PD30NOV17	3158.00	3246.50	USD	2,212.50	
31AUG17	B	1	PD30NOV17	3149.50	3246.50	USD	2,425.00	
31AUG17	B	1	PD30NOV17	3148.00	3246.50	USD	2,462.50	
31AUG17	S	1	PD30NOV17	3147.50	3246.50	USD	-2,475.00	
31AUG17	B	1	PD30NOV17	3147.00	3246.50	USD	2,487.50	
31AUG17	B	2	PD30NOV17	3145.00	3246.50	USD	5,075.00	
31AUG17	B	1	PD30NOV17	3144.00	3246.50	USD	2,562.50	
31AUG17	B	1	PD30NOV17	3142.50	3246.50	USD	2,600.00	
31AUG17	B	1	PD30NOV17	3142.00	3246.50	USD	2,612.50	
31AUG17	B	1	PD30NOV17	3141.50	3246.50	USD	2,625.00	
31AUG17	B	1	PD30NOV17	3138.50	3246.50	USD	2,700.00	
31AUG17	B	1	PD30NOV17	3133.50	3246.50	USD	2,825.00	
31AUG17	B	1	PD30NOV17	3129.50	3246.50	USD	2,925.00	
31AUG17	B	1	PD30NOV17	3132.00	3246.50	USD	2,862.50	
31AUG17	B	2	PD30NOV17	3132.50	3246.50	USD	5,700.00	
31AUG17	B	1	PD30NOV17	3134.00	3246.50	USD	2,812.50	
31AUG17	B	3	PD30NOV17	3133.00	3246.50	USD	8,512.50	
31AUG17	B	1	PD30NOV17	3137.50	3246.50	USD	2,725.00	
31AUG17	B	1	PD30NOV17	3139.50	3246.50	USD	2,675.00	
31AUG17	S	1	PD30NOV17	3147.00	3246.50	USD	-2,487.50	

OPEN POSITION STATEMENT



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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
31AUG17	B	2	PD30NOV17	3134.50	3246.50	USD	5,600.00	
31AUG17	B	3	PD30NOV17	3137.00	3246.50	USD	8,212.50	
31AUG17	B	6	PD30NOV17	3136.00	3246.50	USD	16,575.00	
31AUG17	B	1	PD30NOV17	3140.00	3246.50	USD	2,662.50	
31AUG17	B	1	PD30NOV17	3139.00	3246.50	USD	2,687.50	
31AUG17	B	2	PD30NOV17	3154.00	3246.50	USD	4,625.00	
31AUG17	B	1	PD30NOV17	3155.00	3246.50	USD	2,287.50	
31AUG17	B	2	PD30NOV17	3154.50	3246.50	USD	4,600.00	
31AUG17	B	2	PD30NOV17	3159.00	3246.50	USD	4,375.00	
31AUG17	B	2	PD30NOV17	3160.50	3246.50	USD	4,300.00	
31AUG17	B	1	PD30NOV17	3160.00	3246.50	USD	2,162.50	
06SEP17	B	9	PD30NOV17	3093.70	3246.50	USD	34,380.00	
06SEP17	B	17	PD30NOV17	3093.50	3246.50	USD	65,025.00	
	NET	0 AVG	BGHT 3121.95				6,655.00	0.00
			SOLD 3124.03					
01SEP17	B	2	PD01DEC17	3144.50	3246.25	USD	5,087.50	
01SEP17	B	12	PD01DEC17	3145.00	3246.25	USD	30,375.00	
01SEP17	S	3	PD01DEC17	3156.50	3246.25	USD	-6,731.25	
01SEP17	B	1	PD01DEC17	3150.00	3246.25	USD	2,406.25	
01SEP17	S	2	PD01DEC17	3157.00	3246.25	USD	-4,462.50	
01SEP17	S	3	PD01DEC17	3161.50	3246.25	USD	-6,356.25	
01SEP17	S	3	PD01DEC17	3162.00	3246.25	USD	-6,318.75	
01SEP17	S	1	PD01DEC17	3163.00	3246.25	USD	-2,081.25	
01SEP17	S	1	PD01DEC17	3164.50	3246.25	USD	-2,043.75	
01SEP17	S	1	PD01DEC17	3167.00	3246.25	USD	-1,981.25	
01SEP17	S	2	PD01DEC17	3171.50	3246.25	USD	-3,737.50	
01SEP17	S	2	PD01DEC17	3172.00	3246.25	USD	-3,712.50	
01SEP17	S	1	PD01DEC17	3172.50	3246.25	USD	-1,843.75	
01SEP17	S	1	PD01DEC17	3173.50	3246.25	USD	-1,818.75	
01SEP17	S	1	PD01DEC17	3174.00	3246.25	USD	-1,806.25	
01SEP17	S	3	PD01DEC17	3173.00	3246.25	USD	-5,493.75	
01SEP17	S	2	PD01DEC17	3170.50	3246.25	USD	-3,787.50	
01SEP17	S	2	PD01DEC17	3168.00	3246.25	USD	-3,912.50	
01SEP17	S	1	PD01DEC17	3165.00	3246.25	USD	-2,031.25	
01SEP17	B	1	PD01DEC17	3157.50	3246.25	USD	2,218.75	
01SEP17	B	1	PD01DEC17	3161.00	3246.25	USD	2,131.25	
01SEP17	B	1	PD01DEC17	3160.00	3246.25	USD	2,156.25	
01SEP17	B	3	PD01DEC17	3154.00	3246.25	USD	6,918.75	
01SEP17	B	3	PD01DEC17	3155.50	3246.25	USD	6,806.25	
01SEP17	B	8	PD01DEC17	3155.00	3246.25	USD	18,250.00	
01SEP17	B	2	PD01DEC17	3145.00	3246.25	USD	5,062.50	
01SEP17	S	1	PD01DEC17	3162.50	3246.25	USD	-2,093.75	
01SEP17	S	1	PD01DEC17	3164.00	3246.25	USD	-2,056.25	
01SEP17	S	1	PD01DEC17	3160.00	3246.25	USD	-2,156.25	
01SEP17	B	1	PD01DEC17	3160.00	3246.25	USD	2,156.25	
01SEP17	B	4	PD01DEC17	3152.00	3246.25	USD	9,425.00	
01SEP17	B	1	PD01DEC17	3146.50	3246.25	USD	2,493.75	
01SEP17	B	3	PD01DEC17	3147.00	3246.25	USD	7,443.75	
01SEP17	B	2	PD01DEC17	3151.00	3246.25	USD	4,762.50	
01SEP17	B	1	PD01DEC17	3149.50	3246.25	USD	2,418.75	
01SEP17	B	1	PD01DEC17	3148.50	3246.25	USD	2,443.75	
01SEP17	B	1	PD01DEC17	3145.50	3246.25	USD	2,518.75	
01SEP17	B	1	PD01DEC17	3148.00	3246.25	USD	2,456.25	
01SEP17	B	5	PD01DEC17	3149.00	3246.25	USD	12,156.25	
01SEP17	S	1	PD01DEC17	3153.00	3246.25	USD	-2,331.25	
01SEP17	B	2	PD01DEC17	3151.50	3246.25	USD	4,737.50	
01SEP17	S	1	PD01DEC17	3155.00	3246.25	USD	-2,281.25	
01SEP17	S	2	PD01DEC17	3158.00	3246.25	USD	-4,412.50	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0112D

13th October 2017

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Suite A, 105 Piccadilly
London W1J 7NJ UK
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backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
01SEP17	S	4	PD01DEC17	3164.00	3246.25	USD	-8,225.00	
01SEP17	S	2	PD01DEC17	3158.50	3246.25	USD	-4,387.50	
01SEP17	S	1	PD01DEC17	3160.00	3246.25	USD	-2,156.25	
01SEP17	S	3	PD01DEC17	3157.50	3246.25	USD	-6,656.25	
01SEP17	S	2	PD01DEC17	3161.00	3246.25	USD	-4,262.50	
01SEP17	S	2	PD01DEC17	3159.50	3246.25	USD	-4,337.50	
01SEP17	S	2	PD01DEC17	3159.00	3246.25	USD	-4,362.50	
01SEP17	S	2	PD01DEC17	3166.50	3246.25	USD	-3,987.50	
01SEP17	S	3	PD01DEC17	3163.50	3246.25	USD	-6,206.25	
01SEP17	S	3	PD01DEC17	3160.50	3246.25	USD	-6,431.25	
01SEP17	S	2	PD01DEC17	3166.00	3246.25	USD	-4,012.50	
01SEP17	S	2	PD01DEC17	3167.50	3246.25	USD	-3,937.50	
01SEP17	S	1	PD01DEC17	3165.50	3246.25	USD	-2,018.75	
01SEP17	B	1	PD01DEC17	3171.00	3246.25	USD	1,881.25	
01SEP17	B	1	PD01DEC17	3174.00	3246.25	USD	1,806.25	
01SEP17	B	1	PD01DEC17	3174.50	3246.25	USD	1,793.75	
01SEP17	B	3	PD01DEC17	3173.00	3246.25	USD	5,493.75	
01SEP17	B	2	PD01DEC17	3171.50	3246.25	USD	3,737.50	
01SEP17	B	2	PD01DEC17	3172.00	3246.25	USD	3,712.50	
01SEP17	S	1	PD01DEC17	3170.00	3246.25	USD	-1,906.25	
01SEP17	S	2	PD01DEC17	3178.50	3246.25	USD	-3,387.50	
01SEP17	S	1	PD01DEC17	3176.50	3246.25	USD	-1,743.75	
01SEP17	S	1	PD01DEC17	3176.00	3246.25	USD	-1,756.25	
01SEP17	B	2	PD01DEC17	3170.00	3246.25	USD	3,812.50	
01SEP17	S	2	PD01DEC17	3179.00	3246.25	USD	-3,362.50	
01SEP17	S	1	PD01DEC17	3181.00	3246.25	USD	-1,631.25	
01SEP17	S	1	PD01DEC17	3182.50	3246.25	USD	-1,593.75	
01SEP17	S	2	PD01DEC17	3184.00	3246.25	USD	-3,112.50	
01SEP17	S	3	PD01DEC17	3185.00	3246.25	USD	-4,593.75	
01SEP17	B	2	PD01DEC17	3193.00	3246.25	USD	2,662.50	
01SEP17	S	1	PD01DEC17	3197.00	3246.25	USD	-1,231.25	
01SEP17	S	2	PD01DEC17	3198.00	3246.25	USD	-2,412.50	
01SEP17	B	3	PD01DEC17	3202.00	3246.25	USD	3,318.75	
01SEP17	B	1	PD01DEC17	3208.00	3246.25	USD	956.25	
01SEP17	S	2	PD01DEC17	3209.00	3246.25	USD	-1,862.50	
01SEP17	B	1	PD01DEC17	3206.00	3246.25	USD	1,006.25	
01SEP17	B	1	PD01DEC17	3204.00	3246.25	USD	1,056.25	
01SEP17	B	6	PD01DEC17	3207.50	3246.25	USD	5,812.50	
01SEP17	B	1	PD01DEC17	3208.50	3246.25	USD	943.75	
01SEP17	B	1	PD01DEC17	3209.00	3246.25	USD	931.25	
01SEP17	B	1	PD01DEC17	3207.50	3246.25	USD	968.75	
01SEP17	B	2	PD01DEC17	3208.00	3246.25	USD	1,912.50	
01SEP17	B	1	PD01DEC17	3209.00	3246.25	USD	931.25	
06SEP17	S	4	PD01DEC17	3093.55	3246.25	USD	-15,270.00	
	NET	0AVG BGHT 3165.72	SOLD 3165.21				-1,132.50	0.00
04SEP17	B	1	PD04DEC17	3217.00	3246.00	USD	725.00	
04SEP17	S	1	PD04DEC17	3200.00	3246.00	USD	-1,150.00	
04SEP17	B	1	PD04DEC17	3193.50	3246.00	USD	1,312.50	
04SEP17	B	1	PD04DEC17	3195.00	3246.00	USD	1,275.00	
04SEP17	B	1	PD04DEC17	3198.00	3246.00	USD	1,200.00	
04SEP17	B	2	PD04DEC17	3199.50	3246.00	USD	2,325.00	
04SEP17	B	3	PD04DEC17	3200.00	3246.00	USD	3,450.00	
04SEP17	S	2	PD04DEC17	3194.00	3246.00	USD	-2,600.00	
04SEP17	B	1	PD04DEC17	3193.00	3246.00	USD	1,325.00	
04SEP17	B	1	PD04DEC17	3191.00	3246.00	USD	1,375.00	
04SEP17	S	1	PD04DEC17	3189.00	3246.00	USD	-1,425.00	
04SEP17	S	1	PD04DEC17	3190.50	3246.00	USD	-1,387.50	

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
04SEP17	S	4	PD04DEC17	3189.50	3246.00	USD	-5,650.00	
04SEP17	S	1	PD04DEC17	3190.00	3246.00	USD	-1,400.00	
04SEP17	S	1	PD04DEC17	3192.00	3246.00	USD	-1,350.00	
04SEP17	S	3	PD04DEC17	3195.50	3246.00	USD	-3,787.50	
04SEP17	S	1	PD04DEC17	3195.00	3246.00	USD	-1,275.00	
04SEP17	B	1	PD04DEC17	3189.50	3246.00	USD	1,412.50	
04SEP17	B	1	PD04DEC17	3186.00	3246.00	USD	1,500.00	
04SEP17	B	1	PD04DEC17	3185.50	3246.00	USD	1,512.50	
04SEP17	B	1	PD04DEC17	3185.00	3246.00	USD	1,525.00	
04SEP17	B	3	PD04DEC17	3184.50	3246.00	USD	4,612.50	
04SEP17	B	2	PD04DEC17	3183.50	3246.00	USD	3,125.00	
04SEP17	B	1	PD04DEC17	3183.00	3246.00	USD	1,575.00	
04SEP17	B	1	PD04DEC17	3182.50	3246.00	USD	1,587.50	
04SEP17	B	1	PD04DEC17	3179.00	3246.00	USD	1,675.00	
04SEP17	B	3	PD04DEC17	3177.50	3246.00	USD	5,137.50	
04SEP17	B	2	PD04DEC17	3176.50	3246.00	USD	3,475.00	
04SEP17	B	1	PD04DEC17	3175.50	3246.00	USD	1,762.50	
04SEP17	S	1	PD04DEC17	3174.50	3246.00	USD	-1,787.50	
04SEP17	S	1	PD04DEC17	3174.00	3246.00	USD	-1,800.00	
04SEP17	B	1	PD04DEC17	3177.00	3246.00	USD	1,725.00	
04SEP17	S	4	PD04DEC17	3176.50	3246.00	USD	-6,950.00	
04SEP17	S	1	PD04DEC17	3180.50	3246.00	USD	-1,637.50	
04SEP17	S	1	PD04DEC17	3181.00	3246.00	USD	-1,625.00	
04SEP17	S	1	PD04DEC17	3181.50	3246.00	USD	-1,612.50	
04SEP17	S	2	PD04DEC17	3182.00	3246.00	USD	-3,200.00	
04SEP17	S	3	PD04DEC17	3183.50	3246.00	USD	-4,687.50	
04SEP17	S	1	PD04DEC17	3185.00	3246.00	USD	-1,525.00	
04SEP17	S	2	PD04DEC17	3185.50	3246.00	USD	-3,025.00	
04SEP17	S	1	PD04DEC17	3184.00	3246.00	USD	-1,550.00	
04SEP17	S	2	PD04DEC17	3188.50	3246.00	USD	-2,875.00	
04SEP17	S	3	PD04DEC17	3193.00	3246.00	USD	-3,975.00	
04SEP17	S	2	PD04DEC17	3193.50	3246.00	USD	-2,625.00	
04SEP17	S	1	PD04DEC17	3196.00	3246.00	USD	-1,250.00	
04SEP17	S	1	PD04DEC17	3197.50	3246.00	USD	-1,212.50	
04SEP17	S	4	PD04DEC17	3194.50	3246.00	USD	-5,150.00	
04SEP17	B	1	PD04DEC17	3175.00	3246.00	USD	1,775.00	
04SEP17	S	2	PD04DEC17	3182.00	3246.00	USD	-3,200.00	
04SEP17	S	2	PD04DEC17	3183.00	3246.00	USD	-3,150.00	
04SEP17	S	1	PD04DEC17	3182.50	3246.00	USD	-1,587.50	
04SEP17	S	1	PD04DEC17	3184.50	3246.00	USD	-1,537.50	
04SEP17	S	2	PD04DEC17	3191.00	3246.00	USD	-2,750.00	
04SEP17	B	1	PD04DEC17	3192.50	3246.00	USD	1,337.50	
04SEP17	S	1	PD04DEC17	3198.00	3246.00	USD	-1,200.00	
04SEP17	B	5	PD04DEC17	3197.50	3246.00	USD	6,062.50	
04SEP17	S	2	PD04DEC17	3206.50	3246.00	USD	-1,975.00	
04SEP17	S	1	PD04DEC17	3213.00	3246.00	USD	-825.00	
04SEP17	S	1	PD04DEC17	3198.00	3246.00	USD	-1,200.00	
04SEP17	B	5	PD04DEC17	3206.50	3246.00	USD	4,937.50	
04SEP17	B	2	PD04DEC17	3205.50	3246.00	USD	2,025.00	
04SEP17	B	7	PD04DEC17	3206.00	3246.00	USD	7,000.00	
04SEP17	B	4	PD04DEC17	3205.00	3246.00	USD	4,100.00	
04SEP17	B	2	PD04DEC17	3204.50	3246.00	USD	2,075.00	
04SEP17	B	1	PD04DEC17	3204.00	3246.00	USD	1,050.00	
04SEP17	B	1	PD04DEC17	3203.50	3246.00	USD	1,062.50	
04SEP17	B	1	PD04DEC17	3203.00	3246.00	USD	1,075.00	
04SEP17	B	6	PD04DEC17	3201.00	3246.00	USD	6,750.00	
04SEP17	B	2	PD04DEC17	3202.00	3246.00	USD	2,200.00	

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>								
04SEP17	B	1	PD04DEC17		3202.50	3246.00	USD	1,087.50	
04SEP17	S	1	PD04DEC17		3208.50	3246.00	USD	-937.50	
04SEP17	B	1	PD04DEC17		3208.50	3246.00	USD	937.50	
06SEP17	S	10	PD04DEC17		3094.30	3246.00	USD	-37,925.00	
	NET	0AVG	BGHT 3196.24	SOLD 3175.83				-35,712.50	0.00
05SEP17	B	1	PD05DEC17		3203.50	3245.75	USD	1,056.25	
05SEP17	S	3	PD05DEC17		3203.50	3245.75	USD	-3,168.75	
05SEP17	S	1	PD05DEC17		3203.00	3245.75	USD	-1,068.75	
05SEP17	S	1	PD05DEC17		3204.00	3245.75	USD	-1,043.75	
05SEP17	B	4	PD05DEC17		3202.00	3245.75	USD	4,375.00	
05SEP17	B	1	PD05DEC17		3195.50	3245.75	USD	1,256.25	
05SEP17	B	1	PD05DEC17		3194.50	3245.75	USD	1,281.25	
05SEP17	B	1	PD05DEC17		3196.00	3245.75	USD	1,243.75	
05SEP17	B	1	PD05DEC17		3197.00	3245.75	USD	1,218.75	
05SEP17	B	1	PD05DEC17		3198.00	3245.75	USD	1,193.75	
05SEP17	S	2	PD05DEC17		3201.00	3245.75	USD	-2,237.50	
05SEP17	S	2	PD05DEC17		3202.50	3245.75	USD	-2,162.50	
05SEP17	S	3	PD05DEC17		3202.00	3245.75	USD	-3,281.25	
05SEP17	S	2	PD05DEC17		3199.00	3245.75	USD	-2,337.50	
05SEP17	S	1	PD05DEC17		3200.00	3245.75	USD	-1,143.75	
05SEP17	B	2	PD05DEC17		3193.00	3245.75	USD	2,637.50	
05SEP17	B	1	PD05DEC17		3192.00	3245.75	USD	1,343.75	
05SEP17	S	1	PD05DEC17		3190.50	3245.75	USD	-1,381.25	
05SEP17	B	1	PD05DEC17		3194.00	3245.75	USD	1,293.75	
05SEP17	B	2	PD05DEC17		3193.50	3245.75	USD	2,612.50	
05SEP17	B	1	PD05DEC17		3185.50	3245.75	USD	1,506.25	
05SEP17	B	1	PD05DEC17		3187.00	3245.75	USD	1,468.75	
05SEP17	S	2	PD05DEC17		3196.00	3245.75	USD	-2,487.50	
05SEP17	S	2	PD05DEC17		3196.50	3245.75	USD	-2,462.50	
05SEP17	S	2	PD05DEC17		3197.00	3245.75	USD	-2,437.50	
05SEP17	S	2	PD05DEC17		3197.50	3245.75	USD	-2,412.50	
05SEP17	S	1	PD05DEC17		3198.00	3245.75	USD	-1,193.75	
05SEP17	S	3	PD05DEC17		3200.50	3245.75	USD	-3,393.75	
05SEP17	S	3	PD05DEC17		3201.50	3245.75	USD	-3,318.75	
05SEP17	S	1	PD05DEC17		3198.50	3245.75	USD	-1,181.25	
05SEP17	S	4	PD05DEC17		3199.50	3245.75	USD	-4,625.00	
05SEP17	B	1	PD05DEC17		3191.00	3245.75	USD	1,368.75	
05SEP17	B	2	PD05DEC17		3190.00	3245.75	USD	2,787.50	
05SEP17	B	3	PD05DEC17		3192.50	3245.75	USD	3,993.75	
05SEP17	B	3	PD05DEC17		3191.50	3245.75	USD	4,068.75	
05SEP17	B	1	PD05DEC17		3188.50	3245.75	USD	1,431.25	
05SEP17	B	3	PD05DEC17		3188.00	3245.75	USD	4,331.25	
05SEP17	B	2	PD05DEC17		3187.50	3245.75	USD	2,912.50	
05SEP17	B	2	PD05DEC17		3185.00	3245.75	USD	3,037.50	
05SEP17	B	2	PD05DEC17		3183.50	3245.75	USD	3,112.50	
05SEP17	B	1	PD05DEC17		3182.50	3245.75	USD	1,581.25	
05SEP17	B	1	PD05DEC17		3182.00	3245.75	USD	1,593.75	
05SEP17	B	2	PD05DEC17		3184.50	3245.75	USD	3,062.50	
05SEP17	B	2	PD05DEC17		3184.00	3245.75	USD	3,087.50	
05SEP17	B	2	PD05DEC17		3179.50	3245.75	USD	3,312.50	
05SEP17	B	1	PD05DEC17		3177.50	3245.75	USD	1,706.25	
05SEP17	B	1	PD05DEC17		3176.50	3245.75	USD	1,731.25	
05SEP17	B	1	PD05DEC17		3176.00	3245.75	USD	1,743.75	
05SEP17	B	1	PD05DEC17		3173.00	3245.75	USD	1,818.75	
05SEP17	B	3	PD05DEC17		3174.00	3245.75	USD	5,381.25	
05SEP17	B	1	PD05DEC17		3175.50	3245.75	USD	1,756.25	
05SEP17	B	1	PD05DEC17		3179.00	3245.75	USD	1,668.75	

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
05SEP17	S	1	PD05DEC17	3194.00	3245.75	USD	-1,293.75	
05SEP17	S	1	PD05DEC17	3193.50	3245.75	USD	-1,306.25	
05SEP17	S	3	PD05DEC17	3195.00	3245.75	USD	-3,806.25	
05SEP17	S	1	PD05DEC17	3192.00	3245.75	USD	-1,343.75	
05SEP17	B	1	PD05DEC17	3180.00	3245.75	USD	1,643.75	
05SEP17	S	1	PD05DEC17	3180.00	3245.75	USD	-1,643.75	
05SEP17	S	1	PD05DEC17	3186.00	3245.75	USD	-1,493.75	
05SEP17	S	3	PD05DEC17	3189.00	3245.75	USD	-4,256.25	
05SEP17	S	2	PD05DEC17	3188.50	3245.75	USD	-2,862.50	
05SEP17	S	2	PD05DEC17	3186.50	3245.75	USD	-2,962.50	
05SEP17	S	4	PD05DEC17	3187.50	3245.75	USD	-5,825.00	
05SEP17	S	2	PD05DEC17	3188.00	3245.75	USD	-2,887.50	
05SEP17	B	1	PD05DEC17	3199.00	3245.75	USD	1,168.75	
05SEP17	S	1	PD05DEC17	3172.00	3245.75	USD	-1,843.75	
05SEP17	S	1	PD05DEC17	3174.00	3245.75	USD	-1,793.75	
05SEP17	B	2	PD05DEC17	3167.00	3245.75	USD	3,937.50	
05SEP17	B	1	PD05DEC17	3166.50	3245.75	USD	1,981.25	
05SEP17	B	1	PD05DEC17	3161.50	3245.75	USD	2,106.25	
05SEP17	B	1	PD05DEC17	3162.50	3245.75	USD	2,081.25	
05SEP17	B	1	PD05DEC17	3158.50	3245.75	USD	2,181.25	
05SEP17	B	1	PD05DEC17	3155.50	3245.75	USD	2,256.25	
05SEP17	B	1	PD05DEC17	3152.00	3245.75	USD	2,343.75	
05SEP17	S	1	PD05DEC17	3151.50	3245.75	USD	-2,356.25	
05SEP17	S	1	PD05DEC17	3153.50	3245.75	USD	-2,306.25	
05SEP17	S	1	PD05DEC17	3156.00	3245.75	USD	-2,243.75	
05SEP17	S	1	PD05DEC17	3157.50	3245.75	USD	-2,206.25	
05SEP17	B	1	PD05DEC17	3151.50	3245.75	USD	2,356.25	
05SEP17	B	2	PD05DEC17	3151.00	3245.75	USD	4,737.50	
05SEP17	B	3	PD05DEC17	3150.50	3245.75	USD	7,143.75	
05SEP17	B	1	PD05DEC17	3147.50	3245.75	USD	2,456.25	
05SEP17	B	1	PD05DEC17	3139.00	3245.75	USD	2,668.75	
05SEP17	B	2	PD05DEC17	3138.00	3245.75	USD	5,387.50	
05SEP17	B	1	PD05DEC17	3133.50	3245.75	USD	2,806.25	
05SEP17	B	1	PD05DEC17	3137.00	3245.75	USD	2,718.75	
05SEP17	B	1	PD05DEC17	3136.50	3245.75	USD	2,731.25	
05SEP17	S	2	PD05DEC17	3144.50	3245.75	USD	-5,062.50	
05SEP17	B	2	PD05DEC17	3140.00	3245.75	USD	5,287.50	
05SEP17	B	1	PD05DEC17	3137.50	3245.75	USD	2,706.25	
05SEP17	B	7	PD05DEC17	3138.50	3245.75	USD	18,768.75	
05SEP17	B	2	PD05DEC17	3135.00	3245.75	USD	5,537.50	
05SEP17	B	1	PD05DEC17	3134.50	3245.75	USD	2,781.25	
05SEP17	S	1	PD05DEC17	3115.00	3245.75	USD	-3,268.75	
05SEP17	S	1	PD05DEC17	3092.00	3245.75	USD	-3,843.75	
05SEP17	S	1	PD05DEC17	3091.50	3245.75	USD	-3,856.25	
05SEP17	B	1	PD05DEC17	3092.00	3245.75	USD	3,843.75	
05SEP17	B	1	PD05DEC17	3096.50	3245.75	USD	3,731.25	
05SEP17	S	1	PD05DEC17	3099.00	3245.75	USD	-3,668.75	
05SEP17	S	1	PD05DEC17	3103.50	3245.75	USD	-3,556.25	
05SEP17	S	1	PD05DEC17	3104.50	3245.75	USD	-3,531.25	
05SEP17	S	2	PD05DEC17	3104.00	3245.75	USD	-7,087.50	
05SEP17	B	1	PD05DEC17	3102.50	3245.75	USD	3,581.25	
05SEP17	B	1	PD05DEC17	3103.00	3245.75	USD	3,568.75	
05SEP17	B	1	PD05DEC17	3101.50	3245.75	USD	3,606.25	
05SEP17	B	1	PD05DEC17	3174.00	3245.75	USD	1,793.75	
06SEP17	S	3	PD05DEC17	3094.55	3245.75	USD	-11,340.00	
08SEP17	S	20	PD05DEC17	3030.42	3245.75	USD	-107,665.00	
	NET	0AVG BGHT 3168.30	SOLD 3147.15				-50,761.25	0.00

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0112D

13th October 2017

Sapien Capital Limited
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backoffice@sapiencap.com

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FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
06SEP17	S	1	PD06DEC17	3119.00	3245.50	USD	-3,162.50	
06SEP17	B	2	PD06DEC17	3124.50	3245.50	USD	6,050.00	
06SEP17	B	1	PD06DEC17	3117.50	3245.50	USD	3,200.00	
06SEP17	B	1	PD06DEC17	3117.00	3245.50	USD	3,212.50	
06SEP17	B	3	PD06DEC17	3115.50	3245.50	USD	9,750.00	
06SEP17	B	6	PD06DEC17	3111.00	3245.50	USD	20,175.00	
06SEP17	B	1	PD06DEC17	3110.00	3245.50	USD	3,387.50	
06SEP17	B	2	PD06DEC17	3103.00	3245.50	USD	7,125.00	
06SEP17	S	1	PD06DEC17	3106.00	3245.50	USD	-3,487.50	
06SEP17	B	1	PD06DEC17	3069.50	3245.50	USD	4,400.00	
06SEP17	B	1	PD06DEC17	3069.00	3245.50	USD	4,412.50	
06SEP17	B	1	PD06DEC17	3072.00	3245.50	USD	4,337.50	
06SEP17	S	1	PD06DEC17	3077.00	3245.50	USD	-4,212.50	
06SEP17	S	1	PD06DEC17	3077.50	3245.50	USD	-4,200.00	
06SEP17	S	2	PD06DEC17	3079.00	3245.50	USD	-8,325.00	
06SEP17	S	2	PD06DEC17	3080.50	3245.50	USD	-8,250.00	
06SEP17	S	1	PD06DEC17	3081.50	3245.50	USD	-4,100.00	
06SEP17	S	2	PD06DEC17	3081.00	3245.50	USD	-8,225.00	
06SEP17	B	2	PD06DEC17	3084.00	3245.50	USD	8,075.00	
06SEP17	S	1	PD06DEC17	3084.50	3245.50	USD	-4,025.00	
06SEP17	S	3	PD06DEC17	3082.50	3245.50	USD	-12,225.00	
06SEP17	S	3	PD06DEC17	3083.00	3245.50	USD	-12,187.50	
06SEP17	S	1	PD06DEC17	3085.00	3245.50	USD	-4,012.50	
06SEP17	S	1	PD06DEC17	3087.50	3245.50	USD	-3,950.00	
06SEP17	S	1	PD06DEC17	3095.50	3245.50	USD	-3,750.00	
06SEP17	B	1	PD06DEC17	3092.00	3245.50	USD	3,837.50	
06SEP17	S	1	PD06DEC17	3106.50	3245.50	USD	-3,475.00	
06SEP17	S	1	PD06DEC17	3107.00	3245.50	USD	-3,462.50	
06SEP17	B	1	PD06DEC17	3108.00	3245.50	USD	3,437.50	
06SEP17	B	1	PD06DEC17	3102.50	3245.50	USD	3,575.00	
06SEP17	B	1	PD06DEC17	3095.50	3245.50	USD	3,750.00	
06SEP17	B	2	PD06DEC17	3086.50	3245.50	USD	7,950.00	
06SEP17	B	1	PD06DEC17	3085.50	3245.50	USD	4,000.00	
06SEP17	B	1	PD06DEC17	3082.50	3245.50	USD	4,075.00	
06SEP17	B	2	PD06DEC17	3084.50	3245.50	USD	8,050.00	
06SEP17	B	2	PD06DEC17	3083.50	3245.50	USD	8,100.00	
06SEP17	B	4	PD06DEC17	3081.00	3245.50	USD	16,450.00	
06SEP17	S	2	PD06DEC17	3076.00	3245.50	USD	-8,475.00	
06SEP17	B	3	PD06DEC17	3075.50	3245.50	USD	12,750.00	
06SEP17	B	2	PD06DEC17	3075.00	3245.50	USD	8,525.00	
06SEP17	B	2	PD06DEC17	3076.00	3245.50	USD	8,475.00	
06SEP17	B	1	PD06DEC17	3077.50	3245.50	USD	4,200.00	
06SEP17	S	2	PD06DEC17	3084.00	3245.50	USD	-8,075.00	
06SEP17	S	3	PD06DEC17	3089.50	3245.50	USD	-11,700.00	
06SEP17	S	2	PD06DEC17	3089.00	3245.50	USD	-7,825.00	
06SEP17	B	2	PD06DEC17	3085.00	3245.50	USD	8,025.00	
06SEP17	S	1	PD06DEC17	3081.00	3245.50	USD	-4,112.50	
06SEP17	S	1	PD06DEC17	3090.50	3245.50	USD	-3,875.00	
06SEP17	S	2	PD06DEC17	3091.00	3245.50	USD	-7,725.00	
06SEP17	S	2	PD06DEC17	3091.50	3245.50	USD	-7,700.00	
06SEP17	S	2	PD06DEC17	3093.50	3245.50	USD	-7,600.00	
06SEP17	S	1	PD06DEC17	3092.50	3245.50	USD	-3,825.00	
06SEP17	S	1	PD06DEC17	3094.00	3245.50	USD	-3,787.50	
06SEP17	S	1	PD06DEC17	3096.50	3245.50	USD	-3,725.00	
06SEP17	B	1	PD06DEC17	3096.00	3245.50	USD	3,737.50	
06SEP17	S	2	PD06DEC17	3093.00	3245.50	USD	-7,625.00	
06SEP17	B	3	PD06DEC17	3082.00	3245.50	USD	12,262.50	

OPEN POSITION STATEMENT



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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
06SEP17	B	5	PD06DEC17	3080.50	3245.50	USD	20,625.00	
06SEP17	B	2	PD06DEC17	3080.00	3245.50	USD	8,275.00	
06SEP17	B	1	PD06DEC17	3078.50	3245.50	USD	4,175.00	
06SEP17	S	2	PD06DEC17	3086.50	3245.50	USD	-7,950.00	
06SEP17	S	3	PD06DEC17	3087.00	3245.50	USD	-11,887.50	
06SEP17	S	4	PD06DEC17	3088.00	3245.50	USD	-15,750.00	
06SEP17	S	5	PD06DEC17	3095.00	3245.50	USD	-18,812.50	
06SEP17	S	2	PD06DEC17	3094.50	3245.50	USD	-7,550.00	
06SEP17	B	1	PD06DEC17	3095.00	3245.50	USD	3,762.50	
06SEP17	B	3	PD06DEC17	3094.50	3245.50	USD	11,325.00	
06SEP17	S	4	PD06DEC17	3090.00	3245.50	USD	-15,550.00	
06SEP17	B	1	PD06DEC17	3090.00	3245.50	USD	3,887.50	
06SEP17	S	8	PD06DEC17	3088.50	3245.50	USD	-31,400.00	
06SEP17	S	1	PD06DEC17	3099.00	3245.50	USD	-3,662.50	
06SEP17	S	1	PD06DEC17	3101.00	3245.50	USD	-3,612.50	
06SEP17	S	1	PD06DEC17	3102.00	3245.50	USD	-3,587.50	
06SEP17	S	1	PD06DEC17	3101.50	3245.50	USD	-3,600.00	
06SEP17	B	1	PD06DEC17	3101.50	3245.50	USD	3,600.00	
06SEP17	B	2	PD06DEC17	3104.00	3245.50	USD	7,075.00	
06SEP17	S	1	PD06DEC17	3104.00	3245.50	USD	-3,537.50	
06SEP17	S	1	PD06DEC17	3104.50	3245.50	USD	-3,525.00	
06SEP17	S	1	PD06DEC17	3105.00	3245.50	USD	-3,512.50	
08SEP17	B	15	PD06DEC17	3030.81	3245.50	USD	80,508.75	
13SEP17	S	2	PD06DEC17	3023.63	3245.50	USD	-11,093.50	
	NET	0AVG BGHT 3080.35	SOLD 3088.36				16,427.75	0.00
07SEP17	S	1	PD07DEC17	3105.00	3245.25	USD	-3,506.25	
07SEP17	B	1	PD07DEC17	3107.50	3245.25	USD	3,443.75	
07SEP17	B	1	PD07DEC17	3103.50	3245.25	USD	3,543.75	
07SEP17	B	1	PD07DEC17	3099.50	3245.25	USD	3,643.75	
07SEP17	B	1	PD07DEC17	3094.00	3245.25	USD	3,781.25	
07SEP17	B	1	PD07DEC17	3092.50	3245.25	USD	3,818.75	
07SEP17	B	1	PD07DEC17	3092.00	3245.25	USD	3,831.25	
07SEP17	B	1	PD07DEC17	3091.50	3245.25	USD	3,843.75	
07SEP17	B	1	PD07DEC17	3090.50	3245.25	USD	3,868.75	
07SEP17	B	1	PD07DEC17	3089.50	3245.25	USD	3,893.75	
07SEP17	B	1	PD07DEC17	3087.00	3245.25	USD	3,956.25	
07SEP17	B	1	PD07DEC17	3089.00	3245.25	USD	3,906.25	
07SEP17	B	1	PD07DEC17	3088.50	3245.25	USD	3,918.75	
07SEP17	B	1	PD07DEC17	3088.00	3245.25	USD	3,931.25	
07SEP17	B	2	PD07DEC17	3086.50	3245.25	USD	7,937.50	
07SEP17	B	1	PD07DEC17	3086.00	3245.25	USD	3,981.25	
07SEP17	B	1	PD07DEC17	3085.50	3245.25	USD	3,993.75	
07SEP17	B	1	PD07DEC17	3084.50	3245.25	USD	4,018.75	
07SEP17	B	1	PD07DEC17	3084.00	3245.25	USD	4,031.25	
07SEP17	B	1	PD07DEC17	3083.00	3245.25	USD	4,056.25	
07SEP17	B	3	PD07DEC17	3082.00	3245.25	USD	12,243.75	
07SEP17	B	1	PD07DEC17	3081.00	3245.25	USD	4,106.25	
07SEP17	B	1	PD07DEC17	3075.50	3245.25	USD	4,243.75	
07SEP17	B	1	PD07DEC17	3077.00	3245.25	USD	4,206.25	
07SEP17	B	1	PD07DEC17	3076.50	3245.25	USD	4,218.75	
07SEP17	B	1	PD07DEC17	3080.00	3245.25	USD	4,131.25	
07SEP17	B	1	PD07DEC17	3079.00	3245.25	USD	4,156.25	
07SEP17	B	2	PD07DEC17	3077.50	3245.25	USD	8,387.50	
07SEP17	B	1	PD07DEC17	3085.00	3245.25	USD	4,006.25	
07SEP17	S	2	PD07DEC17	3093.00	3245.25	USD	-7,612.50	
07SEP17	S	2	PD07DEC17	3088.50	3245.25	USD	-7,837.50	
07SEP17	S	2	PD07DEC17	3089.00	3245.25	USD	-7,812.50	

OPEN POSITION STATEMENT



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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
07SEP17	S	1	PD07DEC17	3089.50	3245.25	USD	-3,893.75	
07SEP17	S	1	PD07DEC17	3090.50	3245.25	USD	-3,868.75	
07SEP17	S	1	PD07DEC17	3090.00	3245.25	USD	-3,881.25	
07SEP17	S	5	PD07DEC17	3088.00	3245.25	USD	-19,656.25	
07SEP17	S	1	PD07DEC17	3092.50	3245.25	USD	-3,818.75	
07SEP17	B	1	PD07DEC17	3099.00	3245.25	USD	3,656.25	
07SEP17	S	1	PD07DEC17	3097.00	3245.25	USD	-3,706.25	
07SEP17	S	3	PD07DEC17	3101.50	3245.25	USD	-10,781.25	
07SEP17	S	1	PD07DEC17	3101.00	3245.25	USD	-3,606.25	
07SEP17	S	6	PD07DEC17	3103.00	3245.25	USD	-21,337.50	
07SEP17	S	1	PD07DEC17	3103.50	3245.25	USD	-3,543.75	
07SEP17	S	3	PD07DEC17	3100.50	3245.25	USD	-10,856.25	
07SEP17	S	1	PD07DEC17	3102.00	3245.25	USD	-3,581.25	
07SEP17	S	3	PD07DEC17	3099.00	3245.25	USD	-10,968.75	
07SEP17	S	2	PD07DEC17	3097.50	3245.25	USD	-7,387.50	
07SEP17	S	6	PD07DEC17	3099.50	3245.25	USD	-21,862.50	
07SEP17	S	2	PD07DEC17	3098.50	3245.25	USD	-7,337.50	
07SEP17	S	1	PD07DEC17	3098.00	3245.25	USD	-3,681.25	
07SEP17	S	1	PD07DEC17	3094.50	3245.25	USD	-3,768.75	
07SEP17	S	2	PD07DEC17	3095.00	3245.25	USD	-7,512.50	
07SEP17	S	1	PD07DEC17	3096.50	3245.25	USD	-3,718.75	
07SEP17	S	2	PD07DEC17	3095.50	3245.25	USD	-7,487.50	
07SEP17	S	2	PD07DEC17	3100.00	3245.25	USD	-7,262.50	
07SEP17	S	1	PD07DEC17	3116.50	3245.25	USD	-3,218.75	
07SEP17	B	1	PD07DEC17	3116.00	3245.25	USD	3,231.25	
07SEP17	B	1	PD07DEC17	3118.00	3245.25	USD	3,181.25	
07SEP17	B	2	PD07DEC17	3117.50	3245.25	USD	6,387.50	
07SEP17	B	1	PD07DEC17	3115.50	3245.25	USD	3,243.75	
07SEP17	S	1	PD07DEC17	3121.00	3245.25	USD	-3,106.25	
07SEP17	B	2	PD07DEC17	3111.50	3245.25	USD	6,687.50	
07SEP17	B	3	PD07DEC17	3110.00	3245.25	USD	10,143.75	
07SEP17	S	1	PD07DEC17	3110.00	3245.25	USD	-3,381.25	
07SEP17	B	2	PD07DEC17	3108.00	3245.25	USD	6,862.50	
07SEP17	B	3	PD07DEC17	3105.00	3245.25	USD	10,518.75	
07SEP17	B	2	PD07DEC17	3106.00	3245.25	USD	6,962.50	
07SEP17	B	1	PD07DEC17	3105.50	3245.25	USD	3,493.75	
07SEP17	B	1	PD07DEC17	3106.50	3245.25	USD	3,468.75	
07SEP17	S	1	PD07DEC17	3120.00	3245.25	USD	-3,131.25	
07SEP17	S	3	PD07DEC17	3116.00	3245.25	USD	-9,693.75	
07SEP17	S	2	PD07DEC17	3112.50	3245.25	USD	-6,637.50	
07SEP17	S	1	PD07DEC17	3114.00	3245.25	USD	-3,281.25	
07SEP17	S	3	PD07DEC17	3117.00	3245.25	USD	-9,618.75	
07SEP17	S	1	PD07DEC17	3119.50	3245.25	USD	-3,143.75	
07SEP17	S	1	PD07DEC17	3122.50	3245.25	USD	-3,068.75	
07SEP17	S	1	PD07DEC17	3121.50	3245.25	USD	-3,093.75	
07SEP17	B	1	PD07DEC17	3122.00	3245.25	USD	3,081.25	
07SEP17	B	2	PD07DEC17	3122.50	3245.25	USD	6,137.50	
07SEP17	B	2	PD07DEC17	3137.50	3245.25	USD	5,387.50	
07SEP17	S	1	PD07DEC17	3138.00	3245.25	USD	-2,681.25	
07SEP17	S	1	PD07DEC17	3138.50	3245.25	USD	-2,668.75	
07SEP17	S	1	PD07DEC17	3140.00	3245.25	USD	-2,631.25	
07SEP17	S	1	PD07DEC17	3139.50	3245.25	USD	-2,643.75	
07SEP17	B	1	PD07DEC17	3141.00	3245.25	USD	2,606.25	
07SEP17	S	2	PD07DEC17	3097.00	3245.25	USD	-7,412.50	
07SEP17	B	1	PD07DEC17	3131.50	3245.25	USD	2,843.75	
07SEP17	B	2	PD07DEC17	3130.50	3245.25	USD	5,737.50	
07SEP17	B	1	PD07DEC17	3130.00	3245.25	USD	2,881.25	

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>								
07SEP17	B	1	PD07DEC17		3129.50	3245.25	USD	2,893.75	
07SEP17	B	1	PD07DEC17		3124.50	3245.25	USD	3,018.75	
07SEP17	S	1	PD07DEC17		3123.00	3245.25	USD	-3,056.25	
07SEP17	B	1	PD07DEC17		3127.50	3245.25	USD	2,943.75	
07SEP17	B	1	PD07DEC17		3126.50	3245.25	USD	2,968.75	
07SEP17	B	3	PD07DEC17		3125.00	3245.25	USD	9,018.75	
07SEP17	B	2	PD07DEC17		3126.00	3245.25	USD	5,962.50	
07SEP17	B	2	PD07DEC17		3135.00	3245.25	USD	5,512.50	
07SEP17	B	1	PD07DEC17		3135.50	3245.25	USD	2,743.75	
07SEP17	B	1	PD07DEC17		3136.00	3245.25	USD	2,731.25	
08SEP17	B	5	PD07DEC17		3031.01	3245.25	USD	26,780.00	
13SEP17	S	3	PD07DEC17		3023.69	3245.25	USD	-16,617.00	
	NET	0AVG BGHT 3101.16	SOLD 3100.56					-1,187.00	0.00
08SEP17	S	1	PD08DEC17		3139.50	3245.00	USD	-2,637.50	
08SEP17	B	1	PD08DEC17		3140.50	3245.00	USD	2,612.50	
08SEP17	B	1	PD08DEC17		3139.00	3245.00	USD	2,650.00	
08SEP17	B	2	PD08DEC17		3139.50	3245.00	USD	5,275.00	
08SEP17	B	1	PD08DEC17		3135.00	3245.00	USD	2,750.00	
08SEP17	S	2	PD08DEC17		3133.50	3245.00	USD	-5,575.00	
08SEP17	S	4	PD08DEC17		3134.00	3245.00	USD	-11,100.00	
08SEP17	S	1	PD08DEC17		3134.50	3245.00	USD	-2,762.50	
08SEP17	S	2	PD08DEC17		3135.50	3245.00	USD	-5,475.00	
08SEP17	B	1	PD08DEC17		3130.50	3245.00	USD	2,862.50	
08SEP17	B	1	PD08DEC17		3128.50	3245.00	USD	2,912.50	
08SEP17	B	2	PD08DEC17		3127.00	3245.00	USD	5,900.00	
08SEP17	B	1	PD08DEC17		3126.00	3245.00	USD	2,975.00	
08SEP17	B	3	PD08DEC17		3125.50	3245.00	USD	8,962.50	
08SEP17	B	1	PD08DEC17		3123.50	3245.00	USD	3,037.50	
08SEP17	B	4	PD08DEC17		3123.00	3245.00	USD	12,200.00	
08SEP17	B	1	PD08DEC17		3122.50	3245.00	USD	3,062.50	
08SEP17	B	3	PD08DEC17		3120.50	3245.00	USD	9,337.50	
08SEP17	B	1	PD08DEC17		3120.00	3245.00	USD	3,125.00	
08SEP17	S	1	PD08DEC17		3124.00	3245.00	USD	-3,025.00	
08SEP17	S	1	PD08DEC17		3123.50	3245.00	USD	-3,037.50	
08SEP17	S	1	PD08DEC17		3125.00	3245.00	USD	-3,000.00	
08SEP17	S	2	PD08DEC17		3124.50	3245.00	USD	-6,025.00	
08SEP17	S	3	PD08DEC17		3123.00	3245.00	USD	-9,150.00	
08SEP17	S	1	PD08DEC17		3121.00	3245.00	USD	-3,100.00	
08SEP17	B	3	PD08DEC17		3122.00	3245.00	USD	9,225.00	
08SEP17	B	1	PD08DEC17		3121.00	3245.00	USD	3,100.00	
08SEP17	B	2	PD08DEC17		3119.00	3245.00	USD	6,300.00	
08SEP17	B	7	PD08DEC17		3119.50	3245.00	USD	21,962.50	
08SEP17	B	1	PD08DEC17		3116.50	3245.00	USD	3,212.50	
08SEP17	B	2	PD08DEC17		3118.00	3245.00	USD	6,350.00	
08SEP17	B	4	PD08DEC17		3117.50	3245.00	USD	12,750.00	
08SEP17	B	2	PD08DEC17		3116.00	3245.00	USD	6,450.00	
08SEP17	B	1	PD08DEC17		3115.50	3245.00	USD	3,237.50	
08SEP17	B	2	PD08DEC17		3114.00	3245.00	USD	6,550.00	
08SEP17	B	1	PD08DEC17		3112.00	3245.00	USD	3,325.00	
08SEP17	B	1	PD08DEC17		3111.50	3245.00	USD	3,337.50	
08SEP17	B	1	PD08DEC17		3110.00	3245.00	USD	3,375.00	
08SEP17	B	2	PD08DEC17		3107.50	3245.00	USD	6,875.00	
08SEP17	B	1	PD08DEC17		3106.50	3245.00	USD	3,462.50	
08SEP17	B	2	PD08DEC17		3109.50	3245.00	USD	6,775.00	
08SEP17	B	2	PD08DEC17		3108.00	3245.00	USD	6,850.00	
08SEP17	B	2	PD08DEC17		3104.00	3245.00	USD	7,050.00	
08SEP17	B	2	PD08DEC17		3106.00	3245.00	USD	6,950.00	

OPEN POSITION STATEMENT



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SAP0112D

13th October 2017

Sapien Capital Limited
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London W1J 7NJ UK
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backoffice@sapiencap.com

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
08SEP17	B	1	PD08DEC17	3107.00	3245.00	USD	3,450.00	
08SEP17	B	2	PD08DEC17	3105.50	3245.00	USD	6,975.00	
08SEP17	B	1	PD08DEC17	3102.50	3245.00	USD	3,562.50	
08SEP17	B	1	PD08DEC17	3098.50	3245.00	USD	3,662.50	
08SEP17	B	1	PD08DEC17	3099.50	3245.00	USD	3,637.50	
08SEP17	B	2	PD08DEC17	3099.00	3245.00	USD	7,300.00	
08SEP17	B	1	PD08DEC17	3093.50	3245.00	USD	3,787.50	
08SEP17	S	1	PD08DEC17	3083.50	3245.00	USD	-4,037.50	
08SEP17	S	2	PD08DEC17	3090.50	3245.00	USD	-7,725.00	
08SEP17	S	2	PD08DEC17	3088.50	3245.00	USD	-7,825.00	
08SEP17	S	3	PD08DEC17	3092.50	3245.00	USD	-11,437.50	
08SEP17	B	1	PD08DEC17	3085.50	3245.00	USD	3,987.50	
08SEP17	B	2	PD08DEC17	3081.00	3245.00	USD	8,200.00	
08SEP17	B	1	PD08DEC17	3080.50	3245.00	USD	4,112.50	
08SEP17	B	1	PD08DEC17	3081.50	3245.00	USD	4,087.50	
08SEP17	B	4	PD08DEC17	3083.00	3245.00	USD	16,200.00	
08SEP17	B	2	PD08DEC17	3088.00	3245.00	USD	7,850.00	
08SEP17	S	2	PD08DEC17	3093.50	3245.00	USD	-7,575.00	
08SEP17	S	2	PD08DEC17	3093.00	3245.00	USD	-7,600.00	
08SEP17	B	2	PD08DEC17	3084.50	3245.00	USD	8,025.00	
08SEP17	B	2	PD08DEC17	3084.00	3245.00	USD	8,050.00	
08SEP17	B	1	PD08DEC17	3086.50	3245.00	USD	3,962.50	
08SEP17	B	1	PD08DEC17	3083.50	3245.00	USD	4,037.50	
08SEP17	B	1	PD08DEC17	3086.00	3245.00	USD	3,975.00	
08SEP17	S	1	PD08DEC17	3134.00	3245.00	USD	-2,775.00	
08SEP17	B	1	PD08DEC17	3121.00	3245.00	USD	3,100.00	
08SEP17	B	1	PD08DEC17	3104.00	3245.00	USD	3,525.00	
08SEP17	B	1	PD08DEC17	3105.00	3245.00	USD	3,500.00	
08SEP17	S	1	PD08DEC17	3091.50	3245.00	USD	-3,837.50	
08SEP17	S	4	PD08DEC17	3092.00	3245.00	USD	-15,300.00	
08SEP17	S	6	PD08DEC17	3094.00	3245.00	USD	-22,650.00	
08SEP17	S	3	PD08DEC17	3094.50	3245.00	USD	-11,287.50	
08SEP17	S	1	PD08DEC17	3095.00	3245.00	USD	-3,750.00	
08SEP17	S	6	PD08DEC17	3095.50	3245.00	USD	-22,425.00	
08SEP17	S	1	PD08DEC17	3098.50	3245.00	USD	-3,662.50	
08SEP17	S	1	PD08DEC17	3098.00	3245.00	USD	-3,675.00	
08SEP17	S	1	PD08DEC17	3097.50	3245.00	USD	-3,687.50	
08SEP17	B	1	PD08DEC17	3087.00	3245.00	USD	3,950.00	
08SEP17	B	1	PD08DEC17	3082.00	3245.00	USD	4,075.00	
08SEP17	S	1	PD08DEC17	3074.50	3245.00	USD	-4,262.50	
08SEP17	S	1	PD08DEC17	3080.00	3245.00	USD	-4,125.00	
08SEP17	S	2	PD08DEC17	3079.00	3245.00	USD	-8,300.00	
08SEP17	S	2	PD08DEC17	3078.00	3245.00	USD	-8,350.00	
08SEP17	S	1	PD08DEC17	3079.50	3245.00	USD	-4,137.50	
08SEP17	S	1	PD08DEC17	3081.00	3245.00	USD	-4,100.00	
08SEP17	S	1	PD08DEC17	3083.00	3245.00	USD	-4,050.00	
08SEP17	S	1	PD08DEC17	3084.00	3245.00	USD	-4,025.00	
08SEP17	S	2	PD08DEC17	3085.00	3245.00	USD	-8,000.00	
08SEP17	S	3	PD08DEC17	3087.50	3245.00	USD	-11,812.50	
08SEP17	S	1	PD08DEC17	3089.00	3245.00	USD	-3,900.00	
08SEP17	B	1	PD08DEC17	3071.00	3245.00	USD	4,350.00	
08SEP17	B	1	PD08DEC17	3073.50	3245.00	USD	4,287.50	
08SEP17	B	1	PD08DEC17	3073.00	3245.00	USD	4,300.00	
08SEP17	B	1	PD08DEC17	3071.50	3245.00	USD	4,337.50	
08SEP17	B	1	PD08DEC17	3070.50	3245.00	USD	4,362.50	
08SEP17	B	1	PD08DEC17	3068.50	3245.00	USD	4,412.50	
08SEP17	B	1	PD08DEC17	3069.00	3245.00	USD	4,400.00	

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
08SEP17	B	3	PD08DEC17	3070.00	3245.00	USD	13,125.00	
08SEP17	S	2	PD08DEC17	3076.50	3245.00	USD	-8,425.00	
08SEP17	S	1	PD08DEC17	3075.00	3245.00	USD	-4,250.00	
08SEP17	S	2	PD08DEC17	3077.00	3245.00	USD	-8,400.00	
08SEP17	S	2	PD08DEC17	3078.50	3245.00	USD	-8,325.00	
08SEP17	B	3	PD08DEC17	3072.00	3245.00	USD	12,975.00	
08SEP17	S	1	PD08DEC17	3069.00	3245.00	USD	-4,400.00	
08SEP17	S	1	PD08DEC17	3064.50	3245.00	USD	-4,512.50	
08SEP17	S	1	PD08DEC17	3065.00	3245.00	USD	-4,500.00	
08SEP17	S	1	PD08DEC17	3059.00	3245.00	USD	-4,650.00	
08SEP17	S	2	PD08DEC17	3060.00	3245.00	USD	-9,250.00	
08SEP17	S	1	PD08DEC17	3062.00	3245.00	USD	-4,575.00	
08SEP17	S	2	PD08DEC17	3063.50	3245.00	USD	-9,075.00	
08SEP17	S	2	PD08DEC17	3064.00	3245.00	USD	-9,050.00	
08SEP17	S	1	PD08DEC17	3061.00	3245.00	USD	-4,600.00	
08SEP17	S	1	PD08DEC17	3061.50	3245.00	USD	-4,587.50	
08SEP17	B	1	PD08DEC17	3056.00	3245.00	USD	4,725.00	
08SEP17	B	1	PD08DEC17	3041.00	3245.00	USD	5,100.00	
08SEP17	S	1	PD08DEC17	3045.00	3245.00	USD	-5,000.00	
08SEP17	S	1	PD08DEC17	3046.50	3245.00	USD	-4,962.50	
08SEP17	S	1	PD08DEC17	3047.00	3245.00	USD	-4,950.00	
08SEP17	S	4	PD08DEC17	3050.50	3245.00	USD	-19,450.00	
08SEP17	S	2	PD08DEC17	3050.00	3245.00	USD	-9,750.00	
08SEP17	S	2	PD08DEC17	3048.50	3245.00	USD	-9,825.00	
08SEP17	B	2	PD08DEC17	3035.00	3245.00	USD	10,500.00	
08SEP17	S	1	PD08DEC17	3036.50	3245.00	USD	-5,212.50	
08SEP17	S	2	PD08DEC17	3037.00	3245.00	USD	-10,400.00	
08SEP17	S	2	PD08DEC17	3037.50	3245.00	USD	-10,375.00	
08SEP17	B	1	PD08DEC17	3032.00	3245.00	USD	5,325.00	
08SEP17	B	1	PD08DEC17	3030.00	3245.00	USD	5,375.00	
08SEP17	B	1	PD08DEC17	3029.00	3245.00	USD	5,400.00	
13SEP17	S	2	PD08DEC17	3023.75	3245.00	USD	-11,062.50	
19SEP17	S	4	PD08DEC17	3104.36	3245.00	USD	-14,064.00	
	NET	0	AVG BGHT 3100.86	SOLD 3086.10			-42,064.00	0.00
11SEP17	S	2	PD11DEC17	3053.50	3244.75	USD	-9,562.50	
11SEP17	S	1	PD11DEC17	3056.00	3244.75	USD	-4,718.75	
11SEP17	S	1	PD11DEC17	3055.00	3244.75	USD	-4,743.75	
11SEP17	S	4	PD11DEC17	3057.50	3244.75	USD	-18,725.00	
11SEP17	S	1	PD11DEC17	3057.00	3244.75	USD	-4,693.75	
11SEP17	S	1	PD11DEC17	3054.00	3244.75	USD	-4,768.75	
11SEP17	S	4	PD11DEC17	3054.50	3244.75	USD	-19,025.00	
11SEP17	S	1	PD11DEC17	3055.50	3244.75	USD	-4,731.25	
11SEP17	S	3	PD11DEC17	3059.00	3244.75	USD	-13,931.25	
11SEP17	S	2	PD11DEC17	3061.00	3244.75	USD	-9,187.50	
11SEP17	S	1	PD11DEC17	3063.00	3244.75	USD	-4,543.75	
11SEP17	S	1	PD11DEC17	3064.00	3244.75	USD	-4,518.75	
11SEP17	S	2	PD11DEC17	3065.00	3244.75	USD	-8,987.50	
11SEP17	S	1	PD11DEC17	3067.00	3244.75	USD	-4,443.75	
11SEP17	S	1	PD11DEC17	3075.00	3244.75	USD	-4,243.75	
11SEP17	S	1	PD11DEC17	3075.50	3244.75	USD	-4,231.25	
11SEP17	S	4	PD11DEC17	3076.00	3244.75	USD	-16,875.00	
11SEP17	B	1	PD11DEC17	3075.50	3244.75	USD	4,231.25	
11SEP17	S	1	PD11DEC17	3089.50	3244.75	USD	-3,881.25	
11SEP17	S	1	PD11DEC17	3090.50	3244.75	USD	-3,856.25	
11SEP17	S	1	PD11DEC17	3092.50	3244.75	USD	-3,806.25	
11SEP17	S	1	PD11DEC17	3094.00	3244.75	USD	-3,768.75	
11SEP17	S	1	PD11DEC17	3095.00	3244.75	USD	-3,743.75	

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11SEP17	S	1	PD11DEC17	3093.00	3244.75	USD	-3,793.75	
11SEP17	S	1	PD11DEC17	3102.50	3244.75	USD	-3,556.25	
11SEP17	S	1	PD11DEC17	3103.00	3244.75	USD	-3,543.75	
11SEP17	S	1	PD11DEC17	3054.00	3244.75	USD	-4,768.75	
11SEP17	S	1	PD11DEC17	3070.50	3244.75	USD	-4,356.25	
11SEP17	S	1	PD11DEC17	3102.00	3244.75	USD	-3,568.75	
11SEP17	B	1	PD11DEC17	3098.50	3244.75	USD	3,656.25	
11SEP17	B	2	PD11DEC17	3097.50	3244.75	USD	7,362.50	
11SEP17	B	1	PD11DEC17	3097.00	3244.75	USD	3,693.75	
11SEP17	B	2	PD11DEC17	3094.50	3244.75	USD	7,512.50	
11SEP17	B	1	PD11DEC17	3094.00	3244.75	USD	3,768.75	
11SEP17	B	1	PD11DEC17	3091.50	3244.75	USD	3,831.25	
11SEP17	B	1	PD11DEC17	3092.00	3244.75	USD	3,818.75	
11SEP17	S	1	PD11DEC17	3089.00	3244.75	USD	-3,893.75	
11SEP17	B	1	PD11DEC17	3089.50	3244.75	USD	3,881.25	
11SEP17	B	1	PD11DEC17	3089.00	3244.75	USD	3,893.75	
11SEP17	B	2	PD11DEC17	3083.50	3244.75	USD	8,062.50	
11SEP17	B	3	PD11DEC17	3086.00	3244.75	USD	11,906.25	
11SEP17	B	3	PD11DEC17	3082.50	3244.75	USD	12,168.75	
11SEP17	B	1	PD11DEC17	3082.00	3244.75	USD	4,068.75	
11SEP17	B	2	PD11DEC17	3081.50	3244.75	USD	8,162.50	
11SEP17	B	1	PD11DEC17	3078.50	3244.75	USD	4,156.25	
11SEP17	B	1	PD11DEC17	3079.00	3244.75	USD	4,143.75	
11SEP17	B	1	PD11DEC17	3077.00	3244.75	USD	4,193.75	
11SEP17	B	3	PD11DEC17	3078.00	3244.75	USD	12,506.25	
11SEP17	B	1	PD11DEC17	3077.50	3244.75	USD	4,181.25	
11SEP17	B	3	PD11DEC17	3076.50	3244.75	USD	12,618.75	
11SEP17	B	1	PD11DEC17	3074.00	3244.75	USD	4,268.75	
11SEP17	B	1	PD11DEC17	3067.00	3244.75	USD	4,443.75	
11SEP17	B	1	PD11DEC17	3071.50	3244.75	USD	4,331.25	
11SEP17	B	1	PD11DEC17	3071.00	3244.75	USD	4,343.75	
11SEP17	B	1	PD11DEC17	3070.00	3244.75	USD	4,368.75	
11SEP17	B	1	PD11DEC17	3069.00	3244.75	USD	4,393.75	
11SEP17	B	1	PD11DEC17	3068.50	3244.75	USD	4,406.25	
11SEP17	B	1	PD11DEC17	3050.00	3244.75	USD	4,868.75	
11SEP17	S	1	PD11DEC17	3051.50	3244.75	USD	-4,831.25	
11SEP17	B	2	PD11DEC17	3101.00	3244.75	USD	7,187.50	
11SEP17	B	1	PD11DEC17	3069.00	3244.75	USD	4,393.75	
11SEP17	S	3	PD11DEC17	3076.50	3244.75	USD	-12,618.75	
11SEP17	B	2	PD11DEC17	3079.50	3244.75	USD	8,262.50	
11SEP17	S	1	PD11DEC17	3082.50	3244.75	USD	-4,056.25	
11SEP17	S	1	PD11DEC17	3084.00	3244.75	USD	-4,018.75	
13SEP17	B	3	PD11DEC17	3023.87	3244.75	USD	16,566.00	
	NET	0	AVG BGHT 3078.50				-10,340.25	0.00
12SEP17	S	1	PD12DEC17	3087.00	3244.50	USD	-3,937.50	
12SEP17	S	3	PD12DEC17	3084.50	3244.50	USD	-12,000.00	
12SEP17	B	2	PD12DEC17	3088.00	3244.50	USD	7,825.00	
12SEP17	B	2	PD12DEC17	3086.00	3244.50	USD	7,925.00	
12SEP17	B	1	PD12DEC17	3086.50	3244.50	USD	3,950.00	
12SEP17	B	2	PD12DEC17	3087.00	3244.50	USD	7,875.00	
12SEP17	B	4	PD12DEC17	3090.00	3244.50	USD	15,450.00	
12SEP17	B	3	PD12DEC17	3093.00	3244.50	USD	11,362.50	
12SEP17	B	4	PD12DEC17	3092.50	3244.50	USD	15,200.00	
12SEP17	B	4	PD12DEC17	3089.00	3244.50	USD	15,550.00	
12SEP17	B	4	PD12DEC17	3088.50	3244.50	USD	15,600.00	
12SEP17	B	6	PD12DEC17	3091.50	3244.50	USD	22,950.00	
12SEP17	S	1	PD12DEC17	3093.50	3244.50	USD	-3,775.00	

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12SEP17	S	1	PD12DEC17	3091.50	3244.50	USD	-3,825.00	
12SEP17	S	4	PD12DEC17	3091.00	3244.50	USD	-15,350.00	
12SEP17	S	1	PD12DEC17	3089.50	3244.50	USD	-3,875.00	
12SEP17	S	4	PD12DEC17	3090.50	3244.50	USD	-15,400.00	
12SEP17	S	9	PD12DEC17	3090.00	3244.50	USD	-34,762.50	
12SEP17	S	4	PD12DEC17	3085.50	3244.50	USD	-15,900.00	
12SEP17	S	15	PD12DEC17	3084.00	3244.50	USD	-60,187.50	
12SEP17	S	4	PD12DEC17	3083.50	3244.50	USD	-16,100.00	
12SEP17	S	3	PD12DEC17	3083.00	3244.50	USD	-12,112.50	
12SEP17	S	9	PD12DEC17	3082.50	3244.50	USD	-36,450.00	
12SEP17	S	1	PD12DEC17	3081.50	3244.50	USD	-4,075.00	
12SEP17	S	2	PD12DEC17	3082.00	3244.50	USD	-8,125.00	
12SEP17	B	1	PD12DEC17	3075.00	3244.50	USD	4,237.50	
12SEP17	B	1	PD12DEC17	3073.00	3244.50	USD	4,287.50	
12SEP17	B	1	PD12DEC17	3072.50	3244.50	USD	4,300.00	
12SEP17	S	2	PD12DEC17	3081.00	3244.50	USD	-8,175.00	
12SEP17	S	4	PD12DEC17	3080.00	3244.50	USD	-16,450.00	
12SEP17	S	3	PD12DEC17	3078.00	3244.50	USD	-12,487.50	
12SEP17	S	2	PD12DEC17	3078.50	3244.50	USD	-8,300.00	
12SEP17	S	3	PD12DEC17	3079.50	3244.50	USD	-12,375.00	
12SEP17	B	11	PD12DEC17	3070.00	3244.50	USD	47,987.50	
12SEP17	B	1	PD12DEC17	3068.50	3244.50	USD	4,400.00	
12SEP17	B	5	PD12DEC17	3067.50	3244.50	USD	22,125.00	
12SEP17	B	2	PD12DEC17	3067.00	3244.50	USD	8,875.00	
12SEP17	B	1	PD12DEC17	3062.50	3244.50	USD	4,550.00	
12SEP17	S	1	PD12DEC17	3066.00	3244.50	USD	-4,462.50	
12SEP17	S	1	PD12DEC17	3057.50	3244.50	USD	-4,675.00	
12SEP17	S	1	PD12DEC17	3060.50	3244.50	USD	-4,600.00	
12SEP17	S	1	PD12DEC17	3061.00	3244.50	USD	-4,587.50	
12SEP17	S	1	PD12DEC17	3064.00	3244.50	USD	-4,512.50	
12SEP17	B	1	PD12DEC17	3089.00	3244.50	USD	3,887.50	
12SEP17	B	4	PD12DEC17	3093.00	3244.50	USD	15,150.00	
12SEP17	S	1	PD12DEC17	3080.00	3244.50	USD	-4,112.50	
12SEP17	B	1	PD12DEC17	3068.00	3244.50	USD	4,412.50	
12SEP17	S	1	PD12DEC17	3062.50	3244.50	USD	-4,550.00	
12SEP17	S	2	PD12DEC17	3064.50	3244.50	USD	-9,000.00	
12SEP17	B	2	PD12DEC17	3073.50	3244.50	USD	8,550.00	
12SEP17	B	2	PD12DEC17	3076.50	3244.50	USD	8,400.00	
12SEP17	S	1	PD12DEC17	3075.50	3244.50	USD	-4,225.00	
12SEP17	S	1	PD12DEC17	3077.00	3244.50	USD	-4,187.50	
12SEP17	S	2	PD12DEC17	3072.50	3244.50	USD	-8,600.00	
12SEP17	B	2	PD12DEC17	3071.50	3244.50	USD	8,650.00	
12SEP17	B	4	PD12DEC17	3070.50	3244.50	USD	17,400.00	
12SEP17	B	10	PD12DEC17	3069.50	3244.50	USD	43,750.00	
12SEP17	B	2	PD12DEC17	3068.00	3244.50	USD	8,825.00	
12SEP17	S	2	PD12DEC17	3068.50	3244.50	USD	-8,800.00	
12SEP17	S	1	PD12DEC17	3063.50	3244.50	USD	-4,525.00	
12SEP17	B	2	PD12DEC17	3063.50	3244.50	USD	9,050.00	
12SEP17	S	1	PD12DEC17	3063.00	3244.50	USD	-4,537.50	
12SEP17	B	3	PD12DEC17	3064.00	3244.50	USD	13,537.50	
12SEP17	B	2	PD12DEC17	3062.00	3244.50	USD	9,125.00	
12SEP17	B	2	PD12DEC17	3059.50	3244.50	USD	9,250.00	
12SEP17	B	1	PD12DEC17	3058.50	3244.50	USD	4,650.00	
12SEP17	B	7	PD12DEC17	3065.00	3244.50	USD	31,412.50	
12SEP17	B	2	PD12DEC17	3064.50	3244.50	USD	9,000.00	
12SEP17	S	1	PD12DEC17	3075.00	3244.50	USD	-4,237.50	
12SEP17	S	5	PD12DEC17	3079.00	3244.50	USD	-20,687.50	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0112D

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
12SEP17	B	3	PD12DEC17	3074.50	3244.50	USD	12,750.00	
12SEP17	S	1	PD12DEC17	3072.00	3244.50	USD	-4,312.50	
12SEP17	B	1	PD12DEC17	3061.50	3244.50	USD	4,575.00	
12SEP17	B	2	PD12DEC17	3063.00	3244.50	USD	9,075.00	
12SEP17	S	1	PD12DEC17	3069.50	3244.50	USD	-4,375.00	
12SEP17	S	3	PD12DEC17	3070.00	3244.50	USD	-13,087.50	
12SEP17	S	1	PD12DEC17	3066.50	3244.50	USD	-4,450.00	
12SEP17	S	1	PD12DEC17	3067.00	3244.50	USD	-4,437.50	
13SEP17	B	4	PD12DEC17	3023.78	3244.50	USD	22,072.00	
15SEP17	S	6	PD12DEC17	3030.53	3244.50	USD	-32,095.50	
	NET	0	AVG BGHT 3073.80				11,251.50	0.00
			SOLD 3077.81					
13SEP17	S	4	PD13DEC17	3053.00	3244.25	USD	-19,125.00	
13SEP17	S	1	PD13DEC17	3048.00	3244.25	USD	-4,906.25	
13SEP17	S	3	PD13DEC17	3050.00	3244.25	USD	-14,568.75	
13SEP17	S	1	PD13DEC17	3050.50	3244.25	USD	-4,843.75	
13SEP17	S	1	PD13DEC17	3051.00	3244.25	USD	-4,831.25	
13SEP17	B	1	PD13DEC17	3053.00	3244.25	USD	4,781.25	
13SEP17	S	1	PD13DEC17	3058.00	3244.25	USD	-4,656.25	
13SEP17	S	1	PD13DEC17	3063.50	3244.25	USD	-4,518.75	
13SEP17	S	1	PD13DEC17	3059.50	3244.25	USD	-4,618.75	
13SEP17	S	2	PD13DEC17	3060.50	3244.25	USD	-9,187.50	
13SEP17	S	1	PD13DEC17	3063.00	3244.25	USD	-4,531.25	
13SEP17	B	3	PD13DEC17	3052.50	3244.25	USD	14,381.25	
13SEP17	S	1	PD13DEC17	3056.00	3244.25	USD	-4,706.25	
13SEP17	S	3	PD13DEC17	3058.50	3244.25	USD	-13,931.25	
13SEP17	B	1	PD13DEC17	3055.00	3244.25	USD	4,731.25	
13SEP17	B	3	PD13DEC17	3051.50	3244.25	USD	14,456.25	
13SEP17	B	2	PD13DEC17	3052.00	3244.25	USD	9,612.50	
13SEP17	B	4	PD13DEC17	3051.00	3244.25	USD	19,325.00	
13SEP17	B	5	PD13DEC17	3050.00	3244.25	USD	24,281.25	
13SEP17	B	1	PD13DEC17	3049.00	3244.25	USD	4,881.25	
13SEP17	B	2	PD13DEC17	3046.00	3244.25	USD	9,912.50	
13SEP17	B	3	PD13DEC17	3044.00	3244.25	USD	15,018.75	
13SEP17	B	3	PD13DEC17	3045.00	3244.25	USD	14,943.75	
13SEP17	S	3	PD13DEC17	3052.50	3244.25	USD	-14,381.25	
13SEP17	B	1	PD13DEC17	3047.50	3244.25	USD	4,918.75	
13SEP17	B	1	PD13DEC17	3044.50	3244.25	USD	4,993.75	
13SEP17	S	2	PD13DEC17	3051.50	3244.25	USD	-9,637.50	
13SEP17	S	3	PD13DEC17	3053.50	3244.25	USD	-14,306.25	
13SEP17	S	3	PD13DEC17	3052.00	3244.25	USD	-14,418.75	
13SEP17	B	4	PD13DEC17	3050.50	3244.25	USD	19,375.00	
13SEP17	B	2	PD13DEC17	3049.50	3244.25	USD	9,737.50	
13SEP17	B	3	PD13DEC17	3043.50	3244.25	USD	15,056.25	
13SEP17	B	2	PD13DEC17	3042.50	3244.25	USD	10,087.50	
13SEP17	B	1	PD13DEC17	3039.00	3244.25	USD	5,131.25	
13SEP17	B	2	PD13DEC17	3035.00	3244.25	USD	10,462.50	
13SEP17	B	3	PD13DEC17	3034.50	3244.25	USD	15,731.25	
13SEP17	B	1	PD13DEC17	3037.50	3244.25	USD	5,168.75	
13SEP17	B	1	PD13DEC17	3036.50	3244.25	USD	5,193.75	
13SEP17	B	3	PD13DEC17	3036.00	3244.25	USD	15,618.75	
13SEP17	B	1	PD13DEC17	3029.50	3244.25	USD	5,368.75	
13SEP17	B	2	PD13DEC17	3033.00	3244.25	USD	10,562.50	
13SEP17	B	1	PD13DEC17	3028.00	3244.25	USD	5,406.25	
13SEP17	S	1	PD13DEC17	3035.50	3244.25	USD	-5,218.75	
13SEP17	S	1	PD13DEC17	3037.00	3244.25	USD	-5,181.25	
13SEP17	S	1	PD13DEC17	3026.50	3244.25	USD	-5,443.75	
13SEP17	S	1	PD13DEC17	3030.50	3244.25	USD	-5,343.75	

OPEN POSITION STATEMENT



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SAP0112D

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
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backoffice@sapiencap.com

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Date	Open Position				Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>								
13SEP17	S	1	PD13DEC17		3033.00	3244.25	USD	-5,281.25	
13SEP17	S	2	PD13DEC17		3034.00	3244.25	USD	-10,512.50	
13SEP17	S	3	PD13DEC17		3037.50	3244.25	USD	-15,506.25	
13SEP17	S	1	PD13DEC17		3039.00	3244.25	USD	-5,131.25	
13SEP17	S	3	PD13DEC17		3040.00	3244.25	USD	-15,318.75	
13SEP17	S	3	PD13DEC17		3039.50	3244.25	USD	-15,356.25	
13SEP17	S	1	PD13DEC17		3042.00	3244.25	USD	-5,056.25	
13SEP17	S	1	PD13DEC17		3042.50	3244.25	USD	-5,043.75	
13SEP17	B	1	PD13DEC17		3041.50	3244.25	USD	5,068.75	
13SEP17	B	1	PD13DEC17		3028.50	3244.25	USD	5,393.75	
13SEP17	B	1	PD13DEC17		3027.50	3244.25	USD	5,418.75	
13SEP17	B	3	PD13DEC17		3026.50	3244.25	USD	16,331.25	
13SEP17	S	1	PD13DEC17		3026.00	3244.25	USD	-5,456.25	
13SEP17	S	2	PD13DEC17		3029.00	3244.25	USD	-10,762.50	
13SEP17	S	3	PD13DEC17		3030.00	3244.25	USD	-16,068.75	
13SEP17	S	5	PD13DEC17		3029.50	3244.25	USD	-26,843.75	
13SEP17	B	1	PD13DEC17		3030.50	3244.25	USD	5,343.75	
13SEP17	B	1	PD13DEC17		3030.00	3244.25	USD	5,356.25	
13SEP17	S	1	PD13DEC17		3028.50	3244.25	USD	-5,393.75	
13SEP17	S	1	PD13DEC17		3029.50	3244.25	USD	-5,368.75	
15SEP17	B	2	PD13DEC17		3030.89	3244.25	USD	10,668.00	
19SEP17	S	2	PD13DEC17		3105.43	3244.25	USD	-6,941.00	
04OCT17	S	1	PD13DEC17		3306.10	3244.25	USD	1,546.25	
	NET	0AVG BGHT 3042.60	SOLD 3049.79					11,867.00	0.00
14SEP17	B	4	PD14DEC17		3036.00	3244.00	USD	20,800.00	
14SEP17	S	1	PD14DEC17		3035.00	3244.00	USD	-5,225.00	
14SEP17	S	1	PD14DEC17		3034.50	3244.00	USD	-5,237.50	
14SEP17	S	1	PD14DEC17		3031.00	3244.00	USD	-5,325.00	
14SEP17	S	4	PD14DEC17		3032.00	3244.00	USD	-21,200.00	
14SEP17	S	2	PD14DEC17		3030.50	3244.00	USD	-10,675.00	
14SEP17	S	4	PD14DEC17		3031.50	3244.00	USD	-21,250.00	
14SEP17	B	1	PD14DEC17		3025.50	3244.00	USD	5,462.50	
14SEP17	B	1	PD14DEC17		3022.00	3244.00	USD	5,550.00	
14SEP17	B	1	PD14DEC17		3020.50	3244.00	USD	5,587.50	
14SEP17	B	3	PD14DEC17		3021.50	3244.00	USD	16,687.50	
14SEP17	B	7	PD14DEC17		3021.00	3244.00	USD	39,025.00	
14SEP17	S	1	PD14DEC17		3021.50	3244.00	USD	-5,562.50	
14SEP17	B	2	PD14DEC17		3020.00	3244.00	USD	11,200.00	
14SEP17	B	3	PD14DEC17		3019.50	3244.00	USD	16,837.50	
14SEP17	B	2	PD14DEC17		3018.00	3244.00	USD	11,300.00	
14SEP17	B	1	PD14DEC17		3016.50	3244.00	USD	5,687.50	
14SEP17	B	2	PD14DEC17		3017.00	3244.00	USD	11,350.00	
14SEP17	B	1	PD14DEC17		3015.50	3244.00	USD	5,712.50	
14SEP17	B	3	PD14DEC17		3012.50	3244.00	USD	17,362.50	
14SEP17	B	1	PD14DEC17		3007.00	3244.00	USD	5,925.00	
14SEP17	B	1	PD14DEC17		3006.50	3244.00	USD	5,937.50	
14SEP17	S	2	PD14DEC17		3016.00	3244.00	USD	-11,400.00	
14SEP17	B	3	PD14DEC17		3011.50	3244.00	USD	17,437.50	
14SEP17	S	1	PD14DEC17		3019.50	3244.00	USD	-5,612.50	
14SEP17	B	1	PD14DEC17		3014.50	3244.00	USD	5,737.50	
14SEP17	B	4	PD14DEC17		3014.00	3244.00	USD	23,000.00	
14SEP17	B	4	PD14DEC17		3013.50	3244.00	USD	23,050.00	
14SEP17	B	1	PD14DEC17		3011.00	3244.00	USD	5,825.00	
14SEP17	S	5	PD14DEC17		3015.00	3244.00	USD	-28,625.00	
14SEP17	S	1	PD14DEC17		3014.00	3244.00	USD	-5,750.00	
14SEP17	B	1	PD14DEC17		3005.50	3244.00	USD	5,962.50	
14SEP17	B	2	PD14DEC17		2998.00	3244.00	USD	12,300.00	

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SAP0112D

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
14SEP17	B	2	PD14DEC17	2999.50	3244.00	USD	12,225.00	
14SEP17	S	1	PD14DEC17	2998.50	3244.00	USD	-6,137.50	
14SEP17	B	1	PD14DEC17	2987.50	3244.00	USD	6,412.50	
14SEP17	S	1	PD14DEC17	2989.50	3244.00	USD	-6,362.50	
14SEP17	B	1	PD14DEC17	2989.50	3244.00	USD	6,362.50	
14SEP17	B	1	PD14DEC17	2989.00	3244.00	USD	6,375.00	
14SEP17	B	1	PD14DEC17	2992.50	3244.00	USD	6,287.50	
14SEP17	S	1	PD14DEC17	3002.00	3244.00	USD	-6,050.00	
14SEP17	S	1	PD14DEC17	3000.00	3244.00	USD	-6,100.00	
14SEP17	S	1	PD14DEC17	3000.50	3244.00	USD	-6,087.50	
14SEP17	S	1	PD14DEC17	3009.00	3244.00	USD	-5,875.00	
14SEP17	S	2	PD14DEC17	3003.00	3244.00	USD	-12,050.00	
14SEP17	B	1	PD14DEC17	2985.50	3244.00	USD	6,462.50	
14SEP17	S	1	PD14DEC17	2987.00	3244.00	USD	-6,425.00	
14SEP17	S	1	PD14DEC17	2977.50	3244.00	USD	-6,662.50	
14SEP17	S	1	PD14DEC17	2978.00	3244.00	USD	-6,650.00	
14SEP17	S	1	PD14DEC17	2980.00	3244.00	USD	-6,600.00	
14SEP17	S	2	PD14DEC17	2984.00	3244.00	USD	-13,000.00	
14SEP17	S	1	PD14DEC17	2987.50	3244.00	USD	-6,412.50	
14SEP17	S	1	PD14DEC17	2990.00	3244.00	USD	-6,350.00	
14SEP17	S	1	PD14DEC17	2989.00	3244.00	USD	-6,375.00	
14SEP17	S	1	PD14DEC17	2991.00	3244.00	USD	-6,325.00	
14SEP17	B	1	PD14DEC17	3012.50	3244.00	USD	5,787.50	
14SEP17	S	1	PD14DEC17	2999.00	3244.00	USD	-6,125.00	
14SEP17	B	1	PD14DEC17	2998.50	3244.00	USD	6,137.50	
14SEP17	B	1	PD14DEC17	2991.00	3244.00	USD	6,325.00	
14SEP17	B	1	PD14DEC17	2993.00	3244.00	USD	6,275.00	
14SEP17	S	2	PD14DEC17	2994.00	3244.00	USD	-12,500.00	
14SEP17	B	1	PD14DEC17	2996.50	3244.00	USD	6,187.50	
14SEP17	B	1	PD14DEC17	2994.00	3244.00	USD	6,250.00	
14SEP17	S	1	PD14DEC17	2995.00	3244.00	USD	-6,225.00	
14SEP17	S	2	PD14DEC17	2996.00	3244.00	USD	-12,400.00	
14SEP17	S	1	PD14DEC17	2996.50	3244.00	USD	-6,187.50	
14SEP17	S	2	PD14DEC17	2998.00	3244.00	USD	-12,300.00	
14SEP17	S	2	PD14DEC17	2999.50	3244.00	USD	-12,225.00	
14SEP17	S	2	PD14DEC17	3001.00	3244.00	USD	-12,150.00	
14SEP17	S	3	PD14DEC17	3003.50	3244.00	USD	-18,037.50	
14SEP17	S	2	PD14DEC17	3005.50	3244.00	USD	-11,925.00	
14SEP17	S	2	PD14DEC17	3006.00	3244.00	USD	-11,900.00	
14SEP17	S	2	PD14DEC17	3005.00	3244.00	USD	-11,950.00	
14SEP17	S	2	PD14DEC17	3007.00	3244.00	USD	-11,850.00	
14SEP17	S	3	PD14DEC17	3007.50	3244.00	USD	-17,737.50	
14SEP17	S	2	PD14DEC17	3006.50	3244.00	USD	-11,875.00	
14SEP17	S	3	PD14DEC17	3004.00	3244.00	USD	-18,000.00	
14SEP17	S	3	PD14DEC17	3009.50	3244.00	USD	-17,587.50	
14SEP17	S	1	PD14DEC17	3011.00	3244.00	USD	-5,825.00	
14SEP17	S	1	PD14DEC17	3017.00	3244.00	USD	-5,675.00	
14SEP17	S	1	PD14DEC17	3016.50	3244.00	USD	-5,687.50	
14SEP17	S	7	PD14DEC17	3012.50	3244.00	USD	-40,512.50	
14SEP17	S	2	PD14DEC17	3013.00	3244.00	USD	-11,550.00	
14SEP17	S	1	PD14DEC17	3014.50	3244.00	USD	-5,737.50	
14SEP17	B	2	PD14DEC17	3015.00	3244.00	USD	11,450.00	
14SEP17	B	2	PD14DEC17	3013.00	3244.00	USD	11,550.00	
14SEP17	S	1	PD14DEC17	3013.50	3244.00	USD	-5,762.50	
14SEP17	S	1	PD14DEC17	3015.50	3244.00	USD	-5,712.50	
14SEP17	B	1	PD14DEC17	3008.50	3244.00	USD	5,887.50	
14SEP17	S	1	PD14DEC17	2991.00	3244.00	USD	-6,325.00	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0112D

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
14SEP17	S	1	PD14DEC17	2997.00	3244.00	USD	-6,175.00	
14SEP17	B	1	PD14DEC17	3008.00	3244.00	USD	5,900.00	
14SEP17	B	1	PD14DEC17	3003.00	3244.00	USD	6,025.00	
14SEP17	B	2	PD14DEC17	3002.00	3244.00	USD	12,100.00	
14SEP17	B	1	PD14DEC17	3004.00	3244.00	USD	6,000.00	
14SEP17	B	2	PD14DEC17	3003.50	3244.00	USD	12,025.00	
14SEP17	B	3	PD14DEC17	3002.50	3244.00	USD	18,112.50	
14SEP17	B	1	PD14DEC17	3000.50	3244.00	USD	6,087.50	
14SEP17	B	2	PD14DEC17	2997.50	3244.00	USD	12,325.00	
14SEP17	B	3	PD14DEC17	3006.00	3244.00	USD	17,850.00	
14SEP17	B	5	PD14DEC17	3004.50	3244.00	USD	29,937.50	
14SEP17	B	5	PD14DEC17	3005.00	3244.00	USD	29,875.00	
15SEP17	B	4	PD14DEC17	3031.04	3244.00	USD	21,296.00	
19SEP17	S	4	PD14DEC17	3105.64	3244.00	USD	-13,836.00	
	NET	0AVG BGHT 3010.91	SOLD 3011.79				2,147.50	0.00
15SEP17	B	1	PD15DEC17	3044.00	3243.75	USD	4,993.75	
15SEP17	S	2	PD15DEC17	3013.00	3243.75	USD	-11,537.50	
15SEP17	B	1	PD15DEC17	3014.00	3243.75	USD	5,743.75	
15SEP17	S	3	PD15DEC17	3007.00	3243.75	USD	-17,756.25	
15SEP17	B	2	PD15DEC17	3004.50	3243.75	USD	11,962.50	
15SEP17	S	1	PD15DEC17	3006.00	3243.75	USD	-5,943.75	
15SEP17	S	2	PD15DEC17	3006.50	3243.75	USD	-11,862.50	
15SEP17	S	1	PD15DEC17	3009.00	3243.75	USD	-5,868.75	
15SEP17	S	1	PD15DEC17	3010.00	3243.75	USD	-5,843.75	
15SEP17	S	4	PD15DEC17	3012.50	3243.75	USD	-23,125.00	
15SEP17	B	1	PD15DEC17	3010.50	3243.75	USD	5,831.25	
15SEP17	B	1	PD15DEC17	3010.00	3243.75	USD	5,843.75	
15SEP17	B	1	PD15DEC17	3022.00	3243.75	USD	5,543.75	
15SEP17	S	1	PD15DEC17	3017.00	3243.75	USD	-5,668.75	
15SEP17	B	1	PD15DEC17	3019.50	3243.75	USD	5,606.25	
15SEP17	B	3	PD15DEC17	3018.50	3243.75	USD	16,893.75	
15SEP17	B	1	PD15DEC17	3006.00	3243.75	USD	5,943.75	
15SEP17	B	1	PD15DEC17	3004.00	3243.75	USD	5,993.75	
15SEP17	B	3	PD15DEC17	3013.00	3243.75	USD	17,306.25	
15SEP17	S	2	PD15DEC17	3021.00	3243.75	USD	-11,137.50	
15SEP17	S	2	PD15DEC17	3023.00	3243.75	USD	-11,037.50	
15SEP17	S	1	PD15DEC17	3026.00	3243.75	USD	-5,443.75	
15SEP17	S	1	PD15DEC17	3030.50	3243.75	USD	-5,331.25	
15SEP17	S	1	PD15DEC17	3031.00	3243.75	USD	-5,318.75	
15SEP17	B	2	PD15DEC17	3029.00	3243.75	USD	10,737.50	
15SEP17	B	2	PD15DEC17	3032.50	3243.75	USD	10,562.50	
15SEP17	B	1	PD15DEC17	3024.00	3243.75	USD	5,493.75	
15SEP17	B	4	PD15DEC17	3025.00	3243.75	USD	21,875.00	
15SEP17	B	1	PD15DEC17	3024.50	3243.75	USD	5,481.25	
15SEP17	B	1	PD15DEC17	3005.00	3243.75	USD	5,968.75	
15SEP17	S	1	PD15DEC17	3012.50	3243.75	USD	-5,781.25	
15SEP17	S	1	PD15DEC17	3012.00	3243.75	USD	-5,793.75	
15SEP17	S	2	PD15DEC17	3018.50	3243.75	USD	-11,262.50	
15SEP17	S	1	PD15DEC17	3038.00	3243.75	USD	-5,143.75	
15SEP17	S	2	PD15DEC17	3040.00	3243.75	USD	-10,187.50	
15SEP17	S	1	PD15DEC17	3039.50	3243.75	USD	-5,106.25	
15SEP17	S	1	PD15DEC17	3040.50	3243.75	USD	-5,081.25	
15SEP17	S	1	PD15DEC17	3045.00	3243.75	USD	-4,968.75	
15SEP17	B	1	PD15DEC17	3039.50	3243.75	USD	5,106.25	
15SEP17	B	1	PD15DEC17	3034.00	3243.75	USD	5,243.75	
15SEP17	B	2	PD15DEC17	3033.50	3243.75	USD	10,512.50	
15SEP17	B	1	PD15DEC17	3027.00	3243.75	USD	5,418.75	

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
15SEP17	S	1	PD15DEC17	3034.50	3243.75	USD	-5,231.25	
15SEP17	S	1	PD15DEC17	3034.00	3243.75	USD	-5,243.75	
15SEP17	S	2	PD15DEC17	3035.00	3243.75	USD	-10,437.50	
15SEP17	B	1	PD15DEC17	3035.50	3243.75	USD	5,206.25	
15SEP17	B	1	PD15DEC17	3032.00	3243.75	USD	5,293.75	
15SEP17	B	1	PD15DEC17	3031.50	3243.75	USD	5,306.25	
15SEP17	S	1	PD15DEC17	3028.00	3243.75	USD	-5,393.75	
15SEP17	B	4	PD15DEC17	3021.50	3243.75	USD	22,225.00	
15SEP17	B	4	PD15DEC17	3018.00	3243.75	USD	22,575.00	
15SEP17	B	1	PD15DEC17	3014.50	3243.75	USD	5,731.25	
15SEP17	B	1	PD15DEC17	3009.00	3243.75	USD	5,868.75	
15SEP17	S	1	PD15DEC17	2998.50	3243.75	USD	-6,131.25	
15SEP17	B	1	PD15DEC17	2991.50	3243.75	USD	6,306.25	
15SEP17	B	1	PD15DEC17	2991.00	3243.75	USD	6,318.75	
15SEP17	B	1	PD15DEC17	2992.50	3243.75	USD	6,281.25	
15SEP17	S	1	PD15DEC17	3001.50	3243.75	USD	-6,056.25	
15SEP17	S	1	PD15DEC17	3011.00	3243.75	USD	-5,818.75	
15SEP17	S	4	PD15DEC17	3011.50	3243.75	USD	-23,225.00	
15SEP17	S	1	PD15DEC17	3015.50	3243.75	USD	-5,706.25	
15SEP17	S	1	PD15DEC17	3015.00	3243.75	USD	-5,718.75	
15SEP17	S	3	PD15DEC17	3014.50	3243.75	USD	-17,193.75	
15SEP17	S	1	PD15DEC17	3013.50	3243.75	USD	-5,756.25	
15SEP17	B	2	PD15DEC17	2995.50	3243.75	USD	12,412.50	
15SEP17	B	1	PD15DEC17	2994.00	3243.75	USD	6,243.75	
15SEP17	B	1	PD15DEC17	2994.50	3243.75	USD	6,231.25	
15SEP17	B	1	PD15DEC17	3019.00	3243.75	USD	5,618.75	
15SEP17	S	1	PD15DEC17	3009.50	3243.75	USD	-5,856.25	
15SEP17	S	1	PD15DEC17	3010.00	3243.75	USD	-5,843.75	
15SEP17	S	1	PD15DEC17	3014.00	3243.75	USD	-5,743.75	
15SEP17	B	3	PD15DEC17	2993.00	3243.75	USD	18,806.25	
15SEP17	B	1	PD15DEC17	2996.00	3243.75	USD	6,193.75	
15SEP17	S	1	PD15DEC17	3008.00	3243.75	USD	-5,893.75	
15SEP17	S	1	PD15DEC17	3009.50	3243.75	USD	-5,856.25	
15SEP17	S	1	PD15DEC17	3012.00	3243.75	USD	-5,793.75	
15SEP17	S	2	PD15DEC17	3016.00	3243.75	USD	-11,387.50	
15SEP17	S	1	PD15DEC17	3020.00	3243.75	USD	-5,593.75	
15SEP17	S	2	PD15DEC17	3022.50	3243.75	USD	-11,062.50	
15SEP17	S	1	PD15DEC17	3021.50	3243.75	USD	-5,556.25	
15SEP17	S	1	PD15DEC17	3022.00	3243.75	USD	-5,543.75	
15SEP17	S	1	PD15DEC17	3023.50	3243.75	USD	-5,506.25	
15SEP17	S	3	PD15DEC17	3030.00	3243.75	USD	-16,031.25	
15SEP17	B	2	PD15DEC17	3030.00	3243.75	USD	10,687.50	
15SEP17	B	1	PD15DEC17	3030.50	3243.75	USD	5,331.25	
19SEP17	B	9	PD15DEC17	3106.06	3243.75	USD	30,980.25	
04OCT17	S	2	PD15DEC17	3306.21	3243.75	USD	3,123.00	
	NET	0AVG BGHT 3028.28	SOLD 3027.14				-1,978.00	0.00
18SEP17	S	1	PD18DEC17	3071.50	3243.50	USD	-4,300.00	
18SEP17	B	3	PD18DEC17	3075.50	3243.50	USD	12,600.00	
18SEP17	S	2	PD18DEC17	3075.00	3243.50	USD	-8,425.00	
18SEP17	S	2	PD18DEC17	3084.50	3243.50	USD	-7,950.00	
18SEP17	B	1	PD18DEC17	3074.50	3243.50	USD	4,225.00	
18SEP17	B	3	PD18DEC17	3075.00	3243.50	USD	12,637.50	
18SEP17	S	3	PD18DEC17	3083.00	3243.50	USD	-12,037.50	
18SEP17	S	1	PD18DEC17	3070.00	3243.50	USD	-4,337.50	
18SEP17	S	2	PD18DEC17	3080.50	3243.50	USD	-8,150.00	
18SEP17	B	4	PD18DEC17	3076.00	3243.50	USD	16,750.00	
18SEP17	B	2	PD18DEC17	3067.50	3243.50	USD	8,800.00	

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18SEP17	S	1	PD18DEC17	3084.00	3243.50	USD	-3,987.50	
18SEP17	B	4	PD18DEC17	3078.00	3243.50	USD	16,550.00	
18SEP17	B	1	PD18DEC17	3066.00	3243.50	USD	4,437.50	
18SEP17	B	1	PD18DEC17	3066.50	3243.50	USD	4,425.00	
18SEP17	S	1	PD18DEC17	3077.00	3243.50	USD	-4,162.50	
18SEP17	S	1	PD18DEC17	3066.00	3243.50	USD	-4,437.50	
18SEP17	S	1	PD18DEC17	3079.50	3243.50	USD	-4,100.00	
18SEP17	S	1	PD18DEC17	3079.00	3243.50	USD	-4,112.50	
18SEP17	S	1	PD18DEC17	3078.50	3243.50	USD	-4,125.00	
18SEP17	S	2	PD18DEC17	3082.00	3243.50	USD	-8,075.00	
18SEP17	S	1	PD18DEC17	3082.50	3243.50	USD	-4,025.00	
18SEP17	S	1	PD18DEC17	3083.50	3243.50	USD	-4,000.00	
18SEP17	S	5	PD18DEC17	3081.50	3243.50	USD	-20,250.00	
18SEP17	S	1	PD18DEC17	3090.00	3243.50	USD	-3,837.50	
18SEP17	S	1	PD18DEC17	3090.50	3243.50	USD	-3,825.00	
18SEP17	S	4	PD18DEC17	3094.00	3243.50	USD	-14,950.00	
18SEP17	S	1	PD18DEC17	3089.50	3243.50	USD	-3,850.00	
18SEP17	B	1	PD18DEC17	3089.00	3243.50	USD	3,862.50	
18SEP17	B	1	PD18DEC17	3081.00	3243.50	USD	4,062.50	
18SEP17	S	5	PD18DEC17	3081.00	3243.50	USD	-20,312.50	
18SEP17	B	2	PD18DEC17	3073.00	3243.50	USD	8,525.00	
18SEP17	B	2	PD18DEC17	3070.50	3243.50	USD	8,650.00	
18SEP17	B	4	PD18DEC17	3071.50	3243.50	USD	17,200.00	
18SEP17	B	2	PD18DEC17	3071.00	3243.50	USD	8,625.00	
18SEP17	B	6	PD18DEC17	3076.50	3243.50	USD	25,050.00	
18SEP17	B	1	PD18DEC17	3073.50	3243.50	USD	4,250.00	
18SEP17	B	1	PD18DEC17	3066.50	3243.50	USD	4,425.00	
18SEP17	B	1	PD18DEC17	3071.50	3243.50	USD	4,300.00	
18SEP17	B	1	PD18DEC17	3079.00	3243.50	USD	4,112.50	
18SEP17	B	1	PD18DEC17	3065.00	3243.50	USD	4,462.50	
18SEP17	S	1	PD18DEC17	3087.00	3243.50	USD	-3,912.50	
18SEP17	S	1	PD18DEC17	3087.50	3243.50	USD	-3,900.00	
18SEP17	S	1	PD18DEC17	3088.50	3243.50	USD	-3,875.00	
18SEP17	B	1	PD18DEC17	3088.00	3243.50	USD	3,887.50	
18SEP17	S	2	PD18DEC17	3088.00	3243.50	USD	-7,775.00	
18SEP17	S	1	PD18DEC17	3074.00	3243.50	USD	-4,237.50	
18SEP17	S	1	PD18DEC17	3087.50	3243.50	USD	-3,900.00	
18SEP17	B	1	PD18DEC17	3101.50	3243.50	USD	3,550.00	
18SEP17	S	1	PD18DEC17	3100.00	3243.50	USD	-3,587.50	
18SEP17	S	1	PD18DEC17	3105.00	3243.50	USD	-3,462.50	
18SEP17	S	1	PD18DEC17	3112.00	3243.50	USD	-3,287.50	
19SEP17	B	4	PD18DEC17	3106.70	3243.50	USD	13,680.00	
	NET	0AVG BGHT 3077.61	SOLD 3084.18				7,880.00	0.00
19SEP17	S	1	PD19DEC17	3100.00	3243.25	USD	-3,581.25	
19SEP17	S	2	PD19DEC17	3102.00	3243.25	USD	-7,062.50	
19SEP17	S	1	PD19DEC17	3105.50	3243.25	USD	-3,443.75	
19SEP17	B	1	PD19DEC17	3096.50	3243.25	USD	3,668.75	
19SEP17	B	1	PD19DEC17	3095.00	3243.25	USD	3,706.25	
19SEP17	B	1	PD19DEC17	3091.00	3243.25	USD	3,806.25	
19SEP17	B	1	PD19DEC17	3093.50	3243.25	USD	3,743.75	
19SEP17	B	1	PD19DEC17	3089.50	3243.25	USD	3,843.75	
19SEP17	B	1	PD19DEC17	3092.50	3243.25	USD	3,768.75	
19SEP17	S	1	PD19DEC17	3095.50	3243.25	USD	-3,693.75	
19SEP17	S	3	PD19DEC17	3095.00	3243.25	USD	-11,118.75	
19SEP17	B	1	PD19DEC17	3099.00	3243.25	USD	3,606.25	
19SEP17	B	1	PD19DEC17	3081.00	3243.25	USD	4,056.25	
19SEP17	S	2	PD19DEC17	3078.50	3243.25	USD	-8,237.50	

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19SEP17	S	1	PD19DEC17		3079.00	3243.25	USD	-4,106.25	
19SEP17	B	1	PD19DEC17		3078.00	3243.25	USD	4,131.25	
19SEP17	B	1	PD19DEC17		3067.50	3243.25	USD	4,393.75	
19SEP17	B	1	PD19DEC17		3069.00	3243.25	USD	4,356.25	
19SEP17	B	2	PD19DEC17		3063.50	3243.25	USD	8,987.50	
19SEP17	B	1	PD19DEC17		3077.00	3243.25	USD	4,156.25	
19SEP17	S	1	PD19DEC17		3074.00	3243.25	USD	-4,231.25	
19SEP17	S	1	PD19DEC17		3077.50	3243.25	USD	-4,143.75	
19SEP17	S	1	PD19DEC17		3078.00	3243.25	USD	-4,131.25	
19SEP17	S	1	PD19DEC17		3087.00	3243.25	USD	-3,906.25	
19SEP17	S	1	PD19DEC17		3099.00	3243.25	USD	-3,606.25	
26SEP17	B	2	PD19DEC17		3119.00	3243.25	USD	6,212.50	
	NET	0	AVG BGHT 3087.16	SOLD 3090.09				1,175.00	0.00
20SEP17	B	1	PD20DEC17		3101.50	3243.00	USD	3,537.50	
20SEP17	B	1	PD20DEC17		3102.00	3243.00	USD	3,525.00	
20SEP17	S	1	PD20DEC17		3109.50	3243.00	USD	-3,337.50	
20SEP17	B	1	PD20DEC17		3110.00	3243.00	USD	3,325.00	
20SEP17	S	1	PD20DEC17		3120.00	3243.00	USD	-3,075.00	
20SEP17	S	1	PD20DEC17		3124.50	3243.00	USD	-2,962.50	
20SEP17	B	1	PD20DEC17		3119.50	3243.00	USD	3,087.50	
20SEP17	S	2	PD20DEC17		3133.50	3243.00	USD	-5,475.00	
20SEP17	S	1	PD20DEC17		3135.50	3243.00	USD	-2,687.50	
20SEP17	S	1	PD20DEC17		3125.00	3243.00	USD	-2,950.00	
20SEP17	B	1	PD20DEC17		3124.00	3243.00	USD	2,975.00	
20SEP17	S	1	PD20DEC17		3122.50	3243.00	USD	-3,012.50	
20SEP17	S	1	PD20DEC17		3127.50	3243.00	USD	-2,887.50	
20SEP17	S	3	PD20DEC17		3130.50	3243.00	USD	-8,437.50	
20SEP17	S	1	PD20DEC17		3134.00	3243.00	USD	-2,725.00	
20SEP17	S	4	PD20DEC17		3128.00	3243.00	USD	-11,500.00	
20SEP17	S	3	PD20DEC17		3129.00	3243.00	USD	-8,550.00	
20SEP17	B	1	PD20DEC17		3119.00	3243.00	USD	3,100.00	
20SEP17	B	4	PD20DEC17		3120.00	3243.00	USD	12,300.00	
20SEP17	B	2	PD20DEC17		3122.50	3243.00	USD	6,025.00	
20SEP17	B	2	PD20DEC17		3123.50	3243.00	USD	5,975.00	
20SEP17	B	4	PD20DEC17		3122.00	3243.00	USD	12,100.00	
20SEP17	B	1	PD20DEC17		3125.00	3243.00	USD	2,950.00	
20SEP17	S	1	PD20DEC17		3137.50	3243.00	USD	-2,637.50	
20SEP17	S	2	PD20DEC17		3138.00	3243.00	USD	-5,250.00	
20SEP17	S	3	PD20DEC17		3138.50	3243.00	USD	-7,837.50	
20SEP17	S	2	PD20DEC17		3139.50	3243.00	USD	-5,175.00	
20SEP17	B	1	PD20DEC17		3140.00	3243.00	USD	2,575.00	
20SEP17	S	3	PD20DEC17		3142.50	3243.00	USD	-7,537.50	
20SEP17	S	1	PD20DEC17		3143.00	3243.00	USD	-2,500.00	
20SEP17	S	1	PD20DEC17		3144.50	3243.00	USD	-2,462.50	
20SEP17	S	1	PD20DEC17		3145.50	3243.00	USD	-2,437.50	
20SEP17	S	1	PD20DEC17		3146.50	3243.00	USD	-2,412.50	
20SEP17	S	1	PD20DEC17		3149.50	3243.00	USD	-2,337.50	
20SEP17	S	1	PD20DEC17		3148.50	3243.00	USD	-2,362.50	
20SEP17	S	1	PD20DEC17		3131.50	3243.00	USD	-2,787.50	
20SEP17	S	1	PD20DEC17		3150.00	3243.00	USD	-2,325.00	
20SEP17	B	1	PD20DEC17		3135.50	3243.00	USD	2,687.50	
20SEP17	B	2	PD20DEC17		3140.50	3243.00	USD	5,125.00	
20SEP17	B	2	PD20DEC17		3139.00	3243.00	USD	5,200.00	
20SEP17	B	3	PD20DEC17		3136.50	3243.00	USD	7,987.50	
20SEP17	B	2	PD20DEC17		3133.50	3243.00	USD	5,475.00	
20SEP17	B	2	PD20DEC17		3134.50	3243.00	USD	5,425.00	
20SEP17	S	3	PD20DEC17		3140.00	3243.00	USD	-7,725.00	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0112D

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

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Date	Open Position				Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>								
20SEP17	S	1	PD20DEC17		3127.00	3243.00	USD	-2,900.00	
20SEP17	B	5	PD20DEC17		3118.50	3243.00	USD	15,562.50	
20SEP17	B	2	PD20DEC17		3124.50	3243.00	USD	5,925.00	
20SEP17	B	1	PD20DEC17		3133.00	3243.00	USD	2,750.00	
20SEP17	B	1	PD20DEC17		3131.00	3243.00	USD	2,800.00	
20SEP17	B	2	PD20DEC17		3128.50	3243.00	USD	5,725.00	
	NET	0	AVG BGHT 3125.66	SOLD 3134.83				9,850.00	0.00
21SEP17	S	2	PD21DEC17		3097.50	3240.00	USD	-7,125.00	
21SEP17	S	1	PD21DEC17		3093.50	3240.00	USD	-3,662.50	
21SEP17	S	3	PD21DEC17		3093.00	3240.00	USD	-11,025.00	
21SEP17	S	1	PD21DEC17		3091.00	3240.00	USD	-3,725.00	
21SEP17	B	1	PD21DEC17		3087.50	3240.00	USD	3,812.50	
21SEP17	B	1	PD21DEC17		3082.00	3240.00	USD	3,950.00	
21SEP17	B	1	PD21DEC17		3077.50	3240.00	USD	4,062.50	
21SEP17	B	1	PD21DEC17		3076.00	3240.00	USD	4,100.00	
21SEP17	B	1	PD21DEC17		3072.00	3240.00	USD	4,200.00	
21SEP17	B	2	PD21DEC17		3070.00	3240.00	USD	8,500.00	
21SEP17	B	3	PD21DEC17		3068.00	3240.00	USD	12,900.00	
21SEP17	S	1	PD21DEC17		3072.50	3240.00	USD	-4,187.50	
21SEP17	S	1	PD21DEC17		3073.00	3240.00	USD	-4,175.00	
21SEP17	S	1	PD21DEC17		3070.50	3240.00	USD	-4,237.50	
21SEP17	B	3	PD21DEC17		3070.50	3240.00	USD	12,712.50	
21SEP17	S	2	PD21DEC17		3073.50	3240.00	USD	-8,325.00	
21SEP17	B	1	PD21DEC17		3073.50	3240.00	USD	4,162.50	
21SEP17	S	1	PD21DEC17		3074.50	3240.00	USD	-4,137.50	
21SEP17	S	2	PD21DEC17		3076.00	3240.00	USD	-8,200.00	
21SEP17	S	1	PD21DEC17		3078.50	3240.00	USD	-4,037.50	
21SEP17	S	3	PD21DEC17		3078.00	3240.00	USD	-12,150.00	
21SEP17	S	1	PD21DEC17		3077.00	3240.00	USD	-4,075.00	
21SEP17	S	1	PD21DEC17		3082.00	3240.00	USD	-3,950.00	
21SEP17	S	1	PD21DEC17		3079.00	3240.00	USD	-4,025.00	
21SEP17	S	1	PD21DEC17		3079.50	3240.00	USD	-4,012.50	
21SEP17	B	1	PD21DEC17		3071.00	3240.00	USD	4,225.00	
21SEP17	S	2	PD21DEC17		3085.00	3240.00	USD	-7,750.00	
21SEP17	S	2	PD21DEC17		3083.50	3240.00	USD	-7,825.00	
21SEP17	S	1	PD21DEC17		3080.50	3240.00	USD	-3,987.50	
21SEP17	S	1	PD21DEC17		3086.00	3240.00	USD	-3,850.00	
21SEP17	S	1	PD21DEC17		3087.50	3240.00	USD	-3,812.50	
21SEP17	B	2	PD21DEC17		3089.50	3240.00	USD	7,525.00	
21SEP17	B	1	PD21DEC17		3098.50	3240.00	USD	3,537.50	
21SEP17	B	1	PD21DEC17		3098.00	3240.00	USD	3,550.00	
21SEP17	B	1	PD21DEC17		3091.50	3240.00	USD	3,712.50	
21SEP17	B	2	PD21DEC17		3085.50	3240.00	USD	7,725.00	
21SEP17	B	3	PD21DEC17		3086.00	3240.00	USD	11,550.00	
21SEP17	B	1	PD21DEC17		3087.00	3240.00	USD	3,825.00	
21SEP17	S	1	PD21DEC17		3075.00	3240.00	USD	-4,125.00	
21SEP17	B	1	PD21DEC17		3075.00	3240.00	USD	4,125.00	
21SEP17	B	2	PD21DEC17		3067.50	3240.00	USD	8,625.00	
21SEP17	S	1	PD21DEC17		3067.50	3240.00	USD	-4,312.50	
21SEP17	B	1	PD21DEC17		3075.00	3240.00	USD	4,125.00	
21SEP17	S	1	PD21DEC17		3077.50	3240.00	USD	-4,062.50	
21SEP17	B	2	PD21DEC17		3073.00	3240.00	USD	8,350.00	
21SEP17	B	3	PD21DEC17		3082.50	3240.00	USD	11,812.50	
21SEP17	B	1	PD21DEC17		3081.50	3240.00	USD	3,962.50	
21SEP17	B	1	PD21DEC17		3077.00	3240.00	USD	4,075.00	
21SEP17	B	1	PD21DEC17		3075.50	3240.00	USD	4,112.50	
21SEP17	S	2	PD21DEC17		3084.00	3240.00	USD	-7,800.00	

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
21SEP17	S	1	PD21DEC17	3084.50	3240.00	USD	-3,887.50	
21SEP17	S	1	PD21DEC17	3085.50	3240.00	USD	-3,862.50	
21SEP17	S	1	PD21DEC17	3086.50	3240.00	USD	-3,837.50	
21SEP17	S	1	PD21DEC17	3091.50	3240.00	USD	-3,712.50	
21SEP17	S	1	PD21DEC17	3099.50	3240.00	USD	-3,512.50	
21SEP17	S	1	PD21DEC17	3098.50	3240.00	USD	-3,537.50	
21SEP17	S	1	PD21DEC17	3107.00	3240.00	USD	-3,325.00	
21SEP17	S	1	PD21DEC17	3105.50	3240.00	USD	-3,362.50	
21SEP17	S	2	PD21DEC17	3109.00	3240.00	USD	-6,550.00	
21SEP17	S	1	PD21DEC17	3106.00	3240.00	USD	-3,350.00	
21SEP17	B	1	PD21DEC17	3100.00	3240.00	USD	3,500.00	
21SEP17	B	1	PD21DEC17	3101.00	3240.00	USD	3,475.00	
21SEP17	B	1	PD21DEC17	3100.50	3240.00	USD	3,487.50	
21SEP17	B	1	PD21DEC17	3102.50	3240.00	USD	3,437.50	
21SEP17	S	1	PD21DEC17	3097.50	3240.00	USD	-3,562.50	
21SEP17	S	1	PD21DEC17	3108.00	3240.00	USD	-3,300.00	
21SEP17	S	1	PD21DEC17	3117.00	3240.00	USD	-3,075.00	
21SEP17	S	2	PD21DEC17	3120.00	3240.00	USD	-6,000.00	
21SEP17	B	1	PD21DEC17	3112.00	3240.00	USD	3,200.00	
21SEP17	B	1	PD21DEC17	3099.50	3240.00	USD	3,512.50	
21SEP17	S	1	PD21DEC17	3096.00	3240.00	USD	-3,600.00	
21SEP17	B	1	PD21DEC17	3104.50	3240.00	USD	3,387.50	
21SEP17	B	2	PD21DEC17	3103.50	3240.00	USD	6,825.00	
21SEP17	B	2	PD21DEC17	3088.00	3240.00	USD	7,600.00	
21SEP17	B	3	PD21DEC17	3084.00	3240.00	USD	11,700.00	
21SEP17	B	3	PD21DEC17	3079.00	3240.00	USD	12,075.00	
21SEP17	B	2	PD21DEC17	3080.50	3240.00	USD	7,975.00	
21SEP17	B	1	PD21DEC17	3081.00	3240.00	USD	3,975.00	
21SEP17	B	1	PD21DEC17	3079.50	3240.00	USD	4,012.50	
21SEP17	B	1	PD21DEC17	3076.50	3240.00	USD	4,087.50	
21SEP17	B	5	PD21DEC17	3074.00	3240.00	USD	20,750.00	
21SEP17	B	1	PD21DEC17	3046.00	3240.00	USD	4,850.00	
21SEP17	B	1	PD21DEC17	3045.50	3240.00	USD	4,862.50	
21SEP17	B	1	PD21DEC17	3035.00	3240.00	USD	5,125.00	
21SEP17	B	1	PD21DEC17	3034.50	3240.00	USD	5,137.50	
21SEP17	B	1	PD21DEC17	3032.00	3240.00	USD	5,200.00	
21SEP17	B	1	PD21DEC17	3029.50	3240.00	USD	5,262.50	
21SEP17	S	1	PD21DEC17	3033.50	3240.00	USD	-5,162.50	
21SEP17	S	1	PD21DEC17	3041.50	3240.00	USD	-4,962.50	
21SEP17	B	1	PD21DEC17	3040.00	3240.00	USD	5,000.00	
21SEP17	S	1	PD21DEC17	3042.50	3240.00	USD	-4,937.50	
21SEP17	S	1	PD21DEC17	3044.50	3240.00	USD	-4,887.50	
21SEP17	S	2	PD21DEC17	3040.00	3240.00	USD	-10,000.00	
21SEP17	S	2	PD21DEC17	3042.00	3240.00	USD	-9,900.00	
21SEP17	S	2	PD21DEC17	3045.00	3240.00	USD	-9,750.00	
26SEP17	S	2	PD21DEC17	3117.80	3240.00	USD	-6,110.00	
26SEP17	S	4	PD21DEC17	3118.00	3240.00	USD	-12,200.00	
04OCT17	S	4	PD21DEC17	3302.00	3240.00	USD	6,200.00	
	NET	0	AVG BGHT 3077.96	SOLD 3096.24			32,915.00	0.00
22SEP17	S	1	PD22DEC17	3035.00	3239.62	USD	-5,115.50	
22SEP17	B	1	PD22DEC17	3031.50	3239.62	USD	5,203.00	
22SEP17	S	2	PD22DEC17	3030.00	3239.62	USD	-10,481.00	
22SEP17	B	1	PD22DEC17	3037.00	3239.62	USD	5,065.50	
22SEP17	B	1	PD22DEC17	3039.50	3239.62	USD	5,003.00	
22SEP17	B	1	PD22DEC17	3040.00	3239.62	USD	4,990.50	
22SEP17	S	2	PD22DEC17	3029.50	3239.62	USD	-10,506.00	
22SEP17	S	2	PD22DEC17	3031.50	3239.62	USD	-10,406.00	

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22SEP17	S	7	PD22DEC17	3034.00	3239.62	USD	-35,983.50	
22SEP17	B	1	PD22DEC17	3026.00	3239.62	USD	5,340.50	
22SEP17	B	1	PD22DEC17	3027.50	3239.62	USD	5,303.00	
22SEP17	B	1	PD22DEC17	3027.00	3239.62	USD	5,315.50	
22SEP17	B	1	PD22DEC17	3026.50	3239.62	USD	5,328.00	
22SEP17	B	1	PD22DEC17	3015.50	3239.62	USD	5,603.00	
22SEP17	B	2	PD22DEC17	3014.00	3239.62	USD	11,281.00	
22SEP17	B	1	PD22DEC17	3011.50	3239.62	USD	5,703.00	
22SEP17	B	1	PD22DEC17	3012.50	3239.62	USD	5,678.00	
22SEP17	B	4	PD22DEC17	3011.00	3239.62	USD	22,862.00	
22SEP17	B	1	PD22DEC17	3010.50	3239.62	USD	5,728.00	
22SEP17	B	1	PD22DEC17	3008.50	3239.62	USD	5,778.00	
22SEP17	B	2	PD22DEC17	3007.50	3239.62	USD	11,606.00	
22SEP17	B	1	PD22DEC17	3004.50	3239.62	USD	5,878.00	
22SEP17	B	1	PD22DEC17	3002.50	3239.62	USD	5,928.00	
22SEP17	S	1	PD22DEC17	3016.00	3239.62	USD	-5,590.50	
22SEP17	S	2	PD22DEC17	3018.00	3239.62	USD	-11,081.00	
22SEP17	S	1	PD22DEC17	3013.00	3239.62	USD	-5,665.50	
22SEP17	S	1	PD22DEC17	3026.00	3239.62	USD	-5,340.50	
22SEP17	S	2	PD22DEC17	3025.50	3239.62	USD	-10,706.00	
22SEP17	S	1	PD22DEC17	3024.50	3239.62	USD	-5,378.00	
22SEP17	S	2	PD22DEC17	3025.00	3239.62	USD	-10,731.00	
22SEP17	S	2	PD22DEC17	3029.00	3239.62	USD	-10,531.00	
22SEP17	S	2	PD22DEC17	3028.00	3239.62	USD	-10,581.00	
22SEP17	S	2	PD22DEC17	3028.50	3239.62	USD	-10,556.00	
22SEP17	B	1	PD22DEC17	3019.50	3239.62	USD	5,503.00	
22SEP17	B	1	PD22DEC17	3018.00	3239.62	USD	5,540.50	
22SEP17	S	1	PD22DEC17	3022.00	3239.62	USD	-5,440.50	
22SEP17	B	1	PD22DEC17	3053.00	3239.62	USD	4,665.50	
22SEP17	S	1	PD22DEC17	3064.00	3239.62	USD	-4,390.50	
22SEP17	S	1	PD22DEC17	3070.50	3239.62	USD	-4,228.00	
22SEP17	S	1	PD22DEC17	3071.50	3239.62	USD	-4,203.00	
22SEP17	S	1	PD22DEC17	3036.00	3239.62	USD	-5,090.50	
22SEP17	B	1	PD22DEC17	3015.50	3239.62	USD	5,603.00	
22SEP17	B	1	PD22DEC17	3064.50	3239.62	USD	4,378.00	
22SEP17	B	1	PD22DEC17	3066.00	3239.62	USD	4,340.50	
22SEP17	B	3	PD22DEC17	3067.50	3239.62	USD	12,909.00	
22SEP17	S	3	PD22DEC17	3058.00	3239.62	USD	-13,621.50	
22SEP17	B	2	PD22DEC17	3052.50	3239.62	USD	9,356.00	
22SEP17	S	1	PD22DEC17	3052.00	3239.62	USD	-4,690.50	
22SEP17	S	7	PD22DEC17	3050.50	3239.62	USD	-33,096.00	
22SEP17	B	3	PD22DEC17	3039.00	3239.62	USD	15,046.50	
22SEP17	B	4	PD22DEC17	3013.50	3239.62	USD	22,612.00	
22SEP17	B	1	PD22DEC17	3013.00	3239.62	USD	5,665.50	
22SEP17	B	2	PD22DEC17	3031.00	3239.62	USD	10,431.00	
22SEP17	S	1	PD22DEC17	3042.50	3239.62	USD	-4,928.00	
22SEP17	S	1	PD22DEC17	3054.50	3239.62	USD	-4,628.00	
22SEP17	S	2	PD22DEC17	3049.00	3239.62	USD	-9,531.00	
22SEP17	S	1	PD22DEC17	3048.00	3239.62	USD	-4,790.50	
22SEP17	S	2	PD22DEC17	3061.00	3239.62	USD	-8,931.00	
22SEP17	S	1	PD22DEC17	3062.00	3239.62	USD	-4,440.50	
22SEP17	S	1	PD22DEC17	3063.00	3239.62	USD	-4,415.50	
22SEP17	B	1	PD22DEC17	3013.00	3239.62	USD	5,665.50	
26SEP17	B	4	PD22DEC17	3117.20	3239.62	USD	12,242.00	
04OCT17	B	6	PD22DEC17	3302.20	3239.62	USD	-9,387.00	
	NET	0 AVG BGHT 3063.50	SOLD 3039.56				-32,912.50	0.00
25SEP17	S	1	PD27DEC17	3089.00	3239.23	USD	-3,755.75	

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25SEP17	S	1	PD27DEC17	3071.00	3239.23	USD	-4,205.75	
25SEP17	S	1	PD27DEC17	3073.00	3239.23	USD	-4,155.75	
25SEP17	B	1	PD27DEC17	3072.00	3239.23	USD	4,180.75	
25SEP17	B	1	PD27DEC17	3071.00	3239.23	USD	4,205.75	
25SEP17	S	1	PD27DEC17	3077.00	3239.23	USD	-4,055.75	
25SEP17	B	1	PD27DEC17	3083.00	3239.23	USD	3,905.75	
25SEP17	B	1	PD27DEC17	3089.50	3239.23	USD	3,743.25	
25SEP17	S	1	PD27DEC17	3084.00	3239.23	USD	-3,880.75	
25SEP17	S	1	PD27DEC17	3104.00	3239.23	USD	-3,380.75	
25SEP17	B	1	PD27DEC17	3097.50	3239.23	USD	3,543.25	
25SEP17	B	1	PD27DEC17	3082.00	3239.23	USD	3,930.75	
25SEP17	B	1	PD27DEC17	3078.50	3239.23	USD	4,018.25	
25SEP17	B	1	PD27DEC17	3077.00	3239.23	USD	4,055.75	
25SEP17	B	1	PD27DEC17	3082.50	3239.23	USD	3,918.25	
25SEP17	S	1	PD27DEC17	3081.50	3239.23	USD	-3,943.25	
25SEP17	B	1	PD27DEC17	3077.50	3239.23	USD	4,043.25	
25SEP17	S	1	PD27DEC17	3103.50	3239.23	USD	-3,393.25	
25SEP17	S	1	PD27DEC17	3096.50	3239.23	USD	-3,568.25	
25SEP17	S	3	PD27DEC17	3097.50	3239.23	USD	-10,629.75	
25SEP17	B	1	PD27DEC17	3098.00	3239.23	USD	3,530.75	
25SEP17	B	1	PD27DEC17	3099.50	3239.23	USD	3,493.25	
25SEP17	S	1	PD27DEC17	3113.50	3239.23	USD	-3,143.25	
26SEP17	S	5	PD27DEC17	3122.00	3239.23	USD	-14,653.75	
26SEP17	S	2	PD27DEC17	3122.50	3239.23	USD	-5,836.50	
26SEP17	S	1	PD27DEC17	3124.00	3239.23	USD	-2,880.75	
26SEP17	B	1	PD27DEC17	3116.00	3239.23	USD	3,080.75	
26SEP17	S	2	PD27DEC17	3126.00	3239.23	USD	-5,661.50	
26SEP17	B	2	PD27DEC17	3127.50	3239.23	USD	5,586.50	
26SEP17	S	1	PD27DEC17	3135.50	3239.23	USD	-2,593.25	
26SEP17	S	1	PD27DEC17	3137.50	3239.23	USD	-2,543.25	
26SEP17	B	1	PD27DEC17	3139.50	3239.23	USD	2,493.25	
26SEP17	B	2	PD27DEC17	3134.50	3239.23	USD	5,236.50	
26SEP17	B	1	PD27DEC17	3129.50	3239.23	USD	2,743.25	
26SEP17	B	1	PD27DEC17	3150.00	3239.23	USD	2,230.75	
26SEP17	B	1	PD27DEC17	3132.50	3239.23	USD	2,668.25	
26SEP17	B	1	PD27DEC17	3143.50	3239.23	USD	2,393.25	
26SEP17	B	1	PD27DEC17	3141.50	3239.23	USD	2,443.25	
26SEP17	S	1	PD27DEC17	3113.50	3239.23	USD	-3,143.25	
26SEP17	S	1	PD27DEC17	3114.00	3239.23	USD	-3,130.75	
26SEP17	B	1	PD27DEC17	3106.00	3239.23	USD	3,330.75	
26SEP17	S	1	PD27DEC17	3111.00	3239.23	USD	-3,205.75	
26SEP17	B	1	PD27DEC17	3114.00	3239.23	USD	3,130.75	
26SEP17	S	1	PD27DEC17	3114.50	3239.23	USD	-3,118.25	
26SEP17	S	2	PD27DEC17	3115.50	3239.23	USD	-6,186.50	
26SEP17	S	5	PD27DEC17	3115.00	3239.23	USD	-15,528.75	
26SEP17	S	1	PD27DEC17	3110.00	3239.23	USD	-3,230.75	
26SEP17	S	1	PD27DEC17	3108.50	3239.23	USD	-3,268.25	
26SEP17	S	3	PD27DEC17	3125.50	3239.23	USD	-8,529.75	
26SEP17	B	1	PD27DEC17	3108.00	3239.23	USD	3,280.75	
26SEP17	B	2	PD27DEC17	3112.00	3239.23	USD	6,361.50	
26SEP17	B	1	PD27DEC17	3110.00	3239.23	USD	3,230.75	
26SEP17	B	1	PD27DEC17	3112.50	3239.23	USD	3,168.25	
26SEP17	B	1	PD27DEC17	3114.50	3239.23	USD	3,118.25	
26SEP17	B	4	PD27DEC17	3113.50	3239.23	USD	12,573.00	
26SEP17	B	2	PD27DEC17	3113.50	3239.23	USD	6,286.50	
26SEP17	B	1	PD27DEC17	3113.00	3239.23	USD	3,155.75	
26SEP17	B	1	PD27DEC17	3109.50	3239.23	USD	3,243.25	

OPEN POSITION STATEMENT



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SAP0112D

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Sapien Capital Limited
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backoffice@sapiencap.com

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
26SEP17	B	1	PD27DEC17	3110.00	3239.23	USD	3,230.75	
26SEP17	B	1	PD27DEC17	3108.00	3239.23	USD	3,280.75	
27SEP17	S	1	PD27DEC17	3117.00	3239.23	USD	-3,055.75	
27SEP17	S	1	PD27DEC17	3116.00	3239.23	USD	-3,080.75	
27SEP17	S	2	PD27DEC17	3116.50	3239.23	USD	-6,136.50	
27SEP17	S	5	PD27DEC17	3115.50	3239.23	USD	-15,466.25	
27SEP17	S	1	PD27DEC17	3114.50	3239.23	USD	-3,118.25	
27SEP17	S	1	PD27DEC17	3114.00	3239.23	USD	-3,130.75	
27SEP17	S	2	PD27DEC17	3112.50	3239.23	USD	-6,336.50	
27SEP17	S	1	PD27DEC17	3113.50	3239.23	USD	-3,143.25	
27SEP17	S	3	PD27DEC17	3115.00	3239.23	USD	-9,317.25	
27SEP17	B	1	PD27DEC17	3116.00	3239.23	USD	3,080.75	
27SEP17	S	1	PD27DEC17	3125.00	3239.23	USD	-2,855.75	
27SEP17	S	1	PD27DEC17	3126.50	3239.23	USD	-2,818.25	
27SEP17	S	1	PD27DEC17	3130.50	3239.23	USD	-2,718.25	
27SEP17	S	1	PD27DEC17	3143.50	3239.23	USD	-2,393.25	
27SEP17	S	1	PD27DEC17	3147.00	3239.23	USD	-2,305.75	
27SEP17	S	1	PD27DEC17	3140.50	3239.23	USD	-2,468.25	
27SEP17	B	1	PD27DEC17	3139.00	3239.23	USD	2,505.75	
27SEP17	B	1	PD27DEC17	3133.50	3239.23	USD	2,643.25	
27SEP17	B	1	PD27DEC17	3132.00	3239.23	USD	2,680.75	
27SEP17	B	1	PD27DEC17	3134.50	3239.23	USD	2,618.25	
27SEP17	B	1	PD27DEC17	3136.50	3239.23	USD	2,568.25	
27SEP17	S	2	PD27DEC17	3134.00	3239.23	USD	-5,261.50	
27SEP17	S	1	PD27DEC17	3115.50	3239.23	USD	-3,093.25	
27SEP17	S	1	PD27DEC17	3116.00	3239.23	USD	-3,080.75	
27SEP17	S	1	PD27DEC17	3144.00	3239.23	USD	-2,380.75	
27SEP17	S	1	PD27DEC17	3129.50	3239.23	USD	-2,743.25	
27SEP17	S	1	PD27DEC17	3133.50	3239.23	USD	-2,643.25	
27SEP17	S	1	PD27DEC17	3134.50	3239.23	USD	-2,618.25	
27SEP17	B	1	PD27DEC17	3115.00	3239.23	USD	3,105.75	
27SEP17	B	1	PD27DEC17	3114.50	3239.23	USD	3,118.25	
27SEP17	B	1	PD27DEC17	3107.00	3239.23	USD	3,305.75	
27SEP17	B	1	PD27DEC17	3106.50	3239.23	USD	3,318.25	
27SEP17	B	2	PD27DEC17	3113.50	3239.23	USD	6,286.50	
27SEP17	B	1	PD27DEC17	3111.00	3239.23	USD	3,205.75	
27SEP17	B	1	PD27DEC17	3113.00	3239.23	USD	3,155.75	
27SEP17	B	1	PD27DEC17	3101.00	3239.23	USD	3,455.75	
27SEP17	B	1	PD27DEC17	3106.00	3239.23	USD	3,330.75	
27SEP17	B	1	PD27DEC17	3102.00	3239.23	USD	3,430.75	
27SEP17	B	1	PD27DEC17	3097.50	3239.23	USD	3,543.25	
27SEP17	S	1	PD27DEC17	3112.00	3239.23	USD	-3,180.75	
27SEP17	B	2	PD27DEC17	3121.00	3239.23	USD	5,911.50	
27SEP17	B	1	PD27DEC17	3120.50	3239.23	USD	2,968.25	
27SEP17	B	3	PD27DEC17	3123.50	3239.23	USD	8,679.75	
27SEP17	B	2	PD27DEC17	3122.00	3239.23	USD	5,861.50	
27SEP17	B	4	PD27DEC17	3120.00	3239.23	USD	11,923.00	
27SEP17	B	1	PD27DEC17	3119.50	3239.23	USD	2,993.25	
27SEP17	B	2	PD27DEC17	3121.50	3239.23	USD	5,886.50	
27SEP17	B	1	PD27DEC17	3121.00	3239.23	USD	2,955.75	
04OCT17	S	2	PD27DEC17	3302.00	3239.23	USD	3,138.50	
	NET	0AVG BGHT 3113.70	SOLD 3120.92				13,537.50	0.00
28SEP17	B	2	PD28DEC17	3114.00	3238.85	USD	6,242.50	
28SEP17	B	1	PD28DEC17	3112.50	3238.85	USD	3,158.75	
28SEP17	B	2	PD28DEC17	3111.50	3238.85	USD	6,367.50	
28SEP17	S	1	PD28DEC17	3110.50	3238.85	USD	-3,208.75	
28SEP17	S	2	PD28DEC17	3109.50	3238.85	USD	-6,467.50	

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
28SEP17	S	1	PD28DEC17	3105.50	3238.85	USD	-3,333.75	
28SEP17	S	4	PD28DEC17	3106.00	3238.85	USD	-13,285.00	
28SEP17	S	2	PD28DEC17	3111.50	3238.85	USD	-6,367.50	
28SEP17	S	2	PD28DEC17	3112.50	3238.85	USD	-6,317.50	
28SEP17	S	1	PD28DEC17	3114.50	3238.85	USD	-3,108.75	
28SEP17	B	3	PD28DEC17	3113.50	3238.85	USD	9,401.25	
28SEP17	B	2	PD28DEC17	3112.00	3238.85	USD	6,342.50	
28SEP17	S	4	PD28DEC17	3112.00	3238.85	USD	-12,685.00	
28SEP17	S	1	PD28DEC17	3120.00	3238.85	USD	-2,971.25	
28SEP17	B	2	PD28DEC17	3133.00	3238.85	USD	5,292.50	
28SEP17	S	1	PD28DEC17	3134.50	3238.85	USD	-2,608.75	
28SEP17	S	1	PD28DEC17	3105.50	3238.85	USD	-3,333.75	
28SEP17	B	1	PD28DEC17	3136.50	3238.85	USD	2,558.75	
28SEP17	S	3	PD28DEC17	3145.00	3238.85	USD	-7,038.75	
28SEP17	S	1	PD28DEC17	3146.50	3238.85	USD	-2,308.75	
28SEP17	S	1	PD28DEC17	3148.50	3238.85	USD	-2,258.75	
28SEP17	S	1	PD28DEC17	3138.00	3238.85	USD	-2,521.25	
28SEP17	B	1	PD28DEC17	3134.50	3238.85	USD	2,608.75	
28SEP17	B	2	PD28DEC17	3130.00	3238.85	USD	5,442.50	
28SEP17	S	1	PD28DEC17	3134.00	3238.85	USD	-2,621.25	
28SEP17	B	2	PD28DEC17	3130.50	3238.85	USD	5,417.50	
28SEP17	B	1	PD28DEC17	3134.00	3238.85	USD	2,621.25	
28SEP17	B	3	PD28DEC17	3129.50	3238.85	USD	8,201.25	
28SEP17	B	1	PD28DEC17	3128.00	3238.85	USD	2,771.25	
28SEP17	B	1	PD28DEC17	3123.50	3238.85	USD	2,883.75	
28SEP17	B	1	PD28DEC17	3122.50	3238.85	USD	2,908.75	
28SEP17	B	1	PD28DEC17	3120.50	3238.85	USD	2,958.75	
28SEP17	B	1	PD28DEC17	3117.50	3238.85	USD	3,033.75	
28SEP17	B	3	PD28DEC17	3114.50	3238.85	USD	9,326.25	
28SEP17	S	1	PD28DEC17	3126.00	3238.85	USD	-2,821.25	
28SEP17	S	1	PD28DEC17	3132.00	3238.85	USD	-2,671.25	
28SEP17	S	1	PD28DEC17	3125.00	3238.85	USD	-2,846.25	
28SEP17	S	2	PD28DEC17	3138.50	3238.85	USD	-5,017.50	
28SEP17	S	1	PD28DEC17	3137.00	3238.85	USD	-2,546.25	
28SEP17	S	2	PD28DEC17	3140.00	3238.85	USD	-4,942.50	
28SEP17	S	1	PD28DEC17	3141.00	3238.85	USD	-2,446.25	
28SEP17	S	2	PD28DEC17	3144.00	3238.85	USD	-4,742.50	
28SEP17	S	1	PD28DEC17	3145.50	3238.85	USD	-2,333.75	
28SEP17	B	1	PD28DEC17	3145.00	3238.85	USD	2,346.25	
28SEP17	S	1	PD28DEC17	3141.50	3238.85	USD	-2,433.75	
28SEP17	B	1	PD28DEC17	3141.00	3238.85	USD	2,446.25	
28SEP17	S	1	PD28DEC17	3148.00	3238.85	USD	-2,271.25	
28SEP17	B	1	PD28DEC17	3147.50	3238.85	USD	2,283.75	
28SEP17	S	1	PD28DEC17	3154.00	3238.85	USD	-2,121.25	
28SEP17	S	1	PD28DEC17	3154.50	3238.85	USD	-2,108.75	
28SEP17	S	1	PD28DEC17	3158.50	3238.85	USD	-2,008.75	
28SEP17	S	1	PD28DEC17	3159.00	3238.85	USD	-1,996.25	
28SEP17	S	1	PD28DEC17	3162.50	3238.85	USD	-1,908.75	
28SEP17	S	1	PD28DEC17	3163.00	3238.85	USD	-1,896.25	
28SEP17	B	1	PD28DEC17	3164.50	3238.85	USD	1,858.75	
28SEP17	S	1	PD28DEC17	3163.50	3238.85	USD	-1,883.75	
28SEP17	S	2	PD28DEC17	3164.50	3238.85	USD	-3,717.50	
28SEP17	S	1	PD28DEC17	3159.50	3238.85	USD	-1,983.75	
28SEP17	B	1	PD28DEC17	3164.00	3238.85	USD	1,871.25	
28SEP17	S	1	PD28DEC17	3164.00	3238.85	USD	-1,871.25	
04OCT17	B	3	PD28DEC17	3302.20	3238.85	USD	-4,751.25	
04OCT17	B	14	PD28DEC17	3302.00	3238.85	USD	-22,102.50	

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>								
	NET	0 AVG	BGHT	3183.86				-65,515.00	0.00
				SOLD 3133.46					
29SEP17	B	2	PD29DEC17		3147.00	3238.46	USD	4,573.00	
29SEP17	B	1	PD29DEC17		3149.50	3238.46	USD	2,224.00	
29SEP17	B	1	PD29DEC17		3141.00	3238.46	USD	2,436.50	
29SEP17	B	1	PD29DEC17		3140.50	3238.46	USD	2,449.00	
29SEP17	B	1	PD29DEC17		3143.50	3238.46	USD	2,374.00	
29SEP17	B	2	PD29DEC17		3145.50	3238.46	USD	4,648.00	
29SEP17	B	1	PD29DEC17		3143.00	3238.46	USD	2,386.50	
29SEP17	S	2	PD29DEC17		3154.00	3238.46	USD	-4,223.00	
29SEP17	S	3	PD29DEC17		3161.50	3238.46	USD	-5,772.00	
29SEP17	S	1	PD29DEC17		3164.50	3238.46	USD	-1,849.00	
29SEP17	S	2	PD29DEC17		3166.00	3238.46	USD	-3,623.00	
29SEP17	S	2	PD29DEC17		3167.00	3238.46	USD	-3,573.00	
29SEP17	S	1	PD29DEC17		3167.50	3238.46	USD	-1,774.00	
29SEP17	S	1	PD29DEC17		3160.50	3238.46	USD	-1,949.00	
29SEP17	S	2	PD29DEC17		3161.00	3238.46	USD	-3,873.00	
29SEP17	B	1	PD29DEC17		3160.50	3238.46	USD	1,949.00	
29SEP17	S	2	PD29DEC17		3170.00	3238.46	USD	-3,423.00	
29SEP17	S	2	PD29DEC17		3151.50	3238.46	USD	-4,348.00	
29SEP17	S	1	PD29DEC17		3150.00	3238.46	USD	-2,211.50	
29SEP17	S	2	PD29DEC17		3148.00	3238.46	USD	-4,523.00	
29SEP17	B	3	PD29DEC17		3148.00	3238.46	USD	6,784.50	
29SEP17	S	2	PD29DEC17		3149.00	3238.46	USD	-4,473.00	
29SEP17	S	1	PD29DEC17		3152.50	3238.46	USD	-2,149.00	
29SEP17	S	2	PD29DEC17		3153.50	3238.46	USD	-4,248.00	
29SEP17	S	1	PD29DEC17		3154.50	3238.46	USD	-2,099.00	
29SEP17	S	1	PD29DEC17		3156.00	3238.46	USD	-2,061.50	
29SEP17	S	1	PD29DEC17		3166.50	3238.46	USD	-1,799.00	
29SEP17	S	1	PD29DEC17		3151.00	3238.46	USD	-2,186.50	
29SEP17	B	1	PD29DEC17		3152.00	3238.46	USD	2,161.50	
29SEP17	S	1	PD29DEC17		3165.00	3238.46	USD	-1,836.50	
29SEP17	S	1	PD29DEC17		3173.50	3238.46	USD	-1,624.00	
29SEP17	B	7	PD29DEC17		3150.00	3238.46	USD	15,480.50	
29SEP17	B	4	PD29DEC17		3149.00	3238.46	USD	8,946.00	
29SEP17	B	1	PD29DEC17		3153.00	3238.46	USD	2,136.50	
29SEP17	B	3	PD29DEC17		3152.00	3238.46	USD	6,484.50	
29SEP17	S	4	PD29DEC17		3162.50	3238.46	USD	-7,596.00	
29SEP17	B	3	PD29DEC17		3151.00	3238.46	USD	6,559.50	
29SEP17	B	1	PD29DEC17		3153.50	3238.46	USD	2,124.00	
29SEP17	B	2	PD29DEC17		3154.00	3238.46	USD	4,223.00	
29SEP17	B	1	PD29DEC17		3155.00	3238.46	USD	2,086.50	
29SEP17	S	1	PD29DEC17		3158.50	3238.46	USD	-1,999.00	
29SEP17	S	2	PD29DEC17		3159.50	3238.46	USD	-3,948.00	
29SEP17	S	4	PD29DEC17		3160.00	3238.46	USD	-7,846.00	
29SEP17	B	1	PD29DEC17		3162.50	3238.46	USD	1,899.00	
29SEP17	B	1	PD29DEC17		3166.00	3238.46	USD	1,811.50	
29SEP17	B	2	PD29DEC17		3165.00	3238.46	USD	3,673.00	
29SEP17	B	1	PD29DEC17		3163.50	3238.46	USD	1,874.00	
29SEP17	B	1	PD29DEC17		3157.50	3238.46	USD	2,024.00	
29SEP17	B	1	PD29DEC17		3156.50	3238.46	USD	2,049.00	
29SEP17	B	2	PD29DEC17		3161.50	3238.46	USD	3,848.00	
29SEP17	B	1	PD29DEC17		3164.50	3238.46	USD	1,849.00	
29SEP17	B	2	PD29DEC17		3167.50	3238.46	USD	3,548.00	
04OCT17	S	5	PD29DEC17		3301.80	3238.46	USD	7,917.50	
	NET	0 AVG	BGHT	3152.96				25,512.50	0.00
				SOLD 3174.22					
02OCT17	B	2	PD02JAN18		3166.00	3238.08	USD	3,604.00	
04OCT17	S	2	PD02JAN18		3301.80	3238.08	USD	3,186.00	

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	NET	0AVG	BGHT	3166.00				6,790.00	0.00
				SOLD 3301.80					
03OCT17	S	1	PD03JAN18		3231.50	3237.69	USD	-154.75	
03OCT17	S	2	PD03JAN18		3229.00	3237.69	USD	-434.50	
03OCT17	S	3	PD03JAN18		3229.50	3237.69	USD	-614.25	
03OCT17	S	1	PD03JAN18		3224.50	3237.69	USD	-329.75	
03OCT17	S	1	PD03JAN18		3223.50	3237.69	USD	-354.75	
03OCT17	S	1	PD03JAN18		3225.50	3237.69	USD	-304.75	
03OCT17	S	6	PD03JAN18		3227.00	3237.69	USD	-1,603.50	
03OCT17	S	2	PD03JAN18		3230.00	3237.69	USD	-384.50	
03OCT17	S	1	PD03JAN18		3228.50	3237.69	USD	-229.75	
03OCT17	S	1	PD03JAN18		3233.00	3237.69	USD	-117.25	
03OCT17	S	1	PD03JAN18		3239.50	3237.69	USD	45.25	
03OCT17	S	1	PD03JAN18		3239.00	3237.69	USD	32.75	
03OCT17	S	2	PD03JAN18		3241.00	3237.69	USD	165.50	
03OCT17	S	2	PD03JAN18		3240.50	3237.69	USD	140.50	
03OCT17	S	1	PD03JAN18		3242.00	3237.69	USD	107.75	
03OCT17	S	1	PD03JAN18		3262.00	3237.69	USD	607.75	
03OCT17	B	1	PD03JAN18		3262.00	3237.69	USD	-607.75	
03OCT17	B	3	PD03JAN18		3261.00	3237.69	USD	-1,748.25	
03OCT17	B	2	PD03JAN18		3259.50	3237.69	USD	-1,090.50	
03OCT17	S	1	PD03JAN18		3258.50	3237.69	USD	520.25	
03OCT17	B	1	PD03JAN18		3255.50	3237.69	USD	-445.25	
03OCT17	S	3	PD03JAN18		3260.00	3237.69	USD	1,673.25	
03OCT17	S	1	PD03JAN18		3270.00	3237.69	USD	807.75	
03OCT17	B	1	PD03JAN18		3267.50	3237.69	USD	-745.25	
03OCT17	B	1	PD03JAN18		3267.00	3237.69	USD	-732.75	
03OCT17	B	1	PD03JAN18		3256.50	3237.69	USD	-470.25	
03OCT17	B	1	PD03JAN18		3257.50	3237.69	USD	-495.25	
03OCT17	B	1	PD03JAN18		3275.50	3237.69	USD	-945.25	
03OCT17	B	1	PD03JAN18		3276.50	3237.69	USD	-970.25	
03OCT17	B	1	PD03JAN18		3279.00	3237.69	USD	-1,032.75	
03OCT17	S	1	PD03JAN18		3280.00	3237.69	USD	1,057.75	
03OCT17	B	3	PD03JAN18		3286.00	3237.69	USD	-3,623.25	
03OCT17	B	4	PD03JAN18		3287.50	3237.69	USD	-4,981.00	
03OCT17	B	2	PD03JAN18		3289.50	3237.69	USD	-2,590.50	
03OCT17	B	1	PD03JAN18		3288.50	3237.69	USD	-1,270.25	
03OCT17	B	10	PD03JAN18		3288.00	3237.69	USD	-12,577.50	
03OCT17	B	2	PD03JAN18		3286.50	3237.69	USD	-2,440.50	
03OCT17	B	2	PD03JAN18		3285.00	3237.69	USD	-2,365.50	
03OCT17	B	2	PD03JAN18		3287.00	3237.69	USD	-2,465.50	
03OCT17	B	1	PD03JAN18		3283.50	3237.69	USD	-1,145.25	
03OCT17	S	1	PD03JAN18		3286.00	3237.69	USD	1,207.75	
03OCT17	B	1	PD03JAN18		3254.50	3237.69	USD	-420.25	
03OCT17	S	1	PD03JAN18		3280.00	3237.69	USD	1,057.75	
03OCT17	B	1	PD03JAN18		3266.00	3237.69	USD	-707.75	
03OCT17	B	1	PD03JAN18		3265.50	3237.69	USD	-695.25	
03OCT17	S	1	PD03JAN18		3265.00	3237.69	USD	682.75	
03OCT17	S	1	PD03JAN18		3269.00	3237.69	USD	782.75	
03OCT17	S	1	PD03JAN18		3274.00	3237.69	USD	907.75	
03OCT17	B	1	PD03JAN18		3263.50	3237.69	USD	-645.25	
03OCT17	B	2	PD03JAN18		3263.00	3237.69	USD	-1,265.50	
03OCT17	B	1	PD03JAN18		3280.50	3237.69	USD	-1,070.25	
03OCT17	S	1	PD03JAN18		3281.00	3237.69	USD	1,082.75	
03OCT17	B	1	PD03JAN18		3290.00	3237.69	USD	-1,307.75	
04OCT17	S	7	PD03JAN18		3301.80	3237.69	USD	11,219.25	
10OCT17	S	2	PD03JAN18		3281.73	3237.69	USD	2,202.00	
12OCT17	S	1	PD03JAN18		3250.00	3237.69	USD	307.75	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0112D

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

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FINANCIAL CONDUCT AUTHORITY

Date	Open Position				Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>								
	NET	0AVG	BGHT	3277.57				-28,773.50	0.00
				SOLD 3254.08					
04OCT17	B	1	PD04JAN18		3281.50	3237.31	USD	-1,104.75	
04OCT17	S	1	PD04JAN18		3284.50	3237.31	USD	1,179.75	
04OCT17	S	1	PD04JAN18		3289.50	3237.31	USD	1,304.75	
04OCT17	B	3	PD04JAN18		3295.00	3237.31	USD	-4,326.75	
04OCT17	B	2	PD04JAN18		3290.50	3237.31	USD	-2,659.50	
04OCT17	S	1	PD04JAN18		3278.00	3237.31	USD	1,017.25	
04OCT17	B	1	PD04JAN18		3279.50	3237.31	USD	-1,054.75	
04OCT17	B	1	PD04JAN18		3275.50	3237.31	USD	-954.75	
04OCT17	B	1	PD04JAN18		3285.00	3237.31	USD	-1,192.25	
04OCT17	S	1	PD04JAN18		3291.00	3237.31	USD	1,342.25	
04OCT17	S	1	PD04JAN18		3292.00	3237.31	USD	1,367.25	
04OCT17	S	2	PD04JAN18		3293.50	3237.31	USD	2,809.50	
04OCT17	S	1	PD04JAN18		3292.50	3237.31	USD	1,379.75	
04OCT17	S	1	PD04JAN18		3299.50	3237.31	USD	1,554.75	
04OCT17	B	1	PD04JAN18		3293.00	3237.31	USD	-1,392.25	
04OCT17	B	1	PD04JAN18		3302.00	3237.31	USD	-1,617.25	
04OCT17	S	1	PD04JAN18		3287.50	3237.31	USD	1,254.75	
04OCT17	B	1	PD04JAN18		3296.00	3237.31	USD	-1,467.25	
04OCT17	S	1	PD04JAN18		3296.00	3237.31	USD	1,467.25	
04OCT17	S	2	PD04JAN18		3294.50	3237.31	USD	2,859.50	
04OCT17	S	1	PD04JAN18		3291.50	3237.31	USD	1,354.75	
04OCT17	B	1	PD04JAN18		3290.00	3237.31	USD	-1,317.25	
04OCT17	S	2	PD04JAN18		3282.50	3237.31	USD	2,259.50	
04OCT17	B	1	PD04JAN18		3282.00	3237.31	USD	-1,117.25	
04OCT17	B	1	PD04JAN18		3282.50	3237.31	USD	-1,129.75	
04OCT17	S	1	PD04JAN18		3282.00	3237.31	USD	1,117.25	
04OCT17	B	1	PD04JAN18		3276.50	3237.31	USD	-979.75	
04OCT17	B	1	PD04JAN18		3277.00	3237.31	USD	-992.25	
	NET	0AVG	BGHT	3287.44				962.50	0.00
				SOLD 3289.71					
05OCT17	S	3	PD05JAN18		3275.00	3236.92	USD	2,856.00	
05OCT17	S	1	PD05JAN18		3273.50	3236.92	USD	914.50	
05OCT17	S	1	PD05JAN18		3280.50	3236.92	USD	1,089.50	
05OCT17	S	1	PD05JAN18		3281.00	3236.92	USD	1,102.00	
05OCT17	S	1	PD05JAN18		3279.50	3236.92	USD	1,064.50	
05OCT17	S	1	PD05JAN18		3289.00	3236.92	USD	1,302.00	
05OCT17	S	1	PD05JAN18		3288.00	3236.92	USD	1,277.00	
05OCT17	S	2	PD05JAN18		3288.50	3236.92	USD	2,579.00	
05OCT17	S	3	PD05JAN18		3291.00	3236.92	USD	4,056.00	
05OCT17	S	2	PD05JAN18		3291.50	3236.92	USD	2,729.00	
05OCT17	B	2	PD05JAN18		3281.00	3236.92	USD	-2,204.00	
05OCT17	S	1	PD05JAN18		3289.50	3236.92	USD	1,314.50	
05OCT17	S	1	PD05JAN18		3292.50	3236.92	USD	1,389.50	
05OCT17	S	3	PD05JAN18		3292.00	3236.92	USD	4,131.00	
05OCT17	B	1	PD05JAN18		3292.00	3236.92	USD	-1,377.00	
05OCT17	B	1	PD05JAN18		3306.00	3236.92	USD	-1,727.00	
05OCT17	B	3	PD05JAN18		3307.00	3236.92	USD	-5,256.00	
05OCT17	B	1	PD05JAN18		3304.50	3236.92	USD	-1,689.50	
05OCT17	B	2	PD05JAN18		3297.00	3236.92	USD	-3,004.00	
05OCT17	B	2	PD05JAN18		3296.50	3236.92	USD	-2,979.00	
05OCT17	B	2	PD05JAN18		3296.00	3236.92	USD	-2,954.00	
05OCT17	B	1	PD05JAN18		3297.50	3236.92	USD	-1,514.50	
05OCT17	B	1	PD05JAN18		3295.50	3236.92	USD	-1,464.50	
05OCT17	S	1	PD05JAN18		3296.00	3236.92	USD	1,477.00	
05OCT17	S	1	PD05JAN18		3305.00	3236.92	USD	1,702.00	
05OCT17	S	1	PD05JAN18		3306.50	3236.92	USD	1,739.50	
05OCT17	B	3	PD05JAN18		3288.00	3236.92	USD	-3,831.00	

OPEN POSITION STATEMENT



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SAP0112D

13th October 2017

Sapien Capital Limited
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+44 (0) 20 7340 9081
backoffice@sapiencap.com

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
05OCT17	B	1	PD05JAN18	3295.00	3236.92	USD	-1,452.00	
05OCT17	B	1	PD05JAN18	3294.00	3236.92	USD	-1,427.00	
05OCT17	B	3	PD05JAN18	3298.00	3236.92	USD	-4,581.00	
05OCT17	B	1	PD05JAN18	3300.00	3236.92	USD	-1,577.00	
05OCT17	B	1	PD05JAN18	3296.00	3236.92	USD	-1,477.00	
05OCT17	S	1	PD05JAN18	3299.00	3236.92	USD	1,552.00	
06OCT17	S	1	PD05JAN18	3288.50	3236.92	USD	1,289.50	
06OCT17	S	1	PD05JAN18	3291.00	3236.92	USD	1,352.00	
06OCT17	S	2	PD05JAN18	3289.00	3236.92	USD	2,604.00	
06OCT17	S	1	PD05JAN18	3285.00	3236.92	USD	1,202.00	
06OCT17	S	1	PD05JAN18	3285.50	3236.92	USD	1,214.50	
06OCT17	S	2	PD05JAN18	3279.50	3236.92	USD	2,129.00	
06OCT17	B	1	PD05JAN18	3282.00	3236.92	USD	-1,127.00	
06OCT17	S	2	PD05JAN18	3279.00	3236.92	USD	2,104.00	
06OCT17	B	2	PD05JAN18	3262.00	3236.92	USD	-1,254.00	
06OCT17	B	1	PD05JAN18	3260.00	3236.92	USD	-577.00	
06OCT17	B	1	PD05JAN18	3257.00	3236.92	USD	-502.00	
06OCT17	B	1	PD05JAN18	3255.00	3236.92	USD	-452.00	
06OCT17	B	1	PD05JAN18	3253.50	3236.92	USD	-414.50	
06OCT17	S	1	PD05JAN18	3269.50	3236.92	USD	814.50	
06OCT17	S	1	PD05JAN18	3265.00	3236.92	USD	702.00	
06OCT17	B	1	PD05JAN18	3265.00	3236.92	USD	-702.00	
06OCT17	S	1	PD05JAN18	3281.00	3236.92	USD	1,102.00	
06OCT17	S	1	PD05JAN18	3240.00	3236.92	USD	77.00	
06OCT17	S	1	PD05JAN18	3243.00	3236.92	USD	152.00	
06OCT17	B	1	PD05JAN18	3240.50	3236.92	USD	-89.50	
06OCT17	B	1	PD05JAN18	3243.00	3236.92	USD	-152.00	
06OCT17	B	1	PD05JAN18	3244.00	3236.92	USD	-177.00	
10OCT17	B	2	PD05JAN18	3280.84	3236.92	USD	-2,196.00	
10OCT17	B	1	PD05JAN18	3280.64	3236.92	USD	-1,093.00	
	NET	0	AVG BGHT 3284.17		SOLD 3283.94		-233.00	0.00
09OCT17	S	1	PD09JAN18	3252.50	3236.15	USD	408.75	
09OCT17	B	1	PD09JAN18	3266.50	3236.15	USD	-758.75	
09OCT17	B	3	PD09JAN18	3267.00	3236.15	USD	-2,313.75	
09OCT17	S	1	PD09JAN18	3267.00	3236.15	USD	771.25	
09OCT17	B	3	PD09JAN18	3267.50	3236.15	USD	-2,351.25	
09OCT17	S	1	PD09JAN18	3277.00	3236.15	USD	1,021.25	
09OCT17	B	1	PD09JAN18	3264.00	3236.15	USD	-696.25	
09OCT17	S	2	PD09JAN18	3265.00	3236.15	USD	1,442.50	
09OCT17	S	1	PD09JAN18	3268.50	3236.15	USD	808.75	
09OCT17	S	1	PD09JAN18	3269.50	3236.15	USD	833.75	
09OCT17	S	1	PD09JAN18	3271.00	3236.15	USD	871.25	
09OCT17	S	1	PD09JAN18	3270.50	3236.15	USD	858.75	
09OCT17	S	1	PD09JAN18	3274.50	3236.15	USD	958.75	
09OCT17	S	1	PD09JAN18	3275.50	3236.15	USD	983.75	
09OCT17	S	1	PD09JAN18	3275.00	3236.15	USD	971.25	
09OCT17	S	1	PD09JAN18	3272.00	3236.15	USD	896.25	
09OCT17	B	1	PD09JAN18	3258.00	3236.15	USD	-546.25	
09OCT17	B	1	PD09JAN18	3258.50	3236.15	USD	-558.75	
09OCT17	B	1	PD09JAN18	3256.00	3236.15	USD	-496.25	
09OCT17	B	3	PD09JAN18	3255.50	3236.15	USD	-1,451.25	
09OCT17	B	1	PD09JAN18	3257.00	3236.15	USD	-521.25	
09OCT17	S	1	PD09JAN18	3255.50	3236.15	USD	483.75	
09OCT17	B	1	PD09JAN18	3253.00	3236.15	USD	-421.25	
09OCT17	S	2	PD09JAN18	3268.00	3236.15	USD	1,592.50	
09OCT17	S	1	PD09JAN18	3255.00	3236.15	USD	471.25	
09OCT17	B	4	PD09JAN18	3263.50	3236.15	USD	-2,735.00	

OPEN POSITION STATEMENT



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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
09OCT17	B	1	PD09JAN18	3262.50	3236.15	USD	-658.75	
09OCT17	B	4	PD09JAN18	3259.00	3236.15	USD	-2,285.00	
09OCT17	B	2	PD09JAN18	3254.50	3236.15	USD	-917.50	
09OCT17	B	1	PD09JAN18	3247.50	3236.15	USD	-283.75	
09OCT17	B	1	PD09JAN18	3246.50	3236.15	USD	-258.75	
09OCT17	B	1	PD09JAN18	3245.50	3236.15	USD	-233.75	
09OCT17	S	2	PD09JAN18	3246.00	3236.15	USD	492.50	
09OCT17	S	1	PD09JAN18	3256.50	3236.15	USD	508.75	
09OCT17	B	1	PD09JAN18	3239.50	3236.15	USD	-83.75	
09OCT17	B	1	PD09JAN18	3237.00	3236.15	USD	-21.25	
09OCT17	B	1	PD09JAN18	3239.00	3236.15	USD	-71.25	
09OCT17	B	2	PD09JAN18	3237.50	3236.15	USD	-67.50	
09OCT17	B	1	PD09JAN18	3235.50	3236.15	USD	16.25	
09OCT17	B	4	PD09JAN18	3245.00	3236.15	USD	-885.00	
09OCT17	S	2	PD09JAN18	3259.00	3236.15	USD	1,142.50	
09OCT17	S	1	PD09JAN18	3261.50	3236.15	USD	633.75	
09OCT17	S	2	PD09JAN18	3253.50	3236.15	USD	867.50	
09OCT17	S	1	PD09JAN18	3252.00	3236.15	USD	396.25	
09OCT17	B	1	PD09JAN18	3247.50	3236.15	USD	-283.75	
10OCT17	S	1	PD09JAN18	3279.35	3236.15	USD	1,080.00	
12OCT17	S	14	PD09JAN18	3250.00	3236.15	USD	4,847.50	
	NET	0AVG BGHT 3254.57	SOLD 3258.92				4,458.75	0.00
10OCT17	S	2	PD10JAN18	3250.00	3235.77	USD	711.50	
10OCT17	S	1	PD10JAN18	3242.00	3235.77	USD	155.75	
10OCT17	B	1	PD10JAN18	3233.00	3235.77	USD	69.25	
10OCT17	B	4	PD10JAN18	3232.50	3235.77	USD	327.00	
10OCT17	S	2	PD10JAN18	3239.50	3235.77	USD	186.50	
10OCT17	B	2	PD10JAN18	3231.00	3235.77	USD	238.50	
10OCT17	B	1	PD10JAN18	3228.00	3235.77	USD	194.25	
10OCT17	S	1	PD10JAN18	3220.00	3235.77	USD	-394.25	
10OCT17	S	1	PD10JAN18	3223.50	3235.77	USD	-306.75	
10OCT17	S	5	PD10JAN18	3222.50	3235.77	USD	-1,658.75	
10OCT17	S	1	PD10JAN18	3221.50	3235.77	USD	-356.75	
10OCT17	S	4	PD10JAN18	3221.00	3235.77	USD	-1,477.00	
10OCT17	S	1	PD10JAN18	3222.00	3235.77	USD	-344.25	
10OCT17	S	1	PD10JAN18	3224.50	3235.77	USD	-281.75	
10OCT17	S	3	PD10JAN18	3223.00	3235.77	USD	-957.75	
10OCT17	B	1	PD10JAN18	3230.00	3235.77	USD	144.25	
10OCT17	S	1	PD10JAN18	3232.00	3235.77	USD	-94.25	
10OCT17	B	1	PD10JAN18	3212.50	3235.77	USD	581.75	
10OCT17	S	1	PD10JAN18	3219.00	3235.77	USD	-419.25	
10OCT17	B	1	PD10JAN18	3220.50	3235.77	USD	381.75	
10OCT17	S	1	PD10JAN18	3249.50	3235.77	USD	343.25	
10OCT17	S	2	PD10JAN18	3249.00	3235.77	USD	661.50	
10OCT17	S	2	PD10JAN18	3248.50	3235.77	USD	636.50	
10OCT17	B	2	PD10JAN18	3239.00	3235.77	USD	-161.50	
10OCT17	S	1	PD10JAN18	3259.50	3235.77	USD	593.25	
10OCT17	B	1	PD10JAN18	3260.00	3235.77	USD	-605.75	
10OCT17	S	1	PD10JAN18	3278.00	3235.77	USD	1,055.75	
10OCT17	S	3	PD10JAN18	3282.00	3235.77	USD	3,467.25	
10OCT17	S	1	PD10JAN18	3281.50	3235.77	USD	1,143.25	
10OCT17	S	1	PD10JAN18	3282.50	3235.77	USD	1,168.25	
10OCT17	S	1	PD10JAN18	3280.00	3235.77	USD	1,105.75	
10OCT17	B	2	PD10JAN18	3271.00	3235.77	USD	-1,761.50	
10OCT17	S	1	PD10JAN18	3249.00	3235.77	USD	330.75	
10OCT17	S	1	PD10JAN18	3275.50	3235.77	USD	993.25	
10OCT17	B	2	PD10JAN18	3277.50	3235.77	USD	-2,086.50	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0112D

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
10OCT17	B	1	PD10JAN18	3277.00	3235.77	USD	-1,030.75	
10OCT17	B	2	PD10JAN18	3276.50	3235.77	USD	-2,036.50	
10OCT17	B	1	PD10JAN18	3276.50	3235.77	USD	-1,018.25	
10OCT17	B	2	PD10JAN18	3281.00	3235.77	USD	-2,261.50	
12OCT17	B	15	PD10JAN18	3250.20	3235.77	USD	-5,411.25	
	NET	0AVG BGHT 3250.58	SOLD 3242.19				-8,175.00	0.00
11OCT17	B	1	PD11JAN18	3245.50	3235.38	USD	-253.00	
11OCT17	B	1	PD11JAN18	3247.00	3235.38	USD	-290.50	
11OCT17	B	1	PD11JAN18	3237.50	3235.38	USD	-53.00	
11OCT17	S	1	PD11JAN18	3236.50	3235.38	USD	28.00	
11OCT17	S	1	PD11JAN18	3241.00	3235.38	USD	140.50	
11OCT17	S	1	PD11JAN18	3243.50	3235.38	USD	203.00	
11OCT17	B	1	PD11JAN18	3240.00	3235.38	USD	-115.50	
11OCT17	B	1	PD11JAN18	3232.50	3235.38	USD	72.00	
11OCT17	B	2	PD11JAN18	3234.00	3235.38	USD	69.00	
11OCT17	B	1	PD11JAN18	3231.00	3235.38	USD	109.50	
11OCT17	B	1	PD11JAN18	3225.50	3235.38	USD	247.00	
11OCT17	B	1	PD11JAN18	3233.50	3235.38	USD	47.00	
11OCT17	B	1	PD11JAN18	3220.00	3235.38	USD	384.50	
11OCT17	B	1	PD11JAN18	3217.00	3235.38	USD	459.50	
11OCT17	B	1	PD11JAN18	3203.50	3235.38	USD	797.00	
11OCT17	B	1	PD11JAN18	3196.50	3235.38	USD	972.00	
11OCT17	B	1	PD11JAN18	3219.00	3235.38	USD	409.50	
11OCT17	S	1	PD11JAN18	3235.00	3235.38	USD	-9.50	
11OCT17	S	1	PD11JAN18	3234.50	3235.38	USD	-22.00	
11OCT17	S	1	PD11JAN18	3230.00	3235.38	USD	-134.50	
11OCT17	S	1	PD11JAN18	3220.00	3235.38	USD	-384.50	
11OCT17	S	2	PD11JAN18	3220.50	3235.38	USD	-744.00	
11OCT17	S	2	PD11JAN18	3215.00	3235.38	USD	-1,019.00	
11OCT17	S	1	PD11JAN18	3215.50	3235.38	USD	-497.00	
11OCT17	S	1	PD11JAN18	3217.50	3235.38	USD	-447.00	
11OCT17	S	1	PD11JAN18	3222.50	3235.38	USD	-322.00	
11OCT17	S	2	PD11JAN18	3223.00	3235.38	USD	-619.00	
11OCT17	S	2	PD11JAN18	3221.00	3235.38	USD	-719.00	
11OCT17	S	1	PD11JAN18	3222.00	3235.38	USD	-334.50	
	NET	-4AVG BGHT 3227.77	SOLD 3225.11				-2,025.50	0.00
12OCT17	S	1	PD12JAN18	3228.50	3235.00	USD	-162.50	
12OCT17	B	2	PD12JAN18	3208.50	3235.00	USD	1,325.00	
12OCT17	B	2	PD12JAN18	3209.00	3235.00	USD	1,300.00	
12OCT17	S	1	PD12JAN18	3207.50	3235.00	USD	-687.50	
12OCT17	S	1	PD12JAN18	3208.50	3235.00	USD	-662.50	
12OCT17	S	1	PD12JAN18	3205.50	3235.00	USD	-737.50	
12OCT17	S	2	PD12JAN18	3206.00	3235.00	USD	-1,450.00	
12OCT17	S	1	PD12JAN18	3205.00	3235.00	USD	-750.00	
12OCT17	S	2	PD12JAN18	3204.50	3235.00	USD	-1,525.00	
12OCT17	S	1	PD12JAN18	3231.00	3235.00	USD	-100.00	
12OCT17	S	1	PD12JAN18	3232.50	3235.00	USD	-62.50	
12OCT17	S	1	PD12JAN18	3234.00	3235.00	USD	-25.00	
12OCT17	B	1	PD12JAN18	3233.00	3235.00	USD	50.00	
12OCT17	B	1	PD12JAN18	3249.00	3235.00	USD	-350.00	
12OCT17	B	1	PD12JAN18	3246.00	3235.00	USD	-275.00	
12OCT17	B	2	PD12JAN18	3244.50	3235.00	USD	-475.00	
12OCT17	B	2	PD12JAN18	3245.50	3235.00	USD	-525.00	
12OCT17	B	1	PD12JAN18	3244.00	3235.00	USD	-225.00	
12OCT17	B	1	PD12JAN18	3243.00	3235.00	USD	-200.00	
12OCT17	B	1	PD12JAN18	3240.00	3235.00	USD	-125.00	
12OCT17	B	1	PD12JAN18	3241.50	3235.00	USD	-162.50	

OPEN POSITION STATEMENT



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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
12OCT17	B	1	PD12JAN18	3255.00	3235.00	USD	-500.00	
12OCT17	B	1	PD12JAN18	3248.00	3235.00	USD	-325.00	
12OCT17	S	1	PD12JAN18	3256.50	3235.00	USD	537.50	
13OCT17	S	1	PD12JAN18	3261.00	3235.00	USD	650.00	
13OCT17	S	1	PD12JAN18	3262.50	3235.00	USD	687.50	
13OCT17	S	3	PD12JAN18	3262.00	3235.00	USD	2,025.00	
13OCT17	B	5	PD12JAN18	3268.00	3235.00	USD	-4,125.00	
13OCT17	S	1	PD12JAN18	3242.50	3235.00	USD	187.50	
13OCT17	S	1	PD12JAN18	3267.00	3235.00	USD	800.00	
13OCT17	B	1	PD12JAN18	3248.00	3235.00	USD	-325.00	
13OCT17	B	2	PD12JAN18	3242.50	3235.00	USD	-375.00	
13OCT17	S	1	PD12JAN18	3275.00	3235.00	USD	1,000.00	
13OCT17	S	2	PD12JAN18	3235.00	3235.00	USD	0.00	
13OCT17	B	1	PD12JAN18	3235.50	3235.00	USD	-12.50	
13OCT17	S	1	PD12JAN18	3250.50	3235.00	USD	387.50	
13OCT17	S	2	PD12JAN18	3248.50	3235.00	USD	675.00	
13OCT17	S	1	PD12JAN18	3249.00	3235.00	USD	350.00	
	NET	-1 AVG BGHT 3243.19	SOLD 3236.69				-4,187.50	0.00

OPEN POSITION VALUE

		USD
LME Aluminium 25 Tonnes.	FUTURES	-3,128,293.75
LME Copper Grade A 25	FUTURES	-5,315.25
LME Lead 25 tonnes. This is an	FUTURES	437,513.00
LME Nickel 6 tonnes. This is	FUTURES	76,833.30
LME Zinc 25 tonnes. This is	FUTURES	347,425.75

MARKET REVALUATION BREAKDOWN

Unrealised Profits & Losses - Forwards			Amount	Profit / Loss	Total Amount	Total P&L
ALUL	- Futures	USD	160,264.25	160,264.25		
COPL	- Futures	USD	-5,315.25	-5,315.25		
LEAD	- Futures	USD	121,274.25	121,274.25		
NKL	- Futures	USD	6,783.30	6,783.30		
ZINC	- Futures	USD	-56,987.25	-56,987.25	226,019.30	226,019.30

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ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	-100,711.00	-100,711.00
Variation Margins		
- Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	-100,711.00	-100,711.00
Initial Margins	-39,924.70	-39,924.70
Excess/(Shortage)	-140,635.70	-140,635.70
FOR INFORMATION ONLY		
Forward Unrealised P&L	226,019.30	226,019.30
Net Liquidating Value	125,308.30	125,308.30

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ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	228.69	228.69
Variation Margins		
- Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	228.69	228.69
Initial Margins	0.00	0.00
Excess/(Shortage)	228.69	228.69
FOR INFORMATION ONLY		
Net Liquidating Value	228.69	228.69

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	<u>LME ALUMINIUM 25 TONNES. THIS IS AN</u>							
	<u>LME REGISTERED CLIENT CONTRACT.</u>							
04OCT17	S	1	PD04JAN18	2152.00	2132.21	USD	494.75	
04OCT17	B	1	PD04JAN18	2145.00	2132.21	USD	-319.75	
04OCT17	B	1	PD04JAN18	2155.50	2132.21	USD	-582.25	
04OCT17	S	1	PD04JAN18	2158.50	2132.21	USD	657.25	
04OCT17	B	1	PD04JAN18	2149.50	2132.21	USD	-432.25	
10OCT17	S	1	PD04JAN18	2161.75	2132.21	USD	738.50	
	NET	0 AVG BGHT 2150.00	SOLD 2157.42				556.25	0.00
05OCT17	B	1	PD05JAN18	2149.50	2132.38	USD	-428.00	
05OCT17	S	2	PD05JAN18	2164.00	2132.38	USD	1,581.00	
10OCT17	B	1	PD05JAN18	2162.16	2132.38	USD	-744.50	
	NET	0 AVG BGHT 2155.83	SOLD 2164.00				408.50	0.00
09OCT17	B	1	PD09JAN18	2149.50	2133.02	USD	-412.00	
09OCT17	S	1	PD09JAN18	2157.50	2133.02	USD	612.00	
	NET	0 AVG BGHT 2149.50	SOLD 2157.50				200.00	0.00
10OCT17	B	1	PD10JAN18	2159.00	2133.18	USD	-645.50	
10OCT17	B	1	PD10JAN18	2159.50	2133.18	USD	-658.00	
10OCT17	B	1	PD10JAN18	2155.00	2133.18	USD	-545.50	
10OCT17	B	1	PD10JAN18	2165.00	2133.18	USD	-795.50	
10OCT17	B	1	PD10JAN18	2158.00	2133.18	USD	-620.50	
10OCT17	S	2	PD10JAN18	2164.50	2133.18	USD	1,566.00	
10OCT17	S	1	PD10JAN18	2163.50	2133.18	USD	758.00	
10OCT17	S	1	PD10JAN18	2163.50	2133.18	USD	758.00	
12OCT17	S	1	PD10JAN18	2146.14	2133.18	USD	324.00	
	NET	0 AVG BGHT 2159.30	SOLD 2160.43				141.00	0.00
11OCT17	S	1	PD11JAN18	2157.50	2133.34	USD	604.00	
11OCT17	B	3	PD11JAN18	2153.00	2133.34	USD	-1,474.50	
11OCT17	B	1	PD11JAN18	2144.50	2133.34	USD	-279.00	
11OCT17	B	1	PD11JAN18	2143.50	2133.34	USD	-254.00	
11OCT17	S	2	PD11JAN18	2151.00	2133.34	USD	883.00	
11OCT17	S	2	PD11JAN18	2152.00	2133.34	USD	933.00	
11OCT17	S	1	PD11JAN18	2153.50	2133.34	USD	504.00	
11OCT17	B	1	PD11JAN18	2133.50	2133.34	USD	-4.00	
11OCT17	S	1	PD11JAN18	2138.50	2133.34	USD	129.00	
12OCT17	B	1	PD11JAN18	2146.52	2133.34	USD	-329.50	
	NET	0 AVG BGHT 2146.72	SOLD 2150.79				712.00	0.00
12OCT17	B	2	PD12JAN18	2138.00	2133.50	USD	-225.00	
12OCT17	B	1	PD12JAN18	2138.50	2133.50	USD	-125.00	
12OCT17	B	1	PD12JAN18	2140.00	2133.50	USD	-162.50	
12OCT17	B	1	PD12JAN18	2141.00	2133.50	USD	-187.50	
12OCT17	S	1	PD12JAN18	2147.00	2133.50	USD	337.50	
12OCT17	S	2	PD12JAN18	2146.50	2133.50	USD	650.00	
12OCT17	B	1	PD12JAN18	2137.00	2133.50	USD	-87.50	
12OCT17	S	1	PD12JAN18	2148.50	2133.50	USD	375.00	
12OCT17	S	2	PD12JAN18	2149.00	2133.50	USD	775.00	
13OCT17	S	1	PD12JAN18	2158.00	2133.50	USD	612.50	
13OCT17	S	1	PD12JAN18	2163.00	2133.50	USD	737.50	
13OCT17	S	1	PD12JAN18	2162.50	2133.50	USD	725.00	
13OCT17	B	1	PD12JAN18	2164.00	2133.50	USD	-762.50	
13OCT17	B	1	PD12JAN18	2157.00	2133.50	USD	-587.50	
13OCT17	B	1	PD12JAN18	2156.50	2133.50	USD	-575.00	
13OCT17	B	1	PD12JAN18	2135.00	2133.50	USD	-37.50	
13OCT17	S	1	PD12JAN18	2136.50	2133.50	USD	75.00	
	NET	0 AVG BGHT 2144.50	SOLD 2150.65				1,537.50	0.00
	<u>LME LEAD 25 TONNES. THIS IS AN</u>							
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	<u>LME LEAD 25 TONNES. THIS IS AN</u>							
	<u>LME REGISTERED CLIENT CONTRACT</u>							
05OCT17	S	1	PD05JAN18	2563.50	2528.97	USD	863.25	
05OCT17	S	1	PD05JAN18	2572.50	2528.97	USD	1,088.25	
05OCT17	B	1	PD05JAN18	2588.50	2528.97	USD	-1,488.25	
06OCT17	S	1	PD05JAN18	2583.00	2528.97	USD	1,350.75	
06OCT17	B	3	PD05JAN18	2581.00	2528.97	USD	-3,902.25	
06OCT17	S	1	PD05JAN18	2573.00	2528.97	USD	1,100.75	
06OCT17	S	2	PD05JAN18	2571.50	2528.97	USD	2,126.50	
06OCT17	B	1	PD05JAN18	2569.00	2528.97	USD	-1,000.75	
06OCT17	B	1	PD05JAN18	2568.50	2528.97	USD	-988.25	
06OCT17	B	1	PD05JAN18	2568.00	2528.97	USD	-975.75	
06OCT17	B	2	PD05JAN18	2570.50	2528.97	USD	-2,076.50	
06OCT17	B	1	PD05JAN18	2569.50	2528.97	USD	-1,013.25	
06OCT17	B	1	PD05JAN18	2563.50	2528.97	USD	-863.25	
06OCT17	S	1	PD05JAN18	2550.50	2528.97	USD	538.25	
06OCT17	S	1	PD05JAN18	2545.50	2528.97	USD	413.25	
06OCT17	S	1	PD05JAN18	2520.50	2528.97	USD	-211.75	
06OCT17	S	1	PD05JAN18	2523.50	2528.97	USD	-136.75	
06OCT17	B	1	PD05JAN18	2527.50	2528.97	USD	36.75	
06OCT17	B	1	PD05JAN18	2538.00	2528.97	USD	-225.75	
06OCT17	B	1	PD05JAN18	2539.00	2528.97	USD	-250.75	
10OCT17	S	4	PD05JAN18	2555.93	2528.97	USD	2,696.00	
	NET	0AVG	BGHT 2565.39	SOLD 2557.05			-2,919.50	0.00
09OCT17	B	1	PD09JAN18	2520.00	2529.56	USD	239.00	
09OCT17	S	1	PD09JAN18	2528.00	2529.56	USD	-39.00	
09OCT17	S	1	PD09JAN18	2530.00	2529.56	USD	11.00	
09OCT17	S	1	PD09JAN18	2530.50	2529.56	USD	23.50	
09OCT17	S	1	PD09JAN18	2531.50	2529.56	USD	48.50	
09OCT17	S	1	PD09JAN18	2532.50	2529.56	USD	73.50	
09OCT17	B	2	PD09JAN18	2515.50	2529.56	USD	703.00	
09OCT17	B	1	PD09JAN18	2517.00	2529.56	USD	314.00	
09OCT17	B	1	PD09JAN18	2518.00	2529.56	USD	289.00	
09OCT17	B	1	PD09JAN18	2518.50	2529.56	USD	276.50	
09OCT17	S	1	PD09JAN18	2513.50	2529.56	USD	-401.50	
09OCT17	B	1	PD09JAN18	2509.50	2529.56	USD	501.50	
09OCT17	S	1	PD09JAN18	2514.50	2529.56	USD	-376.50	
09OCT17	S	3	PD09JAN18	2514.00	2529.56	USD	-1,167.00	
09OCT17	S	1	PD09JAN18	2509.00	2529.56	USD	-514.00	
09OCT17	S	2	PD09JAN18	2512.50	2529.56	USD	-853.00	
09OCT17	B	3	PD09JAN18	2509.00	2529.56	USD	1,542.00	
09OCT17	B	1	PD09JAN18	2508.50	2529.56	USD	526.50	
09OCT17	B	2	PD09JAN18	2516.50	2529.56	USD	653.00	
09OCT17	B	1	PD09JAN18	2515.00	2529.56	USD	364.00	
09OCT17	B	3	PD09JAN18	2517.50	2529.56	USD	904.50	
09OCT17	B	1	PD09JAN18	2512.00	2529.56	USD	439.00	
09OCT17	B	1	PD09JAN18	2511.00	2529.56	USD	464.00	
09OCT17	B	2	PD09JAN18	2511.50	2529.56	USD	903.00	
09OCT17	S	1	PD09JAN18	2510.00	2529.56	USD	-489.00	
09OCT17	S	1	PD09JAN18	2512.00	2529.56	USD	-439.00	
09OCT17	S	1	PD09JAN18	2519.00	2529.56	USD	-264.00	
09OCT17	S	8	PD09JAN18	2513.00	2529.56	USD	-3,312.00	
10OCT17	B	4	PD09JAN18	2556.99	2529.56	USD	-2,743.00	
12OCT17	S	1	PD09JAN18	2556.63	2529.56	USD	676.75	
	NET	0AVG	BGHT 2520.96	SOLD 2518.33			-1,645.75	0.00
10OCT17	B	2	PD10JAN18	2495.00	2529.70	USD	1,735.00	
10OCT17	B	1	PD10JAN18	2494.00	2529.70	USD	892.50	
10OCT17	B	1	PD10JAN18	2501.00	2529.70	USD	717.50	
10OCT17	B	1	PD10JAN18	2503.50	2529.70	USD	655.00	

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	<u>LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
10OCT17	B	1	PD10JAN18	2504.00	2529.70	USD	642.50	
10OCT17	B	3	PD10JAN18	2497.50	2529.70	USD	2,415.00	
10OCT17	B	1	PD10JAN18	2496.50	2529.70	USD	830.00	
10OCT17	B	1	PD10JAN18	2497.00	2529.70	USD	817.50	
10OCT17	S	1	PD10JAN18	2500.50	2529.70	USD	-730.00	
10OCT17	S	4	PD10JAN18	2502.00	2529.70	USD	-2,770.00	
10OCT17	S	1	PD10JAN18	2501.50	2529.70	USD	-705.00	
10OCT17	S	1	PD10JAN18	2497.00	2529.70	USD	-817.50	
10OCT17	S	2	PD10JAN18	2498.50	2529.70	USD	-1,560.00	
10OCT17	S	1	PD10JAN18	2497.50	2529.70	USD	-805.00	
10OCT17	B	1	PD10JAN18	2493.50	2529.70	USD	905.00	
10OCT17	B	2	PD10JAN18	2490.50	2529.70	USD	1,960.00	
10OCT17	B	1	PD10JAN18	2491.00	2529.70	USD	967.50	
10OCT17	B	1	PD10JAN18	2491.50	2529.70	USD	955.00	
10OCT17	B	1	PD10JAN18	2492.00	2529.70	USD	942.50	
10OCT17	S	4	PD10JAN18	2501.00	2529.70	USD	-2,870.00	
10OCT17	S	2	PD10JAN18	2503.50	2529.70	USD	-1,310.00	
10OCT17	B	2	PD10JAN18	2500.00	2529.70	USD	1,485.00	
10OCT17	S	1	PD10JAN18	2506.00	2529.70	USD	-592.50	
10OCT17	B	1	PD10JAN18	2492.50	2529.70	USD	930.00	
10OCT17	S	1	PD10JAN18	2510.50	2529.70	USD	-480.00	
10OCT17	S	2	PD10JAN18	2519.00	2529.70	USD	-535.00	
10OCT17	S	1	PD10JAN18	2516.00	2529.70	USD	-342.50	
10OCT17	S	2	PD10JAN18	2517.50	2529.70	USD	-610.00	
10OCT17	S	1	PD10JAN18	2520.00	2529.70	USD	-242.50	
10OCT17	S	1	PD10JAN18	2516.50	2529.70	USD	-330.00	
10OCT17	B	1	PD10JAN18	2510.00	2529.70	USD	492.50	
10OCT17	S	1	PD10JAN18	2515.00	2529.70	USD	-367.50	
10OCT17	B	1	PD10JAN18	2501.50	2529.70	USD	705.00	
10OCT17	S	1	PD10JAN18	2505.00	2529.70	USD	-617.50	
10OCT17	S	1	PD10JAN18	2523.00	2529.70	USD	-167.50	
10OCT17	S	1	PD10JAN18	2524.00	2529.70	USD	-142.50	
10OCT17	B	1	PD10JAN18	2511.50	2529.70	USD	455.00	
10OCT17	B	1	PD10JAN18	2509.00	2529.70	USD	517.50	
10OCT17	B	1	PD10JAN18	2506.50	2529.70	USD	580.00	
10OCT17	B	1	PD10JAN18	2511.00	2529.70	USD	467.50	
10OCT17	B	3	PD10JAN18	2508.50	2529.70	USD	1,590.00	
10OCT17	S	1	PD10JAN18	2520.50	2529.70	USD	-230.00	
10OCT17	S	1	PD10JAN18	2529.00	2529.70	USD	-17.50	
10OCT17	S	2	PD10JAN18	2530.50	2529.70	USD	40.00	
10OCT17	S	1	PD10JAN18	2534.50	2529.70	USD	120.00	
10OCT17	S	2	PD10JAN18	2535.00	2529.70	USD	265.00	
10OCT17	S	1	PD10JAN18	2540.50	2529.70	USD	270.00	
10OCT17	B	1	PD10JAN18	2544.50	2529.70	USD	-370.00	
10OCT17	B	1	PD10JAN18	2547.00	2529.70	USD	-432.50	
10OCT17	B	1	PD10JAN18	2548.50	2529.70	USD	-470.00	
10OCT17	B	1	PD10JAN18	2548.00	2529.70	USD	-457.50	
10OCT17	B	1	PD10JAN18	2559.50	2529.70	USD	-745.00	
10OCT17	B	1	PD10JAN18	2559.00	2529.70	USD	-732.50	
10OCT17	B	1	PD10JAN18	2558.50	2529.70	USD	-720.00	
12OCT17	B	1	PD10JAN18	2556.95	2529.70	USD	-681.25	
	NET	0AVG BGHT 2511.27	SOLD 2512.89				1,501.25	0.00
11OCT17	S	1	PD11JAN18	2541.00	2529.85	USD	278.75	
11OCT17	B	1	PD11JAN18	2533.50	2529.85	USD	-91.25	
11OCT17	B	1	PD11JAN18	2527.00	2529.85	USD	71.25	
11OCT17	B	1	PD11JAN18	2536.00	2529.85	USD	-153.75	
11OCT17	B	1	PD11JAN18	2535.50	2529.85	USD	-141.25	

OPEN POSITION STATEMENT



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SAP0112G

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	<u>LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
11OCT17	B	1	PD11JAN18	2535.00	2529.85	USD	-128.75	
11OCT17	B	1	PD11JAN18	2534.00	2529.85	USD	-103.75	
11OCT17	B	1	PD11JAN18	2532.50	2529.85	USD	-66.25	
11OCT17	B	1	PD11JAN18	2530.50	2529.85	USD	-16.25	
11OCT17	B	2	PD11JAN18	2526.00	2529.85	USD	192.50	
11OCT17	B	1	PD11JAN18	2525.50	2529.85	USD	108.75	
11OCT17	B	2	PD11JAN18	2524.50	2529.85	USD	267.50	
11OCT17	B	2	PD11JAN18	2524.00	2529.85	USD	292.50	
11OCT17	B	1	PD11JAN18	2511.00	2529.85	USD	471.25	
11OCT17	B	1	PD11JAN18	2514.00	2529.85	USD	396.25	
11OCT17	S	1	PD11JAN18	2522.00	2529.85	USD	-196.25	
11OCT17	S	1	PD11JAN18	2525.00	2529.85	USD	-121.25	
11OCT17	S	2	PD11JAN18	2530.00	2529.85	USD	7.50	
11OCT17	S	1	PD11JAN18	2532.00	2529.85	USD	53.75	
11OCT17	S	1	PD11JAN18	2530.50	2529.85	USD	16.25	
11OCT17	S	1	PD11JAN18	2529.00	2529.85	USD	-21.25	
11OCT17	S	1	PD11JAN18	2533.00	2529.85	USD	78.75	
11OCT17	S	1	PD11JAN18	2534.00	2529.85	USD	103.75	
11OCT17	S	1	PD11JAN18	2534.50	2529.85	USD	116.25	
11OCT17	S	2	PD11JAN18	2533.50	2529.85	USD	182.50	
11OCT17	S	1	PD11JAN18	2535.00	2529.85	USD	128.75	
11OCT17	S	1	PD11JAN18	2518.50	2529.85	USD	-283.75	
11OCT17	S	1	PD11JAN18	2517.50	2529.85	USD	-308.75	
11OCT17	S	3	PD11JAN18	2531.00	2529.85	USD	86.25	
11OCT17	B	1	PD11JAN18	2543.00	2529.85	USD	-328.75	
11OCT17	B	1	PD11JAN18	2543.50	2529.85	USD	-341.25	
11OCT17	S	1	PD11JAN18	2537.50	2529.85	USD	191.25	
11OCT17	B	1	PD11JAN18	2519.00	2529.85	USD	271.25	
11OCT17	B	3	PD11JAN18	2523.00	2529.85	USD	513.75	
11OCT17	B	1	PD11JAN18	2519.50	2529.85	USD	258.75	
11OCT17	S	1	PD11JAN18	2528.50	2529.85	USD	-33.75	
11OCT17	B	1	PD11JAN18	2517.00	2529.85	USD	321.25	
11OCT17	S	2	PD11JAN18	2528.00	2529.85	USD	-92.50	
11OCT17	S	1	PD11JAN18	2538.50	2529.85	USD	216.25	
11OCT17	S	1	PD11JAN18	2551.00	2529.85	USD	528.75	
11OCT17	S	1	PD11JAN18	2554.00	2529.85	USD	603.75	
11OCT17	S	1	PD11JAN18	2555.50	2529.85	USD	641.25	
11OCT17	S	1	PD11JAN18	2562.00	2529.85	USD	803.75	
11OCT17	B	1	PD11JAN18	2561.00	2529.85	USD	-778.75	
11OCT17	B	1	PD11JAN18	2554.50	2529.85	USD	-616.25	
11OCT17	B	1	PD11JAN18	2556.50	2529.85	USD	-666.25	
	NET	0	AVG BGHT 2530.23	SOLD 2534.11			2,712.50	0.00
12OCT17	B	1	PD12JAN18	2542.50	2530.00	USD	-312.50	
12OCT17	B	2	PD12JAN18	2543.00	2530.00	USD	-650.00	
12OCT17	B	2	PD12JAN18	2544.00	2530.00	USD	-700.00	
12OCT17	S	1	PD12JAN18	2560.00	2530.00	USD	750.00	
12OCT17	B	1	PD12JAN18	2557.00	2530.00	USD	-675.00	
12OCT17	B	2	PD12JAN18	2552.00	2530.00	USD	-1,100.00	
12OCT17	S	1	PD12JAN18	2557.50	2530.00	USD	687.50	
12OCT17	B	1	PD12JAN18	2556.50	2530.00	USD	-662.50	
12OCT17	S	2	PD12JAN18	2550.50	2530.00	USD	1,025.00	
12OCT17	S	1	PD12JAN18	2554.00	2530.00	USD	600.00	
12OCT17	S	1	PD12JAN18	2561.00	2530.00	USD	775.00	
12OCT17	S	1	PD12JAN18	2562.50	2530.00	USD	812.50	
12OCT17	S	1	PD12JAN18	2567.00	2530.00	USD	925.00	
12OCT17	S	1	PD12JAN18	2565.50	2530.00	USD	887.50	
12OCT17	S	1	PD12JAN18	2566.00	2530.00	USD	900.00	

OPEN POSITION STATEMENT



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	<u>LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
12OCT17	B	1	PD12JAN18	2562.50	2530.00	USD	-812.50	
12OCT17	B	1	PD12JAN18	2564.50	2530.00	USD	-862.50	
12OCT17	S	1	PD12JAN18	2570.50	2530.00	USD	1,012.50	
12OCT17	S	2	PD12JAN18	2569.50	2530.00	USD	1,975.00	
12OCT17	B	1	PD12JAN18	2573.00	2530.00	USD	-1,075.00	
12OCT17	B	1	PD12JAN18	2570.00	2530.00	USD	-1,000.00	
12OCT17	B	1	PD12JAN18	2574.00	2530.00	USD	-1,100.00	
12OCT17	B	2	PD12JAN18	2573.50	2530.00	USD	-2,175.00	
12OCT17	S	1	PD12JAN18	2574.50	2530.00	USD	1,112.50	
12OCT17	S	1	PD12JAN18	2575.00	2530.00	USD	1,125.00	
12OCT17	S	1	PD12JAN18	2575.50	2530.00	USD	1,137.50	
12OCT17	B	1	PD12JAN18	2544.50	2530.00	USD	-362.50	
12OCT17	S	2	PD12JAN18	2573.00	2530.00	USD	2,150.00	
12OCT17	S	1	PD12JAN18	2584.50	2530.00	USD	1,362.50	
12OCT17	S	1	PD12JAN18	2581.50	2530.00	USD	1,287.50	
12OCT17	B	1	PD12JAN18	2567.50	2530.00	USD	-937.50	
13OCT17	B	2	PD12JAN18	2551.00	2530.00	USD	-1,050.00	
13OCT17	B	1	PD12JAN18	2556.00	2530.00	USD	-650.00	
13OCT17	B	1	PD12JAN18	2555.50	2530.00	USD	-637.50	
13OCT17	B	1	PD12JAN18	2553.00	2530.00	USD	-575.00	
13OCT17	B	2	PD12JAN18	2552.00	2530.00	USD	-1,100.00	
13OCT17	B	1	PD12JAN18	2549.50	2530.00	USD	-487.50	
13OCT17	S	1	PD12JAN18	2554.00	2530.00	USD	600.00	
13OCT17	S	2	PD12JAN18	2555.50	2530.00	USD	1,275.00	
13OCT17	S	1	PD12JAN18	2551.50	2530.00	USD	537.50	
13OCT17	S	3	PD12JAN18	2552.00	2530.00	USD	1,650.00	
13OCT17	S	1	PD12JAN18	2553.00	2530.00	USD	575.00	
13OCT17	B	2	PD12JAN18	2559.00	2530.00	USD	-1,450.00	
13OCT17	S	1	PD12JAN18	2564.50	2530.00	USD	862.50	
13OCT17	S	2	PD12JAN18	2563.00	2530.00	USD	1,650.00	
13OCT17	S	1	PD12JAN18	2550.00	2530.00	USD	500.00	
13OCT17	S	1	PD12JAN18	2550.50	2530.00	USD	512.50	
13OCT17	B	3	PD12JAN18	2551.50	2530.00	USD	-1,612.50	
13OCT17	S	1	PD12JAN18	2543.00	2530.00	USD	325.00	
13OCT17	S	1	PD12JAN18	2551.00	2530.00	USD	525.00	
13OCT17	B	1	PD12JAN18	2544.50	2530.00	USD	-362.50	
13OCT17	B	1	PD12JAN18	2545.00	2530.00	USD	-375.00	
13OCT17	B	1	PD12JAN18	2543.50	2530.00	USD	-337.50	
13OCT17	B	1	PD12JAN18	2542.50	2530.00	USD	-312.50	
13OCT17	B	1	PD12JAN18	2546.50	2530.00	USD	-412.50	
13OCT17	B	1	PD12JAN18	2545.50	2530.00	USD	-387.50	
13OCT17	S	3	PD12JAN18	2542.00	2530.00	USD	900.00	
13OCT17	S	1	PD12JAN18	2545.00	2530.00	USD	375.00	
13OCT17	S	1	PD12JAN18	2545.50	2530.00	USD	387.50	
13OCT17	S	1	PD12JAN18	2547.50	2530.00	USD	437.50	
13OCT17	S	2	PD12JAN18	2548.00	2530.00	USD	900.00	
13OCT17	S	1	PD12JAN18	2549.00	2530.00	USD	475.00	
13OCT17	S	1	PD12JAN18	2558.00	2530.00	USD	700.00	
13OCT17	B	4	PD12JAN18	2543.00	2530.00	USD	-1,300.00	
13OCT17	B	1	PD12JAN18	2542.00	2530.00	USD	-300.00	
13OCT17	B	1	PD12JAN18	2539.00	2530.00	USD	-225.00	
13OCT17	B	1	PD12JAN18	2529.50	2530.00	USD	12.50	
13OCT17	B	1	PD12JAN18	2536.50	2530.00	USD	-162.50	
	NET	0AVG BGHT 2551.47		SOLD 2558.19			7,562.50	0.00
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
15SEP17	S	1	PD15DEC17	3033.00	3243.75	USD	-5,268.75	

OPEN POSITION STATEMENT



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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
15SEP17	B	1	PD15DEC17	3033.00	3243.75	USD	5,268.75	
	NET	0AVG BGHT 3033.00	SOLD 3033.00				0.00	0.00
04OCT17	B	1	PD04JAN18	3289.00	3237.31	USD	-1,292.25	
04OCT17	S	1	PD04JAN18	3295.00	3237.31	USD	1,442.25	
04OCT17	B	1	PD04JAN18	3295.00	3237.31	USD	-1,442.25	
04OCT17	S	1	PD04JAN18	3276.50	3237.31	USD	979.75	
04OCT17	B	1	PD04JAN18	3275.50	3237.31	USD	-954.75	
04OCT17	B	1	PD04JAN18	3285.00	3237.31	USD	-1,192.25	
04OCT17	S	1	PD04JAN18	3279.50	3237.31	USD	1,054.75	
04OCT17	S	1	PD04JAN18	3292.00	3237.31	USD	1,367.25	
04OCT17	S	1	PD04JAN18	3295.50	3237.31	USD	1,454.75	
04OCT17	S	1	PD04JAN18	3291.50	3237.31	USD	1,354.75	
04OCT17	B	1	PD04JAN18	3283.50	3237.31	USD	-1,154.75	
04OCT17	B	1	PD04JAN18	3282.00	3237.31	USD	-1,117.25	
	NET	0AVG BGHT 3285.00	SOLD 3288.33				500.00	0.00
05OCT17	S	2	PD05JAN18	3290.00	3236.92	USD	2,654.00	
05OCT17	S	1	PD05JAN18	3291.50	3236.92	USD	1,364.50	
05OCT17	S	1	PD05JAN18	3290.50	3236.92	USD	1,339.50	
05OCT17	S	1	PD05JAN18	3302.50	3236.92	USD	1,639.50	
05OCT17	B	1	PD05JAN18	3307.00	3236.92	USD	-1,752.00	
05OCT17	B	1	PD05JAN18	3297.00	3236.92	USD	-1,502.00	
05OCT17	B	1	PD05JAN18	3296.00	3236.92	USD	-1,477.00	
05OCT17	B	1	PD05JAN18	3295.50	3236.92	USD	-1,464.50	
05OCT17	B	1	PD05JAN18	3292.50	3236.92	USD	-1,389.50	
05OCT17	B	1	PD05JAN18	3290.50	3236.92	USD	-1,339.50	
05OCT17	B	1	PD05JAN18	3291.00	3236.92	USD	-1,352.00	
05OCT17	S	1	PD05JAN18	3296.00	3236.92	USD	1,477.00	
05OCT17	S	1	PD05JAN18	3304.50	3236.92	USD	1,689.50	
05OCT17	S	1	PD05JAN18	3303.00	3236.92	USD	1,652.00	
05OCT17	B	2	PD05JAN18	3287.50	3236.92	USD	-2,529.00	
06OCT17	B	1	PD05JAN18	3267.50	3236.92	USD	-764.50	
06OCT17	B	1	PD05JAN18	3265.00	3236.92	USD	-702.00	
06OCT17	B	2	PD05JAN18	3262.50	3236.92	USD	-1,279.00	
06OCT17	B	1	PD05JAN18	3260.50	3236.92	USD	-589.50	
06OCT17	B	2	PD05JAN18	3255.50	3236.92	USD	-929.00	
06OCT17	B	1	PD05JAN18	3252.00	3236.92	USD	-377.00	
06OCT17	B	1	PD05JAN18	3251.50	3236.92	USD	-364.50	
06OCT17	B	1	PD05JAN18	3257.00	3236.92	USD	-502.00	
06OCT17	B	1	PD05JAN18	3256.00	3236.92	USD	-477.00	
06OCT17	B	1	PD05JAN18	3255.00	3236.92	USD	-452.00	
06OCT17	S	2	PD05JAN18	3241.50	3236.92	USD	229.00	
06OCT17	B	1	PD05JAN18	3243.50	3236.92	USD	-164.50	
06OCT17	S	1	PD05JAN18	3241.00	3236.92	USD	102.00	
06OCT17	S	1	PD05JAN18	3246.50	3236.92	USD	239.50	
06OCT17	S	1	PD05JAN18	3244.00	3236.92	USD	177.00	
10OCT17	S	6	PD05JAN18	3280.64	3236.92	USD	6,558.00	
12OCT17	S	3	PD05JAN18	3250.00	3236.92	USD	981.00	
	NET	0AVG BGHT 3272.20	SOLD 3273.47				696.00	0.00
09OCT17	S	2	PD09JAN18	3265.50	3236.15	USD	1,467.50	
09OCT17	S	1	PD09JAN18	3266.00	3236.15	USD	746.25	
09OCT17	S	1	PD09JAN18	3268.00	3236.15	USD	796.25	
09OCT17	B	1	PD09JAN18	3250.50	3236.15	USD	-358.75	
09OCT17	S	2	PD09JAN18	3250.50	3236.15	USD	717.50	
09OCT17	S	6	PD09JAN18	3251.50	3236.15	USD	2,302.50	
09OCT17	S	2	PD09JAN18	3252.00	3236.15	USD	792.50	
09OCT17	S	2	PD09JAN18	3249.50	3236.15	USD	667.50	

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09OCT17	S	1	PD09JAN18	3267.50	3236.15	USD	783.75	
09OCT17	B	1	PD09JAN18	3261.50	3236.15	USD	-633.75	
09OCT17	S	1	PD09JAN18	3268.50	3236.15	USD	808.75	
09OCT17	S	1	PD09JAN18	3274.00	3236.15	USD	946.25	
09OCT17	B	1	PD09JAN18	3268.00	3236.15	USD	-796.25	
09OCT17	B	3	PD09JAN18	3266.50	3236.15	USD	-2,276.25	
09OCT17	B	4	PD09JAN18	3267.50	3236.15	USD	-3,135.00	
09OCT17	B	3	PD09JAN18	3267.00	3236.15	USD	-2,313.75	
09OCT17	S	1	PD09JAN18	3271.00	3236.15	USD	871.25	
09OCT17	S	3	PD09JAN18	3272.00	3236.15	USD	2,688.75	
09OCT17	S	2	PD09JAN18	3273.00	3236.15	USD	1,842.50	
09OCT17	S	4	PD09JAN18	3276.00	3236.15	USD	3,985.00	
09OCT17	S	1	PD09JAN18	3276.50	3236.15	USD	1,008.75	
09OCT17	S	4	PD09JAN18	3275.00	3236.15	USD	3,885.00	
09OCT17	S	3	PD09JAN18	3273.50	3236.15	USD	2,801.25	
09OCT17	S	1	PD09JAN18	3269.00	3236.15	USD	821.25	
09OCT17	B	1	PD09JAN18	3263.00	3236.15	USD	-671.25	
09OCT17	B	1	PD09JAN18	3265.00	3236.15	USD	-721.25	
09OCT17	B	1	PD09JAN18	3261.00	3236.15	USD	-621.25	
09OCT17	B	1	PD09JAN18	3260.50	3236.15	USD	-608.75	
09OCT17	B	1	PD09JAN18	3259.50	3236.15	USD	-583.75	
09OCT17	B	1	PD09JAN18	3258.50	3236.15	USD	-558.75	
09OCT17	B	4	PD09JAN18	3257.50	3236.15	USD	-2,135.00	
09OCT17	B	2	PD09JAN18	3256.00	3236.15	USD	-992.50	
09OCT17	B	1	PD09JAN18	3255.50	3236.15	USD	-483.75	
09OCT17	B	1	PD09JAN18	3254.50	3236.15	USD	-458.75	
09OCT17	B	1	PD09JAN18	3253.50	3236.15	USD	-433.75	
09OCT17	B	3	PD09JAN18	3253.00	3236.15	USD	-1,263.75	
09OCT17	B	1	PD09JAN18	3237.50	3236.15	USD	-33.75	
09OCT17	S	1	PD09JAN18	3241.50	3236.15	USD	133.75	
09OCT17	S	1	PD09JAN18	3256.50	3236.15	USD	508.75	
09OCT17	B	1	PD09JAN18	3237.00	3236.15	USD	-21.25	
09OCT17	B	1	PD09JAN18	3239.00	3236.15	USD	-71.25	
10OCT17	B	6	PD09JAN18	3279.75	3236.15	USD	-6,540.00	
	NET	0 AVG BGHT 3261.86	SOLD 3264.73				2,862.50	0.00
10OCT17	B	2	PD10JAN18	3248.50	3235.77	USD	-636.50	
10OCT17	B	1	PD10JAN18	3246.00	3235.77	USD	-255.75	
10OCT17	B	1	PD10JAN18	3247.50	3235.77	USD	-293.25	
10OCT17	B	1	PD10JAN18	3245.50	3235.77	USD	-243.25	
10OCT17	B	1	PD10JAN18	3244.50	3235.77	USD	-218.25	
10OCT17	B	3	PD10JAN18	3244.00	3235.77	USD	-617.25	
10OCT17	B	1	PD10JAN18	3242.50	3235.77	USD	-168.25	
10OCT17	B	1	PD10JAN18	3242.00	3235.77	USD	-155.75	
10OCT17	B	2	PD10JAN18	3233.00	3235.77	USD	138.50	
10OCT17	B	1	PD10JAN18	3221.00	3235.77	USD	369.25	
10OCT17	B	1	PD10JAN18	3220.50	3235.77	USD	381.75	
10OCT17	S	1	PD10JAN18	3227.50	3235.77	USD	-206.75	
10OCT17	S	1	PD10JAN18	3222.00	3235.77	USD	-344.25	
10OCT17	S	2	PD10JAN18	3224.50	3235.77	USD	-563.50	
10OCT17	S	2	PD10JAN18	3232.50	3235.77	USD	-163.50	
10OCT17	S	1	PD10JAN18	3231.50	3235.77	USD	-106.75	
10OCT17	S	1	PD10JAN18	3233.00	3235.77	USD	-69.25	
10OCT17	S	1	PD10JAN18	3243.00	3235.77	USD	180.75	
10OCT17	S	2	PD10JAN18	3285.50	3235.77	USD	2,486.50	
10OCT17	S	1	PD10JAN18	3286.50	3235.77	USD	1,268.25	
10OCT17	S	1	PD10JAN18	3292.50	3235.77	USD	1,418.25	
10OCT17	S	1	PD10JAN18	3291.50	3235.77	USD	1,393.25	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0112G

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
10OCT17	S	2	PD10JAN18	3291.00	3235.77	USD	2,761.50	
10OCT17	S	1	PD10JAN18	3291.50	3235.77	USD	1,393.25	
10OCT17	S	1	PD10JAN18	3292.00	3235.77	USD	1,405.75	
12OCT17	B	3	PD10JAN18	3250.20	3235.77	USD	-1,082.25	
	NET	0 AVG BGHT 3241.95	SOLD 3259.89				8,072.50	0.00
11OCT17	B	6	PD11JAN18	3252.00	3235.38	USD	-2,493.00	
11OCT17	B	2	PD11JAN18	3242.50	3235.38	USD	-356.00	
11OCT17	B	2	PD11JAN18	3243.50	3235.38	USD	-406.00	
11OCT17	S	2	PD11JAN18	3254.50	3235.38	USD	956.00	
11OCT17	B	1	PD11JAN18	3250.00	3235.38	USD	-365.50	
11OCT17	B	1	PD11JAN18	3245.50	3235.38	USD	-253.00	
11OCT17	B	1	PD11JAN18	3239.50	3235.38	USD	-103.00	
11OCT17	B	2	PD11JAN18	3233.00	3235.38	USD	119.00	
11OCT17	S	1	PD11JAN18	3230.50	3235.38	USD	-122.00	
11OCT17	S	1	PD11JAN18	3231.50	3235.38	USD	-97.00	
11OCT17	S	1	PD11JAN18	3239.50	3235.38	USD	103.00	
11OCT17	S	2	PD11JAN18	3223.00	3235.38	USD	-619.00	
11OCT17	S	2	PD11JAN18	3222.00	3235.38	USD	-669.00	
11OCT17	S	1	PD11JAN18	3221.50	3235.38	USD	-347.00	
11OCT17	S	2	PD11JAN18	3221.00	3235.38	USD	-719.00	
11OCT17	S	3	PD11JAN18	3222.00	3235.38	USD	-1,003.50	
	NET	0 AVG BGHT 3245.67	SOLD 3228.67				-6,375.00	0.00
12OCT17	S	1	PD12JAN18	3205.00	3235.00	USD	-750.00	
12OCT17	S	1	PD12JAN18	3206.50	3235.00	USD	-712.50	
12OCT17	B	1	PD12JAN18	3205.00	3235.00	USD	750.00	
12OCT17	B	1	PD12JAN18	3215.50	3235.00	USD	487.50	
12OCT17	S	2	PD12JAN18	3227.00	3235.00	USD	-400.00	
12OCT17	S	1	PD12JAN18	3228.00	3235.00	USD	-175.00	
12OCT17	S	1	PD12JAN18	3228.50	3235.00	USD	-162.50	
12OCT17	S	3	PD12JAN18	3229.50	3235.00	USD	-412.50	
12OCT17	B	1	PD12JAN18	3233.50	3235.00	USD	37.50	
12OCT17	B	1	PD12JAN18	3233.00	3235.00	USD	50.00	
12OCT17	B	1	PD12JAN18	3236.50	3235.00	USD	-37.50	
12OCT17	B	1	PD12JAN18	3244.50	3235.00	USD	-237.50	
12OCT17	B	1	PD12JAN18	3244.00	3235.00	USD	-225.00	
12OCT17	S	1	PD12JAN18	3260.50	3235.00	USD	637.50	
12OCT17	B	1	PD12JAN18	3256.50	3235.00	USD	-537.50	
12OCT17	B	2	PD12JAN18	3249.50	3235.00	USD	-725.00	
12OCT17	B	1	PD12JAN18	3245.50	3235.00	USD	-262.50	
12OCT17	B	2	PD12JAN18	3250.00	3235.00	USD	-750.00	
12OCT17	S	1	PD12JAN18	3257.50	3235.00	USD	562.50	
13OCT17	S	1	PD12JAN18	3241.50	3235.00	USD	162.50	
13OCT17	S	1	PD12JAN18	3262.50	3235.00	USD	687.50	
13OCT17	S	1	PD12JAN18	3263.00	3235.00	USD	700.00	
13OCT17	S	1	PD12JAN18	3271.50	3235.00	USD	912.50	
13OCT17	S	1	PD12JAN18	3272.00	3235.00	USD	925.00	
13OCT17	S	1	PD12JAN18	3272.50	3235.00	USD	937.50	
13OCT17	S	1	PD12JAN18	3259.00	3235.00	USD	600.00	
13OCT17	B	1	PD12JAN18	3245.50	3235.00	USD	-262.50	
13OCT17	B	1	PD12JAN18	3248.00	3235.00	USD	-325.00	
13OCT17	B	1	PD12JAN18	3245.00	3235.00	USD	-250.00	
13OCT17	B	2	PD12JAN18	3249.00	3235.00	USD	-700.00	
13OCT17	B	1	PD12JAN18	3244.00	3235.00	USD	-225.00	
13OCT17	S	1	PD12JAN18	3250.00	3235.00	USD	375.00	
13OCT17	B	3	PD12JAN18	3250.00	3235.00	USD	-1,125.00	
13OCT17	S	1	PD12JAN18	3250.50	3235.00	USD	387.50	
13OCT17	S	3	PD12JAN18	3251.50	3235.00	USD	1,237.50	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0112G

13th October 2017

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
13OCT17	S	2	PD12JAN18	3252.00	3235.00	USD	850.00	
13OCT17	B	1	PD12JAN18	3256.00	3235.00	USD	-525.00	
13OCT17	S	1	PD12JAN18	3266.00	3235.00	USD	775.00	
13OCT17	S	2	PD12JAN18	3267.00	3235.00	USD	1,600.00	
13OCT17	S	1	PD12JAN18	3270.00	3235.00	USD	875.00	
13OCT17	B	1	PD12JAN18	3251.50	3235.00	USD	-412.50	
13OCT17	B	1	PD12JAN18	3252.50	3235.00	USD	-437.50	
13OCT17	B	2	PD12JAN18	3249.50	3235.00	USD	-725.00	
13OCT17	B	1	PD12JAN18	3248.50	3235.00	USD	-337.50	
13OCT17	B	3	PD12JAN18	3244.50	3235.00	USD	-712.50	
13OCT17	B	4	PD12JAN18	3242.50	3235.00	USD	-750.00	
13OCT17	B	2	PD12JAN18	3241.00	3235.00	USD	-300.00	
13OCT17	B	1	PD12JAN18	3240.00	3235.00	USD	-125.00	
13OCT17	B	1	PD12JAN18	3240.50	3235.00	USD	-137.50	
13OCT17	B	1	PD12JAN18	3239.00	3235.00	USD	-100.00	
13OCT17	B	1	PD12JAN18	3238.00	3235.00	USD	-75.00	
13OCT17	S	1	PD12JAN18	3266.50	3235.00	USD	787.50	
13OCT17	S	1	PD12JAN18	3239.50	3235.00	USD	112.50	
13OCT17	S	1	PD12JAN18	3237.50	3235.00	USD	62.50	
13OCT17	S	1	PD12JAN18	3239.00	3235.00	USD	100.00	
13OCT17	S	1	PD12JAN18	3246.50	3235.00	USD	287.50	
13OCT17	S	1	PD12JAN18	3240.00	3235.00	USD	125.00	
13OCT17	S	3	PD12JAN18	3242.00	3235.00	USD	525.00	
13OCT17	S	2	PD12JAN18	3244.00	3235.00	USD	450.00	
13OCT17	S	1	PD12JAN18	3236.50	3235.00	USD	37.50	
13OCT17	B	1	PD12JAN18	3238.00	3235.00	USD	-75.00	
13OCT17	S	1	PD12JAN18	3238.50	3235.00	USD	87.50	
13OCT17	S	1	PD12JAN18	3245.50	3235.00	USD	262.50	
13OCT17	B	1	PD12JAN18	3246.50	3235.00	USD	-287.50	
	NET	0AVG BGHT 3243.69	SOLD 3246.58				3,112.50	0.00

OPEN POSITION VALUE

		USD
LME Aluminium 25 Tonnes.	FUTURES	3,555.25
LME Lead 25 tonnes. This is an	FUTURES	7,211.00
LME Zinc 25 tonnes. This is	FUTURES	8,868.50

MARKET REVALUATION BREAKDOWN

Unrealised Profits & Losses - Forwards	Amount	Profit / Loss	Total Amount	Total P&L
ALUL - Futures USD	3,555.25	3,555.25		
LEAD - Futures USD	7,211.00	7,211.00		
ZINC - Futures USD	8,868.50	8,868.50	19,634.75	19,634.75

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0112G

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	-2,433.04	-2,433.04
Variation Margins		
- Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	-2,433.04	-2,433.04
Initial Margins	0.00	0.00
Excess/(Shortage)	-2,433.04	-2,433.04
FOR INFORMATION ONLY		
Forward Unrealised P&L	19,634.75	19,634.75
Net Liquidating Value	17,201.71	17,201.71

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0112H

13th October 2017

Sapien Capital Limited
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Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
29SEP17	B 1 PD29DEC17	2486.00	2527.93	USD	1,048.25	
29SEP17	S 1 PD29DEC17	2486.00	2527.93	USD	-1,048.25	
	NET 0AVG BGHT 2486.00 SOLD 2486.00				0.00	0.00

OPEN POSITION VALUE

LME Lead 25 tonnes. This is an FUTURES USD
0.00

MARKET REVALUATION BREAKDOWN

Unrealised Profits & Losses - Forwards	Amount	Profit / Loss	Total Amount	Total P&L
LEAD - Futures USD	0.00	0.00	0.00	0.00

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	-8.60	-8.60
Variation Margins - Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	-8.60	-8.60
Initial Margins	0.00	0.00
Excess/(Shortage)	-8.60	-8.60
<u>FOR INFORMATION ONLY</u>		
Net Liquidating Value	-8.60	-8.60

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED
SAP0112
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

GROUP NO: **SAPG0112**

13th Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343
Authorised and regulated by the Australian Prudential
Regulation Authority. Authorised by the Prudential
Regulation Authority. Subject to regulation by the Financial
Conduct Authority and limited regulation by the
Prudential Regulation Authority. Details about the extent
of our regulation by the Prudential Regulation Authority are
available from us on request.

GROUP FINANCIAL SUMMARY

	USD	NET USD
	(1.00000)	(1.00000)
CASH BALANCE	649,766.17	649,766.17
Variation Margins		
- Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	649,766.17	649,766.17
Initial Margins	-158,170.56	-158,170.56
Excess/(Shortage)	491,595.61	491,595.61
<u>FOR INFORMATION ONLY</u>		
Forward Unrealised P&L	132,867.44	132,867.44
Net Liquidating Value	782,633.61	782,633.61

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0113A

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
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Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
27SEP17	CMX GOLD 100 CMX S 5 NOV17 1260.0 Put NET -5 AVG SOLD 6.6	6.6	0.4	USD	-200.00 -200.00	3,100.00 3,100.00
27SEP17	B 11 DEC17 NET +11 AVG BGHT 1287.3	1287.3	1304.6	USD	19,030.00 19,030.00	0.00 0.00
13OCT17	S 6 DEC17 1325.0 Call NET -6 AVG SOLD 10.7	10.7	10.4	USD	-6,240.00 -6,240.00	180.00 180.00

OPEN POSITION VALUE

		USD
CMX Gold	FUTURES	-1,416,030.00
CMX Gold	OPTIONS	9,720.00

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options	Amount	Profit / Loss	Total Amount	Total P&L
GOLD - Futures USD	19,030.00	19,030.00	19,030.00	19,030.00
Net Premium Options Market Value				
GOLD - Options USD	-6,440.00	3,280.00	-6,440.00	3,280.00

ACCOUNT FINANCIAL SUMMARY

	GBP	USD	NETT USD
CASH BALANCE	(1.33050)	(1.00000)	(1.00000)
Variation Margins - Futures	50,000.00	21,445.48	87,970.48
- Options	0.00	19,030.00	19,030.00
TOTAL EQUITY	50,000.00	40,475.48	107,000.48
Initial Margins	0.00	-74,619.00	-74,619.00
Excess/(Shortage)	50,000.00	-34,143.52	32,381.48
FOR INFORMATION ONLY			
Net Premium Option Market Value	0.00	-6,440.00	-6,440.00
Net Liquidating Value	50,000.00	34,035.48	100,560.48

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED
SAP0113
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

GROUP NO: **SAPG0113**

13th Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343
Authorised and regulated by the Australian Prudential
Regulation Authority. Authorised by the Prudential
Regulation Authority. Subject to regulation by the Financial
Conduct Authority and limited regulation by the
Prudential Regulation Authority. Details about the extent
of our regulation by the Prudential Regulation Authority are
available from us on request.

GROUP FINANCIAL SUMMARY

	GBP	USD	NET USD
	(1.33050)	(1.00000)	(1.00000)
CASH BALANCE	50,000.00	21,445.48	87,970.48
Variation Margins			
- Futures	0.00	19,030.00	19,030.00
- Options	0.00	0.00	0.00
TOTAL EQUITY	50,000.00	40,475.48	107,000.48
Initial Margins	0.00	-74,619.00	-74,619.00
Excess/(Shortage)	50,000.00	-34,143.52	32,381.48
<u>FOR INFORMATION ONLY</u>			
Net Premium Option Market Value	0.00	-6,440.00	-6,440.00
Net Liquidating Value	50,000.00	34,035.48	100,560.48

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0118A

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.</u>							
17JUL17	B	1	PD17OCT17	1939.00	2109.75	USD	4,268.75	
17JUL17	B	1	PD17OCT17	1923.50	2109.75	USD	4,656.25	
04OCT17	S	2	PD17OCT17	2147.42	2109.75	USD	1,883.50	
	NET	0 AVG BGHT 1931.25	SOLD 2147.42				10,808.50	0.00
25JUL17	B	1	PD25OCT17	1920.00	2113.75	USD	4,843.75	
04OCT17	S	2	PD25OCT17	2150.47	2113.75	USD	1,836.00	
	NET	-1 AVG BGHT 1920.00	SOLD 2150.47				6,679.75	0.00
26JUL17	S	1	PD26OCT17	1958.00	2114.25	USD	-3,906.25	
26JUL17	S	1	PD26OCT17	1961.50	2114.25	USD	-3,818.75	
26JUL17	S	1	PD26OCT17	1958.50	2114.25	USD	-3,893.75	
26JUL17	B	1	PD26OCT17	1939.50	2114.25	USD	4,368.75	
04OCT17	B	2	PD26OCT17	2151.06	2114.25	USD	-1,840.50	
	NET	0 AVG BGHT 2080.54	SOLD 1959.33				-9,090.50	0.00
28JUL17	B	1	PD27OCT17	1936.00	2114.08	USD	4,452.00	
28JUL17	B	1	PD27OCT17	1936.50	2114.08	USD	4,439.50	
28JUL17	S	1	PD27OCT17	1936.50	2114.08	USD	-4,439.50	
	NET	+1 AVG BGHT 1936.25	SOLD 1936.50				4,452.00	0.00
31JUL17	B	2	PD31OCT17	1920.00	2113.75	USD	9,687.50	
	NET	+2 AVG BGHT 1920.00					9,687.50	0.00
01AUG17	B	1	PD01NOV17	1907.00	2114.09	USD	5,177.25	
01AUG17	S	3	PD01NOV17	1909.50	2114.09	USD	-15,344.25	
04OCT17	B	2	PD01NOV17	2150.65	2114.09	USD	-1,828.00	
	NET	0 AVG BGHT 2069.43	SOLD 1909.50				-11,995.00	0.00
02AUG17	S	1	PD02NOV17	1935.50	2114.44	USD	-4,473.50	
02AUG17	B	1	PD02NOV17	1928.00	2114.44	USD	4,661.00	
	NET	0 AVG BGHT 1928.00	SOLD 1935.50				187.50	0.00
03AUG17	B	1	PD03NOV17	1924.00	2114.78	USD	4,769.50	
04AUG17	S	2	PD03NOV17	1910.00	2114.78	USD	-10,239.00	
	NET	-1 AVG BGHT 1924.00	SOLD 1910.00				-5,469.50	0.00
08AUG17	S	1	PD08NOV17	1974.50	2116.50	USD	-3,550.00	
	NET	-1 AVG SOLD 1974.50					-3,550.00	0.00
22AUG17	B	1	PD22NOV17	2080.50	2121.96	USD	1,036.50	
22AUG17	B	2	PD22NOV17	2076.50	2121.96	USD	2,273.00	
22AUG17	B	1	PD22NOV17	2082.00	2121.96	USD	999.00	
	NET	+4 AVG BGHT 2078.88					4,308.50	0.00
23AUG17	S	1	PD24NOV17	2089.50	2122.60	USD	-827.50	
23AUG17	S	1	PD24NOV17	2090.50	2122.60	USD	-802.50	
23AUG17	S	1	PD24NOV17	2091.00	2122.60	USD	-790.00	
23AUG17	S	1	PD24NOV17	2091.50	2122.60	USD	-777.50	
23AUG17	S	1	PD24NOV17	2097.50	2122.60	USD	-627.50	
23AUG17	B	1	PD24NOV17	2097.00	2122.60	USD	640.00	
23AUG17	S	1	PD24NOV17	2096.50	2122.60	USD	-652.50	
23AUG17	S	1	PD24NOV17	2096.00	2122.60	USD	-665.00	
24AUG17	B	1	PD24NOV17	2102.50	2122.60	USD	502.50	
24AUG17	B	1	PD24NOV17	2102.00	2122.60	USD	515.00	
25AUG17	B	2	PD24NOV17	2070.50	2122.60	USD	2,605.00	
	NET	-2 AVG BGHT 2088.50	SOLD 2093.21				-880.00	0.00
29AUG17	S	1	PD29NOV17	2100.50	2124.18	USD	-592.00	
29AUG17	S	1	PD29NOV17	2102.50	2124.18	USD	-542.00	
	NET	-2 AVG SOLD 2101.50					-1,134.00	0.00
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
17JUL17	S	1	PD17OCT17	5976.50	6859.00	USD	-22,062.50	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0118A

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
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+44 (0) 20 7340 9081
backoffice@sapiencap.com

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
17JUL17	S	1	PD17OCT17	6012.00	6859.00	USD	-21,175.00	
04OCT17	B	2	PD17OCT17	6485.00	6859.00	USD	18,700.00	
	NET	0 AVG BGHT 6485.00	SOLD 5994.25				-24,537.50	0.00
25JUL17	S	1	PD25OCT17	6220.50	6857.50	USD	-15,925.00	
04OCT17	B	1	PD25OCT17	6491.04	6857.50	USD	9,161.50	
	NET	0 AVG BGHT 6491.04	SOLD 6220.50				-6,763.50	0.00
26JUL17	B	1	PD26OCT17	6356.00	6857.50	USD	12,537.50	
26JUL17	B	1	PD26OCT17	6392.50	6857.50	USD	11,625.00	
26JUL17	B	1	PD26OCT17	6347.50	6857.50	USD	12,750.00	
04OCT17	S	3	PD26OCT17	6491.37	6857.50	USD	-27,459.75	
	NET	0 AVG BGHT 6365.33	SOLD 6491.37				9,452.75	0.00
28JUL17	S	1	PD27OCT17	6300.00	6857.50	USD	-13,937.50	
28JUL17	B	1	PD27OCT17	6320.50	6857.50	USD	13,425.00	
	NET	0 AVG BGHT 6320.50	SOLD 6300.00				-512.50	0.00
	<u>LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
17JUL17	B	1	PD17OCT17	2324.50	2518.25	USD	4,843.75	
17JUL17	B	1	PD17OCT17	2330.00	2518.25	USD	4,706.25	
04OCT17	S	2	PD17OCT17	2554.27	2518.25	USD	1,801.00	
	NET	0 AVG BGHT 2327.25	SOLD 2554.27				11,351.00	0.00
19JUL17	B	1	PD19OCT17	2241.50	2518.90	USD	6,935.00	
	NET	+1 AVG BGHT 2241.50					6,935.00	0.00
21JUL17	S	1	PD20OCT17	2246.00	2519.05	USD	-6,826.25	
21JUL17	S	1	PD20OCT17	2251.00	2519.05	USD	-6,701.25	
04OCT17	B	2	PD20OCT17	2554.84	2519.05	USD	-1,789.50	
	NET	0 AVG BGHT 2554.84	SOLD 2248.50				-15,317.00	0.00
26JUL17	S	1	PD26OCT17	2332.00	2519.95	USD	-4,698.75	
26JUL17	B	1	PD26OCT17	2310.00	2519.95	USD	5,248.75	
	NET	0 AVG BGHT 2310.00	SOLD 2332.00				550.00	0.00
28JUL17	S	1	PD27OCT17	2301.50	2520.10	USD	-5,465.00	
04OCT17	B	1	PD27OCT17	2555.34	2520.10	USD	-881.00	
	NET	0 AVG BGHT 2555.34	SOLD 2301.50				-6,346.00	0.00
01AUG17	S	1	PD01NOV17	2335.50	2520.85	USD	-4,633.75	
	NET	-1 AVG SOLD 2335.50					-4,633.75	0.00
09AUG17	B	1	PD09NOV17	2415.50	2521.94	USD	2,661.00	
09AUG17	B	1	PD09NOV17	2414.50	2521.94	USD	2,686.00	
09AUG17	B	1	PD09NOV17	2385.00	2521.94	USD	3,423.50	
09AUG17	B	1	PD09NOV17	2388.50	2521.94	USD	3,336.00	
09AUG17	B	1	PD09NOV17	2390.50	2521.94	USD	3,286.00	
	NET	+5 AVG BGHT 2398.80					15,392.50	0.00
17AUG17	S	2	PD17NOV17	2411.50	2522.75	USD	-5,562.50	
18AUG17	S	1	PD17NOV17	2411.00	2522.75	USD	-2,793.75	
18AUG17	S	1	PD17NOV17	2359.50	2522.75	USD	-4,081.25	
	NET	-4 AVG SOLD 2398.38					-12,437.50	0.00
21AUG17	S	1	PD21NOV17	2350.50	2523.36	USD	-4,321.50	
	NET	-1 AVG SOLD 2350.50					-4,321.50	0.00
22AUG17	B	1	PD22NOV17	2418.00	2523.51	USD	2,637.75	
22AUG17	S	1	PD22NOV17	2410.50	2523.51	USD	-2,825.25	
22AUG17	S	1	PD22NOV17	2416.00	2523.51	USD	-2,687.75	
22AUG17	S	1	PD22NOV17	2402.50	2523.51	USD	-3,025.25	
	NET	-2 AVG BGHT 2418.00	SOLD 2409.67				-5,900.50	0.00
23AUG17	B	1	PD24NOV17	2397.00	2523.81	USD	3,170.25	
23AUG17	B	1	PD24NOV17	2389.50	2523.81	USD	3,357.75	

OPEN POSITION STATEMENT



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	<u>LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
23AUG17	S 1 PD24NOV17	2383.00	2523.81	USD	-3,520.25	
23AUG17	S 1 PD24NOV17	2386.00	2523.81	USD	-3,445.25	
24AUG17	B 1 PD24NOV17	2353.00	2523.81	USD	4,270.25	
24AUG17	B 1 PD24NOV17	2356.00	2523.81	USD	4,195.25	
25AUG17	B 1 PD24NOV17	2352.00	2523.81	USD	4,295.25	
	NET +3AVG BGHT 2369.50 SOLD 2384.50				12,323.25	0.00
29AUG17	S 1 PD29NOV17	2401.50	2524.57	USD	-3,076.75	
	NET -1AVG SOLD 2401.50				-3,076.75	0.00
	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
19JUL17	S 2 PD19OCT17	9695.00	11610.50	USD	-22,986.00	
19JUL17	S 1 PD19OCT17	9650.00	11610.50	USD	-11,763.00	
	NET -3AVG SOLD 9680.00				-34,749.00	0.00
20JUL17	B 1 PD20OCT17	9495.00	11611.35	USD	12,698.10	
	NET +1AVG BGHT 9495.00				12,698.10	0.00
24JUL17	S 1 PD24OCT17	9725.00	11614.76	USD	-11,338.56	
	NET -1AVG SOLD 9725.00				-11,338.56	0.00
26JUL17	B 1 PD26OCT17	9940.00	11616.46	USD	10,058.76	
	NET +1AVG BGHT 9940.00				10,058.76	0.00
28JUL17	B 2 PD27OCT17	10205.00	11617.31	USD	16,947.72	
28JUL17	S 1 PD27OCT17	10250.00	11617.31	USD	-8,203.86	
	NET +1AVG BGHT 10205.00 SOLD 10250.00				8,743.86	0.00
31JUL17	B 1 PD31OCT17	10320.00	11620.72	USD	7,804.32	
	NET +1AVG BGHT 10320.00				7,804.32	0.00
01AUG17	S 1 PD01NOV17	10165.00	11621.57	USD	-8,739.42	
	NET -1AVG SOLD 10165.00				-8,739.42	0.00
09AUG17	S 1 PD09NOV17	10735.00	11628.39	USD	-5,360.34	
	NET -1AVG SOLD 10735.00				-5,360.34	0.00
11AUG17	B 1 PD10NOV17	10620.00	11629.24	USD	6,055.44	
	NET +1AVG BGHT 10620.00				6,055.44	0.00
14AUG17	B 1 PD14NOV17	10420.00	11632.65	USD	7,275.90	
	NET +1AVG BGHT 10420.00				7,275.90	0.00
	<u>LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
19JUL17	B 1 PD19OCT17	20080.00	20732.50	USD	3,262.50	
19JUL17	B 1 PD19OCT17	20125.00	20732.50	USD	3,037.50	
19JUL17	B 1 PD19OCT17	20120.00	20732.50	USD	3,062.50	
	NET +3AVG BGHT 20108.33				9,362.50	0.00
20JUL17	S 1 PD20OCT17	20090.00	20730.00	USD	-3,200.00	
	NET -1AVG SOLD 20090.00				-3,200.00	0.00
24JUL17	B 1 PD24OCT17	20260.00	20725.00	USD	2,325.00	
	NET +1AVG BGHT 20260.00				2,325.00	0.00
26JUL17	S 1 PD26OCT17	20350.00	20720.00	USD	-1,850.00	
	NET -1AVG SOLD 20350.00				-1,850.00	0.00
28JUL17	S 1 PD27OCT17	20845.00	20717.50	USD	637.50	
28JUL17	S 1 PD27OCT17	20855.00	20717.50	USD	687.50	
28JUL17	B 1 PD27OCT17	20635.00	20717.50	USD	412.50	
	NET -1AVG BGHT 20635.00 SOLD 20850.00				1,737.50	0.00
31JUL17	S 1 PD31OCT17	20815.00	20712.50	USD	512.50	
	NET -1AVG SOLD 20815.00				512.50	0.00
01AUG17	B 1 PD01NOV17	20410.00	20710.00	USD	1,500.00	

OPEN POSITION STATEMENT



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	<u>LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
09AUG17	NET +1AVG BGHT 20410.00				1,500.00	0.00
	B 1 PD09NOV17	20250.00	20695.00	USD	2,225.00	
11AUG17	NET +1AVG BGHT 20250.00				2,225.00	0.00
	S 1 PD10NOV17	20310.00	20692.50	USD	-1,912.50	
14AUG17	NET -1AVG SOLD 20310.00				-1,912.50	0.00
	S 1 PD14NOV17	20310.00	20687.50	USD	-1,887.50	
23AUG17	NET -1AVG SOLD 20310.00				-1,887.50	0.00
	B 1 PD24NOV17	20545.00	20673.75	USD	643.75	
25AUG17	S 1 PD24NOV17	20405.00	20673.75	USD	-1,343.75	
	NET 0AVG BGHT 20545.00 SOLD 20405.00				-700.00	0.00
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
17JUL17	S 1 PD17OCT17	2829.00	3294.00	USD	-11,625.00	
17JUL17	S 1 PD17OCT17	2841.00	3294.00	USD	-11,325.00	
10OCT17	B 1 PD17OCT17	3340.95	3294.00	USD	-1,173.75	
13OCT17	B 1 PD17OCT17	3294.00	3294.00	USD	0.00	
	NET 0AVG BGHT 3317.48 SOLD 2835.00				-24,123.75	0.00
19JUL17	S 1 PD19OCT17	2770.00	3286.50	USD	-12,912.50	
	NET -1AVG SOLD 2770.00				-12,912.50	0.00
21JUL17	B 1 PD20OCT17	2764.00	3282.00	USD	12,950.00	
21JUL17	B 1 PD20OCT17	2764.50	3282.00	USD	12,937.50	
04OCT17	S 2 PD20OCT17	3326.30	3282.00	USD	2,215.00	
	NET 0AVG BGHT 2764.25 SOLD 3326.30				28,102.50	0.00
26JUL17	B 1 PD26OCT17	2846.00	3271.87	USD	10,646.75	
26JUL17	S 2 PD26OCT17	2806.00	3271.87	USD	-23,293.50	
	NET -1AVG BGHT 2846.00 SOLD 2806.00				-12,646.75	0.00
28JUL17	S 1 PD27OCT17	2786.00	3270.73	USD	-12,118.25	
28JUL17	B 1 PD27OCT17	2769.00	3270.73	USD	12,543.25	
	NET 0AVG BGHT 2769.00 SOLD 2786.00				425.00	0.00
31JUL17	S 1 PD31OCT17	2818.50	3268.47	USD	-11,249.25	
31JUL17	S 1 PD31OCT17	2819.00	3268.47	USD	-11,236.75	
	NET -2AVG SOLD 2818.75				-22,486.00	0.00
01AUG17	B 3 PD01NOV17	2783.50	3267.33	USD	36,287.25	
13OCT17	S 1 PD01NOV17	3267.13	3267.33	USD	-5.00	
	NET +2AVG BGHT 2783.50 SOLD 3267.13				36,282.25	0.00
02AUG17	B 1 PD02NOV17	2775.00	3266.20	USD	12,280.00	
02AUG17	S 1 PD02NOV17	2785.00	3266.20	USD	-12,030.00	
	NET 0AVG BGHT 2775.00 SOLD 2785.00				250.00	0.00
03AUG17	S 1 PD03NOV17	2828.50	3265.07	USD	-10,914.25	
04AUG17	B 1 PD03NOV17	2816.00	3265.07	USD	11,226.75	
04AUG17	B 1 PD03NOV17	2815.50	3265.07	USD	11,239.25	
	NET +1AVG BGHT 2815.75 SOLD 2828.50				11,551.75	0.00
08AUG17	B 1 PD08NOV17	2868.00	3261.67	USD	9,841.75	
	NET +1AVG BGHT 2868.00				9,841.75	0.00
09AUG17	S 1 PD09NOV17	2973.50	3260.53	USD	-7,175.75	
09AUG17	S 1 PD09NOV17	2975.00	3260.53	USD	-7,138.25	
09AUG17	S 1 PD09NOV17	2958.00	3260.53	USD	-7,563.25	
09AUG17	S 1 PD09NOV17	2960.00	3260.53	USD	-7,513.25	
09AUG17	S 1 PD09NOV17	2950.50	3260.53	USD	-7,750.75	
	NET -5AVG SOLD 2963.40				-37,141.25	0.00
17AUG17	B 1 PD17NOV17	3091.00	3251.00	USD	4,000.00	
17AUG17	B 1 PD17NOV17	3062.50	3251.00	USD	4,712.50	

OPEN POSITION STATEMENT



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Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
17AUG17	B 1 PD17NOV17	3059.50	3251.00	USD	4,787.50	
18AUG17	B 2 PD17NOV17	3128.50	3251.00	USD	6,125.00	
	NET +5 AVG BGHT 3094.00				19,625.00	0.00
22AUG17	S 1 PD22NOV17	3125.00	3248.38	USD	-3,084.50	
22AUG17	S 1 PD22NOV17	3115.50	3248.38	USD	-3,322.00	
	NET -2 AVG SOLD 3120.25				-6,406.50	0.00
23AUG17	B 1 PD24NOV17	3103.50	3247.50	USD	3,600.00	
23AUG17	B 1 PD24NOV17	3098.50	3247.50	USD	3,725.00	
23AUG17	B 3 PD24NOV17	3102.50	3247.50	USD	10,875.00	
23AUG17	B 3 PD24NOV17	3099.50	3247.50	USD	11,100.00	
23AUG17	S 2 PD24NOV17	3100.50	3247.50	USD	-7,350.00	
23AUG17	B 1 PD24NOV17	3099.00	3247.50	USD	3,712.50	
23AUG17	S 1 PD24NOV17	3100.00	3247.50	USD	-3,687.50	
24AUG17	S 1 PD24NOV17	3104.50	3247.50	USD	-3,575.00	
24AUG17	S 1 PD24NOV17	3107.00	3247.50	USD	-3,512.50	
24AUG17	S 1 PD24NOV17	3107.50	3247.50	USD	-3,500.00	
24AUG17	S 1 PD24NOV17	3105.50	3247.50	USD	-3,550.00	
25AUG17	S 1 PD24NOV17	3089.50	3247.50	USD	-3,950.00	
25AUG17	S 2 PD24NOV17	3064.00	3247.50	USD	-9,175.00	
	NET -1 AVG BGHT 3100.78 SOLD 3094.30				-5,287.50	0.00
29AUG17	B 1 PD29NOV17	3121.50	3246.75	USD	3,131.25	
29AUG17	B 2 PD29NOV17	3122.50	3246.75	USD	6,212.50	
	NET +3 AVG BGHT 3122.17				9,343.75	0.00

OPEN POSITION VALUE

		USD
LME Aluminium 25 Tonnes.	FUTURES	4,234.00
LME Copper Grade A 25	FUTURES	-22,360.75
LME Lead 25 tonnes. This is an	FUTURES	-5,312.00
LME Nickel 6 tonnes. This is	FUTURES	-7,740.00
LME Tin 5 tonnes. This is an	FUTURES	7,775.00
LME Zinc 25 tonnes. This is	FUTURES	-3,443.00

MARKET REVALUATION BREAKDOWN

Unrealised Profits & Losses - Forwards	Amount	Profit / Loss	Total Amount	Total P&L
ALUL - Futures USD	4,004.75	4,004.75		
COPL - Futures USD	-22,360.75	-22,360.75		
LEAD - Futures USD	-5,481.25	-5,481.25		
NKL - Futures USD	-7,550.94	-7,550.94		
TIN - Futures USD	8,112.50	8,112.50		
ZINC - Futures USD	-5,582.25	-5,582.25	-28,857.94	-28,857.94

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ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	-12,956.45	-12,956.45
Variation Margins		
- Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	-12,956.45	-12,956.45
Initial Margins	-42,735.94	-42,735.94
Excess/(Shortage)	-55,692.39	-55,692.39
FOR INFORMATION ONLY		
Forward Unrealised P&L	-28,857.94	-28,857.94
Net Liquidating Value	-41,814.39	-41,814.39

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	<u>LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.</u>					
01AUG17	B 1 PD01NOV17	1908.50	2114.09	USD	5,139.75	
	NET +1AVG BGHT 1908.50				5,139.75	0.00
02AUG17	S 1 PD02NOV17	1916.00	2114.44	USD	-4,961.00	
	NET -1AVG SOLD 1916.00				-4,961.00	0.00
03AUG17	B 1 PD03NOV17	1927.00	2114.78	USD	4,694.50	
03AUG17	S 1 PD03NOV17	1927.00	2114.78	USD	-4,694.50	
	NET 0AVG BGHT 1927.00 SOLD 1927.00				0.00	0.00
07AUG17	B 1 PD07NOV17	1953.00	2116.16	USD	4,079.00	
07AUG17	S 1 PD07NOV17	1963.50	2116.16	USD	-3,816.50	
	NET 0AVG BGHT 1953.00 SOLD 1963.50				262.50	0.00
	<u>LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
27JUL17	B 1 PD27OCT17	2320.00	2520.10	USD	5,002.50	
27JUL17	S 1 PD27OCT17	2309.50	2520.10	USD	-5,265.00	
	NET 0AVG BGHT 2320.00 SOLD 2309.50				-262.50	0.00
01AUG17	S 1 PD01NOV17	2337.50	2520.85	USD	-4,583.75	
	NET -1AVG SOLD 2337.50				-4,583.75	0.00
02AUG17	B 1 PD02NOV17	2329.50	2521.00	USD	4,787.50	
	NET +1AVG BGHT 2329.50				4,787.50	0.00
07AUG17	B 1 PD07NOV17	2382.00	2521.67	USD	3,491.75	
07AUG17	B 1 PD07NOV17	2371.50	2521.67	USD	3,754.25	
	NET +2AVG BGHT 2376.75				7,246.00	0.00
09AUG17	B 1 PD09NOV17	2360.50	2521.94	USD	4,036.00	
	NET +1AVG BGHT 2360.50				4,036.00	0.00
10AUG17	S 1 PD10NOV17	2385.50	2522.08	USD	-3,414.50	
11AUG17	B 1 PD10NOV17	2330.00	2522.08	USD	4,802.00	
	NET 0AVG BGHT 2330.00 SOLD 2385.50				1,387.50	0.00
18AUG17	S 1 PD17NOV17	2445.50	2522.75	USD	-1,931.25	
18AUG17	B 1 PD17NOV17	2407.00	2522.75	USD	2,893.75	
18AUG17	S 1 PD17NOV17	2368.00	2522.75	USD	-3,868.75	
18AUG17	B 1 PD17NOV17	2366.00	2522.75	USD	3,918.75	
18AUG17	S 1 PD17NOV17	2378.00	2522.75	USD	-3,618.75	
18AUG17	S 1 PD17NOV17	2371.00	2522.75	USD	-3,793.75	
18AUG17	S 1 PD17NOV17	2374.00	2522.75	USD	-3,718.75	
	NET -3AVG BGHT 2386.50 SOLD 2387.30				-10,118.75	0.00
23AUG17	S 1 PD24NOV17	2415.50	2523.81	USD	-2,707.75	
23AUG17	B 1 PD24NOV17	2412.00	2523.81	USD	2,795.25	
24AUG17	B 1 PD24NOV17	2355.50	2523.81	USD	4,207.75	
24AUG17	S 1 PD24NOV17	2353.00	2523.81	USD	-4,270.25	
	NET 0AVG BGHT 2383.75 SOLD 2384.25				25.00	0.00
31AUG17	B 1 PD30NOV17	2390.50	2524.72	USD	3,355.50	
31AUG17	S 1 PD30NOV17	2379.00	2524.72	USD	-3,643.00	
	NET 0AVG BGHT 2390.50 SOLD 2379.00				-287.50	0.00
11SEP17	B 1 PD11DEC17	2287.50	2526.39	USD	5,972.25	
11SEP17	S 1 PD11DEC17	2281.00	2526.39	USD	-6,134.75	
	NET 0AVG BGHT 2287.50 SOLD 2281.00				-162.50	0.00
	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
10AUG17	S 1 PD10NOV17	10825.00	11629.24	USD	-4,825.44	
10AUG17	B 1 PD10NOV17	10835.00	11629.24	USD	4,765.44	
	NET 0AVG BGHT 10835.00 SOLD 10825.00				-60.00	0.00
	<u>LME TIN 5 TONNES. THIS IS AN LME</u>					

OPEN POSITION STATEMENT



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SAP0118C

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Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
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backoffice@sapiencap.com

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
28JUL17	S	1	PD27OCT17	20715.00	20717.50	USD	-12.50	
28JUL17	B	1	PD27OCT17	20750.00	20717.50	USD	-162.50	
28JUL17	S	1	PD27OCT17	20725.00	20717.50	USD	37.50	
28JUL17	B	1	PD27OCT17	20790.00	20717.50	USD	-362.50	
28JUL17	B	1	PD27OCT17	20650.00	20717.50	USD	337.50	
28JUL17	S	1	PD27OCT17	20690.00	20717.50	USD	-137.50	
28JUL17	S	1	PD27OCT17	20675.00	20717.50	USD	-212.50	
	NET	-1 AVG	BGHT 20730.00				-512.50	0.00
31JUL17	B	1	PD31OCT17	20740.00	20712.50	USD	-137.50	
	NET	+1 AVG	BGHT 20740.00				-137.50	0.00
04AUG17	S	1	PD03NOV17	20745.00	20705.00	USD	200.00	
04AUG17	B	1	PD03NOV17	20785.00	20705.00	USD	-400.00	
	NET	0 AVG	BGHT 20785.00				-200.00	0.00
14AUG17	B	1	PD14NOV17	20345.00	20687.50	USD	1,712.50	
14AUG17	S	1	PD14NOV17	20305.00	20687.50	USD	-1,912.50	
	NET	0 AVG	BGHT 20345.00				-200.00	0.00
21AUG17	B	1	PD21NOV17	20500.00	20677.50	USD	887.50	
21AUG17	S	1	PD21NOV17	20495.00	20677.50	USD	-912.50	
	NET	0 AVG	BGHT 20500.00				-25.00	0.00
31AUG17	B	1	PD30NOV17	20645.00	20666.25	USD	106.25	
31AUG17	S	1	PD30NOV17	20645.00	20666.25	USD	-106.25	
	NET	0 AVG	BGHT 20645.00				0.00	0.00
08SEP17	B	1	PD08DEC17	20625.00	20655.00	USD	150.00	
08SEP17	S	1	PD08DEC17	20525.00	20655.00	USD	-650.00	
	NET	0 AVG	BGHT 20625.00				-500.00	0.00
26SEP17	S	1	PD27DEC17	20735.00	20631.43	USD	517.85	
26SEP17	B	1	PD27DEC17	20750.00	20631.43	USD	-592.85	
	NET	0 AVG	BGHT 20750.00				-75.00	0.00
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
27JUL17	S	1	PD27OCT17	2822.00	3270.73	USD	-11,218.25	
27JUL17	B	1	PD27OCT17	2796.00	3270.73	USD	11,868.25	
	NET	0 AVG	BGHT 2796.00				650.00	0.00
03AUG17	B	1	PD03NOV17	2838.00	3265.07	USD	10,676.75	
03AUG17	S	1	PD03NOV17	2839.00	3265.07	USD	-10,651.75	
	NET	0 AVG	BGHT 2838.00				25.00	0.00
07AUG17	S	1	PD07NOV17	2874.00	3262.80	USD	-9,720.00	
07AUG17	S	1	PD07NOV17	2872.00	3262.80	USD	-9,770.00	
07AUG17	S	1	PD07NOV17	2876.00	3262.80	USD	-9,670.00	
07AUG17	B	1	PD07NOV17	2868.50	3262.80	USD	9,857.50	
	NET	-2 AVG	BGHT 2868.50				-19,302.50	0.00
09AUG17	S	1	PD09NOV17	2936.50	3260.53	USD	-8,100.75	
	NET	-1 AVG	SOLD 2936.50				-8,100.75	0.00
10AUG17	B	1	PD10NOV17	2950.00	3259.40	USD	7,735.00	
11AUG17	S	1	PD10NOV17	2903.00	3259.40	USD	-8,910.00	
	NET	0 AVG	BGHT 2950.00				-1,175.00	0.00
18AUG17	B	1	PD17NOV17	3127.50	3251.00	USD	3,087.50	
18AUG17	S	1	PD17NOV17	3126.00	3251.00	USD	-3,125.00	
18AUG17	B	1	PD17NOV17	3091.50	3251.00	USD	3,987.50	
18AUG17	S	1	PD17NOV17	3099.00	3251.00	USD	-3,800.00	
18AUG17	B	1	PD17NOV17	3125.00	3251.00	USD	3,150.00	
18AUG17	B	1	PD17NOV17	3123.00	3251.00	USD	3,200.00	
18AUG17	B	1	PD17NOV17	3123.50	3251.00	USD	3,187.50	

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>								
	NET	+3 AVG BGHT 3118.10	SOLD 3112.50					9,687.50	0.00
23AUG17	S	1	PD24NOV17		3130.00	3247.50	USD	-2,937.50	
23AUG17	B	1	PD24NOV17		3142.50	3247.50	USD	2,625.00	
24AUG17	S	1	PD24NOV17		3104.00	3247.50	USD	-3,587.50	
24AUG17	B	1	PD24NOV17		3118.00	3247.50	USD	3,237.50	
	NET	0 AVG BGHT 3130.25	SOLD 3117.00					-662.50	0.00
31AUG17	S	1	PD30NOV17		3137.00	3246.50	USD	-2,737.50	
31AUG17	B	1	PD30NOV17		3129.00	3246.50	USD	2,937.50	
	NET	0 AVG BGHT 3129.00	SOLD 3137.00					200.00	0.00
11SEP17	S	1	PD11DEC17		3094.00	3244.75	USD	-3,768.75	
11SEP17	B	1	PD11DEC17		3094.00	3244.75	USD	3,768.75	
	NET	0 AVG BGHT 3094.00	SOLD 3094.00					0.00	0.00

OPEN POSITION VALUE

		USD
LME Aluminium 25 Tonnes.	FUTURES	450.00
LME Lead 25 tonnes. This is an	FUTURES	2,137.50
LME Nickel 6 tonnes. This is	FUTURES	-60.00
LME Tin 5 tonnes. This is an	FUTURES	-1,625.00
LME Zinc 25 tonnes. This is	FUTURES	-17,850.00

MARKET REVALUATION BREAKDOWN

Unrealised Profits & Losses - Forwards			Amount	Profit / Loss	Total Amount	Total P&L
ALUL	- Futures	USD	441.25	441.25		
LEAD	- Futures	USD	2,067.00	2,067.00		
NKL	- Futures	USD	-60.00	-60.00		
TIN	- Futures	USD	-1,650.00	-1,650.00		
ZINC	- Futures	USD	-18,678.25	-18,678.25	-17,880.00	-17,880.00

ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
	(	1.00000)	(1.00000)
CASH BALANCE		-851.48	-851.48
Variation Margins	- Futures	0.00	0.00
	- Options	0.00	0.00
TOTAL EQUITY		-851.48	-851.48
Initial Margins		-22,017.00	-22,017.00
Excess/(Shortage)		-22,868.48	-22,868.48
FOR INFORMATION ONLY			
Forward Unrealised P&L		-17,880.00	-17,880.00
Net Liquidating Value		-18,731.48	-18,731.48

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	<u>LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.</u>					
10OCT17	B 1 PD10JAN18	2162.50	2133.18	USD	-733.00	
	NET +1AVG BGHT 2162.50				-733.00	0.00
11OCT17	S 1 PD11JAN18	2157.50	2133.34	USD	604.00	
	NET -1AVG SOLD 2157.50				604.00	0.00
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>					
01AUG17	B 1 PD01NOV17	6357.00	6859.05	USD	12,551.25	
01AUG17	S 1 PD01NOV17	6352.50	6859.05	USD	-12,663.75	
	NET 0AVG BGHT 6357.00 SOLD 6352.50				-112.50	0.00
	<u>LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
17JUL17	B 1 PD17OCT17	2324.00	2518.25	USD	4,856.25	
13OCT17	S 1 PD17OCT17	2518.25	2518.25	USD	0.00	
	NET 0AVG BGHT 2324.00 SOLD 2518.25				4,856.25	0.00
18JUL17	S 1 PD18OCT17	2286.00	2518.75	USD	-5,818.75	
18JUL17	B 1 PD18OCT17	2275.00	2518.75	USD	6,093.75	
	NET 0AVG BGHT 2275.00 SOLD 2286.00				275.00	0.00
19JUL17	S 1 PD19OCT17	2227.50	2518.90	USD	-7,285.00	
19JUL17	B 1 PD19OCT17	2220.00	2518.90	USD	7,472.50	
	NET 0AVG BGHT 2220.00 SOLD 2227.50				187.50	0.00
20JUL17	S 1 PD20OCT17	2224.00	2519.05	USD	-7,376.25	
04OCT17	B 1 PD20OCT17	2554.84	2519.05	USD	-894.75	
	NET 0AVG BGHT 2554.84 SOLD 2224.00				-8,271.00	0.00
24JUL17	B 1 PD24OCT17	2248.50	2519.65	USD	6,778.75	
24JUL17	S 1 PD24OCT17	2256.50	2519.65	USD	-6,578.75	
	NET 0AVG BGHT 2248.50 SOLD 2256.50				200.00	0.00
25JUL17	B 1 PD25OCT17	2271.00	2519.80	USD	6,220.00	
25JUL17	S 1 PD25OCT17	2273.50	2519.80	USD	-6,157.50	
25JUL17	B 1 PD25OCT17	2296.00	2519.80	USD	5,595.00	
25JUL17	S 1 PD25OCT17	2297.00	2519.80	USD	-5,570.00	
	NET 0AVG BGHT 2283.50 SOLD 2285.25				87.50	0.00
26JUL17	S 1 PD26OCT17	2311.50	2519.95	USD	-5,211.25	
13OCT17	B 1 PD26OCT17	2520.15	2519.95	USD	-5.00	
	NET 0AVG BGHT 2520.15 SOLD 2311.50				-5,216.25	0.00
08AUG17	B 1 PD08NOV17	2356.00	2521.81	USD	4,145.25	
	NET +1AVG BGHT 2356.00				4,145.25	0.00
18AUG17	S 1 PD17NOV17	2372.50	2522.75	USD	-3,756.25	
	NET -1AVG SOLD 2372.50				-3,756.25	0.00
22AUG17	B 3 PD22NOV17	2391.00	2523.51	USD	9,938.25	
	NET +3AVG BGHT 2391.00				9,938.25	0.00
14SEP17	S 3 PD14DEC17	2312.50	2526.84	USD	-16,075.50	
	NET -3AVG SOLD 2312.50				-16,075.50	0.00
	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
18JUL17	S 1 PD18OCT17	9645.00	11610.50	USD	-11,793.00	
18JUL17	B 1 PD18OCT17	9650.00	11610.50	USD	11,763.00	
	NET 0AVG BGHT 9650.00 SOLD 9645.00				-30.00	0.00
28JUL17	S 1 PD27OCT17	10140.00	11617.31	USD	-8,863.86	
28JUL17	B 1 PD27OCT17	10160.00	11617.31	USD	8,743.86	
	NET 0AVG BGHT 10160.00 SOLD 10140.00				-120.00	0.00
01AUG17	B 1 PD01NOV17	10125.00	11621.57	USD	8,979.42	

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
01AUG17	S	1	PD01NOV17	10155.00	11621.57	USD	-8,799.42	
01AUG17	B	1	PD01NOV17	10175.00	11621.57	USD	8,679.42	
01AUG17	B	1	PD01NOV17	10200.00	11621.57	USD	8,529.42	
01AUG17	S	2	PD01NOV17	10200.00	11621.57	USD	-17,058.84	
	NET	0 AVG BGHT 10166.67	SOLD 10185.00				330.00	0.00
04AUG17	S	1	PD03NOV17	10335.00	11623.28	USD	-7,729.68	
04AUG17	B	1	PD03NOV17	10330.00	11623.28	USD	7,759.68	
	NET	0 AVG BGHT 10330.00	SOLD 10335.00				30.00	0.00
07AUG17	B	1	PD07NOV17	10275.00	11626.69	USD	8,110.14	
07AUG17	B	1	PD07NOV17	10295.00	11626.69	USD	7,990.14	
07AUG17	B	1	PD07NOV17	10305.00	11626.69	USD	7,930.14	
07AUG17	S	1	PD07NOV17	10255.00	11626.69	USD	-8,230.14	
07AUG17	S	1	PD07NOV17	10305.00	11626.69	USD	-7,930.14	
07AUG17	S	1	PD07NOV17	10325.00	11626.69	USD	-7,810.14	
07AUG17	B	1	PD07NOV17	10335.00	11626.69	USD	7,750.14	
07AUG17	S	1	PD07NOV17	10330.00	11626.69	USD	-7,780.14	
	NET	0 AVG BGHT 10302.50	SOLD 10303.75				30.00	0.00
08AUG17	B	1	PD08NOV17	10355.00	11627.54	USD	7,635.24	
08AUG17	S	1	PD08NOV17	10350.00	11627.54	USD	-7,665.24	
	NET	0 AVG BGHT 10355.00	SOLD 10350.00				-30.00	0.00
09AUG17	S	1	PD09NOV17	10800.00	11628.39	USD	-4,970.34	
09AUG17	S	1	PD09NOV17	10805.00	11628.39	USD	-4,940.34	
09AUG17	B	2	PD09NOV17	10805.00	11628.39	USD	9,880.68	
	NET	0 AVG BGHT 10805.00	SOLD 10802.50				-30.00	0.00
10AUG17	S	1	PD10NOV17	10830.00	11629.24	USD	-4,795.44	
10AUG17	B	1	PD10NOV17	10845.00	11629.24	USD	4,705.44	
	NET	0 AVG BGHT 10845.00	SOLD 10830.00				-90.00	0.00
16AUG17	B	1	PD16NOV17	10580.00	11634.19	USD	6,325.14	
16AUG17	S	1	PD16NOV17	10555.00	11634.19	USD	-6,475.14	
16AUG17	B	1	PD16NOV17	10635.00	11634.19	USD	5,995.14	
16AUG17	S	1	PD16NOV17	10635.00	11634.19	USD	-5,995.14	
	NET	0 AVG BGHT 10607.50	SOLD 10595.00				-150.00	0.00
07SEP17	B	1	PD07DEC17	12180.00	11648.59	USD	-3,188.46	
07SEP17	S	1	PD07DEC17	12155.00	11648.59	USD	3,038.46	
07SEP17	B	1	PD07DEC17	12110.00	11648.59	USD	-2,768.46	
07SEP17	S	1	PD07DEC17	12090.00	11648.59	USD	2,648.46	
	NET	0 AVG BGHT 12145.00	SOLD 12122.50				-270.00	0.00
13SEP17	B	1	PD13DEC17	11555.00	11652.70	USD	586.20	
13SEP17	S	1	PD13DEC17	11555.00	11652.70	USD	-586.20	
13SEP17	B	1	PD13DEC17	11575.00	11652.70	USD	466.20	
13SEP17	S	1	PD13DEC17	11550.00	11652.70	USD	-616.20	
	NET	0 AVG BGHT 11565.00	SOLD 11552.50				-150.00	0.00
15SEP17	B	1	PD15DEC17	11175.00	11654.07	USD	2,874.42	
15SEP17	S	1	PD15DEC17	11160.00	11654.07	USD	-2,964.42	
15SEP17	B	1	PD15DEC17	11050.00	11654.07	USD	3,624.42	
15SEP17	S	1	PD15DEC17	11095.00	11654.07	USD	-3,354.42	
	NET	0 AVG BGHT 11112.50	SOLD 11127.50				180.00	0.00
19SEP17	B	1	PD19DEC17	11165.00	11656.81	USD	2,950.86	
19SEP17	S	1	PD19DEC17	11150.00	11656.81	USD	-3,040.86	
	NET	0 AVG BGHT 11165.00	SOLD 11150.00				-90.00	0.00
21SEP17	B	1	PD21DEC17	11225.00	11658.26	USD	2,599.56	
21SEP17	S	1	PD21DEC17	11205.00	11658.26	USD	-2,719.56	
21SEP17	S	1	PD21DEC17	11130.00	11658.26	USD	-3,169.56	
21SEP17	B	1	PD21DEC17	11165.00	11658.26	USD	2,959.56	

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SAP0118H

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	NET	0AVG BGHT 11195.00	SOLD 11167.50				-330.00	0.00
	<u>LME TIN 5 TONNES. THIS IS AN LME</u>							
	<u>REGISTERED CLIENT CONTRACT</u>							
17JUL17	B	1	PD17OCT17	20050.00	20735.00	USD	3,425.00	
17JUL17	S	1	PD17OCT17	20065.00	20735.00	USD	-3,350.00	
17JUL17	B	1	PD17OCT17	19945.00	20735.00	USD	3,950.00	
17JUL17	S	1	PD17OCT17	19880.00	20735.00	USD	-4,275.00	
	NET	0AVG BGHT 19997.50	SOLD 19972.50				-250.00	0.00
18JUL17	B	1	PD18OCT17	20050.00	20735.00	USD	3,425.00	
18JUL17	S	1	PD18OCT17	20045.00	20735.00	USD	-3,450.00	
	NET	0AVG BGHT 20050.00	SOLD 20045.00				-25.00	0.00
19JUL17	B	1	PD19OCT17	20100.00	20732.50	USD	3,162.50	
19JUL17	S	1	PD19OCT17	20095.00	20732.50	USD	-3,187.50	
19JUL17	S	1	PD19OCT17	20105.00	20732.50	USD	-3,137.50	
19JUL17	B	1	PD19OCT17	20055.00	20732.50	USD	3,387.50	
19JUL17	S	1	PD19OCT17	20135.00	20732.50	USD	-2,987.50	
	NET	-1AVG BGHT 20077.50	SOLD 20111.67				-2,762.50	0.00
20JUL17	B	1	PD20OCT17	20100.00	20730.00	USD	3,150.00	
	NET	+1AVG BGHT 20100.00					3,150.00	0.00
24JUL17	B	1	PD24OCT17	20230.00	20725.00	USD	2,475.00	
24JUL17	S	1	PD24OCT17	20245.00	20725.00	USD	-2,400.00	
24JUL17	S	1	PD24OCT17	20225.00	20725.00	USD	-2,500.00	
24JUL17	B	1	PD24OCT17	20235.00	20725.00	USD	2,450.00	
	NET	0AVG BGHT 20232.50	SOLD 20235.00				25.00	0.00
25JUL17	S	1	PD25OCT17	20340.00	20722.50	USD	-1,912.50	
25JUL17	B	1	PD25OCT17	20360.00	20722.50	USD	1,812.50	
	NET	0AVG BGHT 20360.00	SOLD 20340.00				-100.00	0.00
26JUL17	S	1	PD26OCT17	20365.00	20720.00	USD	-1,775.00	
26JUL17	B	1	PD26OCT17	20375.00	20720.00	USD	1,725.00	
	NET	0AVG BGHT 20375.00	SOLD 20365.00				-50.00	0.00
27JUL17	B	1	PD27OCT17	20600.00	20717.50	USD	587.50	
27JUL17	S	1	PD27OCT17	20585.00	20717.50	USD	-662.50	
27JUL17	B	1	PD27OCT17	20650.00	20717.50	USD	337.50	
27JUL17	S	1	PD27OCT17	20645.00	20717.50	USD	-362.50	
27JUL17	B	1	PD27OCT17	20640.00	20717.50	USD	387.50	
27JUL17	S	1	PD27OCT17	20695.00	20717.50	USD	-112.50	
27JUL17	B	1	PD27OCT17	20675.00	20717.50	USD	212.50	
27JUL17	S	1	PD27OCT17	20670.00	20717.50	USD	-237.50	
27JUL17	S	1	PD27OCT17	20700.00	20717.50	USD	-87.50	
28JUL17	B	1	PD27OCT17	20650.00	20717.50	USD	337.50	
28JUL17	B	1	PD27OCT17	20585.00	20717.50	USD	662.50	
28JUL17	S	1	PD27OCT17	20740.00	20717.50	USD	112.50	
28JUL17	B	1	PD27OCT17	20670.00	20717.50	USD	237.50	
28JUL17	S	2	PD27OCT17	20715.00	20717.50	USD	-25.00	
28JUL17	B	1	PD27OCT17	20735.00	20717.50	USD	-87.50	
28JUL17	S	1	PD27OCT17	20725.00	20717.50	USD	37.50	
28JUL17	B	1	PD27OCT17	20800.00	20717.50	USD	-412.50	
28JUL17	B	1	PD27OCT17	20750.00	20717.50	USD	-162.50	
28JUL17	S	1	PD27OCT17	20830.00	20717.50	USD	562.50	
	NET	0AVG BGHT 20675.50	SOLD 20702.00				1,325.00	0.00
31JUL17	B	1	PD31OCT17	20655.00	20712.50	USD	287.50	
31JUL17	S	1	PD31OCT17	20655.00	20712.50	USD	-287.50	
	NET	0AVG BGHT 20655.00	SOLD 20655.00				0.00	0.00
01AUG17	S	1	PD01NOV17	20565.00	20710.00	USD	-725.00	
01AUG17	B	1	PD01NOV17	20570.00	20710.00	USD	700.00	
01AUG17	S	1	PD01NOV17	20545.00	20710.00	USD	-825.00	

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	<u>LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
01AUG17	B	1	PD01NOV17	20555.00	20710.00	USD	775.00	
01AUG17	B	1	PD01NOV17	20525.00	20710.00	USD	925.00	
01AUG17	S	1	PD01NOV17	20525.00	20710.00	USD	-925.00	
01AUG17	S	1	PD01NOV17	20455.00	20710.00	USD	-1,275.00	
01AUG17	B	1	PD01NOV17	20450.00	20710.00	USD	1,300.00	
	NET	0 AVG	BGHT 20525.00	SOLD 20522.50			-50.00	0.00
03AUG17	B	1	PD03NOV17	20545.00	20705.00	USD	800.00	
03AUG17	B	1	PD03NOV17	20620.00	20705.00	USD	425.00	
03AUG17	S	1	PD03NOV17	20595.00	20705.00	USD	-550.00	
03AUG17	S	1	PD03NOV17	20615.00	20705.00	USD	-450.00	
04AUG17	B	1	PD03NOV17	20660.00	20705.00	USD	225.00	
04AUG17	S	1	PD03NOV17	20725.00	20705.00	USD	100.00	
04AUG17	S	1	PD03NOV17	20655.00	20705.00	USD	-250.00	
04AUG17	B	1	PD03NOV17	20780.00	20705.00	USD	-375.00	
	NET	0 AVG	BGHT 20651.25	SOLD 20647.50			-75.00	0.00
07AUG17	B	1	PD07NOV17	20580.00	20700.00	USD	600.00	
07AUG17	S	1	PD07NOV17	20640.00	20700.00	USD	-300.00	
07AUG17	B	1	PD07NOV17	20560.00	20700.00	USD	700.00	
07AUG17	S	1	PD07NOV17	20575.00	20700.00	USD	-625.00	
	NET	0 AVG	BGHT 20570.00	SOLD 20607.50			375.00	0.00
08AUG17	S	1	PD08NOV17	20375.00	20697.50	USD	-1,612.50	
08AUG17	S	1	PD08NOV17	20445.00	20697.50	USD	-1,262.50	
08AUG17	B	1	PD08NOV17	20470.00	20697.50	USD	1,137.50	
08AUG17	B	1	PD08NOV17	20475.00	20697.50	USD	1,112.50	
08AUG17	S	1	PD08NOV17	20460.00	20697.50	USD	-1,187.50	
08AUG17	B	2	PD08NOV17	20405.00	20697.50	USD	2,925.00	
08AUG17	S	1	PD08NOV17	20390.00	20697.50	USD	-1,537.50	
08AUG17	B	1	PD08NOV17	20310.00	20697.50	USD	1,937.50	
08AUG17	S	1	PD08NOV17	20280.00	20697.50	USD	-2,087.50	
	NET	0 AVG	BGHT 20413.00	SOLD 20390.00			-575.00	0.00
09AUG17	B	1	PD09NOV17	20405.00	20695.00	USD	1,450.00	
09AUG17	S	1	PD09NOV17	20400.00	20695.00	USD	-1,475.00	
09AUG17	B	1	PD09NOV17	20415.00	20695.00	USD	1,400.00	
09AUG17	S	1	PD09NOV17	20415.00	20695.00	USD	-1,400.00	
09AUG17	S	1	PD09NOV17	20230.00	20695.00	USD	-2,325.00	
09AUG17	B	1	PD09NOV17	20230.00	20695.00	USD	2,325.00	
	NET	0 AVG	BGHT 20350.00	SOLD 20348.33			-25.00	0.00
10AUG17	S	1	PD10NOV17	20270.00	20692.50	USD	-2,112.50	
10AUG17	B	1	PD10NOV17	20350.00	20692.50	USD	1,712.50	
10AUG17	B	1	PD10NOV17	20320.00	20692.50	USD	1,862.50	
10AUG17	S	1	PD10NOV17	20300.00	20692.50	USD	-1,962.50	
10AUG17	B	1	PD10NOV17	20300.00	20692.50	USD	1,962.50	
10AUG17	S	1	PD10NOV17	20290.00	20692.50	USD	-2,012.50	
10AUG17	B	1	PD10NOV17	20265.00	20692.50	USD	2,137.50	
10AUG17	S	1	PD10NOV17	20285.00	20692.50	USD	-2,037.50	
11AUG17	S	1	PD10NOV17	20335.00	20692.50	USD	-1,787.50	
11AUG17	B	1	PD10NOV17	20350.00	20692.50	USD	1,712.50	
	NET	0 AVG	BGHT 20317.00	SOLD 20296.00			-525.00	0.00
16AUG17	S	1	PD16NOV17	20055.00	20683.13	USD	-3,140.65	
16AUG17	B	1	PD16NOV17	19980.00	20683.13	USD	3,515.65	
	NET	0 AVG	BGHT 19980.00	SOLD 20055.00			375.00	0.00
18AUG17	S	1	PD17NOV17	20270.00	20681.25	USD	-2,056.25	
18AUG17	B	1	PD17NOV17	20275.00	20681.25	USD	2,031.25	
	NET	0 AVG	BGHT 20275.00	SOLD 20270.00			-25.00	0.00
21AUG17	S	1	PD21NOV17	20470.00	20677.50	USD	-1,037.50	

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	<u>LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
21AUG17	B	1	PD21NOV17	20495.00	20677.50	USD	912.50	
	NET	0 AVG	BGHT 20495.00	SOLD 20470.00			-125.00	0.00
31AUG17	B	1	PD30NOV17	20615.00	20666.25	USD	256.25	
31AUG17	B	1	PD30NOV17	20640.00	20666.25	USD	131.25	
31AUG17	S	2	PD30NOV17	20620.00	20666.25	USD	-462.50	
	NET	0 AVG	BGHT 20627.50	SOLD 20620.00			-75.00	0.00
01SEP17	S	1	PD01DEC17	20680.00	20664.38	USD	78.10	
01SEP17	B	1	PD01DEC17	20700.00	20664.38	USD	-178.10	
	NET	0 AVG	BGHT 20700.00	SOLD 20680.00			-100.00	0.00
06SEP17	B	1	PD06DEC17	20610.00	20658.75	USD	243.75	
06SEP17	B	1	PD06DEC17	20640.00	20658.75	USD	93.75	
06SEP17	S	2	PD06DEC17	20630.00	20658.75	USD	-287.50	
	NET	0 AVG	BGHT 20625.00	SOLD 20630.00			50.00	0.00
07SEP17	B	1	PD07DEC17	20730.00	20656.88	USD	-365.60	
07SEP17	S	1	PD07DEC17	20725.00	20656.88	USD	340.60	
	NET	0 AVG	BGHT 20730.00	SOLD 20725.00			-25.00	0.00
08SEP17	B	1	PD08DEC17	20550.00	20655.00	USD	525.00	
08SEP17	S	1	PD08DEC17	20550.00	20655.00	USD	-525.00	
	NET	0 AVG	BGHT 20550.00	SOLD 20550.00			0.00	0.00
11SEP17	B	1	PD11DEC17	20665.00	20653.13	USD	-59.35	
11SEP17	S	1	PD11DEC17	20630.00	20653.13	USD	-115.65	
	NET	0 AVG	BGHT 20665.00	SOLD 20630.00			-175.00	0.00
13SEP17	B	1	PD13DEC17	20565.00	20649.38	USD	421.90	
13SEP17	S	1	PD13DEC17	20595.00	20649.38	USD	-271.90	
13SEP17	B	1	PD13DEC17	20580.00	20649.38	USD	346.90	
13SEP17	S	1	PD13DEC17	20550.00	20649.38	USD	-496.90	
	NET	0 AVG	BGHT 20572.50	SOLD 20572.50			0.00	0.00
15SEP17	S	1	PD15DEC17	20505.00	20645.63	USD	-703.15	
15SEP17	B	1	PD15DEC17	20520.00	20645.63	USD	628.15	
15SEP17	S	1	PD15DEC17	20605.00	20645.63	USD	-203.15	
15SEP17	B	1	PD15DEC17	20510.00	20645.63	USD	678.15	
	NET	0 AVG	BGHT 20515.00	SOLD 20555.00			400.00	0.00
18SEP17	B	1	PD18DEC17	20670.00	20643.75	USD	-131.25	
18SEP17	S	1	PD18DEC17	20655.00	20643.75	USD	56.25	
	NET	0 AVG	BGHT 20670.00	SOLD 20655.00			-75.00	0.00
19SEP17	S	1	PD19DEC17	20710.00	20641.88	USD	340.60	
19SEP17	B	1	PD19DEC17	20740.00	20641.88	USD	-490.60	
	NET	0 AVG	BGHT 20740.00	SOLD 20710.00			-150.00	0.00
20SEP17	S	1	PD20DEC17	20750.00	20640.00	USD	550.00	
20SEP17	B	1	PD20DEC17	20750.00	20640.00	USD	-550.00	
20SEP17	B	1	PD20DEC17	20660.00	20640.00	USD	-100.00	
20SEP17	S	1	PD20DEC17	20660.00	20640.00	USD	100.00	
	NET	0 AVG	BGHT 20705.00	SOLD 20705.00			0.00	0.00
21SEP17	B	1	PD21DEC17	20500.00	20637.14	USD	685.70	
21SEP17	B	1	PD21DEC17	20595.00	20637.14	USD	210.70	
21SEP17	S	1	PD21DEC17	20585.00	20637.14	USD	-260.70	
21SEP17	S	1	PD21DEC17	20595.00	20637.14	USD	-210.70	
	NET	0 AVG	BGHT 20547.50	SOLD 20590.00			425.00	0.00
22SEP17	B	1	PD22DEC17	20450.00	20634.29	USD	921.45	
22SEP17	S	1	PD22DEC17	20510.00	20634.29	USD	-621.45	
22SEP17	S	1	PD22DEC17	20620.00	20634.29	USD	-71.45	
22SEP17	S	1	PD22DEC17	20565.00	20634.29	USD	-346.45	
22SEP17	B	2	PD22DEC17	20565.00	20634.29	USD	692.90	

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	<u>LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>								
	NET	0 AVG	BGHT	20526.67	SOLD	20565.00		575.00	0.00
25SEP17	S	1	PD27DEC17		20610.00	20631.43	USD	-107.15	
25SEP17	B	1	PD27DEC17		20630.00	20631.43	USD	7.15	
26SEP17	B	1	PD27DEC17		20750.00	20631.43	USD	-592.85	
26SEP17	S	1	PD27DEC17		20740.00	20631.43	USD	542.85	
27SEP17	S	1	PD27DEC17		20710.00	20631.43	USD	392.85	
27SEP17	B	1	PD27DEC17		20690.00	20631.43	USD	-292.85	
27SEP17	S	1	PD27DEC17		20665.00	20631.43	USD	167.85	
27SEP17	B	1	PD27DEC17		20680.00	20631.43	USD	-242.85	
	NET	0 AVG	BGHT	20687.50	SOLD	20681.25		-125.00	0.00
28SEP17	B	1	PD28DEC17		20660.00	20628.57	USD	-157.15	
28SEP17	S	1	PD28DEC17		20730.00	20628.57	USD	507.15	
28SEP17	S	1	PD28DEC17		20630.00	20628.57	USD	7.15	
	NET	-1 AVG	BGHT	20660.00	SOLD	20680.00		357.15	0.00
29SEP17	B	1	PD29DEC17		20655.00	20625.71	USD	-146.45	
	NET	+1 AVG	BGHT	20655.00				-146.45	0.00
09OCT17	B	1	PD09JAN18		20790.00	20608.57	USD	-907.15	
09OCT17	S	1	PD09JAN18		20755.00	20608.57	USD	732.15	
	NET	0 AVG	BGHT	20790.00	SOLD	20755.00		-175.00	0.00
11OCT17	S	1	PD11JAN18		20740.00	20602.86	USD	685.70	
11OCT17	S	1	PD11JAN18		20745.00	20602.86	USD	710.70	
11OCT17	B	3	PD11JAN18		20735.00	20602.86	USD	-1,982.10	
11OCT17	B	1	PD11JAN18		20755.00	20602.86	USD	-760.70	
11OCT17	S	2	PD11JAN18		20750.00	20602.86	USD	1,471.40	
	NET	0 AVG	BGHT	20740.00	SOLD	20746.25		125.00	0.00
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>								
17JUL17	S	1	PD17OCT17		2837.00	3294.00	USD	-11,425.00	
04OCT17	B	1	PD17OCT17		3337.93	3294.00	USD	-1,098.25	
	NET	0 AVG	BGHT	3337.93	SOLD	2837.00		-12,523.25	0.00
18JUL17	B	1	PD18OCT17		2786.00	3291.00	USD	12,625.00	
18JUL17	S	1	PD18OCT17		2791.00	3291.00	USD	-12,500.00	
	NET	0 AVG	BGHT	2786.00	SOLD	2791.00		125.00	0.00
19JUL17	B	1	PD19OCT17		2758.00	3286.50	USD	13,212.50	
19JUL17	S	1	PD19OCT17		2748.00	3286.50	USD	-13,462.50	
	NET	0 AVG	BGHT	2758.00	SOLD	2748.00		-250.00	0.00
20JUL17	B	1	PD20OCT17		2738.50	3282.00	USD	13,587.50	
04OCT17	S	1	PD20OCT17		3326.30	3282.00	USD	1,107.50	
	NET	0 AVG	BGHT	2738.50	SOLD	3326.30		14,695.00	0.00
24JUL17	S	1	PD24OCT17		2778.00	3276.00	USD	-12,450.00	
24JUL17	B	1	PD24OCT17		2778.50	3276.00	USD	12,437.50	
	NET	0 AVG	BGHT	2778.50	SOLD	2778.00		-12.50	0.00
25JUL17	B	1	PD25OCT17		2806.50	3273.00	USD	11,662.50	
25JUL17	S	1	PD25OCT17		2804.00	3273.00	USD	-11,725.00	
25JUL17	S	1	PD25OCT17		2841.50	3273.00	USD	-10,787.50	
25JUL17	B	1	PD25OCT17		2840.00	3273.00	USD	10,825.00	
	NET	0 AVG	BGHT	2823.25	SOLD	2822.75		-25.00	0.00
26JUL17	B	1	PD26OCT17		2811.50	3271.87	USD	11,509.25	
04OCT17	S	1	PD26OCT17		3320.57	3271.87	USD	1,217.50	
	NET	0 AVG	BGHT	2811.50	SOLD	3320.57		12,726.75	0.00
31JUL17	S	1	PD31OCT17		2807.00	3268.47	USD	-11,536.75	
31JUL17	B	1	PD31OCT17		2810.50	3268.47	USD	11,449.25	
	NET	0 AVG	BGHT	2810.50	SOLD	2807.00		-87.50	0.00

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
08AUG17	S 1 PD08NOV17	2874.50	3261.67	USD	-9,679.25	
	NET -1AVG SOLD 2874.50				-9,679.25	0.00
18AUG17	B 1 PD17NOV17	3125.00	3251.00	USD	3,150.00	
	NET +1AVG BGHT 3125.00				3,150.00	0.00
22AUG17	S 1 PD22NOV17	3133.00	3248.38	USD	-2,884.50	
22AUG17	S 1 PD22NOV17	3134.00	3248.38	USD	-2,859.50	
22AUG17	S 1 PD22NOV17	3132.00	3248.38	USD	-2,909.50	
	NET -3AVG SOLD 3133.00				-8,653.50	0.00
14SEP17	B 3 PD14DEC17	3007.00	3244.00	USD	17,775.00	
	NET +3AVG BGHT 3007.00				17,775.00	0.00
10OCT17	S 1 PD10JAN18	3287.50	3235.77	USD	1,293.25	
	NET -1AVG SOLD 3287.50				1,293.25	0.00
11OCT17	B 1 PD11JAN18	3252.00	3235.38	USD	-415.50	
	NET +1AVG BGHT 3252.00				-415.50	0.00

OPEN POSITION VALUE

		USD
LME Aluminium 25 Tonnes.	FUTURES	-125.00
LME Copper Grade A 25	FUTURES	-112.50
LME Lead 25 tonnes. This is an	FUTURES	-13,356.00
LME Nickel 6 tonnes. This is	FUTURES	-720.00
LME Tin 5 tonnes. This is an	FUTURES	1,575.00
LME Zinc 25 tonnes. This is	FUTURES	18,723.50

MARKET REVALUATION BREAKDOWN

Unrealised Profits & Losses - Forwards	Amount	Profit / Loss	Total Amount	Total P&L
ALUL - Futures USD	-129.00	-129.00		
COPL - Futures USD	-112.50	-112.50		
LEAD - Futures USD	-13,629.25	-13,629.25		
NKL - Futures USD	-720.00	-720.00		
TIN - Futures USD	1,548.20	1,548.20		
ZINC - Futures USD	18,118.50	18,118.50	5,075.95	5,075.95

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0118H

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	-12,020.27	-12,020.27
Variation Margins		
- Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	-12,020.27	-12,020.27
Initial Margins	0.00	0.00
Excess/(Shortage)	-12,020.27	-12,020.27
FOR INFORMATION ONLY		
Forward Unrealised P&L	5,075.95	5,075.95
Net Liquidating Value	-6,944.32	-6,944.32

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED
SAP0118
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

GROUP NO: **SAPG0118**

13th Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343
Authorised and regulated by the Australian Prudential
Regulation Authority. Authorised by the Prudential
Regulation Authority. Subject to regulation by the Financial
Conduct Authority and limited regulation by the
Prudential Regulation Authority. Details about the extent
of our regulation by the Prudential Regulation Authority are
available from us on request.

GROUP FINANCIAL SUMMARY

	USD	NET USD
	(1.00000)	(1.00000)
CASH BALANCE	-25,828.20	-25,828.20
Variation Margins		
- Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	-25,828.20	-25,828.20
Initial Margins	-61,164.99	-61,164.99
Excess/(Shortage)	-86,993.19	-86,993.19
<u>FOR INFORMATION ONLY</u>		
Forward Unrealised P&L	-41,661.99	-41,661.99
Net Liquidating Value	-67,490.19	-67,490.19

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0119

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

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ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	916,581.73	916,581.73
Variation Margins		
- Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	916,581.73	916,581.73
Initial Margins	0.00	0.00
Excess/(Shortage)	916,581.73	916,581.73
FOR INFORMATION ONLY		
Net Liquidating Value	916,581.73	916,581.73

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0119B

13th October 2017

Sapien Capital Limited
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London W1J 7NJ UK
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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ALUMINIUM 25 TONNES. THIS IS AN</u>							
	<u>LME REGISTERED CLIENT CONTRACT.</u>							
04AUG17	B	3	PD03NOV17	1910.00	2114.78	USD	15,358.50	
04AUG17	B	4	PD03NOV17	1910.50	2114.78	USD	20,428.00	
04AUG17	B	1	PD03NOV17	1908.00	2114.78	USD	5,169.50	
04AUG17	B	3	PD03NOV17	1907.50	2114.78	USD	15,546.00	
04AUG17	B	1	PD03NOV17	1906.00	2114.78	USD	5,219.50	
04AUG17	B	4	PD03NOV17	1909.00	2114.78	USD	20,578.00	
04AUG17	B	6	PD03NOV17	1907.50	2114.78	USD	31,092.00	
04AUG17	B	8	PD03NOV17	1908.50	2114.78	USD	41,256.00	
04AUG17	B	1	PD03NOV17	1909.50	2114.78	USD	5,132.00	
04AUG17	B	2	PD03NOV17	1906.50	2114.78	USD	10,414.00	
04AUG17	B	1	PD03NOV17	1903.50	2114.78	USD	5,282.00	
14AUG17	S	8	PD03NOV17	2020.99	2114.78	USD	-18,758.00	
18AUG17	S	21	PD03NOV17	2059.46	2114.78	USD	-29,043.00	
22AUG17	S	2	PD03NOV17	2071.36	2114.78	USD	-2,171.00	
23AUG17	S	3	PD03NOV17	2093.36	2114.78	USD	-1,606.50	
	NET	0AVG BGHT 1908.34	SOLD 2054.10				123,897.00	0.00
07AUG17	B	3	PD07NOV17	1920.00	2116.16	USD	14,712.00	
07AUG17	S	1	PD07NOV17	1915.00	2116.16	USD	-5,029.00	
07AUG17	B	1	PD07NOV17	1918.00	2116.16	USD	4,954.00	
07AUG17	B	1	PD07NOV17	1915.50	2116.16	USD	5,016.50	
07AUG17	B	3	PD07NOV17	1917.00	2116.16	USD	14,937.00	
07AUG17	S	2	PD07NOV17	1925.00	2116.16	USD	-9,558.00	
07AUG17	B	1	PD07NOV17	1930.00	2116.16	USD	4,654.00	
07AUG17	B	1	PD07NOV17	1923.00	2116.16	USD	4,829.00	
07AUG17	S	4	PD07NOV17	1924.50	2116.16	USD	-19,166.00	
07AUG17	B	1	PD07NOV17	1920.00	2116.16	USD	4,904.00	
07AUG17	B	1	PD07NOV17	1929.00	2116.16	USD	4,679.00	
07AUG17	S	1	PD07NOV17	1943.00	2116.16	USD	-4,329.00	
07AUG17	S	1	PD07NOV17	1946.00	2116.16	USD	-4,254.00	
07AUG17	B	5	PD07NOV17	1948.50	2116.16	USD	20,957.50	
07AUG17	B	2	PD07NOV17	1952.50	2116.16	USD	8,183.00	
07AUG17	B	1	PD07NOV17	1953.00	2116.16	USD	4,079.00	
07AUG17	S	2	PD07NOV17	1951.00	2116.16	USD	-8,258.00	
07AUG17	B	1	PD07NOV17	1955.00	2116.16	USD	4,029.00	
07AUG17	B	3	PD07NOV17	1955.50	2116.16	USD	12,049.50	
07AUG17	B	1	PD07NOV17	1954.00	2116.16	USD	4,054.00	
07AUG17	S	3	PD07NOV17	1953.00	2116.16	USD	-12,237.00	
07AUG17	S	2	PD07NOV17	1952.50	2116.16	USD	-8,183.00	
07AUG17	S	2	PD07NOV17	1952.00	2116.16	USD	-8,208.00	
07AUG17	S	1	PD07NOV17	1954.00	2116.16	USD	-4,054.00	
07AUG17	B	1	PD07NOV17	1947.00	2116.16	USD	4,229.00	
07AUG17	B	1	PD07NOV17	1951.00	2116.16	USD	4,129.00	
07AUG17	B	1	PD07NOV17	1955.50	2116.16	USD	4,016.50	
07AUG17	S	1	PD07NOV17	1971.50	2116.16	USD	-3,616.50	
07AUG17	S	1	PD07NOV17	1972.50	2116.16	USD	-3,591.50	
18AUG17	S	7	PD07NOV17	2060.25	2116.16	USD	-9,784.25	
	NET	0AVG BGHT 1938.43	SOLD 1972.92				24,143.75	0.00
08AUG17	S	2	PD08NOV17	1985.50	2116.50	USD	-6,550.00	
08AUG17	S	3	PD08NOV17	1983.50	2116.50	USD	-9,975.00	
08AUG17	S	4	PD08NOV17	1983.00	2116.50	USD	-13,350.00	
08AUG17	S	3	PD08NOV17	1982.50	2116.50	USD	-10,050.00	
08AUG17	B	1	PD08NOV17	1975.50	2116.50	USD	3,525.00	
08AUG17	B	3	PD08NOV17	1976.00	2116.50	USD	10,537.50	
08AUG17	B	1	PD08NOV17	1974.50	2116.50	USD	3,550.00	
08AUG17	B	4	PD08NOV17	1975.00	2116.50	USD	14,150.00	
08AUG17	B	3	PD08NOV17	1976.50	2116.50	USD	10,500.00	
08AUG17	B	2	PD08NOV17	1979.00	2116.50	USD	6,875.00	

OPEN POSITION STATEMENT



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SAP0119B

13th October 2017

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ALUMINIUM 25 TONNES. THIS IS AN</u>							
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08AUG17	S	1	PD08NOV17	1978.50	2116.50	USD	-3,450.00	
08AUG17	S	1	PD08NOV17	1981.00	2116.50	USD	-3,387.50	
08AUG17	S	1	PD08NOV17	1980.50	2116.50	USD	-3,400.00	
08AUG17	S	1	PD08NOV17	1984.00	2116.50	USD	-3,312.50	
08AUG17	S	1	PD08NOV17	1992.50	2116.50	USD	-3,100.00	
08AUG17	S	3	PD08NOV17	1993.50	2116.50	USD	-9,225.00	
08AUG17	S	2	PD08NOV17	1994.50	2116.50	USD	-6,100.00	
08AUG17	S	1	PD08NOV17	1995.00	2116.50	USD	-3,037.50	
08AUG17	S	1	PD08NOV17	1997.00	2116.50	USD	-2,987.50	
08AUG17	S	1	PD08NOV17	1996.50	2116.50	USD	-3,000.00	
08AUG17	S	1	PD08NOV17	1996.00	2116.50	USD	-3,012.50	
08AUG17	B	1	PD08NOV17	1997.50	2116.50	USD	2,975.00	
08AUG17	B	2	PD08NOV17	1999.50	2116.50	USD	5,850.00	
08AUG17	B	12	PD08NOV17	1999.00	2116.50	USD	35,250.00	
08AUG17	S	1	PD08NOV17	1998.00	2116.50	USD	-2,962.50	
08AUG17	B	1	PD08NOV17	2001.50	2116.50	USD	2,875.00	
08AUG17	S	1	PD08NOV17	2001.50	2116.50	USD	-2,875.00	
08AUG17	B	1	PD08NOV17	2003.50	2116.50	USD	2,825.00	
08AUG17	B	1	PD08NOV17	2016.50	2116.50	USD	2,500.00	
08AUG17	B	2	PD08NOV17	2017.00	2116.50	USD	4,975.00	
08AUG17	S	1	PD08NOV17	1994.00	2116.50	USD	-3,062.50	
14AUG17	B	4	PD08NOV17	2022.10	2116.50	USD	9,440.00	
18AUG17	S	9	PD08NOV17	2060.50	2116.50	USD	-12,600.00	
	NET	0AVG BGHT 1994.58	SOLD 2005.51				10,390.00	0.00
09AUG17	B	1	PD09NOV17	2036.50	2116.96	USD	2,011.50	
09AUG17	S	1	PD09NOV17	2027.50	2116.96	USD	-2,236.50	
09AUG17	S	1	PD09NOV17	2026.50	2116.96	USD	-2,261.50	
09AUG17	S	1	PD09NOV17	2025.50	2116.96	USD	-2,286.50	
09AUG17	S	1	PD09NOV17	2025.00	2116.96	USD	-2,299.00	
09AUG17	B	1	PD09NOV17	2020.00	2116.96	USD	2,424.00	
09AUG17	B	1	PD09NOV17	2018.50	2116.96	USD	2,461.50	
09AUG17	S	1	PD09NOV17	2026.00	2116.96	USD	-2,274.00	
18AUG17	B	2	PD09NOV17	2060.95	2116.96	USD	2,800.50	
	NET	0AVG BGHT 2039.38	SOLD 2026.10				-1,660.00	0.00
10AUG17	B	3	PD10NOV17	2032.00	2117.43	USD	6,407.25	
10AUG17	B	1	PD10NOV17	2033.50	2117.43	USD	2,098.25	
10AUG17	B	4	PD10NOV17	2035.00	2117.43	USD	8,243.00	
10AUG17	S	2	PD10NOV17	2027.50	2117.43	USD	-4,496.50	
10AUG17	S	2	PD10NOV17	2027.00	2117.43	USD	-4,521.50	
10AUG17	S	2	PD10NOV17	2037.00	2117.43	USD	-4,021.50	
10AUG17	S	2	PD10NOV17	2026.00	2117.43	USD	-4,571.50	
10AUG17	S	2	PD10NOV17	2028.50	2117.43	USD	-4,446.50	
10AUG17	S	3	PD10NOV17	2029.50	2117.43	USD	-6,594.75	
10AUG17	S	1	PD10NOV17	2029.00	2117.43	USD	-2,210.75	
10AUG17	S	4	PD10NOV17	2026.50	2117.43	USD	-9,093.00	
10AUG17	B	4	PD10NOV17	2038.50	2117.43	USD	7,893.00	
10AUG17	B	4	PD10NOV17	2040.00	2117.43	USD	7,743.00	
10AUG17	B	1	PD10NOV17	2040.50	2117.43	USD	1,923.25	
10AUG17	B	11	PD10NOV17	2039.00	2117.43	USD	21,568.25	
10AUG17	S	1	PD10NOV17	2032.50	2117.43	USD	-2,123.25	
10AUG17	S	1	PD10NOV17	2032.50	2117.43	USD	-2,123.25	
11AUG17	S	1	PD10NOV17	2027.50	2117.43	USD	-2,248.25	
11AUG17	S	1	PD10NOV17	2028.00	2117.43	USD	-2,235.75	
11AUG17	S	3	PD10NOV17	2032.50	2117.43	USD	-6,369.75	
11AUG17	S	4	PD10NOV17	2033.00	2117.43	USD	-8,443.00	
11AUG17	S	2	PD10NOV17	2037.00	2117.43	USD	-4,021.50	
11AUG17	S	1	PD10NOV17	2023.00	2117.43	USD	-2,360.75	

OPEN POSITION STATEMENT



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13th October 2017

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ALUMINIUM 25 TONNES. THIS IS AN</u>							
	<u>LME REGISTERED CLIENT CONTRACT.</u>							
11AUG17	S	1	PD10NOV17	2022.50	2117.43	USD	-2,373.25	
11AUG17	B	1	PD10NOV17	2024.50	2117.43	USD	2,323.25	
11AUG17	B	2	PD10NOV17	2025.00	2117.43	USD	4,621.50	
11AUG17	B	2	PD10NOV17	2027.50	2117.43	USD	4,496.50	
11AUG17	B	1	PD10NOV17	2027.00	2117.43	USD	2,260.75	
11AUG17	B	1	PD10NOV17	2026.50	2117.43	USD	2,273.25	
11AUG17	S	6	PD10NOV17	2040.50	2117.43	USD	-11,539.50	
11AUG17	S	2	PD10NOV17	2040.00	2117.43	USD	-3,871.50	
11AUG17	S	2	PD10NOV17	2039.00	2117.43	USD	-3,921.50	
11AUG17	S	2	PD10NOV17	2041.00	2117.43	USD	-3,821.50	
14AUG17	B	4	PD10NOV17	2022.47	2117.43	USD	9,496.00	
22AUG17	B	2	PD10NOV17	2073.20	2117.43	USD	2,211.50	
22AUG17	B	4	PD10NOV17	2073.00	2117.43	USD	4,443.00	
	NET	0 AVG BGHT 2039.21	SOLD 2032.62				-7,407.00	0.00
14AUG17	S	1	PD14NOV17	2030.00	2119.29	USD	-2,232.25	
14AUG17	S	2	PD14NOV17	2030.50	2119.29	USD	-4,439.50	
14AUG17	S	1	PD14NOV17	2037.50	2119.29	USD	-2,044.75	
14AUG17	S	1	PD14NOV17	2029.00	2119.29	USD	-2,257.25	
14AUG17	S	1	PD14NOV17	2028.00	2119.29	USD	-2,282.25	
14AUG17	S	1	PD14NOV17	2027.50	2119.29	USD	-2,294.75	
14AUG17	S	3	PD14NOV17	2027.00	2119.29	USD	-6,921.75	
14AUG17	B	4	PD14NOV17	2039.50	2119.29	USD	7,979.00	
14AUG17	S	1	PD14NOV17	2045.00	2119.29	USD	-1,857.25	
14AUG17	S	1	PD14NOV17	2031.50	2119.29	USD	-2,194.75	
14AUG17	B	3	PD14NOV17	2029.50	2119.29	USD	6,734.25	
14AUG17	S	3	PD14NOV17	2045.50	2119.29	USD	-5,534.25	
14AUG17	S	1	PD14NOV17	2030.50	2119.29	USD	-2,219.75	
14AUG17	S	1	PD14NOV17	2029.00	2119.29	USD	-2,257.25	
14AUG17	S	1	PD14NOV17	2033.50	2119.29	USD	-2,144.75	
14AUG17	S	5	PD14NOV17	2033.00	2119.29	USD	-10,786.25	
14AUG17	S	6	PD14NOV17	2032.00	2119.29	USD	-13,093.50	
14AUG17	S	3	PD14NOV17	2031.00	2119.29	USD	-6,621.75	
14AUG17	S	1	PD14NOV17	2033.50	2119.29	USD	-2,144.75	
14AUG17	B	2	PD14NOV17	2028.00	2119.29	USD	4,564.50	
18AUG17	B	26	PD14NOV17	2062.20	2119.29	USD	37,108.50	
22AUG17	S	2	PD14NOV17	2074.00	2119.29	USD	-2,264.50	
	NET	0 AVG BGHT 2054.85	SOLD 2035.19				-17,205.00	0.00
15AUG17	B	2	PD15NOV17	2040.00	2119.75	USD	3,987.50	
15AUG17	S	1	PD15NOV17	2041.50	2119.75	USD	-1,956.25	
15AUG17	S	1	PD15NOV17	2044.50	2119.75	USD	-1,881.25	
18AUG17	B	2	PD15NOV17	2062.45	2119.75	USD	2,865.00	
22AUG17	S	2	PD15NOV17	2074.05	2119.75	USD	-2,285.00	
	NET	0 AVG BGHT 2051.23	SOLD 2058.53				730.00	0.00
16AUG17	B	2	PD16NOV17	2051.50	2120.07	USD	3,428.50	
16AUG17	B	8	PD16NOV17	2052.00	2120.07	USD	13,614.00	
16AUG17	B	1	PD16NOV17	2053.00	2120.07	USD	1,676.75	
16AUG17	B	5	PD16NOV17	2054.00	2120.07	USD	8,258.75	
16AUG17	S	1	PD16NOV17	2089.50	2120.07	USD	-764.25	
16AUG17	S	3	PD16NOV17	2087.50	2120.07	USD	-2,442.75	
16AUG17	B	2	PD16NOV17	2085.00	2120.07	USD	1,753.50	
16AUG17	B	1	PD16NOV17	2086.00	2120.07	USD	851.75	
16AUG17	B	1	PD16NOV17	2086.50	2120.07	USD	839.25	
16AUG17	B	2	PD16NOV17	2099.00	2120.07	USD	1,053.50	
16AUG17	B	2	PD16NOV17	2096.50	2120.07	USD	1,178.50	
16AUG17	B	3	PD16NOV17	2097.00	2120.07	USD	1,730.25	
16AUG17	B	7	PD16NOV17	2101.50	2120.07	USD	3,249.75	

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ALUMINIUM 25 TONNES. THIS IS AN</u>							
	<u>LME REGISTERED CLIENT CONTRACT.</u>							
16AUG17	B	1	PD16NOV17	2094.50	2120.07	USD	639.25	
16AUG17	B	2	PD16NOV17	2095.00	2120.07	USD	1,253.50	
16AUG17	B	2	PD16NOV17	2088.50	2120.07	USD	1,578.50	
16AUG17	B	3	PD16NOV17	2088.00	2120.07	USD	2,405.25	
18AUG17	S	16	PD16NOV17	2061.75	2120.07	USD	-23,328.00	
22AUG17	S	2	PD16NOV17	2073.55	2120.07	USD	-2,326.00	
23AUG17	S	20	PD16NOV17	2095.75	2120.07	USD	-12,160.00	
	NET	0 AVG	BGHT 2078.63				2,490.00	0.00
			SOLD 2081.00					
17AUG17	B	1	PD17NOV17	2091.00	2120.38	USD	734.50	
17AUG17	S	2	PD17NOV17	2101.50	2120.38	USD	-944.00	
17AUG17	S	1	PD17NOV17	2102.00	2120.38	USD	-459.50	
17AUG17	S	1	PD17NOV17	2099.50	2120.38	USD	-522.00	
17AUG17	S	1	PD17NOV17	2101.00	2120.38	USD	-484.50	
17AUG17	S	2	PD17NOV17	2079.50	2120.38	USD	-2,044.00	
17AUG17	B	1	PD17NOV17	2070.50	2120.38	USD	1,247.00	
17AUG17	B	1	PD17NOV17	2068.50	2120.38	USD	1,297.00	
17AUG17	S	2	PD17NOV17	2071.00	2120.38	USD	-2,469.00	
17AUG17	S	1	PD17NOV17	2070.50	2120.38	USD	-1,247.00	
17AUG17	S	1	PD17NOV17	2068.50	2120.38	USD	-1,297.00	
17AUG17	S	1	PD17NOV17	2068.00	2120.38	USD	-1,309.50	
17AUG17	S	4	PD17NOV17	2067.50	2120.38	USD	-5,288.00	
17AUG17	S	1	PD17NOV17	2076.00	2120.38	USD	-1,109.50	
17AUG17	S	2	PD17NOV17	2075.50	2120.38	USD	-2,244.00	
17AUG17	S	1	PD17NOV17	2074.50	2120.38	USD	-1,147.00	
17AUG17	S	1	PD17NOV17	2073.50	2120.38	USD	-1,172.00	
17AUG17	S	1	PD17NOV17	2071.00	2120.38	USD	-1,234.50	
17AUG17	S	4	PD17NOV17	2073.00	2120.38	USD	-4,738.00	
17AUG17	S	4	PD17NOV17	2074.00	2120.38	USD	-4,638.00	
18AUG17	B	2	PD17NOV17	2071.00	2120.38	USD	2,469.00	
18AUG17	B	3	PD17NOV17	2076.00	2120.38	USD	3,328.50	
18AUG17	B	12	PD17NOV17	2083.50	2120.38	USD	11,064.00	
18AUG17	B	1	PD17NOV17	2085.00	2120.38	USD	884.50	
18AUG17	B	2	PD17NOV17	2086.00	2120.38	USD	1,719.00	
18AUG17	B	6	PD17NOV17	2084.50	2120.38	USD	5,382.00	
18AUG17	B	2	PD17NOV17	2084.50	2120.38	USD	1,794.00	
18AUG17	B	1	PD17NOV17	2088.50	2120.38	USD	797.00	
18AUG17	B	1	PD17NOV17	2091.50	2120.38	USD	722.00	
18AUG17	S	2	PD17NOV17	2070.00	2120.38	USD	-2,519.00	
18AUG17	B	2	PD17NOV17	2061.00	2120.38	USD	2,969.00	
18AUG17	B	2	PD17NOV17	2061.50	2120.38	USD	2,944.00	
18AUG17	B	1	PD17NOV17	2060.00	2120.38	USD	1,509.50	
18AUG17	B	1	PD17NOV17	2060.50	2120.38	USD	1,497.00	
18AUG17	B	1	PD17NOV17	2063.00	2120.38	USD	1,434.50	
18AUG17	B	1	PD17NOV17	2062.00	2120.38	USD	1,459.50	
18AUG17	B	23	PD17NOV17	2062.20	2120.38	USD	33,453.50	
22AUG17	B	2	PD17NOV17	2074.16	2120.38	USD	2,311.00	
23AUG17	S	6	PD17NOV17	2095.91	2120.38	USD	-3,670.50	
30AUG17	S	3	PD17NOV17	2085.00	2120.38	USD	-2,653.50	
11SEP17	S	25	PD17NOV17	2115.25	2120.38	USD	-3,206.25	
	NET	0 AVG	BGHT 2072.49				34,619.75	0.00
			SOLD 2093.47					
21AUG17	B	1	PD21NOV17	2096.50	2121.65	USD	628.75	
21AUG17	B	2	PD21NOV17	2097.50	2121.65	USD	1,207.50	
21AUG17	B	3	PD21NOV17	2098.00	2121.65	USD	1,773.75	
21AUG17	B	1	PD21NOV17	2078.00	2121.65	USD	1,091.25	
21AUG17	B	1	PD21NOV17	2078.50	2121.65	USD	1,078.75	
21AUG17	B	2	PD21NOV17	2069.50	2121.65	USD	2,607.50	
21AUG17	B	1	PD21NOV17	2077.00	2121.65	USD	1,116.25	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0119B

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ALUMINIUM 25 TONNES. THIS IS AN</u>							
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21AUG17	B	1	PD21NOV17	2077.50	2121.65	USD	1,103.75	
21AUG17	B	1	PD21NOV17	2075.00	2121.65	USD	1,166.25	
21AUG17	B	2	PD21NOV17	2074.00	2121.65	USD	2,382.50	
21AUG17	B	1	PD21NOV17	2076.00	2121.65	USD	1,141.25	
11SEP17	S	16	PD21NOV17	2116.38	2121.65	USD	-2,108.00	
	NET	0	AVG BGHT 2083.41	SOLD 2116.38			13,189.50	0.00
22AUG17	S	2	PD22NOV17	2069.50	2121.96	USD	-2,623.00	
22AUG17	S	1	PD22NOV17	2067.00	2121.96	USD	-1,374.00	
22AUG17	S	1	PD22NOV17	2066.50	2121.96	USD	-1,386.50	
22AUG17	S	3	PD22NOV17	2064.00	2121.96	USD	-4,347.00	
22AUG17	S	1	PD22NOV17	2064.50	2121.96	USD	-1,436.50	
22AUG17	S	1	PD22NOV17	2074.00	2121.96	USD	-1,199.00	
22AUG17	S	3	PD22NOV17	2075.00	2121.96	USD	-3,522.00	
22AUG17	B	1	PD22NOV17	2084.00	2121.96	USD	949.00	
22AUG17	B	1	PD22NOV17	2083.50	2121.96	USD	961.50	
22AUG17	S	2	PD22NOV17	2080.00	2121.96	USD	-2,098.00	
22AUG17	S	1	PD22NOV17	2076.50	2121.96	USD	-1,136.50	
22AUG17	S	1	PD22NOV17	2075.50	2121.96	USD	-1,161.50	
22AUG17	S	1	PD22NOV17	2077.50	2121.96	USD	-1,111.50	
22AUG17	S	2	PD22NOV17	2079.00	2121.96	USD	-2,148.00	
22AUG17	S	2	PD22NOV17	2082.00	2121.96	USD	-1,998.00	
22AUG17	S	2	PD22NOV17	2082.50	2121.96	USD	-1,973.00	
22AUG17	S	3	PD22NOV17	2076.00	2121.96	USD	-3,447.00	
22AUG17	S	1	PD22NOV17	2072.50	2121.96	USD	-1,236.50	
22AUG17	S	4	PD22NOV17	2071.00	2121.96	USD	-5,096.00	
23AUG17	B	29	PD22NOV17	2096.89	2121.96	USD	18,175.75	
	NET	0	AVG BGHT 2096.04	SOLD 2073.84			-17,207.75	0.00
23AUG17	B	1	PD24NOV17	2081.50	2122.60	USD	1,027.50	
23AUG17	B	5	PD24NOV17	2082.00	2122.60	USD	5,075.00	
23AUG17	B	3	PD24NOV17	2083.00	2122.60	USD	2,970.00	
23AUG17	B	2	PD24NOV17	2083.50	2122.60	USD	1,955.00	
23AUG17	B	3	PD24NOV17	2082.50	2122.60	USD	3,007.50	
23AUG17	B	2	PD24NOV17	2084.00	2122.60	USD	1,930.00	
23AUG17	B	5	PD24NOV17	2086.00	2122.60	USD	4,575.00	
23AUG17	B	1	PD24NOV17	2086.50	2122.60	USD	902.50	
23AUG17	B	5	PD24NOV17	2089.00	2122.60	USD	4,200.00	
23AUG17	B	9	PD24NOV17	2089.50	2122.60	USD	7,447.50	
23AUG17	B	10	PD24NOV17	2090.00	2122.60	USD	8,150.00	
23AUG17	B	2	PD24NOV17	2093.50	2122.60	USD	1,455.00	
23AUG17	B	1	PD24NOV17	2094.00	2122.60	USD	715.00	
23AUG17	B	6	PD24NOV17	2093.00	2122.60	USD	4,440.00	
23AUG17	S	1	PD24NOV17	2091.00	2122.60	USD	-790.00	
23AUG17	B	1	PD24NOV17	2092.50	2122.60	USD	752.50	
23AUG17	B	1	PD24NOV17	2083.50	2122.60	USD	977.50	
24AUG17	S	1	PD24NOV17	2104.00	2122.60	USD	-465.00	
24AUG17	S	2	PD24NOV17	2103.50	2122.60	USD	-955.00	
24AUG17	S	2	PD24NOV17	2102.00	2122.60	USD	-1,030.00	
24AUG17	S	2	PD24NOV17	2103.00	2122.60	USD	-980.00	
24AUG17	S	1	PD24NOV17	2106.50	2122.60	USD	-402.50	
24AUG17	S	2	PD24NOV17	2102.50	2122.60	USD	-1,005.00	
24AUG17	S	1	PD24NOV17	2098.00	2122.60	USD	-615.00	
24AUG17	S	2	PD24NOV17	2098.50	2122.60	USD	-1,205.00	
24AUG17	S	8	PD24NOV17	2099.00	2122.60	USD	-4,720.00	
24AUG17	S	5	PD24NOV17	2097.50	2122.60	USD	-3,137.50	
24AUG17	S	3	PD24NOV17	2097.00	2122.60	USD	-1,920.00	
24AUG17	S	1	PD24NOV17	2096.50	2122.60	USD	-652.50	
24AUG17	S	1	PD24NOV17	2096.00	2122.60	USD	-665.00	

OPEN POSITION STATEMENT



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13th October 2017

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ALUMINIUM 25 TONNES. THIS IS AN</u>							
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24AUG17	S	2	PD24NOV17	2095.50	2122.60	USD	-1,355.00	
24AUG17	S	5	PD24NOV17	2094.50	2122.60	USD	-3,512.50	
24AUG17	S	3	PD24NOV17	2095.00	2122.60	USD	-2,070.00	
24AUG17	S	1	PD24NOV17	2091.50	2122.60	USD	-777.50	
24AUG17	S	1	PD24NOV17	2090.50	2122.60	USD	-802.50	
24AUG17	S	1	PD24NOV17	2091.00	2122.60	USD	-790.00	
24AUG17	B	1	PD24NOV17	2093.00	2122.60	USD	740.00	
24AUG17	S	1	PD24NOV17	2092.00	2122.60	USD	-765.00	
24AUG17	S	1	PD24NOV17	2103.00	2122.60	USD	-490.00	
24AUG17	S	3	PD24NOV17	2101.50	2122.60	USD	-1,582.50	
24AUG17	S	2	PD24NOV17	2100.50	2122.60	USD	-1,105.00	
24AUG17	S	3	PD24NOV17	2100.00	2122.60	USD	-1,695.00	
24AUG17	S	2	PD24NOV17	2099.50	2122.60	USD	-1,155.00	
24AUG17	B	2	PD24NOV17	2103.50	2122.60	USD	955.00	
24AUG17	B	2	PD24NOV17	2104.00	2122.60	USD	930.00	
25AUG17	B	1	PD24NOV17	2097.50	2122.60	USD	627.50	
25AUG17	B	2	PD24NOV17	2096.50	2122.60	USD	1,305.00	
25AUG17	B	1	PD24NOV17	2097.00	2122.60	USD	640.00	
25AUG17	B	2	PD24NOV17	2095.00	2122.60	USD	1,380.00	
25AUG17	B	6	PD24NOV17	2096.00	2122.60	USD	3,990.00	
25AUG17	B	5	PD24NOV17	2095.50	2122.60	USD	3,387.50	
25AUG17	S	1	PD24NOV17	2087.00	2122.60	USD	-890.00	
25AUG17	S	1	PD24NOV17	2086.50	2122.60	USD	-902.50	
25AUG17	B	1	PD24NOV17	2085.50	2122.60	USD	927.50	
25AUG17	S	1	PD24NOV17	2085.50	2122.60	USD	-927.50	
25AUG17	S	7	PD24NOV17	2086.00	2122.60	USD	-6,405.00	
25AUG17	S	1	PD24NOV17	2091.00	2122.60	USD	-790.00	
25AUG17	S	3	PD24NOV17	2090.50	2122.60	USD	-2,407.50	
25AUG17	B	2	PD24NOV17	2096.00	2122.60	USD	1,330.00	
25AUG17	B	1	PD24NOV17	2095.50	2122.60	USD	677.50	
25AUG17	B	1	PD24NOV17	2095.00	2122.60	USD	690.00	
25AUG17	S	1	PD24NOV17	2088.00	2122.60	USD	-865.00	
25AUG17	S	3	PD24NOV17	2087.50	2122.60	USD	-2,632.50	
25AUG17	B	1	PD24NOV17	2071.00	2122.60	USD	1,290.00	
25AUG17	B	3	PD24NOV17	2070.50	2122.60	USD	3,907.50	
25AUG17	S	4	PD24NOV17	2076.50	2122.60	USD	-4,610.00	
11SEP17	S	9	PD24NOV17	2117.22	2122.60	USD	-1,210.50	
	NET	0 AVG BGHT 2089.71	SOLD 2097.02				16,074.50	0.00
29AUG17	S	1	PD29NOV17	2074.00	2124.18	USD	-1,254.50	
29AUG17	B	2	PD29NOV17	2079.00	2124.18	USD	2,259.00	
29AUG17	S	1	PD29NOV17	2074.50	2124.18	USD	-1,242.00	
29AUG17	B	5	PD29NOV17	2077.50	2124.18	USD	5,835.00	
29AUG17	B	1	PD29NOV17	2087.00	2124.18	USD	929.50	
29AUG17	B	3	PD29NOV17	2086.50	2124.18	USD	2,826.00	
29AUG17	B	1	PD29NOV17	2074.00	2124.18	USD	1,254.50	
29AUG17	B	1	PD29NOV17	2083.00	2124.18	USD	1,029.50	
29AUG17	S	2	PD29NOV17	2094.50	2124.18	USD	-1,484.00	
29AUG17	S	1	PD29NOV17	2096.00	2124.18	USD	-704.50	
29AUG17	S	4	PD29NOV17	2098.50	2124.18	USD	-2,568.00	
29AUG17	S	1	PD29NOV17	2096.50	2124.18	USD	-692.00	
29AUG17	S	3	PD29NOV17	2092.50	2124.18	USD	-2,376.00	
29AUG17	S	3	PD29NOV17	2093.50	2124.18	USD	-2,301.00	
30AUG17	B	3	PD29NOV17	2087.51	2124.18	USD	2,750.25	
	NET	0 AVG BGHT 2081.97	SOLD 2092.63				4,261.75	0.00
30AUG17	B	2	PD30NOV17	2102.50	2124.49	USD	1,099.50	
30AUG17	S	2	PD30NOV17	2085.50	2124.49	USD	-1,949.50	
30AUG17	S	1	PD30NOV17	2086.00	2124.49	USD	-962.25	

OPEN POSITION STATEMENT



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30AUG17	S	1	PD30NOV17	2084.50	2124.49	USD	-999.75	
30AUG17	B	1	PD30NOV17	2089.00	2124.49	USD	887.25	
30AUG17	B	5	PD30NOV17	2088.50	2124.49	USD	4,498.75	
31AUG17	B	6	PD30NOV17	2093.00	2124.49	USD	4,723.50	
31AUG17	B	2	PD30NOV17	2098.00	2124.49	USD	1,324.50	
31AUG17	B	1	PD30NOV17	2102.50	2124.49	USD	549.75	
31AUG17	S	1	PD30NOV17	2100.00	2124.49	USD	-612.25	
31AUG17	B	2	PD30NOV17	2100.00	2124.49	USD	1,224.50	
31AUG17	B	2	PD30NOV17	2100.50	2124.49	USD	1,199.50	
31AUG17	B	1	PD30NOV17	2095.00	2124.49	USD	737.25	
31AUG17	S	1	PD30NOV17	2131.50	2124.49	USD	175.25	
31AUG17	S	3	PD30NOV17	2130.00	2124.49	USD	413.25	
31AUG17	S	1	PD30NOV17	2131.00	2124.49	USD	162.75	
31AUG17	S	7	PD30NOV17	2130.50	2124.49	USD	1,051.75	
31AUG17	S	2	PD30NOV17	2128.50	2124.49	USD	200.50	
31AUG17	B	4	PD30NOV17	2124.00	2124.49	USD	49.00	
31AUG17	B	2	PD30NOV17	2122.00	2124.49	USD	124.50	
31AUG17	B	1	PD30NOV17	2121.00	2124.49	USD	87.25	
31AUG17	S	1	PD30NOV17	2130.50	2124.49	USD	150.25	
11SEP17	S	5	PD30NOV17	2118.91	2124.49	USD	-697.50	
15SEP17	S	4	PD30NOV17	2081.99	2124.49	USD	-4,250.00	
	NET	0AVG BGHT 2101.72	SOLD 2114.40				9,187.75	0.00
01SEP17	S	1	PD01DEC17	2136.50	2124.81	USD	292.25	
01SEP17	S	1	PD01DEC17	2136.00	2124.81	USD	279.75	
01SEP17	S	1	PD01DEC17	2135.00	2124.81	USD	254.75	
01SEP17	S	2	PD01DEC17	2138.00	2124.81	USD	659.50	
01SEP17	S	1	PD01DEC17	2139.00	2124.81	USD	354.75	
01SEP17	S	1	PD01DEC17	2141.00	2124.81	USD	404.75	
01SEP17	S	2	PD01DEC17	2140.50	2124.81	USD	784.50	
01SEP17	B	1	PD01DEC17	2122.50	2124.81	USD	57.75	
01SEP17	B	2	PD01DEC17	2123.00	2124.81	USD	90.50	
01SEP17	B	1	PD01DEC17	2123.50	2124.81	USD	32.75	
01SEP17	B	14	PD01DEC17	2128.50	2124.81	USD	-1,291.50	
01SEP17	B	4	PD01DEC17	2127.00	2124.81	USD	-219.00	
01SEP17	B	5	PD01DEC17	2127.50	2124.81	USD	-336.25	
01SEP17	B	5	PD01DEC17	2130.00	2124.81	USD	-648.75	
01SEP17	B	3	PD01DEC17	2126.50	2124.81	USD	-126.75	
01SEP17	B	6	PD01DEC17	2128.00	2124.81	USD	-478.50	
01SEP17	S	1	PD01DEC17	2127.00	2124.81	USD	54.75	
01SEP17	S	1	PD01DEC17	2126.50	2124.81	USD	42.25	
11SEP17	S	26	PD01DEC17	2119.19	2124.81	USD	-3,653.00	
22SEP17	S	4	PD01DEC17	2153.71	2124.81	USD	2,890.00	
	NET	0AVG BGHT 2127.66	SOLD 2127.12				-555.50	0.00
04SEP17	S	1	PD04DEC17	2117.50	2125.76	USD	-206.50	
04SEP17	S	1	PD04DEC17	2117.00	2125.76	USD	-219.00	
04SEP17	S	1	PD04DEC17	2122.00	2125.76	USD	-94.00	
04SEP17	S	4	PD04DEC17	2123.00	2125.76	USD	-276.00	
04SEP17	S	6	PD04DEC17	2122.50	2125.76	USD	-489.00	
04SEP17	S	2	PD04DEC17	2124.50	2125.76	USD	-63.00	
04SEP17	S	1	PD04DEC17	2125.00	2125.76	USD	-19.00	
04SEP17	S	3	PD04DEC17	2125.50	2125.76	USD	-19.50	
04SEP17	B	3	PD04DEC17	2114.00	2125.76	USD	882.00	
04SEP17	B	2	PD04DEC17	2115.00	2125.76	USD	538.00	
04SEP17	B	2	PD04DEC17	2116.00	2125.76	USD	488.00	
04SEP17	B	2	PD04DEC17	2116.50	2125.76	USD	463.00	
04SEP17	B	3	PD04DEC17	2118.00	2125.76	USD	582.00	
04SEP17	B	1	PD04DEC17	2117.50	2125.76	USD	206.50	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0119B

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ALUMINIUM 25 TONNES. THIS IS AN</u>							
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04SEP17	B	2	PD04DEC17	2115.50	2125.76	USD	513.00	
04SEP17	B	3	PD04DEC17	2113.00	2125.76	USD	957.00	
04SEP17	B	1	PD04DEC17	2114.50	2125.76	USD	281.50	
04SEP17	B	1	PD04DEC17	2116.50	2125.76	USD	231.50	
04SEP17	B	1	PD04DEC17	2117.50	2125.76	USD	206.50	
11SEP17	S	2	PD04DEC17	2120.03	2125.76	USD	-286.50	
	NET	0 AVG	BGHT 2115.57		SOLD 2122.57		3,676.50	0.00
05SEP17	S	3	PD05DEC17	2121.00	2126.07	USD	-380.25	
05SEP17	S	2	PD05DEC17	2120.50	2126.07	USD	-278.50	
05SEP17	S	3	PD05DEC17	2117.00	2126.07	USD	-680.25	
05SEP17	S	1	PD05DEC17	2116.50	2126.07	USD	-239.25	
05SEP17	S	2	PD05DEC17	2118.50	2126.07	USD	-378.50	
05SEP17	S	1	PD05DEC17	2118.00	2126.07	USD	-201.75	
05SEP17	B	1	PD05DEC17	2112.50	2126.07	USD	339.25	
05SEP17	B	1	PD05DEC17	2112.00	2126.07	USD	351.75	
05SEP17	B	1	PD05DEC17	2111.50	2126.07	USD	364.25	
05SEP17	S	1	PD05DEC17	2112.00	2126.07	USD	-351.75	
05SEP17	B	1	PD05DEC17	2109.00	2126.07	USD	426.75	
05SEP17	B	3	PD05DEC17	2108.00	2126.07	USD	1,355.25	
05SEP17	B	1	PD05DEC17	2108.50	2126.07	USD	439.25	
05SEP17	B	4	PD05DEC17	2107.50	2126.07	USD	1,857.00	
05SEP17	B	3	PD05DEC17	2114.00	2126.07	USD	905.25	
05SEP17	S	4	PD05DEC17	2104.00	2126.07	USD	-2,207.00	
05SEP17	S	3	PD05DEC17	2104.50	2126.07	USD	-1,617.75	
05SEP17	S	5	PD05DEC17	2105.00	2126.07	USD	-2,633.75	
05SEP17	S	4	PD05DEC17	2105.50	2126.07	USD	-2,057.00	
05SEP17	B	3	PD05DEC17	2111.00	2126.07	USD	1,130.25	
05SEP17	S	1	PD05DEC17	2110.00	2126.07	USD	-401.75	
05SEP17	S	1	PD05DEC17	2115.00	2126.07	USD	-276.75	
05SEP17	S	5	PD05DEC17	2117.50	2126.07	USD	-1,071.25	
05SEP17	S	1	PD05DEC17	2106.50	2126.07	USD	-489.25	
11SEP17	B	19	PD05DEC17	2120.51	2126.07	USD	2,641.00	
	NET	0 AVG	BGHT 2115.46		SOLD 2111.73		-3,454.75	0.00
06SEP17	B	1	PD06DEC17	2092.00	2126.39	USD	859.75	
06SEP17	B	1	PD06DEC17	2091.50	2126.39	USD	872.25	
06SEP17	B	2	PD06DEC17	2093.00	2126.39	USD	1,669.50	
06SEP17	B	1	PD06DEC17	2092.50	2126.39	USD	847.25	
06SEP17	B	2	PD06DEC17	2094.00	2126.39	USD	1,619.50	
06SEP17	B	4	PD06DEC17	2093.50	2126.39	USD	3,289.00	
06SEP17	B	1	PD06DEC17	2095.50	2126.39	USD	772.25	
06SEP17	B	4	PD06DEC17	2096.00	2126.39	USD	3,039.00	
06SEP17	S	1	PD06DEC17	2093.00	2126.39	USD	-834.75	
06SEP17	S	1	PD06DEC17	2089.00	2126.39	USD	-934.75	
06SEP17	S	1	PD06DEC17	2088.50	2126.39	USD	-947.25	
06SEP17	S	5	PD06DEC17	2088.00	2126.39	USD	-4,798.75	
06SEP17	S	2	PD06DEC17	2087.50	2126.39	USD	-1,944.50	
06SEP17	S	1	PD06DEC17	2086.00	2126.39	USD	-1,009.75	
06SEP17	S	4	PD06DEC17	2086.50	2126.39	USD	-3,989.00	
06SEP17	S	1	PD06DEC17	2085.00	2126.39	USD	-1,034.75	
06SEP17	S	1	PD06DEC17	2085.50	2126.39	USD	-1,022.25	
06SEP17	S	3	PD06DEC17	2087.00	2126.39	USD	-2,954.25	
06SEP17	S	1	PD06DEC17	2090.00	2126.39	USD	-909.75	
06SEP17	S	6	PD06DEC17	2091.00	2126.39	USD	-5,308.50	
06SEP17	S	3	PD06DEC17	2092.00	2126.39	USD	-2,579.25	
06SEP17	S	2	PD06DEC17	2103.00	2126.39	USD	-1,169.50	
06SEP17	S	1	PD06DEC17	2110.50	2126.39	USD	-397.25	
06SEP17	S	1	PD06DEC17	2112.50	2126.39	USD	-347.25	

OPEN POSITION STATEMENT



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	<u>LME ALUMINIUM 25 TONNES. THIS IS AN</u>							
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11SEP17	B	18	PD06DEC17	2120.79	2126.39	USD	2,520.00	
	NET	0 AVG BGHT 2108.17	SOLD 2090.88				-14,693.00	0.00
07SEP17	S	1	PD07DEC17	2105.00	2126.71	USD	-542.75	
07SEP17	B	1	PD07DEC17	2104.50	2126.71	USD	555.25	
07SEP17	S	4	PD07DEC17	2097.00	2126.71	USD	-2,971.00	
07SEP17	S	2	PD07DEC17	2091.00	2126.71	USD	-1,785.50	
07SEP17	S	4	PD07DEC17	2093.00	2126.71	USD	-3,371.00	
07SEP17	S	11	PD07DEC17	2092.00	2126.71	USD	-9,545.25	
07SEP17	S	1	PD07DEC17	2092.50	2126.71	USD	-855.25	
07SEP17	S	1	PD07DEC17	2111.00	2126.71	USD	-392.75	
07SEP17	S	3	PD07DEC17	2110.50	2126.71	USD	-1,215.75	
07SEP17	S	2	PD07DEC17	2109.50	2126.71	USD	-860.50	
07SEP17	S	2	PD07DEC17	2109.00	2126.71	USD	-885.50	
07SEP17	S	4	PD07DEC17	2110.00	2126.71	USD	-1,671.00	
07SEP17	S	1	PD07DEC17	2112.00	2126.71	USD	-367.75	
07SEP17	S	1	PD07DEC17	2111.50	2126.71	USD	-380.25	
07SEP17	S	6	PD07DEC17	2112.50	2126.71	USD	-2,131.50	
07SEP17	B	2	PD07DEC17	2108.00	2126.71	USD	935.50	
11SEP17	B	36	PD07DEC17	2121.08	2126.71	USD	5,067.00	
15SEP17	B	4	PD07DEC17	2084.17	2126.71	USD	4,254.00	
	NET	0 AVG BGHT 2116.65	SOLD 2101.62				-16,164.00	0.00
08SEP17	B	6	PD08DEC17	2117.00	2127.02	USD	1,503.00	
08SEP17	B	4	PD08DEC17	2119.00	2127.02	USD	802.00	
08SEP17	B	16	PD08DEC17	2119.50	2127.02	USD	3,008.00	
08SEP17	S	1	PD08DEC17	2121.50	2127.02	USD	-138.00	
08SEP17	S	1	PD08DEC17	2121.00	2127.02	USD	-150.50	
08SEP17	S	3	PD08DEC17	2114.50	2127.02	USD	-939.00	
08SEP17	S	1	PD08DEC17	2115.50	2127.02	USD	-288.00	
08SEP17	S	1	PD08DEC17	2115.00	2127.02	USD	-300.50	
08SEP17	S	3	PD08DEC17	2109.00	2127.02	USD	-1,351.50	
08SEP17	S	3	PD08DEC17	2111.00	2127.02	USD	-1,201.50	
08SEP17	S	6	PD08DEC17	2111.50	2127.02	USD	-2,328.00	
08SEP17	S	4	PD08DEC17	2110.00	2127.02	USD	-1,702.00	
08SEP17	S	2	PD08DEC17	2110.50	2127.02	USD	-826.00	
08SEP17	S	6	PD08DEC17	2109.50	2127.02	USD	-2,628.00	
08SEP17	S	1	PD08DEC17	2095.50	2127.02	USD	-788.00	
08SEP17	S	2	PD08DEC17	2095.00	2127.02	USD	-1,601.00	
08SEP17	S	2	PD08DEC17	2097.50	2127.02	USD	-1,476.00	
08SEP17	B	1	PD08DEC17	2093.50	2127.02	USD	838.00	
08SEP17	B	2	PD08DEC17	2096.50	2127.02	USD	1,526.00	
08SEP17	B	1	PD08DEC17	2095.00	2127.02	USD	800.50	
08SEP17	B	1	PD08DEC17	2095.50	2127.02	USD	788.00	
08SEP17	B	1	PD08DEC17	2089.00	2127.02	USD	950.50	
08SEP17	B	1	PD08DEC17	2095.00	2127.02	USD	800.50	
08SEP17	S	1	PD08DEC17	2111.00	2127.02	USD	-400.50	
08SEP17	B	1	PD08DEC17	2096.00	2127.02	USD	775.50	
08SEP17	S	7	PD08DEC17	2101.00	2127.02	USD	-4,553.50	
08SEP17	S	1	PD08DEC17	2102.50	2127.02	USD	-613.00	
08SEP17	S	2	PD08DEC17	2101.50	2127.02	USD	-1,276.00	
08SEP17	S	2	PD08DEC17	2100.00	2127.02	USD	-1,351.00	
08SEP17	S	1	PD08DEC17	2099.00	2127.02	USD	-700.50	
08SEP17	S	3	PD08DEC17	2099.50	2127.02	USD	-2,064.00	
08SEP17	S	5	PD08DEC17	2097.00	2127.02	USD	-3,752.50	
08SEP17	B	1	PD08DEC17	2099.00	2127.02	USD	700.50	
08SEP17	B	4	PD08DEC17	2099.50	2127.02	USD	2,752.00	
08SEP17	B	4	PD08DEC17	2093.00	2127.02	USD	3,402.00	
08SEP17	S	1	PD08DEC17	2096.50	2127.02	USD	-763.00	

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08SEP17	B	5	PD08DEC17	2094.00	2127.02	USD	4,127.50	
08SEP17	S	3	PD08DEC17	2093.00	2127.02	USD	-2,551.50	
08SEP17	B	2	PD08DEC17	2092.00	2127.02	USD	1,751.00	
08SEP17	S	2	PD08DEC17	2091.00	2127.02	USD	-1,801.00	
08SEP17	S	3	PD08DEC17	2096.00	2127.02	USD	-2,326.50	
08SEP17	B	5	PD08DEC17	2096.00	2127.02	USD	3,877.50	
11SEP17	B	10	PD08DEC17	2121.36	2127.02	USD	1,415.00	
15SEP17	B	2	PD08DEC17	2084.25	2127.02	USD	2,138.50	
	NET	0AVG	BGHT 2107.94	SOLD 2104.41			-5,915.00	0.00
11SEP17	B	1	PD11DEC17	2099.50	2127.97	USD	711.75	
11SEP17	B	1	PD11DEC17	2100.00	2127.97	USD	699.25	
11SEP17	B	4	PD11DEC17	2102.00	2127.97	USD	2,597.00	
11SEP17	B	7	PD11DEC17	2102.50	2127.97	USD	4,457.25	
11SEP17	B	6	PD11DEC17	2103.00	2127.97	USD	3,745.50	
11SEP17	B	9	PD11DEC17	2103.50	2127.97	USD	5,505.75	
11SEP17	B	1	PD11DEC17	2106.00	2127.97	USD	549.25	
11SEP17	B	2	PD11DEC17	2109.50	2127.97	USD	923.50	
11SEP17	B	2	PD11DEC17	2110.50	2127.97	USD	873.50	
11SEP17	B	1	PD11DEC17	2111.00	2127.97	USD	424.25	
11SEP17	B	1	PD11DEC17	2111.50	2127.97	USD	411.75	
11SEP17	B	5	PD11DEC17	2112.00	2127.97	USD	1,996.25	
11SEP17	B	1	PD11DEC17	2127.00	2127.97	USD	24.25	
11SEP17	B	1	PD11DEC17	2126.50	2127.97	USD	36.75	
11SEP17	B	1	PD11DEC17	2111.00	2127.97	USD	424.25	
11SEP17	B	1	PD11DEC17	2127.50	2127.97	USD	11.75	
11SEP17	B	1	PD11DEC17	2121.50	2127.97	USD	161.75	
11SEP17	B	1	PD11DEC17	2124.50	2127.97	USD	86.75	
11SEP17	B	8	PD11DEC17	2126.00	2127.97	USD	394.00	
11SEP17	B	2	PD11DEC17	2129.00	2127.97	USD	-51.50	
11SEP17	B	1	PD11DEC17	2127.50	2127.97	USD	11.75	
11SEP17	B	13	PD11DEC17	2128.00	2127.97	USD	-9.75	
11SEP17	B	1	PD11DEC17	2124.00	2127.97	USD	99.25	
11SEP17	B	3	PD11DEC17	2125.50	2127.97	USD	185.25	
11SEP17	B	1	PD11DEC17	2122.50	2127.97	USD	136.75	
11SEP17	B	1	PD11DEC17	2123.00	2127.97	USD	124.25	
11SEP17	B	1	PD11DEC17	2119.50	2127.97	USD	211.75	
11SEP17	B	1	PD11DEC17	2120.00	2127.97	USD	199.25	
11SEP17	B	1	PD11DEC17	2117.00	2127.97	USD	274.25	
11SEP17	B	1	PD11DEC17	2116.00	2127.97	USD	299.25	
11SEP17	B	2	PD11DEC17	2116.50	2127.97	USD	573.50	
11SEP17	B	4	PD11DEC17	2117.50	2127.97	USD	1,047.00	
11SEP17	B	3	PD11DEC17	2118.00	2127.97	USD	747.75	
11SEP17	B	1	PD11DEC17	2116.00	2127.97	USD	299.25	
15SEP17	S	2	PD11DEC17	2084.80	2127.97	USD	-2,158.50	
15SEP17	S	6	PD11DEC17	2085.00	2127.97	USD	-6,445.50	
19SEP17	S	3	PD11DEC17	2122.71	2127.97	USD	-394.50	
22SEP17	S	79	PD11DEC17	2156.65	2127.97	USD	56,643.00	
	NET	0AVG	BGHT 2115.44	SOLD 2149.15			75,827.00	0.00
12SEP17	S	3	PD12DEC17	2118.00	2128.29	USD	-771.75	
12SEP17	S	1	PD12DEC17	2118.50	2128.29	USD	-244.75	
12SEP17	S	3	PD12DEC17	2117.50	2128.29	USD	-809.25	
12SEP17	S	3	PD12DEC17	2117.00	2128.29	USD	-846.75	
12SEP17	S	2	PD12DEC17	2116.50	2128.29	USD	-589.50	
12SEP17	S	3	PD12DEC17	2115.00	2128.29	USD	-996.75	
12SEP17	S	2	PD12DEC17	2114.00	2128.29	USD	-714.50	
12SEP17	S	1	PD12DEC17	2114.50	2128.29	USD	-344.75	
12SEP17	S	1	PD12DEC17	2116.00	2128.29	USD	-307.25	

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12SEP17	B	2	PD12DEC17	2120.00	2128.29	USD	414.50	
12SEP17	S	1	PD12DEC17	2125.50	2128.29	USD	-69.75	
12SEP17	B	1	PD12DEC17	2114.50	2128.29	USD	344.75	
12SEP17	B	2	PD12DEC17	2116.00	2128.29	USD	614.50	
12SEP17	B	2	PD12DEC17	2115.00	2128.29	USD	664.50	
12SEP17	B	2	PD12DEC17	2115.50	2128.29	USD	639.50	
12SEP17	B	1	PD12DEC17	2116.50	2128.29	USD	294.75	
12SEP17	B	1	PD12DEC17	2118.50	2128.29	USD	244.75	
12SEP17	B	1	PD12DEC17	2121.50	2128.29	USD	169.75	
12SEP17	B	1	PD12DEC17	2122.00	2128.29	USD	157.25	
12SEP17	B	1	PD12DEC17	2122.50	2128.29	USD	144.75	
12SEP17	B	4	PD12DEC17	2123.50	2128.29	USD	479.00	
12SEP17	B	7	PD12DEC17	2124.00	2128.29	USD	750.75	
12SEP17	B	1	PD12DEC17	2125.00	2128.29	USD	82.25	
12SEP17	B	2	PD12DEC17	2124.50	2128.29	USD	189.50	
12SEP17	S	1	PD12DEC17	2125.00	2128.29	USD	-82.25	
12SEP17	S	1	PD12DEC17	2131.00	2128.29	USD	67.75	
12SEP17	S	1	PD12DEC17	2130.50	2128.29	USD	55.25	
12SEP17	S	1	PD12DEC17	2133.00	2128.29	USD	117.75	
12SEP17	S	1	PD12DEC17	2134.50	2128.29	USD	155.25	
12SEP17	S	3	PD12DEC17	2129.50	2128.29	USD	90.75	
12SEP17	S	1	PD12DEC17	2128.50	2128.29	USD	5.25	
12SEP17	S	2	PD12DEC17	2131.50	2128.29	USD	160.50	
12SEP17	S	5	PD12DEC17	2129.00	2128.29	USD	88.75	
12SEP17	S	1	PD12DEC17	2130.00	2128.29	USD	42.75	
12SEP17	S	1	PD12DEC17	2129.00	2128.29	USD	17.75	
15SEP17	B	2	PD12DEC17	2085.45	2128.29	USD	2,142.00	
22SEP17	B	8	PD12DEC17	2157.14	2128.29	USD	-5,770.00	
	NET	0 AVG BGHT 2126.65	SOLD 2123.05				-3,413.00	0.00
13SEP17	S	5	PD13DEC17	2140.00	2128.60	USD	1,425.00	
13SEP17	S	1	PD13DEC17	2138.50	2128.60	USD	247.50	
13SEP17	S	2	PD13DEC17	2139.00	2128.60	USD	520.00	
13SEP17	S	1	PD13DEC17	2135.50	2128.60	USD	172.50	
13SEP17	S	2	PD13DEC17	2131.00	2128.60	USD	120.00	
13SEP17	S	4	PD13DEC17	2131.50	2128.60	USD	290.00	
13SEP17	S	1	PD13DEC17	2129.50	2128.60	USD	22.50	
13SEP17	S	6	PD13DEC17	2129.00	2128.60	USD	60.00	
13SEP17	S	4	PD13DEC17	2130.00	2128.60	USD	140.00	
13SEP17	S	1	PD13DEC17	2135.00	2128.60	USD	160.00	
13SEP17	B	1	PD13DEC17	2117.50	2128.60	USD	277.50	
13SEP17	B	3	PD13DEC17	2117.00	2128.60	USD	870.00	
13SEP17	S	1	PD13DEC17	2118.00	2128.60	USD	-265.00	
13SEP17	S	1	PD13DEC17	2117.50	2128.60	USD	-277.50	
13SEP17	B	1	PD13DEC17	2118.50	2128.60	USD	252.50	
13SEP17	S	4	PD13DEC17	2116.00	2128.60	USD	-1,260.00	
13SEP17	S	7	PD13DEC17	2114.00	2128.60	USD	-2,555.00	
13SEP17	S	1	PD13DEC17	2112.00	2128.60	USD	-415.00	
13SEP17	S	3	PD13DEC17	2108.00	2128.60	USD	-1,545.00	
13SEP17	B	1	PD13DEC17	2112.00	2128.60	USD	415.00	
13SEP17	B	2	PD13DEC17	2113.00	2128.60	USD	780.00	
13SEP17	B	1	PD13DEC17	2114.00	2128.60	USD	365.00	
13SEP17	B	2	PD13DEC17	2118.00	2128.60	USD	530.00	
13SEP17	B	1	PD13DEC17	2120.50	2128.60	USD	202.50	
13SEP17	B	3	PD13DEC17	2121.00	2128.60	USD	570.00	
15SEP17	B	2	PD13DEC17	2085.70	2128.60	USD	2,145.00	
19SEP17	B	1	PD13DEC17	2123.48	2128.60	USD	128.00	
22SEP17	B	26	PD13DEC17	2157.44	2128.60	USD	-18,746.00	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0119B

13th October 2017

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Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

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Date	Open Position				Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ALUMINIUM 25 TONNES. THIS IS AN</u>								
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	NET	0 AVG	BGHT	2139.70	SOLD	2125.73		-15,370.50	0.00
14SEP17	B	1	PD14DEC17		2119.50	2128.92	USD	235.50	
14SEP17	B	5	PD14DEC17		2120.00	2128.92	USD	1,115.00	
14SEP17	S	1	PD14DEC17		2120.50	2128.92	USD	-210.50	
14SEP17	S	1	PD14DEC17		2113.50	2128.92	USD	-385.50	
14SEP17	B	1	PD14DEC17		2114.00	2128.92	USD	373.00	
14SEP17	S	1	PD14DEC17		2114.50	2128.92	USD	-360.50	
14SEP17	B	1	PD14DEC17		2117.50	2128.92	USD	285.50	
14SEP17	B	1	PD14DEC17		2117.00	2128.92	USD	298.00	
14SEP17	S	2	PD14DEC17		2116.50	2128.92	USD	-621.00	
14SEP17	B	1	PD14DEC17		2094.00	2128.92	USD	873.00	
19SEP17	S	1	PD14DEC17		2123.57	2128.92	USD	-133.75	
22SEP17	S	4	PD14DEC17		2157.53	2128.92	USD	2,861.00	
	NET	0 AVG	BGHT	2116.20	SOLD	2133.52		4,329.75	0.00
15SEP17	S	1	PD15DEC17		2092.00	2129.24	USD	-931.00	
15SEP17	S	1	PD15DEC17		2084.50	2129.24	USD	-1,118.50	
15SEP17	S	1	PD15DEC17		2084.00	2129.24	USD	-1,131.00	
15SEP17	S	2	PD15DEC17		2091.00	2129.24	USD	-1,912.00	
15SEP17	S	3	PD15DEC17		2090.00	2129.24	USD	-2,943.00	
15SEP17	S	1	PD15DEC17		2087.50	2129.24	USD	-1,043.50	
15SEP17	S	7	PD15DEC17		2090.50	2129.24	USD	-6,779.50	
15SEP17	S	1	PD15DEC17		2088.50	2129.24	USD	-1,018.50	
15SEP17	S	2	PD15DEC17		2089.00	2129.24	USD	-2,012.00	
15SEP17	S	4	PD15DEC17		2095.50	2129.24	USD	-3,374.00	
15SEP17	S	1	PD15DEC17		2095.00	2129.24	USD	-856.00	
15SEP17	S	1	PD15DEC17		2094.50	2129.24	USD	-868.50	
15SEP17	S	1	PD15DEC17		2078.50	2129.24	USD	-1,268.50	
15SEP17	S	1	PD15DEC17		2078.00	2129.24	USD	-1,281.00	
15SEP17	S	1	PD15DEC17		2082.00	2129.24	USD	-1,181.00	
15SEP17	S	2	PD15DEC17		2081.50	2129.24	USD	-2,387.00	
15SEP17	S	1	PD15DEC17		2083.50	2129.24	USD	-1,143.50	
15SEP17	B	3	PD15DEC17		2088.50	2129.24	USD	3,055.50	
15SEP17	B	1	PD15DEC17		2089.00	2129.24	USD	1,006.00	
15SEP17	B	1	PD15DEC17		2090.00	2129.24	USD	981.00	
15SEP17	B	1	PD15DEC17		2087.00	2129.24	USD	1,056.00	
15SEP17	B	2	PD15DEC17		2086.20	2129.24	USD	2,152.00	
19SEP17	B	3	PD15DEC17		2124.05	2129.24	USD	389.25	
22SEP17	B	20	PD15DEC17		2158.02	2129.24	USD	-14,390.00	
	NET	0 AVG	BGHT	2136.66	SOLD	2088.92		-36,998.75	0.00
18SEP17	S	1	PD18DEC17		2100.00	2130.18	USD	-754.50	
18SEP17	B	4	PD18DEC17		2099.00	2130.18	USD	3,118.00	
18SEP17	S	2	PD18DEC17		2096.50	2130.18	USD	-1,684.00	
18SEP17	S	1	PD18DEC17		2098.00	2130.18	USD	-804.50	
18SEP17	B	2	PD18DEC17		2097.00	2130.18	USD	1,659.00	
18SEP17	S	2	PD18DEC17		2093.50	2130.18	USD	-1,834.00	
18SEP17	B	2	PD18DEC17		2091.50	2130.18	USD	1,934.00	
18SEP17	S	1	PD18DEC17		2090.50	2130.18	USD	-992.00	
18SEP17	S	1	PD18DEC17		2092.00	2130.18	USD	-954.50	
18SEP17	S	1	PD18DEC17		2091.00	2130.18	USD	-979.50	
18SEP17	B	2	PD18DEC17		2089.50	2130.18	USD	2,034.00	
18SEP17	B	2	PD18DEC17		2086.50	2130.18	USD	2,184.00	
18SEP17	B	1	PD18DEC17		2087.50	2130.18	USD	1,067.00	
18SEP17	B	2	PD18DEC17		2088.50	2130.18	USD	2,084.00	
18SEP17	B	2	PD18DEC17		2089.00	2130.18	USD	2,059.00	
18SEP17	B	2	PD18DEC17		2091.00	2130.18	USD	1,959.00	
18SEP17	B	1	PD18DEC17		2092.50	2130.18	USD	942.00	

OPEN POSITION STATEMENT



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	<u>LME ALUMINIUM 25 TONNES. THIS IS AN</u>							
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18SEP17	B	1	PD18DEC17	2092.00	2130.18	USD	954.50	
18SEP17	B	2	PD18DEC17	2094.50	2130.18	USD	1,784.00	
18SEP17	B	3	PD18DEC17	2095.00	2130.18	USD	2,638.50	
20SEP17	S	2	PD18DEC17	2176.44	2130.18	USD	2,313.00	
22SEP17	S	15	PD18DEC17	2158.71	2130.18	USD	10,698.75	
	NET	0AVG	BGHT 2092.62	SOLD 2137.89			29,425.75	0.00
19SEP17	B	4	PD19DEC17	2100.00	2130.50	USD	3,050.00	
19SEP17	B	3	PD19DEC17	2103.50	2130.50	USD	2,025.00	
19SEP17	B	4	PD19DEC17	2104.00	2130.50	USD	2,650.00	
19SEP17	B	1	PD19DEC17	2104.50	2130.50	USD	650.00	
19SEP17	B	1	PD19DEC17	2105.00	2130.50	USD	637.50	
19SEP17	S	1	PD19DEC17	2106.00	2130.50	USD	-612.50	
19SEP17	S	1	PD19DEC17	2108.00	2130.50	USD	-562.50	
19SEP17	S	1	PD19DEC17	2107.00	2130.50	USD	-587.50	
19SEP17	S	5	PD19DEC17	2107.50	2130.50	USD	-2,875.00	
19SEP17	S	3	PD19DEC17	2105.50	2130.50	USD	-1,875.00	
19SEP17	B	2	PD19DEC17	2110.00	2130.50	USD	1,025.00	
19SEP17	B	1	PD19DEC17	2111.00	2130.50	USD	487.50	
19SEP17	B	1	PD19DEC17	2110.50	2130.50	USD	500.00	
19SEP17	B	1	PD19DEC17	2112.00	2130.50	USD	462.50	
19SEP17	B	1	PD19DEC17	2112.50	2130.50	USD	450.00	
19SEP17	B	4	PD19DEC17	2113.00	2130.50	USD	1,750.00	
19SEP17	B	1	PD19DEC17	2107.00	2130.50	USD	587.50	
19SEP17	B	4	PD19DEC17	2106.00	2130.50	USD	2,450.00	
19SEP17	S	1	PD19DEC17	2103.00	2130.50	USD	-687.50	
19SEP17	S	2	PD19DEC17	2102.50	2130.50	USD	-1,400.00	
19SEP17	S	5	PD19DEC17	2102.00	2130.50	USD	-3,562.50	
19SEP17	B	1	PD19DEC17	2102.50	2130.50	USD	700.00	
19SEP17	S	3	PD19DEC17	2123.50	2130.50	USD	-525.00	
19SEP17	S	12	PD19DEC17	2124.00	2130.50	USD	-1,950.00	
19SEP17	S	2	PD19DEC17	2125.00	2130.50	USD	-275.00	
19SEP17	S	6	PD19DEC17	2125.50	2130.50	USD	-750.00	
19SEP17	S	3	PD19DEC17	2124.50	2130.50	USD	-450.00	
19SEP17	S	1	PD19DEC17	2122.00	2130.50	USD	-212.50	
19SEP17	S	4	PD19DEC17	2119.00	2130.50	USD	-1,150.00	
19SEP17	S	1	PD19DEC17	2118.50	2130.50	USD	-300.00	
19SEP17	S	2	PD19DEC17	2118.00	2130.50	USD	-625.00	
19SEP17	S	1	PD19DEC17	2117.50	2130.50	USD	-325.00	
19SEP17	S	4	PD19DEC17	2121.00	2130.50	USD	-950.00	
19SEP17	S	6	PD19DEC17	2120.00	2130.50	USD	-1,575.00	
19SEP17	S	2	PD19DEC17	2120.50	2130.50	USD	-500.00	
19SEP17	S	3	PD19DEC17	2119.50	2130.50	USD	-825.00	
19SEP17	S	2	PD19DEC17	2124.00	2130.50	USD	-325.00	
19SEP17	S	1	PD19DEC17	2123.50	2130.50	USD	-175.00	
19SEP17	B	1	PD19DEC17	2127.00	2130.50	USD	87.50	
19SEP17	B	1	PD19DEC17	2127.50	2130.50	USD	75.00	
19SEP17	S	1	PD19DEC17	2126.50	2130.50	USD	-100.00	
20SEP17	B	2	PD19DEC17	2176.92	2130.50	USD	-2,321.00	
22SEP17	B	40	PD19DEC17	2159.20	2130.50	USD	-28,700.00	
	NET	0AVG	BGHT 2137.86	SOLD 2117.80			-36,608.50	0.00
20SEP17	B	1	PD20DEC17	2129.50	2130.50	USD	25.00	
20SEP17	B	3	PD20DEC17	2130.00	2130.50	USD	37.50	
20SEP17	B	1	PD20DEC17	2131.00	2130.50	USD	-12.50	
20SEP17	B	1	PD20DEC17	2132.00	2130.50	USD	-37.50	
20SEP17	S	2	PD20DEC17	2137.00	2130.50	USD	325.00	
20SEP17	B	3	PD20DEC17	2139.00	2130.50	USD	-637.50	
20SEP17	B	2	PD20DEC17	2143.00	2130.50	USD	-625.00	

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ALUMINIUM 25 TONNES. THIS IS AN</u>							
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20SEP17	B	1	PD20DEC17	2143.50	2130.50	USD	-325.00	
20SEP17	B	2	PD20DEC17	2146.50	2130.50	USD	-800.00	
20SEP17	B	3	PD20DEC17	2147.50	2130.50	USD	-1,275.00	
20SEP17	B	2	PD20DEC17	2148.50	2130.50	USD	-900.00	
20SEP17	B	3	PD20DEC17	2149.00	2130.50	USD	-1,387.50	
20SEP17	B	1	PD20DEC17	2151.00	2130.50	USD	-512.50	
20SEP17	B	1	PD20DEC17	2152.00	2130.50	USD	-537.50	
20SEP17	B	5	PD20DEC17	2152.50	2130.50	USD	-2,750.00	
20SEP17	B	3	PD20DEC17	2153.00	2130.50	USD	-1,687.50	
20SEP17	B	2	PD20DEC17	2158.50	2130.50	USD	-1,400.00	
20SEP17	B	2	PD20DEC17	2157.50	2130.50	USD	-1,350.00	
20SEP17	B	4	PD20DEC17	2156.00	2130.50	USD	-2,550.00	
20SEP17	B	4	PD20DEC17	2158.00	2130.50	USD	-2,750.00	
20SEP17	B	12	PD20DEC17	2160.00	2130.50	USD	-8,850.00	
20SEP17	B	6	PD20DEC17	2161.50	2130.50	USD	-4,650.00	
20SEP17	B	2	PD20DEC17	2164.50	2130.50	USD	-1,700.00	
20SEP17	B	3	PD20DEC17	2164.00	2130.50	USD	-2,512.50	
20SEP17	B	1	PD20DEC17	2162.50	2130.50	USD	-800.00	
20SEP17	B	6	PD20DEC17	2163.00	2130.50	USD	-4,875.00	
20SEP17	B	2	PD20DEC17	2165.00	2130.50	USD	-1,725.00	
20SEP17	B	2	PD20DEC17	2167.50	2130.50	USD	-1,850.00	
20SEP17	B	3	PD20DEC17	2161.00	2130.50	USD	-2,287.50	
20SEP17	B	4	PD20DEC17	2159.50	2130.50	USD	-2,900.00	
20SEP17	B	5	PD20DEC17	2159.00	2130.50	USD	-3,562.50	
20SEP17	S	1	PD20DEC17	2168.00	2130.50	USD	937.50	
20SEP17	S	2	PD20DEC17	2174.50	2130.50	USD	2,200.00	
20SEP17	B	1	PD20DEC17	2143.00	2130.50	USD	-312.50	
20SEP17	B	1	PD20DEC17	2153.00	2130.50	USD	-562.50	
20SEP17	B	1	PD20DEC17	2162.00	2130.50	USD	-787.50	
20SEP17	B	1	PD20DEC17	2138.50	2130.50	USD	-200.00	
20SEP17	B	1	PD20DEC17	2185.00	2130.50	USD	-1,362.50	
20SEP17	B	1	PD20DEC17	2186.00	2130.50	USD	-1,387.50	
20SEP17	B	2	PD20DEC17	2185.50	2130.50	USD	-2,750.00	
20SEP17	B	1	PD20DEC17	2186.50	2130.50	USD	-1,400.00	
20SEP17	S	1	PD20DEC17	2184.00	2130.50	USD	1,337.50	
20SEP17	S	4	PD20DEC17	2182.50	2130.50	USD	5,200.00	
20SEP17	S	1	PD20DEC17	2181.00	2130.50	USD	1,262.50	
20SEP17	S	1	PD20DEC17	2178.00	2130.50	USD	1,187.50	
20SEP17	S	1	PD20DEC17	2176.50	2130.50	USD	1,150.00	
20SEP17	S	2	PD20DEC17	2175.50	2130.50	USD	2,250.00	
20SEP17	S	1	PD20DEC17	2165.50	2130.50	USD	875.00	
20SEP17	B	1	PD20DEC17	2168.50	2130.50	USD	-950.00	
20SEP17	S	2	PD20DEC17	2163.50	2130.50	USD	1,650.00	
20SEP17	S	26	PD20DEC17	2165.00	2130.50	USD	22,425.00	
20SEP17	S	1	PD20DEC17	2164.50	2130.50	USD	850.00	
20SEP17	S	2	PD20DEC17	2164.00	2130.50	USD	1,675.00	
20SEP17	S	5	PD20DEC17	2163.00	2130.50	USD	4,062.50	
20SEP17	S	2	PD20DEC17	2166.00	2130.50	USD	1,775.00	
20SEP17	B	1	PD20DEC17	2172.00	2130.50	USD	-1,037.50	
20SEP17	S	5	PD20DEC17	2175.00	2130.50	USD	5,562.50	
20SEP17	S	1	PD20DEC17	2164.50	2130.50	USD	850.00	
20SEP17	S	1	PD20DEC17	2173.50	2130.50	USD	1,075.00	
20SEP17	S	3	PD20DEC17	2171.50	2130.50	USD	3,075.00	
20SEP17	S	3	PD20DEC17	2170.50	2130.50	USD	3,000.00	
20SEP17	S	1	PD20DEC17	2171.00	2130.50	USD	1,012.50	
20SEP17	S	1	PD20DEC17	2174.00	2130.50	USD	1,087.50	
20SEP17	S	4	PD20DEC17	2176.00	2130.50	USD	4,550.00	

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	<u>LME ALUMINIUM 25 TONNES. THIS IS AN</u>							
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20SEP17	S	4	PD20DEC17	2180.50	2130.50	USD	5,000.00	
20SEP17	B	1	PD20DEC17	2181.00	2130.50	USD	-1,262.50	
20SEP17	S	5	PD20DEC17	2180.00	2130.50	USD	6,187.50	
20SEP17	S	5	PD20DEC17	2181.50	2130.50	USD	6,375.00	
20SEP17	B	1	PD20DEC17	2177.50	2130.50	USD	-1,175.00	
20SEP17	B	1	PD20DEC17	2179.00	2130.50	USD	-1,212.50	
20SEP17	S	2	PD20DEC17	2177.50	2130.50	USD	2,350.00	
20SEP17	S	9	PD20DEC17	2177.00	2130.50	USD	10,462.50	
20SEP17	S	3	PD20DEC17	2178.50	2130.50	USD	3,600.00	
20SEP17	S	4	PD20DEC17	2182.00	2130.50	USD	5,150.00	
29SEP17	B	1	PD20DEC17	2099.50	2130.50	USD	775.00	
	NET	0	AVG BGHT 2156.71	SOLD 2171.83			39,687.50	0.00
21SEP17	S	1	PD21DEC17	2178.50	2130.50	USD	1,200.00	
21SEP17	S	1	PD21DEC17	2177.50	2130.50	USD	1,175.00	
21SEP17	S	1	PD21DEC17	2175.00	2130.50	USD	1,112.50	
21SEP17	S	1	PD21DEC17	2172.00	2130.50	USD	1,037.50	
21SEP17	S	1	PD21DEC17	2171.50	2130.50	USD	1,025.00	
21SEP17	S	3	PD21DEC17	2169.00	2130.50	USD	2,887.50	
21SEP17	S	1	PD21DEC17	2169.50	2130.50	USD	975.00	
21SEP17	S	1	PD21DEC17	2176.50	2130.50	USD	1,150.00	
21SEP17	B	1	PD21DEC17	2174.00	2130.50	USD	-1,087.50	
21SEP17	S	1	PD21DEC17	2183.50	2130.50	USD	1,325.00	
21SEP17	B	1	PD21DEC17	2185.50	2130.50	USD	-1,375.00	
21SEP17	B	4	PD21DEC17	2193.50	2130.50	USD	-6,300.00	
21SEP17	B	1	PD21DEC17	2189.50	2130.50	USD	-1,475.00	
21SEP17	S	1	PD21DEC17	2187.00	2130.50	USD	1,412.50	
21SEP17	S	1	PD21DEC17	2186.00	2130.50	USD	1,387.50	
21SEP17	S	2	PD21DEC17	2186.50	2130.50	USD	2,800.00	
21SEP17	S	1	PD21DEC17	2185.50	2130.50	USD	1,375.00	
21SEP17	S	1	PD21DEC17	2185.00	2130.50	USD	1,362.50	
21SEP17	B	1	PD21DEC17	2184.50	2130.50	USD	-1,350.00	
21SEP17	B	2	PD21DEC17	2177.00	2130.50	USD	-2,325.00	
21SEP17	B	2	PD21DEC17	2180.00	2130.50	USD	-2,475.00	
21SEP17	B	3	PD21DEC17	2179.50	2130.50	USD	-3,675.00	
21SEP17	B	1	PD21DEC17	2178.00	2130.50	USD	-1,187.50	
21SEP17	B	1	PD21DEC17	2178.50	2130.50	USD	-1,200.00	
21SEP17	B	1	PD21DEC17	2181.50	2130.50	USD	-1,275.00	
21SEP17	B	1	PD21DEC17	2182.00	2130.50	USD	-1,287.50	
21SEP17	B	4	PD21DEC17	2182.50	2130.50	USD	-5,200.00	
21SEP17	B	1	PD21DEC17	2197.00	2130.50	USD	-1,662.50	
21SEP17	B	1	PD21DEC17	2175.50	2130.50	USD	-1,125.00	
21SEP17	B	2	PD21DEC17	2172.00	2130.50	USD	-2,075.00	
21SEP17	B	2	PD21DEC17	2172.50	2130.50	USD	-2,100.00	
21SEP17	S	2	PD21DEC17	2167.00	2130.50	USD	1,825.00	
21SEP17	S	4	PD21DEC17	2166.50	2130.50	USD	3,600.00	
21SEP17	S	1	PD21DEC17	2170.50	2130.50	USD	1,000.00	
21SEP17	S	11	PD21DEC17	2166.00	2130.50	USD	9,762.50	
21SEP17	S	1	PD21DEC17	2165.50	2130.50	USD	875.00	
21SEP17	S	2	PD21DEC17	2165.00	2130.50	USD	1,725.00	
21SEP17	S	1	PD21DEC17	2163.50	2130.50	USD	825.00	
21SEP17	S	1	PD21DEC17	2163.00	2130.50	USD	812.50	
21SEP17	S	2	PD21DEC17	2161.00	2130.50	USD	1,525.00	
21SEP17	S	4	PD21DEC17	2162.00	2130.50	USD	3,150.00	
21SEP17	S	4	PD21DEC17	2161.50	2130.50	USD	3,100.00	
21SEP17	S	2	PD21DEC17	2160.00	2130.50	USD	1,475.00	
21SEP17	S	3	PD21DEC17	2148.00	2130.50	USD	1,312.50	
21SEP17	S	4	PD21DEC17	2146.00	2130.50	USD	1,550.00	

OPEN POSITION STATEMENT



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SAP0119B

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	<u>LME ALUMINIUM 25 TONNES. THIS IS AN</u>							
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21SEP17	S	1	PD21DEC17	2145.00	2130.50	USD	362.50	
21SEP17	S	2	PD21DEC17	2146.50	2130.50	USD	800.00	
21SEP17	S	1	PD21DEC17	2144.50	2130.50	USD	350.00	
21SEP17	B	1	PD21DEC17	2148.50	2130.50	USD	-450.00	
21SEP17	B	1	PD21DEC17	2144.50	2130.50	USD	-350.00	
21SEP17	B	1	PD21DEC17	2144.00	2130.50	USD	-337.50	
21SEP17	B	3	PD21DEC17	2142.50	2130.50	USD	-900.00	
21SEP17	B	1	PD21DEC17	2142.00	2130.50	USD	-287.50	
21SEP17	B	1	PD21DEC17	2150.50	2130.50	USD	-500.00	
21SEP17	B	1	PD21DEC17	2147.50	2130.50	USD	-425.00	
21SEP17	B	2	PD21DEC17	2149.00	2130.50	USD	-925.00	
21SEP17	B	2	PD21DEC17	2145.00	2130.50	USD	-725.00	
21SEP17	S	1	PD21DEC17	2148.50	2130.50	USD	450.00	
22SEP17	B	8	PD21DEC17	2157.95	2130.50	USD	-5,490.00	
29SEP17	B	14	PD21DEC17	2099.78	2130.50	USD	10,752.00	
	NET	0AVG BGHT 2153.51	SOLD 2164.70				17,912.00	0.00
22SEP17	B	1	PD22DEC17	2152.00	2130.50	USD	-537.50	
22SEP17	B	1	PD22DEC17	2150.50	2130.50	USD	-500.00	
22SEP17	S	1	PD22DEC17	2141.00	2130.50	USD	262.50	
22SEP17	B	1	PD22DEC17	2140.50	2130.50	USD	-250.00	
22SEP17	S	1	PD22DEC17	2134.50	2130.50	USD	100.00	
22SEP17	S	1	PD22DEC17	2133.50	2130.50	USD	75.00	
22SEP17	S	2	PD22DEC17	2130.50	2130.50	USD	0.00	
22SEP17	S	1	PD22DEC17	2123.00	2130.50	USD	-187.50	
22SEP17	S	1	PD22DEC17	2122.00	2130.50	USD	-212.50	
22SEP17	B	1	PD22DEC17	2120.00	2130.50	USD	262.50	
22SEP17	B	1	PD22DEC17	2118.50	2130.50	USD	300.00	
22SEP17	B	3	PD22DEC17	2119.50	2130.50	USD	825.00	
22SEP17	B	2	PD22DEC17	2121.50	2130.50	USD	450.00	
22SEP17	S	1	PD22DEC17	2125.50	2130.50	USD	-125.00	
22SEP17	B	1	PD22DEC17	2152.50	2130.50	USD	-550.00	
22SEP17	S	2	PD22DEC17	2152.00	2130.50	USD	1,075.00	
22SEP17	S	4	PD22DEC17	2153.50	2130.50	USD	2,300.00	
22SEP17	S	1	PD22DEC17	2154.50	2130.50	USD	600.00	
22SEP17	S	1	PD22DEC17	2158.00	2130.50	USD	687.50	
22SEP17	S	3	PD22DEC17	2159.00	2130.50	USD	2,137.50	
22SEP17	S	1	PD22DEC17	2161.00	2130.50	USD	762.50	
22SEP17	B	1	PD22DEC17	2162.00	2130.50	USD	-787.50	
22SEP17	B	1	PD22DEC17	2159.50	2130.50	USD	-725.00	
22SEP17	B	1	PD22DEC17	2158.50	2130.50	USD	-700.00	
22SEP17	S	1	PD22DEC17	2142.00	2130.50	USD	287.50	
22SEP17	S	1	PD22DEC17	2148.00	2130.50	USD	437.50	
22SEP17	S	2	PD22DEC17	2164.50	2130.50	USD	1,700.00	
22SEP17	S	1	PD22DEC17	2165.50	2130.50	USD	875.00	
22SEP17	S	1	PD22DEC17	2166.00	2130.50	USD	887.50	
22SEP17	S	2	PD22DEC17	2168.00	2130.50	USD	1,875.00	
22SEP17	S	1	PD22DEC17	2154.00	2130.50	USD	587.50	
22SEP17	B	1	PD22DEC17	2153.00	2130.50	USD	-562.50	
22SEP17	B	2	PD22DEC17	2149.00	2130.50	USD	-925.00	
22SEP17	B	1	PD22DEC17	2150.00	2130.50	USD	-487.50	
22SEP17	S	5	PD22DEC17	2156.00	2130.50	USD	3,187.50	
22SEP17	B	3	PD22DEC17	2159.00	2130.50	USD	-2,137.50	
29SEP17	B	13	PD22DEC17	2100.06	2130.50	USD	9,893.00	
	NET	0AVG BGHT 2126.30	SOLD 2150.87				20,880.50	0.00
25SEP17	S	1	PD27DEC17	2147.50	2131.04	USD	411.50	
25SEP17	B	1	PD27DEC17	2173.50	2131.04	USD	-1,061.50	
25SEP17	S	1	PD27DEC17	2154.00	2131.04	USD	574.00	

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	<u>LME ALUMINIUM 25 TONNES. THIS IS AN</u>							
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25SEP17	S	1	PD27DEC17	2167.00	2131.04	USD	899.00	
25SEP17	B	2	PD27DEC17	2170.00	2131.04	USD	-1,948.00	
25SEP17	B	1	PD27DEC17	2170.50	2131.04	USD	-986.50	
25SEP17	S	3	PD27DEC17	2149.50	2131.04	USD	1,384.50	
25SEP17	B	1	PD27DEC17	2149.50	2131.04	USD	-461.50	
25SEP17	S	1	PD27DEC17	2146.00	2131.04	USD	374.00	
25SEP17	S	1	PD27DEC17	2146.50	2131.04	USD	386.50	
25SEP17	S	1	PD27DEC17	2152.00	2131.04	USD	524.00	
25SEP17	S	2	PD27DEC17	2166.00	2131.04	USD	1,748.00	
25SEP17	S	2	PD27DEC17	2165.00	2131.04	USD	1,698.00	
25SEP17	B	1	PD27DEC17	2143.50	2131.04	USD	-311.50	
25SEP17	B	3	PD27DEC17	2143.00	2131.04	USD	-897.00	
25SEP17	B	3	PD27DEC17	2137.50	2131.04	USD	-484.50	
25SEP17	S	5	PD27DEC17	2144.00	2131.04	USD	1,620.00	
25SEP17	B	3	PD27DEC17	2152.50	2131.04	USD	-1,609.50	
25SEP17	B	3	PD27DEC17	2151.00	2131.04	USD	-1,497.00	
25SEP17	B	2	PD27DEC17	2145.50	2131.04	USD	-723.00	
25SEP17	B	4	PD27DEC17	2144.00	2131.04	USD	-1,296.00	
25SEP17	B	1	PD27DEC17	2138.00	2131.04	USD	-174.00	
25SEP17	B	2	PD27DEC17	2152.00	2131.04	USD	-1,048.00	
25SEP17	B	1	PD27DEC17	2153.00	2131.04	USD	-549.00	
25SEP17	B	4	PD27DEC17	2151.50	2131.04	USD	-2,046.00	
25SEP17	B	1	PD27DEC17	2150.00	2131.04	USD	-474.00	
26SEP17	S	1	PD27DEC17	2155.00	2131.04	USD	599.00	
26SEP17	S	3	PD27DEC17	2153.50	2131.04	USD	1,684.50	
26SEP17	S	2	PD27DEC17	2154.00	2131.04	USD	1,148.00	
26SEP17	B	1	PD27DEC17	2146.50	2131.04	USD	-386.50	
26SEP17	S	1	PD27DEC17	2128.50	2131.04	USD	-63.50	
26SEP17	S	1	PD27DEC17	2129.00	2131.04	USD	-51.00	
26SEP17	S	1	PD27DEC17	2131.00	2131.04	USD	-1.00	
26SEP17	B	1	PD27DEC17	2134.00	2131.04	USD	-74.00	
26SEP17	B	1	PD27DEC17	2132.50	2131.04	USD	-36.50	
26SEP17	B	1	PD27DEC17	2127.50	2131.04	USD	88.50	
26SEP17	B	2	PD27DEC17	2127.00	2131.04	USD	202.00	
26SEP17	B	6	PD27DEC17	2125.00	2131.04	USD	906.00	
27SEP17	B	1	PD27DEC17	2132.00	2131.04	USD	-24.00	
27SEP17	S	1	PD27DEC17	2131.00	2131.04	USD	-1.00	
27SEP17	S	1	PD27DEC17	2132.00	2131.04	USD	24.00	
27SEP17	B	3	PD27DEC17	2131.00	2131.04	USD	3.00	
27SEP17	B	1	PD27DEC17	2131.50	2131.04	USD	-11.50	
27SEP17	S	1	PD27DEC17	2141.00	2131.04	USD	249.00	
27SEP17	S	1	PD27DEC17	2139.00	2131.04	USD	199.00	
27SEP17	S	1	PD27DEC17	2139.50	2131.04	USD	211.50	
27SEP17	S	4	PD27DEC17	2140.50	2131.04	USD	946.00	
27SEP17	S	1	PD27DEC17	2138.00	2131.04	USD	174.00	
27SEP17	S	1	PD27DEC17	2137.50	2131.04	USD	161.50	
27SEP17	S	1	PD27DEC17	2134.00	2131.04	USD	74.00	
27SEP17	S	1	PD27DEC17	2132.50	2131.04	USD	36.50	
27SEP17	S	1	PD27DEC17	2140.50	2131.04	USD	236.50	
27SEP17	S	1	PD27DEC17	2131.50	2131.04	USD	11.50	
27SEP17	S	1	PD27DEC17	2128.50	2131.04	USD	-63.50	
27SEP17	S	1	PD27DEC17	2127.50	2131.04	USD	-88.50	
27SEP17	S	2	PD27DEC17	2127.00	2131.04	USD	-202.00	
27SEP17	S	1	PD27DEC17	2126.50	2131.04	USD	-113.50	
27SEP17	B	2	PD27DEC17	2130.50	2131.04	USD	27.00	
27SEP17	B	1	PD27DEC17	2127.50	2131.04	USD	88.50	
27SEP17	B	1	PD27DEC17	2126.50	2131.04	USD	113.50	

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27SEP17	B	4	PD27DEC17	2126.00	2131.04	USD	504.00	
27SEP17	B	1	PD27DEC17	2129.00	2131.04	USD	51.00	
27SEP17	B	4	PD27DEC17	2128.00	2131.04	USD	304.00	
29SEP17	S	16	PD27DEC17	2101.24	2131.04	USD	-11,920.00	
	NET	0AVG BGHT 2139.81	SOLD 2132.86				-10,941.50	0.00
28SEP17	S	1	PD28DEC17	2135.00	2131.14	USD	96.50	
28SEP17	S	1	PD28DEC17	2128.50	2131.14	USD	-66.00	
28SEP17	B	1	PD28DEC17	2137.50	2131.14	USD	-159.00	
28SEP17	S	3	PD28DEC17	2133.00	2131.14	USD	139.50	
28SEP17	B	3	PD28DEC17	2128.00	2131.14	USD	235.50	
28SEP17	B	1	PD28DEC17	2129.00	2131.14	USD	53.50	
28SEP17	S	1	PD28DEC17	2121.00	2131.14	USD	-253.50	
28SEP17	S	1	PD28DEC17	2120.50	2131.14	USD	-266.00	
28SEP17	S	2	PD28DEC17	2120.00	2131.14	USD	-557.00	
28SEP17	S	1	PD28DEC17	2119.00	2131.14	USD	-303.50	
28SEP17	S	1	PD28DEC17	2118.50	2131.14	USD	-316.00	
28SEP17	S	2	PD28DEC17	2130.00	2131.14	USD	-57.00	
28SEP17	S	1	PD28DEC17	2132.50	2131.14	USD	34.00	
28SEP17	S	2	PD28DEC17	2129.50	2131.14	USD	-82.00	
28SEP17	S	2	PD28DEC17	2129.00	2131.14	USD	-107.00	
28SEP17	B	1	PD28DEC17	2131.00	2131.14	USD	3.50	
28SEP17	B	1	PD28DEC17	2136.00	2131.14	USD	-121.50	
28SEP17	B	1	PD28DEC17	2135.50	2131.14	USD	-109.00	
28SEP17	B	6	PD28DEC17	2136.50	2131.14	USD	-804.00	
29SEP17	B	4	PD28DEC17	2101.72	2131.14	USD	2,942.00	
	NET	0AVG BGHT 2126.60	SOLD 2127.28				303.00	0.00
29SEP17	B	2	PD29DEC17	2135.50	2131.25	USD	-212.50	
29SEP17	B	2	PD29DEC17	2136.50	2131.25	USD	-262.50	
29SEP17	B	3	PD29DEC17	2137.00	2131.25	USD	-431.25	
29SEP17	B	2	PD29DEC17	2134.00	2131.25	USD	-137.50	
29SEP17	B	1	PD29DEC17	2135.00	2131.25	USD	-93.75	
29SEP17	B	5	PD29DEC17	2136.00	2131.25	USD	-593.75	
29SEP17	B	1	PD29DEC17	2134.50	2131.25	USD	-81.25	
29SEP17	S	16	PD29DEC17	2101.80	2131.25	USD	-11,780.00	
	NET	0AVG BGHT 2135.78	SOLD 2101.80				-13,592.50	0.00
09OCT17	B	1	PD09JAN18	2146.00	2133.02	USD	-324.50	
09OCT17	B	3	PD09JAN18	2145.00	2133.02	USD	-898.50	
09OCT17	S	4	PD09JAN18	2145.50	2133.02	USD	1,248.00	
09OCT17	B	1	PD09JAN18	2167.00	2133.02	USD	-849.50	
09OCT17	B	1	PD09JAN18	2168.50	2133.02	USD	-887.00	
09OCT17	B	1	PD09JAN18	2166.00	2133.02	USD	-824.50	
	NET	+3AVG BGHT 2154.64	SOLD 2145.50				-2,536.00	0.00
10OCT17	B	1	PD10JAN18	2164.50	2133.18	USD	-783.00	
10OCT17	B	2	PD10JAN18	2157.00	2133.18	USD	-1,191.00	
10OCT17	S	1	PD10JAN18	2158.50	2133.18	USD	633.00	
10OCT17	B	1	PD10JAN18	2166.50	2133.18	USD	-833.00	
10OCT17	S	1	PD10JAN18	2166.50	2133.18	USD	833.00	
10OCT17	S	1	PD10JAN18	2165.00	2133.18	USD	795.50	
10OCT17	S	1	PD10JAN18	2167.00	2133.18	USD	845.50	
10OCT17	S	1	PD10JAN18	2160.00	2133.18	USD	670.50	
10OCT17	S	1	PD10JAN18	2164.50	2133.18	USD	783.00	
10OCT17	B	1	PD10JAN18	2160.00	2133.18	USD	-670.50	
10OCT17	S	4	PD10JAN18	2162.00	2133.18	USD	2,882.00	
10OCT17	S	1	PD10JAN18	2160.50	2133.18	USD	683.00	
10OCT17	S	1	PD10JAN18	2163.50	2133.18	USD	758.00	
10OCT17	B	2	PD10JAN18	2154.00	2133.18	USD	-1,041.00	

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10OCT17	B	1	PD10JAN18	2155.00	2133.18	USD	-545.50	
10OCT17	B	1	PD10JAN18	2155.50	2133.18	USD	-558.00	
	NET	-3AVG	BGHT 2158.17				3,261.50	0.00
			SOLD 2162.79					
11OCT17	S	1	PD11JAN18	2155.00	2133.34	USD	541.50	
11OCT17	S	1	PD11JAN18	2153.00	2133.34	USD	491.50	
11OCT17	S	2	PD11JAN18	2155.50	2133.34	USD	1,108.00	
11OCT17	S	1	PD11JAN18	2156.50	2133.34	USD	579.00	
11OCT17	S	1	PD11JAN18	2154.50	2133.34	USD	529.00	
11OCT17	S	2	PD11JAN18	2151.00	2133.34	USD	883.00	
11OCT17	S	2	PD11JAN18	2158.50	2133.34	USD	1,258.00	
11OCT17	S	1	PD11JAN18	2157.00	2133.34	USD	591.50	
11OCT17	B	1	PD11JAN18	2155.00	2133.34	USD	-541.50	
11OCT17	B	2	PD11JAN18	2149.50	2133.34	USD	-808.00	
11OCT17	B	1	PD11JAN18	2156.50	2133.34	USD	-579.00	
11OCT17	B	1	PD11JAN18	2150.00	2133.34	USD	-416.50	
11OCT17	B	2	PD11JAN18	2148.50	2133.34	USD	-758.00	
11OCT17	B	1	PD11JAN18	2148.00	2133.34	USD	-366.50	
11OCT17	B	1	PD11JAN18	2145.50	2133.34	USD	-304.00	
11OCT17	B	1	PD11JAN18	2146.50	2133.34	USD	-329.00	
11OCT17	B	1	PD11JAN18	2132.00	2133.34	USD	33.50	
11OCT17	B	1	PD11JAN18	2131.50	2133.34	USD	46.00	
11OCT17	B	1	PD11JAN18	2130.50	2133.34	USD	71.00	
11OCT17	S	1	PD11JAN18	2130.50	2133.34	USD	-71.00	
11OCT17	S	1	PD11JAN18	2131.00	2133.34	USD	-58.50	
	NET	0AVG	BGHT 2145.50				1,900.00	0.00
			SOLD 2151.35					
12OCT17	B	1	PD12JAN18	2135.00	2133.50	USD	-37.50	
12OCT17	B	3	PD12JAN18	2135.50	2133.50	USD	-150.00	
12OCT17	B	1	PD12JAN18	2139.50	2133.50	USD	-150.00	
12OCT17	B	4	PD12JAN18	2139.00	2133.50	USD	-550.00	
12OCT17	B	2	PD12JAN18	2137.50	2133.50	USD	-200.00	
12OCT17	S	2	PD12JAN18	2137.00	2133.50	USD	175.00	
12OCT17	B	2	PD12JAN18	2138.50	2133.50	USD	-250.00	
12OCT17	B	2	PD12JAN18	2138.00	2133.50	USD	-225.00	
12OCT17	B	7	PD12JAN18	2144.50	2133.50	USD	-1,925.00	
12OCT17	S	1	PD12JAN18	2145.50	2133.50	USD	300.00	
12OCT17	B	1	PD12JAN18	2140.50	2133.50	USD	-175.00	
12OCT17	B	1	PD12JAN18	2141.50	2133.50	USD	-200.00	
12OCT17	B	2	PD12JAN18	2141.00	2133.50	USD	-375.00	
12OCT17	B	1	PD12JAN18	2140.00	2133.50	USD	-162.50	
13OCT17	S	1	PD12JAN18	2151.00	2133.50	USD	437.50	
13OCT17	S	1	PD12JAN18	2150.00	2133.50	USD	412.50	
13OCT17	B	1	PD12JAN18	2148.00	2133.50	USD	-362.50	
13OCT17	B	1	PD12JAN18	2149.50	2133.50	USD	-400.00	
13OCT17	B	2	PD12JAN18	2148.50	2133.50	USD	-750.00	
13OCT17	S	5	PD12JAN18	2155.00	2133.50	USD	2,687.50	
13OCT17	S	1	PD12JAN18	2154.00	2133.50	USD	512.50	
13OCT17	B	1	PD12JAN18	2160.00	2133.50	USD	-662.50	
13OCT17	B	1	PD12JAN18	2159.00	2133.50	USD	-637.50	
13OCT17	B	1	PD12JAN18	2156.50	2133.50	USD	-575.00	
13OCT17	B	2	PD12JAN18	2155.50	2133.50	USD	-1,100.00	
13OCT17	S	2	PD12JAN18	2162.00	2133.50	USD	1,425.00	
13OCT17	B	4	PD12JAN18	2129.50	2133.50	USD	400.00	
13OCT17	B	2	PD12JAN18	2128.00	2133.50	USD	275.00	
13OCT17	B	1	PD12JAN18	2127.50	2133.50	USD	150.00	
13OCT17	B	1	PD12JAN18	2126.50	2133.50	USD	175.00	
13OCT17	B	1	PD12JAN18	2127.00	2133.50	USD	162.50	
13OCT17	B	1	PD12JAN18	2138.00	2133.50	USD	-112.50	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0119B

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

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FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	NET	+33 AVG BGHT 2140.32	SOLD 2151.81				-1,887.50	0.00
	<u>LME COPPER GRADE A 25 TONNES. THIS</u>							
	<u>IS A LME REGISTERED CLIENT CONTRACT</u>							
04AUG17	B	1	PD03NOV17	6355.00	6860.59	USD	12,639.75	
04AUG17	B	1	PD03NOV17	6355.50	6860.59	USD	12,627.25	
04AUG17	S	1	PD03NOV17	6364.50	6860.59	USD	-12,402.25	
04AUG17	S	1	PD03NOV17	6367.50	6860.59	USD	-12,327.25	
	NET	0 AVG BGHT 6355.25	SOLD 6366.00				537.50	0.00
07AUG17	B	1	PD07NOV17	6428.00	6863.68	USD	10,892.00	
07AUG17	S	1	PD07NOV17	6362.50	6863.68	USD	-12,529.50	
07AUG17	S	1	PD07NOV17	6360.50	6863.68	USD	-12,579.50	
07AUG17	S	1	PD07NOV17	6349.50	6863.68	USD	-12,854.50	
07AUG17	S	1	PD07NOV17	6346.50	6863.68	USD	-12,929.50	
07AUG17	S	1	PD07NOV17	6347.50	6863.68	USD	-12,904.50	
07AUG17	S	1	PD07NOV17	6357.50	6863.68	USD	-12,654.50	
07AUG17	S	1	PD07NOV17	6353.00	6863.68	USD	-12,767.00	
07AUG17	B	1	PD07NOV17	6409.50	6863.68	USD	11,354.50	
07AUG17	B	2	PD07NOV17	6418.00	6863.68	USD	22,284.00	
07AUG17	B	1	PD07NOV17	6419.50	6863.68	USD	11,104.50	
07AUG17	B	1	PD07NOV17	6418.50	6863.68	USD	11,129.50	
07AUG17	S	2	PD07NOV17	6424.50	6863.68	USD	-21,959.00	
07AUG17	B	1	PD07NOV17	6439.00	6863.68	USD	10,617.00	
07AUG17	B	1	PD07NOV17	6440.50	6863.68	USD	10,579.50	
07AUG17	B	1	PD07NOV17	6439.50	6863.68	USD	10,604.50	
07AUG17	B	1	PD07NOV17	6438.50	6863.68	USD	10,629.50	
07AUG17	B	1	PD07NOV17	6425.00	6863.68	USD	10,967.00	
07AUG17	S	1	PD07NOV17	6420.00	6863.68	USD	-11,092.00	
07AUG17	S	1	PD07NOV17	6421.00	6863.68	USD	-11,067.00	
07AUG17	S	1	PD07NOV17	6395.50	6863.68	USD	-11,704.50	
07AUG17	S	1	PD07NOV17	6397.00	6863.68	USD	-11,667.00	
07AUG17	S	1	PD07NOV17	6404.50	6863.68	USD	-11,479.50	
14AUG17	S	1	PD07NOV17	6394.51	6863.68	USD	-11,729.25	
18AUG17	B	2	PD07NOV17	6485.21	6863.68	USD	18,923.50	
30AUG17	B	2	PD07NOV17	6763.72	6863.68	USD	4,998.00	
	NET	0 AVG BGHT 6479.46	SOLD 6383.90				-35,833.75	0.00
08AUG17	S	2	PD08NOV17	6434.50	6864.45	USD	-21,497.50	
08AUG17	S	1	PD08NOV17	6427.00	6864.45	USD	-10,936.25	
08AUG17	B	1	PD08NOV17	6432.00	6864.45	USD	10,811.25	
08AUG17	B	1	PD08NOV17	6409.00	6864.45	USD	11,386.25	
08AUG17	B	1	PD08NOV17	6397.00	6864.45	USD	11,686.25	
08AUG17	S	1	PD08NOV17	6397.50	6864.45	USD	-11,673.75	
08AUG17	S	1	PD08NOV17	6387.00	6864.45	USD	-11,936.25	
08AUG17	S	1	PD08NOV17	6381.00	6864.45	USD	-12,086.25	
08AUG17	S	1	PD08NOV17	6394.00	6864.45	USD	-11,761.25	
08AUG17	B	1	PD08NOV17	6393.00	6864.45	USD	11,786.25	
08AUG17	S	1	PD08NOV17	6399.00	6864.45	USD	-11,636.25	
08AUG17	B	1	PD08NOV17	6429.00	6864.45	USD	10,886.25	
08AUG17	B	2	PD08NOV17	6435.50	6864.45	USD	21,447.50	
08AUG17	B	2	PD08NOV17	6437.50	6864.45	USD	21,347.50	
08AUG17	B	1	PD08NOV17	6440.50	6864.45	USD	10,598.75	
08AUG17	B	1	PD08NOV17	6442.00	6864.45	USD	10,561.25	
08AUG17	B	1	PD08NOV17	6437.00	6864.45	USD	10,686.25	
14AUG17	S	5	PD08NOV17	6394.87	6864.45	USD	-58,697.50	
18AUG17	B	3	PD08NOV17	6485.75	6864.45	USD	28,402.50	
22AUG17	S	2	PD08NOV17	6578.25	6864.45	USD	-14,310.00	
	NET	0 AVG BGHT 6438.85	SOLD 6425.69				-4,935.00	0.00
09AUG17	S	1	PD09NOV17	6435.50	6865.23	USD	-10,743.25	
09AUG17	S	1	PD09NOV17	6457.50	6865.23	USD	-10,193.25	

OPEN POSITION STATEMENT



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13th October 2017

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Suite A, 105 Piccadilly
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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
09AUG17	S	1	PD09NOV17	6458.00	6865.23	USD	-10,180.75	
09AUG17	S	2	PD09NOV17	6455.50	6865.23	USD	-20,486.50	
14AUG17	B	4	PD09NOV17	6395.42	6865.23	USD	46,981.00	
18AUG17	B	1	PD09NOV17	6486.25	6865.23	USD	9,474.50	
	NET	0AVG BGHT 6413.59	SOLD 6452.40				4,851.75	0.00
10AUG17	B	1	PD10NOV17	6463.00	6866.00	USD	10,075.00	
10AUG17	S	1	PD10NOV17	6449.00	6866.00	USD	-10,425.00	
10AUG17	B	1	PD10NOV17	6446.50	6866.00	USD	10,487.50	
10AUG17	B	1	PD10NOV17	6442.50	6866.00	USD	10,587.50	
10AUG17	B	1	PD10NOV17	6441.50	6866.00	USD	10,612.50	
10AUG17	B	1	PD10NOV17	6426.00	6866.00	USD	11,000.00	
10AUG17	B	1	PD10NOV17	6422.50	6866.00	USD	11,087.50	
10AUG17	B	1	PD10NOV17	6431.50	6866.00	USD	10,862.50	
10AUG17	B	1	PD10NOV17	6429.50	6866.00	USD	10,912.50	
10AUG17	B	1	PD10NOV17	6419.50	6866.00	USD	11,162.50	
10AUG17	S	5	PD10NOV17	6405.00	6866.00	USD	-57,625.00	
10AUG17	S	1	PD10NOV17	6401.50	6866.00	USD	-11,612.50	
10AUG17	S	1	PD10NOV17	6398.50	6866.00	USD	-11,687.50	
10AUG17	S	1	PD10NOV17	6388.00	6866.00	USD	-11,950.00	
10AUG17	S	1	PD10NOV17	6389.50	6866.00	USD	-11,912.50	
10AUG17	S	1	PD10NOV17	6414.00	6866.00	USD	-11,300.00	
10AUG17	B	1	PD10NOV17	6420.50	6866.00	USD	11,137.50	
10AUG17	B	2	PD10NOV17	6421.50	6866.00	USD	22,225.00	
10AUG17	B	1	PD10NOV17	6418.00	6866.00	USD	11,200.00	
10AUG17	B	1	PD10NOV17	6413.00	6866.00	USD	11,325.00	
10AUG17	B	4	PD10NOV17	6417.00	6866.00	USD	44,900.00	
10AUG17	B	2	PD10NOV17	6412.00	6866.00	USD	22,700.00	
11AUG17	S	4	PD10NOV17	6365.50	6866.00	USD	-50,050.00	
11AUG17	S	1	PD10NOV17	6367.00	6866.00	USD	-12,475.00	
11AUG17	S	2	PD10NOV17	6364.50	6866.00	USD	-25,075.00	
11AUG17	B	1	PD10NOV17	6364.00	6866.00	USD	12,550.00	
11AUG17	S	2	PD10NOV17	6362.50	6866.00	USD	-25,175.00	
11AUG17	S	1	PD10NOV17	6361.50	6866.00	USD	-12,612.50	
11AUG17	S	2	PD10NOV17	6353.50	6866.00	USD	-25,625.00	
11AUG17	S	1	PD10NOV17	6342.00	6866.00	USD	-13,100.00	
11AUG17	S	1	PD10NOV17	6349.50	6866.00	USD	-12,912.50	
11AUG17	S	1	PD10NOV17	6350.50	6866.00	USD	-12,887.50	
11AUG17	S	1	PD10NOV17	6346.00	6866.00	USD	-13,000.00	
11AUG17	S	4	PD10NOV17	6350.00	6866.00	USD	-51,600.00	
11AUG17	S	1	PD10NOV17	6367.50	6866.00	USD	-12,462.50	
11AUG17	B	1	PD10NOV17	6365.00	6866.00	USD	12,525.00	
11AUG17	B	2	PD10NOV17	6364.00	6866.00	USD	25,100.00	
11AUG17	S	2	PD10NOV17	6399.50	6866.00	USD	-23,325.00	
11AUG17	B	1	PD10NOV17	6397.00	6866.00	USD	11,725.00	
11AUG17	S	1	PD10NOV17	6417.50	6866.00	USD	-11,212.50	
11AUG17	B	1	PD10NOV17	6419.50	6866.00	USD	11,162.50	
11AUG17	B	1	PD10NOV17	6414.00	6866.00	USD	11,300.00	
11AUG17	S	1	PD10NOV17	6412.50	6866.00	USD	-11,337.50	
11AUG17	S	3	PD10NOV17	6415.00	6866.00	USD	-33,825.00	
11AUG17	S	1	PD10NOV17	6429.50	6866.00	USD	-10,912.50	
11AUG17	S	2	PD10NOV17	6424.50	6866.00	USD	-22,075.00	
11AUG17	S	1	PD10NOV17	6401.00	6866.00	USD	-11,625.00	
14AUG17	B	2	PD10NOV17	6395.78	6866.00	USD	23,511.00	
18AUG17	B	6	PD10NOV17	6486.75	6866.00	USD	56,887.50	
22AUG17	B	2	PD10NOV17	6579.45	6866.00	USD	14,327.50	
22AUG17	B	6	PD10NOV17	6579.25	6866.00	USD	43,012.50	
	NET	0AVG BGHT 6454.49	SOLD 6384.33				-75,424.00	0.00

OPEN POSITION STATEMENT



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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
14AUG17	S	1	PD14NOV17	6397.00	6864.67	USD	-11,691.75	
14AUG17	S	1	PD14NOV17	6400.00	6864.67	USD	-11,616.75	
14AUG17	S	1	PD14NOV17	6401.50	6864.67	USD	-11,579.25	
14AUG17	S	1	PD14NOV17	6402.50	6864.67	USD	-11,554.25	
14AUG17	S	1	PD14NOV17	6405.00	6864.67	USD	-11,491.75	
14AUG17	S	1	PD14NOV17	6406.50	6864.67	USD	-11,454.25	
18AUG17	B	6	PD14NOV17	6488.00	6864.67	USD	56,500.50	
	NET	0 AVG	BGHT 6488.00				-12,887.50	0.00
15AUG17	S	2	PD15NOV17	6395.50	6864.00	USD	-23,425.00	
15AUG17	B	1	PD15NOV17	6409.00	6864.00	USD	11,375.00	
15AUG17	B	1	PD15NOV17	6413.50	6864.00	USD	11,262.50	
15AUG17	B	2	PD15NOV17	6418.00	6864.00	USD	22,300.00	
15AUG17	B	1	PD15NOV17	6412.00	6864.00	USD	11,300.00	
15AUG17	B	1	PD15NOV17	6418.50	6864.00	USD	11,137.50	
15AUG17	B	2	PD15NOV17	6375.00	6864.00	USD	24,450.00	
15AUG17	B	1	PD15NOV17	6374.50	6864.00	USD	12,237.50	
15AUG17	B	1	PD15NOV17	6374.00	6864.00	USD	12,250.00	
15AUG17	S	1	PD15NOV17	6376.50	6864.00	USD	-12,187.50	
15AUG17	B	1	PD15NOV17	6365.00	6864.00	USD	12,475.00	
18AUG17	S	8	PD15NOV17	6487.80	6864.00	USD	-75,240.00	
	NET	0 AVG	BGHT 6395.68				17,935.00	0.00
16AUG17	B	1	PD16NOV17	6375.00	6863.00	USD	12,200.00	
16AUG17	B	1	PD16NOV17	6374.00	6863.00	USD	12,225.00	
16AUG17	B	1	PD16NOV17	6380.00	6863.00	USD	12,075.00	
16AUG17	B	1	PD16NOV17	6379.00	6863.00	USD	12,100.00	
16AUG17	B	2	PD16NOV17	6386.50	6863.00	USD	23,825.00	
16AUG17	S	1	PD16NOV17	6446.50	6863.00	USD	-10,412.50	
16AUG17	S	2	PD16NOV17	6456.00	6863.00	USD	-20,350.00	
16AUG17	S	1	PD16NOV17	6485.00	6863.00	USD	-9,450.00	
16AUG17	B	1	PD16NOV17	6494.50	6863.00	USD	9,212.50	
16AUG17	B	1	PD16NOV17	6493.00	6863.00	USD	9,250.00	
16AUG17	B	1	PD16NOV17	6509.50	6863.00	USD	8,837.50	
16AUG17	B	1	PD16NOV17	6515.00	6863.00	USD	8,700.00	
16AUG17	S	1	PD16NOV17	6531.00	6863.00	USD	-8,300.00	
16AUG17	S	1	PD16NOV17	6533.50	6863.00	USD	-8,237.50	
16AUG17	S	1	PD16NOV17	6534.00	6863.00	USD	-8,225.00	
16AUG17	S	1	PD16NOV17	6537.00	6863.00	USD	-8,150.00	
16AUG17	S	3	PD16NOV17	6541.00	6863.00	USD	-24,150.00	
16AUG17	B	1	PD16NOV17	6546.00	6863.00	USD	7,925.00	
16AUG17	S	1	PD16NOV17	6541.50	6863.00	USD	-8,037.50	
16AUG17	S	2	PD16NOV17	6540.00	6863.00	USD	-16,150.00	
16AUG17	S	1	PD16NOV17	6539.50	6863.00	USD	-8,087.50	
16AUG17	S	1	PD16NOV17	6547.00	6863.00	USD	-7,900.00	
16AUG17	B	1	PD16NOV17	6488.00	6863.00	USD	9,375.00	
16AUG17	B	2	PD16NOV17	6562.00	6863.00	USD	15,050.00	
16AUG17	B	1	PD16NOV17	6538.00	6863.00	USD	8,125.00	
16AUG17	B	1	PD16NOV17	6540.50	6863.00	USD	8,062.50	
16AUG17	B	1	PD16NOV17	6539.00	6863.00	USD	8,100.00	
18AUG17	S	1	PD16NOV17	6485.80	6863.00	USD	-9,430.00	
	NET	0 AVG	BGHT 6474.62				18,182.50	0.00
17AUG17	B	1	PD17NOV17	6555.50	6863.47	USD	7,699.25	
17AUG17	S	1	PD17NOV17	6550.00	6863.47	USD	-7,836.75	
17AUG17	B	1	PD17NOV17	6555.00	6863.47	USD	7,711.75	
17AUG17	B	1	PD17NOV17	6562.00	6863.47	USD	7,536.75	
17AUG17	B	1	PD17NOV17	6567.00	6863.47	USD	7,411.75	
17AUG17	B	1	PD17NOV17	6572.50	6863.47	USD	7,274.25	

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17AUG17	B	1	PD17NOV17	6575.00	6863.47	USD	7,211.75	
17AUG17	B	1	PD17NOV17	6579.50	6863.47	USD	7,099.25	
17AUG17	S	3	PD17NOV17	6545.00	6863.47	USD	-23,885.25	
17AUG17	S	1	PD17NOV17	6548.00	6863.47	USD	-7,886.75	
17AUG17	S	1	PD17NOV17	6549.00	6863.47	USD	-7,861.75	
17AUG17	B	4	PD17NOV17	6548.50	6863.47	USD	31,497.00	
17AUG17	B	1	PD17NOV17	6500.50	6863.47	USD	9,074.25	
17AUG17	B	1	PD17NOV17	6512.00	6863.47	USD	8,786.75	
17AUG17	B	2	PD17NOV17	6513.50	6863.47	USD	17,498.50	
17AUG17	B	1	PD17NOV17	6501.50	6863.47	USD	9,049.25	
17AUG17	B	1	PD17NOV17	6510.50	6863.47	USD	8,824.25	
17AUG17	B	1	PD17NOV17	6507.00	6863.47	USD	8,911.75	
17AUG17	B	1	PD17NOV17	6484.00	6863.47	USD	9,486.75	
17AUG17	B	1	PD17NOV17	6481.00	6863.47	USD	9,561.75	
17AUG17	B	1	PD17NOV17	6480.00	6863.47	USD	9,586.75	
17AUG17	B	2	PD17NOV17	6492.50	6863.47	USD	18,548.50	
17AUG17	B	1	PD17NOV17	6486.50	6863.47	USD	9,424.25	
17AUG17	B	1	PD17NOV17	6485.50	6863.47	USD	9,449.25	
17AUG17	B	1	PD17NOV17	6486.00	6863.47	USD	9,436.75	
17AUG17	B	1	PD17NOV17	6484.50	6863.47	USD	9,474.25	
17AUG17	B	1	PD17NOV17	6485.00	6863.47	USD	9,461.75	
17AUG17	B	2	PD17NOV17	6477.50	6863.47	USD	19,298.50	
17AUG17	B	1	PD17NOV17	6477.00	6863.47	USD	9,661.75	
17AUG17	B	1	PD17NOV17	6476.00	6863.47	USD	9,686.75	
17AUG17	S	2	PD17NOV17	6488.50	6863.47	USD	-18,748.50	
17AUG17	B	1	PD17NOV17	6485.00	6863.47	USD	9,461.75	
18AUG17	B	1	PD17NOV17	6456.50	6863.47	USD	10,174.25	
18AUG17	B	1	PD17NOV17	6457.00	6863.47	USD	10,161.75	
18AUG17	B	1	PD17NOV17	6454.00	6863.47	USD	10,236.75	
18AUG17	B	1	PD17NOV17	6453.00	6863.47	USD	10,261.75	
18AUG17	B	1	PD17NOV17	6443.50	6863.47	USD	10,499.25	
18AUG17	S	1	PD17NOV17	6451.00	6863.47	USD	-10,311.75	
18AUG17	S	1	PD17NOV17	6454.50	6863.47	USD	-10,224.25	
18AUG17	S	1	PD17NOV17	6455.00	6863.47	USD	-10,211.75	
18AUG17	S	1	PD17NOV17	6452.00	6863.47	USD	-10,286.75	
18AUG17	B	1	PD17NOV17	6468.50	6863.47	USD	9,874.25	
18AUG17	B	1	PD17NOV17	6476.50	6863.47	USD	9,674.25	
18AUG17	S	1	PD17NOV17	6490.50	6863.47	USD	-9,324.25	
18AUG17	S	1	PD17NOV17	6492.00	6863.47	USD	-9,286.75	
18AUG17	S	1	PD17NOV17	6489.50	6863.47	USD	-9,349.25	
18AUG17	S	9	PD17NOV17	6496.00	6863.47	USD	-82,680.75	
18AUG17	S	1	PD17NOV17	6505.50	6863.47	USD	-8,949.25	
18AUG17	S	1	PD17NOV17	6506.50	6863.47	USD	-8,924.25	
18AUG17	S	1	PD17NOV17	6509.00	6863.47	USD	-8,861.75	
18AUG17	S	1	PD17NOV17	6502.50	6863.47	USD	-9,024.25	
18AUG17	S	4	PD17NOV17	6502.00	6863.47	USD	-36,147.00	
18AUG17	B	1	PD17NOV17	6456.50	6863.47	USD	10,174.25	
18AUG17	B	1	PD17NOV17	6508.50	6863.47	USD	8,874.25	
18AUG17	B	1	PD17NOV17	6507.00	6863.47	USD	8,911.75	
18AUG17	S	5	PD17NOV17	6508.00	6863.47	USD	-44,433.75	
18AUG17	S	3	PD17NOV17	6510.00	6863.47	USD	-26,510.25	
18AUG17	S	4	PD17NOV17	6515.00	6863.47	USD	-34,847.00	
18AUG17	B	1	PD17NOV17	6519.00	6863.47	USD	8,611.75	
18AUG17	B	1	PD17NOV17	6520.00	6863.47	USD	8,586.75	
18AUG17	B	1	PD17NOV17	6519.50	6863.47	USD	8,599.25	
18AUG17	B	1	PD17NOV17	6516.00	6863.47	USD	8,686.75	
18AUG17	B	1	PD17NOV17	6516.50	6863.47	USD	8,674.25	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0119B

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
18AUG17	B	1	PD17NOV17	6518.00	6863.47	USD	8,636.75	
18AUG17	B	1	PD17NOV17	6526.50	6863.47	USD	8,424.25	
18AUG17	S	3	PD17NOV17	6524.00	6863.47	USD	-25,460.25	
18AUG17	S	4	PD17NOV17	6530.00	6863.47	USD	-33,347.00	
18AUG17	B	2	PD17NOV17	6533.50	6863.47	USD	16,498.50	
18AUG17	B	1	PD17NOV17	6528.00	6863.47	USD	8,386.75	
18AUG17	S	4	PD17NOV17	6528.50	6863.47	USD	-33,497.00	
18AUG17	B	1	PD17NOV17	6517.50	6863.47	USD	8,649.25	
18AUG17	B	1	PD17NOV17	6515.50	6863.47	USD	8,699.25	
18AUG17	B	1	PD17NOV17	6513.50	6863.47	USD	8,749.25	
18AUG17	S	1	PD17NOV17	6480.50	6863.47	USD	-9,574.25	
18AUG17	S	1	PD17NOV17	6473.50	6863.47	USD	-9,749.25	
18AUG17	S	2	PD17NOV17	6472.50	6863.47	USD	-19,548.50	
18AUG17	B	1	PD17NOV17	6480.50	6863.47	USD	9,574.25	
18AUG17	B	1	PD17NOV17	6490.50	6863.47	USD	9,324.25	
18AUG17	B	1	PD17NOV17	6489.00	6863.47	USD	9,361.75	
18AUG17	B	1	PD17NOV17	6486.50	6863.47	USD	9,424.25	
18AUG17	S	1	PD17NOV17	6508.00	6863.47	USD	-8,886.75	
18AUG17	S	1	PD17NOV17	6510.00	6863.47	USD	-8,836.75	
18AUG17	S	1	PD17NOV17	6524.00	6863.47	USD	-8,486.75	
18AUG17	S	9	PD17NOV17	6485.80	6863.47	USD	-84,975.75	
22AUG17	S	6	PD17NOV17	6578.76	6863.47	USD	-42,706.50	
23AUG17	B	3	PD17NOV17	6563.69	6863.47	USD	22,483.50	
30AUG17	B	18	PD17NOV17	6765.75	6863.47	USD	43,974.00	
15SEP17	S	4	PD17NOV17	6495.41	6863.47	USD	-36,806.00	
	NET	0	AVG BGHT 6566.03	SOLD 6509.17			-115,154.25	0.00
21AUG17	B	2	PD21NOV17	6518.50	6865.33	USD	17,341.50	
21AUG17	S	1	PD21NOV17	6517.50	6865.33	USD	-8,695.75	
21AUG17	B	1	PD21NOV17	6570.50	6865.33	USD	7,370.75	
21AUG17	B	1	PD21NOV17	6571.00	6865.33	USD	7,358.25	
21AUG17	B	3	PD21NOV17	6571.50	6865.33	USD	22,037.25	
21AUG17	B	1	PD21NOV17	6580.50	6865.33	USD	7,120.75	
21AUG17	B	2	PD21NOV17	6583.00	6865.33	USD	14,116.50	
21AUG17	B	1	PD21NOV17	6581.00	6865.33	USD	7,108.25	
21AUG17	B	1	PD21NOV17	6584.50	6865.33	USD	7,020.75	
21AUG17	B	1	PD21NOV17	6587.00	6865.33	USD	6,958.25	
21AUG17	B	1	PD21NOV17	6584.00	6865.33	USD	7,033.25	
21AUG17	B	2	PD21NOV17	6581.50	6865.33	USD	14,191.50	
21AUG17	S	1	PD21NOV17	6559.50	6865.33	USD	-7,645.75	
21AUG17	S	1	PD21NOV17	6540.00	6865.33	USD	-8,133.25	
21AUG17	S	2	PD21NOV17	6543.00	6865.33	USD	-16,116.50	
21AUG17	S	1	PD21NOV17	6547.50	6865.33	USD	-7,945.75	
21AUG17	B	1	PD21NOV17	6563.50	6865.33	USD	7,545.75	
21AUG17	S	1	PD21NOV17	6556.00	6865.33	USD	-7,733.25	
21AUG17	S	2	PD21NOV17	6542.50	6865.33	USD	-16,141.50	
21AUG17	S	1	PD21NOV17	6558.50	6865.33	USD	-7,670.75	
21AUG17	S	1	PD21NOV17	6540.50	6865.33	USD	-8,120.75	
21AUG17	B	2	PD21NOV17	6563.00	6865.33	USD	15,116.50	
21AUG17	S	2	PD21NOV17	6557.00	6865.33	USD	-15,416.50	
21AUG17	S	2	PD21NOV17	6543.00	6865.33	USD	-16,116.50	
21AUG17	B	1	PD21NOV17	6553.50	6865.33	USD	7,795.75	
21AUG17	B	2	PD21NOV17	6564.00	6865.33	USD	15,066.50	
21AUG17	B	1	PD21NOV17	6561.00	6865.33	USD	7,608.25	
21AUG17	B	2	PD21NOV17	6564.50	6865.33	USD	15,041.50	
21AUG17	B	2	PD21NOV17	6565.50	6865.33	USD	14,991.50	
21AUG17	B	2	PD21NOV17	6568.00	6865.33	USD	14,866.50	
21AUG17	B	2	PD21NOV17	6566.50	6865.33	USD	14,941.50	

OPEN POSITION STATEMENT



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SAP0119B

13th October 2017

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Suite A, 105 Piccadilly
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backoffice@sapiencap.com

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
21AUG17	B	1	PD21NOV17	6566.00	6865.33	USD	7,483.25	
21AUG17	B	2	PD21NOV17	6567.50	6865.33	USD	14,891.50	
21AUG17	B	1	PD21NOV17	6575.00	6865.33	USD	7,258.25	
21AUG17	B	2	PD21NOV17	6586.50	6865.33	USD	13,941.50	
21AUG17	B	1	PD21NOV17	6585.00	6865.33	USD	7,008.25	
21AUG17	S	1	PD21NOV17	6571.50	6865.33	USD	-7,345.75	
21AUG17	B	1	PD21NOV17	6612.50	6865.33	USD	6,320.75	
21AUG17	B	1	PD21NOV17	6618.00	6865.33	USD	6,183.25	
21AUG17	B	1	PD21NOV17	6620.00	6865.33	USD	6,133.25	
21AUG17	S	1	PD21NOV17	6621.00	6865.33	USD	-6,108.25	
21AUG17	B	1	PD21NOV17	6607.50	6865.33	USD	6,445.75	
21AUG17	S	1	PD21NOV17	6600.00	6865.33	USD	-6,633.25	
21AUG17	S	2	PD21NOV17	6582.50	6865.33	USD	-14,141.50	
21AUG17	S	1	PD21NOV17	6566.50	6865.33	USD	-7,470.75	
30AUG17	S	13	PD21NOV17	6766.55	6865.33	USD	-32,103.50	
11SEP17	S	4	PD21NOV17	6738.67	6865.33	USD	-12,666.00	
15SEP17	S	4	PD21NOV17	6497.07	6865.33	USD	-36,826.00	
	NET	0AVG BGHT 6573.62	SOLD 6633.87				63,265.25	0.00
22AUG17	S	1	PD22NOV17	6594.00	6865.80	USD	-6,795.00	
22AUG17	S	2	PD22NOV17	6569.00	6865.80	USD	-14,840.00	
22AUG17	S	1	PD22NOV17	6563.00	6865.80	USD	-7,570.00	
22AUG17	S	1	PD22NOV17	6563.50	6865.80	USD	-7,557.50	
22AUG17	S	2	PD22NOV17	6559.50	6865.80	USD	-15,315.00	
22AUG17	S	1	PD22NOV17	6575.00	6865.80	USD	-7,270.00	
22AUG17	B	1	PD22NOV17	6583.00	6865.80	USD	7,070.00	
22AUG17	B	4	PD22NOV17	6592.50	6865.80	USD	27,330.00	
22AUG17	B	4	PD22NOV17	6591.00	6865.80	USD	27,480.00	
22AUG17	B	1	PD22NOV17	6586.50	6865.80	USD	6,982.50	
22AUG17	B	1	PD22NOV17	6636.00	6865.80	USD	5,745.00	
22AUG17	B	1	PD22NOV17	6638.50	6865.80	USD	5,682.50	
22AUG17	B	1	PD22NOV17	6637.50	6865.80	USD	5,707.50	
22AUG17	B	1	PD22NOV17	6636.50	6865.80	USD	5,732.50	
22AUG17	S	1	PD22NOV17	6628.50	6865.80	USD	-5,932.50	
22AUG17	B	1	PD22NOV17	6612.00	6865.80	USD	6,345.00	
22AUG17	B	1	PD22NOV17	6623.50	6865.80	USD	6,057.50	
22AUG17	B	1	PD22NOV17	6622.50	6865.80	USD	6,082.50	
22AUG17	B	2	PD22NOV17	6626.00	6865.80	USD	11,990.00	
22AUG17	B	1	PD22NOV17	6630.00	6865.80	USD	5,895.00	
22AUG17	B	1	PD22NOV17	6628.00	6865.80	USD	5,945.00	
22AUG17	S	1	PD22NOV17	6631.50	6865.80	USD	-5,857.50	
22AUG17	S	1	PD22NOV17	6613.50	6865.80	USD	-6,307.50	
22AUG17	S	1	PD22NOV17	6617.50	6865.80	USD	-6,207.50	
22AUG17	S	1	PD22NOV17	6614.50	6865.80	USD	-6,282.50	
22AUG17	S	1	PD22NOV17	6612.50	6865.80	USD	-6,332.50	
22AUG17	S	1	PD22NOV17	6604.00	6865.80	USD	-6,545.00	
22AUG17	S	2	PD22NOV17	6592.50	6865.80	USD	-13,665.00	
22AUG17	S	1	PD22NOV17	6593.00	6865.80	USD	-6,820.00	
22AUG17	S	2	PD22NOV17	6593.50	6865.80	USD	-13,615.00	
22AUG17	S	1	PD22NOV17	6608.00	6865.80	USD	-6,445.00	
22AUG17	S	1	PD22NOV17	6606.50	6865.80	USD	-6,482.50	
22AUG17	S	1	PD22NOV17	6607.50	6865.80	USD	-6,457.50	
22AUG17	S	1	PD22NOV17	6609.00	6865.80	USD	-6,420.00	
22AUG17	S	2	PD22NOV17	6610.00	6865.80	USD	-12,790.00	
22AUG17	S	2	PD22NOV17	6611.00	6865.80	USD	-12,740.00	
22AUG17	S	1	PD22NOV17	6597.00	6865.80	USD	-6,720.00	
22AUG17	S	1	PD22NOV17	6595.00	6865.80	USD	-6,770.00	
22AUG17	B	1	PD22NOV17	6582.50	6865.80	USD	7,082.50	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
22AUG17	B	2	PD22NOV17	6580.00	6865.80	USD	14,290.00	
22AUG17	B	1	PD22NOV17	6578.50	6865.80	USD	7,182.50	
22AUG17	B	3	PD22NOV17	6583.50	6865.80	USD	21,172.50	
22AUG17	B	2	PD22NOV17	6585.00	6865.80	USD	14,040.00	
22AUG17	B	2	PD22NOV17	6590.00	6865.80	USD	13,790.00	
22AUG17	B	1	PD22NOV17	6588.50	6865.80	USD	6,932.50	
22AUG17	B	1	PD22NOV17	6569.50	6865.80	USD	7,407.50	
22AUG17	B	2	PD22NOV17	6574.50	6865.80	USD	14,565.00	
22AUG17	B	1	PD22NOV17	6576.50	6865.80	USD	7,232.50	
22AUG17	S	1	PD22NOV17	6561.50	6865.80	USD	-7,607.50	
22AUG17	S	1	PD22NOV17	6562.00	6865.80	USD	-7,595.00	
22AUG17	S	1	PD22NOV17	6567.00	6865.80	USD	-7,470.00	
22AUG17	S	1	PD22NOV17	6567.50	6865.80	USD	-7,457.50	
22AUG17	S	1	PD22NOV17	6579.00	6865.80	USD	-7,170.00	
23AUG17	S	3	PD22NOV17	6564.43	6865.80	USD	-22,602.75	
30AUG17	B	1	PD22NOV17	6767.00	6865.80	USD	2,470.00	
	NET	0	AVG BGHT 6602.42				-11,430.25	0.00
			SOLD 6590.39					
23AUG17	S	1	PD24NOV17	6580.50	6866.73	USD	-7,155.75	
23AUG17	B	3	PD24NOV17	6558.00	6866.73	USD	23,154.75	
23AUG17	B	1	PD24NOV17	6558.50	6866.73	USD	7,705.75	
23AUG17	B	1	PD24NOV17	6555.50	6866.73	USD	7,780.75	
23AUG17	B	1	PD24NOV17	6552.50	6866.73	USD	7,855.75	
23AUG17	B	1	PD24NOV17	6548.50	6866.73	USD	7,955.75	
23AUG17	B	1	PD24NOV17	6541.50	6866.73	USD	8,130.75	
23AUG17	S	1	PD24NOV17	6540.00	6866.73	USD	-8,168.25	
23AUG17	S	1	PD24NOV17	6543.00	6866.73	USD	-8,093.25	
23AUG17	B	1	PD24NOV17	6549.50	6866.73	USD	7,930.75	
23AUG17	B	1	PD24NOV17	6554.00	6866.73	USD	7,818.25	
23AUG17	B	2	PD24NOV17	6552.00	6866.73	USD	15,736.50	
23AUG17	B	1	PD24NOV17	6570.50	6866.73	USD	7,405.75	
23AUG17	S	1	PD24NOV17	6563.00	6866.73	USD	-7,593.25	
23AUG17	S	1	PD24NOV17	6566.50	6866.73	USD	-7,505.75	
23AUG17	S	1	PD24NOV17	6565.00	6866.73	USD	-7,543.25	
23AUG17	B	1	PD24NOV17	6564.50	6866.73	USD	7,555.75	
23AUG17	B	3	PD24NOV17	6565.00	6866.73	USD	22,629.75	
23AUG17	B	1	PD24NOV17	6539.50	6866.73	USD	8,180.75	
23AUG17	B	1	PD24NOV17	6573.00	6866.73	USD	7,343.25	
23AUG17	S	2	PD24NOV17	6570.00	6866.73	USD	-14,836.50	
24AUG17	S	4	PD24NOV17	6606.00	6866.73	USD	-26,073.00	
24AUG17	S	1	PD24NOV17	6610.00	6866.73	USD	-6,418.25	
24AUG17	S	5	PD24NOV17	6608.50	6866.73	USD	-32,278.75	
24AUG17	B	1	PD24NOV17	6634.50	6866.73	USD	5,805.75	
24AUG17	B	2	PD24NOV17	6634.00	6866.73	USD	11,636.50	
24AUG17	B	2	PD24NOV17	6629.50	6866.73	USD	11,861.50	
24AUG17	B	1	PD24NOV17	6628.00	6866.73	USD	5,968.25	
24AUG17	B	1	PD24NOV17	6627.50	6866.73	USD	5,980.75	
24AUG17	B	2	PD24NOV17	6635.00	6866.73	USD	11,586.50	
24AUG17	B	1	PD24NOV17	6635.50	6866.73	USD	5,780.75	
24AUG17	B	1	PD24NOV17	6639.00	6866.73	USD	5,693.25	
24AUG17	B	2	PD24NOV17	6629.00	6866.73	USD	11,886.50	
24AUG17	S	2	PD24NOV17	6604.50	6866.73	USD	-13,111.50	
24AUG17	S	1	PD24NOV17	6602.50	6866.73	USD	-6,605.75	
24AUG17	S	1	PD24NOV17	6603.00	6866.73	USD	-6,593.25	
24AUG17	S	1	PD24NOV17	6616.50	6866.73	USD	-6,255.75	
24AUG17	S	2	PD24NOV17	6619.00	6866.73	USD	-12,386.50	
24AUG17	S	1	PD24NOV17	6617.00	6866.73	USD	-6,243.25	
24AUG17	S	1	PD24NOV17	6631.50	6866.73	USD	-5,880.75	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0119B

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
24AUG17	S	1	PD24NOV17	6623.50	6866.73	USD	-6,080.75	
24AUG17	S	1	PD24NOV17	6620.00	6866.73	USD	-6,168.25	
24AUG17	S	2	PD24NOV17	6621.50	6866.73	USD	-12,261.50	
24AUG17	S	2	PD24NOV17	6621.00	6866.73	USD	-12,286.50	
24AUG17	S	1	PD24NOV17	6628.00	6866.73	USD	-5,968.25	
24AUG17	S	1	PD24NOV17	6634.50	6866.73	USD	-5,805.75	
24AUG17	S	2	PD24NOV17	6630.50	6866.73	USD	-11,811.50	
24AUG17	S	1	PD24NOV17	6627.00	6866.73	USD	-5,993.25	
24AUG17	S	1	PD24NOV17	6630.00	6866.73	USD	-5,918.25	
24AUG17	S	1	PD24NOV17	6668.00	6866.73	USD	-4,968.25	
24AUG17	S	1	PD24NOV17	6668.50	6866.73	USD	-4,955.75	
24AUG17	S	1	PD24NOV17	6669.00	6866.73	USD	-4,943.25	
24AUG17	S	1	PD24NOV17	6683.00	6866.73	USD	-4,593.25	
24AUG17	S	10	PD24NOV17	6669.50	6866.73	USD	-49,307.50	
24AUG17	S	1	PD24NOV17	6674.50	6866.73	USD	-4,805.75	
24AUG17	S	1	PD24NOV17	6675.50	6866.73	USD	-4,780.75	
24AUG17	S	10	PD24NOV17	6680.00	6866.73	USD	-46,682.50	
24AUG17	S	1	PD24NOV17	6700.00	6866.73	USD	-4,168.25	
24AUG17	S	1	PD24NOV17	6706.00	6866.73	USD	-4,018.25	
24AUG17	B	1	PD24NOV17	6708.50	6866.73	USD	3,955.75	
24AUG17	S	1	PD24NOV17	6705.50	6866.73	USD	-4,030.75	
24AUG17	B	5	PD24NOV17	6710.50	6866.73	USD	19,528.75	
24AUG17	B	5	PD24NOV17	6701.00	6866.73	USD	20,716.25	
24AUG17	B	5	PD24NOV17	6695.50	6866.73	USD	21,403.75	
24AUG17	B	5	PD24NOV17	6693.00	6866.73	USD	21,716.25	
24AUG17	S	2	PD24NOV17	6693.50	6866.73	USD	-8,661.50	
24AUG17	S	1	PD24NOV17	6694.00	6866.73	USD	-4,318.25	
24AUG17	S	2	PD24NOV17	6692.50	6866.73	USD	-8,711.50	
24AUG17	S	1	PD24NOV17	6696.00	6866.73	USD	-4,268.25	
24AUG17	S	1	PD24NOV17	6698.00	6866.73	USD	-4,218.25	
24AUG17	S	1	PD24NOV17	6697.50	6866.73	USD	-4,230.75	
24AUG17	S	1	PD24NOV17	6696.50	6866.73	USD	-4,255.75	
25AUG17	S	1	PD24NOV17	6702.00	6866.73	USD	-4,118.25	
25AUG17	S	1	PD24NOV17	6699.00	6866.73	USD	-4,193.25	
25AUG17	S	1	PD24NOV17	6700.50	6866.73	USD	-4,155.75	
25AUG17	S	1	PD24NOV17	6699.50	6866.73	USD	-4,180.75	
25AUG17	S	1	PD24NOV17	6703.50	6866.73	USD	-4,080.75	
25AUG17	S	1	PD24NOV17	6724.00	6866.73	USD	-3,568.25	
25AUG17	S	1	PD24NOV17	6724.50	6866.73	USD	-3,555.75	
25AUG17	S	1	PD24NOV17	6700.00	6866.73	USD	-4,168.25	
25AUG17	B	1	PD24NOV17	6732.00	6866.73	USD	3,368.25	
25AUG17	B	1	PD24NOV17	6728.50	6866.73	USD	3,455.75	
25AUG17	S	4	PD24NOV17	6672.50	6866.73	USD	-19,423.00	
30AUG17	B	14	PD24NOV17	6767.50	6866.73	USD	34,730.50	
31AUG17	B	4	PD24NOV17	6786.50	6866.73	USD	8,023.00	
11SEP17	B	4	PD24NOV17	6740.17	6866.73	USD	12,656.00	
15SEP17	B	8	PD24NOV17	6498.51	6866.73	USD	73,644.00	
15SEP17	B	4	PD24NOV17	6498.31	6866.73	USD	36,842.00	
NET		0	AVG BGHT 6649.46	SOLD 6649.92			1,025.00	0.00
29AUG17	S	1	PD29NOV17	6792.00	6869.07	USD	-1,926.75	
29AUG17	B	1	PD29NOV17	6771.50	6869.07	USD	2,439.25	
29AUG17	B	1	PD29NOV17	6765.50	6869.07	USD	2,589.25	
29AUG17	B	1	PD29NOV17	6766.00	6869.07	USD	2,576.75	
29AUG17	B	6	PD29NOV17	6764.50	6869.07	USD	15,685.50	
29AUG17	S	2	PD29NOV17	6791.00	6869.07	USD	-3,903.50	
29AUG17	S	1	PD29NOV17	6792.50	6869.07	USD	-1,914.25	
29AUG17	B	2	PD29NOV17	6764.00	6869.07	USD	5,253.50	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
29AUG17	B	1	PD29NOV17	6763.50	6869.07	USD	2,639.25	
29AUG17	B	1	PD29NOV17	6770.00	6869.07	USD	2,476.75	
29AUG17	S	1	PD29NOV17	6823.00	6869.07	USD	-1,151.75	
29AUG17	B	1	PD29NOV17	6811.00	6869.07	USD	1,451.75	
29AUG17	B	4	PD29NOV17	6812.00	6869.07	USD	5,707.00	
29AUG17	B	3	PD29NOV17	6811.50	6869.07	USD	4,317.75	
29AUG17	B	1	PD29NOV17	6802.00	6869.07	USD	1,676.75	
29AUG17	B	1	PD29NOV17	6807.00	6869.07	USD	1,551.75	
29AUG17	S	1	PD29NOV17	6815.00	6869.07	USD	-1,351.75	
29AUG17	B	1	PD29NOV17	6822.50	6869.07	USD	1,164.25	
29AUG17	B	3	PD29NOV17	6823.50	6869.07	USD	3,417.75	
30AUG17	S	22	PD29NOV17	6768.55	6869.07	USD	-55,286.00	
31AUG17	B	1	PD29NOV17	6787.75	6869.07	USD	2,033.00	
	NET	0AVG	BGHT 6790.53	SOLD 6775.45			-10,553.75	0.00
30AUG17	B	1	PD30NOV17	6787.00	6869.53	USD	2,063.25	
30AUG17	B	1	PD30NOV17	6784.50	6869.53	USD	2,125.75	
30AUG17	B	1	PD30NOV17	6776.00	6869.53	USD	2,338.25	
30AUG17	B	1	PD30NOV17	6774.50	6869.53	USD	2,375.75	
30AUG17	S	2	PD30NOV17	6814.50	6869.53	USD	-2,751.50	
30AUG17	S	2	PD30NOV17	6814.00	6869.53	USD	-2,776.50	
30AUG17	B	1	PD30NOV17	6814.50	6869.53	USD	1,375.75	
30AUG17	B	1	PD30NOV17	6766.00	6869.53	USD	2,588.25	
30AUG17	B	2	PD30NOV17	6769.50	6869.53	USD	5,001.50	
30AUG17	B	1	PD30NOV17	6769.50	6869.53	USD	2,500.75	
31AUG17	S	4	PD30NOV17	6805.00	6869.53	USD	-6,453.00	
31AUG17	S	1	PD30NOV17	6799.00	6869.53	USD	-1,763.25	
31AUG17	B	2	PD30NOV17	6801.00	6869.53	USD	3,426.50	
31AUG17	B	1	PD30NOV17	6803.50	6869.53	USD	1,650.75	
31AUG17	B	1	PD30NOV17	6804.00	6869.53	USD	1,638.25	
31AUG17	B	1	PD30NOV17	6799.00	6869.53	USD	1,763.25	
31AUG17	B	1	PD30NOV17	6807.50	6869.53	USD	1,550.75	
31AUG17	B	1	PD30NOV17	6789.50	6869.53	USD	2,000.75	
31AUG17	S	2	PD30NOV17	6783.00	6869.53	USD	-4,326.50	
31AUG17	B	1	PD30NOV17	6797.00	6869.53	USD	1,813.25	
31AUG17	B	1	PD30NOV17	6790.50	6869.53	USD	1,975.75	
31AUG17	B	2	PD30NOV17	6790.00	6869.53	USD	3,976.50	
31AUG17	B	1	PD30NOV17	6786.50	6869.53	USD	2,075.75	
31AUG17	B	1	PD30NOV17	6788.50	6869.53	USD	2,025.75	
31AUG17	B	2	PD30NOV17	6788.00	6869.53	USD	4,076.50	
31AUG17	B	1	PD30NOV17	6784.50	6869.53	USD	2,125.75	
31AUG17	B	3	PD30NOV17	6785.00	6869.53	USD	6,339.75	
31AUG17	B	1	PD30NOV17	6776.50	6869.53	USD	2,325.75	
31AUG17	S	5	PD30NOV17	6787.80	6869.53	USD	-10,216.25	
31AUG17	B	2	PD30NOV17	6791.50	6869.53	USD	3,901.50	
31AUG17	B	1	PD30NOV17	6785.00	6869.53	USD	2,113.25	
11SEP17	S	16	PD30NOV17	6742.57	6869.53	USD	-50,784.00	
	NET	0AVG	BGHT 6788.09	SOLD 6770.69			-13,922.00	0.00
01SEP17	S	2	PD01DEC17	6803.50	6870.00	USD	-3,325.00	
01SEP17	S	4	PD01DEC17	6804.00	6870.00	USD	-6,600.00	
01SEP17	S	3	PD01DEC17	6805.50	6870.00	USD	-4,837.50	
01SEP17	S	2	PD01DEC17	6806.00	6870.00	USD	-3,200.00	
01SEP17	B	1	PD01DEC17	6804.00	6870.00	USD	1,650.00	
01SEP17	B	2	PD01DEC17	6798.00	6870.00	USD	3,600.00	
01SEP17	B	1	PD01DEC17	6797.50	6870.00	USD	1,812.50	
01SEP17	B	1	PD01DEC17	6794.00	6870.00	USD	1,900.00	
01SEP17	B	1	PD01DEC17	6796.00	6870.00	USD	1,850.00	
01SEP17	B	1	PD01DEC17	6799.50	6870.00	USD	1,762.50	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
01SEP17	B	1	PD01DEC17	6799.00	6870.00	USD	1,775.00	
01SEP17	S	1	PD01DEC17	6805.00	6870.00	USD	-1,625.00	
01SEP17	S	2	PD01DEC17	6802.50	6870.00	USD	-3,375.00	
01SEP17	B	1	PD01DEC17	6805.50	6870.00	USD	1,612.50	
01SEP17	S	4	PD01DEC17	6812.50	6870.00	USD	-5,750.00	
01SEP17	S	1	PD01DEC17	6809.00	6870.00	USD	-1,525.00	
01SEP17	S	1	PD01DEC17	6811.50	6870.00	USD	-1,462.50	
01SEP17	S	1	PD01DEC17	6802.50	6870.00	USD	-1,687.50	
01SEP17	B	1	PD01DEC17	6802.50	6870.00	USD	1,687.50	
01SEP17	B	1	PD01DEC17	6800.50	6870.00	USD	1,737.50	
01SEP17	B	2	PD01DEC17	6801.00	6870.00	USD	3,450.00	
01SEP17	B	1	PD01DEC17	6803.00	6870.00	USD	1,675.00	
01SEP17	B	1	PD01DEC17	6815.50	6870.00	USD	1,362.50	
01SEP17	B	1	PD01DEC17	6813.50	6870.00	USD	1,412.50	
01SEP17	B	1	PD01DEC17	6814.50	6870.00	USD	1,387.50	
01SEP17	B	1	PD01DEC17	6814.00	6870.00	USD	1,400.00	
01SEP17	B	1	PD01DEC17	6810.50	6870.00	USD	1,487.50	
01SEP17	B	1	PD01DEC17	6811.50	6870.00	USD	1,462.50	
01SEP17	B	2	PD01DEC17	6811.00	6870.00	USD	2,950.00	
01SEP17	B	4	PD01DEC17	6813.00	6870.00	USD	5,700.00	
01SEP17	B	1	PD01DEC17	6812.50	6870.00	USD	1,437.50	
01SEP17	S	1	PD01DEC17	6825.00	6870.00	USD	-1,125.00	
01SEP17	S	3	PD01DEC17	6823.00	6870.00	USD	-3,525.00	
01SEP17	S	1	PD01DEC17	6817.50	6870.00	USD	-1,312.50	
01SEP17	B	1	PD01DEC17	6817.50	6870.00	USD	1,312.50	
01SEP17	S	1	PD01DEC17	6818.50	6870.00	USD	-1,287.50	
01SEP17	S	1	PD01DEC17	6821.50	6870.00	USD	-1,212.50	
01SEP17	S	2	PD01DEC17	6821.00	6870.00	USD	-2,450.00	
11SEP17	B	4	PD01DEC17	6743.20	6870.00	USD	12,680.00	
22SEP17	S	2	PD01DEC17	6450.50	6870.00	USD	-20,975.00	
	NET	0	AVG BGHT 6798.62	SOLD 6788.41			-8,170.00	0.00
04SEP17	S	1	PD04DEC17	6869.00	6871.20	USD	-55.00	
04SEP17	S	1	PD04DEC17	6866.50	6871.20	USD	-117.50	
04SEP17	S	1	PD04DEC17	6866.00	6871.20	USD	-130.00	
04SEP17	S	1	PD04DEC17	6870.00	6871.20	USD	-30.00	
04SEP17	S	1	PD04DEC17	6875.00	6871.20	USD	95.00	
04SEP17	S	1	PD04DEC17	6874.50	6871.20	USD	82.50	
04SEP17	S	1	PD04DEC17	6861.50	6871.20	USD	-242.50	
04SEP17	S	1	PD04DEC17	6863.00	6871.20	USD	-205.00	
04SEP17	S	2	PD04DEC17	6863.50	6871.20	USD	-385.00	
04SEP17	S	1	PD04DEC17	6865.50	6871.20	USD	-142.50	
04SEP17	S	1	PD04DEC17	6872.00	6871.20	USD	20.00	
04SEP17	S	1	PD04DEC17	6876.00	6871.20	USD	120.00	
04SEP17	S	1	PD04DEC17	6883.50	6871.20	USD	307.50	
04SEP17	B	1	PD04DEC17	6894.00	6871.20	USD	-570.00	
04SEP17	B	1	PD04DEC17	6894.50	6871.20	USD	-582.50	
04SEP17	B	1	PD04DEC17	6891.50	6871.20	USD	-507.50	
04SEP17	S	2	PD04DEC17	6907.00	6871.20	USD	1,790.00	
04SEP17	B	1	PD04DEC17	6905.00	6871.20	USD	-845.00	
04SEP17	B	1	PD04DEC17	6904.50	6871.20	USD	-832.50	
04SEP17	B	2	PD04DEC17	6911.00	6871.20	USD	-1,990.00	
04SEP17	S	1	PD04DEC17	6910.00	6871.20	USD	970.00	
04SEP17	S	3	PD04DEC17	6912.00	6871.20	USD	3,060.00	
04SEP17	B	1	PD04DEC17	6921.50	6871.20	USD	-1,257.50	
04SEP17	B	1	PD04DEC17	6909.50	6871.20	USD	-957.50	
04SEP17	B	1	PD04DEC17	6910.50	6871.20	USD	-982.50	
04SEP17	B	2	PD04DEC17	6912.50	6871.20	USD	-2,065.00	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
04SEP17	B	1	PD04DEC17	6913.00	6871.20	USD	-1,045.00	
11SEP17	B	8	PD04DEC17	6744.70	6871.20	USD	25,300.00	
22SEP17	S	1	PD04DEC17	6452.13	6871.20	USD	-10,476.75	
	NET	0 AVG	BGHT 6845.17				8,325.75	0.00
			SOLD 6861.03					
05SEP17	S	1	PD05DEC17	6931.50	6871.60	USD	1,497.50	
05SEP17	S	1	PD05DEC17	6930.50	6871.60	USD	1,472.50	
05SEP17	S	2	PD05DEC17	6929.00	6871.60	USD	2,870.00	
05SEP17	S	3	PD05DEC17	6928.50	6871.60	USD	4,267.50	
05SEP17	B	1	PD05DEC17	6936.50	6871.60	USD	-1,622.50	
05SEP17	B	1	PD05DEC17	6937.00	6871.60	USD	-1,635.00	
05SEP17	B	1	PD05DEC17	6941.00	6871.60	USD	-1,735.00	
05SEP17	B	1	PD05DEC17	6944.00	6871.60	USD	-1,810.00	
05SEP17	B	1	PD05DEC17	6943.00	6871.60	USD	-1,785.00	
05SEP17	B	1	PD05DEC17	6939.00	6871.60	USD	-1,685.00	
05SEP17	B	2	PD05DEC17	6938.00	6871.60	USD	-3,320.00	
05SEP17	B	1	PD05DEC17	6942.00	6871.60	USD	-1,760.00	
05SEP17	B	2	PD05DEC17	6942.50	6871.60	USD	-3,545.00	
05SEP17	B	1	PD05DEC17	6940.50	6871.60	USD	-1,722.50	
05SEP17	B	1	PD05DEC17	6940.00	6871.60	USD	-1,710.00	
05SEP17	B	1	PD05DEC17	6939.50	6871.60	USD	-1,697.50	
05SEP17	S	1	PD05DEC17	6941.00	6871.60	USD	1,735.00	
05SEP17	B	1	PD05DEC17	6941.50	6871.60	USD	-1,747.50	
05SEP17	S	1	PD05DEC17	6940.50	6871.60	USD	1,722.50	
05SEP17	S	1	PD05DEC17	6940.00	6871.60	USD	1,710.00	
05SEP17	S	2	PD05DEC17	6935.50	6871.60	USD	3,195.00	
05SEP17	S	1	PD05DEC17	6934.00	6871.60	USD	1,560.00	
05SEP17	S	1	PD05DEC17	6933.00	6871.60	USD	1,535.00	
05SEP17	S	3	PD05DEC17	6932.00	6871.60	USD	4,530.00	
05SEP17	S	5	PD05DEC17	6932.50	6871.60	USD	7,612.50	
05SEP17	S	1	PD05DEC17	6939.50	6871.60	USD	1,697.50	
05SEP17	B	1	PD05DEC17	6949.50	6871.60	USD	-1,947.50	
05SEP17	B	2	PD05DEC17	6959.00	6871.60	USD	-4,370.00	
05SEP17	B	1	PD05DEC17	6964.50	6871.60	USD	-2,322.50	
05SEP17	B	1	PD05DEC17	6968.00	6871.60	USD	-2,410.00	
05SEP17	B	1	PD05DEC17	6965.50	6871.60	USD	-2,347.50	
05SEP17	B	2	PD05DEC17	6964.00	6871.60	USD	-4,620.00	
05SEP17	B	2	PD05DEC17	6966.00	6871.60	USD	-4,720.00	
05SEP17	B	1	PD05DEC17	6961.00	6871.60	USD	-2,235.00	
05SEP17	S	1	PD05DEC17	6959.50	6871.60	USD	2,197.50	
05SEP17	B	2	PD05DEC17	6958.50	6871.60	USD	-4,345.00	
05SEP17	B	2	PD05DEC17	6957.00	6871.60	USD	-4,270.00	
05SEP17	B	1	PD05DEC17	6958.00	6871.60	USD	-2,160.00	
05SEP17	B	1	PD05DEC17	6959.50	6871.60	USD	-2,197.50	
05SEP17	B	2	PD05DEC17	6960.00	6871.60	USD	-4,420.00	
05SEP17	B	2	PD05DEC17	6956.50	6871.60	USD	-4,245.00	
05SEP17	B	2	PD05DEC17	6957.50	6871.60	USD	-4,295.00	
05SEP17	S	1	PD05DEC17	6930.00	6871.60	USD	1,460.00	
05SEP17	S	1	PD05DEC17	6928.50	6871.60	USD	1,422.50	
05SEP17	B	1	PD05DEC17	6938.00	6871.60	USD	-1,660.00	
05SEP17	B	2	PD05DEC17	6958.00	6871.60	USD	-4,320.00	
05SEP17	B	1	PD05DEC17	6921.50	6871.60	USD	-1,247.50	
05SEP17	B	1	PD05DEC17	6923.50	6871.60	USD	-1,297.50	
05SEP17	B	1	PD05DEC17	6915.00	6871.60	USD	-1,085.00	
05SEP17	B	1	PD05DEC17	6916.50	6871.60	USD	-1,122.50	
05SEP17	B	2	PD05DEC17	6915.50	6871.60	USD	-2,195.00	
05SEP17	B	1	PD05DEC17	6919.00	6871.60	USD	-1,185.00	
05SEP17	B	1	PD05DEC17	6917.50	6871.60	USD	-1,147.50	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0119B

13th October 2017

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backoffice@sapiencap.com

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
05SEP17	B	1	PD05DEC17	6919.50	6871.60	USD	-1,197.50	
05SEP17	B	1	PD05DEC17	6920.50	6871.60	USD	-1,222.50	
05SEP17	B	1	PD05DEC17	6925.50	6871.60	USD	-1,347.50	
05SEP17	S	1	PD05DEC17	6919.50	6871.60	USD	1,197.50	
05SEP17	B	2	PD05DEC17	6916.00	6871.60	USD	-2,220.00	
05SEP17	S	1	PD05DEC17	6920.50	6871.60	USD	1,222.50	
05SEP17	S	1	PD05DEC17	6923.00	6871.60	USD	1,285.00	
05SEP17	S	1	PD05DEC17	6924.50	6871.60	USD	1,322.50	
05SEP17	B	1	PD05DEC17	6905.50	6871.60	USD	-847.50	
05SEP17	B	1	PD05DEC17	6902.50	6871.60	USD	-772.50	
05SEP17	B	1	PD05DEC17	6884.50	6871.60	USD	-322.50	
05SEP17	B	2	PD05DEC17	6885.50	6871.60	USD	-695.00	
05SEP17	B	2	PD05DEC17	6886.00	6871.60	USD	-720.00	
11SEP17	S	26	PD05DEC17	6745.00	6871.60	USD	-82,290.00	
15SEP17	S	4	PD05DEC17	6502.66	6871.60	USD	-36,894.00	
22SEP17	S	1	PD05DEC17	6452.67	6871.60	USD	-10,473.25	
	NET	0 AVG BGHT 6938.02	SOLD 6816.42				-185,429.75	0.00
06SEP17	B	3	PD06DEC17	6898.50	6872.00	USD	-1,987.50	
06SEP17	S	1	PD06DEC17	6896.50	6872.00	USD	612.50	
06SEP17	S	13	PD06DEC17	6898.00	6872.00	USD	8,450.00	
06SEP17	S	3	PD06DEC17	6900.00	6872.00	USD	2,100.00	
06SEP17	S	1	PD06DEC17	6900.50	6872.00	USD	712.50	
06SEP17	S	1	PD06DEC17	6904.50	6872.00	USD	812.50	
06SEP17	S	1	PD06DEC17	6906.50	6872.00	USD	862.50	
06SEP17	S	3	PD06DEC17	6909.50	6872.00	USD	2,812.50	
06SEP17	S	1	PD06DEC17	6913.00	6872.00	USD	1,025.00	
06SEP17	S	3	PD06DEC17	6911.50	6872.00	USD	2,962.50	
06SEP17	S	5	PD06DEC17	6911.00	6872.00	USD	4,875.00	
06SEP17	S	3	PD06DEC17	6912.00	6872.00	USD	3,000.00	
06SEP17	S	2	PD06DEC17	6912.50	6872.00	USD	2,025.00	
06SEP17	S	1	PD06DEC17	6906.00	6872.00	USD	850.00	
06SEP17	S	1	PD06DEC17	6910.00	6872.00	USD	950.00	
06SEP17	S	5	PD06DEC17	6905.50	6872.00	USD	4,187.50	
06SEP17	S	1	PD06DEC17	6907.50	6872.00	USD	887.50	
06SEP17	S	4	PD06DEC17	6909.00	6872.00	USD	3,700.00	
06SEP17	B	2	PD06DEC17	6890.00	6872.00	USD	-900.00	
06SEP17	B	1	PD06DEC17	6891.50	6872.00	USD	-487.50	
06SEP17	B	2	PD06DEC17	6901.00	6872.00	USD	-1,450.00	
06SEP17	B	2	PD06DEC17	6899.50	6872.00	USD	-1,375.00	
06SEP17	B	2	PD06DEC17	6877.00	6872.00	USD	-250.00	
06SEP17	B	1	PD06DEC17	6876.00	6872.00	USD	-100.00	
06SEP17	B	1	PD06DEC17	6889.50	6872.00	USD	-437.50	
06SEP17	B	3	PD06DEC17	6898.00	6872.00	USD	-1,950.00	
06SEP17	B	5	PD06DEC17	6896.00	6872.00	USD	-3,000.00	
06SEP17	B	1	PD06DEC17	6896.50	6872.00	USD	-612.50	
06SEP17	B	3	PD06DEC17	6895.50	6872.00	USD	-1,762.50	
06SEP17	B	1	PD06DEC17	6898.00	6872.00	USD	-650.00	
06SEP17	B	1	PD06DEC17	6895.50	6872.00	USD	-587.50	
11SEP17	B	25	PD06DEC17	6745.70	6872.00	USD	78,937.50	
15SEP17	S	4	PD06DEC17	6503.28	6872.00	USD	-36,872.00	
	NET	0 AVG BGHT 6824.16	SOLD 6874.98				67,340.50	0.00
07SEP17	S	1	PD07DEC17	6885.50	6872.42	USD	327.00	
07SEP17	S	1	PD07DEC17	6878.00	6872.42	USD	139.50	
07SEP17	S	1	PD07DEC17	6875.50	6872.42	USD	77.00	
07SEP17	S	2	PD07DEC17	6876.00	6872.42	USD	179.00	
07SEP17	S	1	PD07DEC17	6872.50	6872.42	USD	2.00	
07SEP17	S	1	PD07DEC17	6868.50	6872.42	USD	-98.00	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
07SEP17	S	1	PD07DEC17	6869.00	6872.42	USD	-85.50	
07SEP17	S	2	PD07DEC17	6870.50	6872.42	USD	-96.00	
07SEP17	S	1	PD07DEC17	6858.50	6872.42	USD	-348.00	
07SEP17	S	1	PD07DEC17	6859.00	6872.42	USD	-335.50	
07SEP17	B	1	PD07DEC17	6884.50	6872.42	USD	-302.00	
07SEP17	B	1	PD07DEC17	6880.50	6872.42	USD	-202.00	
07SEP17	B	1	PD07DEC17	6879.50	6872.42	USD	-177.00	
07SEP17	B	1	PD07DEC17	6880.00	6872.42	USD	-189.50	
07SEP17	B	1	PD07DEC17	6882.50	6872.42	USD	-252.00	
07SEP17	B	4	PD07DEC17	6883.50	6872.42	USD	-1,108.00	
07SEP17	B	1	PD07DEC17	6889.00	6872.42	USD	-414.50	
07SEP17	B	1	PD07DEC17	6889.50	6872.42	USD	-427.00	
07SEP17	B	1	PD07DEC17	6890.00	6872.42	USD	-439.50	
07SEP17	B	1	PD07DEC17	6871.00	6872.42	USD	35.50	
07SEP17	B	1	PD07DEC17	6869.00	6872.42	USD	85.50	
07SEP17	B	1	PD07DEC17	6868.50	6872.42	USD	98.00	
07SEP17	S	4	PD07DEC17	6872.00	6872.42	USD	-42.00	
07SEP17	S	1	PD07DEC17	6870.00	6872.42	USD	-60.50	
07SEP17	B	1	PD07DEC17	6877.50	6872.42	USD	-127.00	
07SEP17	B	3	PD07DEC17	6876.50	6872.42	USD	-306.00	
07SEP17	B	1	PD07DEC17	6879.00	6872.42	USD	-164.50	
07SEP17	S	2	PD07DEC17	6880.00	6872.42	USD	379.00	
07SEP17	S	2	PD07DEC17	6875.00	6872.42	USD	129.00	
07SEP17	S	5	PD07DEC17	6877.50	6872.42	USD	635.00	
07SEP17	S	1	PD07DEC17	6886.00	6872.42	USD	339.50	
07SEP17	S	1	PD07DEC17	6876.50	6872.42	USD	102.00	
07SEP17	S	3	PD07DEC17	6879.00	6872.42	USD	493.50	
07SEP17	S	1	PD07DEC17	6883.50	6872.42	USD	277.00	
07SEP17	S	2	PD07DEC17	6900.00	6872.42	USD	1,379.00	
07SEP17	S	2	PD07DEC17	6905.00	6872.42	USD	1,629.00	
07SEP17	B	1	PD07DEC17	6885.50	6872.42	USD	-327.00	
07SEP17	B	1	PD07DEC17	6890.00	6872.42	USD	-439.50	
07SEP17	B	3	PD07DEC17	6879.00	6872.42	USD	-493.50	
07SEP17	B	1	PD07DEC17	6906.50	6872.42	USD	-852.00	
07SEP17	B	1	PD07DEC17	6905.50	6872.42	USD	-827.00	
11SEP17	B	4	PD07DEC17	6746.00	6872.42	USD	12,642.00	
11SEP17	B	5	PD07DEC17	6746.20	6872.42	USD	15,777.50	
	NET	0 AVG BGHT 6848.43	SOLD 6878.00				26,612.50	0.00
08SEP17	S	2	PD08DEC17	6906.50	6872.83	USD	1,683.50	
08SEP17	S	1	PD08DEC17	6896.50	6872.83	USD	591.75	
08SEP17	S	1	PD08DEC17	6901.00	6872.83	USD	704.25	
08SEP17	B	2	PD08DEC17	6918.50	6872.83	USD	-2,283.50	
08SEP17	S	1	PD08DEC17	6918.00	6872.83	USD	1,129.25	
08SEP17	B	1	PD08DEC17	6919.00	6872.83	USD	-1,154.25	
08SEP17	S	2	PD08DEC17	6917.00	6872.83	USD	2,208.50	
08SEP17	B	1	PD08DEC17	6926.00	6872.83	USD	-1,329.25	
08SEP17	B	1	PD08DEC17	6921.50	6872.83	USD	-1,216.75	
08SEP17	B	3	PD08DEC17	6930.00	6872.83	USD	-4,287.75	
08SEP17	B	1	PD08DEC17	6928.50	6872.83	USD	-1,391.75	
08SEP17	B	2	PD08DEC17	6930.50	6872.83	USD	-2,883.50	
08SEP17	B	3	PD08DEC17	6929.00	6872.83	USD	-4,212.75	
08SEP17	B	1	PD08DEC17	6948.50	6872.83	USD	-1,891.75	
08SEP17	B	1	PD08DEC17	6948.00	6872.83	USD	-1,879.25	
08SEP17	B	1	PD08DEC17	6946.50	6872.83	USD	-1,841.75	
08SEP17	B	1	PD08DEC17	6947.00	6872.83	USD	-1,854.25	
08SEP17	B	4	PD08DEC17	6947.50	6872.83	USD	-7,467.00	
08SEP17	S	2	PD08DEC17	6937.00	6872.83	USD	3,208.50	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
08SEP17	S	1	PD08DEC17	6936.00	6872.83	USD	1,579.25	
08SEP17	S	2	PD08DEC17	6935.50	6872.83	USD	3,133.50	
08SEP17	S	2	PD08DEC17	6934.50	6872.83	USD	3,083.50	
08SEP17	S	3	PD08DEC17	6935.00	6872.83	USD	4,662.75	
08SEP17	S	1	PD08DEC17	6930.00	6872.83	USD	1,429.25	
08SEP17	S	1	PD08DEC17	6897.50	6872.83	USD	616.75	
08SEP17	S	3	PD08DEC17	6898.00	6872.83	USD	1,887.75	
08SEP17	S	3	PD08DEC17	6898.50	6872.83	USD	1,925.25	
08SEP17	S	1	PD08DEC17	6892.50	6872.83	USD	491.75	
08SEP17	S	2	PD08DEC17	6888.50	6872.83	USD	783.50	
08SEP17	S	2	PD08DEC17	6890.00	6872.83	USD	858.50	
08SEP17	S	2	PD08DEC17	6865.00	6872.83	USD	-391.50	
08SEP17	S	1	PD08DEC17	6856.00	6872.83	USD	-420.75	
08SEP17	S	2	PD08DEC17	6853.00	6872.83	USD	-991.50	
08SEP17	S	1	PD08DEC17	6855.50	6872.83	USD	-433.25	
08SEP17	S	1	PD08DEC17	6857.00	6872.83	USD	-395.75	
08SEP17	S	1	PD08DEC17	6858.50	6872.83	USD	-358.25	
08SEP17	S	1	PD08DEC17	6858.00	6872.83	USD	-370.75	
08SEP17	S	2	PD08DEC17	6853.50	6872.83	USD	-966.50	
08SEP17	S	1	PD08DEC17	6852.00	6872.83	USD	-520.75	
08SEP17	S	2	PD08DEC17	6854.50	6872.83	USD	-916.50	
08SEP17	B	1	PD08DEC17	6833.50	6872.83	USD	983.25	
08SEP17	B	1	PD08DEC17	6829.00	6872.83	USD	1,095.75	
08SEP17	B	1	PD08DEC17	6830.00	6872.83	USD	1,070.75	
08SEP17	B	1	PD08DEC17	6831.00	6872.83	USD	1,045.75	
08SEP17	B	1	PD08DEC17	6825.50	6872.83	USD	1,183.25	
08SEP17	S	1	PD08DEC17	6820.00	6872.83	USD	-1,320.75	
08SEP17	S	1	PD08DEC17	6821.00	6872.83	USD	-1,295.75	
08SEP17	S	1	PD08DEC17	6837.00	6872.83	USD	-895.75	
08SEP17	S	1	PD08DEC17	6836.50	6872.83	USD	-908.25	
08SEP17	B	1	PD08DEC17	6824.00	6872.83	USD	1,220.75	
08SEP17	S	1	PD08DEC17	6812.50	6872.83	USD	-1,508.25	
08SEP17	B	2	PD08DEC17	6828.50	6872.83	USD	2,216.50	
08SEP17	B	1	PD08DEC17	6828.00	6872.83	USD	1,120.75	
08SEP17	B	1	PD08DEC17	6829.00	6872.83	USD	1,095.75	
08SEP17	S	1	PD08DEC17	6747.00	6872.83	USD	-3,145.75	
08SEP17	S	2	PD08DEC17	6751.50	6872.83	USD	-6,066.50	
08SEP17	B	1	PD08DEC17	6738.50	6872.83	USD	3,358.25	
08SEP17	B	1	PD08DEC17	6739.50	6872.83	USD	3,333.25	
08SEP17	B	1	PD08DEC17	6736.50	6872.83	USD	3,408.25	
08SEP17	B	1	PD08DEC17	6739.00	6872.83	USD	3,345.75	
08SEP17	B	2	PD08DEC17	6740.50	6872.83	USD	6,616.50	
08SEP17	B	1	PD08DEC17	6730.50	6872.83	USD	3,558.25	
08SEP17	S	1	PD08DEC17	6712.00	6872.83	USD	-4,020.75	
08SEP17	S	1	PD08DEC17	6710.00	6872.83	USD	-4,070.75	
08SEP17	S	2	PD08DEC17	6702.50	6872.83	USD	-8,516.50	
08SEP17	S	1	PD08DEC17	6695.00	6872.83	USD	-4,445.75	
08SEP17	S	1	PD08DEC17	6709.50	6872.83	USD	-4,083.25	
08SEP17	B	1	PD08DEC17	6697.00	6872.83	USD	4,395.75	
08SEP17	B	1	PD08DEC17	6700.00	6872.83	USD	4,320.75	
08SEP17	B	1	PD08DEC17	6699.50	6872.83	USD	4,333.25	
08SEP17	B	1	PD08DEC17	6686.00	6872.83	USD	4,670.75	
08SEP17	B	2	PD08DEC17	6684.50	6872.83	USD	9,416.50	
08SEP17	B	1	PD08DEC17	6687.00	6872.83	USD	4,645.75	
08SEP17	B	1	PD08DEC17	6686.50	6872.83	USD	4,658.25	
08SEP17	B	1	PD08DEC17	6688.50	6872.83	USD	4,608.25	
08SEP17	B	1	PD08DEC17	6688.00	6872.83	USD	4,620.75	

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08SEP17	B	1	PD08DEC17		6687.50	6872.83	USD	4,633.25	
08SEP17	B	2	PD08DEC17		6685.50	6872.83	USD	9,366.50	
08SEP17	B	5	PD08DEC17		6685.00	6872.83	USD	23,478.75	
08SEP17	B	1	PD08DEC17		6683.00	6872.83	USD	4,745.75	
11SEP17	S	4	PD08DEC17		6746.30	6872.83	USD	-12,653.00	
15SEP17	B	4	PD08DEC17		6504.30	6872.83	USD	36,853.00	
15SEP17	B	6	PD08DEC17		6504.10	6872.83	USD	55,309.50	
22SEP17	S	5	PD08DEC17		6454.17	6872.83	USD	-52,332.50	
29SEP17	S	1	PD08DEC17		6473.65	6872.83	USD	-9,979.50	
	NET	0	AVG BGHT 6766.35	SOLD 6819.28				89,985.00	0.00
11SEP17	S	1	PD11DEC17		6674.50	6874.08	USD	-4,989.50	
11SEP17	S	1	PD11DEC17		6698.50	6874.08	USD	-4,389.50	
11SEP17	S	3	PD11DEC17		6687.00	6874.08	USD	-14,031.00	
11SEP17	S	1	PD11DEC17		6682.50	6874.08	USD	-4,789.50	
11SEP17	S	2	PD11DEC17		6688.00	6874.08	USD	-9,304.00	
11SEP17	S	1	PD11DEC17		6689.50	6874.08	USD	-4,614.50	
11SEP17	S	3	PD11DEC17		6686.50	6874.08	USD	-14,068.50	
11SEP17	S	1	PD11DEC17		6711.00	6874.08	USD	-4,077.00	
11SEP17	S	3	PD11DEC17		6741.50	6874.08	USD	-9,943.50	
11SEP17	S	1	PD11DEC17		6742.00	6874.08	USD	-3,302.00	
11SEP17	B	1	PD11DEC17		6736.00	6874.08	USD	3,452.00	
11SEP17	B	3	PD11DEC17		6725.50	6874.08	USD	11,143.50	
11SEP17	B	1	PD11DEC17		6726.00	6874.08	USD	3,702.00	
11SEP17	B	1	PD11DEC17		6732.00	6874.08	USD	3,552.00	
11SEP17	B	1	PD11DEC17		6731.00	6874.08	USD	3,577.00	
11SEP17	S	1	PD11DEC17		6728.50	6874.08	USD	-3,639.50	
11SEP17	B	1	PD11DEC17		6744.50	6874.08	USD	3,239.50	
11SEP17	B	1	PD11DEC17		6747.00	6874.08	USD	3,177.00	
11SEP17	B	3	PD11DEC17		6745.00	6874.08	USD	9,681.00	
11SEP17	B	1	PD11DEC17		6748.50	6874.08	USD	3,139.50	
11SEP17	B	2	PD11DEC17		6749.50	6874.08	USD	6,229.00	
22SEP17	B	3	PD11DEC17		6455.62	6874.08	USD	31,384.50	
	NET	0	AVG BGHT 6691.24	SOLD 6702.64				5,128.50	0.00
12SEP17	S	3	PD12DEC17		6734.00	6874.50	USD	-10,537.50	
12SEP17	S	1	PD12DEC17		6731.50	6874.50	USD	-3,575.00	
12SEP17	S	1	PD12DEC17		6728.00	6874.50	USD	-3,662.50	
12SEP17	S	1	PD12DEC17		6735.00	6874.50	USD	-3,487.50	
12SEP17	S	1	PD12DEC17		6722.50	6874.50	USD	-3,800.00	
12SEP17	S	1	PD12DEC17		6712.50	6874.50	USD	-4,050.00	
12SEP17	S	1	PD12DEC17		6714.00	6874.50	USD	-4,012.50	
12SEP17	S	1	PD12DEC17		6695.50	6874.50	USD	-4,475.00	
12SEP17	S	1	PD12DEC17		6698.50	6874.50	USD	-4,400.00	
12SEP17	S	1	PD12DEC17		6695.00	6874.50	USD	-4,487.50	
12SEP17	B	1	PD12DEC17		6720.00	6874.50	USD	3,862.50	
12SEP17	B	1	PD12DEC17		6719.50	6874.50	USD	3,875.00	
12SEP17	B	3	PD12DEC17		6718.00	6874.50	USD	11,737.50	
12SEP17	B	1	PD12DEC17		6721.00	6874.50	USD	3,837.50	
12SEP17	B	1	PD12DEC17		6641.00	6874.50	USD	5,837.50	
12SEP17	B	1	PD12DEC17		6640.00	6874.50	USD	5,862.50	
12SEP17	B	1	PD12DEC17		6641.50	6874.50	USD	5,825.00	
12SEP17	B	5	PD12DEC17		6642.00	6874.50	USD	29,062.50	
12SEP17	B	1	PD12DEC17		6653.00	6874.50	USD	5,537.50	
12SEP17	B	2	PD12DEC17		6654.50	6874.50	USD	11,000.00	
12SEP17	B	1	PD12DEC17		6656.50	6874.50	USD	5,450.00	
12SEP17	B	1	PD12DEC17		6656.00	6874.50	USD	5,462.50	
12SEP17	B	2	PD12DEC17		6671.00	6874.50	USD	10,175.00	
12SEP17	B	1	PD12DEC17		6669.50	6874.50	USD	5,125.00	

OPEN POSITION STATEMENT



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SAP0119B

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
12SEP17	B	8	PD12DEC17	6668.00	6874.50	USD	41,300.00	
12SEP17	B	2	PD12DEC17	6668.50	6874.50	USD	10,300.00	
12SEP17	B	1	PD12DEC17	6667.50	6874.50	USD	5,175.00	
12SEP17	B	1	PD12DEC17	6670.50	6874.50	USD	5,100.00	
12SEP17	S	1	PD12DEC17	6668.50	6874.50	USD	-5,150.00	
15SEP17	S	4	PD12DEC17	6505.56	6874.50	USD	-36,894.00	
19SEP17	S	2	PD12DEC17	6536.23	6874.50	USD	-16,913.50	
22SEP17	S	14	PD12DEC17	6455.83	6874.50	USD	-146,534.50	
29SEP17	S	1	PD12DEC17	6475.52	6874.50	USD	-9,974.50	
	NET	0 AVG BGHT 6669.18	SOLD 6566.32				-87,429.00	0.00
13SEP17	S	4	PD13DEC17	6677.00	6874.92	USD	-19,792.00	
13SEP17	B	1	PD13DEC17	6684.00	6874.92	USD	4,773.00	
13SEP17	S	1	PD13DEC17	6686.50	6874.92	USD	-4,710.50	
13SEP17	S	1	PD13DEC17	6674.50	6874.92	USD	-5,010.50	
13SEP17	S	2	PD13DEC17	6673.50	6874.92	USD	-10,071.00	
13SEP17	S	3	PD13DEC17	6674.00	6874.92	USD	-15,069.00	
13SEP17	B	1	PD13DEC17	6640.50	6874.92	USD	5,860.50	
13SEP17	B	1	PD13DEC17	6630.00	6874.92	USD	6,123.00	
13SEP17	B	1	PD13DEC17	6562.00	6874.92	USD	7,823.00	
13SEP17	B	1	PD13DEC17	6564.50	6874.92	USD	7,760.50	
13SEP17	B	1	PD13DEC17	6567.50	6874.92	USD	7,685.50	
13SEP17	B	1	PD13DEC17	6569.50	6874.92	USD	7,635.50	
13SEP17	B	2	PD13DEC17	6570.50	6874.92	USD	15,221.00	
13SEP17	B	2	PD13DEC17	6571.00	6874.92	USD	15,196.00	
13SEP17	B	1	PD13DEC17	6572.00	6874.92	USD	7,573.00	
13SEP17	B	1	PD13DEC17	6572.50	6874.92	USD	7,560.50	
13SEP17	B	1	PD13DEC17	6574.00	6874.92	USD	7,523.00	
13SEP17	B	2	PD13DEC17	6568.50	6874.92	USD	15,321.00	
13SEP17	B	1	PD13DEC17	6567.00	6874.92	USD	7,698.00	
13SEP17	B	1	PD13DEC17	6574.50	6874.92	USD	7,510.50	
13SEP17	B	1	PD13DEC17	6573.50	6874.92	USD	7,535.50	
13SEP17	S	1	PD13DEC17	6563.50	6874.92	USD	-7,785.50	
13SEP17	S	1	PD13DEC17	6560.50	6874.92	USD	-7,860.50	
13SEP17	S	2	PD13DEC17	6560.00	6874.92	USD	-15,746.00	
13SEP17	B	3	PD13DEC17	6563.50	6874.92	USD	23,356.50	
13SEP17	B	1	PD13DEC17	6563.00	6874.92	USD	7,798.00	
13SEP17	S	1	PD13DEC17	6551.50	6874.92	USD	-8,085.50	
13SEP17	S	1	PD13DEC17	6550.00	6874.92	USD	-8,123.00	
13SEP17	B	1	PD13DEC17	6568.00	6874.92	USD	7,673.00	
13SEP17	B	1	PD13DEC17	6569.50	6874.92	USD	7,635.50	
19SEP17	S	4	PD13DEC17	6536.63	6874.92	USD	-33,829.00	
22SEP17	B	1	PD13DEC17	6456.45	6874.92	USD	10,461.75	
29SEP17	S	5	PD13DEC17	6475.98	6874.92	USD	-49,867.50	
	NET	0 AVG BGHT 6573.81	SOLD 6588.84				9,774.25	0.00
14SEP17	B	1	PD14DEC17	6560.00	6875.33	USD	7,883.25	
14SEP17	B	1	PD14DEC17	6559.50	6875.33	USD	7,895.75	
14SEP17	B	1	PD14DEC17	6558.50	6875.33	USD	7,920.75	
14SEP17	S	1	PD14DEC17	6556.00	6875.33	USD	-7,983.25	
14SEP17	B	2	PD14DEC17	6566.00	6875.33	USD	15,466.50	
14SEP17	S	1	PD14DEC17	6566.50	6875.33	USD	-7,720.75	
14SEP17	B	2	PD14DEC17	6564.00	6875.33	USD	15,566.50	
14SEP17	S	1	PD14DEC17	6557.50	6875.33	USD	-7,945.75	
14SEP17	B	1	PD14DEC17	6526.50	6875.33	USD	8,720.75	
14SEP17	B	1	PD14DEC17	6506.50	6875.33	USD	9,220.75	
14SEP17	S	1	PD14DEC17	6516.00	6875.33	USD	-8,983.25	
14SEP17	B	1	PD14DEC17	6506.00	6875.33	USD	9,233.25	
14SEP17	S	2	PD14DEC17	6503.50	6875.33	USD	-18,591.50	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
14SEP17	B	1	PD14DEC17	6504.50	6875.33	USD	9,270.75	
15SEP17	S	2	PD14DEC17	6506.39	6875.33	USD	-18,447.00	
19SEP17	S	2	PD14DEC17	6537.02	6875.33	USD	-16,915.50	
29SEP17	S	1	PD14DEC17	6476.45	6875.33	USD	-9,972.00	
	NET	0 AVG	BGHT 6543.77				-5,380.75	0.00
			SOLD 6524.21					
15SEP17	B	1	PD15DEC17	6489.50	6875.75	USD	9,656.25	
15SEP17	B	1	PD15DEC17	6488.50	6875.75	USD	9,681.25	
15SEP17	B	1	PD15DEC17	6489.00	6875.75	USD	9,668.75	
15SEP17	B	1	PD15DEC17	6490.00	6875.75	USD	9,643.75	
15SEP17	B	1	PD15DEC17	6497.00	6875.75	USD	9,468.75	
15SEP17	B	1	PD15DEC17	6488.00	6875.75	USD	9,693.75	
15SEP17	B	1	PD15DEC17	6475.00	6875.75	USD	10,018.75	
15SEP17	B	1	PD15DEC17	6476.00	6875.75	USD	9,993.75	
15SEP17	B	1	PD15DEC17	6476.50	6875.75	USD	9,981.25	
15SEP17	S	1	PD15DEC17	6467.00	6875.75	USD	-10,218.75	
15SEP17	S	1	PD15DEC17	6467.50	6875.75	USD	-10,206.25	
15SEP17	S	2	PD15DEC17	6461.00	6875.75	USD	-20,737.50	
15SEP17	S	1	PD15DEC17	6462.00	6875.75	USD	-10,343.75	
15SEP17	S	1	PD15DEC17	6469.50	6875.75	USD	-10,156.25	
15SEP17	S	1	PD15DEC17	6465.00	6875.75	USD	-10,268.75	
15SEP17	S	1	PD15DEC17	6463.50	6875.75	USD	-10,306.25	
15SEP17	S	1	PD15DEC17	6459.00	6875.75	USD	-10,418.75	
15SEP17	S	2	PD15DEC17	6455.00	6875.75	USD	-21,037.50	
15SEP17	S	1	PD15DEC17	6457.00	6875.75	USD	-10,468.75	
15SEP17	B	1	PD15DEC17	6475.50	6875.75	USD	10,006.25	
15SEP17	B	1	PD15DEC17	6478.00	6875.75	USD	9,943.75	
15SEP17	S	2	PD15DEC17	6460.50	6875.75	USD	-20,762.50	
15SEP17	B	1	PD15DEC17	6495.00	6875.75	USD	9,518.75	
15SEP17	B	3	PD15DEC17	6497.50	6875.75	USD	28,368.75	
15SEP17	B	2	PD15DEC17	6502.00	6875.75	USD	18,687.50	
15SEP17	B	1	PD15DEC17	6501.00	6875.75	USD	9,368.75	
15SEP17	B	1	PD15DEC17	6501.50	6875.75	USD	9,356.25	
15SEP17	B	1	PD15DEC17	6512.00	6875.75	USD	9,093.75	
15SEP17	B	1	PD15DEC17	6511.50	6875.75	USD	9,106.25	
15SEP17	B	1	PD15DEC17	6510.50	6875.75	USD	9,131.25	
15SEP17	B	2	PD15DEC17	6506.50	6875.75	USD	18,462.50	
15SEP17	B	1	PD15DEC17	6482.00	6875.75	USD	9,843.75	
15SEP17	B	1	PD15DEC17	6483.00	6875.75	USD	9,818.75	
19SEP17	S	6	PD15DEC17	6537.42	6875.75	USD	-50,749.50	
29SEP17	S	6	PD15DEC17	6476.92	6875.75	USD	-59,824.50	
	NET	0 AVG	BGHT 6493.42				-6,986.50	0.00
			SOLD 6482.67					
18SEP17	S	2	PD18DEC17	6533.50	6877.00	USD	-17,175.00	
18SEP17	S	1	PD18DEC17	6548.50	6877.00	USD	-8,212.50	
18SEP17	B	3	PD18DEC17	6550.00	6877.00	USD	24,525.00	
18SEP17	S	2	PD18DEC17	6547.00	6877.00	USD	-16,500.00	
18SEP17	S	1	PD18DEC17	6548.00	6877.00	USD	-8,225.00	
18SEP17	S	1	PD18DEC17	6550.50	6877.00	USD	-8,162.50	
18SEP17	S	1	PD18DEC17	6533.00	6877.00	USD	-8,600.00	
18SEP17	S	1	PD18DEC17	6541.00	6877.00	USD	-8,400.00	
18SEP17	S	1	PD18DEC17	6541.50	6877.00	USD	-8,387.50	
18SEP17	S	2	PD18DEC17	6552.00	6877.00	USD	-16,250.00	
18SEP17	S	1	PD18DEC17	6545.00	6877.00	USD	-8,300.00	
18SEP17	S	1	PD18DEC17	6547.50	6877.00	USD	-8,237.50	
18SEP17	S	2	PD18DEC17	6551.00	6877.00	USD	-16,300.00	
18SEP17	S	3	PD18DEC17	6550.00	6877.00	USD	-24,525.00	
18SEP17	S	1	PD18DEC17	6553.50	6877.00	USD	-8,087.50	
18SEP17	S	1	PD18DEC17	6536.00	6877.00	USD	-8,525.00	

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18SEP17	S	1	PD18DEC17	6537.00	6877.00	USD	-8,500.00	
18SEP17	S	1	PD18DEC17	6535.50	6877.00	USD	-8,537.50	
19SEP17	B	12	PD18DEC17	6538.80	6877.00	USD	101,460.00	
22SEP17	B	8	PD18DEC17	6458.53	6877.00	USD	83,694.00	
	NET	0 AVG BGHT 6512.34	SOLD 6544.96				18,754.00	0.00
19SEP17	S	1	PD19DEC17	6536.00	6877.00	USD	-8,525.00	
19SEP17	S	1	PD19DEC17	6540.00	6877.00	USD	-8,425.00	
19SEP17	S	1	PD19DEC17	6523.50	6877.00	USD	-8,837.50	
19SEP17	S	1	PD19DEC17	6539.00	6877.00	USD	-8,450.00	
19SEP17	S	1	PD19DEC17	6539.50	6877.00	USD	-8,437.50	
19SEP17	S	2	PD19DEC17	6538.00	6877.00	USD	-16,950.00	
19SEP17	B	1	PD19DEC17	6513.50	6877.00	USD	9,087.50	
19SEP17	B	1	PD19DEC17	6542.00	6877.00	USD	8,375.00	
19SEP17	B	2	PD19DEC17	6539.20	6877.00	USD	16,890.00	
22SEP17	B	4	PD19DEC17	6458.95	6877.00	USD	41,805.00	
29SEP17	S	1	PD19DEC17	6478.78	6877.00	USD	-9,955.50	
	NET	0 AVG BGHT 6496.21	SOLD 6529.10				6,577.00	0.00
20SEP17	S	1	PD20DEC17	6569.00	6877.00	USD	-7,700.00	
20SEP17	S	1	PD20DEC17	6570.50	6877.00	USD	-7,662.50	
20SEP17	S	1	PD20DEC17	6576.00	6877.00	USD	-7,525.00	
20SEP17	S	1	PD20DEC17	6574.50	6877.00	USD	-7,562.50	
20SEP17	S	1	PD20DEC17	6573.00	6877.00	USD	-7,600.00	
20SEP17	B	1	PD20DEC17	6535.00	6877.00	USD	8,550.00	
20SEP17	B	1	PD20DEC17	6534.50	6877.00	USD	8,562.50	
20SEP17	B	1	PD20DEC17	6532.00	6877.00	USD	8,625.00	
20SEP17	B	2	PD20DEC17	6532.50	6877.00	USD	17,225.00	
20SEP17	B	1	PD20DEC17	6535.00	6877.00	USD	8,550.00	
29SEP17	S	1	PD20DEC17	6479.25	6877.00	USD	-9,943.75	
	NET	0 AVG BGHT 6533.58	SOLD 6557.04				3,518.75	0.00
21SEP17	S	1	PD21DEC17	6508.00	6876.00	USD	-9,200.00	
21SEP17	S	1	PD21DEC17	6501.50	6876.00	USD	-9,362.50	
21SEP17	S	2	PD21DEC17	6496.50	6876.00	USD	-18,975.00	
21SEP17	S	1	PD21DEC17	6492.00	6876.00	USD	-9,600.00	
21SEP17	S	1	PD21DEC17	6480.00	6876.00	USD	-9,900.00	
21SEP17	S	1	PD21DEC17	6479.00	6876.00	USD	-9,925.00	
21SEP17	B	1	PD21DEC17	6440.00	6876.00	USD	10,900.00	
21SEP17	B	1	PD21DEC17	6439.00	6876.00	USD	10,925.00	
21SEP17	B	1	PD21DEC17	6440.50	6876.00	USD	10,887.50	
21SEP17	B	1	PD21DEC17	6442.00	6876.00	USD	10,850.00	
21SEP17	B	1	PD21DEC17	6466.00	6876.00	USD	10,250.00	
21SEP17	B	1	PD21DEC17	6470.50	6876.00	USD	10,137.50	
21SEP17	B	1	PD21DEC17	6468.00	6876.00	USD	10,200.00	
21SEP17	B	1	PD21DEC17	6476.00	6876.00	USD	10,000.00	
21SEP17	B	1	PD21DEC17	6475.00	6876.00	USD	10,025.00	
21SEP17	S	1	PD21DEC17	6477.50	6876.00	USD	-9,962.50	
21SEP17	B	1	PD21DEC17	6470.00	6876.00	USD	10,150.00	
21SEP17	S	1	PD21DEC17	6429.00	6876.00	USD	-11,175.00	
21SEP17	S	1	PD21DEC17	6427.50	6876.00	USD	-11,212.50	
21SEP17	S	1	PD21DEC17	6426.00	6876.00	USD	-11,250.00	
21SEP17	S	2	PD21DEC17	6427.00	6876.00	USD	-22,450.00	
21SEP17	S	1	PD21DEC17	6428.50	6876.00	USD	-11,187.50	
21SEP17	S	1	PD21DEC17	6430.50	6876.00	USD	-11,137.50	
21SEP17	S	1	PD21DEC17	6431.50	6876.00	USD	-11,112.50	
21SEP17	S	1	PD21DEC17	6432.00	6876.00	USD	-11,100.00	
21SEP17	S	1	PD21DEC17	6426.50	6876.00	USD	-11,237.50	
21SEP17	B	1	PD21DEC17	6445.00	6876.00	USD	10,775.00	

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22SEP17	B	7	PD21DEC17	6456.95	6876.00	USD	73,333.75	
	NET	0 AVG BGHT 6457.26	SOLD 6456.47				-353.75	0.00
22SEP17	B	1	PD22DEC17	6440.50	6875.00	USD	10,862.50	
22SEP17	B	1	PD22DEC17	6438.50	6875.00	USD	10,912.50	
22SEP17	B	1	PD22DEC17	6442.50	6875.00	USD	10,812.50	
22SEP17	B	1	PD22DEC17	6444.50	6875.00	USD	10,762.50	
22SEP17	B	1	PD22DEC17	6431.50	6875.00	USD	11,087.50	
22SEP17	B	2	PD22DEC17	6431.00	6875.00	USD	22,200.00	
22SEP17	S	1	PD22DEC17	6401.50	6875.00	USD	-11,837.50	
22SEP17	S	1	PD22DEC17	6408.50	6875.00	USD	-11,662.50	
22SEP17	S	2	PD22DEC17	6405.50	6875.00	USD	-23,475.00	
22SEP17	S	1	PD22DEC17	6412.50	6875.00	USD	-11,562.50	
22SEP17	S	1	PD22DEC17	6410.00	6875.00	USD	-11,625.00	
22SEP17	S	2	PD22DEC17	6410.50	6875.00	USD	-23,225.00	
22SEP17	S	1	PD22DEC17	6412.00	6875.00	USD	-11,575.00	
22SEP17	S	1	PD22DEC17	6414.00	6875.00	USD	-11,525.00	
22SEP17	S	1	PD22DEC17	6405.00	6875.00	USD	-11,750.00	
22SEP17	S	2	PD22DEC17	6440.50	6875.00	USD	-21,725.00	
22SEP17	S	6	PD22DEC17	6440.00	6875.00	USD	-65,250.00	
22SEP17	S	2	PD22DEC17	6439.00	6875.00	USD	-21,800.00	
22SEP17	B	1	PD22DEC17	6467.50	6875.00	USD	10,187.50	
22SEP17	B	1	PD22DEC17	6466.00	6875.00	USD	10,225.00	
22SEP17	B	1	PD22DEC17	6468.00	6875.00	USD	10,175.00	
22SEP17	B	2	PD22DEC17	6469.00	6875.00	USD	20,300.00	
22SEP17	B	1	PD22DEC17	6468.50	6875.00	USD	10,162.50	
22SEP17	B	1	PD22DEC17	6472.50	6875.00	USD	10,062.50	
22SEP17	B	1	PD22DEC17	6472.00	6875.00	USD	10,075.00	
22SEP17	B	1	PD22DEC17	6473.00	6875.00	USD	10,050.00	
22SEP17	B	1	PD22DEC17	6470.00	6875.00	USD	10,125.00	
22SEP17	B	1	PD22DEC17	6459.00	6875.00	USD	10,400.00	
22SEP17	B	2	PD22DEC17	6456.00	6875.00	USD	20,950.00	
22SEP17	B	2	PD22DEC17	6457.50	6875.00	USD	20,875.00	
22SEP17	B	1	PD22DEC17	6452.50	6875.00	USD	10,562.50	
29SEP17	S	2	PD22DEC17	6477.25	6875.00	USD	-19,887.50	
	NET	0 AVG BGHT 6456.24	SOLD 6428.22				-16,112.50	0.00
25SEP17	B	1	PD27DEC17	6506.00	6876.67	USD	9,266.75	
25SEP17	B	1	PD27DEC17	6476.00	6876.67	USD	10,016.75	
25SEP17	S	4	PD27DEC17	6456.50	6876.67	USD	-42,017.00	
25SEP17	S	1	PD27DEC17	6455.50	6876.67	USD	-10,529.25	
25SEP17	S	2	PD27DEC17	6457.00	6876.67	USD	-20,983.50	
25SEP17	S	1	PD27DEC17	6458.00	6876.67	USD	-10,466.75	
25SEP17	S	1	PD27DEC17	6464.00	6876.67	USD	-10,316.75	
25SEP17	B	1	PD27DEC17	6459.00	6876.67	USD	10,441.75	
25SEP17	B	4	PD27DEC17	6457.50	6876.67	USD	41,917.00	
25SEP17	S	1	PD27DEC17	6466.00	6876.67	USD	-10,266.75	
26SEP17	B	1	PD27DEC17	6499.00	6876.67	USD	9,441.75	
26SEP17	B	1	PD27DEC17	6500.00	6876.67	USD	9,416.75	
26SEP17	B	2	PD27DEC17	6499.50	6876.67	USD	18,858.50	
26SEP17	B	2	PD27DEC17	6500.50	6876.67	USD	18,808.50	
26SEP17	S	2	PD27DEC17	6524.50	6876.67	USD	-17,608.50	
26SEP17	S	1	PD27DEC17	6526.00	6876.67	USD	-8,766.75	
26SEP17	S	1	PD27DEC17	6519.50	6876.67	USD	-8,929.25	
26SEP17	S	1	PD27DEC17	6518.50	6876.67	USD	-8,954.25	
26SEP17	S	1	PD27DEC17	6518.00	6876.67	USD	-8,966.75	
26SEP17	S	1	PD27DEC17	6514.50	6876.67	USD	-9,054.25	
26SEP17	S	2	PD27DEC17	6522.00	6876.67	USD	-17,733.50	
26SEP17	B	1	PD27DEC17	6519.00	6876.67	USD	8,941.75	

OPEN POSITION STATEMENT



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SAP0119B

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backoffice@sapiencap.com

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
26SEP17	B	2	PD27DEC17	6517.00	6876.67	USD	17,983.50	
26SEP17	S	1	PD27DEC17	6514.00	6876.67	USD	-9,066.75	
26SEP17	B	1	PD27DEC17	6507.50	6876.67	USD	9,229.25	
26SEP17	B	2	PD27DEC17	6505.00	6876.67	USD	18,583.50	
26SEP17	S	4	PD27DEC17	6517.00	6876.67	USD	-35,967.00	
26SEP17	S	2	PD27DEC17	6512.50	6876.67	USD	-18,208.50	
26SEP17	S	1	PD27DEC17	6510.00	6876.67	USD	-9,166.75	
26SEP17	B	6	PD27DEC17	6508.00	6876.67	USD	55,300.50	
26SEP17	B	4	PD27DEC17	6507.00	6876.67	USD	36,967.00	
26SEP17	B	1	PD27DEC17	6457.50	6876.67	USD	10,479.25	
26SEP17	B	1	PD27DEC17	6457.00	6876.67	USD	10,491.75	
26SEP17	B	1	PD27DEC17	6455.00	6876.67	USD	10,541.75	
26SEP17	B	2	PD27DEC17	6454.50	6876.67	USD	21,108.50	
26SEP17	B	2	PD27DEC17	6455.50	6876.67	USD	21,058.50	
26SEP17	B	1	PD27DEC17	6451.50	6876.67	USD	10,629.25	
26SEP17	B	1	PD27DEC17	6446.50	6876.67	USD	10,754.25	
26SEP17	B	1	PD27DEC17	6443.50	6876.67	USD	10,829.25	
26SEP17	B	2	PD27DEC17	6453.00	6876.67	USD	21,183.50	
26SEP17	B	1	PD27DEC17	6451.00	6876.67	USD	10,641.75	
26SEP17	B	1	PD27DEC17	6452.50	6876.67	USD	10,604.25	
26SEP17	B	1	PD27DEC17	6435.00	6876.67	USD	11,041.75	
26SEP17	B	1	PD27DEC17	6434.00	6876.67	USD	11,066.75	
26SEP17	B	2	PD27DEC17	6434.50	6876.67	USD	22,108.50	
26SEP17	B	1	PD27DEC17	6441.50	6876.67	USD	10,879.25	
26SEP17	B	1	PD27DEC17	6442.50	6876.67	USD	10,854.25	
26SEP17	B	1	PD27DEC17	6441.00	6876.67	USD	10,891.75	
26SEP17	B	1	PD27DEC17	6440.00	6876.67	USD	10,916.75	
26SEP17	B	1	PD27DEC17	6439.50	6876.67	USD	10,929.25	
26SEP17	B	1	PD27DEC17	6435.50	6876.67	USD	11,029.25	
26SEP17	B	1	PD27DEC17	6432.00	6876.67	USD	11,116.75	
26SEP17	B	1	PD27DEC17	6430.00	6876.67	USD	11,166.75	
26SEP17	B	2	PD27DEC17	6426.00	6876.67	USD	22,533.50	
26SEP17	B	1	PD27DEC17	6424.00	6876.67	USD	11,316.75	
26SEP17	B	1	PD27DEC17	6434.50	6876.67	USD	11,054.25	
27SEP17	S	1	PD27DEC17	6437.00	6876.67	USD	-10,991.75	
27SEP17	S	2	PD27DEC17	6436.50	6876.67	USD	-22,008.50	
27SEP17	S	1	PD27DEC17	6438.50	6876.67	USD	-10,954.25	
27SEP17	S	1	PD27DEC17	6422.00	6876.67	USD	-11,366.75	
27SEP17	S	1	PD27DEC17	6417.50	6876.67	USD	-11,479.25	
27SEP17	S	3	PD27DEC17	6418.50	6876.67	USD	-34,362.75	
27SEP17	S	4	PD27DEC17	6419.50	6876.67	USD	-45,717.00	
27SEP17	S	1	PD27DEC17	6423.50	6876.67	USD	-11,329.25	
27SEP17	S	1	PD27DEC17	6425.50	6876.67	USD	-11,279.25	
27SEP17	S	1	PD27DEC17	6443.50	6876.67	USD	-10,829.25	
27SEP17	S	1	PD27DEC17	6440.00	6876.67	USD	-10,916.75	
27SEP17	S	4	PD27DEC17	6435.00	6876.67	USD	-44,167.00	
27SEP17	S	2	PD27DEC17	6441.50	6876.67	USD	-21,758.50	
27SEP17	S	1	PD27DEC17	6434.50	6876.67	USD	-11,054.25	
27SEP17	S	4	PD27DEC17	6438.00	6876.67	USD	-43,867.00	
27SEP17	S	1	PD27DEC17	6421.00	6876.67	USD	-11,391.75	
27SEP17	S	2	PD27DEC17	6441.50	6876.67	USD	-21,758.50	
29SEP17	S	1	PD27DEC17	6479.93	6876.67	USD	-9,918.50	
	NET	0 AVG BGHT 6469.62	SOLD 6461.65				-11,751.75	0.00
28SEP17	B	4	PD28DEC17	6463.00	6877.00	USD	41,400.00	
28SEP17	B	5	PD28DEC17	6458.00	6877.00	USD	52,375.00	
28SEP17	B	2	PD28DEC17	6461.00	6877.00	USD	20,800.00	
28SEP17	B	1	PD28DEC17	6462.00	6877.00	USD	10,375.00	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
28SEP17	B	2	PD28DEC17	6459.00	6877.00	USD	20,900.00	
28SEP17	S	1	PD28DEC17	6412.00	6877.00	USD	-11,625.00	
28SEP17	S	4	PD28DEC17	6404.00	6877.00	USD	-47,300.00	
28SEP17	S	4	PD28DEC17	6405.50	6877.00	USD	-47,150.00	
28SEP17	S	3	PD28DEC17	6423.00	6877.00	USD	-34,050.00	
28SEP17	B	3	PD28DEC17	6426.00	6877.00	USD	33,825.00	
28SEP17	B	4	PD28DEC17	6406.00	6877.00	USD	47,100.00	
28SEP17	S	11	PD28DEC17	6408.50	6877.00	USD	-128,837.50	
28SEP17	S	1	PD28DEC17	6409.50	6877.00	USD	-11,687.50	
28SEP17	S	2	PD28DEC17	6409.00	6877.00	USD	-23,400.00	
28SEP17	S	4	PD28DEC17	6408.00	6877.00	USD	-46,900.00	
28SEP17	S	2	PD28DEC17	6401.50	6877.00	USD	-23,775.00	
28SEP17	S	1	PD28DEC17	6400.50	6877.00	USD	-11,912.50	
28SEP17	S	2	PD28DEC17	6400.00	6877.00	USD	-23,850.00	
28SEP17	S	1	PD28DEC17	6406.00	6877.00	USD	-11,775.00	
28SEP17	B	2	PD28DEC17	6433.00	6877.00	USD	22,200.00	
28SEP17	B	1	PD28DEC17	6434.00	6877.00	USD	11,075.00	
28SEP17	B	1	PD28DEC17	6432.50	6877.00	USD	11,112.50	
28SEP17	B	1	PD28DEC17	6428.00	6877.00	USD	11,225.00	
28SEP17	B	1	PD28DEC17	6437.00	6877.00	USD	11,000.00	
28SEP17	B	1	PD28DEC17	6436.50	6877.00	USD	11,012.50	
28SEP17	B	2	PD28DEC17	6440.00	6877.00	USD	21,850.00	
28SEP17	B	4	PD28DEC17	6441.50	6877.00	USD	43,550.00	
28SEP17	B	2	PD28DEC17	6440.50	6877.00	USD	21,825.00	
28SEP17	B	8	PD28DEC17	6441.00	6877.00	USD	87,200.00	
28SEP17	B	6	PD28DEC17	6442.00	6877.00	USD	65,250.00	
28SEP17	B	1	PD28DEC17	6438.50	6877.00	USD	10,962.50	
28SEP17	B	2	PD28DEC17	6438.00	6877.00	USD	21,950.00	
28SEP17	B	4	PD28DEC17	6433.50	6877.00	USD	44,350.00	
28SEP17	S	1	PD28DEC17	6433.00	6877.00	USD	-11,100.00	
28SEP17	B	1	PD28DEC17	6466.50	6877.00	USD	10,262.50	
28SEP17	S	1	PD28DEC17	6462.50	6877.00	USD	-10,362.50	
28SEP17	B	2	PD28DEC17	6471.00	6877.00	USD	20,300.00	
28SEP17	B	1	PD28DEC17	6471.50	6877.00	USD	10,137.50	
28SEP17	B	1	PD28DEC17	6479.00	6877.00	USD	9,950.00	
28SEP17	B	2	PD28DEC17	6460.00	6877.00	USD	20,850.00	
28SEP17	S	1	PD28DEC17	6461.00	6877.00	USD	-10,400.00	
28SEP17	B	3	PD28DEC17	6472.00	6877.00	USD	30,375.00	
28SEP17	B	3	PD28DEC17	6475.00	6877.00	USD	30,150.00	
28SEP17	B	2	PD28DEC17	6475.50	6877.00	USD	20,075.00	
28SEP17	B	2	PD28DEC17	6478.00	6877.00	USD	19,950.00	
28SEP17	B	1	PD28DEC17	6486.00	6877.00	USD	9,775.00	
28SEP17	B	1	PD28DEC17	6488.00	6877.00	USD	9,725.00	
28SEP17	B	1	PD28DEC17	6515.00	6877.00	USD	9,050.00	
28SEP17	S	1	PD28DEC17	6509.00	6877.00	USD	-9,200.00	
28SEP17	B	2	PD28DEC17	6514.50	6877.00	USD	18,125.00	
28SEP17	B	1	PD28DEC17	6517.00	6877.00	USD	9,000.00	
28SEP17	B	1	PD28DEC17	6516.00	6877.00	USD	9,025.00	
28SEP17	B	1	PD28DEC17	6517.50	6877.00	USD	8,987.50	
28SEP17	B	2	PD28DEC17	6527.50	6877.00	USD	17,475.00	
28SEP17	B	1	PD28DEC17	6526.00	6877.00	USD	8,775.00	
28SEP17	B	2	PD28DEC17	6522.00	6877.00	USD	17,750.00	
28SEP17	B	2	PD28DEC17	6524.50	6877.00	USD	17,625.00	
28SEP17	B	1	PD28DEC17	6524.00	6877.00	USD	8,825.00	
28SEP17	S	1	PD28DEC17	6522.00	6877.00	USD	-8,875.00	
28SEP17	S	2	PD28DEC17	6521.50	6877.00	USD	-17,775.00	
28SEP17	S	8	PD28DEC17	6517.00	6877.00	USD	-72,000.00	

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28SEP17	S	1	PD28DEC17	6510.00	6877.00	USD	-9,175.00	
28SEP17	S	3	PD28DEC17	6510.50	6877.00	USD	-27,487.50	
28SEP17	S	4	PD28DEC17	6508.50	6877.00	USD	-36,850.00	
28SEP17	S	1	PD28DEC17	6511.00	6877.00	USD	-9,150.00	
28SEP17	S	2	PD28DEC17	6514.00	6877.00	USD	-18,150.00	
28SEP17	S	1	PD28DEC17	6514.50	6877.00	USD	-9,062.50	
28SEP17	S	5	PD28DEC17	6513.00	6877.00	USD	-45,500.00	
28SEP17	S	4	PD28DEC17	6516.50	6877.00	USD	-36,050.00	
28SEP17	S	1	PD28DEC17	6517.50	6877.00	USD	-8,987.50	
28SEP17	S	2	PD28DEC17	6523.50	6877.00	USD	-17,675.00	
28SEP17	S	4	PD28DEC17	6527.00	6877.00	USD	-35,000.00	
28SEP17	S	1	PD28DEC17	6531.50	6877.00	USD	-8,637.50	
28SEP17	S	1	PD28DEC17	6533.50	6877.00	USD	-8,587.50	
28SEP17	S	1	PD28DEC17	6534.50	6877.00	USD	-8,562.50	
28SEP17	B	1	PD28DEC17	6561.00	6877.00	USD	7,900.00	
28SEP17	S	1	PD28DEC17	6518.00	6877.00	USD	-8,975.00	
28SEP17	S	1	PD28DEC17	6533.50	6877.00	USD	-8,587.50	
28SEP17	S	1	PD28DEC17	6533.00	6877.00	USD	-8,600.00	
28SEP17	S	1	PD28DEC17	6573.00	6877.00	USD	-7,600.00	
28SEP17	S	1	PD28DEC17	6572.50	6877.00	USD	-7,612.50	
29SEP17	S	4	PD28DEC17	6480.46	6877.00	USD	-39,654.00	
	NET	0AVG BGHT 6461.43	SOLD 6471.78				23,546.00	0.00
29SEP17	S	1	PD29DEC17	6539.00	6877.33	USD	-8,458.25	
29SEP17	S	1	PD29DEC17	6539.50	6877.33	USD	-8,445.75	
29SEP17	S	1	PD29DEC17	6540.50	6877.33	USD	-8,420.75	
29SEP17	S	1	PD29DEC17	6537.50	6877.33	USD	-8,495.75	
29SEP17	S	4	PD29DEC17	6536.00	6877.33	USD	-34,133.00	
29SEP17	S	4	PD29DEC17	6535.00	6877.33	USD	-34,233.00	
29SEP17	S	1	PD29DEC17	6531.00	6877.33	USD	-8,658.25	
29SEP17	S	1	PD29DEC17	6534.50	6877.33	USD	-8,570.75	
29SEP17	B	2	PD29DEC17	6534.50	6877.33	USD	17,141.50	
29SEP17	S	2	PD29DEC17	6530.50	6877.33	USD	-17,341.50	
29SEP17	S	1	PD29DEC17	6530.00	6877.33	USD	-8,683.25	
29SEP17	B	1	PD29DEC17	6530.50	6877.33	USD	8,670.75	
29SEP17	S	6	PD29DEC17	6534.00	6877.33	USD	-51,499.50	
29SEP17	S	3	PD29DEC17	6525.50	6877.33	USD	-26,387.25	
29SEP17	B	23	PD29DEC17	6481.20	6877.33	USD	227,774.75	
	NET	0AVG BGHT 6487.20	SOLD 6533.75				30,260.00	0.00
09OCT17	S	1	PD09JAN18	6674.00	6881.00	USD	-5,175.00	
09OCT17	B	1	PD09JAN18	6644.50	6881.00	USD	5,912.50	
09OCT17	S	1	PD09JAN18	6641.00	6881.00	USD	-6,000.00	
09OCT17	B	1	PD09JAN18	6649.50	6881.00	USD	5,787.50	
09OCT17	B	1	PD09JAN18	6679.00	6881.00	USD	5,050.00	
09OCT17	B	1	PD09JAN18	6682.00	6881.00	USD	4,975.00	
09OCT17	B	3	PD09JAN18	6643.50	6881.00	USD	17,812.50	
09OCT17	S	1	PD09JAN18	6677.00	6881.00	USD	-5,100.00	
09OCT17	B	1	PD09JAN18	6679.50	6881.00	USD	5,037.50	
09OCT17	B	2	PD09JAN18	6681.00	6881.00	USD	10,000.00	
09OCT17	S	1	PD09JAN18	6679.00	6881.00	USD	-5,050.00	
09OCT17	S	1	PD09JAN18	6678.50	6881.00	USD	-5,062.50	
09OCT17	S	1	PD09JAN18	6675.50	6881.00	USD	-5,137.50	
09OCT17	S	2	PD09JAN18	6678.00	6881.00	USD	-10,150.00	
09OCT17	B	2	PD09JAN18	6680.00	6881.00	USD	10,050.00	
09OCT17	B	2	PD09JAN18	6681.50	6881.00	USD	9,975.00	
09OCT17	B	2	PD09JAN18	6682.50	6881.00	USD	9,925.00	
09OCT17	B	1	PD09JAN18	6683.50	6881.00	USD	4,937.50	
09OCT17	S	2	PD09JAN18	6680.00	6881.00	USD	-10,050.00	

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09OCT17	B	1	PD09JAN18	6677.50	6881.00	USD	5,087.50	
09OCT17	B	1	PD09JAN18	6678.00	6881.00	USD	5,075.00	
09OCT17	S	3	PD09JAN18	6638.00	6881.00	USD	-18,225.00	
09OCT17	S	1	PD09JAN18	6676.00	6881.00	USD	-5,125.00	
09OCT17	S	1	PD09JAN18	6673.00	6881.00	USD	-5,200.00	
09OCT17	S	2	PD09JAN18	6675.00	6881.00	USD	-10,300.00	
09OCT17	S	1	PD09JAN18	6673.50	6881.00	USD	-5,187.50	
09OCT17	S	1	PD09JAN18	6678.50	6881.00	USD	-5,062.50	
09OCT17	B	1	PD09JAN18	6678.50	6881.00	USD	5,062.50	
09OCT17	B	1	PD09JAN18	6666.00	6881.00	USD	5,375.00	
09OCT17	B	2	PD09JAN18	6667.50	6881.00	USD	10,675.00	
09OCT17	B	3	PD09JAN18	6669.00	6881.00	USD	15,900.00	
09OCT17	B	1	PD09JAN18	6671.00	6881.00	USD	5,250.00	
09OCT17	S	1	PD09JAN18	6669.50	6881.00	USD	-5,287.50	
	NET	+7 AVG BGHT 6670.80	SOLD 6668.78				35,775.00	0.00
10OCT17	S	1	PD10JAN18	6688.50	6881.33	USD	-4,820.75	
10OCT17	B	1	PD10JAN18	6692.50	6881.33	USD	4,720.75	
10OCT17	B	2	PD10JAN18	6693.50	6881.33	USD	9,391.50	
10OCT17	B	2	PD10JAN18	6695.50	6881.33	USD	9,291.50	
10OCT17	B	5	PD10JAN18	6696.00	6881.33	USD	23,166.25	
10OCT17	B	2	PD10JAN18	6704.50	6881.33	USD	8,841.50	
10OCT17	B	1	PD10JAN18	6707.00	6881.33	USD	4,358.25	
10OCT17	B	3	PD10JAN18	6705.00	6881.33	USD	13,224.75	
10OCT17	B	2	PD10JAN18	6725.00	6881.33	USD	7,816.50	
10OCT17	B	1	PD10JAN18	6726.00	6881.33	USD	3,883.25	
10OCT17	B	1	PD10JAN18	6723.00	6881.33	USD	3,958.25	
10OCT17	S	1	PD10JAN18	6715.50	6881.33	USD	-4,145.75	
10OCT17	S	1	PD10JAN18	6714.50	6881.33	USD	-4,170.75	
10OCT17	S	1	PD10JAN18	6713.00	6881.33	USD	-4,208.25	
10OCT17	S	1	PD10JAN18	6704.00	6881.33	USD	-4,433.25	
10OCT17	S	1	PD10JAN18	6700.00	6881.33	USD	-4,533.25	
10OCT17	S	2	PD10JAN18	6706.00	6881.33	USD	-8,766.50	
10OCT17	S	3	PD10JAN18	6706.50	6881.33	USD	-13,112.25	
10OCT17	B	2	PD10JAN18	6705.50	6881.33	USD	8,791.50	
10OCT17	B	3	PD10JAN18	6709.00	6881.33	USD	12,924.75	
10OCT17	B	1	PD10JAN18	6708.50	6881.33	USD	4,320.75	
10OCT17	B	1	PD10JAN18	6708.00	6881.33	USD	4,333.25	
10OCT17	S	2	PD10JAN18	6686.00	6881.33	USD	-9,766.50	
10OCT17	S	1	PD10JAN18	6686.50	6881.33	USD	-4,870.75	
10OCT17	S	1	PD10JAN18	6687.50	6881.33	USD	-4,845.75	
10OCT17	B	1	PD10JAN18	6686.50	6881.33	USD	4,870.75	
10OCT17	B	1	PD10JAN18	6684.00	6881.33	USD	4,933.25	
10OCT17	B	1	PD10JAN18	6683.00	6881.33	USD	4,958.25	
10OCT17	B	1	PD10JAN18	6682.50	6881.33	USD	4,970.75	
10OCT17	B	2	PD10JAN18	6690.50	6881.33	USD	9,541.50	
10OCT17	B	2	PD10JAN18	6695.00	6881.33	USD	9,316.50	
10OCT17	B	1	PD10JAN18	6698.00	6881.33	USD	4,583.25	
10OCT17	B	2	PD10JAN18	6689.00	6881.33	USD	9,616.50	
10OCT17	B	1	PD10JAN18	6694.50	6881.33	USD	4,670.75	
10OCT17	B	1	PD10JAN18	6747.00	6881.33	USD	3,358.25	
10OCT17	B	2	PD10JAN18	6749.00	6881.33	USD	6,616.50	
10OCT17	B	1	PD10JAN18	6759.50	6881.33	USD	3,045.75	
10OCT17	B	2	PD10JAN18	6747.50	6881.33	USD	6,691.50	
10OCT17	B	3	PD10JAN18	6753.00	6881.33	USD	9,624.75	
10OCT17	B	1	PD10JAN18	6752.50	6881.33	USD	3,220.75	
10OCT17	B	1	PD10JAN18	6751.50	6881.33	USD	3,245.75	
10OCT17	B	1	PD10JAN18	6751.00	6881.33	USD	3,258.25	

OPEN POSITION STATEMENT



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Suite A, 105 Piccadilly
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backoffice@sapiencap.com

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
10OCT17	B	2	PD10JAN18	6754.00	6881.33	USD	6,366.50	
10OCT17	B	3	PD10JAN18	6748.00	6881.33	USD	9,999.75	
10OCT17	B	7	PD10JAN18	6748.50	6881.33	USD	23,245.25	
10OCT17	B	1	PD10JAN18	6743.50	6881.33	USD	3,445.75	
10OCT17	B	2	PD10JAN18	6741.00	6881.33	USD	7,016.50	
10OCT17	B	2	PD10JAN18	6746.00	6881.33	USD	6,766.50	
	NET	+53 AVG BGHT 6721.10	SOLD 6700.87				204,712.25	0.00
11OCT17	B	1	PD11JAN18	6742.00	6881.67	USD	3,491.75	
11OCT17	B	1	PD11JAN18	6734.50	6881.67	USD	3,679.25	
11OCT17	B	1	PD11JAN18	6732.50	6881.67	USD	3,729.25	
11OCT17	B	1	PD11JAN18	6740.00	6881.67	USD	3,541.75	
11OCT17	S	1	PD11JAN18	6751.00	6881.67	USD	-3,266.75	
11OCT17	S	1	PD11JAN18	6750.50	6881.67	USD	-3,279.25	
11OCT17	S	1	PD11JAN18	6754.50	6881.67	USD	-3,179.25	
11OCT17	S	1	PD11JAN18	6760.50	6881.67	USD	-3,029.25	
11OCT17	S	1	PD11JAN18	6759.00	6881.67	USD	-3,066.75	
11OCT17	S	2	PD11JAN18	6760.00	6881.67	USD	-6,083.50	
11OCT17	S	3	PD11JAN18	6759.50	6881.67	USD	-9,162.75	
11OCT17	S	2	PD11JAN18	6758.50	6881.67	USD	-6,158.50	
11OCT17	S	3	PD11JAN18	6758.00	6881.67	USD	-9,275.25	
11OCT17	S	2	PD11JAN18	6756.00	6881.67	USD	-6,283.50	
11OCT17	S	1	PD11JAN18	6745.50	6881.67	USD	-3,404.25	
11OCT17	B	1	PD11JAN18	6761.00	6881.67	USD	3,016.75	
11OCT17	B	2	PD11JAN18	6773.00	6881.67	USD	5,433.50	
11OCT17	B	1	PD11JAN18	6771.00	6881.67	USD	2,766.75	
11OCT17	B	1	PD11JAN18	6771.50	6881.67	USD	2,754.25	
11OCT17	B	1	PD11JAN18	6769.50	6881.67	USD	2,804.25	
11OCT17	B	1	PD11JAN18	6767.50	6881.67	USD	2,854.25	
11OCT17	B	1	PD11JAN18	6768.50	6881.67	USD	2,829.25	
11OCT17	B	4	PD11JAN18	6770.00	6881.67	USD	11,167.00	
11OCT17	B	1	PD11JAN18	6766.50	6881.67	USD	2,879.25	
11OCT17	B	3	PD11JAN18	6772.00	6881.67	USD	8,225.25	
11OCT17	B	3	PD11JAN18	6774.50	6881.67	USD	8,037.75	
11OCT17	B	1	PD11JAN18	6781.50	6881.67	USD	2,504.25	
11OCT17	B	1	PD11JAN18	6784.00	6881.67	USD	2,441.75	
11OCT17	B	1	PD11JAN18	6784.50	6881.67	USD	2,429.25	
11OCT17	B	1	PD11JAN18	6783.50	6881.67	USD	2,454.25	
11OCT17	B	1	PD11JAN18	6788.00	6881.67	USD	2,341.75	
11OCT17	B	1	PD11JAN18	6789.00	6881.67	USD	2,316.75	
11OCT17	S	1	PD11JAN18	6788.50	6881.67	USD	-2,329.25	
11OCT17	S	1	PD11JAN18	6792.50	6881.67	USD	-2,229.25	
11OCT17	B	1	PD11JAN18	6792.00	6881.67	USD	2,241.75	
11OCT17	B	1	PD11JAN18	6791.50	6881.67	USD	2,254.25	
11OCT17	S	1	PD11JAN18	6791.00	6881.67	USD	-2,266.75	
11OCT17	S	1	PD11JAN18	6774.50	6881.67	USD	-2,679.25	
11OCT17	S	3	PD11JAN18	6773.00	6881.67	USD	-8,150.25	
11OCT17	B	1	PD11JAN18	6769.00	6881.67	USD	2,816.75	
11OCT17	S	1	PD11JAN18	6788.50	6881.67	USD	-2,329.25	
11OCT17	S	1	PD11JAN18	6789.00	6881.67	USD	-2,316.75	
11OCT17	B	1	PD11JAN18	6792.50	6881.67	USD	2,229.25	
11OCT17	B	1	PD11JAN18	6782.50	6881.67	USD	2,479.25	
11OCT17	B	1	PD11JAN18	6787.00	6881.67	USD	2,366.75	
11OCT17	B	2	PD11JAN18	6793.50	6881.67	USD	4,408.50	
11OCT17	B	1	PD11JAN18	6794.50	6881.67	USD	2,179.25	
11OCT17	B	1	PD11JAN18	6799.50	6881.67	USD	2,054.25	
11OCT17	B	2	PD11JAN18	6804.00	6881.67	USD	3,883.50	
11OCT17	B	1	PD11JAN18	6803.50	6881.67	USD	1,954.25	

OPEN POSITION STATEMENT



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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
11OCT17	B	2	PD11JAN18	6807.00	6881.67	USD	3,733.50	
11OCT17	B	1	PD11JAN18	6809.50	6881.67	USD	1,804.25	
11OCT17	S	1	PD11JAN18	6810.50	6881.67	USD	-1,779.25	
11OCT17	B	1	PD11JAN18	6810.00	6881.67	USD	1,791.75	
11OCT17	B	1	PD11JAN18	6798.00	6881.67	USD	2,091.75	
11OCT17	B	1	PD11JAN18	6796.50	6881.67	USD	2,129.25	
11OCT17	B	1	PD11JAN18	6802.00	6881.67	USD	1,991.75	
11OCT17	S	1	PD11JAN18	6802.00	6881.67	USD	-1,991.75	
11OCT17	B	8	PD11JAN18	6803.00	6881.67	USD	15,734.00	
11OCT17	B	4	PD11JAN18	6802.50	6881.67	USD	7,917.00	
11OCT17	B	1	PD11JAN18	6808.00	6881.67	USD	1,841.75	
11OCT17	S	2	PD11JAN18	6809.00	6881.67	USD	-3,633.50	
	NET	+31 AVG BGHT 6785.15	SOLD 6770.84				63,706.75	0.00
12OCT17	S	1	PD12JAN18	6805.00	6882.00	USD	-1,925.00	
12OCT17	S	1	PD12JAN18	6799.00	6882.00	USD	-2,075.00	
12OCT17	S	1	PD12JAN18	6802.00	6882.00	USD	-2,000.00	
12OCT17	S	1	PD12JAN18	6798.00	6882.00	USD	-2,100.00	
12OCT17	S	2	PD12JAN18	6797.50	6882.00	USD	-4,225.00	
12OCT17	S	2	PD12JAN18	6796.00	6882.00	USD	-4,300.00	
12OCT17	S	1	PD12JAN18	6795.00	6882.00	USD	-2,175.00	
12OCT17	S	1	PD12JAN18	6793.00	6882.00	USD	-2,225.00	
12OCT17	S	1	PD12JAN18	6791.50	6882.00	USD	-2,262.50	
12OCT17	S	1	PD12JAN18	6791.00	6882.00	USD	-2,275.00	
12OCT17	S	1	PD12JAN18	6790.00	6882.00	USD	-2,300.00	
12OCT17	B	2	PD12JAN18	6816.00	6882.00	USD	3,300.00	
12OCT17	B	1	PD12JAN18	6818.50	6882.00	USD	1,587.50	
12OCT17	B	2	PD12JAN18	6818.00	6882.00	USD	3,200.00	
12OCT17	B	2	PD12JAN18	6819.00	6882.00	USD	3,150.00	
12OCT17	B	2	PD12JAN18	6819.50	6882.00	USD	3,125.00	
12OCT17	B	1	PD12JAN18	6835.50	6882.00	USD	1,162.50	
12OCT17	S	1	PD12JAN18	6836.00	6882.00	USD	-1,150.00	
12OCT17	S	1	PD12JAN18	6793.00	6882.00	USD	-2,225.00	
12OCT17	S	1	PD12JAN18	6790.00	6882.00	USD	-2,300.00	
12OCT17	S	1	PD12JAN18	6860.50	6882.00	USD	-537.50	
12OCT17	S	1	PD12JAN18	6861.50	6882.00	USD	-512.50	
12OCT17	S	1	PD12JAN18	6879.50	6882.00	USD	-62.50	
12OCT17	S	1	PD12JAN18	6880.00	6882.00	USD	-50.00	
12OCT17	S	1	PD12JAN18	6881.00	6882.00	USD	-25.00	
12OCT17	S	1	PD12JAN18	6889.00	6882.00	USD	175.00	
12OCT17	S	1	PD12JAN18	6886.50	6882.00	USD	112.50	
12OCT17	S	1	PD12JAN18	6886.00	6882.00	USD	100.00	
12OCT17	S	1	PD12JAN18	6885.50	6882.00	USD	87.50	
12OCT17	S	2	PD12JAN18	6890.50	6882.00	USD	425.00	
12OCT17	S	1	PD12JAN18	6889.50	6882.00	USD	187.50	
12OCT17	S	4	PD12JAN18	6888.50	6882.00	USD	650.00	
12OCT17	S	3	PD12JAN18	6887.50	6882.00	USD	412.50	
12OCT17	S	2	PD12JAN18	6887.00	6882.00	USD	250.00	
12OCT17	S	1	PD12JAN18	6882.50	6882.00	USD	12.50	
12OCT17	S	1	PD12JAN18	6884.00	6882.00	USD	50.00	
12OCT17	S	1	PD12JAN18	6891.00	6882.00	USD	225.00	
12OCT17	S	1	PD12JAN18	6893.50	6882.00	USD	287.50	
12OCT17	S	1	PD12JAN18	6897.00	6882.00	USD	375.00	
12OCT17	S	1	PD12JAN18	6895.50	6882.00	USD	337.50	
12OCT17	S	2	PD12JAN18	6894.00	6882.00	USD	600.00	
12OCT17	S	1	PD12JAN18	6888.00	6882.00	USD	150.00	
12OCT17	S	1	PD12JAN18	6881.50	6882.00	USD	-12.50	
12OCT17	S	2	PD12JAN18	6886.00	6882.00	USD	200.00	

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12OCT17	S	1	PD12JAN18	6884.50	6882.00	USD	62.50	
12OCT17	B	2	PD12JAN18	6878.00	6882.00	USD	200.00	
12OCT17	B	2	PD12JAN18	6875.00	6882.00	USD	350.00	
12OCT17	B	1	PD12JAN18	6876.00	6882.00	USD	150.00	
12OCT17	B	3	PD12JAN18	6871.00	6882.00	USD	825.00	
12OCT17	B	1	PD12JAN18	6871.50	6882.00	USD	262.50	
13OCT17	B	1	PD12JAN18	6875.00	6882.00	USD	175.00	
13OCT17	B	2	PD12JAN18	6882.00	6882.00	USD	0.00	
13OCT17	B	1	PD12JAN18	6881.00	6882.00	USD	25.00	
13OCT17	B	3	PD12JAN18	6881.50	6882.00	USD	37.50	
13OCT17	S	1	PD12JAN18	6881.00	6882.00	USD	-25.00	
13OCT17	B	1	PD12JAN18	6884.50	6882.00	USD	-62.50	
13OCT17	B	1	PD12JAN18	6883.50	6882.00	USD	-37.50	
13OCT17	B	1	PD12JAN18	6883.00	6882.00	USD	-25.00	
13OCT17	B	1	PD12JAN18	6888.00	6882.00	USD	-150.00	
13OCT17	S	2	PD12JAN18	6883.00	6882.00	USD	50.00	
13OCT17	S	1	PD12JAN18	6861.00	6882.00	USD	-525.00	
13OCT17	S	1	PD12JAN18	6864.50	6882.00	USD	-437.50	
13OCT17	S	1	PD12JAN18	6864.00	6882.00	USD	-450.00	
13OCT17	S	3	PD12JAN18	6866.50	6882.00	USD	-1,162.50	
13OCT17	S	1	PD12JAN18	6868.00	6882.00	USD	-350.00	
13OCT17	S	1	PD12JAN18	6863.50	6882.00	USD	-462.50	
13OCT17	S	3	PD12JAN18	6861.50	6882.00	USD	-1,537.50	
13OCT17	S	1	PD12JAN18	6862.50	6882.00	USD	-487.50	
13OCT17	S	2	PD12JAN18	6863.00	6882.00	USD	-950.00	
13OCT17	S	3	PD12JAN18	6867.00	6882.00	USD	-1,125.00	
13OCT17	B	1	PD12JAN18	6869.00	6882.00	USD	325.00	
13OCT17	S	1	PD12JAN18	6869.00	6882.00	USD	-325.00	
13OCT17	B	1	PD12JAN18	6868.00	6882.00	USD	350.00	
13OCT17	S	1	PD12JAN18	6866.00	6882.00	USD	-400.00	
13OCT17	S	1	PD12JAN18	6875.00	6882.00	USD	-175.00	
13OCT17	S	1	PD12JAN18	6869.00	6882.00	USD	-325.00	
13OCT17	S	2	PD12JAN18	6873.50	6882.00	USD	-425.00	
13OCT17	S	1	PD12JAN18	6876.00	6882.00	USD	-150.00	
13OCT17	B	1	PD12JAN18	6884.00	6882.00	USD	-50.00	
13OCT17	B	1	PD12JAN18	6887.00	6882.00	USD	-125.00	
13OCT17	B	1	PD12JAN18	6886.00	6882.00	USD	-100.00	
13OCT17	S	2	PD12JAN18	6872.00	6882.00	USD	-500.00	
13OCT17	S	1	PD12JAN18	6873.00	6882.00	USD	-225.00	
13OCT17	S	2	PD12JAN18	6865.50	6882.00	USD	-825.00	
13OCT17	S	1	PD12JAN18	6887.50	6882.00	USD	137.50	
13OCT17	S	3	PD12JAN18	6888.50	6882.00	USD	487.50	
	NET	-51 AVG BGHT	6861.80	SOLD 6863.29			-22,550.00	0.00
	<u>CMX GOLD 100 CMX</u>							
09OCT17	B	1	DEC17	1283.6	1304.6	USD	2,100.00	
09OCT17	B	1	DEC17	1283.1	1304.6	USD	2,150.00	
09OCT17	B	2	DEC17	1283.0	1304.6	USD	4,320.00	
09OCT17	B	5	DEC17	1283.2	1304.6	USD	10,700.00	
09OCT17	B	5	DEC17	1284.1	1304.6	USD	10,250.00	
09OCT17	B	1	DEC17	1283.9	1304.6	USD	2,070.00	
09OCT17	B	4	DEC17	1284.0	1304.6	USD	8,240.00	
09OCT17	B	2	DEC17	1283.7	1304.6	USD	4,180.00	
13OCT17	B	1	DEC17	1295.6	1304.6	USD	900.00	
	NET	+22 AVG BGHT	1284.2				44,910.00	0.00
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	<u>LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
29SEP17	S	5	PD02NOV17	2515.49	2521.00	USD	-688.75	
	NET	-5 AVG	SOLD 2515.49				-688.75	0.00
04AUG17	B	2	PD03NOV17	2366.50	2521.13	USD	7,731.50	
04AUG17	B	1	PD03NOV17	2364.00	2521.13	USD	3,928.25	
04AUG17	B	2	PD03NOV17	2365.00	2521.13	USD	7,806.50	
04AUG17	B	2	PD03NOV17	2355.00	2521.13	USD	8,306.50	
04AUG17	B	5	PD03NOV17	2355.50	2521.13	USD	20,703.75	
04AUG17	B	7	PD03NOV17	2356.00	2521.13	USD	28,897.75	
04AUG17	B	1	PD03NOV17	2357.00	2521.13	USD	4,103.25	
04AUG17	B	8	PD03NOV17	2356.50	2521.13	USD	32,926.00	
04AUG17	B	2	PD03NOV17	2354.50	2521.13	USD	8,331.50	
04AUG17	B	4	PD03NOV17	2350.50	2521.13	USD	17,063.00	
04AUG17	B	3	PD03NOV17	2351.00	2521.13	USD	12,759.75	
04AUG17	B	3	PD03NOV17	2350.00	2521.13	USD	12,834.75	
04AUG17	B	6	PD03NOV17	2366.00	2521.13	USD	23,269.50	
04AUG17	B	4	PD03NOV17	2365.50	2521.13	USD	15,563.00	
14AUG17	S	34	PD03NOV17	2332.80	2521.13	USD	-160,080.50	
18AUG17	S	8	PD03NOV17	2358.23	2521.13	USD	-32,580.00	
22AUG17	S	3	PD03NOV17	2411.75	2521.13	USD	-8,203.50	
23AUG17	S	5	PD03NOV17	2372.25	2521.13	USD	-18,610.00	
	NET	0 AVG BGHT	2357.75 SOLD 2345.55				-15,249.00	0.00
07AUG17	B	3	PD07NOV17	2384.50	2521.67	USD	10,287.75	
07AUG17	B	1	PD07NOV17	2385.00	2521.67	USD	3,416.75	
07AUG17	B	1	PD07NOV17	2381.00	2521.67	USD	3,516.75	
07AUG17	B	1	PD07NOV17	2380.50	2521.67	USD	3,529.25	
07AUG17	S	2	PD07NOV17	2380.50	2521.67	USD	-7,058.50	
07AUG17	B	2	PD07NOV17	2383.00	2521.67	USD	6,933.50	
07AUG17	S	2	PD07NOV17	2381.00	2521.67	USD	-7,033.50	
07AUG17	B	2	PD07NOV17	2375.00	2521.67	USD	7,333.50	
07AUG17	B	2	PD07NOV17	2382.50	2521.67	USD	6,958.50	
07AUG17	B	2	PD07NOV17	2374.50	2521.67	USD	7,358.50	
07AUG17	B	1	PD07NOV17	2373.50	2521.67	USD	3,704.25	
07AUG17	B	1	PD07NOV17	2366.00	2521.67	USD	3,891.75	
07AUG17	B	1	PD07NOV17	2364.00	2521.67	USD	3,941.75	
07AUG17	B	1	PD07NOV17	2363.50	2521.67	USD	3,954.25	
07AUG17	B	1	PD07NOV17	2363.00	2521.67	USD	3,966.75	
07AUG17	S	2	PD07NOV17	2369.00	2521.67	USD	-7,633.50	
14AUG17	S	11	PD07NOV17	2333.60	2521.67	USD	-51,719.25	
22AUG17	S	2	PD07NOV17	2412.75	2521.67	USD	-5,446.00	
	NET	0 AVG BGHT	2376.84 SOLD 2355.58				-10,097.50	0.00
08AUG17	B	1	PD08NOV17	2378.50	2521.81	USD	3,582.75	
08AUG17	B	1	PD08NOV17	2379.50	2521.81	USD	3,557.75	
08AUG17	B	1	PD08NOV17	2346.00	2521.81	USD	4,395.25	
08AUG17	B	1	PD08NOV17	2345.50	2521.81	USD	4,407.75	
08AUG17	S	1	PD08NOV17	2345.00	2521.81	USD	-4,420.25	
08AUG17	B	1	PD08NOV17	2393.50	2521.81	USD	3,207.75	
08AUG17	B	3	PD08NOV17	2394.00	2521.81	USD	9,585.75	
14AUG17	S	7	PD08NOV17	2333.80	2521.81	USD	-32,901.75	
	NET	0 AVG BGHT	2378.13 SOLD 2335.20				-8,585.00	0.00
09AUG17	S	2	PD09NOV17	2385.50	2521.94	USD	-6,822.00	
09AUG17	S	1	PD09NOV17	2395.50	2521.94	USD	-3,161.00	
09AUG17	S	2	PD09NOV17	2411.50	2521.94	USD	-5,522.00	
09AUG17	S	2	PD09NOV17	2411.00	2521.94	USD	-5,547.00	
09AUG17	S	1	PD09NOV17	2406.50	2521.94	USD	-2,886.00	
09AUG17	B	1	PD09NOV17	2405.00	2521.94	USD	2,923.50	
09AUG17	S	1	PD09NOV17	2406.00	2521.94	USD	-2,898.50	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0119B

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME LEAD 25 TONNES. THIS IS AN</u>							
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09AUG17	S	1	PD09NOV17	2402.00	2521.94	USD	-2,998.50	
09AUG17	S	9	PD09NOV17	2403.00	2521.94	USD	-26,761.50	
09AUG17	S	3	PD09NOV17	2401.50	2521.94	USD	-9,033.00	
09AUG17	S	3	PD09NOV17	2402.50	2521.94	USD	-8,958.00	
09AUG17	S	1	PD09NOV17	2402.50	2521.94	USD	-2,986.00	
09AUG17	S	2	PD09NOV17	2367.00	2521.94	USD	-7,747.00	
09AUG17	S	1	PD09NOV17	2368.00	2521.94	USD	-3,848.50	
09AUG17	S	1	PD09NOV17	2348.00	2521.94	USD	-4,348.50	
09AUG17	S	1	PD09NOV17	2348.50	2521.94	USD	-4,336.00	
09AUG17	S	1	PD09NOV17	2350.50	2521.94	USD	-4,286.00	
09AUG17	S	1	PD09NOV17	2352.50	2521.94	USD	-4,236.00	
09AUG17	S	2	PD09NOV17	2351.00	2521.94	USD	-8,547.00	
09AUG17	S	4	PD09NOV17	2352.00	2521.94	USD	-16,994.00	
09AUG17	S	2	PD09NOV17	2351.50	2521.94	USD	-8,522.00	
09AUG17	B	2	PD09NOV17	2354.00	2521.94	USD	8,397.00	
09AUG17	S	1	PD09NOV17	2358.50	2521.94	USD	-4,086.00	
09AUG17	S	1	PD09NOV17	2359.00	2521.94	USD	-4,073.50	
09AUG17	S	1	PD09NOV17	2350.00	2521.94	USD	-4,298.50	
09AUG17	S	1	PD09NOV17	2355.00	2521.94	USD	-4,173.50	
14AUG17	B	36	PD09NOV17	2334.20	2521.94	USD	168,966.00	
22AUG17	B	5	PD09NOV17	2413.45	2521.94	USD	13,561.25	
15SEP17	B	1	PD09NOV17	2348.82	2521.94	USD	4,328.00	
	NET	0 AVG BGHT 2345.78	SOLD 2382.32				41,105.75	0.00
10AUG17	S	1	PD10NOV17	2385.50	2522.08	USD	-3,414.50	
10AUG17	S	1	PD10NOV17	2386.50	2522.08	USD	-3,389.50	
10AUG17	S	1	PD10NOV17	2390.50	2522.08	USD	-3,289.50	
10AUG17	S	1	PD10NOV17	2391.50	2522.08	USD	-3,264.50	
10AUG17	S	6	PD10NOV17	2388.00	2522.08	USD	-20,112.00	
10AUG17	S	1	PD10NOV17	2390.00	2522.08	USD	-3,302.00	
10AUG17	B	1	PD10NOV17	2375.00	2522.08	USD	3,677.00	
10AUG17	B	1	PD10NOV17	2370.00	2522.08	USD	3,802.00	
10AUG17	B	3	PD10NOV17	2371.50	2522.08	USD	11,293.50	
10AUG17	S	1	PD10NOV17	2389.00	2522.08	USD	-3,327.00	
10AUG17	S	3	PD10NOV17	2388.00	2522.08	USD	-10,056.00	
10AUG17	S	1	PD10NOV17	2356.00	2522.08	USD	-4,152.00	
10AUG17	S	3	PD10NOV17	2355.50	2522.08	USD	-12,493.50	
10AUG17	S	3	PD10NOV17	2358.00	2522.08	USD	-12,306.00	
10AUG17	S	3	PD10NOV17	2357.50	2522.08	USD	-12,343.50	
10AUG17	S	1	PD10NOV17	2387.00	2522.08	USD	-3,377.00	
10AUG17	S	2	PD10NOV17	2388.50	2522.08	USD	-6,679.00	
10AUG17	S	2	PD10NOV17	2389.00	2522.08	USD	-6,654.00	
10AUG17	B	2	PD10NOV17	2376.00	2522.08	USD	7,304.00	
10AUG17	B	3	PD10NOV17	2374.00	2522.08	USD	11,106.00	
10AUG17	B	2	PD10NOV17	2373.50	2522.08	USD	7,429.00	
10AUG17	B	1	PD10NOV17	2365.00	2522.08	USD	3,927.00	
10AUG17	B	1	PD10NOV17	2364.50	2522.08	USD	3,939.50	
11AUG17	S	4	PD10NOV17	2356.00	2522.08	USD	-16,608.00	
11AUG17	S	1	PD10NOV17	2341.50	2522.08	USD	-4,514.50	
11AUG17	B	2	PD10NOV17	2338.00	2522.08	USD	9,204.00	
11AUG17	S	2	PD10NOV17	2342.00	2522.08	USD	-9,004.00	
11AUG17	S	1	PD10NOV17	2340.50	2522.08	USD	-4,539.50	
11AUG17	S	1	PD10NOV17	2338.00	2522.08	USD	-4,602.00	
11AUG17	B	1	PD10NOV17	2345.00	2522.08	USD	4,427.00	
11AUG17	B	2	PD10NOV17	2346.00	2522.08	USD	8,804.00	
11AUG17	B	2	PD10NOV17	2345.50	2522.08	USD	8,829.00	
11AUG17	B	1	PD10NOV17	2349.00	2522.08	USD	4,327.00	
11AUG17	B	1	PD10NOV17	2351.00	2522.08	USD	4,277.00	

OPEN POSITION STATEMENT



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	<u>LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
11AUG17	S	1	PD10NOV17	2350.00	2522.08	USD	-4,302.00	
11AUG17	B	1	PD10NOV17	2344.00	2522.08	USD	4,452.00	
11AUG17	B	1	PD10NOV17	2344.50	2522.08	USD	4,439.50	
11AUG17	B	5	PD10NOV17	2347.00	2522.08	USD	21,885.00	
11AUG17	S	1	PD10NOV17	2340.00	2522.08	USD	-4,552.00	
11AUG17	B	1	PD10NOV17	2337.00	2522.08	USD	4,627.00	
11AUG17	B	1	PD10NOV17	2336.50	2522.08	USD	4,639.50	
11AUG17	B	1	PD10NOV17	2334.00	2522.08	USD	4,702.00	
11AUG17	S	1	PD10NOV17	2333.00	2522.08	USD	-4,727.00	
11AUG17	B	1	PD10NOV17	2349.50	2522.08	USD	4,314.50	
11AUG17	S	1	PD10NOV17	2335.50	2522.08	USD	-4,664.50	
11AUG17	B	1	PD10NOV17	2330.50	2522.08	USD	4,789.50	
14AUG17	B	16	PD10NOV17	2334.40	2522.08	USD	75,072.00	
18AUG17	S	8	PD10NOV17	2359.85	2522.08	USD	-32,446.00	
	NET	0AVG BGHT 2348.54	SOLD 2366.69				23,147.50	0.00
14AUG17	S	1	PD14NOV17	2333.50	2522.62	USD	-4,728.00	
14AUG17	S	1	PD14NOV17	2333.00	2522.62	USD	-4,740.50	
14AUG17	S	2	PD14NOV17	2336.00	2522.62	USD	-9,331.00	
14AUG17	S	1	PD14NOV17	2339.50	2522.62	USD	-4,578.00	
14AUG17	S	3	PD14NOV17	2340.00	2522.62	USD	-13,696.50	
14AUG17	B	4	PD14NOV17	2342.50	2522.62	USD	18,012.00	
14AUG17	B	1	PD14NOV17	2343.50	2522.62	USD	4,478.00	
14AUG17	B	1	PD14NOV17	2345.00	2522.62	USD	4,440.50	
14AUG17	B	1	PD14NOV17	2346.50	2522.62	USD	4,403.00	
14AUG17	B	1	PD14NOV17	2342.00	2522.62	USD	4,515.50	
14AUG17	B	1	PD14NOV17	2343.00	2522.62	USD	4,490.50	
14AUG17	B	1	PD14NOV17	2343.50	2522.62	USD	4,478.00	
14AUG17	S	3	PD14NOV17	2341.50	2522.62	USD	-13,584.00	
14AUG17	S	1	PD14NOV17	2342.00	2522.62	USD	-4,515.50	
14AUG17	S	4	PD14NOV17	2340.50	2522.62	USD	-18,212.00	
18AUG17	B	6	PD14NOV17	2360.97	2522.62	USD	24,247.50	
	NET	0AVG BGHT 2349.96	SOLD 2339.16				-4,320.50	0.00
15AUG17	S	2	PD15NOV17	2345.00	2522.75	USD	-8,887.50	
18AUG17	B	2	PD15NOV17	2361.20	2522.75	USD	8,077.50	
	NET	0AVG BGHT 2361.20	SOLD 2345.00				-810.00	0.00
16AUG17	S	2	PD16NOV17	2379.50	2522.75	USD	-7,162.50	
16AUG17	S	1	PD16NOV17	2387.50	2522.75	USD	-3,381.25	
16AUG17	S	1	PD16NOV17	2386.50	2522.75	USD	-3,406.25	
16AUG17	S	2	PD16NOV17	2387.00	2522.75	USD	-6,787.50	
16AUG17	S	1	PD16NOV17	2391.00	2522.75	USD	-3,293.75	
16AUG17	S	1	PD16NOV17	2391.00	2522.75	USD	-3,293.75	
16AUG17	S	1	PD16NOV17	2430.00	2522.75	USD	-2,318.75	
16AUG17	S	1	PD16NOV17	2432.00	2522.75	USD	-2,268.75	
16AUG17	S	1	PD16NOV17	2431.50	2522.75	USD	-2,281.25	
16AUG17	S	1	PD16NOV17	2437.50	2522.75	USD	-2,131.25	
16AUG17	S	1	PD16NOV17	2436.50	2522.75	USD	-2,156.25	
16AUG17	S	1	PD16NOV17	2437.00	2522.75	USD	-2,143.75	
16AUG17	S	1	PD16NOV17	2438.00	2522.75	USD	-2,118.75	
16AUG17	S	2	PD16NOV17	2447.00	2522.75	USD	-3,787.50	
16AUG17	S	1	PD16NOV17	2482.00	2522.75	USD	-1,018.75	
16AUG17	S	1	PD16NOV17	2478.50	2522.75	USD	-1,106.25	
16AUG17	S	1	PD16NOV17	2480.00	2522.75	USD	-1,068.75	
16AUG17	B	1	PD16NOV17	2477.50	2522.75	USD	1,131.25	
16AUG17	B	1	PD16NOV17	2478.00	2522.75	USD	1,118.75	
16AUG17	B	1	PD16NOV17	2478.50	2522.75	USD	1,106.25	
16AUG17	B	1	PD16NOV17	2480.50	2522.75	USD	1,056.25	

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	<u>LME LEAD 25 TONNES. THIS IS AN</u>							
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16AUG17	B	1	PD16NOV17	2470.00	2522.75	USD	1,318.75	
16AUG17	B	1	PD16NOV17	2469.50	2522.75	USD	1,331.25	
16AUG17	S	1	PD16NOV17	2427.50	2522.75	USD	-2,381.25	
16AUG17	S	1	PD16NOV17	2482.00	2522.75	USD	-1,018.75	
16AUG17	B	1	PD16NOV17	2490.50	2522.75	USD	806.25	
16AUG17	B	2	PD16NOV17	2494.00	2522.75	USD	1,437.50	
16AUG17	S	1	PD16NOV17	2514.00	2522.75	USD	-218.75	
18AUG17	B	8	PD16NOV17	2360.70	2522.75	USD	32,410.00	
18AUG17	B	4	PD16NOV17	2360.50	2522.75	USD	16,225.00	
22AUG17	B	2	PD16NOV17	2414.50	2522.75	USD	5,412.50	
	NET	0AVG BGHT 2412.57	SOLD 2429.98				10,010.00	0.00
17AUG17	B	2	PD17NOV17	2528.50	2522.75	USD	-287.50	
17AUG17	B	1	PD17NOV17	2528.00	2522.75	USD	-131.25	
17AUG17	B	2	PD17NOV17	2529.50	2522.75	USD	-337.50	
17AUG17	B	1	PD17NOV17	2530.00	2522.75	USD	-181.25	
17AUG17	S	2	PD17NOV17	2527.50	2522.75	USD	237.50	
17AUG17	B	2	PD17NOV17	2526.00	2522.75	USD	-162.50	
17AUG17	B	2	PD17NOV17	2526.50	2522.75	USD	-187.50	
17AUG17	B	1	PD17NOV17	2525.00	2522.75	USD	-56.25	
17AUG17	B	1	PD17NOV17	2524.50	2522.75	USD	-43.75	
17AUG17	B	1	PD17NOV17	2511.00	2522.75	USD	293.75	
17AUG17	B	1	PD17NOV17	2509.50	2522.75	USD	331.25	
17AUG17	S	1	PD17NOV17	2508.00	2522.75	USD	-368.75	
17AUG17	S	1	PD17NOV17	2506.50	2522.75	USD	-406.25	
17AUG17	S	2	PD17NOV17	2521.00	2522.75	USD	-87.50	
17AUG17	S	1	PD17NOV17	2528.50	2522.75	USD	143.75	
17AUG17	S	3	PD17NOV17	2463.50	2522.75	USD	-4,443.75	
17AUG17	S	1	PD17NOV17	2464.50	2522.75	USD	-1,456.25	
17AUG17	B	2	PD17NOV17	2466.50	2522.75	USD	2,812.50	
17AUG17	B	1	PD17NOV17	2469.50	2522.75	USD	1,331.25	
17AUG17	B	1	PD17NOV17	2470.00	2522.75	USD	1,318.75	
17AUG17	B	2	PD17NOV17	2468.50	2522.75	USD	2,712.50	
17AUG17	B	2	PD17NOV17	2435.00	2522.75	USD	4,387.50	
17AUG17	B	1	PD17NOV17	2427.00	2522.75	USD	2,393.75	
17AUG17	B	3	PD17NOV17	2426.00	2522.75	USD	7,256.25	
17AUG17	B	2	PD17NOV17	2413.50	2522.75	USD	5,462.50	
17AUG17	B	2	PD17NOV17	2412.50	2522.75	USD	5,512.50	
17AUG17	B	2	PD17NOV17	2412.00	2522.75	USD	5,537.50	
17AUG17	B	2	PD17NOV17	2414.00	2522.75	USD	5,437.50	
18AUG17	S	2	PD17NOV17	2396.00	2522.75	USD	-6,337.50	
18AUG17	B	1	PD17NOV17	2429.50	2522.75	USD	2,331.25	
18AUG17	B	1	PD17NOV17	2430.50	2522.75	USD	2,306.25	
18AUG17	B	2	PD17NOV17	2428.00	2522.75	USD	4,737.50	
18AUG17	B	2	PD17NOV17	2435.00	2522.75	USD	4,387.50	
18AUG17	S	2	PD17NOV17	2432.00	2522.75	USD	-4,537.50	
18AUG17	B	1	PD17NOV17	2411.50	2522.75	USD	2,781.25	
18AUG17	B	2	PD17NOV17	2412.50	2522.75	USD	5,512.50	
18AUG17	B	1	PD17NOV17	2416.00	2522.75	USD	2,668.75	
18AUG17	B	1	PD17NOV17	2413.00	2522.75	USD	2,743.75	
18AUG17	B	2	PD17NOV17	2414.00	2522.75	USD	5,437.50	
18AUG17	B	2	PD17NOV17	2413.50	2522.75	USD	5,462.50	
18AUG17	B	2	PD17NOV17	2420.50	2522.75	USD	5,112.50	
18AUG17	B	3	PD17NOV17	2423.00	2522.75	USD	7,481.25	
18AUG17	B	2	PD17NOV17	2423.50	2522.75	USD	4,962.50	
18AUG17	B	3	PD17NOV17	2424.00	2522.75	USD	7,406.25	
18AUG17	B	1	PD17NOV17	2425.50	2522.75	USD	2,431.25	
18AUG17	B	1	PD17NOV17	2422.00	2522.75	USD	2,518.75	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0119B

13th October 2017

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Suite A, 105 Piccadilly
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backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME LEAD 25 TONNES. THIS IS AN</u>							
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18AUG17	B	1	PD17NOV17	2422.50	2522.75	USD	2,506.25	
18AUG17	B	3	PD17NOV17	2415.50	2522.75	USD	8,043.75	
18AUG17	B	4	PD17NOV17	2415.00	2522.75	USD	10,775.00	
18AUG17	S	1	PD17NOV17	2411.50	2522.75	USD	-2,781.25	
18AUG17	S	1	PD17NOV17	2409.00	2522.75	USD	-2,843.75	
18AUG17	S	1	PD17NOV17	2406.50	2522.75	USD	-2,906.25	
18AUG17	S	1	PD17NOV17	2376.50	2522.75	USD	-3,656.25	
18AUG17	S	2	PD17NOV17	2370.00	2522.75	USD	-7,637.50	
18AUG17	S	1	PD17NOV17	2369.50	2522.75	USD	-3,831.25	
18AUG17	S	1	PD17NOV17	2360.50	2522.75	USD	-4,056.25	
18AUG17	S	2	PD17NOV17	2366.00	2522.75	USD	-7,837.50	
18AUG17	S	1	PD17NOV17	2367.00	2522.75	USD	-3,893.75	
18AUG17	S	1	PD17NOV17	2366.50	2522.75	USD	-3,906.25	
18AUG17	S	2	PD17NOV17	2375.50	2522.75	USD	-7,362.50	
18AUG17	S	2	PD17NOV17	2373.00	2522.75	USD	-7,487.50	
18AUG17	B	1	PD17NOV17	2372.00	2522.75	USD	3,768.75	
18AUG17	B	1	PD17NOV17	2370.00	2522.75	USD	3,818.75	
18AUG17	B	1	PD17NOV17	2370.50	2522.75	USD	3,806.25	
18AUG17	B	2	PD17NOV17	2371.00	2522.75	USD	7,587.50	
18AUG17	B	1	PD17NOV17	2371.50	2522.75	USD	3,781.25	
18AUG17	B	1	PD17NOV17	2414.00	2522.75	USD	2,718.75	
18AUG17	S	1	PD17NOV17	2382.50	2522.75	USD	-3,506.25	
18AUG17	S	3	PD17NOV17	2383.00	2522.75	USD	-10,481.25	
18AUG17	B	3	PD17NOV17	2365.00	2522.75	USD	11,831.25	
18AUG17	B	1	PD17NOV17	2364.50	2522.75	USD	3,956.25	
18AUG17	B	1	PD17NOV17	2362.00	2522.75	USD	4,018.75	
18AUG17	B	1	PD17NOV17	2362.50	2522.75	USD	4,006.25	
18AUG17	B	1	PD17NOV17	2363.50	2522.75	USD	3,981.25	
18AUG17	B	2	PD17NOV17	2363.00	2522.75	USD	7,987.50	
18AUG17	S	4	PD17NOV17	2360.80	2522.75	USD	-16,195.00	
22AUG17	S	2	PD17NOV17	2414.55	2522.75	USD	-5,410.00	
23AUG17	S	28	PD17NOV17	2375.25	2522.75	USD	-103,250.00	
30AUG17	S	14	PD17NOV17	2366.52	2522.75	USD	-54,680.50	
11SEP17	S	2	PD17NOV17	2269.32	2522.75	USD	-12,671.50	
	NET	0 AVG BGHT 2431.33	SOLD 2390.21				-87,382.00	0.00
21AUG17	S	1	PD21NOV17	2386.50	2523.36	USD	-3,421.50	
21AUG17	S	1	PD21NOV17	2388.00	2523.36	USD	-3,384.00	
21AUG17	B	1	PD21NOV17	2390.00	2523.36	USD	3,334.00	
21AUG17	B	1	PD21NOV17	2389.00	2523.36	USD	3,359.00	
21AUG17	S	2	PD21NOV17	2385.50	2523.36	USD	-6,893.00	
21AUG17	S	1	PD21NOV17	2387.00	2523.36	USD	-3,409.00	
21AUG17	S	1	PD21NOV17	2377.00	2523.36	USD	-3,659.00	
21AUG17	S	2	PD21NOV17	2378.50	2523.36	USD	-7,243.00	
21AUG17	S	2	PD21NOV17	2380.00	2523.36	USD	-7,168.00	
21AUG17	B	3	PD21NOV17	2387.50	2523.36	USD	10,189.50	
21AUG17	B	1	PD21NOV17	2389.50	2523.36	USD	3,346.50	
21AUG17	B	1	PD21NOV17	2384.50	2523.36	USD	3,471.50	
21AUG17	B	1	PD21NOV17	2383.50	2523.36	USD	3,496.50	
21AUG17	B	2	PD21NOV17	2384.00	2523.36	USD	6,968.00	
21AUG17	B	1	PD21NOV17	2386.00	2523.36	USD	3,434.00	
21AUG17	B	1	PD21NOV17	2385.50	2523.36	USD	3,446.50	
21AUG17	B	1	PD21NOV17	2374.00	2523.36	USD	3,734.00	
21AUG17	B	1	PD21NOV17	2376.50	2523.36	USD	3,671.50	
21AUG17	B	2	PD21NOV17	2376.00	2523.36	USD	7,368.00	
21AUG17	B	1	PD21NOV17	2375.50	2523.36	USD	3,696.50	
21AUG17	B	1	PD21NOV17	2373.00	2523.36	USD	3,759.00	
21AUG17	B	1	PD21NOV17	2356.50	2523.36	USD	4,171.50	

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	<u>LME LEAD 25 TONNES. THIS IS AN</u>							
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21AUG17	B	2	PD21NOV17	2357.00	2523.36	USD	8,318.00	
21AUG17	S	1	PD21NOV17	2356.00	2523.36	USD	-4,184.00	
21AUG17	S	2	PD21NOV17	2369.00	2523.36	USD	-7,718.00	
21AUG17	S	2	PD21NOV17	2370.50	2523.36	USD	-7,643.00	
21AUG17	S	2	PD21NOV17	2379.00	2523.36	USD	-7,218.00	
21AUG17	S	3	PD21NOV17	2376.00	2523.36	USD	-11,052.00	
21AUG17	B	1	PD21NOV17	2361.50	2523.36	USD	4,046.50	
21AUG17	B	3	PD21NOV17	2362.00	2523.36	USD	12,102.00	
21AUG17	B	3	PD21NOV17	2362.50	2523.36	USD	12,064.50	
21AUG17	S	2	PD21NOV17	2361.00	2523.36	USD	-8,118.00	
21AUG17	B	1	PD21NOV17	2350.00	2523.36	USD	4,334.00	
21AUG17	B	2	PD21NOV17	2351.00	2523.36	USD	8,618.00	
21AUG17	B	1	PD21NOV17	2349.50	2523.36	USD	4,346.50	
21AUG17	S	1	PD21NOV17	2352.00	2523.36	USD	-4,284.00	
23AUG17	S	2	PD21NOV17	2376.25	2523.36	USD	-7,355.50	
30AUG17	S	3	PD21NOV17	2367.59	2523.36	USD	-11,682.75	
11SEP17	S	3	PD21NOV17	2270.60	2523.36	USD	-18,957.00	
15SEP17	S	1	PD21NOV17	2352.20	2523.36	USD	-4,279.00	
	NET	0	AVG BGHT 2371.77	SOLD 2363.77			-6,393.25	0.00
22AUG17	S	2	PD22NOV17	2354.50	2523.51	USD	-8,450.50	
22AUG17	S	1	PD22NOV17	2348.00	2523.51	USD	-4,387.75	
22AUG17	S	2	PD22NOV17	2346.50	2523.51	USD	-8,850.50	
22AUG17	S	1	PD22NOV17	2345.00	2523.51	USD	-4,462.75	
22AUG17	S	2	PD22NOV17	2342.50	2523.51	USD	-9,050.50	
22AUG17	S	1	PD22NOV17	2345.50	2523.51	USD	-4,450.25	
22AUG17	S	2	PD22NOV17	2338.50	2523.51	USD	-9,250.50	
22AUG17	S	2	PD22NOV17	2341.00	2523.51	USD	-9,125.50	
22AUG17	S	1	PD22NOV17	2340.50	2523.51	USD	-4,575.25	
22AUG17	S	1	PD22NOV17	2340.00	2523.51	USD	-4,587.75	
22AUG17	S	3	PD22NOV17	2337.50	2523.51	USD	-13,950.75	
22AUG17	S	1	PD22NOV17	2336.50	2523.51	USD	-4,675.25	
22AUG17	S	1	PD22NOV17	2338.00	2523.51	USD	-4,637.75	
22AUG17	S	2	PD22NOV17	2328.50	2523.51	USD	-9,750.50	
22AUG17	S	1	PD22NOV17	2323.00	2523.51	USD	-5,012.75	
22AUG17	S	1	PD22NOV17	2322.50	2523.51	USD	-5,025.25	
22AUG17	S	1	PD22NOV17	2322.00	2523.51	USD	-5,037.75	
22AUG17	S	1	PD22NOV17	2321.50	2523.51	USD	-5,050.25	
22AUG17	B	2	PD22NOV17	2334.50	2523.51	USD	9,450.50	
22AUG17	B	1	PD22NOV17	2344.50	2523.51	USD	4,475.25	
22AUG17	B	1	PD22NOV17	2345.00	2523.51	USD	4,462.75	
22AUG17	B	2	PD22NOV17	2338.00	2523.51	USD	9,275.50	
22AUG17	B	1	PD22NOV17	2345.50	2523.51	USD	4,450.25	
22AUG17	B	1	PD22NOV17	2346.00	2523.51	USD	4,437.75	
22AUG17	B	3	PD22NOV17	2343.50	2523.51	USD	13,500.75	
22AUG17	B	1	PD22NOV17	2344.00	2523.51	USD	4,487.75	
22AUG17	S	4	PD22NOV17	2358.00	2523.51	USD	-16,551.00	
22AUG17	S	1	PD22NOV17	2361.00	2523.51	USD	-4,062.75	
22AUG17	S	1	PD22NOV17	2374.50	2523.51	USD	-3,725.25	
22AUG17	S	2	PD22NOV17	2373.50	2523.51	USD	-7,500.50	
22AUG17	B	1	PD22NOV17	2374.00	2523.51	USD	3,737.75	
22AUG17	B	1	PD22NOV17	2372.50	2523.51	USD	3,775.25	
22AUG17	B	1	PD22NOV17	2372.00	2523.51	USD	3,787.75	
22AUG17	B	1	PD22NOV17	2367.00	2523.51	USD	3,912.75	
22AUG17	B	1	PD22NOV17	2365.50	2523.51	USD	3,950.25	
22AUG17	B	1	PD22NOV17	2368.50	2523.51	USD	3,875.25	
22AUG17	B	1	PD22NOV17	2369.50	2523.51	USD	3,850.25	
22AUG17	S	3	PD22NOV17	2353.00	2523.51	USD	-12,788.25	

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22AUG17	S	1	PD22NOV17	2351.50	2523.51	USD	-4,300.25	
22AUG17	S	2	PD22NOV17	2351.00	2523.51	USD	-8,625.50	
22AUG17	S	6	PD22NOV17	2352.50	2523.51	USD	-25,651.50	
22AUG17	S	4	PD22NOV17	2352.00	2523.51	USD	-17,151.00	
22AUG17	S	2	PD22NOV17	2353.50	2523.51	USD	-8,500.50	
22AUG17	S	2	PD22NOV17	2369.50	2523.51	USD	-7,700.50	
22AUG17	S	1	PD22NOV17	2370.00	2523.51	USD	-3,837.75	
22AUG17	S	1	PD22NOV17	2371.00	2523.51	USD	-3,812.75	
22AUG17	S	3	PD22NOV17	2370.50	2523.51	USD	-11,475.75	
22AUG17	B	1	PD22NOV17	2377.50	2523.51	USD	3,650.25	
22AUG17	B	1	PD22NOV17	2381.00	2523.51	USD	3,562.75	
22AUG17	B	2	PD22NOV17	2381.50	2523.51	USD	7,100.50	
22AUG17	B	1	PD22NOV17	2383.00	2523.51	USD	3,512.75	
22AUG17	B	1	PD22NOV17	2382.50	2523.51	USD	3,525.25	
22AUG17	B	4	PD22NOV17	2382.00	2523.51	USD	14,151.00	
22AUG17	B	1	PD22NOV17	2394.50	2523.51	USD	3,225.25	
22AUG17	B	3	PD22NOV17	2395.00	2523.51	USD	9,638.25	
22AUG17	B	5	PD22NOV17	2393.00	2523.51	USD	16,313.75	
22AUG17	B	1	PD22NOV17	2395.50	2523.51	USD	3,200.25	
22AUG17	B	1	PD22NOV17	2396.00	2523.51	USD	3,187.75	
22AUG17	B	1	PD22NOV17	2396.50	2523.51	USD	3,175.25	
22AUG17	S	2	PD22NOV17	2408.50	2523.51	USD	-5,750.50	
22AUG17	S	2	PD22NOV17	2406.50	2523.51	USD	-5,850.50	
22AUG17	S	2	PD22NOV17	2406.00	2523.51	USD	-5,875.50	
22AUG17	S	1	PD22NOV17	2407.00	2523.51	USD	-2,912.75	
22AUG17	S	1	PD22NOV17	2408.00	2523.51	USD	-2,887.75	
22AUG17	S	3	PD22NOV17	2407.50	2523.51	USD	-8,700.75	
22AUG17	S	1	PD22NOV17	2405.00	2523.51	USD	-2,962.75	
22AUG17	S	2	PD22NOV17	2405.50	2523.51	USD	-5,900.50	
22AUG17	S	1	PD22NOV17	2400.00	2523.51	USD	-3,087.75	
22AUG17	S	3	PD22NOV17	2400.50	2523.51	USD	-9,225.75	
22AUG17	S	1	PD22NOV17	2401.00	2523.51	USD	-3,062.75	
22AUG17	B	1	PD22NOV17	2404.50	2523.51	USD	2,975.25	
22AUG17	B	1	PD22NOV17	2401.50	2523.51	USD	3,050.25	
22AUG17	S	3	PD22NOV17	2417.00	2523.51	USD	-7,988.25	
22AUG17	S	4	PD22NOV17	2415.50	2523.51	USD	-10,801.00	
22AUG17	B	1	PD22NOV17	2406.00	2523.51	USD	2,937.75	
22AUG17	B	3	PD22NOV17	2408.00	2523.51	USD	8,663.25	
22AUG17	B	3	PD22NOV17	2407.50	2523.51	USD	8,700.75	
22AUG17	B	2	PD22NOV17	2406.50	2523.51	USD	5,850.50	
22AUG17	B	2	PD22NOV17	2405.00	2523.51	USD	5,925.50	
22AUG17	S	1	PD22NOV17	2396.00	2523.51	USD	-3,187.75	
22AUG17	S	6	PD22NOV17	2394.50	2523.51	USD	-19,351.50	
22AUG17	S	5	PD22NOV17	2395.00	2523.51	USD	-16,063.75	
22AUG17	S	2	PD22NOV17	2395.50	2523.51	USD	-6,400.50	
22AUG17	B	1	PD22NOV17	2399.50	2523.51	USD	3,100.25	
22AUG17	S	1	PD22NOV17	2397.50	2523.51	USD	-3,150.25	
22AUG17	B	2	PD22NOV17	2398.50	2523.51	USD	6,250.50	
22AUG17	B	7	PD22NOV17	2401.00	2523.51	USD	21,439.25	
22AUG17	B	6	PD22NOV17	2400.50	2523.51	USD	18,451.50	
22AUG17	B	1	PD22NOV17	2402.00	2523.51	USD	3,037.75	
22AUG17	B	1	PD22NOV17	2404.00	2523.51	USD	2,987.75	
22AUG17	B	7	PD22NOV17	2403.50	2523.51	USD	21,001.75	
22AUG17	B	7	PD22NOV17	2405.50	2523.51	USD	20,651.75	
22AUG17	B	1	PD22NOV17	2373.00	2523.51	USD	3,762.75	
22AUG17	B	1	PD22NOV17	2369.50	2523.51	USD	3,850.25	
22AUG17	S	1	PD22NOV17	2352.50	2523.51	USD	-4,275.25	

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22AUG17	S	1	PD22NOV17		2371.00	2523.51	USD	-3,812.75	
22AUG17	B	3	PD22NOV17		2382.50	2523.51	USD	10,575.75	
22AUG17	B	1	PD22NOV17		2394.50	2523.51	USD	3,225.25	
22AUG17	S	1	PD22NOV17		2395.00	2523.51	USD	-3,212.75	
22AUG17	B	1	PD22NOV17		2408.50	2523.51	USD	2,875.25	
22AUG17	B	2	PD22NOV17		2409.50	2523.51	USD	5,700.50	
22AUG17	B	1	PD22NOV17		2409.00	2523.51	USD	2,862.75	
22AUG17	B	2	PD22NOV17		2413.00	2523.51	USD	5,525.50	
22AUG17	S	1	PD22NOV17		2421.50	2523.51	USD	-2,550.25	
22AUG17	S	1	PD22NOV17		2422.00	2523.51	USD	-2,537.75	
22AUG17	S	4	PD22NOV17		2418.50	2523.51	USD	-10,501.00	
22AUG17	S	2	PD22NOV17		2415.00	2523.51	USD	-5,425.50	
22AUG17	S	2	PD22NOV17		2414.00	2523.51	USD	-5,475.50	
22AUG17	S	1	PD22NOV17		2416.00	2523.51	USD	-2,687.75	
22AUG17	S	2	PD22NOV17		2416.50	2523.51	USD	-5,350.50	
22AUG17	S	1	PD22NOV17		2413.00	2523.51	USD	-2,762.75	
22AUG17	S	2	PD22NOV17		2413.50	2523.51	USD	-5,500.50	
22AUG17	S	2	PD22NOV17		2412.50	2523.51	USD	-5,550.50	
22AUG17	S	1	PD22NOV17		2411.00	2523.51	USD	-2,812.75	
22AUG17	S	1	PD22NOV17		2410.50	2523.51	USD	-2,825.25	
22AUG17	S	3	PD22NOV17		2410.00	2523.51	USD	-8,513.25	
22AUG17	S	2	PD22NOV17		2411.50	2523.51	USD	-5,600.50	
22AUG17	S	3	PD22NOV17		2414.50	2523.51	USD	-8,175.75	
22AUG17	S	2	PD22NOV17		2412.00	2523.51	USD	-5,575.50	
23AUG17	B	35	PD22NOV17		2376.70	2523.51	USD	128,458.75	
	NET	0	AVG BGHT 2385.91	SOLD 2381.46				-14,787.50	0.00
23AUG17	S	2	PD24NOV17		2410.50	2523.81	USD	-5,665.50	
23AUG17	S	2	PD24NOV17		2410.00	2523.81	USD	-5,690.50	
23AUG17	S	3	PD24NOV17		2411.00	2523.81	USD	-8,460.75	
23AUG17	S	1	PD24NOV17		2402.50	2523.81	USD	-3,032.75	
23AUG17	S	1	PD24NOV17		2400.00	2523.81	USD	-3,095.25	
23AUG17	S	1	PD24NOV17		2400.50	2523.81	USD	-3,082.75	
23AUG17	S	4	PD24NOV17		2397.50	2523.81	USD	-12,631.00	
23AUG17	S	1	PD24NOV17		2406.50	2523.81	USD	-2,932.75	
23AUG17	S	1	PD24NOV17		2406.00	2523.81	USD	-2,945.25	
23AUG17	B	2	PD24NOV17		2403.50	2523.81	USD	6,015.50	
23AUG17	B	1	PD24NOV17		2395.50	2523.81	USD	3,207.75	
23AUG17	B	2	PD24NOV17		2396.50	2523.81	USD	6,365.50	
23AUG17	B	1	PD24NOV17		2399.50	2523.81	USD	3,107.75	
23AUG17	B	3	PD24NOV17		2399.00	2523.81	USD	9,360.75	
23AUG17	B	1	PD24NOV17		2400.00	2523.81	USD	3,095.25	
23AUG17	B	1	PD24NOV17		2400.50	2523.81	USD	3,082.75	
23AUG17	B	1	PD24NOV17		2404.50	2523.81	USD	2,982.75	
23AUG17	S	1	PD24NOV17		2399.00	2523.81	USD	-3,120.25	
23AUG17	S	1	PD24NOV17		2398.50	2523.81	USD	-3,132.75	
23AUG17	S	2	PD24NOV17		2397.00	2523.81	USD	-6,340.50	
23AUG17	B	1	PD24NOV17		2397.50	2523.81	USD	3,157.75	
23AUG17	B	4	PD24NOV17		2397.00	2523.81	USD	12,681.00	
23AUG17	B	1	PD24NOV17		2396.00	2523.81	USD	3,195.25	
23AUG17	B	1	PD24NOV17		2398.00	2523.81	USD	3,145.25	
23AUG17	B	1	PD24NOV17		2393.00	2523.81	USD	3,270.25	
23AUG17	B	1	PD24NOV17		2382.50	2523.81	USD	3,532.75	
23AUG17	B	1	PD24NOV17		2378.50	2523.81	USD	3,632.75	
23AUG17	S	1	PD24NOV17		2381.50	2523.81	USD	-3,557.75	
23AUG17	S	2	PD24NOV17		2387.50	2523.81	USD	-6,815.50	
23AUG17	S	1	PD24NOV17		2384.00	2523.81	USD	-3,495.25	
23AUG17	S	1	PD24NOV17		2383.00	2523.81	USD	-3,520.25	

OPEN POSITION STATEMENT



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SAP0119B

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+44 (0) 20 7340 9081
backoffice@sapiencap.com

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	<u>LME LEAD 25 TONNES. THIS IS AN</u>							
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23AUG17	S	2	PD24NOV17	2384.50	2523.81	USD	-6,965.50	
23AUG17	S	2	PD24NOV17	2383.50	2523.81	USD	-7,015.50	
23AUG17	S	2	PD24NOV17	2382.50	2523.81	USD	-7,065.50	
24AUG17	B	1	PD24NOV17	2386.00	2523.81	USD	3,445.25	
24AUG17	B	1	PD24NOV17	2385.00	2523.81	USD	3,470.25	
24AUG17	B	2	PD24NOV17	2384.50	2523.81	USD	6,965.50	
24AUG17	S	1	PD24NOV17	2403.50	2523.81	USD	-3,007.75	
24AUG17	B	1	PD24NOV17	2402.50	2523.81	USD	3,032.75	
24AUG17	S	1	PD24NOV17	2398.00	2523.81	USD	-3,145.25	
24AUG17	S	1	PD24NOV17	2401.50	2523.81	USD	-3,057.75	
24AUG17	S	1	PD24NOV17	2402.00	2523.81	USD	-3,045.25	
24AUG17	S	3	PD24NOV17	2399.00	2523.81	USD	-9,360.75	
24AUG17	S	1	PD24NOV17	2400.00	2523.81	USD	-3,095.25	
24AUG17	S	1	PD24NOV17	2401.00	2523.81	USD	-3,070.25	
24AUG17	S	1	PD24NOV17	2389.00	2523.81	USD	-3,370.25	
24AUG17	B	1	PD24NOV17	2351.50	2523.81	USD	4,307.75	
24AUG17	B	2	PD24NOV17	2351.00	2523.81	USD	8,640.50	
24AUG17	B	1	PD24NOV17	2354.00	2523.81	USD	4,245.25	
24AUG17	B	1	PD24NOV17	2356.50	2523.81	USD	4,182.75	
24AUG17	B	1	PD24NOV17	2354.50	2523.81	USD	4,232.75	
24AUG17	B	2	PD24NOV17	2355.00	2523.81	USD	8,440.50	
24AUG17	B	1	PD24NOV17	2352.50	2523.81	USD	4,282.75	
24AUG17	B	1	PD24NOV17	2353.00	2523.81	USD	4,270.25	
24AUG17	B	3	PD24NOV17	2353.50	2523.81	USD	12,773.25	
24AUG17	B	5	PD24NOV17	2352.00	2523.81	USD	21,476.25	
24AUG17	B	2	PD24NOV17	2357.00	2523.81	USD	8,340.50	
24AUG17	B	1	PD24NOV17	2360.50	2523.81	USD	4,082.75	
24AUG17	B	1	PD24NOV17	2361.00	2523.81	USD	4,070.25	
24AUG17	B	1	PD24NOV17	2361.50	2523.81	USD	4,057.75	
24AUG17	B	1	PD24NOV17	2368.00	2523.81	USD	3,895.25	
24AUG17	B	3	PD24NOV17	2352.00	2523.81	USD	12,885.75	
24AUG17	B	2	PD24NOV17	2359.50	2523.81	USD	8,215.50	
24AUG17	B	4	PD24NOV17	2358.00	2523.81	USD	16,581.00	
24AUG17	S	2	PD24NOV17	2355.00	2523.81	USD	-8,440.50	
25AUG17	B	1	PD24NOV17	2372.00	2523.81	USD	3,795.25	
25AUG17	B	2	PD24NOV17	2375.00	2523.81	USD	7,440.50	
25AUG17	B	1	PD24NOV17	2370.00	2523.81	USD	3,845.25	
25AUG17	S	2	PD24NOV17	2371.00	2523.81	USD	-7,640.50	
25AUG17	B	4	PD24NOV17	2373.50	2523.81	USD	15,031.00	
25AUG17	B	5	PD24NOV17	2374.00	2523.81	USD	18,726.25	
25AUG17	B	2	PD24NOV17	2374.50	2523.81	USD	7,465.50	
25AUG17	B	1	PD24NOV17	2372.50	2523.81	USD	3,782.75	
25AUG17	B	2	PD24NOV17	2373.00	2523.81	USD	7,540.50	
25AUG17	S	1	PD24NOV17	2361.50	2523.81	USD	-4,057.75	
25AUG17	S	1	PD24NOV17	2361.00	2523.81	USD	-4,070.25	
25AUG17	S	2	PD24NOV17	2360.50	2523.81	USD	-8,165.50	
25AUG17	S	2	PD24NOV17	2360.00	2523.81	USD	-8,190.50	
25AUG17	S	1	PD24NOV17	2358.00	2523.81	USD	-4,145.25	
25AUG17	S	1	PD24NOV17	2355.50	2523.81	USD	-4,207.75	
25AUG17	S	2	PD24NOV17	2355.00	2523.81	USD	-8,440.50	
25AUG17	S	1	PD24NOV17	2356.50	2523.81	USD	-4,182.75	
25AUG17	S	1	PD24NOV17	2349.00	2523.81	USD	-4,370.25	
25AUG17	S	1	PD24NOV17	2347.50	2523.81	USD	-4,407.75	
25AUG17	S	1	PD24NOV17	2349.50	2523.81	USD	-4,357.75	
25AUG17	S	1	PD24NOV17	2347.00	2523.81	USD	-4,420.25	
25AUG17	S	1	PD24NOV17	2348.00	2523.81	USD	-4,395.25	
25AUG17	S	1	PD24NOV17	2338.00	2523.81	USD	-4,645.25	

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25AUG17	S	1	PD24NOV17	2338.50	2523.81	USD	-4,632.75	
25AUG17	S	1	PD24NOV17	2340.00	2523.81	USD	-4,595.25	
25AUG17	S	1	PD24NOV17	2339.00	2523.81	USD	-4,620.25	
25AUG17	S	4	PD24NOV17	2339.50	2523.81	USD	-18,431.00	
25AUG17	S	2	PD24NOV17	2340.50	2523.81	USD	-9,165.50	
25AUG17	S	6	PD24NOV17	2341.00	2523.81	USD	-27,421.50	
25AUG17	S	3	PD24NOV17	2342.00	2523.81	USD	-13,635.75	
25AUG17	S	5	PD24NOV17	2343.00	2523.81	USD	-22,601.25	
25AUG17	S	3	PD24NOV17	2343.50	2523.81	USD	-13,523.25	
25AUG17	S	2	PD24NOV17	2348.50	2523.81	USD	-8,765.50	
25AUG17	S	2	PD24NOV17	2344.00	2523.81	USD	-8,990.50	
25AUG17	B	1	PD24NOV17	2339.00	2523.81	USD	4,620.25	
25AUG17	S	1	PD24NOV17	2371.50	2523.81	USD	-3,807.75	
25AUG17	B	4	PD24NOV17	2332.00	2523.81	USD	19,181.00	
25AUG17	B	1	PD24NOV17	2331.00	2523.81	USD	4,820.25	
25AUG17	S	1	PD24NOV17	2320.50	2523.81	USD	-5,082.75	
25AUG17	S	1	PD24NOV17	2321.50	2523.81	USD	-5,057.75	
25AUG17	S	7	PD24NOV17	2320.00	2523.81	USD	-35,666.75	
25AUG17	S	1	PD24NOV17	2321.00	2523.81	USD	-5,070.25	
30AUG17	B	17	PD24NOV17	2368.59	2523.81	USD	65,968.50	
15SEP17	B	2	PD24NOV17	2353.23	2523.81	USD	8,529.00	
	NET	0	AVG BGHT 2369.84	SOLD 2365.00			-12,449.75	0.00
29AUG17	B	2	PD29NOV17	2343.50	2524.57	USD	9,053.50	
29AUG17	S	1	PD29NOV17	2343.50	2524.57	USD	-4,526.75	
29AUG17	B	1	PD29NOV17	2351.50	2524.57	USD	4,326.75	
29AUG17	S	1	PD29NOV17	2344.00	2524.57	USD	-4,514.25	
29AUG17	B	1	PD29NOV17	2342.00	2524.57	USD	4,564.25	
29AUG17	B	1	PD29NOV17	2341.50	2524.57	USD	4,576.75	
29AUG17	B	1	PD29NOV17	2344.00	2524.57	USD	4,514.25	
29AUG17	B	3	PD29NOV17	2345.00	2524.57	USD	13,467.75	
29AUG17	B	1	PD29NOV17	2353.50	2524.57	USD	4,276.75	
29AUG17	B	3	PD29NOV17	2353.00	2524.57	USD	12,867.75	
29AUG17	B	3	PD29NOV17	2352.50	2524.57	USD	12,905.25	
29AUG17	B	1	PD29NOV17	2348.50	2524.57	USD	4,401.75	
29AUG17	B	1	PD29NOV17	2349.00	2524.57	USD	4,389.25	
29AUG17	B	6	PD29NOV17	2348.00	2524.57	USD	26,485.50	
29AUG17	B	1	PD29NOV17	2347.50	2524.57	USD	4,426.75	
29AUG17	B	1	PD29NOV17	2350.50	2524.57	USD	4,351.75	
29AUG17	S	4	PD29NOV17	2402.50	2524.57	USD	-12,207.00	
29AUG17	S	1	PD29NOV17	2395.50	2524.57	USD	-3,226.75	
29AUG17	S	2	PD29NOV17	2395.00	2524.57	USD	-6,478.50	
29AUG17	S	3	PD29NOV17	2396.50	2524.57	USD	-9,605.25	
29AUG17	S	4	PD29NOV17	2397.50	2524.57	USD	-12,707.00	
29AUG17	S	4	PD29NOV17	2398.00	2524.57	USD	-12,657.00	
29AUG17	S	3	PD29NOV17	2398.50	2524.57	USD	-9,455.25	
29AUG17	S	1	PD29NOV17	2396.00	2524.57	USD	-3,214.25	
15SEP17	S	2	PD29NOV17	2354.40	2524.57	USD	-8,508.50	
	NET	0	AVG BGHT 2348.25	SOLD 2390.57			27,507.50	0.00
30AUG17	S	1	PD30NOV17	2375.50	2524.72	USD	-3,730.50	
30AUG17	B	1	PD30NOV17	2393.00	2524.72	USD	3,293.00	
30AUG17	B	1	PD30NOV17	2394.00	2524.72	USD	3,268.00	
30AUG17	B	3	PD30NOV17	2397.50	2524.72	USD	9,541.50	
30AUG17	B	2	PD30NOV17	2398.00	2524.72	USD	6,336.00	
30AUG17	B	4	PD30NOV17	2388.00	2524.72	USD	13,672.00	
30AUG17	B	1	PD30NOV17	2390.00	2524.72	USD	3,368.00	
30AUG17	B	3	PD30NOV17	2389.50	2524.72	USD	10,141.50	
30AUG17	B	1	PD30NOV17	2387.50	2524.72	USD	3,430.50	

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30AUG17	B	2	PD30NOV17	2392.50	2524.72	USD	6,611.00	
30AUG17	S	1	PD30NOV17	2394.00	2524.72	USD	-3,268.00	
30AUG17	B	3	PD30NOV17	2373.00	2524.72	USD	11,379.00	
30AUG17	B	1	PD30NOV17	2375.50	2524.72	USD	3,730.50	
30AUG17	B	3	PD30NOV17	2375.00	2524.72	USD	11,229.00	
30AUG17	B	1	PD30NOV17	2371.50	2524.72	USD	3,830.50	
30AUG17	B	1	PD30NOV17	2372.00	2524.72	USD	3,818.00	
30AUG17	B	1	PD30NOV17	2373.50	2524.72	USD	3,780.50	
30AUG17	B	1	PD30NOV17	2376.50	2524.72	USD	3,705.50	
30AUG17	B	2	PD30NOV17	2380.50	2524.72	USD	7,211.00	
30AUG17	S	2	PD30NOV17	2398.00	2524.72	USD	-6,336.00	
30AUG17	B	1	PD30NOV17	2377.00	2524.72	USD	3,693.00	
30AUG17	B	1	PD30NOV17	2401.00	2524.72	USD	3,093.00	
30AUG17	B	1	PD30NOV17	2395.50	2524.72	USD	3,230.50	
30AUG17	B	1	PD30NOV17	2388.50	2524.72	USD	3,405.50	
30AUG17	B	1	PD30NOV17	2386.00	2524.72	USD	3,468.00	
30AUG17	B	2	PD30NOV17	2387.00	2524.72	USD	6,886.00	
30AUG17	B	1	PD30NOV17	2380.00	2524.72	USD	3,618.00	
30AUG17	B	1	PD30NOV17	2379.50	2524.72	USD	3,630.50	
30AUG17	B	4	PD30NOV17	2379.00	2524.72	USD	14,572.00	
30AUG17	B	1	PD30NOV17	2370.00	2524.72	USD	3,868.00	
30AUG17	B	2	PD30NOV17	2371.00	2524.72	USD	7,686.00	
30AUG17	B	4	PD30NOV17	2377.00	2524.72	USD	14,772.00	
31AUG17	S	5	PD30NOV17	2384.50	2524.72	USD	-17,527.50	
31AUG17	S	3	PD30NOV17	2384.00	2524.72	USD	-10,554.00	
31AUG17	S	1	PD30NOV17	2382.50	2524.72	USD	-3,555.50	
31AUG17	S	1	PD30NOV17	2378.00	2524.72	USD	-3,668.00	
31AUG17	S	2	PD30NOV17	2375.00	2524.72	USD	-7,486.00	
31AUG17	S	3	PD30NOV17	2374.50	2524.72	USD	-11,266.50	
31AUG17	S	2	PD30NOV17	2388.50	2524.72	USD	-6,811.00	
31AUG17	S	3	PD30NOV17	2385.00	2524.72	USD	-10,479.00	
31AUG17	S	2	PD30NOV17	2386.50	2524.72	USD	-6,911.00	
31AUG17	S	1	PD30NOV17	2387.00	2524.72	USD	-3,443.00	
31AUG17	S	5	PD30NOV17	2385.50	2524.72	USD	-17,402.50	
31AUG17	S	2	PD30NOV17	2386.00	2524.72	USD	-6,936.00	
31AUG17	S	3	PD30NOV17	2382.00	2524.72	USD	-10,704.00	
31AUG17	S	1	PD30NOV17	2381.50	2524.72	USD	-3,580.50	
31AUG17	S	4	PD30NOV17	2375.50	2524.72	USD	-14,922.00	
31AUG17	S	3	PD30NOV17	2380.00	2524.72	USD	-10,854.00	
31AUG17	S	1	PD30NOV17	2379.50	2524.72	USD	-3,630.50	
31AUG17	S	1	PD30NOV17	2378.00	2524.72	USD	-3,668.00	
31AUG17	S	1	PD30NOV17	2390.50	2524.72	USD	-3,355.50	
11SEP17	S	1	PD30NOV17	2273.48	2524.72	USD	-6,281.00	
15SEP17	S	2	PD30NOV17	2354.88	2524.72	USD	-8,492.00	
	NET	0AVG	BGHT 2383.33	SOLD 2379.73			-4,594.00	0.00
01SEP17	B	2	PD01DEC17	2393.50	2524.87	USD	6,568.50	
01SEP17	B	2	PD01DEC17	2394.00	2524.87	USD	6,543.50	
01SEP17	B	2	PD01DEC17	2392.50	2524.87	USD	6,618.50	
01SEP17	B	2	PD01DEC17	2391.00	2524.87	USD	6,693.50	
01SEP17	B	1	PD01DEC17	2392.00	2524.87	USD	3,321.75	
01SEP17	S	2	PD01DEC17	2407.50	2524.87	USD	-5,868.50	
01SEP17	B	2	PD01DEC17	2405.50	2524.87	USD	5,968.50	
01SEP17	B	1	PD01DEC17	2389.00	2524.87	USD	3,396.75	
01SEP17	B	5	PD01DEC17	2393.00	2524.87	USD	16,483.75	
01SEP17	B	2	PD01DEC17	2401.00	2524.87	USD	6,193.50	
01SEP17	B	3	PD01DEC17	2401.50	2524.87	USD	9,252.75	
01SEP17	S	1	PD01DEC17	2404.00	2524.87	USD	-3,021.75	

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01SEP17	B	4	PD01DEC17	2406.50	2524.87	USD	11,837.00	
01SEP17	B	4	PD01DEC17	2406.00	2524.87	USD	11,887.00	
01SEP17	B	2	PD01DEC17	2404.50	2524.87	USD	6,018.50	
01SEP17	B	1	PD01DEC17	2403.00	2524.87	USD	3,046.75	
01SEP17	S	1	PD01DEC17	2402.00	2524.87	USD	-3,071.75	
01SEP17	B	1	PD01DEC17	2410.50	2524.87	USD	2,859.25	
01SEP17	B	1	PD01DEC17	2413.00	2524.87	USD	2,796.75	
01SEP17	S	2	PD01DEC17	2412.00	2524.87	USD	-5,643.50	
01SEP17	B	1	PD01DEC17	2403.00	2524.87	USD	3,046.75	
01SEP17	B	1	PD01DEC17	2405.00	2524.87	USD	2,996.75	
01SEP17	B	3	PD01DEC17	2396.00	2524.87	USD	9,665.25	
01SEP17	S	1	PD01DEC17	2397.00	2524.87	USD	-3,196.75	
01SEP17	B	1	PD01DEC17	2390.50	2524.87	USD	3,359.25	
11SEP17	S	28	PD01DEC17	2273.80	2524.87	USD	-175,749.00	
15SEP17	S	2	PD01DEC17	2355.15	2524.87	USD	-8,486.00	
22SEP17	S	4	PD01DEC17	2488.50	2524.87	USD	-3,637.00	
	NET	0 AVG BGHT 2399.45	SOLD 2321.29				-80,120.00	0.00
04SEP17	B	1	PD04DEC17	2415.00	2525.33	USD	2,758.25	
04SEP17	S	1	PD04DEC17	2396.00	2525.33	USD	-3,233.25	
04SEP17	B	1	PD04DEC17	2408.50	2525.33	USD	2,920.75	
04SEP17	S	1	PD04DEC17	2401.50	2525.33	USD	-3,095.75	
04SEP17	S	1	PD04DEC17	2393.50	2525.33	USD	-3,295.75	
04SEP17	S	2	PD04DEC17	2394.00	2525.33	USD	-6,566.50	
11SEP17	B	3	PD04DEC17	2274.96	2525.33	USD	18,777.75	
	NET	0 AVG BGHT 2329.68	SOLD 2395.80				8,265.50	0.00
05SEP17	S	1	PD05DEC17	2402.00	2525.48	USD	-3,087.00	
05SEP17	S	1	PD05DEC17	2401.50	2525.48	USD	-3,099.50	
05SEP17	S	1	PD05DEC17	2400.00	2525.48	USD	-3,137.00	
05SEP17	S	2	PD05DEC17	2404.00	2525.48	USD	-6,074.00	
05SEP17	B	1	PD05DEC17	2405.00	2525.48	USD	3,012.00	
05SEP17	B	1	PD05DEC17	2404.50	2525.48	USD	3,024.50	
05SEP17	B	1	PD05DEC17	2370.00	2525.48	USD	3,887.00	
05SEP17	B	2	PD05DEC17	2349.00	2525.48	USD	8,824.00	
05SEP17	B	2	PD05DEC17	2338.50	2525.48	USD	9,349.00	
05SEP17	B	1	PD05DEC17	2340.50	2525.48	USD	4,624.50	
05SEP17	B	3	PD05DEC17	2339.00	2525.48	USD	13,986.00	
05SEP17	S	1	PD05DEC17	2328.50	2525.48	USD	-4,924.50	
05SEP17	S	1	PD05DEC17	2337.00	2525.48	USD	-4,712.00	
05SEP17	S	1	PD05DEC17	2339.00	2525.48	USD	-4,662.00	
05SEP17	S	4	PD05DEC17	2338.00	2525.48	USD	-18,748.00	
05SEP17	S	1	PD05DEC17	2329.00	2525.48	USD	-4,912.00	
05SEP17	S	1	PD05DEC17	2329.50	2525.48	USD	-4,899.50	
05SEP17	S	2	PD05DEC17	2327.50	2525.48	USD	-9,899.00	
05SEP17	S	2	PD05DEC17	2325.50	2525.48	USD	-9,999.00	
05SEP17	S	3	PD05DEC17	2325.00	2525.48	USD	-15,036.00	
05SEP17	S	2	PD05DEC17	2323.00	2525.48	USD	-10,124.00	
05SEP17	S	2	PD05DEC17	2324.50	2525.48	USD	-10,049.00	
05SEP17	S	1	PD05DEC17	2326.50	2525.48	USD	-4,974.50	
05SEP17	S	2	PD05DEC17	2327.00	2525.48	USD	-9,924.00	
05SEP17	S	1	PD05DEC17	2330.50	2525.48	USD	-4,874.50	
05SEP17	S	4	PD05DEC17	2328.00	2525.48	USD	-19,748.00	
05SEP17	S	1	PD05DEC17	2337.50	2525.48	USD	-4,699.50	
11SEP17	B	21	PD05DEC17	2275.28	2525.48	USD	131,355.00	
15SEP17	B	2	PD05DEC17	2356.45	2525.48	USD	8,451.50	
	NET	0 AVG BGHT 2306.05	SOLD 2340.09				28,930.50	0.00
06SEP17	B	1	PD06DEC17	2331.00	2525.63	USD	4,865.75	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0119B

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

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	<u>LME LEAD 25 TONNES. THIS IS AN</u>							
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06SEP17	B	1	PD06DEC17	2330.00	2525.63	USD	4,890.75	
06SEP17	B	1	PD06DEC17	2327.50	2525.63	USD	4,953.25	
06SEP17	B	1	PD06DEC17	2328.00	2525.63	USD	4,940.75	
06SEP17	B	2	PD06DEC17	2328.50	2525.63	USD	9,856.50	
06SEP17	B	3	PD06DEC17	2329.00	2525.63	USD	14,747.25	
06SEP17	B	1	PD06DEC17	2333.00	2525.63	USD	4,815.75	
06SEP17	B	1	PD06DEC17	2331.50	2525.63	USD	4,853.25	
06SEP17	B	1	PD06DEC17	2332.00	2525.63	USD	4,840.75	
06SEP17	S	1	PD06DEC17	2332.00	2525.63	USD	-4,840.75	
06SEP17	B	2	PD06DEC17	2330.50	2525.63	USD	9,756.50	
06SEP17	S	2	PD06DEC17	2340.00	2525.63	USD	-9,281.50	
06SEP17	S	4	PD06DEC17	2340.50	2525.63	USD	-18,513.00	
06SEP17	B	1	PD06DEC17	2340.00	2525.63	USD	4,640.75	
06SEP17	B	1	PD06DEC17	2339.00	2525.63	USD	4,665.75	
06SEP17	B	1	PD06DEC17	2340.50	2525.63	USD	4,628.25	
06SEP17	B	2	PD06DEC17	2342.50	2525.63	USD	9,156.50	
06SEP17	B	1	PD06DEC17	2343.50	2525.63	USD	4,553.25	
06SEP17	B	2	PD06DEC17	2342.00	2525.63	USD	9,181.50	
06SEP17	B	1	PD06DEC17	2342.00	2525.63	USD	4,590.75	
06SEP17	B	1	PD06DEC17	2342.50	2525.63	USD	4,578.25	
06SEP17	B	1	PD06DEC17	2343.50	2525.63	USD	4,553.25	
06SEP17	B	1	PD06DEC17	2341.50	2525.63	USD	4,603.25	
06SEP17	S	1	PD06DEC17	2344.00	2525.63	USD	-4,540.75	
06SEP17	S	4	PD06DEC17	2343.50	2525.63	USD	-18,213.00	
06SEP17	S	1	PD06DEC17	2344.50	2525.63	USD	-4,528.25	
06SEP17	S	1	PD06DEC17	2346.50	2525.63	USD	-4,478.25	
06SEP17	S	1	PD06DEC17	2351.00	2525.63	USD	-4,365.75	
06SEP17	S	4	PD06DEC17	2347.50	2525.63	USD	-17,813.00	
06SEP17	S	4	PD06DEC17	2348.00	2525.63	USD	-17,763.00	
06SEP17	S	1	PD06DEC17	2361.50	2525.63	USD	-4,103.25	
06SEP17	S	1	PD06DEC17	2362.50	2525.63	USD	-4,078.25	
06SEP17	S	1	PD06DEC17	2363.50	2525.63	USD	-4,053.25	
06SEP17	S	7	PD06DEC17	2361.00	2525.63	USD	-28,810.25	
06SEP17	B	1	PD06DEC17	2346.50	2525.63	USD	4,478.25	
06SEP17	B	1	PD06DEC17	2345.50	2525.63	USD	4,503.25	
06SEP17	B	1	PD06DEC17	2343.00	2525.63	USD	4,565.75	
06SEP17	B	3	PD06DEC17	2347.50	2525.63	USD	13,359.75	
06SEP17	B	1	PD06DEC17	2347.00	2525.63	USD	4,465.75	
11SEP17	B	5	PD06DEC17	2275.60	2525.63	USD	31,253.75	
22SEP17	S	5	PD06DEC17	2488.50	2525.63	USD	-4,641.25	
	NET	0	AVG BGHT 2329.53	SOLD 2367.71			36,275.00	0.00
07SEP17	S	2	PD07DEC17	2346.00	2525.78	USD	-8,989.00	
07SEP17	S	2	PD07DEC17	2346.50	2525.78	USD	-8,964.00	
07SEP17	S	3	PD07DEC17	2345.00	2525.78	USD	-13,558.50	
07SEP17	S	2	PD07DEC17	2344.50	2525.78	USD	-9,064.00	
07SEP17	S	1	PD07DEC17	2344.00	2525.78	USD	-4,544.50	
07SEP17	B	2	PD07DEC17	2341.50	2525.78	USD	9,214.00	
07SEP17	S	1	PD07DEC17	2334.00	2525.78	USD	-4,794.50	
07SEP17	S	2	PD07DEC17	2333.00	2525.78	USD	-9,639.00	
07SEP17	S	2	PD07DEC17	2332.50	2525.78	USD	-9,664.00	
07SEP17	B	2	PD07DEC17	2332.50	2525.78	USD	9,664.00	
07SEP17	S	1	PD07DEC17	2333.00	2525.78	USD	-4,819.50	
07SEP17	B	2	PD07DEC17	2337.50	2525.78	USD	9,414.00	
07SEP17	B	1	PD07DEC17	2337.00	2525.78	USD	4,719.50	
07SEP17	B	1	PD07DEC17	2341.00	2525.78	USD	4,619.50	
07SEP17	B	2	PD07DEC17	2338.00	2525.78	USD	9,389.00	
07SEP17	B	1	PD07DEC17	2339.00	2525.78	USD	4,669.50	

OPEN POSITION STATEMENT



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SAP0119B

13th October 2017

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backoffice@sapiencap.com

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	<u>LME LEAD 25 TONNES. THIS IS AN</u>							
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07SEP17	B	2	PD07DEC17	2339.50	2525.78	USD	9,314.00	
07SEP17	S	1	PD07DEC17	2356.00	2525.78	USD	-4,244.50	
07SEP17	B	1	PD07DEC17	2361.50	2525.78	USD	4,107.00	
07SEP17	B	1	PD07DEC17	2362.00	2525.78	USD	4,094.50	
07SEP17	B	1	PD07DEC17	2362.50	2525.78	USD	4,082.00	
07SEP17	B	1	PD07DEC17	2364.00	2525.78	USD	4,044.50	
07SEP17	B	1	PD07DEC17	2363.50	2525.78	USD	4,057.00	
07SEP17	B	1	PD07DEC17	2360.50	2525.78	USD	4,132.00	
07SEP17	B	3	PD07DEC17	2361.00	2525.78	USD	12,358.50	
07SEP17	B	1	PD07DEC17	2354.50	2525.78	USD	4,282.00	
07SEP17	B	3	PD07DEC17	2354.00	2525.78	USD	12,883.50	
07SEP17	B	1	PD07DEC17	2351.50	2525.78	USD	4,357.00	
07SEP17	B	1	PD07DEC17	2351.00	2525.78	USD	4,369.50	
07SEP17	B	1	PD07DEC17	2337.50	2525.78	USD	4,707.00	
07SEP17	B	1	PD07DEC17	2340.00	2525.78	USD	4,644.50	
07SEP17	B	1	PD07DEC17	2341.50	2525.78	USD	4,607.00	
07SEP17	B	1	PD07DEC17	2363.50	2525.78	USD	4,057.00	
07SEP17	B	1	PD07DEC17	2359.00	2525.78	USD	4,169.50	
07SEP17	B	2	PD07DEC17	2348.00	2525.78	USD	8,889.00	
07SEP17	B	1	PD07DEC17	2344.00	2525.78	USD	4,544.50	
07SEP17	B	2	PD07DEC17	2343.50	2525.78	USD	9,114.00	
07SEP17	B	2	PD07DEC17	2343.00	2525.78	USD	9,139.00	
07SEP17	B	5	PD07DEC17	2342.00	2525.78	USD	22,972.50	
07SEP17	B	5	PD07DEC17	2340.00	2525.78	USD	23,222.50	
07SEP17	S	1	PD07DEC17	2343.00	2525.78	USD	-4,569.50	
07SEP17	S	1	PD07DEC17	2345.50	2525.78	USD	-4,507.00	
07SEP17	S	1	PD07DEC17	2343.00	2525.78	USD	-4,569.50	
07SEP17	S	1	PD07DEC17	2344.00	2525.78	USD	-4,544.50	
11SEP17	S	15	PD07DEC17	2275.72	2525.78	USD	-93,772.50	
22SEP17	S	14	PD07DEC17	2488.50	2525.78	USD	-13,048.00	
	NET	0AVG BGHT 2346.71	SOLD 2363.15				20,545.00	0.00
08SEP17	B	1	PD08DEC17	2345.00	2525.93	USD	4,523.25	
08SEP17	B	1	PD08DEC17	2347.00	2525.93	USD	4,473.25	
08SEP17	B	3	PD08DEC17	2346.50	2525.93	USD	13,457.25	
08SEP17	B	1	PD08DEC17	2351.00	2525.93	USD	4,373.25	
08SEP17	B	5	PD08DEC17	2350.50	2525.93	USD	21,928.75	
08SEP17	B	3	PD08DEC17	2349.50	2525.93	USD	13,232.25	
08SEP17	B	3	PD08DEC17	2350.00	2525.93	USD	13,194.75	
08SEP17	S	2	PD08DEC17	2342.00	2525.93	USD	-9,196.50	
08SEP17	S	1	PD08DEC17	2339.00	2525.93	USD	-4,673.25	
08SEP17	S	1	PD08DEC17	2333.50	2525.93	USD	-4,810.75	
08SEP17	S	1	PD08DEC17	2332.50	2525.93	USD	-4,835.75	
08SEP17	S	1	PD08DEC17	2334.50	2525.93	USD	-4,785.75	
08SEP17	S	2	PD08DEC17	2334.00	2525.93	USD	-9,596.50	
08SEP17	S	1	PD08DEC17	2332.00	2525.93	USD	-4,848.25	
08SEP17	S	1	PD08DEC17	2331.50	2525.93	USD	-4,860.75	
08SEP17	S	1	PD08DEC17	2329.50	2525.93	USD	-4,910.75	
08SEP17	S	1	PD08DEC17	2329.00	2525.93	USD	-4,923.25	
08SEP17	S	1	PD08DEC17	2327.50	2525.93	USD	-4,960.75	
08SEP17	S	1	PD08DEC17	2327.00	2525.93	USD	-4,973.25	
08SEP17	S	2	PD08DEC17	2325.50	2525.93	USD	-10,021.50	
08SEP17	S	1	PD08DEC17	2323.00	2525.93	USD	-5,073.25	
08SEP17	S	1	PD08DEC17	2322.00	2525.93	USD	-5,098.25	
08SEP17	S	1	PD08DEC17	2322.50	2525.93	USD	-5,085.75	
08SEP17	S	1	PD08DEC17	2317.00	2525.93	USD	-5,223.25	
08SEP17	S	2	PD08DEC17	2316.50	2525.93	USD	-10,471.50	
08SEP17	S	1	PD08DEC17	2315.50	2525.93	USD	-5,260.75	

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SAP0119B

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	<u>LME LEAD 25 TONNES. THIS IS AN</u>							
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08SEP17	S	2	PD08DEC17	2314.00	2525.93	USD	-10,596.50	
08SEP17	S	3	PD08DEC17	2315.00	2525.93	USD	-15,819.75	
08SEP17	S	6	PD08DEC17	2313.50	2525.93	USD	-31,864.50	
08SEP17	S	2	PD08DEC17	2316.00	2525.93	USD	-10,496.50	
08SEP17	S	1	PD08DEC17	2317.50	2525.93	USD	-5,210.75	
08SEP17	B	1	PD08DEC17	2321.00	2525.93	USD	5,123.25	
08SEP17	B	1	PD08DEC17	2319.00	2525.93	USD	5,173.25	
08SEP17	B	1	PD08DEC17	2317.50	2525.93	USD	5,210.75	
08SEP17	B	3	PD08DEC17	2318.50	2525.93	USD	15,557.25	
08SEP17	B	2	PD08DEC17	2321.50	2525.93	USD	10,221.50	
08SEP17	B	1	PD08DEC17	2322.50	2525.93	USD	5,085.75	
08SEP17	S	1	PD08DEC17	2317.00	2525.93	USD	-5,223.25	
08SEP17	S	1	PD08DEC17	2315.50	2525.93	USD	-5,260.75	
08SEP17	B	2	PD08DEC17	2294.00	2525.93	USD	11,596.50	
08SEP17	B	1	PD08DEC17	2294.50	2525.93	USD	5,785.75	
08SEP17	S	2	PD08DEC17	2291.50	2525.93	USD	-11,721.50	
08SEP17	S	1	PD08DEC17	2301.00	2525.93	USD	-5,623.25	
08SEP17	S	1	PD08DEC17	2302.00	2525.93	USD	-5,598.25	
08SEP17	S	1	PD08DEC17	2280.00	2525.93	USD	-6,148.25	
08SEP17	S	1	PD08DEC17	2279.50	2525.93	USD	-6,160.75	
08SEP17	S	1	PD08DEC17	2290.50	2525.93	USD	-5,885.75	
08SEP17	B	3	PD08DEC17	2270.50	2525.93	USD	19,157.25	
08SEP17	S	1	PD08DEC17	2269.00	2525.93	USD	-6,423.25	
11SEP17	B	20	PD08DEC17	2276.24	2525.93	USD	124,845.00	
22SEP17	S	5	PD08DEC17	2488.50	2525.93	USD	-4,678.75	
	NET	0 AVG BGHT 2308.28	SOLD 2333.38				32,617.50	0.00
11SEP17	S	3	PD11DEC17	2271.50	2526.39	USD	-19,116.75	
11SEP17	B	1	PD11DEC17	2270.50	2526.39	USD	6,397.25	
11SEP17	B	1	PD11DEC17	2267.50	2526.39	USD	6,472.25	
11SEP17	B	1	PD11DEC17	2268.00	2526.39	USD	6,459.75	
11SEP17	B	1	PD11DEC17	2270.00	2526.39	USD	6,409.75	
11SEP17	S	3	PD11DEC17	2272.00	2526.39	USD	-19,079.25	
11SEP17	S	4	PD11DEC17	2272.50	2526.39	USD	-25,389.00	
11SEP17	S	2	PD11DEC17	2273.00	2526.39	USD	-12,669.50	
11SEP17	S	2	PD11DEC17	2270.00	2526.39	USD	-12,819.50	
11SEP17	B	1	PD11DEC17	2271.50	2526.39	USD	6,372.25	
11SEP17	B	1	PD11DEC17	2281.00	2526.39	USD	6,134.75	
11SEP17	B	1	PD11DEC17	2283.00	2526.39	USD	6,084.75	
11SEP17	B	1	PD11DEC17	2267.50	2526.39	USD	6,472.25	
11SEP17	B	1	PD11DEC17	2270.50	2526.39	USD	6,397.25	
11SEP17	B	1	PD11DEC17	2270.00	2526.39	USD	6,409.75	
11SEP17	B	2	PD11DEC17	2284.50	2526.39	USD	12,094.50	
11SEP17	B	2	PD11DEC17	2286.00	2526.39	USD	12,019.50	
11SEP17	S	1	PD11DEC17	2282.50	2526.39	USD	-6,097.25	
11SEP17	S	3	PD11DEC17	2281.50	2526.39	USD	-18,366.75	
11SEP17	B	1	PD11DEC17	2283.50	2526.39	USD	6,072.25	
11SEP17	B	1	PD11DEC17	2278.50	2526.39	USD	6,197.25	
11SEP17	B	2	PD11DEC17	2284.00	2526.39	USD	12,119.50	
11SEP17	B	2	PD11DEC17	2282.00	2526.39	USD	12,219.50	
11SEP17	B	1	PD11DEC17	2281.50	2526.39	USD	6,122.25	
11SEP17	B	2	PD11DEC17	2282.50	2526.39	USD	12,194.50	
11SEP17	B	1	PD11DEC17	2276.50	2526.39	USD	6,247.25	
11SEP17	B	1	PD11DEC17	2279.50	2526.39	USD	6,172.25	
11SEP17	B	2	PD11DEC17	2279.00	2526.39	USD	12,369.50	
11SEP17	B	3	PD11DEC17	2274.00	2526.39	USD	18,929.25	
11SEP17	B	1	PD11DEC17	2277.00	2526.39	USD	6,234.75	
11SEP17	B	1	PD11DEC17	2277.50	2526.39	USD	6,222.25	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0119B

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME LEAD 25 TONNES. THIS IS AN</u>							
	<u>LME REGISTERED CLIENT CONTRACT</u>							
11SEP17	B	3	PD11DEC17	2269.00	2526.39	USD	19,304.25	
11SEP17	B	2	PD11DEC17	2268.50	2526.39	USD	12,894.50	
11SEP17	B	2	PD11DEC17	2267.00	2526.39	USD	12,969.50	
11SEP17	B	2	PD11DEC17	2266.00	2526.39	USD	13,019.50	
11SEP17	B	1	PD11DEC17	2265.50	2526.39	USD	6,522.25	
11SEP17	B	1	PD11DEC17	2261.00	2526.39	USD	6,634.75	
11SEP17	B	1	PD11DEC17	2262.00	2526.39	USD	6,609.75	
11SEP17	B	1	PD11DEC17	2262.50	2526.39	USD	6,597.25	
11SEP17	B	4	PD11DEC17	2261.50	2526.39	USD	26,489.00	
11SEP17	B	2	PD11DEC17	2265.00	2526.39	USD	13,069.50	
11SEP17	B	3	PD11DEC17	2272.00	2526.39	USD	19,079.25	
11SEP17	B	1	PD11DEC17	2273.50	2526.39	USD	6,322.25	
11SEP17	B	5	PD11DEC17	2274.50	2526.39	USD	31,486.25	
11SEP17	B	2	PD11DEC17	2275.00	2526.39	USD	12,569.50	
15SEP17	S	2	PD11DEC17	2357.90	2526.39	USD	-8,424.50	
22SEP17	S	42	PD11DEC17	2488.50	2526.39	USD	-39,784.50	
	NET	0AVG BGHT 2273.23	SOLD 2422.04				230,645.00	0.00
12SEP17	S	1	PD12DEC17	2278.00	2526.54	USD	-6,213.50	
12SEP17	S	1	PD12DEC17	2276.00	2526.54	USD	-6,263.50	
12SEP17	S	1	PD12DEC17	2276.50	2526.54	USD	-6,251.00	
12SEP17	S	3	PD12DEC17	2277.50	2526.54	USD	-18,678.00	
12SEP17	S	1	PD12DEC17	2277.00	2526.54	USD	-6,238.50	
12SEP17	S	1	PD12DEC17	2273.00	2526.54	USD	-6,338.50	
12SEP17	S	6	PD12DEC17	2278.50	2526.54	USD	-37,206.00	
12SEP17	S	2	PD12DEC17	2279.50	2526.54	USD	-12,352.00	
12SEP17	S	1	PD12DEC17	2279.00	2526.54	USD	-6,188.50	
12SEP17	S	3	PD12DEC17	2281.50	2526.54	USD	-18,378.00	
12SEP17	S	1	PD12DEC17	2280.50	2526.54	USD	-6,151.00	
12SEP17	S	3	PD12DEC17	2281.00	2526.54	USD	-18,415.50	
12SEP17	S	1	PD12DEC17	2280.00	2526.54	USD	-6,163.50	
12SEP17	B	1	PD12DEC17	2276.00	2526.54	USD	6,263.50	
12SEP17	B	2	PD12DEC17	2277.00	2526.54	USD	12,477.00	
12SEP17	B	1	PD12DEC17	2280.00	2526.54	USD	6,163.50	
12SEP17	B	1	PD12DEC17	2285.00	2526.54	USD	6,038.50	
12SEP17	B	1	PD12DEC17	2288.50	2526.54	USD	5,951.00	
12SEP17	B	3	PD12DEC17	2289.00	2526.54	USD	17,815.50	
12SEP17	B	2	PD12DEC17	2284.00	2526.54	USD	12,127.00	
12SEP17	S	1	PD12DEC17	2278.50	2526.54	USD	-6,201.00	
12SEP17	S	1	PD12DEC17	2276.00	2526.54	USD	-6,263.50	
12SEP17	B	1	PD12DEC17	2258.50	2526.54	USD	6,701.00	
12SEP17	B	1	PD12DEC17	2272.00	2526.54	USD	6,363.50	
12SEP17	B	3	PD12DEC17	2271.50	2526.54	USD	19,128.00	
12SEP17	B	1	PD12DEC17	2271.00	2526.54	USD	6,388.50	
12SEP17	B	1	PD12DEC17	2275.00	2526.54	USD	6,288.50	
12SEP17	B	2	PD12DEC17	2274.50	2526.54	USD	12,602.00	
12SEP17	B	2	PD12DEC17	2281.00	2526.54	USD	12,277.00	
12SEP17	B	1	PD12DEC17	2280.50	2526.54	USD	6,151.00	
12SEP17	B	1	PD12DEC17	2288.00	2526.54	USD	5,963.50	
12SEP17	B	3	PD12DEC17	2287.50	2526.54	USD	17,928.00	
12SEP17	B	7	PD12DEC17	2287.00	2526.54	USD	41,919.50	
12SEP17	S	1	PD12DEC17	2292.00	2526.54	USD	-5,863.50	
12SEP17	S	1	PD12DEC17	2291.00	2526.54	USD	-5,888.50	
12SEP17	B	1	PD12DEC17	2291.00	2526.54	USD	5,888.50	
12SEP17	B	2	PD12DEC17	2289.50	2526.54	USD	11,852.00	
12SEP17	B	1	PD12DEC17	2287.00	2526.54	USD	5,988.50	
15SEP17	B	4	PD12DEC17	2358.38	2526.54	USD	16,816.00	
22SEP17	S	13	PD12DEC17	2488.50	2526.54	USD	-12,363.00	

OPEN POSITION STATEMENT



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	<u>LME LEAD 25 TONNES. THIS IS AN</u>								
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	NET	0AVG	BGHT	2289.31	SOLD	2344.24		57,674.50	0.00
13SEP17	B	2	PD13DEC17		2291.00	2526.69	USD	11,784.50	
13SEP17	B	1	PD13DEC17		2289.50	2526.69	USD	5,929.75	
13SEP17	B	2	PD13DEC17		2290.00	2526.69	USD	11,834.50	
13SEP17	S	1	PD13DEC17		2290.50	2526.69	USD	-5,904.75	
13SEP17	B	7	PD13DEC17		2290.50	2526.69	USD	41,333.25	
13SEP17	B	3	PD13DEC17		2287.50	2526.69	USD	17,939.25	
13SEP17	B	3	PD13DEC17		2288.00	2526.69	USD	17,901.75	
13SEP17	S	3	PD13DEC17		2307.00	2526.69	USD	-16,476.75	
13SEP17	S	1	PD13DEC17		2288.50	2526.69	USD	-5,954.75	
13SEP17	S	5	PD13DEC17		2290.00	2526.69	USD	-29,586.25	
13SEP17	S	1	PD13DEC17		2291.00	2526.69	USD	-5,892.25	
13SEP17	S	1	PD13DEC17		2292.00	2526.69	USD	-5,867.25	
13SEP17	S	2	PD13DEC17		2303.50	2526.69	USD	-11,159.50	
13SEP17	B	3	PD13DEC17		2301.50	2526.69	USD	16,889.25	
13SEP17	B	1	PD13DEC17		2302.00	2526.69	USD	5,617.25	
13SEP17	B	5	PD13DEC17		2300.50	2526.69	USD	28,273.75	
13SEP17	B	1	PD13DEC17		2298.50	2526.69	USD	5,704.75	
13SEP17	B	1	PD13DEC17		2299.00	2526.69	USD	5,692.25	
13SEP17	B	1	PD13DEC17		2299.50	2526.69	USD	5,679.75	
13SEP17	B	1	PD13DEC17		2300.00	2526.69	USD	5,667.25	
13SEP17	B	2	PD13DEC17		2294.00	2526.69	USD	11,634.50	
13SEP17	B	2	PD13DEC17		2292.00	2526.69	USD	11,734.50	
19SEP17	S	4	PD13DEC17		2419.75	2526.69	USD	-10,694.00	
22SEP17	S	17	PD13DEC17		2488.50	2526.69	USD	-16,230.75	
	NET	0AVG	BGHT	2293.99	SOLD	2403.53		95,850.00	0.00
14SEP17	B	1	PD14DEC17		2299.50	2526.84	USD	5,683.50	
14SEP17	B	1	PD14DEC17		2299.00	2526.84	USD	5,696.00	
14SEP17	S	3	PD14DEC17		2297.00	2526.84	USD	-17,238.00	
14SEP17	S	1	PD14DEC17		2294.50	2526.84	USD	-5,808.50	
14SEP17	S	1	PD14DEC17		2307.50	2526.84	USD	-5,483.50	
14SEP17	S	3	PD14DEC17		2308.50	2526.84	USD	-16,375.50	
14SEP17	B	1	PD14DEC17		2307.50	2526.84	USD	5,483.50	
14SEP17	S	1	PD14DEC17		2303.50	2526.84	USD	-5,583.50	
22SEP17	B	6	PD14DEC17		2488.70	2526.84	USD	5,721.00	
	NET	0AVG	BGHT	2426.47	SOLD	2302.44		-27,905.00	0.00
15SEP17	S	1	PD15DEC17		2323.50	2526.99	USD	-5,087.25	
15SEP17	S	3	PD15DEC17		2322.50	2526.99	USD	-15,336.75	
15SEP17	S	2	PD15DEC17		2326.50	2526.99	USD	-10,024.50	
15SEP17	S	5	PD15DEC17		2326.00	2526.99	USD	-25,123.75	
15SEP17	S	1	PD15DEC17		2327.50	2526.99	USD	-4,987.25	
15SEP17	S	1	PD15DEC17		2317.50	2526.99	USD	-5,237.25	
15SEP17	S	3	PD15DEC17		2317.00	2526.99	USD	-15,749.25	
15SEP17	S	1	PD15DEC17		2316.00	2526.99	USD	-5,274.75	
15SEP17	S	2	PD15DEC17		2315.50	2526.99	USD	-10,574.50	
15SEP17	S	1	PD15DEC17		2317.00	2526.99	USD	-5,249.75	
15SEP17	S	1	PD15DEC17		2313.50	2526.99	USD	-5,337.25	
15SEP17	S	1	PD15DEC17		2312.00	2526.99	USD	-5,374.75	
15SEP17	S	1	PD15DEC17		2347.00	2526.99	USD	-4,499.75	
15SEP17	S	1	PD15DEC17		2348.00	2526.99	USD	-4,474.75	
15SEP17	S	1	PD15DEC17		2342.00	2526.99	USD	-4,624.75	
15SEP17	S	1	PD15DEC17		2342.50	2526.99	USD	-4,612.25	
15SEP17	S	1	PD15DEC17		2340.50	2526.99	USD	-4,662.25	
15SEP17	S	1	PD15DEC17		2341.50	2526.99	USD	-4,637.25	
15SEP17	S	1	PD15DEC17		2339.50	2526.99	USD	-4,687.25	
15SEP17	S	1	PD15DEC17		2339.00	2526.99	USD	-4,699.75	

OPEN POSITION STATEMENT



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	<u>LME LEAD 25 TONNES. THIS IS AN</u>							
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15SEP17	S	2	PD15DEC17	2336.50	2526.99	USD	-9,524.50	
15SEP17	S	2	PD15DEC17	2358.50	2526.99	USD	-8,424.50	
15SEP17	S	2	PD15DEC17	2359.50	2526.99	USD	-8,374.50	
15SEP17	S	1	PD15DEC17	2358.00	2526.99	USD	-4,224.75	
15SEP17	S	4	PD15DEC17	2359.00	2526.99	USD	-16,799.00	
15SEP17	S	4	PD15DEC17	2345.50	2526.99	USD	-18,149.00	
15SEP17	S	3	PD15DEC17	2346.00	2526.99	USD	-13,574.25	
15SEP17	S	3	PD15DEC17	2346.50	2526.99	USD	-13,536.75	
15SEP17	S	1	PD15DEC17	2335.00	2526.99	USD	-4,799.75	
15SEP17	S	1	PD15DEC17	2347.50	2526.99	USD	-4,487.25	
15SEP17	S	1	PD15DEC17	2348.00	2526.99	USD	-4,474.75	
15SEP17	S	1	PD15DEC17	2345.50	2526.99	USD	-4,537.25	
15SEP17	S	1	PD15DEC17	2334.50	2526.99	USD	-4,812.25	
15SEP17	S	1	PD15DEC17	2335.00	2526.99	USD	-4,799.75	
15SEP17	S	2	PD15DEC17	2358.50	2526.99	USD	-8,424.50	
15SEP17	S	1	PD15DEC17	2359.50	2526.99	USD	-4,187.25	
15SEP17	S	1	PD15DEC17	2339.50	2526.99	USD	-4,687.25	
15SEP17	S	1	PD15DEC17	2353.50	2526.99	USD	-4,337.25	
15SEP17	S	1	PD15DEC17	2353.00	2526.99	USD	-4,349.75	
15SEP17	S	4	PD15DEC17	2346.50	2526.99	USD	-18,049.00	
15SEP17	S	1	PD15DEC17	2355.50	2526.99	USD	-4,287.25	
15SEP17	S	2	PD15DEC17	2354.50	2526.99	USD	-8,624.50	
19SEP17	B	4	PD15DEC17	2420.45	2526.99	USD	10,654.00	
22SEP17	B	66	PD15DEC17	2488.70	2526.99	USD	63,178.50	
	NET	0AVG BGHT 2484.80	SOLD 2339.72				-253,887.50	0.00
18SEP17	B	1	PD18DEC17	2385.50	2527.45	USD	3,548.75	
18SEP17	S	1	PD18DEC17	2393.00	2527.45	USD	-3,361.25	
18SEP17	S	1	PD18DEC17	2394.50	2527.45	USD	-3,323.75	
18SEP17	S	1	PD18DEC17	2394.00	2527.45	USD	-3,336.25	
18SEP17	S	1	PD18DEC17	2393.50	2527.45	USD	-3,348.75	
18SEP17	S	1	PD18DEC17	2392.50	2527.45	USD	-3,373.75	
18SEP17	S	1	PD18DEC17	2397.50	2527.45	USD	-3,248.75	
18SEP17	S	1	PD18DEC17	2393.00	2527.45	USD	-3,361.25	
18SEP17	S	2	PD18DEC17	2394.00	2527.45	USD	-6,672.50	
22SEP17	B	8	PD18DEC17	2488.70	2527.45	USD	7,750.00	
	NET	0AVG BGHT 2477.23	SOLD 2394.00				-18,727.50	0.00
19SEP17	B	1	PD19DEC17	2393.50	2527.60	USD	3,352.50	
19SEP17	S	2	PD19DEC17	2390.50	2527.60	USD	-6,855.00	
19SEP17	S	2	PD19DEC17	2390.00	2527.60	USD	-6,880.00	
19SEP17	B	4	PD19DEC17	2391.50	2527.60	USD	13,610.00	
19SEP17	B	1	PD19DEC17	2389.00	2527.60	USD	3,465.00	
19SEP17	B	2	PD19DEC17	2389.50	2527.60	USD	6,905.00	
19SEP17	B	1	PD19DEC17	2390.00	2527.60	USD	3,440.00	
19SEP17	B	1	PD19DEC17	2385.50	2527.60	USD	3,552.50	
19SEP17	S	1	PD19DEC17	2385.00	2527.60	USD	-3,565.00	
19SEP17	S	1	PD19DEC17	2411.50	2527.60	USD	-2,902.50	
19SEP17	S	3	PD19DEC17	2413.50	2527.60	USD	-8,557.50	
19SEP17	S	1	PD19DEC17	2413.00	2527.60	USD	-2,865.00	
19SEP17	S	1	PD19DEC17	2409.00	2527.60	USD	-2,965.00	
19SEP17	S	5	PD19DEC17	2412.50	2527.60	USD	-14,387.50	
19SEP17	S	1	PD19DEC17	2412.00	2527.60	USD	-2,890.00	
19SEP17	S	3	PD19DEC17	2399.50	2527.60	USD	-9,607.50	
19SEP17	S	1	PD19DEC17	2399.00	2527.60	USD	-3,215.00	
19SEP17	B	1	PD19DEC17	2437.00	2527.60	USD	2,265.00	
19SEP17	S	1	PD19DEC17	2412.00	2527.60	USD	-2,890.00	
19SEP17	B	1	PD19DEC17	2422.50	2527.60	USD	2,627.50	
19SEP17	B	1	PD19DEC17	2423.50	2527.60	USD	2,602.50	

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19SEP17	B	1	PD19DEC17	2423.00	2527.60	USD	2,615.00	
22SEP17	B	8	PD19DEC17	2488.70	2527.60	USD	7,780.00	
	NET	0AVG	BGHT 2432.66				-15,365.00	0.00
20SEP17	B	1	PD20DEC17	2440.00	2527.75	USD	2,193.75	
20SEP17	B	1	PD20DEC17	2443.00	2527.75	USD	2,118.75	
20SEP17	B	1	PD20DEC17	2446.50	2527.75	USD	2,031.25	
20SEP17	B	3	PD20DEC17	2445.50	2527.75	USD	6,168.75	
20SEP17	B	2	PD20DEC17	2446.00	2527.75	USD	4,087.50	
20SEP17	B	2	PD20DEC17	2449.00	2527.75	USD	3,937.50	
20SEP17	S	2	PD20DEC17	2455.00	2527.75	USD	-3,637.50	
20SEP17	B	3	PD20DEC17	2453.00	2527.75	USD	5,606.25	
20SEP17	B	3	PD20DEC17	2454.00	2527.75	USD	5,531.25	
20SEP17	S	1	PD20DEC17	2453.00	2527.75	USD	-1,868.75	
20SEP17	S	1	PD20DEC17	2459.50	2527.75	USD	-1,706.25	
20SEP17	B	2	PD20DEC17	2454.50	2527.75	USD	3,662.50	
20SEP17	S	1	PD20DEC17	2455.50	2527.75	USD	-1,806.25	
20SEP17	S	1	PD20DEC17	2454.50	2527.75	USD	-1,831.25	
20SEP17	S	2	PD20DEC17	2469.00	2527.75	USD	-2,937.50	
20SEP17	S	1	PD20DEC17	2467.00	2527.75	USD	-1,518.75	
20SEP17	S	1	PD20DEC17	2465.00	2527.75	USD	-1,568.75	
20SEP17	B	2	PD20DEC17	2470.50	2527.75	USD	2,862.50	
20SEP17	S	1	PD20DEC17	2463.00	2527.75	USD	-1,618.75	
20SEP17	S	1	PD20DEC17	2461.50	2527.75	USD	-1,656.25	
20SEP17	S	2	PD20DEC17	2458.00	2527.75	USD	-3,487.50	
22SEP17	S	6	PD20DEC17	2488.50	2527.75	USD	-5,887.50	
	NET	0AVG	BGHT 2451.35				8,675.00	0.00
21SEP17	B	1	PD21DEC17	2452.50	2526.75	USD	1,856.25	
21SEP17	S	2	PD21DEC17	2444.00	2526.75	USD	-4,137.50	
21SEP17	S	4	PD21DEC17	2445.50	2526.75	USD	-8,125.00	
21SEP17	S	1	PD21DEC17	2435.50	2526.75	USD	-2,281.25	
21SEP17	S	1	PD21DEC17	2434.00	2526.75	USD	-2,318.75	
21SEP17	S	1	PD21DEC17	2431.50	2526.75	USD	-2,381.25	
21SEP17	S	2	PD21DEC17	2432.00	2526.75	USD	-4,737.50	
21SEP17	S	2	PD21DEC17	2434.50	2526.75	USD	-4,612.50	
21SEP17	S	2	PD21DEC17	2432.50	2526.75	USD	-4,712.50	
21SEP17	S	1	PD21DEC17	2475.00	2526.75	USD	-1,293.75	
21SEP17	B	1	PD21DEC17	2481.50	2526.75	USD	1,131.25	
21SEP17	S	1	PD21DEC17	2502.00	2526.75	USD	-618.75	
21SEP17	S	1	PD21DEC17	2479.00	2526.75	USD	-1,193.75	
21SEP17	S	3	PD21DEC17	2445.00	2526.75	USD	-6,131.25	
21SEP17	S	2	PD21DEC17	2447.50	2526.75	USD	-3,962.50	
21SEP17	B	2	PD21DEC17	2443.50	2526.75	USD	4,162.50	
21SEP17	B	1	PD21DEC17	2454.00	2526.75	USD	1,818.75	
22SEP17	B	18	PD21DEC17	2485.95	2526.75	USD	18,360.00	
	NET	0AVG	BGHT 2479.22				-19,177.50	0.00
22SEP17	B	2	PD22DEC17	2386.00	2526.90	USD	7,045.00	
22SEP17	S	1	PD22DEC17	2463.50	2526.90	USD	-1,585.00	
22SEP17	S	1	PD22DEC17	2470.50	2526.90	USD	-1,410.00	
22SEP17	S	1	PD22DEC17	2469.00	2526.90	USD	-1,447.50	
22SEP17	S	3	PD22DEC17	2468.50	2526.90	USD	-4,380.00	
22SEP17	S	5	PD22DEC17	2475.50	2526.90	USD	-6,425.00	
22SEP17	B	1	PD22DEC17	2485.00	2526.90	USD	1,047.50	
22SEP17	B	3	PD22DEC17	2486.00	2526.90	USD	3,067.50	
29SEP17	B	5	PD22DEC17	2488.00	2526.90	USD	4,862.50	
	NET	0AVG	BGHT 2468.64				775.00	0.00
25SEP17	B	2	PD27DEC17	2501.00	2527.64	USD	1,332.00	

OPEN POSITION STATEMENT



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	<u>LME LEAD 25 TONNES. THIS IS AN</u>							
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25SEP17	B	1	PD27DEC17	2491.00	2527.64	USD	916.00	
25SEP17	S	1	PD27DEC17	2487.50	2527.64	USD	-1,003.50	
25SEP17	S	1	PD27DEC17	2488.50	2527.64	USD	-978.50	
25SEP17	B	1	PD27DEC17	2490.00	2527.64	USD	941.00	
25SEP17	S	3	PD27DEC17	2502.00	2527.64	USD	-1,923.00	
25SEP17	S	1	PD27DEC17	2502.50	2527.64	USD	-628.50	
25SEP17	S	3	PD27DEC17	2503.00	2527.64	USD	-1,848.00	
25SEP17	B	1	PD27DEC17	2490.50	2527.64	USD	928.50	
25SEP17	B	4	PD27DEC17	2489.50	2527.64	USD	3,814.00	
25SEP17	B	3	PD27DEC17	2493.00	2527.64	USD	2,598.00	
25SEP17	B	3	PD27DEC17	2494.00	2527.64	USD	2,523.00	
25SEP17	S	1	PD27DEC17	2502.50	2527.64	USD	-628.50	
26SEP17	S	1	PD27DEC17	2475.00	2527.64	USD	-1,316.00	
26SEP17	S	1	PD27DEC17	2474.50	2527.64	USD	-1,328.50	
26SEP17	S	4	PD27DEC17	2471.00	2527.64	USD	-5,664.00	
26SEP17	S	2	PD27DEC17	2470.50	2527.64	USD	-2,857.00	
26SEP17	B	3	PD27DEC17	2466.00	2527.64	USD	4,623.00	
26SEP17	B	1	PD27DEC17	2465.00	2527.64	USD	1,566.00	
26SEP17	S	1	PD27DEC17	2484.00	2527.64	USD	-1,091.00	
26SEP17	S	2	PD27DEC17	2483.50	2527.64	USD	-2,207.00	
26SEP17	S	1	PD27DEC17	2479.50	2527.64	USD	-1,203.50	
26SEP17	B	2	PD27DEC17	2484.50	2527.64	USD	2,157.00	
26SEP17	B	2	PD27DEC17	2484.00	2527.64	USD	2,182.00	
27SEP17	B	1	PD27DEC17	2479.50	2527.64	USD	1,203.50	
27SEP17	B	1	PD27DEC17	2480.00	2527.64	USD	1,191.00	
27SEP17	B	1	PD27DEC17	2480.50	2527.64	USD	1,178.50	
27SEP17	B	1	PD27DEC17	2476.50	2527.64	USD	1,278.50	
27SEP17	B	3	PD27DEC17	2461.50	2527.64	USD	4,960.50	
27SEP17	B	1	PD27DEC17	2461.00	2527.64	USD	1,666.00	
27SEP17	S	2	PD27DEC17	2462.50	2527.64	USD	-3,257.00	
27SEP17	S	1	PD27DEC17	2460.50	2527.64	USD	-1,678.50	
27SEP17	S	1	PD27DEC17	2460.50	2527.64	USD	-1,678.50	
27SEP17	S	1	PD27DEC17	2464.50	2527.64	USD	-1,578.50	
27SEP17	S	3	PD27DEC17	2465.00	2527.64	USD	-4,698.00	
27SEP17	B	4	PD27DEC17	2465.50	2527.64	USD	6,214.00	
29SEP17	S	5	PD27DEC17	2486.80	2527.64	USD	-5,105.00	
	NET	0AVG BGHT 2480.47	SOLD 2481.16				600.00	0.00
29SEP17	S	1	PD29DEC17	2508.50	2527.93	USD	-485.75	
29SEP17	S	2	PD29DEC17	2507.00	2527.93	USD	-1,046.50	
29SEP17	S	1	PD29DEC17	2506.00	2527.93	USD	-548.25	
29SEP17	S	1	PD29DEC17	2509.00	2527.93	USD	-473.25	
29SEP17	B	5	PD29DEC17	2512.16	2527.93	USD	1,971.25	
	NET	0AVG BGHT 2512.16	SOLD 2507.50				-582.50	0.00
09OCT17	B	1	PD09JAN18	2538.00	2529.56	USD	-211.00	
09OCT17	B	1	PD09JAN18	2538.50	2529.56	USD	-223.50	
09OCT17	B	1	PD09JAN18	2536.50	2529.56	USD	-173.50	
09OCT17	B	1	PD09JAN18	2534.00	2529.56	USD	-111.00	
09OCT17	S	1	PD09JAN18	2528.50	2529.56	USD	-26.50	
09OCT17	S	1	PD09JAN18	2535.50	2529.56	USD	148.50	
09OCT17	B	3	PD09JAN18	2529.50	2529.56	USD	4.50	
09OCT17	B	1	PD09JAN18	2530.00	2529.56	USD	-11.00	
09OCT17	S	5	PD09JAN18	2528.00	2529.56	USD	-195.00	
09OCT17	S	1	PD09JAN18	2527.50	2529.56	USD	-51.50	
	NET	0AVG BGHT 2533.19	SOLD 2528.94				-850.00	0.00
10OCT17	B	1	PD10JAN18	2516.50	2529.70	USD	330.00	
10OCT17	S	1	PD10JAN18	2501.50	2529.70	USD	-705.00	

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10OCT17	B	1	PD10JAN18	2502.00	2529.70	USD	692.50	
10OCT17	S	1	PD10JAN18	2502.00	2529.70	USD	-692.50	
10OCT17	S	1	PD10JAN18	2515.00	2529.70	USD	-367.50	
10OCT17	S	1	PD10JAN18	2507.50	2529.70	USD	-555.00	
10OCT17	B	1	PD10JAN18	2507.50	2529.70	USD	555.00	
10OCT17	B	1	PD10JAN18	2509.00	2529.70	USD	517.50	
10OCT17	S	2	PD10JAN18	2531.00	2529.70	USD	65.00	
10OCT17	S	2	PD10JAN18	2538.00	2529.70	USD	415.00	
	NET	-4 AVG	BGHT 2508.75		SOLD 2520.50		255.00	0.00
12OCT17	S	1	PD12JAN18	2574.50	2530.00	USD	1,112.50	
12OCT17	B	1	PD12JAN18	2564.50	2530.00	USD	-862.50	
12OCT17	B	1	PD12JAN18	2563.00	2530.00	USD	-825.00	
12OCT17	B	2	PD12JAN18	2566.50	2530.00	USD	-1,825.00	
12OCT17	B	1	PD12JAN18	2565.00	2530.00	USD	-875.00	
13OCT17	S	1	PD12JAN18	2544.00	2530.00	USD	350.00	
13OCT17	S	1	PD12JAN18	2538.00	2530.00	USD	200.00	
13OCT17	B	1	PD12JAN18	2542.50	2530.00	USD	-312.50	
13OCT17	B	1	PD12JAN18	2544.00	2530.00	USD	-350.00	
13OCT17	S	1	PD12JAN18	2541.00	2530.00	USD	275.00	
13OCT17	S	1	PD12JAN18	2540.00	2530.00	USD	250.00	
13OCT17	B	1	PD12JAN18	2529.00	2530.00	USD	25.00	
13OCT17	B	1	PD12JAN18	2534.50	2530.00	USD	-112.50	
	NET	+4 AVG	BGHT 2552.83		SOLD 2547.50		-2,950.00	0.00
	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
05OCT17	B	5	PD02NOV17	10481.62	11622.43	USD	34,224.30	
11OCT17	S	5	PD02NOV17	11079.46	11622.43	USD	-16,289.10	
	NET	0 AVG	BGHT 10481.62		SOLD 11079.46		17,935.20	0.00
11AUG17	B	1	PD10NOV17	10635.00	11629.24	USD	5,965.44	
11AUG17	B	1	PD10NOV17	10615.00	11629.24	USD	6,085.44	
11AUG17	S	1	PD10NOV17	10610.00	11629.24	USD	-6,115.44	
11AUG17	B	1	PD10NOV17	10600.00	11629.24	USD	6,175.44	
22AUG17	S	2	PD10NOV17	11410.04	11629.24	USD	-2,630.40	
	NET	0 AVG	BGHT 10616.67		SOLD 11143.36		9,480.48	0.00
16AUG17	B	1	PD16NOV17	10415.00	11634.19	USD	7,315.14	
16AUG17	B	4	PD16NOV17	10425.00	11634.19	USD	29,020.56	
16AUG17	B	1	PD16NOV17	10450.00	11634.19	USD	7,105.14	
16AUG17	S	1	PD16NOV17	10770.00	11634.19	USD	-5,185.14	
22AUG17	S	2	PD16NOV17	11411.50	11634.19	USD	-2,672.28	
22AUG17	S	3	PD16NOV17	11412.50	11634.19	USD	-3,990.42	
	NET	0 AVG	BGHT 10427.50		SOLD 11305.08		31,593.00	0.00
17AUG17	B	4	PD17NOV17	10700.00	11634.87	USD	22,436.88	
17AUG17	B	1	PD17NOV17	10685.00	11634.87	USD	5,699.22	
18AUG17	S	1	PD17NOV17	10740.00	11634.87	USD	-5,369.22	
18AUG17	S	1	PD17NOV17	10735.00	11634.87	USD	-5,399.22	
18AUG17	B	2	PD17NOV17	10760.00	11634.87	USD	10,498.44	
18AUG17	S	2	PD17NOV17	10800.00	11634.87	USD	-10,018.44	
18AUG17	S	1	PD17NOV17	10805.00	11634.87	USD	-4,979.22	
18AUG17	S	1	PD17NOV17	10810.00	11634.87	USD	-4,949.22	
18AUG17	S	1	PD17NOV17	10815.00	11634.87	USD	-4,919.22	
18AUG17	S	1	PD17NOV17	11035.00	11634.87	USD	-3,599.22	
22AUG17	B	1	PD17NOV17	11413.92	11634.87	USD	1,325.70	
	NET	0 AVG	BGHT 10802.37		SOLD 10817.50		726.48	0.00
21AUG17	S	1	PD21NOV17	11210.00	11637.61	USD	-2,565.66	
21AUG17	S	1	PD21NOV17	11195.00	11637.61	USD	-2,655.66	

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21AUG17	B	1	PD21NOV17	11035.00	11637.61	USD	3,615.66	
21AUG17	B	1	PD21NOV17	10980.00	11637.61	USD	3,945.66	
21AUG17	B	1	PD21NOV17	11040.00	11637.61	USD	3,585.66	
21AUG17	B	1	PD21NOV17	11165.00	11637.61	USD	2,835.66	
21AUG17	S	2	PD21NOV17	11185.00	11637.61	USD	-5,431.32	
21AUG17	S	1	PD21NOV17	11215.00	11637.61	USD	-2,535.66	
21AUG17	S	1	PD21NOV17	11230.00	11637.61	USD	-2,445.66	
21AUG17	S	1	PD21NOV17	11240.00	11637.61	USD	-2,385.66	
21AUG17	B	1	PD21NOV17	11240.00	11637.61	USD	2,385.66	
21AUG17	S	2	PD21NOV17	11235.00	11637.61	USD	-4,831.32	
21AUG17	S	1	PD21NOV17	11255.00	11637.61	USD	-2,295.66	
21AUG17	B	1	PD21NOV17	11230.00	11637.61	USD	2,445.66	
21AUG17	S	1	PD21NOV17	11220.00	11637.61	USD	-2,505.66	
21AUG17	S	1	PD21NOV17	11245.00	11637.61	USD	-2,355.66	
21AUG17	B	4	PD21NOV17	11221.00	11637.61	USD	9,998.64	
22AUG17	B	2	PD21NOV17	11415.58	11637.61	USD	2,664.36	
	NET	0 AVG BGHT 11200.43	SOLD 11220.83				1,469.04	0.00
22AUG17	S	1	PD22NOV17	11460.00	11638.30	USD	-1,069.80	
22AUG17	S	1	PD22NOV17	11480.00	11638.30	USD	-949.80	
22AUG17	B	1	PD22NOV17	11475.00	11638.30	USD	979.80	
22AUG17	B	1	PD22NOV17	11470.00	11638.30	USD	1,009.80	
22AUG17	B	1	PD22NOV17	11445.00	11638.30	USD	1,159.80	
22AUG17	B	1	PD22NOV17	11420.00	11638.30	USD	1,309.80	
22AUG17	S	1	PD22NOV17	11420.00	11638.30	USD	-1,309.80	
22AUG17	S	1	PD22NOV17	11425.00	11638.30	USD	-1,279.80	
22AUG17	S	2	PD22NOV17	11395.00	11638.30	USD	-2,919.60	
22AUG17	S	1	PD22NOV17	11405.00	11638.30	USD	-1,399.80	
30AUG17	B	3	PD22NOV17	11579.00	11638.30	USD	1,067.40	
	NET	0 AVG BGHT 11506.71	SOLD 11425.71				-3,402.00	0.00
23AUG17	B	1	PD24NOV17	11265.00	11639.67	USD	2,248.02	
23AUG17	B	1	PD24NOV17	11385.00	11639.67	USD	1,528.02	
23AUG17	B	1	PD24NOV17	11415.00	11639.67	USD	1,348.02	
23AUG17	B	1	PD24NOV17	11425.00	11639.67	USD	1,288.02	
23AUG17	B	1	PD24NOV17	11370.00	11639.67	USD	1,618.02	
23AUG17	S	2	PD24NOV17	11460.00	11639.67	USD	-2,156.04	
23AUG17	S	2	PD24NOV17	11450.00	11639.67	USD	-2,276.04	
23AUG17	S	2	PD24NOV17	11455.00	11639.67	USD	-2,216.04	
23AUG17	B	1	PD24NOV17	11505.00	11639.67	USD	808.02	
23AUG17	S	1	PD24NOV17	11505.00	11639.67	USD	-808.02	
23AUG17	S	2	PD24NOV17	11660.00	11639.67	USD	243.96	
23AUG17	S	2	PD24NOV17	11655.00	11639.67	USD	183.96	
23AUG17	S	1	PD24NOV17	11665.00	11639.67	USD	151.98	
23AUG17	S	1	PD24NOV17	11685.00	11639.67	USD	271.98	
23AUG17	S	1	PD24NOV17	11695.00	11639.67	USD	331.98	
23AUG17	B	1	PD24NOV17	11735.00	11639.67	USD	-571.98	
23AUG17	B	3	PD24NOV17	11725.00	11639.67	USD	-1,535.94	
23AUG17	B	1	PD24NOV17	11720.00	11639.67	USD	-481.98	
23AUG17	B	1	PD24NOV17	11750.00	11639.67	USD	-661.98	
23AUG17	B	1	PD24NOV17	11755.00	11639.67	USD	-691.98	
23AUG17	S	1	PD24NOV17	11805.00	11639.67	USD	991.98	
23AUG17	B	1	PD24NOV17	11775.00	11639.67	USD	-811.98	
23AUG17	S	1	PD24NOV17	11785.00	11639.67	USD	871.98	
24AUG17	B	1	PD24NOV17	11705.00	11639.67	USD	-391.98	
24AUG17	B	1	PD24NOV17	11700.00	11639.67	USD	-361.98	
24AUG17	B	1	PD24NOV17	11680.00	11639.67	USD	-241.98	
24AUG17	B	1	PD24NOV17	11640.00	11639.67	USD	-1.98	
24AUG17	B	1	PD24NOV17	11660.00	11639.67	USD	-121.98	

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30AUG17	S	3	PD24NOV17	11579.50	11639.67	USD	-1,083.06	
	NET	0 AVG BGHT 11613.68	SOLD 11591.50				-2,529.00	0.00
29AUG17	S	1	PD29NOV17	11620.00	11643.10	USD	-138.60	
29AUG17	S	1	PD29NOV17	11650.00	11643.10	USD	41.40	
29AUG17	B	1	PD29NOV17	11815.00	11643.10	USD	-1,031.40	
29AUG17	B	2	PD29NOV17	11805.00	11643.10	USD	-1,942.80	
29AUG17	S	1	PD29NOV17	11615.00	11643.10	USD	-168.60	
29AUG17	S	1	PD29NOV17	11605.00	11643.10	USD	-228.60	
29AUG17	B	1	PD29NOV17	11625.00	11643.10	USD	108.60	
29AUG17	B	1	PD29NOV17	11715.00	11643.10	USD	-431.40	
29AUG17	B	1	PD29NOV17	11725.00	11643.10	USD	-491.40	
29AUG17	S	1	PD29NOV17	11855.00	11643.10	USD	1,271.40	
29AUG17	S	1	PD29NOV17	11865.00	11643.10	USD	1,331.40	
29AUG17	S	1	PD29NOV17	11695.00	11643.10	USD	311.40	
31AUG17	B	1	PD29NOV17	11799.32	11643.10	USD	-937.32	
	NET	0 AVG BGHT 11755.62	SOLD 11700.71				-2,305.92	0.00
30AUG17	B	1	PD30NOV17	11695.00	11643.79	USD	-307.26	
30AUG17	B	2	PD30NOV17	11700.00	11643.79	USD	-674.52	
30AUG17	B	1	PD30NOV17	11640.00	11643.79	USD	22.74	
30AUG17	B	1	PD30NOV17	11610.00	11643.79	USD	202.74	
30AUG17	B	1	PD30NOV17	11655.00	11643.79	USD	-67.26	
31AUG17	B	1	PD30NOV17	11675.00	11643.79	USD	-187.26	
31AUG17	B	1	PD30NOV17	11665.00	11643.79	USD	-127.26	
31AUG17	B	1	PD30NOV17	11715.00	11643.79	USD	-427.26	
31AUG17	S	1	PD30NOV17	11705.00	11643.79	USD	367.26	
31AUG17	S	1	PD30NOV17	11750.00	11643.79	USD	637.26	
31AUG17	S	1	PD30NOV17	11720.00	11643.79	USD	457.26	
31AUG17	S	1	PD30NOV17	11755.00	11643.79	USD	667.26	
31AUG17	S	1	PD30NOV17	11785.00	11643.79	USD	847.26	
31AUG17	S	1	PD30NOV17	11795.00	11643.79	USD	907.26	
31AUG17	S	1	PD30NOV17	11800.00	11643.79	USD	937.26	
31AUG17	S	1	PD30NOV17	11810.00	11643.79	USD	997.26	
31AUG17	S	1	PD30NOV17	11820.00	11643.79	USD	1,057.26	
31AUG17	B	1	PD30NOV17	11770.00	11643.79	USD	-757.26	
31AUG17	B	1	PD30NOV17	11765.00	11643.79	USD	-727.26	
31AUG17	S	1	PD30NOV17	11799.00	11643.79	USD	931.26	
11SEP17	S	1	PD30NOV17	11757.81	11643.79	USD	684.12	
	NET	0 AVG BGHT 11690.00	SOLD 11772.44				5,440.86	0.00
01SEP17	B	1	PD01DEC17	11770.00	11644.47	USD	-753.18	
01SEP17	S	1	PD01DEC17	11830.00	11644.47	USD	1,113.18	
01SEP17	B	1	PD01DEC17	11890.00	11644.47	USD	-1,473.18	
01SEP17	S	1	PD01DEC17	11935.00	11644.47	USD	1,743.18	
01SEP17	B	2	PD01DEC17	11915.00	11644.47	USD	-3,246.36	
01SEP17	S	1	PD01DEC17	11880.00	11644.47	USD	1,413.18	
01SEP17	S	1	PD01DEC17	11885.00	11644.47	USD	1,443.18	
01SEP17	S	2	PD01DEC17	11990.00	11644.47	USD	4,146.36	
01SEP17	S	1	PD01DEC17	11915.00	11644.47	USD	1,623.18	
01SEP17	B	1	PD01DEC17	12060.00	11644.47	USD	-2,493.18	
01SEP17	S	1	PD01DEC17	12035.00	11644.47	USD	2,343.18	
01SEP17	B	1	PD01DEC17	12045.00	11644.47	USD	-2,403.18	
01SEP17	B	1	PD01DEC17	12035.00	11644.47	USD	-2,343.18	
01SEP17	B	1	PD01DEC17	12025.00	11644.47	USD	-2,283.18	
01SEP17	S	1	PD01DEC17	12030.00	11644.47	USD	2,313.18	
11SEP17	B	1	PD01DEC17	11759.46	11644.47	USD	-689.94	
	NET	0 AVG BGHT 11934.94	SOLD 11943.33				453.24	0.00
04SEP17	S	1	PD04DEC17	12295.00	11646.53	USD	3,890.82	

OPEN POSITION STATEMENT



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SAP0119B

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
04SEP17	B	1	PD04DEC17	12325.00	11646.53	USD	-4,070.82	
04SEP17	S	1	PD04DEC17	12300.00	11646.53	USD	3,920.82	
04SEP17	B	1	PD04DEC17	12305.00	11646.53	USD	-3,950.82	
04SEP17	B	1	PD04DEC17	12230.00	11646.53	USD	-3,500.82	
04SEP17	S	1	PD04DEC17	12220.00	11646.53	USD	3,440.82	
04SEP17	S	1	PD04DEC17	12240.00	11646.53	USD	3,560.82	
04SEP17	B	1	PD04DEC17	12235.00	11646.53	USD	-3,530.82	
04SEP17	B	2	PD04DEC17	12245.00	11646.53	USD	-7,181.64	
04SEP17	B	1	PD04DEC17	12205.00	11646.53	USD	-3,350.82	
04SEP17	B	1	PD04DEC17	12210.00	11646.53	USD	-3,380.82	
04SEP17	S	1	PD04DEC17	12225.00	11646.53	USD	3,470.82	
04SEP17	S	1	PD04DEC17	12210.00	11646.53	USD	3,380.82	
04SEP17	S	1	PD04DEC17	12260.00	11646.53	USD	3,680.82	
04SEP17	B	1	PD04DEC17	12220.00	11646.53	USD	-3,440.82	
04SEP17	S	2	PD04DEC17	12250.00	11646.53	USD	7,241.64	
	NET	0AVG BGHT 12246.67	SOLD 12250.00				180.00	0.00
05SEP17	B	1	PD05DEC17	12165.00	11647.21	USD	-3,106.74	
05SEP17	S	1	PD05DEC17	12215.00	11647.21	USD	3,406.74	
05SEP17	S	1	PD05DEC17	12255.00	11647.21	USD	3,646.74	
05SEP17	B	1	PD05DEC17	12110.00	11647.21	USD	-2,776.74	
05SEP17	S	1	PD05DEC17	12075.00	11647.21	USD	2,566.74	
05SEP17	B	1	PD05DEC17	12010.00	11647.21	USD	-2,176.74	
	NET	0AVG BGHT 12095.00	SOLD 12181.67				1,560.00	0.00
06SEP17	B	1	PD06DEC17	12005.00	11647.90	USD	-2,142.60	
06SEP17	B	1	PD06DEC17	12015.00	11647.90	USD	-2,202.60	
06SEP17	B	1	PD06DEC17	12075.00	11647.90	USD	-2,562.60	
06SEP17	B	1	PD06DEC17	12060.00	11647.90	USD	-2,472.60	
06SEP17	S	1	PD06DEC17	12045.00	11647.90	USD	2,382.60	
06SEP17	B	1	PD06DEC17	12025.00	11647.90	USD	-2,262.60	
06SEP17	B	1	PD06DEC17	12020.00	11647.90	USD	-2,232.60	
06SEP17	S	2	PD06DEC17	12000.00	11647.90	USD	4,225.20	
06SEP17	S	1	PD06DEC17	12060.00	11647.90	USD	2,472.60	
06SEP17	S	1	PD06DEC17	12095.00	11647.90	USD	2,682.60	
06SEP17	S	1	PD06DEC17	12100.00	11647.90	USD	2,712.60	
	NET	0AVG BGHT 12033.33	SOLD 12050.00				600.00	0.00
07SEP17	B	1	PD07DEC17	12295.00	11648.59	USD	-3,878.46	
07SEP17	S	1	PD07DEC17	12235.00	11648.59	USD	3,518.46	
07SEP17	B	1	PD07DEC17	12175.00	11648.59	USD	-3,158.46	
07SEP17	S	1	PD07DEC17	12185.00	11648.59	USD	3,218.46	
07SEP17	B	1	PD07DEC17	12100.00	11648.59	USD	-2,708.46	
07SEP17	B	1	PD07DEC17	12110.00	11648.59	USD	-2,768.46	
07SEP17	B	1	PD07DEC17	12105.00	11648.59	USD	-2,738.46	
07SEP17	B	1	PD07DEC17	12115.00	11648.59	USD	-2,798.46	
07SEP17	B	1	PD07DEC17	12070.00	11648.59	USD	-2,528.46	
11SEP17	S	5	PD07DEC17	11762.38	11648.59	USD	3,413.70	
	NET	0AVG BGHT 12138.57	SOLD 11890.27				-10,428.60	0.00
08SEP17	S	1	PD08DEC17	12265.00	11649.27	USD	3,694.38	
08SEP17	B	1	PD08DEC17	12320.00	11649.27	USD	-4,024.38	
08SEP17	B	1	PD08DEC17	12315.00	11649.27	USD	-3,994.38	
08SEP17	S	1	PD08DEC17	12180.00	11649.27	USD	3,184.38	
08SEP17	S	1	PD08DEC17	12160.00	11649.27	USD	3,064.38	
08SEP17	S	1	PD08DEC17	12155.00	11649.27	USD	3,034.38	
08SEP17	S	1	PD08DEC17	12095.00	11649.27	USD	2,674.38	
08SEP17	S	1	PD08DEC17	12100.00	11649.27	USD	2,704.38	
08SEP17	S	1	PD08DEC17	12070.00	11649.27	USD	2,524.38	
08SEP17	S	1	PD08DEC17	12055.00	11649.27	USD	2,434.38	

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
08SEP17	B	1	PD08DEC17	12000.00	11649.27	USD	-2,104.38	
08SEP17	S	1	PD08DEC17	11960.00	11649.27	USD	1,864.38	
08SEP17	S	1	PD08DEC17	11970.00	11649.27	USD	1,924.38	
08SEP17	B	1	PD08DEC17	11935.00	11649.27	USD	-1,714.38	
08SEP17	B	1	PD08DEC17	11930.00	11649.27	USD	-1,684.38	
08SEP17	S	1	PD08DEC17	11855.00	11649.27	USD	1,234.38	
08SEP17	S	1	PD08DEC17	11835.00	11649.27	USD	1,114.38	
08SEP17	B	1	PD08DEC17	11800.00	11649.27	USD	-904.38	
08SEP17	B	1	PD08DEC17	11790.00	11649.27	USD	-844.38	
08SEP17	S	1	PD08DEC17	11580.00	11649.27	USD	-415.62	
08SEP17	S	1	PD08DEC17	11600.00	11649.27	USD	-295.62	
08SEP17	S	1	PD08DEC17	11515.00	11649.27	USD	-805.62	
08SEP17	B	1	PD08DEC17	11510.00	11649.27	USD	835.62	
11SEP17	B	5	PD08DEC17	11764.04	11649.27	USD	-3,443.10	
19SEP17	B	2	PD08DEC17	11131.59	11649.27	USD	6,212.16	
	NET	0AVG BGHT 11778.89	SOLD 11959.67				16,269.72	0.00
11SEP17	S	1	PD11DEC17	11510.00	11651.33	USD	-847.98	
11SEP17	B	1	PD11DEC17	11495.00	11651.33	USD	937.98	
11SEP17	B	2	PD11DEC17	11510.00	11651.33	USD	1,695.96	
11SEP17	B	1	PD11DEC17	11590.00	11651.33	USD	367.98	
11SEP17	B	1	PD11DEC17	11490.00	11651.33	USD	967.98	
11SEP17	S	1	PD11DEC17	11625.00	11651.33	USD	-157.98	
11SEP17	S	1	PD11DEC17	11635.00	11651.33	USD	-97.98	
11SEP17	S	1	PD11DEC17	11580.00	11651.33	USD	-427.98	
11SEP17	B	1	PD11DEC17	11610.00	11651.33	USD	247.98	
11SEP17	B	1	PD11DEC17	11595.00	11651.33	USD	337.98	
11SEP17	S	1	PD11DEC17	11600.00	11651.33	USD	-307.98	
11SEP17	B	2	PD11DEC17	11575.00	11651.33	USD	915.96	
11SEP17	B	1	PD11DEC17	11580.00	11651.33	USD	427.98	
11SEP17	S	1	PD11DEC17	11650.00	11651.33	USD	-7.98	
11SEP17	S	1	PD11DEC17	11710.00	11651.33	USD	352.02	
11SEP17	S	1	PD11DEC17	11705.00	11651.33	USD	322.02	
11SEP17	B	1	PD11DEC17	11740.00	11651.33	USD	-532.02	
11SEP17	B	1	PD11DEC17	11700.00	11651.33	USD	-292.02	
11SEP17	B	1	PD11DEC17	11605.00	11651.33	USD	277.98	
11SEP17	S	1	PD11DEC17	11645.00	11651.33	USD	-37.98	
11SEP17	B	1	PD11DEC17	11725.00	11651.33	USD	-442.02	
11SEP17	S	1	PD11DEC17	11790.00	11651.33	USD	832.02	
11SEP17	S	1	PD11DEC17	11830.00	11651.33	USD	1,072.02	
11SEP17	S	1	PD11DEC17	11800.00	11651.33	USD	892.02	
19SEP17	S	2	PD11DEC17	11132.88	11651.33	USD	-6,221.40	
	NET	0AVG BGHT 11592.86	SOLD 11596.13				274.56	0.00
12SEP17	B	1	PD12DEC17	11670.00	11652.01	USD	-107.94	
12SEP17	B	4	PD12DEC17	11650.00	11652.01	USD	48.24	
12SEP17	B	1	PD12DEC17	11700.00	11652.01	USD	-287.94	
12SEP17	B	1	PD12DEC17	11630.00	11652.01	USD	132.06	
12SEP17	S	1	PD12DEC17	11720.00	11652.01	USD	407.94	
12SEP17	S	1	PD12DEC17	11730.00	11652.01	USD	467.94	
12SEP17	S	1	PD12DEC17	11885.00	11652.01	USD	1,397.94	
12SEP17	S	1	PD12DEC17	11890.00	11652.01	USD	1,427.94	
12SEP17	S	1	PD12DEC17	11900.00	11652.01	USD	1,487.94	
12SEP17	S	1	PD12DEC17	11910.00	11652.01	USD	1,547.94	
12SEP17	S	1	PD12DEC17	11925.00	11652.01	USD	1,637.94	
	NET	0AVG BGHT 11657.14	SOLD 11851.43				8,160.00	0.00
13SEP17	B	1	PD13DEC17	11895.00	11652.70	USD	-1,453.80	
13SEP17	B	1	PD13DEC17	11920.00	11652.70	USD	-1,603.80	

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13SEP17	S	1	PD13DEC17	11930.00	11652.70	USD	1,663.80	
13SEP17	B	2	PD13DEC17	11715.00	11652.70	USD	-747.60	
13SEP17	B	1	PD13DEC17	11730.00	11652.70	USD	-463.80	
13SEP17	B	1	PD13DEC17	11460.00	11652.70	USD	1,156.20	
13SEP17	S	1	PD13DEC17	11460.00	11652.70	USD	-1,156.20	
19SEP17	S	4	PD13DEC17	11135.41	11652.70	USD	-12,414.96	
	NET	0AVG BGHT 11739.17	SOLD 11321.94				-15,020.16	0.00
14SEP17	B	1	PD14DEC17	11460.00	11653.39	USD	1,160.34	
14SEP17	S	1	PD14DEC17	11460.00	11653.39	USD	-1,160.34	
14SEP17	B	1	PD14DEC17	11165.00	11653.39	USD	2,930.34	
14SEP17	S	1	PD14DEC17	11305.00	11653.39	USD	-2,090.34	
14SEP17	S	1	PD14DEC17	11280.00	11653.39	USD	-2,240.34	
14SEP17	S	1	PD14DEC17	11270.00	11653.39	USD	-2,300.34	
14SEP17	S	1	PD14DEC17	11225.00	11653.39	USD	-2,570.34	
14SEP17	S	1	PD14DEC17	11280.00	11653.39	USD	-2,240.34	
19SEP17	B	4	PD14DEC17	11137.18	11653.39	USD	12,389.04	
	NET	0AVG BGHT 11195.62	SOLD 11303.33				3,877.68	0.00
15SEP17	B	1	PD15DEC17	11080.00	11654.07	USD	3,444.42	
15SEP17	S	2	PD15DEC17	11090.00	11654.07	USD	-6,768.84	
15SEP17	S	1	PD15DEC17	11095.00	11654.07	USD	-3,354.42	
15SEP17	B	1	PD15DEC17	11120.00	11654.07	USD	3,204.42	
15SEP17	S	1	PD15DEC17	11150.00	11654.07	USD	-3,024.42	
15SEP17	B	1	PD15DEC17	11105.00	11654.07	USD	3,294.42	
19SEP17	B	1	PD15DEC17	11136.94	11654.07	USD	3,102.78	
	NET	0AVG BGHT 11110.49	SOLD 11106.25				-101.64	0.00
18SEP17	B	1	PD18DEC17	11110.00	11656.13	USD	3,276.78	
18SEP17	B	1	PD18DEC17	11175.00	11656.13	USD	2,886.78	
18SEP17	S	1	PD18DEC17	11230.00	11656.13	USD	-2,556.78	
19SEP17	S	1	PD18DEC17	11138.24	11656.13	USD	-3,107.34	
	NET	0AVG BGHT 11142.50	SOLD 11184.12				499.44	0.00
19SEP17	B	1	PD19DEC17	11100.00	11656.81	USD	3,340.86	
19SEP17	B	3	PD19DEC17	11095.00	11656.81	USD	10,112.58	
19SEP17	B	1	PD19DEC17	11060.00	11656.81	USD	3,580.86	
19SEP17	S	1	PD19DEC17	11010.00	11656.81	USD	-3,880.86	
19SEP17	B	1	PD19DEC17	10980.00	11656.81	USD	4,060.86	
19SEP17	B	1	PD19DEC17	10990.00	11656.81	USD	4,000.86	
19SEP17	S	1	PD19DEC17	10990.00	11656.81	USD	-4,000.86	
19SEP17	S	1	PD19DEC17	11005.00	11656.81	USD	-3,910.86	
19SEP17	B	1	PD19DEC17	10895.00	11656.81	USD	4,570.86	
19SEP17	B	1	PD19DEC17	10975.00	11656.81	USD	4,090.86	
19SEP17	S	1	PD19DEC17	10965.00	11656.81	USD	-4,150.86	
19SEP17	S	3	PD19DEC17	11055.00	11656.81	USD	-10,832.58	
19SEP17	S	1	PD19DEC17	11190.00	11656.81	USD	-2,800.86	
19SEP17	S	1	PD19DEC17	11210.00	11656.81	USD	-2,680.86	
	NET	0AVG BGHT 11031.67	SOLD 11059.44				1,500.00	0.00
20SEP17	B	1	PD20DEC17	11190.00	11657.50	USD	2,805.00	
20SEP17	B	1	PD20DEC17	11225.00	11657.50	USD	2,595.00	
20SEP17	B	1	PD20DEC17	11235.00	11657.50	USD	2,535.00	
20SEP17	B	1	PD20DEC17	11285.00	11657.50	USD	2,235.00	
20SEP17	S	1	PD20DEC17	11395.00	11657.50	USD	-1,575.00	
20SEP17	S	1	PD20DEC17	11355.00	11657.50	USD	-1,815.00	
20SEP17	S	1	PD20DEC17	11385.00	11657.50	USD	-1,635.00	
20SEP17	B	2	PD20DEC17	11385.00	11657.50	USD	3,270.00	
20SEP17	S	3	PD20DEC17	11360.00	11657.50	USD	-5,355.00	
20SEP17	S	1	PD20DEC17	11375.00	11657.50	USD	-1,695.00	
20SEP17	B	2	PD20DEC17	11365.00	11657.50	USD	3,510.00	

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20SEP17	S	1	PD20DEC17	11350.00	11657.50	USD	-1,845.00	
	NET	0 AVG BGHT	11304.38	SOLD	11367.50		3,030.00	0.00
21SEP17	B	1	PD21DEC17	10985.00	11658.26	USD	4,039.56	
21SEP17	B	1	PD21DEC17	11005.00	11658.26	USD	3,919.56	
21SEP17	B	1	PD21DEC17	11000.00	11658.26	USD	3,949.56	
21SEP17	B	1	PD21DEC17	10995.00	11658.26	USD	3,979.56	
21SEP17	S	1	PD21DEC17	10955.00	11658.26	USD	-4,219.56	
21SEP17	B	1	PD21DEC17	11055.00	11658.26	USD	3,619.56	
21SEP17	B	1	PD21DEC17	11105.00	11658.26	USD	3,319.56	
21SEP17	S	1	PD21DEC17	11095.00	11658.26	USD	-3,379.56	
21SEP17	B	1	PD21DEC17	11080.00	11658.26	USD	3,469.56	
21SEP17	B	1	PD21DEC17	11090.00	11658.26	USD	3,409.56	
21SEP17	B	1	PD21DEC17	11110.00	11658.26	USD	3,289.56	
21SEP17	B	1	PD21DEC17	10995.00	11658.26	USD	3,979.56	
21SEP17	S	1	PD21DEC17	11115.00	11658.26	USD	-3,259.56	
21SEP17	S	1	PD21DEC17	10890.00	11658.26	USD	-4,609.56	
21SEP17	B	1	PD21DEC17	10825.00	11658.26	USD	4,999.56	
21SEP17	S	1	PD21DEC17	10805.00	11658.26	USD	-5,119.56	
21SEP17	B	2	PD21DEC17	10810.00	11658.26	USD	10,179.12	
21SEP17	S	1	PD21DEC17	10735.00	11658.26	USD	-5,539.56	
21SEP17	B	1	PD21DEC17	10680.00	11658.26	USD	5,869.56	
21SEP17	S	1	PD21DEC17	10745.00	11658.26	USD	-5,479.56	
21SEP17	B	1	PD21DEC17	10725.00	11658.26	USD	5,599.56	
21SEP17	S	1	PD21DEC17	10640.00	11658.26	USD	-6,109.56	
21SEP17	S	1	PD21DEC17	10740.00	11658.26	USD	-5,509.56	
22SEP17	S	3	PD21DEC17	10584.55	11658.26	USD	-19,326.78	
29SEP17	S	3	PD21DEC17	10490.50	11658.26	USD	-21,019.68	
	NET	0 AVG BGHT	10951.33	SOLD	10729.68		-19,949.10	0.00
22SEP17	B	1	PD22DEC17	10725.00	11659.02	USD	5,604.12	
22SEP17	B	1	PD22DEC17	10790.00	11659.02	USD	5,214.12	
22SEP17	S	1	PD22DEC17	10695.00	11659.02	USD	-5,784.12	
22SEP17	S	1	PD22DEC17	10700.00	11659.02	USD	-5,754.12	
22SEP17	B	1	PD22DEC17	10660.00	11659.02	USD	5,994.12	
22SEP17	B	1	PD22DEC17	10605.00	11659.02	USD	6,324.12	
22SEP17	S	1	PD22DEC17	10580.00	11659.02	USD	-6,474.12	
22SEP17	B	1	PD22DEC17	10560.00	11659.02	USD	6,594.12	
22SEP17	S	1	PD22DEC17	10565.00	11659.02	USD	-6,564.12	
22SEP17	S	1	PD22DEC17	10545.00	11659.02	USD	-6,684.12	
22SEP17	S	1	PD22DEC17	10550.00	11659.02	USD	-6,654.12	
22SEP17	B	1	PD22DEC17	10525.00	11659.02	USD	6,804.12	
22SEP17	B	1	PD22DEC17	10765.00	11659.02	USD	5,364.12	
22SEP17	S	1	PD22DEC17	10620.00	11659.02	USD	-6,234.12	
22SEP17	B	1	PD22DEC17	10580.00	11659.02	USD	6,474.12	
22SEP17	B	1	PD22DEC17	10590.00	11659.02	USD	6,414.12	
22SEP17	B	1	PD22DEC17	10595.00	11659.02	USD	6,384.12	
22SEP17	B	1	PD22DEC17	10575.00	11659.02	USD	6,504.12	
22SEP17	B	1	PD22DEC17	10510.00	11659.02	USD	6,894.12	
22SEP17	B	1	PD22DEC17	10465.00	11659.02	USD	7,164.12	
22SEP17	B	2	PD22DEC17	10450.00	11659.02	USD	14,508.24	
22SEP17	S	1	PD22DEC17	10535.00	11659.02	USD	-6,744.12	
22SEP17	B	1	PD22DEC17	10565.00	11659.02	USD	6,564.12	
22SEP17	S	3	PD22DEC17	10487.99	11659.02	USD	-21,078.54	
29SEP17	S	5	PD22DEC17	10491.50	11659.02	USD	-35,025.60	
	NET	0 AVG BGHT	10588.13	SOLD	10544.47		-4,191.18	0.00
25SEP17	S	1	PD27DEC17	10605.00	11662.83	USD	-6,346.98	
25SEP17	S	1	PD27DEC17	10620.00	11662.83	USD	-6,256.98	

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
25SEP17	S	1	PD27DEC17	10600.00	11662.83	USD	-6,376.98	
25SEP17	S	2	PD27DEC17	10630.00	11662.83	USD	-12,393.96	
25SEP17	B	1	PD27DEC17	10500.00	11662.83	USD	6,976.98	
25SEP17	B	1	PD27DEC17	10525.00	11662.83	USD	6,826.98	
25SEP17	B	1	PD27DEC17	10530.00	11662.83	USD	6,796.98	
25SEP17	B	1	PD27DEC17	10520.00	11662.83	USD	6,856.98	
25SEP17	B	1	PD27DEC17	10470.00	11662.83	USD	7,156.98	
25SEP17	B	1	PD27DEC17	10480.00	11662.83	USD	7,096.98	
25SEP17	B	1	PD27DEC17	10490.00	11662.83	USD	7,036.98	
25SEP17	B	2	PD27DEC17	10505.00	11662.83	USD	13,893.96	
25SEP17	B	1	PD27DEC17	10550.00	11662.83	USD	6,676.98	
25SEP17	S	1	PD27DEC17	10550.00	11662.83	USD	-6,676.98	
25SEP17	S	1	PD27DEC17	10565.00	11662.83	USD	-6,586.98	
25SEP17	S	1	PD27DEC17	10570.00	11662.83	USD	-6,556.98	
25SEP17	S	1	PD27DEC17	10580.00	11662.83	USD	-6,496.98	
25SEP17	S	6	PD27DEC17	10488.40	11662.83	USD	-42,279.48	
26SEP17	S	1	PD27DEC17	10620.00	11662.83	USD	-6,256.98	
26SEP17	S	1	PD27DEC17	10615.00	11662.83	USD	-6,286.98	
26SEP17	B	1	PD27DEC17	10630.00	11662.83	USD	6,196.98	
26SEP17	S	1	PD27DEC17	10570.00	11662.83	USD	-6,556.98	
26SEP17	S	1	PD27DEC17	10565.00	11662.83	USD	-6,586.98	
26SEP17	B	1	PD27DEC17	10460.00	11662.83	USD	7,216.98	
26SEP17	B	1	PD27DEC17	10475.00	11662.83	USD	7,126.98	
26SEP17	B	1	PD27DEC17	10470.00	11662.83	USD	7,156.98	
26SEP17	B	1	PD27DEC17	10450.00	11662.83	USD	7,276.98	
26SEP17	B	1	PD27DEC17	10405.00	11662.83	USD	7,546.98	
26SEP17	B	1	PD27DEC17	10505.00	11662.83	USD	6,946.98	
26SEP17	B	1	PD27DEC17	10540.00	11662.83	USD	6,736.98	
26SEP17	B	1	PD27DEC17	10545.00	11662.83	USD	6,706.98	
26SEP17	S	1	PD27DEC17	10530.00	11662.83	USD	-6,796.98	
26SEP17	B	1	PD27DEC17	10525.00	11662.83	USD	6,826.98	
26SEP17	B	1	PD27DEC17	10515.00	11662.83	USD	6,886.98	
26SEP17	B	1	PD27DEC17	10495.00	11662.83	USD	7,006.98	
27SEP17	S	1	PD27DEC17	10490.00	11662.83	USD	-7,036.98	
27SEP17	S	1	PD27DEC17	10505.00	11662.83	USD	-6,946.98	
27SEP17	S	1	PD27DEC17	10510.00	11662.83	USD	-6,916.98	
27SEP17	S	1	PD27DEC17	10525.00	11662.83	USD	-6,826.98	
27SEP17	S	1	PD27DEC17	10545.00	11662.83	USD	-6,706.98	
27SEP17	S	1	PD27DEC17	10560.00	11662.83	USD	-6,616.98	
27SEP17	S	1	PD27DEC17	10525.00	11662.83	USD	-6,826.98	
27SEP17	S	1	PD27DEC17	10535.00	11662.83	USD	-6,766.98	
27SEP17	S	2	PD27DEC17	10560.00	11662.83	USD	-13,233.96	
29SEP17	B	8	PD27DEC17	10498.57	11662.83	USD	55,884.48	
	NET	0 AVG BGHT 10502.62	SOLD 10549.85				8,501.04	0.00
28SEP17	B	1	PD28DEC17	10445.00	11663.59	USD	7,311.54	
28SEP17	S	1	PD28DEC17	10455.00	11663.59	USD	-7,251.54	
	NET	0 AVG BGHT 10445.00	SOLD 10455.00				60.00	0.00
29SEP17	S	2	PD29DEC17	10455.00	11664.35	USD	-14,512.20	
29SEP17	S	1	PD29DEC17	10445.00	11664.35	USD	-7,316.10	
29SEP17	S	2	PD29DEC17	10440.00	11664.35	USD	-14,692.20	
29SEP17	S	1	PD29DEC17	10435.00	11664.35	USD	-7,376.10	
29SEP17	B	6	PD29DEC17	10437.00	11664.35	USD	44,184.60	
	NET	0 AVG BGHT 10437.00	SOLD 10445.00				288.00	0.00
05OCT17	B	1	PD05JAN18	10620.00	11669.67	USD	6,298.02	
05OCT17	B	2	PD05JAN18	10540.00	11669.67	USD	13,556.04	
05OCT17	B	2	PD05JAN18	10550.00	11669.67	USD	13,436.04	

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05OCT17	S	5	PD05JAN18	10540.50	11669.67	USD	-33,875.10	
	NET	0 AVG BGHT 10560.00	SOLD 10540.50				-585.00	0.00
09OCT17	B	1	PD09JAN18	10745.00	11672.72	USD	5,566.32	
09OCT17	B	2	PD09JAN18	10750.00	11672.72	USD	11,072.64	
09OCT17	B	1	PD09JAN18	10620.00	11672.72	USD	6,316.32	
09OCT17	B	1	PD09JAN18	10735.00	11672.72	USD	5,626.32	
09OCT17	B	1	PD09JAN18	10795.00	11672.72	USD	5,266.32	
09OCT17	B	1	PD09JAN18	10575.00	11672.72	USD	6,586.32	
09OCT17	B	1	PD09JAN18	10610.00	11672.72	USD	6,376.32	
09OCT17	B	1	PD09JAN18	10740.00	11672.72	USD	5,596.32	
09OCT17	B	2	PD09JAN18	10605.00	11672.72	USD	12,812.64	
09OCT17	S	1	PD09JAN18	10775.00	11672.72	USD	-5,386.32	
09OCT17	S	1	PD09JAN18	10770.00	11672.72	USD	-5,416.32	
09OCT17	S	1	PD09JAN18	10855.00	11672.72	USD	-4,906.32	
09OCT17	S	1	PD09JAN18	10860.00	11672.72	USD	-4,876.32	
09OCT17	S	1	PD09JAN18	10895.00	11672.72	USD	-4,666.32	
09OCT17	S	2	PD09JAN18	10900.00	11672.72	USD	-9,272.64	
09OCT17	S	1	PD09JAN18	10920.00	11672.72	USD	-4,516.32	
09OCT17	S	1	PD09JAN18	10885.00	11672.72	USD	-4,726.32	
09OCT17	S	1	PD09JAN18	10905.00	11672.72	USD	-4,606.32	
09OCT17	S	1	PD09JAN18	10910.00	11672.72	USD	-4,576.32	
	NET	0 AVG BGHT 10684.55	SOLD 10870.45				12,270.00	0.00
10OCT17	B	1	PD10JAN18	10960.00	11673.48	USD	4,280.88	
10OCT17	B	1	PD10JAN18	10955.00	11673.48	USD	4,310.88	
10OCT17	B	2	PD10JAN18	10945.00	11673.48	USD	8,741.76	
10OCT17	B	1	PD10JAN18	10930.00	11673.48	USD	4,460.88	
10OCT17	B	1	PD10JAN18	10935.00	11673.48	USD	4,430.88	
10OCT17	B	1	PD10JAN18	10965.00	11673.48	USD	4,250.88	
10OCT17	S	1	PD10JAN18	11035.00	11673.48	USD	-3,830.88	
10OCT17	S	1	PD10JAN18	11050.00	11673.48	USD	-3,740.88	
	NET	+5 AVG BGHT 10947.86	SOLD 11042.50				22,904.40	0.00
11OCT17	S	2	PD11JAN18	11105.00	11674.24	USD	-6,830.88	
11OCT17	S	1	PD11JAN18	11115.00	11674.24	USD	-3,355.44	
11OCT17	S	1	PD11JAN18	11185.00	11674.24	USD	-2,935.44	
11OCT17	S	1	PD11JAN18	11230.00	11674.24	USD	-2,665.44	
11OCT17	S	1	PD11JAN18	11235.00	11674.24	USD	-2,635.44	
11OCT17	S	2	PD11JAN18	11225.00	11674.24	USD	-5,390.88	
11OCT17	S	1	PD11JAN18	11215.00	11674.24	USD	-2,755.44	
11OCT17	B	5	PD11JAN18	11136.00	11674.24	USD	16,147.20	
	NET	-4 AVG BGHT 11136.00	SOLD 11182.22				-10,421.76	0.00
12OCT17	B	1	PD12JAN18	11230.00	11675.00	USD	2,670.00	
12OCT17	B	1	PD12JAN18	11285.00	11675.00	USD	2,340.00	
12OCT17	B	1	PD12JAN18	11240.00	11675.00	USD	2,610.00	
12OCT17	S	1	PD12JAN18	11250.00	11675.00	USD	-2,550.00	
12OCT17	B	1	PD12JAN18	11255.00	11675.00	USD	2,520.00	
12OCT17	B	2	PD12JAN18	11360.00	11675.00	USD	3,780.00	
12OCT17	S	1	PD12JAN18	11255.00	11675.00	USD	-2,520.00	
12OCT17	B	1	PD12JAN18	11420.00	11675.00	USD	1,530.00	
12OCT17	B	1	PD12JAN18	11400.00	11675.00	USD	1,650.00	
12OCT17	S	1	PD12JAN18	11395.00	11675.00	USD	-1,680.00	
12OCT17	S	1	PD12JAN18	11375.00	11675.00	USD	-1,800.00	
12OCT17	B	2	PD12JAN18	11375.00	11675.00	USD	3,600.00	
12OCT17	B	1	PD12JAN18	11380.00	11675.00	USD	1,770.00	
12OCT17	S	1	PD12JAN18	11350.00	11675.00	USD	-1,950.00	
12OCT17	B	1	PD12JAN18	11350.00	11675.00	USD	1,950.00	
13OCT17	S	2	PD12JAN18	11600.00	11675.00	USD	-900.00	

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
13OCT17	S	1	PD12JAN18	11605.00	11675.00	USD	-420.00	
13OCT17	S	1	PD12JAN18	11610.00	11675.00	USD	-390.00	
13OCT17	S	1	PD12JAN18	11620.00	11675.00	USD	-330.00	
13OCT17	S	2	PD12JAN18	11625.00	11675.00	USD	-600.00	
13OCT17	S	1	PD12JAN18	11595.00	11675.00	USD	-480.00	
	NET	-1AVG BGHT 11335.83	SOLD 11500.38				10,800.00	0.00
	<u>CMX SILVER 5,000 CMX</u>							
27SEP17	B	4	DEC17	1681.0	1741.1	USD	12,020.00	
28SEP17	B	1	DEC17	1681.0	1741.1	USD	3,005.00	
28SEP17	B	1	DEC17	1680.5	1741.1	USD	3,030.00	
29SEP17	B	1	DEC17	1674.0	1741.1	USD	3,355.00	
29SEP17	B	1	DEC17	1672.5	1741.1	USD	3,430.00	
29SEP17	B	1	DEC17	1669.5	1741.1	USD	3,580.00	
04OCT17	B	1	DEC17	1678.5	1741.1	USD	3,130.00	
04OCT17	B	2	DEC17	1678.0	1741.1	USD	6,310.00	
04OCT17	B	1	DEC17	1677.5	1741.1	USD	3,180.00	
04OCT17	B	1	DEC17	1673.0	1741.1	USD	3,405.00	
04OCT17	B	1	DEC17	1672.5	1741.1	USD	3,430.00	
04OCT17	B	3	DEC17	1674.0	1741.1	USD	10,065.00	
04OCT17	B	3	DEC17	1674.5	1741.1	USD	9,990.00	
04OCT17	B	8	DEC17	1673.5	1741.1	USD	27,040.00	
04OCT17	B	1	DEC17	1672.0	1741.1	USD	3,455.00	
04OCT17	B	1	DEC17	1670.0	1741.1	USD	3,555.00	
04OCT17	B	2	DEC17	1670.5	1741.1	USD	7,060.00	
04OCT17	B	1	DEC17	1668.0	1741.1	USD	3,655.00	
04OCT17	B	1	DEC17	1667.0	1741.1	USD	3,705.00	
04OCT17	B	1	DEC17	1664.5	1741.1	USD	3,830.00	
04OCT17	B	1	DEC17	1664.0	1741.1	USD	3,855.00	
04OCT17	B	1	DEC17	1661.0	1741.1	USD	4,005.00	
04OCT17	B	1	DEC17	1660.5	1741.1	USD	4,030.00	
11OCT17	B	1	DEC17	1709.0	1741.1	USD	1,605.00	
11OCT17	B	1	DEC17	1710.0	1741.1	USD	1,555.00	
11OCT17	B	1	DEC17	1709.5	1741.1	USD	1,580.00	
11OCT17	B	2	DEC17	1708.5	1741.1	USD	3,260.00	
13OCT17	B	1	DEC17	1733.5	1741.1	USD	380.00	
13OCT17	B	2	DEC17	1733.0	1741.1	USD	810.00	
13OCT17	B	1	DEC17	1732.5	1741.1	USD	430.00	
13OCT17	B	2	DEC17	1731.5	1741.1	USD	960.00	
13OCT17	B	1	DEC17	1730.5	1741.1	USD	530.00	
13OCT17	B	1	DEC17	1730.0	1741.1	USD	555.00	
13OCT17	B	5	DEC17	1731.0	1741.1	USD	2,525.00	
13OCT17	B	1	DEC17	1734.0	1741.1	USD	355.00	
13OCT17	B	1	DEC17	1739.0	1741.1	USD	105.00	
	NET	+59 AVG BGHT 1691.3					146,770.00	0.00
	<u>LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
06SEP17	S	1	PD06DEC17	20650.00	20658.75	USD	-43.75	
11SEP17	B	1	PD06DEC17	20756.18	20658.75	USD	-487.15	
	NET	0AVG BGHT 20756.18	SOLD 20650.00				-530.90	0.00
07SEP17	B	1	PD07DEC17	20730.00	20656.88	USD	-365.60	
11SEP17	S	1	PD07DEC17	20753.12	20656.88	USD	481.20	
	NET	0AVG BGHT 20730.00	SOLD 20753.12				115.60	0.00
08SEP17	S	1	PD08DEC17	20665.00	20655.00	USD	50.00	
08SEP17	B	1	PD08DEC17	20525.00	20655.00	USD	650.00	
08SEP17	S	1	PD08DEC17	20615.00	20655.00	USD	-200.00	

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20SEP17	B	1	PD08DEC17	20638.33	20655.00	USD	83.35	
	NET	0 AVG BGHT	20581.67 SOLD 20640.00				583.35	0.00
15SEP17	S	1	PD15DEC17	20500.00	20645.63	USD	-728.15	
20SEP17	B	1	PD15DEC17	20630.00	20645.63	USD	78.15	
	NET	0 AVG BGHT	20630.00 SOLD 20500.00				-650.00	0.00
19SEP17	B	2	PD19DEC17	20670.00	20641.88	USD	-281.20	
20SEP17	S	2	PD19DEC17	20625.67	20641.88	USD	-162.10	
	NET	0 AVG BGHT	20670.00 SOLD 20625.67				-443.30	0.00
13OCT17	B	1	PD12JAN18	20745.00	20600.00	USD	-725.00	
	NET	+1AVG BGHT	20745.00				-725.00	0.00
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
04AUG17	S	9	PD03NOV17	2826.00	3265.07	USD	-98,790.75	
04AUG17	S	12	PD03NOV17	2817.50	3265.07	USD	-134,271.00	
04AUG17	B	24	PD03NOV17	2810.00	3265.07	USD	273,042.00	
18AUG17	S	5	PD03NOV17	3124.88	3265.07	USD	-17,523.75	
22AUG17	B	9	PD03NOV17	3118.08	3265.07	USD	33,072.75	
23AUG17	S	7	PD03NOV17	3095.62	3265.07	USD	-29,653.75	
	NET	0 AVG BGHT	2894.02 SOLD 2925.39				25,875.50	0.00
07AUG17	S	1	PD07NOV17	2818.00	3262.80	USD	-11,120.00	
07AUG17	S	1	PD07NOV17	2816.50	3262.80	USD	-11,157.50	
07AUG17	S	1	PD07NOV17	2816.00	3262.80	USD	-11,170.00	
07AUG17	S	1	PD07NOV17	2831.00	3262.80	USD	-10,795.00	
07AUG17	B	1	PD07NOV17	2885.50	3262.80	USD	9,432.50	
07AUG17	B	2	PD07NOV17	2888.50	3262.80	USD	18,715.00	
07AUG17	B	1	PD07NOV17	2889.00	3262.80	USD	9,345.00	
	NET	0 AVG BGHT	2887.88 SOLD 2820.38				-6,750.00	0.00
09AUG17	B	1	PD09NOV17	2970.00	3260.53	USD	7,263.25	
09AUG17	B	1	PD09NOV17	2972.50	3260.53	USD	7,200.75	
09AUG17	B	1	PD09NOV17	2974.00	3260.53	USD	7,163.25	
09AUG17	S	2	PD09NOV17	2972.00	3260.53	USD	-14,426.50	
09AUG17	S	1	PD09NOV17	2974.00	3260.53	USD	-7,163.25	
09AUG17	S	2	PD09NOV17	2971.50	3260.53	USD	-14,451.50	
09AUG17	S	3	PD09NOV17	2973.00	3260.53	USD	-21,564.75	
09AUG17	S	3	PD09NOV17	2959.00	3260.53	USD	-22,614.75	
09AUG17	S	1	PD09NOV17	2957.00	3260.53	USD	-7,588.25	
09AUG17	S	1	PD09NOV17	2972.00	3260.53	USD	-7,213.25	
09AUG17	B	3	PD09NOV17	2936.00	3260.53	USD	24,339.75	
09AUG17	B	1	PD09NOV17	2936.50	3260.53	USD	8,100.75	
09AUG17	B	1	PD09NOV17	2940.00	3260.53	USD	8,013.25	
09AUG17	B	2	PD09NOV17	2941.00	3260.53	USD	15,976.50	
09AUG17	B	1	PD09NOV17	2941.50	3260.53	USD	7,975.75	
09AUG17	B	1	PD09NOV17	2942.50	3260.53	USD	7,950.75	
18AUG17	S	4	PD09NOV17	3125.69	3260.53	USD	-13,484.00	
24AUG17	B	5	PD09NOV17	3112.71	3260.53	USD	18,477.50	
	NET	0 AVG BGHT	2995.91 SOLD 3005.22				3,955.25	0.00
10AUG17	B	1	PD10NOV17	2907.50	3259.40	USD	8,797.50	
10AUG17	B	1	PD10NOV17	2911.00	3259.40	USD	8,710.00	
10AUG17	B	1	PD10NOV17	2910.50	3259.40	USD	8,722.50	
10AUG17	B	1	PD10NOV17	2910.00	3259.40	USD	8,735.00	
10AUG17	B	4	PD10NOV17	2911.50	3259.40	USD	34,790.00	
23AUG17	S	2	PD10NOV17	3096.42	3259.40	USD	-8,149.00	
30AUG17	S	6	PD10NOV17	3093.65	3259.40	USD	-24,862.50	
	NET	0 AVG BGHT	2910.63 SOLD 3094.34				36,743.50	0.00

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0119B

13th October 2017

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backoffice@sapiencap.com

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
14AUG17	S	1	PD14NOV17	2914.00	3257.13	USD	-8,578.25	
14AUG17	S	1	PD14NOV17	2915.00	3257.13	USD	-8,553.25	
14AUG17	S	2	PD14NOV17	2914.50	3257.13	USD	-17,131.50	
14AUG17	B	1	PD14NOV17	2909.50	3257.13	USD	8,690.75	
14AUG17	B	2	PD14NOV17	2907.50	3257.13	USD	17,481.50	
14AUG17	B	1	PD14NOV17	2909.00	3257.13	USD	8,703.25	
	NET	0 AVG	BGHT 2908.38	SOLD 2914.50			612.50	0.00
15AUG17	S	1	PD15NOV17	2909.00	3256.00	USD	-8,675.00	
15AUG17	S	1	PD15NOV17	2946.00	3256.00	USD	-7,750.00	
15AUG17	S	1	PD15NOV17	2945.00	3256.00	USD	-7,775.00	
15AUG17	S	2	PD15NOV17	2944.00	3256.00	USD	-15,600.00	
15AUG17	S	4	PD15NOV17	2947.00	3256.00	USD	-30,900.00	
18AUG17	B	9	PD15NOV17	3126.70	3256.00	USD	29,092.50	
	NET	0 AVG	BGHT 3126.70	SOLD 2941.78			-41,607.50	0.00
16AUG17	B	1	PD16NOV17	2982.00	3253.50	USD	6,787.50	
16AUG17	B	1	PD16NOV17	2991.00	3253.50	USD	6,562.50	
16AUG17	B	1	PD16NOV17	2989.50	3253.50	USD	6,600.00	
16AUG17	B	4	PD16NOV17	2990.00	3253.50	USD	26,350.00	
16AUG17	B	1	PD16NOV17	2989.50	3253.50	USD	6,600.00	
16AUG17	B	1	PD16NOV17	2990.00	3253.50	USD	6,587.50	
16AUG17	B	2	PD16NOV17	3078.00	3253.50	USD	8,775.00	
16AUG17	B	1	PD16NOV17	3087.50	3253.50	USD	4,150.00	
16AUG17	B	2	PD16NOV17	3091.00	3253.50	USD	8,125.00	
16AUG17	B	3	PD16NOV17	3090.50	3253.50	USD	12,225.00	
16AUG17	S	2	PD16NOV17	3089.00	3253.50	USD	-8,225.00	
16AUG17	S	2	PD16NOV17	3091.00	3253.50	USD	-8,125.00	
16AUG17	S	1	PD16NOV17	3090.50	3253.50	USD	-4,075.00	
16AUG17	S	2	PD16NOV17	3088.00	3253.50	USD	-8,275.00	
16AUG17	S	1	PD16NOV17	3087.00	3253.50	USD	-4,162.50	
16AUG17	S	1	PD16NOV17	3086.50	3253.50	USD	-4,175.00	
16AUG17	S	1	PD16NOV17	3086.00	3253.50	USD	-4,187.50	
16AUG17	B	5	PD16NOV17	3107.50	3253.50	USD	18,250.00	
16AUG17	B	2	PD16NOV17	3107.00	3253.50	USD	7,325.00	
16AUG17	B	1	PD16NOV17	3108.00	3253.50	USD	3,637.50	
16AUG17	B	5	PD16NOV17	3091.15	3253.50	USD	20,293.75	
16AUG17	B	5	PD16NOV17	3090.40	3253.50	USD	20,387.50	
23AUG17	S	12	PD16NOV17	3095.00	3253.50	USD	-47,550.00	
30AUG17	S	5	PD16NOV17	3092.00	3253.50	USD	-20,187.50	
11SEP17	S	8	PD16NOV17	3078.50	3253.50	USD	-35,000.00	
	NET	0 AVG	BGHT 3067.61	SOLD 3088.97			18,693.75	0.00
17AUG17	B	1	PD17NOV17	3095.50	3251.00	USD	3,887.50	
17AUG17	B	1	PD17NOV17	3097.00	3251.00	USD	3,850.00	
17AUG17	B	1	PD17NOV17	3096.50	3251.00	USD	3,862.50	
17AUG17	B	3	PD17NOV17	3097.50	3251.00	USD	11,512.50	
17AUG17	S	2	PD17NOV17	3096.50	3251.00	USD	-7,725.00	
17AUG17	B	1	PD17NOV17	3104.50	3251.00	USD	3,662.50	
17AUG17	B	3	PD17NOV17	3105.00	3251.00	USD	10,950.00	
17AUG17	B	1	PD17NOV17	3103.00	3251.00	USD	3,700.00	
17AUG17	B	1	PD17NOV17	3055.50	3251.00	USD	4,887.50	
17AUG17	B	1	PD17NOV17	3055.00	3251.00	USD	4,900.00	
17AUG17	B	1	PD17NOV17	3068.50	3251.00	USD	4,562.50	
17AUG17	B	1	PD17NOV17	3056.50	3251.00	USD	4,862.50	
18AUG17	S	1	PD17NOV17	3094.00	3251.00	USD	-3,925.00	
18AUG17	B	2	PD17NOV17	3102.00	3251.00	USD	7,450.00	
18AUG17	B	2	PD17NOV17	3104.50	3251.00	USD	7,325.00	
18AUG17	B	1	PD17NOV17	3112.00	3251.00	USD	3,475.00	

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18AUG17	B	1	PD17NOV17	3138.00	3251.00	USD	2,825.00	
18AUG17	B	2	PD17NOV17	3137.50	3251.00	USD	5,675.00	
18AUG17	S	1	PD17NOV17	3096.00	3251.00	USD	-3,875.00	
18AUG17	S	2	PD17NOV17	3099.00	3251.00	USD	-7,600.00	
18AUG17	S	1	PD17NOV17	3100.50	3251.00	USD	-3,762.50	
30AUG17	S	1	PD17NOV17	3092.00	3251.00	USD	-3,975.00	
11SEP17	S	15	PD17NOV17	3078.68	3251.00	USD	-64,620.00	
	NET	0 AVG BGHT 3099.02	SOLD 3084.94				-8,095.00	0.00
21AUG17	B	1	PD21NOV17	3165.50	3249.25	USD	2,093.75	
21AUG17	S	1	PD21NOV17	3168.00	3249.25	USD	-2,031.25	
21AUG17	S	3	PD21NOV17	3175.00	3249.25	USD	-5,568.75	
21AUG17	B	1	PD21NOV17	3171.00	3249.25	USD	1,956.25	
21AUG17	B	1	PD21NOV17	3169.50	3249.25	USD	1,993.75	
21AUG17	B	2	PD21NOV17	3169.00	3249.25	USD	4,012.50	
21AUG17	B	3	PD21NOV17	3172.00	3249.25	USD	5,793.75	
21AUG17	S	3	PD21NOV17	3167.50	3249.25	USD	-6,131.25	
21AUG17	S	1	PD21NOV17	3168.50	3249.25	USD	-2,018.75	
21AUG17	S	1	PD21NOV17	3150.50	3249.25	USD	-2,468.75	
21AUG17	S	1	PD21NOV17	3144.50	3249.25	USD	-2,618.75	
21AUG17	S	1	PD21NOV17	3154.50	3249.25	USD	-2,368.75	
21AUG17	S	3	PD21NOV17	3148.00	3249.25	USD	-7,593.75	
21AUG17	S	1	PD21NOV17	3143.50	3249.25	USD	-2,643.75	
21AUG17	S	1	PD21NOV17	3150.00	3249.25	USD	-2,481.25	
21AUG17	B	1	PD21NOV17	3138.00	3249.25	USD	2,781.25	
21AUG17	B	1	PD21NOV17	3133.00	3249.25	USD	2,906.25	
21AUG17	B	1	PD21NOV17	3131.00	3249.25	USD	2,956.25	
21AUG17	B	2	PD21NOV17	3126.00	3249.25	USD	6,162.50	
21AUG17	B	1	PD21NOV17	3125.50	3249.25	USD	3,093.75	
21AUG17	B	1	PD21NOV17	3125.00	3249.25	USD	3,106.25	
21AUG17	B	4	PD21NOV17	3137.00	3249.25	USD	11,225.00	
21AUG17	B	2	PD21NOV17	3137.50	3249.25	USD	5,587.50	
21AUG17	B	1	PD21NOV17	3138.50	3249.25	USD	2,768.75	
21AUG17	S	1	PD21NOV17	3139.00	3249.25	USD	-2,756.25	
11SEP17	S	5	PD21NOV17	3079.40	3249.25	USD	-21,231.25	
	NET	0 AVG BGHT 3146.64	SOLD 3140.32				-3,475.00	0.00
22AUG17	S	2	PD22NOV17	3114.50	3248.38	USD	-6,694.00	
22AUG17	S	1	PD22NOV17	3118.00	3248.38	USD	-3,259.50	
22AUG17	S	1	PD22NOV17	3152.50	3248.38	USD	-2,397.00	
22AUG17	S	1	PD22NOV17	3160.00	3248.38	USD	-2,209.50	
22AUG17	S	1	PD22NOV17	3159.00	3248.38	USD	-2,234.50	
22AUG17	S	1	PD22NOV17	3161.00	3248.38	USD	-2,184.50	
22AUG17	S	1	PD22NOV17	3162.50	3248.38	USD	-2,147.00	
22AUG17	S	1	PD22NOV17	3164.00	3248.38	USD	-2,109.50	
22AUG17	S	2	PD22NOV17	3158.50	3248.38	USD	-4,494.00	
22AUG17	S	2	PD22NOV17	3159.50	3248.38	USD	-4,444.00	
22AUG17	S	1	PD22NOV17	3143.00	3248.38	USD	-2,634.50	
22AUG17	S	1	PD22NOV17	3132.00	3248.38	USD	-2,909.50	
22AUG17	S	1	PD22NOV17	3132.50	3248.38	USD	-2,897.00	
22AUG17	S	1	PD22NOV17	3134.00	3248.38	USD	-2,859.50	
22AUG17	S	1	PD22NOV17	3130.50	3248.38	USD	-2,947.00	
22AUG17	S	1	PD22NOV17	3131.00	3248.38	USD	-2,934.50	
22AUG17	S	1	PD22NOV17	3135.50	3248.38	USD	-2,822.00	
22AUG17	S	1	PD22NOV17	3134.50	3248.38	USD	-2,847.00	
22AUG17	S	1	PD22NOV17	3116.50	3248.38	USD	-3,297.00	
22AUG17	S	1	PD22NOV17	3119.00	3248.38	USD	-3,234.50	
22AUG17	S	1	PD22NOV17	3117.50	3248.38	USD	-3,272.00	
22AUG17	S	2	PD22NOV17	3113.50	3248.38	USD	-6,744.00	

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22AUG17	S	2	PD22NOV17	3114.00	3248.38	USD	-6,719.00	
22AUG17	S	3	PD22NOV17	3116.00	3248.38	USD	-9,928.50	
22AUG17	B	10	PD22NOV17	3154.65	3248.38	USD	23,432.50	
23AUG17	B	21	PD22NOV17	3096.13	3248.38	USD	79,931.25	
	NET	0AVG BGHT 3115.01	SOLD 3134.55				15,144.25	0.00
23AUG17	B	3	PD24NOV17	3111.50	3247.50	USD	10,200.00	
23AUG17	B	1	PD24NOV17	3110.50	3247.50	USD	3,425.00	
23AUG17	B	1	PD24NOV17	3114.00	3247.50	USD	3,337.50	
23AUG17	B	1	PD24NOV17	3113.50	3247.50	USD	3,350.00	
23AUG17	B	3	PD24NOV17	3112.50	3247.50	USD	10,125.00	
23AUG17	B	3	PD24NOV17	3112.00	3247.50	USD	10,162.50	
23AUG17	B	4	PD24NOV17	3110.00	3247.50	USD	13,750.00	
23AUG17	B	1	PD24NOV17	3106.50	3247.50	USD	3,525.00	
23AUG17	B	1	PD24NOV17	3106.00	3247.50	USD	3,537.50	
23AUG17	B	1	PD24NOV17	3108.00	3247.50	USD	3,487.50	
23AUG17	B	1	PD24NOV17	3105.00	3247.50	USD	3,562.50	
23AUG17	B	4	PD24NOV17	3100.50	3247.50	USD	14,700.00	
24AUG17	S	1	PD24NOV17	3113.00	3247.50	USD	-3,362.50	
24AUG17	S	1	PD24NOV17	3114.00	3247.50	USD	-3,337.50	
24AUG17	S	1	PD24NOV17	3115.50	3247.50	USD	-3,300.00	
24AUG17	S	1	PD24NOV17	3114.50	3247.50	USD	-3,325.00	
24AUG17	B	1	PD24NOV17	3109.00	3247.50	USD	3,462.50	
24AUG17	B	1	PD24NOV17	3110.00	3247.50	USD	3,437.50	
24AUG17	B	3	PD24NOV17	3112.50	3247.50	USD	10,125.00	
24AUG17	B	2	PD24NOV17	3111.50	3247.50	USD	6,800.00	
24AUG17	B	1	PD24NOV17	3111.00	3247.50	USD	3,412.50	
25AUG17	S	4	PD24NOV17	3102.50	3247.50	USD	-14,500.00	
25AUG17	S	4	PD24NOV17	3103.50	3247.50	USD	-14,400.00	
25AUG17	B	1	PD24NOV17	3126.50	3247.50	USD	3,025.00	
25AUG17	S	1	PD24NOV17	3131.00	3247.50	USD	-2,912.50	
25AUG17	S	3	PD24NOV17	3065.00	3247.50	USD	-13,687.50	
25AUG17	S	2	PD24NOV17	3065.50	3247.50	USD	-9,100.00	
25AUG17	S	2	PD24NOV17	3066.50	3247.50	USD	-9,050.00	
25AUG17	S	1	PD24NOV17	3067.00	3247.50	USD	-4,512.50	
25AUG17	S	1	PD24NOV17	3069.50	3247.50	USD	-4,450.00	
25AUG17	S	1	PD24NOV17	3071.00	3247.50	USD	-4,412.50	
25AUG17	S	1	PD24NOV17	3064.50	3247.50	USD	-4,575.00	
25AUG17	B	3	PD24NOV17	3073.00	3247.50	USD	13,087.50	
25AUG17	B	1	PD24NOV17	3070.50	3247.50	USD	4,425.00	
25AUG17	B	1	PD24NOV17	3069.00	3247.50	USD	4,462.50	
25AUG17	S	3	PD24NOV17	3063.50	3247.50	USD	-13,800.00	
25AUG17	S	3	PD24NOV17	3060.00	3247.50	USD	-14,062.50	
25AUG17	B	1	PD24NOV17	3060.00	3247.50	USD	4,687.50	
25AUG17	S	1	PD24NOV17	3056.50	3247.50	USD	-4,775.00	
25AUG17	B	1	PD24NOV17	3056.00	3247.50	USD	4,787.50	
25AUG17	S	2	PD24NOV17	3054.50	3247.50	USD	-9,650.00	
25AUG17	B	1	PD24NOV17	3071.50	3247.50	USD	4,400.00	
11SEP17	S	8	PD24NOV17	3079.94	3247.50	USD	-33,512.00	
	NET	0AVG BGHT 3101.87	SOLD 3080.94				-21,449.50	0.00
29AUG17	S	1	PD29NOV17	3103.50	3246.75	USD	-3,581.25	
29AUG17	S	1	PD29NOV17	3104.00	3246.75	USD	-3,568.75	
29AUG17	S	2	PD29NOV17	3103.00	3246.75	USD	-7,187.50	
29AUG17	S	1	PD29NOV17	3125.00	3246.75	USD	-3,043.75	
29AUG17	S	1	PD29NOV17	3123.00	3246.75	USD	-3,093.75	
29AUG17	S	1	PD29NOV17	3122.50	3246.75	USD	-3,106.25	
29AUG17	S	2	PD29NOV17	3121.50	3246.75	USD	-6,262.50	
29AUG17	B	2	PD29NOV17	3120.00	3246.75	USD	6,337.50	

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29AUG17	B	2	PD29NOV17	3119.50	3246.75	USD	6,362.50	
29AUG17	B	1	PD29NOV17	3118.50	3246.75	USD	3,206.25	
29AUG17	B	1	PD29NOV17	3117.00	3246.75	USD	3,243.75	
29AUG17	B	1	PD29NOV17	3116.00	3246.75	USD	3,268.75	
29AUG17	B	2	PD29NOV17	3113.50	3246.75	USD	6,662.50	
29AUG17	B	4	PD29NOV17	3114.00	3246.75	USD	13,275.00	
29AUG17	B	1	PD29NOV17	3115.00	3246.75	USD	3,293.75	
29AUG17	B	1	PD29NOV17	3113.00	3246.75	USD	3,343.75	
29AUG17	S	1	PD29NOV17	3124.00	3246.75	USD	-3,068.75	
29AUG17	S	2	PD29NOV17	3125.50	3246.75	USD	-6,062.50	
29AUG17	B	1	PD29NOV17	3114.50	3246.75	USD	3,306.25	
29AUG17	B	2	PD29NOV17	3103.00	3246.75	USD	7,187.50	
29AUG17	B	1	PD29NOV17	3104.00	3246.75	USD	3,568.75	
29AUG17	B	2	PD29NOV17	3106.50	3246.75	USD	7,012.50	
29AUG17	B	1	PD29NOV17	3097.00	3246.75	USD	3,743.75	
29AUG17	B	1	PD29NOV17	3095.50	3246.75	USD	3,781.25	
29AUG17	B	1	PD29NOV17	3096.50	3246.75	USD	3,756.25	
29AUG17	B	1	PD29NOV17	3096.00	3246.75	USD	3,768.75	
29AUG17	B	1	PD29NOV17	3098.00	3246.75	USD	3,718.75	
29AUG17	B	2	PD29NOV17	3099.00	3246.75	USD	7,387.50	
29AUG17	B	1	PD29NOV17	3098.50	3246.75	USD	3,706.25	
29AUG17	B	2	PD29NOV17	3102.50	3246.75	USD	7,212.50	
29AUG17	B	5	PD29NOV17	3105.00	3246.75	USD	17,718.75	
29AUG17	B	1	PD29NOV17	3102.00	3246.75	USD	3,618.75	
29AUG17	B	1	PD29NOV17	3100.00	3246.75	USD	3,668.75	
29AUG17	S	1	PD29NOV17	3106.00	3246.75	USD	-3,518.75	
29AUG17	S	1	PD29NOV17	3105.00	3246.75	USD	-3,543.75	
29AUG17	S	2	PD29NOV17	3105.50	3246.75	USD	-7,062.50	
29AUG17	S	7	PD29NOV17	3103.09	3246.75	USD	-25,140.50	
29AUG17	S	5	PD29NOV17	3104.09	3246.75	USD	-17,832.50	
29AUG17	S	10	PD29NOV17	3103.34	3246.75	USD	-35,852.50	
29AUG17	S	12	PD29NOV17	3109.80	3246.75	USD	-41,085.00	
30AUG17	B	12	PD29NOV17	3095.03	3246.75	USD	45,516.00	
	NET	0	AVG BGHT 3104.62	SOLD 3108.34			4,655.50	0.00
30AUG17	B	4	PD30NOV17	3145.50	3246.50	USD	10,100.00	
31AUG17	S	1	PD30NOV17	3137.00	3246.50	USD	-2,737.50	
31AUG17	S	1	PD30NOV17	3141.50	3246.50	USD	-2,625.00	
31AUG17	S	2	PD30NOV17	3140.00	3246.50	USD	-5,325.00	
31AUG17	S	1	PD30NOV17	3152.50	3246.50	USD	-2,350.00	
31AUG17	B	1	PD30NOV17	3155.50	3246.50	USD	2,275.00	
31AUG17	B	1	PD30NOV17	3159.00	3246.50	USD	2,187.50	
31AUG17	S	1	PD30NOV17	3158.00	3246.50	USD	-2,212.50	
31AUG17	B	2	PD30NOV17	3158.00	3246.50	USD	4,425.00	
31AUG17	S	1	PD30NOV17	3162.00	3246.50	USD	-2,112.50	
31AUG17	S	1	PD30NOV17	3156.00	3246.50	USD	-2,262.50	
31AUG17	S	1	PD30NOV17	3156.50	3246.50	USD	-2,250.00	
31AUG17	S	1	PD30NOV17	3157.00	3246.50	USD	-2,237.50	
31AUG17	S	4	PD30NOV17	3154.00	3246.50	USD	-9,250.00	
31AUG17	B	3	PD30NOV17	3158.66	3246.50	USD	6,588.00	
31AUG17	B	7	PD30NOV17	3158.16	3246.50	USD	15,459.50	
11SEP17	S	4	PD30NOV17	3081.02	3246.50	USD	-16,548.00	
	NET	0	AVG BGHT 3155.31	SOLD 3135.59			-8,875.50	0.00
01SEP17	B	1	PD01DEC17	3142.00	3246.25	USD	2,606.25	
01SEP17	B	4	PD01DEC17	3141.50	3246.25	USD	10,475.00	
01SEP17	B	3	PD01DEC17	3145.00	3246.25	USD	7,593.75	
01SEP17	B	1	PD01DEC17	3151.50	3246.25	USD	2,368.75	
01SEP17	B	1	PD01DEC17	3153.00	3246.25	USD	2,331.25	

OPEN POSITION STATEMENT



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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
01SEP17	B	2	PD01DEC17	3152.50	3246.25	USD	4,687.50	
01SEP17	S	2	PD01DEC17	3147.00	3246.25	USD	-4,962.50	
01SEP17	S	1	PD01DEC17	3146.00	3246.25	USD	-2,506.25	
01SEP17	S	1	PD01DEC17	3145.50	3246.25	USD	-2,518.75	
01SEP17	B	1	PD01DEC17	3155.50	3246.25	USD	2,268.75	
01SEP17	B	1	PD01DEC17	3184.00	3246.25	USD	1,556.25	
01SEP17	S	1	PD01DEC17	3178.50	3246.25	USD	-1,693.75	
11SEP17	S	9	PD01DEC17	3081.20	3246.25	USD	-37,136.25	
	NET	0 AVG BGHT 3149.43	SOLD 3106.77				-14,930.00	0.00
04SEP17	S	4	PD04DEC17	3210.00	3246.00	USD	-3,600.00	
04SEP17	S	1	PD04DEC17	3194.50	3246.00	USD	-1,287.50	
04SEP17	S	4	PD04DEC17	3205.00	3246.00	USD	-4,100.00	
11SEP17	B	9	PD04DEC17	3081.94	3246.00	USD	36,913.50	
	NET	0 AVG BGHT 3081.94	SOLD 3206.06				27,926.00	0.00
05SEP17	S	1	PD05DEC17	3202.50	3245.75	USD	-1,081.25	
05SEP17	S	3	PD05DEC17	3203.00	3245.75	USD	-3,206.25	
05SEP17	S	3	PD05DEC17	3202.00	3245.75	USD	-3,281.25	
05SEP17	S	1	PD05DEC17	3201.50	3245.75	USD	-1,106.25	
05SEP17	S	2	PD05DEC17	3176.00	3245.75	USD	-3,487.50	
05SEP17	S	1	PD05DEC17	3176.50	3245.75	USD	-1,731.25	
05SEP17	S	2	PD05DEC17	3137.50	3245.75	USD	-5,412.50	
05SEP17	S	1	PD05DEC17	3136.00	3245.75	USD	-2,743.75	
05SEP17	S	1	PD05DEC17	3135.50	3245.75	USD	-2,756.25	
05SEP17	S	1	PD05DEC17	3146.50	3245.75	USD	-2,481.25	
05SEP17	S	2	PD05DEC17	3142.50	3245.75	USD	-5,162.50	
05SEP17	S	1	PD05DEC17	3124.50	3245.75	USD	-3,031.25	
05SEP17	S	1	PD05DEC17	3125.50	3245.75	USD	-3,006.25	
05SEP17	S	1	PD05DEC17	3124.00	3245.75	USD	-3,043.75	
05SEP17	B	4	PD05DEC17	3110.00	3245.75	USD	13,575.00	
05SEP17	S	1	PD05DEC17	3095.50	3245.75	USD	-3,756.25	
05SEP17	S	3	PD05DEC17	3095.00	3245.75	USD	-11,306.25	
05SEP17	S	1	PD05DEC17	3100.50	3245.75	USD	-3,631.25	
05SEP17	S	3	PD05DEC17	3100.00	3245.75	USD	-10,931.25	
05SEP17	S	1	PD05DEC17	3174.00	3245.75	USD	-1,793.75	
05SEP17	S	1	PD05DEC17	3141.00	3245.75	USD	-2,618.75	
05SEP17	S	1	PD05DEC17	3125.00	3245.75	USD	-3,018.75	
11SEP17	B	28	PD05DEC17	3082.12	3245.75	USD	114,541.00	
	NET	0 AVG BGHT 3085.61	SOLD 3147.52				49,528.50	0.00
06SEP17	B	2	PD06DEC17	3101.50	3245.50	USD	7,200.00	
06SEP17	B	2	PD06DEC17	3107.00	3245.50	USD	6,925.00	
06SEP17	B	3	PD06DEC17	3108.00	3245.50	USD	10,312.50	
06SEP17	B	1	PD06DEC17	3108.50	3245.50	USD	3,425.00	
06SEP17	B	4	PD06DEC17	3123.50	3245.50	USD	12,200.00	
06SEP17	S	1	PD06DEC17	3104.00	3245.50	USD	-3,537.50	
06SEP17	S	3	PD06DEC17	3102.50	3245.50	USD	-10,725.00	
06SEP17	B	2	PD06DEC17	3093.00	3245.50	USD	7,625.00	
06SEP17	B	3	PD06DEC17	3093.50	3245.50	USD	11,400.00	
06SEP17	B	1	PD06DEC17	3097.00	3245.50	USD	3,712.50	
06SEP17	B	2	PD06DEC17	3098.00	3245.50	USD	7,375.00	
06SEP17	B	3	PD06DEC17	3084.50	3245.50	USD	12,075.00	
06SEP17	B	1	PD06DEC17	3088.00	3245.50	USD	3,937.50	
22SEP17	S	13	PD06DEC17	3031.83	3245.50	USD	-69,442.75	
29SEP17	S	7	PD06DEC17	3165.13	3245.50	USD	-14,064.75	
	NET	0 AVG BGHT 3101.85	SOLD 3082.55				-11,582.50	0.00
07SEP17	S	1	PD07DEC17	3096.50	3245.25	USD	-3,718.75	
07SEP17	S	3	PD07DEC17	3097.00	3245.25	USD	-11,118.75	

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07SEP17	S	3	PD07DEC17	3079.00	3245.25	USD	-12,468.75	
07SEP17	S	1	PD07DEC17	3080.50	3245.25	USD	-4,118.75	
07SEP17	S	2	PD07DEC17	3133.50	3245.25	USD	-5,587.50	
07SEP17	S	1	PD07DEC17	3135.50	3245.25	USD	-2,743.75	
07SEP17	S	1	PD07DEC17	3135.00	3245.25	USD	-2,756.25	
07SEP17	S	1	PD07DEC17	3139.00	3245.25	USD	-2,656.25	
07SEP17	S	3	PD07DEC17	3142.50	3245.25	USD	-7,706.25	
07SEP17	B	2	PD07DEC17	3126.00	3245.25	USD	5,962.50	
07SEP17	B	2	PD07DEC17	3125.50	3245.25	USD	5,987.50	
11SEP17	B	12	PD07DEC17	3082.48	3245.25	USD	48,831.00	
	NET	0AVG BGHT 3093.30	SOLD 3113.06				7,906.00	0.00
08SEP17	S	1	PD08DEC17	3137.50	3245.00	USD	-2,687.50	
08SEP17	S	1	PD08DEC17	3133.00	3245.00	USD	-2,800.00	
08SEP17	S	1	PD08DEC17	3133.50	3245.00	USD	-2,787.50	
08SEP17	S	1	PD08DEC17	3134.00	3245.00	USD	-2,775.00	
08SEP17	S	1	PD08DEC17	3139.00	3245.00	USD	-2,650.00	
08SEP17	B	1	PD08DEC17	3149.00	3245.00	USD	2,400.00	
08SEP17	B	4	PD08DEC17	3146.50	3245.00	USD	9,850.00	
08SEP17	B	2	PD08DEC17	3142.50	3245.00	USD	5,125.00	
08SEP17	B	1	PD08DEC17	3141.50	3245.00	USD	2,587.50	
08SEP17	B	1	PD08DEC17	3131.00	3245.00	USD	2,850.00	
08SEP17	S	1	PD08DEC17	3130.50	3245.00	USD	-2,862.50	
08SEP17	S	2	PD08DEC17	3120.00	3245.00	USD	-6,250.00	
08SEP17	S	5	PD08DEC17	3117.00	3245.00	USD	-16,000.00	
08SEP17	S	1	PD08DEC17	3090.00	3245.00	USD	-3,875.00	
08SEP17	S	1	PD08DEC17	3091.50	3245.00	USD	-3,837.50	
08SEP17	B	2	PD08DEC17	3089.00	3245.00	USD	7,800.00	
08SEP17	B	1	PD08DEC17	3088.50	3245.00	USD	3,912.50	
08SEP17	B	1	PD08DEC17	3084.50	3245.00	USD	4,012.50	
08SEP17	B	2	PD08DEC17	3080.00	3245.00	USD	8,250.00	
08SEP17	B	2	PD08DEC17	3081.00	3245.00	USD	8,200.00	
08SEP17	S	1	PD08DEC17	3091.50	3245.00	USD	-3,837.50	
08SEP17	S	1	PD08DEC17	3090.00	3245.00	USD	-3,875.00	
08SEP17	S	1	PD08DEC17	3094.00	3245.00	USD	-3,775.00	
08SEP17	S	1	PD08DEC17	3073.00	3245.00	USD	-4,300.00	
08SEP17	S	2	PD08DEC17	3073.50	3245.00	USD	-8,575.00	
08SEP17	S	1	PD08DEC17	3074.00	3245.00	USD	-4,275.00	
08SEP17	S	1	PD08DEC17	3078.50	3245.00	USD	-4,162.50	
08SEP17	B	1	PD08DEC17	3070.00	3245.00	USD	4,375.00	
08SEP17	B	1	PD08DEC17	3078.50	3245.00	USD	4,162.50	
08SEP17	B	1	PD08DEC17	3078.00	3245.00	USD	4,175.00	
08SEP17	B	1	PD08DEC17	3080.50	3245.00	USD	4,112.50	
08SEP17	S	1	PD08DEC17	3076.00	3245.00	USD	-4,225.00	
08SEP17	B	1	PD08DEC17	3074.00	3245.00	USD	4,275.00	
08SEP17	B	1	PD08DEC17	3075.50	3245.00	USD	4,237.50	
08SEP17	B	3	PD08DEC17	3071.00	3245.00	USD	13,050.00	
08SEP17	B	2	PD08DEC17	3072.00	3245.00	USD	8,650.00	
08SEP17	B	1	PD08DEC17	3060.00	3245.00	USD	4,625.00	
08SEP17	S	1	PD08DEC17	3052.50	3245.00	USD	-4,812.50	
08SEP17	S	2	PD08DEC17	3053.00	3245.00	USD	-9,600.00	
08SEP17	S	1	PD08DEC17	3052.00	3245.00	USD	-4,825.00	
08SEP17	S	1	PD08DEC17	3053.00	3245.00	USD	-4,800.00	
08SEP17	S	1	PD08DEC17	3044.50	3245.00	USD	-5,012.50	
08SEP17	B	1	PD08DEC17	3034.00	3245.00	USD	5,275.00	
08SEP17	S	1	PD08DEC17	3033.00	3245.00	USD	-5,300.00	
08SEP17	S	1	PD08DEC17	3032.00	3245.00	USD	-5,325.00	
08SEP17	S	1	PD08DEC17	3032.50	3245.00	USD	-5,312.50	

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08SEP17	B	1	PD08DEC17	3031.50	3245.00	USD	5,337.50	
08SEP17	B	3	PD08DEC17	3034.50	3245.00	USD	15,787.50	
08SEP17	B	1	PD08DEC17	3033.50	3245.00	USD	5,287.50	
08SEP17	B	1	PD08DEC17	3036.50	3245.00	USD	5,212.50	
08SEP17	B	1	PD08DEC17	3037.00	3245.00	USD	5,200.00	
08SEP17	B	1	PD08DEC17	3036.00	3245.00	USD	5,225.00	
08SEP17	B	3	PD08DEC17	3032.00	3245.00	USD	15,975.00	
29SEP17	S	8	PD08DEC17	3165.25	3245.00	USD	-15,950.00	
	NET	0AVG BGHT 3079.20	SOLD 3104.04				25,462.50	0.00
11SEP17	S	1	PD11DEC17	3058.00	3244.75	USD	-4,668.75	
11SEP17	S	3	PD11DEC17	3059.00	3244.75	USD	-13,931.25	
11SEP17	B	1	PD11DEC17	3050.00	3244.75	USD	4,868.75	
11SEP17	B	2	PD11DEC17	3051.50	3244.75	USD	9,662.50	
11SEP17	B	3	PD11DEC17	3051.00	3244.75	USD	14,531.25	
11SEP17	B	2	PD11DEC17	3049.50	3244.75	USD	9,762.50	
11SEP17	B	1	PD11DEC17	3071.00	3244.75	USD	4,343.75	
11SEP17	B	1	PD11DEC17	3068.50	3244.75	USD	4,406.25	
11SEP17	B	4	PD11DEC17	3067.00	3244.75	USD	17,775.00	
11SEP17	B	2	PD11DEC17	3067.50	3244.75	USD	8,862.50	
29SEP17	S	12	PD11DEC17	3165.44	3244.75	USD	-23,793.00	
	NET	0AVG BGHT 3059.22	SOLD 3138.77				31,819.50	0.00
12SEP17	B	2	PD12DEC17	3070.00	3244.50	USD	8,725.00	
12SEP17	B	1	PD12DEC17	3067.00	3244.50	USD	4,437.50	
12SEP17	B	1	PD12DEC17	3066.00	3244.50	USD	4,462.50	
12SEP17	B	2	PD12DEC17	3065.50	3244.50	USD	8,950.00	
12SEP17	B	3	PD12DEC17	3065.00	3244.50	USD	13,462.50	
19SEP17	S	1	PD12DEC17	3105.21	3244.50	USD	-3,482.25	
29SEP17	S	8	PD12DEC17	3165.50	3244.50	USD	-15,800.00	
	NET	0AVG BGHT 3066.56	SOLD 3158.80				20,755.25	0.00
13SEP17	S	1	PD13DEC17	3058.00	3244.25	USD	-4,656.25	
13SEP17	S	1	PD13DEC17	3055.00	3244.25	USD	-4,731.25	
13SEP17	S	1	PD13DEC17	3055.50	3244.25	USD	-4,718.75	
13SEP17	S	2	PD13DEC17	3056.00	3244.25	USD	-9,412.50	
13SEP17	S	4	PD13DEC17	3056.50	3244.25	USD	-18,775.00	
19SEP17	B	1	PD13DEC17	3105.63	3244.25	USD	3,465.50	
22SEP17	B	8	PD13DEC17	3033.12	3244.25	USD	42,226.00	
	NET	0AVG BGHT 3041.18	SOLD 3056.28				3,397.75	0.00
14SEP17	B	1	PD14DEC17	3034.50	3244.00	USD	5,237.50	
14SEP17	B	1	PD14DEC17	3041.00	3244.00	USD	5,075.00	
14SEP17	S	1	PD14DEC17	3039.50	3244.00	USD	-5,112.50	
29SEP17	S	1	PD14DEC17	3165.63	3244.00	USD	-1,959.25	
	NET	0AVG BGHT 3037.75	SOLD 3102.57				3,240.75	0.00
15SEP17	B	1	PD15DEC17	2998.00	3243.75	USD	6,143.75	
15SEP17	B	2	PD15DEC17	2991.00	3243.75	USD	12,637.50	
15SEP17	B	1	PD15DEC17	2991.50	3243.75	USD	6,306.25	
15SEP17	B	1	PD15DEC17	2992.50	3243.75	USD	6,281.25	
15SEP17	B	2	PD15DEC17	3028.00	3243.75	USD	10,787.50	
15SEP17	B	2	PD15DEC17	3028.50	3243.75	USD	10,762.50	
15SEP17	S	5	PD15DEC17	3030.80	3243.75	USD	-26,618.75	
15SEP17	B	1	PD15DEC17	3028.00	3243.75	USD	5,393.75	
29SEP17	S	5	PD15DEC17	3165.49	3243.75	USD	-9,782.50	
	NET	0AVG BGHT 3010.50	SOLD 3098.15				21,911.25	0.00
18SEP17	B	2	PD18DEC17	3074.50	3243.50	USD	8,450.00	
18SEP17	B	1	PD18DEC17	3075.50	3243.50	USD	4,200.00	
18SEP17	B	5	PD18DEC17	3075.00	3243.50	USD	21,062.50	
18SEP17	B	2	PD18DEC17	3075.50	3243.50	USD	8,400.00	

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18SEP17	S	1	PD18DEC17	3079.00	3243.50	USD	-4,112.50	
18SEP17	B	1	PD18DEC17	3061.50	3243.50	USD	4,550.00	
18SEP17	S	1	PD18DEC17	3068.00	3243.50	USD	-4,387.50	
18SEP17	B	1	PD18DEC17	3087.00	3243.50	USD	3,912.50	
20SEP17	S	1	PD18DEC17	3131.47	3243.50	USD	-2,800.75	
29SEP17	S	9	PD18DEC17	3165.88	3243.50	USD	-17,464.50	
	NET	0AVG BGHT 3074.92	SOLD 3147.62				21,809.75	0.00
19SEP17	S	1	PD19DEC17	3113.50	3243.25	USD	-3,243.75	
19SEP17	S	2	PD19DEC17	3088.50	3243.25	USD	-7,737.50	
19SEP17	B	1	PD19DEC17	3110.00	3243.25	USD	3,331.25	
19SEP17	B	2	PD19DEC17	3107.50	3243.25	USD	6,787.50	
20SEP17	B	1	PD19DEC17	3131.93	3243.25	USD	2,783.00	
22SEP17	B	1	PD19DEC17	3034.05	3243.25	USD	5,230.00	
29SEP17	S	2	PD19DEC17	3165.94	3243.25	USD	-3,865.50	
	NET	0AVG BGHT 3098.20	SOLD 3124.48				3,285.00	0.00
20SEP17	B	1	PD20DEC17	3099.00	3243.00	USD	3,600.00	
20SEP17	B	1	PD20DEC17	3092.50	3243.00	USD	3,762.50	
20SEP17	B	1	PD20DEC17	3110.00	3243.00	USD	3,325.00	
20SEP17	B	1	PD20DEC17	3111.00	3243.00	USD	3,300.00	
20SEP17	B	1	PD20DEC17	3112.00	3243.00	USD	3,275.00	
20SEP17	B	1	PD20DEC17	3127.50	3243.00	USD	2,887.50	
20SEP17	S	1	PD20DEC17	3134.00	3243.00	USD	-2,725.00	
20SEP17	S	5	PD20DEC17	3132.50	3243.00	USD	-13,812.50	
	NET	0AVG BGHT 3108.67	SOLD 3132.75				3,612.50	0.00
21SEP17	S	2	PD21DEC17	3078.00	3240.00	USD	-8,100.00	
21SEP17	S	2	PD21DEC17	3077.50	3240.00	USD	-8,125.00	
21SEP17	B	1	PD21DEC17	3101.50	3240.00	USD	3,462.50	
21SEP17	B	2	PD21DEC17	3102.00	3240.00	USD	6,900.00	
21SEP17	S	2	PD21DEC17	3105.50	3240.00	USD	-6,725.00	
21SEP17	B	1	PD21DEC17	3097.50	3240.00	USD	3,562.50	
21SEP17	S	1	PD21DEC17	3121.00	3240.00	USD	-2,975.00	
21SEP17	S	1	PD21DEC17	3119.50	3240.00	USD	-3,012.50	
22SEP17	B	4	PD21DEC17	3032.70	3240.00	USD	20,730.00	
	NET	0AVG BGHT 3066.73	SOLD 3095.31				5,717.50	0.00
22SEP17	S	1	PD22DEC17	3016.00	3239.62	USD	-5,590.50	
22SEP17	S	1	PD22DEC17	3006.00	3239.62	USD	-5,840.50	
22SEP17	S	1	PD22DEC17	3002.50	3239.62	USD	-5,928.00	
22SEP17	S	4	PD22DEC17	3012.00	3239.62	USD	-22,762.00	
22SEP17	S	1	PD22DEC17	3013.00	3239.62	USD	-5,665.50	
22SEP17	B	1	PD22DEC17	3058.00	3239.62	USD	4,540.50	
22SEP17	B	2	PD22DEC17	3057.50	3239.62	USD	9,106.00	
22SEP17	B	4	PD22DEC17	3056.50	3239.62	USD	18,312.00	
22SEP17	B	1	PD22DEC17	3046.00	3239.62	USD	4,840.50	
22SEP17	S	1	PD22DEC17	3047.00	3239.62	USD	-4,815.50	
22SEP17	S	1	PD22DEC17	3036.00	3239.62	USD	-5,090.50	
22SEP17	S	1	PD22DEC17	3037.50	3239.62	USD	-5,053.00	
22SEP17	S	1	PD22DEC17	3039.00	3239.62	USD	-5,015.50	
22SEP17	S	1	PD22DEC17	3039.50	3239.62	USD	-5,003.00	
22SEP17	S	5	PD22DEC17	3038.00	3239.62	USD	-25,202.50	
22SEP17	S	2	PD22DEC17	3013.50	3239.62	USD	-11,306.00	
22SEP17	S	2	PD22DEC17	3014.00	3239.62	USD	-11,281.00	
22SEP17	S	2	PD22DEC17	3014.50	3239.62	USD	-11,256.00	
22SEP17	S	5	PD22DEC17	3012.50	3239.62	USD	-28,390.00	
22SEP17	B	1	PD22DEC17	3053.50	3239.62	USD	4,653.00	
22SEP17	B	3	PD22DEC17	3057.00	3239.62	USD	13,696.50	
22SEP17	B	1	PD22DEC17	3061.00	3239.62	USD	4,465.50	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0119B

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
22SEP17	B	1	PD22DEC17	3061.50	3239.62	USD	4,453.00	
22SEP17	S	1	PD22DEC17	3012.00	3239.62	USD	-5,690.50	
22SEP17	B	1	PD22DEC17	3058.50	3239.62	USD	4,528.00	
22SEP17	B	2	PD22DEC17	3062.00	3239.62	USD	8,881.00	
22SEP17	B	1	PD22DEC17	3061.00	3239.62	USD	4,465.50	
29SEP17	B	12	PD22DEC17	3162.76	3239.62	USD	23,058.00	
	NET	0AVG BGHT 3099.62	SOLD 3021.10				-58,890.50	0.00
25SEP17	B	2	PD27DEC17	3082.50	3239.23	USD	7,836.50	
25SEP17	B	1	PD27DEC17	3078.00	3239.23	USD	4,030.75	
25SEP17	B	1	PD27DEC17	3079.00	3239.23	USD	4,005.75	
25SEP17	B	1	PD27DEC17	3075.00	3239.23	USD	4,105.75	
25SEP17	B	1	PD27DEC17	3072.50	3239.23	USD	4,168.25	
25SEP17	S	1	PD27DEC17	3071.00	3239.23	USD	-4,205.75	
25SEP17	S	1	PD27DEC17	3096.50	3239.23	USD	-3,568.25	
25SEP17	S	1	PD27DEC17	3086.00	3239.23	USD	-3,830.75	
25SEP17	S	1	PD27DEC17	3083.00	3239.23	USD	-3,905.75	
25SEP17	S	1	PD27DEC17	3084.00	3239.23	USD	-3,880.75	
25SEP17	B	1	PD27DEC17	3099.50	3239.23	USD	3,493.25	
25SEP17	B	1	PD27DEC17	3096.00	3239.23	USD	3,580.75	
25SEP17	B	2	PD27DEC17	3098.50	3239.23	USD	7,036.50	
25SEP17	B	1	PD27DEC17	3098.00	3239.23	USD	3,530.75	
25SEP17	B	2	PD27DEC17	3100.50	3239.23	USD	6,936.50	
25SEP17	B	1	PD27DEC17	3100.00	3239.23	USD	3,480.75	
25SEP17	B	1	PD27DEC17	3101.00	3239.23	USD	3,455.75	
25SEP17	B	2	PD27DEC17	3099.00	3239.23	USD	7,011.50	
25SEP17	B	3	PD27DEC17	3097.50	3239.23	USD	10,629.75	
25SEP17	B	1	PD27DEC17	3097.00	3239.23	USD	3,555.75	
25SEP17	B	1	PD27DEC17	3095.50	3239.23	USD	3,593.25	
25SEP17	S	1	PD27DEC17	3094.00	3239.23	USD	-3,630.75	
25SEP17	S	1	PD27DEC17	3097.50	3239.23	USD	-3,543.25	
25SEP17	B	5	PD27DEC17	3098.89	3239.23	USD	17,542.50	
26SEP17	B	1	PD27DEC17	3135.00	3239.23	USD	2,605.75	
26SEP17	S	1	PD27DEC17	3113.00	3239.23	USD	-3,155.75	
26SEP17	S	1	PD27DEC17	3110.50	3239.23	USD	-3,218.25	
26SEP17	S	1	PD27DEC17	3110.00	3239.23	USD	-3,230.75	
26SEP17	S	1	PD27DEC17	3108.00	3239.23	USD	-3,280.75	
26SEP17	S	1	PD27DEC17	3108.50	3239.23	USD	-3,268.25	
26SEP17	S	1	PD27DEC17	3106.50	3239.23	USD	-3,318.25	
26SEP17	S	1	PD27DEC17	3115.00	3239.23	USD	-3,105.75	
26SEP17	S	2	PD27DEC17	3114.00	3239.23	USD	-6,261.50	
26SEP17	S	4	PD27DEC17	3114.50	3239.23	USD	-12,473.00	
26SEP17	S	4	PD27DEC17	3116.00	3239.23	USD	-12,323.00	
26SEP17	S	1	PD27DEC17	3118.50	3239.23	USD	-3,018.25	
26SEP17	S	2	PD27DEC17	3113.50	3239.23	USD	-6,286.50	
26SEP17	S	2	PD27DEC17	3117.50	3239.23	USD	-6,086.50	
26SEP17	S	2	PD27DEC17	3124.50	3239.23	USD	-5,736.50	
26SEP17	S	1	PD27DEC17	3126.00	3239.23	USD	-2,830.75	
26SEP17	S	3	PD27DEC17	3125.50	3239.23	USD	-8,529.75	
26SEP17	S	2	PD27DEC17	3130.50	3239.23	USD	-5,436.50	
26SEP17	S	1	PD27DEC17	3129.00	3239.23	USD	-2,755.75	
26SEP17	S	5	PD27DEC17	3128.50	3239.23	USD	-13,841.25	
26SEP17	B	1	PD27DEC17	3120.00	3239.23	USD	2,980.75	
26SEP17	B	1	PD27DEC17	3116.50	3239.23	USD	3,068.25	
26SEP17	B	5	PD27DEC17	3117.00	3239.23	USD	15,278.75	
26SEP17	B	1	PD27DEC17	3115.00	3239.23	USD	3,105.75	
26SEP17	B	7	PD27DEC17	3113.50	3239.23	USD	22,002.75	
26SEP17	B	1	PD27DEC17	3114.00	3239.23	USD	3,130.75	

OPEN POSITION STATEMENT



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SAP0119B

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
26SEP17	B	1	PD27DEC17	3109.00	3239.23	USD	3,255.75	
26SEP17	B	2	PD27DEC17	3110.00	3239.23	USD	6,461.50	
26SEP17	B	4	PD27DEC17	3111.50	3239.23	USD	12,773.00	
26SEP17	B	3	PD27DEC17	3114.50	3239.23	USD	9,354.75	
26SEP17	B	2	PD27DEC17	3116.50	3239.23	USD	6,136.50	
26SEP17	B	1	PD27DEC17	3109.00	3239.23	USD	3,255.75	
26SEP17	B	2	PD27DEC17	3111.50	3239.23	USD	6,386.50	
26SEP17	B	1	PD27DEC17	3111.00	3239.23	USD	3,205.75	
27SEP17	B	2	PD27DEC17	3139.00	3239.23	USD	5,011.50	
27SEP17	B	1	PD27DEC17	3142.50	3239.23	USD	2,418.25	
27SEP17	B	1	PD27DEC17	3146.00	3239.23	USD	2,330.75	
27SEP17	B	1	PD27DEC17	3145.00	3239.23	USD	2,355.75	
27SEP17	B	1	PD27DEC17	3145.50	3239.23	USD	2,343.25	
27SEP17	B	2	PD27DEC17	3147.50	3239.23	USD	4,586.50	
27SEP17	B	3	PD27DEC17	3149.00	3239.23	USD	6,767.25	
27SEP17	B	1	PD27DEC17	3141.50	3239.23	USD	2,443.25	
27SEP17	B	1	PD27DEC17	3143.50	3239.23	USD	2,393.25	
27SEP17	B	1	PD27DEC17	3142.00	3239.23	USD	2,430.75	
27SEP17	B	1	PD27DEC17	3137.00	3239.23	USD	2,555.75	
27SEP17	B	1	PD27DEC17	3144.00	3239.23	USD	2,380.75	
27SEP17	S	1	PD27DEC17	3137.50	3239.23	USD	-2,543.25	
27SEP17	S	1	PD27DEC17	3124.50	3239.23	USD	-2,868.25	
27SEP17	S	1	PD27DEC17	3133.00	3239.23	USD	-2,655.75	
27SEP17	S	3	PD27DEC17	3114.50	3239.23	USD	-9,354.75	
27SEP17	S	1	PD27DEC17	3110.50	3239.23	USD	-3,218.25	
27SEP17	S	1	PD27DEC17	3110.00	3239.23	USD	-3,230.75	
27SEP17	S	1	PD27DEC17	3111.00	3239.23	USD	-3,205.75	
27SEP17	S	2	PD27DEC17	3102.00	3239.23	USD	-6,861.50	
27SEP17	S	1	PD27DEC17	3102.50	3239.23	USD	-3,418.25	
27SEP17	S	2	PD27DEC17	3104.50	3239.23	USD	-6,736.50	
27SEP17	S	3	PD27DEC17	3112.00	3239.23	USD	-9,542.25	
27SEP17	S	2	PD27DEC17	3111.50	3239.23	USD	-6,386.50	
27SEP17	S	2	PD27DEC17	3114.00	3239.23	USD	-6,261.50	
27SEP17	S	4	PD27DEC17	3120.00	3239.23	USD	-11,923.00	
27SEP17	S	4	PD27DEC17	3121.00	3239.23	USD	-11,823.00	
27SEP17	S	2	PD27DEC17	3118.50	3239.23	USD	-6,036.50	
27SEP17	S	4	PD27DEC17	3109.50	3239.23	USD	-12,973.00	
27SEP17	S	1	PD27DEC17	3106.00	3239.23	USD	-3,330.75	
27SEP17	S	2	PD27DEC17	3107.50	3239.23	USD	-6,586.50	
27SEP17	S	1	PD27DEC17	3105.00	3239.23	USD	-3,355.75	
27SEP17	S	2	PD27DEC17	3106.50	3239.23	USD	-6,636.50	
27SEP17	S	7	PD27DEC17	3107.00	3239.23	USD	-23,140.25	
27SEP17	B	1	PD27DEC17	3111.50	3239.23	USD	3,193.25	
27SEP17	B	2	PD27DEC17	3114.50	3239.23	USD	6,236.50	
27SEP17	B	1	PD27DEC17	3116.00	3239.23	USD	3,080.75	
27SEP17	S	2	PD27DEC17	3115.00	3239.23	USD	-6,211.50	
27SEP17	S	4	PD27DEC17	3112.50	3239.23	USD	-12,673.00	
27SEP17	B	4	PD27DEC17	3118.50	3239.23	USD	12,073.00	
27SEP17	B	1	PD27DEC17	3117.50	3239.23	USD	3,043.25	
27SEP17	B	2	PD27DEC17	3117.00	3239.23	USD	6,111.50	
27SEP17	B	6	PD27DEC17	3118.00	3239.23	USD	18,184.50	
27SEP17	B	2	PD27DEC17	3120.50	3239.23	USD	5,936.50	
27SEP17	S	2	PD27DEC17	3105.00	3239.23	USD	-6,711.50	
27SEP17	B	1	PD27DEC17	3117.50	3239.23	USD	3,043.25	
29SEP17	B	3	PD27DEC17	3162.58	3239.23	USD	5,748.75	
	NET	0 AVG BGHT 3115.73	SOLD 3113.01				-6,742.25	0.00
28SEP17	S	3	PD28DEC17	3117.00	3238.85	USD	-9,138.75	

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SAP0119B

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Suite A, 105 Piccadilly
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28SEP17	S	1	PD28DEC17	3117.50	3238.85	USD	-3,033.75	
28SEP17	S	1	PD28DEC17	3119.50	3238.85	USD	-2,983.75	
28SEP17	S	3	PD28DEC17	3122.00	3238.85	USD	-8,763.75	
28SEP17	S	1	PD28DEC17	3124.00	3238.85	USD	-2,871.25	
28SEP17	S	1	PD28DEC17	3113.50	3238.85	USD	-3,133.75	
28SEP17	S	1	PD28DEC17	3118.00	3238.85	USD	-3,021.25	
28SEP17	S	1	PD28DEC17	3107.50	3238.85	USD	-3,283.75	
28SEP17	S	4	PD28DEC17	3106.50	3238.85	USD	-13,235.00	
28SEP17	S	3	PD28DEC17	3107.00	3238.85	USD	-9,888.75	
28SEP17	S	1	PD28DEC17	3106.00	3238.85	USD	-3,321.25	
28SEP17	S	2	PD28DEC17	3131.50	3238.85	USD	-5,367.50	
28SEP17	S	1	PD28DEC17	3132.00	3238.85	USD	-2,671.25	
28SEP17	S	1	PD28DEC17	3131.00	3238.85	USD	-2,696.25	
28SEP17	S	2	PD28DEC17	3130.50	3238.85	USD	-5,417.50	
28SEP17	S	1	PD28DEC17	3129.00	3238.85	USD	-2,746.25	
28SEP17	S	1	PD28DEC17	3127.00	3238.85	USD	-2,796.25	
28SEP17	S	2	PD28DEC17	3128.00	3238.85	USD	-5,542.50	
28SEP17	B	1	PD28DEC17	3133.50	3238.85	USD	2,633.75	
28SEP17	B	5	PD28DEC17	3134.50	3238.85	USD	13,043.75	
28SEP17	B	2	PD28DEC17	3135.00	3238.85	USD	5,192.50	
28SEP17	B	2	PD28DEC17	3139.50	3238.85	USD	4,967.50	
28SEP17	B	2	PD28DEC17	3138.50	3238.85	USD	5,017.50	
28SEP17	B	2	PD28DEC17	3137.00	3238.85	USD	5,092.50	
28SEP17	B	2	PD28DEC17	3138.00	3238.85	USD	5,042.50	
28SEP17	S	1	PD28DEC17	3143.50	3238.85	USD	-2,383.75	
28SEP17	S	4	PD28DEC17	3142.50	3238.85	USD	-9,635.00	
28SEP17	S	1	PD28DEC17	3137.50	3238.85	USD	-2,533.75	
28SEP17	S	2	PD28DEC17	3136.00	3238.85	USD	-5,142.50	
28SEP17	S	1	PD28DEC17	3135.50	3238.85	USD	-2,583.75	
28SEP17	S	1	PD28DEC17	3134.50	3238.85	USD	-2,608.75	
28SEP17	S	3	PD28DEC17	3134.00	3238.85	USD	-7,863.75	
28SEP17	S	1	PD28DEC17	3131.50	3238.85	USD	-2,683.75	
28SEP17	S	1	PD28DEC17	3131.00	3238.85	USD	-2,696.25	
28SEP17	B	2	PD28DEC17	3146.50	3238.85	USD	4,617.50	
28SEP17	B	1	PD28DEC17	3147.00	3238.85	USD	2,296.25	
28SEP17	S	1	PD28DEC17	3145.00	3238.85	USD	-2,346.25	
28SEP17	S	1	PD28DEC17	3140.50	3238.85	USD	-2,458.75	
28SEP17	S	3	PD28DEC17	3141.50	3238.85	USD	-7,301.25	
28SEP17	S	3	PD28DEC17	3142.00	3238.85	USD	-7,263.75	
28SEP17	B	1	PD28DEC17	3146.00	3238.85	USD	2,321.25	
28SEP17	B	1	PD28DEC17	3147.50	3238.85	USD	2,283.75	
29SEP17	B	32	PD28DEC17	3162.39	3238.85	USD	61,168.00	
	NET	0AVG BGHT 3153.06	SOLD 3127.59				-33,737.00	0.00
09OCT17	S	1	PD09JAN18	3264.50	3236.15	USD	708.75	
09OCT17	B	1	PD09JAN18	3270.00	3236.15	USD	-846.25	
09OCT17	B	1	PD09JAN18	3256.00	3236.15	USD	-496.25	
09OCT17	S	1	PD09JAN18	3238.00	3236.15	USD	46.25	
09OCT17	B	1	PD09JAN18	3233.50	3236.15	USD	66.25	
09OCT17	B	1	PD09JAN18	3248.00	3236.15	USD	-296.25	
09OCT17	B	1	PD09JAN18	3245.00	3236.15	USD	-221.25	
09OCT17	B	1	PD09JAN18	3248.50	3236.15	USD	-308.75	
	NET	+4AVG BGHT 3250.17	SOLD 3251.25				-1,347.50	0.00
10OCT17	B	1	PD10JAN18	3262.50	3235.77	USD	-668.25	
10OCT17	S	1	PD10JAN18	3246.00	3235.77	USD	255.75	
10OCT17	S	2	PD10JAN18	3228.50	3235.77	USD	-363.50	
10OCT17	S	1	PD10JAN18	3225.50	3235.77	USD	-256.75	
10OCT17	S	1	PD10JAN18	3226.00	3235.77	USD	-244.25	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0119B

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
	NET -4 AVG BGHT 3262.50 SOLD 3230.90				-1,277.00	0.00
120CT17	B 1 PD12JAN18	3235.50	3235.00	USD	-12.50	
120CT17	S 1 PD12JAN18	3254.00	3235.00	USD	475.00	
	NET 0 AVG BGHT 3235.50 SOLD 3254.00				462.50	0.00

OPEN POSITION VALUE

		USD
LME Aluminium 25 Tonnes.	FUTURES	-1,529,548.25
LME Copper Grade A 25	FUTURES	-6,805,172.75
CMX Gold	FUTURES	-2,825,210.00
LME Lead 25 tonnes. This is an	FUTURES	353,051.50
LME Nickel 6 tonnes. This is	FUTURES	88,966.14
CMX Silver	FUTURES	-4,989,475.00
LME Tin 5 tonnes. This is an	FUTURES	-104,650.25
LME Zinc 25 tonnes. This is	FUTURES	113,717.75

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options	Amount	Profit / Loss	Total Amount	Total P&L
GOLD - Futures USD	44,910.00	44,910.00		
SILV - Futures USD	146,770.00	146,770.00	191,680.00	191,680.00
Unrealised Profits & Losses - Forwards	Amount	Profit / Loss	Total Amount	Total P&L
ALUL - Futures USD	230,577.25	230,577.25		
COPL - Futures USD	75,508.75	75,508.75		
LEAD - Futures USD	37,956.50	37,956.50		
NKL - Futures USD	88,938.78	88,938.78		
TIN - Futures USD	-1,650.25	-1,650.25		
ZINC - Futures USD	113,755.75	113,755.75	545,086.78	545,086.78

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	207,688.52	207,688.52
Variation Margins - Futures	191,680.00	191,680.00
- Options	0.00	0.00
TOTAL EQUITY	399,368.52	399,368.52
Initial Margins	-565,250.22	-565,250.22
Excess/(Shortage)	-165,881.70	-165,881.70
FOR INFORMATION ONLY		
Forward Unrealised P&L	545,086.78	545,086.78
Net Liquidating Value	944,455.30	944,455.30

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0119C

13th October 2017

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Suite A, 105 Piccadilly
London W1J 7NJ UK
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Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>CME CATTLE 400 CME</u>					
09OCT17	B 1 DEC17	116.9750	117.1250	USD	60.00	
	NET +1AVG BGHT 116.9750				60.00	0.00
09OCT17	S 1 FEB18	121.2000	121.0750	USD	50.00	
09OCT17	S 1 FEB18	121.1500	121.0750	USD	30.00	
	NET -2AVG SOLD 121.1750				80.00	0.00
06OCT17	B 1 APR18	121.7000	122.0000	USD	120.00	
06OCT17	B 1 APR18	121.5000	122.0000	USD	200.00	
06OCT17	B 1 APR18	121.5500	122.0000	USD	180.00	
09OCT17	B 1 APR18	121.7500	122.0000	USD	100.00	
	NET +4AVG BGHT 121.6250				600.00	0.00
05OCT17	S 1 JUN18	114.0250	115.3500	USD	-530.00	
05OCT17	S 1 JUN18	114.0000	115.3500	USD	-540.00	
06OCT17	S 1 JUN18	115.1750	115.3500	USD	-70.00	
06OCT17	S 1 JUN18	115.2000	115.3500	USD	-60.00	
06OCT17	S 1 JUN18	115.3250	115.3500	USD	-10.00	
06OCT17	S 1 JUN18	115.3000	115.3500	USD	-20.00	
	NET -6AVG SOLD 114.8375				-1,230.00	0.00
05OCT17	B 1 AUG18	111.3250	112.4000	USD	430.00	
06OCT17	B 1 AUG18	112.3250	112.4000	USD	30.00	
06OCT17	B 1 AUG18	112.4250	112.4000	USD	-10.00	
	NET +3AVG BGHT 112.0250				450.00	0.00
	<u>CME FEEDER CATTLE 500 CME</u>					
11OCT17	B 1 NOV17	156.1250	155.0000	USD	-562.50	
13OCT17	B 1 NOV17	153.8250	155.0000	USD	587.50	
13OCT17	B 1 NOV17	153.6750	155.0000	USD	662.50	
	NET +3AVG BGHT 154.5417				687.50	0.00
11OCT17	S 1 JAN18	154.5750	153.0500	USD	762.50	
11OCT17	S 1 JAN18	154.6000	153.0500	USD	775.00	
13OCT17	S 2 JAN18	151.6750	153.0500	USD	-1,375.00	
13OCT17	S 2 JAN18	151.7000	153.0500	USD	-1,350.00	
	NET -6AVG SOLD 152.6542				-1,187.50	0.00
11OCT17	B 1 MAR18	152.6000	150.3500	USD	-1,125.00	
13OCT17	B 2 MAR18	149.0250	150.3500	USD	1,325.00	
	NET +3AVG BGHT 150.2167				200.00	0.00
	<u>IEU GAS OIL 100 IPE</u>					
06OCT17	B 4 FEB18	509.750	521.500	USD	4,700.00	
12OCT17	B 4 FEB18	513.000	521.500	USD	3,400.00	
	NET +8AVG BGHT 511.375				8,100.00	0.00
04OCT17	S 4 MAR18	507.750	519.250	USD	-4,600.00	
04OCT17	S 4 MAR18	510.000	519.250	USD	-3,700.00	
04OCT17	S 6 MAR18	509.750	519.250	USD	-5,700.00	
04OCT17	S 2 MAR18	509.500	519.250	USD	-1,950.00	
13OCT17	S 8 MAR18	520.250	519.250	USD	800.00	
	NET -24AVG SOLD 512.938				-15,150.00	0.00
04OCT17	B 4 APR18	507.500	516.750	USD	3,700.00	
10OCT17	B 4 APR18	512.500	516.750	USD	1,700.00	
13OCT17	B 8 APR18	516.500	516.750	USD	200.00	
13OCT17	B 16 APR18	517.500	516.750	USD	-1,200.00	
	NET +32AVG BGHT 515.375				4,400.00	0.00
13OCT17	S 8 MAY18	515.750	515.000	USD	600.00	
	NET -8AVG SOLD 515.750				600.00	0.00
03OCT17	S 4 JUL18	503.000	513.000	USD	-4,000.00	
04OCT17	S 8 JUL18	504.250	513.000	USD	-7,000.00	
11OCT17	S 1 JUL18	509.000	513.000	USD	-400.00	
11OCT17	S 3 JUL18	510.000	513.000	USD	-900.00	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0119C

13th October 2017

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Suite A, 105 Piccadilly
London W1J 7NJ UK
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Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>IEU GAS OIL 100 IPE</u>					
	NET -16 AVG SOLD 505.313				-12,300.00	0.00
100CT17	B 4 AUG18	507.250	513.000	USD	2,300.00	
110CT17	B 1 AUG18	509.000	513.000	USD	400.00	
110CT17	B 3 AUG18	510.000	513.000	USD	900.00	
	NET +8 AVG BGHT 508.500				3,600.00	0.00
110CT17	S 4 SEP18	510.750	512.750	USD	-800.00	
	NET -4 AVG SOLD 510.750				-800.00	0.00
110CT17	B 4 OCT18	511.000	512.750	USD	700.00	
	NET +4 AVG BGHT 511.000				700.00	0.00
	<u>CME LEAN HOG 400 CME</u>					
100CT17	B 1 DEC17	62.2000	62.2000	USD	0.00	
100CT17	B 1 DEC17	61.8750	62.2000	USD	130.00	
	NET +2 AVG BGHT 62.0375				130.00	0.00
100CT17	S 2 FEB18	67.7000	67.4500	USD	200.00	
100CT17	S 2 FEB18	67.6750	67.4500	USD	180.00	
	NET -4 AVG SOLD 67.6875				380.00	0.00
100CT17	B 1 APR18	72.0000	71.9500	USD	-20.00	
100CT17	B 1 APR18	72.0500	71.9500	USD	-40.00	
	NET +2 AVG BGHT 72.0250				-60.00	0.00
19SEP17	S 1 MAY18	75.4500	77.0000	USD	-620.00	
020CT17	S 1 MAY18	76.7750	77.0000	USD	-90.00	
	NET -2 AVG SOLD 76.1125				-710.00	0.00
020CT17	B 1 JUN18	79.6250	80.3000	USD	270.00	
020CT17	B 1 JUN18	79.9500	80.3000	USD	140.00	
130CT17	B 1 JUN18	80.2750	80.3000	USD	10.00	
130CT17	B 1 JUN18	80.3500	80.3000	USD	-20.00	
	NET +4 AVG BGHT 80.0500				400.00	0.00
130CT17	S 2 JUL18	80.5250	80.4750	USD	40.00	
130CT17	S 1 JUL18	80.5750	80.4750	USD	40.00	
130CT17	S 1 JUL18	80.6000	80.4750	USD	50.00	
	NET -4 AVG SOLD 80.5563				130.00	0.00
130CT17	B 1 AUG18	79.7750	79.7750	USD	0.00	
130CT17	B 1 AUG18	79.7500	79.7750	USD	10.00	
	NET +2 AVG BGHT 79.7625				10.00	0.00

OPEN POSITION VALUE

		USD
CME Cattle	FUTURES	-3,270.00
CME Feeder Cattle	FUTURES	825.00
IEU Gas Oil	FUTURES	-13,050.00
CME Lean Hog	FUTURES	-1,060.00

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0119C

13th October 2017

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Suite A, 105 Piccadilly
London W1J 7NJ UK
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MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options	Amount	Profit / Loss	Total Amount	Total P&L
CATT - Futures USD	-40.00	-40.00		
FCAT - Futures USD	-300.00	-300.00		
GASO - Futures USD	-10,850.00	-10,850.00		
HOGS - Futures USD	280.00	280.00	-10,910.00	-10,910.00

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	12,312.50	12,312.50
Variation Margins - Futures	-10,910.00	-10,910.00
- Options	0.00	0.00
TOTAL EQUITY	1,402.50	1,402.50
Initial Margins	-17,619.00	-17,619.00
Excess/(Shortage)	-16,216.50	-16,216.50
<u>FOR INFORMATION ONLY</u>		
Net Liquidating Value	1,402.50	1,402.50

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0119D

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
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AUTHORISED AND REGULATED BY THE
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Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>CME CATTLE 400 CME</u>					
130CT17	S 1 APR18	122.1250	122.0000	USD	50.00	
130CT17	S 1 APR18	122.1500	122.0000	USD	60.00	
	NET -2 AVG SOLD 122.1375				110.00	0.00
130CT17	B 1 JUN18	115.3250	115.3500	USD	10.00	
130CT17	B 1 JUN18	115.3500	115.3500	USD	0.00	
	NET +2 AVG BGHT 115.3375				10.00	0.00
100CT17	S 2 AUG18	113.3250	112.4000	USD	740.00	
120CT17	S 1 AUG18	112.2000	112.4000	USD	-80.00	
	NET -3 AVG SOLD 112.9500				660.00	0.00
040CT17	B 1 OCT18	112.0500	112.6500	USD	240.00	
040CT17	B 1 OCT18	111.3500	112.6500	USD	520.00	
090CT17	B 1 OCT18	113.1000	112.6500	USD	-180.00	
090CT17	B 1 OCT18	112.6750	112.6500	USD	-10.00	
	NET +4 AVG BGHT 112.2938				570.00	0.00
040CT17	S 1 DEC18	112.3250	113.7000	USD	-550.00	
	NET -1 AVG SOLD 112.3250				-550.00	0.00
	<u>CME FEEDER CATTLE 500 CME</u>					
130CT17	B 1 NOV17	154.8500	155.0000	USD	75.00	
130CT17	B 1 NOV17	154.5500	155.0000	USD	225.00	
	NET +2 AVG BGHT 154.7000				300.00	0.00
130CT17	S 1 JAN18	152.8000	153.0500	USD	-125.00	
130CT17	S 1 JAN18	152.6250	153.0500	USD	-212.50	
	NET -2 AVG SOLD 152.7125				-337.50	0.00
050CT17	S 1 APR18	150.2250	149.7750	USD	225.00	
110CT17	S 1 APR18	151.1000	149.7750	USD	662.50	
130CT17	S 1 APR18	149.5250	149.7750	USD	-125.00	
130CT17	S 1 APR18	149.7250	149.7750	USD	-25.00	
	NET -4 AVG SOLD 150.1438				737.50	0.00
050CT17	B 1 MAY18	149.4250	148.4750	USD	-475.00	
090CT17	B 1 MAY18	150.0250	148.4750	USD	-775.00	
110CT17	B 1 MAY18	149.8250	148.4750	USD	-675.00	
130CT17	B 1 MAY18	148.4500	148.4750	USD	12.50	
	NET +4 AVG BGHT 149.4313				-1,912.50	0.00
	<u>CME LEAN HOG 400 CME</u>					
110CT17	S 1 JUN18	80.3500	80.3000	USD	20.00	
110CT17	S 1 JUN18	80.2250	80.3000	USD	-30.00	
130CT17	S 1 JUN18	80.2750	80.3000	USD	-10.00	
	NET -3 AVG SOLD 80.2833				-20.00	0.00
110CT17	B 1 JUL18	80.4500	80.4750	USD	10.00	
110CT17	B 1 JUL18	80.3500	80.4750	USD	50.00	
130CT17	B 1 JUL18	80.3750	80.4750	USD	40.00	
	NET +3 AVG BGHT 80.3917				100.00	0.00

OPEN POSITION VALUE

		USD
CME Cattle	FUTURES	6,240.00
CME Feeder Cattle	FUTURES	-562.50
CME Lean Hog	FUTURES	-130.00

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0119D

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
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MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options	Amount	Profit / Loss	Total Amount	Total P&L
CATT - Futures USD	800.00	800.00		
FCAT - Futures USD	-1,212.50	-1,212.50		
HOGS - Futures USD	80.00	80.00	-332.50	-332.50

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	-3,787.50	-3,787.50
Variation Margins - Futures	-332.50	-332.50
- Options	0.00	0.00
TOTAL EQUITY	-4,120.00	-4,120.00
Initial Margins	-10,650.00	-10,650.00
Excess/(Shortage)	-14,770.00	-14,770.00
FOR INFORMATION ONLY		
Net Liquidating Value	-4,120.00	-4,120.00

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0119E

13th October 2017

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London W1J 7NJ UK
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Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>CME CATTLE 400 CME</u>					
06OCT17	B 1 APR18	121.6500	122.0000	USD	140.00	
	NET +1AVG BGHT 121.6500				140.00	0.00
05OCT17	S 1 JUN18	114.4750	115.3500	USD	-350.00	
11OCT17	S 1 JUN18	116.2250	115.3500	USD	350.00	
	NET -2AVG SOLD 115.3500				0.00	0.00
11OCT17	B 1 OCT18	113.3750	112.6500	USD	-290.00	
	NET +1AVG BGHT 113.3750				-290.00	0.00
	<u>CME FEEDER CATTLE 500 CME</u>					
11OCT17	B 1 NOV17	156.0500	155.0000	USD	-525.00	
13OCT17	B 1 NOV17	153.8000	155.0000	USD	600.00	
13OCT17	B 1 NOV17	154.8000	155.0000	USD	100.00	
	NET +3AVG BGHT 154.8833				175.00	0.00
11OCT17	S 2 JAN18	154.5000	153.0500	USD	1,450.00	
13OCT17	S 1 JAN18	151.8000	153.0500	USD	-625.00	
13OCT17	S 2 JAN18	152.8500	153.0500	USD	-200.00	
	NET -5AVG SOLD 153.3000				625.00	0.00
11OCT17	B 1 MAR18	152.5000	150.3500	USD	-1,075.00	
13OCT17	B 1 MAR18	150.0500	150.3500	USD	150.00	
	NET +2AVG BGHT 151.2750				-925.00	0.00
13OCT17	S 1 APR18	149.6000	149.7750	USD	-87.50	
	NET -1AVG SOLD 149.6000				-87.50	0.00
13OCT17	B 1 MAY18	148.3500	148.4750	USD	62.50	
	NET +1AVG BGHT 148.3500				62.50	0.00
	<u>CME LEAN HOG 400 CME</u>					
09OCT17	B 1 DEC17	61.0000	62.2000	USD	480.00	
	NET +1AVG BGHT 61.0000				480.00	0.00
09OCT17	S 1 FEB18	66.8500	67.4500	USD	-240.00	
09OCT17	S 1 FEB18	66.8750	67.4500	USD	-230.00	
	NET -2AVG SOLD 66.8625				-470.00	0.00
09OCT17	B 1 APR18	71.7250	71.9500	USD	90.00	
	NET +1AVG BGHT 71.7250				90.00	0.00
12OCT17	B 1 JUN18	79.9000	80.3000	USD	160.00	
	NET +1AVG BGHT 79.9000				160.00	0.00
12OCT17	S 2 JUL18	80.1750	80.4750	USD	-240.00	
	NET -2AVG SOLD 80.1750				-240.00	0.00
12OCT17	B 1 AUG18	79.4750	79.7750	USD	120.00	
	NET +1AVG BGHT 79.4750				120.00	0.00

OPEN POSITION VALUE

		<u>USD</u>
CME Cattle	FUTURES	-1,730.00
CME Feeder Cattle	FUTURES	275.00
CME Lean Hog	FUTURES	790.00

OPEN POSITION STATEMENT



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13th October 2017

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MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options	Amount	Profit / Loss	Total Amount	Total P&L
CATT - Futures USD	-150.00	-150.00		
FCAT - Futures USD	-150.00	-150.00		
HOGS - Futures USD	140.00	140.00	-160.00	-160.00

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	-4,645.00	-4,645.00
Variation Margins - Futures	-160.00	-160.00
- Options	0.00	0.00
TOTAL EQUITY	-4,805.00	-4,805.00
Initial Margins	-8,400.00	-8,400.00
Excess/(Shortage)	-13,205.00	-13,205.00
FOR INFORMATION ONLY		
Net Liquidating Value	-4,805.00	-4,805.00

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0119F

13th October 2017

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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
31AUG17	S	1	PD30NOV17	6797.00	6869.53	USD	-1,813.25	
31AUG17	S	1	PD30NOV17	6823.00	6869.53	USD	-1,163.25	
31AUG17	B	1	PD30NOV17	6839.50	6869.53	USD	750.75	
31AUG17	B	2	PD30NOV17	6844.00	6869.53	USD	1,276.50	
31AUG17	B	1	PD30NOV17	6845.50	6869.53	USD	600.75	
31AUG17	S	1	PD30NOV17	6828.00	6869.53	USD	-1,038.25	
31AUG17	S	1	PD30NOV17	6850.00	6869.53	USD	-488.25	
	NET	0 AVG	BGHT 6843.25	SOLD 6824.50			-1,875.00	0.00
01SEP17	S	1	PD01DEC17	6823.50	6870.00	USD	-1,162.50	
01SEP17	S	1	PD01DEC17	6824.50	6870.00	USD	-1,137.50	
01SEP17	B	1	PD01DEC17	6802.00	6870.00	USD	1,700.00	
01SEP17	B	1	PD01DEC17	6804.00	6870.00	USD	1,650.00	
01SEP17	S	1	PD01DEC17	6817.00	6870.00	USD	-1,325.00	
01SEP17	B	1	PD01DEC17	6805.50	6870.00	USD	1,612.50	
01SEP17	S	1	PD01DEC17	6822.00	6870.00	USD	-1,200.00	
01SEP17	S	1	PD01DEC17	6824.00	6870.00	USD	-1,150.00	
29SEP17	B	2	PD01DEC17	6471.50	6870.00	USD	19,925.00	
	NET	0 AVG	BGHT 6670.90	SOLD 6822.20			18,912.50	0.00
04SEP17	S	1	PD04DEC17	6891.00	6871.20	USD	495.00	
04SEP17	B	1	PD04DEC17	6917.00	6871.20	USD	-1,145.00	
	NET	0 AVG	BGHT 6917.00	SOLD 6891.00			-650.00	0.00
05SEP17	B	1	PD05DEC17	6955.50	6871.60	USD	-2,097.50	
05SEP17	B	1	PD05DEC17	6956.00	6871.60	USD	-2,110.00	
05SEP17	S	1	PD05DEC17	6893.00	6871.60	USD	535.00	
05SEP17	B	1	PD05DEC17	6894.00	6871.60	USD	-560.00	
05SEP17	S	1	PD05DEC17	6878.00	6871.60	USD	160.00	
05SEP17	B	1	PD05DEC17	6870.50	6871.60	USD	27.50	
29SEP17	S	2	PD05DEC17	6472.05	6871.60	USD	-19,977.50	
	NET	0 AVG	BGHT 6919.00	SOLD 6678.78			-24,022.50	0.00
06SEP17	B	2	PD06DEC17	6880.50	6872.00	USD	-425.00	
06SEP17	B	1	PD06DEC17	6890.50	6872.00	USD	-462.50	
06SEP17	S	2	PD06DEC17	6880.50	6872.00	USD	425.00	
06SEP17	S	2	PD06DEC17	6907.00	6872.00	USD	1,750.00	
06SEP17	S	1	PD06DEC17	6909.00	6872.00	USD	925.00	
06SEP17	S	1	PD06DEC17	6910.00	6872.00	USD	950.00	
06SEP17	S	1	PD06DEC17	6924.00	6872.00	USD	1,300.00	
06SEP17	B	2	PD06DEC17	6886.00	6872.00	USD	-700.00	
06SEP17	B	1	PD06DEC17	6887.00	6872.00	USD	-375.00	
06SEP17	B	1	PD06DEC17	6889.00	6872.00	USD	-425.00	
06SEP17	S	1	PD06DEC17	6878.00	6872.00	USD	150.00	
06SEP17	S	1	PD06DEC17	6892.00	6872.00	USD	500.00	
06SEP17	S	1	PD06DEC17	6899.00	6872.00	USD	675.00	
06SEP17	B	1	PD06DEC17	6900.50	6872.00	USD	-712.50	
06SEP17	S	1	PD06DEC17	6894.50	6872.00	USD	562.50	
29SEP17	B	3	PD06DEC17	6472.72	6872.00	USD	29,946.00	
	NET	0 AVG	BGHT 6774.38	SOLD 6898.32			34,083.50	0.00
07SEP17	B	1	PD07DEC17	6892.00	6872.42	USD	-489.50	
07SEP17	B	2	PD07DEC17	6900.50	6872.42	USD	-1,404.00	
29SEP17	S	3	PD07DEC17	6472.98	6872.42	USD	-29,958.00	
	NET	0 AVG	BGHT 6897.67	SOLD 6472.98			-31,851.50	0.00
08SEP17	B	1	PD08DEC17	6736.50	6872.83	USD	3,408.25	
08SEP17	S	1	PD08DEC17	6747.00	6872.83	USD	-3,145.75	
08SEP17	B	1	PD08DEC17	6715.50	6872.83	USD	3,933.25	
08SEP17	B	1	PD08DEC17	6702.50	6872.83	USD	4,258.25	
08SEP17	S	2	PD08DEC17	6712.00	6872.83	USD	-8,041.50	
	NET	0 AVG	BGHT 6718.17	SOLD 6723.67				0.00

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							412.50	
11SEP17	B	1	PD11DEC17		6738.50	6874.08	USD	3,389.50	
11SEP17	S	1	PD11DEC17		6744.00	6874.08	USD	-3,252.00	
	NET	0	AVG BGHT 6738.50	SOLD 6744.00				137.50	0.00
13SEP17	B	1	PD13DEC17		6620.50	6874.92	USD	6,360.50	
13SEP17	B	1	PD13DEC17		6621.00	6874.92	USD	6,348.00	
13SEP17	B	1	PD13DEC17		6631.50	6874.92	USD	6,085.50	
13SEP17	B	1	PD13DEC17		6645.50	6874.92	USD	5,735.50	
13SEP17	S	2	PD13DEC17		6629.50	6874.92	USD	-12,271.00	
13SEP17	S	1	PD13DEC17		6631.00	6874.92	USD	-6,098.00	
13SEP17	B	1	PD13DEC17		6610.50	6874.92	USD	6,610.50	
13SEP17	B	2	PD13DEC17		6608.00	6874.92	USD	13,346.00	
13SEP17	B	2	PD13DEC17		6612.00	6874.92	USD	13,146.00	
13SEP17	S	2	PD13DEC17		6604.50	6874.92	USD	-13,521.00	
13SEP17	S	2	PD13DEC17		6595.00	6874.92	USD	-13,996.00	
13SEP17	B	2	PD13DEC17		6590.50	6874.92	USD	14,221.00	
13SEP17	B	1	PD13DEC17		6576.00	6874.92	USD	7,473.00	
13SEP17	S	1	PD13DEC17		6558.50	6874.92	USD	-7,910.50	
13SEP17	S	1	PD13DEC17		6629.00	6874.92	USD	-6,148.00	
13SEP17	S	2	PD13DEC17		6544.00	6874.92	USD	-16,546.00	
13SEP17	S	1	PD13DEC17		6544.50	6874.92	USD	-8,260.50	
13SEP17	B	1	PD13DEC17		6556.00	6874.92	USD	7,973.00	
13SEP17	S	1	PD13DEC17		6569.00	6874.92	USD	-7,648.00	
13SEP17	B	1	PD13DEC17		6555.50	6874.92	USD	7,985.50	
13SEP17	B	1	PD13DEC17		6560.00	6874.92	USD	7,873.00	
13SEP17	B	1	PD13DEC17		6570.50	6874.92	USD	7,610.50	
29SEP17	S	3	PD13DEC17		6475.98	6874.92	USD	-29,920.50	
	NET	0	AVG BGHT 6598.00	SOLD 6569.12				-11,551.50	0.00
14SEP17	B	1	PD14DEC17		6512.00	6875.33	USD	9,083.25	
14SEP17	S	1	PD14DEC17		6513.50	6875.33	USD	-9,045.75	
14SEP17	S	1	PD14DEC17		6490.50	6875.33	USD	-9,620.75	
14SEP17	S	1	PD14DEC17		6492.50	6875.33	USD	-9,570.75	
14SEP17	S	1	PD14DEC17		6496.00	6875.33	USD	-9,483.25	
14SEP17	S	1	PD14DEC17		6499.00	6875.33	USD	-9,408.25	
14SEP17	B	2	PD14DEC17		6494.50	6875.33	USD	19,041.50	
14SEP17	S	1	PD14DEC17		6473.00	6875.33	USD	-10,058.25	
14SEP17	B	1	PD14DEC17		6501.00	6875.33	USD	9,358.25	
14SEP17	B	3	PD14DEC17		6502.50	6875.33	USD	27,962.25	
14SEP17	S	1	PD14DEC17		6499.50	6875.33	USD	-9,395.75	
14SEP17	S	1	PD14DEC17		6508.00	6875.33	USD	-9,183.25	
14SEP17	S	1	PD14DEC17		6511.00	6875.33	USD	-9,108.25	
14SEP17	S	1	PD14DEC17		6512.00	6875.33	USD	-9,083.25	
14SEP17	S	1	PD14DEC17		6514.00	6875.33	USD	-9,033.25	
14SEP17	S	1	PD14DEC17		6529.00	6875.33	USD	-8,658.25	
14SEP17	B	1	PD14DEC17		6522.00	6875.33	USD	8,833.25	
14SEP17	B	1	PD14DEC17		6522.50	6875.33	USD	8,820.75	
29SEP17	B	3	PD14DEC17		6476.65	6875.33	USD	29,901.00	
	NET	0	AVG BGHT 6498.66	SOLD 6503.17				1,351.25	0.00
15SEP17	S	2	PD15DEC17		6495.50	6875.75	USD	-19,012.50	
15SEP17	B	1	PD15DEC17		6488.00	6875.75	USD	9,693.75	
15SEP17	B	1	PD15DEC17		6490.00	6875.75	USD	9,643.75	
15SEP17	B	2	PD15DEC17		6492.00	6875.75	USD	19,187.50	
15SEP17	S	1	PD15DEC17		6484.50	6875.75	USD	-9,781.25	
15SEP17	S	1	PD15DEC17		6488.50	6875.75	USD	-9,681.25	
15SEP17	B	1	PD15DEC17		6491.00	6875.75	USD	9,618.75	
15SEP17	S	1	PD15DEC17		6488.00	6875.75	USD	-9,693.75	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
15SEP17	S	2	PD15DEC17	6477.00	6875.75	USD	-19,937.50	
15SEP17	S	1	PD15DEC17	6478.50	6875.75	USD	-9,931.25	
15SEP17	B	3	PD15DEC17	6482.50	6875.75	USD	29,493.75	
	NET	0AVG BGHT 6487.56	SOLD 6485.56				-400.00	0.00
18SEP17	B	1	PD18DEC17	6545.50	6877.00	USD	8,287.50	
18SEP17	S	1	PD18DEC17	6552.50	6877.00	USD	-8,112.50	
18SEP17	B	1	PD18DEC17	6536.00	6877.00	USD	8,525.00	
18SEP17	B	1	PD18DEC17	6532.00	6877.00	USD	8,625.00	
18SEP17	S	1	PD18DEC17	6540.00	6877.00	USD	-8,425.00	
18SEP17	S	1	PD18DEC17	6542.00	6877.00	USD	-8,375.00	
	NET	0AVG BGHT 6537.83	SOLD 6544.83				525.00	0.00
19SEP17	B	1	PD19DEC17	6515.50	6877.00	USD	9,037.50	
19SEP17	S	1	PD19DEC17	6521.00	6877.00	USD	-8,900.00	
19SEP17	B	1	PD19DEC17	6529.50	6877.00	USD	8,687.50	
19SEP17	B	1	PD19DEC17	6530.00	6877.00	USD	8,675.00	
19SEP17	S	2	PD19DEC17	6529.50	6877.00	USD	-17,375.00	
19SEP17	B	2	PD19DEC17	6530.50	6877.00	USD	17,325.00	
19SEP17	S	1	PD19DEC17	6527.00	6877.00	USD	-8,750.00	
19SEP17	S	1	PD19DEC17	6516.50	6877.00	USD	-9,012.50	
19SEP17	S	1	PD19DEC17	6529.00	6877.00	USD	-8,700.00	
19SEP17	B	1	PD19DEC17	6533.00	6877.00	USD	8,600.00	
19SEP17	B	1	PD19DEC17	6532.00	6877.00	USD	8,625.00	
19SEP17	B	1	PD19DEC17	6542.00	6877.00	USD	8,375.00	
19SEP17	S	1	PD19DEC17	6525.00	6877.00	USD	-8,800.00	
19SEP17	S	1	PD19DEC17	6525.50	6877.00	USD	-8,787.50	
19SEP17	B	3	PD19DEC17	6525.00	6877.00	USD	26,400.00	
19SEP17	S	1	PD19DEC17	6517.50	6877.00	USD	-8,987.50	
19SEP17	B	1	PD19DEC17	6516.00	6877.00	USD	9,025.00	
19SEP17	S	1	PD19DEC17	6508.00	6877.00	USD	-9,225.00	
19SEP17	S	1	PD19DEC17	6508.50	6877.00	USD	-9,212.50	
19SEP17	B	1	PD19DEC17	6518.50	6877.00	USD	8,962.50	
19SEP17	B	1	PD19DEC17	6520.00	6877.00	USD	8,925.00	
29SEP17	S	3	PD19DEC17	6478.78	6877.00	USD	-29,866.50	
	NET	0AVG BGHT 6526.61	SOLD 6512.38				-4,979.00	0.00
20SEP17	B	1	PD20DEC17	6560.00	6877.00	USD	7,925.00	
20SEP17	S	1	PD20DEC17	6559.50	6877.00	USD	-7,937.50	
20SEP17	S	1	PD20DEC17	6548.50	6877.00	USD	-8,212.50	
20SEP17	S	1	PD20DEC17	6549.50	6877.00	USD	-8,187.50	
29SEP17	B	2	PD20DEC17	6479.45	6877.00	USD	19,877.50	
	NET	0AVG BGHT 6506.30	SOLD 6552.50				3,465.00	0.00
21SEP17	B	1	PD21DEC17	6430.50	6876.00	USD	11,137.50	
21SEP17	B	1	PD21DEC17	6460.00	6876.00	USD	10,400.00	
21SEP17	S	1	PD21DEC17	6458.50	6876.00	USD	-10,437.50	
21SEP17	B	2	PD21DEC17	6450.00	6876.00	USD	21,300.00	
21SEP17	S	3	PD21DEC17	6455.00	6876.00	USD	-31,575.00	
21SEP17	S	2	PD21DEC17	6458.00	6876.00	USD	-20,900.00	
21SEP17	B	1	PD21DEC17	6460.50	6876.00	USD	10,387.50	
21SEP17	B	1	PD21DEC17	6431.00	6876.00	USD	11,125.00	
21SEP17	S	2	PD21DEC17	6470.00	6876.00	USD	-20,300.00	
21SEP17	B	1	PD21DEC17	6465.50	6876.00	USD	10,262.50	
21SEP17	B	1	PD21DEC17	6467.00	6876.00	USD	10,225.00	
21SEP17	S	1	PD21DEC17	6469.00	6876.00	USD	-10,175.00	
21SEP17	B	1	PD21DEC17	6477.00	6876.00	USD	9,975.00	
21SEP17	S	1	PD21DEC17	6484.00	6876.00	USD	-9,800.00	
29SEP17	B	1	PD21DEC17	6478.45	6876.00	USD	9,938.75	
	NET	0AVG BGHT 6457.00	SOLD 6463.25				1,563.75	0.00

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
22SEP17	B	1	PD22DEC17	6371.00	6875.00	USD	12,600.00	
22SEP17	S	1	PD22DEC17	6378.00	6875.00	USD	-12,425.00	
22SEP17	S	1	PD22DEC17	6398.00	6875.00	USD	-11,925.00	
22SEP17	S	1	PD22DEC17	6438.50	6875.00	USD	-10,912.50	
22SEP17	B	1	PD22DEC17	6442.00	6875.00	USD	10,825.00	
22SEP17	B	1	PD22DEC17	6391.00	6875.00	USD	12,100.00	
	NET	0 AVG	BGHT 6401.33	SOLD 6404.83			262.50	0.00
25SEP17	B	1	PD27DEC17	6441.50	6876.67	USD	10,879.25	
25SEP17	S	1	PD27DEC17	6447.00	6876.67	USD	-10,741.75	
26SEP17	S	1	PD27DEC17	6518.50	6876.67	USD	-8,954.25	
26SEP17	S	1	PD27DEC17	6520.00	6876.67	USD	-8,916.75	
26SEP17	B	1	PD27DEC17	6501.50	6876.67	USD	9,379.25	
26SEP17	B	1	PD27DEC17	6502.00	6876.67	USD	9,366.75	
26SEP17	B	1	PD27DEC17	6450.50	6876.67	USD	10,654.25	
26SEP17	B	2	PD27DEC17	6440.50	6876.67	USD	21,808.50	
26SEP17	S	1	PD27DEC17	6436.00	6876.67	USD	-11,016.75	
26SEP17	S	2	PD27DEC17	6439.00	6876.67	USD	-21,883.50	
26SEP17	B	1	PD27DEC17	6446.50	6876.67	USD	10,754.25	
26SEP17	B	1	PD27DEC17	6410.50	6876.67	USD	11,654.25	
26SEP17	B	2	PD27DEC17	6408.00	6876.67	USD	23,433.50	
26SEP17	S	1	PD27DEC17	6434.50	6876.67	USD	-11,054.25	
27SEP17	S	3	PD27DEC17	6452.00	6876.67	USD	-31,850.25	
27SEP17	S	1	PD27DEC17	6444.00	6876.67	USD	-10,816.75	
27SEP17	B	1	PD27DEC17	6436.50	6876.67	USD	11,004.25	
	NET	0 AVG	BGHT 6444.18	SOLD 6457.64			3,700.00	0.00
28SEP17	S	1	PD28DEC17	6398.00	6877.00	USD	-11,975.00	
28SEP17	S	1	PD28DEC17	6415.00	6877.00	USD	-11,550.00	
28SEP17	S	1	PD28DEC17	6427.00	6877.00	USD	-11,250.00	
28SEP17	B	1	PD28DEC17	6427.00	6877.00	USD	11,250.00	
28SEP17	B	1	PD28DEC17	6430.50	6877.00	USD	11,162.50	
28SEP17	B	1	PD28DEC17	6431.00	6877.00	USD	11,150.00	
28SEP17	B	1	PD28DEC17	6432.50	6877.00	USD	11,112.50	
28SEP17	S	1	PD28DEC17	6438.00	6877.00	USD	-10,975.00	
	NET	0 AVG	BGHT 6430.25	SOLD 6419.50			-1,075.00	0.00
29SEP17	B	1	PD29DEC17	6569.00	6877.33	USD	7,708.25	
29SEP17	S	1	PD29DEC17	6578.50	6877.33	USD	-7,470.75	
	NET	0 AVG	BGHT 6569.00	SOLD 6578.50			237.50	0.00
05OCT17	S	1	PD05JAN18	6629.00	6879.67	USD	-6,266.75	
05OCT17	S	1	PD05JAN18	6642.00	6879.67	USD	-5,941.75	
05OCT17	S	1	PD05JAN18	6643.00	6879.67	USD	-5,916.75	
	NET	-3 AVG	SOLD 6638.00				-18,125.25	0.00
10OCT17	B	1	PD10JAN18	6707.00	6881.33	USD	4,358.25	
10OCT17	B	1	PD10JAN18	6705.00	6881.33	USD	4,408.25	
10OCT17	B	1	PD10JAN18	6710.00	6881.33	USD	4,283.25	
	NET	+3 AVG	BGHT 6707.33				13,049.75	0.00
12OCT17	S	1	PD12JAN18	6860.50	6882.00	USD	-537.50	
13OCT17	S	1	PD12JAN18	6909.50	6882.00	USD	687.50	
13OCT17	B	1	PD12JAN18	6902.00	6882.00	USD	-500.00	
13OCT17	B	1	PD12JAN18	6913.50	6882.00	USD	-787.50	
13OCT17	S	1	PD12JAN18	6907.00	6882.00	USD	625.00	
	NET	-1 AVG	BGHT 6907.75	SOLD 6892.33			-512.50	0.00
	<u>CMX COPPER 25,000 CMX</u>							
12OCT17	B	1	DEC17	311.550	313.350	USD	450.00	
12OCT17	B	1	DEC17	311.550	313.350	USD	450.00	
	NET	+2 AVG	BGHT 311.550				900.00	0.00

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06SEP17	S	1	PD06DEC17	2322.00	2525.63	USD	-5,090.75	
06SEP17	S	1	PD06DEC17	2352.50	2525.63	USD	-4,328.25	
06SEP17	B	1	PD06DEC17	2365.00	2525.63	USD	4,015.75	
06SEP17	B	1	PD06DEC17	2332.50	2525.63	USD	4,828.25	
	NET	0 AVG BGHT 2348.75	SOLD 2337.25				-575.00	0.00
14SEP17	S	1	PD14DEC17	2309.00	2526.84	USD	-5,446.00	
14SEP17	B	1	PD14DEC17	2309.50	2526.84	USD	5,433.50	
	NET	0 AVG BGHT 2309.50	SOLD 2309.00				-12.50	0.00
19SEP17	S	1	PD19DEC17	2415.00	2527.60	USD	-2,815.00	
19SEP17	B	1	PD19DEC17	2400.00	2527.60	USD	3,190.00	
19SEP17	S	1	PD19DEC17	2414.00	2527.60	USD	-2,840.00	
19SEP17	B	1	PD19DEC17	2423.50	2527.60	USD	2,602.50	
	NET	0 AVG BGHT 2411.75	SOLD 2414.50				137.50	0.00
21SEP17	B	1	PD21DEC17	2432.50	2526.75	USD	2,356.25	
21SEP17	S	1	PD21DEC17	2445.50	2526.75	USD	-2,031.25	
	NET	0 AVG BGHT 2432.50	SOLD 2445.50				325.00	0.00
02OCT17	B	1	PD02JAN18	2512.50	2528.52	USD	400.50	
02OCT17	S	1	PD02JAN18	2522.50	2528.52	USD	-150.50	
	NET	0 AVG BGHT 2512.50	SOLD 2522.50				250.00	0.00
	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
08SEP17	B	1	PD08DEC17	11830.00	11649.27	USD	-1,084.38	
08SEP17	S	1	PD08DEC17	11780.00	11649.27	USD	784.38	
08SEP17	B	1	PD08DEC17	11545.00	11649.27	USD	625.62	
08SEP17	B	1	PD08DEC17	11550.00	11649.27	USD	595.62	
08SEP17	S	1	PD08DEC17	11600.00	11649.27	USD	-295.62	
08SEP17	S	1	PD08DEC17	11485.00	11649.27	USD	-985.62	
	NET	0 AVG BGHT 11641.67	SOLD 11621.67				-360.00	0.00
14SEP17	S	1	PD14DEC17	11410.00	11653.39	USD	-1,460.34	
14SEP17	B	1	PD14DEC17	11260.00	11653.39	USD	2,360.34	
	NET	0 AVG BGHT 11260.00	SOLD 11410.00				900.00	0.00
21SEP17	B	1	PD21DEC17	11090.00	11658.26	USD	3,409.56	
21SEP17	S	1	PD21DEC17	11130.00	11658.26	USD	-3,169.56	
	NET	0 AVG BGHT 11090.00	SOLD 11130.00				240.00	0.00
	<u>LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
29AUG17	B	1	PD29NOV17	20415.00	20668.13	USD	1,265.65	
29AUG17	S	1	PD29NOV17	20510.00	20668.13	USD	-790.65	
	NET	0 AVG BGHT 20415.00	SOLD 20510.00				475.00	0.00
13OCT17	B	1	PD12JAN18	20785.00	20600.00	USD	-925.00	
13OCT17	S	1	PD12JAN18	20845.00	20600.00	USD	1,225.00	
	NET	0 AVG BGHT 20785.00	SOLD 20845.00				300.00	0.00
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
05SEP17	B	1	PD05DEC17	3135.50	3245.75	USD	2,756.25	
05SEP17	S	1	PD05DEC17	3145.00	3245.75	USD	-2,518.75	
	NET	0 AVG BGHT 3135.50	SOLD 3145.00				237.50	0.00
15SEP17	S	1	PD15DEC17	3039.00	3243.75	USD	-5,118.75	
15SEP17	B	1	PD15DEC17	3030.50	3243.75	USD	5,331.25	
	NET	0 AVG BGHT 3030.50	SOLD 3039.00				212.50	0.00
22SEP17	B	1	PD22DEC17	3024.00	3239.62	USD	5,390.50	
22SEP17	S	1	PD22DEC17	3024.00	3239.62	USD	-5,390.50	
	NET	0 AVG BGHT 3024.00	SOLD 3024.00					0.00

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0119F

13th October 2017

Sapien Capital Limited
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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
					0.00	
02OCT17	S 1 PD02JAN18	3208.00	3238.08	USD	-752.00	
02OCT17	B 1 PD02JAN18	3214.00	3238.08	USD	602.00	
02OCT17	S 4 PD02JAN18	3233.00	3238.08	USD	-508.00	
02OCT17	B 3 PD02JAN18	3229.50	3238.08	USD	643.50	
02OCT17	B 1 PD02JAN18	3246.50	3238.08	USD	-210.50	
	NET 0AVG BGHT 3229.80 SOLD 3228.00				-225.00	0.00

OPEN POSITION VALUE

		USD
LME Copper Grade A 25	FUTURES	154,584.00
CMX Copper	FUTURES	-155,775.00
LME Lead 25 tonnes. This is an	FUTURES	125.00
LME Nickel 6 tonnes. This is	FUTURES	780.00
LME Tin 5 tonnes. This is an	FUTURES	775.00
LME Zinc 25 tonnes. This is	FUTURES	225.00

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options	Amount	Profit / Loss	Total Amount	Total P&L
COPR - Futures USD	900.00	900.00	900.00	900.00
Unrealised Profits & Losses - Forwards	Amount	Profit / Loss	Total Amount	Total P&L
COPL - Futures USD	-17,341.50	-17,341.50		
LEAD - Futures USD	125.00	125.00		
NKL - Futures USD	780.00	780.00		
TIN - Futures USD	775.00	775.00		
ZINC - Futures USD	225.00	225.00	-15,436.50	-15,436.50

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
(1.00000)	(1.00000)	
CASH BALANCE	12,518.28	12,518.28
Variation Margins - Futures	900.00	900.00
- Options	0.00	0.00
TOTAL EQUITY	13,418.28	13,418.28
Initial Margins	-34,752.50	-34,752.50
Excess/(Shortage)	-21,334.22	-21,334.22
FOR INFORMATION ONLY		
Forward Unrealised P&L	-15,436.50	-15,436.50
Net Liquidating Value	-2,018.22	-2,018.22

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	<u>LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.</u>							
20SEP17	B	1	PD20DEC17	2180.50	2130.50	USD	-1,250.00	
20SEP17	S	1	PD20DEC17	2177.00	2130.50	USD	1,162.50	
	NET	0AVG	BGHT 2180.50				-87.50	0.00
22SEP17	S	1	PD22DEC17	2165.50	2130.50	USD	875.00	
22SEP17	B	1	PD22DEC17	2153.50	2130.50	USD	-575.00	
	NET	0AVG	BGHT 2153.50				300.00	0.00
29SEP17	B	1	PD29DEC17	2140.00	2131.25	USD	-218.75	
29SEP17	S	1	PD29DEC17	2134.50	2131.25	USD	81.25	
	NET	0AVG	BGHT 2140.00				-137.50	0.00
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
18AUG17	B	1	PD17NOV17	6470.50	6863.47	USD	9,824.25	
18AUG17	S	1	PD17NOV17	6460.00	6863.47	USD	-10,086.75	
18AUG17	S	1	PD17NOV17	6464.50	6863.47	USD	-9,974.25	
18AUG17	B	1	PD17NOV17	6465.00	6863.47	USD	9,961.75	
	NET	0AVG	BGHT 6467.75				-275.00	0.00
21AUG17	B	1	PD21NOV17	6585.00	6865.33	USD	7,008.25	
21AUG17	S	1	PD21NOV17	6554.00	6865.33	USD	-7,783.25	
21AUG17	S	1	PD21NOV17	6542.00	6865.33	USD	-8,083.25	
21AUG17	S	1	PD21NOV17	6592.00	6865.33	USD	-6,833.25	
21AUG17	B	2	PD21NOV17	6543.00	6865.33	USD	16,116.50	
21AUG17	B	1	PD21NOV17	6595.00	6865.33	USD	6,758.25	
21AUG17	B	1	PD21NOV17	6599.50	6865.33	USD	6,645.75	
21AUG17	S	1	PD21NOV17	6595.00	6865.33	USD	-6,758.25	
21AUG17	S	1	PD21NOV17	6598.50	6865.33	USD	-6,670.75	
	NET	0AVG	BGHT 6573.10				400.00	0.00
22AUG17	B	2	PD22NOV17	6605.50	6865.80	USD	13,015.00	
22AUG17	B	1	PD22NOV17	6626.00	6865.80	USD	5,995.00	
22AUG17	B	1	PD22NOV17	6637.00	6865.80	USD	5,720.00	
22AUG17	S	2	PD22NOV17	6603.00	6865.80	USD	-13,140.00	
22AUG17	S	1	PD22NOV17	6627.50	6865.80	USD	-5,957.50	
22AUG17	S	2	PD22NOV17	6637.00	6865.80	USD	-11,440.00	
22AUG17	S	1	PD22NOV17	6627.00	6865.80	USD	-5,970.00	
22AUG17	B	1	PD22NOV17	6631.00	6865.80	USD	5,870.00	
22AUG17	B	1	PD22NOV17	6643.50	6865.80	USD	5,557.50	
	NET	0AVG	BGHT 6624.75				-350.00	0.00
23AUG17	B	1	PD24NOV17	6544.00	6866.73	USD	8,068.25	
23AUG17	S	1	PD24NOV17	6564.50	6866.73	USD	-7,555.75	
24AUG17	S	1	PD24NOV17	6705.00	6866.73	USD	-4,043.25	
24AUG17	S	1	PD24NOV17	6720.00	6866.73	USD	-3,668.25	
24AUG17	S	1	PD24NOV17	6729.50	6866.73	USD	-3,430.75	
25AUG17	B	1	PD24NOV17	6735.00	6866.73	USD	3,293.25	
25AUG17	B	2	PD24NOV17	6735.50	6866.73	USD	6,561.50	
	NET	0AVG	BGHT 6687.50				-775.00	0.00
31AUG17	S	1	PD30NOV17	6807.00	6869.53	USD	-1,563.25	
31AUG17	B	1	PD30NOV17	6827.00	6869.53	USD	1,063.25	
31AUG17	B	1	PD30NOV17	6844.00	6869.53	USD	638.25	
31AUG17	B	1	PD30NOV17	6846.00	6869.53	USD	588.25	
31AUG17	B	1	PD30NOV17	6851.00	6869.53	USD	463.25	
31AUG17	S	1	PD30NOV17	6869.50	6869.53	USD	-0.75	
31AUG17	B	1	PD30NOV17	6828.00	6869.53	USD	1,038.25	
31AUG17	S	1	PD30NOV17	6828.50	6869.53	USD	-1,025.75	
31AUG17	S	1	PD30NOV17	6829.00	6869.53	USD	-1,013.25	
31AUG17	B	1	PD30NOV17	6825.00	6869.53	USD	1,113.25	
31AUG17	S	2	PD30NOV17	6855.50	6869.53	USD	-701.50	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
31AUG17	S	1	PD30NOV17	6822.50	6869.53	USD	-1,175.75	
31AUG17	B	1	PD30NOV17	6786.00	6869.53	USD	2,088.25	
	NET	0AVG	BGHT 6829.57				1,512.50	0.00
01SEP17	S	1	PD01DEC17	6823.00	6870.00	USD	-1,175.00	
01SEP17	B	1	PD01DEC17	6804.00	6870.00	USD	1,650.00	
01SEP17	S	1	PD01DEC17	6821.00	6870.00	USD	-1,225.00	
01SEP17	S	1	PD01DEC17	6832.00	6870.00	USD	-950.00	
29SEP17	B	2	PD01DEC17	6471.50	6870.00	USD	19,925.00	
	NET	0AVG	BGHT 6582.33				18,225.00	0.00
04SEP17	S	1	PD04DEC17	6904.00	6871.20	USD	820.00	
04SEP17	B	1	PD04DEC17	6917.00	6871.20	USD	-1,145.00	
04SEP17	S	1	PD04DEC17	6913.00	6871.20	USD	1,045.00	
29SEP17	B	1	PD04DEC17	6472.06	6871.20	USD	9,978.50	
	NET	0AVG	BGHT 6694.53				10,698.50	0.00
05SEP17	B	1	PD05DEC17	6954.00	6871.60	USD	-2,060.00	
05SEP17	B	1	PD05DEC17	6955.00	6871.60	USD	-2,085.00	
05SEP17	B	1	PD05DEC17	6901.00	6871.60	USD	-735.00	
29SEP17	S	3	PD05DEC17	6472.05	6871.60	USD	-29,966.25	
	NET	0AVG	BGHT 6936.67				-34,846.25	0.00
06SEP17	B	1	PD06DEC17	6886.00	6872.00	USD	-350.00	
06SEP17	S	1	PD06DEC17	6910.00	6872.00	USD	950.00	
06SEP17	S	1	PD06DEC17	6924.00	6872.00	USD	1,300.00	
06SEP17	B	1	PD06DEC17	6884.00	6872.00	USD	-300.00	
06SEP17	S	1	PD06DEC17	6890.00	6872.00	USD	450.00	
06SEP17	S	1	PD06DEC17	6894.00	6872.00	USD	550.00	
06SEP17	B	1	PD06DEC17	6873.00	6872.00	USD	-25.00	
06SEP17	B	1	PD06DEC17	6871.00	6872.00	USD	25.00	
06SEP17	S	1	PD06DEC17	6891.00	6872.00	USD	475.00	
06SEP17	S	1	PD06DEC17	6892.00	6872.00	USD	500.00	
06SEP17	S	1	PD06DEC17	6906.00	6872.00	USD	850.00	
29SEP17	B	3	PD06DEC17	6472.72	6872.00	USD	29,946.00	
	NET	0AVG	BGHT 6704.59				34,371.00	0.00
07SEP17	B	1	PD07DEC17	6865.00	6872.42	USD	185.50	
07SEP17	S	1	PD07DEC17	6900.00	6872.42	USD	689.50	
	NET	0AVG	BGHT 6865.00				875.00	0.00
08SEP17	B	1	PD08DEC17	6925.00	6872.83	USD	-1,304.25	
08SEP17	B	1	PD08DEC17	6928.00	6872.83	USD	-1,379.25	
08SEP17	B	1	PD08DEC17	6885.00	6872.83	USD	-304.25	
08SEP17	B	1	PD08DEC17	6793.00	6872.83	USD	1,995.75	
08SEP17	B	2	PD08DEC17	6785.00	6872.83	USD	4,391.50	
08SEP17	S	1	PD08DEC17	6749.00	6872.83	USD	-3,095.75	
08SEP17	S	1	PD08DEC17	6747.00	6872.83	USD	-3,145.75	
08SEP17	S	1	PD08DEC17	6750.00	6872.83	USD	-3,070.75	
08SEP17	B	1	PD08DEC17	6714.00	6872.83	USD	3,970.75	
08SEP17	B	1	PD08DEC17	6683.00	6872.83	USD	4,745.75	
08SEP17	S	1	PD08DEC17	6675.00	6872.83	USD	-4,945.75	
08SEP17	S	1	PD08DEC17	6688.00	6872.83	USD	-4,620.75	
29SEP17	S	3	PD08DEC17	6473.45	6872.83	USD	-29,953.50	
	NET	0AVG	BGHT 6812.25				-36,716.25	0.00
13SEP17	B	2	PD13DEC17	6605.00	6874.92	USD	13,496.00	
13SEP17	B	1	PD13DEC17	6608.00	6874.92	USD	6,673.00	
13SEP17	S	2	PD13DEC17	6595.00	6874.92	USD	-13,996.00	
13SEP17	B	1	PD13DEC17	6600.00	6874.92	USD	6,873.00	
13SEP17	B	1	PD13DEC17	6601.00	6874.92	USD	6,848.00	
13SEP17	S	1	PD13DEC17	6579.50	6874.92	USD	-7,385.50	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
13SEP17	B	1	PD13DEC17	6569.00	6874.92	USD	7,648.00	
13SEP17	S	1	PD13DEC17	6544.00	6874.92	USD	-8,273.00	
13SEP17	S	1	PD13DEC17	6570.50	6874.92	USD	-7,610.50	
13SEP17	B	1	PD13DEC17	6556.00	6874.92	USD	7,973.00	
13SEP17	B	2	PD13DEC17	6565.00	6874.92	USD	15,496.00	
13SEP17	S	1	PD13DEC17	6564.00	6874.92	USD	-7,773.00	
29SEP17	S	3	PD13DEC17	6475.98	6874.92	USD	-29,920.50	
	NET	0 AVG	BGHT 6586.00	SOLD 6541.77			-9,951.50	0.00
14SEP17	S	1	PD14DEC17	6491.50	6875.33	USD	-9,595.75	
14SEP17	S	2	PD14DEC17	6493.00	6875.33	USD	-19,116.50	
14SEP17	S	1	PD14DEC17	6499.00	6875.33	USD	-9,408.25	
14SEP17	B	1	PD14DEC17	6490.50	6875.33	USD	9,620.75	
14SEP17	B	1	PD14DEC17	6477.00	6875.33	USD	9,958.25	
14SEP17	S	1	PD14DEC17	6483.00	6875.33	USD	-9,808.25	
14SEP17	B	1	PD14DEC17	6503.00	6875.33	USD	9,308.25	
14SEP17	S	1	PD14DEC17	6506.00	6875.33	USD	-9,233.25	
14SEP17	B	1	PD14DEC17	6529.00	6875.33	USD	8,658.25	
14SEP17	S	1	PD14DEC17	6526.00	6875.33	USD	-8,733.25	
29SEP17	B	3	PD14DEC17	6476.65	6875.33	USD	29,901.00	
	NET	0 AVG	BGHT 6489.92	SOLD 6498.79			1,551.25	0.00
15SEP17	S	2	PD15DEC17	6498.00	6875.75	USD	-18,887.50	
15SEP17	B	1	PD15DEC17	6511.50	6875.75	USD	9,106.25	
15SEP17	B	1	PD15DEC17	6512.00	6875.75	USD	9,093.75	
	NET	0 AVG	BGHT 6511.75	SOLD 6498.00			-687.50	0.00
18SEP17	B	2	PD18DEC17	6552.00	6877.00	USD	16,250.00	
18SEP17	S	1	PD18DEC17	6557.00	6877.00	USD	-8,000.00	
18SEP17	S	1	PD18DEC17	6559.00	6877.00	USD	-7,950.00	
18SEP17	B	1	PD18DEC17	6535.00	6877.00	USD	8,550.00	
18SEP17	S	1	PD18DEC17	6538.00	6877.00	USD	-8,475.00	
	NET	0 AVG	BGHT 6546.33	SOLD 6551.33			375.00	0.00
19SEP17	B	1	PD19DEC17	6520.00	6877.00	USD	8,925.00	
19SEP17	B	1	PD19DEC17	6532.50	6877.00	USD	8,612.50	
19SEP17	S	1	PD19DEC17	6518.00	6877.00	USD	-8,975.00	
19SEP17	S	1	PD19DEC17	6527.00	6877.00	USD	-8,750.00	
19SEP17	B	1	PD19DEC17	6514.00	6877.00	USD	9,075.00	
19SEP17	S	1	PD19DEC17	6508.50	6877.00	USD	-9,212.50	
19SEP17	B	1	PD19DEC17	6524.00	6877.00	USD	8,825.00	
19SEP17	B	1	PD19DEC17	6527.00	6877.00	USD	8,750.00	
29SEP17	S	2	PD19DEC17	6478.78	6877.00	USD	-19,911.00	
	NET	0 AVG	BGHT 6523.50	SOLD 6502.21			-2,661.00	0.00
20SEP17	S	3	PD20DEC17	6555.00	6877.00	USD	-24,150.00	
20SEP17	B	1	PD20DEC17	6561.00	6877.00	USD	7,900.00	
20SEP17	S	2	PD20DEC17	6560.00	6877.00	USD	-15,850.00	
20SEP17	B	2	PD20DEC17	6555.00	6877.00	USD	16,100.00	
29SEP17	B	2	PD20DEC17	6479.45	6877.00	USD	19,877.50	
	NET	0 AVG	BGHT 6525.98	SOLD 6557.00			3,877.50	0.00
21SEP17	B	1	PD21DEC17	6461.00	6876.00	USD	10,375.00	
21SEP17	S	1	PD21DEC17	6469.00	6876.00	USD	-10,175.00	
	NET	0 AVG	BGHT 6461.00	SOLD 6469.00			200.00	0.00
22SEP17	B	1	PD22DEC17	6397.00	6875.00	USD	11,950.00	
22SEP17	S	1	PD22DEC17	6396.00	6875.00	USD	-11,975.00	
22SEP17	B	1	PD22DEC17	6464.00	6875.00	USD	10,275.00	
22SEP17	S	2	PD22DEC17	6469.00	6875.00	USD	-20,300.00	
22SEP17	B	1	PD22DEC17	6462.50	6875.00	USD	10,312.50	
	NET	0 AVG	BGHT 6441.17	SOLD 6444.67			262.50	0.00

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FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
26SEP17	S	1	PD27DEC17	6518.00	6876.67	USD	-8,966.75	
26SEP17	S	1	PD27DEC17	6520.00	6876.67	USD	-8,916.75	
26SEP17	B	1	PD27DEC17	6506.00	6876.67	USD	9,266.75	
26SEP17	B	1	PD27DEC17	6512.00	6876.67	USD	9,116.75	
26SEP17	S	1	PD27DEC17	6438.00	6876.67	USD	-10,966.75	
26SEP17	B	2	PD27DEC17	6411.00	6876.67	USD	23,283.50	
26SEP17	B	1	PD27DEC17	6409.00	6876.67	USD	11,691.75	
26SEP17	B	1	PD27DEC17	6411.50	6876.67	USD	11,629.25	
27SEP17	S	1	PD27DEC17	6452.00	6876.67	USD	-10,616.75	
27SEP17	S	2	PD27DEC17	6454.50	6876.67	USD	-21,108.50	
	NET	0 AVG BGHT	6443.42		SOLD 6472.83		4,412.50	0.00
28SEP17	B	1	PD28DEC17	6433.00	6877.00	USD	11,100.00	
28SEP17	S	1	PD28DEC17	6437.50	6877.00	USD	-10,987.50	
	NET	0 AVG BGHT	6433.00		SOLD 6437.50		112.50	0.00
03OCT17	B	1	PD03JAN18	6521.00	6879.00	USD	8,950.00	
03OCT17	S	1	PD03JAN18	6527.50	6879.00	USD	-8,787.50	
	NET	0 AVG BGHT	6521.00		SOLD 6527.50		162.50	0.00
05OCT17	S	2	PD05JAN18	6589.50	6879.67	USD	-14,508.50	
05OCT17	S	1	PD05JAN18	6628.00	6879.67	USD	-6,291.75	
05OCT17	B	3	PD05JAN18	6636.00	6879.67	USD	18,275.25	
05OCT17	S	1	PD05JAN18	6634.00	6879.67	USD	-6,141.75	
05OCT17	S	1	PD05JAN18	6642.00	6879.67	USD	-5,941.75	
05OCT17	S	1	PD05JAN18	6643.00	6879.67	USD	-5,916.75	
05OCT17	S	1	PD05JAN18	6644.00	6879.67	USD	-5,891.75	
05OCT17	B	1	PD05JAN18	6661.00	6879.67	USD	5,466.75	
	NET	-3 AVG BGHT	6642.25		SOLD 6624.29		-20,950.25	0.00
10OCT17	B	1	PD10JAN18	6705.50	6881.33	USD	4,395.75	
10OCT17	B	1	PD10JAN18	6707.50	6881.33	USD	4,345.75	
10OCT17	B	2	PD10JAN18	6706.00	6881.33	USD	8,766.50	
10OCT17	S	1	PD10JAN18	6704.00	6881.33	USD	-4,433.25	
	NET	+3 AVG BGHT	6706.25		SOLD 6704.00		13,074.75	0.00
	<u>LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
18AUG17	B	1	PD17NOV17	2363.50	2522.75	USD	3,981.25	
18AUG17	S	1	PD17NOV17	2359.00	2522.75	USD	-4,093.75	
	NET	0 AVG BGHT	2363.50		SOLD 2359.00		-112.50	0.00
22AUG17	B	1	PD22NOV17	2423.00	2523.51	USD	2,512.75	
22AUG17	S	1	PD22NOV17	2418.00	2523.51	USD	-2,637.75	
22AUG17	B	1	PD22NOV17	2405.00	2523.51	USD	2,962.75	
22AUG17	S	1	PD22NOV17	2417.50	2523.51	USD	-2,650.25	
	NET	0 AVG BGHT	2414.00		SOLD 2417.75		187.50	0.00
08SEP17	S	1	PD08DEC17	2309.50	2525.93	USD	-5,410.75	
08SEP17	B	1	PD08DEC17	2269.00	2525.93	USD	6,423.25	
	NET	0 AVG BGHT	2269.00		SOLD 2309.50		1,012.50	0.00
22SEP17	B	1	PD22DEC17	2423.00	2526.90	USD	2,597.50	
22SEP17	S	1	PD22DEC17	2452.00	2526.90	USD	-1,872.50	
22SEP17	B	1	PD22DEC17	2455.00	2526.90	USD	1,797.50	
22SEP17	S	1	PD22DEC17	2420.50	2526.90	USD	-2,660.00	
	NET	0 AVG BGHT	2439.00		SOLD 2436.25		-137.50	0.00
03OCT17	S	1	PD03JAN18	2572.00	2528.67	USD	1,083.25	
03OCT17	B	1	PD03JAN18	2560.50	2528.67	USD	-795.75	
03OCT17	B	1	PD03JAN18	2590.50	2528.67	USD	-1,545.75	
03OCT17	S	1	PD03JAN18	2587.00	2528.67	USD	1,458.25	
	NET	0 AVG BGHT	2575.50		SOLD 2579.50		200.00	0.00

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0119G

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

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	<u>LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
04OCT17	B 1 PD04JAN18	2558.50	2528.82	USD	-742.00	
04OCT17	S 1 PD04JAN18	2555.50	2528.82	USD	667.00	
	NET 0AVG BGHT 2558.50 SOLD 2555.50				-75.00	0.00
	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
22AUG17	S 1 PD22NOV17	11400.00	11638.30	USD	-1,429.80	
22AUG17	B 1 PD22NOV17	11465.00	11638.30	USD	1,039.80	
	NET 0AVG BGHT 11465.00 SOLD 11400.00				-390.00	0.00
08SEP17	B 1 PD08DEC17	11750.00	11649.27	USD	-604.38	
08SEP17	S 1 PD08DEC17	11695.00	11649.27	USD	274.38	
08SEP17	B 2 PD08DEC17	11545.00	11649.27	USD	1,251.24	
08SEP17	B 2 PD08DEC17	11560.00	11649.27	USD	1,071.24	
08SEP17	S 1 PD08DEC17	11595.00	11649.27	USD	-325.62	
08SEP17	S 2 PD08DEC17	11480.00	11649.27	USD	-2,031.24	
08SEP17	S 2 PD08DEC17	11540.00	11649.27	USD	-1,311.24	
08SEP17	B 1 PD08DEC17	11580.00	11649.27	USD	415.62	
	NET 0AVG BGHT 11590.00 SOLD 11555.00				-1,260.00	0.00
15SEP17	S 1 PD15DEC17	11095.00	11654.07	USD	-3,354.42	
15SEP17	B 1 PD15DEC17	11115.00	11654.07	USD	3,234.42	
15SEP17	B 1 PD15DEC17	10875.00	11654.07	USD	4,674.42	
15SEP17	S 1 PD15DEC17	10845.00	11654.07	USD	-4,854.42	
	NET 0AVG BGHT 10995.00 SOLD 10970.00				-300.00	0.00
20SEP17	B 1 PD20DEC17	11300.00	11657.50	USD	2,145.00	
20SEP17	S 1 PD20DEC17	11365.00	11657.50	USD	-1,755.00	
	NET 0AVG BGHT 11300.00 SOLD 11365.00				390.00	0.00
29SEP17	B 1 PD29DEC17	10510.00	11664.35	USD	6,926.10	
29SEP17	S 1 PD29DEC17	10500.00	11664.35	USD	-6,986.10	
	NET 0AVG BGHT 10510.00 SOLD 10500.00				-60.00	0.00
	<u>LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
18AUG17	S 2 PD17NOV17	20200.00	20681.25	USD	-4,812.50	
18AUG17	B 2 PD17NOV17	20230.00	20681.25	USD	4,512.50	
	NET 0AVG BGHT 20230.00 SOLD 20200.00				-300.00	0.00
22AUG17	S 2 PD22NOV17	20415.00	20675.63	USD	-2,606.30	
22AUG17	B 2 PD22NOV17	20390.00	20675.63	USD	2,856.30	
	NET 0AVG BGHT 20390.00 SOLD 20415.00				250.00	0.00
25AUG17	S 1 PD24NOV17	20430.00	20673.75	USD	-1,218.75	
25AUG17	B 1 PD24NOV17	20405.00	20673.75	USD	1,343.75	
25AUG17	S 1 PD24NOV17	20340.00	20673.75	USD	-1,668.75	
25AUG17	B 1 PD24NOV17	20325.00	20673.75	USD	1,743.75	
	NET 0AVG BGHT 20365.00 SOLD 20385.00				200.00	0.00
29AUG17	S 1 PD29NOV17	20590.00	20668.13	USD	-390.65	
29AUG17	B 1 PD29NOV17	20555.00	20668.13	USD	565.65	
29AUG17	S 1 PD29NOV17	20570.00	20668.13	USD	-490.65	
29AUG17	B 1 PD29NOV17	20530.00	20668.13	USD	690.65	
29AUG17	S 1 PD29NOV17	20435.00	20668.13	USD	-1,165.65	
29AUG17	B 1 PD29NOV17	20510.00	20668.13	USD	790.65	
	NET 0AVG BGHT 20531.67 SOLD 20531.67				0.00	0.00
30AUG17	B 1 PD30NOV17	20555.00	20666.25	USD	556.25	
30AUG17	S 2 PD30NOV17	20530.00	20666.25	USD	-1,362.50	
30AUG17	S 2 PD30NOV17	20545.00	20666.25	USD	-1,212.50	
30AUG17	B 3 PD30NOV17	20550.00	20666.25	USD	1,743.75	
	NET 0AVG BGHT 20551.25 SOLD 20537.50				-275.00	0.00

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	<u>LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
01SEP17	S	1	PD01DEC17	20725.00	20664.38	USD	303.10	
01SEP17	B	1	PD01DEC17	20705.00	20664.38	USD	-203.10	
	NET	0 AVG	BGHT 20705.00				100.00	0.00
04SEP17	S	1	PD04DEC17	20730.00	20662.50	USD	337.50	
04SEP17	B	1	PD04DEC17	20760.00	20662.50	USD	-487.50	
	NET	0 AVG	BGHT 20760.00				-150.00	0.00
07SEP17	B	1	PD07DEC17	20730.00	20656.88	USD	-365.60	
07SEP17	S	1	PD07DEC17	20730.00	20656.88	USD	365.60	
07SEP17	B	1	PD07DEC17	20700.00	20656.88	USD	-215.60	
07SEP17	S	1	PD07DEC17	20735.00	20656.88	USD	390.60	
	NET	0 AVG	BGHT 20715.00				175.00	0.00
13SEP17	S	1	PD13DEC17	20735.00	20649.38	USD	428.10	
13SEP17	B	1	PD13DEC17	20720.00	20649.38	USD	-353.10	
	NET	0 AVG	BGHT 20720.00				75.00	0.00
14SEP17	B	1	PD14DEC17	20630.00	20647.50	USD	87.50	
14SEP17	S	1	PD14DEC17	20650.00	20647.50	USD	12.50	
	NET	0 AVG	BGHT 20630.00				100.00	0.00
15SEP17	S	2	PD15DEC17	20500.00	20645.63	USD	-1,456.30	
15SEP17	B	1	PD15DEC17	20515.00	20645.63	USD	653.15	
15SEP17	B	1	PD15DEC17	20520.00	20645.63	USD	628.15	
	NET	0 AVG	BGHT 20517.50				-175.00	0.00
22SEP17	S	1	PD22DEC17	20470.00	20634.29	USD	-821.45	
22SEP17	B	1	PD22DEC17	20430.00	20634.29	USD	1,021.45	
	NET	0 AVG	BGHT 20430.00				200.00	0.00
05OCT17	B	2	PD05JAN18	20900.00	20614.29	USD	-2,857.10	
05OCT17	S	1	PD05JAN18	20945.00	20614.29	USD	1,653.55	
05OCT17	S	1	PD05JAN18	20960.00	20614.29	USD	1,728.55	
05OCT17	S	1	PD05JAN18	20970.00	20614.29	USD	1,778.55	
05OCT17	B	1	PD05JAN18	21030.00	20614.29	USD	-2,078.55	
05OCT17	B	1	PD05JAN18	21145.00	20614.29	USD	-2,653.55	
05OCT17	S	1	PD05JAN18	21110.00	20614.29	USD	2,478.55	
06OCT17	B	1	PD05JAN18	21005.00	20614.29	USD	-1,953.55	
06OCT17	S	1	PD05JAN18	21025.00	20614.29	USD	2,053.55	
06OCT17	S	2	PD05JAN18	20800.00	20614.29	USD	1,857.10	
06OCT17	B	2	PD05JAN18	20630.00	20614.29	USD	-157.10	
	NET	0 AVG	BGHT 20891.43				1,850.00	0.00
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
22SEP17	B	1	PD22DEC17	3050.00	3239.62	USD	4,740.50	
22SEP17	S	1	PD22DEC17	3058.00	3239.62	USD	-4,540.50	
22SEP17	B	1	PD22DEC17	3011.00	3239.62	USD	5,715.50	
22SEP17	S	1	PD22DEC17	3024.00	3239.62	USD	-5,390.50	
22SEP17	B	1	PD22DEC17	3010.50	3239.62	USD	5,728.00	
22SEP17	S	1	PD22DEC17	3021.00	3239.62	USD	-5,465.50	
	NET	0 AVG	BGHT 3023.83				787.50	0.00
29SEP17	S	1	PD29DEC17	3149.00	3238.46	USD	-2,236.50	
29SEP17	B	1	PD29DEC17	3161.00	3238.46	USD	1,936.50	
	NET	0 AVG	BGHT 3161.00				-300.00	0.00
02OCT17	S	2	PD02JAN18	3243.00	3238.08	USD	246.00	
02OCT17	S	2	PD02JAN18	3244.00	3238.08	USD	296.00	
02OCT17	B	1	PD02JAN18	3232.00	3238.08	USD	152.00	
02OCT17	B	2	PD02JAN18	3235.00	3238.08	USD	154.00	
02OCT17	B	1	PD02JAN18	3235.50	3238.08	USD	64.50	
	NET	0 AVG	BGHT 3234.38				912.50	0.00

OPEN POSITION STATEMENT



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SAP0119G

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
03OCT17	S 1 PD03JAN18	3275.00	3237.69	USD	932.75	
03OCT17	B 1 PD03JAN18	3290.50	3237.69	USD	-1,320.25	
03OCT17	S 1 PD03JAN18	3287.50	3237.69	USD	1,245.25	
03OCT17	S 1 PD03JAN18	3288.50	3237.69	USD	1,270.25	
03OCT17	B 1 PD03JAN18	3282.00	3237.69	USD	-1,107.75	
03OCT17	B 1 PD03JAN18	3275.00	3237.69	USD	-932.75	
	NET 0 AVG BGHT 3282.50 SOLD 3283.67				87.50	0.00

OPEN POSITION VALUE

		USD
LME Aluminium 25 Tonnes.	FUTURES	75.00
LME Copper Grade A 25	FUTURES	-17,226.75
LME Lead 25 tonnes. This is an	FUTURES	1,075.00
LME Nickel 6 tonnes. This is	FUTURES	-1,620.00
LME Tin 5 tonnes. This is an	FUTURES	2,050.00
LME Zinc 25 tonnes. This is	FUTURES	1,487.50

MARKET REVALUATION BREAKDOWN

Unrealised Profits & Losses - Forwards	Amount	Profit / Loss	Total Amount	Total P&L
ALUL - Futures USD	75.00	75.00		
COPL - Futures USD	-17,102.25	-17,102.25		
LEAD - Futures USD	1,075.00	1,075.00		
NKL - Futures USD	-1,620.00	-1,620.00		
TIN - Futures USD	2,050.00	2,050.00		
ZINC - Futures USD	1,487.50	1,487.50	-14,034.75	-14,034.75

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
(1.00000)	(1.00000)	
CASH BALANCE	13,941.36	13,941.36
Variation Margins - Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	13,941.36	13,941.36
Initial Margins	-14,564.75	-14,564.75
Excess/(Shortage)	-623.39	-623.39
FOR INFORMATION ONLY		
Forward Unrealised P&L	-14,034.75	-14,034.75
Net Liquidating Value	-93.39	-93.39

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
21AUG17	S	1	PD21NOV17	6557.00	6865.33	USD	-7,708.25	
21AUG17	B	1	PD21NOV17	6545.00	6865.33	USD	8,008.25	
21AUG17	B	1	PD21NOV17	6552.00	6865.33	USD	7,833.25	
21AUG17	S	1	PD21NOV17	6545.00	6865.33	USD	-8,008.25	
21AUG17	B	1	PD21NOV17	6595.00	6865.33	USD	6,758.25	
21AUG17	S	1	PD21NOV17	6591.00	6865.33	USD	-6,858.25	
	NET	0 AVG	BGHT 6564.00				25.00	0.00
	SOLD 6564.33							
22AUG17	S	1	PD22NOV17	6626.00	6865.80	USD	-5,995.00	
22AUG17	B	1	PD22NOV17	6620.00	6865.80	USD	6,145.00	
22AUG17	B	1	PD22NOV17	6575.00	6865.80	USD	7,270.00	
22AUG17	S	1	PD22NOV17	6589.50	6865.80	USD	-6,907.50	
	NET	0 AVG	BGHT 6597.50				512.50	0.00
	SOLD 6607.75							
23AUG17	B	1	PD24NOV17	6547.00	6866.73	USD	7,993.25	
23AUG17	B	1	PD24NOV17	6549.00	6866.73	USD	7,943.25	
23AUG17	S	1	PD24NOV17	6547.00	6866.73	USD	-7,993.25	
23AUG17	S	1	PD24NOV17	6570.00	6866.73	USD	-7,418.25	
	NET	0 AVG	BGHT 6548.00				525.00	0.00
	SOLD 6558.50							
30AUG17	B	1	PD30NOV17	6810.50	6869.53	USD	1,475.75	
30AUG17	B	1	PD30NOV17	6811.00	6869.53	USD	1,463.25	
30AUG17	B	1	PD30NOV17	6796.00	6869.53	USD	1,838.25	
30AUG17	S	3	PD30NOV17	6793.00	6869.53	USD	-5,739.75	
31AUG17	S	1	PD30NOV17	6808.00	6869.53	USD	-1,538.25	
31AUG17	B	1	PD30NOV17	6827.00	6869.53	USD	1,063.25	
31AUG17	B	1	PD30NOV17	6828.50	6869.53	USD	1,025.75	
31AUG17	S	1	PD30NOV17	6860.00	6869.53	USD	-238.25	
31AUG17	S	1	PD30NOV17	6822.50	6869.53	USD	-1,175.75	
31AUG17	B	1	PD30NOV17	6793.00	6869.53	USD	1,913.25	
	NET	0 AVG	BGHT 6811.00				87.50	0.00
	SOLD 6811.58							
01SEP17	B	1	PD01DEC17	6807.00	6870.00	USD	1,575.00	
01SEP17	S	1	PD01DEC17	6820.00	6870.00	USD	-1,250.00	
01SEP17	S	1	PD01DEC17	6825.00	6870.00	USD	-1,125.00	
29SEP17	B	1	PD01DEC17	6471.50	6870.00	USD	9,962.50	
	NET	0 AVG	BGHT 6639.25				9,162.50	0.00
	SOLD 6822.50							
04SEP17	S	1	PD04DEC17	6904.50	6871.20	USD	832.50	
04SEP17	B	1	PD04DEC17	6913.00	6871.20	USD	-1,045.00	
04SEP17	S	1	PD04DEC17	6914.00	6871.20	USD	1,070.00	
29SEP17	B	1	PD04DEC17	6472.06	6871.20	USD	9,978.50	
	NET	0 AVG	BGHT 6692.53				10,836.00	0.00
	SOLD 6909.25							
05SEP17	B	2	PD05DEC17	6951.00	6871.60	USD	-3,970.00	
29SEP17	S	2	PD05DEC17	6472.05	6871.60	USD	-19,977.50	
	NET	0 AVG	BGHT 6951.00				-23,947.50	0.00
	SOLD 6472.05							
06SEP17	S	1	PD06DEC17	6886.00	6872.00	USD	350.00	
06SEP17	S	1	PD06DEC17	6924.00	6872.00	USD	1,300.00	
06SEP17	B	1	PD06DEC17	6886.50	6872.00	USD	-362.50	
06SEP17	B	1	PD06DEC17	6892.00	6872.00	USD	-500.00	
06SEP17	S	1	PD06DEC17	6880.00	6872.00	USD	200.00	
06SEP17	B	1	PD06DEC17	6869.00	6872.00	USD	75.00	
06SEP17	S	1	PD06DEC17	6890.00	6872.00	USD	450.00	
06SEP17	S	1	PD06DEC17	6922.50	6872.00	USD	1,262.50	
29SEP17	B	2	PD06DEC17	6472.72	6872.00	USD	19,964.00	
	NET	0 AVG	BGHT 6718.59				22,739.00	0.00
	SOLD 6900.50							
07SEP17	B	1	PD07DEC17	6900.00	6872.42	USD	-689.50	
07SEP17	S	1	PD07DEC17	6899.50	6872.42	USD	677.00	
07SEP17	B	1	PD07DEC17	6869.00	6872.42	USD	85.50	
07SEP17	S	1	PD07DEC17	6885.00	6872.42	USD	314.50	

OPEN POSITION STATEMENT



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SAP0119H

13th October 2017

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>								
07SEP17	S	1	PD07DEC17		6892.00	6872.42	USD	489.50	
29SEP17	B	1	PD07DEC17		6473.18	6872.42	USD	9,981.00	
	NET	0AVG	BGHT 6747.39	SOLD 6892.17				10,858.00	0.00
08SEP17	B	1	PD08DEC17		6900.00	6872.83	USD	-679.25	
08SEP17	B	1	PD08DEC17		6922.00	6872.83	USD	-1,229.25	
08SEP17	B	1	PD08DEC17		6926.00	6872.83	USD	-1,329.25	
08SEP17	B	1	PD08DEC17		6789.00	6872.83	USD	2,095.75	
08SEP17	B	1	PD08DEC17		6702.00	6872.83	USD	4,270.75	
08SEP17	S	2	PD08DEC17		6700.00	6872.83	USD	-8,641.50	
08SEP17	B	1	PD08DEC17		6706.00	6872.83	USD	4,170.75	
08SEP17	S	1	PD08DEC17		6702.00	6872.83	USD	-4,270.75	
08SEP17	B	1	PD08DEC17		6694.00	6872.83	USD	4,470.75	
08SEP17	B	1	PD08DEC17		6695.00	6872.83	USD	4,445.75	
08SEP17	B	1	PD08DEC17		6670.50	6872.83	USD	5,058.25	
08SEP17	B	1	PD08DEC17		6683.00	6872.83	USD	4,745.75	
08SEP17	S	1	PD08DEC17		6679.00	6872.83	USD	-4,845.75	
08SEP17	S	1	PD08DEC17		6689.00	6872.83	USD	-4,595.75	
08SEP17	S	1	PD08DEC17		6669.00	6872.83	USD	-5,095.75	
29SEP17	S	3	PD08DEC17		6473.45	6872.83	USD	-29,953.50	
29SEP17	S	1	PD08DEC17		6473.65	6872.83	USD	-9,979.50	
	NET	0AVG	BGHT 6768.75	SOLD 6603.30				-41,362.50	0.00
11SEP17	S	1	PD11DEC17		6700.00	6874.08	USD	-4,352.00	
29SEP17	B	1	PD11DEC17		6475.25	6874.08	USD	9,970.75	
	NET	0AVG	BGHT 6475.25	SOLD 6700.00				5,618.75	0.00
13SEP17	B	1	PD13DEC17		6605.00	6874.92	USD	6,748.00	
13SEP17	B	2	PD13DEC17		6561.00	6874.92	USD	15,696.00	
13SEP17	S	1	PD13DEC17		6558.00	6874.92	USD	-7,923.00	
13SEP17	S	1	PD13DEC17		6550.00	6874.92	USD	-8,123.00	
13SEP17	S	1	PD13DEC17		6556.00	6874.92	USD	-7,973.00	
	NET	0AVG	BGHT 6575.67	SOLD 6554.67				-1,575.00	0.00
14SEP17	B	1	PD14DEC17		6565.00	6875.33	USD	7,758.25	
14SEP17	B	1	PD14DEC17		6520.00	6875.33	USD	8,883.25	
14SEP17	B	1	PD14DEC17		6465.00	6875.33	USD	10,258.25	
14SEP17	S	1	PD14DEC17		6467.00	6875.33	USD	-10,208.25	
14SEP17	B	1	PD14DEC17		6504.00	6875.33	USD	9,283.25	
14SEP17	S	1	PD14DEC17		6490.00	6875.33	USD	-9,633.25	
14SEP17	S	1	PD14DEC17		6504.00	6875.33	USD	-9,283.25	
14SEP17	S	2	PD14DEC17		6514.50	6875.33	USD	-18,041.50	
14SEP17	B	1	PD14DEC17		6516.00	6875.33	USD	8,983.25	
14SEP17	S	1	PD14DEC17		6526.00	6875.33	USD	-8,733.25	
14SEP17	B	1	PD14DEC17		6526.00	6875.33	USD	8,733.25	
	NET	0AVG	BGHT 6516.00	SOLD 6502.67				-2,000.00	0.00
15SEP17	B	1	PD15DEC17		6490.00	6875.75	USD	9,643.75	
15SEP17	S	1	PD15DEC17		6489.00	6875.75	USD	-9,668.75	
15SEP17	S	1	PD15DEC17		6495.00	6875.75	USD	-9,518.75	
15SEP17	B	1	PD15DEC17		6475.00	6875.75	USD	10,018.75	
15SEP17	B	1	PD15DEC17		6482.00	6875.75	USD	9,843.75	
15SEP17	S	1	PD15DEC17		6478.50	6875.75	USD	-9,931.25	
15SEP17	B	1	PD15DEC17		6491.50	6875.75	USD	9,606.25	
15SEP17	S	1	PD15DEC17		6479.50	6875.75	USD	-9,906.25	
	NET	0AVG	BGHT 6484.63	SOLD 6485.50				87.50	0.00
18SEP17	B	1	PD18DEC17		6542.00	6877.00	USD	8,375.00	
18SEP17	B	1	PD18DEC17		6544.00	6877.00	USD	8,325.00	
18SEP17	S	1	PD18DEC17		6542.00	6877.00	USD	-8,375.00	
18SEP17	B	2	PD18DEC17		6539.50	6877.00	USD	16,875.00	
18SEP17	S	3	PD18DEC17		6538.00	6877.00	USD	-25,425.00	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
18SEP17	B	1	PD18DEC17	6527.00	6877.00	USD	8,750.00	
18SEP17	S	1	PD18DEC17	6520.00	6877.00	USD	-8,925.00	
18SEP17	B	1	PD18DEC17	6519.00	6877.00	USD	8,950.00	
18SEP17	B	1	PD18DEC17	6520.50	6877.00	USD	8,912.50	
18SEP17	S	2	PD18DEC17	6518.50	6877.00	USD	-17,925.00	
	NET	0AVG BGHT 6533.07	SOLD 6530.43				-462.50	0.00
19SEP17	B	1	PD19DEC17	6521.50	6877.00	USD	8,887.50	
19SEP17	B	1	PD19DEC17	6530.00	6877.00	USD	8,675.00	
19SEP17	S	1	PD19DEC17	6518.00	6877.00	USD	-8,975.00	
19SEP17	S	1	PD19DEC17	6526.00	6877.00	USD	-8,775.00	
19SEP17	B	1	PD19DEC17	6526.50	6877.00	USD	8,762.50	
19SEP17	S	1	PD19DEC17	6525.50	6877.00	USD	-8,787.50	
19SEP17	B	1	PD19DEC17	6514.00	6877.00	USD	9,075.00	
19SEP17	B	1	PD19DEC17	6520.00	6877.00	USD	8,925.00	
19SEP17	S	1	PD19DEC17	6510.00	6877.00	USD	-9,175.00	
19SEP17	B	1	PD19DEC17	6515.00	6877.00	USD	9,050.00	
29SEP17	S	2	PD19DEC17	6478.78	6877.00	USD	-19,911.00	
	NET	0AVG BGHT 6521.17	SOLD 6506.18				-2,248.50	0.00
20SEP17	S	1	PD20DEC17	6554.00	6877.00	USD	-8,075.00	
20SEP17	S	1	PD20DEC17	6554.50	6877.00	USD	-8,062.50	
20SEP17	B	1	PD20DEC17	6558.00	6877.00	USD	7,975.00	
20SEP17	S	1	PD20DEC17	6555.00	6877.00	USD	-8,050.00	
20SEP17	B	1	PD20DEC17	6512.00	6877.00	USD	9,125.00	
29SEP17	B	1	PD20DEC17	6479.45	6877.00	USD	9,938.75	
	NET	0AVG BGHT 6516.48	SOLD 6554.50				2,851.25	0.00
21SEP17	S	1	PD21DEC17	6450.00	6876.00	USD	-10,650.00	
21SEP17	B	1	PD21DEC17	6460.00	6876.00	USD	10,400.00	
21SEP17	S	1	PD21DEC17	6455.00	6876.00	USD	-10,525.00	
21SEP17	S	1	PD21DEC17	6449.50	6876.00	USD	-10,662.50	
21SEP17	B	1	PD21DEC17	6444.00	6876.00	USD	10,800.00	
29SEP17	B	1	PD21DEC17	6478.45	6876.00	USD	9,938.75	
	NET	0AVG BGHT 6460.82	SOLD 6451.50				-698.75	0.00
22SEP17	B	1	PD22DEC17	6408.00	6875.00	USD	11,675.00	
22SEP17	B	1	PD22DEC17	6418.00	6875.00	USD	11,425.00	
22SEP17	S	1	PD22DEC17	6415.00	6875.00	USD	-11,500.00	
22SEP17	S	1	PD22DEC17	6405.00	6875.00	USD	-11,750.00	
22SEP17	B	1	PD22DEC17	6375.00	6875.00	USD	12,500.00	
22SEP17	S	1	PD22DEC17	6370.00	6875.00	USD	-12,625.00	
22SEP17	S	1	PD22DEC17	6396.00	6875.00	USD	-11,975.00	
22SEP17	B	1	PD22DEC17	6401.00	6875.00	USD	11,850.00	
22SEP17	S	1	PD22DEC17	6409.50	6875.00	USD	-11,637.50	
22SEP17	B	1	PD22DEC17	6413.00	6875.00	USD	11,550.00	
	NET	0AVG BGHT 6403.00	SOLD 6399.10				-487.50	0.00
25SEP17	B	1	PD27DEC17	6457.50	6876.67	USD	10,479.25	
25SEP17	S	1	PD27DEC17	6459.00	6876.67	USD	-10,441.75	
26SEP17	S	1	PD27DEC17	6521.00	6876.67	USD	-8,891.75	
26SEP17	S	1	PD27DEC17	6525.00	6876.67	USD	-8,791.75	
26SEP17	B	1	PD27DEC17	6505.00	6876.67	USD	9,291.75	
26SEP17	S	1	PD27DEC17	6516.00	6876.67	USD	-9,016.75	
26SEP17	B	1	PD27DEC17	6500.00	6876.67	USD	9,416.75	
26SEP17	B	1	PD27DEC17	6501.00	6876.67	USD	9,391.75	
26SEP17	B	1	PD27DEC17	6431.50	6876.67	USD	11,129.25	
26SEP17	S	1	PD27DEC17	6433.50	6876.67	USD	-11,079.25	
26SEP17	S	1	PD27DEC17	6439.50	6876.67	USD	-10,929.25	
26SEP17	S	1	PD27DEC17	6427.50	6876.67	USD	-11,229.25	
26SEP17	B	1	PD27DEC17	6413.00	6876.67	USD	11,591.75	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
26SEP17	B	1	PD27DEC17	6411.00	6876.67	USD	11,641.75	
26SEP17	B	1	PD27DEC17	6428.50	6876.67	USD	11,204.25	
26SEP17	B	1	PD27DEC17	6438.00	6876.67	USD	10,966.75	
27SEP17	S	1	PD27DEC17	6425.00	6876.67	USD	-11,291.75	
27SEP17	B	1	PD27DEC17	6449.00	6876.67	USD	10,691.75	
27SEP17	S	1	PD27DEC17	6448.00	6876.67	USD	-10,716.75	
27SEP17	S	1	PD27DEC17	6452.00	6876.67	USD	-10,616.75	
	NET	0 AVG	BGHT 6453.45				2,800.00	0.00
28SEP17	B	1	PD28DEC17	6395.50	6877.00	USD	12,037.50	
28SEP17	S	1	PD28DEC17	6400.50	6877.00	USD	-11,912.50	
28SEP17	B	1	PD28DEC17	6410.00	6877.00	USD	11,675.00	
28SEP17	S	1	PD28DEC17	6425.50	6877.00	USD	-11,287.50	
28SEP17	S	1	PD28DEC17	6442.00	6877.00	USD	-10,875.00	
28SEP17	B	1	PD28DEC17	6443.00	6877.00	USD	10,850.00	
28SEP17	B	1	PD28DEC17	6443.50	6877.00	USD	10,837.50	
28SEP17	S	1	PD28DEC17	6447.50	6877.00	USD	-10,737.50	
28SEP17	B	1	PD28DEC17	6461.50	6877.00	USD	10,387.50	
28SEP17	S	1	PD28DEC17	6457.00	6877.00	USD	-10,500.00	
	NET	0 AVG	BGHT 6430.70				475.00	0.00
29SEP17	S	1	PD29DEC17	6568.50	6877.33	USD	-7,720.75	
29SEP17	B	1	PD29DEC17	6547.00	6877.33	USD	8,258.25	
	NET	0 AVG	BGHT 6547.00				537.50	0.00
05OCT17	S	2	PD05JAN18	6679.00	6879.67	USD	-10,033.50	
05OCT17	S	1	PD05JAN18	6680.00	6879.67	USD	-4,991.75	
05OCT17	B	1	PD05JAN18	6680.00	6879.67	USD	4,991.75	
05OCT17	S	1	PD05JAN18	6684.00	6879.67	USD	-4,891.75	
	NET	-3 AVG	BGHT 6680.00				-14,925.25	0.00
10OCT17	B	1	PD10JAN18	6721.50	6881.33	USD	3,995.75	
10OCT17	B	1	PD10JAN18	6704.00	6881.33	USD	4,433.25	
10OCT17	B	2	PD10JAN18	6706.00	6881.33	USD	8,766.50	
10OCT17	S	1	PD10JAN18	6729.50	6881.33	USD	-3,795.75	
	NET	+3 AVG	BGHT 6709.38				13,399.75	0.00
	<u>LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
22AUG17	S	1	PD22NOV17	2410.00	2523.51	USD	-2,837.75	
22AUG17	B	1	PD22NOV17	2400.50	2523.51	USD	3,075.25	
	NET	0 AVG	BGHT 2400.50				237.50	0.00
	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
18AUG17	B	1	PD17NOV17	11015.00	11634.87	USD	3,719.22	
18AUG17	B	1	PD17NOV17	11030.00	11634.87	USD	3,629.22	
18AUG17	S	2	PD17NOV17	10995.00	11634.87	USD	-7,678.44	
	NET	0 AVG	BGHT 11022.50				-330.00	0.00
29AUG17	S	1	PD29NOV17	11820.00	11643.10	USD	1,061.40	
29AUG17	B	1	PD29NOV17	11720.00	11643.10	USD	-461.40	
	NET	0 AVG	BGHT 11720.00				600.00	0.00
09OCT17	S	1	PD09JAN18	10705.00	11672.72	USD	-5,806.32	
09OCT17	B	1	PD09JAN18	10705.00	11672.72	USD	5,806.32	
	NET	0 AVG	BGHT 10705.00				0.00	0.00
	<u>LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
18AUG17	B	1	PD17NOV17	20170.00	20681.25	USD	2,556.25	
18AUG17	B	1	PD17NOV17	20260.00	20681.25	USD	2,106.25	

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	<u>LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
18AUG17	B	1	PD17NOV17	20290.00	20681.25	USD	1,956.25	
18AUG17	B	1	PD17NOV17	20300.00	20681.25	USD	1,906.25	
18AUG17	B	1	PD17NOV17	20325.00	20681.25	USD	1,781.25	
18AUG17	S	1	PD17NOV17	20245.00	20681.25	USD	-2,181.25	
18AUG17	S	2	PD17NOV17	20285.00	20681.25	USD	-3,962.50	
18AUG17	S	2	PD17NOV17	20295.00	20681.25	USD	-3,862.50	
18AUG17	S	1	PD17NOV17	20330.00	20681.25	USD	-1,756.25	
18AUG17	B	1	PD17NOV17	20240.00	20681.25	USD	2,206.25	
	NET	0AVG BGHT 20264.17	SOLD 20289.17				750.00	0.00
21AUG17	S	1	PD21NOV17	20350.00	20677.50	USD	-1,637.50	
21AUG17	B	1	PD21NOV17	20325.00	20677.50	USD	1,762.50	
21AUG17	B	2	PD21NOV17	20335.00	20677.50	USD	3,425.00	
21AUG17	S	2	PD21NOV17	20360.00	20677.50	USD	-3,175.00	
21AUG17	B	2	PD21NOV17	20355.00	20677.50	USD	3,225.00	
21AUG17	S	1	PD21NOV17	20345.00	20677.50	USD	-1,662.50	
21AUG17	S	1	PD21NOV17	20380.00	20677.50	USD	-1,487.50	
21AUG17	S	1	PD21NOV17	20400.00	20677.50	USD	-1,387.50	
21AUG17	S	1	PD21NOV17	20445.00	20677.50	USD	-1,162.50	
21AUG17	B	1	PD21NOV17	20360.00	20677.50	USD	1,587.50	
21AUG17	B	2	PD21NOV17	20415.00	20677.50	USD	2,625.00	
21AUG17	S	1	PD21NOV17	20415.00	20677.50	USD	-1,312.50	
21AUG17	S	1	PD21NOV17	20480.00	20677.50	USD	-987.50	
21AUG17	B	1	PD21NOV17	20450.00	20677.50	USD	1,137.50	
	NET	0AVG BGHT 20371.67	SOLD 20392.78				950.00	0.00
22AUG17	S	1	PD22NOV17	20430.00	20675.63	USD	-1,228.15	
22AUG17	B	1	PD22NOV17	20470.00	20675.63	USD	1,028.15	
22AUG17	S	1	PD22NOV17	20485.00	20675.63	USD	-953.15	
22AUG17	B	1	PD22NOV17	20430.00	20675.63	USD	1,228.15	
22AUG17	S	2	PD22NOV17	20490.00	20675.63	USD	-1,856.30	
22AUG17	B	1	PD22NOV17	20480.00	20675.63	USD	978.15	
22AUG17	B	1	PD22NOV17	20460.00	20675.63	USD	1,078.15	
22AUG17	S	2	PD22NOV17	20450.00	20675.63	USD	-2,256.30	
22AUG17	B	1	PD22NOV17	20355.00	20675.63	USD	1,603.15	
22AUG17	S	1	PD22NOV17	20370.00	20675.63	USD	-1,528.15	
22AUG17	S	2	PD22NOV17	20270.00	20675.63	USD	-4,056.30	
22AUG17	B	2	PD22NOV17	20255.00	20675.63	USD	4,206.30	
22AUG17	B	1	PD22NOV17	20265.00	20675.63	USD	2,053.15	
22AUG17	B	2	PD22NOV17	20420.00	20675.63	USD	2,556.30	
22AUG17	S	1	PD22NOV17	20330.00	20675.63	USD	-1,728.15	
	NET	0AVG BGHT 20381.00	SOLD 20403.50				1,125.00	0.00
23AUG17	S	1	PD24NOV17	20330.00	20673.75	USD	-1,718.75	
23AUG17	B	1	PD24NOV17	20350.00	20673.75	USD	1,618.75	
23AUG17	S	1	PD24NOV17	20340.00	20673.75	USD	-1,668.75	
23AUG17	B	1	PD24NOV17	20270.00	20673.75	USD	2,018.75	
23AUG17	B	1	PD24NOV17	20320.00	20673.75	USD	1,768.75	
23AUG17	S	1	PD24NOV17	20270.00	20673.75	USD	-2,018.75	
23AUG17	S	2	PD24NOV17	20400.00	20673.75	USD	-2,737.50	
23AUG17	B	1	PD24NOV17	20385.00	20673.75	USD	1,443.75	
23AUG17	S	1	PD24NOV17	20445.00	20673.75	USD	-1,143.75	
23AUG17	S	1	PD24NOV17	20465.00	20673.75	USD	-1,043.75	
23AUG17	B	1	PD24NOV17	20380.00	20673.75	USD	1,468.75	
23AUG17	B	2	PD24NOV17	20505.00	20673.75	USD	1,687.50	
	NET	0AVG BGHT 20387.86	SOLD 20378.57				-325.00	0.00
29AUG17	B	1	PD29NOV17	20570.00	20668.13	USD	490.65	
29AUG17	S	2	PD29NOV17	20590.00	20668.13	USD	-781.30	
29AUG17	B	2	PD29NOV17	20530.00	20668.13	USD	1,381.30	

OPEN POSITION STATEMENT



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SAP0119H

13th October 2017

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	<u>LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
29AUG17	S	2	PD29NOV17	20570.00	20668.13	USD	-981.30	
29AUG17	B	2	PD29NOV17	20415.00	20668.13	USD	2,531.30	
29AUG17	B	1	PD29NOV17	20545.00	20668.13	USD	615.65	
29AUG17	S	1	PD29NOV17	20415.00	20668.13	USD	-1,265.65	
29AUG17	S	1	PD29NOV17	20445.00	20668.13	USD	-1,115.65	
29AUG17	B	2	PD29NOV17	20525.00	20668.13	USD	1,431.30	
29AUG17	S	1	PD29NOV17	20545.00	20668.13	USD	-615.65	
29AUG17	B	1	PD29NOV17	20450.00	20668.13	USD	1,090.65	
29AUG17	S	2	PD29NOV17	20490.00	20668.13	USD	-1,781.30	
	NET	0AVG	BGHT 20500.56				1,000.00	0.00
30AUG17	S	1	PD30NOV17	20440.00	20666.25	USD	-1,131.25	
30AUG17	B	1	PD30NOV17	20395.00	20666.25	USD	1,356.25	
30AUG17	B	1	PD30NOV17	20365.00	20666.25	USD	1,506.25	
30AUG17	B	1	PD30NOV17	20370.00	20666.25	USD	1,481.25	
30AUG17	S	1	PD30NOV17	20350.00	20666.25	USD	-1,581.25	
30AUG17	S	1	PD30NOV17	20390.00	20666.25	USD	-1,381.25	
30AUG17	S	1	PD30NOV17	20405.00	20666.25	USD	-1,306.25	
30AUG17	S	2	PD30NOV17	20500.00	20666.25	USD	-1,662.50	
30AUG17	S	1	PD30NOV17	20525.00	20666.25	USD	-706.25	
30AUG17	B	3	PD30NOV17	20600.00	20666.25	USD	993.75	
30AUG17	B	1	PD30NOV17	20650.00	20666.25	USD	81.25	
31AUG17	S	1	PD30NOV17	20620.00	20666.25	USD	-231.25	
31AUG17	S	1	PD30NOV17	20645.00	20666.25	USD	-106.25	
31AUG17	B	2	PD30NOV17	20660.00	20666.25	USD	62.50	
	NET	0AVG	BGHT 20544.44				-2,625.00	0.00
01SEP17	S	1	PD01DEC17	20730.00	20664.38	USD	328.10	
01SEP17	B	1	PD01DEC17	20715.00	20664.38	USD	-253.10	
01SEP17	B	2	PD01DEC17	20755.00	20664.38	USD	-906.20	
01SEP17	S	1	PD01DEC17	20705.00	20664.38	USD	203.10	
01SEP17	B	1	PD01DEC17	20700.00	20664.38	USD	-178.10	
01SEP17	S	1	PD01DEC17	20735.00	20664.38	USD	353.10	
01SEP17	S	2	PD01DEC17	20750.00	20664.38	USD	856.20	
01SEP17	B	1	PD01DEC17	20705.00	20664.38	USD	-203.10	
	NET	0AVG	BGHT 20726.00				200.00	0.00
04SEP17	S	1	PD04DEC17	20750.00	20662.50	USD	437.50	
04SEP17	B	1	PD04DEC17	20675.00	20662.50	USD	-62.50	
	NET	0AVG	BGHT 20675.00				375.00	0.00
05SEP17	B	1	PD05DEC17	20800.00	20660.63	USD	-696.85	
05SEP17	B	1	PD05DEC17	20705.00	20660.63	USD	-221.85	
05SEP17	B	1	PD05DEC17	20745.00	20660.63	USD	-421.85	
05SEP17	S	1	PD05DEC17	20740.00	20660.63	USD	396.85	
05SEP17	S	2	PD05DEC17	20750.00	20660.63	USD	893.70	
	NET	0AVG	BGHT 20750.00				-50.00	0.00
06SEP17	S	1	PD06DEC17	20650.00	20658.75	USD	-43.75	
06SEP17	B	1	PD06DEC17	20655.00	20658.75	USD	18.75	
06SEP17	S	1	PD06DEC17	20765.00	20658.75	USD	531.25	
29SEP17	B	1	PD06DEC17	20740.83	20658.75	USD	-410.40	
	NET	0AVG	BGHT 20697.92				95.85	0.00
07SEP17	B	1	PD07DEC17	20740.00	20656.88	USD	-415.60	
29SEP17	S	1	PD07DEC17	20737.75	20656.88	USD	404.35	
	NET	0AVG	BGHT 20740.00				-11.25	0.00
08SEP17	S	1	PD08DEC17	20615.00	20655.00	USD	-200.00	
08SEP17	B	1	PD08DEC17	20620.00	20655.00	USD	175.00	
	NET	0AVG	BGHT 20620.00				-25.00	0.00
12SEP17	S	1	PD12DEC17	20700.00	20651.25	USD	243.75	

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	<u>LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
12SEP17	B	1	PD12DEC17	20695.00	20651.25	USD	-218.75	
	NET	0 AVG	BGHT 20695.00	SOLD 20700.00			25.00	0.00
14SEP17	B	1	PD14DEC17	20570.00	20647.50	USD	387.50	
14SEP17	S	1	PD14DEC17	20595.00	20647.50	USD	-262.50	
14SEP17	S	2	PD14DEC17	20600.00	20647.50	USD	-475.00	
14SEP17	B	1	PD14DEC17	20580.00	20647.50	USD	337.50	
14SEP17	B	1	PD14DEC17	20615.00	20647.50	USD	162.50	
	NET	0 AVG	BGHT 20588.33	SOLD 20598.33			150.00	0.00
15SEP17	S	1	PD15DEC17	20575.00	20645.63	USD	-353.15	
15SEP17	B	1	PD15DEC17	20545.00	20645.63	USD	503.15	
15SEP17	S	1	PD15DEC17	20570.00	20645.63	USD	-378.15	
15SEP17	B	1	PD15DEC17	20520.00	20645.63	USD	628.15	
	NET	0 AVG	BGHT 20532.50	SOLD 20572.50			400.00	0.00
18SEP17	S	1	PD18DEC17	20680.00	20643.75	USD	181.25	
18SEP17	B	2	PD18DEC17	20680.00	20643.75	USD	-362.50	
18SEP17	S	1	PD18DEC17	20735.00	20643.75	USD	456.25	
18SEP17	B	1	PD18DEC17	20640.00	20643.75	USD	18.75	
18SEP17	S	1	PD18DEC17	20650.00	20643.75	USD	31.25	
	NET	0 AVG	BGHT 20666.67	SOLD 20688.33			325.00	0.00
19SEP17	B	1	PD19DEC17	20645.00	20641.88	USD	-15.60	
19SEP17	B	2	PD19DEC17	20675.00	20641.88	USD	-331.20	
19SEP17	S	2	PD19DEC17	20695.00	20641.88	USD	531.20	
19SEP17	S	1	PD19DEC17	20670.00	20641.88	USD	140.60	
19SEP17	B	1	PD19DEC17	20715.00	20641.88	USD	-365.60	
19SEP17	S	1	PD19DEC17	20735.00	20641.88	USD	465.60	
19SEP17	B	1	PD19DEC17	20705.00	20641.88	USD	-315.60	
19SEP17	S	1	PD19DEC17	20725.00	20641.88	USD	415.60	
19SEP17	S	1	PD19DEC17	20705.00	20641.88	USD	315.60	
19SEP17	B	1	PD19DEC17	20685.00	20641.88	USD	-215.60	
	NET	0 AVG	BGHT 20683.33	SOLD 20704.17			625.00	0.00
20SEP17	S	1	PD20DEC17	20740.00	20640.00	USD	500.00	
20SEP17	B	1	PD20DEC17	20750.00	20640.00	USD	-550.00	
20SEP17	S	1	PD20DEC17	20725.00	20640.00	USD	425.00	
20SEP17	B	1	PD20DEC17	20760.00	20640.00	USD	-600.00	
20SEP17	S	1	PD20DEC17	20770.00	20640.00	USD	650.00	
20SEP17	B	1	PD20DEC17	20655.00	20640.00	USD	-75.00	
20SEP17	B	1	PD20DEC17	20630.00	20640.00	USD	50.00	
20SEP17	S	2	PD20DEC17	20675.00	20640.00	USD	350.00	
20SEP17	B	1	PD20DEC17	20645.00	20640.00	USD	-25.00	
	NET	0 AVG	BGHT 20688.00	SOLD 20717.00			725.00	0.00
21SEP17	B	1	PD21DEC17	20510.00	20637.14	USD	635.70	
21SEP17	S	5	PD21DEC17	20560.00	20637.14	USD	-1,928.50	
21SEP17	B	1	PD21DEC17	20535.00	20637.14	USD	510.70	
21SEP17	B	1	PD21DEC17	20540.00	20637.14	USD	485.70	
21SEP17	B	1	PD21DEC17	20550.00	20637.14	USD	435.70	
21SEP17	B	1	PD21DEC17	20560.00	20637.14	USD	385.70	
21SEP17	B	1	PD21DEC17	20500.00	20637.14	USD	685.70	
21SEP17	S	1	PD21DEC17	20480.00	20637.14	USD	-785.70	
	NET	0 AVG	BGHT 20532.50	SOLD 20546.67			425.00	0.00
22SEP17	B	1	PD22DEC17	20580.00	20634.29	USD	271.45	
22SEP17	S	1	PD22DEC17	20580.00	20634.29	USD	-271.45	
	NET	0 AVG	BGHT 20580.00	SOLD 20580.00			0.00	0.00
25SEP17	B	2	PD27DEC17	20625.00	20631.43	USD	64.30	
25SEP17	S	1	PD27DEC17	20605.00	20631.43	USD	-132.15	
25SEP17	B	1	PD27DEC17	20545.00	20631.43	USD	432.15	

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	<u>LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
25SEP17	S	1	PD27DEC17	20585.00	20631.43	USD	-232.15	
25SEP17	S	2	PD27DEC17	20570.00	20631.43	USD	-614.30	
25SEP17	B	1	PD27DEC17	20570.00	20631.43	USD	307.15	
26SEP17	S	1	PD27DEC17	20740.00	20631.43	USD	542.85	
26SEP17	B	1	PD27DEC17	20700.00	20631.43	USD	-342.85	
26SEP17	B	1	PD27DEC17	20660.00	20631.43	USD	-142.85	
26SEP17	S	1	PD27DEC17	20685.00	20631.43	USD	267.85	
27SEP17	B	1	PD27DEC17	20655.00	20631.43	USD	-117.85	
27SEP17	S	1	PD27DEC17	20680.00	20631.43	USD	242.85	
27SEP17	S	1	PD27DEC17	20685.00	20631.43	USD	267.85	
27SEP17	B	1	PD27DEC17	20700.00	20631.43	USD	-342.85	
	NET	0 AVG BGHT 20635.00	SOLD 20640.00				200.00	0.00
28SEP17	S	1	PD28DEC17	20695.00	20628.57	USD	332.15	
28SEP17	B	1	PD28DEC17	20710.00	20628.57	USD	-407.15	
	NET	0 AVG BGHT 20710.00	SOLD 20695.00				-75.00	0.00
09OCT17	B	1	PD09JAN18	20700.00	20608.57	USD	-457.15	
09OCT17	S	1	PD09JAN18	20735.00	20608.57	USD	632.15	
09OCT17	B	1	PD09JAN18	20850.00	20608.57	USD	-1,207.15	
09OCT17	S	1	PD09JAN18	20885.00	20608.57	USD	1,382.15	
09OCT17	S	1	PD09JAN18	20995.00	20608.57	USD	1,932.15	
09OCT17	B	1	PD09JAN18	20800.00	20608.57	USD	-957.15	
09OCT17	B	1	PD09JAN18	20820.00	20608.57	USD	-1,057.15	
09OCT17	B	1	PD09JAN18	20775.00	20608.57	USD	-832.15	
09OCT17	S	1	PD09JAN18	20770.00	20608.57	USD	807.15	
09OCT17	S	1	PD09JAN18	20740.00	20608.57	USD	657.15	
	NET	0 AVG BGHT 20789.00	SOLD 20825.00				900.00	0.00
10OCT17	B	1	PD10JAN18	20860.00	20605.71	USD	-1,271.45	
10OCT17	S	1	PD10JAN18	20835.00	20605.71	USD	1,146.45	
	NET	0 AVG BGHT 20860.00	SOLD 20835.00				-125.00	0.00
12OCT17	B	1	PD12JAN18	20730.00	20600.00	USD	-650.00	
12OCT17	B	1	PD12JAN18	20755.00	20600.00	USD	-775.00	
12OCT17	S	2	PD12JAN18	20800.00	20600.00	USD	2,000.00	
12OCT17	B	1	PD12JAN18	20775.00	20600.00	USD	-875.00	
12OCT17	B	1	PD12JAN18	20760.00	20600.00	USD	-800.00	
12OCT17	S	1	PD12JAN18	20755.00	20600.00	USD	775.00	
12OCT17	S	1	PD12JAN18	20810.00	20600.00	USD	1,050.00	
13OCT17	B	1	PD12JAN18	20780.00	20600.00	USD	-900.00	
13OCT17	S	1	PD12JAN18	20680.00	20600.00	USD	400.00	
	NET	0 AVG BGHT 20760.00	SOLD 20769.00				225.00	0.00
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
08SEP17	B	1	PD08DEC17	3032.00	3245.00	USD	5,325.00	
08SEP17	S	1	PD08DEC17	3028.50	3245.00	USD	-5,412.50	
	NET	0 AVG BGHT 3032.00	SOLD 3028.50				-87.50	0.00
09OCT17	B	1	PD09JAN18	3261.00	3236.15	USD	-621.25	
09OCT17	S	1	PD09JAN18	3271.00	3236.15	USD	871.25	
	NET	0 AVG BGHT 3261.00	SOLD 3271.00				250.00	0.00

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OPEN POSITION VALUE

		USD
LME Copper Grade A 25	FUTURES	-7,316.75
LME Lead 25 tonnes. This is an	FUTURES	237.50
LME Nickel 6 tonnes. This is	FUTURES	270.00
LME Tin 5 tonnes. This is an	FUTURES	5,259.60
LME Zinc 25 tonnes. This is	FUTURES	162.50

MARKET REVALUATION BREAKDOWN

Unrealised Profits & Losses - Forwards	Amount	Profit / Loss	Total Amount	Total P&L
COPL - Futures USD	-7,192.25	-7,192.25		
LEAD - Futures USD	237.50	237.50		
NKL - Futures USD	270.00	270.00		
TIN - Futures USD	5,259.60	5,259.60		
ZINC - Futures USD	162.50	162.50	-1,262.65	-1,262.65

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
CASH BALANCE	(1.00000)	(1.00000)
Variation Margins - Futures	4,955.36	4,955.36
- Options	0.00	0.00
TOTAL EQUITY	4,955.36	4,955.36
Initial Margins	-1,792.65	-1,792.65
Excess/(Shortage)	3,162.71	3,162.71
FOR INFORMATION ONLY		
Forward Unrealised P&L	-1,262.65	-1,262.65
Net Liquidating Value	3,692.71	3,692.71

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
23AUG17	S	1	PD24NOV17	6564.50	6866.73	USD	-7,555.75	
23AUG17	B	1	PD24NOV17	6550.00	6866.73	USD	7,918.25	
24AUG17	B	1	PD24NOV17	6650.00	6866.73	USD	5,418.25	
24AUG17	S	1	PD24NOV17	6638.50	6866.73	USD	-5,705.75	
24AUG17	S	2	PD24NOV17	6705.00	6866.73	USD	-8,086.50	
24AUG17	S	1	PD24NOV17	6710.00	6866.73	USD	-3,918.25	
29SEP17	B	3	PD24NOV17	6467.42	6866.73	USD	29,948.25	
	NET	0 AVG	BGHT 6520.45	SOLD 6664.60			18,018.50	0.00
30AUG17	B	1	PD30NOV17	6769.00	6869.53	USD	2,513.25	
31AUG17	B	1	PD30NOV17	6844.00	6869.53	USD	638.25	
31AUG17	B	1	PD30NOV17	6846.00	6869.53	USD	588.25	
31AUG17	S	1	PD30NOV17	6855.50	6869.53	USD	-350.75	
31AUG17	S	1	PD30NOV17	6856.00	6869.53	USD	-338.25	
31AUG17	B	1	PD30NOV17	6795.00	6869.53	USD	1,863.25	
31AUG17	B	1	PD30NOV17	6800.00	6869.53	USD	1,738.25	
29SEP17	S	3	PD30NOV17	6470.72	6869.53	USD	-29,910.75	
	NET	0 AVG	BGHT 6810.80	SOLD 6624.73			-23,258.50	0.00
01SEP17	S	1	PD01DEC17	6824.00	6870.00	USD	-1,150.00	
01SEP17	B	2	PD01DEC17	6803.00	6870.00	USD	3,350.00	
01SEP17	S	1	PD01DEC17	6812.00	6870.00	USD	-1,450.00	
01SEP17	S	2	PD01DEC17	6823.00	6870.00	USD	-2,350.00	
01SEP17	S	1	PD01DEC17	6818.00	6870.00	USD	-1,300.00	
29SEP17	B	3	PD01DEC17	6471.50	6870.00	USD	29,887.50	
	NET	0 AVG	BGHT 6604.10	SOLD 6820.00			26,987.50	0.00
04SEP17	B	1	PD04DEC17	6885.00	6871.20	USD	-345.00	
04SEP17	S	1	PD04DEC17	6895.00	6871.20	USD	595.00	
04SEP17	S	1	PD04DEC17	6915.00	6871.20	USD	1,095.00	
04SEP17	B	1	PD04DEC17	6916.50	6871.20	USD	-1,132.50	
	NET	0 AVG	BGHT 6900.75	SOLD 6905.00			212.50	0.00
05SEP17	B	1	PD05DEC17	6954.00	6871.60	USD	-2,060.00	
05SEP17	B	1	PD05DEC17	6955.00	6871.60	USD	-2,085.00	
05SEP17	B	1	PD05DEC17	6901.00	6871.60	USD	-735.00	
29SEP17	S	3	PD05DEC17	6472.05	6871.60	USD	-29,966.25	
	NET	0 AVG	BGHT 6936.67	SOLD 6472.05			-34,846.25	0.00
06SEP17	S	1	PD06DEC17	6887.00	6872.00	USD	375.00	
06SEP17	S	1	PD06DEC17	6911.00	6872.00	USD	975.00	
06SEP17	S	2	PD06DEC17	6910.00	6872.00	USD	1,900.00	
06SEP17	S	1	PD06DEC17	6917.00	6872.00	USD	1,125.00	
06SEP17	S	1	PD06DEC17	6924.00	6872.00	USD	1,300.00	
06SEP17	B	1	PD06DEC17	6917.00	6872.00	USD	-1,125.00	
06SEP17	B	2	PD06DEC17	6885.00	6872.00	USD	-650.00	
06SEP17	B	3	PD06DEC17	6886.00	6872.00	USD	-1,050.00	
06SEP17	S	1	PD06DEC17	6879.00	6872.00	USD	175.00	
06SEP17	S	1	PD06DEC17	6886.00	6872.00	USD	350.00	
06SEP17	B	1	PD06DEC17	6870.00	6872.00	USD	50.00	
06SEP17	B	1	PD06DEC17	6870.50	6872.00	USD	37.50	
06SEP17	S	2	PD06DEC17	6892.00	6872.00	USD	1,000.00	
06SEP17	S	1	PD06DEC17	6900.00	6872.00	USD	700.00	
29SEP17	B	3	PD06DEC17	6472.72	6872.00	USD	29,946.00	
	NET	0 AVG	BGHT 6773.06	SOLD 6900.73			35,108.50	0.00
07SEP17	B	1	PD07DEC17	6858.00	6872.42	USD	360.50	
07SEP17	B	1	PD07DEC17	6860.00	6872.42	USD	310.50	
07SEP17	B	1	PD07DEC17	6856.00	6872.42	USD	410.50	
07SEP17	B	1	PD07DEC17	6875.00	6872.42	USD	-64.50	
07SEP17	S	2	PD07DEC17	6875.00	6872.42	USD	129.00	
07SEP17	S	1	PD07DEC17	6878.00	6872.42	USD	139.50	

OPEN POSITION STATEMENT



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SAP0119I

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
07SEP17	S	1	PD07DEC17	6905.00	6872.42	USD	814.50	
	NET	0 AVG BGHT 6862.25	SOLD 6883.25				2,100.00	0.00
08SEP17	B	1	PD08DEC17	6910.00	6872.83	USD	-929.25	
08SEP17	B	1	PD08DEC17	6922.00	6872.83	USD	-1,229.25	
08SEP17	B	1	PD08DEC17	6924.00	6872.83	USD	-1,279.25	
08SEP17	B	1	PD08DEC17	6845.00	6872.83	USD	695.75	
08SEP17	B	2	PD08DEC17	6850.00	6872.83	USD	1,141.50	
08SEP17	S	1	PD08DEC17	6829.00	6872.83	USD	-1,095.75	
08SEP17	S	2	PD08DEC17	6837.00	6872.83	USD	-1,791.50	
08SEP17	B	1	PD08DEC17	6815.00	6872.83	USD	1,445.75	
08SEP17	S	1	PD08DEC17	6835.00	6872.83	USD	-945.75	
08SEP17	B	1	PD08DEC17	6793.00	6872.83	USD	1,995.75	
08SEP17	B	1	PD08DEC17	6785.00	6872.83	USD	2,195.75	
08SEP17	B	1	PD08DEC17	6835.00	6872.83	USD	945.75	
08SEP17	S	2	PD08DEC17	6750.00	6872.83	USD	-6,141.50	
08SEP17	B	1	PD08DEC17	6715.00	6872.83	USD	3,945.75	
08SEP17	B	1	PD08DEC17	6695.00	6872.83	USD	4,445.75	
08SEP17	S	3	PD08DEC17	6675.50	6872.83	USD	-14,799.75	
08SEP17	S	2	PD08DEC17	6685.00	6872.83	USD	-9,391.50	
08SEP17	B	2	PD08DEC17	6685.00	6872.83	USD	9,391.50	
29SEP17	S	3	PD08DEC17	6473.45	6872.83	USD	-29,953.50	
	NET	0 AVG BGHT 6807.79	SOLD 6689.63				-41,353.75	0.00
11SEP17	B	1	PD11DEC17	6726.00	6874.08	USD	3,702.00	
11SEP17	S	1	PD11DEC17	6734.00	6874.08	USD	-3,502.00	
11SEP17	B	1	PD11DEC17	6725.00	6874.08	USD	3,727.00	
11SEP17	S	1	PD11DEC17	6724.00	6874.08	USD	-3,752.00	
11SEP17	S	1	PD11DEC17	6738.00	6874.08	USD	-3,402.00	
11SEP17	B	1	PD11DEC17	6730.00	6874.08	USD	3,602.00	
	NET	0 AVG BGHT 6727.00	SOLD 6732.00				375.00	0.00
12SEP17	S	1	PD12DEC17	6706.50	6874.50	USD	-4,200.00	
12SEP17	B	1	PD12DEC17	6691.00	6874.50	USD	4,587.50	
12SEP17	B	1	PD12DEC17	6695.00	6874.50	USD	4,487.50	
12SEP17	S	1	PD12DEC17	6705.00	6874.50	USD	-4,237.50	
12SEP17	B	1	PD12DEC17	6647.00	6874.50	USD	5,687.50	
12SEP17	S	1	PD12DEC17	6656.50	6874.50	USD	-5,450.00	
	NET	0 AVG BGHT 6677.67	SOLD 6689.33				875.00	0.00
13SEP17	B	1	PD13DEC17	6632.00	6874.92	USD	6,073.00	
13SEP17	B	1	PD13DEC17	6645.00	6874.92	USD	5,748.00	
13SEP17	S	1	PD13DEC17	6627.00	6874.92	USD	-6,198.00	
13SEP17	S	1	PD13DEC17	6629.00	6874.92	USD	-6,148.00	
13SEP17	B	1	PD13DEC17	6609.00	6874.92	USD	6,648.00	
13SEP17	B	2	PD13DEC17	6612.00	6874.92	USD	13,146.00	
13SEP17	S	1	PD13DEC17	6600.00	6874.92	USD	-6,873.00	
13SEP17	B	1	PD13DEC17	6561.00	6874.92	USD	7,848.00	
13SEP17	S	1	PD13DEC17	6578.00	6874.92	USD	-7,423.00	
13SEP17	B	1	PD13DEC17	6569.00	6874.92	USD	7,648.00	
13SEP17	S	2	PD13DEC17	6570.00	6874.92	USD	-15,246.00	
13SEP17	S	1	PD13DEC17	6575.00	6874.92	USD	-7,498.00	
13SEP17	B	1	PD13DEC17	6555.00	6874.92	USD	7,998.00	
13SEP17	B	2	PD13DEC17	6560.00	6874.92	USD	15,746.00	
29SEP17	S	3	PD13DEC17	6475.98	6874.92	USD	-29,920.50	
	NET	0 AVG BGHT 6591.50	SOLD 6557.69				-8,451.50	0.00
14SEP17	S	1	PD14DEC17	6493.00	6875.33	USD	-9,558.25	
14SEP17	S	1	PD14DEC17	6495.00	6875.33	USD	-9,508.25	
14SEP17	S	1	PD14DEC17	6496.50	6875.33	USD	-9,470.75	
14SEP17	B	1	PD14DEC17	6489.00	6875.33	USD	9,658.25	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
14SEP17	B	1	PD14DEC17	6461.00	6875.33	USD	10,358.25	
14SEP17	S	1	PD14DEC17	6488.00	6875.33	USD	-9,683.25	
14SEP17	S	2	PD14DEC17	6499.00	6875.33	USD	-18,816.50	
14SEP17	S	1	PD14DEC17	6511.00	6875.33	USD	-9,108.25	
14SEP17	B	1	PD14DEC17	6496.00	6875.33	USD	9,483.25	
14SEP17	B	1	PD14DEC17	6500.00	6875.33	USD	9,383.25	
14SEP17	B	1	PD14DEC17	6514.00	6875.33	USD	9,033.25	
14SEP17	S	1	PD14DEC17	6513.00	6875.33	USD	-9,058.25	
14SEP17	S	1	PD14DEC17	6525.50	6875.33	USD	-8,745.75	
14SEP17	B	1	PD14DEC17	6522.50	6875.33	USD	8,820.75	
29SEP17	B	3	PD14DEC17	6476.65	6875.33	USD	29,901.00	
	NET	0AVG BGHT 6490.27	SOLD 6502.22				2,688.75	0.00
15SEP17	S	2	PD15DEC17	6498.50	6875.75	USD	-18,862.50	
15SEP17	B	1	PD15DEC17	6488.50	6875.75	USD	9,681.25	
15SEP17	B	1	PD15DEC17	6506.00	6875.75	USD	9,243.75	
15SEP17	S	1	PD15DEC17	6504.00	6875.75	USD	-9,293.75	
15SEP17	B	1	PD15DEC17	6512.00	6875.75	USD	9,093.75	
15SEP17	B	1	PD15DEC17	6503.00	6875.75	USD	9,318.75	
15SEP17	B	1	PD15DEC17	6490.00	6875.75	USD	9,643.75	
15SEP17	S	1	PD15DEC17	6478.00	6875.75	USD	-9,943.75	
15SEP17	B	1	PD15DEC17	6472.00	6875.75	USD	10,093.75	
15SEP17	B	2	PD15DEC17	6473.00	6875.75	USD	20,137.50	
15SEP17	S	3	PD15DEC17	6469.00	6875.75	USD	-30,506.25	
15SEP17	S	1	PD15DEC17	6467.50	6875.75	USD	-10,206.25	
15SEP17	B	1	PD15DEC17	6465.00	6875.75	USD	10,268.75	
15SEP17	S	1	PD15DEC17	6455.50	6875.75	USD	-10,506.25	
	NET	0AVG BGHT 6486.94	SOLD 6478.78				-1,837.50	0.00
18SEP17	S	1	PD18DEC17	6551.50	6877.00	USD	-8,137.50	
18SEP17	B	2	PD18DEC17	6550.00	6877.00	USD	16,350.00	
18SEP17	S	1	PD18DEC17	6556.00	6877.00	USD	-8,025.00	
18SEP17	S	1	PD18DEC17	6560.50	6877.00	USD	-7,912.50	
18SEP17	B	1	PD18DEC17	6535.50	6877.00	USD	8,537.50	
18SEP17	B	1	PD18DEC17	6537.50	6877.00	USD	8,487.50	
18SEP17	B	1	PD18DEC17	6545.00	6877.00	USD	8,300.00	
18SEP17	S	1	PD18DEC17	6540.00	6877.00	USD	-8,425.00	
18SEP17	S	1	PD18DEC17	6542.00	6877.00	USD	-8,375.00	
	NET	0AVG BGHT 6543.60	SOLD 6550.00				800.00	0.00
19SEP17	B	1	PD19DEC17	6517.50	6877.00	USD	8,987.50	
19SEP17	B	1	PD19DEC17	6533.00	6877.00	USD	8,600.00	
19SEP17	S	1	PD19DEC17	6518.00	6877.00	USD	-8,975.00	
19SEP17	S	1	PD19DEC17	6519.50	6877.00	USD	-8,937.50	
19SEP17	B	1	PD19DEC17	6537.00	6877.00	USD	8,500.00	
19SEP17	S	1	PD19DEC17	6532.00	6877.00	USD	-8,625.00	
19SEP17	S	1	PD19DEC17	6520.00	6877.00	USD	-8,925.00	
19SEP17	B	4	PD19DEC17	6525.00	6877.00	USD	35,200.00	
19SEP17	B	1	PD19DEC17	6509.00	6877.00	USD	9,200.00	
19SEP17	S	2	PD19DEC17	6509.00	6877.00	USD	-18,400.00	
19SEP17	B	1	PD19DEC17	6519.00	6877.00	USD	8,950.00	
29SEP17	S	3	PD19DEC17	6478.78	6877.00	USD	-29,866.50	
	NET	0AVG BGHT 6523.94	SOLD 6504.87				-4,291.50	0.00
20SEP17	S	1	PD20DEC17	6565.00	6877.00	USD	-7,800.00	
20SEP17	B	1	PD20DEC17	6558.00	6877.00	USD	7,975.00	
20SEP17	S	1	PD20DEC17	6558.00	6877.00	USD	-7,975.00	
20SEP17	B	1	PD20DEC17	6515.00	6877.00	USD	9,050.00	
	NET	0AVG BGHT 6536.50	SOLD 6561.50				1,250.00	0.00
21SEP17	S	1	PD21DEC17	6455.00	6876.00	USD	-10,525.00	

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21SEP17	S	1	PD21DEC17	6445.00	6876.00	USD	-10,775.00	
21SEP17	B	1	PD21DEC17	6430.00	6876.00	USD	11,150.00	
21SEP17	S	1	PD21DEC17	6450.00	6876.00	USD	-10,650.00	
21SEP17	S	2	PD21DEC17	6460.50	6876.00	USD	-20,775.00	
21SEP17	B	1	PD21DEC17	6466.00	6876.00	USD	10,250.00	
21SEP17	S	1	PD21DEC17	6474.00	6876.00	USD	-10,050.00	
21SEP17	B	1	PD21DEC17	6462.00	6876.00	USD	10,350.00	
21SEP17	B	1	PD21DEC17	6477.00	6876.00	USD	9,975.00	
21SEP17	B	1	PD21DEC17	6479.00	6876.00	USD	9,925.00	
21SEP17	S	1	PD21DEC17	6479.00	6876.00	USD	-9,925.00	
21SEP17	S	1	PD21DEC17	6482.00	6876.00	USD	-9,850.00	
29SEP17	B	3	PD21DEC17	6478.45	6876.00	USD	29,816.25	
	NET	0 AVG BGHT 6468.67	SOLD 6463.25				-1,083.75	0.00
22SEP17	B	1	PD22DEC17	6430.00	6875.00	USD	11,125.00	
22SEP17	S	1	PD22DEC17	6426.00	6875.00	USD	-11,225.00	
22SEP17	B	1	PD22DEC17	6428.00	6875.00	USD	11,175.00	
22SEP17	S	1	PD22DEC17	6438.00	6875.00	USD	-10,925.00	
22SEP17	B	1	PD22DEC17	6416.00	6875.00	USD	11,475.00	
22SEP17	B	1	PD22DEC17	6420.00	6875.00	USD	11,375.00	
22SEP17	B	1	PD22DEC17	6425.00	6875.00	USD	11,250.00	
22SEP17	S	1	PD22DEC17	6417.00	6875.00	USD	-11,450.00	
22SEP17	B	1	PD22DEC17	6375.00	6875.00	USD	12,500.00	
22SEP17	S	2	PD22DEC17	6396.00	6875.00	USD	-23,950.00	
22SEP17	S	1	PD22DEC17	6420.00	6875.00	USD	-11,375.00	
22SEP17	S	1	PD22DEC17	6428.00	6875.00	USD	-11,175.00	
22SEP17	B	1	PD22DEC17	6435.00	6875.00	USD	11,000.00	
22SEP17	S	1	PD22DEC17	6435.00	6875.00	USD	-11,000.00	
22SEP17	B	1	PD22DEC17	6442.00	6875.00	USD	10,825.00	
22SEP17	S	1	PD22DEC17	6439.00	6875.00	USD	-10,900.00	
22SEP17	B	1	PD22DEC17	6425.50	6875.00	USD	11,237.50	
	NET	0 AVG BGHT 6421.83	SOLD 6421.67				-37.50	0.00
25SEP17	B	1	PD27DEC17	6462.00	6876.67	USD	10,366.75	
25SEP17	S	1	PD27DEC17	6458.00	6876.67	USD	-10,466.75	
26SEP17	S	1	PD27DEC17	6520.00	6876.67	USD	-8,916.75	
26SEP17	S	1	PD27DEC17	6524.00	6876.67	USD	-8,816.75	
26SEP17	B	1	PD27DEC17	6501.00	6876.67	USD	9,391.75	
26SEP17	B	1	PD27DEC17	6505.00	6876.67	USD	9,291.75	
26SEP17	B	1	PD27DEC17	6440.00	6876.67	USD	10,916.75	
26SEP17	B	1	PD27DEC17	6450.00	6876.67	USD	10,666.75	
26SEP17	S	2	PD27DEC17	6435.00	6876.67	USD	-22,083.50	
26SEP17	B	1	PD27DEC17	6415.00	6876.67	USD	11,541.75	
26SEP17	B	2	PD27DEC17	6410.00	6876.67	USD	23,333.50	
27SEP17	S	1	PD27DEC17	6452.00	6876.67	USD	-10,616.75	
27SEP17	S	2	PD27DEC17	6453.00	6876.67	USD	-21,183.50	
27SEP17	S	1	PD27DEC17	6443.00	6876.67	USD	-10,841.75	
27SEP17	B	1	PD27DEC17	6438.00	6876.67	USD	10,966.75	
	NET	0 AVG BGHT 6447.89	SOLD 6463.67				3,550.00	0.00
05OCT17	S	1	PD05JAN18	6635.00	6879.67	USD	-6,116.75	
05OCT17	S	1	PD05JAN18	6638.00	6879.67	USD	-6,041.75	
05OCT17	S	1	PD05JAN18	6642.00	6879.67	USD	-5,941.75	
05OCT17	B	1	PD05JAN18	6661.00	6879.67	USD	5,466.75	
05OCT17	S	1	PD05JAN18	6684.00	6879.67	USD	-4,891.75	
	NET	-3 AVG BGHT 6661.00	SOLD 6649.75				-17,525.25	0.00
10OCT17	B	3	PD10JAN18	6704.00	6881.33	USD	13,299.75	
	NET	+3 AVG BGHT 6704.00					13,299.75	0.00
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	<u>LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
19SEP17	S	1	PD19DEC17	2415.00	2527.60	USD	-2,815.00	
19SEP17	B	1	PD19DEC17	2410.00	2527.60	USD	2,940.00	
	NET	0AVG BGHT 2410.00	SOLD 2415.00				125.00	0.00
25SEP17	B	1	PD27DEC17	2500.00	2527.64	USD	691.00	
25SEP17	S	1	PD27DEC17	2500.00	2527.64	USD	-691.00	
	NET	0AVG BGHT 2500.00	SOLD 2500.00				0.00	0.00
	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
01SEP17	S	1	PD01DEC17	11935.00	11644.47	USD	1,743.18	
01SEP17	B	1	PD01DEC17	12020.00	11644.47	USD	-2,253.18	
	NET	0AVG BGHT 12020.00	SOLD 11935.00				-510.00	0.00
04SEP17	B	1	PD04DEC17	12120.00	11646.53	USD	-2,840.82	
04SEP17	S	1	PD04DEC17	12220.00	11646.53	USD	3,440.82	
	NET	0AVG BGHT 12120.00	SOLD 12220.00				600.00	0.00
06SEP17	S	1	PD06DEC17	12070.00	11647.90	USD	2,532.60	
06SEP17	B	1	PD06DEC17	11970.00	11647.90	USD	-1,932.60	
06SEP17	B	1	PD06DEC17	12005.00	11647.90	USD	-2,142.60	
06SEP17	S	1	PD06DEC17	12075.00	11647.90	USD	2,562.60	
	NET	0AVG BGHT 11987.50	SOLD 12072.50				1,020.00	0.00
08SEP17	S	1	PD08DEC17	11870.00	11649.27	USD	1,324.38	
08SEP17	B	1	PD08DEC17	11955.00	11649.27	USD	-1,834.38	
08SEP17	B	2	PD08DEC17	11545.00	11649.27	USD	1,251.24	
08SEP17	S	1	PD08DEC17	11540.00	11649.27	USD	-655.62	
08SEP17	S	1	PD08DEC17	11580.00	11649.27	USD	-415.62	
	NET	0AVG BGHT 11681.67	SOLD 11663.33				-330.00	0.00
13SEP17	B	1	PD13DEC17	11450.00	11652.70	USD	1,216.20	
13SEP17	S	1	PD13DEC17	11390.00	11652.70	USD	-1,576.20	
	NET	0AVG BGHT 11450.00	SOLD 11390.00				-360.00	0.00
	<u>LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
23AUG17	B	1	PD24NOV17	20355.00	20673.75	USD	1,593.75	
23AUG17	S	1	PD24NOV17	20390.00	20673.75	USD	-1,418.75	
24AUG17	S	1	PD24NOV17	20470.00	20673.75	USD	-1,018.75	
24AUG17	B	1	PD24NOV17	20445.00	20673.75	USD	1,143.75	
24AUG17	B	1	PD24NOV17	20420.00	20673.75	USD	1,268.75	
24AUG17	S	1	PD24NOV17	20465.00	20673.75	USD	-1,043.75	
24AUG17	S	1	PD24NOV17	20475.00	20673.75	USD	-993.75	
24AUG17	B	1	PD24NOV17	20450.00	20673.75	USD	1,118.75	
25AUG17	B	1	PD24NOV17	20410.00	20673.75	USD	1,318.75	
25AUG17	B	1	PD24NOV17	20400.00	20673.75	USD	1,368.75	
25AUG17	S	1	PD24NOV17	20415.00	20673.75	USD	-1,293.75	
25AUG17	S	1	PD24NOV17	20420.00	20673.75	USD	-1,268.75	
	NET	0AVG BGHT 20413.33	SOLD 20439.17				775.00	0.00
29AUG17	B	2	PD29NOV17	20430.00	20668.13	USD	2,381.30	
29AUG17	S	1	PD29NOV17	20430.00	20668.13	USD	-1,190.65	
29AUG17	S	1	PD29NOV17	20465.00	20668.13	USD	-1,015.65	
	NET	0AVG BGHT 20430.00	SOLD 20447.50				175.00	0.00
06SEP17	B	2	PD06DEC17	20600.00	20658.75	USD	587.50	
06SEP17	S	1	PD06DEC17	20650.00	20658.75	USD	-43.75	
06SEP17	S	1	PD06DEC17	20640.00	20658.75	USD	-93.75	
	NET	0AVG BGHT 20600.00	SOLD 20645.00				450.00	0.00
22SEP17	S	2	PD22DEC17	20455.00	20634.29	USD	-1,792.90	
22SEP17	B	1	PD22DEC17	20450.00	20634.29	USD	921.45	
22SEP17	B	1	PD22DEC17	20520.00	20634.29	USD	571.45	

OPEN POSITION STATEMENT



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SAP0119I

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	<u>LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
	NET 0AVG BGHT 20485.00 SOLD 20455.00				-300.00	0.00
25SEP17	B 1 PD27DEC17	20625.00	20631.43	USD	32.15	
25SEP17	S 1 PD27DEC17	20605.00	20631.43	USD	-132.15	
	NET 0AVG BGHT 20625.00 SOLD 20605.00				-100.00	0.00
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
04SEP17	B 1 PD04DEC17	3185.00	3246.00	USD	1,525.00	
04SEP17	S 1 PD04DEC17	3173.00	3246.00	USD	-1,825.00	
	NET 0AVG BGHT 3185.00 SOLD 3173.00				-300.00	0.00
11SEP17	S 1 PD11DEC17	3090.00	3244.75	USD	-3,868.75	
11SEP17	B 1 PD11DEC17	3103.00	3244.75	USD	3,543.75	
	NET 0AVG BGHT 3103.00 SOLD 3090.00				-325.00	0.00
13SEP17	S 1 PD13DEC17	3027.00	3244.25	USD	-5,431.25	
13SEP17	B 1 PD13DEC17	3032.00	3244.25	USD	5,306.25	
	NET 0AVG BGHT 3032.00 SOLD 3027.00				-125.00	0.00
25SEP17	S 1 PD27DEC17	3097.50	3239.23	USD	-3,543.25	
25SEP17	B 1 PD27DEC17	3092.00	3239.23	USD	3,680.75	
	NET 0AVG BGHT 3092.00 SOLD 3097.50				137.50	0.00

OPEN POSITION VALUE

		USD
LME Copper Grade A 25	FUTURES	-27,544.50
LME Lead 25 tonnes. This is an	FUTURES	125.00
LME Nickel 6 tonnes. This is	FUTURES	420.00
LME Tin 5 tonnes. This is an	FUTURES	1,000.00
LME Zinc 25 tonnes. This is	FUTURES	-612.50

MARKET REVALUATION BREAKDOWN

Unrealised Profits & Losses - Forwards	Amount	Profit / Loss	Total Amount	Total P&L
COPL - Futures USD	-27,420.00	-27,420.00		
LEAD - Futures USD	125.00	125.00		
NKL - Futures USD	420.00	420.00		
TIN - Futures USD	1,000.00	1,000.00		
ZINC - Futures USD	-612.50	-612.50	-26,487.50	-26,487.50

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ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		22,826.68	22,826.68
Variation Margins	- Futures	0.00	0.00
	- Options	0.00	0.00
TOTAL EQUITY		22,826.68	22,826.68
Initial Margins		-27,017.50	-27,017.50
Excess/(Shortage)		-4,190.82	-4,190.82
FOR INFORMATION ONLY			
Forward Unrealised P&L		-26,487.50	-26,487.50
Net Liquidating Value		-3,660.82	-3,660.82

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
23AUG17	B	1	PD24NOV17	6547.00	6866.73	USD	7,993.25	
23AUG17	S	1	PD24NOV17	6564.00	6866.73	USD	-7,568.25	
24AUG17	S	1	PD24NOV17	6608.50	6866.73	USD	-6,455.75	
24AUG17	S	1	PD24NOV17	6616.00	6866.73	USD	-6,268.25	
24AUG17	B	2	PD24NOV17	6620.00	6866.73	USD	12,336.50	
24AUG17	S	1	PD24NOV17	6679.50	6866.73	USD	-4,680.75	
24AUG17	B	1	PD24NOV17	6680.50	6866.73	USD	4,655.75	
24AUG17	S	1	PD24NOV17	6711.00	6866.73	USD	-3,893.25	
24AUG17	S	1	PD24NOV17	6705.00	6866.73	USD	-4,043.25	
25AUG17	B	1	PD24NOV17	6728.50	6866.73	USD	3,455.75	
29SEP17	B	1	PD24NOV17	6467.42	6866.73	USD	9,982.75	
	NET	0AVG	BGHT 6610.57	SOLD 6647.33			5,514.50	0.00
29AUG17	B	1	PD29NOV17	6755.00	6869.07	USD	2,851.75	
29AUG17	S	1	PD29NOV17	6803.50	6869.07	USD	-1,639.25	
	NET	0AVG	BGHT 6755.00	SOLD 6803.50			1,212.50	0.00
30AUG17	B	1	PD30NOV17	6775.00	6869.53	USD	2,363.25	
30AUG17	B	1	PD30NOV17	6802.50	6869.53	USD	1,675.75	
30AUG17	S	1	PD30NOV17	6801.00	6869.53	USD	-1,713.25	
29SEP17	S	1	PD30NOV17	6470.72	6869.53	USD	-9,970.25	
	NET	0AVG	BGHT 6788.75	SOLD 6635.86			-7,644.50	0.00
01SEP17	S	1	PD01DEC17	6824.00	6870.00	USD	-1,150.00	
01SEP17	B	1	PD01DEC17	6804.00	6870.00	USD	1,650.00	
01SEP17	S	1	PD01DEC17	6823.00	6870.00	USD	-1,175.00	
01SEP17	S	1	PD01DEC17	6840.00	6870.00	USD	-750.00	
29SEP17	B	2	PD01DEC17	6471.50	6870.00	USD	19,925.00	
	NET	0AVG	BGHT 6582.33	SOLD 6829.00			18,500.00	0.00
04SEP17	B	1	PD04DEC17	6900.50	6871.20	USD	-732.50	
04SEP17	S	1	PD04DEC17	6904.00	6871.20	USD	820.00	
04SEP17	S	1	PD04DEC17	6890.50	6871.20	USD	482.50	
04SEP17	B	1	PD04DEC17	6914.50	6871.20	USD	-1,082.50	
04SEP17	S	1	PD04DEC17	6913.00	6871.20	USD	1,045.00	
04SEP17	B	1	PD04DEC17	6890.00	6871.20	USD	-470.00	
	NET	0AVG	BGHT 6901.67	SOLD 6902.50			62.50	0.00
05SEP17	B	1	PD05DEC17	6932.50	6871.60	USD	-1,522.50	
05SEP17	B	1	PD05DEC17	6950.00	6871.60	USD	-1,960.00	
29SEP17	S	2	PD05DEC17	6472.05	6871.60	USD	-19,977.50	
	NET	0AVG	BGHT 6941.25	SOLD 6472.05			-23,460.00	0.00
06SEP17	S	2	PD06DEC17	6886.00	6872.00	USD	700.00	
06SEP17	S	1	PD06DEC17	6911.00	6872.00	USD	975.00	
06SEP17	S	1	PD06DEC17	6910.00	6872.00	USD	950.00	
06SEP17	S	1	PD06DEC17	6919.50	6872.00	USD	1,187.50	
06SEP17	B	1	PD06DEC17	6885.00	6872.00	USD	-325.00	
06SEP17	B	3	PD06DEC17	6886.00	6872.00	USD	-1,050.00	
06SEP17	B	1	PD06DEC17	6887.00	6872.00	USD	-375.00	
06SEP17	S	1	PD06DEC17	6889.50	6872.00	USD	437.50	
06SEP17	B	1	PD06DEC17	6870.50	6872.00	USD	37.50	
06SEP17	S	1	PD06DEC17	6890.00	6872.00	USD	450.00	
06SEP17	S	1	PD06DEC17	6891.00	6872.00	USD	475.00	
06SEP17	S	1	PD06DEC17	6899.00	6872.00	USD	675.00	
29SEP17	B	3	PD06DEC17	6472.72	6872.00	USD	29,946.00	
	NET	0AVG	BGHT 6746.52	SOLD 6898.00			34,083.50	0.00
07SEP17	B	1	PD07DEC17	6864.00	6872.42	USD	210.50	
07SEP17	B	1	PD07DEC17	6856.00	6872.42	USD	410.50	
07SEP17	S	1	PD07DEC17	6878.00	6872.42	USD	139.50	
07SEP17	S	1	PD07DEC17	6905.00	6872.42	USD	814.50	

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	<u>LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT</u>							
	NET	0 AVG BGHT 6860.00	SOLD 6891.50				1,575.00	0.00
08SEP17	B	1 PD08DEC17		6928.00	6872.83	USD	-1,379.25	
08SEP17	B	2 PD08DEC17		6928.50	6872.83	USD	-2,783.50	
08SEP17	B	1 PD08DEC17		6785.00	6872.83	USD	2,195.75	
08SEP17	S	1 PD08DEC17		6748.50	6872.83	USD	-3,108.25	
08SEP17	B	1 PD08DEC17		6702.50	6872.83	USD	4,258.25	
08SEP17	S	1 PD08DEC17		6696.00	6872.83	USD	-4,420.75	
08SEP17	B	1 PD08DEC17		6694.00	6872.83	USD	4,470.75	
29SEP17	S	3 PD08DEC17		6473.45	6872.83	USD	-29,953.50	
29SEP17	S	1 PD08DEC17		6473.65	6872.83	USD	-9,979.50	
	NET	0 AVG BGHT 6827.75	SOLD 6556.42				-40,700.00	0.00
11SEP17	S	1 PD11DEC17		6713.00	6874.08	USD	-4,027.00	
29SEP17	B	1 PD11DEC17		6475.25	6874.08	USD	9,970.75	
	NET	0 AVG BGHT 6475.25	SOLD 6713.00				5,943.75	0.00
12SEP17	S	1 PD12DEC17		6707.00	6874.50	USD	-4,187.50	
12SEP17	B	1 PD12DEC17		6692.50	6874.50	USD	4,550.00	
	NET	0 AVG BGHT 6692.50	SOLD 6707.00				362.50	0.00
13SEP17	B	1 PD13DEC17		6613.00	6874.92	USD	6,548.00	
13SEP17	S	1 PD13DEC17		6558.50	6874.92	USD	-7,910.50	
13SEP17	B	1 PD13DEC17		6560.50	6874.92	USD	7,860.50	
13SEP17	B	1 PD13DEC17		6550.50	6874.92	USD	8,110.50	
13SEP17	S	1 PD13DEC17		6548.00	6874.92	USD	-8,173.00	
13SEP17	S	1 PD13DEC17		6569.00	6874.92	USD	-7,648.00	
13SEP17	S	1 PD13DEC17		6574.50	6874.92	USD	-7,510.50	
13SEP17	B	3 PD13DEC17		6563.00	6874.92	USD	23,394.00	
13SEP17	S	1 PD13DEC17		6565.00	6874.92	USD	-7,748.00	
13SEP17	B	1 PD13DEC17		6550.00	6874.92	USD	8,123.00	
29SEP17	S	2 PD13DEC17		6475.98	6874.92	USD	-19,947.00	
	NET	0 AVG BGHT 6566.14	SOLD 6538.14				-4,901.00	0.00
14SEP17	S	1 PD14DEC17		6494.00	6875.33	USD	-9,533.25	
14SEP17	S	1 PD14DEC17		6498.00	6875.33	USD	-9,433.25	
14SEP17	B	1 PD14DEC17		6506.50	6875.33	USD	9,220.75	
14SEP17	S	1 PD14DEC17		6502.50	6875.33	USD	-9,320.75	
14SEP17	S	1 PD14DEC17		6504.00	6875.33	USD	-9,283.25	
14SEP17	B	1 PD14DEC17		6514.00	6875.33	USD	9,033.25	
14SEP17	S	1 PD14DEC17		6514.00	6875.33	USD	-9,033.25	
14SEP17	S	1 PD14DEC17		6527.00	6875.33	USD	-8,708.25	
14SEP17	B	1 PD14DEC17		6522.50	6875.33	USD	8,820.75	
14SEP17	B	1 PD14DEC17		6505.00	6875.33	USD	9,258.25	
29SEP17	B	2 PD14DEC17		6476.65	6875.33	USD	19,934.00	
	NET	0 AVG BGHT 6500.22	SOLD 6506.58				955.00	0.00
15SEP17	B	1 PD15DEC17		6510.00	6875.75	USD	9,143.75	
15SEP17	S	1 PD15DEC17		6507.00	6875.75	USD	-9,218.75	
	NET	0 AVG BGHT 6510.00	SOLD 6507.00				-75.00	0.00
18SEP17	B	1 PD18DEC17		6528.00	6877.00	USD	8,725.00	
18SEP17	B	1 PD18DEC17		6530.00	6877.00	USD	8,675.00	
18SEP17	S	1 PD18DEC17		6538.00	6877.00	USD	-8,475.00	
18SEP17	S	1 PD18DEC17		6543.50	6877.00	USD	-8,337.50	
	NET	0 AVG BGHT 6529.00	SOLD 6540.75				587.50	0.00
19SEP17	B	1 PD19DEC17		6515.00	6877.00	USD	9,050.00	
29SEP17	S	1 PD19DEC17		6478.78	6877.00	USD	-9,955.50	
	NET	0 AVG BGHT 6515.00	SOLD 6478.78				-905.50	0.00
20SEP17	B	1 PD20DEC17		6538.50	6877.00	USD	8,462.50	
20SEP17	B	1 PD20DEC17		6539.50	6877.00	USD	8,437.50	
20SEP17	S	1 PD20DEC17		6565.00	6877.00	USD	-7,800.00	

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20SEP17	S 1 PD20DEC17	6552.50	6877.00	USD	-8,112.50	
	NET 0 AVG BGHT 6539.00 SOLD 6558.75				987.50	0.00
21SEP17	S 1 PD21DEC17	6499.00	6876.00	USD	-9,425.00	
21SEP17	S 1 PD21DEC17	6469.00	6876.00	USD	-10,175.00	
21SEP17	B 1 PD21DEC17	6463.00	6876.00	USD	10,325.00	
29SEP17	B 1 PD21DEC17	6478.45	6876.00	USD	9,938.75	
	NET 0 AVG BGHT 6470.73 SOLD 6484.00				663.75	0.00
22SEP17	B 1 PD22DEC17	6375.00	6875.00	USD	12,500.00	
22SEP17	S 2 PD22DEC17	6408.00	6875.00	USD	-23,350.00	
22SEP17	B 1 PD22DEC17	6411.50	6875.00	USD	11,587.50	
22SEP17	S 1 PD22DEC17	6420.50	6875.00	USD	-11,362.50	
22SEP17	B 1 PD22DEC17	6466.00	6875.00	USD	10,225.00	
22SEP17	S 1 PD22DEC17	6476.00	6875.00	USD	-9,975.00	
22SEP17	B 1 PD22DEC17	6412.50	6875.00	USD	11,562.50	
	NET 0 AVG BGHT 6416.25 SOLD 6428.13				1,187.50	0.00
26SEP17	B 1 PD27DEC17	6431.50	6876.67	USD	11,129.25	
26SEP17	S 1 PD27DEC17	6409.50	6876.67	USD	-11,679.25	
	NET 0 AVG BGHT 6431.50 SOLD 6409.50				-550.00	0.00
28SEP17	B 1 PD28DEC17	6510.00	6877.00	USD	9,175.00	
28SEP17	S 1 PD28DEC17	6528.00	6877.00	USD	-8,725.00	
28SEP17	B 1 PD28DEC17	6520.50	6877.00	USD	8,912.50	
28SEP17	S 1 PD28DEC17	6504.00	6877.00	USD	-9,325.00	
	NET 0 AVG BGHT 6515.25 SOLD 6516.00				37.50	0.00
03OCT17	B 1 PD03JAN18	6503.00	6879.00	USD	9,400.00	
03OCT17	S 1 PD03JAN18	6499.00	6879.00	USD	-9,500.00	
	NET 0 AVG BGHT 6503.00 SOLD 6499.00				-100.00	0.00
05OCT17	S 1 PD05JAN18	6629.00	6879.67	USD	-6,266.75	
05OCT17	S 1 PD05JAN18	6630.00	6879.67	USD	-6,241.75	
05OCT17	S 1 PD05JAN18	6632.00	6879.67	USD	-6,191.75	
	NET -3 AVG SOLD 6630.33				-18,700.25	0.00
10OCT17	B 1 PD10JAN18	6709.00	6881.33	USD	4,308.25	
10OCT17	B 2 PD10JAN18	6695.50	6881.33	USD	9,291.50	
	NET +3 AVG BGHT 6700.00				13,599.75	0.00
12OCT17	S 1 PD12JAN18	6861.00	6882.00	USD	-525.00	
12OCT17	S 1 PD12JAN18	6864.00	6882.00	USD	-450.00	
	NET -2 AVG SOLD 6862.50				-975.00	0.00
	<u>CMX COPPER 25,000 CMX</u>					
12OCT17	B 1 DEC17	311.700	313.350	USD	412.50	
12OCT17	B 2 DEC17	311.700	313.350	USD	825.00	
12OCT17	B 1 DEC17	312.500	313.350	USD	212.50	
	NET +4 AVG BGHT 311.900				1,450.00	0.00
	<u>LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
06SEP17	S 1 PD06DEC17	2324.50	2525.63	USD	-5,028.25	
06SEP17	B 1 PD06DEC17	2331.00	2525.63	USD	4,865.75	
06SEP17	S 1 PD06DEC17	2333.00	2525.63	USD	-4,815.75	
06SEP17	S 1 PD06DEC17	2345.00	2525.63	USD	-4,515.75	
06SEP17	S 1 PD06DEC17	2353.50	2525.63	USD	-4,303.25	
06SEP17	B 2 PD06DEC17	2359.00	2525.63	USD	8,331.50	
06SEP17	S 1 PD06DEC17	2358.00	2525.63	USD	-4,190.75	
06SEP17	S 1 PD06DEC17	2357.50	2525.63	USD	-4,203.25	
06SEP17	B 2 PD06DEC17	2360.00	2525.63	USD	8,281.50	
06SEP17	B 1 PD06DEC17	2362.00	2525.63	USD	4,090.75	
	NET 0 AVG BGHT 2355.17 SOLD 2345.25				-1,487.50	0.00

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15SEP17	S 1 PD15DEC17	2343.00	2526.99	USD	-4,599.75	
15SEP17	B 1 PD15DEC17	2358.00	2526.99	USD	4,224.75	
	NET 0 AVG BGHT 2358.00 SOLD 2343.00				-375.00	0.00
22SEP17	S 1 PD22DEC17	2467.00	2526.90	USD	-1,497.50	
22SEP17	B 1 PD22DEC17	2471.00	2526.90	USD	1,397.50	
22SEP17	S 1 PD22DEC17	2483.00	2526.90	USD	-1,097.50	
22SEP17	B 1 PD22DEC17	2467.00	2526.90	USD	1,497.50	
	NET 0 AVG BGHT 2469.00 SOLD 2475.00				300.00	0.00
25SEP17	S 1 PD27DEC17	2485.00	2527.64	USD	-1,066.00	
25SEP17	B 1 PD27DEC17	2480.50	2527.64	USD	1,178.50	
	NET 0 AVG BGHT 2480.50 SOLD 2485.00				112.50	0.00
02OCT17	B 1 PD02JAN18	2530.00	2528.52	USD	-37.00	
02OCT17	S 1 PD02JAN18	2529.50	2528.52	USD	24.50	
	NET 0 AVG BGHT 2530.00 SOLD 2529.50				-12.50	0.00
03OCT17	S 1 PD03JAN18	2518.00	2528.67	USD	-266.75	
03OCT17	B 1 PD03JAN18	2522.00	2528.67	USD	166.75	
03OCT17	S 1 PD03JAN18	2529.50	2528.67	USD	20.75	
03OCT17	B 1 PD03JAN18	2532.50	2528.67	USD	-95.75	
03OCT17	S 1 PD03JAN18	2561.50	2528.67	USD	820.75	
03OCT17	B 1 PD03JAN18	2553.00	2528.67	USD	-608.25	
	NET 0 AVG BGHT 2535.83 SOLD 2536.33				37.50	0.00
	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
22AUG17	S 1 PD22NOV17	11525.00	11638.30	USD	-679.80	
22AUG17	B 1 PD22NOV17	11535.00	11638.30	USD	619.80	
	NET 0 AVG BGHT 11535.00 SOLD 11525.00				-60.00	0.00
29AUG17	S 1 PD29NOV17	11805.00	11643.10	USD	971.40	
29AUG17	B 1 PD29NOV17	11840.00	11643.10	USD	-1,181.40	
	NET 0 AVG BGHT 11840.00 SOLD 11805.00				-210.00	0.00
05SEP17	B 1 PD05DEC17	12035.00	11647.21	USD	-2,326.74	
05SEP17	S 1 PD05DEC17	12020.00	11647.21	USD	2,236.74	
	NET 0 AVG BGHT 12035.00 SOLD 12020.00				-90.00	0.00
12SEP17	S 1 PD12DEC17	11880.00	11652.01	USD	1,367.94	
12SEP17	B 1 PD12DEC17	11915.00	11652.01	USD	-1,577.94	
	NET 0 AVG BGHT 11915.00 SOLD 11880.00				-210.00	0.00
14SEP17	S 1 PD14DEC17	11340.00	11653.39	USD	-1,880.34	
14SEP17	B 1 PD14DEC17	11355.00	11653.39	USD	1,790.34	
	NET 0 AVG BGHT 11355.00 SOLD 11340.00				-90.00	0.00
18SEP17	S 1 PD18DEC17	11185.00	11656.13	USD	-2,826.78	
18SEP17	B 1 PD18DEC17	11190.00	11656.13	USD	2,796.78	
	NET 0 AVG BGHT 11190.00 SOLD 11185.00				-30.00	0.00
21SEP17	B 1 PD21DEC17	11140.00	11658.26	USD	3,109.56	
21SEP17	S 1 PD21DEC17	11130.00	11658.26	USD	-3,169.56	
21SEP17	B 1 PD21DEC17	10725.00	11658.26	USD	5,599.56	
21SEP17	S 1 PD21DEC17	10690.00	11658.26	USD	-5,809.56	
	NET 0 AVG BGHT 10932.50 SOLD 10910.00				-270.00	0.00
02OCT17	B 1 PD02JAN18	10430.00	11667.39	USD	7,424.34	
02OCT17	S 1 PD02JAN18	10450.00	11667.39	USD	-7,304.34	
02OCT17	S 1 PD02JAN18	10360.00	11667.39	USD	-7,844.34	
02OCT17	B 1 PD02JAN18	10375.00	11667.39	USD	7,754.34	
	NET 0 AVG BGHT 10402.50 SOLD 10405.00				30.00	0.00
03OCT17	B 1 PD03JAN18	10750.00	11668.15	USD	5,508.90	
03OCT17	S 1 PD03JAN18	10740.00	11668.15	USD	-5,568.90	

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	<u>LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
03OCT17	B	1	PD03JAN18	10625.00	11668.15	USD	6,258.90	
03OCT17	S	1	PD03JAN18	10575.00	11668.15	USD	-6,558.90	
03OCT17	B	1	PD03JAN18	10555.00	11668.15	USD	6,678.90	
03OCT17	S	1	PD03JAN18	10585.00	11668.15	USD	-6,498.90	
	NET	0 AVG	BGHT 10643.33	SOLD 10633.33			-180.00	0.00
04OCT17	S	1	PD04JAN18	10800.00	11668.91	USD	-5,213.46	
04OCT17	B	1	PD04JAN18	10805.00	11668.91	USD	5,183.46	
	NET	0 AVG	BGHT 10805.00	SOLD 10800.00			-30.00	0.00
09OCT17	S	1	PD09JAN18	10785.00	11672.72	USD	-5,326.32	
09OCT17	B	1	PD09JAN18	10850.00	11672.72	USD	4,936.32	
	NET	0 AVG	BGHT 10850.00	SOLD 10785.00			-390.00	0.00
10OCT17	B	1	PD10JAN18	10960.00	11673.48	USD	4,280.88	
10OCT17	S	1	PD10JAN18	10870.00	11673.48	USD	-4,820.88	
10OCT17	B	1	PD10JAN18	10905.00	11673.48	USD	4,610.88	
	NET	+1 AVG	BGHT 10932.50	SOLD 10870.00			4,070.88	0.00
11OCT17	S	1	PD11JAN18	11180.00	11674.24	USD	-2,965.44	
	NET	-1 AVG	SOLD 11180.00				-2,965.44	0.00
	<u>LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
18AUG17	B	2	PD17NOV17	20300.00	20681.25	USD	3,812.50	
18AUG17	S	1	PD17NOV17	20200.00	20681.25	USD	-2,406.25	
18AUG17	B	1	PD17NOV17	20230.00	20681.25	USD	2,256.25	
18AUG17	S	2	PD17NOV17	20290.00	20681.25	USD	-3,912.50	
18AUG17	S	1	PD17NOV17	20325.00	20681.25	USD	-1,781.25	
18AUG17	S	1	PD17NOV17	20335.00	20681.25	USD	-1,731.25	
18AUG17	B	1	PD17NOV17	20265.00	20681.25	USD	2,081.25	
18AUG17	B	1	PD17NOV17	20220.00	20681.25	USD	2,306.25	
18AUG17	B	1	PD17NOV17	20200.00	20681.25	USD	2,406.25	
18AUG17	S	1	PD17NOV17	20230.00	20681.25	USD	-2,256.25	
	NET	0 AVG	BGHT 20252.50	SOLD 20278.33			775.00	0.00
21AUG17	B	1	PD21NOV17	20330.00	20677.50	USD	1,737.50	
21AUG17	S	1	PD21NOV17	20375.00	20677.50	USD	-1,512.50	
21AUG17	B	1	PD21NOV17	20350.00	20677.50	USD	1,637.50	
21AUG17	S	1	PD21NOV17	20390.00	20677.50	USD	-1,437.50	
21AUG17	S	1	PD21NOV17	20370.00	20677.50	USD	-1,537.50	
21AUG17	S	2	PD21NOV17	20360.00	20677.50	USD	-3,175.00	
21AUG17	B	4	PD21NOV17	20335.00	20677.50	USD	6,850.00	
21AUG17	S	1	PD21NOV17	20355.00	20677.50	USD	-1,612.50	
21AUG17	S	1	PD21NOV17	20335.00	20677.50	USD	-1,712.50	
21AUG17	B	1	PD21NOV17	20320.00	20677.50	USD	1,787.50	
21AUG17	S	1	PD21NOV17	20415.00	20677.50	USD	-1,312.50	
21AUG17	B	1	PD21NOV17	20400.00	20677.50	USD	1,387.50	
	NET	0 AVG	BGHT 20342.50	SOLD 20370.00			1,100.00	0.00
22AUG17	B	1	PD22NOV17	20480.00	20675.63	USD	978.15	
22AUG17	S	1	PD22NOV17	20485.00	20675.63	USD	-953.15	
22AUG17	S	1	PD22NOV17	20450.00	20675.63	USD	-1,128.15	
22AUG17	S	1	PD22NOV17	20295.00	20675.63	USD	-1,903.15	
22AUG17	B	1	PD22NOV17	20265.00	20675.63	USD	2,053.15	
22AUG17	B	1	PD22NOV17	20425.00	20675.63	USD	1,253.15	
	NET	0 AVG	BGHT 20390.00	SOLD 20410.00			300.00	0.00
23AUG17	B	1	PD24NOV17	20290.00	20673.75	USD	1,918.75	
23AUG17	S	1	PD24NOV17	20360.00	20673.75	USD	-1,568.75	
23AUG17	S	1	PD24NOV17	20385.00	20673.75	USD	-1,443.75	
23AUG17	S	1	PD24NOV17	20395.00	20673.75	USD	-1,393.75	
23AUG17	B	1	PD24NOV17	20455.00	20673.75	USD	1,093.75	

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23AUG17	B	1	PD24NOV17	20350.00	20673.75	USD	1,618.75	
24AUG17	B	1	PD24NOV17	20550.00	20673.75	USD	618.75	
24AUG17	S	1	PD24NOV17	20570.00	20673.75	USD	-518.75	
24AUG17	S	1	PD24NOV17	20515.00	20673.75	USD	-793.75	
24AUG17	B	1	PD24NOV17	20490.00	20673.75	USD	918.75	
24AUG17	B	1	PD24NOV17	20505.00	20673.75	USD	843.75	
24AUG17	B	1	PD24NOV17	20430.00	20673.75	USD	1,218.75	
24AUG17	S	1	PD24NOV17	20465.00	20673.75	USD	-1,043.75	
24AUG17	B	1	PD24NOV17	20425.00	20673.75	USD	1,243.75	
24AUG17	S	1	PD24NOV17	20460.00	20673.75	USD	-1,068.75	
24AUG17	S	2	PD24NOV17	20495.00	20673.75	USD	-1,787.50	
24AUG17	B	1	PD24NOV17	20500.00	20673.75	USD	868.75	
24AUG17	S	2	PD24NOV17	20525.00	20673.75	USD	-1,487.50	
24AUG17	B	1	PD24NOV17	20515.00	20673.75	USD	793.75	
24AUG17	B	1	PD24NOV17	20510.00	20673.75	USD	818.75	
24AUG17	B	1	PD24NOV17	20475.00	20673.75	USD	993.75	
24AUG17	B	2	PD24NOV17	20495.00	20673.75	USD	1,787.50	
24AUG17	S	2	PD24NOV17	20530.00	20673.75	USD	-1,437.50	
24AUG17	S	1	PD24NOV17	20450.00	20673.75	USD	-1,118.75	
25AUG17	S	1	PD24NOV17	20425.00	20673.75	USD	-1,243.75	
25AUG17	B	1	PD24NOV17	20415.00	20673.75	USD	1,293.75	
25AUG17	B	1	PD24NOV17	20420.00	20673.75	USD	1,268.75	
25AUG17	S	1	PD24NOV17	20385.00	20673.75	USD	-1,443.75	
	NET	0AVG BGHT 20457.50	SOLD 20469.38				950.00	0.00
29AUG17	B	1	PD29NOV17	20550.00	20668.13	USD	590.65	
29AUG17	S	1	PD29NOV17	20610.00	20668.13	USD	-290.65	
29AUG17	S	1	PD29NOV17	20425.00	20668.13	USD	-1,215.65	
29AUG17	B	1	PD29NOV17	20475.00	20668.13	USD	965.65	
29AUG17	S	1	PD29NOV17	20520.00	20668.13	USD	-740.65	
29AUG17	B	1	PD29NOV17	20500.00	20668.13	USD	840.65	
	NET	0AVG BGHT 20508.33	SOLD 20518.33				150.00	0.00
30AUG17	B	1	PD30NOV17	20400.00	20666.25	USD	1,331.25	
30AUG17	S	1	PD30NOV17	20435.00	20666.25	USD	-1,156.25	
30AUG17	S	1	PD30NOV17	20500.00	20666.25	USD	-831.25	
30AUG17	S	1	PD30NOV17	20530.00	20666.25	USD	-681.25	
30AUG17	S	2	PD30NOV17	20595.00	20666.25	USD	-712.50	
30AUG17	B	4	PD30NOV17	20600.00	20666.25	USD	1,325.00	
30AUG17	B	1	PD30NOV17	20545.00	20666.25	USD	606.25	
30AUG17	B	1	PD30NOV17	20550.00	20666.25	USD	581.25	
30AUG17	S	1	PD30NOV17	20645.00	20666.25	USD	-106.25	
30AUG17	S	1	PD30NOV17	20650.00	20666.25	USD	-81.25	
	NET	0AVG BGHT 20556.43	SOLD 20564.29				275.00	0.00
01SEP17	S	1	PD01DEC17	20720.00	20664.38	USD	278.10	
01SEP17	S	1	PD01DEC17	20730.00	20664.38	USD	328.10	
01SEP17	S	1	PD01DEC17	20750.00	20664.38	USD	428.10	
01SEP17	B	1	PD01DEC17	20760.00	20664.38	USD	-478.10	
01SEP17	B	2	PD01DEC17	20710.00	20664.38	USD	-456.20	
01SEP17	B	1	PD01DEC17	20705.00	20664.38	USD	-203.10	
01SEP17	B	2	PD01DEC17	20750.00	20664.38	USD	-856.20	
01SEP17	S	1	PD01DEC17	20765.00	20664.38	USD	503.10	
01SEP17	S	1	PD01DEC17	20775.00	20664.38	USD	553.10	
01SEP17	B	1	PD01DEC17	20725.00	20664.38	USD	-303.10	
01SEP17	S	1	PD01DEC17	20715.00	20664.38	USD	253.10	
01SEP17	S	2	PD01DEC17	20705.00	20664.38	USD	406.20	
01SEP17	S	1	PD01DEC17	20710.00	20664.38	USD	228.10	
01SEP17	B	2	PD01DEC17	20700.00	20664.38	USD	-356.20	

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01SEP17	B	1	PD01DEC17		20675.00	20664.38	USD	-53.10	
01SEP17	S	1	PD01DEC17		20695.00	20664.38	USD	153.10	
	NET	0AVG	BGHT 20718.50	SOLD 20727.00				425.00	0.00
04SEP17	B	1	PD04DEC17		20735.00	20662.50	USD	-362.50	
04SEP17	B	1	PD04DEC17		20700.00	20662.50	USD	-187.50	
04SEP17	S	1	PD04DEC17		20690.00	20662.50	USD	137.50	
04SEP17	S	4	PD04DEC17		20730.00	20662.50	USD	1,350.00	
04SEP17	B	1	PD04DEC17		20760.00	20662.50	USD	-487.50	
29SEP17	B	2	PD04DEC17		20745.00	20662.50	USD	-825.00	
	NET	0AVG	BGHT 20737.00	SOLD 20722.00				-375.00	0.00
05SEP17	B	2	PD05DEC17		20800.00	20660.63	USD	-1,393.70	
05SEP17	S	2	PD05DEC17		20700.00	20660.63	USD	393.70	
05SEP17	B	1	PD05DEC17		20740.00	20660.63	USD	-396.85	
05SEP17	B	1	PD05DEC17		20765.00	20660.63	USD	-521.85	
05SEP17	S	1	PD05DEC17		20710.00	20660.63	USD	246.85	
05SEP17	B	1	PD05DEC17		20685.00	20660.63	USD	-121.85	
05SEP17	B	1	PD05DEC17		20700.00	20660.63	USD	-196.85	
05SEP17	S	1	PD05DEC17		20695.00	20660.63	USD	171.85	
29SEP17	S	2	PD05DEC17		20741.92	20660.63	USD	812.90	
	NET	0AVG	BGHT 20748.33	SOLD 20714.81				-1,005.80	0.00
06SEP17	B	1	PD06DEC17		20685.00	20658.75	USD	-131.25	
06SEP17	S	1	PD06DEC17		20690.00	20658.75	USD	156.25	
06SEP17	B	1	PD06DEC17		20605.00	20658.75	USD	268.75	
06SEP17	B	1	PD06DEC17		20640.00	20658.75	USD	93.75	
06SEP17	S	2	PD06DEC17		20630.00	20658.75	USD	-287.50	
	NET	0AVG	BGHT 20643.33	SOLD 20650.00				100.00	0.00
07SEP17	S	1	PD07DEC17		20740.00	20656.88	USD	415.60	
07SEP17	B	1	PD07DEC17		20730.00	20656.88	USD	-365.60	
07SEP17	S	1	PD07DEC17		20715.00	20656.88	USD	290.60	
07SEP17	B	2	PD07DEC17		20700.00	20656.88	USD	-431.20	
07SEP17	S	2	PD07DEC17		20770.00	20656.88	USD	1,131.20	
07SEP17	B	1	PD07DEC17		20760.00	20656.88	USD	-515.60	
	NET	0AVG	BGHT 20722.50	SOLD 20748.75				525.00	0.00
08SEP17	S	1	PD08DEC17		20800.00	20655.00	USD	725.00	
08SEP17	B	1	PD08DEC17		20740.00	20655.00	USD	-425.00	
08SEP17	S	1	PD08DEC17		20695.00	20655.00	USD	200.00	
08SEP17	B	1	PD08DEC17		20700.00	20655.00	USD	-225.00	
08SEP17	S	1	PD08DEC17		20700.00	20655.00	USD	225.00	
08SEP17	B	1	PD08DEC17		20710.00	20655.00	USD	-275.00	
08SEP17	B	1	PD08DEC17		20755.00	20655.00	USD	-500.00	
08SEP17	S	1	PD08DEC17		20745.00	20655.00	USD	450.00	
08SEP17	B	1	PD08DEC17		20630.00	20655.00	USD	125.00	
08SEP17	S	1	PD08DEC17		20615.00	20655.00	USD	-200.00	
	NET	0AVG	BGHT 20707.00	SOLD 20711.00				100.00	0.00
11SEP17	S	1	PD11DEC17		20740.00	20653.13	USD	434.35	
11SEP17	B	1	PD11DEC17		20745.00	20653.13	USD	-459.35	
11SEP17	B	1	PD11DEC17		20705.00	20653.13	USD	-259.35	
11SEP17	S	1	PD11DEC17		20720.00	20653.13	USD	334.35	
	NET	0AVG	BGHT 20725.00	SOLD 20730.00				50.00	0.00
12SEP17	B	1	PD12DEC17		20670.00	20651.25	USD	-93.75	
12SEP17	B	1	PD12DEC17		20695.00	20651.25	USD	-218.75	
12SEP17	S	1	PD12DEC17		20690.00	20651.25	USD	193.75	
12SEP17	S	1	PD12DEC17		20710.00	20651.25	USD	293.75	
	NET	0AVG	BGHT 20682.50	SOLD 20700.00				175.00	0.00
13SEP17	S	1	PD13DEC17		20665.00	20649.38	USD	78.10	

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13SEP17	B	1	PD13DEC17	20635.00	20649.38	USD	71.90	
13SEP17	B	1	PD13DEC17	20620.00	20649.38	USD	146.90	
13SEP17	S	1	PD13DEC17	20635.00	20649.38	USD	-71.90	
13SEP17	B	1	PD13DEC17	20550.00	20649.38	USD	496.90	
13SEP17	S	1	PD13DEC17	20595.00	20649.38	USD	-271.90	
	NET	0 AVG BGHT	20601.67	SOLD	20631.67		450.00	0.00
14SEP17	B	1	PD14DEC17	20570.00	20647.50	USD	387.50	
14SEP17	S	1	PD14DEC17	20590.00	20647.50	USD	-287.50	
14SEP17	S	1	PD14DEC17	20675.00	20647.50	USD	137.50	
14SEP17	B	1	PD14DEC17	20635.00	20647.50	USD	62.50	
14SEP17	B	1	PD14DEC17	20550.00	20647.50	USD	487.50	
14SEP17	S	1	PD14DEC17	20555.00	20647.50	USD	-462.50	
	NET	0 AVG BGHT	20585.00	SOLD	20606.67		325.00	0.00
15SEP17	S	1	PD15DEC17	20595.00	20645.63	USD	-253.15	
15SEP17	B	1	PD15DEC17	20580.00	20645.63	USD	328.15	
15SEP17	S	1	PD15DEC17	20550.00	20645.63	USD	-478.15	
15SEP17	B	1	PD15DEC17	20535.00	20645.63	USD	553.15	
	NET	0 AVG BGHT	20557.50	SOLD	20572.50		150.00	0.00
18SEP17	S	1	PD18DEC17	20735.00	20643.75	USD	456.25	
18SEP17	B	1	PD18DEC17	20640.00	20643.75	USD	18.75	
18SEP17	B	1	PD18DEC17	20635.00	20643.75	USD	43.75	
18SEP17	S	1	PD18DEC17	20650.00	20643.75	USD	31.25	
18SEP17	B	1	PD18DEC17	20655.00	20643.75	USD	-56.25	
18SEP17	S	1	PD18DEC17	20615.00	20643.75	USD	-143.75	
	NET	0 AVG BGHT	20643.33	SOLD	20666.67		350.00	0.00
19SEP17	S	1	PD19DEC17	20720.00	20641.88	USD	390.60	
19SEP17	S	1	PD19DEC17	20715.00	20641.88	USD	365.60	
19SEP17	B	1	PD19DEC17	20700.00	20641.88	USD	-290.60	
19SEP17	B	1	PD19DEC17	20695.00	20641.88	USD	-265.60	
	NET	0 AVG BGHT	20697.50	SOLD	20717.50		200.00	0.00
20SEP17	B	1	PD20DEC17	20750.00	20640.00	USD	-550.00	
20SEP17	S	1	PD20DEC17	20645.00	20640.00	USD	25.00	
	NET	0 AVG BGHT	20750.00	SOLD	20645.00		-525.00	0.00
21SEP17	B	1	PD21DEC17	20560.00	20637.14	USD	385.70	
21SEP17	S	1	PD21DEC17	20540.00	20637.14	USD	-485.70	
	NET	0 AVG BGHT	20560.00	SOLD	20540.00		-100.00	0.00
22SEP17	S	1	PD22DEC17	20455.00	20634.29	USD	-896.45	
22SEP17	B	1	PD22DEC17	20445.00	20634.29	USD	946.45	
22SEP17	S	1	PD22DEC17	20615.00	20634.29	USD	-96.45	
22SEP17	S	1	PD22DEC17	20640.00	20634.29	USD	28.55	
22SEP17	B	1	PD22DEC17	20585.00	20634.29	USD	246.45	
22SEP17	B	1	PD22DEC17	20605.00	20634.29	USD	146.45	
22SEP17	S	2	PD22DEC17	20575.00	20634.29	USD	-592.90	
22SEP17	B	2	PD22DEC17	20555.00	20634.29	USD	792.90	
	NET	0 AVG BGHT	20549.00	SOLD	20572.00		575.00	0.00
25SEP17	B	2	PD27DEC17	20625.00	20631.43	USD	64.30	
25SEP17	S	1	PD27DEC17	20605.00	20631.43	USD	-132.15	
25SEP17	S	1	PD27DEC17	20580.00	20631.43	USD	-257.15	
25SEP17	S	1	PD27DEC17	20585.00	20631.43	USD	-232.15	
25SEP17	B	1	PD27DEC17	20600.00	20631.43	USD	157.15	
25SEP17	S	1	PD27DEC17	20645.00	20631.43	USD	67.85	
25SEP17	B	1	PD27DEC17	20675.00	20631.43	USD	-217.85	
25SEP17	S	1	PD27DEC17	20675.00	20631.43	USD	217.85	
25SEP17	B	1	PD27DEC17	20655.00	20631.43	USD	-117.85	
26SEP17	S	1	PD27DEC17	20645.00	20631.43	USD	67.85	

OPEN POSITION STATEMENT



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	<u>LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>								
26SEP17	B	1	PD27DEC17		20655.00	20631.43	USD	-117.85	
27SEP17	S	1	PD27DEC17		20670.00	20631.43	USD	192.85	
27SEP17	S	1	PD27DEC17		20675.00	20631.43	USD	217.85	
27SEP17	S	1	PD27DEC17		20690.00	20631.43	USD	292.85	
27SEP17	B	1	PD27DEC17		20635.00	20631.43	USD	-17.85	
27SEP17	B	1	PD27DEC17		20665.00	20631.43	USD	-167.85	
27SEP17	S	1	PD27DEC17		20680.00	20631.43	USD	242.85	
27SEP17	B	2	PD27DEC17		20650.00	20631.43	USD	-185.70	
	NET	0AVG	BGHT 20643.50	SOLD 20645.00				75.00	0.00
29SEP17	S	1	PD29DEC17		20695.00	20625.71	USD	346.45	
29SEP17	B	1	PD29DEC17		20705.00	20625.71	USD	-396.45	
29SEP17	S	1	PD29DEC17		20770.00	20625.71	USD	721.45	
29SEP17	B	1	PD29DEC17		20720.00	20625.71	USD	-471.45	
	NET	0AVG	BGHT 20712.50	SOLD 20732.50				200.00	0.00
02OCT17	B	1	PD02JAN18		20670.00	20622.86	USD	-235.70	
02OCT17	S	1	PD02JAN18		20690.00	20622.86	USD	335.70	
02OCT17	S	2	PD02JAN18		20725.00	20622.86	USD	1,021.40	
02OCT17	B	2	PD02JAN18		20755.00	20622.86	USD	-1,321.40	
02OCT17	B	1	PD02JAN18		20685.00	20622.86	USD	-310.70	
02OCT17	S	1	PD02JAN18		20695.00	20622.86	USD	360.70	
	NET	0AVG	BGHT 20716.25	SOLD 20708.75				-150.00	0.00
03OCT17	S	1	PD03JAN18		20730.00	20620.00	USD	550.00	
03OCT17	B	1	PD03JAN18		20750.00	20620.00	USD	-650.00	
03OCT17	S	1	PD03JAN18		20740.00	20620.00	USD	600.00	
03OCT17	S	1	PD03JAN18		20770.00	20620.00	USD	750.00	
03OCT17	S	1	PD03JAN18		20745.00	20620.00	USD	625.00	
03OCT17	B	2	PD03JAN18		20730.00	20620.00	USD	-1,100.00	
03OCT17	B	2	PD03JAN18		20790.00	20620.00	USD	-1,700.00	
03OCT17	B	1	PD03JAN18		20755.00	20620.00	USD	-675.00	
03OCT17	S	1	PD03JAN18		20780.00	20620.00	USD	800.00	
03OCT17	S	1	PD03JAN18		20785.00	20620.00	USD	825.00	
	NET	0AVG	BGHT 20757.50	SOLD 20758.33				25.00	0.00
05OCT17	B	3	PD05JAN18		20875.00	20614.29	USD	-3,910.65	
05OCT17	S	1	PD05JAN18		20930.00	20614.29	USD	1,578.55	
05OCT17	S	1	PD05JAN18		20940.00	20614.29	USD	1,628.55	
05OCT17	B	2	PD05JAN18		20975.00	20614.29	USD	-3,607.10	
05OCT17	S	2	PD05JAN18		20965.00	20614.29	USD	3,507.10	
05OCT17	S	1	PD05JAN18		21110.00	20614.29	USD	2,478.55	
05OCT17	B	1	PD05JAN18		21055.00	20614.29	USD	-2,203.55	
05OCT17	B	1	PD05JAN18		21155.00	20614.29	USD	-2,703.55	
05OCT17	S	1	PD05JAN18		21135.00	20614.29	USD	2,603.55	
05OCT17	B	1	PD05JAN18		21005.00	20614.29	USD	-1,953.55	
05OCT17	B	1	PD05JAN18		21040.00	20614.29	USD	-2,128.55	
05OCT17	S	1	PD05JAN18		21150.00	20614.29	USD	2,678.55	
05OCT17	S	2	PD05JAN18		21090.00	20614.29	USD	4,757.10	
06OCT17	B	1	PD05JAN18		20990.00	20614.29	USD	-1,878.55	
06OCT17	B	1	PD05JAN18		21000.00	20614.29	USD	-1,928.55	
06OCT17	S	1	PD05JAN18		20975.00	20614.29	USD	1,803.55	
06OCT17	B	1	PD05JAN18		20970.00	20614.29	USD	-1,778.55	
06OCT17	S	1	PD05JAN18		21000.00	20614.29	USD	1,928.55	
06OCT17	B	1	PD05JAN18		20835.00	20614.29	USD	-1,103.55	
06OCT17	B	1	PD05JAN18		20920.00	20614.29	USD	-1,528.55	
06OCT17	S	1	PD05JAN18		20845.00	20614.29	USD	1,153.55	
06OCT17	B	1	PD05JAN18		20850.00	20614.29	USD	-1,178.55	
06OCT17	S	3	PD05JAN18		21020.00	20614.29	USD	6,085.65	
06OCT17	B	1	PD05JAN18		20810.00	20614.29	USD	-978.55	

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	<u>LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
06OCT17	B	1	PD05JAN18	20940.00	20614.29	USD	-1,628.55	
06OCT17	B	1	PD05JAN18	20965.00	20614.29	USD	-1,753.55	
06OCT17	S	1	PD05JAN18	20965.00	20614.29	USD	1,753.55	
06OCT17	S	1	PD05JAN18	20635.00	20614.29	USD	103.55	
06OCT17	S	1	PD05JAN18	20640.00	20614.29	USD	128.55	
	NET	0 AVG BGHT	20950.56				1,925.00	0.00
09OCT17	B	1	PD09JAN18	20750.00	20608.57	USD	-707.15	
09OCT17	S	1	PD09JAN18	20790.00	20608.57	USD	907.15	
09OCT17	S	1	PD09JAN18	20885.00	20608.57	USD	1,382.15	
09OCT17	B	1	PD09JAN18	20850.00	20608.57	USD	-1,207.15	
09OCT17	B	1	PD09JAN18	20835.00	20608.57	USD	-1,132.15	
09OCT17	S	1	PD09JAN18	20745.00	20608.57	USD	682.15	
	NET	0 AVG BGHT	20811.67				-75.00	0.00
10OCT17	B	1	PD10JAN18	20855.00	20605.71	USD	-1,246.45	
10OCT17	S	1	PD10JAN18	20895.00	20605.71	USD	1,446.45	
10OCT17	B	1	PD10JAN18	20840.00	20605.71	USD	-1,171.45	
10OCT17	B	1	PD10JAN18	20750.00	20605.71	USD	-721.45	
10OCT17	S	1	PD10JAN18	20795.00	20605.71	USD	946.45	
10OCT17	S	1	PD10JAN18	20885.00	20605.71	USD	1,396.45	
10OCT17	B	2	PD10JAN18	20800.00	20605.71	USD	-1,942.90	
10OCT17	S	2	PD10JAN18	20765.00	20605.71	USD	1,592.90	
10OCT17	B	1	PD10JAN18	20835.00	20605.71	USD	-1,146.45	
10OCT17	S	1	PD10JAN18	20775.00	20605.71	USD	846.45	
	NET	0 AVG BGHT	20813.33				0.00	0.00
12OCT17	S	1	PD12JAN18	20790.00	20600.00	USD	950.00	
12OCT17	S	1	PD12JAN18	20800.00	20600.00	USD	1,000.00	
12OCT17	B	1	PD12JAN18	20765.00	20600.00	USD	-825.00	
12OCT17	S	1	PD12JAN18	20835.00	20600.00	USD	1,175.00	
12OCT17	B	1	PD12JAN18	20810.00	20600.00	USD	-1,050.00	
12OCT17	B	1	PD12JAN18	20800.00	20600.00	USD	-1,000.00	
13OCT17	S	1	PD12JAN18	20740.00	20600.00	USD	700.00	
13OCT17	S	1	PD12JAN18	20750.00	20600.00	USD	750.00	
13OCT17	B	1	PD12JAN18	20775.00	20600.00	USD	-875.00	
13OCT17	S	1	PD12JAN18	20795.00	20600.00	USD	975.00	
13OCT17	B	1	PD12JAN18	20720.00	20600.00	USD	-600.00	
13OCT17	B	1	PD12JAN18	20750.00	20600.00	USD	-750.00	
13OCT17	B	1	PD12JAN18	20760.00	20600.00	USD	-800.00	
13OCT17	S	2	PD12JAN18	20680.00	20600.00	USD	800.00	
13OCT17	S	1	PD12JAN18	20660.00	20600.00	USD	300.00	
13OCT17	B	1	PD12JAN18	20640.00	20600.00	USD	-200.00	
13OCT17	B	1	PD12JAN18	20680.00	20600.00	USD	-400.00	
	NET	0 AVG BGHT	20744.44				150.00	0.00
	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>							
14SEP17	S	1	PD14DEC17	3016.50	3244.00	USD	-5,687.50	
14SEP17	B	1	PD14DEC17	3005.00	3244.00	USD	5,975.00	
	NET	0 AVG BGHT	3005.00				287.50	0.00
21SEP17	B	1	PD21DEC17	3066.00	3240.00	USD	4,350.00	
21SEP17	S	1	PD21DEC17	3058.50	3240.00	USD	-4,537.50	
21SEP17	B	1	PD21DEC17	3041.00	3240.00	USD	4,975.00	
21SEP17	S	1	PD21DEC17	3032.00	3240.00	USD	-5,200.00	
	NET	0 AVG BGHT	3053.50				-412.50	0.00
22SEP17	B	1	PD22DEC17	3028.00	3239.62	USD	5,290.50	
22SEP17	S	1	PD22DEC17	3024.00	3239.62	USD	-5,390.50	
22SEP17	S	1	PD22DEC17	3059.50	3239.62	USD	-4,503.00	

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	<u>LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT</u>					
22SEP17	B 1 PD22DEC17	3066.50	3239.62	USD	4,328.00	
	NET 0 AVG BGHT 3047.25 SOLD 3041.75				-275.00	0.00
02OCT17	S 1 PD02JAN18	3208.50	3238.08	USD	-739.50	
02OCT17	S 1 PD02JAN18	3212.00	3238.08	USD	-652.00	
02OCT17	B 2 PD02JAN18	3212.50	3238.08	USD	1,279.00	
02OCT17	S 1 PD02JAN18	3213.50	3238.08	USD	-614.50	
02OCT17	B 1 PD02JAN18	3216.00	3238.08	USD	552.00	
02OCT17	S 2 PD02JAN18	3233.00	3238.08	USD	-254.00	
02OCT17	S 2 PD02JAN18	3245.50	3238.08	USD	371.00	
02OCT17	B 2 PD02JAN18	3235.50	3238.08	USD	129.00	
02OCT17	B 1 PD02JAN18	3238.00	3238.08	USD	2.00	
02OCT17	B 1 PD02JAN18	3247.50	3238.08	USD	-235.50	
	NET 0 AVG BGHT 3228.21 SOLD 3227.29				-162.50	0.00
03OCT17	B 1 PD03JAN18	3255.50	3237.69	USD	-445.25	
03OCT17	S 1 PD03JAN18	3269.00	3237.69	USD	782.75	
03OCT17	S 1 PD03JAN18	3247.00	3237.69	USD	232.75	
03OCT17	B 1 PD03JAN18	3263.00	3237.69	USD	-632.75	
03OCT17	S 1 PD03JAN18	3275.50	3237.69	USD	945.25	
03OCT17	B 1 PD03JAN18	3271.50	3237.69	USD	-845.25	
	NET 0 AVG BGHT 3263.33 SOLD 3263.83				37.50	0.00
10OCT17	S 1 PD10JAN18	3276.00	3235.77	USD	1,005.75	
10OCT17	B 1 PD10JAN18	3282.00	3235.77	USD	-1,155.75	
	NET 0 AVG BGHT 3282.00 SOLD 3276.00				-150.00	0.00

OPEN POSITION VALUE

		USD
LME Copper Grade A 25	FUTURES	331,237.00
CMX Copper	FUTURES	-311,900.00
LME Lead 25 tonnes. This is an	FUTURES	-1,425.00
LME Nickel 6 tonnes. This is	FUTURES	-420.00
LME Tin 5 tonnes. This is an	FUTURES	7,119.20
LME Zinc 25 tonnes. This is	FUTURES	-675.00

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options	Amount	Profit / Loss	Total Amount	Total P&L
COPR - Futures USD	1,450.00	1,450.00	1,450.00	1,450.00
Unrealised Profits & Losses - Forwards	Amount	Profit / Loss	Total Amount	Total P&L
COPL - Futures USD	-12,738.50	-12,738.50		
LEAD - Futures USD	-1,425.00	-1,425.00		
NKL - Futures USD	-424.56	-424.56		
TIN - Futures USD	7,119.20	7,119.20		
ZINC - Futures USD	-675.00	-675.00	-8,143.86	-8,143.86

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ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		11,617.88	11,617.88
Variation Margins	- Futures	1,450.00	1,450.00
	- Options	0.00	0.00
TOTAL EQUITY		13,067.88	13,067.88
Initial Margins		-46,300.86	-46,300.86
Excess/(Shortage)		-33,232.98	-33,232.98
FOR INFORMATION ONLY			
Forward Unrealised P&L		-8,143.86	-8,143.86
Net Liquidating Value		4,924.02	4,924.02

OPEN POSITION STATEMENT



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	<u>CME FEEDER CATTLE 500 CME</u>					
130CT17	B 1 NOV17	155.0250	155.0000	USD	-12.50	
130CT17	B 1 NOV17	154.8750	155.0000	USD	62.50	
	NET +2 AVG BGHT 154.9500				50.00	0.00
130CT17	S 1 JAN18	152.7750	153.0500	USD	-137.50	
130CT17	S 1 JAN18	152.7500	153.0500	USD	-150.00	
130CT17	S 1 JAN18	153.0750	153.0500	USD	12.50	
130CT17	S 1 JAN18	152.9250	153.0500	USD	-62.50	
	NET -4 AVG SOLD 152.8813				-337.50	0.00
130CT17	B 1 MAR18	148.9500	150.3500	USD	700.00	
130CT17	B 1 MAR18	149.9750	150.3500	USD	187.50	
	NET +2 AVG BGHT 149.4625				887.50	0.00
	<u>CME LEAN HOG 400 CME</u>					
100CT17	B 1 DEC17	61.7250	62.2000	USD	190.00	
	NET +1 AVG BGHT 61.7250				190.00	0.00
090CT17	S 2 FEB18	66.8000	67.4500	USD	-520.00	
	NET -2 AVG SOLD 66.8000				-520.00	0.00
090CT17	B 1 APR18	71.6000	71.9500	USD	140.00	
	NET +1 AVG BGHT 71.6000				140.00	0.00
	<u>CBT WHEAT 5,000 CBT</u>					
090CT17	B 1 MAY18	4.73750	4.70500	USD	-162.50	
	NET +1 AVG BGHT 4.73750				-162.50	0.00
090CT17	S 2 JUL18	4.87000	4.84250	USD	275.00	
	NET -2 AVG SOLD 4.87000				275.00	0.00
090CT17	B 1 SEP18	5.02000	5.00250	USD	-87.50	
	NET +1 AVG BGHT 5.02000				-87.50	0.00

OPEN POSITION VALUE

		USD
CME Feeder Cattle	FUTURES	1,350.00
CME Lean Hog	FUTURES	110.00
CBT Wheat	FUTURES	-87.50

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options	Amount	Profit / Loss	Total Amount	Total P&L
FCAT - Futures USD	600.00	600.00		
HOGS - Futures USD	-190.00	-190.00		
WHET - Futures USD	25.00	25.00	435.00	435.00

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ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		-1,527.50	-1,527.50
Variation Margins	- Futures	435.00	435.00
	- Options	0.00	0.00
TOTAL EQUITY		-1,092.50	-1,092.50
Initial Margins		-4,230.00	-4,230.00
Excess/(Shortage)		-5,322.50	-5,322.50
FOR INFORMATION ONLY			
Net Liquidating Value		-1,092.50	-1,092.50

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SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0119L

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>NYM LIGHT SWEET CRUDE OIL 1,000 NYM</u>							
04OCT17	B	1	JUN18	51.05	52.23	USD	1,180.00	
04OCT17	B	1	JUN18	51.00	52.23	USD	1,230.00	
04OCT17	B	1	JUN18	51.02	52.23	USD	1,210.00	
04OCT17	B	1	JUN18	51.18	52.23	USD	1,050.00	
04OCT17	B	1	JUN18	51.24	52.23	USD	990.00	
04OCT17	B	1	JUN18	51.32	52.23	USD	910.00	
04OCT17	B	1	JUN18	51.20	52.23	USD	1,030.00	
04OCT17	B	2	JUN18	51.21	52.23	USD	2,040.00	
05OCT17	B	1	JUN18	51.05	52.23	USD	1,180.00	
05OCT17	B	1	JUN18	51.73	52.23	USD	500.00	
05OCT17	B	4	JUN18	51.81	52.23	USD	1,680.00	
05OCT17	B	1	JUN18	51.72	52.23	USD	510.00	
05OCT17	B	1	JUN18	51.74	52.23	USD	490.00	
05OCT17	B	1	JUN18	51.82	52.23	USD	410.00	
06OCT17	B	3	JUN18	51.72	52.23	USD	1,530.00	
06OCT17	B	1	JUN18	51.22	52.23	USD	1,010.00	
06OCT17	B	1	JUN18	51.13	52.23	USD	1,100.00	
06OCT17	B	3	JUN18	50.82	52.23	USD	4,230.00	
06OCT17	B	1	JUN18	50.44	52.23	USD	1,790.00	
06OCT17	B	2	JUN18	50.43	52.23	USD	3,600.00	
06OCT17	B	1	JUN18	50.46	52.23	USD	1,770.00	
06OCT17	B	3	JUN18	50.42	52.23	USD	5,430.00	
06OCT17	B	4	JUN18	50.39	52.23	USD	7,360.00	
06OCT17	B	1	JUN18	50.38	52.23	USD	1,850.00	
09OCT17	B	2	JUN18	50.55	52.23	USD	3,360.00	
09OCT17	B	1	JUN18	50.47	52.23	USD	1,760.00	
09OCT17	B	1	JUN18	50.70	52.23	USD	1,530.00	
09OCT17	B	1	JUN18	50.64	52.23	USD	1,590.00	
09OCT17	B	1	JUN18	50.63	52.23	USD	1,600.00	
10OCT17	B	1	JUN18	51.72	52.23	USD	510.00	
10OCT17	B	4	JUN18	51.63	52.23	USD	2,400.00	
10OCT17	B	1	JUN18	51.61	52.23	USD	620.00	
10OCT17	B	3	JUN18	51.66	52.23	USD	1,710.00	
12OCT17	B	1	JUN18	51.56	52.23	USD	670.00	
12OCT17	B	3	JUN18	51.55	52.23	USD	2,040.00	
13OCT17	B	1	JUN18	52.04	52.23	USD	190.00	
	NET	+58 AVG BGHT 51.16					62,060.00	0.00
04OCT17	S	1	DEC18	50.52	51.77	USD	-1,250.00	
04OCT17	S	3	DEC18	50.54	51.77	USD	-3,690.00	
04OCT17	S	2	DEC18	50.71	51.77	USD	-2,120.00	
04OCT17	S	2	DEC18	50.70	51.77	USD	-2,140.00	
04OCT17	S	2	DEC18	50.69	51.77	USD	-2,160.00	
04OCT17	S	2	DEC18	50.79	51.77	USD	-1,960.00	
04OCT17	S	3	DEC18	50.73	51.77	USD	-3,120.00	
04OCT17	S	2	DEC18	50.74	51.77	USD	-2,060.00	
05OCT17	S	1	DEC18	50.77	51.77	USD	-1,000.00	
05OCT17	S	2	DEC18	50.74	51.77	USD	-2,060.00	
05OCT17	S	3	DEC18	51.26	51.77	USD	-1,530.00	
05OCT17	S	9	DEC18	51.37	51.77	USD	-3,600.00	
05OCT17	S	3	DEC18	51.30	51.77	USD	-1,410.00	
05OCT17	S	3	DEC18	51.32	51.77	USD	-1,350.00	
05OCT17	S	3	DEC18	51.40	51.77	USD	-1,110.00	
06OCT17	S	7	DEC18	51.29	51.77	USD	-3,360.00	
06OCT17	S	3	DEC18	50.87	51.77	USD	-2,700.00	
06OCT17	S	2	DEC18	50.78	51.77	USD	-1,980.00	
06OCT17	S	1	DEC18	50.79	51.77	USD	-980.00	
06OCT17	S	2	DEC18	50.54	51.77	USD	-2,460.00	
06OCT17	S	5	DEC18	50.50	51.77	USD	-6,350.00	

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	<u>NYM LIGHT SWEET CRUDE OIL 1,000 NYM</u>					
06OCT17	S 4 DEC18	50.18	51.77	USD	-6,360.00	
06OCT17	S 1 DEC18	50.23	51.77	USD	-1,540.00	
06OCT17	S 1 DEC18	50.25	51.77	USD	-1,520.00	
06OCT17	S 5 DEC18	50.21	51.77	USD	-7,800.00	
06OCT17	S 3 DEC18	50.19	51.77	USD	-4,740.00	
06OCT17	S 10 DEC18	50.20	51.77	USD	-15,700.00	
09OCT17	S 3 DEC18	50.34	51.77	USD	-4,290.00	
09OCT17	S 1 DEC18	50.28	51.77	USD	-1,490.00	
09OCT17	S 1 DEC18	50.30	51.77	USD	-1,470.00	
09OCT17	S 1 DEC18	50.51	51.77	USD	-1,260.00	
09OCT17	S 2 DEC18	50.48	51.77	USD	-2,580.00	
09OCT17	S 2 DEC18	50.43	51.77	USD	-2,680.00	
09OCT17	S 4 DEC18	50.42	51.77	USD	-5,400.00	
10OCT17	S 2 DEC18	51.33	51.77	USD	-880.00	
10OCT17	S 9 DEC18	51.23	51.77	USD	-4,860.00	
10OCT17	S 2 DEC18	51.20	51.77	USD	-1,140.00	
10OCT17	S 5 DEC18	51.26	51.77	USD	-2,550.00	
12OCT17	S 1 DEC18	51.27	51.77	USD	-500.00	
12OCT17	S 2 DEC18	51.19	51.77	USD	-1,160.00	
12OCT17	S 6 DEC18	51.20	51.77	USD	-3,420.00	
13OCT17	S 1 DEC18	51.56	51.77	USD	-210.00	
	NET -127 AVG SOLD 50.83				-119,940.00	0.00
05OCT17	B 2 JUN19	50.91	51.15	USD	480.00	
05OCT17	B 3 JUN19	50.85	51.15	USD	900.00	
05OCT17	B 2 JUN19	50.87	51.15	USD	560.00	
05OCT17	B 1 JUN19	50.88	51.15	USD	270.00	
05OCT17	B 3 JUN19	50.94	51.15	USD	630.00	
06OCT17	B 5 JUN19	50.81	51.15	USD	1,700.00	
06OCT17	B 3 JUN19	50.45	51.15	USD	2,100.00	
06OCT17	B 2 JUN19	50.37	51.15	USD	1,560.00	
06OCT17	B 1 JUN19	50.34	51.15	USD	810.00	
06OCT17	B 3 JUN19	50.16	51.15	USD	2,970.00	
06OCT17	B 5 JUN19	49.89	51.15	USD	6,300.00	
09OCT17	B 2 JUN19	50.17	51.15	USD	1,960.00	
09OCT17	B 1 JUN19	50.14	51.15	USD	1,010.00	
09OCT17	B 1 JUN19	50.11	51.15	USD	1,040.00	
09OCT17	B 1 JUN19	50.10	51.15	USD	1,050.00	
09OCT17	B 4 JUN19	50.08	51.15	USD	4,280.00	
10OCT17	B 5 JUN19	50.72	51.15	USD	2,150.00	
11OCT17	B 3 JUN19	50.83	51.15	USD	960.00	
12OCT17	B 2 JUN19	50.66	51.15	USD	980.00	
12OCT17	B 3 JUN19	50.68	51.15	USD	1,410.00	
12OCT17	B 1 JUN19	50.72	51.15	USD	430.00	
	NET +53 AVG BGHT 50.52				33,550.00	0.00
03OCT17	B 1 DEC19	50.08	50.70	USD	620.00	
03OCT17	B 2 DEC19	50.07	50.70	USD	1,260.00	
03OCT17	B 1 DEC19	49.96	50.70	USD	740.00	
04OCT17	B 1 DEC19	50.01	50.70	USD	690.00	
04OCT17	B 1 DEC19	49.99	50.70	USD	710.00	
04OCT17	B 1 DEC19	50.00	50.70	USD	700.00	
06OCT17	B 1 DEC19	49.64	50.70	USD	1,060.00	
06OCT17	B 1 DEC19	49.70	50.70	USD	1,000.00	
06OCT17	B 3 DEC19	49.68	50.70	USD	3,060.00	
10OCT17	B 1 DEC19	50.48	50.70	USD	220.00	
10OCT17	B 1 DEC19	50.30	50.70	USD	400.00	
10OCT17	B 2 DEC19	50.36	50.70	USD	680.00	
	NET +16 AVG BGHT 50.00				11,140.00	0.00
	<u>CMX COPPER 25,000 CMX</u>					

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13OCT17	S 35 DEC17	312.900	313.350	USD	-3,937.50	
	NET -35 AVG SOLD 312.900				-3,937.50	0.00
05OCT17	S 50 MAR18	302.650	315.300	USD	-158,125.00	
13OCT17	S 15 MAR18	314.550	315.300	USD	-2,812.50	
	NET -65 AVG SOLD 305.396				-160,937.50	0.00
05OCT17	B 50 MAY18	303.900	316.500	USD	157,500.00	
13OCT17	B 50 MAY18	315.750	316.500	USD	9,375.00	
	NET +100 AVG BGHT 309.825				166,875.00	0.00
	IEU BRENT CRUDE 1,000 IPE					
28SEP17	S 2 JUN18	55.82	56.21	USD	-780.00	
03OCT17	S 2 JUN18	55.23	56.21	USD	-1,960.00	
03OCT17	S 1 JUN18	55.24	56.21	USD	-970.00	
03OCT17	S 2 JUN18	55.20	56.21	USD	-2,020.00	
03OCT17	S 1 JUN18	55.01	56.21	USD	-1,200.00	
03OCT17	S 1 JUN18	55.19	56.21	USD	-1,020.00	
06OCT17	S 2 JUN18	54.58	56.21	USD	-3,260.00	
06OCT17	S 2 JUN18	54.57	56.21	USD	-3,280.00	
06OCT17	S 2 JUN18	54.84	56.21	USD	-2,740.00	
06OCT17	S 1 JUN18	54.87	56.21	USD	-1,340.00	
06OCT17	S 1 JUN18	54.75	56.21	USD	-1,460.00	
06OCT17	S 1 JUN18	54.65	56.21	USD	-1,560.00	
	NET -18 AVG SOLD 55.01				-21,590.00	0.00
03OCT17	B 1 DEC18	54.66	55.43	USD	770.00	
03OCT17	B 1 DEC18	54.62	55.43	USD	810.00	
03OCT17	B 1 DEC18	54.49	55.43	USD	940.00	
03OCT17	B 5 DEC18	54.63	55.43	USD	4,000.00	
06OCT17	B 2 DEC18	54.06	55.43	USD	2,740.00	
06OCT17	B 5 DEC18	54.07	55.43	USD	6,800.00	
06OCT17	B 3 DEC18	54.24	55.43	USD	3,570.00	
06OCT17	B 2 DEC18	54.26	55.43	USD	2,340.00	
06OCT17	B 2 DEC18	54.22	55.43	USD	2,420.00	
06OCT17	B 1 DEC18	54.14	55.43	USD	1,290.00	
06OCT17	B 1 DEC18	54.12	55.43	USD	1,310.00	
09OCT17	B 3 DEC18	54.45	55.43	USD	2,940.00	
11OCT17	B 1 DEC18	55.23	55.43	USD	200.00	
13OCT17	B 1 DEC18	55.58	55.43	USD	-150.00	
13OCT17	B 1 DEC18	55.57	55.43	USD	-140.00	
13OCT17	B 1 DEC18	55.73	55.43	USD	-300.00	
	NET +31 AVG BGHT 54.48				29,540.00	0.00
11OCT17	B 2 JUN19	54.88	54.89	USD	20.00	
11OCT17	B 1 JUN19	54.90	54.89	USD	-10.00	
12OCT17	B 2 JUN19	54.81	54.89	USD	160.00	
12OCT17	B 2 JUN19	54.74	54.89	USD	300.00	
12OCT17	B 1 JUN19	54.78	54.89	USD	110.00	
12OCT17	B 2 JUN19	54.77	54.89	USD	240.00	
12OCT17	B 2 JUN19	54.32	54.89	USD	1,140.00	
12OCT17	B 1 JUN19	54.37	54.89	USD	520.00	
	NET +13 AVG BGHT 54.70				2,480.00	0.00
04OCT17	S 1 DEC19	54.21	54.57	USD	-360.00	
04OCT17	S 1 DEC19	54.22	54.57	USD	-350.00	
04OCT17	S 1 DEC19	54.28	54.57	USD	-290.00	
04OCT17	S 1 DEC19	54.24	54.57	USD	-330.00	
05OCT17	S 1 DEC19	54.78	54.57	USD	210.00	
06OCT17	S 1 DEC19	53.92	54.57	USD	-650.00	
06OCT17	S 2 DEC19	53.68	54.57	USD	-1,780.00	
06OCT17	S 1 DEC19	53.78	54.57	USD	-790.00	
06OCT17	S 1 DEC19	53.83	54.57	USD	-740.00	

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	<u>IEU BRENT CRUDE 1,000 IPE</u>					
06OCT17	S 2 DEC19	53.79	54.57	USD	-1,560.00	
06OCT17	S 1 DEC19	53.85	54.57	USD	-720.00	
06OCT17	S 2 DEC19	53.76	54.57	USD	-1,620.00	
10OCT17	S 2 DEC19	54.41	54.57	USD	-320.00	
10OCT17	S 1 DEC19	54.26	54.57	USD	-310.00	
10OCT17	S 1 DEC19	54.28	54.57	USD	-290.00	
10OCT17	S 1 DEC19	54.27	54.57	USD	-300.00	
10OCT17	S 1 DEC19	54.24	54.57	USD	-330.00	
11OCT17	S 1 DEC19	54.64	54.57	USD	70.00	
11OCT17	S 1 DEC19	54.66	54.57	USD	90.00	
12OCT17	S 1 DEC19	54.59	54.57	USD	20.00	
12OCT17	S 1 DEC19	54.36	54.57	USD	-210.00	
12OCT17	S 1 DEC19	54.15	54.57	USD	-420.00	
	NET -26 AVG SOLD 54.15				-10,980.00	0.00

OPEN POSITION VALUE

		USD
NYM Light Sweet Crude Oil	FUTURES	10,110.00
CMX Copper	FUTURES	-45,062.50
IEU Brent Crude	FUTURES	-1,850.00

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options	Amount	Profit / Loss	Total Amount	Total P&L
COIL - Futures USD	-13,190.00	-13,190.00		
COPR - Futures USD	2,000.00	2,000.00		
LCO - Futures USD	-550.00	-550.00	-11,740.00	-11,740.00

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	7,147.30	7,147.30
Variation Margins - Futures	-11,740.00	-11,740.00
- Options	0.00	0.00
TOTAL EQUITY	-4,592.70	-4,592.70
Initial Margins	-43,359.00	-43,359.00
Excess/(Shortage)	-47,951.70	-47,951.70
FOR INFORMATION ONLY		
Net Liquidating Value	-4,592.70	-4,592.70

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	<u>CME CATTLE 400 CME</u>					
13OCT17	B 1 DEC17	116.9500	117.1250	USD	70.00	
	NET +1AVG BGHT 116.9500				70.00	0.00
13OCT17	S 1 FEB18	121.0000	121.0750	USD	-30.00	
13OCT17	S 1 FEB18	121.1000	121.0750	USD	10.00	
	NET -2AVG SOLD 121.0500				-20.00	0.00
13OCT17	B 1 APR18	122.0000	122.0000	USD	0.00	
13OCT17	B 1 APR18	121.9750	122.0000	USD	10.00	
	NET +2AVG BGHT 121.9875				10.00	0.00
11OCT17	S 2 JUN18	115.8000	115.3500	USD	360.00	
11OCT17	S 1 JUN18	115.9750	115.3500	USD	250.00	
13OCT17	S 1 JUN18	115.2500	115.3500	USD	-40.00	
	NET -4AVG SOLD 115.7063				570.00	0.00
12OCT17	S 2 AUG18	112.2500	112.4000	USD	-120.00	
	NET -2AVG SOLD 112.2500				-120.00	0.00
06OCT17	B 1 OCT18	112.1000	112.6500	USD	220.00	
06OCT17	B 1 OCT18	112.2250	112.6500	USD	170.00	
09OCT17	B 1 OCT18	113.0000	112.6500	USD	-140.00	
10OCT17	B 2 OCT18	113.6250	112.6500	USD	-780.00	
12OCT17	B 1 OCT18	112.9250	112.6500	USD	-110.00	
	NET +6AVG BGHT 112.9167				-640.00	0.00
10OCT17	S 2 DEC18	114.4500	113.7000	USD	600.00	
12OCT17	S 1 DEC18	114.0000	113.7000	USD	120.00	
	NET -3AVG SOLD 114.3000				720.00	0.00
10OCT17	B 2 FEB19	114.7500	113.6500	USD	-880.00	
	NET +2AVG BGHT 114.7500				-880.00	0.00
	<u>CME FEEDER CATTLE 500 CME</u>					
09OCT17	B 1 NOV17	155.7500	155.0000	USD	-375.00	
09OCT17	B 1 NOV17	155.7000	155.0000	USD	-350.00	
09OCT17	B 1 NOV17	155.2750	155.0000	USD	-137.50	
13OCT17	B 1 NOV17	154.6000	155.0000	USD	200.00	
13OCT17	B 1 NOV17	154.7500	155.0000	USD	125.00	
	NET +5AVG BGHT 155.2150				-537.50	0.00
09OCT17	S 1 JAN18	153.7250	153.0500	USD	337.50	
09OCT17	S 1 JAN18	153.6750	153.0500	USD	312.50	
09OCT17	S 1 JAN18	153.9250	153.0500	USD	437.50	
09OCT17	S 3 JAN18	153.8750	153.0500	USD	1,237.50	
13OCT17	S 1 JAN18	152.7750	153.0500	USD	-137.50	
13OCT17	S 1 JAN18	152.6000	153.0500	USD	-225.00	
13OCT17	S 2 JAN18	152.7250	153.0500	USD	-325.00	
	NET -10AVG SOLD 153.3775				1,637.50	0.00
09OCT17	B 2 MAR18	151.9750	150.3500	USD	-1,625.00	
13OCT17	B 1 MAR18	149.4500	150.3500	USD	450.00	
13OCT17	B 1 MAR18	148.6500	150.3500	USD	850.00	
13OCT17	B 1 MAR18	149.2750	150.3500	USD	537.50	
13OCT17	B 1 MAR18	149.7500	150.3500	USD	300.00	
13OCT17	B 1 MAR18	150.0250	150.3500	USD	162.50	
13OCT17	B 1 MAR18	149.9750	150.3500	USD	187.50	
	NET +8AVG BGHT 150.1344				862.50	0.00
09OCT17	S 1 APR18	150.9000	149.7750	USD	562.50	
09OCT17	S 1 APR18	151.2000	149.7750	USD	712.50	
11OCT17	S 1 APR18	151.3750	149.7750	USD	800.00	
13OCT17	S 1 APR18	148.9750	149.7750	USD	-400.00	
13OCT17	S 1 APR18	148.8750	149.7750	USD	-450.00	
13OCT17	S 1 APR18	149.3000	149.7750	USD	-237.50	
13OCT17	S 1 APR18	149.5250	149.7750	USD	-125.00	

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	<u>CME FEEDER CATTLE 500 CME</u>					
	NET -7 AVG SOLD 150.0214				862.50	0.00
05OCT17	B 2 MAY18	148.3250	148.4750	USD	150.00	
09OCT17	B 1 MAY18	149.8750	148.4750	USD	-700.00	
11OCT17	B 1 MAY18	150.1500	148.4750	USD	-837.50	
	NET +4 AVG BGHT 149.1688				-1,387.50	0.00
	<u>CME LEAN HOG 400 CME</u>					
09OCT17	B 1 DEC17	60.9000	62.2000	USD	520.00	
	NET +1 AVG BGHT 60.9000				520.00	0.00
09OCT17	S 1 FEB18	66.8000	67.4500	USD	-260.00	
09OCT17	S 1 FEB18	66.7500	67.4500	USD	-280.00	
13OCT17	S 1 FEB18	67.0750	67.4500	USD	-150.00	
	NET -3 AVG SOLD 66.8750				-690.00	0.00
12OCT17	B 1 APR18	71.0250	71.9500	USD	370.00	
13OCT17	B 1 APR18	71.7250	71.9500	USD	90.00	
	NET +2 AVG BGHT 71.3750				460.00	0.00
11OCT17	S 1 JUN18	80.3250	80.3000	USD	10.00	
13OCT17	S 1 JUN18	80.3000	80.3000	USD	0.00	
	NET -2 AVG SOLD 80.3125				10.00	0.00
29SEP17	B 1 DEC18	59.9000	61.2000	USD	520.00	
10OCT17	B 1 DEC18	61.8750	61.2000	USD	-270.00	
	NET +2 AVG BGHT 60.8875				250.00	0.00
	<u>NYM HEATING OIL 42,000 NYM</u>					
13OCT17	B 1 MAR18	177.63	177.83	USD	84.00	
	NET +1 AVG BGHT 177.63				84.00	0.00
12OCT17	S 1 APR18	172.69	176.08	USD	-1,423.80	
	NET -1 AVG SOLD 172.69				-1,423.80	0.00
	<u>CME RANDOM LENGTH LUMBER 110 CME</u>					
13OCT17	S 1 JAN18	406.90	410.00	USD	-341.00	
13OCT17	S 1 JAN18	409.80	410.00	USD	-22.00	
	NET -2 AVG SOLD 408.35				-363.00	0.00
13OCT17	B 1 MAR18	395.20	397.20	USD	220.00	
13OCT17	B 1 MAR18	398.20	397.20	USD	-110.00	
	NET +2 AVG BGHT 396.70				110.00	0.00
	<u>CBT SOYBEAN MEAL 100 CBT</u>					
12OCT17	S 1 JUL18	3.3320	3.3740	USD	-420.00	
12OCT17	S 1 JUL18	3.3530	3.3740	USD	-210.00	
	NET -2 AVG SOLD 3.3425				-630.00	0.00
12OCT17	B 1 DEC18	3.2650	3.3080	USD	430.00	
12OCT17	B 1 DEC18	3.2860	3.3080	USD	220.00	
	NET +2 AVG BGHT 3.2755				650.00	0.00
	<u>WHITE SUGAR 50 LDN</u>					
10OCT17	S 1 MAR18	374.40	377.90	USD	-175.00	
12OCT17	S 1 MAR18	377.50	377.90	USD	-20.00	
12OCT17	S 1 MAR18	372.70	377.90	USD	-260.00	
12OCT17	S 1 MAR18	372.50	377.90	USD	-270.00	
	NET -4 AVG SOLD 374.28				-725.00	0.00
10OCT17	B 1 MAY18	379.60	383.40	USD	190.00	
12OCT17	B 1 MAY18	382.60	383.40	USD	40.00	
12OCT17	B 1 MAY18	377.70	383.40	USD	285.00	
12OCT17	B 1 MAY18	377.60	383.40	USD	290.00	
	NET +4 AVG BGHT 379.38				805.00	0.00

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0119M

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

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Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
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OPEN POSITION VALUE

		USD
CME Cattle	FUTURES	1,760.00
CME Feeder Cattle	FUTURES	5,050.00
CME Lean Hog	FUTURES	14,330.00
NYM Heating Oil	FUTURES	-2,074.80
CME Random Length Lumber	FUTURES	2,563.00
CBT Soybean Meal	FUTURES	1,340.00
White Sugar	FUTURES	-1,020.00

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options	Amount	Profit / Loss	Total Amount	Total P&L
CATT - Futures USD	-290.00	-290.00		
FCAT - Futures USD	1,437.50	1,437.50		
HOGS - Futures USD	550.00	550.00		
HOIL - Futures USD	-1,339.80	-1,339.80		
LUMB - Futures USD	-253.00	-253.00		
MEAL - Futures USD	20.00	20.00		
SUGL - Futures USD	80.00	80.00	204.70	204.70

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
(1.00000)	(1.00000)	
CASH BALANCE	-5,396.92	-5,396.92
Variation Margins - Futures	204.70	204.70
- Options	0.00	0.00
TOTAL EQUITY	-5,192.22	-5,192.22
Initial Margins	-31,147.00	-31,147.00
Excess/(Shortage)	-36,339.22	-36,339.22
FOR INFORMATION ONLY		
Net Liquidating Value	-5,192.22	-5,192.22

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0119N

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
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Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>CME CATTLE 400 CME</u>					
13OCT17	B 1 DEC17	116.7250	117.1250	USD	160.00	
	NET +1AVG BGHT 116.7250				160.00	0.00
06OCT17	S 2 JUN18	115.3250	115.3500	USD	-20.00	
12OCT17	S 1 JUN18	115.2000	115.3500	USD	-60.00	
13OCT17	S 1 JUN18	115.2750	115.3500	USD	-30.00	
	NET -4AVG SOLD 115.2813				-110.00	0.00
13OCT17	B 1 AUG18	112.1750	112.4000	USD	90.00	
	NET +1AVG BGHT 112.1750				90.00	0.00
09OCT17	B 1 OCT18	113.0000	112.6500	USD	-140.00	
09OCT17	B 1 OCT18	112.9750	112.6500	USD	-130.00	
12OCT17	B 1 OCT18	113.2500	112.6500	USD	-240.00	
12OCT17	B 1 OCT18	113.0000	112.6500	USD	-140.00	
	NET +4AVG BGHT 113.0563				-650.00	0.00
04OCT17	S 1 DEC18	112.5250	113.7000	USD	-470.00	
04OCT17	S 1 DEC18	112.3750	113.7000	USD	-530.00	
	NET -2AVG SOLD 112.4500				-1,000.00	0.00
	<u>ICE COTTON NO.2 500 FIN</u>					
12OCT17	B 1 MAR18	67.38000	68.16000	USD	390.00	
	NET +1AVG BGHT 67.38000				390.00	0.00
11OCT17	S 1 MAY18	68.95000	68.97000	USD	-10.00	
	NET -1AVG SOLD 68.95000				-10.00	0.00
	<u>CME FEEDER CATTLE 500 CME</u>					
11OCT17	B 1 NOV17	156.4000	155.0000	USD	-700.00	
13OCT17	B 1 NOV17	153.8250	155.0000	USD	587.50	
13OCT17	B 1 NOV17	154.7750	155.0000	USD	112.50	
	NET +3AVG BGHT 155.0000				0.00	0.00
11OCT17	S 2 JAN18	154.8500	153.0500	USD	1,800.00	
13OCT17	S 2 JAN18	151.7000	153.0500	USD	-1,350.00	
13OCT17	S 2 JAN18	152.7750	153.0500	USD	-275.00	
	NET -6AVG SOLD 153.1083				175.00	0.00
11OCT17	B 1 MAR18	151.9000	150.3500	USD	-775.00	
13OCT17	B 1 MAR18	149.0000	150.3500	USD	675.00	
13OCT17	B 1 MAR18	150.0000	150.3500	USD	175.00	
	NET +3AVG BGHT 150.3000				75.00	0.00
13OCT17	S 1 APR18	149.4750	149.7750	USD	-150.00	
	NET -1AVG SOLD 149.4750				-150.00	0.00
13OCT17	B 1 MAY18	148.3000	148.4750	USD	87.50	
	NET +1AVG BGHT 148.3000				87.50	0.00
	<u>CME LEAN HOG 400 CME</u>					
13OCT17	B 1 DEC17	61.9250	62.2000	USD	110.00	
	NET +1AVG BGHT 61.9250				110.00	0.00
13OCT17	S 2 FEB18	67.1000	67.4500	USD	-280.00	
	NET -2AVG SOLD 67.1000				-280.00	0.00
12OCT17	B 1 APR18	71.2250	71.9500	USD	290.00	
	NET +1AVG BGHT 71.2250				290.00	0.00
09OCT17	S 1 JUN18	80.3000	80.3000	USD	0.00	
12OCT17	S 1 JUN18	79.7250	80.3000	USD	-230.00	
	NET -2AVG SOLD 80.0125				-230.00	0.00
13OCT17	B 1 JUL18	80.4250	80.4750	USD	20.00	
	NET +1AVG BGHT 80.4250				20.00	0.00
03OCT17	B 1 AUG18	79.4750	79.7750	USD	120.00	
	NET +1AVG BGHT 79.4750				120.00	0.00
	<u>CME RANDOM LENGTH LUMBER 110 CME</u>					

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0119N

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

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Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
130CT17	S 1 NOV17	421.00	424.30	USD	-363.00	
130CT17	S 1 NOV17	424.90	424.30	USD	66.00	
	NET -2 AVG SOLD 422.95				-297.00	0.00
130CT17	B 1 JAN18	407.10	410.00	USD	319.00	
130CT17	B 1 JAN18	409.00	410.00	USD	110.00	
	NET +2 AVG BGHT 408.05				429.00	0.00
	ICE FROZEN CONCENTRATED OJ 150 FIN					
120CT17	B 1 NOV17	157.40	151.60	USD	-870.00	
120CT17	B 1 NOV17	157.30	151.60	USD	-855.00	
	NET +2 AVG BGHT 157.35				-1,725.00	0.00
120CT17	S 1 JAN18	156.85	151.55	USD	795.00	
	NET -1 AVG SOLD 156.85				795.00	0.00
130CT17	S 1 MAR18	150.70	151.15	USD	-67.50	
	NET -1 AVG SOLD 150.70				-67.50	0.00

OPEN POSITION VALUE

		USD
CME Cattle	FUTURES	1,960.00
ICE Cotton No.2	FUTURES	785.00
CME Feeder Cattle	FUTURES	1,962.50
CME Lean Hog	FUTURES	470.00
CME Random Length Lumber	FUTURES	3,278.00
ICE Frozen Concentrated OJ	FUTURES	-1,072.50

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options	Amount	Profit / Loss	Total Amount	Total P&L
CATT - Futures	USD -1,510.00	-1,510.00		
COTT - Futures	USD 380.00	380.00		
FCAT - Futures	USD 187.50	187.50		
HOGS - Futures	USD 30.00	30.00		
LUMB - Futures	USD 132.00	132.00		
ORAN - Futures	USD -997.50	-997.50	-1,778.00	-1,778.00

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
CASH BALANCE	(1.00000)	(1.00000)
Variation Margins - Futures	-444.00	-444.00
- Options	0.00	0.00
TOTAL EQUITY	-2,222.00	-2,222.00
Initial Margins	-18,826.00	-18,826.00
Excess/(Shortage)	-21,048.00	-21,048.00
FOR INFORMATION ONLY		
Net Liquidating Value	-2,222.00	-2,222.00

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP01190

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

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Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	CMX COPPER 25,000 CMX					
05OCT17	S 49 MAR18	302.650	315.300	USD	-154,962.50	
11OCT17	S 50 MAR18	310.800	315.300	USD	-56,250.00	
	NET -99 AVG SOLD 306.766				-211,212.50	0.00
05OCT17	B 49 MAY18	303.900	316.500	USD	154,350.00	
11OCT17	B 50 MAY18	312.000	316.500	USD	56,250.00	
	NET +99 AVG BGHT 307.991				210,600.00	0.00

OPEN POSITION VALUE

CMX Copper	FUTURES	<u>USD</u> -30,312.50
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MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options	Amount	Profit / Loss	Total Amount	Total P&L
COPR - Futures USD	-612.50	-612.50	-612.50	-612.50

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
CASH BALANCE	(1.00000)	(1.00000)
Variation Margins - Futures	-505.00	-505.00
- Options	-612.50	-612.50
TOTAL EQUITY	-1,117.50	-1,117.50
Initial Margins	-9,900.00	-9,900.00
Excess/(Shortage)	-11,017.50	-11,017.50
FOR INFORMATION ONLY		
Net Liquidating Value	-1,117.50	-1,117.50

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0119P

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

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Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
13OCT17	<u>CME CATTLE 400 CME</u> S 1 FEB18 NET -1AVG SOLD 121.2250	121.2250	121.0750	USD	60.00 60.00	0.00
13OCT17	B 1 APR18 NET +1AVG BGHT 122.0000	122.0000	122.0000	USD	0.00 0.00	0.00
13OCT17	<u>CME FEEDER CATTLE 500 CME</u> S 1 JAN18 NET -1AVG SOLD 152.7500	152.7500	153.0500	USD	-150.00 -150.00	0.00
13OCT17	B 1 MAR18 NET +1AVG BGHT 150.0000	150.0000	150.3500	USD	175.00 175.00	0.00

OPEN POSITION VALUE

		USD
CME Cattle	FUTURES	-310.00
CME Feeder Cattle	FUTURES	1,375.00

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options	Amount	Profit / Loss	Total Amount	Total P&L
CATT - Futures USD	60.00	60.00		
FCAT - Futures USD	25.00	25.00	85.00	85.00

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
CASH BALANCE	(1.00000)	(1.00000)
Variation Margins - Futures	-3,040.00	-3,040.00
- Options	85.00	85.00
TOTAL EQUITY	-2,955.00	-2,955.00
Initial Margins	-1,250.00	-1,250.00
Excess/(Shortage)	-4,205.00	-4,205.00
<u>FOR INFORMATION ONLY</u>		
Net Liquidating Value	-2,955.00	-2,955.00

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0119Q

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

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Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>CME CATTLE 400 CME</u>					
130CT17	B 1 DEC17	116.9750	117.1250	USD	60.00	
130CT17	B 1 DEC17	116.8250	117.1250	USD	120.00	
	NET +2 AVG BGHT 116.9000				180.00	0.00
130CT17	S 1 FEB18	120.9250	121.0750	USD	-60.00	
130CT17	S 1 FEB18	120.8500	121.0750	USD	-90.00	
	NET -2 AVG SOLD 120.8875				-150.00	0.00
110CT17	S 1 JUN18	115.7500	115.3500	USD	160.00	
130CT17	S 1 JUN18	115.2250	115.3500	USD	-50.00	
	NET -2 AVG SOLD 115.4875				110.00	0.00
110CT17	B 1 AUG18	112.8500	112.4000	USD	-180.00	
130CT17	B 1 AUG18	112.1500	112.4000	USD	100.00	
	NET +2 AVG BGHT 112.5000				-80.00	0.00
	<u>CME FEEDER CATTLE 500 CME</u>					
060CT17	B 1 NOV17	155.6750	155.0000	USD	-337.50	
	NET +1 AVG BGHT 155.6750				-337.50	0.00
050CT17	S 1 JAN18	151.5750	153.0500	USD	-737.50	
	NET -1 AVG SOLD 151.5750				-737.50	0.00
	<u>CME LEAN HOG 400 CME</u>					
130CT17	B 1 DEC17	61.6500	62.2000	USD	220.00	
130CT17	B 1 DEC17	61.8250	62.2000	USD	150.00	
	NET +2 AVG BGHT 61.7375				370.00	0.00
100CT17	S 1 FEB18	67.7750	67.4500	USD	130.00	
110CT17	S 1 FEB18	67.9500	67.4500	USD	200.00	
130CT17	S 1 FEB18	66.8750	67.4500	USD	-230.00	
130CT17	S 2 FEB18	67.3000	67.4500	USD	-120.00	
	NET -5 AVG SOLD 67.4400				-20.00	0.00
110CT17	B 2 APR18	72.0250	71.9500	USD	-60.00	
130CT17	B 1 APR18	71.8000	71.9500	USD	60.00	
	NET +3 AVG BGHT 71.9500				0.00	0.00

OPEN POSITION VALUE

		USD
CME Cattle	FUTURES	5,580.00
CME Feeder Cattle	FUTURES	-2,050.00
CME Lean Hog	FUTURES	-850.00

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options	Amount	Profit / Loss	Total Amount	Total P&L
CATT - Futures USD	60.00	60.00		
FCAT - Futures USD	-1,075.00	-1,075.00		
HOGS - Futures USD	350.00	350.00	-665.00	-665.00

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0119Q

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

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ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	2,047.50	2,047.50
Variation Margins	-665.00	-665.00
- Futures	0.00	0.00
- Options		
TOTAL EQUITY	1,382.50	1,382.50
Initial Margins	-6,400.00	-6,400.00
Excess/(Shortage)	-5,017.50	-5,017.50
FOR INFORMATION ONLY		
Net Liquidating Value	1,382.50	1,382.50

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0119R

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

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Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>CME CATTLE 400 CME</u>					
04OCT17	B 1 APR18	120.2500	122.0000	USD	700.00	
	NET +1AVG BGHT 120.2500				700.00	0.00
04OCT17	S 1 JUN18	113.7250	115.3500	USD	-650.00	
12OCT17	S 1 JUN18	115.9750	115.3500	USD	250.00	
	NET -2AVG SOLD 114.8500				-400.00	0.00
12OCT17	B 1 AUG18	113.0000	112.4000	USD	-240.00	
	NET +1AVG BGHT 113.0000				-240.00	0.00
	<u>CME FEEDER CATTLE 500 CME</u>					
09OCT17	B 1 NOV17	155.4000	155.0000	USD	-200.00	
	NET +1AVG BGHT 155.4000				-200.00	0.00
09OCT17	S 1 JAN18	153.8000	153.0500	USD	375.00	
	NET -1AVG SOLD 153.8000				375.00	0.00
	<u>IEU GAS OIL 100 IPE</u>					
11OCT17	B 4 AUG18	506.000	513.000	USD	2,800.00	
11OCT17	B 1 AUG18	506.500	513.000	USD	650.00	
	NET +5AVG BGHT 506.100				3,450.00	0.00
11OCT17	S 4 SEP18	506.000	512.750	USD	-2,700.00	
11OCT17	S 1 SEP18	506.500	512.750	USD	-625.00	
11OCT17	S 5 SEP18	510.750	512.750	USD	-1,000.00	
	NET -10AVG SOLD 508.425				-4,325.00	0.00
11OCT17	B 5 OCT18	511.000	512.750	USD	875.00	
	NET +5AVG BGHT 511.000				875.00	0.00
	<u>CME LEAN HOG 400 CME</u>					
12OCT17	S 1 MAY18	76.6000	77.0000	USD	-160.00	
	NET -1AVG SOLD 76.6000				-160.00	0.00
02OCT17	B 1 JUN18	79.9250	80.3000	USD	150.00	
06OCT17	B 1 JUN18	79.4750	80.3000	USD	330.00	
	NET +2AVG BGHT 79.7000				480.00	0.00
06OCT17	S 2 JUL18	80.0500	80.4750	USD	-340.00	
	NET -2AVG SOLD 80.0500				-340.00	0.00
25SEP17	B 1 OCT18	64.9750	67.0000	USD	810.00	
	NET +1AVG BGHT 64.9750				810.00	0.00

OPEN POSITION VALUE

		<u>USD</u>
CME Cattle	FUTURES	-1,420.00
CME Feeder Cattle	FUTURES	-800.00
IEU Gas Oil	FUTURES	-125.00
CME Lean Hog	FUTURES	4,930.00

OPEN POSITION STATEMENT



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SAP0119R

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

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ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		-5,395.10	-5,395.10
Variation Margins	- Futures	1,025.00	1,025.00
	- Options	0.00	0.00
TOTAL EQUITY		-4,370.10	-4,370.10
Initial Margins		-3,803.00	-3,803.00
Excess/(Shortage)		-8,173.10	-8,173.10
FOR INFORMATION ONLY			
Net Liquidating Value		-4,370.10	-4,370.10

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0119S

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
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Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>NYM LIGHT SWEET CRUDE OIL 1,000 NYM</u>							
04OCT17	B	1	JUN18	51.18	52.23	USD	1,050.00	
04OCT17	B	1	JUN18	51.12	52.23	USD	1,110.00	
04OCT17	B	1	JUN18	51.24	52.23	USD	990.00	
05OCT17	B	3	JUN18	51.81	52.23	USD	1,260.00	
05OCT17	B	1	JUN18	51.72	52.23	USD	510.00	
05OCT17	B	1	JUN18	51.82	52.23	USD	410.00	
06OCT17	B	2	JUN18	50.80	52.23	USD	2,860.00	
06OCT17	B	1	JUN18	50.35	52.23	USD	1,880.00	
06OCT17	B	3	JUN18	50.43	52.23	USD	5,400.00	
06OCT17	B	3	JUN18	50.41	52.23	USD	5,460.00	
09OCT17	B	2	JUN18	50.59	52.23	USD	3,280.00	
10OCT17	B	1	JUN18	51.73	52.23	USD	500.00	
10OCT17	B	6	JUN18	51.72	52.23	USD	3,060.00	
10OCT17	B	1	JUN18	51.62	52.23	USD	610.00	
10OCT17	B	1	JUN18	51.61	52.23	USD	620.00	
10OCT17	B	4	JUN18	51.66	52.23	USD	2,280.00	
12OCT17	B	1	JUN18	51.19	52.23	USD	1,040.00	
12OCT17	B	3	JUN18	51.60	52.23	USD	1,890.00	
12OCT17	B	1	JUN18	51.57	52.23	USD	660.00	
12OCT17	B	4	JUN18	51.61	52.23	USD	2,480.00	
13OCT17	B	3	JUN18	52.04	52.23	USD	570.00	
	NET	+44 AVG BGHT 51.37					37,920.00	0.00
04OCT17	S	1	DEC18	50.71	51.77	USD	-1,060.00	
04OCT17	S	1	DEC18	50.69	51.77	USD	-1,080.00	
04OCT17	S	1	DEC18	50.64	51.77	USD	-1,130.00	
04OCT17	S	1	DEC18	50.66	51.77	USD	-1,110.00	
04OCT17	S	2	DEC18	50.80	51.77	USD	-1,940.00	
05OCT17	S	7	DEC18	51.37	51.77	USD	-2,800.00	
05OCT17	S	3	DEC18	51.30	51.77	USD	-1,410.00	
05OCT17	S	3	DEC18	51.40	51.77	USD	-1,110.00	
06OCT17	S	2	DEC18	50.87	51.77	USD	-1,800.00	
06OCT17	S	5	DEC18	50.50	51.77	USD	-6,350.00	
06OCT17	S	1	DEC18	50.10	51.77	USD	-1,670.00	
06OCT17	S	1	DEC18	50.11	51.77	USD	-1,660.00	
06OCT17	S	5	DEC18	50.17	51.77	USD	-8,000.00	
06OCT17	S	3	DEC18	50.21	51.77	USD	-4,680.00	
06OCT17	S	2	DEC18	50.20	51.77	USD	-3,140.00	
09OCT17	S	7	DEC18	50.38	51.77	USD	-9,730.00	
10OCT17	S	4	DEC18	51.32	51.77	USD	-1,800.00	
10OCT17	S	8	DEC18	51.33	51.77	USD	-3,520.00	
10OCT17	S	2	DEC18	51.24	51.77	USD	-1,060.00	
10OCT17	S	1	DEC18	51.23	51.77	USD	-540.00	
10OCT17	S	2	DEC18	51.20	51.77	USD	-1,140.00	
10OCT17	S	7	DEC18	51.26	51.77	USD	-3,570.00	
12OCT17	S	3	DEC18	50.86	51.77	USD	-2,730.00	
12OCT17	S	1	DEC18	51.19	51.77	USD	-580.00	
12OCT17	S	6	DEC18	51.22	51.77	USD	-3,300.00	
12OCT17	S	1	DEC18	51.21	51.77	USD	-560.00	
12OCT17	S	4	DEC18	51.28	51.77	USD	-1,960.00	
12OCT17	S	5	DEC18	51.20	51.77	USD	-2,850.00	
13OCT17	S	5	DEC18	51.56	51.77	USD	-1,050.00	
	NET	-94 AVG SOLD 50.99					-73,330.00	0.00
05OCT17	B	2	JUN19	50.91	51.15	USD	480.00	
05OCT17	B	3	JUN19	50.85	51.15	USD	900.00	
05OCT17	B	2	JUN19	50.95	51.15	USD	400.00	
05OCT17	B	1	JUN19	50.96	51.15	USD	190.00	
06OCT17	B	5	JUN19	50.57	51.15	USD	2,900.00	
06OCT17	B	3	JUN19	50.45	51.15	USD	2,100.00	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0119S

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>NYM LIGHT SWEET CRUDE OIL 1,000 NYM</u>					
09OCT17	B 3 JUN19	50.08	51.15	USD	3,210.00	
09OCT17	B 5 JUN19	50.04	51.15	USD	5,550.00	
10OCT17	B 3 JUN19	50.74	51.15	USD	1,230.00	
12OCT17	B 2 JUN19	50.66	51.15	USD	980.00	
12OCT17	B 2 JUN19	50.68	51.15	USD	940.00	
12OCT17	B 1 JUN19	50.72	51.15	USD	430.00	
12OCT17	B 6 JUN19	50.71	51.15	USD	2,640.00	
	NET +38 AVG BGHT 50.57				21,950.00	0.00
06OCT17	B 2 DEC19	49.67	50.70	USD	2,060.00	
10OCT17	B 1 DEC19	50.58	50.70	USD	120.00	
10OCT17	B 1 DEC19	50.60	50.70	USD	100.00	
10OCT17	B 2 DEC19	50.48	50.70	USD	440.00	
10OCT17	B 1 DEC19	50.31	50.70	USD	390.00	
10OCT17	B 3 DEC19	50.36	50.70	USD	1,020.00	
13OCT17	B 2 DEC19	50.47	50.70	USD	460.00	
	NET +12 AVG BGHT 50.32				4,590.00	0.00
	<u>CMX COPPER 25,000 CMX</u>					
13OCT17	S 25 DEC17	312.900	313.350	USD	-2,812.50	
	NET -25 AVG SOLD 312.900				-2,812.50	0.00
05OCT17	S 40 MAR18	302.650	315.300	USD	-126,500.00	
13OCT17	S 10 MAR18	314.500	315.300	USD	-2,000.00	
	NET -50 AVG SOLD 305.020				-128,500.00	0.00
05OCT17	B 40 MAY18	303.900	316.500	USD	126,000.00	
13OCT17	B 35 MAY18	315.700	316.500	USD	7,000.00	
	NET +75 AVG BGHT 309.407				133,000.00	0.00
	<u>CME LEAN HOG 400 CME</u>					
12OCT17	B 1 JUN18	79.9000	80.3000	USD	160.00	
	NET +1 AVG BGHT 79.9000				160.00	0.00
12OCT17	S 2 JUL18	80.1750	80.4750	USD	-240.00	
	NET -2 AVG SOLD 80.1750				-240.00	0.00
12OCT17	B 1 AUG18	79.4750	79.7750	USD	120.00	
	NET +1 AVG BGHT 79.4750				120.00	0.00
	<u>IEU BRENT CRUDE 1,000 IPE</u>					
06OCT17	S 1 JUN18	54.40	56.21	USD	-1,810.00	
06OCT17	S 2 JUN18	54.54	56.21	USD	-3,340.00	
06OCT17	S 1 JUN18	54.57	56.21	USD	-1,640.00	
06OCT17	S 4 JUN18	54.56	56.21	USD	-6,600.00	
	NET -8 AVG SOLD 54.54				-13,390.00	0.00
06OCT17	B 5 DEC18	54.05	55.43	USD	6,900.00	
12OCT17	B 5 DEC18	54.59	55.43	USD	4,200.00	
13OCT17	B 1 DEC18	55.58	55.43	USD	-150.00	
13OCT17	B 1 DEC18	55.57	55.43	USD	-140.00	
	NET +12 AVG BGHT 54.53				10,810.00	0.00
11OCT17	B 1 JUN19	54.79	54.89	USD	100.00	
11OCT17	B 1 JUN19	54.77	54.89	USD	120.00	
11OCT17	B 1 JUN19	54.82	54.89	USD	70.00	
11OCT17	B 1 JUN19	54.81	54.89	USD	80.00	
11OCT17	B 2 JUN19	54.83	54.89	USD	120.00	
12OCT17	B 2 JUN19	54.25	54.89	USD	1,280.00	
12OCT17	B 2 JUN19	54.36	54.89	USD	1,060.00	
12OCT17	B 1 JUN19	54.37	54.89	USD	520.00	
	NET +11 AVG BGHT 54.59				3,350.00	0.00
04OCT17	S 1 DEC19	54.33	54.57	USD	-240.00	
06OCT17	S 1 DEC19	53.84	54.57	USD	-730.00	
06OCT17	S 2 DEC19	53.69	54.57	USD	-1,760.00	

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0119S

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

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Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>IEU BRENT CRUDE 1,000 IPE</u>					
06OCT17	S 2 DEC19	53.81	54.57	USD	-1,520.00	
06OCT17	S 1 DEC19	53.85	54.57	USD	-720.00	
10OCT17	S 1 DEC19	54.28	54.57	USD	-290.00	
10OCT17	S 1 DEC19	54.50	54.57	USD	-70.00	
10OCT17	S 1 DEC19	54.24	54.57	USD	-330.00	
10OCT17	S 1 DEC19	54.35	54.57	USD	-220.00	
11OCT17	S 1 DEC19	54.61	54.57	USD	40.00	
11OCT17	S 2 DEC19	54.58	54.57	USD	20.00	
12OCT17	S 1 DEC19	54.14	54.57	USD	-430.00	
	NET -15 AVG SOLD 54.15				-6,250.00	0.00

OPEN POSITION VALUE

		<u>USD</u>
NYM Light Sweet Crude Oil	FUTURES	7,290.00
CMX Copper	FUTURES	-33,000.00
CME Lean Hog	FUTURES	390.00
IEU Brent Crude	FUTURES	-6,200.00

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options	Amount	Profit / Loss	Total Amount	Total P&L
COIL - Futures USD	-8,870.00	-8,870.00		
COPR - Futures USD	1,687.50	1,687.50		
HOGS - Futures USD	40.00	40.00		
LCO - Futures USD	-5,480.00	-5,480.00	-12,622.50	-12,622.50

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	8,053.10	8,053.10
Variation Margins - Futures	-12,622.50	-12,622.50
- Options	0.00	0.00
TOTAL EQUITY	-4,569.40	-4,569.40
Initial Margins	-29,982.00	-29,982.00
Excess/(Shortage)	-34,551.40	-34,551.40
<u>FOR INFORMATION ONLY</u>		
Net Liquidating Value	-4,569.40	-4,569.40

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0119T

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
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Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
13OCT17	CME CATTLE 400 CME B 1 DEC17 NET +1AVG BGHT 116.7250	116.7250	117.1250	USD	160.00 160.00	0.00
13OCT17	S 1 FEB18 NET -1AVG SOLD 120.8250	120.8250	121.0750	USD	-100.00 -100.00	0.00

OPEN POSITION VALUE

CME Cattle FUTURES **USD 1,640.00**

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options	Amount	Profit / Loss	Total Amount	Total P&L
CATT - Futures USD	60.00	60.00	60.00	60.00

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
CASH BALANCE	(1.00000)	(1.00000)
Variation Margins	-78.76	-78.76
- Futures	60.00	60.00
- Options	0.00	0.00
TOTAL EQUITY	-18.76	-18.76
Initial Margins	-600.00	-600.00
Excess/(Shortage)	-618.76	-618.76
FOR INFORMATION ONLY		
Net Liquidating Value	-18.76	-18.76

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED
SAP0119
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

GROUP NO: **SAPG0119**

13th Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343
Authorised and regulated by the Australian Prudential
Regulation Authority. Authorised by the Prudential
Regulation Authority. Subject to regulation by the Financial
Conduct Authority and limited regulation by the
Prudential Regulation Authority. Details about the extent
of our regulation by the Prudential Regulation Authority are
available from us on request.

GROUP FINANCIAL SUMMARY

	USD	NET USD
	(1.00000)	(1.00000)
CASH BALANCE	1,194,870.43	1,194,870.43
Variation Margins		
- Futures	157,019.20	157,019.20
- Options	0.00	0.00
TOTAL EQUITY	1,351,889.63	1,351,889.63
Initial Margins	-784,957.48	-784,957.48
Excess/(Shortage)	566,932.15	566,932.15
FOR INFORMATION ONLY		
Forward Unrealised P&L	479,721.52	479,721.52
Net Liquidating Value	1,831,611.15	1,831,611.15

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0120

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

ACCOUNT FINANCIAL SUMMARY

	EUR	GBP	USD
	(1.18360)	(1.33050)	(1.00000)
CASH BALANCE	133.96	28,291.97	2,944.04
Variation Margins - Futures	0.00	0.00	0.00
- Options	0.00	0.00	0.00
TOTAL EQUITY	133.96	28,291.97	2,944.04
Initial Margins	0.00	0.00	0.00
Excess/(Shortage)	133.96	28,291.97	2,944.04
FOR INFORMATION ONLY			
Net Liquidating Value	133.96	28,291.97	2,944.04
	NETT USD		
	(1.00000)		
CASH BALANCE	40,745.07		
Variation Margins - Futures	0.00		
- Options	0.00		
TOTAL EQUITY	40,745.07		
Initial Margins	0.00		
Excess/(Shortage)	40,745.07		
FOR INFORMATION ONLY			
Net Liquidating Value	40,745.07		

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0120A

13th October 2017

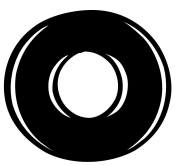
Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

ACCOUNT FINANCIAL SUMMARY

	EUR	USD	NETT USD
	(1.18360)	(1.00000)	(1.00000)
CASH BALANCE	-1,025.87	-3,009.90	-4,224.12
Variation Margins			
- Futures	0.00	0.00	0.00
- Options	0.00	0.00	0.00
TOTAL EQUITY	-1,025.87	-3,009.90	-4,224.12
Initial Margins	0.00	0.00	0.00
Excess/(Shortage)	-1,025.87	-3,009.90	-4,224.12
FOR INFORMATION ONLY			
Net Liquidating Value	-1,025.87	-3,009.90	-4,224.12

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED
SAP0120
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

GROUP NO: **SAPG0120**

13th Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343
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of our regulation by the Prudential Regulation Authority are
available from us on request.

GROUP FINANCIAL SUMMARY

	EUR	GBP	USD
	(1.18360)	(1.33050)	(1.00000)
CASH BALANCE	-891.91	28,291.97	-65.86
Variation Margins	- Futures 0.00	0.00	0.00
	- Options 0.00	0.00	0.00
TOTAL EQUITY	-891.91	28,291.97	-65.86
Initial Margins	0.00	0.00	0.00
Excess/(Shortage)	-891.91	28,291.97	-65.86
<u>FOR INFORMATION ONLY</u>			
Net Liquidating Value	-891.91	28,291.97	-65.86

	NET USD
	(1.00000)
CASH BALANCE	36,520.95
Variation Margins	- Futures 0.00
	- Options 0.00
TOTAL EQUITY	36,520.95
Initial Margins	0.00
Excess/(Shortage)	36,520.95
<u>FOR INFORMATION ONLY</u>	
Net Liquidating Value	36,520.95

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0122

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

ACCOUNT FINANCIAL SUMMARY

	EUR	USD	NETT USD
	(1.18360)	(1.00000)	(1.00000)
CASH BALANCE	638.55	4,594.80	5,350.59
Variation Margins			
- Futures	0.00	0.00	0.00
- Options	0.00	0.00	0.00
TOTAL EQUITY	638.55	4,594.80	5,350.59
Initial Margins	0.00	0.00	0.00
Excess/(Shortage)	638.55	4,594.80	5,350.59
FOR INFORMATION ONLY			
Net Liquidating Value	638.55	4,594.80	5,350.59

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0122B

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

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Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>DOW JONES EURO STOXX 50 10 ERX</u>					
22SEP17	S 20 DEC17 3500.00 Call	105.50	137.20	EUR	-27,440.00	-6,340.00
	NET -20 AVG SOLD 105.50				-27,440.00	-6,340.00
22SEP17	S 20 DEC17 3700.00 Call	17.80	23.00	EUR	-4,600.00	-1,040.00
	NET -20 AVG SOLD 17.80				-4,600.00	-1,040.00
22SEP17	B 80 DEC17 3800.00 Call	4.60	4.90	EUR	3,920.00	240.00
	NET +80 AVG BGHT 4.60				3,920.00	240.00
22SEP17	B 80 DEC17 3200.00 Put	17.10	8.50	EUR	6,800.00	-6,880.00
	NET +80 AVG BGHT 17.10				6,800.00	-6,880.00
22SEP17	S 20 DEC17 3300.00 Put	26.10	13.20	EUR	-2,640.00	2,580.00
	NET -20 AVG SOLD 26.10				-2,640.00	2,580.00
22SEP17	S 20 DEC17 3500.00 Put	68.50	37.20	EUR	-7,440.00	6,260.00
	NET -20 AVG SOLD 68.50				-7,440.00	6,260.00
	<u>CME E-MINI S&P 500 50 CME</u>					
21SEP17	S 6 DEC17 2500.00 Call	45.25	73.30	USD	-21,990.00	-8,415.00
	NET -6 AVG SOLD 45.25				-21,990.00	-8,415.00
21SEP17	S 6 DEC17 2600.00 Call	4.50	11.20	USD	-3,360.00	-2,010.00
	NET -6 AVG SOLD 4.50				-3,360.00	-2,010.00
21SEP17	B 18 DEC17 2650.00 Call	1.40	2.80	USD	2,520.00	1,260.00
	NET +18 AVG BGHT 1.40				2,520.00	1,260.00
21SEP17	B 18 DEC17 2350.00 Put	16.50	7.30	USD	6,570.00	-8,280.00
	NET +18 AVG BGHT 16.50				6,570.00	-8,280.00
21SEP17	S 6 DEC17 2400.00 Put	22.00	9.90	USD	-2,970.00	3,630.00
	NET -6 AVG SOLD 22.00				-2,970.00	3,630.00
21SEP17	S 6 DEC17 2500.00 Put	44.25	20.60	USD	-6,180.00	7,095.00
	NET -6 AVG SOLD 44.25				-6,180.00	7,095.00

OPEN POSITION VALUE

		EUR	USD
Dow Jones EURO Stoxx 50	OPTIONS	26,220.00	
CME E-Mini S&P 500	OPTIONS		18,690.00

MARKET REVALUATION BREAKDOWN

Net Premium Options Market Value		Amount	Profit / Loss	Total Amount	Total P&L
EDOX - Options	EUR	-31,400.00	-5,180.00	-31,400.00	-5,180.00
MSNP - Options	USD	-25,410.00	-6,720.00	-25,410.00	-6,720.00

OPEN POSITION STATEMENT



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ACCOUNT FINANCIAL SUMMARY

	EUR	USD	NETT USD
	(1.18360)	(1.00000)	(1.00000)
CASH BALANCE	26,518.00	130,519.36	161,906.06
Variation Margins			
- Futures	0.00	0.00	0.00
- Options	0.00	0.00	0.00
TOTAL EQUITY	26,518.00	130,519.36	161,906.06
Initial Margins	-52,048.45	-47,556.00	-109,160.55
Excess/(Shortage)	-25,530.45	82,963.36	52,745.51
FOR INFORMATION ONLY			
Net Premium Option Market Value	-31,400.00	-25,410.00	-62,575.04
Net Liquidating Value	-4,882.00	105,109.36	99,331.02

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED
SAP0122
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

GROUP NO: **SAPG0122**

13th Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343
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Regulation Authority. Authorised by the Prudential
Regulation Authority. Subject to regulation by the Financial
Conduct Authority and limited regulation by the
Prudential Regulation Authority. Details about the extent
of our regulation by the Prudential Regulation Authority are
available from us on request.

GROUP FINANCIAL SUMMARY

	EUR	USD	NET USD
	(1.18360)	(1.00000)	(1.00000)
CASH BALANCE	27,156.55	135,114.16	167,256.65
Variation Margins	- Futures 0.00	0.00	0.00
	- Options 0.00	0.00	0.00
TOTAL EQUITY	27,156.55	135,114.16	167,256.65
Initial Margins	-52,048.45	-47,556.00	-109,160.55
Excess/(Shortage)	-24,891.90	87,558.16	58,096.10
<u>FOR INFORMATION ONLY</u>			
Net Premium Option Market Value	-31,400.00	-25,410.00	-62,575.04
Net Liquidating Value	-4,243.45	109,704.16	104,681.61

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0123A

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>DOW JONES EURO STOXX 50 10 ERX</u>					
25AUG17	S 25 OCT17 2450.00 Put	0.60	0.10	EUR	-25.00	125.00
29AUG17	S 25 OCT17 2450.00 Put	1.00	0.10	EUR	-25.00	225.00
	NET -50 AVG SOLD 0.80				-50.00	350.00
	<u>CME E-MINI S&P 500 WEEK 3 OPTIONS 50 CME</u>					
27SEP17	S 25 NOV17 1600.00 Put	0.20	0.10	USD	-125.00	125.00
	NET -25 AVG SOLD 0.20				-125.00	125.00
02OCT17	S 25 NOV17 1610.00 Put	0.15	0.10	USD	-125.00	62.50
	NET -25 AVG SOLD 0.15				-125.00	62.50

OPEN POSITION VALUE

		<u>EUR</u>	<u>USD</u>
Dow Jones EURO Stoxx 50	OPTIONS	400.00	
CME E-MINI S&P 500 Week 3	OPTIONS		437.50

MARKET REVALUATION BREAKDOWN

Net Premium Options Market Value	Amount	Profit / Loss	Total Amount	Total P&L
EDOX - Options EUR	-50.00	350.00	-50.00	350.00
EW33 - Options USD	-250.00	187.50	-250.00	187.50

OPEN POSITION STATEMENT



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ACCOUNT FINANCIAL SUMMARY

	EUR	GBP	USD
	(1.18360)	(1.33050)	(1.00000)
CASH BALANCE	0.00	18,880.09	393.50
Variation Margins - Futures	0.00	0.00	0.00
- Options	0.00	0.00	0.00
TOTAL EQUITY	0.00	18,880.09	393.50
Initial Margins	-224.33	0.00	-2,050.00
Excess/(Shortage)	-224.33	18,880.09	-1,656.50
FOR INFORMATION ONLY			
Net Premium Option Market Value	-50.00	0.00	-250.00
Net Liquidating Value	-50.00	18,880.09	143.50
	NETT USD		
	(1.00000)		
CASH BALANCE	25,513.46		
Variation Margins - Futures	0.00		
- Options	0.00		
TOTAL EQUITY	25,513.46		
Initial Margins	-2,315.52		
Excess/(Shortage)	23,197.94		
FOR INFORMATION ONLY			
Net Premium Option Market Value	-309.18		
Net Liquidating Value	25,204.28		

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED
SAP0123
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

GROUP NO: **SAPG0123**

13th Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343
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available from us on request.

GROUP FINANCIAL SUMMARY

	EUR	GBP	USD
	(1.18360)	(1.33050)	(1.00000)
CASH BALANCE	0.00	18,880.09	393.50
Variation Margins	- Futures 0.00	0.00	0.00
	- Options 0.00	0.00	0.00
TOTAL EQUITY	0.00	18,880.09	393.50
Initial Margins	-224.33	0.00	-2,050.00
Excess/(Shortage)	-224.33	18,880.09	-1,656.50
<u>FOR INFORMATION ONLY</u>			
Net Premium Option Market Value	-50.00	0.00	-250.00
Net Liquidating Value	-50.00	18,880.09	143.50

	NET USD
	(1.00000)
CASH BALANCE	25,513.46
Variation Margins	- Futures 0.00
	- Options 0.00
TOTAL EQUITY	25,513.46
Initial Margins	-2,315.52
Excess/(Shortage)	23,197.94
<u>FOR INFORMATION ONLY</u>	
Net Premium Option Market Value	-309.18
Net Liquidating Value	25,204.28

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0124

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	4,220.63	4,220.63
Variation Margins		
- Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	4,220.63	4,220.63
Initial Margins	0.00	0.00
Excess/(Shortage)	4,220.63	4,220.63
FOR INFORMATION ONLY		
Net Liquidating Value	4,220.63	4,220.63

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED
SAP0124
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

GROUP NO: **SAPG0124**

13th Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
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available from us on request.

GROUP FINANCIAL SUMMARY

	USD	NET USD
	(1.00000)	(1.00000)
CASH BALANCE	4,220.63	4,220.63
Variation Margins		
- Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	4,220.63	4,220.63
Initial Margins	0.00	0.00
Excess/(Shortage)	4,220.63	4,220.63
<u>FOR INFORMATION ONLY</u>		
Net Liquidating Value	4,220.63	4,220.63

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0127

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
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AUTHORISED AND REGULATED BY THE
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ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	1,069,381.00	1,069,381.00
Variation Margins		
- Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	1,069,381.00	1,069,381.00
Initial Margins	0.00	0.00
Excess/(Shortage)	1,069,381.00	1,069,381.00
FOR INFORMATION ONLY		
Net Liquidating Value	1,069,381.00	1,069,381.00

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0127C

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	-2,028.40	-2,028.40
Variation Margins		
- Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	-2,028.40	-2,028.40
Initial Margins	0.00	0.00
Excess/(Shortage)	-2,028.40	-2,028.40
FOR INFORMATION ONLY		
Net Liquidating Value	-2,028.40	-2,028.40

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0127D

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	-938.40	-938.40
Variation Margins		
- Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	-938.40	-938.40
Initial Margins	0.00	0.00
Excess/(Shortage)	-938.40	-938.40
FOR INFORMATION ONLY		
Net Liquidating Value	-938.40	-938.40

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED
SAP0127
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

GROUP NO: **SAPG0127**

13th Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
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GROUP FINANCIAL SUMMARY

	USD	NET USD
	(1.00000)	(1.00000)
CASH BALANCE	1,066,414.20	1,066,414.20
Variation Margins		
- Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	1,066,414.20	1,066,414.20
Initial Margins	0.00	0.00
Excess/(Shortage)	1,066,414.20	1,066,414.20
FOR INFORMATION ONLY		
Net Liquidating Value	1,066,414.20	1,066,414.20

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0066

13th October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
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backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	-3,791.57	-3,791.57
Variation Margins		
- Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	-3,791.57	-3,791.57
Initial Margins	0.00	0.00
Excess/(Shortage)	-3,791.57	-3,791.57
FOR INFORMATION ONLY		
Net Liquidating Value	-3,791.57	-3,791.57

OPEN POSITION STATEMENT



SAPIEN CAPITAL LIMITED
SAP0066
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

GROUP NO: **SAPG066**

13th Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
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available from us on request.

GROUP FINANCIAL SUMMARY

	USD	NET USD
	(1.00000)	(1.00000)
CASH BALANCE	-3,791.57	-3,791.57
Variation Margins		
- Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	-3,791.57	-3,791.57
Initial Margins	0.00	0.00
Excess/(Shortage)	-3,791.57	-3,791.57
<u>FOR INFORMATION ONLY</u>		
Net Liquidating Value	-3,791.57	-3,791.57